

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

The table below sets out the disciplinary sanctions published during 2021.

Date	Company/Name	Sanctions	Details
16/12/2021	Bonny International Holding Limited Mr Jin Guojun Mr Zhao Hui	Public censure Public censure Public censure	News Release SODA
09/12/2021	China U-Ton Future Space Industrial Group Holdings Limited	Public censure	News Release SODA
07/12/2021	Zhejiang Prospect Company Limited Mr Fei Guo Yang Mr Hong Guo Ding Mr Hong Chun Qiang Mr Li Zhang Rui Mr Tang Cheng Fang Ms Tang Jing Jing Mr Tang Jing Qi Mr Ma Hong Ming Mr Lu Guo Qing Mr Wang He Rong Mr Hong Jin Shui Mr Chen Jin Long Mr Feng Yun Lin Mr Wang Ye Gang Ms Lin Ya	Public censure Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Public censure Public censure Prejudice statement Prejudice statement Prejudice statement Public censure Public censure Public censure Public censure Public censure	News Release SODA
17/11/2021	China Huiyuan Juice Group Limited Mr Zhu Xinli Ms Zhu Shengqin Mr Cui Xianguo Mr Leung Man Kit Mr Song Quanhou Mr Wang Wei Ms Zhao Yali	Public censure Prejudice statement Public censure Public censure Public censure Public criticism Public criticism Public criticism	News Release SODA
16/11/2021	Mr Luo Xi Zhi Peter	Prejudice statement	News Release SODA
15/11/2021	China Ruifeng Renewable Energy Holdings Limited Mr Peng Zi Wei	Public criticism Public criticism	News Release SODA
11/11/2021	Daisho Microline Holdings Limited Ms Cheung Lai Na	Public criticism Public censure	News Release SODA
02/09/2021	Mr Miguel Sun	Prejudice statement	News Release SODA

Date	Company/Name	Sanctions	Details
24/08/2021	Coolpad Group Limited Mr Jia Yue Ting Mr Jiang Chao Mr Li Bin Mr Zhang Wei Mr Liu Hong Mr Liu Jiang Feng	Public censure Prejudice statement Prejudice statement Public censure Public censure Public censure Public censure	News Release SODA
17/08/2021	Mr Wen Kai	Prejudice statement	News Release SODA
19/07/2021	Mr Qian Gang Mr Wang De Qun	Prejudice statement Prejudice statement	News Release SODA
14/07/2021	Longrun Tea Group Company Limited Dr Chiu Ka Leung Mr Jiao Shaoliang Ms Yeh Shu Ping Dr He William (also known as Lu Pingguo) Mr Guo Guoqing Mr Kwok Hok Lun Mr Lam Siu Hung Dr Liu Zhonghua	Public censure Prejudice statement Prejudice statement Public censure Public censure Public censure Public censure Public censure Public censure	News Release SODA
12/07/2021	Winshine Science Company Limited Mr Wei Guo Mr Xing Wei Mr Li Fang Mr Lin Shao Peng Mr Lai Ming Wai Mr Lau Shun Pong Johnson	Public criticism Prejudice statement Prejudice statement Public censure Public criticism Public criticism Public criticism	News Release SODA
07/07/2021	China Fortune Investments (Holding) Limited Mr Stephen William Frostick Mr Xu Jing An Ms Li Ka Ki Mr Liu Yun Ming Ms Ching Wai Han Mr Cheng Chun Tak Mr Huang Sheng Lan Mr Chang Jun Mr Lee Chi Hwa Joshua	Public censure Public censure Public censure Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism	News Release SODA
29/06/2021	IntelliCentrics Global Holdings Ltd Mr Lin Tzung-Liang Mr Michael James Sheehan	Public censure Public censure Public censure	News Release SODA

Date	Company/Name	Sanctions	Details
28/06/2021	Alltronics Holdings Limited Mr Lam Yin Kee Mr Lam Chee Tai Eric Mr So Kin Hung Ms Yeung Po Wah Ms Liu Jing Mr Fan Chung Yue William Mr Yau Ming Kim Robert Mr Yen Yuen Ho Tony Mr Lin Kam Sui Mr Pang Kwong Wah	Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public criticism	News Release SODA
24/06/2021	Mr San Kwan Mr Sin Ke Mr Zeng Jian Zhong Mr Zhuang Wei Dong Mr Zhuang Xue Yuan	Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement	News Release SODA
15/06/2021	Tenwow International Holdings Limited Mr Lin Jian Hua Mr Lin Qi Mr Yeung Yue Ming Ms Au Lai Hang Mr Lam Boris Hang Mr Liu Zhao Mr Cheung Warren Yui Kai Mr Liu Chang Tzong Mr Wang Long Gen	Public censure Prejudice statement Prejudice statement Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism	News Release SODA
10/06/2021	Mr Yu Jian Qiu	Public criticism	News Release SODA

Date	Company/Name	Sanctions	Details
08/06/2021	Baytacare Pharmaceutical Co., Ltd. Mr Wang Shao Yan Ms Cui Bing Yan Mr Guo Ai Qun Mr Cao Yang Mr Chen You Fang Mr Shi Peng Ms Hui Lai Yam Mr Yang Yu Lin Mr Gao Zhi Kai Mr Qin Hai Bo Mr Jiang Xiao Bin Mr Zhao Zhen Xing Ms Lin Xia Rong Ms Han Xue Ms Yang Li Xue Ms Meng Shu Hua	Public censure Prejudice statement Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure Public censure	News Release SODA
27/05/2021	Dongyue Group Limited Mr Zhang Jianhong Mr Liu Chuanqi Mr Liu Yi Mr Cui Tongzheng Mr Fu Kwan Mr Zhang Jian Mr Wu Tao Mr Ting Leung Huel Stephen Mr Yang Xiaoyong Mr Yue Run Dong	Public criticism Public censure Public criticism Public criticism Public censure Public criticism Public criticism Public censure Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism	News Release SODA
26/05/2021	Tech Pro Technology Development Limited Mr Lee Tsz Hang Mr Liu Xinsheng Mr Chiu Chi Hong Mr Li Wing Sang Mr Lau Wan Cheung Mr Ng Wai Hung Mr Tam Tak Wah	Public censure Public censure Prejudice statement Public censure Prejudice statement Public censure Public censure Public censure	News Release SODA
17/05/2021	Mr Chan Shun Yee Mr Liu Min Mr Xu Zhifeng Ms Zhu Yanzhou Mr Wong Tat Yan Paul Mr Zhou Guohua Mr Su Rujia	Public censure Public censure Public censure Public censure Public censure Public criticism Public criticism	News Release SODA

Date	Company/Name	Sanctions	Details
13/05/2021	Mr Ke Ji Xiong Mr Ke Wen Tuo Mr Cao Xu Ms Lian Bi Yu Mr Zhang Guo Duan Mr Zhang Dao Pei	Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement	News Release SODA
14/04/2021	China Tangshang Holdings Limited Mr Zhou Hou Jie Mr Chen Wei Wu	Public censure Public censure Public criticism	News Release SODA
07/04/2021	My Heart Bodibra Group Limited Mr Chan Lin So Alan Mr Yiu Koon Pong	Public censure Prejudice statement Prejudice statement	News Release SODA
30/03/2021	China Yu Tian Holdings Limited Ms Wang Xue Mei Mr Wang Jin Dong Mr Cheng Bo Mr Wang Zhong Hua Mr Tang Xi Guang Mr Zhao Hai Bo Mr Huang Zhi Wei	Public censure Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Public censure	News Release SODA
29/03/2021	Coslight Technology International Group Limited Mr Song Dian Quan Ms Luo Ming Hua Mr Li Ke Xue Mr Xing Kai Mr Liu Xing Quan Mr Zhang Li Ming Dr Gao Yun Zhi Mr Li Zeng Lin Ms Zhu Yan Ling Mr Xiao Jian Min	Public censure Public censure Public censure Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism Public criticism	News Release SODA
17/02/2021	Mr Lin Qing Xiong Mr Qiu Zhi Qiang Mr Deng Qing Hui Mr Chan Sui Wa Mr Ma Chong Qi Mr Yu Yu Bin	Prejudice statement Prejudice statement Public censure Public criticism Public criticism Public criticism	News Release SODA
08/02/2021	Mr Ha Chuen Yeung	Prejudice statement	News Release SODA
08/02/2021	Mr He Xiao Ming Ms Xie Yan	Prejudice statement Prejudice statement	News Release SODA (Mr He) SODA (Ms Xie)

Date	Company/Name	Sanctions	Details
03/02/2021	Brightoil Petroleum (Holdings) Limited Mr Tang Bo Mr Dai Zhu Jiang Mr Zhao Li Guo Mr Wang Tian	Public censure Prejudice statement Prejudice statement Prejudice statement Prejudice statement	News Release SODA
01/02/2021	Hosa International Limited Mr Shi Hong Liu Mr Shi Hong Yan Mr Shi Zhi Xiong Mr Yao Ge Mr He Wen Yi	Public censure Prejudice statement Prejudice statement Prejudice statement Public censure Public censure	News Release SODA
22/01/2021	Sandmartin International Holdings Limited Mr Hung Tsung Chin Ms Chen Mei Huei Mr Liao Wen I Tiger Mr Frank Karl-Heinz Fischer Mr Chen Wei Chun Mr Wu Chia Ming Mr Han Chien Shan Mr Lee Chien Kuo Thomas	Public censure Public censure Public censure Public censure Public criticism Public criticism Public criticism Public criticism Public criticism Public criticism	News Release SODA
08/01/2021	Xinming China Holdings Limited Mr Chen Cheng Shou	Public criticism Public criticism	News Release SODA

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors, or director unsuitability statement.
- (2) SODA: Statement of Disciplinary Action.