

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

The table below sets out the disciplinary sanctions published during 2020.

Date	Company/Name	Sanctions	Details
21/12/2020	Wai Chi Holdings Company Limited Mr Chen Wei Wu	Public criticism Public criticism	News Release
03/09/2020	Sanai Health Industry Group Company Limited Mr Chen Cheng Qing Mr Zhang Rong Qing Ms Hung Hoi Lan Mr Wang Zi Hao Mr Tu Fang Kui	Public censure Public criticism Public criticism Public criticism Public criticism Public criticism	News Release
11/08/2020	Zhongtian International Limited Mr Chen De Zhao Mr Chen Jun Mr Zhao Yun Mr Liu Jin Lu Mr Chen Wen Ping	Public censure Public censure Prejudice statement Public censure Public censure Public censure Public censure	News Release
08/07/2020	State Energy Group International Assets Holdings Limited Mr Zhou Xin Yu	Public censure Public censure	News Release
13/05/2020	Kong Sun Holdings Limited Mr Miu Hon Kit Mr Liu Wen Ping Mr Chang Hoi Nam Dr Ma Ji Mr Chang Tat Joel Mr Lu Hong Da Mr Wang Hai Sheng	Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure	News Release
12/05/2020	Mr Zhang Yun	Public censure Prejudice statement	News Release
27/04/2020	Professor Kan Man Lok Paul Mr Kan Kin Leung Leo Mr Lai Yat Kwong Fred Ms Ha Suk Ling Shirley Mr Terry John Miller Mr Frank Bleackley Mr Lee Chi Wah Ms Ho Mo Han Miranda	Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement Public criticism Public criticism Public criticism Public criticism Public criticism	News Release

Date	Company/Name	Sanctions	Details
22/04/2020	China Ding Yi Feng Holdings Limited Mr Luk Hong Man Hammond Mr Zhang Xi Mr Yao Yuan Mr Yao Zhixiang Mr Shi Minqiang	Public criticism Public criticism Public criticism Public censure Prejudice statement Public censure Prejudice statement Public censure Prejudice statement	News Release
13/03/2020	Great Wall Belt & Road Holdings Limited Mr Yeung Chun Wai Anthony Mr Chan Chi Yuen Mr Wong Xiang Hong Mr Yeung Chun Sing Standly Mr Fung Chan Man Alex	Public censure Public censure Prejudice statement Public criticism Public criticism Public criticism Public criticism	News Release
27/02/2020	Yorkshine Holdings Limited Mr Chow Kin Wa Mr Yu Wing Keung Dicky Mr Foo Teck Leong Mr Tang Chi Loong Mr Chow Kin San	Public censure Public censure Public censure Public censure Public censure Public criticism	News Release
20/01/2020	Mr Liu Yan Chee James Mr Huang Yi Lin Mr Chan Shi Yin Keith Mr Chan Yuk Sang Peter Mr Zhang Xian Lin Mr Kwok Hong Yee Jesse Mr Ng Ping Yiu	Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism	News Release

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.