

## ***Online publication, display and submission of documents***

### **DS2-1 Where and for how long should documents on display be published online? How will these documents be removed from the relevant websites after the expiry of the prescribed display period?**

Listed issuers should publish documents on display on both the HKEX website (through EPS under the headline category “Documents on Display”) and the listed issuer’s website for the time period prescribed by the Listing Rules (which is the same as what the Listing Rules originally require for physical display of such documents).

After the expiry of any relevant display period prescribed by the Listing Rules, listed issuers should remove the documents on display manually from the HKEX website through EPS and their own website.

They should not do so before the expiry of the relevant display period.

*MB Rules 4.14, 5.01B(1)(b), 5.02B(2)(b), 29.09, 29.10, 36.08(3) and App D1C - 54  
GEM Rules 7.18, 8.01B(1)(b), 8.02B(2)(b), 32.05(3), 35.10, 35.11 and App D1C - 53  
First released: June 2021; last updated: May 2024*

### **DS2-2 What types of listing documents should be published solely in an electronic format?**

All listing documents in respect of the following should be published solely in an electronic format except for a Mixed Media Offer:

- (i) Initial public offerings of equities, stapled securities, depositary receipts and collective investment schemes;
- (ii) Public offerings of debt securities;
- (iii) Listings by introduction; and
- (iv) Transfers of listing from GEM to the Main Board.

*MB Rule 25.19A  
GEM Rule 29.21A  
First released: June 2021; last updated: May 2024*

### **DS2-3 For how long should electronic listing documents be published on the HKEX and issuer’s websites?**

There is no time limit. Electronic listing documents should remain online.

*MB Rule 25.19A  
GEM Rule 29.21A  
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**DS2-4 How should documents be submitted to the Exchange by electronic means under the paperless regime?**

The Exchange will establish a new online platform (**Issuer Platform**) as a designated channel for two-way communication between the Listing Division and new applicants/ listed issuers (except for structured products issuers). New applicants/ listed issuers and their professional parties will be able to use this platform to submit all documents and e-Forms to the Division electronically. The Exchange will update the market prior to the launch of the Issuer Platform, with necessary guidance.

Until that time, new applicants and listed issuers (and their advisors) should continue to submit documents to the Listing Division through the existing permitted electronic means (e.g. by email or via HKEX-ESS).

*MB Rule 2.07(3A)*

*GEM Rule 2.21*

*First released: December 2023; last updated: May 2024*

**DS2-5 Is digital signature required for documents electronically submitted to the Exchange that require signature?**

No, except for documents that are to be electronically submitted to the Exchange for the purpose of authorisation of prospectus registration under the Companies (Winding Up and Miscellaneous Provisions) Ordinance.

*MB Rules 1.02A and 2.07(3A)*

*GEM Rules 1.03A and 2.21*

*First released: October 2023; last updated: May 2024*

