香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2006.

| Date | Company/Name | Sanctions | Details |
|------------|--|---|--------------|
| 14/12/2006 | Nanjing Sample Technology Company Limited | Public criticism | News Release |
| | Mr Sha Min | Public criticism | |
| | Mr Chang Yong | Public criticism | |
| | Mr Guo Ya Jun | Public criticism | |
| 05/12/2006 | Mobicon Group Limited | Public criticism | News Release |
| | Mr Hung Kim Fung, Measure | Public criticism | |
| | Madam Yeung Man Yi, Beryl | Public criticism | |
| | Mr Hung Ying Fung | Public criticism | |
| | Mr Yeung Kwok Leung, Allix | Public criticism | |
| 24/10/2006 | COFCO International Limited | Public criticism | News Release |
| 09/10/2006 | Fast Systems Technology (Holdings) Limited | Public censure | News Release |
| | Mr Liao Lien Shen | Public censure | |
| | Mr Liao Ko Ping | Public censure | |
| | Mr Liao Chin Te | Public censure | |
| 07/08/2006 | Linefan Technology Holdings Limited | Public criticism | News Release |
| | Ms Ma Gui Fang | Public criticism | |
| | Mr Xu Wen Bo | Public criticism | |
| | Mr Zhu Zhaofa | Public criticism | |
| 12/07/2006 | Sino Golf Holdings Limited | Public criticism | News Release |
| | Mr Chu Chun Man, Augustine | Public criticism | |
| | Mr Chu Yuk Man, Simon | Public criticism | |
| | Mr Takanori Matsuura | Public criticism | |
| | Mr Chang Hua Jung | Public criticism | |
| 11/07/2006 | Asian Information Resources (Holdings) Limited | Public censure | News Release |
| | Mr Xie Xuan | Public criticism | |
| | Mr Ho Wing Yiu | Public criticism | |
| 27/06/2006 | Deloitte & Touche Corporate Finance Limited | Restriction on sponsor activities | News Release |
| | Mr Chia Kee Loong Lawrence | Restriction on sponsor- related activities | |
| 26/06/2006 | Industrial and Commercial Bank of China (Asia) Limited | Public criticism | News Release |
| 14/06/2006 | Zhongda International Holdings Limited | Public censure | News Release |
| | Mr Xu Lian Guo | Public censure | |
| | Mr Xu Lian Kuan | Public censure | |
| | Mr Zhang Yuqing | Public censure | |

| Date | Company/Name | Sanctions | Details |
|------------|--|---|--------------|
| 08/06/2006 | GP NanoTechnology Group Limited | Public censure | News Release |
| | Mr Fung Chiu | Public censure | |
| | Mr Chiang Chi Kin, Stephen | Public censure | |
| | Mr Ong Hong Hoon | Public censure | |
| | Mr Chow Chun Kwong | Public censure | |
| | Mr Lian En Sheng | Public criticism | |
| | Mr Siu Siu Ling, Robert | Public criticism | |
| 01/06/2006 | Big Media Group Limited | Public criticism | News Release |
| 19/04/2006 | Rontex International Holdings Limited | Public criticism | News Release |
| 06/04/2006 | Argos Enterprise (Holdings) Limited | Public criticism | News Release |
| | Mr Wong Wah Sang | Public criticism | |
| | Mr Wong Man Chiu Ronnie | Public criticism | |
| | Mr Yeung Wai Hung | Public criticism | |
| 29/03/2006 | G. A. Holdings Limited | Public censure | News Release |
| | | Public criticism | |
| | Mr Chan Hing Ka Anthony | Public censure | |
| | | Public criticism | |
| | Mr Loh Nee Peng | Public criticism | |
| | Mr Xu Ming | Public criticism | |
| 13/03/2006 | New Times Group Holdings Limited | Public criticism | News Release |
| 07/03/2006 | CSC Asia Limited | Restriction on sponsor activities | News Release |
| | Mr Chiu Chi Kin, Andrew | Restriction on sponsor- related activities | |
| | Mr Tang Ho Wai, Howard | Restriction on sponsor- related activities | |
| 07/03/2006 | Lai Sun Garment (International) Limited | Public censure | News Release |
| | Crocodile Garments Limited | Public censure | |
| | Mr Lam Kin Ming | Public censure | |
| | Mr Lam Kin Hong, Matthew | Public censure | |
| | Mr Lam Kin Ngok, Peter | Public criticism | |
| | Mr Lam Kin Ko, Stewart | Public criticism | |
| 23/02/2006 | Sanmenxia Tianyuan Aluminum Company Limited | Public censure | News Release |
| | Mr Li Yong Zheng | Public censure | |
| | Mr Tan Yu Zhong | Public censure | |
| | Mr Xiao Chong Xin | Public censure | |
| | Ms Yang Chun Lian | Public criticism | |
| | Mr Zhu Qiang | Public criticism | |
| 20/02/2006 | CASH Financial Services Group Limited | Public criticism | News Release |
| 24/01/2006 | Trasy Gold Ex Limited | Public censure | News Release |
| | Mr Chan Kee Chee, Keith | Public censure | |
| | Mr Sit Chun Sze | Public censure | |

| Date | Company/Name | Sanctions | Details |
|------------|-------------------------------|---------------------|--------------|
| 19/01/2006 | Luoyang Glass Company Limited | Public censure | News Release |
| | Mr Liu Baoying | Prejudice statement | |
| | Mr Zhu Leibo | Prejudice statement | |
| | Mr Wang Yongxin | Public censure | |
| | Mr Guo Xiaohuan | Public censure | |
| | Mr Sun Jigang | Public censure | |
| | Mr Jin Baishi | Public censure | |
| | Mr Li Jinreng | Public censure | |
| | Mr Zhang Shaojie | Public criticism | |
| | Mr Jiang Hong | Public criticism | |
| | Mr Zhu Liuxin | Public criticism | |
| | Mr Wang Jie | Public criticism | |

Notes:

(1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.