

## STATEMENT OF DISCIPLINARY ACTION

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Exchange's Disciplinary Action against a Former Director of Brilliance China Automotive Holdings Limited (Stock Code: 1114)

### SANCTIONS

The Stock Exchange of Hong Kong Limited (**Exchange**)

**IMPOSES A DIRECTOR UNSUITABILITY STATEMENT** against **Mr Qian Zuming (Mr Qian)**, former executive director (**ED**) of Brilliance China Automotive Holdings Limited (Stock Code: 1114) (**Company**).

The statement made in respect of Mr Qian above is made in addition to a public censure against him. The Director Unsuitability Statement is a statement that, in the Exchange's opinion, Mr Qian is unsuitable to occupy a position as director or within senior management of the Company or any of its subsidiaries

### SUMMARY OF FACTS

Mr Qian was an ED of the Company from 12 September 2016 to 29 July 2020. He provided to the Exchange a Declaration and Undertaking with regard to Directors (**Undertaking**) in the form set out in Appendix 5B to the Listing Rules. The Undertaking provides that, among other things, he shall (i) cooperate in any investigation conducted by the Listing Division (**Division**) and/or the Listing Committee; (ii) promptly and openly answer any questions addressed to him; and (iii) provide his up-to-date contact information to the Exchange for a period of three years from the date on which he ceases to be a director of the Company, failing which any documents / notices sent by the Exchange shall be deemed to have been served on him.

As part of an investigation into, amongst other things, whether Mr Qian had discharged his duties and obligations under the Listing Rules, the Division sent investigation and reminder letters to Mr Qian, but received no response from him.

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**LISTING COMMITTEE'S FINDINGS OF BREACH**

The Listing Committee found as follows:

- (1) Mr Qian breached his Undertaking by failing to cooperate with the Division in the investigation, which constituted a breach of the Listing Rules. His obligation to provide information reasonably requested by the Exchange did not lapse after he ceased to be a director of the Company.
- (2) Mr Qian's breach of his Undertaking was serious and his conduct demonstrated a serious and/or repeated failure to discharge his responsibilities under the Listing Rules.

**CONCLUSION**

The Listing Committee decided to impose the sanctions set out in this Statement of Disciplinary Action.

For the avoidance of doubt, the Exchange confirms that the above sanctions apply only to Mr Qian, and not to the Company or any other past or present directors of the Company.

Hong Kong, 19 December 2023