

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Our Ref: CRO20190809-014

9 August 2019

To: Main Board Listed Issuers (Attn: Authorised Representatives)
GEM Listed Issuers (Attn: Authorised Representatives)
Market Practitioners

Dear Sirs

Publication of the Exchange's Biannual Enforcement Newsletter

We have published today the latest issue of our Enforcement Newsletter which is available on the HKEX website. The Newsletter includes news and updates on the enforcement work undertaken by the Exchange.

This issue includes:

- An interview with the Head of Enforcement, Mr Tom Butlin, about the enforcement work of the Listing Department;
- Latest developments regarding (i) new Listing Rules on financial statements; and (ii) the new review structure in relation to Listing Committee decisions; and
- Enforcement statistics and summaries of published disciplinary decisions for the first six months of 2019.

We hope that the contents of the Newsletter provide useful guidance to listed issuers and directors on the Exchange's expectations in relation to their compliance with the Listing Rules.

Yours faithfully
For and on behalf of
The Stock Exchange of Hong Kong Limited

[Signed]

David Graham
Head of Listing