

香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

The table below sets out the disciplinary sanctions published during 2023.

Date	Company/Name	Sanctions	Details
05/09/2023	Ms Shen Jie Mr Ren Hui Yong	Unsuitability statement Prejudice statement	<a href="#">News Release</a> <a href="#">SODA</a>
30/08/2023	Mr Tse Ke Li	Unsuitability statement	<a href="#">News Release</a> <a href="#">SODA</a>
24/08/2023	China Saite Group Company Limited Mr Jiang Jian Qiang Mr Shao Xiao Qiang Mr Xu Fang Hua Mr Xu Jia Ming Mr Wu Zhong Xian Mr Hua Gang Mr Liu Zhi Bo Mr Yan Hua Lin Mr Luk Chi Shing Mr Eddie Hurip	Public censure Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Public censure Public censure Public censure Public censure Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
17/08/2023	Madam Fu Qiang Mr Yang Qing Eric	Unsuitability statement Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
19/07/2023	Lisi Group (Holdings) Limited	Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
05/07/2023	E&P Global Holdings Limited	Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
27/06/2023	Optima Automobile Group Holdings Limited Mr Ang Lay Keong Ms Lim Li Ling Ms Tan Peck Luan	Public censure Public censure Public criticism Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
20/06/2023	Mr Chan Cheuk Yin	Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
15/06/2023	Mr Cui Haitao Mr Liu Jin Lu Mr Chen Jun Ms Su Haiqing Ms Chan Chak Kwan	Unsuitability statement Unsuitability statement Prejudice statement Public censure Public censure	<a href="#">News Release</a> <a href="#">SODA (Cui/Liu)</a> <a href="#">SODA</a> <a href="#">(Chen/Su/Chan)</a>
13/06/2023	Jilin Province Huinan Changlong Bio-pharmacy Company Limited Mr Gao Yong Cai Mr Zhang Hong Mr Wu Guo Wen Mr Xu Xiang Fu Mr Zhang Xiao Guang Mr Zhao Bao Gang	Public censure Unsuitability statement Public criticism Public criticism Public criticism Public criticism Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>

Date	Company/Name	Sanctions	Details
	Mr Zhang Yi Mr Gao Qi Pin Ms Tian Jie	Public criticism Public criticism Public criticism	
23/05/2023	CT Vision S.L. (International) Holdings Limited	Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
09/05/2023	Keyne Ltd Ms Qian Ling Ling Mr Zhang Li Mr Xiang Junjie Mr Tang Ping Sum Mr Tsui Pui Hung, Walter Mr Chiu Sin Nang, Kenny	Public censure Public censure Public censure Public censure Public censure Public censure Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
04/05/2023	Mr Wang Xiaodong Ms Chow Mun Yee Mr Yang Haoying Mr Zhao Tong	Unsuitability statement Public censure Public censure Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
25/04/2023	S&S Intervalue China Limited Mr Chen Yi Hui Ms Yuan Yuan Dr Hu Xu Dong Mr Shum Shing Kei Dr Mu Zhi Rong	Public censure Unsuitability statement Unsuitability statement Unsuitability statement Public censure Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
20/04/2023	Tianjin Real Estate Group Co., Ltd.	Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
28/03/2023	Blockchain Group Company Limited Mr Cai Zhenrong Mr Cai Yangbo Mr Cai Zhengyao Mr Cai Zhenying Dr Li Mow Ming Sonny Mr Su Yichao Mr Wang Qidong Mr Choi Wing Toon Mr Chen Wenfang Mr Lawrence Gonzaga	Public censure Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Prejudice statement Public censure Public censure Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
21/03/2023	Mr King Pak Fu	Unsuitability statement	<a href="#">News Release</a> <a href="#">SODA</a>
16/03/2023	Ms Li Luyi Mr Wang Dongfeng	Public censure Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
06/03/2023	Ms Lu Wei Professor Liu Zhikun	Unsuitability statement Unsuitability statement	<a href="#">News Release</a> <a href="#">SODA</a>
28/02/2023	Hygieia Group Limited Mr Hong Rui Sheng Mr Toh Eng Kui Mr Peh Poon Chew	Public censure Public censure Public criticism Public criticism	<a href="#">News Release</a> <a href="#">SODA</a>
01/02/2023	China Gem Holdings Limited	Public censure	<a href="#">News Release</a>

Date	Company/Name	Sanctions	Details
	Mr Zhong Ling Mr Wu Yijie Mr Cui Lei Mr Kan Chi Ming Mr Warren Lee Primhak Mr Li Haibo Mr He Yaode	Unsuitability statement Unsuitability statement Unsuitability statement Unsuitability statement Unsuitability statement Unsuitability statement Unsuitability statement	<a href="#">SODA</a>
16/01/2023	Agritrade Resources Limited Mr Ashok Kumar Sahoo Mr Ng Say Pek Ms Lim Beng Kim Lulu Mr Cheng Yu Mr Ng Xin Wei	Public censure Prejudice statement Prejudice statement Prejudice statement Prejudice statement Public censure	<a href="#">News Release</a> <a href="#">SODA</a>
12/01/2023	Mr Zhou Wenyu Mr Zhang Ronggang	Prejudice statement Prejudice statement	<a href="#">News Release</a> <a href="#">SODA</a>
04/01/2023	Fusen Pharmaceutical Company Limited Mr Cao Chang Cheng Mr Cao Zhi Ming Mr Chi Yong Sheng Mr Hou Tai Sheng Ms Meng Qing Fen	Public censure Public censure Public censure Public censure Public censure Public censure	<a href="#">News Release</a> <a href="#">SODA</a>

Notes:

- (1) Prejudice statement: statement made under Chapter 2A (GEM: Chapter 3) that the retention of office by the director is/would have been prejudicial to the interests of investors.
- (2) Unsuitability statement: statement made under Chapter 2A (GEM: Chapter 3) that the director is unsuitable to occupy a position as director or within senior management of a named listed issuer or any of its subsidiaries.
- (3) SODA: Statement of Disciplinary Action.