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## Investor Participant RMB Debit Authorisations and Authorisation to Disclose Information Form 投資者戶口持有人人民幣扣賬授權及資料申報授權書

(Please complete and return this authorisation form to Customer Service Centre for processing. 請填妥此授權書，並把它交回客戶服務中心辦理。)

### 1. Types of authorisation 授權事項：

#### a. Direct Debit Authorisation 直接扣賬授權

I/We hereby authorise my/our below-named Bank (“the Bank”) to effect transfers from my/our Renminbi bank account (“the Account”) set out below to the Renminbi bank accounts of Hong Kong Securities Clearing Company Limited (“HKSCC”) specified below in accordance with Direct Debit Instructions (“DDIs” and “DDI” shall be construed accordingly) presented to the Bank by HKSCC via Hong Kong Interbank Clearing Limited (“HKICL”) from time to time.

本人/吾等茲授權下述本人/吾等的銀行（「該銀行」）按照及履行香港中央結算有限公司（「結算公司」）經由香港銀行同業結算有限公司不時向該銀行發出的直接扣賬指示，將款項從下述本人/吾等的人民幣銀行賬戶（「該賬戶」）轉入下述結算公司的人民幣銀行賬戶。

#### b. Debit Authorisation for Electronic Payment Instruction 電子付款指示扣賬授權

I/We hereby authorise the Bank to accept and effect transfers in accordance with Electronic Payment Instructions (“EPIS” and “EPI” shall be construed accordingly), being debit instructions to effect transfers from the Account presented to the Bank by HKSCC via HKICL from time to time.

本人/吾等茲授權該銀行接受及履行結算公司經由香港銀行同業結算有限公司不時向該銀行發出的電子付款指示，並按照該等電子付款指示，從該賬戶扣除款項以進行轉賬。

#### c. Debit Authorisation for Clearing House Automated Transfer System (CHATS) Payment Instruction (See Note 1 Overleaf)

票據交換所自動轉賬系統(CHATS)付款指示扣賬授權 (請參閱在後頁的附註1)

I/We hereby authorise the Bank to accept and effect transfers in accordance with CHATS Payment Instructions (“CPIs” and “CPI” shall be construed accordingly), being debit instructions to effect transfers from the Account from time to time presented to the Bank by HKSCC via the Central Clearing and Settlement System (“CCASS”) Terminal, and in the case of mechanical breakdown, computer or system failures, or failures of communication media or any other force majeure reason, such other means as may be agreed between HKSCC and the Bank. I/We acknowledge that not every CCASS designated bank provides the CHATS payment service to CCASS Investor Participants. For completion of HKSCC’s prescribed investor account opening and maintenance procedures, I/we hereby request the Bank, if it does not currently provide the CHATS payment service to the Account, to retain on its record my/our aforesaid authorisation as valid and to act on my/our authorisation if and when it at its own discretion elects to provide the CHATS payment service to the Account.

本人/吾等茲授權該銀行按照結算公司不時向該銀行發出的 CHATS 付款指示，從該賬戶扣賬。結算公司的 CHATS 付款指示，將經由中央結算及交收系統（「中央結算系統」）終端機發出，或在機械故障、電腦或系統失靈或通訊媒介失靈或其他不可抗力原因的情況下，經由結算公司與該銀行同意的其他途徑向該銀行發出。本人/吾等確認，並非所有中央結算系統的指定銀行皆提供 CHATS 付款服務予中央結算系統的投資者戶口持有人。為使能完成結算公司指定的開立及繼續持有投資者戶口須履行的手續，若該銀行現時不提供 CHATS 付款服務予該賬戶，本人/吾等謹此要求該銀行保留前述本人/吾等的授權在其記錄中，並在其決定為該賬戶提供 CHATS 付款服務時，依照該項授權辦事。

### 2. I/We hereby confirm that I/we have authorised HKSCC to generate DDIs, EPIS and/or CPIs on my/our behalf for the purposes of Renminbi money settlement of my/our transactions under CCASS to transfer funds from the Account to such beneficiaries as shall be specified in the DDIs, EPIS and/or CPIs.

本人/吾等確認本人/吾等已授權結算公司代本人/吾等就中央結算系統內的人民幣款項交收發出直接扣賬指示、電子付款指示及/或 CHATS 付款指示，將款項從該賬戶轉予直接扣賬指示、電子付款指示及/或 CHATS 付款指示所指定的受益人。

### 3. I/We agree that the Bank shall not be obliged to ascertain whether or not notice of any such fund transfer has been given to me/us.

本人/吾等同意該銀行毋須證實該等轉賬通知是否已給予本人/吾等。

### 4. I/We jointly and severally accept full responsibility for any overdraft (or increase in existing overdraft) on the Account which may arise as a result of any fund transfer(s) made pursuant to the aforesaid authorisations.

本人/吾等應就該賬戶基於上述授權進行的任何轉賬所可能出現的透支(或令現時的透支增加)，共同及各別承擔全部責任。

### 5. I/We agree that should there be insufficient funds in the Account to meet any fund transfer hereby authorised, the Bank shall be entitled, at its discretion, not to effect such transfer in which event the Bank may make the usual charge, and that it may cancel these authorisations at any time upon giving three working days’ written notice to HKSCC and me/us.

本人/吾等同意，倘若該賬戶無足夠款項支付按照此等授權進行的任何轉賬，該銀行有權決定不予轉賬，及可收取慣常的費用，並可隨時向結算公司及本人/吾等發出三個工作天的預先書面通知，取消此授權書。

### 6. I/We agree that the Bank shall not in any event be liable to me/us for failure to effect transfers from the Account in accordance with such DDIs, EPIS and/or CPIs generated by HKSCC unless the Bank fails to act in good faith or there is wilful misconduct or fraud on the part of the Bank.

本人/吾等同意，除非該銀行未能忠誠辦事，或該銀行涉及蓄意行為不當或欺詐行為，否則，即使該銀行未能按照結算公司發出的直接扣賬指示、電子付款指示及/或 CHATS 付款指示，接受及履行從該賬戶轉賬，該銀行在任何情況下均毋須對本人/吾等負責。

### 7. I/We hereby authorise the Bank to disclose to HKSCC any information obtained by the Bank relating to returned DDIs, EPIS and/or CPIs relating to the Account and any matters relating thereto in such format and through such means as shall from time to time be prescribed by HKSCC.

本人/吾等謹此授權該銀行按照結算公司不時指定的格式及途徑，向結算公司申報任何有關該賬戶的退回直接扣賬指示、電子付款指示及/或 CHATS 付款指示及其他有關資料。

P.T.O. 續後頁

8. I/We agree that the Bank shall not in any event be liable to me/us for a failure to disclose any information to HKSCC requested from time to time by HKSCC unless the Bank fails to act in good faith or there is wilful misconduct or fraud on the part of the Bank.  
本人/吾等同意，除非該銀行未能忠誠辦事，或該銀行涉及蓄意行為不當或欺詐行為，否則，即使該銀行未能向結算公司申報任何其不時規定的資料，該銀行在任何情況下均毋須對本人/吾等負責。
9. I/We acknowledge that once such DDIs, EPIs and/or CPIs are sent to the Bank by HKSCC, I/we shall have no right to stop payment relating to those DDIs, EPIs and/or CPIs.  
本人/吾等確認，一旦該銀行已收到結算公司的直接扣賬指示、電子付款指示及/或 CHATS 付款指示，本人/吾等將無權停止與該等指示有關的付款。
10. I/We agree that any notice of cancellation or variation of these authorisations herein which I/we may give to the Bank shall be given at least seven working days prior to the date on which such cancellation/variation is to take effect.  
本人/吾等同意，本人/吾等欲取消或更改此等授權，應最少於取消或更改生效前七個工作天通知該銀行。
11. This authorisation form is governed by the laws of the Hong Kong Special Administrative Region of the People's Republic of China.  
此授權書須受中華人民共和國香港特別行政區法律制約。
12. This authorisation form is written in English with a translation in Chinese. In case of any conflict in meaning, the English version shall prevail.  
此授權書的中英文本內容如有歧異，當以英文本為準。

<b>Name of party to be credited</b> (To be completed by HKSCC) <b>收款人</b> (由結算公司填寫)  Hong Kong Securities Clearing Company Limited 香港中央結算有限公司	Bank No. 銀行編號			Branch No. 分行編號			Renminbi Account No. to be credited 收款人民幣賬戶編號							
	0	1	2	8	7	5	0	6	0	1	1	1	3	2
	0	1	2	8	7	5	0	6	0	1	1	1	5	8

<b>Bank Account Details by Customer 由客戶填寫的銀行賬戶資料</b> (Please complete in English block letters and sign this form as well as all alterations in the same way that you would sign on your Bank Account) (請用英文正楷填寫，及確保在此授權書內的簽名與貴戶的銀行賬戶的簽名完全相同，並於任何刪改處加上同樣之簽名)			
My/Our Bank Name and Branch (See Note 1 below) 本人/吾等的銀行及分行名稱 (請參閱下述附註 1)	Bank No. 銀行編號	Branch No. 分行編號	Renminbi Account No. 人民幣賬戶編號
	(See Note 2 below 請參閱下述附註 2)		
My/Our Account Name as recorded on bank statement (See Note 3 below) 本人/吾等在銀行結單上所記錄的賬戶名稱 (請參閱下述附註 3)	My/Our Signature(s) (See Note 4 below) 本人/吾等的簽名 (請參閱下述附註 4)		
Day Time Contact Tel. No. 日間聯絡電話號碼			
Debtor's Reference (To be completed by HKSCC) 付款人備考 (由結算公司填寫)	Date Signed 簽署日期:		

**For Bank Use Only 銀行專用**

**Notes 附註：**

- The bank designated by the customer on this form must be selected from the "List of Designated Banks for CCASS Investor Participants (Renminbi "RMB")" issued by HKSCC from time to time. If the bank currently does not provide Renminbi CHATS payment service to Investor Participants of CCASS, this form would also request the bank to record the customer's authorisation set out in clause 1.c as valid and to act on the authorisation if and when the bank elects to provide such Renminbi CHATS payment service to the customer.  
客戶在此授權書內指定的銀行，必須是揀選自結算公司不時發出的《中央結算系統投資者戶口持有人之指定銀行名單(人民幣)》。若客戶所選擇的銀行現時不提供人民幣 CHATS 付款服務給中央結算系統的投資者戶口持有人，此授權書亦同時要求該銀行保留客戶在第 1.c 條款所述的授權，並在該銀行決定為客戶提供該人民幣 CHATS 付款服務時，依照該授權辦事。
- In general, this bank account should be a Renminbi Current Account. Other type of Renminbi bank account may be used by the customer only if it is acceptable to the designated bank as specified in the "List of Designated Banks for CCASS Investor Participants (Renminbi "RMB")". 一般來說，此銀行賬戶應是一個人民幣往來戶口。若個別銀行接受，並列明在《中央結算系統投資者戶口持有人之指定銀行名單(人民幣)》內，客戶亦可使用其他類別的人民幣銀行戶口。
- The Account Name must be agreed with the name of the applicant for opening an Investor Account with HKSCC. For investor wishing to open a Joint Individual Investor Account with HKSCC, the Account Name must be in the names of all joint individuals.  
此賬戶名稱必須與申請於結算公司開設投資者戶口的申請人的姓名相同。投資者欲於結算公司開設聯名投資者戶口，該賬戶名稱必須是所有聯名人士的姓名。
- Corporate bank account holder must affix its company chop, if applicable. If the authorised signatories of its bank account have been assigned different signing amount limits, the signatory/signatories assigned with the maximum amount limit must sign. Joint bank account holder must sign in accordance with the signing instruction recorded in the bank mandate for joint account.  
公司銀行賬戶持有人必須蓋上公司印鑑，如適用。若其銀行賬戶的獲授權簽署人之簽署權限有不同的金額限制，此授權書必須由被賦予最高金額簽署權的簽署人簽署。聯名銀行賬戶持有人必須按照其銀行聯名賬戶授權書所載的簽署指示來簽署。

**List of Designated Banks for CCASS Investor Participants (Renminbi “RMB”)**  
**中央結算系統投資者戶口持有人之指定銀行名單 (人民幣)**

**IMPORTANT NOTES 重要提示:**

- The following banks are approved by Hong Kong Securities Clearing Company Limited (HKSCC) to act as Designated Banks of Investor Participants for CCASS RMB money settlement purposes.  
下列銀行為結算公司批准可為投資者戶口持有人以人民幣進行中央結算系統款項交收的指定銀行。
- They accept different types of RMB bank account for CCASS RMB money settlement. **Individual Designated Bank may charge their customers for setting up CCASS money settlement arrangement. Investor Participants are advised to contact their designated banks for the details of setup charge.**  
它們接受不同類別的人民幣銀行戶口來進行中央結算系統人民幣款項交收。個別指定銀行可能就設立中央結算系統款項交收安排向其客戶收取費用。建議投資者戶口持有人聯絡指定銀行查詢有關設立款項交收安排之費用。
- The Clearing House Automated Transfer System (CHATS) payment service to allow Investor Participants to use the Realtime Delivery versus Payment (RDP) method for CCASS RMB money settlement is only provided by certain banks as indicated below.  
如下表所顯示，只有一部份銀行提供人民幣「票據交換所自動轉賬系統」付款服務，讓投資者戶口持有人以「即時貨銀對付」方法來進行中央結算系統人民幣款項交收。

No. 編號	Bank Name 銀行名稱	Bank No. 銀行編號	Acceptable Types of Bank Account 所接受的銀行戶口類別 (√ = Yes 接受, X = No 不接受)			Provide RMB CHATS Payment Service 提供人民幣 「票據交換所 自動轉賬系統」 付款服務 (√ = Yes 提供, X = No 不提供)
			RMB Current A/C 人民幣支票戶口	RMB Passbook Savings A/C 人民幣存摺儲蓄戶口	RMB Statement Savings A/C 人民幣結單儲蓄戶口	
1.	BANK OF CHINA (HONG KONG) LTD 中國銀行(香港)有限公司	012	√	√	√	√
2.	BANK OF COMMUNICATIONS CO LTD 交通銀行股份有限公司	027	√	√	√	√
3.	BANK OF COMMUNICATIONS (HONG KONG) LTD	382	√	√	√	√
4.	CHINA CITIC BANK INTERNATIONAL LTD 中信銀行(國際)有限公司	018	√	X	√	√
5.	CHINA CONSTRUCTION BANK (ASIA) CORPORATION LTD 中國建設銀行(亞洲)股份有限公司	009	√	√	√	√
6.	CHINA MINSHENG BANKING CORP., LTD. 中國民生銀行股份有限公司	353	√	X	√	√
7.	CHIYU BANKING CORPORATION LTD 集友銀行有限公司	039	√	X	X	X
8.	CHONG HING BANK LTD 創興銀行有限公司	041	√	X	X	√
9.	CMB WING LUNG BANK LTD 招商永隆銀行有限公司	020	√	X	X	√
10.	CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK	005	X	X	√	√
11.	DAH SING BANK LTD 大新銀行有限公司	040	√	√	√	√
12.	DBS BANK (HONG KONG) LTD 星展銀行(香港)有限公司	016	X	√	√	X

**List of Designated Banks for CCASS Investor Participants (Renminbi “RMB”)**  
**中央結算系統投資者戶口持有人之指定銀行名單 (人民幣)**

No. 編號	Bank Name 銀行名稱	Bank No. 銀行編號	Acceptable Types of Bank Account 所接受的銀行戶口類別 (√ = Yes 接受, X = No 不接受)			Provide RMB CHATS Payment Service 提供人民幣 「票據交換所 自動轉賬系統」 付款服務 (√ = Yes 提供, X = No 不提供)
			RMB Current A/C 人民幣支票戶口	RMB Passbook Savings A/C 人民幣存摺儲蓄戶口	RMB Statement Savings A/C 人民幣結單儲蓄戶口	
13.	HANG SENG BANK LTD 恒生銀行有限公司	024	Only applicable to Corporate Customers 只適用於商業客戶	Only applicable to RMB Savings A/C of: 1) Integrated Business Solutions Account 2) Personal Integrated Account 3) Standalone RMB Savings Account  只適用於以下戶口屬下的人民幣儲蓄戶口: 1) 「商業綜合戶口」 2) 「個人綜合戶口」 3) 「獨立人民幣儲蓄戶口」		X
14.	INDUSTRIAL AND COMMERCIAL BANK OF CHINA (ASIA) LTD 中國工商銀行(亞洲)有限公司	072	√	√	√	√
15.	MALAYAN BANKING BERHAD 馬來亞銀行	063	√	X	X	√
16.	NANYANG COMMERCIAL BANK LTD 南洋商業銀行有限公司	043	√	X	X	X
17.	OCBC BANK (HONG KONG) LTD 華僑銀行(香港)有限公司	035	√	√	√	√
18.	PUBLIC BANK (HONG KONG) LTD 大眾銀行(香港)有限公司	028	X	√	√	√
19.	SHANGHAI COMMERCIAL BANK LTD 上海商業銀行有限公司	025	√	X	√	√
20.	STANDARD CHARTERED BANK (HONG KONG) LTD 渣打銀行(香港)有限公司	003	√	X	X	√
21.	THE BANK OF EAST ASIA LTD 東亞銀行有限公司	015	√	√	√	√
22.	CHINA MERCHANTS BANK CO LTD 招商銀行股份有限公司	238	√	X	X	√
23.	THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD 香港上海滙豐銀行有限公司	004	Only applicable to Renminbi Commercial Current account  適用於人民幣往來 商業戶口	Applicable to Renminbi Savings account or 1) HSBC Premier 2) HSBC Advance 3) Personal Integrated Account 4) HSBC Business Direct 5) Business Vantage 6) Business Vantage Plus  適用於人民幣儲蓄戶口及以下戶口屬下的 人民幣儲蓄戶口: 1) 滙豐卓越理財 2) 滙豐運籌理財 3) 個人綜合理財戶口 4) 滙豐「理財易」商務戶口 5) 「商業」理財戶口 6) 「商貿理財」戶口		X

## Privacy Policy Statement

Hong Kong Exchanges and Clearing Limited, and from time to time, its subsidiaries (together the "Group") (and each being "HKEX", "we", "us" or "member of the Group" for the purposes of this Privacy Policy Statement as appropriate) recognise their responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under the Personal Data (Privacy) Ordinance (Cap. 486) ("PDPO"). Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by us is accurate. We will use your personal data which we may from time to time collect in accordance with this Privacy Policy Statement.

We regularly review this Privacy Policy Statement and may from time to time revise it or add specific instructions, policies and terms. Where any changes to this Privacy Policy Statement are material, we will notify you using the contact details you have provided us with and, where required by the PDPO, give you the opportunity to opt out of these changes by means notified to you at that time. Otherwise, in relation to personal data supplied to us through the HKEX website or otherwise, continued use by you of the HKEX website or your continued relationship with us shall be deemed to be your acceptance of and consent to this Privacy Policy Statement, as amended from time to time.

If you have any questions about this Privacy Policy Statement or how we use your personal data, please contact us through one of the communication channels set out in the "Contact Us" section below.

We will take all practicable steps to ensure the security of the personal data and to avoid unauthorised or accidental access, erasure or other use. This includes physical, technical and procedural security methods, where appropriate, to ensure that the personal data may only be accessed by authorised personnel.

Please note that if you do not provide us with your personal data (or relevant personal data relating to persons appointed by you to act on your behalf) we may not be able to provide the information, products or services you have asked for or process your requests, applications, subscriptions or registrations, and may not be able to perform or discharge the Regulatory Functions (defined below).

### Purpose

From time to time we may collect your personal data including but not limited to your name, mailing address, telephone number, email address, date of birth and login name for the following purposes:

1. to process your applications, subscriptions and registration for our products and services;
2. to perform or discharge the functions of HKEX and any company of which HKEX is the recognised exchange controller (as defined in the Securities and Futures Ordinance (Cap. 571)) ("Regulatory Functions");
3. to provide you with our products and services and administer your account in relation to such products and services;
4. to conduct research and statistical analysis;
5. to process your application for employment or engagement within HKEX to assess your suitability as a candidate for such position and to conduct reference checks with your previous employers; and
6. other purposes directly relating to any of the above.

### Direct marketing

Where you have given your consent and have not subsequently opted out, we may also use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to HKEX financial services and information services, and financial services and information services offered by other members of the Group.

If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, please contact us through one of the communication channels set out in the "Contact Us" section below. To ensure that your request can be processed quickly please provide your full name, email address, log in name and details of the product and/or service you have subscribed.

### Identity Card Number

We may also collect your identity card number and process this as required under applicable law or regulation, as required by any regulator having authority over us and, subject to the PDPO, for the purpose of identifying you where it is reasonable for your identity card number to be used for this purpose.

### Transfers of personal data for direct marketing purposes

Except to the extent you have already opted out we may transfer your name, mailing address, telephone number and email address to other members of the Group for the purpose of enabling those members of the Group to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services.

### Other transfers of your personal data

For one or more of the purposes specified above, your personal data may be:

1. transferred to other members of the Group and made available to appropriate persons in the Group, in Hong Kong or elsewhere and in this regard you consent to the transfer of your data outside of Hong Kong;
2. supplied to any agent, contractor or third party who provides administrative,

telecommunications, computer, payment, debt collection, data processing or other services to HKEX and/or any of other member of the Group in Hong Kong or elsewhere; and  
3. other parties as notified to you at the time of collection.

### How we use cookies

If you access our information or services through the HKEX website, you should be aware that cookies are used. Cookies are data files stored on your browser. The HKEX website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the HKEX website:

**Session Cookies:** temporary cookies that only remain in your browser until the time you leave the HKEX website, which are used to obtain and store configuration information and administer the HKEX website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the HKEX website.

**Persistent Cookies:** cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the HKEX website or to track and record user preferences.

The cookies used in connection with the HKEX website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the HKEX website.

### Compliance with laws and regulations

HKEX and other members of the Group may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulatory Functions. HKEX and other members of the Group may need to disclose your personal data in order to enforce any agreement with you, protect our rights, property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulatory Functions.

### Corporate reorganisation

As we continue to develop our business, we may reorganise our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Privacy Policy Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganisation.

### Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("**Privacy Commissioner**") which may be found on the official website of the Office of the Privacy Commissioner or via this link <https://www.pcpd.org.hk/english/publications/files/Dforme.pdf>

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent by post to us (see the "Contact Us" section below).

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

### Termination or cancellation

Should your account or relationship with us be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

### General

If there is any inconsistency or conflict between the English and Chinese versions of this Privacy Policy Statement, the English version shall prevail.

### Contact us

By Post:  
Personal Data Privacy Officer  
Hong Kong Exchanges and Clearing Limited  
8/F, Two Exchange Square  
8 Connaught Place  
Central  
Hong Kong

By Email:  
[DataPrivacy@HKEX.COM.HK](mailto:DataPrivacy@HKEX.COM.HK)

## 私隱政策聲明

香港交易及結算所有限公司及其不時附屬公司（統稱「本集團」）（在本私隱政策聲明中，各自稱為「香港交易所」、或「本集團成員公司」，視適用情況而定）明白《條例》（或「第486章《個人資料（私隱）條例》（該「條例」））及其在收集、持有、處理、使用及／或轉移個人資料方面所採取的一切措施。實情是，我們不會將個人資料作任何用途，並確保個人資料的處理符合法律及／或相關資料準則。我們不時所收集閣下的個人資料，均會根據本私隱政策聲明使用。我們不時所收集閣下的個人資料，均會根據本私隱政策聲明使用。我們不時所收集閣下的個人資料，均會根據本私隱政策聲明使用。

我們定期檢討這項私隱政策聲明，並可能不時加以修訂或加修。具體而言，我們可能會不時增加任何重要修訂，或對本私隱政策聲明作出任何修訂。閣下可以透過屆時通知閣下的不同版頁，譬如以免閣下每到一個版頁也要重新輸入資訊。Session cookies 也會用來編備關於香港交易所網站使用的匿名統計資料。

如對這項私隱政策聲明或我們如何使用閣下的個人資料有任何疑問，請通過下文「聯繫我們」所載的任何一個通訊渠道與我們聯繫。

我們會採取一切合理措施，以確保閣下的個人資料的安全，以及避免其被他人未經授權而取用。閣下可以透過本私隱政策聲明，向我們提供有關閣下個人資料的資料，以便我們能更好地保護閣下的個人資料。閣下可以透過本私隱政策聲明，向我們提供有關閣下個人資料的資料，以便我們能更好地保護閣下的個人資料。

請注意：如閣下沒有向我們提供個人資料（或有關閣下代理人的個人資料），我們可能無法提供閣下要求的資訊、產品或服務，或無法處理閣下的要求、申請、訂購或登記，亦可能無法執行或履行監管職能（定義見下文）。

### 目的

我們可能會為了以下目的而不時收集閣下的個人資料（包括但不限於閣下的姓名、郵寄地址、電話號碼、電郵地址、出生日期和登入名稱）：

1. 處理閣下的申請、訂購及登記使用我們的產品及服務；
2. 執行或履行香港交易所以及任何由其作為認可控制人的公司（定義見香港法例第571章《證券及期貨條例》）的職能（「監管職能」）；
3. 向閣下提供我們的產品和服務，以及與此相關的賬戶管理；
4. 進行研究和統計分析；
5. 處理閣下應聘香港交易所職位或工作的申請，以評估閣下是否為適合人選，並向閣下的前僱主進行相關的背景查證；及
6. 與上列任何一項有直接關聯的其他目的。

### 直接營銷

如閣下已經給予同意而其後並無撤回，我們也可能會使用閣下的姓名、郵寄地址、電話號碼和電郵地址，用以寄送宣傳資料，就香港交易所以及本集團其他成員公司的金融和資訊服務進行直接營銷活動。

如不希望收到我們的宣傳和直接營銷資料，或不希望收到某類宣傳和直接營銷資料，又或在不希望在某種途徑收到此類材料，請通過下文「聯繫我們」所載的其中一種通訊渠道聯絡我們。為確保閣下可以迅速處理閣下的要求，請提供閣下的全名、電郵地址、登入名稱及所訂閱產品及／或服務的詳情。

### 身份證號碼

我們也可能會根據適用法律或規例又或任何對我們有管轄權的監管者的要求而收集並處理閣下的身份證號碼，另外亦可能因為需要識別閣下的身份而（在不抵觸該《條例》的前提下）收集並處理閣下的身份證號碼。

### 轉移閣下的個人資料作直接營銷

除非閣下已經拒絕，否則我們可能會將閣下的姓名、郵寄地址、電話號碼和電郵地址轉交本集團其他成員公司，使其可向閣下寄送宣傳資料，就其金融和資訊服務進行直接營銷活動。

### 其他轉移個人資料的情況

- 為了以上一個或多於一個目的，閣下的個人資料可能會被：
1. 轉交予本集團其他成員公司，而使本集團（在香港或其他地方的相關人員獲得閣下的個人資料；就此，閣下同意將閣下的個人資料轉離香港；
  2. 提供予任何向香港交易所及／或本集團在香港或其他地方的其他成員提供行政、電訊、電腦、付款、追收欠款、資料處理或其他服務的代理、承辦商或第三方；及
  3. 轉交予其他人士（收集資料時會通知閣下）。

### 我們如何使用 cookies

閣下通過香港交易所網站查閱我們的資訊或服務時，應當留意到網站有使用 cookies。Cookies 是指儲存在閣下瀏覽器內的資料檔。閣下進入香港交易所網站時，網站即在閣下瀏覽器內自動安裝並使用 cookies。香港交易所網站使用兩種 cookies。

**Session Cookies**：一種只在閣下瀏覽香港交易所網站期間留存於瀏覽器內的短暫性質 cookies，用處在於取得並儲存配置資訊及管理網站，包括「攜帶」資訊以隨閣下瀏覽網站的不同版頁，譬如以免閣下每到一個版頁也要重新輸入資訊。Session cookies 也會用來編備關於香港交易所網站使用的匿名統計資料。

**Persistent Cookies**：一種留存於瀏覽器內較長時間的 cookies，用以編備關於香港交易所網站使用的匿名統計資料，或追蹤和記錄使用者的習慣偏好。

香港交易所網站所用的 cookies 不包含個人資料。閣下可以更改瀏覽器或網路安全軟件中的設定，拒絕接受瀏覽器內的 cookies。不過，這樣或會令閣下不能使用或啟動香港交易所網站中的某些功能。

### 法律與法規的遵守

香港交易所及本集團其他成員公司或為了遵守適用法律及法規例，或遵守法院指令、傳票或其他法定程序（無論在香港或其他地方），或遵從政府機關、執法機構或類似機構（無管職能，而必須保留、處理及／或披露閣下的個人資料。香港交易所及本集團其他成員公司或須披露閣下的個人資料，以履行與閣下的協議，或保護我們或我們僱員的權利、財產或安全，又或執行或履行監管職能。

### 公司重組

隨著我們持續發展業務，我們可能會重組集團架構或出現控制權易手或業務合併。在這些情況下，閣下的個人資料或會按這份私隱政策聲明或另一份將會通知閣下的私隱政策聲明而移交繼續經營我們業務或類似服務的第三方。此等第三方或在香港以外的地方，就此等收購或重組而使用閣下個人資料的地點也可能不在香港。

### 查閱及更正個人資料

根據該《條例》，閣下有權查明我們是否持有閣下的個人資料、取得資料的備份及更正任何不準確資料，也可以要求我們通知閣下其持有資料的種類。如欲查閱有關資料，須使用個人資料私隱專員（「私隱專員」）指定的表格（可於私隱專員公署的官方網站下載）或經此連接 [https://www.pcpd.org.hk/english/publications/files/Dform\\_e.pdf](https://www.pcpd.org.hk/english/publications/files/Dform_e.pdf) 提出。

如欲查閱和更正個人資料，或查閱有關政策與常規以及我們所持有資料種類，應以書面及郵遞形式提出要求（見以下「聯繫我們」）。

我們可能會因應閣下查閱資料要求而產生的行政及實際成本而收取合理的費用。

### 終止或取消

任何時候如果閣下在我們的賬戶或與我們的關係被取消或終止，我們會隨即在合理情況下盡快終止處理閣下的個人資料，但也可按合理需要而保留有關資料，合理的需要包括：資料歸檔；解決實際或潛在的爭議；遵守適用法律及規例；履行與閣下的任何協議；保障我們及我們僱員的權利、財產或安全；以及執行或履行我們的職能、義務及責任等。

### 一般資料

本私隱政策聲明中英文本如有差異，概以英文版為準。

### 聯繫我們

郵寄：  
香港中環康樂廣場8號  
交易廣場2期8樓  
香港交易及結算所有限公司  
個人資料隱私主任

電郵：  
[DataPrivacy@HKEX.COM.HK](mailto:DataPrivacy@HKEX.COM.HK)