香港聯合交易所有限公司(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2018.

Date	Company/Name	Sanctions	Details
18/12/2018	Golden Meditech Holdings Limited Mr Kam Yuen Mr Kong Kam Yu Mr Lu Tian Long Ms Zheng Ting Professor Gu Qiao Professor Cao Gang	Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism	News Release
13/12/2018	Shenji Group Kunming Machine Tool Company Limited Mr Wang Xing	Public censure Public censure	News Release
12/12/2018	Chen Xing Development Holdings Limited Mr Bai Xuan Kui Mr Bai Wu Kui Mr Bai Guo Hua Mr Dong Shi Guang	Public criticism Public censure Public censure Public censure Public censure	News Release
11/12/2018	L & A International Holdings Limited Mr Ng Ka Ho Mr Wong Chiu Po Mr Ma Chi Ming Mr Chan Ming Sun Jonathan Mr Kwong Lun Kei	Public censure Public censure Public censure Public censure Public censure Public censure	News Release
30/10/2018	Sino Splendid Holdings Limited Mr Chow Chi Wa Mr Wang Tao Mr Yang Xingan Ms Yang Shuyan Mr Zhang Xiaoguang Ms Lee Yim Wah	Public censure	News Release
15/10/2018	NNK Group Limited Mr Huang Jun Muo Mr Yang Hua Mr Luo Ming Xing	Public censure Public censure Public censure Public censure	News Release
12/09/2018	Inno-Tech Holdings Limited Mr Ang Wing Fung Mr Chen Chuan Mr Shih Yau Ting Jackson Mr Lee Ho Yiu Thomas Ms Lu Di Mrs Kwan Leung Anna	Public censure Prejudice statement Public censure Public censure Public censure Public censure Public censure	News Release
20/07/2018	SRE Group Limited Mr Shi Jian	Public criticism Prejudice statement	News Release

Date	Company/Name	Sanctions	Details
06/07/2018	Zall Smart Commerce Group Limited Mr Yan Zhi Mr Cui Jinfeng Dr Yu Gang Mr Peng Chi Mr Wei Zhe, David Mr Wang Chuang Mr Cheung Kai Fai Mr Wu Ying	Public censure Public criticism	News Release
05/07/2018	Chengdu PUTIAN Telecommunications Cable Company Limited Mr Zhang Xiao Cheng Mr Fan Xu Mr Chen Ruo Wei Ms Fu Ruo Lin Mr Jiang Jian Ping Mr Su Wen Yu Mr Ping Hao Mr Cong Hui Sheng Mr Du Xin Hua Mr Choy Sze Chung Jojo Mr Xiao Xiao Zhou Mr Wu Zheng De Mr Li Yuan Peng Mr Wang Mi Cheng	Public censure Public censure	News Release
14/06/2018	Ms Zhou Bao Ying	Public censure	News Release
31/05/2018	Mr Gankhuyag Chultemsuren	Public censure	News Release

Date	Company/Name	Sanctions	Details
29/05/2018	Trillion Grand Corporate Company Limited (formerly known as Tai Shing International (Holdings) Limited)	Public censure	News Release
	Mr Wong Chung Wai Eric	Prejudice statement	
	Mr Chan Yun Sang	Prejudice statement	
	Mr Ip Ho Ming	Prejudice statement	
	Ms Wong Sau Wai Serena	Prejudice statement	
	Ms Ju Lijin	Prejudice statement	
	Mr Zhang Jinshu	Prejudice statement	
	Mr Han Fangfa	Prejudice statement	
	Mr Liu Bo	Prejudice statement	
	Ms Huang Miaochan	Prejudice statement	
	Dr Pan Jin	Prejudice statement	
	Mr Dai Yuanxin	Prejudice statement	
	Ms Xiao Yongzhen	Prejudice statement	
	Mr Tang Sze Lok	Prejudice statement	
	Ms Hu Yun	Prejudice statement	
	Mr Xu Jingbin	Prejudice statement	
	Mr Chan Yan Chan	Prejudice statement	
	Mr Chan Yee Sze	Prejudice statement	
16/05/2018	Boer Power Holdings Limited	Public censure	News Release
	Mr Huang LiangMr Qian Yixiang	Public censure	
	Mr Qian Zhongming	Public censure	
	Ms Jia Lingxia	Public censure	
	Mr Zha Saibin	Public censure Public censure	
03/05/2018	Mr Zhang Jing Zhi	Public censure	News Release
03/05/2018	Mr Jiang An Ping	Public censure	News Release
13/04/2018	G.A. Holdings Limited	Public censure	News Release
	Mr Loh Nee Peng	Public censure	
	Mr Loh Boon Cha	Public censure	
	Mr Wong Jacob	Public censure	
	Ms Song Qi Hong	Public censure	
03/04/2018	Mr Fu Ji Wen	Public censure	News Release
27/03/2018	Mr Tang Yun	Public censure	News Release
	Mr Rong Dong	Public censure	
	Mr Zhao Yong	Public censure	
	Mr Zhu Jianqiu	Public criticism	
	Ms Shi Ping	Public criticism	
	Mr Xiang Chaoyang	Public criticism	
	Mr Wu Xiangtao	Public criticism	
	Mr Yu Xiao	Public criticism	
	Mr Jonathan Chan Ming Sun	Public criticism	
	Mr Robert Ip Chun Chung	Public criticism	
	Mr Sun Dongfeng	Public criticism	
	Mr Cheng Yuk Kin	Public criticism	
30/01/2018	Mr Chen Jing	Public censure	News Release

Date	Company/Name	Sanctions	Details
22/01/2018	RCG Holdings Limited (now known as China e-Wallet Payment Group Limited)	Public censure	News Release
	Mr Wang Zhong Ling	Public censure	
	Mr Li Jing Long	Public censure	
	Mr Zhang Li Gong	Public censure	
	Mr Kwan King Wah	Public censure	
	Mr Liu Wen	Public censure	
	Mr Tse Chin Pang	Public censure	

Notes:

(1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.