

AHFT Participation Registration Form

(Completed form should be returned to HKEx by fax to 2509 0724 or 2877 0017 or by email to ClickSupport@hkex.com.hk **at least two business days before commences trading**)

Part 1 - Participant Information	
Participant Name: _____	Customer Code: _____
Responsible Officer: _____	
Contact Person for Trading: _____	
Contact Phone No: _____	Email: _____
Contact Person for Clearing: _____	
Contact Phone No: _____	Email: _____

Part 2 - Confirmation of Readiness		
A. Trading Readiness (applicable to Exchange Participants only)		
<input type="checkbox"/> We confirm that all our front office systems and operational procedures have passed the OAPI certification test prescribed by HKEx and are ready for conducting AHFT business now.		
<i>Trading Application(s) for AHFT</i>		
Click <input type="checkbox"/>	OAPI(s) <input type="checkbox"/>	OAPI program(s) that have been modified for handling AHFT Program Name(s): 1) _____ 2) _____ 3) _____ 4) _____
B. Clearing Readiness (applicable to Clearing Participants only)		
<input type="checkbox"/> We confirm that all our clearing and operational procedures have passed the OAPI certifications prescribed by HKEx and are ready for AHFT business now.		
<i>Clearing Application(s) for AHFT</i>		
DCASS Terminal <input type="checkbox"/>	OAPI(s) <input type="checkbox"/>	OAPI program(s) that have been modified to handle AHFT Program Name(s): 1) _____ 2) _____ 3) _____ 4) _____

Part 3 - Declaration

We, _____
(Name of Exchange / Clearing Participant)

understand that we will only be permitted to trade / clear in the AHFT upon this registration, subject to our system and operational readiness, as prescribed by HKFE/HKCC.

agree to disclose our readiness of AHFT trading / clearing to the investing public.

Signature of Exchange Participant
With Company Chop : _____

Name of Signatory : _____

Signature of Clearing Participant
With Company Chop : _____

Name of Signatory : _____