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Hong Kong Exchanges and Clearing Limited is a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

通告 CIRCULAR

Subject: Training Sessions on Pre-Trade Risk Management (“PTRM”) System
Enhancement in Derivatives Market – HKATS Risk Functions Enhancement

Enquiry: HKATS Hotline¹ Tel: 2211-6360

Reference is made to the circular dated 1st March 2021 (Ref: [MO/DT/039/21](#)), Hong Kong Exchanges and Clearing Limited (“HKEX”) will enhance the current PTRM system, namely HKATS Risk Functions, in the derivatives market and will arrange 3 training sessions for Exchange Participants (“EP”) to familiarize themselves with the new Prescribed Risk Controls. The tentative launch date will be in Q4 2021.

Training Sessions

EPs shall nominate the following contact persons to join the training sessions to familiarize themselves with the new Prescribed Risk Controls for the Market Rehearsal (“MR”) before the tentative launch in Q4:

EP Contact Person	MR Duty
1. PTRM Authorized Person(s)	<ul style="list-style-type: none">To operate PTRM Graphical User Interface (“PTRM GUI”) and test the new Prescribed Risk ControlsTo verify the test results of the MR
2. Trading	<ul style="list-style-type: none">To help, if applicable, in inputting orders and trades for testing the new Prescribed Risk Controls

¹ All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/Global/Exchange/Privacy-Policy?sc_lang=en

3. Information Technology (Including OAPI Vendors and/or Developers)	<ul style="list-style-type: none"> To support the connectivity and to resolve technical issues, if any, for the EP
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Nominees for the training sessions should be joining the MR.

Please complete the online registration form on or before 9th August 2021. Successful registrations will be confirmed by emails along with the corresponding webinar details in due course.

Date	Time	Format	Language	Registration
16 th August 2021 (Monday)	4:30 pm - 6:00 pm	Webinar- Training Session	Cantonese	Click here to register
17 th August 2021 (Tuesday)	4:30 pm - 6:00 pm	Webinar- Training Session	English	Click here to register
18 th August 2021 (Wednesday)	4:30 pm - 6:00 pm	Webinar- Training Session	Cantonese	Click here to register

**EPs can find the detailed PTRM enhanced functions and rollout schedule from the materials below:

[HKATS Risk Functions Enhancement Information Paper](#)

[HKATS Risk Functions Enhancement Briefing Materials](#)

For any enquiries, please contact the HKATS Hotline at 2211 6360 or email to hkatssupport@hkex.com.hk.

Sanly Ho
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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.