

Signature of Applicants:

(I/We)* have read the notes annexed to this form and give consent to provide personal information submitted to other government departments, agencies authorised to process the information, and the Mainland Authorities for purposes relating to the quarantine free travel.

(Name of Applicant #1: _____) (Name of Applicant #2: _____)

Signature of the person authorised by the Listed Company and Company Chop:

(Name: _____)

Date: _____

* *Delete as appropriate*

** *Please refer to the Notes to Application for the supporting documents required.*

Name as shown on ID document.

Internal Use:

Date received:	Verified by:	Company:	Quota:	Criteria Matched:
		<input type="checkbox"/> HSI <input type="checkbox"/> HSCEI	<input type="checkbox"/> 1 st in _____(month)	Y / N
		<input type="checkbox"/> HSCI(LC/MC/SC)	<input type="checkbox"/> 2 nd in _____(month)	
Date to F:	A ready:	Issue A by SEHK:		

Scheme for quarantine-free travel to the Mainland

Notes to Application

The notes set out the eligibility criteria and application procedures for directors or employees of qualified listed companies to participate in the Hong Kong Special Administrative Region (HKSAR) Government's scheme for quarantine-free travel to the Mainland (the "Scheme"). Phase 1 of the Scheme covers travelling to Guangdong Province only.

1. Category of Persons Eligible for Exemption

- Directors or employees of companies listed on Stock Exchange of Hong Kong ("SEHK") and included in Hang Seng Index, Hang Seng China Enterprises Index or Hang Seng Composite LargeCap, MidCap or SmallCap Index who travel to the Guangdong Province for purposes relating to the operation of the qualified listed companies.

2. Quota of Exemption

- Each of the qualified listed companies (see Part 1) can only nominate a maximum of **two** persons (who can be directors and/or employees) for the Scheme in each calendar month.
- The qualified listed companies cannot change the nominated directors/employees (regardless of whether the directors /employees have eventually used the exemption) once the approval has been given, but can nominate other directors/employees in the next round of application.

3. Application Procedures and Supporting Document(s) Required

- The qualified listed companies should first pre-register their directors or employees by submitting the application form together with a copy of the relevant supporting documents, including:
 - (i) letter issued by the qualified listed company within two weeks to certify the name(s) and position(s) of the directors or employees;
 - (ii) photocopy of ID card / passport of applicants;
 - (iii) document for travelling to the Mainland, i.e. Mainland Travel Permit, Residence Permit or passport photo page with a valid visa; and
 - (iv) document to demonstrate the travel purpose is related to the operation of the qualified listed company (below are some examples)
 - the qualified listed company has business establishment (e.g. subsidiary/ branch/ office) in Guangdong Province

- business contract to demonstrate the qualified listed company has business operations in Guangdong Province
 - invitation from counterparties to attend business meetings in Guangdong Province
- Application can be submitted by email to the specified contact persons in the Listing Division of HKEX.³ Applications should be submitted to HKEX from 13 to 17 December 2021. The nominated directors/employees (regardless of whether the directors/employees have eventually used the exemption) could not be changed once the approval has been given. If no update is submitted, the most recent registered applicants will continue to be eligible. Subsequent changes of applicant(s) or new applications should be made in the first five working days of each calendar month, starting from February 2022. If approved, the change would be effective in accordance with the date to be specified in the reply of the HKSAR Government.
 - Subject to the submission of accurate and complete information, HKEX will then provide the personal particulars of the directors or employees sponsored by the qualified listed company to the Financial Services and the Treasury Bureau for registration on the list of eligible individuals as maintained by the Office of the Government Chief Information Officer.
 - Successful registration would be provided with relevant information for the sponsored director/employee of qualified listed companies to apply for quarantine-free travel to Guangdong Province under the Scheme.
 - Pre-registration via the HKEX is not a guarantee for quarantine-free travel as the Scheme is subject to changes as may be announced by the HKSAR Government from time to time.
 - This application form can be downloaded at https://www.hkex.com.hk/-/media/HKEX-Market/Listing/Rules-and-Guidance/Other-Resources/Listed-Issuers/Joint-Statement-with-SFC/App_Form_quarantine_free_travel.pdf

4. **Enquiries**

- Enquiries should be directed to HKEX as set out in Part 3 above.

³ https://www.hkex.com.hk/Listing/Contact-Us/Contact-persons-in-HKEX-Listing-Division-for-listed-companies?sc_lang=en

5. Personal Information Collection Statement

- The personal data provided in the application forms will be used for considering and processing applications relating to exemption from the compulsory quarantine requirement. The applicant must give consent to provide such information to other government departments, agencies authorised to process the information, and the Mainland Authorities for purposes relating to the quarantine free travel. Applicants are required to notify HKEX if there are any subsequent changes to the information provided after submission of the application form.