Hong Kong Green Designation Limited Green Equity Designation

Application/Annual Renewal Form

Notes:

1. Please read carefully all information provided in this application/annual renewal form for the Hong Kong Green Designation Limited Green Equity Designation (the "Form"), together with the HKGDL Green Equity Designation Terms and Conditions (the "Terms and Conditions"), the Framework, and any other rules, operating procedures or directions relating to the Green Designation issued by HKGDL from time to time (if any and applicable), before completing the Form.

2. Please answer all applicable questions stated in this Form and do not leave any questions blank. If a question is not applicable, please state "N.A.". For a **new application**, the applicant must complete Part I, Part II and Part IV. For an **annual renewal**, the applicant must complete Part I, Part III and Part IV, and if any information provided in Part II has changed since the applicant's initial application or most recent renewal, the applicant must also complete the relevant sections of Part II. Upon completion, the authorised signatory of the applicant must sign and date the Form in wet ink.

3. The completed Form (including all supporting documents specified in the Document Checklist appended to this Form) must be submitted by BOTH email and post to the following:

   Email: greenequity@hkex.com.hk

   Postal address:

   Attn: HKEX Green Equity team
   2/F Mailroom, One Exchange Square
   8 Connaught Place, Central,
   Hong Kong

Please mark "HKGDL Green Equity Designation Application/Annual Renewal" in the subject line of the email and on the envelope for postal submission.

4. Capitalised terms not defined in this Form shall have the meaning given to them in the Terms and Conditions.

5. For enquiries, please contact:

   Email: greenequity@hkex.com.hk

Part I – Application Purpose

Please select the purpose of this application:

☐ New application (please proceed to complete Part II and IV)

☐ Annual renewal (please proceed to complete Part III and IV. If any information provided in Part II has changed since the applicant's initial application or most recent renewal, please also complete the relevant sections of Part II.)

Part II - Applicant's Details

A. General Information	
Name of applicant (company name)	
Company website	
Date of incorporation	
Country / jurisdiction of incorporation	
Unique identifier number (e.g. incorporation number or business registration number) and document type	
Address of registered office	
Principal place of business (if different from address of registered office)	
B. Primary Contact	
Name	
Title / Department	
Business telephone number	
Business email address	
C. Alternative Contact (optional)	
Name	
Title / Department	
Business telephone number	
Business email address	
D. Applicant Category	
Please select the applicable category:	☐ Existing SEHK-listed company (Stock code: _____) ☐ Company not listed on SEHK
E. Recognised Reviewer	
Name of the Recognised Reviewer engaged by the applicant for this application	
Business telephone number of Recognised Reviewer primary contact	
Business email address of Recognised Reviewer primary contact	

Part III - Annual Renewal

A. Period for Annual Renewal	
Period to be assessed	
B. Recognised Reviewer	
Name of the Recognised Reviewer engaged by the applicant for this annual renewal	
Business telephone number of Recognised Reviewer primary contact	
Business email address of Recognised Reviewer primary contact	
C. Change in Applicant's Details	
Has any information provided in Part II changed since the applicant's initial application or most recent renewal?	☐ Yes (please insert the updated details in the relevant section(s) of Part II) ☐ No

Part IV – Declaration

We, _____,

a) **represent and warrant** that this application / annual renewal has been approved by us and that the authorised signatory below is duly authorised to complete and sign this Form on our behalf.

b) **acknowledge and agree** that all matters relating to the Green Designation, including our application for, grant, renewal, use, suspension, revocation and withdrawal of a Green Designation (including a provisional Green Designation) are governed by the Terms and Conditions, which require compliance with the Framework and any other rules, operating procedures or directions relating to the Green Designation as may be issued by HKGDL from time to time (collectively, the "Rules").

c) **acknowledge and agree** that we have received or been given access to the Terms and Conditions and the Framework.

d) **acknowledge and agree** that, by signing this Form, we **accept** the Terms and Conditions as a legally binding and enforceable contract between HKGDL and us, and we **agree** to be bound by and to comply with the Terms and Conditions.

e) **represent and warrant** that we satisfy, and **undertake** that, for so long as we remain an applicant that has been granted a provisional Green Designation or a Green Designated Company, we shall continue to satisfy, the applicable eligibility requirements prescribed under the Framework.

f) **represent and warrant** that all information submitted or provided by us or on our behalf to HKGDL, including this Form and any other information or documents that may be requested by HKGDL from time to time, is true, accurate, complete and not misleading at the time it is submitted or provided, and, where such information includes personal data relating to another individual, that such personal data has been fairly and lawfully obtained and is accurate and up to date. We further **undertake** to notify HKGDL promptly of any change to such information that renders, or may render, such information untrue, inaccurate, incomplete or misleading.

g) **represent and warrant** that all information submitted or provided by us or on our behalf to the Recognised Reviewer for the purpose of preparing any Assessment Report is true, accurate, complete and not misleading at the time it is submitted or provided.

h) **acknowledge and agree** that HKGDL may disclose information relating to us to the Securities and Futures Commission, the Hong Kong Monetary Authority and/or any exchange, clearing house, regulatory, judicial or governmental authority, whether within or outside Hong Kong.

i) **confirm** that we have engaged the Recognised Reviewer (identified in Part II or Part III of this Form, as applicable) to conduct an independent assessment in connection with this application / annual renewal, **acknowledge** that the Recognised Reviewer will provide the Assessment Report directly to HKGDL, and **consent to** the Recognised Reviewer responding to any enquiry raised by HKGDL in relation to the Assessment Report and providing to HKGDL any information, documents, records, confirmations, explanations or other materials relating to the Assessment Report that HKGDL considers necessary for the purposes of its review.

j) **acknowledge and agree** that our engagement with the Recognised Reviewer is a matter solely between us and the Recognised Reviewer, and that HKGDL is not a party to, and assumes no

j) **acknowledge and agree** that our engagement with the Recognised Reviewer is a matter solely between us and the Recognised Reviewer, and that HKGDL is not a party to, and assumes no responsibility, obligation or liability whatsoever arising out of or in connection with, such engagement, including the selection, fees, scope of work, or any acts or omissions of the Recognised Reviewer.

k) **represent and warrant** that, before providing HKGDL or any Relevant Party with personal data relating to any director, senior officer, employee, authorised signatory or other individual, we have complied with all Applicable Laws relating to data protection, provided or made available to the relevant individual all required notices, including the applicable HKEX Group privacy notice, and obtained any consent or other lawful authority required for the collection, use, disclosure, transfer and other processing of that personal data for the purposes contemplated by the Rules.

l) **acknowledge** that personal data provided to HKGDL in connection with this Form or otherwise at HKGDL's request will be processed in accordance with the applicable HKEX Group privacy notice, as updated from time to time and made available on the HKEX Group website.

Signed for and on behalf of: [ ][1]:

Name of Authorised Signatory:

Title:

Signature:

Date:

[1] To affix company chop, **ONLY** applicable if it forms part of the applicant's signing instruction.

Document Checklist

You must submit the following documents together with this Form. Please check each box for the documents submitted. If you do not submit all required documents, the processing of your application / annual renewal will be delayed until they have been submitted. HKGDL may require additional documents, and failure to provide any such documents may result in the application / annual renewal being delayed or rejected.

Document	Description	New application	Annual renewal	Submitted
Business registration certificate	Certified copy* of the applicant's business registration certificate or equivalent	Required	Not required, unless the previously submitted certificate is no longer current	☐
Certificate of incorporation	Certified copy* of the applicant's certificate of incorporation or equivalent	Required	Not required	☐
Application / Annual Renewal Form	Completed Form with wet-ink signature and dated by the authorised signatory of the applicant	Required	Required	☐
Additional supporting documents	Any other information, documents or materials required under the Framework or requested by HKGDL	Required only if applicable or requested by HKGDL	Required only if applicable or requested by HKGDL	☐

*** Requirements for Certified Copies:**

a) The certified copy of the original document should be certified by one of the following:
(1) an officer of an embassy, consulate or high commission of the jurisdiction of issue of the document;
(2) a Justice of the Peace;
(3) a certified public accountant;
(4) a lawyer or a notary public; or
(6) a chartered secretary

b) The certifier must have sighted and inspected the original document in person to verify the authenticity of the document. Video certification is not acceptable.

c) On the copy of the document being certified, the certifier must:

i. sign and date the copy of the document;
ii. print his/her name clearly;
iii. print his/her capacity in which the certifier is certifying the document; and
iv. state that the document is a certified true copy of the original (or words to that effect).

A sample form of certification wording is set out below:

English:

"I, the Undersigned, do hereby certify that I have examined this document with its original and that the same is a true and complete copy of the original.

Dated: _____

Name of certifier: _____

Signature: _____

Capacity: _____"