

## MONTHLY PROLONGED SUSPENSION STATUS REPORT (MAIN BOARD) (as at 30 June 2019)

1. This report summarises the status of companies which have been suspended for three months or more.

### *Suspension, resumption criteria, and delisting periods under the Listing Rules (Rules)*

2. The Exchange, as frontline regulator of listed companies, is obliged to maintain a fair, orderly and efficient market for the trading of securities. It may suspend trading in any securities for the purpose of protecting investors or maintaining an orderly market. Where there are concerns about investor protection, the Exchange may require the company to address these specific concerns before allowing trading to resume<sup>1</sup>.
3. In the interests of promoting a continuous market for the trading of listed securities, the period of suspension should be kept as short as possible. Resumption of trading normally takes place after the publication of information, and where applicable, fulfillment of conditions imposed by the Exchange.
4. On 1 August 2018 (the **Effective Date**), amendments to the delisting framework under the Rules came into effect. Under the amended Rules, the Exchange would cancel the listing of a suspended company after a trading suspension of 18 continuous months (the **18 month period**). Depending on the specific facts and circumstances of a suspended company, the Exchange may at any time publish a delisting notice stating its right to delist the company if it fails to resume trading within a shorter period specified in the notice (**specific remedial period**).
5. The amended Rules provide the following transitional arrangements for companies under a trading suspension immediately before the Effective Date:
  - (a) for companies under Practice Note 17 to the Rules, Practice Note 17 continues to apply (see Table A);
  - (b) for companies having been given a delisting notice, the delisting notice continues to apply (see Table B); and
  - (c) in other cases, companies suspended for 12 continuous months or more immediately before the Effective Date would be delisted if they remain suspended for 12 continuous months from the Effective Date, and those suspended for less than 12 months immediately before the Effective Date would be delisted if they remain suspended for 18 continuous months from the Effective Date (see Table C).
6. Table C also includes cases where trading begins to suspend after the Effective Date. The companies concerned will be delisted if they remain suspended for the 18 month period. Where appropriate, the Exchange may at any time during the trading suspension issue a delisting notice specifying a shorter specific remedial period referred to in paragraph 4 above, in which event the company will be transferred to table B.

### *Disclosure of information by companies*

7. A suspended company is obliged to disclose (i) inside information under the Securities and Futures Ordinance, and (ii) all information required to be disclosed by a listed company under the Rules (e.g. financial results, notifiable and connected transactions). In addition, it must announce quarterly updates on its developments, such as its business operations, resumption plan and timetable, and the progress of implementing such resumption plan and satisfying the resumption conditions/guidance issued by the Exchange. Please see the companies' announcements available on the HKEXnews website at [www.hkexnews.hk](http://www.hkexnews.hk).
8. For guidance on the operation of the amended Rules, general obligations of suspended companies, the Exchange's regulatory actions during the resumption process, and guidance specific to different types of suspension cases<sup>2</sup>, please see Guidance Letter GL95-18.
9. The table below presents a status summary of long suspended companies based on their published announcements, including conditions for resumption, deadlines for resumption, major developments and outstanding issues. The Exchange may modify or impose further resumption conditions/guidance subject to developments.

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<sup>1</sup> Please see also the Exchange's article Overview of Policy on Share Trading Suspension available at <http://www.hkex.com.hk/eng/newsconsul/newsltr/2004/documents/2004-07-02-e.pdf> for details about circumstances for suspension

<sup>2</sup> Including (i) failure to maintain sufficient operations or assets, (ii) failure to publish financial results or inside information due to material irregularities, and (iii) failure to maintain sufficient public float

## A. Companies under Practice Note 17 (see paragraph 5(a))

These companies are in severe financial difficulties and/or have either ceased to operate their businesses or are carrying on minimal operations. Under Practice Note 17, they enter into a three-stage delisting procedure of a minimum of six months each, during which they may submit proposals for the resumption of trading for the Exchange's approval. The companies may submit resumption proposals at any time during the delisting stages. Upon the expiry of each stage and in the absence of a viable proposal, the Exchange will place the company into the next delisting stage or if it is in the final stage, cancel its listing. The companies may seek a review of the Exchange's decision to place them into the next delisting stage or to delist them<sup>3</sup>.

No	Long suspended Main Board companies	Date of suspension	End date of delisting stage	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
1	Natural Dairy (NZ) Holdings Limited (In Provisional Liquidation) (462)	7-Sep-10	12-Jun-18 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results</li> <li>3. Withdraw winding up petition and discharge provisional liquidators</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expired in Jun 2018)</li> <li>▪ The Listing Committee approved in Dec 2018 for the company to submit a new listing application relating to its resumption proposal on or before 28 Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results</li> <li>3. Withdraw winding up petition and discharge provisional liquidators</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Inform market of material information</li> </ol>
2	Boshiwa International Holding Limited (Provisional Liquidators appointed) (1698)	15-Mar-12	21-Aug-17 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address issues arisen from forensic investigation findings</li> <li>3. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>4. Inform market of material information</li> <li>5. Publish outstanding financial results and address any auditors' concerns</li> <li>6. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of forensic investigation were announced in Apr 2013</li> <li>▪ Supplement to its resumption proposal was submitted in Jun 2018</li> <li>▪ 2011 to 2017 annual results and 2012 to 2018 interim results were published</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address issues arisen from forensic investigation findings</li> <li>3. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>4. Inform market of material information</li> <li>5. Publish outstanding financial results and address any auditors' concerns</li> <li>6. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>

<sup>3</sup> See the review procedure in Chapter 2B of the Rules.

No	Long suspended Main Board companies	Date of suspension	End date of delisting stage	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
3	DBA Telecommunication (Asia) Holdings Limited (3335)	6-Jun-13	8-Sep-16 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Complete the independent board committee's review on relevant audit issues and demonstrate no management integrity concern</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>	<ul style="list-style-type: none"> <li>▪ 2012 to 2018 annual results and 2013 to 2018 interim results were published</li> <li>▪ Key findings of review of audit issues and internal control by an independent internal control consultant were announced in July 2018</li> <li>▪ New listing application concerning its proposed reverse takeover lapsed in Jun 2018</li> <li>▪ Responsible principal of the sponsor handling new listing application resigned on 5 Dec 2018</li> <li>▪ The long stop date of the acquisition agreement has lapsed and the company is negotiating with the management of the target to extend the long stop date</li> <li>▪ The company expects to submit a revised new listing application by end of Sep 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address any audit modifications</li> <li>3. Complete the independent board committee's review on relevant audit issues and demonstrate no management integrity concern</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>
4	China Solar Energy Holdings Limited (Provisional Liquidators appointed) (155)	16-Aug-13	1-Mar-17 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Investigate the allegations in complaints</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate internal control systems</li> </ol>	<ul style="list-style-type: none"> <li>▪ New listing application concerning its proposed reverse takeover was resubmitted in May 2018 and lapsed in Nov 2018</li> <li>▪ The company targets to renew its new listing application by 30 Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Investigate the allegations in complaints</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate internal control systems</li> </ol>
5	China Lumena New Materials Corp. (In Provisional Liquidation) (67)	25-Mar-14	7-Oct-16 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address the alleged irregularities and inform market of material information</li> <li>3. Publish outstanding financial results and address any auditors' concerns</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Withdraw winding up petition and discharge liquidators</li> </ol>	<ul style="list-style-type: none"> <li>▪ 2013 to 2018 annual results and 2014 to 2018 interim results were published</li> <li>▪ Revised new listing application concerning its proposed reverse takeover was submitted in May 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address the alleged irregularities and inform market of material information</li> <li>3. Address any auditors' concerns</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Withdraw winding up petition and discharge liquidators</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of delisting stage	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
6	Flyke International Holdings Ltd (1998)	31-Mar-14	14-May-19 (2nd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address outstanding audit issues and the bank discrepancies</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 2nd delisting stage (expired in May 2019)</li> <li>▪ 2013 to 2018 annual results and 2014 to 2018 interim results were published</li> <li>▪ The company submitted a resumption proposal in Dec 2018</li> <li>▪ Key findings of internal control review were announced in Mar 2019</li> <li>▪ The investor may not proceed with the restructuring agreement as included in the resumption proposal</li> <li>▪ The third delisting stage will commence on 4 Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address outstanding audit issues and the bank discrepancies</li> <li>3. Address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>
7	Golden Shield Holdings (Industrial) Limited (In Compulsory Liquidation) (2123)	1-Apr-14	4-Jan-17 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct investigation on certain legal proceedings and related transactions</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Withdraw winding up petition and discharge liquidators</li> </ol>	<ul style="list-style-type: none"> <li>▪ New listing application concerning its proposed reverse takeover was resubmitted in Dec 2018 and lapsed in Jun 2019. The company is preparing to resubmit a new listing application under Rule 9.03(1).</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct investigation on certain legal proceedings and related transactions</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Withdraw winding up petition and discharge liquidators</li> </ol>
8	Fujian Nuoqi Co., Ltd. (1353)	23-Jul-14	26-Mar-18 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct forensic investigation to address reported potential irregularities</li> <li>3. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>6. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expired in Mar 2018)</li> <li>▪ 2014 to 2018 annual results and 2014 to 2018 interim results were published</li> <li>▪ The company's view on findings of forensic investigation and internal control review were announced in Aug 2017</li> <li>▪ The company's financial statements for the year ended 31 Dec 2014 reflected the losses identified from findings of forensic investigation</li> <li>▪ The company expects to submit a new listing application concerning its proposed reverse takeover around late Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>3. Address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of delisting stage	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
9	China Agrotech Holdings Limited (In Liquidation) (1073)	18-Sep-14	8-Sep-16 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Withdraw winding up petition and discharge provisional liquidators</li> </ol>	<ul style="list-style-type: none"> <li>▪ New listing application concerning its proposed reverse takeover was resubmitted in Apr 2019</li> <li>▪ 2013/2014 to 2017/2018 annual results and 2014/2015 to 2018/2019 interim results were published</li> <li>▪ Circular relating to the capital reorganisation, subscriptions and new placing, creditors' scheme, acquisition, public offer, and new share option scheme was despatched on 27 Apr 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address any audit modifications</li> <li>3. Withdraw winding up petition and discharge provisional liquidators</li> </ol>
10	Nickel Resources International Holdings Company Limited (formerly known as China Nickel Resources Holdings Company Limited ) (2889)	1-Apr-15	24-Jul-17 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate sufficient working capital</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ 2014 to 2018 annual results and 2015 to 2018 interim results were published</li> <li>▪ The company has applied to the Listing Appeals Committee for review of the Listing (Review) Committee's delisting decision made in Oct 2018. The review hearing was held on 5 Jun 2019.</li> <li>▪ Listing Appeals Committee upheld the Listing (Review) Committee's decision to cancel the company's listing. The company decided to apply for judicial review against the Listing Appeals Committee.</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Address any audit modifications</li> <li>3. Demonstrate sufficient working capital</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Inform market of material information</li> </ol>
11	Greens Holdings Limited (In Provisional Liquidation) (1318)	2-Jun-15	10-Nov-17 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Investigate the issues raised by the special investigation committee</li> <li>3. Demonstrate no management integrity concern</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company anticipates to resubmit a new listing application concerning its proposed reverse takeover pursuant to Rule 9.03(1)</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Investigate the issues raised by the special investigation committee</li> <li>3. Demonstrate no management integrity concern</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Publish outstanding financial results and address any audit modifications</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of delisting stage	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
12	Changgang Dunxin Enterprise Company Limited (In Provisional Liquidation) (2229)	20-Jan-16	4-Jan-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Demonstrate sufficient operations / assets</li> <li>3. Conduct appropriate investigation into potential irregularities</li> <li>4. Demonstrate no management integrity concern</li> <li>5. Publish outstanding financial results and address any audit modifications</li> <li>6. Withdraw winding up petition and discharge provisional liquidators</li> <li>7. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expired in Jan 2019)</li> <li>▪ SFC directed suspension of trading on 18 May 2017</li> <li>▪ A resumption proposal, which constitutes a very substantial acquisition and reverse takeover, was submitted in Jan 2019</li> <li>▪ In Mar 2019, the investor informed the company that it will not continue to participate in the resumption plan but the company is of the view that the investor is not entitled under the agreement to withdraw. The joint provisional liquidators have contacted the investor to clarify the position.</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Demonstrate sufficient operations / assets</li> <li>3. Conduct appropriate investigation into potential irregularities</li> <li>4. Demonstrate no management integrity concern</li> <li>5. Publish outstanding financial results and address any audit modifications</li> <li>6. Withdraw winding up petition and discharge provisional liquidators</li> <li>7. Inform market of material information</li> </ol>
13	Up Energy Development Group Limited (Provisional Liquidators appointed) (307)	30-Jun-16	10-Mar-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Withdraw winding up petition and discharge provisional liquidators</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expired in Mar 2019)</li> <li>▪ In Sep 2018, the Listing (Review) Committee upheld the Listing Committee's decision to place it to the 3rd delisting stage</li> <li>▪ The company submitted a resumption proposal in Feb 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Withdraw winding up petition and discharge provisional liquidators</li> </ol>
14	CECEP COSTIN New Materials Group Limited (In Provisional Liquidation) (2228)	15-Aug-16	23-Jul-18 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct an appropriate investigation to address misappropriation of funds by a director</li> <li>3. Demonstrate no management integrity concern</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expired in Jul 2018)</li> <li>▪ New listing application concerning its proposed reverse takeover was submitted in Feb 2019</li> <li>▪ 2016 to 2018 annual results and 2016 to 2018 interim results were published</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct an appropriate investigation to address misappropriation of funds by a director</li> <li>3. Demonstrate no management integrity concern</li> <li>4. Address any audit modifications</li> <li>5. Inform market of material information</li> </ol>
15	China Huishan Dairy Holdings Company Limited (Provisional Liquidators appointed) (6863)	24-Mar-17	15-Nov-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> <li>5. Demonstrate sufficient operations / assets</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expiring in Nov 2019)</li> <li>▪ SFC directed suspension of trading on 8 May 2017</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> <li>5. Demonstrate sufficient operations / assets</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of delisting stage	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
16	Primeview Holdings Limited (789)	3-Jul-17	3-Nov-18 (2nd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations/assets</li> <li>2. Address audit modifications and the underlying causes of such modifications</li> <li>3. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>4. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 2nd delisting stage (expired in Nov 2018)</li> <li>▪ A resumption proposal was submitted in Sep 2018</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations/assets</li> <li>2. Address audit modifications and the underlying causes of such modifications</li> <li>3. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>4. Inform market of material information</li> </ol>
17	Victory Group Limited (1139)	23-Jan-18	17-Aug-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expiring in Aug 2019)</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> </ol>
18	Midas Holdings Limited (1021)	8-Feb-18	19-Dec-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct forensic investigations to address potential irregularities</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Demonstrate no regulatory concern about the management integrity</li> <li>6. Inform market of all material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expiring in Dec 2019)</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct forensic investigations to address potential irregularities</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Demonstrate no regulatory concern about the management integrity</li> <li>6. Inform market of all material information</li> </ol>
19	Ding He Mining Holdings Limited (705)	3-Apr-18	19-Dec-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of all material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expiring in Dec 2019)</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of all material information</li> </ol>
20	Centron Telecom International Holding Limited (Provisional Liquidators appointed) (1155)	3-Apr-18	27-Dec-19 (3rd delisting stage)	<ol style="list-style-type: none"> <li>1. Inform market of material information</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate sufficient operations / assets</li> <li>4. Withdraw winding up petition and discharge provisional liquidators</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 3rd delisting stage (expiring in Dec 2019)</li> </ul>	<ol style="list-style-type: none"> <li>1. Inform market of material information</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate sufficient operations / assets</li> <li>4. Withdraw winding up petition and discharge provisional liquidators</li> </ol>
21	Sustainable Forest Holdings Limited (723)	10-Oct-18	9-Apr-19 (1st delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 1st delisting stage (expired in Apr 2019)</li> <li>▪ The company submitted a resumption proposal in Apr 2019 before expiry of the first delisting stage</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Inform market of material information</li> </ol>
22	State Energy Group International Assets Holdings Limited (918)	1-Feb-19	1-Aug-19 (1st delisting stage)	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ In 1st delisting stage (expiring in Aug 2019)</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Inform market of material information</li> </ol>

**B. Companies subject to a specific remedial period (see paragraph 5(b))**

No	Long suspended Main Board companies	Date of suspension	End date of specific remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
1	China Animal Healthcare Ltd. (940)	30-Mar-15	18-Apr-19	<ol style="list-style-type: none"> <li>1. Conduct forensic investigation to address potential irregularities identified by auditors</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>4. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Forensic investigation was completed in Jun 2017</li> <li>▪ Internal control review completed in Nov 2017</li> <li>▪ On 5 Oct 2018, the Listing (Review) Committee upheld the Listing Committee's decision made in Apr 2018 to commence delisting procedures</li> <li>▪ The company has applied for a review of the delisting decision made by the Listing Committee in May 2019.</li> </ul>	<ol style="list-style-type: none"> <li>1. Address forensic investigation findings</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>4. Inform market of material information</li> </ol>

**C. Other suspended companies (see paragraphs 5(c) and 6)**

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
1	Real Gold Mining Limited (246)	27-May-11	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Conduct forensic investigation to address reported potential irregularities</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any auditors' concerns</li> <li>5. Demonstrate adequate financial reporting procedures and internal control systems</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of forensic investigation were announced in Apr and Jun 2014</li> <li>▪ Key findings of internal control review were announced in May 2016</li> <li>▪ 2011 to 2015 annual results and 2012 to 2015 interim results were published</li> <li>▪ SFC directed suspension of trading on 28 Jun 2016</li> <li>▪ SFC commenced legal action against the company and its former controlling shareholder, former director, sponsor and underwriter in Jun 2017</li> <li>▪ The company expects to publish 2016 to 2018 interim and annual results during the period from mid-Jun to mid-Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Publish outstanding financial results and address any auditors' concerns</li> </ol>
2	China High Precision Automation Group Limited (591)	22-Aug-12	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed the suspension of trading on 22 Aug 2012</li> <li>▪ The Exchange will, until further notice, withhold exercising its right to delist the company under Rule 6.01A(2)(b)(ii) should trading in the company's securities remain suspended on 31 Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> </ol>



No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
3	China Longevity Group Company Limited (formerly known as Sijia Group Company Limited) (1863)	14-Feb-13	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Inform market of material information</li> <li>3. Publish outstanding financial results and address any auditors' concerns</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of independent investigation were announced in Jun 2013</li> <li>▪ 2012 to 2018 annual results and 2013 to 2018 interim results were published</li> <li>▪ SFC directed suspension of trading on 4 Dec 2014</li> <li>▪ The Exchange will, until further notice, withhold exercising its right to delist the company under Rule 6.01A(2)(b)(ii) should trading in the company's securities remain suspended on 31 Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Inform market of material information</li> </ol>
4	Dynasty Fine Wines Group Ltd. (828)	22-Mar-13	31-Jul-19	<ol style="list-style-type: none"> <li>1. Publish internal investigation findings and address any identified issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ Investigation was completed in Oct 2016</li> <li>▪ 2012 to 2016 annual results and 2013 to 2016 interim results were published</li> <li>▪ 2017 annual results and 2017 to 2018 interim results are expected to be published by mid-Jul 2019</li> <li>▪ 2012 to 2016 annual reports and 2013 to 2016 interim reports are expected to be published by mid-Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish internal investigation findings and address any identified issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> </ol>
5	Superb Summit International Group Limited (1228)	20-Nov-14	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Address the allegations in an analyst report</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 15 Dec 2015</li> <li>▪ 2014 annual results and 2015 interim results were published</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Address the allegations in an analyst report</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Inform market of material information</li> </ol>
6	Tianhe Chemicals Group Limited (1619)	26-Mar-15	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Conduct forensic investigation to address potential irregularities identified by auditors</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of forensic investigation were announced in Oct 2016</li> <li>▪ SFC directed suspension of trading on 25 May 2017</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Conduct forensic investigation to address potential irregularities identified by auditors</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>5. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
7	Mingyuan Medicare Development Company Limited (233)	1-Apr-15	31-Jul-19	<ol style="list-style-type: none"> <li>1. Conduct forensic investigation to address issues raised by auditors</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>4. Inform market of material information</li> <li>5. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>6. Demonstrate sufficient operations / assets</li> </ol>	<ul style="list-style-type: none"> <li>▪ Preliminary findings on investigation were announced on 29 Aug 2016</li> <li>▪ SFC directed suspension of trading on 23 Oct 2017</li> <li>▪ Key findings of internal control review were announced in Nov 2018</li> <li>▪ 2014 to 2018 annual results were published</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct forensic investigation to address issues raised by auditors</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>4. Inform market of material information</li> <li>5. Address issues arisen from SFC directed suspension</li> <li>6. Demonstrate sufficient operations / assets</li> </ol>
8	Pacific Andes International Holdings Limited (1174)	26-Nov-15	31-Jul-19	<ol style="list-style-type: none"> <li>1. Publish outstanding financial results and address any auditors' concerns</li> <li>2. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company filed a voluntary petition under Chapter 11 of the US Bankruptcy Code to obtain protection while a consensual restructuring was progressed</li> <li>▪ Draft forensic report was received by independent review committee in Mar 2018 and is being finalised</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish outstanding financial results and address any auditors' concerns</li> <li>2. Inform market of material information</li> </ol>
9	Mingfa Group (International) Company Limited (846)	1-Apr-16	31-Jul-19	<ol style="list-style-type: none"> <li>1. Conduct investigation to address issues raised by auditors</li> <li>2. Address audit concerns</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results</li> <li>5. Demonstrate adequate internal control systems</li> <li>6. Demonstrate no regulatory concern about management integrity</li> <li>7. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of investigation report were announced in Mar 2019 and supplemental key findings of investigation report was announced in Apr 2019</li> <li>▪ Key findings of internal control review and follow-up review reports were announced in Apr 2019</li> <li>▪ 2016 to 2018 annual results were published</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct investigation to address issues raised by auditors</li> <li>2. Address audit concerns</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results</li> <li>5. Demonstrate adequate internal control systems</li> <li>6. Demonstrate no regulatory concern about management integrity</li> <li>7. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> </ol>
10	Sound Global Limited (967)	13-Apr-16	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Restore and maintain sufficient public float</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 13 Apr 2016</li> <li>▪ Key findings of independent investigation were announced in Feb 2018</li> <li>▪ 2016 to 2018 annual results and 2016 to 2018 interim results were published</li> <li>▪ Terms of the possible proposal, which may result in the privatisation and delisting of the company, would be decided based on 2018 annual results</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Address any audit modifications</li> <li>3. Restore and maintain sufficient public float</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
11	National United Resources Holdings Limited (254)	1-Aug-16	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate internal control systems</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 5 Aug 2016</li> <li>▪ Key findings of forensic investigation were announced in Oct 2017</li> <li>▪ Key findings of second stage forensic investigation were announced in Dec 2018</li> <li>▪ The company is considering a draft internal control report and recommendations therein</li> <li>▪ The company submitted a resumption proposal in Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate internal control systems</li> </ol>
12	Fuguiniao Co., Ltd. (1819)	1-Sep-16	31-Jul-19	<ol style="list-style-type: none"> <li>1. Publish forensic investigation findings and address any identified issues</li> <li>2. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>3. Demonstrate no concern on management integrity</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> <li>6. Demonstrate sufficient operations / assets</li> </ol>	<ul style="list-style-type: none"> <li>▪ Internal control review with an extended scope is on-going</li> <li>▪ The company is planning the resumption proposal</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish forensic investigation findings and address any identified issues</li> <li>2. Demonstrate adequate financial reporting procedures and internal control systems</li> <li>3. Demonstrate no concern on management integrity</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> <li>6. Demonstrate sufficient operations / assets</li> </ol>
13	Hua Han Health Industry Holdings Limited (587)	27-Sep-16	31-Jul-19	<ol style="list-style-type: none"> <li>1. Complete appropriate investigation to address issues identified by auditors</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> <li>4. Approval of resumption by Securities and Futures Commission (SFC)</li> </ol>	<ul style="list-style-type: none"> <li>▪ Independent investigation is on-going</li> <li>▪ Expected date of publication of 2016 annual results and 2017 interim results is subject to key findings on investigation</li> <li>▪ SFC directed suspension of trading on 20 Nov 2018</li> </ul>	<ol style="list-style-type: none"> <li>1. Complete appropriate investigation to address issues identified by auditors</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> <li>4. Address issues arisen from SFC directed suspension</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
14	Asian Citrus Holdings Limited (73)	29-Sep-16	31-Jul-19	<ol style="list-style-type: none"> <li>1. Publish outstanding financial results and address any audit modifications</li> <li>2. Address allegations received by auditors</li> <li>3. Inform market of material information</li> <li>4. Demonstrate sufficient operations / assets</li> </ol>	<ul style="list-style-type: none"> <li>▪ 2015/2016 to 2017/2018 annual results and 2016/2017 to 2018/2019 interim results were published</li> <li>▪ Independent investigation is on-going and the company expects the investigation report to be available in May 2019</li> <li>▪ The company in Jan 2019 entered into a sales and purchase agreement to dispose of certain subsidiaries which the company had lost control over. Such transaction constitutes a very substantial disposal under Rule 14.06(4). The disposal was completed in May 2019.</li> <li>▪ The company proposed plans to its auditor to address audit qualifications before 30 Jun 2019 with aim of removing all audit qualifications from the consolidated financial statements for year ending 30 Jun 2021</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish outstanding financial results and address any audit modifications</li> <li>2. Address allegations received by auditors</li> <li>3. Inform market of material information</li> <li>4. Demonstrate sufficient operations / assets</li> </ol>
15	Shenji Group Kunming Machine Tool Company Limited (300)	23-Mar-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Conduct forensic investigation on potential irregularities</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 6 Aug 2018</li> <li>▪ 2017 to 2018 annual results and 2018 interim results, all prepared in accordance with PRC Accounting Standards for Business Enterprises, were published</li> <li>▪ Forensic audit is expected to be completed in Jun 2019</li> <li>▪ Internal control review report is expected to be issued in Jun 2019</li> <li>▪ 2016 annual results and 2017 interim results are expected to be completed before 30 Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Conduct forensic investigation on potential irregularities</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Inform market of material information</li> </ol>
16	National Agricultural Holdings Limited (1236)	28-Mar-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Conduct forensic investigation to address issues raised by auditors</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 4 Jul 2017</li> <li>▪ Key findings of forensic investigation were announced in Mar 2018</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Conduct forensic investigation to address issues raised by auditors</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
17	Coolpad Group Limited (2369)	31-Mar-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Publish outstanding financial results and address any audit modifications</li> <li>2. Conduct appropriate investigations to address audit issues</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ 2016 to 2018 annual results and 2017 to 2018 interim results were published</li> <li>▪ Key findings of an agreed upon procedure and internal control review will be announced in due course</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct appropriate investigations to address audit issues</li> <li>2. Inform market of material information</li> </ol>
18	Hsin Chong Group Holdings Limited (Provisional Liquidators appointed) (404)	3-Apr-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Address audit issues</li> <li>2. Inform market of material information</li> <li>3. Demonstrate sufficient operations / assets</li> <li>4. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>5. Withdraw winding up petitions and discharge provisional liquidators</li> <li>6. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of independent investigation were announced in Jan 2018</li> <li>▪ Joint provisional liquidators were appointed in Jan 2019</li> <li>▪ The company entered into an investment framework agreement to facilitate its debt restructuring in Jan 2019.</li> <li>▪ Joint provisional liquidators were changed in Feb 2019</li> <li>▪ Hearing of winding up petition adjourned to 26 Jul 2019</li> <li>▪ Key findings of internal control review were announced in Feb 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address audit issues</li> <li>2. Inform market of material information</li> <li>3. Demonstrate sufficient operations / assets</li> <li>4. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>5. Withdraw winding up petitions and discharge provisional liquidators</li> <li>6. Publish outstanding financial results and address any audit modifications</li> </ol>
19	Lerado Financial Group Company Limited (1225)	6-Jun-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 6 Jun 2017</li> <li>▪ Key findings of independent investigation were announced in Mar 2019</li> <li>▪ The company appointed an internal control adviser in Jan 2019 and expected the internal control review to be completed by May 2019</li> <li>▪ The Exchange will, until further notice, withhold exercising its right to delist the company under Rule 6.01A(2)(b)(ii) should trading in the company's securities remain suspended on 31 Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> </ol>
20	Longrun Tea Group Company Limited (2898)	15-Jun-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Address audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate internal control systems</li> <li>4. Inform market of material information</li> <li>5. Demonstrate no management integrity concern</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of agreed-upon procedures and internal control review were announced in Aug 2018</li> <li>▪ 2017 to 2019 annual results and 2017 to 2018 interim results were published</li> <li>▪ Key findings on second internal control review report were announced in May 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate internal control systems</li> <li>4. Inform market of material information</li> <li>5. Demonstrate no management integrity concern</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
21	Shenzhen Space Park Group Limited (formerly known as China Household Holdings Limited) (692)	22-Jun-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Conduct an appropriate investigation to address the allegations in the research report</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate no management integrity concern</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 17 Jul 2017</li> <li>▪ Preliminary investigation report is being reviewed by independent board committee and expected to be finalised by end of Feb 2019</li> <li>▪ The investigation has been extended, but the timing of finalising the investigation report has yet to be agreed</li> <li>▪ In Dec 2018, a winding up petition by the High Court of Hong Kong was brought by a bondholder against the company. The hearing of the petition was adjourned to 22 Jul 2019.</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Conduct an appropriate investigation to address the allegations in the research report</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Demonstrate no management integrity concern</li> <li>5. Inform market of material information</li> </ol>
22	Yorkshire Holdings Limited (1048)	1-Aug-17	31-Jul-19	<ol style="list-style-type: none"> <li>1. Address audit issues and findings of independent review</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate internal control systems</li> <li>4. Inform market of material information</li> <li>5. Demonstrate sufficient operations / assets</li> <li>6. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of independent investigation were announced in Jan 2018</li> <li>▪ 2016/2017 to 2017/2018 annual results and 2017/2018 to 2018/2019 interim results were published</li> <li>▪ Key findings of internal control review findings were announced in Nov 2018</li> <li>▪ Key findings of internal control review which covers an enlarged scope were announced in Apr 2019</li> <li>▪ 2018/2019 annual results are expected to be published by end of Jul 2019</li> <li>▪ SGX decided to delist the company on the Singapore Stock Exchange and the company intends to appeal such decision</li> <li>▪ The company entered into a share transfer agreement to dispose of two subsidiaries in order to improve the company's financial position</li> </ul>	<ol style="list-style-type: none"> <li>1. Address audit issues and findings of independent review</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Demonstrate adequate internal control systems</li> <li>4. Inform market of material information</li> <li>5. Demonstrate sufficient operations / assets</li> <li>6. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> </ol>
23	Brightoil Petroleum (Holdings) Limited (933)	3-Oct-17	31-Jan-20	<ol style="list-style-type: none"> <li>1. Publish forensic investigation findings and address any identified issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Forensic investigation is on-going</li> <li>▪ In May 2019, the company was served a winding up petition by the High Court of Hong Kong. The hearing of the petition is scheduled for 17 Jul 2019.</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish forensic investigation findings and address any identified issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
24	New Ray Medicine International Holding Limited (6108)	6-Oct-17	31-Jan-20	1. Approval of resumption by Securities and Futures Commission (SFC)	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 6 Oct 2017</li> </ul>	1. Address issues arisen from SFC directed suspension
25	Tech Pro Technology Development Limited (3823)	9-Nov-17	31-Jan-20	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 9 Nov 2017</li> <li>▪ Key findings of independent investigation were announced in Dec 2018</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>
26	Town Health International Medical Group Limited (3886)	27-Nov-17	31-Jan-20	1. Approval of resumption by Securities and Futures Commission (SFC)	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 27 Nov 2017</li> </ul>	1. Address issues arisen from SFC directed suspension
27	China Wah Yan Healthcare Limited (648)	27-Nov-17	31-Jan-20	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Publish outstanding financial results</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 27 Nov 2017</li> <li>▪ The company appointed in Mar 2019 a professional adviser to assist in investigation</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Publish outstanding financial results</li> <li>3. Inform market of material information</li> </ol>
28	Convoy Global Holdings Limited (1019)	7-Dec-17	31-Jan-20	<ol style="list-style-type: none"> <li>1. Disclose details of the potential irregularities, assess the impact on the financial and operation position, and take appropriate remedial actions</li> <li>2. Demonstrate adequate internal control systems</li> <li>3. Demonstrate no regulatory concern about management integrity</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company is examining details of the potential irregularities and the impact on its business and operation</li> <li>▪ The independent advisors have prepared a preliminary draft report of the potential irregularities in relation to the company for the independent non-executive directors to review</li> <li>▪ The company and its auditor have completed a substantial part of the audit procedures</li> </ul>	<ol style="list-style-type: none"> <li>1. Disclose details of the potential irregularities, assess the impact on the financial and operation position, and take appropriate remedial actions</li> <li>2. Demonstrate adequate internal control systems</li> <li>3. Demonstrate no regulatory concern about management integrity</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
29	Huiyin Smart Community Co., Ltd. (1280)	26-Mar-18	31-Jan-20	<ol style="list-style-type: none"> <li>1. Complete appropriate investigation to address identified audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Demonstrate no reasonable regulatory concern about management integrity</li> <li>6. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of independent investigation and internal control review were announced in Sept 2018</li> <li>▪ Key findings of extended investigation report were announced in Jan 2019</li> <li>▪ 2017 to 2018 annual results and 2018 interim results were published</li> <li>▪ Key findings of internal control review of a PRC subsidiary were announced in Mar 2019</li> <li>▪ Key findings of rectification of internal controls were announced in May 2019 and supplemental announcement on key findings of rectification report was announced in Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Complete appropriate investigation to address identified audit issues</li> <li>2. Address any audit modifications</li> <li>3. Inform market of material information</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Demonstrate no reasonable regulatory concern about management integrity</li> <li>6. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> </ol>
30	China Huiyuan Juice Group Limited (1886)	3-Apr-18	31-Jan-20	<ol style="list-style-type: none"> <li>1. Conduct forensic investigation findings and address any identified issues</li> <li>2. Demonstrate adequate internal control systems</li> <li>3. Demonstrate no concern on management integrity</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Forensic investigation and internal control review are on-going</li> <li>▪ Default in redemption of convertible bonds of HK\$1.2 billion on 24 Jan 2019</li> <li>▪ The company engaged a financial advisor in Jun 2019 to provide debt-related advice</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct forensic investigation findings and address any identified issues</li> <li>2. Demonstrate adequate internal control systems</li> <li>3. Demonstrate no concern on management integrity</li> <li>4. Publish outstanding financial results and address any audit modifications</li> <li>5. Inform market of material information</li> </ol>
31	Tou Rang Chang Fu Group Limited (850)	3-Jul-18	31-Jan-20	<ol style="list-style-type: none"> <li>1. Conduct an appropriate investigation to address the identified audit issues</li> <li>2. Publish outstanding financial results and address any audit modification</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Key findings of forensic investigation were announced in Apr 2019</li> <li>▪ The company changed its auditor as the company and previous auditor were unable to resolve audit issues within a reasonable time</li> <li>▪ The company appointed an internal control auditor in Jun 2019</li> <li>▪ The company plans to appoint an independent review expert to review relevant bank accounts and agreements entered into by a PRC subsidiary</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct an appropriate investigation to address the identified audit issues</li> <li>2. Publish outstanding financial results and address any audit modification</li> <li>3. Inform market of material information</li> </ol>



No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
32	Real Nutraceutical Group Limited (2010)	4-Jul-18	31-Jan-20	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> <li>4. Demonstrate sufficient operations / assets</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 4 July 2018</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> <li>4. Demonstrate sufficient operations / assets</li> </ol>
33	CW Group Holdings Limited (In Provisional Liquidation) (1322)	11-Jul-18	31-Jan-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Discharge or dismiss the winding up order and discharge the provisional liquidators</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Two potential investors have made offers of the company's creditor banks in relation to the proposed debt restructuring</li> <li>▪ In Dec 2018, the company's subsidiary was ordered to be wound up under the provisions of the Companies (Winding Up and Miscellaneous Provisions) Ordinance</li> <li>▪ The hearing of the winding up petition against the company has been adjourned until further notice</li> <li>▪ Joint provisional liquidators were changed in Jun 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Discharge or dismiss the winding up order and discharge the provisional liquidators</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>
34	Peace Map Holding Limited (In Provisional Liquidation) (402)	13-Aug-18	12-Feb-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Discharge or dismiss the winding up order and discharge liquidators</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Joint provisional liquidators were appointed in Feb 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Discharge or dismiss the winding up order and discharge liquidators</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
35	Tenwow International Holdings Limited (In Provisional Liquidation) (1219)	13-Aug-18	12-Feb-20	<ol style="list-style-type: none"> <li>1. Conduct forensic investigations to address potential irregularities</li> <li>2. Conduct appropriate investigations to address issues identified by the relevant authority in the PRC</li> <li>3. Demonstrate adequate internal control systems</li> <li>4. Demonstrate no regulatory concern about management integrity</li> <li>5. Demonstrate published financial results remain accurate and complete in all material respects</li> <li>6. Inform market of material information</li> <li>7. Discharge or dismiss the winding up order and discharge the joint provisional liquidators</li> <li>8. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company appointed a forensic accountant in Mar 2019 and will engage an internal control advisor after commencement of the forensic investigation</li> <li>▪ Preliminary forensic investigation findings expected to be made available for review by Jun or Jul 2019</li> <li>▪ Joint provisional liquidators were appointed in Nov 2018</li> <li>▪ Hearing of the winding up petition was adjourned to 31 Aug 2019</li> <li>▪ The company appointed an internal control advisor and anticipates a preliminary draft internal control review report will be provided to the company in early Sep 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct forensic investigations to address potential irregularities</li> <li>2. Conduct appropriate investigations to address issues identified by the relevant authority in the PRC</li> <li>3. Demonstrate adequate internal control systems</li> <li>4. Demonstrate no regulatory concern about management integrity</li> <li>5. Demonstrate published financial results remain accurate and complete in all material respects</li> <li>6. Inform market of material information</li> <li>7. Discharge or dismiss the winding up order and discharge the joint provisional liquidators</li> <li>8. Publish outstanding financial results and address any audit modifications</li> </ol>
36	China First Chemical Holdings Limited (2121)	31-Aug-18	29-Feb-20	<ol style="list-style-type: none"> <li>1. Conduct an appropriate investigation to address the audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company appointed an investigating accountant in Feb 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Conduct an appropriate investigation to address the audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>
37	Wuzhou International Holdings Limited (1369)	3-Sep-18	2-Mar-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct appropriate investigations to address irregularities in suspected transfers of equity interests of subsidiaries</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ A special investigation committee has been established to investigate the transfer of equity interests of certain subsidiaries</li> <li>▪ The company has appointed a restructuring advisor to assist in the possible debt restructuring arrangements of the company's subsidiaries and negotiating with creditors for a possible restructuring proposal</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Conduct appropriate investigations to address irregularities in suspected transfers of equity interests of subsidiaries</li> <li>3. Publish outstanding financial results and address any audit modifications</li> <li>4. Inform market of material information</li> </ol>
38	SMI Holdings Group (198)	3-Sep-18	2-Mar-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company has engaged its PRC lawyer to provide legal opinion on the change in shareholdings of certain PRC subsidiaries as stated in a press article</li> <li>▪ The company has entered into letters of intent with minority shareholders and creditors and a MOU with a potential investor as part of its debt restructuring proposal</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Demonstrate the fulfillment of the duties of skill, care and diligence by directors</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any audit modifications</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
39	Hosa International Limited (2200)	3-Sep-18	2-Mar-20	<ol style="list-style-type: none"> <li>1. Publish a clarification announcement to address allegations in a research report</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ A special committee has been established to conduct internal review on the allegations</li> <li>▪ The company appointed an independent investigator to address allegations in the research report and expects the investigation to be completed by Jun 2019</li> <li>▪ Given the resignation of its auditor in Dec 2018, the company is seeking to appoint a new auditor by Jul 2019, and expects the 2018 annual results and 2018 interim results to be published by Sep 2019</li> <li>▪ Clarification announcement to address allegations in a research report was published in Apr 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish a clarification announcement to address allegations in a research report</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>
40	Bolina Holding Co., Ltd (In Liquidation) (1190)	17-Sep-18	16-Mar-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Discharge or dismiss the winding up order and discharge the joint and several liquidators</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ Joint and several liquidators were appointed in Oct 2018</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Discharge or dismiss the winding up order and discharge the joint and several liquidators</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any audit modifications</li> </ol>
41	Blockchain Group Company Limited (In Liquidation) (364)	19-Nov-18	18-May-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Withdraw winding up petitions and discharge liquidators</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any audit modifications</li> </ol>	<ul style="list-style-type: none"> <li>▪ Joint and several liquidators were appointed in Nov 2018</li> <li>▪ Financial advisor was appointed in Dec 2018 to assist in the submission of a resumption proposal</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Withdraw winding up petitions and discharge liquidators</li> <li>3. Inform market of material information</li> <li>4. Publish outstanding financial results and address any audit modifications</li> </ol>
42	Vision Fame International Holding Limited (1315)	3-Dec-18	2-Jun-20	<ol style="list-style-type: none"> <li>1. Publish forensic review findings relating to deposit pledge contracts and take appropriate remedial actions</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Draft Phase 1 forensic investigation report was expected to be passed to audit committee by mid-Mar 2019</li> <li>▪ The company engaged an internal control consultant to conduct internal control review</li> </ul>	<ol style="list-style-type: none"> <li>1. Publish forensic review findings relating to deposit pledge contracts and take appropriate remedial actions</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>

No	Long suspended Main Board companies	Date of suspension	End date of remedial period	Resumption conditions or guidance / Major issues to be addressed	Major developments based on company's announcements	Outstanding issues
43	Haitian Energy International Ltd (In Provisional Liquidation) (1659)	25-Feb-19	24-Aug-20	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Withdraw winding up petition and discharge provisional liquidators</li> <li>3. Conduct appropriate investigations to address irregularities relating to a letter of intent for a proposed acquisition of a company</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Publish outstanding financial results and address any audit modifications</li> <li>6. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ Joint provisional liquidators were appointed in Mar 2019</li> <li>▪ The board of the company conducted investigations</li> <li>▪ The company engaged an internal control consultant to assess the company's internal control systems</li> </ul>	<ol style="list-style-type: none"> <li>1. Demonstrate sufficient operations / assets</li> <li>2. Withdraw winding up petition and discharge provisional liquidators</li> <li>3. Conduct appropriate investigations to address irregularities relating to a letter of intent for a proposed acquisition of a company</li> <li>4. Demonstrate adequate internal control systems</li> <li>5. Publish outstanding financial results and address any audit modifications</li> <li>6. Inform market of material information</li> </ol>
44	China Ding Yi Feng Holdings Limited (612)	8-Mar-19	7-Sep-20	<ol style="list-style-type: none"> <li>1. Approval of resumption by Securities and Futures Commission (SFC)</li> </ol>	<ul style="list-style-type: none"> <li>▪ SFC directed suspension of trading on 8 Mar 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address issues arisen from SFC directed suspension</li> </ol>
45	Inner Mongolia Energy Engineering Co., Ltd (1649)	18-Mar-19	17-Sep-20	<ol style="list-style-type: none"> <li>1. Complete an appropriate independent investigation to address the audit issues and take appropriate remedial actions</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ The company appointed a forensic accounting team and expects the forensic review report to be issued by 19 Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Complete an appropriate independent investigation to address audit issues and take appropriate remedial actions</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>
46	Morris Holdings Limited (1575)	28-Mar-19	27-Sep-20	<ol style="list-style-type: none"> <li>1. Address audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>	<ul style="list-style-type: none"> <li>▪ HLB Hodgson Impey Cheng Limited was appointed as the company's auditors in May 2019</li> <li>▪ Investigation report is expected to be completed by 10 Jul 2019</li> <li>▪ 2018 annual results are expected to be published by 10 Jul 2019</li> </ul>	<ol style="list-style-type: none"> <li>1. Address audit issues</li> <li>2. Publish outstanding financial results and address any audit modifications</li> <li>3. Inform market of material information</li> </ol>