

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2012.

Date	Company/Name	Sanctions	Details
29/11/2012	Shengli Oil & Gas Pipe Holdings Limited Mr Zhang Bi Zhuang Ms Han Ai Zhi Mr Wang Xu Mr Guo Chang Yu Mr Huo Chun Yong	Public censure Public censure Public censure Public censure Public criticism Public criticism	News Release
24/10/2012	Styland Holdings Limited Mr Kenneth Cheung Chi Shing Ms Yvonne Yeung Han Yi Ms Miranda Chan Chi Mei Mr Steven Li Wang Tai	Public censure Public censure Public censure Public censure Public censure	News Release
26/09/2012	Zhejiang Prospect Company Limited Mr Tang Li Min Mr Hong Guo Ding Mr Fei Guo Yang Mr Hong Chun Qiang Mr Tang Cheng Fang Mr Li Zhang Rui Mr Ma Hong Ming Mr Wang He Rong Mr Lu Guo Qing	Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure	News Release
19/09/2012	Birmingham International Holdings Limited Mr Yeung Ka Sing Carson Mr Hui Ho Luek Vico Mr Ip Wing Lun Ms Wong Po Ling Pauline Mr Chang Kin Man Mr Steven McManaman Mr Fan Zhi Yi Mr Lee Yiu Tung Mr Christian Lali Karembeu Mr Chan Wai Keung Mr Yau Yan Ming Raymond Mr Zhou Han Ping	Public censure Public censure Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism	News Release

Date	Company/Name	Sanctions	Details
18/09/2012	Shanxi Changcheng Microlight Equipment Co. Ltd. Mr Wang Gen Hai Mr Zhang Xiu Sheng Mr Li Kang Sheng Mr Tian Qun Xu Mr Lin Yin Ping Mr Ni Guo Qiang Mr Li Li Cai Mr Shen Ming Hong Ms Chen Yue Jie Mr Wang Wen Sheng	Public censure Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public criticism	News Release
07/06/2012	Zhejiang Glass Company Limited Mr Feng Guang Cheng Ms Hong Yu Mei Mr Shen Guang Jun Mr Jiang Li Qiang Mr Gao Huo Jin Mr Liu Jian Guo Mr Xie Yong Dr Li Jun Mr Wang Yan Mou Mr Su Gong Mei Mr Zhou Guo Chun	Public censure Public censure Prejudice statement Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure	News Release
27/04/2012	Goldman Sachs Structured Products (Asia) Limited	Public censure	News Release
21/03/2012	Mr Li Hongrong Mr Zhou Zhibin	Public censure Public criticism	News Release

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.