



香港聯合交易所
The Stock Exchange of Hong Kong

Our Ref: LD58190/97

16th September, 1997

To : All Listed Issuers

Dear Sirs,

Monthly Return on Movement of Listed Securities

The Stock Exchange of Hong Kong Limited (the "Exchange") has noted that an increasing number of issuers when submitting their monthly returns on movement of listed securities (the "Returns") to the Listing Division have not at the same time sent a duplicate of the Returns to the Research & Planning Department of the Exchange. Such information is vital to the compilation of information for publication as well as the calculation of annual listing fees in accordance with Appendix 8 of the Rules Governing the Listing of Securities on the Exchange.

The Exchange would like to notify all issuers that they should with immediate effect submit their Returns directly to the Research & Planning Department only (together with details of their respective contact person in respect of the Returns), on or before the 10th (in the case of Form I for listed equity securities) or the 15th (in the case of Form II for listed debt securities / derivative warrants / unit trusts / mutual funds) of each succeeding month thereof.

Your assistance in this matter will be much appreciated.

Yours faithfully,
For and on behalf of
The Stock Exchange of Hong Kong Limited

Lawrence Fok
Executive Director
Listing Division

LF/KW/MS/pl/lip/misc/monthly

香港聯合交易所有限公司 The Stock Exchange of Hong Kong Limited
上市科 Listing Division

香港中環康樂廣場一號怡和大廈 36 樓 36/F., Jardine House, 1 Connaught Place, Central, Hong Kong
電話 Telephone: 2522 1122 專用電報 Telex: 68174 STOLD HX 圖文傳真 Facsimile: 2868 5028, 2868 5056



香港聯合交易所
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檔案號碼：LD58190/97

致：所有上市發行人

敬啟者：

上市證券變動之月報

香港聯合交易所有限公司(「聯交所」)注意到越來越多發行人在向上市科呈交其上市證券變動之月報(「月報」)時，未有把該月報之副本一併送交聯交所資料研究及策劃部。該等資料不論對編彙資訊性刊物，或是按《聯交所證券上市規則》附錄八的規定計算上市年費都同樣重要。

聯交所茲通知，由即日起，所有上市發行人只須於對下一個月的首 10 天內(倘為上市股本證券，即填報表格一)，或首 15 天內(倘為上市債務證券/衍生認股權證/單位信託/互惠基金，即填報表格二)直接把其月報，連同有關聯絡人的詳情送交資料研究及策劃部便可。

敬希各位能加以合作。

香港聯合交易所有限公司
上市科執行總監

霍廣文 謹啟

1997年9月16日

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