

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

This table sets out the disciplinary sanctions published during 2018.

Date	Company/Name	Sanctions	Details
18/12/2018	Golden Meditech Holdings Limited Mr Kam Yuen Mr Kong Kam Yu Mr Lu Tian Long Ms Zheng Ting Professor Gu Qiao Professor Cao Gang	Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism	News Release
13/12/2018	Shenji Group Kunming Machine Tool Company Limited Mr Wang Xing	Public censure Public censure Prejudice statement	News Release
12/12/2018	Chen Xing Development Holdings Limited Mr Bai Xuan Kui Mr Bai Wu Kui Mr Bai Guo Hua Mr Dong Shi Guang	Public criticism Public censure Public censure Public censure Public censure	News Release
11/12/2018	L & A International Holdings Limited Mr Ng Ka Ho Mr Wong Chiu Po Mr Ma Chi Ming Mr Chan Ming Sun Jonathan Mr Kwong Lun Kei	Public censure Public censure Public censure Public censure Public censure Public censure	News Release
30/10/2018	Sino Splendid Holdings Limited Mr Chow Chi Wa Mr Wang Tao Mr Yang Xingan Ms Yang Shuyan Mr Zhang Xiaoguang Ms Lee Yim Wah	Public censure Public censure Public censure Public censure Public censure Public censure Public criticism	News Release
15/10/2018	NNK Group Limited Mr Huang Jun Muo Mr Yang Hua Mr Luo Ming Xing	Public censure Public censure Public censure Public censure	News Release

Date	Company/Name	Sanctions	Details
12/09/2018	Inno-Tech Holdings Limited Mr Ang Wing Fung Mr Chen Chuan Mr Shih Yau Ting Jackson Mr Lee Ho Yiu Thomas Ms Lu Di Mrs Kwan Leung Anna	Public censure Public censure Prejudice statement Public censure Public censure Public censure Public censure Public censure	News Release
20/07/2018	SRE Group Limited Mr Shi Jian	Public criticism Public censure Prejudice statement	News Release
06/07/2018	Zall Smart Commerce Group Limited Mr Yan Zhi Mr Cui Jinfeng Dr Yu Gang Mr Peng Chi Mr Wei Zhe, David Mr Wang Chuang Mr Cheung Kai Fai Mr Wu Ying	Public censure Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism	News Release
05/07/2018	Chengdu PUTIAN Telecommunications Cable Company Limited Mr Zhang Xiao Cheng Mr Fan Xu Mr Chen Ruo Wei Ms Fu Ruo Lin Mr Jiang Jian Ping Mr Su Wen Yu Mr Ping Hao Mr Cong Hui Sheng Mr Du Xin Hua Mr Choy Sze Chung Jojo Mr Xiao Xiao Zhou Mr Wu Zheng De Mr Li Yuan Peng Mr Wang Mi Cheng	Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public criticism	News Release
14/06/2018	Ms Zhou Bao Ying	Public censure Prejudice statement	News Release
31/05/2018	Mr Gankhuyag Chultemsuren	Public censure Prejudice statement	News Release

Date	Company/Name	Sanctions	Details
03/05/2018	Mr Zhang Jing Zhi	Public censure Prejudice statement	News Release
03/05/2018	Mr Jiang An Ping	Public censure Prejudice statement	News Release
13/04/2018	G.A. Holdings Limited Mr Loh Nee Peng Mr Loh Boon Cha Mr Wong Jacob Ms Song Qi Hong	Public censure Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement	News Release
03/04/2018	Mr Fu Ji Wen	Public censure Prejudice statement	News Release
27/03/2018	Mr Tang Yun Mr Rong Dong Mr Zhao Yong Mr Zhu Jianqiu Ms Shi Ping Mr Xiang Chaoyang Mr Wu Xiangtao Mr Yu Xiao Mr Jonathan Chan Ming Sun Mr Robert Ip Chun Chung Mr Sun Dongfeng Mr Cheng Yuk Kin	Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism	News Release
30/01/2018	Mr Chen Jing	Public censure Prejudice statement	News Release
22/01/2018	RCG Holdings Limited (now known as China e-Wallet Payment Group Limited) Mr Wang Zhong Ling Mr Li Jing Long Mr Zhang Li Gong Mr Kwan King Wah Mr Liu Wen Mr Tse Chin Pang	Public censure Public censure Public censure Public censure Public censure Public censure Public censure	News Release

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.