

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2017.

Date	Company/Name	Sanctions	Details
15/11/2017	Han Tang International Holdings Limited Mr Goh Nan Kioh Mr Goh Nan Yang Mr Yeow See Yuen Mr Wang Shu Jie Mr Xu Lei Mr Liu Hongjun	Public censure Public censure Public censure Public censure Public censure Public criticism Public criticism	<a href="#">News Release</a>
26/10/2017	Sunac China Holdings Limited Mr Sun Hong Bin Mr Wang Meng De	Public censure Public censure Public censure	<a href="#">News Release</a>
25/10/2017	Mr Wang Kai	Public censure Prejudice statement	<a href="#">News Release</a>
29/09/2017	China Environmental Energy Investment Limited Ms Chen Tong Mr Xiang Liang	Public criticism Public criticism Public criticism	<a href="#">News Release</a>
21/09/2017	Mr Cong Hui Sheng	Public censure Prejudice statement	<a href="#">News Release</a>
27/07/2017	Mr Ang Wing Fung	Public censure Prejudice statement	<a href="#">News Release</a>
20/04/2017	Mr Gao Yun Chun	Public censure Prejudice statement	<a href="#">News Release</a>
28/02/2017	Datang International Power Generation Co., Ltd. Mr Cao Jing Shan Mr Zhou Gang Mr Hu Sheng Mu	Public censure Public censure Public criticism Public criticism	<a href="#">News Release</a>
13/01/2017	Natural Dairy (NZ) Holdings Limited Mr Wu Neng Kun Mr Zhang Han Wen Mr Yao Hai Sheng Mr Luo Ji Mr Ng Chun Ming Ms Chan Wai Kay Katherine	Public censure Public censure Public censure Public censure Public censure Public censure Public criticism	<a href="#">News Release</a>

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.