

Report Description

Latest updates are highlighted in orange

IPO Indicator Report

Report ID : DWH0303

Report Name : IPO Indicator Report

Purpose : The report lists the IPO stocks that are newly listed within recent **180 calendar days** and belongs to Primary Tier (Tier-P), i.e. Hang Seng Composite Index (HSCI) LargeCap & MidCap constituent stocks, all equity indices Exchange Traded Funds (ETF) and the related derivative warrants (DW) & callable bull bear contracts (CBBC).

Time Available : This report will be generated and available in the morning for retrieval via RAP on each business day.

Frequency : Once daily

Report Data File Layout

Two zip files, i.e. a control file and a data file, will be generated for DWH0303 and available in RAP for retrieval. After unzip, the files are in CSV format with comma (,) as separator. Alphanumeric values are not enclosed by double quotation mark (“) unless they contain comma (,), the separator.

(A) Control file:

File Name : DWH0303_COMMON_ALL_ALL_yyyymmddhhmmss.cntl.zip (compressed format)

Content : Two control records

Field Description:

<u>#</u>	<u>Data item</u>	<u>Data size</u>	<u>Remarks</u>
<u>Control Record 1</u>			
(R1)	Key	X(2)	00 for Header record
(R2)	File Date	9(8)	File generation date formatted as “YYYYMMDD”
(R3)	Business Date	9(8)	Business Date of report formatted as “YYYYMMDD”
(R4)	Report ID	X(7)	DWH0303
(R5)	File Sequence Number	9(8)	HKEX internal file sequence number formatted as ‘nnnnnnnn’. e.g. 00000000. For HKEX internal use only
<u>Control Record 2</u>			
(R1)	Key	X(2)	09 for Trailer record
(R2)	Record Count	9(15)	Number of records in the data file, including header, formatted as ‘nnnnnnnnnnnnnnnnn’ e.g. 000000000000021 is 21 records

(B) Data File:

File Name : DWH0303_COMMON_ALL_ALL_20201222012025.csv.zip (compressed format)

Content : Contain at least 4 records. The first record is the header record which provides the report ID and report name. The second record is the report generation date and time. The third record is the business date of the report. The fourth record provides field names in the data file. The rest of the records provide details of the IPO stock.

Field Description:

#	Data item	Data size	Remarks
(R1)	Report ID & Report Name	X(28)	DWH0303 IPO Indicator Report
(R2)	Report Date & Time	X(30)	File generation date and time formatted as “MONTH DD, YYYY @ HH:MM:SS ”
(R3)	Business Date	X(10)	Business date of the report formatted as “DD/MM/YYYY”
(R4)	Report Date	9(8)	File generation date formatted as “YYYYMMDD”
(R5)	Time	X(17)	File generation date and time formatted as “YYYYMMDD HH:MM:SS”
(R6)	Instrument Code	X(10)	Stock code of IPO stock
(R7)	Instrument Name	X(100)	Name of the IPO stock
(R8)	Listing Date	X(10)	Listed date of the IPO stock formatted as “YYYYMMDD”

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Sample Control and Data Files

Sample files:

Control File for Data File: DWH0303_COMMON_ALL_ALL_20201222012025.cntl

```
DWH0303_COMMON_ALL_ALL_20201222012025.cntl x
1 00,20201222,20201222,DWH0303,00000000
2 09,0000000000000021
3
```

Data File: DWH0303_COMMON_ALL_ALL_20201222012025.csv

```
DWH0303_COMMON_ALL_ALL_20201222012025.csv x
1 ,, "DWH0303
2 IPO Indicator Report",, "December 22, 2020 @ 01:20:25 "
3 Business Date: ,22/12/2020,,
4 Report Date,Time,Instrument Code,Instrument Name,Listing Date
5 20201222,20201222 01:32:50,9997,KANGJI MEDICAL,20200629
6 20201222,20201222 01:32:50,6969,SMOORE INTL,20200710
7 20201222,20201222 01:32:50,9633,NONGFU SPRING,20200908
8 20201222,20201222 01:32:50,9901,NEW ORIENTAL-S,20201109
9 20201222,20201222 01:32:50,2963,WUXI BIO-,20201116
10 20201222,20201222 01:32:50,9970,BABA-SW2,20201118
11 20201222,20201222 01:32:50,9980,BABA-SW1,20201118
12 20201222,20201222 01:32:50,11021,HTALIBA@EC11021,20201118
13 20201222,20201222 01:32:50,29067,JPALIBA@EC29067,20201118
14 20201222,20201222 01:32:50,69692,GS#ALIBARP69692,20201118
15 20201222,20201222 01:32:50,69697,HS#ALIBARC69697,20201118
16 20201222,20201222 01:32:50,9984,BABA-5,20201130
17 20201222,20201222 01:32:50,9994,BABA - 6,20201130
18 20201222,20201222 01:32:50,11025,HTALIBA@EC11025,20201130
19 20201222,20201222 01:32:50,11027,HTALIBA@EC11027,20201130
20 20201222,20201222 01:32:50,68301,HS#ALIBARC68301,20201130
21 20201222,20201222 01:32:50,68302,HS#ALIBARC68302,20201130
22
```

- Excel view:

	A	B	C	D	E	F	G
1			DWH0303		December 22, 2020 @ 01:20:25		
2	Business Date:	22/12/2020					
3	Report Date	Time	Instrument Code	Instrument Name	Listing Date		
4	20201222	20201222 01:32:50	9997	KANGJI MEDICAL	20200629		
5	20201222	20201222 01:32:50	6969	SMOORE INTL	20200710		
6	20201222	20201222 01:32:50	9633	NONGFU SPRING	20200908		
7	20201222	20201222 01:32:50	9901	NEW ORIENTAL-S	20201109		
8	20201222	20201222 01:32:50	2963	WUXI BIO-	20201116		
9	20201222	20201222 01:32:50	9970	BABA-SW2	20201118		
10	20201222	20201222 01:32:50	9980	BABA-SW1	20201118		
11	20201222	20201222 01:32:50	11021	HTALIBA@EC11021	20201118		
12	20201222	20201222 01:32:50	29067	JPALIBA@EC29067	20201118		
13	20201222	20201222 01:32:50	69692	GS#ALIBARP69692	20201118		
14	20201222	20201222 01:32:50	69697	HS#ALIBARC69697	20201118		
15	20201222	20201222 01:32:50	9984	BABA-5	20201130		
16	20201222	20201222 01:32:50	9994	BABA - 6	20201130		
17	20201222	20201222 01:32:50	11025	HTALIBA@EC11025	20201130		
18	20201222	20201222 01:32:50	11027	HTALIBA@EC11027	20201130		
19	20201222	20201222 01:32:50	68301	HS#ALIBARC68301	20201130		
20	20201222	20201222 01:32:50	68302	HS#ALIBARC68302	20201130		
21							
22							