

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2016.

Date	Company/Name	Sanctions	Details
23/11/2016	Global Sweeteners Holdings Limited	Public criticism	<a href="#">News Release</a>
18/10/2016	Mr Mei Ping	Public censure Prejudice statement	<a href="#">News Release</a>
28/09/2016	Mingyuan Medicare Development Company Limited Mr Yao Yuan Mr Zhao Chao Mr Zhou Li Qun Mr Yu Ti Jun Mr Lee Sze Ho Henry Mr Tang Yan Qin Mr Chien Hoe Yong Henry	Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public criticism	<a href="#">News Release</a>
23/09/2016	Global Bio-chem Technology Group Company Limited Ms Wang Guifeng Mr Zhang Fusheng Mr Liu Xiaoming Ms Xu Ziyi	Public censure Public censure Public censure Public criticism Public criticism	<a href="#">News Release</a>
07/09/2016	Mr Xu Chun Cheng	Public censure Prejudice statement	<a href="#">News Release</a>
13/07/2016	Rosan Resources Holdings Limited Mr Dong Cun Ling Mr Yang Hua Mr Wu Jia Hong Mr Zhou Guang Wen Mr Li Chun On Mr Li Chun Yan Mr Ma Yue Yong Dr Chen Ren Bao Mr Li Dao Min	Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure	<a href="#">News Release</a>
03/03/2016	China Kingstone Mining Holdings Limited Ms Chen Tao Mr Lin Yuhua Mr Liao Yuanshi Mr Xiong Wenjun	Public censure Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement	<a href="#">News Release</a>

Date	Company/Name	Sanctions	Details
06/01/2016	New Sports Group Limited (Formerly Known As Sinocom Software Group Limited)	Public censure	<a href="#">News Release</a>
	Mr Li Jian	Public censure Prejudice statement	
	Dr Shi Chongming	Public censure	
	Mr Kotoi Hirofumi	Public censure	
	Mr Wang Zhiqiang	Public criticism	
	Mr Wang Xubing	Public criticism	
	Mr Siu Kwok Leung	Public criticism	

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.