

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2016.

Date	Company/Name	Sanctions	Details
23/11/2016	Global Sweeteners Holdings Limited	Public criticism	News Release
18/10/2016	Mr Mei Ping	Prejudice statement	News Release
28/09/2016	Mingyuan Medicare Development Company Limited	Public censure	News Release
	Mr Yao Yuan	Public censure	
	Mr Zhao Chao	Public censure	
	Mr Zhou Li Qun	Public censure	
	Mr Yu Ti Jun	Public censure	
	Mr Lee Sze Ho Henry	Public censure	
	Mr Tang Yan Qin	Public censure	
	Mr Chien Hoe Yong Henry	Public criticism	
23/09/2016	Global Bio-chem Technology Group Company Limited	Public censure	News Release
	Ms Wang Guifeng	Public censure	
	Mr Zhang Fusheng	Public censure	
	Mr Liu Xiaoming	Public criticism	
	Ms Xu Ziyi	Public criticism	
07/09/2016	Mr Xu Chun Cheng	Public censure	News Release
13/07/2016	Rosan Resources Holdings Limited	Public censure	News Release
	Mr Dong Cun Ling	Public censure	
	Mr Yang Hua	Public censure	
	Mr Wu Jia Hong	Public censure	
	Mr Zhou Guang Wen	Public censure	
	Mr Li Chun On	Public censure	
	Mr Li Chun Yan	Public censure	
	Mr Ma Yue Yong	Public censure	
	Dr Chen Ren Bao	Public censure	
	Mr Li Dao Min	Public censure	
03/03/2016	China Kingstone Mining Holdings Limited	Public censure	News Release
	Ms Chen Tao	Prejudice statement	
	Mr Lin Yuhua	Prejudice statement	
	Mr Liao Yuanshi	Prejudice statement	
	Mr Xiong Wenjun	Prejudice statement	
06/01/2016	New Sports Group Limited (Formerly Known As Sinocom Software Group Limited)	Public censure	News Release
	Mr Li Jian	Prejudice statement	
	Dr Shi Chongming	Public censure	
	Mr Kotoi Hirofumi	Public censure	

Date	Company/Name	Sanctions	Details
	Mr Wang Zhiqiang	Public criticism	
	Mr Wang Xubing	Public criticism	
	Mr Siu Kwok Leung	Public criticism	

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.