CCMPY01/ CCMPY02 – Posting Collateralisation Result Report

CCMPY01 (HKSCC Participants)

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1      CCMPY01                                                  HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                              RUN TIME : HH:MM:SS
3                                                                                                                              PAGE : Z,ZZ9
4
5       CCMS DATE        :   XXXXXXX
6       CLEARING HOUSE   :   HKSCC
7       PAYMENT BATCH NO :   9999
8
9       FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10      PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12      FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13      -------   -------   --------   ------   ----------
14      XXXXXXX   XXXXXX      XXX       XXXX         X
15
16      ***********************************************************************************************************************
17      COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                        X
19                                                                                                                                        X
20      PRODUCT INVOLVED                                                                                                                  X
21      ================                                                                                                                  X
22                                                                                                                                        X
23      PRODUCT CODE :    XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                       X
24                        XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                       X
25                        XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                               X
26                                                                                                                                        X
27                                                                                                                                        X
28      TRANSACTION SOURCE                                                                                                                X
29      ==================                                                                                                                X
30                                                                                                                                        X
31      OBLIGATION COLLATERALISATION                                                                                                      X
32      ----------------------------                                                                                                      X
33                                                                                                                                        X
34      PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY            AMOUNT          ORG CCY       ORG AMOUNT           EX RATE        X
35      ---------------------    -------  -----------   ---      --------------------   -------  --------------------  ----------------   X
36      XXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
37      XXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
38                                                                                                                                        X
39                                                                                                                                        X
40      MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS                                                                               X
41      ===================================================                                                                               X
42                                                                                                                                        X
43      OBLIGATION COLLATERALISATION                                                                                                      X
44      ----------------------------                                                                                                      X
45                                                                                                                                        X
46      CCY    PRODUCT      OB/TXN            AMOUNT            MULTIPLIED AMT         HKD EQUIVALENT               MIN COH AMT (CCY)  X
47      ---    -------    -----------   --------------------  --------------------  --------------------            --------------------X
48      XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
49             XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
50                                                            -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 :                                                                                                                                        X
59                                                                                                                                        X
60                                                                                                                                        X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
6      |                                                                                                                                X
7      |  CCY    PRODUCT      OB/TXN            AMOUNT            MULTIPLIED AMT        HKD EQUIVALENT                MIN COH AMT (CCY)  X
8      |  ---    -------    -----------   ---------------------  ---------------------  ---------------------  ---------------------X
9      |  XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
10     |         XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
11     |                                                       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
12     |  XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
13     |         XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
14     |         XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
15     |                                                       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16     |                                                                                                                                X
17     |                                                                  TOTAL = -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
18     |                                                                                                                                X
19     |                                                                                                                                X
20     |  LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT                                                                          X
21     |  ====================================================                                                                          X
22     |                                                                                                                                X
23     |  ACCOUNT BALANCE SNAPSHOT BEFORE POSTING                                                                                       X
24     |  ----------------------------------------                                                                                      X
25     |                                                                                                                                X
26     |  CASH INVENTORY                                                                                                                X
27     |                                                                                                                                X
28     |  ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
29     |  CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
30     |  ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
31     |  XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
32     |  XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
33     |                                                                                                                                X
34     |  ACCOUNT TDMV/TEMV BALANCE                                                                                                     X
35     |                                                                                                                                X
36     |  ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
37     |          TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV            NON-CASH TEMV     X
38     |  -------------------- -------------------- ---------------------    -------------------- -------------------- ---------------------  X
39     | -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
40     |                                                                                                                                X
41     |  REMARKS: UNDER ACCOUNT LEVEL TDMV, $-Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                            X
42     |                                                                                                                                X
43     |  LEDGER POSTING RESULT                                                                                                         X
44     |  ---------------------                                                                                                         X
45     |                                                                                                                                X
46     |  CCY    PRODUCT  OB/TXN   DIRECT DEBIT / CREDIT RESULT     NET OUT DB RESULTED                                                 X
47     |  ---    -------  ------  -------------------------------  --------------------                                                X
48     |  XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                X
49     |         XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                X
 :     |                            -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                    X
 :     |                                                                                                                                X
 :     |                                                                                                                                X
 :     |                                                                                                                                X
 :     |  OBLIGATION COLLATERALISATION RESULT (NON-CASH COLLATERAL FIRST)                                                               X
59     |  ---------------------------------------------------------------                                                               X
60     |                                                                                                                                X
```

```
         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
        123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1        CCY   OB/TXN   CONTRACT CURRENCY         GENERAL COLLATERAL EARMARKED VALUE (HKD)          SHORTFALL           SHORTFALL PENDING  X
2                            ON HOLD                   CASH               NON-CASH                                      FOR COLLECTION*  X
3        ---   ------  ---------------------     --------------------  --------------------     --------------------   -------------------- X
4        XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
5        XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
6                                                                                                                                        X
7        ACCOUNT BALANCE SNAPSHOT AFTER POSTING                                                                                          X
8        --------------------------------------                                                                                          X
9                                                                                                                                        X
10       CASH INVENTORY                                                                                                                  X
11                                                                                                                                       X
12       ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
13       CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
14       ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
15       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
17                                                                                                                                       X
18       ACCOUNT TDMV/TEMV BALANCE                                                                                                       X
19                                                                                                                                       X
20       ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
21               TDMV             CASH TDMV            NON-CASH TDMV               TEMV             CASH TEMV            NON-CASH TEMV     X
22       -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
23      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
24                                                                                                                                       X
25       REMARKS: UNDER ACCOUNT LEVEL TDMV, $ -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                              X
26                                                                                                                                       X
27                                                                                                                                       X
28       PREFERRED SINGLE SETTLEMENT CURRENCY CONVERSION RESULT                                                                           X
29        ======================================================                                                                        X
30                                                                                                                                       X
31        ----ORIGINAL CURRENCY-----   ----PREFERRED CURRENCY----                                                                        X
32        CCY      ORIGINAL AMOUNT     CCY    CONVERTED AMOUNT                                                                           X
33        ---  ---------------------   ---  ---------------------                                                                       X
34        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
35        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
36                                                                                                                                       X
37                                                                                                                                       X
38       MARKS AND MARGIN TO BE COLLECTED                                                                                                X
39       ================================                                                                                                X
40                                                                                                                                       X
41        CCY      TOTAL AMT                                                                                                             X
42        ---  --------------------                                                                                                    X
43        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
44        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                       X
45                                                                                                                                       X
46       REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.                      X
47                                                                                                                                       X
48                                                                                                                                       X
49      FOOTNOTE: ODMKS - DUE/OVERDUE MARKS                                                                                              X
50                PDMKS - PENDING MARKS                                                                                                  X
51                MARGN - MARGIN                                                                                                         X
52               * "SHORTFALL PENDING FOR COLLECTION" HAVE TAKEN INTO ACCOUNT THE TOLERANCE LIMIT FOR INTRA-DAY MARKS AND                X
53                 COLLATERAL, IF APPLICABLE.                                                                                            X
54                                                   *** END OF REPORT ***                                                               X
55                                                                                                                                       X
56                                                                                                                                       X
57                                                                                                                                       X
58                                                                                                                                       X
59                                                                                                                                       X
60                                                                                                                                       X
```

CCMPY01 (HKCC/ SEOCH Participants)

Note: Report sub-title

- "(DAILY MARKET-OPEN ASSESSMENT)" is shown for HKCC when MMO Call is triggered
- "(DAILY INTRA-DAY ASSESSMENT)" is shown for HKCC when Intra-day VA Call is triggered
- "(AD-HOC/MANDATORY INTRA-DAY CALL) is shown for HKCC when Intra-day VA Call is not triggered
- "(AD-HOC/MANDATORY INTRA-DAY CALL) is shown for SEOCH.

Summary Page

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
        123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1       CCMPY01                                                HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                           RUN TIME : HH:MM:SS
3                                                         (DAILY INTRA-DAY ASSESSMENT)                                  PAGE : Z,ZZ9
4
5        CCMS DATE        :   XXXXXXX
6        CLEARING HOUSE   :   XXXXX
7        PAYMENT BATCH NO :   9999
8
9        FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10       PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12       FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13       -------   -------   --------   ------   ----------
14       XXXXXXX   XXXXXX
15
16       ***********************************************************************************************************************
17                                                                                                                            X
18                                                                                                                            X
19       DDI / DCI SUMMARY                                                                                                    X
20       =================                                                                                                    X
21                                                                                                                            X
22       DIRECT DEBIT INSTRUCTION TO BE COLLECTED                                                                             X
23       ----------------------------------------                                                                             X
24                                                                                                                            X
25       CCY   A/C TYPE   A/C NO        TOTAL AMT                                                                             X
26       ---   --------   ------   --------------------                                                                      X
27       HKD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
28               HSE       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
29                                 --------------------                                                                       X
30                                -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
31                                                                                                                            X
32       USD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
33                                 --------------------                                                                       X
34                                -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
35                                                                                                                            X
36       DIRECT CREDIT INSTRUCTION TO BE CREDITED                                                                             X
37       ----------------------------------------                                                                             X
38                                                                                                                            X
39       CCY   A/C TYPE   A/C NO        TOTAL AMT                                                                             X
40       ---   --------   ------   --------------------                                                                      X
41       HKD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
42               HSE       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
43                                 --------------------                                                                       X
44                                -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
45                                                                                                                            X
46       USD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
47                                 --------------------                                                                       X
48                                -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                        X
:                                                                                                                             X
:                                                                                                                             X
:                                                                                                                             X
59                                                                                                                            X
60                                                                                                                            X
```

CCMPY01 (HKCC/ SEOCH Participants)

Note: Obligation Collateralization

- "ORG AMOUNT" and "EX RATE" are formatted with "*****" for HKCC when the Previous Obligation has been updated and Settlement Currency Conversion taken place.

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1    CCMPY01                                                HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                 POSTING / COLLATERALISATION RESULT REPORT                           RUN TIME : HH:MM:SS
3                                                      (DAILY INTRA-DAY ASSESSMENT)                                   PAGE : Z,ZZ9
4
5     CCMS DATE        :   XXXXXXX
6     CLEARING HOUSE   :   XXXXX
7     PAYMENT BATCH NO :   9999
8
9     FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10    PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12    FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13    -------   -------   --------   ------   ----------
14    XXXXXXX   XXXXXX      XXX       XXXX         X
15
16    ***********************************************************************************************************************
17    COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                        X
19                                                                                                                                        X
20    PRODUCT INVOLVED                                                                                                                    X
21    ================                                                                                                                    X
22                                                                                                                                        X
23    PRODUCT CODE :    XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                        X
24                      XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                        X
25                      XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                                X
26                                                                                                                                        X
27                                                                                                                                        X
28    TRANSACTION SOURCE                                                                                                                  X
29    ==================                                                                                                                  X
30                                                                                                                                        X
31    LEDGER POSTING                                                                                                                      X
32    --------------                                                                                                                      X
33                                                                                                                                        X
34    PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY             AMOUNT          ORG CCY       ORG AMOUNT           EX RATE        X
35    ---------------------    -------  -----------   ---      --------------------   -------  --------------------  -----------------   X
36    XXXXXXXXXXXXXXXXX        XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
37    XXXXXXXXXXXXXXXXX        XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
38                                                                                                                                        X
39    OBLIGATION COLLATERALISATION                                                                                                        X
40    ----------------------------                                                                                                        X
41                                                                                                                                        X
42    PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY             AMOUNT          ORG CCY       ORG AMOUNT           EX RATE        X
43    ---------------------    -------  -----------   ---      --------------------   -------  --------------------  -----------------   X
44    XXXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX    XXXXXXXXXXXXXXXXXXXX  XXXXXXXXXXXXXXXXX   X
45    XXXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX    XXXXXXXXXXXXXXXXXXXX  XXXXXXXXXXXXXXXXX   X
46                                                                                                                                        X
47                                                                                                                                        X
48    MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS                                                                                 X
49    ===================================================                                                                                 X
50                                                                                                                                        X
51    LEDGER POSTING                                                                                                                      X
52    --------------                                                                                                                      X
53                                                                                                                                        X
 :    CCY         OB/TXN      PRODUCT           AMOUNT                                                                                    X
 :    ---       -----------   -------    --------------------                                                                            X
 :    XXX          XXXXX      XXXXXXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                            X
59    ___          XXXXX      XXXXXXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                            X
60                                      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                                                  X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
        123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1        XXX          XXXXX      XXXXXXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                          X
2                                          -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                                                 X
3                                                                                                                                            X
4        OBLIGATION COLLATERALISATION                                                                                                        X
5        ----------------------------                                                                                                        X
6                                                                                                                                            X
7        CCY    PRODUCT      OB/TXN            AMOUNT            MULTIPLIED AMT        HKD EQUIVALENT                MIN COH AMT (CCY)  X
8        ---    -------    -----------   --------------------  --------------------  --------------------             --------------------X
9        XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
10              XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
11                                                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
12       XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
13              XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
14              XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
15                                                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16                                                                                                                                           X
17                                                                          TOTAL = -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                    X
18                                                                                                                                           X
19                                                                                                                                           X
20       LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT                                                                                X
21       ===================================================                                                                                 X
22                                                                                                                                           X
23       ACCOUNT BALANCE SNAPSHOT BEFORE POSTING                                                                                             X
24       ---------------------------------------                                                                                             X
25                                                                                                                                           X
26       CASH INVENTORY                                                                                                                      X
27                                                                                                                                           X
28       ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
29       CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
30       ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
31       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
32       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
33                                                                                                                                           X
34       ACCOUNT TDMV/TEMV BALANCE                                                                                                           X
35                                                                                                                                           X
36       ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
37               TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV            NON-CASH TEMV     X
38       -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
39      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
40                                                                                                                                           X
41       REMARKS: UNDER ACCOUNT LEVEL TDMV, $-Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                                X
42                                                                                                                                           X
43       LEDGER POSTING RESULT                                                                                                               X
44       ---------------------                                                                                                               X
45                                                                                                                                           X
46       CCY    PRODUCT  OB/TXN   DIRECT DEBIT / CREDIT RESULT     NET OUT DB RESULTED                                                     X
47       ---    -------  ------  -------------------------------  --------------------                                                     X
48       XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                    X
49              XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                    X
 :                                  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                        X
 :                                                                                                                                           X
 :       OBLIGATION COLLATERALISATION RESULT (CONTRACT CURRENCY FIRST)                                                                       X
59       ------------------------------------------------------------                                                                        X
60                                                                                                                                           X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
        123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1        CCY   OB/TXN   CONTRACT CURRENCY         GENERAL COLLATERAL EARMARKED VALUE (HKD)          SHORTFALL           SHORTFALL PENDING  X
2                            ON HOLD                   CASH                NON-CASH                                     FOR COLLECTION   X
3        ---   ------  --------------------     --------------------  --------------------     --------------------   -------------------- X
4        XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
5        XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
6                                                                                                                                        X
7        ACCOUNT BALANCE SNAPSHOT AFTER POSTING                                                                                          X
8        ---------------------------------------                                                                                         X
9                                                                                                                                        X
10       CASH INVENTORY                                                                                                                  X
11                                                                                                                                       X
12       ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
13       CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
14       ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
15       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
17                                                                                                                                       X
18       ACCOUNT TDMV/TEMV BALANCE                                                                                                       X
19                                                                                                                                       X
20       ----------------TOTAL DISCOUNTED MARKET VALUE------------------    --------------------TOTAL EARMARKED VALUE----------------------  X
21               TDMV             CASH TDMV            NON-CASH TDMV               TEMV             CASH TEMV            NON-CASH TEMV     X
22       -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
23      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
24                                                                                                                                       X
25       REMARKS: UNDER ACCOUNT LEVEL TDMV, $ -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                              X
26                                                                                                                                       X
27                                                                                                                                       X
28       PREFERRED SETTLEMENT CURRENCY CONVERSION RESULT                                                                                 X
29       ===============================================                                                                                 X
30                                                                                                                                       X
31       ----------CONTRACT CURRENCY---------      ----PREFERRED CURRENCY----                                                            X
32       CCY  SHORTFALL AMOUNT BEFORE CONVERSION   CCY    CONVERTED AMOUNT                                                              X
33       ---  ------------------------------------   ---  ---------------------                                                          X
34       XXX               -Z,ZZZ,ZZZ,ZZZ,ZZ9.99   XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                          X
35       XXX               -Z,ZZZ,ZZZ,ZZZ,ZZ9.99   XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                          X
36                                                                                                                                       X
37                                                                                                                                       X
38       CURRENCY CONVERSION DUE TO MISSING BANK ACCOUNT                                                                                 X
39       ===============================================                                                                                 X
40                                                                                                                                       X
41       FROM CCY        ORG AMOUNT        EXCHANGE RATE WITH HAIRCUT   CONVERTED AMOUNT IN HKD                                         X
42       --------   --------------------   --------------------------   -----------------------                                          X
43          XXX    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        ZZZZ9.9999999999         -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 X
44          XXX    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        ZZZZ9.9999999999         -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 X
45                                                                                                                                       X
46                                                                                                                                       X
47       DIRECT DEBIT INSTRUCTION TO BE COLLECTED                                                                                        X
48       ========================================                                                                                        X
49                                                                                                                                       X
50        CCY      TOTAL AMT                                                                                                             X
51        ---  --------------------                                                                                                    X
52        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                       X
53        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                       X
54                                                                                                                                       X
56       REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.                      X
56                                                                                                                                       X
57                                                                                                                                       X
58       DIRECT CREDIT INSTRUCTION TO BE CREDITED                                                                                        X
59       ========================================                                                                                        X
60                                                                                                                                       X
```

CCMPY01/ CCMPY02 – Posting Collateralisation Result Report

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1        CCY      TOTAL AMT                                                                                                          X
2        ---  --------------------                                                                                                  X
3        XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                    X
4                                                                                                                                     X
5                                                                                                                                     X
6                                                      *** END OF REPORT ***                                                          X
7                                                                                                                                     X
```

CCMPY02 (HKSCC Participants)

Note:

- When there is marks / margin to be collected and MSI generation, the section header will be displayed “MARKS AND MARGIN TO BE COLLECTED”
- When there is marks / margin to be collected and no MSI generation, the section header will be displayed “MARKS AND MARGIN NOT TO BE COLLECTED ON DDMMMYY DUE TO NO DDI GENERATION”

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
         123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1        CCMPY02                                                HKEX - CCMS                                          RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                        RUN TIME : HH:MM:SS
3                                                                                                                        PAGE : Z,ZZ9
4
5         CCMS DATE        :   XXXXXXX
6         CLEARING HOUSE   :   HKSCC
7         PAYMENT BATCH NO :   9999
8
9         FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10        PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12        FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13        -------   -------   --------   ------   ----------
14        XXXXXXX   XXXXXX      XXX       XXXX         X
15
16        ***********************************************************************************************************************
17        COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                          X
19                                                                                                                                          X
20        PRODUCT INVOLVED                                                                                                                  X
21        ================                                                                                                                  X
22                                                                                                                                          X
23        PRODUCT CODE :    XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                      X
24                          XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                      X
25                          XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                              X
26                                                                                                                                          X
27                                                                                                                                          X
28        TRANSACTION SOURCE                                                                                                                X
29        ==================                                                                                                                X
30                                                                                                                                          X
31        OBLIGATION COLLATERALISATION                                                                                                      X
32        ----------------------------                                                                                                      X
33                                                                                                                                          X
34        PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY             AMOUNT          ORG CCY       ORG AMOUNT           EX RATE        X
35        ---------------------    -------  -----------   ---      --------------------   -------  --------------------  ----------------   X
36        XXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
37        XXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
38                                                                                                                                          X
39                                                                                                                                          X
40        MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS                                                                               X
41        ===================================================                                                                               X
42                                                                                                                                          X
43        OBLIGATION COLLATERALISATION                                                                                                      X
44        ----------------------------                                                                                                      X
45                                                                                                                                          X
46        CCY    PRODUCT      OB/TXN            AMOUNT            MULTIPLIED AMT        HKD EQUIVALENT               MIN COH AMT (CCY)  X
47        ---    -------    -----------   --------------------  --------------------  --------------------            --------------------X
48        XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
49               XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
50                                                             -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 :                                                                                                                                          X
59                                                                                                                                          X
60                                                                                                                                          X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
6      |                                                                                                                                  X
7      |  CCY    PRODUCT      OB/TXN            AMOUNT            MULTIPLIED AMT        HKD EQUIVALENT                MIN COH AMT (CCY)  X
8      |  ---    -------    -----------   --------------------  --------------------  --------------------          --------------------X
9      |  XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
10     |         XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
11     |                                                       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
12     |  XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
13     |         XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
14     |         XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                X
15     |                                                       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16     |                                                                                                                                  X
17     |                                                                  TOTAL = -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                   X
18     |                                                                                                                                  X
19     |                                                                                                                                  X
20     |  LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT                                                                            X
21     |  ====================================================                                                                            X
22     |                                                                                                                                  X
23     |  ACCOUNT BALANCE SNAPSHOT BEFORE POSTING                                                                                         X
24     |  ----------------------------------------                                                                                        X
25     |                                                                                                                                  X
26     |  CASH INVENTORY                                                                                                                  X
27     |                                                                                                                                  X
28     |  ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
29     |  CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
30     |  ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
31     |  XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
32     |  XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
33     |                                                                                                                                  X
34     |  ACCOUNT TDMV/TEMV BALANCE                                                                                                       X
35     |                                                                                                                                  X
36     |  ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
37     |          TDMV             CASH TDMV            NON-CASH TDMV               TEMV             CASH TEMV            NON-CASH TEMV     X
38     |  ------------------- ------------------- --------------------    ------------------- ------------------- --------------------  X
39     | -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
40     |                                                                                                                                  X
41     |  REMARKS: UNDER ACCOUNT LEVEL TDMV, $-Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                              X
42     |                                                                                                                                  X
43     |  LEDGER POSTING RESULT                                                                                                           X
44     |  ---------------------                                                                                                           X
45     |                                                                                                                                  X
46     |  CCY    PRODUCT  OB/TXN   DIRECT DEBIT / CREDIT RESULT     NET OUT DB RESULTED                                                   X
47     |  ---    -------  ------  -------------------------------  --------------------                                                  X
48     |  XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                  X
49     |         XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                  X
 :     |                            -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                      X
 :     |                                                                                                                                  X
 :     |                                                                                                                                  X
 :     |                                                                                                                                  X
 :     |  OBLIGATION COLLATERALISATION RESULT (NON-CASH COLLATERAL FIRST)                                                                 X
59     |  ---------------------------------------------------------                                                                       X
60     |                                                                                                                                  X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1       CCY   OB/TXN   CONTRACT CURRENCY         GENERAL COLLATERAL EARMARKED VALUE (HKD)          SHORTFALL           SHORTFALL PENDING  X
2                        ON HOLD                    CASH                NON-CASH                                          FOR COLLECTION*  X
3       ---   ------  --------------------     --------------------  --------------------     --------------------   -------------------- X
4       XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
5       XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
6                                                                                                                                        X
7       ACCOUNT BALANCE SNAPSHOT AFTER POSTING                                                                                           X
8       --------------------------------------                                                                                           X
9                                                                                                                                        X
10      CASH INVENTORY                                                                                                                   X
11                                                                                                                                       X
12      ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
13      CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
14      ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
15      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
17                                                                                                                                       X
18      ACCOUNT TDMV/TEMV BALANCE                                                                                                        X
19                                                                                                                                       X
20      ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
21              TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV            NON-CASH TEMV     X
22      -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
23     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
24                                                                                                                                       X
25      REMARKS: UNDER ACCOUNT LEVEL TDMV, $ -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                              X
26                                                                                                                                       X
27                                                                                                                                       X
28      PREFERRED SINGLE SETTLEMENT CURRENCY CONVERSION RESULT                                                                           X
29      ======================================================                                                                           X
30                                                                                                                                       X
31       ----ORIGINAL CURRENCY-----   ----PREFERRED CURRENCY----                                                                         X
32       CCY      ORIGINAL AMOUNT     CCY    CONVERTED AMOUNT                                                                           X
33       ---  ---------------------   ---  ---------------------                                                                        X
34       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                          X
35       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                          X
36                                                                                                                                       X
37                                                                                                                                       X
38      MARKS AND MARGIN TO BE COLLECTED                                                                                                 X
39      ================================                                                                                                 X
38      MARKS AND MARGIN NOT TO BE COLLECTED ON DDMMMYY DUE TO NO DDI GENERATION                                                         X
39      ======================================================================                                                           X
40                                                                                                                                       X
41       CCY      TOTAL AMT                                                                                                              X
42       ---  --------------------                                                                                                      X
43       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
44       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
45                                                                                                                                       X
46      REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.                       X
47                                                                                                                                       X
48                                                                                                                                       X
49      MARKS AND MARGIN TO BE REFUNDED                                                                                                  X
50      ===============================                                                                                                  X
51                                                                                                                                       X
 :       CCY      TOTAL AMT                                                                                                              X
 :       ---  --------------------                                                                                                      X
 :       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
59                                                                                                                                       X
60                                                                                                                                       X
```

CCMPY01/ CCMPY02 – Posting Collateralisation Result Report

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1      CCMPY02                                                HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                           RUN TIME : HH:MM:SS
3                                                                                                                           PAGE : Z,ZZ9
4
5       CCMS DATE        :   XXXXXXX
6       CLEARING HOUSE   :   HKSCC
7       PAYMENT BATCH NO :   9999
8
9       FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10      PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12      FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13      -------   -------   --------   ------   ----------
14      XXXXXXX   XXXXXX      XXX       XXXX         X
15
16      ************************************************************************************************************************************
17      COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                          X
19      FOOTNOTE: ODMKS - DUE/OVERDUE MARKS                                                                                                 X
20                PDMKS - PENDING MARKS                                                                                                     X
21                MARGN - MARGIN                                                                                                            X
22              * "SHORTFALL PENDING FOR COLLECTION" HAVE TAKEN INTO ACCOUNT THE TOLERANCE LIMIT FOR INTRA-DAY MARKS AND                    X
23                COLLATERAL, IF APPLICABLE.                                                                                                X
24                                                      *** END OF REPORT ***                                                               X
25                                                                                                                                          X
26                                                                                                                                          X
27                                                                                                                                          X
28                                                                                                                                          X
29                                                                                                                                          X
30                                                                                                                                          X
:                                                                                                                                           X
60                                                                                                                                          X
```

CCMPY01/ CCMPY02 – Posting Collateralisation Result Report

CCMPY02 (HKCC/ SEOCH Participants)

Summary page

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1      CCMPY02                                                HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                          RUN TIME : HH:MM:SS
3                                                              (DAY-END)                                                  PAGE : Z,ZZ9
4
5       CCMS DATE        :   XXXXXXX
6       CLEARING HOUSE   :   XXXXX
7       PAYMENT BATCH NO :   9999
8
9       FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10      PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12      FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13      -------   -------   --------   ------   ----------
14      XXXXXXX   XXXXXX
15
16      ***********************************************************************************************************************
17                                                                                                                          X
18                                                                                                                          X
19      DDI / DCI SUMMARY                                                                                                   X
20      =================                                                                                                   X
21                                                                                                                          X
22      DIRECT DEBIT INSTRUCTION TO BE COLLECTED                                                                            X
23      ----------------------------------------                                                                            X
24                                                                                                                          X
25      CCY   A/C TYPE   A/C NO        TOTAL AMT          SHORTFALL DDI           EOT DDI                                  X
26      ---   --------   ------   --------------------  --------------------  --------------------                         X
27      HKD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                         X
28              HSE       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                         X
29                                --------------------  --------------------  --------------------                         X
30                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                         X
31                                                                                                                          X
32      USD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                         X
33                                --------------------  --------------------  --------------------                         X
34                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                         X
35                                                                                                                          X
36      DIRECT CREDIT INSTRUCTION TO BE CREDITED                                                                            X
37      ----------------------------------------                                                                            X
38                                                                                                                          X
39      CCY   A/C TYPE   A/C NO        TOTAL AMT                                                                            X
40      ---   --------   ------   --------------------                                                                     X
41      HKD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                      X
42              HSE       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                      X
43                                --------------------                                                                      X
44                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                      X
45                                                                                                                          X
46      USD     CLT       0001   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                      X
47                                --------------------                                                                      X
48                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                      X
:                                                                                                                           X
:                                                                                                                           X
:                                                                                                                           X
59                                                                                                                          X
60                                                                                                                          X
```

These two columns for SEOCH participants only

CCMPY01/ CCMPY02 – Posting Collateralisation Result Report

CCMPY02 (HKCC/ SEOCH Participants)

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1      CCMPY02                                                  HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                            RUN TIME : HH:MM:SS
3                                                              (DAY-END)                                                   PAGE : Z,ZZ9
4
5       CCMS DATE        :   XXXXXXX
6       CLEARING HOUSE   :   XXXXX
7       PAYMENT BATCH NO :   9999
8
9       FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10      PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12      FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13      -------   -------   --------   ------   ----------
14      XXXXXXX   XXXXXX      XXX       XXXX         X
15
16      ***********************************************************************************************************************************
17      COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                         X
19                                                                                                                                         X
20      PRODUCT INVOLVED                                                                                                                   X
21      ================                                                                                                                   X
22                                                                                                                                         X
23      PRODUCT CODE :    XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                        X
24                        XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                                  X
25                        XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                                  X
26                                                                                                                                         X
27                                                                                                                                         X
28      TRANSACTION SOURCE                                                                                                                 X
29      ==================                                                                                                                 X
30                                                                                                                                         X
31      LEDGER POSTING                                                                                                                     X
32      --------------                                                                                                                     X
33                                                                                                                                         X
34      PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY            AMOUNT          ORG CCY       ORG AMOUNT           EX RATE        X
35      ---------------------    -------  -----------   ---      --------------------  -------  --------------------  ----------------   X
36      XXXXXXXXXXXXXXXXX        XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
37      XXXXXXXXXXXXXXXXX        XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
38                                                                                                                                         X
39      OBLIGATION COLLATERALISATION                                                                                                       X
40      ----------------------------                                                                                                       X
41                                                                                                                                         X
42      PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY            AMOUNT          ORG CCY       ORG AMOUNT           EX RATE        X
43      ---------------------    -------  -----------   ---      --------------------  -------  --------------------  ----------------   X
44      XXXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
45      XXXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
46
 :      OUTSTANDING DEBIT INDUCED FROM NON-H-PRODUCT CARRY FORWARD FROM PRECEDING HK BUSINESS DAY
 :      ----------------------------------------------------------------------------------------
 :
 :      CCY          OUTSD DR
 :      ---     --------------------
 :      XXX    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99
 :      XXX    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99
 :                                                                                                                                         X
47                                                                                                                                         X
48      MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS                                                                                X
49      ===================================================                                                                                X
50                                                                                                                                         X
51      LEDGER POSTING                                                                                                                     X
52      --------------                                                                                                                     X
53                                                                                                                                         X
 :      CCY         OB/TXN      PRODUCT          AMOUNT                                                                                    X
 :      ---       -----------   -------    --------------------                                                                           X
 :      XXX          XXXXX      XXXXXXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                            X
```

This section is applicable to H-Part only, and will be displayed on the last H-Day (in case of consecutive H-Days) such that next day (H+1) is a Hong Kong Business Day.

```
:   ___          XXXXX      XXXXXXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                    X
:                                     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                        X
:   XXX          XXXXX      XXXXXXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                    X
:                                     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                        X
:
```

This section for SEOCH participants only

```
                                                                                                               X
    EOT SPECIAL-USE PLEDGING RESULT                                                                            X
:   ------------------------------                                                                             X
:                                                                                                              X
:   CCY    SU CODE  REQ PLEDGING AMT  ACTUAL PLEDGED AMT       DDI AMT        CONVERTED AMT IN HKD      FINAL DDI AMT PER CCY   X
:   ---    -------  ----------------  ------------------   ----------------  --------------------      ---------------------   X
:   XXX    XXX     -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99      -Z,ZZZ,ZZZ,ZZ9.99          -Z,ZZZ,ZZZ,ZZ9.99   X
:          XXX     -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99      -Z,ZZZ,ZZZ,ZZ9.99          -Z,ZZZ,ZZZ,ZZ9.99   X
:                  -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99  (SUB-TOTAL)                    -Z,ZZZ,ZZZ,ZZ9.99   X
:                                                                                                              X
:   XXX    XXX     -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99      -Z,ZZZ,ZZZ,ZZ9.99          -Z,ZZZ,ZZZ,ZZ9.99   X
:                  -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99  (SUB-TOTAL)                    -Z,ZZZ,ZZZ,ZZ9.99   X
:                                                                                                              X
:   XXX    XXX     -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99      -Z,ZZZ,ZZZ,ZZ9.99          -Z,ZZZ,ZZZ,ZZ9.99   X
:   ___    XXX     -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99      -Z,ZZZ,ZZZ,ZZ9.99          -Z,ZZZ,ZZZ,ZZ9.99   X
:                  -Z,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZ9.99  (SUB-TOTAL)                    -Z,ZZZ,ZZZ,ZZ9.99   X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1                                                                                                                                      X
2                                                                                                                                      X
3                                                                                                                                      X
4      OBLIGATION COLLATERALISATION                                                                                                    X
5      ----------------------------                                                                                                    X
6                                                                                                                                      X
7      CCY    PRODUCT      OB/TXN             AMOUNT             MULTIPLIED AMT         HKD EQUIVALENT                MIN COH AMT (CCY)  X
8      ---    -------    -----------   --------------------   --------------------  --------------------             --------------------X
9      XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
10            XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
11                                                            -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
12     XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
13            XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
14            XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
15                                                            -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16                                                                                                                                     X
17                                                                          TOTAL = -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                              X
18                                                                                                                                     X
19                                                                                                                                     X
20     LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT                                                                            X
21     ===================================================                                                                             X
22                                                                                                                                     X
23     ACCOUNT BALANCE SNAPSHOT BEFORE POSTING                                                                                         X
24     ---------------------------------------                                                                                         X
25                                                                                                                                     X
26     CASH INVENTORY                                                                                                                  X
27                                                                                                                                     X
28     ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
29     CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
30     ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
31     XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
32     XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
33                                                                                                                                     X
34     ACCOUNT TDMV/TEMV BALANCE                                                                                                       X
35                                                                                                                                     X
36     ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
37             TDMV             CASH TDMV            NON-CASH TDMV               TEMV             CASH TEMV            NON-CASH TEMV     X
38     -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
39    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
40                                                                                                                                     X
41     REMARKS: UNDER ACCOUNT LEVEL TDMV, $-Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                             X
42                                                                                                                                     X
43     LEDGER POSTING RESULT                                                                                                           X
44     ---------------------                                                                                                           X
45                                                                                                                                     X
46     CCY    PRODUCT  OB/TXN   DIRECT DEBIT / CREDIT RESULT     NET OUT DB RESULTED                                                 X
47     ---    -------  ------  -------------------------------  --------------------                                                 X
48     XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 X
49     XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 X
 :                               -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                     X
 :                                                                                                                                     X
 :     OBLIGATION COLLATERALISATION RESULT (CONTRACT CURRENCY FIRST)                                                                   X
59     ------------------------------------------------------------                                                                    X
60                                                                                                                                     X
```

```
c          +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
       123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1       CCY   OB/TXN   CONTRACT CURRENCY          GENERAL COLLATERAL EARMARKED VALUE (HKD)          SHORTFALL           SHORTFALL PENDING  X
2                        ON HOLD                    CASH                NON-CASH                                          FOR COLLECTION   X
3       ---   ------  ---------------------     --------------------  --------------------     ---------------------   -------------------- X
4       XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
5       XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
6                                                                                                                                        X
7       ACCOUNT BALANCE SNAPSHOT AFTER POSTING                                                                                           X
8       --------------------------------------                                                                                           X
9                                                                                                                                        X
10      CASH INVENTORY                                                                                                                   X
11                                                                                                                                       X
12      ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
13      CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
14      ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
15      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
16      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
17                                                                                                                                       X
18      ACCOUNT TDMV/TEMV BALANCE                                                                                                        X
19                                                                                                                                       X
20      ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
21              TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV            NON-CASH TEMV     X
22      -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
23     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
24                                                                                                                                       X
25      REMARKS: UNDER ACCOUNT LEVEL TDMV, $ -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                              X
26                                                                                                                                       X
27                                                                                                                                       X
28      PREFERRED SETTLEMENT CURRENCY CONVERSION RESULT                                                                                  X
29      ===============================================                                                                                  X
30                                                                                                                                       X
31      ----------CONTRACT CURRENCY---------      ----PREFERRED CURRENCY----                                                             X
32      CCY  SHORTFALL AMOUNT BEFORE CONVERSION   CCY    CONVERTED AMOUNT                                                                X
33      ---  ------------------------------------   ---  ---------------------                                                           X
34      XXX               -Z,ZZZ,ZZZ,ZZZ,ZZ9.99   XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                            X
35      XXX               -Z,ZZZ,ZZZ,ZZZ,ZZ9.99   XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                            X
36                                                                                                                                       X
37                                                                                                                                       X
38      CURRENCY CONVERSION DUE TO MISSING BANK ACCOUNT                                                                                  X
39      ===========================================================                                                                      X
40                                                                                                                                       X
41      FROM CCY        ORG AMOUNT        EXCHANGE RATE WITH HAIRCUT   CONVERTED AMOUNT IN HKD                                         X
42      --------   --------------------   --------------------------   ----------------------                                          X
43        XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        ZZZZ9.999999999          -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 X
44        XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        ZZZZ9.999999999          -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                 X
45                                                                                                                                       X
46                                                                                                                                       X
47      DIRECT DEBIT INSTRUCTION TO BE COLLECTED ON NEXT TRADE DAY                                                                       X
48      ==========================================================                                                                       X
49                                                                                                                                       X
50       CCY      TOTAL AMT                                                                                                              X
51       ---  --------------------                                                                                                      X
52       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
53       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
54                                                                                                                                       X
55      REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.                       X
56                                                                                                                                       X
57      DIRECT CREDIT INSTRUCTION TO BE CREDITED ON NEXT TRADE DAY                                                                       X
 :      ==========================================================                                                                       X
 :                                                                                                                                       X
 :       CCY      TOTAL AMT                                                                                                              X
 :       ---  --------------------                                                                                                      X
 :       XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                        X
 :
 :
 :
 :
```

```
SHORTFALL / OUTSTANDING DEBIT NOT INCLUDED IN DIRECT DEBIT INSTRUCTION TO BE COLLECTED ON NEXT HK BUSINESS DAY
==========================================================================================================

CCY      SHORTFALL AMOUNT            OUTSD DR
---    --------------------    --------------------
XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99
XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99

                                                                                                          X
                                                                                                          X
                                                 *** END OF REPORT ***                                    X
```

This section is applicable to H-Parts only in relation to non-H-Products, which only appears on the business day preceding a Hong Kong Holiday which have Derivatives Holiday Trading.