

HKEx Fact Book 2014

Fact Book 2014

- The Year 2014 in review
- Major events of the Hong Kong securities and derivatives market 2014
- Market highlights
- Securities market – Main Board
 - market indices
 - listing statistics
 - market capitalisation
 - trading statistics and analysis
 - short selling
 - listed companies
 - equity warrants
 - derivative warrants
 - callable bull/bear contracts
 - debt securities
 - unit trusts and mutual funds
 - trading only stock
 - RMB securities products
 - Shanghai-Hong Kong Stock Connect
 - historical section
 - world stock markets
- Securities market – Growth enterprise market (GEM)
 - market indices
 - listing statistics
 - market capitalisation
 - trading statistics and analysis
 - listed companies
 - equity warrants
- Derivatives market
 - equity index products
 - interest rate products
 - equity products
 - commodity products
 - currency products
 - historical section
- LME commodities market
- Clearing statistics
- Exchange participants
- Clearing participants
- The investing community
- Hong Kong securities market and derivatives markets historical events
- Appendices

The Year 2014 in Review

In 2014, the HKEx markets continued to show signs of growth in multiple dimensions amid buoyant primary market activities. The total market capitalisation of the securities market (including the Main Board and the Growth Enterprise Market (GEM) of the Stock Exchange of Hong Kong (SEHK or the Exchange)) at the end of 2014 was HK\$25,071.8 billion, 4% higher than at year-end 2013. The year's highest single-day turnover in the securities market was HK\$148.3 billion on 5 December while the lowest level was HK\$39.7 billion on 26 May (compared to the high of HK\$128.4 billion and low of HK\$38.6 billion in the previous year).

At the end of 2014, there were a total of 1,752 companies listed on the Main Board and GEM. Of these, 876 were Mainland enterprises, constituting 60% by market capitalisation and 71% by annual equity turnover value. In 2014, there were a record high of 122 newly listed companies on the Main Board and GEM. Total equity funds raised also reached a record level of HK\$942.7 billion, of which the funds raised through initial public offering (IPO) increased year-on-year by 38% to HK\$232.5 billion. The amount of post-IPO funds raised was another new record, which increased significantly by 238% to HK\$710.2 billion from the previous year.

The Hang Seng Index (HSI) reached its highest daily closing level in 2014 on 3 September (25,317 points) and closed the year at 23,605 points, up 1% from 2013. The Hang Seng China Enterprises Index (HSCEI or H-shares Index) increased by 11% to 11,984 points over the year. The Hang Seng China-Affiliated Corporations Index (the Red-chips Index) closed at 4,350 points, down 4% from the end of 2013. The S&P/HKEx GEM Index rose by 3% to 477 points over 2014.

Total securities market turnover in 2014 was HK\$17,155.7 billion, up 12% year-on-year. The average daily turnover for the overall market in 2014 increased year-on-year by 11% to HK\$69.5 billion – HK\$68.8 billion for the Main Board (up 11% from 2013) and HK\$670 million for GEM (up 107% from 2013).

The HKEx derivatives (futures and options) market in 2014 recorded a total trading volume of 142 million contracts (up 10% from 2013) and an average daily trading volume of 576,676 contracts (up 8% from 2013). The average daily trading volumes of futures products and options products were 203,965 contracts and 372,712 contracts respectively.

In 2014, the London Metal Exchange (LME) traded a total of 177 million commodity futures and options contracts (up 4% from 2013), with an 82% share in the notional volume of global exchange-traded base metal futures.

SECURITIES MARKET

Market development

Listing and regulation

In 2014, regulators and HKEx undertook major enhancements to the legal and regulatory framework of the Hong Kong securities market and made significant progress in the development of Hong Kong's offshore Renminbi (RMB) market.

In March, the new **Companies Ordinance** and its 12 pieces of subsidiary legislation, which provide the legal framework for the incorporation and operation of companies in Hong Kong, came into effect. The comprehensive exercise to rewrite the Companies Ordinance was one of the biggest legal revamps in Hong Kong history, and was aimed at enhancing corporate governance, ensuring better regulation, facilitating business and modernising the law. The new Companies Ordinance involves a wide range of legal and technical changes, including the abolition of the par value for shares. In February, the SEHK published amendments to the Listing Rules to set out the method by which the SEHK's annual listing fees for Hong Kong-incorporated issuers would be calculated when the new Companies Ordinance came into effect on 3 March 2014. The amendments were made to align the Listing Rules following the abolition of the concept of nominal (par) value under the new Companies Ordinance.

The Securities and Futures Commission (SFC) has also **amended the Codes on Takeovers and Mergers and Share Repurchases** to bring them in line with the new Companies Ordinance, including renaming the code to the “Codes on Takeovers and Mergers and Share Buy-backs”.

In 2014, the revamp of Hong Kong’s listing regime continued.

In March, the SEHK announced a decision to continue the **Initial 3-day Check of Application Proof** (the initial proof of the listing document) for six months to 30 September 2014 to allow more time for market practitioners to familiarise themselves with the SFC’s new regulations on sponsors. The Initial 3-day Check was one of the transitional arrangements the SEHK put in place to assist market practitioners. Under the arrangement, the SEHK accepts listing applications for detailed vetting only after it completes an Initial 3-day Check of the Application Proof based on a prescribed checklist. Except for the Initial 3-day Check, all other transitional arrangements in place since 1 October 2013 came to an end on 31 March 2014 and the requirement to publish an Application Proof on the HKExnews website commenced on 1 April 2014. In September 2014, the SEHK announced that it had completed a further review of the Initial 3-day Check of Application Proof and decided that the Initial 3-day Check would be discontinued after 30 September 2014. With effect from 1 October 2014, an Application Proof is published on the HKExnews website at the same time the new applicant files a listing application with the SEHK.

In August, the SFC issued supplemental consultation conclusions on the new sponsor regulations to reaffirm that IPO sponsors are subject to existing statutory civil and criminal liability for defective prospectuses.

In June, the **Financial Services Development Council (FSDC) published a research report on positioning Hong Kong as an international IPO centre of choice** and put forward a number of measures to enhance the IPO platform in Hong Kong. These include introducing distinct market segmentation for better matching between different types of investors and issuers, accommodating innovations in shareholding and management structure, and developing Hong Kong as a hub for issuers in specific industries. The report also supported the legislation for uncertificated securities market regime, which could facilitate the listing of issuers from a number of jurisdictions. A key step in this direction was taken as the Securities and Futures and Companies Legislation (Uncertificated Securities Market Amendment) Bill 2014 was submitted to the Legislative Council for First Reading in the same month. This would facilitate the establishment and implementation of a scripless securities market regime in Hong Kong. In August, the SEHK tabled a policy paper to the Listing Committee setting out short term and long term goals in relation to the SEHK-related recommendations in FSDC’s research report.

Public consultation was also conducted on a number of regulatory issues in the year. In January, the Hong Kong Government, the Hong Kong Monetary Authority (HKMA), the SFC and the Insurance Authority launched the first stage of public consultation on establishing an **effective resolution regime for financial institutions**, including financial market infrastructures, in Hong Kong. The proposed regime would provide the authorities with powers to resolve non-viable financial institutions without severe systemic disruption whilst protecting taxpayers. In February, the SFC launched a consultation concerning the future **regulation of alternative liquidity pools** (ALPs, also known as alternative trading systems and dark pools). In September, the SFC released the consultation conclusions on proposed amendments to the **professional investor regime**. The proposed amendments, including investor protection requirements for intermediaries when serving individual professional investors, would come into effect in March 2016. The SFC has also revised its proposals on client agreement requirements and launched another three-month consultation on the proposals. In December, the SFC launched a one-month consultation on proposed amendments to the Securities and Futures Ordinance (SFO) **providing supervisory assistance to overseas regulators**, subject to a number of conditions and safeguards. The proposed changes would allow more effective and comprehensive supervision of regulated corporations which operate in multiple jurisdictions. This would also help Hong Kong regulated entities gain greater access to overseas markets.

HKEx consulted the market on a number of **corporate governance-related issues** during the year. In March, the SEHK published consultation conclusions on review of connected transaction Rules and proposed changes to align the definitions of connected person and associate in the Listing Rules. Respondents were largely supportive of the proposals and the Rule amendments took effect in July. In June, another consultation paper was published to seek comments on proposed amendments to the Corporate Governance Code and Corporate Governance Report relating to internal controls. The SEHK also received overwhelming market support in this consultation. The amendments to the Corporate Governance Code and Corporate Governance Report will apply to accounting periods beginning on or after 1 January 2016.

In August, the SEHK published a **concept paper on weighted voting rights** to seek the market's views on whether governance structures that give certain persons voting power or other related rights disproportionate to their shareholding should be permissible for companies listed or seeking to list on the SEHK. The concept paper explains the relevance of weighted voting rights to investor protection; describes the current Hong Kong position; and provides a comprehensive comparison with the approaches adopted in other jurisdictions. The deadline for responses was 30 November 2014 and the SEHK is reviewing and analysing responses received.

As for other listing-related matters, the SEHK published a consultation paper in August on review of Listing Rules on **disclosure of financial information** with reference to the new Companies Ordinance and Hong Kong Financial Reporting Standards and proposed minor/housekeeping Rule amendments. The SEHK published the consultation conclusions in February 2015. The amendments relating to disclosure of financial information will apply to accounting periods ending on or after 31 December 2015 and the amendments unrelated to disclosure of financial information will come into effect on 1 April 2015.

In respect of **product development**, the SFC proposed a range of code amendments to promote the development of the fund industry during the year. In January, a consultation was launched to seek comments on proposed amendments to the **Code on Real Estate Investment Trusts (REIT Code)**, which would introduce flexibility in the investment scope of REITs in respect of property development and financial instruments. In June, the SFC consulted the market on proposed amendments to the **Code on Unit Trusts and Mutual Funds** to give public funds greater flexibility in channels for information dissemination on pricing, net asset value (NAV) and dealing suspension while requiring more frequent disclosure of prices and NAVs. The proposals were supported by market participants. The amendments to the REIT Code subsequently came into effect in August. Proposals about the Code on Unit Trusts and Mutual Funds would also be adopted in 2015.

In December, the HKMA introduced two measures to further promote **local Hong Kong dollar bond market development**: (1) streamlining the tenors of bonds issued under the Exchange Fund Bills and Notes Programme and the Hong Kong Government Bonds (GBs) Programme; and (2) introducing a discount facility for GBs to provide banks with overnight liquidity.

In 2014, the status of Hong Kong as an offshore RMB business centre was further solidified with the launch of **Shanghai-Hong Kong Stock Connect** pilot programme.

Shanghai-Hong Kong Stock Connect was launched on 17 November to provide mutual stock market access (subject to investment quota) between Shanghai and Hong Kong for investors. It was first announced by Premier Li Keqiang at the Boao Forum for Asia Annual Conference on 10 April, followed immediately by the approval in principle for this initiative by the China Securities Regulatory Commission (CSRC) and Hong Kong SFC. A series of preparatory actions were taken in the following months, including the signing of the four-party agreement among SEHK, Hong Kong Securities Clearing Co Ltd (HKSCC), Shanghai Stock Exchange (SHSE) and China Securities Depository and Clearing Corporation (ChinaClear) in September and a memorandum of understanding (MOU) by the CSRC and Hong Kong SFC in October to strengthen cross-boundary regulatory and enforcement cooperation under Shanghai-Hong Kong Stock Connect.

To help market participants prepare for Northbound Trading through the Shanghai-Hong Kong Stock Connect, seminars and briefings to Exchange Participants (EPs), information vendors (IVs) and investors were conducted. There has also been extensive outreach to brokers and investors in the Mainland to give them more information on Southbound Trading. A dedicated China Connect web corner was also launched on the HKEx website to provide comprehensive information to investors and market participants on Shanghai-Hong Kong Stock Connect.

A new index — the Hang Seng CSI Shanghai-Hong Kong AH Smart Index (SHHKSI) — was launched in November by Hang Seng Indexes Co Ltd and China Securities Index Co Ltd (CSI). This index serves as a new benchmark to reflect the strategy of price arbitrage between A shares and H shares under Shanghai-Hong Kong Stock Connect.

To provide liquidity support for Shanghai-Hong Kong Stock Connect, the HKMA introduced several measures in October and November: (1) designating seven **Primary Liquidity Providers (PLPs)** for the offshore RMB market in Hong Kong; (2) introducing an **intraday RMB liquidity facility** for Authorised Institutions (AIs); and (3) **lifting the currency conversion limits on RMB** for transactions conducted by AIs with Hong Kong residents, including the conversion limit of RMB20,000 per person per day. These measures would also promote the development of Hong Kong's offshore RMB market. Another RMB business-related measure in October was the signing of an **MOU by the HKMA and Banque de France (France's central bank)** to strengthen their cooperation on RMB business development in Hong Kong and Paris.

On the market side, a new benchmark — the Hang Seng Markit iBoxx Offshore RMB Bond Index family (HSM iBoxx) — was launched in October by Hang Seng Indexes Co Ltd and Markit (a global financial information service provider) to track the performance of offshore RMB sovereign and corporate debt securities.

Trading, clearing and settlement

In 2014, HKEx achieved key milestones in its system enhancements.

HKEx launched the **Mainland Market Data Hub (MMDH)** in Shanghai in March to strengthen HKEx's Mainland connectivity and enable Mainland investors to access HKEx's market data through reliable, scalable and cost-effective infrastructure. It offers securities market and index datafeed products and is the first significant technical infrastructure footprint of HKEx in the Mainland.

The rollout of **Orion Market Data Platform (OMD)** for securities market was completed in March. In December, OMD was also launched for the derivatives market (OMD-D). The launch of the OMD-D marks the completion of the OMD initiative, which aims at standardising the market data systems across the securities and derivatives markets and enabling direct distribution of HKEx market data to Mainland IVs through the MMDH.

HKEx also took the initiative to enhance its system connectivity service as well as its trading devices. The **Orion Central Gateway (OCG)**, a new market access gateway for HKEx's cash market, was successfully rolled out in June. The OCG benefits EPs by reducing infrastructure costs, introducing new services (e.g. "drop copy"), and adopting industry messaging standards. In August, HKEx established a **framework to support Application Service Providers (ASPs)**. The new framework allows ASPs to contract directly with HKEx Hosting Services to establish a link to HKEx's trading and market data systems that can be shared by their EP customers. EPs may be able to lower their operating costs and increase their efficiency with a simpler and more efficient approach.

In December, the **New Securities Trading Device (NSTD)**, a vendor-developed front-end trading device, was launched to replace the legacy AMS Terminals and Multi-Workstation System (MWS). The NSTD adopts a more efficient design and is compatible with the OCG. Another initiative in December was the launch of a new **Throttle-on-Demand (TOD) Scheme** for daily or monthly subscription of throttles for trading on the Hong Kong securities market, effective 2 January 2015, with an aim to provide more flexibility on throttle subscription for EPs' short-term business needs.

Two measures were introduced by the authorities during the year to **reduce trading cost**. In February, the Financial Secretary proposed in his budget speech to extend the **waiver of the stamp duty for the trading of all exchange traded funds (ETFs)**. In November, the **reduction in the SFC's transaction levies** came into effect. The levies were reduced by at least 10% for securities transactions (from 0.0030% to 0.0027%) and derivatives transactions (from HK\$0.60 to HK\$0.54 or from HK\$0.12 to HK\$0.10 for the respective applicable contracts). To relieve the regulatory cost burden on the securities and futures industry, the SFC announced in March 2014 that it would **waive the annual licensing fee** for another two years.

In September, two **changes to the Hang Seng Family of Indexes** took effect: (1) the cap on the weighting of the freefloat-adjusted market capitalisation of HSI constituents was lowered from 15% to 10%; and (2) REITs became eligible for inclusion in the Hang Seng Family of Indexes, including the HSI.

Main Board equities

There were 1,548 listed companies on the Main Board at the end of 2014 (1,451 in 2013), with a total market capitalisation of HK\$24,892.4 billion, a 4% increase from HK\$23,908.8 billion in 2013. Among the listed companies on the Main Board, 803 (52%) were Mainland enterprises – 179 H-share companies, 128 red-chip companies and 496 Mainland private enterprises¹. They had a year-end market capitalisation of HK\$5,724.0 billion, HK\$5,215.0 billion and HK\$4,078.5 billion respectively, constituting in total 60% of the Main Board market capitalisation. During the year, 103 companies were newly listed on the Main Board, including seven companies (one H-share companies) transferred from GEM and 96 new listings (including 19 H shares). The total amount of funds raised by IPO in the year was HK\$230.4 billion.

At the end of 2014, the HSI recorded a year-on-year increase of 1% to close at 23,605 points. Three of the four sectors of HSI constituent stocks went up – 5% for the Finance sector, 7% for Properties and 13% for Utilities, while the Commerce & Industry sector fell by 6%. The S&P/HKEx LargeCap Index closed at 28,711 points (a year-on-year increase of 2%).

The total turnover of Main Board equities was HK\$12,471.3 billion in 2014, up 12% from 2013. Equity turnover constituted 73% of the total turnover of all securities on the Main Board, similar to the proportion in 2013.

As at the end of 2014, there were 273 SEHK-listed stocks eligible for Southbound trading under Shanghai-Hong Kong Stock Connect. Since the launch of the programme on 17 November, total Southbound trading value of HK\$26.0 billion was recorded up to the end of 2014. During this period, the average daily turnover was HK\$929 million. As at the end of 2014, the Southbound trading quota used was RMB10.5 billion (4% of the aggregate quota of RMB250 billion).

Equity warrants

Seven equity warrants were newly issued on the Main Board in 2014 with a total premium of HK\$25 million (compared to 3 new equity warrants with no premium in 2013). At the end of 2014, there were 10 equity warrants listed on the Main Board, with an annual turnover value of HK\$3.1 billion.

On GEM, there was no listing and trading of equity warrants in 2014.

¹ H-shares companies are enterprises that are incorporated in Mainland China which are either controlled by Mainland Government entities or individuals. Red-chip companies are enterprises that are incorporated outside of Mainland China and are controlled by Mainland Government entities. Mainland private enterprises are Mainland companies which are not H-share companies or red-chip companies.

Debt securities

At the end of 2014, there were 640 debt securities (150 RMB bonds) listed on the Main Board, compared to 403 (79 RMB bonds) at the end of 2013. The total turnover of debt securities in 2014 was HK\$6.09 billion, up 36% from HK\$4.46 billion in 2013. A total of 281 debt securities were newly listed during the year (compared to 170 in 2013), raising new funds of HK\$961.4 billion (67% more than in 2013). Among the newly listed debt securities were 83 new RMB bonds with an aggregate principal amount of RMB124.7 billion. The first Islamic bond (sukuk) of the Hong Kong Government was listed on the SEHK in September, with an issuance size of US\$1 billion.

iBonds, the inflation-protected retail bonds issued by the Hong Kong Government, continued to be the dominant type of debt securities in terms of trading value (HK\$5.66 billion, constituting 93% of total turnover of debt securities in the year).

Unit trusts and mutual funds (including ETFs and REITs)

At the end of 2014, there were 134 listed unit trusts/mutual funds on the Main Board, compared with 129 at the end of 2013. Among them, 122 were ETFs and 11 REITs. The annual turnover value of this segment soared 25% to a record high of HK\$1,235.4 billion – HK\$1,167.7 billion for ETFs (95%) and HK\$67.4 billion for REITs (5%). The total turnover constituted 7% of the total turnover of all securities on the Main Board, compared to 6% in 2013. The average daily turnover was HK\$5.0 billion – HK\$4.7 billion for ETFs and HK\$273 million for REITs.

In 2014, there were 2 new ETF managers on the securities market of HKEx – BMO Global Asset Management (Asia) Ltd and Hai Tong Asset Management (HK) Ltd. This brought the total number of ETF managers to 26.

There were 12 newly listed unit trusts/mutual funds in 2014 and all of them were ETFs. These included the CSOP China 5-Year Treasury Bond ETF (the first ETF outside Mainland China which tracks the Mainland onshore bond market), and the C-Shares CSI Consumer Staples Index ETF and C-Shares CSI Healthcare Index ETF (the first Hong Kong-listed RMB Qualified Foreign Institutional Investor (RQFII) ETFs on industrial sectors). Among the newly listed ETFs, six were dual-counter (DC) securities tradable in both HK dollars and RMB; RMB trading accounted for 7% of the total turnover of these DC ETFs. ETF trading continued to be dominated by A-share ETFs which recorded a total turnover value of HK\$887.5 billion in 2014, accounting for 76% of the annual turnover of all ETFs.

Structured products (Derivative Warrants and Callable Bull/Bear Contracts (CBBC))

In 2014, HKEx continued to rank first among global exchanges by turnover of securitised derivatives (derivative warrants and CBBCs). There were 7,560 newly listed derivative warrants (7,264 in 2013) on the Main Board, with a total notional amount of HK\$178.2 billion (up 2% from HK\$174.9 billion in 2013). The year-end number of listed derivative warrants was 4,938 (4,715 in 2013). The total turnover value of derivative warrants for the year was HK\$2,044.5 billion (up 15% from 2013), accounting for 12% of the total turnover of all securities on the Main Board (similar to 2013).

The number of newly listed CBBCs in 2014 was 9,983 (8,948 in 2013), with a total notional amount of HK\$472.8 billion (down 2% from HK\$482.6 billion in 2013). The year-end number of listed CBBCs on the Main Board was 1,579 (1,620 in 2013). In 2014, the total turnover value of CBBCs decreased year-on-year by 3% to HK\$1,230.0 billion, which was 7% of the total turnover of all securities on the Main Board compared with 8% in 2013.

In aggregate, derivative warrants and CBBCs contributed 19% of the total turnover value of all securities on the Main Board in 2014, compared with 20% in 2013.

RMB-traded securities products

At the end of 2014, there were a total of 176 RMB-traded securities, comprising two equity securities, 150 debt securities, three derivative warrants, 20 ETFs and one REIT. There were 20 DC securities at the year-end, comprising one equity security and 19 ETFs. During the year, all RMB-traded securities products recorded a total turnover of RMB27.0 billion, up 15% from 2013. ETFs accounted for most of the trading (RMB23.9 billion, 89% of the total). The trading values of RMB-traded REITs, equities, debt securities and derivative warrants were RMB2.9 billion (11% of total), RMB120 million (0.4%), RMB20.47 million (0.08%) and RMB8 million (0.03%) respectively.

Apart from RMB-traded securities on the HKEx market, Hong Kong investors are now able to trade eligible A shares listed on the SHSE in RMB through HKEx trading system via the **Northbound Trading Link of Shanghai-Hong Kong Stock Connect**. As at the end of 2014, there were 596 SHSE-listed stocks eligible for Northbound Trading (569 are eligible for both buy and sell, and 27 are eligible for sell only), of which 414 stocks were eligible for margin trading. The total Northbound trading value between the launch date of 17 November and 31 December was RMB167.5 billion and the daily average was RMB5.6 billion. The amount of Northbound trading quota used at the end of 2014 was RMB74.6 billion, or 25% of the aggregate quota of RMB300 billion.

Growth Enterprise Market (GEM)

At the end of 2014, there were 204 listed companies on GEM (192 in 2013) with a total market capitalisation of HK\$179.4 billion, an increase of 34% from HK\$134 billion as at the previous year-end. Among these companies, 73 (36%) were Mainland enterprises – 23 H-share companies, 5 red-chip companies and 45 Mainland private enterprises. They had a year-end market capitalisation of HK\$5.7 billion, HK\$13.1 billion and HK\$41.4 billion respectively, constituting in total 34% of the GEM market capitalisation. There were 19 newly listed companies on GEM in 2014, raising capital of HK\$2.2 billion. The total turnover value on GEM in 2014 was HK\$165.5 billion, up 110% from the previous year.

Seven companies transferred their listing from GEM to the Main Board in 2014, compared to 8 in 2013.

Trading-only market

At the end of 2014, two ETFs and 7 Nasdaq stocks were quoted on the trading-only market, unchanged since 2001. Turnover was HK\$3.59 million in 2014 compared to HK\$0.38 million in 2013.

DERIVATIVES MARKET

Market and product development

In 2014, HKEx made a number of enhancements to its derivatives systems and services, and launched new commodity contracts.

In January, HKEx began offering after-hours trading of Mini-HSI Futures and Mini H-shares Index Futures and extended block trade facility from the day session to the **after-hours futures trading (AHFT)** session. USD/CNH Futures, or RMB currency futures, were also included in the AHFT session in April. In November, the close of AHFT of stock index futures and RMB currency futures was extended from 11:00 pm to 11:45 pm, allowing an overlap with the trading hours in the US for at least one hour and 15 minutes on each trading day. The three London metal mini futures launched in December are included in the AHFT session but of different trading hours (see below). In 2014, AHFT accounted for 6% of the total volume of these new mini metal futures.

Apart from inclusion in AHFT, HKEx enhanced the product features of **RMB currency futures** in April, including adding one additional calendar quarter month and adding four new calendar spreads. In 2014, HKEx also announced the following measures to further improve the liquidity of RMB currency futures: (1) appointment of two new market makers; and (2) introduction of an Active Trader Programme and a Spread Enhancement Programme, effective on 2 January 2015, respectively for traders and market makers of RMB currency futures.

To promote investor awareness of RMB currency futures in Asia, especially in Mainland China, Hong Kong and Singapore, HKEx conducted a cross promotion program in the fourth quarter of 2014, highlighting RMB currency futures as a source of RMB funding and currency risk management tool in the context of Shanghai-Hong Kong Stock Connect.

To further broaden the stock options offering, 10 new option classes — China Cinda Asset Management Co Ltd, China Mengniu Dairy Co Ltd, China Resources Land Ltd, Dongfeng Motor Group Co Ltd, GCL-Poly Energy Holdings Ltd, Haitong Securities Co Ltd, Hengan International Group Ltd, Kingsoft Corporation Ltd, Kunlun Energy Co Ltd and Want Want China Holdings Ltd — were introduced for trading in 2014.

At the end of 2014, there were 80 stock option classes available for trading and 40 of them can be traded by Taiwanese investors. Moreover, 7 option classes were added to the **Primary Market Maker programme** in 2014, bringing the total number of option classes under the programme to 17. Since January, a **market maker protection function** has been in place to enable market makers to provide more quotes and narrower spreads with lower simultaneous execution risk on selected stock option classes.

In December, **London Aluminium Mini Futures, London Zinc Mini Futures and London Copper Mini Futures** commenced trading on the Hong Kong Futures Exchange (HKFE). These new commodity contracts are cash-settled on a monthly basis and are traded in RMB from 9:00 am to 4:15 pm and 5:00 pm to 1:00 am (Hong Kong time) the next morning on weekdays (excluding the public holidays on HKEx's trading calendar).

In respect of the **over-the-counter (OTC) derivatives** market, OTC Clearing Hong Kong Ltd (OTC Clear, the OTC derivatives clearing house of HKEx) obtained no-action relief from the US Commodity Futures Trading Commission (CFTC) in May that allows it to offer clearing services to eligible US persons for their proprietary transactions on an interim basis until December 2015. OTC Clear intends to apply to the CFTC for exempt derivative clearing organisation status in 2015. In the fourth quarter of 2014, OTC Clear received a Notification of Completeness from the European Securities and Markets Authority (ESMA) for its application as a third-country central counterparty (CCP). Meanwhile, the European Commission declared Hong Kong's CCP regulatory regime as being equivalent to those in the European Union. The ESMA has also signed an MOU with the SFC on cooperation arrangements for HK CCPs.

In November, the HKMA and SFC published consultation conclusions on the **mandatory reporting and related record keeping obligations under the new OTC derivatives regime**. The obligations would come into effect in the first quarter of 2015 and the regulators would also conduct a public consultation on the detailed rules for mandatory clearing obligations at the same time.

Trading activities

The total trading volume of HKEx's futures and options market in 2014 reached a new high of 142.44 million contracts, up 10% from 2013. The annual trading volumes of five products — H-shares Index options, Mini H-shares Index futures, HSCEI Dividend Point Index futures, RMB currency futures and Flexible H-shares Index options — reached record highs in 2014.

The biggest volume contributor in the futures market in 2014 was H-shares Index futures (44%), with a total trading volume of 21.98 million contracts (up 5% from 2013) and a year-end open interest of 259,173 contracts. The second largest contributor was HSI futures with a 34% contribution, trading 17.07 million contracts in 2014 (down 13% from 2013) and with a year-end open interest of 99,195 contracts. Mini-HSI futures, the third largest contract, had a trading volume of 6.96 million contracts (contributing 14% of futures volume), down 11% from 2013. The annual trading volumes of Mini H-shares Index futures and HSCEI Dividend Point Index Futures increased by more than 50% to 3.43 million contracts and 0.24 million contracts respectively. RMB Currency Futures volume was up 48% to 0.21 million contracts and HSI Dividend Point Index Futures up 40% to 15,658 contracts. The three Asia Commodity Contracts launched in December 2014 – London Aluminium Mini Futures (LRA), London Zinc Mini Futures (LRZ) and London Copper Mini Futures (LRC) – had trading volumes of 1,644 contracts, 2,828 contracts and 4,318 contracts respectively, equivalent to an average daily volume of 78 contracts, 135 contracts and 206 contracts respectively. At the end of 2014, there were 41 stock futures classes, same as the number at the end of 2013.

In 2014, the trading volume of all options products increased year-on-year by 17% to 92.06 million contracts. Stock options dominated the options market with a trading volume of 74.54 million contracts (up 23% from 2013), contributing 81% of the total options volume and 52% of total futures and options volume. Year-end stock options open interest was 6.32 million contracts, up 33% from the previous year. At the end of 2014, there were 80 options classes, compared to 70 at the end of 2013. The total premium of stock options trading for 2014 was HK\$52.3 billion, an increase of 35% from 2013.

The key index options products – H-shares Index options, HSI options and Mini-HSI options – contributed 10%, 8% and 1% respectively of total options trading volume in 2014. They recorded annual trading volumes of 9 million contracts (up 12% from 2013), 7.52 million contracts (down 13%) and 0.96 million contracts (down 17%) respectively. The annual trading volume of flexible H-shares Index options increased by 19% to 36,621 contracts while that of the flexible HSI options dropped significantly by 96% to 350 contracts.

COMMODITIES MARKET

In September 2014, **LME Clear** was launched on time and on budget as a robust and resilient clearing house, fully compliant with the European Market Infrastructure Regulation (EMIR). It is now clearing more than 100,000 matched contracts a day. The creation of LME Clear gives the LME increased flexibility over product development and business expansion, along with direct control over technical infrastructure and costs. Looking ahead, LME Clear will explore potential services with guidance from its members, including accepting RMB and warrants as collateral, and services for the OTC market.

Throughout 2014 the LME continued to implement a **comprehensive package of reform measures designed to enhance its physical network**. These include creating specific powers for the LME to address behaviour that creates or maintains warehouse queues and a review of the LME's information barrier policy. The LME also began publishing monthly Warehouse Stock Reports in May and Commitments of Traders reports in August. In November, the LME opened two market-wide consultations on enhancements to its warehousing policy and the Warehouse Agreement.

At the heart of the LME's reforms is the **Linked Load-In/Load-Out rule (LILO)**. Following the LME's success in the English Court of Appeal against aluminium producer Rusal's legal challenge of this rule, the LME announced in October that LILO would come into effect in February 2015.

The LME won the **right to administer the LBMA Platinum and Palladium Prices** in October, and its custom-built electronic solution, LMEbullion, has provided a fully automated price-discovery process since December. LMEbullion has been well received by the market and is attracting new participants to the process.

In June, the LME announced its intention to maintain and further invest in the **Ring**, its open-outcry trading floor, following a six-month internal review and extensive discussions with market participants. The LME is committed to enhancing the Ring and increasing its efficiency alongside the LME's other trading venues.

A number of technological developments were announced in 2014. In May, the LME's previously outsourced technology team of more than 100 IT employees was brought in-house — an indication of the function's increasing importance to the LME and the integral part it will play in the delivery of strategic initiatives.

In February, LME Clear launched its new trade-reporting service, **LMEwire**, in time to meet the reporting deadline set out by European regulation. The LME also made it possible for clients of members to connect directly to the LME's electronic trading platform, LMEselect, for market data. In March, the LME launched **LMEstage**, a real-time, real-data synthetic test environment that will help ensure market integrity by allowing users to test their trading strategies. The LME is also moving its clients onto a new dedicated network called **LMEnet**, which will provide market participants with a fast, reliable and secure connection to its electronic systems, including LME Clear.

The past year has seen the LME make significant steps towards accessing the **Asian market**.

In January, the LME approved GF Financial Markets (UK) Limited for category 1, Ring dealing membership, making it the **LME's first Chinese-owned Ring dealing member**. Chinese Vice Premier Ma Kai visited the LME during his trip to the UK for the sixth UK-China Economic and Financial Dialogue in September.

HKEx, the LME and LME Clear signed an MOU with China Construction Bank Corporation in September for strategic cooperation in the development of new products, joint publicity and marketing, and other areas. China Merchants Group Ltd and China Merchants Securities Co Ltd (CMS) also signed an MOU with the HKEx Group companies in October, and in November CMS was approved as a category 2 member of the LME.

The LME registered another record trading year in 2014, with 177 million lots transacted, up 4% from 2013. This is equivalent to US\$14.9 trillion and 4.0 billion tonnes of material. Average daily volume for 2014 was 700,204 lots, up 4% from 2013. New trading records were also registered in 2014 for aluminium (up 3% from 2013 to 68.8 million lots), zinc (up 2% to 31.8 million lots) and nickel (up 39% to 19.4 million lots).

The market share of LME's trading on a notional tonnage basis was 82% of global exchange-traded base metal futures.

Disclaimer: Information presented in this section on market initiatives taken by institutions other than HKEx is compiled based on publicly available information, and has not necessarily been independently verified.

Major Events of the Hong Kong Securities and Derivatives Markets 2014

Month	Event
January	On 1 January, the new regulations of the Securities and Futures Commission (SFC) governing electronic trading came into effect.
	On 6 January, HKEx launched after-hours trading of Mini Hang Seng Index (Mini HSI) futures and Mini H-shares Index (Mini HHI) futures and extended the Block Trading Facility (BTF) from day session to After-Hours Futures Trading (AHFT) session.
	On 7 January, the Hong Kong Government, Hong Kong Monetary Authority (HKMA), SFC and Insurance Authority jointly launched the first stage of public consultation on establishing an effective resolution regime for financial institutions, including financial market infrastructures, in Hong Kong.
	On 24 January, Hang Seng Indexes Co Ltd granted a licence to China Universal Asset Management Co Ltd to launch a classification fund linked to the Hang Seng Index (HSI), the first Qualified Domestic Institutional Investor (QDII) classification fund in Mainland China.
February	On 11 February, 36 more option classes on Hong Kong stocks were approved by the Financial Supervisory Commission (FSC) of Taiwan to be included in the list of “Foreign Contracts for which Futures Commission Merchants have Trading Authorization”. A total of 40 Hong Kong stock option classes can now be traded by investors in Taiwan.
	On 17 February, four new stock option classes were introduced for trading — Dongfeng Motor Group Co Ltd, Hengan International Group Ltd, Kunlun Energy Co Ltd and Want Want China Holdings Ltd.
	On 19 February, the CSOP China 5-Year Treasury Bond ETF was listed on the Stock Exchange of Hong Kong (SEHK) as the first exchange traded fund (ETF) outside Mainland China which tracks the Mainland onshore bond market.
	On 19 February, the Tokyo Commodity Exchange (TOCOM) received authorisation from the SFC to provide Automated Trading Services (ATS) in Hong Kong.
	On 26 February, the Financial Secretary proposed in his budget speech to waive stamp duty for the trading of all ETFs.
March	On 3 March, the new Companies Ordinance and its 12 pieces of subsidiary legislation, which provide the legal framework for the incorporation and operation of companies in Hong Kong, took effect. The comprehensive exercise to rewrite the Companies Ordinance was one of the biggest legal revamps in Hong Kong.
	On 3 March, amendments to the SEHK Listing Rules on calculation of annual listing fees for Hong Kong-incorporated issuers came into effect. The amendments were made to align the Listing Rules following the abolition of the concept of nominal (par) value under the new Companies Ordinance.
	On 3 March, the SFC’s amendments to the Codes on Takeovers and Mergers and Share Repurchases, including changes in terminology, took effect to bring the Code into line with the new Companies Ordinance. The Code was also renamed the “Codes on Takeovers and Mergers and Share Buy-backs”.

Month	Event
	<p>On 3 March, HKEx rolled out the Mainland Market Data Hub (MMDH) in Shanghai. This is HKEx's first infrastructure footprint in Mainland China; it will enhance HKEx's Mainland connectivity.</p> <p>On 10 March, Hang Seng Indexes Co Ltd announced that it had granted a licence to Yinhua Fund Management Co Ltd to launch a classification fund linked to the Hang Seng China Enterprises Index (HSCEI). This is the first QDII classification fund in Mainland China that is linked to the HSCEI.</p> <p>On 21 March, the SEHK published consultation conclusions on the proposed changes to the Listing Rules regarding connected transactions and definitions of connected person and associate. The Rule amendments took effect on 1 July.</p> <p>On 31 March, HKEx completed the rollout of Orion Market Data Platform (OMD) for securities market and retired the Market Data System (MDS).</p>
April	<p>On 1 April, the new requirement for the publication of Application Proof (the initial proof of the listing document) on the HKExnews website under the SFC's new regulations on initial public offering (IPO) sponsors came into effect.</p> <p>On 1 April, the SFC extended the waiver of the annual licensing fees for licensed corporations, registered institutions, responsible officers and representatives for another two years.</p> <p>On 7 April, HKEx launched after-hours trading (5 pm – 11 pm) for Renminbi (RMB) currency futures and introduced a fourth calendar quarter month and four additional calendar spreads for the product. Bank of China (Hong Kong) Ltd was appointed as the market maker for after-hours trading of the product.</p> <p>On 10 April, Chinese Premier Li Keqiang said at the Boao Forum for Asia Annual Conference 2014 that conditions should be created for the establishment of mutual market access between the Shanghai and Hong Kong stock markets to further promote the opening up of Mainland China and Hong Kong's capital markets and their healthy development. Following that, the China Securities Regulatory Commission (CSRC) and the Hong Kong SFC announced their approval in principle the development of Shanghai-Hong Kong Stock Connect, a pilot programme for establishing mutual stock market access (subject to investment quota) between Shanghai and Hong Kong.</p> <p>On 23 April, HKEx and the Hong Kong branch of China Minseng Banking Corp Ltd signed a memorandum of understanding (MOU) for strategic cooperation on commodity product development and solutions for market users.</p>
May	<p>On 9 May, HKEx announced that OTC Clearing Hong Kong Ltd (OTC Clear) has received no-action relief from the US Commodity Futures Trading Commission (CFTC) for offering of over-the-counter (OTC) clearing services to eligible US persons.</p> <p>On 12 May, C-Shares CSI Consumer Staples Index ETF and C-Shares CSI Healthcare Index ETF were listed on the SEHK as the first Hong Kong-listed RMB Qualified Foreign Institutional Investor (RQFII) ETFs on industrial sectors.</p> <p>On 22 May, HKEx hosted the first RMB Fixed Income and Currency (FIC) Market Strategy Forum, engaging over 400 senior FIC professionals on RMB fixed income market development issues and opportunities.</p>

Month	Event
June	<p>On 9 June, a new market access gateway for the Cash Market, Orion Central Gateway (OCG), was successfully rolled out. The OCG benefits Exchange Participants (EPs) by reducing infrastructure costs, introducing new services (e.g. “drop copy”) and adopting industry messaging standards.</p> <p>On 17 June, RHB Asset Management (a Malaysian fund company) launched the RHB-OSK Islamic Regional Balanced Fund, the first actively managed Islamic fund in Hong Kong. It is also the first product launched under a mutual recognition agreement between the Hong Kong SFC and the Securities Commission Malaysia.</p> <p>On 18 June, the Financial Services Development Council (FSDC) published its research report on the IPO mechanism in Hong Kong and proposed a number of measures, including introducing a distinct market segment for better matching between different types of investors and issuers.</p> <p>On 20 June, the SEHK published a consultation paper on proposed changes to the section of its Corporate Governance Code and Corporate Governance Report relating to internal controls.</p> <p>On 25 June, the Hong Kong Government submitted the Securities and Futures and Companies Legislation (Uncertificated Securities Market Amendment) Bill 2014 to the Legislative Council for First Reading. The Bill would facilitate the establishment and implementation of a scripless securities market regime in Hong Kong.</p>
July	<p>On 2 July, three new stock option classes were introduced for trading — China Cinda Asset Management Co Ltd, GCL-Poly Energy Holdings Ltd and Kingsoft Corporation Ltd stock options contracts.</p> <p>On 8 July, Singapore Exchange (SGX) established a liquidity hub at HKEx’s data centre in Tseung Kwan O, thereby allowing Hong Kong brokers to have direct electronic access to SGX members for the trading of SGX derivatives products.</p> <p>On 22 July, the SFC released consultation conclusions on the amendments to the Code on Real Estate Investment Trusts (REITs), giving REITs the flexibility to invest in property development activities and financial instruments. The amendments subsequently took effect on 29 August.</p>
August	<p>On 11 August, HKEx joined the Treasury Markets Association (TMA) as an institutional member to strengthen cooperation with the FIC industry.</p> <p>On 13 August, HKEx established a framework to support Application Service Providers (ASPs) in the provision of market connectivity and data services for EPs.</p> <p>On 22 August, the SEHK published a consultation paper on review of Listing Rules on disclosure of financial information with reference to the new Companies Ordinance and Hong Kong Financial Reporting Standards and proposed minor/housekeeping Rule amendments.</p> <p>On 28 August, the SFC released consultation conclusions on the proposed amendments to the Guidelines for the Exemption of Listed Corporations from Part XV of the Securities and Futures Ordinance (Disclosure of Interests), which subsequently took effect on 5 September. The amendments would effectively waive certain disclosure obligations for the Mainland service companies in Shanghai-Hong Kong Stock Connect, thereby facilitating the implementation of the pilot programme.</p>

Month	Event
	On 29 August, the SEHK published a concept paper on weighted voting rights to seek views on whether governance structures that give certain persons voting power or other related rights disproportionate to their shareholding should be permissible for companies listed or seeking to list on the SEHK.
September	<p>On 4 September, the SEHK, Hong Kong Securities Clearing Co Ltd (HKSCC), Shanghai Stock Exchange (SHSE) and China Securities Depository and Clearing Corporation (ChinaClear) signed a four-party agreement for the establishment of Shanghai-Hong Kong Stock Connect.</p> <p>On 8 September, two changes to the Hang Seng Family of Indexes took effect: (1) lowering of the cap on the weighting of freefloat-adjusted market capitalisation of HSI constituents from 15% to 10%; and (2) eligibility of REITs for inclusion in the Hang Seng Family of Indexes, including the HSI.</p> <p>On 12 September, the SEHK published minor amendments to Chapter 20 of the SEHK Main Board Listing Rules to clarify and streamline certain requirements and procedures for SFC-authorized collective investment schemes (CISs). The amendments are applicable to the listing applications submitted to the SEHK on or after 10 November 2014.</p> <p>On 19 September, the first Islamic bond (sukuk) of the Hong Kong Government, which had an issuance size of US\$1 billion (HK\$7.8 billion) and a tenor of five years, was listed on the SEHK.</p> <p>On 25 September, the SFC released consultation conclusions on proposed amendments to the professional investor regime, including investor protection requirements. The amendments would come into effect in March 2016.</p>
October	<p>On 1 October, the SEHK discontinued the Initial 3-day Check of Application Proofs, which is one of the transitional arrangements introduced by the SEHK accompanying the implementation of the SFC's new sponsor regulations in October 2013. Henceforth, an Application Proof has to be published on the HKExnews website at the same time the new applicant files a listing application with the SEHK.</p> <p>On 17 October, the Hong Kong SFC and the CSRC signed an MOU on strengthening cross-boundary regulatory and enforcement cooperation under Shanghai-Hong Kong Stock Connect.</p> <p>On 17 October, the European Securities and Markets Authority (ESMA) declared OTC Clear's application for recognition as a Third-Country Central Counterparty (CCP) under the European Union (EU) regulation complete.</p> <p>On 20 October, Hang Seng Indexes Co Ltd and Markit (a global financial information service provider) launched the Hang Seng Markit iBoxx Offshore RMB Bond Index family (HSM iBoxx), which tracks the performance of offshore RMB sovereign and corporate debt securities.</p> <p>On 23 October, HKEx, London Metal Exchange (LME) and LME Clear, China Merchants Group Ltd and China Merchants Securities Co Ltd signed an MOU for a strategic alliance in product development and related services for market users.</p> <p>On 24 October, the SFC granted automated trading services (ATS) licences to the SHSE, China Investment Information Services Ltd (a subsidiary of the SHSE), Gangsheng Information Services (Shanghai) Ltd (a subsidiary of HKEx) and ChinaClear in respect of Shanghai-Hong Kong Stock Connect.</p>

Month	Event
	<p>On 24 October, a commercial bank listed its perpetual preference shares worth RMB39.94 billion, which were offered to professional investors only. This marked the inaugural listing of perpetual preference shares issued by commercial bank to qualify as additional tier-1 capital.</p> <p>On 28 October, the HKMA and Banque de France (France's central bank) signed an MOU with respect to strengthening cooperation on RMB business development in Hong Kong and Paris.</p>
November	<p>On 1 November, the reduction in the SFC's transaction levies took effect. The levies were reduced by at least 10% for securities transactions (from 0.0030% to 0.0027%) and derivatives transactions (from HK\$0.60 to HK\$0.54 or from HK\$0.12 to HK\$0.10 for the respective applicable contracts).</p> <p>On 3 November, the HKMA announced two measures to boost the liquidity of Hong Kong's offshore RMB market: (1) introducing an intraday RMB liquidity facility for Authorised Institutions (AIs); and (2) designating seven banks as Primary Liquidity Providers (PLPs) for the offshore RMB market in Hong Kong.</p> <p>On 3 November, the close of AHFT of stock index futures and RMB currency futures has been extended from 11:00 pm to 11:45 pm, allowing an overlap with the trading hours in the US for at least one hour and 15 minutes on each trading day. The close of the AHFT clearing session was accordingly extended from 11:45 pm to 12:30 am.</p> <p>On 17 November, Shanghai-Hong Kong Stock Connect was formally launched.</p> <p>On 17 November, the HKMA lifted the currency conversion limits on RMB for transactions conducted by AIs with Hong Kong residents, including the conversion limit of RMB20,000 per person per day.</p> <p>On 17 November, Hang Seng Indexes Co Ltd and China Securities Index Co Ltd (CSI) jointly launched the Hang Seng CSI Shanghai-Hong Kong AH Smart Index (SHHKSI) as a new benchmark for stocks under Shanghai-Hong Kong Stock Connect.</p> <p>On 28 November, the HKMA and SFC published consultation conclusions on the mandatory reporting and related record-keeping obligations under the new OTC derivatives regime. The obligations would come into effect in the first quarter of 2015 and the regulators would conduct a public consultation on the detailed rules for mandatory clearing and obligations at the same time.</p>
December	<p>On 1 December, HKEx launched London Aluminium Mini Futures (LRA), London Zinc Mini Futures (LRZ) and London Copper Mini Futures (LRC), its first Asia Commodity contracts.</p> <p>On 1 December, HKEx extended the Orion Market Data Platform (OMD) to its derivatives market, marking the completion of OMD rollout.</p> <p>On 1 December, HKEx launched the New Securities Trading Device (NSTD), a vendor-developed front-end trading device, to replace the legacy AMS Terminals and Multi-Workstation System (MWS).</p> <p>On 8 December, three new stock option classes were introduced for trading — China Mengniu Dairy Co. Ltd, China Resources Land Ltd and Haitong Securities Co Ltd.</p>

Month	Event
	<p>On 8 and 15 December, the HKMA introduced respectively two measures to further promote local Hong Kong dollar bond market development: (1) streamlining the tenors of bonds issued under the Exchange Fund Bills and Notes Programme and the Hong Kong Government Bonds (GBs) Programme; and (2) introducing a discount facility for GBs to provide banks with overnight liquidity.</p>
	<p>On 10 December, HKEx announced that it was discontinuing trading in its Three-Year Exchange Fund Note (EFN) Futures as the HKMA would stop issuing Three-Year EFNs from January 2015.</p>
	<p>On 11 December, the SFC published consultation conclusions on the proposals to amend the Code on Unit Trusts and Mutual Funds to give public funds greater flexibility in information dissemination on pricing, net asset value (NAV) and dealing suspension while requiring more frequent dissemination of prices and NAVs. All respondents supported the proposals and the SFC would adopt them in full.</p>
	<p>On 15 December, the SFC and ESMA have entered into an MOU on cooperation arrangements in connection with Hong Kong-established CCPs which have applied for recognition by ESMA.</p>
	<p>On 18 December, the US CFTC extended OTC Clear's no-action relief for the provision of clearing services to eligible US persons until 31 December 2015.</p>
	<p>On 19 December, the SEHK published consultation conclusions on amendments to Corporate Governance Code and Corporate Governance Report relating to internal controls. The related amendments will apply to accounting periods beginning on or after 1 January 2016.</p>
	<p>On 29 December, HKEx announced the launch of a new Throttle-on-Demand (TOD) Scheme for daily or monthly subscription of throttles for trading on the Hong Kong securities market, effective 2 January 2015.</p>

Stock market highlights – Main Board

	Year		
	2012	2013	2014
Listed securities (as at year end)			
No. of listed companies	1,368	1,451	1,548
No. of listed securities	6,723	8,330	8,856
No. of new listed companies ⁽¹⁾	52	87	103
New listing companies	50	79	96
Transfer of listing from GEM	2	8	7
Total market capitalisation (HK\$mil)	21,871,730.17	23,908,803.80	24,892,419.04
Equity funds raised (HK\$mil)	300,230.72	369,821.68	929,399.28
Trading			
No. of trading days	247	244	247
Total turnover value (HK\$mil) ⁽²⁾			
Annual	13,267,508.72	15,185,792.16	16,990,272.08
Average daily	53,714.61	62,236.85	68,786.53
Total turnover volume (mil shs)			
Annual	33,812,747.98	34,210,452.09	33,929,018.76
Average daily	136,893.72	140,206.77	137,364.45
Total number of trades (deals)			
Annual	190,501,387	227,229,216	258,091,086
Average daily	771,261	931,267	1,044,903
Stock price indices			
S&P/HKEx LargeCap Index (28 February 2003 = 10000)			
High	27110.52	28936.41	30786.74
Low	21822.38	23663.18	25657.64
Year-end	27082.16	28109.10	28711.00
Hang Seng Index (31 July 1964 = 100)			
High	22666.59	24038.55	25317.95
Low	18185.59	19813.98	21182.16
Year-end	22656.92	23306.39	23605.04
Market ratios ⁽³⁾			
Average dividend yield (%)	2.81	2.99	3.44
Average P/E ratio (times)	10.50	11.24	10.94
Average book value ratio (times)	1.50	1.45	1.38
Annual turnover velocity (%)	43.41	46.61	50.10
Number of Exchange participants	550	543	540

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
(2) Turnover values have been adjusted for late reported and rejected sales.
(3) Calculations are based on year-end figures of all listed stocks.

Stock market highlights – Growth Enterprise Market (GEM)

	Year		
	2012	2013	2014
Listed securities (as at year end)			
No. of listed companies	179	192	204
No. of listed securities	180	192	204
No. of new listed companies	12	23	19
Total market capitalisation (HK\$mil)	78,398.98	134,002.06	179,409.87
Equity funds raised (HK\$mil)	5,130.28	9,041.13	13,317.35
Trading			
No. of trading days	247	244	247
Total turnover value (HK\$mil) ⁽¹⁾			
Annual	33,541.03	78,837.80	165,458.24
Average daily	135.79	323.11	669.87
Total turnover volume (mil shs)			
Annual	154,803.15	229,284.85	357,646.58
Average daily	626.73	939.69	1,447.96
Total number of trades (deals)			
Annual	1,107,126	2,241,521	4,346,364
Average daily	4,482	9,187	17,597
Stock price indices			
S&P/HKEx GEM Index (28 February 2003 = 1000)			
High	497.70	474.62	581.16
Low	341.55	378.60	459.90
Year-end	381.51	461.58	477.72
Market ratios⁽²⁾			
Average dividend yield (%)	0.88	0.52	0.65
Average P/E ratio (times)	18.38	49.25	11.59
Average book value ratio (times)	1.57	2.67	3.01
Annual turnover velocity (%)	42.78	58.83	92.22

Notes:

- (1) Turnover values have been adjusted for late reported and rejected sales.
(2) Calculations are based on year-end figures of GEM stocks.

Stock market highlights – Main Board and GEM

	Year															
	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Listed securities (as at year end)																
No. of listed companies	708	790	867	978	1,037	1,096	1,135	1,173	1,241	1,261	1,319	1,413	1,496	1,547	1,643	1,752
No. of listed securities	1,212	1,349	1,189	1,586	1,785	2,176	2,649	3,383	6,092	5,831	6,616	7,900	6,723	6,903	8,522	9,060
No. of new listed companies ⁽¹⁾	38	90	88	117	73	70	67	62	84	49	73	113	101	64	110	122
New listing Companies	38	90	88	115	67	68	65	60	80	31	69	101	89	62	102	115
Transfer of listing from GEM	-	-	-	2	6	2	2	2	4	18	4	12	12	2	8	7
Total market capitalisation (HK\$billion)	4,734.76	4,862.44	3,946.31	3,611.32	5,547.85	6,695.89	8,179.94	13,337.71	20,697.54	10,298.75	17,874.31	21,076.96	17,537.26	21,950.13	24,042.81	25,071.83
Equity funds raised (HK\$billion)	149.70	467.34	64.43	110.51	213.76	281.80	301.71	524.54	590.85	427.25	642.12	858.72	490.39	305.36	378.86	942.72
Initial public offers	17.14	132.12	25.71	51.98	59.14	97.16	165.65	333.85	292.44	65.98	248.23	449.48	259.79	90.04	168.96	232.52
Secondary market	132.56	335.22	38.71	58.53	154.62	184.64	136.06	190.69	298.41	361.27	393.89	409.24	230.60	215.32	209.90	710.20
Trading																
No. of trading days	247	247	243	247	248	249	247	247	246	245	249	249	246	247	244	247
Total turnover value (HK\$billion) ⁽²⁾																
Annual	1,919.55	3,131.85	1,989.50	1,643.05	2,583.83	3,974.11	4,520.43	8,376.31	21,665.53	17,652.80	15,515.25	17,210.08	17,154.07	13,301.05	15,264.63	17,155.73
Average daily	7.77	12.68	8.19	6.65	10.42	15.96	18.30	33.91	88.07	72.05	62.31	69.12	69.73	53.85	62.56	69.46
Total turnover volume (bil shs)																
Annual	1,393.78	2,354.69	1,532.37	1,611.93	2,410.38	4,023.55	5,779.81	9,481.27	23,163.37	27,104.29	24,793.87	34,991.18	39,907.28	33,967.55	34,439.74	34,286.67
Average daily	5.64	9.53	6.31	6.53	9.72	16.16	23.40	38.39	94.16	110.63	99.57	140.53	162.22	137.52	141.15	138.81
Total number of trades (deals)																
Annual	23,063,257	36,871,325	24,123,635	20,536,648	28,803,397	37,243,835	36,956,081	64,077,041	151,585,525	141,394,810	177,641,518	193,940,737	214,853,621	191,608,513	229,470,737	262,437,450
Average daily	93,374	149,277	99,274	83,144	116,143	149,574	149,620	259,421	616,201	577,122	713,420	778,878	873,389	775,743	940,454	1,062,500
Trading by products (HK\$billion)																
Equities	1,776.78	2,944.41	1,860.43	1,515.26	2,304.81	3,422.93	3,609.92	6,466.94	16,670.57	12,683.92	11,639.95	12,411.31	12,068.96	9,529.07	11,221.86	12,636.75
Equity warrants	10.38	8.05	3.22	1.75	1.16	2.92	2.28	2.71	5.13	1.13	0.52	1.81	0.93	0.08	0.08	3.06
Derivative warrants	119.79	159.36	105.00	112.78	264.01	524.48	856.61	1,790.06	4,693.86	3,433.74	1,654.89	2,692.46	2,629.89	1,646.44	1,783.31	2,044.51
CBBC	-	-	-	-	-	-	-	11.34	71.38	1,039.56	1,676.06	1,455.40	1,852.14	1,533.15	1,269.23	1,229.96
ELI	-	-	-	0.33	0.48	0.24	0.00	-	-	-	-	-	-	-	-	-
Debt securities	0.14	0.06	0.04	0.03	0.02	0.04	0.01	0.01	0.01	0.01	0.00	0.00	0.84	2.77	4.46	6.09
Unit trusts and mutual funds	12.45	19.97	20.81	12.91	13.34	23.50	51.61	105.26	224.58	494.45	543.81	649.09	601.32	589.54	985.69	1,235.36
ETFs	12.45	19.97	20.81	12.91	13.34	23.50	20.70	57.82	160.57	441.10	499.69	604.46	545.30	522.08	903.13	1,167.68
REITs	-	-	-	-	-	-	30.91	47.44	51.50	51.49	43.08	43.89	55.52	67.15	82.36	67.42
Others	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	12.52	1.85	1.05	0.74	0.50	0.31	0.20	0.27
Market ratios⁽³⁾																
Average dividend yield (%)	2.20	1.99	2.89	3.36	2.92	2.83	2.87	2.19	2.20	5.37	2.32	2.30	3.30	2.80	2.98	3.42
Average P/E ratio (times)	26.77	12.95	12.30	14.98	19.08	18.80	15.61	17.39	22.56	7.27	18.19	16.72	9.71	10.52	11.28	10.94
Average book value ratio (times)	2.16	1.81	1.35	1.11	1.68	1.89	1.93	2.52	2.81	1.17	1.91	2.00	1.41	1.50	1.45	1.38
Annual turnover velocity (%)	37.53	60.55	47.14	41.96	41.54	51.12	44.13	48.49	80.54	123.16	65.12	58.89	68.82	43.41	46.67	50.40

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Calculations are based on year-end figures of all listed stocks.

Market highlights – Derivatives market highlights

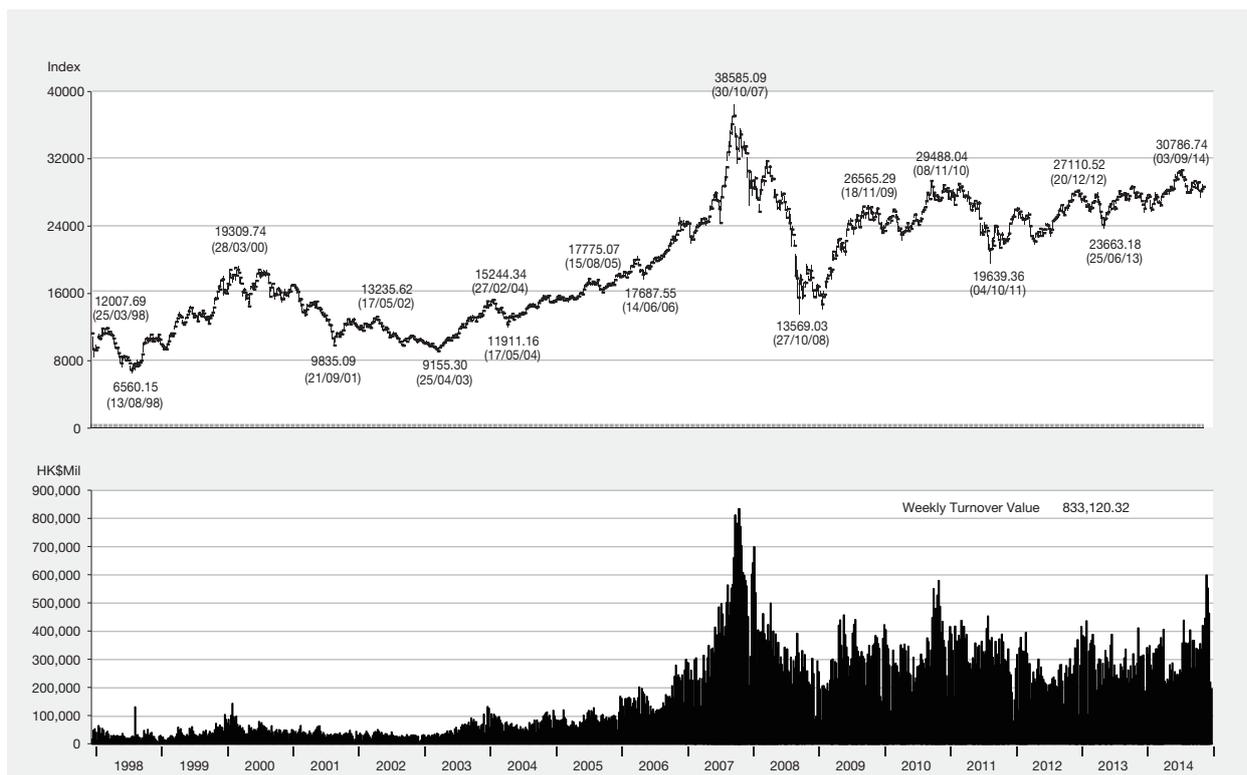
	Year		
	2012	2013	2014
All futures and options products (excluding stock options)			
No. of trading days	245.5	244.0	247.0
Contract volume	63,721,093	69,200,889	67,895,178
Equity index products	63,377,939	68,602,944	67,253,695
Equity products	322,715	459,190	427,609
Interest rates products	160	47	35
RMB Currency Futures	20,277	138,708	205,049
Gold Futures	2	0	0
London Metal Mini Futures *	–	–	8,790
Average daily	259,556	283,610	274,879
Open interest at the year end	1,285,991	1,489,264	1,640,259
Equity index products	1,262,802	1,452,153	1,613,541
Equity products	19,516	18,409	14,916
Interest rates products	0	1	5
RMB Currency Futures	3,673	18,701	9,747
Gold Futures	0	0	0
London Metal Mini Futures *	–	–	2,050
* Trading in London Metal Mini Futures commenced on 01 Dec 2014			
Stock options			
No. of stock options classes at the year end	63	70	80
No. of stock options series at the year end	12,788	16,900	23,440
No. of newly introduced stock options classes	3	7	10
No. of trading days	245.5	244.0	247.0
Contract volume			
Annual total	56,081,545	60,827,975	74,543,861
Average daily	228,438	249,295	301,797
Premium (HK\$ Mil.)			
Annual total	33,031	38,733	52,328
Average daily	135	159	212
Number of trades			
Annual total	2,407,866	2,761,730	3,062,284
Average daily	9,808	11,319	12,398
Open interest at the year end	4,031,961	4,740,818	6,320,147
Contracts exercised	8,193,654	7,261,867	8,636,583
Average premium per contract (HK\$)	589	637	702
Average contract per trade (contracts)	23	22	24
No. of market makers	33	34	30
Put volume/call volume	1.12	1.11	0.96
Average daily contract volume/open interest	5.7%	5.3%	4.8%
All futures and options products			
Contract volume	119,802,638	130,028,864	142,439,039
Open interest at the year end	5,317,952	6,230,082	7,960,406

Market highlights – Clearing and Settlement

	Year		
	2012	2013	2014
CCASS Statistics			
Average Daily Exchange Trades Handled by CCASS			
– Number of trades	775,742	940,454	1,060,636
– Value of trades (HK\$bil.)	53.9	62.6	69.4
– Share quantity Involved (bil. shs)	137.5	141.1	138.8
Average Daily Settlement Instructions (“SIs”) Settled by CCASS			
– Number of SIs	73,247	83,055	88,791
– Value of SIs (HK\$bil.)	178.5	216.7	205.6
– Share quantity involved (bil. shs)	44.2	54.6	55.0
Average Daily Investor SIs (“ISIs”) Settled by CCASS			
– Number of ISIs	343	402	394
– Value of ISIs (HK\$mil.)	227.2	213.7	226.5
– Share quantity involved (mil. shs)	98.7	124.1	268.1
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)			
	99.91	99.91	99.90
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)			
	99.99	99.99	99.99
Average Daily Buy-ins Executed on T+3			
– Number of brokers involved	4	4	4
– Number of buy-ins	4	5	5
– Value of buy-ins (HK\$mil)	1.5	2.2	4.0
Shares Deposited in the CCASS Depository			
– Number of shares (bil. shs)	3,663.7	3,955.2	4,450.1
– Percentage of total issued shares of the admitted securities	70.30	70.87	71.29
– Value of shares (HK\$bil)	12,330.1	13,878.9	14,911.9
– Percentage of the total market capitalisation of the admitted securities	51.73	52.68	54.09
DCASS Statistics			
Open Interest as at the year end (contracts)			
– Equity Index Futures	381,023	390,291	421,177
– Stock Futures	19,516	18,409	14,916
– Gold Futures	0	0	0
– Interest Rates Futures	0	1	5
– RMB Currency Futures	3,673	18,701	9,747
– London Metal Mini Futures #	–	–	2,050
– Equity Index Options	881,779	1,061,862	1,192,364
– Stock Options	4,031,961	4,740,818	6,320,147
Cumulative Stock Options Exercised			
– Share quantity involved (mil. shs)	8,480	8,285	10,411
– Value of shares (HK\$bil.)	123	138	176

Trading in London Metal Mini Futures commenced on 01 Dec 2014

S&P/HKEx LargeCap Index, weekly movement 1998 – 2014



Hang Seng Index, monthly movement 1964 – 2014

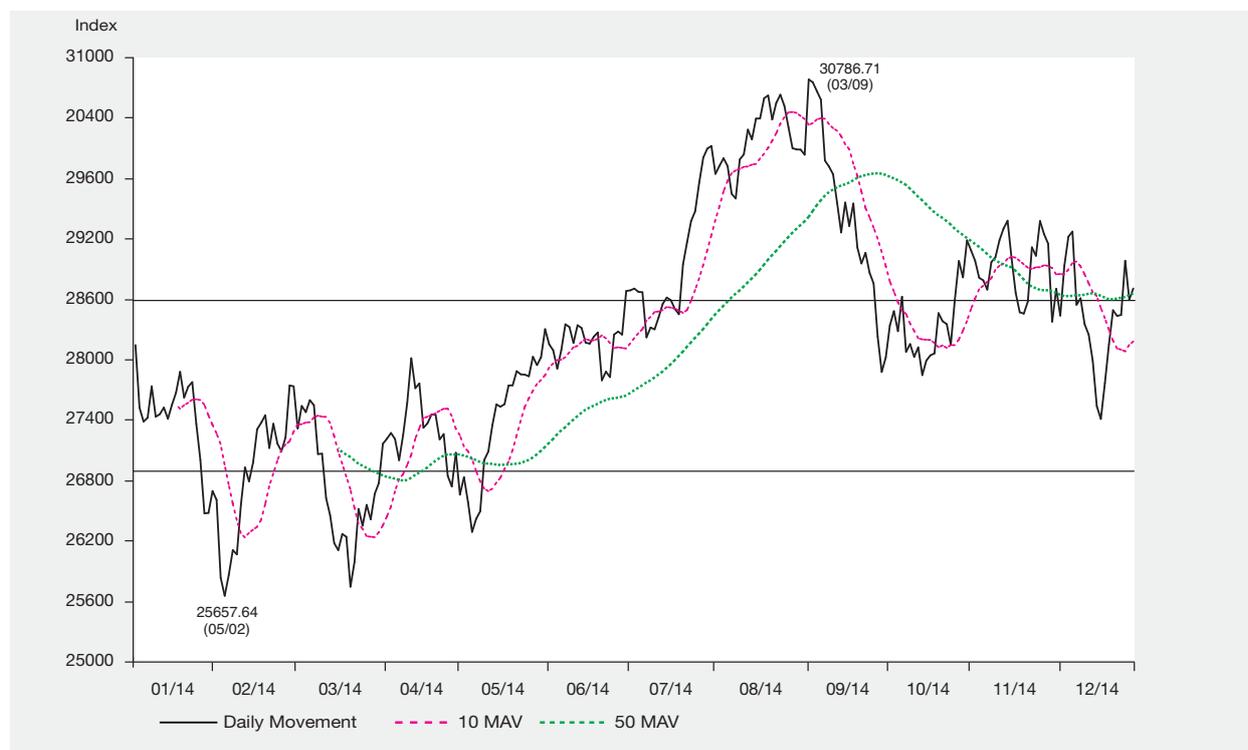


S&P/HKEx LargeCap Index, daily closing (2014)

28 February 2003 = 10000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	27167.78	-	-	-	29848.99	30089.88	-	-	28380.63
2	28148.65	-	-	27218.05	26836.22	-	28685.41	-	30039.41	-	-	28707.55
3	27525.84	-	27319.67	27275.27	-	28306.58	28691.67	-	30786.74	28025.42	29088.54	28441.06
4	-	25838.94	27543.61	27214.57	-	28156.95	28709.55	29929.10	30758.70	-	28985.68	28936.06
5	-	25657.64	27482.58	-	26587.74	28095.97	-	30004.02	30671.87	-	28816.40	29224.75
6	27388.66	25863.67	27601.11	-	-	27913.91	-	29926.46	-	28342.82	28791.42	-
7	27426.59	26111.75	27550.86	27004.44	26292.35	-	28677.42	29651.01	-	28485.04	28699.16	-
8	27739.04	-	-	27256.05	26421.41	-	28673.09	29604.16	30586.00	28286.59	-	29275.67
9	27436.55	-	-	27573.41	26496.85	28099.18	28224.68	-	-	28628.75	-	28548.72
10	27461.52	26068.75	27064.96	28017.59	-	28354.50	28322.49	-	29980.69	28081.10	28972.78	28613.18
11	-	26563.59	27069.95	27720.08	-	28327.53	28304.42	29991.93	29921.65	-	29020.35	28355.53
12	-	26932.95	26628.05	-	27007.30	28169.32	-	30042.44	29845.70	-	29189.70	28252.93
13	27527.60	26794.98	26455.80	-	27090.69	28345.43	-	30288.50	-	28158.37	29305.01	-
14	27416.64	26981.42	26180.22	27767.37	27351.60	-	28424.54	30191.52	-	28030.97	29383.77	-
15	27555.12	-	-	27326.84	27559.04	-	28557.52	30398.38	29561.28	28125.84	-	27991.90
16	27674.97	-	-	27370.38	27535.86	28317.72	28620.21	-	29266.75	27850.01	-	27541.35
17	27883.12	27311.88	26110.65	27459.15	-	28172.02	28594.86	-	29564.39	27994.66	29003.66	27414.52
18	-	27376.94	26271.82	-	-	28162.31	28507.48	30398.86	29331.03	-	28667.25	27772.44
19	-	27451.70	26243.36	-	27561.25	28231.55	-	30600.20	29554.51	-	28473.93	28157.89
20	27627.06	27125.51	25747.89	-	27747.05	28273.84	-	30627.94	-	28046.58	28460.92	-
21	27734.76	27367.09	25992.75	-	27748.28	-	28454.91	30389.06	-	28065.58	28580.72	-
22	27779.90	-	-	27456.53	27888.96	-	28949.01	30554.57	29116.44	28465.69	-	28494.60
23	27364.25	-	-	27211.17	27857.65	27798.06	29168.69	-	28958.95	28385.27	-	28438.13
24	27007.28	27169.06	26520.72	27263.91	-	27884.44	29380.40	-	29065.07	28357.08	29119.22	28447.57
25	-	27094.41	26353.84	26847.75	-	27830.12	29476.89	30635.28	28867.69	-	29035.33	-
26	-	27231.36	26560.93	-	27854.49	28252.00	-	30524.38	28760.38	-	29380.60	-
27	26476.58	27747.22	26417.19	-	27838.51	28282.87	-	30313.02	-	28152.01	29250.27	-
28	26481.21	27738.66	26671.24	26743.91	28032.31	-	29761.91	30104.00	-	28599.49	29155.90	-
29	26698.52	-	-	27078.57	27949.73	-	30010.57	30091.61	28231.68	28983.45	-	28986.39
30	26610.70	-	-	26662.41	28027.16	28252.13	30100.16	-	27881.60	28821.16	-	28600.44
31	-	-	26777.04	-	-	-	30126.05	-	-	29188.57	-	28711.00
High	28148.65	27747.22	27601.11	28017.59	28032.31	28354.50	30126.05	30635.28	30786.74	29188.57	29383.77	29275.67
Low	26476.58	25657.64	25747.89	26662.41	26292.35	27798.06	28224.68	29604.16	27881.60	27850.01	28460.92	27414.52
Avg	27379.26	26864.61	26693.54	27281.76	27384.22	28161.32	28928.27	30195.97	29563.83	28336.88	28969.03	28442.49

S&P/HKEx LargeCap Index, daily movement (2014)



Hang Seng Index, daily closing (2014)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	22448.54	-	-	-	24532.43	24752.09	-	-	23367.45
2	23340.05	-	-	22523.94	22260.67	-	23549.62	-	24749.02	-	-	23654.30
3	22817.28	-	22500.67	22565.08	-	23291.04	23531.44	-	25317.95	23064.56	23915.97	23428.62
4	-	21397.77	22657.63	22510.08	-	23151.71	23546.36	24600.08	25297.92	-	23845.66	23832.56
5	-	21269.38	22579.78	-	21976.33	23109.66	-	24648.26	25240.15	-	23695.62	24002.64
6	22684.15	21423.13	22702.97	-	-	22951.00	-	24584.13	-	23315.04	23649.31	-
7	22712.78	21636.85	22660.49	22377.15	21746.26	-	23540.92	24387.56	-	23422.52	23550.24	-
8	22996.59	-	-	22596.97	21837.12	-	23541.38	24331.41	25190.45	23263.33	-	24047.67
9	22787.33	-	-	22843.17	21862.99	23117.47	23176.07	-	-	23534.53	-	23485.83
10	22846.25	21579.26	22264.93	23186.96	-	23315.74	23238.99	-	24705.36	23088.54	23744.70	23524.52
11	-	21962.98	22269.61	23003.64	-	23257.29	23233.45	24646.02	24662.64	-	23808.28	23312.54
12	-	22285.79	21901.95	-	22261.61	23175.02	-	24689.41	24595.32	-	23938.18	23249.20
13	22888.76	22165.53	21756.08	-	22352.38	23319.17	-	24890.34	-	23143.38	24019.94	-
14	22791.28	22298.41	21539.49	23038.80	22582.77	-	23346.67	24801.36	-	23047.97	24087.38	-
15	22902.00	-	-	22671.26	22730.86	-	23459.96	24954.94	24356.99	23140.05	-	23027.85
16	22986.41	-	-	22696.01	22712.91	23300.67	23523.28	-	24136.01	22900.94	-	22670.50
17	23133.35	22535.94	21473.95	22760.24	-	23203.59	23520.87	-	24376.41	23023.21	23797.08	22585.84
18	-	22587.72	21583.50	-	-	23181.72	23454.79	24955.46	24168.72	-	23529.17	22832.21
19	-	22664.52	21568.69	-	22704.50	23167.73	-	25122.95	24306.16	-	23373.31	23116.63
20	22928.95	22394.08	21182.16	-	22834.68	23194.06	-	25159.76	-	23070.26	23349.64	-
21	23033.12	22568.24	21436.70	-	22836.52	-	23387.14	24994.10	-	23088.58	23437.12	-
22	23082.25	-	-	22730.68	22953.76	-	23782.11	25112.23	23955.49	23403.97	-	23408.57
23	22733.90	-	-	22509.64	22965.86	22804.81	23971.87	-	23837.07	23333.18	-	23333.69
24	22450.06	22388.56	21846.45	22562.80	-	22880.64	24141.50	-	23921.61	23302.20	23893.14	23349.34
25	-	22317.20	21732.32	22223.53	-	22866.70	24216.01	25166.91	23768.13	-	23843.91	-
26	-	22437.44	21887.75	-	22963.18	23197.83	-	25074.50	23678.41	-	24111.98	-
27	21976.10	22828.18	21834.45	-	22944.30	23221.52	-	24918.75	-	23143.23	24004.28	-
28	21960.64	22836.96	22065.53	22132.53	23080.03	-	24428.63	24741.00	-	23520.36	23987.45	-
29	22141.61	-	-	22453.89	23010.14	-	24640.53	24742.06	23229.21	23819.87	-	23773.18
30	22035.42	-	-	22133.97	23081.65	23190.72	24732.21	-	22932.98	23702.04	-	23501.10
31	-	-	22151.06	-	-	-	24756.85	-	-	23998.06	-	23605.04
High	23340.05	22836.96	22702.97	23186.96	23081.65	23319.17	24756.85	25166.91	25317.95	23998.06	24111.98	24047.67
Low	21960.64	21269.38	21182.16	22132.53	21746.26	22804.81	23176.07	24331.41	22932.98	22900.94	23349.64	22585.84
Avg	22725.16	22188.31	21980.77	22598.44	22584.93	23144.90	23760.03	24812.08	24341.81	23301.23	23779.12	23386.16

Note: HSI is compiled by Hang Seng Indexes Company Limited

Hang Seng Index, daily movement (2014)

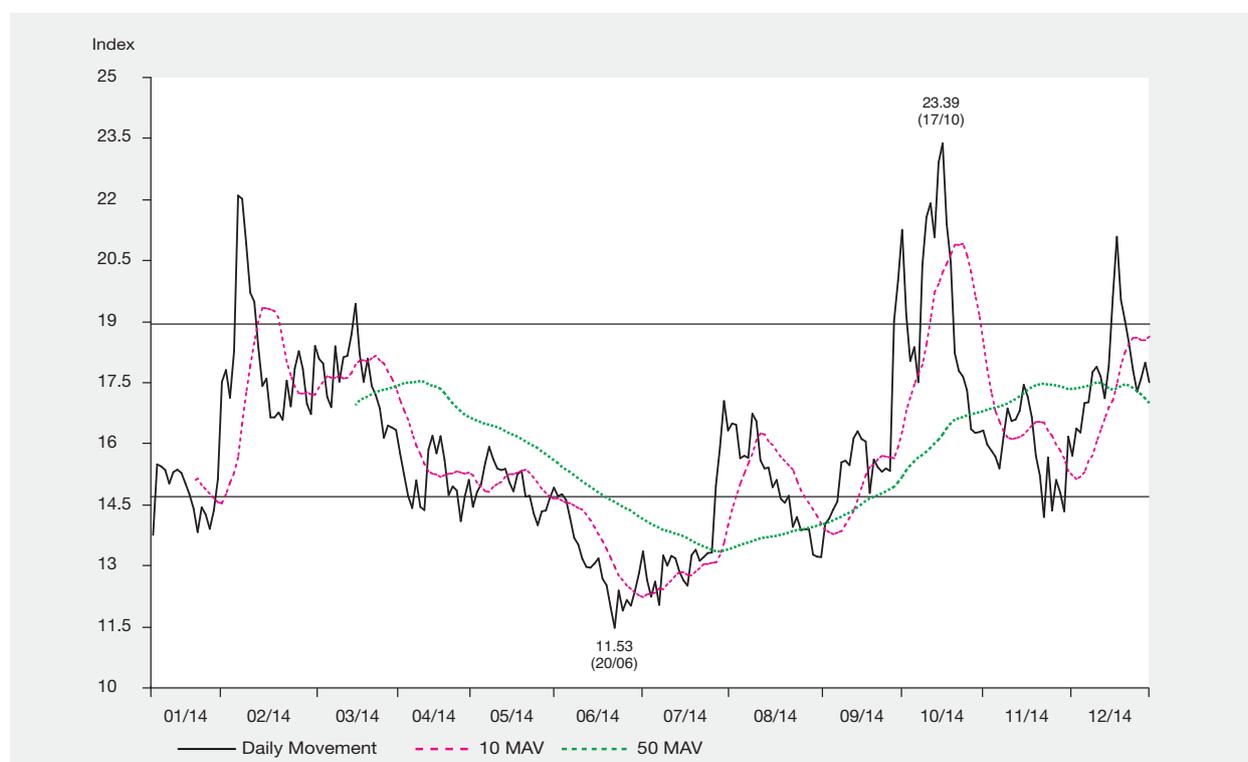


HSI Volatility Index, daily closing (2014)

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	–	–	15.80	–	–	–	16.53	13.27	–	–	16.21
2	13.80	–	–	15.27	15.04	–	13.40	–	13.26	–	–	15.74
3	15.53	–	18.43	14.75	–	14.80	12.70	–	14.07	21.27	16.01	16.41
4	–	22.11	18.11	14.46	–	14.66	12.29	16.50	14.21	–	15.87	16.31
5	–	22.03	18.00	–	15.55	14.21	–	15.68	14.43	–	15.72	17.03
6	15.48	20.93	17.18	–	–	13.73	–	15.74	–	19.21	15.43	–
7	15.39	19.73	16.93	15.14	15.96	–	12.66	15.69	–	18.06	16.20	–
8	15.06	–	–	14.49	15.66	–	12.09	16.77	14.62	18.40	–	17.05
9	15.34	–	–	14.41	15.43	13.57	13.30	–	–	17.54	–	17.79
10	15.40	19.51	18.42	15.89	–	13.22	13.05	–	15.58	20.41	16.90	17.92
11	–	18.39	17.55	16.23	–	13.02	13.29	16.59	15.62	–	16.59	17.68
12	–	17.45	18.15	–	15.39	13.00	–	15.63	15.51	–	16.63	17.15
13	15.32	17.63	18.19	–	15.42	13.10	–	15.43	–	21.58	16.84	–
14	15.06	16.68	18.71	15.80	15.09	–	13.23	15.45	–	21.92	17.48	–
15	14.79	–	–	16.22	14.87	–	12.90	14.97	16.17	21.09	–	17.93
16	14.45	–	–	15.63	15.29	13.23	12.69	–	16.34	22.92	–	19.62
17	13.87	16.68	19.46	14.78	–	12.73	12.56	–	16.15	23.39	17.18	21.10
18	–	16.80	18.25	–	–	12.57	13.31	15.15	16.09	–	16.68	19.56
19	–	16.62	17.55	–	15.37	12.03	–	14.69	14.83	–	15.74	19.05
20	14.48	17.58	18.12	–	14.74	11.53	–	14.59	–	21.41	15.25	–
21	14.30	16.95	17.45	–	14.76	–	13.44	14.76	–	20.48	14.24	–
22	13.95	–	–	14.99	14.33	–	13.17	14.00	15.65	18.25	–	18.49
23	14.40	–	–	14.89	14.04	12.44	13.26	–	15.46	17.82	–	17.83
24	15.16	17.88	17.22	14.14	–	11.95	13.36	–	15.35	17.68	15.70	17.31
25	–	18.30	16.90	14.75	–	12.21	13.37	14.24	15.43	–	14.40	–
26	–	17.86	16.18	–	14.38	12.07	–	13.92	15.37	–	15.15	–
27	17.55	17.01	16.48	–	14.40	12.43	–	13.94	–	17.34	14.84	–
28	17.84	16.76	16.43	15.15	14.71	–	15.01	13.94	–	16.39	14.38	–
29	17.16	–	–	14.49	14.96	–	15.94	13.32	19.04	16.30	–	17.64
30	18.30	–	–	14.85	14.75	12.86	17.08	–	20.04	16.32	–	18.02
31	–	–	16.37	–	–	–	16.36	–	–	16.36	–	17.54
High	18.30	22.11	19.46	16.23	15.96	14.80	17.08	16.77	20.04	23.39	17.48	21.10
Low	13.80	16.62	16.18	14.14	14.04	11.53	12.09	13.32	13.26	16.30	14.24	15.74
Avg	15.36	18.26	17.62	15.11	15.01	12.97	13.57	15.12	15.55	19.24	15.86	17.78

Note: VHSI is compiled by Hang Seng Indexes Company Limited

HSI Volatility Index, daily movement (2014)



Hang Seng China Enterprises Index, daily closing (2014)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	10098.28	-	-	-	10982.65	10958.81	-	-	10818.20
2	10709.34	-	-	10022.83	9802.46	-	10448.17	-	10966.16	-	-	11125.79
3	10436.76	-	9751.65	10093.80	-	10368.12	10454.27	-	11312.54	10348.53	10660.24	11060.21
4	-	9509.65	9776.77	10110.01	-	10317.82	10489.56	11088.96	11386.38	-	10727.78	11481.03
5	-	9470.62	9661.99	-	9743.29	10364.58	-	11009.84	11368.34	-	10622.00	11600.48
6	10290.55	9537.71	9672.98	-	-	10340.99	-	10979.93	-	10409.72	10568.69	-
7	10236.12	9645.39	9709.49	10156.89	9655.56	-	10487.34	10876.61	-	10469.21	10539.33	-
8	10329.82	-	-	10321.82	9734.97	-	10504.98	10832.66	11408.67	10395.43	-	11873.41
9	10152.82	-	-	10380.74	9684.58	10406.79	10341.00	-	-	10483.50	-	11332.92
10	10164.68	9613.77	9536.85	10421.31	-	10518.80	10368.13	-	11117.89	10301.46	10615.82	11372.45
11	-	9856.85	9520.26	10228.42	-	10508.40	10379.61	11037.88	11032.91	-	10652.06	11255.43
12	-	9996.76	9364.52	-	9827.85	10431.66	-	11064.35	11014.69	-	10729.76	11236.39
13	10182.46	9872.71	9322.93	-	9855.80	10516.98	-	11193.89	-	10284.90	10800.91	-
14	10149.22	9933.73	9298.64	10244.02	9991.61	-	10457.77	11075.11	-	10254.79	10761.51	-
15	10201.79	-	-	10028.74	9971.24	-	10489.15	11103.92	10834.73	10284.88	-	11212.65
16	10187.52	-	-	10035.96	9955.35	10522.13	10475.38	-	10718.01	10185.55	-	11136.90
17	10167.28	10101.21	9333.03	10080.23	-	10466.65	10467.06	-	10893.01	10234.02	10554.30	11269.43
18	-	10057.83	9342.28	-	-	10444.41	10441.23	11065.21	10799.43	-	10426.11	11330.73
19	-	10057.52	9360.70	-	9915.29	10354.06	-	11094.59	10783.33	-	10380.56	11400.19
20	10040.16	9978.06	9203.07	-	9884.22	10395.45	-	11055.98	-	10295.52	10379.43	-
21	10218.41	9936.25	9427.33	-	9995.39	-	10355.73	10942.37	-	10275.98	10447.18	-
22	10326.73	-	-	10030.72	10109.57	-	10605.22	11058.69	10597.30	10426.89	-	11744.02
23	10109.49	-	-	9905.63	10121.06	10198.10	10820.71	-	10568.80	10438.86	-	11677.74
24	10014.02	9797.86	9694.96	9940.63	-	10250.16	10939.57	-	10716.76	10391.64	10842.83	11558.02
25	-	9740.56	9690.86	9798.70	-	10180.05	10993.24	11115.79	10640.09	-	10782.91	-
26	-	9806.03	9849.63	-	10126.48	10337.02	-	11124.33	10584.29	-	11051.35	-
27	9792.58	9957.77	9873.53	-	10082.85	10307.51	-	11074.31	-	10311.58	11013.87	-
28	9763.97	9891.42	10001.82	9770.10	10198.02	-	11072.62	10931.60	-	10548.83	11145.39	-
29	9898.02	-	-	9882.94	10185.21	-	11122.22	10963.59	10439.03	10724.15	-	12019.75
30	9818.36	-	-	9779.72	10249.69	10335.03	-	11119.32	10310.40	10628.88	-	11844.10
31	-	-	10075.10	-	-	-	11130.20	-	-	10755.80	-	11984.69
High	10709.34	10101.21	10075.10	10421.31	10249.69	10522.13	11130.20	11193.89	11408.67	10755.80	11145.39	12019.75
Low	9763.97	9470.62	9203.07	9770.10	9655.56	10180.05	10341.00	10832.66	10310.40	10185.55	10379.43	10818.20
Avg	10151.91	9829.56	9593.73	10066.57	9954.52	10378.24	10634.66	11032.01	10878.65	10402.39	10685.10	11444.50

Note: HSCEI is compiled by Hang Seng Indexes Company Limited

Hang Seng China Enterprises Index, daily movement (2014)



Hang Seng China-Affiliated Corporations Index, daily closing (2014)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	4196.49	-	-	-	4668.31	4818.05	-	-	4398.87
2	4562.80	-	-	4224.53	4163.57	-	4418.19	-	4852.60	-	-	4456.96
3	4448.23	-	4215.94	4224.73	-	4333.76	4448.23	-	4933.29	4442.57	4513.70	4402.73
4	-	4049.82	4216.95	4197.88	-	4282.86	4441.75	4712.81	4919.67	-	4523.19	4484.13
5	-	4045.82	4168.01	-	4135.70	4273.16	-	4711.45	4919.80	-	4468.71	4472.11
6	4386.20	4090.84	4247.26	-	-	4248.58	-	4706.52	-	4495.95	4449.32	-
7	4346.46	4156.76	4215.97	4232.90	4090.29	-	4472.49	4680.66	-	4526.22	4405.36	-
8	4423.53	-	-	4275.67	4099.33	-	4479.53	4679.40	4948.91	4489.21	-	4427.82
9	4394.82	-	-	4305.23	4067.20	4275.81	4426.84	-	-	4547.02	-	4335.70
10	4425.12	4146.29	4122.28	4374.26	-	4327.36	4441.70	-	4845.44	4435.81	4417.89	4368.08
11	-	4227.65	4118.87	4357.87	-	4302.07	4442.65	4754.56	4829.02	-	4391.14	4326.12
12	-	4302.48	4066.93	-	4112.57	4315.54	-	4784.60	4812.58	-	4393.82	4345.16
13	4439.76	4276.49	4027.46	-	4164.03	4353.64	-	4810.97	-	4402.27	4411.62	-
14	4427.58	4292.37	3977.22	4347.59	4227.07	-	4472.78	4770.37	-	4396.59	4438.41	-
15	4449.29	-	-	4253.77	4215.92	-	4515.30	4809.83	4744.39	4409.18	-	4295.06
16	4449.15	-	-	4240.34	4206.51	4354.97	4522.16	-	4680.35	4352.34	-	4222.19
17	4443.29	4296.74	3966.51	4249.31	-	4349.14	4552.52	-	4739.10	4380.02	4380.68	4184.08
18	-	4280.08	3992.98	-	-	4343.22	4539.60	4862.31	4682.53	-	4349.47	4222.66
19	-	4269.87	4005.29	-	4190.60	4331.84	-	4906.03	4677.16	-	4324.00	4237.19
20	4407.74	4219.14	3946.50	-	4180.24	4326.78	-	4900.24	-	4418.01	4313.73	-
21	4400.93	4226.82	3973.97	-	4205.73	-	4512.52	4895.13	-	4405.54	4353.34	-
22	4433.07	-	-	4202.80	4269.61	-	4585.32	4894.08	4614.06	4437.92	-	4287.50
23	4385.56	-	-	4161.87	4317.09	4264.05	4628.41	-	4586.09	4399.67	-	4268.48
24	4343.96	4158.70	4044.78	4164.91	-	4277.58	4662.63	-	4614.93	4402.81	4514.05	4273.59
25	-	4144.76	4005.45	4128.84	-	4294.59	4663.40	4866.96	4589.53	-	4515.33	-
26	-	4196.25	4016.80	-	4307.97	4347.10	-	4828.39	4561.08	-	4552.18	-
27	4219.61	4248.70	4043.61	-	4301.08	4340.05	-	4809.79	-	4381.26	4537.61	-
28	4222.80	4261.39	4133.04	4084.10	4316.61	-	4686.37	4782.30	-	4443.20	4534.79	-
29	4275.59	-	-	4168.20	4272.75	-	4707.06	4813.11	4482.36	4491.77	-	4346.62
30	4218.65	-	-	4167.88	4265.08	4369.01	4696.62	-	4402.05	4474.04	-	4331.52
31	-	-	4161.24	-	-	-	4718.29	-	-	4530.58	-	4350.00
High	4562.80	4302.48	4247.26	4374.26	4317.09	4369.01	4718.29	4906.03	4948.91	4547.02	4552.18	4484.13
Low	4218.65	4045.82	3946.50	4084.10	4067.20	4248.58	4418.19	4668.31	4402.05	4352.34	4313.73	4184.08
Avg	4385.91	4204.79	4079.38	4227.96	4205.45	4315.56	4547.02	4792.75	4726.33	4441.05	4439.42	4335.07

Note: HSCCI is compiled by Hang Seng Indexes Company Limited

Hang Seng China-Affiliated Corporation Index, daily movement (2014)



Number of securities by type, 2010 – 2014

Type	Year				
	2010	2011	2012	2013	2014
Ordinary shares	1,245	1,327	1,369	1,452	1,549
Preference shares	3	3	3	3	6
Equity warrants	22	13	10	8	10
Derivative warrants	5,148	4,027	3,747	4,715	4,938
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	1,064	901	1,214	1,620	1,579
Unit trusts/Mutual funds	79	88	111	129	134
– Exchange Traded Funds (ETFs)	69	77	100	116	122
– REITs	8	9	9	11	11
– Others	2	2	2	2	1
Debt securities	169	192	269	403	640
Total	7,730	6,551	6,723	8,330	8,856

Number of newly listed securities, 2010 – 2014

Type	Year				
	2010	2011	2012	2013	2014
Ordinary shares *	106	88	52	87	103
– Newly listed companies	94	76	50	79	96
– Transfer of listing from GEM	12	12	2	8	7
Preference shares	1	0	0	0	4
Equity warrants	12	7	3	3	7
Derivative warrants	7,826	6,917	5,886	7,264	7,560
Equity link instruments	0	0	0	0	0
Callable bull/bear contracts	6,541	5,334	6,056	8,948	9,983
Unit trusts/Mutual funds	27	9	35	18	12
– Exchange Traded Funds (ETFs)	26	8	35	16	12
– REITs	1	1	0	2	0
– Others	0	0	0	0	0
Debt securities	38	50	109	170	281
Total	14,551	12,405	12,141	16,490	17,950

* Includes the number of companies for transfer of listing from GEM.

Number of delisted securities, 2010-2014

Type	Year				
	2010	2011	2012	2013	2014
Ordinary shares	7	6	10	4	6
Preference shares	0	0	0	0	0
Equity warrants	15	16	6	5	5
Derivative warrants	6,045	8,038	6,166	6,296	7,337
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	7,169	5,497	5,743	8,542	10,024
Unit trusts/mutual funds	0	0	12	0	7
– Exchange Traded Funds (ETFs)	0	0	12	0	6
– REITs	0	0	0	0	0
– Others	0	0	0	0	1
Debt securities	26	27	32	36	44
Total	13,262	13,584	11,969	14,883	17,423

Total market capitalisation by Hang Seng Industry Classification System

HK\$mil

Industry Classification *	2014											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,329,852.00	1,403,601.40	1,337,091.58	1,381,248.46	1,423,206.33	1,470,179.28	1,500,444.31	1,605,837.34	1,411,538.37	1,335,900.52	1,239,348.00	1,170,235.26
Materials	449,019.83	445,698.80	441,269.14	442,985.96	446,836.81	512,884.42	577,012.94	566,808.92	558,068.51	539,911.95	568,199.41	528,765.91
Industrials	830,105.51	870,117.76	858,770.44	829,357.85	853,325.85	879,074.78	935,095.27	958,350.11	973,801.80	1,019,671.83	1,032,955.19	1,053,163.18
Consumer Goods	2,686,201.96	2,814,869.19	2,727,006.47	2,666,534.69	2,639,842.15	2,710,841.78	2,831,586.74	2,958,250.35	2,860,247.56	2,926,539.20	2,885,901.89	2,753,058.96
Consumer Services	2,485,140.23	2,564,580.19	2,363,006.82	2,272,317.67	2,325,042.36	2,342,047.56	2,408,656.40	2,247,770.90	2,007,034.80	2,162,655.59	2,152,672.21	1,961,301.81
Telecommunications	1,904,919.77	1,908,750.46	1,854,646.70	1,958,212.97	2,002,651.11	2,022,672.79	2,290,548.77	2,523,117.44	2,333,779.74	2,477,445.37	2,465,858.02	2,331,548.30
Utilities	1,268,289.31	1,367,516.99	1,331,491.12	1,336,000.00	1,375,078.69	1,432,525.22	1,432,235.25	1,463,142.24	1,404,575.59	1,493,951.41	1,502,154.01	1,500,944.84
Financials	6,481,723.02	6,529,126.90	6,485,491.75	6,458,608.34	6,780,810.07	6,710,383.07	7,165,611.76	7,066,460.58	6,728,332.03	6,922,971.66	7,109,882.33	7,457,460.92
Properties & Construction	3,087,687.73	3,116,918.53	3,150,045.26	3,126,372.23	3,222,898.29	3,177,474.08	3,543,835.51	3,465,850.82	3,227,396.83	3,377,339.64	3,476,077.09	3,454,253.90
Information Technology	1,553,691.80	1,692,798.80	1,537,994.04	1,430,586.53	1,571,263.93	1,683,022.77	1,788,702.25	1,796,967.98	1,685,087.61	1,786,278.63	1,782,663.09	1,629,885.93
Conglomerates	741,642.45	798,660.29	805,228.21	821,042.09	814,993.03	839,129.65	852,821.94	1,154,831.25	1,058,247.67	1,097,006.82	1,098,490.59	1,051,800.01
Total	22,818,273.60	23,512,639.31	22,892,041.53	22,723,266.80	23,455,948.63	23,780,215.41	25,326,551.13	25,807,387.92	24,248,110.50	25,139,672.61	25,314,201.81	24,892,419.04

Note: Month-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation trends by Hang Seng Industry Classification System, 2010 – 2014

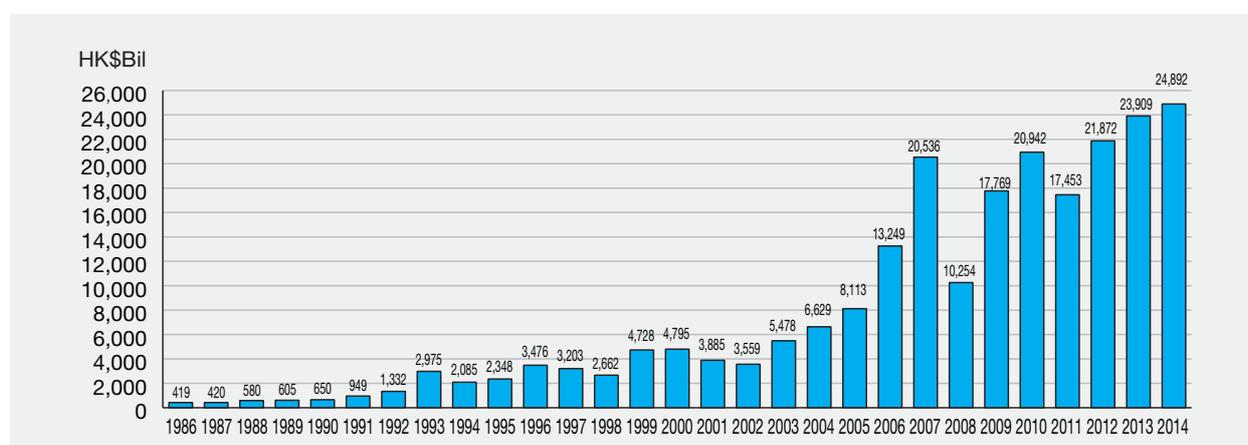
HK\$mil

Industry Classification *	2010		2011		2012		2013		2014	
Energy	1,687,281.21	(8.06%)	1,368,530.62	(7.84%)	1,666,653.89	(7.62%)	1,482,756.24	(6.20%)	1,170,235.26	(4.70%)
Materials	688,304.03	(3.29%)	457,345.49	(2.62%)	538,514.95	(2.46%)	469,275.05	(1.96%)	528,765.91	(2.12%)
Industrials	462,485.44	(2.21%)	277,170.93	(1.59%)	384,864.58	(1.76%)	855,994.96	(3.58%)	1,053,163.18	(4.23%)
Consumer Goods	2,215,851.46	(10.58%)	2,004,405.29	(11.48%)	2,384,569.46	(10.90%)	2,808,608.74	(11.75%)	2,753,058.96	(11.06%)
Consumer Services	1,695,676.46	(8.10%)	1,605,705.74	(9.20%)	2,000,483.80	(9.15%)	2,535,067.31	(10.60%)	1,961,301.81	(7.88%)
Telecommunications	1,942,463.26	(9.28%)	2,070,928.00	(11.87%)	2,292,688.57	(10.48%)	2,070,962.55	(8.66%)	2,331,548.30	(9.37%)
Utilities	716,469.80	(3.42%)	742,408.36	(4.25%)	1,068,308.19	(4.88%)	1,291,040.67	(5.40%)	1,500,944.84	(6.03%)
Financials	6,885,627.90	(32.88%)	5,331,304.00	(30.55%)	6,706,661.48	(30.66%)	6,945,428.14	(29.05%)	7,457,460.92	(29.96%)
Properties & Construction	2,647,533.65	(12.64%)	2,016,954.32	(11.56%)	3,320,089.99	(15.18%)	3,221,695.40	(13.47%)	3,454,253.90	(13.88%)
Information Technology	827,871.04	(3.95%)	650,437.54	(3.73%)	822,786.42	(3.76%)	1,428,651.93	(5.98%)	1,629,885.93	(6.55%)
Conglomerates	1,172,720.14	(5.60%)	927,476.78	(5.31%)	686,108.84	(3.14%)	799,322.80	(3.34%)	1,051,800.01	(4.23%)
Equity total	20,942,284.39	(100.00%)	17,452,667.08	(100.00%)	21,871,730.17	(100.00%)	23,908,803.80	(100.00%)	24,892,419.04	(100.00%)

 () % of equity market total
 Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation, 1986 – 2014



50 leading companies in market capitalisation

End of 2014

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of equity total
1	00941	China Mobile Ltd.	20,395,166,999	90.500	1,845,762.61	7.41
2	00939	China Construction Bank Corporation – H Shares	240,417,319,880	6.370	1,531,458.33	6.15
3	00005	HSBC Holdings plc	19,216,069,816	74.000	1,421,989.17	5.71
4	00700	Tencent Holdings Ltd.	9,368,275,018	112.500	1,053,930.94	4.23
5	01299	AIA Group Ltd.	12,045,117,225	43.150	519,746.81	2.09
6	01398	Industrial and Commercial Bank of China Ltd. – H Shares	86,794,044,550	5.660	491,254.29	1.97
7	00805	Glencore plc *	13,263,405,466	36.350	–	482,124.79 N.A.
8	00883	CNOOC Ltd.	44,647,455,984	10.440	466,119.44	1.87
9	02378	Prudential plc *	2,567,779,950	181.400	–	465,795.28 N.A.
10	00013	Hutchison Whampoa Ltd.	4,263,370,780	89.250	380,505.84	1.53
11	03988	Bank of China Ltd. – H Shares	83,622,276,395	4.370	365,429.35	1.47
12	00016	Sun Hung Kai Properties Ltd.	2,822,979,997	118.300	333,958.53	1.34
13	00267	CITIC Ltd.	24,903,323,630	13.220	329,221.94	1.32
14	01928	Sands China Ltd.	8,067,701,545	38.150	307,782.81	1.24
15	00001	Cheung Kong (Holdings) Ltd.	2,316,164,338	130.300	301,796.21	1.21
16	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	3,723,788,456	79.100	294,551.17	1.18
17	02888	Standard Chartered PLC	2,472,473,634	116.100	287,054.19	1.15
18	00945	Manulife Financial Corporation *	1,863,894,528	149.500	–	278,652.23 N.A.
19	02388	BOC Hong Kong (Holdings) Ltd.	10,572,780,266	25.950	274,363.65	1.10
20	03328	Bank of Communications Co., Ltd. – H Shares	35,011,862,630	7.240	253,485.89	1.02
21	00762	China Unicom (Hong Kong) Ltd.	23,933,133,083	10.400	248,904.58	1.00
22	00011	Hang Seng Bank Ltd.	1,911,842,736	129.200	247,010.08	0.99
23	02628	China Life Insurance Co. Ltd. – H Shares	7,441,175,000	30.450	226,583.78	0.91
24	00388	Hong Kong Exchanges and Clearing Ltd.	1,168,099,636	171.700	200,562.71	0.81
25	00688	China Overseas Land & Investment Ltd.	8,173,975,506	23.050	188,410.14	0.76
26	00003	Hong Kong and China Gas Co. Ltd., The	10,512,089,553	17.760	186,694.71	0.75
27	00027	Galaxy Entertainment Group Ltd.	4,244,889,689	43.650	185,289.43	0.74
28	00066	MTR Corporation Ltd.	5,824,714,847	31.800	185,225.93	0.74
29	00857	PetroChina Co. Ltd. – H Shares	21,098,900,000	8.600	181,450.54	0.73
30	00002	CLP Holdings Ltd.	2,526,450,570	67.250	169,903.80	0.68
31	00004	Wharf (Holdings) Ltd., The	3,030,127,327	56.000	169,687.13	0.68
32	00012	Henderson Land Development Co. Ltd.	3,000,339,200	54.300	162,918.42	0.65
33	00006	Power Assets Holdings Ltd.	2,134,261,654	75.250	160,603.19	0.65
34	00386	China Petroleum & Chemical Corporation – H Shares	25,513,438,600	6.250	159,458.99	0.64
35	00019	Swire Pacific Ltd.	905,578,500 "A"	101.000	146,815.09	0.59
	00087	Swire Pacific Ltd.	2,995,220,000 "B"	18.480	–	–
36	01038	Cheung Kong Infrastructure Holdings Ltd.	2,439,610,945	57.400	140,033.67	0.56
37	00151	Want Want China Holdings Ltd.	13,196,026,135	10.220	134,863.39	0.54
38	01972	Swire Properties Ltd.	5,850,000,000	22.900	133,965.00	0.54
39	01288	Agricultural Bank of China Ltd. – H Shares	30,738,823,096	3.920	120,496.19	0.48
40	01109	China Resources Land Ltd.	5,831,218,790	20.450	119,248.42	0.48
41	00566	Hanergy Thin Film Power Group Ltd.	41,619,174,128	2.810	116,949.88	0.47
42	01128	Wynn Macau, Ltd.	5,195,525,000	21.850	113,522.22	0.46
43	00992	Lenovo Group Ltd.	11,108,654,724	10.200	113,308.28	0.46
44	01913	PRADA S.p.A.	2,558,824,000	43.950	112,460.31	0.45
45	02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	2,775,300,000	39.400	109,346.82	0.44
46	06883	Melco Crown Entertainment Ltd.	1,639,071,920	65.750	107,768.98	0.43
47	01929	Chow Tai Fook Jewellery Group Ltd.	10,000,000,000	10.400	104,000.00	0.42
48	00322	Tingyi (Cayman Islands) Holding Corp.	5,603,699,360	17.720	99,297.55	0.40
49	01044	Hengan International Group Co. Ltd.	1,224,384,721	81.050	99,236.38	0.40
50	00101	Hang Lung Properties Ltd.	4,485,297,670	21.750	97,555.22	0.39
Total					14,999,982.51	60.26
Equity total					24,892,419.04	100.00

* Figures is not included in Total

20 Stocks with largest price changes (increase) (Revised)

Rank	Stock Code	Stock Name	Closing price (HK\$)		
			2013	2014	% up
1	00439	KUANGCHI	0.232	5.260	2,167.24
2	00708	NEW MEDIA	0.280	3.550	1,167.86
3 *	01332	QUALIPAK	0.740	7.120	862.16
4	01076	IMPERIAL PAC	0.178 A	1.680	843.82
5	00241	ALI HEALTH	0.560	5.090	808.93
6	00572	CH FOR YOU GP	0.087 A	0.720	727.59
7	01159	SINOGREEN EN	1.700 A	13.360	685.88
8	00295	KONG SUN HOLD	0.175	1.280	631.43
9	00727	CROWNICORP	0.175	1.120	540.00
10	02379	ZHONGTIAN INT'L	0.850	5.150	505.88
11	01004	RISING DEV HOLD	0.198 A	1.170	490.91
12	00876	WING TAI INV	0.083 A	0.480	478.31
13	01348	QUALI-SMART	1.140	6.480	468.42
14	02488	LAUNCH TECH	1.900 A	9.980	425.26
15	00431	G CHINA HOLD	0.410	2.020	392.68
16	00996	CARNIVAL GROUP	0.247	1.190	381.78
17	00776	JF FURNISHINGS	2.200	10.500	377.27
18	01239	JIN BAO BAO H	2.070	9.750	371.01
19	00412	HERITAGE INT'L	0.327 A	1.530	367.89
20	00928	TACK FIORI	0.435 A	1.950	348.28

(A) Adjusted

* Revision: QUALIPAK, which is ranked 3rd, is added to the table

20 Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK\$)		
			2013	2014	% down
1	02339	BWI INT'L	3.650	0.300	91.78
2	00745	CN CULTURE GP	1.830	0.170	90.71
3	00986	CH ENV ENERGY	2.387 A	0.275	88.48
4	00120	COSMOPOL INT'L	1.120 A	0.154	86.25
5	01262	LABIXIAOXIN	5.200	0.980	81.15
6	01149	ANXIN-CHINA	2.460	0.495	79.88
7	01112	BIOSTIME	69.150	15.940	76.95
8	01808	ENTERPRISE DEV	0.900	0.208	76.89
9	00221	PNG RESOURCES	0.860 A	0.208	75.81
10	00616	EASYKNIT ENT	1.256 A	0.325	74.12
11	00484	FORGAME	54.000	14.700	72.78
12	01623	HILONG	6.640	1.810	72.74
13	00356	DT CAPITAL	0.480	0.146	69.58
14	00943	EFORCE HOLDINGS	0.700 A	0.216	69.14
15	01251	SPT ENERGY	4.610	1.430	68.98
16	00852	STRONG PETRO	1.550	0.485	68.71
17	00630	AMCO UNITED	0.290	0.091	68.62
18	00948	Z-OBEE	0.620	0.210	66.13
19	01431	YST DAIRY	2.230	0.760	65.92
20	01355	LEGEND STRAT	2.300	0.790	65.65

(A) Adjusted

Trading value and volume, 2010 – 2014

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2010	249	17,076,412.14	68,579.97	34,544,258.75	138,731.96	190,573,054	765,354	
2011	246	17,091,116.87	69,476.08	39,621,773.27	161,064.12	213,131,902	866,390	
2012	247	13,267,508.72	53,714.61	33,812,747.98	136,893.72	190,501,387	771,261	
2013	244	15,185,792.16	62,236.85	34,210,452.09	140,206.77	227,229,216	931,267	
2014	247	16,990,272.09	68,786.53	33,929,018.76	137,364.45	258,091,086	1,044,903	
2014	Jan	21	1,401,984.85	66,761.18	2,891,586.33	137,694.59	22,567,016	1,074,620
	Feb	19	1,224,740.54	64,460.03	2,545,442.17	133,970.64	20,031,025	1,054,264
	Mar	21	1,476,860.09	70,326.67	2,897,949.59	137,997.60	24,176,704	1,151,272
	Apr	20	1,298,857.58	64,942.88	2,474,291.53	123,714.58	20,770,863	1,038,543
	May	20	1,099,904.72	54,995.24	2,168,748.52	108,437.43	17,625,268	881,263
	Jun	20	1,020,424.39	51,021.22	2,051,693.61	102,584.68	16,497,517	824,876
	Jul	22	1,415,668.44	64,348.57	2,799,030.27	127,228.65	21,122,101	960,096
	Aug	21	1,502,894.53	71,566.41	3,164,510.70	150,690.99	21,564,050	1,026,860
	Sep	21	1,578,042.29	75,144.87	3,413,299.88	162,538.09	22,725,357	1,082,160
	Oct	21	1,390,761.77	66,226.75	3,094,772.56	147,370.12	21,761,148	1,036,245
	Nov	20	1,552,589.63	77,629.48	3,099,403.47	154,970.17	22,773,331	1,138,667
	Dec	21	2,027,543.25	96,549.68	3,328,290.13	158,490.01	26,476,706	1,260,796

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value and volume of depositary receipts, 2010-2014

Year/Month	No. of trading days	No. of listed companies	No. of issues	Value (HK\$thousand)		Volume (thousands of shares)		Deals	
				Total	Average daily	Total	Average daily	Total	Average daily
2010	18	1	2	119,437.92	6,635.44	460.90	25.61	1,220	68
2011	246	3	4	571,802.35	2,324.40	4,681.30	19.03	6,106	25
2012	247	3	4	81,508.69	329.99	1,011.11	4.09	2,916	12
2013	244	3	4	49,392.92	202.43	1,813.28	7.43	1,432	6
2014	247	3	4	450,589.20	1,824.25	16,073.36	65.07	9,211	37
2014	Jan	21	3	2,287.84	108.94	116.95	5.57	98	5
	Feb	19	3	7,225.25	380.28	423.00	22.26	225	12
	Mar	21	4	267,196.74	12,723.65	9,429.71	449.03	4,539	216
	Apr	20	4	42,568.98	2,128.45	1,641.00	82.05	1,117	56
	May	20	4	14,206.85	710.34	549.85	27.49	290	15
	Jun	20	4	11,224.10	561.21	412.45	20.62	234	12
	Jul	22	3	8,752.64	397.85	307.75	13.99	325	15
	Aug	21	3	6,060.24	288.58	223.60	10.65	184	9
	Sep	21	3	9,370.74	446.23	341.60	16.27	288	14
	Oct	21	3	35,013.50	1,667.31	1,061.90	50.57	809	39
	Nov	20	3	31,941.51	1,597.08	1,084.55	54.23	744	37
	Dec	21	3	14,740.84	701.94	481.00	22.90	358	17

Note: The first HDRs, which are the Depositary Receipts on the Common Shares and Class A Preferred Shares of Vale S.A., commenced trading on 8 December 2010

Trading value by type of securities, 2014

Month	Equities		Warrants	ELI	CBBC	Unit trusts	Debt securities	iShare	Market total
	Mil of shares	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil
Jan	266,340.89	1,093,447.86	144,546.61	0.00	98,882.75	64,890.96	216.67	0.00	1,401,984.85
Feb	244,267.87	936,418.78	137,186.77	0.00	97,002.00	53,935.90	197.09	0.00	1,224,740.54
Mar	313,370.68	1,151,604.57	149,985.90	0.00	114,634.96	60,352.45	282.22	0.00	1,476,860.09
Apr	233,506.76	1,003,728.83	138,962.96	0.00	97,520.61	58,392.70	252.48	0.00	1,298,857.58
May	198,813.86	854,064.96	122,791.99	0.00	80,993.27	41,826.81	227.69	0.00	1,099,904.72
Jun	207,405.50	783,907.61	123,143.40	0.00	65,904.71	47,237.47	231.20	0.00	1,020,424.39
Jul	271,388.35	1,047,471.88	178,955.85	0.00	97,209.47	91,525.56	505.66	0.01	1,415,668.44
Aug	275,454.68	1,013,468.52	225,823.34	0.00	119,182.26	141,980.03	2,440.38	0.00	1,502,894.53
Sep	328,995.35	1,097,892.13	223,104.50	0.00	122,183.88	134,393.02	468.76	0.00	1,578,042.29
Oct	283,492.30	983,724.24	204,682.25	0.00	105,165.25	96,823.69	366.35	0.00	1,390,761.77
Nov	342,330.82	1,116,165.78	204,245.63	0.00	97,162.19	134,538.67	477.37	0.00	1,552,589.63
Dec	320,841.25	1,389,399.61	194,141.12	0.00	134,115.06	309,466.59	420.87	0.00	2,027,543.25
Total	3,286,208.30	12,471,294.75	2,047,570.30	0.00	1,229,956.42	1,235,363.86	6,086.75	0.01	16,990,272.09

Notes:

1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.

Trading value by Hang Seng Industry Classification System, 2014

Industry Classification *	No. of issue *	Equity turnover		
		HK\$mil	% of total	Annual turnover velocity (%)
Energy	71	996,627.60	7.99	85.16
Materials	136	295,456.32	2.37	55.88
Industrials	208	813,626.45	6.52	77.26
Consumer Goods	390	1,531,968.52	12.28	55.65
Consumer Services	163	1,089,554.22	8.74	55.55
Telecommunications	12	592,439.02	4.75	25.41
Utilities	51	649,145.94	5.21	43.25
Financials	125	3,404,990.96	27.30	45.66
Properties & Construction	255	1,487,328.37	11.93	43.06
Information Technology	124	1,321,696.55	10.60	81.09
Conglomerates	13	288,457.22	2.31	27.43
Sub-total	1,548	12,471,291.18	100.00	50.10
NASDAQ stocks	7	3.57	0.00	n.a.
Equity total	1,555	12,471,294.75	100.00	n.a.

Notes:

1. Equity turnover values have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.

* Year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

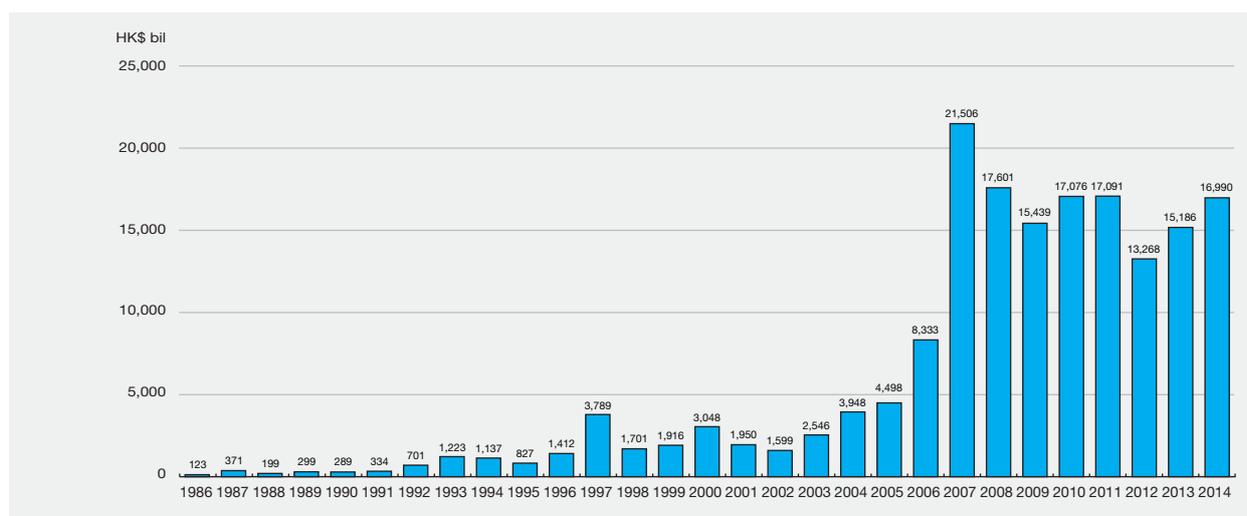
20 most active stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK\$mil)	% of equity total
1	00700	TENCENT	692,871.96	5.56
2	00941	CHINA MOBILE	383,184.60	3.07
3	00939	CCB	346,303.49	2.78
4	01398	ICBC	329,808.63	2.64
5	03988	BANK OF CHINA	297,231.40	2.38
6	02318	PING AN	287,585.04	2.31
7	00005	HSBC HOLDINGS	283,177.73	2.27
8	00388	HKEX	243,148.76	1.95
9	01928	SANDS CHINA LTD	233,082.86	1.87
10	00883	CNOOC	218,600.16	1.75
11	00027	GALAXY ENT	210,552.01	1.69
12	01299	AIA	207,994.61	1.67
13	00857	PETROCHINA	204,089.91	1.64
14	00386	SINOPEC CORP	191,182.04	1.53
15	02628	CHINA LIFE	185,210.13	1.49
16	00013	HUTCHISON	149,200.91	1.20
17	00688	CHINA OVERSEAS	133,445.94	1.07
18	01288	ABC	126,780.70	1.02
19	00001	CHEUNG KONG	115,579.39	0.93
20	00992	LENOVO GROUP	113,475.99	0.91
Total			4,952,506.26	39.71
Equity total			12,471,294.75	100.00

20 most active stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of equity total
1	03988	BANK OF CHINA	82,109.39	2.50
2	00261	CCT LAND	77,091.85	2.35
3	01398	ICBC	65,984.83	2.01
4	00939	CCB	61,333.91	1.87
5	00981	SMIC	60,309.13	1.84
6	01371	CHINA LOTSYN	45,178.28	1.37
7	00745	CN CULTURE GP	43,654.02	1.33
8	00397	JUNYANG SOLAR	37,483.15	1.14
9	01288	ABC	36,125.76	1.10
10	00139	CHINA JINHAI	31,605.20	0.96
11	03800	GCL-POLY ENERGY	30,678.05	0.93
12	00386	SINOPEC CORP	28,080.13	0.85
13	00566	HANERGY TFP	27,974.78	0.85
14	02309	BIRMINGHAM INTL	27,760.43	0.84
15	00076	SOUTH SEA PETRO	24,687.17	0.75
16	00555	REXLOT HOLDINGS	23,126.09	0.70
17	00986	CH ENV ENERGY	23,009.27	0.70
18	00857	PETROCHINA	22,426.63	0.68
19	00931	CHINA LNG	21,782.45	0.66
20	01060	ALI PICTURES	21,203.19	0.65
Total			791,603.70	24.09
Equity total			3,286,208.30	100.00

Trading value, 1986 – 2014



Market capitalisation and trading value of listed companies by incorporation place

End of 2014

Country	No. of listed companies ⁽¹⁾	Market capitalisation (HK\$mil) ⁽²⁾	% of total	Turnover (HK\$mil)	% of equity total
Bermuda	466	2,453,571.07	9.86	1,184,360.12	9.50
Brazil	1	–	–	22.20	0.00
British Virgin Islands	6	36,382.71	0.15	16,048.91	0.13
Canada	4	7,736.32	0.03	6,247.63	0.05
Cayman Islands	668	5,489,735.11	22.05	3,209,704.47	25.74
England	4	1,709,043.36	6.87	321,206.76	2.58
Hong Kong	204	9,183,684.26	36.89	3,246,676.29	26.03
Italy	1	112,460.31	0.45	34,874.27	0.28
Japan	2	11,335.90	0.05	10,326.24	0.08
Jersey	3	83,011.76	0.33	6,774.29	0.05
Luxembourg	2	61,273.63	0.25	26,696.69	0.21
PRC	179	5,723,993.53	22.99	4,398,915.75	35.27
Singapore	7	20,191.08	0.08	9,433.57	0.08
USA	1	–	–	3.99	0.00
USA – NASDAQ ⁽³⁾	7	–	–	3.57	0.00
Total	1,548	24,892,419.04	100.00	12,471,294.75	100.00

Notes:

- (1) Total no. of listed companies are excluded the NASDAQ Stocks.
- (2) Foreign companies not solely listed in Hong Kong and stock suspended over 1 year are excluded.
- (3) NASDAQ stocks are quoted on the Exchange for trading only.

Statistics on Hang Seng Index Stocks, 2014

End of 2014

Sector	Stock code	Stock name	Turnover (HK\$mil)	% of equity total + REIT total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
Finance (12)									
	00939	CCB	346,303.49	2.76	1,531,458.33	6.15	6.370	8.89	5.94
	00005	HSBC HOLDINGS	283,177.73	2.26	1,421,989.17	5.71	74.000	-12.06	11.29
	01299	AIA	207,994.61	1.66	519,746.81	2.09	43.150	10.93	23.47
	01398	ICBC	329,808.63	2.63	491,254.29	1.97	5.660	8.02	6.04
	03988	BANK OF CHINA	297,231.40	2.37	365,429.35	1.47	4.370	22.41	6.22
	02318	PING AN	287,585.04	2.29	294,551.67	1.18	79.100	13.89	17.60
	02388	BOC HONG KONG	66,723.54	0.53	274,363.65	1.10	25.950	4.43	12.33
	03328	BANKCOMM	42,069.35	0.34	253,485.89	1.02	7.240	32.36	6.91
	00011	HANG SENG BANK	34,026.38	0.27	247,010.08	0.99	129.200	2.78	9.26
	02628	CHINA LIFE	185,210.13	1.48	226,583.78	0.91	30.450	25.57	27.76
	00388	HKEX	243,148.76	1.94	200,562.71	0.81	171.700	32.79	43.46
	00023	BANK OF E ASIA	15,768.65	0.13	73,339.16	0.29	31.250	-4.87	11.24
Utilities (4)									
	00003	HK & CHINA GAS	35,855.53	0.29	186,694.71	0.75	17.760	9.87	27.25
	00002	CLP HOLDINGS	37,625.37	0.30	169,903.80	0.68	67.250	9.71	28.04
	00006	POWER ASSETS	44,048.42	0.35	160,603.19	0.65	75.250	22.06	14.38
	00836	CHINA RES POWER	33,645.91	0.27	95,941.29	0.39	20.000	8.81	8.61
Properties (9)									
	00016	SHK PPT	108,714.89	0.87	333,958.53	1.34	118.300	20.28	9.50
	00001	CHEUNG KONG	115,579.39	0.92	301,796.21	1.21	130.300	6.45	8.56
	00688	CHINA OVERSEAS	133,445.94	1.06	188,410.14	0.76	23.050	5.73	8.17
	00004	WHARF HOLDINGS	68,132.95	0.54	169,687.13	0.68	56.000	-5.56	5.78
	00012	HENDERSON LAND	38,780.84	0.31	162,918.42	0.65	54.300	34.98	10.01
	01109	CHINA RES LAND	53,617.77	0.43	119,248.42	0.48	20.450	6.40	8.11
	00101	HANG LUNG PPT	25,453.78	0.20	97,555.22	0.39	21.750	-11.22	13.51
	00017	NEW WORLD DEV	37,578.26	0.30	77,291.93	0.31	8.920	0.30	6.51
	00083	SINO LAND	17,983.50	0.14	75,922.02	0.31	12.520	18.11	8.38
	00823	LINK REIT	49,565.38	0.40	n.a.	n.a.	48.55	29.12	n.a.
Commerce & Industry (24)									
	00941	CHINA MOBILE	383,184.60	3.06	1,845,762.61	7.41	90.500	12.56	11.93
	00700	TENCENT	692,871.96	5.53	1,053,930.94	4.23	112.500	13.73	53.00
	00883	CNOOC	218,600.16	1.74	466,119.44	1.87	10.440	-27.60	6.61
	00013	HUTCHISON	149,200.91	1.19	380,505.84	1.53	89.250	-15.32	12.23
	00267	CITIC	59,672.97	0.48	329,221.94	1.32	13.220	11.47	6.36
	01928	SANDS CHINA LTD	233,082.86	1.86	307,782.81	1.24	38.150	-39.78	17.79
	00762	CHINA UNICOM	101,105.90	0.81	248,904.58	1.00	10.400	-10.34	18.66
	00027	GALAXY ENT	210,552.01	1.68	185,289.43	0.74	43.650	-37.24	18.29
	00066	MTR CORPORATION	16,424.56	0.13	185,225.93	0.74	31.800	8.35	14.15
	00857	PETROCHINA	204,089.91	1.63	181,450.54	0.73	8.600	1.18	9.69
	00386	SINOPEC CORP	191,182.04	1.52	159,458.99	0.64	6.250	-1.26	8.80
	00151	WANT WANT CHINA	40,625.33	0.32	134,863.39	0.54	10.220	-8.75	25.22
	00992	LENOVO GROUP	113,475.99	0.91	113,308.28	0.46	10.200	8.17	16.59
	00322	TINGYI	26,261.75	0.21	99,297.55	0.40	17.720	-20.89	31.12
	01044	HENGAN INT'L	38,992.98	0.31	99,236.38	0.40	81.050	-11.52	26.80
	00019	SWIRE PACIFIC A	30,492.35	0.24	91,463.43	0.37	101.000	11.11	11.43
	01088	CHINA SHENHUA	86,443.58	0.69	77,997.47	0.31	22.950	-6.13	8.11
	01880	BELLE INT'L	44,374.90	0.35	73,546.51	0.30	8.720	-2.79	13.38
	00144	CHINA MER HOLD	28,200.04	0.22	66,878.07	0.27	26.100	-7.77	15.64
	00293	CATHAY PAC AIR	15,338.06	0.12	66,481.97	0.27	16.900	3.05	25.38
	02319	MENGIU DAIRY	53,525.66	0.43	62,669.79	0.25	32.000	-13.04	28.29
	00494	LI & FUNG	50,368.71	0.40	60,696.49	0.24	7.260	-27.40	10.72
	00135	KUNLUN ENERGY	44,338.67	0.35	59,170.62	0.24	7.330	-46.34	8.62
	00291	CHINA RESOURCES	15,627.70	0.12	39,322.97	0.16	16.240	-36.93	20.45
Total (50)			6,187,109.25	49.34	14,428,291.89	57.96			
Total **			12,538,713.72	100.00	24,892,419.04	100.00			

Notes:

* As compared with previous year.

** Total Turnover is the sum of equity total and REIT total whereas Total Market capitalisation includes equities only.

() The number of constituent stocks.

Statistics on Hang Seng China Enterprises Index stocks, 2014

End of 2014

Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
00939	CGB	346,303.49	2.78	1,531,458.33	6.15	6.370	8.89	5.94
01398	ICBC	329,808.63	2.64	491,254.29	1.97	5.660	8.02	6.04
03988	BANK OF CHINA	297,231.40	2.38	365,429.35	1.47	4.370	22.41	6.22
02318	PING AN	287,585.04	2.31	294,551.67	1.18	79.100	13.89	17.60
03328	BANKCOMM	42,069.35	0.34	253,485.89	1.02	7.240	32.36	6.91
02628	CHINA LIFE	185,210.13	1.49	226,583.78	0.91	30.450	25.57	27.76
00857	PETROCHINA	204,089.91	1.64	181,450.54	0.73	8.600	1.18	9.69
00386	SINOPEC CORP	191,182.04	1.53	159,458.99	0.64	6.250	-1.26	8.80
01288	ABC	126,780.70	1.02	120,496.19	0.48	3.920	2.89	6.11
02601	CPIC	77,130.08	0.62	109,346.82	0.44	39.400	29.61	30.87
00998	CITIC BANK	57,749.93	0.46	92,567.05	0.37	6.220	47.74	5.95
03968	CM BANK	87,565.64	0.70	89,338.94	0.36	19.460	17.80	6.77
01088	CHINA SHENHUA	86,443.58	0.69	77,997.47	0.31	22.950	-6.13	8.11
01988	MINSHENG BANK	101,152.72	0.81	70,722.51	0.28	10.200	18.47	6.58
02328	PICC P&C	44,609.48	0.36	69,360.90	0.28	15.080	31.13	15.81
00728	CHINA TELECOM	60,943.98	0.49	63,003.44	0.25	4.540	15.82	16.70
02333	GREATWALL MOTOR	87,410.09	0.70	45,563.24	0.18	44.100	3.04	13.09
01359	CHINA CINDA	70,682.13	0.57	44,073.88	0.18	3.780	-21.90	10.19
01800	CHINA COMM CONS	39,001.27	0.31	41,308.58	0.17	9.330	49.28	9.59
00902	HUANENG POWER	53,016.80	0.43	41,085.62	0.17	10.480	49.50	11.26
01336	NCI	34,621.63	0.28	40,485.30	0.16	39.150	50.58	22.08
00914	ANHUI CONCH	94,980.09	0.76	37,753.38	0.15	29.050	1.04	13.15
00168	TSINGTAO BREW	15,941.42	0.13	34,456.64	0.14	52.600	-19.76	28.76
06030	CITIC SEC	63,034.78	0.51	34,407.17	0.14	29.200	38.06	49.09
01099	SINOPHARM	29,266.20	0.23	32,742.65	0.13	27.450	23.37	24.69
01339	PICC GROUP	22,845.00	0.18	31,676.23	0.13	3.630	-3.20	15.18
00489	DONGFENG GROUP	44,607.94	0.36	31,355.94	0.13	10.980	-9.56	7.19
06837	HAITONG SEC	66,558.50	0.53	29,135.36	0.12	19.520	44.59	37.12
00753	AIR CHINA	12,701.77	0.10	28,608.02	0.11	6.270	8.29	18.86
01211	BYD COMPANY	66,720.45	0.53	27,770.25	0.11	30.350	-20.13	103.02
00916	CHINA LONGYUAN	30,900.17	0.25	26,954.03	0.11	8.070	-19.22	25.05
00390	CHINA RAILWAY	24,927.75	0.20	26,843.15	0.11	6.380	59.50	11.61
02883	CHINA OILFIELD	58,988.98	0.47	24,377.73	0.10	13.460	-44.03	7.17
02202	CHINA VANKE	26,048.38	0.21	22,748.73	0.09	17.300	-	-
03323	CNBM	43,235.46	0.35	21,708.96	0.09	7.540	-9.59	5.64
01898	CHINA COAL	28,942.56	0.23	19,958.38	0.08	4.860	11.47	13.47
00358	JIANGXI COPPER	27,823.12	0.22	18,453.51	0.07	13.300	-5.00	10.35
02338	WEICHAI POWER	19,925.53	0.16	15,884.35	0.06	32.700	4.64	14.66
02238	GAC GROUP	16,046.81	0.13	15,625.90	0.06	7.060	-16.75	13.69
01066	WEIGAO GROUP	13,245.03	0.11	11,792.16	0.05	6.260	-40.15	57.75
		3,517,327.95	28.20	4,901,275.31	19.69			
Equity total		12,471,294.75	100.00	24,892,419.04	100.00			

* As compared with previous year.

Statistics on Hang Seng China-Affiliated Corporations Index stocks, 2014

End of 2014

Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
00941	CHINA MOBILE	383,184.60	3.07	1,845,762.61	7.41	90.500	12.56	11.93
00883	CNOOC	218,600.16	1.75	466,119.44	1.87	10.440	-27.60	6.61
00267	CITIC	59,672.97	0.48	329,221.94	1.32	13.220	11.47	6.36
00762	CHINA UNICOM	101,105.90	0.81	248,904.58	1.00	10.400	-10.34	18.66
00688	CHINA OVERSEAS	133,445.94	1.07	188,410.14	0.76	23.050	5.73	8.17
01109	CHINA RES LAND	53,617.77	0.43	119,248.42	0.48	20.450	6.40	8.11
00992	LENOVO GROUP	113,475.99	0.91	113,308.28	0.46	10.200	8.17	16.59
00836	CHINA RES POWER	33,645.91	0.27	95,941.29	0.39	20.000	8.81	8.61
00392	BEIJING ENT	22,332.89	0.18	78,088.50	0.31	60.800	-20.94	16.86
00966	CHINA TAIPING	14,391.96	0.12	68,998.01	0.28	22.200	40.15	30.15
00144	CHINA MER HOLD	28,200.04	0.23	66,878.07	0.27	26.100	-7.77	15.64
00270	GUANGDONG INV	16,666.61	0.13	63,026.85	0.25	10.100	33.25	14.23
01114	BRILLIANCE CHI	33,136.26	0.27	62,621.09	0.25	12.460	-1.42	14.85
00135	KUNLUN ENERGY	44,338.67	0.36	59,170.62	0.24	7.330	-46.34	8.62
00257	CHINA EB INT'L	30,626.27	0.25	51,742.03	0.21	11.540	11.18	35.40
00371	BJ ENT WATER	24,970.53	0.20	46,062.28	0.19	5.290	8.62	37.84
01193	CHINA RES GAS	14,108.89	0.11	44,813.86	0.18	20.150	-25.37	20.24
01093	CSPC PHARMA	36,220.57	0.29	40,410.85	0.16	6.840	11.76	39.11
00291	CHINA RESOURCES	15,627.70	0.13	39,322.97	0.16	16.240	-36.93	20.45
01313	CHINARES CEMENT	15,347.65	0.12	32,795.35	0.13	5.020	-3.65	9.81
01199	COSCO PACIFIC	12,802.86	0.10	32,403.63	0.13	11.020	3.57	5.66
00165	CHINA EB LTD	16,275.77	0.13	31,210.90	0.13	18.520	51.06	18.95
00363	SHANGHAI IND H	6,916.13	0.06	25,174.21	0.10	23.250	-17.99	9.30
00817	FRANSHION PPT	4,870.82	0.04	20,260.00	0.08	2.220	-17.78	4.81
00123	YUEXIU PROPERTY	9,959.17	0.08	18,477.95	0.07	1.490	-21.99	4.12
		1,443,542.05	11.57	4,188,373.86	16.83			
Equity total		12,471,294.75	100.00	24,892,419.04	100.00			

* As compared with previous year.

Statistics on China enterprises (H shares), 2010 – 2014

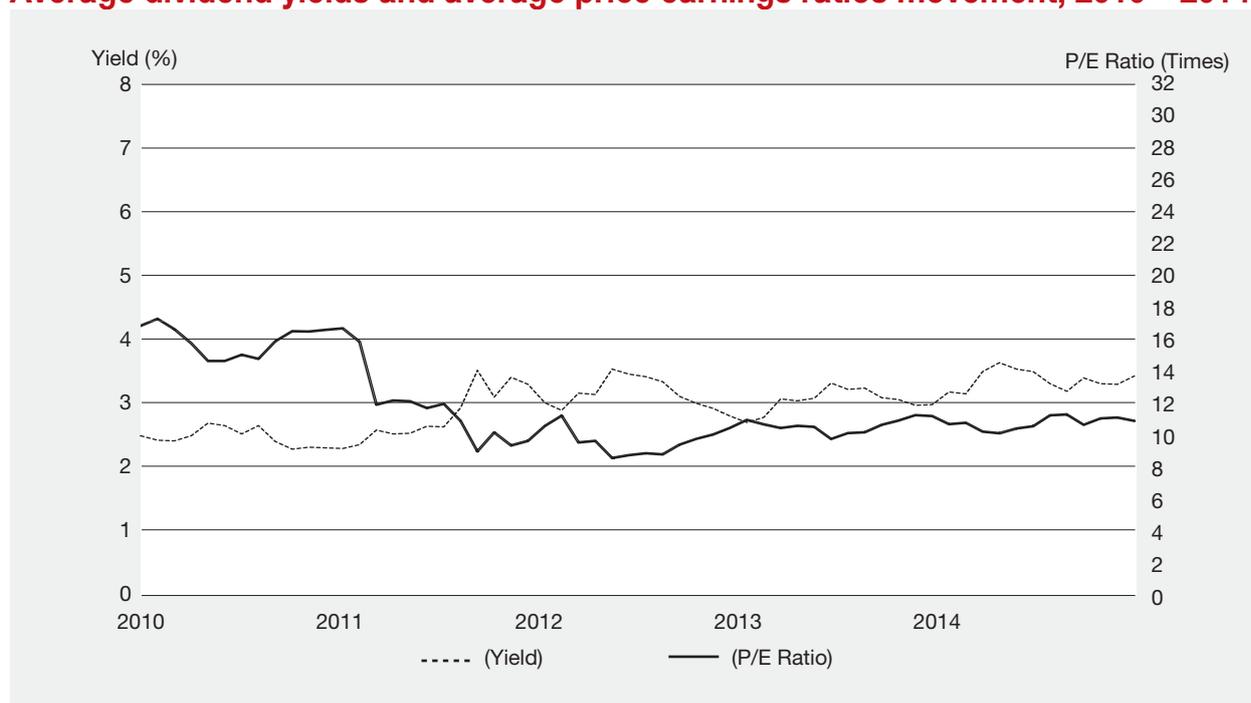
Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2010	128	597,873.58	17.33	4,700,842.42	38.29	5,210,324.73	24.88
2011	139	633,718.06	23.75	4,662,787.32	38.84	4,096,659.80	23.47
2012	148	569,310.59	29.07	3,681,421.40	38.77	4,890,925.94	22.36
2013	158	631,298.16	25.79	4,217,366.31	37.85	4,906,583.21	20.52
2014	179	662,386.88	20.16	4,398,535.08	35.27	5,723,993.53	22.99
2014 Jan	162	53,835.96	20.21	353,322.52	32.31	4,521,812.97	19.82
2014 Feb	162	46,027.44	18.84	289,352.41	30.90	4,543,390.64	19.32
2014 Mar	164	56,857.92	18.14	349,587.92	30.36	4,597,958.07	20.09
2014 Apr	164	52,719.73	22.58	331,237.35	33.00	4,467,544.20	19.66
2014 May	165	39,888.10	20.06	258,453.66	30.26	4,695,977.86	20.02
2014 Jun	171	43,124.34	20.79	269,174.07	34.34	4,797,913.45	20.18
2014 Jul	172	55,513.90	20.46	360,832.22	34.45	5,120,478.07	20.22
2014 Aug	172	44,894.57	16.30	306,224.38	30.22	5,014,257.32	19.43
2014 Sep	172	56,413.53	17.15	367,003.55	33.43	4,764,391.56	19.65
2014 Oct	173	50,548.18	17.83	321,951.59	32.73	5,003,113.55	19.90
2014 Nov	174	67,369.07	19.68	452,186.68	40.51	5,194,104.37	20.52
2014 Dec	179	95,194.14	29.67	739,208.74	53.20	5,723,993.53	22.99

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on red chips stocks, 2010 – 2014

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2010	97	252,722.57	7.32	1,928,712.11	15.71	4,380,687.29	20.92
2011	102	175,882.10	6.59	1,699,518.79	14.16	3,999,091.91	22.91
2012	103	156,923.72	8.01	1,459,847.85	15.37	4,835,257.67	20.22
2013	116	251,052.12	10.26	1,704,419.16	15.30	4,815,316.86	20.14
2014	128	281,836.64	8.58	1,897,810.54	15.22	5,214,967.56	20.95
2014 Jan	122	28,931.79	10.86	153,506.01	14.04	4,485,849.77	19.66
2014 Feb	123	25,033.81	10.25	142,932.58	15.26	4,555,957.41	19.38
2014 Mar	123	22,756.44	7.26	167,170.56	14.52	4,407,058.75	19.25
2014 Apr	123	19,173.18	8.21	146,955.49	14.64	4,502,966.81	19.82
2014 May	123	16,151.78	8.12	132,578.52	15.52	4,634,325.76	19.76
2014 Jun	124	18,149.15	8.75	113,308.11	14.45	4,679,164.55	19.68
2014 Jul	125	23,373.70	8.61	165,264.18	15.78	5,108,427.03	20.17
2014 Aug	125	25,016.33	9.08	190,160.67	18.76	5,744,062.78	22.26
2014 Sep	125	23,861.56	7.25	173,941.03	15.84	5,263,927.50	21.71
2014 Oct	127	27,754.74	9.79	165,912.17	16.87	5,483,955.77	21.81
2014 Nov	127	28,533.28	8.34	176,683.13	15.83	5,480,273.63	21.65
2014 Dec	128	23,100.88	7.20	169,398.09	12.19	5,214,967.56	20.95

Average dividend yields and average price earnings ratios movement, 2010 – 2014



Average dividend yields and average price earning ratios of HSI, HSCEI and HSCCI stocks*, 2010 – 2014

Year/Month	Average dividend yields (%)			Average price earning ratios (Times)		
	HSI	HSCEI	HSCCI	HSI	HSCEI	HSCCI
2010	2.65	2.23	1.74	17.06	16.90	19.63
2011	3.53	3.20	2.29	10.16	9.56	12.82
2012	3.18	3.29	2.10	10.86	9.58	12.93
2013	3.20	3.76	2.18	11.43	8.84	12.93
2014	3.60	3.73	2.66	11.01	8.93	11.23
2014 Jan	3.39	4.15	2.35	10.81	8.02	11.98
2014 Feb	3.36	4.11	2.37	10.98	8.09	11.89
2014 Mar	3.69	4.47	2.71	10.34	7.50	11.15
2014 Apr	3.69	4.60	2.71	10.33	7.28	11.12
2014 May	3.55	4.39	2.70	10.76	7.63	11.24
2014 Jun	3.55	4.26	2.62	10.78	7.78	11.49
2014 Jul	3.46	3.94	2.42	11.51	8.40	12.41
2014 Aug	3.46	4.00	2.37	11.53	8.28	12.66
2014 Sep	3.73	4.36	2.57	10.80	7.63	11.47
2014 Oct	3.56	4.18	2.49	11.30	7.96	11.82
2014 Nov	3.57	4.04	2.49	11.29	8.25	11.83
2014 Dec	3.60	3.73	2.66	11.01	8.93	11.23

* Month-end or year-end figures

Average dividend yields of all listed stocks by Hang Seng Industry Classification System*, 2010 – 2014

(%)

Year/Month	All listed stocks	Energy	Materials	Industrials	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2010	2.31	2.04	1.11	1.05	1.88	1.76	3.40	2.42	2.81	1.98	1.09	2.26
2011	3.31	3.25	2.44	3.31	2.36	3.36	3.42	2.59	3.98	3.23	1.64	3.69
2012	2.81	2.63	1.69	2.25	2.14	2.34	3.45	2.46	3.63	2.31	0.97	3.18
2013	2.99	3.56	1.85	2.11	2.01	1.87	3.93	2.25	4.13	3.05	1.02	2.70
2014	3.44	4.41	2.76	1.94	2.45	3.04	3.53	2.39	4.17	4.16	0.79	5.46
2014 Jan	3.19	3.97	1.85	2.20	2.10	2.44	4.28	2.29	4.43	3.19	0.94	2.92
Feb	3.16	3.76	1.85	2.13	2.03	2.47	4.32	2.16	4.46	3.26	0.88	2.81
Mar	3.51	3.87	2.12	2.34	2.19	2.94	4.30	2.48	4.75	3.35	1.02	6.45
Apr	3.65	3.74	2.16	2.50	2.27	3.07	4.08	2.48	4.78	4.06	1.10	6.32
May	3.55	3.63	2.08	2.46	2.32	3.03	3.99	2.41	4.57	3.95	1.03	6.37
Jun	3.51	3.52	1.82	2.39	2.29	3.00	3.99	2.33	4.63	4.04	0.96	6.14
Jul	3.32	3.45	1.61	2.24	2.18	2.93	3.52	2.44	4.39	3.63	0.92	6.04
Aug	3.20	3.21	1.73	1.99	2.14	2.65	3.08	2.42	4.41	3.69	0.70	4.99
Sep	3.41	3.65	1.75	2.01	2.20	2.96	3.33	2.53	4.62	4.03	0.74	5.42
Oct	3.32	3.86	1.81	1.94	2.27	2.75	3.13	2.38	4.49	3.99	0.70	5.23
Nov	3.31	4.16	1.72	1.94	2.35	2.77	3.15	2.38	4.37	3.91	0.72	5.22
Dec	3.44	4.41	2.76	1.94	2.45	3.04	3.53	2.39	4.17	4.16	0.79	5.46

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

Average price earnings ratios of all listed stocks by Hang Seng Industry Classification System*, 2010 – 2014

(Times)

Year/Month	All listed stocks	Energy	Materials	Industrials	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2010	16.67	21.01	21.93	28.30	23.31	24.37	13.00	18.73	18.28	9.56	33.42	12.02
2011	9.68	10.42	7.25	7.24	16.02	11.26	13.26	15.86	9.54	5.71	18.49	6.11
2012	10.50	10.42	9.35	9.38	15.81	16.00	13.15	18.73	10.15	7.04	12.98	5.68
2013	11.24	8.76	11.94	17.52	19.53	23.34	11.45	18.32	9.53	6.19	36.56	10.19
2014	10.94	8.32	13.92	17.05	16.79	15.53	12.91	18.30	9.12	7.00	28.74	9.17
2014 Jan	10.73	7.84	12.01	16.99	18.66	22.89	10.53	17.97	8.89	5.94	39.87	9.45
Feb	10.82	8.27	12.05	16.88	19.71	23.05	10.23	19.06	8.70	5.89	42.76	9.50
Mar	10.26	9.54	12.58	14.56	18.28	18.66	10.38	16.70	7.97	6.14	28.11	9.92
Apr	10.16	9.88	12.31	13.91	17.78	18.36	10.94	16.54	7.93	6.04	26.03	10.09
May	10.46	10.18	12.29	14.31	17.51	18.82	11.17	17.01	8.30	6.22	27.86	10.01
Jun	10.60	10.51	14.19	14.69	17.19	18.69	11.23	17.71	8.21	6.25	30.10	10.31
Jul	11.29	10.73	15.94	15.57	17.93	19.19	12.71	17.68	8.77	6.96	32.07	10.47
Aug	11.34	11.48	15.65	16.08	18.70	17.91	13.97	18.01	8.65	6.85	32.13	10.06
Sep	10.69	10.04	14.78	15.82	17.56	16.03	12.94	17.28	8.24	6.55	30.10	9.23
Oct	11.09	9.50	14.23	16.56	17.97	17.26	13.73	18.35	8.50	6.88	31.66	9.56
Nov	11.15	8.81	14.96	16.71	17.66	17.10	13.66	18.37	8.73	7.05	31.39	9.58
Dec	10.94	8.32	13.92	17.05	16.79	15.53	12.91	18.30	9.12	7.00	28.74	9.17

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

Cash dividend payout by Hang Seng Industry Classification System*, 2010 – 2014

Year/Month	No. of companies declared	No. of companies paid	Hang Seng Industry Classification System											Total dividend payout (HK\$mil)	
			Energy (HK\$mil)	Materials (HK\$mil)	Industrials (HK\$mil)	Consumer Goods (HK\$mil)	Consumer Services (HK\$mil)	Telecommunications (HK\$mil)	Utilities (HK\$mil)	Financials (HK\$mil)	Properties & Construction (HK\$mil)	Information Technology (HK\$mil)	Conglomerates (HK\$mil)		
2010	1,206	684	34,384.65	7,584.88	4,878.70	42,022.06	29,681.69	65,858.59	17,336.18	184,929.76	56,842.08	7,171.14	26,438.18	477,127.90	
2011	1,294	776	44,172.04	11,293.82	9,579.80	46,429.11	54,059.34	71,056.09	19,373.54	210,246.61	59,287.70	10,866.65	34,170.02	570,534.72	
2012	1,314	731	43,962.96	8,528.14	8,190.38	39,267.42	34,105.26	79,129.19	26,032.12	252,345.82	80,026.40	8,742.32	21,740.66	602,070.68	
2013	1,372	730	54,436.13	8,381.74	18,694.44	42,662.99	26,009.10	81,408.61	28,931.30	282,270.84	98,550.39	14,222.51	21,552.04	677,120.07	
2014	1,495	789	51,536.68	13,940.46	19,979.17	53,517.15	34,442.43	77,157.11	35,583.22	310,074.59	132,453.44	20,958.67	52,070.06	801,712.98	
2014	Jan	12	8	489.64	26.72	2,920.68	7.70	-	138.62	18.67	634.80	74.37	-	4,311.19	
	Feb	161	82	-	-	907.77	671.52	41.51	-	-	2,000.17	240.42	-	4,297.25	
	Mar	968	532	-	-	-	717.83	2.16	-	2,475.92	4,206.05	321.54	58.39	7,781.90	
	Apr	30	7	-	3.69	255.36	1,160.91	482.83	1,740.12	-	29,526.60	4,959.74	-	38,129.25	
	May	71	27	130.60	884.08	1,135.57	6,944.51	3,115.54	5,434.69	4,309.67	17,338.78	30,357.69	11,647.74	116,241.47	
	Jun	250	119	9,711.62	4,002.03	6,173.71	10,280.28	7,744.52	33,755.17	12,375.01	9,364.70	23,905.54	2,722.01	8,647.33	128,681.94
	Jul	55	25	18,868.11	2,231.18	5,238.71	3,941.11	11,723.97	2,218.10	2,722.97	78,944.19	25,982.44	2,235.57	1,109.15	155,215.48
	Aug	1,073	261	3,895.10	391.57	1,552.63	4,375.38	1,025.79	-	3,964.06	117,187.34	5,651.30	1,782.07	-	139,825.23
	Sep	66	18	7,372.75	490.47	1,606.67	10,113.76	3,112.74	33,254.52	5,314.07	12,437.09	14,680.67	1,019.72	2,965.95	92,368.40
	Oct	34	13	11,507.29	625.83	1,203.55	4,886.15	5,662.60	548.11	2,918.62	21,921.13	10,483.17	227.45	3,145.96	63,129.87
	Nov	293	98	-	25.08	1,822.22	388.91	597.00	70.42	-	2,125.58	8,990.03	-	-	14,019.25
	Dec	24	8	51.21	4,796.88	56.26	7,116.12	926.08	135.98	1,364.28	15,004.29	6,246.10	1,191.35	823.22	37,711.76

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

Short selling transactions by designated stock, 2014

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
01361	361 DEGREES	6,884,000	417,863,901	1.65	13,223,680	869,042,345	1.52
02018	AAC TECH	161,122,500	713,449,974	22.58	6,581,864,075	30,131,275,029	21.84
01288	ABC	2,567,537,935	36,125,762,740	7.11	9,123,280,356	126,780,695,711	7.20
03383	AGILE PROPERTY	688,306,000	3,325,004,435	20.70	3,926,979,840	17,921,391,132	21.91
08279	AGTECH HOLDINGS	40,052,000	2,227,899,568	1.80	66,277,640	3,432,207,416	1.93
01299	AIA	479,155,600	5,219,710,807	9.18	19,203,494,960	207,994,609,091	9.23
00753	AIR CHINA	276,596,000	2,473,297,395	11.18	1,357,196,980	12,701,769,649	10.69
00538	AJISEN (CHINA)	35,137,000	356,228,735	9.86	236,799,020	2,452,600,410	9.66
01060	ALI PICTURES	359,710,000	21,203,187,002	1.70	571,572,050	34,907,273,392	1.64
00373	ALLIED GROUP	4,000	5,938,829	0.07	132,000	197,464,119	0.07
00056	ALLIED PPT (HK)	972,000	169,418,144	0.57	1,341,540	242,361,516	0.55
02300	AMVIG HOLDINGS	1,366,000	188,048,764	0.73	4,112,060	581,721,759	0.71
00347	ANGANG STEEL	413,588,000	2,293,917,267	18.03	2,203,824,400	12,184,099,736	18.09
00914	ANHUI CONCH	782,250,840	3,346,919,703	23.37	22,308,848,118	94,980,092,030	23.49
00995	ANHUIEXPRESSWAY	10,240,000	317,876,388	3.22	47,462,360	1,452,947,881	3.27
02020	ANTA SPORTS	188,135,000	1,405,200,256	13.39	2,530,645,580	18,818,107,297	13.45
03337	ANTON OILFIELD	534,986,000	4,512,987,977	11.85	2,064,616,420	15,859,689,423	13.02
01149	ANXIN-CHINA	126,340,000	3,503,654,922	3.61	155,412,080	4,438,417,246	3.50
01045	APT SATELLITE	4,950,500	205,728,448	2.41	51,266,210	2,138,668,266	2.40
00743	ASIA CEMENT CH	4,056,500	161,663,754	2.51	21,800,635	874,347,716	2.49
00662	ASIA FINANCIAL	4,000	17,938,610	0.02	12,800	57,386,217	0.02
00129	ASIA STANDARD	48,000	111,870,686	0.04	95,820	219,826,883	0.04
00073	ASIAN CITRUS	7,639,000	485,917,606	1.57	9,363,230	752,505,307	1.24
00522	ASM PACIFIC	35,475,800	188,697,109	18.80	2,752,049,350	14,797,285,826	18.60
00232	AVIC INT'L	34,524,000	12,768,752,814	0.27	24,140,340	8,761,800,214	0.28
02357	AVICHINA	343,148,000	3,634,415,250	9.44	1,730,113,680	18,130,760,341	9.54
02100	BAIOO	2,406,000	2,060,543,800	0.12	1,644,740	2,685,176,779	0.06
00874	BAIYUNSHAN PH	28,648,000	394,634,498	7.26	722,706,900	10,161,005,676	7.11
03988	BANK OF CHINA	8,869,834,220	82,109,387,015	10.80	31,805,916,994	297,231,402,060	10.70
00023	BANK OF E ASIA	107,098,000	496,558,968	21.57	3,366,474,170	15,768,648,202	21.35
03328	BANKCOMM	974,671,632	7,446,261,897	13.09	5,355,438,846	42,069,350,811	12.73
01293	BAOXIN AUTO	63,229,000	442,195,023	14.30	376,991,750	2,634,217,472	14.31
02355	BAOYE GROUP	1,912,000	106,244,235	1.80	9,022,140	500,612,858	1.80
02009	BBMG	177,992,000	2,381,435,496	7.47	1,058,688,515	14,015,318,007	7.55
01963	BCQ	5,276,000	293,275,134	1.80	28,788,825	1,582,558,234	1.82
00694	BEIJING AIRPORT	101,642,000	989,089,730	10.28	583,664,460	5,643,989,229	10.34
00154	BEIJING DEV(HK)	3,877,000	1,347,335,504	0.29	10,658,680	3,711,585,180	0.29
00392	BEIJING ENT	37,942,500	331,749,237	11.44	2,554,443,575	22,332,894,885	11.44
00588	BEIJING N STAR	7,242,000	522,258,698	1.39	16,536,600	1,135,219,748	1.46
01880	BELLE INT'L	1,155,809,984	5,039,041,549	22.94	10,266,112,033	44,374,900,829	23.13
02299	BILLION IND	580,000	78,266,050	0.74	2,489,920	302,824,288	0.82
01112	BIOSTIME	99,509,000	464,483,718	21.42	3,672,679,168	16,748,340,483	21.93
02868	BJ CAPITAL LAND	17,772,000	576,210,151	3.08	49,308,600	1,639,816,552	3.01
00371	BJ ENT WATER	708,356,000	4,942,558,605	14.33	3,590,883,220	24,970,531,328	14.38
00925	BJ PROPERTIES	20,348,000	3,109,380,525	0.65	14,638,120	2,499,596,474	0.59
00963	BLOOMAGE BIOTEC	12,025,000	177,195,028	6.79	218,945,275	3,245,810,940	6.75
03145	BMO ASIA HIGHDV	4,000	419,200	0.95	32,200	3,383,324	0.95
02388	BOC HONG KONG	311,285,526	2,729,598,961	11.40	7,632,350,315	66,723,544,577	11.44
01685	BOER POWER	9,276,000	197,091,223	4.71	87,006,810	1,817,975,182	4.79
01190	BOLINA	34,598,000	987,464,997	3.50	110,982,080	3,104,058,798	3.58
00653	BONJOUR HOLD	36,492,000	1,215,999,845	3.00	45,742,240	1,503,412,693	3.04
02832	BOSERA FA50	52,445,700	473,851,600	11.07	234,708,129	2,152,167,334	10.91
82832	BOSERA FA50-R	18,053,000	186,296,000	9.69	80,973,265	846,714,252	9.56
03998	BOSIDENG	207,216,000	1,943,838,989	10.66	271,138,400	2,501,530,649	10.84
00434	BOYAA	4,588,000	1,104,450,722	0.42	42,298,580	12,054,201,525	0.35
00933	BRIGHTOIL	133,105,000	1,207,647,747	11.02	321,885,540	3,048,691,660	10.56
01114	BRILLIANCE CHI	411,516,000	2,543,293,232	16.18	5,218,292,540	33,136,255,128	15.75
00159	BROCKMAN MINING	1,096,000	224,830,814	0.49	458,260	83,432,375	0.55
01211	BYD COMPANY	218,520,000	1,651,210,033	13.23	9,933,256,025	66,720,448,351	14.89
00285	BYD ELECTRONIC	130,198,500	1,717,635,866	7.58	788,098,945	10,688,178,968	7.37
00940	C ANIMAL HEALTH	53,884,000	1,390,721,062	3.87	325,827,660	7,267,009,292	4.48
01224	C C LAND	11,229,000	552,002,833	2.03	18,005,270	839,754,096	2.14
03777	C FIBER OPTIC	110,270,000	4,929,325,260	2.24	258,345,920	10,672,224,683	2.42
00721	C FIN INT INV	1,430,000	2,839,331,018	0.05	949,000	1,956,191,674	0.05
00735	C P NEW ENERGY	92,400,000	6,838,493,379	1.35	54,068,900	4,070,320,055	1.33
01094	C P PROCUREMENT	187,076,000	12,303,216,775	1.52	62,373,204	4,522,963,016	1.38
01194	C PRECIOUSMETAL	290,384,000	3,608,730,164	8.05	262,841,580	3,188,353,846	8.24
00658	C TRANSMISSION	134,415,000	1,584,305,246	8.48	740,944,820	9,085,103,223	8.16
01028	C.BANNER	1,000	10,306,355	0.01	2,410	26,217,086	0.01
00043	C.P. POKPHAND	17,798,000	1,319,228,380	1.35	15,970,420	1,157,971,698	1.38
00341	CAFE DE CORAL H	5,030,000	87,220,615	5.77	131,020,400	2,237,168,025	5.86
03180	CAM CES A80	43,000	16,036,800	0.27	351,170	135,351,436	0.26
83180	CAM CES A80-R	12,000	13,099,698	0.09	95,463	106,504,388	0.09
03188	CAM CSI300	565,051,000	1,740,530,833	32.46	17,883,117,910	55,620,786,799	32.15
83188	CAM CSI300-R	71,698,600	217,000,724	33.04	2,237,454,232	6,911,841,696	32.37
03989	CAPITAL ENV	14,872,000	2,706,411,969	0.55	9,429,540	1,937,559,277	0.49
00996	CARNIVAL GROUP	48,890,000	4,313,873,611	1.13	56,440,200	3,467,491,329	1.63
00293	CATHAY PAC AIR	159,957,000	1,006,484,421	15.89	2,396,925,420	15,338,061,027	15.63
00939	CCB	4,776,287,000	61,333,909,638	7.79	26,815,927,980	346,303,486,786	7.74
00661	CDAYENONFER	6,638,000	1,642,890,675	0.40	1,090,870	264,794,038	0.41
06818	CEB BANK	187,596,000	3,261,199,472	5.75	689,208,170	12,193,183,569	5.65
00832	CENTRAL CHINA	401,000	270,374,842	0.15	717,830	538,882,178	0.13
06881	CGS	407,037,000	6,048,392,280	6.73	2,836,921,285	42,340,191,344	6.70
00633	CH ALL ACCESS	30,674,000	1,519,051,660	2.02	98,653,540	4,851,817,799	2.03
01259	CH CHILD CARE	42,773,000	923,795,752	4.63	103,560,250	2,142,715,686	4.83
00871	CH DREDG ENV	13,735,000	1,692,127,939	0.81	30,815,780	4,628,691,149	0.67

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
00476	CH DYNAMICS	63,450,000	6,595,987,943	0.96	60,260,900	6,478,947,776	0.93
01117	CH MODERN D	599,077,000	5,028,824,522	11.91	1,981,357,450	16,715,064,890	11.85
01146	CH OUTFITTERS	2,000	113,240,600	0.00	2,180	124,179,104	0.00
00081	CH OVS G OCEANS	180,194,000	1,205,545,585	14.95	908,273,640	6,077,461,747	14.94
01101	CH RONGSHENG	296,818,500	9,391,029,458	3.16	428,205,608	13,112,423,184	3.27
02600	CHALCO	1,014,572,000	4,034,858,923	25.15	3,280,961,200	12,990,342,753	25.26
02778	CHAMPION REIT	75,143,000	1,042,388,823	7.21	262,903,120	3,664,488,438	7.17
01006	CHANGSHOUHUA	12,524,000	168,367,478	7.44	97,296,100	1,327,873,449	7.33
00951	CHAOWEI POWER	35,030,000	308,805,960	11.34	147,653,200	1,248,657,462	11.82
00001	CHEUNG KONG	115,011,461	870,777,257	13.21	14,955,649,118	115,579,391,342	12.94
00025	CHEVALIER INT'L	4,000	8,602,688	0.05	50,200	109,960,321	0.05
00978	CHI MER LAND	74,490,000	2,631,585,372	2.83	88,826,600	3,253,405,330	2.73
00651	CHI O SHIPBLDG	995,000	13,699,311,657	0.01	265,250	4,123,128,387	0.01
00031	CHINA AEROSPACE	60,854,000	3,063,375,425	1.99	60,264,420	2,981,467,258	2.02
00606	CHINA AGRI	324,336,000	1,943,018,213	16.69	1,016,413,770	6,172,772,289	16.47
03883	CHINA AOYUAN	4,015,000	387,395,512	1.04	5,284,090	528,742,405	1.00
08158	CHINA BIO-MED	9,830,000	6,476,910,855	0.15	2,952,920	1,989,543,885	0.15
03983	CHINA BLUECHEM	279,460,000	2,034,956,714	13.73	909,697,760	7,055,970,558	12.89
01359	CHINA CINDA	1,240,434,000	16,748,453,155	7.41	5,133,774,260	70,682,129,748	7.26
06199	CHINA CNR	34,041,000	3,103,354,814	1.10	257,895,770	19,479,106,211	1.32
01898	CHINA COAL	1,508,900,464	6,567,263,354	22.98	6,636,374,867	28,942,562,310	22.93
01800	CHINA COMM CONS	612,995,000	6,005,116,565	10.21	3,861,452,620	39,001,267,782	9.90
01919	CHINA COSCO	482,655,000	2,963,043,675	16.29	1,644,963,725	10,297,388,736	15.97
03818	CHINA DONGXIANG	550,880,000	5,040,583,553	10.93	847,557,600	7,711,063,107	10.99
00670	CHINA EAST AIR	245,218,000	2,237,171,784	10.96	718,439,500	6,887,525,142	10.43
00257	CHINA EB INT'L	397,231,000	2,875,810,701	13.81	4,214,175,150	30,626,272,378	13.76
00165	CHINA EB LTD	70,574,000	1,131,485,986	6.24	945,569,640	16,275,774,825	5.81
00085	CHINA ELECTRON	3,242,000	1,626,227,345	0.20	6,400,300	3,220,239,582	0.20
00228	CHINA ENERGY	10,278,000	10,859,081,473	0.09	2,390,230	2,216,034,143	0.11
00506	CHINA FOODS	131,260,000	964,920,596	13.60	383,969,640	2,831,421,305	13.56
00384	CHINA GAS HOLD	178,678,000	2,210,496,897	8.08	2,345,707,920	29,417,093,999	7.97
01900	CHINA ITS	29,707,000	538,534,709	5.52	45,301,740	783,110,090	5.78
02128	CHINA LESSO	59,089,000	903,014,193	6.54	257,458,730	3,940,230,627	6.53
02628	CHINA LIFE	1,146,160,508	8,026,684,275	14.28	26,207,978,135	185,210,125,526	14.15
01234	CHINA LILANG	5,666,000	283,746,107	2.00	30,053,480	1,510,961,782	1.99
00931	CHINA LNG	88,505,000	21,782,452,867	0.41	121,924,025	27,641,711,752	0.44
00916	CHINA LONGYUAN	472,482,000	3,681,699,604	12.83	3,900,106,140	30,900,170,563	12.62
01371	CHINA LOTSYN	434,380,000	45,178,276,083	0.96	312,115,600	32,906,691,646	0.95
00144	CHINA MER HOLD	105,436,000	1,120,066,484	9.41	2,689,690,800	28,200,044,091	9.54
00133	CHINA MERCHANTS	646,000	68,332,095	0.95	8,247,220	839,739,443	0.98
00941	CHINA MOBILE	744,363,500	4,619,325,278	16.11	63,104,138,900	383,184,604,366	16.47
00026	CHINA MOTOR BUS	13,800	4,486,285	0.31	1,294,830	353,953,925	0.37
00603	CHINA OIL & GAS	117,620,000	2,011,394,637	5.85	151,185,000	2,650,972,751	5.70
02883	CHINA OILFIELD	433,020,882	3,227,619,611	13.42	7,628,244,807	58,988,979,906	12.93
00688	CHINA OVERSEAS	1,190,129,609	6,365,352,799	18.70	25,011,722,778	133,445,937,965	18.74
02380	CHINA POWER	591,233,000	3,658,496,469	16.16	1,949,367,830	11,590,982,489	16.82
01186	CHINA RAIL CONS	525,614,500	3,961,941,907	13.27	3,944,893,980	29,842,736,891	13.22
00390	CHINA RAILWAY	755,802,598	5,635,838,613	13.41	3,240,142,211	24,927,752,786	13.00
00769	CHINA RAREEARTH	32,606,000	954,031,998	3.42	35,135,960	1,044,243,673	3.36
01193	CHINA RES GAS	90,534,000	603,251,933	15.01	2,091,787,460	14,108,894,126	14.83
01109	CHINA RES LAND	342,576,000	3,117,409,034	10.99	5,935,098,300	53,617,774,764	11.07
00836	CHINA RES POWER	166,130,000	1,619,125,298	10.26	3,466,134,000	33,645,905,838	10.30
00291	CHINA RESOURCES	178,028,000	761,409,054	23.38	3,561,772,820	15,627,702,440	22.79
02198	CHINA SANJIANG	40,579,000	842,642,002	4.82	145,797,830	2,932,529,255	4.97
01966	CHINA SCE PPT	12,492,000	554,202,282	2.25	19,893,030	888,428,982	2.24
01088	CHINA SHENHUA	678,847,500	3,964,835,765	17.12	14,872,821,715	86,443,582,297	17.21
01138	CHINA SHIP DEV	368,534,000	3,118,558,944	11.82	1,876,662,680	15,665,304,614	11.98
01055	CHINA SOUTH AIR	314,182,000	3,928,271,763	8.00	876,197,940	11,304,512,247	7.75
03311	CHINA STATE CON	145,066,000	1,383,206,634	10.49	1,816,578,960	17,430,676,057	10.42
00956	CHINA SUNTIEN	243,026,000	2,543,280,861	9.56	631,514,240	6,724,512,459	9.39
00966	CHINA TAIPING	97,337,200	875,559,281	11.12	1,564,375,988	14,391,958,648	10.87
00728	CHINA TELECOM	2,203,348,496	14,666,205,031	15.02	9,380,397,915	60,943,984,152	15.39
00308	CHINA TRAVEL HK	88,144,000	2,999,664,410	2.94	193,614,600	6,375,958,298	3.04
00762	CHINA UNICOM	1,433,317,681	8,785,556,438	16.31	16,848,237,624	101,105,897,741	16.66
08156	CHINA VANGUARD	290,000	1,203,378,175	0.02	732,250	4,071,604,759	0.02
02202	CHINA VANKE	359,762,800	1,700,094,993	21.16	5,418,730,228	26,048,381,799	20.80
00855	CHINA WATER	79,174,000	1,002,489,966	7.90	276,963,460	3,138,823,422	8.82
00182	CHINA WINDPOWER	111,480,000	11,919,221,677	0.94	77,784,250	7,888,025,406	0.99
01333	CHINA ZHONGWANG	121,652,800	1,294,975,441	9.39	427,993,900	4,206,294,133	10.18
00552	CHINACOMSERVICE	195,384,000	2,301,162,336	8.49	737,826,340	8,689,181,915	8.49
02099	CHINAGOLDINTL	21,500	19,641,000	0.11	347,364	388,883,243	0.09
00512	CHINAGRANDPHARM	380,000	615,158,873	0.06	648,400	889,671,203	0.07
01378	CHINAHONGQIAO	160,719,500	1,389,499,258	11.57	945,548,550	8,003,569,971	11.81
03668	CHINALCO-CMC	6,000	255,338,932	0.00	5,400	245,207,771	0.00
01278	CHINANEWTON	6,722,500	876,213,145	0.77	3,345,706	452,829,198	0.74
01313	CHINARES CEMENT	265,714,000	2,852,960,130	9.31	1,431,021,040	15,347,648,449	9.32
00354	CHINASOFT INT'L	159,738,000	2,770,713,244	5.77	390,517,300	6,992,092,725	5.59
01668	CHINASOUTH CITY	652,240,000	11,789,437,706	5.53	2,518,700,300	46,123,311,428	5.46
00127	CHINESE EST H	1,113,000	43,357,685	2.57	24,227,520	946,049,022	2.56
01111	CHONG HING BANK	317,000	84,781,849	0.37	7,657,230	1,695,296,729	0.45
00116	CHOW SANG SANG	10,642,000	111,580,225	9.54	207,756,320	2,192,159,064	9.48
01929	CHOW TAI FOOK	338,500,800	1,421,663,626	23.81	3,790,274,386	16,191,162,364	23.41
00884	CIFI HOLD GP	26,142,000	1,034,823,328	2.53	39,932,960	1,607,551,067	2.48
03899	CIMC ENRIC	53,934,000	837,690,304	6.44	447,265,280	8,086,303,401	5.53
00267	CITIC	526,463,771	4,306,422,712	12.23	7,100,901,154	59,672,971,413	11.90
00998	CITIC BANK	2,326,976,050	11,963,920,243	19.45	11,357,265,446	57,749,930,886	19.67

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
01205	CITIC RESOURCES	80,692,000	1,571,040,770	5.14	97,783,820	1,937,128,816	5.05
06030	CITIC SEC	501,878,430	2,972,750,010	16.88	10,204,975,205	63,034,780,144	16.19
01883	CITIC TELECOM	35,558,000	864,234,739	4.11	99,639,670	2,468,029,289	4.04
00256	CITYCHAMP	10,000,000	1,080,257,620	0.93	9,927,420	1,025,006,124	0.97
01038	CKI HOLDINGS	32,956,000	316,691,634	10.41	1,762,914,450	16,677,510,751	10.57
00775	CKLIFE SCIENCES	8,464,000	1,819,365,234	0.47	6,854,860	1,465,562,120	0.47
00100	CLEAR MEDIA	314,000	44,387,641	0.71	2,322,640	334,192,480	0.70
00002	CLP HOLDINGS	100,346,300	596,638,010	16.82	6,232,725,435	37,625,372,431	16.57
03968	CM BANK	717,355,500	5,948,588,149	12.06	10,711,652,210	87,565,635,910	12.23
01829	CMEC	69,345,000	1,181,341,383	5.87	363,433,170	6,130,680,920	5.93
03993	CMOC	134,026,000	2,062,987,375	6.50	642,448,650	9,594,557,400	6.70
00867	CMS	101,100,000	1,358,215,258	7.44	1,077,154,950	14,760,040,808	7.30
03323	CNBM	888,710,000	5,799,088,208	15.32	6,514,713,960	43,235,457,497	15.07
00883	CNOOC	2,076,742,000	17,190,181,273	12.08	26,683,610,460	218,600,163,450	12.21
00207	COFCO LAND	13,992,000	574,321,707	2.44	25,554,320	1,090,707,183	2.34
00906	COFCO PACKAGING	6,797,000	170,797,502	3.98	42,182,850	1,038,693,859	4.06
01778	COLOUR LIFE	683,000	350,225,123	0.20	4,413,420	1,953,290,584	0.23
02342	COMBA	111,610,500	2,194,167,716	5.09	302,780,240	6,444,502,354	4.70
00712	COMTEC SOLAR	15,960,000	1,929,948,112	0.83	23,765,440	2,659,000,400	0.89
00586	CONCH VENTURE	20,298,500	403,892,215	5.03	348,652,940	7,433,120,229	4.69
01681	CONSUM PHARMA	626,000	398,560,508	0.16	3,818,830	2,398,684,642	0.16
00517	COSCO INTL HOLD	4,200,000	221,238,218	1.90	13,905,020	737,715,649	1.88
01199	COSCO PACIFIC	149,236,000	1,211,524,564	12.32	1,582,514,900	12,802,863,132	12.36
02298	COSMO LADY	1,387,000	613,219,416	0.23	7,276,110	2,433,799,945	0.30
02007	COUNTRY GARDEN	1,649,082,000	9,663,326,862	17.07	5,722,833,740	32,925,879,524	17.38
02601	CPIC	518,393,000	2,664,187,720	19.46	15,097,683,360	77,130,081,846	19.57
03618	CQRC BANK	242,271,000	2,602,330,773	9.31	886,909,780	9,659,424,672	9.18
00831	CR ASIA	6,000	46,460,072	0.01	30,860	248,038,423	0.01
08207	CREDIT CHINA	6,884,000	3,223,293,174	0.21	12,267,280	5,152,946,321	0.24
00032	CROSS-HAR(HOLD)	1,000	28,054,503	0.00	6,450	185,044,018	0.00
00269	CRTG	327,600,000	9,710,264,768	3.37	93,683,700	2,963,963,075	3.16
02866	CSCL	782,991,000	6,704,634,057	11.68	1,645,808,700	14,469,276,842	11.37
03008	C-SHS CSI 300	24,000	5,928,600	0.40	370,320	88,387,002	0.42
83008	C-SHS CSI 300-R	12,000	5,253,800	0.23	169,170	77,240,038	0.22
03132	C-SHS CSI HLC	122,200	693,121	17.63	2,118,136	12,120,590	17.48
83132	C-SHS CSI HLC-R	48,800	730,600	6.68	806,193	12,192,124	6.61
03107	C-SHS CSI STA	100,000	324,144	30.85	1,417,724	4,588,785	30.90
83107	C-SHS CSI STA-R	53,000	606,200	8.74	715,260	8,320,888	8.60
00497	CSI PROPERTIES	18,060,000	4,595,006,438	0.39	6,101,050	1,501,858,652	0.41
03199	CSOP 5YCGBOND	341,800	26,289,940	1.30	8,799,110	1,026,171,472	0.86
83199	CSOP 5YCGBOND-R	453,120	26,452,065	1.71	12,250,656	766,659,099	1.60
02822	CSOP A50 ETF	8,340,473,200	33,738,489,115	24.72	82,696,508,300	333,443,689,949	24.80
82822	CSOP A50 ETF-R	307,184,670	1,702,394,113	18.04	3,205,312,330	16,991,334,505	18.86
03137	CSOP CES A80	877,000	8,953,200	9.80	22,163,370	226,305,260	9.79
83137	CSOP CES A80-R	2,003,600	9,544,015	20.99	47,643,261	234,385,170	20.33
01093	CSPC PHARMA	407,010,000	5,514,603,550	7.38	2,742,678,720	36,220,569,993	7.57
01766	CSR	592,399,000	3,606,641,627	16.43	3,902,505,100	23,773,486,954	16.42
03898	CSR TIMES ELEC	35,150,500	626,798,239	5.61	948,443,575	16,963,959,391	5.59
01363	CTEG	63,558,000	2,401,293,070	2.65	409,123,020	13,234,569,414	3.09
00440	DAH SING	4,898,800	89,648,677	5.46	189,697,400	3,701,815,282	5.12
02356	DAH SING BANKING	34,781,200	314,341,108	11.06	442,027,128	4,047,278,668	10.92
02880	DALIAN PORT	111,746,000	2,385,362,784	4.68	296,305,020	6,014,585,474	4.93
00210	DAPHNE INT'L	138,424,000	1,409,918,132	9.82	495,129,540	5,065,646,307	9.77
00991	DATANG POWER	255,104,000	3,956,616,486	6.45	950,867,500	14,702,762,359	6.47
01798	DATANG RENEW	96,954,000	1,214,761,598	7.98	119,563,060	1,553,015,415	7.70
02348	DAWN RAYS PHARMA	1,356,000	426,722,879	0.32	9,714,160	2,585,223,111	0.38
03007	DBFXIC50	124,100	274,250	45.25	30,038,440	66,387,550	45.25
03055	DBMSCICHINA	2,500	96,100	2.60	230,600	9,325,674	2.47
02848	DBMSCIKOREA	6,100	1,168,700	0.52	2,907,150	574,085,945	0.51
03036	DBMSCITAIWAN	73,000	1,491,400	4.89	11,491,200	245,287,478	4.68
01828	DCH HOLDINGS	80,125,000	716,595,569	11.18	378,006,510	3,415,030,646	11.07
00861	DIGITAL CHINA	71,087,000	611,307,165	11.63	546,033,310	4,563,327,885	11.97
01072	DONGFANG ELEC	85,368,800	585,084,818	14.59	1,112,494,580	7,745,485,447	14.36
00489	DONGFENG GROUP	334,878,000	3,692,749,580	9.07	4,051,761,480	44,607,938,533	9.08
00895	DONGJIANG ENV	2,167,000	90,179,152	2.40	60,490,320	2,577,490,092	2.35
03386	DONGPENG HLDG	79,000	312,425,838	0.03	234,380	953,515,734	0.02
00189	DONGYUE GROUP	145,009,000	2,232,472,075	6.50	461,615,730	7,160,662,825	6.45
01330	DYNAGREEN ENV	2,412,000	1,424,638,972	0.17	11,372,520	6,118,735,974	0.19
06889	DYNAM JAPAN	13,528,800	403,636,619	3.35	320,093,262	9,901,836,025	3.23
03120	EFUND CES 120	128,700	2,078,900	6.19	1,549,790	24,845,390	6.24
83120	EFUND CES 120-R	97,000	1,622,123	5.98	1,144,596	19,202,737	5.96
02808	EFUND CG BOND	56,320	4,025,890	1.40	740,312	66,182,943	1.12
82808	EFUND CG BOND-R	7,100	4,581,080	0.15	89,864	60,235,424	0.15
03100	EFUND CSI100	7,045,000	65,975,500	10.68	189,186,990	1,814,172,315	10.43
83100	EFUND CSI100-R	928,800	57,191,541	1.62	25,155,053	1,602,794,283	1.57
00296	EMPEROR E HOTEL	5,790,000	432,720,942	1.34	17,153,250	1,355,249,108	1.27
00163	EMPEROR INT'L	17,878,000	483,543,537	3.70	33,305,840	897,873,396	3.71
00887	EMPEROR WATCH&J	56,840,000	2,321,851,228	2.45	28,872,250	1,139,427,569	2.53
02688	ENN ENERGY	78,150,000	654,893,485	11.93	4,103,134,100	33,884,037,303	12.11
00330	ESPRIT HOLDINGS	184,376,000	949,026,840	19.43	2,173,436,752	11,724,829,874	18.54
02830	ETFS GOLD	2,768	51,568	5.37	2,606,854	49,056,078	5.31
03119	ETFS PLATINUM	846	16,126	5.25	872,012	16,610,475	5.25
03117	ETFS SILVER	93,680	713,650	13.13	13,739,947	101,420,632	13.55
00838	EVA HOLDINGS	11,346,000	1,470,365,961	0.77	21,725,820	2,428,512,816	0.89
00202	EVERCHINA INT'L	175,000	1,694,163,467	0.01	73,550	700,010,641	0.01
03333	EVERGRANDE	1,602,328,000	10,034,347,878	15.97	5,233,121,375	33,134,002,027	15.79

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
00052	FAIRWOOD HOLD	327,500	22,843,884	1.43	5,541,560	381,884,182	1.45
01777	FANTASIA	87,297,000	1,915,067,717	4.56	82,874,505	1,884,342,993	4.40
00830	FAR EAST GLOBAL	9,710,000	727,210,980	1.34	17,667,500	1,283,272,798	1.38
06288	FAST RETAIL-DRS	3,800,100	14,964,012	25.39	111,412,980	417,271,242	26.70
00729	FDG EVEHICLES	217,520,000	21,150,206,266	1.03	111,953,700	11,746,133,796	0.95
00035	FE CONSORT INTL	4,544,000	252,780,569	1.80	12,990,770	719,235,594	1.81
03360	FE HORIZON	98,234,000	974,986,878	10.08	629,289,130	6,225,061,846	10.11
02038	FIH	243,647,000	2,689,393,057	9.06	1,036,858,030	11,520,868,616	9.00
08018	FINSOFT CORP	10,000	1,948,958,000	0.00	19,100	2,483,852,710	0.00
08123	FIRST CHINA NET	1,092,000	4,898,684,667	0.02	570,720	2,122,237,795	0.03
00142	FIRST PACIFIC	106,472,000	886,612,985	12.01	881,469,640	7,326,932,399	12.03
00038	FIRST TRACTOR	119,524,000	1,258,034,881	9.50	653,068,000	6,932,324,080	9.42
00484	FORGAME	1,671,000	155,377,030	1.08	54,013,445	5,798,786,561	0.93
00778	FORTUNE REIT	44,745,000	464,053,512	9.64	305,111,570	3,130,972,468	9.74
00656	FOSUN INTL	261,268,000	1,745,200,497	14.97	2,445,332,535	16,454,937,504	14.86
02196	FOSUN PHARMA	97,352,000	695,814,113	13.99	2,628,765,800	18,592,230,379	14.14
00817	FRANSHION PPT	301,444,000	2,155,506,963	13.98	699,039,920	4,870,818,678	14.35
06888	FREETECH	3,169,000	645,635,964	0.49	9,150,880	1,555,569,944	0.59
01448	FU SHOU YUAN	8,907,000	873,007,696	1.02	35,866,960	4,016,954,860	0.89
01349	FUDANZHANGJIANG	1,715,000	188,362,187	0.91	12,118,950	1,299,482,294	0.93
00546	FUFENG GROUP	75,231,000	838,558,020	8.97	254,325,410	2,789,969,449	9.12
00703	FUTURE BRIGHT	16,686,000	703,606,421	2.37	53,776,260	2,734,104,578	1.97
01030	FUTURE LAND	6,562,000	849,252,449	0.77	5,200,460	621,220,131	0.84
02238	GAC GROUP	338,240,000	2,035,883,150	16.61	2,622,466,340	16,046,806,114	16.34
00027	GALAXY ENT	646,438,296	3,486,140,380	18.54	38,253,880,972	210,552,005,099	18.17
00468	GAPACK	63,587,000	699,546,689	9.09	282,159,620	3,205,122,435	8.80
03800	GCL-POLY ENERGY	2,702,326,000	30,678,050,036	8.81	6,903,445,810	78,417,016,006	8.80
00124	GD LAND	1,692,000	360,899,231	0.47	2,549,400	598,284,485	0.43
00175	GEELY AUTO	1,980,555,000	11,462,986,777	17.28	5,927,038,550	34,639,477,866	17.11
00535	GEMDALE PPT	15,102,000	3,408,367,085	0.44	7,110,440	1,807,743,116	0.39
00678	GENTING HK	1,494,000	263,201,791	0.57	4,642,470	816,829,188	0.57
00709	GIORDANO INT'L	109,160,000	642,257,190	17.00	523,814,440	3,064,205,665	17.09
00809	GLOBAL BIO-CHEM	18,540,000	1,699,701,619	1.09	7,166,692	572,609,844	1.25
00787	GLOBAL BRANDS	182,022,000	6,460,040,728	2.82	311,130,660	11,721,663,624	2.65
00845	GLORIOUS PPT H	38,215,000	1,113,742,257	3.43	59,980,200	1,383,887,912	4.33
03308	GOLDEN EAGLE	261,948,000	900,783,899	29.08	2,663,627,490	9,056,401,570	29.41
00801	GOLDEN MEDITECH	45,344,000	2,143,615,881	2.12	41,971,920	1,953,253,397	2.15
00530	GOLDIN FIN HOLD	18,470,000	752,843,943	2.45	110,315,100	2,930,438,993	3.76
00283	GOLDIN PPT	21,692,000	587,221,993	3.69	94,450,500	2,433,300,558	3.88
00533	GOLDLION HOLD	663,000	71,064,562	0.93	2,183,070	241,429,428	0.90
03315	GOLDPAC GROUP	18,018,000	551,910,351	3.26	120,270,340	4,257,208,746	2.83
02208	GOLDWIND	93,604,600	1,033,809,481	9.05	1,009,699,640	10,899,961,528	9.26
00493	GOME	1,774,865,000	20,011,414,874	8.87	2,298,544,210	25,910,456,386	8.87
01086	GOODBABY INTL	113,991,000	841,446,823	13.55	436,593,200	3,219,519,935	13.56
00041	GREAT EAGLE H	8,527,000	103,189,604	8.26	229,958,600	2,787,299,266	8.25
02333	GREATWALL MOTOR	433,384,266	2,567,772,055	16.88	14,761,277,111	87,410,094,895	16.89
00337	GREENLAND HK	10,284,000	452,434,415	2.27	33,436,130	1,675,439,799	2.00
03900	GREENTOWN CHINA	256,987,500	1,931,065,150	13.31	2,151,160,195	16,148,227,447	13.32
01051	G-RESOURCES	643,953,000	9,595,168,136	6.71	132,773,841	1,949,549,902	6.81
00270	GUANGDONG INV	309,678,000	1,883,816,664	16.44	2,641,431,860	16,666,610,398	15.85
00525	GUANGSHEN RAIL	72,106,000	1,331,593,540	5.42	237,049,480	4,336,906,113	5.47
00317	GUANGZHOU SHIP	22,732,000	442,343,561	5.14	458,868,560	9,082,827,705	5.05
00053	GUOCO GROUP	73,000	6,740,794	1.08	6,919,850	634,738,434	1.09
01296	GUODIAN TECH	103,894,000	1,743,186,106	5.96	214,836,710	3,460,797,522	6.21
02329	GUORUI PPT	13,000	153,080,200	0.01	34,580	399,902,223	0.01
01788	GUOTAI JUNAN I	84,147,000	2,485,637,122	3.39	441,961,700	13,008,030,728	3.40
00044	HAECO	115,600	4,899,733	2.36	10,383,500	443,437,383	2.34
02255	HAICHANG HLDG	5,024,000	1,571,686,951	0.32	6,658,580	2,423,317,141	0.27
01169	HAIER ELEC	106,228,000	1,236,463,790	8.59	2,211,231,010	26,039,995,201	8.49
01882	HAITIAN INT'L	63,150,000	385,676,590	16.37	1,070,818,330	6,385,878,253	16.77
00665	HAITONG INT'L	48,412,000	1,147,619,617	4.22	230,692,120	5,309,201,486	4.35
06837	HAITONG SEC	375,680,000	4,554,354,962	8.25	5,445,336,500	66,558,498,789	8.18
00566	HANERGY TFP	1,227,676,000	27,974,783,508	4.39	1,596,503,080	37,820,950,274	4.22
00010	HANG LUNG GROUP	17,718,000	135,415,959	13.08	690,835,300	5,406,454,970	12.78
00101	HANG LUNG PPT	166,705,000	1,104,069,323	15.10	3,823,481,010	25,453,783,275	15.02
00011	HANG SENG BANK	44,687,242	268,543,133	16.64	5,610,526,883	34,026,382,647	16.49
00911	HANGFAT GINSENG	104,000	2,122,084,120	0.00	183,380	3,728,357,627	0.00
06138	HARBIN BANK	90,000	415,238,800	0.02	261,660	1,200,883,229	0.02
01133	HARBIN ELECTRIC	53,288,000	520,472,571	10.24	249,367,960	2,491,142,080	10.01
00051	HARBOUR CENTRE	9,000	4,835,141	0.19	120,880	65,978,600	0.18
03836	HARMONY AUTO	1,931,500	459,898,413	0.42	9,758,065	2,162,747,093	0.45
02280	HC INTL	29,856,000	223,623,422	13.35	250,559,500	1,929,423,935	12.99
08292	HC INTL	26,280,000	504,408,768	5.21	429,212,280	8,419,868,880	5.10
00012	HENDERSON LAND	141,418,000	793,149,004	17.83	6,920,061,200	38,780,838,812	17.84
01044	HENGAN INT'L	65,607,902	477,073,577	13.75	5,366,570,994	38,992,977,683	13.76
03389	HENGDELI	110,428,000	1,135,328,557	9.73	164,699,960	1,656,204,660	9.94
00412	HERITAGE INT'L	850,000	376,852,508	0.23	1,797,900	553,586,567	0.32
03118	HGI MSCI CN A	4,537,900	58,683,210	7.73	45,145,001	625,118,027	7.22
83118	HGI MSCI CN A-R	5,119,900	60,292,304	8.49	50,549,780	614,434,602	8.23
03136	HGI MSCIA50	44,000	5,656,000	0.78	358,990	53,336,814	0.67
83136	HGI MSCIA50-R	12,000	3,536,400	0.34	97,713	30,970,421	0.32
00818	HI SUN TECH	58,797,000	1,713,409,654	3.43	127,105,260	3,986,439,992	3.19
01393	HIDILI INDUSTRY	16,278,000	546,895,638	2.98	16,173,110	512,970,774	3.15
01623	HILONG	274,621,000	1,789,744,502	15.34	1,026,984,860	6,902,119,251	14.88
00003	HK & CHINA GAS	382,885,000	2,072,371,580	18.48	6,601,577,340	35,855,534,439	18.41
00655	HK CHINESE LTD	1,592,000	339,978,583	0.47	2,985,180	637,316,003	0.47

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
00050	HK FERRY (HOLD)	41,000	21,149,394	0.19	333,190	177,469,132	0.19
00045	HK&S HOTELS	2,990,500	125,001,565	2.39	33,349,050	1,414,549,781	2.36
00190	HKC (HOLDINGS)	12,935,000	1,266,401,573	1.02	2,824,191	283,420,389	1.00
02638	HKELECTRIC-SS	71,703,500	2,799,990,689	2.56	364,110,440	14,644,421,104	2.49
00388	HKEX	181,255,803	1,522,499,077	11.91	28,470,122,929	243,148,764,649	11.71
00480	HKR INT'L	5,957,600	119,534,740	4.98	19,441,024	418,276,886	4.65
06823	HKT-SS	183,239,000	1,636,797,892	11.19	1,583,967,820	14,523,355,839	10.91
00958	HN RENEWABLES	709,806,000	6,084,832,489	11.67	1,955,438,220	17,136,799,411	11.41
02626	HNC	101,432,000	1,824,886,360	5.56	256,560,160	5,394,113,080	4.76
00160	HON KWOK LAND	104,000	67,810,219	0.15	276,800	183,633,929	0.15
00196	HONGHUA GROUP	281,548,000	2,950,083,710	9.54	504,287,960	5,581,509,301	9.03
00054	HOPEWELL HOLD	40,932,500	264,817,769	15.46	1,104,499,175	7,164,911,784	15.42
00737	HOPEWELL INFR	21,342,000	447,338,833	4.77	79,911,055	1,688,641,960	4.73
00754	HOPSON DEV HOLD	38,580,000	185,767,371	20.77	291,420,680	1,402,872,843	20.77
03076	HORIZONS A EN	4,000	95,000	4.21	65,920	1,617,760	4.07
03064	HORIZONS A FIN	5,000	129,000	3.88	134,100	3,136,050	4.28
03075	HORIZONS A IND	1,000	284,500	0.35	20,750	6,151,925	0.34
03066	HORIZONS A IT	17,500	277,500	6.31	510,950	8,178,150	6.25
03054	HORIZONS EMACON	28,000	588,328	4.76	584,620	12,061,067	4.85
03056	HORIZONS GL CON	30,000	466,500	6.43	857,000	13,527,950	6.34
03110	HORIZONS HS HDY	315,100	1,314,700	23.97	6,397,833	26,985,433	23.71
02835	HORIZONS KS200	14,500	691,500	2.10	284,630	13,445,930	2.12
03040	HORIZONS MSCICH	139,000	2,771,600	5.02	3,022,285	60,201,125	5.02
02200	HOSA INT'L	1,202,000	178,068,683	0.68	3,633,580	494,834,789	0.73
03128	HS AINDTOPETF	16,400	2,573,400	0.64	457,830	79,744,590	0.57
83128	HS AINDTOPETF-R	800	5,872,800	0.01	20,666	180,657,343	0.01
02838	HS FC150 ETF	100,300	449,049	22.34	17,182,590	75,916,411	22.63
02828	HS H-SHARE ETF	105,105,000	575,493,608	18.26	11,258,026,130	61,296,345,900	18.37
02833	HS HSI ETF	196,400	8,947,263	2.20	47,936,360	2,125,678,811	2.26
83168	HS RMB GOLD ETF	37,500	616,300	6.08	1,145,376	18,724,190	6.12
00005	HSBC HOLDINGS	397,111,600	3,532,272,281	11.24	31,521,128,760	283,177,726,301	11.13
00404	HSIN CHONG CONS	17,710,000	832,965,142	2.13	18,697,020	897,159,318	2.08
02811	HT CSI300 ETF	40,060,200	451,253,600	8.88	459,639,978	5,010,127,874	9.17
82811	HT CSI300 ETF-R	13,816,400	121,349,800	11.39	151,586,442	1,296,417,726	11.69
00587	HUA HAN	109,696,000	4,120,228,588	2.66	244,653,560	9,078,464,062	2.69
00336	HUABAO INTL	70,861,000	1,475,423,564	4.80	347,086,810	7,296,818,295	4.76
00816	HUADIAN FUXIN	259,730,000	4,125,950,739	6.30	1,023,422,080	16,100,444,481	6.36
01071	HUADIAN POWER	417,750,000	3,635,976,770	11.49	2,271,295,180	18,010,743,002	12.61
00377	HUAJUN HOLD	1,660,000	5,121,321,300	0.03	647,220	2,779,595,006	0.02
00902	HUANENG POWER	1,470,110,158	6,259,476,771	23.49	12,766,915,165	53,016,798,837	24.08
87001	HUI XIAN REIT	1,060,000	847,451,005	0.13	4,434,891	3,632,906,579	0.12
06863	HUIZHAN DAIRY	1,343,450,000	10,877,394,566	12.35	2,483,427,030	21,675,474,749	11.46
03698	HUIZHANG BANK	74,563,000	1,448,469,293	5.15	258,788,350	4,993,929,148	5.18
00715	HUTCH HARB RING	96,100,000	4,556,955,361	2.11	69,847,340	3,404,761,813	2.05
00013	HUTCHISON	154,445,000	1,470,062,982	10.51	15,757,817,350	149,200,908,330	10.56
00215	HUTCHTEL HK	147,766,000	1,372,558,907	10.77	446,626,500	4,113,170,509	10.86
01396	HYDOO INTL	28,230,000	2,121,969,786	1.33	53,787,020	5,082,679,662	1.06
00014	HYSAN DEV	39,757,000	287,189,952	13.84	1,404,505,650	10,100,402,987	13.91
03127	HZ CSI300	206,200	3,031,100	6.80	2,151,164	31,844,620	6.76
83127	HZ CSI300-R	3,500	334,800	1.05	40,274	3,536,437	1.14
00999	I.T	100,000	325,933,861	0.03	232,260	775,649,221	0.03
01398	ICBC	6,332,315,158	65,984,832,328	9.60	32,036,382,641	329,808,626,528	9.71
08002	IGG	25,023,000	2,922,149,304	0.86	109,518,790	19,238,461,179	0.57
00585	IMAGI INT'L	15,440,000	20,308,762,884	0.08	3,199,480	3,492,742,153	0.09
01076	IMPERIAL PAC	8,540,000	3,007,169,025	0.28	13,869,200	4,064,944,065	0.34
08083	INNOVATIONPAY	45,144,000	21,389,701,722	0.21	34,515,040	14,486,198,512	0.24
01833	INTIME	297,387,000	1,806,289,111	16.46	2,260,989,055	13,836,152,485	16.34
01009	INT'L ENT	2,020,000	454,784,110	0.44	10,543,460	2,637,952,544	0.40
02801	ISHARES CHINA	290,200	60,359,536	0.48	5,473,868	1,203,970,454	0.45
02836	ISHARES INDIA	203,400	67,439,028	0.30	3,687,184	1,220,917,591	0.30
00199	ITC PROPERTIES	39,000	81,249,426	0.05	159,180	304,979,230	0.05
00923	IWS	14,352,000	6,037,770,995	0.24	5,091,280	2,989,520,919	0.17
01366	JIANGNAN GP	61,888,000	2,879,067,137	2.15	104,218,800	4,896,945,318	2.13
00177	JIANGSU EXPRESS	151,870,000	860,500,404	17.65	1,386,596,920	7,759,138,432	17.87
00358	JIANGXI COPPER	326,443,000	2,072,480,251	15.75	4,347,811,000	27,823,121,691	15.63
02362	JINCHUAN INTL	1,133,000	208,558,356	0.54	959,470	174,601,604	0.55
01862	JINGRUI HLDGS	29,000	26,251,893	0.11	100,980	96,440,778	0.10
00350	JINGWEI TEXTILE	1,714,000	356,005,474	0.48	15,020,940	2,772,602,024	0.54
02006	JINJIANG HOTELS	33,594,000	900,803,656	3.73	85,103,180	2,249,136,805	3.78
06139	JINMAO INV-SS	193,500	83,632,392	0.23	1,085,780	460,369,617	0.24
02211	JINTIAN PHARM	51,230,000	2,802,788,678	1.83	151,114,540	8,014,749,758	1.89
00419	JIUHAO HEALTH	11,960,000	4,114,695,706	0.29	7,167,150	2,152,122,870	0.33
00579	JNCEC	230,878,000	3,694,082,168	6.25	829,906,100	14,134,649,685	5.87
00179	JOHNSON ELEC H	74,803,000	547,759,953	13.66	688,758,222	5,273,545,904	13.06
03336	JU TENG INTL	90,294,000	1,056,965,167	8.54	434,021,520	5,352,657,020	8.11
00173	K. WAH INT'L	129,349,000	827,761,823	15.63	718,885,640	4,596,011,622	15.64
01215	KAI YUAN HLDGS	60,000	1,476,152,744	0.00	8,640	213,352,687	0.00
01638	KAISA GROUP	296,368,000	2,476,109,129	11.97	791,359,790	6,271,887,078	12.62
06136	KANGDA ENV	20,285,000	2,235,947,766	0.91	70,576,840	7,424,426,415	0.95
01888	KB LAMINATES	12,311,000	485,501,326	2.54	38,081,210	1,512,937,995	2.52
00636	KERRY LOG NET	23,334,000	946,406,552	2.47	291,594,140	12,009,199,031	2.43
00683	KERRY PPT	52,122,000	459,834,294	11.33	1,367,478,150	12,266,991,718	11.15
00148	KINGBOARD CHEM	24,748,000	343,027,232	7.21	390,507,880	5,421,257,175	7.20
00268	KINGDEE INT'L	444,294,000	6,139,394,695	7.24	1,182,316,460	17,131,117,566	6.90
03888	KINGSOFT	340,587,000	3,337,196,272	10.21	8,009,450,550	81,049,433,094	9.88
01031	KINGSTON FIN	16,930,000	1,675,929,850	1.01	16,004,080	1,530,096,875	1.05

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
01195	KINGWELL GROUP	7,516,000	4,545,656,503	0.17	5,713,780	4,213,785,997	0.14
00034	KOWLOON DEV	5,890,000	118,014,879	4.99	54,614,440	1,095,354,604	4.99
00439	KUANGCHI	5,851,000	3,091,388,606	0.19	30,072,440	9,629,923,857	0.31
00135	KUNLUN ENERGY	612,134,034	3,964,538,771	15.44	7,247,497,833	44,338,669,046	16.35
01813	KWG PROPERTY	190,344,500	1,802,924,725	10.56	953,810,895	9,190,594,838	10.38
01262	LABIXIAOXIN	46,843,000	1,245,624,026	3.76	123,822,750	3,315,924,588	3.73
00488	LAI SUN DEV	45,286,000	4,372,243,441	1.04	8,691,838	882,612,245	0.98
01270	LANGHAM-SS	13,956,500	442,952,543	3.15	50,571,575	1,599,732,842	3.16
00738	LE SAUNDA	254,000	177,411,653	0.14	859,000	649,620,899	0.13
02314	LEE & MAN PAPER	232,655,000	1,312,228,080	17.73	1,020,160,700	5,775,412,557	17.66
00950	LEE'S PHARM	235,500	52,007,370	0.45	2,705,300	505,043,091	0.54
00992	LENOVO GROUP	1,861,716,000	11,507,409,264	16.18	18,416,153,140	113,475,989,899	16.23
00494	LI & FUNG	745,291,785	4,932,616,164	15.11	7,588,143,343	50,368,706,037	15.07
02331	LI NING	281,960,000	1,316,237,647	21.42	1,422,292,545	6,634,831,937	21.44
00980	LIANHUA	44,850,000	366,126,790	12.25	203,301,050	1,625,290,674	12.51
01212	LIFESTYLE INT'L	27,337,500	348,210,797	7.85	402,201,210	5,142,072,750	7.82
01302	LIFETECH SCI	534,000	115,915,319	0.46	6,093,360	1,209,647,581	0.50
02005	LIJUN INT'L	56,516,000	2,836,562,828	1.99	196,248,780	9,110,070,476	2.15
00823	LINK REIT	132,718,000	1,150,026,372	11.54	5,757,662,700	49,565,382,290	11.62
02824	LIPPO HK&M PROP	15,700	280,100	5.61	627,465	10,835,805	5.79
00194	LIU CHONG HING	4,080,000	109,387,954	3.73	52,022,740	1,420,011,723	3.66
01513	LIVZON PHARMA	335,700	55,129,569	0.61	18,128,885	2,830,561,728	0.64
00973	L'OCCITANE	38,837,500	312,982,240	12.41	680,686,807	5,559,801,546	12.24
03380	LOGAN PPT	1,932,000	579,888,022	0.33	4,642,840	1,383,011,554	0.34
00960	LONGFOR PPT	173,894,500	841,667,163	20.66	1,756,673,450	8,544,988,366	20.56
03339	LONKING	156,789,000	2,104,428,790	7.45	233,392,880	3,123,719,173	7.47
00577	LOUIS XIII H	19,883,500	313,395,061	6.34	158,380,410	2,086,053,067	7.59
00590	LUK FOOK HOLD	61,453,000	434,408,782	14.15	1,536,014,040	10,638,987,400	14.44
00067	LUMENA NEWMAT	42,966,000	1,057,875,996	4.06	59,299,400	1,508,209,845	3.93
00348	LUNG CHEONG	116,760,000	4,001,680,257	2.92	117,379,380	4,118,462,512	2.85
08058	LUOXIN PHARMA	1,756,000	126,700,679	1.39	24,833,840	1,484,156,749	1.67
00095	LVGEM CHINA	24,000	1,090,448,324	0.00	54,080	2,103,567,487	0.00
00323	MAANSHAN IRON	280,896,000	3,792,609,850	7.41	531,245,900	7,462,637,212	7.12
01680	MACAU LEGEND	201,961,000	2,803,351,292	7.20	1,093,284,230	17,164,560,220	6.37
01633	MAGIC HOLDINGS	1,278,000	83,060,615	1.54	7,983,700	517,885,044	1.54
01999	MAN WAH HLDGS	20,986,000	375,482,528	5.59	263,616,160	4,745,889,975	5.55
00848	MAOYE INT'L	29,342,000	704,158,582	4.17	36,346,570	868,495,659	4.19
01618	MCC	99,211,000	3,344,815,718	2.97	194,886,640	6,681,050,988	2.92
06883	MELCO CROWN	377,700	13,818,022	2.73	32,734,005	1,202,228,997	2.72
00200	MELCO INT'L DEV	141,325,000	989,632,834	14.28	3,020,904,970	23,471,691,695	12.87
08198	MELCLOT	2,784,000	1,636,313,350	0.17	3,203,280	1,875,842,490	0.17
02319	MENGNU DAIRY	249,231,956	1,472,414,528	16.93	8,948,879,882	53,525,656,025	16.72
02282	MGM CHINA	173,251,076	1,466,456,760	11.81	4,468,065,048	38,840,598,603	11.50
00853	MICROPORT	39,866,000	499,886,792	7.98	182,069,380	2,291,375,098	7.95
01200	MIDLAND HOLDING	53,218,000	457,214,713	11.64	200,590,800	1,822,271,151	11.01
01555	MIE HOLDINGS	16,080,000	670,335,208	2.40	20,798,480	825,268,339	2.52
00846	MINGFA GROUP	13,789,000	312,189,434	4.42	29,062,410	660,653,112	4.40
00230	MINMETALS LAND	4,792,000	563,201,749	0.85	4,417,320	526,512,565	0.84
01988	MINSHENG BANK	1,886,234,451	12,749,569,670	14.79	14,772,450,314	101,152,719,057	14.60
00425	MINTH GROUP	21,344,000	343,828,198	6.21	312,745,560	4,796,592,002	6.52
00071	MIRAMAR HOTEL	1,000	10,702,340	0.01	9,840	104,744,668	0.01
01208	MMG	113,600,000	1,415,823,505	8.02	249,758,120	3,068,969,064	8.14
00975	MONGOL MINING	37,950,500	2,002,075,865	1.90	27,633,068	1,342,170,237	2.06
00066	MTR CORPORATION	57,598,000	551,300,253	10.45	1,705,126,600	16,424,560,569	10.38
03918	NAGACORP	48,876,000	1,180,993,573	4.14	309,767,220	8,153,670,524	3.80
01236	NATIONAL AGRI	2,942,000	995,903,197	0.30	8,327,960	3,012,411,125	0.28
01336	NCI	106,933,300	1,200,101,382	8.91	3,064,188,200	34,621,628,430	8.85
02689	ND PAPER	365,783,000	1,907,917,068	19.17	2,156,388,400	11,431,207,040	18.86
00777	NETDRAGON	29,621,000	450,875,709	6.57	426,077,470	6,940,719,989	6.14
00917	NEW WORLD CHINA	216,666,000	1,049,079,618	20.65	1,136,575,840	5,270,787,158	21.56
00017	NEW WORLD DEV	488,495,149	4,159,563,410	11.74	4,219,724,680	37,578,256,867	11.23
00342	NEWOCEAN ENERGY	175,666,000	1,480,821,351	11.86	920,105,020	7,363,451,022	12.50
01316	NEXTEER	30,038,000	1,075,458,149	2.79	176,158,680	5,850,932,726	3.01
00433	NORTH MINING	237,100,000	7,313,570,266	3.24	86,644,550	2,630,823,250	3.29
02222	NVC LIGHTING	81,647,000	2,001,649,440	4.08	173,549,690	4,279,898,723	4.05
00825	NWDS CHINA	2,600,000	111,587,045	2.33	9,117,620	365,409,294	2.50
00659	NWS HOLDINGS	37,917,000	295,713,817	12.82	516,457,420	4,039,344,298	12.79
00316	OOIL	21,233,500	185,724,625	11.43	824,608,000	7,544,041,561	10.93
02014	OZNER WATER	10,337,000	1,094,967,303	0.94	37,495,600	3,542,829,871	1.06
02343	PACIFIC BASIN	394,722,000	1,864,493,604	21.17	1,731,907,500	8,340,291,966	20.77
00543	PACIFIC ONLINE	8,344,000	389,962,801	2.14	41,355,410	1,906,471,174	2.17
01382	PACICTEXTILES	28,735,000	328,169,519	8.76	284,738,700	3,312,678,258	8.60
01180	PARADISE ENT	39,512,000	1,155,952,867	3.42	174,762,560	5,867,816,849	2.98
03368	PARKSON GROUP	188,982,000	1,464,861,429	12.90	436,885,300	3,433,895,954	12.72
00327	PAX GLOBAL	47,996,000	2,010,897,563	2.39	301,143,550	10,765,685,406	2.80
00008	PCCW	315,257,000	2,471,261,504	12.76	1,363,394,690	10,894,699,847	12.51
01968	PEAK SPORT	4,508,000	593,137,197	0.76	9,557,870	1,246,387,310	0.77
00857	PETROCHINA	3,159,734,000	22,426,631,137	14.09	29,521,322,760	204,089,914,444	14.46
02178	PETRO-KING	10,961,000	703,700,995	1.56	40,101,040	2,066,314,003	1.94
02008	PHOENIX TV	33,312,000	691,105,724	4.82	89,585,680	1,893,749,306	4.73
01515	PHOENIXHEALTH	18,843,500	533,157,853	3.53	240,949,630	6,735,628,832	3.58
01339	PICC GROUP	407,769,928	6,758,297,828	6.03	1,334,666,579	22,844,999,290	5.84
02328	PICC P&C	675,309,680	3,557,524,915	18.98	8,501,681,167	44,609,477,956	19.06
00752	PICO FAR EAST	7,970,000	235,438,342	3.39	15,175,920	453,328,014	3.35
02318	PING AN	748,061,278	4,419,413,122	16.93	49,166,817,541	287,585,044,385	17.10
03070	PING AN HKDIV	236,000	3,946,900	5.98	5,386,970	86,019,628	6.26

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling			Total		
		turnover* (shares)	turnover (shares)	% of total	turnover* Value (HK\$)	turnover Value (HK\$)	% of total
03098	PING AN RHK50	95,500	1,168,800	8.17	1,672,496	21,355,270	7.83
01345	PIONEER PHARM	16,846,000	743,438,009	2.27	105,319,930	3,744,447,289	2.81
00635	PLAYMATES	402,000	54,785,087	0.73	3,980,000	538,983,724	0.74
00869	PLAYMATES TOYS	29,924,000	1,208,346,318	2.48	74,020,280	3,843,254,170	1.93
03636	POLY CULTURE	1,583,400	230,075,904	0.69	42,860,690	7,993,050,156	0.54
00119	POLY PROPERTY	601,502,000	3,456,912,220	17.40	2,019,998,460	11,730,270,143	17.22
00208	POLYTEC ASSET	7,055,000	929,186,557	0.76	8,256,050	1,078,780,888	0.77
00589	PORTS	3,063,500	217,054,500	1.41	12,851,945	797,490,430	1.61
03813	POU SHENG INT'L	169,000	1,079,176,538	0.02	122,060	536,085,093	0.02
00006	POWER ASSETS	79,136,103	650,003,895	12.17	5,303,830,803	44,048,419,396	12.04
01148	POWER XINCHEN	20,733,000	598,844,168	3.46	86,672,100	2,620,176,461	3.31
01238	POWERLONG	26,401,000	854,398,234	3.09	30,390,920	989,909,923	3.07
01913	PRADA	116,391,400	620,752,825	18.75	6,453,693,830	34,874,272,051	18.51
00808	PROSPERITY REIT	7,620,000	465,079,163	1.64	18,495,800	1,126,991,610	1.64
02378	PRU	9,500	394,597	2.41	1,658,400	69,950,498	2.37
00626	PUBLIC FIN HOLD	20,000	22,363,502	0.09	75,060	83,788,570	0.09
01358	PW MEDTECH	59,187,000	1,328,124,278	4.46	246,994,230	5,353,046,082	4.61
03369	QHD PORT	168,000	197,127,000	0.09	647,120	802,926,957	0.08
01122	QINGLING MOTORS	3,480,000	222,660,341	1.56	8,239,240	522,156,798	1.58
02777	R&F PROPERTIES	454,008,000	2,135,011,138	21.26	4,327,808,724	20,776,172,864	20.83
02010	REAL NUTRI	37,267,000	1,121,076,751	3.32	76,514,640	2,470,760,578	3.10
01622	REDCO PPT	1,704,000	629,652,619	0.27	5,881,700	2,020,670,032	0.29
00078	REGAL INT'L	7,878,000	125,157,904	6.29	36,720,180	576,140,733	6.37
01881	REGAL REIT	1,704,000	279,296,759	0.61	3,579,280	581,044,196	0.62
01387	RENHE COMM	319,566,000	6,450,937,362	4.95	128,283,480	2,611,418,013	4.91
00555	REXLOT HOLDINGS	1,282,025,000	23,126,089,581	5.54	1,257,467,750	23,048,623,667	5.46
01098	ROAD KING INFRA	1,362,000	70,263,137	1.94	9,451,930	489,053,225	1.93
00486	RUSAL	30,167,000	573,738,613	5.26	105,166,330	2,220,539,561	4.74
00178	SA SA INT'L	336,412,000	1,697,894,050	19.81	2,077,902,380	10,445,261,557	19.89
01910	SAMSONITE	68,970,000	880,675,690	7.83	1,663,611,066	21,136,892,812	7.87
01928	SANDS CHINA LTD	515,044,000	4,420,744,796	11.65	26,817,654,160	233,082,856,553	11.51
00631	SANY INT'L	103,479,000	967,711,353	10.69	194,309,090	1,865,536,912	10.42
02727	SH ELECTRIC	815,996,000	5,880,681,488	13.88	3,063,851,260	21,717,558,949	14.11
00563	SH IND URBAN	27,984,000	945,700,465	2.96	42,691,280	1,470,289,998	2.90
02607	SH PHARMA	40,771,000	664,606,871	6.13	722,342,311	11,351,599,428	6.36
01385	SHANGHAI FUDAN	2,282,000	202,136,976	1.13	19,425,640	1,803,535,774	1.08
08102	SHANGHAI FUDAN	10,000	5,130,000	0.19	89,900	45,656,776	0.20
00363	SHANGHAI IND H	14,402,000	277,461,725	5.19	366,082,025	6,916,129,417	5.29
00338	SHANGHAI PECHEM	507,320,000	6,324,734,108	8.02	1,165,639,040	14,576,808,482	8.00
00069	SHANGRI-LA ASIA	90,582,000	601,731,568	15.05	1,104,340,400	7,271,045,785	15.19
00691	SHANSHUI CEMENT	419,590,000	2,487,197,801	16.87	1,234,837,910	7,386,333,074	16.72
00829	SHENGUAN HLDGS	79,252,000	829,891,610	9.55	232,922,060	2,366,418,963	9.84
00152	SHENZHEN INT'L	76,895,000	4,477,844,732	1.72	650,922,918	15,694,332,845	4.15
00604	SHENZHEN INVEST	164,036,000	1,759,943,459	9.32	400,140,840	4,399,502,504	9.10
00548	SHENZHENEXPRESS	23,358,000	718,783,149	3.25	110,364,700	3,273,287,972	3.37
02313	SHENZHOU INTL	47,339,000	429,218,373	11.03	1,222,383,550	11,064,278,758	11.05
00813	SHIMAO PROPERTY	404,332,000	2,776,598,988	14.56	6,573,141,030	45,192,313,114	14.54
02877	SHINWAY PHARM	18,625,000	206,269,082	9.03	244,608,960	2,728,642,160	8.96
00016	SHK PPT	255,398,748	1,020,917,280	25.02	27,419,226,072	108,714,891,325	25.22
00697	SHOUGANG INT'L	67,452,000	2,491,361,981	2.71	24,720,870	918,631,562	2.69
00639	SHOUGANG RES	461,038,000	2,895,460,462	15.92	965,699,080	5,860,844,535	16.48
00272	SHUI ON LAND	334,413,500	1,839,963,930	18.18	671,004,250	3,708,827,772	18.09
00242	SHUN TAK HOLD	80,842,000	1,287,324,362	6.28	326,906,540	5,391,829,780	6.06
00107	SICHUAN EXPRESS	35,600,000	868,650,422	4.10	93,869,480	2,339,878,637	4.01
00460	SIHUAN PHARM	606,820,000	5,676,627,005	10.69	3,829,347,270	38,314,085,928	9.99
00886	SILVER BASE	2,104,000	854,228,126	0.25	2,237,480	901,158,631	0.25
00171	SILVER GRANT	2,862,000	281,874,339	1.02	3,181,120	306,719,839	1.04
00716	SINGAMAS CONT	46,762,000	612,760,868	7.63	73,510,420	954,073,987	7.70
00750	SINGYES SOLAR	102,671,000	1,120,325,223	9.16	1,231,456,790	13,351,185,287	9.22
01177	SINO BIOPHARM	295,004,000	3,153,155,487	9.36	2,031,158,240	22,071,039,134	9.20
00083	SINO LAND	213,404,000	1,487,314,135	14.35	2,523,251,600	17,983,500,492	14.03
00702	SINO OIL & GAS	324,150,000	12,450,069,044	2.60	74,380,965	2,931,894,959	2.54
00297	SINOFERT	178,858,000	2,026,111,453	8.83	209,379,300	2,370,051,882	8.83
01168	SINOLINK HOLD	6,738,000	1,044,933,451	0.64	4,531,980	696,561,524	0.65
01893	SINOMA	43,803,000	1,083,225,197	4.04	74,537,200	1,947,441,095	3.83
00623	SINOMEDIA	31,051,000	476,862,198	6.51	172,425,230	2,765,795,856	6.23
03377	SINO-OCEAN LAND	212,031,000	1,086,514,516	19.51	897,925,030	4,667,787,725	19.24
00386	SINOPEC CORP	2,892,231,995	28,080,131,610	10.30	19,817,933,307	191,182,040,426	10.37
00934	SINOPEC KANTONS	147,176,000	1,664,172,792	8.84	1,019,797,680	11,418,103,791	8.93
02386	SINOPEC SEG	209,079,000	1,380,813,076	15.14	1,732,933,980	11,777,639,587	14.71
01099	SINOPHARM	121,536,908	1,186,846,864	10.24	3,025,818,493	29,266,197,708	10.34
01297	SINOSOFT TECH	4,158,000	1,729,499,789	0.24	11,261,140	4,957,160,073	0.23
00598	SINOTRANS	261,171,000	5,030,975,798	5.19	1,266,440,450	23,332,328,303	5.43
00368	SINOTRANS SHIP	26,286,000	573,060,086	4.59	59,718,855	1,295,112,528	4.61
03808	SINOTRUK	7,255,000	223,263,586	3.25	29,979,980	922,550,800	3.25
01308	SITC	28,540,000	489,890,660	5.83	107,771,220	1,798,920,041	5.99
01023	SITOIY GROUP	533,000	284,798,639	0.19	2,590,990	1,463,405,856	0.18
00880	SJM HOLDINGS	263,708,000	2,147,491,099	12.28	4,755,158,720	41,698,843,458	11.40
00751	SKYWORTHDIGITAL	258,022,000	1,967,004,519	13.12	1,022,743,120	7,875,150,491	12.99
00315	SMARTONE TELE	55,691,500	571,597,724	9.74	532,841,975	5,679,968,720	9.38
00981	SMIC	3,448,588,000	60,309,126,887	5.72	2,584,449,310	43,727,285,184	5.91
00983	SOCAM DEV	2,724,000	81,973,586	3.32	21,428,340	665,134,616	3.22
00410	SOHO CHINA	195,318,000	1,047,982,594	18.64	1,186,309,210	6,323,839,837	18.76
00967	SOUND GLOBAL	133,911,000	1,022,875,988	13.09	998,798,010	7,972,708,361	12.53
00878	SOUNDWILL HOLD	74,000	18,585,124	0.40	983,760	245,754,352	0.40
02840	SPDR GOLD TRUST	3,000	1,812,988	0.17	2,807,000	1,723,133,410	0.16

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling	Total		Short selling	Total	
		turnover* (shares)	turnover (shares)	% of total	Value (HK\$)	Value (HK\$)	% of total
03073	SPDR GREATCHINA	12,200	4,614,400	0.26	367,800	136,580,643	0.27
01426	SPRING REIT	545,000	221,032,191	0.25	1,794,190	727,711,192	0.25
01700	SPRINGLAND	24,168,000	472,947,571	5.11	78,371,410	1,481,685,094	5.29
01251	SPT ENERGY	157,630,000	1,844,388,070	8.55	488,444,720	6,623,297,691	7.37
02888	STANCHART	52,620,700	263,440,901	19.97	7,664,259,185	37,949,665,920	20.20
01836	STELLA HOLDINGS	5,651,000	91,788,736	6.16	112,150,630	1,822,166,675	6.15
00102	SUMMIT ASCENT	92,448,000	1,172,152,915	7.89	627,236,680	8,817,840,092	7.11
00086	SUN HUNG KAI CO	31,446,000	647,572,656	4.86	184,660,390	3,795,691,456	4.87
01918	SUNAC	412,113,000	4,037,255,033	10.21	2,226,101,800	21,765,523,027	10.23
06808	SUNART RETAIL	593,902,500	1,769,400,361	33.57	5,607,845,410	16,548,339,032	33.89
01063	SUNCORP TECH	51,360,000	9,700,667,134	0.53	8,523,650	2,602,440,661	0.33
08008	SUNVISION	5,678,000	405,003,736	1.40	14,517,090	1,042,201,492	1.39
00435	SUNLIGHT REIT	5,123,000	302,680,611	1.69	15,522,200	964,801,572	1.61
02382	SUNNY OPTICAL	217,896,000	1,760,652,020	12.38	2,202,252,210	17,391,058,532	12.66
00509	SUNSHINE	1,710,000	2,129,304,298	0.08	1,703,200	2,076,084,877	0.08
02608	SUNSHINE 100	4,000	287,691,030	0.00	12,430	1,002,318,707	0.00
02012	SUNSHINE OIL	60,207,000	3,106,412,166	1.94	58,869,060	3,080,278,788	1.91
01228	SUPERB SUMMIT	157,445,000	5,298,882,565	2.97	238,667,500	6,083,237,946	3.92
00019	SWIRE PACIFIC A	69,863,500	322,783,483	21.64	6,614,367,300	30,492,349,215	21.69
01972	SWIREPROPERTIES	75,762,400	548,296,101	13.82	1,754,638,436	12,673,784,648	13.84
01613	SYNERSTONE	53,160,000	11,681,548,038	0.46	36,064,280	8,622,669,248	0.42
00088	TAI CHEUNG HOLD	442,000	55,296,834	0.80	2,656,420	325,383,755	0.82
00573	TAO HEUNG HLDGS	132,000	34,345,372	0.38	620,810	162,067,081	0.38
01136	TCC INT'L HOLD	19,272,000	669,196,732	2.88	68,804,040	2,292,935,424	3.00
02618	TCL COMM	92,070,000	1,307,999,938	7.04	743,979,010	11,120,874,028	6.69
01070	TCL MULTIMEDIA	53,216,000	676,395,333	7.87	158,459,300	2,064,069,264	7.68
03823	TECH PRO	33,488,000	1,793,623,438	1.87	161,281,980	8,216,137,456	1.96
01206	TECHNOVATOR	720,000	240,294,482	0.30	2,798,000	915,847,671	0.31
00669	TECHTRONIC IND	130,463,000	1,157,134,864	11.27	3,079,496,905	26,801,706,312	11.49
00700	TENCENT	440,895,908	3,765,711,159	11.71	90,033,476,530	692,871,962,285	12.99
06868	TENFU	106,000	69,768,016	0.15	313,280	235,170,840	0.13
01219	TENWOW INT'L	15,594,000	502,369,035	3.10	47,256,850	1,549,800,305	3.05
02678	TEXHONG TEXTILE	28,981,000	327,742,488	8.84	208,974,030	2,262,064,697	9.24
00321	TEXWINCA HOLD	17,608,000	246,771,858	7.14	133,444,400	1,850,350,338	7.21
00028	TIAN AN	247,000	28,059,332	0.88	1,552,570	173,118,254	0.90
01600	TIAN LUN GAS	5,949,000	160,189,567	3.71	51,884,775	1,238,416,089	4.19
00826	TIANGONG INT'L	213,376,000	2,819,584,490	7.57	385,078,400	5,019,093,013	7.67
01619	TIANHE CHEM	341,148,000	7,825,218,668	4.36	762,078,660	12,911,946,182	5.90
01065	TIANJIN CAPITAL	18,488,000	1,232,142,905	1.50	100,194,960	6,062,605,572	1.65
00882	TIANJIN DEV	32,692,000	746,130,590	4.38	189,937,940	4,571,942,151	4.15
03382	TIANJINPORT DEV	57,046,000	2,370,959,928	2.41	88,622,020	3,603,653,229	2.46
00819	TIANNENG POWER	72,102,000	947,886,909	7.61	205,329,160	2,694,540,363	7.62
01115	TIBET 5100	74,326,000	1,043,963,539	7.12	223,784,050	3,205,721,926	6.98
01233	TIMES PPT	1,587,000	314,007,662	0.51	4,935,650	999,954,030	0.49
00322	TINGYI	276,940,042	1,293,666,464	21.41	5,542,225,450	26,261,751,722	21.10
02383	TOM GROUP	9,412,000	1,754,023,480	0.54	15,728,240	3,389,751,833	0.46
00258	TOMSON GROUP	8,000	60,339,219	0.01	18,760	129,459,088	0.01
01666	TONG REN TANG	30,383,000	320,743,932	9.47	457,451,950	4,762,254,229	9.61
00698	TONGDA GROUP	21,370,000	16,977,690,770	0.13	20,157,900	17,503,506,236	0.12
08138	TONGRENTANGCM	5,258,000	260,950,178	2.01	55,220,120	2,716,524,308	2.03
03886	TOWN HEALTH	59,344,000	3,124,671,195	1.90	81,598,540	5,882,998,248	1.39
01083	TOWNGAS CHINA	94,648,000	823,360,454	11.50	819,955,880	7,309,656,603	11.22
00903	TPV TECHNOLOGY	8,698,000	281,856,618	3.09	13,794,340	458,751,618	3.01
02800	TRACKER FUND	2,617,117,500	8,536,516,835	30.66	62,632,974,500	205,603,961,479	30.46
00570	TRAD CHI MED	16,092,000	1,716,897,447	0.94	75,421,960	6,628,686,491	1.14
00536	TRADELINK	2,630,000	912,781,357	0.29	4,912,860	1,938,146,428	0.25
00062	TRANSPORT INT'L	94,400	15,886,060	0.59	1,411,800	238,067,758	0.59
00696	TRAVELSKY TECH	30,702,000	684,438,401	4.49	231,577,010	5,088,482,387	4.55
01300	TRIGRIANT	22,776,000	1,198,949,249	1.90	51,715,360	2,597,379,282	1.99
00891	TRINITY	88,146,000	808,260,140	10.91	177,651,380	1,647,403,985	10.78
00732	TRULY INT'L	267,402,000	2,271,438,521	11.77	1,186,413,780	10,432,971,589	11.37
00206	TSC GROUP	2,491,000	371,228,177	0.67	6,637,720	1,275,277,801	0.52
00168	TSINGTAO BREW	75,548,000	277,845,190	27.19	4,314,290,400	15,941,422,876	27.06
01314	TSUI WAH HLDG	49,356,000	918,384,000	5.37	210,080,140	3,675,758,407	5.72
00511	TVB	10,163,400	127,851,962	7.95	479,452,085	5,969,800,022	8.03
03933	UNITED LAB	55,114,000	1,091,769,479	5.05	265,881,760	5,420,870,293	4.90
00686	UNITED PV	326,674,000	12,101,147,261	2.70	344,503,560	12,249,392,938	2.81
00467	UNITEDENERGY GP	340,000	335,581,852	0.10	404,400	390,946,811	0.10
00220	U-PRESID CHINA	337,914,000	1,044,008,922	32.37	2,283,997,430	6,974,410,008	32.75
00082	V1 GROUP	290,512,000	12,481,091,065	2.33	293,736,340	13,124,776,545	2.24
03046	VALUE CHINA ETF	43,500	1,604,100	2.71	1,572,890	56,981,770	2.76
03084	VALUE JAPAN ETF	65,400	8,682,707	0.75	825,288	107,813,831	0.77
03041	VALUE KOREA ETF	1,000	609,710	0.16	31,600	18,806,487	0.17
00806	VALUE PARTNERS	38,689,000	617,884,899	6.26	207,072,900	3,481,043,615	5.95
03060	VALUE TW ETF	2,100	536,100	0.39	78,495	19,050,040	0.41
03081	VALUEGOLD ETF	203,900	2,654,660	7.68	6,319,255	81,138,779	7.79
00710	VARITRONIX INTL	1,632,000	111,833,915	1.46	12,475,700	845,427,350	1.48
02805	VG FTSE ASIAJX	453,200	9,754,828	4.65	9,479,709	188,354,820	5.03
03101	VG FTSE DEV EUR	3,019,600	5,919,600	51.01	56,587,263	109,179,703	51.83
03126	VG FTSE JAPAN	270,600	7,929,100	3.41	5,181,204	158,294,698	3.27
03085	VGFTSEASIAJXJHDY	139,000	6,224,704	2.23	2,828,666	125,854,498	2.25
03331	VINDA INT'L	5,813,000	102,099,368	5.69	68,731,400	1,217,508,873	5.65
00345	VITASOY INT'L	6,812,000	134,351,779	5.07	70,161,160	1,408,126,054	4.98
08032	VIVA CHINA	1,448,000	1,446,426,955	0.10	1,159,040	1,116,357,572	0.10
00856	VST HOLDINGS	1,652,000	287,721,096	0.57	4,418,180	679,927,880	0.65
00303	VTECH HOLDINGS	18,257,000	80,085,027	22.80	1,798,201,040	7,980,955,669	22.53

Short selling transactions by designated stock, 2014 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
00169	WANDA HOTEL DEV	29,148,000	641,195,791	4.55	58,456,440	1,534,094,957	3.81
00151	WANT WANT CHINA	916,379,628	3,812,411,984	24.04	9,631,884,025	40,625,327,857	23.71
03393	WASION GROUP	11,982,000	482,549,150	2.48	72,753,100	2,929,023,183	2.48
01129	WATER INDUSTRY	12,208,000	1,350,067,231	0.90	17,488,000	1,942,805,095	0.90
02338	WEICHAI POWER	174,602,000	667,683,979	26.15	5,218,749,550	19,925,526,162	26.19
01066	WEIGAO GROUP	259,052,000	1,646,584,802	15.73	2,062,437,120	13,245,025,574	15.57
02698	WEIQIAO TEXTILE	6,283,000	213,580,438	2.94	26,925,635	914,283,823	2.94
00382	WELLING HOLDING	6,162,000	402,880,657	1.53	14,054,920	905,604,302	1.55
02233	WESTCHINACEMENT	176,894,000	4,771,233,671	3.71	148,213,100	4,079,437,363	3.63
00288	WH GROUP	302,815,500	4,576,028,186	6.62	1,440,608,815	26,300,551,563	5.48
00004	WHARF HOLDINGS	183,501,114	1,230,542,386	14.91	10,233,448,649	68,132,951,167	15.02
00020	WHEELLOCK	26,451,000	301,476,496	8.77	980,420,650	10,716,474,402	9.15
00302	WING HANG BANK	2,722,000	131,765,357	2.07	315,915,500	16,033,433,921	1.97
00289	WING ON CO	2,000	4,845,352	0.04	40,600	104,753,138	0.04
00369	WING TAI PPT	44,000	33,604,010	0.13	209,180	163,069,748	0.13
01661	WISDOM GROUP	61,475,000	1,183,381,215	5.19	305,358,570	5,876,423,567	5.20
02839	WISE HKLMREAL	10,000	6,498,000	0.15	54,780	37,102,970	0.15
02825	WISECSIHK100ETF	4,000	7,273,200	0.05	85,260	146,687,350	0.06
01025	WUMART	115,037,000	594,557,952	19.35	871,993,690	4,472,229,453	19.50
01369	WUZHOU INT'L	21,238,000	2,528,845,553	0.84	37,252,500	4,152,315,739	0.90
01128	WYNN MACAU	405,466,800	1,802,708,320	22.49	11,617,083,300	54,012,084,726	21.51
03015	X DBCNXNIFTY	5,100	37,990	13.42	4,548,450	35,691,134	12.74
03049	X DBCSI300	432,600	649,375,476	0.07	2,282,106	3,506,121,481	0.07
03057	X DBCSI300HEAL	800	9,720,065	0.01	10,348	122,452,355	0.01
03045	X DBMSCIINDIA	2,000	119,550	1.67	135,625	8,622,190	1.57
03099	X DBMSCIINDO	11,750	340,705	3.45	1,141,929	34,962,322	3.27
03027	X DBMSCIRUSSIA	102,625	5,415,000	1.90	1,340,644	91,873,946	1.46
03019	X DBMSCIWORLD	2,125	1,154,656	0.18	71,081	39,475,855	0.18
02823	X ISHARES A50	15,010,819,600	46,197,982,100	32.49	143,987,684,623	447,992,476,699	32.14
02846	X ISHARESCSI300	66,400	17,482,926	0.38	1,293,336	346,000,102	0.37
02818	X PING AN CRA50	2,600	801,100	0.32	40,488	12,696,052	0.32
02827	X WISECSI300ETF	8,869,200	232,596,575	3.81	236,810,650	6,311,363,828	3.75
03091	X XIE INDIA	119,100	1,250,400	9.52	1,084,221	10,934,334	9.92
03031	X XIE INDO	232,800	3,063,000	7.60	1,832,910	23,091,066	7.94
03090	X XIE KOREA	300	1,276,400	0.02	2,283	10,948,199	0.02
03029	X XIE MALAY	169,800	3,571,200	4.75	1,600,302	34,052,939	4.70
03037	X XIE PHIL	38,700	973,800	3.97	411,606	10,176,570	4.04
03089	X XIE TAIWAN	167,400	7,356,800	2.28	1,539,873	71,802,753	2.14
03069	X XIE THAI	47,100	6,242,300	0.75	448,095	64,066,700	0.70
03378	XIAMEN PORT	10,856,000	1,771,277,926	0.61	23,554,220	3,166,338,399	0.74
01899	XINGDA INT'L	24,441,000	549,080,424	4.45	79,797,710	1,861,249,647	4.29
00811	XINHUA WINSHARE	12,432,000	465,255,611	2.67	75,844,040	2,623,217,028	2.89
00868	XINYI GLASS	362,370,000	3,608,434,457	10.04	1,881,590,020	19,117,161,141	9.84
00968	XINYI SOLAR	448,970,000	11,610,044,201	3.87	1,028,601,640	25,300,577,131	4.07
01368	XTEP INT'L	7,423,000	230,793,804	3.22	26,556,425	805,204,330	3.30
00346	YANCHANG PETRO	49,750,000	2,979,209,012	1.67	19,663,950	1,209,938,304	1.63
01171	YANZHOU COAL	722,094,000	3,990,567,968	18.10	4,558,699,440	25,240,697,129	18.06
01230	YASHILI INT'L	34,930,000	1,146,074,940	3.05	89,476,620	3,698,512,153	2.42
02393	YESTAR INTL	1,180,000	578,017,720	0.20	8,586,925	3,395,194,516	0.25
00375	YGM TRADING	617,000	13,112,183	4.71	10,256,840	218,477,404	4.69
02168	YINGDE GASES	181,197,500	888,285,752	20.40	1,161,970,340	5,972,348,996	19.46
00408	YIP'S CHEMICAL	3,642,000	136,205,957	2.67	18,864,420	720,662,371	2.62
03948	YITAI COAL	200	12,846,104	0.00	2,068	132,020,273	0.00
01033	YIZHENG CHEM	178,340,000	5,202,737,127	3.43	468,431,920	14,475,568,630	3.24
03669	YONGDA AUTO	2,136,000	65,430,703	3.26	13,929,715	435,472,341	3.20
01431	YST DAIRY	64,990,000	3,610,503,854	1.80	75,200,570	4,960,783,271	1.52
02789	YUANDA CHINA	16,092,000	1,519,444,486	1.06	11,595,810	951,924,662	1.22
00332	YUANHENG GAS	4,000	502,229,848	0.00	3,400	447,220,904	0.00
00551	YUE YUEN IND	57,230,000	447,213,874	12.80	1,427,846,325	11,054,126,727	12.92
00123	YUEXIU PROPERTY	787,190,000	6,364,792,710	12.37	1,202,919,320	9,959,172,453	12.08
00405	YUEXIU REIT	37,205,000	921,039,662	4.04	139,486,930	3,504,339,280	3.98
01052	YUEXIU TRANSPORT	8,474,000	238,953,436	3.55	39,714,800	1,066,580,875	3.72
01068	YURUN FOOD	98,077,000	1,487,350,415	6.59	382,389,310	5,820,177,498	6.57
01628	YUZHOU PPT	20,308,000	940,376,966	2.16	35,868,240	1,663,981,411	2.16
02098	ZALL DEV	5,565,000	497,179,426	1.12	14,925,890	1,317,609,029	1.13
01818	ZHAOJIN MINING	196,735,500	1,552,580,534	12.67	894,835,105	7,240,553,225	12.36
00576	ZHEJIANGEXPRESS	56,684,000	1,009,773,852	5.61	435,536,980	7,973,724,432	5.46
01728	ZHENGTONGAUTO	77,848,000	1,016,867,441	7.66	339,903,690	4,353,581,493	7.81
00672	ZHONGAN REALEST	4,545,000	1,040,903,978	0.44	5,370,470	1,907,840,238	0.28
00881	ZHONGSHENG HLDG	118,349,000	494,438,959	23.94	1,061,810,940	4,463,889,476	23.79
03633	ZHONGYU GAS	350,000	118,180,650	0.30	866,860	260,073,863	0.33
01176	ZHUGUANG HOLD	1,636,000	361,658,622	0.45	4,767,780	926,557,777	0.51
02899	ZIJIN MINING	768,260,000	7,437,606,760	10.33	1,417,616,000	13,969,639,651	10.15
01157	ZOOMLION	411,094,200	2,881,867,309	14.26	2,036,696,902	14,472,344,545	14.07
00763	ZTE	85,398,400	1,090,750,014	7.83	1,399,467,088	17,980,334,489	7.78
Total		173,703,861,899	2,006,904,327,074	8.66	1,770,833,868,454	13,003,685,497,194	13.62

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Monthly short selling transactions, 2014

Month	No. of designated securities (month-end figure)	Number of shares*	Value of transactions* (HK\$)	No. of designated securities recording short selling
Jan	676	14,123,711,966	136,860,583,199	550
Feb	693	12,195,879,363	128,912,165,869	577
Mar	698	14,780,435,140	147,882,050,392	570
Apr	697	13,466,376,973	135,460,336,920	560
May	747	11,345,147,509	116,894,533,938	601
Jun	750	11,637,559,939	103,186,282,608	604
Jul	747	14,091,080,577	138,581,700,949	602
Aug	770	15,593,658,927	161,514,539,837	627
Sep	774	16,179,883,909	164,228,859,391	622
Oct	773	13,963,515,651	143,021,919,363	626
Nov	797	16,029,907,129	158,808,616,541	651
Dec	797	20,296,704,816	235,482,279,446	657
Total		173,703,861,899	1,770,833,868,454	

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Newly listed companies

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
01385 †	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	Transfer of listing Information Technology – Semiconductors	n.a.	n.a.	n.a.	2014/01/08 n.a.	+ n.a.
01353	Fujian Nuoqi Co., Ltd. – H Shares	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	15,000,000 (a) 145,794,000 (b)	2.13 2.13	31.95 310.54	2014/01/09 1.04	CCB International Capital Ltd.
01439	China Packaging Holdings Development Ltd.	Offer for subscription Offer for placing Industrials – Commercial & Professional Services	100,000,000 (a) 100,000,000 (b)	0.51 0.51	51.00 51.00	2014/01/13 294.50	Oriental Patron Asia Ltd.
03332	Nanjing Sinolife United Co. Ltd. – H Shares	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	101,900,000 (a) 125,158,000 (b)	2.00 2.00	203.80 250.32	2014/01/15 187.93	BOCOM International (Asia) Ltd.
01247	Miko International Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	80,000,000 (a) 104,000,000 (b)	2.28 2.28	182.40 237.12	2014/01/15 1,126.04	CCB International Capital Ltd. China Galaxy International Securities (Hong Kong) Co. Ltd.
01513	Livzon Pharmaceutical Group Inc. – H Shares	Introduction Consumer Goods – Healthcare	n.a.	n.a.	n.a.	2014/01/16 n.a.	Guosen Securities (HK) Capital Co. Ltd.
01738	Feishang Anthracite Resources Ltd.	Introduction Energy – Coal	n.a.	n.a.	n.a.	2014/01/22 n.a.	Jefferies Hong Kong Ltd. Nomura International (Hong Kong) Ltd.
02080	Magnum Entertainment Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Services – Hotels, Casinos & Leisure Facilities	42,000,000 (a) 54,600,000 (b)	1.50 1.50	63.00 81.90	2014/01/23 3,559.20	Anglo Chinese Corporate Finance, Ltd.
02226	Honworld Group Ltd.	Offer for subscription Offer for placing Consumer Goods – Food & Beverages	62,500,000 (a) 81,250,000 (b)	7.15 7.15	446.88 580.94	2014/01/28 1,045.46	Macquarie Capital Securities Ltd.
02638	HK Electric Investments and HK Electric Investments Ltd. -SS	Offer for subscription Offer for placing Utilities – Utilities	221,345,000 (a) 4,205,555,000 (b)	5.45 5.45	1,206.33 22,920.27	2014/01/29 7.46	Goldman Sachs (Asia) L.L.C. HSBC Corporate Finance (Hong Kong) Ltd.
01622	Redco Properties Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	40,000,000 (a) 360,000,000 (b)	2.50 2.50	100.00 900.00	2014/01/30 3.51	ICBC International Capital Ltd.
02886 †	Binhai Investment Co. Ltd.	Transfer of listing Utilities – Utilities	n.a.	n.a.	n.a.	2014/02/11 n.a.	+ n.a.
01636	China Metal Resources Utilization Ltd.	Offer for subscription Offer for sale Offer for placing Materials – Diversified Metals & Minerals	185,544,000 (a) 93,458,400 (b) 346,281,600 (b)	1.13 1.13 1.13	209.66 105.61 391.30	2014/02/21 17.92	BNP Paribas Securities (Asia) Ltd.
01340	Huisheng International Holdings Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Agricultural Products	60,000,000 (a) 20,000,000 (b) 42,484,000 (b)	2.05 2.05 2.05	123.00 41.00 87.09	2014/02/28 2,188.16	Cinda International Capital Ltd.
06288	Fast Retailing Co., Ltd. – DR	Introduction Textiles, Clothing & Personal Care	n.a.	n.a.	n.a.	2014/03/05 n.a.	Morgan Stanley Asia Ltd.
03636	Poly Culture Group Corporation Ltd. – H Shares	Offer for subscription Offer for sales Offer for placing Consumer Services – Support Services	38,890,600 (a) 7,071,000 (b) 42,425,400 (b)	33.00 33.00 33.00	1,283.39 233.34 1,400.04	2014/03/06 606.00	CITIC Securities Corporate Finance (HK) Ltd.
02255	Haichang Holdings Ltd.	Offer for subscription Offer for placing Consumer Services – Hotels, Casinos & Leisure Facilities	100,000,000 (a) 900,000,000 (b)	2.45 2.45	245.00 2,205.00	2014/03/13 4.65	BNP Paribas Securities (Asia) Ltd. Merrill Lynch Far East Ltd.
02608	Sunshine 100 China Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	30,795,000 (a) 469,205,000 (b)	4.00 4.00	123.18 1,876.82	2014/03/13 0.62	China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd.
00798	Optics Valley Union Holding Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	39,040,000 (a) 960,960,000 (b)	0.83 0.83	32.40 797.60	2014/03/28 0.39	BNP Paribas Securities (Asia) Ltd.
06138	Harbin Bank Co., Ltd. – H Shares	Offer for subscription Offer for sales Offer for placing Financials – Banks	82,895,000 (a) 274,870,000 (b) 2,665,805,000 (b)	2.90 2.90 2.90	240.40 797.12 7,730.83	2014/03/31 0.27	China International Capital Corporation Hong Kong Securities Ltd. BOCI Asia Ltd. ABCI Capital Ltd.
02100	BAIOO Family Interactive Ltd.	Offer for subscription Offer for placing Information Technology – Software & Services	62,548,000 (a) 643,558,000 (b)	2.15 2.15	134.48 1,383.65	2014/04/10 0.89	Deutsche Securities Asia Ltd. Citigroup Global Markets Asia Ltd.
01400	Wang Tai Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	25,000,000 (a) 235,000,000 (b)	0.72 0.72	18.00 169.20	2014/04/25 3.22	Guotai Junan Capital Ltd.
01771	Sunfonda Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Automobiles	15,000,000 (a) 135,000,000 (b)	3.61 3.61	54.15 487.35	2014/05/15 1.23	J.P. Morgan Securities (Far East) Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
01397	Baguio Green Group Ltd.	Offer for subscription	50,000,000 (a)	1.20	60.00	2014/05/22	Guotai Junan Capital Ltd.
		Offer for sales	20,000,000 (b)	1.20	24.00	429.82	
		Offer for placing	45,000,000 (b)	1.20	54.00		
		Consumer Services – Support Services					
06199	China CNR Corporation Ltd. – H Shares	Offer for subscription	118,635,500 (a)	5.17	613.35	2014/05/22	UBS Securities Hong Kong Ltd.
		Offer for placing	1,821,088,500 (b)	5.17	9,415.03	0.65	China International Capital Corporation Hong Kong Securities Ltd. Macquarie Capital Securities Ltd. CCB International Capital Ltd.
02111	Best Pacific International Holdings Ltd.	Offer for subscription	25,000,000 (a)	2.15	53.75	2014/05/23	
		Offer for placing	243,778,000 (b)	2.15	524.12	1.80	
		Consumer Goods – Textiles, Clothing & Personal Care					
01908 #	South West Eco Development Ltd.	Transfer of listing	n.a.	n.a.	n.a.	2014/05/26	+ n.a.
		Properties & Construction – Properties				n.a.	
02303	Hengxing Gold Holding Co. Ltd.	Offer for subscription	23,128,000 (a)	1.60	37.00	2014/05/29	China International Capital Corporation
		Offer for placing	208,122,000 (b)	1.60	333.00	1.52	Hong Kong Securities Ltd.
		Materials – Gold & Precious Metals					
06198	Qingdao Port International Co., Ltd. – H Shares	Offer for subscription	11,895,000 (a)	3.76	44.73	2014/06/06	BOCI Asia Ltd.
		Offer for sales	77,821,000 (b)	3.76	292.61	0.15	CITIC Securities Corporate Finance (HK) Ltd.
		Offer for placing	766,309,000 (b)	3.76	2,881.32		UBS Securities Hong Kong Ltd.
		Industrials – Industrial Transportation					
02014	Ozner Water International Holding Ltd.	Offer for subscription	126,600,000 (a)	2.70	341.82	2014/06/17	Goldman Sachs (Asia) L.L.C.
		Offer for placing	358,700,000 (b)	2.70	968.49	44.99	Standard Chartered Securities (Hong Kong) Ltd.
		Consumer Goods – Household Goods & Electronics					
01639 #	AKM Industrial Co. Ltd.	Transfer of listing	n.a.	n.a.	n.a.	2014/06/18	+ n.a.
		Industrials – Industrial Engineering				n.a.	
01330	Dynagreen Environmental Protection Group Co., Ltd. – H Share	Offer for subscription	120,000,000 (a)	3.45	414.00	2014/06/19	CITIC Securities Corporate Finance (HK) Ltd.
		Offer for placing	225,000,000 (b)	3.45	776.25	53.10	
		Utilities – Utilities					
03903	Hanhua Financial Holding Co., Ltd. – H Shares	Offer for subscription	26,764,000 (a)	1.62	43.36	2014/06/19	China International Capital Corporation
		Offer for placing	1,143,236,000 (b)	1.62	1,852.04	0.23	Hong Kong Securities Ltd. China Galaxy International Securities (Hong Kong) Co. Ltd.
		Financials – Other Financials					
01619	Tianhe Chemicals Group Ltd.	Offer for subscription	33,234,000 (a)	1.80	59.82	2014/06/20	Morgan Stanley Asia Ltd.
		Offer for sales	1,179,186,000 (b)	1.80	2,122.53	0.12	UBS Securities Hong Kong Ltd.
		Offer for placing	2,009,766,000 (b)	1.80	3,617.58		Merrill Lynch Far East Ltd.
		Materials – Basic Materials					
02202	China Vanke Co., Ltd. – H Shares	Introduction	n.a.	n.a.	n.a.	2014/06/25	CITIC Securities Corporate Finance (HK) Ltd.
		Properties & Construction – Properties				n.a.	
01375	Central China Securities Co., Ltd. – H Shares	Offer for subscription	38,709,000 (a)	2.51	97.16	2014/06/25	CCB International Capital Ltd.
		Offer for placing	559,391,000 (b)	2.51	1,404.07	0.65	
		Financials – Other Financials					
06128	Earhasia International Holdings Ltd.	Offer for subscription	50,000,000 (a)	1.20	60.00	2014/06/25	GF Capital (Hong Kong) Ltd.
		Offer for placing	50,000,000 (b)	1.20	60.00	355.60	
		Properties & Construction – Construction					
02229	Changgang Dunxin Enterprise Co. Ltd.	Offer for subscription	24,820,000 (a)	1.40	34.75	2014/06/26	Kingsway Capital Ltd.
		Offer for placing	223,380,000 (b)	1.40	312.73	1.32	
		Materials – Basic Materials					
01588	Chanjet Information Technology Co. Ltd. – H Shares	Offer for subscription	27,500,000 (a)	16.38	450.45	2014/06/26	Guotai Junan Capital Ltd.
		Offer for placing	27,500,000 (b)	16.38	450.45	210.35	
		Information Technology – Software & Services					
02298	Cosmo Lady (China) Holdings Co. Ltd.	Offer for subscription	121,938,000 (a)	3.60	438.98	2014/06/26	Morgan Stanley Asia Ltd.
		Offer for placing	284,519,000 (b)	3.60	1,024.27	20.58	China International Capital Corporation Hong Kong Securities Ltd.
		Consumer Goods – Textiles, Clothing & Personal Care					
00911	Hang Fat Ginseng Holdings Co. Ltd.	Offer for subscription	200,000,000 (a)	1.98	396.00	2014/06/27	CMB International Capital Ltd.
		Offer for placing	300,000,000 (b)	1.98	594.00	51.45	
		Consumer Goods – Healthcare					
01626	Jia Yao Holdings Ltd.	Offer for subscription	22,500,000 (a)	1.26	28.35	2014/06/27	RaffAello Capital Ltd.
		Offer for placing	52,500,000 (b)	1.26	66.15	44.16	
		Industrials – Commercial & Professional Services					
03709	Koradior Holdings Ltd.	Offer for subscription	12,500,000 (a)	4.20	52.50	2014/06/27	Haitong International Capital Ltd.
		Offer for placing	119,448,000 (b)	4.20	501.68	4.59	CIMB Securities Ltd.
		Consumer Goods – Textiles, Clothing & Personal Care					
03639	Yida China Holdings Ltd.	Offer for subscription	2,728,000 (a)	2.45	6.68	2014/06/27	Deutsche Securities Asia Ltd.
		Offer for placing	581,242,000 (b)	2.45	1,424.04	0.05	
		Properties & Construction – Properties					
01778	Colour Life Services Group Co., Ltd.	Offer for subscription	25,000,000 (a)	3.78	94.50	2014/06/30	Merrill Lynch Far East Ltd.
		Offer for placing	225,000,000 (b)	3.78	850.50	4.03	Guotai Junan Capital Ltd.
		Consumer Services – Support Services					
06899	Ourgame International Holdings Ltd.	Offer for subscription	19,600,000 (a)	4.25	83.30	2014/06/30	Jefferies Hong Kong Ltd.
		Offer for placing	176,400,000 (b)	4.25	749.70	11.33	
		Information Technology – Software & Services					

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
06139	Jinmao Investments & Jinmao (China) Investments Hldgs Ltd-SS	Offer for subscription Offer for sales Offer for placing	18,493,500 (a) 69,397,000 (b) 581,506,500 (b)	5.35 5.35 5.35	98.94 371.27 3,111.06	2014/07/02 0.31	Deutsche Securities Asia Ltd. Morgan Stanley Asia Ltd.
01446	Hung Fook Tong Group Holdings Ltd.	Consumer Services – Hotels, Casinos & Leisure Facilities Offer for subscription Offer for placing	79,000,000 (a) 102,700,000 (b)	1.30 1.30	102.70 133.51	2014/07/04 348.82	Crosby Securities Ltd.
06136	Kangda International Environmental Co. Ltd.	Consumer Goods – Food & Beverages Offer for subscription Offer for placing	250,000,000 (a) 317,515,000 (b)	2.80 2.80	700.00 889.04	2014/07/04 255.23	Macquarie Capital Securities Ltd. ICBC International Capital Ltd.
01450	Century Sage Scientific Holdings Ltd.	Utilities – Utilities Offer for subscription Offer for placing	25,000,000 (a) 225,000,000 (b)	1.08 1.08	27.00 243.00	2014/07/07 8.53	CCB International Capital Ltd.
02329	Guorui Properties Ltd.	Information Technology – Software & Services Offer for subscription Offer for placing	13,046,000 (a) 668,588,000 (b)	2.38 2.38	31.05 1,591.24	2014/07/07 0.20	BOCI Asia Ltd.
06188	Beijing Digital Telecom Co., Ltd. – H Shares	Properties & Construction – Properties Offer for subscription Offer for placing	6,871,000 (a) 159,796,000 (b)	5.30 5.30	36.42 846.92	2014/07/08 0.41	Citigroup Global Markets Asia Ltd. UBS Securities Hong Kong Ltd.
01599	Beijing Urban Construction Design & Dev. Group Co., Ltd-H Sh	Information Technology – IT Hardware Offer for subscription Offer for sales Offer for placing	12,992,000 (a) 35,267,000 (b) 339,678,000 (b)	2.75 2.75 2.75	35.73 96.98 934.11	2014/07/08 0.39	UBS Securities Hong Kong Ltd. CITIC Securities Corporate Finance (HK) Ltd.
00787	Global Brands Group Holding Ltd.	Properties & Construction – Construction Introduction Consumer Goods – Textiles, Clothing & Personal Care	n.a.	n.a.	n.a.	2014/07/09 n.a.	Goldman Sachs (Asia) L.L.C. Citigroup Global Markets Asia Ltd. HSBC Corporate Finance (Hong Kong) Ltd.
02186	Luye Pharma Group Ltd.	Offer for subscription Offer for sales Offer for placing	99,964,000 (a) 482,046,000 (b) 567,576,000 (b)	5.92 5.92 5.92	591.79 2,853.71 3,360.05	2014/07/09 14.77	UBS Securities Hong Kong Ltd. Citigroup Global Markets Asia Ltd. CITIC Securities Corporate Finance (HK) Ltd.
01980	Tian Ge Interactive Holdings Ltd.	Consumer Goods – Healthcare Offer for subscription Offer for placing	121,708,000 (a) 228,199,000 (b)	5.28 5.28	642.62 1,204.89	2014/07/09 76.13	UBS Securities Hong Kong Ltd. China International Capital Corporation Hong Kong Securities Ltd.
01321	China New City Commercial Development Ltd.	Information Technology – Software & Services Offer for subscription Offer for placing	3,792,000 (a) 464,208,000 (b)	1.30 1.30	4.93 603.47	2014/07/10 0.081	BOCOM International (Asia) Ltd.
01418	Sinomax Group Ltd.	Properties & Construction – Properties Offer for subscription Offer for sales Offer for placing	75,000,000 (a) 600,000,000 (b) 75,000,000 (b)	1.06 1.06 1.06	79.50 636.00 79.50	2014/07/10 1.23	China Merchants Securities (HK) Co., Ltd.
01848	China Aircraft Leasing Group Holdings Ltd.	Consumer Goods – Household Goods & Electronics Offer for subscription Offer for sales Offer for placing	13,180,000 (a) 15,000,000 (b) 103,620,000 (b)	5.53 5.53 5.53	72.89 82.95 573.02	2014/07/11 1.91	China Everbright Capital Ltd. CCB International Capital Ltd.
01856	Ernest Borel Holdings Ltd.	Consumer Services – Transportation Offer for subscription Offer for placing	6,600,000 (a) 59,400,000 (b)	3.00 3.00	19.80 178.20	2014/07/11 1.14	BOCI Asia Ltd.
01367	Hanbo Enterprises Holdings Ltd.	Consumer Goods – Textiles, Clothing & Personal Care Offer for subscription Offer for placing	36,000,000 (a) 84,000,000 (b)	0.52 0.52	18.72 43.68	2014/07/11 15.96	Quam Capital Ltd.
06123	On Time Logistics Holdings Ltd.	Consumer Goods – Textiles, Clothing & Personal Care Offer for subscription Offer for placing	30,000,000 (a) 85,000,000 (b)	1.18 1.18	35.40 100.30	2014/07/11 16.78	RHB OSK Capital Hong Kong Ltd.
01432	China Shengmu Organic Milk Ltd.	Industrials – Industrial Transportation Offer for subscription Offer for placing	44,480,000 (a) 400,320,000 (b)	2.39 2.39	106.31 956.76	2014/07/15 1.01	BOCI Asia Ltd. Goldman Sachs (Asia) L.L.C.
02399	China Fordoo Holdings Ltd.	Consumer Goods – Food & Beverages Offer for subscription Offer for placing	12,000,000 (a) 108,000,000 (b)	3.90 3.90	46.80 421.20	2014/07/16 1.17	Barclays Capital Asia Ltd.
00400	Cogobuy Group	Consumer Goods – Textiles, Clothing & Personal Care Offer for subscription Offer for placing	103,140,000 (a) 240,660,000 (b)	4.00 4.00	412.56 962.64	2014/07/18 29.20	UBS Securities Hong Kong Ltd.
01253	Broad Greenstate International Co. Ltd.	Information Technology – Semiconductors Offer for subscription Offer for sales Offer for placing	9,182,000 (a) 48,000,000 (b) 158,032,000 (b)	1.30 1.30 1.30	11.94 62.40 205.44	2014/07/21 0.4275	Kim Eng Securities (Hong Kong) Ltd.
06168 ⁴	China U-Ton Holdings Ltd.	Properties & Construction – Construction Transfer of listing Information Technology – IT Hardware	n.a.	n.a.	n.a.	2014/08/01 n.a.	+ n.a.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
00288	WH Group Ltd.	Offer for subscription Offer for placing Consumer Goods – Agricultural Products	256,740,000 (a) 2,695,770,000 (b)	6.20 6.20	1,591.79 16,713.77	2014/08/05 55.22	Morgan Stanley Asia Ltd. BOCI Asia Ltd.
01365	China Rundong Auto Group Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Automobiles	8,556,000 (a) 23,645,000 (b) 236,418,000 (b)	3.58 3.58 3.58	30.63 84.65 846.38	2014/08/12 0.32	Merrill Lynch Far East Ltd. Morgan Stanley Asia Ltd.
00048 [#]	China Automotive Interior Decoration Holdings Ltd.	Transfer of listing Consumer Goods – Textiles, Clothing & Personal Care	n.a.	n.a.	n.a.	2014/08/25 n.a.	+ n.a.
06166	China VAST Industrial Urban Development Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	31,968,000 (a) 333,673,000 (b)	3.10 3.10	99.10 1,034.39	2014/08/25 0.97	Morgan Stanley Asia Ltd. CITIC Securities Corporate Finance (HK) Ltd.
00699	CAR Inc.	Offer for subscription Offer for placing Consumer Services – Hotels, Casinos, Restaurants & Leisure Facilities	213,171,000 (a) 277,121,000 (b)	8.50 8.50	1,811.95 2,355.53	2014/09/19 202.05	Morgan Stanley Asia Ltd. Credit Suisse (Hong Kong) Ltd.
02221	New Concepts Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	50,000,000 (a) 50,000,000 (b)	0.86 0.86	43.00 43.00	2014/09/19 396.66	Halcyon Capital Ltd.
01285	Jiashill Group Ltd.	Offer for subscription Offer for placing Consumer Goods – Food & Beverages	30,000,000 (a) 85,000,000 (b)	3.70 3.70	111.00 314.50	2014/09/25 28.51	China Merchants Securities (HK) Co., Ltd.
01395	ELL Environmental Holdings Ltd.	Offer for subscription Offer for placing Utilities – Utilities	100,000,000 (a) 100,000,000 (b)	0.55 0.55	55.00 55.00	2014/09/26 557.00	Quam Capital Ltd.
01811	CGN Meiya Power Holdings Co., Ltd.	Offer for subscription Offer for placing Utilities – Utilities	516,968,000 (a) 672,056,000 (b)	1.71 1.71	884.02 1,149.22	2014/10/03 557.30	Morgan Stanley Asia Ltd.
06116	Shanghai La Chapelle Fashion Co., Ltd. – H Shares	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	11,555,600 (a) 127,087,400 (b)	13.98 13.98	161.55 1,776.68	2014/10/09 0.95	China International Capital Corporation Hong Kong Securities Ltd.
02280 [#]	HC International, Inc.	Transfer of listing Information Technology – Software & Services	n.a.	n.a.	n.a.	2014/10/10 n.a.	+ n.a.
01347	Hua Hong Semiconductor Ltd.	Offer for subscription Offer for placing Information Technology – Semiconductors	22,870,000 (a) 205,826,000 (b)	11.25 11.25	257.29 2,315.54	2014/10/15 12.88	Goldman Sachs (Asia) L.L.C.
01462	Nga Chun Holdings Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	50,000,000 (a) 50,000,000 (b)	1.10 1.10	55.00 55.00	2014/10/16 217.00	Ample Capital Ltd.
06893	Hin Sang Group (International) Holding Co. Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	100,000,000 (a) 100,000,000 (b)	1.18 1.18	118.00 118.00	2014/10/16 860.00	Ample Capital Ltd.
03822	Sam Woo Construction Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	50,000,000 (a) 50,000,000 (b)	1.50 1.50	75.00 75.00	2014/10/16 662.01	Investec Capital Asia Ltd.
01466	Man Sang Jewellery Holdings Ltd.	Introduction Consumer Goods – Textiles, Clothing & Personal Care	n.a.	n.a.	n.a.	2014/10/17 n.a.	REORIENT Financial Markets Ltd.
06118	Austar Lifesciences Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	62,500,000 (a) 75,082,000 (b)	3.12 3.12	195.00 234.26	2014/11/07 1,250.80	Haitong International Capital Ltd.
01289	Wuxi Sunlit Science and Technology Co. Ltd. – H Shares	Offer for subscription Offer for placing Industrials – Industrial Engineering	9,600,000 (a) 22,400,000 (b)	7.72 7.72	74.11 172.93	2014/11/11 25.74	China Merchants Securities (HK) Co., Ltd.
01443	Fulum Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Services – Hotels, Casinos, Restaurants & Leisure Facilities	97,500,000 (a) 227,500,000 (b)	1.55 1.55	151.13 352.63	2014/11/13 43.50	Deutsche Securities Asia Ltd.
01305	Wai Chi Holdings Co. Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	25,000,000 (a) 25,000,000 (b)	3.68 3.68	92.00 92.00	2014/11/18 1,223	Changjiang Corporate Finance (HK) Ltd.
01317	China Maple Leaf Educational Systems Ltd.	Offer for subscription Offer for placing Consumer Services – Support Services	167,000,000 (a) 167,000,000 (b)	2.88 2.88	480.96 480.96	2014/11/28 194.93	BNP Paribas Securities (Asia) Ltd. CLSA Capital Markets Ltd.
06882	EGL Holdings Co. Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Services – Hotels, Casinos, Restaurants & Leisure Facilities	62,500,000 (a) 25,000,000 (b) 39,950,000 (b)	1.39 1.39 1.39	86.88 34.75 55.53	2014/11/28 104	Shenyin Wanguo Capital (H.K.) Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
01478	Q Technology (Group) Co. Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	25,000,000 (a) 225,000,000 (b)	2.79 2.79	69.75 627.75	2014/12/02 3.10	CITIC Securities Corporate Finance (HK) Ltd.
01022	Feiyu Technology International Co. Ltd.	Offer for subscription Offer for placing Information Technology – Software & Services	30,000,000 (a) 276,463,500 (b)	2.20 2.20	66.00 608.22	2014/12/05 5.01	Citigroup Global Markets Asia Ltd. Merrill Lynch Far East Ltd.
01480	Yan Tat Group Holdings Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	18,000,000 (a) 42,000,000 (b)	1.23 1.23	22.14 51.66	2014/12/08 34.65	Guotai Junan Capital Ltd.
01816	CGN Power Co., Ltd. – H Shares	Offer for subscription Offer for placing Utilities – Utilities	1,765,000,000 (a) 8,383,750,000 (b)	2.78 2.78	4,906.70 23,306.83	2014/12/10 287.30	China International Capital Corporation Hong Kong Securities Ltd. Merrill Lynch Far East Ltd. ABCI Capital Ltd.
06869	Yangtze Optical Fibre and Cable Joint Stock Ltd. Co. – H Shs	Offer for subscription Offer for placing Information Technology – IT Hardware	15,987,000 (a) 143,883,000 (b)	7.39 7.39	118.14 1,063.30	2014/12/10 4.20	China International Capital Corporation Hong Kong Securities Ltd. Goldman Sachs (Asia) L.L.C.
01669	Global International Credit Group Ltd.	Offer for subscription Offer for placing Financials – Other Financials	30,000,000 (a) 70,000,000 (b)	1.35 1.35	40.50 94.50	2014/12/12 15.42	Investec Capital Asia Ltd.
01438	Nirvana Asia Ltd.	Offer for subscription Offer for placing Consumer Services – Support Services	269,880,000 (a) 404,819,000 (b)	3.00 3.00	809.64 1,214.46	2014/12/17 51.67	UBS Securities Hong Kong Ltd. DBS Asia Capital Ltd.
00520	Xiabuxiabu Catering Management (China) Holdings Co., Ltd.	Offer for subscription Offer for placing Consumer Services – Hotels, Casinos, Restaurants & Leisure Facilities	22,710,000 (a) 213,826,500 (b)	4.70 4.70	106.74 1,004.98	2014/12/17 4.07	Merrill Lynch Far East Ltd. Credit Suisse (Hong Kong) Ltd.
01958	BAIC Motor Corporation Ltd. – H Shares	Offer for subscription Offer for sales Offer for placing Consumer Goods – Automobiles	61,941,000 (a) 121,352,500 (b) 1,151,579,000 (b)	8.90 8.90 8.90	551.27 1,080.04 10,249.05	2014/12/19 2.11	HSBC Corporate Finance (Hong Kong) Ltd. CITIC Securities Corporate Finance (HK) Ltd. Deutsche Securities Asia Ltd.
03699	Dalian Wanda Commercial Properties Co., Ltd. – H Shares	Offer for subscription Offer for placing Properties & Construction – Properties	30,000,000 (a) 622,547,600 (b)	48.00 48.00	1,440.00 29,882.28	2014/12/23 5.32	China International Capital Corporation Hong Kong Securities Ltd. HSBC Corporate Finance (Hong Kong) Ltd.
01381	Canvest Environmental Protection Group Co. Ltd.	Offer for subscription Offer for placing Utilities – Utilities	50,000,000 (a) 450,000,000 (b)	2.33 2.33	116.50 1,048.50	2014/12/29 12.61	China Merchants Securities (HK) Co., Ltd.
02066	Shengjing Bank Co., Ltd. – H Shares	Offer for subscription Offer for sales Offer for placing Financials – Banks	68,750,000 (a) 140,067,500 (b) 1,331,925,000 (b)	7.56 7.56 7.56	519.75 1,058.91 10,069.35	2014/12/29 2.20	China Merchants Securities (HK) Co., Ltd.
02277	Chun Sing Engineering Holdings Ltd.	Offer for subscription Offer for sales Offer for placing Properties & Construction – Construction	77,250,000 (a) 128,750,000 (b) 51,500,000 (b)	0.60 0.60 0.60	46.35 77.25 30.90	2014/12/29 27.92	Messiss Capital Ltd.
01035	BBI Life Science Corporation	Offer for subscription Offer for placing Consumer Goods – Healthcare	39,351,000 (a) 91,815,000 (b)	1.75 1.75	68.86 160.68	2014/12/30 49.30	Haitong International Capital Ltd.
Total					230,356.66		

- (a) Hong Kong offers
(b) International offers

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

+ Effective date of the transfer of listing from GEM to Main Board

Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
01633	Magic Holdings International Ltd.	2014/04/01	2014/04/10
06488	SBI Holdings, Inc. – DR	2014/05/26	2014/06/25
00074	Great Wall Technology Co. Ltd. – H Shares	2014/07/04	2014/07/11
06813	CapitaMalls Asia Ltd.	2014/06/10	2014/07/22
01997	Regent Manner International Holdings Ltd.	2014/07/24	2014/08/07
00302	Wing Hang Bank, Ltd.	2014/07/29	2014/10/16

Company name changes

Code	Former name	New name	Effective date	Adoption date
00547	Sun Innovation Holdings Ltd.	Digital Domain Holdings Ltd.	2013/12/06	2014/01/02
00286	G-Prop (Holdings) Ltd.	Common Splendor International Health Industry Group Ltd.	2013/12/03	2014/01/03
00245	China Seven Star Shopping Ltd.	China Seven Star Holdings Ltd.	2013/12/27	2014/01/07
02369	China Wireless Technologies Ltd.	Coolpad Group Ltd.	2013/11/29	2014/01/09
00207	Hong Kong Parkview Group Ltd., The	COFCO Land Holdings Ltd.	2013/12/19	2014/01/17
00901	Radford Capital Investment Ltd.	Eagle Ride Investment Holdings Ltd.	2014/01/07	2014/01/17
00871	Xiangyu Dredging Holdings Ltd.	China Dredging Environment Protection Holdings Ltd.	2013/12/18	2014/01/17
01159	Karce International Holdings Co. Ltd.	Sinogreen Energy International Group Ltd.	2013/12/18	2014/01/21
00628	Dore Holdings Ltd.	Sino Credit Holdings Ltd.	2013/12/16	2014/01/22
00766	Sino Prosper State Gold Resources Holdings Ltd.	Sino Prosper (Group) Holdings Ltd.	2013/12/12	2014/01/27
00187	Beiren Printing Machinery Holdings Ltd. – H Shares	Beijing Jingcheng Machinery Electric Co. Ltd. – H Shares	2013/12/23	2014/02/04
02371	China Oriental Culture Group Ltd.	China Chuanglian Education Group Ltd.	2014/01/02	2014/02/04
00254	China Outdoor Media Group Ltd.	National United Resources Holdings Ltd.	2014/01/21	2014/02/04
00641	Fong's Industries Co. Ltd.	CHTC Fong's Industries Co. Ltd.	2013/12/10	2014/02/04
02366	Qin Jia Yuan Media Services Co. Ltd.	SMI Culture Group Holdings Ltd.	2014/01/16	2014/02/10
00686	Goldpoly New Energy Holdings Ltd.	United Photovoltaics Group Ltd.	2014/01/10	2014/02/11
02339	Norstar Founders Group Ltd.	BeijingWest Industries International Ltd.	2014/01/24	2014/03/04
00593	Allied Overseas Ltd.	SkyOcean International Holdings Ltd.	2014/02/21	2014/03/19
00989	China Motion Telecom International Ltd.	Ground Properties Co. Ltd.	2014/01/27	2014/03/19
01236	Qianlong Technology International Holdings Ltd.	National Agricultural Holdings Ltd.	2014/02/10	2014/03/20
00202	Interchina Holdings Co. Ltd.	EverChina Int'l Holdings Co. Ltd.	2014/03/18	2014/03/26
00500	DVN (Holdings) Ltd.	Frontier Services Group Ltd.	2014/03/06	2014/04/03
00108	Buildmore International Ltd.	GR Properties Ltd.	2014/04/07	2014/04/15
00745	China Railsmedia Corporation Ltd.	China National Culture Group Ltd.	2014/03/19	2014/04/28
03886	Town Health International Investments Ltd.	Town Health International Medical Group Ltd.	2014/03/25	2014/05/19
00451	Same Time Holdings Ltd.	GCL New Energy Holdings Ltd.	2014/05/09	2014/05/21
03989	New Environmental Energy Holdings Ltd.	Capital Environment Holdings Ltd.	2014/05/08	2014/05/30
00476	Sinocop Resources (Holdings) Ltd.	China Dynamics (Holdings) Ltd.	2014/05/15	2014/06/05
00395	Sino Dragon New Energy Holdings Ltd.	Smartac Group China Holdings Ltd.	2014/05/20	2014/06/11
00332	Ngai Lik Industrial Holdings Ltd.	Yuan Heng Gas Holdings Ltd.	2014/05/19	2014/06/16
00729	Sinopoly Battery Ltd.	FDG Electric Vehicles Ltd.	2014/05/13	2014/06/19
02178	Termbray Petro-king Oilfield Services Ltd.	Petro-king Oilfield Services Ltd.	2014/05/30	2014/06/20
00931	Artel Solutions Group Holdings Ltd.	China LNG Group Ltd.	2014/05/28	2014/06/23
00805	Glencore Xstrata plc	Glencore plc	2014/06/04	2014/06/25
01803	ASR Holdings Ltd.	ASR Logistics Holdings Ltd.	2014/05/20	2014/06/26
00800	A8 Digital Music Holdings Ltd.	A8 New Media Group Ltd.	2014/05/26	2014/06/30
01076	First Natural Foods Holdings Ltd.	Imperial Pacific International Holdings Ltd.	2014/05/22	2014/07/02
00112	LT Holdings Ltd.	LT Commercial Real Estate Ltd.	2014/06/24	2014/07/02
00256	China Haidian Holdings Ltd.	Citychamp Watch & Jewellery Group Ltd.	2014/05/26	2014/07/07
02083	Nature Flooring Holding Co. Ltd.	Nature Home Holding Co. Ltd.	2014/06/09	2014/07/09
01259	Prince Frog International Holdings Ltd.	China Child Care Corporation Ltd.	2014/06/06	2014/07/11
01733	Winsway Coking Coal Holdings Ltd.	Winsway Enterprises Holdings Ltd.	2014/06/25	2014/07/23
06828	China Print Power Group Ltd.	Blue Sky Power Holdings Ltd.	2014/06/30	2014/07/24
00082	VODone Ltd.	V1 Group Ltd.	2014/06/13	2014/07/28
02358	Mitsumaru East Kit (Holdings) Ltd.	Jiu Rong Holdings Ltd.	2014/06/30	2014/08/04
01060	ChinaVision Media Group Ltd.	Alibaba Pictures Group Ltd.	2014/06/30	2014/08/08
00705	CVM Minerals Ltd.	Ding He Mining Holdings Ltd.	2014/08/01	2014/08/11
00904	China Green (Holdings) Ltd.	China Culiangwang Beverages Holdings Ltd.	2014/07/01	2014/08/15
00727	VXL Capital Ltd.	Crown International Corporation Ltd.	2014/08/11	2014/08/29
00267	CITIC Pacific Ltd.	CITIC Ltd.	2014/08/26	2014/09/01
01682	Ford Glory Group Holdings Ltd.	Highlight China IoT International Ltd.	2014/08/04	2014/09/01
01196	Cheong Ming Investments Ltd.	Realord Group Holdings Ltd.	2014/08/28	2014/09/17
01019	Convoy Financial Services Holdings Ltd.	Convoy Financial Holdings Ltd.	2014/08/20	2014/09/18
01240	Sunley Holdings Ltd.	CNQC International Holdings Ltd.	2014/08/13	2014/09/19
00439	Climax International Co. Ltd.	KuangChi Science Ltd.	2014/08/22	2014/09/22
00095	New Heritage Holdings Ltd.	LVGEM (China) Real Estate Investment Co. Ltd.	2014/09/01	2014/09/29
00566	Hanergy Solar Group Ltd.	Hanergy Thin Film Power Group Ltd.	2014/08/26	2014/10/09
00356	Incutech Investments Ltd.	DT Capital Ltd.	2014/09/08	2014/10/09
00169	Wanda Commercial Properties (Group) Co., Ltd.	Wanda Hotel Development Co. Ltd.	2014/09/19	2014/10/22
00485	Starlight International Holdings Ltd.	Shihua Development Co. Ltd.	2014/09/18	2014/10/23
00241	CITIC 21CN Co. Ltd.	Alibaba Health Information Technology Ltd.	2014/09/08	2014/10/24
01152	Fornton Group Ltd.	Infinity Financial Group (Holdings) Ltd.	2014/10/01	2014/10/24
00876	Wing Lee Holdings Ltd.	Wing Tai Investment Holdings Ltd.	2014/09/23	2014/11/03
01141	Poly Capital Holdings Ltd. *	Mission Capital Holdings Ltd.	2014/10/01	2014/11/04
00260	China Environmental Investment Holdings Ltd.	AVIC Joy Holdings (HK) Ltd.	2014/11/03	2014/11/07
00265	South China Holdings Ltd.	Orient Victory China Holdings Ltd.	2014/10/09	2014/11/07
00198	SMI Corporation Ltd.	SMI Holdings Group Ltd.	2014/10/27	2014/11/24
01165	Shunfeng Photovoltaic International Ltd.	Shunfeng International Clean Energy Ltd.	2014/11/13	2014/11/26
00607	Wardery International Holdings Ltd.	Fullshare Holdings Ltd.	2014/10/22	2014/11/26
00164	China Gamma Group Ltd.	REX Global Entertainment Holdings Ltd.	2014/10/29	2014/12/01
00572	China Packaging Group Co. Ltd.	China For You Group Co. Ltd.	2014/11/10	2014/12/01
00738	Le Saunda Holdings Ltd. #	Le Saunda Holdings Ltd.	2014/12/01	2014/12/01
00847	Kazakhmys PLC	KAZ Minerals PLC	2014/10/31	2014/12/03
00499	HyComm Wireless Ltd.	Qingdao Holdings International Ltd.	2014/11/17	2014/12/08
00139	iCube Technology Holdings Ltd.	China Jinhai International Group Ltd.	2014/11/13	2014/12/09
00862	Vision Values Holdings Ltd. #	Vision Values Holdings Ltd.	2014/11/25	2014/12/22
00377	New Island Development Holdings Ltd.	Huajun Holdings Ltd.	2014/11/25	2014/12/23

* Change of English name only

Change of Chinese name only

Investment companies as at the end of 2014

Code	Name	Classification	Incorporation	Listing date
02324	Capital VC Ltd.	Financials	Cayman Islands	2003/10/27
00170	China Assets (Holdings) Ltd.	Financials	Hong Kong	1992/04/15
01062	China Development Bank International Investment Ltd.	Financials	Cayman Islands	1994/05/10
00721	China Financial International Investments Ltd.	Financials	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Financials	Cayman Islands	2002/10/28
01217	China Innovation Investment Ltd.	Financials	Cayman Islands	2002/08/28
01226	China Investment and Finance Group Ltd.	Financials	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Financials	Bermuda	2000/12/12
00612	China Investments Fund Co. Ltd.	Financials	Cayman Islands	2002/01/02
00133	China Merchants China Direct Investments Ltd.	Financials	Hong Kong	1993/07/22
00080	China New Economy Fund Ltd.	Financials	Cayman Islands	2011/01/06
00356	DT Capital Ltd.	Financials	Cayman Islands	2002/06/07
00901	Eagle Ride Investment Holdings Ltd.	Financials	Cayman Islands	2002/02/28
00339	Earnest Investments Holdings Ltd.	Financials	Bermuda	2000/07/26
01160	Grand Investment International Ltd.	Financials	Bermuda	2004/04/02
00428	Harmony Assets Ltd.	Financials	Cayman Islands	1993/12/14
00905	Mastermind Capital Ltd.	Financials	Cayman Islands	1998/07/17
01227	National Investments Fund Ltd.	Financials	Cayman Islands	2002/09/27
01140	OP Financial Investments Ltd.	Financials	Cayman Islands	2003/03/20
00810	Opes Asia Development Ltd.	Financials	Bermuda	2002/02/28
00310	Prosperity Investment Holdings Ltd.	Financials	Bermuda	1992/12/21
00770	Shanghai International Shanghai Growth Investment Ltd.	Financials	Cayman Islands	1993/11/30
00666	SHK Hong Kong Industries Ltd.	Financials	Hong Kong	1990/12/21
00768	UBA Investments Ltd.	Financials	Cayman Islands	2000/01/18
00913	Unity Investments Holdings Ltd.	Financials	Cayman Islands	1999/10/27

Secondary listing stocks as at the end of 2014

Code	Name	Classification	Incorporation	Listing date	Primary list Exchange
00945	Manulife Financial Corporation	Financials	Canada	1999/09/27	Toronto
01878	SouthGobi Energy Resources Ltd.	Energy	Canada	2010/01/29	Toronto
01021	Midas Holdings Ltd.	Materials	Singapore	2010/10/06	Singapore
06210	Vale S.A. Common – DR	Materials	Brazil	2010/12/08	Brazil/New York
06230	Vale S.A. Class A Preferred – DR	Materials	Brazil	2010/12/08	Brazil/New York
00805	Glencore Xstrata plc	Materials	Jersey	2011/05/25	London
00847	KAZ Minerals PLC	Materials	England	2011/06/29	London
06388	Coach, Inc. -RS	Consumer Goods	USA	2011/12/01	New York
06288	Fast Retailing Co., Ltd. – DR	Consumer Goods	Japan	2014/03/05	Tokyo

Reorganisations/demergers

Code	Company	Particulars	Proposed date Completed/EGM date
00006	Power Assets Holdings Ltd. (Power Assets)	Power Assets proposed a separate listing of HK Electric Investments and HK Electric Investments Ltd. -SS (HK Electric) by way of a new issue and placing. Shareholders of Power Assets entitled to participate in the preferential offer 1 HK Electric share stapled unit for every 4 Power Assets shares at a price of HK\$5.45 per unit. The share stapled units of HK Electric would be listed on the Stock Exchange.	2013/09/27 2014/01/29
03888	Kingsoft Corporation Ltd. (Kingsoft)	Kingsoft proposed a separate listing of Cheetah Mobile Inc. (Cheetah) by way of a new issue and placing. Shareholders of Kingsoft entitled to receive distribution in specie of Cheetah ADS. The ADS of Cheetah would be listed on the New York Stock Exchange.	2013/12/20 2014/05/08
01777	Fantasia Holdings Group Co., Ltd. (Fantasia)	Fantasia proposed a separate listing of Colour Life Services Group Co., Ltd. (Colour Life) by way of a new issue and placing. Shareholders of Fantasia entitled to participate in the preferential offer 1 Colour Life share for every 230 Fantasia shares at a price of HK\$3.78 per share. The shares of Colour Life would be listed on the Stock Exchange.	2013/08/19 2014/06/30
00817	Franshion Properties (China) Ltd. (Franshion)	Franshion proposed a separate listing of Jinmao Investments & Jinmao (China) Investments Hldgs Ltd-SS (Jinmao) by way of a new issue and placing. Shareholders of Franshion entitled to participate in the preferential offer 1 Jinmao share stapled unit for every 114 Franshion shares at a price of HK\$5.35 per unit. The share stapled units of Jinmao would be listed on the Stock Exchange.	2014/03/31 2014/07/02
00494	Li & Fung Ltd. (Li & Fung)	Li & Fung proposed a separate listing of Global Brands Group Holding Ltd. (Global Brands) by way of introduction. Shareholders of Li & Fung entitled to receive distribution in specie 1 Global Brands share for each Li & Fung share. The shares of Global Brands would be listed on the Stock Exchange.	2014/03/20 2014/07/09
00672	Zhong An Real Estate Ltd. (Zhong An)	Zhong An proposed a separate listing of China New City Commercial Development Ltd. (China New City) by way of a new issue and placing. Shareholders of Zhong An entitled to participate in the preferential offer 20 China New City shares for every 1,000 Zhong An shares at a price of HK\$1.30 per share. The shares of China New City would be listed on the Stock Exchange.	2013/06/13 2014/07/10
00751	Skyworth Digital Holdings Ltd. (Skyworth)	Skyworth proposed spin-off of Shenzhen Skyworth Digital Technology Co., Ltd. Shareholder of Skyworth entitled to receive special dividend HK\$0.04 per Skyworth share.	2013/04/22 2014/09/26
00938	Man Sang International Ltd. (Man Sang)	Man Sang proposed a separate listing of Man Sang Jewellery Holdings Ltd. (MS Jewellery) by way of introduction. Shareholders of Man Sang entitled to receive distribution in specie 1 MS Jewellery share for every 5 Man Sang shares. The shares of MS Jewellery would be listed on the Stock Exchange.	2014/06/25 2014/10/17

Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
00074	Great Wall Technology Co. Ltd. – H Shares	Cash offer HK\$3.20 for each H share	2014/07/04	2014/07/11
01997	Regent Manner International Holdings Ltd.	Cash offer HK\$1.80 for each share	2014/07/24	2014/08/07

Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
00221	PNG Resources Holdings Ltd.	PNG Resources Holdings Ltd.	Bermuda	2014/07/31

Companies under suspension as at the end of 2014

Code	Stock	Last closing price		Date of suspension
		(HK\$)	(Date)	
00761	Bel Global Resources Holdings Ltd.	0.143	(2011/06/30)	2011/07/04
02309	Birmingham International Holdings Ltd.	0.067	(2014/12/04)	2014/12/04
01698	Boshiwa International Holding Ltd.	1.680	(2012/03/15)	2012/03/15
00682	Chaoda Modern Agriculture (Holdings) Ltd.	1.100	(2011/09/26)	2011/09/26
01073	China Agrotech Holdings Ltd.	0.245	(2014/09/18)	2014/09/18
00274	China Billion Resources Ltd.	0.087	(2011/06/28)	2011/06/29
00930	China Forestry Holdings Co., Ltd.	2.950	(2011/01/26)	2011/01/26
00591	China High Precision Automation Group Ltd.	1.240	(2012/08/21)	2012/08/22
00419	China Jiu hao Health Industry Corporation Ltd.	0.700	(2014/12/11)	2014/12/12
00067	China Lumena New Materials Corp.	1.250	(2014/03/25)	2014/03/25
00773	China Metal Recycling (Holdings) Ltd.	9.430	(2013/01/25)	2013/01/28
00581	China Oriental Group Co. Ltd.	1.160	(2014/04/28)	2014/04/29
00155	China Solar Energy Holdings Ltd.	0.180	(2013/08/16)	2013/08/16
01007	Daqing Dairy Holdings Ltd.	1.680	(2012/03/21)	2012/03/22
03335	DBA Telecommunication (Asia) Holdings Ltd.	2.030	(2013/06/05)	2013/06/06
01163	Dejin Resources Group Co. Ltd.	0.215	(2014/05/15)	2014/05/16
00828	Dynasty Fine Wines Group Ltd.	1.440	(2013/03/21)	2013/03/22
00764	Eternity Investment Ltd.	0.730	(2014/12/11)	2014/12/12
00865	First Mobile Group Holdings Ltd.	0.168	(2009/11/26)	2009/11/27
01998	Flyke International Holdings Ltd.	0.380	(2014/03/28)	2014/03/31
01353	Fujian Nuoqi Co., Ltd. – H Shares	1.000	(2014/07/23)	2014/07/23
02123	Golden Shield Holdings (Industrial) Ltd.	0.224	(2014/03/31)	2014/04/01
00186	Grande Holdings Ltd., The	0.410	(2011/05/27)	2011/05/30
01250	Jin Cai Holdings Co. Ltd.	1.400	(2014/11/26)	2014/11/27
01638	Kaisa Group Holdings Ltd.	1.590	(2014/12/24)	2014/12/29
01201	Kith Holdings Ltd.	0.700	(2013/12/17)	2013/12/18
01116	Mayer Holdings Ltd.	0.123	(2012/01/06)	2012/01/09
00462	Natural Dairy (NZ) Holdings Ltd.	2.200	(2010/09/06)	2010/09/07
02222	NVC Lighting Holding Ltd.	1.770	(2014/08/08)	2014/08/11
02078	PanAsialum Holdings Co. Ltd.	0.860	(2014/12/16)	2014/12/17
01187	Pearl River Tyre (Holdings) Ltd.	2.610	(2014/03/31)	2014/04/01
00334	Proview International Holdings Ltd.	0.202	(2010/08/02)	2010/08/02
00516	Pyxis Group Ltd.	0.170	(2010/07/02)	2010/07/05
03868	Qunxing Paper Holdings Co. Ltd.	2.180	(2011/03/29)	2011/03/30
00246	Real Gold Mining Ltd.	8.860	(2011/05/26)	2011/05/27
00583	SCMP Group Ltd.	1.950	(2013/02/25)	2013/02/26
01142	Siberian Mining Group Co. Ltd.	0.229	(2013/04/19)	2013/04/22
01863	Sijia Group Co. Ltd.	1.370	(2013/02/14)	2013/02/14
00993	Simsen International Corporation Ltd.	1.710	(2014/11/03)	2014/11/04
01228	Superb Summit International Group Ltd.	1.460	(2014/11/20)	2014/11/20
01192	Titan Petrochemicals Group Ltd.	0.246	(2012/06/18)	2012/06/19
02468	Trony Solar Holdings Co. Ltd.	0.630	(2012/06/20)	2012/06/21
00909	Zhongda International Holdings Ltd.	0.425	(2011/09/02)	2011/09/05
00948	Z-Obee Holdings Ltd.	0.210	(2014/06/27)	2014/06/27

Takeovers and mergers

* New record added

Code	Company	Proposed date Offer closing date
00593	Allied Overseas Ltd. (Name changed to SkyOcean International Holdings Ltd.) Mandatory unconditional cash offer was made by Skyocean Investment Holdings Ltd. at a price of HK\$8.61 for each share, HK\$3.61 for each warrant	2014/01/06 2014/01/27
00396	Hing Lee (HK) Holdings Ltd. Mandatory unconditional cash offer was made by King Right Holdings Ltd. at a price of HK\$1.02 for each share	2014/01/22 2014/02/12
01111 *	Chong Hing Bank Ltd. Voluntary cash partial offer was made by Yuexiu Financial Holdings Ltd. to acquire a maximum of 326,250,000 shares at a price of HK\$35.69 for each share	2014/01/15 2014/02/05
02299	Billion Industrial Holdings Ltd. Mandatory unconditional cash offer was made by Hong Kong (Rong An) Investment Ltd. at a price of HK\$4.29 for each share	2014/01/29 2014/02/26
01312	Allied Cement Holdings Ltd. Mandatory unconditional cash offer was made by China Health Management Investment Ltd. at a price of HK\$1.44 for each share	2014/02/11 2014/03/04
01633	Magic Holdings International Ltd. Conditional general cash offer was made by L'Oréal S.A. at a price of HK\$6.30 for each share	2014/02/28 2014/04/07
01240	Sunley Holdings Ltd. (Name changed to CNQC International Holdings Ltd.) Mandatory unconditional cash offer was made by CNQC Development Ltd. at a price of HK\$2.40 for each share	2014/03/21 2014/04/11
01025	Wumart Stores, Inc. – H Shares Mandatory unconditional cash offer was made by Wumei Holdings, Inc. at a price of HK\$4.98 for each H share	2014/03/17 2014/04/14
00687	Tysan Holdings Ltd. Voluntary conditional cash offer was made by Tides Holdings II Ltd. at a price of HK\$2.86 for each share	2013/12/23 2014/04/22
00306	Kwoon Chung Bus Holdings Ltd. Mandatory unconditional cash offer was made by Basic Faith Co. Ltd. at a price of HK\$2.30 for each share, HK\$2.30 less the exercise price of the relevant option for each option	2014/04/30 2014/05/21
01201	Kith Holdings Ltd. Mandatory unconditional cash offer was made by Double Key International Ltd. at a price of HK\$0.38 for each share	2014/04/25 2014/05/23
00727	VXL Capital Ltd. (Name changed to Crown International Corporation Ltd.) Mandatory unconditional cash offer was made by Crown Landmark Corporation at a price of HK\$0.2151 for each share	2014/05/05 2014/05/26
02336	Sunlink International Holdings Ltd. Mandatory unconditional cash offer was made by Rich Pro Investments Ltd. at a price of HK\$0.5584 for each share	2014/05/12 2014/06/03
00095	New Heritage Holdings Ltd. (Name changed to LVGEM (China) Real Estate Investment Co. Ltd.) Mandatory unconditional cash offer was made by China LVGEM Property Holdings Ltd. at a price of HK\$1.10 for each share, HK\$0.865 for each Option with exercise price of HK\$0.235, HK\$1.0 for each HK\$1 face value of Convertible Notes	2014/05/15 2014/06/05
06813	CapitaMalls Asia Ltd. Voluntary conditional cash offer was made by Sound Investment Holdings Pte. Ltd., a wholly-owned subsidiary of CapitaLand Ltd. at a price of SGD 2.35 for each share	2014/04/28 2014/06/09
00876	Wing Lee Holdings Ltd. (Name changed to Wing Tai Investment Holdings Ltd.) Mandatory unconditional cash offer was made by Weltrade Group Ltd. at a price of HK\$1.28 for each share	2014/05/29 2014/06/19
00645	Ares Asia Ltd. Mandatory unconditional cash offer was made by Reignwood International Holdings Co. Ltd. at a price of HK\$0.70 for each share, HK\$0.07 for each share option with exercise price of HK\$0.63	2014/06/06 2014/06/27
00074	Great Wall Technology Co. Ltd.- H Shares Voluntary conditional cash offer was made by China Electronics Corporation and China Great Wall Computer Group Co. at a price of HK\$3.20 for each H share	2014/04/11 2014/07/04
01196	Cheong Ming Investments Ltd. (Name changed to Realord Group Holdings Ltd.) Mandatory unconditional cash offer was made by Manureen Holdings Ltd. at a price of HK\$0.70 for each share	2014/06/27 2014/07/18
00982	iOne Holdings Ltd. Mandatory unconditional cash offer was made by Huajin Investment Co. Ltd. at a price of HK\$0.062 for each share	2014/06/30 2014/07/21
00485	Starlight International Holdings Ltd. (Name changed to Shihua Development Co. Ltd.) Mandatory unconditional cash offer was made by Achieve Prosper Capital Ltd. at a price of HK\$0.172 for each share	2014/06/30 2014/07/21

Takeovers and mergers (continued)

Code	Company	Proposed date Offer closing date
00356	Incutech Investments Ltd. (Name changed to DT Capital Ltd.) Mandatory unconditional cash offer was made by Sharp Years Ltd. and Hugo Lucky Ltd. at a price of HK\$0.10 for each share	2014/07/07 2014/07/28
00302	Wing Hang Bank, Ltd. Voluntary conditional cash offer was made by OCBC Pearl Ltd., a wholly owned subsidiary of Oversea-Chinese Banking Corporation Ltd. at a price of HK\$125 for each share, HK\$124 for each option with exercise price of HK\$1.00	2014/06/30 2014/07/29
03663	Xiezhong International Holdings Ltd. Mandatory unconditional cash offer was made by Brilliance International Holding Ltd. and BHAP HK Investment Ltd. at a price of HK\$0.90 for each share	2014/07/08 2014/07/29
01222	Wang On Group Ltd. Voluntary conditional cash offer was made by Caister Ltd. at a price of HK\$0.23 for each share, HK\$0.0066 for each share option with exercise price of HK\$0.2234, HK\$0.0001 for each share option with exercise price of HK\$0.3893 and HK\$2.0549	2014/07/07 2014/08/11
01682	Ford Glory Group Holdings Ltd. (Name changed to Highlight China IoT International Ltd.) Mandatory unconditional cash offer was made by Unitech Enterprises Group Ltd. at a price of HK\$0.808 for each share	2014/07/25 2014/08/15
01822	Perception Digital Holdings Ltd. Mandatory unconditional cash offer was made by Nat-Ace Pharmaceutical Ltd. at a price of HK\$0.168 for each share, HK\$0.0001 for each option	2014/07/16 2014/08/29
01868	Neo-Neon Holdings Ltd. Mandatory unconditional cash offer was made by THTF Energy-Saving Holdings Ltd. at a price of HK\$0.90 for each share, HK\$0.0001 for each option	2014/08/25 2014/09/22
00499	HyComm Wireless Ltd. (Name changed to Qingdao Holdings International Ltd.) Mandatory unconditional cash offer was made by China Qingdao International (Holdings) Co. Ltd. at a price of HK\$1.507 for each share	2014/09/02 2014/09/23
00377	New Island Development Holdings Ltd. (Name changed to Huajun Holdings Ltd.) Mandatory unconditional cash offer was made by Huajun International Ltd. at a price of HK\$0.35 for each share	2014/09/04 2014/09/25
00265	South China Holdings Ltd. (Name changed to Orient Victory China Holdings Ltd.) Mandatory unconditional cash offer was made by Orient Victory Real Estate Group Holdings Ltd. at a price of HK\$0.27425 for each share	2014/09/10 2014/10/03
00495	Paladin Ltd. Voluntary unconditional cash offer was made by Gold Seal Holdings Ltd. at a price of HK\$0.25 for each share, HK\$0.29 for each preference share	2014/07/03 2014/10/14
01159	Sinogreen Energy International Group Ltd. Mandatory unconditional cash offer was made by Reach Luck International Ltd. at a price of HK\$0.35 for each share	2014/09/26 2014/10/17
00715	Hutchison Harbour Ring Ltd. Mandatory unconditional cash offer was made by Oceanwide Holdings International Co., Ltd. at a price of HK\$0.5973 for each share, HK\$0.0001 for each option	2014/11/27 2014/12/18
00936	Eagle Legend Asia Ltd. Mandatory unconditional cash offer was made by Harbour Luck Investments Ltd. at a price of HK\$0.605 for each share	2014/12/01 2014/12/22

Share subdivisions and share consolidations

Code	Stock	Particulars	New par value (HK\$)	Effective date
00986	China Environmental Energy Investment Ltd.	Split 1 into 5	0.0005	2014/02/05
01236	National Agricultural Holdings Ltd. (Formerly: Qianlong Technology International Holdings Ltd.)	Split 1 into 4	0.0250	2014/02/11
00152	Shenzhen International Holdings Ltd.	Consolidation 10 into 1	1.0000	2014/02/13
00149	China Agri-Products Exchange Ltd.	Consolidation 40 into 1	0.0100 *	2014/02/18
01152	Infinity Financial Group (Holdings) Ltd. (Formerly: Fornton Group Ltd.)	Split 1 into 2	0.0050	2014/03/06
00273	Willie International Holdings Ltd.	Split 1 into 5	–	2014/03/13
02668	Pak Tak International Ltd.	Split 1 into 5	0.0200	2014/04/07
00115	Grand Field Group Holdings Ltd.	Consolidation 5 into 1	0.1000	2014/04/09
01076	Imperial Pacific International Holdings Ltd. (Formerly: First Natural Foods Holdings Ltd.)	Split 1 into 20	0.0005	2014/04/11
00491	See Corporation Ltd.	Consolidation 10 into 1	0.0100 *	2014/05/08
00989	Ground Properties Co. Ltd. (Formerly: China Motion Telecom International Ltd.)	Consolidation 5 into 1	0.0500	2014/05/15
00700	Tencent Holdings Ltd.	Split 1 into 5	0.00002	2014/05/15
01106	Sino Haijing Holdings Ltd.	Consolidation 5 into 1	0.1250	2014/05/20
00333	Top Form International Ltd.	Consolidation 5 into 1	0.5000	2014/05/23
00801	Golden Meditech Holdings Ltd.	Consolidation 2 into 1	0.2000	2014/06/04
00928	Tack Fiori International Group Ltd.	Split 1 into 10	0.0100	2014/06/04
00913	Unity Investments Holdings Ltd.	Split 1 into 5	0.0020	2014/06/04
00648	China Renji Medical Group Ltd.	Consolidation 20 into 1	–	2014/06/13
00102	Summit Ascent Holdings Ltd.	Split 1 into 2	0.0250	2014/06/16
00451	GCL New Energy Holdings Ltd. (Formerly: Same Time Holdings Ltd.)	Split 1 into 6	0.0167	2014/06/30
00120	Cosmopolitan International Holdings Ltd.	Consolidation 10 into 1	0.0020	2014/07/15
00179	Johnson Electric Holdings Ltd.	Consolidation 4 into 1	0.0500	2014/07/15
00943	eForce Holdings Ltd.	Consolidation 10 into 1	0.0100	2014/07/29
00474	Hao Tian Development Group Ltd.	Consolidation 10 into 1	0.0100 *	2014/07/31
00397	Jun Yang Solar Power Investments Ltd.	Consolidation 4 into 1	0.0100 *	2014/08/12
00221	PNG Resources Holdings Ltd.	Consolidation 10 into 1	0.0100 *	2014/08/20
00444	Sincere Watch (Hong Kong) Ltd.	Split 1 into 5	0.0200	2014/09/02
01159	Sinogreen Energy International Group Ltd. (Formerly: Karce International Holdings Co. Ltd.)	Consolidation 5 into 1	0.1000 *	2014/09/12
00876	Wing Tai Investment Holdings Ltd. (Formerly: Wing Lee Holdings Ltd.)	Split 1 into 8	0.00125	2014/09/23
00986	China Environmental Energy Investment Ltd.	Consolidation 20 into 1	0.0100	2014/10/03
00353	Energy International Investments Holdings Ltd.	Consolidation 10 into 1	0.1000	2014/10/08
00076	South Sea Petroleum Holdings Ltd.	Consolidation 10 into 1	–	2014/10/09
00905	Mastermind Capital Ltd.	Consolidation 10 into 1	0.2500	2014/10/10
00827	Ko Yo Chemical (Group) Ltd.	Consolidation 5 into 1	0.1000	2014/10/14
06828	Blue Sky Power Holdings Ltd. (Formerly: China Print Power Group Ltd.)	Split 1 into 10	0.0550	2014/10/15
00065	DeTeam Co. Ltd.	Consolidation 5 into 1	0.5000	2014/10/21
00616	Easyknit Enterprises Holdings Ltd.	Consolidation 10 into 1	0.0100 *	2014/10/21
02623	China Zhongsheng Resources Holdings Ltd.	Split 1 into 5	0.0020	2014/10/28
00276	Mongolia Energy Corporation Ltd.	Consolidation 4 into 1	0.0200 *	2014/11/13
00789	Artini China Co. Ltd.	Consolidation 2 into 1	0.0100 *	2014/11/18
00451	GCL New Energy Holdings Ltd. (Formerly: Same Time Holdings Ltd.)	Split 1 into 4	0.00416	2014/11/19
00901	Eagle Ride Investment Holdings Ltd. (Formerly: Radford Capital Investment Ltd.)	Split 1 into 8	0.0125	2014/12/04
00273	Willie International Holdings Ltd.	Split 1 into 10	–	2014/12/04
00279	Freeman Financial Corporation Ltd.	Split 1 into 10	0.0010	2014/12/15
01059	Kantone Holdings Ltd.	Consolidation 10 into 1	1.0000	2014/12/16
02393	Yestar International Holdings Co. Ltd.	Split 1 into 4	0.0250	2014/12/16
01004	Rising Development Holdings Ltd.	Split 1 into 4	0.0025	2014/12/19
00851	Sheng Yuan Holdings Ltd.	Split 1 into 2	0.0500	2014/12/30

* New par value after capital reorganisation

Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
00211	Styland Holdings Ltd.	2 2015 wts for 10	2014/01/29
01613	Synertone Communication Corporation	4 for 1	2014/02/12
00149	China Agri-Products Exchange Ltd.	1 for 15 rts shs	2014/02/21
02322	Noble Century Investment Holdings Ltd.	2 for 1 rts shs	2014/03/07
02324	Capital VC Ltd.	1 wt for 4 offer shs	2014/03/19
00993	Simsen International Corporation Ltd.	9 for 1	2014/04/02
00016	Sun Hung Kai Properties Ltd.	1 2016 wt for 12	2014/04/08
00723	Sustainable Forest Holdings Ltd.	5 for 1 offer sh	2014/04/08
02369	Coolpad Group Ltd.	1 for 1	2014/05/27
00653	Bonjour Holdings Ltd.	1 for 10	2014/05/28
03886	Town Health International Medical Group Ltd.	4 for 1	2014/05/28
01184	S.A.S. Dragon Holdings Ltd.	1 for 1	2014/06/04
00801	Golden Meditech Holdings Ltd.	2 2015 wts for 11 (after consolidation 2 into 1)	2014/06/05
00460	Sihuan Pharmaceutical Holdings Group Ltd.	1 for 1	2014/06/05
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2014/06/06
00012	Henderson Land Development Co. Ltd.	1 for 10	2014/06/11
01988	China Minsheng Banking Corp., Ltd. – H Shares	2 for 10	2014/06/12
00895	Dongjiang Environmental Co. Ltd. – H Shares	5 for 10	2014/06/12
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	1 for 1	2014/06/17
02488	Launch Tech Co. Ltd. – H Shares	4 for 1	2014/06/30
01103	Shanghai Tonva Petrochemical Co., Ltd. – H Shares	5 for 10	2014/07/02
00471	CMMB Vision Holdings Ltd.	1 for 2 rts shs	2014/07/04
00474	Hao Tian Development Group Ltd.	1 for 1 offer sh	2014/08/01
01271	Grand Ming Group Holdings Ltd.	1 for 10	2014/08/04
01141	Mission Capital Holdings Ltd.	1 for 4	2014/08/18
00412	Heritage International Holdings Ltd.	1 for 2	2014/08/20
00248	HKC International Holdings Ltd.	1 for 8	2014/08/28
00279	Freeman Financial Corporation Ltd.	1 for 1	2014/09/01
00329	Dragonite International Ltd.	4 for 1	2014/09/03
00273	Willie International Holdings Ltd.	1 for 4	2014/09/11
00190	HKC (Holdings) Ltd.	1 wt for 5	2014/09/30
00913	Unity Investments Holdings Ltd.	4 for 1	2014/10/07
02342	Comba Telecom Systems Holdings Ltd.	1 for 10	2014/10/10
01102	Enviro Energy International Holdings Ltd.	3 wts for 5 offer shs	2014/10/15
00396	Hing Lee (HK) Holdings Ltd.	2 for 1	2014/10/17
00131	Cheuk Nang (Holdings) Ltd.	1 wt for 50	2014/10/23
03823	Tech Pro Technology Development Ltd.	1 for 5	2014/11/11
00091	International Standard Resources Holdings Ltd.	2 wts for 15	2014/11/13
00723	Sustainable Forest Holdings Ltd.	17 for 1 offer sh	2014/11/20
01271	Grand Ming Group Holdings Ltd.	1 for 10	2014/12/01
00273	Willie International Holdings Ltd.	1 for 2 subdivided shs	2014/12/05
00279	Freeman Financial Corporation Ltd.	1 for 2 subdivided shs	2014/12/16

Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
00160	Hon Kwok Land Investment Co., Ltd.	Rts 1 for 2 @\$2.70	648.39	2013/12/19
00326	China Star Entertainment Ltd.	Open offer 2 for 5 @\$0.125	328.37	2014/01/14 2013/12/20 2014/01/15
00169	Wanda Hotel Development Co. Ltd. (Formerly: Wanda Commercial Properties (Group) Co., Ltd.)	Rts 3 for 10 @\$2.88	2,467.51	2013/12/20 2014/01/15
01822	Perception Digital Holdings Ltd.	Open offer 6 for 5 @\$0.05	67.23	2013/12/23 2014/01/17
00180	Kader Holdings Co. Ltd.	Rts 3 for 7 @\$0.38	108.37	2013/12/19 2014/01/21
01333	China Zhongwang Holdings Ltd.	Open offer 3 for 10 @HKD 2.61 (entitled to apply for convertible preference shares in lieu of all or part of ordinary shares)	7.06 *	2014/01/02 2014/01/22
00582	Landing International Development Ltd.	Rts 1 for 2 @\$0.30	1,416.64	2013/12/30 2014/01/23
00191	Lai Sun Garment (International) Ltd.	Rts 4 for 25 @\$0.834	215.83	2013/12/30 2014/01/27
00643	Carry Wealth Holdings Ltd.	Rts 1 for 2 @\$0.50	133.85	2014/01/10 2014/02/05
01227	National Investments Fund Ltd.	Rts 3 for 1 @\$0.10	99.50	2014/01/17 2014/02/13
00115	Grand Field Group Holdings Ltd.	Open offer 1 for 2 @\$0.04	50.76	2014/02/04 2014/02/28
01124	Coastal Greenland Ltd.	Open offer 1 for 2 @\$0.25	348.82	2014/02/10 2014/03/07
00149	China Agri-Products Exchange Ltd.	Rts 15 for 1 @HKD 0.465 (after consolidation 40 into 1) with bonus 1 for 15 rts shs	514.58	2014/02/21 2014/03/17
02322	Noble Century Investment Holdings Ltd.	Rts 1 for 1 @\$0.18 with bonus 2 for 1 rts shs	151.92	2014/03/07 2014/03/28
00860	Ming Fung Jewellery Group Ltd.	Open offer 1 for 2 @\$0.08	174.64	2014/03/11 2014/04/02
00444	Sincere Watch (Hong Kong) Ltd.	Rts 1 for 2 @\$0.60	122.40	2014/03/11 2014/04/03
02324	Capital VC Ltd.	Open offer 4 for 1 @\$0.25 with bonus 1 wt for 4 offer shs	171.39	2014/03/19 2014/04/09
01166	Solartech International Holdings Ltd.	Open offer 5 for 1 @\$0.12	188.99	2014/03/17 2014/04/09
00017	New World Development Co. Ltd.	Rts 1 for 3 @\$6.20	13,316.36	2014/03/26 2014/04/17
00440	Dah Sing Financial Holdings Ltd.	Rts 13 for 100 @\$23.40	902.03	2014/04/01 2014/04/23
02356	Dah Sing Banking Group Ltd.	Rts 12 for 100 @\$8.00	1,201.32	2014/04/01 2014/04/30
00723	Sustainable Forest Holdings Ltd.	Open offer 1 for 10 @\$0.32 with bonus 5 for 1 offer sh	44.54	2014/04/08 2014/05/05
00656	Fosun International Ltd.	Rts 39 for 500 @\$9.76	4,888.63	2014/04/15 2014/05/13
00989	Ground Properties Co. Ltd. (Formerly: China Motion Telecom International Ltd.)	Open offer 1 for 2 @\$0.20	286.15	2014/04/24 2014/05/21
00665	Haitong International Securities Group Ltd.	Rts 1 for 2 @\$3.80	2,652.32	2014/04/28 2014/05/23
00188	Sunwah Kingsway Capital Holdings Ltd.	Open offer 1 for 4 @\$0.148	136.20	2014/04/30 2014/05/26
00491	See Corporation Ltd.	Rts 9 for 1 @\$0.228 (after consolidation 10 into 1)	306.66	2014/05/09 2014/05/29
01003	21 Holdings Ltd.	Open offer 1 for 2 @\$0.50	96.19	2014/05/15 2014/06/06
00572	China For You Group Co. Ltd. (Formerly: China Packaging Group Co. Ltd.)	Open offer 1 for 2 @\$0.04	43.81	2014/05/05 2014/06/10
00220	Uni-President China Holdings Ltd.	Rts 1 for 5 @\$4.56	3,282.69	2014/05/26 2014/06/17
06823	HKT Ltd.	Rts 18 for 100 @\$6.84	7,900.28	2014/06/19 2014/07/15
01226	China Investment and Finance Group Ltd.	Open offer 1 for 2 @\$0.20	58.16	2014/06/19 2014/07/16
00471	CMMB Vision Holdings Ltd.	Rts 2 for 1 @\$0.15 with bonus 1 for 2 rts shs	276.36	2014/07/04 2014/07/24
00120	Cosmopolitan International Holdings Ltd.	Open offer 2 for 1 consolidated sh @\$0.10 (with option to receive convertible preference shares in lieu of all or part of open offers shares on a one-for-one basis)	205.13 *	2014/07/16 2014/08/07
00519	Applied Development Holdings Ltd.	Rts 1 for 2 @\$0.139	80.60	2014/07/18 2014/08/08
02700	Green International Holdings Ltd.	Open offer 3 for 10 @\$0.45	179.69	2014/07/21 2014/08/11
00356	DT Capital Ltd. (Formerly: Incutech Investments Ltd.)	Open offer 15 for 2 @HKD 0.10 (only available to the qualifying shareholders)	42.75	2014/07/30 2014/08/22
00943	eForce Holdings Ltd.	Rts 16 for 1 @\$0.50 (after consolidation 10 into 1)	262.05	2014/08/01 2014/08/26
00474	Hao Tian Development Group Ltd.	Open offer 2 for 1 @\$0.25 with bonus 1 for 1 offer sh (after consolidation 10 into 1)	198.60	2014/08/01 2014/08/26
00859	Henry Group Holdings Ltd.	Open offer 1 for 5 @\$0.85	126.31	2014/08/01 2014/08/28

Rights issues/open offers (continued)

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
00720	Auto Italia Holdings Ltd.	Open offer 1 for 2 @\$0.065	112.43	2014/08/07 2014/09/01
00164	REX Global Entertainment Holdings Ltd. (Formerly: China Gamma Group Ltd.)	Rts 1 for 2 @\$0.068	149.52	2014/08/13 2014/09/04
00904	China Culiangwang Beverages Holdings Ltd. (Formerly: China Green (Holdings) Ltd.)	Open offer 1 for 2 @\$0.25	132.61	2014/08/20 2014/09/12
00080	China New Economy Fund Ltd.	Rts 1 for 2 @\$0.28	42.42	2014/08/22 2014/09/15
00810	Opes Asia Development Ltd.	Rts 4 for 1 @\$0.10	210.66	2014/08/27 2014/09/19
01788	Guotai Junan International Holdings Ltd.	Rts 1 for 5 @\$5.30	2,006.37	2014/08/29 2014/09/22
00648	China Renji Medical Group Ltd.	Rts 1 for 2 @\$0.27	100.54	2014/09/08 2014/09/30
01196	Realord Group Holdings Ltd. (Formerly: Cheong Ming Investments Ltd.)	Rts 1 for 4 @\$1.00	158.84	2014/09/11 2014/10/06
00923	Integrated Waste Solutions Group Holdings Ltd.	Open offer 1 for 1 @\$0.20	482.23	2014/09/10 2014/10/07
02007	Country Garden Holdings Co. Ltd.	Rts 1 for 15 @\$2.50	3,179.97	2014/09/15 2014/10/08
00123	Yuexiu Property Co. Ltd.	Rts 33 for 100 @\$1.25	3,846.27	2014/09/18 2014/10/14
01428	Bright Smart Securities & Commodities Group Ltd.	Rts 1 for 2 @\$1.00	561.15	2014/09/22 2014/10/15
00726	South East Group Ltd.	Rts 8 for 1 @\$0.10	291.96	2014/09/26 2014/10/22
01172	Midas International Holdings Ltd.	Rts 1 for 2 @\$0.10	110.36	2014/10/03 2014/10/28
01102	Enviro Energy International Holdings Ltd.	Open offer 1 for 2 @\$0.02 with bonus 3 wts for 5 offer shs	34.94	2014/10/15 2014/11/07
00616	Easyknit Enterprises Holdings Ltd.	Rts 8 for 1 @\$0.70 (after consolidation 10 into 1)	315.09	2014/10/22 2014/11/10
03383	Agile Property Holdings Ltd.	Rts 1 for 8 @\$3.80	1,653.86	2014/10/21 2014/11/11
00495	Paladin Ltd.	Open offer 1 Convertible Note or 1 Ordinary sh for 2 Ordinary shs @\$0.25	39.77 *	2014/10/13 2014/11/12
01249	Tonly Electronics Holdings Ltd.	Rts 1 for 2 @\$5.10	423.25	2014/10/21 2014/11/13
00966	China Taiping Insurance Holdings Co. Ltd.	Rts 21 for 100 @\$11.89	6,413.56	2014/10/16 2014/11/18
00065	DeTeam Co. Ltd.	Open offer 1 for 1 @\$0.50 (after consolidation 5 into 1)	114.62	2014/10/31 2014/11/24
01862	Jingrui Holdings Ltd.	Rts 3 for 100 @\$ 3.51	132.01	2014/11/07 2014/12/01
02328	PICC Property and Casualty Co. Ltd. – H Shares	Rts 0.9 for 10 @\$7.46	2,833.14	2014/11/10 2014/12/02
00235	China Strategic Holdings Ltd.	Rts 1 for 2 @\$0.08	177.56	2014/10/29 2014/12/04
00197	Heng Tai Consumables Group Ltd.	Open offer 1 for 5 @\$0.07	76.38	2014/11/11 2014/12/05
01001	Van Shung Chong Holdings Ltd.	Open offer 1 for 2 @\$0.50	105.38	2014/11/14 2014/12/09
01174	Pacific Andes International Holdings Ltd.	Rts 1 for 2 @\$0.18	424.99	2014/11/20 2014/12/10
00789	Artini China Co. Ltd.	Open offer 3 for 1 @\$0.10 (after consolidation 2 into 1)	185.60	2014/11/19 2014/12/11
00723	Sustainable Forest Holdings Ltd.	Open offer 1 for 6 @\$0.32 with bonus 17 for 1 offer sh	118.77	2014/11/20 2014/12/12
01312	Allied Cement Holdings Ltd.	Open offer 1 for 2 @\$1.50	495.00	2014/11/20 2014/12/15
00069	Shangri-La Asia Ltd.	Rts 1 for 7 @\$11.10	4,967.24	2014/11/14 2014/12/15
00975	Mongolian Mining Corporation	Rts 3 for 2 @\$0.28	1,556.12	2014/11/26 2014/12/17
01178	Vitop Bioenergy Holdings Ltd.	Open offer 1 for 2 @\$0.10	83.42	2014/11/27 2014/12/18
01387	Renhe Commercial Holdings Co. Ltd.	Rts 1 for 2 @\$0.32	3,383.70	2014/12/03 2014/12/30
Total			78,622.81	

* Fund raised amount excludes the portion from convertible preference shares/notes
Notes: Figures may not add up to total due to rounding.

Placings

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
01777	Fantasia Holdings Group Co., Ltd.	863,600,074	1.4129	1,220.18	2014/01/06
02123	Golden Shield Holdings (Industrial) Ltd.	113,000,000	0.2900	32.77	2014/01/08
00204	China Investment Development Ltd.	70,000,000	1.4200	99.40	2014/01/14
00419	China Jiu hao Health Industry Corporation Ltd.	285,714,285	0.3500	100.00	2014/01/15
02883	China Oilfield Services Ltd. – H Shares	276,272,000	21.3000	5,884.59	2014/01/15
02012	Sunshine Oilsands Ltd.	45,588,235	1.7000	77.50	2014/01/15
00875	Cypress Jade Agricultural Holdings Ltd.	34,480,000	0.1450	5.00	2014/01/16
00702	Sino Oil and Gas Holdings Ltd.	300,000,000	0.2200	66.00	2014/01/16
00364	Ping Shan Tea Group Ltd.	600,000,000	0.1800	108.00	2014/01/17
00810	Opes Asia Development Ltd.	71,832,000	0.1650	11.85	2014/01/20
01020	Sinoref Holdings Ltd.	200,000,000	0.3800	76.00	2014/01/20
02012	Sunshine Oilsands Ltd.	45,000,000	1.7000	76.50	2014/01/21
00460	Sihuan Pharmaceutical Holdings Group Ltd.	7,067,103	7.5500	53.36	2014/01/22
01668	China South City Holdings Ltd.	680,300,000	2.2000	1,496.66	2014/01/23
02339	Norstar Founders Group Ltd. (Name changed to BeijingWest Industries International Ltd.)	1,351,070,395	0.1168	157.81	2014/01/23
01680	Macau Legend Development Ltd.	188,000,000	7.2500	1,363.00	2014/01/24
00881	Zhongsheng Group Holdings Ltd.	238,560,162	10.7992	2,576.25	2014/01/24
00628	Sino Credit Holdings Ltd.	10,000,000	0.6500	6.50	2014/01/27
00706	Beautiful China Holdings Co. Ltd.	2,000,000,000	0.1000	200.00	2014/01/28
06828	China Print Power Group Ltd. (Name changed to Blue Sky Power Holdings Ltd.)	40,018,000	2.6000	104.05	2014/01/28
00956	China Sienten Green Energy Corporation Ltd. – H Shares	476,725,396	3.3500	1,597.03	2014/01/28
00479	CIL Holdings Ltd.	313,820,000	0.1100	34.52	2014/01/28
00686	United Photovoltaics Group Ltd.	480,000,000	1.7200	825.60	2014/01/29
01187	Pearl River Tyre (Holdings) Ltd.	20,000,000	2.5000	50.00	2014/01/30
00653	Bonjour Holdings Ltd.	105,000,000	1.5600	163.80	2014/02/04
02309	Birmingham International Holdings Ltd.	1,260,000,000	0.0500	63.00	2014/02/05
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	356,975,520	3.3000	1,178.02	2014/02/05
00628	Sino Credit Holdings Ltd.	110,000,000	0.6500	71.50	2014/02/05
00039	Sino Distillery Group Ltd.	239,032,479	0.4050	96.81	2014/02/07
02012	Sunshine Oilsands Ltd.	45,000,000	1.7000	76.50	2014/02/07
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	387,453,797	7.2900	2,824.54	2014/02/11
00198	SMI Corporation Ltd. (Name changed to SMI Holdings Group Ltd.)	550,000,000	0.2100	115.50	2014/02/11
00476	Sinocop Resources (Holdings) Ltd. (Name changed to China Dynamics (Holdings) Ltd.)	89,000,000	0.6000	53.40	2014/02/12
00159	Brockman Mining Ltd.	487,500,000	0.4000	195.00	2014/02/13
00476	Sinocop Resources (Holdings) Ltd. (Name changed to China Dynamics (Holdings) Ltd.)	107,350,000	0.6000	64.41	2014/02/14
00108	Buildmore International Ltd. (Name changed to GR Properties Ltd.)	395,920,914	1.0000	395.92	2014/02/17
00509	Century Sunshine Group Holdings Ltd.	155,077,000	0.7500	116.31	2014/02/24
00776	JF Household Furnishings Ltd.	47,867,000	3.1400	150.30	2014/02/25
01029	IRC Ltd.	165,000,000	0.9400	155.10	2014/02/26
00476	Sinocop Resources (Holdings) Ltd. (Name changed to China Dynamics (Holdings) Ltd.)	225,950,000	0.6000	135.57	2014/02/28
02012	Sunshine Oilsands Ltd.	45,653,958	1.7000	77.61	2014/02/28
02312	China Financial Leasing Group Ltd.	88,600,000	0.2600	23.04	2014/03/04
00764	Eternity Investment Ltd.	91,000,000	0.7800	70.98	2014/03/04
00628	Sino Credit Holdings Ltd.	90,000,000	0.7000	63.00	2014/03/04
00009	Cheung Wo International Holdings Ltd.	214,200,000	0.5600	119.95	2014/03/05
01319	Oi Wah Pawnshop Credit Holdings Ltd.	50,000,000	1.4500	72.50	2014/03/05
00471	CMMB Vision Holdings Ltd.	61,035,149	0.2600	15.87	2014/03/07
01428	Bright Smart Securities & Commodities Group Ltd.	75,000,000	1.3000	97.50	2014/03/11
00703	Future Bright Holdings Ltd.	65,400,000	4.3000	281.22	2014/03/14
00182	China WindPower Group Ltd.	880,000,000	0.4300	378.40	2014/03/19
00720	Auto Italia Holdings Ltd.	500,000,000	0.1250	62.50	2014/03/20
00651	China Ocean Shipbuilding Industry Group Ltd.	530,000,000	0.1070	56.71	2014/03/20
01169	Haier Electronics Group Co., Ltd.	52,389,996	18.4130	964.66	2014/03/20
00037	Far East Hotels & Entertainment Ltd.	97,768,000	0.1900	18.58	2014/03/26
02319	China Mengniu Dairy Co. Ltd.	121,236,357	42.5000	5,152.55	2014/03/27
00756	China Tianyi Holdings Ltd.	103,888,000	1.1200	116.35	2014/03/27
00904	China Green (Holdings) Ltd. (Name changed to China Culiangwang Beverages Holdings Ltd.)	176,805,000	0.6000	106.08	2014/03/28
01278	China New Town Development Co. Ltd.	5,347,921,071	0.2700	1,443.94	2014/03/28
00381	Kiu Hung Energy Holdings Ltd.	135,000,000	0.1750	23.63	2014/03/31
00628	Sino Credit Holdings Ltd.	90,000,000	0.7500	67.50	2014/03/31
00729	Sinopoly Battery Ltd. (Name changed to FDG Electric Vehicles Ltd.)	1,400,000,000	0.5000	700.00	2014/03/31
00698	Tongda Group Holdings Ltd.	600,000,000	1.1200	672.00	2014/03/31
00582	Landing International Development Ltd.	1,370,000,000	0.3400	465.80	2014/04/01
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares	67,214,000	26.5100	1,781.84	2014/04/03
01130	China Environmental Resources Group Ltd.	157,142,418	0.3750	58.93	2014/04/04
00630	AMCO United Holding Ltd.	175,160,000	0.2390	41.86	2014/04/07
00476	Sinocop Resources (Holdings) Ltd. (Name changed to China Dynamics (Holdings) Ltd.)	450,000,000	0.8300	373.50	2014/04/07
00176	United Pacific Industries Ltd.	147,428,134	0.8870	130.77	2014/04/08
00572	China Packaging Group Co. Ltd. (Name changed to China For You Group Co. Ltd.)	169,000,000	0.1050	17.75	2014/04/10
00582	Landing International Development Ltd.	500,000,000	0.4000	200.00	2014/04/11
00905	Mastermind Capital Ltd.	400,000,000	0.1000	40.00	2014/04/14
00943	eForce Holdings Ltd.	36,575,414	0.2350	8.60	2014/04/15
00605	China Financial Services Holdings Ltd.	300,000,000	0.5500	165.00	2014/04/17
00712	Comtec Solar Systems Group Ltd.	59,541,985	1.3100	78.00	2014/04/17
00689	EPI (Holdings) Ltd.	682,480,000	0.2280	155.61	2014/04/22
00326	China Star Entertainment Ltd.	3,200,000,000	0.1250	400.00	2014/04/23

Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
01788	Guotai Junan International Holdings Ltd.	160,000,000	4.3000	688.00	2014/04/25
00633	China All Access (Holdings) Ltd.	120,000,000	3.2000	384.00	2014/04/28
01830	Perfect Shape (PRC) Holdings Ltd.	75,000,000	1.7500	131.25	2014/04/28
01089	Sumpo Food Holdings Ltd.	332,000,000	0.2000	66.40	2014/04/29
00241	CITIC 21CN Co. Ltd. (Name changed to Alibaba Health Information Technology Ltd.)	4,423,175,008	0.3000	1,326.95	2014/04/30
01029	IRC Ltd.	165,000,000	0.9400	155.10	2014/04/30
01178	Vitop Bioenergy Holdings Ltd.	278,000,000	0.1121	31.16	2014/04/30
01003	21 Holdings Ltd.	64,000,000	0.8100	51.84	2014/05/05
00479	CIL Holdings Ltd.	627,660,000	0.1100	69.04	2014/05/05
00102	Summit Ascent Holdings Ltd.	52,000,000	11.3000	587.60	2014/05/05
00521	Shougang Concord Technology Holdings Ltd.	379,520,000	0.3000	113.86	2014/05/07
01069	China Agroforestry Low-Carbon Holdings Ltd.	74,000,000	1.3000	96.20	2014/05/08
01188	Hybrid Kinetic Group Ltd.	1,979,750,000	0.1000	197.98	2014/05/08
01152	Fornton Group Ltd. (Name changed to Infinity Financial Group (Holdings) Ltd.)	120,000,000	1.0000	120.00	2014/05/09
00451	GCL New Energy Holdings Ltd.	410,000,000	4.0000	1,640.00	2014/05/09
00381	Kiu Hung Energy Holdings Ltd.	75,600,000	0.1600	12.10	2014/05/09
00521	Shougang Concord Technology Holdings Ltd.	158,908,000	0.3000	47.67	2014/05/09
00802	RCG Holdings Ltd.	167,080,000	0.2700	45.11	2014/05/12
00718	Bestway International Holdings Ltd.	150,000,000	0.5600	84.00	2014/05/15
00039	Sino Distillery Group Ltd.	80,000,000	0.7000	56.00	2014/05/16
00628	Sino Credit Holdings Ltd.	43,000,000	1.2500	53.75	2014/05/20
00096	Yusei Holdings Ltd.	26,400,000	0.8000	21.12	2014/05/20
00185	Heng Fai Enterprises Ltd.	53,000,000	0.3600	19.08	2014/05/22
00810	Opes Asia Development Ltd.	86,390,000	0.4100	35.42	2014/05/23
01019	Convoy Financial Services Holdings Ltd. (Name changed to Convoy Financial Holdings Ltd.)	39,800,000	1.1500	45.77	2014/05/27
01211	BYD Co. Ltd. – H Shares	121,900,000	35.0000	4,266.50	2014/05/30
00033	Harmonic Strait Financial Holdings Ltd.	230,000,000	0.1000	23.00	2014/05/30
01082	Hong Kong Education (Int'l) Investments Ltd.	115,200,000	0.1450	16.70	2014/05/30
01191	Yueshou Environmental Holdings Ltd.	220,000,000	0.2000	44.00	2014/05/30
00986	China Environmental Energy Investment Ltd.	180,000,000	0.1070	19.26	2014/06/03
01323	Newtree Group Holdings Ltd.	23,700,000	2.4500	58.07	2014/06/06
00673	China HealthCare Holdings Ltd.	33,500,000	0.2120	7.10	2014/06/09
00033	Harmonic Strait Financial Holdings Ltd.	100,000,000	0.1000	10.00	2014/06/09
01522	China City Railway Transportation Technology Hldgs Co. Ltd.	332,691,575	1.2500	415.86	2014/06/10
01830	Perfect Shape (PRC) Holdings Ltd.	58,000,000	1.9000	110.20	2014/06/10
01129	China Water Industry Group Ltd.	222,000,000	1.0000	222.00	2014/06/12
00981	Semiconductor Manufacturing International Corporation	2,590,000,000	0.6000	1,554.00	2014/06/12
00145	Hong Kong Building and Loan Agency Ltd., The	257,000,000	0.8000	205.60	2014/06/13
00582	Landing International Development Ltd.	700,000,000	0.5000	350.00	2014/06/17
00616	Easyknit Enterprises Holdings Ltd.	65,200,000	0.3500	22.82	2014/06/18
01094	China Public Procurement Ltd.	698,888,000	0.3600	251.60	2014/06/20
01060	ChinaVision Media Group Ltd. (Name changed to Alibaba Pictures Group Ltd.)	12,488,058,846	0.5000	6,244.03	2014/06/24
02012	Sunshine Oilsands Ltd.	640,000,000	0.8500	544.00	2014/06/24
00673	China HealthCare Holdings Ltd.	16,500,000	0.2120	3.50	2014/06/25
00997	Chinlink International Holdings Ltd.	350,000,000	0.5500	192.50	2014/06/26
01237	Merry Garden Holdings Ltd.	200,000,000	0.8000	160.00	2014/06/26
00476	China Dynamics (Holdings) Ltd.	96,000,000	0.9200	88.32	2014/06/27
00630	AMCO United Holding Ltd.	175,160,000	0.2390	41.86	2014/06/30
00356	Incutech Investments Ltd. (Name changed to DT Capital Ltd.)	1,400,000,000	0.1000	140.00	2014/06/30
00727	VXL Capital Ltd. (Name changed to Crown International Corporation Ltd.)	300,000,000	0.3600	108.00	2014/07/02
01833	Intime Retail (Group) Co. Ltd.	220,541,892	7.5335	1,661.45	2014/07/07
01020	Sinoref Holdings Ltd.	110,000,000	0.5700	62.70	2014/07/07
00582	Landing International Development Ltd.	500,000,000	0.5000	250.00	2014/07/08
01043	Coslight Technology International Group Ltd.	30,000,000	5.4000	162.00	2014/07/09
00872	Jinheng Automotive Safety Technology Holdings Ltd.	102,300,000	0.3700	37.85	2014/07/09
01822	Perception Digital Holdings Ltd.	616,275,000	0.1680	103.53	2014/07/09
00376	ReOrient Group Ltd.	17,021,277	2.3500	40.00	2014/07/09
01046	Universe International Holdings Ltd.	343,200,000	0.1000	34.32	2014/07/09
00397	Jun Yang Solar Power Investments Ltd.	1,500,000,000	0.1000	150.00	2014/07/11
00039	Sino Distillery Group Ltd.	82,000,000	0.7000	57.40	2014/07/14
00702	Sino Oil and Gas Holdings Ltd.	2,900,000,000	0.2050	594.50	2014/07/16
00395	Smartac Group China Holdings Ltd.	260,000,000	0.3100	80.60	2014/07/16
00228	China Energy Development Holdings Ltd.	1,584,224,000	0.1010	160.01	2014/07/18
00556	Pan Asia Environmental Protection Group Ltd.	40,000,000	1.6300	65.20	2014/07/22
03303	Jutal Offshore Oil Services Ltd.	40,000,000	1.8500	74.00	2014/07/23
00139	ICube Technology Holdings Ltd. (Name changed to China Jinhai International Group Ltd.)	406,747,565	0.1250	50.84	2014/07/24
00598	Sinotrans Ltd. – H Shares	357,481,000	4.8000	1,715.91	2014/07/24
00521	Shougang Concord Technology Holdings Ltd.	418,144,000	0.3000	125.44	2014/07/28
00705	CVM Minerals Ltd. (Name changed to Ding He Mining Holdings Ltd.)	321,636,000	0.1500	48.25	2014/07/30
01071	Huadian Power International Corporation Ltd. – H Shares	286,205,600	4.9200	1,408.13	2014/07/30
00706	Beautiful China Holdings Co. Ltd.	426,000,000	0.4000	170.40	2014/07/31
00735	China Power New Energy Development Co. Ltd.	339,400,110	0.7500	254.55	2014/07/31
02389	Genvon Group Ltd.	400,000,000	0.3250	130.00	2014/07/31
01082	Hong Kong Education (Int'l) Investments Ltd.	394,080,000	0.1450	57.14	2014/07/31
01868	Neo-Neon Holdings Ltd.	1,000,000,000	0.9000	900.00	2014/08/01
00033	Harmonic Strait Financial Holdings Ltd.	357,000,000	0.1000	35.70	2014/08/04
00245	China Seven Star Holdings Ltd.	110,000,000	0.1690	18.59	2014/08/05
01380	China Kingstone Mining Holdings Ltd.	40,000,000	0.2500	10.00	2014/08/06
01363	CT Environmental Group Ltd.	60,000,000	5.9000	354.00	2014/08/06

Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
01069	China Agroforestry Low-Carbon Holdings Ltd.	47,504,000	1.6500	78.38	2014/08/07
00745	China National Culture Group Ltd.	709,000,000	0.3000	212.70	2014/08/07
00968	Xinyi Solar Holdings Ltd.	380,000,000	2.0700	786.60	2014/08/07
00295	Kong Sun Holdings Ltd.	6,528,080,000	0.3600	2,350.11	2014/08/08
01355	Legend Strategy International Holdings Group Co. Ltd.	43,500,000	0.7900	34.37	2014/08/12
01227	National Investments Fund Ltd.	132,660,000	0.6000	79.60	2014/08/15
00209	China Tycoon Beverage Holdings Ltd.	337,280,000	0.2050	69.14	2014/08/19
00444	Sincere Watch (Hong Kong) Ltd.	80,000,000	1.3400	107.20	2014/08/20
00835	Asia Coal Ltd.	202,000,000	0.1000	20.20	2014/08/22
00616	Easyknit Enterprises Holdings Ltd.	78,000,000	0.3000	23.40	2014/08/22
00439	KuangChi Science Ltd.	1,666,666,668	0.0800	133.33	2014/08/22
00267	CITIC Pacific Ltd. (Name changed to CITIC Ltd.)	3,952,114,000	13.4800	53,274.50	2014/08/25
00055	Neway Group Holdings Ltd.	203,860,000	0.1630	33.23	2014/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	586,000,000	0.1860	109.00	2014/08/28
00959	Amax International Holdings Ltd.	6,400,000	1.2000	7.68	2014/08/29
00204	China Investment Development Ltd.	22,000,000	1.4500	31.90	2014/08/29
02389	Genvon Group Ltd.	3,984,000,000	0.2500	996.00	2014/08/29
00307	Up Energy Development Group Ltd.	575,100,000	1.0000	575.10	2014/09/01
00566	Hanergy Thin Film Power Group Ltd.	9,000,000,000	0.1000	900.00	2014/09/02
00149	China Agri-Products Exchange Ltd.	250,000,000	0.3300	82.50	2014/09/03
00198	SMI Corporation Ltd. (Name changed to SMI Holdings Group Ltd.)	780,000,000	0.2850	222.30	2014/09/03
00235	China Strategic Holdings Ltd.	739,800,000	0.1600	118.37	2014/09/05
01348	Quali-Smart Holdings Ltd.	48,000,000	1.2500	60.00	2014/09/08
03777	China Fiber Optic Network System Group Ltd.	291,000,000	2.4200	704.22	2014/09/10
01689	Huaxi Holdings Co. Ltd.	28,000,000	1.7000	47.60	2014/09/12
00275	Hanny Holdings Ltd.	224,000,000	0.3600	80.64	2014/09/15
00136	Mascotte Holdings Ltd.	847,015,679	0.0900	76.23	2014/09/16
01378	China Hongqiao Group Ltd.	275,880,000	6.1900	1,707.70	2014/09/17
00852	Strong Petrochemical Holdings Ltd.	57,794,409	1.1000	63.57	2014/09/18
01366	Jiangnan Group Ltd.	317,950,000	1.9500	620.00	2014/09/19
01159	Sinogreen Energy International Group Ltd.	325,000,000	0.3500	113.75	2014/09/19
01227	National Investments Fund Ltd.	132,679,325	0.7000	92.88	2014/09/22
00086	Sun Hung Kai & Co. Ltd.	160,000,000	6.3000	1,008.00	2014/09/22
00085	China Electronics Corporation Holdings Co. Ltd.	338,312,000	1.6300	551.45	2014/09/25
00497	CSI Properties Ltd.	1,300,000,000	0.3500	455.00	2014/09/25
01808	Enterprise Development Holdings Ltd.	293,477,920	0.2380	69.85	2014/09/25
00309	Xinhua News Media Holdings Ltd.	65,200,000	0.3250	21.19	2014/09/25
00048	China Automotive Interior Decoration Holdings Ltd.	230,400,000	0.3800	87.55	2014/09/26
00221	PNG Resources Holdings Ltd.	150,000,000	0.3250	48.75	2014/09/26
06168	China U-Ton Holdings Ltd.	62,500,000	1.6000	100.00	2014/09/29
00439	KuangChi Science Ltd.	289,900,000	5.3860	1,561.40	2014/09/29
00221	PNG Resources Holdings Ltd.	34,000,000	0.3250	11.05	2014/09/29
00922	Anxian Yuan China Holdings Ltd.	320,000,000	0.1700	54.40	2014/09/30
00381	Kiu Hung Energy Holdings Ltd.	63,600,000	0.4750	30.21	2014/10/03
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	393,010,000	3.2300	1,269.42	2014/10/07
00527	China Ruifeng Renewable Energy Holdings Ltd.	208,000,000	1.0000	208.00	2014/10/07
01237	Merry Garden Holdings Ltd.	165,000,000	0.9300	153.45	2014/10/07
00055	Neway Group Holdings Ltd.	244,640,000	0.1560	38.16	2014/10/07
00104	Asia Commercial Holdings Ltd.	200,000,000	0.2550	51.00	2014/10/08
00943	eForce Holdings Ltd.	111,370,600	0.4600	51.23	2014/10/08
00826	Tiangong International Co. Ltd.	300,000,000	1.7500	525.00	2014/10/09
00621	Taung Gold International Ltd.	263,000,000	0.1520	39.98	2014/10/10
00872	Jinheng Automotive Safety Technology Holdings Ltd.	122,802,000	0.4350	53.42	2014/10/14
01019	Convoy Financial Holdings Ltd.	95,820,000	1.0000	95.82	2014/10/15
00451	GCL New Energy Holdings Ltd.	291,000,000	2.5500	742.05	2014/10/16
00370	China Best Group Holding Ltd.	486,320,000	0.1800	87.54	2014/10/21
01236	National Agricultural Holdings Ltd.	125,660,900	3.0000	376.98	2014/10/22
01298	Techcomp (Holdings) Ltd.	34,875,000	2.4000	83.70	2014/10/22
00474	Hao Tian Development Group Ltd.	397,200,000	0.4800	190.66	2014/10/23
00397	Jun Yang Solar Power Investments Ltd.	1,090,000,000	0.1930	210.37	2014/10/23
04601	Bk of China US Settled 6.75% Non-Cumulative Offshore PrefShs	399,400,000	126.7800	50,635.93	2014/10/24
00904	China Culiangwang Beverages Holdings Ltd.	395,328,000	0.2500	98.83	2014/10/24
01228	Superb Summit International Group Ltd.	100,000,000	1.2700	127.00	2014/10/24
00905	Mastermind Capital Ltd.	51,952,000	0.5400	28.05	2014/10/28
00727	Crown International Corporation Ltd.	330,399,800	0.7000	231.28	2014/10/29
01323	Newtree Group Holdings Ltd.	21,900,000	2.4000	52.56	2014/10/29
00691	China Shanshui Cement Group Ltd.	563,190,040	2.7700	1,560.04	2014/11/03
01371	China LotSynergy Holdings Ltd.	594,034,513	0.7500	445.53	2014/11/04
00149	China Agri-Products Exchange Ltd.	220,000,000	0.2700	59.40	2014/11/06
00139	ICube Technology Holdings Ltd. (Name changed to China Jinhai International Group Ltd.)	495,192,763	0.1750	86.66	2014/11/07
00733	Hopefluent Group Holdings Ltd.	133,000,000	3.0000	399.00	2014/11/12
00707	Co-Prosperity Holdings Ltd.	279,700,000	0.1600	44.75	2014/11/13
00607	Fullshare Holdings Ltd.	680,000,000	0.4500	306.00	2014/11/13
00902	Huaneng Power International, Inc. – H Shares	365,000,000	8.6000	3,139.00	2014/11/13
00827	Ko Yo Chemical (Group) Ltd.	800,000,000	0.3200	256.00	2014/11/13
00515	TC Orient Lighting Holdings Ltd.	88,456,000	1.0000	88.46	2014/11/13
00630	AMCO United Holding Ltd.	226,200,000	0.1180	26.69	2014/11/14
00326	China Star Entertainment Ltd.	1,500,000,000	0.0900	135.00	2014/11/14
01080	Shengli Oil & Gas Pipe Holdings Ltd.	248,058,000	0.4500	111.63	2014/11/14
00152	Shenzhen International Holdings Ltd.	176,000,000	11.0000	1,936.00	2014/11/14
01380	China Kingstone Mining Holdings Ltd.	49,384,236	0.2030	10.02	2014/11/17
01194	China Precious Metal Resources Holdings Co., Ltd.	250,000,000	0.8000	200.00	2014/11/17
00030	ABC Communications (Holdings) Ltd.	331,068,000	0.1140	37.74	2014/11/19
01360	Mega Expo Holdings Ltd.	40,000,000	1.3810	55.24	2014/11/20

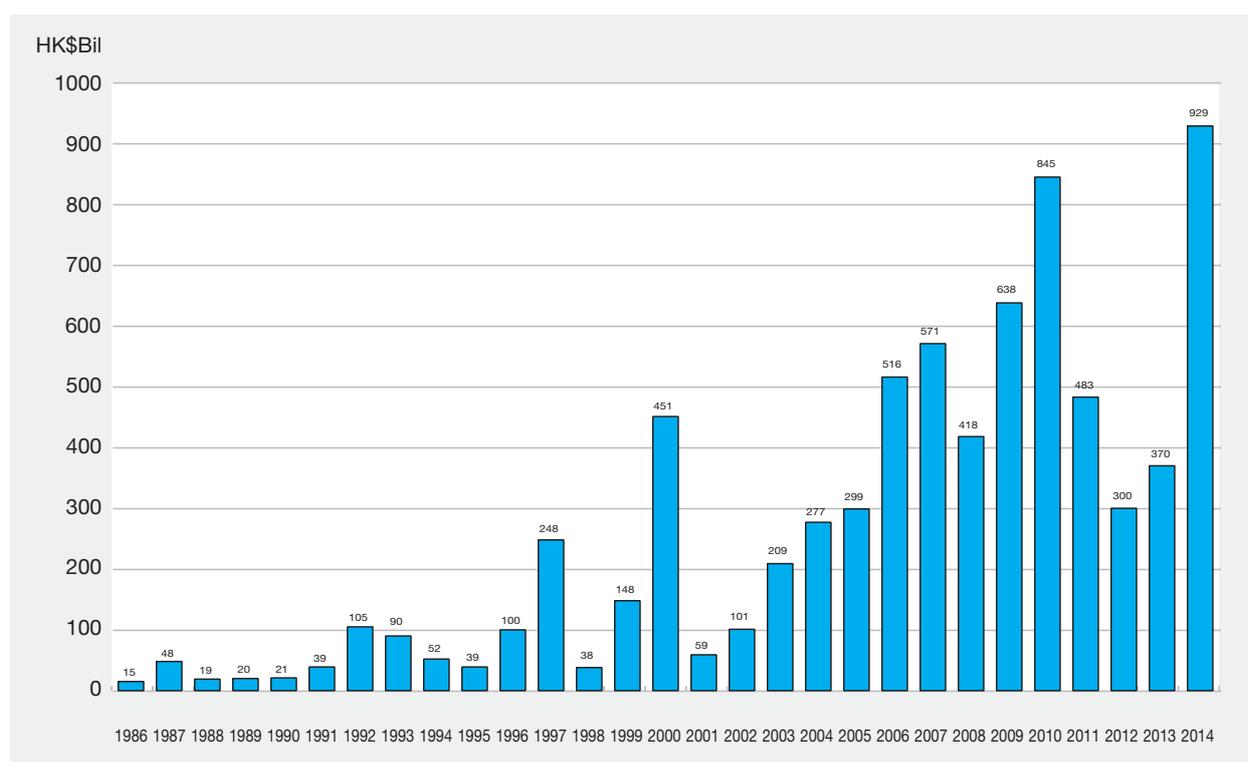
Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
01822	Perception Digital Holdings Ltd.	1,000,000,000	0.1750	175.00	2014/11/20
00138	CCT Fortis Holdings Ltd.	75,000,000	0.9000	67.50	2014/11/21
00139	China Jinhai International Group Ltd.	921,141,959	0.1500	138.17	2014/11/21
00080	China New Economy Fund Ltd.	60,600,000	0.3500	21.21	2014/11/21
00981	Semiconductor Manufacturing International Corporation	669,468,952	0.6000	401.68	2014/11/21
00397	Jun Yang Solar Power Investments Ltd.	444,000,000	0.1860	82.58	2014/11/24
02339	BeijingWest Industries International Ltd.	300,000,000	0.3300	99.00	2014/11/25
00996	Carnival Group International Holdings Ltd.	220,000,000	1.1300	248.60	2014/11/26
03778	China Weaving Materials Holdings Ltd.	126,000,000	0.8000	100.80	2014/11/27
00981	Semiconductor Manufacturing International Corporation	268,642,465	0.6000	161.19	2014/11/27
00856	VST Holdings Ltd.	32,000,000	2.5000	80.00	2014/11/27
01380	China Kingstone Mining Holdings Ltd.	378,867,213	0.1285	48.68	2014/11/28
02349	China Water Property Group Ltd.	185,872,000	0.7740	143.86	2014/12/01
00254	National United Resources Holdings Ltd.	389,460,000	0.2300	89.58	2014/12/01
01099	Sinopharm Group Co. Ltd. – H Shares	198,801,600	28.4000	5,645.97	2014/12/01
00959	Amax International Holdings Ltd.	9,900,000	0.9300	9.21	2014/12/02
00565	Art Textile Technology International Co. Ltd.	208,000,000	0.3350	69.68	2014/12/02
00633	China All Access (Holdings) Ltd.	133,332,000	3.0000	400.00	2014/12/03
00986	China Environmental Energy Investment Ltd.	48,190,489	0.6600	31.81	2014/12/03
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	428,370,000	4.0100	1,717.76	2014/12/03
01878	SouthGobi Resources Ltd.	24,360,773	2.8555	69.56	2014/12/03
00339	Earnest Investments Holdings Ltd.	16,000,000	1.1000	17.60	2014/12/04
00897	Wai Yuen Tong Medicine Holdings Ltd.	700,000,000	0.1330	93.10	2014/12/04
01226	China Investment and Finance Group Ltd.	43,000,000	0.3450	14.84	2014/12/05
02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	594,056,000	62.0000	36,831.47	2014/12/08
00299	SinoCom Software Group Ltd.	200,000,000	0.7000	140.00	2014/12/08
00996	Carnival Group International Holdings Ltd.	645,000,000	1.1500	741.75	2014/12/09
01002	V.S. International Group Ltd.	266,680,000	0.4500	120.01	2014/12/09
00674	Culture Landmark Investment Ltd.	119,752,000	0.5000	59.88	2014/12/10
04603	ICBC USD 6.00% Non-Cum, Non-Part, Perpetual Offshore PrefShs	4,557,432,956	5.0318	22,932.00	2014/12/11
04604	ICBC EUR 6.00% Non-Cum, Non-Part, Perpetual Offshore PrefShs	1,251,825,578	4.6024	5,761.38	2014/12/11
84602	ICBC RMB 6.00% Non-Cum, Non-Part, Perpetual Offshore PrefShs	3,020,767,778	4.9775	15,036.00	2014/12/11
00766	Sino Prosper (Group) Holdings Ltd.	160,000,000	0.2160	34.56	2014/12/12
01076	Imperial Pacific International Holdings Ltd.	510,000,000	1.5500	790.50	2014/12/15
01399	Scud Group Ltd.	58,000,000	1.0600	61.48	2014/12/15
01188	Hybrid Kinetic Group Ltd.	1,780,235,000	0.1600	284.84	2014/12/16
03300	China Glass Holdings Ltd.	260,000,000	0.9400	244.40	2014/12/17
01808	Enterprise Development Holdings Ltd.	350,000,000	0.2500	87.50	2014/12/17
01082	Hong Kong Education (Int'l) Investments Ltd.	271,616,000	0.1220	33.14	2014/12/18
01046	Universe International Holdings Ltd.	414,415,000	0.1000	41.44	2014/12/18
00663	King Stone Energy Group Ltd.	330,000,000	0.2500	82.50	2014/12/19
01094	China Public Procurement Ltd.	73,500,000	0.2420	17.79	2014/12/23
01738	Feishang Anthracite Resources Ltd.	13,500,000	12.0000	162.00	2014/12/23
00607	Fullshare Holdings Ltd.	780,000,000	0.4800	374.40	2014/12/23
00958	Huaneng Renewables Corporation Ltd. – H Shares	698,780,832	2.5000	1,746.95	2014/12/23
00395	Smartac Group China Holdings Ltd.	300,000,000	0.3100	93.00	2014/12/23
01185	China Energene International (Holdings) Ltd.	400,000,000	0.7500	300.00	2014/12/24
00232	AVIC International Holding (HK) Ltd.	900,000,000	0.6000	540.00	2014/12/29
03886	Town Health International Medical Group Ltd.	459,183,673	0.7000	321.43	2014/12/29
00595	AV Concept Holdings Ltd.	61,836,000	0.4010	24.80	2014/12/31
00711	Chun Wo Development Holdings Ltd.	100,000,000	0.4630	46.30	2014/12/31
Total				295,466.79	

Funds raised by classification, 2010 – 2014

		HK\$ bil					
Type	Listing method	2010	2011	2012	2013	2014	
Equities	Offer for subscription	41.63	16.23	6.66	25.52	26.49	
	Offer for sale	175.23	46.43	1.30	8.04	10.06	
	Offer for placing	231.97	195.80	80.96	132.21	193.81	
	Placing	130.96	62.99	134.63	98.00	295.47	
	Rights issue	176.06	57.98	26.18	28.02	73.89	
	Open offer	5.80	5.87	3.40	2.81	4.74	
	Consideration issue	67.12	77.03	21.68	51.31	280.94	
	Warrants exercised	2.12	11.86	1.82	1.60	12.06	
	Share option scheme	14.60	8.65	23.60	22.30	31.95	
	Equities funds raised		845.49	482.83	300.23	369.82	929.40
	Debt securities		143.94	152.49	341.24	576.40	961.35
Unit trusts/Mutual funds		–	12.51	5.28	3.32	0.49	
Derivative warrants – premium		328.11	273.30	174.16	174.94	178.19	
Equity warrants – premium		0.03	0.03	–	–	0.03	
Equity linked instruments – premium		–	–	–	–	–	
Callable bull/bear contracts – premium		360.05	310.70	349.42	482.60	472.83	

Equity funds raised, 1986 – 2014



Funds raised by Mainland enterprises H shares, 2010 – 2014

		HK\$ bil					
Type	Listing method	2010	2011	2012	2013	2014	
Equities	Offer for subscription	9.59	1.91	5.12	10.25	11.27	
	Offer for sale	–	0.02	–	1.44	3.56	
	Offer for placing	128.87	49.97	58.71	78.01	104.77	
	Placing	11.96	25.44	58.58	35.73	71.01	
	Rights issue	125.07	11.85	1.27	10.20	2.83	
	Open offer	–	–	–	–	–	
	Consideration issue	15.39	–	–	–	–	
	Warrants exercised	–	–	–	–	–	
	Share option scheme	–	–	–	–	–	
	Equities funds raised		290.88	89.19	123.67	135.62	193.44

Funds raised by Red Chip stocks, 2010 – 2014

		HK\$ bil					
Type	Listing method	2010	2011	2012	2013	2014	
Equities	Offer for subscription	2.46	0.51	0.01	0.93	1.24	
	Offer for sale	–	–	–	–	0.37	
	Offer for placing	3.84	5.39	1.95	2.25	6.58	
	Placing	20.55	5.06	24.02	22.37	66.80	
	Rights issue	–	6.21	7.60	1.83	12.69	
	Open offer	3.84	3.54	0.11	–	–	
	Consideration issue	23.46	39.28	4.58	34.48	264.79	
	Warrants exercised	0.10	–	–	0.11	–	
	Share option scheme	1.18	0.79	1.74	4.36	12.42	
	Equities funds raised		55.42	60.78	40.01	66.32	364.90

Newly listed equity warrants, 2014

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
01352	Styland Holdings Ltd. Warrants 2015	0.10	2014/02/19 – 2015/08/18	71,315,462	2014/02/20
01441	Sun Hung Kai Properties Ltd. Warrants 2016	98.60	2014/04/23 – 2016/04/22	22,222,293,577	2014/04/24
00481	Golden Meditech Holdings Ltd. Warrants 2015	1.40	2014/07/31 – 2015/07/30	434,965,146	2014/08/01
01453	Culturecom Holdings Ltd. Warrants 2016	0.75	2014/09/22 – 2016/09/21	118,125,000	2014/09/22
01403	HKC (Holdings) Ltd. Warrants 2015	0.17	2014/10/17 – 2015/10/15	382,224,104	2014/10/17
01291	Cheuk Nang (Holdings) Ltd. Warrants 2015	4.80	2014/11/10 – 2015/11/10	44,663,832	2014/11/11
01407	International Standard Resources Holdings Ltd. Warrants 2015	0.35	2014/11/27 – 2015/11/26	189,890,379	2014/11/28

List of expired equity warrants, 2014

Code	Warrant	Last trading date	Delisted date
00649	Poly Capital Holdings Ltd. Warrants 2014	2014/03/04	2014/03/10
00284	V.S. International Group Ltd. Warrants 2014	2014/03/11	2014/03/17
01307	Eagle Ride Investment Holdings Ltd. Warrants 2014	2014/04/24	2014/04/30
00944	Cheuk Nang (Holdings) Ltd. Warrants 2014	2014/06/19	2014/06/25
01042	International Standard Resources Holdings Ltd. Warrants 2014	2014/06/18	2014/06/24

Market value and trading for equity warrants, 2010 – 2014

Year/Month	Number*	Market value* (HK\$ mil.)	Turnover value (HK\$ mil.)	(%) of market total
2010	22	2,049.41	1,790.05	0.01
2011	13	602.75	923.93	0.01
2012	10	521.90	79.45	0.00
2013	8	356.40	80.84	0.00
2014	10	3,031.11	3,058.04	0.02
2014 Jan	8	361.20	13.20	0.00
2014 Feb	9	407.56	8.91	0.00
2014 Mar	7	336.27	7.99	0.00
2014 Apr	7	1,427.49	153.38	0.01
2014 May	7	2,398.54	191.18	0.02
2014 Jun	5	2,493.01	307.28	0.03
2014 Jul	5	4,699.07	319.34	0.02
2014 Aug	6	4,692.54	478.10	0.03
2014 Sep	7	3,338.72	453.73	0.03
2014 Oct	8	4,261.83	450.41	0.03
2014 Nov	10	2,759.18	301.36	0.02
2014 Dec	10	3,031.11	373.16	0.02

* Month-end or year-end figures.

Newly listed derivative warrants

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12384	Credit Suisse AG	HSI European Warrants Apr 2014 F	0.150	23,000 per 7,800 units	2014/04/29	200,000,000	2014/01/02
12386	Credit Suisse AG	HSI European Warrants Apr 2014 G	0.150	22,400 per 9,000 units	2014/04/29	200,000,000	2014/01/02
12382	Credit Suisse AG	HSI European Warrants May 2014 E	0.160	23,200 per 6,800 units	2014/05/29	200,000,000	2014/01/02
12387	Credit Suisse AG	HSI European Warrants May 2014 F	0.270	21,600 per 6,700 units	2014/05/29	200,000,000	2014/01/02
12388	Credit Suisse AG	HSI European Warrants Jun 2014 C	0.170	22,600 per 6,800 units	2014/06/27	200,000,000	2014/01/02
12422	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Aug 2014 A	0.150	98.880	2014/08/22	100,000,000	2014/01/02
12423	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts May 2014 B	0.150	11.50 per unit	2014/05/27	70,000,000	2014/01/02
12421	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts May 2014 A	0.220	81.900	2014/05/05	60,000,000	2014/01/02
12419	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Apr 14 B	0.180	55.000	2014/04/22	60,000,000	2014/01/02
12418	Citigroup Global Mkt H Inc.	Henganintl Euro Wts Jul 2014 A	0.550	99.900	2014/07/02	50,000,000	2014/01/02
12424	Citigroup Global Mkt H Inc.	Hutchison Eu Put Wt May 2014 A	0.175	86.000	2014/05/26	60,000,000	2014/01/02
12420	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jun 2014 A	0.230	76.900	2014/06/03	100,000,000	2014/01/02
12417	Citigroup Global Mkt H Inc.	Tencent European Wts Apr 2014 D	0.155	568 per 100 units	2014/04/29	100,000,000	2014/01/02
12416	Goldman Sachs SP (Asia)	New World Dev Euro Wts Sep 2014 A	0.301	11.28 per unit	2014/09/29	80,000,000	2014/01/02
12374	HK Bank	Cheung Kong European Warrants Jul 2014 A	0.450	128.000	2014/07/02	80,000,000	2014/01/02
12375	HK Bank	Cheung Kong European Warrants Mar 2017 A	0.180	128.08 per 100 units	2017/03/28	250,000,000	2014/01/02
12369	HK Bank	China Life European Warrants Sep 2014 B	0.150	26.850	2014/09/23	100,000,000	2014/01/02
12373	HK Bank	Ch Ship Cont European Warrants Jul 2014 A	0.250	2.13 per unit	2014/07/28	50,000,000	2014/01/02
12368	HK Bank	Sands China European Warrants Jul 2014 A	0.450	63.000	2014/07/02	80,000,000	2014/01/02
12372	HK Bank	Tencent European Warrants Jun 2014 D	0.250	520.20 per 100 units	2014/06/10	100,000,000	2014/01/02
12370	HK Bank	Tencent European Put Warrants Jun 2014 C	0.150	389.80 per 100 units	2014/06/03	100,000,000	2014/01/02
12371	HK Bank	Tencent European Put Warrants Jul 2014 B	0.250	406.88 per 100 units	2014/07/02	100,000,000	2014/01/02
12415	J P Morgan SP BV	CSOP A50 ETF European Warrants Jul 2015 B	0.150	9.080	2015/07/06	200,000,000	2014/01/02
12414	J P Morgan SP BV	A50 China European Warrants Dec 2015 A	0.150	9.380	2015/12/23	200,000,000	2014/01/02
12390	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Jul 2014 A	0.250	10.50 per unit	2014/07/03	40,000,000	2014/01/02
12413	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2014 D	0.210	24.380	2014/08/04	180,000,000	2014/01/02
12376	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 14 A	0.210	87.880	2014/07/02	100,000,000	2014/01/02
12379	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2014 A	0.250	86.880	2014/07/02	100,000,000	2014/01/02
12381	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt Jul14D	0.220	83.880	2014/07/02	70,000,000	2014/01/02
12405	UBS AG	AIA European Put Warrants Jul 2014 B	0.250	36.880	2014/07/02	50,000,000	2014/01/02
12412	UBS AG	BOCL European Warrants Aug 2014 A	0.180	3.81 per unit	2014/08/26	100,000,000	2014/01/02
12393	UBS AG	CC Bank European Put Warrants Jul 2014 D	0.280	5.28 per unit	2014/07/14	100,000,000	2014/01/02
12392	UBS AG	China Mobile European Put Warrants Jul 2014 B	0.400	76.880	2014/07/02	100,000,000	2014/01/02
12400	UBS AG	A50 China European Put Warrants Jul 2014 B	0.300	8.38 per unit	2014/07/02	50,000,000	2014/01/02
12408	UBS AG	Galaxy Ent European Put Warrants Jun 2014 D	0.150	51.750	2014/06/16	70,000,000	2014/01/02
12398	UBS AG	HKEx European Put Warrants Jul 2014 B	0.250	103.800	2014/07/02	40,000,000	2014/01/02
12397	UBS AG	HSBC European Put Warrants Jun 2014 C	0.260	73.950	2014/06/09	100,000,000	2014/01/02
12411	UBS AG	Haitong Sec European Warrants Nov 2014 A	0.230	14.820	2014/11/26	80,000,000	2014/01/02
12404	UBS AG	Hutchison European Put Warrants Jun 2014 D	0.230	83.830	2014/06/23	70,000,000	2014/01/02
12403	UBS AG	ICBC European Put Warrants Jul 2014 C	0.250	4.68 per unit	2014/07/14	60,000,000	2014/01/02
12407	UBS AG	Sands China European Put Warrants Jul 2014 A	0.250	50.880	2014/07/02	40,000,000	2014/01/02
12391	UBS AG	SPDR Gold Trust European Warrants Jul 2014 A	0.600	938 per 100 units	2014/07/02	100,000,000	2014/01/02
12410	UBS AG	Tencent Holdings European Put Warrants Jun 2014 E	0.390	449.80 per 100 units	2014/06/24	100,000,000	2014/01/02

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12409	UBS AG	Tencent Holdings European Put Warrants Jul 2014 B	0.150	377.80 per 100 units	2014/07/02	100,000,000	2014/01/02
21836 [#]	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Feb 2014 A	0.048	24,300 per 6,000 units	2014/02/27	210,000,000	2014/01/02
12427	J P Morgan SP BV	CAM CSI300 European Warrants Jul 2014 A	0.250	30.000	2014/07/02	150,000,000	2014/01/03
12426	J P Morgan SP BV	CSOP A50 ETF European Warrants Jul 2014 A	0.250	10 per unit	2014/07/02	150,000,000	2014/01/03
12428	J P Morgan SP BV	China Tel European Warrants Jul 2014 A	0.250	4.08 per unit	2014/07/02	100,000,000	2014/01/03
12425	J P Morgan SP BV	A50 China European Warrants Jul 2014 A	0.269	10 per unit	2014/07/02	150,000,000	2014/01/03
12444	Credit Suisse AG	Agricultural Bank European Wts Jul 2014 A	0.250	4.09 per unit	2014/07/21	70,000,000	2014/01/06
12440	Credit Suisse AG	CC Bank European Warrants Jun 2014 A	0.220	6.26 per unit	2014/06/30	80,000,000	2014/01/06
12447	Credit Suisse AG	CC Bank European Put Warrants Jul 2014 C	0.270	5.26 per unit	2014/07/07	70,000,000	2014/01/06
12443	Credit Suisse AG	Cheung Kong European Warrants Jul 2014 A	0.500	128.800	2014/07/07	70,000,000	2014/01/06
12436	Credit Suisse AG	China Mobile European Warrants Apr 2014 F	0.290	83.900	2014/04/17	80,000,000	2014/01/06
12435	Credit Suisse AG	China Mobile European Warrants Jun 2014 B	0.390	82.550	2014/06/09	80,000,000	2014/01/06
12450	Credit Suisse AG	CSOP A50 ETF Euro Put Wts Dec 2014 A	0.150	9.380	2014/12/23	70,000,000	2014/01/06
12449	Credit Suisse AG	A50 China European Put Wts Jul 2014 A	0.300	8.36 per unit	2014/07/07	70,000,000	2014/01/06
12442	Credit Suisse AG	Hutchison European Put Wts Jun 2014 E	0.200	83.850	2014/06/23	70,000,000	2014/01/06
12441	Credit Suisse AG	ICBC European Warrants May 2014 B	0.300	5.39 per unit	2014/05/27	80,000,000	2014/01/06
12448	Credit Suisse AG	ICBC European Put Warrants Jul 2014 C	0.250	4.66 per unit	2014/07/07	70,000,000	2014/01/06
12437	Credit Suisse AG	Jiangxi Copper European Wts Oct 2014 C	0.250	14.900	2014/10/27	70,000,000	2014/01/06
12438	Credit Suisse AG	KECL European Warrants Jun 2015 A	0.200	14.900	2015/06/23	80,000,000	2014/01/06
12439	Credit Suisse AG	Kingsoft European Warrants Jun 2014 B	0.220	26.400	2014/06/27	80,000,000	2014/01/06
12445	Credit Suisse AG	Ping An European Warrants Jul 2014 B	0.500	72.980	2014/07/07	80,000,000	2014/01/06
12433	Credit Suisse AG	SHK Ppt European Warrants Jun 2014 C	0.390	103.900	2014/06/10	70,000,000	2014/01/06
12430	HK Bank	AIA European Put Warrants May 2014 B	0.150	35.830	2014/05/27	80,000,000	2014/01/06
12429	HK Bank	Haitong Sec European Warrants Dec 2014 C	0.250	14.380	2014/12/01	80,000,000	2014/01/06
12431	HK Bank	PetroChina European Warrants Jul 2014 A	0.300	9.03 per unit	2014/07/07	60,000,000	2014/01/06
12454	J P Morgan SP BV	China EB Int'l European Wts Apr 2015 A	0.250	11.500	2015/04/02	200,000,000	2014/01/06
12453	J P Morgan SP BV	Tencent European Warrants Apr 2014 F	0.150	575 per 100 units	2014/04/25	200,000,000	2014/01/06
12452	J P Morgan SP BV	Tencent European Put Warrants Jul 2014 D	0.436	475 per 100 units	2014/07/07	200,000,000	2014/01/06
12451	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Sep 14 A	0.225	631.80 per 100 units	2014/09/03	150,000,000	2014/01/06
12498	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jan 2015 A	0.250	7.80 per unit	2015/01/05	100,000,000	2014/01/07
12496	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Aug 2014 B	0.250	650 per 100 units	2014/08/04	100,000,000	2014/01/07
12497	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jul 2014 B	0.230	420 per 100 units	2014/07/03	100,000,000	2014/01/07
12492	Credit Suisse AG	China EB Int'l European Wts Apr 2015 A	0.250	10.880	2015/04/27	70,000,000	2014/01/07
12477	Credit Suisse AG	Cheung Kong European Warrants May 2014 A	0.150	150.000	2014/05/30	70,000,000	2014/01/07
12478	Credit Suisse	Cheung Kong European Put Warrants Jun 2014 B	0.230	100.000	2014/06/30	70,000,000	2014/01/07
12483	Credit Suisse AG	China Mobile European Warrants Jun 2014 C	0.210	86.900	2014/06/30	80,000,000	2014/01/07
12484	Credit Suisse AG	China Mobile European Put Wts Jun 2014 D	0.190	70.850	2014/06/23	70,000,000	2014/01/07
12487	Credit Suisse AG	China Shenhua European Wts Sep 2014 A	0.180	27.220	2014/09/29	70,000,000	2014/01/07
12495	Credit Suisse AG	A50 China European Warrants May 2014 B	0.170	10.90 per unit	2014/05/26	70,000,000	2014/01/07
12490	Credit Suisse AG	Hutchison European Put Wts Jul 2014 A	0.450	94.880	2014/07/07	70,000,000	2014/01/07
12486	Credit Suisse AG	Lenovo European Warrants May 2014 A	0.380	10.90 per unit	2014/05/26	70,000,000	2014/01/07
12479	Credit Suisse AG	Lumena Newmat European Wts Oct 2014 A	0.150	2 per unit	2014/10/31	70,000,000	2014/01/07
12494	Credit Suisse AG	Melco Int'l Dev European Wts Apr 2014 A	0.180	33.330	2014/04/28	70,000,000	2014/01/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12482	Credit Suisse AG	PetroChina European Warrants May 2014 C	0.280	9.29 per unit	2014/05/30	70,000,000	2014/01/07
12488	Credit Suisse AG	Ping An European Warrants Apr 2014 A	0.630	67.550	2014/04/28	80,000,000	2014/01/07
12489	Credit Suisse AG	Stanchart European Warrants Mar 2015 A	0.180	182 per 100 units	2015/03/30	70,000,000	2014/01/07
12480	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 J	0.250	600 per 100 units	2014/06/30	100,000,000	2014/01/07
12481	Credit Suisse AG	ZTE European Warrants Sep 2014 A	0.150	18.900	2014/09/29	70,000,000	2014/01/07
12472	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2014 A	0.266	85.000	2014/07/07	80,000,000	2014/01/07
12469	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jul 2014 A	0.283	10 per unit	2014/07/07	80,000,000	2014/01/07
12471	Goldman Sachs SP (Asia)	KECL European Warrants Jun 2015 A	0.155	14.880	2015/06/23	80,000,000	2014/01/07
12476	Goldman Sachs SP (Asia)	Tencent European Wt Jun 2014 E	0.150	600 per 100 units	2014/06/30	120,000,000	2014/01/07
12499	Goldman Sachs SP (Asia)	Tencent European Put Wt Jun 2014 F	0.168	438.88 per 100 units	2014/06/09	120,000,000	2014/01/07
12500	Goldman Sachs SP (Asia)	Tencent European Put Wt Jul 2014 A	0.268	458 per 100 units	2014/07/07	120,000,000	2014/01/07
12470	Goldman Sachs SP (Asia)	Wharf European Warrants Sep 2014 A	0.252	68.000	2014/09/02	68,000,000	2014/01/07
12467	HK Bank	CNOOC European Warrants Dec 2014 A	0.150	14.420	2014/12/29	100,000,000	2014/01/07
12463	HK Bank	China Overseas European Warrants Jul 2014 A	0.150	23.900	2014/07/28	80,000,000	2014/01/07
12455	HK Bank	China Tel European Warrants Jul 2014 A	0.250	4 per unit	2014/07/07	80,000,000	2014/01/07
12464	HK Bank	China Unicom European Warrants Oct 2014 A	0.150	11.860	2014/10/31	80,000,000	2014/01/07
12459	HK Bank	Foxconn European Warrants Jul 2014 B	0.350	4.88 per unit	2014/07/31	60,000,000	2014/01/07
12460	HK Bank	A50 China European Warrants Jul 2014 A	0.500	9.88 per unit	2014/07/31	60,000,000	2014/01/07
12465	HK Bank	KECL European Warrants Jul 2015 A	0.160	15.890	2015/07/28	80,000,000	2014/01/07
12458	HK Bank	SPDR Gold Trust European Warrants Jul 2014 A	0.250	1,028 per 100 units	2014/07/07	60,000,000	2014/01/07
12456	HK Bank	Tencent European Put Warrants Apr 2014 H	0.150	427.80 per 100 units	2014/04/22	100,000,000	2014/01/07
12457	HK Bank	Tencent European Put Warrants Jul 2014 C	0.320	452.88 per 100 units	2014/07/09	80,000,000	2014/01/07
12502	Standard Chartered Bank	Tencent Hldg Euro Wts Apr 2014 E	0.180	575 per 100 units	2014/04/25	100,000,000	2014/01/07
12501	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jun 2014 D	0.330	459.88 per 100 units	2014/06/24	100,000,000	2014/01/07
12468	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Jun 14D	0.175	74.000	2014/06/09	100,000,000	2014/01/07
10271	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Sep 14 A	0.250	17,000 per 400 units	2014/09/12	150,000,000	2014/01/07
22083*	Standard Chartered Bank	A50 China Euro Warrants Aug 2014 A	0.077	9.180	2014/08/08	200,000,000	2014/01/07
12503	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Oct16A	0.150	38 per 100 units	2016/10/31	400,000,000	2014/01/08
12506	UBS AG	Tencent Holdings European Warrants Jul 2014 C	0.250	628 per 100 units	2014/07/07	100,000,000	2014/01/08
12529	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Oct 2014 A	0.250	5.30 per unit	2014/10/06	65,000,000	2014/01/09
12526	BNP Paribas Arbit Issu B.V.	CMS European Warrants Jan 17 A	0.250	11.800	2017/01/04	70,000,000	2014/01/09
12524	BNP Paribas Arbit Issu B.V.	Sh Ind H European Wts Jul 15 A	0.420	29.800	2015/07/03	24,000,000	2014/01/09
12525	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Jan 2015 A	0.250	840 per 100 units	2015/01/05	100,000,000	2014/01/09
12527	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Oct 2014 A	0.290	9.30 per unit	2014/10/06	35,000,000	2014/01/09
12520	Credit Suisse AG	Kingsoft European Warrants Jul 2014 B	0.250	32.880	2014/07/14	80,000,000	2014/01/09
12519	Credit Suisse AG	Kingsoft European Put Warrants Jul 2014 A	0.350	20.280	2014/07/14	80,000,000	2014/01/09
12518	Credit Suisse AG	Tencent Holdings Euro Put Wts Jul 2014 A	0.360	470 per 100 units	2014/07/14	100,000,000	2014/01/09
12535	J P Morgan SP BV	China Coal European Warrants Jun 2014 A	0.150	5 per unit	2014/06/24	100,000,000	2014/01/09
12530	J P Morgan SP BV	Sinopec Corp European Warrants Jul 2014 B	0.250	7.20 per unit	2014/07/08	200,000,000	2014/01/09
12509	J P Morgan SP BV	HSCEI European Put Warrants Jul 2014 B	0.250	10,200 per 4,000 units	2014/07/30	300,000,000	2014/01/09
12534	J P Morgan SP BV	Kingsoft European Warrants Jul 2014 B	0.250	30.880	2014/07/08	100,000,000	2014/01/09
12533	J P Morgan SP BV	Ping An European Warrants Jul 2014 A	0.240	78.880	2014/07/04	200,000,000	2014/01/09
12532	J P Morgan SP BV	Tencent European Warrants Jun 2014 B	0.202	600 per 100 units	2014/06/27	200,000,000	2014/01/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12531	J P Morgan SP BV	Yanzhou Coal European Warrants Jun 2014 A	0.194	8 per unit	2014/06/24	60,000,000	2014/01/09
12523	Macquarie Bank Ltd.	China EB Int'l European Wts Jan 2015 A	0.250	12.280	2015/01/05	100,000,000	2014/01/09
12508	Macquarie Bank Ltd.	A50 China European Warrants May 2014 A	0.200	10.50 per unit	2014/05/05	50,000,000	2014/01/09
12521	Macquarie Bank Ltd.	Kingsoft European Warrants Jul 2014 A	0.250	28.880	2014/07/09	100,000,000	2014/01/09
12516	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Jul 2014B	0.390	8.88 per unit	2014/07/02	60,000,000	2014/01/09
12517	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Aug14A	0.520	490 per 100 units	2014/08/04	150,000,000	2014/01/09
12511	UBS AG	Kingsoft European Warrants Apr 2014 B	0.170	29.950	2014/04/25	100,000,000	2014/01/09
12515	UBS AG	Tencent Holdings European Warrants Sep 2014 A	0.250	700 per 100 units	2014/09/08	100,000,000	2014/01/09
12514	UBS AG	Tencent Holdings European Put Warrants Jul 2014 D	0.470	480 per 100 units	2014/07/08	100,000,000	2014/01/09
26745 [†]	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2014 C	0.062	23,300 per 5,000 units	2014/02/27	165,000,000	2014/01/09
12581	Credit Suisse AG	China Coal European Warrants Jun 2014 C	0.150	5 per unit	2014/06/30	70,000,000	2014/01/10
12584	Credit Suisse AG	China EB Int'l European Wts Dec 2014 A	0.250	11.180	2014/12/22	80,000,000	2014/01/10
12577	Credit Suisse AG	Sinopec Corp European Warrants Apr 2014 B	0.170	6.69 per unit	2014/04/28	70,000,000	2014/01/10
12583	Credit Suisse AG	China Shenhua European Wts Sep 2014 B	0.150	25.900	2014/09/29	70,000,000	2014/01/10
12588	Credit Suisse AG	Tencent Holdings European Wts Jul 2014 B	0.250	638 per 100 units	2014/07/14	100,000,000	2014/01/10
12579	Credit Suisse AG	Yanzhou Coal European Warrants Jun 2014 B	0.180	8.76 per unit	2014/06/30	70,000,000	2014/01/10
12563	Daiwa Capital Mkt	A50 China European Warrants Jun 2014 A	0.340	9.99 per unit	2014/06/24	128,000,000	2014/01/10
12560	Daiwa Capital Mkt	Tencent Holdings European Wts May 2014 C	0.490	484.88 per 100 units	2014/05/08	128,000,000	2014/01/10
12561	Daiwa Capital Mkt	Tencent Holdings European Wts Jun 2014 C	0.420	518.48 per 100 units	2014/06/25	128,000,000	2014/01/10
12565	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Jun 2014 D	0.180	432.68 per 100 units	2014/06/23	108,000,000	2014/01/10
12562	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Jul 2014 A	0.330	468 per 100 units	2014/07/09	108,000,000	2014/01/10
12553	Goldman Sachs SP (Asia)	CAM CSI300 Euro Put Wts Aug 2014 A	0.250	28.500	2014/08/04	80,000,000	2014/01/10
12549	HK Bank	Datang Power European Warrants Jul 2014 A	0.250	4.08 per unit	2014/07/31	60,000,000	2014/01/10
12548	HK Bank	Esprit European Warrants Sep 2014 A	0.150	20.000	2014/09/25	100,000,000	2014/01/10
12552	HK Bank	Tencent European Warrants Apr 2014 I	0.180	575.50 per 100 units	2014/04/29	100,000,000	2014/01/10
12551	HK Bank	Tencent European Warrants Jun 2014 E	0.200	588.50 per 100 units	2014/06/09	100,000,000	2014/01/10
12569	Macquarie Bank Ltd.	Ping An European Warrants Jun 2014 B	0.250	75.380	2014/06/04	40,000,000	2014/01/10
12601	Macquarie Bank Ltd.	Tencent European Put Wts Aug 2014 A	0.250	420 per 100 units	2014/08/04	200,000,000	2014/01/10
12542	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts May 2014 A	0.155	92.050	2014/05/27	80,000,000	2014/01/10
12540	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jul 2014 A	0.250	89.000	2014/07/10	40,000,000	2014/01/10
12546	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Jun 2014 A	0.152	128.100	2014/06/13	80,000,000	2014/01/10
12545	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Jul 14 A	0.260	92.880	2014/07/10	40,000,000	2014/01/10
12543	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Jun 2014 A	0.158	108.930	2014/06/11	80,000,000	2014/01/10
12539	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Jul 2014 A	0.250	580 per 100 units	2014/07/10	40,000,000	2014/01/10
12538	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Jun 2014B	0.193	449.90 per 100 units	2014/06/24	60,000,000	2014/01/10
12544	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Sep 2014 A	0.255	68.000	2014/09/02	40,000,000	2014/01/10
12558	Standard Chartered Bank	SPDR Gold Trust Eu Put Wt Sep 14 A	0.495	828 per 100 units	2014/09/22	35,000,000	2014/01/10
12559	Standard Chartered Bank	YZ Coal European Wts Jul 2014 A	0.200	8.75 per unit	2014/07/03	60,000,000	2014/01/10
12590	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Jul 2014A	0.485	128.000	2014/07/02	50,000,000	2014/01/10
12591	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Jul 2014B	0.230	139.880	2014/07/02	50,000,000	2014/01/10
12592	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Jan 2015 A	0.175	16.280	2015/01/05	150,000,000	2014/01/10
12555	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Jul14B	0.190	70.880	2014/07/02	100,000,000	2014/01/10
12566	SGA Societe Generale Acceptance N.V.	CRCC Eu Wt Sep 2014 A	0.460	8.88 per unit	2014/09/03	30,000,000	2014/01/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12567	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Aug 14 A	0.200	22.880	2014/08/04	100,000,000	2014/01/10
12593	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Aug 2014 A	0.270	3.88 per unit	2014/08/04	40,000,000	2014/01/10
12568	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt May14B	0.180	29.280	2014/05/07	60,000,000	2014/01/10
12556	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Aug14A	0.250	30.800	2014/08/04	60,000,000	2014/01/10
12557	SGA Societe Generale Acceptance N.V.	PICC Eu Wts Aug 2014A	0.180	4.18 per unit	2014/08/04	60,000,000	2014/01/10
12602	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt May14E	0.280	73.880	2014/05/28	100,000,000	2014/01/10
12595	SGA Societe Generale Acceptance N.V.	Wharf Eu Wts Aug 14 B	0.250	66.000	2014/08/04	40,000,000	2014/01/10
12554	SGA Societe Generale Acceptance N.V.	Zoomlion Eu Wt Jan16A	0.170	8.000	2016/01/06	200,000,000	2014/01/10
12570	UBS AG	China Coal European Warrants Jun 2014 A	0.150	5.01 per unit	2014/06/24	70,000,000	2014/01/10
12575	UBS AG	China EB Int'l European Warrants Jul 2014 A	0.450	15.38 per unit	2014/07/09	30,000,000	2014/01/10
12573	UBS AG	HSCEI European Put Warrants Jul 2014 B	0.250	10,000 per 4,000 units	2014/07/30	100,000,000	2014/01/10
12576	UBS AG	Tencent Holdings European Warrants Jun 2015 A	0.370	750 per 100 units	2015/06/15	100,000,000	2014/01/10
12571	UBS AG	Yanzhou Coal European Wts Jul 2014 A	0.300	8.01 per unit	2014/07/02	40,000,000	2014/01/10
12625	BOCI Asia Ltd.	China Mobile European Warrants Jul 2014 A	0.250	86.000	2014/07/21	100,000,000	2014/01/13
12624	BOCI Asia Ltd.	Kingsoft European Warrants Aug 2014 A	0.250	30.000	2014/08/18	100,000,000	2014/01/13
12676	BNP Paribas Arbit Issu B.V.	Agile Ppt Euro Wt Nov 2018 A	0.250	8.800	2018/11/02	95,000,000	2014/01/13
12642	BNP Paribas Arbit Issu B.V.	BYD European Wts Oct 2014 A	0.290	45.000	2014/10/06	50,000,000	2014/01/13
12674	BNP Paribas Arbit Issu B.V.	Country Garden Eu Wt Jan 2015A	0.280	5.50 per unit	2015/01/05	36,000,000	2014/01/13
12640	BNP Paribas Arbit Issu B.V.	China Gas Hold Euro Wt Jul15 A	0.250	13.000	2015/07/03	100,000,000	2014/01/13
12675	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Jan 2015 A	0.300	3.40 per unit	2015/01/05	55,000,000	2014/01/13
12639	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Apr 2017 A	0.250	85 per 100 units	2017/04/06	100,000,000	2014/01/13
12672	BNP Paribas Arbit Issu B.V.	HK Gas European Wts Jan 2017 A	0.250	19.500	2017/01/04	100,000,000	2014/01/13
12673	BNP Paribas Arbit Issu B.V.	Huaneng Euro Wts Oct 2014 A	0.360	9 per unit	2014/10/06	29,000,000	2014/01/13
12643	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Oct 2014 A	0.250	35.000	2014/10/06	100,000,000	2014/01/13
12641	BNP Paribas Arbit Issu B.V.	SJM Holdings Eu Wts Jan 2015 A	0.290	30.000	2015/01/05	100,000,000	2014/01/13
12661	Credit Suisse AG	CC Bank European Warrants Apr 2014 D	0.150	6.09 per unit	2014/04/28	80,000,000	2014/01/13
12664	Credit Suisse AG	China EB Int'l European Wts Jul 2014 A	0.560	15.58 per unit	2014/07/14	70,000,000	2014/01/13
12663	Credit Suisse AG	China Life European Warrants Jun 2014 B	0.150	24.000	2014/06/30	70,000,000	2014/01/13
12660	Credit Suisse AG	A50 China European Warrants May 2014 C	0.160	10.22 per unit	2014/05/30	80,000,000	2014/01/13
12638	Credit Suisse AG	HSI European Warrants Sep 2014 C	0.150	23,000 per 8,000 units	2014/09/29	200,000,000	2014/01/13
12666	Credit Suisse AG	NagaCorp European Warrants Dec 2014 A	0.250	9.080	2014/12/29	60,000,000	2014/01/13
12665	Credit Suisse AG	Tencent Holdings European Wts Jul 2014 C	0.250	638.88 per 100 units	2014/07/14	100,000,000	2014/01/13
12689	Citigroup Global Mkt H Inc.	CITIC Sec Euro Wts Jul 2014 A	0.190	21.880	2014/07/14	100,000,000	2014/01/13
12690	Citigroup Global Mkt H Inc.	Haitong Sec European Wt Nov14A	0.185	14.400	2014/11/24	100,000,000	2014/01/13
12687	Citigroup Global Mkt H Inc.	Wynn Macau Euro Wts Apr 2014 C	0.170	41.500	2014/04/25	60,000,000	2014/01/13
12647	Daiwa Capital Mkt	CCB European Warrants May 2014 A	0.230	5.88 per unit	2014/05/02	128,000,000	2014/01/13
12646	Daiwa Capital Mkt	ICBC European Warrants May 2014 C	0.190	5.38 per unit	2014/05/27	128,000,000	2014/01/13
12644	Daiwa Capital Mkt	Ping An European Warrants Jun 2014 C	0.390	69.980	2014/06/24	108,000,000	2014/01/13
12645	Daiwa Capital Mkt	Sands China European Warrants May 2014 B	0.370	68.900	2014/05/27	98,000,000	2014/01/13
12611	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Jul 2014 A	0.250	7.08 per unit	2014/07/31	80,000,000	2014/01/13
12612	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Jun 2014 B	0.273	82.880	2014/06/27	80,000,000	2014/01/13
12607	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Jul 2014 A	0.309	61.800	2014/07/31	80,000,000	2014/01/13
12658	Macquarie Bank Ltd.	BankComm European Warrants Aug 2014 A	0.250	6 per unit	2014/08/04	50,000,000	2014/01/13

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12657	Macquarie Bank Ltd.	CC Bank European Warrants Aug 2014 B	0.150	6.38 per unit	2014/08/08	70,000,000	2014/01/13
12656	Macquarie Bank Ltd.	Galaxy Ent European Wts Jul 2014 B	0.250	95.000	2014/07/03	40,000,000	2014/01/13
12654	Macquarie Bank Ltd.	HSBC European Warrants Jul 2014 B	0.177	87.500	2014/07/03	100,000,000	2014/01/13
12659	Macquarie Bank Ltd.	New World Dev European Wts Aug 2014 A	0.250	12 per unit	2014/08/04	50,000,000	2014/01/13
12623	Macquarie Bank Ltd.	PetroChina European Wts Aug 2014 A	0.337	8.75 per unit	2014/08/04	40,000,000	2014/01/13
12653	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Wts Jul 2014A	0.385	82.000	2014/07/14	40,000,000	2014/01/13
12650	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Dec 2014 A	0.250	10,600 per 4,000 units	2014/12/30	80,000,000	2014/01/13
12648	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Aug 2014A	0.270	10,300 per 4,200 units	2014/08/28	80,000,000	2014/01/13
12649	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Oct 2014A	0.197	9,700 per 4,200 units	2014/10/30	80,000,000	2014/01/13
12651	Merrill Lynch Int'l & Co. C.V.	Lenovo Euro Wts Jul 2014 A	0.475	10 per unit	2014/07/14	40,000,000	2014/01/13
12652	Merrill Lynch Int'l & Co. C.V.	Sands China Euro Wt Jul14A	0.260	74.000	2014/07/14	40,000,000	2014/01/13
12613	Nomura Int'l plc	BYD European Warrants Jun 2014 A	0.213	43.900	2014/06/26	80,000,000	2014/01/13
12617	Nomura Int'l plc	CITIC Sec European Warrants Jul 2014 A	0.156	25.900	2014/07/28	80,000,000	2014/01/13
12622	Nomura Int'l plc	CSOP A50 ETF European Warrants Jun 2014 A	0.290	10 per unit	2014/06/27	80,000,000	2014/01/13
12618	Nomura Int'l plc	A50 China European Warrants Jun 2014 B	0.151	11 per unit	2014/06/23	80,000,000	2014/01/13
12619	Nomura Int'l plc	A50 China European Warrants Jul 2014 A	0.350	10.08 per unit	2014/07/28	50,000,000	2014/01/13
12620	Nomura Int'l plc	A50 China European Warrants Jul 2014 B	0.630	9.18 per unit	2014/07/28	50,000,000	2014/01/13
12621	Nomura Int'l plc	A50 China European Put Wts Jul 2014 C	0.570	8.88 per unit	2014/07/28	50,000,000	2014/01/13
12615	Nomura Int'l plc	Kingsoft European Warrants Aug 2014 A	0.286	28.880	2014/08/18	80,000,000	2014/01/13
12616	Nomura Int'l plc	Kingsoft European Put Warrants Sep 2014 A	0.353	21.880	2014/09/18	80,000,000	2014/01/13
12614	Nomura Int'l plc	Ping An European Warrants Jun 2014 B	0.168	78.900	2014/06/26	80,000,000	2014/01/13
12633	Standard Chartered Bank	CAM CSI300 European Wts Dec 2014 A	0.250	30.880	2014/12/19	80,000,000	2014/01/13
12635	Standard Chartered Bank	CC Bank European Wts Aug 2014 A	0.188	6.39 per unit	2014/08/01	100,000,000	2014/01/13
12631	Standard Chartered Bank	CNBM European Warrants Jun 2016 A	0.170	8.890	2016/06/30	150,000,000	2014/01/13
12634	Standard Chartered Bank	Chi Res Power Euro Wts Aug 2014 A	0.250	18.880	2014/08/28	80,000,000	2014/01/13
12632	Standard Chartered Bank	Evergrande European Wts Jun 2014 A	0.160	3.59 per unit	2014/06/27	70,000,000	2014/01/13
12627	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Jul14A	0.210	30.880	2014/07/02	100,000,000	2014/01/13
12677	SGA Societe Generale Acceptance N.V.	AIA Eur Wt May 2014 B	0.345	36.000	2014/05/07	100,000,000	2014/01/13
12678	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jun 2014 B	0.150	41.880	2014/06/04	100,000,000	2014/01/13
12684	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Jul14A	0.150	30.000	2014/07/02	150,000,000	2014/01/13
12679	SGA Societe Generale Acceptance N.V	Belle Int'l Eu Wt May15A	0.150	9.090	2015/05/06	150,000,000	2014/01/13
12681	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Aug 2014 A	0.375	6.50 per unit	2014/08/04	30,000,000	2014/01/13
12628	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Aug 2014 A	0.300	4.50 per unit	2014/08/04	40,000,000	2014/01/13
12637	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct15B	0.150	8.390	2015/10/05	150,000,000	2014/01/13
12682	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Nov 2015 A	0.150	8.500	2015/11/04	200,000,000	2014/01/13
12683	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Sep 2014A	0.250	4.40 per unit	2014/09/03	50,000,000	2014/01/13
12685	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt May14A	0.185	4 per unit	2014/05/07	60,000,000	2014/01/13
12629	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Jul 2015 A	0.160	14.880	2015/07/06	100,000,000	2014/01/13
12630	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jul 14 G	0.265	560 per 100 units	2014/07/02	150,000,000	2014/01/13
12671	UBS AG	AIA European Warrants Jul 2014 C	0.250	40.380	2014/07/28	100,000,000	2014/01/13
12669	UBS AG	HKEx European Warrants Jul 2014 C	0.600	136.880	2014/07/23	100,000,000	2014/01/13
12667	UBS AG	KECL European Warrants Jun 2015 A	0.165	14.900	2015/06/23	100,000,000	2014/01/13
12668	UBS AG	KECL European Warrants Jul 2015 A	0.150	15.900	2015/07/28	100,000,000	2014/01/13

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
19928*	Standard Chartered Bank	A50 China Euro Warrants Nov 2014 A	0.150	7.780	2014/11/04	100,000,000	2014/01/13
12701	BOCI Asia Ltd.	CC Bank European Warrants Aug 2014 A	0.180	6.39 per unit	2014/08/04	100,000,000	2014/01/14
12700	BOCI Asia Ltd.	China Shenhua European Warrants Sep 2014 A	0.150	25.880	2014/09/29	100,000,000	2014/01/14
12698	BOCI Asia Ltd.	HSI European Put Warrants Jun 2014 A	0.180	22,000 per 6,400 units	2014/06/27	100,000,000	2014/01/14
12699	BOCI Asia Ltd.	ICBC European Warrants Aug 2014 A	0.250	5.60 per unit	2014/08/18	100,000,000	2014/01/14
12750	Credit Suisse AG	CC Bank European Warrants Aug 2014 A	0.150	6.39 per unit	2014/08/01	80,000,000	2014/01/14
12748	Credit Suisse AG	CSOP A50 ETF European Warrants Jun 2014 A	0.250	10 per unit	2014/06/30	80,000,000	2014/01/14
12751	Credit Suisse AG	Galaxy Ent European Warrants Jul 2014 A	0.280	100.380	2014/07/14	80,000,000	2014/01/14
12752	Credit Suisse AG	Kingsoft European Warrants Aug 2014 A	0.250	35.380	2014/08/04	80,000,000	2014/01/14
12749	Credit Suisse AG	Sands China European Put Wts Jun 2014 D	0.290	55.830	2014/06/16	60,000,000	2014/01/14
12772	Citigroup Global Mkt H Inc.	ICBC European Wts May 2014 B	0.175	5.38 per unit	2014/05/27	80,000,000	2014/01/14
12771	Citigroup Global Mkt H Inc.	Sands China Eu Put Wt Jun 14 A	0.260	53.880	2014/06/16	60,000,000	2014/01/14
12747	Daiwa Capital Mkt	Agricultural Bank European Wts Jul 2014 C	0.170	3.80 per unit	2014/07/21	98,000,000	2014/01/14
12743	Daiwa Capital Mkt	Galaxy Ent European Warrants Jun 2014 B	0.510	81.900	2014/06/24	98,000,000	2014/01/14
12745	Daiwa Capital Mkt	Galaxy Ent European Warrants Jul 2014 A	0.250	96.880	2014/07/14	98,000,000	2014/01/14
12742	Daiwa Capital Mkt	HSBC European Warrants Jul 2014 A	0.410	82.880	2014/07/14	128,000,000	2014/01/14
12746	Daiwa Capital Mkt	Sands China European Warrants Jul 2014 A	0.250	86.880	2014/07/14	98,000,000	2014/01/14
12768	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2014 B	0.251	80.000	2014/07/29	80,000,000	2014/01/14
12770	Goldman Sachs SP (Asia)	Foxconn European Wts Jul 2014 A	0.250	4.88 per unit	2014/07/28	80,000,000	2014/01/14
12692	Goldman Sachs SP (Asia)	HSI European Warrants Apr 2014 F	0.151	22,500 per 9,000 units	2014/04/29	80,000,000	2014/01/14
12691	Goldman Sachs SP (Asia)	HSI European Put Wts May 2014 A	0.157	21,900 per 6,000 units	2014/05/29	80,000,000	2014/01/14
12697	Goldman Sachs Sp (Asia)	ICBC European Warrants May 2014 A	0.156	5.38 per unit	2014/05/27	80,000,000	2014/01/14
12693	Goldman Sachs Sp (Asia)	ICBC European Warrants Jul 2014 B	0.251	5.20 per unit	2014/07/30	80,000,000	2014/01/14
12769	Goldman Sachs SP (Asia)	Yurun Food European Wts May 2014 A	0.162	5.88 per unit	2014/05/28	80,000,000	2014/01/14
12718	HK Bank	Agricultural Bank European Warrants Jul 2014 D	0.150	4.10 per unit	2014/07/18	80,000,000	2014/01/14
12714	HK Bank	Minsheng Bank European Warrants Sep 2014 A	0.500	8.98 per unit	2014/09/30	60,000,000	2014/01/14
12715	HK Bank	HKEx European Warrants Jul 2014 A	0.300	142.880	2014/07/31	60,000,000	2014/01/14
12720	HK Bank	HSI European Warrants Jul 2014 E	0.250	22,388 per 6,400 units	2014/07/30	80,000,000	2014/01/14
12721	HK Bank	HSI European Warrants Sep 2014 D	0.150	23,918 per 6,000 units	2014/09/29	80,000,000	2014/01/14
12719	HK Bank	HSI European Put Warrants Jul 2014 D	0.250	22,288 per 6,400 units	2014/07/30	80,000,000	2014/01/14
12713	HK Bank	ICBC European Warrants Jun 2014 A	0.180	5.39 per unit	2014/06/11	80,000,000	2014/01/14
12712	J P Morgan SP BV	AIA European Warrants Sep 2014 A	0.151	42.000	2014/09/01	200,000,000	2014/01/14
12711	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Aug 2014 A	0.250	5.80 per unit	2014/08/01	200,000,000	2014/01/14
12707	J P Morgan SP BV	Geely Auto European Warrants Aug 2014 A	0.250	4.20 per unit	2014/08/01	80,000,000	2014/01/14
12702	J P Morgan SP BV	HSI European Warrants Jun 2014 E	0.150	22,800 per 6,800 units	2014/06/27	200,000,000	2014/01/14
12706	J P Morgan SP BV	HSI European Warrants Aug 2014 A	0.250	22,600 per 7,800 units	2014/08/28	300,000,000	2014/01/14
12705	J P Morgan SP BV	HSI European Warrants Sep 2014 B	0.250	23,200 per 7,200 units	2014/09/29	300,000,000	2014/01/14
12731	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Aug 2014 A	0.150	4.08 per unit	2014/08/04	80,000,000	2014/01/14
12735	Macquarie Bank Ltd.	China Coal European Wts Aug 2014 A	0.250	4.60 per unit	2014/08/04	40,000,000	2014/01/14
12753	Macquarie Bank Ltd.	Cheung Kong European Wts Jul 2014 B	0.160	139.880	2014/07/03	80,000,000	2014/01/14
12734	Macquarie Bank Ltd.	China Overseas European Wts Jul 2014 A	0.150	23.880	2014/07/28	80,000,000	2014/01/14
12738	Macquarie Bank Ltd.	China Shenhua European Wts Aug 2014 A	0.250	23.000	2014/08/04	50,000,000	2014/01/14
12764	Macquarie Bank Ltd.	Evergrande European Wts Jul 2014 A	0.250	3.33 per unit	2014/07/14	80,000,000	2014/01/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12733	Macquarie Bank Ltd.	ICBC European Warrants Aug 2014 A	0.250	5.50 per unit	2014/08/04	80,000,000	2014/01/14
12737	Macquarie Bank Ltd.	Yanzhou Coal European Wts Sep 2014 A	0.400	7.25 per unit	2014/09/02	30,000,000	2014/01/14
12724	Standard Chartered Bank	Anhui Conch Euro Wts Jun 2014 A	0.150	29.980	2014/06/03	100,000,000	2014/01/14
12723	Standard Chartered Bank	AIA European Warrants Jun 2014 B	0.150	41.880	2014/06/03	100,000,000	2014/01/14
12722	Standard Chartered Bank	China Life European Wts Dec 2014 A	0.270	22.880	2014/12/08	100,000,000	2014/01/14
12725	Standard Chartered Bank	HSBC European Warrants May 2014 A	0.150	88.920	2014/05/27	100,000,000	2014/01/14
12728	Standard Chartered Bank	ICBC European Warrants May 2014 A	0.165	5.39 per unit	2014/05/27	100,000,000	2014/01/14
12727	Standard Chartered Bank	SHK P European Put Wts Aug 2014 A	0.250	88.000	2014/08/04	50,000,000	2014/01/14
12729	SGA Societe Generale Acceptance N.V.	Foxconn Eu Wt Jul14 A	0.405	4.80 per unit	2014/07/02	40,000,000	2014/01/14
12760	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Aug14A	0.250	102.500	2014/08/04	100,000,000	2014/01/14
12762	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Aug14B	0.470	65.000	2014/08/04	100,000,000	2014/01/14
12730	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Jul14B	0.250	32.880	2014/07/14	60,000,000	2014/01/14
12763	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Sep14A	0.250	90.000	2014/09/03	100,000,000	2014/01/14
12759	UBS AG	Galaxy Ent European Warrants Jul 2014 B	0.300	98.880	2014/07/14	40,000,000	2014/01/14
12758	UBS AG	Galaxy Ent European Put Warrants Jul 2014 A	0.650	68.880	2014/07/14	40,000,000	2014/01/14
12754	UBS AG	Hutchison European Warrants Jul 2014 A	0.400	115.880	2014/07/14	100,000,000	2014/01/14
12757	UBS AG	Sands China European Warrants Jul 2014 C	0.320	78.880	2014/07/14	40,000,000	2014/01/14
12756	UBS AG	Sands China European Put Warrants Jul 2014 B	0.450	58.880	2014/07/14	40,000,000	2014/01/14
12755	UBS AG	Wynn Macau European Warrants Jul 2014 A	0.310	45.880	2014/07/14	40,000,000	2014/01/14
12794	BOCI Asia Ltd.	Agricultural Bk European Wts Aug 2014 A	0.250	3.88 per unit	2014/08/11	100,000,000	2014/01/15
12796	BOCI Asia Ltd.	Li & Fung European Warrants Jan 2015 A	0.150	10.820	2015/01/26	100,000,000	2014/01/15
12795	BOCI Asia Ltd.	Tencent Holdings European Wts Jul 2014 A	0.250	580 per 100 units	2014/07/28	100,000,000	2014/01/15
12838	BNP Paribas Arbit Issu B.V.	BOCL European Wts Apr 2017 A	0.260	3.70 per unit	2017/04/06	100,000,000	2014/01/15
12834	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Oct 2014 A	0.270	3.99 per unit	2014/10/06	100,000,000	2014/01/15
12835	BNP Paribas Arbit Issu B.V.	HKEx European Wts Oct 2014 A	0.250	155.000	2014/10/06	50,000,000	2014/01/15
12837	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Aug 2014 A	0.250	88.000	2014/08/04	100,000,000	2014/01/15
12836	BNP Paribas Arbit Issu B.V.	Sihuan Pharm Euro Wts Jan15A	0.250	9.500	2015/01/05	100,000,000	2014/01/15
12825	Credit Suisse AG	Minsheng Bank European Wts Jul 2014 B	0.370	9.88 per unit	2014/07/28	80,000,000	2014/01/15
12817	Credit Suisse AG	HSI European Warrants Jun 2014 D	0.150	22,800 per 6,800 units	2014/06/27	200,000,000	2014/01/15
12818	Credit Suisse AG	HSI European Warrants Sep 2014 D	0.170	23,918 per 6,000 units	2014/09/29	200,000,000	2014/01/15
12819	Credit Suisse AG	HSI European Put Warrants May 2014 G	0.150	21,900 per 6,000 units	2014/05/29	200,000,000	2014/01/15
12821	Credit Suisse AG	ICBC European Warrants Jul 2014 D	0.250	5.66 per unit	2014/07/28	80,000,000	2014/01/15
12803	Daiwa Capital Mkt	CCB European Put Warrants Jun 2014 A	0.250	4.99 per unit	2014/06/23	128,000,000	2014/01/15
12802	Daiwa Capital Mkt	China Life European Warrants Aug 2014 B	0.160	24.100	2014/08/22	138,000,000	2014/01/15
12804	Daiwa Capital Mkt	CSOP A50 ETF European Wts Oct 2015 A	0.150	8.888	2015/10/02	158,000,000	2014/01/15
12801	Daiwa Capital Mkt	Galaxy Ent European Put Wts Jul 2014 B	0.280	61.880	2014/07/14	80,000,000	2014/01/15
12805	Daiwa Capital Mkt	Sands China European Put Wts Jun 2014 B	0.210	52.880	2014/06/24	80,000,000	2014/01/15
12797	Goldman Sachs SP (Asia)	CM Bank European Wts Dec 2014 A	0.150	16.280	2014/12/29	100,000,000	2014/01/15
12799	Goldman Sachs SP (Asia)	Kingsoft European Wts Sep 2014 A	0.250	30.800	2014/09/29	80,000,000	2014/01/15
12800	Goldman Sachs SP (Asia)	Sands China Eur Put Wts Jun 2014 B	0.218	55.050	2014/06/26	80,000,000	2014/01/15
12782	HK Bank	China Life European Warrants Aug 2014 B	0.190	22.930	2014/08/25	100,000,000	2014/01/15
12790	HK Bank	China Life European Warrants Aug 2014 C	0.150	24.380	2014/08/01	100,000,000	2014/01/15
12789	HK Bank	China Life European Warrants Sep 2014 C	0.150	25.050	2014/09/25	100,000,000	2014/01/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12786	HK Bank	CNOOC European Warrants Dec 2014 B	0.150	13.200	2014/12/01	100,000,000	2014/01/15
12787	HK Bank	Sinopec Corp European Warrants Jul 2014 B	0.250	6.38 per unit	2014/07/31	60,000,000	2014/01/15
12783	HK Bank	Galaxy Ent European Warrants Jul 2014 A	0.320	92.880	2014/07/31	60,000,000	2014/01/15
12784	HK Bank	Sands China European Warrants Jul 2014 B	0.250	79.880	2014/07/31	60,000,000	2014/01/15
12781	J P Morgan SP BV	BOCL European Warrants Oct 2014 A	0.250	3.60 per unit	2014/10/03	200,000,000	2014/01/15
12780	J P Morgan SP BV	China Railway European Wts Sep 2014 A	0.253	4.38 per unit	2014/09/01	40,000,000	2014/01/15
12779	J P Morgan SP BV	Galaxy Ent European Warrants Aug 2014 B	0.250	100.000	2014/08/01	120,000,000	2014/01/15
12778	J P Morgan SP BV	Galaxy Ent European Put Wts Aug 2014 A	0.353	65.000	2014/08/01	120,000,000	2014/01/15
12812	J P Morgan SP BV	Galaxy Ent European Put Wts Aug 2014 C	0.472	70.000	2014/08/01	150,000,000	2014/01/15
12777	J P Morgan SP BV	HSI European Warrants May 2014 A	0.150	23,200 per 6,800 units	2014/05/29	300,000,000	2014/01/15
12776	J P Morgan SP BV	HSI European Warrants Jul 2014 B	0.250	22,400 per 7,700 units	2014/07/30	300,000,000	2014/01/15
12775	J P Morgan SP BV	ICBC European Warrants Jun 2014 A	0.151	5.38 per unit	2014/06/03	200,000,000	2014/01/15
12811	J P Morgan SP BV	PetroChina European Warrants Jun 2014 B	0.166	8.88 per unit	2014/06/19	200,000,000	2014/01/15
12774	J P Morgan SP BV	Sands China European Warrants Aug 2014 A	0.250	88.880	2014/08/01	120,000,000	2014/01/15
12810	J P Morgan SP BV	Sands China European Put Wts Aug 2014 B	0.425	60.000	2014/08/01	150,000,000	2014/01/15
12809	J P Morgan SP BV	Tencent European Warrants Jul 2014 E	0.150	628 per 100 units	2014/07/02	200,000,000	2014/01/15
12773	J P Morgan SP BV	Wharf European Warrants Aug 2014 A	0.250	65.000	2014/08/01	50,000,000	2014/01/15
12808	Macquarie Bank Ltd.	Agile Ppt European Warrants Jul 2014 B	0.342	9.50 per unit	2014/07/15	40,000,000	2014/01/15
12806	Macquarie Bank Ltd.	AIA European Warrants May 2014 A	0.150	40.000	2014/05/05	70,000,000	2014/01/15
12831	Macquarie Bank Ltd.	Sinopec Corp European Wts Sep 2014 B	0.250	6.50 per unit	2014/09/02	40,000,000	2014/01/15
12807	Macquarie Bank Ltd.	Henganintl European Wts Jan 2016 A	0.150	100.88 per 100 units	2016/01/04	180,000,000	2014/01/15
12791	Standard Chartered Bank	Hutchison Euro Euro Wts Dec 2015 A	0.250	85.85 per 100 units	2015/12/31	150,000,000	2014/01/15
12792	Standard Chartered Bank	Hutchison Euro Put Wt Sep 2014 A	0.290	84.000	2014/09/30	60,000,000	2014/01/15
12815	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Jun14A	0.220	19.500	2014/06/04	100,000,000	2014/01/15
12813	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Jul 2014 B	0.500	8.88 per unit	2014/07/02	30,000,000	2014/01/15
12793	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jan 2017A	0.150	8.870	2017/01/04	300,000,000	2014/01/15
12827	UBS AG	CC Bank European Warrants Jul 2014 E	0.250	5.88 per unit	2014/07/14	100,000,000	2014/01/15
12826	UBS AG	Galaxy Ent European Warrants Jul 2014 C	0.250	108.000	2014/07/28	40,000,000	2014/01/15
12829	UBS AG	HSI European Warrants Jun 2014 D	0.160	22,800 per 6,800 units	2014/06/27	300,000,000	2014/01/15
12828	UBS AG	Wynn Macau European Put Warrants Jul 2014 B	0.380	32.880	2014/07/14	30,000,000	2014/01/15
12843	BOCI Asia Ltd.	Ch Overseas European Warrants Jul 2014 A	0.150	23.880	2014/07/28	100,000,000	2014/01/16
12844	BOCI Asia Ltd.	Galaxy Ent European Warrants Jul 2014 A	0.330	95.000	2014/07/21	100,000,000	2014/01/16
12866	Credit Suisse AG	GCL-Poly Energy European Wts Jul 2014 A	0.260	3.98 per unit	2014/07/28	80,000,000	2014/01/16
12864	Credit Suisse AG	Galaxy Ent European Warrants Jul 2014 C	0.270	108.380	2014/07/15	80,000,000	2014/01/16
12861	Credit Suisse AG	Galaxy Ent European Put Wts Jul 2014 B	0.430	66.880	2014/07/15	80,000,000	2014/01/16
12865	Credit Suisse AG	Kingsoft European Warrants Jul 2014 C	0.250	39.880	2014/07/28	80,000,000	2014/01/16
12879	Credit Suisse AG	Tencent Holdings European Wts Apr 2014 I	0.180	568 per 100 units	2014/04/30	100,000,000	2014/01/16
12867	Citigroup Global Mkt H Inc.	BOCL European Wts Dec 2014 A	0.165	3.80 per unit	2014/12/24	80,000,000	2014/01/16
12882	Citigroup Global Mkt H Inc.	HKEx European Wts May 2014 B	0.242	145.000	2014/05/30	50,000,000	2014/01/16
12880	Citigroup Global Mkt H Inc.	Hutchison Euro Wts May 2014 B	0.255	115.000	2014/05/07	60,000,000	2014/01/16
12881	Citigroup Global Mkt H Inc.	Tencent European Wts Jun 2014 C	0.280	550 per 100 units	2014/06/27	100,000,000	2014/01/16
12853	Daiwa Capital Mkt	AIA European Warrants Jul 2014 A	0.240	38.900	2014/07/04	108,000,000	2014/01/16
12856	Daiwa Capital Mkt	CCB European Warrants Aug 2014 A	0.150	6.388 per unit	2014/08/01	128,000,000	2014/01/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12855	Daiwa Capital Mkt	HKEx European Warrants Jun 2014 B	0.260	145.080	2014/06/03	98,000,000	2014/01/16
12854	Daiwa Capital Mkt	HSBC European Warrants Jul 2014 B	0.250	89.880	2014/07/15	128,000,000	2014/01/16
12860	Daiwa Capital Mkt	Hutchison European Warrants Jun 2014 C	0.470	108.900	2014/06/03	128,000,000	2014/01/16
12840	HK Bank	China Coal European Warrants Jul 2014 A	0.250	4.78 per unit	2014/07/31	60,000,000	2014/01/16
12841	HK Bank	CRCC European Warrants Aug 2014 A	0.350	8 per unit	2014/08/04	60,000,000	2014/01/16
12842	HK Bank	GCL-Poly Energy European Warrants Jul 2014 B	0.250	3.18 per unit	2014/07/31	60,000,000	2014/01/16
12839	HK Bank	Geely Auto European Warrants Jul 2014 A	0.250	3.78 per unit	2014/07/31	60,000,000	2014/01/16
12884	J P Morgan SP BV	AIA European Warrants Jun 2014 B	0.181	38.880	2014/06/23	200,000,000	2014/01/16
12883	J P Morgan SP BV	CNBM European Warrants Nov 2015 A	0.150	8.500	2015/11/02	388,000,000	2014/01/16
12859	J P Morgan SP BV	Sinopec Corp European Warrants Aug 2014 A	0.250	6.38 per unit	2014/08/01	200,000,000	2014/01/16
12857	J P Morgan SP BV	Greatwall Motor European Wts Jul 2014 A	0.150	48.880	2014/07/02	150,000,000	2014/01/16
12871	Macquarie Bank Ltd.	China Life European Wts Jul 2014 A	0.150	24.000	2014/07/03	100,000,000	2014/01/16
12868	Macquarie Bank Ltd.	China Tel European Warrants Jul 2014 A	0.151	4 per unit	2014/07/03	100,000,000	2014/01/16
12869	Macquarie Bank Ltd.	Geely Auto European Wts Aug 2014 A	0.250	4.50 per unit	2014/08/04	40,000,000	2014/01/16
12870	Macquarie Bank Ltd.	Greatwall Motor European Wts Jun 2014 B	0.152	52.500	2014/06/04	67,000,000	2014/01/16
12849	Standard Chartered Bank	China Overseas Euro Wt Aug 2014 A	0.150	23.880	2014/08/01	80,000,000	2014/01/16
12851	Standard Chartered Bank	Sinopec Corp Euro Wts Aug 2014 B	0.150	6.99 per unit	2014/08/01	80,000,000	2014/01/16
12850	Standard Chartered Bank	Galaxy Ent Euro Put Wts Jul 2014 A	0.280	62.880	2014/07/30	80,000,000	2014/01/16
12848	Standard Chartered Bank	Wharf European Warrants Jul 2014 A	0.150	69.880	2014/07/03	70,000,000	2014/01/16
12845	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Jun 2014 A	0.385	41.880	2014/06/04	50,000,000	2014/01/16
12872	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Aug14A	0.610	12 per unit	2014/08/04	20,000,000	2014/01/16
12873	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Aug14A	0.430	44.000	2014/08/04	60,000,000	2014/01/16
12846	SGA Societe Generale Acceptance N.V.	NagaCorp Eu Wt Mar15A	0.160	9.500	2015/03/30	150,000,000	2014/01/16
12847	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Jun14C	0.200	85.600	2014/06/30	100,000,000	2014/01/16
12878	UBS AG	China Life European Warrants Jun 2014 A	0.150	23.930	2014/06/30	100,000,000	2014/01/16
12877	UBS AG	China Life European Warrants Aug 2014 C	0.180	22.930	2014/08/25	100,000,000	2014/01/16
12876	UBS AG	China Mobile European Warrants Jul 2014 C	0.300	80.880	2014/07/15	100,000,000	2014/01/16
12852	UBS AG	Greatwall Motor European Warrants Oct 2016 A	0.150	38.05 per 100 units	2016/10/17	150,000,000	2014/01/16
12875	UBS AG	ICBC European Warrants Jul 2014 D	0.250	5.38 per unit	2014/07/21	100,000,000	2014/01/16
12874	UBS AG	Kingsoft European Warrants Jul 2014 A	0.280	32.930	2014/07/07	100,000,000	2014/01/16
11508 ⁹	Goldman Sachs SP (Asia)	Sands China Euro Wts May 2014 A	0.134	77.000	2014/05/05	80,000,000	2014/01/16
12895	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jun 2014 A	0.210	82.550	2014/06/04	100,000,000	2014/01/17
12901	BNP Paribas Arbit Issu B.V.	Henganintl Euro Wts Aug 2014 A	0.390	108.000	2014/08/04	27,000,000	2014/01/17
12898	BNP Paribas Arbit Issu B.V.	HN Renewables Euro Wts Aug14A	0.350	4.50 per unit	2014/08/04	35,000,000	2014/01/17
12896	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2014 B	0.150	5.78 per unit	2014/08/04	100,000,000	2014/01/17
12899	BNP Paribas Arbit Issu B.V.	Longfor PPT Euro Wt Apr 2015 A	0.170	12.880	2015/04/02	62,000,000	2014/01/17
12919	Credit Suisse AG	Greatwall Motor European Wts Jun 2014 B	0.200	48.900	2014/06/23	60,000,000	2014/01/17
12912	Citigroup Global Mkt H Inc.	A50 China Euro Wts Jun 2014 A	0.260	10 per unit	2014/06/24	80,000,000	2014/01/17
12937	Citigroup Global Mkt H Inc.	Geely Auto Euro Wts Jul 2014 A	0.305	3.68 per unit	2014/07/16	40,000,000	2014/01/17
12910	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jul 2014 A	0.390	73.000	2014/07/02	100,000,000	2014/01/17
12936	Citigroup Global Mkt H Inc.	Sands China Euro Wt May 2014 A	0.250	73.880	2014/05/23	60,000,000	2014/01/17
12913	Daiwa Capital Mkt	China Telecom European Wts Aug 2014 A	0.250	3.98 per unit	2014/08/01	80,000,000	2014/01/17
12915	Daiwa Capital Mkt	HSI European Warrants Jun 2014 C	0.160	22,000 per 8,000 units	2014/06/27	128,000,000	2014/01/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12917	Daiwa Capital Mkt	HSI European Warrants Sep 2014 B	0.150	22,900 per 8,000 units	2014/09/29	128,000,000	2014/01/17
12918	Daiwa Capital Mkt	HSI European Put Warrants Jun 2014 D	0.160	22,500 per 7,000 units	2014/06/27	128,000,000	2014/01/17
12914	Daiwa Capital Mkt	PetroChina European Warrants Jul 2014 B	0.190	9.02 per unit	2014/07/02	80,000,000	2014/01/17
12907	Goldman Sachs SP (Asia)	CC Bank European Wts Apr 2014 A	0.150	5.89 per unit	2014/04/29	80,000,000	2014/01/17
12908	Goldman Sachs SP (Asia)	CC Bank European Wts Sep 2014 A	0.250	6.28 per unit	2014/09/29	80,000,000	2014/01/17
12906	Goldman Sachs SP (Asia)	Sands China Euro Wts Jul 2014 A	0.255	83.380	2014/07/30	80,000,000	2014/01/17
12903	HK Bank	BOCL European Warrants Aug 2014 B	0.250	3.58 per unit	2014/08/29	80,000,000	2014/01/17
12904	HK Bank	CITIC Sec European Warrants Jul 2014 C	0.160	21.930	2014/07/28	100,000,000	2014/01/17
12902	HK Bank	China Mobile European Warrants Jul 2014 C	0.250	80.380	2014/07/31	80,000,000	2014/01/17
12905	HK Bank	Greatwall Motor European Warrants Jul 2014 A	0.250	45.000	2014/07/31	60,000,000	2014/01/17
12922	J P Morgan SP BV	Agricultural Bk Euro Warrants Aug 2014 A	0.150	3.80 per unit	2014/08/01	200,000,000	2014/01/17
12921	J P Morgan SP BV	Cheung Kong European Warrants Aug 2014 A	0.339	130.000	2014/08/01	70,000,000	2014/01/17
12935	J P Morgan SP BV	China Mob European Wts Aug 2014 A	0.302	78.880	2014/08/04	200,000,000	2014/01/17
12934	J P Morgan SP BV	Ch Railway Cons European Wts Aug 2014 A	0.338	8.50 per unit	2014/08/04	30,000,000	2014/01/17
12920	J P Morgan SP BV	Kingsoft European Warrants Jul 2014 C	0.272	28.000	2014/07/18	100,000,000	2014/01/17
12893	Macquarie Bank Ltd.	China Mobile European Wts May 2014 B	0.150	83.880	2014/05/05	100,000,000	2014/01/17
12894	Macquarie Bank Ltd.	CNOOC European Warrants Aug 2014 A	0.250	16.50 per unit	2014/08/04	40,000,000	2014/01/17
12923	Macquarie Bank Ltd.	Lenovo European Warrants Sep 2014 A	0.250	11.80 per unit	2014/09/02	60,000,000	2014/01/17
12928	Macquarie Bank Ltd.	Sands China European Wts Aug 2014 A	0.250	82.500	2014/08/04	40,000,000	2014/01/17
12890	Nomura Int'l plc	AIA European Warrants Dec 2014 A	0.250	42.880	2014/12/30	50,000,000	2014/01/17
12885	Nomura Int'l plc	KECL European Warrants Jul 2015 A	0.152	15.900	2015/07/28	80,000,000	2014/01/17
12891	Nomura Int'l plc	China Mengniu European Warrants Jul 2014A	0.254	42.000	2014/07/18	50,000,000	2014/01/17
12888	Nomura Int'l plc	PetroChina European Warrants May 2014 A	0.151	9.29 per unit	2014/05/21	80,000,000	2014/01/17
12892	Nomura Int'l plc	Sinopec Seg European Warrants Sep 2014 A	0.163	13.900	2014/09/12	80,000,000	2014/01/17
12889	Nomura Int'l plc	Sinopharm European Warrants Jul 2014 A	0.156	27.220	2014/07/21	80,000,000	2014/01/17
12887	Nomura Int'l plc	Shimao Ppt European Warrants Jul 2014 A	0.150	20.920	2014/07/28	80,000,000	2014/01/17
12886	Nomura Int'l plc	Sihuan Pharm European Warrants Dec 2014 A	0.252	8.280	2014/12/18	50,000,000	2014/01/17
12924	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Aug 2014 A	0.530	38.880	2014/08/04	50,000,000	2014/01/17
12925	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2014 A	0.250	5.88 per unit	2014/08/04	100,000,000	2014/01/17
12909	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt May16 A	0.150	9.190	2016/05/04	200,000,000	2014/01/17
12926	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 14 C	0.170	83.880	2014/07/02	100,000,000	2014/01/17
12927	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jul14C	0.365	90.000	2014/07/02	100,000,000	2014/01/17
12932	UBS AG	China EB Int'l European Warrants Dec 2014 A	0.220	12.300	2014/12/24	60,000,000	2014/01/17
12929	UBS AG	China National Building European Wts Oct 2015 A	0.150	8.510	2015/10/27	100,000,000	2014/01/17
12930	UBS AG	China National Building European Wts Jun 2016 A	0.170	8.890	2016/06/27	100,000,000	2014/01/17
12931	UBS AG	Sinopec Corp European Warrants Jul 2014 B	0.250	6.38 per unit	2014/07/28	80,000,000	2014/01/17
12956	BOCI Asia Ltd.	Sinopec Corp European Warrants Aug 2014 A	0.250	6.50 per unit	2014/08/04	100,000,000	2014/01/20
12958	BOCI Asia Ltd.	Galaxy Ent European Put Warrants Jul 2014 B	0.400	65.000	2014/07/28	100,000,000	2014/01/20
12955	BOCI Asia Ltd.	PetroChina European Warrants Aug 2014 A	0.280	9 per unit	2014/08/04	100,000,000	2014/01/20
12957	BOCI Asia Ltd.	Sands China European Put Warrants Jul 2014A	0.360	55.000	2014/07/28	100,000,000	2014/01/20
12978	Credit Suisse AG	CNBM European Warrants Apr 2014 B	0.290	8.81 per unit	2014/04/28	70,000,000	2014/01/20
12977	Credit Suisse AG	CNBM European Warrants Oct 2015 A	0.150	8.510	2015/10/30	80,000,000	2014/01/20
12988	Citigroup Global Mkt H Inc.	CITIC Sec Euro Wts Aug 2014 A	0.220	20.000	2014/08/19	100,000,000	2014/01/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12985	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Jun 2014 A	0.235	10 per unit	2014/06/24	50,000,000	2014/01/20
12989	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Aug 2016 A	0.150	8.880	2016/08/01	100,000,000	2014/01/20
12969	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Jun 2014 B	0.490	85.000	2014/06/25	60,000,000	2014/01/20
12991	Citigroup Global Mkt H Inc.	HSBC European Wts Jun 2014 A	0.300	87.500	2014/06/23	100,000,000	2014/01/20
12987	Citigroup Global Mkt H Inc.	Haitong Sec European Wt Feb15A	0.250	13.380	2015/02/16	100,000,000	2014/01/20
12976	Citigroup Global Mkt H Inc.	Lenovo European Wts Jul 2014 A	0.660	10 per unit	2014/07/07	25,000,000	2014/01/20
12992	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Jun 2014 A	0.260	8.88 per unit	2014/06/19	70,000,000	2014/01/20
12990	Citigroup Global Mkt H Inc.	PWR Assets European Wts Jul14A	0.300	62.800	2014/07/21	50,000,000	2014/01/20
12981	Daiwa Capital Mkt	HSCEI European Put Warrants Jul 2014 A	0.250	10,100 per 4,700 units	2014/07/30	100,000,000	2014/01/20
12983	Daiwa Capital Mkt	HSCEI European Put Warrants Sep 2014 B	0.320	12,000 per 8,000 units	2014/09/29	100,000,000	2014/01/20
12979	Daiwa Capital Mkt	HSI European Warrants Sep 2014 C	0.150	23,800 per 6,000 units	2014/09/29	128,000,000	2014/01/20
12980	Daiwa Capital Mkt	HSI European Put Warrants Jun 2014 E	0.200	23,200 per 8,000 units	2014/06/27	128,000,000	2014/01/20
12984	Daiwa Capital Mkt	HSI European Put Warrants Feb 2015 A	0.150	20,900 per 9,000 units	2015/02/26	128,000,000	2014/01/20
12975	J P Morgan SP BV	BYD European Warrants Jun 2014 A	0.268	43.880	2014/06/27	70,000,000	2014/01/20
12974	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2014 F	0.319	83.880	2014/04/28	150,000,000	2014/01/20
12973	J P Morgan SP BV	HKEx European Warrants May 2014 A	0.175	145.000	2014/05/28	80,000,000	2014/01/20
12949	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jul 2014 D	0.250	10,000 per 4,000 units	2014/07/30	300,000,000	2014/01/20
12948	J P Morgan SP BV	HSCEI European Put Warrants Jul 2014 C	0.250	9,600 per 4,000 units	2014/07/30	300,000,000	2014/01/20
12945	J P Morgan SP BV	HSI European Warrants Jul 2014 E	0.250	22,000 per 7,800 units	2014/07/30	500,000,000	2014/01/20
12943	J P Morgan SP BV	HSI European Put Warrants Jul 2014 C	0.250	21,600 per 6,000 units	2014/07/30	500,000,000	2014/01/20
12944	J P Morgan SP BV	HSI European Put Warrants Jul 2014 D	0.259	22,000 per 6,000 units	2014/07/30	500,000,000	2014/01/20
12946	J P Morgan SP BV	HSI European Put Warrants Jul 2014 F	0.255	23,600 per 8,000 units	2014/07/30	500,000,000	2014/01/20
12947	J P Morgan SP BV	HSI European Put Warrants Jul 2014 G	0.250	22,800 per 7,000 units	2014/07/30	500,000,000	2014/01/20
12972	J P Morgan SP BV	Ping An European Warrants May 2014 A	0.206	74.880	2014/05/28	200,000,000	2014/01/20
12971	J P Morgan SP BV	Sands China European Warrants May 2014 C	0.195	73.880	2014/05/27	150,000,000	2014/01/20
12970	Macquarie Bank Ltd.	AIA European Warrants Aug 2014 A	0.250	40.000	2014/08/04	50,000,000	2014/01/20
12967	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Aug 2014 A	0.155	24.420	2014/08/01	80,000,000	2014/01/20
12954	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2014 A	0.154	83.930	2014/06/19	80,000,000	2014/01/20
12953	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jul 2014 A	0.270	80.000	2014/07/21	40,000,000	2014/01/20
12950	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Oct 2014 B	0.285	10.50 per unit	2014/10/28	40,000,000	2014/01/20
12968	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Wts Jun 2014A	0.232	89.930	2014/06/16	50,000,000	2014/01/20
12942	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jun 2014 D	0.164	22,700 per 6,800 units	2014/06/27	100,000,000	2014/01/20
12938	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jul 2014 B	0.250	21,900 per 6,800 units	2014/07/30	100,000,000	2014/01/20
12939	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jul 2014 C	0.250	23,500 per 5,900 units	2014/07/30	100,000,000	2014/01/20
12966	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jun 2014 A	0.365	70.050	2014/06/24	40,000,000	2014/01/20
12951	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jul 2014 B	0.265	75.000	2014/07/21	40,000,000	2014/01/20
12963	Standard Chartered Bank	China Mobile Euro Wts Aug 2014 B	0.250	81.880	2014/08/05	100,000,000	2014/01/20
12961	Standard Chartered Bank	Geely Auto European Wts Jun 2014 A	0.150	4.19 per unit	2014/06/23	70,000,000	2014/01/20
12959	Standard Chartered Bank	Galaxy Ent European Wts Jun 2014 D	0.250	95.050	2014/06/27	80,000,000	2014/01/20
12960	Standard Chartered Bank	Greatwall Motor Euro Wt Jun 2014 A	0.190	48.880	2014/06/23	60,000,000	2014/01/20
12964	Standard Chartered Bank	PetroChina European Wts Jun 2014 B	0.250	8.89 per unit	2014/06/27	80,000,000	2014/01/20
12962	Standard Chartered Bank	Wynn Macau European Wts Jun 2014 A	0.285	42.880	2014/06/25	60,000,000	2014/01/20
12965	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Dec 2014B	0.150	13.180	2014/12/03	300,000,000	2014/01/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13012	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Jun 2015 A	0.150	8.500	2015/06/24	100,000,000	2014/01/21
13013	BOCI Asia Ltd.	Lenovo European Warrants Mar 2015 A	0.160	9.290	2015/03/23	100,000,000	2014/01/21
13017	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Jul 2014 A	0.160	4 per unit	2014/07/03	75,000,000	2014/01/21
13016	BNP Paribas Arbit Issu B.V.	OOIL European Wts Mar 2015 A	0.450	40.000	2015/03/03	23,000,000	2014/01/21
13014	BNP Paribas Arbit Issu B.V.	PWR Assets Euro Wts Feb 2015 A	0.260	68.880	2015/02/03	48,000,000	2014/01/21
13015	BNP Paribas Arbit Issu B.V.	Want Want Ch Eu Wts Aug 2016 A	0.250	11.680	2016/08/02	100,000,000	2014/01/21
13018	BNP Paribas Arbit Issu B.V.	Weichai Power Eu Wt Aug 2014 A	0.280	33.800	2014/08/04	42,000,000	2014/01/21
13052	Credit Suisse AG	China Mobile European Warrants Jul 2014 B	0.260	80.980	2014/07/21	80,000,000	2014/01/21
13051	Credit Suisse AG	CNOOC European Warrants Dec 2014 B	0.150	13.200	2014/12/01	80,000,000	2014/01/21
13060	Citigroup Global Mkt H Inc.	AIA European Wts Jun 2014 A	0.160	39.380	2014/06/23	100,000,000	2014/01/21
13061	Citigroup Global Mkt H Inc.	AIA European Wts Jul 2014 A	0.250	38.000	2014/07/21	100,000,000	2014/01/21
13059	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Jul 2014 A	0.320	96.880	2014/07/07	50,000,000	2014/01/21
13038	Daiwa Capital Mkt	Galaxy Ent European Warrants Jul 2014 C	0.250	114.880	2014/07/21	128,000,000	2014/01/21
13020	Goldman Sachs SP (Asia)	Stanchart European Wts Mar 2015 A	0.150	182 per 100 units	2015/03/27	80,000,000	2014/01/21
13001	HK Bank	China Railway European Warrants Sep 2014 A	0.250	4.08 per unit	2014/09/30	60,000,000	2014/01/21
12998	HK Bank	Galaxy Ent European Warrants Mar 2017 A	0.210	85.05 per 100 units	2017/03/30	150,000,000	2014/01/21
13000	HK Bank	HSI European Warrants Jul 2014 F	0.250	23,188 per 6,300 units	2014/07/30	150,000,000	2014/01/21
12999	HK Bank	HSI European Put Warrants Aug 2014 A	0.250	21,388 per 6,000 units	2014/08/28	150,000,000	2014/01/21
13058	J P Morgan SP BV	China Life European Warrants Sep 2014 B	0.150	25.000	2014/09/30	200,000,000	2014/01/21
12996	J P Morgan SP BV	China Shenhua European Wts Aug 2014 A	0.150	22.880	2014/08/01	200,000,000	2014/01/21
13056	J P Morgan SP BV	GCL-Poly European Warrants Aug 2014 A	0.304	3.38 per unit	2014/08/01	150,000,000	2014/01/21
13055	J P Morgan SP BV	Greatwall Motor European Wts Aug 2014 A	0.250	41.880	2014/08/01	100,000,000	2014/01/21
12995	J P Morgan SP BV	HSBC European Warrants Jun 2014 C	0.150	92.880	2014/06/30	200,000,000	2014/01/21
12994	J P Morgan SP BV	Sihuan Pharm European Warrants Dec 2014 A	0.250	9.680	2014/12/30	120,000,000	2014/01/21
12993	J P Morgan SP BV	Sunny Optical European Warrants Dec 2015A	0.250	10.000	2015/12/31	80,000,000	2014/01/21
13054	Macquarie Bank Ltd.	A50 China European Warrants Aug 2014 A	0.250	11.25 per unit	2014/08/04	40,000,000	2014/01/21
13019	Macquarie Bank Ltd.	Greatwall Motor European Wts Aug 2014 A	0.250	45.000	2014/08/04	40,000,000	2014/01/21
12997	Macquarie Bank Ltd.	HK Gas European Warrants Jun 2015 A	0.250	17.000	2015/06/02	50,000,000	2014/01/21
13053	Macquarie Bank Ltd.	Kingsoft European Warrants Jul 2014 B	0.250	35.000	2014/07/21	80,000,000	2014/01/21
13041	Macquarie Bank Ltd.	PetroChina European Wts Aug 2014 B	0.250	9.50 per unit	2014/08/04	40,000,000	2014/01/21
13040	Macquarie Bank Ltd.	Tencent European Warrants Oct 2014 B	0.250	650 per 100 units	2014/10/06	150,000,000	2014/01/21
13039	Macquarie Bank Ltd.	Tencent European Put Wts Oct 2014 A	0.250	400 per 100 units	2014/10/06	150,000,000	2014/01/21
13011	Nomura Int'l plc	CAM CSI300 European Warrants Dec 2014 A	0.162	30.900	2014/12/12	80,000,000	2014/01/21
13008	Nomura Int'l plc	China Mobile European Warrants Jul 2014 B	0.155	86.050	2014/07/14	80,000,000	2014/01/21
13010	Nomura Int'l plc	Evergrande European Warrants Jun 2014 A	0.150	3.59 per unit	2014/06/27	80,000,000	2014/01/21
13009	Nomura Int'l plc	GCL-Poly Energy European Warrants Aug 14 A	0.310	3.38 per unit	2014/08/18	50,000,000	2014/01/21
13006	Nomura Int'l plc	HSBC European Warrants Jun 2014 A	0.150	92.930	2014/06/25	80,000,000	2014/01/21
13007	Nomura Int'l plc	Tencent Holdings Euro Warrants Jul 2014 A	0.258	568 per 100 units	2014/07/28	80,000,000	2014/01/21
13024	Standard Chartered Bank	Cheung Kong Euro Wts Jul 2014 A	0.200	139.880	2014/07/02	80,000,000	2014/01/21
13033	Standard Chartered Bank	HKEx European Warrants Jun 2014 B	0.260	145.000	2014/06/04	80,000,000	2014/01/21
13029	Standard Chartered Bank	HSCEI European Warrants Dec 2014 B	0.190	10,600 per 4,000 units	2014/12/30	100,000,000	2014/01/21
13031	Standard Chartered Bank	HSCEI European Put Wts Jul 2014 B	0.250	10,000 per 4,000 units	2014/07/30	100,000,000	2014/01/21
13022	Standard Chartered Bank	HSI European Warrants Jun 2014 A	0.150	22,700 per 6,800 units	2014/06/27	100,000,000	2014/01/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13032	Standard Chartered Bank	HSI European Put Wts Jun 2014 B	0.160	22,000 per 6,400 units	2014/06/27	100,000,000	2014/01/21
13025	Standard Chartered Bank	KECL European Warrants Jul 2015 A	0.150	15.900	2015/07/28	100,000,000	2014/01/21
13023	Standard Chartered Bank	Lenovo European Wts Apr 2015 A	0.160	9.280	2015/04/02	100,000,000	2014/01/21
13026	Standard Chartered Bank	Sands China Euro Wts May 2014 D	0.230	73.880	2014/05/27	100,000,000	2014/01/21
13037	Standard Chartered Bank	Sands China Euro Wts Jul 2014 B	0.180	82.050	2014/07/28	100,000,000	2014/01/21
13044	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Aug14B	0.295	39.880	2014/08/04	60,000,000	2014/01/21
13046	SGA Societe Generale Acceptance N.V.	HKEEx Eu Wt Jul 2014 B	0.280	150.100	2014/07/02	60,000,000	2014/01/21
13042	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2014 A	0.150	23,800 per 6,000 units	2014/09/29	150,000,000	2014/01/21
13043	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 14 E	0.150	22,000 per 6,400 units	2014/06/27	150,000,000	2014/01/21
13048	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2014 E	0.425	115.880	2014/07/07	70,000,000	2014/01/21
13049	UBS AG	Greatwall Motor European Warrants Jul 2014 A	0.250	42.880	2014/07/21	40,000,000	2014/01/21
13050	UBS AG	Lenovo European Put Warrants Aug 2014 A	0.480	7.88 per unit	2014/08/18	21,000,000	2014/01/21
13083	Credit Suisse AG	Agricultural Bank European Wts Jul 2014 B	0.150	3.81 per unit	2014/07/21	70,000,000	2014/01/22
13084	Credit Suisse AG	CITIC Bank European Warrants Jul 2014 A	0.250	4.38 per unit	2014/07/28	70,000,000	2014/01/22
13087	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts Aug 2014 A	0.250	28.280	2014/08/28	100,000,000	2014/01/22
13088	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts Dec 2014 A	0.220	30.880	2014/12/12	100,000,000	2014/01/22
13067	Goldman Sachs SP (Asia)	Agri Bk European Wts Jul 2014 A	0.151	3.68 per unit	2014/07/25	68,000,000	2014/01/22
13066	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Jul 2014 A	0.354	9 per unit	2014/07/30	68,000,000	2014/01/22
13064	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Jul 2014 B	0.253	96.880	2014/07/07	80,000,000	2014/01/22
13065	Goldman Sachs SP (Asia)	PetroChina European Wts Jun 2014 B	0.150	9.03 per unit	2014/06/27	80,000,000	2014/01/22
13077	J P Morgan SP BV	GAC European Warrants Dec 2014 A	0.150	9.500	2014/12/01	100,000,000	2014/01/22
13081	J P Morgan SP BV	Hutchison European Warrants Aug 2014 A	0.502	108.880	2014/08/01	80,000,000	2014/01/22
13080	J P Morgan SP BV	MGM China European Warrants Aug 2014 A	0.250	40.000	2014/08/01	80,000,000	2014/01/22
13079	J P Morgan SP BV	PICC Group European Warrants Oct 2014 A	0.250	4.25 per unit	2014/10/03	100,000,000	2014/01/22
13086	Macquarie Bank Ltd.	Melco Int'l Dev Euro Wts Sep 2014 A	0.250	38.000	2014/09/02	40,000,000	2014/01/22
13085	Macquarie Bank Ltd.	Wynn Macau European Wts Aug 2014 A	0.250	47.000	2014/08/04	40,000,000	2014/01/22
13070	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Jul 2014 A	0.163	5.89 per unit	2014/07/07	70,000,000	2014/01/22
13071	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Aug 2014 A	0.157	6.39 per unit	2014/08/01	70,000,000	2014/01/22
13068	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Put Wt Aug14A	0.410	69.000	2014/08/11	40,000,000	2014/01/22
13072	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Aug 2014 A	0.250	95.000	2014/08/04	40,000,000	2014/01/22
13073	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Jul 2014 B	0.250	76.000	2014/07/28	40,000,000	2014/01/22
13062	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2014 E	0.157	22,500 per 7,000 units	2014/06/27	100,000,000	2014/01/22
13063	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jul 2014 D	0.166	22,200 per 6,400 units	2014/07/30	100,000,000	2014/01/22
13069	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jul 2014 A	0.150	5.51 per unit	2014/07/28	70,000,000	2014/01/22
13074	Merrill Lynch Int'l & Co. C.V.	Sands China Euro Wt Aug14 A	0.430	68.000	2014/08/18	40,000,000	2014/01/22
13076	Standard Chartered Bank	CC Bank European Wts May 2014 B	0.150	6 per unit	2014/05/05	100,000,000	2014/01/22
13075	Standard Chartered Bank	Greatwall Motor Euro Wt Jul 2014 A	0.260	38.880	2014/07/30	60,000,000	2014/01/22
13082	UBS AG	Lenovo European Warrants Aug 2014 B	0.460	12.38 per unit	2014/08/18	22,000,000	2014/01/22
13142	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Aug 2014 A	0.250	116.000	2014/08/04	100,000,000	2014/01/23
13146	BNP Paribas Arbit Issu B.V.	Greatwall Motor Eu Wt Oct 14 A	0.280	53.000	2014/10/06	100,000,000	2014/01/23
13145	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Oct 2014 B	0.270	15 per unit	2014/10/06	50,000,000	2014/01/23
13143	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Oct 2014 A	0.250	740 per 100 units	2014/10/06	100,000,000	2014/01/23
13144	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jul 2014 C	0.300	480 per 100 units	2014/07/03	100,000,000	2014/01/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13133	Credit Suisse AG	Lenovo European Warrants Jul 2014 A	0.420	12.88 per unit	2014/07/31	70,000,000	2014/01/23
13134	Credit Suisse AG	Tencent Holdings European Wts Jul 2014 D	0.250	686.88 per 100 units	2014/07/28	100,000,000	2014/01/23
13135	Credit Suisse AG	Tencent Holdings European Wts Jul 2014 E	0.270	658.88 per 100 units	2014/07/28	100,000,000	2014/01/23
13147	Citigroup Global Mkt H Inc.	Tencent European Wts Jul 2014 B	0.220	638.88 per 100 units	2014/07/07	100,000,000	2014/01/23
13148	Citigroup Global Mkt H Inc.	Tencent European Wts Jul 2014 C	0.260	618 per 100 units	2014/07/22	100,000,000	2014/01/23
13130	Daiwa Capital Mkt	Tencent Holdings European Wts Jul 2014 B	0.210	628.48 per 100 units	2014/07/02	108,000,000	2014/01/23
13131	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jun 2014 B	0.153	82.880	2014/06/26	120,000,000	2014/01/23
13126	Goldman Sachs SP (Asia)	Tencent European Wt Jun 2014 G	0.240	588.50 per 100 units	2014/06/09	120,000,000	2014/01/23
13129	Goldman Sachs SP (Asia)	Tencent European Wt Jul 2014 B	0.183	638.88 per 100 units	2014/07/07	120,000,000	2014/01/23
13102	HK Bank	Anhui Conch European Warrants Jun 2014 B	0.160	33.050	2014/06/30	80,000,000	2014/01/23
13103	HK Bank	CNBM European Warrants Oct 2015 A	0.150	8.510	2015/10/27	150,000,000	2014/01/23
13101	HK Bank	China Shenhua European Warrants Jul 2014 A	0.180	23.050	2014/07/28	80,000,000	2014/01/23
13104	HK Bank	HWL European Warrants Jun 2014 D	0.200	120.080	2014/06/16	80,000,000	2014/01/23
13105	HK Bank	Lenovo European Warrants Aug 2014 A	0.300	12 per unit	2014/08/29	60,000,000	2014/01/23
13120	HK Bank	Tencent European Warrants Jul 2014 D	0.250	666 per 100 units	2014/07/28	80,000,000	2014/01/23
13121	HK Bank	Tencent European Put Warrants Jun 2014 F	0.350	479.80 per 100 units	2014/06/30	80,000,000	2014/01/23
13092	J P Morgan SP BV	China Mob European Wts Aug 2014 B	0.251	82.880	2014/08/01	200,000,000	2014/01/23
13091	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Sep 2014 B	0.250	10,600 per 4,000 units	2014/09/29	200,000,000	2014/01/23
13090	J P Morgan SP BV	HSI European Warrants Jul 2014 H	0.250	23,600 per 6,000 units	2014/07/30	200,000,000	2014/01/23
13150	J P Morgan SP BV	Huaneng Power European Wts Aug 2014 A	0.295	8.50 per unit	2014/08/01	35,000,000	2014/01/23
13125	J P Morgan SP BV	Lenovo European Warrants Mar 2015 A	0.173	9.280	2015/03/30	150,000,000	2014/01/23
13089	J P Morgan SP BV	Link Reit European Warrants Oct 2014 A	0.164	38.800	2014/10/03	80,000,000	2014/01/23
13123	J P Morgan SP BV	Tencent European Warrants Aug 2014 B	0.250	650 per 100 units	2014/08/01	150,000,000	2014/01/23
13124	J P Morgan SP BV	Tencent European Warrants Jan 2015 A	0.150	840 per 100 units	2015/01/02	150,000,000	2014/01/23
13122	J P Morgan SP BV	Tencent European Put Warrants Aug 2014 A	0.440	500 per 100 units	2014/08/01	100,000,000	2014/01/23
13149	J P Morgan SP BV	Zoomlion European Warrants Dec 2015 A	0.150	8.000	2015/12/28	100,000,000	2014/01/23
13109	Macquarie Bank Ltd.	Chinares Cement European Wt Aug 2014 A	0.250	7 per unit	2014/08/04	40,000,000	2014/01/23
13106	Macquarie Bank Ltd.	MGM China European Warrants Sep 2014 A	0.250	45.000	2014/09/02	40,000,000	2014/01/23
13108	Macquarie Bank Ltd.	Ping An European Warrants Aug 2014 A	0.250	84.880	2014/08/04	40,000,000	2014/01/23
13107	Macquarie Bank Ltd.	Wharf European Warrants Aug 2014 A	0.165	66.000	2014/08/04	80,000,000	2014/01/23
13094	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Aug 2014 A	0.151	42.020	2014/08/26	80,000,000	2014/01/23
13096	Merrill Lynch Int'l & Co. C.V.	CC Bank Eu Put Wt Aug 2014B	0.365	5.20 per unit	2014/08/01	40,000,000	2014/01/23
13097	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Jun 14 A	0.154	21.980	2014/06/24	80,000,000	2014/01/23
13098	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts May 2014 A	0.161	5.39 per unit	2014/05/27	80,000,000	2014/01/23
13099	Merrill Lynch Int'l & Co. C.V.	Lenovo Euro Wts Aug 2014 A	0.285	11.52 per unit	2014/08/11	40,000,000	2014/01/23
13093	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Jul 2014 A	0.255	9 per unit	2014/07/28	40,000,000	2014/01/23
13095	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Put Wts May14B	0.250	61.980	2014/05/26	40,000,000	2014/01/23
13110	Standard Chartered Bank	CITIC Pacific Euro Wts Dec 2015 A	0.150	12.200	2015/12/30	100,000,000	2014/01/23
13112	Standard Chartered Bank	Galaxy Ent European Wts Jul 2017 A	0.250	88.88 per 100 units	2017/07/31	150,000,000	2014/01/23
13113	Standard Chartered Bank	HSBC European Put Wts Sep 2014 A	0.250	72.880	2014/09/30	80,000,000	2014/01/23
13114	Standard Chartered Bank	ICBC European Warrants Jul 2014 A	0.150	5.51 per unit	2014/07/28	100,000,000	2014/01/23
13115	Standard Chartered Bank	Tencent Hldg Euro Wts Jul 2014 A	0.180	638.88 per 100 units	2014/07/07	100,000,000	2014/01/23
13116	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2014 A	0.200	700 per 100 units	2014/09/01	100,000,000	2014/01/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13117	SGA Societe Generale Acceptance N.V.	Chi COSCO Eu Wt Sep 14 A	0.265	4 per unit	2014/09/03	40,000,000	2014/01/23
13132	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2014 C	0.180	5.20 per unit	2014/08/04	100,000,000	2014/01/23
13118	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Sep 14 A	0.345	12.80 per unit	2014/09/03	30,000,000	2014/01/23
13119	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Sep 14 B	0.175	700 per 100 units	2014/09/03	150,000,000	2014/01/23
13136	UBS AG	Agricultural Bank European Warrants Sep 2014 A	0.250	3.68 per unit	2014/09/15	50,000,000	2014/01/23
13141	UBS AG	Minsheng Bank European Warrants Apr 2016 A	0.150	9.190	2016/04/21	70,000,000	2014/01/23
13139	UBS AG	GCL-Poly Energy European Warrants Jun 2014 B	0.330	3.31 per unit	2014/06/24	60,000,000	2014/01/23
13138	UBS AG	Li & Fung European Warrants Jan 2015 A	0.150	10.820	2015/01/22	100,000,000	2014/01/23
13140	UBS AG	Yurun Food European Warrants Jul 2014 A	0.350	5.38 per unit	2014/07/22	50,000,000	2014/01/23
16774 [#]	HK Bank	China Mobile European Warrants Jun 2015	0.082	70.88 per 100 units	2015/06/22	180,000,000	2014/01/23
27149 [#]	HK Bank	A50 China European Warrants Aug 2014 A	0.047	9.190	2014/08/01	300,000,000	2014/01/23
13168	BOCI Asia Ltd.	China Mobile European Warrants Jun 2014 A	0.180	82.880	2014/06/23	100,000,000	2014/01/24
13169	BOCI Asia Ltd.	China Mobile European Put Wts Jun 2014 B	0.190	70.880	2014/06/30	100,000,000	2014/01/24
13166	BOCI Asia Ltd.	SJM Holdings European Warrants Aug 2014 A	0.170	30.000	2014/08/27	100,000,000	2014/01/24
13167	BOCI Asia Ltd.	Tencent Holdings European Put Wts Jun 2014 B	0.270	460 per 100 units	2014/06/30	100,000,000	2014/01/24
13191	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wt Aug 2014 A	0.180	11.01 per unit	2014/08/04	78,000,000	2014/01/24
13188	BNP Paribas Arbit Issu B.V.	CR Cement Euro Wts Aug 2014 A	0.540	5.90 per unit	2014/08/04	19,000,000	2014/01/24
13190	BNP Paribas Arbit Issu B.V.	Melco Int'l Dev Eu Wt Aug 14 A	0.250	35.000	2014/08/04	75,000,000	2014/01/24
13189	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Jun 2014 A	0.180	85.550	2014/06/30	100,000,000	2014/01/24
13192	BNP Paribas Arbit Issu B.V.	Swire Pacific A Eu Wt Aug 16 A	0.250	90 per 100 units	2016/08/02	100,000,000	2014/01/24
13177	Credit Suisse AG	CC Bank European Warrants Jul 2014 D	0.270	5.89 per unit	2014/07/07	80,000,000	2014/01/24
13179	Credit Suisse AG	Galaxy Ent European Warrants Jul 2014 D	0.250	118.380	2014/07/28	80,000,000	2014/01/24
13180	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 C	0.250	706.88 per 100 units	2014/08/11	100,000,000	2014/01/24
13178	Credit Suisse AG	Wynn Macau European Warrants Jul 2014 A	0.250	46.880	2014/07/28	80,000,000	2014/01/24
13195	Citigroup Global Mkt H Inc.	Fosun Intl European Wts Apr15A	0.250	8.680	2015/04/27	80,000,000	2014/01/24
13193	Citigroup Global Mkt H Inc.	A50 China Euro Wts Jul 2014 B	0.450	9.18 per unit	2014/07/21	80,000,000	2014/01/24
13173	Goldman Sachs SP (Asia)	HSBC European Put Wts Jun 2014 B	0.154	77.980	2014/06/27	120,000,000	2014/01/24
13161	Goldman Sachs SP (Asia)	HSI European Warrants Jun 2014 B	0.161	22,788 per 6,800 units	2014/06/27	150,000,000	2014/01/24
13162	Goldman Sachs SP (Asia)	HSI European Put Wts Jun 2014 C	0.167	22,700 per 7,000 units	2014/06/27	150,000,000	2014/01/24
13172	Goldman Sachs SP (Asia)	Tencent European Put Wt Jul 2014 C	0.300	485 per 100 units	2014/07/30	120,000,000	2014/01/24
13155	HK Bank	CITIC Bank European Warrants Jul 2014 A	0.250	4.28 per unit	2014/07/23	60,000,000	2014/01/24
13154	HK Bank	China Unicom European Warrants Dec 2014 A	0.150	10.100	2014/12/23	100,000,000	2014/01/24
13158	HK Bank	HSCEI European Warrants Jun 2014 G	0.180	9,828 per 4,000 units	2014/06/27	150,000,000	2014/01/24
13160	HK Bank	HSCEI European Warrants Dec 2014 B	0.160	10,638 per 4,000 units	2014/12/30	150,000,000	2014/01/24
13156	HK Bank	HSI European Warrants Jul 2014 G	0.250	22,988 per 7,000 units	2014/07/30	150,000,000	2014/01/24
13157	HK Bank	HSI European Put Warrants Jul 2014 H	0.250	22,800 per 7,300 units	2014/07/30	150,000,000	2014/01/24
13153	J P Morgan SP BV	CGS European Warrants Aug 2014 A	0.313	6.88 per unit	2014/08/01	40,000,000	2014/01/24
13198	J P Morgan SP BV	Galaxy Ent European Warrants Aug 2014 D	0.325	110.000	2014/08/01	100,000,000	2014/01/24
13197	J P Morgan SP BV	Galaxy Ent European Warrants Aug 2016 A	0.250	90 per 100 units	2016/08/01	500,000,000	2014/01/24
13152	J P Morgan SP BV	Lenovo European Warrants Sep 2014 A	0.250	12.88 per unit	2014/09/01	100,000,000	2014/01/24
13151	J P Morgan SP BV	Xinyi Glass European Warrants Dec 2016 A	0.150	8.280	2016/12/01	150,000,000	2014/01/24
13187	Macquarie Bank Ltd.	CMS European Warrants Jul 2014 A	0.250	11.80 per unit	2014/07/24	40,000,000	2014/01/24
13163	Macquarie Bank Ltd.	HN Renewables European Wts Aug 2014 A	0.250	4.58 per unit	2014/08/04	40,000,000	2014/01/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13186	Macquarie Bank Ltd.	Sh Pharma European Warrants Oct 2014 A	0.250	22.880	2014/10/06	80,000,000	2014/01/24
13171	Standard Chartered Bank	Galaxy Ent European Wts Jul 2014 B	0.250	125.000	2014/07/30	80,000,000	2014/01/24
13170	Standard Chartered Bank	Lenovo European Wts Sep 2014 A	0.350	13.50 per unit	2014/09/01	60,000,000	2014/01/24
13176	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jul14B	0.200	3.68 per unit	2014/07/09	60,000,000	2014/01/24
13174	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jul14D	0.275	108.480	2014/07/09	100,000,000	2014/01/24
13175	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Aug14C	0.250	115.000	2014/08/04	100,000,000	2014/01/24
13181	UBS AG	AIA European Warrants Aug 2014 A	0.190	42.050	2014/08/26	100,000,000	2014/01/24
13182	UBS AG	CAM CSI300 European Warrants Dec 2014 A	0.250	29.880	2014/12/31	100,000,000	2014/01/24
13183	UBS AG	Geely Auto European Warrants Jun 2014 B	0.260	3.41 per unit	2014/06/23	40,000,000	2014/01/24
13185	UBS AG	Galaxy Ent European Warrants Aug 2014 A	0.250	118.000	2014/08/08	40,000,000	2014/01/24
13184	UBS AG	Galaxy Ent European Put Warrants Jul 2014 D	0.600	74.880	2014/07/23	40,000,000	2014/01/24
13204	BOCI Asia Ltd.	CC Bank European Warrants Jul 2014 A	0.180	5.88 per unit	2014/07/07	100,000,000	2014/01/27
13206	BOCI Asia Ltd.	A50 China European Warrants Jul 2014 A	0.230	10.08 per unit	2014/07/28	100,000,000	2014/01/27
13203	BOCI Asia Ltd.	ICBC European Warrants Jul 2014 B	0.180	5.20 per unit	2014/07/23	100,000,000	2014/01/27
13205	BOCI Asia Ltd.	Tencent Holdings European Wts Jul 2014 B	0.190	628 per 100 units	2014/07/02	100,000,000	2014/01/27
13227	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Oct 2014 B	0.180	6.28 per unit	2014/10/06	100,000,000	2014/01/27
13230	BNP Paribas Arbit Issu B.V.	CNBM European Wts Aug 2014 B	0.460	10 per unit	2014/08/04	58,000,000	2014/01/27
13229	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Aug 2014 A	0.170	6.98 per unit	2014/08/04	100,000,000	2014/01/27
13231	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Aug 2014 A	0.210	23.000	2014/08/04	100,000,000	2014/01/27
13228	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2014 C	0.160	5.20 per unit	2014/08/04	100,000,000	2014/01/27
13224	Credit Suisse AG	Galaxy Ent European Warrants Jul 2014 E	0.260	126.280	2014/07/28	80,000,000	2014/01/27
13211	Credit Suisse AG	ICBC European Warrants Jul 2014 E	0.150	5.21 per unit	2014/07/28	80,000,000	2014/01/27
13225	Credit Suisse AG	Melco Int'l Dev European Wts Jul 2014 A	0.250	37.880	2014/07/28	70,000,000	2014/01/27
13213	Citigroup Global Mkt H Inc.	CC Bank European Wt Jul 2014 C	0.160	5.80 per unit	2014/07/25	100,000,000	2014/01/27
13226	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Jul 2014 B	0.440	102.500	2014/07/28	60,000,000	2014/01/27
13235	Bank of East Asia	Ch Comm Cons European Wts Aug 2014 A	0.150	7.39 per unit	2014/08/29	70,000,000	2014/01/27
13236	Bank of East Asia	CM Bank European Warrants May 2015 A	0.250	13.680	2015/05/29	70,000,000	2014/01/27
13237	Bank of East Asia	CM Bank European Put Warrants Jun 2015 A	0.250	13.580	2015/06/29	70,000,000	2014/01/27
13238	Bank of East Asia	A50 China European Warrants Sep 2014 A	0.250	10.50 per unit	2014/09/30	40,000,000	2014/01/27
13233	Bank of East Asia	Galaxy Ent European Warrants Dec 2015 A	0.250	76.27 per 100 units	2015/12/31	100,000,000	2014/01/27
13232	Bank of East Asia	Kingsoft European Warrants Aug 2014 A	0.260	32.000	2014/08/22	40,000,000	2014/01/27
13234	Bank of East Asia	Lenovo European Warrants Dec 2015 A	0.250	8.992	2015/12/22	100,000,000	2014/01/27
13218	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Jul 2014 C	0.257	73.880	2014/07/31	120,000,000	2014/01/27
13217	Goldman Sachs SP (Asia)	Greatwall Motor Eur Wts Jul 2014 A	0.250	40.000	2014/07/31	120,000,000	2014/01/27
13216	Goldman Sachs SP (Asia)	Sands China Euro Wts Jun 2014 C	0.321	72.300	2014/06/23	120,000,000	2014/01/27
13215	Goldman Sachs SP (Asia)	SJM Holdings Euro Wts Jul 2014 A	0.150	30.880	2014/07/02	120,000,000	2014/01/27
13214	Goldman Sachs SP (Asia)	Wynn Macau European Wts Jul 2014 A	0.250	42.000	2014/07/30	120,000,000	2014/01/27
13202	Macquarie Bank Ltd.	CC Bank European Warrants Oct 2014 A	0.250	6 per unit	2014/10/06	50,000,000	2014/01/27
13212	Macquarie Bank Ltd.	Country Garden European Wts Sep 2014 A	0.250	5.28 per unit	2014/09/02	40,000,000	2014/01/27
13201	Macquarie Bank Ltd.	Minsheng Bank European Wts Aug 2014 B	0.250	9.28 per unit	2014/08/04	40,000,000	2014/01/27
13200	Macquarie Bank Ltd.	Galaxy Ent European Wts Aug 2014 A	0.250	120.000	2014/08/04	40,000,000	2014/01/27
13209	Standard Chartered Bank	Kingsoft European Wts Jul 2014 A	0.250	33.880	2014/07/29	80,000,000	2014/01/27
13210	Standard Chartered Bank	NCI European Warrants Aug 2014 A	0.250	28.880	2014/08/29	80,000,000	2014/01/27

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13208	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jun 2014 E	0.280	479.88 per 100 units	2014/06/30	100,000,000	2014/01/27
13199	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Sep 2014A	0.270	6.68 per unit	2014/09/03	60,000,000	2014/01/27
13220	UBS AG	Cheung Kong European Warrants Jul 2014 A	0.280	136.000	2014/07/28	40,000,000	2014/01/27
13222	UBS AG	China Life European Warrants Sep 2014 B	0.150	25.050	2014/09/25	100,000,000	2014/01/27
13219	UBS AG	A50 China European Warrants Oct 2014 A	0.150	7.790	2014/10/28	300,000,000	2014/01/27
13221	UBS AG	Geely Auto European Warrants Jul 2014 A	0.250	3.69 per unit	2014/07/09	40,000,000	2014/01/27
13207	UBS AG	Galaxy Ent European Warrants Jun 2016 A	0.250	90.88 per 100 units	2016/06/13	150,000,000	2014/01/27
11799 [†]	UBS AG	Galaxy Ent European Warrants Jun 2014 B	0.385	89.880	2014/06/23	30,000,000	2014/01/27
12759 [†]	UBS AG	Galaxy Ent European Warrants Jul 2014 B	0.300	98.880	2014/07/14	35,000,000	2014/01/27
12347 [†]	UBS AG	Sands China European Warrants Jun 2014 B	0.199	73.880	2014/06/30	55,000,000	2014/01/27
13260	Credit Suisse AG	A50 China European Warrants Oct 2014 A	0.150	7.790	2014/10/28	80,000,000	2014/01/28
13261	Credit Suisse AG	A50 China European Warrants Dec 2016 A	0.150	8.870	2016/12/28	100,000,000	2014/01/28
13256	Credit Suisse AG	Galaxy Ent European Warrants Dec 2015 A	0.250	82.80 per 100 units	2015/12/28	180,000,000	2014/01/28
13257	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jun 2014 A	0.150	83.880	2014/06/19	100,000,000	2014/01/28
13252	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Jul 14 C	0.780	78.880	2014/07/28	60,000,000	2014/01/28
13254	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Jul 14 D	0.280	66.880	2014/07/08	60,000,000	2014/01/28
13268	Daiwa Capital Mkt	ICBC European Warrants Aug 2014 A	0.150	5.67 per unit	2014/08/01	128,000,000	2014/01/28
13248	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Aug 2014 A	0.250	110.000	2014/08/01	80,000,000	2014/01/28
13249	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Aug 2014 B	0.251	120.000	2014/08/01	80,000,000	2014/01/28
13250	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Aug 2014 C	0.391	72.000	2014/08/01	80,000,000	2014/01/28
13247	Goldman Sachs SP (Asia)	HSCEI European Warrants Jun 2014 C	0.152	9,848 per 4,000 units	2014/06/27	80,000,000	2014/01/28
13241	HK Bank	Minsheng Bank European Warrants Jul 2014 C	0.400	8.48 per unit	2014/07/31	60,000,000	2014/01/28
13242	HK Bank	Minsheng Bank European Warrants Sep 2014 B	0.600	7.88 per unit	2014/09/30	50,000,000	2014/01/28
13239	HK Bank	Minsheng Bank European Warrants Mar 2016 A	0.160	7.390	2016/03/02	200,000,000	2014/01/28
13259	J P Morgan SP BV	CNOOC European Warrants Dec 2014 A	0.150	13.200	2014/12/01	300,000,000	2014/01/28
13255	Macquarie Bank Ltd.	Sands China European Wts Sep 2014 A	0.250	92.500	2014/09/02	40,000,000	2014/01/28
13244	Merrill Lynch Int'l & Co. C.V.	Sands China Eu Put Wt Jun14A	0.250	54.950	2014/06/23	40,000,000	2014/01/28
13246	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Aug 2014 A	0.260	630 per 100 units	2014/08/11	40,000,000	2014/01/28
13245	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Sep 2014 A	0.255	690 per 100 units	2014/09/30	40,000,000	2014/01/28
13243	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Jul 2014B	0.335	489.90 per 100 units	2014/07/28	40,000,000	2014/01/28
13265	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt Aug 2014A	0.300	5.78 per unit	2014/08/04	34,000,000	2014/01/28
13266	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Jul 14 B	0.280	4 per unit	2014/07/02	40,000,000	2014/01/28
13267	SGA Societe Generale Acceptance N.V.	Haitong Sec Eu Wt Dec14A	0.190	12.900	2014/12/29	100,000,000	2014/01/28
13251	UBS AG	CNOOC European Warrants Dec 2014 B	0.250	12.380	2014/12/22	100,000,000	2014/01/28
13262	UBS AG	Sands China European Warrants Jul 2014 D	0.250	83.880	2014/07/28	40,000,000	2014/01/28
13275	BOCI Asia Ltd.	Galaxy Ent European Warrants Oct 2015 A	0.250	83.88 per 100 units	2015/10/30	100,000,000	2014/01/29
13290	Credit Suisse AG	Galaxy Ent European Warrants Aug 2014 A	0.420	97.880	2014/08/18	100,000,000	2014/01/29
13289	Credit Suisse AG	Sands China European Warrants May 2014 A	0.190	73.900	2014/05/26	100,000,000	2014/01/29
13288	Credit Suisse AG	Sands China European Warrants Jul 2014 A	0.210	79.900	2014/07/28	100,000,000	2014/01/29
13273	Goldman Sachs SP (Asia)	CNOOC European Warrants Dec 2014 A	0.150	13.000	2014/12/01	120,000,000	2014/01/29
13270	Goldman Sachs SP (Asia)	Lenovo European Wts Jun 2015 A	0.250	10.000	2015/06/30	100,000,000	2014/01/29
13269	HK Bank	CSOP A50 ETF European Warrants Jul 2014 C	0.350	9.38 per unit	2014/07/31	60,000,000	2014/01/29
13280	Macquarie Bank Ltd.	Chi Res Land European Wts Jan 2015 A	0.250	23.880	2015/01/05	80,000,000	2014/01/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13274	Macquarie Bank Ltd.	Link REIT European Warrants Nov 2014 A	0.250	40.000	2014/11/03	80,000,000	2014/01/29
13279	Macquarie Bank Ltd.	Poly HK Inv European Wts Oct 2014 A	0.250	5 per unit	2014/10/06	40,000,000	2014/01/29
13291	Standard Chartered Bank	Agricultural Bk Eur Wts Aug 2014 B	0.280	3.28 per unit	2014/08/08	80,000,000	2014/01/29
13281	Standard Chartered Bank	Geely Auto European Wts Jul 2014 A	0.230	3.69 per unit	2014/07/09	60,000,000	2014/01/29
13292	Standard Chartered Bank	Galaxy Ent European Wts Jul 2014 C	0.220	108.400	2014/07/08	80,000,000	2014/01/29
13284	Standard Chartered Bank	HSI European Warrants Jul 2014 B	0.180	23,500 per 5,900 units	2014/07/30	100,000,000	2014/01/29
13283	Standard Chartered Bank	HSI European Put Wts Jul 2014 A	0.250	22,500 per 6,500 units	2014/07/30	100,000,000	2014/01/29
13282	Standard Chartered Bank	PICC Group European Wts Jul 2014 A	0.250	3.88 per unit	2014/07/28	60,000,000	2014/01/29
13285	Standard Chartered Bank	Xinyi Glass Euro Wts Jul 2014 A	0.250	8.88 per unit	2014/07/31	60,000,000	2014/01/29
13286	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2014 A	0.250	6 per unit	2014/09/03	100,000,000	2014/01/29
13287	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2014 D	0.150	5.60 per unit	2014/08/25	100,000,000	2014/01/29
13272	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jan 15 A	0.150	840 per 100 units	2015/01/05	150,000,000	2014/01/29
13277	UBS AG	A50 China European Warrants Dec 2016 A	0.150	8.870	2016/12/28	300,000,000	2014/01/29
13276	UBS AG	Ping An European Warrants Dec 2015 A	0.160	60.05 per 100 units	2015/12/23	200,000,000	2014/01/29
13278	UBS AG	Sands China European Warrants Aug 2014 A	0.380	70.880	2014/08/08	40,000,000	2014/01/29
13310	BNP Paribas Arbit Issu B.V.	AIA European Wts Jul 2014 A	0.190	39.380	2014/07/03	100,000,000	2014/01/30
13308	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Aug 2014 A	0.150	16.50 per unit	2014/08/04	91,000,000	2014/01/30
13312	BNP Paribas Arbit Issu B.V.	Greatwall Motor Eu Wt Aug 14 A	0.250	46.000	2014/08/04	100,000,000	2014/01/30
13309	BNP Paribas Arbit Issu B.V.	KECL European Wts Dec 2015 A	0.260	14.800	2015/12/02	100,000,000	2014/01/30
13311	BNP Paribas Arbit Issu B.V.	ZTE European Wts Jul 2014 B	0.180	16.900	2014/07/02	100,000,000	2014/01/30
13314	Credit Suisse AG	China Mobile European Warrants Jun 2014 E	0.150	83.900	2014/06/23	80,000,000	2014/01/30
13303	Credit Suisse AG	Galaxy Ent European Warrants Nov 2015 A	0.250	75.80 per 100 units	2015/11/30	180,000,000	2014/01/30
13315	Credit Suisse AG	KECL European Warrants Jul 2014 B	0.430	15.98 per unit	2014/07/31	70,000,000	2014/01/30
13326	Citigroup Global Mkt H Inc.	ICBC European Wts Jul 2014 A	0.150	5.20 per unit	2014/07/23	100,000,000	2014/01/30
13313	Daiwa Capital Mkt	Sinopec Corp European Wts Aug 2014 A	0.190	7.08 per unit	2014/08/01	80,000,000	2014/01/30
13322	Bank of East Asia	AAC Acoustic European Warrants Jul 2014A	0.250	46.000	2014/07/31	60,000,000	2014/01/30
13321	Bank of East Asia	CHALCO European Warrants Jul 2014 A	0.150	3.57 per unit	2014/07/09	70,000,000	2014/01/30
13324	Bank of East Asia	CGS European Warrants Dec 2015 A	0.150	7.290	2015/12/09	90,000,000	2014/01/30
13323	Bank of East Asia	Esprit European Warrants Sep 2015 A	0.250	158 per 100 units	2015/09/25	60,000,000	2014/01/30
13320	Bank of East Asia	Wynn Macau European Warrants Nov 2014 A	0.250	46.000	2014/11/12	50,000,000	2014/01/30
13319	Goldman Sachs SP (Asia)	Melco Int'l Dev Eur Wts Aug 2014 A	0.250	35.000	2014/08/04	120,000,000	2014/01/30
13300	HK Bank	AIA European Warrants Aug 2014 A	0.150	42.030	2014/08/26	80,000,000	2014/01/30
13296	HK Bank	Galaxy Ent European Warrants Jul 2014 B	0.500	86.880	2014/07/31	60,000,000	2014/01/30
13301	HK Bank	Galaxy Ent European Warrants Jul 2014 C	0.900	77.880	2014/07/31	50,000,000	2014/01/30
13302	HK Bank	Galaxy Ent European Warrants Jun 2015 A	0.250	64.88 per 100 units	2015/06/30	150,000,000	2014/01/30
13299	HK Bank	Ping An European Warrants Jul 2014 A	0.400	71.880	2014/07/31	60,000,000	2014/01/30
13298	HK Bank	Ping An European Warrants Oct 2016 A	0.150	78.05 per 100 units	2016/10/21	150,000,000	2014/01/30
13293	HK Bank	Sands China European Warrants Jul 2014 C	0.400	66.880	2014/07/31	60,000,000	2014/01/30
13297	HK Bank	Tencent European Warrants Jun 2014 G	0.210	628.50 per 100 units	2014/06/30	100,000,000	2014/01/30
13304	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2014 A	0.210	6.28 per unit	2014/10/06	100,000,000	2014/01/30
13305	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug 14B	0.320	9.38 per unit	2014/08/04	40,000,000	2014/01/30
13306	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Jul14B	0.160	78.000	2014/07/02	100,000,000	2014/01/30
13316	UBS AG	Anhui Conch European Warrants Sep 2014 A	0.250	36.880	2014/09/15	70,000,000	2014/01/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13318	UBS AG	Minsheng Bank European Warrants Aug 2014 A	0.530	8.38 per unit	2014/08/04	30,000,000	2014/01/30
13317	UBS AG	ICBC European Warrants Aug 2014 A	0.250	5.08 per unit	2014/08/04	100,000,000	2014/01/30
13333	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Dec 2014 A	0.150	10,600 per 4,000 units	2014/12/30	100,000,000	2014/02/04
13334	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Oct 2014 A	0.250	9,700 per 4,200 units	2014/10/30	100,000,000	2014/02/04
13335	BNP Paribas Arbit Issu B.V.	HSI European Wts Jun 2014 A	0.160	22,700 per 6,800 units	2014/06/27	100,000,000	2014/02/04
13339	Credit Suisse AG	CC Bank European Warrants Aug 2014 B	0.290	5.68 per unit	2014/08/04	80,000,000	2014/02/04
13347	Credit Suisse AG	China Shenhua European Wts Jul 2014 B	0.170	23.000	2014/07/28	70,000,000	2014/02/04
13350	Credit Suisse AG	Galaxy Ent European Warrants Aug 2014 B	0.550	88.980	2014/08/11	80,000,000	2014/02/04
13349	Credit Suisse AG	HSBC European Wts Jun 2014 D	0.240	86.900	2014/06/24	80,000,000	2014/02/04
13348	Credit Suisse AG	HSBC European Wts Jul 2014 A	0.220	89.900	2014/07/08	80,000,000	2014/02/04
13336	Credit Suisse AG	ICBC European Warrants Aug 2014 A	0.250	5.06 per unit	2014/08/04	80,000,000	2014/02/04
13346	Credit Suisse AG	PetroChina European Warrants Jun 2014 B	0.150	8.89 per unit	2014/06/19	70,000,000	2014/02/04
13352	Citigroup Global Mkt H Inc.	Henganintl Euro Wts Dec 2015 A	0.150	100.88 per 100 units	2015/12/22	150,000,000	2014/02/04
13353	Citigroup Global Mkt H Inc.	HKEx European Wts Jul 2014 A	0.420	136.880	2014/07/16	50,000,000	2014/02/04
13328	Daiwa Capital Mkt	Sands China European Warrants Jun 2014 C	0.150	77.980	2014/06/24	98,000,000	2014/02/04
13351	Daiwa Capital Mkt	Tencent Holdings European Wts Jul 2014 C	0.260	568 per 100 units	2014/07/21	128,000,000	2014/02/04
13329	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Sep 2014 B	0.150	3.59 per unit	2014/09/03	80,000,000	2014/02/04
13332	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2014 B	0.150	23,000 per 7,000 units	2014/07/30	150,000,000	2014/02/04
13331	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2014 B	0.180	22,000 per 8,000 units	2014/09/29	150,000,000	2014/02/04
13344	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Sep 2014 A	0.250	4.95 per unit	2014/09/03	100,000,000	2014/02/04
13345	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Jul 2014B	0.210	108.000	2014/07/02	50,000,000	2014/02/04
13343	UBS AG	Galaxy Ent European Warrants Dec 2014 A	0.400	113.000	2014/12/29	40,000,000	2014/02/04
13342	UBS AG	Galaxy Ent European Warrants Jul 2016 A	0.250	78.88 per 100 units	2016/07/18	200,000,000	2014/02/04
13340	UBS AG	Lenovo European Warrants Sep 2014 B	0.250	14.88 per unit	2014/09/08	50,000,000	2014/02/04
13341	UBS AG	Sands China European Warrants Dec 2014 A	0.330	80.880	2014/12/29	40,000,000	2014/02/04
27759 [#]	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts May 2014 A	0.057	40.000	2014/05/02	180,000,000	2014/02/04
13376	BOCI Asia Ltd.	HSI European Warrants Aug 2014 C	0.150	22,600 per 7,800 units	2014/08/28	100,000,000	2014/02/05
13375	BOCI Asia Ltd.	HSI European Put Warrants Aug 2014 B	0.190	21,400 per 6,000 units	2014/08/28	100,000,000	2014/02/05
13377	BOCI Asia Ltd.	Tracker Fund European Put Wts Dec 2014 A	0.250	22.000	2014/12/29	100,000,000	2014/02/05
13396	BNP Paribas Arbit Issu B.V.	Ch Modern D Euro Wt Sep 2014 A	0.450	4.90 per unit	2014/09/02	45,000,000	2014/02/05
13397	BNP Paribas Arbit Issu B.V.	Huishan Dairy Eu Wt Sep 2014 A	0.330	3.30 per unit	2014/09/02	100,000,000	2014/02/05
13395	BNP Paribas Arbit Issu B.V.	REXLot Holdings Eu Wt Sep 14 A	0.310	1.50 per unit	2014/09/02	100,000,000	2014/02/05
13416	BNP Paribas Arbit Issu B.V.	Techronic Ind Eu Wt May 2015A	0.250	29.000	2015/05/05	45,000,000	2014/02/05
13415	Credit Suisse AG	Agricultural Bank European Wts Sep 2014 B	0.150	3.69 per unit	2014/09/05	70,000,000	2014/02/05
13372	Credit Suisse AG	CC Bank European Warrants Aug 2014 C	0.250	5.68 per unit	2014/08/04	80,000,000	2014/02/05
13374	Credit Suisse AG	China Mobile European Warrants Aug 2014 A	0.250	78.980	2014/08/04	80,000,000	2014/02/05
13367	Credit Suisse AG	HSI European Warrants Jul 2014 B	0.150	22,000 per 7,800 units	2014/07/30	200,000,000	2014/02/05
13369	Credit Suisse AG	ICBC European Warrants Aug 2014 B	0.250	4.98 per unit	2014/08/04	80,000,000	2014/02/05
13382	Credit Suisse AG	REXLot Holdings European Wts Aug 2014 A	0.250	1.42 per unit	2014/08/04	60,000,000	2014/02/05
13426	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jul 2014 B	0.150	81.880	2014/07/29	100,000,000	2014/02/05
13427	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jul 2014 C	0.240	78.880	2014/07/28	100,000,000	2014/02/05
13419	Citigroup Global Mkt H Inc.	Haier Elec Euro Wts Aug 2014 A	0.250	25.800	2014/08/15	80,000,000	2014/02/05
13425	Citigroup Global Mkt H Inc.	HSBC European Wts Jul 2014 B	0.350	82.880	2014/07/07	100,000,000	2014/02/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13421	Citigroup Global Mkt H Inc.	Huishan Dairy Eu Wt Aug 2014 A	0.370	2.88 per unit	2014/08/15	50,000,000	2014/02/05
13422	Citigroup Global Mkt H Inc.	REXLot Holdings Eu Wt Nov 14 A	0.270	1.28 per unit	2014/11/24	80,000,000	2014/02/05
13418	Citigroup Global Mkt H Inc.	Swire Properties Eu Wt Jan 15A	0.250	21.800	2015/01/30	40,000,000	2014/02/05
13420	Citigroup Global Mkt H Inc.	Truly Int'l Eur Wt Aug 2014 A	0.540	4.88 per unit	2014/08/15	20,000,000	2014/02/05
13383	Daiwa Capital Mkt	China Mobile European Wts Aug 2014 A	0.160	81.900	2014/08/01	128,000,000	2014/02/05
13360	HK Bank	CC Bank European Warrants Jul 2014 C	0.200	5.89 per unit	2014/07/07	60,000,000	2014/02/05
13361	HK Bank	CC Bank European Warrants Sep 2014 A	0.150	6.29 per unit	2014/09/22	80,000,000	2014/02/05
13355	HK Bank	HSI European Warrants Jul 2014 I	0.180	23,700 per 6,000 units	2014/07/30	250,000,000	2014/02/05
13356	HK Bank	HSI European Put Warrants May 2014 H	0.190	21,900 per 6,000 units	2014/05/29	250,000,000	2014/02/05
13357	HK Bank	HSI European Put Warrants Jul 2014 J	0.220	21,500 per 6,000 units	2014/07/30	250,000,000	2014/02/05
13358	HK Bank	HSI European Put Warrants Aug 2014 B	0.250	23,388 per 8,800 units	2014/08/28	250,000,000	2014/02/05
13354	HK Bank	ICBC European Warrants Aug 2014 A	0.250	5.08 per unit	2014/08/25	60,000,000	2014/02/05
13359	HK Bank	MGM China European Warrants Sep 2014 A	0.250	37.000	2014/09/29	80,000,000	2014/02/05
13411	J P Morgan SP BV	BOCL European Warrants Sep 2014 A	0.250	3.28 per unit	2014/09/01	200,000,000	2014/02/05
13408	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Aug 2014 B	0.250	5.28 per unit	2014/08/04	200,000,000	2014/02/05
13406	J P Morgan SP BV	Galaxy Ent European Warrants Aug 2014 E	0.602	77.000	2014/08/04	100,000,000	2014/02/05
13402	J P Morgan SP BV	HSBC European Warrants Jul 2014 A	0.239	82.880	2014/07/07	200,000,000	2014/02/05
13405	J P Morgan SP BV	HSI European Warrants May 2014 B	0.166	21,600 per 6,700 units	2014/05/29	300,000,000	2014/02/05
13403	J P Morgan SP BV	HSI European Warrants Aug 2014 B	0.250	21,800 per 6,200 units	2014/08/28	300,000,000	2014/02/05
13404	J P Morgan SP BV	HSI European Warrants Aug 2014 C	0.250	21,400 per 7,000 units	2014/08/28	300,000,000	2014/02/05
13401	J P Morgan SP BV	ICBC European Warrants Aug 2014 A	0.251	5 per unit	2014/08/04	200,000,000	2014/02/05
13400	J P Morgan SP BV	Ping An European Warrants Jun 2014 D	0.223	70.050	2014/06/27	200,000,000	2014/02/05
13399	J P Morgan SP BV	Sands China European Warrants Aug 2014 C	0.316	65.000	2014/08/04	150,000,000	2014/02/05
13398	J P Morgan SP BV	SJM Holdings European Warrants Aug 2014 A	0.250	25.800	2014/08/04	100,000,000	2014/02/05
13417	J P Morgan SP BV	Tencent European Warrants May 2014 D	0.191	540 per 100 units	2014/05/07	200,000,000	2014/02/05
13365	Standard Chartered Bank	Tencent Hldg Euro Wts Jun 2014 F	0.350	530 per 100 units	2014/06/23	100,000,000	2014/02/05
13366	Standard Chartered Bank	Tencent Hldg Euro Wts Jul 2014 B	0.180	618 per 100 units	2014/07/15	100,000,000	2014/02/05
13390	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Sep 2014 A	0.150	3.68 per unit	2014/09/08	100,000,000	2014/02/05
13380	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jul 2014 B	0.180	38.880	2014/07/02	100,000,000	2014/02/05
13391	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jan 2015 B	0.155	42.880	2015/01/05	100,000,000	2014/02/05
13392	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug2014B	0.210	80.380	2014/08/04	100,000,000	2014/02/05
13393	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Aug 2014 A	0.355	140.000	2014/08/04	40,000,000	2014/02/05
13381	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2014 C	0.250	88.880	2014/08/04	100,000,000	2014/02/05
13394	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Aug 2014 A	0.430	106.880	2014/08/04	70,000,000	2014/02/05
13379	UBS AG	China Life European Warrants Aug 2014 D	0.250	21.380	2014/08/18	100,000,000	2014/02/05
13387	UBS AG	CSOP A50 ETF European Warrants Dec 2015 A	0.150	8.390	2015/12/28	100,000,000	2014/02/05
13388	UBS AG	A50 China European Warrants Nov 2015 A	0.200	7.010	2015/11/25	300,000,000	2014/02/05
13389	UBS AG	A50 China European Warrants Mar 2016 A	0.170	7.790	2016/03/23	300,000,000	2014/02/05
13364	UBS AG	HSCEI European Warrants Sep 2014 C	0.170	10,600 per 4,000 units	2014/09/29	100,000,000	2014/02/05
13384	UBS AG	HSCEI European Put Warrants Jul 2014 C	0.230	9,600 per 4,000 units	2014/07/30	100,000,000	2014/02/05
13363	UBS AG	HSI European Warrants Jul 2014 C	0.150	23,700 per 6,000 units	2014/07/30	300,000,000	2014/02/05
13362	UBS AG	HSI European Warrants Aug 2014 A	0.150	22,700 per 7,800 units	2014/08/28	300,000,000	2014/02/05
13385	UBS AG	HSI European Put Warrants Aug 2014 B	0.250	21,200 per 6,000 units	2014/08/28	300,000,000	2014/02/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13386	UBS AG	Ping An European Warrants Aug 2014 A	0.450	66.930	2014/08/04	100,000,000	2014/02/05
13474	BOCI Asia Ltd.	HSBC European Warrants Jul 2014 A	0.150	87.500	2014/07/04	100,000,000	2014/02/06
13473	BOCI Asia Ltd.	Hutchison European Warrants Jul 2014 A	0.330	108.880	2014/07/28	100,000,000	2014/02/06
13472	BOCI Asia Ltd.	Ping An European Warrants Jul 2014 C	0.210	72.880	2014/07/08	100,000,000	2014/02/06
13471	BOCI Asia Ltd.	Tracker Fund European Warrants Mar 2015 A	0.250	22.800	2015/03/30	100,000,000	2014/02/06
13493	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2014 C	0.150	12.400	2014/12/29	100,000,000	2014/02/06
13492	BNP Paribas Arbit Issu B.V.	China Unicom Euro Wt Jan 2015A	0.150	10.080	2015/01/05	100,000,000	2014/02/06
13495	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts May 2014 A	0.240	2.80 per unit	2014/05/05	100,000,000	2014/02/06
13491	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jun 2014 B	0.240	135.000	2014/06/04	50,000,000	2014/02/06
13467	BNP Paribas Arbit Issu B.V.	HLand Dev Euro Wts Apr 2015 A	0.250	54.800	2015/04/02	41,000,000	2014/02/06
13464	BNP Paribas Arbit Issu B.V.	HSI European Wts Sep 2014 B	0.150	22,000 per 8,000 units	2014/09/29	100,000,000	2014/02/06
13465	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jun 2014 B	0.200	21,800 per 6,300 units	2014/06/27	100,000,000	2014/02/06
13468	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Aug 2014 B	0.180	9.49 per unit	2014/08/04	100,000,000	2014/02/06
13494	BNP Paribas Arbit Issu B.V.	Ping An European Wt May 2014 A	0.200	67.500	2014/05/05	100,000,000	2014/02/06
13490	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Jul 2014 C	0.180	108.000	2014/07/03	57,000,000	2014/02/06
13487	Credit Suisse AG	CC Bank European Warrants Sep 2014 B	0.150	6.29 per unit	2014/09/22	80,000,000	2014/02/06
13484	Credit Suisse AG	China Life European Warrants Aug 2014 C	0.150	22.900	2014/08/25	80,000,000	2014/02/06
13481	Credit Suisse AG	CNOOC European Warrants Aug 2014 B	0.250	15.30 per unit	2014/08/11	80,000,000	2014/02/06
13480	Credit Suisse AG	CNOOC European Warrants Dec 2014 C	0.150	12.400	2014/12/15	80,000,000	2014/02/06
13488	Credit Suisse AG	Galaxy Ent European Warrants Jun 2016 A	0.180	90.93 per 100 units	2016/06/06	180,000,000	2014/02/06
13503	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Dec 2015 A	0.185	82.80 per 100 units	2015/12/18	200,000,000	2014/02/06
13506	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Aug 2014 A	0.330	8.38 per unit	2014/08/15	60,000,000	2014/02/06
13478	Daiwa Capital Mkt	China Life European Put Wts Nov 2014 A	0.180	19.880	2014/11/25	80,000,000	2014/02/06
13460	Goldman Sachs SP (Asia)	Ch Overseas European Wts Dec 2014 A	0.250	23.000	2014/12/30	80,000,000	2014/02/06
13459	Goldman Sachs SP (Asia)	HKEx European Warrants May 2014 B	0.163	135.000	2014/05/30	80,000,000	2014/02/06
13461	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2014 A	0.251	20,900 per 6,000 units	2014/08/28	150,000,000	2014/02/06
13458	Goldman Sachs SP (Asia)	Wharf European Warrants Dec 2014 A	0.250	60.000	2014/12/30	80,000,000	2014/02/06
13457	HK Bank	AIA European Warrants Aug 2014 B	0.250	37.380	2014/08/11	100,000,000	2014/02/06
13455	HK Bank	HSI European Warrants May 2014 I	0.160	21,700 per 6,700 units	2014/05/29	250,000,000	2014/02/06
13454	HK Bank	HSI European Put Warrants Aug 2014 C	0.250	20,928 per 6,000 units	2014/08/28	250,000,000	2014/02/06
13452	HK Bank	HWL European Warrants Jun 2014 E	0.400	105.980	2014/06/16	100,000,000	2014/02/06
13453	HK Bank	KECL European Warrants Oct 2014 A	0.220	11.900	2014/10/06	100,000,000	2014/02/06
13451	HK Bank	PetroChina European Warrants Aug 2014 A	0.250	8.38 per unit	2014/08/11	60,000,000	2014/02/06
13502	J P Morgan SP BV	BankComm European Warrants Aug 2014 A	0.250	5.50 per unit	2014/08/05	40,000,000	2014/02/06
13497	J P Morgan SP BV	Minsheng Bank European Wts Mar 2016 A	0.150	7.380	2016/03/01	200,000,000	2014/02/06
13496	J P Morgan SP BV	CNOOC European Warrants Dec 2014 B	0.150	12.400	2014/12/30	200,000,000	2014/02/06
13500	J P Morgan SP BV	Haier Elec European Warrants Aug 2014 A	0.250	26.000	2014/08/05	100,000,000	2014/02/06
13428	J P Morgan SP BV	HSI European Put Warrants Aug 2014 D	0.250	21,200 per 6,800 units	2014/08/28	500,000,000	2014/02/06
13501	J P Morgan SP BV	Huishan Dairy European Warrants Aug 2014A	0.250	3 per unit	2014/08/05	100,000,000	2014/02/06
13498	J P Morgan SP BV	SPDR Gold Trust European Wts Sep 2014 A	0.250	1,088 per 100 units	2014/09/02	40,000,000	2014/02/06
13447	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Aug 2014 C	0.260	5.50 per unit	2014/08/11	40,000,000	2014/02/06
13450	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Aug 14 A	0.315	71.880	2014/08/07	40,000,000	2014/02/06
13444	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts May 2014 B	0.163	135.100	2014/05/27	70,000,000	2014/02/06

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13429	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2014 F	0.244	21,800 per 6,300 units	2014/06/27	100,000,000	2014/02/06
13430	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Aug 2014 B	0.280	21,000 per 6,000 units	2014/08/28	100,000,000	2014/02/06
13448	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Aug 2014 A	0.365	67.000	2014/08/07	40,000,000	2014/02/06
13449	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Aug 2014 B	0.275	540 per 100 units	2014/08/07	40,000,000	2014/02/06
13439	Nomura Int'l plc	Country Garden European Warrants Oct 14A	0.273	5.30 per unit	2014/10/28	50,000,000	2014/02/06
13435	Nomura Int'l plc	China Mobile European Warrants Aug 2014 A	0.253	79.500	2014/08/06	50,000,000	2014/02/06
13432	Nomura Int'l plc	Fosun Intl European Warrants Apr 2015 A	0.250	8.880	2015/04/27	50,000,000	2014/02/06
13438	Nomura Int'l plc	Haier Elec European Warrants Aug 2014 B	0.258	25.900	2014/08/08	50,000,000	2014/02/06
13431	Nomura Int'l plc	HSBC European Warrants Jun 2014 B	0.150	87.550	2014/06/23	80,000,000	2014/02/06
13463	Nomura Int'l plc	Huishan Dairy European Warrants Aug 2014A	0.252	3.33 per unit	2014/08/08	50,000,000	2014/02/06
13434	Nomura Int'l plc	Kerry Ppt European Warrants Mar 2015 A	0.260	28.880	2015/03/30	50,000,000	2014/02/06
13436	Nomura Int'l plc	Lenovo European Warrants Aug 2014 A	0.252	12.38 per unit	2014/08/06	50,000,000	2014/02/06
13443	Nomura Int'l plc	Ping An European Warrants Jul 2014 A	0.172	72.900	2014/07/02	80,000,000	2014/02/06
13442	Nomura Int'l plc	Ping An European Warrants Dec 2014 A	0.250	82.000	2014/12/30	50,000,000	2014/02/06
13476	Standard Chartered Bank	HSBC European Warrants Aug 2014 B	0.250	85.880	2014/08/08	80,000,000	2014/02/06
13475	Standard Chartered Bank	HSI European Warrants Jul 2014 C	0.150	22,000 per 7,800 units	2014/07/30	100,000,000	2014/02/06
13477	Standard Chartered Bank	ICBC European Warrants Sep 2014 A	0.250	4.88 per unit	2014/09/02	100,000,000	2014/02/06
13470	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jan16A	0.165	82.80 per 100 units	2016/01/04	500,000,000	2014/02/06
13489	UBS AG	AIA European Warrants Sep 2014 A	0.250	36.880	2014/09/08	100,000,000	2014/02/06
13479	UBS AG	China Mobile European Warrants Aug 2014 A	0.280	76.880	2014/08/05	100,000,000	2014/02/06
13537	BOCI Asia Ltd.	AIA European Warrants Jul 2014 B	0.160	38.880	2014/07/21	100,000,000	2014/02/07
13536	BOCI Asia Ltd.	China Life European Warrants Aug 2014 A	0.150	22.880	2014/08/25	100,000,000	2014/02/07
13535	BOCI Asia Ltd.	HSI European Warrants Sep 2014 B	0.150	22,000 per 8,000 units	2014/09/29	100,000,000	2014/02/07
13538	BOCI Asia Ltd.	Sands China European Warrants Aug 2014 A	0.340	68.880	2014/08/11	100,000,000	2014/02/07
13564	BNP Paribas Arbit Issu B.V.	Belle Int'l Euro Wt Jan 2016 A	0.250	8.800	2016/01/05	100,000,000	2014/02/07
13563	BNP Paribas Arbit Issu B.V.	China Overseas Eu Wt Apr 2015A	0.280	22.000	2015/04/02	100,000,000	2014/02/07
13558	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jan 2015 A	0.250	99.900	2015/01/05	100,000,000	2014/02/07
13562	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Oct 2014 A	0.250	124.000	2014/10/06	80,000,000	2014/02/07
13557	BNP Paribas Arbit Issu B.V.	Wharf European Wts Jan 2015 A	0.250	66.000	2015/01/05	65,000,000	2014/02/07
13545	Credit Suisse AG	HSI European Put Warrants Aug 2014 B	0.250	21,000 per 6,500 units	2014/08/28	200,000,000	2014/02/07
13556	Credit Suisse AG	Ping An European Warrants Dec 2015 A	0.150	60 per 100 units	2015/12/23	100,000,000	2014/02/07
13518	Goldman Sachs SP (Asia)	AIA European Warrants Jun 2014 B	0.150	39.390	2014/06/23	80,000,000	2014/02/07
13517	Goldman Sachs SP (Asia)	CNBM European Warrants Oct 2015 A	0.150	8.510	2015/10/27	120,000,000	2014/02/07
13516	Goldman Sachs SP (Asia)	CNBM European Warrants Nov 2016 A	0.154	8.000	2016/11/28	120,000,000	2014/02/07
13515	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Nov 2014 A	0.250	21.000	2014/11/28	120,000,000	2014/02/07
13519	Goldman Sachs SP (Asia)	HSBC European Warrants Jul 2014 B	0.204	82.900	2014/07/07	80,000,000	2014/02/07
13565	Goldman Sachs SP (Asia)	Tencent European Wt Aug 2014 A	0.152	706.88 per 100 units	2014/08/04	100,000,000	2014/02/07
13572	J P Morgan SP BV	HSBC European Warrants Aug 2014 A	0.250	86.000	2014/08/06	200,000,000	2014/02/07
13571	J P Morgan SP BV	Hutchison European Warrants Jul 2014 A	0.435	100.000	2014/07/02	100,000,000	2014/02/07
13570	J P Morgan SP BV	PetroChina European Warrants Aug 2014 A	0.251	8.40 per unit	2014/08/06	100,000,000	2014/02/07
13553	Macquarie Bank Ltd.	Angang Steel European Wts Aug 2014 A	0.274	6.28 per unit	2014/08/06	40,000,000	2014/02/07
13552	Macquarie Bank Ltd.	China Gas Hold Euro Wts Jun 2015 A	0.182	13.000	2015/06/26	60,000,000	2014/02/07
13508	Macquarie Bank Ltd.	China Life European Wts Sep 2014 A	0.250	21.000	2014/09/02	40,000,000	2014/02/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13507	Macquarie Bank Ltd.	CNOOC European Warrants Sep 2014 A	0.250	14.50 per unit	2014/09/02	40,000,000	2014/02/07
13554	Macquarie Bank Ltd.	Huishan Dairy European Warrants Aug14A	0.250	3.38 per unit	2014/08/06	40,000,000	2014/02/07
13509	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Jul 2014 A	0.150	38.050	2014/07/14	70,000,000	2014/02/07
13510	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Sep 2014 A	0.250	33.000	2014/09/30	40,000,000	2014/02/07
13511	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Sep 2014 A	0.295	129.000	2014/09/30	40,000,000	2014/02/07
13513	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Aug 2014 B	0.153	22.900	2014/08/25	70,000,000	2014/02/07
13514	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Sep 2014 A	0.395	106.000	2014/09/30	40,000,000	2014/02/07
13512	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Aug 2014 A	0.250	59.000	2014/08/11	40,000,000	2014/02/07
13534	Nomura Int'l plc	BankComm European Warrants Aug 2014 A	0.250	5.55 per unit	2014/08/07	50,000,000	2014/02/07
13528	Nomura Int'l plc	CC Bank European Warrants Nov 2014 A	0.250	6 per unit	2014/11/28	50,000,000	2014/02/07
13533	Nomura Int'l plc	Minsheng Bank European Wts Aug 2014 A	0.606	8.08 per unit	2014/08/08	50,000,000	2014/02/07
13555	Nomura Int'l plc	CSPC Pharma European Warrants Dec 2016 A	0.253	7.280	2016/12/28	50,000,000	2014/02/07
13526	Nomura Int'l plc	HKEx European Warrants Jun 2014 B	0.160	135.000	2014/06/03	80,000,000	2014/02/07
13520	Nomura Int'l plc	Hutchison European Warrants Jul 2014 A	0.284	108.880	2014/07/25	50,000,000	2014/02/07
13521	Nomura Int'l plc	Hutchison European Warrants Aug 2014 A	0.260	114.280	2014/08/08	50,000,000	2014/02/07
13529	Nomura Int'l plc	SMIC European Warrants Oct 2015 A	0.250	1 per unit	2015/10/28	50,000,000	2014/02/07
13530	Nomura Int'l plc	Tencent Holdings Euro Warrants May 2014 A	0.172	540.28 per 100 units	2014/05/07	80,000,000	2014/02/07
13532	Nomura Int'l plc	Tencent Holdings Euro Warrants Jun 2014 B	0.150	588.18 per 100 units	2014/06/30	80,000,000	2014/02/07
13527	Nomura Int'l plc	ZTE European Warrants Jul 2014 B	0.150	17.080	2014/07/28	80,000,000	2014/02/07
13569	Standard Chartered Bank	CAM CSI300 European Wts Nov 2015 A	0.250	33.880	2015/11/03	100,000,000	2014/02/07
13567	Standard Chartered Bank	CSOP A50 ETF Euro Wts Aug 2018 A	0.250	8.000	2018/08/08	200,000,000	2014/02/07
13541	Standard Chartered Bank	Ch Ship Cont Euro Wts Jan 2015 A	0.250	2.22 per unit	2015/01/29	60,000,000	2014/02/07
13539	Standard Chartered Bank	China Shenhua Euro Wts Nov 2014 A	0.250	20.880	2014/11/28	100,000,000	2014/02/07
13566	Standard Chartered Bank	A50 China Euro Warrants Aug 2018 A	0.250	7.800	2018/08/08	300,000,000	2014/02/07
13544	Standard Chartered Bank	Hutchison Euro Euro Wts Jun 2014 A	0.210	112.880	2014/06/23	80,000,000	2014/02/07
13540	Standard Chartered Bank	SHK P European Warrants Jul 2014 A	0.180	108.000	2014/07/03	60,000,000	2014/02/07
13543	Standard Chartered Bank	Tracker Fund Euro Wts Aug 2014 B	0.250	20.150	2014/08/28	100,000,000	2014/02/07
13546	UBS AG	Galaxy Ent European Warrants Oct 2015 A	0.170	83.93 per 100 units	2015/10/23	150,000,000	2014/02/07
13549	UBS AG	Huishan Dairy European Warrants Aug 2014 A	0.250	3.50 per unit	2014/08/07	40,000,000	2014/02/07
13547	UBS AG	Lenovo European Put Warrants Aug 2014 C	1.000	9.38 per unit	2014/08/25	11,000,000	2014/02/07
13548	UBS AG	Lenovo European Put Warrants Jun 2015 A	0.250	10.380	2015/06/22	40,000,000	2014/02/07
13551	UBS AG	Melco European Warrants Jul 2014 A	0.250	32.050	2014/07/03	40,000,000	2014/02/07
13550	UBS AG	MGM China European Warrants Sep 2014 A	0.250	37.880	2014/09/15	40,000,000	2014/02/07
27290 [†]	J P Morgan SP BV	HSI European Warrants Apr 2014 E	0.045	22,400 per 9,000 units	2014/04/29	500,000,000	2014/02/07
28250 [†]	BOCI Asia Ltd.	Sands China European Warrants May 2014 A	0.116	73.880	2014/05/30	88,000,000	2014/02/07
28770 [†]	BOCI Asia Ltd.	HSI European Warrants Apr 2014 B	0.029	23,400 per 7,700 units	2014/04/29	345,000,000	2014/02/07
13579	Credit Suisse AG	China Mobile European Warrants Aug 2014 B	0.270	76.980	2014/08/11	80,000,000	2014/02/10
13577	Credit Suisse AG	HSBC European Wts Jul 2014 B	0.300	82.900	2014/07/07	80,000,000	2014/02/10
13578	Credit Suisse AG	Hutchison European Warrants Aug 2014 A	0.500	101.880	2014/08/11	80,000,000	2014/02/10
13588	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 D	0.250	740 per 100 units	2014/08/11	100,000,000	2014/02/10
13575	Goldman Sachs SP (Asia)	CITIC Sec European Wts Aug 2014 A	0.150	21.900	2014/08/25	80,000,000	2014/02/10
13573	Goldman Sachs SP (Asia)	A50 China European Wts Jul 2014 A	0.150	10 per unit	2014/07/02	80,000,000	2014/02/10
13574	Goldman Sachs SP (Asia)	Kingsoft European Wts Jul 2014 A	0.150	32.920	2014/07/07	80,000,000	2014/02/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13576	Goldman Sachs SP (Asia)	Tencent European Wt Jul 2014 D	0.173	658.88 per 100 units	2014/07/25	80,000,000	2014/02/10
13587	Standard Chartered Bank	Sands China Euro Wts May 2014 E	0.230	64.050	2014/05/09	80,000,000	2014/02/10
13583	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Apr 2016A	0.155	7.780	2016/04/06	400,000,000	2014/02/10
13586	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2014 A	0.340	83.000	2014/09/03	100,000,000	2014/02/10
13582	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Aug 2014A	0.165	8.75 per unit	2014/08/04	70,000,000	2014/02/10
13585	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 14 C	0.475	66.880	2014/08/04	100,000,000	2014/02/10
13581	UBS AG	Tencent Holdings European Warrants Jul 2014 E	0.220	650.50 per 100 units	2014/07/25	100,000,000	2014/02/10
13619	Credit Suisse AG	AIA European Warrants Aug 2014 A	0.250	37.080	2014/08/29	80,000,000	2014/02/11
13644	Credit Suisse AG	China Coal European Warrants Jul 2014 A	0.150	4.51 per unit	2014/07/28	70,000,000	2014/02/11
13618	Credit Suisse AG	China Mobile European Warrants Aug 2014 C	0.400	73.380	2014/08/11	80,000,000	2014/02/11
13616	Credit Suisse AG	HSI European Warrants Sep 2014 E	0.150	22,000 per 8,000 units	2014/09/29	200,000,000	2014/02/11
13617	Credit Suisse AG	Ping An European Warrants Aug 2014 A	0.360	68.880	2014/08/11	80,000,000	2014/02/11
13649	Citigroup Global Mkt H Inc.	A50 China Euro Wts Aug 2014 B	0.600	8.80 per unit	2014/08/25	80,000,000	2014/02/11
13613	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Jun 2014 C	0.850	73.880	2014/06/23	80,000,000	2014/02/11
13615	Citigroup Global Mkt H Inc.	HKEx European Wts Dec 2015 A	0.150	126.68 per 100 units	2015/12/23	150,000,000	2014/02/11
13609	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Jun 2014 B	0.160	110.880	2014/06/04	65,000,000	2014/02/11
13614	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Jun 2014 C	0.310	103.880	2014/06/20	60,000,000	2014/02/11
13611	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jun 2014 B	0.190	70.000	2014/06/24	100,000,000	2014/02/11
13610	Citigroup Global Mkt H Inc.	Ping An Euro Wts Aug 2014 A	0.350	66.880	2014/08/01	100,000,000	2014/02/11
13612	Citigroup Global Mkt H Inc.	Sands China Euro Wt Jul 2014 A	0.350	66.880	2014/07/24	80,000,000	2014/02/11
13632	Daiwa Capital Mkt	CAM CSI300 European Warrants Dec 2014 A	0.180	30.880	2014/12/12	98,000,000	2014/02/11
13629	Daiwa Capital Mkt	China Life European Warrants Jun 2014 A	0.180	19.980	2014/06/20	138,000,000	2014/02/11
13630	Daiwa Capital Mkt	ICBC European Warrants Aug 2014 B	0.250	4.88 per unit	2014/08/11	128,000,000	2014/02/11
13631	Daiwa Capital Mkt	Ping An European Put Warrants Oct 2014 A	0.250	48.000	2014/10/24	80,000,000	2014/02/11
13605	HK Bank	CAM CSI300 European Warrants Dec 2014 A	0.200	30.930	2014/12/12	60,000,000	2014/02/11
13606	HK Bank	China Mobile European Warrants Jul 2014 D	0.190	78.930	2014/07/28	120,000,000	2014/02/11
13604	HK Bank	CNOOC European Warrants Dec 2014 C	0.160	12.400	2014/12/15	80,000,000	2014/02/11
13601	HK Bank	HSI European Warrants Aug 2014 D	0.250	21,300 per 8,200 units	2014/08/28	250,000,000	2014/02/11
13603	HK Bank	PICC Group European Warrants Jul 2014 B	0.150	3.89 per unit	2014/07/21	80,000,000	2014/02/11
13600	J P Morgan SP BV	AIA European Warrants Sep 2014 B	0.251	36.000	2014/09/01	200,000,000	2014/02/11
13595	J P Morgan SP BV	CITIC Sec European Warrants Sep 2014 B	0.250	18.000	2014/09/01	120,000,000	2014/02/11
13599	J P Morgan SP BV	Cheung Kong European Warrants Apr 2017 A	0.150	128 per 100 units	2017/04/03	300,000,000	2014/02/11
13598	J P Morgan SP BV	China Mob European Wts Sep 2014 A	0.308	74.880	2014/09/01	200,000,000	2014/02/11
13628	J P Morgan SP BV	CPIC European Warrants Sep 2014 A	0.250	30.000	2014/09/01	100,000,000	2014/02/11
13597	J P Morgan SP BV	Chi Res Land European Warrants Oct 2014 A	0.250	20.000	2014/10/03	120,000,000	2014/02/11
13596	J P Morgan SP BV	China Shenhua European Wts Oct 2014 A	0.251	20.000	2014/10/03	200,000,000	2014/02/11
13627	J P Morgan SP BV	A50 China European Warrants Jan 2017 A	0.150	8.860	2017/01/03	300,000,000	2014/02/11
13594	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Aug 2014 A	0.250	9,400 per 4,600 units	2014/08/28	300,000,000	2014/02/11
13591	J P Morgan SP BV	HSI European Warrants Aug 2014 E	0.250	21,000 per 8,000 units	2014/08/28	500,000,000	2014/02/11
13648	J P Morgan SP BV	HSI European Put Warrants Sep 2014 C	0.250	20,800 per 8,000 units	2014/09/29	200,000,000	2014/02/11
13590	J P Morgan SP BV	JX Copper European Warrants Nov 2014 A	0.155	14.000	2014/11/03	100,000,000	2014/02/11
13647	J P Morgan SP BV	Lenovo European Warrants Oct 2014 A	0.150	8.000	2014/10/03	200,000,000	2014/02/11
13646	J P Morgan SP BV	PetroChina European Warrants Sep 2014 A	0.276	7.88 per unit	2014/09/01	100,000,000	2014/02/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13626	J P Morgan SP BV	Ping An European Warrants Sep 2014 A	0.378	63.880	2014/09/01	180,000,000	2014/02/11
13645	J P Morgan SP BV	Stanchart European Warrants Oct 2015 A	0.153	158 per 100 units	2015/10/02	100,000,000	2014/02/11
13622	Macquarie Bank Ltd.	China Mobile European Wts Aug 2014 A	0.150	80.380	2014/08/04	100,000,000	2014/02/11
13620	Macquarie Bank Ltd.	Hutchison European Warrants Oct 2014 A	0.250	115.000	2014/10/06	100,000,000	2014/02/11
13621	Macquarie Bank Ltd.	Lenovo European Warrants Apr 2015 A	0.161	9.280	2015/04/02	100,000,000	2014/02/11
13607	Standard Chartered Bank	China Mobile Euro Wts Jul 2014 C	0.170	78.880	2014/07/28	100,000,000	2014/02/11
13643	Standard Chartered Bank	PetroChina European Wts Aug 2014 B	0.250	8.28 per unit	2014/08/29	80,000,000	2014/02/11
13640	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Sep 2014 A	0.250	35.880	2014/09/03	100,000,000	2014/02/11
13641	SGA Societe Generale Acceptance N.V.	CSR Euro Wts Aug 14 A	0.430	6.38 per unit	2014/08/11	25,000,000	2014/02/11
13642	SGA Societe Generale Acceptance NV	NewWorld Dev Eu Wt Sep14A	0.425	10.88 per unit	2014/09/03	25,000,000	2014/02/11
13637	UBS AG	China Life European Warrants Aug 2014 E	0.250	19.880	2014/08/18	100,000,000	2014/02/11
13634	UBS AG	Galaxy Ent European Warrants Aug 2014 B	0.550	86.880	2014/08/25	40,000,000	2014/02/11
13639	UBS AG	HSBC European Warrants Jul 2014 A	0.220	82.930	2014/07/07	100,000,000	2014/02/11
13636	UBS AG	HSCEI European Warrants Aug 2014 A	0.250	9,300 per 3,900 units	2014/08/28	100,000,000	2014/02/11
13589	UBS AG	HSI European Warrants May 2014 C	0.160	21,700 per 6,700 units	2014/05/29	300,000,000	2014/02/11
13608	UBS AG	HSI European Warrants Sep 2014 B	0.150	22,100 per 8,000 units	2014/09/29	300,000,000	2014/02/11
13635	UBS AG	HSI European Put Warrants Aug 2014 C	0.250	20,800 per 6,000 units	2014/08/28	300,000,000	2014/02/11
13633	UBS AG	Kingsoft European Warrants Aug 2014 A	0.250	28.930	2014/08/11	100,000,000	2014/02/11
13638	UBS AG	Lenovo European Warrants Aug 2014 D	0.600	9.88 per unit	2014/08/11	20,000,000	2014/02/11
12506 [†]	UBS AG	Tencent Holdings European Warrants Jul 2014 C	0.171	628 per 100 units	2014/07/07	60,000,000	2014/02/11
13671	BOCI Asia Ltd.	China Mobile European Warrants Jul 2014 B	0.150	78.880	2014/07/28	100,000,000	2014/02/12
13674	BOCI Asia Ltd.	Galaxy Ent European Warrants Nov 2015 A	0.170	75.75 per 100 units	2015/11/23	100,000,000	2014/02/12
13670	BOCI Asia Ltd.	Ping An European Warrants Aug 2014 A	0.300	66.880	2014/08/04	100,000,000	2014/02/12
13676	BOCI Asia Ltd.	SHK Ppt European Warrants Aug 2014 A	0.250	105.880	2014/08/18	100,000,000	2014/02/12
13706	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Oct 2014 A	0.290	30.880	2014/10/06	100,000,000	2014/02/12
13704	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Sep 2014 A	0.250	66.380	2014/09/02	100,000,000	2014/02/12
13697	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Oct 2014 A	0.200	10.50 per unit	2014/10/03	100,000,000	2014/02/12
13703	BNP Paribas Arbit Issu B.V.	HSBC European Wts Sep 2014 A	0.250	83.000	2014/09/02	100,000,000	2014/02/12
13701	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Jul 2014 A	0.160	30.880	2014/07/03	100,000,000	2014/02/12
13700	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Dec 2015 A	0.160	9.000	2015/12/30	100,000,000	2014/02/12
13705	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Sep 2014 A	0.350	8 per unit	2014/09/02	100,000,000	2014/02/12
13695	BNP Paribas Arbit Issu B.V.	Ping An European Wt Aug 2014 B	0.220	71.900	2014/08/04	100,000,000	2014/02/12
13707	BNP Paribas Arbit Issu B.V.	Sinopharm Euro Wts Nov 2014 A	0.260	24.600	2014/11/04	60,000,000	2014/02/12
13696	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Sep 2014 A	0.310	600 per 100 units	2014/09/02	100,000,000	2014/02/12
13715	Credit Suisse AG	BOCL European Warrants Aug 2014 B	0.190	3.29 per unit	2014/08/25	70,000,000	2014/02/12
13721	Credit Suisse AG	Minsheng Bank European Wts Sep 2014 A	0.290	8.98 per unit	2014/09/15	70,000,000	2014/02/12
13718	Credit Suisse	Foxconn European Warrants Jun 2014 A	0.210	4.81 per unit	2014/06/23	70,000,000	2014/02/12
13730	Credit Suisse AG	HSI European Put Warrants Aug 2014 C	0.250	20,800 per 6,500 units	2014/08/28	200,000,000	2014/02/12
13720	Credit Suisse AG	Kingsoft European Warrants Jul 2014 D	0.250	28.000	2014/07/11	70,000,000	2014/02/12
13723	Credit Suisse AG	Ping An European Warrants Aug 2014 B	0.360	64.380	2014/08/11	80,000,000	2014/02/12
13722	Credit Suisse AG	Sands China European Warrants Aug 2014 A	0.400	68.380	2014/08/11	80,000,000	2014/02/12
13687	Goldman Sachs SP (Asia)	China Mobile Euro Wts Aug 2014 A	0.264	76.880	2014/08/28	80,000,000	2014/02/12
13688	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Aug 2014 B	0.268	66.880	2014/08/28	80,000,000	2014/02/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13669	Goldman Sachs SP (Asia)	HSCEI European Put Wts Dec 2014 A	0.251	8,800 per 3,800 units	2014/12/30	150,000,000	2014/02/12
13667	Goldman Sachs SP (Asia)	HSI European Put Wts Oct 2014 B	0.255	20,000 per 6,200 units	2014/10/30	150,000,000	2014/02/12
13690	Goldman Sachs Sp (Asia)	ICBC European Warrants Aug 2014 A	0.255	4.88 per unit	2014/08/28	80,000,000	2014/02/12
13689	Goldman Sachs SP (Asia)	PetroChina European Wts Sep 2014 A	0.252	8.28 per unit	2014/09/29	80,000,000	2014/02/12
13656	HK Bank	China Life European Warrants Jun 2014 C	0.190	20.050	2014/06/25	100,000,000	2014/02/12
13659	HK Bank	A50 China European Warrants Aug 2014 B	0.320	9.33 per unit	2014/08/29	80,000,000	2014/02/12
13666	HK Bank	A50 China European Warrants Nov 2015 A	0.180	7.010	2015/11/25	300,000,000	2014/02/12
13664	HK Bank	A50 China European Warrants Mar 2016 A	0.150	7.790	2016/03/23	300,000,000	2014/02/12
13663	HK Bank	HSI European Warrants Aug 2014 F	0.250	20,888 per 7,800 units	2014/08/28	250,000,000	2014/02/12
13662	HK Bank	HSI European Put Warrants Aug 2014 E	0.250	20,428 per 6,000 units	2014/08/28	250,000,000	2014/02/12
13661	HK Bank	Lenovo European Warrants Aug 2014 B	0.550	9.38 per unit	2014/08/29	50,000,000	2014/02/12
13660	HK Bank	Lenovo European Warrants Dec 2015 A	0.150	9.000	2015/12/15	80,000,000	2014/02/12
13657	HK Bank	Wharf European Warrants Oct 2014 A	0.250	60.880	2014/10/31	80,000,000	2014/02/12
13735	J P Morgan SP BV	China Life European Warrants Aug 2014 B	0.150	21.380	2014/08/11	200,000,000	2014/02/12
13734	J P Morgan SP BV	CSOP A50 ETF European Warrants Aug 2016 A	0.150	8.880	2016/08/01	200,000,000	2014/02/12
13733	J P Morgan SP BV	Galaxy Ent European Warrants Jul 2015 A	0.165	64.88 per 100 units	2015/07/02	200,000,000	2014/02/12
13732	J P Morgan SP BV	Galaxy Ent European Warrants Dec 2015 A	0.150	75.80 per 100 units	2015/12/01	200,000,000	2014/02/12
13709	J P Morgan SP BV	Lenovo European Put Warrants Aug 2015 A	0.250	9.000	2015/08/03	100,000,000	2014/02/12
13708	J P Morgan SP BV	PICC European Warrants Jan 2016 A	0.250	10.600	2016/01/04	200,000,000	2014/02/12
13710	Macquarie Bank Ltd.	CSR Times European Warrants Oct 2014 A	0.250	28.000	2014/10/06	80,000,000	2014/02/12
13652	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Sep 2014 A	0.250	70.000	2014/09/02	40,000,000	2014/02/12
13654	Merrill Lynch Int'l & Co. C.V.	HSI European Wts May 2014 D	0.150	21,700 per 6,700 units	2014/05/29	100,000,000	2014/02/12
13653	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Aug 2014 C	0.250	20,000 per 5,900 units	2014/08/28	100,000,000	2014/02/12
13650	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jul 2014 B	0.150	5.09 per unit	2014/07/28	70,000,000	2014/02/12
13651	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Aug 2014 A	0.250	8 per unit	2014/08/15	40,000,000	2014/02/12
13683	Standard Chartered Bank	AIA European Warrants Aug 2014 A	0.150	40.000	2014/08/04	100,000,000	2014/02/12
13682	Standard Chartered Bank	CC Bank European Wts Aug 2014 B	0.160	5.68 per unit	2014/08/04	100,000,000	2014/02/12
13680	Standard Chartered Bank	CITIC Sec European Wts Aug 2014 A	0.250	16.880	2014/08/11	100,000,000	2014/02/12
13731	Standard Chartered Bank	Galaxy Ent European Wts Jun 2014 E	0.250	85.050	2014/06/27	100,000,000	2014/02/12
13684	Standard Chartered Bank	HSBC European Put Wts Aug 2014 C	0.250	67.880	2014/08/29	100,000,000	2014/02/12
13686	Standard Chartered Bank	HSCEI European Put Wts Jun 2014 B	0.150	9,200 per 4,000 units	2014/06/27	100,000,000	2014/02/12
13685	Standard Chartered Bank	ICBC European Put Wts Aug 2014 A	0.250	4.04 per unit	2014/08/29	100,000,000	2014/02/12
13681	Standard Chartered Bank	Ping An European Wts Jul 2014 B	0.150	73.000	2014/07/04	100,000,000	2014/02/12
13711	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2014 B	0.255	5.28 per unit	2014/08/04	100,000,000	2014/02/12
13692	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2014 B	0.220	82.880	2014/07/07	100,000,000	2014/02/12
13712	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2014 A	0.155	21,400 per 7,000 units	2014/08/28	150,000,000	2014/02/12
13691	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 14 C	0.265	21,600 per 6,000 units	2014/07/30	150,000,000	2014/02/12
13693	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Sep14A	0.445	24.880	2014/09/03	60,000,000	2014/02/12
13694	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Sep 14 B	0.700	10 per unit	2014/09/03	30,000,000	2014/02/12
13713	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Aug14A	0.200	25.800	2014/08/04	80,000,000	2014/02/12
13714	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Aug14A	0.265	38.880	2014/08/27	100,000,000	2014/02/12
13726	UBS AG	HSI European Warrants Aug 2014 E	0.180	21,400 per 7,000 units	2014/08/28	300,000,000	2014/02/12
13725	UBS AG	HSI European Put Warrants Aug 2014 D	0.250	20,200 per 6,000 units	2014/08/28	300,000,000	2014/02/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13728	UBS AG	Sands China European Warrants Aug 2014 B	0.700	60.880	2014/08/18	40,000,000	2014/02/12
13729	UBS AG	Stanchart European Warrants Jul 2015 A	0.160	170.10 per 100 units	2015/07/20	100,000,000	2014/02/12
13727	UBS AG	Wynn Macau European Warrants Aug 2014 A	0.380	36.880	2014/08/11	50,000,000	2014/02/12
12795 [†]	BOCI Asia Ltd.	Tencent Holdings European Wts Jul 2014 A	0.285	580 per 100 units	2014/07/28	100,000,000	2014/02/12
13745	BOCI Asia Ltd.	Cheung Kong European Warrants Aug 2014 A	0.270	128.000	2014/08/18	100,000,000	2014/02/13
13746	BOCI Asia Ltd.	CNOOC European Warrants Dec 2014 A	0.150	12.400	2014/12/15	100,000,000	2014/02/13
13744	BOCI Asia Ltd.	HSBC European Warrants Sep 2014 A	0.250	83.000	2014/09/01	100,000,000	2014/02/13
13775	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Jan 2019 A	0.250	60 per 100 units	2019/01/03	100,000,000	2014/02/13
13774	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jun 2014 B	0.200	439 per 100 units	2014/06/09	100,000,000	2014/02/13
13770	Credit Suisse AG	Galaxy Ent European Warrants Jun 2015 A	0.160	64.90 per 100 units	2015/06/29	100,000,000	2014/02/13
13753	Credit Suisse AG	HSI European Put Warrants Aug 2014 D	0.250	20,000 per 6,000 units	2014/08/28	200,000,000	2014/02/13
13773	Credit Suisse AG	Lenovo European Warrants Aug 2014 A	0.450	9.98 per unit	2014/08/12	70,000,000	2014/02/13
13772	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 K	0.170	628 per 100 units	2014/06/30	100,000,000	2014/02/13
13783	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Nov 2015 A	0.170	75.80 per 100 units	2015/11/23	150,000,000	2014/02/13
13784	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Jun 2016 A	0.160	90.88 per 100 units	2016/06/03	150,000,000	2014/02/13
13767	Daiwa Capital Mkt	BOCL European Warrants Aug 2014 C	0.160	3.28 per unit	2014/08/25	128,000,000	2014/02/13
13765	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Oct 2015 A	0.150	83.88 per 100 units	2015/10/28	120,000,000	2014/02/13
13743	HK Bank	China Life European Warrants Aug 2014 D	0.150	21.430	2014/08/11	100,000,000	2014/02/13
13737	HK Bank	HSCEI European Put Warrants Dec 2014 C	0.250	8,818 per 4,000 units	2014/12/30	250,000,000	2014/02/13
13739	HK Bank	HSI European Warrants Sep 2014 E	0.150	21,900 per 8,000 units	2014/09/29	250,000,000	2014/02/13
13742	HK Bank	Tencent European Warrants Jun 2014 J	0.200	600.50 per 100 units	2014/06/25	120,000,000	2014/02/13
13740	HK Bank	Tencent European Put Warrants Jun 2014 H	0.250	459.80 per 100 units	2014/06/30	120,000,000	2014/02/13
13741	HK Bank	Tencent European Put Warrants Jun 2014 I	0.180	437.80 per 100 units	2014/06/16	120,000,000	2014/02/13
13764	J P Morgan SP BV	China Gas Hold European Wts Jun 2015 A	0.250	12.500	2015/06/12	100,000,000	2014/02/13
13763	J P Morgan SP BV	Evergrande European Warrants Oct 2014 A	0.251	3.50 per unit	2014/10/03	40,000,000	2014/02/13
13761	J P Morgan SP BV	Galaxy Ent European Warrants Nov 2015 A	0.150	83.88 per 100 units	2015/11/02	200,000,000	2014/02/13
13759	J P Morgan SP BV	HSI European Warrants Sep 2014 D	0.250	20,600 per 8,000 units	2014/09/29	500,000,000	2014/02/13
13760	J P Morgan SP BV	HSI European Put Warrants Sep 2014 E	0.250	20,400 per 7,200 units	2014/09/29	500,000,000	2014/02/13
13758	J P Morgan SP BV	Hutchison European Warrants Jun 2014 C	0.232	103.880	2014/06/20	100,000,000	2014/02/13
13757	J P Morgan SP BV	Tencent European Warrants Jun 2014 C	0.183	588 per 100 units	2014/06/09	200,000,000	2014/02/13
13782	Macquarie Bank Ltd.	Galaxy Ent European Wts Sep 2014 A	0.250	110.000	2014/09/02	40,000,000	2014/02/13
13768	Macquarie Bank Ltd.	HKEx European Warrants Oct 2014 A	0.362	135.000	2014/10/06	80,000,000	2014/02/13
13769	Macquarie Bank Ltd.	HKEx European Warrants Jan 2016 A	0.150	126.68 per 100 units	2016/01/05	80,000,000	2014/02/13
13780	Macquarie Bank Ltd.	MGM China European Warrants Nov 2014 A	0.250	45.000	2014/11/04	40,000,000	2014/02/13
13781	Macquarie Bank Ltd.	Sands China European Wts Oct 2014 A	0.250	95.000	2014/10/06	40,000,000	2014/02/13
13776	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 14 C	0.215	76.880	2014/08/04	100,000,000	2014/02/13
13777	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Sep 14 B	0.250	20.000	2014/09/03	100,000,000	2014/02/13
13749	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Sep14A	0.315	2.88 per unit	2014/09/03	60,000,000	2014/02/13
13748	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Aug14D	0.490	77.880	2014/08/04	100,000,000	2014/02/13
13778	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 14 B	0.225	20,900 per 6,000 units	2014/08/28	150,000,000	2014/02/13
13779	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Dec 15 A	0.155	8.990	2015/12/28	120,000,000	2014/02/13
13750	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Jul14C	0.520	55.000	2014/07/02	100,000,000	2014/02/13
13751	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Aug14B	0.310	65.000	2014/08/04	100,000,000	2014/02/13

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13752	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Sep14B	0.250	71.880	2014/09/03	100,000,000	2014/02/13
13747	UBS AG	Lenovo European Warrants Dec 2015 A	0.160	9.000	2015/12/15	100,000,000	2014/02/13
13801	BOCI Asia Ltd.	HSI European Put Warrants Aug 2014 D	0.210	20,800 per 6,000 units	2014/08/28	100,000,000	2014/02/14
13802	BOCI Asia Ltd.	Tencent Holdings European Wts Sep 2014 A	0.380	588 per 100 units	2014/09/29	100,000,000	2014/02/14
13809	Daiwa Capital Mkt	Galaxy Ent European Warrants Jun 2014 C	0.250	89.880	2014/06/16	98,000,000	2014/02/14
13810	Daiwa Capital Mkt	Tencent Holdings European Wts Sep 2014 A	0.190	700 per 100 units	2014/09/01	108,000,000	2014/02/14
13819	Bank of East Asia	CNOOC European Put Warrants Jan 2015 A	0.250	12.883	2015/01/30	60,000,000	2014/02/14
13817	Bank of East Asia	Tencent Holdings European Wts Dec 2014 A	0.360	688 per 100 units	2014/12/29	40,000,000	2014/02/14
13818	Bank of East Asia	Tencent Holdings European Put Wts Sep14A	0.280	428 per 100 units	2014/09/29	40,000,000	2014/02/14
13789	HK Bank	Dongfeng Motor European Warrants Aug 2014 A	0.150	11.320	2014/08/26	80,000,000	2014/02/14
13786	HK Bank	Galaxy Ent European Warrants Jul 2014 D	0.470	82.050	2014/07/07	60,000,000	2014/02/14
13787	HK Bank	Galaxy Ent European Warrants Aug 2014 A	0.250	94.280	2014/08/29	60,000,000	2014/02/14
13785	HK Bank	Galaxy Ent European Warrants Oct 2015 A	0.150	83.92 per 100 units	2015/10/23	200,000,000	2014/02/14
13788	HK Bank	Ping An European Warrants Jul 2014 B	0.280	67.050	2014/07/31	80,000,000	2014/02/14
13800	J P Morgan SP BV	AIA European Warrants Oct 2014 A	0.250	39.000	2014/10/03	200,000,000	2014/02/14
13799	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Aug 2014 C	0.150	5.68 per unit	2014/08/01	200,000,000	2014/02/14
13798	J P Morgan SP BV	CGS European Warrants Dec 2015 A	0.150	7.300	2015/12/02	100,000,000	2014/02/14
13791	J P Morgan SP BV	Hutchison European Warrants Jun 2014 D	0.150	110.880	2014/06/04	80,000,000	2014/02/14
13790	J P Morgan SP BV	Tencent European Warrants Sep 2014 A	0.250	688 per 100 units	2014/09/01	200,000,000	2014/02/14
13806	Macquarie Bank Ltd.	BYD European Warrants Sep 2014 A	0.250	43.000	2014/09/02	40,000,000	2014/02/14
13808	Macquarie Bank Ltd.	PICC Group European Wts Oct 2014 B	0.250	3.60 per unit	2014/10/06	40,000,000	2014/02/14
13807	Macquarie Bank Ltd.	Ping An European Warrants Sep 2014 A	0.250	70.000	2014/09/02	40,000,000	2014/02/14
13805	Macquarie Bank Ltd.	SMIC European Warrants Aug 2015 A	0.250	1 per unit	2015/08/04	40,000,000	2014/02/14
13804	Standard Chartered Bank	HSI European Warrants Aug 2014 B	0.170	21,400 per 7,000 units	2014/08/28	100,000,000	2014/02/14
13803	Standard Chartered Bank	HSI European Put Wts Aug 2014 A	0.250	21,000 per 6,800 units	2014/08/28	100,000,000	2014/02/14
13814	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2014 D	0.165	86.050	2014/08/04	100,000,000	2014/02/14
13815	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Oct 2014 A	0.185	124.000	2014/10/06	70,000,000	2014/02/14
13816	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Nov14A	0.205	46.000	2014/11/05	50,000,000	2014/02/14
13812	UBS AG	HSBC European Warrants Aug 2014 B	0.150	88.930	2014/08/08	100,000,000	2014/02/14
13811	UBS AG	Sands China European Warrants Jul 2014 E	0.400	66.930	2014/07/24	40,000,000	2014/02/14
13813	UBS AG	Wynn Macau European Put Warrants Aug 2014 B	0.310	29.880	2014/08/13	35,000,000	2014/02/14
13838	Citigroup Global Mkt H Inc.	Sands China Euro Wt Apr 2017 A	0.250	58.88 per 100 units	2017/04/10	150,000,000	2014/02/17
13836	Citigroup Global Mkt H Inc.	Tencent European Wts Jul 2014 D	0.205	686.88 per 100 units	2014/07/21	100,000,000	2014/02/17
13821	HK Bank	China Mobile European Warrants Jul 2014 E	0.230	76.930	2014/07/29	100,000,000	2014/02/17
13826	HK Bank	CSR Times European Warrants Aug 2014 A	0.250	27.280	2014/08/29	60,000,000	2014/02/17
13822	HK Bank	Sands China European Warrants Aug 2014 A	0.280	73.280	2014/08/29	60,000,000	2014/02/17
13835	Macquarie Bank Ltd.	CITIC Bank European Wts Oct 2014 A	0.250	4.20 per unit	2014/10/06	50,000,000	2014/02/17
13823	Macquarie Bank Ltd.	CPIC European Warrants Sep 2014 A	0.250	29.000	2014/09/02	40,000,000	2014/02/17
13832	Macquarie Bank Ltd.	Galaxy Ent European Wts Jun 2016 A	0.170	90.88 per 100 units	2016/06/03	60,000,000	2014/02/17
13825	Macquarie Bank Ltd.	Lumena Newmat European Wts Jun 2015 A	0.250	1.50 per unit	2015/06/02	40,000,000	2014/02/17
13833	Macquarie Bank Ltd.	China Mengniu European Wts Aug 2014 A	0.250	45.000	2014/08/18	80,000,000	2014/02/17
13824	Macquarie Bank Ltd.	PICC P&C European Warrants Aug 2015 A	0.250	10.000	2015/08/04	40,000,000	2014/02/17
13827	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Nov17A	0.185	10.880	2017/11/01	120,000,000	2014/02/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13828	UBS AG	SPDR Gold Trust European Warrants Aug 2014 A	0.450	1,038 per 100 units	2014/08/18	100,000,000	2014/02/17
13830	UBS AG	Tencent Holdings European Warrants Aug 2014 A	0.400	600 per 100 units	2014/08/18	100,000,000	2014/02/17
13831	UBS AG	Tencent Holdings European Warrants Dec 2014 A	0.160	840.50 per 100 units	2014/12/24	100,000,000	2014/02/17
10272	BNP Paribas Arbit Issu B.V.	AUD/USD European Wts Dec 2014 A	0.250	0.91 per 1 units	2014/12/18	100,000,000	2014/02/18
10273	BNP Paribas Arbit Issu B.V.	AUD/USD Euro Put Wts Dec 2014 B	0.250	0.84 per 1 units	2014/12/18	100,000,000	2014/02/18
13865	Citigroup Global Mkt H Inc.	HS Bank Euro Wts Sep 2014 A	0.420	128.000	2014/09/08	50,000,000	2014/02/18
13866	Citigroup Global Mkt H Inc.	Tong Ren Tang Euro Wts Aug14A	0.250	32.800	2014/08/21	40,000,000	2014/02/18
13860	Daiwa Capital Mkt	AIA European Put Warrants Aug 2014 A	0.250	33.980	2014/08/18	80,000,000	2014/02/18
13862	Daiwa Capital Mkt	A50 China European Warrants Aug 2014 A	0.250	10.80 per unit	2014/08/20	128,000,000	2014/02/18
13861	Daiwa Capital Mkt	Ping An European Warrants Aug 2014 B	0.250	78.000	2014/08/18	128,000,000	2014/02/18
13840	Goldman Sachs SP (Asia)	BankComm European Wts Sep 2014 A	0.250	5.80 per unit	2014/09/29	68,000,000	2014/02/18
13839	Goldman Sachs SP (Asia)	HSI European Warrants May 2014 B	0.150	21,500 per 6,700 units	2014/05/29	150,000,000	2014/02/18
13847	HK Bank	AIA European Warrants Sep 2014 B	0.250	39.280	2014/09/29	80,000,000	2014/02/18
13849	HK Bank	BOCL European Warrants Aug 2014 C	0.170	3.29 per unit	2014/08/25	80,000,000	2014/02/18
13845	HK Bank	BYD European Warrants Aug 2014 A	0.250	48.000	2014/08/29	80,000,000	2014/02/18
13846	HK Bank	Evergrande European Warrants Aug 2014 A	0.250	3.63 per unit	2014/08/29	60,000,000	2014/02/18
13851	HK Bank	HSI European Warrants Jul 2015 B	0.250	23,000 per 9,000 units	2015/07/30	250,000,000	2014/02/18
13850	HK Bank	HSI European Put Warrants Dec 2014 C	0.250	22,000 per 10,000 units	2014/12/30	250,000,000	2014/02/18
13841	J P Morgan SP BV	Minsheng Bank European Wts Jul 2014 A	0.357	8.48 per unit	2014/07/24	60,000,000	2014/02/18
13842	J P Morgan SP BV	Henderson Land Dev European Wt Sep 2014 A	0.250	45.000	2014/09/01	50,000,000	2014/02/18
13843	J P Morgan SP BV	SHK Ppt European Warrants Sep 2014 A	0.380	100.000	2014/09/01	60,000,000	2014/02/18
13858	Macquarie Bank Ltd.	Greatwall Motor European Wts Oct 2014 A	0.250	50.000	2014/10/06	40,000,000	2014/02/18
13859	Macquarie Bank Ltd.	Huaneng Power European Wts Sep 2014 A	0.250	8.50 per unit	2014/09/02	40,000,000	2014/02/18
13857	Macquarie Bank Ltd.	Xinyi Glass European Wts Dec 2016 A	0.150	8.280	2016/12/02	70,000,000	2014/02/18
13854	Standard Chartered Bank	China Mobile Euro Wts Sep 2014 A	0.250	75.880	2014/09/08	100,000,000	2014/02/18
13855	Standard Chartered Bank	Hutchison Euro Euro Wts Aug 2014 A	0.250	118.000	2014/08/28	80,000,000	2014/02/18
13852	Standard Chartered Bank	Tencent Hldg Euro Wts Oct 2014 A	0.290	668.88 per 100 units	2014/10/06	100,000,000	2014/02/18
13853	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2015 A	0.250	777 per 100 units	2015/01/06	100,000,000	2014/02/18
13856	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug14 C	0.450	8.488 per unit	2014/08/04	40,000,000	2014/02/18
13844	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Sep14C	0.255	440 per 100 units	2014/09/03	150,000,000	2014/02/18
13864	UBS AG	CC Bank European Warrants Aug 2014 B	0.250	5.58 per unit	2014/08/18	100,000,000	2014/02/18
25630 [†]	J P Morgan SP BV	Minsheng Bank European Wts Dec 2014 B	0.063	8.890	2014/12/29	300,000,000	2014/02/18
13881	Credit Suisse AG	AIA European Warrants Sep 2014 B	0.150	41.950	2014/09/01	80,000,000	2014/02/19
13879	Credit Suisse AG	CC Bank European Warrants Aug 2014 D	0.170	6 per unit	2014/08/27	80,000,000	2014/02/19
13885	Credit Suisse AG	HKEx European Warrants Sep 2014 A	0.250	152.800	2014/09/12	80,000,000	2014/02/19
13883	Credit Suisse AG	China Mengniu European Wts Aug 2014 A	0.250	47.880	2014/08/18	70,000,000	2014/02/19
13880	Credit Suisse AG	Ping An European Warrants Jun 2014 D	0.170	75.000	2014/06/27	80,000,000	2014/02/19
13878	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 A	0.150	840 per 100 units	2014/12/24	100,000,000	2014/02/19
13887	Credit Suisse AG	Xinyi Glass European Warrants Aug 2014 A	0.250	9.18 per unit	2014/08/18	70,000,000	2014/02/19
13873	Daiwa Capital Mkt	CCB European Warrants Aug 2014 B	0.170	6 per unit	2014/08/27	128,000,000	2014/02/19
13888	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2014 B	0.150	23,488 per 5,900 units	2014/07/30	150,000,000	2014/02/19
13890	Goldman Sachs SP (Asia)	HSI European Put Wts May 2014 C	0.154	22,388 per 6,400 units	2014/05/29	150,000,000	2014/02/19
13867	HK Bank	CSOP A50 ETF European Warrants Aug 2016 A	0.150	8.890	2016/08/01	100,000,000	2014/02/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13868	HK Bank	HSCEI European Warrants Aug 2014 B	0.190	9,438 per 4,600 units	2014/08/28	150,000,000	2014/02/19
13870	Macquarie Bank Ltd.	Anton Oilfield European Wts Sep 2016 A	0.250	5.500	2016/09/05	40,000,000	2014/02/19
13869	Macquarie Bank Ltd.	China Taiping European Wts Oct 2014 A	0.154	18.000	2014/10/28	70,000,000	2014/02/19
13875	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Dec 2014C	0.150	12.380	2014/12/29	300,000,000	2014/02/19
13872	UBS AG	BOCL European Warrants Sep 2014 A	0.200	3.29 per unit	2014/09/02	100,000,000	2014/02/19
13876	UBS AG	SHK Ppt European Warrants Aug 2014 A	0.300	108.000	2014/08/18	50,000,000	2014/02/19
13910	BNP Paribas Arbit Issu B.V.	BJ Ent Water Euro Wts Oct 14 A	0.360	5.60 per unit	2014/10/06	48,000,000	2014/02/20
13912	BNP Paribas Arbit Issu B.V.	Ch LongYuan Eu Wts Jul 2015 A	0.200	10.880	2015/07/03	100,000,000	2014/02/20
13909	BNP Paribas Arbit Issu B.V.	Ch Railway Euro Wts Feb 2015 A	0.340	4.10 per unit	2015/02/03	30,000,000	2014/02/20
13913	BNP Paribas Arbit Issu B.V.	Ch Ship Dev Euro Wts Oct 2014A	0.650	6.50 per unit	2014/10/06	26,000,000	2014/02/20
13911	BNP Paribas Arbit Issu B.V.	Luk Fook Hold Eu Wt Oct 2014 A	0.290	30.000	2014/10/06	40,000,000	2014/02/20
13903	Credit Suisse AG	PICC Group European Warrants Jul 2014 B	0.150	3.89 per unit	2014/07/21	70,000,000	2014/02/20
13934	Goldman Sachs SP (Asia)	CITIC Pacific Euro Wts Dec 2015 A	0.150	12.200	2015/12/30	80,000,000	2014/02/20
13933	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Oct 2014 A	0.395	9.50 per unit	2014/10/30	80,000,000	2014/02/20
13935	Goldman Sachs SP (Asia)	Link REIT European Wts Oct 2014 A	0.152	38.880	2014/10/30	80,000,000	2014/02/20
13893	HK Bank	Geely Auto European Warrants Aug 2014 A	0.250	3.48 per unit	2014/08/29	60,000,000	2014/02/20
13894	HK Bank	Ping An European Warrants Aug 2014 A	0.250	77.280	2014/08/29	60,000,000	2014/02/20
13929	J P Morgan SP BV	CITIC Bank European Warrants Sep 2014 A	0.250	4.80 per unit	2014/09/01	70,000,000	2014/02/20
13932	J P Morgan SP BV	China Mengniu European Warrants Aug 2014A	0.250	48.000	2014/08/19	100,000,000	2014/02/20
13931	J P Morgan SP BV	Sands China European Warrants Sep 2014 A	0.250	72.000	2014/09/02	150,000,000	2014/02/20
13928	Macquarie Bank Ltd.	Anhui Conch European Wts Sep 2014 A	0.250	36.000	2014/09/02	40,000,000	2014/02/20
13920	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Dec 2014 A	0.250	3.80 per unit	2014/12/02	50,000,000	2014/02/20
13923	Macquarie Bank Ltd.	BOCL European Warrants Oct 2014 A	0.150	3.60 per unit	2014/10/03	70,000,000	2014/02/20
13927	Macquarie Bank Ltd.	Ch Comm Cons European Wts Oct 2014 A	0.250	8 per unit	2014/10/06	40,000,000	2014/02/20
13899	Macquarie Bank Ltd.	Ch Merchants European Wts Mar 2015 A	0.250	32.000	2015/03/03	40,000,000	2014/02/20
13922	Macquarie Bank Ltd.	ICBC European Warrants Dec 2014 A	0.250	5.50 per unit	2014/12/02	50,000,000	2014/02/20
13924	Macquarie Bank Ltd.	REXLot Holdings European Wts Oct 2014A	0.250	1.90 per unit	2014/10/06	40,000,000	2014/02/20
13925	Macquarie Bank Ltd.	SJM Holdings European Wts Oct 2014 B	0.250	32.500	2014/10/06	40,000,000	2014/02/20
13891	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2014 A	0.265	139.800	2014/09/22	40,000,000	2014/02/20
13892	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Aug 2014 B	0.187	85.900	2014/08/01	60,000,000	2014/02/20
13900	Standard Chartered Bank	BOCL European Warrants Sep 2014 A	0.200	3.28 per unit	2014/09/01	100,000,000	2014/02/20
13917	Standard Chartered Bank	China Coal European Wts Jan 2015 A	0.360	4.80 per unit	2015/01/05	50,000,000	2014/02/20
13916	Standard Chartered Bank	China Coal European Put Wt Feb15 A	0.315	3 per unit	2015/02/23	50,000,000	2014/02/20
13902	Standard Chartered Bank	ICBC European Warrants Aug 2014 B	0.170	5.20 per unit	2014/08/04	100,000,000	2014/02/20
13918	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Sep 2014 A	0.390	50.000	2014/09/03	30,000,000	2014/02/20
13897	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Sep14 A	0.280	10.18 per unit	2014/09/03	40,000,000	2014/02/20
13896	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug 2016A	0.150	8.490	2016/08/03	400,000,000	2014/02/20
13919	SGA Societe Generale Acceptance N.V.	Ch Mengniu Eu Wt Aug14A	0.250	48.000	2014/08/20	50,000,000	2014/02/20
13898	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Aug 14 B	0.230	666.33 per 100 units	2014/08/04	150,000,000	2014/02/20
13906	UBS AG	BYD European Warrants Aug 2014 A	0.430	48.880	2014/08/19	80,000,000	2014/02/20
13904	UBS AG	A50 China European Warrants Jul 2014 C	0.290	9.89 per unit	2014/07/24	100,000,000	2014/02/20
13908	UBS AG	Hutchison European Warrants Jul 2014 C	0.510	106.980	2014/07/28	100,000,000	2014/02/20
13907	UBS AG	Hutchison European Put Warrants Jul 2014 B	0.325	92.830	2014/07/03	70,000,000	2014/02/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13905	UBS AG	China Mengniu European Warrants Jun 2014 A	0.180	46.050	2014/06/25	60,000,000	2014/02/20
13953	BOCI Asia Ltd.	HSI European Warrants Jul 2014 A	0.150	23,500 per 5,900 units	2014/07/30	100,000,000	2014/02/21
13977	BNP Paribas Arbit Issu B.V.	Anta Sports Euro Wt Feb 2015 A	0.250	12.000	2015/02/03	96,000,000	2014/02/21
13959	BNP Paribas Arbit Issu B.V.	BOCL European Put Wts Sep 2014 A	0.270	3.12 per unit	2014/09/02	100,000,000	2014/02/21
13956	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Jul 2014 A	0.150	10.38 per unit	2014/07/03	100,000,000	2014/02/21
13954	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Dec 2015 A	0.200	75.80 per 100 units	2015/12/02	100,000,000	2014/02/21
13976	BNP Paribas Arbit Issu B.V.	Haier Elec Euro Wts Dec 2014 A	0.320	26.880	2014/12/02	100,000,000	2014/02/21
13958	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jul 2014 B	0.150	87.500	2014/07/03	100,000,000	2014/02/21
13960	BNP Paribas Arbit Issu B.V.	MGM China Euro Wts Sep 2014 A	0.190	37.880	2014/09/15	79,000,000	2014/02/21
13979	BNP Paribas Arbit Issu B.V.	Ping An European Wt Nov 2014 A	0.250	81.000	2014/11/04	100,000,000	2014/02/21
13975	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt May 2015 A	0.260	940 per 100 units	2015/05/05	100,000,000	2014/02/21
13978	BNP Paribas Arbit Issu B.V.	Towngas China Euro Wts Jul16A	0.250	9.880	2016/07/05	55,000,000	2014/02/21
13963	Daiwa Capital Mkt	BOCL European Warrants Sep 2014 A	0.150	3.60 per unit	2014/09/24	128,000,000	2014/02/21
13962	Daiwa Capital Mkt	HSCEI European Warrants Sep 2014 C	0.150	10,600 per 4,000 units	2014/09/29	100,000,000	2014/02/21
13964	Daiwa Capital Mkt	Tencent Holdings European Wts Aug 2014 A	0.160	707.38 per 100 units	2014/08/04	108,000,000	2014/02/21
13949	HK Bank	HSI European Put Warrants Aug 2014 G	0.150	19,900 per 6,000 units	2014/08/28	150,000,000	2014/02/21
13948	HK Bank	Kingsoft European Warrants Aug 2014 A	0.250	27.380	2014/08/29	60,000,000	2014/02/21
13947	HK Bank	Mengniu European Warrants Aug 2014 A	0.250	46.880	2014/08/29	60,000,000	2014/02/21
13952	HK Bank	Tencent European Warrants Aug 2014 B	0.190	707.38 per 100 units	2014/08/04	80,000,000	2014/02/21
13992	J P Morgan SP BV	AIA European Put Warrants Sep 2014 C	0.250	34.880	2014/09/01	100,000,000	2014/02/21
13991	J P Morgan SP BV	HSBC European Put Warrants Sep 2014 A	0.311	77.000	2014/09/01	100,000,000	2014/02/21
13993	J P Morgan SP BV	SHK Ppt European Put Warrants Sep 2014 B	0.251	84.880	2014/09/01	40,000,000	2014/02/21
13990	J P Morgan SP BV	Tencent European Warrants Aug 2014 C	0.194	707 per 100 units	2014/08/04	200,000,000	2014/02/21
13989	J P Morgan SP BV	Tencent European Warrants Oct 2014 A	0.250	777 per 100 units	2014/10/03	200,000,000	2014/02/21
13968	Macquarie Bank Ltd.	Ch Modern D European Warrants Aug 14 A	0.329	5.18 per unit	2014/08/21	40,000,000	2014/02/21
13969	Macquarie Bank Ltd.	Sinopec Corp European Wts Nov 2014 A	0.250	7.50 per unit	2014/11/04	40,000,000	2014/02/21
13965	Macquarie Bank Ltd.	Chi Res Power European Wts Nov 2014 A	0.250	21.880	2014/11/04	40,000,000	2014/02/21
13941	Nomura Int'l plc	BYD European Warrants Aug 2014 A	0.318	53.880	2014/08/28	50,000,000	2014/02/21
13943	Nomura Int'l plc	CITIC Sec European Warrants Jul 2014 B	0.152	19.000	2014/07/28	80,000,000	2014/02/21
13942	Nomura Int'l plc	CNBM European Warrants Oct 2015 A	0.155	8.510	2015/10/27	80,000,000	2014/02/21
13945	Nomura Int'l plc	Ch Railway European Warrants Aug 2014 A	0.150	4.40 per unit	2014/08/28	80,000,000	2014/02/21
13944	Nomura Int'l plc	Haitong Sec European Warrants Dec 2014 A	0.150	12.900	2014/12/23	80,000,000	2014/02/21
13936	Nomura Int'l plc	KECL European Warrants Sep 2014 A	0.150	13.020	2014/09/01	80,000,000	2014/02/21
13940	Nomura Int'l plc	Lenovo European Warrants Jun 2015 A	0.150	10.000	2015/06/30	80,000,000	2014/02/21
13938	Nomura Int'l plc	Tencent Holdings Euro Warrants Jul 2014 B	0.200	659.38 per 100 units	2014/07/21	80,000,000	2014/02/21
13937	Nomura Int'l plc	Tencent Holdings Euro Warrants Sep 2014 A	0.188	700 per 100 units	2014/09/01	80,000,000	2014/02/21
13939	Nomura Int'l plc	Tencent Holdings Euro Put Wts Sep 2014 B	0.250	428 per 100 units	2014/09/22	50,000,000	2014/02/21
13961	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Aug14B	0.250	3.33 per unit	2014/08/20	50,000,000	2014/02/21
13980	UBS AG	BYD European Warrants Jul 2014 A	0.150	55.050	2014/07/07	70,000,000	2014/02/21
13986	UBS AG	Tencent Holdings European Warrants Aug 2014 B	0.230	740.50 per 100 units	2014/08/04	100,000,000	2014/02/21
13988	UBS AG	Tencent Holdings European Warrants Oct 2014 A	0.250	800 per 100 units	2014/10/20	100,000,000	2014/02/21
14008	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Aug 2015 A	0.250	1,100 per 100 units	2015/08/04	100,000,000	2014/02/24
14003	Credit Suisse AG	HSBC European Wts Jul 2014 C	0.150	88.900	2014/07/28	80,000,000	2014/02/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14004	Credit Suisse AG	HSBC European Put Warrants Jul 2014 D	0.260	75.980	2014/07/21	80,000,000	2014/02/24
14012	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 E	0.250	799 per 100 units	2014/08/25	100,000,000	2014/02/24
14011	Credit Suisse AG	Tencent Holdings Euro Put Wts Jul 2014 F	0.240	489.80 per 100 units	2014/07/28	80,000,000	2014/02/24
14026	Citigroup Global Mkt H Inc.	AIA European Wts Jul 2014 B	0.160	40.000	2014/07/31	100,000,000	2014/02/24
14027	Citigroup Global Mkt H Inc.	China Life Euro Wts Aug 2014 A	0.170	24.080	2014/08/22	150,000,000	2014/02/24
14024	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Aug 2014 B	0.250	76.880	2014/08/21	100,000,000	2014/02/24
14025	Citigroup Global Mkt H Inc.	Tencent European Wts Aug 2014 A	0.250	788 per 100 units	2014/08/25	100,000,000	2014/02/24
14009	Daiwa Capital Mkt	Tencent Holdings European Wts Aug 2014 B	0.250	800 per 100 units	2014/08/25	158,000,000	2014/02/24
14010	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Aug 2014 C	0.470	518 per 100 units	2014/08/25	108,000,000	2014/02/24
13995	HK Bank	HSI European Warrants Aug 2014 H	0.150	22,700 per 7,800 units	2014/08/28	200,000,000	2014/02/24
13994	HK Bank	HSI European Warrants Sep 2014 F	0.150	23,300 per 7,200 units	2014/09/29	200,000,000	2014/02/24
13996	HK Bank	HSI European Put Warrants Aug 2014 I	0.250	21,988 per 7,000 units	2014/08/28	150,000,000	2014/02/24
14013	J P Morgan SP BV	Kingsoft European Warrants Aug 2014 A	0.250	33.000	2014/08/28	80,000,000	2014/02/24
14015	J P Morgan SP BV	Tencent European Put Warrants Sep 2014 B	0.374	525 per 100 units	2014/09/01	150,000,000	2014/02/24
13997	Macquarie Bank Ltd.	NagaCorp European Warrants Apr 2015 A	0.150	9.500	2015/04/02	67,000,000	2014/02/24
13999	Macquarie Bank Ltd.	Sinopec Seg European Wts Jun 2015 A	0.250	11.880	2015/06/02	40,000,000	2014/02/24
14019	Standard Chartered Bank	Tencent Hldg Euro Wts Aug 2014 B	0.220	740 per 100 units	2014/08/04	100,000,000	2014/02/24
14017	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jul 2014 C	0.260	499.88 per 100 units	2014/07/25	100,000,000	2014/02/24
14000	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Oct14A	0.250	36.880	2014/10/06	60,000,000	2014/02/24
14001	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Aug 14 C	0.180	740 per 100 units	2014/08/04	150,000,000	2014/02/24
14002	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Nov 14 A	0.250	788 per 100 units	2014/11/05	150,000,000	2014/02/24
14005	UBS AG	Galaxy Ent European Warrants Nov 2015 A	0.190	75.85 per 100 units	2015/11/23	150,000,000	2014/02/24
14007	UBS AG	SJM Holdings European Warrants Jul 2014 B	0.250	25.850	2014/07/28	40,000,000	2014/02/24
14006	UBS AG	Tencent Holdings European Put Warrants Aug 2014 C	0.400	510 per 100 units	2014/08/25	100,000,000	2014/02/24
16774*	HK Bank	China Mobile European Warrants Jun 2015	0.057	70.88 per 100 units	2015/06/22	200,000,000	2014/02/24
14039	BOCI Asia Ltd.	BOCL European Warrants Oct 2014 A	0.150	3.60 per unit	2014/10/03	100,000,000	2014/02/25
14040	BOCI Asia Ltd.	China Shenhua European Warrants Aug 2014 A	0.150	23.000	2014/08/04	100,000,000	2014/02/25
14041	BOCI Asia Ltd.	Lenovo European Warrants Jun 2015 A	0.150	10.000	2015/06/29	100,000,000	2014/02/25
14042	BOCI Asia Ltd.	Tencent Holdings European Wts Sep 2014 B	0.280	700 per 100 units	2014/09/01	100,000,000	2014/02/25
14052	BNP Paribas Arbit Issu B.V.	CAM CSI300 Euro Wts Jan 2015 A	0.250	31.000	2015/01/05	90,000,000	2014/02/25
14049	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Oct 2014 B	0.160	25.050	2014/10/06	100,000,000	2014/02/25
14051	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wt May 2016 A	0.170	9.180	2016/05/04	100,000,000	2014/02/25
14048	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Aug 2014 B	0.170	80.380	2014/08/04	100,000,000	2014/02/25
14053	BNP Paribas Arbit Issu B.V.	Hang Lung Ppt Eu Wt Jun 2015 A	0.250	23.880	2015/06/02	76,000,000	2014/02/25
14056	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 A	0.250	848.88 per 100 units	2014/09/22	100,000,000	2014/02/25
14034	Goldman Sachs SP (Asia)	Tencent European Wt Aug 2014 C	0.251	748 per 100 units	2014/08/29	100,000,000	2014/02/25
14033	Goldman Sachs SP (Asia)	Tencent European Put Wt Aug 2014 B	0.268	502.80 per 100 units	2014/08/29	100,000,000	2014/02/25
14032	HK Bank	CPIC European Warrants Sep 2014 A	0.250	31.980	2014/09/30	80,000,000	2014/02/25
14030	HK Bank	Tencent European Warrants Aug 2014 D	0.250	740.50 per 100 units	2014/08/04	80,000,000	2014/02/25
14028	HK Bank	Tencent European Put Warrants Jul 2014 E	0.250	499.80 per 100 units	2014/07/25	80,000,000	2014/02/25
14029	HK Bank	Tencent European Put Warrants Aug 2014 C	0.380	530 per 100 units	2014/08/29	80,000,000	2014/02/25
14043	J P Morgan SP BV	Geely Auto European Warrants Sep 2014 A	0.250	3.30 per unit	2014/09/03	80,000,000	2014/02/25
14044	J P Morgan SP BV	Tencent European Warrants Oct 2014 B	0.250	888.88 per 100 units	2014/10/03	200,000,000	2014/02/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14055	Macquarie Bank Ltd.	Galaxy Ent European Put Wts Sep 2014 B	0.250	55.000	2014/09/02	40,000,000	2014/02/25
14036	SGA Societe Generale Acceptance N.V.	Evergrande Eu Wt Sep14 A	0.265	4.28 per unit	2014/09/03	50,000,000	2014/02/25
14054	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2014 A	0.285	118.000	2014/09/03	70,000,000	2014/02/25
10274	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Dec 2014 A	0.250	14,200 per 400 units	2014/12/12	150,000,000	2014/02/25
14037	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Mar 15 A	0.250	960 per 100 units	2015/03/04	150,000,000	2014/02/25
14038	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Sep14D	0.560	550 per 100 units	2014/09/03	150,000,000	2014/02/25
14046	UBS AG	HSI European Put Warrants Jul 2014 D	0.230	22,400 per 6,500 units	2014/07/30	300,000,000	2014/02/25
14047	UBS AG	Kingsoft European Warrants Jul 2014 B	0.180	35.430	2014/07/28	100,000,000	2014/02/25
14045	UBS AG	Tencent Holdings European Warrants Jan 2015 A	0.250	988 per 100 units	2015/01/30	100,000,000	2014/02/25
13957*	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2015	0.060	70.89 per 100 units	2015/06/25	170,000,000	2014/02/25
14067	BOCI Asia Ltd.	Tencent Holdings European Put Wts Aug 2014 A	0.280	500 per 100 units	2014/08/04	100,000,000	2014/02/26
14061	Citigroup Global Mkt H Inc.	Tencent European Wts Oct 2014 A	0.250	900 per 100 units	2014/10/20	100,000,000	2014/02/26
14062	Citigroup Global Mkt H Inc.	Tencent European Wts Dec 2014 A	0.250	1,000 per 100 units	2014/12/22	100,000,000	2014/02/26
14065	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Aug 2014 B	0.450	538 per 100 units	2014/08/25	100,000,000	2014/02/26
14057	HK Bank	AIA European Put Warrants Aug 2014 C	0.250	35.280	2014/08/29	100,000,000	2014/02/26
14058	HK Bank	Cheung Kong European Warrants Sep 2014 A	0.300	133.000	2014/09/30	80,000,000	2014/02/26
14059	HK Bank	Evergrande European Warrants Aug 2014 B	0.250	4.18 per unit	2014/08/29	60,000,000	2014/02/26
14060	HK Bank	HWL European Warrants Jul 2014 A	0.240	115.980	2014/07/07	80,000,000	2014/02/26
14081	J P Morgan SP BV	Tencent European Warrants Sep 2014 C	0.250	750 per 100 units	2014/09/01	200,000,000	2014/02/26
14074	Macquarie Bank Ltd.	China COSCO European Wts Oct 2014 A	0.250	4.50 per unit	2014/10/06	40,000,000	2014/02/26
14079	Macquarie Bank Ltd.	China Unicom European Wts Jan 2015 B	0.150	10.080	2015/01/05	80,000,000	2014/02/26
14075	Macquarie Bank Ltd.	Haier Elec European Wts Aug 2014 A	0.150	28.880	2014/08/11	70,000,000	2014/02/26
14080	Macquarie Bank Ltd.	Tencent European Warrants Jan 2015 A	0.250	888 per 100 units	2015/01/05	100,000,000	2014/02/26
14064	Standard Chartered Bank	HSBC European Warrants Jul 2014 A	0.150	92.550	2014/07/30	100,000,000	2014/02/26
14063	Standard Chartered Bank	SJM Hold European Wts Oct 2014 A	0.250	28.000	2014/10/30	80,000,000	2014/02/26
14072	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2014 A	0.285	148.000	2014/10/06	60,000,000	2014/02/26
14073	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Sep14 B	0.260	76.000	2014/09/03	100,000,000	2014/02/26
14070	UBS AG	Galaxy Ent European Warrants Jun 2015 A	0.180	64.93 per 100 units	2015/06/23	150,000,000	2014/02/26
14069	UBS AG	HSBC European Warrants Sep 2014 A	0.250	93.880	2014/09/29	100,000,000	2014/02/26
14089	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 F	0.250	768.88 per 100 units	2014/08/29	100,000,000	2014/02/27
14102	Bank of East Asia	Ch Mobile European Warrants Mar 2015 A	0.250	82.500	2015/03/02	40,000,000	2014/02/27
14100	Bank of East Asia	HKEx European Warrants Mar 2016 A	0.250	108 per 100 units	2016/03/29	80,000,000	2014/02/27
14101	Bank of East Asia	Tencent Holdings European Wts Jan 2015 A	0.250	888 per 100 units	2015/01/30	40,000,000	2014/02/27
14094	Macquarie Bank Ltd.	ASM Pacific European Wts Dec 2016 A	0.250	75 per 100 units	2016/12/02	80,000,000	2014/02/27
14093	Macquarie Bank Ltd.	Foxconn European Warrants Sep 2014 A	0.250	5 per unit	2014/09/02	40,000,000	2014/02/27
14091	Macquarie Bank Ltd.	Sinopharm European Warrants Sep 2014 B	0.150	28.000	2014/09/02	70,000,000	2014/02/27
14090	Macquarie Bank Ltd.	Towngas China European Wts Apr 2016 A	0.250	10.180	2016/04/05	40,000,000	2014/02/27
14087	Merrill Lynch Int'l & Co. C.V.	BOC HK Euro Wts Dec 2014 A	0.150	25.050	2014/12/19	70,000,000	2014/02/27
14086	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Put Wts Sep 2014 B	0.250	97.000	2014/09/29	40,000,000	2014/02/27
14084	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Aug 2014 B	0.216	77.000	2014/08/04	60,000,000	2014/02/27
14083	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2014 D	0.250	23,900 per 5,500 units	2014/08/28	100,000,000	2014/02/27
14082	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jul 2014 E	0.168	21,500 per 6,000 units	2014/07/30	100,000,000	2014/02/27
14085	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Sep 2014 B	0.250	118.100	2014/09/29	40,000,000	2014/02/27

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14088	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Sep 14 A	0.250	5.05 per unit	2014/09/03	40,000,000	2014/02/27
14095	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Jan15A	0.150	10.080	2015/01/05	150,000,000	2014/02/27
14092	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Dec 2014 A	0.155	5.50 per unit	2014/12/03	100,000,000	2014/02/27
14099	UBS AG	Sinopec Corp European Warrants Sep 2014 B	0.250	7.38 per unit	2014/09/29	50,000,000	2014/02/27
14098	UBS AG	Sinopec Corp European Put Warrants Jul 2014 C	0.300	5.99 per unit	2014/07/28	50,000,000	2014/02/27
14096	UBS AG	Greatwall Motor European Warrants Aug 2014 A	0.340	38.880	2014/08/27	30,000,000	2014/02/27
14097	UBS AG	PetroChina European Warrants Jul 2014 A	0.210	9.51 per unit	2014/07/28	50,000,000	2014/02/27
12515 [†]	UBS AG	Tencent Holdings European Warrants Sep 2014 A	0.305	700 per 100 units	2014/09/08	60,000,000	2014/02/27
14106	Credit Suisse AG	AIA European Warrants Jul 2014 B	0.150	40.000	2014/07/28	100,000,000	2014/02/28
14107	Credit Suisse AG	AIA European Warrants Dec 2014 C	0.150	42.900	2014/12/19	100,000,000	2014/02/28
14116	Bank of East Asia	CITIC Pacific European Wts Dec 2015 A	0.165	12.200	2015/12/28	80,000,000	2014/02/28
14117	Bank of East Asia	CNBM European Warrants Oct 2015 B	0.150	8.510	2015/10/27	80,000,000	2014/02/28
14115	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Dec 2014 A	0.251	31.080	2014/12/29	80,000,000	2014/02/28
14110	Goldman Sachs SP (Asia)	China Coal European Wts Sep 2014 A	0.261	4.80 per unit	2014/09/30	80,000,000	2014/02/28
14112	Goldman Sachs SP (Asia)	China Unicom Euro Wts Dec 2014 A	0.156	10.100	2014/12/31	80,000,000	2014/02/28
14111	Goldman Sachs SP (Asia)	Sands China Euro Wts Jul 2014 B	0.412	64.980	2014/07/28	80,000,000	2014/02/28
14109	Goldman Sachs SP (Asia)	SJM Holdings Euro Wts Nov 2014 A	0.281	26.880	2014/11/24	80,000,000	2014/02/28
14104	HK Bank	CITIC Bank European Warrants Aug 2014 A	0.250	5.08 per unit	2014/08/29	60,000,000	2014/02/28
14103	HK Bank	Sinopec Corp European Warrants Sep 2014 A	0.250	7.68 per unit	2014/09/30	80,000,000	2014/02/28
14105	HK Bank	Haitong Sec European Warrants Aug 2014 A	0.170	10.900	2014/08/25	80,000,000	2014/02/28
14119	J P Morgan SP BV	Esprit European Wts Dec 2014 A	0.170	15.800	2014/12/30	80,000,000	2014/02/28
14121	J P Morgan SP BV	HKEx European Warrants Oct 2014 A	0.250	140.000	2014/10/03	80,000,000	2014/02/28
14120	J P Morgan SP BV	Hutchison European Warrants Jul 2017 A	0.250	105 per 100 units	2017/07/03	200,000,000	2014/02/28
14118	J P Morgan SP BV	Li & Fung European Warrants Mar 2015 A	0.150	10.220	2015/03/26	150,000,000	2014/02/28
14108	Macquarie Bank Ltd.	Sh Electric European Wts Feb 2015 A	0.250	3.28 per unit	2015/02/03	40,000,000	2014/02/28
14127	BOCI Asia Ltd.	China Cinda European Warrants Sep 2014 A	0.320	6.28 per unit	2014/09/22	100,000,000	2014/03/03
14128	BOCI Asia Ltd.	China Cinda European Warrants Sep 2014 B	0.430	5.68 per unit	2014/09/03	100,000,000	2014/03/03
14145	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Sep 2014 A	0.150	6 per unit	2014/09/02	100,000,000	2014/03/03
14148	BNP Paribas Arbit Issu B.V.	China Cinda Eur Wts Oct 2014 A	0.410	7.30 per unit	2014/10/06	100,000,000	2014/03/03
14147	BNP Paribas Arbit Issu B.V.	China Cinda Eur Wts May 2017 A	0.250	4.880	2017/05/02	100,000,000	2014/03/03
14146	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Aug 2014 A	0.250	9.88 per unit	2014/08/04	92,000,000	2014/03/03
14137	Credit Suisse AG	China Cinda European Warrants Sep 2014 A	0.250	5.98 per unit	2014/09/02	100,000,000	2014/03/03
14138	Credit Suisse AG	China Cinda European Warrants Sep 2014 B	0.500	4.98 per unit	2014/09/02	100,000,000	2014/03/03
14141	Credit Suisse AG	CITIC Bank European Warrants Sep 2014 A	0.250	4.68 per unit	2014/09/02	80,000,000	2014/03/03
14153	Citigroup Global Mkt H Inc.	Ping An Euro Put Wt Jun 2014 C	0.210	58.880	2014/06/03	80,000,000	2014/03/03
14159	Bank of East Asia	CHALCO European Put Warrants Oct 2014 A	0.275	2.60 per unit	2014/10/22	50,000,000	2014/03/03
14156	Bank of East Asia	Ch Comm Cons European Wts Jul 2014 A	0.175	6.51 per unit	2014/07/30	60,000,000	2014/03/03
14155	Bank of East Asia	China Cinda European Warrants Sep 2014 A	0.690	4.50 per unit	2014/09/30	40,000,000	2014/03/03
14154	Bank of East Asia	China Cinda European Warrants Jan 2015 A	0.385	5.88 per unit	2015/01/19	40,000,000	2014/03/03
14158	Bank of East Asia	Galaxy Ent European Warrants Aug 2014 A	0.160	102.600	2014/08/06	70,000,000	2014/03/03
14130	Goldman Sachs SP (Asia)	Ping An European Wts Aug 2014 B	0.179	78.000	2014/08/18	80,000,000	2014/03/03
14131	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2014 A	0.253	828 per 100 units	2014/12/30	80,000,000	2014/03/03
14134	HK Bank	China Cinda European Warrants Dec 2016 A	0.250	5.080	2016/12/30	200,000,000	2014/03/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14149	J P Morgan SP BV	China Cinda European Warrants Sep 2014 A	0.300	5.40 per unit	2014/09/02	150,000,000	2014/03/03
14150	J P Morgan SP BV	China Cinda European Warrants Sep 2014 B	0.250	5.88 per unit	2014/09/02	150,000,000	2014/03/03
14152	J P Morgan SP BV	Galaxy Ent European Warrants Jul 2014 C	0.352	86.880	2014/07/30	100,000,000	2014/03/03
14151	J P Morgan SP BV	Shimao Property European Wts Nov 2014 A	0.250	16.800	2014/11/03	80,000,000	2014/03/03
14129	Macquarie Bank Ltd.	A50 China European Warrants Sep 2014 A	0.250	10.25 per unit	2014/09/02	40,000,000	2014/03/03
14136	Standard Chartered Bank	China Cinda Euro Wts Sep 2014 A	0.370	5.88 per unit	2014/09/02	50,000,000	2014/03/03
14135	Standard Chartered Bank	China Cinda Euro Wts Dec 2017 A	0.250	4.380	2017/12/29	300,000,000	2014/03/03
14123	Standard Chartered Bank	CITIC Bank Euro Wts Sep 2014 A	0.250	4.68 per unit	2014/09/08	50,000,000	2014/03/03
14124	Standard Chartered Bank	CITIC Bank European Wts Jan 2015 A	0.300	4.98 per unit	2015/01/07	50,000,000	2014/03/03
14122	Standard Chartered Bank	Esprit European Wts Sep 2014 A	0.250	13.880	2014/09/05	100,000,000	2014/03/03
14125	Standard Chartered Bank	HKEx European Warrants Sep 2014 A	0.250	148.000	2014/09/30	80,000,000	2014/03/03
14132	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Sep14A	0.385	6.38 per unit	2014/09/03	40,000,000	2014/03/03
14126	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Jan16A	0.150	12.180	2016/01/06	100,000,000	2014/03/03
14143	UBS AG	China Cinda European Warrants Sep 2014 A	0.440	5.88 per unit	2014/09/08	50,000,000	2014/03/03
14144	UBS AG	China Cinda European Warrants Sep 2014 B	0.330	6.38 per unit	2014/09/02	50,000,000	2014/03/03
14142	UBS AG	China Cinda European Warrants Dec 2016 A	0.250	4.680	2016/12/19	100,000,000	2014/03/03
14139	UBS AG	CITIC Bank European Warrants Aug 2014 A	0.200	4.81 per unit	2014/08/25	50,000,000	2014/03/03
14140	UBS AG	China Overseas European Warrants Aug 2014 A	0.150	22.050	2014/08/22	70,000,000	2014/03/03
13125 ⁹	J P Morgan SP BV	Lenovo European Warrants Mar 2015 A	0.071	9.280	2015/03/30	200,000,000	2014/03/03
14163	BOCI Asia Ltd.	HSBC European Put Warrants Sep 2014 B	0.200	72.880	2014/09/23	100,000,000	2014/03/04
14184	Citigroup Global Mkt H Inc.	CC Bank European Wt Aug 2014 A	0.210	5.50 per unit	2014/08/04	100,000,000	2014/03/04
14183	Citigroup Global Mkt H Inc.	ICBC European Wts Jul 2014 B	0.150	5 per unit	2014/07/28	100,000,000	2014/03/04
14176	Daiwa Capital Mkt	China Cinda European Warrants Sep 2014 A	0.250	6.68 per unit	2014/09/03	68,000,000	2014/03/04
14177	Daiwa Capital Mkt	China Cinda European Warrants Sep 2014 B	0.490	5.48 per unit	2014/09/03	68,000,000	2014/03/04
14170	Daiwa Capital Mkt	Hutchison European Warrants Jul 2014 A	0.240	115.980	2014/07/07	128,000,000	2014/03/04
14160	Goldman Sachs SP (Asia)	CC Bank European Wts Jul 2014 A	0.151	5.69 per unit	2014/07/30	80,000,000	2014/03/04
14162	Goldman Sachs SP (Asia)	China Cinda Euro Wts Sep 2014 A	0.390	5.38 per unit	2014/09/04	80,000,000	2014/03/04
14168	J P Morgan SP BV	Nine Dragons European Warrants Feb 2016 A	0.150	8.000	2016/02/02	150,000,000	2014/03/04
14164	Standard Chartered Bank	Minsheng Bank Euro Wts Mar 2016 A	0.150	7.390	2016/03/02	100,000,000	2014/03/04
14166	Standard Chartered Bank	Esprit European Wts Dec 2014 B	0.154	15.820	2014/12/30	100,000,000	2014/03/04
14167	Standard Chartered Bank	HuanengPower Euro Wts Sep 2014 A	0.320	8.28 per unit	2014/09/03	50,000,000	2014/03/04
14165	Standard Chartered Bank	Hutchison Euro Euro Wts Oct 2014 A	0.250	133.500	2014/10/30	80,000,000	2014/03/04
14178	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Nov 14 A	0.250	78.880	2014/11/05	100,000,000	2014/03/04
14179	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 14 A	0.195	888.88 per 100 units	2014/10/06	150,000,000	2014/03/04
14174	UBS AG	HSBC European Put Warrants Jul 2014 B	0.320	77.950	2014/07/03	100,000,000	2014/03/04
14172	UBS AG	Lenovo European Warrants Dec 2016 A	0.250	6.880	2016/12/19	100,000,000	2014/03/04
14201	BNP Paribas Arbit Issu B.V.	CITIC Pacific Eu Wt Jan 2016 A	0.180	12.180	2016/01/05	100,000,000	2014/03/05
14200	BNP Paribas Arbit Issu B.V.	CLP European Wts Feb 2015 A	0.270	65.000	2015/02/03	40,000,000	2014/03/05
14199	BNP Paribas Arbit Issu B.V.	R&F Ppt Euro Wts Mar 2016 A	0.250	10.280	2016/03/02	96,000,000	2014/03/05
14203	BNP Paribas Arbit Issu B.V.	KECL European Wts Jul 2015 A	0.150	14.880	2015/07/03	100,000,000	2014/03/05
14202	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Oct 2014 A	0.190	115.000	2014/10/06	58,000,000	2014/03/05
14198	Daiwa Capital Mkt	A50 China European Put Wts Sep 2014 B	0.250	7 per unit	2014/09/04	88,000,000	2014/03/05
14189	HK Bank	China Cinda European Warrants Sep 2014 A	0.500	5.38 per unit	2014/09/08	50,000,000	2014/03/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14188	HK Bank	Datang Power European Warrants Sep 2014 A	0.250	3.40 per unit	2014/09/30	60,000,000	2014/03/05
14190	HK Bank	Esprit European Warrants Oct 2014 A	0.180	14.820	2014/10/20	100,000,000	2014/03/05
14207	J P Morgan SP BV	BYD European Warrants Oct 2014 A	0.325	56.000	2014/10/03	80,000,000	2014/03/05
14196	J P Morgan SP BV	CSOP A50 ETF European Warrants Dec 2015 A	0.150	8.380	2015/12/29	200,000,000	2014/03/05
14197	J P Morgan SP BV	A50 China European Warrants Aug 2016 A	0.150	8.480	2016/08/01	200,000,000	2014/03/05
14185	J P Morgan SP BV	HSI European Put Warrants Sep 2014 F	0.250	22,400 per 8,000 units	2014/09/29	500,000,000	2014/03/05
14186	J P Morgan SP BV	HSI European Put Warrants Sep 2014 G	0.257	23,000 per 8,000 units	2014/09/29	500,000,000	2014/03/05
14208	Macquarie Bank Ltd.	CAM CSI300 European Wts Apr 2015 A	0.250	31.750	2015/04/02	40,000,000	2014/03/05
14194	Macquarie Bank Ltd.	Country Garden European Wts Nov 2014 A	0.250	4.80 per unit	2014/11/04	40,000,000	2014/03/05
14204	Macquarie Bank Ltd.	China Cinda European Warrants Sep 14 A	0.250	6.50 per unit	2014/09/04	40,000,000	2014/03/05
14205	Macquarie Bank Ltd.	China Cinda European Warrants Jan 17 A	0.250	5.000	2017/01/04	80,000,000	2014/03/05
14195	Macquarie Bank Ltd.	Chi Resources European Wts Mar 2015 A	0.250	25.880	2015/03/03	70,000,000	2014/03/05
14206	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Oct 2014 A	0.250	10.50 per unit	2014/10/06	40,000,000	2014/03/05
14193	Macquarie Bank Ltd.	Link REIT European Warrants Apr 2015 A	0.250	40.000	2015/04/02	40,000,000	2014/03/05
14191	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Jan16B	0.250	124.80 per 100 units	2016/01/06	300,000,000	2014/03/05
14209	UBS AG	BYD European Warrants Sep 2014 A	0.250	73.880	2014/09/04	40,000,000	2014/03/05
14210	UBS AG	Sinopec Corp European Warrants Aug 2014 A	0.170	8.01 per unit	2014/08/04	60,000,000	2014/03/05
14192	UBS AG	Link REIT European Warrants Sep 2014 A	0.250	36.000	2014/09/04	40,000,000	2014/03/05
14260	BNP Paribas Arbit Issu B.V.	Country Garden Eu Wt Dec 2014A	0.300	5 per unit	2014/12/02	35,000,000	2014/03/06
14261	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Oct 2014 A	0.250	8.25 per unit	2014/10/06	100,000,000	2014/03/06
14262	BNP Paribas Arbit Issu B.V.	Chi Res Land Eu Wt Oct 2014 A	0.160	20.000	2014/10/06	100,000,000	2014/03/06
14259	BNP Paribas Arbit Issu B.V.	Samsonite Euro Wts Dec 2014 A	0.290	23.000	2014/12/02	58,000,000	2014/03/06
14257	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Nov 2014 A	0.250	955 per 100 units	2014/11/04	100,000,000	2014/03/06
14258	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Oct 2014 B	0.470	550 per 100 units	2014/10/06	100,000,000	2014/03/06
14245	Credit Suisse AG	Sinopec Corp European Warrants Jun 2014 A	0.150	8.29 per unit	2014/06/30	80,000,000	2014/03/06
14248	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 B	0.250	887.88 per 100 units	2014/09/05	100,000,000	2014/03/06
14246	Credit Suisse AG	Tencent Holdings Euro Put Wts Aug 2014 G	0.310	510 per 100 units	2014/08/18	100,000,000	2014/03/06
14247	Daiwa Capital Mkt	Tencent Holdings European Wts Sep 2014 B	0.250	900 per 100 units	2014/09/05	158,000,000	2014/03/06
14254	Bank of East Asia	China Overseas Euro Warrants Aug 2014 A	0.150	22.050	2014/08/22	70,000,000	2014/03/06
14256	Bank of East Asia	SJM Holdings European Wts Dec 2014 A	0.250	28.880	2014/12/22	50,000,000	2014/03/06
14252	Goldman Sachs SP (Asia)	CITIC Bank European Wts Sep 2014 A	0.257	4.88 per unit	2014/09/30	80,000,000	2014/03/06
14253	Goldman Sachs SP (Asia)	Tencent European Wt Sep 2014 A	0.254	888 per 100 units	2014/09/30	80,000,000	2014/03/06
14227	J P Morgan SP BV	Sinopec Corp European Warrants Oct 2014 A	0.251	7.88 per unit	2014/10/03	150,000,000	2014/03/06
14226	J P Morgan SP BV	HSBC European Warrants Oct 2014 A	0.250	90.000	2014/10/03	200,000,000	2014/03/06
14225	J P Morgan SP BV	Ping An European Warrants Jul 2014 B	0.150	75.000	2014/07/28	180,000,000	2014/03/06
14228	J P Morgan SP BV	Tencent European Put Warrants Sep 2014 D	0.397	550 per 100 units	2014/09/01	200,000,000	2014/03/06
14218	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Sep 2014 A	0.250	3.60 per unit	2014/09/05	40,000,000	2014/03/06
14217	Macquarie Bank Ltd.	Ping An European Warrants Dec 2014 A	0.250	80.000	2014/12/02	40,000,000	2014/03/06
14239	Macquarie Bank Ltd.	Yurun Food European Wts Oct 2014 A	0.250	6 per unit	2014/10/06	40,000,000	2014/03/06
14222	Nomura Int'l plc	China Cinda European Warrants Sep 2014 A	0.250	6.48 per unit	2014/09/08	80,000,000	2014/03/06
14223	Nomura Int'l plc	China Cinda European Warrants Dec 2016 A	0.250	4.880	2016/12/28	80,000,000	2014/03/06
14221	Nomura Int'l plc	China Cinda European Warrants Feb 2017 A	0.250	5.380	2017/02/28	80,000,000	2014/03/06
14219	Nomura Int'l plc	OOIL European Warrants Oct 2014 A	0.250	48.000	2014/10/28	50,000,000	2014/03/06

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14224	Nomura Int'l plc	Sunny Optical European Warrants Dec 2015A	0.250	9.000	2015/12/28	50,000,000	2014/03/06
14233	Standard Chartered Bank	BYD European Warrants Aug 2014 A	0.450	53.880	2014/08/21	50,000,000	2014/03/06
14235	Standard Chartered Bank	BYD European Warrants Sep 2014 A	0.250	63.880	2014/09/05	50,000,000	2014/03/06
14230	Standard Chartered Bank	CITIC Pacific Euro Wts Dec 2017 A	0.250	13.280	2017/12/29	100,000,000	2014/03/06
14231	Standard Chartered Bank	CNOOC European Warrants Sep 2014 A	0.250	10.120	2014/09/05	100,000,000	2014/03/06
14234	Standard Chartered Bank	Sinopec Corp Euro Wts Sep 2014 A	0.250	7.58 per unit	2014/09/08	50,000,000	2014/03/06
14236	Standard Chartered Bank	Kingsoft European Wts Aug 2014 A	0.220	32.000	2014/08/15	80,000,000	2014/03/06
14237	Standard Chartered Bank	Kingsoft European Wts Aug 2014 B	0.360	27.380	2014/08/22	80,000,000	2014/03/06
14229	Standard Chartered Bank	Lenovo European Wts Aug 2014 A	0.330	10.02 per unit	2014/08/27	50,000,000	2014/03/06
14211	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Oct 2014 A	0.230	39.330	2014/10/06	100,000,000	2014/03/06
14212	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Oct 2014 A	0.255	68.000	2014/10/06	40,000,000	2014/03/06
14214	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Oct14B	0.510	13.88 per unit	2014/10/06	20,000,000	2014/03/06
14213	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Apr 2017A	0.155	128 per 100 units	2017/04/03	300,000,000	2014/03/06
14238	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Nov 2014B	0.250	8.68 per unit	2014/11/05	80,000,000	2014/03/06
14215	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jun16A	0.185	90.88 per 100 units	2016/06/06	500,000,000	2014/03/06
14216	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Sep 14 A	0.160	77.280	2014/09/03	100,000,000	2014/03/06
14244	UBS AG	AIA European Put Warrants Sep 2014 B	0.150	33.930	2014/09/23	70,000,000	2014/03/06
14251	UBS AG	CITIC Pacific European Warrants Dec 2015 A	0.190	12.200	2015/12/28	55,000,000	2014/03/06
14241	UBS AG	Hutchison European Warrants Sep 2014 A	0.480	110.880	2014/09/22	100,000,000	2014/03/06
14242	UBS AG	Hutchison European Warrants Sep 2014 B	0.250	126.880	2014/09/29	100,000,000	2014/03/06
14240	UBS AG	ICBC European Warrants Aug 2014 B	0.170	4.89 per unit	2014/08/11	100,000,000	2014/03/06
14249	UBS AG	Tencent Holdings European Put Warrants Jul 2014 F	0.180	489.80 per 100 units	2014/07/28	100,000,000	2014/03/06
14243	UBS AG	Tencent Holdings European Put Warrants Sep 2014 B	0.450	549.50 per 100 units	2014/09/01	100,000,000	2014/03/06
14250	UBS AG	Tencent Holdings European Put Warrants Sep 2014 C	0.150	439.80 per 100 units	2014/09/08	100,000,000	2014/03/06
14012 ⁹	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 E	0.218	799 per 100 units	2014/08/25	100,000,000	2014/03/06
14264	BOCI Asia Ltd.	CAM CSI300 European Warrants Dec 2014 A	0.170	30.880	2014/12/12	100,000,000	2014/03/07
14263	BOCI Asia Ltd.	BYD European Warrants Sep 2014 A	0.380	60.000	2014/09/15	100,000,000	2014/03/07
14265	BOCI Asia Ltd.	CITIC Sec European Warrants Sep 2014 B	0.150	18.000	2014/09/01	100,000,000	2014/03/07
14266	BOCI Asia Ltd.	Tencent Holdings European Wts Oct 2014 A	0.340	800 per 100 units	2014/10/13	100,000,000	2014/03/07
14290	Credit Suisse AG	Hutchison European Warrants Aug 2014 B	0.320	118.000	2014/08/25	100,000,000	2014/03/07
14289	Credit Suisse AG	Tencent Holdings Euro Put Wts Aug 2014 H	0.330	530 per 100 units	2014/08/25	100,000,000	2014/03/07
14296	Citigroup Global Mkt H Inc.	HSBC European Wts Aug 2014 A	0.280	83.000	2014/08/27	100,000,000	2014/03/07
14297	Citigroup Global Mkt H Inc.	HSBC European Wts Sep 2014 A	0.200	93.880	2014/09/22	100,000,000	2014/03/07
14295	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Jul 2014 A	0.190	9.50 per unit	2014/07/28	70,000,000	2014/03/07
14293	Bank of East Asia	Ping An European Warrants Jan 2016 A	0.150	59.95 per 100 units	2016/01/08	120,000,000	2014/03/07
14298	Goldman Sachs SP (Asia)	Tencent European Put Wt Sep 2014 B	0.431	540 per 100 units	2014/09/29	80,000,000	2014/03/07
14294	J P Morgan SP BV	Tencent European Put Warrants Oct 2014 C	0.480	575 per 100 units	2014/10/03	150,000,000	2014/03/07
14291	Macquarie Bank Ltd.	Hutchison European Warrants Nov 2014 A	0.252	135.000	2014/11/04	100,000,000	2014/03/07
14281	Standard Chartered Bank	CITIC Pacific Euro Wts Feb 2017 A	0.270	11.000	2017/02/01	100,000,000	2014/03/07
14284	Standard Chartered Bank	Foxconn European Wts Nov 2014 A	0.380	6.68 per unit	2014/11/03	50,000,000	2014/03/07
14273	Standard Chartered Bank	Lenovo European Wts Dec 2015 A	0.150	9.000	2015/12/15	100,000,000	2014/03/07
14275	Standard Chartered Bank	Tencent Hldg Euro Wts Aug 2014 C	0.260	800 per 100 units	2014/08/18	100,000,000	2014/03/07
14276	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2014 B	0.250	848.88 per 100 units	2014/09/15	100,000,000	2014/03/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14277	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2014 C	0.250	888.88 per 100 units	2014/09/24	100,000,000	2014/03/07
14280	Standard Chartered Bank	Tencent Hldg Eu Put Wts Aug 2014 D	0.300	518 per 100 units	2014/08/18	100,000,000	2014/03/07
14285	SGA Societe Generale Acceptance N.V.	Foxconn Eu Wt Oct14 A	0.430	5.20 per unit	2014/10/06	40,000,000	2014/03/07
14267	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Oct14A	0.250	4.20 per unit	2014/10/06	60,000,000	2014/03/07
14286	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2017 A	0.175	105 per 100 units	2017/07/05	500,000,000	2014/03/07
14269	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Dec14A	0.250	42.880	2014/12/03	60,000,000	2014/03/07
14270	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Dec14A	0.250	100.000	2014/12/03	100,000,000	2014/03/07
14272	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Oct 2014A	0.205	115.000	2014/10/06	50,000,000	2014/03/07
14287	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Oct14A	0.365	6.50 per unit	2014/10/06	30,000,000	2014/03/07
14292	UBS AG	Hutchison European Warrants Oct 2014 A	0.250	138.880	2014/10/10	100,000,000	2014/03/07
14319	Credit Suisse AG	CC Bank European Warrants Sep 2014 C	0.150	6 per unit	2014/09/29	80,000,000	2014/03/10
14316	Credit Suisse AG	China EB Int'l European Wts Dec 2014 B	0.230	12.300	2014/12/24	70,000,000	2014/03/10
14313	Credit Suisse AG	China Cinda European Warrants Dec 2016 A	0.170	5.090	2016/12/23	128,000,000	2014/03/10
14317	Credit Suisse AG	CITIC Pacific European Wts Dec 2015 A	0.150	12.200	2015/12/28	70,000,000	2014/03/10
14321	Credit Suisse AG	CITIC Sec European Warrants Oct 2014 A	0.160	18.700	2014/10/27	70,000,000	2014/03/10
14323	Credit Suisse AG	A50 China European Warrants Sep 2014 A	0.320	9.38 per unit	2014/09/10	80,000,000	2014/03/10
14315	Credit Suisse AG	Geely Auto European Warrants Aug 2014 A	0.150	3.49 per unit	2014/08/25	70,000,000	2014/03/10
14322	Credit Suisse AG	Nine Dragons European Warrants Sep 2014 A	0.290	8.28 per unit	2014/09/10	70,000,000	2014/03/10
14320	Credit Suisse AG	Yanzhou Coal European Warrants Aug 2014 B	0.150	7.26 per unit	2014/08/29	70,000,000	2014/03/10
14336	Bank of East Asia	BYD European Warrants Dec 2016 A	0.250	41.211 per 100 units	2016/12/30	150,000,000	2014/03/10
14338	Bank of East Asia	Ch Mobile European Put Warrants Mar 15 B	0.250	62.500	2015/03/02	40,000,000	2014/03/10
14301	HK Bank	BYD European Warrants Sep 2014 A	0.550	58.880	2014/09/30	60,000,000	2014/03/10
14302	HK Bank	CITIC Pacific European Warrants Dec 2015 A	0.150	12.190	2015/12/28	100,000,000	2014/03/10
14306	HK Bank	Kingsoft European Warrants Sep 2014 A	0.280	29.880	2014/09/30	60,000,000	2014/03/10
14307	HK Bank	PetroChina European Warrants Sep 2014 A	0.250	9.38 per unit	2014/09/30	60,000,000	2014/03/10
14303	HK Bank	Tencent European Warrants Aug 2014 E	0.290	788.50 per 100 units	2014/08/18	80,000,000	2014/03/10
14310	J P Morgan SP BV	China Cinda European Warrants Dec 2016 A	0.154	4.680	2016/12/23	300,000,000	2014/03/10
14311	J P Morgan SP BV	China Cinda European Warrants Jan 2017 A	0.150	5.080	2017/01/03	300,000,000	2014/03/10
14324	Macquarie Bank Ltd.	BYD European Warrants Oct 2014 A	0.250	70.000	2014/10/06	40,000,000	2014/03/10
14309	Standard Chartered Bank	PetroChina European Wts Oct 2014 A	0.250	9.58 per unit	2014/10/30	80,000,000	2014/03/10
14308	Standard Chartered Bank	Xinyi Glass Euro Wts Oct 2014 A	0.250	9.88 per unit	2014/10/30	50,000,000	2014/03/10
14327	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Dec16A	0.170	5.080	2016/12/28	300,000,000	2014/03/10
14326	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt Oct14B	0.280	88.000	2014/10/06	70,000,000	2014/03/10
14300	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt Oct14A	0.275	49.880	2014/10/06	60,000,000	2014/03/10
14328	UBS AG	BYD European Warrants Jun 2014 B	0.270	62.050	2014/06/26	70,000,000	2014/03/10
14312	UBS AG	Sinopec Corp European Warrants Aug 2014 B	0.150	9.29 per unit	2014/08/25	70,000,000	2014/03/10
14329	UBS AG	Hutchison European Warrants Jun 2014 E	0.150	128.200	2014/06/23	100,000,000	2014/03/10
14334	UBS AG	Kingsoft European Warrants Jul 2014 C	0.180	39.930	2014/07/21	100,000,000	2014/03/10
14331	UBS AG	PetroChina European Warrants Aug 2014 A	0.370	8.39 per unit	2014/08/04	70,000,000	2014/03/10
14335	UBS AG	Sands China European Warrants Aug 2014 C	0.180	92.550	2014/08/26	70,000,000	2014/03/10
14333	UBS AG	Tencent Holdings European Warrants Aug 2014 D	0.260	800.50 per 100 units	2014/08/25	100,000,000	2014/03/10
14330	UBS AG	Tencent Holdings European Warrants Sep 2014 D	0.230	849 per 100 units	2014/09/15	100,000,000	2014/03/10
14144 [#]	UBS AG	China Cinda European Warrants Sep 2014 B	0.150	6.38 per unit	2014/09/02	100,000,000	2014/03/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
10275	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Sep14 A	0.250	14,800 per 400 units	2014/09/12	100,000,000	2014/03/11
10276	BNP Paribas Arbit Issu B.V.	Nikkei 225 Eu Put Wt Sep 2014B	0.250	14,400 per 400 units	2014/09/12	100,000,000	2014/03/11
14360	Credit Suisse AG	HKEx European Warrants Jul 2014 C	0.180	136.900	2014/07/21	100,000,000	2014/03/11
14361	Credit Suisse AG	Hutchison European Warrants Sep 2014 A	0.320	129.280	2014/09/29	100,000,000	2014/03/11
14363	Credit Suisse AG	Kingsoft European Put Warrants Sep 2014 A	0.250	22.880	2014/09/10	100,000,000	2014/03/11
14373	Citigroup Global Mkt H Inc.	BOCL European Wts Aug 2014 A	0.150	3.28 per unit	2014/08/25	100,000,000	2014/03/11
14354	Daiwa Capital Mkt	Hutchison European Put Wts Sep 2014 A	0.320	91.800	2014/09/10	80,000,000	2014/03/11
14343	HK Bank	CITIC Sec European Warrants Oct 2014 A	0.150	18.700	2014/10/23	100,000,000	2014/03/11
14340	HK Bank	China Tel European Warrants Oct 2014 A	0.250	3.68 per unit	2014/10/31	80,000,000	2014/03/11
14341	HK Bank	Ping An European Warrants Sep 2014 A	0.250	74.880	2014/09/30	80,000,000	2014/03/11
14342	HK Bank	Ping An European Warrants Sep 2014 B	0.300	70.380	2014/09/30	80,000,000	2014/03/11
14339	HK Bank	SHK Ppt European Warrants Sep 2014 A	0.290	104.880	2014/09/30	60,000,000	2014/03/11
14356	J P Morgan SP BV	China EB Int'l European Wts Jul 2015 A	0.250	13.800	2015/07/02	200,000,000	2014/03/11
14367	J P Morgan SP BV	China Mob European Wts Oct 2014 A	0.250	80.880	2014/10/03	150,000,000	2014/03/11
14357	J P Morgan SP BV	Hutchison European Warrants Oct 2014 A	0.299	122.000	2014/10/03	100,000,000	2014/03/11
14355	J P Morgan SP BV	Kingsoft European Warrants Sep 2014 A	0.250	35.880	2014/09/29	150,000,000	2014/03/11
14358	J P Morgan SP BV	Tencent European Warrants Oct 2014 D	0.250	808 per 100 units	2014/10/03	200,000,000	2014/03/11
14359	Macquarie Bank Ltd.	Sinopec Corp European Wts Jan 2015 A	0.250	9 per unit	2015/01/05	40,000,000	2014/03/11
14365	Standard Chartered Bank	China Cinda Euro Wts Aug 2014 A	0.310	5.38 per unit	2014/08/28	50,000,000	2014/03/11
14364	Standard Chartered Bank	China Cinda Euro Wts Dec 2016 A	0.250	3.580	2016/12/30	300,000,000	2014/03/11
14348	Standard Chartered Bank	CNOOC European Put Wts Jan 2015 B	0.215	12.880	2015/01/23	100,000,000	2014/03/11
14353	Standard Chartered Bank	Galaxy Ent European Wts Nov 2015 A	0.250	68.88 per 100 units	2015/11/30	150,000,000	2014/03/11
14347	Standard Chartered Bank	SHK P European Warrants Oct 2014 A	0.400	100.000	2014/10/10	100,000,000	2014/03/11
14366	Standard Chartered Bank	SHK P European Warrants Oct 2017 A	0.250	80 per 100 units	2017/10/27	100,000,000	2014/03/11
14346	SGA Societe Generale Acceptance N.V.	Henganintl Eu Wt Apr16A	0.225	76 per 100 units	2016/04/06	150,000,000	2014/03/11
14345	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Apr 2016 A	0.225	108 per 100 units	2016/04/06	300,000,000	2014/03/11
14344	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Oct14A	0.170	38.800	2014/10/06	60,000,000	2014/03/11
14368	UBS AG	China Cinda European Warrants Dec 2016 B	0.200	5.090	2016/12/28	100,000,000	2014/03/11
14351	UBS AG	Chow Tai Fook European Warrants Feb 2015 A	0.170	15.900	2015/02/24	60,000,000	2014/03/11
14352	UBS AG	Geely Auto European Warrants Sep 2014 A	0.250	3.18 per unit	2014/09/10	40,000,000	2014/03/11
14372	UBS AG	Kingsoft European Warrants Sep 2014 A	0.250	44.880	2014/09/29	100,000,000	2014/03/11
14371	UBS AG	Tencent Holdings European Warrants Mar 2015 A	0.250	1,150 per 100 units	2015/03/31	100,000,000	2014/03/11
14350	UBS AG	ZTE European Warrants Jul 2014 C	0.210	15.900	2014/07/28	50,000,000	2014/03/11
14349	UBS AG	ZTE European Warrants Oct 2014 A	0.250	17.380	2014/10/27	50,000,000	2014/03/11
14132 [†]	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Sep14A	0.147	6.38 per unit	2014/09/03	75,000,000	2014/03/11
25133 [†]	UBS AG	Minsheng Bank European Warrants Dec 2014 A	0.043	8.890	2014/12/22	235,000,000	2014/03/11
10280	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Wts Dec 2014 A	0.250	1.44 per 1 units	2014/12/18	100,000,000	2014/03/12
10281	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Put Wt Dec 2014 B	0.250	1.32 per 1 units	2014/12/18	100,000,000	2014/03/12
10277	BNP Paribas Arbit Issu B.V.	Gold European Wts Dec 2014 A	0.250	1,400 per 3,500 units	2014/12/18	100,000,000	2014/03/12
10278	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Dec 14 B	0.250	1,280 per 3,500 units	2014/12/18	100,000,000	2014/03/12
10279	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Wt Nov 2014 B	0.250	98 per 300 units	2014/11/18	100,000,000	2014/03/12
10282	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Wts Dec 2014 A	0.250	107 per 1 units	2014/12/18	100,000,000	2014/03/12
10283	BNP Paribas Arbit Issu B.V.	USD/YEN Eur Put Wts Dec 2014 B	0.250	96 per 1 units	2014/12/18	100,000,000	2014/03/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14408	Credit Suisse AG	Kingsoft European Warrants Sep 2014 B	0.250	43.280	2014/09/12	60,000,000	2014/03/12
14396	Daiwa Capital Mkt	Agricultural Bank European Wts Aug 2014 A	0.150	3.27 per unit	2014/08/01	108,000,000	2014/03/12
14397	Daiwa Capital Mkt	Agricultural Bank Euro Put Wt Nov 2014 A	0.250	2.78 per unit	2014/11/03	80,000,000	2014/03/12
14399	Daiwa Capital Mkt	CCB European Warrants Aug 2014 C	0.220	5.27 per unit	2014/08/01	108,000,000	2014/03/12
14395	Daiwa Capital Mkt	CCB European Put Warrants Nov 2014 A	0.250	4.18 per unit	2014/11/28	80,000,000	2014/03/12
14398	Daiwa Capital Mkt	ICBC European Put Warrants Aug 2014 C	0.200	4.03 per unit	2014/08/22	80,000,000	2014/03/12
14387	Goldman Sachs SP (Asia)	BYD European Warrants Sep 2014 A	0.587	58.880	2014/09/29	80,000,000	2014/03/12
14413	Goldman Sachs SP (Asia)	China Coal European Wts Sep 2014 B	0.252	4.38 per unit	2014/09/30	80,000,000	2014/03/12
14390	Goldman Sachs SP (Asia)	China EB Int'l Euro Wts Mar 2015 A	0.252	13.080	2015/03/30	80,000,000	2014/03/12
14389	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Sep 2014 A	0.269	7.80 per unit	2014/09/30	80,000,000	2014/03/12
14392	Goldman Sachs SP (Asia)	Geely Auto European Wts Oct 2014 A	0.281	3.08 per unit	2014/10/28	80,000,000	2014/03/12
14391	Goldman Sachs SP (Asia)	Sands China Euro Wts Jun 2014 D	0.225	78.050	2014/06/25	80,000,000	2014/03/12
14388	Goldman Sachs SP (Asia)	SHK Ppt European Wts Sep 2014 A	0.255	110.000	2014/09/30	80,000,000	2014/03/12
14412	Goldman Sachs SP (Asia)	Xinyi Glass European Wts Sep 2014A	0.643	7.88 per unit	2014/09/30	80,000,000	2014/03/12
14378	HK Bank	Minsheng Bank European Warrants Sep 2014 C	0.350	8.58 per unit	2014/09/30	60,000,000	2014/03/12
14380	HK Bank	GCL-Poly Energy European Warrants Sep 2014 A	0.250	3.48 per unit	2014/09/30	60,000,000	2014/03/12
14379	HK Bank	Geely Auto European Warrants Sep 2014 A	0.250	3.18 per unit	2014/09/30	60,000,000	2014/03/12
14381	HK Bank	SJM Holdings European Warrants Sep 2014 A	0.250	28.880	2014/09/30	100,000,000	2014/03/12
14411	J P Morgan SP BV	BJ Ent Water European Warrants Sep 2014 A	0.304	6.80 per unit	2014/09/29	70,000,000	2014/03/12
14410	J P Morgan SP BV	China Cinda European Warrants Sep 2014 C	0.297	4.88 per unit	2014/09/12	150,000,000	2014/03/12
14377	J P Morgan SP BV	Hutchison European Put Wts Oct 2014 B	0.349	98.000	2014/10/03	80,000,000	2014/03/12
14376	J P Morgan SP BV	PetroChina European Warrants Oct 2014 A	0.250	9.50 per unit	2014/10/03	100,000,000	2014/03/12
14375	J P Morgan SP BV	Tencent European Warrants Dec 2014 A	0.251	999 per 100 units	2014/12/01	150,000,000	2014/03/12
14406	Macquarie Bank Ltd.	China Agri European Wts Dec 2014 A	0.250	4.28 per unit	2014/12/02	40,000,000	2014/03/12
14407	Macquarie Bank Ltd.	CGS European Warrants Sep 2014 A	0.250	6.38 per unit	2014/09/12	40,000,000	2014/03/12
14384	Standard Chartered Bank	China EB Int'l Euro Wts Oct 2014 A	0.250	11.380	2014/10/31	100,000,000	2014/03/12
14383	Standard Chartered Bank	China EB Int'l Euro Wts Oct 2015 A	0.250	13.880	2015/10/30	100,000,000	2014/03/12
14415	Standard Chartered Bank	China Cinda Euro Wts Aug 2014 B	0.350	4.98 per unit	2014/08/26	50,000,000	2014/03/12
14414	Standard Chartered Bank	China Cinda Euro Wts Dec 2016 B	0.150	5.090	2016/12/23	300,000,000	2014/03/12
14385	Standard Chartered Bank	CTel European Warrants Nov 2014 A	0.315	3.38 per unit	2014/11/07	50,000,000	2014/03/12
14393	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Sep14B	0.245	5.68 per unit	2014/09/03	100,000,000	2014/03/12
14382	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jan 2015A	0.150	9.88 per unit	2015/01/05	100,000,000	2014/03/12
14394	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Nov 2014 A	0.250	140.880	2014/11/05	70,000,000	2014/03/12
14386	UBS AG	China Cinda European Warrants Aug 2014 A	0.380	4.99 per unit	2014/08/26	50,000,000	2014/03/12
14404	UBS AG	China Cinda European Warrants Sep 2014 C	0.330	5.39 per unit	2014/09/15	80,000,000	2014/03/12
14374	UBS AG	Sinopec Corp European Warrants Dec 2014 A	0.150	9.89 per unit	2014/12/24	70,000,000	2014/03/12
14403	UBS AG	HKEx European Warrants Feb 2016 A	0.250	110 per 100 units	2016/02/29	200,000,000	2014/03/12
14401	UBS AG	Kingsoft European Put Warrants Sep 2014 B	0.167	21.830	2014/09/11	60,000,000	2014/03/12
14423	BOCI Asia Ltd.	CC Bank European Warrants Aug 2014 B	0.160	5.59 per unit	2014/08/18	100,000,000	2014/03/13
14426	BOCI Asia Ltd.	Cheung Kong European Warrants Sep 2014 A	0.270	143.880	2014/09/22	100,000,000	2014/03/13
14424	BOCI Asia Ltd.	Sinopec Corp European Warrants Sep 2014 A	0.250	8 per unit	2014/09/29	100,000,000	2014/03/13
14425	BOCI Asia Ltd.	Hutchison European Warrants Sep 2014 A	0.270	130.000	2014/09/22	100,000,000	2014/03/13
14422	BOCI Asia Ltd.	KECL European Warrants Jul 2015 A	0.150	15.880	2015/07/28	100,000,000	2014/03/13

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14421	BOCI Asia Ltd.	Kingsoft European Warrants Oct 2014 A	0.320	36.000	2014/10/06	100,000,000	2014/03/13
14437	Credit Suisse AG	China Cinda European Warrants Aug 2014 A	0.200	5.49 per unit	2014/08/27	70,000,000	2014/03/13
14439	Daiwa Capital Mkt	China Mobile European Wts Aug 2014 B	0.200	76.980	2014/08/04	128,000,000	2014/03/13
14416	HK Bank	Agricultural Bank European Warrants Aug 2014 A	0.150	3.29 per unit	2014/08/01	80,000,000	2014/03/13
14419	HK Bank	China COSCO European Warrants Sep 2014 A	0.250	3.78 per unit	2014/09/30	60,000,000	2014/03/13
14418	HK Bank	China Cinda European Warrants Dec 2016 B	0.150	4.690	2016/12/12	150,000,000	2014/03/13
14420	HK Bank	Yanzhou Coal European Warrants Sep 2014 A	0.300	6.18 per unit	2014/09/30	60,000,000	2014/03/13
14441	J P Morgan SP BV	GCL-Poly European Warrants Oct 2014 A	0.328	3.80 per unit	2014/10/03	120,000,000	2014/03/13
14445	J P Morgan SP BV	Hutchison European Warrants Oct 2014 C	0.266	130.000	2014/10/03	80,000,000	2014/03/13
14443	J P Morgan SP BV	Hutchison European Warrants Jan 2015 A	0.267	140.000	2015/01/02	80,000,000	2014/03/13
14431	Macquarie Bank Ltd.	China Coal European Wts Oct 2014 B	0.334	4 per unit	2014/10/06	30,000,000	2014/03/13
14435	Macquarie Bank Ltd.	China Shenhua European Wts Nov 2014 A	0.250	19.500	2014/11/04	40,000,000	2014/03/13
14438	Macquarie Bank Ltd.	Chow Tai Fook European Wts Mar 2015 A	0.250	16.000	2015/03/03	40,000,000	2014/03/13
14434	Macquarie Bank Ltd.	Yanzhou Coal European Wts Oct 2014 A	0.250	6.25 per unit	2014/10/06	40,000,000	2014/03/13
14446	Standard Chartered Bank	China Mengniu Euro Wts Nov 2014 A	0.450	44.880	2014/11/28	100,000,000	2014/03/13
14440	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Dec16B	0.155	4.690	2016/12/21	500,000,000	2014/03/13
14427	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct14 A	0.290	9.18 per unit	2014/10/06	40,000,000	2014/03/13
14436	UBS AG	China Cinda European Warrants Dec 2017 A	0.185	4.370	2017/12/20	300,000,000	2014/03/13
14479	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 C	0.250	932.88 per 100 units	2014/09/15	100,000,000	2014/03/14
14483	Citigroup Global Mkt H Inc.	Fosun Intl European Wts Aug15A	0.250	11.380	2015/08/18	80,000,000	2014/03/14
14481	Citigroup Global Mkt H Inc.	Haitong Sec European Wt Jul15A	0.250	11.280	2015/07/27	100,000,000	2014/03/14
14482	Citigroup Global Mkt H Inc.	REXLot Holdings Eu Wt Sep 14 A	0.250	1.68 per unit	2014/09/15	80,000,000	2014/03/14
14480	Daiwa Capital Mkt	Tencent Holdings European Wts Nov 2014 A	0.250	1,008 per 100 units	2014/11/03	158,000,000	2014/03/14
14486	Goldman Sachs SP (Asia)	China Cinda Euro Wts Dec 2016 A	0.180	4.690	2016/12/12	80,000,000	2014/03/14
14485	Goldman Sachs SP (Asia)	Evergrande European Wts Nov 2014 A	0.252	4 per unit	2014/11/28	80,000,000	2014/03/14
14484	Goldman Sachs SP (Asia)	Yurun Food European Wts Oct 2014 A	0.479	5.08 per unit	2014/10/28	80,000,000	2014/03/14
14454	HK Bank	AIA European Warrants Nov 2014 A	0.250	40.880	2014/11/28	80,000,000	2014/03/14
14448	HK Bank	CC Bank European Warrants Jul 2014 D	0.150	5.69 per unit	2014/07/28	80,000,000	2014/03/14
14450	HK Bank	China Cinda European Warrants Sep 2014 B	0.350	4.78 per unit	2014/09/30	80,000,000	2014/03/14
14451	HK Bank	China Overseas European Warrants Sep 2014 A	0.150	22.050	2014/09/05	100,000,000	2014/03/14
14447	HK Bank	CPIC European Warrants Aug 2014 A	0.160	29.050	2014/08/29	80,000,000	2014/03/14
14456	HK Bank	Haitong Sec European Warrants Dec 2014 D	0.250	9.380	2014/12/31	100,000,000	2014/03/14
14449	HK Bank	ICBC European Warrants Aug 2014 B	0.150	4.89 per unit	2014/08/21	80,000,000	2014/03/14
14467	J P Morgan SP BV	BYD European Warrants Sep 2014 A	0.250	70.000	2014/09/30	80,000,000	2014/03/14
14468	J P Morgan SP BV	Greatwall Motor European Wts Sep 2014 A	0.250	36.880	2014/09/29	100,000,000	2014/03/14
14466	Macquarie Bank Ltd.	Hutchison European Warrants Jan 2016 A	0.250	100 per 100 units	2016/01/05	200,000,000	2014/03/14
14458	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2014 B	0.154	23,700 per 6,000 units	2014/09/29	100,000,000	2014/03/14
14459	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2014 C	0.250	22,300 per 7,000 units	2014/09/29	100,000,000	2014/03/14
14460	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2014 D	0.250	20,700 per 6,500 units	2014/09/29	100,000,000	2014/03/14
14461	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Sep 2014 C	0.260	138.000	2014/09/15	40,000,000	2014/03/14
14462	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Aug 2014 C	0.380	748.50 per 100 units	2014/08/22	40,000,000	2014/03/14
14464	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Aug 2014 D	0.260	799.50 per 100 units	2014/08/18	40,000,000	2014/03/14
14465	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Sep 2014B	0.365	549 per 100 units	2014/09/19	40,000,000	2014/03/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14457	Standard Chartered Bank	ICBC European Warrants Sep 2014 B	0.250	4.55 per unit	2014/09/30	100,000,000	2014/03/14
14455	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Dec 2014 A	0.250	4.88 per unit	2014/12/03	40,000,000	2014/03/14
14474	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Oct14A	0.250	6.08 per unit	2014/10/06	100,000,000	2014/03/14
14477	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jan 2015 A	0.250	5 per unit	2015/01/05	100,000,000	2014/03/14
14478	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Dec14A	0.250	7.50 per unit	2014/12/03	40,000,000	2014/03/14
14469	UBS AG	Geely Auto European Warrants Sep 2014 B	0.330	2.88 per unit	2014/09/17	40,000,000	2014/03/14
14472	UBS AG	Greatwall Motor European Warrants Sep 2014 A	0.300	35.880	2014/09/15	40,000,000	2014/03/14
14470	UBS AG	China Mengniu European Warrants Sep 2014 A	0.250	52.000	2014/09/15	40,000,000	2014/03/14
14105*	HK Bank	Haitong Sec European Warrants Aug 2014 A	0.101	10.900	2014/08/25	120,000,000	2014/03/14
14520	BNP Paribas Arbit Issu B.V.	BYD European Wts Oct 2014 B	0.250	88.000	2014/10/06	60,000,000	2014/03/17
14521	BNP Paribas Arbit Issu B.V.	Ch Oilfield Eur Wts Apr 2015 A	0.250	23.000	2015/04/02	100,000,000	2014/03/17
14522	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Put Wts Oct14B	0.290	23.000	2014/10/06	100,000,000	2014/03/17
14519	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Aug 2014 C	0.180	485 per 100 units	2014/08/04	100,000,000	2014/03/17
14529	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts Nov 2014 A	0.250	26.880	2014/11/10	100,000,000	2014/03/17
14523	Citigroup Global Mkt H Inc.	CGS European Wts Sep 2014 A	0.450	5.60 per unit	2014/09/16	30,000,000	2014/03/17
14531	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Aug 2014 C	0.240	73.380	2014/08/04	100,000,000	2014/03/17
14530	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Sep 2014 A	0.460	8.50 per unit	2014/09/16	60,000,000	2014/03/17
14525	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Aug 2014 A	0.400	118.000	2014/08/21	60,000,000	2014/03/17
14526	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Sep 2014 A	0.295	124.000	2014/09/25	60,000,000	2014/03/17
14528	Citigroup Global Mkt H Inc.	China Mengniu Eu Wts Sep 2014A	0.310	43.800	2014/09/16	50,000,000	2014/03/17
14492	Daiwa Capital Mkt	HKEx European Warrants Sep 2014 B	0.210	139.780	2014/09/15	98,000,000	2014/03/17
14493	Daiwa Capital Mkt	HKEx European Put Warrants Oct 2014 A	0.280	100.000	2014/10/17	80,000,000	2014/03/17
14517	Daiwa Capital Mkt	Tencent Holdings European Wts Aug 2014 D	0.220	888.38 per 100 units	2014/08/29	158,000,000	2014/03/17
14500	Goldman Sachs SP (Asia)	BJ Ent Water European Wts Oct14A	0.557	6.88 per unit	2014/10/31	20,000,000	2014/03/17
14497	Goldman Sachs SP (Asia)	Country Garden European Wts Oct14A	0.277	4.88 per unit	2014/10/30	40,000,000	2014/03/17
14499	Goldman Sachs SP (Asia)	CMS European Warrants Oct 2015 A	0.250	9.880	2015/10/30	40,000,000	2014/03/17
14515	Goldman Sachs SP (Asia)	Baiyunshan Ph European Wts Oct14A	0.478	31.800	2014/10/29	25,000,000	2014/03/17
14498	Goldman Sachs SP (Asia)	Haier Elec European Wts Oct14A	0.259	23.880	2014/10/30	40,000,000	2014/03/17
14487	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2014 C	0.151	21,528 per 6,000 units	2014/07/30	150,000,000	2014/03/17
14501	Goldman Sachs SP (Asia)	China Mengniu Euro Wt Dec 2014 A	0.368	50.080	2014/12/30	40,000,000	2014/03/17
14490	HK Bank	CC Bank European Warrants Sep 2014 B	0.250	5.40 per unit	2014/09/30	80,000,000	2014/03/17
14491	HK Bank	ICBC European Warrants Sep 2014 A	0.250	4.68 per unit	2014/09/30	80,000,000	2014/03/17
14489	HK Bank	Tencent European Warrants Aug 2014 G	0.400	769.38 per 100 units	2014/08/22	80,000,000	2014/03/17
14488	HK Bank	Tencent European Put Warrants Aug 2014 F	0.400	549.50 per 100 units	2014/08/27	80,000,000	2014/03/17
14494	J P Morgan SP BV	Anhui Conch European Warrants Oct 2014 A	0.250	32.000	2014/10/03	200,000,000	2014/03/17
14496	J P Morgan SP BV	China Mob European Wts Oct 2014 C	0.250	72.000	2014/10/03	200,000,000	2014/03/17
14495	J P Morgan SP BV	China Mob European Put Wts Oct 2014 B	0.250	65.000	2014/10/03	200,000,000	2014/03/17
14536	J P Morgan SP BV	CSOP A50 ETF European Warrants Jul 2014 B	0.150	9.39 per unit	2014/07/30	150,000,000	2014/03/17
14541	J P Morgan SP BV	China Tel European Warrants Sep 2014 A	0.250	3.50 per unit	2014/09/29	80,000,000	2014/03/17
14539	J P Morgan SP BV	A50 China European Warrants Jul 2014 B	0.165	9.19 per unit	2014/07/30	150,000,000	2014/03/17
14540	J P Morgan SP BV	Geely Auto European Warrants Sep 2014 B	0.250	2.88 per unit	2014/09/16	80,000,000	2014/03/17
14533	J P Morgan SP BV	ICBC European Warrants Sep 2014 A	0.250	4.75 per unit	2014/09/30	200,000,000	2014/03/17
14513	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Mar15A	0.250	16.000	2015/03/04	100,000,000	2014/03/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14511	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep 14 A	0.220	74.880	2014/09/03	100,000,000	2014/03/17
14514	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Nov 2014A	0.185	9.58 per unit	2014/11/05	60,000,000	2014/03/17
14504	UBS AG	Anhui Conch European Warrants Sep 2014 B	0.280	28.880	2014/09/16	70,000,000	2014/03/17
14503	UBS AG	CC Bank European Warrants Aug 2014 C	0.185	5.29 per unit	2014/08/01	100,000,000	2014/03/17
14506	UBS AG	China Mobile European Warrants Sep 2014 A	0.250	73.880	2014/09/24	100,000,000	2014/03/17
14509	UBS AG	China National Building European Wts Nov 2016 A	0.185	8.010	2016/11/24	100,000,000	2014/03/17
14502	UBS AG	SHK Ppt European Warrants Dec 2014 A	0.470	100.880	2014/12/29	50,000,000	2014/03/17
14508	UBS AG	Tencent Holdings European Warrants Oct 2014 B	0.250	938 per 100 units	2014/10/06	100,000,000	2014/03/17
13984*	J P Morgan SP BV	China Mob European Wts Jun 2015	0.040	70.88 per 100 units	2015/06/22	500,000,000	2014/03/17
14574	BOCI Asia Ltd.	ICBC European Warrants Sep 2014 A	0.150	4.89 per unit	2014/09/01	100,000,000	2014/03/18
14599	BNP Paribas Arbit Issu B.V.	CC Bank Euro Put Wt Nov 2014 A	0.250	4.38 per unit	2014/11/04	100,000,000	2014/03/18
14579	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Nov 2014 A	0.150	78.880	2014/11/04	100,000,000	2014/03/18
14597	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Oct 2014 A	0.250	63.800	2014/10/06	100,000,000	2014/03/18
14582	BNP Paribas Arbit Issu B.V.	Haitong Sec Eu Wts Dec 2015 A	0.250	11.800	2015/12/02	100,000,000	2014/03/18
14575	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Nov 2014 A	0.250	135.000	2014/11/04	100,000,000	2014/03/18
14578	BNP Paribas Arbit Issu B.V.	Hutchison Eu Put Wt Oct 2014 B	0.450	95.000	2014/10/06	100,000,000	2014/03/18
14596	BNP Paribas Arbit Issu B.V.	ICBC Euro Put Wts Nov 2014 A	0.290	4 per unit	2014/11/04	100,000,000	2014/03/18
14600	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wt Dec 2015A	0.250	14.000	2015/12/02	100,000,000	2014/03/18
14595	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Nov 2014 A	0.260	44.800	2014/11/04	100,000,000	2014/03/18
14581	BNP Paribas Arbit Issu B.V.	Wynn Macau Euro Wts Nov 2014 A	0.250	48.880	2014/11/04	100,000,000	2014/03/18
14588	Daiwa Capital Mkt	BYD European Warrants Sep 2014 A	0.340	78.000	2014/09/18	68,000,000	2014/03/18
14601	Goldman Sachs SP (Asia)	Ping An European Wts Aug 2014 C	0.227	68.920	2014/08/04	80,000,000	2014/03/18
14570	HK Bank	CM Bank European Warrants May 2015 A	0.150	13.700	2015/05/22	80,000,000	2014/03/18
14571	HK Bank	Minsheng Bank European Warrants Sep 2014 D	0.600	7.28 per unit	2014/09/30	60,000,000	2014/03/18
14565	HK Bank	China Mobile European Warrants Sep 2014 A	0.250	73.980	2014/09/30	80,000,000	2014/03/18
14569	HK Bank	China Shenhua European Warrants Nov 2014 A	0.170	21.050	2014/11/21	80,000,000	2014/03/18
14568	HK Bank	Galaxy Ent European Warrants Dec 2015 A	0.160	76.32 per 100 units	2015/12/23	150,000,000	2014/03/18
14573	HK Bank	PICC Group European Warrants Sep 2014 A	0.150	3.61 per unit	2014/09/25	80,000,000	2014/03/18
14572	HK Bank	Tencent European Warrants Sep 2014 A	0.580	678 per 100 units	2014/09/30	80,000,000	2014/03/18
14567	HK Bank	Tencent European Put Warrants Jul 2014 F	0.200	489.80 per 100 units	2014/07/29	80,000,000	2014/03/18
14543	J P Morgan SP BV	China Cinda European Warrants Aug 2014 A	0.150	6.50 per unit	2014/08/28	150,000,000	2014/03/18
14546	J P Morgan SP BV	China Cinda European Warrants Oct 2014 A	0.250	6.18 per unit	2014/10/03	150,000,000	2014/03/18
14587	J P Morgan SP BV	Tencent European Warrants Sep 2014 E	0.226	848.88 per 100 units	2014/09/15	200,000,000	2014/03/18
14564	Macquarie Bank Ltd.	Chinases Cement European Wt Oct 2014 A	0.250	6 per unit	2014/10/20	40,000,000	2014/03/18
14563	Macquarie Bank Ltd.	Jiangxi Copper European Wts May 2015 A	0.250	12.500	2015/05/05	40,000,000	2014/03/18
14550	Nomura Int'l plc	BJ Ent Water European Warrants Sep 2014 A	0.345	6.88 per unit	2014/09/29	50,000,000	2014/03/18
14556	Nomura Int'l plc	BYD European Warrants Sep 2014 A	0.305	74.880	2014/09/18	80,000,000	2014/03/18
14586	Nomura Int'l plc	CIMC Enric European Warrants Nov 2014 A	0.255	15.880	2014/11/28	50,000,000	2014/03/18
14557	Nomura Int'l plc	China Cinda European Warrants Aug 2014 A	0.160	5.40 per unit	2014/08/26	80,000,000	2014/03/18
14553	Nomura Int'l plc	Ch LongYuan European Warrants Nov 2015 A	0.150	11.900	2015/11/25	80,000,000	2014/03/18
14549	Nomura Int'l plc	Sinopec European Warrants Jul 2014 A	0.150	8 per unit	2014/07/28	80,000,000	2014/03/18
14555	Nomura Int'l plc	CSPC Pharma European Warrants Dec 2015 A	0.250	8.800	2015/12/28	80,000,000	2014/03/18
14548	Nomura Int'l plc	Geely Auto European Warrants Aug 2014 A	0.150	3.30 per unit	2014/08/27	80,000,000	2014/03/18

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14554	Nomura Int'l plc	HN Renewables European Warrants Sep 2014A	0.250	4.88 per unit	2014/09/18	80,000,000	2014/03/18
14551	Nomura Int'l plc	JNCEC European Warrants Sep 2014 A	0.348	5.68 per unit	2014/09/29	50,000,000	2014/03/18
14560	Nomura Int'l plc	Kingsoft European Warrants Sep 2014 B	0.320	35.380	2014/09/29	50,000,000	2014/03/18
14561	Nomura Int'l plc	Kingsoft European Warrants Sep 2014 C	0.250	42.000	2014/09/29	50,000,000	2014/03/18
14559	Nomura Int'l plc	Ping An European Warrants Sep 2014 A	0.257	68.880	2014/09/29	50,000,000	2014/03/18
14584	Nomura Int'l plc	Sihuan Pharm European Warrants Mar 2015 A	0.250	11.680	2015/03/30	50,000,000	2014/03/18
14552	Nomura Int'l plc	Tencent Holdings Euro Warrants Aug 2014 A	0.158	800.50 per 100 units	2014/08/18	80,000,000	2014/03/18
14583	Standard Chartered Bank	SPDR Gold Trust Euro Wt Dec 2014 A	0.300	1,188 per 100 units	2014/12/18	50,000,000	2014/03/18
14591	Standard Chartered Bank	YZ Coal European Wts Sep 2017 A	0.250	3.280	2017/09/08	100,000,000	2014/03/18
14590	Standard Chartered Bank	YZ Coal European Put Wts Dec 2014A	0.250	3.88 per unit	2014/12/03	50,000,000	2014/03/18
14593	UBS AG	China Mobile European Warrants Oct 2014 A	0.150	80.930	2014/10/03	100,000,000	2014/03/18
14594	UBS AG	CNOOC European Warrants Aug 2014 A	0.190	10.140	2014/08/29	100,000,000	2014/03/18
14592	UBS AG	CNOOC European Warrants Sep 2014 A	0.250	13.88 per unit	2014/09/17	40,000,000	2014/03/18
14611	BOCI Asia Ltd.	Tencent Holdings European Wts Oct 2014 B	0.250	950 per 100 units	2014/10/20	100,000,000	2014/03/19
14626	Credit Suisse AG	CC Bank European Warrants Jul 2014 E	0.150	5.29 per unit	2014/07/28	70,000,000	2014/03/19
14627	Credit Suisse AG	China Cinda European Warrants Dec 2017 A	0.160	4.390	2017/12/20	100,000,000	2014/03/19
14629	Credit Suisse AG	China Cinda European Put Warrants Sep14 C	0.400	3.28 per unit	2014/09/18	40,000,000	2014/03/19
14625	Credit Suisse AG	CNOOC European Warrants Aug 2014 C	0.180	10.140	2014/08/29	80,000,000	2014/03/19
14654	Citigroup Global Mkt H Inc.	CC Bank European Wt Jul 2014 D	0.150	5.28 per unit	2014/07/28	100,000,000	2014/03/19
14644	Citigroup Global Mkt H Inc.	CITIC Sec Euro Wts Aug 2014 B	0.150	16.880	2014/08/04	100,000,000	2014/03/19
14648	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Jun 2014 A	0.200	7.20 per unit	2014/06/30	100,000,000	2014/03/19
14649	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Sep 2014 A	0.190	7.80 per unit	2014/09/23	100,000,000	2014/03/19
14655	Citigroup Global Mkt H Inc.	HSBC European Wts Sep 2014 B	0.250	87.000	2014/09/18	100,000,000	2014/03/19
14650	Citigroup Global Mkt H Inc.	Ping An Euro Wts Aug 2014 B	0.345	64.380	2014/08/04	100,000,000	2014/03/19
14637	Goldman Sachs SP (Asia)	BOCL European Warrants Sep 2016 A	0.252	3.48 per unit	2016/09/30	80,000,000	2014/03/19
14640	Goldman Sachs SP (Asia)	CC Bank European Wts Sep 2014 B	0.255	5.38 per unit	2014/09/30	80,000,000	2014/03/19
14641	Goldman Sachs SP (Asia)	China Mobile Euro Wts Sep 2014 A	0.152	80.880	2014/09/30	80,000,000	2014/03/19
14635	Goldman Sachs SP (Asia)	Chi Res Land Euro Wts Dec 2014 A	0.250	18.380	2014/12/30	80,000,000	2014/03/19
14639	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2014 A	0.154	90.000	2014/09/30	80,000,000	2014/03/19
14608	HK Bank	CNBM European Warrants Nov 2016 A	0.160	8.010	2016/11/24	100,000,000	2014/03/19
14604	HK Bank	HSI European Warrants Sep 2014 G	0.250	22,500 per 6,000 units	2014/09/29	150,000,000	2014/03/19
14609	HK Bank	HSI European Put Warrants Sep 2014 H	0.250	21,688 per 7,000 units	2014/09/29	150,000,000	2014/03/19
14607	HK Bank	Lenovo European Warrants Sep 2014 B	0.350	10.18 per unit	2014/09/30	60,000,000	2014/03/19
14605	HK Bank	PICC European Warrants Dec 2015 A	0.180	10.620	2015/12/24	100,000,000	2014/03/19
14606	HK Bank	Tencent European Warrants Dec 2014 A	0.380	818 per 100 units	2014/12/30	80,000,000	2014/03/19
14603	J P Morgan SP BV	Agricultural Bk Euro Warrants Sep 2014 A	0.150	3.68 per unit	2014/09/05	200,000,000	2014/03/19
14643	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2014 A	0.250	5.38 per unit	2014/10/03	200,000,000	2014/03/19
14642	J P Morgan SP BV	Huaneng Power European Wts Oct 2014 A	0.323	7 per unit	2014/10/03	50,000,000	2014/03/19
14624	Macquarie Bank Ltd.	Ch Oilfield European Wts Nov 2014 A	0.250	19.880	2014/11/18	40,000,000	2014/03/19
14610	Macquarie Bank Ltd.	Zijin Mining European Wts Apr 2015 A	0.250	1.90 per unit	2015/04/08	40,000,000	2014/03/19
14615	Standard Chartered Bank	China Mobile Euro Wts Oct 2014 A	0.250	72.880	2014/10/03	100,000,000	2014/03/19
14613	Standard Chartered Bank	China Mobile Eur Put Wt Aug 2014 C	0.250	66.380	2014/08/26	100,000,000	2014/03/19
14633	Standard Chartered Bank	Cathay Pac Air Euro Wts Jan 2015 A	0.250	15.880	2015/01/08	100,000,000	2014/03/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14632	Standard Chartered Bank	Cathay Pac Air Euro Wts Aug 2015 A	0.250	18.280	2015/08/10	100,000,000	2014/03/19
14618	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2015 B	0.185	22.000	2015/01/05	100,000,000	2014/03/19
14619	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 14 A	0.150	20,000 per 6,200 units	2014/10/30	150,000,000	2014/03/19
14617	UBS AG	Belle Int'l European Warrants May 2015 A	0.250	8.600	2015/05/05	50,000,000	2014/03/19
14623	UBS AG	China Tel European Warrants Oct 2014 A	0.165	3.69 per unit	2014/10/24	70,000,000	2014/03/19
14616	UBS AG	China Unicom European Warrants Dec 2016 A	0.250	9.180	2016/12/19	100,000,000	2014/03/19
14622	UBS AG	Evergrande European Warrants Sep 2014 A	0.250	3.88 per unit	2014/09/18	50,000,000	2014/03/19
14621	UBS AG	Sands China European Warrants Sep 2014 A	0.430	75.880	2014/09/18	40,000,000	2014/03/19
14620	UBS AG	Tencent Holdings European Warrants Sep 2014 E	0.480	740.50 per 100 units	2014/09/26	100,000,000	2014/03/19
14690	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Apr 2015 A	0.250	6.40 per unit	2015/04/02	100,000,000	2014/03/20
14692	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jan 2015 A	0.250	5.28 per unit	2015/01/05	100,000,000	2014/03/20
14694	BNP Paribas Arbit Issu B.V.	China Mengniu Eu Wt Jan 2015 A	0.250	49.000	2015/01/05	100,000,000	2014/03/20
14688	BNP Paribas Arbit Issu B.V.	Sihuan Pharm Euro Wts Apr 15 A	0.250	13.000	2015/04/02	100,000,000	2014/03/20
14689	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Aug 2014 D	0.310	740 per 100 units	2014/08/04	100,000,000	2014/03/20
14718	Credit Suisse AG	HSI European Warrants Aug 2014 E	0.150	21,800 per 6,200 units	2014/08/28	200,000,000	2014/03/20
14719	Credit Suisse AG	HSI European Warrants Aug 2014 F	0.150	21,400 per 7,000 units	2014/08/28	200,000,000	2014/03/20
14703	Daiwa Capital Mkt	China Life European Warrants Sep 2014 A	0.170	21.050	2014/09/01	128,000,000	2014/03/20
14701	Daiwa Capital Mkt	Hutchison European Warrants Sep 2014 B	0.250	141.880	2014/09/19	128,000,000	2014/03/20
14717	Bank of East Asia	China Overseas Euro Warrants Jan 2015 A	0.150	22.950	2015/01/06	70,000,000	2014/03/20
14672	HK Bank	China Coal European Warrants Sep 2014 A	0.250	4.18 per unit	2014/09/30	60,000,000	2014/03/20
14675	HK Bank	CSOP A50 ETF European Warrants Sep 2014 A	0.350	8.78 per unit	2014/09/30	60,000,000	2014/03/20
14673	HK Bank	CSR European Warrants Sep 2014 A	0.250	6.18 per unit	2014/09/30	60,000,000	2014/03/20
14674	HK Bank	A50 China European Warrants Sep 2014 B	0.340	8.88 per unit	2014/09/30	60,000,000	2014/03/20
14671	HK Bank	HSCEI European Put Warrants Sep 2014 B	0.250	9,388 per 4,800 units	2014/09/29	150,000,000	2014/03/20
14670	J P Morgan SP BV	CHALCO European Warrants Dec 2014 A	0.325	2.80 per unit	2014/12/29	35,000,000	2014/03/20
14669	J P Morgan SP BV	CAM CSI300 European Warrants Aug 2014 A	0.150	28.280	2014/08/28	100,000,000	2014/03/20
14668	J P Morgan SP BV	CGS European Warrants Oct 2014 A	0.350	5.40 per unit	2014/10/03	30,000,000	2014/03/20
14721	J P Morgan SP BV	China Cinda European Warrants Sep 2014 D	0.351	4.28 per unit	2014/09/30	150,000,000	2014/03/20
14656	J P Morgan SP BV	HSCEI European Put Warrants Oct 2014 A	0.250	9,000 per 4,000 units	2014/10/30	300,000,000	2014/03/20
14657	J P Morgan SP BV	HSI European Warrants Oct 2014 A	0.250	21,600 per 7,500 units	2014/10/30	500,000,000	2014/03/20
14662	J P Morgan SP BV	HSI European Put Warrants Oct 2014 B	0.250	21,400 per 8,000 units	2014/10/30	500,000,000	2014/03/20
14663	J P Morgan SP BV	HSI European Put Warrants Oct 2014 C	0.259	21,800 per 8,000 units	2014/10/30	500,000,000	2014/03/20
14664	J P Morgan SP BV	HSI European Put Warrants Oct 2014 D	0.268	22,200 per 8,000 units	2014/10/30	500,000,000	2014/03/20
14667	J P Morgan SP BV	Lumena Newmat European Wts Mar 2015 A	0.250	1.55 per unit	2015/03/13	40,000,000	2014/03/20
14720	J P Morgan SP BV	Ping An European Warrants Aug 2014 A	0.197	68.880	2014/08/04	200,000,000	2014/03/20
14695	Macquarie Bank Ltd.	Avichina European Warrants Oct 2014 A	0.250	7 per unit	2014/10/06	40,000,000	2014/03/20
14698	Standard Chartered Bank	Geely Auto European Wts Oct 2014 A	0.180	3.09 per unit	2014/10/21	80,000,000	2014/03/20
14702	Standard Chartered Bank	HSCEI European Warrants Aug 2014 A	0.150	9,400 per 4,600 units	2014/08/28	100,000,000	2014/03/20
14700	Standard Chartered Bank	HSCEI European Put Wts Dec 2014 C	0.200	8,800 per 3,800 units	2014/12/30	100,000,000	2014/03/20
14699	Standard Chartered Bank	PICC Group European Wts Sep 2014 A	0.150	3.61 per unit	2014/09/25	80,000,000	2014/03/20
14696	Standard Chartered Bank	Xinyi Glass Euro Wts Oct 2014 B	0.520	7.68 per unit	2014/10/06	50,000,000	2014/03/20
14676	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Nov 2014A	0.250	148.900	2014/11/05	50,000,000	2014/03/20
14707	SGA Societe Generale Acceptance N.V.	COVS Eu Wts Apr 2015 A	0.190	22.000	2015/04/08	100,000,000	2014/03/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14678	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Feb 2015A	0.185	4.09 per unit	2015/02/04	55,000,000	2014/03/20
14708	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Nov 2014 A	0.175	3.68 per unit	2014/11/05	60,000,000	2014/03/20
14682	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Oct14A	0.170	3.09 per unit	2014/10/27	60,000,000	2014/03/20
14679	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Oct14A	0.390	98.880	2014/10/06	70,000,000	2014/03/20
14683	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Oct 14 A	0.250	70.880	2014/10/06	100,000,000	2014/03/20
14685	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Oct14A	0.150	28.880	2014/10/06	80,000,000	2014/03/20
14710	UBS AG	China Cinda European Warrants Sep 2014 D	0.480	4.38 per unit	2014/09/22	100,000,000	2014/03/20
14709	UBS AG	China Cinda European Warrants Dec 2016 C	0.175	3.580	2016/12/22	300,000,000	2014/03/20
14713	UBS AG	HSBC European Warrants Sep 2014 B	0.210	83.050	2014/09/08	100,000,000	2014/03/20
14666	UBS AG	HSI European Warrants Aug 2014 F	0.170	21,800 per 6,200 units	2014/08/28	300,000,000	2014/03/20
14711	UBS AG	Ping An European Warrants Oct 2014 A	0.210	74.930	2014/10/06	60,000,000	2014/03/20
14712	UBS AG	Ping An European Warrants Oct 2014 B	0.300	70.880	2014/10/13	60,000,000	2014/03/20
14761	BNP Paribas Arbit Issu B.V.	China Cinda Eur Wts Sep 2014 A	0.150	5.68 per unit	2014/09/02	100,000,000	2014/03/21
14763	BNP Paribas Arbit Issu B.V.	CM Bank Euro Warrants Dec 2016 A	0.250	11.800	2016/12/02	100,000,000	2014/03/21
14758	BNP Paribas Arbit Issu B.V.	COSCO Pacific Eu Wt Jul 2015 A	0.150	13.000	2015/07/03	79,000,000	2014/03/21
14756	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Dec 2014 A	0.250	3.60 per unit	2014/12/02	80,000,000	2014/03/21
14757	BNP Paribas Arbit Issu B.V.	ICBC European Wts Oct 2014 B	0.260	4.60 per unit	2014/10/06	100,000,000	2014/03/21
14745	Credit Suisse AG	HSBC European Wts Aug 2014 B	0.160	83.000	2014/08/27	70,000,000	2014/03/21
14766	Citigroup Global Mkt H Inc.	HSBC European Wts Sep 2014 C	0.295	80.000	2014/09/22	100,000,000	2014/03/21
14767	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Aug 2014 B	0.450	7.89 per unit	2014/08/25	80,000,000	2014/03/21
14765	Citigroup Global Mkt H Inc.	Tencent European Wts Sep 2014 A	0.350	700 per 100 units	2014/09/01	100,000,000	2014/03/21
14746	Daiwa Capital Mkt	Ping An European Warrants Sep 2014 A	0.220	69.980	2014/09/01	108,000,000	2014/03/21
14725	Goldman Sachs SP (Asia)	China Mobile Euro Wts Oct 2014 A	0.255	75.000	2014/10/30	80,000,000	2014/03/21
14724	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2014 B	0.256	85.000	2014/09/30	80,000,000	2014/03/21
14722	Goldman Sachs SP (Asia)	HSCEI European Warrants Dec 2014 B	0.252	10,000 per 2,900 units	2014/12/30	80,000,000	2014/03/21
14723	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2015 A	0.152	23,088 per 9,000 units	2015/07/30	80,000,000	2014/03/21
14727	HK Bank	China Cinda European Warrants Sep 2014 C	0.330	4.38 per unit	2014/09/30	60,000,000	2014/03/21
14728	HK Bank	China Life European Warrants Aug 2014 E	0.200	19.900	2014/08/11	100,000,000	2014/03/21
14729	HK Bank	China Life European Warrants Dec 2014 B	0.150	22.930	2014/12/01	100,000,000	2014/03/21
14730	HK Bank	HKEEx European Warrants Sep 2014 A	0.280	128.880	2014/09/30	60,000,000	2014/03/21
14769	J P Morgan SP BV	Country Garden European Warrants Oct 14 A	0.250	3.80 per unit	2014/10/03	50,000,000	2014/03/21
14771	J P Morgan SP BV	HSI European Put Warrants Aug 2014 F	0.150	20,000 per 6,000 units	2014/08/28	500,000,000	2014/03/21
14736	J P Morgan SP BV	HSI European Put Warrants Oct 2014 E	0.250	21,000 per 7,800 units	2014/10/30	500,000,000	2014/03/21
14738	J P Morgan SP BV	Tencent European Warrants Jul 2014 F	0.285	638.88 per 100 units	2014/07/07	200,000,000	2014/03/21
14774	J P Morgan SP BV	Tencent European Warrants Aug 2014 D	0.176	740 per 100 units	2014/08/04	200,000,000	2014/03/21
14737	J P Morgan SP BV	Tencent European Put Warrants Oct 2014 E	0.339	480 per 100 units	2014/10/03	150,000,000	2014/03/21
14770	J P Morgan SP BV	Wynn Macau European Warrants Oct 2014 A	0.250	40.000	2014/10/03	150,000,000	2014/03/21
14753	Macquarie Bank Ltd.	Country Garden European Wts Nov 2014 B	0.250	4.28 per unit	2014/11/04	40,000,000	2014/03/21
14732	Macquarie Bank Ltd.	CNOOC European Warrants Oct 2014 A	0.334	13 per unit	2014/10/06	30,000,000	2014/03/21
14752	Macquarie Bank Ltd.	China Overseas European Wts Mar 2015 A	0.250	22.000	2015/03/03	60,000,000	2014/03/21
14747	Macquarie Bank Ltd.	Chi Res Land European Wts Aug 2015 A	0.250	20.000	2015/08/04	60,000,000	2014/03/21
14731	Macquarie Bank Ltd.	PetroChina European Wts Oct 2014 A	0.250	9.08 per unit	2014/10/06	40,000,000	2014/03/21
14751	Macquarie Bank Ltd.	Shimao Ppt European Wts Jun 2015 A	0.250	15.800	2015/06/04	60,000,000	2014/03/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14755	Macquarie Bank Ltd.	SUNAC European Warrants Sep 2014 A	0.250	5 per unit	2014/09/22	40,000,000	2014/03/21
14739	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Oct14B	0.270	4.88 per unit	2014/10/06	100,000,000	2014/03/21
14742	UBS AG	China EB Int'l European Warrants Jul 2014 B	0.400	15.60 per unit	2014/07/16	25,000,000	2014/03/21
14734	UBS AG	CITIC Bank European Warrants Jul 2014 A	0.200	4.39 per unit	2014/07/21	50,000,000	2014/03/21
14740	UBS AG	HSBC European Warrants Sep 2014 C	0.350	78.880	2014/09/22	100,000,000	2014/03/21
14743	UBS AG	HSCEI European Put Warrants Dec 2014 B	0.195	8,800 per 4,000 units	2014/12/30	100,000,000	2014/03/21
14733	UBS AG	HSI European Warrants Dec 2014 A	0.150	22,000 per 10,000 units	2014/12/30	300,000,000	2014/03/21
14735	UBS AG	Kingsoft European Warrants Aug 2014 B	0.380	30.850	2014/08/04	50,000,000	2014/03/21
14741	UBS AG	Tencent Holdings European Warrants Sep 2014 F	0.440	680 per 100 units	2014/09/22	100,000,000	2014/03/21
11910*	HK Bank	HSI European Warrants Jun 2014 F	0.056	22,100 per 8,000 units	2014/06/27	250,000,000	2014/03/21
14783	BOCI Asia Ltd.	Anhui Conch European Warrants Oct 2014 A	0.250	30.000	2014/10/06	100,000,000	2014/03/24
14781	BOCI Asia Ltd.	China Mobile European Warrants Sep 2014 A	0.170	74.880	2014/09/01	100,000,000	2014/03/24
14778	BOCI Asia Ltd.	A50 China European Warrants Sep 2014 A	0.250	9.39 per unit	2014/09/15	100,000,000	2014/03/24
14779	BOCI Asia Ltd.	Haitong Sec European Warrants Jul 2015 A	0.190	11.280	2015/07/27	100,000,000	2014/03/24
14784	BOCI Asia Ltd.	Hutchison European Warrants Dec 2015 A	0.190	100 per 100 units	2015/12/28	100,000,000	2014/03/24
14789	Credit Suisse AG	ICBC European Warrants Sep 2014 A	0.190	4.76 per unit	2014/09/23	70,000,000	2014/03/24
14796	Daiwa Capital Mkt	Tencent Holdings European Wts Sep 2014 C	0.410	632.18 per 100 units	2014/09/01	138,000,000	2014/03/24
14787	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2014 B	0.151	20,128 per 6,000 units	2014/08/28	150,000,000	2014/03/24
14785	HK Bank	Tencent European Warrants Sep 2014 B	0.680	583.88 per 100 units	2014/09/30	80,000,000	2014/03/24
14786	HK Bank	Tencent European Warrants Sep 2014 C	0.480	643.88 per 100 units	2014/09/30	80,000,000	2014/03/24
14794	Macquarie Bank Ltd.	Anhui Conch European Wts Oct 2014 A	0.250	30.080	2014/10/06	40,000,000	2014/03/24
14795	Macquarie Bank Ltd.	AIA European Warrants Oct 2014 A	0.250	36.980	2014/10/06	40,000,000	2014/03/24
14791	Macquarie Bank Ltd.	BankComm European Warrants Oct 2014 A	0.150	5.80 per unit	2014/10/06	70,000,000	2014/03/24
14790	Macquarie Bank Ltd.	CM Bank European Warrants Jun 2015 A	0.150	13.700	2015/06/02	70,000,000	2014/03/24
14792	Macquarie Bank Ltd.	HSBC European Warrants Oct 2014 A	0.250	86.000	2014/10/06	80,000,000	2014/03/24
14793	Macquarie Bank Ltd.	Hang Seng Bank European Wts Oct 2014 A	0.250	135.000	2014/10/06	80,000,000	2014/03/24
14798	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2014 B	0.180	5.40 per unit	2014/10/06	100,000,000	2014/03/24
14800	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Oct 2014 A	0.170	4.75 per unit	2014/10/06	100,000,000	2014/03/24
14788	SGA Societe Generale Acceptance N.V.	PICC Eu Wts Oct 2014A	0.150	3.60 per unit	2014/10/06	70,000,000	2014/03/24
14775	UBS AG	Agricultural Bank European Warrants Sep 2015 A	0.200	3.61 per unit	2015/09/24	50,000,000	2014/03/24
14776	UBS AG	KECL European Warrants Nov 2015 A	0.195	14.820	2015/11/25	100,000,000	2014/03/24
14777	UBS AG	Tencent Holdings European Warrants Sep 2014 G	0.650	600.50 per 100 units	2014/09/01	100,000,000	2014/03/24
14807	BOCI Asia Ltd.	China Cinda European Warrants Sep 2014 C	0.250	4.98 per unit	2014/09/01	100,000,000	2014/03/25
14808	BOCI Asia Ltd.	Tencent Holdings European Wts Sep 2014 C	0.230	750 per 100 units	2014/09/08	100,000,000	2014/03/25
14810	Credit Suisse AG	China Life European Warrants Dec 2014 A	0.150	22.900	2014/12/01	70,000,000	2014/03/25
14809	Credit Suisse AG	CM Bank European Warrants May 2015 A	0.150	13.700	2015/05/22	80,000,000	2014/03/25
14811	Credit Suisse AG	Gome European Warrants Jan 2015 A	0.150	1.61 per unit	2015/01/30	70,000,000	2014/03/25
14812	Credit Suisse AG	PetroChina European Warrants Sep 2014 A	0.250	8.98 per unit	2014/09/29	60,000,000	2014/03/25
14801	Goldman Sachs SP (Asia)	AIA European Warrants Sep 2014 A	0.151	39.050	2014/09/29	80,000,000	2014/03/25
14821	J P Morgan SP BV	Tencent European Put Warrants Oct 2014 F	0.250	450 per 100 units	2014/10/03	200,000,000	2014/03/25
14813	Macquarie Bank Ltd.	CLP European Warrants Apr 2015 A	0.250	62.500	2015/04/02	40,000,000	2014/03/25
14806	Macquarie Bank Ltd.	CNBM European Warrants Oct 2014 B	0.335	8.75 per unit	2014/10/06	30,000,000	2014/03/25
14814	Macquarie Bank Ltd.	Poly HK Inv European Wts Feb 2015 A	0.250	4.28 per unit	2015/02/02	40,000,000	2014/03/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14805	Macquarie Bank Ltd.	SHK Ppt European Warrants Oct 2014 C	0.250	108.880	2014/10/06	80,000,000	2014/03/25
14804	Macquarie Bank Ltd.	Wharf European Warrants Dec 2014 A	0.250	60.000	2014/12/02	40,000,000	2014/03/25
14820	Macquarie Bank Ltd.	Yuexiu Property Euro Wts Jun 2015 A	0.250	1.88 per unit	2015/06/03	40,000,000	2014/03/25
14802	Standard Chartered Bank	HSBC European Warrants Sep 2014 B	0.250	80.880	2014/09/30	100,000,000	2014/03/25
14815	UBS AG	AIA European Warrants Jul 2014 D	0.150	38.050	2014/07/21	100,000,000	2014/03/25
14819	UBS AG	China Overseas European Warrants Jul 2015 A	0.250	18.880	2015/07/13	70,000,000	2014/03/25
14818	UBS AG	Sinopec Corp European Warrants Oct 2014 A	0.175	8.69 per unit	2014/10/29	60,000,000	2014/03/25
14817	UBS AG	HSI European Warrants Jul 2014 E	0.150	22,000 per 6,800 units	2014/07/30	300,000,000	2014/03/25
14816	UBS AG	Haitong Sec European Warrants Jul 2015 A	0.210	11.300	2015/07/27	50,000,000	2014/03/25
14847	Bank of East Asia	China EB Int'l European Warrants Apr15 A	0.250	12.000	2015/04/08	60,000,000	2014/03/26
14848	Bank of East Asia	Henganintl European Warrants Sep 2014 A	0.538	96.044	2014/09/26	30,000,000	2014/03/26
14829	Bank of East Asia	Kingsoft European Warrants Nov 2014 A	0.250	43.888	2014/11/24	40,000,000	2014/03/26
14834	Goldman Sachs SP (Asia)	China Life Euro Wts Dec 2014 B	0.172	22.050	2014/12/24	80,000,000	2014/03/26
14835	Goldman Sachs SP (Asia)	Ch Overseas Euro Put Wt May 2015 A	0.262	16.080	2015/05/28	80,000,000	2014/03/26
14836	Goldman Sachs SP (Asia)	A50 China European Wts Sep 2014 A	0.335	9 per unit	2014/09/30	80,000,000	2014/03/26
14832	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2014 C	0.324	78.880	2014/09/29	80,000,000	2014/03/26
14833	Goldman Sachs SP (Asia)	PICC Group European Wts Dec 2014 A	0.256	3.48 per unit	2014/12/29	80,000,000	2014/03/26
14842	J P Morgan SP BV	Foxconn European Warrants Sep 2014 A	0.370	5.50 per unit	2014/09/29	35,000,000	2014/03/26
14843	J P Morgan SP BV	Yanzhou Coal European Warrants Oct 2014 A	0.285	6.28 per unit	2014/10/03	40,000,000	2014/03/26
14827	Macquarie Bank Ltd.	Agile Ppt European Warrants Sep 2014 A	0.250	7.50 per unit	2014/09/26	40,000,000	2014/03/26
14826	Macquarie Bank Ltd.	BOCHK European Warrants Oct 2014 B	0.250	26 per unit	2014/10/06	40,000,000	2014/03/26
14823	Standard Chartered Bank	CC Bank European Wts Oct 2014 A	0.160	5.39 per unit	2014/10/06	100,000,000	2014/03/26
14824	Standard Chartered Bank	China COSCO European Wt Oct 2014 A	0.250	3.88 per unit	2014/10/06	100,000,000	2014/03/26
14825	Standard Chartered Bank	HKEx European Warrants Oct 2014 A	0.250	138.880	2014/10/31	80,000,000	2014/03/26
14822	Standard Chartered Bank	HSI European Put Wts Oct 2014 A	0.150	20,000 per 6,200 units	2014/10/30	100,000,000	2014/03/26
14840	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Apr15A	0.150	31.800	2015/04/08	120,000,000	2014/03/26
14837	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Oct 14 A	0.195	72.880	2014/10/06	100,000,000	2014/03/26
14839	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Sep 2014B	0.285	7.58 per unit	2014/09/03	80,000,000	2014/03/26
14838	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Sep14A	0.480	86.880	2014/09/01	100,000,000	2014/03/26
14831	UBS AG	HSI European Warrants Aug 2014 G	0.150	21,100 per 8,000 units	2014/08/28	300,000,000	2014/03/26
14830	UBS AG	HSI European Warrants Sep 2014 C	0.180	20,700 per 8,000 units	2014/09/29	300,000,000	2014/03/26
14861	Credit Suisse AG	Agricultural Bank European Wts Sep 2015 A	0.180	3.61 per unit	2015/09/25	80,000,000	2014/03/27
14862	Credit Suisse AG	BOCL European Warrants Sep 2015 A	0.250	3.32 per unit	2015/09/25	80,000,000	2014/03/27
14864	Credit Suisse AG	China Mobile European Warrants Sep 2014 A	0.250	70.880	2014/09/30	70,000,000	2014/03/27
14863	Credit Suisse AG	HSBC European Wts Sep 2014 A	0.250	79.880	2014/09/30	70,000,000	2014/03/27
14870	Daiwa Capital Mkt	China Mobile European Wts Sep 2014 A	0.230	71.980	2014/09/24	128,000,000	2014/03/27
14869	Daiwa Capital Mkt	China Mobile European Put Wts Nov 2014 A	0.250	58.000	2014/11/03	80,000,000	2014/03/27
14867	Daiwa Capital Mkt	HSCEI European Put Warrants Oct 2014 A	0.190	9,000 per 4,000 units	2014/10/30	100,000,000	2014/03/27
14865	Daiwa Capital Mkt	HSI European Warrants Aug 2014 A	0.170	20,900 per 8,000 units	2014/08/28	128,000,000	2014/03/27
14866	Daiwa Capital Mkt	HSI European Warrants Sep 2014 D	0.250	20,000 per 8,000 units	2014/09/29	128,000,000	2014/03/27
14852	Bank of East Asia	BYD European Warrants Nov 2014 A	0.343	81.211	2014/11/12	40,000,000	2014/03/27
14850	HK Bank	BYD European Warrants Dec 2016 A	0.250	49.88 per 100 units	2016/12/30	200,000,000	2014/03/27
14851	HK Bank	Kingsoft European Warrants Sep 2014 B	0.300	34.680	2014/09/30	60,000,000	2014/03/27

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14849	HK Bank	Sands China European Warrants Sep 2014 A	0.450	69.880	2014/09/30	60,000,000	2014/03/27
14877	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2014 B	0.250	4.88 per unit	2014/10/03	200,000,000	2014/03/27
14858	J P Morgan SP BV	China Coal European Warrants Oct 2014 A	0.250	4.58 per unit	2014/10/03	80,000,000	2014/03/27
14878	J P Morgan SP BV	China Mob European Wts Oct 2014 D	0.250	68.880	2014/10/03	200,000,000	2014/03/27
14876	J P Morgan SP BV	Ch Overseas European Warrants Dec 2014 A	0.250	18.880	2014/12/29	150,000,000	2014/03/27
14875	J P Morgan SP BV	Chi Res Land European Warrants Dec 2014 A	0.250	16.500	2014/12/29	150,000,000	2014/03/27
14860	J P Morgan SP BV	HSBC European Warrants Oct 2014 C	0.314	78.800	2014/10/03	150,000,000	2014/03/27
14859	J P Morgan SP BV	HSBC European Put Warrants Oct 2014 B	0.250	68.800	2014/10/03	150,000,000	2014/03/27
14874	Macquarie Bank Ltd.	Brilliance Chi Euro Wts Oct 2015 A	0.250	11.000	2015/10/05	40,000,000	2014/03/27
14854	Macquarie Bank Ltd.	Geely Auto European Wts Dec 2014 A	0.250	3.60 per unit	2014/12/02	40,000,000	2014/03/27
14891	Standard Chartered Bank	China Mobile Euro Wts Sep 2014 B	0.250	68.880	2014/09/29	100,000,000	2014/03/27
14857	Standard Chartered Bank	CNOOC European Warrants Oct 2014 B	0.350	13.38 per unit	2014/10/06	40,000,000	2014/03/27
14856	Standard Chartered Bank	Galaxy Ent European Wts Oct 2015 A	0.150	83.93 per 100 units	2015/10/23	150,000,000	2014/03/27
14855	Standard Chartered Bank	Wharf European Warrants Oct 2014 A	0.250	58.000	2014/10/31	80,000,000	2014/03/27
14853	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Nov 2014 A	0.195	17.400	2014/11/03	60,000,000	2014/03/27
14882	UBS AG	BYD European Warrants Sep 2014 B	0.430	56.880	2014/09/26	50,000,000	2014/03/27
14872	UBS AG	CC Bank European Warrants Sep 2014 A	0.250	4.98 per unit	2014/09/26	100,000,000	2014/03/27
14889	UBS AG	China Life European Warrants Dec 2014 A	0.165	22.050	2014/12/24	100,000,000	2014/03/27
14871	UBS AG	China Mobile European Warrants Oct 2014 B	0.250	70.880	2014/10/03	100,000,000	2014/03/27
14884	UBS AG	CSOP A50 ETF European Warrants Aug 2018 A	0.180	8.010	2018/08/01	100,000,000	2014/03/27
14883	UBS AG	A50 China European Warrants Aug 2018 A	0.180	7.810	2018/08/01	200,000,000	2014/03/27
14880	UBS AG	GCL-Poly Energy European Warrants Jul 2014 A	0.150	3.99 per unit	2014/07/21	70,000,000	2014/03/27
14885	UBS AG	HKEx European Warrants Oct 2014 A	0.150	99.93 per 100 units	2014/10/27	200,000,000	2014/03/27
14873	UBS AG	HSI European Put Warrants Aug 2014 H	0.150	19,900 per 6,000 units	2014/08/28	300,000,000	2014/03/27
14879	UBS AG	Huishan Dairy European Warrants Aug 2014 B	0.150	2.89 per unit	2014/08/14	70,000,000	2014/03/27
14886	UBS AG	ICBC European Warrants Sep 2014 A	0.180	4.56 per unit	2014/09/23	100,000,000	2014/03/27
14890	UBS AG	Kingsoft European Put Warrants Sep 2014 C	0.320	25.880	2014/09/26	50,000,000	2014/03/27
14894	BOCI Asia Ltd.	China Mobile European Put Wts Oct 2014 A	0.250	58.880	2014/10/10	100,000,000	2014/03/28
14896	BOCI Asia Ltd.	Ch Overseas European Warrants Mar 2015 A	0.150	22.000	2015/03/26	100,000,000	2014/03/28
14897	BOCI Asia Ltd.	Galaxy Ent European Put Warrants Sep 2014 A	0.260	55.000	2014/09/08	100,000,000	2014/03/28
14893	BOCI Asia Ltd.	HSCEI European Warrants Aug 2014 A	0.150	9,300 per 3,900 units	2014/08/28	100,000,000	2014/03/28
14892	BOCI Asia Ltd.	HSCEI European Put Warrants Dec 2014 A	0.250	8,800 per 3,800 units	2014/12/30	100,000,000	2014/03/28
14961	Credit Suisse AG	China Mobile European Warrants Sep 2014 B	0.250	67.880	2014/09/30	70,000,000	2014/03/28
14946	Daiwa Capital Mkt	CNOOC European Warrants Aug 2014 B	0.170	10.100	2014/08/29	128,000,000	2014/03/28
14942	Daiwa Capital Mkt	A50 China European Warrants Sep 2014 C	0.160	9.38 per unit	2014/09/02	108,000,000	2014/03/28
14945	Daiwa Capital Mkt	Hutchison European Warrants Sep 2014 C	0.200	129.980	2014/09/15	128,000,000	2014/03/28
14943	Daiwa Capital Mkt	Sands China European Warrants Sep 2014 A	0.350	69.980	2014/09/29	98,000,000	2014/03/28
14902	Bank of East Asia	Foxconn European Warrants Oct 2014 A	0.250	7.238 per unit	2014/10/31	40,000,000	2014/03/28
14928	Goldman Sachs SP (Asia)	CM Bank European Wts May 2015 A	0.153	13.680	2015/05/26	80,000,000	2014/03/28
14927	Goldman Sachs SP (Asia)	China Mobile Euro Wts Nov 2014 A	0.253	68.880	2014/11/28	80,000,000	2014/03/28
14926	Goldman Sachs SP (Asia)	Kingsoft European Wts Oct 2014 A	0.454	37.800	2014/10/30	80,000,000	2014/03/28
14898	HK Bank	China Mobile European Warrants Sep 2014 B	0.250	70.380	2014/09/30	80,000,000	2014/03/28
14900	HK Bank	HSI European Warrants Jul 2014 K	0.150	21,800 per 6,800 units	2014/07/30	250,000,000	2014/03/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14899	HK Bank	HSI European Warrants Sep 2014 I	0.250	21,088 per 6,000 units	2014/09/29	250,000,000	2014/03/28
14901	HK Bank	HSI European Put Warrants Sep 2014 J	0.260	21,188 per 6,700 units	2014/09/29	250,000,000	2014/03/28
14949	J P Morgan SP BV	Agile Ppt European Warrants Dec 2014 A	0.471	6.88 per unit	2014/12/29	23,000,000	2014/03/28
14966	J P Morgan SP BV	China Mob European Wts Oct 2014 E	0.250	64.880	2014/10/03	200,000,000	2014/03/28
14962	J P Morgan SP BV	Ch Overseas European Warrants Feb 2015 A	0.250	21.280	2015/02/04	150,000,000	2014/03/28
14959	J P Morgan SP BV	China Railway European Wts Dec 2014 A	0.250	3.88 per unit	2014/12/29	40,000,000	2014/03/28
14955	J P Morgan SP BV	Ch Railway Cons European Wts Dec 2014 A	0.532	6.88 per unit	2014/12/02	40,000,000	2014/03/28
14957	J P Morgan SP BV	Chi Res Power European Wts Nov 2014 A	0.250	22.000	2014/11/04	100,000,000	2014/03/28
14958	J P Morgan SP BV	China Unicom European Warrants Dec 2016 A	0.184	9.180	2016/12/12	100,000,000	2014/03/28
14952	J P Morgan SP BV	R&F Ppt European Warrants Mar 2016 A	0.185	10.280	2016/03/01	100,000,000	2014/03/28
14956	J P Morgan SP BV	Haier Elec European Warrants Oct 2014 A	0.250	22.380	2014/10/03	100,000,000	2014/03/28
14953	J P Morgan SP BV	PICC Group European Warrants Sep 2014 A	0.170	3.61 per unit	2014/09/25	60,000,000	2014/03/28
14960	J P Morgan SP BV	Wharf European Warrants Nov 2014 A	0.250	50.000	2014/11/03	100,000,000	2014/03/28
14941	Nomura Int'l plc	BOCL European Warrants Apr 2015 A	0.250	3.18 per unit	2015/04/28	80,000,000	2014/03/28
14913	Nomura Int'l plc	BYD European Warrants Oct 2014 A	0.360	62.880	2014/10/03	80,000,000	2014/03/28
14911	Nomura Int'l plc	CITIC Bank European Warrants Dec 2014 A	0.250	4.68 per unit	2014/12/18	50,000,000	2014/03/28
14910	Nomura Int'l plc	CNOOC European Warrants Oct 2014 A	0.260	14.88 per unit	2014/10/28	80,000,000	2014/03/28
14907	Nomura Int'l plc	Chi Res Power European Wts Nov 2014 A	0.250	22.880	2014/11/18	80,000,000	2014/03/28
14912	Nomura Int'l plc	Ch South Air European Warrants Dec 2014 A	0.250	3.08 per unit	2014/12/18	50,000,000	2014/03/28
14938	Nomura Int'l plc	Foxconn European Warrants Sep 2014 A	0.424	4.98 per unit	2014/09/29	50,000,000	2014/03/28
14915	Nomura Int'l plc	Foxconn European Warrants Oct 2014 A	0.410	5.88 per unit	2014/10/28	80,000,000	2014/03/28
14903	Nomura Int'l plc	HSBC European Warrants Sep 2014 A	0.260	80.000	2014/09/29	80,000,000	2014/03/28
14905	Nomura Int'l plc	Hutchison European Warrants Sep 2014 A	0.418	123.000	2014/09/29	80,000,000	2014/03/28
14933	Nomura Int'l plc	Hutchison European Warrants Oct 2014 A	0.250	133.880	2014/10/06	50,000,000	2014/03/28
14906	Nomura Int'l plc	Hutchison European Warrants Dec 2015 A	0.250	90 per 100 units	2015/12/28	100,000,000	2014/03/28
14937	Nomura Int'l plc	ICBC European Warrants Nov 2014 A	0.250	4.48 per unit	2014/11/18	80,000,000	2014/03/28
14914	Nomura Int'l plc	KWG Property European Warrants Nov 2014 A	0.250	5.28 per unit	2014/11/28	50,000,000	2014/03/28
14934	Nomura Int'l plc	Li & Fung European Warrants Oct 2014 A	0.532	14.88 per unit	2014/10/28	50,000,000	2014/03/28
14935	Nomura Int'l plc	PetroChina European Warrants Oct 2014 A	0.252	9.28 per unit	2014/10/28	50,000,000	2014/03/28
14940	Nomura Int'l plc	Zijin Mining European Warrants Mar 2015 A	0.253	1.68 per unit	2015/03/30	50,000,000	2014/03/28
14967	Standard Chartered Bank	China Mobile Euro Wts Oct 2014 B	0.250	65.880	2014/10/10	100,000,000	2014/03/28
14968	Standard Chartered Bank	China Mobile Eur Put Wt Sep 2014 C	0.250	58.880	2014/09/29	100,000,000	2014/03/28
14924	Standard Chartered Bank	ICBC European Put Wts Oct 2014 A	0.250	3.68 per unit	2014/10/30	80,000,000	2014/03/28
14925	Standard Chartered Bank	PetroChina Euro Put Wts Sep 2014 A	0.250	6.28 per unit	2014/09/30	60,000,000	2014/03/28
14929	UBS AG	AAC Acoustic European Warrants Oct 2014 A	0.380	36.880	2014/10/20	30,000,000	2014/03/28
14916	UBS AG	China Mobile European Warrants Oct 2014 C	0.250	72.880	2014/10/31	100,000,000	2014/03/28
14932	UBS AG	China Mobile European Warrants Oct 2014 D	0.250	66.880	2014/10/10	100,000,000	2014/03/28
14931	UBS AG	China Mobile European Put Warrants Sep 2014 B	0.280	58.880	2014/09/29	100,000,000	2014/03/28
14973	BOCI Asia Ltd.	CM Bank European Warrants May 2015 A	0.150	13.700	2015/05/26	100,000,000	2014/03/31
14974	BOCI Asia Ltd.	China Mobile European Warrants Oct 2014 B	0.250	68.880	2014/10/13	100,000,000	2014/03/31
14978	BNP Paribas Arbit Issu B.V.	AIA European Wts Dec 2014 C	0.150	40.900	2014/12/02	100,000,000	2014/03/31
14980	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Jan 2015 A	0.250	4.99 per unit	2015/01/05	80,000,000	2014/03/31
14975	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Nov 2014 B	0.260	69.280	2014/11/04	100,000,000	2014/03/31

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14976	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Apr 2015 A	0.260	73.200	2015/04/02	100,000,000	2014/03/31
14977	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Jan 2015 A	0.260	54.800	2015/01/05	100,000,000	2014/03/31
14984	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Oct 2014 A	0.280	14 per unit	2014/10/06	100,000,000	2014/03/31
14979	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jan 2015 C	0.280	138.000	2015/01/05	39,000,000	2014/03/31
14981	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Oct 2014 A	0.190	20,000 per 6,200 units	2014/10/30	100,000,000	2014/03/31
14982	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Oct 2014 C	0.150	888 per 100 units	2014/10/06	100,000,000	2014/03/31
14983	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Sep 2014 B	0.190	440 per 100 units	2014/09/02	100,000,000	2014/03/31
14990	Credit Suisse AG	China Mobile European Put Wts Oct 2014 A	0.250	57.880	2014/10/03	60,000,000	2014/03/31
14987	Daiwa Capital Mkt	Hutchison European Warrants Aug 2014 A	0.350	108.980	2014/08/01	128,000,000	2014/03/31
14985	Daiwa Capital Mkt	Tencent Holdings European Wts Oct 2014 A	0.310	734 per 100 units	2014/10/03	158,000,000	2014/03/31
14986	Daiwa Capital Mkt	Tencent Holdings European Wts Nov 2014 B	0.270	784 per 100 units	2014/11/03	158,000,000	2014/03/31
14970	HK Bank	Anhui Conch European Warrants Sep 2014 A	0.250	34.380	2014/09/30	60,000,000	2014/03/31
14969	HK Bank	CITIC Bank European Warrants Sep 2014 A	0.250	4.58 per unit	2014/09/30	160,000,000	2014/03/31
14988	Standard Chartered Bank	China Shenhua Euro Wts Jun 2015 A	0.250	24.280	2015/06/24	100,000,000	2014/03/31
14991	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2014 B	0.250	138.880	2014/10/06	60,000,000	2014/03/31
14971	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Ja15A	0.290	50.880	2015/01/05	50,000,000	2014/03/31
14993	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Oct 2014A	0.245	9.08 per unit	2014/10/06	60,000,000	2014/03/31
14998	UBS AG	Galaxy Ent European Put Warrants Aug 2014 C	0.290	54.950	2014/08/26	40,000,000	2014/03/31
14996	UBS AG	Galaxy Ent European Put Warrants Sep 2014 A	0.720	63.880	2014/09/30	40,000,000	2014/03/31
14999	UBS AG	Hutchison European Warrants Aug 2014 A	0.255	118.100	2014/08/21	100,000,000	2014/03/31
15000	UBS AG	Hutchison European Warrants Dec 2015 A	0.155	100.10 per 100 units	2015/12/28	300,000,000	2014/03/31
15001	UBS AG	Hutchison European Warrants Jun 2017 A	0.170	105.10 per 100 units	2017/06/26	300,000,000	2014/03/31
14995	UBS AG	Sands China European Put Warrants Sep 2014 B	0.510	50.880	2014/09/30	40,000,000	2014/03/31
15037	BNP Paribas Arbit Issu B.V.	CIMC Enric Euro Wts Mar 2015 A	0.250	13.000	2015/03/03	49,000,000	2014/04/01
15036	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Feb 2015 A	0.250	23.000	2015/02/03	100,000,000	2014/04/01
15035	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Mar 2015 A	0.260	4.68 per unit	2015/03/03	94,000,000	2014/04/01
15033	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Oct 2014 A	0.270	98.000	2014/10/06	100,000,000	2014/04/01
15034	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Jan 2015 A	0.260	53.880	2015/01/05	100,000,000	2014/04/01
15026	Credit Suisse AG	Hutchison European Warrants Dec 2015 A	0.150	100 per 100 units	2015/12/29	100,000,000	2014/04/01
15028	Credit Suisse AG	Hutchison European Warrants Jun 2017 A	0.170	105 per 100 units	2017/06/26	100,000,000	2014/04/01
15025	Daiwa Capital Mkt	CCB European Warrants Oct 2014 A	0.230	5.40 per unit	2014/10/03	128,000,000	2014/04/01
15023	Daiwa Capital Mkt	China Life European Warrants Dec 2014 A	0.150	22.880	2014/12/01	168,000,000	2014/04/01
15024	Daiwa Capital Mkt	ICBC European Warrants Dec 2014 A	0.170	5.28 per unit	2014/12/24	128,000,000	2014/04/01
15014	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2014 C	0.180	21,000 per 8,000 units	2014/08/28	150,000,000	2014/04/01
15015	Goldman Sachs SP (Asia)	Tencent European Wt Oct 2014 A	0.474	688 per 100 units	2014/10/06	80,000,000	2014/04/01
15049	J P Morgan SP BV	Galaxy Ent European Put Wts Oct 2014 A	0.379	58.880	2014/10/03	150,000,000	2014/04/01
15051	J P Morgan SP BV	HSBC European Warrants Oct 2014 D	0.306	77.880	2014/10/03	200,000,000	2014/04/01
15050	J P Morgan SP BV	Hutchison European Warrants Oct 2014 D	0.341	112.000	2014/10/03	100,000,000	2014/04/01
15040	J P Morgan SP BV	Kingsoft European Warrants Oct 2014 A	0.250	40.880	2014/10/03	100,000,000	2014/04/01
15043	J P Morgan SP BV	Ping An European Warrants Oct 2014 B	0.675	58.880	2014/10/03	100,000,000	2014/04/01
15042	J P Morgan SP BV	Ping An European Put Warrants Oct 2014 A	0.250	53.880	2014/10/03	150,000,000	2014/04/01
15046	J P Morgan SP BV	Sands China European Warrants Oct 2014 B	0.546	61.800	2014/10/03	150,000,000	2014/04/01
15044	J P Morgan SP BV	Sands China European Put Wts Oct 2014 A	0.273	50.880	2014/10/03	200,000,000	2014/04/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15047	J P Morgan SP BV	Tencent European Warrants Oct 2014 G	0.632	575 per 100 units	2014/10/03	100,000,000	2014/04/01
15003	Macquarie Bank Ltd.	Datang European Warrants Dec 2014 B	0.334	3.68 per unit	2014/12/16	30,000,000	2014/04/01
15002	Macquarie Bank Ltd.	HN Renewables European Wts Dec 2014 A	0.334	3.88 per unit	2014/12/16	30,000,000	2014/04/01
15006	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Oct 2014 A	0.300	36.000	2014/10/03	40,000,000	2014/04/01
15012	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Dec 2014 A	0.255	40.000	2014/12/30	40,000,000	2014/04/01
15011	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Aug 2014 C	0.173	21.400	2014/08/11	70,000,000	2014/04/01
15004	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Oct 2014 A	0.260	72.050	2014/10/03	40,000,000	2014/04/01
15005	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Dec 14 A	0.250	57.500	2014/12/30	60,000,000	2014/04/01
15013	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Sep 2014 B	0.157	83.050	2014/09/01	80,000,000	2014/04/01
15008	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Oct 2014 A	0.320	80.050	2014/10/03	40,000,000	2014/04/01
15010	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Oct 2015 A	0.150	63.90 per 100 units	2015/10/05	80,000,000	2014/04/01
15016	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Sep 2014 A	0.189	4.76 per unit	2014/09/23	60,000,000	2014/04/01
15022	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Oct 2014 A	0.310	71.000	2014/10/06	40,000,000	2014/04/01
15009	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Dec 2014 A	0.295	79.000	2014/12/30	40,000,000	2014/04/01
15052	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Jun 2015 A	0.150	13.700	2015/06/03	100,000,000	2014/04/01
15031	UBS AG	Hutchison European Put Warrants Sep 2014 C	0.275	83.950	2014/09/23	40,000,000	2014/04/01
15062	HK Bank	CC Bank European Warrants Nov 2014 A	0.150	6.01 per unit	2014/11/24	80,000,000	2014/04/02
15059	HK Bank	Ch Comm Cons European Warrants Oct 2014 A	0.250	6.38 per unit	2014/10/03	60,000,000	2014/04/02
15060	HK Bank	Galaxy Ent European Warrants Oct 2014 A	0.330	88.880	2014/10/03	60,000,000	2014/04/02
15054	HK Bank	Jiangxi Copper European Warrants Nov 2015 A	0.190	14.020	2015/11/25	100,000,000	2014/04/02
15061	HK Bank	Tencent European Warrants Jul 2014 G	0.280	649.50 per 100 units	2014/07/31	80,000,000	2014/04/02
15075	J P Morgan SP BV	HSI European Warrants Oct 2014 F	0.250	22,200 per 6,600 units	2014/10/30	500,000,000	2014/04/02
15079	Macquarie Bank Ltd.	AAC Acoustic European Wts Oct 2014 A	0.250	48.000	2014/10/06	50,000,000	2014/04/02
15073	Macquarie Bank Ltd.	CHALCO European Warrants Jan 2015 A	0.250	2.90 per unit	2015/01/05	40,000,000	2014/04/02
15072	Macquarie Bank Ltd.	China Cinda European Wts Sep 2014 B	0.150	5.38 per unit	2014/09/02	70,000,000	2014/04/02
15070	Macquarie Bank Ltd.	China Mobile European Wts Nov 2014 A	0.150	75.000	2014/11/04	70,000,000	2014/04/02
15066	Macquarie Bank Ltd.	Kingsoft European Warrants Oct 2014 A	0.250	38.880	2014/10/06	60,000,000	2014/04/02
15063	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Apr 2017 A	0.173	128.10 per 100 units	2017/04/04	70,000,000	2014/04/02
15065	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2014 B	0.260	128.930	2014/09/23	40,000,000	2014/04/02
15067	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Feb 2016 A	0.159	110.10 per 100 units	2016/02/22	70,000,000	2014/04/02
15064	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Dec 2015 A	0.150	100.10 per 100 units	2015/12/28	80,000,000	2014/04/02
15069	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Jan 2017 A	0.190	41.25 per 100 units	2017/01/04	300,000,000	2014/04/02
15082	UBS AG	China Overseas European Warrants Jan 2015 A	0.250	21.380	2015/01/19	70,000,000	2014/04/02
15109	BOCI Asia Ltd.	Agricultural Bk European Wts Dec 2014 A	0.250	3.60 per unit	2014/12/15	100,000,000	2014/04/03
15110	BOCI Asia Ltd.	HKEx European Warrants Oct 2014 A	0.250	138.000	2014/10/13	100,000,000	2014/04/03
15135	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 D	0.290	668.90 per 100 units	2014/09/26	100,000,000	2014/04/03
15132	Credit Suisse AG	Tencent Holdings European Wts Oct 2014 A	0.250	693.88 per 100 units	2014/10/06	100,000,000	2014/04/03
15090	Daiwa Capital Mkt	Agricultural Bank European Wts Nov 2014 B	0.150	3.80 per unit	2014/11/25	108,000,000	2014/04/03
15091	Daiwa Capital Mkt	BOC HK European Warrants Dec 2014 B	0.250	21.680	2014/12/01	128,000,000	2014/04/03
15118	Daiwa Capital Mkt	BOC HK European Put Warrants Dec 2014 C	0.250	20.800	2014/12/31	80,000,000	2014/04/03
15092	Daiwa Capital Mkt	China Life European Put Wts Oct 2014 A	0.260	21.980	2014/10/23	80,000,000	2014/04/03
15088	Daiwa Capital Mkt	Ping An European Warrants Dec 2014 A	0.170	81.980	2014/12/23	108,000,000	2014/04/03
15089	Daiwa Capital Mkt	Ping An European Put Warrants Oct 2014 B	0.360	58.000	2014/10/03	80,000,000	2014/04/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15119	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Oct 2014 B	0.250	418 per 100 units	2014/10/03	108,000,000	2014/04/03
15111	HK Bank	Agricultural Bank European Warrants Dec 2014 A	0.150	3.79 per unit	2014/12/09	80,000,000	2014/04/03
15097	HK Bank	BOCL European Warrants Dec 2014 A	0.250	3.48 per unit	2014/12/31	80,000,000	2014/04/03
15100	HK Bank	HSCEI European Warrants Oct 2014 C	0.250	9,888 per 4,000 units	2014/10/30	200,000,000	2014/04/03
15099	HK Bank	HSCEI European Put Warrants Oct 2014 B	0.160	8,968 per 4,000 units	2014/10/30	200,000,000	2014/04/03
15098	HK Bank	HSI European Warrants Oct 2014 A	0.250	22,288 per 6,000 units	2014/10/30	200,000,000	2014/04/03
15093	HK Bank	HWL European Warrants Oct 2014 A	0.250	120.880	2014/10/03	80,000,000	2014/04/03
15094	HK Bank	ICBC European Warrants Dec 2014 A	0.170	5.29 per unit	2014/12/24	80,000,000	2014/04/03
15085	J P Morgan SP BV	Agricultural Bk Euro Warrants Aug 2014 B	0.150	3.28 per unit	2014/08/01	150,000,000	2014/04/03
15083	J P Morgan SP BV	BOC HK European Warrants Dec 2014 A	0.250	22.800	2014/12/29	150,000,000	2014/04/03
15122	J P Morgan SP BV	Galaxy Ent European Warrants Oct 2014 B	0.531	72.000	2014/10/03	100,000,000	2014/04/03
15124	J P Morgan SP BV	Galaxy Ent European Put Wts Oct 2014 C	0.342	55.000	2014/10/03	100,000,000	2014/04/03
15129	J P Morgan SP BV	Huishan Dairy European Warrants Dec 2014A	0.250	2.38 per unit	2014/12/02	50,000,000	2014/04/03
15125	J P Morgan SP BV	Melco Int'l Dev European Wts Nov 2014 A	0.250	28.800	2014/11/03	80,000,000	2014/04/03
15130	J P Morgan SP BV	Tencent European Warrants Aug 2014 E	0.434	540 per 100 units	2014/08/01	150,000,000	2014/04/03
15102	Macquarie Bank Ltd.	Anton Oilfield European Wts Oct 2014 A	0.403	6.38 per unit	2014/10/06	30,000,000	2014/04/03
15136	Macquarie Bank Ltd.	China Agri European Wts Jan 2015 A	0.250	3.88 per unit	2015/01/05	40,000,000	2014/04/03
15104	Macquarie Bank Ltd.	Evergrande European Wts Dec 2014 A	0.250	4.50 per unit	2014/12/02	40,000,000	2014/04/03
15103	Macquarie Bank Ltd.	MGM China European Warrants Nov 2014 B	0.250	35.000	2014/11/04	40,000,000	2014/04/03
15101	Macquarie Bank Ltd.	New World Dev European Wts Nov 2014 A	0.350	9.25 per unit	2014/11/04	40,000,000	2014/04/03
15141	Standard Chartered Bank	CITIC Pacific Euro Wts Feb 2015 A	0.250	14.880	2015/02/02	100,000,000	2014/04/03
15142	Standard Chartered Bank	CITIC Pacific Euro Wts May 2015 A	0.250	16.880	2015/05/11	100,000,000	2014/04/03
15140	UBS AG	BYD European Warrants Oct 2014 A	0.450	52.880	2014/10/03	50,000,000	2014/04/03
15138	UBS AG	China EB Int'l European Warrants Dec 2014 B	0.165	11.200	2014/12/15	70,000,000	2014/04/03
15137	UBS AG	Geely Auto European Warrants Oct 2014 A	0.250	3.08 per unit	2014/10/10	40,000,000	2014/04/03
15139	UBS AG	Kingsoft European Warrants Sep 2014 D	0.300	35.930	2014/09/22	50,000,000	2014/04/03
15113	UBS AG	ZTE European Warrants Nov 2014 A	0.250	15.380	2014/11/03	50,000,000	2014/04/03
15159	BOCI Asia Ltd.	Galaxy Ent European Warrants Oct 2014 A	0.400	80.000	2014/10/13	100,000,000	2014/04/04
15160	BOCI Asia Ltd.	Sands China European Put Wts Oct 2014 B	0.250	45.000	2014/10/13	100,000,000	2014/04/04
15162	BOCI Asia Ltd.	Tencent Holdings European Wts Oct 2014 C	0.300	650 per 100 units	2014/10/06	100,000,000	2014/04/04
15161	BOCI Asia Ltd.	Tencent Holdings European Put Wts Sep 2014 D	0.250	428 per 100 units	2014/09/22	100,000,000	2014/04/04
15182	Credit Suisse AG	Galaxy Ent European Warrants Oct 2014 A	0.500	78.980	2014/10/03	60,000,000	2014/04/04
15185	Credit Suisse AG	Galaxy Ent European Put Wts Oct 2014 B	0.250	50.180	2014/10/03	50,000,000	2014/04/04
15181	Credit Suisse AG	REXLot Holdings European Wts Dec 2014 A	0.250	1.12 per unit	2014/12/22	50,000,000	2014/04/04
15186	Credit Suisse AG	Sands China European Put Wts Oct 2014 A	0.250	44.380	2014/10/03	50,000,000	2014/04/04
15171	Daiwa Capital Mkt	AIA European Warrants Dec 2014 B	0.170	40.900	2014/12/01	108,000,000	2014/04/04
15172	Daiwa Capital Mkt	AIA European Warrants Dec 2014 C	0.330	36.180	2014/12/01	108,000,000	2014/04/04
15173	Daiwa Capital Mkt	AIA European Put Warrants Oct 2014 A	0.150	32.980	2014/10/08	128,000,000	2014/04/04
15176	Daiwa Capital Mkt	Galaxy Ent European Warrants Oct 2014 A	0.530	76.880	2014/10/15	80,000,000	2014/04/04
15177	Daiwa Capital Mkt	Galaxy Ent European Warrants Oct 2014 B	0.330	84.880	2014/10/03	80,000,000	2014/04/04
15178	Daiwa Capital Mkt	Galaxy Ent European Put Wts Oct 2014 C	0.300	52.000	2014/10/03	68,000,000	2014/04/04
15167	Daiwa Capital Mkt	HSBC European Warrants Oct 2014 A	0.250	86.880	2014/10/15	128,000,000	2014/04/04
15169	Daiwa Capital Mkt	HSBC European Warrants Oct 2014 B	0.340	80.980	2014/10/03	128,000,000	2014/04/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15170	Daiwa Capital Mkt	HSBC European Put Warrants Oct 2014 C	0.250	72.000	2014/10/03	80,000,000	2014/04/04
15180	Daiwa Capital Mkt	PetroChina European Warrants Nov 2014 A	0.250	9.98 per unit	2014/11/03	98,000,000	2014/04/04
15191	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Aug 2014 D	0.347	77.050	2014/08/04	80,000,000	2014/04/04
15194	Goldman Sachs SP (Asia)	Sands China Euro Wts Aug 2014 A	0.233	71.880	2014/08/29	80,000,000	2014/04/04
15152	HK Bank	GCL-Poly Energy European Warrants Oct 2014 A	0.300	2.98 per unit	2014/10/03	60,000,000	2014/04/04
15156	HK Bank	HSI European Put Warrants Oct 2014 B	0.150	20,100 per 6,200 units	2014/10/30	200,000,000	2014/04/04
15154	HK Bank	Haitong Sec European Warrants Nov 2015 A	0.180	11.820	2015/11/25	60,000,000	2014/04/04
15151	HK Bank	Tencent European Warrants Jul 2014 H	0.350	568.50 per 100 units	2014/07/31	60,000,000	2014/04/04
15150	HK Bank	Tencent European Put Warrants Aug 2014 H	0.290	439.80 per 100 units	2014/08/29	60,000,000	2014/04/04
15203	J P Morgan SP BV	Anhui Conch European Warrants Oct 2014 B	0.250	38.800	2014/10/03	150,000,000	2014/04/04
15190	J P Morgan SP BV	BOCL European Warrants Mar 2015 A	0.250	3.80 per unit	2015/03/31	200,000,000	2014/04/04
15147	J P Morgan SP BV	Fosun Intl European Warrants Jul 2015 A	0.250	10.880	2015/07/02	80,000,000	2014/04/04
15143	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Oct 2014 B	0.253	9,600 per 4,000 units	2014/10/30	300,000,000	2014/04/04
15144	J P Morgan SP BV	HSCEI European Put Warrants Oct 2014 C	0.250	9,800 per 4,800 units	2014/10/30	300,000,000	2014/04/04
15204	J P Morgan SP BV	HSI European Warrants Sep 2014 H	0.150	22,400 per 6,000 units	2014/09/29	500,000,000	2014/04/04
15189	J P Morgan SP BV	KECL European Warrants Jul 2015 B	0.150	14.900	2015/07/02	150,000,000	2014/04/04
15188	J P Morgan SP BV	Tencent European Warrants Aug 2014 F	0.207	666 per 100 units	2014/08/01	200,000,000	2014/04/04
15187	J P Morgan SP BV	Tencent European Warrants Oct 2014 I	0.258	700 per 100 units	2014/10/03	200,000,000	2014/04/04
15146	J P Morgan SP BV	Tencent European Put Warrants Oct 2014 H	0.251	425 per 100 units	2014/10/03	200,000,000	2014/04/04
15195	Macquarie Bank Ltd.	Belle Int'l European Wts Jan 2016 A	0.150	8.800	2016/01/05	70,000,000	2014/04/04
15197	Macquarie Bank Ltd.	Galaxy Ent European Wts Dec 2015 A	0.150	75.80 per 100 units	2015/12/02	70,000,000	2014/04/04
15158	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Mar 2015 A	0.250	50.000	2015/03/03	60,000,000	2014/04/04
15198	Macquarie Bank Ltd.	Sands China European Wts Sep 2014 B	0.201	73.280	2014/09/02	50,000,000	2014/04/04
15196	Macquarie Bank Ltd.	Yurun Food European Wts Nov 2014 A	0.250	5 per unit	2014/11/04	50,000,000	2014/04/04
15200	Standard Chartered Bank	Tencent Hldg Euro Wts Nov 2014 A	0.350	700 per 100 units	2014/11/03	100,000,000	2014/04/04
15202	Standard Chartered Bank	Tencent Hldg Euro Wts Feb 2015 A	0.700	600 per 100 units	2015/02/04	100,000,000	2014/04/04
15199	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2014 A	0.250	5.68 per unit	2014/11/05	100,000,000	2014/04/04
15157	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Apr17A	0.160	58.88 per 100 units	2017/04/03	300,000,000	2014/04/04
15166	UBS AG	AIA European Warrants Nov 2014 A	0.150	40.930	2014/11/21	100,000,000	2014/04/04
15164	UBS AG	China Life European Warrants Dec 2014 B	0.150	22.930	2014/12/01	100,000,000	2014/04/04
15165	UBS AG	ICBC European Warrants Dec 2014 A	0.170	5.29 per unit	2014/12/29	100,000,000	2014/04/04
15212	Credit Suisse AG	PICC Group European Warrants Sep 2014 A	0.150	3.61 per unit	2014/09/29	70,000,000	2014/04/07
15218	Daiwa Capital Mkt	China Life European Warrants Dec 2014 B	0.150	24.980	2014/12/24	138,000,000	2014/04/07
15214	Daiwa Capital Mkt	China Mobile European Wts Nov 2014 B	0.150	78.900	2014/11/03	108,000,000	2014/04/07
15222	Daiwa Capital Mkt	China Mobile European Put Wts Oct 2014 A	0.350	64.800	2014/10/15	80,000,000	2014/04/07
15213	Daiwa Capital Mkt	CNOOC European Warrants Dec 2014 B	0.250	9.990	2014/12/18	128,000,000	2014/04/07
15215	Daiwa Capital Mkt	Ch Overseas European Warrants Dec 2014 A	0.150	22.980	2014/12/19	80,000,000	2014/04/07
15219	Daiwa Capital Mkt	Sinopec Corp European Put Wts Nov 2014 A	0.310	5.98 per unit	2014/11/14	68,000,000	2014/04/07
15223	Daiwa Capital Mkt	ICBC European Put Warrants Dec 2014 B	0.400	4.38 per unit	2014/12/01	80,000,000	2014/04/07
15220	Daiwa Capital Mkt	PetroChina European Put Wts Dec 2014 A	0.490	7.48 per unit	2014/12/08	68,000,000	2014/04/07
15224	Daiwa Capital Mkt	Sands China European Put Wts Oct 2014 A	0.250	46.000	2014/10/08	68,000,000	2014/04/07
15217	Daiwa Capital Mkt	Tencent Holdings European Wts Dec 2014 B	0.250	840 per 100 units	2014/12/15	158,000,000	2014/04/07
15205	Macquarie Bank Ltd.	Nine Dragons European Wts Apr 2016 A	0.150	7.800	2016/04/05	70,000,000	2014/04/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15208	Standard Chartered Bank	Anhui Conch Euro Wts Oct 2014 A	0.250	40.880	2014/10/30	80,000,000	2014/04/07
15211	Standard Chartered Bank	Anhui Conch Eur Put Wt Oct 2014 B	0.250	28.880	2014/10/30	80,000,000	2014/04/07
15207	Standard Chartered Bank	BOC HK European Wts Jan 2015 A	0.250	20.000	2015/01/29	80,000,000	2014/04/07
15206	Standard Chartered Bank	Galaxy Ent Euro Put Wts Oct 2014 B	0.250	48.880	2014/10/30	80,000,000	2014/04/07
15229	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Dec15A	0.150	68.88 per 100 units	2015/12/02	500,000,000	2014/04/07
15226	SGA Societe Generale Acceptance N.V.	PICC P&C Eu Wt Jan16 A	0.200	10.600	2016/01/06	120,000,000	2014/04/07
15228	UBS AG	Evergrande European Warrants Oct 2014 A	0.250	4.38 per unit	2014/10/10	40,000,000	2014/04/07
28827*	HK Bank	CSR European Warrants Jan 2015 A	0.080	6.990	2015/01/05	200,000,000	2014/04/07
15242	Credit Suisse	Cheung Kong European Put Warrants Oct 2014 A	0.340	109.880	2014/10/07	50,000,000	2014/04/08
15238	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2014 D	0.152	23,900 per 5,500 units	2014/08/28	80,000,000	2014/04/08
15237	HK Bank	Agricultural Bank European Warrants Oct 2014 A	0.250	3.50 per unit	2014/10/31	80,000,000	2014/04/08
15235	HK Bank	BOCL European Warrants Oct 2014 A	0.150	3.59 per unit	2014/10/10	80,000,000	2014/04/08
15234	HK Bank	CC Bank European Warrants Oct 2014 A	0.250	5.78 per unit	2014/10/31	80,000,000	2014/04/08
15231	HK Bank	CNOOC European Warrants Sep 2014 A	0.160	10.140	2014/09/01	100,000,000	2014/04/08
15230	HK Bank	China Tel European Warrants Dec 2014 A	0.250	4.10 per unit	2014/12/31	80,000,000	2014/04/08
15240	Macquarie Bank Ltd.	Intime European Warrants Nov 2014 A	0.599	11.50 per unit	2014/11/03	40,000,000	2014/04/08
15247	Standard Chartered Bank	Evergrande European Wts Aug 2014 A	0.160	4.19 per unit	2014/08/22	70,000,000	2014/04/08
15241	UBS AG	Evergrande European Warrants Jan 2015 A	0.250	4.88 per unit	2015/01/19	40,000,000	2014/04/08
15250	BOCI Asia Ltd.	HSCEI European Warrants Dec 2014 B	0.150	10,600 per 4,000 units	2014/12/30	100,000,000	2014/04/09
15251	BOCI Asia Ltd.	HSI European Put Warrants Oct 2014 A	0.150	21,000 per 7,800 units	2014/10/30	100,000,000	2014/04/09
15284	Credit Suisse AG	CNOOC European Warrants Oct 2014 B	0.250	14.08 per unit	2014/10/08	60,000,000	2014/04/09
15282	Credit Suisse AG	Sinopec Corp European Put Wts Jul 2014 B	0.190	5.99 per unit	2014/07/31	60,000,000	2014/04/09
15249	Goldman Sachs SP (Asia)	AIA European Put Wts Nov 2014 A	0.252	34.500	2014/11/28	80,000,000	2014/04/09
15248	Goldman Sachs SP (Asia)	ZTE European Warrants Oct 2014 A	0.154	17.400	2014/10/30	80,000,000	2014/04/09
15259	HK Bank	CRCC European Warrants Oct 2014 A	0.250	8.38 per unit	2014/10/31	60,000,000	2014/04/09
15261	HK Bank	CSR European Warrants Oct 2014 A	0.350	7.50 per unit	2014/10/31	60,000,000	2014/04/09
15260	HK Bank	HSI European Warrants Jul 2014 L	0.150	23,388 per 5,900 units	2014/07/30	200,000,000	2014/04/09
15258	HK Bank	Kingsoft European Warrants Oct 2014 A	0.300	38.880	2014/10/31	60,000,000	2014/04/09
15257	Macquarie Bank Ltd.	China Railway European Wts Feb 2015 A	0.250	5 per unit	2015/02/03	40,000,000	2014/04/09
15256	Macquarie Bank Ltd.	CRCC European Warrants Nov 2014 A	0.250	9.50 per unit	2014/11/04	40,000,000	2014/04/09
15262	Macquarie Bank Ltd.	CSR European Warrants Oct 2016 A	0.250	7.500	2016/10/04	100,000,000	2014/04/09
15252	Standard Chartered Bank	Belle Int'l European Wts Dec 2015A	0.160	8.800	2015/12/28	100,000,000	2014/04/09
15285	Standard Chartered Bank	Intime European Warrants Jul 2015A	0.250	8.000	2015/07/02	50,000,000	2014/04/09
15253	Standard Chartered Bank	Kingsoft European Wts Sep 2014 A	0.370	36.880	2014/09/25	60,000,000	2014/04/09
15255	Standard Chartered Bank	Kingsoft European Wts Oct 2014 A	0.250	42.880	2014/10/08	60,000,000	2014/04/09
15269	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Jan 2017 B	0.180	49.90 per 100 units	2017/01/04	300,000,000	2014/04/09
15275	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Jul 2016 A	0.165	8.880	2016/07/06	150,000,000	2014/04/09
15278	SGA Societe Generale Acceptance N.V.	CSR Euro Wts Dec 18 A	0.190	8.800	2018/12/05	150,000,000	2014/04/09
15280	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 14 B	0.190	22,200 per 8,000 units	2014/10/30	150,000,000	2014/04/09
15264	UBS AG	AAC Acoustic European Warrants Oct 2014 B	0.340	50.880	2014/10/13	30,000,000	2014/04/09
15263	UBS AG	Cheung Kong European Warrants Oct 2014 A	0.300	148.880	2014/10/08	40,000,000	2014/04/09
15268	UBS AG	Galaxy Ent European Put Warrants Oct 2014 A	0.500	59.880	2014/10/10	40,000,000	2014/04/09
15267	UBS AG	HKEx European Warrants Oct 2014 B	0.250	148.000	2014/10/08	60,000,000	2014/04/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15266	UBS AG	HKEx European Put Warrants Sep 2015 A	0.260	128.70 per 100 units	2015/09/21	50,000,000	2014/04/09
15265	UBS AG	Li & Fung European Warrants Oct 2014 A	0.280	13.88 per unit	2014/10/08	40,000,000	2014/04/09
15323	BOCI Asia Ltd.	Anhui Conch European Warrants Oct 2014 B	0.250	40.000	2014/10/20	100,000,000	2014/04/10
15328	BOCI Asia Ltd.	HKEx European Warrants Dec 2015 A	0.150	126.73 per 100 units	2015/12/23	100,000,000	2014/04/10
15326	BOCI Asia Ltd.	HKEx European Put Warrants Sep 2015 A	0.200	128.80 per 100 units	2015/09/21	100,000,000	2014/04/10
15325	BOCI Asia Ltd.	Sands China European Warrants Oct 2014 C	0.430	75.000	2014/10/20	100,000,000	2014/04/10
15320	Credit Suisse AG	GCL-Poly Energy European Wts Oct 2014 A	0.250	3.88 per unit	2014/10/10	60,000,000	2014/04/10
15318	Credit Suisse AG	HSI European Put Warrants Aug 2014 G	0.150	21,300 per 6,000 units	2014/08/28	150,000,000	2014/04/10
15316	Credit Suisse AG	HSI European Put Warrants Sep 2014 F	0.150	21,600 per 7,000 units	2014/09/29	150,000,000	2014/04/10
15319	Credit Suisse AG	Ping An European Warrants Aug 2014 C	0.150	77.300	2014/08/25	70,000,000	2014/04/10
15321	Credit Suisse AG	Tencent Holdings European Wts Oct 2014 B	0.250	750.38 per 100 units	2014/10/10	100,000,000	2014/04/10
15335	Daiwa Capital Mkt	HKEx European Warrants Oct 2014 B	0.150	154.980	2014/10/03	98,000,000	2014/04/10
15290	Goldman Sachs SP (Asia)	Geely Auto European Wts Nov 2014 A	0.256	3.68 per unit	2014/11/28	80,000,000	2014/04/10
15289	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Aug 2014 E	0.309	88.880	2014/08/22	80,000,000	2014/04/10
15291	Goldman Sachs SP (Asia)	Melco Int'l Dev Eur Wts Oct 2014 A	0.269	30.880	2014/10/28	80,000,000	2014/04/10
15292	Goldman Sachs SP (Asia)	PetroChina European Wts Oct 2014 A	0.261	9.58 per unit	2014/10/28	80,000,000	2014/04/10
15307	HK Bank	AIA European Warrants Dec 2014 A	0.150	42.930	2014/12/31	80,000,000	2014/04/10
15306	HK Bank	China Overseas European Warrants Dec 2014 A	0.180	23.050	2014/12/22	80,000,000	2014/04/10
15330	HK Bank	CSR European Warrants Dec 2014 A	0.150	5.890	2014/12/31	150,000,000	2014/04/10
15331	HK Bank	HKEx European Warrants Sep 2014 B	0.200	148.080	2014/09/23	60,000,000	2014/04/10
15304	HK Bank	Henderson Land Dev European Warrants Oct 2014 A	0.250	53.380	2014/10/31	60,000,000	2014/04/10
15308	HK Bank	HSI European Warrants Aug 2014 J	0.150	23,988 per 5,500 units	2014/08/28	200,000,000	2014/04/10
15311	HK Bank	HSI European Put Warrants Sep 2014 K	0.160	20,600 per 6,500 units	2014/09/29	200,000,000	2014/04/10
15312	Macquarie Bank Ltd.	Anhui Conch European Wts Nov 2014 A	0.250	43.880	2014/11/05	40,000,000	2014/04/10
15297	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Nov 2014 A	0.250	42.000	2014/11/26	40,000,000	2014/04/10
15301	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Nov 2014 A	0.275	150.000	2014/11/03	40,000,000	2014/04/10
15293	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Dec 2014 A	0.153	25.050	2014/12/24	80,000,000	2014/04/10
15296	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Sep 2014 B	0.159	8.26 per unit	2014/09/25	70,000,000	2014/04/10
15294	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Dec 2014 A	0.152	9.01 per unit	2014/12/24	70,000,000	2014/04/10
15329	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2014 C	0.220	148.100	2014/09/23	70,000,000	2014/04/10
15299	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Dec 2014 A	0.250	92.000	2014/12/10	40,000,000	2014/04/10
15286	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2014 A	0.250	23,000 per 6,000 units	2014/10/30	100,000,000	2014/04/10
15287	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2014 B	0.310	21,300 per 6,000 units	2014/10/30	100,000,000	2014/04/10
15288	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2014 C	0.305	22,000 per 6,500 units	2014/10/30	100,000,000	2014/04/10
15298	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Dec 2014 A	0.260	128.800	2014/12/15	40,000,000	2014/04/10
15322	Standard Chartered Bank	Jiangxi Copper Euro Wts Nov 2015 A	0.180	14.020	2015/11/25	80,000,000	2014/04/10
15332	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Dec 2014 A	0.260	158.880	2014/12/03	40,000,000	2014/04/10
15340	UBS AG	Cheung Kong European Warrants Dec 2015 A	0.250	120 per 100 units	2015/12/28	100,000,000	2014/04/10
15337	UBS AG	HKEx European Warrants Sep 2014 A	0.285	152.900	2014/09/12	60,000,000	2014/04/10
15336	UBS AG	Kingsoft European Warrants Dec 2014 A	0.210	53.930	2014/12/24	50,000,000	2014/04/10
15338	UBS AG	Ping An European Put Warrants Oct 2014 C	0.180	53.830	2014/10/03	60,000,000	2014/04/10
15374	BNP Paribas Arbit Issu B.V.	Ch Comm Cons Eur Wt Nov 2014 A	0.460	6.45 per unit	2014/11/04	29,000,000	2014/04/11
15376	BNP Paribas Arbit Issu B.V.	China EB Int'l Eu Wts Jan 15 A	0.150	12.280	2015/01/05	100,000,000	2014/04/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15377	BNP Paribas Arbit Issu B.V.	CITIC Pacific Eu Wt Jan 2016 B	0.250	16.880	2016/01/05	100,000,000	2014/04/11
15378	BNP Paribas Arbit Issu B.V.	Chi Resources Eu Wt Feb 2015 A	0.250	25.000	2015/02/03	58,000,000	2014/04/11
15375	BNP Paribas Arbit Issu B.V.	CSPC Pharma Euro Wts Aug16A	0.250	6.880	2016/08/02	100,000,000	2014/04/11
15388	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Put Wts Nov14A	0.250	50.000	2014/11/04	100,000,000	2014/04/11
15381	BNP Paribas Arbit Issu B.V.	Hutchison Eu Put Wt Nov 2014 B	0.250	85.500	2014/11/04	100,000,000	2014/04/11
15392	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Apr 2015 A	0.250	11.45 per unit	2015/04/02	100,000,000	2014/04/11
15384	BNP Paribas Arbit Issu B.V.	Sa Sa Int'l Eu Wts Oct 2016 A	0.250	6.800	2016/10/04	100,000,000	2014/04/11
15389	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Sep 2014 C	0.150	747.50 per 100 units	2014/09/02	100,000,000	2014/04/11
15390	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Oct 2014 D	0.150	800 per 100 units	2014/10/27	100,000,000	2014/04/11
15391	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Oct 2014 E	0.170	400 per 100 units	2014/10/06	100,000,000	2014/04/11
15380	BNP Paribas Arbit Issu B.V.	Ting Yi European Wts Feb 2015 A	0.260	23.500	2015/02/03	76,000,000	2014/04/11
15373	BNP Paribas Arbit Issu B.V.	Yuexiu Property Eu Wt Feb 15 A	0.290	1.80 per unit	2015/02/03	37,000,000	2014/04/11
15371	Credit Suisse AG	HKEx European Put Warrants Oct 2014 A	0.470	108.880	2014/10/10	50,000,000	2014/04/11
15393	Bank of East Asia	CITIC Pacific European Wts May 2015 A	0.150	16.900	2015/05/06	70,000,000	2014/04/11
15394	Bank of East Asia	CRCC European Warrants Oct 2014 A	0.250	10.186 per unit	2014/10/31	40,000,000	2014/04/11
15359	Bank of East Asia	New World Dev European Wts Dec 2015 A	0.250	6.000	2015/12/24	100,000,000	2014/04/11
15366	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Aug 2014 F	0.218	55.000	2014/08/26	80,000,000	2014/04/11
15370	Goldman Sachs SP (Asia)	HKEx European Warrants Oct 2014 A	0.392	154.000	2014/10/30	80,000,000	2014/04/11
15386	Goldman Sachs SP (Asia)	Tencent European Wt Aug 2014 D	0.316	631.80 per 100 units	2014/08/29	80,000,000	2014/04/11
15387	Goldman Sachs SP (Asia)	Tencent European Put Wt Aug 2014 E	0.258	439.88 per 100 units	2014/08/29	80,000,000	2014/04/11
15346	Nomura Int'l plc	Anhui Conch European Warrants Oct 2014 A	0.250	42.000	2014/10/28	50,000,000	2014/04/11
15344	Nomura Int'l plc	CITIC P European Warrants May 2015 A	0.250	16.000	2015/05/08	50,000,000	2014/04/11
15353	Nomura Int'l plc	CITIC Sec European Put Wts Nov 2014 A	0.259	16.080	2014/11/28	80,000,000	2014/04/11
15355	Nomura Int'l plc	China Life European Warrants Dec 2014 A	0.150	25.000	2014/12/30	80,000,000	2014/04/11
15348	Nomura Int'l plc	Chinares Cement European Warrants Oct14 A	0.330	7.50 per unit	2014/10/28	50,000,000	2014/04/11
15349	Nomura Int'l plc	Ch State Con European Warrants Feb 2015 A	0.150	16.020	2015/02/23	80,000,000	2014/04/11
15345	Nomura Int'l plc	HKEx European Warrants Nov 2014 A	0.250	160.000	2014/11/28	50,000,000	2014/04/11
15351	Nomura Int'l plc	Kingsoft European Warrants Sep 2014 E	0.208	38.900	2014/09/25	80,000,000	2014/04/11
15343	Nomura Int'l plc	Poly European Warrants Nov 2014 A	0.250	4.68 per unit	2014/11/28	50,000,000	2014/04/11
15354	Nomura Int'l plc	Yuexiu Property European Wts May 2015 A	0.250	2.18 per unit	2015/05/29	50,000,000	2014/04/11
15361	Standard Chartered Bank	CITIC Sec European Wts Oct 2014 A	0.160	18.700	2014/10/23	80,000,000	2014/04/11
15363	Standard Chartered Bank	China Overseas Euro Wt Jan 2015 A	0.250	21.380	2015/01/06	80,000,000	2014/04/11
15364	Standard Chartered Bank	CSR European Warrants Oct 2014 A	0.300	8.88 per unit	2014/10/13	35,000,000	2014/04/11
15372	Standard Chartered Bank	HKEx European Warrants Jan 2015 A	0.250	168.000	2015/01/05	80,000,000	2014/04/11
15365	Standard Chartered Bank	HKEx European Put Wts Oct 2014 B	0.190	100.000	2014/10/24	80,000,000	2014/04/11
15360	Standard Chartered Bank	Huishan Dairy Euro Wts Nov 2014 A	0.250	3 per unit	2014/11/28	40,000,000	2014/04/11
15357	UBS AG	Kingsoft European Warrants Oct 2014 A	0.280	41.380	2014/10/10	50,000,000	2014/04/11
15356	UBS AG	Yurun Food European Warrants Oct 2014 A	0.300	4.88 per unit	2014/10/10	40,000,000	2014/04/11
13064 [#]	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Jul 2014 B	0.050	96.880	2014/07/07	200,000,000	2014/04/11
13546 [#]	UBS AG	Galaxy Ent European Warrants Oct 2015 A	0.097	83.93 per 100 units	2015/10/23	250,000,000	2014/04/11
15440	BNP Paribas Arbit Issu B.V.	Ch Mer Hold Euro Wts Jan 2015 A	0.250	27.500	2015/01/05	60,000,000	2014/04/14
15442	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jan 2015 B	0.250	80.900	2015/01/05	100,000,000	2014/04/14
15436	BNP Paribas Arbit Issu B.V.	HSBC European Wts Aug 2014 B	0.150	86.000	2014/08/04	100,000,000	2014/04/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15441	BNP Paribas Arbit Issu B.V.	ZTE European Wts Apr 2015 A	0.250	18.800	2015/04/02	70,000,000	2014/04/14
15404	Credit Suisse AG	Sinopec Corp European Warrants Sep 2014 A	0.180	8.26 per unit	2014/09/29	70,000,000	2014/04/14
15423	Credit Suisse AG	Kingsoft European Warrants Sep 2014 C	0.240	34.700	2014/09/29	80,000,000	2014/04/14
15424	Credit Suisse AG	Kingsoft European Warrants Oct 2014 A	0.280	37.680	2014/10/13	80,000,000	2014/04/14
15402	Credit Suisse AG	Tencent Holdings European Wts Oct 2014 C	0.420	622.88 per 100 units	2014/10/13	100,000,000	2014/04/14
15401	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 B	0.180	818 per 100 units	2014/12/22	100,000,000	2014/04/14
15403	Credit Suisse AG	Tencent Holdings Euro Put Wts Oct 2014 D	0.250	412.88 per 100 units	2014/10/13	80,000,000	2014/04/14
15425	Citigroup Global Mkt H Inc.	Haier Elec Euro Wts Oct 2014 A	0.250	20.000	2014/10/20	60,000,000	2014/04/14
15426	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jul 2014 B	0.180	71.880	2014/07/28	60,000,000	2014/04/14
15407	Citigroup Global Mkt H Inc.	PWR Assets European Wts Oct14A	0.250	76.800	2014/10/20	50,000,000	2014/04/14
15428	Citigroup Global Mkt H Inc.	Tencent European Wts Sep 2014 B	0.210	680 per 100 units	2014/09/15	100,000,000	2014/04/14
15431	Citigroup Global Mkt H Inc.	Tencent European Wts Sep 2014 C	0.380	588 per 100 units	2014/09/22	100,000,000	2014/04/14
15405	Daiwa Capital Mkt	Tencent Holdings European Wts Oct 2014 C	0.380	584 per 100 units	2014/10/03	128,000,000	2014/04/14
15398	HK Bank	CITIC Pacific European Warrants Jan 2015 A	0.180	14.900	2015/01/26	100,000,000	2014/04/14
15397	HK Bank	Cheung Kong European Warrants Oct 2014 A	0.250	149.880	2014/10/31	60,000,000	2014/04/14
15399	HK Bank	Lenovo European Warrants Jun 2015 A	0.150	9.990	2015/06/29	100,000,000	2014/04/14
15400	HK Bank	Tencent European Warrants Jun 2015 A	0.480	700.38 per 100 units	2015/06/01	80,000,000	2014/04/14
15420	Macquarie Bank Ltd.	Tencent European Warrants Nov 2014 A	0.704	490 per 100 units	2014/11/04	80,000,000	2014/04/14
15422	Macquarie Bank Ltd.	Tencent European Warrants Nov 2014 B	0.327	600 per 100 units	2014/11/04	80,000,000	2014/04/14
15417	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2015 A	0.265	168.000	2015/01/05	40,000,000	2014/04/14
15418	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Nov14A	0.370	33.880	2014/11/05	40,000,000	2014/04/14
15409	UBS AG	Zhuzhou CSR European Warrants Oct 2014 A	0.450	8.08 per unit	2014/10/13	23,000,000	2014/04/14
15414	UBS AG	Geely Auto European Warrants Sep 2014 C	0.150	4 per unit	2014/09/25	70,000,000	2014/04/14
15408	UBS AG	Kingsoft European Warrants Oct 2014 B	0.420	30.880	2014/10/17	50,000,000	2014/04/14
15413	UBS AG	Tencent Holdings European Warrants Sep 2014 H	0.380	588.50 per 100 units	2014/09/22	100,000,000	2014/04/14
15451	BOCI Asia Ltd.	AIA European Warrants Oct 2014 A	0.180	39.280	2014/10/06	100,000,000	2014/04/15
15450	BOCI Asia Ltd.	AIA European Warrants Nov 2014 A	0.160	40.880	2014/11/24	100,000,000	2014/04/15
15454	BOCI Asia Ltd.	BOC HK European Warrants Dec 2014 A	0.150	22.800	2014/12/22	100,000,000	2014/04/15
15455	BOCI Asia Ltd.	China Mobile European Warrants Oct 2014 C	0.150	78.880	2014/10/29	100,000,000	2014/04/15
15458	BOCI Asia Ltd.	HSBC European Warrants Oct 2014 A	0.150	86.880	2014/10/13	100,000,000	2014/04/15
15459	Credit Suisse AG	ICBC European Warrants Oct 2014 A	0.250	5.48 per unit	2014/10/20	80,000,000	2014/04/15
15467	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Oct 2014 A	0.340	148.000	2014/10/24	30,000,000	2014/04/15
15443	Goldman Sachs SP (Asia)	Kingsoft European Wts Aug 2014 A	0.260	32.050	2014/08/28	80,000,000	2014/04/15
15445	Standard Chartered Bank	HS H-SHARE European Wts Oct 2014 A	0.250	125.000	2014/10/30	60,000,000	2014/04/15
15444	Standard Chartered Bank	Kingsoft European Put Wts Sep2014B	0.160	21.830	2014/09/11	80,000,000	2014/04/15
15447	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 14 B	0.375	588.50 per 100 units	2014/10/06	150,000,000	2014/04/15
15462	UBS AG	China Mobile European Warrants Oct 2014 E	0.250	76.880	2014/10/14	100,000,000	2014/04/15
15460	UBS AG	A50 China European Warrants Oct 2014 B	0.180	10.52 per unit	2014/10/21	70,000,000	2014/04/15
15463	UBS AG	Greatwall Motor European Warrants Sep 2014 B	0.250	50.050	2014/09/25	40,000,000	2014/04/15
15465	UBS AG	HSBC European Put Warrants Sep 2014 D	0.240	72.830	2014/09/23	50,000,000	2014/04/15
15461	UBS AG	Ping An European Warrants Aug 2014 B	0.190	78.050	2014/08/11	100,000,000	2014/04/15
15464	UBS AG	SHK Ppt European Warrants Oct 2014 A	0.250	118.000	2014/10/14	40,000,000	2014/04/15
15466	UBS AG	Tencent Holdings European Put Warrants Sep 2014 I	0.150	400 per 100 units	2014/09/25	100,000,000	2014/04/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15493	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2014 A	0.260	155.000	2014/09/25	50,000,000	2014/04/16
15494	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2014 B	0.550	139.800	2014/09/15	50,000,000	2014/04/16
15495	Citigroup Global Mkt H Inc.	Ping An Euro Put Wt Sep 2014 A	0.270	58.000	2014/09/24	80,000,000	2014/04/16
15468	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2014 D	0.202	21,900 per 6,800 units	2014/07/30	150,000,000	2014/04/16
15491	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2014 E	0.152	22,400 per 6,500 units	2014/07/30	150,000,000	2014/04/16
15478	Standard Chartered Bank	PetroChina Euro Put Wts Oct 2014 B	0.250	7.08 per unit	2014/10/30	60,000,000	2014/04/16
15475	Standard Chartered Bank	Ping An European Wts Sep 2014 A	0.300	70.000	2014/09/10	60,000,000	2014/04/16
15476	Standard Chartered Bank	Ping An European Wts Dec 2015 A	0.150	60 per 100 units	2015/12/23	200,000,000	2014/04/16
15472	Standard Chartered Bank	Sands China Euro Wts Aug 2014 B	0.230	71.880	2014/08/27	80,000,000	2014/04/16
15474	Standard Chartered Bank	Sands China Euro Wts Apr 2017 A	0.170	58.88 per 100 units	2017/04/03	200,000,000	2014/04/16
15486	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2015 A	0.150	3.80 per unit	2015/01/05	100,000,000	2014/04/16
15469	SGA Societe Generale Acceptance N.V.	CRCC Eu Wt Dec 2014 A	0.500	10 per unit	2014/12/03	20,000,000	2014/04/16
15490	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Dec14A	0.335	10 per unit	2014/12/03	30,000,000	2014/04/16
15488	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Apr 15 A	0.250	24.000	2015/04/08	100,000,000	2014/04/16
15471	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Dec 2014B	0.310	10 per unit	2014/12/03	40,000,000	2014/04/16
15489	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Oct14A	0.250	50.000	2014/10/06	40,000,000	2014/04/16
15470	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Aug 15 A	0.400	52.000	2015/08/03	25,000,000	2014/04/16
15480	UBS AG	BOCL European Warrants Dec 2014 A	0.150	3.81 per unit	2014/12/24	100,000,000	2014/04/16
15482	UBS AG	CITIC Sec European Warrants Oct 2014 A	0.190	18.700	2014/10/23	60,000,000	2014/04/16
15485	UBS AG	Haitong Sec European Warrants Dec 2014 A	0.150	12.900	2014/12/23	70,000,000	2014/04/16
15481	UBS AG	Sands China European Put Warrants Oct 2014 A	0.230	44.330	2014/10/10	50,000,000	2014/04/16
15506	BOCI Asia Ltd.	CC Bank European Warrants Oct 2014 A	0.180	6 per unit	2014/10/06	100,000,000	2014/04/17
15507	BOCI Asia Ltd.	Sinopec Corp European Warrants Nov 2014 A	0.250	8.68 per unit	2014/11/03	100,000,000	2014/04/17
15502	BOCI Asia Ltd.	ICBC European Warrants Nov 2014 A	0.250	5.38 per unit	2014/11/03	100,000,000	2014/04/17
15504	BOCI Asia Ltd.	Tencent Holdings European Wts Oct 2014 D	0.370	625 per 100 units	2014/10/27	100,000,000	2014/04/17
15505	BOCI Asia Ltd.	Tencent Holdings European Wts Jun 2015 A	0.490	700 per 100 units	2015/06/01	100,000,000	2014/04/17
15524	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Oct 2014 B	0.190	80.880	2014/10/06	100,000,000	2014/04/17
15528	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Mar 2015 A	0.200	82.500	2015/03/03	100,000,000	2014/04/17
15530	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Jul 2015 A	0.150	64.88 per 100 units	2015/07/03	100,000,000	2014/04/17
15532	BNP Paribas Arbit Issu B.V.	HSBC European Wts Oct 2014 B	0.180	86.000	2014/10/06	100,000,000	2014/04/17
15533	BNP Paribas Arbit Issu B.V.	Ping An European Wt Sep 2014 A	0.180	77.280	2014/09/02	100,000,000	2014/04/17
15534	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Sep 2014 A	0.230	73.280	2014/09/02	100,000,000	2014/04/17
15523	BNP Paribas Arbit Issu B.V.	SHK Ppt Eu Put Wts Jan 2015 A	0.260	79.000	2015/01/05	65,000,000	2014/04/17
15546	Credit Suisse AG	HSI European Put Warrants Jul 2014 C	0.150	22,400 per 6,500 units	2014/07/30	150,000,000	2014/04/17
15545	Credit Suisse AG	HSI European Put Warrants Oct 2014 A	0.150	22,200 per 8,000 units	2014/10/30	150,000,000	2014/04/17
15574	Citigroup Global Mkt H Inc.	AIA European Wts Feb 2015 A	0.250	42.680	2015/02/16	100,000,000	2014/04/17
15560	Citigroup Global Mkt H Inc.	CGS European Wts Jul 2014 A	0.200	6.88 per unit	2014/07/25	50,000,000	2014/04/17
15552	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Aug 2014 A	0.150	8.78 per unit	2014/08/26	100,000,000	2014/04/17
15571	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Oct 2014 A	0.350	9.68 per unit	2014/10/16	60,000,000	2014/04/17
15554	Citigroup Global Mkt H Inc.	A50 China Euro Wts Sep 2014 A	0.210	10.50 per unit	2014/09/23	80,000,000	2014/04/17
15573	Citigroup Global Mkt H Inc.	A50 China Euro Wts Oct 2014 A	0.400	9.50 per unit	2014/10/16	80,000,000	2014/04/17
15563	Citigroup Global Mkt H Inc.	HSBC European Wts Sep 2014 D	0.210	86.000	2014/09/25	100,000,000	2014/04/17
15581	Citigroup Global Mkt H Inc.	Haitong Sec European Wt Aug15A	0.170	15.800	2015/08/11	100,000,000	2014/04/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15578	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Oct 2014 A	0.250	9.98 per unit	2014/10/16	80,000,000	2014/04/17
15556	Citigroup Global Mkt H Inc.	Ping An Euro Wts Oct 2014 A	0.250	76.880	2014/10/16	80,000,000	2014/04/17
15497	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Oct 2014 A	0.257	38.000	2014/10/27	80,000,000	2014/04/17
15499	Goldman Sachs SP (Asia)	AIA European Warrants Dec 2014 A	0.256	41.000	2014/12/29	80,000,000	2014/04/17
15500	Goldman Sachs Sp (Asia)	ICBC European Warrants Nov 2014 A	0.151	5.51 per unit	2014/11/25	80,000,000	2014/04/17
15501	Goldman Sachs SP (Asia)	Ping An European Wts Oct 2014 A	0.285	74.000	2014/10/17	80,000,000	2014/04/17
15496	Goldman Sachs SP (Asia)	Tencent European Wt Oct 2014 B	0.402	608 per 100 units	2014/10/20	80,000,000	2014/04/17
15517	HK Bank	China Mobile European Warrants Sep 2014 C	0.150	80.930	2014/09/29	80,000,000	2014/04/17
15513	HK Bank	Sinopec Corp European Warrants Oct 2014 A	0.250	8.38 per unit	2014/10/31	60,000,000	2014/04/17
15512	HK Bank	Galaxy Ent European Warrants Oct 2014 B	0.450	80.050	2014/10/06	60,000,000	2014/04/17
15518	HK Bank	HSI European Put Warrants Sep 2014 L	0.150	22,488 per 8,000 units	2014/09/29	150,000,000	2014/04/17
15516	HK Bank	Kingsoft European Warrants Oct 2014 B	0.300	32.380	2014/10/31	60,000,000	2014/04/17
15535	Standard Chartered Bank	CC Bank European Put Wts Nov 2014A	0.400	5.25 per unit	2014/11/03	80,000,000	2014/04/17
15538	Standard Chartered Bank	ICBC European Warrants Nov 2014 A	0.150	5.50 per unit	2014/11/26	100,000,000	2014/04/17
15537	Standard Chartered Bank	KECL European Warrants Nov 2015 A	0.150	14.800	2015/11/25	100,000,000	2014/04/17
15536	Standard Chartered Bank	SHK P European Warrants Mar 2015 A	0.250	115.000	2015/03/03	80,000,000	2014/04/17
15509	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Dec14A	0.250	38.000	2014/12/03	45,000,000	2014/04/17
15510	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Jan 2015 A	0.290	5.48 per unit	2015/01/05	35,000,000	2014/04/17
15511	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Dec 2015A	0.200	14.000	2015/12/02	80,000,000	2014/04/17
15540	UBS AG	CAM CSI300 European Warrants Dec 2014 B	0.180	30.930	2014/12/12	100,000,000	2014/04/17
15551	UBS AG	CC Bank European Warrants Oct 2014 A	0.150	6.01 per unit	2014/10/06	100,000,000	2014/04/17
15539	UBS AG	CITIC Sec European Warrants Dec 2014 A	0.150	24.250	2014/12/24	70,000,000	2014/04/17
15547	UBS AG	CSOP A50 ETF European Warrants Oct 2015 A	0.150	8.890	2015/10/05	100,000,000	2014/04/17
15550	UBS AG	A50 China European Warrants Oct 2014 C	0.250	11.38 per unit	2014/10/28	70,000,000	2014/04/17
15543	UBS AG	HSI European Warrants Aug 2014 J	0.150	24,000 per 5,500 units	2014/08/28	300,000,000	2014/04/17
15542	UBS AG	HSI European Put Warrants Aug 2014 I	0.190	23,300 per 8,800 units	2014/08/28	300,000,000	2014/04/17
15674	BOCI Asia Ltd.	HKEx European Warrants Nov 2014 A	0.380	173.880	2014/11/10	100,000,000	2014/04/22
15673	BOCI Asia Ltd.	Lenovo European Warrants Oct 2014 A	0.430	11.28 per unit	2014/10/31	100,000,000	2014/04/22
15672	BOCI Asia Ltd.	PetroChina European Warrants Nov 2014 A	0.260	9.99 per unit	2014/11/03	100,000,000	2014/04/22
15620	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Dec 2014 A	0.250	6 per unit	2014/12/02	100,000,000	2014/04/22
15624	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Dec 2014 A	0.260	9.01 per unit	2014/12/02	100,000,000	2014/04/22
15619	BNP Paribas Arbit Issu B.V.	HKEx European Wts Dec 2014 A	0.250	185.000	2014/12/02	52,000,000	2014/04/22
15621	BNP Paribas Arbit Issu B.V.	HSI European Wts Sep 2014 C	0.150	23,800 per 6,000 units	2014/09/29	100,000,000	2014/04/22
15636	Credit Suisse AG	China Mobile European Warrants Sep 2014 C	0.170	80.900	2014/09/29	80,000,000	2014/04/22
15638	Credit Suisse AG	HKEx European Warrants Oct 2014 B	0.730	169.280	2014/10/21	80,000,000	2014/04/22
15637	Credit Suisse AG	PetroChina European Warrants Oct 2014 A	0.270	9.88 per unit	2014/10/21	80,000,000	2014/04/22
15646	Credit Suisse AG	Ping An European Put Warrants Oct 2014 A	0.260	51.080	2014/10/21	60,000,000	2014/04/22
15655	Credit Suisse AG	SHK Ppt European Put Warrants Oct 2014 B	0.300	89.880	2014/10/21	60,000,000	2014/04/22
15657	Citigroup Global Mkt H Inc.	CC Bank European Wt Nov 2014 A	0.200	6 per unit	2014/11/28	100,000,000	2014/04/22
15656	Citigroup Global Mkt H Inc.	CC Bank European Wt Mar 2015 A	0.230	6.40 per unit	2015/03/26	100,000,000	2014/04/22
15664	Citigroup Global Mkt H Inc.	ICBC European Wts Nov 2014 A	0.150	5.50 per unit	2014/11/25	100,000,000	2014/04/22
15634	Daiwa Capital Mkt	HKEx European Warrants Oct 2014 C	0.490	190.800	2014/10/21	128,000,000	2014/04/22
15633	Daiwa Capital Mkt	HKEx European Warrants Jan 2015 A	0.250	138.88 per 100 units	2015/01/02	258,000,000	2014/04/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15608	Goldman Sachs SP (Asia)	China Mobile Euro Wts Dec 2014 A	0.256	88.800	2014/12/30	80,000,000	2014/04/22
15611	Goldman Sachs SP (Asia)	A50 China European Wts Oct 2014 A	0.255	11 per unit	2014/10/30	80,000,000	2014/04/22
15605	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2014 E	0.179	23,300 per 8,800 units	2014/08/28	150,000,000	2014/04/22
15607	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2014 B	0.161	22,300 per 8,000 units	2014/09/29	150,000,000	2014/04/22
15609	Goldman Sachs SP (Asia)	Sands China Euro Wts Aug 2014 B	0.152	90.050	2014/08/27	80,000,000	2014/04/22
15613	HK Bank	CITIC Sec European Warrants Nov 2014 A	0.150	21.050	2014/11/26	150,000,000	2014/04/22
15614	HK Bank	Datang Power European Warrants Nov 2014 A	0.250	3.80 per unit	2014/11/28	50,000,000	2014/04/22
15615	HK Bank	HKEx European Warrants Oct 2014 A	0.350	168.880	2014/10/31	50,000,000	2014/04/22
15594	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Nov 2014 A	0.250	11.50 per unit	2014/11/04	40,000,000	2014/04/22
15593	Macquarie Bank Ltd.	A50 China European Warrants Dec 2014 A	0.250	11.50 per unit	2014/12/02	40,000,000	2014/04/22
15599	Nomura Int'l plc	BYD European Warrants Dec 2016 A	0.250	46.88 per 100 units	2016/12/28	50,000,000	2014/04/22
15600	Nomura Int'l plc	China COSCO European Warrants Sep 2014 A	0.150	4.51 per unit	2014/09/25	80,000,000	2014/04/22
15618	Nomura Int'l plc	CIMC European Warrants Dec 2014 A	0.250	20.180	2014/12/18	50,000,000	2014/04/22
15596	Nomura Int'l plc	Datang European Warrants Nov 2014 A	0.250	4.18 per unit	2014/11/18	50,000,000	2014/04/22
15603	Nomura Int'l plc	Greatwall Motor European Wts Sep 2014 A	0.150	50.050	2014/09/25	80,000,000	2014/04/22
15616	Nomura Int'l plc	Baiyunshan Ph European Warrants Oct 2014A	0.316	32.880	2014/10/22	50,000,000	2014/04/22
15601	Nomura Int'l plc	China Mengniu European Warrants Oct 2014A	0.310	49.900	2014/10/28	50,000,000	2014/04/22
15595	Nomura Int'l plc	PetroChina European Warrants Dec 2014 A	0.250	10.88 per unit	2014/12/31	50,000,000	2014/04/22
15628	Standard Chartered Bank	Galaxy Ent Euro Put Wts Oct 2014 C	0.800	68.000	2014/10/30	80,000,000	2014/04/22
15632	Standard Chartered Bank	HSBC European Warrants Sep 2014 C	0.150	90.000	2014/09/29	80,000,000	2014/04/22
15631	Standard Chartered Bank	Zijin Mining Euro Wts Dec 2014 A	0.250	2.18 per unit	2014/12/30	80,000,000	2014/04/22
15584	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Jan 15 A	0.250	3.88 per unit	2015/01/05	40,000,000	2014/04/22
15588	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Jan 2015 B	0.290	5.80 per unit	2015/01/05	35,000,000	2014/04/22
15585	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Dec 14 A	0.255	5.58 per unit	2014/12/03	40,000,000	2014/04/22
15583	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Aug16A	0.150	8.880	2016/08/03	300,000,000	2014/04/22
15590	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2014 A	0.180	86.880	2014/10/08	100,000,000	2014/04/22
15591	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2014 B	0.150	90.000	2014/10/06	100,000,000	2014/04/22
15592	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Feb15A	0.500	8.50 per unit	2015/02/04	20,000,000	2014/04/22
15635	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Aug15A	0.250	2.30 per unit	2015/08/05	70,000,000	2014/04/22
15665	UBS AG	Anhui Conch European Warrants Sep 2014 C	0.280	32.050	2014/09/29	50,000,000	2014/04/22
15667	UBS AG	China Life European Warrants Oct 2014 A	0.250	20.880	2014/10/21	100,000,000	2014/04/22
15670	UBS AG	HKEx European Warrants Oct 2014 C	0.380	188.800	2014/10/21	40,000,000	2014/04/22
15669	UBS AG	HKEx European Warrants Sep 2015 B	0.250	152.80 per 100 units	2015/09/10	200,000,000	2014/04/22
15668	UBS AG	Kingsoft European Warrants Oct 2014 C	0.350	33.880	2014/10/24	50,000,000	2014/04/22
15666	UBS AG	Ping An European Warrants Aug 2014 C	0.340	63.930	2014/08/29	60,000,000	2014/04/22
15712	Credit Suisse AG	China Life European Put Warrants Nov 14 A	0.150	19.880	2014/11/25	70,000,000	2014/04/23
15710	Credit Suisse AG	HKEx European Warrants Oct 2014 C	0.500	193.280	2014/10/22	80,000,000	2014/04/23
15714	Credit Suisse AG	HKEx European Warrants Jun 2015 A	0.250	160.38 per 100 units	2015/06/30	80,000,000	2014/04/23
15709	Goldman Sachs SP (Asia)	HKEx European Put Wts Sep 2015 A	0.150	128.90 per 100 units	2015/09/29	80,000,000	2014/04/23
15707	Goldman Sachs SP (Asia)	Ping An European Wts Oct 2014 B	0.346	65.800	2014/10/30	80,000,000	2014/04/23
15687	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Dec 2014 B	0.250	44.800	2014/12/24	40,000,000	2014/04/23
15680	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Nov 2014 A	0.250	82.000	2014/11/04	40,000,000	2014/04/23
15681	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2014 B	0.250	88.280	2014/12/01	40,000,000	2014/04/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15676	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Sep 14 A	0.183	64.950	2014/09/24	60,000,000	2014/04/23
15679	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Oct 2014 A	0.385	170.000	2014/10/22	40,000,000	2014/04/23
15678	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2014 C	0.260	190.000	2014/12/01	40,000,000	2014/04/23
15685	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Sep 2015 A	0.150	128.70 per 100 units	2015/09/21	70,000,000	2014/04/23
15682	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2014 A	0.255	88.000	2014/11/04	40,000,000	2014/04/23
15675	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Put Wts Sep14A	0.209	53.830	2014/09/24	60,000,000	2014/04/23
15686	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Oct 2014 A	0.255	700 per 100 units	2014/10/22	40,000,000	2014/04/23
15688	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Oct 2014B	0.395	470 per 100 units	2014/10/22	40,000,000	2014/04/23
15689	Standard Chartered Bank	CITIC Bank European Wts Nov 2014 A	0.320	5.55 per unit	2014/11/28	80,000,000	2014/04/23
15690	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Aug 2014 B	0.270	180.000	2014/08/04	40,000,000	2014/04/23
15693	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2014 C	0.165	23,000 per 6,000 units	2014/10/30	150,000,000	2014/04/23
15697	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 14 C	0.190	23,000 per 8,000 units	2014/09/29	150,000,000	2014/04/23
15705	UBS AG	CITIC Bank European Warrants Sep 2014 A	0.170	5.49 per unit	2014/09/01	60,000,000	2014/04/23
15701	UBS AG	Geely Auto European Warrants Oct 2014 B	0.250	3.38 per unit	2014/10/22	40,000,000	2014/04/23
15706	UBS AG	HKEx European Warrants Nov 2015 A	0.250	168 per 100 units	2015/11/09	200,000,000	2014/04/23
18254*	Merrill Lynch Int'l & Co. C.V.	Sinopec Eu Put Wt Sep 2014A	0.050	8.390	2014/09/16	200,000,000	2014/04/23
15723	Credit Suisse AG	HKEx European Warrants Oct 2015 A	0.250	170.88 per 100 units	2015/10/30	80,000,000	2014/04/24
15744	Goldman Sachs SP (Asia)	AIA European Warrants Nov 2014 B	0.150	44.900	2014/11/24	80,000,000	2014/04/24
15727	HK Bank	AIA European Warrants Nov 2014 B	0.150	44.930	2014/11/21	100,000,000	2014/04/24
15729	HK Bank	AIA European Put Warrants Nov 2014 C	0.250	37.000	2014/11/28	80,000,000	2014/04/24
15739	HK Bank	Ch Ship Cont European Warrants Dec 2014 A	0.250	2.28 per unit	2014/12/31	50,000,000	2014/04/24
15741	HK Bank	Zijin Mining European Warrants Dec 2014 A	0.250	2.18 per unit	2014/12/31	60,000,000	2014/04/24
15745	Macquarie Bank Ltd.	HKEx European Warrants Jul 2015 A	0.250	163 per 100 units	2015/07/03	200,000,000	2014/04/24
15716	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2014 A	0.700	560 per 100 units	2014/12/05	100,000,000	2014/04/24
15742	SGA Societe Generale Acceptance N.V.	CCCCCL Eu Wt Jan 2015 A	0.400	6.08 per unit	2015/01/05	25,000,000	2014/04/24
15743	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Apr 2015A	0.345	4.80 per unit	2015/04/08	30,000,000	2014/04/24
15757	UBS AG	Minsheng Bank European Warrants Oct 2014 A	0.390	9.38 per unit	2014/10/23	70,000,000	2014/04/24
15754	UBS AG	GCL-Poly Energy European Warrants Sep 2014 A	0.220	2.99 per unit	2014/09/24	50,000,000	2014/04/24
15746	UBS AG	Galaxy Ent European Warrants Oct 2014 B	0.450	86.880	2014/10/23	30,000,000	2014/04/24
15750	UBS AG	Greatwall Motor European Warrants Oct 2014 A	0.260	46.880	2014/10/23	40,000,000	2014/04/24
15747	UBS AG	HKEx European Warrants Oct 2014 D	0.380	170.000	2014/10/28	40,000,000	2014/04/24
15787	Credit Suisse AG	SHK Ppt European Warrants Oct 2014 C	0.350	109.880	2014/10/24	70,000,000	2014/04/25
15788	Citigroup Global Mkt H Inc.	China Life Euro Wts Dec 2014 B	0.190	22.000	2014/12/24	150,000,000	2014/04/25
15758	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2014 C	0.235	23,100 per 6,000 units	2014/10/30	150,000,000	2014/04/25
15759	Goldman Sachs SP (Asia)	HSI European Put Wts Oct 2014 D	0.273	23,000 per 8,000 units	2014/10/30	150,000,000	2014/04/25
15760	Goldman Sachs SP (Asia)	HSI European Put Wts Oct 2014 E	0.251	21,600 per 6,500 units	2014/10/30	150,000,000	2014/04/25
15772	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Nov 2014 A	0.300	680 per 100 units	2014/11/25	40,000,000	2014/04/25
15771	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Dec 2014 A	0.260	730 per 100 units	2014/12/23	40,000,000	2014/04/25
15775	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Nov 2014B	0.320	430 per 100 units	2014/11/24	40,000,000	2014/04/25
15777	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Dec 2014B	0.250	400 per 100 units	2014/12/23	40,000,000	2014/04/25
15778	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2015 B	0.150	142.10 per 100 units	2015/01/05	300,000,000	2014/04/25
15785	UBS AG	Chi Res Power European Warrants Nov 2014 A	0.200	22.930	2014/11/11	50,000,000	2014/04/25
15780	UBS AG	Melco European Warrants Oct 2014 A	0.200	30.930	2014/10/21	50,000,000	2014/04/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15781	UBS AG	Sands China European Warrants Oct 2014 B	0.500	66.880	2014/10/24	40,000,000	2014/04/25
15782	UBS AG	Wynn Macau European Warrants Oct 2014 A	0.150	40.050	2014/10/03	70,000,000	2014/04/25
15824	Credit Suisse AG	HKEx European Warrants Dec 2014 A	0.150	142.08 per 100 units	2014/12/24	70,000,000	2014/04/28
15856	Credit Suisse AG	Ping An European Warrants Oct 2014 B	0.250	69.280	2014/10/27	80,000,000	2014/04/28
15811	J P Morgan SP BV	AIA European Warrants Nov 2014 A	0.150	44.880	2014/11/28	200,000,000	2014/04/28
15835	J P Morgan SP BV	Beijing Ent European Warrants Nov 2014 A	0.436	80.880	2014/11/04	30,000,000	2014/04/28
15834	J P Morgan SP BV	BJ Ent Water European Warrants Dec 2014 A	0.383	5.88 per unit	2014/12/30	70,000,000	2014/04/28
15810	J P Morgan SP BV	CITIC Bank European Warrants Dec 2014 A	0.250	5.28 per unit	2014/12/02	100,000,000	2014/04/28
15796	J P Morgan SP BV	Cheung Kong European Warrants Nov 2014 A	0.250	151.000	2014/11/04	100,000,000	2014/04/28
15818	J P Morgan SP BV	Minsheng Bank European Wts Oct 2014 A	0.250	9.58 per unit	2014/10/30	100,000,000	2014/04/28
15798	J P Morgan SP BV	CSOP A50 ETF Euro Put Wts Nov 2014 A	0.314	8 per unit	2014/11/04	100,000,000	2014/04/28
15851	J P Morgan SP BV	China Shenhua European Wts Apr 2015 A	0.187	24.000	2015/04/01	100,000,000	2014/04/28
15844	J P Morgan SP BV	Datang European Wts Dec 2014 A	0.330	3.58 per unit	2014/12/02	35,000,000	2014/04/28
15853	J P Morgan SP BV	Evergrande European Warrants Nov 2014 A	0.250	4.44 per unit	2014/11/04	100,000,000	2014/04/28
15832	J P Morgan SP BV	Galaxy Ent European Warrants Oct 2014 D	0.391	80.000	2014/10/06	100,000,000	2014/04/28
15804	J P Morgan SP BV	HKEx European Warrants Nov 2014 A	0.402	170.000	2014/11/04	80,000,000	2014/04/28
15823	J P Morgan SP BV	HKEx European Warrants Jan 2015 A	0.150	142 per 100 units	2015/01/05	200,000,000	2014/04/28
15805	J P Morgan SP BV	HKEx European Put Wts Sep 2015 A	0.150	128.80 per 100 units	2015/09/29	200,000,000	2014/04/28
15820	J P Morgan SP BV	HSI European Put Warrants Oct 2014 G	0.251	22,600 per 8,000 units	2014/10/30	500,000,000	2014/04/28
15816	J P Morgan SP BV	ICBC European Warrants Dec 2014 A	0.150	5.29 per unit	2014/12/30	200,000,000	2014/04/28
15849	J P Morgan SP BV	Lenovo European Warrants Jun 2015 A	0.150	10.000	2015/06/29	200,000,000	2014/04/28
15819	J P Morgan SP BV	Ping An European Warrants Nov 2014 A	0.250	72.000	2014/11/04	200,000,000	2014/04/28
15852	J P Morgan SP BV	Sands China European Warrants Apr 2017 A	0.165	58.88 per 100 units	2017/04/03	200,000,000	2014/04/28
15833	J P Morgan SP BV	Sa Sa Int'l European Warrants Jul 2016 A	0.250	7.800	2016/07/28	100,000,000	2014/04/28
15797	J P Morgan SP BV	SHK Ppt European Warrants Nov 2014 A	0.250	115.000	2014/11/04	100,000,000	2014/04/28
15842	J P Morgan SP BV	SJM Holdings European Warrants Dec 2014 A	0.150	26.880	2014/12/01	100,000,000	2014/04/28
15806	J P Morgan SP BV	Tencent European Warrants Aug 2014 G	0.257	600 per 100 units	2014/08/11	200,000,000	2014/04/28
15808	J P Morgan SP BV	Tencent European Warrants Aug 2014 H	0.235	632 per 100 units	2014/08/29	200,000,000	2014/04/28
15838	J P Morgan SP BV	ZTE European Warrants Dec 2014 A	0.250	18.000	2014/12/29	100,000,000	2014/04/28
15825	Macquarie Bank Ltd.	BJ Ent Water European Wts Oct 2014 A	0.250	6.88 per unit	2014/10/28	40,000,000	2014/04/28
15827	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 15 A	0.165	82.500	2015/03/04	100,000,000	2014/04/28
15795	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Nov 2014 A	0.265	198.880	2014/11/05	40,000,000	2014/04/28
15794	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Feb 2015 A	0.580	185.000	2015/02/04	40,000,000	2014/04/28
15828	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 15 A	0.410	700 per 100 units	2015/02/04	150,000,000	2014/04/28
15790	UBS AG	BOCL European Warrants Oct 2014 A	0.150	3.61 per unit	2014/10/03	100,000,000	2014/04/28
15831	UBS AG	CITIC Pacific European Warrants Jan 2015 A	0.150	14.900	2015/01/26	70,000,000	2014/04/28
15829	UBS AG	CSOP A50 ETF European Warrants Oct 2014 A	0.430	9.38 per unit	2014/10/27	30,000,000	2014/04/28
15866	BOCI Asia Ltd.	China Life European Warrants Dec 2014 B	0.160	22.000	2014/12/24	100,000,000	2014/04/30
15861	BOCI Asia Ltd.	HKEx European Warrants Nov 2015 A	0.160	168 per 100 units	2015/11/02	100,000,000	2014/04/30
15870	BOCI Asia Ltd.	Kingsoft European Warrants Nov 2014 A	0.320	33.880	2014/11/10	100,000,000	2014/04/30
15862	BOCI Asia Ltd.	Ping An European Warrants Oct 2014 A	0.170	68.880	2014/10/08	100,000,000	2014/04/30
15879	BNP Paribas Arbit Issu B.V.	Anhui Conch Eu Put Wt Jan 15 B	0.250	25.000	2015/01/05	100,000,000	2014/04/30
15880	BNP Paribas Arbit Issu B.V.	CITIC Sec Euro Wts Apr 2015 A	0.250	20.600	2015/04/02	100,000,000	2014/04/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15873	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jan 2015 A	0.250	182.000	2015/01/05	60,000,000	2014/04/30
15877	BNP Paribas Arbit Issu B.V.	Cheung Kong Eu Put Wt Jan 15 B	0.260	110.000	2015/01/05	60,000,000	2014/04/30
15878	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jan 2015 A	0.250	133.000	2015/01/05	100,000,000	2014/04/30
15891	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Sep 2014 A	0.260	70.380	2014/09/30	100,000,000	2014/04/30
15893	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2015 B	0.210	152.80 per 100 units	2015/09/03	150,000,000	2014/04/30
15892	Citigroup Global Mkt H Inc.	ICBC European Wts Sep 2014 A	0.200	4.75 per unit	2014/09/23	100,000,000	2014/04/30
15894	Citigroup Global Mkt H Inc.	Tencent European Wts Jul 2014 E	0.460	540 per 100 units	2014/07/31	100,000,000	2014/04/30
15895	Citigroup Global Mkt H Inc.	Tencent European Wts Aug 2014 C	0.260	631.80 per 100 units	2014/08/21	100,000,000	2014/04/30
15858	Goldman Sachs SP (Asia)	Agri Bk European Wts Dec 2014 A	0.162	3.61 per unit	2014/12/22	80,000,000	2014/04/30
15859	Goldman Sachs SP (Asia)	Sands China Euro Wts Oct 2014 A	0.451	70.280	2014/10/31	80,000,000	2014/04/30
15857	Goldman Sachs SP (Asia)	Tencent European Wt Sep 2014 C	0.441	575 per 100 units	2014/09/24	80,000,000	2014/04/30
15890	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Nov 2014 A	0.150	6 per unit	2014/11/28	200,000,000	2014/04/30
15860	Standard Chartered Bank	Sinopec Corp Euro Wts Nov 2014 A	0.250	8.58 per unit	2014/11/28	80,000,000	2014/04/30
15881	UBS AG	AIA European Warrants Sep 2014 C	0.200	39.050	2014/09/24	100,000,000	2014/04/30
15883	UBS AG	China Coal European Warrants Sep 2014 A	0.295	4.59 per unit	2014/09/24	35,000,000	2014/04/30
15882	UBS AG	China Shenhua European Warrants Jan 2015 A	0.170	23.050	2015/01/27	60,000,000	2014/04/30
15885	UBS AG	HSCEI European Warrants Oct 2014 A	0.150	9,900 per 4,000 units	2014/10/30	100,000,000	2014/04/30
15886	UBS AG	HSCEI European Warrants Oct 2014 B	0.170	9,600 per 4,000 units	2014/10/30	100,000,000	2014/04/30
15887	UBS AG	HSCEI European Put Warrants Oct 2014 C	0.150	9,000 per 4,000 units	2014/10/30	100,000,000	2014/04/30
15889	UBS AG	HSI European Put Warrants Sep 2014 D	0.180	22,400 per 8,000 units	2014/09/29	300,000,000	2014/04/30
15888	UBS AG	HSI European Put Warrants Oct 2014 A	0.175	22,100 per 8,000 units	2014/10/30	300,000,000	2014/04/30
15884	UBS AG	Yanzhou Coal European Wts Sep 2014 A	0.455	6.51 per unit	2014/09/25	30,000,000	2014/04/30
15923	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Dec 2014 B	0.250	785 per 100 units	2014/12/02	100,000,000	2014/05/02
15924	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Mar 2015 A	0.250	900 per 100 units	2015/03/03	100,000,000	2014/05/02
15925	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Apr 2016 A	0.250	1,500 per 100 units	2016/04/05	100,000,000	2014/05/02
15926	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jan 2015 B	0.250	380 per 100 units	2015/01/05	100,000,000	2014/05/02
15927	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Apr 2015 A	0.250	350 per 100 units	2015/04/02	100,000,000	2014/05/02
15896	HK Bank	BOC HK European Warrants Dec 2014 B	0.150	22.850	2014/12/31	100,000,000	2014/05/02
15897	HK Bank	Huaneng Power European Warrants Nov 2014 A	0.300	9.18 per unit	2014/11/03	60,000,000	2014/05/02
15905	Standard Chartered Bank	Agricultural Bk Eur Wts Feb 2015 A	0.250	3.38 per unit	2015/02/04	70,000,000	2014/05/02
15915	Standard Chartered Bank	HKEx European Warrants Jun 2015 A	0.150	160.40 per 100 units	2015/06/26	100,000,000	2014/05/02
15916	Standard Chartered Bank	HKEx European Put Wts May 2015 A	0.250	138.88 per 100 units	2015/05/29	100,000,000	2014/05/02
15903	Standard Chartered Bank	HuanengPower Euro Wts Jan 2015 A	0.500	9.18 per unit	2015/01/06	50,000,000	2014/05/02
15913	Standard Chartered Bank	Ping An Euro Put Wts Nov 2014 A	0.250	50.880	2014/11/05	80,000,000	2014/05/02
15914	Standard Chartered Bank	Sands China Eu Put Wts Nov 2014 A	0.320	50.800	2014/11/05	60,000,000	2014/05/02
15911	Standard Chartered Bank	ZTE European Warrants Feb 2015 A	0.250	18.880	2015/02/02	70,000,000	2014/05/02
15917	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Jan15A	0.155	57.500	2015/01/05	100,000,000	2014/05/02
15922	UBS AG	Cheung Kong European Warrants Nov 2014 A	0.250	158.000	2014/11/03	50,000,000	2014/05/02
15966	Credit Suisse AG	Anton Oilfield European Warrants Nov 14 A	0.430	6.58 per unit	2014/11/04	50,000,000	2014/05/05
15968	Credit Suisse AG	CC Bank European Warrants Oct 2014 A	0.150	5.79 per unit	2014/10/24	70,000,000	2014/05/05
15967	Credit Suisse AG	ICBC European Warrants Dec 2014 A	0.150	5.29 per unit	2014/12/24	70,000,000	2014/05/05
15949	Daiwa Capital Mkt	Kingsoft European Warrants Sep 2014 A	0.250	32.980	2014/09/01	80,000,000	2014/05/05
15950	Daiwa Capital Mkt	Kingsoft European Warrants Sep 2014 B	0.160	40.900	2014/09/24	80,000,000	2014/05/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15951	Daiwa Capital Mkt	Sands China European Warrants Oct 2014 B	0.230	80.800	2014/10/03	98,000,000	2014/05/05
16000	Goldman Sachs SP (Asia)	HKEx European Warrants Oct 2014 B	0.280	188.880	2014/10/14	80,000,000	2014/05/05
15995	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2015 A	0.159	163.05 per 100 units	2015/06/30	80,000,000	2014/05/05
15987	Goldman Sachs SP (Asia)	Sands China Euro Wts Sep 2014 A	0.190	80.850	2014/09/25	80,000,000	2014/05/05
15985	J P Morgan SP BV	HSI European Put Warrants Aug 2014 G	0.150	21,900 per 7,000 units	2014/08/28	300,000,000	2014/05/05
15974	J P Morgan SP BV	Kingsoft European Warrants Dec 2014 A	0.250	37.880	2014/12/29	100,000,000	2014/05/05
15959	Macquarie Bank Ltd.	China Tel European Warrants Jan 2015 A	0.250	4 per unit	2015/01/05	40,000,000	2014/05/05
15961	Macquarie Bank Ltd.	Huishan Dairy European Warrants Dec14A	0.250	2.80 per unit	2014/12/02	40,000,000	2014/05/05
15960	Macquarie Bank Ltd.	ZTE European Warrants Mar 2015 B	0.250	18.880	2015/03/03	40,000,000	2014/05/05
15945	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Nov 2014 A	0.156	6.01 per unit	2014/11/21	70,000,000	2014/05/05
15936	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Dec 2014 A	0.168	5.29 per unit	2014/12/24	70,000,000	2014/05/05
15947	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Nov 2014 A	0.255	10 per unit	2014/11/28	40,000,000	2014/05/05
15946	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jan 2015 A	0.250	75.000	2015/01/30	40,000,000	2014/05/05
15943	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Nov 2014 A	0.255	116.000	2014/11/05	40,000,000	2014/05/05
15940	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Feb 2015 A	0.260	770 per 100 units	2015/02/16	40,000,000	2014/05/05
15938	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Apr 2015 B	0.250	820 per 100 units	2015/04/02	40,000,000	2014/05/05
15942	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Feb 2015B	0.370	450 per 100 units	2015/02/13	40,000,000	2014/05/05
15937	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Apr 2015A	0.250	375 per 100 units	2015/04/01	40,000,000	2014/05/05
15933	Nomura Int'l plc	Country Garden European Warrants Sep14 A	0.154	3.80 per unit	2014/09/30	80,000,000	2014/05/05
15929	Nomura Int'l plc	Ch Overseas European Warrants Dec 2014 B	0.153	21.400	2014/12/30	80,000,000	2014/05/05
15930	Nomura Int'l plc	A50 China European Warrants Nov 2014 A	0.340	9.28 per unit	2014/11/28	50,000,000	2014/05/05
15931	Nomura Int'l plc	A50 China European Warrants Nov 2014 B	0.207	10 per unit	2014/11/28	50,000,000	2014/05/05
15928	Nomura Int'l plc	Gome European Warrants Aug 2015 A	0.260	1.88 per unit	2015/08/31	50,000,000	2014/05/05
15932	Nomura Int'l plc	Haier Elec European Warrants Oct 2014 A	0.190	23.880	2014/10/29	80,000,000	2014/05/05
15958	Standard Chartered Bank	BOCL European Warrants Aug 2016 A	0.320	3.50 per unit	2016/08/30	100,000,000	2014/05/05
15956	Standard Chartered Bank	CC Bank European Wts Nov 2014 B	0.150	6.01 per unit	2014/11/21	80,000,000	2014/05/05
15953	Standard Chartered Bank	Greatwall Motor Euro Wt Dec 2014 A	0.250	44.880	2014/12/03	80,000,000	2014/05/05
15954	Standard Chartered Bank	Kingsoft European Wts Sep 2014 C	0.350	29.880	2014/09/23	80,000,000	2014/05/05
15955	Standard Chartered Bank	Kingsoft European Put Wts Sep 14 D	0.350	25.880	2014/09/19	80,000,000	2014/05/05
15952	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Dec 2014 A	0.150	6 per unit	2014/12/03	100,000,000	2014/05/05
15965	UBS AG	Galaxy Ent European Warrants Oct 2014 C	0.560	76.930	2014/10/08	40,000,000	2014/05/05
15964	UBS AG	Huishan Dairy European Warrants Nov 2014 A	0.250	2.40 per unit	2014/11/04	40,000,000	2014/05/05
15962	UBS AG	SHK Ppt European Warrants Apr 2016 A	0.800	98.880	2016/04/22	50,000,000	2014/05/05
16057	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Oct 2015 A	0.270	5.90 per unit	2015/10/05	40,000,000	2014/05/07
16055	BNP Paribas Arbit Issu B.V.	Chsouthcity Euro Wts Dec14A	0.420	5.90 per unit	2014/12/02	50,000,000	2014/05/07
16056	BNP Paribas Arbit Issu B.V.	Fosun Pharma Euro Wts Dec14A	0.320	33.000	2014/12/02	60,000,000	2014/05/07
16053	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Jan 2015 A	0.250	3.10 per unit	2015/01/05	100,000,000	2014/05/07
16054	BNP Paribas Arbit Issu B.V.	NCI European Wts Dec 2014 A	0.300	26.000	2014/12/02	50,000,000	2014/05/07
16022	Credit Suisse AG	Agricultural Bank European Wts Dec 2014 A	0.150	3.61 per unit	2014/12/08	70,000,000	2014/05/07
16036	Credit Suisse AG	CITIC Bank European Warrants Nov 2014 A	0.300	5.28 per unit	2014/11/10	60,000,000	2014/05/07
16035	Credit Suisse AG	China Life European Warrants Dec 2014 B	0.150	22.000	2014/12/29	70,000,000	2014/05/07
16023	Credit Suisse AG	Sands China European Warrants Oct 2014 B	0.260	75.000	2014/10/13	60,000,000	2014/05/07
16020	Credit Suisse AG	SJM Holdings European Warrants Nov 2014 A	0.160	26.900	2014/11/17	70,000,000	2014/05/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16019	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 E	0.350	588 per 100 units	2014/09/22	100,000,000	2014/05/07
16018	Daiwa Capital Mkt	Ping An European Warrants Nov 2014 A	0.250	66.280	2014/11/06	98,000,000	2014/05/07
16062	J P Morgan SP BV	China Life European Warrants Oct 2014 C	0.150	20.880	2014/10/14	200,000,000	2014/05/07
16058	J P Morgan SP BV	Chsouthcity European Warrants Apr 2015 A	0.429	4.50 per unit	2015/04/02	50,000,000	2014/05/07
16061	J P Morgan SP BV	Fosun Pharma European Warrants Dec 2014 A	0.250	33.000	2014/12/29	50,000,000	2014/05/07
16059	J P Morgan SP BV	Macau Legend European Warrants Jan 2016 A	0.250	6.800	2016/01/05	100,000,000	2014/05/07
16060	J P Morgan SP BV	Shunfeng Pv European Warrants Dec 2014 A	0.250	11.880	2014/12/29	70,000,000	2014/05/07
16005	Macquarie Bank Ltd.	CITIC Pacific European Wts May 2015 A	0.150	16.880	2015/05/05	70,000,000	2014/05/07
16004	Macquarie Bank Ltd.	Galaxy Ent European Wts Oct 2014 A	0.201	98.000	2014/10/06	50,000,000	2014/05/07
16050	Standard Chartered Bank	HSI European Warrants Oct 2014 B	0.150	22,200 per 6,600 units	2014/10/30	100,000,000	2014/05/07
16049	Standard Chartered Bank	HSI European Put Wts Sep 2014 B	0.170	21,600 per 7,000 units	2014/09/29	100,000,000	2014/05/07
16011	Standard Chartered Bank	Ping An European Wts Aug 2014 B	0.170	63.880	2014/08/25	80,000,000	2014/05/07
16013	Standard Chartered Bank	Ping An European Wts Oct 2014 B	0.400	58.880	2014/10/03	80,000,000	2014/05/07
16015	Standard Chartered Bank	Tencent Hldg Euro Wts Nov 2014 B	0.250	694.40 per 100 units	2014/11/06	100,000,000	2014/05/07
16016	Standard Chartered Bank	Tencent Hldg Eu Put Wts Nov 2014 C	0.350	444.40 per 100 units	2014/11/06	100,000,000	2014/05/07
16001	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct14 B	0.355	8.58 per unit	2014/10/06	30,000,000	2014/05/07
16002	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Nov 14 A	0.225	65.850	2014/11/05	100,000,000	2014/05/07
16003	SGA Societe Generale Acceptance N.V	Ping An Eu Put Wt Dec14A	0.370	54.000	2014/12/03	70,000,000	2014/05/07
16041	UBS AG	BYD European Warrants Aug 2014 B	0.600	43.050	2014/08/26	50,000,000	2014/05/07
16045	UBS AG	BYD European Warrants Dec 2016 A	0.150	49.93 per 100 units	2016/12/22	100,000,000	2014/05/07
16039	UBS AG	Sinopec Corp European Put Warrants Nov 2014 B	0.400	5.88 per unit	2014/11/06	30,000,000	2014/05/07
16047	UBS AG	Kingsoft European Warrants Aug 2014 C	0.400	24.930	2014/08/29	100,000,000	2014/05/07
16048	UBS AG	Kingsoft European Warrants Aug 2014 D	0.280	27.430	2014/08/22	100,000,000	2014/05/07
16040	UBS AG	PetroChina European Warrants Oct 2014 A	0.280	9.89 per unit	2014/10/14	50,000,000	2014/05/07
16104	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Jan 2015 B	0.210	4.10 per unit	2015/01/05	86,000,000	2014/05/08
16102	BNP Paribas Arbit Issu B.V.	Ping An European Wt Nov 2014 B	0.220	65.800	2014/11/04	100,000,000	2014/05/08
16110	Credit Suisse AG	Kingsoft European Warrants Sep 2014 D	0.230	30.850	2014/09/22	60,000,000	2014/05/08
16111	Credit Suisse AG	TCL Comm European Warrants Feb 2015 A	0.250	9.480	2015/02/27	50,000,000	2014/05/08
10284	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2014 A	0.250	13,300 per 640 units	2014/12/12	250,000,000	2014/05/08
10285	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2014 B	0.250	14,800 per 440 units	2014/12/12	250,000,000	2014/05/08
10286	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2014 C	0.250	16,000 per 340 units	2014/12/12	250,000,000	2014/05/08
10287	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2014 D	0.250	12,000 per 260 units	2014/12/12	250,000,000	2014/05/08
10288	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2014 E	0.250	13,600 per 440 units	2014/12/12	250,000,000	2014/05/08
10289	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2014 F	0.250	15,000 per 650 units	2014/12/12	250,000,000	2014/05/08
16115	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Nov 2014 A	0.492	71.280	2014/11/27	80,000,000	2014/05/08
16112	Goldman Sachs SP (Asia)	Ping An Euro Put Wts Nov 2014 A	0.250	49.880	2014/11/28	80,000,000	2014/05/08
16113	Goldman Sachs SP (Asia)	SHK Ppt European Put Wt Nov 2014 A	0.319	92.000	2014/11/10	80,000,000	2014/05/08
16065	HK Bank	Galaxy Ent European Warrants Sep 2014 A	0.500	72.050	2014/09/29	60,000,000	2014/05/08
16063	HK Bank	Kingsoft European Warrants Nov 2014 A	0.250	28.880	2014/11/28	60,000,000	2014/05/08
16066	HK Bank	Ping An European Warrants Aug 2014 B	0.150	63.930	2014/08/25	80,000,000	2014/05/08
16091	HK Bank	Sands China European Warrants Apr 2017 A	0.160	58.93 per 100 units	2017/04/03	150,000,000	2014/05/08
16100	Macquarie Bank Ltd.	Kingsoft European Warrants Nov 2014 A	0.250	30.000	2014/11/07	80,000,000	2014/05/08
16092	Macquarie Bank Ltd.	Melco Int'l Dev Euro Wts Dec 2014 A	0.250	30.000	2014/12/02	40,000,000	2014/05/08

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16093	Macquarie Bank Ltd.	Ping An European Warrants Dec 2014 B	0.250	62.500	2014/12/02	40,000,000	2014/05/08
16086	Nomura Int'l plc	Chsouthcity European Warrants Nov 2014 A	0.650	4.18 per unit	2014/11/18	50,000,000	2014/05/08
16089	Nomura Int'l plc	Fosun Pharma European Warrants Nov 2014 A	0.280	33.380	2014/11/18	50,000,000	2014/05/08
16067	Nomura Int'l plc	Kerry Log Net European Warrants Mar 2015A	0.250	12.880	2015/03/30	50,000,000	2014/05/08
16068	Nomura Int'l plc	Shunfeng Pv EEuropean Warrants Feb 2015 A	0.270	11.980	2015/02/18	50,000,000	2014/05/08
16090	Nomura Int'l plc	TCL Comm European Warrants Jun 2015 A	0.250	9.880	2015/06/18	50,000,000	2014/05/08
16096	Standard Chartered Bank	Ch Comm Cons Eur Wts Feb 2015 A	0.250	6.28 per unit	2015/02/04	60,000,000	2014/05/08
16097	Standard Chartered Bank	Ch Comm Cons Eur Wts Jun 2015 A	0.250	6.88 per unit	2015/06/04	60,000,000	2014/05/08
16099	Standard Chartered Bank	CTel European Warrants Nov 2014 B	0.250	3.88 per unit	2014/11/28	60,000,000	2014/05/08
16098	Standard Chartered Bank	CTel European Warrants Mar 2015 A	0.250	4.38 per unit	2015/03/03	60,000,000	2014/05/08
16094	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2015 B	0.360	644.40 per 100 units	2015/01/06	100,000,000	2014/05/08
16095	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jan 2015 C	0.250	394.40 per 100 units	2015/01/06	100,000,000	2014/05/08
16106	UBS AG	BYD European Warrants Dec 2016 B	0.150	41.26 per 100 units	2016/12/30	100,000,000	2014/05/08
16105	UBS AG	Sands China European Warrants Apr 2017 A	0.170	58.93 per 100 units	2017/04/03	200,000,000	2014/05/08
16207	Credit Suisse AG	China Mobile European Put Wts Sep 2014 D	0.170	65.000	2014/09/29	60,000,000	2014/05/09
16197	Credit Suisse AG	Sands China European Warrants Oct 2014 C	0.400	66.900	2014/10/20	60,000,000	2014/05/09
16204	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 I	0.300	540 per 100 units	2014/08/11	100,000,000	2014/05/09
16205	Credit Suisse AG	Tencent Holdings European Wts Nov 2014 B	0.450	558.88 per 100 units	2014/11/10	100,000,000	2014/05/09
16178	Daiwa Capital Mkt	China Telecom European Wts Nov 2014 A	0.250	4.38 per unit	2014/11/10	80,000,000	2014/05/09
16166	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Nov 2014 B	0.253	63.880	2014/11/28	80,000,000	2014/05/09
16167	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Sep 2014 A	0.255	79.000	2014/09/26	80,000,000	2014/05/09
16177	Goldman Sachs SP (Asia)	MGM China European Warrants Dec14A	0.301	30.000	2014/12/30	80,000,000	2014/05/09
16117	HK Bank	Tencent European Warrants Aug 2014 I	0.350	540.50 per 100 units	2014/08/14	60,000,000	2014/05/09
16211	J P Morgan SP BV	Tencent European Warrants Nov 2014 A	0.413	534.40 per 100 units	2014/11/10	200,000,000	2014/05/09
16186	Macquarie Bank Ltd.	Anta Sports European Wts Apr 2015 A	0.250	13.880	2015/04/02	80,000,000	2014/05/09
16195	Macquarie Bank Ltd.	China Tel European Warrants Jan 2015 B	0.250	4.50 per unit	2015/01/05	50,000,000	2014/05/09
16180	Macquarie Bank Ltd.	Sinopharm European Warrants Aug 2015 A	0.250	26.000	2015/08/04	80,000,000	2014/05/09
16131	Standard Chartered Bank	Galaxy Ent European Wts Oct 2014 D	0.250	80.050	2014/10/06	100,000,000	2014/05/09
16138	Standard Chartered Bank	PetroChina European Wts Nov 2014 B	0.250	10.38 per unit	2014/11/28	80,000,000	2014/05/09
16127	Standard Chartered Bank	Tencent Hldg Euro Wts Aug 2014 E	0.250	600 per 100 units	2014/08/22	100,000,000	2014/05/09
16124	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2014 D	0.210	650 per 100 units	2014/09/25	100,000,000	2014/05/09
16151	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Feb15A	0.330	32.000	2015/02/04	50,000,000	2014/05/09
16179	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Nov 14 B	0.150	82.000	2014/11/05	100,000,000	2014/05/09
16152	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 15 B	0.170	80.900	2015/01/05	100,000,000	2014/05/09
16153	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Dec 4A	0.450	73.800	2014/12/03	80,000,000	2014/05/09
16154	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Dec14B	0.420	28.880	2014/12/03	40,000,000	2014/05/09
16155	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Oct14B	0.475	61.850	2014/10/06	100,000,000	2014/05/09
16160	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Oct14C	0.275	69.900	2014/10/06	100,000,000	2014/05/09
16210	UBS AG	China Mobile European Warrants Feb 2015 A	0.190	82.550	2015/02/23	100,000,000	2014/05/09
16209	UBS AG	China Mobile European Put Warrants Oct 2014 F	0.170	64.950	2014/10/08	60,000,000	2014/05/09
16145	UBS AG	HSI European Warrants Oct 2014 B	0.150	22,300 per 6,000 units	2014/10/30	300,000,000	2014/05/09
16146	UBS AG	HSI European Warrants Oct 2014 C	0.150	23,000 per 6,000 units	2014/10/30	300,000,000	2014/05/09
16208	UBS AG	Tencent Holdings European Warrants Nov 2014 A	0.350	594.40 per 100 units	2014/11/10	100,000,000	2014/05/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13448*	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Aug 2014 A	0.048	67.000	2014/08/07	210,000,000	2014/05/09
25095*	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2015 A	0.218	126.73 per 100 units	2015/12/23	50,000,000	2014/05/09
16272	BNP Paribas Arbit Issu B.V.	Gome European Wts Jul 2015 A	0.260	1.70 per unit	2015/07/03	100,000,000	2014/05/12
16271	BNP Paribas Arbit Issu B.V.	Huishan Dairy Eu Wt Dec 2014 A	0.250	2.30 per unit	2014/12/02	100,000,000	2014/05/12
16270	BNP Paribas Arbit Issu B.V.	Li & Fung Euro Wts Jul 2016 A	0.250	12.000	2016/07/05	100,000,000	2014/05/12
16273	BNP Paribas Arbit Issu B.V.	Melco Int'l Dev Eu Wt Jan2015A	0.250	27.500	2015/01/05	82,000,000	2014/05/12
16276	Goldman Sachs SP (Asia)	Sands China Eur Put Wts Nov 2014 A	0.507	52.000	2014/11/28	80,000,000	2014/05/12
16274	Goldman Sachs SP (Asia)	Tencent European Wt Nov 2014 A	0.522	528.80 per 100 units	2014/11/28	80,000,000	2014/05/12
16275	Goldman Sachs SP (Asia)	Tencent European Put Wt Nov 2014 B	0.365	438 per 100 units	2014/11/28	80,000,000	2014/05/12
16218	HK Bank	China Mobile European Warrants Oct 2014 A	0.150	78.930	2014/10/29	100,000,000	2014/05/12
16217	HK Bank	China Tel European Warrants Nov 2014 A	0.250	4.38 per unit	2014/11/28	80,000,000	2014/05/12
16216	HK Bank	China Unicom European Warrants Sep 2015 A	0.250	11.680	2015/09/30	100,000,000	2014/05/12
16215	HK Bank	Kingsoft European Warrants Nov 2014 B	0.300	26.280	2014/11/28	60,000,000	2014/05/12
16212	HK Bank	Tencent European Warrants Apr 2015 A	0.250	794.40 per 100 units	2015/04/30	100,000,000	2014/05/12
16213	HK Bank	Tencent European Put Warrants Sep 2014 D	0.270	417.80 per 100 units	2014/09/30	60,000,000	2014/05/12
16241	J P Morgan SP BV	HSBC European Warrants Aug 2014 B	0.150	83.050	2014/08/27	200,000,000	2014/05/12
16251	Standard Chartered Bank	China Unicom Euro Put Wts Dec15A	0.250	11.580	2015/12/30	100,000,000	2014/05/12
16250	Standard Chartered Bank	ICBC European Warrants Dec 2014 A	0.150	5 per unit	2014/12/30	100,000,000	2014/05/12
16245	Standard Chartered Bank	Kingsoft European Wts Aug 2014 C	0.300	24.880	2014/08/27	80,000,000	2014/05/12
16249	Standard Chartered Bank	Kingsoft European Wts Nov 2014 A	0.450	22.880	2014/11/12	80,000,000	2014/05/12
16257	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2014 E	0.250	584 per 100 units	2014/09/24	100,000,000	2014/05/12
16258	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Dec14B	0.540	55.000	2014/12/03	70,000,000	2014/05/12
16244	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2014 C	0.240	80.930	2014/10/06	100,000,000	2014/05/12
16259	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Dec14B	0.305	420 per 100 units	2014/12/03	150,000,000	2014/05/12
16303	Credit Suisse AG	Cheung Kong European Warrants Nov 2014 A	0.400	133.880	2014/11/17	60,000,000	2014/05/13
16295	Credit Suisse AG	HSI European Warrants Oct 2014 B	0.170	23,000 per 6,000 units	2014/10/30	150,000,000	2014/05/13
16297	Credit Suisse AG	HSI European Warrants Oct 2014 C	0.150	22,200 per 6,600 units	2014/10/30	150,000,000	2014/05/13
16304	Credit Suisse AG	Hutchison European Warrants Nov 2014 A	0.440	105.880	2014/11/17	70,000,000	2014/05/13
16306	Credit Suisse AG	Hutchison European Put Wts Nov 2014 B	0.250	82.880	2014/11/17	70,000,000	2014/05/13
16311	Credit Suisse AG	Ping An European Warrants Nov 2014 A	0.260	63.280	2014/11/17	70,000,000	2014/05/13
16342	J P Morgan SP BV	Sinopec Corp European Warrants Dec 2014 A	0.268	7.28 per unit	2014/12/01	150,000,000	2014/05/13
16314	Macquarie Bank Ltd.	AIA European Warrants Jan 2015 A	0.250	41.880	2015/01/05	40,000,000	2014/05/13
16302	Macquarie Bank Ltd.	Tencent European Warrants Feb 2015 A	0.250	750 per 100 units	2015/02/03	200,000,000	2014/05/13
16292	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Wts Oct 2014A	0.250	76.930	2014/10/08	40,000,000	2014/05/13
16280	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2015 B	0.154	152.85 per 100 units	2015/09/03	80,000,000	2014/05/13
16278	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Oct 2015 A	0.250	132.50 per 100 units	2015/10/15	40,000,000	2014/05/13
16277	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2014 D	0.175	22,200 per 6,600 units	2014/10/30	100,000,000	2014/05/13
16294	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Dec 2014 B	0.275	62.000	2014/12/03	40,000,000	2014/05/13
16293	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Dec 2014 C	0.310	600 per 100 units	2014/12/23	40,000,000	2014/05/13
16312	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Dec 2014B	0.310	7.50 per unit	2014/12/03	70,000,000	2014/05/13
16320	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Dec 2014 A	0.320	110.880	2014/12/03	50,000,000	2014/05/13
16325	UBS AG	Tencent Holdings European Warrants Nov 2014 B	0.180	698.20 per 100 units	2014/11/17	100,000,000	2014/05/13
16349	Credit Suisse AG	AIA European Warrants Nov 2014 B	0.150	40.900	2014/11/24	70,000,000	2014/05/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16470	Credit Suisse AG	Galaxy Ent European Warrants Nov 2014 A	0.500	69.880	2014/11/17	80,000,000	2014/05/14
16437	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 F	0.230	575 per 100 units	2014/09/29	100,000,000	2014/05/14
16438	Credit Suisse AG	Tencent Holdings European Wts Nov 2014 C	0.230	600 per 100 units	2014/11/10	100,000,000	2014/05/14
16456	Credit Suisse AG	Tencent Holdings European Wts Nov 2014 D	0.390	549 per 100 units	2014/11/17	100,000,000	2014/05/14
16453	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 C	0.160	688 per 100 units	2014/12/22	100,000,000	2014/05/14
16454	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 D	0.250	639 per 100 units	2014/12/22	100,000,000	2014/05/14
16455	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 E	0.340	584 per 100 units	2014/12/15	100,000,000	2014/05/14
16462	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 F	0.530	519 per 100 units	2014/12/22	100,000,000	2014/05/14
16464	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 G	0.600	489 per 100 units	2014/12/01	100,000,000	2014/05/14
16439	Credit Suisse AG	Tencent Holdings European Wts Jan 2015 A	0.220	700 per 100 units	2015/01/30	100,000,000	2014/05/14
16468	Credit Suisse AG	Tencent Holdings European Wts Jan 2015 B	0.820	459 per 100 units	2015/01/26	100,000,000	2014/05/14
16452	Credit Suisse AG	Tencent Holdings European Wts Jun 2015 A	0.320	700 per 100 units	2015/06/01	100,000,000	2014/05/14
16469	Credit Suisse AG	Tencent Holdings Euro Put Wts Nov 2014 E	0.260	389 per 100 units	2014/11/17	100,000,000	2014/05/14
16397	Daiwa Capital Mkt	Agricultural Bank European Wts Jan 2015 A	0.160	3.38 per unit	2015/01/28	98,000,000	2014/05/14
16395	Daiwa Capital Mkt	BYD European Warrants Nov 2014 A	0.250	56.880	2014/11/14	68,000,000	2014/05/14
16381	Daiwa Capital Mkt	Tencent Holdings European Wts Nov 2014 C	0.430	519.40 per 100 units	2014/11/14	128,000,000	2014/05/14
16383	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Jan 2015 A	0.250	349 per 100 units	2015/01/30	108,000,000	2014/05/14
16474	Goldman Sachs SP (Asia)	Sands China Euro Wts Nov 2014 B	0.414	62.800	2014/11/27	80,000,000	2014/05/14
16348	HK Bank	CITIC Sec European Warrants Dec 2014 A	0.250	16.280	2014/12/31	150,000,000	2014/05/14
16347	HK Bank	China Mobile European Warrants Oct 2014 B	0.150	82.050	2014/10/28	80,000,000	2014/05/14
16346	HK Bank	CSR European Warrants Sep 2016 A	0.150	7.510	2016/09/27	200,000,000	2014/05/14
16344	HK Bank	MGM China European Warrants Dec 2014 A	0.250	30.980	2014/12/31	80,000,000	2014/05/14
16477	J P Morgan SP BV	Country Garden European Warrants Jan 15 A	0.250	3.18 per unit	2015/01/05	80,000,000	2014/05/14
16475	J P Morgan SP BV	CPIC European Warrants Apr 2015 A	0.250	26.880	2015/04/02	150,000,000	2014/05/14
16478	J P Morgan SP BV	GCL-Poly European Warrants Dec 2014 A	0.250	2.58 per unit	2014/12/03	100,000,000	2014/05/14
16410	J P Morgan SP BV	Tencent European Warrants Oct 2014 J	0.455	490 per 100 units	2014/10/28	200,000,000	2014/05/14
16400	J P Morgan SP BV	Tencent European Warrants Dec 2014 C	0.402	525 per 100 units	2014/12/01	200,000,000	2014/05/14
16406	J P Morgan SP BV	Tencent European Warrants Dec 2014 D	0.254	600 per 100 units	2014/12/01	200,000,000	2014/05/14
16399	J P Morgan SP BV	Tencent European Warrants Jan 2015 B	0.325	564.40 per 100 units	2015/01/02	200,000,000	2014/05/14
16407	J P Morgan SP BV	Tencent European Warrants Mar 2015 A	0.265	644.40 per 100 units	2015/03/02	200,000,000	2014/05/14
16398	J P Morgan SP BV	Tencent European Put Warrants Dec 2014 B	0.719	500 per 100 units	2014/12/01	150,000,000	2014/05/14
16411	J P Morgan SP BV	Tencent European Put Warrants Jan 2015 C	0.260	400 per 100 units	2015/01/02	150,000,000	2014/05/14
16362	Macquarie Bank Ltd.	Galaxy Ent European Wts Oct 2014 B	0.150	84.880	2014/10/06	67,000,000	2014/05/14
16363	Macquarie Bank Ltd.	Greatwall Motor European Wts Dec 2014 A	0.250	43.000	2014/12/02	40,000,000	2014/05/14
16350	Standard Chartered Bank	Cheung Kong Euro Wts Dec 2014 A	0.250	148.000	2014/12/03	40,000,000	2014/05/14
16351	Standard Chartered Bank	Cheung Kong European Put Wt Dec14B	0.250	98.000	2014/12/03	40,000,000	2014/05/14
16352	Standard Chartered Bank	Evergrande European Wts Sep 2014 A	0.170	3.50 per unit	2014/09/26	60,000,000	2014/05/14
16413	Standard Chartered Bank	Tencent Hldg Euro Wts Nov 2014 D	0.510	494.40 per 100 units	2014/11/13	100,000,000	2014/05/14
16427	Standard Chartered Bank	Tencent Hldg Euro Wts Feb 2015 B	0.450	544.40 per 100 units	2015/02/03	100,000,000	2014/05/14
16431	Standard Chartered Bank	Tencent Hldg Eu Put Wts Mar 2015 A	0.250	344.40 per 100 units	2015/03/03	100,000,000	2014/05/14
16471	SGA Societe Generale Acceptance N.V.	SHKP Eu Put Wt Jan15A	0.210	79.000	2015/01/05	50,000,000	2014/05/14
16379	UBS AG	CC Bank European Warrants Sep 2014 B	0.150	5.41 per unit	2014/09/30	100,000,000	2014/05/14
16378	UBS AG	HSBC European Put Warrants Sep 2014 E	0.150	68.750	2014/09/30	70,000,000	2014/05/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16372	UBS AG	MGM China European Warrants Oct 2014 A	0.150	35.050	2014/10/28	70,000,000	2014/05/14
16355	UBS AG	Tencent Holdings European Warrants Sep 2014 J	0.170	650.50 per 100 units	2014/09/29	100,000,000	2014/05/14
16356	UBS AG	Tencent Holdings European Warrants Nov 2014 C	0.250	654.40 per 100 units	2014/11/24	100,000,000	2014/05/14
16361	UBS AG	Tencent Holdings European Warrants Nov 2014 D	0.460	554.40 per 100 units	2014/11/17	100,000,000	2014/05/14
16599	Credit Suisse AG	GCL-Poly Energy European Wts Dec 2014 A	0.250	2.78 per unit	2014/12/22	50,000,000	2014/05/15
16526	Daiwa Capital Mkt	Galaxy Ent European Warrants Nov 2014 A	0.340	64.880	2014/11/14	98,000,000	2014/05/15
16527	Daiwa Capital Mkt	Galaxy Ent European Put Wts Nov 2014 B	0.250	43.880	2014/11/14	68,000,000	2014/05/15
16533	Daiwa Capital Mkt	ICBC European Warrants Oct 2014 A	0.190	4.69 per unit	2014/10/03	108,000,000	2014/05/15
16532	Daiwa Capital Mkt	Sands China European Warrants Nov 2014 A	0.340	58.880	2014/11/14	98,000,000	2014/05/15
16677	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2014 F	0.181	22,000 per 7,000 units	2014/08/28	150,000,000	2014/05/15
16518	Goldman Sachs SP (Asia)	Hutchison European Wts Sep 2014 A	0.347	104.80 per 9 units	2014/09/29	80,000,000	2014/05/15
16515	Goldman Sachs SP (Asia)	Hutchison European Wts Dec 2015 A	0.151	93.595 per 94 units	2015/12/29	80,000,000	2014/05/15
16485	HK Bank	CSR Times European Warrants Dec 2014 A	0.250	25.880	2014/12/31	80,000,000	2014/05/15
16497	HK Bank	Galaxy Ent European Warrants Nov 2014 A	0.450	66.880	2014/11/28	80,000,000	2014/05/15
16488	HK Bank	Sands China European Warrants Sep 2014 B	0.340	61.850	2014/09/29	80,000,000	2014/05/15
16484	HK Bank	SJM Holdings European Warrants Dec 2014 A	0.250	24.380	2014/12/31	80,000,000	2014/05/15
16482	J P Morgan SP BV	BYD European Warrants Dec 2016 A	0.150	46.88 per 100 units	2016/12/20	200,000,000	2014/05/15
16568	J P Morgan SP BV	Galaxy Ent European Warrants Nov 2014 A	0.484	58.880	2014/11/28	100,000,000	2014/05/15
16573	J P Morgan SP BV	Galaxy Ent European Warrants Dec 2014 B	0.291	66.600	2014/12/02	100,000,000	2014/05/15
16561	J P Morgan SP BV	Galaxy Ent European Put Wts Dec 2014 A	0.313	46.880	2014/12/01	100,000,000	2014/05/15
16551	J P Morgan SP BV	Sands China European Warrants Dec 2014 B	0.377	56.880	2014/12/01	100,000,000	2014/05/15
16546	J P Morgan SP BV	Sands China European Put Wts Dec 2014 A	0.296	43.880	2014/12/02	100,000,000	2014/05/15
16538	J P Morgan SP BV	Wynn Macau European Warrants Dec 2014 A	0.250	32.880	2014/12/30	80,000,000	2014/05/15
16510	Macquarie Bank Ltd.	Ch Modern D European Warrants Nov 14 A	0.250	5 per unit	2014/11/17	40,000,000	2014/05/15
16672	Macquarie Bank Ltd.	China Unicom European Wts Jul 2015 A	0.250	12.000	2015/07/03	80,000,000	2014/05/15
16511	Macquarie Bank Ltd.	Galaxy Ent European Wts Dec 2014 A	0.250	80.000	2014/12/02	40,000,000	2014/05/15
16502	Macquarie Bank Ltd.	Sands China European Wts Nov 2014 A	0.201	70.280	2014/11/04	50,000,000	2014/05/15
16500	Macquarie Bank Ltd.	Sands China European Wts Apr 2017 A	0.150	58.88 per 100 units	2017/04/04	67,000,000	2014/05/15
16658	Standard Chartered Bank	Foxconn European Wts Sep 2014 A	0.200	5.21 per unit	2014/09/25	50,000,000	2014/05/15
16634	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Dec 2014A	0.150	21.680	2014/12/03	70,000,000	2014/05/15
16646	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Dec14A	0.330	68.000	2014/12/03	100,000,000	2014/05/15
16650	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Dec14C	0.415	66.000	2014/12/03	80,000,000	2014/05/15
16499	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Oct 14 B	0.295	58.930	2014/10/06	100,000,000	2014/05/15
16654	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Dec14B	0.460	55.880	2014/12/03	100,000,000	2014/05/15
16590	UBS AG	Galaxy Ent European Warrants Nov 2014 A	0.550	68.880	2014/11/14	40,000,000	2014/05/15
16581	UBS AG	Melco European Warrants Nov 2014 A	0.250	24.880	2014/11/14	40,000,000	2014/05/15
16587	UBS AG	Sands China European Warrants Sep 2014 C	0.400	61.850	2014/09/25	40,000,000	2014/05/15
16589	UBS AG	SJM Holdings European Warrants Sep 2014 A	0.190	23.050	2014/09/29	60,000,000	2014/05/15
16598	UBS AG	Wynn Macau European Warrants Nov 2014 A	0.270	32.880	2014/11/14	50,000,000	2014/05/15
17048	Citigroup Global Mkt H Inc.	Ping An Euro Wts Sep 2014 B	0.260	58.880	2014/09/24	100,000,000	2014/05/16
17065	Citigroup Global Mkt H Inc.	Ping An Euro Wts Oct 2014 B	0.150	66.280	2014/10/30	100,000,000	2014/05/16
17050	Citigroup Global Mkt H Inc.	Ping An Euro Wts Nov 2014 A	0.240	62.500	2014/11/25	100,000,000	2014/05/16
16860	Daiwa Capital Mkt	China Cinda European Warrants Dec 2014 A	0.250	4.48 per unit	2014/12/01	68,000,000	2014/05/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16808	Daiwa Capital Mkt	China Mobile European Wts Jan 2015 A	0.150	88.780	2015/01/02	108,000,000	2014/05/16
16855	Daiwa Capital Mkt	Kingsoft European Warrants Nov 2014 A	0.250	28.000	2014/11/18	80,000,000	2014/05/16
17030	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Nov 2014 B	0.469	66.000	2014/11/24	80,000,000	2014/05/16
17007	Goldman Sachs SP (Asia)	HSI European Warrants Nov 2014 A	0.255	21,400 per 6,000 units	2014/11/27	150,000,000	2014/05/16
17029	Goldman Sachs SP (Asia)	HSI European Put Wts Nov 2014 B	0.252	22,000 per 7,000 units	2014/11/27	150,000,000	2014/05/16
16798	HK Bank	BYD European Warrants Dec 2014 A	0.300	43.880	2014/12/31	60,000,000	2014/05/16
16792	HK Bank	China Unicom European Warrants Dec 2014 B	0.150	12.980	2014/12/31	100,000,000	2014/05/16
16787	HK Bank	GCL-Poly Energy European Warrants Dec 2014 A	0.250	2.48 per unit	2014/12/31	60,000,000	2014/05/16
16960	J P Morgan SP BV	Kingsoft European Warrants Dec 2014 B	0.250	25.880	2014/12/01	150,000,000	2014/05/16
16700	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Dec 2014 B	0.150	3.60 per unit	2014/12/08	70,000,000	2014/05/16
16701	Macquarie Bank Ltd.	CC Bank European Warrants Dec 2014 A	0.150	6 per unit	2014/12/02	70,000,000	2014/05/16
16703	Macquarie Bank Ltd.	Minsheng Bank European Wts Dec 2014 A	0.250	9 per unit	2014/12/02	40,000,000	2014/05/16
16708	Macquarie Bank Ltd.	Greatwall Motor European Wts Oct 2014 B	0.250	36.880	2014/10/06	40,000,000	2014/05/16
16678	Macquarie Bank Ltd.	ICBC European Warrants Jan 2015 A	0.150	5.28 per unit	2015/01/05	70,000,000	2014/05/16
16854	Nomura Int'l plc	Angang Steel European Warrants Dec 2014 A	0.550	5.68 per unit	2014/12/31	50,000,000	2014/05/16
16842	Nomura Int'l plc	Brilliance Chi European Warrants Dec 14 A	0.150	13.800	2014/12/30	80,000,000	2014/05/16
16907	Nomura Int'l plc	CITIC P European Warrants Jan 2015 A	0.150	14.880	2015/01/26	80,000,000	2014/05/16
16820	Nomura Int'l plc	China Life European Warrants Oct 2014 A	0.150	20.880	2014/10/20	80,000,000	2014/05/16
16845	Nomura Int'l plc	China Mobile European Warrants Oct 2014 A	0.170	78.880	2014/10/30	80,000,000	2014/05/16
16853	Nomura Int'l plc	Sinopec European Warrants Sep 2014 A	0.150	7.80 per unit	2014/09/23	80,000,000	2014/05/16
16850	Nomura Int'l plc	China Telecom European Wts Dec 2014 A	0.250	4.80 per unit	2014/12/31	50,000,000	2014/05/16
16848	Nomura Int'l plc	China Unicom European Warrants Dec 2014 A	0.150	13.000	2014/12/30	80,000,000	2014/05/16
16818	Nomura Int'l plc	GCL-Poly Energy European Warrants Dec14 A	0.300	2.38 per unit	2014/12/31	50,000,000	2014/05/16
16852	Nomura Int'l plc	HKEx European Warrants Jan 2015 A	0.150	138.88 per 100 units	2015/01/05	80,000,000	2014/05/16
16813	Nomura Int'l plc	Ping An European Warrants Nov 2014 A	0.340	60.000	2014/11/18	50,000,000	2014/05/16
16840	Nomura Int'l plc	Ping An European Put Warrants Sep 2014 B	0.330	53.860	2014/09/24	50,000,000	2014/05/16
16748	Standard Chartered Bank	China Life European Wts May 2015 A	0.250	20.280	2015/05/29	100,000,000	2014/05/16
16779	Standard Chartered Bank	China Mobile Eur Put Wt Oct 2014 C	0.150	64.750	2014/10/08	80,000,000	2014/05/16
16948	Standard Chartered Bank	Kingsoft European Wts Nov 2014 C	0.470	20.880	2014/11/17	80,000,000	2014/05/16
16953	Standard Chartered Bank	Kingsoft European Put Wts Nov 14 D	0.250	18.880	2014/11/24	80,000,000	2014/05/16
16741	Standard Chartered Bank	China Mengniu Euro Wts Feb 2015 A	0.250	52.000	2015/02/03	50,000,000	2014/05/16
16766	Standard Chartered Bank	Sands China Euro Wts Sep 2014 A	0.250	61.800	2014/09/24	80,000,000	2014/05/16
16767	Standard Chartered Bank	Sands China Euro Wts Oct 2014 A	0.200	66.930	2014/10/17	80,000,000	2014/05/16
16761	Standard Chartered Bank	Wynn Macau European Wts Dec 2014 A	0.250	33.880	2014/12/22	50,000,000	2014/05/16
16909	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Nov15A	0.250	13.380	2015/11/04	80,000,000	2014/05/16
16930	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Mar15A	0.350	2.68 per unit	2015/03/04	50,000,000	2014/05/16
16936	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Jan15A	0.335	32.000	2015/01/05	50,000,000	2014/05/16
16937	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 14 A	0.250	21,600 per 6,000 units	2014/11/27	150,000,000	2014/05/16
16938	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Feb15A	0.375	25.680	2015/02/04	50,000,000	2014/05/16
16727	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Mar 2015 A	0.225	15.000	2015/03/04	100,000,000	2014/05/16
16893	UBS AG	China Mobile European Warrants Dec 2014 A	0.150	88.850	2014/12/19	100,000,000	2014/05/16
16905	UBS AG	China Tel European Warrants Nov 2014 A	0.220	4.39 per unit	2014/11/03	50,000,000	2014/05/16
16898	UBS AG	China Unicom European Warrants Dec 2014 B	0.150	13.020	2014/12/24	100,000,000	2014/05/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16879	UBS AG	Galaxy Ent European Put Warrants Oct 2014 D	0.340	48.830	2014/10/23	40,000,000	2014/05/16
16946	UBS AG	Greatwall Motor European Warrants Oct 2014 B	0.150	36.930	2014/10/08	70,000,000	2014/05/16
16882	UBS AG	Greatwall Motor European Warrants Nov 2014 A	0.250	32.880	2014/11/17	40,000,000	2014/05/16
16880	UBS AG	HKEEx European Warrants Dec 2015 B	0.250	138.88 per 100 units	2015/12/24	200,000,000	2014/05/16
16883	UBS AG	HSBC European Warrants Dec 2014 A	0.170	92.050	2014/12/03	100,000,000	2014/05/16
17214	BOCI Asia Ltd.	CC Bank European Warrants Nov 2014 A	0.160	5.68 per unit	2014/11/03	100,000,000	2014/05/19
17182	BOCI Asia Ltd.	China Cinda European Warrants Dec 2014 A	0.290	4.40 per unit	2014/12/01	100,000,000	2014/05/19
17187	BOCI Asia Ltd.	China Mobile European Put Wts Nov 2014 A	0.170	63.880	2014/11/24	100,000,000	2014/05/19
17254	BOCI Asia Ltd.	Galaxy Ent European Warrants Nov 2014 A	0.430	68.000	2014/11/24	100,000,000	2014/05/19
17233	BOCI Asia Ltd.	HKEEx European Put Warrants May 2015 A	0.200	138.88 per 100 units	2015/05/29	100,000,000	2014/05/19
17260	BOCI Asia Ltd.	Hutchison European Warrants Nov 2014 A	0.320	110.000	2014/11/24	100,000,000	2014/05/19
17125	BOCI Asia Ltd.	Kingsoft European Warrants Nov 2014 B	0.310	25.000	2014/11/28	100,000,000	2014/05/19
17124	BOCI Asia Ltd.	Ping An European Warrants Dec 2014 A	0.250	63.880	2014/12/01	100,000,000	2014/05/19
17089	BOCI Asia Ltd.	Ping An European Put Warrants Nov 2014 A	0.250	49.880	2014/11/24	100,000,000	2014/05/19
17155	BOCI Asia Ltd.	Sands China European Warrants Nov 2014 A	0.390	65.000	2014/11/24	100,000,000	2014/05/19
17405	Citigroup Global Mkt H Inc.	AIA European Wts Sep 2014 A	0.195	39.000	2014/09/24	100,000,000	2014/05/19
17085	HK Bank	China Cinda European Warrants Nov 2014 A	0.300	4.08 per unit	2014/11/28	60,000,000	2014/05/19
17084	HK Bank	Greatwall Motor European Warrants Dec 2014 A	0.250	32.880	2014/12/31	80,000,000	2014/05/19
17069	HK Bank	HWL European Warrants Nov 2014 A	0.300	106.880	2014/11/28	80,000,000	2014/05/19
17078	HK Bank	Kingsoft European Warrants Nov 2014 C	0.300	24.380	2014/11/28	60,000,000	2014/05/19
17402	J P Morgan SP BV	Greatwall Motor European Wts Dec 2014 A	0.250	31.880	2014/12/01	100,000,000	2014/05/19
17401	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Dec 2014 A	0.250	2.60 per unit	2014/12/02	40,000,000	2014/05/19
17261	Standard Chartered Bank	Greatwall Motor Euro Wt Dec 2014 B	0.250	33.880	2014/12/03	40,000,000	2014/05/19
17088	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Jan15A	0.250	22.000	2015/01/05	60,000,000	2014/05/19
17326	UBS AG	Hutchison European Warrants Nov 2014 B	0.380	108.000	2014/11/18	100,000,000	2014/05/19
17314	UBS AG	Hutchison European Put Warrants Nov 2014 A	0.380	86.880	2014/11/18	40,000,000	2014/05/19
17278	UBS AG	Kingsoft European Warrants Nov 2014 A	0.250	26.880	2014/11/18	100,000,000	2014/05/19
18045	BNP Paribas Arbit Issu B.V.	Chi Res Land Eu Wt Jan 2015 A	0.150	16.480	2015/01/05	100,000,000	2014/05/20
17992	BNP Paribas Arbit Issu B.V.	Ping An European Wt Feb 2015 A	0.190	75.000	2015/02/03	100,000,000	2014/05/20
17991	BNP Paribas Arbit Issu B.V.	Wynn Macau Euro Wts Apr 2015 A	0.250	39.800	2015/04/02	100,000,000	2014/05/20
19038	Goldman Sachs SP (Asia)	CC Bank European Wts Oct 2014 A	0.159	5.79 per unit	2014/10/24	80,000,000	2014/05/20
18474	Goldman Sachs SP (Asia)	HSBC European Put Wts Oct 2014 A	0.165	72.000	2014/10/03	80,000,000	2014/05/20
19427	Goldman Sachs SP (Asia)	Kingsoft European Wts Nov 2014 A	0.254	26.000	2014/11/28	80,000,000	2014/05/20
19454	Goldman Sachs SP (Asia)	Ping An European Wts Nov 2014 B	0.321	60.880	2014/11/28	80,000,000	2014/05/20
17841	HK Bank	China Coal European Warrants Dec 2014 A	0.250	5.08 per unit	2014/12/31	60,000,000	2014/05/20
17695	HK Bank	Evergrande European Warrants Dec 2014 A	0.250	3.80 per unit	2014/12/31	60,000,000	2014/05/20
17661	HK Bank	Foxconn European Warrants Nov 2014 A	0.300	4.58 per unit	2014/11/28	60,000,000	2014/05/20
17850	HK Bank	Mengniu European Warrants Nov 2014 A	0.250	45.280	2014/11/28	60,000,000	2014/05/20
17734	HK Bank	PetroChina European Warrants Nov 2014 A	0.250	10.50 per unit	2014/11/28	60,000,000	2014/05/20
18395	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jan 2015 A	0.250	10,000 per 4,000 units	2015/01/29	300,000,000	2014/05/20
18471	J P Morgan SP BV	HSI European Warrants Oct 2014 H	0.157	23,000 per 6,000 units	2014/10/30	500,000,000	2014/05/20
18472	J P Morgan SP BV	HSI European Warrants Nov 2014 A	0.259	22,600 per 6,000 units	2014/11/27	500,000,000	2014/05/20
17422	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Dec 2014 B	0.150	22.050	2014/12/29	80,000,000	2014/05/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17509	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Put Wt Oct14B	0.255	48.830	2014/10/23	40,000,000	2014/05/20
17902	Merrill Lynch Int'l & Co. C.V.	Lenovo Euro Wts Dec 2015 A	0.150	9.000	2015/12/17	80,000,000	2014/05/20
17659	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Aug 2014 B	0.152	63.930	2014/08/25	80,000,000	2014/05/20
17569	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Oct 2014 B	0.151	65.850	2014/10/23	80,000,000	2014/05/20
17471	Merrill Lynch Int'l & Co. C.V.	Sands China Euro Wt Nov14 B	0.365	65.000	2014/11/20	80,000,000	2014/05/20
17430	Merrill Lynch Int'l & Co. C.V.	Sands China Eu Put Wt Nov14A	0.275	45.000	2014/11/24	40,000,000	2014/05/20
18473	Standard Chartered Bank	CITIC Sec European Wts Mar 2015 A	0.150	20.600	2015/03/26	80,000,000	2014/05/20
18240	Standard Chartered Bank	CLP European Warrants Jan 2015 A	0.250	68.000	2015/01/30	80,000,000	2014/05/20
18235	Standard Chartered Bank	A50 China Euro Put Wts Aug 2016 B	0.250	9.280	2016/08/30	100,000,000	2014/05/20
19135	Standard Chartered Bank	Haitong Sec Euro Wts Feb 2015 A	0.150	13.380	2015/02/23	80,000,000	2014/05/20
19134	SGA Societe Generale Acceptance NV	NewWorld Dev Eu Wt Mar15A	0.660	9 per unit	2015/03/04	16,000,000	2014/05/20
18230	UBS AG	HSCEI European Warrants Dec 2014 C	0.150	10,600 per 4,000 units	2014/12/30	100,000,000	2014/05/20
19371	UBS AG	Kingsoft European Put Warrants Nov 2014 B	0.250	18.880	2014/11/19	50,000,000	2014/05/20
18145	UBS AG	PetroChina European Warrants Nov 2014 A	0.250	10.38 per unit	2014/11/19	50,000,000	2014/05/20
20944	Credit Suisse AG	China Coal European Warrants Nov 2014 A	0.300	5.18 per unit	2014/11/24	60,000,000	2014/05/21
20587	Credit Suisse AG	Kingsoft European Warrants Nov 2014 A	0.250	27.080	2014/11/24	60,000,000	2014/05/21
20576	Goldman Sachs SP (Asia)	BOCL European Warrants Mar 2015 A	0.166	3.81 per unit	2015/03/24	80,000,000	2014/05/21
20962	J P Morgan SP BV	BankComm European Warrants Dec 2014 A	0.250	5.38 per unit	2014/12/01	40,000,000	2014/05/21
21259	J P Morgan SP BV	China Life European Put Wts Dec 2014 A	0.150	19.880	2014/12/01	180,000,000	2014/05/21
21263	J P Morgan SP BV	China Mob European Put Wts Dec 2014 A	0.286	70.000	2014/12/01	180,000,000	2014/05/21
19871	J P Morgan SP BV	China Mengniu European Warrants Dec 2014A	0.254	45.000	2014/12/01	100,000,000	2014/05/21
20307	J P Morgan SP BV	PetroChina European Warrants Dec 2014 A	0.254	10.68 per unit	2014/12/29	100,000,000	2014/05/21
19850	Standard Chartered Bank	CAM CSI300 European Wts Apr 2015 A	0.250	28.880	2015/04/01	100,000,000	2014/05/21
19737	Standard Chartered Bank	Huishan Dairy Euro Wts Dec 2015 A	0.460	2.50 per unit	2015/12/31	30,000,000	2014/05/21
19732	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Feb 2015 A	0.255	4.68 per unit	2015/02/04	40,000,000	2014/05/21
19735	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Feb 2015A	0.250	11.28 per unit	2015/02/04	60,000,000	2014/05/21
22147	BOCI Asia Ltd.	HKEx European Warrants Jun 2015 A	0.150	160.38 per units	2015/06/29	100,000,000	2014/05/22
21305	BOCI Asia Ltd.	HSI European Warrants Oct 2014 C	0.150	23,000 per 6,000 units	2014/10/30	100,000,000	2014/05/22
21349	BOCI Asia Ltd.	HSI European Warrants Oct 2014 D	0.180	22,300 per 6,000 units	2014/10/30	100,000,000	2014/05/22
21304	BOCI Asia Ltd.	HSI European Put Warrants Oct 2014 B	0.150	21,800 per 8,000 units	2014/10/30	100,000,000	2014/05/22
21923	BOCI Asia Ltd.	Tencent Holdings European Wts Nov 2014 A	0.280	136 per units	2014/11/24	100,000,000	2014/05/22
22096	BOCI Asia Ltd.	Tencent Holdings European Wts Nov 2014 B	0.460	120 per units	2014/11/17	100,000,000	2014/05/22
22065	BOCI Asia Ltd.	Tencent Holdings European Wts Dec 2014 A	0.410	128.88 per units	2014/12/29	100,000,000	2014/05/22
21819	BOCI Asia Ltd.	Tencent Holdings European Put Wts Oct 2014 E	0.330	94 per units	2014/10/27	100,000,000	2014/05/22
21624	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jan 2015 C	0.150	88.80 per units	2015/01/05	100,000,000	2014/05/22
21783	BNP Paribas Arbit Issu B.V.	Greatwall Motor Eu Wt Jan 15 A	0.250	38.80 per units	2015/01/05	100,000,000	2014/05/22
21597	BNP Paribas Arbit Issu B.V.	HSBC Euro Put Wts Jan 2016 A	0.250	57.80 per units	2016/01/05	100,000,000	2014/05/22
21675	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jun 2016 A	0.250	6.50 per unit	2016/06/02	100,000,000	2014/05/22
22264	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Nov 2014 A	0.250	10.38 per unit	2014/11/21	80,000,000	2014/05/22
22231	Goldman Sachs SP (Asia)	CITIC Pacific Euro Wts May 2015 A	0.153	16.02 per units	2015/05/04	80,000,000	2014/05/22
22219	Goldman Sachs SP (Asia)	China Tel European Wts Dec 2014 A	0.253	4.48 per unit	2014/12/30	80,000,000	2014/05/22
22285	J P Morgan SP BV	HSI European Warrants Aug 2014 H	0.150	23,800 per 5,500 units	2014/08/28	500,000,000	2014/05/22
22348	J P Morgan SP BV	HSI European Warrants Dec 2014 B	0.252	23,600 per 6,000 units	2014/12/30	500,000,000	2014/05/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22266	J P Morgan SP BV	HSI European Warrants Mar 2015 A	0.251	24,000 per 7,000 units	2015/03/30	500,000,000	2014/05/22
21423	Standard Chartered Bank	Brilliance Chi Euro Wts Oct 2015 A	0.250	13.88 per units	2015/10/07	50,000,000	2014/05/22
21592	SGA Societe Generale Acceptance N.V.	CMBC Eu Put Wt Dec15A	0.250	8.90 per units	2015/12/02	200,000,000	2014/05/22
22176	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Nov 14 B	0.650	106.88 per units	2014/11/05	150,000,000	2014/05/22
22195	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jan 15 B	0.425	128.88 per units	2015/01/05	150,000,000	2014/05/22
22213	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Dec14C	0.455	100 per units	2014/12/03	150,000,000	2014/05/22
22216	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt May15A	0.250	120 per units	2015/05/06	500,000,000	2014/05/22
22891	Credit Suisse	Cheung Kong European Put Warrants Nov 2014 B	0.270	110.000	2014/11/24	50,000,000	2014/05/23
23022	Goldman Sachs SP (Asia)	China Cinda Euro Wts Dec 2014 A	0.399	4.18 per unit	2014/12/30	80,000,000	2014/05/23
23019	Goldman Sachs SP (Asia)	CNOOC European Warrants Nov 2014 B	0.323	15.38 per unit	2014/11/28	80,000,000	2014/05/23
22971	Goldman Sachs SP (Asia)	Geely Auto European Wts Feb 2015 A	0.255	3.28 per unit	2015/02/02	80,000,000	2014/05/23
22374	J P Morgan SP BV	China Life European Warrants Jan 2015 A	0.150	22.000	2015/01/02	300,000,000	2014/05/23
22877	Macquarie Bank Ltd.	China Mobile European Wts Nov 2014 B	0.150	82.000	2014/11/04	100,000,000	2014/05/23
22378	Macquarie Bank Ltd.	Shunfeng Pv European Warrants Mar 15 A	0.250	15.000	2015/03/03	40,000,000	2014/05/23
22462	Standard Chartered Bank	Chi Res Power Euro Wts Jan 2015 A	0.250	22.880	2015/01/29	80,000,000	2014/05/23
22604	Standard Chartered Bank	HSBC European Warrants Dec 2014 A	0.250	86.880	2014/12/30	100,000,000	2014/05/23
22393	Standard Chartered Bank	Link REIT European Wts Oct 2015 A	0.250	42.880	2015/10/30	50,000,000	2014/05/23
22591	Standard Chartered Bank	Tracker Fund Euro Wts Mar 2015 A	0.180	22.850	2015/03/30	100,000,000	2014/05/23
22499	Standard Chartered Bank	Tracker Fund Eu Put Wt Dec 2014 B	0.150	21.950	2014/12/29	100,000,000	2014/05/23
22618	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Jan17A	0.150	3.580	2017/01/04	300,000,000	2014/05/23
22695	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2015 B	0.220	20.300	2015/06/03	100,000,000	2014/05/23
22730	SGA Societe Generale Acceptance N.V.	MGM China Eu Wt Jan15A	0.250	28.800	2015/01/05	50,000,000	2014/05/23
22638	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Jan15A	0.355	32.880	2015/01/05	40,000,000	2014/05/23
22778	UBS AG	Galaxy Ent European Warrants Nov 2014 B	0.330	73.380	2014/11/24	35,000,000	2014/05/23
22793	UBS AG	HSBC European Warrants Nov 2014 A	0.250	88.000	2014/11/28	100,000,000	2014/05/23
22892	UBS AG	Lenovo European Warrants Jun 2015 B	0.150	10.000	2015/06/30	70,000,000	2014/05/23
22664	UBS AG	Tencent Holdings European Warrants Aug 2015 A	0.250	108.88 per 100 units	2015/08/31	500,000,000	2014/05/23
22642	UBS AG	Tencent Holdings European Put Warrants Oct 2014 C	0.360	93.95 per 20 units	2014/10/15	100,000,000	2014/05/23
23304	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Jan 2015 A	0.376	152.000	2015/01/05	80,000,000	2014/05/26
23306	Goldman Sachs SP (Asia)	China Life Euro Put Wts Mar 2015 A	0.250	19.500	2015/03/31	80,000,000	2014/05/26
23163	HK Bank	ICBC European Warrants Dec 2014 B	0.180	5.01 per unit	2014/12/24	100,000,000	2014/05/26
23284	J P Morgan SP BV	Shunfeng Pv European Warrants Mar 2015 A	0.254	16.000	2015/03/03	60,000,000	2014/05/26
23296	J P Morgan SP BV	Tencent European Warrants Sep 2015 A	0.250	112.88 per 100 units	2015/09/29	500,000,000	2014/05/26
23295	J P Morgan SP BV	Tencent European Put Warrants Dec 2015 A	0.250	100.88 per 100 units	2015/12/30	500,000,000	2014/05/26
23023	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Put Wts Dec 2014 A	0.250	105.000	2014/12/22	40,000,000	2014/05/26
23108	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Dec 14 C	0.177	19.880	2014/12/02	60,000,000	2014/05/26
23114	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Sep 2014 C	0.151	75.950	2014/09/01	70,000,000	2014/05/26
23097	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Put Wt Dec14A	0.206	7.47 per unit	2014/12/01	60,000,000	2014/05/26
23042	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Eu Put Wt Nov 2014B	0.178	91.950	2014/11/03	70,000,000	2014/05/26
23164	Standard Chartered Bank	China Cinda Euro Wts Sep 2014 B	0.200	4.29 per unit	2014/09/23	50,000,000	2014/05/26
23261	Standard Chartered Bank	China Cinda Euro Put Wts Dec 14 A	0.400	3 per unit	2014/12/03	25,000,000	2014/05/26
23127	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2014 A	0.155	92.000	2014/12/03	100,000,000	2014/05/26
10293	UBS AG	Nikkei 225 European Warrants Dec 2014 D	0.250	15,000 per 350 units	2014/12/12	100,000,000	2014/05/26

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
10295	UBS AG	Nikkei 225 European Warrants Dec 2014 E	0.200	13,300 per 640 units	2014/12/12	100,000,000	2014/05/26
10292	UBS AG	Nikkei 225 European Warrants Mar 2015 B	0.250	16,000 per 350 units	2015/03/13	100,000,000	2014/05/26
10294	UBS AG	Nikkei 225 European Warrants Mar 2015 C	0.250	14,000 per 570 units	2015/03/13	100,000,000	2014/05/26
10291	UBS AG	Nikkei 225 European Put Warrants Dec 2014 C	0.250	13,600 per 380 units	2014/12/12	100,000,000	2014/05/26
10290	UBS AG	Nikkei 225 European Put Warrants Mar 2015 A	0.250	15,000 per 710 units	2015/03/13	100,000,000	2014/05/26
23481	Credit Suisse AG	BOCL European Warrants Mar 2015 A	0.150	3.81 per unit	2015/03/30	70,000,000	2014/05/27
23474	Credit Suisse AG	CC Bank European Warrants Nov 2014 A	0.150	6 per unit	2014/11/28	70,000,000	2014/05/27
23478	Credit Suisse AG	China Mobile European Warrants Oct 2014 B	0.240	82.000	2014/10/31	80,000,000	2014/05/27
23479	Credit Suisse AG	China Shenhua European Wts Mar 2015 A	0.150	24.000	2015/03/30	70,000,000	2014/05/27
23453	Credit Suisse AG	HSBC European Wts Oct 2014 A	0.150	86.900	2014/10/13	70,000,000	2014/05/27
23455	Credit Suisse AG	Jiangxi Copper European Wts Nov 2015 A	0.190	14.000	2015/11/30	60,000,000	2014/05/27
23461	Credit Suisse AG	ZTE European Warrants Oct 2014 A	0.150	15.400	2014/10/27	70,000,000	2014/05/27
23440	Daiwa Capital Mkt	China Life European Warrants Dec 2014 C	0.160	20.000	2014/12/15	128,000,000	2014/05/27
23452	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Oct 2014 D	0.250	93.98 per 20 units	2014/10/15	108,000,000	2014/05/27
23531	Bank of East Asia	China Cinda European Warrants Mar 2015 A	0.386	4.38 per unit	2015/03/30	30,000,000	2014/05/27
23526	Bank of East Asia	CITIC Sec European Warrants May 2015 A	0.250	20.000	2015/05/29	40,000,000	2014/05/27
23548	Bank of East Asia	China Life European Put Wts May 2015 A	0.250	19.000	2015/05/29	40,000,000	2014/05/27
23603	Bank of East Asia	Kingsoft European Warrants Dec 2014 A	0.375	24.000	2014/12/12	40,000,000	2014/05/27
23601	Bank of East Asia	Ping An European Put Warrants Dec 2014 A	0.250	50.000	2014/12/03	40,000,000	2014/05/27
23339	HK Bank	BOCL European Warrants Mar 2015 A	0.150	3.81 per unit	2015/03/24	80,000,000	2014/05/27
23321	HK Bank	China Life European Warrants Dec 2014 C	0.150	20.050	2014/12/12	100,000,000	2014/05/27
23398	HK Bank	China Mobile European Put Warrants Dec 2014 A	0.250	66.880	2014/12/31	60,000,000	2014/05/27
23315	HK Bank	Tencent European Put Warrants Feb 2015 A	0.250	114.88 per 100 units	2015/02/27	100,000,000	2014/05/27
23511	J P Morgan SP BV	China Cinda European Warrants Dec 2014 A	0.365	3.80 per unit	2014/12/02	100,000,000	2014/05/27
23513	J P Morgan SP BV	Foxconn European Warrants Nov 2014 A	0.251	4.66 per unit	2014/11/26	40,000,000	2014/05/27
23506	J P Morgan SP BV	Link Reit European Warrants Apr 2015 A	0.253	43.880	2015/04/02	100,000,000	2014/05/27
23501	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 15 C	0.150	88.800	2015/01/05	100,000,000	2014/05/27
23399	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2014 B	0.270	83.880	2014/12/03	100,000,000	2014/05/27
23402	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Dec 14C	0.280	76.000	2014/12/03	100,000,000	2014/05/27
23483	SGA Societe Generale Acceptance N.V.	Ch Mengniu Eu Wt Feb15A	0.425	44.000	2015/02/04	30,000,000	2014/05/27
23413	UBS AG	Lenovo European Warrants Dec 2014 A	0.280	11.88 per unit	2014/12/15	40,000,000	2014/05/27
23436	UBS AG	Tencent Holdings European Put Warrants Nov 2014 E	0.400	99.95 per 20 units	2014/11/24	100,000,000	2014/05/27
13428*	J P Morgan SP BV	HSI European Put Warrants Aug 2014 D	0.041	21,200 per 6,800 units	2014/08/28	500,000,000	2014/05/27
23685	Goldman Sachs SP (Asia)	China Mobile Euro Wts Dec 2014 B	0.254	82.880	2014/12/01	80,000,000	2014/05/28
23688	Goldman Sachs SP (Asia)	China Mobile Euro Wts Mar 2015 A	0.254	92.000	2015/03/02	80,000,000	2014/05/28
23777	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Nov 2014 C	0.265	70.000	2014/11/28	80,000,000	2014/05/28
23803	Goldman Sachs SP (Asia)	Hutchison European Wts Nov 2014 A	0.297	118.000	2014/11/28	80,000,000	2014/05/28
23786	Goldman Sachs SP (Asia)	Link REIT European Wts Mar 2015 A	0.250	44.800	2015/03/30	80,000,000	2014/05/28
23680	HK Bank	China Mobile European Warrants Nov 2014 A	0.150	88.330	2014/11/24	80,000,000	2014/05/28
23641	HK Bank	HSCEI European Warrants Dec 2014 D	0.250	9,688 per 4,300 units	2014/12/30	150,000,000	2014/05/28
23642	HK Bank	HSCEI European Put Warrants Dec 2014 E	0.250	9,288 per 4,000 units	2014/12/30	150,000,000	2014/05/28
23613	HK Bank	HSI European Warrants Nov 2014 A	0.250	22,800 per 6,300 units	2014/11/27	150,000,000	2014/05/28
23619	HK Bank	HSI European Warrants Nov 2014 B	0.250	23,200 per 6,000 units	2014/11/27	150,000,000	2014/05/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23640	HK Bank	HSI European Put Warrants Nov 2014 C	0.250	22,300 per 6,000 units	2014/11/27	150,000,000	2014/05/28
23689	Macquarie Bank Ltd.	PetroChina European Wts Dec 2014 A	0.290	10.50 per unit	2014/12/02	35,000,000	2014/05/28
23713	Macquarie Bank Ltd.	Tencent European Warrants Nov 2014 C	0.195	140 per 20 units	2014/11/04	55,000,000	2014/05/28
23700	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2014 A	0.255	22,618 per 6,000 units	2014/11/27	100,000,000	2014/05/28
23711	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jul 2015 A	0.168	23,018 per 9,000 units	2015/07/30	100,000,000	2014/05/28
23705	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2014 B	0.250	21,800 per 6,000 units	2014/11/27	100,000,000	2014/05/28
23725	SGA Societe Generale Acceptance N.V.	HKEu Eu Wt Jan 2016 C	0.180	138.88 per 100 units	2016/01/04	300,000,000	2014/05/28
23717	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2014 B	0.195	22,600 per 6,000 units	2014/11/27	150,000,000	2014/05/28
23732	UBS AG	Cheung Kong European Put Warrants Dec 2014 A	0.250	103.880	2014/12/15	40,000,000	2014/05/28
23729	UBS AG	China Mobile European Put Warrants Nov 2014 A	0.285	69.950	2014/11/24	60,000,000	2014/05/28
23735	UBS AG	Fosun Pharma European Warrants Nov 2014 A	0.390	33.880	2014/11/27	26,000,000	2014/05/28
23737	UBS AG	Fosun Pharma European Warrants Aug 2015 A	0.200	11.400	2015/08/11	50,000,000	2014/05/28
23815	UBS AG	Link REIT European Warrants Jul 2015 A	0.250	46.880	2015/07/20	40,000,000	2014/05/28
23759	UBS AG	Xinyi Glass European Warrants Nov 2014 A	0.290	6.88 per unit	2014/11/27	35,000,000	2014/05/28
23926	Credit Suisse AG	HSI European Put Warrants Oct 2014 D	0.150	22,600 per 8,000 units	2014/10/30	150,000,000	2014/05/29
23933	Credit Suisse AG	HSI European Put Warrants Oct 2014 E	0.150	22,000 per 6,500 units	2014/10/30	150,000,000	2014/05/29
23998	Daiwa Capital Mkt	Sinopec Corp European Wts Nov 2014 B	0.170	8.38 per unit	2014/11/03	80,000,000	2014/05/29
23877	HK Bank	Tencent European Put Warrants Nov 2014 A	0.400	99.95 per 20 units	2014/11/24	80,000,000	2014/05/29
24015	J P Morgan SP BV	Anton Oilfield European Wts Sep 2016 A	0.250	5.880	2016/09/02	80,000,000	2014/05/29
24023	J P Morgan SP BV	China Mob European Wts Dec 2014 B	0.250	86.880	2014/12/01	200,000,000	2014/05/29
24018	J P Morgan Int'l Der. Ltd.	Sinopec Corp Eu Put Wt Dec 14 B	0.349	6.50 per unit	2014/12/01	80,000,000	2014/05/29
23844	Macquarie Bank Ltd.	Lenovo European Warrants Dec 2014 A	0.334	11 per unit	2014/12/02	30,000,000	2014/05/29
23837	Macquarie Bank Ltd.	Tencent European Put Wts Sep 2014 A	0.286	105 per 20 units	2014/09/02	40,000,000	2014/05/29
23924	Standard Chartered Bank	Anhui Conch Euro Wts Feb 2015 A	0.220	32.000	2015/02/04	80,000,000	2014/05/29
23896	Standard Chartered Bank	CC Bank European Wts Feb 2015 A	0.300	5.55 per unit	2015/02/13	80,000,000	2014/05/29
23871	SGA Societe Generale Acceptance N.V.	HKEu Eu Wt Feb 2015 B	0.550	162.900	2015/02/04	40,000,000	2014/05/29
24051	UBS AG	Chsouthcity European Warrants Nov 2014 A	0.500	4.58 per unit	2014/11/28	20,000,000	2014/05/29
24085	UBS AG	Shunfeng Pv European Warrants Nov 2014 A	0.255	11.680	2014/11/28	40,000,000	2014/05/29
24316	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Feb 2015 A	0.250	88.300	2015/02/03	100,000,000	2014/05/30
24341	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Jan 2015 D	0.400	71.000	2015/01/05	100,000,000	2014/05/30
24117	J P Morgan SP BV	China Gas Hold European Wts Sep 2015 A	0.251	15.880	2015/09/07	80,000,000	2014/05/30
24096	J P Morgan SP BV	Kingsoft European Warrants Dec 2014 C	0.251	30.880	2014/12/01	100,000,000	2014/05/30
24094	J P Morgan SP BV	Ping An European Warrants Dec 2014 A	0.250	68.000	2014/12/01	150,000,000	2014/05/30
24086	Macquarie Bank Ltd.	Tingyi European Warrants Mar 2015 A	0.250	25.000	2015/03/03	40,000,000	2014/05/30
24303	Nomura Int'l plc	Anton Oilfield European Warrants Aug 16 A	0.250	5.980	2016/08/08	50,000,000	2014/05/30
24257	Nomura Int'l plc	BJ Ent Water European Warrants Dec 2014 A	0.360	6 per unit	2014/12/18	50,000,000	2014/05/30
24308	Nomura Int'l plc	China EB Int'l European Wts Oct 2015 B	0.158	13.880	2015/10/23	80,000,000	2014/05/30
24272	Nomura Int'l plc	China Gas Hold European Wts Jun 2015 B	0.250	14.880	2015/06/30	50,000,000	2014/05/30
24295	Nomura Int'l plc	Chi Res Land European Warrants Dec 2014 A	0.150	18.380	2014/12/24	80,000,000	2014/05/30
24314	Nomura Int'l plc	Chi Res Land European Warrants Jul 2015 A	0.170	20.050	2015/07/28	80,000,000	2014/05/30
24231	Nomura Int'l plc	HSBC European Warrants Dec 2014 A	0.150	92.050	2014/12/03	80,000,000	2014/05/30
24307	Nomura Int'l plc	Kingsoft European Warrants Dec 2014 A	0.310	26.880	2014/12/01	50,000,000	2014/05/30
24279	Nomura Int'l plc	Lenovo European Put Warrants Jul 2015 A	0.180	8.990	2015/07/31	80,000,000	2014/05/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24251	Nomura Int'l plc	SHK Ppt European Warrants Dec 2014 A	0.250	116.680	2014/12/31	50,000,000	2014/05/30
24344	Standard Chartered Bank	China Mobile Euro Wts Dec 2014 A	0.270	80.000	2014/12/03	80,000,000	2014/05/30
24367	Standard Chartered Bank	China Mobile Euro Wts Dec 2014 B	0.150	88.800	2014/12/19	80,000,000	2014/05/30
24345	Standard Chartered Bank	China Mobile Eur Put Wt Nov 2014 A	0.270	70.000	2014/11/24	80,000,000	2014/05/30
24369	Standard Chartered Bank	Lenovo European Wts Jun 2015 A	0.150	10.000	2015/06/26	100,000,000	2014/05/30
24370	Standard Chartered Bank	Lenovo European Wts Jun 2016 A	0.250	10.000	2016/06/30	100,000,000	2014/05/30
24134	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Feb 2015 A	0.220	3.38 per unit	2015/02/04	100,000,000	2014/05/30
24401	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Apr15B	0.200	28.880	2015/04/08	100,000,000	2014/05/30
24388	SGA Societe Generale Acceptance NV	Chi Res Land Eu Wt Aug15A	0.160	20.000	2015/08/05	70,000,000	2014/05/30
24175	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug 2018A	0.170	7.800	2018/08/01	400,000,000	2014/05/30
24403	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Jul 15 A	0.150	10.000	2015/07/06	120,000,000	2014/05/30
24216	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Apr15A	0.250	44.880	2015/04/08	40,000,000	2014/05/30
24430	SGA Societe Generale Acceptance N.V.	Shunfeng Pv Eu Wt Feb15A	0.255	12.880	2015/02/04	50,000,000	2014/05/30
24377	UBS AG	Chi Res Land European Warrants Dec 2014 A	0.150	18.400	2014/12/23	70,000,000	2014/05/30
24386	UBS AG	Haier Elec European Warrants Oct 2014 A	0.150	23.930	2014/10/23	70,000,000	2014/05/30
24456	BOCI Asia Ltd.	A50 China European Warrants Dec 2014 A	0.150	10 per unit	2014/12/01	100,000,000	2014/06/03
24458	BOCI Asia Ltd.	A50 China European Warrants Jul 2015 A	0.250	11 per unit	2015/07/27	100,000,000	2014/06/03
24489	J P Morgan SP BV	BYD European Warrants Dec 2014 A	0.252	48.880	2014/12/02	100,000,000	2014/06/03
24478	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Apr15A	0.180	19.500	2015/04/01	200,000,000	2014/06/03
24479	UBS AG	BYD European Warrants Dec 2014 A	0.400	48.880	2014/12/02	50,000,000	2014/06/03
24484	UBS AG	Ping An European Warrants Nov 2014 A	0.320	63.330	2014/11/10	60,000,000	2014/06/03
24516	HK Bank	Anhui Conch European Warrants Jan 2015 A	0.190	32.050	2015/01/28	80,000,000	2014/06/04
24564	HK Bank	BYD European Warrants Dec 2016 B	0.150	46.83 per 100 units	2016/12/30	150,000,000	2014/06/04
24569	HK Bank	CPIC European Warrants Feb 2015 A	0.250	27.380	2015/02/27	60,000,000	2014/06/04
24550	HK Bank	CSOP A50 ETF European Warrants Dec 2014 A	0.250	9.28 per unit	2014/12/31	60,000,000	2014/06/04
24539	HK Bank	A50 China European Warrants Dec 2014 B	0.250	9.08 per unit	2014/12/31	60,000,000	2014/06/04
24622	Macquarie Bank Ltd.	BYD European Warrants Jan 2017 A	0.150	41.25 per 100 units	2017/01/04	150,000,000	2014/06/04
24623	Macquarie Bank Ltd.	China Life European Wts Jan 2015 A	0.150	22.000	2015/01/05	70,000,000	2014/06/04
24576	Macquarie Bank Ltd.	Kingsoft European Warrants Jan 2015 A	0.334	34.000	2015/01/05	30,000,000	2014/06/04
24628	Macquarie Bank Ltd.	Macau Legend European Warrants Feb16 A	0.250	7.000	2016/02/02	40,000,000	2014/06/04
24604	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2015 A	0.250	85.000	2015/01/05	40,000,000	2014/06/04
24581	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jan 2015 A	0.260	5.10 per unit	2015/01/06	40,000,000	2014/06/04
24584	Standard Chartered Bank	BYD European Warrants Dec 2014 A	0.500	46.880	2014/12/22	40,000,000	2014/06/04
24592	Standard Chartered Bank	Kingsoft European Wts Oct 2014 B	0.200	33.930	2014/10/17	60,000,000	2014/06/04
24690	BOCI Asia Ltd.	China Mobile European Warrants Nov 2014 B	0.180	82.000	2014/11/05	100,000,000	2014/06/05
24697	BOCI Asia Ltd.	HKEx European Warrants Sep 2015 B	0.150	152.80 per 100 units	2015/09/07	100,000,000	2014/06/05
24679	BOCI Asia Ltd.	Kingsoft European Warrants Nov 2014 C	0.300	30.000	2014/11/03	100,000,000	2014/06/05
24715	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Oct 2014 A	0.190	82.000	2014/10/28	100,000,000	2014/06/05
24726	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Oct 2014 B	0.240	78.880	2014/10/29	100,000,000	2014/06/05
24708	Citigroup Global Mkt H Inc.	Tencent European Wts Nov 2014 A	0.450	130.88 per 20 units	2014/11/17	100,000,000	2014/06/05
24714	Citigroup Global Mkt H Inc.	Tencent European Wts Nov 2014 B	0.580	120 per 20 units	2014/11/07	100,000,000	2014/06/05
24703	Daiwa Capital Mkt	BYD European Warrants Dec 2014 A	0.330	46.980	2014/12/04	80,000,000	2014/06/05
24631	HK Bank	Sinopec Corp European Warrants Dec 2014 A	0.250	7.98 per unit	2014/12/31	60,000,000	2014/06/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24665	HK Bank	SHK Ppt European Warrants Dec 2014 A	0.250	111.880	2014/12/31	60,000,000	2014/06/05
24762	Daiwa Capital Mkt	Kingsoft European Warrants Sep 2014 C	0.230	24.880	2014/09/10	80,000,000	2014/06/06
24800	Macquarie Bank Ltd.	Avichina European Warrants Feb 2015 A	0.250	6 per unit	2015/02/03	40,000,000	2014/06/06
24813	Macquarie Bank Ltd.	Galaxy Ent European Wts Oct 2014 C	0.200	72.000	2014/10/06	50,000,000	2014/06/06
24799	Macquarie Bank Ltd.	HKEx European Warrants Jul 2015 B	0.150	160.38 per 100 units	2015/07/03	200,000,000	2014/06/06
24798	Macquarie Bank Ltd.	HKEx European Put Warrants Oct 2015 A	0.150	128.80 per 100 units	2015/10/05	200,000,000	2014/06/06
24790	Macquarie Bank Ltd.	Ping An European Warrants Jan 2015 A	0.250	70.000	2015/01/05	80,000,000	2014/06/06
24747	Standard Chartered Bank	BOC HK European Wts Dec 2014 A	0.150	22.800	2014/12/31	100,000,000	2014/06/06
24761	Standard Chartered Bank	China Life European Wts Oct 2015 A	0.250	22.880	2015/10/08	100,000,000	2014/06/06
24754	Standard Chartered Bank	Wharf European Warrants Jan 2015 A	0.180	66.000	2015/01/05	80,000,000	2014/06/06
24728	SGA Societe Generale Acceptance N.V.	BOCL Eu Put Wt Feb15A	0.310	3.48 per unit	2015/02/04	100,000,000	2014/06/06
24741	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Nov 2014 B	0.290	173.880	2014/11/05	40,000,000	2014/06/06
24789	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Nov14B	0.400	22.880	2014/11/05	50,000,000	2014/06/06
24784	UBS AG	HSI European Put Warrants Dec 2014 B	0.250	23,800 per 8,000 units	2014/12/30	300,000,000	2014/06/06
24816	BOCI Asia Ltd.	BYD European Warrants Dec 2014 A	0.310	46.880	2014/12/15	100,000,000	2014/06/09
24838	BOCI Asia Ltd.	Cheung Kong European Warrants Dec 2015 A	0.250	126.88 per 100 units	2015/12/21	100,000,000	2014/06/09
24826	BOCI Asia Ltd.	Hutchison European Warrants Dec 2014 A	0.320	118.000	2014/12/05	100,000,000	2014/06/09
24824	BOCI Asia Ltd.	SHK Ppt European Warrants Feb 2015 A	0.290	115.000	2015/02/24	100,000,000	2014/06/09
24916	Goldman Sachs SP (Asia)	HSI European Warrants Dec 2014 A	0.250	23,700 per 6,000 units	2014/12/30	150,000,000	2014/06/09
24915	Goldman Sachs SP (Asia)	HSI European Warrants Apr 2015 A	0.250	24,200 per 6,000 units	2015/04/29	150,000,000	2014/06/09
24897	Macquarie Bank Ltd.	Anhui Conch European Wts Feb 2015 A	0.250	31.000	2015/02/03	40,000,000	2014/06/09
24841	Macquarie Bank Ltd.	Shunfeng Pv European Warrants Jan 15 A	0.250	12.500	2015/01/05	40,000,000	2014/06/09
24844	Standard Chartered Bank	HSI European Warrants Sep 2014 C	0.153	22,500 per 6,000 units	2014/09/29	100,000,000	2014/06/09
24850	Standard Chartered Bank	HSI European Warrants Oct 2014 C	0.150	23,100 per 6,000 units	2014/10/30	100,000,000	2014/06/09
24859	Standard Chartered Bank	HSI European Warrants Nov 2014 A	0.150	23,200 per 6,000 units	2014/11/27	100,000,000	2014/06/09
24870	Standard Chartered Bank	HSI European Warrants Jul 2015 A	0.150	23,000 per 9,000 units	2015/07/30	100,000,000	2014/06/09
24879	Standard Chartered Bank	HSI European Put Wts Sep 2014 D	0.150	23,000 per 8,000 units	2014/09/29	100,000,000	2014/06/09
24881	Standard Chartered Bank	HSI European Put Wts Oct 2014 D	0.150	23,000 per 8,000 units	2014/10/30	100,000,000	2014/06/09
24883	Standard Chartered Bank	HSI European Put Wts Nov 2014 B	0.151	22,300 per 6,000 units	2014/11/27	100,000,000	2014/06/09
24909	Standard Chartered Bank	Kingsoft European Wts Nov 2014 E	0.260	26.280	2014/11/21	60,000,000	2014/06/09
24903	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Feb15B	0.320	90 per 20 units	2015/02/09	150,000,000	2014/06/09
24898	UBS AG	Kingsoft European Warrants Nov 2014 C	0.185	30.930	2014/11/24	100,000,000	2014/06/09
24946	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Nov 2014 A	0.200	21,800 per 6,000 units	2014/11/27	100,000,000	2014/06/10
24941	Credit Suisse AG	Cheung Kong European Warrants Dec 2014 A	0.330	158.880	2014/12/09	80,000,000	2014/06/10
24942	Credit Suisse	Cheung Kong European Put Warrants Dec 2014 B	0.260	118.880	2014/12/09	80,000,000	2014/06/10
24956	Credit Suisse AG	Tencent Holdings European Wts Sep 2014 G	0.150	136 per 20 units	2014/09/15	100,000,000	2014/06/10
24947	Daiwa Capital Mkt	CCB European Warrants Nov 2014 B	0.160	6.01 per unit	2014/11/21	128,000,000	2014/06/10
24948	Daiwa Capital Mkt	A50 China European Warrants Nov 2014 C	0.150	9.99 per unit	2014/11/26	108,000,000	2014/06/10
24997	Goldman Sachs SP (Asia)	Ch Comm Cons Euro Wts Dec 2014 A	0.250	6.24 per unit	2014/12/11	50,000,000	2014/06/10
24999	Goldman Sachs SP (Asia)	Sinopec Corp Euro Put Wts Dec14A	0.314	6.32 per unit	2014/12/11	50,000,000	2014/06/10
24995	Goldman Sachs SP (Asia)	Greatwall Motor Eur Wts Dec 2014 A	0.300	38.300	2014/12/11	80,000,000	2014/06/10
24983	Goldman Sachs SP (Asia)	Xinyi Glass European Wts Dec 2014A	0.328	6.60 per unit	2014/12/11	50,000,000	2014/06/10
24932	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Nov 14 B	0.173	67.950	2014/11/26	60,000,000	2014/06/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24919	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Nov 14 A	0.150	86.830	2014/11/11	70,000,000	2014/06/10
24918	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Feb 2015C	0.183	114.83 per 100 units	2015/02/18	60,000,000	2014/06/10
24917	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Apr 2015C	0.235	120 per 100 units	2015/04/30	50,000,000	2014/06/10
24974	Standard Chartered Bank	Hutchison Euro Euro Wts May 2015 A	0.450	128.880	2015/05/18	80,000,000	2014/06/10
24971	Standard Chartered Bank	Hutchison Euro Euro Wts Jun 2016 A	0.250	88.88 per 100 units	2016/06/08	200,000,000	2014/06/10
24952	SGA Societe Generale Acceptance N.V.	CCB Euro Put Wt Feb15A	0.435	5.40 per unit	2015/02/04	100,000,000	2014/06/10
24955	UBS AG	Cheung Kong European Warrants Dec 2014 B	0.400	168.800	2014/12/09	50,000,000	2014/06/10
25092	BOCI Asia Ltd.	HSI European Warrants Dec 2014 A	0.210	23,600 per 6,000 units	2014/12/30	100,000,000	2014/06/11
25094	BOCI Asia Ltd.	HSI European Warrants Mar 2015 A	0.200	24,000 per 7,000 units	2015/03/30	100,000,000	2014/06/11
25099	BOCI Asia Ltd.	HSI European Put Warrants Oct 2014 E	0.150	22,600 per 8,000 units	2014/10/30	100,000,000	2014/06/11
25098	BOCI Asia Ltd.	HSI European Put Warrants Nov 2014 A	0.150	22,000 per 7,000 units	2014/11/27	100,000,000	2014/06/11
25026	HK Bank	Agricultural Bank European Warrants Dec 2014 B	0.200	3.61 per unit	2014/12/09	80,000,000	2014/06/11
25024	HK Bank	ICBC European Warrants Oct 2014 A	0.150	5.49 per unit	2014/10/27	80,000,000	2014/06/11
25028	HK Bank	Tencent European Warrants Oct 2014 A	0.370	121.70 per 20 units	2014/10/27	80,000,000	2014/06/11
25045	HK Bank	Tencent European Warrants Oct 2014 B	0.240	138.98 per 20 units	2014/10/31	80,000,000	2014/06/11
25029	HK Bank	Tencent European Warrants Nov 2014 B	0.350	130.98 per 20 units	2014/11/28	80,000,000	2014/06/11
25065	HK Bank	Tencent European Put Warrants Oct 2014 C	0.150	88.83 per 20 units	2014/10/31	80,000,000	2014/06/11
25073	HK Bank	Tencent European Put Warrants Oct 2014 D	0.180	93.95 per 20 units	2014/10/29	80,000,000	2014/06/11
25147	Standard Chartered Bank	AIA European Warrants Dec 2014 B	0.150	42.880	2014/12/19	80,000,000	2014/06/11
25157	Standard Chartered Bank	CGS European Warrants Dec 2014 A	0.250	6 per unit	2014/12/15	48,000,000	2014/06/11
25155	Standard Chartered Bank	CNBM European Warrants Dec 2014 A	0.450	8.20 per unit	2014/12/10	40,000,000	2014/06/11
25142	Standard Chartered Bank	A50 China Euro Warrants Oct 2014 B	0.150	9.50 per unit	2014/10/10	80,000,000	2014/06/11
25128	Standard Chartered Bank	NewWorld Dev European Wts Jan15A	0.260	10 per unit	2015/01/02	60,000,000	2014/06/11
25103	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2014 A	0.150	23,600 per 6,000 units	2014/12/30	150,000,000	2014/06/11
25114	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 14 B	0.270	23,600 per 6,000 units	2014/12/30	150,000,000	2014/06/11
25127	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Jan15A	0.340	65.880	2015/01/05	80,000,000	2014/06/11
25158	UBS AG	SHK Ppt European Put Warrants Dec 2014 B	0.300	93.880	2014/12/29	35,000,000	2014/06/11
25276	Credit Suisse AG	SHK Ppt European Put Warrants Dec 2014 A	0.370	96.880	2014/12/15	70,000,000	2014/06/12
25252	Daiwa Capital Mkt	Tencent Holdings European Wts Dec 2014 C	0.360	127.88 per 20 units	2014/12/15	108,000,000	2014/06/12
25266	Bank of East Asia	China Life European Warrants Oct 2015 A	0.250	23.880	2015/10/07	40,000,000	2014/06/12
25262	Bank of East Asia	A50 China European Warrants Jun 2015 A	0.250	10.28 per unit	2015/06/26	40,000,000	2014/06/12
25260	Bank of East Asia	HKEx European Warrants Jul 2015 A	0.150	160.38 per 100 units	2015/07/03	70,000,000	2014/06/12
25269	Bank of East Asia	Hutchison European Warrants Feb 2015 A	0.250	136.000	2015/02/02	40,000,000	2014/06/12
25250	Goldman Sachs SP (Asia)	Agri Bk European Wts Dec 2015 A	0.257	4.08 per unit	2015/12/30	80,000,000	2014/06/12
25221	Goldman Sachs SP (Asia)	China Mengniu Euro Wt Mar 2015 A	0.323	43.000	2015/03/30	80,000,000	2014/06/12
25234	Goldman Sachs SP (Asia)	Ping An European Wts Dec 2014 A	0.256	68.880	2014/12/30	80,000,000	2014/06/12
25204	Macquarie Bank Ltd.	CNBM European Warrants Jan 2015 A	0.334	8 per unit	2015/01/05	30,000,000	2014/06/12
25168	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2014 C	0.175	23,200 per 6,000 units	2014/11/27	100,000,000	2014/06/12
25175	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2014 A	0.250	24,000 per 6,000 units	2014/12/30	100,000,000	2014/06/12
25179	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2014 D	0.158	22,400 per 6,000 units	2014/11/27	100,000,000	2014/06/12
25176	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2014 B	0.250	22,800 per 8,000 units	2014/12/30	100,000,000	2014/06/12
25205	Standard Chartered Bank	Cheung Kong Euro Wts Jan 2015 A	0.250	168.000	2015/01/06	40,000,000	2014/06/12
25208	Standard Chartered Bank	Cheung Kong European Put Wt Jan15B	0.300	118.000	2015/01/06	40,000,000	2014/06/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25214	Standard Chartered Bank	HKEx European Warrants Nov 2015 A	0.150	168.08 per 100 units	2015/11/02	100,000,000	2014/06/12
25216	Standard Chartered Bank	HKEx European Warrants Jan 2016 A	0.250	144.88 per 100 units	2016/01/08	100,000,000	2014/06/12
25295	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Jan 2015A	0.300	162.500	2015/01/05	40,000,000	2014/06/12
25298	SGA Societe Generale Acceptance N.V.	CP&CC Eu Put Wt Jan15B	0.375	6.38 per unit	2015/01/05	70,000,000	2014/06/12
25344	BOCI Asia Ltd.	China Shenhua European Warrants Mar 2015 A	0.170	24.000	2015/03/30	100,000,000	2014/06/13
25359	BOCI Asia Ltd.	Galaxy Ent European Put Warrants Nov 2014 B	0.250	50.000	2014/11/10	100,000,000	2014/06/13
25349	BOCI Asia Ltd.	Sands China European Warrants Nov 2014 B	0.380	62.800	2014/11/27	100,000,000	2014/06/13
25358	BOCI Asia Ltd.	Tencent Holdings European Wts Sep 2015 A	0.200	112.88 per 100 units	2015/09/21	100,000,000	2014/06/13
25316	Macquarie Bank Ltd.	China Mobile European Wts Jan 2015 A	0.334	79.880	2015/01/05	30,000,000	2014/06/13
25387	Standard Chartered Bank	Agricultural Bk Eur Wts Dec 2014 A	0.150	3.61 per unit	2014/12/08	80,000,000	2014/06/13
25388	Standard Chartered Bank	HSBC European Warrants Nov 2014 A	0.150	88.000	2014/11/03	80,000,000	2014/06/13
25402	Standard Chartered Bank	SJM Hold European Wts Dec 2014 A	0.160	24.380	2014/12/31	80,000,000	2014/06/13
25373	SGA Societe Generale Acceptance N.V.	CK(H) Eu Put Wt Feb15 A	0.435	120.000	2015/02/04	40,000,000	2014/06/13
25383	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Oct 2014A	0.175	7.89 per unit	2014/10/06	70,000,000	2014/06/13
25329	SGA Societe Generale Acceptance NV	Kingsoft Eu Put Wt Jan15B	0.345	20.880	2015/01/05	50,000,000	2014/06/13
25331	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 15 A	0.190	112.90 per 100 units	2015/10/05	500,000,000	2014/06/13
25339	SGA Societe Generale Acceptance N.V.	Wharf Eu Wts Mar 15 A	0.255	64.000	2015/03/04	40,000,000	2014/06/13
25366	UBS AG	Agricultural Bank European Warrants Nov 2014 A	0.150	3.81 per unit	2014/11/25	70,000,000	2014/06/13
25360	UBS AG	CC Bank European Warrants Mar 2015 A	0.200	6.41 per unit	2015/03/26	100,000,000	2014/06/13
25364	UBS AG	ICBC European Warrants Nov 2014 A	0.150	5.51 per unit	2014/11/25	100,000,000	2014/06/13
25499	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Oct 2014 A	0.360	7.50 per unit	2014/10/28	100,000,000	2014/06/16
25495	Citigroup Global Mkt H Inc.	HSBC European Wts Nov 2014 A	0.250	83.880	2014/11/26	100,000,000	2014/06/16
25497	Citigroup Global Mkt H Inc.	HSBC European Wts Dec 2014 A	0.195	86.880	2014/12/19	100,000,000	2014/06/16
25443	Daiwa Capital Mkt	Cheung Kong European Warrants Dec 2014 A	0.470	150.980	2014/12/15	58,000,000	2014/06/16
25468	Daiwa Capital Mkt	Hutchison European Warrants Dec 2014 A	0.290	118.000	2014/12/01	108,000,000	2014/06/16
25492	Daiwa Capital Mkt	Hutchison European Put Wts Dec 2014 B	0.300	92.800	2014/12/15	68,000,000	2014/06/16
25448	Daiwa Capital Mkt	SHK Ppt European Warrants Jan 2015 A	0.310	116.800	2015/01/02	58,000,000	2014/06/16
25436	Standard Chartered Bank	Evergrande European Wts Dec 2014 A	0.250	3.88 per unit	2014/12/31	50,000,000	2014/06/16
25434	Standard Chartered Bank	HSBC European Put Wts Dec 2014 B	0.250	76.000	2014/12/03	80,000,000	2014/06/16
25437	Standard Chartered Bank	HSCEI European Put Wts Sep 2014 A	0.190	10,800 per 5,500 units	2014/09/29	100,000,000	2014/06/16
25412	UBS AG	HSCEI European Put Warrants Dec 2014 D	0.250	9,800 per 4,000 units	2014/12/30	100,000,000	2014/06/16
13770 ^f	Credit Suisse AG	Galaxy Ent European Warrants Jun 2015 A	0.071	64.90 per 100 units	2015/06/29	150,000,000	2014/06/16
25666	Credit Suisse AG	China Mobile European Put Wts Nov 2014 A	0.150	67.950	2014/11/28	70,000,000	2014/06/17
25672	Credit Suisse AG	HSBC European Put Warrants Nov 2014 A	0.210	75.950	2014/11/28	70,000,000	2014/06/17
25628	Credit Suisse AG	Lenovo European Warrants Jun 2015 A	0.150	10.000	2015/06/23	70,000,000	2014/06/17
25601	Credit Suisse AG	SJM Holdings European Warrants Dec 2014 A	0.150	22.000	2014/12/24	70,000,000	2014/06/17
25674	Credit Suisse AG	Tencent Holdings Euro Put Wts Nov 2014 F	0.320	100 per 20 units	2014/11/24	70,000,000	2014/06/17
25596	Credit Suisse AG	ZTE European Warrants Dec 2014 A	0.150	18.000	2014/12/22	70,000,000	2014/06/17
25572	Daiwa Capital Mkt	BOCL European Warrants Dec 2014 A	0.150	3.81 per unit	2014/12/24	108,000,000	2014/06/17
25526	Goldman Sachs SP (Asia)	China EB Int'l Euro Wts Mar 2015 B	0.160	12.020	2015/03/30	80,000,000	2014/06/17
25534	Goldman Sachs SP (Asia)	Datang Power European Wts Nov14A	0.251	3.59 per unit	2014/11/25	80,000,000	2014/06/17
25533	Goldman Sachs SP (Asia)	HSBC European Warrants Dec 2014 A	0.252	87.880	2014/12/30	80,000,000	2014/06/17
25539	Goldman Sachs SP (Asia)	Techtronic Ind European Wts Mar15A	0.413	28.000	2015/03/30	80,000,000	2014/06/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25535	Goldman Sachs SP (Asia)	Yurun Food European Wts Feb 2015 A	0.350	4.18 per unit	2015/02/27	80,000,000	2014/06/17
25503	Macquarie Bank Ltd.	Tencent European Warrants Oct 2014 D	0.313	115 per 20 units	2014/10/06	40,000,000	2014/06/17
25500	Macquarie Bank Ltd.	Tencent European Put Wts Oct 2014 C	0.200	96 per 20 units	2014/10/06	50,000,000	2014/06/17
25543	Standard Chartered Bank	AIA European Put Wts Nov 2014 B	0.150	37.000	2014/11/26	70,000,000	2014/06/17
25678	Standard Chartered Bank	CITIC Sec European Wts Jun 2015 A	0.250	18.880	2015/06/19	100,000,000	2014/06/17
25546	Standard Chartered Bank	Sinopec Corp Eu Put Wt Dec 2014 A	0.250	6 per unit	2014/12/29	50,000,000	2014/06/17
25553	Standard Chartered Bank	Galaxy Ent European Wts Nov 2014 A	0.250	68.000	2014/11/17	50,000,000	2014/06/17
25676	Standard Chartered Bank	Tencent Hldg Eu Put Wts Dec 2014 B	0.310	99.99 per 20 units	2014/12/08	60,000,000	2014/06/17
25589	UBS AG	Galaxy Ent European Warrants Nov 2014 C	0.530	58.930	2014/11/21	40,000,000	2014/06/17
25576	UBS AG	HSI European Warrants Dec 2014 C	0.150	23,800 per 6,000 units	2014/12/30	300,000,000	2014/06/17
25680	UBS AG	HSI European Warrants Dec 2014 D	0.250	24,200 per 6,000 units	2014/12/30	300,000,000	2014/06/17
25681	UBS AG	HSI European Warrants Mar 2015 A	0.250	24,800 per 6,000 units	2015/03/30	300,000,000	2014/06/17
25542	UBS AG	HSI European Put Warrants Oct 2014 D	0.150	22,900 per 8,000 units	2014/10/30	300,000,000	2014/06/17
25590	UBS AG	Sands China European Warrants Nov 2014 A	0.395	56.930	2014/11/24	40,000,000	2014/06/17
25748	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Mar 2015 A	0.260	29.500	2015/03/03	100,000,000	2014/06/18
25746	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Oct 2014 F	0.150	94 per 20 units	2014/10/29	100,000,000	2014/06/18
25683	HK Bank	HSI European Warrants Dec 2014 D	0.150	23,818 per 6,000 units	2014/12/30	200,000,000	2014/06/18
25730	J P Morgan SP BV	Anhui Conch European Warrants Jan 2015 A	0.252	32.000	2015/01/05	100,000,000	2014/06/18
25852	J P Morgan SP BV	Agricultural Bk Euro Warrants Aug 2015 A	0.254	4 per unit	2015/08/03	200,000,000	2014/06/18
25845	J P Morgan SP BV	CITIC Sec European Warrants Mar 2015 A	0.154	20.600	2015/03/31	100,000,000	2014/06/18
25813	J P Morgan SP BV	Cheung Kong European Put Warrants Dec 14A	0.251	118.880	2014/12/02	100,000,000	2014/06/18
25711	J P Morgan SP BV	China Mob European Wts Dec 2014 C	0.195	80.000	2014/12/02	200,000,000	2014/06/18
25739	J P Morgan SP BV	Sinopec Corp European Warrants Jan 2015 A	0.253	8.28 per unit	2015/01/05	100,000,000	2014/06/18
25719	J P Morgan SP BV	A50 China European Warrants Dec 2014 B	0.249	9.28 per unit	2014/12/01	200,000,000	2014/06/18
25846	J P Morgan SP BV	Geely Auto European Warrants Jan 2015 A	0.252	3.20 per unit	2015/01/05	80,000,000	2014/06/18
25735	J P Morgan SP BV	HKEx European Warrants Jun 2015 A	0.151	160.38 per 100 units	2015/06/30	300,000,000	2014/06/18
25715	J P Morgan SP BV	HSBC European Warrants Dec 2014 A	0.190	88.000	2014/12/01	200,000,000	2014/06/18
25793	J P Morgan SP BV	SHK Ppt European Put Warrants Jan 2015 A	0.250	92.880	2015/01/05	50,000,000	2014/06/18
25743	Macquarie Bank Ltd.	MGM China European Warrants Apr 2015 A	0.250	31.000	2015/04/02	40,000,000	2014/06/18
25707	Macquarie Bank Ltd.	Want Want China European Wts Aug 2016 A	0.177	11.680	2016/08/02	80,000,000	2014/06/18
25792	Standard Chartered Bank	China Cinda Euro Wts Jan 2015 A	0.425	4.88 per unit	2015/01/30	50,000,000	2014/06/18
25750	Standard Chartered Bank	China Cinda Euro Wts Apr 2017 A	0.150	4.880	2017/04/25	100,000,000	2014/06/18
25778	Standard Chartered Bank	ICBC European Put Wts Dec 2014 B	0.150	4.38 per unit	2014/12/03	100,000,000	2014/06/18
25767	Standard Chartered Bank	Kingsoft European Wts May 2015 A	0.250	33.880	2015/05/27	100,000,000	2014/06/18
25773	Standard Chartered Bank	Kingsoft European Wts Dec 2015 A	0.280	38.880	2015/12/03	100,000,000	2014/06/18
25685	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Dec 2014 A	0.150	3.80 per unit	2014/12/03	100,000,000	2014/06/18
25705	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Jul 15A	0.160	12.000	2015/07/06	100,000,000	2014/06/18
25944	BNP Paribas Arbit Issu B.V.	AIA European Wts Apr 2015 A	0.250	45.000	2015/04/02	100,000,000	2014/06/19
25938	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Nov 2014 A	0.150	70.280	2014/11/04	100,000,000	2014/06/19
25939	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Feb 2015 A	0.190	90.05 per 20 units	2015/02/06	100,000,000	2014/06/19
26086	Credit Suisse AG	Tencent Holdings Euro Put Wts Feb 2015 A	0.150	114.85 per 100 units	2015/02/18	80,000,000	2014/06/19
25954	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Feb 2015 A	0.150	114.88 per 100 units	2015/02/18	98,000,000	2014/06/19
25873	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Dec 2014 A	0.370	49.800	2014/12/30	60,000,000	2014/06/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25855	Goldman Sachs SP (Asia)	HSBC European Put Wts Dec 2014 B	0.250	74.300	2014/12/30	60,000,000	2014/06/19
25857	Goldman Sachs SP (Asia)	SHK Ppt European Put Wt Feb 2015 A	0.341	95.000	2015/02/26	60,000,000	2014/06/19
25923	HK Bank	HKEx European Warrants Sep 2015 B	0.150	152.70 per 100 units	2015/09/03	200,000,000	2014/06/19
25918	HK Bank	Tencent European Put Warrants Apr 2015 B	0.160	119.90 per 100 units	2015/04/29	200,000,000	2014/06/19
25900	HK Bank	Tencent European Put Warrants Dec 2015 A	0.150	100.78 per 100 units	2015/12/22	200,000,000	2014/06/19
26109	J P Morgan SP BV	MGM China European Warrants Jan 2015 A	0.199	28.800	2015/01/02	80,000,000	2014/06/19
26113	J P Morgan SP BV	SJM Holdings European Warrants Jan 2015 A	0.157	22.000	2015/01/02	100,000,000	2014/06/19
26098	J P Morgan SP BV	Tencent European Warrants Nov 2015 A	0.250	128.88 per 100 units	2015/11/02	300,000,000	2014/06/19
26101	J P Morgan SP BV	Want Want Ch European Warrants Aug 2016 A	0.202	11.680	2016/08/01	100,000,000	2014/06/19
25897	Macquarie Bank Ltd.	China Coal European Wts Jan 2015 A	0.334	4.80 per unit	2015/01/05	30,000,000	2014/06/19
25899	Macquarie Bank Ltd.	China Shenhua European Wts May 2015 A	0.250	23.880	2015/05/05	40,000,000	2014/06/19
25930	Macquarie Bank Ltd.	A50 China European Warrants Jan 2015 A	0.250	10 per unit	2015/01/05	40,000,000	2014/06/19
26073	Merrill Lynch Int'l & Co. C.V.	Minsheng Bk Eu Wts Sep 14 A	0.201	7.66 per 1 units	2014/09/25	50,000,000	2014/06/19
26011	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Dec 2014 B	0.255	10.18 per unit	2014/12/19	40,000,000	2014/06/19
26010	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Wts Jan 2015A	0.335	67.000	2015/01/05	40,000,000	2014/06/19
26030	Merrill Lynch Int'l & Co. C.V.	Henderson Land Eu Wt Mar15A	0.250	52.000	2015/03/05	40,000,000	2014/06/19
26053	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Dec 2014 A	0.156	66.050	2014/12/30	70,000,000	2014/06/19
26001	Standard Chartered Bank	BYD European Warrants Dec 2016 A	0.150	46.90 per 100 units	2016/12/20	80,000,000	2014/06/19
26090	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Jan15C	0.250	31.280	2015/01/05	50,000,000	2014/06/19
26115	UBS AG	China Life European Warrants Sep 2015 A	0.210	23.930	2015/09/29	100,000,000	2014/06/19
26133	UBS AG	Tencent Holdings European Warrants Dec 2014 B	0.350	146.10 per 20 units	2014/12/16	100,000,000	2014/06/19
26144	UBS AG	Yurun Food European Warrants Dec 2014 A	0.300	4.38 per unit	2014/12/18	35,000,000	2014/06/19
26288	Credit Suisse AG	CSOP A50 ETF European Warrants Nov 2014 A	0.160	10 per unit	2014/11/28	70,000,000	2014/06/20
26308	Credit Suisse AG	A50 China European Warrants Nov 2014 A	0.150	10 per unit	2014/11/28	70,000,000	2014/06/20
26210	HK Bank	Cheung Kong European Warrants Dec 2014 A	0.250	153.880	2014/12/31	60,000,000	2014/06/20
26214	HK Bank	Minsheng Bank European Warrants Dec 2014 C	0.250	7.78 per unit	2014/12/31	60,000,000	2014/06/20
26217	HK Bank	Minsheng Bank European Warrants Dec 2014 D	0.380	7.28 per unit	2014/12/31	60,000,000	2014/06/20
26223	HK Bank	Dongfeng Motor European Warrants Sep 2015 A	0.150	16.020	2015/09/24	80,000,000	2014/06/20
26194	HK Bank	Lenovo European Warrants Dec 2014 A	0.300	11.38 per unit	2014/12/31	60,000,000	2014/06/20
26219	HK Bank	Ping An European Warrants Nov 2014 A	0.190	68.050	2014/11/24	80,000,000	2014/06/20
26181	HK Bank	Tencent European Put Warrants Oct 2014 E	0.260	107.90 per 20 units	2014/10/06	80,000,000	2014/06/20
26316	J P Morgan SP BV	Minsheng Bank European Wts Oct 2014 B	0.421	7.15 per 1 units	2014/10/03	80,000,000	2014/06/20
26311	J P Morgan SP BV	Minsheng Bank European Put Wts Jan 2015 B	0.328	6.28 per unit	2015/01/02	80,000,000	2014/06/20
26347	J P Morgan SP BV	Shunfeng Pv European Warrants Apr 2015 A	0.287	13.000	2015/04/01	80,000,000	2014/06/20
26330	J P Morgan SP BV	Tencent European Warrants Oct 2014 K	0.375	125 per 20 units	2014/10/27	200,000,000	2014/06/20
26249	Macquarie Bank Ltd.	Avichina European Warrants Jan 2015 A	0.251	6.25 per unit	2015/01/05	40,000,000	2014/06/20
26258	Macquarie Bank Ltd.	China Mengniu European Wts Jan 2015 A	0.250	44.000	2015/01/05	60,000,000	2014/06/20
26268	Standard Chartered Bank	Agricultural Bk Eur Wts Aug 2015 A	0.250	3.88 per unit	2015/08/31	60,000,000	2014/06/20
26286	Standard Chartered Bank	China Mobile Euro Wts Nov 2014 B	0.170	78.880	2014/11/03	80,000,000	2014/06/20
26282	Standard Chartered Bank	Galaxy Ent Euro Put Wts Dec 2014 A	0.420	52.000	2014/12/31	60,000,000	2014/06/20
26267	Standard Chartered Bank	HSCEI European Warrants Oct 2014 A	0.220	9,600 per 4,000 units	2014/10/30	100,000,000	2014/06/20
26266	Standard Chartered Bank	HSI European Warrants Dec 2014 A	0.150	24,000 per 6,000 units	2014/12/30	100,000,000	2014/06/20
26341	SGA Societe Generale Acceptance NV	Chi Res Land Eu Wt Sep15A	0.250	15.500	2015/09/02	70,000,000	2014/06/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26345	UBS AG	Xinyi Glass European Warrants Dec 2014 A	0.300	5.88 per unit	2014/12/19	35,000,000	2014/06/20
26360	Daiwa Capital Mkt	CCB European Warrants Feb 2015 A	0.250	6.38 per unit	2015/02/03	108,000,000	2014/06/23
26364	Daiwa Capital Mkt	CCB European Put Warrants Dec 2014 A	0.260	5.08 per unit	2014/12/22	80,000,000	2014/06/23
26375	Daiwa Capital Mkt	Galaxy Ent European Put Wts Dec 2014 A	0.390	51.800	2014/12/22	80,000,000	2014/06/23
26356	Daiwa Capital Mkt	PetroChina European Warrants Feb 2015 A	0.240	11.30 per unit	2015/02/02	80,000,000	2014/06/23
26376	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Nov 2014 D	0.230	99.95 per 20 units	2014/11/24	98,000,000	2014/06/23
26414	J P Morgan SP BV	CNOOC European Warrants Aug 2016 A	0.155	16.500	2016/08/01	300,000,000	2014/06/23
26396	J P Morgan SP BV	Sinopec Corp European Warrants Dec 2014 C	0.155	8.58 per unit	2014/12/01	150,000,000	2014/06/23
26392	J P Morgan SP BV	Ping An European Put Warrants Jan 2015 A	0.252	56.880	2015/01/05	150,000,000	2014/06/23
26394	J P Morgan SP BV	Sands China European Warrants Jan 2015 A	0.256	66.000	2015/01/05	150,000,000	2014/06/23
26397	J P Morgan SP BV	Xinyi Glass European Warrants Jan 2015 A	0.252	5.60 per unit	2015/01/02	40,000,000	2014/06/23
26391	Macquarie Bank Ltd.	Xinyi Glass European Wts Jan 2015 A	0.250	7 per unit	2015/01/05	40,000,000	2014/06/23
26350	SGA Societe Generale Acceptance N.V.	Shimao Ppt Eu Wt Jun15A	0.250	13.980	2015/06/01	100,000,000	2014/06/23
26581	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Oct 2014 B	0.180	8.38 per unit	2014/10/24	100,000,000	2014/06/24
26533	Daiwa Capital Mkt	Agricultural Bank Euro Put Wt Feb 2015 A	0.250	3.38 per unit	2015/02/02	68,000,000	2014/06/24
26529	Daiwa Capital Mkt	CMB European Warrants Dec 2014 A	0.310	17.98 per unit	2014/12/23	48,000,000	2014/06/24
26523	Daiwa Capital Mkt	Minsheng Bk European Warrants Oct 2014 A	0.230	7.988 per 1 units	2014/10/23	58,000,000	2014/06/24
26528	Daiwa Capital Mkt	Minsheng Bk European Warrants Dec 2014 A	0.250	9.08 per unit	2014/12/23	58,000,000	2014/06/24
26557	Daiwa Capital Mkt	HSBC European Put Warrants Dec 2014 A	0.250	72.800	2014/12/23	80,000,000	2014/06/24
26593	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Dec 2014 A	0.250	30.000	2014/12/24	60,000,000	2014/06/24
26588	Goldman Sachs SP (Asia)	China Coal European Wts Dec 2014 A	0.205	4.88 per unit	2014/12/03	60,000,000	2014/06/24
26597	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Dec 2014 B	0.250	8.50 per unit	2014/12/24	80,000,000	2014/06/24
26564	J P Morgan SP BV	AAC Acoustic European Warrants Jan 2015 A	0.401	62.880	2015/01/05	100,000,000	2014/06/24
26571	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Feb 2015 A	0.253	6.28 per unit	2015/02/03	200,000,000	2014/06/24
26415	J P Morgan SP BV	HSI European Put Warrants Dec 2014 C	0.251	22,400 per 6,800 units	2014/12/30	500,000,000	2014/06/24
26451	J P Morgan SP BV	HSI European Put Warrants Dec 2014 D	0.250	23,000 per 8,000 units	2014/12/30	500,000,000	2014/06/24
26461	J P Morgan SP BV	HSI European Put Warrants Dec 2014 E	0.255	23,600 per 8,800 units	2014/12/30	500,000,000	2014/06/24
26586	J P Morgan SP BV	Hutchison European Warrants Jan 2015 B	0.250	118.880	2015/01/02	80,000,000	2014/06/24
26565	J P Morgan SP BV	China Mengniu European Warrants Feb 2015A	0.255	42.000	2015/02/03	100,000,000	2014/06/24
26464	Macquarie Bank Ltd.	Luk Fook Hold European Wts Nov 2014 A	0.150	22.000	2014/11/04	80,000,000	2014/06/24
26493	Standard Chartered Bank	China COSCO European Wt Apr 2015 A	0.250	3.80 per unit	2015/04/02	60,000,000	2014/06/24
26520	Standard Chartered Bank	Minsheng Bank Euro Wts Dec 2014 B	0.250	8.08 per unit	2014/12/24	50,000,000	2014/06/24
26522	Standard Chartered Bank	CSOP A50 ETF Euro Wts Nov 2014 A	0.280	9.38 per unit	2014/11/03	60,000,000	2014/06/24
26465	Standard Chartered Bank	Xinyi Glass Euro Wts Dec 2014 A	0.150	6.60 per unit	2014/12/08	80,000,000	2014/06/24
26726	Daiwa Capital Mkt	Galaxy Ent European Warrants Dec 2014 B	0.250	74.800	2014/12/24	98,000,000	2014/06/25
26741	Daiwa Capital Mkt	Kingsoft European Warrants Jan 2015 A	0.150	33.980	2015/01/02	80,000,000	2014/06/25
26740	Daiwa Capital Mkt	Ping An European Warrants Jan 2015 A	0.230	74.980	2015/01/23	98,000,000	2014/06/25
26731	Daiwa Capital Mkt	Sands China European Warrants Dec 2014 A	0.250	68.000	2014/12/24	98,000,000	2014/06/25
26796	J P Morgan SP BV	CC Bank Euro Put Wts Jan 2015 A	0.256	4.98 per unit	2015/01/05	200,000,000	2014/06/25
26776	J P Morgan SP BV	ICBC European Put Wts Jan 2015 A	0.252	4.50 per unit	2015/01/05	200,000,000	2014/06/25
26839	J P Morgan SP BV	Tencent European Warrants Jan 2015 D	0.385	128.88 per 20 units	2015/01/02	200,000,000	2014/06/25
26699	Macquarie Bank Ltd.	CNOOC European Warrants Jan 2015 A	0.400	16.50 per unit	2015/01/05	25,000,000	2014/06/25
26684	Nomura Int'l plc	Ch Comm Cons European Warrants Dec 2014 A	0.250	6.18 per unit	2014/12/30	80,000,000	2014/06/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26690	Nomura Int'l plc	CITIC Sec European Warrants Mar 2015 A	0.150	20.650	2015/03/26	80,000,000	2014/06/25
26646	Nomura Int'l plc	China Mer Hold European Wts Jan 2015 A	0.250	27.880	2015/01/30	50,000,000	2014/06/25
26721	Nomura Int'l plc	Evergrande European Warrants Dec 2014 A	0.260	3.90 per unit	2014/12/29	80,000,000	2014/06/25
26627	Nomura Int'l plc	HSBC European Warrants Dec 2014 B	0.250	83.900	2014/12/30	50,000,000	2014/06/25
26640	Nomura Int'l plc	Hutchison European Warrants Dec 2014 A	0.250	120.000	2014/12/30	50,000,000	2014/06/25
26642	Nomura Int'l plc	Hutchison European Put Warrants Dec 2014B	0.250	90.880	2014/12/30	50,000,000	2014/06/25
26691	Nomura Int'l plc	Kingdee Intl European Warrants Dec 2014 A	0.420	3.18 per unit	2014/12/30	50,000,000	2014/06/25
26658	Nomura Int'l plc	Shui On Land EEuropean Warrants Mar 2015A	0.250	2.20 per unit	2015/03/30	50,000,000	2014/06/25
26648	Nomura Int'l plc	Want Want China European Wts Jun 2016 A	0.250	11.880	2016/06/30	50,000,000	2014/06/25
26752	Standard Chartered Bank	YZ Coal European Wts Apr 2015 A	0.400	6.98 per unit	2015/04/02	50,000,000	2014/06/25
26715	UBS AG	AIA European Warrants Dec 2014 A	0.150	44.850	2014/12/17	100,000,000	2014/06/25
26826	UBS AG	Hutchison European Warrants Nov 2014 C	0.230	118.100	2014/11/25	100,000,000	2014/06/25
26984	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Dec 2014 A	0.150	10 per unit	2014/12/02	100,000,000	2014/06/26
26994	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Jul 2015 A	0.280	10.30 per unit	2015/07/03	100,000,000	2014/06/26
27000	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jan 2015 B	0.210	86.880	2015/01/05	100,000,000	2014/06/26
26954	Credit Suisse AG	HSI European Warrants Dec 2014 A	0.150	24,200 per 6,000 units	2014/12/30	150,000,000	2014/06/26
26965	Credit Suisse AG	HSI European Warrants Dec 2014 B	0.150	23,800 per 6,000 units	2014/12/30	150,000,000	2014/06/26
26967	Credit Suisse AG	HSI European Put Warrants Oct 2014 F	0.150	23,000 per 8,000 units	2014/10/30	150,000,000	2014/06/26
26982	Citigroup Global Mkt H Inc.	A50 China Euro Wts Dec 2014 B	0.250	9.08 per unit	2014/12/22	80,000,000	2014/06/26
27015	J P Morgan SP BV	CITIC Pacific Euro Wts Jan 2016 A	0.152	16.880	2016/01/05	100,000,000	2014/06/26
27003	J P Morgan SP BV	Melco Int'l Dev European Wts Mar 2015 A	0.252	25.880	2015/03/03	80,000,000	2014/06/26
26946	Macquarie Bank Ltd.	Angang Steel European Wts Jan 2015 A	0.250	6.38 per unit	2015/01/05	40,000,000	2014/06/26
26842	Macquarie Bank Ltd.	BYD European Warrants Jan 2015 A	0.400	52.500	2015/01/05	25,000,000	2014/06/26
26934	Macquarie Bank Ltd.	Li & Fung European Warrants Jul 2016 A	0.150	12.000	2016/07/05	70,000,000	2014/06/26
26841	Macquarie Bank Ltd.	PetroChina European Wts Feb 2015 A	0.335	11.50 per unit	2015/02/03	30,000,000	2014/06/26
26887	Nomura Int'l plc	AviChina European Warrants Mar 2015 A	0.380	5.38 per unit	2015/03/30	50,000,000	2014/06/26
26918	Nomura Int'l plc	BOCL European Warrants Mar 2015 A	0.260	3.88 per unit	2015/03/26	50,000,000	2014/06/26
26875	Nomura Int'l plc	BYD European Warrants Dec 2014 A	0.510	50.000	2014/12/30	50,000,000	2014/06/26
26900	Nomura Int'l plc	CM Bank European Warrants Dec 2014 A	0.520	16.68 per unit	2014/12/30	50,000,000	2014/06/26
26851	Nomura Int'l plc	Sinopec European Warrants Dec 2014 A	0.250	8.88 per unit	2014/12/30	50,000,000	2014/06/26
26870	Nomura Int'l plc	Sinopec European Warrants Mar 2015 A	0.250	10 per unit	2015/03/30	50,000,000	2014/06/26
26888	Nomura Int'l plc	Ch Ship Cont European Warrants Jan 2015 A	0.250	2.28 per unit	2015/01/30	50,000,000	2014/06/26
26901	Nomura Int'l plc	Esprit European Warrants Mar 2016 A	0.250	12.880	2016/03/29	50,000,000	2014/06/26
26911	Nomura Int'l plc	GCL-Poly Energy European Warrants Mar 15A	0.390	2.88 per unit	2015/03/30	50,000,000	2014/06/26
26873	Nomura Int'l plc	JNCEC European Warrants Feb 2015 A	0.300	4.48 per unit	2015/02/18	50,000,000	2014/06/26
27063	Daiwa Capital Mkt	Ch Overseas European Put Wts Mar 2015 A	0.250	18.180	2015/03/03	80,000,000	2014/06/27
27048	HK Bank	CC Bank European Warrants Mar 2015 A	0.190	6.41 per unit	2015/03/26	150,000,000	2014/06/27
27019	HK Bank	CNOOC European Warrants Jul 2016 A	0.150	16.520	2016/07/26	150,000,000	2014/06/27
27119	HK Bank	HSCEI European Warrants Dec 2014 F	0.250	10,188 per 4,500 units	2014/12/30	150,000,000	2014/06/30
27087	HK Bank	HSI European Warrants Dec 2014 E	0.180	24,288 per 6,000 units	2014/12/30	150,000,000	2014/06/30
27086	HK Bank	HSI European Put Warrants Oct 2014 C	0.150	22,888 per 8,000 units	2014/10/30	150,000,000	2014/06/30
27113	HK Bank	HSI European Put Warrants Nov 2014 D	0.150	21,800 per 6,000 units	2014/11/27	150,000,000	2014/06/30
27105	HK Bank	HSI European Put Warrants Dec 2014 F	0.250	23,200 per 7,000 units	2014/12/30	150,000,000	2014/06/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27124	Macquarie Bank Ltd.	Kingdee Intl European Warrants Jan15 A	0.400	3.28 per unit	2015/01/05	25,000,000	2014/06/30
27146	UBS AG	China Cinda European Warrants Nov 2014 A	0.400	4.09 per unit	2014/11/21	50,000,000	2014/06/30
27147	UBS AG	HSI European Put Warrants Nov 2014 A	0.150	21,700 per 6,000 units	2014/11/27	300,000,000	2014/06/30
27132	UBS AG	Lenovo European Put Warrants Aug 2015 A	0.150	8.990	2015/08/03	70,000,000	2014/06/30
12722 [#]	Standard Chartered Bank	China Life European Wts Dec 2014 A	0.057	22.880	2014/12/08	180,000,000	2014/06/30
27215	BOCI Asia Ltd.	Galaxy Ent European Warrants Nov 2014 C	0.310	64.880	2014/11/17	100,000,000	2014/07/02
27205	BOCI Asia Ltd.	Kingsoft European Put Warrants Dec 2014 A	0.310	20.880	2014/12/24	100,000,000	2014/07/02
27211	BOCI Asia Ltd.	Tencent Holdings European Wts Oct 2015 A	0.170	128.88 per 100 units	2015/10/26	100,000,000	2014/07/02
27212	BOCI Asia Ltd.	Tencent Holdings European Put Wts Dec 2015 A	0.150	100.88 per 100 units	2015/12/22	100,000,000	2014/07/02
27166	Goldman Sachs SP (Asia)	BEA European Warrants Nov 2015 A	0.253	35.880	2015/11/30	80,000,000	2014/07/02
27202	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Jun 2015 A	0.179	24.330	2015/06/17	80,000,000	2014/07/02
27220	Goldman Sachs SP (Asia)	Huadian Power European Wts Jan15B	0.398	4.84 per unit	2015/01/30	50,000,000	2014/07/02
27167	Goldman Sachs SP (Asia)	PetroChina European Wts Jan 2015 A	0.258	11.08 per unit	2015/01/30	80,000,000	2014/07/02
27161	Goldman Sachs SP (Asia)	Shanghai Ind Euro Wts Apr 2015 A	0.253	26.000	2015/04/30	80,000,000	2014/07/02
27226	J P Morgan SP BV	AIA European Warrants Feb 2015 A	0.155	42.680	2015/02/09	200,000,000	2014/07/02
27224	J P Morgan SP BV	Ch Overseas European Put Wts May 2015 A	0.255	18.000	2015/05/04	200,000,000	2014/07/02
27228	J P Morgan SP BV	Dongfeng Motor European Wts Sep 2015 A	0.153	16.000	2015/09/30	150,000,000	2014/07/02
27158	J P Morgan SP BV	HSI European Put Warrants Nov 2014 B	0.150	22,000 per 7,000 units	2014/11/27	500,000,000	2014/07/02
27265	Daiwa Capital Mkt	BOCL European Warrants Jan 2015 A	0.220	3.48 per unit	2015/01/02	108,000,000	2014/07/03
27255	Daiwa Capital Mkt	Ch Overseas European Warrants Mar 2015 B	0.250	19.880	2015/03/02	80,000,000	2014/07/03
27461	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2014 C	0.376	127.88 per 20 units	2014/12/22	80,000,000	2014/07/03
27452	Goldman Sachs SP (Asia)	Tencent European Put Wt Dec 2014 B	0.188	100 per 20 units	2014/12/01	80,000,000	2014/07/03
27462	Goldman Sachs SP (Asia)	Xinyi Glass European Wts Jan 2015A	0.389	5 per unit	2015/01/05	80,000,000	2014/07/03
27269	HK Bank	BYD European Warrants Jan 2015 A	0.280	53.380	2015/01/05	60,000,000	2014/07/03
27288	HK Bank	Mengniu European Warrants Jan 2015 A	0.250	39.880	2015/01/30	60,000,000	2014/07/03
27482	J P Morgan SP BV	Hutchison European Put Wts Jan 2015 C	0.339	98.000	2015/01/05	80,000,000	2014/07/03
27295	Macquarie Bank Ltd.	AIA European Warrants Jan 2015 B	0.260	38.880	2015/01/05	40,000,000	2014/07/03
27250	Macquarie Bank Ltd.	Foxconn European Warrants Jan 2015 A	0.400	6.50 per unit	2015/01/05	25,000,000	2014/07/03
27451	Macquarie Bank Ltd.	Golden Eagle European Wts Jul 2015 A	0.150	12.700	2015/07/03	68,000,000	2014/07/03
27237	Macquarie Bank Ltd.	Hutchison European Warrants Jan 2015 A	0.336	117.580	2015/01/05	30,000,000	2014/07/03
27431	Standard Chartered Bank	HSBC European Warrants Dec 2014 C	0.160	83.880	2014/12/02	100,000,000	2014/07/03
27446	Standard Chartered Bank	HSI European Warrants Nov 2014 C	0.150	22,800 per 6,300 units	2014/11/27	100,000,000	2014/07/03
27303	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Mar 15 A	0.250	3.60 per unit	2015/03/04	40,000,000	2014/07/03
27344	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Jan16B	0.150	16.880	2016/01/04	80,000,000	2014/07/03
27375	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct 2015 A	0.150	23.880	2015/10/05	100,000,000	2014/07/03
27377	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Jan15 B	0.275	7.80 per unit	2015/01/05	40,000,000	2014/07/03
27399	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jan 2015C	0.250	8.18 per unit	2015/01/05	70,000,000	2014/07/03
27323	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt Feb15A	0.170	2.23 per unit	2015/02/04	60,000,000	2014/07/03
27354	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Oct15A	0.175	16.000	2015/10/05	100,000,000	2014/07/03
27366	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jan15A	0.495	61.000	2015/01/05	80,000,000	2014/07/03
27371	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Jan15B	0.300	50.000	2015/01/05	70,000,000	2014/07/03
27393	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Jul16 A	0.170	12.000	2016/07/04	100,000,000	2014/07/03
27479	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jan 15 A	0.165	68.880	2015/01/05	100,000,000	2014/07/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27395	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt Jan15B	0.270	48.000	2015/01/05	80,000,000	2014/07/03
27405	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Jan 2015B	0.250	115.000	2015/01/05	40,000,000	2014/07/03
27468	UBS AG	Sinopec Corp European Warrants Nov 2014 C	0.340	7.51 per unit	2014/11/05	60,000,000	2014/07/03
27464	UBS AG	Ping An European Warrants Nov 2014 B	0.150	68.050	2014/11/24	70,000,000	2014/07/03
27590	Credit Suisse AG	China Mobile European Warrants Nov 2014 B	0.150	78.900	2014/11/03	80,000,000	2014/07/04
27587	Credit Suisse AG	HSBC European Wts Dec 2014 A	0.150	83.900	2014/12/01	80,000,000	2014/07/04
27595	Credit Suisse AG	Yanzhou Coal European Warrants Dec 2014 A	0.200	7.51 per unit	2014/12/01	70,000,000	2014/07/04
27578	Daiwa Capital Mkt	Galaxy Ent European Warrants Jan 2015 A	0.250	82.880	2015/01/16	108,000,000	2014/07/04
27585	Daiwa Capital Mkt	HKEx European Put Warrants Mar 2015 A	0.250	158 per 100 units	2015/03/02	108,000,000	2014/07/04
27580	Daiwa Capital Mkt	Sands China European Put Wts Jan 2015 A	0.350	52.000	2015/01/05	80,000,000	2014/07/04
27586	Daiwa Capital Mkt	Tencent Holdings European Wts Jan 2015 B	0.230	146.08 per 20 units	2015/01/02	108,000,000	2014/07/04
27610	Goldman Sachs SP (Asia)	HSI European Warrants Dec 2014 B	0.211	24,300 per 6,000 units	2014/12/30	150,000,000	2014/07/04
27507	Macquarie Bank Ltd.	Sinopec Corp European Wts Feb 2015 A	0.250	8.28 per unit	2015/02/03	40,000,000	2014/07/04
27565	Standard Chartered Bank	China Life European Wts Dec 2014 B	0.160	20.000	2014/12/12	100,000,000	2014/07/04
27568	Standard Chartered Bank	China Life Euro Put Wts Mar 2015 A	0.150	19.500	2015/03/25	100,000,000	2014/07/04
27574	Standard Chartered Bank	Ping An European Wts Nov 2014 B	0.270	62.500	2014/11/25	60,000,000	2014/07/04
27577	Standard Chartered Bank	Ping An Euro Put Wts Dec 2014 A	0.310	56.880	2014/12/24	60,000,000	2014/07/04
27618	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Sep 2015 A	0.220	3.88 per unit	2015/09/02	100,000,000	2014/07/04
27620	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Apr 2015 A	0.250	40.880	2015/04/08	100,000,000	2014/07/04
27621	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Jan15A	0.340	4.20 per unit	2015/01/05	40,000,000	2014/07/04
27628	SGA Societe Generale Acceptance N.V.	Esprit Eu Wt Apr 16 A	0.200	12.880	2016/04/06	100,000,000	2014/07/04
27511	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 15 A	0.260	22,200 per 6,000 units	2015/01/29	150,000,000	2014/07/04
27521	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan 15 B	0.250	22,800 per 6,000 units	2015/01/29	150,000,000	2014/07/04
27630	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jan 2015 A	0.275	120.880	2015/01/05	50,000,000	2014/07/04
27631	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt Jan15B	0.410	98.880	2015/01/05	50,000,000	2014/07/04
27642	SGA Societe Generale Acceptance N.V.	PICC Eu Wts Apr 2015A	0.250	3.38 per unit	2015/04/08	50,000,000	2014/07/04
27523	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 15 B	0.250	158.90 per 20 units	2015/05/06	120,000,000	2014/07/04
27522	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jul 16 A	0.250	121 per 100 units	2016/07/06	500,000,000	2014/07/04
27538	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Jan16A	0.150	100.80 per 100 units	2016/01/04	500,000,000	2014/07/04
27646	UBS AG	Galaxy Ent European Put Warrants Dec 2014 B	0.300	51.750	2014/12/15	40,000,000	2014/07/04
27651	UBS AG	Wynn Macau European Put Warrants Jan 2015 A	0.250	26.500	2015/01/09	40,000,000	2014/07/04
27652	Bank of East Asia	BYD European Warrants Jan 2015 A	0.306	56.211	2015/01/07	35,000,000	2014/07/07
27686	J P Morgan SP BV	Esprit European Wts Jan 2016 A	0.252	12.500	2016/01/05	60,000,000	2014/07/07
27685	Macquarie Bank Ltd.	China Gas Hold Euro Wts Jun 2015 B	0.250	19.880	2015/06/30	40,000,000	2014/07/07
27656	Standard Chartered Bank	BYD European Warrants Dec 2014 B	0.430	52.500	2014/12/31	50,000,000	2014/07/07
27673	Standard Chartered Bank	China Gas Hold European Wts Jun15A	0.250	16.380	2015/06/01	60,000,000	2014/07/07
27676	Standard Chartered Bank	Sinopec Corp Euro Wts Feb 2015 A	0.250	8.88 per unit	2015/02/02	100,000,000	2014/07/07
27684	Standard Chartered Bank	HKEx European Warrants Nov 2015 B	0.250	133.88 per 100 units	2015/11/26	100,000,000	2014/07/07
27675	Standard Chartered Bank	Shunfeng Pv European Wts Feb15A	0.180	12.880	2015/02/02	60,000,000	2014/07/07
27653	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Feb 2015 B	0.150	6.38 per unit	2015/02/04	100,000,000	2014/07/07
27654	SGA Societe Generale Acceptance N.V.	JIANG Eu Wt May 2015A	0.170	12.500	2015/05/06	100,000,000	2014/07/07
27655	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Jun 2015A	0.250	12 per unit	2015/06/03	60,000,000	2014/07/07
27707	Credit Suisse	SMIC European Warrants Jan 2016 A	0.250	0.80 per unit	2016/01/18	50,000,000	2014/07/08

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27767	J P Morgan SP BV	AIA European Put Warrants Apr 2015 A	0.255	36.000	2015/04/30	100,000,000	2014/07/08
27715	J P Morgan SP BV	A50 China European Warrants Feb 2015 A	0.251	9.50 per unit	2015/02/03	200,000,000	2014/07/08
27731	J P Morgan SP BV	A50 China European Put Wts Feb 2015 B	0.396	8 per unit	2015/02/03	100,000,000	2014/07/08
27728	J P Morgan SP BV	Sands China European Put Wts Feb 2015 A	0.251	52.880	2015/02/03	100,000,000	2014/07/08
27704	Macquarie Bank Ltd.	MGM China European Warrants Feb 2015 A	0.250	34.000	2015/02/03	40,000,000	2014/07/08
27695	SGA Societe Generale Acceptance N.V	Ch Gas Hold Eu Wt Jun15A	0.250	16.990	2015/06/03	70,000,000	2014/07/08
27703	SGA Societe Generale Acceptance N.V	Ch Gas Hold Eu Wt Oct15A	0.250	19.180	2015/10/05	70,000,000	2014/07/08
27850	Credit Suisse AG	Sinopec Corp European Warrants Dec 2014 A	0.300	7.99 per unit	2014/12/23	70,000,000	2014/07/09
27834	Credit Suisse AG	Galaxy Ent European Put Wts Oct 2014 C	0.320	58.830	2014/10/10	70,000,000	2014/07/09
27831	Credit Suisse AG	Hutchison European Warrants Dec 2014 A	0.220	120.000	2014/12/22	70,000,000	2014/07/09
27832	Credit Suisse AG	Hutchison European Put Wts Dec 2014 B	0.180	92.750	2014/12/08	70,000,000	2014/07/09
27838	Credit Suisse AG	Sands China European Put Wts Nov 2014 A	0.240	51.950	2014/11/24	70,000,000	2014/07/09
27842	Credit Suisse AG	Tencent Holdings Euro Put Wts Apr 2015 A	0.160	120 per 100 units	2015/04/30	70,000,000	2014/07/09
27768	Goldman Sachs SP (Asia)	SHK Ppt European Wts Jan 2015 A	0.250	118.000	2015/01/12	80,000,000	2014/07/09
27896	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jan 2015 C	0.250	10,600 per 4,200 units	2015/01/29	300,000,000	2014/07/09
27892	J P Morgan SP BV	HSCEI European Put Warrants Jan 2015 B	0.252	10,400 per 4,500 units	2015/01/29	300,000,000	2014/07/09
27897	J P Morgan SP BV	MGM China European Put Wts Feb 2015 A	0.254	25.880	2015/02/03	50,000,000	2014/07/09
27900	J P Morgan SP BV	SJM Holdings European Put Warrants Mar15A	0.254	18.880	2015/03/03	60,000,000	2014/07/09
27899	J P Morgan SP BV	Wynn Macau European Put Warrants Feb 15 A	0.254	28.880	2015/02/03	60,000,000	2014/07/09
27885	Standard Chartered Bank	A50 China Euro Warrants Feb 2015 A	0.250	9.50 per unit	2015/02/27	60,000,000	2014/07/09
27887	Standard Chartered Bank	Galaxy Ent European Wts Jan 2015 A	0.530	66.000	2015/01/30	50,000,000	2014/07/09
27792	Standard Chartered Bank	HSI European Warrants Mar 2015 A	0.150	24,000 per 7,000 units	2015/03/30	100,000,000	2014/07/09
27798	Standard Chartered Bank	HSI European Put Wts Dec 2014 B	0.160	23,000 per 8,000 units	2014/12/30	100,000,000	2014/07/09
27883	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Nov 2015 B	0.155	6.180	2015/11/04	150,000,000	2014/07/09
27881	UBS AG	A50 China European Warrants Nov 2014 A	0.240	9.29 per unit	2014/11/21	70,000,000	2014/07/09
27851	UBS AG	Galaxy Ent European Put Warrants Nov 2014 D	0.230	54.950	2014/11/26	45,000,000	2014/07/09
27857	UBS AG	HSI European Put Warrants Dec 2014 E	0.170	22,900 per 8,000 units	2014/12/30	300,000,000	2014/07/09
27872	UBS AG	HSI European Put Warrants Dec 2014 F	0.165	22,300 per 6,800 units	2014/12/30	300,000,000	2014/07/09
27786	UBS AG	Tencent Holdings European Put Warrants Feb 2015 A	0.150	114.88 per 100 units	2015/02/27	500,000,000	2014/07/09
27790	UBS AG	Tencent Holdings European Put Warrants Dec 2015 A	0.150	100.78 per 100 units	2015/12/22	500,000,000	2014/07/09
27946	BOCI Asia Ltd.	CITIC Sec European Warrants Mar 2015 A	0.190	20.600	2015/03/30	100,000,000	2014/07/10
27999	BOCI Asia Ltd.	Sinopec Corp European Warrants Dec 2014 A	0.260	8.28 per unit	2014/12/24	100,000,000	2014/07/10
27943	BOCI Asia Ltd.	HSBC European Warrants Dec 2014 A	0.190	83.880	2014/12/08	100,000,000	2014/07/10
27948	BOCI Asia Ltd.	PetroChina European Warrants Jan 2015 A	0.330	11.08 per unit	2015/01/26	100,000,000	2014/07/10
27947	BOCI Asia Ltd.	Sands China European Put Wts Jan 2015 A	0.280	52.000	2015/01/19	100,000,000	2014/07/10
28013	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Dec 2014 C	0.150	100 per 20 units	2014/12/02	100,000,000	2014/07/10
27927	Daiwa Capital Mkt	HSBC European Warrants Jan 2015 A	0.250	88.000	2015/01/15	108,000,000	2014/07/10
28015	Goldman Sachs SP (Asia)	A50 China European Wts Jan 2015 A	0.261	9.50 per unit	2015/01/28	80,000,000	2014/07/10
27936	HK Bank	HSI European Warrants Oct 2014 D	0.160	23,088 per 6,000 units	2014/10/30	200,000,000	2014/07/10
27932	HK Bank	HSI European Warrants Dec 2014 G	0.150	24,288 per 6,000 units	2014/12/30	200,000,000	2014/07/10
28029	J P Morgan SP BV	HSBC European Warrants Dec 2014 B	0.155	83.880	2014/12/01	200,000,000	2014/07/10
27907	Standard Chartered Bank	HSI European Warrants Feb 2015 A	0.250	23,000 per 6,000 units	2015/02/26	100,000,000	2014/07/10
27913	Standard Chartered Bank	HSI European Warrants Mar 2015 B	0.180	24,800 per 6,000 units	2015/03/30	100,000,000	2014/07/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28027	Standard Chartered Bank	Tencent Hldg Eu Put Wts Apr 2015 A	0.150	120 per 100 units	2015/04/28	150,000,000	2014/07/10
27902	Standard Chartered Bank	Tracker Fund Euro Wts Dec 2016 A	0.250	24.500	2016/12/29	100,000,000	2014/07/10
27903	Standard Chartered Bank	Tracker Fund Eu Put Wt May 2016 A	0.250	22.000	2016/05/27	100,000,000	2014/07/10
28026	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Feb15A	0.320	78.880	2015/02/04	80,000,000	2014/07/10
28022	UBS AG	HSBC European Warrants Nov 2014 B	0.180	83.930	2014/11/26	100,000,000	2014/07/10
28018	UBS AG	China Mengniu European Warrants Dec 2014 A	0.185	44.050	2014/12/24	55,000,000	2014/07/10
28023	UBS AG	Sands China European Put Warrants Dec 2014 B	0.160	47.950	2014/12/24	65,000,000	2014/07/10
28102	BOCI Asia Ltd.	A50 China European Warrants Apr 2016 A	0.150	7.780	2016/04/01	100,000,000	2014/07/11
28103	BOCI Asia Ltd.	Tracker Fund European Put Wts Apr 2016 A	0.250	22.800	2016/04/01	100,000,000	2014/07/11
28110	Goldman Sachs SP (Asia)	CMS European Warrants Jun 2016 A	0.250	11.300	2016/06/30	80,000,000	2014/07/11
28133	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Jan 2015 A	0.398	59.000	2015/01/30	80,000,000	2014/07/11
28107	Goldman Sachs SP (Asia)	HKEx European Warrants Jan 2015 A	0.548	168.000	2015/01/30	80,000,000	2014/07/11
28132	Goldman Sachs SP (Asia)	HSBC European Warrants Jan 2015 A	0.250	84.000	2015/01/30	80,000,000	2014/07/11
28104	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2014 C	0.159	23,500 per 8,800 units	2014/12/30	150,000,000	2014/07/11
28122	Goldman Sachs SP (Asia)	Tracker Fund European Wts Jan15A	0.258	28 per unit	2015/01/30	80,000,000	2014/07/11
28146	J P Morgan SP BV	China Mob European Wts Feb 2015 A	0.259	83.880	2015/02/03	150,000,000	2014/07/11
28148	J P Morgan SP BV	Galaxy Ent European Put Wts Jan 2015 A	0.383	61.880	2015/01/30	100,000,000	2014/07/11
28155	J P Morgan SP BV	HSBC European Put Warrants Dec 2014 C	0.150	76.000	2014/12/01	100,000,000	2014/07/11
28037	J P Morgan SP BV	HSI European Warrants Dec 2014 F	0.150	24,200 per 6,000 units	2014/12/30	500,000,000	2014/07/11
28145	J P Morgan SP BV	Sands China European Warrants Nov 2014 A	0.152	70.280	2014/11/03	150,000,000	2014/07/11
28185	J P Morgan SP BV	Tencent European Warrants Dec 2014 E	0.338	136 per 20 units	2014/12/01	200,000,000	2014/07/11
28183	J P Morgan SP BV	Tencent European Put Warrants Apr 2015 A	0.150	120 per 100 units	2015/04/30	150,000,000	2014/07/11
28042	Macquarie Bank Ltd.	KECL European Warrants Jan 2016 A	0.250	13.000	2016/01/05	50,000,000	2014/07/11
28039	Macquarie Bank Ltd.	Kingsoft European Warrants Dec 2014 A	0.286	25.880	2014/12/02	35,000,000	2014/07/11
28091	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Jan 2015 A	0.250	173.000	2015/01/12	40,000,000	2014/07/11
28047	Merrill Lynch Int'l & Co. C.V.	Sinopec Eu Put Wt Dec 2014B	0.184	6.37 per unit	2014/12/24	60,000,000	2014/07/11
28045	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Put Wt Nov14A	0.165	54.950	2014/11/26	70,000,000	2014/07/11
28097	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Jan 2015 A	0.255	74.000	2015/01/12	40,000,000	2014/07/11
28054	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2015 A	0.260	24,400 per 6,000 units	2015/01/29	100,000,000	2014/07/11
28064	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2014 C	0.164	23,200 per 7,000 units	2014/12/30	100,000,000	2014/07/11
28063	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2015 B	0.260	23,000 per 6,500 units	2015/01/29	100,000,000	2014/07/11
28048	Merrill Lynch Int'l & Co. C.V.	Sands China Eu Put Wt Nov14C	0.171	51.950	2014/11/21	60,000,000	2014/07/11
28099	Standard Chartered Bank	Dongfeng European Wts Aug 2015 A	0.250	15.800	2015/08/28	50,000,000	2014/07/11
28157	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Feb15A	0.290	8.28 per unit	2015/02/04	40,000,000	2014/07/11
28134	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jan15A	0.280	9.29 per unit	2015/01/05	50,000,000	2014/07/11
28138	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Dec 2014C	0.270	9.28 per unit	2014/12/03	60,000,000	2014/07/11
28143	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Feb 2015A	0.250	9.66 per unit	2015/02/04	60,000,000	2014/07/11
28175	SGA Societe Generale Acceptance N.V.	Shimao Ppt Eu Wt Feb16A	0.250	17.000	2016/02/03	100,000,000	2014/07/11
28178	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Nov 15 A	0.200	128.88 per 100 units	2015/11/04	500,000,000	2014/07/11
28075	UBS AG	CNOOC European Warrants Jul 2016 A	0.150	16.520	2016/07/26	100,000,000	2014/07/11
15714 [#]	Credit Suisse AG	HKEx European Warrants Jun 2015 A	0.115	160.38 per 100 units	2015/06/30	120,000,000	2014/07/11
28270	Credit Suisse AG	HKEx European Put Warrants Jan 2015 A	0.250	120.880	2015/01/27	60,000,000	2014/07/14
28277	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Nov 2014 A	0.250	9.38 per unit	2014/11/03	60,000,000	2014/07/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28275	J P Morgan SP BV	GCL-Poly European Warrants Feb 2015 A	0.252	3.38 per unit	2015/02/03	100,000,000	2014/07/14
28201	Macquarie Bank Ltd.	Anhui Conch European Wts Apr 2015 A	0.250	35.880	2015/04/02	40,000,000	2014/07/14
28202	Macquarie Bank Ltd.	Tencent European Put Wts Feb 2015 B	0.334	99.880	2015/02/03	30,000,000	2014/07/14
28213	Merrill Lynch Int'l & Co. C.V.	CC Bank Eu Put Wt Feb 2015A	0.260	5.38 per unit	2015/02/05	40,000,000	2014/07/14
28238	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2014 D	0.191	79.920	2014/12/24	60,000,000	2014/07/14
28237	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Dec 14 C	0.207	70.950	2014/12/29	50,000,000	2014/07/14
28204	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Nov 2014 A	0.250	9.29 per unit	2014/11/21	40,000,000	2014/07/14
28209	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Put Wt Feb 2015 A	0.275	4.88 per unit	2015/02/05	40,000,000	2014/07/14
28228	Merrill Lynch Int'l & Co. C.V.	Lenovo Euro Wts Feb 2015 A	0.350	12.28 per unit	2015/02/05	40,000,000	2014/07/14
28227	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Put Wts Feb15A	0.280	56.880	2015/02/05	40,000,000	2014/07/14
28266	Standard Chartered Bank	Anhui Conch Euro Wts Apr 2015 A	0.250	36.380	2015/04/10	50,000,000	2014/07/14
28241	Standard Chartered Bank	Cheung Kong European Put Wt Feb15A	0.350	128.000	2015/02/03	30,000,000	2014/07/14
28269	Standard Chartered Bank	China Railway Euro Wts Jun 2015 A	0.250	6 per unit	2015/06/29	50,000,000	2014/07/14
28262	Standard Chartered Bank	Chow Tai Fook Euro Wts May 2015 A	0.250	11.000	2015/05/15	80,000,000	2014/07/14
28267	Standard Chartered Bank	Jiangxi Copper Euro Wts Mar 2015 A	0.250	12.880	2015/03/30	50,000,000	2014/07/14
28265	Standard Chartered Bank	China Mengniu Euro Wts Jan 2015 A	0.250	39.880	2015/01/19	60,000,000	2014/07/14
28256	Standard Chartered Bank	PICC Group European Wts Feb 2015 A	0.250	3.38 per unit	2015/02/03	60,000,000	2014/07/14
28239	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Apr 2017 A	0.250	12.000	2017/04/03	100,000,000	2014/07/14
28268	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Sep15A	0.255	135 per 100 units	2015/09/02	400,000,000	2014/07/14
28428	BNP Paribas Arbit Issu B.V.	Agile Ppt Euro Wt Feb 2015 A	0.550	7 per unit	2015/02/03	19,000,000	2014/07/15
28468	BNP Paribas Arbit Issu B.V.	Biostime Euro Wts Mar 2015 A	0.480	45.000	2015/03/03	23,000,000	2014/07/15
28436	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Jan 2015 B	0.200	16.50 per unit	2015/01/05	82,000,000	2014/07/15
28433	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jul 2015 A	0.150	160.40 per 100 units	2015/07/03	100,000,000	2014/07/15
28443	BNP Paribas Arbit Issu B.V.	HSI European Wts Dec 2014 A	0.150	24,200 per 6,000 units	2014/12/30	100,000,000	2014/07/15
28467	BNP Paribas Arbit Issu B.V.	HSI European Wts Mar 2015 A	0.150	24,000 per 7,000 units	2015/03/30	100,000,000	2014/07/15
28416	Credit Suisse AG	BYD European Warrants Jan 2015 A	0.340	56.880	2015/01/26	50,000,000	2014/07/15
28414	Credit Suisse AG	Ch Overseas European Warrants Mar 2015 A	0.180	22.000	2015/03/30	60,000,000	2014/07/15
28404	Credit Suisse	Foxconn European Warrants Dec 2014 A	0.270	6.51 per unit	2014/12/24	50,000,000	2014/07/15
28400	Credit Suisse AG	A50 China European Warrants Nov 2014 B	0.220	9.29 per unit	2014/11/24	80,000,000	2014/07/15
28423	Credit Suisse AG	China Mengniu European Wts Jan 2015 A	0.250	44.380	2015/01/26	50,000,000	2014/07/15
28415	Credit Suisse AG	Xinyi Glass European Warrants Jan 2015 A	0.350	5.78 per unit	2015/01/26	50,000,000	2014/07/15
28482	Citigroup Global Mkt H Inc.	AIA European Wts Mar 2015 A	0.165	45.000	2015/03/26	100,000,000	2014/07/15
28475	Citigroup Global Mkt H Inc.	CITIC Sec Euro Wts Jun 2015 A	0.270	18.880	2015/06/12	100,000,000	2014/07/15
28484	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Dec 2014 A	0.280	8.18 per unit	2014/12/24	100,000,000	2014/07/15
28497	Citigroup Global Mkt H Inc.	HKEx European Wts Nov 2014 A	0.260	185.000	2014/11/25	50,000,000	2014/07/15
28499	Citigroup Global Mkt H Inc.	HKEx European Wts Jan 2015 A	0.300	200.000	2015/01/14	50,000,000	2014/07/15
28485	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Dec 2014 A	0.200	10.88 per unit	2014/12/22	80,000,000	2014/07/15
28496	Citigroup Global Mkt H Inc.	Ping An Euro Wts Dec 2014 A	0.175	70.000	2014/12/24	100,000,000	2014/07/15
28303	HK Bank	China Shenhua European Warrants Mar 2015 A	0.150	24.050	2015/03/27	80,000,000	2014/07/15
28304	HK Bank	GCL-Poly Energy European Warrants Jan 2015 A	0.330	3.18 per unit	2015/01/30	80,000,000	2014/07/15
28331	HK Bank	Galaxy Ent European Warrants Dec 2014 A	0.340	74.850	2014/12/17	80,000,000	2014/07/15
28308	HK Bank	HKEx European Warrants Oct 2015 A	0.150	170.98 per 100 units	2015/10/26	150,000,000	2014/07/15
28327	HK Bank	HWL European Warrants Dec 2014 A	0.270	118.980	2014/12/23	80,000,000	2014/07/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28313	HK Bank	Sands China European Warrants Dec 2014 A	0.350	66.050	2014/12/24	80,000,000	2014/07/15
28471	J P Morgan SP BV	Ch Railway Cons European Wts Feb 2015 A	0.378	8.40 per unit	2015/02/03	40,000,000	2014/07/15
28334	J P Morgan SP BV	A50 China European Warrants Nov 2014 A	0.196	9.29 per unit	2014/11/21	200,000,000	2014/07/15
28295	Macquarie Bank Ltd.	Bank of EA European Wts Jul 2015 A	0.250	34.500	2015/07/03	40,000,000	2014/07/15
28289	Macquarie Bank Ltd.	Cheung Kong European Wts Jan 2015 A	0.169	168.000	2015/01/05	60,000,000	2014/07/15
28377	Macquarie Bank Ltd.	Lenovo European Warrants Feb 2015 A	0.334	13.50 per unit	2015/02/03	30,000,000	2014/07/15
28372	Macquarie Bank Ltd.	Tencent European Warrants Dec 2014 A	0.334	137.50 per 20 units	2014/12/02	30,000,000	2014/07/15
28340	Standard Chartered Bank	China Railway Euro Wts Feb 2015 A	0.250	4.88 per unit	2015/02/27	50,000,000	2014/07/15
28344	Standard Chartered Bank	HKEx European Warrants Jul 2015 A	0.250	148 per 100 units	2015/07/30	150,000,000	2014/07/15
28470	Standard Chartered Bank	HSI European Put Wts Dec 2014 C	0.150	23,600 per 8,800 units	2014/12/30	100,000,000	2014/07/15
28352	SGA Societe Generale Acceptance N.V.	CSR Euro Wts Oct 16 A	0.150	7.500	2016/10/05	150,000,000	2014/07/15
28371	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jul 2015 A	0.150	160.38 per 100 units	2015/07/06	300,000,000	2014/07/15
28370	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2015 A	0.180	152.80 per 100 units	2015/09/07	300,000,000	2014/07/15
28369	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2015 A	0.180	24,200 per 6,000 units	2015/04/29	150,000,000	2014/07/15
28395	UBS AG	CC Bank European Warrants Jan 2015 A	0.250	6.18 per unit	2015/01/14	100,000,000	2014/07/15
28397	UBS AG	HKEx European Warrants Dec 2014 D	0.560	168.100	2014/12/24	40,000,000	2014/07/15
28279	UBS AG	HKEx European Warrants Jun 2015 A	0.150	160.48 per 100 units	2015/06/23	200,000,000	2014/07/15
28379	UBS AG	Tencent Holdings European Warrants Oct 2015 A	0.210	128.98 per 100 units	2015/10/26	500,000,000	2014/07/15
28582	Credit Suisse AG	CC Bank European Warrants Jan 2015 A	0.190	6.39 per unit	2015/01/30	60,000,000	2014/07/16
28591	Credit Suisse AG	CITIC Sec European Warrants Mar 2015 A	0.230	20.650	2015/03/30	60,000,000	2014/07/16
28585	Credit Suisse AG	CNBM European Warrants Dec 2014 A	0.330	8.21 per unit	2014/12/03	60,000,000	2014/07/16
28583	Credit Suisse AG	Kingsoft European Warrants Nov 2014 B	0.220	25.000	2014/11/24	60,000,000	2014/07/16
28578	Credit Suisse AG	Lenovo European Warrants Dec 2014 A	0.550	11.40 per unit	2014/12/22	60,000,000	2014/07/16
28617	Goldman Sachs SP (Asia)	HKEx European Put Wts Mar 2015 A	0.185	158 per 100 units	2015/03/02	80,000,000	2014/07/16
28624	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2014 D	0.260	146.10 per 20 units	2014/12/16	80,000,000	2014/07/16
28607	Goldman Sachs SP (Asia)	Tencent European Put Wt May 2015 A	0.150	120 per 100 units	2015/05/06	80,000,000	2014/07/16
28514	HK Bank	A50 China European Warrants Dec 2014 C	0.150	9.99 per unit	2014/12/31	80,000,000	2014/07/16
28523	HK Bank	A50 China European Warrants Jan 2015 A	0.250	9.68 per unit	2015/01/30	80,000,000	2014/07/16
28532	HK Bank	HKEx European Warrants Dec 2014 C	0.650	159.980	2014/12/01	80,000,000	2014/07/16
28537	HK Bank	HKEx European Warrants Jan 2015 A	0.400	178.880	2015/01/30	80,000,000	2014/07/16
28539	HK Bank	HKEx European Put Warrants Mar 2015 A	0.170	157.90 per 100 units	2015/03/09	100,000,000	2014/07/16
28509	HK Bank	Tencent European Warrants Nov 2014 C	0.320	136.10 per 20 units	2014/11/18	80,000,000	2014/07/16
28512	HK Bank	Tencent European Warrants Dec 2014 B	0.300	145.90 per 20 units	2014/12/31	80,000,000	2014/07/16
28606	J P Morgan SP BV	Datang European Wts Feb 2015 A	0.288	4.25 per unit	2015/02/03	38,000,000	2014/07/16
28593	J P Morgan SP BV	HKEx European Warrants Dec 2014 B	0.424	160.000	2014/12/03	80,000,000	2014/07/16
28501	Macquarie Bank Ltd.	AIA European Warrants Apr 2015 A	0.150	45.000	2015/04/02	70,000,000	2014/07/16
28540	Macquarie Bank Ltd.	Huaneng Power European Wts Feb 2015 A	0.351	10.88 per unit	2015/02/03	30,000,000	2014/07/16
28503	Macquarie Bank Ltd.	Sands China European Wts Feb 2015 A	0.250	75.000	2015/02/03	40,000,000	2014/07/16
28555	Standard Chartered Bank	Ch Overseas Eur Put Wts Feb 2015 A	0.150	18.180	2015/02/26	70,000,000	2014/07/16
28556	Standard Chartered Bank	China Res Gas Euro Wts Jul 2015 A	0.260	26.000	2015/07/31	50,000,000	2014/07/16
28559	Standard Chartered Bank	HSI European Put Wts Dec 2014 D	0.150	22,400 per 6,800 units	2014/12/30	100,000,000	2014/07/16
28554	Standard Chartered Bank	Lenovo European Wts Feb 2015 A	0.700	12.88 per unit	2015/02/03	30,000,000	2014/07/16
28553	Standard Chartered Bank	Lenovo European Put Wts Aug 2015 A	0.250	11.000	2015/08/04	100,000,000	2014/07/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28562	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Jan 2015 A	0.335	53.380	2015/01/05	30,000,000	2014/07/16
28545	SGA Societe Generale Acceptance NV	Ch Cinda Eu Put Wt Feb15A	0.250	3.38 per unit	2015/02/04	40,000,000	2014/07/16
28575	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jan 2015A	0.250	9.08 per unit	2015/01/05	70,000,000	2014/07/16
28550	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Nov 2015 A	0.150	168.08 per 100 units	2015/11/04	300,000,000	2014/07/16
28592	UBS AG	Kingsoft European Warrants Nov 2014 D	0.250	25.050	2014/11/28	100,000,000	2014/07/16
28661	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Dec 2014 A	0.230	74.800	2014/12/17	80,000,000	2014/07/17
28660	Citigroup Global Mkt H Inc.	Tencent European Wts Oct 2014 B	0.220	140 per 20 units	2014/10/27	100,000,000	2014/07/17
28651	Daiwa Capital Mkt	HKEx European Warrants Nov 2014 A	0.320	173.980	2014/11/03	128,000,000	2014/07/17
28648	HK Bank	Foxconn European Warrants Jan 2015 A	0.400	5.28 per unit	2015/01/30	60,000,000	2014/07/17
28636	HK Bank	Haitong Sec European Warrants Feb 2015 A	0.150	13.400	2015/02/25	80,000,000	2014/07/17
28640	HK Bank	Ping An European Warrants Dec 2014 A	0.150	70.050	2014/12/31	80,000,000	2014/07/17
28647	HK Bank	Tencent European Warrants Oct 2015 A	0.180	128.98 per 100 units	2015/10/29	80,000,000	2014/07/17
28658	J P Morgan SP BV	China Cinda European Put Wts Feb 2015 A	0.252	3.60 per unit	2015/02/03	100,000,000	2014/07/17
28653	Macquarie Bank Ltd.	Esprit European Warrants Jan 2016 A	0.250	12.280	2016/01/05	40,000,000	2014/07/17
28631	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Feb 2015 A	0.286	3.28 per unit	2015/02/03	35,000,000	2014/07/17
28650	Macquarie Bank Ltd.	Greatwall Motor European Wts Feb 2015 A	0.250	38.000	2015/02/03	40,000,000	2014/07/17
28652	Macquarie Bank Ltd.	HK Gas European Warrants Jul 2016 A	0.250	16.720	2016/07/05	40,000,000	2014/07/17
28627	Macquarie Bank Ltd.	HN Renewables European Wts Feb 2015 A	0.286	2.88 per unit	2015/02/17	35,000,000	2014/07/17
28654	UBS AG	BYD European Warrants Dec 2014 B	0.440	53.430	2014/12/24	50,000,000	2014/07/17
28655	UBS AG	Li & Fung European Warrants Jan 2015 B	0.400	11.28 per unit	2015/01/16	40,000,000	2014/07/17
28731	Credit Suisse AG	New World Dev European Wts Jan 2015 A	0.520	9.88 per unit	2015/01/29	70,000,000	2014/07/18
28726	Credit Suisse AG	Tencent Holdings European Wts Nov 2015 A	0.190	128.88 per 100 units	2015/11/02	100,000,000	2014/07/18
28734	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Dec 2014 A	0.210	158.880	2014/12/02	80,000,000	2014/07/18
28732	Citigroup Global Mkt H Inc.	A50 China Euro Wts Jan 2015 A	0.330	8.80 per unit	2015/01/19	80,000,000	2014/07/18
28701	J P Morgan SP BV	Ch Comm Cons European Warrants Feb 2015 A	0.250	6.38 per unit	2015/02/02	40,000,000	2014/07/18
28736	J P Morgan SP BV	CSOP A50 ETF European Warrants Dec 2014 A	0.153	9.28 per unit	2014/12/31	100,000,000	2014/07/18
28735	J P Morgan SP BV	Li & Fung European Warrants Feb 2015 A	0.360	11.50 per unit	2015/02/03	45,000,000	2014/07/18
28667	Macquarie Bank Ltd.	CITIC Bank European Wts Dec 2014 A	0.150	5.58 per unit	2014/12/02	70,000,000	2014/07/18
28676	Nomura Int'l plc	CITIC Bank European Warrants Feb 2015 A	0.260	5.50 per unit	2015/02/27	50,000,000	2014/07/18
28681	Nomura Int'l plc	Ch Ship Dev European Warrants Mar 2015 A	0.480	6 per unit	2015/03/30	50,000,000	2014/07/18
28687	Nomura Int'l plc	Chow Tai Fook European Wts Dec 2015 A	0.250	11.880	2015/12/18	50,000,000	2014/07/18
28689	Nomura Int'l plc	A50 China European Warrants Jan 2015 A	0.360	9.12 per unit	2015/01/30	80,000,000	2014/07/18
28691	Nomura Int'l plc	A50 China European Warrants Jan 2015 B	0.280	9.68 per unit	2015/01/30	80,000,000	2014/07/18
28692	Nomura Int'l plc	A50 China European Warrants Mar 2015 A	0.265	10.18 per unit	2015/03/30	80,000,000	2014/07/18
28695	Nomura Int'l plc	A50 China European Warrants Jun 2015 A	0.310	10.38 per unit	2015/06/22	50,000,000	2014/07/18
28686	Nomura Int'l plc	SUNAC European Warrants Mar 2015 A	0.480	6 per unit	2015/03/30	50,000,000	2014/07/18
28679	Nomura Int'l plc	Yanzhou Coal European Warrants Jan 2015 A	0.380	6.88 per unit	2015/01/30	50,000,000	2014/07/18
28719	Standard Chartered Bank	Geely Auto European Wts Mar 2015 A	0.250	3.68 per unit	2015/03/09	50,000,000	2014/07/18
28723	Standard Chartered Bank	HKEx European Warrants Apr 2015 A	0.500	188.880	2015/04/28	50,000,000	2014/07/18
28725	Standard Chartered Bank	HKEx European Warrants Jul 2015 B	0.500	200.000	2015/07/29	50,000,000	2014/07/18
28720	Standard Chartered Bank	HKEx European Warrants Jul 2016 A	0.250	155.88 per 100 units	2016/07/29	100,000,000	2014/07/18
28717	Standard Chartered Bank	HSCEI European Warrants Jan 2015 B	0.215	10,000 per 4,000 units	2015/01/29	100,000,000	2014/07/18
28714	Standard Chartered Bank	HSCEI European Put Wts Jan 2015 A	0.165	10,400 per 4,500 units	2015/01/29	100,000,000	2014/07/18

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28718	Standard Chartered Bank	Li & Fung European Wts Feb 2015 A	0.350	11.88 per unit	2015/02/03	40,000,000	2014/07/18
28706	UBS AG	China Cinda European Warrants Mar 2015 A	0.250	5.18 per unit	2015/03/16	100,000,000	2014/07/18
28702	UBS AG	Cheung Kong European Put Warrants Dec 2014 C	0.200	117.900	2014/12/29	50,000,000	2014/07/18
28777	Credit Suisse AG	Sands China European Warrants Nov 2014 B	0.217	65.000	2014/11/20	60,000,000	2014/07/21
28775	Credit Suisse AG	Tencent Holdings European Wts Nov 2014 G	0.400	130.88 per 20 units	2014/11/17	100,000,000	2014/07/21
28774	Daiwa Capital Mkt	BYD European Warrants Jan 2015 A	0.250	73.880	2015/01/20	80,000,000	2014/07/21
28764	Daiwa Capital Mkt	A50 China European Warrants Dec 2014 A	0.220	9.288 per unit	2014/12/01	108,000,000	2014/07/21
28766	Daiwa Capital Mkt	A50 China European Warrants Apr 2015 A	0.250	10.28 per unit	2015/04/08	128,000,000	2014/07/21
28792	J P Morgan SP BV	Ch Ship Cont European Warrants Apr 2015 A	0.254	2.48 per unit	2015/04/02	45,000,000	2014/07/21
28749	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Feb15A	0.310	73.000	2015/02/04	70,000,000	2014/07/21
28763	UBS AG	BYD European Warrants Jan 2015 A	0.330	58.880	2015/01/20	50,000,000	2014/07/21
28760	UBS AG	China Mobile European Warrants Dec 2014 B	0.205	80.950	2014/12/24	100,000,000	2014/07/21
28755	UBS AG	China Tel European Warrants Dec 2014 A	0.150	4.81 per unit	2014/12/22	70,000,000	2014/07/21
28854	BOCI Asia Ltd.	AIA European Warrants Mar 2015 A	0.150	45.000	2015/03/30	100,000,000	2014/07/22
28856	BOCI Asia Ltd.	Cheung Kong European Warrants Jan 2015 A	0.260	162.500	2015/01/09	100,000,000	2014/07/22
28855	BOCI Asia Ltd.	China Mobile European Put Wts Dec 2014 A	0.170	71.000	2014/12/29	100,000,000	2014/07/22
28974	BNP Paribas Arbit Issu B.V.	BYD European Wts Mar 2015 A	0.250	70.000	2015/03/03	94,000,000	2014/07/22
28963	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Mar 2015 B	0.170	92.050	2015/03/03	100,000,000	2014/07/22
28973	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Dec 2015 A	0.250	102.000	2015/12/02	100,000,000	2014/07/22
28832	Credit Suisse AG	China Mobile European Warrants Dec 2014 B	0.150	88.850	2014/12/24	70,000,000	2014/07/22
28858	Credit Suisse AG	China Mobile European Warrants Jan 2015 A	0.250	91.880	2015/01/29	70,000,000	2014/07/22
28823	Credit Suisse AG	China Mobile European Put Wts Dec 2014 A	0.150	70.950	2014/12/24	70,000,000	2014/07/22
28946	Credit Suisse AG	ChiUnicom European Warrants Jan 2015 A	0.440	14.88 per unit	2015/01/29	70,000,000	2014/07/22
28956	Credit Suisse AG	Ping An European Warrants Dec 2014 A	0.150	68.900	2014/12/22	70,000,000	2014/07/22
28962	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Nov 2014 A	0.150	88.280	2014/11/24	100,000,000	2014/07/22
28961	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Dec 2014 A	0.380	85.000	2014/12/24	100,000,000	2014/07/22
28821	Daiwa Capital Mkt	China Mobile European Put Wts Jan 2015 B	0.150	70.980	2015/01/02	80,000,000	2014/07/22
28888	Bank of East Asia	Galaxy Ent European Put Warrants Feb15 A	0.250	50.270	2015/02/12	40,000,000	2014/07/22
28860	Bank of East Asia	Lenovo European Put Warrants Jun 2015 A	0.150	10.360	2015/06/26	70,000,000	2014/07/22
28870	Bank of East Asia	Tencent Holdings European Wts Oct 2015 A	0.190	128.88 per 100 units	2015/10/29	60,000,000	2014/07/22
28793	HK Bank	Ch Comm Cons European Warrants Jan 2015 A	0.250	6.58 per unit	2015/01/30	60,000,000	2014/07/22
28798	HK Bank	China Railway European Warrants Jan 2015 A	0.250	4.68 per unit	2015/01/30	60,000,000	2014/07/22
28976	J P Morgan SP BV	China Mob European Wts Feb 2015 B	0.251	90.000	2015/02/03	200,000,000	2014/07/22
28978	J P Morgan SP BV	China Mob European Put Wts Feb 2015 C	0.251	75.000	2015/02/03	180,000,000	2014/07/22
28979	J P Morgan SP BV	Geely Auto European Warrants Nov 2014 A	0.155	3.68 per unit	2014/11/28	80,000,000	2014/07/22
28982	J P Morgan SP BV	Galaxy Ent European Warrants Feb 2015 A	0.259	72.800	2015/02/03	150,000,000	2014/07/22
28843	Macquarie Bank Ltd.	BYD European Warrants Feb 2015 A	0.400	62.500	2015/02/03	25,000,000	2014/07/22
28853	Macquarie Bank Ltd.	China Mobile European Wts Jan 2015 B	0.250	88.850	2015/01/05	40,000,000	2014/07/22
28811	Standard Chartered Bank	A50 China Euro Warrants Nov 2014 C	0.200	9.29 per unit	2014/11/21	80,000,000	2014/07/22
28817	Standard Chartered Bank	A50 China Euro Warrants Feb 2015 B	0.360	8.88 per unit	2015/02/02	80,000,000	2014/07/22
28810	Standard Chartered Bank	HKEx European Put Wts Feb 2015 A	0.250	163.88 per 100 units	2015/02/27	100,000,000	2014/07/22
28807	Standard Chartered Bank	Hutchison Euro Euro Wts Dec 2014 A	0.224	118.900	2014/12/23	100,000,000	2014/07/22
28802	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Apr 2015 A	0.180	3.88 per unit	2015/04/01	100,000,000	2014/07/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28936	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Dec 14 B	0.150	86.880	2014/12/03	100,000,000	2014/07/22
28803	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Feb 2015 A	0.180	84.000	2015/02/04	100,000,000	2014/07/22
28806	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Dec 14 B	0.235	62.550	2014/12/03	100,000,000	2014/07/22
28942	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Mar 2015 B	0.190	18.880	2015/03/04	100,000,000	2014/07/22
28902	UBS AG	Anhui Conch European Warrants Jan 2015 A	0.205	32.050	2015/01/28	50,000,000	2014/07/22
28819	UBS AG	China Mobile European Put Warrants Jan 2015 A	0.300	73.880	2015/01/21	60,000,000	2014/07/22
28889	UBS AG	HSI European Warrants Jun 2015 A	0.250	25,000 per 6,000 units	2015/06/29	300,000,000	2014/07/22
28897	UBS AG	KECL European Warrants Dec 2015 A	0.215	13.020	2015/12/28	100,000,000	2014/07/22
28935	UBS AG	ZTE European Warrants Jan 2015 A	0.150	18.900	2015/01/26	70,000,000	2014/07/22
29044	BOCI Asia Ltd.	BYD European Warrants Jan 2015 A	0.450	56.880	2015/01/26	100,000,000	2014/07/23
29047	BOCI Asia Ltd.	China Mobile European Warrants Dec 2014 B	0.230	85.000	2014/12/29	100,000,000	2014/07/23
29045	BOCI Asia Ltd.	China Mobile European Warrants Feb 2015 A	0.190	88.280	2015/02/02	100,000,000	2014/07/23
29041	BOCI Asia Ltd.	KECL European Warrants Dec 2015 A	0.170	13.000	2015/12/28	100,000,000	2014/07/23
29036	BOCI Asia Ltd.	Ping An European Put Warrants Dec 2014 B	0.310	56.880	2014/12/29	100,000,000	2014/07/23
29066	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Eur Wt Nov 2015 A	0.380	2.60 per unit	2015/11/03	27,000,000	2014/07/23
29060	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Jan 2015 A	0.264	75.880	2015/01/29	80,000,000	2014/07/23
29062	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jan 2015 A	0.313	9.33 per unit	2015/01/29	80,000,000	2014/07/23
29061	Goldman Sachs SP (Asia)	China Unicom Euro Wts Jan 2015 A	0.421	15 per unit	2015/01/29	80,000,000	2014/07/23
29027	HK Bank	China Mobile European Warrants Feb 2015 A	0.150	92.050	2015/02/23	80,000,000	2014/07/23
29028	HK Bank	China Mobile European Put Warrants Jan 2015 A	0.250	73.000	2015/01/30	80,000,000	2014/07/23
29017	HK Bank	HSCEI European Put Warrants Jan 2015 A	0.160	10,350 per 4,500 units	2015/01/29	150,000,000	2014/07/23
29022	HK Bank	HSI European Put Warrants Dec 2014 H	0.150	22,700 per 8,000 units	2014/12/30	150,000,000	2014/07/23
29031	HK Bank	PICC Group European Warrants Dec 2014 A	0.150	3.49 per unit	2014/12/31	80,000,000	2014/07/23
29053	J P Morgan SP BV	Sihuan Pharm European Warrants Apr 2015 A	0.161	6.50 per 5 units	2015/04/01	120,000,000	2014/07/23
29005	Nomura Int'l plc	CHALCO European Warrants Mar 2015 A	0.250	3.68 per unit	2015/03/30	50,000,000	2014/07/23
28992	Nomura Int'l plc	BYD European Warrants Mar 2015 A	0.492	62.000	2015/03/30	50,000,000	2014/07/23
28993	Nomura Int'l plc	BYD European Warrants Mar 2015 B	0.250	76.000	2015/03/30	50,000,000	2014/07/23
28983	Nomura Int'l plc	Sinopec European Warrants Feb 2015 A	0.250	8.68 per unit	2015/02/18	50,000,000	2014/07/23
28987	Nomura Int'l plc	Ch South Air European Warrants Mar 2015 A	0.250	2.88 per unit	2015/03/30	50,000,000	2014/07/23
29057	Nomura Int'l plc	Dongfeng European Warrants Aug 2015 A	0.250	16.680	2015/08/18	50,000,000	2014/07/23
29059	Nomura Int'l plc	Foxconn European Warrants Mar 2015 A	0.430	5.88 per unit	2015/03/30	50,000,000	2014/07/23
29009	Nomura Int'l plc	Kingsoft European Warrants Jan 2015 A	0.250	28.880	2015/01/30	50,000,000	2014/07/23
29012	Nomura Int'l plc	Kingsoft European Put Warrants Jan 2015 B	0.250	20.280	2015/01/30	50,000,000	2014/07/23
28998	Nomura Int'l plc	China Mengniu European Warrants Jan 2015A	0.250	45.000	2015/01/30	50,000,000	2014/07/23
29069	Standard Chartered Bank	Anhui Conch Euro Wts Aug 2015 A	0.250	40.880	2015/08/31	100,000,000	2014/07/23
29070	Standard Chartered Bank	China Mobile Euro Wts Dec 2014 C	0.250	85.000	2014/12/24	80,000,000	2014/07/23
29072	Standard Chartered Bank	China Mobile Eur Put Wt Feb 2015 A	0.250	74.000	2015/02/04	80,000,000	2014/07/23
29073	Standard Chartered Bank	CNBM European Put Warrants Feb15 A	0.630	7 per unit	2015/02/04	30,000,000	2014/07/23
29075	Standard Chartered Bank	Yurun Food Euro Warrants Jun 2015A	0.340	4.48 per unit	2015/06/30	30,000,000	2014/07/23
29032	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Apr 2015 B	0.150	45.000	2015/04/01	100,000,000	2014/07/23
29064	UBS AG	PetroChina European Warrants Jan 2015 A	0.250	11.52 per unit	2015/01/22	50,000,000	2014/07/23
29065	UBS AG	SPDR Gold Trust European Warrants Jan 2015 A	0.290	1,088 per 100 units	2015/01/22	40,000,000	2014/07/23
29121	Credit Suisse AG	AIA European Put Warrants Feb 2015 A	0.250	37.580	2015/02/27	70,000,000	2014/07/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29110	Credit Suisse AG	China Life European Warrants Sep 2015 A	0.150	23.900	2015/09/29	80,000,000	2014/07/24
29120	Credit Suisse AG	China Mobile European Put Wts Jan 2015 B	0.250	74.880	2015/01/30	70,000,000	2014/07/24
29116	Credit Suisse AG	Sinopec Corp European Warrants Jan 2015 A	0.250	8.48 per unit	2015/01/30	70,000,000	2014/07/24
29112	Credit Suisse AG	HKEx European Warrants Nov 2014 A	0.250	185.000	2014/11/28	70,000,000	2014/07/24
29114	Credit Suisse AG	HKEx European Put Warrants Feb 2015 A	0.180	158 per 100 units	2015/02/27	70,000,000	2014/07/24
29106	Credit Suisse AG	PetroChina European Warrants Jan 2015 A	0.250	11.52 per unit	2015/01/30	70,000,000	2014/07/24
29118	Credit Suisse AG	Tencent Holdings European Wts Oct 2014 E	0.220	138.90 per 20 units	2014/10/31	100,000,000	2014/07/24
29119	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 H	0.250	146 per 20 units	2014/12/22	100,000,000	2014/07/24
29128	Citigroup Global Mkt H Inc.	Avichina European Wts Jan15A	0.400	5 per unit	2015/01/26	50,000,000	2014/07/24
29127	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Dec 2014 A	0.195	118.000	2014/12/01	60,000,000	2014/07/24
29122	Goldman Sachs SP (Asia)	AIA European Put Wts Apr 2015 A	0.252	37.500	2015/04/30	80,000,000	2014/07/24
29123	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2014 D	0.151	22,500 per 6,800 units	2014/12/30	150,000,000	2014/07/24
29125	Goldman Sachs SP (Asia)	HSI European Put Wts Jan 2015 A	0.250	23,100 per 6,000 units	2015/01/29	150,000,000	2014/07/24
29126	Goldman Sachs SP (Asia)	HSI European Put Wts Jan 2015 B	0.282	24,000 per 6,000 units	2015/01/29	150,000,000	2014/07/24
29092	J P Morgan SP BV	CNBM European Warrants Feb 2015 A	0.306	9 per unit	2015/02/03	60,000,000	2014/07/24
29101	J P Morgan SP BV	Cathay Pacific European Warrants Oct 15 A	0.251	16.880	2015/10/02	70,000,000	2014/07/24
29085	J P Morgan SP BV	HSI European Warrants Jan 2015 A	0.251	23,200 per 8,000 units	2015/01/29	500,000,000	2014/07/24
29087	J P Morgan SP BV	Huaneng Power European Wts Feb 2015 A	0.361	10.98 per unit	2015/02/03	60,000,000	2014/07/24
29088	J P Morgan SP BV	Ping An European Warrants Feb 2015 A	0.257	66.600	2015/02/03	200,000,000	2014/07/24
29099	J P Morgan SP BV	Weigao Group European Warrants Aug 2015 A	0.151	9.500	2015/08/03	150,000,000	2014/07/24
29097	J P Morgan SP BV	Sinopharm European Warrants Apr 2015 A	0.253	27.880	2015/04/01	80,000,000	2014/07/24
29078	Macquarie Bank Ltd.	Ch Oilfield European Wts Mar 2015 A	0.250	23.880	2015/03/17	40,000,000	2014/07/24
29080	Macquarie Bank Ltd.	China Unicom European Wts Feb 2015 A	0.500	15.08 per unit	2015/02/03	20,000,000	2014/07/24
29102	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Mar 2015 A	0.340	4.40 per unit	2015/03/04	40,000,000	2014/07/24
29104	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Feb15B	0.405	6.40 per unit	2015/02/04	25,000,000	2014/07/24
29176	J P Morgan SP BV	CITIC Pacific Euro Wts May 2015 A	0.150	16.880	2015/05/05	150,000,000	2014/07/25
29139	J P Morgan SP BV	Ch Overseas European Warrants Aug 2015 A	0.250	23.880	2015/08/03	200,000,000	2014/07/25
29142	J P Morgan SP BV	China Railway European Wts Feb 2015 A	0.251	4.88 per unit	2015/02/02	40,000,000	2014/07/25
29172	J P Morgan SP BV	Chinaces Cement Euro Warrants Feb 2015 A	0.252	6.28 per unit	2015/02/03	40,000,000	2014/07/25
29143	J P Morgan SP BV	Henderson Land Dev European Wt Mar 2015 A	0.252	52.880	2015/03/02	68,000,000	2014/07/25
29156	J P Morgan SP BV	HSI European Put Warrants Jan 2015 B	0.251	24,200 per 8,800 units	2015/01/29	600,000,000	2014/07/25
29171	J P Morgan SP BV	PICC Group European Warrants Jun 2015 A	0.252	3.68 per unit	2015/06/02	50,000,000	2014/07/25
29173	J P Morgan SP BV	Shunfeng Pv European Warrants Feb 2015 A	0.251	10.280	2015/02/27	100,000,000	2014/07/25
29138	J P Morgan SP BV	SHK Ppt European Warrants Feb 2015 A	0.251	122.000	2015/02/02	68,000,000	2014/07/25
29145	J P Morgan SP BV	Wharf European Warrants Feb 2015 A	0.250	66.880	2015/02/02	100,000,000	2014/07/25
29129	Macquarie Bank Ltd.	CM Bank European Warrants Dec 2014 A	0.174	18 per unit	2014/12/16	60,000,000	2014/07/25
29136	Macquarie Bank Ltd.	Chinaces Cement European Wt Feb 2015 A	0.335	6.98 per unit	2015/02/17	30,000,000	2014/07/25
29133	Macquarie Bank Ltd.	HSBC European Warrants Jan 2015 A	0.150	88.000	2015/01/08	70,000,000	2014/07/25
29147	Nomura Int'l plc	Geely Auto European Warrants Feb 2015 A	0.270	3.50 per unit	2015/02/27	50,000,000	2014/07/25
29154	Nomura Int'l plc	Haier Elec European Warrants Mar 2015 A	0.265	25.000	2015/03/30	50,000,000	2014/07/25
29153	Nomura Int'l plc	Sinopharm European Warrants Mar 2015 A	0.305	26.000	2015/03/30	50,000,000	2014/07/25
29148	Nomura Int'l plc	ZTE European Warrants Jan 2015 A	0.150	18.900	2015/01/26	80,000,000	2014/07/25
29161	Standard Chartered Bank	AIA European Warrants Mar 2015 A	0.150	44.980	2015/03/30	80,000,000	2014/07/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29168	Standard Chartered Bank	Brilliance Chi Euro Wts Mar 2015 A	0.160	16.620	2015/03/26	100,000,000	2014/07/25
29167	Standard Chartered Bank	CITIC Pacific Euro Put Wt Oct15A	0.250	13.000	2015/10/06	80,000,000	2014/07/25
29162	Standard Chartered Bank	China Unicom Euro Wts Feb 2015 A	0.270	15.50 per unit	2015/02/03	50,000,000	2014/07/25
29166	Standard Chartered Bank	Sands China Euro Wts Dec 2014 A	0.170	66.050	2014/12/24	80,000,000	2014/07/25
29182	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Dec 2016 A	0.250	27.000	2016/12/02	100,000,000	2014/07/28
29185	BNP Paribas Arbit Issu B.V.	Esprit European Wts Sep 2016 A	0.250	14.280	2016/09/02	55,000,000	2014/07/28
29194	Credit Suisse AG	CITIC Pacific European Wts May 2015 A	0.150	16.900	2015/05/04	70,000,000	2014/07/28
29197	Credit Suisse AG	Macau Legend European Warrants Jan 2016 A	0.150	7.010	2016/01/29	70,000,000	2014/07/28
29181	HK Bank	PetroChina European Warrants Jan 2015 A	0.250	11.18 per unit	2015/01/30	60,000,000	2014/07/28
29180	HK Bank	Ping An European Warrants Nov 2014 B	0.150	63.830	2014/11/28	80,000,000	2014/07/28
29192	J P Morgan SP BV	Haier Elec European Warrants Jun 2015 A	0.256	24.880	2015/06/02	100,000,000	2014/07/28
29191	J P Morgan SP BV	Sunny Optical European Warrants Jun 2015A	0.254	12.280	2015/06/02	100,000,000	2014/07/28
29177	Macquarie Bank Ltd.	Cheung Kong European Wts Dec 2014 A	0.150	158.880	2014/12/02	70,000,000	2014/07/28
29178	Macquarie Bank Ltd.	Cheung Kong Euro Put Wts Feb 2015 A	0.250	119.000	2015/02/05	40,000,000	2014/07/28
29186	Macquarie Bank Ltd.	China Mobile European Wts Mar 2015 A	0.250	95.000	2015/03/03	40,000,000	2014/07/28
29190	UBS AG	BOCL European Warrants Mar 2015 A	0.150	3.81 per unit	2015/03/24	100,000,000	2014/07/28
29224	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Feb 2015 A	0.150	8.88 per unit	2015/02/03	100,000,000	2014/07/29
29230	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Feb 2015 A	0.250	78.000	2015/02/03	100,000,000	2014/07/29
29213	BNP Paribas Arbit Issu B.V.	HSBC European Wts Feb 2015 A	0.220	84.000	2015/02/03	100,000,000	2014/07/29
29214	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Feb 2015 A	0.150	75.000	2015/02/03	100,000,000	2014/07/29
29274	Credit Suisse AG	Anton Oilfield European Warrants Jan 15 A	0.350	5.68 per unit	2015/01/30	70,000,000	2014/07/29
29272	Credit Suisse AG	China Life European Put Warrants Mar 15 A	0.150	19.480	2015/03/30	70,000,000	2014/07/29
29258	Credit Suisse AG	HSBC European Wts Jan 2015 A	0.200	84.000	2015/01/26	70,000,000	2014/07/29
29250	Credit Suisse AG	HSI European Warrants Mar 2015 A	0.200	24,800 per 6,000 units	2015/03/30	150,000,000	2014/07/29
29251	Credit Suisse AG	HSI European Put Warrants Dec 2014 C	0.150	23,600 per 8,800 units	2014/12/30	150,000,000	2014/07/29
29255	Credit Suisse AG	HSI European Put Warrants Dec 2014 D	0.160	23,800 per 8,000 units	2014/12/30	150,000,000	2014/07/29
29273	Credit Suisse AG	Kingsoft European Put Warrants Jan 2015 A	0.250	20.080	2015/01/30	50,000,000	2014/07/29
29260	Credit Suisse AG	Ping An European Put Warrants Dec 2014 B	0.260	56.850	2014/12/24	70,000,000	2014/07/29
29248	Citigroup Global Mkt H Inc.	CC Bank European Wt Jan 2015 A	0.250	6.18 per unit	2015/01/28	100,000,000	2014/07/29
29301	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Feb 2015 A	0.200	92.000	2015/02/23	100,000,000	2014/07/29
29244	Citigroup Global Mkt H Inc.	ICBC European Wts Dec 2014 A	0.200	5.28 per unit	2014/12/24	100,000,000	2014/07/29
29302	Citigroup Global Mkt H Inc.	Tencent European Wts Nov 2014 C	0.160	157 per 20 units	2014/11/25	100,000,000	2014/07/29
29205	HK Bank	CITIC Pacific European Warrants Dec 2015 B	0.160	16.900	2015/12/28	80,000,000	2014/07/29
29206	HK Bank	Ch Ship Cont European Warrants Feb 2015 A	0.250	2.50 per unit	2015/02/27	60,000,000	2014/07/29
29207	HK Bank	Datang Power European Warrants Jan 2015 A	0.250	4.58 per unit	2015/01/30	60,000,000	2014/07/29
29202	HK Bank	Lenovo European Warrants Jan 2015 A	0.350	12.58 per unit	2015/01/30	80,000,000	2014/07/29
29203	HK Bank	Link REIT European Warrants Jul 2015 A	0.250	47.680	2015/07/31	60,000,000	2014/07/29
29303	J P Morgan SP BV	Cheung Kong European Warrants Jan 2015 A	0.156	162.500	2015/01/02	80,000,000	2014/07/29
29316	J P Morgan SP BV	A50 China European Warrants Dec 2014 C	0.155	10.18 per unit	2014/12/12	200,000,000	2014/07/29
29319	J P Morgan SP BV	HKEx European Warrants Dec 2014 C	0.158	185.000	2014/12/01	80,000,000	2014/07/29
29315	J P Morgan SP BV	HSI European Warrants Jan 2015 C	0.150	24,400 per 6,000 units	2015/01/29	500,000,000	2014/07/29
29308	J P Morgan SP BV	HSI European Put Warrants Dec 2014 G	0.153	23,800 per 8,000 units	2014/12/30	500,000,000	2014/07/29
29249	Macquarie Bank Ltd.	CHALCO European Warrants Jan 2015 B	0.150	3.89 per unit	2015/01/05	70,000,000	2014/07/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29198	Macquarie Bank Ltd.	Tencent European Warrants Jan 2015 B	0.359	128.98 per 20 units	2015/01/05	40,000,000	2014/07/29
29231	Standard Chartered Bank	BYD European Warrants Mar 2015 A	0.250	60.000	2015/03/02	50,000,000	2014/07/29
29236	Standard Chartered Bank	Cheung Kong Euro Wts Feb 2015 B	0.250	158.000	2015/02/04	40,000,000	2014/07/29
29237	Standard Chartered Bank	China Life European Wts Dec 2015 A	0.250	21.380	2015/12/30	80,000,000	2014/07/29
29233	Standard Chartered Bank	MGM China European Wts Apr 2015 A	0.250	30.880	2015/04/08	60,000,000	2014/07/29
29232	Standard Chartered Bank	ZTE European Warrants Jul 2015 A	0.250	16.880	2015/07/13	80,000,000	2014/07/29
29210	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Jun15A	0.170	20.000	2015/06/03	100,000,000	2014/07/29
29209	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Dec 2016 A	0.150	8.000	2016/12/05	150,000,000	2014/07/29
29211	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jan 2016A	0.150	6.54 per 8 units	2016/01/06	500,000,000	2014/07/29
29212	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Apr15A	0.205	1.90 per unit	2015/04/08	80,000,000	2014/07/29
29293	UBS AG	AIA European Warrants Feb 2015 A	0.190	42.730	2015/02/09	100,000,000	2014/07/29
29300	UBS AG	China Mobile European Warrants Feb 2015 B	0.220	92.050	2015/02/23	100,000,000	2014/07/29
29276	UBS AG	HKEx European Warrants Jan 2015 A	0.570	178.980	2015/01/23	40,000,000	2014/07/29
29281	UBS AG	HSBC European Put Warrants Jan 2015 A	0.210	73.950	2015/01/05	50,000,000	2014/07/29
29277	UBS AG	HSI European Warrants Jan 2015 A	0.200	24,500 per 6,000 units	2015/01/29	300,000,000	2014/07/29
29280	UBS AG	HSI European Put Warrants Dec 2014 G	0.150	23,500 per 8,800 units	2014/12/30	300,000,000	2014/07/29
29279	UBS AG	HSI European Put Warrants Jan 2015 B	0.250	24,600 per 8,800 units	2015/01/29	300,000,000	2014/07/29
15759*	Goldman Sachs SP (Asia)	HSI European Put Wts Oct 2014 D	0.054	23,000 per 8,000 units	2014/10/30	190,000,000	2014/07/29
29329	BOCI Asia Ltd.	Anhui Conch European Warrants Feb 2015 A	0.230	32.000	2015/02/09	100,000,000	2014/07/30
29327	BOCI Asia Ltd.	China Mobile European Put Wts Feb 2015 B	0.200	75.000	2015/02/02	100,000,000	2014/07/30
29326	BOCI Asia Ltd.	Li & Fung European Warrants Feb 2015 A	0.440	11.88 per unit	2015/02/02	100,000,000	2014/07/30
29328	BOCI Asia Ltd.	Tencent Holdings European Put Wts Apr 2015 A	0.150	120 per 100 units	2015/04/30	100,000,000	2014/07/30
29331	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Dec 2015 B	0.250	110.000	2015/12/02	100,000,000	2014/07/30
29333	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2015 A	0.250	95.000	2015/12/02	100,000,000	2014/07/30
29370	Credit Suisse AG	China Cinda European Warrants Jan 2015 A	0.250	5.28 per unit	2015/01/29	70,000,000	2014/07/30
29369	Credit Suisse AG	Hutchison European Warrants Nov 2014 C	0.260	110.900	2014/11/28	80,000,000	2014/07/30
29359	Daiwa Capital Mkt	AIA European Put Warrants Apr 2015 A	0.150	35.980	2015/04/23	80,000,000	2014/07/30
29357	Daiwa Capital Mkt	China Cinda European Warrants Mar 2015 A	0.250	5.48 per unit	2015/03/02	68,000,000	2014/07/30
29376	Goldman Sachs SP (Asia)	CHALCO European Wts Jun 2015 A	0.360	4.10 per unit	2015/06/30	50,000,000	2014/07/30
29374	Goldman Sachs SP (Asia)	CC Bank European Wts Jan 2015 A	0.246	6.29 per unit	2015/01/29	80,000,000	2014/07/30
29381	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Feb 2015 A	0.361	8 per unit	2015/02/03	80,000,000	2014/07/30
29375	Goldman Sachs SP (Asia)	Hutchison Euro Put Wts Feb 2015 A	0.385	94.000	2015/02/03	80,000,000	2014/07/30
29380	Goldman Sachs SP (Asia)	Ping An Euro Put Wts Feb 2015 A	0.294	58.000	2015/02/03	80,000,000	2014/07/30
29377	Goldman Sachs SP (Asia)	Sh Electric European Wts Jun 2015A	0.349	3.88 per unit	2015/06/30	50,000,000	2014/07/30
29352	HK Bank	Agricultural Bank European Warrants Jul 2015 A	0.180	4.01 per unit	2015/07/27	80,000,000	2014/07/30
29349	HK Bank	AIA European Warrants Mar 2015 A	0.160	45.050	2015/03/31	80,000,000	2014/07/30
29350	HK Bank	AIA European Put Warrants Jan 2015 A	0.250	39.000	2015/01/30	80,000,000	2014/07/30
29351	HK Bank	HKEx European Warrants Nov 2014 A	0.320	185.100	2014/11/25	80,000,000	2014/07/30
29345	HK Bank	HSI European Warrants Jun 2015 A	0.250	25,288 per 7,000 units	2015/06/29	200,000,000	2014/07/30
29340	HK Bank	HSI European Put Warrants Dec 2014 I	0.150	23,688 per 8,000 units	2014/12/30	200,000,000	2014/07/30
29362	J P Morgan SP BV	Brilliance Chi European Wts Apr 2015 A	0.152	16.600	2015/04/01	100,000,000	2014/07/30
29323	J P Morgan SP BV	China Mob European Wts Nov 2014 A	0.151	88.330	2014/11/24	200,000,000	2014/07/30
29390	J P Morgan SP BV	China Mob European Wts Feb 2015 D	0.250	93.880	2015/02/03	200,000,000	2014/07/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29389	J P Morgan SP BV	Ch Overseas European Warrants Aug 2015 B	0.250	26.000	2015/08/03	200,000,000	2014/07/30
29364	J P Morgan SP BV	CPIC European Warrants Apr 2015 B	0.251	35.000	2015/04/02	100,000,000	2014/07/30
29366	J P Morgan SP BV	Chi Res Power European Wts Jul 2015 A	0.251	26.000	2015/07/31	100,000,000	2014/07/30
29365	J P Morgan SP BV	China Tel European Warrants Feb 2015 A	0.255	4.80 per unit	2015/02/03	120,000,000	2014/07/30
29391	J P Morgan SP BV	HSI European Warrants Jan 2015 E	0.250	24,800 per 6,600 units	2015/01/29	500,000,000	2014/07/30
29324	J P Morgan SP BV	HSI European Put Warrants Jan 2015 D	0.251	24,600 per 8,000 units	2015/01/29	500,000,000	2014/07/30
29368	J P Morgan SP BV	Zijin Mining European Warrants Aug 2015 A	0.159	2.30 per unit	2015/08/03	70,000,000	2014/07/30
29373	Macquarie Bank Ltd.	CPIC European Warrants Jul 2015 A	0.250	33.880	2015/07/03	40,000,000	2014/07/30
29321	Macquarie Bank Ltd.	Yanzhou Coal European Wts Feb 2015 A	0.250	7.50 per unit	2015/02/03	40,000,000	2014/07/30
29355	Standard Chartered Bank	CC Bank European Wts Jan 2015 A	0.150	6.38 per unit	2015/01/28	80,000,000	2014/07/30
29354	Standard Chartered Bank	CNOOC European Warrants Aug 2015 A	0.300	18.88 per unit	2015/08/10	50,000,000	2014/07/30
29356	Standard Chartered Bank	China Overseas Euro Wt Jun 2015 A	0.250	23.000	2015/06/05	80,000,000	2014/07/30
29372	Standard Chartered Bank	Ch Ship Cont Euro Wts Oct 2015 A	0.410	2.61 per unit	2015/10/27	50,000,000	2014/07/30
29353	Standard Chartered Bank	Hutchison Euro Put Wt Jun 2015 A	0.660	93.880	2015/06/01	80,000,000	2014/07/30
29334	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 2015 C	0.150	24,500 per 6,000 units	2015/01/29	150,000,000	2014/07/30
29339	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2015 A	0.165	25,000 per 6,000 units	2015/06/29	150,000,000	2014/07/30
29371	UBS AG	China Mobile European Put Warrants Jan 2015 B	0.320	78.880	2015/01/29	100,000,000	2014/07/30
29431	BOCI Asia Ltd.	CNOOC European Warrants Aug 2016 A	0.150	16.500	2016/08/01	100,000,000	2014/07/31
29430	BOCI Asia Ltd.	A50 China European Warrants Aug 2016 A	0.150	8.490	2016/08/01	100,000,000	2014/07/31
29429	BOCI Asia Ltd.	HSBC European Put Warrants Jan 2015 A	0.180	74.000	2015/01/05	100,000,000	2014/07/31
29427	BOCI Asia Ltd.	HSI European Warrants Dec 2014 C	0.170	24,200 per 6,000 units	2014/12/30	100,000,000	2014/07/31
29426	BOCI Asia Ltd.	HSI European Put Warrants Dec 2014 B	0.180	23,600 per 6,000 units	2014/12/30	100,000,000	2014/07/31
29425	BOCI Asia Ltd.	HSI European Put Warrants Jan 2015 A	0.150	23,000 per 6,500 units	2015/01/29	100,000,000	2014/07/31
29428	BOCI Asia Ltd.	Tracker Fund European Warrants Apr 2016 B	0.250	25.500	2016/04/18	100,000,000	2014/07/31
29452	BNP Paribas Arbit Issu B.V.	AIA European Wts Dec 2015 A	0.250	52.000	2015/12/02	100,000,000	2014/07/31
29488	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Feb 2015 A	0.250	6.28 per unit	2015/02/03	100,000,000	2014/07/31
29487	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wt Feb 2015 A	0.310	8.38 per unit	2015/02/03	66,000,000	2014/07/31
29451	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Feb 2015 B	0.170	74.000	2015/02/03	100,000,000	2014/07/31
29490	BNP Paribas Arbit Issu B.V.	HKEx European Wts Nov 2015 A	0.160	170.90 per 100 units	2015/11/03	100,000,000	2014/07/31
29491	BNP Paribas Arbit Issu B.V.	HKEx Euro Put Wts Mar 2015 A	0.200	163.90 per 100 units	2015/03/03	100,000,000	2014/07/31
29450	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jan 2015 A	0.150	22,800 per 6,000 units	2015/01/29	100,000,000	2014/07/31
29489	BNP Paribas Arbit Issu B.V.	ICBC European Wts Dec 2014 A	0.170	5.50 per unit	2014/12/02	100,000,000	2014/07/31
29438	Credit Suisse AG	Minsheng Bank European Wts Jan 2015 B	0.310	8.48 per unit	2015/01/30	70,000,000	2014/07/31
29439	Credit Suisse AG	China Mobile European Warrants Jan 2015 C	0.250	96.380	2015/01/30	80,000,000	2014/07/31
29442	Credit Suisse AG	China Mobile European Put Wts Jan 2015 D	0.350	79.690	2015/01/30	80,000,000	2014/07/31
29422	Credit Suisse AG	CSOP A50 ETF Euro Put Wts Oct 2014 A	0.150	7.99 per unit	2014/10/31	70,000,000	2014/07/31
29456	Credit Suisse	CSCL European Warrants Mar 2015 A	0.250	2.68 per unit	2015/03/30	60,000,000	2014/07/31
29421	Credit Suisse AG	A50 China European Put Wts Jan 2015 A	0.340	7.99 per unit	2015/01/29	70,000,000	2014/07/31
29417	Credit Suisse AG	A50 China European Put Wts Aug 2016 B	0.180	9.270	2016/08/29	70,000,000	2014/07/31
29443	Credit Suisse AG	ICBC European Warrants Nov 2014 A	0.150	5.51 per unit	2014/11/28	80,000,000	2014/07/31
29433	Credit Suisse AG	PICC Group European Warrants Feb 2015 A	0.250	3.78 per unit	2015/02/26	70,000,000	2014/07/31
29455	Credit Suisse AG	Ping An European Warrants Jan 2015 A	0.250	75.200	2015/01/30	80,000,000	2014/07/31
29445	Daiwa Capital Mkt	China Mobile European Wts Feb 2015 A	0.250	96.880	2015/02/02	108,000,000	2014/07/31

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29492	Daiwa Capital Mkt	A50 China European Warrants Mar 2015 A	0.250	11.08 per unit	2015/03/02	128,000,000	2014/07/31
29446	Daiwa Capital Mkt	HKEx European Warrants Jan 2015 B	0.300	200.000	2015/01/08	98,000,000	2014/07/31
29444	Daiwa Capital Mkt	ICBC European Warrants Mar 2015 A	0.250	5.80 per unit	2015/03/02	128,000,000	2014/07/31
29493	Daiwa Capital Mkt	Ping An European Put Warrants Feb 2015 A	0.360	60.800	2015/02/02	80,000,000	2014/07/31
29513	Bank of East Asia	China Agri European Warrants Jul 2015 A	0.250	4.40 per unit	2015/07/02	40,000,000	2014/07/31
29516	Bank of East Asia	Ch Mobile European Put Warrants Oct 15 A	0.250	70.000	2015/10/09	40,000,000	2014/07/31
29515	Bank of East Asia	Tencent Holdings European Put Wts May15A	0.150	120 per 100 units	2015/05/06	70,000,000	2014/07/31
29405	HK Bank	China Life European Warrants Sep 2015 A	0.150	22.930	2015/09/30	80,000,000	2014/07/31
29392	HK Bank	China Mobile European Warrants Nov 2014 B	0.200	86.930	2014/11/28	80,000,000	2014/07/31
29400	HK Bank	CPIC European Warrants Oct 2015 A	0.250	34.000	2015/10/30	60,000,000	2014/07/31
29404	HK Bank	HSCEI European Warrants Jul 2015 A	0.250	12,000 per 4,000 units	2015/07/30	200,000,000	2014/07/31
29399	HK Bank	HWL European Warrants Jan 2015 A	0.300	112.880	2015/01/30	60,000,000	2014/07/31
29508	J P Morgan SP BV	China Life European Warrants Oct 2015 A	0.157	23.880	2015/10/02	200,000,000	2014/07/31
29503	J P Morgan SP BV	China Life European Put Wts Mar 2015 A	0.251	22.880	2015/03/03	200,000,000	2014/07/31
29504	J P Morgan SP BV	HKEx European Put Wts Mar 2015 A	0.152	158 per 100 units	2015/03/02	200,000,000	2014/07/31
29501	J P Morgan SP BV	ICBC European Warrants Dec 2014 B	0.159	5.50 per unit	2014/12/01	200,000,000	2014/07/31
29511	J P Morgan SP BV	Ping An European Warrants Jan 2015 B	0.157	75.000	2015/01/30	150,000,000	2014/07/31
29506	J P Morgan SP BV	Ping An European Put Warrants Feb 2015 B	0.259	60.000	2015/02/03	150,000,000	2014/07/31
29453	Macquarie Bank Ltd.	Ch Southern Air Euro Wts Jul 2015 A	0.250	3.50 per unit	2015/07/03	40,000,000	2014/07/31
29454	Macquarie Bank Ltd.	Galaxy Ent European Put Wts Feb 2015 A	0.250	50.000	2015/02/03	40,000,000	2014/07/31
29479	Macquarie Bank Ltd.	Jiangxi Copper European Wts Apr 2015 A	0.150	17.358	2015/04/15	70,000,000	2014/07/31
29423	Standard Chartered Bank	CITIC Pacific Euro Wts May 2015 B	0.150	16.020	2015/05/15	80,000,000	2014/07/31
29448	Standard Chartered Bank	China Mobile Euro Wts Jan 2015 A	0.200	91.880	2015/01/22	80,000,000	2014/07/31
29449	Standard Chartered Bank	China Mobile Eur Put Wt Jan 2015 B	0.250	78.000	2015/01/30	80,000,000	2014/07/31
29408	Standard Chartered Bank	Foxconn European Wts Nov 2014 B	0.480	4.67 per unit	2014/11/26	40,000,000	2014/07/31
29406	Standard Chartered Bank	GCL-Poly Energy Eur Wts Feb 2015 A	0.200	3.39 per unit	2015/02/03	50,000,000	2014/07/31
29411	Standard Chartered Bank	HSCEI European Put Wts Feb 2015 A	0.250	11,000 per 4,000 units	2015/02/26	100,000,000	2014/07/31
29500	Standard Chartered Bank	HSI European Warrants Apr 2015 A	0.250	25,200 per 7,000 units	2015/04/29	100,000,000	2014/07/31
29485	Standard Chartered Bank	HSI European Put Wts Jan 2015 A	0.150	23,000 per 6,500 units	2015/01/29	100,000,000	2014/07/31
29410	Standard Chartered Bank	Tencent Hldg Euro Wts Oct 2015 A	0.190	128.98 per 100 units	2015/10/26	200,000,000	2014/07/31
29460	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 15 B	0.210	91.880	2015/02/04	70,000,000	2014/07/31
29471	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Feb 15 A	0.155	75.000	2015/02/04	100,000,000	2014/07/31
29498	UBS AG	China Life European Warrants Dec 2015 A	0.250	25.880	2015/12/28	100,000,000	2014/07/31
29472	UBS AG	A50 China European Warrants Dec 2014 B	0.200	10.20 per unit	2014/12/12	50,000,000	2014/07/31
29474	UBS AG	A50 China European Warrants Mar 2015 A	0.250	10.88 per unit	2015/03/30	50,000,000	2014/07/31
29478	UBS AG	Ping An European Warrants Jan 2015 A	0.200	75.050	2015/01/23	60,000,000	2014/07/31
29475	UBS AG	Ping An European Put Warrants Dec 2014 A	0.150	56.830	2014/12/24	100,000,000	2014/07/31
29532	BOCI Asia Ltd.	CC Bank European Warrants Feb 2015 A	0.230	6.38 per unit	2015/02/09	100,000,000	2014/08/01
29530	BOCI Asia Ltd.	China Cinda European Warrants Dec 2016 A	0.150	4.880	2016/12/29	100,000,000	2014/08/01
29535	BOCI Asia Ltd.	HKEx European Put Warrants Mar 2015 A	0.150	157.90 per 100 units	2015/03/02	100,000,000	2014/08/01
29534	BOCI Asia Ltd.	PetroChina European Warrants Feb 2015 A	0.260	12.68 per unit	2015/02/16	100,000,000	2014/08/01
29529	BOCI Asia Ltd.	Ping An European Warrants Jan 2015 A	0.230	70.000	2015/01/05	100,000,000	2014/08/01
29594	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Aug 2015 A	0.510	4.60 per unit	2015/08/04	27,000,000	2014/08/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29545	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Aug 2016 A	0.150	16.500	2016/08/02	100,000,000	2014/08/01
29599	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Aug 2016 A	0.150	8.890	2016/08/02	100,000,000	2014/08/01
29543	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Aug 2015 A	0.250	11.38 per unit	2015/08/04	100,000,000	2014/08/01
29544	BNP Paribas Arbit Issu B.V.	A50 China Euro Put Wt Sep 16 A	0.190	9.280	2016/09/02	100,000,000	2014/08/01
29597	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Feb 2015 A	0.250	28.000	2015/02/03	100,000,000	2014/08/01
29547	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Feb 2015 A	0.260	12.46 per unit	2015/02/03	100,000,000	2014/08/01
29569	Credit Suisse AG	China EB Int'l European Wts Jun 2015 A	0.150	13.820	2015/06/29	70,000,000	2014/08/01
29573	Credit Suisse AG	CSOP A50 ETF European Warrants Feb 2015 A	0.250	10.38 per unit	2015/02/02	80,000,000	2014/08/01
29572	Credit Suisse AG	A50 China European Warrants Feb 2015 A	0.250	10.38 per unit	2015/02/02	80,000,000	2014/08/01
29570	Credit Suisse AG	HSBC European Wts Jan 2015 B	0.160	88.000	2015/01/12	70,000,000	2014/08/01
29571	Credit Suisse AG	SHK Ppt European Warrants Dec 2014 B	0.240	115.000	2014/12/24	70,000,000	2014/08/01
29553	Daiwa Capital Mkt	China Life European Put Wts Apr 2015 A	0.250	21.800	2015/04/01	80,000,000	2014/08/01
29552	Daiwa Capital Mkt	China Mobile European Put Wts Feb 2015 B	0.390	79.800	2015/02/02	80,000,000	2014/08/01
29551	Daiwa Capital Mkt	Ch Overseas European Warrants Apr 2015 A	0.250	24.280	2015/04/08	80,000,000	2014/08/01
29549	Daiwa Capital Mkt	Hutchison European Warrants Feb 2015 A	0.250	126.880	2015/02/02	128,000,000	2014/08/01
29548	Daiwa Capital Mkt	ICBC European Put Warrants Feb 2015 A	0.310	4.98 per unit	2015/02/02	80,000,000	2014/08/01
29601	Goldman Sachs SP (Asia)	AIA European Warrants Nov 2015 A	0.250	50.000	2015/11/27	80,000,000	2014/08/01
29612	Goldman Sachs SP (Asia)	China Cinda Euro Wts Feb 2015 A	0.250	5.10 per unit	2015/02/04	80,000,000	2014/08/01
29526	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2015 A	0.251	25,500 per 6,000 units	2015/03/30	150,000,000	2014/08/01
29600	Goldman Sachs SP (Asia)	Ping An European Wts Jan 2015 A	0.225	75.000	2015/01/30	80,000,000	2014/08/01
29628	J P Morgan SP BV	Minsheng Bank European Wts Feb 2015 A	0.258	8.68 per unit	2015/02/03	80,000,000	2014/08/01
29634	J P Morgan SP BV	CSOP A50 ETF European Warrants Dec 2014 B	0.159	10 per unit	2014/12/02	100,000,000	2014/08/01
29637	J P Morgan SP BV	HKEx European Warrants Nov 2014 B	0.151	198.880	2014/11/03	80,000,000	2014/08/01
29627	J P Morgan SP BV	Lenovo European Warrants Aug 2016 A	0.251	11.500	2016/08/01	200,000,000	2014/08/01
29574	Macquarie Bank Ltd.	CITIC Sec European Warrants Mar 2015 A	0.250	21.880	2015/03/03	40,000,000	2014/08/01
29537	Macquarie Bank Ltd.	China Life European Wts Oct 2015 A	0.202	23.880	2015/10/05	50,000,000	2014/08/01
29538	Macquarie Bank Ltd.	China Mobile European Wts Apr 2015 A	0.250	102.500	2015/04/02	40,000,000	2014/08/01
29592	Macquarie Bank Ltd.	Stanchart European Warrants Jul 2015 B	0.150	170 per 100 units	2015/07/20	70,000,000	2014/08/01
29575	Macquarie Bank Ltd.	SUNAC European Warrants Feb 2015 A	0.258	7.28 per unit	2015/02/03	40,000,000	2014/08/01
29541	Standard Chartered Bank	HSBC European Warrants Apr 2015 A	0.250	82.880	2015/04/28	100,000,000	2014/08/01
29540	Standard Chartered Bank	HSBC European Warrants Nov 2015 A	0.250	88.880	2015/11/30	100,000,000	2014/08/01
29568	Standard Chartered Bank	HSI European Put Wts Jan 2015 B	0.150	24,200 per 8,800 units	2015/01/29	100,000,000	2014/08/01
29566	Standard Chartered Bank	NCI European Warrants Apr 2015 A	0.250	33.880	2015/04/08	50,000,000	2014/08/01
29567	Standard Chartered Bank	Ping An European Wts Dec 2014 B	0.250	68.900	2014/12/22	80,000,000	2014/08/01
29519	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Mar15A	0.255	10.60 per unit	2015/03/04	50,000,000	2014/08/01
29520	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Mar 2015A	0.250	10.50 per unit	2015/03/04	70,000,000	2014/08/01
29554	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jan 2015 A	0.150	86.880	2015/01/05	100,000,000	2014/08/01
29557	UBS AG	China Mobile European Warrants Jan 2015 C	0.270	88.350	2015/01/27	100,000,000	2014/08/01
29563	UBS AG	HSBC European Warrants Jan 2015 B	0.245	84.050	2015/01/23	100,000,000	2014/08/01
29558	UBS AG	Hutchison European Warrants Dec 2014 A	0.175	124.50 per 9 units	2014/12/24	100,000,000	2014/08/01
29672	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Dec15A	0.250	4.50 per unit	2015/12/02	100,000,000	2014/08/04
29670	BNP Paribas Arbit Issu B.V.	AIA European Put Wt Dec 2015 B	0.250	33.380	2015/12/02	100,000,000	2014/08/04
29761	BNP Paribas Arbit Issu B.V.	China Overseas Eu Wt Aug 2015A	0.250	26.000	2015/08/04	100,000,000	2014/08/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29768	BNP Paribas Arbit Issu B.V.	Chi Res Land Eu Wt Aug 2015 A	0.200	20.020	2015/08/04	100,000,000	2014/08/04
29778	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Mar 2015 A	0.250	3.80 per unit	2015/03/03	57,000,000	2014/08/04
29660	BNP Paribas Arbit Issu B.V.	Hutchison Eu Put Wt Mar 2015 A	0.250	86.500	2015/03/03	76,000,000	2014/08/04
29655	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Jun 2015 A	0.250	130.800	2015/06/02	58,000,000	2014/08/04
29658	BNP Paribas Arbit Issu B.V.	SHK Ppt Eu Put Wts Jun 2015 B	0.250	85.800	2015/06/02	58,000,000	2014/08/04
29762	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Sep 2018 A	0.250	6.680	2018/09/04	100,000,000	2014/08/04
29751	Credit Suisse AG	CSOP A50 ETF European Warrants Feb 2015 B	0.260	11.18 per unit	2015/02/27	80,000,000	2014/08/04
29750	Credit Suisse AG	A50 China European Warrants Feb 2015 B	0.260	11.19 per unit	2015/02/27	80,000,000	2014/08/04
29725	Credit Suisse AG	HKEx European Warrants Jan 2015 B	0.530	200.000	2015/01/12	80,000,000	2014/08/04
29726	Credit Suisse AG	HSBC European Wts Feb 2015 A	0.250	92.280	2015/02/27	80,000,000	2014/08/04
29736	Credit Suisse AG	HSBC European Put Warrants Feb 2015 B	0.550	79.880	2015/02/27	80,000,000	2014/08/04
29720	Credit Suisse AG	HSI European Warrants Mar 2015 B	0.250	25,200 per 7,000 units	2015/03/30	150,000,000	2014/08/04
29718	Credit Suisse AG	HSI European Put Warrants Jan 2015 A	0.170	24,200 per 8,800 units	2015/01/29	150,000,000	2014/08/04
29749	Credit Suisse AG	Kingsoft European Warrants Feb 2015 A	0.250	29.380	2015/02/06	80,000,000	2014/08/04
29676	Daiwa Capital Mkt	BYD European Warrants Jan 2015 B	0.350	58.880	2015/01/13	68,000,000	2014/08/04
29674	Daiwa Capital Mkt	Sinopec Corp European Wts Mar 2015 A	0.250	9.18 per unit	2015/03/02	80,000,000	2014/08/04
29756	Daiwa Capital Mkt	HKEx European Warrants Feb 2015 A	0.250	248.000	2015/02/11	128,000,000	2014/08/04
29681	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Feb 2015 A	0.367	10.50 per unit	2015/02/27	80,000,000	2014/08/04
29682	Goldman Sachs SP (Asia)	A50 China European Wts Feb 2015 B	0.360	10.50 per unit	2015/02/27	80,000,000	2014/08/04
29760	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2015 B	0.886	200.000	2015/06/29	80,000,000	2014/08/04
29758	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2015 A	0.250	92.000	2015/06/29	80,000,000	2014/08/04
29684	Goldman Sachs SP (Asia)	PetroChina European Wts Mar 2015 A	0.250	12.88 per unit	2015/03/30	80,000,000	2014/08/04
29650	HK Bank	Sinopec Corp European Warrants Feb 2015 A	0.250	8.78 per unit	2015/02/09	60,000,000	2014/08/04
29649	HK Bank	CSOP A50 ETF European Warrants Feb 2015 A	0.380	9.88 per unit	2015/02/09	60,000,000	2014/08/04
29648	HK Bank	A50 China European Warrants Feb 2015 A	0.270	10.38 per unit	2015/02/09	60,000,000	2014/08/04
29641	HK Bank	HSI European Put Warrants Jan 2015 A	0.200	24,088 per 8,800 units	2015/01/29	200,000,000	2014/08/04
29653	HK Bank	Ping An European Warrants Jan 2015 A	0.240	75.050	2015/01/23	80,000,000	2014/08/04
29786	J P Morgan SP BV	CSOP A50 ETF European Warrants Mar 2015 A	0.251	10.88 per unit	2015/03/03	100,000,000	2014/08/04
29787	J P Morgan SP BV	A50 China European Warrants Mar 2015 A	0.253	10.88 per unit	2015/03/03	100,000,000	2014/08/04
29782	J P Morgan SP BV	HSBC European Warrants Apr 2015 A	0.251	92.880	2015/04/02	200,000,000	2014/08/04
29789	J P Morgan SP BV	Tencent European Warrants Dec 2014 F	0.334	146 per 20 units	2014/12/22	200,000,000	2014/08/04
29788	J P Morgan SP BV	Tencent European Warrants Jan 2015 E	0.242	155.40 per 20 units	2015/01/02	200,000,000	2014/08/04
29679	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Mar 2015 A	0.250	12 per unit	2015/03/03	40,000,000	2014/08/04
29757	Macquarie Bank Ltd.	A50 China European Warrants Mar 2015 A	0.250	12.50 per unit	2015/03/03	40,000,000	2014/08/04
29680	Standard Chartered Bank	HKEx European Warrants Nov 2014 B	0.450	190.100	2014/11/24	100,000,000	2014/08/04
29703	SGA Societe Generale Acceptance N.V.	CCB Eur Wt May 2015 A	0.250	6.80 per unit	2015/05/06	80,000,000	2014/08/04
29705	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Mar15 A	0.415	8.80 per unit	2015/03/04	40,000,000	2014/08/04
29706	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt May15 A	0.320	9.68 per unit	2015/05/06	40,000,000	2014/08/04
29654	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Mar15A	0.155	163.88 per 100 units	2015/03/04	300,000,000	2014/08/04
29709	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2015 A	0.250	88.000	2015/04/08	100,000,000	2014/08/04
29708	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan 15 D	0.180	24,000 per 6,000 units	2015/01/29	150,000,000	2014/08/04
29710	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2015 A	0.250	128.800	2015/04/08	50,000,000	2014/08/04
29711	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Jan 2016 A	0.200	13.000	2016/01/06	100,000,000	2014/08/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29714	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Dec 14 D	0.320	146 per 20 units	2014/12/31	150,000,000	2014/08/04
29697	UBS AG	CC Bank European Warrants Feb 2015 A	0.250	6.88 per unit	2015/02/09	100,000,000	2014/08/04
29696	UBS AG	China Cinda European Warrants Feb 2015 A	0.250	5.68 per unit	2015/02/09	100,000,000	2014/08/04
29702	UBS AG	HKEx European Warrants Nov 2014 A	0.300	198.980	2014/11/04	80,000,000	2014/08/04
29701	UBS AG	HKEx European Warrants Feb 2015 A	0.500	208.880	2015/02/09	80,000,000	2014/08/04
29890	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Mar 2015 A	0.290	11 per unit	2015/03/23	100,000,000	2014/08/05
29889	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Aug 2016 A	0.160	8.880	2016/08/01	100,000,000	2014/08/05
29883	BOCI Asia Ltd.	A50 China European Warrants Mar 2015 A	0.250	10.88 per unit	2015/03/02	100,000,000	2014/08/05
29887	BOCI Asia Ltd.	A50 China European Put Warrants Aug 2016 B	0.150	9.280	2016/08/29	100,000,000	2014/08/05
29844	BNP Paribas Arbit Issu B.V.	HKEx European Wts Mar 2015 B	0.250	242.800	2015/03/03	78,000,000	2014/08/05
29897	Credit Suisse AG	CNOOC European Warrants Jul 2016 A	0.150	16.520	2016/07/29	70,000,000	2014/08/05
29896	Credit Suisse AG	Ping An European Warrants Dec 2014 C	0.150	79.000	2014/12/22	80,000,000	2014/08/05
29899	Credit Suisse AG	Sinotrans European Warrants Feb 2015 A	0.850	6.08 per unit	2015/02/09	50,000,000	2014/08/05
29904	Credit Suisse AG	Tencent Holdings Euro Put Wts Aug 2015 A	0.250	133.80 per 100 units	2015/08/31	80,000,000	2014/08/05
29942	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Feb 2015 A	0.250	10.88 per unit	2015/02/04	100,000,000	2014/08/05
29949	Citigroup Global Mkt H Inc.	A50 China Euro Wts Feb 2015 A	0.250	10.88 per unit	2015/02/04	100,000,000	2014/08/05
29938	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2015 C	0.250	178 per 100 units	2015/09/07	80,000,000	2014/08/05
29925	Daiwa Capital Mkt	HSBC European Warrants Mar 2015 A	0.250	93.880	2015/03/02	108,000,000	2014/08/05
29927	Goldman Sachs SP (Asia)	BOCL European Warrants Sep 2016 B	0.250	4.16 per unit	2016/09/30	80,000,000	2014/08/05
29926	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2015 B	0.253	26,000 per 6,000 units	2015/03/30	150,000,000	2014/08/05
29805	HK Bank	BOCL European Warrants Jul 2015 A	0.250	4.08 per unit	2015/07/31	60,000,000	2014/08/05
29814	HK Bank	CITIC Bank European Warrants Feb 2015 A	0.310	5.70 per unit	2015/02/27	50,000,000	2014/08/05
29806	HK Bank	Cheung Kong European Warrants Feb 2015 A	0.290	165.000	2015/02/09	80,000,000	2014/08/05
29802	HK Bank	Minsheng Bank European Warrants Feb 2015 A	0.290	8.78 per unit	2015/02/09	50,000,000	2014/08/05
29813	HK Bank	Haitong Sec European Warrants Aug 2015 A	0.180	15.820	2015/08/11	80,000,000	2014/08/05
29934	J P Morgan SP BV	AIA European Warrants May 2015 A	0.251	47.280	2015/05/04	200,000,000	2014/08/05
29862	J P Morgan SP BV	BankComm European Warrants Mar 2015 A	0.253	6.60 per unit	2015/03/03	40,000,000	2014/08/05
29928	J P Morgan SP BV	BYD European Warrants Mar 2015 A	0.372	63.880	2015/03/03	100,000,000	2014/08/05
29861	J P Morgan SP BV	China Coal European Warrants Mar 2015 A	0.250	5.50 per unit	2015/03/03	50,000,000	2014/08/05
29930	J P Morgan SP BV	Cheung Kong European Warrants Mar 2015 A	0.256	172.000	2015/03/03	80,000,000	2014/08/05
29932	J P Morgan SP BV	China Life European Warrants Dec 2015 A	0.260	25.880	2015/12/03	200,000,000	2014/08/05
29864	J P Morgan SP BV	Chi Res Land European Warrants Aug 2015 A	0.253	20.000	2015/08/03	150,000,000	2014/08/05
29859	J P Morgan SP BV	Chow Tai Fook European Wts Dec 2015 A	0.251	12.280	2015/12/02	100,000,000	2014/08/05
29933	J P Morgan SP BV	HKEx European Warrants Dec 2015 A	0.256	188 per 100 units	2015/12/03	300,000,000	2014/08/05
29936	J P Morgan SP BV	HSI European Warrants Feb 2015 A	0.250	25,800 per 6,800 units	2015/02/26	500,000,000	2014/08/05
29937	J P Morgan SP BV	HSI European Warrants Feb 2015 B	0.250	25,400 per 7,400 units	2015/02/26	500,000,000	2014/08/05
29931	J P Morgan SP BV	Hutchison European Warrants Mar 2015 A	0.251	120.000	2015/03/03	80,000,000	2014/08/05
29858	J P Morgan SP BV	JX Copper European Warrants Apr 2015 A	0.152	17.350	2015/04/22	100,000,000	2014/08/05
29860	J P Morgan SP BV	New World Dev European Wts May 2015 A	0.310	11 per unit	2015/05/04	50,000,000	2014/08/05
29929	J P Morgan SP BV	PetroChina European Warrants Mar 2015 A	0.254	12 per unit	2015/03/03	100,000,000	2014/08/05
29866	J P Morgan SP BV	Shimao Property European Wts Aug 2015 A	0.255	20.000	2015/08/03	150,000,000	2014/08/05
29874	Macquarie Bank Ltd.	China Life European Wts Jan 2016 A	0.250	26.280	2016/01/05	50,000,000	2014/08/05
29879	Macquarie Bank Ltd.	Kingsoft European Warrants Mar 2015 A	0.286	29.980	2015/03/03	35,000,000	2014/08/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29815	Macquarie Bank Ltd.	Luk Fook Hold European Wts May 2015 A	0.250	28.880	2015/05/29	40,000,000	2014/08/05
29867	Macquarie Bank Ltd.	Sh Electric European Wts Feb 2015 B	0.250	4.20 per unit	2015/02/27	40,000,000	2014/08/05
29870	Macquarie Bank Ltd.	Tencent European Warrants Nov 2014 D	0.200	157.50 per 20 units	2014/11/05	50,000,000	2014/08/05
29816	Standard Chartered Bank	BankComm European Warrants Mar15 A	0.250	6.80 per unit	2015/03/30	50,000,000	2014/08/05
29820	Standard Chartered Bank	CC Bank European Wts Mar 2015 A	0.250	6.68 per unit	2015/03/02	60,000,000	2014/08/05
29819	Standard Chartered Bank	China Life European Wts Sep 2015 A	0.170	23.920	2015/09/29	100,000,000	2014/08/05
29801	Standard Chartered Bank	Chi Res Land European Wts Jun15A	0.250	18.880	2015/06/01	100,000,000	2014/08/05
29822	Standard Chartered Bank	A50 China Euro Warrants Nov 2014 D	0.150	11.50 per unit	2014/11/28	80,000,000	2014/08/05
29798	Standard Chartered Bank	HKEx European Warrants Jun 2015 B	0.375	228.880	2015/06/05	100,000,000	2014/08/05
29848	Standard Chartered Bank	HSCEI European Warrants Jun 2015 A	0.150	12,600 per 4,000 units	2015/06/29	100,000,000	2014/08/05
29857	Standard Chartered Bank	Ping An European Wts Dec 2014 C	0.150	79.000	2014/12/30	80,000,000	2014/08/05
29826	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Apr15A	0.200	35.880	2015/04/08	50,000,000	2014/08/05
29840	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Nov15A	0.170	33.880	2015/11/04	100,000,000	2014/08/05
29918	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Mar 2015 A	0.340	65.000	2015/03/04	30,000,000	2014/08/05
29841	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Apr15A	0.250	11.60 per unit	2015/04/08	50,000,000	2014/08/05
29843	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Apr 2015A	0.250	11.50 per unit	2015/04/08	70,000,000	2014/08/05
29919	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Apr15A	0.250	40.000	2015/04/08	50,000,000	2014/08/05
29827	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Feb 2015 C	0.410	218.000	2015/02/04	30,000,000	2014/08/05
29828	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jan 2015 B	0.150	87.880	2015/01/05	100,000,000	2014/08/05
29920	SGA Societe Generale Acceptance N.V	Haitong Sec Eu Wt Aug15A	0.155	15.800	2015/08/12	100,000,000	2014/08/05
29830	SGA Societe Generale Acceptance N.V.	MGM China Eu Wt Jun15A	0.250	32.800	2015/06/03	50,000,000	2014/08/05
29831	SGA Societe Generale Acceptance N.V.	PICC Eu Wts Jun 2015A	0.250	3.88 per unit	2015/06/03	40,000,000	2014/08/05
29923	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Apr 2015A	0.250	125.000	2015/04/08	40,000,000	2014/08/05
29838	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Apr15A	0.200	39.800	2015/04/08	50,000,000	2014/08/05
29914	UBS AG	China Life European Warrants Dec 2015 B	0.250	27.380	2015/12/08	100,000,000	2014/08/05
29913	UBS AG	Minsheng Bank European Warrants Feb 2015 A	0.250	9.88 per unit	2015/02/04	40,000,000	2014/08/05
29915	UBS AG	CSOP A50 ETF European Warrants Feb 2015 A	0.320	10.88 per unit	2015/02/04	40,000,000	2014/08/05
29917	UBS AG	HKEx European Put Warrants Feb 2015 B	0.150	163.78 per 100 units	2015/02/18	100,000,000	2014/08/05
29908	UBS AG	HSI European Warrants Mar 2015 B	0.250	26,000 per 6,000 units	2015/03/30	300,000,000	2014/08/05
29909	UBS AG	HSI European Warrants Mar 2015 C	0.250	25,600 per 7,000 units	2015/03/30	300,000,000	2014/08/05
29911	UBS AG	Hutchison European Warrants Feb 2015 A	0.550	113.880	2015/02/04	100,000,000	2014/08/05
29907	UBS AG	Tencent Holdings European Put Warrants Aug 2015 B	0.230	134.90 per 100 units	2015/08/26	500,000,000	2014/08/05
29966	BOCI Asia Ltd.	Minsheng Bank European Warrants Mar 2015 A	0.470	9 per unit	2015/03/09	100,000,000	2014/08/06
29968	BOCI Asia Ltd.	China Mobile European Warrants Feb 2015 C	0.260	92.000	2015/02/23	100,000,000	2014/08/06
29975	BOCI Asia Ltd.	Galaxy Ent European Warrants Dec 2014 A	0.330	74.800	2014/12/22	100,000,000	2014/08/06
29971	BOCI Asia Ltd.	HKEx European Warrants Jan 2015 A	0.680	200.000	2015/01/12	100,000,000	2014/08/06
29973	BOCI Asia Ltd.	HKEx European Warrants Dec 2015 B	0.250	185 per 100 units	2015/12/28	100,000,000	2014/08/06
29976	BOCI Asia Ltd.	HSBC European Warrants Jan 2015 B	0.180	88.000	2015/01/12	100,000,000	2014/08/06
29967	BOCI Asia Ltd.	ICBC European Warrants Mar 2015 A	0.250	5.88 per unit	2015/03/02	100,000,000	2014/08/06
29993	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Apr 2015 A	0.250	199.000	2015/04/02	52,000,000	2014/08/06
29994	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Aug 2015 A	0.250	152.800	2015/08/04	78,000,000	2014/08/06
29995	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Dec 2015 A	0.250	128 per 100 units	2015/12/02	100,000,000	2014/08/06
11402	Credit Suisse AG	CHALCO European Wts Feb 2015 A	0.250	4.38 per unit	2015/02/06	50,000,000	2014/08/06

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11401	Credit Suisse AG	Angang Steel European Warrants Feb 2015 A	0.250	6.98 per unit	2015/02/06	50,000,000	2014/08/06
11581	Credit Suisse AG	CC Bank European Put Warrants Jan 2015 B	0.170	5.39 per unit	2015/01/30	60,000,000	2014/08/06
11466	Credit Suisse AG	CITIC Sec European Warrants Dec 2014 A	0.150	24.250	2014/12/24	70,000,000	2014/08/06
11472	Credit Suisse AG	Cheung Kong European Warrants Jan 2015 A	0.300	173.100	2015/01/05	60,000,000	2014/08/06
11473	Credit Suisse	Cheung Kong European Put Warrants Feb 2015 A	0.290	126.880	2015/02/27	60,000,000	2014/08/06
11439	Credit Suisse AG	Ch LongYuan European Warrants Feb 2015 A	0.450	9.68 per unit	2015/02/06	50,000,000	2014/08/06
11565	Credit Suisse AG	China Mobile European Warrants Feb 2015 A	0.250	102.380	2015/02/27	80,000,000	2014/08/06
11522	Credit Suisse AG	HKEx European Warrants Nov 2014 B	0.400	198.900	2014/11/06	70,000,000	2014/08/06
11532	Credit Suisse AG	HKEx European Warrants Feb 2015 C	0.520	213.880	2015/02/27	70,000,000	2014/08/06
29980	Credit Suisse AG	HKEx European Put Warrants Feb 2015 B	0.150	163.85 per 100 units	2015/02/27	80,000,000	2014/08/06
11541	Credit Suisse AG	HSBC European Wts Nov 2014 B	0.150	88.000	2014/11/24	70,000,000	2014/08/06
11603	Credit Suisse AG	HSI European Warrants Feb 2015 A	0.250	26,000 per 6,000 units	2015/02/26	150,000,000	2014/08/06
11609	Credit Suisse AG	HSI European Put Warrants Jan 2015 B	0.170	24,600 per 8,800 units	2015/01/29	150,000,000	2014/08/06
11465	Credit Suisse AG	Haitong Sec European Warrants Aug 2015 A	0.150	15.820	2015/08/11	70,000,000	2014/08/06
11542	Credit Suisse AG	Hutchison European Warrants Nov 2014 D	0.190	118.000	2014/11/24	80,000,000	2014/08/06
11554	Credit Suisse AG	Hutchison European Warrants Feb 2015 A	0.250	128.880	2015/02/27	80,000,000	2014/08/06
11557	Credit Suisse AG	Hutchison European Put Wts Dec 2014 C	0.330	98.850	2014/12/24	70,000,000	2014/08/06
11379	Credit Suisse AG	ICBC European Warrants Feb 2015 A	0.250	5.96 per unit	2015/02/06	50,000,000	2014/08/06
11590	Credit Suisse AG	ICBC European Put Warrants Jan 2015 A	0.190	4.87 per unit	2015/01/30	60,000,000	2014/08/06
29981	Credit Suisse AG	Jiangxi Copper European Wts Apr 2015 A	0.150	17.360	2015/04/20	70,000,000	2014/08/06
11511	Credit Suisse AG	SHK Ppt European Warrants Feb 2015 C	0.400	124.880	2015/02/27	60,000,000	2014/08/06
11481	Credit Suisse AG	SHK Ppt European Put Warrants Feb 2015 B	0.450	104.880	2015/02/27	60,000,000	2014/08/06
11455	Credit Suisse AG	Zijin Mining European Warrants Jul 2015 A	0.180	2.31 per unit	2015/07/31	60,000,000	2014/08/06
11075	Daiwa Capital Mkt	Agricultural Bank European Wts Mar 2015 A	0.250	4.18 per unit	2015/03/02	108,000,000	2014/08/06
29956	Daiwa Capital Mkt	BOC HK European Warrants May 2015 A	0.250	23.800	2015/05/04	108,000,000	2014/08/06
11088	Daiwa Capital Mkt	BOCL European Warrants Apr 2015 A	0.250	4.18 per unit	2015/04/08	108,000,000	2014/08/06
11108	Daiwa Capital Mkt	BOCL European Put Warrants Mar 2015 A	0.250	3.48 per unit	2015/03/10	68,000,000	2014/08/06
29958	Daiwa Capital Mkt	Minsheng Bk European Warrants Feb 2015 A	0.250	10.18 per unit	2015/02/05	58,000,000	2014/08/06
29951	Daiwa Capital Mkt	HKEx European Warrants Mar 2015 B	0.250	168 per 100 units	2015/03/18	258,000,000	2014/08/06
29953	Daiwa Capital Mkt	HKEx European Warrants May 2015 A	0.360	143.88 per 100 units	2015/05/04	208,000,000	2014/08/06
29954	Daiwa Capital Mkt	HKEx European Put Warrants Mar 2015 C	0.250	180 per 100 units	2015/03/02	128,000,000	2014/08/06
29991	Goldman Sachs SP (Asia)	CITIC Pacific Euro Wts Dec 2015 B	0.257	18.280	2015/12/30	80,000,000	2014/08/06
29990	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Feb 2015 A	0.389	168.000	2015/02/27	80,000,000	2014/08/06
29950	Goldman Sachs SP (Asia)	HSI European Put Wts Jan 2015 C	0.171	24,500 per 8,800 units	2015/01/29	150,000,000	2014/08/06
29989	Goldman Sachs SP (Asia)	Hutchison European Wts Jan 2015 A	0.213	124.40 per 9 units	2015/01/05	80,000,000	2014/08/06
29992	Goldman Sachs SP (Asia)	Shimao Ppt European Wts Jun 2015 A	0.250	19.800	2015/06/01	80,000,000	2014/08/06
29961	HK Bank	HSI European Warrants Feb 2015 B	0.250	25,488 per 6,200 units	2015/02/26	200,000,000	2014/08/06
29962	HK Bank	HSI European Warrants Mar 2015 A	0.250	26,000 per 6,000 units	2015/03/30	200,000,000	2014/08/06
29965	HK Bank	HSI European Put Warrants Jan 2015 B	0.190	24,488 per 8,800 units	2015/01/29	200,000,000	2014/08/06
11254	J P Morgan SP BV	CC Bank Euro Put Wts May 2015 A	0.251	5.38 per unit	2015/05/04	200,000,000	2014/08/06
11339	J P Morgan SP BV	Cheung Kong European Put Warrants Mar 15B	0.375	136.000	2015/03/03	35,000,000	2014/08/06
11268	J P Morgan Int'l Der. Ltd.	Sinopec Corp Eu Put Wt Mar 15 A	0.256	6.88 per unit	2015/03/03	100,000,000	2014/08/06
11283	J P Morgan SP BV	Greatwall Motor European Wts Mar 2015 A	0.253	38.880	2015/03/03	100,000,000	2014/08/06

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11304	J P Morgan SP BV	HKEx European Warrants Mar 2015 B	0.253	238.880	2015/03/03	80,000,000	2014/08/06
11320	J P Morgan SP BV	HKEx European Warrants Dec 2015 B	0.251	208.88 per 100 units	2015/12/02	300,000,000	2014/08/06
11365	J P Morgan SP BV	Henderson Land Dev European Wt Jul 2015 A	0.250	60.000	2015/07/03	50,000,000	2014/08/06
11324	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Feb 2015 B	0.250	11,200 per 4,800 units	2015/02/26	300,000,000	2014/08/06
11322	J P Morgan SP BV	HSCEI European Put Warrants Feb 2015 A	0.251	11,000 per 4,800 units	2015/02/26	300,000,000	2014/08/06
11370	J P Morgan SP BV	HSI European Warrants Mar 2015 B	0.250	26,200 per 6,800 units	2015/03/30	500,000,000	2014/08/06
11244	J P Morgan SP BV	ICBC European Put Wts May 2015 A	0.253	4.88 per unit	2015/05/04	200,000,000	2014/08/06
11266	J P Morgan SP BV	Sands China European Warrants Mar 2015 A	0.250	72.000	2015/03/03	100,000,000	2014/08/06
11366	J P Morgan SP BV	SHK Ppt European Warrants Mar 2015 B	0.251	136.000	2015/03/03	60,000,000	2014/08/06
11363	J P Morgan SP BV	SHK Ppt European Put Warrants Mar 2015 A	0.361	108.000	2015/03/03	35,000,000	2014/08/06
11265	J P Morgan SP BV	Wynn Macau European Warrants May 2015 A	0.254	39.880	2015/05/04	80,000,000	2014/08/06
29978	Standard Chartered Bank	CITIC Sec European Wts Dec 2014 A	0.150	24.250	2014/12/24	80,000,000	2014/08/06
11241	Standard Chartered Bank	CSOP A50 ETF Euro Wts Mar 2015 B	0.250	12 per unit	2015/03/27	80,000,000	2014/08/06
29977	Standard Chartered Bank	HKEx European Put Wts Jun 2015 C	0.250	176.88 per 100 units	2015/06/30	100,000,000	2014/08/06
11242	Standard Chartered Bank	HSI European Warrants Apr 2015 B	0.250	25,600 per 6,000 units	2015/04/29	100,000,000	2014/08/06
11234	Standard Chartered Bank	HSI European Put Wts Feb 2015 B	0.250	24,800 per 7,000 units	2015/02/26	100,000,000	2014/08/06
29979	Standard Chartered Bank	Haitong Sec Euro Wts Aug 2015 A	0.160	15.820	2015/08/11	80,000,000	2014/08/06
11064	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2014 C	0.190	163.70 per 20 units	2014/12/22	80,000,000	2014/08/06
11112	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt Mar 2015A	0.360	6.40 per unit	2015/03/04	30,000,000	2014/08/06
11129	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Mar15A	0.290	5.20 per unit	2015/03/04	35,000,000	2014/08/06
11115	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Mar 2015A	0.280	180.000	2015/03/04	40,000,000	2014/08/06
11132	SGA Societe Generale Acceptance N.V.	COVS Eu Wts Aug 2015 A	0.250	26.000	2015/08/05	60,000,000	2014/08/06
11188	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan 15 E	0.155	24,600 per 8,000 units	2015/01/29	150,000,000	2014/08/06
11197	SGA Societe Generale Acceptance NV	NewWorld Dev Eu Wt Apr15A	0.410	11.50 per unit	2015/04/08	25,000,000	2014/08/06
11211	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 15 A	0.250	82.000	2015/04/08	100,000,000	2014/08/06
29998	UBS AG	Cheung Kong European Warrants Feb 2015 A	0.330	178.880	2015/02/09	50,000,000	2014/08/06
11063	UBS AG	Cheung Kong European Put Warrants Jan 2015 A	0.250	127.900	2015/01/27	50,000,000	2014/08/06
29986	UBS AG	China Life European Warrants May 2015 A	0.150	27.550	2015/05/27	100,000,000	2014/08/06
29996	UBS AG	A50 China European Put Warrants Aug 2016 C	0.150	9.270	2016/08/23	100,000,000	2014/08/06
29982	UBS AG	HSCEI European Put Warrants Feb 2015 A	0.250	12,000 per 6,500 units	2015/02/26	100,000,000	2014/08/06
29983	UBS AG	HSCEI European Put Warrants Mar 2015 A	0.250	11,200 per 4,800 units	2015/03/30	100,000,000	2014/08/06
29988	UBS AG	HSI European Warrants Feb 2015 B	0.250	26,600 per 6,000 units	2015/02/26	300,000,000	2014/08/06
29999	UBS AG	HSI European Warrants Jun 2015 B	0.250	27,000 per 6,600 units	2015/06/29	300,000,000	2014/08/06
29985	UBS AG	HSI European Put Warrants Jan 2015 C	0.185	23,900 per 6,000 units	2015/01/29	300,000,000	2014/08/06
29984	UBS AG	HSI European Put Warrants Feb 2015 A	0.250	25,000 per 9,000 units	2015/02/26	300,000,000	2014/08/06
29997	UBS AG	Hutchison European Warrants Feb 2015 B	0.250	138.880	2015/02/09	100,000,000	2014/08/06
11045	UBS AG	Hutchison European Put Warrants Dec 2014 B	0.300	97.950	2014/12/24	50,000,000	2014/08/06
29987	UBS AG	SHK Ppt European Warrants Feb 2015 A	0.300	130.000	2015/02/09	50,000,000	2014/08/06
12204	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2015 A	0.250	3.90 per unit	2015/03/03	100,000,000	2014/08/07
12211	BNP Paribas Arbit Issu B.V.	CM Bank Euro Warrants Jun 2016 A	0.250	15.800	2016/06/02	100,000,000	2014/08/07
12209	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wt May 2016A	0.250	16.800	2016/05/04	100,000,000	2014/08/07
11864	Credit Suisse AG	Agricultural Bank European Wts Feb 2015 A	0.250	4.20 per unit	2015/02/27	60,000,000	2014/08/07
11879	Credit Suisse AG	BOCL European Warrants Mar 2015 B	0.250	4.18 per unit	2015/03/30	60,000,000	2014/08/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12170	Credit Suisse AG	BankComm European Warrants Feb 2015 A	0.330	6.78 per unit	2015/02/27	60,000,000	2014/08/07
11848	Credit Suisse AG	CC Bank European Warrants Feb 2015 A	0.250	6.82 per unit	2015/02/09	60,000,000	2014/08/07
11957	Credit Suisse AG	China Coal European Warrants Dec 2014 A	0.150	5.81 per unit	2014/12/24	70,000,000	2014/08/07
12156	Credit Suisse AG	Minsheng Bank European Wts Feb 2015 A	0.420	9.58 per unit	2015/02/27	60,000,000	2014/08/07
12171	Credit Suisse AG	CSOP A50 ETF European Warrants Feb 2015 C	0.250	11.99 per unit	2015/02/27	80,000,000	2014/08/07
12184	Credit Suisse AG	A50 China European Warrants Feb 2015 C	0.250	11.98 per unit	2015/02/27	80,000,000	2014/08/07
12154	Credit Suisse AG	Galaxy Ent European Warrants Jan 2015 A	0.310	78.900	2015/01/30	70,000,000	2014/08/07
11898	Credit Suisse AG	HKEx European Warrants Feb 2015 D	0.370	230.880	2015/02/09	80,000,000	2014/08/07
11907	Credit Suisse AG	HKEx European Warrants Feb 2015 E	0.280	249.280	2015/02/27	80,000,000	2014/08/07
12147	Credit Suisse AG	Sands China European Warrants Dec 2014 A	0.240	66.000	2014/12/24	70,000,000	2014/08/07
12161	Credit Suisse AG	SHK Ppt European Warrants Feb 2015 D	0.270	138.880	2015/02/27	60,000,000	2014/08/07
11917	Credit Suisse AG	Yanzhou Coal European Warrants Feb 2015 A	0.260	8.88 per unit	2015/02/27	70,000,000	2014/08/07
11841	Daiwa Capital Mkt	Ch Overseas European Put Wts May 2015 A	0.250	21.480	2015/05/04	80,000,000	2014/08/07
11809	Daiwa Capital Mkt	CSOP A50 ETF European Wts Feb 2015 A	0.250	10.98 per unit	2015/02/06	68,000,000	2014/08/07
12225	Bank of East Asia	CM Bank European Warrants Jun 2015 B	0.250	15.280	2015/06/18	40,000,000	2014/08/07
12226	Bank of East Asia	HKEx European Warrants Sep 2015 B	0.250	178 per 100 units	2015/09/30	40,000,000	2014/08/07
12217	Goldman Sachs SP (Asia)	China Agri European Warrants Feb15A	0.250	3.83 per unit	2015/02/26	60,000,000	2014/08/07
12216	Goldman Sachs SP (Asia)	Chi Resources Euro Wt Mar 2015 A	0.250	26.800	2015/03/30	80,000,000	2014/08/07
12214	Goldman Sachs SP (Asia)	HKEx European Warrants Feb 2015 A	0.604	218.000	2015/02/09	60,000,000	2014/08/07
11847	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2015 B	0.256	27,000 per 6,000 units	2015/07/30	180,000,000	2014/08/07
11710	HK Bank	China Life European Warrants Jun 2015 A	0.250	24.080	2015/06/30	100,000,000	2014/08/07
11616	HK Bank	China Mobile European Put Warrants Feb 2015 B	0.250	78.380	2015/02/09	80,000,000	2014/08/07
11718	HK Bank	HSI European Warrants Mar 2015 B	0.250	26,400 per 6,000 units	2015/03/30	200,000,000	2014/08/07
11694	HK Bank	SHK Ppt European Warrants Apr 2015 A	0.250	129.880	2015/04/30	80,000,000	2014/08/07
12185	J P Morgan SP BV	HSI European Put Warrants Feb 2015 C	0.250	25,200 per 8,000 units	2015/02/26	500,000,000	2014/08/07
11612	Macquarie Bank Ltd.	HKEx European Warrants Jan 2015 C	0.353	200.000	2015/01/07	30,000,000	2014/08/07
11613	Macquarie Bank Ltd.	HKEx European Warrants Jul 2016 A	0.250	188 per 100 units	2016/07/05	40,000,000	2014/08/07
11782	Standard Chartered Bank	China Overseas Euro Wt Jul 2015 A	0.220	26.000	2015/07/27	100,000,000	2014/08/07
12105	Standard Chartered Bank	Ch Overseas Eur Put Wts Apr 2015 A	0.250	21.880	2015/04/08	100,000,000	2014/08/07
12103	Standard Chartered Bank	Sinopec Corp Euro Wts Mar 2015 A	0.230	10 per unit	2015/03/23	100,000,000	2014/08/07
12102	Standard Chartered Bank	Sinopec Corp Eu Put Wt Jun 2016 A	0.250	8.880	2016/06/03	100,000,000	2014/08/07
11771	Standard Chartered Bank	HSCEI European Warrants Feb 2015 B	0.250	11,200 per 4,000 units	2015/02/26	100,000,000	2014/08/07
12101	Standard Chartered Bank	ICBC European Warrants Mar 2015 A	0.250	5.88 per unit	2015/03/23	100,000,000	2014/08/07
12141	Standard Chartered Bank	ICBC European Put Wts Jan 2015 A	0.196	4.88 per unit	2015/01/29	100,000,000	2014/08/07
11783	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2014 D	0.320	146 per 20 units	2014/12/16	80,000,000	2014/08/07
12134	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2016 A	0.205	25.880	2016/01/04	100,000,000	2014/08/07
12136	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Mar15A	0.195	22.880	2015/03/04	100,000,000	2014/08/07
12137	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 15 C	0.175	96.880	2015/02/04	70,000,000	2014/08/07
12125	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt Oct15A	0.280	2.60 per unit	2015/10/05	55,000,000	2014/08/07
12108	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Put Wt Sep16A	0.155	9.280	2016/09/05	300,000,000	2014/08/07
12138	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Feb15B	0.420	59.000	2015/02/04	70,000,000	2014/08/07
12119	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Dec 2014 B	0.620	190.000	2014/12/03	30,000,000	2014/08/07
12139	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Mar 2015 A	0.250	5.88 per unit	2015/03/04	80,000,000	2014/08/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12198	UBS AG	CAM CSI300 European Warrants Aug 2015 A	0.250	33.680	2015/08/24	40,000,000	2014/08/07
12199	UBS AG	BankComm European Warrants Feb 2015 A	0.250	6.68 per unit	2015/02/09	40,000,000	2014/08/07
12194	UBS AG	A50 China European Warrants Feb 2015 A	0.250	11.88 per unit	2015/02/09	50,000,000	2014/08/07
12380	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Mar 2015 A	0.250	11.18 per unit	2015/03/03	100,000,000	2014/08/08
12367	BNP Paribas Arbit Issu B.V.	HSI European Wts Apr 2015 A	0.250	25,000 per 7,000 units	2015/04/29	100,000,000	2014/08/08
12378	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jan 2015 B	0.190	24,600 per 8,800 units	2015/01/29	100,000,000	2014/08/08
12406	Credit Suisse AG	AIA European Warrants Mar 2015 A	0.220	45.000	2015/03/30	80,000,000	2014/08/08
12432	Credit Suisse AG	AIA European Warrants Apr 2015 A	0.250	47.880	2015/04/20	80,000,000	2014/08/08
12385	Credit Suisse AG	China EB Int'l European Wts Mar 2015 B	0.150	11.980	2015/03/30	70,000,000	2014/08/08
12434	Credit Suisse AG	CITIC Bank European Warrants Feb 2015 A	0.290	5.88 per unit	2015/02/27	70,000,000	2014/08/08
12383	Credit Suisse AG	Cheung Kong European Warrants Dec 2014 C	0.280	162.600	2014/12/24	70,000,000	2014/08/08
12485	Credit Suisse AG	China Shenhua European Wts Apr 2015 A	0.250	26.000	2015/04/29	70,000,000	2014/08/08
12399	Credit Suisse AG	Esprit European Warrants Dec 2015 A	0.230	12.520	2015/12/28	60,000,000	2014/08/08
12307	Credit Suisse AG	HKEx European Warrants Jun 2015 C	0.250	188.88 per 100 units	2015/06/29	120,000,000	2014/08/08
12308	Credit Suisse AG	HKEx European Warrants Sep 2015 C	0.250	199.88 per 100 units	2015/09/30	120,000,000	2014/08/08
12290	Daiwa Capital Mkt	A50 China European Warrants Nov 2014 D	0.150	11.50 per unit	2014/11/25	108,000,000	2014/08/08
12257	Goldman Sachs SP (Asia)	BankComm European Wts Apr 2015 A	0.298	7 per unit	2015/04/30	80,000,000	2014/08/08
12256	Goldman Sachs SP (Asia)	Ch Ship Cont European Wts Mar 15 A	0.293	2.60 per unit	2015/03/30	80,000,000	2014/08/08
12505	Goldman Sachs SP (Asia)	CSR Times European Wts Mar 2015 A	0.308	30.000	2015/03/30	80,000,000	2014/08/08
12258	Goldman Sachs SP (Asia)	A50 China European Wts Nov 2014 A	0.162	11.51 per unit	2014/11/25	80,000,000	2014/08/08
12493	Goldman Sachs Sp (Asia)	ICBC European Warrants Sep 2016 A	0.450	5.88 per unit	2016/09/30	80,000,000	2014/08/08
12253	Goldman Sachs SP (Asia)	Shunfeng Pv European Wts Jun15A	0.265	10.000	2015/06/30	40,000,000	2014/08/08
12513	Goldman Sachs SP (Asia)	SHK Ppt European Wts Feb 2015 B	0.250	128.500	2015/02/27	80,000,000	2014/08/08
12491	J P Morgan SP BV	China Unicom European Warrants Dec 2016 B	0.251	15.000	2016/12/30	200,000,000	2014/08/08
12323	J P Morgan SP BV	A50 China European Warrants Dec 2014 D	0.151	11.52 per unit	2014/12/01	100,000,000	2014/08/08
12314	J P Morgan SP BV	HKEx European Warrants Aug 2018 A	0.252	388 per 100 units	2018/08/01	300,000,000	2014/08/08
12232	Macquarie Bank Ltd.	New World Dev European Wts May 2015 A	0.250	12.50 per unit	2015/05/05	40,000,000	2014/08/08
12229	Macquarie Bank Ltd.	SHK Ppt European Warrants May 2015 A	0.250	138.000	2015/05/05	40,000,000	2014/08/08
12282	Standard Chartered Bank	Sinopec Corp Eu Put Wt May 2015 A	0.300	6.80 per unit	2015/05/04	60,000,000	2014/08/08
12261	Standard Chartered Bank	PetroChina European Wts Jan 2015 A	0.180	11.50 per unit	2015/01/28	80,000,000	2014/08/08
12280	Standard Chartered Bank	PetroChina Euro Put Wts May 2015 A	0.550	9.50 per unit	2015/05/04	50,000,000	2014/08/08
12242	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Oct18A	0.150	11.700	2018/10/03	300,000,000	2014/08/08
12252	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Dec 2014D	0.150	11.50 per unit	2014/12/03	70,000,000	2014/08/08
12363	UBS AG	China Overseas European Warrants Jul 2015 B	0.250	26.880	2015/07/13	50,000,000	2014/08/08
12364	UBS AG	Evergrande European Warrants Feb 2015 A	0.350	3.98 per unit	2015/02/09	40,000,000	2014/08/08
12335	UBS AG	Geely Auto European Warrants Feb 2015 A	0.250	3.68 per unit	2015/02/09	40,000,000	2014/08/08
12739	BNP Paribas Arbit Issu B.V.	China EB Ltd Eu Wt Dec 2016 A	0.250	17.880	2016/12/02	40,000,000	2014/08/11
12740	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Mar 2015 A	0.250	6 per unit	2015/03/03	56,000,000	2014/08/11
12785	BNP Paribas Arbit Issu B.V.	Shunfeng Pv Euro Wts Jul15A	0.250	10.380	2015/07/03	88,000,000	2014/08/11
12824	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jan 2015 A	0.150	96.380	2015/01/23	100,000,000	2014/08/11
12788	Citigroup Global Mkt H Inc.	HKEx European Wts Nov 2015 A	0.250	200 per 100 units	2015/11/23	200,000,000	2014/08/11
12814	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Jan 2015 A	0.220	11.52 per unit	2015/01/15	80,000,000	2014/08/11
12696	Goldman Sachs SP (Asia)	CGS European Warrants Mar 2015 A	0.602	7.13 per unit	2015/03/30	50,000,000	2014/08/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12732	Goldman Sachs SP (Asia)	CITIC Sec European Wts May 2015 A	0.250	23.280	2015/05/28	80,000,000	2014/08/11
12587	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2015 D	0.189	24,900 per 6,600 units	2015/01/29	150,000,000	2014/08/11
12726	Goldman Sachs SP (Asia)	Haitong Sec Euro Wts Aug 2015 A	0.162	15.820	2015/08/11	80,000,000	2014/08/11
12550	HK Bank	BYD European Warrants Feb 2015 A	0.290	63.880	2015/02/16	60,000,000	2014/08/11
12528	HK Bank	China Mobile European Warrants Jan 2015 B	0.160	96.430	2015/01/23	80,000,000	2014/08/11
12564	HK Bank	CSOP A50 ETF European Warrants Mar 2015 A	0.280	10.78 per unit	2015/03/02	60,000,000	2014/08/11
12537	HK Bank	China Tel European Warrants Jan 2015 A	0.250	4.81 per unit	2015/01/27	50,000,000	2014/08/11
12547	HK Bank	ICBC European Warrants Feb 2015 A	0.260	5.81 per unit	2015/02/23	60,000,000	2014/08/11
12655	J P Morgan SP BV	CHALCO European Warrants Mar 2015 A	0.251	4 per unit	2015/03/03	40,000,000	2014/08/11
12686	J P Morgan SP BV	Hanergy Solar European Warrants Mar 2015A	0.253	1.42 per unit	2015/03/03	100,000,000	2014/08/11
12680	J P Morgan SP BV	Singyes Solar European Warrants May 2015A	0.254	13.980	2015/05/04	80,000,000	2014/08/11
12594	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Apr 2015 A	0.250	13.50 per unit	2015/04/02	40,000,000	2014/08/11
12626	Macquarie Bank Ltd.	A50 China European Warrants Apr 2015 A	0.250	13.50 per unit	2015/04/02	40,000,000	2014/08/11
12585	Macquarie Bank Ltd.	MTRC European Warrants Mar 2016 A	0.250	33.000	2016/03/02	40,000,000	2014/08/11
12586	Macquarie Bank Ltd.	Shenzhen Int'l European Wts Feb 2016 A	0.250	10.800	2016/02/02	40,000,000	2014/08/11
12940	BOCI Asia Ltd.	BYD European Warrants Dec 2016 A	0.180	49.93 per 100 units	2016/12/21	100,000,000	2014/08/12
12916	BOCI Asia Ltd.	China Life European Put Warrants Feb 2015 A	0.170	22.880	2015/02/24	100,000,000	2014/08/12
12900	BOCI Asia Ltd.	Kingsoft European Warrants Feb 2015 A	0.260	26.500	2015/02/23	100,000,000	2014/08/12
12933	BOCI Asia Ltd.	SHK Ppt European Warrants May 2015 A	0.300	130.880	2015/05/26	100,000,000	2014/08/12
12941	BOCI Asia Ltd.	Tencent Holdings European Wts Feb 2015 A	0.350	150 per 20 units	2015/02/02	100,000,000	2014/08/12
13047	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Mar 2015 A	0.270	6.80 per unit	2015/03/03	39,000,000	2014/08/12
13036	BNP Paribas Arbit Issu B.V.	HSBC European Wts May 2015 A	0.260	92.000	2015/05/05	100,000,000	2014/08/12
12863	Credit Suisse AG	BYD European Warrants Feb 2015 A	0.320	66.000	2015/02/11	60,000,000	2014/08/12
12862	Credit Suisse AG	China Life European Warrants May 2015 A	0.150	27.550	2015/05/29	70,000,000	2014/08/12
13027	Credit Suisse AG	Singyes Solar European Warrants Apr 2015A	0.250	14.480	2015/04/27	50,000,000	2014/08/12
13057	Credit Suisse AG	Tencent Holdings European Wts Nov 2014 H	0.210	157.10 per 20 units	2014/11/28	100,000,000	2014/08/12
13111	Credit Suisse AG	Tencent Holdings European Wts Jan 2015 C	0.150	177.70 per 20 units	2015/01/30	100,000,000	2014/08/12
13028	Daiwa Capital Mkt	Kingsoft European Warrants Mar 2015 A	0.250	26.880	2015/03/10	80,000,000	2014/08/12
13030	Goldman Sachs SP (Asia)	CM Bank European Wts Dec 2016 A	0.251	17.280	2016/12/28	80,000,000	2014/08/12
13034	Goldman Sachs SP (Asia)	New World Dev Euro Wts Feb 2015 A	0.367	10.98 per unit	2015/02/27	80,000,000	2014/08/12
13021	HK Bank	A50 China European Warrants Jan 2015 B	0.310	10.90 per unit	2015/01/28	60,000,000	2014/08/12
13003	HK Bank	HKEx European Warrants Jan 2015 B	0.720	200.200	2015/01/07	60,000,000	2014/08/12
13004	HK Bank	HKEx European Warrants Feb 2015 A	0.450	223.880	2015/02/16	60,000,000	2014/08/12
13194	J P Morgan SP BV	Evergrande European Warrants Mar 2015 A	0.254	4 per unit	2015/03/03	100,000,000	2014/08/12
13165	J P Morgan SP BV	R&F Ppt European Warrants Jun 2016 A	0.251	13.000	2016/06/02	100,000,000	2014/08/12
13164	J P Morgan SP BV	HSBC European Warrants Dec 2014 D	0.154	86.900	2014/12/30	200,000,000	2014/08/12
13159	J P Morgan SP BV	Tencent European Put Warrants Aug 2015 A	0.186	133.88 per 100 units	2015/08/31	300,000,000	2014/08/12
13196	J P Morgan SP BV	Yanzhou Coal European Warrants Mar 2015 A	0.285	7.88 per unit	2015/03/03	36,000,000	2014/08/12
12830	Macquarie Bank Ltd.	CNBM European Warrants Mar 2015 A	0.400	9.50 per unit	2015/03/03	25,000,000	2014/08/12
12832	Macquarie Bank Ltd.	Tencent European Put Wts Mar 2015 A	0.400	104.980	2015/03/03	25,000,000	2014/08/12
12952	Standard Chartered Bank	AIA European Put Wts Jan 2015 A	0.150	39.000	2015/01/28	80,000,000	2014/08/12
12982	Standard Chartered Bank	China Coal European Wts Mar 2015 A	0.300	5.88 per unit	2015/03/04	40,000,000	2014/08/12
12986	Standard Chartered Bank	CGS European Warrants Nov 2015 A	0.150	7.300	2015/11/25	100,000,000	2014/08/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13002	Standard Chartered Bank	CSOP A50 ETF Euro Wts Feb 2015 A	0.240	11.18 per unit	2015/02/26	80,000,000	2014/08/12
13137	UBS AG	HSBC European Warrants Jan 2015 C	0.180	88.050	2015/01/08	100,000,000	2014/08/12
13127	UBS AG	Kingsoft European Warrants Jan 2015 A	0.150	28.930	2015/01/23	100,000,000	2014/08/12
13128	UBS AG	Tencent Holdings European Warrants Nov 2014 F	0.230	157.10 per 20 units	2014/11/25	100,000,000	2014/08/12
13407	Credit Suisse AG	China Mobile European Put Wts Jan 2015 E	0.150	72.950	2015/01/30	70,000,000	2014/08/13
13295	Credit Suisse AG	HKEx European Warrants Mar 2015 A	0.210	168.10 per 100 units	2015/03/11	120,000,000	2014/08/13
13373	Credit Suisse AG	HSBC European Put Warrants Dec 2014 B	0.150	74.250	2014/12/22	70,000,000	2014/08/13
13409	Goldman Sachs SP (Asia)	China Coal European Wts Feb 2015 A	0.308	5.60 per unit	2015/02/27	80,000,000	2014/08/13
13410	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jan 2015 B	0.150	96.380	2015/01/30	80,000,000	2014/08/13
13271	HK Bank	Minsheng Bank European Warrants Feb 2015 B	0.260	9.38 per unit	2015/02/23	50,000,000	2014/08/13
13263	HK Bank	CSOP A50 ETF European Warrants Sep 2015 A	0.150	8.890	2015/09/30	150,000,000	2014/08/13
13264	HK Bank	Greatwall Motor European Warrants Feb 2015 A	0.250	39.800	2015/02/27	60,000,000	2014/08/13
13412	J P Morgan SP BV	China Everbright European Wts Nov 2015 A	0.255	16.880	2015/11/03	80,000,000	2014/08/13
13413	J P Morgan SP BV	CM Bank European Warrants Oct 2016 A	0.252	17.500	2016/10/04	100,000,000	2014/08/13
13253	J P Morgan SP BV	Tencent European Warrants Oct 2015 A	0.250	140 per 100 units	2015/10/02	500,000,000	2014/08/13
13368	J P Morgan SP BV	Tencent European Put Warrants Apr 2015 B	0.250	140 per 100 units	2015/04/01	300,000,000	2014/08/13
13240	Macquarie Bank Ltd.	China Shenhua European Wts Jun 2015 A	0.250	26.980	2015/06/02	40,000,000	2014/08/13
13307	Standard Chartered Bank	Sinopec Corp Euro Wts Dec 2014 B	0.220	8.28 per unit	2014/12/24	100,000,000	2014/08/13
13327	Standard Chartered Bank	Chi Resources European Wts Mar15A	0.250	26.880	2015/03/16	80,000,000	2014/08/13
13325	Standard Chartered Bank	HSBC European Warrants Apr 2015 B	0.250	90.000	2015/04/01	100,000,000	2014/08/13
13330	Standard Chartered Bank	Hang Seng Bank European Wts Jun15A	0.260	148.000	2015/06/30	40,000,000	2014/08/13
13337	UBS AG	CSOP A50 ETF European Warrants Feb 2015 B	0.250	11.68 per unit	2015/02/23	40,000,000	2014/08/13
13294	UBS AG	China Unicom European Warrants Nov 2016 A	0.250	15.180	2016/11/14	100,000,000	2014/08/13
13371	UBS AG	Sands China European Warrants Dec 2014 C	0.180	66.050	2014/12/31	60,000,000	2014/08/13
13338	UBS AG	Shunfeng Pv European Warrants Feb 2015 A	0.230	10.300	2015/02/18	50,000,000	2014/08/13
13370	UBS AG	Tencent Holdings European Put Warrants Feb 2015 B	0.400	103.880	2015/02/13	50,000,000	2014/08/13
15995*	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2015 A	0.245	163.05 per 100 units	2015/06/30	150,000,000	2014/08/13
13456	BOCI Asia Ltd.	Agricultural Bk European Wts Mar 2015 A	0.220	4.18 per unit	2015/03/02	100,000,000	2014/08/14
13462	BOCI Asia Ltd.	BOCL European Warrants Mar 2015 A	0.220	4.18 per unit	2015/03/30	100,000,000	2014/08/14
13445	BOCI Asia Ltd.	CM Bank European Warrants Feb 2016 A	0.250	16.280	2016/02/29	100,000,000	2014/08/14
13446	BOCI Asia Ltd.	HKEx European Warrants Nov 2015 B	0.180	208.88 per 100 units	2015/11/30	100,000,000	2014/08/14
13483	BOCI Asia Ltd.	HSCEI European Warrants Feb 2015 A	0.180	11,200 per 4,800 units	2015/02/26	100,000,000	2014/08/14
13485	BOCI Asia Ltd.	HSCEI European Put Warrants Feb 2015 B	0.190	11,000 per 4,800 units	2015/02/26	100,000,000	2014/08/14
13469	BOCI Asia Ltd.	HSI European Warrants Jan 2015 B	0.190	24,800 per 6,600 units	2015/01/29	100,000,000	2014/08/14
13482	BOCI Asia Ltd.	HSI European Put Warrants Jan 2015 C	0.150	24,200 per 8,800 units	2015/01/29	100,000,000	2014/08/14
13559	Credit Suisse AG	Galaxy Ent European Warrants Dec 2014 A	0.280	67.000	2014/12/24	80,000,000	2014/08/14
13561	Credit Suisse AG	Sands China European Warrants Feb 2015 A	0.260	60.880	2015/02/27	80,000,000	2014/08/14
13668	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jan 2015 A	0.180	75.200	2015/01/23	100,000,000	2014/08/14
13568	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Apr 2015 A	0.250	136.80 per 100 units	2015/04/15	98,000,000	2014/08/14
13625	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Mar 2015 A	0.257	35.000	2015/03/27	80,000,000	2014/08/14
13623	Goldman Sachs SP (Asia)	Brilliance Chi Euro Wts Sep 2015 A	0.254	15.800	2015/09/29	80,000,000	2014/08/14
13658	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Jan 2015 B	0.513	61.050	2015/01/05	80,000,000	2014/08/14
13624	Goldman Sachs SP (Asia)	Poly HK Inv Euro Wts Mar 2015 A	0.271	4.28 per unit	2015/03/27	80,000,000	2014/08/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13665	Goldman Sachs SP (Asia)	Sands China Euro Wts Feb 2015 A	0.385	55.550	2015/02/27	80,000,000	2014/08/14
13592	J P Morgan SP BV	HKEx European Warrants Feb 2015 A	0.280	218.000	2015/02/03	80,000,000	2014/08/14
13441	Macquarie Bank Ltd.	BankComm European Warrants Mar 2015 A	0.250	6.80 per unit	2015/03/03	40,000,000	2014/08/14
13423	Macquarie Bank Ltd.	CGS European Warrants Dec 2015 A	0.150	7.300	2015/12/02	70,000,000	2014/08/14
13440	Macquarie Bank Ltd.	Minsheng Bank European Wts Mar 2015 A	0.250	10 per unit	2015/03/03	40,000,000	2014/08/14
13531	Macquarie Bank Ltd.	Galaxy Ent European Wts Feb 2015 B	0.201	78.880	2015/02/03	50,000,000	2014/08/14
13433	Macquarie Bank Ltd.	Hang Seng Bank European Wts Jul 2015 A	0.250	142.000	2015/07/03	40,000,000	2014/08/14
13424	Macquarie Bank Ltd.	New World Dev European Wts Apr 2015 A	0.250	11.50 per unit	2015/04/02	40,000,000	2014/08/14
13542	Macquarie Bank Ltd.	Sands China European Wts Jan 2015 A	0.200	65.880	2015/01/05	50,000,000	2014/08/14
13504	Standard Chartered Bank	CHALCO European Warrants Feb 2015A	0.250	4.48 per unit	2015/02/17	40,000,000	2014/08/14
13486	Standard Chartered Bank	Angang Steel European Wts Feb15A	0.250	7.18 per unit	2015/02/17	40,000,000	2014/08/14
13584	Standard Chartered Bank	China EB Int'l Euro Wts Mar 2015 A	0.150	12.000	2015/03/30	80,000,000	2014/08/14
13580	Standard Chartered Bank	CITIC Pacific Euro Wts Sep 2015 A	0.250	18.880	2015/09/03	80,000,000	2014/08/14
13593	Standard Chartered Bank	Galaxy Ent European Wts Nov 2014 B	0.270	64.880	2014/11/14	60,000,000	2014/08/14
13505	Standard Chartered Bank	HSI European Warrants Dec 2014 E	0.170	24,300 per 6,000 units	2014/12/30	100,000,000	2014/08/14
13602	Standard Chartered Bank	Sands China Euro Wts Nov 2014 B	0.250	56.930	2014/11/24	80,000,000	2014/08/14
13524	UBS AG	CITIC Bank European Warrants Feb 2015 A	0.250	5.68 per unit	2015/02/13	40,000,000	2014/08/14
13414	UBS AG	Sinopec Corp European Warrants Dec 2014 B	0.150	9.01 per unit	2014/12/24	70,000,000	2014/08/14
13523	UBS AG	HKEx European Warrants Feb 2015 C	0.400	248.200	2015/02/16	80,000,000	2014/08/14
13525	UBS AG	SJM Holdings European Warrants May 2015 A	0.250	21.880	2015/05/11	40,000,000	2014/08/14
13522	UBS AG	Tencent Holdings European Warrants Jan 2015 B	0.150	177.70 per 20 units	2015/01/05	100,000,000	2014/08/14
13679	BOCI Asia Ltd.	Galaxy Ent European Warrants Jan 2015 A	0.350	67.000	2015/01/05	100,000,000	2014/08/15
13677	BOCI Asia Ltd.	Sands China European Warrants Feb 2015 A	0.360	58.880	2015/02/23	100,000,000	2014/08/15
13678	BOCI Asia Ltd.	Tencent Holdings European Wts Dec 2014 C	0.250	155.40 per 20 units	2014/12/29	100,000,000	2014/08/15
13771	Daiwa Capital Mkt	China Telecom European Wts Feb 2015 A	0.150	4.68 per unit	2015/02/11	80,000,000	2014/08/15
13762	Daiwa Capital Mkt	Galaxy Ent European Warrants Feb 2015 A	0.350	68.000	2015/02/16	98,000,000	2014/08/15
13766	Daiwa Capital Mkt	Galaxy Ent European Put Wts Mar 2015 A	0.250	46.800	2015/03/02	80,000,000	2014/08/15
13792	Daiwa Capital Mkt	Sands China European Warrants Feb 2015 A	0.350	56.980	2015/02/25	98,000,000	2014/08/15
13793	Daiwa Capital Mkt	Sands China European Put Wts Feb 2015 B	0.250	41.800	2015/02/16	80,000,000	2014/08/15
13794	Goldman Sachs SP (Asia)	HKEx European Warrants Jan 2015 B	0.761	199.920	2015/01/07	80,000,000	2014/08/15
13795	Goldman Sachs SP (Asia)	HSBC European Warrants Feb 2015 A	0.250	90.000	2015/02/27	80,000,000	2014/08/15
13724	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Mar 2015 A	0.250	4.20 per unit	2015/03/03	40,000,000	2014/08/15
13738	Macquarie Bank Ltd.	BOCHK European Warrants Mar 2015 A	0.250	30 per unit	2015/03/02	40,000,000	2014/08/15
13719	Macquarie Bank Ltd.	China EB Ltd Euro Warrants Aug 2015 A	0.250	16.800	2015/08/04	40,000,000	2014/08/15
13736	Macquarie Bank Ltd.	CM Bank European Warrants Mar 2015 A	0.250	20 per unit	2015/03/02	40,000,000	2014/08/15
13717	Macquarie Bank Ltd.	China Overseas European Wts Oct 2015 A	0.250	26.000	2015/10/05	40,000,000	2014/08/15
13672	Macquarie Bank Ltd.	Foxconn European Warrants Mar 2015 A	0.400	5.25 per unit	2015/03/03	25,000,000	2014/08/15
13673	Macquarie Bank Ltd.	Sinopec Seg European Wts Jun 2016 A	0.250	8.880	2016/06/02	40,000,000	2014/08/15
13716	Standard Chartered Bank	HKEx European Warrants Jun 2015 D	0.250	188.88 per 100 units	2015/06/22	100,000,000	2014/08/15
13702	Standard Chartered Bank	HKEx European Warrants Aug 2015 A	0.250	177.88 per 100 units	2015/08/18	100,000,000	2014/08/15
13699	Standard Chartered Bank	Shimao Ppt European Wts Jul 2015 A	0.250	18.000	2015/07/02	100,000,000	2014/08/15
13756	UBS AG	CITIC Pacific European Warrants Dec 2015 B	0.250	18.880	2015/12/14	40,000,000	2014/08/15
13755	UBS AG	Cheung Kong European Warrants Dec 2014 D	0.420	153.980	2014/12/22	50,000,000	2014/08/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13675	UBS AG	Tencent Holdings European Put Warrants Apr 2015 A	0.150	119.90 per 100 units	2015/04/29	500,000,000	2014/08/15
13871	Credit Suisse AG	Fosun Intl European Warrants Aug 2015 A	0.150	11.400	2015/08/11	70,000,000	2014/08/18
13895	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Feb 2015 A	0.250	123.000	2015/02/26	50,000,000	2014/08/18
13882	Goldman Sachs SP (Asia)	AIA European Warrants Feb 2015 A	0.253	45.800	2015/02/27	80,000,000	2014/08/18
13877	Goldman Sachs SP (Asia)	Ch Overseas European Wts Jun 2015 A	0.251	25.500	2015/06/30	80,000,000	2014/08/18
13874	Goldman Sachs SP (Asia)	HKEx European Put Wts Jun 2015 C	0.255	175 per 100 units	2015/06/30	80,000,000	2014/08/18
13848	HK Bank	Galaxy Ent European Warrants Feb 2015 A	0.250	70.380	2015/02/27	60,000,000	2014/08/18
13834	HK Bank	HSI European Warrants Jan 2015 C	0.150	24,918 per 6,600 units	2015/01/29	200,000,000	2014/08/18
13820	HK Bank	HSI European Warrants Feb 2015 C	0.250	24,600 per 6,800 units	2015/02/26	200,000,000	2014/08/18
13829	HK Bank	HSI European Warrants Feb 2015 D	0.250	23,488 per 8,200 units	2015/02/26	200,000,000	2014/08/18
13837	HK Bank	HSI European Put Warrants Jan 2015 D	0.150	23,088 per 6,000 units	2015/01/29	200,000,000	2014/08/18
13863	HK Bank	Sands China European Warrants Mar 2015 A	0.250	61.280	2015/03/02	60,000,000	2014/08/18
13886	J P Morgan SP BV	Sinopec Corp European Warrants Feb 2015 A	0.267	8.28 per unit	2015/02/02	100,000,000	2014/08/18
13884	J P Morgan SP BV	Galaxy Ent European Put Wts Mar 2015 A	0.391	54.880	2015/03/03	100,000,000	2014/08/18
13796	Macquarie Bank Ltd.	AAC Acoustic European Wts Mar 2015 A	0.400	59.880	2015/03/03	25,000,000	2014/08/18
13797	Macquarie Bank Ltd.	Hutchison European Warrants Mar 2015 A	0.334	125.000	2015/03/03	30,000,000	2014/08/18
13733*	J P Morgan SP BV	Galaxy Ent European Warrants Jul 2015 A	0.063	64.88 per 100 units	2015/07/02	300,000,000	2014/08/18
14021	Credit Suisse AG	Galaxy Ent European Put Wts Jan 2015 B	0.230	50.000	2015/01/30	60,000,000	2014/08/19
14031	Credit Suisse	Huaneng Power European Warrants Jan 2015 A	0.280	10.99 per unit	2015/01/27	60,000,000	2014/08/19
14023	Credit Suisse AG	Lenovo European Warrants Feb 2015 A	0.460	14.38 per unit	2015/02/27	60,000,000	2014/08/19
14022	Credit Suisse AG	Sands China European Put Wts Feb 2015 B	0.250	42.380	2015/02/27	60,000,000	2014/08/19
14035	Citigroup Global Mkt H Inc.	AIA European Wts Dec 2014 A	0.150	47.880	2014/12/24	100,000,000	2014/08/19
14050	Citigroup Global Mkt H Inc.	A50 China Euro Wts Dec 2014 C	0.350	10.18 per unit	2014/12/12	100,000,000	2014/08/19
14014	Citigroup Global Mkt H Inc.	HKEx European Wts Jan 2015 B	0.600	218.000	2015/01/28	50,000,000	2014/08/19
13998	Citigroup Global Mkt H Inc.	HKEx European Wts Feb 2015 A	0.500	238.000	2015/02/18	50,000,000	2014/08/19
14066	Citigroup Global Mkt H Inc.	HKEx European Wts Dec 2015 B	0.250	228 per 100 units	2015/12/24	200,000,000	2014/08/19
13915	HK Bank	China Overseas European Warrants Jun 2015 A	0.250	26.380	2015/06/30	60,000,000	2014/08/19
13914	HK Bank	China Unicom European Warrants Dec 2016 A	0.190	15.020	2016/12/21	60,000,000	2014/08/19
13901	HK Bank	HKEx European Warrants Nov 2015 A	0.230	209.08 per 100 units	2015/11/25	150,000,000	2014/08/19
13921	HK Bank	Zijin Mining European Warrants Jul 2015 A	0.250	2.45 per unit	2015/07/31	40,000,000	2014/08/19
14071	J P Morgan SP BV	CSOP A50 ETF European Warrants Oct 2018 A	0.159	11.700	2018/10/02	300,000,000	2014/08/19
14076	J P Morgan SP BV	A50 China European Warrants Oct 2018 A	0.150	12.100	2018/10/02	300,000,000	2014/08/19
14068	J P Morgan SP BV	ICBC European Warrants Mar 2015 A	0.156	5.88 per unit	2015/03/02	100,000,000	2014/08/19
13955	Standard Chartered Bank	Agricultural Bk Eur Wts Feb 2015 B	0.150	4.20 per unit	2015/02/18	80,000,000	2014/08/19
13950	Standard Chartered Bank	CG Bank European Put Wts Apr 2015A	0.250	5.50 per unit	2015/04/01	60,000,000	2014/08/19
13967	Standard Chartered Bank	HSCEI European Put Wts Mar 2015 A	0.250	11,600 per 4,800 units	2015/03/30	100,000,000	2014/08/19
14020	Standard Chartered Bank	KECL European Put Warrants Aug 15A	0.250	14.000	2015/08/04	80,000,000	2014/08/19
13946	Standard Chartered Bank	Tencent Hldg Eu Put Wts Aug 2015 A	0.220	133.70 per 100 units	2015/08/24	120,000,000	2014/08/19
13987	Standard Chartered Bank	YZ Coal European Wts Jan 2015 A	0.200	8.50 per unit	2015/01/28	50,000,000	2014/08/19
13930	UBS AG	Kingsoft European Put Warrants Dec 2014 B	0.170	20.830	2014/12/24	60,000,000	2014/08/19
13926	UBS AG	Lenovo European Warrants Feb 2015 A	0.330	13.88 per unit	2015/02/18	40,000,000	2014/08/19
10297	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Dec14 A	0.210	14,800 per 440 units	2014/12/12	100,000,000	2014/08/20
10296	BNP Paribas Arbit Issu B.V.	Nikkei 225 Eu Put Wt Mar 2015A	0.380	15,200 per 300 units	2015/03/13	100,000,000	2014/08/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14161	Credit Suisse AG	HKEx European Put Warrants Feb 2015 F	0.180	179.98 per 100 units	2015/02/27	100,000,000	2014/08/20
14369	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Feb 2015 B	0.250	100.000	2015/02/23	100,000,000	2014/08/20
14314	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Dec 2014 B	0.150	9 per unit	2014/12/24	100,000,000	2014/08/20
14325	Citigroup Global Mkt H Inc.	HKEx European Wts Feb 2015 B	0.250	288.000	2015/02/23	60,000,000	2014/08/20
14318	Citigroup Global Mkt H Inc.	HSBC European Wts Feb 2015 A	0.170	93.880	2015/02/23	100,000,000	2014/08/20
14332	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Feb 2015 A	0.200	12.68 per unit	2015/02/09	80,000,000	2014/08/20
14305	Citigroup Global Mkt H Inc.	Tencent European Wts Dec 2014 B	0.190	168 per 20 units	2014/12/24	100,000,000	2014/08/20
14337	Citigroup Global Mkt H Inc.	Tencent European Wts Dec 2015 A	0.250	148 per 100 units	2015/12/21	300,000,000	2014/08/20
14182	Goldman Sachs SP (Asia)	BYD European Warrants Mar 2015 A	0.564	58.880	2015/03/30	80,000,000	2014/08/20
14175	Goldman Sachs SP (Asia)	CITIC Bank European Wts Feb 2015 A	0.257	5.75 per unit	2015/02/27	80,000,000	2014/08/20
14304	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Feb 2015 A	0.356	81.880	2015/02/27	80,000,000	2014/08/20
14220	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Feb 2015 B	0.290	11.20 per unit	2015/02/27	80,000,000	2014/08/20
14187	Goldman Sachs SP (Asia)	Fosun Intl European Wts Jun15A	0.152	10.880	2015/06/30	80,000,000	2014/08/20
14113	HK Bank	China Cinda European Warrants Mar 2015 A	0.250	5 per unit	2015/03/02	40,000,000	2014/08/20
14078	HK Bank	HWL European Warrants Feb 2015 A	0.310	120.100	2015/02/24	80,000,000	2014/08/20
14133	HK Bank	Lenovo European Warrants Aug 2016 A	0.240	11.520	2016/08/01	60,000,000	2014/08/20
14274	J P Morgan SP BV	AIA European Warrants Mar 2015 A	0.254	46.000	2015/03/03	100,000,000	2014/08/20
14283	J P Morgan SP BV	China Mob European Wts Jan 2015 A	0.152	96.880	2015/01/30	200,000,000	2014/08/20
14288	J P Morgan SP BV	China Mob European Put Wts Mar 2015 A	0.250	80.880	2015/03/03	200,000,000	2014/08/20
14282	J P Morgan SP BV	Sinopec Corp European Warrants Mar 2015 B	0.257	8.98 per unit	2015/03/03	100,000,000	2014/08/20
14278	J P Morgan SP BV	Ping An European Warrants Mar 2015 A	0.252	78.880	2015/03/03	150,000,000	2014/08/20
14299	J P Morgan SP BV	Tencent European Warrants Feb 2015 A	0.390	150 per 20 units	2015/02/02	200,000,000	2014/08/20
14077	Macquarie Bank Ltd.	China Coal European Wts Mar 2015 A	0.335	6 per unit	2015/03/03	30,000,000	2014/08/20
14157	Standard Chartered Bank	A50 China Euro Warrants Jan 2015 B	0.190	10.88 per unit	2015/01/28	80,000,000	2014/08/20
14173	UBS AG	AIA European Warrants Dec 2014 B	0.150	47.930	2014/12/24	100,000,000	2014/08/20
14255	UBS AG	China Mobile European Warrants Feb 2015 D	0.210	96.930	2015/02/03	100,000,000	2014/08/20
14232	UBS AG	China Mobile European Put Warrants Feb 2015 C	0.400	82.880	2015/02/23	100,000,000	2014/08/20
14171	UBS AG	CSOP A50 ETF European Warrants Sep 2018 A	0.150	11.720	2018/09/26	300,000,000	2014/08/20
14271	UBS AG	HKEx European Warrants Sep 2015 C	0.250	208.88 per 100 units	2015/09/29	300,000,000	2014/08/20
14268	UBS AG	Lenovo European Warrants Jun 2016 A	0.250	11.880	2016/06/13	100,000,000	2014/08/20
14433	BOCI Asia Ltd.	Sinopec Corp European Warrants Feb 2015 A	0.320	8.48 per unit	2015/02/06	100,000,000	2014/08/21
14442	BOCI Asia Ltd.	Hutchison European Warrants Feb 2015 A	0.390	113.880	2015/02/09	100,000,000	2014/08/21
14444	BOCI Asia Ltd.	Lenovo European Warrants Jul 2016 A	0.210	11.520	2016/07/25	100,000,000	2014/08/21
14518	Citigroup Global Mkt H Inc.	Tencent European Wts Jan 2015 A	0.380	150 per 20 units	2015/01/27	100,000,000	2014/08/21
14524	Citigroup Global Mkt H Inc.	Tencent European Wts Jan 2015 B	0.485	140 per 20 units	2015/01/28	100,000,000	2014/08/21
14400	HK Bank	AIA European Warrants Apr 2015 A	0.250	47.930	2015/04/13	80,000,000	2014/08/21
14402	HK Bank	PetroChina European Warrants Feb 2015 A	0.190	12.70 per unit	2015/02/09	60,000,000	2014/08/21
14370	HK Bank	Yanzhou Coal European Warrants Mar 2015 A	0.450	8.18 per unit	2015/03/02	40,000,000	2014/08/21
14510	Standard Chartered Bank	CITIC Pacific Euro Wts Nov 2015 A	0.250	20.880	2015/11/04	80,000,000	2014/08/21
14512	Standard Chartered Bank	China Mobile Euro Wts Jan 2015 C	0.150	96.880	2015/01/26	80,000,000	2014/08/21
14432	Standard Chartered Bank	HSI European Warrants Jan 2015 C	0.170	24,900 per 6,600 units	2015/01/29	100,000,000	2014/08/21
14516	Standard Chartered Bank	Singyes Solar European Wts Mar15A	0.250	14.880	2015/03/31	60,000,000	2014/08/21
14507	Standard Chartered Bank	Tencent Hldg Eu Put Wts Feb 2015 C	0.280	103.800	2015/02/06	80,000,000	2014/08/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14405	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt May15A	0.180	16.900	2015/05/06	70,000,000	2014/08/21
14453	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 15 B	0.150	102.400	2015/03/04	70,000,000	2014/08/21
14463	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Mar15C	0.375	80.880	2015/03/04	70,000,000	2014/08/21
14430	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Feb 2015A	0.295	8.488 per unit	2015/02/04	70,000,000	2014/08/21
14417	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Feb15A	0.290	10.99 per unit	2015/02/04	50,000,000	2014/08/21
14471	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Jun 15 A	0.185	26.980	2015/06/03	100,000,000	2014/08/21
14475	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Feb15C	0.250	72.800	2015/02/04	70,000,000	2014/08/21
14476	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Feb 2015 A	0.440	112.900	2015/02/04	50,000,000	2014/08/21
14429	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Aug 16 A	0.215	11.500	2016/08/03	120,000,000	2014/08/21
14505	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Apr 2015A	0.250	13 per unit	2015/04/08	50,000,000	2014/08/21
14452	UBS AG	AIA European Put Warrants Jan 2015 A	0.150	38.950	2015/01/23	70,000,000	2014/08/21
14628	BOCI Asia Ltd.	China Life European Warrants Jun 2015 A	0.190	24.130	2015/06/29	100,000,000	2014/08/22
14634	BOCI Asia Ltd.	China Mobile European Warrants Feb 2015 D	0.250	102.380	2015/02/18	100,000,000	2014/08/22
14631	BOCI Asia Ltd.	China Mobile European Put Wts Mar 2015 A	0.300	85.000	2015/03/02	100,000,000	2014/08/22
14598	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Dec 2015 C	0.250	125.800	2015/12/02	100,000,000	2014/08/22
14602	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Mar 2015 C	0.260	80.000	2015/03/03	100,000,000	2014/08/22
14614	BNP Paribas Arbit Issu B.V.	HKEx European Wts Mar 2015 C	0.250	298.000	2015/03/03	92,000,000	2014/08/22
14612	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2015 B	0.250	99.800	2015/12/02	100,000,000	2014/08/22
14645	Credit Suisse AG	China Mobile European Warrants Feb 2015 D	0.250	109.280	2015/02/27	80,000,000	2014/08/22
14646	Credit Suisse AG	China Mobile European Warrants Feb 2015 E	0.250	104.880	2015/02/27	80,000,000	2014/08/22
14647	Credit Suisse AG	China Mobile European Warrants Feb 2015 F	0.350	99.280	2015/02/23	80,000,000	2014/08/22
14636	Credit Suisse AG	China Mobile European Put Wts Feb 2015 B	0.250	82.080	2015/02/27	60,000,000	2014/08/22
14638	Credit Suisse AG	China Mobile European Put Wts Feb 2015 C	0.340	84.980	2015/02/27	60,000,000	2014/08/22
14651	Credit Suisse AG	HKEx European Warrants Mar 2015 B	0.250	190.68 per 100 units	2015/03/31	100,000,000	2014/08/22
14653	Credit Suisse AG	Tencent Holdings European Wts Feb 2015 B	0.180	180 per 20 units	2015/02/27	100,000,000	2014/08/22
14652	Credit Suisse AG	Tencent Holdings European Wts Sep 2015 A	0.200	140 per 100 units	2015/09/30	100,000,000	2014/08/22
14726	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Feb 2015 C	0.250	108.000	2015/02/23	100,000,000	2014/08/22
14580	Daiwa Capital Mkt	China Mobile European Wts Feb 2015 C	0.250	105.980	2015/02/23	108,000,000	2014/08/22
14585	Daiwa Capital Mkt	HKEx European Warrants Apr 2015 A	0.250	183.88 per 100 units	2015/04/01	258,000,000	2014/08/22
14714	J P Morgan SP BV	China Life European Warrants Jun 2015 A	0.151	24.100	2015/06/30	200,000,000	2014/08/22
14576	J P Morgan SP BV	China Mob European Wts Mar 2015 C	0.250	105.000	2015/03/02	200,000,000	2014/08/22
14577	J P Morgan SP BV	China Mob European Wts Mar 2015 D	0.275	100.000	2015/03/02	200,000,000	2014/08/22
14558	J P Morgan SP BV	China Mob European Put Wts Mar 2015 B	0.251	85.000	2015/03/02	200,000,000	2014/08/22
14715	J P Morgan SP BV	HKEx European Put Wts Jun 2015 B	0.151	176.80 per 100 units	2015/06/30	300,000,000	2014/08/22
14716	J P Morgan SP BV	HSBC European Put Warrants Mar 2015 A	0.250	80.880	2015/03/03	200,000,000	2014/08/22
14706	J P Morgan SP BV	Techtronic Ind European Warrants Mar 15 A	0.251	28.880	2015/03/23	100,000,000	2014/08/22
14527	Macquarie Bank Ltd.	BBMG European Warrants Nov 2016 A	0.250	6.880	2016/11/16	40,000,000	2014/08/22
14589	Macquarie Bank Ltd.	China Mobile European Wts Apr 2015 B	0.250	112.500	2015/04/02	40,000,000	2014/08/22
14532	Macquarie Bank Ltd.	Lenovo European Warrants Mar 2015 A	0.334	16 per unit	2015/03/04	30,000,000	2014/08/22
14693	Standard Chartered Bank	China Mobile Euro Wts Feb 2015 B	0.200	102.480	2015/02/18	80,000,000	2014/08/22
14697	Standard Chartered Bank	China Mobile Eur Put Wt Mar 2015 A	0.250	83.000	2015/03/03	80,000,000	2014/08/22
14705	Standard Chartered Bank	HSBC European Put Wts Jun 2015 A	0.350	75.000	2015/06/19	80,000,000	2014/08/22
14704	Standard Chartered Bank	SJM Hold European Wts Aug 2015 A	0.250	22.000	2015/08/28	50,000,000	2014/08/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14681	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 15 A	0.250	109.000	2015/05/06	70,000,000	2014/08/22
14687	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Feb 2015B	0.255	10.90 per unit	2015/02/04	70,000,000	2014/08/22
14538	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2015 C	0.810	200.000	2015/01/07	30,000,000	2014/08/22
14535	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jul 2015 B	0.185	188.88 per 100 units	2015/07/06	300,000,000	2014/08/22
14542	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Dec 2015 A	0.180	208.88 per 100 units	2015/12/02	300,000,000	2014/08/22
14684	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2015 A	0.155	93.880	2015/03/04	100,000,000	2014/08/22
14686	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2015 A	0.250	98.880	2015/09/02	100,000,000	2014/08/22
14545	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Apr15A	0.250	28.220	2015/04/08	50,000,000	2014/08/22
14691	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jan 15 C	0.260	155.50 per 20 units	2015/01/05	150,000,000	2014/08/22
14659	UBS AG	China Mobile European Warrants Feb 2015 E	0.250	104.880	2015/02/27	100,000,000	2014/08/22
14660	UBS AG	China Mobile European Put Warrants Mar 2015 A	0.510	88.880	2015/03/02	100,000,000	2014/08/22
14534	UBS AG	China Mobile European Put Warrants Jan 2017 A	0.250	100 per 100 units	2017/01/16	500,000,000	2014/08/22
14658	UBS AG	A50 China European Warrants Sep 2018 A	0.150	12.120	2018/09/26	300,000,000	2014/08/22
14677	UBS AG	HKEx European Warrants Feb 2015 D	0.250	288.880	2015/02/23	80,000,000	2014/08/22
14661	UBS AG	HKEx European Warrants Feb 2016 B	0.250	228.88 per 100 units	2016/02/29	300,000,000	2014/08/22
14680	UBS AG	HKEx European Put Warrants Feb 2015 E	0.170	179.90 per 100 units	2015/02/23	100,000,000	2014/08/22
14887	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Feb 2016 A	0.250	140.000	2016/02/02	100,000,000	2014/08/25
14895	BNP Paribas Arbit Issu B.V.	HSBC Euro Put Wts Jul 2015 A	0.290	67.800	2015/07/03	100,000,000	2014/08/25
14888	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Sep 2015 A	0.250	55.500	2015/09/02	54,000,000	2014/08/25
14881	Credit Suisse AG	China Mobile European Warrants Feb 2015 H	0.250	114.220	2015/02/27	60,000,000	2014/08/25
14799	Credit Suisse AG	China Mobile European Put Wts Feb 2015 G	0.390	88.280	2015/02/27	60,000,000	2014/08/25
14803	Credit Suisse AG	HSBC European Wts Dec 2014 C	0.150	86.880	2014/12/19	70,000,000	2014/08/25
14797	Daiwa Capital Mkt	China Mobile European Put Wts Feb 2015 D	0.300	85.880	2015/02/24	80,000,000	2014/08/25
14748	HK Bank	China Mobile European Warrants Feb 2015 C	0.250	103.380	2015/02/27	80,000,000	2014/08/25
14749	HK Bank	China Mobile European Put Warrants Feb 2015 D	0.250	83.880	2015/02/27	80,000,000	2014/08/25
14750	HK Bank	Tencent European Warrants Jan 2015 A	0.210	155.50 per 20 units	2015/01/02	80,000,000	2014/08/25
14754	HK Bank	Tencent European Warrants Jan 2015 B	0.450	140.10 per 20 units	2015/01/28	80,000,000	2014/08/25
14909	J P Morgan SP BV	China Mob European Wts Mar 2015 E	0.251	112.000	2015/03/02	200,000,000	2014/08/25
14908	J P Morgan SP BV	China Mob European Put Wts Apr 2015 A	0.470	90.880	2015/04/01	150,000,000	2014/08/25
14762	Macquarie Bank Ltd.	Yurun Food European Wts Mar 2015 A	0.250	5.25 per unit	2015/03/05	40,000,000	2014/08/25
14764	Macquarie Bank Ltd.	Zijin Mining European Wts Aug 2015 A	0.250	2.48 per unit	2015/08/04	40,000,000	2014/08/25
14782	Standard Chartered Bank	Link REIT European Wts Jun 2015 A	0.250	50.000	2015/06/01	50,000,000	2014/08/25
14828	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Aug 2015 A	0.165	4.08 per unit	2015/08/03	100,000,000	2014/08/25
14841	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Aug 2016A	0.150	16.520	2016/08/03	300,000,000	2014/08/25
14868	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Apr 2015A	0.150	10.02 per unit	2015/04/08	80,000,000	2014/08/25
14844	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt May15A	0.250	3.48 per unit	2015/05/06	45,000,000	2014/08/25
14845	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Mar15 B	0.295	78.000	2015/03/04	100,000,000	2014/08/25
14846	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Aug15A	0.250	51.880	2015/08/05	40,000,000	2014/08/25
14773	UBS AG	China Mobile European Warrants Mar 2015 B	0.250	113.880	2015/03/06	100,000,000	2014/08/25
14759	UBS AG	CNOOC European Put Warrants Aug 2015 A	0.250	14.780	2015/08/17	50,000,000	2014/08/25
14768	UBS AG	Fosun Pharma European Warrants Jun 2015 A	0.150	10.900	2015/06/24	70,000,000	2014/08/25
14780	UBS AG	Lenovo European Warrants Feb 2015 B	0.250	16.18 per unit	2015/02/27	40,000,000	2014/08/25
14772	UBS AG	Link REIT European Warrants Feb 2015 A	0.250	50.880	2015/02/24	40,000,000	2014/08/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15095	Credit Suisse AG	CNOOC European Put Warrants Oct 2015 A	0.250	14.480	2015/10/30	80,000,000	2014/08/26
15086	Credit Suisse AG	China Tel European Warrants Feb 2015 A	0.250	4.98 per unit	2015/02/26	60,000,000	2014/08/26
15087	Credit Suisse AG	HKEx European Warrants May 2015 A	0.250	200.58 per 100 units	2015/05/29	100,000,000	2014/08/26
15107	Credit Suisse AG	HSI European Warrants Feb 2015 C	0.150	26,600 per 6,000 units	2015/02/26	150,000,000	2014/08/26
15106	Credit Suisse AG	HSI European Put Warrants Feb 2015 B	0.150	25,000 per 9,000 units	2015/02/26	150,000,000	2014/08/26
15105	Credit Suisse AG	Link REIT European Warrants Feb 2015 A	0.250	53.380	2015/02/26	60,000,000	2014/08/26
15084	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 I	0.230	155.45 per 20 units	2014/12/29	100,000,000	2014/08/26
15081	Credit Suisse AG	Tencent Holdings European Wts Jan 2015 D	0.360	150 per 20 units	2015/01/30	100,000,000	2014/08/26
14920	Citigroup Global Mkt H Inc.	CGS European Wts Mar 2015 A	0.400	7.38 per unit	2015/03/02	30,000,000	2014/08/26
14921	Citigroup Global Mkt H Inc.	CITIC Sec Euro Wts May 2015 A	0.190	23.280	2015/05/21	100,000,000	2014/08/26
14919	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Mar 2015 A	0.250	118.000	2015/03/02	100,000,000	2014/08/26
14923	Citigroup Global Mkt H Inc.	Enn Energy European Wts Mar15A	0.440	68.000	2015/03/09	50,000,000	2014/08/26
14918	Citigroup Global Mkt H Inc.	HSBC European Wts Feb 2015 B	0.200	90.000	2015/02/18	100,000,000	2014/08/26
15071	Daiwa Capital Mkt	CNOOC European Warrants May 2015 A	0.250	14.280	2015/05/22	98,000,000	2014/08/26
15068	Daiwa Capital Mkt	PetroChina European Warrants Mar 2015 A	0.250	13.28 per unit	2015/03/18	80,000,000	2014/08/26
15074	Daiwa Capital Mkt	SHK Ppt European Put Warrants Feb 2015 A	0.150	94.950	2015/02/18	68,000,000	2014/08/26
14947	HK Bank	HSCEI European Warrants Feb 2015 B	0.150	11,250 per 4,800 units	2015/02/26	150,000,000	2014/08/26
14944	HK Bank	HSCEI European Put Warrants Feb 2015 A	0.150	10,948 per 4,800 units	2015/02/26	150,000,000	2014/08/26
14936	HK Bank	HSI European Warrants Feb 2015 F	0.150	26,728 per 6,000 units	2015/02/26	150,000,000	2014/08/26
14939	HK Bank	HSI European Warrants Feb 2015 G	0.250	24,100 per 8,000 units	2015/02/26	150,000,000	2014/08/26
14930	HK Bank	HSI European Put Warrants Feb 2015 E	0.250	24,888 per 7,800 units	2015/02/26	150,000,000	2014/08/26
15117	J P Morgan SP BV	BOCL European Warrants Apr 2015 A	0.153	4.18 per unit	2015/04/02	200,000,000	2014/08/26
15116	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Mar 2015 A	0.157	6.68 per unit	2015/03/02	200,000,000	2014/08/26
15029	J P Morgan SP BV	China Mob European Wts Dec 2018 A	0.250	90 per 100 units	2018/12/03	500,000,000	2014/08/26
15114	J P Morgan SP BV	CNOOC European Warrants Mar 2015 A	0.253	18.20 per unit	2015/03/02	80,000,000	2014/08/26
15112	J P Morgan SP BV	Lenovo European Warrants Apr 2016 A	0.250	13.000	2016/04/05	200,000,000	2014/08/26
15108	J P Morgan SP BV	Lenovo European Put Warrants Aug 2015 B	0.150	11.000	2015/08/03	200,000,000	2014/08/26
15056	J P Morgan SP BV	Link Reit European Warrants Mar 2015 A	0.250	52.000	2015/03/02	50,000,000	2014/08/26
15021	Macquarie Bank Ltd.	China Tel European Warrants Apr 2015 A	0.286	4.88 per unit	2015/04/02	35,000,000	2014/08/26
14917	Macquarie Bank Ltd.	Techtronic Ind European Wts Apr15A	0.250	29.880	2015/04/20	40,000,000	2014/08/26
14972	Standard Chartered Bank	BOCL European Warrants Mar 2015 A	0.150	3.88 per unit	2015/03/20	80,000,000	2014/08/26
15120	Standard Chartered Bank	CITIC Pacific Euro Put Wt Sep 15 B	0.260	16.000	2015/09/14	80,000,000	2014/08/26
14948	Standard Chartered Bank	China Life European Wts Jun 2015 A	0.150	27.500	2015/06/10	100,000,000	2014/08/26
14951	Standard Chartered Bank	China Life European Wts Dec 2015 B	0.200	26.280	2015/12/28	100,000,000	2014/08/26
15019	Standard Chartered Bank	China Mobile Euro Wts Mar 2015 B	0.250	113.880	2015/03/04	80,000,000	2014/08/26
15020	Standard Chartered Bank	China Mobile Eur Put Wt Feb 2015 C	0.290	88.880	2015/02/26	80,000,000	2014/08/26
14963	Standard Chartered Bank	CRCC European Warrants Jul 2015 A	0.540	9 per unit	2015/07/31	40,000,000	2014/08/26
14954	Standard Chartered Bank	China Shenhua Euro Wts Apr 2015 A	0.150	26.000	2015/04/27	80,000,000	2014/08/26
14989	Standard Chartered Bank	HSI European Warrants Mar 2015 C	0.150	26,000 per 6,000 units	2015/03/30	100,000,000	2014/08/26
14992	Standard Chartered Bank	HSI European Warrants Mar 2015 D	0.150	26,400 per 6,000 units	2015/03/30	100,000,000	2014/08/26
14997	Standard Chartered Bank	HSI European Put Wts Feb 2015 C	0.190	25,200 per 8,000 units	2015/02/26	100,000,000	2014/08/26
15018	Standard Chartered Bank	HSI European Put Wts Feb 2015 D	0.250	25,600 per 7,000 units	2015/02/26	100,000,000	2014/08/26
14964	Standard Chartered Bank	NewWorld Dev European Wts May 15 A	0.150	12.50 per unit	2015/05/04	70,000,000	2014/08/26

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14965	Standard Chartered Bank	Tracker Fund Euro Wts Mar 2015 B	0.250	30 per unit	2015/03/06	50,000,000	2014/08/26
15032	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Dec 2015A	0.250	25.000	2015/12/02	60,000,000	2014/08/26
15076	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Sep15A	0.185	18.880	2015/09/02	70,000,000	2014/08/26
15039	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Jan17 A	0.200	15.020	2017/01/04	100,000,000	2014/08/26
15048	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Oct 2018A	0.150	12.100	2018/10/03	500,000,000	2014/08/26
15041	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2015 A	0.165	25,600 per 7,000 units	2015/03/30	150,000,000	2014/08/26
15045	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2015 B	0.150	27,000 per 6,600 units	2015/06/29	150,000,000	2014/08/26
15077	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 15 A	0.195	25,200 per 8,000 units	2015/02/26	150,000,000	2014/08/26
15055	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 15 B	0.165	140 per 100 units	2015/10/05	500,000,000	2014/08/26
15080	UBS AG	BYD European Warrants Feb 2015 A	0.415	63.930	2015/02/09	50,000,000	2014/08/26
15078	UBS AG	Ping An European Warrants Mar 2015 A	0.180	82.050	2015/03/27	70,000,000	2014/08/26
15134	Daiwa Capital Mkt	China Life European Warrants Apr 2015 B	0.250	22.680	2015/04/01	128,000,000	2014/08/27
15133	HK Bank	Anhui Conch European Put Warrants Feb 2015 A	0.250	26.000	2015/02/27	60,000,000	2014/08/27
15123	HK Bank	CAM CSI300 European Warrants Aug 2015 A	0.200	33.730	2015/08/17	100,000,000	2014/08/27
15131	HK Bank	China Life European Put Warrants Apr 2015 A	0.160	21.750	2015/04/09	80,000,000	2014/08/27
15126	HK Bank	Sinopec Corp European Warrants Feb 2015 B	0.350	8.28 per unit	2015/02/27	80,000,000	2014/08/27
15121	HK Bank	HKEx European Put Warrants Feb 2015 B	0.150	179.90 per 100 units	2015/02/23	100,000,000	2014/08/27
15128	HK Bank	Ping An European Put Warrants Jan 2015 B	0.150	57.950	2015/01/30	80,000,000	2014/08/27
15227	J P Morgan SP BV	AIA European Put Warrants Apr 2015 B	0.251	40.880	2015/04/02	200,000,000	2014/08/27
15232	J P Morgan SP BV	BBMG European Warrants Dec 2016 A	0.250	7.000	2016/12/02	80,000,000	2014/08/27
15192	J P Morgan SP BV	HSI European Warrants Dec 2015 A	0.250	30,000 per 6,300 units	2015/12/30	500,000,000	2014/08/27
15148	Macquarie Bank Ltd.	Biostime European Warrants Feb 2015 A	0.346	46.000	2015/02/27	30,000,000	2014/08/27
15145	Macquarie Bank Ltd.	CMS European Warrants Mar 2016 A	0.250	12.880	2016/03/02	40,000,000	2014/08/27
15221	Macquarie Bank Ltd.	Ch Ship Cont European Wts May 2015 A	0.250	2.88 per unit	2015/05/05	40,000,000	2014/08/27
15184	Standard Chartered Bank	Lenovo European Wts Feb 2015 B	0.450	14.88 per unit	2015/02/27	30,000,000	2014/08/27
15149	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Apr 15 A	0.250	4.10 per unit	2015/04/08	40,000,000	2014/08/27
15153	SGA Societe Generale Acceptance N.V.	Chi COSCO Eu Wt Jun 15 A	0.320	4.20 per unit	2015/06/03	35,000,000	2014/08/27
15168	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Mar 15 A	0.250	5.88 per unit	2015/03/04	40,000,000	2014/08/27
15155	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Oct 2016 A	0.160	17.500	2016/10/05	100,000,000	2014/08/27
15174	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Mar 2015 B	0.410	258.000	2015/03/04	30,000,000	2014/08/27
15163	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt May 2016A	0.200	16.800	2016/05/04	80,000,000	2014/08/27
15175	SGA Societe Generale Acceptance N.V.	Ping An Eu Put Wt Mar15A	0.255	58.880	2015/03/04	100,000,000	2014/08/27
15179	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Mar15A	0.320	60.880	2015/03/04	80,000,000	2014/08/27
15183	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Apr15A	0.250	66.880	2015/04/08	80,000,000	2014/08/27
15225	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt May 2015A	0.250	140.000	2015/05/06	50,000,000	2014/08/27
15210	UBS AG	Geely Auto European Warrants Feb 2015 B	0.300	3.38 per unit	2015/02/26	40,000,000	2014/08/27
15209	UBS AG	Galaxy Ent European Warrants Feb 2015 A	0.300	71.880	2015/02/26	40,000,000	2014/08/27
15216	UBS AG	Kingsoft European Warrants Feb 2015 A	0.280	23.880	2015/02/26	100,000,000	2014/08/27
15193	UBS AG	Tencent Holdings European Warrants Jan 2015 C	0.210	163.70 per 20 units	2015/01/07	100,000,000	2014/08/27
22674 [#]	Standard Chartered Bank	CSOP A50 ETF Euro Wts Mar 2015 A	0.044	10.000	2015/03/06	230,000,000	2014/08/27
15254	BOCI Asia Ltd.	BOC HK European Warrants May 2015 A	0.150	27.000	2015/05/04	100,000,000	2014/08/28
15271	BOCI Asia Ltd.	HKEx European Put Warrants Jun 2015 B	0.180	175 per 100 units	2015/06/29	100,000,000	2014/08/28
15270	BOCI Asia Ltd.	Lenovo European Put Warrants Aug 2015 A	0.150	11.000	2015/08/10	100,000,000	2014/08/28

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15313	Credit Suisse AG	GCL-Poly Energy European Wts Mar 2015 A	0.250	3.52 per unit	2015/03/02	60,000,000	2014/08/28
15310	Credit Suisse AG	Kingsoft European Warrants Mar 2015 A	0.170	26.900	2015/03/03	70,000,000	2014/08/28
15272	Daiwa Capital Mkt	BOC HK European Warrants Apr 2015 A	0.150	27.050	2015/04/27	108,000,000	2014/08/28
15277	HK Bank	CHALCO European Warrants Feb 2015 A	0.250	4.18 per unit	2015/02/27	80,000,000	2014/08/28
15279	HK Bank	China COSCO European Warrants Mar 2015 A	0.250	3.98 per unit	2015/03/02	80,000,000	2014/08/28
15273	HK Bank	Geely Auto European Warrants Feb 2015 A	0.250	3.38 per unit	2015/02/27	80,000,000	2014/08/28
15281	HK Bank	Jiangxi Copper European Warrants Apr 2016 A	0.190	16.820	2016/04/26	80,000,000	2014/08/28
15274	HK Bank	Sh Petrochem European Warrants Mar 2015 A	0.300	3.18 per unit	2015/03/02	60,000,000	2014/08/28
15324	J P Morgan SP BV	Biostime European Warrants Mar 2015 A	0.253	36.880	2015/03/03	40,000,000	2014/08/28
15246	J P Morgan SP BV	BOC HK European Warrants Dec 2016 A	0.251	28.000	2016/12/30	150,000,000	2014/08/28
15244	J P Morgan SP BV	CITIC Sec European Warrants May 2015 A	0.151	23.280	2015/05/28	80,000,000	2014/08/28
15317	J P Morgan SP BV	China Taiping European Wts Apr 2015 A	0.251	21.880	2015/04/02	60,000,000	2014/08/28
15314	J P Morgan SP BV	HSI European Warrants Feb 2015 D	0.150	26,600 per 6,000 units	2015/02/26	500,000,000	2014/08/28
15243	J P Morgan SP BV	Hutchison European Warrants Dec 2014 A	0.161	124.50 per 9 units	2014/12/31	80,000,000	2014/08/28
15315	J P Morgan SP BV	Kingsoft European Warrants Mar 2015 A	0.250	26.880	2015/03/02	150,000,000	2014/08/28
15245	J P Morgan SP BV	MTRC European Warrants Feb 2016 A	0.250	33.880	2016/02/02	40,000,000	2014/08/28
15236	J P Morgan SP BV	Tracker Fund European Warrants Dec 2015 A	0.250	27.000	2015/12/02	200,000,000	2014/08/28
15305	Macquarie Bank Ltd.	Greatwall Motor European Wts Apr 2015 A	0.220	40.000	2015/04/02	46,000,000	2014/08/28
15309	Macquarie Bank Ltd.	Sands China European Wts Apr 2015 A	0.250	72.500	2015/04/02	40,000,000	2014/08/28
15233	Macquarie Bank Ltd.	Tencent European Warrants Dec 2014 B	0.250	156.90 per 20 units	2014/12/02	40,000,000	2014/08/28
15302	Standard Chartered Bank	BOC HK European Wts Feb 2015 A	0.370	30.05 per unit	2015/02/23	60,000,000	2014/08/28
15300	Standard Chartered Bank	Techtronic Ind Euro Wts Mar15A	0.250	30.000	2015/03/27	50,000,000	2014/08/28
15406	Credit Suisse AG	Sinopec Corp European Warrants Mar 2015 A	0.250	9.02 per unit	2015/03/02	70,000,000	2014/08/29
15383	Credit Suisse AG	ChiUnicom European Warrants Nov 2016 A	0.220	15.200	2016/11/07	70,000,000	2014/08/29
15396	Credit Suisse AG	Kingsoft European Warrants Mar 2015 B	0.250	24.680	2015/03/02	70,000,000	2014/08/29
15395	Credit Suisse AG	Ping An European Warrants Dec 2014 D	0.240	70.000	2014/12/24	80,000,000	2014/08/29
15385	Credit Suisse AG	ZTE European Warrants Jan 2015 A	0.150	18.900	2015/01/26	70,000,000	2014/08/29
15429	Bank of East Asia	CHALCO European Warrants Apr 2015 A	0.250	4.20 per unit	2015/04/16	40,000,000	2014/08/29
15419	Bank of East Asia	BOCHK European Warrants Dec 2015 A	0.250	26.800	2015/12/30	40,000,000	2014/08/29
15421	Bank of East Asia	BOCHK European Put Warrants Oct 2015 A	0.250	23.800	2015/10/05	40,000,000	2014/08/29
15427	Bank of East Asia	HSBC European Warrants Jul 2015 A	0.250	96.800	2015/07/29	40,000,000	2014/08/29
15430	Bank of East Asia	Lenovo European Warrants Jun 2016 A	0.250	11.992	2016/06/22	50,000,000	2014/08/29
15334	HK Bank	Ch Oilfield European Warrants Mar 2015 A	0.150	23.930	2015/03/10	80,000,000	2014/08/29
15333	HK Bank	Shanghai Electric European Warrants Mar 2015 A	0.250	3.98 per unit	2015/03/02	60,000,000	2014/08/29
15432	J P Morgan SP BV	PetroChina European Warrants Mar 2015 B	0.250	13 per unit	2015/03/02	100,000,000	2014/08/29
15341	Macquarie Bank Ltd.	AIA European Warrants Jul 2015 A	0.250	50.000	2015/07/03	40,000,000	2014/08/29
15382	Macquarie Bank Ltd.	China Taiping European Wts Apr 2015 A	0.250	22.500	2015/04/02	40,000,000	2014/08/29
15339	Macquarie Bank Ltd.	Li & Fung European Warrants Mar 2015 A	0.250	12.88 per unit	2015/03/03	40,000,000	2014/08/29
15327	Macquarie Bank Ltd.	Tencent European Warrants Dec 2014 C	0.498	130.88 per 20 units	2014/12/01	25,000,000	2014/08/29
15411	Macquarie Bank Ltd.	Tencent European Warrants Mar 2015 B	1.345	130.000	2015/03/03	25,000,000	2014/08/29
15412	Macquarie Bank Ltd.	Tencent European Warrants Mar 2015 C	0.704	150.000	2015/03/03	25,000,000	2014/08/29
15416	Macquarie Bank Ltd.	Tencent European Warrants Mar 2015 D	0.403	170.000	2015/03/03	25,000,000	2014/08/29
15342	Standard Chartered Bank	CNOOC European Put Wts Sep 2015 A	0.250	14.500	2015/09/03	80,000,000	2014/08/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15347	Standard Chartered Bank	Chi Res Power Euro Wts Jun 2015 A	0.250	27.000	2015/06/30	80,000,000	2014/08/29
15369	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jan 2015 A	0.250	10.38 per unit	2015/01/28	60,000,000	2014/08/29
15350	Standard Chartered Bank	Esprit European Wts Feb 2016 A	0.250	13.380	2016/02/01	80,000,000	2014/08/29
15368	Standard Chartered Bank	A50 China Euro Warrants Dec 2014 B	0.200	10 per unit	2014/12/31	60,000,000	2014/08/29
15379	Standard Chartered Bank	Galaxy Ent European Wts Dec 2014 B	0.510	61.000	2014/12/29	60,000,000	2014/08/29
15367	Standard Chartered Bank	MTRC European Warrants Dec 2015 A	0.250	33.880	2015/12/31	60,000,000	2014/08/29
15362	Standard Chartered Bank	SPDR Gold Trust Euro Wt Jun 2015 A	0.330	1,100 per 100 units	2015/06/30	60,000,000	2014/08/29
15352	Standard Chartered Bank	Zijin Mining Euro Wts Jul 2015 A	0.150	2.45 per unit	2015/07/24	70,000,000	2014/08/29
15487	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Feb 2015 B	0.150	6.82 per unit	2015/02/03	100,000,000	2014/09/01
15492	BNP Paribas Arbit Issu B.V.	HSI European Wts Jul 2015 A	0.150	27,000 per 6,000 units	2015/07/30	100,000,000	2014/09/01
15498	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jul 2016 A	0.250	6.80 per unit	2016/07/05	100,000,000	2014/09/01
15457	Credit Suisse AG	Sinopec Corp European Warrants Mar 2015 B	0.250	9.98 per unit	2015/03/02	70,000,000	2014/09/01
15473	Credit Suisse AG	PetroChina European Warrants Mar 2015 A	0.250	13.58 per unit	2015/03/02	70,000,000	2014/09/01
15515	Citigroup Global Mkt H Inc.	AIA European Wts Jun 2015 A	0.250	50.000	2015/06/29	80,000,000	2014/09/01
15514	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Dec 2014 C	0.210	8.58 per unit	2014/12/05	60,000,000	2014/09/01
15448	Daiwa Capital Mkt	CAM CSI300 European Warrants Jun 2015 A	0.250	33.180	2015/06/01	98,000,000	2014/09/01
15452	Daiwa Capital Mkt	Sinopec Corp European Put Wts Mar 2015 B	0.270	7 per unit	2015/03/02	80,000,000	2014/09/01
15449	Daiwa Capital Mkt	CSOP A50 ETF European Wts Mar 2015 A	0.160	12.02 per unit	2015/03/02	108,000,000	2014/09/01
15446	Daiwa Capital Mkt	A50 China European Warrants Feb 2015 A	0.150	11.88 per unit	2015/02/02	128,000,000	2014/09/01
15433	HK Bank	Agricultural Bank European Put Warrants May 2015 A	0.250	3.28 per unit	2015/05/29	80,000,000	2014/09/01
15434	HK Bank	China Shenhua European Put Warrants Sep 2015 A	0.250	19.980	2015/09/30	80,000,000	2014/09/01
15435	HK Bank	HKEx European Warrants Mar 2015 B	0.160	190.78 per 100 units	2015/03/24	150,000,000	2014/09/01
15508	J P Morgan SP BV	BOC HK European Warrants May 2015 A	0.150	27.000	2015/05/04	180,000,000	2014/09/01
15439	Macquarie Bank Ltd.	China Res Gas European Wts Mar 2015 A	0.250	28.880	2015/03/04	40,000,000	2014/09/01
15453	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Oct 2016A	0.250	27.800	2016/10/05	60,000,000	2014/09/01
15437	SGA Societe Generale Acceptance NV	CITIC P Eu Put Wt Oct15A	0.150	13.000	2015/10/05	70,000,000	2014/09/01
15456	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Mar 2015A	0.310	9 per unit	2015/03/04	80,000,000	2014/09/01
15438	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Mar15A	0.270	24.000	2015/03/04	50,000,000	2014/09/01
15484	UBS AG	AIA European Warrants Jul 2015 A	0.250	53.880	2015/07/20	100,000,000	2014/09/01
15503	UBS AG	Sinopec Corp European Warrants Mar 2015 B	0.250	10.18 per unit	2015/03/02	70,000,000	2014/09/01
15483	UBS AG	Sinopec Corp European Put Warrants Mar 2015 A	0.330	7.18 per unit	2015/03/02	40,000,000	2014/09/01
15479	UBS AG	PetroChina European Warrants Mar 2015 A	0.250	13.38 per unit	2015/03/02	50,000,000	2014/09/01
15531	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Mar 2015 A	0.170	10 per unit	2015/03/30	100,000,000	2014/09/02
15527	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Aug 2015 A	0.260	4.28 per unit	2015/08/04	100,000,000	2014/09/02
15541	BNP Paribas Arbit Issu B.V.	HKEx European Wts Oct 2015 A	0.160	199.90 per 100 units	2015/10/05	100,000,000	2014/09/02
15529	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Jun 2016 A	0.250	12.680	2016/06/02	100,000,000	2014/09/02
15544	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Jul 2015 A	0.300	14.20 per unit	2015/07/03	100,000,000	2014/09/02
15553	Credit Suisse	Foxconn European Warrants Mar 2015 A	0.250	5.78 per unit	2015/03/02	60,000,000	2014/09/02
15557	Credit Suisse AG	Ping An European Warrants Feb 2015 A	0.150	78.900	2015/02/27	70,000,000	2014/09/02
15555	Credit Suisse AG	Sinopharm European Warrants Mar 2015 A	0.250	31.280	2015/03/02	60,000,000	2014/09/02
15526	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Mar 2015 A	0.250	13.68 per unit	2015/03/16	50,000,000	2014/09/02
15522	Daiwa Capital Mkt	HKEx European Warrants May 2015 B	0.150	200.68 per 100 units	2015/05/21	258,000,000	2014/09/02
15521	Macquarie Bank Ltd.	CITIC Pacific European Wts Sep 2015 A	0.150	18.880	2015/09/02	70,000,000	2014/09/02

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15519	Standard Chartered Bank	Henganintl European Wts Oct15A	0.150	82.92 per 100 units	2015/10/02	100,000,000	2014/09/02
15520	Standard Chartered Bank	Hutchison Euro Euro Wts Jan 2015 A	0.250	113.800	2015/01/29	80,000,000	2014/09/02
15558	Standard Chartered Bank	PetroChina European Wts Feb 2015 A	0.250	12.68 per unit	2015/02/09	60,000,000	2014/09/02
15525	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Apr15A	0.510	7.68 per unit	2015/04/08	20,000,000	2014/09/02
25846 [†]	J P Morgan SP BV	Geely Auto European Warrants Jan 2015 A	0.212	3.20 per unit	2015/01/05	80,000,000	2014/09/02
15562	BOCI Asia Ltd.	CNOOC European Put Warrants Aug 2015 A	0.200	14.760	2015/08/10	100,000,000	2014/09/03
15559	BOCI Asia Ltd.	Sinopec Corp European Put Warrants Mar 15 A	0.290	6.88 per unit	2015/03/09	100,000,000	2014/09/03
15565	BOCI Asia Ltd.	A50 China European Warrants Dec 2016 A	0.150	8.870	2016/12/28	100,000,000	2014/09/03
15564	BOCI Asia Ltd.	Lenovo European Warrants Mar 2016 A	0.200	13.000	2016/03/24	100,000,000	2014/09/03
15561	BOCI Asia Ltd.	PetroChina European Put Warrants Apr 2015 A	0.330	9.50 per unit	2015/04/27	100,000,000	2014/09/03
15579	Credit Suisse AG	AIA European Warrants Jun 2015 A	0.250	52.280	2015/06/29	80,000,000	2014/09/03
15580	Credit Suisse	SMIC European Warrants Jul 2015 A	0.150	1 per unit	2015/07/31	70,000,000	2014/09/03
15582	Daiwa Capital Mkt	PetroChina European Warrants May 2015 A	0.250	14.88 per unit	2015/05/08	98,000,000	2014/09/03
15586	Daiwa Capital Mkt	PetroChina European Put Wts Mar 2015 B	0.340	9.68 per unit	2015/03/02	68,000,000	2014/09/03
15568	HK Bank	CC Bank European Put Warrants Apr 2015 A	0.250	5.28 per unit	2015/04/30	80,000,000	2014/09/03
15566	HK Bank	A50 China European Put Warrants Aug 2016 C	0.150	9.270	2016/08/23	100,000,000	2014/09/03
15567	HK Bank	HSI European Put Warrants Feb 2015 H	0.160	25,188 per 8,000 units	2015/02/26	180,000,000	2014/09/03
15569	HK Bank	ICBC European Put Warrants Apr 2015 A	0.250	4.68 per unit	2015/04/30	80,000,000	2014/09/03
15598	Macquarie Bank Ltd.	Galaxy Ent European Wts Feb 2015 C	0.200	72.800	2015/02/03	50,000,000	2014/09/03
15587	Macquarie Bank Ltd.	SHK Ppt European Warrants May 2015 B	0.150	140.000	2015/05/05	70,000,000	2014/09/03
15575	Standard Chartered Bank	Kingsoft European Wts Jan 2015 A	0.160	25.730	2015/01/28	80,000,000	2014/09/03
15576	Standard Chartered Bank	Kingsoft European Put Wts Mar 15 A	0.260	21.880	2015/03/04	80,000,000	2014/09/03
15570	Standard Chartered Bank	Sands China Euro Wts Mar 2015 A	0.220	61.330	2015/03/02	80,000,000	2014/09/03
15610	SGA Societe Generale Acceptance N.V	Ch Oilfield Eu Wt Jul15A	0.310	24.880	2015/07/06	50,000,000	2014/09/03
15589	SGA Societe Generale Acceptance N.V.	GAC Eur Wts Feb 2017A	0.250	8.500	2017/02/06	120,000,000	2014/09/03
15577	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Mar15A	0.470	65.000	2015/03/04	70,000,000	2014/09/03
15606	UBS AG	BYD European Warrants Mar 2015 A	0.250	77.880	2015/03/09	50,000,000	2014/09/03
15604	UBS AG	CSOP A50 ETF European Warrants Mar 2015 A	0.450	10 per unit	2015/03/09	60,000,000	2014/09/03
15602	UBS AG	China Tel European Warrants Mar 2015 A	0.250	5.38 per unit	2015/03/09	40,000,000	2014/09/03
13716 [†]	Standard Chartered Bank	HKEx European Warrants Jun 2015 D	0.150	188.88 per 100 units	2015/06/22	100,000,000	2014/09/03
15651	BNP Paribas Arbit Issu B.V.	Biostime Euro Wts Apr 2015 A	0.330	38.800	2015/04/02	33,000,000	2014/09/04
15649	BNP Paribas Arbit Issu B.V.	BOCHK European Wts Dec 2016 A	0.260	28.000	2016/12/02	100,000,000	2014/09/04
15650	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Apr 2015 A	0.250	5.60 per unit	2015/04/02	76,000,000	2014/09/04
15648	BNP Paribas Arbit Issu B.V.	Ping An European Wt Apr 2015 A	0.150	82.000	2015/04/02	100,000,000	2014/09/04
15629	Credit Suisse AG	HKEx European Warrants Aug 2015 A	0.170	177.90 per 100 units	2015/08/11	100,000,000	2014/09/04
15626	Credit Suisse AG	HKEx European Warrants Sep 2015 D	0.150	208.90 per 100 units	2015/09/21	100,000,000	2014/09/04
15627	Credit Suisse AG	HKEx European Warrants Dec 2015 B	0.150	228.10 per 100 units	2015/12/21	100,000,000	2014/09/04
15641	Bank of East Asia	R&F Ppt European Warrants Feb 2016 A	0.157	10.260	2016/02/24	70,000,000	2014/09/04
15642	Bank of East Asia	Henganintl European Warrants May 2015 A	0.465	97.044	2015/05/05	25,000,000	2014/09/04
15625	HK Bank	BOC HK European Warrants Oct 2016 A	0.250	28.880	2016/10/04	80,000,000	2014/09/04
15623	HK Bank	CITIC Sec European Warrants Feb 2015 A	0.150	21.930	2015/02/27	80,000,000	2014/09/04
15612	HK Bank	Lenovo European Warrants Mar 2016 A	0.180	13.020	2016/03/31	100,000,000	2014/09/04
15617	HK Bank	Tencent European Warrants Sep 2015 A	0.150	140.10 per 100 units	2015/09/23	100,000,000	2014/09/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15622	HK Bank	Tencent European Warrants Dec 2015 B	0.150	148.10 per 100 units	2015/12/14	100,000,000	2014/09/04
15653	J P Morgan SP BV	HKEx European Warrants Jan 2015 B	0.398	200.000	2015/01/07	88,000,000	2014/09/04
15652	J P Morgan SP BV	Sands China European Warrants Mar 2015 B	0.251	60.000	2015/03/03	200,000,000	2014/09/04
15630	Macquarie Bank Ltd.	A50 China European Warrants Feb 2015 A	0.250	10.88 per unit	2015/02/03	40,000,000	2014/09/04
15639	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Feb15B	0.365	9.88 per unit	2015/02/04	50,000,000	2014/09/04
15640	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Apr 16 A	0.195	13.000	2016/04/06	120,000,000	2014/09/04
15643	UBS AG	A50 China European Warrants Jan 2015 A	0.380	9.51 per unit	2015/01/27	50,000,000	2014/09/04
15647	UBS AG	Lenovo European Put Warrants Aug 2015 B	0.150	10.980	2015/08/04	70,000,000	2014/09/04
15645	UBS AG	Sands China European Warrants Mar 2015 A	0.270	58.880	2015/03/03	40,000,000	2014/09/04
15644	UBS AG	Tencent Holdings European Warrants Dec 2015 B	0.175	148.10 per 100 units	2015/12/14	500,000,000	2014/09/04
15695	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2016 A	0.170	26.280	2016/01/05	100,000,000	2014/09/05
15696	BNP Paribas Arbit Issu B.V.	China Unicom Euro Wt Dec 2016A	0.250	15.200	2016/12/02	100,000,000	2014/09/05
15691	BNP Paribas Arbit Issu B.V.	HKEx European Wts Apr 2015 A	0.170	183.98 per 100 units	2015/04/02	100,000,000	2014/09/05
15692	BNP Paribas Arbit Issu B.V.	China Mengniu Eu Wt Jul 2015 A	0.300	42.000	2015/07/03	80,000,000	2014/09/05
15677	Credit Suisse AG	CHALCO European Wts Mar 2015 A	0.250	3.98 per unit	2015/03/30	60,000,000	2014/09/05
15663	Credit Suisse AG	KECL European Warrants Dec 2015 A	0.180	13.000	2015/12/28	60,000,000	2014/09/05
15700	Goldman Sachs SP (Asia)	China Mobile Euro Wts Feb 2015 B	0.276	106.000	2015/02/23	80,000,000	2014/09/05
15702	Goldman Sachs SP (Asia)	HKEx European Warrants Apr 2015 A	0.151	183.88 per 100 units	2015/04/01	120,000,000	2014/09/05
15699	J P Morgan SP BV	China Cinda European Warrants Mar 2015 A	0.250	4.38 per unit	2015/03/04	80,000,000	2014/09/05
15698	J P Morgan SP BV	CSOP A50 ETF European Warrants Jan 2015 A	0.250	10.38 per unit	2015/01/26	100,000,000	2014/09/05
15661	Standard Chartered Bank	CTel European Warrants Jun 2015 A	0.310	5 per unit	2015/06/30	60,000,000	2014/09/05
15659	Standard Chartered Bank	Datang Power Euro Wts Mar 2015 A	0.250	4.88 per unit	2015/03/17	40,000,000	2014/09/05
15660	Standard Chartered Bank	Greatwall Motor Euro Wt Feb 2015 A	0.200	38.880	2015/02/24	60,000,000	2014/09/05
15658	Standard Chartered Bank	Sands China Euro Wts Feb 2015 A	0.290	55.600	2015/02/18	80,000,000	2014/09/05
15654	Standard Chartered Bank	SHK P European Warrants Feb 2015 B	0.150	138.880	2015/02/23	80,000,000	2014/09/05
15684	SGA Societe Generale Acceptance N.V.	AIA Eur Wt May 2015 A	0.165	47.280	2015/05/06	100,000,000	2014/09/05
16551*	J P Morgan SP BV	Sands China European Warrants Dec 2014 B	0.068	56.880	2014/12/01	150,000,000	2014/09/05
18069*	Standard Chartered Bank	Evergrande European Wts May 2015 A	0.042	3.330	2015/05/28	250,000,000	2014/09/05
15724	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Mar 2015A	0.390	3 per unit	2015/03/30	50,000,000	2014/09/08
15728	BOCI Asia Ltd.	HKEx European Warrants Mar 2015 B	0.160	190.78 per 100 units	2015/03/24	100,000,000	2014/09/08
15725	BOCI Asia Ltd.	SMIC European Warrants Dec 2015 A	0.250	0.88 per unit	2015/12/28	50,000,000	2014/09/08
15726	BOCI Asia Ltd.	Tencent Holdings European Wts Jan 2015 A	0.360	140 per 20 units	2015/01/28	100,000,000	2014/09/08
15737	Credit Suisse AG	Galaxy Ent European Warrants Dec 2014 B	0.280	61.000	2014/12/24	70,000,000	2014/09/08
15740	Credit Suisse AG	Sands China European Warrants Mar 2015 A	0.250	53.880	2015/03/09	70,000,000	2014/09/08
15732	Daiwa Capital Mkt	China Mobile European Wts Mar 2015 A	0.150	118.080	2015/03/03	108,000,000	2014/09/08
15721	Bank of East Asia	CITIC European Put Warrants Oct 2015 A	0.250	14.500	2015/10/26	40,000,000	2014/09/08
15766	Goldman Sachs SP (Asia)	China Life Euro Wts Jun 2015 A	0.150	24.130	2015/06/30	80,000,000	2014/09/08
15764	Goldman Sachs SP (Asia)	China Tel European Wts Mar 2015 A	0.309	5.38 per unit	2015/03/30	80,000,000	2014/09/08
15717	Goldman Sachs SP (Asia)	HSCEI European Warrants Feb 2015 A	0.150	11,238 per 4,800 units	2015/02/26	150,000,000	2014/09/08
15715	Goldman Sachs SP (Asia)	HSCEI European Warrants Nov 2015 A	0.250	11,688 per 3,800 units	2015/11/27	150,000,000	2014/09/08
15763	Goldman Sachs SP (Asia)	Hutchison European Wts Jan 2015 B	0.313	112.880	2015/01/30	80,000,000	2014/09/08
15767	Goldman Sachs SP (Asia)	Kingsoft European Wts Feb 2015 A	0.195	24.680	2015/02/27	80,000,000	2014/09/08
15765	Goldman Sachs SP (Asia)	ZTE European Warrants Jul 2015 A	0.250	19.280	2015/07/30	80,000,000	2014/09/08

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15719	HK Bank	CRCC European Warrants Mar 2015 A	0.250	8.68 per unit	2015/03/09	60,000,000	2014/09/08
15720	HK Bank	HKEx European Warrants May 2015 A	0.150	200.78 per 100 units	2015/05/26	100,000,000	2014/09/08
15718	HK Bank	PetroChina European Warrants Mar 2015 A	0.300	12.18 per unit	2015/03/09	60,000,000	2014/09/08
15761	J P Morgan SP BV	CNOOC European Put Warrants Aug 2015 A	0.150	14.760	2015/08/10	200,000,000	2014/09/08
15762	J P Morgan SP BV	Ch Oilfield Euro Wts Jul 2015 A	0.250	26.000	2015/07/02	80,000,000	2014/09/08
15708	J P Morgan SP BV	China Tel European Warrants Mar 2015 A	0.250	5.60 per unit	2015/03/09	80,000,000	2014/09/08
15704	J P Morgan SP BV	China Unicom European Warrants Dec 2016 C	0.250	16.880	2016/12/30	300,000,000	2014/09/08
15703	J P Morgan SP BV	Ping An European Warrants Dec 2014 B	0.150	70.000	2014/12/24	150,000,000	2014/09/08
15734	Macquarie Bank Ltd.	Anta Sports European Wts Jun 2015 A	0.250	18.880	2015/06/29	50,000,000	2014/09/08
15733	Macquarie Bank Ltd.	Chi Res Power European Wts May 2015 A	0.250	28.880	2015/05/29	50,000,000	2014/09/08
15736	Macquarie Bank Ltd.	CSR Times European Warrants Mar 2015 A	0.250	32.280	2015/03/16	50,000,000	2014/09/08
15756	Standard Chartered Bank	China Unicom Euro Wts Dec 2016 A	0.210	15.020	2016/12/21	100,000,000	2014/09/08
15755	Standard Chartered Bank	KECL European Warrants Dec 2015 A	0.150	12.980	2015/12/28	80,000,000	2014/09/08
15722	Standard Chartered Bank	SMIC European Warrants Jan 2016 A	0.200	0.80 per unit	2016/01/18	50,000,000	2014/09/08
15748	UBS AG	China Unicom European Warrants Mar 2015 A	0.400	16.88 per unit	2015/03/09	25,000,000	2014/09/08
15749	UBS AG	ICBC European Warrants Feb 2015 A	0.150	5.89 per unit	2015/02/23	70,000,000	2014/09/08
15751	UBS AG	Ping An European Warrants Jan 2015 B	0.150	70.050	2015/01/05	70,000,000	2014/09/08
15753	UBS AG	Sands China European Warrants Mar 2015 B	0.310	53.880	2015/03/10	60,000,000	2014/09/08
15826	Credit Suisse AG	China Cinda European Warrants Mar 2015 A	0.250	4.68 per unit	2015/03/09	60,000,000	2014/09/10
15813	Credit Suisse AG	China Mobile European Warrants Feb 2015 I	0.150	118.000	2015/02/27	80,000,000	2014/09/10
15822	Credit Suisse AG	China Tel European Warrants Mar 2015 B	0.250	5.68 per unit	2015/03/09	60,000,000	2014/09/10
15821	Credit Suisse AG	China Tel European Put Warrants Mar 2015A	0.250	4.08 per unit	2015/03/09	60,000,000	2014/09/10
15814	Credit Suisse AG	Hutchison European Warrants Jan 2015 A	0.270	113.900	2015/01/30	80,000,000	2014/09/10
15815	Credit Suisse AG	Lenovo European Put Warrants Jul 2015 A	0.150	10.980	2015/07/31	70,000,000	2014/09/10
15843	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Feb 2015 D	0.230	114.220	2015/02/18	80,000,000	2014/09/10
15841	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Jan 2015 A	0.230	112.880	2015/01/23	80,000,000	2014/09/10
15836	Daiwa Capital Mkt	AIA European Warrants Apr 2015 B	0.190	47.900	2015/04/13	98,000,000	2014/09/10
15830	Daiwa Capital Mkt	China Telecom European Wts Mar 2015 A	0.250	5.68 per unit	2015/03/10	128,000,000	2014/09/10
15837	Daiwa Capital Mkt	HSBC European Put Warrants Apr 2015 A	0.250	74.980	2015/04/15	80,000,000	2014/09/10
15839	Daiwa Capital Mkt	Hutchison European Warrants Mar 2015 A	0.250	116.880	2015/03/09	98,000,000	2014/09/10
15768	Goldman Sachs SP (Asia)	HSCEI European Put Wts Feb 2015 B	0.174	10,950 per 4,000 units	2015/02/26	150,000,000	2014/09/10
15769	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2015 A	0.180	24,700 per 7,000 units	2015/02/26	150,000,000	2014/09/10
15770	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2015 C	0.250	25,200 per 7,400 units	2015/03/30	150,000,000	2014/09/10
15784	HK Bank	Galaxy Ent European Warrants Jan 2015 A	0.220	65.980	2015/01/26	80,000,000	2014/09/10
15776	HK Bank	Kingsoft European Warrants Feb 2015 A	0.180	24.730	2015/02/23	60,000,000	2014/09/10
15783	HK Bank	Sands China European Warrants Mar 2015 B	0.250	57.380	2015/03/31	80,000,000	2014/09/10
15789	Macquarie Bank Ltd.	PetroChina European Wts Mar 2015 A	0.150	13.58 per unit	2015/03/03	70,000,000	2014/09/10
15801	Standard Chartered Bank	CITIC European Warrants Jul 2015 A	0.250	14.000	2015/07/03	80,000,000	2014/09/10
15800	Standard Chartered Bank	Evergrande European Wts Feb 2015 A	0.170	4 per unit	2015/02/24	60,000,000	2014/09/10
15792	Standard Chartered Bank	HKEx European Warrants Feb 2015 B	0.300	218.000	2015/02/02	50,000,000	2014/09/10
15840	Standard Chartered Bank	HSI European Warrants Jun 2015 A	0.150	27,000 per 6,600 units	2015/06/29	100,000,000	2014/09/10
15793	Standard Chartered Bank	Sands China Euro Wts Mar 2015 B	0.280	51.880	2015/03/09	80,000,000	2014/09/10
15799	Standard Chartered Bank	Sands China Eu Put Wts Feb 2015 B	0.190	42.330	2015/02/18	80,000,000	2014/09/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15802	Standard Chartered Bank	Want Want Ch European Wts Jun16A	0.150	11.880	2016/06/27	80,000,000	2014/09/10
15803	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Apr 2015 A	0.250	6 per unit	2015/04/08	80,000,000	2014/09/10
15786	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 15 D	0.150	113.900	2015/03/04	70,000,000	2014/09/10
15807	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Mar15E	0.365	88.880	2015/03/04	70,000,000	2014/09/10
15809	SGA Societe Generale Acceptance N.V.	CTel Eu Wt May 2015 A	0.250	5.68 per unit	2015/05/06	40,000,000	2014/09/10
15812	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Apr15B	0.305	54.000	2015/04/08	80,000,000	2014/09/10
15791	UBS AG	GCL-Poly Energy European Warrants Jan 2015 A	0.170	3.39 per unit	2015/01/27	60,000,000	2014/09/10
15978	BOCI Asia Ltd.	China Mobile European Warrants Mar 2015 B	0.360	113.880	2015/03/09	100,000,000	2014/09/11
15977	BOCI Asia Ltd.	China Mobile European Warrants Dec 2016 A	0.250	88.88 per 100 units	2016/12/28	100,000,000	2014/09/11
15980	BOCI Asia Ltd.	Galaxy Ent European Warrants Mar 2015 A	0.440	61.880	2015/03/16	100,000,000	2014/09/11
15975	BOCI Asia Ltd.	HSI European Warrants Mar 2015 B	0.200	26,000 per 6,000 units	2015/03/30	100,000,000	2014/09/11
15976	BOCI Asia Ltd.	Sands China European Warrants Mar 2015 A	0.320	53.280	2015/03/23	100,000,000	2014/09/11
15984	BNP Paribas Arbit Issu B.V.	AIA European Wts Sep 2015 A	0.250	52.000	2015/09/02	100,000,000	2014/09/11
15981	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Sep 2015 A	0.250	7.10 per unit	2015/09/02	100,000,000	2014/09/11
15982	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Nov 2016 A	0.260	170.000	2016/11/02	100,000,000	2014/09/11
15983	BNP Paribas Arbit Issu B.V.	HLand Dev Euro Wts Jun 2015 A	0.260	66.800	2015/06/02	51,000,000	2014/09/11
15900	Credit Suisse AG	China Mobile European Put Wts Mar 2015 A	0.250	92.080	2015/03/10	60,000,000	2014/09/11
15902	Credit Suisse AG	HSI European Put Warrants Feb 2015 D	0.150	25,200 per 8,000 units	2015/02/26	150,000,000	2014/09/11
15898	Credit Suisse AG	Tencent Holdings European Wts Dec 2015 A	0.170	148 per 100 units	2015/12/14	100,000,000	2014/09/11
15970	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Mar 2015 B	0.280	123.000	2015/03/10	80,000,000	2014/09/11
15971	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Mar 2015 C	0.250	128.000	2015/03/10	80,000,000	2014/09/11
15847	Daiwa Capital Mkt	Minsheng Bk European Warrants Mar 2015 A	0.160	8.80 per unit	2015/03/02	68,000,000	2014/09/11
15846	Daiwa Capital Mkt	China Unicom European Warrants Mar 2015A	0.620	17.08 per unit	2015/03/10	58,000,000	2014/09/11
15845	Daiwa Capital Mkt	China Unicom European Warrants Jun 2015A	0.250	13.480	2015/06/08	98,000,000	2014/09/11
15909	Goldman Sachs SP (Asia)	China Mobile Euro Wts Feb 2015 C	0.203	118.100	2015/02/25	80,000,000	2014/09/11
15919	Goldman Sachs SP (Asia)	CNOOC European Warrants Mar 2015 A	0.434	18.20 per unit	2015/03/02	80,000,000	2014/09/11
15918	Goldman Sachs SP (Asia)	Huadian Power European Wts Mar15 A	0.495	7 per unit	2015/03/31	50,000,000	2014/09/11
15912	Goldman Sachs SP (Asia)	HKEx European Warrants Dec 2015 B	0.160	228 per 100 units	2015/12/24	80,000,000	2014/09/11
15910	Goldman Sachs SP (Asia)	HSBC European Warrants Dec 2015 A	0.255	96.000	2015/12/30	80,000,000	2014/09/11
15854	HK Bank	China Mobile European Warrants Feb 2015 E	0.180	112.100	2015/02/23	80,000,000	2014/09/11
15855	HK Bank	China Mobile European Put Warrants Feb 2015 F	0.220	88.830	2015/02/17	80,000,000	2014/09/11
15863	HK Bank	China Mobile European Put Warrants Jan 2017 A	0.170	99.95 per 100 units	2017/01/09	150,000,000	2014/09/11
15850	HK Bank	MGM China European Warrants Jun 2015 A	0.250	28.380	2015/06/30	80,000,000	2014/09/11
15864	HK Bank	SJM Holdings European Warrants Jun 2015 A	0.250	21.380	2015/06/30	80,000,000	2014/09/11
15934	J P Morgan SP BV	AIA European Warrants Jun 2015 A	0.153	50.000	2015/06/29	100,000,000	2014/09/11
15921	J P Morgan SP BV	Cheung Kong European Warrants Apr 2015 A	0.363	163.880	2015/04/01	60,000,000	2014/09/11
15872	J P Morgan SP BV	China Mob European Wts Feb 2015 E	0.151	118.000	2015/02/23	200,000,000	2014/09/11
15941	J P Morgan SP BV	China Mob European Put Wts Apr 2015 B	0.385	95.880	2015/04/02	200,000,000	2014/09/11
15939	J P Morgan SP BV	China Mob European Put Wts Jan 2017 A	0.154	100 per 100 units	2017/01/09	500,000,000	2014/09/11
15935	J P Morgan SP BV	PICC European Warrants Jan 2016 B	0.156	16.000	2016/01/04	150,000,000	2014/09/11
15944	J P Morgan SP BV	Sands China European Warrants Apr 2015 A	0.256	52.880	2015/04/02	150,000,000	2014/09/11
15874	Macquarie Bank Ltd.	China Unicom European Wts Apr 2016 A	0.250	15.150	2016/04/05	50,000,000	2014/09/11
15908	Standard Chartered Bank	CITIC Bank European Wts Feb 2015 A	0.150	6 per unit	2015/02/24	70,000,000	2014/09/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15868	Standard Chartered Bank	CITIC European Warrants Dec 2015 B	0.180	16.900	2015/12/28	80,000,000	2014/09/11
15972	Standard Chartered Bank	China Mobile Euro Wts Mar 2015 C	0.250	123.880	2015/03/10	80,000,000	2014/09/11
15973	Standard Chartered Bank	China Mobile Eur Put Wt Mar 2015 D	0.350	93.880	2015/03/10	80,000,000	2014/09/11
15865	Standard Chartered Bank	China Unicom Euro Wts Apr 2015 A	0.300	18.88 per unit	2015/04/10	60,000,000	2014/09/11
15907	Standard Chartered Bank	China Unicom Euro Put Wts Feb 16 A	0.250	13.880	2016/02/02	100,000,000	2014/09/11
15867	Standard Chartered Bank	Shunfeng Pv European Wts Feb 2015B	0.180	10.300	2015/02/18	60,000,000	2014/09/11
15876	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Jun15B	0.170	23.280	2015/06/03	80,000,000	2014/09/11
15875	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 15 A	0.255	120.000	2015/04/08	70,000,000	2014/09/11
15899	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2015 C	0.170	90.000	2015/03/04	100,000,000	2014/09/11
15901	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2015 A	0.215	120.000	2015/03/04	50,000,000	2014/09/11
15906	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Mar 15 B	0.150	78.880	2015/03/04	100,000,000	2014/09/11
15969	UBS AG	Cheung Kong European Warrants Mar 2015 A	0.150	199.100	2015/03/26	70,000,000	2014/09/11
15963	UBS AG	China Mobile European Warrants Mar 2015 D	0.250	120.880	2015/03/10	100,000,000	2014/09/11
15957	UBS AG	China Mobile European Warrants Mar 2017 A	0.250	90 per 100 units	2017/03/13	500,000,000	2014/09/11
15948	UBS AG	China Mobile European Put Warrants Mar 2015 C	0.250	90.880	2015/03/10	100,000,000	2014/09/11
15871	UBS AG	Galaxy Ent European Warrants Feb 2015 B	0.330	65.050	2015/02/25	40,000,000	2014/09/11
15848	UBS AG	HSCEI European Put Warrants Feb 2015 B	0.150	11,000 per 4,800 units	2015/02/26	100,000,000	2014/09/11
16031	Credit Suisse AG	AIA European Put Warrants Mar 2015 B	0.180	40.830	2015/03/30	60,000,000	2014/09/12
16033	Credit Suisse AG	China Life European Put Warrants Feb 15 A	0.150	22.830	2015/02/27	70,000,000	2014/09/12
16034	Credit Suisse AG	China Mobile European Warrants Mar 2015 B	0.250	124.880	2015/03/11	80,000,000	2014/09/12
16037	Credit Suisse AG	China Mobile European Put Wts Jan 2017 A	0.170	100 per 100 units	2017/01/09	60,000,000	2014/09/12
16032	Credit Suisse AG	Ping An European Put Warrants Jan 2015 B	0.180	59.980	2015/01/30	60,000,000	2014/09/12
16014	Daiwa Capital Mkt	China Life European Warrants Jun 2015 A	0.250	24.680	2015/06/12	158,000,000	2014/09/12
16017	Daiwa Capital Mkt	China Mobile European Put Wts Mar 2015 B	0.400	96.800	2015/03/11	80,000,000	2014/09/12
16021	Daiwa Capital Mkt	Tencent Holdings European Wts Feb 2015 B	0.350	139.98 per 20 units	2015/02/11	128,000,000	2014/09/12
16024	Daiwa Capital Mkt	Tencent Holdings European Wts Apr 2015 B	0.260	158.88 per 20 units	2015/04/23	128,000,000	2014/09/12
16012	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Mar 2015 A	0.250	100.880	2015/03/11	98,000,000	2014/09/12
16010	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Sep 2015 A	0.256	34.000	2015/09/30	80,000,000	2014/09/12
16038	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Mar 2015 B	0.389	93.000	2015/03/27	80,000,000	2014/09/12
16008	Goldman Sachs SP (Asia)	CMS European Warrants Dec 2015 A	0.250	13.500	2015/12/30	80,000,000	2014/09/12
16009	Goldman Sachs SP (Asia)	Chi Res Land Euro Wts Oct 2015 A	0.250	21.800	2015/10/30	80,000,000	2014/09/12
16007	Goldman Sachs SP (Asia)	Link REIT European Wts Aug 2015 A	0.257	55.500	2015/08/28	80,000,000	2014/09/12
16042	Goldman Sachs SP (Asia)	PICC European Warrants Jan 2016 A	0.207	16.010	2016/01/05	80,000,000	2014/09/12
16043	Goldman Sachs SP (Asia)	PICC Group European Wts Feb 2015 A	0.201	3.78 per unit	2015/02/23	80,000,000	2014/09/12
16025	Goldman Sachs SP (Asia)	Tencent European Wt Feb 2015 A	0.285	150 per 20 units	2015/02/02	80,000,000	2014/09/12
15990	HK Bank	China Life European Warrants Dec 2015 A	0.170	26.330	2015/12/28	100,000,000	2014/09/12
15988	HK Bank	Minsheng Bank European Warrants Jan 2015 B	0.250	8.39 per unit	2015/01/27	60,000,000	2014/09/12
15989	HK Bank	China Tel European Warrants Mar 2015 A	0.250	5.68 per unit	2015/03/11	60,000,000	2014/09/12
15986	HK Bank	Lenovo European Warrants Mar 2015 A	0.500	14.18 per unit	2015/03/31	60,000,000	2014/09/12
15996	Standard Chartered Bank	CSOP A50 ETF Euro Wts Apr 2015 A	0.250	11 per unit	2015/04/29	80,000,000	2014/09/12
15993	Standard Chartered Bank	A50 China Euro Warrants Feb 2015 C	0.150	11.18 per unit	2015/02/26	80,000,000	2014/09/12
15994	Standard Chartered Bank	A50 China Euro Warrants Mar 2015 A	0.270	10.50 per unit	2015/03/31	80,000,000	2014/09/12
15992	Standard Chartered Bank	HuanengPower Euro Wts Mar 2015 A	0.250	11.88 per unit	2015/03/31	50,000,000	2014/09/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15997	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Mar 2015A	0.395	18.20 per unit	2015/03/04	40,000,000	2014/09/12
15998	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Apr 2015 A	0.450	238.000	2015/04/08	40,000,000	2014/09/12
15999	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Sep15B	0.250	188 per 100 units	2015/09/02	300,000,000	2014/09/12
16006	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Dec 15 A	0.160	148 per 100 units	2015/12/28	500,000,000	2014/09/12
16029	UBS AG	China Tel European Warrants May 2015 A	0.250	6.28 per unit	2015/05/11	40,000,000	2014/09/12
16046	UBS AG	HSI European Warrants Sep 2015 A	0.250	28,000 per 7,000 units	2015/09/29	300,000,000	2014/09/12
16030	UBS AG	HSI European Warrants Dec 2015 A	0.150	30,088 per 6,300 units	2015/12/30	300,000,000	2014/09/12
16044	UBS AG	PetroChina European Warrants Apr 2015 A	0.230	14.90 per unit	2015/04/30	50,000,000	2014/09/12
16026	UBS AG	Wynn Macau European Warrants Jun 2015 A	0.250	34.880	2015/06/22	40,000,000	2014/09/12
16028	UBS AG	ZTE European Warrants Jul 2015 A	0.250	20.880	2015/07/20	40,000,000	2014/09/12
16078	Credit Suisse AG	HSI European Warrants Mar 2015 C	0.150	26,400 per 6,000 units	2015/03/30	150,000,000	2014/09/15
16073	Daiwa Capital Mkt	CCB European Warrants Feb 2015 B	0.150	6.89 per unit	2015/02/16	128,000,000	2014/09/15
16074	Daiwa Capital Mkt	CCB European Put Warrants Apr 2015 A	0.250	5.48 per unit	2015/04/15	80,000,000	2014/09/15
16051	Daiwa Capital Mkt	China Life European Warrants May 2015 A	0.150	27.500	2015/05/27	168,000,000	2014/09/15
16075	Daiwa Capital Mkt	Ping An European Warrants Feb 2015 B	0.150	78.880	2015/02/24	108,000,000	2014/09/15
16085	Goldman Sachs SP (Asia)	China Gas Hold Euro Wts May15A	0.161	17.010	2015/05/27	65,000,000	2014/09/15
16072	HK Bank	CC Bank European Warrants Feb 2015 A	0.150	6.69 per unit	2015/02/23	80,000,000	2014/09/15
16070	HK Bank	CC Bank European Warrants Jul 2015 A	0.300	6.08 per unit	2015/07/31	80,000,000	2014/09/15
16064	HK Bank	China Life European Warrants Jun 2015 B	0.150	27.450	2015/06/10	80,000,000	2014/09/15
16071	HK Bank	China Life European Warrants Jul 2015 A	0.250	25.380	2015/07/31	80,000,000	2014/09/15
16069	HK Bank	PICC Group European Warrants Feb 2015 A	0.170	3.79 per unit	2015/02/17	80,000,000	2014/09/15
16052	J P Morgan SP BV	China Life European Warrants Jun 2015 B	0.151	27.500	2015/06/01	100,000,000	2014/09/15
16101	J P Morgan SP BV	HSBC European Warrants Feb 2015 A	0.152	90.000	2015/02/26	200,000,000	2014/09/15
16103	J P Morgan SP BV	Kingsoft European Warrants Feb 2015 A	0.156	23.900	2015/02/26	150,000,000	2014/09/15
16084	Macquarie Bank Ltd.	Sands China European Wts Mar 2015 A	0.150	60.000	2015/03/03	70,000,000	2014/09/15
16076	Standard Chartered Bank	AIA European Warrants Jun 2015 A	0.180	50.000	2015/06/22	80,000,000	2014/09/15
16088	Standard Chartered Bank	CSR European Warrants Mar 2015 A	0.250	8.80 per unit	2015/03/20	40,000,000	2014/09/15
16077	Standard Chartered Bank	PetroChina European Wts May 2015 B	0.250	13.88 per unit	2015/05/05	60,000,000	2014/09/15
16079	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jul 2015 A	0.155	52.280	2015/07/06	100,000,000	2014/09/15
16087	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Feb 2016 A	0.250	6.40 per unit	2016/02/03	80,000,000	2014/09/15
16080	SGA Societe Generale Acceptance N.V.	Petch Eu Wt May 2015A	0.200	14.88 per unit	2015/05/06	60,000,000	2014/09/15
16082	UBS AG	Cheung Kong European Warrants Dec 2016 A	0.250	140 per 100 units	2016/12/28	300,000,000	2014/09/15
16083	UBS AG	CSOP A50 ETF European Warrants Mar 2015 B	0.330	10.80 per unit	2015/03/02	80,000,000	2014/09/15
16081	UBS AG	Kingsoft European Warrants Mar 2015 A	0.250	22.880	2015/03/16	100,000,000	2014/09/15
29938 [†]	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2015 C	0.222	178 per 100 units	2015/09/07	120,000,000	2014/09/15
16133	Credit Suisse AG	CC Bank European Put Warrants Mar 2015 A	0.250	5.48 per unit	2015/03/16	60,000,000	2014/09/16
16132	Credit Suisse	SMIC European Warrants Dec 2015 A	0.200	0.89 per unit	2015/12/21	60,000,000	2014/09/16
16108	Daiwa Capital Mkt	China Cinda European Warrants Jan 2015 A	0.220	4.18 per unit	2015/01/05	68,000,000	2014/09/16
16107	Daiwa Capital Mkt	Kingsoft European Warrants Feb 2015 A	0.160	23.830	2015/02/17	80,000,000	2014/09/16
16123	Goldman Sachs SP (Asia)	AIA European Warrants Apr 2015 B	0.151	47.930	2015/04/13	80,000,000	2014/09/16
16125	Goldman Sachs SP (Asia)	China Life Euro Wts May 2015 B	0.152	27.550	2015/05/27	80,000,000	2014/09/16
16126	Goldman Sachs SP (Asia)	Ping An European Wts Mar 2015 A	0.348	71.800	2015/03/30	80,000,000	2014/09/16
16128	Goldman Sachs SP (Asia)	Ping An European Wts Apr 2015 A	0.154	82.050	2015/04/02	80,000,000	2014/09/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16114	HK Bank	CGS European Warrants Dec 2015 A	0.150	7.290	2015/12/09	80,000,000	2014/09/16
16116	HK Bank	PICC European Warrants Dec 2015 B	0.180	16.020	2015/12/28	80,000,000	2014/09/16
16109	HK Bank	Ping An European Warrants Feb 2015 A	0.150	78.930	2015/02/24	80,000,000	2014/09/16
16118	HK Bank	Ping An European Warrants Mar 2015 A	0.300	71.880	2015/03/31	80,000,000	2014/09/16
16137	J P Morgan SP BV	Avichina European Warrants Jan 2015 A	0.357	6 per unit	2015/01/30	35,000,000	2014/09/16
16134	J P Morgan SP BV	CMS European Warrants Nov 2015 A	0.255	13.500	2015/11/03	40,000,000	2014/09/16
16136	J P Morgan Int'l Der. Ltd.	Ch Res Ent Euro Wts Mar 2015 A	0.151	25.880	2015/03/03	70,000,000	2014/09/16
16135	J P Morgan Int'l Der. Ltd.	SMIC European Wts Dec 2015 A	0.153	0.88 per unit	2015/12/21	100,000,000	2014/09/16
16139	J P Morgan SP BV	ZTE European Warrants Jun 2015 A	0.251	20.000	2015/06/30	40,000,000	2014/09/16
16122	Macquarie Bank Ltd.	CC Bank European Warrants Feb 2015 A	0.150	6.88 per unit	2015/02/03	70,000,000	2014/09/16
16121	Macquarie Bank Ltd.	ICBC European Warrants Feb 2015 A	0.150	5.96 per unit	2015/02/03	70,000,000	2014/09/16
16130	Macquarie Bank Ltd.	PWR Assets European Wts Jul 2015 A	0.250	83.000	2015/07/03	40,000,000	2014/09/16
16129	Standard Chartered Bank	HSBC European Warrants Feb 2015 A	0.150	90.000	2015/02/18	100,000,000	2014/09/16
16119	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul 2015 A	0.205	24.100	2015/07/06	100,000,000	2014/09/16
16120	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Apr15A	0.530	58.000	2015/04/08	80,000,000	2014/09/16
16196	BOCI Asia Ltd.	SMIC European Warrants Mar 2016 A	0.250	0.95 per unit	2016/03/21	50,000,000	2014/09/17
16175	Credit Suisse AG	Kingsoft European Warrants Mar 2015 C	0.250	23.280	2015/03/16	60,000,000	2014/09/17
16198	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Dec 2014 B	0.250	152.000	2014/12/24	80,000,000	2014/09/17
16190	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Feb 2015 A	0.271	8.78 per unit	2015/02/02	80,000,000	2014/09/17
16192	Goldman Sachs SP (Asia)	Cathay Pacific Euro Wts Sep 2015 A	0.150	16.900	2015/09/25	80,000,000	2014/09/17
16191	Goldman Sachs SP (Asia)	CSR European Warrants Sep 2016 A	0.160	7.510	2016/09/27	80,000,000	2014/09/17
16194	Goldman Sachs Sp (Asia)	ICBC European Warrants Mar 2015 A	0.250	5.55 per unit	2015/03/30	80,000,000	2014/09/17
16193	Goldman Sachs SP (Asia)	Li & Fung European Wts Mar 2015 A	0.459	10.88 per unit	2015/03/30	80,000,000	2014/09/17
16188	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2015 A	0.252	59.880	2015/04/01	150,000,000	2014/09/17
16187	J P Morgan SP BV	Melco Int'l Dev European Wts Aug 2015 A	0.251	22.000	2015/08/04	80,000,000	2014/09/17
16189	J P Morgan SP BV	MGM China European Warrants Jun 2015 A	0.253	26.880	2015/06/02	80,000,000	2014/09/17
16165	J P Morgan SP BV	Sands China European Warrants Feb 2015 B	0.150	55.500	2015/02/26	150,000,000	2014/09/17
16168	Macquarie Bank Ltd.	CHALCO European Warrants Mar 2015 A	0.213	4.18 per unit	2015/03/03	50,000,000	2014/09/17
16169	Macquarie Bank Ltd.	Anton Oilfield European Wts Mar 2015 A	0.400	3.88 per unit	2015/03/17	25,000,000	2014/09/17
16170	Macquarie Bank Ltd.	Kingsoft European Warrants Mar 2015 B	0.200	23.900	2015/03/03	50,000,000	2014/09/17
16159	Nomura Int'l plc	AviChina European Warrants Jan 2015 A	0.340	6.01 per unit	2015/01/27	80,000,000	2014/09/17
16140	Nomura Int'l plc	China Mer Hold European Wts Jun 2015 A	0.255	28.880	2015/06/30	50,000,000	2014/09/17
16156	Nomura Int'l plc	CMS European Warrants Oct 2015 A	0.250	13.680	2015/10/28	50,000,000	2014/09/17
16158	Nomura Int'l plc	COSCO Pacific European Warrants Mar 2015A	0.710	12.68 per unit	2015/03/30	15,000,000	2014/09/17
16142	Nomura Int'l plc	Chi Resources European Warrants Mar 2015A	0.150	25.900	2015/03/02	80,000,000	2014/09/17
16161	Nomura Int'l plc	Ch Ship Cont European Warrants Mar 2015 A	0.250	2.75 per unit	2015/03/30	50,000,000	2014/09/17
16147	Nomura Int'l plc	China Unicom European Warrants Mar 2015 A	0.725	16 per unit	2015/03/30	50,000,000	2014/09/17
16148	Nomura Int'l plc	China Unicom European Put Wts Sep 2016 A	0.250	12.880	2016/09/29	80,000,000	2014/09/17
16143	Nomura Int'l plc	Hanergy Solar European Warrants Jun 2015A	0.250	1.38 per unit	2015/06/30	80,000,000	2014/09/17
16157	Nomura Int'l plc	SMIC European Warrants Dec 2015 B	0.150	0.888 per unit	2015/12/18	80,000,000	2014/09/17
16144	Nomura Int'l plc	Sinotrans European Warrants Mar 2015 A	0.750	6.28 per unit	2015/03/30	20,000,000	2014/09/17
16141	Nomura Int'l plc	Shenzhen Int'l European Wts Dec 2015 A	0.262	11.980	2015/12/28	50,000,000	2014/09/17
16150	Nomura Int'l plc	ZTE European Warrants Jun 2015 A	0.250	20.080	2015/06/29	50,000,000	2014/09/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16185	Standard Chartered Bank	HSCEI European Warrants Nov 2015 A	0.190	11,700 per 3,800 units	2015/11/27	100,000,000	2014/09/17
16176	Standard Chartered Bank	Ping An European Wts Jan 2015 A	0.150	75.250	2015/01/23	80,000,000	2014/09/17
16172	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Apr 2015 A	0.335	6.50 per unit	2015/04/08	30,000,000	2014/09/17
16171	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Apr 2015A	0.410	160.000	2015/04/08	40,000,000	2014/09/17
16173	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 15 F	0.385	106.000	2015/03/02	70,000,000	2014/09/17
16162	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Jun 2015 A	0.250	6.38 per unit	2015/06/03	40,000,000	2014/09/17
16163	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Dec15A	0.250	20.000	2015/12/02	60,000,000	2014/09/17
16174	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 15 C	0.580	120 per 20 units	2015/02/04	150,000,000	2014/09/17
16182	UBS AG	CC Bank European Warrants Mar 2015 B	0.400	5.88 per unit	2015/03/16	100,000,000	2014/09/17
16183	UBS AG	Sihuan Pharm European Warrants Mar 2015 A	0.180	6.51 per 5 units	2015/03/26	100,000,000	2014/09/17
16181	UBS AG	Tencent Holdings European Warrants Dec 2014 C	0.230	137.70 per 20 units	2014/12/23	100,000,000	2014/09/17
16184	UBS AG	Want Want Ch European Warrants Jun 2016 A	0.150	11.900	2016/06/23	100,000,000	2014/09/17
16219	Credit Suisse AG	CC Bank European Warrants Jan 2015 C	0.150	6.29 per unit	2015/01/30	70,000,000	2014/09/18
16220	Credit Suisse AG	CC Bank European Warrants Mar 2015 B	0.170	6.41 per unit	2015/03/30	70,000,000	2014/09/18
16221	Credit Suisse AG	ICBC European Warrants Feb 2015 B	0.150	5.81 per unit	2015/02/27	70,000,000	2014/09/18
16222	Credit Suisse AG	Lenovo European Warrants Mar 2016 A	0.170	13.000	2016/03/29	70,000,000	2014/09/18
16225	Credit Suisse AG	Tencent Holdings European Wts Dec 2014 J	0.350	128.90 per 20 units	2014/12/29	100,000,000	2014/09/18
16224	Credit Suisse AG	Tencent Holdings European Wts Dec 2015 B	0.250	123 per 100 units	2015/12/28	150,000,000	2014/09/18
16223	Credit Suisse AG	ZTE European Warrants Jun 2015 A	0.250	20.980	2015/06/29	60,000,000	2014/09/18
16214	Goldman Sachs SP (Asia)	Sands China Euro Wts Mar 2015 A	0.328	50.000	2015/03/30	80,000,000	2014/09/18
16206	Goldman Sachs SP (Asia)	Tencent European Wt Mar 2015 A	0.489	128.88 per 20 units	2015/03/02	80,000,000	2014/09/18
16230	Macquarie Bank Ltd.	Anhui Conch European Wts Apr 2015 B	0.250	29.880	2015/04/02	40,000,000	2014/09/18
16227	Macquarie Bank Ltd.	Avichina European Warrants Apr 2015 A	0.250	7.50 per unit	2015/04/02	40,000,000	2014/09/18
16228	Macquarie Bank Ltd.	China Mobile European Wts Mar 2015 B	0.250	117.900	2015/03/03	40,000,000	2014/09/18
16229	Macquarie Bank Ltd.	Galaxy Ent European Wts Apr 2015 A	0.250	70.000	2015/04/02	40,000,000	2014/09/18
16202	Standard Chartered Bank	China Life Euro Put Wts Feb 2015 A	0.150	22.900	2015/02/24	100,000,000	2014/09/18
16201	Standard Chartered Bank	HSCEI European Warrants Mar 2015 B	0.250	10,900 per 4,000 units	2015/03/30	100,000,000	2014/09/18
16199	Standard Chartered Bank	PICC Group European Wts Feb 2015 B	0.180	3.79 per unit	2015/02/17	60,000,000	2014/09/18
16200	Standard Chartered Bank	Wynn Macau European Wts Jun 2015 A	0.250	30.000	2015/06/29	50,000,000	2014/09/18
16226	UBS AG	Galaxy Ent European Warrants Mar 2015 A	0.460	56.880	2015/03/17	60,000,000	2014/09/18
16203	UBS AG	HSCEI European Warrants Feb 2015 C	0.150	11,200 per 4,800 units	2015/02/26	100,000,000	2014/09/18
16262	Credit Suisse AG	A50 China European Warrants Mar 2015 A	0.320	10.18 per unit	2015/03/23	80,000,000	2014/09/19
16254	Goldman Sachs SP (Asia)	Avichina European Warrants Mar15 A	0.363	6.80 per unit	2015/03/30	80,000,000	2014/09/19
16260	Goldman Sachs SP (Asia)	China Cinda Euro Wts Feb 2015 B	0.251	4.38 per unit	2015/02/27	80,000,000	2014/09/19
16256	Goldman Sachs SP (Asia)	CITIC European Warrants Oct 2015 A	0.251	14.280	2015/10/30	80,000,000	2014/09/19
16255	Goldman Sachs SP (Asia)	MGM China European Warrants Apr15A	0.251	26.800	2015/04/30	80,000,000	2014/09/19
16261	Goldman Sachs SP (Asia)	NCI European Warrants Mar 2015 A	0.264	30.800	2015/03/30	80,000,000	2014/09/19
16233	HK Bank	Galaxy Ent European Warrants Mar 2015 A	0.350	58.880	2015/03/31	80,000,000	2014/09/19
16234	HK Bank	Sands China European Warrants Mar 2015 C	0.280	50.880	2015/03/31	80,000,000	2014/09/19
16232	HK Bank	Tencent European Warrants Dec 2014 C	0.180	137.68 per 20 units	2014/12/31	80,000,000	2014/09/19
16231	HK Bank	Tencent European Warrants Jan 2015 C	0.580	120.08 per 20 units	2015/01/28	80,000,000	2014/09/19
16235	HK Bank	Tencent European Warrants Feb 2015 B	0.450	128.80 per 20 units	2015/02/23	80,000,000	2014/09/19
16236	Nomura Int'l plc	Baiyunshan Ph European Warrants Mar 2015A	0.370	36.880	2015/03/20	40,000,000	2014/09/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16237	Nomura Int'l plc	Sinopharm European Warrants Mar 2015 B	0.256	33.880	2015/03/30	50,000,000	2014/09/19
16238	Nomura Int'l plc	Sihuan Pharm European Warrants Mar 2015 B	0.198	6.51 per 5 units	2015/03/26	80,000,000	2014/09/19
16240	Standard Chartered Bank	CNBM European Warrants Mar 2015 A	0.400	8.80 per unit	2015/03/30	40,000,000	2014/09/19
16239	Standard Chartered Bank	GCL-Poly Energy Eur Wts Jun 2015 A	0.250	4.28 per unit	2015/06/15	50,000,000	2014/09/19
16242	Standard Chartered Bank	Galaxy Ent European Wts Apr 2015 A	0.365	58.800	2015/04/08	60,000,000	2014/09/19
16243	Standard Chartered Bank	HKEx European Warrants Sep 2015 A	0.150	208.80 per 100 units	2015/09/22	150,000,000	2014/09/19
16246	Standard Chartered Bank	HKEx European Put Wts Mar 2015 A	0.150	180.08 per 100 units	2015/03/09	120,000,000	2014/09/19
16247	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Apr 2015B	0.475	8.18 per unit	2015/04/08	80,000,000	2014/09/19
16248	UBS AG	CNOOC European Warrants Oct 2016 A	0.250	14.880	2016/10/24	80,000,000	2014/09/19
16253	UBS AG	Sinopec Corp European Warrants Jan 2015 A	0.210	8.89 per unit	2015/01/26	70,000,000	2014/09/19
16252	UBS AG	Lenovo European Warrants Mar 2016 A	0.190	13.020	2016/03/29	100,000,000	2014/09/19
16269	Credit Suisse AG	Galaxy Ent European Warrants Mar 2015 A	0.330	57.180	2015/03/23	80,000,000	2014/09/22
16287	Goldman Sachs SP (Asia)	Chi Resources Euro Wt Jul 2015 A	0.250	22.880	2015/07/30	80,000,000	2014/09/22
16288	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Mar 2015 A	0.295	58.880	2015/03/31	80,000,000	2014/09/22
16289	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2016 A	0.255	149.88 per 100 units	2016/12/28	120,000,000	2014/09/22
16266	HK Bank	Agricultural Bank European Warrants Jul 2015 B	0.250	3.68 per unit	2015/07/31	80,000,000	2014/09/22
16265	HK Bank	Agricultural Bank European Warrants Aug 2015 A	0.190	3.89 per unit	2015/08/24	80,000,000	2014/09/22
16264	HK Bank	China Mobile European Warrants Feb 2015 G	0.300	108.080	2015/02/12	80,000,000	2014/09/22
16283	J P Morgan SP BV	AAC Acoustic European Warrants Apr 2015 A	0.360	53.880	2015/04/02	60,000,000	2014/09/22
16285	J P Morgan SP BV	Geely Auto European Warrants Apr 2015 A	0.256	3.38 per unit	2015/04/02	100,000,000	2014/09/22
16286	J P Morgan SP BV	Hutchison European Warrants Apr 2015 A	0.252	110.000	2015/04/02	80,000,000	2014/09/22
16284	J P Morgan SP BV	Sands China European Warrants Dec 2018 A	0.151	60 per 100 units	2018/12/31	300,000,000	2014/09/22
16281	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Apr15 A	0.305	8 per unit	2015/04/08	40,000,000	2014/09/22
16263	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt May 2015A	0.150	14.280	2015/05/27	300,000,000	2014/09/22
16282	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 15 B	0.305	70.000	2015/04/08	100,000,000	2014/09/22
16267	UBS AG	CNOOC European Warrants May 2015 A	0.150	14.300	2015/05/15	80,000,000	2014/09/22
16268	UBS AG	Sinopec Corp European Warrants Jan 2015 B	0.220	7.99 per unit	2015/01/05	70,000,000	2014/09/22
15753*	UBS AG	Sands China European Warrants Mar 2015 B	0.095	53.880	2015/03/10	106,000,000	2014/09/22
16317	Credit Suisse AG	China Mobile European Warrants Feb 2015 J	0.300	106.000	2015/02/16	80,000,000	2014/09/23
16318	Credit Suisse AG	Sinopec Corp European Warrants Mar 2015 C	0.360	7.88 per unit	2015/03/23	80,000,000	2014/09/23
16319	Credit Suisse AG	Sands China European Warrants Mar 2015 B	0.320	50.280	2015/03/23	80,000,000	2014/09/23
16300	Daiwa Capital Mkt	Sinopec Corp European Wts Feb 2015 A	0.160	8.48 per unit	2015/02/02	80,000,000	2014/09/23
16291	Daiwa Capital Mkt	Galaxy Ent European Warrants Mar 2015 B	0.300	57.880	2015/03/23	98,000,000	2014/09/23
16296	Daiwa Capital Mkt	Galaxy Ent European Warrants Mar 2015 C	0.150	64.980	2015/03/11	98,000,000	2014/09/23
16290	Daiwa Capital Mkt	HSBC European Warrants Apr 2015 B	0.290	84.880	2015/04/02	108,000,000	2014/09/23
16299	Daiwa Capital Mkt	Sands China European Warrants Mar 2015 A	0.200	51.850	2015/03/02	98,000,000	2014/09/23
16308	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Mar 2015 A	0.454	10.01 per unit	2015/03/02	80,000,000	2014/09/23
16301	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2015 D	0.166	24,900 per 6,000 units	2015/03/30	150,000,000	2014/09/23
16305	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2015 E	0.250	23,800 per 6,000 units	2015/03/30	150,000,000	2014/09/23
16307	Goldman Sachs SP (Asia)	Tencent European Wt Jan 2015 A	0.285	140 per 20 units	2015/01/28	80,000,000	2014/09/23
16327	J P Morgan SP BV	Minsheng Bank European Wts Apr 2015 A	0.256	8 per unit	2015/04/02	80,000,000	2014/09/23
16328	J P Morgan SP BV	Sinopec Corp European Warrants Apr 2015 A	0.259	7.88 per unit	2015/04/02	100,000,000	2014/09/23
16329	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2015 B	0.253	55.000	2015/04/02	100,000,000	2014/09/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16330	J P Morgan SP BV	Sands China European Warrants Apr 2015 B	0.251	48.880	2015/04/02	150,000,000	2014/09/23
16315	Macquarie Bank Ltd.	China Cinda European Wts May 2015 A	0.250	4.88 per unit	2015/05/05	50,000,000	2014/09/23
16313	Macquarie Bank Ltd.	China Tel European Warrants May 2015 A	0.286	5.98 per unit	2015/05/05	35,000,000	2014/09/23
16309	Macquarie Bank Ltd.	Galaxy Ent European Wts Apr 2015 B	0.250	62.500	2015/04/02	40,000,000	2014/09/23
16310	Macquarie Bank Ltd.	Sands China European Wts Apr 2015 B	0.250	55.000	2015/04/02	40,000,000	2014/09/23
16322	Standard Chartered Bank	China Life European Wts Jun 2015 B	0.150	24.650	2015/06/19	100,000,000	2014/09/23
16316	Standard Chartered Bank	Sinopec Corp Euro Wts May 2015 B	0.490	8.02 per unit	2015/05/11	80,000,000	2014/09/23
16324	Standard Chartered Bank	Galaxy Ent European Wts Apr 2015 B	0.320	55.000	2015/04/08	80,000,000	2014/09/23
16326	Standard Chartered Bank	Kingsoft European Wts Apr 2015 A	0.250	21.000	2015/04/08	80,000,000	2014/09/23
16323	Standard Chartered Bank	Sands China Euro Wts Apr 2015 A	0.250	48.880	2015/04/08	80,000,000	2014/09/23
16321	UBS AG	Sands China European Warrants Mar 2015 C	0.250	48.880	2015/03/23	60,000,000	2014/09/23
16366	BOCI Asia Ltd.	Cheung Kong European Warrants Dec 2016 A	0.230	140 per 100 units	2016/12/19	100,000,000	2014/09/24
16358	BOCI Asia Ltd.	CNOOC European Warrants May 2015 A	0.150	14.300	2015/05/18	100,000,000	2014/09/24
16365	BOCI Asia Ltd.	Sinopec Corp European Warrants Mar 2015 B	0.320	8 per unit	2015/03/30	100,000,000	2014/09/24
16364	BOCI Asia Ltd.	Sinopec Corp European Warrants Dec 2015 A	0.150	6.54 per 8 units	2015/12/28	100,000,000	2014/09/24
16360	BOCI Asia Ltd.	HKEx European Warrants Aug 2015 A	0.180	177.88 per 100 units	2015/08/11	100,000,000	2014/09/24
16357	BOCI Asia Ltd.	Kingsoft European Warrants Mar 2015 A	0.230	22.880	2015/03/16	100,000,000	2014/09/24
16359	BOCI Asia Ltd.	Tencent Holdings European Wts Mar 2015 A	0.440	128.98 per 20 units	2015/03/09	100,000,000	2014/09/24
16371	Credit Suisse AG	HSI European Put Warrants Jan 2015 C	0.150	23,900 per 6,000 units	2015/01/29	150,000,000	2014/09/24
16367	Daiwa Capital Mkt	Ping An European Warrants Mar 2015 A	0.250	69.800	2015/03/23	98,000,000	2014/09/24
16388	Goldman Sachs SP (Asia)	CHALCO European Wts Mar 2015 A	0.304	3.78 per unit	2015/03/30	80,000,000	2014/09/24
16384	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Mar 2015 A	0.391	8.50 per unit	2015/03/31	80,000,000	2014/09/24
16386	Goldman Sachs SP (Asia)	China Unicom Euro Wts Jun 2015 A	0.150	13.500	2015/06/01	80,000,000	2014/09/24
16380	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Mar 2015 B	0.386	51.880	2015/03/30	80,000,000	2014/09/24
16387	Goldman Sachs SP (Asia)	Greatwall Motor Eur Wts Mar 2015 A	0.285	35.550	2015/03/30	80,000,000	2014/09/24
16385	Goldman Sachs SP (Asia)	Baiyunshan Ph European Wts Mar15 A	0.484	30.880	2015/03/31	40,000,000	2014/09/24
16382	Goldman Sachs SP (Asia)	Sands China Euro Wts Mar 2015 B	0.304	44.600	2015/03/30	80,000,000	2014/09/24
16333	HK Bank	China Mobile European Warrants Dec 2016 A	0.150	88.93 per 100 units	2016/12/19	150,000,000	2014/09/24
16334	HK Bank	Sinopec Corp European Warrants Mar 2015 A	0.350	7.68 per unit	2015/03/31	80,000,000	2014/09/24
16335	HK Bank	Galaxy Ent European Warrants Mar 2015 B	0.300	55.880	2015/03/31	80,000,000	2014/09/24
16337	HK Bank	Galaxy Ent European Warrants Mar 2015 C	0.400	52.880	2015/03/31	80,000,000	2014/09/24
16336	HK Bank	Sands China European Warrants Mar 2015 D	0.300	46.880	2015/03/31	80,000,000	2014/09/24
16391	J P Morgan SP BV	Anhui Conch European Warrants Jul 2015 A	0.253	30.000	2015/07/02	100,000,000	2014/09/24
16389	J P Morgan SP BV	Galaxy Ent European Put Wts Apr 2015 C	0.255	43.880	2015/04/02	100,000,000	2014/09/24
16390	J P Morgan SP BV	Sands China European Put Wts Apr 2015 C	0.258	38.880	2015/04/02	100,000,000	2014/09/24
16331	J P Morgan SP BV	SJM Holdings European Warrants Oct 2015 A	0.253	18.880	2015/10/30	100,000,000	2014/09/24
16345	J P Morgan SP BV	Wynn Macau European Warrants Apr 2015 A	0.251	26.880	2015/04/02	80,000,000	2014/09/24
16376	Macquarie Bank Ltd.	Angang Steel European Wts May 2015 A	0.275	6.50 per unit	2015/05/04	40,000,000	2014/09/24
16339	Macquarie Bank Ltd.	Hanergy Solar European Warrants Apr15A	0.334	1.68 per unit	2015/04/16	30,000,000	2014/09/24
16377	Macquarie Bank Ltd.	PICC Group European Wts Aug 2015 A	0.250	4 per unit	2015/08/04	40,000,000	2014/09/24
16338	Macquarie Bank Ltd.	Shunfeng Pv European Warrants May 15 A	0.250	8.880	2015/05/18	40,000,000	2014/09/24
16340	Standard Chartered Bank	HSI European Warrants Mar 2015 E	0.250	24,400 per 6,000 units	2015/03/30	100,000,000	2014/09/24
16341	Standard Chartered Bank	HSI European Warrants Mar 2015 F	0.270	22,600 per 8,000 units	2015/03/30	100,000,000	2014/09/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16343	Standard Chartered Bank	HSI European Put Wts Jan 2015 D	0.160	23,900 per 6,000 units	2015/01/29	100,000,000	2014/09/24
16375	Standard Chartered Bank	Sands China Euro Wts Mar 2015 C	0.280	43.880	2015/03/24	80,000,000	2014/09/24
16373	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Apr15B	0.365	10.30 per unit	2015/04/08	70,000,000	2014/09/24
16374	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Apr 2015B	0.360	10 per unit	2015/04/08	70,000,000	2014/09/24
16353	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Apr15B	0.265	44.000	2015/04/08	80,000,000	2014/09/24
16354	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt May15A	0.355	48.880	2015/05/06	80,000,000	2014/09/24
16368	UBS AG	Galaxy Ent European Warrants Mar 2015 B	0.400	52.880	2015/03/24	60,000,000	2014/09/24
16370	UBS AG	MGM China European Warrants Mar 2015 A	0.250	24.880	2015/03/23	40,000,000	2014/09/24
16369	UBS AG	Sands China European Warrants Mar 2015 D	0.350	44.880	2015/03/30	60,000,000	2014/09/24
16332	UBS AG	SJM Holdings European Warrants Jun 2015 A	0.250	18.880	2015/06/29	40,000,000	2014/09/24
16433	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Mar 2015 B	0.190	8.28 per unit	2015/03/03	100,000,000	2014/09/25
16434	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Apr 2015 A	0.260	60.000	2015/04/02	100,000,000	2014/09/25
16435	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Apr 2015 A	0.250	52.000	2015/04/02	100,000,000	2014/09/25
16422	Credit Suisse AG	China Mobile European Warrants Mar 2017 A	0.150	90 per 100 units	2017/03/06	80,000,000	2014/09/25
16423	Credit Suisse AG	Galaxy Ent European Warrants Mar 2015 B	0.400	53.380	2015/03/30	80,000,000	2014/09/25
16421	Credit Suisse AG	HSI European Warrants Feb 2015 E	0.150	24,600 per 6,800 units	2015/02/26	150,000,000	2014/09/25
16420	Credit Suisse AG	HSI European Warrants Apr 2015 A	0.150	25,000 per 7,000 units	2015/04/29	150,000,000	2014/09/25
16432	Credit Suisse AG	Ping An European Warrants Mar 2015 A	0.250	68.380	2015/03/30	80,000,000	2014/09/25
16424	Credit Suisse AG	Sands China European Warrants Mar 2015 C	0.260	47.880	2015/03/30	80,000,000	2014/09/25
16405	HK Bank	CNOOC European Warrants Jun 2015 A	0.150	14.300	2015/06/01	100,000,000	2014/09/25
16409	HK Bank	Esprit European Warrants Dec 2015 A	0.250	13.880	2015/12/31	60,000,000	2014/09/25
16404	HK Bank	ICBC European Warrants Mar 2015 A	0.200	5.56 per unit	2015/03/23	80,000,000	2014/09/25
16403	HK Bank	ICBC European Warrants Jul 2015 A	0.250	5.30 per unit	2015/07/06	80,000,000	2014/09/25
16408	HK Bank	ZTE European Warrants Jun 2015 A	0.250	19.380	2015/06/30	60,000,000	2014/09/25
16442	J P Morgan SP BV	GAC European Warrants Dec 2016 A	0.250	8.500	2016/12/01	100,000,000	2014/09/25
16440	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2015 D	0.307	52.000	2015/04/02	100,000,000	2014/09/25
16441	J P Morgan SP BV	Kingsoft European Warrants Apr 2015 A	0.252	21.880	2015/04/02	100,000,000	2014/09/25
16436	J P Morgan SP BV	Sands China European Warrants Apr 2015 D	0.256	45.880	2015/04/02	100,000,000	2014/09/25
16430	Macquarie Bank Ltd.	MGM China European Warrants Jul 2015 A	0.250	28.000	2015/07/03	40,000,000	2014/09/25
16392	Nomura Int'l plc	China EB Ltd European Warrants Mar 2016 A	0.265	18.880	2016/03/29	50,000,000	2014/09/25
16402	Nomura Int'l plc	Chsouthcity European Warrants Mar 2015 A	0.430	4.80 per unit	2015/03/30	50,000,000	2014/09/25
16394	Nomura Int'l plc	Fosun Intl European Warrants Jun 2015 A	0.150	10.900	2015/06/24	80,000,000	2014/09/25
16393	Nomura Int'l plc	Geely Auto European Warrants Mar 2015 A	0.260	3.70 per unit	2015/03/30	50,000,000	2014/09/25
16401	Nomura Int'l plc	HN Renewables European Warrants May 2015A	0.250	3.68 per unit	2015/05/28	50,000,000	2014/09/25
16396	Nomura Int'l plc	Xinyi Glass European Warrants Mar 2015 A	0.415	6.18 per unit	2015/03/30	50,000,000	2014/09/25
16426	Standard Chartered Bank	CNOOC European Put Wts Jun 2015 A	0.700	11.88 per unit	2015/06/22	50,000,000	2014/09/25
16425	Standard Chartered Bank	CNOOC European Put Wts Jun 2016 A	0.250	13.550	2016/06/22	150,000,000	2014/09/25
16412	Standard Chartered Bank	MGM China European Wts May 2015 A	0.150	26.930	2015/05/26	80,000,000	2014/09/25
16414	Standard Chartered Bank	Sands China Eu Put Wts Apr 2015 B	0.250	36.880	2015/04/08	80,000,000	2014/09/25
16415	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2015 B	0.150	25,200 per 7,000 units	2015/03/30	150,000,000	2014/09/25
16419	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Apr15B	0.250	20.500	2015/04/08	50,000,000	2014/09/25
16416	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt Apr15C	0.345	40.000	2015/04/08	80,000,000	2014/09/25
16418	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 15 D	0.195	150 per 20 units	2015/02/04	150,000,000	2014/09/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16417	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jun 15 A	0.475	140 per 20 units	2015/06/03	150,000,000	2014/09/25
16429	UBS AG	Galaxy Ent European Put Warrants Mar 2015 C	0.250	42.880	2015/03/24	60,000,000	2014/09/25
16428	UBS AG	Sands China European Put Warrants Mar 2015 E	0.250	37.880	2015/03/24	60,000,000	2014/09/25
16672 [#]	Macquarie Bank Ltd.	China Unicom European Wts Jul 2015 A	0.140	12.000	2015/07/03	75,000,000	2014/09/25
16445	HK Bank	BOCL European Warrants Sep 2016 A	0.350	3.53 per unit	2016/09/30	80,000,000	2014/09/26
16444	HK Bank	HWL European Warrants Mar 2015 A	0.320	105.880	2015/03/30	80,000,000	2014/09/26
16448	HK Bank	Kingsoft European Warrants Mar 2015 A	0.150	23.330	2015/03/09	80,000,000	2014/09/26
16443	HK Bank	Ping An European Warrants Jan 2015 C	0.210	66.650	2015/01/27	80,000,000	2014/09/26
16446	HK Bank	Shimao Ppt European Warrants Aug 2015 A	0.250	18.880	2015/08/31	60,000,000	2014/09/26
16447	HK Bank	SMIC European Warrants Sep 2015 A	0.250	0.90 per unit	2015/09/30	60,000,000	2014/09/26
16458	Standard Chartered Bank	CHALCO European Warrants Mar 2015A	0.250	3.78 per unit	2015/03/25	50,000,000	2014/09/26
16457	Standard Chartered Bank	Cheung Kong Euro Wts Mar 2015 A	0.350	148.880	2015/03/25	40,000,000	2014/09/26
16459	Standard Chartered Bank	Galaxy Ent European Wts Apr 2015 C	0.470	50.000	2015/04/09	50,000,000	2014/09/26
16451	Standard Chartered Bank	Ping An European Wts Mar 2015 A	0.220	71.850	2015/03/23	80,000,000	2014/09/26
16449	SGA Societe Generale Acceptance N.V.	Anhui Conch Eu Wt May15A	0.260	28.000	2015/05/06	50,000,000	2014/09/26
16450	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2015 B	0.380	108.000	2015/04/08	50,000,000	2014/09/26
16489	Credit Suisse AG	Anton Oilfield European Warrants Mar 15 A	0.260	3.98 per unit	2015/03/30	60,000,000	2014/09/29
16483	Credit Suisse AG	China Mobile European Warrants Mar 2015 C	0.170	112.550	2015/03/30	80,000,000	2014/09/29
16487	Credit Suisse AG	Sinopec Corp European Warrants Mar 2015 D	0.370	7.48 per unit	2015/03/30	70,000,000	2014/09/29
16486	Credit Suisse AG	HSBC European Wts Feb 2015 C	0.150	90.000	2015/02/23	80,000,000	2014/09/29
16490	Daiwa Capital Mkt	Tencent Holdings European Wts Sep 2015 A	0.220	112.98 per 100 units	2015/09/21	158,000,000	2014/09/29
16460	HK Bank	HKEEx European Warrants Mar 2015 C	0.150	183.80 per 100 units	2015/03/31	180,000,000	2014/09/29
16461	HK Bank	HSI European Warrants Mar 2015 C	0.150	25,188 per 7,000 units	2015/03/30	180,000,000	2014/09/29
16493	J P Morgan SP BV	KECL European Warrants Dec 2015 A	0.150	13.000	2015/12/31	150,000,000	2014/09/29
16479	Macquarie Bank Ltd.	Li Ning European Warrants Apr 2015 A	0.346	5.88 per unit	2015/04/30	30,000,000	2014/09/29
16480	Macquarie Bank Ltd.	Ping An European Warrants May 2015 A	0.250	72.500	2015/05/05	40,000,000	2014/09/29
16463	Standard Chartered Bank	CC Bank European Wts Apr 2015 B	0.250	6.20 per unit	2015/04/02	50,000,000	2014/09/29
16467	Standard Chartered Bank	China Cinda Euro Wts Feb 2015 A	0.160	4.39 per unit	2015/02/27	80,000,000	2014/09/29
16491	Standard Chartered Bank	CSOP A50 ETF Euro Wts Feb 2015 B	0.210	9.89 per unit	2015/02/02	60,000,000	2014/09/29
16492	Standard Chartered Bank	CSOP A50 ETF Euro Wts Mar 2015 C	0.360	9.50 per unit	2015/03/30	60,000,000	2014/09/29
16465	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2015 D	0.290	140.10 per 20 units	2015/01/28	80,000,000	2014/09/29
16466	Standard Chartered Bank	Tencent Hldg Euro Wts Apr 2015 B	0.250	158.90 per 20 units	2015/04/23	80,000,000	2014/09/29
16481	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Apr 2015C	0.380	7.50 per unit	2015/04/08	80,000,000	2014/09/29
16476	UBS AG	HSBC European Warrants Feb 2015 A	0.150	93.930	2015/02/23	100,000,000	2014/09/29
16472	UBS AG	Ping An European Warrants Mar 2015 B	0.340	66.880	2015/03/30	100,000,000	2014/09/29
16473	UBS AG	Ping An European Warrants Mar 2015 C	0.185	71.850	2015/03/23	100,000,000	2014/09/29
29430 [#]	BOCI Asia Ltd.	A50 China European Warrants Aug 2016 A	0.117	8.490	2016/08/01	100,000,000	2014/09/29
16513	BOCI Asia Ltd.	CC Bank European Warrants Apr 2015 A	0.290	6 per unit	2015/04/08	100,000,000	2014/09/30
16517	BOCI Asia Ltd.	Galaxy Ent European Warrants Apr 2015 A	0.350	55.000	2015/04/01	100,000,000	2014/09/30
16519	BOCI Asia Ltd.	Hutchison European Warrants Mar 2015 A	0.380	110.000	2015/03/30	100,000,000	2014/09/30
16514	BOCI Asia Ltd.	Ping An European Warrants Apr 2015 A	0.300	66.880	2015/04/13	100,000,000	2014/09/30
16516	BOCI Asia Ltd.	Sands China European Warrants Mar 2015 B	0.290	48.880	2015/03/31	100,000,000	2014/09/30
16529	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Apr 2015 A	0.170	29.880	2015/04/02	100,000,000	2014/09/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16528	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Apr 2015 B	0.270	112.500	2015/04/02	100,000,000	2014/09/30
16524	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Feb 2015 B	0.150	150 per 20 units	2015/02/03	100,000,000	2014/09/30
16525	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Nov 2015 A	0.150	128.90 per 100 units	2015/11/03	100,000,000	2014/09/30
16531	Credit Suisse AG	Tencent Holdings European Wts Sep 2015 B	0.200	112.90 per 100 units	2015/09/21	100,000,000	2014/09/30
16534	Credit Suisse AG	Tencent Holdings Euro Put Wts Feb 2015 C	0.370	103.830	2015/02/06	70,000,000	2014/09/30
16521	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts Mar 2015 A	0.190	31.800	2015/03/26	80,000,000	2014/09/30
16520	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts May 2015 A	0.180	33.180	2015/05/22	80,000,000	2014/09/30
16547	Citigroup Global Mkt H Inc.	Tencent European Wts Dec 2015 B	0.190	123 per 100 units	2015/12/18	300,000,000	2014/09/30
16512	Daiwa Capital Mkt	Galaxy Ent European Put Wts May 2015 A	0.250	40.000	2015/05/29	68,000,000	2014/09/30
16496	Daiwa Capital Mkt	HKEx European Warrants Jul 2015 A	0.150	188.98 per 100 units	2015/07/02	208,000,000	2014/09/30
16498	Daiwa Capital Mkt	HKEx European Put Warrants Jun 2015 A	0.280	192 per 100 units	2015/06/01	128,000,000	2014/09/30
16495	Daiwa Capital Mkt	Hutchison European Warrants Mar 2015 B	0.330	108.880	2015/03/30	98,000,000	2014/09/30
16494	Daiwa Capital Mkt	Sands China European Put Wts May 2015 A	0.250	35.800	2015/05/15	68,000,000	2014/09/30
16535	Bank of East Asia	Galaxy Ent European Put Warrants May15 A	0.250	41.270	2015/05/29	40,000,000	2014/09/30
16537	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Mar 2015 A	0.349	7.58 per unit	2015/03/31	80,000,000	2014/09/30
16536	Goldman Sachs SP (Asia)	KECL European Warrants May 2016 A	0.250	11.500	2016/05/30	80,000,000	2014/09/30
16540	Goldman Sachs SP (Asia)	Stanchart European Wts Jun 2015 A	0.150	150 per 100 units	2015/06/30	100,000,000	2014/09/30
16539	Goldman Sachs SP (Asia)	Tencent European Wt Jan 2015 B	0.485	120 per 20 units	2015/01/29	80,000,000	2014/09/30
16506	HK Bank	HSI European Warrants Dec 2014 J	0.190	23,588 per 6,000 units	2014/12/30	150,000,000	2014/09/30
16505	HK Bank	HSI European Warrants Mar 2015 F	0.250	23,900 per 7,000 units	2015/03/30	100,000,000	2014/09/30
16503	HK Bank	HSI European Put Warrants Mar 2015 D	0.250	23,788 per 7,500 units	2015/03/30	100,000,000	2014/09/30
16504	HK Bank	HSI European Put Warrants Mar 2015 E	0.250	23,388 per 6,500 units	2015/03/30	100,000,000	2014/09/30
16507	HK Bank	HSI European Put Warrants Mar 2015 G	0.250	22,888 per 6,000 units	2015/03/30	100,000,000	2014/09/30
16543	J P Morgan SP BV	Anton Oilfield European Wts Apr 2015 A	0.251	3.50 per unit	2015/04/02	40,000,000	2014/09/30
16542	J P Morgan SP BV	CSOP A50 ETF European Warrants Apr 2015 A	0.256	10.18 per unit	2015/04/02	100,000,000	2014/09/30
16541	J P Morgan SP BV	China Mengniu European Warrants Apr 2015A	0.258	38.000	2015/04/02	100,000,000	2014/09/30
16544	J P Morgan SP BV	Tencent European Warrants Feb 2015 B	0.154	140 per 20 units	2015/02/02	200,000,000	2014/09/30
16545	J P Morgan SP BV	Tencent European Put Warrants Feb 2015 C	0.175	103.880	2015/02/06	200,000,000	2014/09/30
16530	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Mar 2015 B	0.250	10.50 per unit	2015/03/03	40,000,000	2014/09/30
16501	Macquarie Bank Ltd.	HKEx European Warrants Oct 2015 B	0.150	199.88 per 100 units	2015/10/05	70,000,000	2014/09/30
16508	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 17 A	0.150	90 per 100 units	2017/03/06	700,000,000	2014/09/30
16522	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 15 C	0.200	23,700 per 6,000 units	2015/03/30	150,000,000	2014/09/30
16523	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Mar 15 B	0.415	128.90 per 20 units	2015/03/04	150,000,000	2014/09/30
16509	UBS AG	Tencent Holdings European Warrants Feb 2015 C	0.395	128.98 per 20 units	2015/02/23	100,000,000	2014/09/30
28143 [†]	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Feb 2015A	0.280	9.66 per unit	2015/02/04	60,000,000	2014/09/30
16566	Daiwa Capital Mkt	China Telecom European Wts Jun 2015 A	0.250	6.48 per unit	2015/06/01	80,000,000	2014/10/03
16567	Daiwa Capital Mkt	China Telecom Euro Put Wts Mar 2015 B	0.150	4.08 per unit	2015/03/02	68,000,000	2014/10/03
16557	HK Bank	HKEx European Warrants Jun 2015 A	0.150	188.98 per 100 units	2015/06/30	100,000,000	2014/10/03
16556	HK Bank	KECL European Warrants Dec 2015 A	0.150	12.990	2015/12/31	80,000,000	2014/10/03
16558	HK Bank	Tencent European Warrants Jan 2015 D	0.150	149.90 per 20 units	2015/01/30	80,000,000	2014/10/03
16570	J P Morgan SP BV	CM Bank European Warrants Feb 2016 A	0.151	16.280	2016/02/29	100,000,000	2014/10/03
16571	J P Morgan SP BV	Shunfeng Pv European Warrants May 2015 A	0.156	8.880	2015/05/11	100,000,000	2014/10/03
16569	Macquarie Bank Ltd.	Ch LongYuan European Wts Feb 2017 A	0.250	8.080	2017/02/02	50,000,000	2014/10/03

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16554	Macquarie Bank Ltd.	Hutchison European Warrants Apr 2015 A	0.334	107.500	2015/04/02	30,000,000	2014/10/03
16550	Nomura Int'l plc	Esprit European Warrants Jun 2016 B	0.250	14.480	2016/06/30	50,000,000	2014/10/03
16548	Nomura Int'l plc	Esprit European Put Warrants Jun 2016 A	0.260	10.880	2016/06/30	50,000,000	2014/10/03
16553	Nomura Int'l plc	Evergrande European Warrants Apr 2015 A	0.260	3.88 per unit	2015/04/08	50,000,000	2014/10/03
16552	Nomura Int'l plc	Sh Electric European Warrants Apr 2015 A	0.250	4.48 per unit	2015/04/08	50,000,000	2014/10/03
16560	Standard Chartered Bank	Hutchison Euro Euro Wts Apr 2015 A	0.350	106.000	2015/04/02	60,000,000	2014/10/03
16562	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Apr15A	0.250	4 per unit	2015/04/08	40,000,000	2014/10/03
16565	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Mar 2015B	0.310	9.50 per unit	2015/03/04	70,000,000	2014/10/03
16563	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Apr15C	0.395	52.000	2015/04/08	80,000,000	2014/10/03
16564	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Jul15A	0.150	18.880	2015/07/06	80,000,000	2014/10/03
16559	UBS AG	China Life European Warrants Jun 2015 A	0.150	24.730	2015/06/05	100,000,000	2014/10/03
28183 [†]	J P Morgan SP BV	Tencent European Put Warrants Apr 2015 A	0.140	120 per 100 units	2015/04/30	350,000,000	2014/10/03
16591	Citigroup Global Mkt H Inc.	China Life Euro Wts Jun 2015 A	0.150	24.080	2015/06/23	150,000,000	2014/10/06
16593	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Mar 2015 A	0.290	7.88 per unit	2015/03/16	100,000,000	2014/10/06
16592	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jan 2015 B	0.168	66.600	2015/01/27	100,000,000	2014/10/06
16578	Daiwa Capital Mkt	China Mobile European Wts Apr 2015 A	0.290	106.800	2015/04/08	108,000,000	2014/10/06
16580	Daiwa Capital Mkt	Galaxy Ent European Warrants Apr 2015 A	0.370	49.800	2015/04/08	98,000,000	2014/10/06
16577	Daiwa Capital Mkt	Kingsoft European Warrants Apr 2015 A	0.250	20.380	2015/04/08	80,000,000	2014/10/06
16582	Daiwa Capital Mkt	Sands China European Warrants Apr 2015 A	0.340	42.980	2015/04/08	80,000,000	2014/10/06
16588	Goldman Sachs SP (Asia)	Geely Auto European Wts Apr 2015 A	0.264	3.48 per unit	2015/04/08	80,000,000	2014/10/06
16586	Goldman Sachs SP (Asia)	Hutchison European Wts Apr 2015 A	0.320	107.800	2015/04/08	80,000,000	2014/10/06
16574	HK Bank	HKEx European Put Warrants Jun 2015 B	0.160	174.90 per 100 units	2015/06/23	100,000,000	2014/10/06
16572	HK Bank	Shunfeng Pv European Warrants Jun 2015 A	0.160	10.020	2015/06/23	68,000,000	2014/10/06
16575	HK Bank	SJM Holdings European Warrants Jun 2015 B	0.150	18.900	2015/06/22	80,000,000	2014/10/06
16583	Macquarie Bank Ltd.	Ch Comm Cons European Wts Jun 2015 A	0.250	7.70 per unit	2015/06/02	40,000,000	2014/10/06
16584	Macquarie Bank Ltd.	Sh Electric European Wts May 2015 A	0.250	5.28 per unit	2015/05/26	40,000,000	2014/10/06
16576	Macquarie Bank Ltd.	Singyes Solar European Warrants May15A	0.250	18.880	2015/05/05	40,000,000	2014/10/06
16585	Standard Chartered Bank	HS H-SHARE European Wts Jun 2015 A	0.465	128.880	2015/06/26	80,000,000	2014/10/06
16579	UBS AG	Kingsoft European Warrants Mar 2015 B	0.200	21.050	2015/03/27	100,000,000	2014/10/06
27461 [†]	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2014 C	0.136	127.88 per 20 units	2014/12/22	80,000,000	2014/10/06
16602	BOCI Asia Ltd.	Anhui Conch European Warrants May 2015 A	0.250	28.880	2015/05/18	100,000,000	2014/10/07
16605	BOCI Asia Ltd.	Galaxy Ent European Put Warrants Apr 2015 B	0.360	43.880	2015/04/02	100,000,000	2014/10/07
16603	BOCI Asia Ltd.	HSBC European Warrants Apr 2015 A	0.240	84.880	2015/04/01	100,000,000	2014/10/07
16604	BOCI Asia Ltd.	Sands China European Put Wts Mar 2015 C	0.280	37.880	2015/03/30	100,000,000	2014/10/07
16621	Credit Suisse AG	HSI European Put Warrants Jan 2015 D	0.150	23,000 per 6,000 units	2015/01/29	150,000,000	2014/10/07
16628	Credit Suisse AG	Kingsoft European Warrants Mar 2015 D	0.160	21.000	2015/03/30	80,000,000	2014/10/07
16627	Credit Suisse AG	Tencent Holdings European Wts Dec 2016 A	0.170	149.90 per 100 units	2016/12/19	120,000,000	2014/10/07
16622	Credit Suisse AG	Tencent Holdings Euro Put Wts Dec 2015 C	0.150	100.83 per 100 units	2015/12/22	100,000,000	2014/10/07
16630	Goldman Sachs SP (Asia)	China Cinda Euro Wts Apr 2015 A	0.272	4 per unit	2015/04/30	80,000,000	2014/10/07
16629	Goldman Sachs SP (Asia)	Kingsoft European Wts Mar 2015 A	0.172	21.020	2015/03/30	80,000,000	2014/10/07
16608	HK Bank	China Unicom European Warrants Jun 2015 A	0.150	12.020	2015/06/30	100,000,000	2014/10/07
16607	HK Bank	HKEx European Warrants Sep 2015 C	0.190	177.98 per 100 units	2015/09/14	150,000,000	2014/10/07
16606	HK Bank	HKEx European Warrants Nov 2015 B	0.160	199.90 per 100 units	2015/11/30	150,000,000	2014/10/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16619	HK Bank	HKEx European Warrants Dec 2015 B	0.150	227.80 per 100 units	2015/12/31	150,000,000	2014/10/07
16617	HK Bank	HSI European Warrants Jun 2015 B	0.150	26,888 per 6,600 units	2015/06/29	150,000,000	2014/10/07
16618	HK Bank	HSI European Warrants Sep 2015 B	0.150	28,128 per 7,000 units	2015/09/29	150,000,000	2014/10/07
16615	HK Bank	Tencent European Warrants Dec 2015 C	0.160	122.90 per 100 units	2015/12/21	150,000,000	2014/10/07
16636	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2015 A	0.256	6.08 per unit	2015/04/08	200,000,000	2014/10/07
16637	J P Morgan SP BV	Cheung Kong European Warrants Apr 2015 B	0.315	148.880	2015/04/01	60,000,000	2014/10/07
16635	J P Morgan SP BV	Sinopec Corp European Warrants Dec 2015 A	0.155	6.538 per 8 units	2015/12/30	100,000,000	2014/10/07
16639	J P Morgan SP BV	Kingsoft European Warrants Apr 2015 B	0.151	20.500	2015/04/02	150,000,000	2014/10/07
16638	J P Morgan SP BV	Ping An European Warrants Apr 2015 A	0.252	65.000	2015/04/08	150,000,000	2014/10/07
16623	Macquarie Bank Ltd.	Evergrande European Wts May 2015 B	0.250	3.88 per unit	2015/05/04	40,000,000	2014/10/07
16624	Macquarie Bank Ltd.	Poly HK Inv European Wts Aug 2015 A	0.250	4 per unit	2015/08/03	40,000,000	2014/10/07
16613	Macquarie Bank Ltd.	Sinopharm European Warrants May 2015 A	0.250	35.280	2015/05/29	50,000,000	2014/10/07
16597	Nomura Int'l plc	CSOP A50 ETF European Warrants Jan 2015 B	0.150	10.38 per unit	2015/01/30	80,000,000	2014/10/07
16600	Nomura Int'l plc	CSOP A50 ETF European Warrants Feb 2015 A	0.260	9.88 per unit	2015/02/02	60,000,000	2014/10/07
16601	Nomura Int'l plc	CSOP A50 ETF European Warrants Mar 2015 A	0.150	10.88 per unit	2015/03/02	80,000,000	2014/10/07
16594	Nomura Int'l plc	Link REIT European Warrants Jul 2015 A	0.185	46.880	2015/07/16	80,000,000	2014/10/07
16595	Nomura Int'l plc	Link REIT European Warrants Sep 2015 A	0.250	50.800	2015/09/30	50,000,000	2014/10/07
16614	Standard Chartered Bank	CAM CSI300 European Wts Mar 2015 A	0.190	31.800	2015/03/30	60,000,000	2014/10/07
16611	Standard Chartered Bank	Chi Resources European Wts Jul 15 A	0.180	22.880	2015/07/23	60,000,000	2014/10/07
16610	Standard Chartered Bank	Galaxy Ent European Wts May 2015 A	0.530	45.000	2015/05/04	40,000,000	2014/10/07
16609	Standard Chartered Bank	Galaxy Ent Euro Put Wts Apr 2015 D	0.360	45.000	2015/04/09	40,000,000	2014/10/07
16631	Standard Chartered Bank	HSI European Warrants Feb 2015 E	0.150	23,488 per 8,200 units	2015/02/26	100,000,000	2014/10/07
16632	Standard Chartered Bank	HSI European Put Wts Apr 2015 C	0.250	23,400 per 6,000 units	2015/04/29	100,000,000	2014/10/07
16633	Standard Chartered Bank	Ping An European Wts Jan 2015 B	0.160	66.650	2015/01/27	80,000,000	2014/10/07
16626	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Apr 2015 B	0.690	200.000	2015/04/08	40,000,000	2014/10/07
16625	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Feb 2015 B	0.150	23,500 per 8,200 units	2015/02/26	150,000,000	2014/10/07
16620	UBS AG	Kingsoft European Warrants Apr 2015 A	0.250	19.380	2015/04/08	100,000,000	2014/10/07
16669	BNP Paribas Arbit Issu B.V.	Ch Comm Cons Eur Wt Aug 2015 A	0.400	6.80 per unit	2015/08/04	25,000,000	2014/10/08
16667	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jan 2017 A	0.200	140 per 100 units	2017/01/04	100,000,000	2014/10/08
16665	BNP Paribas Arbit Issu B.V.	HSI European Wts Jul 2015 B	0.150	23,000 per 9,000 units	2015/07/30	100,000,000	2014/10/08
16668	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Apr 2015 A	0.270	105.900	2015/04/02	88,000,000	2014/10/08
16666	BNP Paribas Arbit Issu B.V.	Ping An European Wt Apr 2015 B	0.300	66.880	2015/04/02	100,000,000	2014/10/08
16657	Credit Suisse AG	HSI European Put Warrants Jan 2015 E	0.150	22,800 per 6,000 units	2015/01/29	150,000,000	2014/10/08
16659	Credit Suisse AG	Hutchison European Warrants Mar 2015 A	0.260	105.880	2015/03/30	100,000,000	2014/10/08
16645	Daiwa Capital Mkt	CCB European Warrants Mar 2015 A	0.190	5.99 per unit	2015/03/27	108,000,000	2014/10/08
16640	Daiwa Capital Mkt	HKEx European Warrants Jun 2015 B	0.250	164.88 per 100 units	2015/06/01	208,000,000	2014/10/08
16647	Daiwa Capital Mkt	ICBC European Warrants Jun 2015 A	0.180	5.29 per unit	2015/06/26	108,000,000	2014/10/08
16660	J P Morgan SP BV	HSBC European Warrants Apr 2015 B	0.151	84.880	2015/04/02	200,000,000	2014/10/08
16662	J P Morgan SP BV	JX Copper European Warrants Dec 2015 A	0.154	14.000	2015/12/02	100,000,000	2014/10/08
16663	J P Morgan SP BV	New World Dev European Wts Jan 2015 A	0.281	9.88 per unit	2015/01/29	50,000,000	2014/10/08
16664	J P Morgan SP BV	Wharf European Warrants Apr 2015 A	0.256	60.000	2015/04/08	100,000,000	2014/10/08
16652	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Apr 15 A	0.270	5.25 per unit	2015/04/08	40,000,000	2014/10/08
16641	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Jan 2017A	0.150	140 per 100 units	2017/01/04	300,000,000	2014/10/08

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16653	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 17 A	0.150	88.93 per 100 units	2017/01/04	600,000,000	2014/10/08
16655	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 15 B	0.250	24,200 per 8,000 units	2015/04/29	150,000,000	2014/10/08
16656	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 15 C	0.250	25,000 per 12,000 units	2015/06/29	150,000,000	2014/10/08
16642	UBS AG	China Life European Warrants Mar 2015 A	0.150	22.730	2015/03/25	100,000,000	2014/10/08
16644	UBS AG	HSI European Warrants Jan 2015 D	0.150	23,300 per 8,000 units	2015/01/29	300,000,000	2014/10/08
16643	UBS AG	HSI European Warrants Mar 2015 D	0.150	24,100 per 7,000 units	2015/03/30	300,000,000	2014/10/08
16649	UBS AG	Hutchison European Warrants Apr 2015 A	0.480	103.880	2015/04/08	100,000,000	2014/10/08
16648	UBS AG	Ping An European Warrants Apr 2015 A	0.330	63.880	2015/04/08	100,000,000	2014/10/08
16651	UBS AG	Tencent Holdings European Warrants Feb 2015 D	0.340	120.10 per 20 units	2015/02/04	100,000,000	2014/10/08
16690	Credit Suisse AG	CC Bank European Warrants Apr 2015 A	0.150	6 per unit	2015/04/08	70,000,000	2014/10/09
16707	Credit Suisse AG	Cheung Kong European Warrants Mar 2015 A	0.280	148.880	2015/03/25	70,000,000	2014/10/09
16706	Credit Suisse AG	Cheung Kong European Warrants Dec 2016 A	0.160	140 per 100 units	2016/12/19	70,000,000	2014/10/09
16705	Credit Suisse	Cheung Kong European Put Warrants Apr 2015 A	0.260	104.880	2015/04/09	70,000,000	2014/10/09
16709	Credit Suisse AG	China Life European Warrants Apr 2015 A	0.150	22.680	2015/04/01	70,000,000	2014/10/09
16689	Credit Suisse AG	HSI European Warrants Feb 2015 F	0.190	23,000 per 6,000 units	2015/02/26	150,000,000	2014/10/09
16685	Credit Suisse AG	HSI European Warrants Mar 2015 D	0.150	24,000 per 7,000 units	2015/03/30	150,000,000	2014/10/09
16684	Credit Suisse AG	HSI European Warrants Apr 2015 B	0.150	24,200 per 6,000 units	2015/04/29	150,000,000	2014/10/09
16686	Credit Suisse AG	HSI European Warrants Jul 2015 A	0.150	23,000 per 9,000 units	2015/07/30	150,000,000	2014/10/09
16692	Credit Suisse AG	ICBC European Warrants Jan 2015 B	0.150	5 per unit	2015/01/12	70,000,000	2014/10/09
16691	Credit Suisse AG	ICBC European Warrants Jul 2015 A	0.160	5.30 per unit	2015/07/06	70,000,000	2014/10/09
16704	Credit Suisse AG	Ping An European Warrants Apr 2015 A	0.260	64.280	2015/04/09	70,000,000	2014/10/09
16712	Credit Suisse AG	Tencent Holdings European Wts Jan 2015 E	0.390	120.08 per 20 units	2015/01/28	100,000,000	2014/10/09
16711	Credit Suisse AG	Tencent Holdings European Wts Mar 2015 A	0.310	128.88 per 20 units	2015/03/02	100,000,000	2014/10/09
16723	Citigroup Global Mkt H Inc.	Cheung Kong Eu Put Wt Apr 15 A	0.300	110.000	2015/04/08	35,000,000	2014/10/09
16717	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Mar 2015 D	0.390	95.000	2015/03/03	80,000,000	2014/10/09
16721	Citigroup Global Mkt H Inc.	HKEx European Wts Feb 2015 C	0.560	185.000	2015/02/02	50,000,000	2014/10/09
16720	Citigroup Global Mkt H Inc.	HKEx European Wts Oct 2015 A	0.250	166 per 100 units	2015/10/05	300,000,000	2014/10/09
16718	Citigroup Global Mkt H Inc.	HSBC European Wts Jan 2015 A	0.150	84.000	2015/01/30	80,000,000	2014/10/09
16719	Citigroup Global Mkt H Inc.	HSBC European Wts Apr 2015 A	0.250	82.000	2015/04/10	80,000,000	2014/10/09
16693	Daiwa Capital Mkt	BOC HK European Put Warrants Oct 2015 A	0.250	22.800	2015/10/02	68,000,000	2014/10/09
16682	Daiwa Capital Mkt	Ping An European Put Warrants Apr 2015 A	0.250	51.880	2015/04/10	80,000,000	2014/10/09
16683	Daiwa Capital Mkt	Tencent Holdings European Wts Mar 2015 B	0.280	128.98 per 20 units	2015/03/02	108,000,000	2014/10/09
16679	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Dec 2016 A	0.150	140.10 per 100 units	2016/12/28	120,000,000	2014/10/09
16680	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2015 E	0.150	23,200 per 8,000 units	2015/01/29	150,000,000	2014/10/09
16676	HK Bank	Cheung Kong European Warrants Dec 2016 A	0.150	140.10 per 100 units	2016/12/19	80,000,000	2014/10/09
16675	HK Bank	Tencent European Warrants Jan 2015 E	0.680	108.98 per 20 units	2015/01/27	60,000,000	2014/10/09
16715	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2015 E	0.252	48.880	2015/04/08	100,000,000	2014/10/09
16713	J P Morgan SP BV	HKEx European Warrants Sep 2015 B	0.151	178 per 100 units	2015/09/02	300,000,000	2014/10/09
16716	J P Morgan SP BV	HSI European Put Warrants Mar 2015 C	0.152	22,800 per 6,000 units	2015/03/30	500,000,000	2014/10/09
16714	J P Morgan SP BV	Sands China European Warrants Mar 2015 C	0.192	43.880	2015/03/31	100,000,000	2014/10/09
16694	Nomura Int'l plc	CITIC Bank European Warrants Jan 2015 A	0.200	4.99 per unit	2015/01/09	80,000,000	2014/10/09
16698	Nomura Int'l plc	CITIC Bank European Warrants Jun 2015 A	0.250	5.25 per unit	2015/06/30	50,000,000	2014/10/09
16697	Nomura Int'l plc	CSR European Warrants Sep 2016 A	0.151	7.510	2016/09/27	80,000,000	2014/10/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16696	Nomura Int'l plc	Foxconn European Warrants Jun 2015 A	0.460	4.80 per unit	2015/06/30	50,000,000	2014/10/09
16695	Nomura Int'l plc	Sunny Optical European Warrants Jul 2015A	0.250	13.180	2015/07/31	50,000,000	2014/10/09
16671	Standard Chartered Bank	Anhui Conch Euro Wts Apr 2015 B	0.250	27.000	2015/04/30	60,000,000	2014/10/09
16670	Standard Chartered Bank	Esprit European Wts Dec 2015 A	0.150	12.300	2015/12/29	80,000,000	2014/10/09
16681	Standard Chartered Bank	HSCEI European Put Wts Jul 2015 A	0.250	9,800 per 4,000 units	2015/07/30	100,000,000	2014/10/09
16674	Standard Chartered Bank	SHK P European Put Wts Feb 2015 C	0.150	95.000	2015/02/24	80,000,000	2014/10/09
16673	Standard Chartered Bank	Zijin Mining Euro Wts Mar 2015 A	0.240	1.90 per unit	2015/03/30	50,000,000	2014/10/09
16699	UBS AG	HSCEI European Warrants Jan 2015 A	0.190	10,000 per 4,000 units	2015/01/29	100,000,000	2014/10/09
16702	UBS AG	HSCEI European Put Warrants Sep 2015 A	0.250	9,600 per 4,000 units	2015/09/29	100,000,000	2014/10/09
16732	Credit Suisse AG	HSBC European Wts Apr 2015 A	0.190	82.880	2015/04/28	70,000,000	2014/10/10
16734	Credit Suisse AG	HSI European Warrants Jan 2015 F	0.210	22,200 per 6,000 units	2015/01/29	150,000,000	2014/10/10
16739	Credit Suisse AG	HSI European Put Warrants Apr 2015 C	0.250	22,000 per 6,500 units	2015/04/29	150,000,000	2014/10/10
16733	Credit Suisse AG	Sands China European Warrants Mar 2015 D	0.210	43.880	2015/03/24	70,000,000	2014/10/10
16745	Daiwa Capital Mkt	Sinopec Corp European Wts Apr 2015 A	0.250	7.78 per unit	2015/04/09	80,000,000	2014/10/10
16747	Daiwa Capital Mkt	Sinopec Corp European Put Wts Apr 2015 B	0.290	6.08 per unit	2015/04/09	68,000,000	2014/10/10
16758	Goldman Sachs SP (Asia)	Agri Bk European Wts Aug 2015 A	0.253	3.60 per unit	2015/08/28	80,000,000	2014/10/10
16756	Goldman Sachs SP (Asia)	CC Bank European Wts Apr 2015 A	0.333	5.70 per unit	2015/04/29	80,000,000	2014/10/10
16753	Goldman Sachs SP (Asia)	China Cinda Euro Wts Apr 2015 B	0.337	3.60 per unit	2015/04/10	80,000,000	2014/10/10
16757	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Apr 2015 A	0.439	47.800	2015/04/29	80,000,000	2014/10/10
16755	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2015 A	0.307	79.880	2015/04/29	80,000,000	2014/10/10
16759	Goldman Sachs Sp (Asia)	ICBC European Warrants Apr 2015 A	0.279	5.10 per unit	2015/04/29	80,000,000	2014/10/10
16754	Goldman Sachs SP (Asia)	Ping An European Wts Apr 2015 B	0.345	63.800	2015/04/10	80,000,000	2014/10/10
16725	HK Bank	AIA European Warrants Feb 2015 A	0.150	42.730	2015/02/09	80,000,000	2014/10/10
16724	HK Bank	China Mobile European Warrants Feb 2015 H	0.220	100.100	2015/02/16	80,000,000	2014/10/10
16751	J P Morgan SP BV	CITIC European Warrants Jul 2015 B	0.151	14.000	2015/07/02	100,000,000	2014/10/10
16752	J P Morgan SP BV	China Mob European Wts May 2015 A	0.267	106.880	2015/05/04	200,000,000	2014/10/10
16749	J P Morgan SP BV	Sinopec Corp European Warrants May 2015 A	0.258	7.38 per unit	2015/05/04	100,000,000	2014/10/10
16750	J P Morgan SP BV	SHK Ppt European Warrants May 2015 A	0.256	116.880	2015/05/04	60,000,000	2014/10/10
16735	Macquarie Bank Ltd.	Anton Oilfield European Wts May 2015 A	0.334	2.88 per unit	2015/05/19	30,000,000	2014/10/10
16743	Macquarie Bank Ltd.	Baiyunshan Ph European Warrants Apr15A	0.293	33.880	2015/04/13	35,000,000	2014/10/10
16736	Macquarie Bank Ltd.	Kingsoft European Warrants May 2015 A	0.250	19.880	2015/05/05	40,000,000	2014/10/10
16744	Standard Chartered Bank	HSBC European Put Wts Jul 2015 A	0.185	67.800	2015/07/02	100,000,000	2014/10/10
16726	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt May15A	0.485	45.880	2015/05/06	80,000,000	2014/10/10
16728	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2015 A	0.250	83.500	2015/05/06	100,000,000	2014/10/10
16742	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt May 15 A	0.175	23,000 per 10,000 units	2015/05/28	150,000,000	2014/10/10
16740	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 15 A	0.250	22,600 per 7,500 units	2015/07/30	150,000,000	2014/10/10
16738	UBS AG	China Mobile European Put Warrants Apr 2015 A	0.250	78.880	2015/04/09	100,000,000	2014/10/10
16730	UBS AG	HSBC European Warrants Mar 2015 A	0.175	84.930	2015/03/26	100,000,000	2014/10/10
16729	UBS AG	HSI European Warrants Mar 2015 E	0.160	22,700 per 8,000 units	2015/03/30	300,000,000	2014/10/10
16737	UBS AG	HSI European Put Warrants Apr 2015 A	0.250	22,400 per 7,500 units	2015/04/29	300,000,000	2014/10/10
16731	UBS AG	ICBC European Warrants Apr 2015 A	0.275	5.08 per unit	2015/04/09	100,000,000	2014/10/10
13716*	Standard Chartered Bank	HKEx European Warrants Jun 2015 D	0.104	188.88 per 100 units	2015/06/22	100,000,000	2014/10/10
16771	J P Morgan SP BV	HSI European Warrants Apr 2015 A	0.150	25,600 per 6,000 units	2015/04/29	500,000,000	2014/10/13

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16770	Macquarie Bank Ltd.	Anhui Conch European Wts May 2015 A	0.286	25.980	2015/05/05	35,000,000	2014/10/13
16769	Macquarie Bank Ltd.	CNBM European Warrants May 2015 A	0.400	8.75 per unit	2015/05/05	25,000,000	2014/10/13
16768	Macquarie Bank Ltd.	NCI European Warrants Jul 2015 A	0.250	32.880	2015/07/03	40,000,000	2014/10/13
16760	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2015 C	0.150	178 per 100 units	2015/09/02	300,000,000	2014/10/13
16762	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2016 D	0.150	185.10 per 100 units	2016/01/04	300,000,000	2014/10/13
16764	UBS AG	China Mobile European Warrants Feb 2015 F	0.150	106.080	2015/02/12	100,000,000	2014/10/13
16763	UBS AG	Galaxy Ent European Warrants Mar 2015 D	0.190	60.050	2015/03/26	60,000,000	2014/10/13
10302	BNP Paribas Arbit Issu B.V.	AUD/USD European Wts Jun 2015 A	0.250	0.89 per 1 units	2015/06/19	100,000,000	2014/10/14
10303	BNP Paribas Arbit Issu B.V.	AUD/USD Euro Put Wts Jun 2015 B	0.250	0.83 per 1 units	2015/06/19	100,000,000	2014/10/14
10298	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Wts Jun 2015 A	0.250	1.32 per 1 units	2015/06/19	100,000,000	2014/10/14
10299	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Put Wt Jun 2015 B	0.250	1.20 per 1 units	2015/06/19	100,000,000	2014/10/14
10300	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Wts Jun 2015 A	0.250	112 per 1 units	2015/06/19	100,000,000	2014/10/14
10301	BNP Paribas Arbit Issu B.V.	USD/YEN Eur Put Wts Jun 2015 B	0.250	105 per 1 units	2015/06/19	100,000,000	2014/10/14
16780	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Mar 2015 B	0.250	7.50 per unit	2015/03/27	100,000,000	2014/10/14
16778	Citigroup Global Mkt H Inc.	Ping An Euro Wts Apr 2015 A	0.280	63.880	2015/04/13	100,000,000	2014/10/14
16775	Goldman Sachs SP (Asia)	China Gas Hold Euro Wts Jun 2015A	0.174	14.880	2015/06/23	80,000,000	2014/10/14
16790	J P Morgan SP BV	China Mob European Wts Feb 2015 F	0.247	102.480	2015/02/18	200,000,000	2014/10/14
16795	J P Morgan SP BV	HSBC European Warrants Jun 2015 A	0.250	81.880	2015/06/02	200,000,000	2014/10/14
16794	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Nov 2015 A	0.150	11,700 per 3,800 units	2015/11/27	300,000,000	2014/10/14
16772	J P Morgan SP BV	HSI European Warrants Apr 2015 B	0.151	24,200 per 6,000 units	2015/04/29	500,000,000	2014/10/14
16773	J P Morgan SP BV	HSI European Put Warrants Mar 2015 D	0.150	23,400 per 6,500 units	2015/03/30	500,000,000	2014/10/14
16791	J P Morgan SP BV	Tencent European Warrants Feb 2015 D	0.417	120 per 20 units	2015/02/02	200,000,000	2014/10/14
16785	Macquarie Bank Ltd.	Avichina European Warrants May 2015 A	0.350	8.80 per unit	2015/05/05	30,000,000	2014/10/14
16789	Macquarie Bank Ltd.	BJ Ent Water European Wts Apr 2015 A	0.375	6.38 per unit	2015/04/14	28,000,000	2014/10/14
16788	Macquarie Bank Ltd.	CLP European Warrants Jul 2015 A	0.250	70.000	2015/07/03	40,000,000	2014/10/14
16784	Macquarie Bank Ltd.	PICC P&C European Warrants Jan 2016 A	0.168	15.980	2016/01/05	60,000,000	2014/10/14
16786	Macquarie Bank Ltd.	Yurun Food European Wts May 2015 A	0.250	4.38 per unit	2015/05/05	40,000,000	2014/10/14
16776	Standard Chartered Bank	Ch LongYuan European Wts May 2015A	0.490	8.50 per unit	2015/05/18	40,000,000	2014/10/14
16783	Standard Chartered Bank	China Mobile Euro Wts Apr 2015 A	0.270	109.000	2015/04/16	100,000,000	2014/10/14
16777	Standard Chartered Bank	NewWorld Dev European Wts Feb 15 A	0.150	10.98 per unit	2015/02/24	80,000,000	2014/10/14
16781	UBS AG	AIA European Warrants Mar 2015 A	0.150	45.050	2015/03/26	100,000,000	2014/10/14
16826	BNP Paribas Arbit Issu B.V.	Country Garden Eu Wt Jul 2015A	0.430	3.80 per unit	2015/07/03	68,000,000	2014/10/15
16828	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Nov 2015 A	0.250	10,400 per 3,800 units	2015/11/27	100,000,000	2014/10/15
16829	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts May 2015 A	0.260	10,800 per 4,000 units	2015/05/28	100,000,000	2014/10/15
16825	BNP Paribas Arbit Issu B.V.	KECL European Wts Jun 2016 A	0.180	11.500	2016/06/02	100,000,000	2014/10/15
16827	BNP Paribas Arbit Issu B.V.	Shenzhen Int'l Eu Wt Aug 2015A	0.250	11.800	2015/08/04	53,000,000	2014/10/15
16800	Goldman Sachs SP (Asia)	HSCEI European Put Wts Sep 2015 A	0.252	10,000 per 4,000 units	2015/09/29	150,000,000	2014/10/15
16805	HK Bank	China Mobile European Warrants Feb 2015 I	0.180	105.100	2015/02/23	80,000,000	2014/10/15
16806	HK Bank	CSR Times European Warrants Apr 2015 A	0.250	32.880	2015/04/30	60,000,000	2014/10/15
16803	HK Bank	Foxconn European Warrants Apr 2015 A	0.300	4.68 per unit	2015/04/30	80,000,000	2014/10/15
16801	HK Bank	HSI European Warrants Feb 2015 I	0.220	22,888 per 6,000 units	2015/02/26	150,000,000	2014/10/15
16804	HK Bank	Mengniu European Warrants Mar 2015 A	0.150	38.050	2015/03/26	80,000,000	2014/10/15
16802	HK Bank	Ping An European Warrants Mar 2015 B	0.150	68.430	2015/03/31	80,000,000	2014/10/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16834	J P Morgan SP BV	Foxconn European Warrants May 2015 A	0.382	4.60 per unit	2015/05/04	30,000,000	2014/10/15
16833	J P Morgan SP BV	HKEx European Warrants Feb 2015 B	0.528	185.000	2015/02/02	100,000,000	2014/10/15
16821	Macquarie Bank Ltd.	Air China European Warrants Jul 2015 A	0.400	6.88 per unit	2015/07/03	25,000,000	2014/10/15
16823	Macquarie Bank Ltd.	BOCL European Warrants May 2015 A	0.250	3.75 per unit	2015/05/05	40,000,000	2014/10/15
16817	Macquarie Bank Ltd.	China COSCO European Wts Oct 2015 A	0.250	4.80 per unit	2015/10/05	40,000,000	2014/10/15
16824	Macquarie Bank Ltd.	Country Garden European Wts Apr 2015 A	0.250	3.80 per unit	2015/04/15	40,000,000	2014/10/15
16819	Macquarie Bank Ltd.	Cheung Kong European Wts Apr 2015 A	0.150	160.000	2015/04/02	70,000,000	2014/10/15
16807	Macquarie Bank Ltd.	HSBC European Warrants Apr 2015 A	0.150	84.880	2015/04/02	70,000,000	2014/10/15
16809	Macquarie Bank Ltd.	Skyworth Digital European Wts Apr 2015 A	0.250	5 per unit	2015/04/15	40,000,000	2014/10/15
16797	Macquarie Bank Ltd.	Tencent European Warrants Feb 2015 C	0.158	140 per 20 units	2015/02/03	65,000,000	2014/10/15
16799	Macquarie Bank Ltd.	Tencent European Put Wts May 2015 A	0.334	95.000	2015/05/05	30,000,000	2014/10/15
16832	Standard Chartered Bank	Sinopec Corp Euro Wts Aug 2015 A	0.420	7.50 per unit	2015/08/05	80,000,000	2014/10/15
16831	Standard Chartered Bank	HSBC European Warrants May 2015 A	0.500	75.880	2015/05/18	100,000,000	2014/10/15
16830	Standard Chartered Bank	HSI European Put Wts Jan 2015 E	0.150	22,800 per 6,000 units	2015/01/29	100,000,000	2014/10/15
16814	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2015 D	0.185	22,700 per 8,000 units	2015/03/30	150,000,000	2014/10/15
16815	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2015 B	0.165	23,100 per 9,000 units	2015/07/30	150,000,000	2014/10/15
16816	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 15 C	0.275	65.000	2015/04/08	80,000,000	2014/10/15
16812	UBS AG	China Cinda European Warrants Feb 2015 B	0.150	4.39 per unit	2015/02/25	70,000,000	2014/10/15
16811	UBS AG	Sinopec Corp European Warrants Mar 2015 C	0.275	7.49 per unit	2015/03/23	70,000,000	2014/10/15
16796	UBS AG	HKEx European Warrants Jun 2015 B	0.150	188.98 per 100 units	2015/06/22	300,000,000	2014/10/15
16810	UBS AG	PetroChina European Warrants Apr 2015 B	0.550	10.68 per unit	2015/04/14	50,000,000	2014/10/15
15702 ⁹	Goldman Sachs SP (Asia)	HKEx European Warrants Apr 2015 A	0.088	183.88 per 100 units	2015/04/01	150,000,000	2014/10/15
16862	Goldman Sachs SP (Asia)	Sino Biopharm European Wts Apr15A	0.554	9 per unit	2015/04/16	30,000,000	2014/10/16
16861	Goldman Sachs SP (Asia)	Tencent European Put Wt Mar 2015 B	0.457	104.900	2015/03/03	80,000,000	2014/10/16
16841	HK Bank	CC Bank European Warrants Jul 2015 B	0.250	5.75 per unit	2015/07/31	80,000,000	2014/10/16
16843	HK Bank	China Cinda European Warrants Apr 2015 A	0.250	4.18 per unit	2015/04/30	80,000,000	2014/10/16
16839	HK Bank	Cheung Kong European Warrants Mar 2015 A	0.280	148.980	2015/03/23	60,000,000	2014/10/16
16836	HK Bank	HSI European Put Warrants Jan 2015 E	0.150	22,688 per 6,000 units	2015/01/29	150,000,000	2014/10/16
16835	HK Bank	HSI European Put Warrants Apr 2015 A	0.250	22,288 per 6,000 units	2015/04/29	100,000,000	2014/10/16
16837	HK Bank	HWL European Warrants Jun 2016 A	0.150	88.93 per 100 units	2016/06/15	150,000,000	2014/10/16
16838	HK Bank	SHK Ppt European Warrants Apr 2015 B	0.280	118.880	2015/04/30	60,000,000	2014/10/16
16859	J P Morgan SP BV	Evergrande European Warrants May 2015 A	0.251	3.33 per unit	2015/05/04	100,000,000	2014/10/16
16858	J P Morgan SP BV	HSCEI European Put Warrants Jul 2015 A	0.150	9,800 per 4,000 units	2015/07/30	300,000,000	2014/10/16
16856	Standard Chartered Bank	China Mobile Euro Wts May 2015 A	0.750	100.000	2015/05/22	80,000,000	2014/10/16
16857	Standard Chartered Bank	China Mobile Euro Wts Aug 2015 A	0.250	132.880	2015/08/03	80,000,000	2014/10/16
16846	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jun 2015 B	0.400	10 per unit	2015/06/30	80,000,000	2014/10/16
16847	Standard Chartered Bank	A50 China Euro Warrants Jun 2015 A	0.340	10 per unit	2015/06/30	80,000,000	2014/10/16
16844	Standard Chartered Bank	Tencent Hldg Euro Wts Apr 2015 C	0.550	132.880	2015/04/28	60,000,000	2014/10/16
16849	UBS AG	AIA European Warrants Apr 2015 A	0.260	42.880	2015/04/15	100,000,000	2014/10/16
16851	UBS AG	AIA European Warrants Apr 2015 B	0.150	47.330	2015/04/27	100,000,000	2014/10/16
16896	BNP Paribas Arbit Issu B.V.	Ch Mer Hold Euro Wts Apr 2016A	0.250	30.800	2016/04/05	60,000,000	2014/10/17
16900	BNP Paribas Arbit Issu B.V.	Chsouthcity Euro Wts Jun 2015A	0.390	5.20 per unit	2015/06/02	40,000,000	2014/10/17
16897	BNP Paribas Arbit Issu B.V.	Haier Elec Euro Wts Apr 2016 A	0.250	27.800	2016/04/05	40,000,000	2014/10/17

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16901	BNP Paribas Arbit Issu B.V.	Huishan Dairy Eu Wt Mar 2016 A	0.250	2.80 per unit	2016/03/02	40,000,000	2014/10/17
16899	BNP Paribas Arbit Issu B.V.	NCI European Wts Jan 2016 A	0.250	38.000	2016/01/05	80,000,000	2014/10/17
16884	Credit Suisse AG	TCL Comm European Warrants Jun 2015 A	0.150	9.880	2015/06/18	70,000,000	2014/10/17
16904	Daiwa Capital Mkt	CNOOC European Put Warrants Oct 2015 A	0.250	13.380	2015/10/05	68,000,000	2014/10/17
16903	Daiwa Capital Mkt	PetroChina European Put Wts Jun 2015 A	0.290	8 per unit	2015/06/08	68,000,000	2014/10/17
16885	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Apr 2015 A	0.150	28.050	2015/04/29	80,000,000	2014/10/17
16886	Goldman Sachs SP (Asia)	CMS European Warrants Dec 2015 B	0.252	14.880	2015/12/30	80,000,000	2014/10/17
16889	Goldman Sachs SP (Asia)	Ch Overseas Euro Put Wt Jun 2015 B	0.260	20.500	2015/06/30	80,000,000	2014/10/17
16906	Goldman Sachs SP (Asia)	Guangdong Inv European Wts Dec16A	0.254	10.880	2016/12/30	40,000,000	2014/10/17
16887	Goldman Sachs SP (Asia)	Geely Auto European Wts Apr 2015 B	0.252	4 per unit	2015/04/27	80,000,000	2014/10/17
16888	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Mar 2015 C	0.308	43.880	2015/03/27	80,000,000	2014/10/17
16869	HK Bank	Anhui Conch European Warrants Apr 2015 A	0.160	28.050	2015/04/29	80,000,000	2014/10/17
16870	HK Bank	AIA European Warrants Apr 2015 B	0.150	47.330	2015/04/29	80,000,000	2014/10/17
16867	HK Bank	Sinopec Corp European Warrants Apr 2015 A	0.360	7.18 per unit	2015/04/30	60,000,000	2014/10/17
16868	HK Bank	PetroChina European Warrants Apr 2015 A	0.480	10.50 per unit	2015/04/30	60,000,000	2014/10/17
16874	Nomura Int'l plc	Country Garden European Warrants Jul15 A	0.260	3.68 per unit	2015/07/31	50,000,000	2014/10/17
16873	Nomura Int'l plc	Ch Oilfield European Warrants Jun 2015 A	0.154	24.888	2015/06/29	80,000,000	2014/10/17
16890	Nomura Int'l plc	Evergrande European Warrants Jun 2015 A	0.305	3.50 per unit	2015/06/30	50,000,000	2014/10/17
16881	Nomura Int'l plc	Gome European Warrants Feb 2016 A	0.252	1.60 per unit	2016/02/29	50,000,000	2014/10/17
16876	Nomura Int'l plc	Haier Elec European Warrants Aug 2015 A	0.270	22.880	2015/08/18	50,000,000	2014/10/17
16877	Nomura Int'l plc	Shunfeng Pv EEuropean Warrants Dec 2015 A	0.260	7.500	2015/12/31	50,000,000	2014/10/17
16872	Nomura Int'l plc	Stanchart European Warrants Jun 2015 A	0.258	175.000	2015/06/30	50,000,000	2014/10/17
16871	Nomura Int'l plc	Stanchart European Put Wts Dec 2015 A	0.250	148 per 100 units	2015/12/30	50,000,000	2014/10/17
16891	Nomura Int'l plc	Singyes Solar European Warrants Apr 2015A	0.291	16.000	2015/04/30	50,000,000	2014/10/17
16875	Nomura Int'l plc	Yanzhou Coal European Warrants Jun 2015 A	0.460	7.68 per unit	2015/06/30	50,000,000	2014/10/17
16863	Standard Chartered Bank	Foxconn European Wts Jul 2015 A	0.290	6 per unit	2015/07/31	50,000,000	2014/10/17
16864	Standard Chartered Bank	HKEx European Warrants Dec 2015 A	0.180	185 per 100 units	2015/12/18	200,000,000	2014/10/17
16865	Standard Chartered Bank	HKEx European Warrants Feb 2016 A	0.150	228.88 per 100 units	2016/02/22	200,000,000	2014/10/17
16902	Standard Chartered Bank	HSI European Warrants Jan 2015 F	0.240	22,200 per 6,000 units	2015/01/29	100,000,000	2014/10/17
16866	Standard Chartered Bank	Sands China Euro Wts Aug 2015 A	0.270	52.880	2015/08/18	100,000,000	2014/10/17
16892	SGA Societe Generale Acceptance N.V.	BYD Eu Wt May 2015 A	0.495	58.000	2015/05/06	30,000,000	2014/10/17
16894	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt May 2015A	0.435	7 per unit	2015/05/06	80,000,000	2014/10/17
14637*	Goldman Sachs SP (Asia)	BOCL European Warrants Sep 2016 A	0.285	3.48 per unit	2016/09/30	80,000,000	2014/10/17
16916	Citigroup Global Mkt H Inc.	HKEx European Wts Mar 2015 A	0.450	200.000	2015/03/27	50,000,000	2014/10/20
16917	Daiwa Capital Mkt	AIA European Warrants May 2015 A	0.250	43.380	2015/05/04	98,000,000	2014/10/20
16921	Daiwa Capital Mkt	CNOOC European Put Warrants Oct 2015 B	0.250	13.480	2015/10/15	80,000,000	2014/10/20
16920	Daiwa Capital Mkt	A50 China European Put Wts Oct 2015 A	0.250	10.580	2015/10/20	98,000,000	2014/10/20
16918	Daiwa Capital Mkt	HSBC European Put Warrants Aug 2015 A	0.250	64.880	2015/08/31	80,000,000	2014/10/20
16919	Daiwa Capital Mkt	ICBC European Put Warrants Jun 2015 B	0.250	4.28 per unit	2015/06/01	80,000,000	2014/10/20
16915	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2015 B	0.154	21,900 per 6,500 units	2015/04/29	150,000,000	2014/10/20
16931	J P Morgan SP BV	AIA European Warrants Mar 2015 B	0.150	45.000	2015/03/30	100,000,000	2014/10/20
16939	J P Morgan SP BV	Biostime European Warrants Jun 2015 A	0.251	28.880	2015/06/01	60,000,000	2014/10/20
16935	J P Morgan SP BV	CNOOC European Warrants May 2015 A	0.260	15 per unit	2015/05/04	80,000,000	2014/10/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16933	J P Morgan SP BV	A50 China European Warrants May 2015 A	0.256	9.88 per unit	2015/05/04	200,000,000	2014/10/20
16932	J P Morgan SP BV	HSI European Warrants Feb 2015 E	0.150	23,000 per 6,000 units	2015/02/26	500,000,000	2014/10/20
16934	J P Morgan SP BV	ICBC European Warrants Jul 2015 A	0.151	5.30 per unit	2015/07/02	100,000,000	2014/10/20
16908	J P Morgan SP BV	KECL European Warrants May 2016 A	0.152	11.500	2016/05/30	150,000,000	2014/10/20
16923	Macquarie Bank Ltd.	Ch Oilfield European Wts May 2015 A	0.286	19.880	2015/05/19	35,000,000	2014/10/20
16922	Macquarie Bank Ltd.	KECL European Warrants May 2017 A	0.250	10.980	2017/05/04	40,000,000	2014/10/20
16914	Macquarie Bank Ltd.	Stanchart European Warrants May 2015 A	0.250	165.000	2015/05/05	40,000,000	2014/10/20
16913	Standard Chartered Bank	AIA European Warrants Apr 2015 A	0.150	47.250	2015/04/28	100,000,000	2014/10/20
16926	Standard Chartered Bank	CNOOC European Warrants Oct 2016 A	0.195	14.880	2016/10/17	100,000,000	2014/10/20
16912	Standard Chartered Bank	Foxconn European Wts Jun 2015 A	0.400	4.68 per unit	2015/06/01	40,000,000	2014/10/20
16910	Standard Chartered Bank	HSI European Warrants Jan 2015 G	0.150	23,200 per 8,000 units	2015/01/29	100,000,000	2014/10/20
16928	Standard Chartered Bank	HSI European Put Wts Mar 2015 G	0.170	22,800 per 6,000 units	2015/03/30	100,000,000	2014/10/20
16929	Standard Chartered Bank	HSI European Put Wts Apr 2015 D	0.150	22,000 per 6,500 units	2015/04/29	100,000,000	2014/10/20
16911	Standard Chartered Bank	KECL European Warrants May 2016 A	0.150	11.480	2016/05/23	80,000,000	2014/10/20
16927	Standard Chartered Bank	PetroChina European Wts Apr 2015 A	0.250	10.80 per unit	2015/04/20	60,000,000	2014/10/20
16924	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Apr15C	0.460	9.51 per unit	2015/04/08	70,000,000	2014/10/20
16925	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2015 B	0.275	79.000	2015/05/06	100,000,000	2014/10/20
16094*	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2015 B	0.119	644.40 per 100 units	2015/01/06	100,000,000	2014/10/20
16958	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Aug 2015 A	0.180	18.88 per unit	2015/08/04	95,000,000	2014/10/21
16957	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Jun 2015 A	0.260	140 per 20 units	2015/06/02	100,000,000	2014/10/21
16956	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt May 2015 B	0.150	120 per 100 units	2015/05/05	100,000,000	2014/10/21
16951	Credit Suisse AG	CNOOC European Warrants Oct 2016 A	0.160	14.900	2016/10/17	80,000,000	2014/10/21
16952	Daiwa Capital Mkt	Agricultural Bank European Wts Jul 2015 A	0.160	3.688 per unit	2015/07/24	98,000,000	2014/10/21
16967	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2015 A	0.521	99.000	2015/04/30	80,000,000	2014/10/21
16966	Goldman Sachs SP (Asia)	CNOOC European Warrants Apr 2015 A	0.299	15.50 per unit	2015/04/30	80,000,000	2014/10/21
16965	Goldman Sachs SP (Asia)	HKEx European Warrants Dec 2015 C	0.152	185 per 100 units	2015/12/21	120,000,000	2014/10/21
16941	Goldman Sachs SP (Asia)	Lenovo European Wts May 2016 A	0.167	12.680	2016/05/27	80,000,000	2014/10/21
16940	Goldman Sachs SP (Asia)	Shunfeng Pv European Wts Dec 2015A	0.251	8.000	2015/12/30	80,000,000	2014/10/21
16964	J P Morgan SP BV	CITIC Bank European Warrants Jun 2015 A	0.150	5.25 per unit	2015/06/30	80,000,000	2014/10/21
16963	J P Morgan SP BV	HSI European Put Warrants Apr 2015 C	0.150	22,000 per 6,500 units	2015/04/29	500,000,000	2014/10/21
16962	Macquarie Bank Ltd.	China Agri European Wts May 2015 A	0.250	3.60 per unit	2015/05/29	40,000,000	2014/10/21
16959	Macquarie Bank Ltd.	Datang European Warrants May 2015 A	0.250	4.88 per unit	2015/05/29	40,000,000	2014/10/21
16961	Macquarie Bank Ltd.	China Mengniu European Wts May 2015 A	0.250	38.880	2015/05/05	50,000,000	2014/10/21
16947	Standard Chartered Bank	China Mobile Euro Wts Aug 2016 A	0.250	77.88 per 100 units	2016/08/01	200,000,000	2014/10/21
16942	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Nov 2015 A	0.250	3.55 per unit	2015/11/04	100,000,000	2014/10/21
16943	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2015 A	0.200	6.08 per unit	2015/08/05	80,000,000	2014/10/21
16950	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Dec 2015A	0.150	126.90 per 100 units	2015/12/28	300,000,000	2014/10/21
16945	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 15 C	0.150	22,300 per 7,500 units	2015/04/29	150,000,000	2014/10/21
16944	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2015 A	0.185	5.30 per unit	2015/07/06	80,000,000	2014/10/21
16954	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Jun 2015 A	0.155	19.380	2015/06/29	80,000,000	2014/10/21
16955	UBS AG	HKEx European Warrants Sep 2015 D	0.174	178.10 per 100 units	2015/09/09	300,000,000	2014/10/21
28132*	Goldman Sachs SP (Asia)	HSBC European Warrants Jan 2015 A	0.105	84.000	2015/01/30	100,000,000	2014/10/21
16982	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Oct 2015 B	0.150	23.900	2015/10/05	100,000,000	2014/10/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16983	BNP Paribas Arbit Issu B.V.	New World Dev Eu Wt Jan 2016 A	0.410	10.88 per unit	2016/01/05	26,000,000	2014/10/22
16981	BNP Paribas Arbit Issu B.V.	Ping An European Wt Dec 2016 A	0.410	80.880	2016/12/02	100,000,000	2014/10/22
16984	BNP Paribas Arbit Issu B.V.	Xinyi Glass Euro Wts Jul 2015A	0.460	6.08 per unit	2015/07/03	25,000,000	2014/10/22
16998	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Mar 2015 A	0.360	148.880	2015/03/18	80,000,000	2014/10/22
16997	Citigroup Global Mkt H Inc.	CNOOC European Wts Oct 2016 A	0.150	14.880	2016/10/17	150,000,000	2014/10/22
16995	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Mar 2015 A	0.450	103.880	2015/03/30	80,000,000	2014/10/22
16996	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Apr 2015 A	0.280	10.68 per unit	2015/04/02	80,000,000	2014/10/22
16973	Daiwa Capital Mkt	BYD European Warrants Apr 2015 A	0.250	61.980	2015/04/02	68,000,000	2014/10/22
16972	Daiwa Capital Mkt	China Cinda European Warrants Jun 2015 A	0.250	4.08 per unit	2015/06/01	68,000,000	2014/10/22
16971	Daiwa Capital Mkt	China Unicom European Warrants Sep 2015A	0.150	11.700	2015/09/22	80,000,000	2014/10/22
16970	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Sep 2015 B	0.250	126.88 per 100 units	2015/09/09	98,000,000	2014/10/22
16986	Bank of East Asia	BYD European Warrants Jul 2015 A	0.250	80.000	2015/07/06	40,000,000	2014/10/22
16985	Bank of East Asia	R&F Ppt European Warrants Jul 2015 A	0.150	7.010	2015/07/06	70,000,000	2014/10/22
16987	Bank of East Asia	Stanchart European Warrants Dec 2016 A	0.250	118 per 100 units	2016/12/30	80,000,000	2014/10/22
16988	Bank of East Asia	Stanchart European Put Warrants Dec 15 A	0.250	143.80 per 100 units	2015/12/30	50,000,000	2014/10/22
16977	HK Bank	AIA European Put Warrants Apr 2015 C	0.150	37.450	2015/04/23	80,000,000	2014/10/22
16979	HK Bank	Henderson Land Dev European Warrants Jul 2015 A	0.250	56.880	2015/07/06	60,000,000	2014/10/22
16978	HK Bank	KECL European Warrants Jun 2016 A	0.150	11.520	2016/06/06	80,000,000	2014/10/22
16980	HK Bank	Wharf European Warrants Jun 2015 A	0.250	61.880	2015/06/30	60,000,000	2014/10/22
16991	J P Morgan SP BV	CNOOC European Warrants Oct 2016 A	0.153	14.880	2016/10/24	200,000,000	2014/10/22
16990	J P Morgan SP BV	PetroChina European Warrants May 2015 A	0.256	10.28 per unit	2015/05/04	100,000,000	2014/10/22
16992	Macquarie Bank Ltd.	CITIC Bank European Wts Jul 2015 A	0.250	5.50 per unit	2015/07/03	50,000,000	2014/10/22
16994	Macquarie Bank Ltd.	Tingyi European Warrants Oct 2015 A	0.250	20.000	2015/10/05	40,000,000	2014/10/22
16974	Standard Chartered Bank	CSOP A50 ETF Euro Wts Dec 2015 A	0.150	8.380	2015/12/14	100,000,000	2014/10/22
16975	Standard Chartered Bank	Tracker Fund Euro Wts Jun 2015 A	0.250	28 per unit	2015/06/01	50,000,000	2014/10/22
16976	Standard Chartered Bank	Tracker Fund Eu Put Wt Jun 2015 B	0.320	20 per unit	2015/06/05	50,000,000	2014/10/22
16989	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Apr 2015B	0.270	10.69 per unit	2015/04/08	60,000,000	2014/10/22
16968	SGA Societe Generale Acceptance N.V.	Sinopharm Eu Wts May15A	0.250	29.500	2015/05/06	40,000,000	2014/10/22
16969	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 15 E	0.570	108.88 per 20 units	2015/02/04	150,000,000	2014/10/22
27496 [†]	HK Bank	A50 China European Warrants Dec 2015 A	0.066	9.380	2015/12/31	200,000,000	2014/10/22
17003	BOCI Asia Ltd.	China Mobile European Warrants Feb 2015 E	0.380	99.330	2015/02/27	100,000,000	2014/10/23
17005	BOCI Asia Ltd.	HSBC European Warrants Apr 2015 B	0.200	82.880	2015/04/27	100,000,000	2014/10/23
17006	BOCI Asia Ltd.	HSI European Warrants Jul 2015 A	0.170	23,100 per 9,000 units	2015/07/30	100,000,000	2014/10/23
17008	BOCI Asia Ltd.	HSI European Put Warrants Jul 2015 B	0.220	22,600 per 7,500 units	2015/07/30	100,000,000	2014/10/23
17002	BOCI Asia Ltd.	PetroChina European Warrants Apr 2015 B	0.300	10.68 per unit	2015/04/13	100,000,000	2014/10/23
17004	BOCI Asia Ltd.	Ping An European Warrants Apr 2015 B	0.280	63.880	2015/04/20	100,000,000	2014/10/23
17036	Credit Suisse AG	CNBM European Warrants Nov 2016 B	0.150	8.010	2016/11/28	100,000,000	2014/10/23
17038	Credit Suisse AG	China Shenhua European Wts Jun 2015 B	0.250	22.800	2015/06/29	50,000,000	2014/10/23
17034	Credit Suisse AG	HSBC European Wts Mar 2015 B	0.150	84.900	2015/03/30	80,000,000	2014/10/23
17035	Credit Suisse AG	HSBC European Put Warrants Jun 2015 B	0.200	67.780	2015/06/29	70,000,000	2014/10/23
17037	Credit Suisse AG	Ping An European Put Warrants Apr 2015 C	0.250	50.280	2015/04/27	70,000,000	2014/10/23
17033	Goldman Sachs SP (Asia)	CNOOC European Warrants Apr 2015 B	0.434	13.88 per unit	2015/04/30	50,000,000	2014/10/23
17032	Goldman Sachs SP (Asia)	CSR Times European Wts May 2015 A	0.339	35.000	2015/05/29	50,000,000	2014/10/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17013	HK Bank	HKEx European Warrants May 2015 B	0.170	164.98 per 100 units	2015/05/26	100,000,000	2014/10/23
17011	HK Bank	HSI European Warrants Jan 2015 F	0.150	23,288 per 8,000 units	2015/01/29	100,000,000	2014/10/23
17010	HK Bank	HSI European Warrants Mar 2015 H	0.150	24,488 per 6,000 units	2015/03/30	100,000,000	2014/10/23
17012	HK Bank	Lenovo European Warrants Jun 2016 A	0.150	12.700	2016/06/03	100,000,000	2014/10/23
17027	J P Morgan SP BV	BYD European Warrants May 2015 A	0.258	58.880	2015/05/04	100,000,000	2014/10/23
17026	J P Morgan SP BV	HSBC European Put Warrants May 2015 A	0.251	72.880	2015/05/04	200,000,000	2014/10/23
17028	J P Morgan SP BV	Tingyi European Warrants Sep 2015 A	0.250	20.880	2015/09/30	80,000,000	2014/10/23
17031	Standard Chartered Bank	HSI European Put Wts Apr 2015 E	0.170	22,400 per 7,500 units	2015/04/29	100,000,000	2014/10/23
17001	Standard Chartered Bank	PetroChina European Wts Jun 2015 A	0.400	9.80 per unit	2015/06/30	60,000,000	2014/10/23
17000	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2016 A	0.150	88.88 per 100 units	2016/06/06	500,000,000	2014/10/23
16999	SGA Societe Generale Acceptance N.V.	Ch Mengniu Eu Wt Jun15A	0.285	36.880	2015/06/03	36,000,000	2014/10/23
17014	SGA Societe Generale Acceptance N.V.	Zoomlion Eu Wt Jun15A	0.365	5.40 per unit	2015/06/29	30,000,000	2014/10/23
17017	UBS AG	CGS European Warrants Nov 2017 A	0.250	6.280	2017/11/27	70,000,000	2014/10/23
17018	UBS AG	CITIC Sec European Warrants Mar 2015 A	0.185	20.650	2015/03/26	70,000,000	2014/10/23
17015	UBS AG	HSI European Put Warrants Mar 2015 F	0.195	22,800 per 6,000 units	2015/03/30	300,000,000	2014/10/23
17016	UBS AG	HSI European Put Warrants Apr 2015 B	0.170	21,900 per 6,500 units	2015/04/29	300,000,000	2014/10/23
17019	UBS AG	Ping An European Put Warrants Mar 2015 D	0.230	51.830	2015/03/31	100,000,000	2014/10/23
17045	BOCI Asia Ltd.	AIA European Warrants Apr 2015 A	0.270	42.880	2015/04/15	100,000,000	2014/10/24
17043	BOCI Asia Ltd.	HKEx European Warrants Dec 2015 C	0.150	188 per 100 units	2015/12/07	100,000,000	2014/10/24
17046	BOCI Asia Ltd.	ICBC European Warrants Apr 2015 A	0.300	5.11 per unit	2015/04/27	100,000,000	2014/10/24
17044	BOCI Asia Ltd.	Tencent Holdings European Wts Feb 2015 B	0.300	120.08 per 20 units	2015/02/09	100,000,000	2014/10/24
10304	BNP Paribas Arbit Issu B.V.	Gold European Wts Dec 2015 A	0.250	1,350 per 3,500 units	2015/12/17	100,000,000	2014/10/24
10305	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Dec 15 B	0.250	1,150 per 3,500 units	2015/12/17	100,000,000	2014/10/24
10307	BNP Paribas Arbit Issu B.V.	Oil Futures Eu Put Wt Nov 15 B	0.250	72 per 300 units	2015/11/18	100,000,000	2014/10/24
17072	Credit Suisse AG	HSI European Put Warrants Apr 2015 D	0.180	22,400 per 7,500 units	2015/04/29	150,000,000	2014/10/24
17073	Credit Suisse AG	Yanzhou Coal European Warrants Apr 2015 A	0.250	7.98 per unit	2015/04/27	60,000,000	2014/10/24
17062	Daiwa Capital Mkt	China Mobile European Wts Mar 2015 C	0.330	99.980	2015/03/02	108,000,000	2014/10/24
17041	Goldman Sachs SP (Asia)	Ch Oilfield European Wts Sep 2015A	0.252	20.000	2015/09/30	50,000,000	2014/10/24
17039	Goldman Sachs SP (Asia)	HKEx European Warrants Nov 2015 A	0.154	200.08 per 100 units	2015/11/30	80,000,000	2014/10/24
17040	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2015 B	0.152	82.880	2015/04/21	80,000,000	2014/10/24
17074	Goldman Sachs SP (Asia)	HSBC European Put Wts Apr 2015 C	0.257	70.000	2015/04/30	80,000,000	2014/10/24
17053	HK Bank	AIA European Warrants Feb 2015 B	0.150	45.780	2015/02/23	100,000,000	2014/10/24
17052	HK Bank	Huaneng Power European Warrants Jul 2015 A	0.500	9.98 per unit	2015/07/31	50,000,000	2014/10/24
17049	HK Bank	Kingsoft European Warrants Apr 2015 A	0.160	19.900	2015/04/28	80,000,000	2014/10/24
17047	HK Bank	Lenovo European Warrants Apr 2015 B	0.550	11.98 per unit	2015/04/30	50,000,000	2014/10/24
17051	HK Bank	Sands China European Warrants Jun 2015 A	0.250	48.980	2015/06/30	80,000,000	2014/10/24
17075	J P Morgan SP BV	Zhuzhou CSR European Warrants Nov 2018 A	0.152	8.800	2018/11/27	100,000,000	2014/10/24
17059	Macquarie Bank Ltd.	CC Bank European Warrants Apr 2015 A	0.150	6.40 per unit	2015/04/02	70,000,000	2014/10/24
17061	Macquarie Bank Ltd.	Minsheng Bank European Wts Apr 2015 A	0.250	8.50 per unit	2015/04/02	40,000,000	2014/10/24
17060	Macquarie Bank Ltd.	Haitong Sec European Wts Apr 2015 A	0.250	16.80 per unit	2015/04/24	40,000,000	2014/10/24
17042	Macquarie Bank Ltd.	Tencent European Warrants Feb 2015 D	0.286	119.90 per 20 units	2015/02/03	35,000,000	2014/10/24
17055	Standard Chartered Bank	Cheung Kong Euro Wts Aug 2017 A	0.250	128.88 per 100 units	2017/08/08	200,000,000	2014/10/24
17066	Standard Chartered Bank	HSBC European Put Wts Nov 2016 A	0.250	88.88 per 100 units	2016/11/11	200,000,000	2014/10/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17054	Standard Chartered Bank	Hutchison Euro Put Wt Sep 2016 A	0.250	104.88 per 100 units	2016/09/08	200,000,000	2014/10/24
17067	Standard Chartered Bank	ICBC European Warrants Jul 2015 A	0.175	5.31 per unit	2015/07/13	60,000,000	2014/10/24
17063	Standard Chartered Bank	ICBC European Warrants Sep 2015 A	0.300	5.12 per unit	2015/09/09	60,000,000	2014/10/24
17064	Standard Chartered Bank	ICBC European Put Wts May 2015 A	0.250	4.48 per unit	2015/05/08	60,000,000	2014/10/24
17057	Standard Chartered Bank	SHK P European Warrants Feb 2017 A	0.250	100 per 100 units	2017/02/08	200,000,000	2014/10/24
17056	Standard Chartered Bank	SHK P European Put Wts Aug 2017 A	0.250	112.88 per 100 units	2017/08/08	200,000,000	2014/10/24
17058	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2015 A	0.250	108 per 100 units	2015/12/29	200,000,000	2014/10/24
17068	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Apr15B	0.205	35.600	2015/04/08	50,000,000	2014/10/24
17070	SGA Societe Generale Acceptance N.V	Haitong Sec Eu Wt Sep16A	0.250	13.500	2016/09/05	100,000,000	2014/10/24
17071	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Sep18A	0.150	6.690	2018/09/05	200,000,000	2014/10/24
17076	HK Bank	CNOOC European Warrants Oct 2016 A	0.150	14.900	2016/10/31	100,000,000	2014/10/27
17081	HK Bank	Evergrande European Warrants Jul 2015 A	0.250	3.28 per unit	2015/07/31	80,000,000	2014/10/27
17080	HK Bank	GCL-Poly Energy European Warrants Jun 2015 A	0.250	2.98 per unit	2015/06/30	80,000,000	2014/10/27
17079	HK Bank	Tencent European Warrants Aug 2015 A	0.160	108.98 per 100 units	2015/08/31	100,000,000	2014/10/27
17077	HK Bank	Tencent European Warrants Sep 2015 B	0.150	112.98 per 100 units	2015/09/29	100,000,000	2014/10/27
17091	J P Morgan SP BV	Haitong Sec European Warrants Dec 2015 A	0.251	14.000	2015/12/30	100,000,000	2014/10/27
17083	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Sep 2015 A	0.150	3.88 per unit	2015/09/02	70,000,000	2014/10/27
17086	Macquarie Bank Ltd.	Gome European Warrants Mar 2016 A	0.150	1.60 per unit	2016/03/02	70,000,000	2014/10/27
17082	Standard Chartered Bank	SHK P European Warrants Jul 2015 A	0.250	133.880	2015/07/03	60,000,000	2014/10/27
17087	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt May 2015 A	0.295	5.28 per unit	2015/05/06	35,000,000	2014/10/27
17090	UBS AG	HSCEI European Put Warrants Jan 2015 B	0.150	10,400 per 4,500 units	2015/01/29	100,000,000	2014/10/27
17101	J P Morgan SP BV	China Mob European Wts Mar 2017 A	0.156	90 per 100 units	2017/03/06	500,000,000	2014/10/28
17102	J P Morgan SP BV	HSI European Warrants Jun 2015 A	0.151	25,000 per 6,000 units	2015/06/29	500,000,000	2014/10/28
17100	Macquarie Bank Ltd.	China Mobile European Wts Mar 2017 A	0.150	90 per 100 units	2017/03/06	70,000,000	2014/10/28
17095	Standard Chartered Bank	BYD European Warrants Jun 2015 A	0.450	55.000	2015/06/30	60,000,000	2014/10/28
17093	Standard Chartered Bank	CM Bank European Wts May 2016 A	0.150	15.800	2016/05/27	200,000,000	2014/10/28
17097	Standard Chartered Bank	China Mobile Euro Wts Mar 2017 A	0.150	90 per 100 units	2017/03/06	500,000,000	2014/10/28
17096	Standard Chartered Bank	Kingsoft Euro Put Wts Jun 2015 A	0.250	16.880	2015/06/03	100,000,000	2014/10/28
17098	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 15 G	0.450	95.000	2015/03/04	100,000,000	2014/10/28
17099	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 15 B	0.410	100.000	2015/05/06	100,000,000	2014/10/28
17106	Goldman Sachs SP (Asia)	China Taiping European Wts Sep15A	0.267	18.000	2015/09/29	40,000,000	2014/10/29
17105	Goldman Sachs SP (Asia)	Tracker Fund European Wts Apr 15 A	0.500	26.48 per unit	2015/04/29	80,000,000	2014/10/29
17108	HK Bank	HSI European Warrants Apr 2015 B	0.250	22,388 per 8,500 units	2015/04/29	100,000,000	2014/10/29
17109	HK Bank	HSI European Warrants Apr 2015 C	0.250	23,500 per 6,500 units	2015/04/29	100,000,000	2014/10/29
17110	HK Bank	HSI European Warrants Apr 2015 D	0.150	24,300 per 6,000 units	2015/04/29	150,000,000	2014/10/29
17111	HK Bank	HSI European Put Warrants Apr 2015 E	0.250	22,600 per 6,000 units	2015/04/29	100,000,000	2014/10/29
17112	HK Bank	HSI European Put Warrants Apr 2015 F	0.150	21,900 per 6,500 units	2015/04/29	150,000,000	2014/10/29
17118	J P Morgan SP BV	Anta Sports European Warrants May 2015 A	0.255	16.500	2015/05/04	100,000,000	2014/10/29
17116	Macquarie Bank Ltd.	CNOOC European Warrants Dec 2016 A	0.250	13.500	2016/12/02	40,000,000	2014/10/29
17117	Macquarie Bank Ltd.	Sinopec Corp European Wts May 2015 A	0.334	7.25 per unit	2015/05/05	30,000,000	2014/10/29
17115	Macquarie Bank Ltd.	Ping An European Warrants May 2015 B	0.250	67.500	2015/05/05	50,000,000	2014/10/29
17104	Nomura Int'l plc	CSR Times European Warrants Apr 2015 A	0.306	32.900	2015/04/28	50,000,000	2014/10/29
17103	Nomura Int'l plc	Sh Petrochem European Warrants Jul 2015 A	0.260	3.08 per unit	2015/07/31	50,000,000	2014/10/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17107	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Aug 15 A	0.350	3.40 per unit	2015/08/05	40,000,000	2014/10/29
17114	UBS AG	Zhuzhou CSR European Warrants Nov 2018 A	0.210	8.810	2018/11/27	100,000,000	2014/10/29
17113	UBS AG	Kingsoft European Put Warrants Apr 2015 B	0.250	17.880	2015/04/28	60,000,000	2014/10/29
17130	Credit Suisse AG	China Mobile European Warrants Apr 2015 A	0.380	97.800	2015/04/29	80,000,000	2014/10/30
17129	Credit Suisse AG	China Mobile European Warrants Dec 2016 A	0.150	88.90 per 100 units	2016/12/19	120,000,000	2014/10/30
17136	Credit Suisse AG	Gome European Warrants Apr 2015 A	0.150	1.26 per unit	2015/04/27	70,000,000	2014/10/30
17138	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2015 F	0.157	22,788 per 6,000 units	2015/03/30	150,000,000	2014/10/30
17139	Goldman Sachs SP (Asia)	Tencent European Wt Jun 2015 A	0.312	139.91 per 20 units	2015/06/01	80,000,000	2014/10/30
17121	HK Bank	CSOP A50 ETF European Warrants Apr 2015 A	0.500	9.38 per unit	2015/04/30	60,000,000	2014/10/30
17122	HK Bank	CSOP A50 ETF European Warrants Apr 2015 B	0.220	10.32 per unit	2015/04/01	60,000,000	2014/10/30
17119	HK Bank	A50 China European Warrants Apr 2015 A	0.240	10.02 per unit	2015/04/01	60,000,000	2014/10/30
17120	HK Bank	A50 China European Warrants Apr 2015 B	0.500	9.28 per unit	2015/04/30	60,000,000	2014/10/30
17142	J P Morgan SP BV	BankComm European Warrants Aug 2015 A	0.250	6.18 per unit	2015/08/03	80,000,000	2014/10/30
17141	J P Morgan SP BV	CSR Times European Warrants May 2015 A	0.253	37.880	2015/05/04	100,000,000	2014/10/30
17140	J P Morgan SP BV	Sinopec Seg European Warrants May 2016 A	0.152	8.880	2016/05/30	100,000,000	2014/10/30
17128	Macquarie Bank Ltd.	CITIC European Warrants Nov 2015 A	0.150	14.280	2015/11/03	70,000,000	2014/10/30
17126	Macquarie Bank Ltd.	Chi Resources European Wts Nov 2015 A	0.250	21.380	2015/11/03	50,000,000	2014/10/30
17137	Macquarie Bank Ltd.	CSR European Warrants Oct 2017 A	0.250	8.100	2017/10/03	40,000,000	2014/10/30
17123	Macquarie Bank Ltd.	HK Gas European Warrants Jan 2017 A	0.250	18.000	2017/01/04	50,000,000	2014/10/30
17127	Macquarie Bank Ltd.	PetroChina European Wts Jun 2015 A	0.334	11.48 per unit	2015/06/02	30,000,000	2014/10/30
17135	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 15 C	0.600	92.880	2015/05/06	100,000,000	2014/10/30
17134	UBS AG	BOCL European Warrants Sep 2016 A	0.185	4.17 per unit	2016/09/23	100,000,000	2014/10/30
17132	UBS AG	China Unicom European Warrants Sep 2015 A	0.150	11.690	2015/09/22	100,000,000	2014/10/30
17133	UBS AG	ICBC European Warrants Jun 2015 A	0.200	5.31 per unit	2015/06/26	100,000,000	2014/10/30
17131	UBS AG	ZTE European Warrants Mar 2015 A	0.150	18.820	2015/03/26	70,000,000	2014/10/30
10308	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Wt Nov 2015 C	0.250	90 per 300 units	2015/11/18	100,000,000	2014/10/31
17156	Credit Suisse AG	Kingsoft European Warrants Apr 2015 A	0.250	18.600	2015/04/30	80,000,000	2014/10/31
17167	Goldman Sachs SP (Asia)	CAM CSI300 Euro Put Wts Jun 2016 A	0.252	27.000	2016/06/30	80,000,000	2014/10/31
17166	Goldman Sachs SP (Asia)	China Unicom Euro Wts Sep 2015 A	0.151	11.688	2015/09/30	80,000,000	2014/10/31
17165	Goldman Sachs SP (Asia)	Kingsoft European Wts Jun 2015 A	0.253	18.500	2015/06/30	80,000,000	2014/10/31
17146	HK Bank	China Life European Warrants Sep 2015 B	0.150	23.930	2015/09/29	100,000,000	2014/10/31
17145	HK Bank	China Mobile European Warrants Feb 2015 J	0.300	95.050	2015/02/24	80,000,000	2014/10/31
17149	HK Bank	ICBC European Warrants Apr 2015 B	0.350	5 per unit	2015/04/30	80,000,000	2014/10/31
17147	HK Bank	PetroChina European Warrants Mar 2015 B	0.160	11.47 per unit	2015/03/30	80,000,000	2014/10/31
17148	HK Bank	Ping An European Warrants Apr 2015 A	0.300	62.880	2015/04/30	80,000,000	2014/10/31
17164	J P Morgan SP BV	China Unicom European Warrants Sep 2015 A	0.159	11.680	2015/09/30	200,000,000	2014/10/31
17158	J P Morgan SP BV	TCL Comm European Warrants Feb 2016 A	0.250	8.880	2016/02/26	80,000,000	2014/10/31
17162	Macquarie Bank Ltd.	Tencent European Warrants May 2015 B	0.334	160.000	2015/05/05	30,000,000	2014/10/31
17163	Macquarie Bank Ltd.	Tencent European Warrants May 2015 C	0.400	140.000	2015/05/05	25,000,000	2014/10/31
17144	Standard Chartered Bank	CSR European Warrants Sep 2017 A	0.250	8.200	2017/09/28	100,000,000	2014/10/31
17143	Standard Chartered Bank	HSI European Warrants May 2015 A	0.250	23,600 per 6,000 units	2015/05/28	100,000,000	2014/10/31
17159	Standard Chartered Bank	Kingsoft European Wts May 2015 B	0.250	18.000	2015/05/06	100,000,000	2014/10/31
17160	Standard Chartered Bank	Tingyi European Warrants Oct 2015A	0.250	22.000	2015/10/30	60,000,000	2014/10/31

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17154	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 16 A	0.155	77.88 per 100 units	2016/08/01	600,000,000	2014/10/31
17157	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Aug18A	0.210	8.000	2018/08/06	300,000,000	2014/10/31
17161	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt May15A	0.250	17.880	2015/05/06	60,000,000	2014/10/31
17152	UBS AG	Greatwall Motor European Warrants Mar 2015 A	0.250	35.600	2015/03/23	40,000,000	2014/10/31
17150	UBS AG	Kingsoft European Warrants Jun 2015 A	0.325	18.380	2015/06/22	100,000,000	2014/10/31
17151	UBS AG	Tencent Holdings European Warrants Feb 2015 E	0.150	140.10 per 20 units	2015/02/02	100,000,000	2014/10/31
17173	Credit Suisse AG	HKEx European Warrants Nov 2015 A	0.150	199.98 per 100 units	2015/11/23	100,000,000	2014/11/03
17168	Credit Suisse AG	HKEx European Warrants Dec 2015 C	0.150	185.10 per 100 units	2015/12/28	100,000,000	2014/11/03
17169	HK Bank	HKEx European Warrants Mar 2015 D	0.150	167.98 per 100 units	2015/03/25	150,000,000	2014/11/03
17174	HK Bank	HKEx European Warrants Dec 2015 C	0.150	184.98 per 100 units	2015/12/31	150,000,000	2014/11/03
17180	Macquarie Bank Ltd.	Anton Oilfield European Wts Nov 2015 A	0.500	2.38 per unit	2015/11/17	20,000,000	2014/11/03
17170	Standard Chartered Bank	Minsheng Bank Euro Wts Mar 2015 A	0.250	8.51 per unit	2015/03/24	50,000,000	2014/11/03
17171	Standard Chartered Bank	Tencent Hldg Euro Wts Feb 2015 D	0.280	128.98 per 20 units	2015/02/23	80,000,000	2014/11/03
17172	Standard Chartered Bank	Tencent Hldg Euro Wts Jun 2015 A	0.300	140.10 per 20 units	2015/06/01	80,000,000	2014/11/03
17176	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt May15A	0.455	9.18 per unit	2015/05/06	70,000,000	2014/11/03
17177	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt May 2015A	0.425	9.08 per unit	2015/05/06	70,000,000	2014/11/03
17178	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Jun15 A	0.250	72.000	2015/06/03	100,000,000	2014/11/03
17179	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt May15A	0.385	90.880	2015/05/06	50,000,000	2014/11/03
17181	UBS AG	CSOP A50 ETF European Warrants May 2015 A	0.430	9.38 per unit	2015/05/11	80,000,000	2014/11/03
17175	UBS AG	HSI European Warrants Jun 2015 C	0.250	23,000 per 8,000 units	2015/06/29	300,000,000	2014/11/03
17183	BOCI Asia Ltd.	China Cinda European Warrants May 2015 A	0.250	4 per unit	2015/05/05	100,000,000	2014/11/04
17184	BOCI Asia Ltd.	Kingsoft European Warrants Jun 2015 A	0.250	18.280	2015/06/15	100,000,000	2014/11/04
17188	HK Bank	BOCL European Warrants Jul 2015 B	0.250	3.70 per unit	2015/07/31	80,000,000	2014/11/04
17189	HK Bank	CITIC Sec European Warrants Mar 2015 A	0.150	20.650	2015/03/26	100,000,000	2014/11/04
17185	HK Bank	China Mobile European Put Warrants May 2015 A	0.250	76.880	2015/05/04	80,000,000	2014/11/04
17186	HK Bank	HKEx European Put Warrants Nov 2015 C	0.250	159.88 per 100 units	2015/11/30	100,000,000	2014/11/04
17201	J P Morgan SP BV	Hutchison European Put Wts May 2015 A	0.256	90.880	2015/05/04	80,000,000	2014/11/04
17194	Macquarie Bank Ltd.	Biostime European Warrants Jun 2015 A	0.250	25.380	2015/06/08	40,000,000	2014/11/04
17192	Macquarie Bank Ltd.	BYD European Warrants May 2015 A	0.500	57.580	2015/05/05	20,000,000	2014/11/04
17191	Macquarie Bank Ltd.	CNOOC European Warrants May 2015 A	0.435	15.88 per unit	2015/05/05	23,000,000	2014/11/04
17199	Macquarie Bank Ltd.	Chow Tai Fook European Wts Dec 2015 A	0.150	12.280	2015/12/02	70,000,000	2014/11/04
17200	Macquarie Bank Ltd.	A50 China European Warrants May 2015 A	0.250	10.50 per unit	2015/05/05	40,000,000	2014/11/04
17193	Macquarie Bank Ltd.	GCL-Poly Energy European Wts May 2015 A	0.334	2.78 per unit	2015/05/05	30,000,000	2014/11/04
17198	Standard Chartered Bank	Anhui Conch Euro Wts Jul 2015 A	0.150	30.000	2015/07/09	80,000,000	2014/11/04
17190	Standard Chartered Bank	HKEx European Put Wts Jul 2015 C	0.300	128.880	2015/07/03	80,000,000	2014/11/04
17195	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt Jun 2015A	0.370	6 per unit	2015/06/03	30,000,000	2014/11/04
17196	SGA Societe Generale Acceptance N.V.	Ch Oilfield Eu Wt Sep15A	0.255	18.880	2015/09/02	50,000,000	2014/11/04
17197	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jun 15 A	0.250	67.500	2015/06/03	80,000,000	2014/11/04
17208	Daiwa Capital Mkt	China Mobile European Wts Jul 2015 A	0.150	132.980	2015/07/27	98,000,000	2014/11/05
17216	Goldman Sachs SP (Asia)	Ch Comm Cons Euro Wts Jun 2015 A	0.406	6.88 per unit	2015/06/01	80,000,000	2014/11/05
17215	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts May 2015 A	0.358	9.80 per unit	2015/05/08	80,000,000	2014/11/05
17213	Goldman Sachs SP (Asia)	HKEx European Warrants Mar 2015 B	0.452	200.000	2015/03/30	80,000,000	2014/11/05
17217	Goldman Sachs SP (Asia)	Stanchart European Wts May 2015 A	0.383	140.000	2015/05/08	80,000,000	2014/11/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17205	HK Bank	China Railway European Warrants May 2015 A	0.250	5.50 per unit	2015/05/04	60,000,000	2014/11/05
17204	HK Bank	Geely Auto European Warrants Jun 2015 A	0.250	3.88 per unit	2015/06/30	60,000,000	2014/11/05
17203	HK Bank	Haitong Sec European Warrants Dec 2015 A	0.180	14.020	2015/12/22	80,000,000	2014/11/05
17212	J P Morgan SP BV	Ping An European Warrants May 2015 A	0.251	70.880	2015/05/04	150,000,000	2014/11/05
17202	Macquarie Bank Ltd.	PetroChina European Wts Apr 2015 A	0.400	10.66 per unit	2015/04/02	25,000,000	2014/11/05
17207	Standard Chartered Bank	CSOP A50 ETF Eu Put Wts Jul 2015 B	0.250	8 per unit	2015/07/02	60,000,000	2014/11/05
17206	Standard Chartered Bank	HSI European Put Wts Mar 2015 H	0.150	23,400 per 6,500 units	2015/03/30	100,000,000	2014/11/05
17210	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Jan17B	0.200	100 per 100 units	2017/01/09	600,000,000	2014/11/05
17211	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Dec 2016A	0.150	13.500	2016/12/05	300,000,000	2014/11/05
17209	UBS AG	HSI European Put Warrants Mar 2015 G	0.150	23,400 per 6,500 units	2015/03/30	300,000,000	2014/11/05
17239	Credit Suisse AG	BYD European Warrants Mar 2015 A	0.200	62.000	2015/03/23	80,000,000	2014/11/06
17238	Credit Suisse AG	CNOOC European Warrants Sep 2016 A	0.250	12.100	2016/09/26	100,000,000	2014/11/06
17240	Credit Suisse AG	Stanchart European Warrants Dec 2016 A	0.150	118.10 per 100 units	2016/12/22	80,000,000	2014/11/06
17218	Daiwa Capital Mkt	CNOOC European Warrants Nov 2015 A	0.250	11.380	2015/11/02	108,000,000	2014/11/06
17223	HK Bank	CNOOC European Warrants Nov 2016 A	0.160	13.520	2016/11/28	100,000,000	2014/11/06
17224	HK Bank	Ch Oilfield European Warrants Jul 2015 A	0.250	19.380	2015/07/31	80,000,000	2014/11/06
17226	HK Bank	CRCC European Warrants Sep 2016 A	0.250	7.880	2016/09/30	100,000,000	2014/11/06
17225	HK Bank	HWL European Warrants Mar 2015 B	0.250	110.100	2015/03/26	80,000,000	2014/11/06
17222	HK Bank	Stanchart European Warrants Dec 2016 A	0.150	118.10 per 100 units	2016/12/21	100,000,000	2014/11/06
17221	J P Morgan SP BV	HSI European Warrants Apr 2015 D	0.151	25,000 per 7,000 units	2015/04/29	500,000,000	2014/11/06
17245	J P Morgan SP BV	Stanchart European Warrants May 2015 A	0.380	132.880	2015/05/05	30,000,000	2014/11/06
17220	Macquarie Bank Ltd.	Hanergy TFP European Warrants Jun 15 A	0.400	2.28 per unit	2015/06/16	25,000,000	2014/11/06
17219	Macquarie Bank Ltd.	Stanchart European Warrants Jun 2015 A	0.250	155.000	2015/06/02	40,000,000	2014/11/06
17244	Standard Chartered Bank	CNOOC European Warrants Jul 2015 A	0.250	10.280	2015/07/31	200,000,000	2014/11/06
17243	Standard Chartered Bank	CNOOC European Warrants Dec 2016 A	0.250	12.000	2016/12/30	200,000,000	2014/11/06
17234	Standard Chartered Bank	HSI European Warrants Apr 2015 F	0.220	22,388 per 8,500 units	2015/04/29	100,000,000	2014/11/06
17236	Standard Chartered Bank	HSI European Warrants Apr 2015 G	0.150	24,300 per 6,000 units	2015/04/29	100,000,000	2014/11/06
17235	Standard Chartered Bank	HSI European Put Wts May 2015 B	0.250	22,800 per 6,000 units	2015/05/28	100,000,000	2014/11/06
17231	Standard Chartered Bank	PICC Group European Wts Jun 2015 A	0.250	3.58 per unit	2015/06/30	60,000,000	2014/11/06
17230	Standard Chartered Bank	Ping An European Wts Mar 2015 B	0.390	63.880	2015/03/27	80,000,000	2014/11/06
17229	Standard Chartered Bank	Ping An Euro Put Wts Feb 2015 A	0.220	58.880	2015/02/25	80,000,000	2014/11/06
17232	Standard Chartered Bank	Shunfeng Pv European Wts Dec 2015A	0.250	7.880	2015/12/31	60,000,000	2014/11/06
17228	SGA Societe Generale Acceptance N.V.	Stanchart Eu Wt Aug15 A	0.790	135.000	2015/08/05	13,000,000	2014/11/06
17227	SGA Societe Generale Acceptance N.V.	Stanchart Eu Wt Jan17 A	0.190	118 per 100 units	2017/01/04	100,000,000	2014/11/06
17242	UBS AG	BYD European Warrants Mar 2015 B	0.210	62.050	2015/03/23	50,000,000	2014/11/06
17237	UBS AG	CNOOC European Warrants May 2016 A	0.250	12.580	2016/05/13	100,000,000	2014/11/06
17241	UBS AG	Stanchart European Warrants Dec 2016 A	0.160	118.10 per 100 units	2016/12/21	100,000,000	2014/11/06
17250	BOCI Asia Ltd.	CAM CSI300 European Warrants Nov 2015 A	0.250	33.880	2015/11/02	100,000,000	2014/11/07
17249	BOCI Asia Ltd.	CNOOC European Warrants Nov 2016 A	0.150	13.500	2016/11/28	100,000,000	2014/11/07
17280	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Jul 2015 A	0.270	80.000	2015/07/03	100,000,000	2014/11/07
17279	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Apr 2015 A	0.150	11.60 per unit	2015/04/02	100,000,000	2014/11/07
17281	BNP Paribas Arbit Issu B.V.	HKEx European Wts Sep 2015 A	0.170	178 per 100 units	2015/09/02	100,000,000	2014/11/07
17276	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts May 2015 A	0.190	19.900	2015/05/05	100,000,000	2014/11/07

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
10309	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Jun15 A	0.250	17,000 per 300 units	2015/06/12	100,000,000	2014/11/07
10310	BNP Paribas Arbit Issu B.V.	Nikkei 225 Eu Put Wt Jun 2015B	0.250	15,800 per 300 units	2015/06/12	100,000,000	2014/11/07
17266	Credit Suisse AG	Galaxy Ent European Warrants Mar 2015 C	0.160	61.900	2015/03/09	80,000,000	2014/11/07
17263	Credit Suisse AG	HKEx European Warrants Feb 2015 G	0.580	185.100	2015/02/09	80,000,000	2014/11/07
17265	Credit Suisse AG	Ping An European Warrants Mar 2015 B	0.150	71.900	2015/03/30	80,000,000	2014/11/07
17267	Credit Suisse AG	Stanchart European Warrants May 2015 A	0.250	138.880	2015/05/06	70,000,000	2014/11/07
17287	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2015 B	0.161	23,588 per 8,200 units	2015/02/26	150,000,000	2014/11/07
17285	J P Morgan SP BV	CNOOC European Warrants May 2015 B	0.252	13.88 per unit	2015/05/06	100,000,000	2014/11/07
17286	J P Morgan SP BV	CNOOC European Put Warrants May 2015 C	0.253	10.88 per unit	2015/05/06	100,000,000	2014/11/07
17284	J P Morgan SP BV	Ch Oilfield Euro Wts Aug 2015 A	0.250	17.880	2015/08/04	100,000,000	2014/11/07
17283	J P Morgan SP BV	Galaxy Ent European Put Wts May 2015 A	0.275	48.880	2015/05/06	100,000,000	2014/11/07
17282	J P Morgan SP BV	Sands China European Put Wts May 2015 A	0.334	45.880	2015/05/06	100,000,000	2014/11/07
17246	J P Morgan SP BV	Stanchart European Warrants Dec 2016 A	0.150	118 per 100 units	2016/12/21	300,000,000	2014/11/07
17252	Macquarie Bank Ltd.	Ch Oilfield European Wts Jul 2015 A	0.306	16.580	2015/07/17	33,000,000	2014/11/07
17255	Macquarie Bank Ltd.	Chinares Cement European Wt Jun 2015 A	0.500	5.88 per unit	2015/06/16	20,000,000	2014/11/07
17253	Macquarie Bank Ltd.	HKEx European Warrants Jun 2015 A	0.250	225.000	2015/06/02	40,000,000	2014/11/07
17258	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jun 2015 C	0.280	9.80 per unit	2015/06/01	80,000,000	2014/11/07
17262	Standard Chartered Bank	China Unicom Euro Wts Sep 2015 A	0.150	11.690	2015/09/22	100,000,000	2014/11/07
17259	Standard Chartered Bank	A50 China Euro Warrants Apr 2015 A	0.180	9.88 per unit	2015/04/28	80,000,000	2014/11/07
17268	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt May 2015 A	0.250	3.65 per unit	2015/05/06	100,000,000	2014/11/07
17269	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Jun 2016 A	0.155	15.800	2016/06/06	100,000,000	2014/11/07
17270	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt May15D	0.285	85.000	2015/05/06	70,000,000	2014/11/07
17274	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt May15B	0.375	10 per unit	2015/05/06	70,000,000	2014/11/07
17275	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt May 2015B	0.250	10.30 per unit	2015/05/06	70,000,000	2014/11/07
17272	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt May15B	0.250	62.000	2015/05/06	80,000,000	2014/11/07
17257	UBS AG	CNOOC European Warrants Nov 2016 A	0.195	13.520	2016/11/25	100,000,000	2014/11/07
17256	UBS AG	HSBC European Put Warrants Apr 2015 A	0.330	74.930	2015/04/08	50,000,000	2014/11/07
17273	UBS AG	SPDR Gold Trust European Warrants Jun 2015 A	0.520	950 per 100 units	2015/06/29	40,000,000	2014/11/07
16987 [#]	Bank of East Asia	Stanchart European Warrants Dec 2016 A	0.138	118 per 100 units	2016/12/30	80,000,000	2014/11/07
17305	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Apr 2015 B	0.150	69.950	2015/04/02	100,000,000	2014/11/10
17303	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2015 A	0.150	84.900	2015/04/02	100,000,000	2014/11/10
17307	BNP Paribas Arbit Issu B.V.	Ping An European Wt May 2015 A	0.190	72.500	2015/05/05	100,000,000	2014/11/10
17306	BNP Paribas Arbit Issu B.V.	SJM Holdings Eu Wts Dec 2015 A	0.150	19.980	2015/12/02	100,000,000	2014/11/10
17304	BNP Paribas Arbit Issu B.V.	Stanchart Euro Wts Jan 2017 A	0.150	118 per 100 units	2017/01/04	100,000,000	2014/11/10
10312	Daiwa Capital Mkt	Nikkei 225 Index European Wts Jun 2015 A	0.250	18,000 per 380 units	2015/06/12	250,000,000	2014/11/10
10311	Daiwa Capital Mkt	Nikkei 225 Index European Wts Sep 2015 A	0.250	17,000 per 580 units	2015/09/11	250,000,000	2014/11/10
10314	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Jun 2015 B	0.250	16,800 per 630 units	2015/06/12	250,000,000	2014/11/10
10313	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Sep 2015 B	0.250	17,800 per 910 units	2015/09/11	250,000,000	2014/11/10
17317	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Feb 2015 D	0.252	88.280	2015/02/24	80,000,000	2014/11/10
17315	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts May 2015 A	0.277	63.800	2015/05/11	80,000,000	2014/11/10
17316	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Jun 2015 A	0.397	47.800	2015/06/30	80,000,000	2014/11/10
17297	Goldman Sachs SP (Asia)	Kingdee Intl European Wts Jun15A	0.353	3 per unit	2015/06/30	40,000,000	2014/11/10
17298	Goldman Sachs SP (Asia)	China Vanke European Wts Jul15A	0.260	16.000	2015/07/30	60,000,000	2014/11/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17293	HK Bank	BYD European Warrants May 2015 A	0.400	56.880	2015/05/29	50,000,000	2014/11/10
17290	HK Bank	China Mobile European Warrants Mar 2017 A	0.150	90.05 per 100 units	2017/03/07	150,000,000	2014/11/10
17291	HK Bank	China Mobile European Put Warrants May 2015 B	0.500	92.380	2015/05/11	80,000,000	2014/11/10
17292	HK Bank	Galaxy Ent European Warrants Jun 2015 B	0.300	62.880	2015/06/30	60,000,000	2014/11/10
17289	HK Bank	HSCEI European Put Warrants Sep 2015 A	0.150	9,588 per 4,000 units	2015/09/29	100,000,000	2014/11/10
17294	HK Bank	Sands China European Warrants Jun 2015 B	0.280	54.880	2015/06/30	60,000,000	2014/11/10
17299	J P Morgan SP BV	HSI European Warrants Jun 2015 B	0.150	27,000 per 6,600 units	2015/06/29	500,000,000	2014/11/10
17288	J P Morgan SP BV	Shunfeng Pv European Warrants Nov 2015 A	0.252	6.500	2015/11/30	80,000,000	2014/11/10
17313	J P Morgan SP BV	China Vanke European Warrants May 2015 A	0.253	14.500	2015/05/11	100,000,000	2014/11/10
17312	J P Morgan SP BV	China Vanke European Warrants Oct 2015 A	0.252	16.880	2015/10/02	100,000,000	2014/11/10
17311	J P Morgan SP BV	China Vanke European Put Warrants Jun15 A	0.251	14.000	2015/06/02	100,000,000	2014/11/10
17301	Macquarie Bank Ltd.	CRCC European Warrants Jun 2015 A	0.250	12 per unit	2015/06/02	40,000,000	2014/11/10
17302	Macquarie Bank Ltd.	Kingdee Intl European Warrants Jun15 A	0.440	2.88 per unit	2015/06/16	23,000,000	2014/11/10
17296	Macquarie Bank Ltd.	Shunfeng Pv European Warrants Jan 16 A	0.250	7.080	2016/01/18	40,000,000	2014/11/10
17300	Macquarie Bank Ltd.	China Vanke European Warrants Oct 15 A	0.250	17.500	2015/10/05	40,000,000	2014/11/10
17310	Standard Chartered Bank	China Vanke European Wts Dec15A	0.260	18.000	2015/12/18	50,000,000	2014/11/10
17295	UBS AG	Shunfeng Pv European Warrants Dec 2015 A	0.250	6.880	2015/12/21	50,000,000	2014/11/10
16405*	HK Bank	CNOOC European Warrants Jun 2015 A	0.028	14.300	2015/06/01	380,000,000	2014/11/10
17335	Credit Suisse AG	HSI European Put Warrants Mar 2015 E	0.150	23,400 per 6,500 units	2015/03/30	150,000,000	2014/11/11
17338	Credit Suisse AG	MCC European Warrants May 2015 A	0.250	2.98 per unit	2015/05/11	40,000,000	2014/11/11
17337	Credit Suisse AG	Sound Global European Warrants Aug 2015 A	0.250	10.880	2015/08/31	80,000,000	2014/11/11
17322	Daiwa Capital Mkt	BOCL European Warrants Apr 2015 B	0.250	3.76 per unit	2015/04/27	80,000,000	2014/11/11
17333	Bank of East Asia	Galaxy Ent European Warrants May 2015 B	0.270	64.270	2015/05/12	40,000,000	2014/11/11
17334	Bank of East Asia	China Vanke European Warrants Oct 2015 A	0.250	18.880	2015/10/22	40,000,000	2014/11/11
17339	Goldman Sachs SP (Asia)	Ch Oilfield European Wts Aug 2015A	0.250	15.880	2015/08/17	80,000,000	2014/11/11
17341	J P Morgan SP BV	China Railway European Wts May 2015 A	0.254	6 per unit	2015/05/12	40,000,000	2014/11/11
17340	J P Morgan SP BV	Ch Railway Cons European Wts Oct 2017 A	0.250	9.280	2017/10/03	100,000,000	2014/11/11
17342	J P Morgan SP BV	Zoomlion European Warrants Jul 2015 A	0.257	5.20 per unit	2015/07/31	40,000,000	2014/11/11
17343	Macquarie Bank Ltd.	BOCL European Warrants Dec 2015 A	0.250	4.20 per unit	2015/12/02	40,000,000	2014/11/11
17345	Macquarie Bank Ltd.	Ch Molybdenum European Wts Jun 2015 A	0.371	6.28 per unit	2015/06/30	30,000,000	2014/11/11
17320	Macquarie Bank Ltd.	Digital China European Wts Mar 2017 A	0.250	7.580	2017/03/16	40,000,000	2014/11/11
17344	Macquarie Bank Ltd.	Geely Auto European Wts Jul 2015 A	0.250	4.70 per unit	2015/07/03	40,000,000	2014/11/11
17324	Standard Chartered Bank	Cathay Pac Air Euro Wts Dec 2016 A	0.250	17.000	2016/12/23	50,000,000	2014/11/11
17336	Standard Chartered Bank	Greatwall Motor Euro Wt May 2015 A	0.250	46.880	2015/05/11	80,000,000	2014/11/11
17318	Standard Chartered Bank	HSBC European Warrants Jun 2015 B	0.250	85.880	2015/06/02	80,000,000	2014/11/11
17319	Standard Chartered Bank	KECL European Warrants Apr 2017 A	0.150	11.000	2017/04/27	100,000,000	2014/11/11
17325	Standard Chartered Bank	SPDR Gold Trust Euro Wt Aug 2015 A	0.300	980 per 100 units	2015/08/21	50,000,000	2014/11/11
17328	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Jun 2015 A	0.460	7.50 per unit	2015/06/03	25,000,000	2014/11/11
17329	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Jun 2015A	0.360	5.88 per unit	2015/06/03	30,000,000	2014/11/11
17327	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Jun15A	0.440	50.000	2015/06/03	80,000,000	2014/11/11
17332	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Jun15A	0.250	58.000	2015/06/03	80,000,000	2014/11/11
17330	SGA Societe Generale Acceptance N.V.	Ch Vanke Eu Wts Jun15A	0.250	14.880	2015/06/03	100,000,000	2014/11/11
17331	SGA Societe Generale Acceptance N.V.	Ch Vanke Eu Wts Oct15A	0.250	17.000	2015/10/05	100,000,000	2014/11/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
10318	UBS AG	Nikkei 225 European Warrants Jun 2015 A	0.250	18,000 per 500 units	2015/06/12	100,000,000	2014/11/11
10320	UBS AG	Nikkei 225 European Warrants Jun 2015 B	0.250	15,000 per 900 units	2015/06/12	100,000,000	2014/11/11
10316	UBS AG	Nikkei 225 European Warrants Sep 2015 A	0.250	20,000 per 440 units	2015/09/11	100,000,000	2014/11/11
10319	UBS AG	Nikkei 225 European Warrants Sep 2015 B	0.250	16,000 per 850 units	2015/09/11	100,000,000	2014/11/11
10315	UBS AG	Nikkei 225 European Warrants Dec 2015 A	0.250	22,000 per 400 units	2015/12/11	100,000,000	2014/11/11
10317	UBS AG	Nikkei 225 European Warrants Dec 2015 B	0.250	19,000 per 620 units	2015/12/11	100,000,000	2014/11/11
10321	UBS AG	Nikkei 225 European Put Warrants Jun 2015 C	0.250	16,000 per 450 units	2015/06/12	100,000,000	2014/11/11
10322	UBS AG	Nikkei 225 European Put Warrants Sep 2015 C	0.250	17,500 per 780 units	2015/09/11	100,000,000	2014/11/11
16333*	HK Bank	China Mobile European Warrants Dec 2016 A	0.157	88.93 per 100 units	2016/12/19	230,000,000	2014/11/11
17380	Credit Suisse AG	China Vanke European Warrants Jun 2015 A	0.250	16.880	2015/06/29	80,000,000	2014/11/12
17376	Daiwa Capital Mkt	CCCCL European Warrants May 2015 A	0.250	8.68 per unit	2015/05/11	58,000,000	2014/11/12
17375	Daiwa Capital Mkt	PetroChina European Warrants Apr 2015 A	0.350	10.30 per unit	2015/04/24	68,000,000	2014/11/12
17357	Goldman Sachs SP (Asia)	BankComm European Wts May 2015 A	0.300	6.28 per unit	2015/05/29	40,000,000	2014/11/12
17361	Goldman Sachs SP (Asia)	CNOOC European Warrants Nov 2016 A	0.153	13.520	2016/11/28	80,000,000	2014/11/12
17356	Goldman Sachs SP (Asia)	China Railway Euro Wts May 2015 A	0.313	6.08 per unit	2015/05/29	40,000,000	2014/11/12
17359	Goldman Sachs SP (Asia)	CRCC European Warrants May 2015 A	0.673	9.68 per unit	2015/05/29	30,000,000	2014/11/12
17358	Goldman Sachs SP (Asia)	PetroChina European Wts May 2015 A	0.408	10.79 per unit	2015/05/15	80,000,000	2014/11/12
17387	Goldman Sachs SP (Asia)	Stanchart European Wts May 2015 B	0.351	128.880	2015/05/14	40,000,000	2014/11/12
17354	HK Bank	AIA European Put Warrants Mar 2015 B	0.160	40.850	2015/03/26	80,000,000	2014/11/12
17362	HK Bank	Ch Comm Cons European Warrants Jul 2015 A	0.300	7.28 per unit	2015/07/31	60,000,000	2014/11/12
17353	HK Bank	Shunfeng Pv European Warrants Dec 2015 A	0.160	7.510	2015/12/24	80,000,000	2014/11/12
17389	J P Morgan SP BV	Ch Comm Cons European Warrants Jul 2015 A	0.252	7.50 per unit	2015/07/30	50,000,000	2014/11/12
17388	J P Morgan SP BV	Sound Global European Warrants Aug 2015 A	0.252	10.000	2015/08/03	60,000,000	2014/11/12
17374	Macquarie Bank Ltd.	China Res Gas European Wts Aug 2015 A	0.250	25.880	2015/08/31	40,000,000	2014/11/12
17385	Macquarie Bank Ltd.	Dongfeng Motor European Wts Dec 2016 A	0.250	12.800	2016/12/02	40,000,000	2014/11/12
17386	Macquarie Bank Ltd.	ZTE European Warrants Dec 2015 A	0.250	21.880	2015/12/16	40,000,000	2014/11/12
17350	Nomura Int'l plc	Ch Comm Cons European Warrants May 2015 A	0.360	7.88 per unit	2015/05/29	50,000,000	2014/11/12
17352	Nomura Int'l plc	Ch Oilfield European Warrants Aug 2015 A	0.168	18.890	2015/08/31	80,000,000	2014/11/12
17347	Nomura Int'l plc	Ch Railway European Warrants May 2015 A	0.310	5.90 per unit	2015/05/29	50,000,000	2014/11/12
17346	Nomura Int'l plc	Guangdong Inv European Wts Dec 2016 A	0.269	11.280	2016/12/30	50,000,000	2014/11/12
17349	Nomura Int'l plc	MCC European Warrants May 2015 A	0.250	2.99 per unit	2015/05/12	50,000,000	2014/11/12
17348	Nomura Int'l plc	Sound Global European Warrants Aug 2015 A	0.250	10.980	2015/08/28	50,000,000	2014/11/12
17351	Nomura Int'l plc	China Vanke European Warrants Jul 2015 A	0.254	16.280	2015/07/31	50,000,000	2014/11/12
17364	Standard Chartered Bank	BOC HK European Wts Dec 2015 A	0.150	26.780	2015/12/22	70,000,000	2014/11/12
17365	Standard Chartered Bank	BOC HK European Wts Oct 2016 A	0.150	28.880	2016/10/07	70,000,000	2014/11/12
17366	Standard Chartered Bank	China Cinda Euro Put Wts Aug 2015A	0.250	3 per unit	2015/08/31	80,000,000	2014/11/12
17373	Standard Chartered Bank	Ch Oilfield European Wts Sep15A	0.150	20.000	2015/09/22	70,000,000	2014/11/12
89015	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jun 2015 A	0.368	8 per unit	2015/06/30	40,000,000	2014/11/12
17369	Standard Chartered Bank	Dongfeng European Wts Dec 2016 A	0.250	12.000	2016/12/23	60,000,000	2014/11/12
17381	Standard Chartered Bank	HSI European Warrants Jun 2015 B	0.150	23,800 per 8,800 units	2015/06/29	100,000,000	2014/11/12
17382	Standard Chartered Bank	HSI European Warrants Jun 2015 C	0.150	25,000 per 6,000 units	2015/06/29	100,000,000	2014/11/12
17384	Standard Chartered Bank	HSI European Put Wts Mar 2015 I	0.180	23,800 per 6,000 units	2015/03/30	100,000,000	2014/11/12
17383	Standard Chartered Bank	HSI European Put Wts Jul 2015 B	0.150	22,600 per 7,500 units	2015/07/30	100,000,000	2014/11/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17367	Standard Chartered Bank	Li & Fung European Wts Aug 2015 A	0.250	11.80 per unit	2015/08/28	80,000,000	2014/11/12
17372	Standard Chartered Bank	China Mengniu Euro Wts Aug 2015 A	0.320	38.000	2015/08/21	60,000,000	2014/11/12
17363	Standard Chartered Bank	Sands China Eu Put Wts Mar 2015 D	0.150	38.830	2015/03/26	80,000,000	2014/11/12
17368	Standard Chartered Bank	Wharf European Warrants Jun 2015 A	0.290	62.000	2015/06/26	80,000,000	2014/11/12
10323	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Jun 15 A	0.250	17,000 per 400 units	2015/06/12	150,000,000	2014/11/12
10324	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Sep 15 A	0.250	18,500 per 330 units	2015/09/11	150,000,000	2014/11/12
10325	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Jun 2015 B	0.250	16,250 per 350 units	2015/06/12	150,000,000	2014/11/12
17355	SGA Societe Generale Acceptance NV	NewWorld Dev Eu Wt Jul15A	0.680	10 per unit	2015/07/06	16,000,000	2014/11/12
17377	UBS AG	CITIC Sec European Warrants May 2015 A	0.150	23.330	2015/05/20	70,000,000	2014/11/12
17378	UBS AG	China Vanke European Warrants May 2015 A	0.250	15.380	2015/05/11	100,000,000	2014/11/12
17379	UBS AG	China Vanke European Warrants Aug 2015 A	0.250	17.680	2015/08/24	100,000,000	2014/11/12
17406	Credit Suisse AG	Ch Comm Cons European Warrants May 2015 A	0.250	8.28 per unit	2015/05/26	50,000,000	2014/11/13
17408	Credit Suisse AG	Sihuan Pharm European Warrants Mar 2015 A	0.160	6.51 per 5 units	2015/03/30	65,000,000	2014/11/13
17404	Credit Suisse AG	Shenzhen Int'l European Wts Jul 2015 A	0.180	11.820	2015/07/31	60,000,000	2014/11/13
17407	Credit Suisse AG	Tencent Holdings European Wts Feb 2016 A	0.250	130.88 per 100 units	2016/02/29	120,000,000	2014/11/13
17409	Daiwa Capital Mkt	Ch Railway Cons European Warrants Nov15A	0.250	7.080	2015/11/02	80,000,000	2014/11/13
17410	J P Morgan SP BV	GCL-Poly European Warrants Jun 2015 A	0.256	2.88 per unit	2015/06/02	100,000,000	2014/11/13
17391	Macquarie Bank Ltd.	Sinopec Corp European Wts Jul 2015 A	0.250	8.25 per unit	2015/07/03	40,000,000	2014/11/13
17400	Macquarie Bank Ltd.	Lenovo European Warrants Apr 2017 A	0.250	12.500	2017/04/03	40,000,000	2014/11/13
17393	Standard Chartered Bank	Ch Comm Cons Eur Wts Aug 2015 A	0.250	8 per unit	2015/08/28	40,000,000	2014/11/13
17392	Standard Chartered Bank	China Railway Euro Wts Jul 2015 A	0.250	6.50 per unit	2015/07/02	60,000,000	2014/11/13
17390	Standard Chartered Bank	ZTE European Warrants Jul 2015 B	0.170	20.880	2015/07/13	60,000,000	2014/11/13
17397	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Oct 2016A	0.150	12.100	2016/10/03	300,000,000	2014/11/13
17395	SGA Societe Generale Acceptance N.V.	CRCC Eu Wt Oct 2016 A	0.225	7.880	2016/10/05	150,000,000	2014/11/13
17398	SGA Societe Generale Acceptance N.V.	MGM China Eu Wt Jun15B	0.250	24.000	2015/06/03	40,000,000	2014/11/13
17399	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Jul15A	0.250	28.000	2015/07/06	40,000,000	2014/11/13
17403	UBS AG	CNOOC European Put Warrants Jun 2016 A	0.250	11.380	2016/06/20	50,000,000	2014/11/13
17414	BOCI Asia Ltd.	Ch Comm Cons European Warrants Jun 2015 A	0.400	7.60 per unit	2015/06/01	100,000,000	2014/11/14
17412	BOCI Asia Ltd.	China Mobile European Warrants Apr 2015 A	0.340	108.980	2015/04/20	100,000,000	2014/11/14
17411	BOCI Asia Ltd.	HKEx European Warrants Apr 2015 A	0.450	200.000	2015/04/08	100,000,000	2014/11/14
17413	BOCI Asia Ltd.	Sands China European Warrants Apr 2015 A	0.300	45.880	2015/04/13	100,000,000	2014/11/14
17423	Credit Suisse AG	Ch Comm Cons European Warrants Jul 2015 A	0.250	9.09 per unit	2015/07/27	50,000,000	2014/11/14
17420	Credit Suisse AG	Galaxy Ent European Put Wts Mar 2015 D	0.180	42.830	2015/03/23	70,000,000	2014/11/14
17421	Credit Suisse AG	Sands China European Put Wts Mar 2015 E	0.160	37.830	2015/03/23	70,000,000	2014/11/14
17432	Goldman Sachs SP (Asia)	Dongfeng European Wts Dec 2016 A	0.250	12.880	2016/12/02	50,000,000	2014/11/14
17425	Goldman Sachs SP (Asia)	A50 China European Wts May 2015 A	0.334	10.18 per unit	2015/05/29	80,000,000	2014/11/14
17431	Goldman Sachs SP (Asia)	China Mengniu Euro Wt Jun 2015 A	0.250	34.500	2015/06/30	50,000,000	2014/11/14
17426	Goldman Sachs SP (Asia)	Yurun Food European Wts May 2015 A	0.259	3.88 per unit	2015/05/29	80,000,000	2014/11/14
17427	J P Morgan SP BV	Chinares Cement Euro Warrants Jun 2015 A	0.404	5.88 per unit	2015/06/02	30,000,000	2014/11/14
17428	J P Morgan SP BV	Xinyi Glass European Warrants Jun 2015 A	0.302	5.20 per unit	2015/06/30	35,000,000	2014/11/14
17424	Macquarie Bank Ltd.	Kingsoft European Warrants Jul 2015 A	0.286	17.580	2015/07/03	35,000,000	2014/11/14
17416	Standard Chartered Bank	Lenovo European Wts Apr 2015 B	0.450	12 per unit	2015/04/23	30,000,000	2014/11/14
17415	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Nov 2015A	0.150	11.380	2015/11/04	300,000,000	2014/11/14

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17417	UBS AG	CGS European Warrants Nov 2015 A	0.150	7.310	2015/11/25	70,000,000	2014/11/14
17419	UBS AG	Haitong Sec European Warrants Aug 2015 A	0.150	15.820	2015/08/11	70,000,000	2014/11/14
17418	UBS AG	KECL European Warrants Apr 2017 A	0.220	11.000	2017/04/27	100,000,000	2014/11/14
15720 [#]	HK Bank	HKEEx European Warrants May 2015 A	0.091	200.78 per 100 units	2015/05/26	280,000,000	2014/11/14
17447	BNP Paribas Arbit Issu B.V.	Ch Comm Cons Eur Wt Aug 2015 B	0.370	8.88 per unit	2015/08/04	36,000,000	2014/11/17
17436	BNP Paribas Arbit Issu B.V.	Ch Oilfield Eur Wts Mar 2016 A	0.250	18.000	2016/03/02	100,000,000	2014/11/17
17446	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Eur Wt Sep 2015 A	0.330	2.80 per unit	2015/09/02	41,000,000	2014/11/17
17435	BNP Paribas Arbit Issu B.V.	Ting Yi European Wts Nov 2015 A	0.180	22.000	2015/11/03	90,000,000	2014/11/17
17445	BNP Paribas Arbit Issu B.V.	China Vanke Euro Wts Sep15A	0.250	16.500	2015/09/02	100,000,000	2014/11/17
17439	Credit Suisse AG	CSOP A50 ETF European Warrants Mar 2015 A	0.150	11.62 per unit	2015/03/30	80,000,000	2014/11/17
17440	Credit Suisse AG	A50 China European Warrants Mar 2015 B	0.150	10.89 per unit	2015/03/30	80,000,000	2014/11/17
17441	Credit Suisse AG	GCL-Poly Energy European Wts Apr 2015 A	0.160	2.79 per unit	2015/04/27	70,000,000	2014/11/17
17438	Credit Suisse AG	Link REIT European Warrants Aug 2015 A	0.150	55.550	2015/08/31	70,000,000	2014/11/17
17448	Daiwa Capital Mkt	Cheung Kong European Warrants Nov 2015 A	0.250	123.98 per 100 units	2015/11/11	80,000,000	2014/11/17
17451	Daiwa Capital Mkt	Hutchison European Put Wts Jun 2015 A	0.380	90.000	2015/06/01	68,000,000	2014/11/17
17449	Daiwa Capital Mkt	SHK Ppt European Warrants Jun 2015 A	0.420	126.880	2015/06/01	58,000,000	2014/11/17
17450	Daiwa Capital Mkt	Tencent Holdings European Wts Jun 2015 A	0.200	149.98 per 20 units	2015/06/08	128,000,000	2014/11/17
17453	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2015 G	0.155	23,300 per 6,500 units	2015/03/30	80,000,000	2014/11/17
17454	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2015 C	0.178	24,100 per 8,000 units	2015/04/29	80,000,000	2014/11/17
17452	J P Morgan SP BV	Ch Ship Cont European Warrants Apr 2015 B	0.154	2.88 per unit	2015/04/30	65,000,000	2014/11/17
17433	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jun 2015 A	0.334	8.28 per unit	2015/06/02	30,000,000	2014/11/17
17434	SGA Societe Generale Acceptance N.V.	HKEEx Eu Put Wt Dec15B	0.150	159.88 per 100 units	2015/12/02	300,000,000	2014/11/17
10326	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Jun 15 C	0.180	18,000 per 380 units	2015/06/12	150,000,000	2014/11/17
17442	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Dec15B	0.185	128 per 100 units	2015/12/02	500,000,000	2014/11/17
17444	UBS AG	GCL-Poly Energy European Warrants Jul 2015 A	0.250	2.88 per unit	2015/07/09	40,000,000	2014/11/17
17437	UBS AG	Link REIT European Warrants Aug 2015 A	0.150	55.550	2015/08/26	70,000,000	2014/11/17
14651 [#]	Credit Suisse AG	HKEEx European Warrants Mar 2015 B	0.109	190.68 per 100 units	2015/03/31	100,000,000	2014/11/17
15087 [#]	Credit Suisse AG	HKEEx European Warrants May 2015 A	0.098	200.58 per 100 units	2015/05/29	120,000,000	2014/11/17
15957 [#]	UBS AG	China Mobile European Warrants Mar 2017 A	0.149	90 per 100 units	2017/03/13	500,000,000	2014/11/17
17477	Credit Suisse AG	CNOOC European Warrants Oct 2015 B	0.150	11.400	2015/10/26	150,000,000	2014/11/18
17476	Credit Suisse AG	CNOOC European Warrants May 2016 A	0.150	12.600	2016/05/06	150,000,000	2014/11/18
17474	Credit Suisse AG	Sinopec Corp European Warrants Apr 2015 A	0.230	6.99 per unit	2015/04/30	70,000,000	2014/11/18
17467	Credit Suisse AG	A50 China European Warrants Mar 2015 C	0.150	11.52 per unit	2015/03/30	80,000,000	2014/11/18
17475	Credit Suisse AG	PetroChina European Warrants Apr 2015 A	0.230	10.52 per unit	2015/04/27	70,000,000	2014/11/18
17468	Daiwa Capital Mkt	CCB European Warrants Apr 2015 B	0.150	6.40 per unit	2015/04/01	108,000,000	2014/11/18
17470	Daiwa Capital Mkt	HSBC European Warrants May 2015 A	0.250	79.880	2015/05/04	98,000,000	2014/11/18
17469	Daiwa Capital Mkt	ICBC European Warrants Apr 2015 A	0.150	5.56 per unit	2015/04/01	108,000,000	2014/11/18
17482	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts May 2015 A	0.384	158.880	2015/05/19	60,000,000	2014/11/18
17483	Goldman Sachs SP (Asia)	Chi Resources Euro Wt Sep 2015 A	0.250	18.180	2015/09/30	60,000,000	2014/11/18
17486	Goldman Sachs SP (Asia)	Greatwall Motor Eur Wts May 2015 A	0.335	41.880	2015/05/29	60,000,000	2014/11/18
17487	Goldman Sachs SP (Asia)	Shenzhen Int'l European Wts Mar16A	0.250	12.880	2016/03/31	40,000,000	2014/11/18
17484	Goldman Sachs SP (Asia)	Xinyi Glass European Wts May 2015A	0.401	4.60 per unit	2015/05/29	40,000,000	2014/11/18
17456	HK Bank	China Mobile European Warrants Jul 2016 A	0.210	77.93 per 100 units	2016/07/25	300,000,000	2014/11/18

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17457	HK Bank	GCL-Poly Energy European Warrants May 2015 A	0.250	2.48 per unit	2015/05/29	60,000,000	2014/11/18
17458	HK Bank	Tencent European Put Warrants Sep 2015 C	0.150	126.78 per 100 units	2015/09/02	100,000,000	2014/11/18
17481	J P Morgan SP BV	BOC HK European Warrants Apr 2017 A	0.251	30.000	2017/04/03	150,000,000	2014/11/18
17479	J P Morgan SP BV	Haitong Sec European Warrants Aug 2015 A	0.150	15.800	2015/08/11	100,000,000	2014/11/18
17480	J P Morgan SP BV	Link Reit European Warrants Sep 2015 A	0.152	55.500	2015/09/02	100,000,000	2014/11/18
17460	Macquarie Bank Ltd.	AAC Acoustic European Wts Jun 2015 A	0.500	49.880	2015/06/09	20,000,000	2014/11/18
17459	Macquarie Bank Ltd.	Foxconn European Warrants Jun 2015 A	0.500	4.38 per unit	2015/06/02	20,000,000	2014/11/18
17478	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Jun 2015 A	0.400	2.30 per unit	2015/06/02	25,000,000	2014/11/18
17465	Standard Chartered Bank	CNOOC European Warrants Oct 2015 A	0.150	11.400	2015/10/26	100,000,000	2014/11/18
17464	Standard Chartered Bank	SPDR Gold Trust Eu Put Wt Jul 15 A	0.410	780 per 100 units	2015/07/02	50,000,000	2014/11/18
17462	Standard Chartered Bank	TVB European Warrants Dec 2015 A	0.250	50.000	2015/12/31	40,000,000	2014/11/18
17463	Standard Chartered Bank	YZ Coal European Wts Apr 2015 B	0.310	7.99 per unit	2015/04/28	40,000,000	2014/11/18
17472	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Oct 15A	0.150	11.680	2015/10/05	100,000,000	2014/11/18
17473	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Jul15A	0.195	2.98 per unit	2015/07/06	52,000,000	2014/11/18
17466	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2015 A	0.150	199.88 per 100 units	2015/10/05	300,000,000	2014/11/18
17461	UBS AG	China Mobile European Warrants Apr 2017 A	0.250	83.88 per 100 units	2017/04/24	500,000,000	2014/11/18
17455	UBS AG	HKEx European Warrants Sep 2015 E	0.150	199.98 per 100 units	2015/09/22	300,000,000	2014/11/18
17500	BNP Paribas Arbit Issu B.V.	BOCL European Wts Sep 2016 A	0.290	4.50 per unit	2016/09/02	100,000,000	2014/11/19
17503	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2015 A	0.150	25.380	2015/08/04	100,000,000	2014/11/19
17502	BNP Paribas Arbit Issu B.V.	CRCC European Wts Dec 2017 A	0.250	9.000	2017/12/04	100,000,000	2014/11/19
17499	BNP Paribas Arbit Issu B.V.	HKEx European Wts Dec 2015 A	0.150	208.88 per 100 units	2015/12/02	100,000,000	2014/11/19
17501	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jun 2015 A	0.200	85.880	2015/06/02	100,000,000	2014/11/19
17525	Credit Suisse AG	BOC HK European Warrants Mar 2016 A	0.250	30.380	2016/03/29	80,000,000	2014/11/19
17529	Credit Suisse	CSCL European Warrants Jun 2015 A	0.250	3.10 per unit	2015/06/01	50,000,000	2014/11/19
17524	Credit Suisse AG	KECL European Warrants Apr 2017 A	0.150	11.000	2017/04/27	80,000,000	2014/11/19
17528	Credit Suisse	Shanghai Electric European Warrants May 15 A	0.350	5.98 per unit	2015/05/26	50,000,000	2014/11/19
17526	Credit Suisse AG	Shenzhen Int'l European Wts Sep 2015 A	0.250	12.900	2015/09/29	80,000,000	2014/11/19
17518	Daiwa Capital Mkt	BOC HK European Warrants Nov 2015 A	0.250	28.680	2015/11/16	88,000,000	2014/11/19
17516	Bank of East Asia	BOCL European Warrants Apr 2016 A	0.270	3.988 per unit	2016/04/29	40,000,000	2014/11/19
17517	Bank of East Asia	Minsheng Bank European Warrants Jun 15 A	0.300	8.88 per unit	2015/06/10	40,000,000	2014/11/19
17531	Bank of East Asia	Ch Mobile European Warrants May 2017 A	0.250	84 per 100 units	2017/05/02	250,000,000	2014/11/19
17530	Bank of East Asia	CNOOC European Warrants Dec 2016 A	0.250	11.000	2016/12/30	80,000,000	2014/11/19
17515	Bank of East Asia	HKEx European Warrants Nov 2015 A	0.150	200.08 per 100 units	2015/11/30	70,000,000	2014/11/19
17493	HK Bank	China COSCO European Warrants Jun 2015 A	0.250	4.68 per unit	2015/06/30	50,000,000	2014/11/19
17491	HK Bank	Mengniu European Warrants Jul 2015 A	0.250	34.980	2015/07/30	80,000,000	2014/11/19
17492	HK Bank	Shanghai Electric European Warrants Jul 2015 A	0.300	4.98 per unit	2015/07/31	50,000,000	2014/11/19
17523	J P Morgan SP BV	Avichina European Warrants Jun 2015 A	0.256	7.50 per unit	2015/06/30	40,000,000	2014/11/19
17520	J P Morgan SP BV	BOCL European Warrants Dec 2015 A	0.151	4.20 per unit	2015/12/02	100,000,000	2014/11/19
17521	J P Morgan SP BV	CITIC Bank European Warrants Feb 2015 A	0.150	5.88 per unit	2015/02/27	100,000,000	2014/11/19
17522	J P Morgan SP BV	A50 China European Warrants Jun 2015 A	0.253	10.50 per unit	2015/06/02	100,000,000	2014/11/19
17498	Macquarie Bank Ltd.	HN Renewables European Wts Aug 2015 A	0.371	3.68 per unit	2015/08/18	27,000,000	2014/11/19
17488	Nomura Int'l plc	Cheung Kong European Warrants Dec 2016 A	0.172	140 per 100 units	2016/12/28	80,000,000	2014/11/19
17490	Nomura Int'l plc	CNOOC European Warrants Nov 2016 A	0.156	13.520	2016/11/25	80,000,000	2014/11/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17489	Nomura Int'l plc	PetroChina European Warrants May 2015 A	0.154	11.48 per unit	2015/05/26	80,000,000	2014/11/19
17497	Standard Chartered Bank	CGS European Warrants May 2015 A	0.320	8.80 per unit	2015/05/29	80,000,000	2014/11/19
17495	Standard Chartered Bank	CITIC Sec European Wts May 2015 A	0.150	23.300	2015/05/22	80,000,000	2014/11/19
17494	Standard Chartered Bank	Ch Ship Cont Euro Wts Sep 2015 A	0.250	3 per unit	2015/09/03	50,000,000	2014/11/19
17496	Standard Chartered Bank	Haitong Sec Euro Wts Dec 2015 A	0.260	14.020	2015/12/22	80,000,000	2014/11/19
17507	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Oct 15 A	0.395	4.75 per unit	2015/10/05	30,000,000	2014/11/19
17508	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt May15A	0.185	2.88 per unit	2015/05/06	55,000,000	2014/11/19
17510	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2015 B	0.250	22,500 per 8,000 units	2015/05/28	150,000,000	2014/11/19
17511	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2015 D	0.150	23,800 per 8,800 units	2015/06/29	150,000,000	2014/11/19
17512	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 15 E	0.250	23,900 per 7,000 units	2015/06/29	150,000,000	2014/11/19
17513	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 15 A	0.250	24,600 per 9,500 units	2015/08/28	150,000,000	2014/11/19
17505	UBS AG	CAM CSI300 European Warrants Sep 2015 A	0.250	36.880	2015/09/29	40,000,000	2014/11/19
17519	UBS AG	BOC HK European Warrants Dec 2016 A	0.250	30.880	2016/12/28	80,000,000	2014/11/19
17514	UBS AG	HKEx European Warrants Mar 2015 A	0.220	239.080	2015/03/03	80,000,000	2014/11/19
17504	UBS AG	Tencent Holdings European Warrants Apr 2015 B	0.175	158.98 per 20 units	2015/04/23	100,000,000	2014/11/19
17506	UBS AG	Yurun Food European Warrants Apr 2015 A	0.170	4.39 per unit	2015/04/28	70,000,000	2014/11/19
27913*	Standard Chartered Bank	HSI European Warrants Mar 2015 B	0.102	24,800 per 6,000 units	2015/03/30	100,000,000	2014/11/19
17537	BOCI Asia Ltd.	CGS European Warrants Nov 2015 A	0.150	7.300	2015/11/30	100,000,000	2014/11/20
17533	BOCI Asia Ltd.	HKEx European Warrants Oct 2015 A	0.160	199.88 per 100 units	2015/10/07	100,000,000	2014/11/20
17538	BOCI Asia Ltd.	Haitong Sec European Warrants Aug 2015 A	0.150	15.820	2015/08/17	100,000,000	2014/11/20
17534	BOCI Asia Ltd.	Tencent Holdings European Wts Jun 2015 B	0.350	150 per 20 units	2015/06/15	100,000,000	2014/11/20
17535	BOCI Asia Ltd.	Tencent Holdings European Put Wts Sep 2015 B	0.150	126.88 per 100 units	2015/09/07	100,000,000	2014/11/20
17536	BOCI Asia Ltd.	Yanzhou Coal European Warrants Jul 2015 A	0.510	8 per unit	2015/07/13	100,000,000	2014/11/20
17557	BNP Paribas Arbit Issu B.V.	AIA European Wts Apr 2016 A	0.250	58.000	2016/04/05	100,000,000	2014/11/20
17556	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2016 A	0.150	13.500	2016/12/02	100,000,000	2014/11/20
17555	BNP Paribas Arbit Issu B.V.	Cathay Pacific Eu Wt Oct 2015A	0.180	16.880	2015/10/05	65,000,000	2014/11/20
17558	BNP Paribas Arbit Issu B.V.	Chow Tai Fook Eu Wt Dec 2015 A	0.160	12.280	2015/12/02	70,000,000	2014/11/20
17554	BNP Paribas Arbit Issu B.V.	Melco Int'l Dev Eu Wt Feb 16 A	0.250	25.000	2016/02/02	50,000,000	2014/11/20
17572	Credit Suisse AG	CAM CSI300 European Warrants Aug 2015 A	0.250	37.080	2015/08/31	70,000,000	2014/11/20
17571	Credit Suisse AG	CITIC Sec European Warrants May 2015 A	0.150	23.300	2015/05/26	70,000,000	2014/11/20
17567	Credit Suisse AG	China Mobile European Warrants Nov 2017 B	0.250	84.28 per 100 units	2017/11/27	150,000,000	2014/11/20
17568	Credit Suisse AG	HKEx European Put Warrants Jun 2015 D	0.150	174.98 per 100 units	2015/06/29	100,000,000	2014/11/20
17570	Credit Suisse AG	HKEx European Put Warrants Nov 2015 B	0.150	159.85 per 100 units	2015/11/30	100,000,000	2014/11/20
17544	Goldman Sachs SP (Asia)	AIA European Warrants Jun 2015 A	0.163	50.050	2015/06/25	80,000,000	2014/11/20
17549	Goldman Sachs SP (Asia)	Ch Comm Cons Euro Wts Jul 2015 A	0.359	8.50 per unit	2015/07/08	80,000,000	2014/11/20
17546	Goldman Sachs SP (Asia)	China Mobile Euro Wts Mar 2015 C	0.196	112.600	2015/03/30	80,000,000	2014/11/20
17545	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2015 A	0.255	122.800	2015/07/30	80,000,000	2014/11/20
17547	Goldman Sachs SP (Asia)	Lenovo European Wts May 2015 A	0.518	12.28 per unit	2015/05/28	80,000,000	2014/11/20
17548	Goldman Sachs SP (Asia)	Sh Electric European Wts Jul 2015A	0.370	5.60 per unit	2015/07/31	40,000,000	2014/11/20
17573	Goldman Sachs SP (Asia)	Tencent European Wt Jun 2015 B	0.344	150 per 20 units	2015/06/15	80,000,000	2014/11/20
17541	HK Bank	CAM CSI300 European Warrants Sep 2015 A	0.250	35.880	2015/09/30	80,000,000	2014/11/20
17540	HK Bank	HSCEI European Warrants Mar 2015 A	0.150	10,888 per 4,000 units	2015/03/30	150,000,000	2014/11/20
17539	HK Bank	HSCEI European Warrants Nov 2015 A	0.150	11,700 per 3,800 units	2015/11/27	150,000,000	2014/11/20

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17574	J P Morgan SP BV	HKEx European Warrants Sep 2015 C	0.151	199.90 per 100 units	2015/09/30	300,000,000	2014/11/20
17575	J P Morgan SP BV	China Mengniu European Warrants Jul 2015A	0.251	33.000	2015/07/03	100,000,000	2014/11/20
17576	J P Morgan SP BV	Yanzhou Coal European Warrants Jun 2015 A	0.339	8.50 per unit	2015/06/30	40,000,000	2014/11/20
17532	Macquarie Bank Ltd.	TCL Comm European Warrants Jan 2016 A	0.250	8.980	2016/01/18	40,000,000	2014/11/20
17550	Standard Chartered Bank	BOCL European Warrants Mar 2015 B	0.150	4.18 per unit	2015/03/30	100,000,000	2014/11/20
17551	Standard Chartered Bank	Tingyi European Warrants Aug 2015A	0.250	18.880	2015/08/12	50,000,000	2014/11/20
17553	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Nov 2015A	0.250	27.000	2015/11/04	60,000,000	2014/11/20
17552	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Dec 2015 A	0.250	4.25 per unit	2015/12/02	100,000,000	2014/11/20
17542	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Jun15A	0.250	47.000	2015/06/03	40,000,000	2014/11/20
17559	UBS AG	BYD European Warrants May 2015 A	0.250	65.880	2015/05/19	60,000,000	2014/11/20
17562	UBS AG	CITIC Sec European Warrants May 2015 B	0.550	27.88 per unit	2015/05/19	40,000,000	2014/11/20
17564	UBS AG	CSOP A50 ETF European Warrants May 2015 B	0.250	11.08 per unit	2015/05/19	50,000,000	2014/11/20
17565	UBS AG	A50 China European Warrants May 2015 A	0.250	11.38 per unit	2015/05/19	50,000,000	2014/11/20
17566	UBS AG	HKEx European Warrants Jun 2015 C	0.250	268.880	2015/06/22	80,000,000	2014/11/20
17560	UBS AG	HSCEI European Warrants Nov 2015 A	0.155	11,700 per 3,800 units	2015/11/27	100,000,000	2014/11/20
17561	UBS AG	HSCEI European Put Warrants Jun 2015 B	0.250	10,500 per 4,000 units	2015/06/29	100,000,000	2014/11/20
17563	UBS AG	Haitong Sec European Warrants May 2015 A	0.670	18.18 per unit	2015/05/19	40,000,000	2014/11/20
16609 ⁹	Standard Chartered Bank	Galaxy Ent Euro Put Wts Apr 2015 D	0.139	45.000	2015/04/09	80,000,000	2014/11/20
17039 ⁹	Goldman Sachs SP (Asia)	HKEx European Warrants Nov 2015 A	0.169	200.08 per 100 units	2015/11/30	150,000,000	2014/11/20
17601	Credit Suisse AG	China Mobile European Warrants Mar 2015 D	0.150	120.000	2015/03/30	80,000,000	2014/11/21
17602	Credit Suisse AG	China Mobile European Warrants Apr 2015 B	0.260	109.000	2015/04/09	80,000,000	2014/11/21
17603	Credit Suisse AG	Tencent Holdings European Wts Apr 2015 B	0.200	158.90 per 20 units	2015/04/27	100,000,000	2014/11/21
17585	Daiwa Capital Mkt	Tencent Holdings European Wts Sep 2015 C	0.150	140 per 100 units	2015/09/23	168,000,000	2014/11/21
17580	HK Bank	BOCL European Warrants Aug 2015 A	0.250	4 per unit	2015/08/31	80,000,000	2014/11/21
17583	HK Bank	CITIC Sec European Warrants May 2015 A	0.150	23.300	2015/05/29	80,000,000	2014/11/21
17582	HK Bank	China Mobile European Warrants Apr 2015 A	0.260	109.100	2015/04/28	80,000,000	2014/11/21
17579	HK Bank	Link REIT European Warrants Jul 2015 B	0.250	52.880	2015/07/31	60,000,000	2014/11/21
17581	HK Bank	PetroChina European Warrants Jun 2015 A	0.400	9.48 per unit	2015/06/30	60,000,000	2014/11/21
17608	J P Morgan SP BV	CAM CSI300 European Warrants Aug 2015 A	0.251	36.000	2015/08/03	200,000,000	2014/11/21
17609	J P Morgan SP BV	Sinopec Corp European Warrants Jun 2015 A	0.261	6.88 per unit	2015/06/03	100,000,000	2014/11/21
17611	J P Morgan SP BV	NCI European Warrants Jun 2015 A	0.253	36.000	2015/06/15	100,000,000	2014/11/21
17610	J P Morgan SP BV	PetroChina European Warrants Jun 2015 A	0.253	9.50 per unit	2015/06/30	100,000,000	2014/11/21
17607	J P Morgan SP BV	Tencent European Warrants Apr 2015 C	0.152	158.88 per 20 units	2015/04/30	200,000,000	2014/11/21
17612	J P Morgan SP BV	Tencent European Warrants Dec 2015 B	0.152	148 per 100 units	2015/12/28	500,000,000	2014/11/21
17589	Macquarie Bank Ltd.	Sh Electric European Wts Jul 2015 A	0.442	6 per unit	2015/07/30	30,000,000	2014/11/21
17590	Macquarie Bank Ltd.	Sh Petrochem European Wts Aug 2015 A	0.250	3.38 per unit	2015/08/04	40,000,000	2014/11/21
17578	Macquarie Bank Ltd.	Tencent European Put Wts Jun 2015 A	0.500	110.000	2015/06/02	20,000,000	2014/11/21
17577	Macquarie Bank Ltd.	Tencent European Put Wts Sep 2015 A	0.170	135.08 per 100 units	2015/09/02	100,000,000	2014/11/21
17588	Standard Chartered Bank	CITIC Bank European Wts Jul 2015 A	0.250	6.80 per unit	2015/07/24	50,000,000	2014/11/21
17586	Standard Chartered Bank	Galaxy Ent Euro Put Wts Aug 2015 A	0.250	42.000	2015/08/03	100,000,000	2014/11/21
17587	Standard Chartered Bank	HKEx European Warrants Dec 2015 B	0.150	228 per 100 units	2015/12/17	100,000,000	2014/11/21
17591	Standard Chartered Bank	HKEx European Warrants Jun 2017 A	0.250	300 per 100 units	2017/06/30	150,000,000	2014/11/21
17592	Standard Chartered Bank	HKEx European Warrants Aug 2018 A	0.250	388.88 per 100 units	2018/08/08	150,000,000	2014/11/21

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17604	Standard Chartered Bank	Tencent Hldg Euro Wts Jun 2015 B	0.350	150.10 per 20 units	2015/06/08	80,000,000	2014/11/21
17605	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2015 A	0.150	140.10 per 100 units	2015/09/23	300,000,000	2014/11/21
17606	Standard Chartered Bank	Tencent Hldg Eu Put Wts Sep 2015 B	0.150	126.83 per 100 units	2015/09/02	200,000,000	2014/11/21
17584	SGA Societe Generale Acceptance N.V.	HKEEx Eu Wt Jun 2015 A	0.610	228.880	2015/06/03	30,000,000	2014/11/21
17594	UBS AG	BOCL European Warrants Nov 2015 A	0.250	4.38 per unit	2015/11/30	100,000,000	2014/11/21
17613	UBS AG	Cheung Kong European Warrants Mar 2015 B	0.285	160.100	2015/03/27	50,000,000	2014/11/21
17614	UBS AG	CM Bank European Warrants Sep 2016 A	0.165	17.520	2016/09/27	100,000,000	2014/11/21
17596	UBS AG	Galaxy Ent European Put Warrants Apr 2015 A	0.350	48.830	2015/04/28	60,000,000	2014/11/21
17600	UBS AG	HKEEx European Warrants Jun 2016 A	0.250	258.88 per 100 units	2016/06/27	300,000,000	2014/11/21
17593	UBS AG	HKEEx European Put Warrants Jun 2015 D	0.150	174.90 per 100 units	2015/06/23	100,000,000	2014/11/21
17597	UBS AG	HSBC European Warrants Apr 2015 B	0.150	82.930	2015/04/21	100,000,000	2014/11/21
17595	UBS AG	Sands China European Put Warrants May 2015 A	0.370	43.880	2015/05/20	60,000,000	2014/11/21
17598	UBS AG	Tencent Holdings European Warrants Mar 2015 B	0.230	170.100	2015/03/03	50,000,000	2014/11/21
17621	Credit Suisse AG	Kingsoft European Warrants Mar 2015 E	0.150	21.90 per units	2015/03/30	80,000,000	2014/11/24
17618	Daiwa Capital Mkt	HKEEx European Warrants Jul 2015 B	0.300	159.88 per units	2015/07/02	188,000,000	2014/11/24
17617	Daiwa Capital Mkt	HKEEx European Warrants Aug 2015 A	0.200	177.98 per units	2015/08/11	208,000,000	2014/11/24
17615	Daiwa Capital Mkt	HKEEx European Warrants Sep 2015 A	0.150	199.88 per units	2015/09/22	208,000,000	2014/11/24
17619	Daiwa Capital Mkt	HKEEx European Put Warrants Nov 2015 A	0.150	159.78 per units	2015/11/23	108,000,000	2014/11/24
17620	Daiwa Capital Mkt	Tencent Holdings European Wts Nov 2015 A	0.170	128.98 per units	2015/11/02	168,000,000	2014/11/24
17630	J P Morgan SP BV	China Mob European Wts Aug 2016 A	0.196	77.88 per units	2016/08/01	500,000,000	2014/11/24
17629	J P Morgan SP BV	HKEEx European Put Wts Nov 2015 A	0.151	159.88 per units	2015/11/30	300,000,000	2014/11/24
17616	Macquarie Bank Ltd.	HKEEx European Warrants Dec 2015 A	0.150	228 per units	2015/12/17	70,000,000	2014/11/24
17622	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jun 2015A	0.410	6.50 per unit	2015/06/03	80,000,000	2014/11/24
17625	UBS AG	Ch Comm Cons European Warrants Jul 2015 A	0.260	8.88 per unit	2015/07/13	40,000,000	2014/11/24
17626	UBS AG	China Railway European Warrants Jun 2015 A	0.270	6.18 per unit	2015/06/15	40,000,000	2014/11/24
17624	UBS AG	Evergrande European Warrants May 2015 B	0.250	3.68 per unit	2015/05/29	40,000,000	2014/11/24
17623	UBS AG	Fosun Pharma European Warrants Dec 2016 A	0.250	9.78 per units	2016/12/30	70,000,000	2014/11/24
17627	UBS AG	Hutchison European Warrants Jun 2015 A	0.250	118.80 per units	2015/06/15	100,000,000	2014/11/24
17628	UBS AG	PetroChina European Warrants Jun 2015 A	0.375	9.80 per unit	2015/06/15	50,000,000	2014/11/24
15861*	BOCI Asia Ltd.	HKEEx European Warrants Nov 2015 A	0.179	168 per 100 units	2015/11/02	100,000,000	2014/11/24
28617*	Goldman Sachs SP (Asia)	HKEEx European Put Wts Mar 2015 A	0.066	158 per 100 units	2015/03/02	160,000,000	2014/11/24
17648	Credit Suisse AG	Angang Steel European Warrants Apr 2015 A	0.230	6.51 per unit	2015/04/27	60,000,000	2014/11/25
17645	Credit Suisse AG	China EB Ltd European Warrants Jul 2015 A	0.150	16.820	2015/07/31	70,000,000	2014/11/25
17650	Credit Suisse AG	Ch Molybdenum European Warrants May 2015A	0.390	5.98 per unit	2015/05/26	60,000,000	2014/11/25
17647	Credit Suisse AG	Ch Oilfield European Warrants Aug 2015 A	0.150	18.900	2015/08/31	70,000,000	2014/11/25
17646	Credit Suisse AG	Fosun Intl European Warrants Apr 2015 A	0.150	8.890	2015/04/27	70,000,000	2014/11/25
17644	Credit Suisse AG	HKEEx European Warrants Jun 2015 E	0.210	163 per 100 units	2015/06/29	150,000,000	2014/11/25
17651	Credit Suisse AG	HKEEx European Warrants Sep 2015 E	0.230	166 per 100 units	2015/09/29	150,000,000	2014/11/25
17642	Credit Suisse AG	HKEEx European Warrants Nov 2015 C	0.150	188 per 100 units	2015/11/30	150,000,000	2014/11/25
17643	Credit Suisse AG	HKEEx European Warrants Nov 2015 D	0.210	168 per 100 units	2015/11/02	150,000,000	2014/11/25
17649	Credit Suisse	Shanghai Electric European Warrants Jun 15 A	0.450	4.99 per unit	2015/06/22	60,000,000	2014/11/25
17658	Goldman Sachs SP (Asia)	China Agri European Warrants Jun15A	0.301	3.30 per unit	2015/06/30	40,000,000	2014/11/25
17640	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts May 2015 B	0.258	11 per unit	2015/05/29	80,000,000	2014/11/25

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17637	Goldman Sachs SP (Asia)	A50 China European Wts May 2015 B	0.252	11 per unit	2015/05/29	80,000,000	2014/11/25
17638	Goldman Sachs SP (Asia)	A50 China European Wts May 2015 C	0.585	9.50 per unit	2015/05/29	80,000,000	2014/11/25
17635	Goldman Sachs SP (Asia)	Guangdong Inv European Wts Jun15 A	0.434	12.88 per unit	2015/06/30	40,000,000	2014/11/25
17636	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts May 2015 B	0.525	55.000	2015/05/29	40,000,000	2014/11/25
17663	Goldman Sachs SP (Asia)	HKEx European Warrants Aug 2015 A	0.150	177.88 per 100 units	2015/08/18	120,000,000	2014/11/25
17634	Goldman Sachs SP (Asia)	NCI European Warrants Jun 2015 A	0.252	35.500	2015/06/30	80,000,000	2014/11/25
17631	HK Bank	CNOOC European Warrants Oct 2015 A	0.150	11.400	2015/10/30	150,000,000	2014/11/25
17632	HK Bank	Sinopec Corp European Warrants Jun 2015 A	0.280	6.68 per unit	2015/06/30	60,000,000	2014/11/25
17660	J P Morgan SP BV	CNOOC European Warrants Oct 2015 A	0.151	11.380	2015/10/30	200,000,000	2014/11/25
17662	J P Morgan SP BV	HSI European Warrants Mar 2015 E	0.150	24,400 per 6,000 units	2015/03/30	500,000,000	2014/11/25
17641	Macquarie Bank Ltd.	CHALCO European Warrants Jul 2015 A	0.250	3.88 per unit	2015/07/03	40,000,000	2014/11/25
17652	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 17 A	0.200	83.88 per 100 units	2017/04/26	700,000,000	2014/11/25
17633	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Aug 2015 A	0.690	10 per unit	2015/08/05	15,000,000	2014/11/25
17654	UBS AG	Anhui Conch European Warrants Nov 2015 A	0.265	28.880	2015/11/23	70,000,000	2014/11/25
17657	UBS AG	BYD European Warrants May 2015 B	0.395	53.880	2015/05/26	50,000,000	2014/11/25
17656	UBS AG	CNOOC European Warrants Oct 2015 A	0.150	11.400	2015/10/26	100,000,000	2014/11/25
17653	UBS AG	Chi Res Land European Warrants Dec 2015 A	0.250	19.880	2015/12/28	70,000,000	2014/11/25
17655	UBS AG	China Mengniu European Warrants Nov 2015 A	0.280	36.880	2015/11/23	70,000,000	2014/11/25
13556 ⁹	Credit Suisse AG	Ping An European Warrants Dec 2015 A	0.062	60 per 100 units	2015/12/23	200,000,000	2014/11/25
15565 ⁹	BOCI Asia Ltd.	A50 China European Warrants Dec 2016 A	0.106	8.870	2016/12/28	100,000,000	2014/11/25
17672	BOCI Asia Ltd.	China Mobile European Warrants Jul 2016 A	0.220	77.93 per 100 units	2016/07/25	100,000,000	2014/11/26
17670	BOCI Asia Ltd.	Sinopec Corp European Warrants May 2015 A	0.250	7 per unit	2015/05/11	100,000,000	2014/11/26
17674	BOCI Asia Ltd.	CSOP A50 ETF European Warrants May 2015 A	0.400	10 per unit	2015/05/11	100,000,000	2014/11/26
17673	BOCI Asia Ltd.	A50 China European Warrants Jun 2015 A	0.380	10 per unit	2015/06/29	100,000,000	2014/11/26
17669	BOCI Asia Ltd.	HKEx European Put Warrants Nov 2015 C	0.150	159.88 per 100 units	2015/11/23	100,000,000	2014/11/26
17671	BOCI Asia Ltd.	PetroChina European Warrants Jun 2015 A	0.310	9.80 per unit	2015/06/29	100,000,000	2014/11/26
17681	Credit Suisse AG	CNOOC European Put Warrants Dec 2015 B	0.250	11.300	2015/12/28	100,000,000	2014/11/26
17679	Credit Suisse AG	Ping An European Warrants Apr 2015 D	0.160	67.550	2015/04/30	80,000,000	2014/11/26
17685	Daiwa Capital Mkt	Ping An European Warrants Jun 2015 A	0.340	61.880	2015/06/01	98,000,000	2014/11/26
17667	HK Bank	China Mobile European Warrants Mar 2015 A	0.350	102.600	2015/03/30	80,000,000	2014/11/26
17666	HK Bank	Ch Oilfield European Warrants Jul 2015 B	0.150	16.600	2015/07/10	80,000,000	2014/11/26
17665	HK Bank	Ch Ship Cont European Warrants Jun 2015 A	0.250	2.70 per unit	2015/06/29	60,000,000	2014/11/26
17668	HK Bank	Tencent European Warrants Jun 2015 B	0.300	149.90 per 20 units	2015/06/09	80,000,000	2014/11/26
17686	J P Morgan SP BV	HKEx European Warrants Oct 2015 A	0.152	170.88 per 100 units	2015/10/30	300,000,000	2014/11/26
17664	J P Morgan SP BV	KECL European Warrants Aug 2017 A	0.251	7.880	2017/08/31	100,000,000	2014/11/26
17687	J P Morgan SP BV	Shenzhen Int'l European Warrants Sep 15 A	0.151	12.900	2015/09/22	100,000,000	2014/11/26
17677	Macquarie Bank Ltd.	PetroChina European Wts Jun 2015 B	0.500	9.28 per unit	2015/06/02	20,000,000	2014/11/26
17682	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Apr 2016A	0.150	30.380	2016/04/06	70,000,000	2014/11/26
17675	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Jul 15 A	0.250	5.50 per unit	2015/07/06	40,000,000	2014/11/26
17676	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Dec 16 A	0.250	73.88 per 100 units	2016/12/05	700,000,000	2014/11/26
17684	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt May 2015 A	0.750	188.880	2015/05/06	30,000,000	2014/11/26
17683	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2015 B	0.170	164.88 per 100 units	2015/06/03	300,000,000	2014/11/26
17678	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Jul 2015A	0.420	9.25 per unit	2015/07/06	60,000,000	2014/11/26

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17708	Goldman Sachs SP (Asia)	BYD European Warrants May 2015 A	0.465	50.000	2015/05/29	80,000,000	2014/11/27
17707	Goldman Sachs SP (Asia)	HKEx European Put Wts Dec 2015 D	0.178	159.88 per 100 units	2015/12/02	120,000,000	2014/11/27
17710	Goldman Sachs SP (Asia)	Poly HK Inv Euro Wts Jul 2015 A	0.250	3.20 per unit	2015/07/30	50,000,000	2014/11/27
17709	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2015 A	0.201	123 per 100 units	2015/12/28	150,000,000	2014/11/27
17694	HK Bank	HSI European Warrants Feb 2015 J	0.180	23,088 per 6,000 units	2015/02/26	100,000,000	2014/11/27
17691	HK Bank	HSI European Warrants May 2015 B	0.250	23,388 per 7,000 units	2015/05/28	100,000,000	2014/11/27
17692	HK Bank	HSI European Warrants May 2015 C	0.250	22,688 per 8,000 units	2015/05/28	100,000,000	2014/11/27
17693	HK Bank	HSI European Put Warrants May 2015 D	0.250	24,288 per 10,000 units	2015/05/28	100,000,000	2014/11/27
17706	J P Morgan Int'l Der. Ltd.	Ch Res Ent Euro Wts Oct 2015 A	0.251	17.000	2015/10/30	80,000,000	2014/11/27
17688	J P Morgan SP BV	Hutchison European Warrants Jul 2015 A	0.315	106.000	2015/07/02	80,000,000	2014/11/27
17689	J P Morgan SP BV	Tencent European Warrants Dec 2015 C	0.175	123 per 100 units	2015/12/18	600,000,000	2014/11/27
17690	J P Morgan SP BV	Tencent European Put Warrants Sep 2015 B	0.151	126.88 per 100 units	2015/09/02	600,000,000	2014/11/27
17704	Macquarie Bank Ltd.	China Coal European Wts Jul 2015 A	0.400	5.28 per unit	2015/07/03	25,000,000	2014/11/27
17703	Macquarie Bank Ltd.	Sinopec Seg European Wts Aug 2017 A	0.250	5.880	2017/08/16	40,000,000	2014/11/27
17696	Standard Chartered Bank	Angang Steel European Wts Jun 15 A	0.250	6.60 per unit	2015/06/26	40,000,000	2014/11/27
17700	Standard Chartered Bank	BankComm European Warrants Oct 15A	0.250	6.50 per unit	2015/10/02	50,000,000	2014/11/27
17699	Standard Chartered Bank	China Coal European Wts May 2015 A	0.250	5.38 per unit	2015/05/27	60,000,000	2014/11/27
17698	Standard Chartered Bank	Evergrande European Wts Jul 2015 A	0.250	3.68 per unit	2015/07/31	60,000,000	2014/11/27
17697	Standard Chartered Bank	HKEx European Warrants Sep 2015 B	0.250	155.88 per 100 units	2015/09/10	100,000,000	2014/11/27
17701	Standard Chartered Bank	HKEx European Put Wts Nov 2015 C	0.230	159.80 per 100 units	2015/11/23	100,000,000	2014/11/27
17702	Standard Chartered Bank	HKEx European Put Wts Jun 2016 A	0.250	148.88 per 100 units	2016/06/28	100,000,000	2014/11/27
17705	UBS AG	CC Bank European Warrants Jul 2015 A	0.150	6.09 per unit	2015/07/24	100,000,000	2014/11/27
13702 [†]	Standard Chartered Bank	HKEx European Warrants Aug 2015 A	0.114	177.88 per 100 units	2015/08/18	100,000,000	2014/11/27
17727	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Nov 2015 A	0.250	7.50 per unit	2015/11/03	100,000,000	2014/11/28
17728	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Jul 2015 B	0.250	10.28 per unit	2015/07/03	100,000,000	2014/11/28
17722	Credit Suisse AG	HSBC European Wts Apr 2015 B	0.150	79.900	2015/04/27	80,000,000	2014/11/28
17730	Bank of East Asia	BYD European Put Warrants Jul 2015 B	0.342	36.000	2015/07/06	40,000,000	2014/11/28
17729	Bank of East Asia	Stanchart European Put Warrants Apr 16 A	0.250	118 per 100 units	2016/04/29	100,000,000	2014/11/28
17726	Goldman Sachs SP (Asia)	Sinopec Corp European Wts May 2015 A	0.262	6.88 per unit	2015/05/29	80,000,000	2014/11/28
17725	Goldman Sachs SP (Asia)	KECL European Warrants May 2015 A	0.338	9.88 per unit	2015/05/29	80,000,000	2014/11/28
17711	J P Morgan SP BV	HKEx European Warrants May 2015 A	0.151	164.88 per 100 units	2015/05/29	300,000,000	2014/11/28
17723	J P Morgan SP BV	HSBC European Warrants May 2015 B	0.152	79.000	2015/05/04	200,000,000	2014/11/28
17724	J P Morgan SP BV	Sinopharm European Warrants Aug 2015 A	0.252	34.000	2015/08/03	80,000,000	2014/11/28
17714	Standard Chartered Bank	CAM CSI300 European Wts Aug 2015 A	0.150	37.080	2015/08/28	70,000,000	2014/11/28
17715	Standard Chartered Bank	Cheung Kong Euro Wts Dec 2015 A	0.180	126.98 per 100 units	2015/12/14	100,000,000	2014/11/28
17712	Standard Chartered Bank	Geely Auto European Wts Jun 2015 A	0.250	4.18 per unit	2015/06/09	50,000,000	2014/11/28
17716	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jun 15 B	0.385	60.000	2015/06/03	80,000,000	2014/11/28
17717	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jan 16 B	0.190	123 per 100 units	2016/01/04	500,000,000	2014/11/28
17718	UBS AG	Geely Auto European Warrants Aug 2015 A	0.300	4 per unit	2015/08/24	40,000,000	2014/11/28
17721	UBS AG	HKEx European Warrants Mar 2015 B	0.280	200.200	2015/03/27	40,000,000	2014/11/28
17719	UBS AG	HKEx European Warrants Jun 2015 E	0.170	228.980	2015/06/01	60,000,000	2014/11/28
17737	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jul 2016 A	0.250	28.000	2016/07/05	100,000,000	2014/12/01
17736	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2015 A	0.250	118.000	2015/07/03	80,000,000	2014/12/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17738	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Dec 2015 A	0.250	23.000	2015/12/02	100,000,000	2014/12/01
17731	Daiwa Capital Mkt	CCCCL European Warrants Jun 2015 A	0.250	9.98 per unit	2015/06/01	58,000,000	2014/12/01
17732	Daiwa Capital Mkt	China Cinda European Warrants Jul 2015 A	0.310	4.58 per unit	2015/07/15	68,000,000	2014/12/01
17739	Daiwa Capital Mkt	Ping An European Warrants Apr 2015 B	0.150	72.480	2015/04/27	98,000,000	2014/12/01
17741	J P Morgan SP BV	Ch Overseas European Put Wts May 2015 B	0.150	21.480	2015/05/04	200,000,000	2014/12/01
17740	J P Morgan SP BV	A50 China European Put Wts Aug 2016 B	0.150	9.280	2016/08/30	200,000,000	2014/12/01
17733	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2015 A	0.190	25.380	2015/08/05	100,000,000	2014/12/01
17735	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2015 A	0.170	27.380	2015/12/02	100,000,000	2014/12/01
17744	UBS AG	Avichina European Warrants Nov 2015 A	0.580	7.88 per unit	2015/11/30	40,000,000	2014/12/01
17742	UBS AG	China COSCO European Warrants Nov 2015 A	0.350	5 per unit	2015/11/09	30,000,000	2014/12/01
17743	UBS AG	CSCCL European Warrants Dec 2015 A	0.265	2.88 per unit	2015/12/28	40,000,000	2014/12/01
17771	Credit Suisse AG	Agricultural Bank European Wts Aug 2015 A	0.150	3.89 per unit	2015/08/24	80,000,000	2014/12/02
17772	Credit Suisse AG	Agricultural Bank European Wts Dec 2015 A	0.150	4.09 per unit	2015/12/22	80,000,000	2014/12/02
17774	Credit Suisse AG	BYD European Warrants Dec 2016 A	0.150	46.90 per 100 units	2016/12/19	120,000,000	2014/12/02
17769	Credit Suisse AG	ICBC European Warrants Mar 2015 A	0.150	5.56 per unit	2015/03/30	80,000,000	2014/12/02
17760	Daiwa Capital Mkt	PICC European Warrants Dec 2015 A	0.250	14.080	2015/12/01	68,000,000	2014/12/02
17783	Bank of East Asia	Ch Comm Cons European Wts Jul 2015 A	0.295	10 per unit	2015/07/22	35,000,000	2014/12/02
17755	Bank of East Asia	Ch Comm Cons European Wts Dec 2016 A	0.250	6.200	2016/12/30	200,000,000	2014/12/02
17784	Bank of East Asia	Yanzhou Coal European Wts Sep 2015 A	0.250	10 per unit	2015/09/02	40,000,000	2014/12/02
17751	HK Bank	BOCL European Warrants Nov 2015 A	0.180	4.26 per unit	2015/11/25	80,000,000	2014/12/02
17748	HK Bank	Minsheng Bank European Warrants Jul 2015 A	0.450	8.38 per unit	2015/07/31	50,000,000	2014/12/02
17749	HK Bank	CSOP A50 ETF European Warrants Jun 2015 A	0.600	9.88 per unit	2015/06/02	50,000,000	2014/12/02
17750	HK Bank	A50 China European Warrants Jun 2015 A	0.250	10.68 per unit	2015/06/02	60,000,000	2014/12/02
17747	HK Bank	Haitong Sec European Warrants Sep 2015 A	0.250	16.880	2015/09/30	80,000,000	2014/12/02
17779	J P Morgan SP BV	CITIC Sec European Warrants Sep 2015 A	0.250	25.880	2015/09/30	100,000,000	2014/12/02
17777	J P Morgan SP BV	China Mob European Wts Dec 2016 A	0.150	88.88 per 100 units	2016/12/19	600,000,000	2014/12/02
17776	J P Morgan SP BV	China Mob European Wts Apr 2017 A	0.180	84 per 100 units	2017/04/28	600,000,000	2014/12/02
17745	J P Morgan SP BV	Chi Res Land European Warrants Dec 2015 A	0.250	22.880	2015/12/01	100,000,000	2014/12/02
17778	J P Morgan SP BV	HSI European Put Warrants May 2015 A	0.150	22,800 per 6,000 units	2015/05/28	500,000,000	2014/12/02
17782	J P Morgan SP BV	Haitong Sec European Warrants Dec 2015 B	0.250	18.880	2015/12/30	100,000,000	2014/12/02
17746	J P Morgan SP BV	Ping An European Warrants Jun 2015 A	0.250	68.000	2015/06/01	150,000,000	2014/12/02
17757	Macquarie Bank Ltd.	Ch Comm Cons European Wts Jun 2015 B	0.250	11 per unit	2015/06/02	40,000,000	2014/12/02
17756	Macquarie Bank Ltd.	China Railway European Wts Jul 2015 A	0.250	7.80 per unit	2015/07/03	40,000,000	2014/12/02
17766	Macquarie Bank Ltd.	Jiangxi Copper European Wts Apr 2016 A	0.150	16.820	2016/04/26	68,000,000	2014/12/02
17762	Macquarie Bank Ltd.	Tencent European Warrants Jun 2015 B	0.401	149.880	2015/06/02	25,000,000	2014/12/02
17763	Macquarie Bank Ltd.	Tencent European Warrants Jun 2015 C	0.841	129.880	2015/06/02	25,000,000	2014/12/02
17759	Standard Chartered Bank	Haitong Sec Euro Wts Dec 2015 B	0.250	18.880	2015/12/31	80,000,000	2014/12/02
17753	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Aug 2015 A	0.620	9.50 per unit	2015/08/05	20,000,000	2014/12/02
17764	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Jun15 B	0.485	8.20 per unit	2015/06/03	40,000,000	2014/12/02
17765	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jun15B	0.365	60.000	2015/06/03	80,000,000	2014/12/02
17754	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt May 15 A	0.150	72.500	2015/05/06	80,000,000	2014/12/02
17781	UBS AG	HSBC European Warrants Nov 2015 A	0.150	88.930	2015/11/23	100,000,000	2014/12/02
17780	UBS AG	Ping An European Warrants Jun 2015 A	0.355	68.880	2015/06/08	100,000,000	2014/12/02

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17775	UBS AG	Shenzhen Int'l European Warrants Mar 2016 A	0.250	14.480	2016/03/31	40,000,000	2014/12/02
17767	UBS AG	Yanzhou Coal European Wts Nov 2015 A	0.545	8.68 per unit	2015/11/23	40,000,000	2014/12/02
17804	Credit Suisse AG	China Life European Warrants Sep 2015 C	0.250	29.980	2015/09/29	80,000,000	2014/12/03
17792	Credit Suisse AG	China Life European Put Warrants Sep 15 B	0.250	23.880	2015/09/29	70,000,000	2014/12/03
17805	Credit Suisse AG	KECL European Warrants Dec 2016 A	0.250	8.080	2016/12/19	120,000,000	2014/12/03
17787	Daiwa Capital Mkt	China Life European Put Wts Jul 2015 A	0.250	23.980	2015/07/20	80,000,000	2014/12/03
17809	J P Morgan SP BV	AIA European Warrants Sep 2015 A	0.150	52.000	2015/09/01	100,000,000	2014/12/03
17808	J P Morgan SP BV	China Life European Warrants Jan 2016 A	0.250	28.880	2016/01/04	100,000,000	2014/12/03
17786	J P Morgan SP BV	China Life European Put Wts Dec 2015 B	0.250	24.000	2015/12/02	100,000,000	2014/12/03
17806	J P Morgan SP BV	HSCEI European Put Warrants May 2015 A	0.150	10,800 per 4,000 units	2015/05/28	500,000,000	2014/12/03
17807	J P Morgan SP BV	HSI European Put Warrants Apr 2015 E	0.151	24,200 per 8,000 units	2015/04/29	500,000,000	2014/12/03
17789	Macquarie Bank Ltd.	China Life European Wts Jun 2015 A	0.150	27.500	2015/06/02	70,000,000	2014/12/03
17803	Macquarie Bank Ltd.	China Mobile European Wts Jun 2015 A	0.250	120.000	2015/06/02	40,000,000	2014/12/03
17800	Macquarie Bank Ltd.	Lenovo European Warrants Jun 2015 A	0.401	13.48 per unit	2015/06/02	25,000,000	2014/12/03
17790	Macquarie Bank Ltd.	Tencent European Warrants Jun 2015 D	0.253	169.880	2015/06/02	40,000,000	2014/12/03
17794	Standard Chartered Bank	CM Bank European Wts Sep 2016 A	0.150	17.520	2016/09/27	80,000,000	2014/12/03
17793	Standard Chartered Bank	CNOOC European Warrants May 2016 A	0.150	12.600	2016/05/06	150,000,000	2014/12/03
17785	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Sep15A	0.185	37.080	2015/09/02	100,000,000	2014/12/03
17797	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2016 A	0.250	30.000	2016/06/01	150,000,000	2014/12/03
17795	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt May15C	0.295	11 per unit	2015/05/06	70,000,000	2014/12/03
17796	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jun 2015A	0.255	11 per unit	2015/06/03	70,000,000	2014/12/03
17798	SGA Societe Generale Acceptance N.V.	HKEH Eu Wt Jun 2015 C	0.480	200.000	2015/06/03	40,000,000	2014/12/03
17799	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jun 15 C	0.250	75.000	2015/06/03	80,000,000	2014/12/03
17791	UBS AG	China EB Int'l European Warrants Jun 2016 A	0.250	14.380	2016/06/27	60,000,000	2014/12/03
17802	UBS AG	CGS European Warrants Jul 2015 A	0.570	9.68 per unit	2015/07/20	30,000,000	2014/12/03
17801	UBS AG	China Life European Warrants Oct 2015 B	0.250	29.380	2015/10/12	100,000,000	2014/12/03
17788	UBS AG	China Life European Put Warrants Oct 2015 A	0.250	23.880	2015/10/23	100,000,000	2014/12/03
17811	BOCI Asia Ltd.	Agricultural Bk European Wts Aug 2015 A	0.150	3.88 per unit	2015/08/31	100,000,000	2014/12/04
17815	BOCI Asia Ltd.	CITIC Sec European Warrants May 2015 A	0.230	23.330	2015/05/29	100,000,000	2014/12/04
17812	BOCI Asia Ltd.	China Life European Warrants Jun 2015 B	0.210	27.500	2015/06/01	100,000,000	2014/12/04
17813	BOCI Asia Ltd.	China Life European Put Warrants Oct 2015 A	0.250	24.880	2015/10/12	100,000,000	2014/12/04
17814	BOCI Asia Ltd.	Ping An European Put Warrants Jun 2015 A	0.300	59.500	2015/06/12	100,000,000	2014/12/04
17854	Credit Suisse AG	CITIC Sec European Warrants Jul 2015 A	0.250	25.380	2015/07/27	80,000,000	2014/12/04
17852	Credit Suisse AG	China Life European Warrants Jun 2015 A	0.250	28.680	2015/06/30	100,000,000	2014/12/04
17845	Credit Suisse AG	A50 China European Warrants Sep 2018 A	0.150	12.120	2018/09/28	150,000,000	2014/12/04
17853	Credit Suisse AG	Haitong Sec European Warrants Oct 2015 A	0.250	18.880	2015/10/26	80,000,000	2014/12/04
17849	Credit Suisse AG	ICBC European Warrants Aug 2015 A	0.250	5.78 per unit	2015/08/31	80,000,000	2014/12/04
17848	Credit Suisse AG	ICBC European Put Warrants Jun 2015 A	0.250	4.68 per unit	2015/06/03	70,000,000	2014/12/04
17846	Credit Suisse AG	Ping An European Put Warrants Mar 2015 C	0.150	58.830	2015/03/03	70,000,000	2014/12/04
17820	HK Bank	AIA European Warrants Jun 2015 A	0.150	49.980	2015/06/30	100,000,000	2014/12/04
17823	HK Bank	China Life European Warrants Jun 2015 C	0.250	27.980	2015/06/30	100,000,000	2014/12/04
17824	HK Bank	China Life European Warrants Aug 2015 A	0.250	28.980	2015/08/31	100,000,000	2014/12/04
17819	HK Bank	China Life European Put Warrants Sep 2015 C	0.250	24.880	2015/09/30	100,000,000	2014/12/04

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17816	HK Bank	China Life European Put Warrants Dec 2015 B	0.250	23.380	2015/12/31	100,000,000	2014/12/04
17817	HK Bank	CM Bank European Warrants Sep 2016 A	0.150	17.480	2016/09/30	100,000,000	2014/12/04
17818	HK Bank	PICC Group European Warrants Jul 2015 A	0.250	4.18 per unit	2015/07/31	100,000,000	2014/12/04
17858	J P Morgan SP BV	China Life European Warrants Oct 2015 B	0.250	30.880	2015/10/02	100,000,000	2014/12/04
17859	J P Morgan SP BV	Minsheng Bank European Wts Jul 2015 A	0.251	9 per unit	2015/07/03	100,000,000	2014/12/04
17860	J P Morgan SP BV	CSOP A50 ETF European Warrants Jun 2015 A	0.254	11.18 per unit	2015/06/03	100,000,000	2014/12/04
17861	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Aug 2015 B	0.250	11,000 per 4,500 units	2015/08/28	300,000,000	2014/12/04
17857	J P Morgan SP BV	HSCEI European Put Warrants Aug 2015 A	0.253	10,200 per 4,000 units	2015/08/28	300,000,000	2014/12/04
17825	Standard Chartered Bank	AIA European Warrants Jul 2015 A	0.150	53.900	2015/07/13	100,000,000	2014/12/04
17826	Standard Chartered Bank	AIA European Put Wts Jun 2015 B	0.250	42.880	2015/06/03	100,000,000	2014/12/04
17856	Standard Chartered Bank	China Life European Wts Dec 2015 C	0.250	32.000	2015/12/18	100,000,000	2014/12/04
17829	Standard Chartered Bank	China Life Euro Put Wts Sep 2015 B	0.250	24.000	2015/09/30	80,000,000	2014/12/04
17832	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jun 2015 D	0.260	11.80 per unit	2015/06/26	100,000,000	2014/12/04
17833	Standard Chartered Bank	A50 China Euro Warrants Jun 2015 B	0.250	11.60 per unit	2015/06/26	100,000,000	2014/12/04
17834	Standard Chartered Bank	A50 China Euro Warrants Sep 2018 A	0.150	12.120	2018/09/28	100,000,000	2014/12/04
17830	Standard Chartered Bank	HSI European Put Wts Apr 2015 H	0.150	24,200 per 8,000 units	2015/04/29	100,000,000	2014/12/04
17831	Standard Chartered Bank	HSI European Put Wts Jun 2015 D	0.250	23,600 per 6,000 units	2015/06/29	100,000,000	2014/12/04
17827	Standard Chartered Bank	NCI European Warrants Jun 2015 A	0.250	43.880	2015/06/25	40,000,000	2014/12/04
17828	Standard Chartered Bank	PICC Group European Wts Jul 2015 A	0.250	4.18 per unit	2015/07/02	60,000,000	2014/12/04
17836	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep 2015 A	0.250	28.500	2015/09/02	150,000,000	2014/12/04
17837	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Jul16A	0.175	148.88 per 100 units	2016/07/06	300,000,000	2014/12/04
17838	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2015 A	0.375	105.000	2015/06/03	50,000,000	2014/12/04
17839	SGA Societe Generale Acceptance N.V.	PICC Eu Wts Sep 2015A	0.250	4.20 per unit	2015/09/02	40,000,000	2014/12/04
17821	SGA Societe Generale Acceptance N.V.	Ping An Eu Put Wt Jul15A	0.335	58.000	2015/07/06	80,000,000	2014/12/04
17842	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt Jul15A	0.475	43.000	2015/07/06	80,000,000	2014/12/04
17844	UBS AG	China Life European Warrants Jan 2016 A	0.250	30.880	2016/01/11	100,000,000	2014/12/04
17843	UBS AG	Ping An European Warrants Apr 2015 B	0.210	72.550	2015/04/28	100,000,000	2014/12/04
17835	UBS AG	Ping An European Put Warrants Jun 2015 B	0.250	56.880	2015/06/08	100,000,000	2014/12/04
17881	BNP Paribas Arbit Issu B.V.	BYD European Wts Jan 2017 A	0.150	46.88 per 100 units	2017/01/04	100,000,000	2014/12/05
17883	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Apr 2016 A	0.250	32.800	2016/04/05	100,000,000	2014/12/05
17882	BNP Paribas Arbit Issu B.V.	Ping An European Wt Nov 2015 A	0.250	83.000	2015/11/03	100,000,000	2014/12/05
17887	Credit Suisse AG	BOCL European Warrants Aug 2015 A	0.250	4.28 per unit	2015/08/31	70,000,000	2014/12/05
17886	Credit Suisse AG	CITIC Bank European Warrants Jul 2015 A	0.250	6.68 per unit	2015/07/07	70,000,000	2014/12/05
17885	Credit Suisse AG	Minsheng Bank European Wts Jul 2015 A	0.370	9.98 per unit	2015/07/31	70,000,000	2014/12/05
17892	Daiwa Capital Mkt	BOCL European Warrants Oct 2015 A	0.250	4.48 per unit	2015/10/02	108,000,000	2014/12/05
17890	Daiwa Capital Mkt	CMB European Warrants Dec 2015 A	0.270	15.800	2015/12/01	80,000,000	2014/12/05
17893	Daiwa Capital Mkt	Minsheng Bk European Warrants Apr 2015 A	0.280	9.68 per unit	2015/04/28	80,000,000	2014/12/05
17880	HK Bank	ICBC European Warrants Jul 2015 B	0.250	5.68 per unit	2015/07/31	80,000,000	2014/12/05
17872	HK Bank	Ping An European Warrants Apr 2015 B	0.200	72.550	2015/04/27	80,000,000	2014/12/05
17874	HK Bank	Ping An European Warrants Apr 2015 C	0.340	67.480	2015/04/27	80,000,000	2014/12/05
17873	HK Bank	Ping An European Put Warrants Jun 2015 A	0.250	59.880	2015/06/04	80,000,000	2014/12/05
17900	J P Morgan SP BV	BOCL European Warrants Oct 2015 A	0.251	4.38 per unit	2015/10/06	200,000,000	2014/12/05
17898	J P Morgan SP BV	BankComm European Warrants Apr 2015 A	0.157	7 per unit	2015/04/30	80,000,000	2014/12/05

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17896	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Sep 2015 A	0.253	6.48 per unit	2015/09/01	200,000,000	2014/12/05
17899	J P Morgan SP BV	CITIC Bank European Warrants Aug 2015 A	0.252	6.50 per unit	2015/08/03	100,000,000	2014/12/05
17901	J P Morgan SP BV	Minsheng Bank European Wts May 2015 A	0.152	9.68 per unit	2015/05/04	80,000,000	2014/12/05
17869	J P Morgan SP BV	CNOOC European Warrants May 2016 A	0.152	12.580	2016/05/06	200,000,000	2014/12/05
17870	J P Morgan SP BV	CNOOC European Warrants Sep 2016 A	0.150	12.100	2016/09/30	200,000,000	2014/12/05
17895	J P Morgan SP BV	CSOP A50 ETF Euro Put Wts Oct 2016 A	0.150	10.080	2016/10/03	100,000,000	2014/12/05
17894	J P Morgan SP BV	A50 China European Warrants Apr 2015 A	0.150	11.50 per unit	2015/04/01	100,000,000	2014/12/05
17862	Nomura Int'l plc	CSOP A50 ETF European Warrants Jun 2015 A	0.340	11.50 per unit	2015/06/30	50,000,000	2014/12/05
17863	Nomura Int'l plc	CSOP A50 ETF European Warrants Jun 2015 B	0.260	12 per unit	2015/06/30	50,000,000	2014/12/05
17864	Nomura Int'l plc	CSOP A50 ETF European Warrants Jun 2015 C	0.860	10 per unit	2015/06/30	50,000,000	2014/12/05
17866	Nomura Int'l plc	CSOP A50 ETF European Warrants Jun 2015 E	0.500	11 per unit	2015/06/30	50,000,000	2014/12/05
17865	Nomura Int'l plc	CSOP A50 ETF European Put Wts Jun 2015 D	0.530	9.50 per unit	2015/06/30	50,000,000	2014/12/05
17875	Nomura Int'l plc	A50 China European Warrants Mar 2015 B	0.310	10.50 per unit	2015/03/31	50,000,000	2014/12/05
17876	Nomura Int'l plc	A50 China European Warrants May 2015 A	0.280	11 per unit	2015/05/29	50,000,000	2014/12/05
17877	Nomura Int'l plc	A50 China European Warrants Jun 2015 B	0.250	11.50 per unit	2015/06/30	50,000,000	2014/12/05
17879	Nomura Int'l plc	A50 China European Warrants Jul 2015 A	0.250	12 per unit	2015/07/31	50,000,000	2014/12/05
17878	Nomura Int'l plc	A50 China European Put Wts Aug 2016 A	0.150	9.280	2016/08/31	80,000,000	2014/12/05
17884	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jul 2015A	0.570	12.50 per unit	2015/07/06	40,000,000	2014/12/05
17867	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Aug 2015A	0.195	10.280	2015/08/05	300,000,000	2014/12/05
17889	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jul 2015A	0.255	11.80 per unit	2015/07/06	70,000,000	2014/12/05
17868	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Jul 2015 B	0.680	9 per unit	2015/07/06	15,000,000	2014/12/05
17905	UBS AG	CC Bank European Put Warrants Apr 2015 A	0.265	5.39 per unit	2015/04/27	100,000,000	2014/12/05
17909	UBS AG	CITIC Bank European Warrants Jul 2015 A	0.285	6.88 per unit	2015/07/13	40,000,000	2014/12/05
17903	UBS AG	Minsheng Bank European Warrants Apr 2015 A	0.265	9.67 per unit	2015/04/28	40,000,000	2014/12/05
17871	UBS AG	CNOOC European Warrants Feb 2016 A	0.250	10.880	2016/02/29	100,000,000	2014/12/05
17908	UBS AG	Sinopec Corp European Warrants Apr 2015 A	0.250	7.01 per unit	2015/04/28	40,000,000	2014/12/05
17904	UBS AG	ICBC European Warrants Aug 2015 A	0.250	5.88 per unit	2015/08/24	100,000,000	2014/12/05
17907	UBS AG	PetroChina European Warrants Jun 2015 B	0.480	8.88 per unit	2015/06/15	50,000,000	2014/12/05
17912	BOCI Asia Ltd.	AIA European Warrants Jul 2015 A	0.180	50.000	2015/07/02	100,000,000	2014/12/08
17913	BOCI Asia Ltd.	BOC HK European Warrants Nov 2015 A	0.180	28.680	2015/11/16	100,000,000	2014/12/08
17914	Credit Suisse AG	CNOOC European Warrants Jul 2015 A	0.170	10.280	2015/07/31	150,000,000	2014/12/08
17916	Credit Suisse AG	CNOOC European Warrants Dec 2015 C	0.250	9.480	2015/12/31	150,000,000	2014/12/08
17946	Credit Suisse AG	Hutchison European Warrants Nov 2015 A	0.150	83.143 per 94 units	2015/11/30	150,000,000	2014/12/08
17947	Credit Suisse AG	Hutchison European Warrants Jun 2016 A	0.150	88.88 per 100 units	2016/06/15	150,000,000	2014/12/08
17945	Credit Suisse AG	Ping An European Warrants Jun 2015 A	0.250	75.880	2015/06/08	70,000,000	2014/12/08
17920	Daiwa Capital Mkt	BOCL European Put Warrants Jul 2015 A	0.250	3.58 per unit	2015/07/15	68,000,000	2014/12/08
17921	Daiwa Capital Mkt	Sinopec Corp European Wts May 2015 A	0.170	6.99 per unit	2015/05/04	80,000,000	2014/12/08
17938	Daiwa Capital Mkt	Hutchison European Warrants Apr 2015 A	0.230	103.880	2015/04/01	80,000,000	2014/12/08
17919	Daiwa Capital Mkt	Ping An European Warrants Jun 2015 B	0.250	81.880	2015/06/08	98,000,000	2014/12/08
17943	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Nov 2015 A	0.284	38.880	2015/11/30	80,000,000	2014/12/08
17941	Goldman Sachs SP (Asia)	China Life Euro Put Wts Sep 2015 A	0.266	25.080	2015/09/30	80,000,000	2014/12/08
17942	Goldman Sachs SP (Asia)	A50 China European Wts Jun 2015 A	0.375	12 per unit	2015/06/30	80,000,000	2014/12/08
17948	Goldman Sachs SP (Asia)	Geely Auto European Wts Jun 2015 A	0.250	3.60 per unit	2015/06/30	50,000,000	2014/12/08

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
17949	Goldman Sachs SP (Asia)	Hutchison European Wts Jun 2015 A	0.321	100.000	2015/06/30	80,000,000	2014/12/08
17950	Goldman Sachs SP (Asia)	PetroChina European Wts Jun 2015 A	0.302	9.08 per unit	2015/06/30	50,000,000	2014/12/08
17939	Goldman Sachs SP (Asia)	PICC Group European Wts Jul 2015 A	0.250	4.28 per unit	2015/07/30	80,000,000	2014/12/08
17956	J P Morgan SP BV	CHALCO European Warrants Jul 2015 A	0.252	3.68 per unit	2015/07/02	40,000,000	2014/12/08
17953	J P Morgan SP BV	Brilliance Chi European Wts Oct 2015 A	0.153	13.880	2015/10/02	80,000,000	2014/12/08
17957	J P Morgan SP BV	China Mob European Wts May 2015 B	0.289	97.800	2015/05/04	200,000,000	2014/12/08
17911	J P Morgan SP BV	CNOOC European Warrants Jul 2015 A	0.152	10.300	2015/07/31	200,000,000	2014/12/08
17910	J P Morgan SP BV	Ch Oilfield Euro Wts Dec 2015 A	0.251	14.000	2015/12/01	100,000,000	2014/12/08
17954	J P Morgan SP BV	Fosun Pharma European Warrants Jul 2015 A	0.250	32.000	2015/07/02	45,000,000	2014/12/08
17952	J P Morgan SP BV	HSI European Warrants May 2015 B	0.150	23,600 per 6,000 units	2015/05/28	500,000,000	2014/12/08
17955	J P Morgan SP BV	Hutchison European Warrants Jun 2015 A	0.250	98.880	2015/06/08	80,000,000	2014/12/08
17951	J P Morgan SP BV	PetroChina European Warrants Aug 2015 A	0.254	8.68 per unit	2015/08/03	100,000,000	2014/12/08
17958	J P Morgan SP BV	Ping An European Put Warrants Jun 2015 B	0.250	62.000	2015/06/08	100,000,000	2014/12/08
17918	Macquarie Bank Ltd.	CITIC Bank European Wts Jul 2015 B	0.250	6.88 per unit	2015/07/03	40,000,000	2014/12/08
17923	Macquarie Bank Ltd.	CNOOC European Warrants Jul 2015 A	0.500	12.98 per unit	2015/07/03	20,000,000	2014/12/08
17917	Macquarie Bank Ltd.	ICBC European Warrants Jul 2015 A	0.250	5.80 per unit	2015/07/03	40,000,000	2014/12/08
17924	Macquarie Bank Ltd.	KECL European Warrants Sep 2017 A	0.200	7.890	2017/09/04	50,000,000	2014/12/08
17922	Macquarie Bank Ltd.	Ping An European Warrants Jul 2015 A	0.250	77.500	2015/07/03	50,000,000	2014/12/08
17932	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt Aug 2015A	0.335	7.50 per unit	2015/08/05	30,000,000	2014/12/08
17933	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Aug 15 A	0.290	6.50 per unit	2015/08/05	35,000,000	2014/12/08
17937	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jul15B	0.420	12 per unit	2015/07/06	70,000,000	2014/12/08
17934	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 15 A	0.295	80.000	2015/08/05	80,000,000	2014/12/08
17935	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 15 C	0.560	132.880	2015/05/06	80,000,000	2014/12/08
17931	UBS AG	CHALCO European Warrants Dec 2015 A	0.325	3.98 per unit	2015/12/21	40,000,000	2014/12/08
17929	UBS AG	BankComm European Warrants Sep 2015 A	0.300	7.38 per unit	2015/09/21	40,000,000	2014/12/08
17944	UBS AG	Ch Oilfield European Warrants Aug 2015 A	0.150	15.880	2015/08/24	80,000,000	2014/12/08
17927	UBS AG	Ch Railway Cons European Warrants Jun 2017 A	0.250	9.280	2017/06/12	40,000,000	2014/12/08
17930	UBS AG	Gome European Warrants May 2016 A	0.250	1.38 per unit	2016/05/23	50,000,000	2014/12/08
17928	UBS AG	HSI European Warrants Apr 2015 C	0.150	23,600 per 6,500 units	2015/04/29	300,000,000	2014/12/08
17925	UBS AG	Tencent Holdings European Warrants Jun 2015 B	0.230	140.10 per 20 units	2015/06/08	100,000,000	2014/12/08
17964	BOCI Asia Ltd.	CNOOC European Warrants Dec 2016 A	0.150	12.000	2016/12/21	100,000,000	2014/12/09
17963	BOCI Asia Ltd.	HKEx European Warrants Oct 2015 B	0.150	170.88 per 100 units	2015/10/23	100,000,000	2014/12/09
17982	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Aug 2015 A	0.250	14.98 per unit	2015/08/04	100,000,000	2014/12/09
17981	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Oct 2015 A	0.250	14.78 per unit	2015/10/05	100,000,000	2014/12/09
17985	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts May 2015 A	0.180	22,800 per 6,000 units	2015/05/28	100,000,000	2014/12/09
18027	Credit Suisse AG	BOCL European Warrants Sep 2015 B	0.250	4.58 per unit	2015/09/29	80,000,000	2014/12/09
18026	Credit Suisse AG	CC Bank European Warrants Jun 2015 A	0.250	6.98 per unit	2015/06/08	80,000,000	2014/12/09
17996	Credit Suisse AG	CSOP A50 ETF European Warrants Mar 2015 B	0.180	13.52 per unit	2015/03/30	70,000,000	2014/12/09
17995	Credit Suisse AG	CSOP A50 ETF European Warrants Sep 2018 A	0.170	11.720	2018/09/27	150,000,000	2014/12/09
17998	Credit Suisse AG	CSOP A50 ETF Euro Put Wts Sep 2016 A	0.150	10.060	2016/09/30	70,000,000	2014/12/09
17993	Credit Suisse AG	A50 China European Put Wts Aug 2015 A	0.150	10.860	2015/08/11	70,000,000	2014/12/09
18028	Credit Suisse AG	ICBC European Warrants Sep 2015 A	0.250	6.08 per unit	2015/09/29	80,000,000	2014/12/09
17999	Credit Suisse AG	Ping An European Warrants Mar 2015 D	0.150	82.000	2015/03/30	80,000,000	2014/12/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18023	Credit Suisse AG	Ping An European Warrants Jun 2015 B	0.250	84.880	2015/06/08	80,000,000	2014/12/09
18024	Credit Suisse AG	Ping An European Warrants Jul 2015 A	0.530	74.280	2015/07/30	80,000,000	2014/12/09
18025	Credit Suisse AG	Ping An European Put Warrants Jun 2015 C	0.380	62.880	2015/06/08	80,000,000	2014/12/09
17974	Daiwa Capital Mkt	BOCL European Warrants Jun 2015 A	0.300	3.988 per unit	2015/06/08	108,000,000	2014/12/09
17989	Daiwa Capital Mkt	CSOP A50 ETF European Wts Jun 2015 A	0.250	14.08 per unit	2015/06/08	98,000,000	2014/12/09
17990	Daiwa Capital Mkt	CSOP A50 ETF European Put Wts Dec 2015 A	0.250	12.280	2015/12/01	98,000,000	2014/12/09
17971	Goldman Sachs SP (Asia)	BOCL European Warrants Sep 2015 A	0.250	4.28 per unit	2015/09/30	80,000,000	2014/12/09
17973	Goldman Sachs SP (Asia)	CC Bank European Wts Jun 2015 A	0.259	6.18 per unit	2015/06/10	80,000,000	2014/12/09
17979	Goldman Sachs SP (Asia)	China Cinda Euro Wts Jun 2015 A	0.266	4.38 per unit	2015/06/30	80,000,000	2014/12/09
18015	Goldman Sachs SP (Asia)	CITIC Bank European Wts Jun 2015 A	0.279	6.50 per unit	2015/06/30	60,000,000	2014/12/09
17959	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jun 2015 A	0.378	12.08 per unit	2015/06/30	80,000,000	2014/12/09
18017	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2015 B	0.341	75.550	2015/06/30	80,000,000	2014/12/09
17972	Goldman Sachs SP (Asia)	Haitong Sec Euro Wts Dec 2015 A	0.269	18.880	2015/12/30	80,000,000	2014/12/09
18016	Goldman Sachs SP (Asia)	Li & Fung European Wts Jun 2015 A	0.357	9.50 per unit	2015/06/30	60,000,000	2014/12/09
17970	Goldman Sachs SP (Asia)	Ping An European Wts Jun 2015 A	0.392	72.880	2015/06/30	80,000,000	2014/12/09
17960	HK Bank	CSOP A50 ETF European Warrants May 2015 A	0.500	11.10 per unit	2015/05/12	50,000,000	2014/12/09
17961	HK Bank	CSOP A50 ETF European Warrants Jun 2015 B	0.340	11.68 per unit	2015/06/30	50,000,000	2014/12/09
17962	HK Bank	A50 China European Warrants Jun 2015 B	0.320	11.48 per unit	2015/06/30	50,000,000	2014/12/09
18020	J P Morgan SP BV	CAM CSI300 European Warrants Sep 2015 A	0.250	40.000	2015/09/02	200,000,000	2014/12/09
18021	J P Morgan SP BV	CGS European Warrants Nov 2016 A	0.251	10.000	2016/11/03	100,000,000	2014/12/09
18018	J P Morgan SP BV	CSOP A50 ETF European Warrants Apr 2015 B	0.252	12 per unit	2015/04/01	100,000,000	2014/12/09
18019	J P Morgan SP BV	A50 China European Warrants Jul 2015 A	0.250	12.08 per unit	2015/07/02	100,000,000	2014/12/09
17975	J P Morgan SP BV	A50 China European Put Wts Oct 2015 A	0.150	10.580	2015/10/28	100,000,000	2014/12/09
17977	Macquarie Bank Ltd.	CGS European Warrants Jun 2015 A	0.309	10.28 per unit	2015/06/09	40,000,000	2014/12/09
17976	Macquarie Bank Ltd.	Ch Oilfield European Wts Oct 2015 A	0.250	13.880	2015/10/16	40,000,000	2014/12/09
17978	Macquarie Bank Ltd.	Haitong Sec European Wts Oct 2015 A	0.250	18.880	2015/10/05	40,000,000	2014/12/09
17986	Standard Chartered Bank	Sinopec Corp Euro Wts Jun 2015 A	0.250	6.69 per unit	2015/06/23	100,000,000	2014/12/09
17988	Standard Chartered Bank	HSI European Put Wts May 2015 C	0.180	24,200 per 10,000 units	2015/05/28	100,000,000	2014/12/09
17969	Standard Chartered Bank	KECL European Warrants Jul 2015 B	0.280	9.18 per unit	2015/07/06	40,000,000	2014/12/09
17987	Standard Chartered Bank	PetroChina European Wts Oct 2015 A	0.320	9 per unit	2015/10/09	100,000,000	2014/12/09
17966	Standard Chartered Bank	Ping An European Wts Apr 2015 A	0.200	72.550	2015/04/27	80,000,000	2014/12/09
17967	Standard Chartered Bank	Ping An European Wts May 2015 A	0.350	68.050	2015/05/22	80,000,000	2014/12/09
17968	Standard Chartered Bank	Ping An Euro Put Wts Jun 2015 A	0.350	61.880	2015/06/08	80,000,000	2014/12/09
17980	SGA Societe Generale Acceptance NV	CSOP A50 Eu Put Wt Oct16A	0.150	10.080	2016/10/12	300,000,000	2014/12/09
18011	UBS AG	CC Bank European Warrants Aug 2015 A	0.250	6.58 per unit	2015/08/10	100,000,000	2014/12/09
18003	UBS AG	China Gas Hold European Warrants Dec 2015 A	0.250	16.880	2015/12/21	40,000,000	2014/12/09
18006	UBS AG	Cheung Kong European Warrants Jun 2015 A	0.530	150.000	2015/06/08	70,000,000	2014/12/09
18013	UBS AG	China Mobile European Warrants Apr 2015 B	0.198	106.900	2015/04/01	100,000,000	2014/12/09
18014	UBS AG	China Mobile European Warrants Apr 2015 C	0.430	99.050	2015/04/23	100,000,000	2014/12/09
18009	UBS AG	CSOP A50 ETF European Warrants Jun 2015 B	0.410	12.88 per unit	2015/06/08	80,000,000	2014/12/09
18008	UBS AG	CSOP A50 ETF European Warrants Aug 2015 A	0.350	13.88 per unit	2015/08/10	80,000,000	2014/12/09
18007	UBS AG	A50 China European Warrants Jun 2015 A	0.410	12.38 per unit	2015/06/08	80,000,000	2014/12/09
18005	UBS AG	HSBC European Warrants Apr 2015 C	0.190	79.050	2015/04/30	100,000,000	2014/12/09

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18000	UBS AG	HSI European Warrants Apr 2015 D	0.150	24,300 per 6,000 units	2015/04/29	300,000,000	2014/12/09
18001	UBS AG	HSI European Warrants Dec 2015 B	0.250	26,400 per 6,000 units	2015/12/30	300,000,000	2014/12/09
18010	UBS AG	ICBC European Warrants Jun 2016 A	0.150	6.81 per unit	2016/06/27	100,000,000	2014/12/09
18012	UBS AG	Ping An European Warrants Jun 2015 C	0.325	76.880	2015/06/08	100,000,000	2014/12/09
18002	UBS AG	Zijin Mining European Warrants Dec 2015 A	0.275	2.38 per unit	2015/12/28	40,000,000	2014/12/09
23878 ^a	UBS AG	Minsheng Bank European Warrants May 2015 A	0.093	10.900	2015/05/26	110,000,000	2014/12/09
29286 ^a	Credit Suisse AG	Minsheng Bank European Wts May 2015 A	0.099	10.880	2015/05/29	130,000,000	2014/12/09
18030	BOCI Asia Ltd.	China Mobile European Warrants May 2015 A	0.460	100.000	2015/05/26	100,000,000	2014/12/10
18029	BOCI Asia Ltd.	Hutchison European Warrants Jun 2015 A	0.270	106.000	2015/06/29	100,000,000	2014/12/10
18031	BOCI Asia Ltd.	Ping An European Warrants Jun 2015 B	0.400	75.750	2015/06/15	100,000,000	2014/12/10
18032	BOCI Asia Ltd.	Ping An European Warrants Dec 2015 A	0.150	60 per 100 units	2015/12/28	100,000,000	2014/12/10
18071	BNP Paribas Arbit Issu B.V.	BOCL European Wts Feb 2016 A	0.250	5 per unit	2016/02/02	100,000,000	2014/12/10
18072	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Oct 2015 A	0.250	2.60 per unit	2015/10/05	100,000,000	2014/12/10
18073	BNP Paribas Arbit Issu B.V.	KECL European Wts Sep 2017 A	0.170	7.880	2017/09/04	100,000,000	2014/12/10
18041	Credit Suisse AG	CC Bank European Warrants Apr 2015 B	0.150	6.81 per unit	2015/04/30	80,000,000	2014/12/10
18040	Credit Suisse AG	China Life European Warrants May 2015 B	0.150	30.000	2015/05/29	80,000,000	2014/12/10
18039	Credit Suisse AG	A50 China European Warrants Mar 2015 D	0.190	12.52 per unit	2015/03/10	70,000,000	2014/12/10
18050	Daiwa Capital Mkt	China Cinda European Warrants Aug 2015 A	0.250	5.38 per unit	2015/08/07	68,000,000	2014/12/10
18047	Daiwa Capital Mkt	China Life European Warrants May 2015 B	0.150	30.050	2015/05/26	168,000,000	2014/12/10
18049	Daiwa Capital Mkt	CSOP A50 ETF European Wts Dec 2015 B	0.150	11.020	2015/12/03	128,000,000	2014/12/10
18048	Daiwa Capital Mkt	A50 China European Warrants Apr 2015 B	0.150	13.52 per unit	2015/04/01	128,000,000	2014/12/10
18068	Daiwa Capital Mkt	PetroChina European Warrants Jun 2015 B	0.250	9.18 per unit	2015/06/09	80,000,000	2014/12/10
18034	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Dec 2015 A	0.257	42.380	2015/12/02	80,000,000	2014/12/10
18038	Goldman Sachs SP (Asia)	CITIC Sec European Wts Sep 2015 A	0.253	28.000	2015/09/29	80,000,000	2014/12/10
18036	Goldman Sachs SP (Asia)	China Life Euro Wts Dec 2015 A	0.251	31.280	2015/12/02	80,000,000	2014/12/10
18035	Goldman Sachs SP (Asia)	A50 China European Wts Mar 2015 A	0.151	13.50 per unit	2015/03/30	80,000,000	2014/12/10
18037	Goldman Sachs SP (Asia)	Ping An European Wts Jul 2015 A	0.263	83.800	2015/07/30	80,000,000	2014/12/10
18053	HK Bank	BOCL European Warrants Aug 2015 B	0.250	4.30 per unit	2015/08/31	80,000,000	2014/12/10
18051	HK Bank	CC Bank European Warrants Aug 2015 A	0.250	6.28 per unit	2015/08/31	80,000,000	2014/12/10
18057	HK Bank	CGS European Warrants Jul 2016 A	0.250	9.480	2016/07/29	80,000,000	2014/12/10
18054	HK Bank	CITIC Sec European Warrants Jul 2015 A	0.250	25.980	2015/07/31	80,000,000	2014/12/10
18055	HK Bank	China Life European Warrants Jun 2015 D	0.150	29.980	2015/06/09	80,000,000	2014/12/10
18080	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2015 B	0.151	6.40 per unit	2015/04/02	200,000,000	2014/12/10
18058	J P Morgan SP BV	China Life European Warrants Jun 2015 C	0.150	30.000	2015/06/01	100,000,000	2014/12/10
18085	J P Morgan SP BV	CNOOC European Put Warrants Jul 2015 B	0.465	9.50 per unit	2015/07/02	100,000,000	2014/12/10
18083	J P Morgan SP BV	CPIC European Warrants Sep 2015 A	0.255	38.000	2015/09/03	100,000,000	2014/12/10
18084	J P Morgan SP BV	Galaxy Ent European Warrants Jul 2015 B	0.250	53.880	2015/07/02	100,000,000	2014/12/10
18086	J P Morgan SP BV	HKEx European Warrants Jun 2015 C	0.363	200.000	2015/06/02	80,000,000	2014/12/10
18081	J P Morgan SP BV	ICBC European Warrants Apr 2015 A	0.151	5.55 per unit	2015/04/02	100,000,000	2014/12/10
18078	J P Morgan SP BV	Ping An European Warrants Apr 2015 B	0.151	82.000	2015/04/02	150,000,000	2014/12/10
18082	J P Morgan SP BV	Sands China European Warrants Jul 2015 A	0.250	46.880	2015/07/02	100,000,000	2014/12/10
18033	Standard Chartered Bank	A50 China Euro Warrants Dec 2015 B	0.170	9.380	2015/12/29	120,000,000	2014/12/10
18059	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Dec 2015 A	0.150	4.50 per unit	2015/12/02	100,000,000	2014/12/10

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18042	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2015 B	0.250	6.50 per unit	2015/08/05	80,000,000	2014/12/10
18043	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2015 A	0.165	7.10 per unit	2015/09/02	80,000,000	2014/12/10
18063	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Sep15A	0.250	28.000	2015/09/02	80,000,000	2014/12/10
18044	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2015 C	0.150	30.000	2015/06/03	150,000,000	2014/12/10
18060	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct 2015 B	0.220	30.030	2015/10/05	150,000,000	2014/12/10
18061	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2016 A	0.250	32.800	2016/03/02	150,000,000	2014/12/10
18062	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Oct15C	0.200	23.880	2015/10/05	150,000,000	2014/12/10
18075	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jul15C	0.355	13.88 per unit	2015/07/06	70,000,000	2014/12/10
18074	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jul 2015B	0.305	13.50 per unit	2015/07/06	70,000,000	2014/12/10
18077	SGA Societe Generale Acceptance N.V.	Haitong Sec Eu Wt Aug15B	0.250	18.000	2015/08/05	80,000,000	2014/12/10
18046	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2015 A	0.250	5.88 per unit	2015/08/05	80,000,000	2014/12/10
18076	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Jul15B	0.320	45.000	2015/07/06	80,000,000	2014/12/10
18064	UBS AG	Agricultural Bank European Warrants Dec 2015 A	0.215	4.09 per unit	2015/12/22	50,000,000	2014/12/10
18067	UBS AG	CC Bank European Warrants Apr 2015 B	0.150	6.81 per unit	2015/04/28	100,000,000	2014/12/10
18066	UBS AG	KECL European Warrants Dec 2016 A	0.160	8.090	2016/12/12	100,000,000	2014/12/10
18097	BOCI Asia Ltd.	CAM CSI300 European Warrants Oct 2015 A	0.360	39.880	2015/10/12	100,000,000	2014/12/11
18093	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Jul 2015 A	0.460	12.88 per unit	2015/07/06	100,000,000	2014/12/11
18098	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Dec 2015 A	0.150	11.020	2015/12/17	100,000,000	2014/12/11
18095	BOCI Asia Ltd.	A50 China European Warrants Jul 2015 B	0.400	12.68 per unit	2015/07/13	100,000,000	2014/12/11
18096	BOCI Asia Ltd.	A50 China European Warrants Dec 2015 A	0.180	9.390	2015/12/28	100,000,000	2014/12/11
18110	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Sep 2016 A	0.250	37.500	2016/09/02	100,000,000	2014/12/11
18109	BNP Paribas Arbit Issu B.V.	China Life Eu Put Wt Aug 2016A	0.250	21.000	2016/08/02	100,000,000	2014/12/11
18108	BNP Paribas Arbit Issu B.V.	Ping An European Wt Aug 2015 A	0.250	92.500	2015/08/04	100,000,000	2014/12/11
18121	Credit Suisse AG	BankComm European Warrants Jun 2015 A	0.440	7.68 per unit	2015/06/29	80,000,000	2014/12/11
18125	Credit Suisse AG	China Coal European Warrants Jun 2015 A	0.310	6.38 per unit	2015/06/29	80,000,000	2014/12/11
18122	Credit Suisse AG	CEB Bank European Warrants Jun 2015 A	0.290	4.98 per unit	2015/06/29	80,000,000	2014/12/11
18118	Credit Suisse AG	China EB Ltd European Warrants Aug 2015 A	0.250	22.280	2015/08/31	80,000,000	2014/12/11
18119	Credit Suisse AG	CM Bank European Warrants Jun 2015 A	0.780	20.88 per unit	2015/06/29	80,000,000	2014/12/11
18120	Credit Suisse AG	Minsheng Bank European Wts Jun 2015 A	0.540	10.48 per unit	2015/06/29	80,000,000	2014/12/11
18133	Credit Suisse AG	Sinopec Corp European Warrants Jun 2015 A	0.250	8.38 per unit	2015/06/29	80,000,000	2014/12/11
18126	Credit Suisse AG	Jiangxi Copper European Wts Apr 2016 A	0.170	16.820	2016/04/29	100,000,000	2014/12/11
18127	Credit Suisse AG	Kingsoft European Warrants Apr 2015 B	0.150	19.900	2015/04/30	80,000,000	2014/12/11
18123	Credit Suisse AG	Yanzhou Coal European Warrants Jun 2015 A	0.550	8.68 per unit	2015/06/29	80,000,000	2014/12/11
18111	Daiwa Capital Mkt	HSBC European Warrants Jun 2015 A	0.370	74.880	2015/06/10	98,000,000	2014/12/11
18114	Daiwa Capital Mkt	Hutchison European Warrants Nov 2015 A	0.150	83.18 per 94 units	2015/11/23	128,000,000	2014/12/11
18112	Daiwa Capital Mkt	Hutchison European Put Wts Jun 2015 B	0.250	78.880	2015/06/10	68,000,000	2014/12/11
18113	Daiwa Capital Mkt	Ping An European Warrants Jun 2015 C	0.250	90.880	2015/06/10	98,000,000	2014/12/11
18102	Bank of East Asia	China Life European Warrants Sep 2015 A	0.250	28.880	2015/09/30	40,000,000	2014/12/11
18101	Bank of East Asia	CM Bank European Warrants May 2016 A	0.250	16.680	2016/05/03	40,000,000	2014/12/11
18143	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jul 2015 B	0.737	13.88 per unit	2015/07/30	80,000,000	2014/12/11
18142	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Dec 2016 A	0.251	11.140	2016/12/30	80,000,000	2014/12/11
18141	Goldman Sachs SP (Asia)	A50 China European Wts Jul 2015 A	0.575	14.28 per unit	2015/07/30	80,000,000	2014/12/11
18140	Goldman Sachs SP (Asia)	A50 China European Wts Dec 2016 B	0.250	11.000	2016/12/30	80,000,000	2014/12/11

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18116	HK Bank	CAM CSI300 European Warrants Aug 2015 B	0.250	40.880	2015/08/31	100,000,000	2014/12/11
18107	HK Bank	BOCL European Warrants Jul 2015 C	0.300	3.88 per unit	2015/07/31	80,000,000	2014/12/11
18117	HK Bank	CSOP A50 ETF European Warrants Apr 2015 C	0.500	11.98 per unit	2015/04/08	50,000,000	2014/12/11
18115	HK Bank	CSOP A50 ETF European Warrants Nov 2015 A	0.150	11.200	2015/11/16	200,000,000	2014/12/11
18104	HK Bank	HSCEI European Put Warrants May 2015 A	0.150	10,748 per 4,000 units	2015/05/28	100,000,000	2014/12/11
18105	HK Bank	KECL European Warrants Dec 2016 A	0.150	8.090	2016/12/12	100,000,000	2014/12/11
18106	HK Bank	Ping An European Warrants Jun 2015 B	0.300	79.880	2015/06/10	80,000,000	2014/12/11
18151	J P Morgan SP BV	Agricultural Bk Euro Warrants Dec 2015 A	0.158	4.50 per unit	2015/12/01	200,000,000	2014/12/11
18149	J P Morgan SP BV	BankComm European Warrants Aug 2015 B	0.251	7.88 per unit	2015/08/03	80,000,000	2014/12/11
18153	J P Morgan SP BV	CGS European Warrants Aug 2016 A	0.252	11.500	2016/08/03	100,000,000	2014/12/11
18154	J P Morgan SP BV	China Cinda European Warrants Jul 2015 A	0.254	4.88 per unit	2015/07/03	100,000,000	2014/12/11
18152	J P Morgan SP BV	CITIC Sec European Warrants Jul 2015 A	0.252	30.880	2015/07/03	100,000,000	2014/12/11
18150	J P Morgan SP BV	Minsheng Bank European Wts Aug 2015 A	0.383	10.50 per unit	2015/08/03	80,000,000	2014/12/11
18144	J P Morgan SP BV	CSOP A50 ETF European Warrants Apr 2015 C	0.209	13.50 per unit	2015/04/02	200,000,000	2014/12/11
18148	J P Morgan SP BV	China Shenhua European Wts Jun 2015 A	0.152	27.000	2015/06/02	80,000,000	2014/12/11
18146	J P Morgan SP BV	A50 China European Warrants Apr 2015 B	0.152	13.50 per unit	2015/04/02	200,000,000	2014/12/11
18128	J P Morgan SP BV	HSI European Put Warrants Jun 2015 C	0.250	22,600 per 6,500 units	2015/06/29	500,000,000	2014/12/11
18129	J P Morgan SP BV	HSI European Put Warrants Jun 2015 D	0.250	23,600 per 8,000 units	2015/06/29	500,000,000	2014/12/11
18103	Standard Chartered Bank	BOCL European Warrants Oct 2015 A	0.250	4.60 per unit	2015/10/29	80,000,000	2014/12/11
18088	Standard Chartered Bank	CC Bank European Wts Aug 2015 A	0.150	7.11 per unit	2015/08/28	100,000,000	2014/12/11
18134	Standard Chartered Bank	CGS European Warrants Oct 2016 A	0.250	10.800	2016/10/07	60,000,000	2014/12/11
18090	Standard Chartered Bank	China Life European Wts Jun 2015 C	0.150	30.050	2015/06/02	100,000,000	2014/12/11
18091	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jun 2015 E	0.250	13.50 per unit	2015/06/10	60,000,000	2014/12/11
18092	Standard Chartered Bank	A50 China Euro Warrants Jul 2015 A	0.250	12.80 per unit	2015/07/10	60,000,000	2014/12/11
18087	Standard Chartered Bank	ICBC European Warrants Jan 2016 A	0.150	6.41 per unit	2016/01/27	80,000,000	2014/12/11
18089	Standard Chartered Bank	Ping An European Wts Jun 2015 B	0.250	85.000	2015/06/10	100,000,000	2014/12/11
18130	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Sep15B	0.250	42.880	2015/09/02	100,000,000	2014/12/11
18099	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Aug 2015 A	0.520	48.000	2015/08/05	30,000,000	2014/12/11
18132	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jul 2015A	0.250	7.50 per unit	2015/07/06	80,000,000	2014/12/11
18100	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Sep 2017 A	0.165	7.880	2017/09/04	100,000,000	2014/12/11
18131	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 15 B	0.295	90.000	2015/08/05	80,000,000	2014/12/11
18137	UBS AG	BOCL European Warrants Jan 2016 A	0.250	4.88 per unit	2016/01/12	100,000,000	2014/12/11
18138	UBS AG	CGS European Warrants May 2016 A	0.250	11.680	2016/05/16	70,000,000	2014/12/11
18139	UBS AG	CITIC Sec European Warrants Aug 2015 A	0.280	33.880	2015/08/10	70,000,000	2014/12/11
18135	UBS AG	China Life European Warrants Sep 2015 B	0.250	32.380	2015/09/14	100,000,000	2014/12/11
18136	UBS AG	China Shenhua European Warrants May 2015 A	0.178	27.030	2015/05/26	60,000,000	2014/12/11
16920 [#]	Daiwa Capital Mkt	A50 China European Put Wts Oct 2015 A	0.082	10.580	2015/10/20	210,000,000	2014/12/11
18162	BOCI Asia Ltd.	HSCEI European Warrants Jul 2015 A	0.150	12,000 per 4,000 units	2015/07/30	100,000,000	2014/12/12
18163	BOCI Asia Ltd.	HSCEI European Warrants Nov 2015 A	0.240	11,700 per 3,800 units	2015/11/27	100,000,000	2014/12/12
18164	BOCI Asia Ltd.	HSCEI European Put Warrants Jun 2015 A	0.150	10,500 per 4,000 units	2015/06/29	100,000,000	2014/12/12
18209	Credit Suisse AG	Agricultural Bank European Wts Aug 2015 B	0.250	4.38 per unit	2015/08/31	80,000,000	2014/12/12
18199	Credit Suisse AG	Angang Steel European Warrants Jun 2015 A	0.450	7.38 per unit	2015/06/11	60,000,000	2014/12/12
18205	Credit Suisse AG	BOCL European Warrants Aug 2015 B	0.250	4.98 per unit	2015/08/31	80,000,000	2014/12/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18208	Credit Suisse AG	CC Bank European Warrants Jun 2015 B	0.280	7.58 per unit	2015/06/11	80,000,000	2014/12/12
18206	Credit Suisse AG	CITIC Bank European Warrants Jun 2015 A	0.250	7.58 per unit	2015/06/29	80,000,000	2014/12/12
18200	Credit Suisse AG	China Life European Warrants Jul 2015 A	0.250	32.080	2015/07/30	80,000,000	2014/12/12
18210	Credit Suisse AG	China Mobile European Warrants Jun 2015 A	0.250	113.880	2015/06/11	80,000,000	2014/12/12
18197	Credit Suisse AG	CSOP A50 ETF European Warrants Jun 2015 B	0.490	14.38 per unit	2015/06/11	80,000,000	2014/12/12
18198	Credit Suisse AG	A50 China European Warrants Jun 2015 A	0.480	13.70 per unit	2015/06/11	80,000,000	2014/12/12
18207	Credit Suisse AG	ICBC European Warrants Jul 2015 B	0.250	6.58 per unit	2015/07/30	80,000,000	2014/12/12
18204	Credit Suisse AG	PetroChina European Warrants Jun 2015 A	0.250	11.08 per unit	2015/06/29	80,000,000	2014/12/12
18202	Credit Suisse AG	Ping An European Warrants Jun 2015 D	0.320	91.280	2015/06/11	80,000,000	2014/12/12
18217	Goldman Sachs SP (Asia)	BOCL European Warrants Oct 2015 A	0.250	4.57 per unit	2015/10/02	80,000,000	2014/12/12
18216	Goldman Sachs SP (Asia)	CC Bank European Wts Jun 2015 B	0.257	7 per unit	2015/06/30	80,000,000	2014/12/12
18179	HK Bank	CC Bank European Warrants Jul 2015 C	0.250	6.88 per unit	2015/07/31	80,000,000	2014/12/12
18175	HK Bank	China Life European Warrants Jul 2015 B	0.250	31.880	2015/07/31	80,000,000	2014/12/12
18178	HK Bank	Minsheng Bank European Warrants Jul 2015 B	0.450	10.28 per unit	2015/07/31	50,000,000	2014/12/12
18172	HK Bank	A50 China European Warrants Mar 2015 A	0.250	13.48 per unit	2015/03/31	50,000,000	2014/12/12
18170	HK Bank	Galaxy Ent European Warrants Jun 2015 C	0.350	54.380	2015/06/30	80,000,000	2014/12/12
18180	HK Bank	HSCEI European Warrants Jun 2015 A	0.150	12,538 per 4,000 units	2015/06/29	200,000,000	2014/12/12
18173	HK Bank	HSI European Warrants Jun 2015 C	0.150	24,988 per 6,000 units	2015/06/29	200,000,000	2014/12/12
18174	HK Bank	HSI European Put Warrants Apr 2015 G	0.150	23,288 per 6,000 units	2015/04/29	200,000,000	2014/12/12
18176	HK Bank	ICBC European Warrants Aug 2015 A	0.250	5.98 per unit	2015/08/31	80,000,000	2014/12/12
18171	HK Bank	Sands China European Warrants Jun 2015 C	0.250	45.380	2015/06/30	80,000,000	2014/12/12
18212	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jun 2015 A	0.150	12,600 per 4,000 units	2015/06/29	250,000,000	2014/12/12
18213	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jul 2015 B	0.151	12,000 per 4,000 units	2015/07/30	250,000,000	2014/12/12
18215	Macquarie Bank Ltd.	A50 China European Warrants Jul 2015 A	0.350	14.50 per unit	2015/07/03	30,000,000	2014/12/12
18214	Macquarie Bank Ltd.	Ping An European Warrants Jul 2015 B	0.320	82.500	2015/07/03	32,000,000	2014/12/12
18166	Nomura Int'l plc	CITIC Bank European Warrants Jul 2015 A	0.260	6.98 per unit	2015/07/30	50,000,000	2014/12/12
18168	Nomura Int'l plc	CITIC Sec European Warrants Jul 2015 A	0.395	28.000	2015/07/30	50,000,000	2014/12/12
18165	Nomura Int'l plc	Geely Auto European Warrants Sep 2015 A	0.330	3.38 per unit	2015/09/30	80,000,000	2014/12/12
18169	Nomura Int'l plc	Haitong Sec European Warrants Jul 2015 A	0.275	19.000	2015/07/30	50,000,000	2014/12/12
18167	Nomura Int'l plc	NCI European Warrants Jun 2015 A	0.252	43.880	2015/06/30	50,000,000	2014/12/12
18193	Standard Chartered Bank	Agricultural Bk Eur Wts Nov 2015 A	0.150	4.51 per unit	2015/11/30	80,000,000	2014/12/12
18157	Standard Chartered Bank	CAM CSI300 European Wts Jul 2015 A	0.250	45.000	2015/07/02	60,000,000	2014/12/12
18190	Standard Chartered Bank	CC Bank European Wts Sep 2015 A	0.250	6.60 per unit	2015/09/01	80,000,000	2014/12/12
18161	Standard Chartered Bank	HSCEI European Put Wts Jun 2015 B	0.250	11,300 per 4,000 units	2015/06/29	100,000,000	2014/12/12
18191	Standard Chartered Bank	KECL European Warrants Dec 2016 A	0.150	8.080	2016/12/12	100,000,000	2014/12/12
18158	Standard Chartered Bank	Ping An European Wts Mar 2015 C	0.250	82.050	2015/03/27	80,000,000	2014/12/12
18159	Standard Chartered Bank	Ping An Euro Put Wts Jun 2015 C	0.400	66.880	2015/06/11	80,000,000	2014/12/12
18194	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Aug 2015 B	0.250	4.50 per unit	2015/08/05	100,000,000	2014/12/12
18184	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jan 2016 A	0.250	8 per unit	2016/01/06	80,000,000	2014/12/12
18195	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug15 A	0.580	10.88 per unit	2015/08/05	40,000,000	2014/12/12
18181	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Aug15A	0.325	15.50 per unit	2015/08/05	70,000,000	2014/12/12
18182	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug 2015A	0.335	15 per unit	2015/08/05	70,000,000	2014/12/12
18183	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Put Wt Jul15C	0.580	10 per unit	2015/07/06	70,000,000	2014/12/12

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18196	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2015 B	0.250	82.000	2015/09/02	100,000,000	2014/12/12
18185	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Sep 2015 A	0.250	6.50 per unit	2015/09/02	80,000,000	2014/12/12
18156	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Jul 2015B	0.250	10.50 per unit	2015/07/06	60,000,000	2014/12/12
18186	UBS AG	CC Bank European Warrants Jul 2015 B	0.250	7.68 per unit	2015/07/31	100,000,000	2014/12/12
18187	UBS AG	CSOP A50 ETF European Put Warrants May 2016 A	0.250	11.880	2016/05/30	100,000,000	2014/12/12
18189	UBS AG	Haitong Sec European Warrants Sep 2015 A	0.250	26.880	2015/09/21	70,000,000	2014/12/12
18188	UBS AG	Ping An European Warrants Jul 2015 A	0.500	84.880	2015/07/13	100,000,000	2014/12/12
13298 [#]	HK Bank	Ping An European Warrants Oct 2016 A	0.118	78.05 per 100 units	2016/10/21	230,000,000	2014/12/12
16128 [#]	Goldman Sachs SP (Asia)	Ping An European Wts Apr 2015 A	0.400	82.050	2015/04/02	80,000,000	2014/12/12
16635 [#]	J P Morgan SP BV	Sinopec Corp European Warrants Dec 2015 A	0.074	6.538 per 8 units	2015/12/30	200,000,000	2014/12/12
22888 [#]	UBS AG	A50 China European Warrants Aug 2016 A	0.079	15.020	2016/08/01	300,000,000	2014/12/12
18222	BOCI Asia Ltd.	CC Bank European Warrants May 2015 A	0.260	6.80 per unit	2015/05/11	100,000,000	2014/12/15
18219	BOCI Asia Ltd.	CITIC Sec European Warrants Jul 2015 A	0.270	32 per units	2015/07/20	100,000,000	2014/12/15
18220	BOCI Asia Ltd.	Minsheng Bank European Warrants Jun 2015 A	0.430	11.28 per unit	2015/06/29	100,000,000	2014/12/15
18218	BOCI Asia Ltd.	Haitong Sec European Warrants Aug 2015 B	0.260	22 per units	2015/08/24	100,000,000	2014/12/15
18221	BOCI Asia Ltd.	ICBC European Warrants Aug 2015 A	0.280	5.89 per unit	2015/08/17	100,000,000	2014/12/15
18224	Credit Suisse AG	Zijin Mining European Warrants Aug 2015 A	0.150	2.49 per unit	2015/08/10	70,000,000	2014/12/15
18236	Daiwa Capital Mkt	Agricultural Bank European Wts Jul 2015 B	0.250	4.48 per unit	2015/07/20	108,000,000	2014/12/15
18232	Daiwa Capital Mkt	BOCL European Warrants Sep 2015 A	0.250	5.08 per unit	2015/09/08	108,000,000	2014/12/15
18229	Daiwa Capital Mkt	CCB European Warrants Jun 2015 A	0.350	7.18 per unit	2015/06/15	128,000,000	2014/12/15
18231	Daiwa Capital Mkt	CCB European Warrants Aug 2015 A	0.250	8.08 per unit	2015/08/19	128,000,000	2014/12/15
18233	Daiwa Capital Mkt	China Life European Warrants Aug 2015 A	0.250	32.88 per units	2015/08/14	158,000,000	2014/12/15
18237	Daiwa Capital Mkt	CNOOC European Put Warrants Dec 2015 A	0.250	11 per units	2015/12/15	80,000,000	2014/12/15
18228	Daiwa Capital Mkt	A50 China European Warrants Dec 2015 A	0.250	10.38 per units	2015/12/08	168,000,000	2014/12/15
18287	Bank of East Asia	CGS European Warrants Dec 2015 B	0.250	12 per units	2015/12/31	60,000,000	2014/12/15
18225	Bank of East Asia	Minsheng Bank European Warrants Dec 16 A	0.250	7.88 per units	2016/12/28	200,000,000	2014/12/15
18226	Bank of East Asia	A50 China European Warrants Nov 2016 A	0.250	10.88 per units	2016/11/28	150,000,000	2014/12/15
18286	Bank of East Asia	Haitong Sec European Warrants Jul 2015 A	0.250	26 per units	2015/07/30	40,000,000	2014/12/15
18257	Goldman Sachs SP (Asia)	AIA European Warrants Jun 2015 B	0.268	45.88 per units	2015/06/30	80,000,000	2014/12/15
18258	Goldman Sachs SP (Asia)	AIA European Warrants Dec 2015 A	0.255	55 per units	2015/12/30	80,000,000	2014/12/15
18256	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jun 2015 A	0.456	103 per units	2015/06/30	80,000,000	2014/12/15
18261	Goldman Sachs SP (Asia)	Ping An European Wts Jun 2015 B	0.705	78.88 per units	2015/06/30	80,000,000	2014/12/15
18262	Goldman Sachs SP (Asia)	Ping An European Wts Jun 2015 C	0.410	88.88 per units	2015/06/30	80,000,000	2014/12/15
18259	Goldman Sachs SP (Asia)	Sands China Euro Wts Jun 2015 A	0.256	45.88 per units	2015/06/30	80,000,000	2014/12/15
18260	Goldman Sachs SP (Asia)	Sands China Euro Wts Jun 2015 B	0.396	40.88 per units	2015/06/30	80,000,000	2014/12/15
18278	J P Morgan SP BV	Agricultural Bk Euro Warrants Sep 2015 A	0.251	4.28 per unit	2015/09/02	100,000,000	2014/12/15
18280	J P Morgan SP BV	BOCL European Warrants Jul 2015 A	0.252	4.78 per unit	2015/07/03	100,000,000	2014/12/15
18281	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Aug 2015 A	0.257	7.18 per unit	2015/08/04	100,000,000	2014/12/15
18283	J P Morgan SP BV	CM Bank European Warrants Aug 2016 A	0.250	18.50 per units	2016/08/02	100,000,000	2014/12/15
18274	J P Morgan SP BV	CSOP A50 ETF European Warrants Jul 2015 C	0.254	14.88 per unit	2015/07/02	100,000,000	2014/12/15
18275	J P Morgan SP BV	CSOP A50 ETF Euro Put Wts Jul 2015 D	0.269	11 per unit	2015/07/02	100,000,000	2014/12/15
18276	J P Morgan SP BV	A50 China European Warrants Jul 2015 B	0.252	14.28 per unit	2015/07/02	100,000,000	2014/12/15
18282	J P Morgan SP BV	HSI European Put Warrants Mar 2015 F	0.150	23,800 per 7,500 units	2015/03/30	500,000,000	2014/12/15

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18277	J P Morgan SP BV	Haitong Sec European Warrants Sep 2015 A	0.255	27 per units	2015/09/02	100,000,000	2014/12/15
18279	J P Morgan SP BV	ICBC European Warrants Jul 2015 B	0.253	6.28 per unit	2015/07/03	100,000,000	2014/12/15
18273	J P Morgan SP BV	Kingsoft European Warrants Aug 2015 A	0.250	18.50 per units	2015/08/04	150,000,000	2014/12/15
18272	J P Morgan SP BV	Ping An European Warrants Jul 2015 A	0.251	88.88 per units	2015/07/02	150,000,000	2014/12/15
18284	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Aug 2015 A	0.250	4.38 per unit	2015/08/04	40,000,000	2014/12/15
18243	Macquarie Bank Ltd.	BOCL European Warrants Jan 2016 A	0.250	5 per unit	2016/01/05	40,000,000	2014/12/15
18285	Macquarie Bank Ltd.	CC Bank European Warrants Aug 2015 A	0.250	7.75 per unit	2015/08/04	40,000,000	2014/12/15
18238	Macquarie Bank Ltd.	China Life European Wts Aug 2015 A	0.250	32 per units	2015/08/04	40,000,000	2014/12/15
18247	Macquarie Bank Ltd.	CPIC European Warrants Jul 2015 B	0.250	40.28 per units	2015/07/03	40,000,000	2014/12/15
18244	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Jul 2015 A	0.334	16 per unit	2015/07/03	30,000,000	2014/12/15
18245	Macquarie Bank Ltd.	A50 China European Warrants Jul 2015 B	0.291	15.50 per unit	2015/07/03	35,000,000	2014/12/15
18242	Macquarie Bank Ltd.	Galaxy Ent European Wts Jul 2015 A	0.250	62.50 per units	2015/07/03	40,000,000	2014/12/15
18241	Macquarie Bank Ltd.	NCI European Warrants Aug 2015 A	0.250	46 per units	2015/08/04	40,000,000	2014/12/15
18246	Macquarie Bank Ltd.	PICC P&C European Warrants May 2016 A	0.250	16.88 per units	2016/05/04	40,000,000	2014/12/15
18227	Standard Chartered Bank	Haitong Sec Euro Wts Aug 2015 B	0.250	23.88 per units	2015/08/31	60,000,000	2014/12/15
18271	Standard Chartered Bank	Ping An European Wts Oct 2016 A	0.150	78.05 per units	2016/10/21	200,000,000	2014/12/15
18255	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Sep 2015 A	0.250	5.08 per unit	2015/09/02	100,000,000	2014/12/15
18253	SGA Societe Generale Acceptance N.V.	Ch Cinda Eu Wt Jul15A	0.275	5.38 per unit	2015/07/06	40,000,000	2014/12/15
18252	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Jul 15 B	0.335	7.28 per unit	2015/07/06	30,000,000	2014/12/15
18251	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2015 B	0.250	33.88 per units	2015/12/02	150,000,000	2014/12/15
18250	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Dec 2016 A	0.250	22 per units	2016/12/05	100,000,000	2014/12/15
18249	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug15 B	0.340	12.50 per unit	2015/08/05	40,000,000	2014/12/15
18223	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Jul15C	0.165	175 per units	2015/07/06	300,000,000	2014/12/15
18248	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Nov 16 A	0.150	78 per units	2016/11/02	600,000,000	2014/12/15
18264	UBS AG	CAM CSI300 European Warrants Oct 2015 A	0.250	50.88 per units	2015/10/12	40,000,000	2014/12/15
18266	UBS AG	CITIC Sec European Warrants Sep 2015 A	0.250	48.88 per units	2015/09/08	70,000,000	2014/12/15
18269	UBS AG	CSOP A50 ETF European Warrants Jun 2015 C	0.330	16.88 per unit	2015/06/15	80,000,000	2014/12/15
18268	UBS AG	A50 China European Warrants Jun 2015 B	0.250	16.88 per unit	2015/06/15	80,000,000	2014/12/15
18263	UBS AG	Ping An European Warrants Jul 2015 B	0.380	98.88 per units	2015/07/27	100,000,000	2014/12/15
18270	UBS AG	Ping An European Warrants Oct 2016 A	0.150	78.05 per units	2016/10/21	300,000,000	2014/12/15
18303	BOCI Asia Ltd.	BOCL European Warrants Sep 2015 A	0.340	4.49 per unit	2015/09/29	100,000,000	2014/12/16
18300	BOCI Asia Ltd.	CC Bank European Warrants Aug 2015 A	0.340	7.10 per unit	2015/08/31	100,000,000	2014/12/16
18299	BOCI Asia Ltd.	China Life European Warrants Dec 2015 A	0.280	32.000	2015/12/14	100,000,000	2014/12/16
18302	BOCI Asia Ltd.	CM Bank European Warrants Sep 2016 A	0.310	17.500	2016/09/27	100,000,000	2014/12/16
18301	BOCI Asia Ltd.	ICBC European Warrants Jan 2016 A	0.390	6.40 per unit	2016/01/27	100,000,000	2014/12/16
18304	BOCI Asia Ltd.	KECL European Warrants Dec 2016 A	0.150	8.090	2016/12/12	100,000,000	2014/12/16
18297	BOCI Asia Ltd.	Ping An European Warrants Jul 2015 A	0.460	88.880	2015/07/07	100,000,000	2014/12/16
18296	BOCI Asia Ltd.	Ping An European Warrants Nov 2016 A	0.150	78 per 100 units	2016/11/04	100,000,000	2014/12/16
18298	BOCI Asia Ltd.	Ping An European Put Warrants Jun 2015 C	0.530	68.880	2015/06/29	100,000,000	2014/12/16
18328	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Aug 2015 A	0.310	8.40 per unit	2015/08/04	60,000,000	2014/12/16
18329	BNP Paribas Arbit Issu B.V.	CM Bank Euro Warrants Dec 2016 B	0.250	20.000	2016/12/02	80,000,000	2014/12/16
18327	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Aug 2015 A	0.250	115.000	2015/08/04	100,000,000	2014/12/16
18331	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Dec 2015 A	0.250	12,800 per 3,800 units	2015/12/30	100,000,000	2014/12/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18337	Credit Suisse AG	CC Bank European Put Warrants Jun 2015 C	0.470	5.80 per unit	2015/06/15	70,000,000	2014/12/16
18339	Credit Suisse AG	CITIC Sec European Warrants Jul 2015 B	0.440	40.880	2015/07/13	80,000,000	2014/12/16
18335	Credit Suisse AG	Sinopec Corp European Warrants Jun 2015 B	0.250	7.48 per unit	2015/06/15	80,000,000	2014/12/16
18341	Credit Suisse AG	A50 China European Warrants Jun 2015 B	0.530	14.88 per unit	2015/06/29	80,000,000	2014/12/16
18340	Credit Suisse AG	Haitong Sec European Warrants Jul 2015 A	0.250	28.880	2015/07/30	80,000,000	2014/12/16
18342	Credit Suisse AG	NCI European Warrants Jul 2015 A	0.250	44.880	2015/07/13	70,000,000	2014/12/16
18334	Credit Suisse AG	PetroChina European Warrants Jun 2015 B	0.220	9.81 per unit	2015/06/08	80,000,000	2014/12/16
18338	Credit Suisse AG	PICC European Warrants Dec 2015 A	0.250	16.780	2015/12/28	70,000,000	2014/12/16
18336	Credit Suisse AG	PICC Group European Warrants Jul 2015 A	0.250	4.48 per unit	2015/07/30	70,000,000	2014/12/16
18332	Credit Suisse AG	Tencent Holdings European Wts Apr 2015 C	0.440	132.900	2015/04/28	100,000,000	2014/12/16
18333	Credit Suisse AG	Tencent Holdings European Wts Jun 2016 A	0.180	121 per 100 units	2016/06/29	100,000,000	2014/12/16
18293	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Aug 2015 A	0.251	50.000	2015/08/27	80,000,000	2014/12/16
18294	Goldman Sachs SP (Asia)	CAM CSI300 Euro Put Wts Jun 2015 A	0.337	38.880	2015/06/30	80,000,000	2014/12/16
18288	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jun 2015 B	0.553	15 per unit	2015/06/30	80,000,000	2014/12/16
18289	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Dec 2016 B	0.251	12.980	2016/12/30	80,000,000	2014/12/16
18290	Goldman Sachs SP (Asia)	CSOP A50 ETF Eu Put Wts Dec 2016 C	0.251	11.580	2016/12/30	80,000,000	2014/12/16
18291	Goldman Sachs SP (Asia)	A50 China European Wts Jun 2015 B	0.344	16 per unit	2015/06/30	80,000,000	2014/12/16
18295	Goldman Sachs SP (Asia)	A50 China European Wts Dec 2016 D	0.256	12.380	2016/12/30	80,000,000	2014/12/16
18292	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Dec 2016 C	0.250	11.880	2016/12/30	80,000,000	2014/12/16
18306	HK Bank	BOCL European Warrants Aug 2015 C	0.250	4.68 per unit	2015/08/31	80,000,000	2014/12/16
18308	HK Bank	CNOOC European Warrants Feb 2016 A	0.150	10.900	2016/02/22	150,000,000	2014/12/16
18316	HK Bank	CSOP A50 ETF European Warrants Jun 2015 C	0.650	13.68 per unit	2015/06/30	60,000,000	2014/12/16
18307	HK Bank	HWL European Warrants Jun 2015 A	0.250	98.880	2015/06/30	60,000,000	2014/12/16
18310	J P Morgan SP BV	China Life European Warrants Jul 2015 A	0.251	31.500	2015/07/03	100,000,000	2014/12/16
18347	J P Morgan SP BV	HSI European Warrants Jun 2015 E	0.150	23,800 per 8,800 units	2015/06/29	500,000,000	2014/12/16
18311	J P Morgan SP BV	HSI European Warrants Jul 2015 A	0.250	25,200 per 6,400 units	2015/07/30	600,000,000	2014/12/16
18312	J P Morgan SP BV	HSI European Warrants Jul 2015 B	0.251	24,600 per 7,200 units	2015/07/30	600,000,000	2014/12/16
18309	J P Morgan SP BV	Ping An European Warrants Nov 2016 A	0.151	78 per 100 units	2016/11/01	300,000,000	2014/12/16
18317	Macquarie Bank Ltd.	China Life European Wts Jun 2015 B	0.182	30.000	2015/06/02	55,000,000	2014/12/16
18322	Macquarie Bank Ltd.	Fosun Intl European Warrants Oct 2016A	0.250	12.250	2016/10/04	40,000,000	2014/12/16
18346	Macquarie Bank Ltd.	Sands China European Wts Jul 2015 A	0.250	47.000	2015/07/03	40,000,000	2014/12/16
18305	Macquarie Bank Ltd.	Singyes Solar European Wts Oct 2015 A	0.250	12.880	2015/10/16	40,000,000	2014/12/16
18344	Macquarie Bank Ltd.	Zoomlion European Warrants Jul 2015 A	0.340	7.50 per unit	2015/07/03	30,000,000	2014/12/16
18352	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jan 2017 A	0.250	12.800	2017/01/03	150,000,000	2014/12/16
18353	Standard Chartered Bank	CSOP A50 ETF Eu Put Wts Mar 2017 A	0.250	11.280	2017/03/31	150,000,000	2014/12/16
18354	Standard Chartered Bank	A50 China Euro Warrants Mar 2017 A	0.255	11.880	2017/03/31	150,000,000	2014/12/16
18321	Standard Chartered Bank	HKEx European Warrants Nov 2015 D	0.150	200 per 100 units	2015/11/27	100,000,000	2014/12/16
18350	Standard Chartered Bank	HKEx European Put Wts Aug 2015 B	0.240	188 per 100 units	2015/08/31	80,000,000	2014/12/16
18351	Standard Chartered Bank	HSCEI European Warrants Jul 2015 B	0.170	12,000 per 4,000 units	2015/07/30	100,000,000	2014/12/16
18318	Standard Chartered Bank	HSCEI European Warrants Sep 2015 A	0.250	13,000 per 4,000 units	2015/09/29	100,000,000	2014/12/16
18319	Standard Chartered Bank	ICBC European Warrants Sep 2015 B	0.250	6 per unit	2015/09/02	80,000,000	2014/12/16
18320	Standard Chartered Bank	Jiangxi Copper Euro Wts Apr 2016 A	0.150	16.820	2016/04/28	80,000,000	2014/12/16
18348	Standard Chartered Bank	Ping An European Wts Jul 2015 A	0.250	90.000	2015/07/07	80,000,000	2014/12/16

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18349	Standard Chartered Bank	Sands China Euro Wts Jul 2015 A	0.250	41.880	2015/07/06	100,000,000	2014/12/16
18313	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Aug 2015 A	0.250	4.28 per unit	2015/08/05	100,000,000	2014/12/16
18323	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2015 A	0.335	6.99 per unit	2015/06/03	80,000,000	2014/12/16
18314	SGA Societe Generale Acceptance N.V.	Ch Oilfield Eu Wt Aug15A	0.250	13.800	2015/08/05	100,000,000	2014/12/16
18315	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Mar 2016 A	0.150	228.88 per 100 units	2016/03/02	300,000,000	2014/12/16
18325	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jun 15 D	0.495	84.880	2015/06/03	80,000,000	2014/12/16
18326	UBS AG	CNOOC European Warrants Dec 2015 A	0.156	9.490	2015/12/23	100,000,000	2014/12/16
18358	BOCI Asia Ltd.	CNOOC European Warrants Feb 2016 A	0.150	10.900	2016/02/22	100,000,000	2014/12/17
18355	BOCI Asia Ltd.	HKEx European Warrants Jun 2016 A	0.220	188 per 100 units	2016/06/27	100,000,000	2014/12/17
18357	BOCI Asia Ltd.	PetroChina European Warrants Jul 2015 A	0.400	9.25 per unit	2015/07/07	100,000,000	2014/12/17
18359	BOCI Asia Ltd.	SHK Ppt European Warrants May 2015 B	0.350	118.880	2015/05/08	100,000,000	2014/12/17
18356	BOCI Asia Ltd.	Tencent Holdings European Wts Dec 2015 B	0.150	123 per 100 units	2015/12/21	100,000,000	2014/12/17
18372	Daiwa Capital Mkt	CSOP A50 ETF European Wts Dec 2015 C	0.250	12.180	2015/12/15	158,000,000	2014/12/17
18373	Daiwa Capital Mkt	A50 China European Warrants Dec 2015 B	0.260	11.980	2015/12/01	158,000,000	2014/12/17
18362	HK Bank	CITIC Sec European Warrants Aug 2015 A	0.250	34.880	2015/08/31	100,000,000	2014/12/17
18360	HK Bank	Haitong Sec European Warrants Aug 2015 B	0.250	22.880	2015/08/31	100,000,000	2014/12/17
18377	J P Morgan SP BV	BYD European Warrants Jul 2015 A	0.253	45.000	2015/07/03	100,000,000	2014/12/17
18376	J P Morgan SP BV	Ch Comm Cons European Warrants Jul 2015 B	0.327	9.68 per unit	2015/07/03	60,000,000	2014/12/17
18374	J P Morgan SP BV	Haitong Sec European Warrants Jul 2015 A	0.255	23.880	2015/07/03	100,000,000	2014/12/17
18375	J P Morgan SP BV	Wynn Macau European Warrants Oct 2015 A	0.250	24.500	2015/10/05	80,000,000	2014/12/17
18364	Macquarie Bank Ltd.	Sinopec Corp European Wts Jul 2015 B	0.500	6.25 per unit	2015/07/03	20,000,000	2014/12/17
18366	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2015 C	0.150	112.98 per 100 units	2015/09/21	100,000,000	2014/12/17
18365	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jun 2015 C	0.460	100.000	2015/06/16	100,000,000	2014/12/17
18367	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jun 2015B	0.800	12.38 per unit	2015/06/03	70,000,000	2014/12/17
18363	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Aug15A	0.355	2.10 per unit	2015/08/05	50,000,000	2014/12/17
18369	UBS AG	Minsheng Bank European Warrants Jul 2015 A	0.440	11.38 per unit	2015/07/27	40,000,000	2014/12/17
18368	UBS AG	CSOP A50 ETF European Warrants Jun 2016 A	0.250	13.080	2016/06/20	300,000,000	2014/12/17
18424	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Mar 2016 A	0.150	10.880	2016/03/02	80,000,000	2014/12/18
18427	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Aug 2016 B	0.250	13.200	2016/08/02	100,000,000	2014/12/18
18425	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Nov 2016 A	0.250	12.650	2016/11/02	100,000,000	2014/12/18
18422	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Jul 2016 A	0.190	120.90 per 100 units	2016/07/05	100,000,000	2014/12/18
18423	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Dec 2016 A	0.250	108 per 100 units	2016/12/02	80,000,000	2014/12/18
18408	Credit Suisse AG	CNOOC European Warrants Feb 2016 A	0.150	10.900	2016/02/22	100,000,000	2014/12/18
18406	Credit Suisse AG	CSOP A50 ETF European Warrants Nov 2015 A	0.180	11.200	2015/11/02	150,000,000	2014/12/18
18389	Credit Suisse AG	HSI European Warrants May 2015 A	0.190	23,600 per 6,000 units	2015/05/28	128,000,000	2014/12/18
18390	Credit Suisse AG	HSI European Warrants Jun 2015 A	0.250	24,400 per 6,800 units	2015/06/29	128,000,000	2014/12/18
18392	Credit Suisse AG	HSI European Warrants Jun 2015 B	0.250	25,000 per 6,000 units	2015/06/29	128,000,000	2014/12/18
18403	Credit Suisse AG	HSI European Put Warrants Apr 2015 E	0.150	22,600 per 6,000 units	2015/04/29	128,000,000	2014/12/18
18405	Credit Suisse AG	HSI European Put Warrants Jun 2015 C	0.250	23,200 per 7,000 units	2015/06/29	128,000,000	2014/12/18
18409	Credit Suisse AG	Kingsoft European Warrants May 2015 A	0.150	17.900	2015/05/08	70,000,000	2014/12/18
18410	Credit Suisse AG	PetroChina European Warrants Jun 2015 C	0.470	8.98 per unit	2015/06/17	80,000,000	2014/12/18
18428	Credit Suisse AG	Ping An European Warrants Oct 2016 A	0.150	77.98 per 100 units	2016/10/28	150,000,000	2014/12/18
18407	Credit Suisse AG	Tencent Holdings European Wts Aug 2015 B	0.160	108.90 per 100 units	2015/08/24	150,000,000	2014/12/18

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18413	Daiwa Capital Mkt	CCCCL European Warrants Oct 2015 A	0.380	12 per unit	2015/10/02	68,000,000	2014/12/18
18414	Daiwa Capital Mkt	Hutchison European Warrants Aug 2015 A	0.450	99.880	2015/08/03	80,000,000	2014/12/18
18415	Daiwa Capital Mkt	Hutchison European Put Wts Dec 2015 A	0.250	105 per 100 units	2015/12/18	108,000,000	2014/12/18
18411	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Dec 2015 A	0.250	118.98 per 100 units	2015/12/18	98,000,000	2014/12/18
18436	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jun 2015 B	0.608	93.880	2015/06/30	80,000,000	2014/12/18
18431	Goldman Sachs SP (Asia)	Ch Oilfield European Wts Dec 2015A	0.252	13.280	2015/12/30	60,000,000	2014/12/18
18432	Goldman Sachs SP (Asia)	Cathay Pacific Euro Wts Dec 2015 A	0.252	19.280	2015/12/30	60,000,000	2014/12/18
18435	Goldman Sachs SP (Asia)	China Tel European Wts Jun 2015 A	0.275	5 per unit	2015/06/30	80,000,000	2014/12/18
18430	Goldman Sachs Sp (Asia)	ICBC European Warrants Jun 2015 A	0.254	6 per unit	2015/06/30	60,000,000	2014/12/18
18433	Goldman Sachs SP (Asia)	Tencent European Wt Aug 2015 A	0.150	108.88 per 100 units	2015/08/31	150,000,000	2014/12/18
18439	Goldman Sachs SP (Asia)	China Vanke European Wts Aug 2015A	0.250	18.600	2015/08/31	60,000,000	2014/12/18
18387	HK Bank	CITIC Bank European Warrants Jul 2015 A	0.300	6.38 per unit	2015/07/31	50,000,000	2014/12/18
18384	HK Bank	Minsheng Bank European Warrants Jul 2015 C	0.400	10.98 per unit	2015/07/31	50,000,000	2014/12/18
18382	HK Bank	Ping An European Warrants Jun 2015 C	0.350	84.930	2015/06/01	80,000,000	2014/12/18
18383	HK Bank	Ping An European Put Warrants Jun 2015 D	0.400	65.880	2015/06/17	60,000,000	2014/12/18
18381	HK Bank	Tencent European Warrants Jun 2016 A	0.160	121.10 per 100 units	2016/06/28	180,000,000	2014/12/18
18385	HK Bank	Yanzhou Coal European Warrants Jun 2015 A	0.350	7.88 per unit	2015/06/30	50,000,000	2014/12/18
18379	J P Morgan SP BV	China Tel European Warrants Aug 2015 A	0.250	5.20 per unit	2015/08/04	80,000,000	2014/12/18
18378	J P Morgan SP BV	SJM Holdings European Warrants Nov 2016 A	0.253	15.000	2016/11/02	80,000,000	2014/12/18
18440	J P Morgan SP BV	Tencent European Warrants May 2015 A	0.261	132.880	2015/05/04	100,000,000	2014/12/18
18420	Macquarie Bank Ltd.	Air China European Warrants Oct 2015 A	0.500	9.80 per unit	2015/10/05	20,000,000	2014/12/18
18402	Macquarie Bank Ltd.	BYD European Warrants Aug 2015 A	0.500	45.880	2015/08/04	20,000,000	2014/12/18
18419	Macquarie Bank Ltd.	Ch Comm Cons European Wts Oct 2015 A	0.400	14 per unit	2015/10/05	25,000,000	2014/12/18
18418	Macquarie Bank Ltd.	China COSCO European Wts Dec 2015 A	0.308	6 per unit	2015/12/02	33,000,000	2014/12/18
18401	Macquarie Bank Ltd.	Hanergy TFP European Warrants Sep 15 A	0.455	3.58 per unit	2015/09/16	22,000,000	2014/12/18
18416	Standard Chartered Bank	Agricultural Bk Eur Wts Dec 2015 A	0.250	4.18 per unit	2015/12/30	60,000,000	2014/12/18
18397	Standard Chartered Bank	Geely Auto European Wts Aug 2015 A	0.250	3.38 per unit	2015/08/19	40,000,000	2014/12/18
18398	Standard Chartered Bank	HSI European Warrants Jul 2015 C	0.250	24,200 per 6,000 units	2015/07/30	100,000,000	2014/12/18
18399	Standard Chartered Bank	HSI European Put Wts Jul 2015 D	0.300	23,000 per 6,000 units	2015/07/30	100,000,000	2014/12/18
18394	Standard Chartered Bank	MGM China European Wts Aug 2015 A	0.250	22.820	2015/08/05	40,000,000	2014/12/18
18396	Standard Chartered Bank	Wynn Macau European Wts Aug 2015 A	0.250	22.880	2015/08/12	40,000,000	2014/12/18
18388	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jan 2016B	0.160	9.480	2016/01/04	300,000,000	2014/12/18
18417	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jun15B	0.840	13.50 per unit	2015/06/03	70,000,000	2014/12/18
18380	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Sep 15 B	0.165	108.88 per 100 units	2015/09/02	500,000,000	2014/12/18
18429	UBS AG	Kingsoft European Warrants Jun 2015 B	0.250	16.680	2015/06/29	100,000,000	2014/12/18
18450	BOCI Asia Ltd.	China Cinda European Warrants Jul 2015 A	0.230	4.58 per unit	2015/07/13	100,000,000	2014/12/19
18451	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Oct 2015A	0.240	2.60 per unit	2015/10/05	100,000,000	2014/12/19
18453	BOCI Asia Ltd.	Galaxy Ent European Warrants Jul 2015 A	0.330	53.880	2015/07/08	100,000,000	2014/12/19
18448	BOCI Asia Ltd.	HSBC European Warrants Sep 2015 A	0.250	80.000	2015/09/07	100,000,000	2014/12/19
18452	BOCI Asia Ltd.	Sands China European Warrants Jul 2015 A	0.270	45.000	2015/07/13	100,000,000	2014/12/19
18454	Goldman Sachs SP (Asia)	CNOOC European Warrants Dec 2015 A	0.155	9.480	2015/12/31	120,000,000	2014/12/19
18446	HK Bank	Cheung Kong European Warrants Jun 2015 A	0.300	144.880	2015/06/30	60,000,000	2014/12/19
18441	HK Bank	CNOOC European Warrants Dec 2015 A	0.150	9.490	2015/12/23	150,000,000	2014/12/19

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18461	HK Bank	CSOP A50 ETF European Warrants Mar 2016 A	0.250	11.980	2016/03/31	180,000,000	2014/12/19
18445	HK Bank	China Shenhua European Warrants Sep 2015 B	0.250	23.880	2015/09/30	80,000,000	2014/12/19
18443	HK Bank	China Tel European Warrants Jun 2015 A	0.250	5.18 per unit	2015/06/30	60,000,000	2014/12/19
18447	HK Bank	Dongfeng Motor European Warrants Nov 2016 A	0.160	12.820	2016/11/25	80,000,000	2014/12/19
18460	HK Bank	A50 China European Warrants Mar 2016 B	0.250	11.780	2016/03/31	180,000,000	2014/12/19
18469	J P Morgan SP BV	CAM CSI300 European Put Warrants Jul 15 A	0.251	36.200	2015/07/03	100,000,000	2014/12/19
18468	Macquarie Bank Ltd.	CNOOC European Warrants Sep 2015 A	0.668	11.48 per unit	2015/09/02	15,000,000	2014/12/19
18467	Macquarie Bank Ltd.	Kingsoft European Warrants Sep 2015 A	0.286	15.080	2015/09/02	35,000,000	2014/12/19
18459	Standard Chartered Bank	Ch Comm Cons Eur Wts Jun 2015 B	0.500	9.99 per unit	2015/06/01	50,000,000	2014/12/19
18462	Standard Chartered Bank	HSCEI European Warrants Jun 2015 C	0.250	11,100 per 4,000 units	2015/06/29	100,000,000	2014/12/19
18466	Standard Chartered Bank	Kingsoft European Wts Aug 2015 A	0.250	16.000	2015/08/05	100,000,000	2014/12/19
18458	Standard Chartered Bank	SJM Hold European Wts Apr 2016 A	0.250	15.000	2016/04/29	60,000,000	2014/12/19
18463	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2015 B	0.150	123.10 per 100 units	2015/12/18	200,000,000	2014/12/19
18464	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2016 A	0.150	149.90 per 100 units	2016/12/19	200,000,000	2014/12/19
18457	Standard Chartered Bank	Xinyi Glass Euro Wts Oct 2015 A	0.250	5 per unit	2015/10/02	40,000,000	2014/12/19
18455	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 15 A	0.450	105.000	2015/07/06	100,000,000	2014/12/19
11332 [#]	J P Morgan SP BV	HSBC European Warrants Mar 2016	0.027	78 per 100 units	2016/03/30	500,000,000	2014/12/19
29410 [#]	Standard Chartered Bank	Tencent Hldg Euro Wts Oct 2015 A	0.066	128.98 per 100 units	2015/10/26	200,000,000	2014/12/19
18491	BNP Paribas Arbit Issu B.V.	Chi Res Land Eu Wt Mar 2016 A	0.250	24.000	2016/03/02	60,000,000	2014/12/22
18492	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Aug 2015 A	0.250	4 per unit	2015/08/04	40,000,000	2014/12/22
18489	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2015 C	0.250	84.000	2015/12/02	100,000,000	2014/12/22
18490	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2015 B	0.250	102.800	2015/07/03	80,000,000	2014/12/22
18506	Goldman Sachs SP (Asia)	HSI European Warrants Apr 2015 D	0.152	23,588 per 6,500 units	2015/04/29	150,000,000	2014/12/22
18507	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2015 A	0.250	21,300 per 6,000 units	2015/09/29	150,000,000	2014/12/22
18477	HK Bank	Ch Comm Cons European Warrants Aug 2015 A	0.400	10.48 per unit	2015/08/31	50,000,000	2014/12/22
18476	HK Bank	China Railway European Warrants Aug 2015 A	0.350	6.98 per unit	2015/08/31	50,000,000	2014/12/22
18479	HK Bank	A50 China European Warrants Apr 2015 C	0.660	11.52 per unit	2015/04/02	50,000,000	2014/12/22
18480	HK Bank	A50 China European Warrants Apr 2015 D	0.950	10.90 per unit	2015/04/02	50,000,000	2014/12/22
18475	HK Bank	HKEx European Warrants Aug 2015 A	0.150	177.78 per 100 units	2015/08/12	180,000,000	2014/12/22
18478	HK Bank	Yanzhou Coal European Warrants Jun 2015 B	0.400	7.38 per unit	2015/06/30	50,000,000	2014/12/22
18486	J P Morgan SP BV	China Mob European Wts May 2015 C	0.473	92.880	2015/05/04	200,000,000	2014/12/22
18501	J P Morgan SP BV	China Railway European Wts Sep 2015 A	0.438	7.50 per unit	2015/09/01	40,000,000	2014/12/22
18505	J P Morgan SP BV	CSOP A50 ETF European Warrants Nov 2015 A	0.162	11.200	2015/11/02	500,000,000	2014/12/22
18488	J P Morgan SP BV	HSBC European Warrants Jul 2015 A	0.281	74.550	2015/07/03	200,000,000	2014/12/22
18504	J P Morgan SP BV	HSI European Put Warrants Jul 2015 C	0.250	21,800 per 6,800 units	2015/07/30	500,000,000	2014/12/22
18502	J P Morgan SP BV	MGM China European Warrants Sep 2015 A	0.250	22.820	2015/09/02	60,000,000	2014/12/22
18487	J P Morgan SP BV	Tencent European Warrants Sep 2015 C	0.150	108.88 per 100 units	2015/09/02	600,000,000	2014/12/22
18494	Macquarie Bank Ltd.	Anhui Conch European Wts Aug 2015 A	0.250	30.880	2015/08/04	40,000,000	2014/12/22
18498	Macquarie Bank Ltd.	Bank of EA European Wts Jul 2016 A	0.250	33.000	2016/07/05	40,000,000	2014/12/22
18496	Macquarie Bank Ltd.	Ch Comm Cons European Wts Dec 2017 A	0.250	10.750	2017/12/04	40,000,000	2014/12/22
18495	Macquarie Bank Ltd.	China Railway European Wts Oct 2015 A	0.400	8.80 per unit	2015/10/05	25,000,000	2014/12/22
18500	Macquarie Bank Ltd.	HSBC European Warrants Sep 2015 A	0.174	82.000	2015/09/02	60,000,000	2014/12/22
18499	Macquarie Bank Ltd.	Poly HK Inv European Wts Jan 2016 A	0.250	3.80 per unit	2016/01/05	40,000,000	2014/12/22

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18497	Macquarie Bank Ltd.	Stanchart European Warrants Jul 2015 C	0.250	130.000	2015/07/03	40,000,000	2014/12/22
18493	Standard Chartered Bank	HSBC European Warrants Sep 2015 A	0.150	82.000	2015/09/01	100,000,000	2014/12/22
18470	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2015 A	0.250	80.000	2015/07/06	100,000,000	2014/12/22
18482	UBS AG	BYD European Warrants Jul 2015 A	0.400	46.880	2015/07/20	50,000,000	2014/12/22
18485	UBS AG	Ch Comm Cons European Warrants May 2015 A	0.600	11.02 per unit	2015/05/26	40,000,000	2014/12/22
18483	UBS AG	Sinopec Corp European Warrants Jun 2015 A	0.430	6.38 per unit	2015/06/22	70,000,000	2014/12/22
18484	UBS AG	China Railway European Warrants Jun 2015 B	0.250	8.18 per unit	2015/06/22	40,000,000	2014/12/22
18481	UBS AG	Hutchison European Warrants Jun 2015 B	0.450	96.880	2015/06/29	100,000,000	2014/12/22
17631*	HK Bank	CNOOC European Warrants Oct 2015 A	0.046	11.400	2015/10/30	230,000,000	2014/12/22
18523	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Dec 2016 B	0.240	12.800	2016/12/28	100,000,000	2014/12/23
18522	BOCI Asia Ltd.	CSOP A50 ETF European Put Warrants Dec 16 A	0.250	11.560	2016/12/21	100,000,000	2014/12/23
18519	BOCI Asia Ltd.	A50 China European Warrants Dec 2016 B	0.220	12.400	2016/12/22	100,000,000	2014/12/23
18520	BOCI Asia Ltd.	A50 China European Warrants Dec 2016 C	0.270	11.020	2016/12/23	100,000,000	2014/12/23
18521	BOCI Asia Ltd.	A50 China European Put Warrants Dec 2016 D	0.290	11.880	2016/12/30	100,000,000	2014/12/23
18550	Credit Suisse AG	AIA European Warrants Jun 2015 B	0.180	45.900	2015/06/29	80,000,000	2014/12/23
18527	Credit Suisse AG	Ch Comm Cons European Warrants Jun 2015 A	0.550	11 per unit	2015/06/02	60,000,000	2014/12/23
18533	Credit Suisse AG	Cheung Kong European Warrants Dec 2015 A	0.150	126.90 per 100 units	2015/12/14	150,000,000	2014/12/23
18530	Credit Suisse AG	Cheung Kong European Warrants Aug 2017 A	0.210	128.90 per 100 units	2017/08/01	150,000,000	2014/12/23
18540	Credit Suisse AG	CM Bank European Warrants Jul 2016 A	0.220	18.520	2016/07/29	150,000,000	2014/12/23
18528	Credit Suisse AG	Minsheng Bank European Wts Dec 2016 A	0.200	7.890	2016/12/19	150,000,000	2014/12/23
18554	Credit Suisse AG	China Mobile European Warrants Apr 2015 C	0.480	92.900	2015/04/30	80,000,000	2014/12/23
18553	Credit Suisse AG	China Mobile European Warrants May 2015 A	0.300	99.980	2015/05/07	80,000,000	2014/12/23
18556	Credit Suisse AG	China Mobile European Put Wts Apr 2015 D	0.150	76.850	2015/04/30	70,000,000	2014/12/23
18555	Credit Suisse AG	China Mobile European Put Wts Oct 2015 A	0.170	70.000	2015/10/02	70,000,000	2014/12/23
18539	Credit Suisse AG	Ch Oilfield European Warrants Jul 2015 A	0.160	13.820	2015/07/31	80,000,000	2014/12/23
18557	Credit Suisse AG	Sinopec Corp European Warrants Jun 2015 C	0.330	6.43 per unit	2015/06/22	80,000,000	2014/12/23
18552	Credit Suisse AG	China Tel European Warrants Jun 2015 A	0.210	4.99 per unit	2015/06/29	70,000,000	2014/12/23
18558	Credit Suisse AG	GCL-Poly Energy European Wts May 2015 A	0.180	2.31 per unit	2015/05/29	80,000,000	2014/12/23
18535	Credit Suisse AG	Galaxy Ent European Warrants May 2015 A	0.230	55.000	2015/05/22	80,000,000	2014/12/23
18537	Credit Suisse AG	Galaxy Ent European Put Wts May 2015 B	0.260	39.980	2015/05/22	70,000,000	2014/12/23
18551	Credit Suisse AG	Gome European Warrants May 2016 A	0.210	1.39 per unit	2016/05/16	70,000,000	2014/12/23
18526	Credit Suisse AG	HSBC European Wts May 2015 A	0.150	75.900	2015/05/11	80,000,000	2014/12/23
18524	Credit Suisse AG	HSBC European Wts Aug 2015 A	0.170	82.000	2015/08/31	80,000,000	2014/12/23
18549	Credit Suisse AG	Hutchison European Warrants Jun 2015 A	0.180	98.900	2015/06/01	80,000,000	2014/12/23
18548	Credit Suisse AG	Sands China European Warrants Jun 2015 A	0.250	47.100	2015/06/22	80,000,000	2014/12/23
18541	Credit Suisse AG	Sands China European Put Wts May 2015 A	0.220	35.780	2015/05/22	70,000,000	2014/12/23
18542	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2015 H	0.150	22,688 per 8,000 units	2015/03/30	150,000,000	2014/12/23
18509	HK Bank	Agricultural Bank European Warrants Aug 2015 B	0.250	3.78 per unit	2015/08/31	80,000,000	2014/12/23
18517	HK Bank	Agricultural Bank European Warrants Sep 2015 A	0.250	4.18 per unit	2015/09/30	80,000,000	2014/12/23
18510	HK Bank	China Mobile European Warrants Apr 2015 B	0.300	97.850	2015/04/27	80,000,000	2014/12/23
18511	HK Bank	Kingsoft European Warrants Jun 2015 A	0.150	18.520	2015/06/23	80,000,000	2014/12/23
18516	HK Bank	MGM China European Warrants Sep 2015 A	0.250	22.980	2015/09/30	80,000,000	2014/12/23
18512	HK Bank	PICC European Warrants Apr 2016 A	0.180	16.900	2016/04/26	80,000,000	2014/12/23

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18515	HK Bank	Tencent European Warrants Mar 2016 A	0.150	130.98 per 100 units	2016/03/02	180,000,000	2014/12/23
18513	HK Bank	Tencent European Warrants Jun 2016 B	0.160	120.98 per 100 units	2016/06/30	180,000,000	2014/12/23
18514	HK Bank	Tencent European Warrants Dec 2016 A	0.150	149.98 per 100 units	2016/12/30	180,000,000	2014/12/23
18571	J P Morgan SP BV	HSBC European Warrants Sep 2015 A	0.279	72.880	2015/09/02	200,000,000	2014/12/23
18570	J P Morgan SP BV	HSI European Warrants May 2015 C	0.150	22,800 per 8,000 units	2015/05/28	500,000,000	2014/12/23
18569	Macquarie Bank Ltd.	CHALCO European Warrants Jul 2015 B	0.250	4.18 per unit	2015/07/03	40,000,000	2014/12/23
18568	Macquarie Bank Ltd.	Angang Steel European Wts Jul 2015 B	0.432	7.98 per unit	2015/07/03	25,000,000	2014/12/23
18559	Macquarie Bank Ltd.	BJ Ent Water European Wts Jul 2015 A	0.286	6.98 per unit	2015/07/03	35,000,000	2014/12/23
18536	Macquarie Bank Ltd.	Ch Modern D European Warrants Jul 15 A	0.250	2.88 per unit	2015/07/03	40,000,000	2014/12/23
18532	Macquarie Bank Ltd.	CITIC Sec European Warrants Jul 2015 A	0.500	40.000	2015/07/10	20,000,000	2014/12/23
18538	Macquarie Bank Ltd.	CNBM European Warrants Dec 2017 A	0.250	6.980	2017/12/05	50,000,000	2014/12/23
18534	Macquarie Bank Ltd.	China Mengniu European Wts Aug 2015 A	0.278	33.980	2015/08/04	36,000,000	2014/12/23
18529	Macquarie Bank Ltd.	Tencent European Put Wts Jul 2015 A	0.436	90.000	2015/07/03	23,000,000	2014/12/23
18518	Standard Chartered Bank	Hutchison Euro Euro Wts Jul 2015 A	0.250	99.000	2015/07/03	100,000,000	2014/12/23
18543	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jul 2015 B	0.250	45.500	2015/07/06	100,000,000	2014/12/23
18546	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jan17A	0.200	13.000	2017/01/04	500,000,000	2014/12/23
18547	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jan 2017B	0.190	12.400	2017/01/04	500,000,000	2014/12/23
18561	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jul15A	0.365	53.000	2015/07/06	80,000,000	2014/12/23
18562	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2015 B	0.225	74.880	2015/06/03	100,000,000	2014/12/23
18544	SGA Societe Generale Acceptance N.V.	Ch Mengniu Eu Wt Aug15A	0.290	30.500	2015/08/05	35,000,000	2014/12/23
18545	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Dec16A	0.250	13.500	2016/12/05	60,000,000	2014/12/23
18508	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Mar 16 A	0.150	130.88 per 100 units	2016/03/02	500,000,000	2014/12/23
18564	UBS AG	China Mobile European Warrants Apr 2015 D	0.480	92.930	2015/04/30	100,000,000	2014/12/23
18567	UBS AG	A50 China European Put Warrants Mar 2016 B	0.250	11.380	2016/03/31	100,000,000	2014/12/23
18565	UBS AG	HSBC European Warrants Jun 2015 A	0.240	74.930	2015/06/03	100,000,000	2014/12/23
18563	UBS AG	KECL European Warrants Aug 2017 A	0.150	7.890	2017/08/24	100,000,000	2014/12/23
18566	UBS AG	Tencent Holdings European Warrants Jun 2016 A	0.150	121.10 per 100 units	2016/06/28	500,000,000	2014/12/23
18574	BOCI Asia Ltd.	CC Bank European Warrants Aug 2015 B	0.360	6.50 per unit	2015/08/03	100,000,000	2014/12/24
18572	BOCI Asia Ltd.	CGS European Warrants Jul 2016 A	0.390	9.490	2016/07/25	100,000,000	2014/12/24
18575	BOCI Asia Ltd.	Minsheng Bank European Warrants Dec 2016 A	0.230	7.890	2016/12/19	100,000,000	2014/12/24
18573	BOCI Asia Ltd.	Tencent Holdings European Wts Dec 2015 C	0.150	108.08 per 100 units	2015/12/24	100,000,000	2014/12/24
18596	Credit Suisse AG	CSOP A50 ETF European Warrants Dec 2015 A	0.200	12.200	2015/12/08	150,000,000	2014/12/24
18597	Credit Suisse AG	CSOP A50 ETF European Warrants Jun 2016 A	0.210	13.100	2016/06/13	150,000,000	2014/12/24
18594	Credit Suisse AG	A50 China European Warrants Nov 2015 A	0.180	11.990	2015/11/27	150,000,000	2014/12/24
18593	Credit Suisse AG	A50 China European Warrants Dec 2016 B	0.220	12.400	2016/12/23	150,000,000	2014/12/24
18595	Credit Suisse AG	A50 China European Warrants Dec 2016 C	0.270	10.990	2016/12/23	150,000,000	2014/12/24
18601	Credit Suisse AG	Galaxy Ent European Warrants Jun 2015 B	0.370	47.700	2015/06/29	80,000,000	2014/12/24
18590	Credit Suisse AG	HSI European Warrants Jun 2015 D	0.160	23,000 per 8,000 units	2015/06/29	128,000,000	2014/12/24
18589	Credit Suisse AG	HSI European Warrants Jul 2015 B	0.150	23,000 per 9,000 units	2015/07/30	128,000,000	2014/12/24
18591	Credit Suisse AG	HSI European Put Warrants Jun 2015 E	0.250	21,800 per 6,800 units	2015/06/29	128,000,000	2014/12/24
18592	Credit Suisse AG	HSI European Put Warrants Jun 2015 F	0.250	21,400 per 6,500 units	2015/06/29	128,000,000	2014/12/24
18602	Credit Suisse AG	Sands China European Warrants Jun 2015 B	0.250	42.200	2015/06/29	80,000,000	2014/12/24
18598	Credit Suisse AG	Tencent Holdings Euro Put Wts Apr 2015 D	0.330	94.980	2015/04/30	70,000,000	2014/12/24

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18600	Credit Suisse AG	Tencent Holdings Euro Put Wts Oct 2015 A	0.250	116.80 per 100 units	2015/10/30	100,000,000	2014/12/24
18599	Credit Suisse AG	Tencent Holdings Euro Put Wts Aug 2016 A	0.250	106.80 per 100 units	2016/08/29	100,000,000	2014/12/24
18579	HK Bank	CGS European Warrants Sep 2015 A	0.250	11.880	2015/09/30	100,000,000	2014/12/24
18582	HK Bank	Ch Oilfield European Warrants Nov 2015 A	0.190	13.980	2015/11/24	80,000,000	2014/12/24
18580	HK Bank	Sinopec Corp European Warrants Jun 2015 B	0.300	6.28 per unit	2015/06/30	60,000,000	2014/12/24
18577	HK Bank	CSOP A50 ETF European Put Warrants Mar 2017 A	0.190	11.260	2017/03/24	150,000,000	2014/12/24
18576	HK Bank	A50 China European Warrants Dec 2016 B	0.180	12.400	2016/12/28	200,000,000	2014/12/24
18583	HK Bank	Galaxy Ent European Warrants Jun 2015 D	0.500	46.880	2015/06/30	60,000,000	2014/12/24
18581	HK Bank	PetroChina European Warrants Jun 2015 B	0.350	8.78 per unit	2015/06/30	60,000,000	2014/12/24
18584	HK Bank	Sands China European Warrants Jun 2015 D	0.300	42.380	2015/06/30	60,000,000	2014/12/24
18626	J P Morgan SP BV	Ch Comm Cons European Warrants Aug 2016 A	0.251	10.880	2016/08/02	100,000,000	2014/12/24
18625	J P Morgan SP BV	CITIC Sec European Warrants Aug 2015 A	0.388	36.880	2015/08/03	100,000,000	2014/12/24
18623	J P Morgan SP BV	Geely Auto European Warrants Jul 2015 A	0.257	2.88 per unit	2015/07/03	100,000,000	2014/12/24
18629	J P Morgan SP BV	Galaxy Ent European Warrants May 2015 B	0.259	45.000	2015/05/04	100,000,000	2014/12/24
18630	J P Morgan SP BV	HSI European Warrants Jul 2015 D	0.150	23,000 per 9,000 units	2015/07/30	500,000,000	2014/12/24
18624	J P Morgan SP BV	Haitong Sec European Put Warrants Aug15 B	0.384	18.000	2015/08/03	50,000,000	2014/12/24
18627	J P Morgan SP BV	Sands China European Warrants Jul 2015 B	0.254	40.500	2015/07/03	100,000,000	2014/12/24
18610	Macquarie Bank Ltd.	Air China European Warrants Dec 2017 A	0.250	7.000	2017/12/04	40,000,000	2014/12/24
18585	Macquarie Bank Ltd.	Beijing Air European Warrants Dec 17 A	0.250	7.800	2017/12/04	40,000,000	2014/12/24
18611	Macquarie Bank Ltd.	CRCC European Warrants Dec 2017 A	0.300	13.500	2017/12/04	34,000,000	2014/12/24
18612	Macquarie Bank Ltd.	Tencent European Warrants Jul 2015 B	0.400	140.000	2015/07/03	25,000,000	2014/12/24
18588	Standard Chartered Bank	HSBC European Warrants Sep 2015 B	0.300	73.000	2015/09/02	100,000,000	2014/12/24
18587	Standard Chartered Bank	Li & Fung European Wts Sep 2015 A	0.350	8.50 per unit	2015/09/08	40,000,000	2014/12/24
18586	Standard Chartered Bank	Tencent Hldg Euro Wts Jul 2015 A	0.600	118.880	2015/07/06	40,000,000	2014/12/24
18613	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jul 2015 B	0.350	90.000	2015/07/06	60,000,000	2014/12/24
18604	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Aug15A	0.640	33.880	2015/08/05	60,000,000	2014/12/24
18603	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Jul 2015A	0.570	7 per unit	2015/07/06	25,000,000	2014/12/24
18607	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Dec 2015A	0.210	12.000	2015/12/02	500,000,000	2014/12/24
18605	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jul15B	0.540	44.000	2015/07/06	80,000,000	2014/12/24
18606	SGA Societe Generale Acceptance N.V.	Haitong Sec Eu Wt Jul15A	0.340	22.880	2015/07/06	80,000,000	2014/12/24
18608	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Aug15 A	0.510	8.50 per unit	2015/08/05	20,000,000	2014/12/24
18609	SGA Societe Generale Acceptance N.V.	Sands China Eu Wt Aug15A	0.470	38.880	2015/08/05	80,000,000	2014/12/24
18616	UBS AG	AIA European Warrants Jun 2015 A	0.250	44.880	2015/06/23	100,000,000	2014/12/24
18622	UBS AG	Geely Auto European Warrants Jul 2015 A	0.250	3.08 per unit	2015/07/09	40,000,000	2014/12/24
18621	UBS AG	Galaxy Ent European Warrants Apr 2015 B	0.370	45.930	2015/04/29	60,000,000	2014/12/24
18618	UBS AG	HSI European Put Warrants Jun 2015 D	0.265	22,600 per 6,500 units	2015/06/29	300,000,000	2014/12/24
18619	UBS AG	HSI European Put Warrants Jun 2015 E	0.250	22,000 per 6,500 units	2015/06/29	300,000,000	2014/12/24
18620	UBS AG	Sands China European Warrants Jun 2015 A	0.320	41.880	2015/06/23	60,000,000	2014/12/24
18615	UBS AG	SJM Holdings European Warrants Nov 2016 A	0.250	13.080	2016/11/28	40,000,000	2014/12/24
18614	UBS AG	Wynn Macau European Warrants Sep 2015 A	0.250	22.680	2015/09/29	40,000,000	2014/12/24
18636	BOCI Asia Ltd.	CAM CSI300 European Warrants Aug 2015 A	0.300	50.000	2015/08/31	100,000,000	2014/12/29
18631	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Dec 2015 B	0.250	12.200	2015/12/14	100,000,000	2014/12/29
18632	BOCI Asia Ltd.	CSOP A50 ETF European Warrants Nov 2016 A	0.250	15.000	2016/11/07	100,000,000	2014/12/29

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18634	BOCI Asia Ltd.	A50 China European Warrants Nov 2015 A	0.230	12.000	2015/11/30	100,000,000	2014/12/29
18633	BOCI Asia Ltd.	A50 China European Warrants Nov 2016 A	0.250	14.000	2016/11/28	100,000,000	2014/12/29
18648	Credit Suisse AG	AIA European Warrants Nov 2015 A	0.150	49.990	2015/11/23	80,000,000	2014/12/29
18649	Credit Suisse AG	CNOOC European Put Warrants Oct 2016 B	0.250	9.280	2016/10/31	120,000,000	2014/12/29
18647	Credit Suisse AG	Kingsoft European Warrants Jun 2015 A	0.160	16.700	2015/06/22	80,000,000	2014/12/29
18650	Credit Suisse AG	Lenovo European Warrants Jul 2016 A	0.150	11.520	2016/07/25	130,000,000	2014/12/29
18644	HK Bank	AIA European Warrants Jun 2015 B	0.250	43.880	2015/06/30	80,000,000	2014/12/29
18643	HK Bank	BYD European Warrants Jun 2015 A	0.300	42.880	2015/06/30	50,000,000	2014/12/29
18642	HK Bank	China Mobile European Warrants Jun 2015 A	0.400	94.280	2015/06/30	80,000,000	2014/12/29
18639	HK Bank	CSOP A50 ETF European Warrants Dec 2015 D	0.240	12.200	2015/12/08	200,000,000	2014/12/29
18640	HK Bank	CSOP A50 ETF European Warrants Dec 2015 E	0.250	12.880	2015/12/31	200,000,000	2014/12/29
18641	HK Bank	CSOP A50 ETF European Warrants Mar 2016 B	0.250	13.480	2016/03/31	200,000,000	2014/12/29
18637	HK Bank	A50 China European Warrants Nov 2015 B	0.230	12.000	2015/11/24	200,000,000	2014/12/29
18638	HK Bank	A50 China European Warrants Jun 2016 A	0.250	12.980	2016/06/30	200,000,000	2014/12/29
18672	J P Morgan SP BV	BYD European Warrants Aug 2015 A	0.495	28.880	2015/08/03	100,000,000	2014/12/29
18668	J P Morgan SP BV	Sinopec Corp European Warrants Aug 2015 A	0.255	6.68 per unit	2015/08/04	100,000,000	2014/12/29
18669	J P Morgan SP BV	Ch Railway Cons European Wts May 2016 A	0.251	11.860	2016/05/03	80,000,000	2014/12/29
18671	J P Morgan SP BV	CSOP A50 ETF European Warrants May 2016 A	0.250	13.980	2016/05/03	300,000,000	2014/12/29
18670	J P Morgan SP BV	A50 China European Warrants Jul 2016 A	0.251	12.880	2016/07/04	300,000,000	2014/12/29
18656	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Jan 2017 A	0.250	14.500	2017/01/04	40,000,000	2014/12/29
18657	Macquarie Bank Ltd.	A50 China European Warrants Jan 2017 A	0.250	13.500	2017/01/04	40,000,000	2014/12/29
18655	Macquarie Bank Ltd.	Geely Auto European Wts Oct 2015 A	0.300	3.80 per unit	2015/10/05	35,000,000	2014/12/29
18654	Macquarie Bank Ltd.	Tencent European Warrants Jul 2015 C	0.589	120.000	2015/07/03	20,000,000	2014/12/29
18664	Standard Chartered Bank	AIA European Warrants May 2015 A	0.220	43.380	2015/05/11	100,000,000	2014/12/29
18663	Standard Chartered Bank	Ch Comm Cons Eur Wts Sep 2016 A	0.250	11.280	2016/09/02	80,000,000	2014/12/29
18662	Standard Chartered Bank	China Railway Euro Wts Oct 2015 A	0.250	8.88 per unit	2015/10/02	40,000,000	2014/12/29
18646	Standard Chartered Bank	A50 China Euro Warrants Nov 2015 A	0.260	12.000	2015/11/24	200,000,000	2014/12/29
18653	Standard Chartered Bank	A50 China Euro Warrants Mar 2016 A	0.250	13.280	2016/03/31	200,000,000	2014/12/29
18651	Standard Chartered Bank	A50 China Euro Warrants Sep 2016 A	0.250	14.880	2016/09/30	200,000,000	2014/12/29
18658	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2015 B	0.175	32.000	2015/08/05	150,000,000	2014/12/29
18659	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Aug16B	0.205	13.200	2016/08/03	500,000,000	2014/12/29
18645	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug 2016B	0.150	15.000	2016/08/03	500,000,000	2014/12/29
18660	SGA Societe Generale Acceptance N.V.	PICC P&C Eu Wt May16 A	0.190	16.880	2016/05/04	100,000,000	2014/12/29
18665	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Nov16A	0.150	15.000	2016/11/02	80,000,000	2014/12/29
18661	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Aug15A	0.200	22.880	2015/08/05	50,000,000	2014/12/29
18666	UBS AG	BYD European Warrants Jul 2015 B	0.500	33.880	2015/07/27	50,000,000	2014/12/29
18667	UBS AG	Ch Comm Cons European Warrants Jul 2015 B	1.050	12.68 per unit	2015/07/20	40,000,000	2014/12/29
18675	BOCI Asia Ltd.	HSI European Warrants May 2015 A	0.150	23,600 per 6,000 units	2015/05/28	100,000,000	2014/12/30
18676	BOCI Asia Ltd.	HSI European Warrants Jul 2015 C	0.180	24,200 per 6,000 units	2015/07/30	100,000,000	2014/12/30
18674	BOCI Asia Ltd.	HSI European Put Warrants Aug 2015 A	0.250	22,000 per 7,000 units	2015/08/28	100,000,000	2014/12/30
18683	Credit Suisse AG	CSOP A50 ETF European Warrants Mar 2016 A	0.250	13.490	2016/03/21	150,000,000	2014/12/30
18685	Credit Suisse AG	CSOP A50 ETF European Warrants Apr 2016 A	0.250	13.980	2016/04/29	150,000,000	2014/12/30
18687	Credit Suisse AG	CSOP A50 ETF European Warrants Jul 2016 A	0.250	14.550	2016/07/29	150,000,000	2014/12/30

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18704	Credit Suisse AG	CSOP A50 ETF Euro Put Wts Nov 2015 B	0.180	12.260	2015/11/30	100,000,000	2014/12/30
18705	Credit Suisse AG	CSOP A50 ETF Euro Put Wts May 2016 A	0.200	11.860	2016/05/23	100,000,000	2014/12/30
18681	Credit Suisse AG	A50 China European Warrants Mar 2016 A	0.250	12.990	2016/03/30	150,000,000	2014/12/30
18682	Credit Suisse AG	A50 China European Warrants Jul 2016 A	0.250	13.990	2016/07/29	150,000,000	2014/12/30
18707	Credit Suisse AG	A50 China European Put Wts Mar 2016 B	0.250	11.330	2016/03/30	100,000,000	2014/12/30
18706	Credit Suisse AG	A50 China European Put Wts Dec 2016 D	0.250	11.860	2016/12/22	100,000,000	2014/12/30
18700	HK Bank	CRCC European Warrants Dec 2015 A	0.250	10.280	2015/12/31	100,000,000	2014/12/30
18701	HK Bank	Geely Auto European Warrants Sep 2015 A	0.250	2.80 per unit	2015/09/30	50,000,000	2014/12/30
18691	HK Bank	HSCEI European Warrants Sep 2015 B	0.150	13,038 per 4,000 units	2015/09/29	180,000,000	2014/12/30
18692	HK Bank	HSCEI European Put Warrants Jun 2015 B	0.250	11,088 per 4,500 units	2015/06/29	180,000,000	2014/12/30
18690	HK Bank	HSI European Warrants May 2015 E	0.150	23,718 per 6,000 units	2015/05/28	180,000,000	2014/12/30
18703	HK Bank	HSI European Warrants Jun 2015 F	0.250	23,988 per 6,000 units	2015/06/29	180,000,000	2014/12/30
18688	HK Bank	HSI European Put Warrants Jun 2015 D	0.250	21,588 per 6,000 units	2015/06/29	180,000,000	2014/12/30
18689	HK Bank	HSI European Put Warrants Jun 2015 E	0.250	22,988 per 8,000 units	2015/06/29	180,000,000	2014/12/30
18702	HK Bank	SJM Holdings European Warrants Sep 2016 A	0.250	13.880	2016/09/30	100,000,000	2014/12/30
18715	J P Morgan SP BV	BYD European Warrants Aug 2015 B	0.354	36.880	2015/08/03	100,000,000	2014/12/30
18713	J P Morgan SP BV	China Life European Warrants Dec 2015 C	0.150	33.880	2015/12/01	100,000,000	2014/12/30
18716	J P Morgan SP BV	Li & Fung European Warrants Aug 2015 A	0.340	8 per unit	2015/08/03	50,000,000	2014/12/30
18714	J P Morgan SP BV	Ping An European Put Warrants Jun 2015 C	0.346	66.880	2015/06/04	150,000,000	2014/12/30
18711	Macquarie Bank Ltd.	Avichina European Warrants Oct 2015 A	0.334	7.50 per unit	2015/10/05	30,000,000	2014/12/30
18698	Macquarie Bank Ltd.	BYD European Warrants Oct 2015 A	0.667	35.880	2015/10/05	15,000,000	2014/12/30
18699	Macquarie Bank Ltd.	CRCC European Warrants Aug 2015 A	0.500	16 per unit	2015/08/04	20,000,000	2014/12/30
18697	Macquarie Bank Ltd.	Tencent European Warrants Jul 2015 D	0.400	159.880	2015/07/03	25,000,000	2014/12/30
18709	Standard Chartered Bank	BYD European Warrants Jan 2016 A	0.530	40.000	2016/01/04	40,000,000	2014/12/30
18710	Standard Chartered Bank	China COSCO European Wt Jul 2015 A	0.330	4.70 per unit	2015/07/03	40,000,000	2014/12/30
18678	Standard Chartered Bank	CRCC European Warrants Aug 2015 A	0.620	12.80 per unit	2015/08/28	40,000,000	2014/12/30
18679	Standard Chartered Bank	CSOP A50 ETF Euro Wts Mar 2016 A	0.250	12.880	2016/03/03	200,000,000	2014/12/30
18680	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jul 2016 A	0.250	13.680	2016/07/27	200,000,000	2014/12/30
18708	Standard Chartered Bank	Geely Auto European Wts Sep 2015 A	0.250	3 per unit	2015/09/18	40,000,000	2014/12/30
18677	Standard Chartered Bank	Galaxy Ent European Wts Jul 2015 A	0.220	53.880	2015/07/02	80,000,000	2014/12/30
18693	SGA Societe Generale Acceptance NV	CSOP A50 Eu Put Wt Dec15A	0.175	12.280	2015/12/02	400,000,000	2014/12/30
18694	SGA Societe Generale Acceptance NV	CSOP A50 Eu Put Wt Jan17B	0.225	11.580	2017/01/04	400,000,000	2014/12/30
18695	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Dec 2015 A	0.150	21.880	2015/12/09	80,000,000	2014/12/30
18719	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Aug 2015 A	0.250	3.10 per unit	2015/08/04	50,000,000	2014/12/31
18721	BNP Paribas Arbit Issu B.V.	PICC Group Euro Wts Nov 2015 A	0.250	4.30 per unit	2015/11/03	50,000,000	2014/12/31
18717	BNP Paribas Arbit Issu B.V.	Poly HK Inv Eur Wts Apr 2016 A	0.340	3.80 per unit	2016/04/05	31,000,000	2014/12/31
18720	BNP Paribas Arbit Issu B.V.	SFCE European Wts Dec 2015 A	0.150	6.500	2015/12/02	70,000,000	2014/12/31
18718	BNP Paribas Arbit Issu B.V.	Yuexiu Property Eu Wt Apr 16 A	0.250	1.80 per unit	2016/04/05	47,000,000	2014/12/31
18740	Credit Suisse AG	Agricultural Bank European Wts Nov 2015 A	0.160	4.51 per unit	2015/11/27	80,000,000	2014/12/31
18741	Credit Suisse AG	BOC HK European Warrants Oct 2015 A	0.150	27.000	2015/10/30	70,000,000	2014/12/31
18744	Credit Suisse AG	CC Bank European Warrants Jun 2015 D	0.250	7.28 per unit	2015/06/30	80,000,000	2014/12/31
18743	Credit Suisse AG	China Mobile European Warrants Jun 2015 B	0.400	103.660	2015/06/30	80,000,000	2014/12/31
18746	Credit Suisse AG	CSOP A50 ETF European Warrants Jun 2015 C	1.000	15.55 per unit	2015/06/30	80,000,000	2014/12/31

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18749	Credit Suisse AG	CSOP A50 ETF Euro Put Wts Dec 2016 A	0.220	11.560	2016/12/21	100,000,000	2014/12/31
18745	Credit Suisse AG	A50 China European Warrants Aug 2016 C	0.150	15.000	2016/08/01	150,000,000	2014/12/31
18723	Credit Suisse AG	HSBC European Put Warrants Apr 2015 C	0.200	69.950	2015/04/30	60,000,000	2014/12/31
18724	Credit Suisse AG	Ping An European Put Warrants Jun 2015 E	0.380	66.830	2015/06/04	60,000,000	2014/12/31
18739	Credit Suisse AG	Sinopharm European Warrants Jul 2015 A	0.160	34.000	2015/07/27	70,000,000	2014/12/31
18725	Credit Suisse AG	SHK Ppt European Warrants Apr 2015 A	0.220	139.990	2015/04/30	80,000,000	2014/12/31
18728	Credit Suisse AG	SHK Ppt European Put Warrants Jun 2015 A	0.480	107.770	2015/06/30	60,000,000	2014/12/31
18726	Credit Suisse AG	SHK Ppt European Put Warrants Aug 2017 A	0.180	112.83 per 100 units	2017/08/01	100,000,000	2014/12/31
18742	Credit Suisse AG	Tencent Holdings European Wts Jun 2015 B	0.530	129.990	2015/06/30	80,000,000	2014/12/31
18738	Credit Suisse AG	ZTE European Warrants Dec 2015 A	0.150	21.900	2015/12/09	70,000,000	2014/12/31
18754	Bank of East Asia	Ch Comm Cons European Wts Dec 2015 A	0.250	9.880	2015/12/31	80,000,000	2014/12/31
18755	Bank of East Asia	CM Bank European Warrants Apr 2016 A	0.250	20.000	2016/04/29	50,000,000	2014/12/31
18756	Bank of East Asia	CM Bank European Put Warrants Mar 2016 A	0.250	16.680	2016/03/30	50,000,000	2014/12/31
18753	Bank of East Asia	CNOOC European Warrants Jan 2016 A	0.200	9.470	2016/01/06	80,000,000	2014/12/31
18752	Bank of East Asia	Kingsoft European Warrants Feb 2016 A	0.250	20.000	2016/02/29	50,000,000	2014/12/31
18761	J P Morgan SP BV	CAM CSI300 European Warrants Aug 2015 B	0.254	50.000	2015/08/04	100,000,000	2014/12/31
18763	J P Morgan SP BV	CC Bank Euro Put Wts Jun 2015 A	0.258	5.80 per unit	2015/06/08	100,000,000	2014/12/31
18757	J P Morgan SP BV	CITIC Bank European Warrants Oct 2015 A	0.250	7 per unit	2015/10/02	100,000,000	2014/12/31
18760	J P Morgan SP BV	HSCEI European Put Warrants Jun 2015 B	0.151	11,300 per 4,000 units	2015/06/29	300,000,000	2014/12/31
18758	J P Morgan SP BV	Ping An European Warrants Jun 2015 D	0.357	91.280	2015/06/04	100,000,000	2014/12/31
18762	J P Morgan SP BV	SHK Ppt European Warrants Aug 2015 A	0.255	133.880	2015/08/04	60,000,000	2014/12/31
18751	Macquarie Bank Ltd.	China Taiping European Wts Aug 2015 A	0.250	23.880	2015/08/04	40,000,000	2014/12/31
18750	Macquarie Bank Ltd.	Huaneng Power European Wts Aug 2015 A	0.426	14 per unit	2015/08/04	25,000,000	2014/12/31
18722	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Jul 2015A	0.360	135.000	2015/07/06	50,000,000	2014/12/31
18732	UBS AG	BOCL European Warrants Sep 2015 A	0.250	5.18 per unit	2015/09/08	100,000,000	2014/12/31
18731	UBS AG	CM Bank European Warrants Feb 2016 A	0.250	21.880	2016/02/11	100,000,000	2014/12/31
18734	UBS AG	Minsheng Bank European Warrants Jul 2015 B	0.380	12.68 per unit	2015/07/20	40,000,000	2014/12/31
18729	UBS AG	CSOP A50 ETF European Warrants Jan 2017 A	0.250	16.380	2017/01/16	300,000,000	2014/12/31
18730	UBS AG	A50 China European Warrants Apr 2017 A	0.250	16.380	2017/04/24	300,000,000	2014/12/31
18736	UBS AG	HSI European Warrants Jul 2015 A	0.150	24,600 per 7,200 units	2015/07/30	300,000,000	2014/12/31
18737	UBS AG	HSI European Warrants Jul 2015 B	0.150	25,300 per 6,400 units	2015/07/30	300,000,000	2014/12/31
18733	UBS AG	ICBC European Warrants Sep 2015 A	0.250	7.18 per unit	2015/09/21	100,000,000	2014/12/31
18735	UBS AG	SHK Ppt European Warrants May 2015 A	0.255	130.900	2015/05/26	50,000,000	2014/12/31

* Further issue

List of expired derivative warrants, 2014

Code	Warrant	Last trading date	Delisted date
20549	Bank of East Asia – Li & Fung European Put Wts Dec 2013 A	2013/12/20	2014/01/02
21543	Bank of East Asia – R&F Ppt European Warrants Dec 2013 A	2013/12/20	2014/01/02
25110	BOCI Asia Ltd. – China Life European Warrants Dec 2013 B	2013/12/20	2014/01/02
17485	BOCI Asia Ltd. – China Mobile European Put Wts Dec 2013 A	2013/12/20	2014/01/02
27189	Credit Suisse AG – HSBC European Put Warrants Dec 2013 A	2013/12/20	2014/01/02
26243	Credit Suisse AG – Yurun Food European Warrants Dec 2013 A	2013/12/20	2014/01/02
27072	Daiwa Capital Mkt – A50 China European Warrants Dec 2013 A	2013/12/20	2014/01/02
23253	Goldman Sachs SP (Asia) – AIA European Warrants Dec 2013 A	2013/12/20	2014/01/02
24895	Goldman Sachs SP (Asia) – Chi Resources Euro Wt Dec 2013 A	2013/12/20	2014/01/02
22880	Goldman Sachs SP (Asia) – China Mengniu Euro Wt Dec 2013 A	2013/12/20	2014/01/02
17370	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Dec 2013 A	2013/12/20	2014/01/02
24894	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Dec 2013 A	2013/12/20	2014/01/02
11351	Goldman Sachs SP (Asia) – Zoomlion European Wts Dec 2013 A	2013/12/20	2014/01/02
23517	HK Bank – AIA European Put Warrants Dec 2013 A	2013/12/20	2014/01/02
21876	HK Bank – AIA European Warrants Dec 2013 C	2013/12/20	2014/01/02
24624	HK Bank – CC Bank European Warrants Dec 2013 B	2013/12/20	2014/01/02
29398	HK Bank – Ch Comm Cons European Warrants Dec 2013	2013/12/20	2014/01/02
23470	HK Bank – Cheung Kong European Warrants Dec 2013 B	2013/12/20	2014/01/02
25082	HK Bank – China Mobile European Warrants Dec 2013 E	2013/12/20	2014/01/02
26583	HK Bank – CSOP A50 ETF European Warrants Dec 2013 A	2013/12/20	2014/01/02
27179	HK Bank – HWL European Warrants Dec 2013 A	2013/12/20	2014/01/02
25080	HK Bank – HWL European Warrants Dec 2013 B	2013/12/20	2014/01/02
25079	HK Bank – Minsheng Bank European Warrants Dec 2013 B	2013/12/20	2014/01/02
25134	HK Bank – SHK Ppt European Warrants Dec 2013 A	2013/12/20	2014/01/02
25061	J P Morgan SP BV – A50 China European Warrants Dec 2013 A	2013/12/20	2014/01/02
25071	J P Morgan SP BV – A50 China European Warrants Dec 2013 B	2013/12/20	2014/01/02
25334	J P Morgan SP BV – BOCL European Warrants Dec 2013 A	2013/12/20	2014/01/02
24041	J P Morgan SP BV – China Agri European Warrants Dec 2013 A	2013/12/20	2014/01/02
20405	J P Morgan SP BV – China Taiping European Wts Dec 2013 A	2013/12/20	2014/01/02
19444	J P Morgan SP BV – CM Bank European Warrants Dec 2013 A	2013/12/20	2014/01/02
25064	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2013 B	2013/12/20	2014/01/02
25070	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2013 C	2013/12/20	2014/01/02
25653	J P Morgan SP BV – Huaneng Power European Wts Dec 2013 A	2013/12/20	2014/01/02
24027	J P Morgan SP BV – PICC Group European Warrants Dec 2013 A	2013/12/20	2014/01/02
24524	J P Morgan SP BV – Ping An European Warrants Dec 2013 B	2013/12/20	2014/01/02
25328	J P Morgan SP BV – Ping An European Warrants Dec 2013 C	2013/12/20	2014/01/02
25077	J P Morgan SP BV – Yanzhou Coal European Warrants Dec 2013 A	2013/12/20	2014/01/02
24967	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2013 A	2013/12/20	2014/01/02
23820	Nomura Int'l plc – BYD European Warrants Dec 2013	2013/12/20	2014/01/02
14279	Nomura Int'l plc – Cheung Kong European Warrants Dec 2013	2013/12/20	2014/01/02
27978	Nomura Int'l plc – China Coal European Warrants Dec 2013 A	2013/12/20	2014/01/02
24402	Nomura Int'l plc – ICBC European Warrants Dec 2013 A	2013/12/20	2014/01/02
17153	Nomura Int'l plc – PetroChina European Warrants Dec 2013	2013/12/20	2014/01/02
26035	Nomura Int'l plc – Ping An European Warrants Dec 2013 C	2013/12/20	2014/01/02
17323	Nomura Int'l plc – Stanchart European Warrants Dec 2013 A	2013/12/20	2014/01/02
27940	Nomura Int'l plc – CITIC Bank European Warrants Dec 2013 A	2013/12/20	2014/01/02
25038	Standard Chartered Bank – CC Bank European Wts Dec 2013 A	2013/12/20	2014/01/02
22822	Standard Chartered Bank – CITIC Sec European Wts Dec 2013 A	2013/12/20	2014/01/02
26046	Standard Chartered Bank – NCI European Warrants Dec 2013 A	2013/12/20	2014/01/02
26054	Standard Chartered Bank – YZ Coal European Wts Dec 2013 C	2013/12/20	2014/01/02
25037	Standard Chartered Bank – China Life European Wts Dec 2013 B	2013/12/20	2014/01/02
25036	UBS AG – CC Bank European Warrants Dec 2013 B	2013/12/20	2014/01/02
25804	UBS AG – China Life European Warrants Dec 2013 B	2013/12/20	2014/01/02
25941	UBS AG – China Shenhua European Warrants Dec 2013 A	2013/12/20	2014/01/02
26283	UBS AG – Sands China European Warrants Dec 2013 B	2013/12/20	2014/01/02
26334	Bank of East Asia – Ch Mobile European Warrants Jan 2014 A	2013/12/20	2014/01/03
23089	Bank of East Asia – China Overseas Euro Warrants Jan 2014 A	2013/12/20	2014/01/03
24396	Bank of East Asia – CITIC Sec European Warrants Jan 2014 A	2013/12/20	2014/01/03
19154	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jan 2014 A	2013/12/20	2014/01/03
24571	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Wts Jan 2014 B	2013/12/20	2014/01/03
25107	BOCI Asia Ltd. – China Mobile European Warrants Jan 2014 A	2013/12/20	2014/01/03
25523	Daiwa Capital Mkt – AIA European Warrants Jan 2014 A	2013/12/20	2014/01/03
25983	Daiwa Capital Mkt – AIA European Warrants Jan 2014 C	2013/12/20	2014/01/03
25430	Daiwa Capital Mkt – BOCL European Warrants Jan 2014 A	2013/12/20	2014/01/03
25985	Daiwa Capital Mkt – CAM CSI300 European Warrants Jan 2014 A	2013/12/20	2014/01/03
26895	Daiwa Capital Mkt – Galaxy Ent European Warrants Jan 2014 A	2013/12/20	2014/01/03
25840	Daiwa Capital Mkt – PetroChina European Warrants Jan 2014 A	2013/12/20	2014/01/03
25986	Daiwa Capital Mkt – Ping An European Warrants Jan 2014 A	2013/12/20	2014/01/03
25429	Daiwa Capital Mkt – Agricultural Bank European Wts Jan 2014 A	2013/12/20	2014/01/03
24415	HK Bank – A50 China European Warrants Jan 2014 A	2013/12/20	2014/01/03
12195	HK Bank – Zijin Mining European Warrants Jan 2014 A	2013/12/20	2014/01/03
24498	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2014 A	2013/12/20	2014/01/03
25315	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2014 B	2013/12/20	2014/01/03
17271	J P Morgan SP BV – China Shenhua Euro Put Wts Jan 2014 A	2013/12/20	2014/01/03
25336	J P Morgan SP BV – AIA European Warrants Jan 2014 B	2013/12/20	2014/01/03
25508	J P Morgan SP BV – BOCL European Warrants Jan 2014 A	2013/12/20	2014/01/03
25354	J P Morgan SP BV – BYD European Warrants Jan 2014 A	2013/12/20	2014/01/03
25507	J P Morgan SP BV – BYD European Warrants Jan 2014 B	2013/12/20	2014/01/03
24511	J P Morgan SP BV – China Life European Warrants Jan 2014 A	2013/12/20	2014/01/03
24591	J P Morgan SP BV – China Life European Warrants Jan 2014 B	2013/12/20	2014/01/03
25561	J P Morgan SP BV – China Life European Warrants Jan 2014 C	2013/12/20	2014/01/03
24349	J P Morgan SP BV – China Mob European Wts Jan 2014 A	2013/12/20	2014/01/03
25314	J P Morgan SP BV – China Mob European Wts Jan 2014 B	2013/12/20	2014/01/03
25501	J P Morgan SP BV – China Tel European Warrants Jan 2014 A	2013/12/20	2014/01/03
25504	J P Morgan SP BV – CPIC European Warrants Jan 2014 A	2013/12/20	2014/01/03
22955	J P Morgan SP BV – Datang European Wts Jan 2014 A	2013/12/20	2014/01/03
25327	J P Morgan SP BV – Foxconn European Warrants Jan 2014 A	2013/12/20	2014/01/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25445	J P Morgan SP BV – HKEx European Warrants Jan 2014 A	2013/12/20	2014/01/03
25348	J P Morgan SP BV – HS Bank European Warrants Jan 2014 A	2013/12/20	2014/01/03
25441	J P Morgan SP BV – HSBC European Warrants Jan 2014 A	2013/12/20	2014/01/03
25442	J P Morgan SP BV – HSBC European Warrants Jan 2014 B	2013/12/20	2014/01/03
24515	J P Morgan SP BV – Hutchison European Warrants Jan 2014 A	2013/12/20	2014/01/03
24517	J P Morgan SP BV – ICBC European Warrants Jan 2014 A	2013/12/20	2014/01/03
25300	J P Morgan SP BV – ICBC European Warrants Jan 2014 B	2013/12/20	2014/01/03
25444	J P Morgan SP BV – KECL European Warrants Jan 2014 A	2013/12/20	2014/01/03
21345	J P Morgan SP BV – Li & Fung European Warrants Jan 2014 A	2013/12/20	2014/01/03
21357	J P Morgan SP BV – MTRC European Warrants Jan 2014 A	2013/12/20	2014/01/03
18856	J P Morgan SP BV – PetroChina European Warrants Jan 2014 A	2013/12/20	2014/01/03
24523	J P Morgan SP BV – PetroChina European Warrants Jan 2014 B	2013/12/20	2014/01/03
22516	J P Morgan SP BV – R&F Ppt European Warrants Jan 2014 A	2013/12/20	2014/01/03
25345	J P Morgan SP BV – Sands China European Warrants Jan 2014 A	2013/12/20	2014/01/03
25496	J P Morgan SP BV – Sands China European Warrants Jan 2014 B	2013/12/20	2014/01/03
22948	J P Morgan SP BV – SHK Ppt European Warrants Jan 2014 A	2013/12/20	2014/01/03
25447	J P Morgan SP BV – Sinopec Corp European Warrants Jan 2014 A	2013/12/20	2014/01/03
23248	J P Morgan SP BV – Sinopharm European Warrants Jan 2014 A	2013/12/20	2014/01/03
22515	J P Morgan SP BV – Stanchart European Warrants Jan 2014 A	2013/12/20	2014/01/03
24760	Macquarie Bank Ltd. – Poly HK Inv European Wts Jan 2014 A	2013/12/20	2014/01/03
22980	Macquarie Bank Ltd. – R&F Ppt European Warrants Jan 2014 A	2013/12/20	2014/01/03
26784	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Jan14 A	2013/12/20	2014/01/03
18192	Standard Chartered Bank -PetroChina European Wts Jan 2014 A	2013/12/20	2014/01/03
26756	UBS AG – China Coal European Warrants Jan 2014 A	2013/12/20	2014/01/03
27016	UBS AG – HSBC European Put Warrants Jan 2014 C	2013/12/20	2014/01/03
21965	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wt Jan 2014 A	2013/12/24	2014/01/06
22563	BNP Paribas Arbit Issu B.V. – Agile Ppt Euro Wt Jan 2014 A	2013/12/24	2014/01/06
23165	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jan14A	2013/12/24	2014/01/06
24990	BNP Paribas Arbit Issu B.V. – AIA European Put Wt Jan 2014 B	2013/12/24	2014/01/06
21083	BNP Paribas Arbit Issu B.V. – AIA European Wts Jan 2014 A	2013/12/24	2014/01/06
24992	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Jan 2014 A	2013/12/24	2014/01/06
25570	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Jan 2014 B	2013/12/24	2014/01/06
20513	BNP Paribas Arbit Issu B.V. – Bank of EA Euro Wts Jan 2014 A	2013/12/24	2014/01/06
23020	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Jan 2014 A	2013/12/24	2014/01/06
18968	BNP Paribas Arbit Issu B.V. – BOCHK European Wts Jan 2014 A	2013/12/24	2014/01/06
21763	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2014 A	2013/12/24	2014/01/06
89000	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jan 2014 A	2013/12/24	2014/01/06
21992	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jan 2014 A	2013/12/24	2014/01/06
22354	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jan 2014 B	2013/12/24	2014/01/06
23009	BNP Paribas Arbit Issu B.V. – Cathay Pacific Eu Wt Jan2014 A	2013/12/24	2014/01/06
21489	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2014 A	2013/12/24	2014/01/06
24575	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2014 B	2013/12/24	2014/01/06
26207	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Jan 2014 B	2013/12/24	2014/01/06
20431	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Jan 2014 A	2013/12/24	2014/01/06
23291	BNP Paribas Arbit Issu B.V. – Cheung Kong Eu Put Wt Jan 14 A	2013/12/24	2014/01/06
16596	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2014	2013/12/24	2014/01/06
23439	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2014 B	2013/12/24	2014/01/06
20588	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Jan 2014 A	2013/12/24	2014/01/06
25549	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Jan 2014 B	2013/12/24	2014/01/06
22356	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2014 A	2013/12/24	2014/01/06
24988	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2014 B	2013/12/24	2014/01/06
23449	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Jan 2014 A	2013/12/24	2014/01/06
25002	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Jan 2014A	2013/12/24	2014/01/06
20310	BNP Paribas Arbit Issu B.V. – China Taiping Eu Wt Jan 2014 A	2013/12/24	2014/01/06
22569	BNP Paribas Arbit Issu B.V. – China Taiping Eu Wt Jan 2014 B	2013/12/24	2014/01/06
24991	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Jan 2014 A	2013/12/24	2014/01/06
25550	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Jan 2014 B	2013/12/24	2014/01/06
19394	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Jan 2014 A	2013/12/24	2014/01/06
25627	BNP Paribas Arbit Issu B.V. – CNBM European Wts Jan 2014 A	2013/12/24	2014/01/06
24987	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jan 2014 A	2013/12/24	2014/01/06
23021	BNP Paribas Arbit Issu B.V. – CSR European Wts Jan 2014 A	2013/12/24	2014/01/06
24986	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Jan 2014 A	2013/12/24	2014/01/06
11061	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Jan 2014 A	2013/12/24	2014/01/06
22353	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Jan 2014 A	2013/12/24	2014/01/06
23426	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Jan 2014 A	2013/12/24	2014/01/06
25633	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Jan 2014 B	2013/12/24	2014/01/06
23448	BNP Paribas Arbit Issu B.V. – Golden Eagle Eu Wt Jan 2014 A	2013/12/24	2014/01/06
24202	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Jan 2014 A	2013/12/24	2014/01/06
23287	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Jan 2014 A	2013/12/24	2014/01/06
24026	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2014 B	2013/12/24	2014/01/06
25557	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2014 C	2013/12/24	2014/01/06
23037	BNP Paribas Arbit Issu B.V. – Huaneng Euro Wts Jan 2014 A	2013/12/24	2014/01/06
24989	BNP Paribas Arbit Issu B.V. – Huaneng Euro Wts Jan 2014 B	2013/12/24	2014/01/06
12823	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jan 2014	2013/12/24	2014/01/06
21486	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2014 A	2013/12/24	2014/01/06
23314	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2014 B	2013/12/24	2014/01/06
25196	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2014 C	2013/12/24	2014/01/06
23425	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Jan 2014 A	2013/12/24	2014/01/06
23447	BNP Paribas Arbit Issu B.V. – Lifestyle Int Eu Wt Jan 2014 A	2013/12/24	2014/01/06
25629	BNP Paribas Arbit Issu B.V. – Link Reit Eu Put Wt Jan 2014 A	2013/12/24	2014/01/06
22400	BNP Paribas Arbit Issu B.V. – Nine Dragons Eur Wt Jan 2014 A	2013/12/24	2014/01/06
18465	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jan 2014 A	2013/12/24	2014/01/06
24188	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jan 2014 B	2013/12/24	2014/01/06
25551	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jan 2014 C	2013/12/24	2014/01/06
11062	BNP Paribas Arbit Issu B.V. – PICC Group Euro Wts Jan 2014 A	2013/12/24	2014/01/06
25625	BNP Paribas Arbit Issu B.V. – PICC Group Euro Wts Jan 2014 B	2013/12/24	2014/01/06
23422	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jan 2014 A	2013/12/24	2014/01/06

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25548	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jan 2014 B	2013/12/24	2014/01/06
23207	BNP Paribas Arbit Issu B.V. – R&F Ppt Euro Wts Jan 2014 A	2013/12/24	2014/01/06
18371	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Jan 2014 A	2013/12/24	2014/01/06
23286	BNP Paribas Arbit Issu B.V. – SHK Ppt Eu Put Wts Jan 2014 A	2013/12/24	2014/01/06
25554	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Jan 2014 A	2013/12/24	2014/01/06
23013	BNP Paribas Arbit Issu B.V. – SJM Holdings Eu Wts Jan 2014 A	2013/12/24	2014/01/06
24993	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jan 2014 A	2013/12/24	2014/01/06
11060	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jan 2014 C	2013/12/24	2014/01/06
25509	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Jan 2014 A	2013/12/24	2014/01/06
24579	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jan 2014 B	2013/12/24	2014/01/06
22989	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Jan 2014 A	2013/12/24	2014/01/06
18972	BNP Paribas Arbit Issu B.V. -MTRC European Wts Jan 2014 A	2013/12/24	2014/01/06
24998	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jan 2014 B	2013/12/24	2014/01/06
19392	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Jan 2014 A	2013/12/24	2014/01/06
22432	CC Rabobank B.A. – Nine Dragons European Warrants Jan 2014 A	2013/12/24	2014/01/06
25462	Credit Suisse AG – A50 China European Warrants Jan 2014 A	2013/12/24	2014/01/06
25463	Credit Suisse AG – A50 China European Warrants Jan 2014 B	2013/12/24	2014/01/06
25620	Credit Suisse AG – China EB Int'l European Wts Jan 2014 A	2013/12/24	2014/01/06
25619	Credit Suisse AG – CNBM European Warrants Jan 2014 A	2013/12/24	2014/01/06
25604	Credit Suisse AG – CSOP A50 ETF European Warrants Jan 2014 A	2013/12/24	2014/01/06
25621	Credit Suisse AG – Geely Auto European Warrants Jan 2014 A	2013/12/24	2014/01/06
25618	Credit Suisse AG – Yanzhou Coal European Warrants Jan 2014 A	2013/12/24	2014/01/06
25622	Daiwa Capital Mkt – CCB European Put Warrants Jan 2014 A	2013/12/24	2014/01/06
22013	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jan 2014 A	2013/12/24	2014/01/06
25125	J P Morgan SP BV – AIA European Warrants Jan 2014 A	2013/12/24	2014/01/06
19925	J P Morgan SP BV – CITIC Pacific Euro Wts Jan 2014 A	2013/12/24	2014/01/06
23222	Macquarie Bank Ltd. – A50 China European Put Wts Jan 2014 B	2013/12/24	2014/01/06
23220	Macquarie Bank Ltd. – A50 China European Warrants Jan 2014 A	2013/12/24	2014/01/06
25457	Macquarie Bank Ltd. – A50 China European Warrants Jan 2014 C	2013/12/24	2014/01/06
24020	Macquarie Bank Ltd. – AIA European Warrants Jan 2014 A	2013/12/24	2014/01/06
24157	Macquarie Bank Ltd. – Angang Steel European Wts Jan 2014 A	2013/12/24	2014/01/06
23962	Macquarie Bank Ltd. – Angang Steel European Wts Jan 2014 B	2013/12/24	2014/01/06
22868	Macquarie Bank Ltd. – Belle Int'l European Wts Jan 2014 A	2013/12/24	2014/01/06
24970	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2014 A	2013/12/24	2014/01/06
17770	Macquarie Bank Ltd. – Cheung Kong European Wts Jan 2014 A	2013/12/24	2014/01/06
24206	Macquarie Bank Ltd. – Cheung Kong European Wts Jan 2014 B	2013/12/24	2014/01/06
24619	Macquarie Bank Ltd. – Chi Resources European Wts Jan 2014 A	2013/12/24	2014/01/06
24214	Macquarie Bank Ltd. – China Agri European Wts Jan 2014 A	2013/12/24	2014/01/06
22771	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Jan 2014 A	2013/12/24	2014/01/06
23256	Macquarie Bank Ltd. – China Life European Wts Jan 2014 A	2013/12/24	2014/01/06
26260	Macquarie Bank Ltd. – CITIC Bank European Wts Jan 2014 A	2013/12/24	2014/01/06
19597	Macquarie Bank Ltd. – CITIC Pacific European Wts Jan 2014 A	2013/12/24	2014/01/06
23282	Macquarie Bank Ltd. – CITIC Pacific European Wts Jan 2014 B	2013/12/24	2014/01/06
20061	Macquarie Bank Ltd. – CITIC Sec European Warrants Jan 2014 A	2013/12/24	2014/01/06
20174	Macquarie Bank Ltd. – CM Bank European Warrants Jan 2014 A	2013/12/24	2014/01/06
25236	Macquarie Bank Ltd. – CPIC European Warrants Jan 2014 A	2013/12/24	2014/01/06
25034	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jan 2014 A	2013/12/24	2014/01/06
25801	Macquarie Bank Ltd. – Evergrande European Wts Jan 2014 A	2013/12/24	2014/01/06
23599	Macquarie Bank Ltd. – Foxconn European Warrants Jan 2014 A	2013/12/24	2014/01/06
23403	Macquarie Bank Ltd. – Galaxy Ent European Put Wts Jan 2014 A	2013/12/24	2014/01/06
23404	Macquarie Bank Ltd. – Galaxy Ent European Wts Jan 2014 B	2013/12/24	2014/01/06
25794	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jan 2014 A	2013/12/24	2014/01/06
20149	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2014 A	2013/12/24	2014/01/06
24537	Macquarie Bank Ltd. – Huaneng Power European Wts Jan 2014 A	2013/12/24	2014/01/06
25033	Macquarie Bank Ltd. – Huaneng Power European Wts Jan 2014 B	2013/12/24	2014/01/06
17768	Macquarie Bank Ltd. – Hutchison European Warrants Jan 2014 A	2013/12/24	2014/01/06
20705	Macquarie Bank Ltd. – Li & Fung European Warrants Jan 2014 A	2013/12/24	2014/01/06
12130	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Jan 2014 A	2013/12/24	2014/01/06
24075	Macquarie Bank Ltd. – Minsheng Bank European Wts Jan 2014 A	2013/12/24	2014/01/06
22982	Macquarie Bank Ltd. – MTRC European Warrants Jan 2014 A	2013/12/24	2014/01/06
24776	Macquarie Bank Ltd. – NCI European Warrants Jan 2014 A	2013/12/24	2014/01/06
17264	Macquarie Bank Ltd. – Nine Dragons European Wts Jan 2014 A	2013/12/24	2014/01/06
19931	Macquarie Bank Ltd. – PetroChina European Wts Jan 2014 A	2013/12/24	2014/01/06
24354	Macquarie Bank Ltd. – PetroChina European Wts Jan 2014 B	2013/12/24	2014/01/06
23961	Macquarie Bank Ltd. – Ping An European Warrants Jan 2014 A	2013/12/24	2014/01/06
24913	Macquarie Bank Ltd. – Sands China European Wts Jan 2014 A	2013/12/24	2014/01/06
24220	Macquarie Bank Ltd. – SHK Ppt European Warrants Jan 2014 A	2013/12/24	2014/01/06
12129	Macquarie Bank Ltd. – Shougang Res European Wts Jan 2014 A	2013/12/24	2014/01/06
24336	Macquarie Bank Ltd. – Stanchart European Warrants Jan 2014 A	2013/12/24	2014/01/06
24106	Macquarie Bank Ltd. – Tencent European Put Wts Jan 2014 B	2013/12/24	2014/01/06
12295	Macquarie Bank Ltd. – Tencent European Warrants Jan 2014 A	2013/12/24	2014/01/06
24763	Macquarie Bank Ltd. – Wharf European Warrants Jan 2014 A	2013/12/24	2014/01/06
25671	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jan 2014 A	2013/12/24	2014/01/06
25043	Standard Chartered Bank – BOCL European Warrants Jan 2014 A	2013/12/24	2014/01/06
22343	Standard Chartered Bank – CAM CSI300 European Wts Jan 2014 A	2013/12/24	2014/01/06
23332	Standard Chartered Bank – Galaxy Ent European Wts Jan 2014 B	2013/12/24	2014/01/06
22342	Standard Chartered Bank – NCI European Warrants Jan 2014 A	2013/12/24	2014/01/06
23337	Standard Chartered Bank – Sands China Euro Wts Jan 2014 A	2013/12/24	2014/01/06
26808	UBS AG – Galaxy Ent European Warrants Jan 2014 A	2013/12/24	2014/01/06
26993	UBS AG – Sinopec Corp European Warrants Jan 2014 A	2013/12/24	2014/01/06
25710	Credit Suisse – SPDR Gold Trust European Warrants Jan 2014 A	2013/12/27	2014/01/07
26829	Credit Suisse AG – China Mobile European Warrants Jan 2014 B	2013/12/27	2014/01/07
25712	Credit Suisse AG – SPDR Gold Trust Euro Put Wts Jan 2014 B	2013/12/27	2014/01/07
25636	Goldman Sachs SP (Asia) – Agri Bk European Wts Jan 2014 A	2013/12/27	2014/01/07
24632	HK Bank – Agricultural Bank European Warrants Jan 2014 A	2013/12/27	2014/01/07
25093	HK Bank – AIA European Warrants Jan 2014 A	2013/12/27	2014/01/07
12279	HK Bank – China Coal European Warrants Jan 2014 A	2013/12/27	2014/01/07

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
26273	HK Bank – China Life European Warrants Jan 2014 A	2013/12/27	2014/01/07
26417	HK Bank – CITIC Sec European Warrants Jan 2014 A	2013/12/27	2014/01/07
24625	HK Bank – HKEx European Warrants Jan 2014 A	2013/12/27	2014/01/07
12298	HK Bank – Link REIT European Warrants Jan 2014 A	2013/12/27	2014/01/07
23912	HK Bank – PetroChina European Warrants Jan 2014 A	2013/12/27	2014/01/07
27806	HK Bank – Ping An European Warrants Jan 2014 A	2013/12/27	2014/01/07
24121	HK Bank – Sinopec Corp European Warrants Jan 2014 A	2013/12/27	2014/01/07
12278	HK Bank – Yanzhou Coal European Warrants Jan 2014 A	2013/12/27	2014/01/07
12662	HK Bank –BYD European Warrants Jan 2014 A	2013/12/27	2014/01/07
25651	J P Morgan SP BV – A50 China European Put Wts Jan 2014 A	2013/12/27	2014/01/07
25664	J P Morgan SP BV – CC Bank Euro Put Wts Jan 2014 C	2013/12/27	2014/01/07
25659	J P Morgan SP BV – China Mob European Put Wts Jan 2014 C	2013/12/27	2014/01/07
25655	J P Morgan SP BV – China Railway European Wts Jan 2014 A	2013/12/27	2014/01/07
25652	J P Morgan SP BV – ICBC European Put Wts Jan 2014 C	2013/12/27	2014/01/07
25650	J P Morgan SP BV – Ping An European Put Warrants Jan 2014 A	2013/12/27	2014/01/07
25645	J P Morgan SP BV – Zhaojin Mining European Wts Jan 2014 A	2013/12/27	2014/01/07
25702	Macquarie Bank Ltd. – SUNAC European Warrants Jan 2014 A	2013/12/27	2014/01/07
26737	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2014 A	2013/12/27	2014/01/07
25097	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2014 A	2013/12/27	2014/01/07
25427	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2014 A	2013/12/27	2014/01/07
25165	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2014 A	2013/12/27	2014/01/07
24861	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jan 2014A	2013/12/27	2014/01/07
20209	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Jan 2014 A	2013/12/27	2014/01/07
26856	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jan14C	2013/12/27	2014/01/07
26702	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jan 2014A	2013/12/27	2014/01/07
24449	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jan 2014 A	2013/12/27	2014/01/07
24614	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 2014A	2013/12/27	2014/01/07
26237	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan2014 B	2013/12/27	2014/01/07
26853	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jan14 B	2013/12/27	2014/01/07
26610	SGA Societe Generale Acceptance N.V. –Ping An Eu Wt Jan 14 A	2013/12/27	2014/01/07
25487	SGA Societe Generale Acceptance N.V. –CAM CSI300 Eu Wt Jan14A	2013/12/27	2014/01/07
25449	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt Jan14A	2013/12/27	2014/01/07
26303	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Jan14A	2013/12/27	2014/01/07
24764	SGA Societe Generale Acceptance NV –CSOP A50 ETF Eu Wt Jan14A	2013/12/27	2014/01/07
26236	SGA Societe Generale Acceptance NV –CSOP A50 ETF Eu Wt Jan14B	2013/12/27	2014/01/07
23421	Standard Chartered Bank – A50 China Euro Warrants Jan 2014 A	2013/12/27	2014/01/07
11308	Standard Chartered Bank – China COSCO European Wt Jan 2014 A	2013/12/27	2014/01/07
26578	Standard Chartered Bank – China Mobile Euro Wts Jan 2014 A	2013/12/27	2014/01/07
24896	Standard Chartered Bank – HuanengPower Euro Wts Jan 2014 A	2013/12/27	2014/01/07
25368	Standard Chartered Bank – ICBC European Put Wts Jan 2014 B	2013/12/27	2014/01/07
25363	Standard Chartered Bank – ICBC European Warrants Jan 2014 A	2013/12/27	2014/01/07
23975	Standard Chartered Bank – PICC Group European Wts Jan 2014 A	2013/12/27	2014/01/07
25580	Standard Chartered Bank – Ping An European Wts Jan 2014 A	2013/12/27	2014/01/07
27447	Standard Chartered Bank – Ping An European Wts Jan 2014 B	2013/12/27	2014/01/07
25992	UBS AG – A50 China European Warrants Jan 2014 B	2013/12/27	2014/01/07
26969	UBS AG – CC Bank European Warrants Jan 2014 C	2013/12/27	2014/01/07
26604	UBS AG – China Mobile European Warrants Jan 2014 B	2013/12/27	2014/01/07
23674	Bank of East Asia – China Overseas Eur Put Wts Jan 2014 B	2013/12/31	2014/01/08
24684	Standard Chartered Bank – CC Bank European Wts Jan 2014 A	2013/12/31	2014/01/08
12475	Standard Chartered Bank – Hutchison Euro Euro Wts Jan 2014	2013/12/31	2014/01/08
25005	Standard Chartered Bank – MGM China European Wts Jan 2014 A	2013/12/31	2014/01/08
25700	UBS AG – AIA European Warrants Jan 2014 B	2013/12/31	2014/01/08
25524	Daiwa Capital Mkt – BOCL European Put Warrants Jan 2014 B	2014/01/02	2014/01/09
25777	Daiwa Capital Mkt – Sinopec Corp European Put Wts Jan 2014 A	2014/01/02	2014/01/09
25740	Nomura Int'l plc – Angang Steel European Warrants Jan 2014 A	2014/01/02	2014/01/09
25756	Nomura Int'l plc – AviChina European Warrants Jan 2014 A	2014/01/02	2014/01/09
25737	Nomura Int'l plc – China EB Int'l European Wts Jan 2014 A	2014/01/02	2014/01/09
25753	Nomura Int'l plc – China Shenhua European Wts Jan 2014 A	2014/01/02	2014/01/09
25760	Nomura Int'l plc – Evergrande European Warrants Jan 2014 A	2014/01/02	2014/01/09
25727	Nomura Int'l plc – Hutchison European Warrants Jan 2014 A	2014/01/02	2014/01/09
25745	Nomura Int'l plc – Sinopec European Warrants Jan 2014 A	2014/01/02	2014/01/09
25749	Nomura Int'l plc – Tencent Holdings Euro Warrants Jan 2014 A	2014/01/02	2014/01/09
25726	Nomura Int'l plc – Henderson Land Dev Euro Wts Jan 2014 A	2014/01/02	2014/01/09
25688	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 14 A	2014/01/02	2014/01/09
25721	SGA Societe Generale Acceptance N.V. –Yurun Food Eu Wt Jan14A	2014/01/02	2014/01/09
12210	Standard Chartered Bank – Galaxy Ent Euro Put Wts Jan 2014 A	2014/01/02	2014/01/09
12113	Standard Chartered Bank – HSBC European Put Wts Jan 2014 A	2014/01/02	2014/01/09
25999	UBS AG – China Life European Warrants Jan 2014 C	2014/01/02	2014/01/09
26958	UBS AG – PetroChina European Warrants Jan 2014 A	2014/01/02	2014/01/09
25807	BOCI Asia Ltd. – Agricultural Bk European Warrants Jan 2014A	2014/01/03	2014/01/10
25824	J P Morgan SP BV – CNOOC European Warrants Jan 2014 A	2014/01/03	2014/01/10
25356	Standard Chartered Bank – CC Bank European Wts Jan 2014 B	2014/01/03	2014/01/10
11079	Standard Chartered Bank – China Coal European Wts Jan 2014 A	2014/01/03	2014/01/10
11090	Standard Chartered Bank – Jiangxi Copper Euro Wts Jan 2014 A	2014/01/03	2014/01/10
25474	UBS AG – A50 China European Warrants Jan 2014 A	2014/01/03	2014/01/10
25545	UBS AG – BYD European Warrants Jan 2014 A	2014/01/03	2014/01/10
25518	UBS AG – CAM CSI300 European Warrants Jan 2014 A	2014/01/03	2014/01/10
25483	UBS AG – CC Bank European Warrants Jan 2014 A	2014/01/03	2014/01/10
25842	UBS AG – China Life European Warrants Jan 2014 B	2014/01/03	2014/01/10
25841	UBS AG – China National Building European Wts Jan 2014 B	2014/01/03	2014/01/10
25473	UBS AG – CSOP A50 ETF European Warrants Jan 2014 A	2014/01/03	2014/01/10
89011	UBS AG – CSOP A50 ETF European Warrants Jan 2014 A	2014/01/03	2014/01/10
27674	UBS AG – HSBC European Put Warrants Jan 2014 D	2014/01/03	2014/01/10
21125	UBS AG – Link REIT European Warrants Jan 2014 A	2014/01/03	2014/01/10
25479	UBS AG – Sands China European Warrants Jan 2014 A	2014/01/03	2014/01/10
25385	Credit Suisse AG – Agricultural Bank European Wts Jan 2014 A	2014/01/06	2014/01/13
25237	Credit Suisse AG – PICC Group European Warrants Jan 2014 A	2014/01/06	2014/01/13

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
26134	Credit Suisse AG – SJM Holdings European Warrants Jan 2014 A	2014/01/06	2014/01/13
26657	HK Bank – Anhui Conch European Warrants Jan 2014 A	2014/01/06	2014/01/13
24199	Macquarie Bank Ltd. – CHALCO European Warrants Jan 2014 A	2014/01/06	2014/01/13
11057	Bank of East Asia – PICC Group European Warrants Jan 2014 A	2014/01/07	2014/01/14
19187	BOCI Asia Ltd. – AIA European Warrants Jan 2014 A	2014/01/07	2014/01/14
24342	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jan 2014 A	2014/01/07	2014/01/14
24343	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jan 2014 A	2014/01/07	2014/01/14
25423	Credit Suisse AG – BOCL European Warrants Jan 2014 A	2014/01/07	2014/01/14
26117	Credit Suisse AG – China Mobile European Warrants Jan 2014 A	2014/01/07	2014/01/14
26135	Credit Suisse AG – GCL-Poly Energy European Wts Jan 2014 A	2014/01/07	2014/01/14
26540	HK Bank – China Mobile European Warrants Jan 2014 B	2014/01/07	2014/01/14
25353	J P Morgan SP BV – Lumena Newmat European Wts Jan 2014 A	2014/01/07	2014/01/14
24793	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2014 A	2014/01/07	2014/01/14
23734	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2014 B	2014/01/07	2014/01/14
25893	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2014 A	2014/01/07	2014/01/14
22197	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Jan 14 A	2014/01/07	2014/01/14
25853	Nomura Int'l plc – A50 China European Warrants Jan 2014 A	2014/01/07	2014/01/14
26019	Nomura Int'l plc – China Mobile European Warrants Jan 2014 A	2014/01/07	2014/01/14
26231	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan14B	2014/01/07	2014/01/14
25856	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jan 2014 A	2014/01/07	2014/01/14
23939	SGA Societe Generale Acceptance N.V. – PICC Gp Eu Wts Jan14A	2014/01/07	2014/01/14
26634	Standard Chartered Bank – SHK P European Warrants Jan 2014 A	2014/01/07	2014/01/14
25272	UBS AG – BOCL European Warrants Jan 2014 A	2014/01/07	2014/01/14
26284	UBS AG – BOCL European Warrants Jan 2014 B	2014/01/07	2014/01/14
25917	UBS AG – CPIC European Warrants Jan 2014 A	2014/01/07	2014/01/14
26191	UBS AG – Evergrande European Warrants Jan 2014 A	2014/01/07	2014/01/14
25055	UBS AG – GCL-Poly Energy European Warrants Jan 2014 A	2014/01/07	2014/01/14
20439	UBS AG – HKEx European Warrants Jan 2014 A	2014/01/07	2014/01/14
26971	UBS AG – ICBC European Warrants Jan 2014 A	2014/01/07	2014/01/14
26605	UBS AG – Ping An European Warrants Jan 2014 A	2014/01/07	2014/01/14
25921	UBS AG – SJM Holdings European Warrants Jan 2014 A	2014/01/07	2014/01/14
25915	UBS AG – Yanzhou Coal European Wts Jan 2014 A	2014/01/07	2014/01/14
25933	Daiwa Capital Mkt – Hutchison European Warrants Jan 2014 A	2014/01/08	2014/01/15
24750	Macquarie Bank Ltd. – Lee & Man Paper European Wt Jan 2014 A	2014/01/08	2014/01/15
25624	Daiwa Capital Mkt – ICBC European Put Warrants Jan 2014 A	2014/01/09	2014/01/16
24597	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2014 A	2014/01/09	2014/01/16
26902	Credit Suisse AG – Tencent Holdings European Wts Jan 2014 G	2014/01/10	2014/01/17
26298	HK Bank – Tencent European Warrants Jan 2014 B	2014/01/10	2014/01/17
25967	Standard Chartered Bank – CNBM European Warrants Jan 2014 B	2014/01/10	2014/01/17
25927	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jan 2014 A	2014/01/13	2014/01/20
25928	Citigroup Global Mkt H Inc. – Tencent European Wts Jan 2014 B	2014/01/13	2014/01/20
10071	RB of Scotland N.V. – Platinum European Warrants Jan 2014	2014/01/13	2014/01/20
10072	RB of Scotland N.V. – Silver European Warrants Jan 2014	2014/01/13	2014/01/20
22820	Standard Chartered Bank – Anhui Conch Euro Wts Jan 2014 A	2014/01/13	2014/01/20
26310	UBS AG – Tencent Holdings European Warrants Jan 2014 D	2014/01/13	2014/01/20
25858	BOCI Asia Ltd. – A50 China European Warrants Jan 2014 A	2014/01/14	2014/01/21
25971	BOCI Asia Ltd. – China Mobile European Warrants Jan 2014 B	2014/01/14	2014/01/21
24151	Citigroup Global Mkt H Inc. – BOCL European Wts Jan 2014 A	2014/01/14	2014/01/21
24457	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jan 2014 B	2014/01/14	2014/01/21
27352	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jan 2014 C	2014/01/14	2014/01/21
24451	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Jan 2014 A	2014/01/14	2014/01/21
24466	Citigroup Global Mkt H Inc. – Hutchison Eu Put Wt Jan 2014 A	2014/01/14	2014/01/21
24470	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Jan 2014 A	2014/01/14	2014/01/21
24142	Credit Suisse AG – AIA European Warrants Jan 2014 A	2014/01/14	2014/01/21
27090	Credit Suisse AG – CC Bank European Warrants Jan 2014 B	2014/01/14	2014/01/21
27092	Credit Suisse AG – China Mobile European Warrants Jan 2014 C	2014/01/14	2014/01/21
26335	Credit Suisse AG – Tencent Holdings Euro Put Wts Jan 2014 D	2014/01/14	2014/01/21
26377	Credit Suisse AG – Tencent Holdings Euro Put Wts Jan 2014 E	2014/01/14	2014/01/21
25839	Daiwa Capital Mkt – AIA European Warrants Jan 2014 B	2014/01/14	2014/01/21
26539	HK Bank – China Mobile European Warrants Jan 2014 A	2014/01/14	2014/01/21
26656	HK Bank – Jiangxi Copper European Warrants Jan 2014 A	2014/01/14	2014/01/21
26596	HK Bank – Sinopec Corp European Warrants Jan 2014 B	2014/01/14	2014/01/21
26289	J P Morgan SP BV – AIA European Warrants Jan 2014 C	2014/01/14	2014/01/21
25078	J P Morgan SP BV – Anhui Conch European Warrants Jan 2014 A	2014/01/14	2014/01/21
19441	J P Morgan SP BV – GCL-Poly European Warrants Jan 2014 A	2014/01/14	2014/01/21
22894	Macquarie Bank Ltd. – Want Want China European Wts Jan 2014 A	2014/01/14	2014/01/21
17984	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jan 2014 A	2014/01/14	2014/01/21
26028	Nomura Int'l plc – ICBC European Warrants Jan 2014 A	2014/01/14	2014/01/21
22265	Nomura Int'l plc – JIANG European Warrants Jan 2014 A	2014/01/14	2014/01/21
26034	Nomura Int'l plc – Lee & Man Paper European Wts Jan 2014 A	2014/01/14	2014/01/21
23024	Nomura Int'l plc – MTRC European Warrants Jan 2014 A	2014/01/14	2014/01/21
26036	Nomura Int'l plc – Ping An European Warrants Jan 2014 A	2014/01/14	2014/01/21
26015	Nomura Int'l plc – Xinyi Glass European Warrants Jan 2014 A	2014/01/14	2014/01/21
23301	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 14 A	2014/01/14	2014/01/21
25952	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Jan14 A	2014/01/14	2014/01/21
26204	UBS AG – AIA European Warrants Jan 2014 C	2014/01/14	2014/01/21
25178	UBS AG – Lumena Newmat European Warrants Jan 2014 A	2014/01/14	2014/01/21
26441	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2014 C	2014/01/15	2014/01/22
26425	J P Morgan SP BV – Yanzhou Coal European Warrants Jan 2014 A	2014/01/16	2014/01/23
18265	SGA Societe Generale Acceptance N.V. – JIANG Eu Wt Jan 2014A	2014/01/16	2014/01/23
26413	Standard Chartered Bank – YZ Coal European Wts Jan 2014 A	2014/01/16	2014/01/23
26395	UBS AG – Yanzhou Coal European Wts Jan 2014 B	2014/01/16	2014/01/23
26526	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2014 B	2014/01/17	2014/01/24
26598	HK Bank – PetroChina European Warrants Jan 2014 B	2014/01/17	2014/01/24
27552	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2014 C	2014/01/17	2014/01/24
26743	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2014 B	2014/01/17	2014/01/24
26116	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2014 B	2014/01/17	2014/01/24

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
26126	UBS AG – CC Bank European Warrants Jan 2014 B	2014/01/17	2014/01/24
22621	UBS AG – China Life European Warrants Jan 2014 A	2014/01/17	2014/01/24
26930	UBS AG – Geely Auto European Warrants Jan 2014 A	2014/01/17	2014/01/24
27005	UBS AG – HSBC European Warrants Jan 2014 B	2014/01/17	2014/01/24
20980	Bank of East Asia – CNBM European Warrants Jan 2014 A	2014/01/20	2014/01/27
25806	BOCI Asia Ltd. – CC Bank European Warrants Jan 2014 A	2014/01/20	2014/01/27
20912	CC Rabobank B.A. – CNBM European Warrants Jan 2014 A	2014/01/20	2014/01/27
21534	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Jan 2014 A	2014/01/20	2014/01/27
26542	Citigroup Global Mkt H Inc. – HSBC European Wts Jan 2014 A	2014/01/20	2014/01/27
26879	Citigroup Global Mkt H Inc. – HSBC European Wts Jan 2014 B	2014/01/20	2014/01/27
27030	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jan 2014 B	2014/01/20	2014/01/27
26104	Credit Suisse AG – China EB Int'l European Wts Jan 2014 B	2014/01/20	2014/01/27
26103	Credit Suisse AG – Datang European Warrants Jan 2014 A	2014/01/20	2014/01/27
22487	Credit Suisse AG – Tencent Holdings European Wts Jan 2014 A	2014/01/20	2014/01/27
26105	Credit Suisse AG – Tencent Holdings European Wts Jan 2014 B	2014/01/20	2014/01/27
26142	Daiwa Capital Mkt – HSBC European Put Warrants Jan 2014 A	2014/01/20	2014/01/27
26138	Daiwa Capital Mkt – Hutchison European Warrants Jan 2014 B	2014/01/20	2014/01/27
20983	Goldman Sachs SP (Asia) – CNBM European Warrants Jan 2014 A	2014/01/20	2014/01/27
25566	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2014 A	2014/01/20	2014/01/27
26318	HK Bank – China Overseas European Warrants Jan 2014 A	2014/01/20	2014/01/27
26320	HK Bank – Tencent European Put Warrants Jan 2014 C	2014/01/20	2014/01/27
26609	HK Bank – Tencent European Warrants Jan 2014 D	2014/01/20	2014/01/27
26926	Standard Chartered Bank – A50 China Euro Warrants Jan 2014 B	2014/01/20	2014/01/27
20951	Standard Chartered Bank – CNBM European Warrants Jan 2014 A	2014/01/20	2014/01/27
27770	Standard Chartered Bank – HSBC European Warrants Jan 2014 B	2014/01/20	2014/01/27
23056	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2014 A	2014/01/20	2014/01/27
26506	UBS AG – China Overseas European Warrants Jan 2014 A	2014/01/20	2014/01/27
26995	UBS AG – Sands China European Warrants Jan 2014 B	2014/01/20	2014/01/27
26483	UBS AG – SPDR Gold Trust European Warrants Jan 2014 A	2014/01/20	2014/01/27
25821	UBS AG – Tencent Holdings European Warrants Jan 2014 B	2014/01/20	2014/01/27
23987	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jan 2014 A	2014/01/21	2014/01/28
25230	BOCI Asia Ltd. – CNBM European Warrants Jan 2014 A	2014/01/21	2014/01/28
22307	BOCI Asia Ltd. – CNOOC European Put Warrants Jan 2014 A	2014/01/21	2014/01/28
25940	BOCI Asia Ltd. – Ping An European Warrants Jan 2014 A	2014/01/21	2014/01/28
25859	BOCI Asia Ltd. – Sinopec Corp European Warrants Jan 2014 A	2014/01/21	2014/01/28
21155	CC Rabobank B.A. – Want Want China European Wts Jan 2014 A	2014/01/21	2014/01/28
22279	Citigroup Global Mkt H Inc. – China Life Euro Wts Jan 2014 A	2014/01/21	2014/01/28
27730	Citigroup Global Mkt H Inc. – HSBC European Wts Jan 2014 C	2014/01/21	2014/01/28
27403	Credit Suisse AG – Agricultural Bank European Wts Jan 2014 B	2014/01/21	2014/01/28
12143	Credit Suisse AG – AIA European Warrants Jan 2014 B	2014/01/21	2014/01/28
26591	Credit Suisse AG – AIA European Warrants Jan 2014 C	2014/01/21	2014/01/28
24237	Credit Suisse AG – Anhui Conch European Warrants Jan 2014 A	2014/01/21	2014/01/28
27406	Credit Suisse AG – CC Bank European Put Warrants Jan 2014 C	2014/01/21	2014/01/28
26822	Credit Suisse AG – CC Bank European Warrants Jan 2014 A	2014/01/21	2014/01/28
27952	Credit Suisse AG – China Mobile European Warrants Jan 2014 D	2014/01/21	2014/01/28
24405	Credit Suisse AG – China Shenhua European Wts Jan 2014 A	2014/01/21	2014/01/28
25617	Credit Suisse AG – China Shenhua European Wts Jan 2014 B	2014/01/21	2014/01/28
26239	Credit Suisse AG – Greatwall Motor European Wts Jan 2014 A	2014/01/21	2014/01/28
25390	Credit Suisse AG – HKEx European Warrants Jan 2014 A	2014/01/21	2014/01/28
27093	Credit Suisse AG – HSBC European Wts Jan 2014 A	2014/01/21	2014/01/28
12106	Credit Suisse AG – Jiangxi Copper European Wts Jan 2014 A	2014/01/21	2014/01/28
25382	Credit Suisse AG – Link REIT European Warrants Jan 2014 A	2014/01/21	2014/01/28
27400	Credit Suisse AG – Minsheng Bank European Wts Jan 2014 A	2014/01/21	2014/01/28
26519	Credit Suisse AG – Tencent Holdings Euro Put Wts Jan 2014 F	2014/01/21	2014/01/28
21258	Goldman Sachs SP (Asia) – MTRC European Warrants Jan 2014 A	2014/01/21	2014/01/28
20910	HK Bank – CNBM European Warrants Jan 2014 A	2014/01/21	2014/01/28
26936	HK Bank – Galaxy Ent European Warrants Jan 2014 A	2014/01/21	2014/01/28
26574	J P Morgan SP BV – HSBC European Warrants Jan 2014 C	2014/01/21	2014/01/28
24755	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Jan 2014 A	2014/01/21	2014/01/28
21980	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2014 A	2014/01/21	2014/01/28
26744	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2014 C	2014/01/21	2014/01/28
26039	Nomura Int'l plc – Zijin Mining European Warrants Jan 2014 A	2014/01/21	2014/01/28
25021	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jan 2014 A	2014/01/21	2014/01/28
26532	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2014 A	2014/01/21	2014/01/28
25796	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Jan14A	2014/01/21	2014/01/28
26190	SGA Societe Generale Acceptance N.V. –Greatwall Eu Wt Jan14A	2014/01/21	2014/01/28
25910	Standard Chartered Bank – Zijin Mining Euro Wts Jan 2014 A	2014/01/21	2014/01/28
23935	UBS AG – AIA European Warrants Jan 2014 A	2014/01/21	2014/01/28
26909	UBS AG – BYD European Warrants Jan 2014 B	2014/01/21	2014/01/28
25998	UBS AG – China Mobile European Warrants Jan 2014 A	2014/01/21	2014/01/28
27257	UBS AG – China Shenhua European Warrants Jan 2014 A	2014/01/21	2014/01/28
27958	UBS AG – China Tel European Warrants Jan 2014 A	2014/01/21	2014/01/28
26886	UBS AG – Greatwall Motor European Warrants Jan 2014 A	2014/01/21	2014/01/28
26192	UBS AG – Tencent Holdings European Put Warrants Jan 2014 C	2014/01/21	2014/01/28
12283	UBS AG – Tencent Holdings European Warrants Jan 2014 A	2014/01/21	2014/01/28
25926	UBS AG – Zijin Mining European Warrants Jan 2014 A	2014/01/21	2014/01/28
26805	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Jan 2014 A	2014/01/22	2014/01/29
26199	Credit Suisse AG – Tencent Holdings European Wts Jan 2014 C	2014/01/22	2014/01/29
26175	Nomura Int'l plc – China Mobile European Warrants Jan 2014 B	2014/01/22	2014/01/29
25371	Standard Chartered Bank – China Coal European Wts Jan 2014 B	2014/01/22	2014/01/29
19690	UBS AG – China National Building European Wts Jan 2014 A	2014/01/22	2014/01/29
24000	UBS AG – CNOOC European Warrants Jan 2014 A	2014/01/22	2014/01/29
26203	UBS AG – Hutchison European Warrants Jan 2014 A	2014/01/22	2014/01/29
20548	Bank of East Asia – CM Bank European Warrants Jan 2014 A	2014/01/23	2014/01/30
26196	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2014 A	2014/01/23	2014/01/30
26812	BOCI Asia Ltd. – HSI European Put Warrants Jan 2014 A	2014/01/23	2014/01/30
25606	Credit Suisse AG – HSCEI European Warrants Jan 2014 A	2014/01/23	2014/01/30

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25823	Credit Suisse AG – HSI European Put Warrants Jan 2014 B	2014/01/23	2014/01/30
27051	Credit Suisse AG – HSI European Put Warrants Jan 2014 D	2014/01/23	2014/01/30
25466	Credit Suisse AG – HSI European Warrants Jan 2014 A	2014/01/23	2014/01/30
26800	Credit Suisse AG – HSI European Warrants Jan 2014 C	2014/01/23	2014/01/30
25525	Daiwa Capital Mkt – HSI European Put Warrants Jan 2014 A	2014/01/23	2014/01/30
25529	Daiwa Capital Mkt – HSI European Put Warrants Jan 2014 B	2014/01/23	2014/01/30
26069	Daiwa Capital Mkt – HSI European Put Warrants Jan 2014 E	2014/01/23	2014/01/30
25691	Daiwa Capital Mkt – HSI European Warrants Jan 2014 C	2014/01/23	2014/01/30
25692	Daiwa Capital Mkt – HSI European Warrants Jan 2014 D	2014/01/23	2014/01/30
25476	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2014 B	2014/01/23	2014/01/30
25862	Goldman Sachs SP (Asia) – CNBM European Warrants Jan 2014 B	2014/01/23	2014/01/30
25844	Goldman Sachs SP (Asia) – HSCEI European Warrants Jan 2014 A	2014/01/23	2014/01/30
25906	Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2014 C	2014/01/23	2014/01/30
25475	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2014 A	2014/01/23	2014/01/30
25905	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2014 B	2014/01/23	2014/01/30
26567	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2014 D	2014/01/23	2014/01/30
25562	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2014 A	2014/01/23	2014/01/30
23387	Goldman Sachs SP (Asia) – Shanghai Ind Euro Wts Jan 2014 A	2014/01/23	2014/01/30
26437	HK Bank – HSCEI European Warrants Jan 2014 A	2014/01/23	2014/01/30
24618	HK Bank – HSI European Put Warrants Jan 2014 A	2014/01/23	2014/01/30
26272	HK Bank – HSI European Put Warrants Jan 2014 C	2014/01/23	2014/01/30
26649	HK Bank – HSI European Put Warrants Jan 2014 E	2014/01/23	2014/01/30
26850	HK Bank – HSI European Put Warrants Jan 2014 F	2014/01/23	2014/01/30
26218	HK Bank – HSI European Warrants Jan 2014 B	2014/01/23	2014/01/30
26541	HK Bank – HSI European Warrants Jan 2014 D	2014/01/23	2014/01/30
25310	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jan 2014 A	2014/01/23	2014/01/30
25305	J P Morgan SP BV – HSI European Put Warrants Jan 2014 A	2014/01/23	2014/01/30
26060	J P Morgan SP BV – HSI European Put Warrants Jan 2014 C	2014/01/23	2014/01/30
26061	J P Morgan SP BV – HSI European Put Warrants Jan 2014 D	2014/01/23	2014/01/30
25306	J P Morgan SP BV – HSI European Warrants Jan 2014 B	2014/01/23	2014/01/30
25901	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Jan 2014 A	2014/01/23	2014/01/30
25896	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2014 B	2014/01/23	2014/01/30
26457	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2014 C	2014/01/23	2014/01/30
26458	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2014 D	2014/01/23	2014/01/30
26459	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2014 E	2014/01/23	2014/01/30
26463	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2014 F	2014/01/23	2014/01/30
21062	Nomura Int'l plc – CNBM European Warrants Jan 2014 A	2014/01/23	2014/01/30
20663	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2014A	2014/01/23	2014/01/30
26698	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2014 A	2014/01/23	2014/01/30
25820	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jan14A	2014/01/23	2014/01/30
26302	Standard Chartered Bank – HSCEI European Warrants Jan 2014 A	2014/01/23	2014/01/30
27244	Standard Chartered Bank – HSI European Warrants Jan 2014 A	2014/01/23	2014/01/30
26276	Standard Chartered Bank – NCI European Warrants Jan 2014 B	2014/01/23	2014/01/30
25469	UBS AG – HSCEI European Warrants Jan 2014 A	2014/01/23	2014/01/30
25699	UBS AG – HSCEI European Warrants Jan 2014 B	2014/01/23	2014/01/30
25485	UBS AG – HSI European Put Warrants Jan 2014 A	2014/01/23	2014/01/30
26507	UBS AG – HSI European Put Warrants Jan 2014 C	2014/01/23	2014/01/30
26960	UBS AG – HSI European Put Warrants Jan 2014 D	2014/01/23	2014/01/30
26253	UBS AG – HSI European Warrants Jan 2014 B	2014/01/23	2014/01/30
26304	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jan 2014 D	2014/01/24	2014/02/04
27439	BOCI Asia Ltd. – China Mobile European Warrants Jan 2014 C	2014/01/24	2014/02/04
26051	BOCI Asia Ltd. – Galaxy Ent European Warrants Jan 2014 A	2014/01/24	2014/02/04
25575	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2014 A	2014/01/24	2014/02/04
26081	Citigroup Global Mkt H Inc. – Lenovo Euro Put Wts Jan 2014 A	2014/01/24	2014/02/04
26082	Citigroup Global Mkt H Inc. – Lenovo European Wts Jan 2014 B	2014/01/24	2014/02/04
26206	Citigroup Global Mkt H Inc. – MGM China Euro Wts Jan 2014 A	2014/01/24	2014/02/04
25380	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Jan 2014 B	2014/01/24	2014/02/04
28376	Credit Suisse AG – China Mobile European Warrants Jan 2014 E	2014/01/24	2014/02/04
26831	Credit Suisse AG – HkEx European Warrants Jan 2014 B	2014/01/24	2014/02/04
27040	Credit Suisse AG – Sands China European Put Wts Jan 2014 A	2014/01/24	2014/02/04
27305	Goldman Sachs SP (Asia) – Ch Mer Hold Euro Wts Jan 2014 A	2014/01/24	2014/02/04
24773	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Jan 2014 A	2014/01/24	2014/02/04
23351	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Jan 2014 A	2014/01/24	2014/02/04
11394	Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2014 A	2014/01/24	2014/02/04
24840	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jan 2014 B	2014/01/24	2014/02/04
24774	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2014 A	2014/01/24	2014/02/04
24133	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jan 2014 A	2014/01/24	2014/02/04
25638	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jan 2014 A	2014/01/24	2014/02/04
24769	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Jan 2014 B	2014/01/24	2014/02/04
24424	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jan 2014 A	2014/01/24	2014/02/04
27491	Goldman Sachs SP (Asia) – Geely Auto European Wts Jan 2014 A	2014/01/24	2014/02/04
25287	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Jan 2014 A	2014/01/24	2014/02/04
24416	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2014 A	2014/01/24	2014/02/04
22738	Goldman Sachs SP (Asia) – Huaneng European Wts Jan 2014 A	2014/01/24	2014/02/04
23551	Goldman Sachs SP (Asia) – Lenovo European Put Wts Jan 2014 B	2014/01/24	2014/02/04
22734	Goldman Sachs SP (Asia) – Lenovo European Wts Jan 2014 A	2014/01/24	2014/02/04
25297	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jan 2014 A	2014/01/24	2014/02/04
25057	Goldman Sachs SP (Asia) – PetroChina European Wts Jan 2014 A	2014/01/24	2014/02/04
23353	Goldman Sachs SP (Asia) – Poly HK Inv Euro Wts Jan 2014 A	2014/01/24	2014/02/04
24928	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jan 2014 A	2014/01/24	2014/02/04
26091	Goldman Sachs SP (Asia) -Tencent European Wt Jan 2014 A	2014/01/24	2014/02/04
26678	Goldman Sachs SP (Asia) -Tencent European Wt Jan 2014 B	2014/01/24	2014/02/04
24930	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jan 2014 A	2014/01/24	2014/02/04
26653	HK Bank – AIA European Put Warrants Jan 2014 B	2014/01/24	2014/02/04
26277	HK Bank – CSOP A50 ETF European Warrants Jan 2014 A	2014/01/24	2014/02/04
26224	HK Bank – Minsheng Bank European Warrants Jan 2014 A	2014/01/24	2014/02/04
26225	HK Bank – Tencent European Warrants Jan 2014 A	2014/01/24	2014/02/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
24381	Nomura Int'l plc – CITIC P European Warrants Jan 2014 A	2014/01/24	2014/02/04
26042	Nomura Int'l plc – CNBM European Warrants Jan 2014 B	2014/01/24	2014/02/04
23060	Standard Chartered Bank – Agricultural Bk Eur Wts Jan 2014 A	2014/01/24	2014/02/04
27082	Standard Chartered Bank – Sands China Eu Put Wts Jan 2014 B	2014/01/24	2014/02/04
26717	UBS AG – AIA European Put Warrants Jan 2014 D	2014/01/24	2014/02/04
26281	UBS AG – HSBC European Warrants Jan 2014 A	2014/01/24	2014/02/04
26606	UBS AG – Tencent Holdings European Warrants Jan 2014 E	2014/01/24	2014/02/04
26387	Bank of East Asia – China COSCO European Warrants Feb 2014 A	2014/01/24	2014/02/05
26366	Bank of East Asia – China Overseas Euro Warrants Feb 2014 A	2014/01/24	2014/02/05
26393	Bank of East Asia – Galaxy Ent European Warrants Feb 2014 A	2014/01/24	2014/02/05
24558	Bank of East Asia – HKEx European Warrants Feb 2014 A	2014/01/24	2014/02/05
25980	Bank of East Asia – Nine Dragons European Wts Feb 2014 A	2014/01/24	2014/02/05
19949	BNP Paribas Arbit Issu B.V. – CNBM European Wts Feb 2014 A	2014/01/24	2014/02/05
20774	BNP Paribas Arbit Issu B.V. – HK Gas European Wts Feb 2014 B	2014/01/24	2014/02/05
20670	BNP Paribas Arbit Issu B.V. – SHK Ppt Eu Put Wts Feb 2014 A	2014/01/24	2014/02/05
26049	BOCI Asia Ltd. – Anhui Conch European Warrants Feb 2014 A	2014/01/24	2014/02/05
26964	BOCI Asia Ltd. – PetroChina European Warrants Feb 2014 A	2014/01/24	2014/02/05
26346	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Feb 2014 A	2014/01/24	2014/02/05
26362	Credit Suisse AG – HN Renewables European Wts Feb 2014 A	2014/01/24	2014/02/05
26363	Credit Suisse AG – Kingsoft European Warrants Feb 2014 A	2014/01/24	2014/02/05
26336	Credit Suisse AG – Tencent Holdings European Wts Feb 2014 B	2014/01/24	2014/02/05
27123	Daiwa Capital Mkt – China Mobile European Wts Feb 2014 A	2014/01/24	2014/02/05
28510	Daiwa Capital Mkt – China Mobile European Wts Feb 2014 B	2014/01/24	2014/02/05
26141	Daiwa Capital Mkt – HSBC European Warrants Feb 2014 A	2014/01/24	2014/02/05
24019	Goldman Sachs SP (Asia) – Tingyi European Wts Feb 2014 A	2014/01/24	2014/02/05
24013	Goldman Sachs SP (Asia) – AIA European Warrants Feb 2014 A	2014/01/24	2014/02/05
26297	HK Bank – A50 China European Warrants Feb 2014 A	2014/01/24	2014/02/05
26299	HK Bank – CC Bank European Warrants Feb 2014 A	2014/01/24	2014/02/05
23467	HK Bank – China Life European Warrants Feb 2014 A	2014/01/24	2014/02/05
24872	HK Bank – China Shenhua European Warrants Feb 2014 A	2014/01/24	2014/02/05
26314	HK Bank – Henderson Land Dev European Warrants Feb 2014 A	2014/01/24	2014/02/05
23872	HK Bank – Link REIT European Warrants Feb 2014 A	2014/01/24	2014/02/05
26319	HK Bank – Sands China European Warrants Feb 2014 A	2014/01/24	2014/02/05
26295	HK Bank – Yanzhou Coal European Warrants Feb 2014 A	2014/01/24	2014/02/05
26072	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2014 A	2014/01/24	2014/02/05
25874	J P Morgan SP BV – BankComm European Warrants Feb 2014 A	2014/01/24	2014/02/05
26374	J P Morgan SP BV – Cheung Kong European Warrants Feb 2014 A	2014/01/24	2014/02/05
25892	J P Morgan SP BV – China Mob European Wts Feb 2014 A	2014/01/24	2014/02/05
22992	J P Morgan SP BV – China Shenhua European Wts Feb 2014 A	2014/01/24	2014/02/05
25871	J P Morgan SP BV – Evergrande European Warrants Feb 2014 A	2014/01/24	2014/02/05
25870	J P Morgan SP BV – Geely Auto European Warrants Feb 2014 A	2014/01/24	2014/02/05
26372	J P Morgan SP BV – Greatwall Motor European Wts Feb 2014 A	2014/01/24	2014/02/05
26371	J P Morgan SP BV – Hutchison European Warrants Feb 2014 A	2014/01/24	2014/02/05
25825	J P Morgan SP BV – SPDR Gold Trust European Wts Feb 2014 A	2014/01/24	2014/02/05
25827	J P Morgan SP BV – Tencent European Put Warrants Feb 2014 C	2014/01/24	2014/02/05
17094	J P Morgan SP BV – Tencent European Warrants Feb 2014 A	2014/01/24	2014/02/05
25826	J P Morgan SP BV – Tencent European Warrants Feb 2014 B	2014/01/24	2014/02/05
25890	J P Morgan SP BV – Xinyi Glass European Warrants Feb 2014 A	2014/01/24	2014/02/05
25975	Macquarie Bank Ltd. – BYD European Warrants Feb 2014 A	2014/01/24	2014/02/05
19211	Macquarie Bank Ltd. – CNBM European Warrants Feb 2014 A	2014/01/24	2014/02/05
25818	Macquarie Bank Ltd. – Dongyue Group European Wts Feb 2014 A	2014/01/24	2014/02/05
17599	Macquarie Bank Ltd. – HK Gas European Warrants Feb 2014 A	2014/01/24	2014/02/05
25536	Macquarie Bank Ltd. – Ping An European Warrants Feb 2014 A	2014/01/24	2014/02/05
18503	Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2014A	2014/01/24	2014/02/05
25977	Macquarie Bank Ltd. –Greatwall Motor European Wts Feb 2014 A	2014/01/24	2014/02/05
27551	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Feb 2014 A	2014/01/24	2014/02/05
25848	Nomura Int'l plc – CSOP A50 ETF European Warrants Feb 2014 A	2014/01/24	2014/02/05
26143	Standard Chartered Bank – Tencent Hldg Eu Put Wts Feb 2014 A	2014/01/24	2014/02/05
26359	Standard Chartered Bank – Tencent Hldg Euro Wts Feb 2014 B	2014/01/24	2014/02/05
26365	UBS AG – Hutchison European Warrants Feb 2014 A	2014/01/24	2014/02/05
26369	UBS AG – Tencent Holdings European Put Warrants Feb 2014 D	2014/01/24	2014/02/05
24985	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Feb 2014 A	2014/01/27	2014/02/06
24173	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Feb14A	2014/01/27	2014/02/06
24138	BNP Paribas Arbit Issu B.V. – Angang Steel Eur Wt Feb 2014 A	2014/01/27	2014/02/06
26860	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Feb 2014 A	2014/01/27	2014/02/06
24499	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2014 A	2014/01/27	2014/02/06
25193	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2014 B	2014/01/27	2014/02/06
25948	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Feb 2014 A	2014/01/27	2014/02/06
24172	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Feb 2014 A	2014/01/27	2014/02/06
21813	BNP Paribas Arbit Issu B.V. – CKI Holdings Eu Wts Feb 2014 A	2014/01/27	2014/02/06
25192	BNP Paribas Arbit Issu B.V. – CPIC European Wts Feb 2014 A	2014/01/27	2014/02/06
23027	BNP Paribas Arbit Issu B.V. – CQRC Bank Euro Wts Feb 2014 A	2014/01/27	2014/02/06
20411	BNP Paribas Arbit Issu B.V. – Datang Power Eu Wts Feb 2014 A	2014/01/27	2014/02/06
24163	BNP Paribas Arbit Issu B.V. – Esprit European Wts Feb 2014 A	2014/01/27	2014/02/06
25201	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Feb 2014 A	2014/01/27	2014/02/06
26095	BNP Paribas Arbit Issu B.V. – NCI European Wts Feb 2014 A	2014/01/27	2014/02/06
24574	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Feb 2014 A	2014/01/27	2014/02/06
25946	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wt Feb14A	2014/01/27	2014/02/06
23745	BNP Paribas Arbit Issu B.V. – Shenzhen Int'l Eu Wt Feb 2014A	2014/01/27	2014/02/06
26092	BNP Paribas Arbit Issu B.V. – SUNAC European Wts Feb 2014 A	2014/01/27	2014/02/06
26078	BNP Paribas Arbit Issu B.V. – Swire Pacific A Eu Wt Feb 14 A	2014/01/27	2014/02/06
26209	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Feb 2014 B	2014/01/27	2014/02/06
22572	BNP Paribas Arbit Issu B.V. – Want Want Ch Eu Wts Feb 2014 A	2014/01/27	2014/02/06
24587	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Feb 2014 A	2014/01/27	2014/02/06
12286	BNP Paribas Arbit Issu B.V. – Yuexiu Property Eu Wt Feb 14 A	2014/01/27	2014/02/06
24167	BNP Paribas Arbit Issu B.V. –Tencent Hldgs Eu Wt Feb 2014 A	2014/01/27	2014/02/06
24758	BNP Paribas Arbit Issu B.V. –Ting Yi European Wts Feb 2014 A	2014/01/27	2014/02/06

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27388	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Feb 2014 C	2014/01/27	2014/02/06
27635	Goldman Sachs SP (Asia) – A50 China European Wts Feb 2014 B	2014/01/27	2014/02/06
26707	Goldman Sachs SP (Asia) – CNBM European Warrants Feb 2014 A	2014/01/27	2014/02/06
26353	HK Bank – China Railway European Warrants Feb 2014 A	2014/01/27	2014/02/06
27805	HK Bank – Tencent European Put Warrants Feb 2014 D	2014/01/27	2014/02/06
26400	J P Morgan SP BV – Kingsoft European Warrants Feb 2014 A	2014/01/27	2014/02/06
26402	J P Morgan SP BV – AIA European Warrants Feb 2014 A	2014/01/27	2014/02/06
25351	J P Morgan SP BV – Chi Res Land European Warrants Feb 2014 A	2014/01/27	2014/02/06
25494	J P Morgan SP BV – SJM Holdings European Warrants Feb 2014 A	2014/01/27	2014/02/06
25076	J P Morgan SP BV – Zijin Mining European Warrants Feb 2014 A	2014/01/27	2014/02/06
27277	Macquarie Bank Ltd. – A50 China European Warrants Feb 2014 A	2014/01/27	2014/02/06
25976	Macquarie Bank Ltd. – AAC Acoustic European Wts Feb 2014 A	2014/01/27	2014/02/06
25584	Macquarie Bank Ltd. – Anhui Conch European Wts Feb 2014 A	2014/01/27	2014/02/06
26280	Macquarie Bank Ltd. – BJ Ent Water European Wts Feb 2014 A	2014/01/27	2014/02/06
25695	Macquarie Bank Ltd. – Ch Comm Cons European Wts Feb 2014 A	2014/01/27	2014/02/06
26123	Macquarie Bank Ltd. – Ch LongYuan European Wts Feb 2014 A	2014/01/27	2014/02/06
26121	Macquarie Bank Ltd. – China Coal European Wts Feb 2014 A	2014/01/27	2014/02/06
24830	Macquarie Bank Ltd. – China COSCO European Wts Feb 2014 A	2014/01/27	2014/02/06
25141	Macquarie Bank Ltd. – CITIC Bank European Wts Feb 2014 A	2014/01/27	2014/02/06
23350	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2014 A	2014/01/27	2014/02/06
23155	Macquarie Bank Ltd. – COSCO Pacific European Wts Feb 2014 A	2014/01/27	2014/02/06
25819	Macquarie Bank Ltd. – QRC Bank European Warrants Feb 2014 A	2014/01/27	2014/02/06
26361	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Feb 2014 A	2014/01/27	2014/02/06
22687	Macquarie Bank Ltd. – Haitong Sec European Wts Feb 2014 A	2014/01/27	2014/02/06
26821	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Feb 2014 A	2014/01/27	2014/02/06
26279	Macquarie Bank Ltd. – HSBC European Warrants Feb 2014 A	2014/01/27	2014/02/06
16878	Macquarie Bank Ltd. – Hysan Dev European Warrants Feb 2014 A	2014/01/27	2014/02/06
25159	Macquarie Bank Ltd. – ICBC European Warrants Feb 2014 A	2014/01/27	2014/02/06
20169	Macquarie Bank Ltd. – Kerry Ppt European Warrants Feb 2014 A	2014/01/27	2014/02/06
26601	Macquarie Bank Ltd. – Nine Dragons European Wts Feb 2014 A	2014/01/27	2014/02/06
27591	Macquarie Bank Ltd. – Ping An European Warrants Feb 2014 B	2014/01/27	2014/02/06
23836	Macquarie Bank Ltd. – PWR Assets European Wts Feb 2014 A	2014/01/27	2014/02/06
23123	Macquarie Bank Ltd. – Sh Ind H European Warrants Feb 2014 A	2014/01/27	2014/02/06
23989	Macquarie Bank Ltd. – Shui On Land European Wts Feb 2014 A	2014/01/27	2014/02/06
23480	Macquarie Bank Ltd. – Swire Pacific A Euro Wts Feb 2014 A	2014/01/27	2014/02/06
26201	Macquarie Bank Ltd. – Tencent European Warrants Feb 2014 A	2014/01/27	2014/02/06
26342	Macquarie Bank Ltd. – Xinyi Glass European Wts Feb 2014 A	2014/01/27	2014/02/06
26264	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Feb 2014 A	2014/01/27	2014/02/06
25140	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Feb 2014 B	2014/01/27	2014/02/06
26358	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2014 A	2014/01/27	2014/02/06
27920	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Feb 2014 A	2014/01/27	2014/02/06
25850	Nomura Int'l plc – A50 China European Warrants Feb 2014 A	2014/01/27	2014/02/06
26027	Nomura Int'l plc – BYD European Warrants Feb 2014 A	2014/01/27	2014/02/06
26180	Nomura Int'l plc – Greatwall Motor European Wts Feb 2014 A	2014/01/27	2014/02/06
26031	Nomura Int'l plc – Minsheng Bank European Wts Feb 2014 A	2014/01/27	2014/02/06
26037	Nomura Int'l plc – Nine Dragons European Warrants Feb 2014 A	2014/01/27	2014/02/06
26160	Nomura Int'l plc – Poly European Warrants Feb 2014 A	2014/01/27	2014/02/06
26025	Nomura Int'l plc – Zoomlion European Warrants Feb 2014 A	2014/01/27	2014/02/06
26661	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2014 A	2014/01/27	2014/02/06
26716	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 14 A	2014/01/27	2014/02/06
27795	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb14 B	2014/01/27	2014/02/06
19547	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Feb 2014 A	2014/01/27	2014/02/06
25018	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb2014 A	2014/01/27	2014/02/06
27665	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Feb 14 A	2014/01/27	2014/02/06
26421	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2014 B	2014/01/27	2014/02/06
26198	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2014 A	2014/01/27	2014/02/06
26700	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2014 B	2014/01/27	2014/02/06
26611	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2014 A	2014/01/27	2014/02/06
26422	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Feb 14 A	2014/01/27	2014/02/06
27415	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Feb 14 B	2014/01/27	2014/02/06
26110	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Feb 14 A	2014/01/27	2014/02/06
26326	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Feb 14 B	2014/01/27	2014/02/06
25490	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Feb14A	2014/01/27	2014/02/06
24880	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Feb14A	2014/01/27	2014/02/06
26628	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Feb14A	2014/01/27	2014/02/06
26329	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Feb14C	2014/01/27	2014/02/06
26331	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Feb14D	2014/01/27	2014/02/06
26748	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Feb14 A	2014/01/27	2014/02/06
25369	Standard Chartered Bank – BOCL European Put Wts Feb 2014 A	2014/01/27	2014/02/06
27247	Standard Chartered Bank – BYD European Warrants Feb 2014 A	2014/01/27	2014/02/06
25521	Standard Chartered Bank – Chi Res Power Euro Wts Feb 2014 A	2014/01/27	2014/02/06
27772	Standard Chartered Bank – CNBM European Warrants Feb 2014 A	2014/01/27	2014/02/06
25040	Standard Chartered Bank – CNOOC European Warrants Feb 2014 A	2014/01/27	2014/02/06
20418	Standard Chartered Bank – HKEx European Warrants Feb 2014 A	2014/01/27	2014/02/06
23484	Standard Chartered Bank – Sinopec Corp Euro Wts Feb 2014 A	2014/01/27	2014/02/06
26389	Standard Chartered Bank – Tencent Hldg Eu Put Wts Feb 2014 C	2014/01/27	2014/02/06
25763	Standard Chartered Bank – Zijin Mining Euro Wts Feb 2014 A	2014/01/27	2014/02/06
12121	Standard Chartered Bank -PetroChina European Wts Feb 2014 A	2014/01/27	2014/02/06
27099	UBS AG – China Mobile European Warrants Feb 2014 B	2014/01/27	2014/02/06
24981	UBS AG – CNOOC European Warrants Feb 2014 B	2014/01/27	2014/02/06
23834	Bank of East Asia – Ch Mobile European Warrants Feb 2014 A	2014/01/28	2014/02/07
24567	Bank of East Asia – Foxconn European Warrants Feb 2014 A	2014/01/28	2014/02/07
26429	Citigroup Global Mkt H Inc.-Tencent European Wts Feb 2014 D	2014/01/28	2014/02/07
27281	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2014 E	2014/01/28	2014/02/07
26381	HK Bank – GCL-Poly Energy European Warrants Feb 2014 A	2014/01/28	2014/02/07
26388	HK Bank – HKEx European Warrants Feb 2014 A	2014/01/28	2014/02/07
26426	J P Morgan SP BV – Anton Oilfield European Wts Feb 2014 A	2014/01/28	2014/02/07

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27855	Macquarie Bank Ltd. – HSBC European Warrants Feb 2014 B	2014/01/28	2014/02/07
25762	Standard Chartered Bank – China Shenhua Euro Wts Feb 2014 A	2014/01/28	2014/02/07
23052	Standard Chartered Bank – Ping An European Wts Feb 2014 A	2014/01/28	2014/02/07
28599	UBS AG – China National Building European Wts Feb 2014 B	2014/01/28	2014/02/07
28588	UBS AG – HKEx European Warrants Feb 2014 A	2014/01/28	2014/02/07
25969	BOCI Asia Ltd. – AIA European Warrants Feb 2014 A	2014/01/30	2014/02/10
27660	Credit Suisse AG – SHK Ppt European Put Warrants Feb 2014 B	2014/01/30	2014/02/10
27592	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2014 F	2014/01/30	2014/02/10
26680	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2014 F	2014/01/30	2014/02/10
26408	HK Bank – Tencent European Put Warrants Feb 2014 C	2014/01/30	2014/02/10
27758	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Feb 2014 B	2014/01/30	2014/02/10
23784	Standard Chartered Bank – CITIC Pacific Euro Wts Feb 2014 A	2014/01/30	2014/02/10
22496	Standard Chartered Bank – R&F Ppt European Wts Feb 2014 A	2014/01/30	2014/02/10
23074	Standard Chartered Bank – SHK P European Put Wts Feb 2014 A	2014/01/30	2014/02/10
26323	Standard Chartered Bank -PetroChina European Wts Feb 2014 B	2014/01/30	2014/02/10
26556	UBS AG – HSBC European Warrants Feb 2014 A	2014/01/30	2014/02/10
26592	UBS AG – Tencent Holdings European Put Warrants Feb 2014 F	2014/01/30	2014/02/10
27152	BOCI Asia Ltd. -Tencent Holdings European Put Wts Feb 2014 C	2014/02/04	2014/02/11
26492	Citigroup Global Mkt H Inc. – MGM China Euro Wts Feb 2014 A	2014/02/04	2014/02/11
26495	Citigroup Global Mkt H Inc. – Wynn Macau Euro Wts Feb 2014 A	2014/02/04	2014/02/11
27659	Credit Suisse AG – Cheung Kong European Warrants Feb 2014 A	2014/02/04	2014/02/11
25195	Credit Suisse AG – CNOOC European Warrants Feb 2014 A	2014/02/04	2014/02/11
27044	Credit Suisse AG – Galaxy Ent European Put Wts Feb 2014 A	2014/02/04	2014/02/11
26478	Credit Suisse AG – Hutchison European Warrants Feb 2014 A	2014/02/04	2014/02/11
26428	Credit Suisse AG – KECL European Warrants Feb 2014 A	2014/02/04	2014/02/11
26456	Credit Suisse AG – Minsheng Bank European Wts Feb 2014 A	2014/02/04	2014/02/11
23255	Daiwa Capital Mkt – BOC HK European Warrants Feb 2014 A	2014/02/04	2014/02/11
23270	Daiwa Capital Mkt – China Telecom European Wts Feb 2014 A	2014/02/04	2014/02/11
26476	Daiwa Capital Mkt – Hutchison European Warrants Feb 2014 A	2014/02/04	2014/02/11
12159	Daiwa Capital Mkt – Tencent Holdings European Wts Feb 2014 A	2014/02/04	2014/02/11
26491	J P Morgan SP BV – AIA European Put Warrants Feb 2014 B	2014/02/04	2014/02/11
26490	J P Morgan SP BV – CSOP A50 ETF European Warrants Feb 2014 A	2014/02/04	2014/02/11
26485	J P Morgan SP BV – Sands China European Put Wts Feb 2014 A	2014/02/04	2014/02/11
22861	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Feb 2014 A	2014/02/04	2014/02/11
26482	UBS AG – Cheung Kong European Warrants Feb 2014 A	2014/02/04	2014/02/11
26484	UBS AG – Hutchison European Warrants Feb 2014 B	2014/02/04	2014/02/11
28541	UBS AG – Kingsoft European Warrants Feb 2014 B	2014/02/04	2014/02/11
26815	BOCI Asia Ltd. – Tencent Holdings European Wts Feb 2014 B	2014/02/05	2014/02/12
27860	Credit Suisse AG – Hutchison European Warrants Feb 2014 B	2014/02/05	2014/02/12
26516	Credit Suisse AG – Kingsoft European Warrants Feb 2014 B	2014/02/05	2014/02/12
26518	Credit Suisse AG – Tencent Holdings European Wts Feb 2014 D	2014/02/05	2014/02/12
26616	HK Bank – CNOOC European Warrants Feb 2014 A	2014/02/05	2014/02/12
27761	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2014 D	2014/02/05	2014/02/12
27077	UBS AG – Tencent Holdings European Put Warrants Feb 2014 G	2014/02/05	2014/02/12
25972	UBS AG – Tencent Holdings European Warrants Feb 2014 A	2014/02/05	2014/02/12
26339	UBS AG – Tencent Holdings European Warrants Feb 2014 C	2014/02/05	2014/02/12
24565	Bank of East Asia – Wynn Macau European Warrants Feb 2014 A	2014/02/06	2014/02/13
12246	BOCI Asia Ltd. – CNOOC European Warrants Feb 2014 A	2014/02/06	2014/02/13
27473	Credit Suisse AG – CNBM European Warrants Feb 2014 A	2014/02/06	2014/02/13
24770	Daiwa Capital Mkt – CNOOC European Warrants Feb 2014 A	2014/02/06	2014/02/13
25693	Daiwa Capital Mkt – ICBC European Warrants Feb 2014 A	2014/02/06	2014/02/13
26501	HK Bank – HWL European Warrants Feb 2014 A	2014/02/06	2014/02/13
26503	HK Bank – HWL European Warrants Feb 2014 B	2014/02/06	2014/02/13
26547	J P Morgan SP BV – MGM China European Put Wts Feb 2014 A	2014/02/06	2014/02/13
26548	J P Morgan SP BV – MGM China European Warrants Feb 2014 B	2014/02/06	2014/02/13
26544	J P Morgan SP BV – Tencent European Put Warrants Feb 2014 D	2014/02/06	2014/02/13
26546	J P Morgan SP BV – Tencent European Warrants Feb 2014 E	2014/02/06	2014/02/13
25747	Nomura Int'l plc – Tencent Holdings Euro Warrants Feb 2014 A	2014/02/06	2014/02/13
26559	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Feb 2014 B	2014/02/06	2014/02/13
26553	Standard Chartered Bank – Tencent Hldg Euro Wts Feb 2014 E	2014/02/06	2014/02/13
26575	Citigroup Global Mkt H Inc. – MGM China Eu Put Wt Feb 2014 B	2014/02/07	2014/02/14
26582	J P Morgan SP BV – Kingsoft European Warrants Feb 2014 B	2014/02/07	2014/02/14
26558	Macquarie Bank Ltd. – Kingsoft European Warrants Feb 2014 A	2014/02/07	2014/02/14
26580	Standard Chartered Bank – Tencent Hldg Eu Put Wts Feb 2014 F	2014/02/07	2014/02/14
26576	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Feb 14 A	2014/02/10	2014/02/17
26525	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Feb 2014 E	2014/02/10	2014/02/17
22632	J P Morgan SP BV – Dongfeng Motor European Wts Feb 2014 A	2014/02/10	2014/02/17
25936	BOCI Asia Ltd. – CAM CSI300 European Warrants Feb 2014 A	2014/02/11	2014/02/18
26620	Credit Suisse – Yanzhou Coal European Put Wts Feb 2014 A	2014/02/11	2014/02/18
27073	Daiwa Capital Mkt – A50 China European Warrants Feb 2014 A	2014/02/11	2014/02/18
22705	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Feb 2014 A	2014/02/11	2014/02/18
22834	HK Bank – BOC HK European Warrants Feb 2014 A	2014/02/11	2014/02/18
25654	J P Morgan SP BV – CAM CSI300 European Warrants Feb 2014 A	2014/02/11	2014/02/18
25182	Macquarie Bank Ltd. – China Shenhua European Wts Feb 2014 A	2014/02/11	2014/02/18
22064	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Feb 2014 A	2014/02/11	2014/02/18
17851	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2014 A	2014/02/11	2014/02/18
27545	Nomura Int'l plc – China Shenhua European Wts Feb 2014 A	2014/02/11	2014/02/18
12234	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Feb 14 A	2014/02/11	2014/02/18
26613	Standard Chartered Bank -Kingsoft European Wts Feb 2014 A	2014/02/11	2014/02/18
11128	UBS AG – AIA European Warrants Feb 2014 A	2014/02/11	2014/02/18
22196	UBS AG – CNOOC European Warrants Feb 2014 A	2014/02/11	2014/02/18
25885	UBS AG – Jiangxi Copper European Warrants Feb 2014 A	2014/02/11	2014/02/18
27633	UBS AG – Kingsoft European Warrants Feb 2014 A	2014/02/11	2014/02/18
26932	Credit Suisse AG – AIA European Warrants Feb 2014 A	2014/02/12	2014/02/19
27342	Credit Suisse AG – China Mobile European Put Wts Feb 2014 A	2014/02/12	2014/02/19
12294	Daiwa Capital Mkt – Tencent Holdings European Wts Feb 2014 B	2014/02/12	2014/02/19
26173	Nomura Int'l plc – CC Bank European Warrants Feb 2014 A	2014/02/12	2014/02/19

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27987	Nomura Int'l plc – China Agri European Warrants Feb 2014 A	2014/02/12	2014/02/19
25741	Nomura Int'l plc – JIANC European Warrants Feb 2014 A	2014/02/12	2014/02/19
26168	Nomura Int'l plc – Tencent Holdings Euro Warrants Feb 2014 B	2014/02/12	2014/02/19
27397	Standard Chartered Bank – China Mobile Eur Put Wt Feb 2014 B	2014/02/12	2014/02/19
26643	UBS AG – Hutchison European Warrants Feb 2014 C	2014/02/12	2014/02/19
26521	UBS AG – Tencent Holdings European Warrants Feb 2014 E	2014/02/12	2014/02/19
12132	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2014 A	2014/02/13	2014/02/20
11239	Citigroup Global Mkt H Inc. – Sands China Euro Wt Feb 2014 A	2014/02/13	2014/02/20
26403	HK Bank – Jiangxi Copper European Warrants Feb 2014 A	2014/02/13	2014/02/20
26671	UBS AG – A50 China European Warrants Feb 2014 A	2014/02/13	2014/02/20
26670	UBS AG – China National Building European Wts Feb 2014 A	2014/02/13	2014/02/20
24069	CC Rabobank B.A. – Weichai Power European Wts Feb 2014 A	2014/02/14	2014/02/21
25997	Daiwa Capital Mkt – BOCL European Warrants Feb 2014 A	2014/02/14	2014/02/21
26148	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Feb 14 A	2014/02/14	2014/02/21
26785	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2014 A	2014/02/14	2014/02/21
27760	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Feb 2014 C	2014/02/14	2014/02/21
20012	Standard Chartered Bank – China Unicom Euro Wts Feb 2014 A	2014/02/14	2014/02/21
26443	Standard Chartered Bank – Tencent Hldg Eu Put Wts Feb 2014 D	2014/02/14	2014/02/21
28080	Standard Chartered Bank –YZ Coal European Wts Feb 2014 A	2014/02/14	2014/02/21
26973	Standard Chartered Bank-China Life European Wts Feb 2014 A	2014/02/14	2014/02/21
27017	UBS AG – China Mobile European Put Warrants Feb 2014 A	2014/02/14	2014/02/21
25981	Bank of East Asia – CNBM European Warrants Feb 2014 A	2014/02/17	2014/02/24
26728	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Feb 14 A	2014/02/17	2014/02/24
27010	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2014 A	2014/02/17	2014/02/24
26509	Daiwa Capital Mkt – HSBC European Warrants Feb 2014 B	2014/02/17	2014/02/24
26615	Standard Chartered Bank – Agricultural Bk Eur Wts Feb 2014 A	2014/02/17	2014/02/24
27969	Standard Chartered Bank – China Mobile Euro Wts Feb 2014 C	2014/02/17	2014/02/24
26579	Standard Chartered Bank – HKEx European Warrants Feb 2014 B	2014/02/17	2014/02/24
22349	Standard Chartered Bank – HSBC European Warrants Feb 2014 A	2014/02/17	2014/02/24
26719	UBS AG – CC Bank European Put Warrants Feb 2014 A	2014/02/17	2014/02/24
28355	UBS AG – China Mobile European Warrants Feb 2014 C	2014/02/17	2014/02/24
25802	UBS AG – China Shenhua European Warrants Feb 2014 A	2014/02/17	2014/02/24
28520	UBS AG – China Shenhua European Warrants Feb 2014 B	2014/02/17	2014/02/24
26718	UBS AG – ICBC European Put Warrants Feb 2014 A	2014/02/17	2014/02/24
27478	UBS AG – Tencent Holdings European Put Warrants Feb 2014 H	2014/02/17	2014/02/24
22581	Bank of East Asia – JIANC European Warrants Feb 2014 A	2014/02/18	2014/02/25
25970	BOCI Asia Ltd. – BOCL European Warrants Feb 2014 A	2014/02/18	2014/02/25
25808	BOCI Asia Ltd. – China Shenhua European Warrants Feb 2014 A	2014/02/18	2014/02/25
26963	BOCI Asia Ltd. – ICBC European Warrants Feb 2014 A	2014/02/18	2014/02/25
26867	BOCI Asia Ltd. – Sands China European Warrants Feb 2014 A	2014/02/18	2014/02/25
26814	BOCI Asia Ltd. – Tencent Holdings European Put Wts Feb 2014 A	2014/02/18	2014/02/25
22214	CC Rabobank B.A. – Dongfeng Motor European Wts Feb 2014 A	2014/02/18	2014/02/25
27816	Citigroup Global Mkt H Inc. – ICBC European Wts Feb 2014 A	2014/02/18	2014/02/25
27027	Citigroup Global Mkt H Inc. – Tencent European Wts Feb 2014 F	2014/02/18	2014/02/25
28752	Credit Suisse AG – A50 China European Warrants Feb 2014 B	2014/02/18	2014/02/25
27826	Credit Suisse AG – China Coal European Warrants Feb 2014 A	2014/02/18	2014/02/25
27953	Credit Suisse AG – China Mobile European Warrants Feb 2014 B	2014/02/18	2014/02/25
27861	Credit Suisse AG – Hutchison European Put Wts Feb 2014 C	2014/02/18	2014/02/25
26823	Credit Suisse AG – ICBC European Warrants Feb 2014 A	2014/02/18	2014/02/25
27091	Credit Suisse AG – ICBC European Warrants Feb 2014 B	2014/02/18	2014/02/25
26131	Credit Suisse AG – Jiangxi Copper European Wts Feb 2014 A	2014/02/18	2014/02/25
26647	Credit Suisse AG – Kingsoft European Warrants Feb 2014 C	2014/02/18	2014/02/25
26830	Credit Suisse AG – SHK Ppt European Warrants Feb 2014 A	2014/02/18	2014/02/25
26517	Credit Suisse AG – Tencent Holdings European Wts Feb 2014 C	2014/02/18	2014/02/25
23719	Goldman Sachs SP (Asia) – China Res Gas Euro Wt Feb 2014 A	2014/02/18	2014/02/25
23983	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Feb 2014 A	2014/02/18	2014/02/25
26753	HK Bank – AIA European Warrants Feb 2014 A	2014/02/18	2014/02/25
27983	HK Bank – Ping An European Warrants Feb 2014 A	2014/02/18	2014/02/25
26723	Macquarie Bank Ltd. – SUNAC European Warrants Feb 2014 A	2014/02/18	2014/02/25
26736	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Feb 2014B	2014/02/18	2014/02/25
26742	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2014 B	2014/02/18	2014/02/25
26213	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2014 A	2014/02/18	2014/02/25
25797	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Feb 2014 A	2014/02/18	2014/02/25
25798	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Feb 14 B	2014/02/18	2014/02/25
26390	Standard Chartered Bank – China Overseas Euro Wt Feb 2014 A	2014/02/18	2014/02/25
27081	Standard Chartered Bank – Galaxy Ent Euro Put Wts Feb 2014 A	2014/02/18	2014/02/25
27249	Standard Chartered Bank – ICBC European Warrants Feb 2014 A	2014/02/18	2014/02/25
27849	Standard Chartered Bank – ICBC European Warrants Feb 2014 B	2014/02/18	2014/02/25
26636	Standard Chartered Bank – Ping An European Wts Feb 2014 B	2014/02/18	2014/02/25
23187	UBS AG – BOC HK European Warrants Feb 2014 A	2014/02/18	2014/02/25
19967	UBS AG – China Unicom European Warrants Feb 2014 A	2014/02/18	2014/02/25
28566	UBS AG – CPIC European Warrants Feb 2014 A	2014/02/18	2014/02/25
28055	UBS AG – Hutchison European Put Warrants Feb 2014 D	2014/02/18	2014/02/25
26970	UBS AG – ICBC European Warrants Feb 2014 B	2014/02/18	2014/02/25
27700	UBS AG – ICBC European Warrants Feb 2014 C	2014/02/18	2014/02/25
26959	UBS AG – PetroChina European Warrants Feb 2014 A	2014/02/18	2014/02/25
26757	UBS AG – PICC Group European Warrants Feb 2014 A	2014/02/18	2014/02/25
26885	UBS AG – SHK Ppt European Warrants Feb 2014 A	2014/02/18	2014/02/25
26759	UBS AG – SPDR Gold Trust European Warrants Feb 2014 A	2014/02/18	2014/02/25
26758	UBS AG – Yanzhou Coal European Wts Feb 2014 A	2014/02/18	2014/02/25
27095	Credit Suisse AG – Lenovo European Warrants Feb 2014 A	2014/02/19	2014/02/26
27129	Credit Suisse AG – Sinopharm European Warrants Feb 2014 A	2014/02/19	2014/02/26
23232	Goldman Sachs SP (Asia) – Li & Fung European Wts Feb 2014 A	2014/02/19	2014/02/26
23377	HK Bank – Li & Fung European Warrants Feb 2014 A	2014/02/19	2014/02/26
26739	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 14 A	2014/02/19	2014/02/26
26779	UBS AG – AIA European Warrants Feb 2014 B	2014/02/19	2014/02/26
28568	UBS AG – Anhui Conch European Warrants Feb 2014 A	2014/02/19	2014/02/26

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27098	UBS AG – BOCL European Warrants Feb 2014 A	2014/02/19	2014/02/26
26083	UBS AG – Link REIT European Warrants Feb 2014 A	2014/02/19	2014/02/26
28066	UBS AG – Minsheng Bank European Warrants Feb 2014 A	2014/02/19	2014/02/26
27748	UBS AG – Ping An European Warrants Feb 2014 A	2014/02/19	2014/02/26
26797	Bank of East Asia – AAC Acoustic European Warrants Feb2014 A	2014/02/20	2014/02/27
26803	Bank of East Asia – Galaxy Ent European Warrants Feb 2014 B	2014/02/20	2014/02/27
26804	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Feb 2014 A	2014/02/20	2014/02/27
28128	Credit Suisse AG – CC Bank European Warrants Feb 2014 A	2014/02/20	2014/02/27
27807	HK Bank – ICBC European Warrants Feb 2014 A	2014/02/20	2014/02/27
26735	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Feb 2014 A	2014/02/20	2014/02/27
27026	Standard Chartered Bank – AIA European Warrants Feb 2014 A	2014/02/20	2014/02/27
26153	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2014 A	2014/02/20	2014/02/27
28062	UBS AG – CC Bank European Warrants Feb 2014 B	2014/02/20	2014/02/27
26811	UBS AG – Zhaojin Mining European Warrants Feb 2014 A	2014/02/20	2014/02/27
24570	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2014 C	2014/02/21	2014/02/28
12151	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2014 A	2014/02/21	2014/02/28
24568	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2014 B	2014/02/21	2014/02/28
25907	BOCI Asia Ltd. – HSI European Put Warrants Feb 2014 A	2014/02/21	2014/02/28
19019	CC Rabobank B.A. – ChiUnicom European Put Wts Feb 2014 A	2014/02/21	2014/02/28
25574	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2014 B	2014/02/21	2014/02/28
26838	Citigroup Global Mkt H Inc. – AIA European Put Wt Feb 2014 B	2014/02/21	2014/02/28
25956	Citigroup Global Mkt H Inc. – AIA European Wts Feb 2014 A	2014/02/21	2014/02/28
28059	Citigroup Global Mkt H Inc. – HSI European Put Wt Feb 2014 A	2014/02/21	2014/02/28
26205	Citigroup Global Mkt H Inc. – Ping An Euro Wts Feb 2014 A	2014/02/21	2014/02/28
26348	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Feb 2014 B	2014/02/21	2014/02/28
26837	Citigroup Global Mkt H Inc. – YZ Coal Euro Put Wt Feb 2014 A	2014/02/21	2014/02/28
26840	Citigroup Global Mkt H Inc. –Yanzhou Coal Eur Wts Feb 2014 B	2014/02/21	2014/02/28
25822	Credit Suisse AG – HSI European Put Warrants Feb 2014 A	2014/02/21	2014/02/28
26802	Credit Suisse AG – HSI European Put Warrants Feb 2014 C	2014/02/21	2014/02/28
27046	Credit Suisse AG – HSI European Put Warrants Feb 2014 D	2014/02/21	2014/02/28
27409	Credit Suisse AG – HSI European Put Warrants Feb 2014 G	2014/02/21	2014/02/28
27649	Credit Suisse AG – HSI European Put Warrants Feb 2014 H	2014/02/21	2014/02/28
27833	Credit Suisse AG – HSI European Put Warrants Feb 2014 I	2014/02/21	2014/02/28
26801	Credit Suisse AG – HSI European Warrants Feb 2014 B	2014/02/21	2014/02/28
27186	Credit Suisse AG – HSI European Warrants Feb 2014 E	2014/02/21	2014/02/28
27279	Credit Suisse AG – HSI European Warrants Feb 2014 F	2014/02/21	2014/02/28
27319	Daiwa Capital Mkt – HSI European Put Warrants Feb 2014 C	2014/02/21	2014/02/28
27321	Daiwa Capital Mkt – HSI European Put Warrants Feb 2014 D	2014/02/21	2014/02/28
26475	Daiwa Capital Mkt – HSI European Warrants Feb 2014 A	2014/02/21	2014/02/28
27316	Daiwa Capital Mkt – HSI European Warrants Feb 2014 B	2014/02/21	2014/02/28
25215	Goldman Sachs SP (Asia) – BankComm European Wts Feb 2014 A	2014/02/21	2014/02/28
23420	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Feb 2014 A	2014/02/21	2014/02/28
24925	Goldman Sachs SP (Asia) – China Life Euro Put Wts Feb 2014 A	2014/02/21	2014/02/28
23345	Goldman Sachs SP (Asia) – China Railway Euro Wts Feb 2014 A	2014/02/21	2014/02/28
25769	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2014 A	2014/02/21	2014/02/28
26562	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2014 B	2014/02/21	2014/02/28
27559	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2014 E	2014/02/21	2014/02/28
26745	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2014 C	2014/02/21	2014/02/28
27558	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2014 D	2014/02/21	2014/02/28
26527	Goldman Sachs Sp (Asia) – ICBC European Warrants Feb 2014 A	2014/02/21	2014/02/28
26108	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2014 B	2014/02/21	2014/02/28
26379	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2014 C	2014/02/21	2014/02/28
26398	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2014 D	2014/02/21	2014/02/28
25148	Goldman Sachs SP (Asia) – Weichai Power Euro Wts Feb 2014 A	2014/02/21	2014/02/28
12231	Goldman Sachs SP (Asia) – Wharf European Warrants Feb 2014 A	2014/02/21	2014/02/28
24669	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Feb 2014 A	2014/02/21	2014/02/28
25218	Goldman Sachs SP (Asia) – Yurun Food European Wts Feb 2014 A	2014/02/21	2014/02/28
26570	Goldman Sachs SP (Asia) –Tencent European Wt Feb 2014 E	2014/02/21	2014/02/28
17888	HK Bank – China Unicom European Warrants Feb 2014 A	2014/02/21	2014/02/28
26222	HK Bank – HSCEI European Put Warrants Feb 2014 A	2014/02/21	2014/02/28
27067	HK Bank – HSI European Put Warrants Feb 2014 C	2014/02/21	2014/02/28
27434	HK Bank – HSI European Put Warrants Feb 2014 E	2014/02/21	2014/02/28
26689	HK Bank – HSI European Warrants Feb 2014 A	2014/02/21	2014/02/28
26849	HK Bank – HSI European Warrants Feb 2014 B	2014/02/21	2014/02/28
27296	HK Bank – HSI European Warrants Feb 2014 D	2014/02/21	2014/02/28
27494	HK Bank – HSI European Warrants Feb 2014 F	2014/02/21	2014/02/28
25312	J P Morgan SP BV – HSCEI European Put Warrants Feb 2014 A	2014/02/21	2014/02/28
25498	J P Morgan SP BV – HSI European Put Warrants Feb 2014 A	2014/02/21	2014/02/28
26489	J P Morgan SP BV – HSI European Put Warrants Feb 2014 C	2014/02/21	2014/02/28
26686	J P Morgan SP BV – HSI European Put Warrants Feb 2014 D	2014/02/21	2014/02/28
26488	J P Morgan SP BV – HSI European Warrants Feb 2014 B	2014/02/21	2014/02/28
26787	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2014 A	2014/02/21	2014/02/28
25784	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb2014 A	2014/02/21	2014/02/28
26846	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2014 C	2014/02/21	2014/02/28
26847	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2014 D	2014/02/21	2014/02/28
27922	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2014 F	2014/02/21	2014/02/28
21836	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2014 A	2014/02/21	2014/02/28
26462	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2014 B	2014/02/21	2014/02/28
26848	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2014 E	2014/02/21	2014/02/28
27204	SGA Societe Generale Acceptance N.V. –HSI Eu Put Wt Feb 14 A	2014/02/21	2014/02/28
26614	Standard Chartered Bank – China Mobile Eur Put Wt Feb 2014 A	2014/02/21	2014/02/28
27607	Standard Chartered Bank – HSI European Put Wts Feb 2014 A	2014/02/21	2014/02/28
27696	Standard Chartered Bank – HSI European Warrants Feb 2014 B	2014/02/21	2014/02/28
26818	UBS AG – China Life European Warrants Feb 2014 A	2014/02/21	2014/02/28
25395	UBS AG – HSCEI European Put Warrants Feb 2014 A	2014/02/21	2014/02/28
26508	UBS AG – HSI European Put Warrants Feb 2014 B	2014/02/21	2014/02/28
26631	UBS AG – HSI European Put Warrants Feb 2014 D	2014/02/21	2014/02/28

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27078	UBS AG – HSI European Put Warrants Feb 2014 F	2014/02/21	2014/02/28
27242	UBS AG – HSI European Warrants Feb 2014 A	2014/02/21	2014/02/28
26524	UBS AG – HSI European Warrants Feb 2014 C	2014/02/21	2014/02/28
26820	UBS AG – HSI European Warrants Feb 2014 E	2014/02/21	2014/02/28
27280	Credit Suisse AG – A50 China European Put Wts Feb 2014 A	2014/02/24	2014/03/03
29287	Credit Suisse AG – CC Bank European Put Warrants Feb 2014 B	2014/02/24	2014/03/03
26825	Credit Suisse AG – CNOOC European Warrants Feb 2014 B	2014/02/24	2014/03/03
26832	Credit Suisse AG – HKEx European Put Warrants Feb 2014 A	2014/02/24	2014/03/03
26045	Credit Suisse AG – Link REIT European Warrants Feb 2014 A	2014/02/24	2014/03/03
26200	Credit Suisse AG – Tencent Holdings European Wts Feb 2014 A	2014/02/24	2014/03/03
26572	Goldman Sachs SP (Asia) – A50 China European Wts Feb 2014 A	2014/02/24	2014/03/03
25984	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Feb 2014 A	2014/02/24	2014/03/03
25866	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2014 A	2014/02/24	2014/03/03
25635	Goldman Sachs SP (Asia) – China Tel European Wts Feb 2014 A	2014/02/24	2014/03/03
26778	Goldman Sachs SP (Asia) – CNOOC European Warrants Feb 2014 A	2014/02/24	2014/03/03
12233	Goldman Sachs SP (Asia) – Sands China Euro Wts Feb 2014 A	2014/02/24	2014/03/03
25714	Goldman Sachs SP (Asia) – Sinopharm European Wts Feb 2014 A	2014/02/24	2014/03/03
25634	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2014 A	2014/02/24	2014/03/03
26440	HK Bank – Agricultural Bank European Warrants Feb 2014 A	2014/02/24	2014/03/03
26382	HK Bank – Anhui Conch European Warrants Feb 2014 A	2014/02/24	2014/03/03
28186	HK Bank – BOCL European Warrants Feb 2014 A	2014/02/24	2014/03/03
26538	HK Bank – Cheung Kong European Warrants Feb 2014 A	2014/02/24	2014/03/03
26470	HK Bank – China Mobile European Warrants Feb 2014 A	2014/02/24	2014/03/03
26385	HK Bank – China Shenhua European Warrants Feb 2014 B	2014/02/24	2014/03/03
26405	HK Bank – CSR European Warrants Feb 2014 A	2014/02/24	2014/03/03
26384	HK Bank – CSR Times European Warrants Feb 2014 A	2014/02/24	2014/03/03
26406	HK Bank – Geely Auto European Warrants Feb 2014 A	2014/02/24	2014/03/03
26595	HK Bank – Minsheng Bank European Warrants Feb 2014 A	2014/02/24	2014/03/03
26354	HK Bank – Tencent European Put Warrants Feb 2014 A	2014/02/24	2014/03/03
26407	HK Bank – Tencent European Warrants Feb 2014 B	2014/02/24	2014/03/03
25446	J P Morgan SP BV – China Shenhua European Wts Feb 2014 B	2014/02/24	2014/03/03
26006	Nomura Int'l plc – Sinopec European Put Warrants Feb 2014 A	2014/02/24	2014/03/03
12268	Nomura Int'l plc – HSBC European Warrants Feb 2014 A	2014/02/24	2014/03/03
26007	Nomura Int'l plc – Sinopec European Warrants Feb 2014 B	2014/02/24	2014/03/03
12182	Nomura Int'l plc – Weichai Power European Warrants Feb 2014 A	2014/02/24	2014/03/03
26023	Nomura Int'l plc – CITIC Bank European Warrants Feb 2014 A	2014/02/24	2014/03/03
26088	UBS AG – Tencent Holdings European Warrants Feb 2014 B	2014/02/24	2014/03/03
27764	BOCI Asia Ltd. – AIA European Put Warrants Mar 2014 A	2014/02/25	2014/03/04
27438	BOCI Asia Ltd. – Ch Overseas European Warrants Mar 2014 A	2014/02/25	2014/03/04
26866	BOCI Asia Ltd. – China Shenhua European Warrants Mar 2014 A	2014/02/25	2014/03/04
27222	BOCI Asia Ltd. – Lenovo European Warrants Mar 2014 A	2014/02/25	2014/03/04
24247	CC Rabobank B.A. – AIA European Warrants Mar 2014 A	2014/02/25	2014/03/04
19885	CC Rabobank B.A. – China EB Ltd European Warrants Mar 2014 A	2014/02/25	2014/03/04
26935	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Mar 2014 A	2014/02/25	2014/03/04
27575	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Mar 2014 F	2014/02/25	2014/03/04
26933	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2014 A	2014/02/25	2014/03/04
27308	Citigroup Global Mkt H Inc. – Tencent European Wts Mar 2014 B	2014/02/25	2014/03/04
29003	Credit Suisse AG – Agricultural Bank European Wts Mar 2014 A	2014/02/25	2014/03/04
27813	Credit Suisse AG – AIA European Warrants Mar 2014 B	2014/02/25	2014/03/04
29217	Credit Suisse AG – China Shenhua European Wts Mar 2014 A	2014/02/25	2014/03/04
11136	Daiwa Capital Mkt – AIA European Warrants Mar 2014 A	2014/02/25	2014/03/04
27126	Daiwa Capital Mkt – China Mobile European Put Wts Mar 2014 A	2014/02/25	2014/03/04
26896	Daiwa Capital Mkt – Galaxy Ent European Put Wts Mar 2014 A	2014/02/25	2014/03/04
27942	Daiwa Capital Mkt – HKEx European Put Warrants Mar 2014 A	2014/02/25	2014/03/04
27075	Daiwa Capital Mkt – ICBC European Warrants Mar 2014 A	2014/02/25	2014/03/04
27487	Daiwa Capital Mkt – Sands China European Warrants Mar 2014 A	2014/02/25	2014/03/04
26139	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Mar 2014 A	2014/02/25	2014/03/04
26416	Daiwa Capital Mkt – Tencent Holdings European Wts Mar 2014 B	2014/02/25	2014/03/04
26668	Daiwa Capital Mkt – Agricultural Bank European Wts Mar 2014 A	2014/02/25	2014/03/04
22802	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2014 A	2014/02/25	2014/03/04
20864	Goldman Sachs SP (Asia) – China Unicom Euro Wts Mar 2014 A	2014/02/25	2014/03/04
28780	Goldman Sachs SP (Asia) – Foxconn European Wts Mar 2014 A	2014/02/25	2014/03/04
27069	HK Bank – Agricultural Bank European Warrants Mar 2014 A	2014/02/25	2014/03/04
26409	HK Bank – Ch Ship Cont European Warrants Mar 2014 A	2014/02/25	2014/03/04
26438	HK Bank – China Coal European Warrants Mar 2014 A	2014/02/25	2014/03/04
26467	HK Bank – China Tel European Warrants Mar 2014 A	2014/02/25	2014/03/04
22729	HK Bank – Dongfeng Motor European Warrants Mar 2014 A	2014/02/25	2014/03/04
26434	HK Bank – Evergrande European Warrants Mar 2014 A	2014/02/25	2014/03/04
26594	HK Bank – Foxconn European Warrants Mar 2014 A	2014/02/25	2014/03/04
26468	HK Bank – Huaneng Power European Warrants Mar 2014 A	2014/02/25	2014/03/04
26903	HK Bank – Yanzhou Coal European Warrants Mar 2014 A	2014/02/25	2014/03/04
26843	J P Morgan SP BV – AIA European Warrants Mar 2014 A	2014/02/25	2014/03/04
22545	J P Morgan SP BV – Ch Overseas European Warrants Mar 2014 A	2014/02/25	2014/03/04
25505	J P Morgan SP BV – China EB Int'l European Wts Mar 2014 A	2014/02/25	2014/03/04
25662	J P Morgan SP BV – China Life European Put Wts Mar 2014 A	2014/02/25	2014/03/04
22872	J P Morgan SP BV – China Unicom European Warrants Mar 2014 A	2014/02/25	2014/03/04
26687	J P Morgan SP BV – CPIC European Warrants Mar 2014 A	2014/02/25	2014/03/04
26790	J P Morgan SP BV – Geely Auto European Warrants Mar 2014 A	2014/02/25	2014/03/04
26919	J P Morgan SP BV – Henderson Land Dev European Wt Mar 2014 A	2014/02/25	2014/03/04
26683	J P Morgan SP BV – Hutchison European Put Wts Mar 2014 A	2014/02/25	2014/03/04
26659	J P Morgan SP BV – ICBC European Warrants Mar 2014 A	2014/02/25	2014/03/04
26682	J P Morgan SP BV – ICBC European Warrants Mar 2014 B	2014/02/25	2014/03/04
26767	J P Morgan SP BV – PetroChina European Warrants Mar 2014 A	2014/02/25	2014/03/04
26487	J P Morgan SP BV – Ping An European Warrants Mar 2014 A	2014/02/25	2014/03/04
21355	J P Morgan SP BV – R&F Ppt European Warrants Mar 2014 A	2014/02/25	2014/03/04
21651	J P Morgan SP BV – R&F Ppt European Warrants Mar 2014 B	2014/02/25	2014/03/04
26344	J P Morgan SP BV – Tencent European Put Warrants Mar 2014 B	2014/02/25	2014/03/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25493	J P Morgan SP BV – Zoomlion European Warrants Mar 2014 A	2014/02/25	2014/03/04
26450	Macquarie Bank Ltd. – China EB Int'l European Wts Mar 2014 A	2014/02/25	2014/03/04
25318	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Mar 2014 A	2014/02/25	2014/03/04
27762	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2014 B	2014/02/25	2014/03/04
27569	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Mar 2014 A	2014/02/25	2014/03/04
26662	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2014 A	2014/02/25	2014/03/04
25720	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Mar 14 A	2014/02/25	2014/03/04
27443	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Mar 2014 A	2014/02/25	2014/03/04
26612	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Mar 14 A	2014/02/25	2014/03/04
26703	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Mar 14 A	2014/02/25	2014/03/04
27737	Standard Chartered Bank – Agricultural Bk Eur Wts Mar 2014 A	2014/02/25	2014/03/04
22824	Standard Chartered Bank – BOC HK European Wts Mar 2014 A	2014/02/25	2014/03/04
26712	Standard Chartered Bank – China Coal European Wts Mar 2014 A	2014/02/25	2014/03/04
25433	Standard Chartered Bank – Minsheng Bank Euro Wts Mar 2014 A	2014/02/25	2014/03/04
27394	Standard Chartered Bank – Tencent Hldg Euro Wts Mar 2014 A	2014/02/25	2014/03/04
28569	UBS AG – Yanzhou Coal European Wts Mar 2014 A	2014/02/25	2014/03/04
24994	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2014 A	2014/02/26	2014/03/05
26864	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2014 B	2014/02/26	2014/03/05
27273	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Mar 2014 A	2014/02/26	2014/03/05
24196	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Mar 2014 A	2014/02/26	2014/03/05
24589	BNP Paribas Arbit Issu B.V. – Ch Ship Dev Euro Wts Mar 2014A	2014/02/26	2014/03/05
26861	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Mar 2014 A	2014/02/26	2014/03/05
26763	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Mar 2014 A	2014/02/26	2014/03/05
22775	BNP Paribas Arbit Issu B.V. – China Unicom Euro Wt Mar2014 A	2014/02/26	2014/03/05
23523	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Mar 2014 A	2014/02/26	2014/03/05
26862	BNP Paribas Arbit Issu B.V. – Country Garden Eu Wt Mar 2014A	2014/02/26	2014/03/05
26761	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Mar 2014 A	2014/02/26	2014/03/05
26764	BNP Paribas Arbit Issu B.V. – Hang Seng Bank Eu Wt Mar 2014A	2014/02/26	2014/03/05
26080	BNP Paribas Arbit Issu B.V. – HSBC European Wts Mar 2014 A	2014/02/26	2014/03/05
27800	BNP Paribas Arbit Issu B.V. – Hutchison Eu Put Wt Mar 2014 B	2014/02/26	2014/03/05
26621	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Mar 2014 A	2014/02/26	2014/03/05
26094	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2014 A	2014/02/26	2014/03/05
26420	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Mar 2014 A	2014/02/26	2014/03/05
20806	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Mar 2014 A	2014/02/26	2014/03/05
23744	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Mar 2014 A	2014/02/26	2014/03/05
23170	BNP Paribas Arbit Issu B.V. – Melco Int'l Dev Eu Wt Mar 14 A	2014/02/26	2014/03/05
26762	BNP Paribas Arbit Issu B.V. – Ping An European Wt Mar 2014 A	2014/02/26	2014/03/05
18370	BNP Paribas Arbit Issu B.V. – R&F Ppt Euro Wts Mar 2014 A	2014/02/26	2014/03/05
26307	BNP Paribas Arbit Issu B.V. – Samsonite Euro Wts Mar 2014 A	2014/02/26	2014/03/05
24580	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Mar 2014 A	2014/02/26	2014/03/05
22947	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eu Wt Mar2014 A	2014/02/26	2014/03/05
26499	BNP Paribas Arbit Issu B.V. –MGM China Euro Wts Mar 2014 A	2014/02/26	2014/03/05
20475	BNP Paribas Arbit Issu B.V. –Tencent Hldgs Eu Wt Mar 2014 A	2014/02/26	2014/03/05
21941	Citigroup Global Mkt H Inc. – CNOOC European Wts Mar 2014 A	2014/02/26	2014/03/05
29201	Credit Suisse AG – Minsheng Bank European Wts Mar 2014 A	2014/02/26	2014/03/05
26688	J P Morgan SP BV – Anhui Conch European Warrants Mar 2014 A	2014/02/26	2014/03/05
26667	J P Morgan SP BV – BOCL European Warrants Mar 2014 A	2014/02/26	2014/03/05
26920	J P Morgan SP BV – Galaxy Ent European Warrants Mar 2014 A	2014/02/26	2014/03/05
26789	J P Morgan SP BV – Lenovo European Warrants Mar 2014 A	2014/02/26	2014/03/05
26573	J P Morgan SP BV – Melco Int'l Dev European Wts Mar 2014 A	2014/02/26	2014/03/05
26734	J P Morgan SP BV – Minsheng Bank European Wts Mar 2014 A	2014/02/26	2014/03/05
26681	J P Morgan SP BV – Sands China European Warrants Mar 2014 A	2014/02/26	2014/03/05
26915	J P Morgan SP BV – Yanzhou Coal European Put Wts Mar 2014 A	2014/02/26	2014/03/05
25455	Macquarie Bank Ltd. – BankComm European Warrants Mar 2014 A	2014/02/26	2014/03/05
23488	Macquarie Bank Ltd. – BOCL European Warrants Mar 2014 A	2014/02/26	2014/03/05
25698	Macquarie Bank Ltd. – Ch Oil & Gas European Warrants Mar14 A	2014/02/26	2014/03/05
25782	Macquarie Bank Ltd. – China Shenhua European Wts Mar 2014 A	2014/02/26	2014/03/05
28073	Macquarie Bank Ltd. – China Shenhua European Wts Mar 2014 B	2014/02/26	2014/03/05
20397	Macquarie Bank Ltd. – China Unicom European Wts Mar 2014 A	2014/02/26	2014/03/05
24833	Macquarie Bank Ltd. – CSR Times European Warrants Mar 2014 A	2014/02/26	2014/03/05
16722	Macquarie Bank Ltd. – Dongfeng Motor European Wts Mar 2014 A	2014/02/26	2014/03/05
18386	Macquarie Bank Ltd. – Dongyue Group European Wts Mar 2014 A	2014/02/26	2014/03/05
26370	Macquarie Bank Ltd. – Geely Auto European Wts Mar 2014 A	2014/02/26	2014/03/05
23194	Macquarie Bank Ltd. – Haier Elec European Wts Mar 2014 A	2014/02/26	2014/03/05
23538	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Mar 2014 A	2014/02/26	2014/03/05
26423	Macquarie Bank Ltd. – HN Renewables European Wts Mar 2014 A	2014/02/26	2014/03/05
26481	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2014 A	2014/02/26	2014/03/05
26473	Macquarie Bank Ltd. – Link REIT European Warrants Mar 2014 A	2014/02/26	2014/03/05
23000	Macquarie Bank Ltd. – Link REIT European Warrants Mar 2014 B	2014/02/26	2014/03/05
24573	Macquarie Bank Ltd. – Lumena Newmat European Wts Mar 2014 A	2014/02/26	2014/03/05
26480	Macquarie Bank Ltd. – MGM China European Warrants Mar 2014 A	2014/02/26	2014/03/05
26897	Macquarie Bank Ltd. – Minsheng Bank European Wts Mar 2014 A	2014/02/26	2014/03/05
23766	Macquarie Bank Ltd. – Sh Pharma European Warrants Mar 2014 A	2014/02/26	2014/03/05
26152	Macquarie Bank Ltd. – Shui On Land European Wts Mar 2014 A	2014/02/26	2014/03/05
26966	Macquarie Bank Ltd. – Sinopharm European Warrants Mar 2014 A	2014/02/26	2014/03/05
26585	Macquarie Bank Ltd. – Tencent European Warrants Mar 2014 A	2014/02/26	2014/03/05
26899	Macquarie Bank Ltd. – Yurun Food European Wts Mar 2014 A	2014/02/26	2014/03/05
24022	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Mar 2014 A	2014/02/26	2014/03/05
26819	UBS AG – AIA European Warrants Mar 2014 B	2014/02/26	2014/03/05
27492	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Mar 2014 D	2014/02/27	2014/03/06
27701	Credit Suisse AG – Sands China European Put Wts Mar 2014 B	2014/02/27	2014/03/06
27076	Daiwa Capital Mkt – CCB European Warrants Mar 2014 A	2014/02/27	2014/03/06
27128	Daiwa Capital Mkt –Agricultural Bank European Wts Mar 2014 B	2014/02/27	2014/03/06
28778	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Mar 2014 A	2014/02/27	2014/03/06
27589	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2014 A	2014/02/27	2014/03/06
27626	SGA Societe Generale Acceptance N.V. – AIA Eu Put Wt Mar14 B	2014/02/27	2014/03/06
27115	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2014 A	2014/02/27	2014/03/06

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28515	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 14 B	2014/02/27	2014/03/06
27512	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Mar14A	2014/02/27	2014/03/06
27510	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Mar14A	2014/02/27	2014/03/06
27788	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2014 B	2014/02/27	2014/03/06
27529	SGA Societe Generale Acceptance N.V. – HWL Eur Put Wt Mar14A	2014/02/27	2014/03/06
27753	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2014 B	2014/02/27	2014/03/06
27197	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2014 A	2014/02/27	2014/03/06
27742	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Mar 14 A	2014/02/27	2014/03/06
27413	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar2014 A	2014/02/27	2014/03/06
28049	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar14A	2014/02/27	2014/03/06
28161	SGA Societe Generale Acceptance N.V. – CPIC Eur Wt Mar 2014 A	2014/02/27	2014/03/06
26857	SGA Societe Generale Acceptance N.V. – Greatwall Eu Wt Mar14A	2014/02/27	2014/03/06
28002	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Mar 14 A	2014/02/27	2014/03/06
27193	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Mar14A	2014/02/27	2014/03/06
27627	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Mar14 A	2014/02/27	2014/03/06
27326	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Mar14A	2014/02/27	2014/03/06
25357	Standard Chartered Bank – Anhui Conch Euro Wts Mar 2014 A	2014/02/27	2014/03/06
26300	Standard Chartered Bank – CC Bank European Wts Mar 2014 A	2014/02/27	2014/03/06
12207	Standard Chartered Bank – Chi Res Power Euro Wts Mar 2014 A	2014/02/27	2014/03/06
26550	Standard Chartered Bank – China Shenhua Euro Wts Mar 2014 A	2014/02/27	2014/03/06
26872	Standard Chartered Bank – CNOOC European Warrants Mar 2014 A	2014/02/27	2014/03/06
27252	Standard Chartered Bank – Greatwall Motor Euro Wt Mar 2014 A	2014/02/27	2014/03/06
27949	Standard Chartered Bank – Sands China Eu Put Wts Mar 2014 B	2014/02/27	2014/03/06
27677	UBS AG – China Mobile European Put Warrants Mar 2014 B	2014/02/27	2014/03/06
27001	UBS AG – Galaxy Ent European Warrants Mar 2014 A	2014/02/27	2014/03/06
26996	UBS AG – Lenovo European Warrants Mar 2014 A	2014/02/27	2014/03/06
28090	UBS AG – Sands China European Put Warrants Mar 2014 C	2014/02/27	2014/03/06
28519	UBS AG – China Coal European Warrants Mar 2014 A	2014/02/28	2014/03/07
27008	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Mar 2014 A	2014/03/03	2014/03/10
27009	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Mar 2014 B	2014/03/03	2014/03/10
24801	Standard Chartered Bank – Galaxy Ent European Wts Mar 2014 A	2014/03/03	2014/03/10
26444	Standard Chartered Bank – Sands China Euro Wts Mar 2014 A	2014/03/03	2014/03/10
25810	Standard Chartered Bank – PetroChina European Wts Mar 2014 A	2014/03/03	2014/03/10
25912	Standard Chartered Bank – China Life European Wts Mar 2014 A	2014/03/03	2014/03/10
16822	Bank of East Asia – CRCC European Warrants Mar 2014	2014/03/04	2014/03/11
26869	BOCI Asia Ltd. – Hutchison European Put Warrants Mar 2014 B	2014/03/04	2014/03/11
26813	BOCI Asia Ltd. – Hutchison European Warrants Mar 2014 A	2014/03/04	2014/03/11
27052	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Mar 2014 B	2014/03/04	2014/03/11
27053	Citigroup Global Mkt H Inc. – Sands China Euro Wt Mar 2014 A	2014/03/04	2014/03/11
27133	Credit Suisse – Foxconn European Warrants Mar 2014 A	2014/03/04	2014/03/11
27045	Credit Suisse AG – Galaxy Ent European Warrants Mar 2014 A	2014/03/04	2014/03/11
26988	Credit Suisse AG – Kingsoft European Put Warrants Mar 2014 A	2014/03/04	2014/03/11
27131	Credit Suisse AG – Kingsoft European Warrants Mar 2014 B	2014/03/04	2014/03/11
27042	Credit Suisse AG – Sands China European Warrants Mar 2014 A	2014/03/04	2014/03/11
27096	Credit Suisse AG – Tencent Holdings European Wts Mar 2014 A	2014/03/04	2014/03/11
27031	Daiwa Capital Mkt – Galaxy Ent European Warrants Mar 2014 B	2014/03/04	2014/03/11
28669	Daiwa Capital Mkt – HSBC European Warrants Mar 2014 B	2014/03/04	2014/03/11
19669	HK Bank – AIA European Warrants Mar 2014 A	2014/03/04	2014/03/11
27068	HK Bank – Sands China European Warrants Mar 2014 A	2014/03/04	2014/03/11
27644	HK Bank – Tencent European Warrants Mar 2014 B	2014/03/04	2014/03/11
27712	J P Morgan SP BV – China Mob European Wts Mar 2014 A	2014/03/04	2014/03/11
27011	J P Morgan SP BV – Kingsoft European Put Warrants Mar 2014 A	2014/03/04	2014/03/11
26124	J P Morgan SP BV – Tencent European Warrants Mar 2014 A	2014/03/04	2014/03/11
22033	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Mar 2014 A	2014/03/04	2014/03/11
28098	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2014 B	2014/03/04	2014/03/11
18442	Nomura Int'l plc – Dongyue Gp European Warrants Mar 2014 A	2014/03/04	2014/03/11
28614	Nomura Int'l plc – Ping An European Warrants Mar 2014 A	2014/03/04	2014/03/11
27723	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2014 A	2014/03/04	2014/03/11
28060	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Mar 2014 A	2014/03/04	2014/03/11
28456	Standard Chartered Bank – A50 China Euro Warrants Mar 2014 B	2014/03/04	2014/03/11
26893	Standard Chartered Bank – Geely Auto European Wts Mar 2014 A	2014/03/04	2014/03/11
27771	Standard Chartered Bank – ICBC European Put Wts Mar 2014 A	2014/03/04	2014/03/11
26713	Standard Chartered Bank – Jiangxi Copper Euro Wts Mar 2014 A	2014/03/04	2014/03/11
26908	UBS AG – Agricultural Bank European Warrants Mar 2014 A	2014/03/04	2014/03/11
27097	UBS AG – CC Bank European Warrants Mar 2014 A	2014/03/04	2014/03/11
27139	UBS AG – Galaxy Ent European Put Warrants Mar 2014 C	2014/03/04	2014/03/11
27995	UBS AG – KECL European Warrants Mar 2014 A	2014/03/04	2014/03/11
27100	UBS AG – Kingsoft European Warrants Mar 2014 A	2014/03/04	2014/03/11
27672	UBS AG – Ping An European Put Warrants Mar 2014 A	2014/03/04	2014/03/11
27055	UBS AG – Sands China European Warrants Mar 2014 A	2014/03/04	2014/03/11
28740	UBS AG – Tencent Holdings European Warrants Mar 2014 E	2014/03/04	2014/03/11
27142	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Mar 14 C	2014/03/05	2014/03/12
27140	Citigroup Global Mkt H Inc. – China Shenhua Eu Put Wt Mar14A	2014/03/05	2014/03/12
28557	HK Bank – Tencent European Put Warrants Mar 2014 C	2014/03/05	2014/03/12
27234	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Mar 14 A	2014/03/06	2014/03/13
27235	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Mar 2014 A	2014/03/06	2014/03/13
27190	Citigroup Global Mkt H Inc. – Sands China Eu Put Wt Mar 14 B	2014/03/06	2014/03/13
27192	Citigroup Global Mkt H Inc. – SJM Holdings Eu Put Wt Mar14 A	2014/03/06	2014/03/13
27191	Citigroup Global Mkt H Inc. – Wynn Macau Eu Put Wt Mar 14 A	2014/03/06	2014/03/13
27138	UBS AG – Galaxy Ent European Warrants Mar 2014 B	2014/03/06	2014/03/13
27230	Citigroup Global Mkt H Inc. – China Coal Eur Put Wts Mar14 A	2014/03/07	2014/03/14
27231	Citigroup Global Mkt H Inc. – China Coal Euro Wts Mar 2014 B	2014/03/07	2014/03/14
10220	BNP Paribas Arbit Issu B.V. – Nikkei 225 Eu Put Wt Mar14A	2014/03/10	2014/03/17
10251	BNP Paribas Arbit Issu B.V. – Nikkei 225 Index Eu Wt Mar14 B	2014/03/10	2014/03/17
27256	Daiwa Capital Mkt – Hutchison European Put Wts Mar 2014 A	2014/03/10	2014/03/17
10243	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Mar 2014 A	2014/03/10	2014/03/17
10244	Daiwa Capital Mkt – Nikkei 225 Index European Wts Mar 2014 B	2014/03/10	2014/03/17

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
10236	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Mar 2014 C	2014/03/10	2014/03/17
10250	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Mar 2014 E	2014/03/10	2014/03/17
10234	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Mar 14 A	2014/03/10	2014/03/17
10235	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Mar 14 B	2014/03/10	2014/03/17
10249	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Mar 14 D	2014/03/10	2014/03/17
10228	UBS AG – Nikkei 225 European Put Warrants Mar 2014 A	2014/03/10	2014/03/17
10229	UBS AG – Nikkei 225 European Put Warrants Mar 2014 B	2014/03/10	2014/03/17
10230	UBS AG – Nikkei 225 European Warrants Mar 2014 C	2014/03/10	2014/03/17
10231	UBS AG – Nikkei 225 European Warrants Mar 2014 D	2014/03/10	2014/03/17
10232	UBS AG – Nikkei 225 European Warrants Mar 2014 E	2014/03/10	2014/03/17
10233	UBS AG – Nikkei 225 European Warrants Mar 2014 F	2014/03/10	2014/03/17
26962	BOCI Asia Ltd. – ICBC European Put Warrants Mar 2014 A	2014/03/11	2014/03/18
26961	BOCI Asia Ltd. – Ping An European Warrants Mar 2014 A	2014/03/11	2014/03/18
27351	Citigroup Global Mkt H Inc. – A50 China Euro Wts Mar 2014 B	2014/03/11	2014/03/18
27263	Citigroup Global Mkt H Inc. – HSBC European Wts Mar 2014 A	2014/03/11	2014/03/18
27264	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Mar 2014 A	2014/03/11	2014/03/18
27421	Citigroup Global Mkt H Inc. – SJM Holdings Eu Put Wts Mar14B	2014/03/11	2014/03/18
27422	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Mar 2014 C	2014/03/11	2014/03/18
27266	Citigroup Global Mkt H Inc. – SPDR Gold T Eu Put Wts Mar14 A	2014/03/11	2014/03/18
27270	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wt Mar 2014 B	2014/03/11	2014/03/18
27348	Credit Suisse AG – Evergrande European Warrants Mar 2014 A	2014/03/11	2014/03/18
28261	Credit Suisse AG – Galaxy Ent European Put Wts Mar 2014 E	2014/03/11	2014/03/18
27283	Credit Suisse AG – Galaxy Ent European Warrants Mar 2014 B	2014/03/11	2014/03/18
27347	Credit Suisse AG – KECL European Warrants Mar 2014 A	2014/03/11	2014/03/18
27341	Credit Suisse AG – Ping An European Put Warrants Mar 2014 A	2014/03/11	2014/03/18
27402	Credit Suisse AG – Ping An European Warrants Mar 2014 B	2014/03/11	2014/03/18
27282	Credit Suisse AG – Tencent Holdings European Wts Mar 2014 B	2014/03/11	2014/03/18
28756	Daiwa Capital Mkt – Galaxy Ent European Put Wts Mar 2014 D	2014/03/11	2014/03/18
27389	Daiwa Capital Mkt – Ping An European Put Warrants Mar 2014 A	2014/03/11	2014/03/18
24933	Goldman Sachs SP (Asia) – Belle Int'l Euro Wts Mar 2014 A	2014/03/11	2014/03/18
22010	Goldman Sachs SP (Asia) – BOC HK European Wts Mar 2014 B	2014/03/11	2014/03/18
23120	HK Bank – AIA European Warrants Mar 2014 B	2014/03/11	2014/03/18
27358	HK Bank – Minsheng Bank European Warrants Mar 2014 A	2014/03/11	2014/03/18
27369	J P Morgan SP BV – ICBC European Put Wts Mar 2014 C	2014/03/11	2014/03/18
22482	Macquarie Bank Ltd. – BOCHK European Warrants Mar 2014 A	2014/03/11	2014/03/18
22915	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Mar14 A	2014/03/11	2014/03/18
26599	Standard Chartered Bank – Anhui Conch Euro Wts Mar 2014 B	2014/03/11	2014/03/18
27181	Standard Chartered Bank – Evergrande European Wts Mar 2014 A	2014/03/11	2014/03/18
27396	Standard Chartered Bank – Tencent Hldg Euro Wts Mar 2014 B	2014/03/11	2014/03/18
27333	UBS AG – A50 China European Warrants Mar 2014 A	2014/03/11	2014/03/18
27349	UBS AG – China Mobile European Warrants Mar 2014 A	2014/03/11	2014/03/18
25919	UBS AG – CITIC Sec European Warrants Mar 2014 A	2014/03/11	2014/03/18
27330	UBS AG – CSOP A50 ETF European Warrants Mar 2014 A	2014/03/11	2014/03/18
27777	UBS AG – Galaxy Ent European Put Warrants Mar 2014 E	2014/03/11	2014/03/18
27309	UBS AG – Link REIT European Warrants Mar 2014 B	2014/03/11	2014/03/18
27056	UBS AG – Tencent Holdings European Warrants Mar 2014 B	2014/03/11	2014/03/18
27489	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts Mar 2014 B	2014/03/12	2014/03/19
27474	Credit Suisse AG – CNBM European Put Warrants Mar 2014 A	2014/03/12	2014/03/19
27476	Credit Suisse AG – Kingsoft European Warrants Mar 2014 C	2014/03/12	2014/03/19
27475	Credit Suisse AG – Tencent Holdings European Wts Mar 2014 C	2014/03/12	2014/03/19
27692	Daiwa Capital Mkt – CCB European Put Warrants Mar 2014 B	2014/03/12	2014/03/19
25623	Daiwa Capital Mkt – China Life European Put Wts Mar 2014 A	2014/03/12	2014/03/19
27484	Daiwa Capital Mkt – Galaxy Ent European Warrants Mar 2014 C	2014/03/12	2014/03/19
27254	Daiwa Capital Mkt – ICBC European Put Warrants Mar 2014 B	2014/03/12	2014/03/19
27485	Daiwa Capital Mkt – Sinopec Corp European Wts Mar 2014 A	2014/03/12	2014/03/19
21986	Nomura Int'l plc – Haier Elec European Warrants Mar 2014 A	2014/03/12	2014/03/19
27445	Standard Chartered Bank – Tencent Hldg Eu Put Wts Mar 2014 C	2014/03/12	2014/03/19
26923	Standard Chartered Bank – Tracker Fund Euro Wts Mar 2014 A	2014/03/12	2014/03/19
27480	UBS AG – Tencent Holdings European Put Warrants Mar 2014 D	2014/03/12	2014/03/19
11235	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Mar 2014 A	2014/03/13	2014/03/20
28127	Credit Suisse AG – CSOP A50 ETF European Warrants Mar 2014 A	2014/03/13	2014/03/20
28637	Daiwa Capital Mkt – Ping An European Warrants Mar 2014 C	2014/03/13	2014/03/20
27981	HK Bank – HKEx European Warrants Mar 2014 A	2014/03/13	2014/03/20
28700	Standard Chartered Bank – HSBC European Warrants Mar 2014 B	2014/03/13	2014/03/20
24385	Bank of East Asia – Belle European Warrants Mar 2014 A	2014/03/14	2014/03/21
26795	Bank of East Asia – CITIC Bank European Warrants Mar 2014 A	2014/03/17	2014/03/24
10223	BNP Paribas Arbit Issu B.V.- AUD/USD Euro Put Wts Mar 2014 B	2014/03/17	2014/03/24
10222	BNP Paribas Arbit Issu B.V. -AUD/USD European Wts Mar 2014 A	2014/03/17	2014/03/24
27818	Citigroup Global Mkt H Inc. – CC Bank European Wt Mar 2014 A	2014/03/17	2014/03/24
27817	Citigroup Global Mkt H Inc. – ICBC European Wts Mar 2014 A	2014/03/17	2014/03/24
27343	Credit Suisse AG – AIA European Put Warrants Mar 2014 A	2014/03/17	2014/03/24
28622	Credit Suisse AG – CITIC Bank European Warrants Mar 2014 A	2014/03/17	2014/03/24
27888	Credit Suisse AG – Galaxy Ent European Put Wts Mar 2014 D	2014/03/17	2014/03/24
28543	Daiwa Capital Mkt – CCB European Warrants Mar 2014 C	2014/03/17	2014/03/24
28493	HK Bank – CC Bank European Warrants Mar 2014 A	2014/03/17	2014/03/24
26781	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Mar 2014 B	2014/03/17	2014/03/24
28111	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2014 C	2014/03/17	2014/03/24
28184	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2014 A	2014/03/17	2014/03/24
26012	Nomura Int'l plc – Tencent Holdings Euro Put Wts Mar 2014 B	2014/03/17	2014/03/24
24399	Nomura Int'l plc – Yanzhou Coal European Warrants Mar 2014 B	2014/03/17	2014/03/24
26024	Nomura Int'l plc -CITIC Bank European Warrants Mar 2014 A	2014/03/17	2014/03/24
27214	Standard Chartered Bank – China COSCO European Wt Mar 2014 A	2014/03/17	2014/03/24
27178	Standard Chartered Bank – China Shenhua Eu Put Wt Mar 2014 B	2014/03/17	2014/03/24
11453	Standard Chartered Bank – HSBC European Warrants Mar 2014 C	2014/03/17	2014/03/24
28065	UBS AG – CC Bank European Warrants Mar 2014 B	2014/03/17	2014/03/24
28838	UBS AG – Hutchison European Warrants Mar 2014 B	2014/03/17	2014/03/24
27747	UBS AG – Ping An European Warrants Mar 2014 B	2014/03/17	2014/03/24

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27437	BOCI Asia Ltd. – CC Bank European Put Warrants Mar 2014 A	2014/03/18	2014/03/25
27306	BOCI Asia Ltd. – China Mobile European Put Wts Mar 2014 A	2014/03/18	2014/03/25
28796	BOCI Asia Ltd. – Galaxy Ent European Warrants Mar 2014 C	2014/03/18	2014/03/25
26991	BOCI Asia Ltd. – Ping An European Put Warrants Mar 2014 B	2014/03/18	2014/03/25
23920	CC Rabobank B.A. – Yanzhou Coal European Warrants Mar 2014 B	2014/03/18	2014/03/25
27536	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Mar 14 E	2014/03/18	2014/03/25
22027	Citigroup Global Mkt H Inc. – AIA European Wts Mar 2014 A	2014/03/18	2014/03/25
27541	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Mar 2014 B	2014/03/18	2014/03/25
22045	Citigroup Global Mkt H Inc. – CNBM Euro Put Wts Mar 2014 A	2014/03/18	2014/03/25
21532	Citigroup Global Mkt H Inc. –Yanzhou Coal Eur Wts Mar 2014 A	2014/03/18	2014/03/25
27540	Citigroup Global Mkt H Inc.-Tencent European Wts Mar 2014 C	2014/03/18	2014/03/25
26836	Credit Suisse AG – CAM CSI300 European Warrants Mar 2014 A	2014/03/18	2014/03/25
28480	Credit Suisse AG – CC Bank European Warrants Mar 2014 A	2014/03/18	2014/03/25
26827	Credit Suisse AG – CNOOC European Put Warrants Mar 2014 A	2014/03/18	2014/03/25
27407	Credit Suisse AG – Galaxy Ent European Put Wts Mar 2014 C	2014/03/18	2014/03/25
28071	Credit Suisse AG – Ping An European Warrants Mar 2014 C	2014/03/18	2014/03/25
27594	Credit Suisse AG – Tencent Holdings European Wts Mar 2014 D	2014/03/18	2014/03/25
27596	Credit Suisse AG – Xinyi Glass European Warrants Mar 2014 A	2014/03/18	2014/03/25
27315	Daiwa Capital Mkt – A50 China European Warrants Mar 2014 A	2014/03/18	2014/03/25
24128	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Mar 2014 B	2014/03/18	2014/03/25
26608	HK Bank – AIA European Put Warrants Mar 2014 C	2014/03/18	2014/03/25
29313	HK Bank – BOCL European Warrants Mar 2014 A	2014/03/18	2014/03/25
27360	HK Bank – China Mobile European Warrants Mar 2014 A	2014/03/18	2014/03/25
27525	J P Morgan SP BV – Yurun Food European Warrants Mar 2014 A	2014/03/18	2014/03/25
19085	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Mar 2014 A	2014/03/18	2014/03/25
27553	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2014 A	2014/03/18	2014/03/25
22116	Merrill Lynch Int'l & Co. C.V. – CNOOC Eu Put Wts Mar 2014 A	2014/03/18	2014/03/25
24280	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2014 B	2014/03/18	2014/03/25
28320	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Mar 2014 A	2014/03/18	2014/03/25
26182	Nomura Int'l plc – CAM CSI300 European Warrants Mar 2014 A	2014/03/18	2014/03/25
22292	Nomura Int'l plc – Zhaojin Mining European Wts Mar 2014 A	2014/03/18	2014/03/25
28070	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Mar 14 A	2014/03/18	2014/03/25
24296	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Mar14A	2014/03/18	2014/03/25
18876	Standard Chartered Bank – Belle Int'l European Wts Mar 2014A	2014/03/18	2014/03/25
25790	Standard Chartered Bank – CAM CSI300 European Wts Mar 2014 A	2014/03/18	2014/03/25
23458	Standard Chartered Bank -YZ Coal European Wts Mar 2014 A	2014/03/18	2014/03/25
28067	UBS AG – CAM CSI300 European Warrants Mar 2014 A	2014/03/18	2014/03/25
28375	UBS AG – CSOP A50 ETF European Warrants Mar 2014 B	2014/03/18	2014/03/25
28644	UBS AG – Yurun Food European Warrants Mar 2014 A	2014/03/18	2014/03/25
27708	Citigroup Global Mkt H Inc. – Link Reit Euro Wts Mar 2014 C	2014/03/19	2014/03/26
28698	Daiwa Capital Mkt – Tencent Holdings European Wts Mar 2014 C	2014/03/19	2014/03/26
27084	Standard Chartered Bank – Galaxy Ent European Wts Mar 2014 B	2014/03/19	2014/03/26
27774	UBS AG – China Mobile European Warrants Mar 2014 C	2014/03/19	2014/03/26
27957	UBS AG – China Tel European Warrants Mar 2014 A	2014/03/19	2014/03/26
27629	UBS AG – Galaxy Ent European Warrants Mar 2014 D	2014/03/19	2014/03/26
27175	UBS AG – Link REIT European Warrants Mar 2014 A	2014/03/19	2014/03/26
28386	UBS AG – Sinopec Corp European Warrants Mar 2014 A	2014/03/19	2014/03/26
27436	BOCI Asia Ltd. – Ping An European Warrants Mar 2014 C	2014/03/20	2014/03/27
27966	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Mar 2014 C	2014/03/20	2014/03/27
27679	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Mar 2014 A	2014/03/20	2014/03/27
27681	Citigroup Global Mkt H Inc. – HKEx European Wts Mar 2014 A	2014/03/20	2014/03/27
29531	Citigroup Global Mkt H Inc. – HSBC European Wts Mar 2014 C	2014/03/20	2014/03/27
28646	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2014 B	2014/03/20	2014/03/27
27682	Citigroup Global Mkt H Inc. – Link Reit Euro Wts Mar 2014 A	2014/03/20	2014/03/27
27678	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2014 B	2014/03/20	2014/03/27
28120	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Mar 2014 D	2014/03/20	2014/03/27
27658	Credit Suisse – Cheung Kong European Put Warrants Mar 2014 A	2014/03/20	2014/03/27
27661	Credit Suisse – Yanzhou Coal European Put Wts Mar 2014 A	2014/03/20	2014/03/27
28513	Daiwa Capital Mkt – Ping An European Warrants Mar 2014 B	2014/03/20	2014/03/27
28199	Daiwa Capital Mkt – Sands China European Put Wts Mar 2014 B	2014/03/20	2014/03/27
23720	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Mar 2014 A	2014/03/20	2014/03/27
28316	Goldman Sachs SP (Asia) -Tencent European Wt Mar 2014 A	2014/03/20	2014/03/27
29845	HK Bank – AIA European Warrants Mar 2014 D	2014/03/20	2014/03/27
26696	HK Bank – China Overseas European Warrants Mar 2014 A	2014/03/20	2014/03/27
27868	HK Bank – HWL European Warrants Mar 2014 A	2014/03/20	2014/03/27
27111	HK Bank – Tencent European Warrants Mar 2014 A	2014/03/20	2014/03/27
18056	Macquarie Bank Ltd. – OOIL European Warrants Mar 2014 A	2014/03/20	2014/03/27
28321	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Mar 2014 A	2014/03/20	2014/03/27
27550	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Mar 2014 A	2014/03/20	2014/03/27
25965	Standard Chartered Bank – CITIC Sec European Wts Mar 2014 A	2014/03/20	2014/03/27
27564	Standard Chartered Bank – Galaxy Ent Euro Put Wts Mar 2014 C	2014/03/20	2014/03/27
28504	Standard Chartered Bank – SJM Hold European Wts Mar 2014 A	2014/03/20	2014/03/27
26806	UBS AG – AIA European Warrants Mar 2014 A	2014/03/20	2014/03/27
29263	UBS AG – China Life European Warrants Mar 2014 A	2014/03/20	2014/03/27
28642	UBS AG – CITIC Bank European Warrants Mar 2014 A	2014/03/20	2014/03/27
28068	UBS AG – Greatwall Motor European Warrants Mar 2014 A	2014/03/20	2014/03/27
29257	UBS AG – HKEx European Warrants Mar 2014 A	2014/03/20	2014/03/27
27904	UBS AG – Hutchison European Warrants Mar 2014 A	2014/03/20	2014/03/27
28643	UBS AG – PetroChina European Warrants Mar 2014 A	2014/03/20	2014/03/27
27905	UBS AG – Sands China European Warrants Mar 2014 B	2014/03/20	2014/03/27
28350	UBS AG – SJM Holdings European Warrants Mar 2014 A	2014/03/20	2014/03/27
26669	UBS AG – Tencent Holdings European Warrants Mar 2014 A	2014/03/20	2014/03/27
26730	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Mar 2014 A	2014/03/21	2014/03/28
27159	Bank of East Asia – Ping An European Warrants Mar 2014 A	2014/03/24	2014/03/31
28164	BOCI Asia Ltd. – HSI European Put Warrants Mar 2014 A	2014/03/24	2014/03/31
29338	BOCI Asia Ltd. – HSI European Put Warrants Mar 2014 B	2014/03/24	2014/03/31
24031	CC Rabobank B.A. – China Coal European Warrants Mar 2014 A	2014/03/24	2014/03/31

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
21249	CC Rabobank B.A. – Yanzhou Coal European Warrants Mar 2014 A	2014/03/24	2014/03/31
27785	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2014 A	2014/03/24	2014/03/31
26107	Citigroup Global Mkt H Inc. – Lenovo European Wts Mar 2014 A	2014/03/24	2014/03/31
26106	Citigroup Global Mkt H Inc.-Tencent European Wts Mar 2014 A	2014/03/24	2014/03/31
24057	Credit Suisse AG – Belle Int'l European Warrants Mar 2014 A	2014/03/24	2014/03/31
25467	Credit Suisse AG – HSCEI European Warrants Mar 2014 A	2014/03/24	2014/03/31
25611	Credit Suisse AG – HSCEI European Warrants Mar 2014 B	2014/03/24	2014/03/31
25255	Credit Suisse AG – HSI European Put Warrants Mar 2014 A	2014/03/24	2014/03/31
25256	Credit Suisse AG – HSI European Put Warrants Mar 2014 B	2014/03/24	2014/03/31
27650	Credit Suisse AG – HSI European Put Warrants Mar 2014 C	2014/03/24	2014/03/31
29029	Credit Suisse AG – HSI European Put Warrants Mar 2014 E	2014/03/24	2014/03/31
29030	Credit Suisse AG – HSI European Put Warrants Mar 2014 F	2014/03/24	2014/03/31
28477	Credit Suisse AG – HSI European Warrants Mar 2014 D	2014/03/24	2014/03/31
28288	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2014 E	2014/03/24	2014/03/31
28356	Credit Suisse AG – Yanzhou Coal European Warrants Mar 2014 B	2014/03/24	2014/03/31
25211	Daiwa Capital Mkt – HSCEI European Put Warrants Mar 2014 A	2014/03/24	2014/03/31
25860	Daiwa Capital Mkt – HSCEI European Put Warrants Mar 2014 D	2014/03/24	2014/03/31
25689	Daiwa Capital Mkt – HSCEI European Warrants Mar 2014 B	2014/03/24	2014/03/31
25690	Daiwa Capital Mkt – HSCEI European Warrants Mar 2014 C	2014/03/24	2014/03/31
28584	Daiwa Capital Mkt – HSCEI European Warrants Mar 2014 E	2014/03/24	2014/03/31
27320	Daiwa Capital Mkt – HSI European Warrants Mar 2014 A	2014/03/24	2014/03/31
28580	Daiwa Capital Mkt – HSI European Warrants Mar 2014 B	2014/03/24	2014/03/31
27236	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2014 A	2014/03/24	2014/03/31
27238	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2014 B	2014/03/24	2014/03/31
22879	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2014 A	2014/03/24	2014/03/31
25959	Goldman Sachs SP (Asia) – CITIC Bank European Wts Mar 2014 A	2014/03/24	2014/03/31
26998	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Mar 2014 A	2014/03/24	2014/03/31
27239	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Mar 2014 C	2014/03/24	2014/03/31
26937	Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2014 A	2014/03/24	2014/03/31
24874	Goldman Sachs SP (Asia) – HSCEI European Put Wts Mar 2014 A	2014/03/24	2014/03/31
11446	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2014 A	2014/03/24	2014/03/31
22849	Goldman Sachs Sp (Asia) – ICBC European Warrants Mar 2014 A	2014/03/24	2014/03/31
27362	HK Bank – HSCEI European Put Warrants Mar 2014 B	2014/03/24	2014/03/31
24869	HK Bank – HSCEI European Warrants Mar 2014 A	2014/03/24	2014/03/31
27871	HK Bank – HSCEI European Warrants Mar 2014 C	2014/03/24	2014/03/31
26263	HK Bank – HSI European Put Warrants Mar 2014 B	2014/03/24	2014/03/31
27433	HK Bank – HSI European Put Warrants Mar 2014 C	2014/03/24	2014/03/31
28845	HK Bank – HSI European Put Warrants Mar 2014 G	2014/03/24	2014/03/31
29332	HK Bank – HSI European Put Warrants Mar 2014 J	2014/03/24	2014/03/31
27869	HK Bank – HSI European Warrants Mar 2014 D	2014/03/24	2014/03/31
28449	HK Bank – HSI European Warrants Mar 2014 E	2014/03/24	2014/03/31
28491	HK Bank – HSI European Warrants Mar 2014 F	2014/03/24	2014/03/31
28895	HK Bank – HSI European Warrants Mar 2014 H	2014/03/24	2014/03/31
28896	HK Bank – HSI European Warrants Mar 2014 I	2014/03/24	2014/03/31
28954	HK Bank – Ping An European Warrants Mar 2014 B	2014/03/24	2014/03/31
28245	J P Morgan SP BV – China Coal European Warrants Mar 2014 A	2014/03/24	2014/03/31
27520	J P Morgan SP BV – Zhaojin Mining European Wts Mar 2014 A	2014/03/24	2014/03/31
25118	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2014 A	2014/03/24	2014/03/31
27385	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2014 D	2014/03/24	2014/03/31
27921	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2014 E	2014/03/24	2014/03/31
27383	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2014 B	2014/03/24	2014/03/31
27384	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2014 C	2014/03/24	2014/03/31
27923	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2014 F	2014/03/24	2014/03/31
17810	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2014A	2014/03/24	2014/03/31
26166	Nomura Int'l plc – Ch Overseas European Warrants Mar 2014 A	2014/03/24	2014/03/31
24394	Nomura Int'l plc – Datang European Warrants Mar 2014 A	2014/03/24	2014/03/31
25723	Nomura Int'l plc – HSBC European Warrants Mar 2014 A	2014/03/24	2014/03/31
26188	Nomura Int'l plc – PetroChina European Put Wts Mar 2014 B	2014/03/24	2014/03/31
26171	Nomura Int'l plc – PetroChina European Warrants Mar 2014 A	2014/03/24	2014/03/31
27114	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2014 A	2014/03/24	2014/03/31
27200	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2014 B	2014/03/24	2014/03/31
27201	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2014 C	2014/03/24	2014/03/31
27645	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2014 G	2014/03/24	2014/03/31
27664	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2014 H	2014/03/24	2014/03/31
27206	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 14 D	2014/03/24	2014/03/31
27207	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 14 E	2014/03/24	2014/03/31
27208	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 14 F	2014/03/24	2014/03/31
12239	Standard Chartered Bank – AIA European Put Warrants Mar 14 A	2014/03/24	2014/03/31
26871	Standard Chartered Bank – AIA European Warrants Mar 2014 B	2014/03/24	2014/03/31
26709	Standard Chartered Bank – HSCEI European Put Wts Mar 2014 A	2014/03/24	2014/03/31
26710	Standard Chartered Bank – HSCEI European Warrants Mar 2014 B	2014/03/24	2014/03/31
27245	Standard Chartered Bank – HSI European Put Wts Mar 2014 A	2014/03/24	2014/03/31
26891	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2014 A	2014/03/24	2014/03/31
27398	Standard Chartered Bank – Link REIT European Wts Mar 2014 A	2014/03/24	2014/03/31
25791	Standard Chartered Bank – SPDR Gold Trust Euro Wt Mar 2014 A	2014/03/24	2014/03/31
26549	Standard Chartered Bank-China Life European Wts Mar 2014 B	2014/03/24	2014/03/31
24263	UBS AG – HSCEI European Put Warrants Mar 2014 A	2014/03/24	2014/03/31
27018	UBS AG – HSCEI European Put Warrants Mar 2014 C	2014/03/24	2014/03/31
27284	UBS AG – HSCEI European Put Warrants Mar 2014 D	2014/03/24	2014/03/31
27314	UBS AG – HSCEI European Put Warrants Mar 2014 E	2014/03/24	2014/03/31
26672	UBS AG – HSCEI European Warrants Mar 2014 B	2014/03/24	2014/03/31
27683	UBS AG – HSCEI European Warrants Mar 2014 F	2014/03/24	2014/03/31
26880	UBS AG – HSI European Put Warrants Mar 2014 B	2014/03/24	2014/03/31
27285	UBS AG – HSI European Put Warrants Mar 2014 D	2014/03/24	2014/03/31
27632	UBS AG – HSI European Put Warrants Mar 2014 F	2014/03/24	2014/03/31
26639	UBS AG – HSI European Warrants Mar 2014 A	2014/03/24	2014/03/31
27054	UBS AG – HSI European Warrants Mar 2014 C	2014/03/24	2014/03/31

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27329	UBS AG – HSI European Warrants Mar 2014 E	2014/03/24	2014/03/31
28151	UBS AG – Ping An European Warrants Mar 2014 C	2014/03/24	2014/03/31
22565	BNP Paribas Arbit Issu B.V. – BOCHK European Wts Mar 2014 A	2014/03/25	2014/04/01
27243	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Mar 2014 B	2014/03/25	2014/04/01
27121	BOCI Asia Ltd. – Galaxy Ent European Warrants Mar 2014 A	2014/03/25	2014/04/01
27557	BOCI Asia Ltd. – Sands China European Warrants Mar 2014 A	2014/03/25	2014/04/01
27706	Citigroup Global Mkt H Inc. – Link Reit Euro Wts Mar 2014 B	2014/03/25	2014/04/01
22032	Citigroup Global Mkt H Inc. – PICC P&C Euro Wts Mar 2014 A	2014/03/25	2014/04/01
27597	Credit Suisse AG – A50 China European Warrants Mar 2014 A	2014/03/25	2014/04/01
29216	Credit Suisse AG – BOCL European Warrants Mar 2014 A	2014/03/25	2014/04/01
11120	Credit Suisse AG – CC Bank European Warrants Mar 2014 B	2014/03/25	2014/04/01
29499	Credit Suisse AG – China Coal European Warrants Mar 2014 A	2014/03/25	2014/04/01
11123	Credit Suisse AG – CNBM European Warrants Mar 2014 B	2014/03/25	2014/04/01
28006	Credit Suisse AG – Geely Auto European Warrants Mar 2014 A	2014/03/25	2014/04/01
28707	Credit Suisse AG – HSBC European Put Warrants Mar 2014 B	2014/03/25	2014/04/01
28705	Credit Suisse AG – HSBC European Wts Mar 2014 A	2014/03/25	2014/04/01
27971	Credit Suisse AG – Hutchison European Warrants Mar 2014 A	2014/03/25	2014/04/01
28835	Credit Suisse AG – Lenovo European Warrants Mar 2014 A	2014/03/25	2014/04/01
28628	Credit Suisse AG – Tencent Holdings European Wts Mar 2014 F	2014/03/25	2014/04/01
19618	Daiwa Capital Mkt – HSBC European Put Warrants Mar 2014 A	2014/03/25	2014/04/01
25567	Goldman Sachs SP (Asia) – AIA European Warrants Mar 2014 A	2014/03/25	2014/04/01
21976	Goldman Sachs SP (Asia) – BOC HK European Wts Mar 2014 A	2014/03/25	2014/04/01
22890	Goldman Sachs SP (Asia) – CAM CSI300 Euro Put Wts Mar 2014 A	2014/03/25	2014/04/01
27059	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Mar 2014 A	2014/03/25	2014/04/01
25291	Goldman Sachs SP (Asia) – CQRC Bank European Wts Mar 2014 A	2014/03/25	2014/04/01
27058	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Mar 2014 B	2014/03/25	2014/04/01
27636	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Mar 2014 D	2014/03/25	2014/04/01
11361	Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2014 B	2014/03/25	2014/04/01
26706	Goldman Sachs SP (Asia) – Kingsoft European Wts Mar 2014 A	2014/03/25	2014/04/01
25296	Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2014 A	2014/03/25	2014/04/01
24422	Goldman Sachs SP (Asia) – Link REIT European Wts Mar 2014 A	2014/03/25	2014/04/01
25225	Goldman Sachs SP (Asia) – Mongol Mining Euro Wt Mar 2014 A	2014/03/25	2014/04/01
25713	Goldman Sachs SP (Asia) – New World Dev Euro Wts Mar 2014 A	2014/03/25	2014/04/01
27298	Goldman Sachs SP (Asia) – Ping An European Wts Mar 2014 A	2014/03/25	2014/04/01
23414	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Mar 2014 A	2014/03/25	2014/04/01
25766	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Mar 2014 C	2014/03/25	2014/04/01
11368	Goldman Sachs SP (Asia) – Yurun Food European Wts Mar 2014 A	2014/03/25	2014/04/01
27293	HK Bank – A50 China European Warrants Mar 2014 A	2014/03/25	2014/04/01
27562	HK Bank – China Mobile European Warrants Mar 2014 B	2014/03/25	2014/04/01
27561	HK Bank – Galaxy Ent European Warrants Mar 2014 A	2014/03/25	2014/04/01
26432	HK Bank – Lenovo European Warrants Mar 2014 A	2014/03/25	2014/04/01
27929	HK Bank – Ping An European Warrants Mar 2014 A	2014/03/25	2014/04/01
26904	HK Bank – SHK Ppt European Warrants Mar 2014 A	2014/03/25	2014/04/01
25374	J P Morgan SP BV – CAM CSI300 European Warrants Mar 2014 A	2014/03/25	2014/04/01
23950	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Mar 2014 A	2014/03/25	2014/04/01
18434	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2014 A	2014/03/25	2014/04/01
28177	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Mar14B	2014/03/25	2014/04/01
25854	Nomura Int'l plc – A50 China European Put Wts Mar 2014 B	2014/03/25	2014/04/01
22304	Nomura Int'l plc – A50 China European Warrants Mar 2014 A	2014/03/25	2014/04/01
26044	Nomura Int'l plc – BOCL European Warrants Mar 2014 A	2014/03/25	2014/04/01
26020	Nomura Int'l plc – China Mobile European Warrants Mar 2014 A	2014/03/25	2014/04/01
25754	Nomura Int'l plc – China Shenhua European Wts Mar 2014 A	2014/03/25	2014/04/01
21064	Nomura Int'l plc – CNOOC European Put Warrants Mar 2014 A	2014/03/25	2014/04/01
12271	Nomura Int'l plc – Esprit European Put Warrants Mar 2014 A	2014/03/25	2014/04/01
11902	Nomura Int'l plc – Henganintl European Warrants Mar 2014 A	2014/03/25	2014/04/01
26004	Nomura Int'l plc – Hutchison European Put Warrants Mar 2014C	2014/03/25	2014/04/01
26002	Nomura Int'l plc – Hutchison European Warrants Mar 2014 A	2014/03/25	2014/04/01
26003	Nomura Int'l plc – Hutchison European Warrants Mar 2014 B	2014/03/25	2014/04/01
12264	Nomura Int'l plc – Link REIT European Put Wts Mar 2014 A	2014/03/25	2014/04/01
24615	Nomura Int'l plc – Tencent Holdings Euro Warrants Mar 2014 A	2014/03/25	2014/04/01
20499	Nomura Int'l plc – Yanzhou Coal European Warrants Mar 2014 A	2014/03/25	2014/04/01
25757	Nomura Int'l plc – Zijin Mining European Warrants Mar 2014 A	2014/03/25	2014/04/01
20974	Nomura Int'l plc – Zoomlion European Warrants Mar 2014 A	2014/03/25	2014/04/01
20785	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2014A	2014/03/25	2014/04/01
10237	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Mar14 A	2014/03/25	2014/04/01
27875	SGA Societe Generale Acceptance N.V. –CCB Euro Put Wt Mar14B	2014/03/25	2014/04/01
10238	SGA Societe Generale Acceptance N.V.-USD/YEN Eu Put Wt Mar14B	2014/03/25	2014/04/01
25764	Standard Chartered Bank – A50 China Euro Put Wts Mar 2014 A	2014/03/25	2014/04/01
27177	Standard Chartered Bank – China Mobile Euro Wts Mar 2014 A	2014/03/25	2014/04/01
25765	Standard Chartered Bank – CSOP A50 ETF Euro Wts Mar 2014 A	2014/03/25	2014/04/01
26974	Standard Chartered Bank – HSBC European Put Wts Mar 2014 A	2014/03/25	2014/04/01
27878	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2014 B	2014/03/25	2014/04/01
25407	Standard Chartered Bank – Zhaojin Mining Euro Wts Mar 2014 A	2014/03/25	2014/04/01
27699	UBS AG – BOCL European Warrants Mar 2014 A	2014/03/25	2014/04/01
19704	UBS AG – HSBC European Put Warrants Mar 2014 A	2014/03/25	2014/04/01
27775	UBS AG – HSBC European Warrants Mar 2014 B	2014/03/25	2014/04/01
28567	UBS AG – SHK Ppt European Warrants Mar 2014 A	2014/03/25	2014/04/01
27519	Bank of East Asia – Kingsoft European Warrants Apr 2014 A	2014/03/26	2014/04/02
11400	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Apr 2014 E	2014/03/26	2014/04/02
28786	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2014 B	2014/03/26	2014/04/02
28880	Credit Suisse AG – China Mobile European Warrants Apr 2014 A	2014/03/26	2014/04/02
11630	Credit Suisse AG – HSBC European Wts Apr 2014 A	2014/03/26	2014/04/02
23064	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Apr 2014 A	2014/03/26	2014/04/02
29098	Daiwa Capital Mkt – China Life European Warrants Apr 2014 C	2014/03/26	2014/04/02
27125	Daiwa Capital Mkt – China Mobile European Wts Apr 2014 A	2014/03/26	2014/04/02
28507	Daiwa Capital Mkt – China Mobile European Wts Apr 2014 B	2014/03/26	2014/04/02
27945	Daiwa Capital Mkt – Galaxy Ent European Put Wts Apr 2014 A	2014/03/26	2014/04/02

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28670	Daiwa Capital Mkt – HSBC European Warrants Apr 2014 B	2014/03/26	2014/04/02
28360	HK Bank – China Mobile European Warrants Apr 2014 B	2014/03/26	2014/04/02
27453	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2014 A	2014/03/26	2014/04/02
27110	J P Morgan SP BV – Chi Res Power European Wts Apr 2014 A	2014/03/26	2014/04/02
27261	J P Morgan SP BV – China Mob European Put Wts Apr 2014 A	2014/03/26	2014/04/02
27262	J P Morgan SP BV – China Mob European Wts Apr 2014 B	2014/03/26	2014/04/02
29605	J P Morgan SP BV – China Mob European Wts Apr 2014 D	2014/03/26	2014/04/02
27549	J P Morgan SP BV – China Tel European Warrants Apr 2014 A	2014/03/26	2014/04/02
27372	J P Morgan SP BV – Galaxy Ent European Put Wts Apr 2014 C	2014/03/26	2014/04/02
27374	J P Morgan SP BV – Galaxy Ent European Warrants Apr 2014 D	2014/03/26	2014/04/02
29583	J P Morgan SP BV – HKEx European Warrants Apr 2014 A	2014/03/26	2014/04/02
27604	J P Morgan SP BV – HSBC European Warrants Apr 2014 B	2014/03/26	2014/04/02
11403	J P Morgan SP BV – HSBC European Warrants Apr 2014 D	2014/03/26	2014/04/02
27370	J P Morgan SP BV – Hutchison European Warrants Apr 2014 A	2014/03/26	2014/04/02
26788	J P Morgan SP BV – Link Reit European Warrants Apr 2014 A	2014/03/26	2014/04/02
27622	J P Morgan SP BV – Sinopec Corp European Warrants Apr 2014 A	2014/03/26	2014/04/02
26343	J P Morgan SP BV – Tencent European Put Warrants Apr 2014 A	2014/03/26	2014/04/02
27450	J P Morgan SP BV – Tencent European Put Warrants Apr 2014 D	2014/03/26	2014/04/02
27449	J P Morgan SP BV – Tencent European Warrants Apr 2014 C	2014/03/26	2014/04/02
27109	J P Morgan SP BV – Wynn Macau European Warrants Apr 2014 A	2014/03/26	2014/04/02
29713	Standard Chartered Bank – HSBC European Warrants Apr 2014 A	2014/03/26	2014/04/02
22369	Standard Chartered Bank-China Life European Wts Apr 2014 A	2014/03/26	2014/04/02
11029	UBS AG – China Shenhua European Warrants Apr 2014 A	2014/03/26	2014/04/02
27426	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2014 A	2014/03/27	2014/04/03
23944	BNP Paribas Arbit Issu B.V. – AIA European Wts Apr 2014 A	2014/03/27	2014/04/03
27424	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Apr 2014 A	2014/03/27	2014/04/03
21962	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wt Apr 2014 A	2014/03/27	2014/04/03
27986	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2014 A	2014/03/27	2014/04/03
27503	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Apr 2014 B	2014/03/27	2014/04/03
27272	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2014 A	2014/03/27	2014/04/03
28207	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2014 C	2014/03/27	2014/04/03
26496	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Apr 2014 A	2014/03/27	2014/04/03
25547	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Apr 2014 A	2014/03/27	2014/04/03
27103	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Apr 2014 A	2014/03/27	2014/04/03
27988	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Apr 2014 B	2014/03/27	2014/04/03
28089	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Apr 2014 A	2014/03/27	2014/04/03
26510	BNP Paribas Arbit Issu B.V. – HKEx European Wts Apr 2014 A	2014/03/27	2014/04/03
27985	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2014 A	2014/03/27	2014/04/03
27423	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Apr 2014 A	2014/03/27	2014/04/03
27185	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Apr 2014 A	2014/03/27	2014/04/03
17940	BNP Paribas Arbit Issu B.V. – OOIL European Wts Apr 2014 A	2014/03/27	2014/04/03
28085	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2014 A	2014/03/27	2014/04/03
27275	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Apr 2014 A	2014/03/27	2014/04/03
26513	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Apr 2014 B	2014/03/27	2014/04/03
27184	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Apr 2014 D	2014/03/27	2014/04/03
28276	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Apr 2014 F	2014/03/27	2014/04/03
21231	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Apr 2014 A	2014/03/27	2014/04/03
23891	BNP Paribas Arbit Issu B.V. – Zoomlion Euro Wts Apr 2014 A	2014/03/27	2014/04/03
26512	BNP Paribas Arbit Issu B.V. –Tencent Hldgs Eu Wt Apr 2014 A	2014/03/27	2014/04/03
27183	BNP Paribas Arbit Issu B.V. –Tencent Hldgs Eu Wt Apr 2014 C	2014/03/27	2014/04/03
27531	BNP Paribas Arbit Issu B.V. –Tencent Hldgs Eu Wt Apr 2014 E	2014/03/27	2014/04/03
11058	BNP Paribas Arbit Issu B.V. –BOCL European Put Wts Apr 2014 A	2014/03/27	2014/04/03
27994	BOCI Asia Ltd. – Cheung Kong European Put Warrants Apr 2014A	2014/03/27	2014/04/03
27756	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Apr 2014 A	2014/03/27	2014/04/03
29271	Credit Suisse AG – HKEx European Warrants Apr 2014 A	2014/03/27	2014/04/03
29024	Credit Suisse AG – Sinopec Corp European Warrants Apr 2014 A	2014/03/27	2014/04/03
28754	Daiwa Capital Mkt – Galaxy Ent European Warrants Apr 2014 B	2014/03/27	2014/04/03
27483	Daiwa Capital Mkt – Hutchison European Warrants Apr 2014 A	2014/03/27	2014/04/03
22203	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Apr 2014 A	2014/03/27	2014/04/03
22543	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wt Apr 2014 A	2014/03/27	2014/04/03
27570	J P Morgan SP BV – A50 China European Warrants Apr 2014 A	2014/03/27	2014/04/03
27527	J P Morgan SP BV – CITIC Bank European Warrants Apr 2014 A	2014/03/27	2014/04/03
27571	J P Morgan SP BV – CSOP A50 ETF European Warrants Apr 2014 A	2014/03/27	2014/04/03
27012	J P Morgan SP BV – Galaxy Ent European Put Wts Apr 2014 A	2014/03/27	2014/04/03
27065	J P Morgan SP BV – Galaxy Ent European Warrants Apr 2014 B	2014/03/27	2014/04/03
27602	J P Morgan SP BV – HSBC European Put Warrants Apr 2014 A	2014/03/27	2014/04/03
28159	J P Morgan SP BV – HSBC European Warrants Apr 2014 C	2014/03/27	2014/04/03
27173	J P Morgan SP BV – Ping An European Put Warrants Apr 2014 B	2014/03/27	2014/04/03
27064	J P Morgan SP BV – Ping An European Warrants Apr 2014 A	2014/03/27	2014/04/03
27690	J P Morgan SP BV – Ping An European Warrants Apr 2014 C	2014/03/27	2014/04/03
27061	J P Morgan SP BV – Sands China European Put Wts Apr 2014 A	2014/03/27	2014/04/03
27062	J P Morgan SP BV – Sands China European Warrants Apr 2014 B	2014/03/27	2014/04/03
27171	J P Morgan SP BV – Tencent European Warrants Apr 2014 B	2014/03/27	2014/04/03
27524	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2014 A	2014/03/27	2014/04/03
26149	Macquarie Bank Ltd. – A50 China European Warrants Apr 2014 A	2014/03/27	2014/04/03
27457	Macquarie Bank Ltd. – A50 China European Warrants Apr 2014 B	2014/03/27	2014/04/03
12208	Macquarie Bank Ltd. – AIA European Warrants Apr 2014 A	2014/03/27	2014/04/03
26773	Macquarie Bank Ltd. – Anhui Conch European Wts Apr 2014 A	2014/03/27	2014/04/03
20073	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Apr 2014A	2014/03/27	2014/04/03
22867	Macquarie Bank Ltd. – Brilliance Chi Euro Wats Apr 2014 B	2014/03/27	2014/04/03
89008	Macquarie Bank Ltd. – CAM CSI300 European Wts Apr 2014 A	2014/03/27	2014/04/03
25491	Macquarie Bank Ltd. – CAM CSI300 European Wts Apr 2014 A	2014/03/27	2014/04/03
25583	Macquarie Bank Ltd. – Chi Res Power European Wts Apr 2014 A	2014/03/27	2014/04/03
12128	Macquarie Bank Ltd. – China Life European Wts Apr 2014 A	2014/03/27	2014/04/03
26155	Macquarie Bank Ltd. – China Mengniu European Wts Apr 2014 A	2014/03/27	2014/04/03
27854	Macquarie Bank Ltd. – China Overseas European Wts Apr 2014 A	2014/03/27	2014/04/03
26720	Macquarie Bank Ltd. – Chinares Cement European Wt Apr 2014 A	2014/03/27	2014/04/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27508	Macquarie Bank Ltd. – CMS European Warrants Apr 2014 A	2014/03/27	2014/04/03
26159	Macquarie Bank Ltd. – CNBM European Warrants Apr 2014 A	2014/03/27	2014/04/03
26807	Macquarie Bank Ltd. – CNBM European Warrants Apr 2014 B	2014/03/27	2014/04/03
26084	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Apr 2014 A	2014/03/27	2014/04/03
27459	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Apr 2014 B	2014/03/27	2014/04/03
26602	Macquarie Bank Ltd. – Datang European Warrants Apr 2014 A	2014/03/27	2014/04/03
27137	Macquarie Bank Ltd. – Foxconn European Warrants Apr 2014 A	2014/03/27	2014/04/03
27070	Macquarie Bank Ltd. – Galaxy Ent European Put Wts Apr 2014 B	2014/03/27	2014/04/03
26939	Macquarie Bank Ltd. – Galaxy Ent European Wts Apr 2014 A	2014/03/27	2014/04/03
27465	Macquarie Bank Ltd. – Galaxy Ent European Wts Apr 2014 C	2014/03/27	2014/04/03
26775	Macquarie Bank Ltd. – Geely Auto European Wts Apr 2014 A	2014/03/27	2014/04/03
27440	Macquarie Bank Ltd. – Kingsoft European Warrants Apr 2014 A	2014/03/27	2014/04/03
27740	Macquarie Bank Ltd. – Lenovo European Warrants Apr 2014 A	2014/03/27	2014/04/03
11331	Macquarie Bank Ltd. – MGM China European Warrants Apr 2014 A	2014/03/27	2014/04/03
24914	Macquarie Bank Ltd. – PICC Group European Wts Apr 2014 A	2014/03/27	2014/04/03
27232	Macquarie Bank Ltd. – Sands China European Wts Apr 2014 A	2014/03/27	2014/04/03
23134	Macquarie Bank Ltd. – SJM Holdings European Wts Apr 2014 A	2014/03/27	2014/04/03
27441	Macquarie Bank Ltd. – Tencent European Warrants Apr 2014 A	2014/03/27	2014/04/03
25162	Macquarie Bank Ltd. – Yanzhou Coal European Wts Apr 2014 A	2014/03/27	2014/04/03
27741	Macquarie Bank Ltd. – Yanzhou Coal European Wts Apr 2014 B	2014/03/27	2014/04/03
26122	Macquarie Bank Ltd. – Zijin Mining European Wts Apr 2014 A	2014/03/27	2014/04/03
22637	Macquarie Bank Ltd. – Zoomlion European Warrants Apr 2014 A	2014/03/27	2014/04/03
25974	Macquarie Bank Ltd. –GCL-Poly Energy European Wts Apr 2014 A	2014/03/27	2014/04/03
26774	Macquarie Bank Ltd. –Greatwall Motor European Wts Apr 2014 A	2014/03/27	2014/04/03
18696	Macquarie Bank Ltd. –Want Want China European Wts Apr 2014 B	2014/03/27	2014/04/03
25734	Nomura Int'l plc – China EB Int'l European Wts Apr 2014 A	2014/03/27	2014/04/03
25728	Nomura Int'l plc – China Mer Hold European Wts Apr 2014 A	2014/03/27	2014/04/03
26033	Nomura Int'l plc – Foxconn European Warrants Apr 2014 A	2014/03/27	2014/04/03
26022	Nomura Int'l plc – Lenovo European Warrants Apr 2014 A	2014/03/27	2014/04/03
22450	Nomura Int'l plc – Yanzhou Coal European Warrants Apr 2014 A	2014/03/27	2014/04/03
27625	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Apr 2014 A	2014/03/27	2014/04/03
27663	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2014 A	2014/03/27	2014/04/03
27841	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2014 B	2014/03/27	2014/04/03
27225	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 14 A	2014/03/27	2014/04/03
28000	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 14 B	2014/03/27	2014/04/03
26704	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Apr 14 A	2014/03/27	2014/04/03
27588	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr2014 A	2014/03/27	2014/04/03
28300	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Apr2014 A	2014/03/27	2014/04/03
27666	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2014 A	2014/03/27	2014/04/03
28020	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2014 C	2014/03/27	2014/04/03
28671	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2014 D	2014/03/27	2014/04/03
27876	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2014 A	2014/03/27	2014/04/03
28912	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2014 B	2014/03/27	2014/04/03
26705	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2014 A	2014/03/27	2014/04/03
27754	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr2014 A	2014/03/27	2014/04/03
26747	SGA Societe Generale Acceptance N.V. – PICC Gp Eu Wts Apr14A	2014/03/27	2014/04/03
28050	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 2014B	2014/03/27	2014/04/03
27194	SGA Societe Generale Acceptance N.V. – CCoal Eu Wt Apr 2014 A	2014/03/27	2014/04/03
27509	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Apr14 A	2014/03/27	2014/04/03
27514	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Apr14 B	2014/03/27	2014/04/03
27599	SGA Societe Generale Acceptance N.V. –Link REIT Eu Wt Apr14B	2014/03/27	2014/04/03
27080	SGA Societe Generale Acceptance N.V. –Ping An Eu Wt Apr 14 A	2014/03/27	2014/04/03
28633	SGA Societe Generale Acceptance N.V. –Ping An Eu Wt Apr 14 C	2014/03/27	2014/04/03
26325	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Apr 14 A	2014/03/27	2014/04/03
27471	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Apr 14 C	2014/03/27	2014/04/03
26235	SGA Societe Generale Acceptance N.V. –CAM CSI300 Eu Wt Apr14A	2014/03/27	2014/04/03
27038	SGA Societe Generale Acceptance N.V. –Galaxy Ent Eu Wt Apr14A	2014/03/27	2014/04/03
27752	SGA Societe Generale Acceptance N.V. –Galaxy Ent Eu Wt Apr14C	2014/03/27	2014/04/03
27796	SGA Societe Generale Acceptance N.V. –Galaxy Ent Eu Wt Apr14D	2014/03/27	2014/04/03
28364	SGA Societe Generale Acceptance N.V. –Galaxy Ent Eu Wt Apr14F	2014/03/27	2014/04/03
27624	SGA Societe Generale Acceptance N.V. –Galaxy Eu Put Wt Apr14B	2014/03/27	2014/04/03
27797	SGA Societe Generale Acceptance N.V. –Galaxy Eu Put Wt Apr14E	2014/03/27	2014/04/03
27467	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt Apr14A	2014/03/27	2014/04/03
28801	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt Apr14C	2014/03/27	2014/04/03
27324	SGA Societe Generale Acceptance N.V. –Ch Overseas Eu Wt Apr14A	2014/03/27	2014/04/03
27414	SGA Societe Generale Acceptance N.V. –Chi COSCO Eu Wt Apr 14 A	2014/03/27	2014/04/03
27328	SGA Societe Generale Acceptance N.V. –Evergrande Eu Wt Apr14 A	2014/03/27	2014/04/03
27668	SGA Societe Generale Acceptance N.V. –Ping An Eu Put Wt Apr14B	2014/03/27	2014/04/03
27039	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Apr14A	2014/03/27	2014/04/03
27736	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Apr14B	2014/03/27	2014/04/03
27368	SGA Societe Generale Acceptance N.V. –Tencent Eu Put Wt Apr14B	2014/03/27	2014/04/03
27530	SGA Societe Generale Acceptance N.V. –Tencent Eu Put Wt Apr14D	2014/03/27	2014/04/03
28051	SGA Societe Generale Acceptance NV –CSOP A50 ETF Eu Wt Apr14A	2014/03/27	2014/04/03
28052	SGA Societe Generale Acceptance NV –CSOP A50 ETF Eu Wt Apr14B	2014/03/27	2014/04/03
27614	SGA Societe Generale Acceptance NV –Minsheng BK Eu Wt Apr14 A	2014/03/27	2014/04/03
27755	SGA Societe Generale Acceptance NV –Sands Ch Eu Put Wt Apr14C	2014/03/27	2014/04/03
27895	SGA Societe Generale Acceptance NV –Yanzhou Coal Eu Wt Apr14A	2014/03/27	2014/04/03
28081	Standard Chartered Bank – Lenovo European Wts Apr 2014 A	2014/03/27	2014/04/03
27528	Standard Chartered Bank – Tencent Hldg Euro Wts Apr 2014 B	2014/03/27	2014/04/03
28442	Standard Chartered Bank – Tencent Hldg Euro Wts Apr 2014 D	2014/03/27	2014/04/03
28312	UBS AG – HKEx European Warrants Apr 2014 A	2014/03/27	2014/04/03
27542	UBS AG – Tencent Holdings European Warrants Apr 2014 A	2014/03/27	2014/04/03
27745	Credit Suisse AG – China Mengniu European Wts Apr 2014 A	2014/03/28	2014/04/04
28544	Daiwa Capital Mkt – A50 China European Warrants Apr 2014 A	2014/03/28	2014/04/04
27037	Daiwa Capital Mkt – Tencent Holdings European Wts Apr 2014 A	2014/03/28	2014/04/04
26894	Standard Chartered Bank – HKEx European Put Wts Apr 2014 A	2014/03/28	2014/04/04
29464	UBS AG – Cheung Kong European Warrants Apr 2014 A	2014/03/28	2014/04/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27961	BOCI Asia Ltd. – HSBC European Put Warrants Apr 2014 A	2014/03/31	2014/04/07
27781	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2014 A	2014/03/31	2014/04/07
27783	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2014 A	2014/03/31	2014/04/07
25004	Standard Chartered Bank – Sands China Eu Put Wts Apr 2014 A	2014/03/31	2014/04/07
23406	Bank of East Asia – Zoomlion European Warrants Apr 2014 A	2014/04/01	2014/04/08
28393	BOCI Asia Ltd. – China Mobile European Warrants Apr 2014 A	2014/04/01	2014/04/08
27556	BOCI Asia Ltd. – Tencent Holdings European Wts Apr 2014 A	2014/04/01	2014/04/08
27829	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Apr 2014 A	2014/04/01	2014/04/08
27828	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wt Apr 2014 A	2014/04/01	2014/04/08
27815	Credit Suisse AG – Galaxy Ent European Warrants Apr 2014 A	2014/04/01	2014/04/08
22252	HK Bank – CNBM European Warrants Apr 2014 B	2014/04/01	2014/04/08
27714	HK Bank – SHK Ppt European Warrants Apr 2014 A	2014/04/01	2014/04/08
15053	J P Morgan SP BV – HSBC European Put Warrants Apr 2014	2014/04/01	2014/04/08
11353	J P Morgan SP BV – Hutchison European Warrants Apr 2014 B	2014/04/01	2014/04/08
24284	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Apr 2014 A	2014/04/01	2014/04/08
24191	Standard Chartered Bank – CNBM European Warrants Apr 2014 A	2014/04/01	2014/04/08
22241	UBS AG – China National Building European Wts Apr 2014 A	2014/04/01	2014/04/08
27858	UBS AG – Kingsoft European Warrants Apr 2014 A	2014/04/01	2014/04/08
27641	BOCI Asia Ltd. – China Life European Put Warrants Apr 2014 B	2014/04/02	2014/04/09
27727	Citigroup Global Mkt H Inc. – China Life Eu Put Wt Apr2014 B	2014/04/02	2014/04/09
28165	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2014 A	2014/04/02	2014/04/09
27862	Credit Suisse AG – Wynn Macau European Warrants Apr 2014 A	2014/04/02	2014/04/09
29199	Credit Suisse AG – China Mobile European Warrants Apr 2014 B	2014/04/02	2014/04/09
29734	Daiwa Capital Mkt – HSBC European Put Warrants Apr 2014 C	2014/04/02	2014/04/09
29737	Daiwa Capital Mkt – Hutchison European Warrants Apr 2014 B	2014/04/02	2014/04/09
27843	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2014 B	2014/04/02	2014/04/09
27845	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2014 B	2014/04/02	2014/04/09
28358	HK Bank – China Mobile European Warrants Apr 2014 A	2014/04/02	2014/04/09
28533	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2014 B	2014/04/02	2014/04/09
28610	Nomura Int'l plc – Tencent Holdings Euro Warrants Apr 2014 B	2014/04/02	2014/04/09
89014	Standard Chartered Bank – CAM CSI300 Euro Wts Apr 2014 A	2014/04/02	2014/04/09
26711	Standard Chartered Bank – China Shenhua Euro Wts Apr 2014 A	2014/04/02	2014/04/09
27120	Standard Chartered Bank – Sands China Euro Wts Apr 2014 B	2014/04/02	2014/04/09
27533	UBS AG – China Life European Put Warrants Apr 2014 B	2014/04/02	2014/04/09
28688	UBS AG – China Mobile European Warrants Apr 2014 B	2014/04/02	2014/04/09
11448	UBS AG – Esprit European Warrants Apr 2014 A	2014/04/02	2014/04/09
27811	UBS AG – Galaxy Ent European Warrants Apr 2014 A	2014/04/02	2014/04/09
27732	UBS AG – Geely Auto European Warrants Apr 2014 A	2014/04/02	2014/04/09
28684	UBS AG – HSBC European Warrants Apr 2014 A	2014/04/02	2014/04/09
27812	UBS AG – Wynn Macau European Warrants Apr 2014 A	2014/04/02	2014/04/09
28008	Bank of East Asia – Galaxy Ent European Put Warrants Apr14 A	2014/04/03	2014/04/10
27890	Credit Suisse AG – Anton Oilfield European Warrants Apr 14 A	2014/04/03	2014/04/10
27909	Credit Suisse AG – Galaxy Ent European Warrants Apr 2014 C	2014/04/03	2014/04/10
27893	Credit Suisse AG – GCL-Poly Energy European Wts Apr 2014 A	2014/04/03	2014/04/10
29514	Credit Suisse AG – GCL-Poly Energy European Wts Apr 2014 B	2014/04/03	2014/04/10
27891	Credit Suisse AG – Nine Dragons European Warrants Apr 2014 A	2014/04/03	2014/04/10
28577	HK Bank – Tencent European Put Warrants Apr 2014 F	2014/04/03	2014/04/10
26176	Nomura Int'l plc – Brilliance Chi European Warrants Apr 14 A	2014/04/03	2014/04/10
29663	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 14 C	2014/04/03	2014/04/10
11143	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2014 E	2014/04/03	2014/04/10
27513	SGA Societe Generale Acceptance N.V. –CLife Eu Put Wt Apr14A	2014/04/03	2014/04/10
27874	Standard Chartered Bank – HKEu European Warrants Apr 2014 B	2014/04/03	2014/04/10
28233	UBS AG – Tencent Holdings European Put Warrants Apr 2014 B	2014/04/03	2014/04/10
27926	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Apr 2014 A	2014/04/04	2014/04/11
27928	Citigroup Global Mkt H Inc. – MGM China Euro Wts Apr 2014 A	2014/04/04	2014/04/11
27925	Citigroup Global Mkt H Inc. – Sands China Euro Wt Apr 2014 A	2014/04/04	2014/04/11
27954	Credit Suisse AG – Kingsoft European Warrants Apr 2014 A	2014/04/04	2014/04/11
27941	Daiwa Capital Mkt – Cheung Kong European Warrants Apr 2014 A	2014/04/04	2014/04/11
27956	UBS AG – GCL-Poly Energy European Warrants Apr 2014 A	2014/04/04	2014/04/11
27962	BOCI Asia Ltd. – HSBC European Warrants Apr 2014 B	2014/04/07	2014/04/14
24440	Credit Suisse AG – CNBM European Warrants Apr 2014 A	2014/04/07	2014/04/14
27174	J P Morgan SP BV – Kingsoft European Warrants Apr 2014 A	2014/04/07	2014/04/14
27919	Merrill Lynch Int'l & Co. C.V. –Ch Mobile Eu Put Wt Apr 14 A	2014/04/07	2014/04/14
27693	Standard Chartered Bank – SHK P European Put Wts Apr 2014 A	2014/04/07	2014/04/14
27608	Standard Chartered Bank -YZ Coal European Wts Apr 2014 A	2014/04/07	2014/04/14
22023	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Apr 2014 A	2014/04/08	2014/04/15
27965	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Apr 2014 A	2014/04/08	2014/04/15
27967	Citigroup Global Mkt H Inc. – Wynn Macau Euro Wts Apr 2014 A	2014/04/08	2014/04/15
28623	Credit Suisse AG – Geely Auto European Warrants Apr 2014 A	2014/04/08	2014/04/15
12352	Credit Suisse AG – HSBC European Wts Apr 2014 C	2014/04/08	2014/04/15
27930	HK Bank – GCL-Poly Energy European Warrants Apr 2014 A	2014/04/08	2014/04/15
27931	HK Bank – Sands China European Warrants Apr 2014 A	2014/04/08	2014/04/15
22209	HK Bank – Zoomlion European Warrants Apr 2014 A	2014/04/08	2014/04/15
24435	HK Bank – Zoomlion European Warrants Apr 2014 B	2014/04/08	2014/04/15
27600	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Apr14A	2014/04/08	2014/04/15
27972	UBS AG – BYD European Warrants Apr 2014 A	2014/04/08	2014/04/15
28420	UBS AG – Geely Auto European Warrants Apr 2014 B	2014/04/08	2014/04/15
27481	UBS AG – SJM Holdings European Warrants Apr 2014 A	2014/04/08	2014/04/15
11092	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Apr 2014 A	2014/04/09	2014/04/16
11095	Citigroup Global Mkt H Inc.-Tencent European Wts Apr 2014 B	2014/04/09	2014/04/16
11069	Daiwa Capital Mkt – AIA European Put Warrants Apr 2014 B	2014/04/09	2014/04/16
27253	Daiwa Capital Mkt – CCB European Warrants Apr 2014 A	2014/04/09	2014/04/16
27035	Daiwa Capital Mkt – China Life European Put Wts Apr 2014 B	2014/04/09	2014/04/16
27258	Daiwa Capital Mkt – HSBC European Warrants Apr 2014 A	2014/04/09	2014/04/16
27387	Daiwa Capital Mkt – Tencent Holdings European Wts Apr 2014 B	2014/04/09	2014/04/16
27933	HK Bank – AIA European Warrants Apr 2014 A	2014/04/09	2014/04/16
22130	HK Bank – CNBM European Warrants Apr 2014 A	2014/04/09	2014/04/16

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27609	Standard Chartered Bank – CC Bank European Wts Apr 2014 A	2014/04/09	2014/04/16
27968	Standard Chartered Bank – China Mobile Euro Wts Apr 2014 B	2014/04/09	2014/04/16
11830	Standard Chartered Bank – HSBC European Warrants Apr 2014 B	2014/04/09	2014/04/16
27973	UBS AG – AIA European Warrants Apr 2014 A	2014/04/09	2014/04/16
11442	UBS AG – HSBC European Warrants Apr 2014 C	2014/04/09	2014/04/16
27906	UBS AG – Wynn Macau European Warrants Apr 2014 B	2014/04/09	2014/04/16
22162	Bank of East Asia – CNBM European Warrants Apr 2014 A	2014/04/10	2014/04/17
29025	Credit Suisse AG – Tencent Holdings Euro Put Wts Apr 2014 B	2014/04/10	2014/04/17
29002	Daiwa Capital Mkt – A50 China European Warrants Apr 2014 B	2014/04/10	2014/04/17
28025	HK Bank – Minsheng Bank European Warrants Apr 2014 A	2014/04/10	2014/04/17
28024	HK Bank – Tencent European Put Warrants Apr 2014 C	2014/04/10	2014/04/17
28043	J P Morgan SP BV – Tencent European Put Warrants Apr 2014 E	2014/04/10	2014/04/17
28038	Macquarie Bank Ltd. –GCL-Poly Energy European Wts Apr 2014 B	2014/04/10	2014/04/17
26412	Standard Chartered Bank – AIA European Warrants Apr 2014 A	2014/04/10	2014/04/17
29463	UBS AG – A50 China European Warrants Apr 2014 A	2014/04/10	2014/04/17
28053	UBS AG – CSOP A50 ETF European Warrants Apr 2014 A	2014/04/10	2014/04/17
28865	UBS AG – Tencent Holdings European Put Warrants Apr 2014 D	2014/04/10	2014/04/17
22158	Bank of East Asia – CM Bank European Put Warrants Apr 2014 A	2014/04/11	2014/04/22
27153	BOCI Asia Ltd. – China Life European Warrants Apr 2014 A	2014/04/11	2014/04/22
27490	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2014 A	2014/04/11	2014/04/22
28703	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Apr 2014 C	2014/04/11	2014/04/22
12436	Credit Suisse AG – China Mobile European Warrants Apr 2014 F	2014/04/11	2014/04/22
28621	Credit Suisse AG – SPDR Gold Trust Euro Put Wts Apr 2014 A	2014/04/11	2014/04/22
11503	Credit Suisse AG – Tencent Holdings Euro Put Wts Apr 2014 F	2014/04/11	2014/04/22
28072	Credit Suisse AG – Xinyi Glass European Warrants Apr 2014 A	2014/04/11	2014/04/22
27034	Daiwa Capital Mkt – China Life European Warrants Apr 2014 A	2014/04/11	2014/04/22
26921	J P Morgan SP BV – China Life European Warrants Apr 2014 A	2014/04/11	2014/04/22
17720	Macquarie Bank Ltd. –Want Want China European Wts Apr 2014 A	2014/04/11	2014/04/22
28144	Standard Chartered Bank – China Mobile Eur Put Wt Apr 2014 C	2014/04/11	2014/04/22
28616	Standard Chartered Bank – China Mobile Euro Wts Apr 2014 D	2014/04/11	2014/04/22
28248	BOCI Asia Ltd. – AIA European Warrants Apr 2014 A	2014/04/14	2014/04/23
22212	CC Rabobank B.A. – China EB Ltd European Warrants Apr 2014 A	2014/04/14	2014/04/23
21009	CC Rabobank B.A. – CNBM European Put Warrants Apr 2014 B	2014/04/14	2014/04/23
21696	CC Rabobank B.A. – CNBM European Warrants Apr 2014 C	2014/04/14	2014/04/23
20689	CC Rabobank B.A. – Zoomlion European Warrants Apr 2014 A	2014/04/14	2014/04/23
12419	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Apr 14 B	2014/04/14	2014/04/23
29811	Citigroup Global Mkt H Inc. – CC Bank European Wt Apr 2014 C	2014/04/14	2014/04/23
28118	Citigroup Global Mkt H Inc. – MGM China Eu Put Wt Apr 2014 B	2014/04/14	2014/04/23
11846	Citigroup Global Mkt H Inc. – Sands China Euro Wt Apr 2014 E	2014/04/14	2014/04/23
28119	Citigroup Global Mkt H Inc. – SJM Holdings Eu Put Wts Apr14C	2014/04/14	2014/04/23
28152	Credit Suisse – Henderson Land European Warrants Apr 2014 A	2014/04/14	2014/04/23
11634	Credit Suisse AG – CC Bank European Warrants Apr 2014 B	2014/04/14	2014/04/23
24374	Credit Suisse AG – ChiUnicom European Warrants Apr 2014 A	2014/04/14	2014/04/23
11812	Credit Suisse AG – Galaxy Ent European Put Wts Apr 2014 G	2014/04/14	2014/04/23
11672	Credit Suisse AG – Minsheng Bank European Wts Apr 2014 A	2014/04/14	2014/04/23
28129	Credit Suisse AG – Sands China European Warrants Apr 2014 A	2014/04/14	2014/04/23
12355	Credit Suisse AG – Yanzhou Coal European Warrants Apr 2014 A	2014/04/14	2014/04/23
28699	Daiwa Capital Mkt – Tencent Holdings European Wts Apr 2014 D	2014/04/14	2014/04/23
28077	HK Bank – AIA European Put Warrants Apr 2014 B	2014/04/14	2014/04/23
12456	HK Bank – Tencent European Put Warrants Apr 2014 H	2014/04/14	2014/04/23
28552	HK Bank – Tencent European Warrants Apr 2014 E	2014/04/14	2014/04/23
28696	HK Bank – Tencent European Warrants Apr 2014 G	2014/04/14	2014/04/23
24029	J P Morgan SP BV – China Unicom European Warrants Apr 2014 A	2014/04/14	2014/04/23
28160	J P Morgan SP BV – GCL-Poly European Warrants Apr 2014 A	2014/04/14	2014/04/23
26234	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Apr 2014 A	2014/04/14	2014/04/23
28100	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2014 A	2014/04/14	2014/04/23
28106	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2014 A	2014/04/14	2014/04/23
28105	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Apr2014 B	2014/04/14	2014/04/23
28101	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2014 A	2014/04/14	2014/04/23
24392	Nomura Int'l plc – China Unicom European Warrants Apr 2014 A	2014/04/14	2014/04/23
23876	UBS AG – China Unicom European Warrants Apr 2014 A	2014/04/14	2014/04/23
28168	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Apr 2014 A	2014/04/15	2014/04/24
29037	Daiwa Capital Mkt – CCB European Warrants Apr 2014 B	2014/04/15	2014/04/24
29038	Daiwa Capital Mkt – ICBC European Warrants Apr 2014 A	2014/04/15	2014/04/24
11302	HK Bank – China Unicom European Warrants Apr 2014 A	2014/04/15	2014/04/24
28021	HK Bank – Evergrande European Warrants Apr 2014 A	2014/04/15	2014/04/24
28190	HK Bank – Sinopec Corp European Warrants Apr 2014 A	2014/04/15	2014/04/24
28904	J P Morgan SP BV – China Mob European Wts Apr 2014 C	2014/04/15	2014/04/24
28147	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Apr14B	2014/04/15	2014/04/24
11321	Standard Chartered Bank – PICC Group European Wts Apr 2014 A	2014/04/15	2014/04/24
28769	Citigroup Global Mkt H Inc. – CC Bank European Wt Apr 2014 A	2014/04/16	2014/04/25
28839	Citigroup Global Mkt H Inc. – CC Bank European Wt Apr 2014 B	2014/04/16	2014/04/25
11769	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Apr 2014 A	2014/04/16	2014/04/25
28212	Citigroup Global Mkt H Inc. – Sands China Eu Put Wt Apr 14 C	2014/04/16	2014/04/25
28211	Citigroup Global Mkt H Inc. – Sands China Euro Wt Apr 2014 B	2014/04/16	2014/04/25
28214	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Apr 2014 D	2014/04/16	2014/04/25
27814	Credit Suisse AG – AIA European Warrants Apr 2014 A	2014/04/16	2014/04/25
29735	Daiwa Capital Mkt – Galaxy Ent European Warrants Apr 2014 C	2014/04/16	2014/04/25
11101	HK Bank – China Mobile European Warrants Apr 2014 D	2014/04/16	2014/04/25
28222	J P Morgan SP BV – Galaxy Ent European Put Wts Apr 2014 E	2014/04/16	2014/04/25
28220	J P Morgan SP BV – Sands China European Put Wts Apr 2014 C	2014/04/16	2014/04/25
17758	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Apr 2014 A	2014/04/16	2014/04/25
28218	UBS AG – AIA European Put Warrants Apr 2014 B	2014/04/16	2014/04/25
29050	UBS AG – CC Bank European Warrants Apr 2014 B	2014/04/16	2014/04/25
11796	UBS AG – Cheung Kong European Warrants Apr 2014 B	2014/04/16	2014/04/25
11440	UBS AG – China Mobile European Warrants Apr 2014 C	2014/04/16	2014/04/25
28215	UBS AG – Galaxy Ent European Put Warrants Apr 2014 C	2014/04/16	2014/04/25

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
29048	UBS AG – HSBC European Put Warrants Apr 2014 B	2014/04/16	2014/04/25
28867	UBS AG – ICBC European Warrants Apr 2014 A	2014/04/16	2014/04/25
28216	UBS AG – Sands China European Put Warrants Apr 2014 A	2014/04/16	2014/04/25
28217	UBS AG – Sands China European Warrants Apr 2014 B	2014/04/16	2014/04/25
26878	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2014 A	2014/04/17	2014/04/28
29033	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2014 B	2014/04/17	2014/04/28
28466	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Apr 2014 B	2014/04/17	2014/04/28
28704	Citigroup Global Mkt H Inc. – Ping An Euro Wts Apr 2014 A	2014/04/17	2014/04/28
27827	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Apr 2014 B	2014/04/17	2014/04/28
12687	Citigroup Global Mkt H Inc. – Wynn Macau Euro Wts Apr 2014 C	2014/04/17	2014/04/28
28193	Credit Suisse AG – Sands China European Put Wts Apr 2014 B	2014/04/17	2014/04/28
28203	Daiwa Capital Mkt – AIA European Put Warrants Apr 2014 A	2014/04/17	2014/04/28
25522	Daiwa Capital Mkt – BOC HK European Warrants Apr 2014 A	2014/04/17	2014/04/28
11423	Daiwa Capital Mkt – China Mobile European Wts Apr 2014 C	2014/04/17	2014/04/28
27066	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2014 A	2014/04/17	2014/04/28
28172	J P Morgan SP BV – Kingsoft European Warrants Apr 2014 B	2014/04/17	2014/04/28
12453	J P Morgan SP BV – Tencent European Warrants Apr 2014 F	2014/04/17	2014/04/28
28174	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Apr 2014 B	2014/04/17	2014/04/28
28611	Nomura Int'l plc – China Mobile European Warrants Apr 2014 A	2014/04/17	2014/04/28
25288	Standard Chartered Bank – SPDR Gold Trust Euro Wt Apr 2014 A	2014/04/17	2014/04/28
26617	Standard Chartered Bank – Tencent Hldg Euro Wts Apr 2014 A	2014/04/17	2014/04/28
12502	Standard Chartered Bank – Tencent Hldg Euro Wts Apr 2014 E	2014/04/17	2014/04/28
28797	UBS AG – AAC Acoustic European Warrants Apr 2014 A	2014/04/17	2014/04/28
29741	UBS AG – Evergrande European Warrants Apr 2014 A	2014/04/17	2014/04/28
27535	UBS AG – Haitong Sec European Warrants Apr 2014 A	2014/04/17	2014/04/28
12511	UBS AG – Kingsoft European Warrants Apr 2014 B	2014/04/17	2014/04/28
11498	UBS AG – Tencent Holdings European Put Warrants Apr 2014 E	2014/04/17	2014/04/28
28813	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Apr 2014 D	2014/04/22	2014/04/29
11762	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Apr 2014 B	2014/04/22	2014/04/29
27709	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Apr 2014 A	2014/04/22	2014/04/29
29550	Citigroup Global Mkt H Inc. – HKEx Euro Put Wts Apr 2014 A	2014/04/22	2014/04/29
22258	Citigroup Global Mkt H Inc. – KECL European Wts Apr 2014 A	2014/04/22	2014/04/29
28264	Citigroup Global Mkt H Inc. – SJM Holdings Eu Put Wts Apr14E	2014/04/22	2014/04/29
28317	Citigroup Global Mkt H Inc. – SPDR Gold T Eu Put Wts Apr14 B	2014/04/22	2014/04/29
28263	Citigroup Global Mkt H Inc. – Wynn Macau Eu Put Wt Apr2014 B	2014/04/22	2014/04/29
11765	Citigroup Global Mkt H Inc.-Tencent European Wts Apr 2014 C	2014/04/22	2014/04/29
11942	Credit Suisse AG – Wynn Macau European Warrants Apr 2014 B	2014/04/22	2014/04/29
24376	Credit Suisse AG – A50 China European Warrants Apr 2014 A	2014/04/22	2014/04/29
11083	Credit Suisse AG – A50 China European Warrants Apr 2014 B	2014/04/22	2014/04/29
28290	Credit Suisse AG – AIA European Put Warrants Apr 2014 B	2014/04/22	2014/04/29
11680	Credit Suisse AG – AIA European Warrants Apr 2014 C	2014/04/22	2014/04/29
28999	Credit Suisse AG – Anhui Conch European Warrants Apr 2014 A	2014/04/22	2014/04/29
11803	Credit Suisse AG – CC Bank European Warrants Apr 2014 C	2014/04/22	2014/04/29
12661	Credit Suisse AG – CC Bank European Warrants Apr 2014 D	2014/04/22	2014/04/29
11467	Credit Suisse AG – Cheung Kong European Warrants Apr 2014 A	2014/04/22	2014/04/29
11470	Credit Suisse AG – China Mobile European Warrants Apr 2014 D	2014/04/22	2014/04/29
11683	Credit Suisse AG – China Mobile European Warrants Apr 2014 E	2014/04/22	2014/04/29
29769	Credit Suisse AG – CITIC Pacific European Wts Apr 2014 A	2014/04/22	2014/04/29
26240	Credit Suisse AG – CITIC Sec European Warrants Apr 2014 A	2014/04/22	2014/04/29
12978	Credit Suisse AG – CNBM European Warrants Apr 2014 B	2014/04/22	2014/04/29
11736	Credit Suisse AG – CNOOC European Warrants Apr 2014 A	2014/04/22	2014/04/29
11735	Credit Suisse AG – CSOP A50 ETF European Warrants Apr 2014 A	2014/04/22	2014/04/29
11741	Credit Suisse AG – Galaxy Ent European Put Wts Apr 2014 F	2014/04/22	2014/04/29
28281	Credit Suisse AG – Galaxy Ent European Warrants Apr 2014 D	2014/04/22	2014/04/29
11336	Credit Suisse AG – Galaxy Ent European Warrants Apr 2014 E	2014/04/22	2014/04/29
28284	Credit Suisse AG – Greatwall Motor European Wts Apr 2014 A	2014/04/22	2014/04/29
11631	Credit Suisse AG – HSBC European Wts Apr 2014 B	2014/04/22	2014/04/29
11337	Credit Suisse AG – Hutchison European Put Wts Apr 2014 A	2014/04/22	2014/04/29
11639	Credit Suisse AG – Hutchison European Warrants Apr 2014 B	2014/04/22	2014/04/29
11676	Credit Suisse AG – ICBC European Warrants Apr 2014 B	2014/04/22	2014/04/29
28286	Credit Suisse AG – Kingsoft European Put Warrants Apr 2014 C	2014/04/22	2014/04/29
28285	Credit Suisse AG – Kingsoft European Warrants Apr 2014 B	2014/04/22	2014/04/29
12494	Credit Suisse AG – Melco Int'l Dev European Wts Apr 2014 A	2014/04/22	2014/04/29
12488	Credit Suisse AG – Ping An European Warrants Apr 2014 A	2014/04/22	2014/04/29
28282	Credit Suisse AG – Sands China European Warrants Apr 2014 C	2014/04/22	2014/04/29
24262	Credit Suisse AG – Shenzhen Int'l European Wts Apr 2014 A	2014/04/22	2014/04/29
12577	Credit Suisse AG – Sinopec Corp European Warrants Apr 2014 B	2014/04/22	2014/04/29
28283	Credit Suisse AG – SJM Holdings European Warrants Apr 2014 A	2014/04/22	2014/04/29
28287	Credit Suisse AG – Tencent Holdings European Wts Apr 2014 A	2014/04/22	2014/04/29
11131	Credit Suisse AG – Tencent Holdings European Wts Apr 2014 D	2014/04/22	2014/04/29
11501	Credit Suisse AG – Tencent Holdings European Wts Apr 2014 E	2014/04/22	2014/04/29
11987	Credit Suisse AG – Tencent Holdings European Wts Apr 2014 G	2014/04/22	2014/04/29
11988	Credit Suisse AG – Tencent Holdings European Wts Apr 2014 H	2014/04/22	2014/04/29
28272	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Apr 2014 C	2014/04/22	2014/04/29
26466	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2014 A	2014/04/22	2014/04/29
27145	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2014 B	2014/04/22	2014/04/29
28776	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2014 C	2014/04/22	2014/04/29
11509	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2014 E	2014/04/22	2014/04/29
28761	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Apr 2014 A	2014/04/22	2014/04/29
28242	HK Bank – AIA European Put Warrants Apr 2014 C	2014/04/22	2014/04/29
27934	HK Bank – China Tel European Warrants Apr 2014 A	2014/04/22	2014/04/29
27980	HK Bank -BYD European Warrants Apr 2014 A	2014/04/22	2014/04/29
28297	J P Morgan SP BV – A50 China European Warrants Apr 2014 B	2014/04/22	2014/04/29
28246	J P Morgan SP BV – BankComm European Warrants Apr 2014 A	2014/04/22	2014/04/29
12974	J P Morgan SP BV – Galaxy Ent European Warrants Apr 2014 F	2014/04/22	2014/04/29
24347	J P Morgan SP BV – KECL European Warrants Apr 2014 A	2014/04/22	2014/04/29
24410	Nomura Int'l plc – Ch State Con European Warrants Apr 2014 A	2014/04/22	2014/04/29

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28012	Nomura Int'l plc – Geely Auto European Warrants Apr 2014 A	2014/04/22	2014/04/29
21981	Nomura Int'l plc – KECL European Warrants Apr 2014 A	2014/04/22	2014/04/29
26169	Nomura Int'l plc – Tencent Holdings Euro Warrants Apr 2014 A	2014/04/22	2014/04/29
11588	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2014 F	2014/04/22	2014/04/29
23210	SGA Societe Generale Acceptance N.V. -Link REIT Eu Wt Apr14A	2014/04/22	2014/04/29
28341	Standard Chartered Bank – Tencent Hldg Eu Put Wts Apr 2014 C	2014/04/22	2014/04/29
27970	Standard Chartered Bank -Kingsoft European Wts Apr 2014 A	2014/04/22	2014/04/29
28866	UBS AG – BOCL European Warrants Apr 2014 A	2014/04/22	2014/04/29
28309	UBS AG – BYD European Warrants Apr 2014 B	2014/04/22	2014/04/29
26754	UBS AG – China Life European Warrants Apr 2014 A	2014/04/22	2014/04/29
28354	UBS AG – China Mobile European Warrants Apr 2014 A	2014/04/22	2014/04/29
28056	UBS AG – Galaxy Ent European Warrants Apr 2014 B	2014/04/22	2014/04/29
28800	UBS AG – GCL-Poly Energy European Warrants Apr 2014 B	2014/04/22	2014/04/29
28353	UBS AG – Hutchison European Warrants Apr 2014 A	2014/04/22	2014/04/29
11434	UBS AG – ICBC European Put Warrants Apr 2014 C	2014/04/22	2014/04/29
24436	UBS AG – KECL European Warrants Apr 2014 A	2014/04/22	2014/04/29
28595	UBS AG – PICC Group European Warrants Apr 2014 A	2014/04/22	2014/04/29
28314	UBS AG – Tencent Holdings European Warrants Apr 2014 C	2014/04/22	2014/04/29
11114	UBS AG – Yurun Food European Warrants Apr 2014 A	2014/04/22	2014/04/29
27498	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2014 C	2014/04/23	2014/04/30
20930	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2014 A	2014/04/23	2014/04/30
27271	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2014 B	2014/04/23	2014/04/30
28034	BOCI Asia Ltd. – CC Bank European Warrants Apr 2014 A	2014/04/23	2014/04/30
27554	BOCI Asia Ltd. – CITIC Sec European Warrants Apr 2014 A	2014/04/23	2014/04/30
27241	BOCI Asia Ltd. – HSI European Warrants Apr 2014 A	2014/04/23	2014/04/30
28770	BOCI Asia Ltd. – HSI European Warrants Apr 2014 B	2014/04/23	2014/04/30
28795	BOCI Asia Ltd. – Sands China European Warrants Apr 2014 A	2014/04/23	2014/04/30
18775	CC Rabobank B.A. – ChiUnicom European Warrants Apr 2014 A	2014/04/23	2014/04/30
11938	Citigroup Global Mkt H Inc. – HSI European Put Wt Apr 2014 B	2014/04/23	2014/04/30
27787	Citigroup Global Mkt H Inc. – HSI European Wts Apr 2014 A	2014/04/23	2014/04/30
12417	Citigroup Global Mkt H Inc. -Tencent European Wts Apr 2014 D	2014/04/23	2014/04/30
11377	Credit Suisse AG – HSI European Put Warrants Apr 2014 C	2014/04/23	2014/04/30
11876	Credit Suisse AG – HSI European Put Warrants Apr 2014 D	2014/04/23	2014/04/30
11878	Credit Suisse AG – HSI European Put Warrants Apr 2014 E	2014/04/23	2014/04/30
27187	Credit Suisse AG – HSI European Warrants Apr 2014 A	2014/04/23	2014/04/30
27830	Credit Suisse AG – HSI European Warrants Apr 2014 B	2014/04/23	2014/04/30
12384	Credit Suisse AG – HSI European Warrants Apr 2014 F	2014/04/23	2014/04/30
12386	Credit Suisse AG – HSI European Warrants Apr 2014 G	2014/04/23	2014/04/30
19744	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2014 A	2014/04/23	2014/04/30
28258	Goldman Sachs SP (Asia) – AIA European Put Wts Apr 2014 C	2014/04/23	2014/04/30
12907	Goldman Sachs SP (Asia) – CC Bank European Wts Apr 2014 A	2014/04/23	2014/04/30
24674	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2014 A	2014/04/23	2014/04/30
29424	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2014 D	2014/04/23	2014/04/30
29432	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2014 E	2014/04/23	2014/04/30
26566	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2014 B	2014/04/23	2014/04/30
27240	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2014 C	2014/04/23	2014/04/30
12692	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2014 F	2014/04/23	2014/04/30
27975	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Apr 2014 A	2014/04/23	2014/04/30
27493	Goldman Sachs SP (Asia) – Tencent European Put Wt Apr 2014 B	2014/04/23	2014/04/30
28257	Goldman Sachs SP (Asia) – Tencent European Put Wt Apr 2014 D	2014/04/23	2014/04/30
27432	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2014 A	2014/04/23	2014/04/30
27865	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2014 C	2014/04/23	2014/04/30
21933	HK Bank – A50 China European Warrants Apr 2014 A	2014/04/23	2014/04/30
29223	HK Bank – CC Bank European Warrants Apr 2014 A	2014/04/23	2014/04/30
27112	HK Bank – China Life European Warrants Apr 2014 A	2014/04/23	2014/04/30
28451	HK Bank – China Life European Warrants Apr 2014 B	2014/04/23	2014/04/30
28332	HK Bank – Galaxy Ent European Warrants Apr 2014 A	2014/04/23	2014/04/30
28076	HK Bank – Geely Auto European Warrants Apr 2014 A	2014/04/23	2014/04/30
27356	HK Bank – Haitong Sec European Warrants Apr 2014 A	2014/04/23	2014/04/30
27870	HK Bank – HSI European Put Warrants Apr 2014 B	2014/04/23	2014/04/30
28329	HK Bank – HSI European Put Warrants Apr 2014 C	2014/04/23	2014/04/30
28330	HK Bank – HSI European Put Warrants Apr 2014 D	2014/04/23	2014/04/30
28447	HK Bank – HSI European Put Warrants Apr 2014 E	2014/04/23	2014/04/30
11292	HK Bank – HSI European Put Warrants Apr 2014 H	2014/04/23	2014/04/30
26693	HK Bank – HSI European Warrants Apr 2014 A	2014/04/23	2014/04/30
28448	HK Bank – HSI European Warrants Apr 2014 F	2014/04/23	2014/04/30
29322	HK Bank – HSI European Warrants Apr 2014 G	2014/04/23	2014/04/30
11651	HK Bank – HSI European Warrants Apr 2014 I	2014/04/23	2014/04/30
11975	HK Bank – HSI European Warrants Apr 2014 J	2014/04/23	2014/04/30
28244	HK Bank – Sands China European Warrants Apr 2014 B	2014/04/23	2014/04/30
12552	HK Bank – Tencent European Warrants Apr 2014 I	2014/04/23	2014/04/30
28189	HK Bank – Yanzhou Coal European Warrants Apr 2014 A	2014/04/23	2014/04/30
27336	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Apr 2014 B	2014/04/23	2014/04/30
27337	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Apr 2014 C	2014/04/23	2014/04/30
27334	J P Morgan SP BV – HSCEI European Put Warrants Apr 2014 A	2014/04/23	2014/04/30
27286	J P Morgan SP BV – HSI European Put Warrants Apr 2014 B	2014/04/23	2014/04/30
27287	J P Morgan SP BV – HSI European Put Warrants Apr 2014 C	2014/04/23	2014/04/30
28083	J P Morgan SP BV – HSI European Put Warrants Apr 2014 G	2014/04/23	2014/04/30
25341	J P Morgan SP BV – HSI European Warrants Apr 2014 A	2014/04/23	2014/04/30
27289	J P Morgan SP BV – HSI European Warrants Apr 2014 D	2014/04/23	2014/04/30
27290	J P Morgan SP BV – HSI European Warrants Apr 2014 E	2014/04/23	2014/04/30
27379	J P Morgan SP BV – HSI European Warrants Apr 2014 F	2014/04/23	2014/04/30
21970	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2014 A	2014/04/23	2014/04/30
25686	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Apr 2014 A	2014/04/23	2014/04/30
28109	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2014 A	2014/04/23	2014/04/30
28460	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2014 B	2014/04/23	2014/04/30
27386	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2014 A	2014/04/23	2014/04/30

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
29013	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2014 C	2014/04/23	2014/04/30
28530	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2014 A	2014/04/23	2014/04/30
28319	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Eu Put Wt Apr 14 A	2014/04/23	2014/04/30
27203	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2014 A	2014/04/23	2014/04/30
28336	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 14 B	2014/04/23	2014/04/30
28908	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 14 C	2014/04/23	2014/04/30
29243	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 14 D	2014/04/23	2014/04/30
29246	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 14 E	2014/04/23	2014/04/30
28343	Standard Chartered Bank – HSI European Put Wts Apr 2014 C	2014/04/23	2014/04/30
27769	Standard Chartered Bank – HSI European Warrants Apr 2014 A	2014/04/23	2014/04/30
28342	Standard Chartered Bank – HSI European Warrants Apr 2014 B	2014/04/23	2014/04/30
28374	UBS AG – Greatwall Motor European Warrants Apr 2014 A	2014/04/23	2014/04/30
28092	UBS AG – HSI European Put Warrants Apr 2014 B	2014/04/23	2014/04/30
29043	UBS AG – HSI European Put Warrants Apr 2014 E	2014/04/23	2014/04/30
11153	UBS AG – HSI European Put Warrants Apr 2014 F	2014/04/23	2014/04/30
11204	UBS AG – HSI European Put Warrants Apr 2014 G	2014/04/23	2014/04/30
27151	UBS AG – HSI European Warrants Apr 2014 A	2014/04/23	2014/04/30
28737	UBS AG – HSI European Warrants Apr 2014 C	2014/04/23	2014/04/30
29042	UBS AG – HSI European Warrants Apr 2014 D	2014/04/23	2014/04/30
27168	Bank of East Asia – HSBC European Warrants Apr 2014 A	2014/04/24	2014/05/02
28424	Bank of East Asia – PICC Group European Warrants Apr 2014 A	2014/04/24	2014/05/02
27157	Bank of East Asia – Ping An European Put Warrants Apr 2014 A	2014/04/24	2014/05/02
27959	BOCI Asia Ltd. – Galaxy Ent European Warrants Apr 2014 A	2014/04/24	2014/05/02
28033	BOCI Asia Ltd. – ICBC European Warrants Apr 2014 A	2014/04/24	2014/05/02
28251	BOCI Asia Ltd. -Tencent Holdings European Put Wts Apr 2014 B	2014/04/24	2014/05/02
28167	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2014 C	2014/04/24	2014/05/02
28629	Citigroup Global Mkt H Inc. – Sands China Euro Wt Apr 2014 D	2014/04/24	2014/05/02
29021	Credit Suisse AG – CC Bank European Warrants Apr 2014 A	2014/04/24	2014/05/02
11426	Credit Suisse AG – China Mobile European Put Wts Apr 2014 C	2014/04/24	2014/05/02
29023	Credit Suisse AG – ICBC European Warrants Apr 2014 A	2014/04/24	2014/05/02
24312	Credit Suisse AG – KECL European Warrants Apr 2014 A	2014/04/24	2014/05/02
29026	Credit Suisse AG – Tencent Holdings Euro Put Wts Apr 2014 C	2014/04/24	2014/05/02
12879	Credit Suisse AG – Tencent Holdings European Wts Apr 2014 I	2014/04/24	2014/05/02
26530	Goldman Sachs SP (Asia) – BOCL European Warrants Apr 2014 A	2014/04/24	2014/05/02
28259	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Apr 2014 A	2014/04/24	2014/05/02
11510	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2014 C	2014/04/24	2014/05/02
27976	Goldman Sachs SP (Asia) – Sands China Euro Wts Apr 2014 A	2014/04/24	2014/05/02
28453	HK Bank – China Mobile European Warrants Apr 2014 C	2014/04/24	2014/05/02
24120	HK Bank – CITIC Sec European Warrants Apr 2014 A	2014/04/24	2014/05/02
27643	HK Bank – Foxconn European Warrants Apr 2014 A	2014/04/24	2014/05/02
28335	HK Bank – HWL European Warrants Apr 2014 A	2014/04/24	2014/05/02
28243	HK Bank – PetroChina European Warrants Apr 2014 A	2014/04/24	2014/05/02
27435	HK Bank – PICC Group European Warrants Apr 2014 A	2014/04/24	2014/05/02
28452	HK Bank – Ping An European Warrants Apr 2014 A	2014/04/24	2014/05/02
27560	HK Bank – Tencent European Put Warrants Apr 2014 B	2014/04/24	2014/05/02
27359	HK Bank – Tencent European Warrants Apr 2014 A	2014/04/24	2014/05/02
28333	HK Bank – Tencent European Warrants Apr 2014 D	2014/04/24	2014/05/02
28179	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2014 A	2014/04/24	2014/05/02
26043	Nomura Int'l plc – BOCL European Warrants Apr 2014 A	2014/04/24	2014/05/02
27662	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Apr 2014 B	2014/04/24	2014/05/02
22181	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Apr 14A	2014/04/24	2014/05/02
22178	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2014A	2014/04/24	2014/05/02
26332	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Apr14A	2014/04/24	2014/05/02
27246	Standard Chartered Bank – Xinyi Glass Euro Wts Apr 2014 A	2014/04/24	2014/05/02
26951	Standard Chartered Bank – AIA European Warrants Apr 2014 B	2014/04/24	2014/05/02
27694	Standard Chartered Bank – Cheung Kong Euro Wts Apr 2014 A	2014/04/24	2014/05/02
27738	Standard Chartered Bank – China Mobile Euro Wts Apr 2014 A	2014/04/24	2014/05/02
11714	Standard Chartered Bank – China Mobile Euro Wts Apr 2014 E	2014/04/24	2014/05/02
28079	Standard Chartered Bank – CITIC Pacific Euro Wts Apr 2014 A	2014/04/24	2014/05/02
27014	Standard Chartered Bank – Sinopec Corp Euro Wts Apr 2014 A	2014/04/24	2014/05/02
27300	Standard Chartered Bank – Sinopec Corp Euro Wts Apr 2014 B	2014/04/24	2014/05/02
28419	UBS AG – CC Bank European Warrants Apr 2014 A	2014/04/24	2014/05/02
29436	UBS AG – ICBC European Warrants Apr 2014 B	2014/04/24	2014/05/02
11776	BOCI Asia Ltd. – Galaxy Ent European Put Warrants May 2014 A	2014/04/25	2014/05/05
28036	BOCI Asia Ltd. – Hutchison European Warrants May 2014 A	2014/04/25	2014/05/05
29034	Citigroup Global Mkt H Inc. – ICBC European Wts May 2014 A	2014/04/25	2014/05/05
29215	Credit Suisse AG – Hutchison European Warrants May 2014 A	2014/04/25	2014/05/05
27743	Credit Suisse AG – SJM Holdings European Warrants May 2014 A	2014/04/25	2014/05/05
19179	Daiwa Capital Mkt – A50 China European Warrants May 2014 A	2014/04/25	2014/05/05
28620	Daiwa Capital Mkt – A50 China European Warrants May 2014 B	2014/04/25	2014/05/05
11040	Daiwa Capital Mkt – BOC HK European Put Warrants May 2014 A	2014/04/25	2014/05/05
12647	Daiwa Capital Mkt – CCB European Warrants May 2014 A	2014/04/25	2014/05/05
11026	Daiwa Capital Mkt – China Mobile European Wts May 2014 A	2014/04/25	2014/05/05
29957	Daiwa Capital Mkt – CSOP A50 ETF European Wts May 2014 A	2014/04/25	2014/05/05
11028	Daiwa Capital Mkt – ICBC European Put Warrants May 2014 B	2014/04/25	2014/05/05
29738	Daiwa Capital Mkt – PetroChina European Warrants May 2014 A	2014/04/25	2014/05/05
27486	Daiwa Capital Mkt – Sinopec Corp European Put Wts May 2014 A	2014/04/25	2014/05/05
27780	Goldman Sachs SP (Asia) – Agri Bk European Wts May 2014 A	2014/04/25	2014/05/05
27867	Goldman Sachs SP (Asia) – AIA European Put Wts May 2014 A	2014/04/25	2014/05/05
11854	Goldman Sachs SP (Asia) – China Coal European Wts May 2014 A	2014/04/25	2014/05/05
11146	HK Bank – Agricultural Bank European Warrants May 2014 A	2014/04/25	2014/05/05
11720	HK Bank – ICBC European Warrants May 2014 A	2014/04/25	2014/05/05
28124	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2014 A	2014/04/25	2014/05/05
28472	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2014 B	2014/04/25	2014/05/05
28247	J P Morgan SP BV – Agricultural Bk Euro Warrants May 2014 A	2014/04/25	2014/05/05
28230	J P Morgan SP BV – AIA European Put Warrants May 2014 C	2014/04/25	2014/05/05
27710	J P Morgan SP BV – AIA European Warrants May 2014 A	2014/04/25	2014/05/05

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
27711	J P Morgan SP BV – AIA European Warrants May 2014 B	2014/04/25	2014/05/05
28438	J P Morgan SP BV – BYD European Warrants May 2014 A	2014/04/25	2014/05/05
27691	J P Morgan SP BV – Cheung Kong European Warrants May 2014 A	2014/04/25	2014/05/05
28123	J P Morgan SP BV – China Mob European Wts May 2014 A	2014/04/25	2014/05/05
28226	J P Morgan SP BV – China Mob European Wts May 2014 B	2014/04/25	2014/05/05
28389	J P Morgan SP BV – China Mob European Wts May 2014 C	2014/04/25	2014/05/05
24266	J P Morgan SP BV – CITIC Sec European Warrants May 2014 A	2014/04/25	2014/05/05
27735	J P Morgan SP BV – Galaxy Ent European Warrants May 2014 A	2014/04/25	2014/05/05
28173	J P Morgan SP BV – Greatwall Motor European Wts May 2014 A	2014/04/25	2014/05/05
28158	J P Morgan SP BV – HSBC European Put Warrants May 2014 A	2014/04/25	2014/05/05
27974	J P Morgan SP BV – Hutchison European Warrants May 2014 A	2014/04/25	2014/05/05
28121	J P Morgan SP BV – ICBC European Warrants May 2014 A	2014/04/25	2014/05/05
28131	J P Morgan SP BV – Sands China European Warrants May 2014 A	2014/04/25	2014/05/05
26733	J P Morgan SP BV – Shimao Property European Wts May 2014 A	2014/04/25	2014/05/05
28296	J P Morgan SP BV – SJM Holdings European Warrants May 2014 A	2014/04/25	2014/05/05
28041	J P Morgan SP BV – Tencent European Warrants May 2014 A	2014/04/25	2014/05/05
11480	J P Morgan SP BV – Tencent European Warrants May 2014 C	2014/04/25	2014/05/05
27169	J P Morgan SP BV – Wharf European Warrants May 2014 A	2014/04/25	2014/05/05
28437	J P Morgan SP BV – Wynn Macau European Warrants May 2014 A	2014/04/25	2014/05/05
22477	J P Morgan SP BV – Zoomlion European Warrants May 2014 A	2014/04/25	2014/05/05
18345	Macquarie Bank Ltd. – Agile Ppt European Warrants May 2014 A	2014/04/25	2014/05/05
18343	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts May 2014 A	2014/04/25	2014/05/05
27759	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts May 2014 A	2014/04/25	2014/05/05
25003	Standard Chartered Bank – Galaxy Ent Euro Put Wts May 2014 B	2014/04/25	2014/05/05
28441	Standard Chartered Bank – Galaxy Ent European Wts May 2014 D	2014/04/25	2014/05/05
11369	Standard Chartered Bank – Sands China Euro Wts May 2014 C	2014/04/25	2014/05/05
23389	Standard Chartered Bank – SJM Hold European Wts May 2014 A	2014/04/25	2014/05/05
28339	Standard Chartered Bank – Tencent Hldg Euro Wts May 2014 A	2014/04/25	2014/05/05
28596	UBS AG – Agricultural Bank European Warrants May 2014 A	2014/04/25	2014/05/05
28868	UBS AG – ICBC European Warrants May 2014 A	2014/04/25	2014/05/05
28385	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts May 2014 A	2014/04/28	2014/05/07
27822	BNP Paribas Arbit Issu B.V. – Anton Oilfield Euro Wts May14A	2014/04/28	2014/05/07
27819	BNP Paribas Arbit Issu B.V. – BJ Ent Water Euro Wts May14A	2014/04/28	2014/05/07
28743	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2014 A	2014/04/28	2014/05/07
27989	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt May 2014 A	2014/04/28	2014/05/07
26211	BNP Paribas Arbit Issu B.V. – China Life Euro Wts May 2014 A	2014/04/28	2014/05/07
27984	BNP Paribas Arbit Issu B.V. – China Life Euro Wts May 2014 B	2014/04/28	2014/05/07
28384	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts May 2014 A	2014/04/28	2014/05/07
13495	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts May 2014 A	2014/04/28	2014/05/07
22625	BNP Paribas Arbit Issu B.V. – Haitong Sec Eu Wts May 2014 A	2014/04/28	2014/05/07
28919	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2014 A	2014/04/28	2014/05/07
28307	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts May 2014 A	2014/04/28	2014/05/07
20775	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts May 2014 A	2014/04/28	2014/05/07
28301	BNP Paribas Arbit Issu B.V. – Melco Int'l Dev Eu Wt May 14 A	2014/04/28	2014/05/07
13494	BNP Paribas Arbit Issu B.V. – Ping An European Wt May 2014 A	2014/04/28	2014/05/07
26309	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt May 2014 A	2014/04/28	2014/05/07
28208	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt May 2014 B	2014/04/28	2014/05/07
26212	BNP Paribas Arbit Issu B.V. – Shui On Land Eu Wt May 2014 A	2014/04/28	2014/05/07
28305	BNP Paribas Arbit Issu B.V. – SJM Holdings Eu Wts May 2014 A	2014/04/28	2014/05/07
28210	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts May 2014 A	2014/04/28	2014/05/07
27821	BNP Paribas Arbit Issu B.V. – Xinyi Glass Euro Wts May14 A	2014/04/28	2014/05/07
27914	BNP Paribas Arbit Issu B.V. – ZTE European Wts May 2014 A	2014/04/28	2014/05/07
28274	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt May 2014 A	2014/04/28	2014/05/07
29151	BOCI Asia Ltd. – ICBC European Put Warrants May 2014 A	2014/04/28	2014/05/07
12421	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts May 2014 A	2014/04/28	2014/05/07
29528	Credit Suisse – SPDR Gold Trust European Warrants May 2014 A	2014/04/28	2014/05/07
29200	Credit Suisse AG – China Mobile European Warrants May 2014 A	2014/04/28	2014/05/07
29283	Credit Suisse AG – PetroChina European Warrants May 2014 A	2014/04/28	2014/05/07
28619	Daiwa Capital Mkt – Galaxy Ent European Warrants May 2014 A	2014/04/28	2014/05/07
28345	Goldman Sachs SP (Asia) – AAC Acoustic Euro Wts May 2014 A	2014/04/28	2014/05/07
28841	Goldman Sachs SP (Asia) – Ping An European Wts May 2014 A	2014/04/28	2014/05/07
11508	Goldman Sachs SP (Asia) – Sands China Euro Wts May 2014 A	2014/04/28	2014/05/07
28492	HK Bank – Geely Auto European Warrants May 2014 A	2014/04/28	2014/05/07
28225	J P Morgan SP BV – CSOP A50 ETF European Warrants May 2014 A	2014/04/28	2014/05/07
28534	J P Morgan SP BV – Evergrande European Warrants May 2014 A	2014/04/28	2014/05/07
28219	J P Morgan SP BV – Sands China European Warrants May 2014 B	2014/04/28	2014/05/07
28234	J P Morgan SP BV – Tencent European Put Warrants May 2014 B	2014/04/28	2014/05/07
12508	Macquarie Bank Ltd. – A50 China European Warrants May 2014 A	2014/04/28	2014/05/07
12806	Macquarie Bank Ltd. – AIA European Warrants May 2014 A	2014/04/28	2014/05/07
27938	Macquarie Bank Ltd. – Anton Oilfield European Wts May 2014 A	2014/04/28	2014/05/07
28093	Macquarie Bank Ltd. – BYD European Warrants May 2014 A	2014/04/28	2014/05/07
27382	Macquarie Bank Ltd. – Ch Merchants European Wts May 2014 A	2014/04/28	2014/05/07
27218	Macquarie Bank Ltd. – Ch Oilfield European Wts May 2014 A	2014/04/28	2014/05/07
26865	Macquarie Bank Ltd. – Ch Ship Cont European Wts May 2014 A	2014/04/28	2014/05/07
18766	Macquarie Bank Ltd. – Ch State Con European Wts May 2014 A	2014/04/28	2014/05/07
28004	Macquarie Bank Ltd. – China Mobile European Wts May 2014 A	2014/04/28	2014/05/07
12893	Macquarie Bank Ltd. – China Mobile European Wts May 2014 B	2014/04/28	2014/05/07
28074	Macquarie Bank Ltd. – China Railway European Wts May 2014 A	2014/04/28	2014/05/07
27380	Macquarie Bank Ltd. – China Tel European Warrants May 2014 A	2014/04/28	2014/05/07
25988	Macquarie Bank Ltd. – China Unicom European Wts May 2014 A	2014/04/28	2014/05/07
23964	Macquarie Bank Ltd. – CLP European Warrants May 2014 A	2014/04/28	2014/05/07
21091	Macquarie Bank Ltd. – CNBM European Warrants May 2014 A	2014/04/28	2014/05/07
27381	Macquarie Bank Ltd. – Evergrande European Wts May 2014 A	2014/04/28	2014/05/07
28293	Macquarie Bank Ltd. – Galaxy Ent European Put Wts May 2014 B	2014/04/28	2014/05/07
27884	Macquarie Bank Ltd. – Galaxy Ent European Wts May 2014 A	2014/04/28	2014/05/07
28115	Macquarie Bank Ltd. – Haier Elec European Wts May 2014 A	2014/04/28	2014/05/07
27963	Macquarie Bank Ltd. – Henganintl European Wts May 2014 A	2014/04/28	2014/05/07

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28003	Macquarie Bank Ltd. – Hutchison European Warrants May 2014 A	2014/04/28	2014/05/07
27134	Macquarie Bank Ltd. – PetroChina European Wts May 2014 A	2014/04/28	2014/05/07
28473	Macquarie Bank Ltd. – Ping An European Warrants May 2014 A	2014/04/28	2014/05/07
27886	Macquarie Bank Ltd. – Sands China European Wts May 2014 A	2014/04/28	2014/05/07
28291	Macquarie Bank Ltd. – Sands China European Wts May 2014 B	2014/04/28	2014/05/07
28292	Macquarie Bank Ltd. – Sands China European Put Wts May 2014 C	2014/04/28	2014/05/07
26940	Macquarie Bank Ltd. – Wharf European Warrants May 2014 A	2014/04/28	2014/05/07
28645	Macquarie Bank Ltd. – Xinyi Glass European Wts May 2014 A	2014/04/28	2014/05/07
28446	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts May 2014 A	2014/04/28	2014/05/07
27060	Macquarie Bank Ltd. – Zijin Mining European Wts May 2014 A	2014/04/28	2014/05/07
26985	Macquarie Bank Ltd. – Sino Ocean Land European Wts May 2014 A	2014/04/28	2014/05/07
26163	Nomura Int'l plc – Chi Resources European Warrants May 2014 A	2014/04/28	2014/05/07
25761	Nomura Int'l plc – CITIC Sec European Warrants May 2014 A	2014/04/28	2014/05/07
27325	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt May 14 A	2014/04/28	2014/05/07
21680	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt May 2014 A	2014/04/28	2014/05/07
27613	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2014 A	2014/04/28	2014/05/07
27617	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt May 14A	2014/04/28	2014/05/07
27364	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt May 2014 A	2014/04/28	2014/05/07
27071	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2014 A	2014/04/28	2014/05/07
26411	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 14 A	2014/04/28	2014/05/07
27418	SGA Societe Generale Acceptance N.V. – Haitong Sec Eu Wt May 14B	2014/04/28	2014/05/07
27365	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt May 14A	2014/04/28	2014/05/07
13076	Standard Chartered Bank – CC Bank European Wts May 2014 B	2014/04/28	2014/05/07
26944	Standard Chartered Bank – HKEx European Put Wts May 2014 A	2014/04/28	2014/05/07
25010	Standard Chartered Bank – MGM China European Wts May 2014 A	2014/04/28	2014/05/07
28236	Standard Chartered Bank – Sands China Eu Put Wts May 2014 B	2014/04/28	2014/05/07
28082	Standard Chartered Bank – China Life European Wts May 2014 A	2014/04/28	2014/05/07
19418	UBS AG – A50 China European Warrants May 2014 A	2014/04/28	2014/05/07
11487	UBS AG – Galaxy Ent European Put Warrants May 2014 B	2014/04/28	2014/05/07
29740	UBS AG – Sands China European Warrants May 2014 A	2014/04/28	2014/05/07
11495	UBS AG – ZTE European Warrants May 2014 B	2014/04/28	2014/05/07
11774	BOCI Asia Ltd. – AIA European Warrants May 2014 A	2014/04/29	2014/05/08
28035	BOCI Asia Ltd. – Cheung Kong European Warrants May 2014 A	2014/04/29	2014/05/08
11407	BOCI Asia Ltd. – Hutchison European Put Warrants May 2014 B	2014/04/29	2014/05/08
29342	BOCI Asia Ltd. – Sinopec Corp European Warrants May 2014 A	2014/04/29	2014/05/08
20940	CC Rabobank B.A. – Gome European Warrants May 2014 A	2014/05/07	2014/05/08
24033	CC Rabobank B.A. – PICC P&C European Warrants Aug 2014 A	2014/05/07	2014/05/08
24032	CC Rabobank B.A. – Sino Land European Warrants Jul 2014 A	2014/05/07	2014/05/08
28602	Citigroup Global Mkt H Inc. – CGS European Warrants May 14 A	2014/04/29	2014/05/08
28603	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Put Wts May 14A	2014/04/29	2014/05/08
12880	Citigroup Global Mkt H Inc. – Hutchison Euro Wts May 2014 B	2014/04/29	2014/05/08
28625	Credit Suisse – Foxconn European Warrants May 2014 A	2014/04/29	2014/05/08
11632	Credit Suisse AG – China Mobile European Warrants May 2014 B	2014/04/29	2014/05/08
29284	Credit Suisse AG – ICBC European Put Warrants May 2014 A	2014/04/29	2014/05/08
21784	Goldman Sachs SP (Asia) – Sino Land European Wts May 2014 A	2014/04/29	2014/05/08
28574	HK Bank – Galaxy Ent European Warrants May 2014 A	2014/04/29	2014/05/08
13417	J P Morgan SP BV – Tencent European Warrants May 2014 D	2014/04/29	2014/05/08
13530	Nomura Int'l plc – Tencent Holdings Euro Warrants May 2014 A	2014/04/29	2014/05/08
27716	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2014 A	2014/04/29	2014/05/08
28455	SGA Societe Generale Acceptance N.V. – AIA Eur Wt May 2014 A	2014/04/29	2014/05/08
12677	SGA Societe Generale Acceptance N.V. – AIA Eur Wt May 2014 B	2014/04/29	2014/05/08
28401	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt May 2014 B	2014/04/29	2014/05/08
27824	SGA Societe Generale Acceptance N.V. – BOCOM Eu Wt May 2014 A	2014/04/29	2014/05/08
27717	SGA Societe Generale Acceptance N.V. – BYD Eu Wt May 2014 A	2014/04/29	2014/05/08
28421	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2014 A	2014/04/29	2014/05/08
28579	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2014 B	2014/04/29	2014/05/08
28972	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2014 C	2014/04/29	2014/05/08
28883	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 14 B	2014/04/29	2014/05/08
28884	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 14 C	2014/04/29	2014/05/08
11142	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 14 D	2014/04/29	2014/05/08
27880	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2014 A	2014/04/29	2014/05/08
27964	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt May 14A	2014/04/29	2014/05/08
28469	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt May 2014 B	2014/04/29	2014/05/08
28422	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt May 2014A	2014/04/29	2014/05/08
27852	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt May 2014 A	2014/04/29	2014/05/08
28406	SGA Societe Generale Acceptance N.V. – CTel Eu Wt May 2014 A	2014/04/29	2014/05/08
28191	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wt May 14 A	2014/04/29	2014/05/08
27793	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt May 14A	2014/04/29	2014/05/08
11606	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt May 14A	2014/04/29	2014/05/08
11546	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2014 A	2014/04/29	2014/05/08
27877	SGA Societe Generale Acceptance N.V. – HWL Eur Put Wt May 14B	2014/04/29	2014/05/08
11158	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2014 C	2014/04/29	2014/05/08
27882	SGA Societe Generale Acceptance N.V. – ICBC Eu Put Wt May 14A	2014/04/29	2014/05/08
28968	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2014 B	2014/04/29	2014/05/08
12568	SGA Societe Generale Acceptance N.V. – Kingssoft Eu Wt May 14B	2014/04/29	2014/05/08
29113	SGA Societe Generale Acceptance N.V. – Petch Eu Wt May 2014A	2014/04/29	2014/05/08
28408	SGA Societe Generale Acceptance N.V. – PICC Eu Wts May 2014A	2014/04/29	2014/05/08
28357	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2014B	2014/04/29	2014/05/08
27670	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2014 A	2014/04/29	2014/05/08
27799	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt May 14B	2014/04/29	2014/05/08
27719	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt May 2014 A	2014/04/29	2014/05/08
27836	SGA Societe Generale Acceptance N.V. – CCoal Eu Wt May 2014 A	2014/04/29	2014/05/08
27722	SGA Societe Generale Acceptance N.V. – CITIC Pac Eu Wt May 14A	2014/04/29	2014/05/08
27749	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2014 B	2014/04/29	2014/05/08
28192	SGA Societe Generale Acceptance N.V. – Greatwall Eu Wt May 14A	2014/04/29	2014/05/08
27669	SGA Societe Generale Acceptance N.V. – Sino Land Eu Wt May 14A	2014/04/29	2014/05/08
27532	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 14 B	2014/04/29	2014/05/08

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28298	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt May 14 C	2014/04/29	2014/05/08
29494	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt May 14 D	2014/04/29	2014/05/08
11220	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt May 14 E	2014/04/29	2014/05/08
27950	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wt May14 A	2014/04/29	2014/05/08
27894	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt May14A	2014/04/29	2014/05/08
28046	SGA Societe Generale Acceptance N.V.-Galaxy Eu Put Wt May14B	2014/04/29	2014/05/08
12685	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt May14A	2014/04/29	2014/05/08
27721	SGA Societe Generale Acceptance N.V.-Yurun Food Eu Wt May14A	2014/04/29	2014/05/08
27835	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt May14A	2014/04/29	2014/05/08
28402	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt May 14A	2014/04/29	2014/05/08
28231	SGA Societe Generale Acceptance N.V-Sands China Eu Wt May14A	2014/04/29	2014/05/08
28634	SGA Societe Generale Acceptance N.V-Sands China Eu Wt May14C	2014/04/29	2014/05/08
28869	SGA Societe Generale Acceptance N.V-Sands China Eu Wt May14D	2014/04/29	2014/05/08
28600	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt May14 A	2014/04/29	2014/05/08
11624	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt May14 B	2014/04/29	2014/05/08
28232	SGA Societe Generale Acceptance NV-Sands Ch Eu Put Wt May14B	2014/04/29	2014/05/08
11699	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt May14A	2014/04/29	2014/05/08
27671	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt May14A	2014/04/29	2014/05/08
18324	Standard Chartered Bank – A50 China Euro Warrants May 2014 A	2014/04/29	2014/05/08
21694	Standard Chartered Bank – KECL European Warrants May 2014 A	2014/04/29	2014/05/08
28368	Standard Chartered Bank – Wynn Macau European Wts May 2014 A	2014/04/29	2014/05/08
29458	UBS AG – China Mobile European Warrants May 2014 A	2014/04/29	2014/05/08
11203	UBS AG – Hutchison European Put Warrants May 2014 A	2014/04/29	2014/05/08
28198	Daiwa Capital Mkt – Sands China European Warrants May 2014 A	2014/04/30	2014/05/09
28271	Daiwa Capital Mkt – Tencent Holdings European Wts May 2014 A	2014/04/30	2014/05/09
12560	Daiwa Capital Mkt – Tencent Holdings European Wts May 2014 C	2014/04/30	2014/05/09
28564	Macquarie Bank Ltd. – Nine Dragons European Wts May 2014 A	2014/04/30	2014/05/09
27213	Standard Chartered Bank – Foxconn European Wts May 2014 A	2014/04/30	2014/05/09
28502	Standard Chartered Bank – Foxconn European Wts May 2014 B	2014/04/30	2014/05/09
12212	Standard Chartered Bank – PICC Group European Wts May 2014 A	2014/04/30	2014/05/09
28347	Standard Chartered Bank -Kingsoft European Wts May 2014 A	2014/04/30	2014/05/09
27534	UBS AG – CITIC Sec European Warrants May 2014 A	2014/04/30	2014/05/09
28125	UBS AG – Wynn Macau European Warrants May 2014 A	2014/04/30	2014/05/09
24839	Standard Chartered Bank – BOCL European Warrants May 2014 A	2014/05/02	2014/05/12
26663	Standard Chartered Bank – CSOP A50 ETF Euro Wts May 2014 A	2014/05/02	2014/05/12
27566	Standard Chartered Bank – Galaxy Ent European Wts May 2014 C	2014/05/02	2014/05/12
13587	Standard Chartered Bank – Sands China Euro Wts May 2014 E	2014/05/02	2014/05/12
28310	UBS AG – China Life European Warrants May 2014 A	2014/05/02	2014/05/12
27505	BOCI Asia Ltd. – Agricultural Bk European Wts May 2014 A	2014/05/05	2014/05/13
11406	BOCI Asia Ltd. – China Mobile European Warrants May 2014 A	2014/05/05	2014/05/13
11836	BOCI Asia Ltd. -Tencent Holdings European Put Wts May 2014 A	2014/05/05	2014/05/13
28153	Credit Suisse AG – Angang Steel European Warrants May 2014 A	2014/05/05	2014/05/13
28673	Daiwa Capital Mkt – ICBC European Warrants May 2014 A	2014/05/05	2014/05/13
11257	Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt May 2014 A	2014/05/05	2014/05/13
11625	SGA Societe Generale Acceptance N.V. – CSR Euro Wts May 14 A	2014/05/05	2014/05/13
28672	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt May14A	2014/05/05	2014/05/13
11435	UBS AG – China Tel European Warrants May 2014 A	2014/05/05	2014/05/13
25973	UBS AG – Esprit European Warrants May 2014 A	2014/05/05	2014/05/13
28315	UBS AG – Galaxy Ent European Warrants May 2014 A	2014/05/05	2014/05/13
29621	BOCI Asia Ltd. – PetroChina European Warrants May 2014 A	2014/05/07	2014/05/14
28757	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wt May 2014 A	2014/05/07	2014/05/14
27345	Credit Suisse AG – Esprit European Warrants May 2014 A	2014/05/07	2014/05/14
28690	HK Bank – CSOP A50 ETF European Warrants May 2014 A	2014/05/07	2014/05/14
28727	J P Morgan SP BV – Beijing Ent European Warrants May 2014 A	2014/05/07	2014/05/14
28683	Macquarie Bank Ltd. – CGS European Warrants May 2014 A	2014/05/07	2014/05/14
28739	UBS AG – Chi Res Power European Warrants May 2014 A	2014/05/07	2014/05/14
28741	UBS AG – Zhuzhou CSR European Warrants May 2014 A	2014/05/07	2014/05/14
28463	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2014 B	2014/05/08	2014/05/15
28771	UBS AG – BYD European Warrants May 2014 A	2014/05/08	2014/05/15
28772	UBS AG – Geely Auto European Warrants May 2014 A	2014/05/08	2014/05/15
28117	Citigroup Global Mkt H Inc. – Wynn Macau Euro Wts May 2014 A	2014/05/09	2014/05/16
28767	HK Bank – Lenovo European Warrants May 2014 B	2014/05/09	2014/05/16
26765	Bank of East Asia – Kingsoft European Warrants May 2014 A	2014/05/12	2014/05/19
26766	Bank of East Asia – BYD European Warrants May 2014 A	2014/05/12	2014/05/19
10225	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt May 2014 A	2014/05/12	2014/05/19
26990	BOCI Asia Ltd. – Haitong Sec European Warrants May 2014 A	2014/05/13	2014/05/20
27990	BOCI Asia Ltd. – Kingsoft European Warrants May 2014 A	2014/05/13	2014/05/20
28657	BOCI Asia Ltd. – Wynn Macau European Warrants May 2014 A	2014/05/13	2014/05/20
28890	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt May 2014 A	2014/05/13	2014/05/20
26418	Citigroup Global Mkt H Inc.-Tencent European Wts May 2014 A	2014/05/13	2014/05/20
28753	Credit Suisse AG – China Mengniu European Wts May 2014 A	2014/05/13	2014/05/20
11745	Credit Suisse AG – CNBM European Warrants May 2014 A	2014/05/13	2014/05/20
12354	Credit Suisse AG – PetroChina European Warrants May 2014 B	2014/05/13	2014/05/20
28782	Credit Suisse AG – SUNAC European Warrants May 2014 A	2014/05/13	2014/05/20
24387	Nomura Int'l plc – Gome European Warrants May 2014 A	2014/05/13	2014/05/20
24863	SGA Societe Generale Acceptance N.V-Haitong Sec Eu Wt May14A	2014/05/13	2014/05/20
26128	UBS AG – Esprit European Warrants May 2014 B	2014/05/13	2014/05/20
29753	UBS AG – SHK Ppt European Warrants May 2014 A	2014/05/13	2014/05/20
28799	UBS AG – SPDR Gold Trust European Warrants May 2014 A	2014/05/13	2014/05/20
26404	HK Bank – Haitong Sec European Warrants May 2014 A	2014/05/14	2014/05/21
12269	Nomura Int'l plc – Esprit European Warrants May 2014 A	2014/05/14	2014/05/21
28464	Citigroup Global Mkt H Inc. – AIA European Wts May 2014 A	2014/05/15	2014/05/22
28937	Citigroup Global Mkt H Inc. – CC Bank European Wt May 2014 B	2014/05/15	2014/05/22
11767	Citigroup Global Mkt H Inc. – Ping An Euro Wts May 2014 E	2014/05/15	2014/05/22
12888	Nomura Int'l plc – PetroChina European Warrants May 2014 A	2014/05/15	2014/05/22
28572	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts May 2014 A	2014/05/16	2014/05/23
27074	Daiwa Capital Mkt – CAM CSI300 European Warrants May 2014 A	2014/05/16	2014/05/23

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
22534	Daiwa Capital Mkt – HKEx European Warrants May 2014 A	2014/05/16	2014/05/23
23125	Goldman Sachs SP (Asia) – HKEx European Warrants May 2014 A	2014/05/16	2014/05/23
23682	Bank of East Asia – PICC European Warrants May 2014 A	2014/05/19	2014/05/26
28791	BOCI Asia Ltd. – Kingsoft European Warrants May 2014 B	2014/05/19	2014/05/26
11031	Citigroup Global Mkt H Inc. – Ping An Euro Wts May 2014 C	2014/05/19	2014/05/26
12936	Citigroup Global Mkt H Inc. – Sands China Euro Wt May 2014 A	2014/05/19	2014/05/26
11432	Credit Suisse AG – BOCL European Warrants May 2014 A	2014/05/19	2014/05/26
28842	Goldman Sachs SP (Asia) – BOCL European Warrants May 2014 A	2014/05/19	2014/05/26
28815	HK Bank – AIA European Warrants May 2014 A	2014/05/19	2014/05/26
28528	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2014 A	2014/05/19	2014/05/26
28001	Standard Chartered Bank – BOCL European Warrants May 2014 B	2014/05/19	2014/05/26
18456	Standard Chartered Bank – China Mobile Euro Wts May 2014 A	2014/05/19	2014/05/26
18767	Standard Chartered Bank – Lenovo European Wts May 2014 A	2014/05/19	2014/05/26
11317	Standard Chartered Bank – Ping An European Wts May 2014 A	2014/05/19	2014/05/26
11318	Standard Chartered Bank – Ping An European Wts May 2014 B	2014/05/19	2014/05/26
11553	UBS AG – BOCL European Warrants May 2014 A	2014/05/19	2014/05/26
26337	UBS AG – ZTE European Warrants May 2014 A	2014/05/19	2014/05/26
27004	Bank of East Asia – Ch Ship Cont European Wts May 2014 A	2014/05/20	2014/05/27
28434	Bank of East Asia – CNBM European Warrants May 2014 A	2014/05/20	2014/05/27
28724	BOCI Asia Ltd. – Anhui Conch European Warrants May 2014 A	2014/05/20	2014/05/27
28659	BOCI Asia Ltd. – SHK Ppt European Warrants May 2014 A	2014/05/20	2014/05/27
27992	BOCI Asia Ltd. – SJM Holdings European Warrants May 2014 A	2014/05/20	2014/05/27
11355	Citigroup Global Mkt H Inc. – A50 China Euro Wts May 2014 A	2014/05/20	2014/05/27
29288	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2014 A	2014/05/20	2014/05/27
29289	Citigroup Global Mkt H Inc. – HKEx European Wts May 2014 A	2014/05/20	2014/05/27
12424	Citigroup Global Mkt H Inc. – Hutchison Eu Put Wt May 2014 A	2014/05/20	2014/05/27
11766	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt May 2014 D	2014/05/20	2014/05/27
29096	Citigroup Global Mkt H Inc. – Ping An Euro Wts May 2014 B	2014/05/20	2014/05/27
11732	Credit Suisse AG – A50 China European Warrants May 2014 A	2014/05/20	2014/05/27
12495	Credit Suisse AG – A50 China European Warrants May 2014 B	2014/05/20	2014/05/27
28548	Credit Suisse AG – AIA European Warrants May 2014 A	2014/05/20	2014/05/27
11805	Credit Suisse AG – CC Bank European Warrants May 2014 C	2014/05/20	2014/05/27
29524	Credit Suisse AG – China Life European Warrants May 2014 A	2014/05/20	2014/05/27
24858	Credit Suisse AG – CM Bank European Warrants May 2014 A	2014/05/20	2014/05/27
29522	Credit Suisse AG – HKEx European Warrants May 2014 B	2014/05/20	2014/05/27
12486	Credit Suisse AG – Lenovo European Warrants May 2014 A	2014/05/20	2014/05/27
28985	Credit Suisse AG – Link REIT European Warrants May 2014 A	2014/05/20	2014/05/27
13289	Credit Suisse AG – Sands China European Warrants May 2014 A	2014/05/20	2014/05/27
11468	Credit Suisse AG – SHK Ppt European Warrants May 2014 A	2014/05/20	2014/05/27
29007	Credit Suisse AG – Sinopec Corp European Warrants May 2014 A	2014/05/20	2014/05/27
29082	Goldman Sachs SP (Asia) – AIA European Warrants May 2014 B	2014/05/20	2014/05/27
12326	HK Bank – CAM CSI300 European Warrants May 2014 A	2014/05/20	2014/05/27
28139	HK Bank – China Railway European Warrants May 2014 A	2014/05/20	2014/05/27
19198	HK Bank – Lenovo European Warrants May 2014 A	2014/05/20	2014/05/27
11287	HK Bank – Tencent European Put Warrants May 2014 A	2014/05/20	2014/05/27
29195	J P Morgan SP BV – Chi Res Power European Wts May 2014 A	2014/05/20	2014/05/27
25419	Macquarie Bank Ltd. – Haitong Sec European Wts May 2014 A	2014/05/20	2014/05/27
13095	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts May14B	2014/05/20	2014/05/27
29014	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt May 2014A	2014/05/20	2014/05/27
28462	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt May 14 A	2014/05/20	2014/05/27
22755	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt May14A	2014/05/20	2014/05/27
24319	SGA Societe Generale Acceptance N.V. – ZTE Eu Wt May 2014 A	2014/05/20	2014/05/27
12340	UBS AG – A50 China European Warrants May 2014 C	2014/05/20	2014/05/27
29256	UBS AG – CC Bank European Put Warrants May 2014 A	2014/05/20	2014/05/27
28708	UBS AG – China EB Int'l European Warrants May 2014 A	2014/05/20	2014/05/27
11121	UBS AG – Haitong Sec European Warrants May 2014 A	2014/05/20	2014/05/27
22421	UBS AG – HKEx European Warrants May 2014 A	2014/05/20	2014/05/27
11795	UBS AG – Lenovo European Warrants May 2014 B	2014/05/20	2014/05/27
28656	Citigroup Global Mkt H Inc. – CC Bank European Wt May 2014 A	2014/05/21	2014/05/28
29809	Citigroup Global Mkt H Inc. – CGS European Warrants May 14 B	2014/05/21	2014/05/28
11838	Citigroup Global Mkt H Inc. – China Mobile Eur Wt May 2014 A	2014/05/21	2014/05/28
12423	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts May 2014 B	2014/05/21	2014/05/28
11842	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts May 2014 B	2014/05/21	2014/05/28
11688	Citigroup Global Mkt H Inc. – HSBC European Wts May 2014 A	2014/05/21	2014/05/28
11845	Citigroup Global Mkt H Inc. – HSBC European Wts May 2014 C	2014/05/21	2014/05/28
12772	Citigroup Global Mkt H Inc. – ICBC European Wts May 2014 B	2014/05/21	2014/05/28
27702	Citigroup Global Mkt H Inc. – Link Reit Euro Wts May 2014 A	2014/05/21	2014/05/28
11763	Citigroup Global Mkt H Inc. – MGM China Euro Wts May 2014 A	2014/05/21	2014/05/28
29269	Credit Suisse AG – HKEx European Put Warrants May 2014 A	2014/05/21	2014/05/28
12441	Credit Suisse AG – ICBC European Warrants May 2014 B	2014/05/21	2014/05/28
12646	Daiwa Capital Mkt – ICBC European Warrants May 2014 C	2014/05/21	2014/05/28
12645	Daiwa Capital Mkt – Sands China European Warrants May 2014 B	2014/05/21	2014/05/28
28864	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts May 2014 B	2014/05/21	2014/05/28
29086	Goldman Sachs SP (Asia) – CC Bank European Wts May 2014 A	2014/05/21	2014/05/28
12697	Goldman Sachs Sp (Asia) – ICBC European Warrants May 2014 A	2014/05/21	2014/05/28
12430	HK Bank – AIA European Put Warrants May 2014 B	2014/05/21	2014/05/28
12971	J P Morgan SP BV – Sands China European Warrants May 2014 C	2014/05/21	2014/05/28
13444	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2014 B	2014/05/21	2014/05/28
12542	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2014 A	2014/05/21	2014/05/28
13098	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2014 A	2014/05/21	2014/05/28
12725	Standard Chartered Bank – HSBC European Warrants May 2014 A	2014/05/21	2014/05/28
12728	Standard Chartered Bank – ICBC European Warrants May 2014 A	2014/05/21	2014/05/28
13026	Standard Chartered Bank – Sands China Euro Wts May 2014 D	2014/05/21	2014/05/28
29748	UBS AG – SPDR Gold Trust European Warrants May 2014 B	2014/05/21	2014/05/28
21572	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt May 14 A	2014/05/22	2014/05/29
11430	Credit Suisse AG – CC Bank European Warrants May 2014 B	2014/05/22	2014/05/29
28200	Daiwa Capital Mkt – AIA European Warrants May 2014 A	2014/05/22	2014/05/29

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
29071	Daiwa Capital Mkt – Ping An European Warrants May 2014 A	2014/05/22	2014/05/29
12769	Goldman Sachs SP (Asia) – Yurun Food European Wts May 2014 A	2014/05/22	2014/05/29
29868	HK Bank – Ping An European Warrants May 2014 B	2014/05/22	2014/05/29
12973	J P Morgan SP BV – HKEx European Warrants May 2014 A	2014/05/22	2014/05/29
29184	J P Morgan SP BV – Luk Fook Hold European Wts May 2014 A	2014/05/22	2014/05/29
12972	J P Morgan SP BV – Ping An European Warrants May 2014 A	2014/05/22	2014/05/29
20532	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Wts May 2014 A	2014/05/22	2014/05/29
28941	Nomura Int'l plc – BYD European Warrants May 2014 A	2014/05/22	2014/05/29
28943	Nomura Int'l plc – Evergrande European Warrants May 2014 A	2014/05/22	2014/05/29
27810	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt May 2014 A	2014/05/22	2014/05/29
28975	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2014C	2014/05/22	2014/05/29
12602	SGA Societe Generale Acceptance N.V.-Sands China Eu Wt May14E	2014/05/22	2014/05/29
28410	Standard Chartered Bank – CC Bank European Wts May 2014 A	2014/05/22	2014/05/29
28366	Standard Chartered Bank – CITIC Sec European Wts May 2014 A	2014/05/22	2014/05/29
26983	Standard Chartered Bank -PetroChina European Wts May 2014 A	2014/05/22	2014/05/29
22160	Bank of East Asia – CM Bank European Warrants May 2014 A	2014/05/23	2014/05/30
11516	BOCI Asia Ltd. – HSI European Put Warrants May 2014 A	2014/05/23	2014/05/30
11937	Citigroup Global Mkt H Inc. – HSI European Wts May 2014 A	2014/05/23	2014/05/30
11849	Citigroup Global Mkt H Inc. – MGM China Euro Wts May 2014 B	2014/05/23	2014/05/30
29285	Credit Suisse AG – HSCEI European Put Warrants May 2014 A	2014/05/23	2014/05/30
11755	Credit Suisse AG – HSI European Put Warrants May 2014 A	2014/05/23	2014/05/30
11756	Credit Suisse AG – HSI European Put Warrants May 2014 B	2014/05/23	2014/05/30
11877	Credit Suisse AG – HSI European Put Warrants May 2014 D	2014/05/23	2014/05/30
12819	Credit Suisse AG – HSI European Put Warrants May 2014 G	2014/05/23	2014/05/30
11801	Credit Suisse AG – HSI European Warrants May 2014 C	2014/05/23	2014/05/30
12382	Credit Suisse AG – HSI European Warrants May 2014 E	2014/05/23	2014/05/30
12387	Credit Suisse AG – HSI European Warrants May 2014 F	2014/05/23	2014/05/30
28586	Daiwa Capital Mkt – HSCEI European Put Warrants May 2014 A	2014/05/23	2014/05/30
28581	Daiwa Capital Mkt – HSI European Put Warrants May 2014 A	2014/05/23	2014/05/30
28197	Goldman Sachs SP (Asia) – Ch Mer Hold Euro Wts May 2014 A	2014/05/23	2014/05/30
26889	Goldman Sachs SP (Asia) – CAM CSI300 European Wts May 2014 A	2014/05/23	2014/05/30
25865	Goldman Sachs SP (Asia) – CITIC Sec European Wts May 2014 A	2014/05/23	2014/05/30
29833	Goldman Sachs SP (Asia) – HSCEI European Put Wts May 2014 A	2014/05/23	2014/05/30
12691	Goldman Sachs SP (Asia) – HSI European Put Wts May 2014 A	2014/05/23	2014/05/30
13890	Goldman Sachs SP (Asia) – HSI European Put Wts May 2014 C	2014/05/23	2014/05/30
13839	Goldman Sachs SP (Asia) – HSI European Warrants May 2014 B	2014/05/23	2014/05/30
26380	Goldman Sachs SP (Asia) -Tencent European Wt May 2014 A	2014/05/23	2014/05/30
15774	HK Bank – HKEx European Warrants May 2014	2014/05/23	2014/05/30
29336	HK Bank – HSCEI European Put Warrants May 2014 A	2014/05/23	2014/05/30
19250	HK Bank – HSI European Put Warrants May 2014 A	2014/05/23	2014/05/30
28893	HK Bank – HSI European Put Warrants May 2014 B	2014/05/23	2014/05/30
28894	HK Bank – HSI European Put Warrants May 2014 C	2014/05/23	2014/05/30
29107	HK Bank – HSI European Put Warrants May 2014 E	2014/05/23	2014/05/30
29108	HK Bank – HSI European Put Warrants May 2014 F	2014/05/23	2014/05/30
13356	HK Bank – HSI European Put Warrants May 2014 H	2014/05/23	2014/05/30
29105	HK Bank – HSI European Warrants May 2014 D	2014/05/23	2014/05/30
29618	HK Bank – HSI European Warrants May 2014 G	2014/05/23	2014/05/30
13455	HK Bank – HSI European Warrants May 2014 I	2014/05/23	2014/05/30
28713	J P Morgan Int'l Der. Ltd. – HSCEI European Wts May 2014 A	2014/05/23	2014/05/30
29310	J P Morgan SP BV – HSCEI European Put Warrants May 2014 B	2014/05/23	2014/05/30
12777	J P Morgan SP BV – HSI European Warrants May 2014 A	2014/05/23	2014/05/30
13405	J P Morgan SP BV – HSI European Warrants May 2014 B	2014/05/23	2014/05/30
23334	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2014 A	2014/05/23	2014/05/30
29295	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2014 B	2014/05/23	2014/05/30
29296	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2014 C	2014/05/23	2014/05/30
29011	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2014 A	2014/05/23	2014/05/30
13654	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2014 D	2014/05/23	2014/05/30
29292	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts May 2014 A	2014/05/23	2014/05/30
28886	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2014 A	2014/05/23	2014/05/30
11654	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt May 14 B	2014/05/23	2014/05/30
18400	Standard Chartered Bank – CNOOC European Warrants May 2014 A	2014/05/23	2014/05/30
11457	Standard Chartered Bank – HSCEI European Put Wts May 2014 A	2014/05/23	2014/05/30
25530	Standard Chartered Bank – HSI European Put Wts May 2014 A	2014/05/23	2014/05/30
11601	Standard Chartered Bank – HSI European Put Wts May 2014 B	2014/05/23	2014/05/30
12313	UBS AG – HSCEI European Put Warrants May 2014 A	2014/05/23	2014/05/30
12329	UBS AG – HSI European Put Warrants May 2014 B	2014/05/23	2014/05/30
11277	UBS AG – HSI European Warrants May 2014 A	2014/05/23	2014/05/30
13589	UBS AG – HSI European Warrants May 2014 C	2014/05/23	2014/05/30
19218	UBS AG – Lenovo European Warrants May 2014 A	2014/05/23	2014/05/30
18234	Bank of East Asia – Lenovo European Warrants May 2014 A	2014/05/26	2014/06/03
27164	Bank of East Asia – Agricultural Bk Euro Put Wts May 2014 A	2014/05/26	2014/06/03
27165	Bank of East Asia – China Life European Put Wts May 2014 A	2014/05/26	2014/06/03
28716	BOCI Asia Ltd. – BOCL European Warrants May 2014 A	2014/05/26	2014/06/03
11486	BOCI Asia Ltd. – CC Bank European Warrants May 2014 A	2014/05/26	2014/06/03
28250	BOCI Asia Ltd. – Sands China European Warrants May 2014 A	2014/05/26	2014/06/03
28396	BOCI Asia Ltd. – Tracker Fund European Put Wts May 2014 A	2014/05/26	2014/06/03
12882	Citigroup Global Mkt H Inc. – HKEx European Wts May 2014 B	2014/05/26	2014/06/03
12660	Credit Suisse AG – A50 China European Warrants May 2014 C	2014/05/26	2014/06/03
29754	Credit Suisse AG – CC Bank European Put Warrants May 2014 A	2014/05/26	2014/06/03
12477	Credit Suisse AG – Cheung Kong European Warrants May 2014 A	2014/05/26	2014/06/03
11734	Credit Suisse AG – CSOP A50 ETF European Warrants May 2014 A	2014/05/26	2014/06/03
29780	Credit Suisse AG – CSR Times European Warrants May 2014 A	2014/05/26	2014/06/03
11748	Credit Suisse AG – Greatwall Motor European Wts May 2014 A	2014/05/26	2014/06/03
12353	Credit Suisse AG – HKEx European Warrants May 2014 C	2014/05/26	2014/06/03
29770	Credit Suisse AG – Kingsoft European Warrants May 2014 A	2014/05/26	2014/06/03
12482	Credit Suisse AG – PetroChina European Warrants May 2014 C	2014/05/26	2014/06/03
11381	Credit Suisse AG – Ping An European Put Warrants May 2014 D	2014/05/26	2014/06/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
29019	Credit Suisse AG – Ping An European Warrants May 2014 A	2014/05/26	2014/06/03
29646	Credit Suisse AG – Ping An European Warrants May 2014 B	2014/05/26	2014/06/03
11009	Credit Suisse AG – Ping An European Warrants May 2014 C	2014/05/26	2014/06/03
29774	Credit Suisse AG – SkyworthDig European Warrants May 2014 A	2014/05/26	2014/06/03
11992	Credit Suisse AG – Tencent Holdings Euro Put Wts May 2014 A	2014/05/26	2014/06/03
28009	Credit Suisse AG – ZTE European Warrants May 2014 A	2014/05/26	2014/06/03
28170	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts May 2014 A	2014/05/26	2014/06/03
29079	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2014 A	2014/05/26	2014/06/03
13459	Goldman Sachs SP (Asia) – HKEx European Warrants May 2014 B	2014/05/26	2014/06/03
27430	Goldman Sachs SP (Asia) – Kingsoft European Wts May 2014 A	2014/05/26	2014/06/03
23012	Goldman Sachs SP (Asia) – Link REIT European Wts May 2014 A	2014/05/26	2014/06/03
27144	Goldman Sachs SP (Asia) – Link REIT European Wts May 2014 B	2014/05/26	2014/06/03
29076	Goldman Sachs SP (Asia) – Ping An European Wts May 2014 B	2014/05/26	2014/06/03
26777	Goldman Sachs SP (Asia) – Shimao Ppt European Wts May 2014 A	2014/05/26	2014/06/03
20114	Goldman Sachs SP (Asia) – ZTE European Warrants May 2014 A	2014/05/26	2014/06/03
29221	HK Bank – China Life European Warrants May 2014 A	2014/05/26	2014/06/03
28694	HK Bank – Evergrande European Warrants May 2014 A	2014/05/26	2014/06/03
29615	HK Bank – HKEx European Warrants May 2014 A	2014/05/26	2014/06/03
29219	HK Bank – Ping An European Warrants May 2014 A	2014/05/26	2014/06/03
28768	HK Bank –BYD European Warrants May 2014 A	2014/05/26	2014/06/03
27638	J P Morgan SP BV – BOCL European Warrants May 2014 A	2014/05/26	2014/06/03
28712	J P Morgan SP BV – China Shenhua European Wts May 2014 A	2014/05/26	2014/06/03
19291	J P Morgan SP BV – Lenovo European Warrants May 2014 A	2014/05/26	2014/06/03
27088	Standard Chartered Bank – Sinopec Corp Eu Put Wt May 2014 A	2014/05/26	2014/06/03
28141	Standard Chartered Bank – AIA European Put Wts May 2014 A	2014/05/26	2014/06/03
20104	Standard Chartered Bank – Belle Int'l European Wts May 2014A	2014/05/26	2014/06/03
27229	Standard Chartered Bank – Ch Ship Cont Euro Wts May 2014 A	2014/05/26	2014/06/03
11452	Standard Chartered Bank – CNBM European Warrants May 2014 A	2014/05/26	2014/06/03
25001	Standard Chartered Bank – Galaxy Ent European Wts May 2014 A	2014/05/26	2014/06/03
28444	Standard Chartered Bank – Greatwall Motor Euro Wt May 2014 A	2014/05/26	2014/06/03
26929	Standard Chartered Bank – Hutchison Euro Put Wt May 2014 A	2014/05/26	2014/06/03
27879	Standard Chartered Bank – Hutchison Euro Put Wt May 2014 B	2014/05/26	2014/06/03
26953	Standard Chartered Bank – Li & Fung Euro Put Wts May 2014 A	2014/05/26	2014/06/03
25431	Standard Chartered Bank – Sands China Euro Wts May 2014 A	2014/05/26	2014/06/03
26275	Standard Chartered Bank – ZTE European Warrants May 2014 A	2014/05/26	2014/06/03
21483	UBS AG – PICC European Warrants May 2014 A	2014/05/26	2014/06/03
28794	BOCI Asia Ltd. – A50 China European Warrants Jun 2014 A	2014/05/27	2014/06/04
11485	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Jun 2014 A	2014/05/27	2014/06/04
11777	BOCI Asia Ltd. – Galaxy Ent European Warrants Jun 2014 A	2014/05/27	2014/06/04
11450	BOCI Asia Ltd. – HSBC European Warrants Jun 2014 A	2014/05/27	2014/06/04
11983	BOCI Asia Ltd. – PetroChina European Warrants Jun 2014 A	2014/05/27	2014/06/04
11144	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts Jun 2014 A	2014/05/27	2014/06/04
11093	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Jun 2014 A	2014/05/27	2014/06/04
14153	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Jun 2014 C	2014/05/27	2014/06/04
12420	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2014 A	2014/05/27	2014/06/04
28887	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Jun 2014 A	2014/05/27	2014/06/04
11094	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wt Jun 2014 A	2014/05/27	2014/06/04
11733	Credit Suisse AG – A50 China European Warrants Jun 2014 A	2014/05/27	2014/06/04
29006	Credit Suisse AG – Agricultural Bank European Wts Jun 2014 A	2014/05/27	2014/06/04
29278	Credit Suisse AG – Agricultural Bank European Wts Jun 2014 B	2014/05/27	2014/06/04
11948	Credit Suisse AG – AIA European Put Warrants Jun 2014 C	2014/05/27	2014/06/04
11986	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 F	2014/05/27	2014/06/04
11127	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2014 A	2014/05/27	2014/06/04
11820	Daiwa Capital Mkt – AIA European Warrants Jun 2014 A	2014/05/27	2014/06/04
23090	Daiwa Capital Mkt – CNOOC European Put Warrants Jun 2014 A	2014/05/27	2014/06/04
12855	Daiwa Capital Mkt – HKEx European Warrants Jun 2014 B	2014/05/27	2014/06/04
29385	Daiwa Capital Mkt – Hutchison European Put Wts Jun 2014 A	2014/05/27	2014/06/04
12860	Daiwa Capital Mkt – Hutchison European Warrants Jun 2014 C	2014/05/27	2014/06/04
29268	Daiwa Capital Mkt – Ping An European Put Warrants Jun 2014 B	2014/05/27	2014/06/04
29946	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Jun 2014 B	2014/05/27	2014/06/04
28618	Daiwa Capital Mkt –Agricultural Bank European Wts Jun 2014 A	2014/05/27	2014/06/04
27148	Goldman Sachs SP (Asia) – AAC Acoustic Euro Wts Jun 2014 A	2014/05/27	2014/06/04
28822	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2014 A	2014/05/27	2014/06/04
11013	HK Bank – A50 China European Warrants Jun 2014 B	2014/05/27	2014/06/04
12370	HK Bank – Tencent European Put Warrants Jun 2014 C	2014/05/27	2014/06/04
29585	J P Morgan SP BV – A50 China European Warrants Jun 2014 A	2014/05/27	2014/06/04
28325	J P Morgan SP BV – AIA European Warrants Jun 2014 A	2014/05/27	2014/06/04
11052	J P Morgan SP BV – China Mengniu European Warrants Jun 2014A	2014/05/27	2014/06/04
28945	J P Morgan SP BV – China Mob European Put Wts Jun 2014 A	2014/05/27	2014/06/04
26100	J P Morgan SP BV – Esprit European Wts Jun 2014 A	2014/05/27	2014/06/04
11396	J P Morgan SP BV – HSBC European Warrants Jun 2014 B	2014/05/27	2014/06/04
12775	J P Morgan SP BV – ICBC European Warrants Jun 2014 A	2014/05/27	2014/06/04
28947	J P Morgan SP BV – Lenovo European Warrants Jun 2014 A	2014/05/27	2014/06/04
28891	J P Morgan SP BV – PetroChina European Warrants Jun 2014 A	2014/05/27	2014/06/04
29196	J P Morgan SP BV – Ping An European Put Warrants Jun 2014 B	2014/05/27	2014/06/04
29089	J P Morgan SP BV – Ping An European Warrants Jun 2014 A	2014/05/27	2014/06/04
27172	J P Morgan SP BV – SHK Ppt European Warrants Jun 2014 A	2014/05/27	2014/06/04
28944	J P Morgan SP BV – SPDR Gold Trust Euro Put Wts Jun 2014 B	2014/05/27	2014/06/04
27689	J P Morgan SP BV – SPDR Gold Trust European Wts Jun 2014 A	2014/05/27	2014/06/04
26125	J P Morgan SP BV – Tencent European Warrants Jun 2014 A	2014/05/27	2014/06/04
11036	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2014 A	2014/05/27	2014/06/04
11035	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jun 2014 A	2014/05/27	2014/06/04
13526	Nomura Int'l plc – HKEx European Warrants Jun 2014 B	2014/05/27	2014/06/04
12723	Standard Chartered Bank – AIA European Warrants Jun 2014 B	2014/05/27	2014/06/04
12724	Standard Chartered Bank – Anhui Conch Euro Wts Jun 2014 A	2014/05/27	2014/06/04
11713	Standard Chartered Bank – Chi Res Power Euro Wts Jun 2014 A	2014/05/27	2014/06/04
11451	Standard Chartered Bank – HuanengPower Euro Wts Jun 2014 A	2014/05/27	2014/06/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
20121	Standard Chartered Bank – Link REIT European Wts Jun 2014 A	2014/05/27	2014/06/04
25815	Standard Chartered Bank – Sinopec Corp Euro Wts Jun 2014 A	2014/05/27	2014/06/04
26619	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jun 2014 A	2014/05/27	2014/06/04
26924	Standard Chartered Bank – Tracker Fund Eu Put Wt Jun 2014 A	2014/05/27	2014/06/04
25911	Standard Chartered Bank – Zijin Mining Euro Wts Jun 2014 A	2014/05/27	2014/06/04
11113	UBS AG – PICC Group European Warrants Jun 2014 A	2014/05/27	2014/06/04
29459	UBS AG – Tencent Holdings European Put Warrants Jun 2014 B	2014/05/27	2014/06/04
28273	BNP Paribas Arbit Issu B.V. – Angang Steel Eur Wt Jun 2014 A	2014/05/28	2014/06/05
28525	BNP Paribas Arbit Issu B.V. – BYD European Wts Jun 2014 A	2014/05/28	2014/06/05
21384	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jun 2014 A	2014/05/28	2014/06/05
28918	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2014 A	2014/05/28	2014/06/05
12895	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jun 2014 A	2014/05/28	2014/06/05
20351	BNP Paribas Arbit Issu B.V. – Cheung Kong Eu Put Wt Jun 14 A	2014/05/28	2014/06/05
27820	BNP Paribas Arbit Issu B.V. – Chi Res Power Euro Wt Jun 14 A	2014/05/28	2014/06/05
27429	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Jun 2014 A	2014/05/28	2014/06/05
27823	BNP Paribas Arbit Issu B.V. – CSR Times European Wts Jun14 A	2014/05/28	2014/06/05
29407	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts Jun 2014 A	2014/05/28	2014/06/05
22782	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2014 A	2014/05/28	2014/06/05
13491	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2014 B	2014/05/28	2014/06/05
11362	BNP Paribas Arbit Issu B.V. – Hutchison Eu Put Wt Jun 2014 B	2014/05/28	2014/06/05
28829	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jun 2014 A	2014/05/28	2014/06/05
29412	BNP Paribas Arbit Issu B.V. – NCI European Wts Jun 2014 A	2014/05/28	2014/06/05
28750	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jun 2014 A	2014/05/28	2014/06/05
12504	BNP Paribas Arbit Issu B.V. – SkyworthDig European Wts Jun14	2014/05/28	2014/06/05
11159	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jun 2014 A	2014/05/28	2014/06/05
22238	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Jun 2014 A	2014/05/28	2014/06/05
27502	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Jun 2014 A	2014/05/28	2014/06/05
13609	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2014 B	2014/05/28	2014/06/05
11414	Credit Suisse AG – HSBC European Wts Jun 2014 A	2014/05/28	2014/06/05
11415	Credit Suisse AG – HSBC European Wts Jun 2014 B	2014/05/28	2014/06/05
11681	Credit Suisse AG – Hutchison European Warrants Jun 2014 A	2014/05/28	2014/06/05
29388	J P Morgan SP BV – MGM China European Warrants Jun 2014 A	2014/05/28	2014/06/05
11200	J P Morgan SP BV – Agricultural Bk Euro Warrants Jun 2014 A	2014/05/28	2014/06/05
11194	J P Morgan SP BV – Chi Res Land European Warrants Jun 2014 A	2014/05/28	2014/06/05
29298	J P Morgan SP BV – China Railway European Wts Jun 2014 A	2014/05/28	2014/06/05
11189	J P Morgan SP BV – Foxconn European Warrants Jun 2014 A	2014/05/28	2014/06/05
11145	J P Morgan SP BV – HS Bank European Warrants Jun 2014 A	2014/05/28	2014/06/05
11186	J P Morgan SP BV – HSBC European Warrants Jun 2014 A	2014/05/28	2014/06/05
11185	J P Morgan SP BV – Huaneng Power European Wts Jun 2014 A	2014/05/28	2014/06/05
13791	J P Morgan SP BV – Hutchison European Warrants Jun 2014 D	2014/05/28	2014/06/05
11051	J P Morgan SP BV – PICC Group European Warrants Jun 2014 A	2014/05/28	2014/06/05
11267	J P Morgan SP BV – Ping An European Warrants Jun 2014 C	2014/05/28	2014/06/05
29307	J P Morgan SP BV – Weichai Power European Wts Jun 2014 A	2014/05/28	2014/06/05
29793	Macquarie Bank Ltd. – Avichina European Warrants Jun 2014 A	2014/05/28	2014/06/05
27611	Macquarie Bank Ltd. – Bank of EA European Wts Jun 2014 A	2014/05/28	2014/06/05
27739	Macquarie Bank Ltd. – Cheung Kong European Wts Jun 2014 A	2014/05/28	2014/06/05
28915	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2014 A	2014/05/28	2014/06/05
29465	Macquarie Bank Ltd. – CPIC European Warrants Jun 2014 A	2014/05/28	2014/06/05
28728	Macquarie Bank Ltd. – Foxconn European Warrants Jun 2014 A	2014/05/28	2014/06/05
20713	Macquarie Bank Ltd. – Gome European Warrants Jun 2014 A	2014/05/28	2014/06/05
22357	Macquarie Bank Ltd. – HKEx European Warrants Jun 2014 A	2014/05/28	2014/06/05
28733	Macquarie Bank Ltd. – Huaneng Power European Wts Jun 2014 A	2014/05/28	2014/06/05
28338	Macquarie Bank Ltd. – MGM China European Warrants Jun 2014 A	2014/05/28	2014/06/05
28730	Macquarie Bank Ltd. – PetroChina European Wts Jun 2014 A	2014/05/28	2014/06/05
29361	Macquarie Bank Ltd. – Ping An European Warrants Jun 2014 A	2014/05/28	2014/06/05
12569	Macquarie Bank Ltd. – Ping An European Warrants Jun 2014 B	2014/05/28	2014/06/05
28916	Macquarie Bank Ltd. – Poly HK Inv European Wts Jun 2014 A	2014/05/28	2014/06/05
26941	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2014 A	2014/05/28	2014/06/05
28917	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2014 B	2014/05/28	2014/06/05
28984	Macquarie Bank Ltd. – Sino Land European Warrants Jun 2014 A	2014/05/28	2014/06/05
28445	Macquarie Bank Ltd. – Sinopec Corp European Wts Jun 2014 A	2014/05/28	2014/06/05
28849	Macquarie Bank Ltd. – Tencent European Warrants Jun 2014 A	2014/05/28	2014/06/05
27937	Macquarie Bank Ltd. – Wynn Macau European Wts Jun 2014 A	2014/05/28	2014/06/05
28668	Macquarie Bank Ltd. – Yurun Food European Wts Jun 2014 A	2014/05/28	2014/06/05
28399	Macquarie Bank Ltd. -Greatwall Motor European Wts Jun 2014 A	2014/05/28	2014/06/05
12870	Macquarie Bank Ltd. -Greatwall Motor European Wts Jun 2014 B	2014/05/28	2014/06/05
17248	Macquarie Bank Ltd-Skyworth Digital European Wts Jun 2014 A	2014/05/28	2014/06/05
26186	Nomura Int'l plc – CAM CSI300 European Put Warrants Jun 14 A	2014/05/28	2014/06/05
28194	SGA Societe Generale Acceptance N.V. – AIA Eu Put Wt Jun14 A	2014/05/28	2014/06/05
12678	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jun 2014 B	2014/05/28	2014/06/05
12845	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Jun 2014 A	2014/05/28	2014/06/05
27195	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2014 A	2014/05/28	2014/06/05
28967	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2014 B	2014/05/28	2014/06/05
28882	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 14 A	2014/05/28	2014/06/05
18052	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jun 2014 A	2014/05/28	2014/06/05
11261	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Jun 2014A	2014/05/28	2014/06/05
11176	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Jun 2014 A	2014/05/28	2014/06/05
29921	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wt Jun14 A	2014/05/28	2014/06/05
29483	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jun14E	2014/05/28	2014/06/05
27951	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2014 B	2014/05/28	2014/06/05
28909	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2014 C	2014/05/28	2014/06/05
29482	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2014 D	2014/05/28	2014/06/05
28910	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2014 A	2014/05/28	2014/06/05
29247	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2014 B	2014/05/28	2014/06/05
28913	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2014 A	2014/05/28	2014/06/05
29054	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Jun 14 A	2014/05/28	2014/06/05
11219	SGA Societe Generale Acceptance N.V. – PICC Eu Wts Jun 2014A	2014/05/28	2014/06/05

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
11180	SGA Societe Generale Acceptance N.V. – Zoomlion Eu Wt Jun14A	2014/05/28	2014/06/05
28965	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jun 2014A	2014/05/28	2014/06/05
28966	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jun 2014B	2014/05/28	2014/06/05
12815	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Jun14A	2014/05/28	2014/06/05
29922	SGA Societe Generale Acceptance N.V. -CLife Eu Wt Jun 2014 A	2014/05/28	2014/06/05
28804	SGA Societe Generale Acceptance N.V. -Greatwall Eu Wt Jun14A	2014/05/28	2014/06/05
29254	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt Jun14 C	2014/05/28	2014/06/05
28906	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Jun 14 A	2014/05/28	2014/06/05
29109	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jun14A	2014/05/28	2014/06/05
11174	SGA Societe Generale Acceptance N.V.-Ch Mengniu Eu Wt Jun14A	2014/05/28	2014/06/05
28299	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Jun14A	2014/05/28	2014/06/05
11608	SGA Societe Generale Acceptance N.V-Ping An Eu Put Wt Jun14A	2014/05/28	2014/06/05
27724	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jun14A	2014/05/28	2014/06/05
28140	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jun14B	2014/05/28	2014/06/05
11065	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Jun14B	2014/05/28	2014/06/05
27720	SGA Societe Generale Acceptance NV-Ch Ship Cont Eu Wt Jun14A	2014/05/28	2014/06/05
29218	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun14A	2014/05/28	2014/06/05
11181	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Jun14A	2014/05/28	2014/06/05
28871	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jun14 A	2014/05/28	2014/06/05
11223	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun14A	2014/05/28	2014/06/05
28348	Standard Chartered Bank – BYD European Warrants Jun 2014 A	2014/05/28	2014/06/05
13033	Standard Chartered Bank – HKEx European Warrants Jun 2014 B	2014/05/28	2014/06/05
28235	Standard Chartered Bank – Sands China Euro Wts Jun 2014 B	2014/05/28	2014/06/05
11611	UBS AG – Greatwall Motor European Warrants Jun 2014 A	2014/05/28	2014/06/05
11436	UBS AG – HKEx European Warrants Jun 2014 B	2014/05/28	2014/06/05
11558	UBS AG – HSBC European Warrants Jun 2014 A	2014/05/28	2014/06/05
11978	HK Bank – AIA European Warrants Jun 2014 C	2014/05/29	2014/06/06
27808	Standard Chartered Bank – CTel European Warrants Jun 2014 A	2014/05/29	2014/06/06
29716	Standard Chartered Bank – HSBC European Put Wts Jun 2014 A	2014/05/29	2014/06/06
29163	Standard Chartered Bank – Ping An Euro Put Wts Jun 2014 A	2014/05/29	2014/06/06
27809	Standard Chartered Bank – ZTE European Warrants Jun 2014 A	2014/05/29	2014/06/06
10246	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Jun 14 B	2014/05/30	2014/06/09
10245	BNP Paribas Arbit Issu B.V. – Gold European Wts Jun 2014 A	2014/05/30	2014/06/09
26907	Credit Suisse AG – Jiangxi Copper Euro Wts Jun 2014 A	2014/05/30	2014/06/09
20813	Daiwa Capital Mkt – Cheung Kong European Put Wts Jun 2014 A	2014/05/30	2014/06/09
11853	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2014 A	2014/05/30	2014/06/09
21780	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Jun 2014 A	2014/05/30	2014/06/09
27847	Standard Chartered Bank – Galaxy Ent Euro Put Wts Jun 2014 B	2014/05/30	2014/06/09
27118	Standard Chartered Bank – MTRC European Warrants Jun 2014 A	2014/05/30	2014/06/09
27116	Standard Chartered Bank – Sands China Euro Wts Jun 2014 A	2014/05/30	2014/06/09
25372	Standard Chartered Bank-China Life European Wts Jun 2014 B	2014/05/30	2014/06/09
13774	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jun 2014 B	2014/06/03	2014/06/10
26910	BOCI Asia Ltd. – Li & Fung European Warrants Jun 2014 A	2014/06/03	2014/06/10
11284	Citigroup Global Mkt H Inc. – CGS European Warrants Jun 14 A	2014/06/03	2014/06/10
12435	Credit Suisse AG – China Mobile European Warrants Jun 2014 B	2014/06/03	2014/06/10
11130	Credit Suisse AG – KECL European Warrants Jun 2014 B	2014/06/03	2014/06/10
11991	Credit Suisse AG – Tencent Holdings Euro Put Wts Jun 2014 H	2014/06/03	2014/06/10
11027	Daiwa Capital Mkt – China Mobile European Put Wts Jun 2014 B	2014/06/03	2014/06/10
12499	Goldman Sachs SP (Asia) – Tencent European Put Wt Jun 2014 F	2014/06/03	2014/06/10
13126	Goldman Sachs SP (Asia) -Tencent European Wt Jun 2014 G	2014/06/03	2014/06/10
12551	HK Bank – Tencent European Warrants Jun 2014 E	2014/06/03	2014/06/10
24730	J P Morgan SP BV – CNBM European Warrants Jun 2014 A	2014/06/03	2014/06/10
13757	J P Morgan SP BV – Tencent European Warrants Jun 2014 C	2014/06/03	2014/06/10
11269	Macquarie Bank Ltd. – CGS European Warrants Jun 2014 A	2014/06/03	2014/06/10
12468	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt Jun 14D	2014/06/03	2014/06/10
11655	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Jun14A	2014/06/03	2014/06/10
11325	Standard Chartered Bank – CITIC Sec European Wts Jun 2014 A	2014/06/03	2014/06/10
11319	Standard Chartered Bank – Ping An Euro Put Wts Jun 2014 B	2014/06/03	2014/06/10
12397	UBS AG – HSBC European Put Warrants Jun 2014 C	2014/06/03	2014/06/10
11404	Credit Suisse AG – AIA European Warrants Jun 2014 B	2014/06/04	2014/06/11
11746	Credit Suisse AG – GCL-Poly Energy European Wts Jun 2014 A	2014/06/04	2014/06/11
12433	Credit Suisse AG – SHK Ppt European Warrants Jun 2014 C	2014/06/04	2014/06/11
11890	Credit Suisse AG – Yurun Food European Warrants Jun 2014 A	2014/06/04	2014/06/11
11207	Daiwa Capital Mkt – Sands China European Put Wts Jun 2014 A	2014/06/04	2014/06/11
12372	HK Bank – Tencent European Warrants Jun 2014 D	2014/06/04	2014/06/11
11296	J P Morgan SP BV – CGS European Warrants Jun 2014 A	2014/06/04	2014/06/11
11350	J P Morgan SP BV – Hutchison European Put Wts Jun 2014 A	2014/06/04	2014/06/11
28322	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Jun 2014 A	2014/06/04	2014/06/11
28318	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jun 2014 A	2014/06/04	2014/06/11
29665	Standard Chartered Bank – A50 China Euro Warrants Jun 2014 B	2014/06/04	2014/06/11
27516	Standard Chartered Bank – Agricultural Bk Eur Wts Jun 2014 A	2014/06/04	2014/06/11
25812	Standard Chartered Bank – PetroChina Euro Put Wts Jun 2014 A	2014/06/04	2014/06/11
29051	UBS AG – AIA European Warrants Jun 2014 A	2014/06/04	2014/06/11
11276	UBS AG – CITIC Sec European Warrants Jun 2014 A	2014/06/04	2014/06/11
29264	UBS AG – Ping An European Put Warrants Jun 2014 B	2014/06/04	2014/06/11
29049	UBS AG – Ping An European Warrants Jun 2014 A	2014/06/04	2014/06/11
11420	Daiwa Capital Mkt – Hutchison European Warrants Jun 2014 B	2014/06/05	2014/06/12
11973	HK Bank – Greatwall Motor European Warrants Jun 2014 A	2014/06/05	2014/06/12
12713	HK Bank – ICBC European Warrants Jun 2014 A	2014/06/05	2014/06/12
12543	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jun 2014 A	2014/06/05	2014/06/12
11861	UBS AG – HKEx European Warrants Jun 2014 C	2014/06/05	2014/06/12
11441	UBS AG – Hutchison European Warrants Jun 2014 A	2014/06/05	2014/06/12
10248	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wt Jun 2014 B	2014/06/06	2014/06/13
10247	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Jun 2014 A	2014/06/06	2014/06/13
10253	BNP Paribas Arbit Issu B.V. – Nikkei 225 Eu Put Wt Jun 2014B	2014/06/09	2014/06/16
10252	BNP Paribas Arbit Issu B.V. – Nikkei 225 Index Eu Wt Jun14 A	2014/06/09	2014/06/16
11840	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2014 A	2014/06/09	2014/06/16

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
10241	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Jun 2014 C	2014/06/09	2014/06/16
10242	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Jun 2014 D	2014/06/09	2014/06/16
10256	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Jun 2014 F	2014/06/09	2014/06/16
10257	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Jun 2014 G	2014/06/09	2014/06/16
10239	Daiwa Capital Mkt – Nikkei 225 Index European Wts Jun 2014 A	2014/06/09	2014/06/16
10240	Daiwa Capital Mkt – Nikkei 225 Index European Wts Jun 2014 B	2014/06/09	2014/06/16
10255	Daiwa Capital Mkt – Nikkei 225 Index European Wts Jun 2014 E	2014/06/09	2014/06/16
23121	HK Bank – CNOOC European Warrants Jun 2014 A	2014/06/09	2014/06/16
11459	Macquarie Bank Ltd. – BJ Ent Water European Wts Jun 2014 A	2014/06/09	2014/06/16
11458	Macquarie Bank Ltd. – Lee & Man Paper European Wt Jun 2014 A	2014/06/09	2014/06/16
12546	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2014 A	2014/06/09	2014/06/16
10254	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Jun 2014 A	2014/06/09	2014/06/16
10258	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Jun 14 B	2014/06/09	2014/06/16
10259	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Jun 14 C	2014/06/09	2014/06/16
10262	UBS AG – Nikkei 225 European Put Warrants Jun 2014 C	2014/06/09	2014/06/16
10263	UBS AG – Nikkei 225 European Put Warrants Jun 2014 D	2014/06/09	2014/06/16
10265	UBS AG – Nikkei 225 European Put Warrants Jun 2014 E	2014/06/09	2014/06/16
10260	UBS AG – Nikkei 225 European Warrants Jun 2014 A	2014/06/09	2014/06/16
10261	UBS AG – Nikkei 225 European Warrants Jun 2014 B	2014/06/09	2014/06/16
10266	UBS AG – Nikkei 225 European Warrants Jun 2014 F	2014/06/09	2014/06/16
11301	BOCI Asia Ltd. – Tencent Holdings European Wts Jun 2014 A	2014/06/10	2014/06/17
21501	CC Rabobank B.A. – Li & Fung European Warrants Jun 2014 A	2014/06/10	2014/06/17
12771	Citigroup Global Mkt H Inc. – Sands China Eu Put Wt Jun 14 A	2014/06/10	2014/06/17
11388	Credit Suisse AG – Anhui Conch European Warrants Jun 2014 B	2014/06/10	2014/06/17
11949	Credit Suisse AG – CITIC Bank European Warrants Jun 2014 A	2014/06/10	2014/06/17
11384	Credit Suisse AG – CITIC Sec European Warrants Jun 2014 A	2014/06/10	2014/06/17
11389	Credit Suisse AG – HKEx European Warrants Jun 2014 A	2014/06/10	2014/06/17
11393	Credit Suisse AG – PICC Group European Warrants Jun 2014 A	2014/06/10	2014/06/17
11383	Credit Suisse AG – Ping An European Put Warrants Jun 2014 B	2014/06/10	2014/06/17
12749	Credit Suisse AG – Sands China European Put Wts Jun 2014 D	2014/06/10	2014/06/17
11469	Credit Suisse AG – SHK Ppt European Put Warrants Jun 2014 A	2014/06/10	2014/06/17
11209	Daiwa Capital Mkt – BOCL European Put Warrants Jun 2014 A	2014/06/10	2014/06/17
13809	Daiwa Capital Mkt – Galaxy Ent European Warrants Jun 2014 C	2014/06/10	2014/06/17
11530	Daiwa Capital Mkt – HSBC European Put Warrants Jun 2014 A	2014/06/10	2014/06/17
11138	Goldman Sachs SP (Asia) – CNBM European Warrants Jun 2014 A	2014/06/10	2014/06/17
13104	HK Bank – HWL European Warrants Jun 2014 D	2014/06/10	2014/06/17
13452	HK Bank – HWL European Warrants Jun 2014 E	2014/06/10	2014/06/17
13741	HK Bank – Tencent European Put Warrants Jun 2014 I	2014/06/10	2014/06/17
12968	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Wts Jun 2014 A	2014/06/10	2014/06/17
28626	Standard Chartered Bank – ICBC European Warrants Jun 2014 A	2014/06/10	2014/06/17
11499	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jun 2014 C	2014/06/10	2014/06/17
11496	UBS AG – China National Building European Wts Jun 2014 A	2014/06/10	2014/06/17
22198	UBS AG – CNOOC European Warrants Jun 2014 A	2014/06/10	2014/06/17
12408	UBS AG – Galaxy Ent European Put Warrants Jun 2014 D	2014/06/10	2014/06/17
11550	UBS AG – PetroChina European Warrants Jun 2014 A	2014/06/10	2014/06/17
11497	UBS AG – Tencent Holdings European Warrants Jun 2014 C	2014/06/10	2014/06/17
25816	UBS AG – ZTE European Warrants Jun 2014 A	2014/06/10	2014/06/17
11512	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2014 C	2014/06/11	2014/06/18
26660	J P Morgan SP BV – Yurun Food European Warrants Jun 2014 A	2014/06/11	2014/06/18
11273	Macquarie Bank Ltd. –GCL-Poly Energy European Wts Jun 2014 A	2014/06/11	2014/06/18
11281	UBS AG – Ping An European Put Warrants Jun 2014 D	2014/06/11	2014/06/18
11030	UBS AG – Ping An European Warrants Jun 2014 C	2014/06/11	2014/06/18
11619	UBS AG – SHK Ppt European Warrants Jun 2014 A	2014/06/11	2014/06/18
12306	UBS AG – Yurun Food European Warrants Jun 2014 A	2014/06/11	2014/06/18
11686	Citigroup Global Mkt H Inc. – CGS European Put Wts Jun 2014B	2014/06/12	2014/06/19
28547	Daiwa Capital Mkt – China Telecom Euro Put Wts Jun 2014 A	2014/06/12	2014/06/19
11072	Daiwa Capital Mkt – China Telecom European Wts Jun 2014 B	2014/06/12	2014/06/19
11208	Daiwa Capital Mkt – Galaxy Ent European Put Wts Jun 2014 A	2014/06/12	2014/06/19
11135	Daiwa Capital Mkt – Sinopec Corp European Put Wts Jun 2014 A	2014/06/12	2014/06/19
25987	Daiwa Capital Mkt – Tencent Holdings European Wts Jun 2014 A	2014/06/12	2014/06/19
11648	HK Bank – SHK Ppt European Warrants Jun 2014 A	2014/06/12	2014/06/19
11568	Nomura Int'l plc – A50 China European Warrants Jun 2014 A	2014/06/12	2014/06/19
26172	Nomura Int'l plc – Anhui Conch European Warrants Jun 2014 A	2014/06/12	2014/06/19
11233	Nomura Int'l plc – Greatwall Motor European Wts Jun 2014 A	2014/06/12	2014/06/19
11371	Nomura Int'l plc – Hutchison European Warrants Jun 2014 A	2014/06/12	2014/06/19
23828	Nomura Int'l plc – Shui On Land EEuropean Warrants Jun2014 A	2014/06/12	2014/06/19
28517	Standard Chartered Bank – China Coal European Wts Jun 2014 A	2014/06/12	2014/06/19
11580	Standard Chartered Bank – Sands China Eu Put Wts Jun 2014 C	2014/06/12	2014/06/19
13257	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2014 A	2014/06/13	2014/06/20
12992	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jun 2014 A	2014/06/13	2014/06/20
11761	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jun 2014 A	2014/06/13	2014/06/20
13346	Credit Suisse AG – PetroChina European Warrants Jun 2014 B	2014/06/13	2014/06/20
24695	Goldman Sachs SP (Asia) – Dongfeng European Wts Jun 2014 A	2014/06/13	2014/06/20
21316	HK Bank – Dongfeng Motor European Warrants Jun 2014 A	2014/06/13	2014/06/20
12811	J P Morgan SP BV – PetroChina European Warrants Jun 2014 B	2014/06/13	2014/06/20
12954	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2014 A	2014/06/13	2014/06/20
11869	UBS AG – Hutchison European Warrants Jun 2014 B	2014/06/13	2014/06/20
26799	Bank of East Asia – Tencent Holdings European Wts Jun 2014 A	2014/06/16	2014/06/23
10227	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Jun 2014 B	2014/06/16	2014/06/23
10226	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Jun 2014 A	2014/06/16	2014/06/23
21048	CC Rabobank B.A. – HKEx European Warrants Jun 2014 A	2014/06/16	2014/06/23
21326	Citigroup Global Mkt H Inc. – HKEx European Wts Jun 2014 A	2014/06/16	2014/06/23
13614	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2014 C	2014/06/16	2014/06/23
13629	Daiwa Capital Mkt – China Life European Warrants Jun 2014 A	2014/06/16	2014/06/23
28511	Daiwa Capital Mkt – China Mobile European Put Wts Jun 2014 A	2014/06/16	2014/06/23
20485	Daiwa Capital Mkt – HKEx European Warrants Jun 2014 A	2014/06/16	2014/06/23
22466	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2014 A	2014/06/16	2014/06/23

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
21129	J P Morgan SP BV – HKEx European Warrants Jun 2014 A	2014/06/16	2014/06/23
11352	J P Morgan SP BV – Hutchison European Warrants Jun 2014 B	2014/06/16	2014/06/23
13758	J P Morgan SP BV – Hutchison European Warrants Jun 2014 C	2014/06/16	2014/06/23
22090	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Jun 2014 A	2014/06/16	2014/06/23
13168	BOCI Asia Ltd. – China Mobile European Warrants Jun 2014 A	2014/06/17	2014/06/24
13060	Citigroup Global Mkt H Inc. – AIA European Wts Jun 2014 A	2014/06/17	2014/06/24
11768	Citigroup Global Mkt H Inc. – China Coal Euro Wts Jun 2014 A	2014/06/17	2014/06/24
13613	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jun 2014 C	2014/06/17	2014/06/24
12991	Citigroup Global Mkt H Inc. – HSBC European Wts Jun 2014 A	2014/06/17	2014/06/24
11770	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Jun 2014 B	2014/06/17	2014/06/24
11764	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jun 2014 B	2014/06/17	2014/06/24
13718	Credit Suisse – Foxconn European Warrants Jun 2014 A	2014/06/17	2014/06/24
28481	Credit Suisse AG – Anhui Conch European Warrants Jun 2014 A	2014/06/17	2014/06/24
28930	Credit Suisse AG – Ch Overseas European Warrants Jun 2014 A	2014/06/17	2014/06/24
11947	Credit Suisse AG – China Coal European Put Warrants Jun 14 B	2014/06/17	2014/06/24
29502	Credit Suisse AG – China Coal European Warrants Jun 2014 A	2014/06/17	2014/06/24
12484	Credit Suisse AG – China Mobile European Put Wts Jun 2014 D	2014/06/17	2014/06/24
11684	Credit Suisse AG – China Mobile European Warrants Jun 2014 A	2014/06/17	2014/06/24
13314	Credit Suisse AG – China Mobile European Warrants Jun 2014 E	2014/06/17	2014/06/24
28995	Credit Suisse AG – Dongfeng Motor European Warrants Jun 14 A	2014/06/17	2014/06/24
29765	Credit Suisse AG – Esprit European Warrants Jun 2014 A	2014/06/17	2014/06/24
11892	Credit Suisse AG – Galaxy Ent European Put Wts Jun 2014 D	2014/06/17	2014/06/24
11738	Credit Suisse AG – Galaxy Ent European Warrants Jun 2014 A	2014/06/17	2014/06/24
11891	Credit Suisse AG – Galaxy Ent European Warrants Jun 2014 C	2014/06/17	2014/06/24
12919	Credit Suisse AG – Greatwall Motor European Wts Jun 2014 B	2014/06/17	2014/06/24
11671	Credit Suisse AG – HKEx European Warrants Jun 2014 C	2014/06/17	2014/06/24
11893	Credit Suisse AG – HSBC European Put Warrants Jun 2014 C	2014/06/17	2014/06/24
12442	Credit Suisse AG – Hutchison European Put Wts Jun 2014 E	2014/06/17	2014/06/24
11682	Credit Suisse AG – Hutchison European Warrants Jun 2014 B	2014/06/17	2014/06/24
11814	Credit Suisse AG – Hutchison European Warrants Jun 2014 C	2014/06/17	2014/06/24
28478	Credit Suisse AG – Jiangxi Copper European Wts Jun 2014 B	2014/06/17	2014/06/24
11813	Credit Suisse AG – Kingsoft European Warrants Jun 2014 A	2014/06/17	2014/06/24
11945	Credit Suisse AG – Sands China European Put Wts Jun 2014 C	2014/06/17	2014/06/24
11743	Credit Suisse AG – Sands China European Warrants Jun 2014 A	2014/06/17	2014/06/24
11816	Credit Suisse AG – Sands China European Warrants Jun 2014 B	2014/06/17	2014/06/24
11504	Credit Suisse AG – SHK Ppt European Warrants Jun 2014 B	2014/06/17	2014/06/24
11502	Credit Suisse AG – Tencent Holdings Euro Put Wts Jun 2014 D	2014/06/17	2014/06/24
11808	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 E	2014/06/17	2014/06/24
11989	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 G	2014/06/17	2014/06/24
11896	Credit Suisse AG – Xinyi Glass European Warrants Jun 2014 A	2014/06/17	2014/06/24
12803	Daiwa Capital Mkt – CCB European Put Warrants Jun 2014 A	2014/06/17	2014/06/24
12565	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Jun 2014 D	2014/06/17	2014/06/24
13518	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2014 B	2014/06/17	2014/06/24
13216	Goldman Sachs SP (Asia) – Sands China Euro Wts Jun 2014 C	2014/06/17	2014/06/24
28494	HK Bank – Anhui Conch European Warrants Jun 2014 A	2014/06/17	2014/06/24
29869	HK Bank – China Overseas European Warrants Jun 2014 A	2014/06/17	2014/06/24
28028	HK Bank – CITIC Bank European Warrants Jun 2014 A	2014/06/17	2014/06/24
11977	HK Bank – Ping An European Warrants Jun 2014 C	2014/06/17	2014/06/24
12884	J P Morgan SP BV – AIA European Warrants Jun 2014 B	2014/06/17	2014/06/24
11202	J P Morgan SP BV – Ch Ship Cont European Warrants Jun 2014 A	2014/06/17	2014/06/24
17527	Macquarie Bank Ltd. – Air China European Warrants Jun 2014 A	2014/06/17	2014/06/24
28531	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jun 2014 B	2014/06/17	2014/06/24
28180	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jun 2014 A	2014/06/17	2014/06/24
13244	Merrill Lynch Int'l & Co. C.V. – Sands China Eu Put Wt Jun14A	2014/06/17	2014/06/24
12618	Nomura Int'l plc – A50 China European Warrants Jun 2014 B	2014/06/17	2014/06/24
13431	Nomura Int'l plc – HSBC European Warrants Jun 2014 B	2014/06/17	2014/06/24
18160	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Jun2014A	2014/06/17	2014/06/24
20900	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2014 A	2014/06/17	2014/06/24
12961	Standard Chartered Bank – Geely Auto European Wts Jun 2014 A	2014/06/17	2014/06/24
12960	Standard Chartered Bank – Greatwall Motor Euro Wt Jun 2014 A	2014/06/17	2014/06/24
13544	Standard Chartered Bank – Hutchison Euro Euro Wts Jun 2014 A	2014/06/17	2014/06/24
13365	Standard Chartered Bank – Tencent Hldg Euro Wts Jun 2014 F	2014/06/17	2014/06/24
11875	UBS AG – Cheung Kong European Warrants Jun 2014 A	2014/06/17	2014/06/24
28598	UBS AG – China Overseas European Warrants Jun 2014 A	2014/06/17	2014/06/24
11798	UBS AG – Galaxy Ent European Put Warrants Jun 2014 A	2014/06/17	2014/06/24
11799	UBS AG – Galaxy Ent European Warrants Jun 2014 B	2014/06/17	2014/06/24
11866	UBS AG – Geely Auto European Warrants Jun 2014 A	2014/06/17	2014/06/24
13183	UBS AG – Geely Auto European Warrants Jun 2014 B	2014/06/17	2014/06/24
20396	UBS AG – HKEx European Warrants Jun 2014 A	2014/06/17	2014/06/24
11872	UBS AG – HSBC European Warrants Jun 2014 B	2014/06/17	2014/06/24
12404	UBS AG – Hutchison European Put Warrants Jun 2014 D	2014/06/17	2014/06/24
14329	UBS AG – Hutchison European Warrants Jun 2014 E	2014/06/17	2014/06/24
28150	UBS AG – Kingsoft European Warrants Jun 2014 A	2014/06/17	2014/06/24
11338	UBS AG – Sands China European Put Warrants Jun 2014 A	2014/06/17	2014/06/24
28711	UBS AG – Xinyi Glass European Warrants Jun 2014 A	2014/06/17	2014/06/24
12912	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2014 A	2014/06/18	2014/06/25
11687	Citigroup Global Mkt H Inc. – China Life Euro Wts Jun 2014 A	2014/06/18	2014/06/25
12985	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Jun 2014 A	2014/06/18	2014/06/25
13611	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2014 B	2014/06/18	2014/06/25
13349	Credit Suisse AG – HSBC European Wts Jun 2014 D	2014/06/18	2014/06/25
12563	Daiwa Capital Mkt – A50 China European Warrants Jun 2014 A	2014/06/18	2014/06/25
12743	Daiwa Capital Mkt – Galaxy Ent European Warrants Jun 2014 B	2014/06/18	2014/06/25
12644	Daiwa Capital Mkt – Ping An European Warrants Jun 2014 C	2014/06/18	2014/06/25
12805	Daiwa Capital Mkt – Sands China European Put Wts Jun 2014 B	2014/06/18	2014/06/25
13328	Daiwa Capital Mkt – Sands China European Warrants Jun 2014 C	2014/06/18	2014/06/25
23230	Goldman Sachs SP (Asia) – CM Bank European Wts Jun 2014 A	2014/06/18	2014/06/25
11099	HK Bank – China Life European Put Warrants Jun 2014 A	2014/06/18	2014/06/25

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
12535	J P Morgan SP BV – China Coal European Warrants Jun 2014 A	2014/06/18	2014/06/25
11817	J P Morgan SP BV – ENN Energy European Warrants Jun 2014 A	2014/06/18	2014/06/25
12531	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2014 A	2014/06/18	2014/06/25
13097	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jun 14 A	2014/06/18	2014/06/25
12966	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jun 2014 A	2014/06/18	2014/06/25
12538	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Jun 2014B	2014/06/18	2014/06/25
23036	Nomura Int'l plc – PICC European Warrants Jun 2014 A	2014/06/18	2014/06/25
26145	Standard Chartered Bank – A50 China Euro Put Wts Jun 2014 A	2014/06/18	2014/06/25
29698	Standard Chartered Bank – BOCL European Put Wts Jun 2014 A	2014/06/18	2014/06/25
12501	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jun 2014 D	2014/06/18	2014/06/25
20234	UBS AG – A50 China European Put Warrants Jun 2014 A	2014/06/18	2014/06/25
12570	UBS AG – China Coal European Warrants Jun 2014 A	2014/06/18	2014/06/25
13139	UBS AG – GCL-Poly Energy European Warrants Jun 2014 B	2014/06/18	2014/06/25
23875	UBS AG – PICC European Warrants Jun 2014 A	2014/06/18	2014/06/25
11340	UBS AG – Ping An European Warrants Jun 2014 E	2014/06/18	2014/06/25
12410	UBS AG – Tencent Holdings European Put Warrants Jun 2014 E	2014/06/18	2014/06/25
22622	UBS AG – Tencent Holdings European Warrants Jun 2014 A	2014/06/18	2014/06/25
27411	UBS AG – ZTE European Warrants Jun 2014 B	2014/06/18	2014/06/25
12969	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jun 2014 B	2014/06/19	2014/06/26
11843	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2014 A	2014/06/19	2014/06/26
27259	Daiwa Capital Mkt – Ping An European Warrants Jun 2014 A	2014/06/19	2014/06/26
12561	Daiwa Capital Mkt – Tencent Holdings European Wts Jun 2014 C	2014/06/19	2014/06/26
27101	Goldman Sachs SP (Asia) – KECL European Warrants Jun 2014 A	2014/06/19	2014/06/26
14391	Goldman Sachs SP (Asia) – Sands China Euro Wts Jun 2014 D	2014/06/19	2014/06/26
20858	HK Bank – A50 China European Put Warrants Jun 2014 A	2014/06/19	2014/06/26
13656	HK Bank – China Life European Warrants Jun 2014 C	2014/06/19	2014/06/26
11643	HK Bank – China Tel European Warrants Jun 2014 A	2014/06/19	2014/06/26
13742	HK Bank – Tencent European Warrants Jun 2014 J	2014/06/19	2014/06/26
26955	J P Morgan SP BV – KECL European Warrants Jun 2014 A	2014/06/19	2014/06/26
13006	Nomura Int'l plc – HSBC European Warrants Jun 2014 A	2014/06/19	2014/06/26
11316	Standard Chartered Bank – NCI European Warrants Jun 2014 A	2014/06/19	2014/06/26
12962	Standard Chartered Bank – Wynn Macau European Wts Jun 2014 A	2014/06/19	2014/06/26
13905	UBS AG – China Mengniu European Warrants Jun 2014 A	2014/06/19	2014/06/26
26367	UBS AG – KECL European Warrants Jun 2014 A	2014/06/19	2014/06/26
28538	UBS AG – Lumena Newmat European Warrants Jun 2014 A	2014/06/19	2014/06/26
11868	UBS AG – SHK Ppt European Warrants Jun 2014 B	2014/06/19	2014/06/26
28812	Bank of East Asia – BYD European Warrants Jun 2014 A	2014/06/20	2014/06/27
19229	Bank of East Asia – China Coal European Put Wts Jun 2014 A	2014/06/20	2014/06/27
11327	Bank of East Asia – China Overseas Eur Put Wts Jun 2014 A	2014/06/20	2014/06/27
19609	Bank of East Asia – Dongfeng European Warrants Jun 2014 A	2014/06/20	2014/06/27
21023	Bank of East Asia – SHK Ppt European Warrants Jun 2014 A	2014/06/20	2014/06/27
11427	Credit Suisse AG – ICBC European Warrants Jun 2014 A	2014/06/20	2014/06/27
29020	Credit Suisse AG – Ping An European Warrants Jun 2014 A	2014/06/20	2014/06/27
13131	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2014 B	2014/06/20	2014/06/27
12800	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Jun 2014 B	2014/06/20	2014/06/27
28899	HK Bank – KECL European Warrants Jun 2014 A	2014/06/20	2014/06/27
12613	Nomura Int'l plc – BYD European Warrants Jun 2014 A	2014/06/20	2014/06/27
12614	Nomura Int'l plc – Ping An European Warrants Jun 2014 B	2014/06/20	2014/06/27
11906	Standard Chartered Bank – Galaxy Ent Euro Put Wts Jun 2014 C	2014/06/20	2014/06/27
14328	UBS AG – BYD European Warrants Jun 2014 B	2014/06/20	2014/06/27
11923	UBS AG – China Mobile European Warrants Jun 2014 A	2014/06/20	2014/06/27
11929	UBS AG – PetroChina European Warrants Jun 2014 B	2014/06/20	2014/06/27
11936	UBS AG – Tencent Holdings European Warrants Jun 2014 D	2014/06/20	2014/06/27
13465	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2014 B	2014/06/23	2014/06/30
13335	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2014 A	2014/06/23	2014/06/30
12698	BOCI Asia Ltd. – HSI European Put Warrants Jun 2014 A	2014/06/23	2014/06/30
11837	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jun 2014 A	2014/06/23	2014/06/30
12881	Citigroup Global Mkt H Inc. – Tencent European Wts Jun 2014 C	2014/06/23	2014/06/30
11754	Credit Suisse AG – HSI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
29635	Credit Suisse AG – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
12388	Credit Suisse AG – HSI European Warrants Jun 2014 C	2014/06/23	2014/06/30
12817	Credit Suisse AG – HSI European Warrants Jun 2014 D	2014/06/23	2014/06/30
12439	Credit Suisse AG – Kingsoft European Warrants Jun 2014 B	2014/06/23	2014/06/30
13880	Credit Suisse AG – Ping An European Warrants Jun 2014 D	2014/06/23	2014/06/30
27392	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
29386	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2014 C	2014/06/23	2014/06/30
27390	Daiwa Capital Mkt – HSCEI European Warrants Jun 2014 A	2014/06/23	2014/06/30
29384	Daiwa Capital Mkt – HSI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
12918	Daiwa Capital Mkt – HSI European Put Warrants Jun 2014 D	2014/06/23	2014/06/30
12980	Daiwa Capital Mkt – HSI European Put Warrants Jun 2014 E	2014/06/23	2014/06/30
29379	Daiwa Capital Mkt – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
12915	Daiwa Capital Mkt – HSI European Warrants Jun 2014 C	2014/06/23	2014/06/30
25864	Goldman Sachs SP (Asia) – Angang Steel Euro Wts Jun 2014 A	2014/06/23	2014/06/30
26859	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2014 A	2014/06/23	2014/06/30
28957	Goldman Sachs SP (Asia) – CPIC European Warrants Jun 2014 A	2014/06/23	2014/06/30
12612	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jun 2014 B	2014/06/23	2014/06/30
13173	Goldman Sachs SP (Asia) – HSBC European Put Wts Jun 2014 B	2014/06/23	2014/06/30
24667	Goldman Sachs SP (Asia) – HSCEI European Put Wts Jun 2014 A	2014/06/23	2014/06/30
29825	Goldman Sachs SP (Asia) – HSCEI European Put Wts Jun 2014 B	2014/06/23	2014/06/30
13247	Goldman Sachs SP (Asia) – HSCEI European Warrants Jun 2014 C	2014/06/23	2014/06/30
13162	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2014 C	2014/06/23	2014/06/30
29434	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
13161	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2014 B	2014/06/23	2014/06/30
26928	Goldman Sachs SP (Asia) – JIANC European Warrants Jun 2014 A	2014/06/23	2014/06/30
13065	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2014 B	2014/06/23	2014/06/30
11855	Goldman Sachs SP (Asia) – Tencent European Put Wt Jun 2014 C	2014/06/23	2014/06/30
28171	Goldman Sachs SP (Asia) – ZTE European Warrants Jun 2014 A	2014/06/23	2014/06/30

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
16555	HK Bank – HKEx European Warrants Jun 2014	2014/06/23	2014/06/30
27873	HK Bank – HSCEI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
28818	HK Bank – HSCEI European Put Warrants Jun 2014 C	2014/06/23	2014/06/30
26435	HK Bank – HSCEI European Warrants Jun 2014 A	2014/06/23	2014/06/30
29335	HK Bank – HSCEI European Warrants Jun 2014 D	2014/06/23	2014/06/30
29619	HK Bank – HSCEI European Warrants Jun 2014 E	2014/06/23	2014/06/30
11653	HK Bank – HSCEI European Warrants Jun 2014 F	2014/06/23	2014/06/30
13158	HK Bank – HSCEI European Warrants Jun 2014 G	2014/06/23	2014/06/30
28892	HK Bank – HSI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
11723	HK Bank – HSI European Put Warrants Jun 2014 D	2014/06/23	2014/06/30
11919	HK Bank – HSI European Put Warrants Jun 2014 G	2014/06/23	2014/06/30
11976	HK Bank – HSI European Put Warrants Jun 2014 H	2014/06/23	2014/06/30
12741	HK Bank – HSI European Warrants Jun 2014	2014/06/23	2014/06/30
28560	HK Bank – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
11652	HK Bank – HSI European Warrants Jun 2014 C	2014/06/23	2014/06/30
11909	HK Bank – HSI European Warrants Jun 2014 E	2014/06/23	2014/06/30
11910	HK Bank – HSI European Warrants Jun 2014 F	2014/06/23	2014/06/30
29228	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jun 2014 A	2014/06/23	2014/06/30
11969	J P Morgan SP BV – Anton Oilfield European Wts Jun 2014 A	2014/06/23	2014/06/30
12975	J P Morgan SP BV – BYD European Warrants Jun 2014 A	2014/06/23	2014/06/30
11897	J P Morgan SP BV – Galaxy Ent European Warrants Jun 2014 A	2014/06/23	2014/06/30
29309	J P Morgan SP BV – HSI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
11781	J P Morgan SP BV – HSI European Put Warrants Jun 2014 C	2014/06/23	2014/06/30
11784	J P Morgan SP BV – HSI European Put Warrants Jun 2014 D	2014/06/23	2014/06/30
28323	J P Morgan SP BV – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
12702	J P Morgan SP BV – HSI European Warrants Jun 2014 E	2014/06/23	2014/06/30
13400	J P Morgan SP BV – Ping An European Warrants Jun 2014 D	2014/06/23	2014/06/30
12532	J P Morgan SP BV – Tencent European Warrants Jun 2014 B	2014/06/23	2014/06/30
28294	J P Morgan SP BV – ZTE European Warrants Jun 2014 A	2014/06/23	2014/06/30
28459	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jun 2014B	2014/06/23	2014/06/30
26845	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Jun 2014 A	2014/06/23	2014/06/30
11033	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2014 C	2014/06/23	2014/06/30
13062	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2014 E	2014/06/23	2014/06/30
13429	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2014 F	2014/06/23	2014/06/30
29008	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2014 A	2014/06/23	2014/06/30
12942	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2014 D	2014/06/23	2014/06/30
12622	Nomura Int'l plc – CSOP A50 ETF European Warrants Jun 2014 A	2014/06/23	2014/06/30
13010	Nomura Int'l plc – Evergrande European Warrants Jun 2014 A	2014/06/23	2014/06/30
28615	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2014 A	2014/06/23	2014/06/30
12315	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2014 D	2014/06/23	2014/06/30
11956	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 14 B	2014/06/23	2014/06/30
11960	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 14 C	2014/06/23	2014/06/30
13043	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 14 E	2014/06/23	2014/06/30
11419	Standard Chartered Bank – AIA European Warrants Jun 2014 A	2014/06/23	2014/06/30
25370	Standard Chartered Bank – China Life Euro Put Wts Jun 2014 A	2014/06/23	2014/06/30
12632	Standard Chartered Bank – Evergrande European Wts Jun 2014 A	2014/06/23	2014/06/30
12959	Standard Chartered Bank – Galaxy Ent European Wts Jun 2014 D	2014/06/23	2014/06/30
13731	Standard Chartered Bank – Galaxy Ent European Wts Jun 2014 E	2014/06/23	2014/06/30
29169	Standard Chartered Bank – HSCEI European Put Wts Jun 2014 A	2014/06/23	2014/06/30
13686	Standard Chartered Bank – HSCEI European Put Wts Jun 2014 B	2014/06/23	2014/06/30
13032	Standard Chartered Bank – HSI European Put Wts Jun 2014 B	2014/06/23	2014/06/30
13022	Standard Chartered Bank – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
28518	Standard Chartered Bank – Tencent Hldg Euro Wts Jun 2014 B	2014/06/23	2014/06/30
12964	Standard Chartered Bank -PetroChina European Wts Jun 2014 B	2014/06/23	2014/06/30
23999	UBS AG – CNOOC European Warrants Jun 2014 B	2014/06/23	2014/06/30
27997	UBS AG – HSCEI European Put Warrants Jun 2014 C	2014/06/23	2014/06/30
29040	UBS AG – HSCEI European Put Warrants Jun 2014 E	2014/06/23	2014/06/30
26600	UBS AG – HSCEI European Warrants Jun 2014 A	2014/06/23	2014/06/30
26607	UBS AG – HSCEI European Warrants Jun 2014 B	2014/06/23	2014/06/30
29039	UBS AG – HSCEI European Warrants Jun 2014 D	2014/06/23	2014/06/30
12327	UBS AG – HSI European Put Warrants Jun 2014 B	2014/06/23	2014/06/30
12328	UBS AG – HSI European Put Warrants Jun 2014 C	2014/06/23	2014/06/30
28311	UBS AG – HSI European Warrants Jun 2014 A	2014/06/23	2014/06/30
12829	UBS AG – HSI European Warrants Jun 2014 D	2014/06/23	2014/06/30
11870	UBS AG – Hutchison European Warrants Jun 2014 C	2014/06/23	2014/06/30
11345	Bank of East Asia – Ping An European Warrants Jun 2014 A	2014/06/24	2014/07/02
13189	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Jun 2014 A	2014/06/24	2014/07/02
13169	BOCI Asia Ltd. – China Mobile European Put Wts Jun 2014 B	2014/06/24	2014/07/02
29626	BOCI Asia Ltd. – HKEx European Put Warrants Jun 2014 B	2014/06/24	2014/07/02
27960	BOCI Asia Ltd. – HKEx European Warrants Jun 2014 A	2014/06/24	2014/07/02
26992	BOCI Asia Ltd. – KECL European Warrants Jun 2014 A	2014/06/24	2014/07/02
29620	BOCI Asia Ltd. – Lenovo European Warrants Jun 2014 A	2014/06/24	2014/07/02
29149	BOCI Asia Ltd. – Ping An European Warrants Jun 2014 A	2014/06/24	2014/07/02
27993	BOCI Asia Ltd. – ZTE European Warrants Jun 2014 A	2014/06/24	2014/07/02
13167	BOCI Asia Ltd. -Tencent Holdings European Put Wts Jun 2014 B	2014/06/24	2014/07/02
14648	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jun 2014 A	2014/06/24	2014/07/02
11955	Credit Suisse – Cheung Kong European Put Warrants Jun 2014 A	2014/06/24	2014/07/02
12478	Credit Suisse – Cheung Kong European Put Warrants Jun 2014 B	2014/06/24	2014/07/02
11089	Credit Suisse – PetroChina European Put Warrants Jun 2014 A	2014/06/24	2014/07/02
11378	Credit Suisse AG – AIA European Warrants Jun 2014 A	2014/06/24	2014/07/02
11395	Credit Suisse AG – Anton Oilfield European Warrants Jun 14 A	2014/06/24	2014/07/02
12440	Credit Suisse AG – CC Bank European Warrants Jun 2014 A	2014/06/24	2014/07/02
11946	Credit Suisse AG – Ch Overseas European Put Warrants Jun 14B	2014/06/24	2014/07/02
12581	Credit Suisse AG – China Coal European Warrants Jun 2014 C	2014/06/24	2014/07/02
11087	Credit Suisse AG – China Life European Put Warrants Jun 14 A	2014/06/24	2014/07/02
12663	Credit Suisse AG – China Life European Warrants Jun 2014 B	2014/06/24	2014/07/02
12483	Credit Suisse AG – China Mobile European Warrants Jun 2014 C	2014/06/24	2014/07/02

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
11124	Credit Suisse AG – CM Bank European Warrants Jun 2014 A	2014/06/24	2014/07/02
12748	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2014 A	2014/06/24	2014/07/02
11740	Credit Suisse AG – Galaxy Ent European Warrants Jun 2014 B	2014/06/24	2014/07/02
11750	Credit Suisse AG – Greatwall Motor European Wts Jun 2014 A	2014/06/24	2014/07/02
11390	Credit Suisse AG – HKEx European Warrants Jun 2014 B	2014/06/24	2014/07/02
11996	Credit Suisse AG – Hutchison European Warrants Jun 2014 D	2014/06/24	2014/07/02
26427	Credit Suisse AG – KECL European Warrants Jun 2014 A	2014/06/24	2014/07/02
11674	Credit Suisse AG – Ping An European Warrants Jun 2014 C	2014/06/24	2014/07/02
14245	Credit Suisse AG – Sinopec Corp European Warrants Jun 2014 A	2014/06/24	2014/07/02
26241	Credit Suisse AG – Stanchart European Warrants Jun 2014 A	2014/06/24	2014/07/02
11993	Credit Suisse AG – Tencent Holdings Euro Put Wts Jun 2014 I	2014/06/24	2014/07/02
25219	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 A	2014/06/24	2014/07/02
28378	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 B	2014/06/24	2014/07/02
11500	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 C	2014/06/24	2014/07/02
12480	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 J	2014/06/24	2014/07/02
13772	Credit Suisse AG – Tencent Holdings European Wts Jun 2014 K	2014/06/24	2014/07/02
12579	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2014 B	2014/06/24	2014/07/02
11882	Credit Suisse AG – ZTE European Put Warrants Jun 2014 B	2014/06/24	2014/07/02
26906	Credit Suisse AG – ZTE European Warrants Jun 2014 A	2014/06/24	2014/07/02
27127	Daiwa Capital Mkt – Ch Overseas European Warrants Jun 2014 A	2014/06/24	2014/07/02
11039	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Jun 2014 B	2014/06/24	2014/07/02
11038	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2014 A	2014/06/24	2014/07/02
26568	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Jun 2014 A	2014/06/24	2014/07/02
11255	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Jun 2014 A	2014/06/24	2014/07/02
11968	Goldman Sachs SP (Asia) – CC Bank European Wts Jun 2014 B	2014/06/24	2014/07/02
11515	Goldman Sachs SP (Asia) – Ch COSCO European Wts Jun 2014 A	2014/06/24	2014/07/02
28346	Goldman Sachs SP (Asia) – China Coal European Wts Jun 2014 A	2014/06/24	2014/07/02
11622	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2014 A	2014/06/24	2014/07/02
27006	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jun 2014 A	2014/06/24	2014/07/02
28844	Goldman Sachs SP (Asia) – CNBM European Warrants Jun 2014 B	2014/06/24	2014/07/02
11105	Goldman Sachs SP (Asia) – CR Cement European Wts Jun 2014 A	2014/06/24	2014/07/02
27784	Goldman Sachs SP (Asia) – Evergrande European Wts Jun 2014 A	2014/06/24	2014/07/02
11621	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jun 2014 A	2014/06/24	2014/07/02
25925	Goldman Sachs SP (Asia) – Geely Auto European Wts Jun 2014 A	2014/06/24	2014/07/02
29358	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2014 B	2014/06/24	2014/07/02
11964	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2014 A	2014/06/24	2014/07/02
28011	Goldman Sachs Sp (Asia) – ICBC European Warrants Jun 2014 A	2014/06/24	2014/07/02
11107	Goldman Sachs SP (Asia) – Lenovo European Wts Jun 2014 A	2014/06/24	2014/07/02
28762	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2014 A	2014/06/24	2014/07/02
28824	Goldman Sachs SP (Asia) – PICC Group European Wts Jun 2014 A	2014/06/24	2014/07/02
27297	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Jun 2014 A	2014/06/24	2014/07/02
11109	Goldman Sachs SP (Asia) – Ping An European Wts Jun 2014 B	2014/06/24	2014/07/02
28260	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Jun 2014 A	2014/06/24	2014/07/02
27102	Goldman Sachs SP (Asia) – Sh Pharma European Wts Jun 2014 A	2014/06/24	2014/07/02
27782	Goldman Sachs SP (Asia) – Shanghai Ind Euro Wts Jun 2014 A	2014/06/24	2014/07/02
28195	Goldman Sachs SP (Asia) – SHK Ppt European Put Wt Jun 2014 A	2014/06/24	2014/07/02
28758	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jun 2014 B	2014/06/24	2014/07/02
28964	Goldman Sachs SP (Asia) – Tencent European Put Wt Jun 2014 B	2014/06/24	2014/07/02
26997	Goldman Sachs SP (Asia) – Wharf European Warrants Jun 2014 A	2014/06/24	2014/07/02
28960	Goldman Sachs SP (Asia) -Tencent European Wt Jun 2014 A	2014/06/24	2014/07/02
11966	Goldman Sachs SP (Asia) -Tencent European Wt Jun 2014 D	2014/06/24	2014/07/02
12476	Goldman Sachs SP (Asia) -Tencent European Wt Jun 2014 E	2014/06/24	2014/07/02
11790	HK Bank – A50 China European Warrants Jun 2014 C	2014/06/24	2014/07/02
28240	HK Bank – AIA European Warrants Jun 2014 A	2014/06/24	2014/07/02
11645	HK Bank – AIA European Warrants Jun 2014 B	2014/06/24	2014/07/02
13102	HK Bank – Anhui Conch European Warrants Jun 2014 B	2014/06/24	2014/07/02
28561	HK Bank – Ch Ship Cont European Warrants Jun 2014 A	2014/06/24	2014/07/02
27713	HK Bank – Cheung Kong European Warrants Jun 2014 A	2014/06/24	2014/07/02
28188	HK Bank – China Coal European Warrants Jun 2014 A	2014/06/24	2014/07/02
28901	HK Bank – China COSCO European Warrants Jun 2014 A	2014/06/24	2014/07/02
11147	HK Bank – China Life European Warrants Jun 2014 B	2014/06/24	2014/07/02
28952	HK Bank – China Mobile European Warrants Jun 2014 A	2014/06/24	2014/07/02
11719	HK Bank – China Mobile European Warrants Jun 2014 B	2014/06/24	2014/07/02
22249	HK Bank – CM Bank European Warrants Jun 2014 A	2014/06/24	2014/07/02
26410	HK Bank – CNBM European Warrants Jun 2014 A	2014/06/24	2014/07/02
26433	HK Bank – CPIC European Warrants Jun 2014 A	2014/06/24	2014/07/02
29318	HK Bank – CPIC European Warrants Jun 2014 B	2014/06/24	2014/07/02
28137	HK Bank – CSR European Warrants Jun 2014 A	2014/06/24	2014/07/02
11577	HK Bank – CSR European Warrants Jun 2014 B	2014/06/24	2014/07/02
27517	HK Bank – Esprit European Warrants Jun 2014 A	2014/06/24	2014/07/02
11017	HK Bank – Foxconn European Warrants Jun 2014 A	2014/06/24	2014/07/02
11360	HK Bank – Galaxy Ent European Warrants Jun 2014 A	2014/06/24	2014/07/02
11851	HK Bank – Galaxy Ent European Warrants Jun 2014 B	2014/06/24	2014/07/02
11576	HK Bank – GCL-Poly Energy European Warrants Jun 2014 A	2014/06/24	2014/07/02
11914	HK Bank – Geely Auto European Warrants Jun 2014 A	2014/06/24	2014/07/02
11238	HK Bank – Henderson Land Dev European Warrants Jun 2014 A	2014/06/24	2014/07/02
28846	HK Bank – HWL European Warrants Jun 2014 A	2014/06/24	2014/07/02
11357	HK Bank – HWL European Warrants Jun 2014 B	2014/06/24	2014/07/02
11358	HK Bank – HWL European Warrants Jun 2014 C	2014/06/24	2014/07/02
11721	HK Bank – Lenovo European Warrants Jun 2014 A	2014/06/24	2014/07/02
28950	HK Bank – PetroChina European Warrants Jun 2014 A	2014/06/24	2014/07/02
11295	HK Bank – PICC Group European Warrants Jun 2014 A	2014/06/24	2014/07/02
11097	HK Bank – Ping An European Put Warrants Jun 2014 A	2014/06/24	2014/07/02
11916	HK Bank – Ping An European Warrants Jun 2014 B	2014/06/24	2014/07/02
11359	HK Bank – Sands China European Warrants Jun 2014 A	2014/06/24	2014/07/02
11649	HK Bank – SHK Ppt European Warrants Jun 2014 B	2014/06/24	2014/07/02
29317	HK Bank – Sinopec Corp European Warrants Jun 2014 A	2014/06/24	2014/07/02

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
11483	HK Bank – Tencent European Put Warrants Jun 2014 B	2014/06/24	2014/07/02
13121	HK Bank – Tencent European Put Warrants Jun 2014 F	2014/06/24	2014/07/02
13740	HK Bank – Tencent European Put Warrants Jun 2014 H	2014/06/24	2014/07/02
11286	HK Bank – Tencent European Warrants Jun 2014 A	2014/06/24	2014/07/02
13297	HK Bank – Tencent European Warrants Jun 2014 G	2014/06/24	2014/07/02
11726	HK Bank – Wharf European Warrants Jun 2014 A	2014/06/24	2014/07/02
26537	HK Bank -BYD European Warrants Jun 2014 A	2014/06/24	2014/07/02
12995	J P Morgan SP BV – HSBC European Warrants Jun 2014 C	2014/06/24	2014/07/02
11349	J P Morgan SP BV – Sands China European Put Wts Jun 2014 A	2014/06/24	2014/07/02
28678	Macquarie Bank Ltd. – AAC Acoustic European Wts Jun 2014 A	2014/06/24	2014/07/02
15477	Nomura Int'l plc – Air China European Warrants Jun 2014	2014/06/24	2014/07/02
11702	Nomura Int'l plc – BJ Ent Water European Warrants Jun 2014 A	2014/06/24	2014/07/02
11903	Nomura Int'l plc – CSR European Warrants Jun 2014 A	2014/06/24	2014/07/02
21983	Nomura Int'l plc – Digital China European Wts Jun 2014 A	2014/06/24	2014/07/02
18444	Nomura Int'l plc – HKEx European Warrants Jun 2014 A	2014/06/24	2014/07/02
11700	Nomura Int'l plc – Hutchison European Put Warrants Jun 2014B	2014/06/24	2014/07/02
28613	Nomura Int'l plc – ICBC European Warrants Jun 2014 A	2014/06/24	2014/07/02
11375	Nomura Int'l plc – Ping An European Warrants Jun 2014 A	2014/06/24	2014/07/02
12270	Nomura Int'l plc – Tencent Holdings Euro Warrants Jun 2014 A	2014/06/24	2014/07/02
13532	Nomura Int'l plc – Tencent Holdings Euro Warrants Jun 2014 B	2014/06/24	2014/07/02
12847	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jun14C	2014/06/24	2014/07/02
28252	Standard Chartered Bank – CC Bank European Put Wts Jun2014 A	2014/06/24	2014/07/02
28373	Standard Chartered Bank – China Mobile Eur Put Wt Jun 2014 A	2014/06/24	2014/07/02
11829	Standard Chartered Bank – CNOOC European Warrants Jun 2014 A	2014/06/24	2014/07/02
28933	Standard Chartered Bank – Esprit European Wts Jun 2014 A	2014/06/24	2014/07/02
11323	Standard Chartered Bank – Foxconn European Wts Jun 2014 A	2014/06/24	2014/07/02
25519	Standard Chartered Bank – Galaxy Ent European Wts Jun 2014 A	2014/06/24	2014/07/02
28255	Standard Chartered Bank – HKEx European Warrants Jun 2014 A	2014/06/24	2014/07/02
26635	Standard Chartered Bank – SHK P European Warrants Jun 2014 A	2014/06/24	2014/07/02
13208	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jun 2014 E	2014/06/24	2014/07/02
12339	UBS AG – A50 China European Warrants Jun 2014 B	2014/06/24	2014/07/02
12000	UBS AG – AIA European Warrants Jun 2014 B	2014/06/24	2014/07/02
11797	UBS AG – Anhui Conch European Warrants Jun 2014 A	2014/06/24	2014/07/02
12302	UBS AG – BYD European Warrants Jun 2014 A	2014/06/24	2014/07/02
12878	UBS AG – China Life European Warrants Jun 2014 A	2014/06/24	2014/07/02
12338	UBS AG – China National Building European Wts Jun 2014 B	2014/06/24	2014/07/02
29363	UBS AG – Esprit European Warrants Jun 2014 A	2014/06/24	2014/07/02
12337	UBS AG – Evergrande European Warrants Jun 2014 A	2014/06/24	2014/07/02
11867	UBS AG – Galaxy Ent European Warrants Jun 2014 C	2014/06/24	2014/07/02
12334	UBS AG – GCL-Poly Energy European Warrants Jun 2014 A	2014/06/24	2014/07/02
12344	UBS AG – Greatwall Motor European Warrants Jun 2014 B	2014/06/24	2014/07/02
12333	UBS AG – Kingsoft European Warrants Jun 2014 B	2014/06/24	2014/07/02
12347	UBS AG – Sands China European Warrants Jun 2014 B	2014/06/24	2014/07/02
29261	UBS AG – Sinopec Corp European Warrants Jun 2014 A	2014/06/24	2014/07/02
11928	UBS AG – SJM Holdings European Warrants Jun 2014 A	2014/06/24	2014/07/02
12303	UBS AG – Wynn Macau European Warrants Jun 2014 A	2014/06/24	2014/07/02
27757	UBS AG – ZTE European Warrants Jun 2014 C	2014/06/24	2014/07/02
13311	BNP Paribas Arbit Issu B.V. – ZTE European Wts Jul 2014 B	2014/06/25	2014/07/03
13205	BOCI Asia Ltd. – Tencent Holdings European Wts Jul 2014 B	2014/06/25	2014/07/03
20028	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Jul 2014 A	2014/06/25	2014/07/03
12418	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Jul 2014 A	2014/06/25	2014/07/03
12910	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jul 2014 A	2014/06/25	2014/07/03
29270	Credit Suisse AG – HKEx European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
28879	Credit Suisse AG – Li & Fung European Warrants Jan 2015 A	2014/07/02	2014/07/03
11690	Credit Suisse AG – Li & Fung European Warrants Mar 2015 A	2014/07/02	2014/07/03
19552	Daiwa Capital Mkt – A50 China European Put Wts Jul 2014 A	2014/06/25	2014/07/03
11137	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Jul 2014 A	2014/06/25	2014/07/03
28546	Daiwa Capital Mkt – BOCL European Put Warrants Jul 2014 A	2014/06/25	2014/07/03
23897	Daiwa Capital Mkt – CCB European Put Warrants Jul 2014 A	2014/06/25	2014/07/03
27944	Daiwa Capital Mkt – Ch Overseas European Put Wts Jul 2014 A	2014/06/25	2014/07/03
11139	Daiwa Capital Mkt – China Life European Put Wts Jul 2014 D	2014/06/25	2014/07/03
12111	Daiwa Capital Mkt – HKEx European Put Warrants Jul 2014 A	2014/06/25	2014/07/03
29944	Daiwa Capital Mkt – HKEx European Warrants Jul 2014 B	2014/06/25	2014/07/03
25833	Daiwa Capital Mkt – PetroChina European Put Wts Jul 2014 A	2014/06/25	2014/07/03
12914	Daiwa Capital Mkt – PetroChina European Warrants Jul 2014 B	2014/06/25	2014/07/03
13130	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2014 B	2014/06/25	2014/07/03
13573	Goldman Sachs SP (Asia) – A50 China European Wts Jul 2014 A	2014/06/25	2014/07/03
11170	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Jul 2014 A	2014/06/25	2014/07/03
11967	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2014 A	2014/06/25	2014/07/03
21974	Goldman Sachs SP (Asia) – CNBM European Warrants Jul 2014 A	2014/06/25	2014/07/03
11171	Goldman Sachs SP (Asia) – CR Cement European Wts Jul 2014 A	2014/06/25	2014/07/03
13215	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Jul 2014 A	2014/06/25	2014/07/03
12374	HK Bank – Cheung Kong European Warrants Jul 2014 A	2014/06/25	2014/07/03
12324	HK Bank – CSOP A50 ETF European Warrants Jul 2014 A	2014/06/25	2014/07/03
12325	HK Bank – CSOP A50 ETF European Warrants Jul 2014 B	2014/06/25	2014/07/03
12321	HK Bank – GCL-Poly Energy European Warrants Jul 2014 A	2014/06/25	2014/07/03
12368	HK Bank – Sands China European Warrants Jul 2014 A	2014/06/25	2014/07/03
12371	HK Bank – Tencent European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
18783	HK Bank – Tencent European Warrants Jul 2014 A	2014/06/25	2014/07/03
12425	J P Morgan SP BV – A50 China European Warrants Jul 2014 A	2014/06/25	2014/07/03
26922	J P Morgan SP BV – AAC Acoustic European Warrants Jul 2014 A	2014/06/25	2014/07/03
25355	J P Morgan SP BV – BEA European Warrants Jul 2014 A	2014/06/25	2014/07/03
11226	J P Morgan SP BV – BJ Ent Water European Warrants Jul 2014 A	2014/06/25	2014/07/03
12427	J P Morgan SP BV – CAM CSI300 European Warrants Jul 2014 A	2014/06/25	2014/07/03
14537	J P Morgan SP BV – CC Bank Euro Put Wts Jul 2014	2014/06/25	2014/07/03
27376	J P Morgan SP BV – Ch Overseas European Put Wts Jul 2014 B	2014/06/25	2014/07/03
25352	J P Morgan SP BV – Ch Overseas European Warrants Jul 2014 A	2014/06/25	2014/07/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28440	J P Morgan SP BV – CHALCO European Warrants Jul 2014 A	2014/06/25	2014/07/03
29093	J P Morgan SP BV – China Life European Warrants Jul 2014 B	2014/06/25	2014/07/03
29229	J P Morgan SP BV – China Life European Warrants Jul 2014 C	2014/06/25	2014/07/03
12428	J P Morgan SP BV – China Tel European Warrants Jul 2014 A	2014/06/25	2014/07/03
25506	J P Morgan SP BV – CITIC Bank European Warrants Jul 2014 A	2014/06/25	2014/07/03
22876	J P Morgan SP BV – CM Bank European Warrants Jul 2014 A	2014/06/25	2014/07/03
22964	J P Morgan SP BV – CNOOC European Warrants Jul 2014 A	2014/06/25	2014/07/03
29091	J P Morgan SP BV – CPIC European Warrants Jul 2014 A	2014/06/25	2014/07/03
12426	J P Morgan SP BV – CSOP A50 ETF European Warrants Jul 2014 A	2014/06/25	2014/07/03
12250	J P Morgan SP BV – Esprit European Put Warrants Jul 2014 A	2014/06/25	2014/07/03
28223	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2014 A	2014/06/25	2014/07/03
12857	J P Morgan SP BV – Greatwall Motor European Wts Jul 2014 A	2014/06/25	2014/07/03
13571	J P Morgan SP BV – Hutchison European Warrants Jul 2014 A	2014/06/25	2014/07/03
25346	J P Morgan SP BV – JX Copper European Warrants Jul 2014 A	2014/06/25	2014/07/03
22757	J P Morgan SP BV – PICC European Warrants Jul 2014 A	2014/06/25	2014/07/03
11594	J P Morgan SP BV – Sands China European Warrants Jul 2014 A	2014/06/25	2014/07/03
29604	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2014 A	2014/06/25	2014/07/03
29174	J P Morgan SP BV – SJM Holdings European Warrants Jul 2014 A	2014/06/25	2014/07/03
12218	J P Morgan SP BV – Stanchart European Warrants Jul 2014 A	2014/06/25	2014/07/03
11476	J P Morgan SP BV – Tencent European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
11477	J P Morgan SP BV – Tencent European Warrants Jul 2014 C	2014/06/25	2014/07/03
12809	J P Morgan SP BV – Tencent European Warrants Jul 2014 E	2014/06/25	2014/07/03
25340	J P Morgan SP BV – ZTE European Warrants Jul 2014 A	2014/06/25	2014/07/03
26732	J P Morgan SP BV – ZTE European Warrants Jul 2014 B	2014/06/25	2014/07/03
13443	Nomura Int'l plc – Ping An European Warrants Jul 2014 A	2014/06/25	2014/07/03
25751	Nomura Int'l plc – ZTE European Warrants Jul 2014 A	2014/06/25	2014/07/03
28403	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Jul 2014 A	2014/06/25	2014/07/03
11154	SGA Societe Generale Acceptance N.V. – AAC Tech Eu Wt Jul14A	2014/06/25	2014/07/03
28349	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jul 2014 A	2014/06/25	2014/07/03
13380	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jul 2014 B	2014/06/25	2014/07/03
11559	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Jul 2014 A	2014/06/25	2014/07/03
12376	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 14 A	2014/06/25	2014/07/03
12926	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 14 C	2014/06/25	2014/07/03
28061	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Jul 14 A	2014/06/25	2014/07/03
11623	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jul 14 A	2014/06/25	2014/07/03
13266	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jul 14 B	2014/06/25	2014/07/03
12590	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul 2014A	2014/06/25	2014/07/03
12591	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul 2014B	2014/06/25	2014/07/03
29242	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Jul 2014 A	2014/06/25	2014/07/03
12555	SGA Societe Generale Acceptance N.V. – CMB Eu Put Wt Jul14B	2014/06/25	2014/07/03
11908	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jul 2014 A	2014/06/25	2014/07/03
12813	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jul 2014 B	2014/06/25	2014/07/03
28162	SGA Societe Generale Acceptance N.V. – CPIC Eu Put Wt Jul14A	2014/06/25	2014/07/03
11157	SGA Societe Generale Acceptance N.V. – CSR Euro Wts Jul 14 A	2014/06/25	2014/07/03
11659	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Jul 14 A	2014/06/25	2014/07/03
12729	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wt Jul14 A	2014/06/25	2014/07/03
11216	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jul14A	2014/06/25	2014/07/03
11921	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jul14B	2014/06/25	2014/07/03
29939	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2014 A	2014/06/25	2014/07/03
13046	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2014 B	2014/06/25	2014/07/03
27601	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Jul 14 A	2014/06/25	2014/07/03
11587	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Jul 14 B	2014/06/25	2014/07/03
12379	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2014 A	2014/06/25	2014/07/03
12381	SGA Societe Generale Acceptance N.V. – HWL Eur Put Wt Jul14D	2014/06/25	2014/07/03
28911	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2014 A	2014/06/25	2014/07/03
11513	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2014 B	2014/06/25	2014/07/03
11695	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2014 C	2014/06/25	2014/07/03
28069	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2014 A	2014/06/25	2014/07/03
26448	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Jul 2014 A	2014/06/25	2014/07/03
11222	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Jul 2014 B	2014/06/25	2014/07/03
11858	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Jul14A	2014/06/25	2014/07/03
11859	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2014A	2014/06/25	2014/07/03
12516	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2014B	2014/06/25	2014/07/03
11666	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul 2014A	2014/06/25	2014/07/03
13345	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul 2014B	2014/06/25	2014/07/03
11179	SGA Societe Generale Acceptance N.V. – Anton Oil Eu Wt Jul14A	2014/06/25	2014/07/03
11602	SGA Societe Generale Acceptance N.V. – CCoal Eu Wt Jul 2014 A	2014/06/25	2014/07/03
11215	SGA Societe Generale Acceptance N.V. – CITIC Pac Eu Wt Jul14A	2014/06/25	2014/07/03
29015	SGA Societe Generale Acceptance N.V. – CLife Eu Put Wt Jul14A	2014/06/25	2014/07/03
11922	SGA Societe Generale Acceptance N.V. – Greatwall Eu Wt Jul14A	2014/06/25	2014/07/03
27623	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul14A	2014/06/25	2014/07/03
28536	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 14 A	2014/06/25	2014/07/03
29115	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 14 B	2014/06/25	2014/07/03
29131	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 14 C	2014/06/25	2014/07/03
29940	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 14 E	2014/06/25	2014/07/03
11664	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 14 F	2014/06/25	2014/07/03
28005	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 14 A	2014/06/25	2014/07/03
11055	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 14 D	2014/06/25	2014/07/03
12630	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 14 G	2014/06/25	2014/07/03
12684	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jul14A	2014/06/25	2014/07/03
11857	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Jul14B	2014/06/25	2014/07/03
12927	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Jul14C	2014/06/25	2014/07/03
11605	SGA Societe Generale Acceptance N.V. – Galaxy Eu Put Wt Jul14A	2014/06/25	2014/07/03
11217	SGA Societe Generale Acceptance N.V. – Geely Auto Eu Wt Jul14A	2014/06/25	2014/07/03
12317	SGA Societe Generale Acceptance N.V. – Wynn Macau Eu Wt Jul14A	2014/06/25	2014/07/03
27598	SGA Societe Generale Acceptance N.V. – ZTE Eu Wt Jul 2014 A	2014/06/25	2014/07/03
12627	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Jul14A	2014/06/25	2014/07/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
11260	SGA Societe Generale Acceptance N.V-Chi COSCO Eu Wt Jul 14 A	2014/06/25	2014/07/03
11709	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Jul14 B	2014/06/25	2014/07/03
11660	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Jul14A	2014/06/25	2014/07/03
29134	SGA Societe Generale Acceptance N.V-Ping An Eu Put Wt Jul14D	2014/06/25	2014/07/03
13306	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jul14B	2014/06/25	2014/07/03
13750	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jul14C	2014/06/25	2014/07/03
28907	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Jul14B	2014/06/25	2014/07/03
29018	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Jul14C	2014/06/25	2014/07/03
11549	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Jul14E	2014/06/25	2014/07/03
11667	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Jul14F	2014/06/25	2014/07/03
11920	SGA Societe Generale Acceptance NV-Ch Ship Cont Eu Wt Jul14A	2014/06/25	2014/07/03
11707	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul14A	2014/06/25	2014/07/03
11589	SGA Societe Generale Acceptance NV-Sands Ch Eu Put Wt Jul14A	2014/06/25	2014/07/03
11697	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jul14A	2014/06/25	2014/07/03
11698	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jul14B	2014/06/25	2014/07/03
27083	Standard Chartered Bank – Anhui Conch Eur Put Wt Jul 2014 A	2014/06/25	2014/07/03
13024	Standard Chartered Bank – Cheung Kong Euro Wts Jul 2014 A	2014/06/25	2014/07/03
11443	Standard Chartered Bank – China Overseas Euro Wt Jul 2014 A	2014/06/25	2014/07/03
29495	Standard Chartered Bank – HKEx European Warrants Jul 2014 B	2014/06/25	2014/07/03
11433	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2014 A	2014/06/25	2014/07/03
25265	Standard Chartered Bank – Lenovo European Wts Jul 2014 A	2014/06/25	2014/07/03
12400	UBS AG – A50 China European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
12405	UBS AG – AIA European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
23186	UBS AG – CC Bank European Put Warrants Jul 2014 A	2014/06/25	2014/07/03
12392	UBS AG – China Mobile European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
12398	UBS AG – HKEx European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
12407	UBS AG – Sands China European Put Warrants Jul 2014 A	2014/06/25	2014/07/03
12391	UBS AG – SPDR Gold Trust European Warrants Jul 2014 A	2014/06/25	2014/07/03
12409	UBS AG – Tencent Holdings European Put Warrants Jul 2014 B	2014/06/25	2014/07/03
12571	UBS AG – Yanzhou Coal European Wts Jul 2014 A	2014/06/25	2014/07/03
13956	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jul 2014 A	2014/06/26	2014/07/04
13310	BNP Paribas Arbit Issu B.V. – AIA European Wts Jul 2014 A	2014/06/26	2014/07/04
26622	BNP Paribas Arbit Issu B.V. – Angang Steel Eur Wt Jul 2014 A	2014/06/26	2014/07/04
89003	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jul 2014 A	2014/06/26	2014/07/04
28830	BNP Paribas Arbit Issu B.V. – Ch Mer Hold Euro Wts Jul 2014A	2014/06/26	2014/07/04
28744	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Jul 2014 A	2014/06/26	2014/07/04
26624	BNP Paribas Arbit Issu B.V. – Ch Oil & Gas Eur Wt Jul 2014 A	2014/06/26	2014/07/04
27428	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Jul 2014 A	2014/06/26	2014/07/04
27500	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jul 2014 A	2014/06/26	2014/07/04
24148	BNP Paribas Arbit Issu B.V. – Chi Resources Eu Wt Jul 2014 A	2014/06/26	2014/07/04
28087	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Jul 2014 A	2014/06/26	2014/07/04
13017	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Jul 2014 A	2014/06/26	2014/07/04
21606	BNP Paribas Arbit Issu B.V. – COSCO Pacific Eu Wt Jul 2014 A	2014/06/26	2014/07/04
26305	BNP Paribas Arbit Issu B.V. – CQRC Bank Euro Wts Jul 2014 A	2014/06/26	2014/07/04
27912	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Jul 2014 A	2014/06/26	2014/07/04
28831	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Jul 2014 A	2014/06/26	2014/07/04
28383	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Jul 14 A	2014/06/26	2014/07/04
23943	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2014 B	2014/06/26	2014/07/04
11250	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2014 C	2014/06/26	2014/07/04
26079	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Jul 2014 A	2014/06/26	2014/07/04
11247	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Jul 2014 A	2014/06/26	2014/07/04
13958	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2014 B	2014/06/26	2014/07/04
11248	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2014 A	2014/06/26	2014/07/04
13701	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Jul 2014 A	2014/06/26	2014/07/04
11494	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Jul 2014 A	2014/06/26	2014/07/04
24198	BNP Paribas Arbit Issu B.V. – Lumena Newmat Eu Wt Jul 2014 A	2014/06/26	2014/07/04
27804	BNP Paribas Arbit Issu B.V. – Ping An Eur Put Wts Jul 2014 B	2014/06/26	2014/07/04
12155	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jul 2014 A	2014/06/26	2014/07/04
27104	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Jul 2014 A	2014/06/26	2014/07/04
29056	BNP Paribas Arbit Issu B.V. – SHK Ppt Eu Put Wts Jul 2014 B	2014/06/26	2014/07/04
22991	BNP Paribas Arbit Issu B.V. – Sino Land Euro Wt Jul 2014 A	2014/06/26	2014/07/04
12497	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jul 2014 B	2014/06/26	2014/07/04
13144	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jul 2014 C	2014/06/26	2014/07/04
28458	BNP Paribas Arbit Issu B.V. – ZTE Euro Put Wts Jul 2014 A	2014/06/26	2014/07/04
29055	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Jul 2014 A	2014/06/26	2014/07/04
13490	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Jul 2014 C	2014/06/26	2014/07/04
26099	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jul 2014 A	2014/06/26	2014/07/04
28834	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Jul 2014 A	2014/06/26	2014/07/04
11166	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Jul 2014 A	2014/06/26	2014/07/04
27744	Credit Suisse AG – Lumena Newmat European Wts Jul 2014 A	2014/06/26	2014/07/04
12365	J P Morgan SP BV – Kingsoft European Warrants Jul 2014 A	2014/06/26	2014/07/04
29613	J P Morgan SP BV – Anhui Conch European Warrants Jul 2014 A	2014/06/26	2014/07/04
28971	J P Morgan SP BV – CC Bank Euro Put Wts Jul 2014 A	2014/06/26	2014/07/04
28535	J P Morgan SP BV – China Life European Warrants Jul 2014 A	2014/06/26	2014/07/04
12319	J P Morgan SP BV – China Mob European Wts Jul 2014 A	2014/06/26	2014/07/04
11787	J P Morgan SP BV – Galaxy Ent European Put Wts Jul 2014 B	2014/06/26	2014/07/04
11187	J P Morgan SP BV – Henderson Land Dev European Wt Jul 2014 A	2014/06/26	2014/07/04
11183	J P Morgan SP BV – ICBC European Put Wts Jul 2014 A	2014/06/26	2014/07/04
11184	J P Morgan SP BV – ICBC European Warrants Jul 2014 B	2014/06/26	2014/07/04
29393	J P Morgan SP BV – Melco Int'l Dev European Wts Jul 2014 A	2014/06/26	2014/07/04
28970	J P Morgan SP BV – SHK Ppt European Warrants Jul 2014 A	2014/06/26	2014/07/04
28044	J P Morgan SP BV – Tencent European Warrants Jul 2014 A	2014/06/26	2014/07/04
11779	J P Morgan SP BV – Wynn Macau European Warrants Jul 2014 A	2014/06/26	2014/07/04
29188	J P Morgan SP BV – Zhuzhou CSR European Warrants Jul 2014 A	2014/06/26	2014/07/04
25263	Macquarie Bank Ltd. – A50 China European Put Wts Jul 2014 A	2014/06/26	2014/07/04
11001	Macquarie Bank Ltd. – AIA European Warrants Jul 2014 A	2014/06/26	2014/07/04
11823	Macquarie Bank Ltd. – Anhui Conch European Wts Jul 2014 A	2014/06/26	2014/07/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28095	Macquarie Bank Ltd. – Anta Sports European Wts Jul 2014 A	2014/06/26	2014/07/04
11151	Macquarie Bank Ltd. – BYD European Warrants Jul 2014 A	2014/06/26	2014/07/04
23072	Macquarie Bank Ltd. – Ch State Con European Wts Jul 2014 A	2014/06/26	2014/07/04
24767	Macquarie Bank Ltd. – CHALCO European Warrants Jul 2014 A	2014/06/26	2014/07/04
11962	Macquarie Bank Ltd. – Cheung Kong Euro Put Wts Jul 2014 A	2014/06/26	2014/07/04
12753	Macquarie Bank Ltd. – Cheung Kong European Wts Jul 2014 B	2014/06/26	2014/07/04
29719	Macquarie Bank Ltd. – China Coal European Wts Jul 2014 A	2014/06/26	2014/07/04
29795	Macquarie Bank Ltd. – China COSCO European Wts Jul 2014 A	2014/06/26	2014/07/04
12871	Macquarie Bank Ltd. – China Life European Wts Jul 2014 A	2014/06/26	2014/07/04
11753	Macquarie Bank Ltd. – China Mobile European Wts Jul 2014 A	2014/06/26	2014/07/04
12868	Macquarie Bank Ltd. – China Tel European Warrants Jul 2014 A	2014/06/26	2014/07/04
11463	Macquarie Bank Ltd. – CITIC Bank European Wts Jul 2014 A	2014/06/26	2014/07/04
26486	Macquarie Bank Ltd. – CM Bank European Warrants Jul 2014 A	2014/06/26	2014/07/04
11411	Macquarie Bank Ltd. – Country Garden European Wts Jul 2014 B	2014/06/26	2014/07/04
11596	Macquarie Bank Ltd. – CQRC Bank European Warrants Jul 2014 A	2014/06/26	2014/07/04
12390	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jul 2014 A	2014/06/26	2014/07/04
11488	Macquarie Bank Ltd. – CSR Times European Warrants Jul 2014 A	2014/06/26	2014/07/04
22926	Macquarie Bank Ltd. – Digital China European Wts Jul 2014 A	2014/06/26	2014/07/04
11818	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2014 A	2014/06/26	2014/07/04
12656	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2014 B	2014/06/26	2014/07/04
11410	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jul 2014 A	2014/06/26	2014/07/04
28977	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jul 2014 A	2014/06/26	2014/07/04
21092	Macquarie Bank Ltd. – HKEx European Warrants Jul 2014 A	2014/06/26	2014/07/04
11412	Macquarie Bank Ltd. – HSBC European Warrants Jul 2014 A	2014/06/26	2014/07/04
12654	Macquarie Bank Ltd. – HSBC European Warrants Jul 2014 B	2014/06/26	2014/07/04
28390	Macquarie Bank Ltd. – ICBC European Warrants Jul 2014 A	2014/06/26	2014/07/04
26120	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jul 2014 A	2014/06/26	2014/07/04
27419	Macquarie Bank Ltd. – KECL European Warrants Jul 2014 A	2014/06/26	2014/07/04
27233	Macquarie Bank Ltd. – Link REIT European Warrants Jul 2014 A	2014/06/26	2014/07/04
21648	Macquarie Bank Ltd. – Luk Fook Hold European Wts Jul 2014 A	2014/06/26	2014/07/04
27135	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Jul 2014 A	2014/06/26	2014/07/04
28337	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Jul 2014 B	2014/06/26	2014/07/04
11399	Macquarie Bank Ltd. – New World Dev European Wts Jul 2014 A	2014/06/26	2014/07/04
27276	Macquarie Bank Ltd. – Sh Electric European Wts Jul 2014 A	2014/06/26	2014/07/04
26679	Macquarie Bank Ltd. – Stanchart European Warrants Jul 2014 A	2014/06/26	2014/07/04
11398	Macquarie Bank Ltd. – SUNAC European Warrants Jul 2014 A	2014/06/26	2014/07/04
27122	Macquarie Bank Ltd. – Tencent European Put Wts Jul 2014 A	2014/06/26	2014/07/04
27647	Macquarie Bank Ltd. – Tencent European Put Wts Jul 2014 B	2014/06/26	2014/07/04
11772	Macquarie Bank Ltd. – Tencent European Warrants Jul 2014 C	2014/06/26	2014/07/04
11971	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jul 2014 A	2014/06/26	2014/07/04
25406	Macquarie Bank Ltd. – Zijin Mining European Wts Jul 2014 A	2014/06/26	2014/07/04
11464	Macquarie Bank Ltd. –Greatwall Motor European Wts Jul 2014 A	2014/06/26	2014/07/04
26029	Nomura Int'l plc – Zhaojin Mining European Wts Jul 2014 A	2014/06/26	2014/07/04
21656	Standard Chartered Bank – HKEx European Warrants Jul 2014 A	2014/06/26	2014/07/04
25963	Standard Chartered Bank – KECL European Warrants Jul 2014 A	2014/06/26	2014/07/04
25432	Standard Chartered Bank – Sands China Eu Put Wts Jul 2014 A	2014/06/26	2014/07/04
13540	Standard Chartered Bank – SHK P European Warrants Jul 2014 A	2014/06/26	2014/07/04
12848	Standard Chartered Bank – Wharf European Warrants Jul 2014 A	2014/06/26	2014/07/04
12559	Standard Chartered Bank –YZ Coal European Wts Jul 2014 A	2014/06/26	2014/07/04
14174	UBS AG – HSBC European Put Warrants Jul 2014 B	2014/06/26	2014/07/04
13907	UBS AG – Hutchison European Put Warrants Jul 2014 B	2014/06/26	2014/07/04
13551	UBS AG – Melco European Warrants Jul 2014 A	2014/06/26	2014/07/04
20552	Bank of East Asia – R&F Ppt European Put Warrants Jul 2014 A	2014/06/27	2014/07/07
13474	BOCI Asia Ltd. – HSBC European Warrants Jul 2014 A	2014/06/27	2014/07/07
12853	Daiwa Capital Mkt – AIA European Warrants Jul 2014 A	2014/06/27	2014/07/07
12533	J P Morgan SP BV – Ping An European Warrants Jul 2014 A	2014/06/27	2014/07/07
28057	Macquarie Bank Ltd. – Country Garden European Wts Jul 2014 A	2014/06/27	2014/07/07
11034	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2014 A	2014/06/27	2014/07/07
12248	Standard Chartered Bank – China Coal European Put Wt Jul14 A	2014/06/27	2014/07/07
26892	Standard Chartered Bank – China Railway Euro Wts Jul 2014 A	2014/06/27	2014/07/07
28828	Standard Chartered Bank – Lenovo European Wts Jul 2014 B	2014/06/27	2014/07/07
29164	Standard Chartered Bank – Ping An European Wts Jul 2014 A	2014/06/27	2014/07/07
13681	Standard Chartered Bank – Ping An European Wts Jul 2014 B	2014/06/27	2014/07/07
28852	Standard Chartered Bank – SPDR Gold Trust Euro Wt Jul 2014 B	2014/06/27	2014/07/07
28249	BOCI Asia Ltd. – AIA European Warrants Jul 2014 A	2014/06/30	2014/07/08
28114	BOCI Asia Ltd. – BYD European Warrants Jul 2014 A	2014/06/30	2014/07/08
13204	BOCI Asia Ltd. – CC Bank European Warrants Jul 2014 A	2014/06/30	2014/07/08
13059	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jul 2014 A	2014/06/30	2014/07/08
13425	Citigroup Global Mkt H Inc. – HSBC European Wts Jul 2014 B	2014/06/30	2014/07/08
12976	Citigroup Global Mkt H Inc. – Lenovo European Wts Jul 2014 A	2014/06/30	2014/07/08
27576	Citigroup Global Mkt H Inc. –Tencent European Wts Jul 2014 A	2014/06/30	2014/07/08
13147	Citigroup Global Mkt H Inc. –Tencent European Wts Jul 2014 B	2014/06/30	2014/07/08
12449	Credit Suisse AG – A50 China European Put Wts Jul 2014 A	2014/06/30	2014/07/08
12447	Credit Suisse AG – CC Bank European Put Warrants Jul 2014 C	2014/06/30	2014/07/08
11429	Credit Suisse AG – CC Bank European Warrants Jul 2014 A	2014/06/30	2014/07/08
13177	Credit Suisse AG – CC Bank European Warrants Jul 2014 D	2014/06/30	2014/07/08
12443	Credit Suisse AG – Cheung Kong European Warrants Jul 2014 A	2014/06/30	2014/07/08
11952	Credit Suisse AG – Evergrande European Warrants Jul 2014 A	2014/06/30	2014/07/08
13577	Credit Suisse AG – HSBC European Wts Jul 2014 B	2014/06/30	2014/07/08
12490	Credit Suisse AG – Hutchison European Put Wts Jul 2014 A	2014/06/30	2014/07/08
12448	Credit Suisse AG – ICBC European Put Warrants Jul 2014 C	2014/06/30	2014/07/08
12445	Credit Suisse AG – Ping An European Warrants Jul 2014 B	2014/06/30	2014/07/08
14170	Daiwa Capital Mkt – Hutchison European Warrants Jul 2014 A	2014/06/30	2014/07/08
12472	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2014 A	2014/06/30	2014/07/08
12469	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jul 2014 A	2014/06/30	2014/07/08
13064	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jul 2014 B	2014/06/30	2014/07/08
13519	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2014 B	2014/06/30	2014/07/08

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
21673	Goldman Sachs SP (Asia) – KECL European Warrants Jul 2014 A	2014/06/30	2014/07/08
13574	Goldman Sachs SP (Asia) – Kingsoft European Wts Jul 2014 A	2014/06/30	2014/07/08
12500	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2014 A	2014/06/30	2014/07/08
27007	Goldman Sachs SP (Asia) – Zoomlion European Wts Jul 2014 A	2014/06/30	2014/07/08
13129	Goldman Sachs SP (Asia) -Tencent European Wt Jul 2014 B	2014/06/30	2014/07/08
13360	HK Bank – CC Bank European Warrants Jul 2014 C	2014/06/30	2014/07/08
12455	HK Bank – China Tel European Warrants Jul 2014 A	2014/06/30	2014/07/08
19670	HK Bank – CNOOC European Warrants Jul 2014 A	2014/06/30	2014/07/08
13786	HK Bank – Galaxy Ent European Warrants Jul 2014 D	2014/06/30	2014/07/08
14060	HK Bank – HWL European Warrants Jul 2014 A	2014/06/30	2014/07/08
12431	HK Bank – PetroChina European Warrants Jul 2014 A	2014/06/30	2014/07/08
12458	HK Bank – SPDR Gold Trust European Warrants Jul 2014 A	2014/06/30	2014/07/08
13402	J P Morgan SP BV – HSBC European Warrants Jul 2014 A	2014/06/30	2014/07/08
12452	J P Morgan SP BV – Tencent European Put Warrants Jul 2014 D	2014/06/30	2014/07/08
14738	J P Morgan SP BV – Tencent European Warrants Jul 2014 F	2014/06/30	2014/07/08
13070	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2014 A	2014/06/30	2014/07/08
11586	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2014 A	2014/06/30	2014/07/08
13692	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2014 B	2014/06/30	2014/07/08
13048	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2014 E	2014/06/30	2014/07/08
28506	Standard Chartered Bank – MGM China European Wts Jul 2014 A	2014/06/30	2014/07/08
13115	Standard Chartered Bank – Tencent Hldg Euro Wts Jul 2014 A	2014/06/30	2014/07/08
13980	UBS AG – BYD European Warrants Jul 2014 A	2014/06/30	2014/07/08
11555	UBS AG – CC Bank European Warrants Jul 2014 B	2014/06/30	2014/07/08
13639	UBS AG – HSBC European Warrants Jul 2014 A	2014/06/30	2014/07/08
12874	UBS AG – Kingsoft European Warrants Jul 2014 A	2014/06/30	2014/07/08
12506	UBS AG – Tencent Holdings European Warrants Jul 2014 C	2014/06/30	2014/07/08
11832	BOCI Asia Ltd. – ICBC European Warrants Jul 2014 A	2014/07/02	2014/07/09
29146	BOCI Asia Ltd. – Ping An European Put Warrants Jul 2014 A	2014/07/02	2014/07/09
13472	BOCI Asia Ltd. – Ping An European Warrants Jul 2014 C	2014/07/02	2014/07/09
13254	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Jul 14 D	2014/07/02	2014/07/09
11424	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2014 A	2014/07/02	2014/07/09
13348	Credit Suisse AG – HSBC European Wts Jul 2014 A	2014/07/02	2014/07/09
23154	HK Bank – CM Bank European Warrants Jul 2014 A	2014/07/02	2014/07/09
27292	HK Bank – ICBC European Warrants Jul 2014 A	2014/07/02	2014/07/09
12366	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jul 2014 B	2014/07/02	2014/07/09
12534	J P Morgan SP BV – Kingsoft European Warrants Jul 2014 B	2014/07/02	2014/07/09
12530	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2014 B	2014/07/02	2014/07/09
13292	Standard Chartered Bank – Galaxy Ent European Wts Jul 2014 C	2014/07/02	2014/07/09
12514	UBS AG – Tencent Holdings European Put Warrants Jul 2014 D	2014/07/02	2014/07/09
13321	Bank of East Asia – CHALCO European Warrants Jul 2014 A	2014/07/03	2014/07/10
11518	BOCI Asia Ltd. – Anhui Conch European Warrants Jul 2014 A	2014/07/03	2014/07/10
11300	BOCI Asia Ltd. – Ping An European Warrants Jul 2014 B	2014/07/03	2014/07/10
12562	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Jul 2014 A	2014/07/03	2014/07/10
12457	HK Bank – Tencent European Put Warrants Jul 2014 C	2014/07/03	2014/07/10
12521	Macquarie Bank Ltd. – Kingsoft European Warrants Jul 2014 A	2014/07/03	2014/07/10
13174	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Jul14D	2014/07/03	2014/07/10
13176	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul14B	2014/07/03	2014/07/10
13281	Standard Chartered Bank – Geely Auto European Wts Jul 2014 A	2014/07/03	2014/07/10
12341	UBS AG – A50 China European Warrants Jul 2014 A	2014/07/03	2014/07/10
28126	UBS AG – AIA European Warrants Jul 2014 A	2014/07/03	2014/07/10
12575	UBS AG – China EB Int'l European Warrants Jul 2014 A	2014/07/03	2014/07/10
29262	UBS AG – China Life European Warrants Jul 2014 C	2014/07/03	2014/07/10
11933	UBS AG – China Tel European Warrants Jul 2014 B	2014/07/03	2014/07/10
12336	UBS AG – Evergrande European Warrants Jul 2014 A	2014/07/03	2014/07/10
13221	UBS AG – Geely Auto European Warrants Jul 2014 A	2014/07/03	2014/07/10
12345	UBS AG – Ping An European Warrants Jul 2014 A	2014/07/03	2014/07/10
11927	UBS AG – SJM Holdings European Warrants Jul 2014 A	2014/07/03	2014/07/10
11935	UBS AG – Tencent Holdings European Warrants Jul 2014 A	2014/07/03	2014/07/10
12540	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2014 A	2014/07/04	2014/07/11
12539	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2014 A	2014/07/04	2014/07/11
12545	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jul 14 A	2014/07/04	2014/07/11
11997	UBS AG – ICBC European Warrants Jul 2014 A	2014/07/04	2014/07/11
11930	UBS AG – Link REIT European Warrants Jul 2014 A	2014/07/04	2014/07/11
13720	Credit Suisse AG – Kingsoft European Warrants Jul 2014 D	2014/07/07	2014/07/14
11032	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2014 A	2014/07/07	2014/07/14
28861	Standard Chartered Bank – China Mobile Euro Wts Jul 2014 A	2014/07/07	2014/07/14
29159	Standard Chartered Bank – Sinopec Corp Euro Wts Jul 2014 A	2014/07/07	2014/07/14
11279	UBS AG – China Life European Put Warrants Jul 2014 D	2014/07/07	2014/07/14
12689	Citigroup Global Mkt H Inc. – CITIC Sec Euro Wts Jul 2014 A	2014/07/08	2014/07/15
11677	Credit Suisse AG – AIA European Warrants Jul 2014 A	2014/07/08	2014/07/15
12664	Credit Suisse AG – China EB Int'l European Wts Jul 2014 A	2014/07/08	2014/07/15
12751	Credit Suisse AG – Galaxy Ent European Warrants Jul 2014 A	2014/07/08	2014/07/15
12519	Credit Suisse AG – Kingsoft European Put Warrants Jul 2014 A	2014/07/08	2014/07/15
12520	Credit Suisse AG – Kingsoft European Warrants Jul 2014 B	2014/07/08	2014/07/15
11950	Credit Suisse AG – Sinopec Corp European Warrants Jul 2014 A	2014/07/08	2014/07/15
12518	Credit Suisse AG – Tencent Holdings Euro Put Wts Jul 2014 A	2014/07/08	2014/07/15
12588	Credit Suisse AG – Tencent Holdings European Wts Jul 2014 B	2014/07/08	2014/07/15
12665	Credit Suisse AG – Tencent Holdings European Wts Jul 2014 C	2014/07/08	2014/07/15
12801	Daiwa Capital Mkt – Galaxy Ent European Put Wts Jul 2014 B	2014/07/08	2014/07/15
12745	Daiwa Capital Mkt – Galaxy Ent European Warrants Jul 2014 A	2014/07/08	2014/07/15
12742	Daiwa Capital Mkt – HSBC European Warrants Jul 2014 A	2014/07/08	2014/07/15
12746	Daiwa Capital Mkt – Sands China European Warrants Jul 2014 A	2014/07/08	2014/07/15
29222	HK Bank – CC Bank European Warrants Jul 2014 A	2014/07/08	2014/07/15
28136	HK Bank – CSR Times European Warrants Jul 2014 A	2014/07/08	2014/07/15
12764	Macquarie Bank Ltd. – Evergrande European Wts Jul 2014 A	2014/07/08	2014/07/15
28078	Macquarie Bank Ltd. – ZTE European Warrants Jul 2014 A	2014/07/08	2014/07/15
11397	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jul 2014 A	2014/07/08	2014/07/15

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13509	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jul 2014 A	2014/07/08	2014/07/15
12653	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Wts Jul 2014A	2014/07/08	2014/07/15
12651	Merrill Lynch Int'l & Co. C.V. – Lenovo Euro Wts Jul 2014 A	2014/07/08	2014/07/15
12652	Merrill Lynch Int'l & Co. C.V. – Sands China Euro Wt Jul14A	2014/07/08	2014/07/15
13008	Nomura Int'l plc – China Mobile European Warrants Jul 2014 B	2014/07/08	2014/07/15
12730	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Jul14B	2014/07/08	2014/07/15
11078	SGA Societe Generale Acceptance N.V. –CLife Eu Wt Jul 2014 B	2014/07/08	2014/07/15
28365	Standard Chartered Bank – ZTE European Warrants Jul 2014 A	2014/07/08	2014/07/15
12393	UBS AG – CC Bank European Put Warrants Jul 2014 D	2014/07/08	2014/07/15
12827	UBS AG – CC Bank European Warrants Jul 2014 E	2014/07/08	2014/07/15
12758	UBS AG – Galaxy Ent European Put Warrants Jul 2014 A	2014/07/08	2014/07/15
12759	UBS AG – Galaxy Ent European Warrants Jul 2014 B	2014/07/08	2014/07/15
12754	UBS AG – Hutchison European Warrants Jul 2014 A	2014/07/08	2014/07/15
12403	UBS AG – ICBC European Put Warrants Jul 2014 C	2014/07/08	2014/07/15
12756	UBS AG – Sands China European Put Warrants Jul 2014 B	2014/07/08	2014/07/15
12757	UBS AG – Sands China European Warrants Jul 2014 C	2014/07/08	2014/07/15
11862	UBS AG – Sinopec Corp European Warrants Jul 2014 A	2014/07/08	2014/07/15
12828	UBS AG – Wynn Macau European Put Warrants Jul 2014 B	2014/07/08	2014/07/15
12755	UBS AG – Wynn Macau European Warrants Jul 2014 A	2014/07/08	2014/07/15
12861	Credit Suisse AG – Galaxy Ent European Put Wts Jul 2014 B	2014/07/09	2014/07/16
12864	Credit Suisse AG – Galaxy Ent European Warrants Jul 2014 C	2014/07/09	2014/07/16
12854	Daiwa Capital Mkt – HSBC European Warrants Jul 2014 B	2014/07/09	2014/07/16
11205	Daiwa Capital Mkt -Agricultural Bank European Wts Jul 2014 B	2014/07/09	2014/07/16
12808	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2014 B	2014/07/09	2014/07/16
13366	Standard Chartered Bank – Tencent Hldg Euro Wts Jul 2014 B	2014/07/09	2014/07/16
12876	UBS AG – China Mobile European Warrants Jul 2014 C	2014/07/09	2014/07/16
12937	Citigroup Global Mkt H Inc. – Geely Auto Euro Wts Jul 2014 A	2014/07/10	2014/07/17
13353	Citigroup Global Mkt H Inc. – HKEx European Wts Jul 2014 A	2014/07/10	2014/07/17
14742	UBS AG – China EB Int'l European Warrants Jul 2014 B	2014/07/10	2014/07/17
23790	UBS AG – HKEx European Warrants Jul 2014 A	2014/07/10	2014/07/17
11003	Macquarie Bank Ltd. – Luk Fook Hold European Wts Jul 2014 B	2014/07/11	2014/07/18
29266	Daiwa Capital Mkt – China Life European Put Wts Jul 2014 C	2014/07/14	2014/07/21
29265	Daiwa Capital Mkt – China Life European Warrants Jul 2014 B	2014/07/14	2014/07/21
12718	HK Bank – Agricultural Bank European Warrants Jul 2014 D	2014/07/14	2014/07/21
12920	J P Morgan SP BV – Kingsoft European Warrants Jul 2014 C	2014/07/14	2014/07/21
11905	Macquarie Bank Ltd. – ENN Energy European Warrants Jul 2014A	2014/07/14	2014/07/21
12891	Nomura Int'l plc – China Mengniu European Warrants Jul 2014A	2014/07/14	2014/07/21
11231	Nomura Int'l plc – Yanzhou Coal European Warrants Jul 2014 A	2014/07/14	2014/07/21
26638	Standard Chartered Bank – AIA European Warrants Jul 2014 A	2014/07/14	2014/07/21
13537	BOCI Asia Ltd. – AIA European Warrants Jul 2014 B	2014/07/15	2014/07/22
12625	BOCI Asia Ltd. – China Mobile European Warrants Jul 2014 A	2014/07/15	2014/07/22
12844	BOCI Asia Ltd. – Galaxy Ent European Warrants Jul 2014 A	2014/07/15	2014/07/22
13193	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jul 2014 B	2014/07/15	2014/07/22
13061	Citigroup Global Mkt H Inc. – AIA European Wts Jul 2014 A	2014/07/15	2014/07/22
28573	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2014 A	2014/07/15	2014/07/22
28788	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2014 A	2014/07/15	2014/07/22
12990	Citigroup Global Mkt H Inc. – PWR Assets European Wts Jul14A	2014/07/15	2014/07/22
13836	Citigroup Global Mkt H Inc.-Tencent European Wts Jul 2014 D	2014/07/15	2014/07/22
12444	Credit Suisse AG – Agricultural Bank European Wts Jul 2014 A	2014/07/15	2014/07/22
13083	Credit Suisse AG – Agricultural Bank European Wts Jul 2014 A	2014/07/15	2014/07/22
11633	Credit Suisse AG – China Mobile European Warrants Jul 2014 B	2014/07/15	2014/07/22
13052	Credit Suisse AG – China Mobile European Warrants Jul 2014 B	2014/07/15	2014/07/22
24371	Credit Suisse AG – HKEx European Warrants Jul 2014 A	2014/07/15	2014/07/22
14360	Credit Suisse AG – HKEx European Warrants Jul 2014 C	2014/07/15	2014/07/22
14004	Credit Suisse AG – HSBC European Put Warrants Jul 2014 D	2014/07/15	2014/07/22
28926	Credit Suisse AG – KECL European Warrants Jul 2014 A	2014/07/15	2014/07/22
13903	Credit Suisse AG – PICC Group European Warrants Jul 2014 B	2014/07/15	2014/07/22
28570	Daiwa Capital Mkt – China Life European Warrants Jul 2014 A	2014/07/15	2014/07/22
11025	Daiwa Capital Mkt – China Mobile European Wts Jul 2014 A	2014/07/15	2014/07/22
13038	Daiwa Capital Mkt – Galaxy Ent European Warrants Jul 2014 C	2014/07/15	2014/07/22
13351	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2014 C	2014/07/15	2014/07/22
12747	Daiwa Capital Mkt -Agricultural Bank European Wts Jul 2014 C	2014/07/15	2014/07/22
29312	HK Bank – Agricultural Bank European Warrants Jul 2014 C	2014/07/15	2014/07/22
27979	HK Bank – China Life European Warrants Jul 2014 A	2014/07/15	2014/07/22
13603	HK Bank – PICC Group European Warrants Jul 2014 B	2014/07/15	2014/07/22
27863	J P Morgan SP BV – Lumena Newmat European Wts Jul 2014 A	2014/07/15	2014/07/22
20406	J P Morgan SP BV – Zoomlion European Warrants Jul 2014 A	2014/07/15	2014/07/22
13053	Macquarie Bank Ltd. – Kingsoft European Warrants Jul 2014 B	2014/07/15	2014/07/22
12953	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2014 A	2014/07/15	2014/07/22
12951	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2014 B	2014/07/15	2014/07/22
12889	Nomura Int'l plc – Sinopharm European Warrants Jul 2014 A	2014/07/15	2014/07/22
13938	Nomura Int'l plc – Tencent Holdings Euro Warrants Jul 2014 B	2014/07/15	2014/07/22
28112	SGA Societe Generale Acceptance N.V.-ZTE Eu Wt Jul 2014 B	2014/07/15	2014/07/22
12300	UBS AG – Agricultural Bank European Warrants Jul 2014 A	2014/07/15	2014/07/22
14815	UBS AG – AIA European Warrants Jul 2014 D	2014/07/15	2014/07/22
11117	UBS AG – Anhui Conch European Warrants Jul 2014 A	2014/07/15	2014/07/22
12310	UBS AG – China Mobile European Warrants Jul 2014 A	2014/07/15	2014/07/22
14734	UBS AG – CITIC Bank European Warrants Jul 2014 A	2014/07/15	2014/07/22
11793	UBS AG – CITIC Sec European Warrants Jul 2014 A	2014/07/15	2014/07/22
12342	UBS AG – CSOP A50 ETF European Warrants Jul 2014 A	2014/07/15	2014/07/22
14880	UBS AG – GCL-Poly Energy European Warrants Jul 2014 A	2014/07/15	2014/07/22
13049	UBS AG – Greatwall Motor European Warrants Jul 2014 A	2014/07/15	2014/07/22
12875	UBS AG – ICBC European Warrants Jul 2014 D	2014/07/15	2014/07/22
14334	UBS AG – Kingsoft European Warrants Jul 2014 C	2014/07/15	2014/07/22
27955	UBS AG – ZTE European Warrants Jul 2014 B	2014/07/15	2014/07/22
26587	Bank of East Asia – Brilliance Chi European Wts Jul 2014 A	2014/07/16	2014/07/23
26584	Bank of East Asia – SJM Holdings European Wts Jul 2014 A	2014/07/16	2014/07/23

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13148	Citigroup Global Mkt H Inc.-Tencent European Wts Jul 2014 C	2014/07/16	2014/07/23
13140	UBS AG – Yurun Food European Warrants Jul 2014 A	2014/07/16	2014/07/23
13203	BOCI Asia Ltd. – ICBC European Warrants Jul 2014 B	2014/07/17	2014/07/24
13326	Citigroup Global Mkt H Inc. – ICBC European Wts Jul 2014 A	2014/07/17	2014/07/24
13155	HK Bank – CITIC Bank European Warrants Jul 2014 A	2014/07/17	2014/07/24
22863	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2014 A	2014/07/17	2014/07/24
13184	UBS AG – Galaxy Ent European Put Warrants Jul 2014 D	2014/07/17	2014/07/24
12669	UBS AG – HKEx European Warrants Jul 2014 C	2014/07/17	2014/07/24
13612	Citigroup Global Mkt H Inc. – Sands China Euro Wt Jul 2014 A	2014/07/18	2014/07/25
26938	Goldman Sachs SP (Asia) – Esprit European Wts Jul 2014 A	2014/07/18	2014/07/25
13841	J P Morgan SP BV – Minsheng Bank European Wts Jul 2014 A	2014/07/18	2014/07/25
13187	Macquarie Bank Ltd. – CMS European Warrants Jul 2014 A	2014/07/18	2014/07/25
13904	UBS AG – A50 China European Warrants Jul 2014 C	2014/07/18	2014/07/25
13811	UBS AG – Sands China European Warrants Jul 2014 E	2014/07/18	2014/07/25
27163	Bank of East Asia – Agricultural Bank Euro Wts Jul 2014 A	2014/07/21	2014/07/28
13213	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2014 C	2014/07/21	2014/07/28
15560	Citigroup Global Mkt H Inc. – CGS European Wts Jul 2014 A	2014/07/21	2014/07/28
11431	Credit Suisse AG – BOCL European Warrants Jul 2014 A	2014/07/21	2014/07/28
13067	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2014 A	2014/07/21	2014/07/28
13576	Goldman Sachs SP (Asia) -Tencent European Wt Jul 2014 D	2014/07/21	2014/07/28
14028	HK Bank – Tencent European Put Warrants Jul 2014 E	2014/07/21	2014/07/28
11191	J P Morgan SP BV – Datang European Wts Jul 2014 A	2014/07/21	2014/07/28
13520	Nomura Int'l plc – Hutchison European Warrants Jul 2014 A	2014/07/21	2014/07/28
28589	Standard Chartered Bank – Esprit European Wts Jul 2014 A	2014/07/21	2014/07/28
11103	Standard Chartered Bank – SPDR Gold Trust Euro Wt Jul 2014 A	2014/07/21	2014/07/28
14017	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jul 2014 C	2014/07/21	2014/07/28
28590	Standard Chartered Bank -PetroChina European Wts Jul 2014 A	2014/07/21	2014/07/28
11551	UBS AG – BOCL European Warrants Jul 2014 A	2014/07/21	2014/07/28
29016	UBS AG – China Life European Put Warrants Jul 2014 B	2014/07/21	2014/07/28
13581	UBS AG – Tencent Holdings European Warrants Jul 2014 E	2014/07/21	2014/07/28
13206	BOCI Asia Ltd. – A50 China European Warrants Jul 2014 A	2014/07/22	2014/07/29
26913	BOCI Asia Ltd. – BOC HK European Warrants Jul 2014 A	2014/07/22	2014/07/29
12843	BOCI Asia Ltd. – Ch Overseas European Warrants Jul 2014 A	2014/07/22	2014/07/29
13671	BOCI Asia Ltd. – China Mobile European Warrants Jul 2014 B	2014/07/22	2014/07/29
12958	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Jul 2014 B	2014/07/22	2014/07/29
13473	BOCI Asia Ltd. – Hutchison European Warrants Jul 2014 A	2014/07/22	2014/07/29
12957	BOCI Asia Ltd. – Sands China European Put Warrants Jul 2014A	2014/07/22	2014/07/29
12795	BOCI Asia Ltd. – Tencent Holdings European Wts Jul 2014 A	2014/07/22	2014/07/29
13252	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Jul 14 C	2014/07/22	2014/07/29
11844	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2014 B	2014/07/22	2014/07/29
14654	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2014 D	2014/07/22	2014/07/29
13427	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2014 C	2014/07/22	2014/07/29
13226	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jul 2014 B	2014/07/22	2014/07/29
11689	Citigroup Global Mkt H Inc. – HSBC European Wts Jul 2014 A	2014/07/22	2014/07/29
14183	Citigroup Global Mkt H Inc. – ICBC European Wts Jul 2014 B	2014/07/22	2014/07/29
14295	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jul 2014 A	2014/07/22	2014/07/29
15426	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jul 2014 B	2014/07/22	2014/07/29
13178	Credit Suisse AG – Wynn Macau European Warrants Jul 2014 A	2014/07/22	2014/07/29
14106	Credit Suisse AG – AIA European Warrants Jul 2014 B	2014/07/22	2014/07/29
28380	Credit Suisse AG – Angang Steel European Warrants Jul 2014 A	2014/07/22	2014/07/29
11804	Credit Suisse AG – CC Bank European Warrants Jul 2014 B	2014/07/22	2014/07/29
14626	Credit Suisse AG – CC Bank European Warrants Jul 2014 E	2014/07/22	2014/07/29
28381	Credit Suisse AG – Ch Oil & Gas European Warrants Jul 2014 A	2014/07/22	2014/07/29
11806	Credit Suisse AG – Ch Overseas European Warrants Jul 2014 A	2014/07/22	2014/07/29
13644	Credit Suisse AG – China Coal European Warrants Jul 2014 A	2014/07/22	2014/07/29
11538	Credit Suisse AG – China Shenhua European Wts Jul 2014 A	2014/07/22	2014/07/29
13347	Credit Suisse AG – China Shenhua European Wts Jul 2014 B	2014/07/22	2014/07/29
13084	Credit Suisse AG – CITIC Bank European Warrants Jul 2014 A	2014/07/22	2014/07/29
13179	Credit Suisse AG – Galaxy Ent European Warrants Jul 2014 D	2014/07/22	2014/07/29
13224	Credit Suisse AG – Galaxy Ent European Warrants Jul 2014 E	2014/07/22	2014/07/29
12866	Credit Suisse AG – GCL-Poly Energy European Wts Jul 2014 A	2014/07/22	2014/07/29
11940	Credit Suisse AG – Geely Auto European Warrants Jul 2014 A	2014/07/22	2014/07/29
14003	Credit Suisse AG – HSBC European Wts Jul 2014 C	2014/07/22	2014/07/29
11636	Credit Suisse AG – ICBC European Warrants Jul 2014 B	2014/07/22	2014/07/29
12821	Credit Suisse AG – ICBC European Warrants Jul 2014 D	2014/07/22	2014/07/29
13211	Credit Suisse AG – ICBC European Warrants Jul 2014 E	2014/07/22	2014/07/29
12865	Credit Suisse AG – Kingsoft European Warrants Jul 2014 C	2014/07/22	2014/07/29
11533	Credit Suisse AG – Link REIT European Warrants Jul 2014 A	2014/07/22	2014/07/29
13225	Credit Suisse AG – Melco Int'l Dev European Wts Jul 2014 A	2014/07/22	2014/07/29
11673	Credit Suisse AG – Minsheng Bank European Wts Jul 2014 A	2014/07/22	2014/07/29
12825	Credit Suisse AG – Minsheng Bank European Wts Jul 2014 B	2014/07/22	2014/07/29
11895	Credit Suisse AG – PICC Group European Warrants Jul 2014 A	2014/07/22	2014/07/29
11675	Credit Suisse AG – Ping An European Warrants Jul 2014 A	2014/07/22	2014/07/29
13288	Credit Suisse AG – Sands China European Warrants Jul 2014 A	2014/07/22	2014/07/29
11474	Credit Suisse AG – Sinopharm European Warrants Jul 2014 A	2014/07/22	2014/07/29
11471	Credit Suisse AG – SJM Holdings European Warrants Jul 2014 A	2014/07/22	2014/07/29
14011	Credit Suisse AG – Tencent Holdings Euro Put Wts Jul 2014 F	2014/07/22	2014/07/29
13134	Credit Suisse AG – Tencent Holdings European Wts Jul 2014 D	2014/07/22	2014/07/29
13135	Credit Suisse AG – Tencent Holdings European Wts Jul 2014 E	2014/07/22	2014/07/29
11884	Credit Suisse AG – ZTE European Warrants Jul 2014 A	2014/07/22	2014/07/29
11894	Credit Suisse AG – ZTE European Warrants Jul 2014 B	2014/07/22	2014/07/29
23710	Goldman Sachs SP (Asia) – Agile Ppt European Wts Jul 2014 A	2014/07/22	2014/07/29
25768	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jul 2014 A	2014/07/22	2014/07/29
12770	Goldman Sachs SP (Asia) – Foxconn European Wts Jul 2014 A	2014/07/22	2014/07/29
26989	Goldman Sachs SP (Asia) – PICC European Warrants Jul 2014 A	2014/07/22	2014/07/29
14111	Goldman Sachs SP (Asia) – Sands China Euro Wts Jul 2014 B	2014/07/22	2014/07/29
28361	HK Bank – Agricultural Bank European Warrants Jul 2014 B	2014/07/22	2014/07/29

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14448	HK Bank – CC Bank European Warrants Jul 2014 D	2014/07/22	2014/07/29
12373	HK Bank – Ch Ship Cont European Warrants Jul 2014 A	2014/07/22	2014/07/29
11717	HK Bank – China Mobile European Warrants Jul 2014 B	2014/07/22	2014/07/29
13606	HK Bank – China Mobile European Warrants Jul 2014 D	2014/07/22	2014/07/29
12463	HK Bank – China Overseas European Warrants Jul 2014 A	2014/07/22	2014/07/29
13101	HK Bank – China Shenhua European Warrants Jul 2014 A	2014/07/22	2014/07/29
12904	HK Bank – CITIC Sec European Warrants Jul 2014 C	2014/07/22	2014/07/29
21286	HK Bank – Li & Fung European Warrants Jul 2014 A	2014/07/22	2014/07/29
13120	HK Bank – Tencent European Warrants Jul 2014 D	2014/07/22	2014/07/29
14225	J P Morgan SP BV – Ping An European Warrants Jul 2014 B	2014/07/22	2014/07/29
11970	J P Morgan SP BV – Zijin Mining European Warrants Jul 2014 A	2014/07/22	2014/07/29
24021	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2014 A	2014/07/22	2014/07/29
12734	Macquarie Bank Ltd. – China Overseas European Wts Jul 2014 A	2014/07/22	2014/07/29
13073	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jul 2014 B	2014/07/22	2014/07/29
13069	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2014 A	2014/07/22	2014/07/29
13650	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2014 B	2014/07/22	2014/07/29
13093	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jul 2014 A	2014/07/22	2014/07/29
13243	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Jul 2014B	2014/07/22	2014/07/29
12621	Nomura Int'l plc – A50 China European Put Wts Jul 2014 C	2014/07/22	2014/07/29
12619	Nomura Int'l plc – A50 China European Warrants Jul 2014 A	2014/07/22	2014/07/29
12620	Nomura Int'l plc – A50 China European Warrants Jul 2014 B	2014/07/22	2014/07/29
28612	Nomura Int'l plc – China Mobile European Warrants Jul 2014 A	2014/07/22	2014/07/29
12617	Nomura Int'l plc – CITIC Sec European Warrants Jul 2014 A	2014/07/22	2014/07/29
13943	Nomura Int'l plc – CITIC Sec European Warrants Jul 2014 B	2014/07/22	2014/07/29
12887	Nomura Int'l plc – Shimao Ppt European Warrants Jul 2014 A	2014/07/22	2014/07/29
14549	Nomura Int'l plc – Sinopec European Warrants Jul 2014 A	2014/07/22	2014/07/29
13007	Nomura Int'l plc – Tencent Holdings Euro Warrants Jul 2014 A	2014/07/22	2014/07/29
13527	Nomura Int'l plc – ZTE European Warrants Jul 2014 B	2014/07/22	2014/07/29
11520	Standard Chartered Bank – Anhui Conch Eur Put Wt Jul 2014 B	2014/07/22	2014/07/29
11049	Standard Chartered Bank – China Mobile Euro Wts Jul 2014 B	2014/07/22	2014/07/29
13607	Standard Chartered Bank – China Mobile Euro Wts Jul 2014 C	2014/07/22	2014/07/29
13114	Standard Chartered Bank – ICBC European Warrants Jul 2014 A	2014/07/22	2014/07/29
26714	Standard Chartered Bank – Jiangxi Copper Euro Wts Jul 2014 A	2014/07/22	2014/07/29
13282	Standard Chartered Bank – PICC Group European Wts Jul 2014 A	2014/07/22	2014/07/29
13037	Standard Chartered Bank – Sands China Euro Wts Jul 2014 B	2014/07/22	2014/07/29
12301	UBS AG – Agricultural Bank European Warrants Jul 2014 B	2014/07/22	2014/07/29
12671	UBS AG – AIA European Warrants Jul 2014 C	2014/07/22	2014/07/29
11924	UBS AG – CC Bank European Warrants Jul 2014 C	2014/07/22	2014/07/29
13220	UBS AG – Cheung Kong European Warrants Jul 2014 A	2014/07/22	2014/07/29
27778	UBS AG – China Life European Warrants Jul 2014 A	2014/07/22	2014/07/29
11860	UBS AG – China Overseas European Warrants Jul 2014 A	2014/07/22	2014/07/29
11932	UBS AG – China Tel European Warrants Jul 2014 A	2014/07/22	2014/07/29
12343	UBS AG – CSOP A50 ETF European Warrants Jul 2014 B	2014/07/22	2014/07/29
27057	UBS AG – Esprit European Warrants Jul 2014 A	2014/07/22	2014/07/29
12826	UBS AG – Galaxy Ent European Warrants Jul 2014 C	2014/07/22	2014/07/29
13908	UBS AG – Hutchison European Warrants Jul 2014 C	2014/07/22	2014/07/29
11998	UBS AG – ICBC European Warrants Jul 2014 B	2014/07/22	2014/07/29
21511	UBS AG – KECL European Warrants Jul 2014 A	2014/07/22	2014/07/29
26881	UBS AG – KECL European Warrants Jul 2014 B	2014/07/22	2014/07/29
14047	UBS AG – Kingsoft European Warrants Jul 2014 B	2014/07/22	2014/07/29
12346	UBS AG – Minsheng Bank European Warrants Jul 2014 A	2014/07/22	2014/07/29
14097	UBS AG – PetroChina European Warrants Jul 2014 A	2014/07/22	2014/07/29
11999	UBS AG – PICC Group European Warrants Jul 2014 A	2014/07/22	2014/07/29
13262	UBS AG – Sands China European Warrants Jul 2014 D	2014/07/22	2014/07/29
14098	UBS AG – Sinopec Corp European Put Warrants Jul 2014 C	2014/07/22	2014/07/29
12931	UBS AG – Sinopec Corp European Warrants Jul 2014 B	2014/07/22	2014/07/29
14007	UBS AG – SJM Holdings European Warrants Jul 2014 A	2014/07/22	2014/07/29
14249	UBS AG – Tencent Holdings European Put Warrants Jul 2014 F	2014/07/22	2014/07/29
14350	UBS AG – ZTE European Warrants Jul 2014 C	2014/07/22	2014/07/29
13426	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2014 B	2014/07/23	2014/07/30
12768	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2014 B	2014/07/23	2014/07/30
13821	HK Bank – China Mobile European Warrants Jul 2014 E	2014/07/23	2014/07/30
14567	HK Bank – Tencent European Put Warrants Jul 2014 F	2014/07/23	2014/07/30
13209	Standard Chartered Bank -Kingsoft European Wts Jul 2014 A	2014/07/23	2014/07/30
14156	Bank of East Asia – Ch Comm Cons European Wts Jul 2014 A	2014/07/24	2014/07/31
11344	Bank of East Asia – China Life European Put Wts Jul 2014 A	2014/07/24	2014/07/31
23893	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2014 A	2014/07/24	2014/07/31
13953	BOCI Asia Ltd. – HSI European Warrants Jul 2014 A	2014/07/24	2014/07/31
15546	Credit Suisse AG – HSI European Put Warrants Jul 2014 C	2014/07/24	2014/07/31
11800	Credit Suisse AG – HSI European Warrants Jul 2014 A	2014/07/24	2014/07/31
13367	Credit Suisse AG – HSI European Warrants Jul 2014 B	2014/07/24	2014/07/31
29208	Credit Suisse AG – ICBC European Warrants Jul 2014 A	2014/07/24	2014/07/31
12981	Daiwa Capital Mkt – HSCEI European Put Warrants Jul 2014 A	2014/07/24	2014/07/31
14160	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2014 A	2014/07/24	2014/07/31
11256	Goldman Sachs SP (Asia) – Geely Auto European Wts Jul 2014 A	2014/07/24	2014/07/31
11965	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2014 A	2014/07/24	2014/07/31
14487	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2014 C	2014/07/24	2014/07/31
15491	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2014 E	2014/07/24	2014/07/31
11445	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2014 A	2014/07/24	2014/07/31
13888	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2014 B	2014/07/24	2014/07/31
15468	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2014 D	2014/07/24	2014/07/31
11172	Goldman Sachs SP (Asia) – Huaneng European Wts Jul 2014 A	2014/07/24	2014/07/31
28010	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2014 A	2014/07/24	2014/07/31
12693	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2014 B	2014/07/24	2014/07/31
13066	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2014 A	2014/07/24	2014/07/31
12906	Goldman Sachs SP (Asia) – Sands China Euro Wts Jul 2014 A	2014/07/24	2014/07/31
27143	Goldman Sachs SP (Asia) – Sino Land European Wts Jul 2014 A	2014/07/24	2014/07/31

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13172	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2014 C	2014/07/24	2014/07/31
13214	Goldman Sachs SP (Asia) – Wynn Macau European Wts Jul 2014 A	2014/07/24	2014/07/31
27150	Goldman Sachs SP (Asia) – ZTE European Warrants Jul 2014 A	2014/07/24	2014/07/31
11096	HK Bank – HSCEI European Warrants Jul 2014 A	2014/07/24	2014/07/31
12719	HK Bank – HSI European Put Warrants Jul 2014 D	2014/07/24	2014/07/31
13157	HK Bank – HSI European Put Warrants Jul 2014 H	2014/07/24	2014/07/31
13357	HK Bank – HSI European Put Warrants Jul 2014 J	2014/07/24	2014/07/31
28030	HK Bank – HSI European Warrants Jul 2014 A	2014/07/24	2014/07/31
29325	HK Bank – HSI European Warrants Jul 2014 B	2014/07/24	2014/07/31
11245	HK Bank – HSI European Warrants Jul 2014 C	2014/07/24	2014/07/31
12720	HK Bank – HSI European Warrants Jul 2014 E	2014/07/24	2014/07/31
13000	HK Bank – HSI European Warrants Jul 2014 F	2014/07/24	2014/07/31
13156	HK Bank – HSI European Warrants Jul 2014 G	2014/07/24	2014/07/31
13355	HK Bank – HSI European Warrants Jul 2014 I	2014/07/24	2014/07/31
14900	HK Bank – HSI European Warrants Jul 2014 K	2014/07/24	2014/07/31
15260	HK Bank – HSI European Warrants Jul 2014 L	2014/07/24	2014/07/31
28847	HK Bank – Link REIT European Warrants Jul 2014 A	2014/07/24	2014/07/31
29227	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jul 2014 A	2014/07/24	2014/07/31
12949	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jul 2014 D	2014/07/24	2014/07/31
14539	J P Morgan SP BV – A50 China European Warrants Jul 2014 B	2014/07/24	2014/07/31
14536	J P Morgan SP BV – CSOP A50 ETF European Warrants Jul 2014 B	2014/07/24	2014/07/31
14152	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2014 C	2014/07/24	2014/07/31
12509	J P Morgan SP BV – HSCEI European Put Warrants Jul 2014 B	2014/07/24	2014/07/31
12948	J P Morgan SP BV – HSCEI European Put Warrants Jul 2014 C	2014/07/24	2014/07/31
12943	J P Morgan SP BV – HSI European Put Warrants Jul 2014 C	2014/07/24	2014/07/31
12944	J P Morgan SP BV – HSI European Put Warrants Jul 2014 D	2014/07/24	2014/07/31
12946	J P Morgan SP BV – HSI European Put Warrants Jul 2014 F	2014/07/24	2014/07/31
12947	J P Morgan SP BV – HSI European Put Warrants Jul 2014 G	2014/07/24	2014/07/31
29225	J P Morgan SP BV – HSI European Warrants Jul 2014 A	2014/07/24	2014/07/31
12776	J P Morgan SP BV – HSI European Warrants Jul 2014 B	2014/07/24	2014/07/31
12945	J P Morgan SP BV – HSI European Warrants Jul 2014 E	2014/07/24	2014/07/31
13090	J P Morgan SP BV – HSI European Warrants Jul 2014 H	2014/07/24	2014/07/31
13063	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2014 D	2014/07/24	2014/07/31
14082	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2014 E	2014/07/24	2014/07/31
29010	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jul 2014 A	2014/07/24	2014/07/31
12938	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jul 2014 B	2014/07/24	2014/07/31
12939	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jul 2014 C	2014/07/24	2014/07/31
11262	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2014 A	2014/07/24	2014/07/31
13332	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2014 B	2014/07/24	2014/07/31
13691	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 14 C	2014/07/24	2014/07/31
12850	Standard Chartered Bank – Galaxy Ent Euro Put Wts Jul 2014 A	2014/07/24	2014/07/31
13171	Standard Chartered Bank – Galaxy Ent European Wts Jul 2014 B	2014/07/24	2014/07/31
13075	Standard Chartered Bank – Greatwall Motor Euro Wt Jul 2014 A	2014/07/24	2014/07/31
14064	Standard Chartered Bank – HSBC European Warrants Jul 2014 A	2014/07/24	2014/07/31
13031	Standard Chartered Bank – HSCEI European Put Wts Jul 2014 B	2014/07/24	2014/07/31
11454	Standard Chartered Bank – HSCEI European Warrants Jul 2014 A	2014/07/24	2014/07/31
13283	Standard Chartered Bank – HSI European Put Wts Jul 2014 A	2014/07/24	2014/07/31
13284	Standard Chartered Bank – HSI European Warrants Jul 2014 B	2014/07/24	2014/07/31
13475	Standard Chartered Bank – HSI European Warrants Jul 2014 C	2014/07/24	2014/07/31
11828	Standard Chartered Bank-China Life European Wts Jul 2014 A	2014/07/24	2014/07/31
12573	UBS AG – HSCEI European Put Warrants Jul 2014 B	2014/07/24	2014/07/31
13384	UBS AG – HSCEI European Put Warrants Jul 2014 C	2014/07/24	2014/07/31
11556	UBS AG – HSCEI European Warrants Jul 2014 A	2014/07/24	2014/07/31
14046	UBS AG – HSI European Put Warrants Jul 2014 D	2014/07/24	2014/07/31
11278	UBS AG – HSI European Warrants Jul 2014 A	2014/07/24	2014/07/31
12332	UBS AG – HSI European Warrants Jul 2014 B	2014/07/24	2014/07/31
13363	UBS AG – HSI European Warrants Jul 2014 C	2014/07/24	2014/07/31
14817	UBS AG – HSI European Warrants Jul 2014 E	2014/07/24	2014/07/31
13322	Bank of East Asia – AAC Acoustic European Warrants Jul 2014A	2014/07/25	2014/08/01
14026	Citigroup Global Mkt H Inc. – AIA European Wts Jul 2014 B	2014/07/25	2014/08/01
15894	Citigroup Global Mkt H Inc. – Tencent European Wts Jul 2014 E	2014/07/25	2014/08/01
13315	Credit Suisse AG – KECL European Warrants Jul 2014 B	2014/07/25	2014/08/01
13133	Credit Suisse AG – Lenovo European Warrants Jul 2014 A	2014/07/25	2014/08/01
15282	Credit Suisse AG – Sinopec Corp European Put Wts Jul 2014 B	2014/07/25	2014/08/01
13218	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jul 2014 C	2014/07/25	2014/08/01
12607	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Jul 2014 A	2014/07/25	2014/08/01
13217	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Jul 2014 A	2014/07/25	2014/08/01
12611	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jul 2014 A	2014/07/25	2014/08/01
12460	HK Bank – A50 China European Warrants Jul 2014 A	2014/07/25	2014/08/01
26695	HK Bank – Agricultural Bank European Warrants Jul 2014 A	2014/07/25	2014/08/01
11647	HK Bank – CC Bank European Warrants Jul 2014 B	2014/07/25	2014/08/01
11722	HK Bank – Ch Comm Cons European Warrants Jul 2014 A	2014/07/25	2014/08/01
12840	HK Bank – China Coal European Warrants Jul 2014 A	2014/07/25	2014/08/01
29220	HK Bank – China Life European Warrants Jul 2014 B	2014/07/25	2014/08/01
11578	HK Bank – China Mobile European Put Warrants Jul 2014 A	2014/07/25	2014/08/01
12902	HK Bank – China Mobile European Warrants Jul 2014 C	2014/07/25	2014/08/01
27357	HK Bank – CITIC Sec European Warrants Jul 2014 A	2014/07/25	2014/08/01
28953	HK Bank – CITIC Sec European Warrants Jul 2014 B	2014/07/25	2014/08/01
13269	HK Bank – CSOP A50 ETF European Warrants Jul 2014 C	2014/07/25	2014/08/01
12549	HK Bank – Datang Power European Warrants Jul 2014 A	2014/07/25	2014/08/01
26504	HK Bank – Esprit European Warrants Jul 2014 A	2014/07/25	2014/08/01
11850	HK Bank – Evergrande European Warrants Jul 2014 A	2014/07/25	2014/08/01
11915	HK Bank – Foxconn European Warrants Jul 2014 A	2014/07/25	2014/08/01
12459	HK Bank – Foxconn European Warrants Jul 2014 B	2014/07/25	2014/08/01
12783	HK Bank – Galaxy Ent European Warrants Jul 2014 A	2014/07/25	2014/08/01
13296	HK Bank – Galaxy Ent European Warrants Jul 2014 B	2014/07/25	2014/08/01
13301	HK Bank – Galaxy Ent European Warrants Jul 2014 C	2014/07/25	2014/08/01

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
12842	HK Bank – GCL-Poly Energy European Warrants Jul 2014 B	2014/07/25	2014/08/01
12839	HK Bank – Geely Auto European Warrants Jul 2014 A	2014/07/25	2014/08/01
12905	HK Bank – Greatwall Motor European Warrants Jul 2014 A	2014/07/25	2014/08/01
12715	HK Bank – HKEx European Warrants Jul 2014 A	2014/07/25	2014/08/01
28949	HK Bank – Huaneng Power European Warrants Jul 2014 A	2014/07/25	2014/08/01
11650	HK Bank – Huaneng Power European Warrants Jul 2014 B	2014/07/25	2014/08/01
28362	HK Bank – ICBC European Warrants Jul 2014 B	2014/07/25	2014/08/01
29617	HK Bank – ICBC European Warrants Jul 2014 C	2014/07/25	2014/08/01
28454	HK Bank – Minsheng Bank European Warrants Jul 2014 A	2014/07/25	2014/08/01
11148	HK Bank – Minsheng Bank European Warrants Jul 2014 B	2014/07/25	2014/08/01
13241	HK Bank – Minsheng Bank European Warrants Jul 2014 C	2014/07/25	2014/08/01
11725	HK Bank – PICC Group European Warrants Jul 2014 A	2014/07/25	2014/08/01
13299	HK Bank – Ping An European Warrants Jul 2014 A	2014/07/25	2014/08/01
13788	HK Bank – Ping An European Warrants Jul 2014 B	2014/07/25	2014/08/01
12784	HK Bank – Sands China European Warrants Jul 2014 B	2014/07/25	2014/08/01
13293	HK Bank – Sands China European Warrants Jul 2014 C	2014/07/25	2014/08/01
11911	HK Bank – Sinopec Corp European Warrants Jul 2014 A	2014/07/25	2014/08/01
12787	HK Bank – Sinopec Corp European Warrants Jul 2014 B	2014/07/25	2014/08/01
15061	HK Bank – Tencent European Warrants Jul 2014 G	2014/07/25	2014/08/01
15151	HK Bank – Tencent European Warrants Jul 2014 H	2014/07/25	2014/08/01
11246	HK Bank – Tingyi European Warrants Jul 2014 A	2014/07/25	2014/08/01
11724	HK Bank – Yanzhou Coal European Warrants Jul 2014 A	2014/07/25	2014/08/01
26751	HK Bank – Zijin Mining European Warrants Jul 2014 A	2014/07/25	2014/08/01
26161	Nomura Int'l plc – Want Want China European Wts Jul 2014 A	2014/07/25	2014/08/01
13285	Standard Chartered Bank – Xinyi Glass Euro Wts Jul 2014 A	2014/07/25	2014/08/01
12798	Standard Chartered Bank – GCL-Poly Energy European Wts Jul 2014 A	2014/07/25	2014/08/01
11523	Standard Chartered Bank – Zijin Mining Euro Wts Jul 2014 A	2014/07/25	2014/08/01
28920	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2014 A	2014/07/28	2014/08/04
11409	BOCI Asia Ltd. – HSBC European Warrants Aug 2014 A	2014/07/28	2014/08/04
27734	Citigroup Global Mkt H Inc. – A50 China Euro Wts Aug 2014 A	2014/07/28	2014/08/04
13610	Citigroup Global Mkt H Inc. – Ping An Euro Wts Aug 2014 A	2014/07/28	2014/08/04
28923	Credit Suisse AG – Anhui Conch European Warrants Aug 2014 A	2014/07/28	2014/08/04
12750	Credit Suisse AG – CC Bank European Warrants Aug 2014 A	2014/07/28	2014/08/04
11335	Credit Suisse AG – China Life European Warrants Aug 2014 A	2014/07/28	2014/08/04
11939	Credit Suisse AG – China Life European Warrants Aug 2014 B	2014/07/28	2014/08/04
25238	Credit Suisse AG – CSOP A50 ETF European Warrants Aug 2014 A	2014/07/28	2014/08/04
29496	Credit Suisse AG – HSBC European Wts Aug 2014 A	2014/07/28	2014/08/04
11990	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 B	2014/07/28	2014/08/04
11134	Daiwa Capital Mkt – CPIC European Warrants Aug 2014 A	2014/07/28	2014/08/04
11206	Daiwa Capital Mkt – BOCL European Warrants Aug 2014 B	2014/07/28	2014/08/04
12856	Daiwa Capital Mkt – CCB European Warrants Aug 2014 A	2014/07/28	2014/08/04
14399	Daiwa Capital Mkt – CCB European Warrants Aug 2014 C	2014/07/28	2014/08/04
11021	Daiwa Capital Mkt – China Life European Warrants Aug 2014 A	2014/07/28	2014/08/04
13383	Daiwa Capital Mkt – China Mobile European Wts Aug 2014 A	2014/07/28	2014/08/04
12913	Daiwa Capital Mkt – China Telecom European Wts Aug 2014 A	2014/07/28	2014/08/04
14987	Daiwa Capital Mkt – Hutchison European Warrants Aug 2014 A	2014/07/28	2014/08/04
13268	Daiwa Capital Mkt – ICBC European Warrants Aug 2014 A	2014/07/28	2014/08/04
29972	Daiwa Capital Mkt – Ping An European Warrants Aug 2014 A	2014/07/28	2014/08/04
13313	Daiwa Capital Mkt – Sinopec Corp European Wts Aug 2014 A	2014/07/28	2014/08/04
14396	Daiwa Capital Mkt – Agricultural Bank European Wts Aug 2014 A	2014/07/28	2014/08/04
24927	Goldman Sachs SP (Asia) – A50 China European Wts Aug 2014 A	2014/07/28	2014/08/04
13250	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Aug 2014 C	2014/07/28	2014/08/04
13248	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Aug 2014 A	2014/07/28	2014/08/04
13249	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Aug 2014 B	2014/07/28	2014/08/04
11106	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2014 A	2014/07/28	2014/08/04
27149	HK Bank – A50 China European Warrants Aug 2014 A	2014/07/28	2014/08/04
14416	HK Bank – Agricultural Bank European Warrants Aug 2014 A	2014/07/28	2014/08/04
11289	HK Bank – China Life European Warrants Aug 2014 A	2014/07/28	2014/08/04
12790	HK Bank – China Life European Warrants Aug 2014 C	2014/07/28	2014/08/04
12711	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Aug 2014 A	2014/07/28	2014/08/04
13799	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Aug 2014 C	2014/07/28	2014/08/04
13080	J P Morgan SP BV – MGM China European Warrants Aug 2014 A	2014/07/28	2014/08/04
21347	J P Morgan SP BV – Agile Ppt European Warrants Aug 2014 A	2014/07/28	2014/08/04
12922	J P Morgan SP BV – Agricultural Bk Euro Warrants Aug 2014 A	2014/07/28	2014/08/04
15085	J P Morgan SP BV – Agricultural Bk Euro Warrants Aug 2014 B	2014/07/28	2014/08/04
25872	J P Morgan SP BV – BOC HK European Warrants Aug 2014 A	2014/07/28	2014/08/04
22473	J P Morgan SP BV – Brilliance Chi European Wts Aug 2014 A	2014/07/28	2014/08/04
13153	J P Morgan SP BV – CGS European Warrants Aug 2014 A	2014/07/28	2014/08/04
12921	J P Morgan SP BV – Cheung Kong European Warrants Aug 2014 A	2014/07/28	2014/08/04
27378	J P Morgan SP BV – China Life European Put Wts Aug 2014 A	2014/07/28	2014/08/04
13092	J P Morgan SP BV – China Mob European Wts Aug 2014 B	2014/07/28	2014/08/04
12996	J P Morgan SP BV – China Shenhua European Wts Aug 2014 A	2014/07/28	2014/08/04
12778	J P Morgan SP BV – Galaxy Ent European Put Wts Aug 2014 A	2014/07/28	2014/08/04
12812	J P Morgan SP BV – Galaxy Ent European Put Wts Aug 2014 C	2014/07/28	2014/08/04
12779	J P Morgan SP BV – Galaxy Ent European Warrants Aug 2014 B	2014/07/28	2014/08/04
13198	J P Morgan SP BV – Galaxy Ent European Warrants Aug 2014 D	2014/07/28	2014/08/04
13056	J P Morgan SP BV – GCL-Poly European Warrants Aug 2014 A	2014/07/28	2014/08/04
12707	J P Morgan SP BV – Geely Auto European Warrants Aug 2014 A	2014/07/28	2014/08/04
13055	J P Morgan SP BV – Greatwall Motor European Wts Aug 2014 A	2014/07/28	2014/08/04
13150	J P Morgan SP BV – Huaneng Power European Wts Aug 2014 A	2014/07/28	2014/08/04
13081	J P Morgan SP BV – Hutchison European Warrants Aug 2014 A	2014/07/28	2014/08/04
12810	J P Morgan SP BV – Sands China European Put Wts Aug 2014 B	2014/07/28	2014/08/04
12774	J P Morgan SP BV – Sands China European Warrants Aug 2014 A	2014/07/28	2014/08/04
12859	J P Morgan SP BV – Sinopec Corp European Warrants Aug 2014 A	2014/07/28	2014/08/04
13122	J P Morgan SP BV – Tencent European Put Warrants Aug 2014 A	2014/07/28	2014/08/04
13123	J P Morgan SP BV – Tencent European Warrants Aug 2014 B	2014/07/28	2014/08/04
15130	J P Morgan SP BV – Tencent European Warrants Aug 2014 E	2014/07/28	2014/08/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15188	J P Morgan SP BV – Tencent European Warrants Aug 2014 F	2014/07/28	2014/08/04
12236	J P Morgan SP BV – Want Want Ch European Warrants Aug 2014 A	2014/07/28	2014/08/04
12773	J P Morgan SP BV – Wharf European Warrants Aug 2014 A	2014/07/28	2014/08/04
13096	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Aug 2014B	2014/07/28	2014/08/04
13071	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2014 A	2014/07/28	2014/08/04
12967	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2014 A	2014/07/28	2014/08/04
13892	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Aug 2014 B	2014/07/28	2014/08/04
27546	Nomura Int'l plc – BOCL European Warrants Aug 2014 A	2014/07/28	2014/08/04
12635	Standard Chartered Bank – CC Bank European Wts Aug 2014 A	2014/07/28	2014/08/04
12849	Standard Chartered Bank – China Overseas Euro Wt Aug 2014 A	2014/07/28	2014/08/04
27176	Standard Chartered Bank – CLP European Warrants Aug 2014 A	2014/07/28	2014/08/04
12851	Standard Chartered Bank – Sinopec Corp Euro Wts Aug 2014 B	2014/07/28	2014/08/04
14503	UBS AG – CC Bank European Warrants Aug 2014 C	2014/07/28	2014/08/04
11116	UBS AG – China Life European Warrants Aug 2014 B	2014/07/28	2014/08/04
11871	UBS AG – HSBC European Warrants Aug 2014 A	2014/07/28	2014/08/04
25880	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Aug 2014 A	2014/07/29	2014/08/05
20425	BNP Paribas Arbit Issu B.V. – Agile Ppt Euro Wt Aug 2014 A	2014/07/29	2014/08/05
23527	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2014 A	2014/07/29	2014/08/05
22954	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eu Wt Aug 2014 A	2014/07/29	2014/08/05
24024	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2014 A	2014/07/29	2014/08/05
11364	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Aug 2014 A	2014/07/29	2014/08/05
14048	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Aug 2014 B	2014/07/29	2014/08/05
29565	BNP Paribas Arbit Issu B.V. – Ch Oilfield Eur Wts Aug 2014 A	2014/07/29	2014/08/05
26096	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Aug 2014 A	2014/07/29	2014/08/05
13231	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Aug 2014 A	2014/07/29	2014/08/05
29564	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Aug 2014 A	2014/07/29	2014/08/05
23010	BNP Paribas Arbit Issu B.V. – China EB Ltd Eu Wt Aug 2014 A	2014/07/29	2014/08/05
26863	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2014 A	2014/07/29	2014/08/05
21964	BNP Paribas Arbit Issu B.V. – CNBM European Wts Aug 2014 A	2014/07/29	2014/08/05
13230	BNP Paribas Arbit Issu B.V. – CNBM European Wts Aug 2014 B	2014/07/29	2014/08/05
13308	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Aug 2014 A	2014/07/29	2014/08/05
13188	BNP Paribas Arbit Issu B.V. – CR Cement Euro Wts Aug 2014 A	2014/07/29	2014/08/05
26515	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Aug 2014 A	2014/07/29	2014/08/05
13142	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Aug 2014 A	2014/07/29	2014/08/05
29416	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Aug 2014 A	2014/07/29	2014/08/05
21452	BNP Paribas Arbit Issu B.V. – Gome European Wts Aug 2014 A	2014/07/29	2014/08/05
13312	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Aug 14 A	2014/07/29	2014/08/05
12901	BNP Paribas Arbit Issu B.V. – Henganintl Euro Wts Aug 2014 A	2014/07/29	2014/08/05
12898	BNP Paribas Arbit Issu B.V. – HN Renewables Euro Wts Aug 14A	2014/07/29	2014/08/05
28742	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2014 A	2014/07/29	2014/08/05
15436	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2014 B	2014/07/29	2014/08/05
24006	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2014 A	2014/07/29	2014/08/05
12896	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2014 B	2014/07/29	2014/08/05
13228	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2014 C	2014/07/29	2014/08/05
23168	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Aug 2014 A	2014/07/29	2014/08/05
14146	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Aug 2014 A	2014/07/29	2014/08/05
13190	BNP Paribas Arbit Issu B.V. – Melco Int'l Dev Eu Wt Aug 14 A	2014/07/29	2014/08/05
13191	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Aug 2014 A	2014/07/29	2014/08/05
13468	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2014 B	2014/07/29	2014/08/05
22750	BNP Paribas Arbit Issu B.V. – PICC European Wts Aug 2014 A	2014/07/29	2014/08/05
29562	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2014 A	2014/07/29	2014/08/05
13695	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2014 B	2014/07/29	2014/08/05
12837	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Aug 2014 A	2014/07/29	2014/08/05
28751	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Aug 2014 A	2014/07/29	2014/08/05
13229	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Aug 2014 A	2014/07/29	2014/08/05
14519	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Aug 2014 C	2014/07/29	2014/08/05
13018	BNP Paribas Arbit Issu B.V. – Weichai Power Eu Wt Aug 2014 A	2014/07/29	2014/08/05
12288	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eu Wt Aug 14 A	2014/07/29	2014/08/05
26625	BNP Paribas Arbit Issu B.V. – ZTE European Wts Aug 2014 A	2014/07/29	2014/08/05
26208	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Aug 2014 A	2014/07/29	2014/08/05
12496	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Aug 2014 B	2014/07/29	2014/08/05
14689	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Aug 2014 D	2014/07/29	2014/08/05
23310	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Aug 2014 A	2014/07/29	2014/08/05
27307	BOCI Asia Ltd. – A50 China European Warrants Aug 2014 B	2014/07/29	2014/08/05
12701	BOCI Asia Ltd. – CC Bank European Warrants Aug 2014 A	2014/07/29	2014/08/05
14040	BOCI Asia Ltd. – China Shenhua European Warrants Aug 2014 A	2014/07/29	2014/08/05
12955	BOCI Asia Ltd. – PetroChina European Warrants Aug 2014 A	2014/07/29	2014/08/05
13670	BOCI Asia Ltd. – Ping An European Warrants Aug 2014 A	2014/07/29	2014/08/05
12956	BOCI Asia Ltd. – Sinopec Corp European Warrants Aug 2014 A	2014/07/29	2014/08/05
14067	BOCI Asia Ltd. -Tencent Holdings European Put Wts Aug 2014 A	2014/07/29	2014/08/05
21593	CC Rabobank B.A. – Agile Ppt European Warrants Aug 2014 A	2014/07/29	2014/08/05
14184	Citigroup Global Mkt H Inc. – CC Bank European Wt Aug 2014 A	2014/07/29	2014/08/05
14531	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Aug 2014 C	2014/07/29	2014/08/05
14644	Citigroup Global Mkt H Inc. – CITIC Sec Euro Wts Aug 2014 B	2014/07/29	2014/08/05
14650	Citigroup Global Mkt H Inc. – Ping An Euro Wts Aug 2014 B	2014/07/29	2014/08/05
13339	Credit Suisse AG – CC Bank European Warrants Aug 2014 B	2014/07/29	2014/08/05
13372	Credit Suisse AG – CC Bank European Warrants Aug 2014 C	2014/07/29	2014/08/05
13374	Credit Suisse AG – China Mobile European Warrants Aug 2014 A	2014/07/29	2014/08/05
11540	Credit Suisse AG – CNOOC European Put Warrants Aug 2014 A	2014/07/29	2014/08/05
13336	Credit Suisse AG – ICBC European Warrants Aug 2014 A	2014/07/29	2014/08/05
13369	Credit Suisse AG – ICBC European Warrants Aug 2014 B	2014/07/29	2014/08/05
12752	Credit Suisse AG – Kingsoft European Warrants Aug 2014 A	2014/07/29	2014/08/05
13382	Credit Suisse AG – REXLot Holdings European Wts Aug 2014 A	2014/07/29	2014/08/05
14439	Daiwa Capital Mkt – China Mobile European Wts Aug 2014 B	2014/07/29	2014/08/05
28639	Daiwa Capital Mkt – CNOOC European Put Warrants Aug 2014 A	2014/07/29	2014/08/05
13964	Daiwa Capital Mkt – Tencent Holdings European Wts Aug 2014 A	2014/07/29	2014/08/05
12553	Goldman Sachs SP (Asia) – CAM CSI300 Euro Put Wts Aug 2014 A	2014/07/29	2014/08/05

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28169	Goldman Sachs SP (Asia) – China Mengniu Euro Wt Aug 2014 A	2014/07/29	2014/08/05
28826	Goldman Sachs SP (Asia) – China Tel European Wts Aug 2014 A	2014/07/29	2014/08/05
22089	Goldman Sachs SP (Asia) – Chow Tai Fook Euro Wts Aug 2014 A	2014/07/29	2014/08/05
15191	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Aug 2014 D	2014/07/29	2014/08/05
28958	Goldman Sachs SP (Asia) – Henderson Land Euro Wts Aug 2014 A	2014/07/29	2014/08/05
13319	Goldman Sachs SP (Asia) – Melco Int'l Dev Eur Wts Aug 2014 A	2014/07/29	2014/08/05
14601	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2014 C	2014/07/29	2014/08/05
13565	Goldman Sachs SP (Asia) –Tencent European Wt Aug 2014 A	2014/07/29	2014/08/05
28187	HK Bank – China Shenhua European Warrants Aug 2014 A	2014/07/29	2014/08/05
12841	HK Bank – CRCC European Warrants Aug 2014 A	2014/07/29	2014/08/05
27291	HK Bank – CSOP A50 ETF European Warrants Aug 2014 A	2014/07/29	2014/08/05
11074	HK Bank – Jiangxi Copper European Warrants Aug 2014 A	2014/07/29	2014/08/05
13952	HK Bank – Tencent European Warrants Aug 2014 B	2014/07/29	2014/08/05
14030	HK Bank – Tencent European Warrants Aug 2014 D	2014/07/29	2014/08/05
22761	HK Bank – Yanzhou Coal European Warrants Aug 2014 A	2014/07/29	2014/08/05
13408	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Aug 2014 B	2014/07/29	2014/08/05
28903	J P Morgan SP BV – Ch Overseas European Warrants Aug 2014 A	2014/07/29	2014/08/05
12934	J P Morgan SP BV – Ch Railway Cons European Wts Aug 2014 A	2014/07/29	2014/08/05
12935	J P Morgan SP BV – China Mob European Wts Aug 2014 A	2014/07/29	2014/08/05
11285	J P Morgan SP BV – CITIC Sec European Warrants Aug 2014 A	2014/07/29	2014/08/05
11190	J P Morgan SP BV – Esprit European Put Warrants Aug 2014 A	2014/07/29	2014/08/05
13406	J P Morgan SP BV – Galaxy Ent European Warrants Aug 2014 E	2014/07/29	2014/08/05
13401	J P Morgan SP BV – ICBC European Warrants Aug 2014 A	2014/07/29	2014/08/05
14720	J P Morgan SP BV – Ping An European Warrants Aug 2014 A	2014/07/29	2014/08/05
13399	J P Morgan SP BV – Sands China European Warrants Aug 2014 C	2014/07/29	2014/08/05
13398	J P Morgan SP BV – SJM Holdings European Warrants Aug 2014 A	2014/07/29	2014/08/05
13990	J P Morgan SP BV – Tencent European Warrants Aug 2014 C	2014/07/29	2014/08/05
14774	J P Morgan SP BV – Tencent European Warrants Aug 2014 D	2014/07/29	2014/08/05
13054	Macquarie Bank Ltd. – A50 China European Warrants Aug 2014 A	2014/07/29	2014/08/05
25800	Macquarie Bank Ltd. – Agile Ppt European Warrants Aug 2014 A	2014/07/29	2014/08/05
12970	Macquarie Bank Ltd. – AIA European Warrants Aug 2014 A	2014/07/29	2014/08/05
12658	Macquarie Bank Ltd. – BankComm European Warrants Aug 2014 A	2014/07/29	2014/08/05
11041	Macquarie Bank Ltd. – CC Bank European Warrants Aug 2014 A	2014/07/29	2014/08/05
28094	Macquarie Bank Ltd. – Chi Res Land European Wts Aug 2014 A	2014/07/29	2014/08/05
27939	Macquarie Bank Ltd. – China Agri European Wts Aug 2014 A	2014/07/29	2014/08/05
12735	Macquarie Bank Ltd. – China Coal European Wts Aug 2014 A	2014/07/29	2014/08/05
29480	Macquarie Bank Ltd. – China Life European Wts Aug 2014 A	2014/07/29	2014/08/05
11274	Macquarie Bank Ltd. – China Life European Wts Aug 2014 B	2014/07/29	2014/08/05
13622	Macquarie Bank Ltd. – China Mobile European Wts Aug 2014 A	2014/07/29	2014/08/05
12738	Macquarie Bank Ltd. – China Shenhua European Wts Aug 2014 A	2014/07/29	2014/08/05
13109	Macquarie Bank Ltd. – Chinares Cement European Wt Aug 2014 A	2014/07/29	2014/08/05
22854	Macquarie Bank Ltd. – CM Bank European Warrants Aug 2014 A	2014/07/29	2014/08/05
28665	Macquarie Bank Ltd. – CNBM European Warrants Aug 2014 A	2014/07/29	2014/08/05
12894	Macquarie Bank Ltd. – CNOOC European Warrants Aug 2014 A	2014/07/29	2014/08/05
26603	Macquarie Bank Ltd. – Esprit European Warrants Aug 2014 A	2014/07/29	2014/08/05
13200	Macquarie Bank Ltd. – Galaxy Ent European Wts Aug 2014 A	2014/07/29	2014/08/05
12869	Macquarie Bank Ltd. – Geely Auto European Wts Aug 2014 A	2014/07/29	2014/08/05
20267	Macquarie Bank Ltd. – Golden Eagle European Wts Aug 2014 A	2014/07/29	2014/08/05
11046	Macquarie Bank Ltd. – HKEx European Warrants Aug 2014 A	2014/07/29	2014/08/05
13163	Macquarie Bank Ltd. – HN Renewables European Wts Aug 2014 A	2014/07/29	2014/08/05
11491	Macquarie Bank Ltd. – Huaneng Power European Wts Aug 2014 A	2014/07/29	2014/08/05
12733	Macquarie Bank Ltd. – ICBC European Warrants Aug 2014 A	2014/07/29	2014/08/05
21379	Macquarie Bank Ltd. – Li & Fung European Warrants Aug 2014 A	2014/07/29	2014/08/05
23617	Macquarie Bank Ltd. – Minsheng Bank European Wts Aug 2014 A	2014/07/29	2014/08/05
13201	Macquarie Bank Ltd. – Minsheng Bank European Wts Aug 2014 B	2014/07/29	2014/08/05
12659	Macquarie Bank Ltd. – New World Dev European Wts Aug 2014 A	2014/07/29	2014/08/05
12623	Macquarie Bank Ltd. – PetroChina European Wts Aug 2014 A	2014/07/29	2014/08/05
13041	Macquarie Bank Ltd. – PetroChina European Wts Aug 2014 B	2014/07/29	2014/08/05
13108	Macquarie Bank Ltd. – Ping An European Warrants Aug 2014 A	2014/07/29	2014/08/05
12928	Macquarie Bank Ltd. – Sands China European Wts Aug 2014 A	2014/07/29	2014/08/05
26942	Macquarie Bank Ltd. – Shimao Ppt European Wts Aug 2014 A	2014/07/29	2014/08/05
12601	Macquarie Bank Ltd. – Tencent European Put Wts Aug 2014 A	2014/07/29	2014/08/05
13107	Macquarie Bank Ltd. – Wharf European Warrants Aug 2014 A	2014/07/29	2014/08/05
13085	Macquarie Bank Ltd. – Wynn Macau European Wts Aug 2014 A	2014/07/29	2014/08/05
11773	Macquarie Bank Ltd. – Xinyi Glass European Wts Aug 2014 A	2014/07/29	2014/08/05
11066	Macquarie Bank Ltd. – Yanzhou Coal European Wts Aug 2014 A	2014/07/29	2014/08/05
13019	Macquarie Bank Ltd. –Greatwall Motor European Wts Aug 2014 A	2014/07/29	2014/08/05
11460	Macquarie Bank Ltd. –Want Want China European Wts Aug 2014 A	2014/07/29	2014/08/05
12731	Macquarie Bank Ltd. –Agricultural Bk Euro Warrants Aug 2014 A	2014/07/29	2014/08/05
14084	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2014 B	2014/07/29	2014/08/05
13072	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Aug 2014 A	2014/07/29	2014/08/05
28461	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Aug 2014 A	2014/07/29	2014/08/05
11856	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Aug 2014 A	2014/07/29	2014/08/05
11156	SGA Societe Generale Acceptance N.V. – AAC Tech Eu Wt Aug14A	2014/07/29	2014/08/05
12348	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Aug 2014 A	2014/07/29	2014/08/05
28407	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Aug 2014A	2014/07/29	2014/08/05
13265	SGA Societe Generale Acceptance N.V. – BOCCom Eu Wt Aug 2014A	2014/07/29	2014/08/05
12924	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Aug 2014 A	2014/07/29	2014/08/05
12925	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2014 A	2014/07/29	2014/08/05
13711	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2014 B	2014/07/29	2014/08/05
28885	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 14 A	2014/07/29	2014/08/05
13776	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 14 C	2014/07/29	2014/08/05
13392	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug2014B	2014/07/29	2014/08/05
12872	SGA Societe Generale Acceptance N.V. – CITIC Euro Wt Aug14A	2014/07/29	2014/08/05
29144	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2014A	2014/07/29	2014/08/05
11665	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2014B	2014/07/29	2014/08/05
12593	SGA Societe Generale Acceptance N.V. – CTEL Eu Wt Aug 2014 A	2014/07/29	2014/08/05

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
26855	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Aug 14 A	2014/07/29	2014/08/05
13393	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2014 A	2014/07/29	2014/08/05
15690	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2014 B	2014/07/29	2014/08/05
11110	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2014 A	2014/07/29	2014/08/05
11607	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2014 B	2014/07/29	2014/08/05
13381	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2014 C	2014/07/29	2014/08/05
13814	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2014 D	2014/07/29	2014/08/05
13394	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2014 A	2014/07/29	2014/08/05
27667	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2014 A	2014/07/29	2014/08/05
28969	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2014 B	2014/07/29	2014/08/05
13132	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2014 C	2014/07/29	2014/08/05
27198	SGA Societe Generale Acceptance N.V. – JIANG Eu Wt Aug2014 A	2014/07/29	2014/08/05
12556	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Aug14A	2014/07/29	2014/08/05
13582	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Aug 2014A	2014/07/29	2014/08/05
12557	SGA Societe Generale Acceptance N.V. – PICC Eu Wts Aug 2014A	2014/07/29	2014/08/05
13713	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Aug14A	2014/07/29	2014/08/05
11178	SGA Societe Generale Acceptance N.V. – Wharf Eu Wts Aug 14 A	2014/07/29	2014/08/05
12595	SGA Societe Generale Acceptance N.V. – Wharf Eu Wts Aug 14 B	2014/07/29	2014/08/05
27567	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug2014 A	2014/07/29	2014/08/05
12681	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Aug 2014 A	2014/07/29	2014/08/05
12628	SGA Societe Generale Acceptance N.V. – CCoal Eu Wt Aug 2014 A	2014/07/29	2014/08/05
11658	SGA Societe Generale Acceptance N.V. – CITIC Sec Eu Wt Aug14A	2014/07/29	2014/08/05
11214	SGA Societe Generale Acceptance N.V. – CLife Eu Put Wt Aug14C	2014/07/29	2014/08/05
28630	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2014 A	2014/07/29	2014/08/05
11213	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2014 B	2014/07/29	2014/08/05
12413	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2014 D	2014/07/29	2014/08/05
27472	SGA Societe Generale Acceptance N.V. – CPC Eur Wt Aug 2014 A	2014/07/29	2014/08/05
12873	SGA Societe Generale Acceptance N.V. – Greatwall Eu Wt Aug14A	2014/07/29	2014/08/05
13044	SGA Societe Generale Acceptance N.V. – Greatwall Eu Wt Aug14B	2014/07/29	2014/08/05
29132	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Aug 14 A	2014/07/29	2014/08/05
11547	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Aug 14 B	2014/07/29	2014/08/05
13585	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Aug 14 C	2014/07/29	2014/08/05
12567	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Aug 14 A	2014/07/29	2014/08/05
13898	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Aug 14 B	2014/07/29	2014/08/05
14001	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Aug 14 C	2014/07/29	2014/08/05
12760	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Aug14A	2014/07/29	2014/08/05
13175	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Aug14C	2014/07/29	2014/08/05
13748	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Aug14D	2014/07/29	2014/08/05
12762	SGA Societe Generale Acceptance N.V. – Galaxy Ent Put Wt Aug14B	2014/07/29	2014/08/05
11661	SGA Societe Generale Acceptance N.V. – Geely Auto Eu Wt Aug14A	2014/07/29	2014/08/05
28363	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Aug14A	2014/07/29	2014/08/05
11628	SGA Societe Generale Acceptance N.V. – Chi COSCO Eu Wt Aug 14 A	2014/07/29	2014/08/05
11610	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Aug14A	2014/07/29	2014/08/05
13751	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Aug14B	2014/07/29	2014/08/05
12517	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Aug14A	2014/07/29	2014/08/05
13305	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Aug 14B	2014/07/29	2014/08/05
28872	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Aug14 A	2014/07/29	2014/08/05
13856	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Aug14 C	2014/07/29	2014/08/05
11224	SGA Societe Generale Acceptance NV – Zijin Mining Eu Wt Aug14A	2014/07/29	2014/08/05
11582	Standard Chartered Bank – Sinopec Corp Eu Put Wt Aug 2014 A	2014/07/29	2014/08/05
13683	Standard Chartered Bank – AIA European Warrants Aug 2014 A	2014/07/29	2014/08/05
11712	Standard Chartered Bank – BOC HK European Wts Aug 2014 A	2014/07/29	2014/08/05
13682	Standard Chartered Bank – CC Bank European Wts Aug 2014 B	2014/07/29	2014/08/05
13902	Standard Chartered Bank – ICBC European Warrants Aug 2014 B	2014/07/29	2014/08/05
20961	Standard Chartered Bank – Li & Fung European Wts Aug 2014 A	2014/07/29	2014/08/05
12727	Standard Chartered Bank – SHK P European Put Wts Aug 2014 A	2014/07/29	2014/08/05
14019	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2014 B	2014/07/29	2014/08/05
18330	UBS AG – A50 China European Warrants Aug 2014 A	2014/07/29	2014/08/05
13317	UBS AG – ICBC European Warrants Aug 2014 A	2014/07/29	2014/08/05
14735	UBS AG – Kingsoft European Warrants Aug 2014 B	2014/07/29	2014/08/05
13318	UBS AG – Minsheng Bank European Warrants Aug 2014 A	2014/07/29	2014/08/05
14331	UBS AG – PetroChina European Warrants Aug 2014 A	2014/07/29	2014/08/05
13386	UBS AG – Ping An European Warrants Aug 2014 A	2014/07/29	2014/08/05
14210	UBS AG – Sinopec Corp European Warrants Aug 2014 A	2014/07/29	2014/08/05
13986	UBS AG – Tencent Holdings European Warrants Aug 2014 B	2014/07/29	2014/08/05
13502	J P Morgan SP BV – BankComm European Warrants Aug 2014 A	2014/07/30	2014/08/06
13500	J P Morgan SP BV – Haier Elec European Warrants Aug 2014 A	2014/07/30	2014/08/06
13501	J P Morgan SP BV – Huishan Dairy European Warrants Aug 2014A	2014/07/30	2014/08/06
12963	Standard Chartered Bank – China Mobile Euro Wts Aug 2014 B	2014/07/30	2014/08/06
21726	Standard Chartered Bank – Chow Tai Fook Euro Wts Aug 2014 B	2014/07/30	2014/08/06
13479	UBS AG – China Mobile European Warrants Aug 2014 A	2014/07/30	2014/08/06
14158	Bank of East Asia – Galaxy Ent European Warrants Aug 2014 A	2014/07/31	2014/08/07
13572	J P Morgan SP BV – HSBC European Warrants Aug 2014 A	2014/07/31	2014/08/07
13570	J P Morgan SP BV – PetroChina European Warrants Aug 2014 A	2014/07/31	2014/08/07
13553	Macquarie Bank Ltd. – Angang Steel European Wts Aug 2014 A	2014/07/31	2014/08/07
13554	Macquarie Bank Ltd. – Huishan Dairy European Warrants Aug14A	2014/07/31	2014/08/07
18437	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Dec 14A	2014/08/06	2014/08/07
28204	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2014 A	2014/08/06	2014/08/07
15006	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Oct 2014 A	2014/08/06	2014/08/07
28238	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2014 D	2014/08/06	2014/08/07
15004	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2014 A	2014/08/06	2014/08/07
28529	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2015 A	2014/08/06	2014/08/07
28045	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Put Wt Nov14A	2014/08/06	2014/08/07
16278	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2015 A	2014/08/06	2014/08/07
15010	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2015 A	2014/08/06	2014/08/07
12649	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Oct 2014A	2014/08/06	2014/08/07
13430	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2014 B	2014/08/06	2014/08/07

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
28063	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2015 B	2014/08/06	2014/08/07
23705	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2014 B	2014/08/06	2014/08/07
15287	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2014 B	2014/08/06	2014/08/07
14460	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2014 D	2014/08/06	2014/08/07
25175	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2014 A	2014/08/06	2014/08/07
28054	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2015 A	2014/08/06	2014/08/07
23711	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jul 2015 A	2014/08/06	2014/08/07
23700	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2014 A	2014/08/06	2014/08/07
25168	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2014 C	2014/08/06	2014/08/07
15286	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2014 A	2014/08/06	2014/08/07
16277	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2014 D	2014/08/06	2014/08/07
15064	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2015 A	2014/08/06	2014/08/07
15016	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2014 A	2014/08/06	2014/08/07
17902	Merrill Lynch Int'l & Co. C.V. – Lenovo Euro Wts Dec 2015 A	2014/08/06	2014/08/07
28228	Merrill Lynch Int'l & Co. C.V. – Lenovo Euro Wts Feb 2015 A	2014/08/06	2014/08/07
26073	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Sep 14 A	2014/08/06	2014/08/07
15946	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jan 2015 A	2014/08/06	2014/08/07
15937	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Apr 2015A	2014/08/06	2014/08/07
15942	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Feb 2015B	2014/08/06	2014/08/07
15938	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2015 B	2014/08/06	2014/08/07
14464	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Aug 2014 D	2014/08/06	2014/08/07
15771	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2014 A	2014/08/06	2014/08/07
15940	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2015 A	2014/08/06	2014/08/07
15686	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2014 A	2014/08/06	2014/08/07
12544	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Sep 2014 A	2014/08/06	2014/08/07
28048	Merrill Lynch Int'l & Co. C.V. – Sands China Eu Put Wt Nov14C	2014/08/06	2014/08/07
21431	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Put Wt Jan15A	2014/08/06	2014/08/07
13435	Nomura Int'l plc – China Mobile European Warrants Aug 2014 A	2014/07/31	2014/08/07
13436	Nomura Int'l plc – Lenovo European Warrants Aug 2014 A	2014/07/31	2014/08/07
28213	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Feb 2015A	2014/08/07	2014/08/08
28097	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2015 A	2014/08/07	2014/08/08
24581	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jan 2015 A	2014/08/07	2014/08/08
13448	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Aug 2014 A	2014/08/01	2014/08/08
13449	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Aug 2014 B	2014/08/01	2014/08/08
13450	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Aug 14 A	2014/08/01	2014/08/08
24919	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Nov 14 A	2014/08/07	2014/08/08
13534	Nomura Int'l plc – BankComm European Warrants Aug 2014 A	2014/08/01	2014/08/08
13549	UBS AG – Huishan Dairy European Warrants Aug 2014 A	2014/08/01	2014/08/08
28032	Credit Suisse AG – Esprit European Warrants Aug 2014 A	2014/08/04	2014/08/11
14018	J P Morgan SP BV – A50 China European Warrants Aug 2014	2014/08/04	2014/08/11
12657	Macquarie Bank Ltd. – CC Bank European Warrants Aug 2014 B	2014/08/04	2014/08/11
28016	Nomura Int'l plc – Foxconn European Warrants Aug 2014 A	2014/08/04	2014/08/11
13438	Nomura Int'l plc – Haier Elec European Warrants Aug 2014 B	2014/08/04	2014/08/11
13463	Nomura Int'l plc – Huishan Dairy European Warrants Aug 2014A	2014/08/04	2014/08/11
13521	Nomura Int'l plc – Hutchison European Warrants Aug 2014 A	2014/08/04	2014/08/11
13533	Nomura Int'l plc – Minsheng Bank European Wts Aug 2014 A	2014/08/04	2014/08/11
28014	Nomura Int'l plc – ZTE European Warrants Aug 2014 A	2014/08/04	2014/08/11
22083	Standard Chartered Bank – A50 China Euro Warrants Aug 2014 A	2014/08/04	2014/08/11
13291	Standard Chartered Bank – Agricultural Bk Eur Wts Aug 2014 B	2014/08/04	2014/08/11
27515	Standard Chartered Bank – Anhui Conch Euro Wts Aug 2014 A	2014/08/04	2014/08/11
11599	Standard Chartered Bank – China Coal European Wts Aug 2014 A	2014/08/04	2014/08/11
27209	Standard Chartered Bank – China COSCO European Wt Aug 2014 A	2014/08/04	2014/08/11
20300	Standard Chartered Bank – Chow Tai Fook Euro Wts Aug 2014 A	2014/08/04	2014/08/11
22082	Standard Chartered Bank – CSOP A50 ETF Euro Wts Aug 2014 A	2014/08/04	2014/08/11
25520	Standard Chartered Bank – Datang Power Euro Wts Aug 2014 A	2014/08/04	2014/08/11
27210	Standard Chartered Bank – Foxconn European Wts Aug 2014 A	2014/08/04	2014/08/11
26633	Standard Chartered Bank – Galaxy Ent European Wts Aug 2014 A	2014/08/04	2014/08/11
26975	Standard Chartered Bank – HSBC European Warrants Aug 2014 A	2014/08/04	2014/08/11
13476	Standard Chartered Bank – HSBC European Warrants Aug 2014 B	2014/08/04	2014/08/11
26980	Standard Chartered Bank – Ping An European Wts Aug 2014 A	2014/08/04	2014/08/11
26632	Standard Chartered Bank – Sands China Euro Wts Aug 2014 A	2014/08/04	2014/08/11
28505	Standard Chartered Bank – SJM Hold European Wts Aug 2014 A	2014/08/04	2014/08/11
26618	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2014 A	2014/08/04	2014/08/11
28166	Standard Chartered Bank – TVB European Warrants Aug 2014 A	2014/08/04	2014/08/11
12223	Standard Chartered Bank – Zhaojin Mining Euro Wts Aug 2014 A	2014/08/04	2014/08/11
23486	Standard Chartered Bank-China Life European Wts Aug 2014 A	2014/08/04	2014/08/11
11925	UBS AG – CC Bank European Warrants Aug 2014 A	2014/08/04	2014/08/11
29095	UBS AG – China Life European Warrants Aug 2014 A	2014/08/04	2014/08/11
27117	UBS AG – Esprit European Warrants Aug 2014 A	2014/08/04	2014/08/11
13185	UBS AG – Galaxy Ent European Warrants Aug 2014 A	2014/08/04	2014/08/11
13812	UBS AG – HSBC European Warrants Aug 2014 B	2014/08/04	2014/08/11
13278	UBS AG – Sands China European Warrants Aug 2014 A	2014/08/04	2014/08/11
12794	BOCI Asia Ltd. – Agricultural Bk European Wts Aug 2014 A	2014/08/05	2014/08/12
27765	BOCI Asia Ltd. – CNOOC European Put Warrants Aug 2014 A	2014/08/05	2014/08/12
13538	BOCI Asia Ltd. – Sands China European Warrants Aug 2014 A	2014/08/05	2014/08/12
13579	Credit Suisse AG – China Mobile European Warrants Aug 2014 B	2014/08/05	2014/08/12
13618	Credit Suisse AG – China Mobile European Warrants Aug 2014 C	2014/08/05	2014/08/12
13481	Credit Suisse AG – CNOOC European Warrants Aug 2014 B	2014/08/05	2014/08/12
13350	Credit Suisse AG – Galaxy Ent European Warrants Aug 2014 B	2014/08/05	2014/08/12
13578	Credit Suisse AG – Hutchison European Warrants Aug 2014 A	2014/08/05	2014/08/12
13617	Credit Suisse AG – Ping An European Warrants Aug 2014 A	2014/08/05	2014/08/12
13723	Credit Suisse AG – Ping An European Warrants Aug 2014 B	2014/08/05	2014/08/12
13722	Credit Suisse AG – Sands China European Warrants Aug 2014 A	2014/08/05	2014/08/12
13180	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 C	2014/08/05	2014/08/12
13588	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 D	2014/08/05	2014/08/12
16204	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 I	2014/08/05	2014/08/12
13630	Daiwa Capital Mkt – ICBC European Warrants Aug 2014 B	2014/08/05	2014/08/12

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13457	HK Bank – AIA European Warrants Aug 2014 B	2014/08/05	2014/08/12
13743	HK Bank – China Life European Warrants Aug 2014 D	2014/08/05	2014/08/12
14728	HK Bank – China Life European Warrants Aug 2014 E	2014/08/05	2014/08/12
13451	HK Bank – PetroChina European Warrants Aug 2014 A	2014/08/05	2014/08/12
13735	J P Morgan SP BV – China Life European Warrants Aug 2014 B	2014/08/05	2014/08/12
15806	J P Morgan SP BV – Tencent European Warrants Aug 2014 G	2014/08/05	2014/08/12
14075	Macquarie Bank Ltd. – Haier Elec European Wts Aug 2014 A	2014/08/05	2014/08/12
13447	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2014 C	2014/08/05	2014/08/12
15011	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2014 C	2014/08/05	2014/08/12
13068	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Put Wt Aug14A	2014/08/05	2014/08/12
13099	Merrill Lynch Int'l & Co. C.V. – Lenovo Euro Wts Aug 2014 A	2014/08/05	2014/08/12
13246	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Aug 2014 A	2014/08/05	2014/08/12
13512	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Aug 2014 A	2014/08/05	2014/08/12
13641	SGA Societe Generale Acceptance N.V. – CSR Euro Wts Aug 14 A	2014/08/05	2014/08/12
23401	SGA Societe Generale Acceptance N.V. – Zhaojin Eu Wt Aug14 A	2014/08/05	2014/08/12
13680	Standard Chartered Bank – CITIC Sec European Wts Aug 2014 A	2014/08/05	2014/08/12
14240	UBS AG – ICBC European Warrants Aug 2014 B	2014/08/05	2014/08/12
13633	UBS AG – Kingsoft European Warrants Aug 2014 A	2014/08/05	2014/08/12
13638	UBS AG – Lenovo European Warrants Aug 2014 D	2014/08/05	2014/08/12
15461	UBS AG – Ping An European Warrants Aug 2014 B	2014/08/05	2014/08/12
13727	UBS AG – Wynn Macau European Warrants Aug 2014 A	2014/08/05	2014/08/12
25278	UBS AG – Zhaojin Mining European Warrants Aug 2014 A	2014/08/05	2014/08/12
13773	Credit Suisse AG – Lenovo European Warrants Aug 2014 A	2014/08/06	2014/08/13
13813	UBS AG – Wynn Macau European Put Warrants Aug 2014 B	2014/08/07	2014/08/14
16117	HK Bank – Tencent European Warrants Aug 2014 I	2014/08/08	2014/08/15
14879	UBS AG – Huishan Dairy European Warrants Aug 2014 B	2014/08/08	2014/08/15
13419	Citigroup Global Mkt H Inc. – Haier Elec Euro Wts Aug 2014 A	2014/08/11	2014/08/18
13421	Citigroup Global Mkt H Inc. – Huishan Dairy Eu Wt Aug 2014 A	2014/08/11	2014/08/18
13506	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Aug 2014 A	2014/08/11	2014/08/18
13420	Citigroup Global Mkt H Inc. – Truly Int'l Eur Wt Aug 2014 A	2014/08/11	2014/08/18
29527	Credit Suisse AG – A50 China European Warrants Aug 2014 A	2014/08/11	2014/08/18
13651	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Aug 2014 A	2014/08/11	2014/08/18
14236	Standard Chartered Bank -Kingsoft European Wts Aug 2014 A	2014/08/11	2014/08/18
25284	UBS AG – A50 China European Warrants Aug 2014 B	2014/08/11	2014/08/18
14423	BOCI Asia Ltd. – CC Bank European Warrants Aug 2014 B	2014/08/12	2014/08/19
13745	BOCI Asia Ltd. – Cheung Kong European Warrants Aug 2014 A	2014/08/12	2014/08/19
12699	BOCI Asia Ltd. – ICBC European Warrants Aug 2014 A	2014/08/12	2014/08/19
28722	BOCI Asia Ltd. – KECL European Warrants Aug 2014 A	2014/08/12	2014/08/19
12624	BOCI Asia Ltd. – Kingsoft European Warrants Aug 2014 A	2014/08/12	2014/08/19
13676	BOCI Asia Ltd. – SHK Ppt European Warrants Aug 2014 A	2014/08/12	2014/08/19
21591	CC Rabobank B.A. – Chow Tai Fook European Wts Aug 2014 A	2014/08/12	2014/08/19
13883	Credit Suisse AG – China Mengniu European Wts Aug 2014 A	2014/08/12	2014/08/19
13290	Credit Suisse AG – Galaxy Ent European Warrants Aug 2014 A	2014/08/12	2014/08/19
25615	Credit Suisse AG – KECL European Warrants Aug 2014 A	2014/08/12	2014/08/19
14246	Credit Suisse AG – Tencent Holdings Euro Put Wts Aug 2014 G	2014/08/12	2014/08/19
13887	Credit Suisse AG – Xinyi Glass European Warrants Aug 2014 A	2014/08/12	2014/08/19
13860	Daiwa Capital Mkt – AIA European Put Warrants Aug 2014 A	2014/08/12	2014/08/19
13861	Daiwa Capital Mkt – Ping An European Warrants Aug 2014 B	2014/08/12	2014/08/19
11165	Goldman Sachs SP (Asia) – Chow Tai Fook Euro Wts Aug 2014 B	2014/08/12	2014/08/19
25863	Goldman Sachs SP (Asia) – KECL European Warrants Aug 2014 A	2014/08/12	2014/08/19
14130	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2014 B	2014/08/12	2014/08/19
14303	HK Bank – Tencent European Warrants Aug 2014 E	2014/08/12	2014/08/19
13833	Macquarie Bank Ltd. – China Mengniu European Wts Aug 2014 A	2014/08/12	2014/08/19
25884	Macquarie Bank Ltd. – KECL European Warrants Aug 2014 A	2014/08/12	2014/08/19
13074	Merrill Lynch Int'l & Co. C.V. – Sands China Euro Wt Aug14 A	2014/08/12	2014/08/19
13009	Nomura Int'l plc – GCL-Poly Energy European Warrants Aug14 A	2014/08/12	2014/08/19
11703	Nomura Int'l plc – Haier Elec European Warrants Aug 2014 A	2014/08/12	2014/08/19
12615	Nomura Int'l plc – Kingsoft European Warrants Aug 2014 A	2014/08/12	2014/08/19
14552	Nomura Int'l plc – Tencent Holdings Euro Warrants Aug 2014 A	2014/08/12	2014/08/19
25581	Standard Chartered Bank – KECL European Warrants Aug 2014 A	2014/08/12	2014/08/19
14280	Standard Chartered Bank – Tencent Hldg Eu Put Wts Aug 2014 D	2014/08/12	2014/08/19
14275	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2014 C	2014/08/12	2014/08/19
29158	Standard Chartered Bank -PetroChina European Wts Aug 2014 A	2014/08/12	2014/08/19
13864	UBS AG – CC Bank European Warrants Aug 2014 B	2014/08/12	2014/08/19
13379	UBS AG – China Life European Warrants Aug 2014 D	2014/08/12	2014/08/19
13637	UBS AG – China Life European Warrants Aug 2014 E	2014/08/12	2014/08/19
13050	UBS AG – Lenovo European Put Warrants Aug 2014 A	2014/08/12	2014/08/19
13082	UBS AG – Lenovo European Warrants Aug 2014 B	2014/08/12	2014/08/19
13728	UBS AG – Sands China European Warrants Aug 2014 B	2014/08/12	2014/08/19
13876	UBS AG – SHK Ppt European Warrants Aug 2014 A	2014/08/12	2014/08/19
13828	UBS AG – SPDR Gold Trust European Warrants Aug 2014 A	2014/08/12	2014/08/19
13830	UBS AG – Tencent Holdings European Warrants Aug 2014 A	2014/08/12	2014/08/19
12988	Citigroup Global Mkt H Inc. – CITIC Sec Euro Wts Aug 2014 A	2014/08/13	2014/08/20
26351	HK Bank – KECL European Warrants Aug 2014 A	2014/08/13	2014/08/20
13932	J P Morgan SP BV – China Mengniu European Warrants Aug 2014A	2014/08/13	2014/08/20
28040	Macquarie Bank Ltd. – CITIC Sec European Warrants Aug 2014 A	2014/08/13	2014/08/20
13906	UBS AG – BYD European Warrants Aug 2014 A	2014/08/13	2014/08/20
13862	Daiwa Capital Mkt – A50 China European Warrants Aug 2014 A	2014/08/14	2014/08/21
13919	SGA Societe Generale Acceptance N.V.-Ch Mengniu Eu Wt Aug14A	2014/08/14	2014/08/21
13961	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Aug14B	2014/08/14	2014/08/21
14024	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Aug 2014 B	2014/08/15	2014/08/22
14525	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Aug 2014 A	2014/08/15	2014/08/22
13866	Citigroup Global Mkt H Inc. – Tong Ren Tang Euro Wts Aug14A	2014/08/15	2014/08/22
15895	Citigroup Global Mkt H Inc.-Tencent European Wts Aug 2014 C	2014/08/15	2014/08/22
14449	HK Bank – ICBC European Warrants Aug 2014 B	2014/08/15	2014/08/22
13968	Macquarie Bank Ltd. – Ch Modern D European Warrants Aug 14 A	2014/08/15	2014/08/22
14233	Standard Chartered Bank – BYD European Warrants Aug 2014 A	2014/08/15	2014/08/22

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14999	UBS AG – Hutchison European Warrants Aug 2014 A	2014/08/15	2014/08/22
12260	UBS AG – PICC European Warrants Aug 2014 A	2014/08/15	2014/08/22
13232	Bank of East Asia – Kingsoft European Warrants Aug 2014 A	2014/08/18	2014/08/25
14254	Bank of East Asia – China Overseas Euro Warrants Aug 2014 A	2014/08/18	2014/08/25
14027	Citigroup Global Mkt H Inc. – China Life Euro Wts Aug 2014 A	2014/08/18	2014/08/25
12422	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Aug 2014 A	2014/08/18	2014/08/25
12802	Daiwa Capital Mkt – China Life European Warrants Aug 2014 B	2014/08/18	2014/08/25
14398	Daiwa Capital Mkt – ICBC European Put Warrants Aug 2014 C	2014/08/18	2014/08/25
15289	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Aug 2014 E	2014/08/18	2014/08/25
14489	HK Bank – Tencent European Warrants Aug 2014 G	2014/08/18	2014/08/25
14462	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Aug 2014 C	2014/08/18	2014/08/25
15247	Standard Chartered Bank – Evergrande European Wts Aug 2014 A	2014/08/18	2014/08/25
16127	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2014 E	2014/08/18	2014/08/25
14237	Standard Chartered Bank -Kingsoft European Wts Aug 2014 B	2014/08/18	2014/08/25
29742	UBS AG – CAM CSI300 European Warrants Aug 2014 A	2014/08/18	2014/08/25
14140	UBS AG – China Overseas European Warrants Aug 2014 A	2014/08/18	2014/08/25
12305	UBS AG – China Shenhua European Warrants Aug 2014 B	2014/08/18	2014/08/25
16048	UBS AG – Kingsoft European Warrants Aug 2014 D	2014/08/18	2014/08/25
13536	BOCI Asia Ltd. – China Life European Warrants Aug 2014 A	2014/08/19	2014/08/26
13649	Citigroup Global Mkt H Inc. – A50 China Euro Wts Aug 2014 B	2014/08/19	2014/08/26
14373	Citigroup Global Mkt H Inc. – BOCL European Wts Aug 2014 A	2014/08/19	2014/08/26
14767	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Aug 2014 B	2014/08/19	2014/08/26
14065	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Aug 2014 B	2014/08/19	2014/08/26
14025	Citigroup Global Mkt H Inc.-Tencent European Wts Aug 2014 A	2014/08/19	2014/08/26
13715	Credit Suisse AG – BOCL European Warrants Aug 2014 B	2014/08/19	2014/08/26
13484	Credit Suisse AG – China Life European Warrants Aug 2014 C	2014/08/19	2014/08/26
11405	Credit Suisse AG – China Shenhua European Wts Aug 2014 A	2014/08/19	2014/08/26
11084	Credit Suisse AG – CITIC Sec European Warrants Aug 2014 A	2014/08/19	2014/08/26
14315	Credit Suisse AG – Geely Auto European Warrants Aug 2014 A	2014/08/19	2014/08/26
14290	Credit Suisse AG – Hutchison European Warrants Aug 2014 B	2014/08/19	2014/08/26
15319	Credit Suisse AG – Ping An European Warrants Aug 2014 C	2014/08/19	2014/08/26
11752	Credit Suisse AG – SJM Holdings European Warrants Aug 2014 A	2014/08/19	2014/08/26
14289	Credit Suisse AG – Tencent Holdings Euro Put Wts Aug 2014 H	2014/08/19	2014/08/26
14012	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 E	2014/08/19	2014/08/26
13767	Daiwa Capital Mkt – BOCL European Warrants Aug 2014 C	2014/08/19	2014/08/26
14010	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Aug 2014 C	2014/08/19	2014/08/26
14009	Daiwa Capital Mkt – Tencent Holdings European Wts Aug 2014 B	2014/08/19	2014/08/26
13575	Goldman Sachs SP (Asia) – CITIC Sec European Wts Aug 2014 A	2014/08/19	2014/08/26
13849	HK Bank – BOCL European Warrants Aug 2014 C	2014/08/19	2014/08/26
12782	HK Bank – China Life European Warrants Aug 2014 B	2014/08/19	2014/08/26
11716	HK Bank – China Mobile European Warrants Aug 2014 A	2014/08/19	2014/08/26
14105	HK Bank – Haitong Sec European Warrants Aug 2014 A	2014/08/19	2014/08/26
13354	HK Bank – ICBC European Warrants Aug 2014 A	2014/08/19	2014/08/26
16066	HK Bank – Ping An European Warrants Aug 2014 B	2014/08/19	2014/08/26
13513	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2014 B	2014/08/19	2014/08/26
17659	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Aug 2014 B	2014/08/19	2014/08/26
13287	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2014 D	2014/08/19	2014/08/26
29694	Standard Chartered Bank – China Life Euro Put Wts Aug 2014 D	2014/08/19	2014/08/26
16011	Standard Chartered Bank – Ping An European Wts Aug 2014 B	2014/08/19	2014/08/26
28863	Standard Chartered Bank-China Life European Wts Aug 2014 B	2014/08/19	2014/08/26
12877	UBS AG – China Life European Warrants Aug 2014 C	2014/08/19	2014/08/26
14139	UBS AG – CITIC Bank European Warrants Aug 2014 A	2014/08/19	2014/08/26
13634	UBS AG – Galaxy Ent European Warrants Aug 2014 B	2014/08/19	2014/08/26
25920	UBS AG – Haitong Sec European Warrants Aug 2014 A	2014/08/19	2014/08/26
24905	UBS AG – KECL European Warrants Aug 2014 A	2014/08/19	2014/08/26
13547	UBS AG – Lenovo European Put Warrants Aug 2014 C	2014/08/19	2014/08/26
14312	UBS AG – Sinopec Corp European Warrants Aug 2014 B	2014/08/19	2014/08/26
14006	UBS AG – Tencent Holdings European Put Warrants Aug 2014 C	2014/08/19	2014/08/26
14333	UBS AG – Tencent Holdings European Warrants Aug 2014 D	2014/08/19	2014/08/26
28429	Bank of East Asia – Angang Steel European Warrants Aug 2014A	2014/08/20	2014/08/27
27504	Bank of East Asia – CITIC Sec European Warrants Aug 2014 A	2014/08/20	2014/08/27
26794	Bank of East Asia – CM Bank European Warrants Aug 2014 A	2014/08/20	2014/08/27
27338	Bank of East Asia – Yanzhou Coal European Wts Aug 2014 A	2014/08/20	2014/08/27
28721	BOCI Asia Ltd. – CM Bank European Warrants Aug 2014 A	2014/08/20	2014/08/27
27219	BOCI Asia Ltd. – Yanzhou Coal European Warrants Aug 2014 A	2014/08/20	2014/08/27
15552	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Aug 2014 A	2014/08/20	2014/08/27
27036	Daiwa Capital Mkt – BOCL European Warrants Aug 2014 A	2014/08/20	2014/08/27
15366	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Aug 2014 F	2014/08/20	2014/08/27
13300	HK Bank – AIA European Warrants Aug 2014 A	2014/08/20	2014/08/27
26750	HK Bank – BOCL European Warrants Aug 2014 A	2014/08/20	2014/08/27
26694	HK Bank – CM Bank European Warrants Aug 2014 A	2014/08/20	2014/08/27
13789	HK Bank – Dongfeng Motor European Warrants Aug 2014 A	2014/08/20	2014/08/27
29880	HK Bank – Yanzhou Coal European Warrants Aug 2014 B	2014/08/20	2014/08/27
13094	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Aug 2014 A	2014/08/20	2014/08/27
14557	Nomura Int'l plc – China Cinda European Warrants Aug 2014 A	2014/08/20	2014/08/27
14415	Standard Chartered Bank – China Cinda Euro Wts Aug 2014 B	2014/08/20	2014/08/27
14613	Standard Chartered Bank – China Mobile Eur Put Wt Aug 2014 C	2014/08/20	2014/08/27
27302	Standard Chartered Bank – Zijin Mining Euro Wts Aug 2014 A	2014/08/20	2014/08/27
13181	UBS AG – AIA European Warrants Aug 2014 A	2014/08/20	2014/08/27
12412	UBS AG – BOCL European Warrants Aug 2014 A	2014/08/20	2014/08/27
16041	UBS AG – BYD European Warrants Aug 2014 B	2014/08/20	2014/08/27
14386	UBS AG – China Cinda European Warrants Aug 2014 A	2014/08/20	2014/08/27
14998	UBS AG – Galaxy Ent European Put Warrants Aug 2014 C	2014/08/20	2014/08/27
14335	UBS AG – Sands China European Warrants Aug 2014 C	2014/08/20	2014/08/27
29739	UBS AG – Yanzhou Coal European Wts Aug 2014 A	2014/08/20	2014/08/27
13166	BOCI Asia Ltd. – SJM Holdings European Warrants Aug 2014 A	2014/08/21	2014/08/28
14296	Citigroup Global Mkt H Inc. – HSBC European Wts Aug 2014 A	2014/08/21	2014/08/28

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13879	Credit Suisse AG – CC Bank European Warrants Aug 2014 D	2014/08/21	2014/08/28
14437	Credit Suisse AG – China Cinda European Warrants Aug 2014 A	2014/08/21	2014/08/28
14745	Credit Suisse AG – HSBC European Wts Aug 2014 B	2014/08/21	2014/08/28
13873	Daiwa Capital Mkt – CCB European Warrants Aug 2014 B	2014/08/21	2014/08/28
28084	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2014 A	2014/08/21	2014/08/28
15609	Goldman Sachs SP (Asia) – Sands China Euro Wts Aug 2014 B	2014/08/21	2014/08/28
14488	HK Bank – Tencent European Put Warrants Aug 2014 F	2014/08/21	2014/08/28
16241	J P Morgan SP BV – HSBC European Warrants Aug 2014 B	2014/08/21	2014/08/28
14548	Nomura Int'l plc – Geely Auto European Warrants Aug 2014 A	2014/08/21	2014/08/28
13714	SGA Societe Generale Acceptance N.V.-Wynn Macau Eu Wt Aug14A	2014/08/21	2014/08/28
14229	Standard Chartered Bank – Lenovo European Wts Aug 2014 A	2014/08/21	2014/08/28
15472	Standard Chartered Bank – Sands China Euro Wts Aug 2014 B	2014/08/21	2014/08/28
16245	Standard Chartered Bank –Kingsoft European Wts Aug 2014 C	2014/08/21	2014/08/28
14096	UBS AG – Greatwall Motor European Warrants Aug 2014 A	2014/08/21	2014/08/28
14893	BOCI Asia Ltd. – HSCEI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13375	BOCI Asia Ltd. – HSI European Put Warrants Aug 2014 B	2014/08/22	2014/08/29
13801	BOCI Asia Ltd. – HSI European Put Warrants Aug 2014 D	2014/08/22	2014/08/29
11517	BOCI Asia Ltd. – HSI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13376	BOCI Asia Ltd. – HSI European Warrants Aug 2014 C	2014/08/22	2014/08/29
13087	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts Aug 2014 A	2014/08/22	2014/08/29
28986	Credit Suisse AG – Brilliance Chi European Warrants Sep 14 A	2014/08/28	2014/08/29
11506	Credit Suisse AG – Ch Overseas European Warrants Sep 2014 A	2014/08/28	2014/08/29
14864	Credit Suisse AG – China Mobile European Warrants Sep 2014 A	2014/08/28	2014/08/29
12583	Credit Suisse AG – China Shenhua European Wts Sep 2014 B	2014/08/28	2014/08/29
28996	Credit Suisse AG – Dongfeng Motor European Warrants Nov 14 A	2014/08/28	2014/08/29
11085	Credit Suisse AG – Esprit European Warrants Sep 2014 A	2014/08/28	2014/08/29
11944	Credit Suisse AG – GAC European Warrants Feb 2015 A	2014/08/28	2014/08/29
13545	Credit Suisse AG – HSI European Put Warrants Aug 2014 B	2014/08/22	2014/08/29
13730	Credit Suisse AG – HSI European Put Warrants Aug 2014 C	2014/08/22	2014/08/29
13753	Credit Suisse AG – HSI European Put Warrants Aug 2014 D	2014/08/22	2014/08/29
15318	Credit Suisse AG – HSI European Put Warrants Aug 2014 G	2014/08/22	2014/08/29
11757	Credit Suisse AG – HSI European Warrants Aug 2014 A	2014/08/22	2014/08/29
14718	Credit Suisse AG – HSI European Warrants Aug 2014 E	2014/08/22	2014/08/29
14719	Credit Suisse AG – HSI European Warrants Aug 2014 F	2014/08/22	2014/08/29
16297	Credit Suisse AG – HSI European Warrants Oct 2014 C	2014/08/28	2014/08/29
14789	Credit Suisse AG – ICBC European Warrants Sep 2014 A	2014/08/28	2014/08/29
12349	Credit Suisse AG – Link REIT European Warrants Sep 2014 A	2014/08/28	2014/08/29
23234	Credit Suisse AG – New World Dev European Wts Oct 2014 A	2014/08/28	2014/08/29
15403	Credit Suisse AG – Tencent Holdings Euro Put Wts Oct 2014 D	2014/08/28	2014/08/29
11543	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 A	2014/08/22	2014/08/29
16456	Credit Suisse AG – Tencent Holdings European Wts Nov 2014 D	2014/08/28	2014/08/29
14865	Daiwa Capital Mkt – HSI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13688	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Aug 2014 B	2014/08/22	2014/08/29
13687	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2014 A	2014/08/22	2014/08/29
25718	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Aug 2014 A	2014/08/22	2014/08/29
13461	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2014 A	2014/08/22	2014/08/29
14787	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2014 B	2014/08/22	2014/08/29
15605	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2014 E	2014/08/22	2014/08/29
16677	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2014 F	2014/08/22	2014/08/29
15014	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2014 C	2014/08/22	2014/08/29
15238	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2014 D	2014/08/22	2014/08/29
13690	Goldman Sachs Sp (Asia) – ICBC European Warrants Aug 2014 A	2014/08/22	2014/08/29
15443	Goldman Sachs SP (Asia) – Kingsoft European Wts Aug 2014 A	2014/08/22	2014/08/29
11789	HK Bank – HSCEI European Put Warrants Aug 2014 A	2014/08/22	2014/08/29
13868	HK Bank – HSCEI European Warrants Aug 2014 B	2014/08/22	2014/08/29
12999	HK Bank – HSI European Put Warrants Aug 2014 A	2014/08/22	2014/08/29
13358	HK Bank – HSI European Put Warrants Aug 2014 B	2014/08/22	2014/08/29
13454	HK Bank – HSI European Put Warrants Aug 2014 C	2014/08/22	2014/08/29
13662	HK Bank – HSI European Put Warrants Aug 2014 E	2014/08/22	2014/08/29
13949	HK Bank – HSI European Put Warrants Aug 2014 G	2014/08/22	2014/08/29
13996	HK Bank – HSI European Put Warrants Aug 2014 I	2014/08/22	2014/08/29
13601	HK Bank – HSI European Warrants Aug 2014 D	2014/08/22	2014/08/29
13663	HK Bank – HSI European Warrants Aug 2014 F	2014/08/22	2014/08/29
13995	HK Bank – HSI European Warrants Aug 2014 H	2014/08/22	2014/08/29
15308	HK Bank – HSI European Warrants Aug 2014 J	2014/08/22	2014/08/29
13594	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Aug 2014 A	2014/08/22	2014/08/29
14013	J P Morgan SP BV – Kingsoft European Warrants Aug 2014 A	2014/08/22	2014/08/29
14669	J P Morgan SP BV – CAM CSI300 European Warrants Aug 2014 A	2014/08/22	2014/08/29
14543	J P Morgan SP BV – China Cinda European Warrants Aug 2014 A	2014/08/22	2014/08/29
13428	J P Morgan SP BV – HSI European Put Warrants Aug 2014 D	2014/08/22	2014/08/29
14771	J P Morgan SP BV – HSI European Put Warrants Aug 2014 F	2014/08/22	2014/08/29
15985	J P Morgan SP BV – HSI European Put Warrants Aug 2014 G	2014/08/22	2014/08/29
12706	J P Morgan SP BV – HSI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13403	J P Morgan SP BV – HSI European Warrants Aug 2014 B	2014/08/22	2014/08/29
13404	J P Morgan SP BV – HSI European Warrants Aug 2014 C	2014/08/22	2014/08/29
13591	J P Morgan SP BV – HSI European Warrants Aug 2014 E	2014/08/22	2014/08/29
22285	J P Morgan SP BV – HSI European Warrants Aug 2014 H	2014/08/22	2014/08/29
12648	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Aug 2014A	2014/08/22	2014/08/29
13653	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2014 C	2014/08/22	2014/08/29
11037	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2014 A	2014/08/22	2014/08/29
14083	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2014 D	2014/08/22	2014/08/29
13941	Nomura Int'l plc – BYD European Warrants Aug 2014 A	2014/08/22	2014/08/29
27991	Nomura Int'l plc – Ch Oil & Gas European Warrants Aug 2014 A	2014/08/22	2014/08/29
13945	Nomura Int'l plc – Ch Railway European Warrants Aug 2014 A	2014/08/22	2014/08/29
28017	Nomura Int'l plc – Ch Ship Cont European Warrants Aug 2014 A	2014/08/22	2014/08/29
28019	Nomura Int'l plc – CSR Times European Warrants Aug 2014 A	2014/08/22	2014/08/29
24411	Nomura Int'l plc – Sunart Retail European Wts Aug 2014 A	2014/08/22	2014/08/29

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13712	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2014 A	2014/08/22	2014/08/29
13778	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Aug 14 B	2014/08/22	2014/08/29
28738	Standard Chartered Bank – Agricultural Bk Eur Wts Aug 2014 A	2014/08/22	2014/08/29
12634	Standard Chartered Bank – Chi Res Power Euro Wts Aug 2014 A	2014/08/22	2014/08/29
14365	Standard Chartered Bank – China Cinda Euro Wts Aug 2014 A	2014/08/22	2014/08/29
14702	Standard Chartered Bank – HSCEI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13803	Standard Chartered Bank – HSI European Put Wts Aug 2014 A	2014/08/22	2014/08/29
13804	Standard Chartered Bank – HSI European Warrants Aug 2014 B	2014/08/22	2014/08/29
13855	Standard Chartered Bank – Hutchison Euro Euro Wts Aug 2014 A	2014/08/22	2014/08/29
13543	Standard Chartered Bank – Tracker Fund Euro Wts Aug 2014 B	2014/08/22	2014/08/29
13636	UBS AG – HSCEI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13385	UBS AG – HSI European Put Warrants Aug 2014 B	2014/08/22	2014/08/29
13635	UBS AG – HSI European Put Warrants Aug 2014 C	2014/08/22	2014/08/29
13725	UBS AG – HSI European Put Warrants Aug 2014 D	2014/08/22	2014/08/29
14873	UBS AG – HSI European Put Warrants Aug 2014 H	2014/08/22	2014/08/29
15542	UBS AG – HSI European Put Warrants Aug 2014 I	2014/08/22	2014/08/29
13362	UBS AG – HSI European Warrants Aug 2014 A	2014/08/22	2014/08/29
13726	UBS AG – HSI European Warrants Aug 2014 E	2014/08/22	2014/08/29
14666	UBS AG – HSI European Warrants Aug 2014 F	2014/08/22	2014/08/29
14831	UBS AG – HSI European Warrants Aug 2014 G	2014/08/22	2014/08/29
15543	UBS AG – HSI European Warrants Aug 2014 J	2014/08/22	2014/08/29
13235	Bank of East Asia – Ch Comm Cons European Wts Aug 2014 A	2014/08/25	2014/09/01
28432	Bank of East Asia – SJM Holdings European Wts Aug 2014 A	2014/08/25	2014/09/01
13619	Credit Suisse AG – AIA European Warrants Aug 2014 A	2014/08/25	2014/09/01
11629	Credit Suisse AG – BOCL European Warrants Aug 2014 A	2014/08/25	2014/09/01
11536	Credit Suisse AG – China Shenhua European Wts Aug 2014 B	2014/08/25	2014/09/01
14625	Credit Suisse AG – CNOOC European Warrants Aug 2014 C	2014/08/25	2014/09/01
11881	Credit Suisse AG – SJM Holdings European Warrants Aug 2014 B	2014/08/25	2014/09/01
14089	Credit Suisse AG – Tencent Holdings European Wts Aug 2014 F	2014/08/25	2014/09/01
29000	Credit Suisse AG – Yanzhou Coal European Warrants Aug 2014 A	2014/08/25	2014/09/01
14320	Credit Suisse AG – Yanzhou Coal European Warrants Aug 2014 B	2014/08/25	2014/09/01
14946	Daiwa Capital Mkt – CNOOC European Warrants Aug 2014 B	2014/08/25	2014/09/01
14517	Daiwa Capital Mkt – Tencent Holdings European Wts Aug 2014 D	2014/08/25	2014/09/01
27864	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Aug 2014 A	2014/08/25	2014/09/01
15194	Goldman Sachs SP (Asia) – Sands China Euro Wts Aug 2014 A	2014/08/25	2014/09/01
14033	Goldman Sachs SP (Asia) – Tencent European Put Wt Aug 2014 B	2014/08/25	2014/09/01
15387	Goldman Sachs SP (Asia) – Tencent European Put Wt Aug 2014 E	2014/08/25	2014/09/01
25637	Goldman Sachs SP (Asia) -Ch Overseas European Wts Aug 2014 A	2014/08/25	2014/09/01
14034	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2014 C	2014/08/25	2014/09/01
15386	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2014 D	2014/08/25	2014/09/01
13659	HK Bank – A50 China European Warrants Aug 2014 B	2014/08/25	2014/09/01
14057	HK Bank – AIA European Put Warrants Aug 2014 C	2014/08/25	2014/09/01
12903	HK Bank – BOCL European Warrants Aug 2014 B	2014/08/25	2014/09/01
27294	HK Bank – CAM CSI300 European Warrants Aug 2014 A	2014/08/25	2014/09/01
11982	HK Bank – China Railway European Warrants Aug 2014 A	2014/08/25	2014/09/01
14104	HK Bank – CITIC Bank European Warrants Aug 2014 A	2014/08/25	2014/09/01
29320	HK Bank – CITIC Sec European Warrants Aug 2014 A	2014/08/25	2014/09/01
14447	HK Bank – CPCIC European Warrants Aug 2014 A	2014/08/25	2014/09/01
13826	HK Bank – CSR Times European Warrants Aug 2014 A	2014/08/25	2014/09/01
13846	HK Bank – Evergrande European Warrants Aug 2014 A	2014/08/25	2014/09/01
14059	HK Bank – Evergrande European Warrants Aug 2014 B	2014/08/25	2014/09/01
13787	HK Bank – Galaxy Ent European Warrants Aug 2014 A	2014/08/25	2014/09/01
13893	HK Bank – Geely Auto European Warrants Aug 2014 A	2014/08/25	2014/09/01
13948	HK Bank – Kingsoft European Warrants Aug 2014 A	2014/08/25	2014/09/01
13105	HK Bank – Lenovo European Warrants Aug 2014 A	2014/08/25	2014/09/01
13661	HK Bank – Lenovo European Warrants Aug 2014 B	2014/08/25	2014/09/01
13947	HK Bank – Mengniu European Warrants Aug 2014 A	2014/08/25	2014/09/01
13894	HK Bank – Ping An European Warrants Aug 2014 A	2014/08/25	2014/09/01
13822	HK Bank – Sands China European Warrants Aug 2014 A	2014/08/25	2014/09/01
14029	HK Bank – Tencent European Put Warrants Aug 2014 C	2014/08/25	2014/09/01
15150	HK Bank – Tencent European Put Warrants Aug 2014 H	2014/08/25	2014/09/01
11484	HK Bank – Tencent European Warrants Aug 2014 A	2014/08/25	2014/09/01
13845	HK Bank –BYD European Warrants Aug 2014 A	2014/08/25	2014/09/01
15808	J P Morgan SP BV – Tencent European Warrants Aug 2014 H	2014/08/25	2014/09/01
11521	Standard Chartered Bank – China COSCO European Wt Aug 2014 B	2014/08/25	2014/09/01
11418	Standard Chartered Bank – China Mobile Euro Wts Aug 2014 A	2014/08/25	2014/09/01
11791	Standard Chartered Bank – Galaxy Ent European Wts Aug 2014 B	2014/08/25	2014/09/01
13684	Standard Chartered Bank – HSBC European Put Wts Aug 2014 C	2014/08/25	2014/09/01
13685	Standard Chartered Bank – ICBC European Put Wts Aug 2014 A	2014/08/25	2014/09/01
13210	Standard Chartered Bank – NCI European Warrants Aug 2014 A	2014/08/25	2014/09/01
28500	Standard Chartered Bank – Tracker Fund Eu Put Wt Aug 2014 A	2014/08/25	2014/09/01
13643	Standard Chartered Bank -PetroChina European Wts Aug 2014 B	2014/08/25	2014/09/01
29155	Standard Chartered Bank-China Life European Wts Aug 2014 C	2014/08/25	2014/09/01
12304	UBS AG – China Shenhua European Warrants Aug 2014 A	2014/08/25	2014/09/01
14594	UBS AG – CNOOC European Warrants Aug 2014 A	2014/08/25	2014/09/01
16047	UBS AG – Kingsoft European Warrants Aug 2014 C	2014/08/25	2014/09/01
15666	UBS AG – Ping An European Warrants Aug 2014 C	2014/08/25	2014/09/01
25555	BNP Paribas Arbit Issu B.V. – KECL European Wts Sep 2014 A	2014/08/26	2014/09/02
14807	BOCI Asia Ltd. – China Cinda European Warrants Sep 2014 C	2014/08/26	2014/09/02
14781	BOCI Asia Ltd. – China Mobile European Warrants Sep 2014 A	2014/08/26	2014/09/02
14265	BOCI Asia Ltd. – CITIC Sec European Warrants Sep 2014 B	2014/08/26	2014/09/02
13744	BOCI Asia Ltd. – HSBC European Warrants Sep 2014 A	2014/08/26	2014/09/02
14574	BOCI Asia Ltd. – ICBC European Warrants Sep 2014 A	2014/08/26	2014/09/02
14042	BOCI Asia Ltd. – Tencent Holdings European Wts Sep 2014 B	2014/08/26	2014/09/02
27729	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2014 A	2014/08/26	2014/09/02
14765	Citigroup Global Mkt H Inc.-Tencent European Wts Sep 2014 A	2014/08/26	2014/09/02
13881	Credit Suisse AG – AIA European Warrants Sep 2014 B	2014/08/26	2014/09/02

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14703	Daiwa Capital Mkt – China Life European Warrants Sep 2014 A	2014/08/26	2014/09/02
15949	Daiwa Capital Mkt – Kingsoft European Warrants Sep 2014 A	2014/08/26	2014/09/02
14746	Daiwa Capital Mkt – Ping An European Warrants Sep 2014 A	2014/08/26	2014/09/02
29943	Daiwa Capital Mkt – Sinopec Corp European Wts Sep 2014 A	2014/08/26	2014/09/02
13810	Daiwa Capital Mkt – Tencent Holdings European Wts Sep 2014 A	2014/08/26	2014/09/02
14796	Daiwa Capital Mkt – Tencent Holdings European Wts Sep 2014 C	2014/08/26	2014/09/02
15231	HK Bank – CNOOC European Warrants Sep 2014 A	2014/08/26	2014/09/02
13992	J P Morgan SP BV – AIA European Put Warrants Sep 2014 C	2014/08/26	2014/09/02
12712	J P Morgan SP BV – AIA European Warrants Sep 2014 A	2014/08/26	2014/09/02
13600	J P Morgan SP BV – AIA European Warrants Sep 2014 B	2014/08/26	2014/09/02
13411	J P Morgan SP BV – BOCL European Warrants Sep 2014 A	2014/08/26	2014/09/02
27260	J P Morgan SP BV – China Life European Warrants Sep 2014 A	2014/08/26	2014/09/02
13598	J P Morgan SP BV – China Mob European Wts Sep 2014 A	2014/08/26	2014/09/02
12780	J P Morgan SP BV – China Railway European Wts Sep 2014 A	2014/08/26	2014/09/02
29586	J P Morgan SP BV – China Shenhua European Wts Sep 2014 A	2014/08/26	2014/09/02
13929	J P Morgan SP BV – CITIC Bank European Warrants Sep 2014 A	2014/08/26	2014/09/02
29090	J P Morgan SP BV – CITIC Sec European Warrants Sep 2014 A	2014/08/26	2014/09/02
13595	J P Morgan SP BV – CITIC Sec European Warrants Sep 2014 B	2014/08/26	2014/09/02
28905	J P Morgan SP BV – CM Bank European Warrants Sep 2014 A	2014/08/26	2014/09/02
13628	J P Morgan SP BV – CPIC European Warrants Sep 2014 A	2014/08/26	2014/09/02
25362	J P Morgan SP BV – Haitong Sec European Warrants Sep 2014 A	2014/08/26	2014/09/02
13842	J P Morgan SP BV – Henderson Land Dev European Wt Sep 2014 A	2014/08/26	2014/09/02
13991	J P Morgan SP BV – HSBC European Put Warrants Sep 2014 A	2014/08/26	2014/09/02
28789	J P Morgan SP BV – KECL European Warrants Sep 2014 A	2014/08/26	2014/09/02
13152	J P Morgan SP BV – Lenovo European Warrants Sep 2014 A	2014/08/26	2014/09/02
13646	J P Morgan SP BV – PetroChina European Warrants Sep 2014 A	2014/08/26	2014/09/02
13626	J P Morgan SP BV – Ping An European Warrants Sep 2014 A	2014/08/26	2014/09/02
13993	J P Morgan SP BV – SHK Ppt European Put Warrants Sep 2014 B	2014/08/26	2014/09/02
13843	J P Morgan SP BV – SHK Ppt European Warrants Sep 2014 A	2014/08/26	2014/09/02
29175	J P Morgan SP BV – Sinopharm European Warrants Sep 2014 A	2014/08/26	2014/09/02
14015	J P Morgan SP BV – Tencent European Put Warrants Sep 2014 B	2014/08/26	2014/09/02
14228	J P Morgan SP BV – Tencent European Put Warrants Sep 2014 D	2014/08/26	2014/09/02
13790	J P Morgan SP BV – Tencent European Warrants Sep 2014 A	2014/08/26	2014/09/02
14081	J P Morgan SP BV – Tencent European Warrants Sep 2014 C	2014/08/26	2014/09/02
24706	J P Morgan SP BV – Weigao Group European Warrants Sep 2014 A	2014/08/26	2014/09/02
23114	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Sep 2014 C	2014/08/26	2014/09/02
15013	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2014 B	2014/08/26	2014/09/02
13936	Nomura Int'l plc – KECL European Warrants Sep 2014 A	2014/08/26	2014/09/02
13937	Nomura Int'l plc – Tencent Holdings Euro Warrants Sep 2014 A	2014/08/26	2014/09/02
26701	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Sep 2014 A	2014/08/26	2014/09/02
14838	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Sep14A	2014/08/26	2014/09/02
23476	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep14A	2014/08/26	2014/09/02
13900	Standard Chartered Bank – BOCL European Warrants Sep 2014 A	2014/08/26	2014/09/02
13170	Standard Chartered Bank – Lenovo European Wts Sep 2014 A	2014/08/26	2014/09/02
13116	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2014 A	2014/08/26	2014/09/02
15705	UBS AG – CITIC Bank European Warrants Sep 2014 A	2014/08/26	2014/09/02
14243	UBS AG – Tencent Holdings European Put Warrants Sep 2014 B	2014/08/26	2014/09/02
14777	UBS AG – Tencent Holdings European Warrants Sep 2014 G	2014/08/26	2014/09/02
12158	BNP Paribas Arbit Issu B.V. – AIA European Wts Sep 2014 A	2014/08/27	2014/09/03
14145	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Sep 2014 A	2014/08/27	2014/09/03
27108	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Sep 2014 A	2014/08/27	2014/09/03
13704	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Sep 2014 A	2014/08/27	2014/09/03
13396	BNP Paribas Arbit Issu B.V. – Ch Modern D Euro Wt Sep 2014 A	2014/08/27	2014/09/03
28922	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Sep 2014 A	2014/08/27	2014/09/03
14761	BNP Paribas Arbit Issu B.V. – China Cinda Eur Wts Sep 2014 A	2014/08/27	2014/09/03
18404	BNP Paribas Arbit Issu B.V. – Chow Tai Fook Eu Wt Sep 2014 A	2014/08/27	2014/09/03
11525	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Sep 2014 A	2014/08/27	2014/09/03
21816	BNP Paribas Arbit Issu B.V. – Esprit European Wts Sep 2014 A	2014/08/27	2014/09/03
13703	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2014 A	2014/08/27	2014/09/03
13397	BNP Paribas Arbit Issu B.V. – Huishan Dairy Eu Wt Sep 2014 A	2014/08/27	2014/09/03
23450	BNP Paribas Arbit Issu B.V. – Longfor PPT Euro Wt Sep 2014 A	2014/08/27	2014/09/03
13705	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Sep 2014 A	2014/08/27	2014/09/03
15533	BNP Paribas Arbit Issu B.V. – Ping An European Wt Sep 2014 A	2014/08/27	2014/09/03
13395	BNP Paribas Arbit Issu B.V. – REXLot Holdings Eu Wt Sep 14 A	2014/08/27	2014/09/03
15534	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Sep 2014 A	2014/08/27	2014/09/03
14983	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Sep 2014 B	2014/08/27	2014/09/03
25879	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Sep 2014 A	2014/08/27	2014/09/03
13696	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Sep 2014 A	2014/08/27	2014/09/03
15389	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Sep 2014 C	2014/08/27	2014/09/03
13959	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Sep 2014 A	2014/08/27	2014/09/03
20805	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Sep 2014 A	2014/08/27	2014/09/03
20967	BNP Paribas Arbit Issu B.V.-Dongfeng Motor Eur Wt Sep 2014 A	2014/08/27	2014/09/03
14137	Credit Suisse AG – China Cinda European Warrants Sep 2014 A	2014/08/27	2014/09/03
14138	Credit Suisse AG – China Cinda European Warrants Sep 2014 B	2014/08/27	2014/09/03
14141	Credit Suisse AG – CITIC Bank European Warrants Sep 2014 A	2014/08/27	2014/09/03
14942	Daiwa Capital Mkt – A50 China European Warrants Sep 2014 C	2014/08/27	2014/09/03
12470	Goldman Sachs SP (Asia) – Wharf European Warrants Sep 2014 A	2014/08/27	2014/09/03
14149	J P Morgan SP BV – China Cinda European Warrants Sep 2014 A	2014/08/27	2014/09/03
14150	J P Morgan SP BV – China Cinda European Warrants Sep 2014 B	2014/08/27	2014/09/03
20407	J P Morgan SP BV – Chow Tai Fook European Wts Sep 2014 A	2014/08/27	2014/09/03
13931	J P Morgan SP BV – Sands China European Warrants Sep 2014 A	2014/08/27	2014/09/03
13498	J P Morgan SP BV – SPDR Gold Trust European Wts Sep 2014 A	2014/08/27	2014/09/03
14129	Macquarie Bank Ltd. – A50 China European Warrants Sep 2014 A	2014/08/27	2014/09/03
28392	Macquarie Bank Ltd. – Angang Steel European Wts Sep 2014 A	2014/08/27	2014/09/03
13928	Macquarie Bank Ltd. – Anhui Conch European Wts Sep 2014 A	2014/08/27	2014/09/03
23229	Macquarie Bank Ltd. – ASM Pacific European Wts Sep 2014 A	2014/08/27	2014/09/03
26278	Macquarie Bank Ltd. – BOCL European Warrants Sep 2014 A	2014/08/27	2014/09/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13806	Macquarie Bank Ltd. – BYD European Warrants Sep 2014 A	2014/08/27	2014/09/03
15072	Macquarie Bank Ltd. – China Cinda European Wts Sep 2014 B	2014/08/27	2014/09/03
13508	Macquarie Bank Ltd. – China Life European Wts Sep 2014 A	2014/08/27	2014/09/03
29290	Macquarie Bank Ltd. – China Shenhua European Wts Sep 2014 A	2014/08/27	2014/09/03
25817	Macquarie Bank Ltd. – China Taiping European Wts Sep 2014 A	2014/08/27	2014/09/03
20113	Macquarie Bank Ltd. – Chow Tai Fook European Wts Sep 2014 A	2014/08/27	2014/09/03
28563	Macquarie Bank Ltd. – CITIC European Warrants Sep 2014 A	2014/08/27	2014/09/03
25456	Macquarie Bank Ltd. – CM Bank European Warrants Sep 2014 A	2014/08/27	2014/09/03
13507	Macquarie Bank Ltd. – CNOOC European Warrants Sep 2014 A	2014/08/27	2014/09/03
13212	Macquarie Bank Ltd. – Country Garden European Wts Sep 2014 A	2014/08/27	2014/09/03
13823	Macquarie Bank Ltd. – CPIC European Warrants Sep 2014 A	2014/08/27	2014/09/03
27497	Macquarie Bank Ltd. – Esprit European Warrants Sep 2014 A	2014/08/27	2014/09/03
14093	Macquarie Bank Ltd. – Foxconn European Warrants Sep 2014 A	2014/08/27	2014/09/03
14055	Macquarie Bank Ltd. – Galaxy Ent European Put Wts Sep 2014 B	2014/08/27	2014/09/03
13782	Macquarie Bank Ltd. – Galaxy Ent European Wts Sep 2014 A	2014/08/27	2014/09/03
13859	Macquarie Bank Ltd. – Huaneng Power European Wts Sep 2014 A	2014/08/27	2014/09/03
22531	Macquarie Bank Ltd. – KECL European Warrants Sep 2014 A	2014/08/27	2014/09/03
12923	Macquarie Bank Ltd. – Lenovo European Warrants Sep 2014 A	2014/08/27	2014/09/03
13086	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Sep 2014 A	2014/08/27	2014/09/03
13106	Macquarie Bank Ltd. – MGM China European Warrants Sep 2014 A	2014/08/27	2014/09/03
13807	Macquarie Bank Ltd. – Ping An European Warrants Sep 2014 A	2014/08/27	2014/09/03
13255	Macquarie Bank Ltd. – Sands China European Wts Sep 2014 A	2014/08/27	2014/09/03
15198	Macquarie Bank Ltd. – Sands China European Wts Sep 2014 B	2014/08/27	2014/09/03
26202	Macquarie Bank Ltd. – Shenzhen Int'l European Wts Sep 2014 A	2014/08/27	2014/09/03
29291	Macquarie Bank Ltd. – Sinopec Corp European Wts Sep 2014 A	2014/08/27	2014/09/03
12831	Macquarie Bank Ltd. – Sinopec Corp European Wts Sep 2014 B	2014/08/27	2014/09/03
28565	Macquarie Bank Ltd. – Sinopec Seg European Wts Sep 2014 A	2014/08/27	2014/09/03
11593	Macquarie Bank Ltd. – Sinopharm European Warrants Sep 2014 A	2014/08/27	2014/09/03
14091	Macquarie Bank Ltd. – Sinopharm European Warrants Sep 2014 B	2014/08/27	2014/09/03
23837	Macquarie Bank Ltd. – Tencent European Put Wts Sep 2014 A	2014/08/27	2014/09/03
24012	Macquarie Bank Ltd. – Tingyi European Warrants Sep 2014 A	2014/08/27	2014/09/03
12737	Macquarie Bank Ltd. – Yanzhou Coal European Wts Sep 2014 A	2014/08/27	2014/09/03
13652	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Sep 2014 A	2014/08/27	2014/09/03
11579	Standard Chartered Bank – A50 China Euro Warrants Sep 2014 B	2014/08/27	2014/09/03
14136	Standard Chartered Bank – China Cinda Euro Wts Sep 2014 A	2014/08/27	2014/09/03
11600	Standard Chartered Bank – China Coal European Put Wt Sep14 A	2014/08/27	2014/09/03
11264	Standard Chartered Bank – China Shenhua Euro Wts Sep 2014 A	2014/08/27	2014/09/03
13477	Standard Chartered Bank – ICBC European Warrants Sep 2014 A	2014/08/27	2014/09/03
25408	Standard Chartered Bank – YZ Coal European Wts Sep 2014 A	2014/08/27	2014/09/03
13872	UBS AG – BOCL European Warrants Sep 2014 A	2014/08/27	2014/09/03
14144	UBS AG – China Cinda European Warrants Sep 2014 B	2014/08/27	2014/09/03
21490	UBS AG – Chow Tai Fook European Warrants Sep 2014 A	2014/08/27	2014/09/03
14128	BOCI Asia Ltd. – China Cinda European Warrants Sep 2014 B	2014/08/28	2014/09/04
14176	Daiwa Capital Mkt – China Cinda European Warrants Sep 2014 A	2014/08/28	2014/09/04
14177	Daiwa Capital Mkt – China Cinda European Warrants Sep 2014 B	2014/08/28	2014/09/04
14043	J P Morgan SP BV – Geely Auto European Warrants Sep 2014 A	2014/08/28	2014/09/04
24604	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2015 A	2014/09/03	2014/09/04
28209	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Feb 2015 A	2014/09/03	2014/09/04
28047	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wt Dec 2014B	2014/09/03	2014/09/04
26053	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Dec 2014 A	2014/09/03	2014/09/04
13640	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Sep 2014 A	2014/08/28	2014/09/04
11656	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2014 A	2014/08/28	2014/09/04
13329	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2014 B	2014/08/28	2014/09/04
13918	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Sep 2014 A	2014/08/28	2014/09/04
13286	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2014 A	2014/08/28	2014/09/04
14132	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Sep14A	2014/08/28	2014/09/04
14393	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Sep14B	2014/08/28	2014/09/04
14511	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep 14 A	2014/08/28	2014/09/04
14088	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Sep 14 A	2014/08/28	2014/09/04
27615	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Sep 2014 A	2014/08/28	2014/09/04
13199	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Sep 2014A	2014/08/28	2014/09/04
14839	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Sep 2014B	2014/08/28	2014/09/04
12683	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Sep 2014A	2014/08/28	2014/09/04
12566	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Sep 2014 A	2014/08/28	2014/09/04
13749	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Sep14A	2014/08/28	2014/09/04
13586	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2014 A	2014/08/28	2014/09/04
14054	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2014 A	2014/08/28	2014/09/04
13344	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2014 A	2014/08/28	2014/09/04
13693	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Sep14A	2014/08/28	2014/09/04
13118	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Sep 14 A	2014/08/28	2014/09/04
13694	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Sep 14 B	2014/08/28	2014/09/04
28149	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Sep14A	2014/08/28	2014/09/04
27750	SGA Societe Generale Acceptance N.V. –CLife Eu Wt Sep 2014 A	2014/08/28	2014/09/04
14073	SGA Societe Generale Acceptance N.V. –HSBC Eu Put Wt Sep14 B	2014/08/28	2014/09/04
14216	SGA Societe Generale Acceptance N.V. –Ping An Eu Wt Sep 14 A	2014/08/28	2014/09/04
11177	SGA Societe Generale Acceptance N.V. –Shenhua Eu Wt Sep 14 A	2014/08/28	2014/09/04
13777	SGA Societe Generale Acceptance N.V. –Shenhua Eu Wt Sep 14 B	2014/08/28	2014/09/04
12451	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Sep 14 A	2014/08/28	2014/09/04
13119	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Sep 14 B	2014/08/28	2014/09/04
29111	SGA Societe Generale Acceptance N.V. –CAM CSI300 Eu Wt Sep14A	2014/08/28	2014/09/04
13117	SGA Societe Generale Acceptance N.V. –Chi COSCO Eu Wt Sep 14 A	2014/08/28	2014/09/04
14036	SGA Societe Generale Acceptance N.V. –Evergrande Eu Wt Sep14 A	2014/08/28	2014/09/04
12763	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Sep14A	2014/08/28	2014/09/04
13752	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Sep14B	2014/08/28	2014/09/04
13844	SGA Societe Generale Acceptance N.V. –Tencent Eu Put Wt Sep14C	2014/08/28	2014/09/04
14038	SGA Societe Generale Acceptance N.V. –Tencent Eu Put Wt Sep14D	2014/08/28	2014/09/04
11657	SGA Societe Generale Acceptance NV –Ch Ship Cont Eu Wt Sep14A	2014/08/28	2014/09/04

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13897	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Sep14 A	2014/08/28	2014/09/04
13642	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Sep14A	2014/08/28	2014/09/04
11729	SGA Societe Generale Acceptance NV-Stanchart Eu Put WtSep14A	2014/08/28	2014/09/04
27199	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Sep14A	2014/08/28	2014/09/04
14167	Standard Chartered Bank – HuanengPower Euro Wts Sep 2014 A	2014/08/28	2014/09/04
14198	Daiwa Capital Mkt – A50 China European Put Wts Sep 2014 B	2014/08/29	2014/09/05
14162	Goldman Sachs SP (Asia) – China Cinda Euro Wts Sep 2014 A	2014/08/29	2014/09/05
14204	Macquarie Bank Ltd. – China Cinda European Warrants Sep 14 A	2014/08/29	2014/09/05
14209	UBS AG – BYD European Warrants Sep 2014 A	2014/08/29	2014/09/05
14192	UBS AG – Link REIT European Warrants Sep 2014 A	2014/08/29	2014/09/05
13415	Credit Suisse AG – Agricultural Bank European Wts Sep 2014 B	2014/09/01	2014/09/08
14248	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 B	2014/09/01	2014/09/08
12107	Daiwa Capital Mkt – HKEx European Warrants Sep 2014 A	2014/09/01	2014/09/08
14247	Daiwa Capital Mkt – Tencent Holdings European Wts Sep 2014 B	2014/09/01	2014/09/08
14451	HK Bank – China Overseas European Warrants Sep 2014 A	2014/09/01	2014/09/08
14603	J P Morgan SP BV – Agricultural Bk Euro Warrants Sep 2014 A	2014/09/01	2014/09/08
14218	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Sep 2014 A	2014/09/01	2014/09/08
24383	Nomura Int'l plc – HKEx European Warrants Sep 2014 A	2014/09/01	2014/09/08
14235	Standard Chartered Bank – BYD European Warrants Sep 2014 A	2014/09/01	2014/09/08
14231	Standard Chartered Bank – CNOOC European Warrants Sep 2014 A	2014/09/01	2014/09/08
14122	Standard Chartered Bank – Esprit European Wts Sep 2014 A	2014/09/01	2014/09/08
14897	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Sep 2014 A	2014/09/02	2014/09/10
14808	BOCI Asia Ltd. – Tencent Holdings European Wts Sep 2014 C	2014/09/02	2014/09/10
13865	Citigroup Global Mkt H Inc. – HS Bank Euro Wts Sep 2014 A	2014/09/02	2014/09/10
14189	HK Bank – China Cinda European Warrants Sep 2014 A	2014/09/02	2014/09/10
12220	Merrill Lynch Int'l & Co. C.V. – CNOOC Eu Put Wts Sep 2014 A	2014/09/02	2014/09/10
14222	Nomura Int'l plc – China Cinda European Warrants Sep 2014 A	2014/09/02	2014/09/10
13390	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Sep 2014 A	2014/09/02	2014/09/10
27227	Standard Chartered Bank – Ch Ship Cont Euro Wts Sep 2014 A	2014/09/02	2014/09/10
13854	Standard Chartered Bank – China Mobile Euro Wts Sep 2014 A	2014/09/02	2014/09/10
14123	Standard Chartered Bank – CITIC Bank Euro Wts Sep 2014 A	2014/09/02	2014/09/10
14234	Standard Chartered Bank – Sinopec Corp Euro Wts Sep 2014 A	2014/09/02	2014/09/10
13489	UBS AG – AIA European Warrants Sep 2014 A	2014/09/02	2014/09/10
14143	UBS AG – China Cinda European Warrants Sep 2014 A	2014/09/02	2014/09/10
14713	UBS AG – HSBC European Warrants Sep 2014 B	2014/09/02	2014/09/10
13340	UBS AG – Lenovo European Warrants Sep 2014 B	2014/09/02	2014/09/10
14250	UBS AG – Tencent Holdings European Put Warrants Sep 2014 C	2014/09/02	2014/09/10
12515	UBS AG – Tencent Holdings European Warrants Sep 2014 A	2014/09/02	2014/09/10
14323	Credit Suisse AG – A50 China European Warrants Sep 2014 A	2014/09/03	2014/09/11
14363	Credit Suisse AG – Kingsoft European Put Warrants Sep 2014 A	2014/09/03	2014/09/11
14322	Credit Suisse AG – Nine Dragons European Warrants Sep 2014 A	2014/09/03	2014/09/11
14354	Daiwa Capital Mkt – Hutchison European Put Wts Sep 2014 A	2014/09/03	2014/09/11
24762	Daiwa Capital Mkt – Kingsoft European Warrants Sep 2014 C	2014/09/03	2014/09/11
15475	Standard Chartered Bank – Ping An European Wts Sep 2014 A	2014/09/03	2014/09/11
14352	UBS AG – Geely Auto European Warrants Sep 2014 A	2014/09/03	2014/09/11
29160	Standard Chartered Bank – CC Bank European Wts Sep 2014 A	2014/09/04	2014/09/12
15444	Standard Chartered Bank – Kingsoft European Put Wts Sep2014B	2014/09/04	2014/09/12
14401	UBS AG – Kingsoft European Put Warrants Sep 2014 B	2014/09/04	2014/09/12
10276	BNP Paribas Arbit Issu B.V. – Nikkei 225 Eu Put Wt Sep 2014B	2014/09/05	2014/09/15
10275	BNP Paribas Arbit Issu B.V. – Nikkei 225 Index Eu Wt Sep14 A	2014/09/05	2014/09/15
13885	Credit Suisse AG – HKEx European Warrants Sep 2014 A	2014/09/05	2014/09/15
14408	Credit Suisse AG – Kingsoft European Warrants Sep 2014 B	2014/09/05	2014/09/15
14410	J P Morgan SP BV – China Cinda European Warrants Sep 2014 C	2014/09/05	2014/09/15
14407	Macquarie Bank Ltd. – CGS European Warrants Sep 2014 A	2014/09/05	2014/09/15
12892	Nomura Int'l plc – Sinopec Seg European Warrants Sep 2014 A	2014/09/05	2014/09/15
10271	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Sep 14 A	2014/09/05	2014/09/15
15337	UBS AG – HKEx European Warrants Sep 2014 A	2014/09/05	2014/09/15
10267	UBS AG – Nikkei 225 European Put Warrants Sep 2014 A	2014/09/05	2014/09/15
10268	UBS AG – Nikkei 225 European Warrants Sep 2014 B	2014/09/05	2014/09/15
10270	UBS AG – Nikkei 225 European Warrants Sep 2014 C	2014/09/05	2014/09/15
13960	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Sep 2014 A	2014/09/08	2014/09/16
14778	BOCI Asia Ltd. – A50 China European Warrants Sep 2014 A	2014/09/08	2014/09/16
14263	BOCI Asia Ltd. – BYD European Warrants Sep 2014 A	2014/09/08	2014/09/16
15494	Citigroup Global Mkt H Inc. – HKEx European Wts Sep 2014 B	2014/09/08	2014/09/16
14482	Citigroup Global Mkt H Inc. – REXLot Holdings Eu Wt Sep 14 A	2014/09/08	2014/09/16
15428	Citigroup Global Mkt H Inc.-Tencent European Wts Sep 2014 B	2014/09/08	2014/09/16
11118	Credit Suisse AG – CC Bank European Warrants Sep 2014 A	2014/09/08	2014/09/16
13721	Credit Suisse AG – Minsheng Bank European Wts Sep 2014 A	2014/09/08	2014/09/16
14479	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 C	2014/09/08	2014/09/16
24956	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 G	2014/09/08	2014/09/16
17713	Daiwa Capital Mkt – A50 China European Warrants Sep 2014 A	2014/09/08	2014/09/16
14492	Daiwa Capital Mkt – HKEx European Warrants Sep 2014 B	2014/09/08	2014/09/16
14945	Daiwa Capital Mkt – Hutchison European Warrants Sep 2014 C	2014/09/08	2014/09/16
22007	HK Bank – A50 China European Warrants Sep 2014 A	2014/09/08	2014/09/16
19440	J P Morgan SP BV – A50 China European Warrants Sep 2014 A	2014/09/08	2014/09/16
22719	J P Morgan SP BV – HKEx European Warrants Sep 2014 A	2014/09/08	2014/09/16
14587	J P Morgan SP BV – Tencent European Warrants Sep 2014 E	2014/09/08	2014/09/16
21969	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2014 A	2014/09/08	2014/09/16
14461	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Sep 2014 C	2014/09/08	2014/09/16
18759	Nomura Int'l plc – A50 China European Warrants Sep 2014 A	2014/09/08	2014/09/16
18421	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Sep 14 A	2014/09/08	2014/09/16
22420	Standard Chartered Bank – A50 China Euro Warrants Sep 2014 A	2014/09/08	2014/09/16
14276	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2014 B	2014/09/08	2014/09/16
18684	UBS AG – A50 China European Warrants Sep 2014 A	2014/09/08	2014/09/16
13136	UBS AG – Agricultural Bank European Warrants Sep 2014 A	2014/09/08	2014/09/16
13316	UBS AG – Anhui Conch European Warrants Sep 2014 A	2014/09/08	2014/09/16
14404	UBS AG – China Cinda European Warrants Sep 2014 C	2014/09/08	2014/09/16

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14470	UBS AG – China Mengniu European Warrants Sep 2014 A	2014/09/08	2014/09/16
14472	UBS AG – Greatwall Motor European Warrants Sep 2014 A	2014/09/08	2014/09/16
13550	UBS AG – MGM China European Warrants Sep 2014 A	2014/09/08	2014/09/16
14330	UBS AG – Tencent Holdings European Warrants Sep 2014 D	2014/09/08	2014/09/16
14523	Citigroup Global Mkt H Inc. – CGS European Wts Sep 2014 A	2014/09/10	2014/09/17
14528	Citigroup Global Mkt H Inc. – China Mengniu Eu Wts Sep 2014A	2014/09/10	2014/09/17
14530	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Sep 2014 A	2014/09/10	2014/09/17
14540	J P Morgan SP BV – Geely Auto European Warrants Sep 2014 B	2014/09/10	2014/09/17
18254	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wt Sep 2014A	2014/09/10	2014/09/17
14504	UBS AG – Anhui Conch European Warrants Sep 2014 B	2014/09/10	2014/09/17
14592	UBS AG – CNOOC European Warrants Sep 2014 A	2014/09/11	2014/09/18
14469	UBS AG – Geely Auto European Warrants Sep 2014 B	2014/09/11	2014/09/18
14655	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2014 B	2014/09/12	2014/09/19
14629	Credit Suisse AG – China Cinda European Put Warrants Sep14 C	2014/09/12	2014/09/19
14588	Daiwa Capital Mkt – BYD European Warrants Sep 2014 A	2014/09/12	2014/09/19
23031	Nomura Int'l plc – ASM Pacific European Warrants Sep 2014 A	2014/09/12	2014/09/19
14556	Nomura Int'l plc – BYD European Warrants Sep 2014 A	2014/09/12	2014/09/19
26189	Nomura Int'l plc – CC Bank European Warrants Sep 2014 A	2014/09/12	2014/09/19
14554	Nomura Int'l plc – HN Renewables European Warrants Sep 2014A	2014/09/12	2014/09/19
12616	Nomura Int'l plc – Kingsoft European Put Warrants Sep 2014 A	2014/09/12	2014/09/19
14622	UBS AG – Evergrande European Warrants Sep 2014 A	2014/09/12	2014/09/19
14621	UBS AG – Sands China European Warrants Sep 2014 A	2014/09/12	2014/09/19
14701	Daiwa Capital Mkt – Hutchison European Warrants Sep 2014 B	2014/09/15	2014/09/22
28521	J P Morgan SP BV – Sinopec Seg European Warrants Sep 2014 A	2014/09/15	2014/09/22
14465	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Sep 2014B	2014/09/15	2014/09/22
15955	Standard Chartered Bank – Kingsoft European Put Wts Sep 14 D	2014/09/15	2014/09/22
14426	BOCI Asia Ltd. – Cheung Kong European Warrants Sep 2014 A	2014/09/16	2014/09/23
14127	BOCI Asia Ltd. – China Cinda European Warrants Sep 2014 A	2014/09/16	2014/09/23
14425	BOCI Asia Ltd. – Hutchison European Warrants Sep 2014 A	2014/09/16	2014/09/23
15161	BOCI Asia Ltd. –Tencent Holdings European Put Wts Sep 2014 D	2014/09/16	2014/09/23
15920	CC Rabobank B.A. – A50 China European Warrants Sep 2014	2014/09/16	2014/09/23
14297	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2014 A	2014/09/16	2014/09/23
14766	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2014 C	2014/09/16	2014/09/23
15431	Citigroup Global Mkt H Inc. –Tencent European Wts Sep 2014 C	2014/09/16	2014/09/23
11380	Credit Suisse AG – Agricultural Bank European Wts Sep 2014 A	2014/09/16	2014/09/23
11887	Credit Suisse AG – Anhui Conch European Warrants Sep 2014 A	2014/09/16	2014/09/23
13487	Credit Suisse AG – CC Bank European Warrants Sep 2014 B	2014/09/16	2014/09/23
16110	Credit Suisse AG – Kingsoft European Warrants Sep 2014 D	2014/09/16	2014/09/23
14056	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 A	2014/09/16	2014/09/23
16019	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 E	2014/09/16	2014/09/23
13361	HK Bank – CC Bank European Warrants Sep 2014 A	2014/09/16	2014/09/23
14755	Macquarie Bank Ltd. – SUNAC European Warrants Sep 2014 A	2014/09/16	2014/09/23
13891	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2014 A	2014/09/16	2014/09/23
13939	Nomura Int'l plc – Tencent Holdings Euro Put Wts Sep 2014 B	2014/09/16	2014/09/23
12558	Standard Chartered Bank – SPDR Gold Trust Eu Put Wt Sep 14 A	2014/09/16	2014/09/23
14710	UBS AG – China Cinda European Warrants Sep 2014 D	2014/09/16	2014/09/23
14740	UBS AG – HSBC European Warrants Sep 2014 C	2014/09/16	2014/09/23
14241	UBS AG – Hutchison European Warrants Sep 2014 A	2014/09/16	2014/09/23
15139	UBS AG – Kingsoft European Warrants Sep 2014 D	2014/09/16	2014/09/23
14741	UBS AG – Tencent Holdings European Warrants Sep 2014 F	2014/09/16	2014/09/23
15413	UBS AG – Tencent Holdings European Warrants Sep 2014 H	2014/09/16	2014/09/23
14163	BOCI Asia Ltd. – HSBC European Put Warrants Sep 2014 B	2014/09/17	2014/09/24
15554	Citigroup Global Mkt H Inc. – A50 China Euro Wts Sep 2014 A	2014/09/17	2014/09/24
15892	Citigroup Global Mkt H Inc. – ICBC European Wts Sep 2014 A	2014/09/17	2014/09/24
14649	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Sep 2014 A	2014/09/17	2014/09/24
24423	HK Bank – BOC HK European Warrants Sep 2014 A	2014/09/17	2014/09/24
12369	HK Bank – China Life European Warrants Sep 2014 B	2014/09/17	2014/09/24
15331	HK Bank – HKEx European Warrants Sep 2014 B	2014/09/17	2014/09/24
11243	HK Bank – New World Dev European Warrants Sep 2014 A	2014/09/17	2014/09/24
15065	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2014 B	2014/09/17	2014/09/24
15329	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2014 C	2014/09/17	2014/09/24
16853	Nomura Int'l plc – Sinopec European Warrants Sep 2014 A	2014/09/17	2014/09/24
12112	Standard Chartered Bank – BOC HK European Wts Sep 2014 A	2014/09/17	2014/09/24
23164	Standard Chartered Bank – China Cinda Euro Wts Sep 2014 B	2014/09/17	2014/09/24
15954	Standard Chartered Bank -Kingsoft European Wts Sep 2014 C	2014/09/17	2014/09/24
14244	UBS AG – AIA European Put Warrants Sep 2014 B	2014/09/17	2014/09/24
15465	UBS AG – HSBC European Put Warrants Sep 2014 D	2014/09/17	2014/09/24
15031	UBS AG – Hutchison European Put Warrants Sep 2014 C	2014/09/17	2014/09/24
14886	UBS AG – ICBC European Warrants Sep 2014 A	2014/09/17	2014/09/24
22923	UBS AG – Sinopec Corp European Put Warrants Sep 2014 A	2014/09/17	2014/09/24
17405	Citigroup Global Mkt H Inc. – AIA European Wts Sep 2014 A	2014/09/18	2014/09/25
15495	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Sep 2014 A	2014/09/18	2014/09/25
17048	Citigroup Global Mkt H Inc. – Ping An Euro Wts Sep 2014 B	2014/09/18	2014/09/25
13963	Daiwa Capital Mkt – BOCL European Warrants Sep 2014 A	2014/09/18	2014/09/25
14870	Daiwa Capital Mkt – China Mobile European Wts Sep 2014 A	2014/09/18	2014/09/25
29729	Daiwa Capital Mkt – HSBC European Warrants Sep 2014 A	2014/09/18	2014/09/25
15950	Daiwa Capital Mkt – Kingsoft European Warrants Sep 2014 B	2014/09/18	2014/09/25
15857	Goldman Sachs SP (Asia) -Tencent European Wt Sep 2014 C	2014/09/18	2014/09/25
23335	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Sep 2014 A	2014/09/18	2014/09/25
15675	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Sep14A	2014/09/18	2014/09/25
15676	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Sep 14 A	2014/09/18	2014/09/25
16840	Nomura Int'l plc – Ping An European Put Warrants Sep 2014 B	2014/09/18	2014/09/25
16766	Standard Chartered Bank – Sands China Euro Wts Sep 2014 A	2014/09/18	2014/09/25
14277	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2014 C	2014/09/18	2014/09/25
16257	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2014 E	2014/09/18	2014/09/25
15881	UBS AG – AIA European Warrants Sep 2014 C	2014/09/18	2014/09/25
15883	UBS AG – China Coal European Warrants Sep 2014 A	2014/09/18	2014/09/25

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14506	UBS AG – China Mobile European Warrants Sep 2014 A	2014/09/18	2014/09/25
15754	UBS AG – GCL-Poly Energy European Warrants Sep 2014 A	2014/09/18	2014/09/25
22731	Bank of East Asia – Lenovo European Warrants Sep 2014 A	2014/09/19	2014/09/26
11839	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2014 B	2014/09/19	2014/09/26
15493	Citigroup Global Mkt H Inc. – HKEx European Wts Sep 2014 A	2014/09/19	2014/09/26
15563	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2014 D	2014/09/19	2014/09/26
14526	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Sep 2014 A	2014/09/19	2014/09/26
15987	Goldman Sachs SP (Asia) – Sands China Euro Wts Sep 2014 A	2014/09/19	2014/09/26
11016	HK Bank – China Life European Warrants Sep 2014 A	2014/09/19	2014/09/26
12789	HK Bank – China Life European Warrants Sep 2014 C	2014/09/19	2014/09/26
12548	HK Bank – Esprit European Warrants Sep 2014 A	2014/09/19	2014/09/26
19040	HK Bank – Lenovo European Warrants Sep 2014 A	2014/09/19	2014/09/26
14573	HK Bank – PICC Group European Warrants Sep 2014 A	2014/09/19	2014/09/26
14953	J P Morgan SP BV – PICC Group European Warrants Sep 2014 A	2014/09/19	2014/09/26
15296	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Sep 2014 B	2014/09/19	2014/09/26
15600	Nomura Int'l plc – China COSCO European Warrants Sep 2014 A	2014/09/19	2014/09/26
15603	Nomura Int'l plc – Greatwall Motor European Wts Sep 2014 A	2014/09/19	2014/09/26
15351	Nomura Int'l plc – Kingsoft European Warrants Sep 2014 E	2014/09/19	2014/09/26
11899	Nomura Int'l plc – Wharf European Warrants Sep 2014 A	2014/09/19	2014/09/26
11416	Standard Chartered Bank – China Unicom Euro Wts Sep 2014 A	2014/09/19	2014/09/26
16658	Standard Chartered Bank – Foxconn European Wts Sep 2014 A	2014/09/19	2014/09/26
14699	Standard Chartered Bank – PICC Group European Wts Sep 2014 A	2014/09/19	2014/09/26
16124	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2014 D	2014/09/19	2014/09/26
15253	Standard Chartered Bank –Kingsoft European Wts Sep 2014 A	2014/09/19	2014/09/26
29941	Standard Chartered Bank-China Life European Wts Sep 2014 A	2014/09/19	2014/09/26
28785	UBS AG – Belle Int'l European Warrants Sep 2014 A	2014/09/19	2014/09/26
29435	UBS AG – China Life European Warrants Sep 2014 A	2014/09/19	2014/09/26
13222	UBS AG – China Life European Warrants Sep 2014 B	2014/09/19	2014/09/26
23605	UBS AG – China Unicom European Warrants Sep 2014 A	2014/09/19	2014/09/26
15414	UBS AG – Geely Auto European Warrants Sep 2014 C	2014/09/19	2014/09/26
15463	UBS AG – Greatwall Motor European Warrants Sep 2014 B	2014/09/19	2014/09/26
23327	UBS AG – Lenovo European Warrants Sep 2014 A	2014/09/19	2014/09/26
16587	UBS AG – Sands China European Warrants Sep 2014 C	2014/09/19	2014/09/26
15466	UBS AG – Tencent Holdings European Put Warrants Sep 2014 I	2014/09/19	2014/09/26
15884	UBS AG – Yanzhou Coal European Wts Sep 2014 A	2014/09/19	2014/09/26
14848	Bank of East Asia – Henganintl European Warrants Sep 2014 A	2014/09/22	2014/09/29
12104	Credit Suisse AG – BOC HK European Warrants Sep 2014 A	2014/09/22	2014/09/29
15135	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 D	2014/09/22	2014/09/29
16167	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Sep 2014 A	2014/09/22	2014/09/29
14827	Macquarie Bank Ltd. – Agile Ppt European Warrants Sep 2014 A	2014/09/22	2014/09/29
16352	Standard Chartered Bank – Evergrande European Wts Sep 2014 A	2014/09/22	2014/09/29
14882	UBS AG – BYD European Warrants Sep 2014 B	2014/09/22	2014/09/29
14872	UBS AG – CC Bank European Warrants Sep 2014 A	2014/09/22	2014/09/29
14890	UBS AG – Kingsoft European Put Warrants Sep 2014 C	2014/09/22	2014/09/29
14620	UBS AG – Tencent Holdings European Warrants Sep 2014 E	2014/09/22	2014/09/29
13818	Bank of East Asia – Tencent Holdings European Put Wts Sep14A	2014/09/23	2014/09/30
28745	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2014 A	2014/09/23	2014/09/30
13464	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2014 B	2014/09/23	2014/09/30
15621	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2014 C	2014/09/23	2014/09/30
11048	BOCI Asia Ltd. – China Life European Warrants Sep 2014 A	2014/09/23	2014/09/30
12700	BOCI Asia Ltd. – China Shenhua European Warrants Sep 2014 A	2014/09/23	2014/09/30
11449	BOCI Asia Ltd. – CITIC Sec European Warrants Sep 2014 A	2014/09/23	2014/09/30
28163	BOCI Asia Ltd. – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
13535	BOCI Asia Ltd. – HSI European Warrants Sep 2014 B	2014/09/23	2014/09/30
14424	BOCI Asia Ltd. – Sinopec Corp European Warrants Sep 2014 A	2014/09/23	2014/09/30
13802	BOCI Asia Ltd. – Tencent Holdings European Wts Sep 2014 A	2014/09/23	2014/09/30
11995	Credit Suisse AG – AIA European Put Warrants Sep 2014 A	2014/09/23	2014/09/30
14319	Credit Suisse AG – CC Bank European Warrants Sep 2014 C	2014/09/23	2014/09/30
11086	Credit Suisse AG – China Life European Warrants Sep 2014 A	2014/09/23	2014/09/30
16207	Credit Suisse AG – China Mobile European Put Wts Sep 2014 D	2014/09/23	2014/09/30
15636	Credit Suisse AG – China Mobile European Warrants Sep 2014 C	2014/09/23	2014/09/30
12487	Credit Suisse AG – China Shenhua European Wts Sep 2014 A	2014/09/23	2014/09/30
12140	Credit Suisse AG – ChiUnicom European Warrants Sep 2014 A	2014/09/23	2014/09/30
12201	Credit Suisse AG – HSCEI European Warrants Sep 2014 A	2014/09/23	2014/09/30
15316	Credit Suisse AG – HSI European Put Warrants Sep 2014 F	2014/09/23	2014/09/30
28476	Credit Suisse AG – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
11758	Credit Suisse AG – HSI European Warrants Sep 2014 B	2014/09/23	2014/09/30
12638	Credit Suisse AG – HSI European Warrants Sep 2014 C	2014/09/23	2014/09/30
12818	Credit Suisse AG – HSI European Warrants Sep 2014 D	2014/09/23	2014/09/30
13616	Credit Suisse AG – HSI European Warrants Sep 2014 E	2014/09/23	2014/09/30
14361	Credit Suisse AG – Hutchison European Warrants Sep 2014 A	2014/09/23	2014/09/30
15423	Credit Suisse AG – Kingsoft European Warrants Sep 2014 C	2014/09/23	2014/09/30
28875	Credit Suisse AG – Lenovo European Warrants Sep 2014 A	2014/09/23	2014/09/30
28877	Credit Suisse AG – New World Dev European Wts Sep 2014 A	2014/09/23	2014/09/30
14812	Credit Suisse AG – PetroChina European Warrants Sep 2014 A	2014/09/23	2014/09/30
15212	Credit Suisse AG – PICC Group European Warrants Sep 2014 A	2014/09/23	2014/09/30
29497	Credit Suisse AG – Shenzhen Int'l European Wts Sep 2014 A	2014/09/23	2014/09/30
15404	Credit Suisse AG – Sinopec Corp European Warrants Sep 2014 A	2014/09/23	2014/09/30
16437	Credit Suisse AG – Tencent Holdings European Wts Sep 2014 F	2014/09/23	2014/09/30
12481	Credit Suisse AG – ZTE European Warrants Sep 2014 A	2014/09/23	2014/09/30
12983	Daiwa Capital Mkt – HSCEI European Put Warrants Sep 2014 B	2014/09/23	2014/09/30
29100	Daiwa Capital Mkt – HSCEI European Warrants Sep 2014 A	2014/09/23	2014/09/30
13962	Daiwa Capital Mkt – HSCEI European Warrants Sep 2014 C	2014/09/23	2014/09/30
29383	Daiwa Capital Mkt – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
12917	Daiwa Capital Mkt – HSI European Warrants Sep 2014 B	2014/09/23	2014/09/30
12979	Daiwa Capital Mkt – HSI European Warrants Sep 2014 C	2014/09/23	2014/09/30
14866	Daiwa Capital Mkt – HSI European Warrants Sep 2014 D	2014/09/23	2014/09/30

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14943	Daiwa Capital Mkt – Sands China European Warrants Sep 2014 A	2014/09/23	2014/09/30
14801	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2014 A	2014/09/23	2014/09/30
13840	Goldman Sachs SP (Asia) – BankComm European Wts Sep 2014 A	2014/09/23	2014/09/30
14387	Goldman Sachs SP (Asia) – BYD European Warrants Sep 2014 A	2014/09/23	2014/09/30
12908	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2014 A	2014/09/23	2014/09/30
28773	Goldman Sachs SP (Asia) – Ch LongYuan Euro Wts Sep 2014 A	2014/09/23	2014/09/30
11348	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Sep 2014 B	2014/09/23	2014/09/30
11169	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Sep 2014 A	2014/09/23	2014/09/30
29347	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2014 A	2014/09/23	2014/09/30
11023	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2014 B	2014/09/23	2014/09/30
23755	Goldman Sachs SP (Asia) – China Unicom Euro Wts Sep 2014 A	2014/09/23	2014/09/30
25217	Goldman Sachs SP (Asia) – Esprit European Wts Sep 2014 A	2014/09/23	2014/09/30
14832	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2014 C	2014/09/23	2014/09/30
29842	Goldman Sachs SP (Asia) – HSCEI European Warrants Sep 2014 A	2014/09/23	2014/09/30
15607	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2014 B	2014/09/23	2014/09/30
25771	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
16518	Goldman Sachs SP (Asia) – Hutchison European Wts Sep 2014 A	2014/09/23	2014/09/30
12799	Goldman Sachs SP (Asia) – Kingsoft European Wts Sep 2014 A	2014/09/23	2014/09/30
12416	Goldman Sachs SP (Asia) – New World Dev Euro Wts Sep 2014 A	2014/09/23	2014/09/30
13689	Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2014 A	2014/09/23	2014/09/30
14298	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2014 B	2014/09/23	2014/09/30
26626	Goldman Sachs SP (Asia) -Ch Overseas European Wts Sep 2014 A	2014/09/23	2014/09/30
13847	HK Bank – AIA European Warrants Sep 2014 B	2014/09/23	2014/09/30
15517	HK Bank – China Mobile European Warrants Sep 2014 C	2014/09/23	2014/09/30
26655	HK Bank – China Unicom European Warrants Sep 2014 A	2014/09/23	2014/09/30
16065	HK Bank – Galaxy Ent European Warrants Sep 2014 A	2014/09/23	2014/09/30
14671	HK Bank – HSCEI European Put Warrants Sep 2014 B	2014/09/23	2014/09/30
18785	HK Bank – HSCEI European Warrants Sep 2014 A	2014/09/23	2014/09/30
14609	HK Bank – HSI European Put Warrants Sep 2014 H	2014/09/23	2014/09/30
14901	HK Bank – HSI European Put Warrants Sep 2014 J	2014/09/23	2014/09/30
15311	HK Bank – HSI European Put Warrants Sep 2014 K	2014/09/23	2014/09/30
15518	HK Bank – HSI European Put Warrants Sep 2014 L	2014/09/23	2014/09/30
20816	HK Bank – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
28031	HK Bank – HSI European Warrants Sep 2014 B	2014/09/23	2014/09/30
11294	HK Bank – HSI European Warrants Sep 2014 C	2014/09/23	2014/09/30
12721	HK Bank – HSI European Warrants Sep 2014 D	2014/09/23	2014/09/30
13739	HK Bank – HSI European Warrants Sep 2014 E	2014/09/23	2014/09/30
13994	HK Bank – HSI European Warrants Sep 2014 F	2014/09/23	2014/09/30
14604	HK Bank – HSI European Warrants Sep 2014 G	2014/09/23	2014/09/30
14899	HK Bank – HSI European Warrants Sep 2014 I	2014/09/23	2014/09/30
13359	HK Bank – MGM China European Warrants Sep 2014 A	2014/09/23	2014/09/30
22519	HK Bank – PICC European Warrants Sep 2014 A	2014/09/23	2014/09/30
16488	HK Bank – Sands China European Warrants Sep 2014 B	2014/09/23	2014/09/30
18875	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Sep 2014 A	2014/09/23	2014/09/30
13091	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Sep 2014 B	2014/09/23	2014/09/30
14355	J P Morgan SP BV – Kingsoft European Warrants Sep 2014 A	2014/09/23	2014/09/30
14411	J P Morgan SP BV – BJ Ent Water European Warrants Sep 2014 A	2014/09/23	2014/09/30
14541	J P Morgan SP BV – China Tel European Warrants Sep 2014 A	2014/09/23	2014/09/30
14842	J P Morgan SP BV – Foxconn European Warrants Sep 2014 A	2014/09/23	2014/09/30
14468	J P Morgan SP BV – Greatwall Motor European Wts Sep 2014 A	2014/09/23	2014/09/30
13648	J P Morgan SP BV – HSI European Put Warrants Sep 2014 C	2014/09/23	2014/09/30
13760	J P Morgan SP BV – HSI European Put Warrants Sep 2014 E	2014/09/23	2014/09/30
14185	J P Morgan SP BV – HSI European Put Warrants Sep 2014 F	2014/09/23	2014/09/30
14186	J P Morgan SP BV – HSI European Put Warrants Sep 2014 G	2014/09/23	2014/09/30
18874	J P Morgan SP BV – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
12705	J P Morgan SP BV – HSI European Warrants Sep 2014 B	2014/09/23	2014/09/30
13759	J P Morgan SP BV – HSI European Warrants Sep 2014 D	2014/09/23	2014/09/30
15204	J P Morgan SP BV – HSI European Warrants Sep 2014 H	2014/09/23	2014/09/30
11043	Macquarie Bank Ltd. – China Overseas European Wts Sep 2014 A	2014/09/23	2014/09/30
11668	Macquarie Bank Ltd. – Weigao Group European Wts Sep 2014 A	2014/09/23	2014/09/30
14459	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2014 C	2014/09/23	2014/09/30
27924	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2014 A	2014/09/23	2014/09/30
14458	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2014 B	2014/09/23	2014/09/30
14085	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Sep 2014 B	2014/09/23	2014/09/30
14086	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Wts Sep 2014 B	2014/09/23	2014/09/30
14550	Nomura Int'l plc – BJ Ent Water European Warrants Sep 2014 A	2014/09/23	2014/09/30
14938	Nomura Int'l plc – Foxconn European Warrants Sep 2014 A	2014/09/23	2014/09/30
14903	Nomura Int'l plc – HSBC European Warrants Sep 2014 A	2014/09/23	2014/09/30
14905	Nomura Int'l plc – Hutchison European Warrants Sep 2014 A	2014/09/23	2014/09/30
14551	Nomura Int'l plc – JNCEC European Warrants Sep 2014 A	2014/09/23	2014/09/30
14560	Nomura Int'l plc – Kingsoft European Warrants Sep 2014 B	2014/09/23	2014/09/30
14561	Nomura Int'l plc – Kingsoft European Warrants Sep 2014 C	2014/09/23	2014/09/30
14559	Nomura Int'l plc – Ping An European Warrants Sep 2014 A	2014/09/23	2014/09/30
13042	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2014 A	2014/09/23	2014/09/30
13331	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2014 B	2014/09/23	2014/09/30
15697	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Sep 14 C	2014/09/23	2014/09/30
11050	Standard Chartered Bank – Agricultural Bk Eur Wts Sep 2014 A	2014/09/23	2014/09/30
11263	Standard Chartered Bank – Anhui Conch Euro Wts Sep 2014 A	2014/09/23	2014/09/30
14968	Standard Chartered Bank – China Mobile Eur Put Wt Sep 2014 C	2014/09/23	2014/09/30
14891	Standard Chartered Bank – China Mobile Euro Wts Sep 2014 B	2014/09/23	2014/09/30
15632	Standard Chartered Bank – HSBC European Warrants Sep 2014 C	2014/09/23	2014/09/30
25437	Standard Chartered Bank – HSCEI European Put Wts Sep 2014 A	2014/09/23	2014/09/30
16049	Standard Chartered Bank – HSI European Put Wts Sep 2014 B	2014/09/23	2014/09/30
24879	Standard Chartered Bank – HSI European Put Wts Sep 2014 D	2014/09/23	2014/09/30
11583	Standard Chartered Bank – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
24844	Standard Chartered Bank – HSI European Warrants Sep 2014 C	2014/09/23	2014/09/30
15665	UBS AG – Anhui Conch European Warrants Sep 2014 C	2014/09/23	2014/09/30

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14931	UBS AG – China Mobile European Put Warrants Sep 2014 B	2014/09/23	2014/09/30
14069	UBS AG – HSBC European Warrants Sep 2014 A	2014/09/23	2014/09/30
12312	UBS AG – HSCEI European Put Warrants Sep 2014 B	2014/09/23	2014/09/30
29259	UBS AG – HSCEI European Warrants Sep 2014 A	2014/09/23	2014/09/30
13364	UBS AG – HSCEI European Warrants Sep 2014 C	2014/09/23	2014/09/30
15889	UBS AG – HSI European Put Warrants Sep 2014 D	2014/09/23	2014/09/30
12330	UBS AG – HSI European Warrants Sep 2014 A	2014/09/23	2014/09/30
13608	UBS AG – HSI European Warrants Sep 2014 B	2014/09/23	2014/09/30
14830	UBS AG – HSI European Warrants Sep 2014 C	2014/09/23	2014/09/30
14242	UBS AG – Hutchison European Warrants Sep 2014 B	2014/09/23	2014/09/30
14372	UBS AG – Kingsoft European Warrants Sep 2014 A	2014/09/23	2014/09/30
14099	UBS AG – Sinopec Corp European Warrants Sep 2014 B	2014/09/23	2014/09/30
16589	UBS AG – SJM Holdings European Warrants Sep 2014 A	2014/09/23	2014/09/30
16355	UBS AG – Tencent Holdings European Warrants Sep 2014 J	2014/09/23	2014/09/30
13238	Bank of East Asia – A50 China European Warrants Sep 2014 A	2014/09/24	2014/10/03
28426	Bank of East Asia – BOCHK European Put Warrants Sep 2014 A	2014/09/24	2014/10/03
14155	Bank of East Asia – China Cinda European Warrants Sep 2014 A	2014/09/24	2014/10/03
11343	Bank of East Asia – China Life European Warrants Sep 2014 A	2014/09/24	2014/10/03
28113	BOCI Asia Ltd. – Lenovo European Warrants Sep 2014 A	2014/09/24	2014/10/03
15891	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Sep 2014 A	2014/09/24	2014/10/03
14961	Credit Suisse AG – China Mobile European Warrants Sep 2014 B	2014/09/24	2014/10/03
14863	Credit Suisse AG – HSBC European Wts Sep 2014 A	2014/09/24	2014/10/03
14836	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2014 A	2014/09/24	2014/10/03
23146	Goldman Sachs SP (Asia) – BOC HK European Wts Sep 2014 A	2014/09/24	2014/10/03
14640	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2014 B	2014/09/24	2014/10/03
14110	Goldman Sachs SP (Asia) – China Coal European Wts Sep 2014 A	2014/09/24	2014/10/03
14413	Goldman Sachs SP (Asia) – China Coal European Wts Sep 2014 B	2014/09/24	2014/10/03
14641	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2014 A	2014/09/24	2014/10/03
14252	Goldman Sachs SP (Asia) – CITIC Bank European Wts Sep 2014 A	2014/09/24	2014/10/03
14639	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2014 A	2014/09/24	2014/10/03
14724	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2014 B	2014/09/24	2014/10/03
14388	Goldman Sachs SP (Asia) – SHK Ppt European Wts Sep 2014 A	2014/09/24	2014/10/03
14412	Goldman Sachs SP (Asia) – Xinyi Glass European Wts Sep 2014A	2014/09/24	2014/10/03
14253	Goldman Sachs SP (Asia) –Tencent European Wt Sep 2014 A	2014/09/24	2014/10/03
14389	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Sep 2014 A	2014/09/24	2014/10/03
14674	HK Bank – A50 China European Warrants Sep 2014 B	2014/09/24	2014/10/03
11644	HK Bank – AIA European Put Warrants Sep 2014 A	2014/09/24	2014/10/03
14970	HK Bank – Anhui Conch European Warrants Sep 2014 A	2014/09/24	2014/10/03
14490	HK Bank – CC Bank European Warrants Sep 2014 B	2014/09/24	2014/10/03
14058	HK Bank – Cheung Kong European Warrants Sep 2014 A	2014/09/24	2014/10/03
14450	HK Bank – China Cinda European Warrants Sep 2014 B	2014/09/24	2014/10/03
14727	HK Bank – China Cinda European Warrants Sep 2014 C	2014/09/24	2014/10/03
14672	HK Bank – China Coal European Warrants Sep 2014 A	2014/09/24	2014/10/03
14419	HK Bank – China COSCO European Warrants Sep 2014 A	2014/09/24	2014/10/03
14565	HK Bank – China Mobile European Warrants Sep 2014 A	2014/09/24	2014/10/03
14898	HK Bank – China Mobile European Warrants Sep 2014 B	2014/09/24	2014/10/03
13001	HK Bank – China Railway European Warrants Sep 2014 A	2014/09/24	2014/10/03
11974	HK Bank – China Shenhua European Warrants Sep 2014 A	2014/09/24	2014/10/03
14969	HK Bank – CITIC Bank European Warrants Sep 2014 A	2014/09/24	2014/10/03
11291	HK Bank – CITIC Sec European Warrants Sep 2014 A	2014/09/24	2014/10/03
14032	HK Bank – CPIC European Warrants Sep 2014 A	2014/09/24	2014/10/03
14675	HK Bank – CSOP A50 ETF European Warrants Sep 2014 A	2014/09/24	2014/10/03
14673	HK Bank – CSR European Warrants Sep 2014 A	2014/09/24	2014/10/03
14188	HK Bank – Datang Power European Warrants Sep 2014 A	2014/09/24	2014/10/03
14380	HK Bank – GCL-Poly Energy European Warrants Sep 2014 A	2014/09/24	2014/10/03
14379	HK Bank – Geely Auto European Warrants Sep 2014 A	2014/09/24	2014/10/03
14730	HK Bank – HKEx European Warrants Sep 2014 A	2014/09/24	2014/10/03
14491	HK Bank – ICBC European Warrants Sep 2014 A	2014/09/24	2014/10/03
14306	HK Bank – Kingsoft European Warrants Sep 2014 A	2014/09/24	2014/10/03
14851	HK Bank – Kingsoft European Warrants Sep 2014 B	2014/09/24	2014/10/03
14607	HK Bank – Lenovo European Warrants Sep 2014 B	2014/09/24	2014/10/03
12714	HK Bank – Minsheng Bank European Warrants Sep 2014 A	2014/09/24	2014/10/03
13242	HK Bank – Minsheng Bank European Warrants Sep 2014 B	2014/09/24	2014/10/03
14378	HK Bank – Minsheng Bank European Warrants Sep 2014 C	2014/09/24	2014/10/03
14571	HK Bank – Minsheng Bank European Warrants Sep 2014 D	2014/09/24	2014/10/03
14307	HK Bank – PetroChina European Warrants Sep 2014 A	2014/09/24	2014/10/03
14341	HK Bank – Ping An European Warrants Sep 2014 A	2014/09/24	2014/10/03
14342	HK Bank – Ping An European Warrants Sep 2014 B	2014/09/24	2014/10/03
14849	HK Bank – Sands China European Warrants Sep 2014 A	2014/09/24	2014/10/03
14339	HK Bank – SHK Ppt European Warrants Sep 2014 A	2014/09/24	2014/10/03
14103	HK Bank – Sinopec Corp European Warrants Sep 2014 A	2014/09/24	2014/10/03
14381	HK Bank – SJM Holdings European Warrants Sep 2014 A	2014/09/24	2014/10/03
16213	HK Bank – Tencent European Put Warrants Sep 2014 D	2014/09/24	2014/10/03
14572	HK Bank – Tencent European Warrants Sep 2014 A	2014/09/24	2014/10/03
14785	HK Bank – Tencent European Warrants Sep 2014 B	2014/09/24	2014/10/03
14786	HK Bank – Tencent European Warrants Sep 2014 C	2014/09/24	2014/10/03
14420	HK Bank – Yanzhou Coal European Warrants Sep 2014 A	2014/09/24	2014/10/03
14301	HK Bank –BYD European Warrants Sep 2014 A	2014/09/24	2014/10/03
14467	J P Morgan SP BV – BYD European Warrants Sep 2014 A	2014/09/24	2014/10/03
14721	J P Morgan SP BV – China Cinda European Warrants Sep 2014 D	2014/09/24	2014/10/03
13058	J P Morgan SP BV – China Life European Warrants Sep 2014 B	2014/09/24	2014/10/03
14533	J P Morgan SP BV – ICBC European Warrants Sep 2014 A	2014/09/24	2014/10/03
11271	Macquarie Bank Ltd. – CITIC Sec European Warrants Sep 2014 A	2014/09/24	2014/10/03
13510	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Sep 2014 A	2014/09/24	2014/10/03
13511	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Sep 2014 A	2014/09/24	2014/10/03
13514	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Sep 2014 A	2014/09/24	2014/10/03
13245	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2014 A	2014/09/24	2014/10/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15933	Nomura Int'l plc – Country Garden European Warrants Sep14 A	2014/09/24	2014/10/03
20494	Nomura Int'l plc – New World Dev European Wts Sep 2014 A	2014/09/24	2014/10/03
26058	Nomura Int'l plc – Stanchart European Put Wts Sep 2014 A	2014/09/24	2014/10/03
21536	Standard Chartered Bank – GCL-Poly Energy Eur Wts Sep 2014 A	2014/09/24	2014/10/03
14125	Standard Chartered Bank – HKEx European Warrants Sep 2014 A	2014/09/24	2014/10/03
13113	Standard Chartered Bank – HSBC European Put Wts Sep 2014 A	2014/09/24	2014/10/03
14802	Standard Chartered Bank – HSBC European Warrants Sep 2014 B	2014/09/24	2014/10/03
12792	Standard Chartered Bank – Hutchison Euro Put Wt Sep 2014 A	2014/09/24	2014/10/03
14457	Standard Chartered Bank – ICBC European Warrants Sep 2014 B	2014/09/24	2014/10/03
14925	Standard Chartered Bank – PetroChina Euro Put Wts Sep 2014 A	2014/09/24	2014/10/03
16379	UBS AG – CC Bank European Warrants Sep 2014 B	2014/09/24	2014/10/03
14996	UBS AG – Galaxy Ent European Put Warrants Sep 2014 A	2014/09/24	2014/10/03
16378	UBS AG – HSBC European Put Warrants Sep 2014 E	2014/09/24	2014/10/03
14995	UBS AG – Sands China European Put Warrants Sep 2014 B	2014/09/24	2014/10/03
13697	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Oct 2014 A	2014/09/25	2014/10/06
22624	BNP Paribas Arbit Issu B.V. – HKT-SS European Wts Oct 2014 A	2014/09/25	2014/10/06
27543	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2014 A	2014/09/25	2014/10/06
22646	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Oct 2014 A	2014/09/25	2014/10/06
28086	BNP Paribas Arbit Issu B.V. – Shenzhen Int'l Eu Wt Oct2014 A	2014/09/25	2014/10/06
14039	BOCI Asia Ltd. – BOCL European Warrants Oct 2014 A	2014/09/25	2014/10/06
11831	BOCI Asia Ltd. – Sands China European Warrants Oct 2014 A	2014/09/25	2014/10/06
14990	Credit Suisse AG – China Mobile European Put Wts Oct 2014 A	2014/09/25	2014/10/06
15185	Credit Suisse AG – Galaxy Ent European Put Wts Oct 2014 B	2014/09/25	2014/10/06
15182	Credit Suisse AG – Galaxy Ent European Warrants Oct 2014 A	2014/09/25	2014/10/06
15186	Credit Suisse AG – Sands China European Put Wts Oct 2014 A	2014/09/25	2014/10/06
15025	Daiwa Capital Mkt – CCB European Warrants Oct 2014 A	2014/09/25	2014/10/06
15178	Daiwa Capital Mkt – Galaxy Ent European Put Wts Oct 2014 C	2014/09/25	2014/10/06
15177	Daiwa Capital Mkt – Galaxy Ent European Warrants Oct 2014 B	2014/09/25	2014/10/06
15335	Daiwa Capital Mkt – HKEx European Warrants Oct 2014 B	2014/09/25	2014/10/06
15170	Daiwa Capital Mkt – HSBC European Put Warrants Oct 2014 C	2014/09/25	2014/10/06
15169	Daiwa Capital Mkt – HSBC European Warrants Oct 2014 B	2014/09/25	2014/10/06
16533	Daiwa Capital Mkt – ICBC European Warrants Oct 2014 A	2014/09/25	2014/10/06
15089	Daiwa Capital Mkt – Ping An European Put Warrants Oct 2014 B	2014/09/25	2014/10/06
15951	Daiwa Capital Mkt – Sands China European Warrants Oct 2014 B	2014/09/25	2014/10/06
15119	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Oct 2014 B	2014/09/25	2014/10/06
14985	Daiwa Capital Mkt – Tencent Holdings European Wts Oct 2014 A	2014/09/25	2014/10/06
15405	Daiwa Capital Mkt – Tencent Holdings European Wts Oct 2014 C	2014/09/25	2014/10/06
18474	Goldman Sachs SP (Asia) – HSBC European Put Wts Oct 2014 A	2014/09/25	2014/10/06
21916	Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2014 A	2014/09/25	2014/10/06
15059	HK Bank – Ch Comm Cons European Warrants Oct 2014 A	2014/09/25	2014/10/06
15060	HK Bank – Galaxy Ent European Warrants Oct 2014 A	2014/09/25	2014/10/06
15152	HK Bank – GCL-Poly Energy European Warrants Oct 2014 A	2014/09/25	2014/10/06
15093	HK Bank – HWL European Warrants Oct 2014 A	2014/09/25	2014/10/06
14643	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2014 A	2014/09/25	2014/10/06
14877	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2014 B	2014/09/25	2014/10/06
13800	J P Morgan SP BV – AIA European Warrants Oct 2014 A	2014/09/25	2014/10/06
14494	J P Morgan SP BV – Anhui Conch European Warrants Oct 2014 A	2014/09/25	2014/10/06
15203	J P Morgan SP BV – Anhui Conch European Warrants Oct 2014 B	2014/09/25	2014/10/06
29170	J P Morgan SP BV – BEA European Warrants Oct 2014 A	2014/09/25	2014/10/06
23996	J P Morgan SP BV – BOC HK European Warrants Oct 2014 A	2014/09/25	2014/10/06
12781	J P Morgan SP BV – BOCL European Warrants Oct 2014 A	2014/09/25	2014/10/06
14207	J P Morgan SP BV – BYD European Warrants Oct 2014 A	2014/09/25	2014/10/06
14668	J P Morgan SP BV – CGS European Warrants Oct 2014 A	2014/09/25	2014/10/06
13597	J P Morgan SP BV – Chi Res Land European Warrants Oct 2014 A	2014/09/25	2014/10/06
14546	J P Morgan SP BV – China Cinda European Warrants Oct 2014 A	2014/09/25	2014/10/06
14858	J P Morgan SP BV – China Coal European Warrants Oct 2014 A	2014/09/25	2014/10/06
29397	J P Morgan SP BV – China Life European Put Wts Oct 2014 B	2014/09/25	2014/10/06
29311	J P Morgan SP BV – China Life European Warrants Oct 2014 A	2014/09/25	2014/10/06
14495	J P Morgan SP BV – China Mob European Put Wts Oct 2014 B	2014/09/25	2014/10/06
14367	J P Morgan SP BV – China Mob European Wts Oct 2014 A	2014/09/25	2014/10/06
14496	J P Morgan SP BV – China Mob European Wts Oct 2014 C	2014/09/25	2014/10/06
14878	J P Morgan SP BV – China Mob European Wts Oct 2014 D	2014/09/25	2014/10/06
14966	J P Morgan SP BV – China Mob European Wts Oct 2014 E	2014/09/25	2014/10/06
13596	J P Morgan SP BV – China Shenhua European Wts Oct 2014 A	2014/09/25	2014/10/06
19442	J P Morgan SP BV – Chow Tai Fook European Warrants Oct 2014A	2014/09/25	2014/10/06
14769	J P Morgan SP BV – Country Garden European Warrants Oct 14 A	2014/09/25	2014/10/06
13763	J P Morgan SP BV – Evergrande European Warrants Oct 2014 A	2014/09/25	2014/10/06
15049	J P Morgan SP BV – Galaxy Ent European Put Wts Oct 2014 A	2014/09/25	2014/10/06
15124	J P Morgan SP BV – Galaxy Ent European Put Wts Oct 2014 C	2014/09/25	2014/10/06
15122	J P Morgan SP BV – Galaxy Ent European Warrants Oct 2014 B	2014/09/25	2014/10/06
14441	J P Morgan SP BV – GCL-Poly European Warrants Oct 2014 A	2014/09/25	2014/10/06
14956	J P Morgan SP BV – Haier Elec European Warrants Oct 2014 A	2014/09/25	2014/10/06
14121	J P Morgan SP BV – HKEx European Warrants Oct 2014 A	2014/09/25	2014/10/06
14859	J P Morgan SP BV – HSBC European Put Warrants Oct 2014 B	2014/09/25	2014/10/06
14226	J P Morgan SP BV – HSBC European Warrants Oct 2014 A	2014/09/25	2014/10/06
14860	J P Morgan SP BV – HSBC European Warrants Oct 2014 C	2014/09/25	2014/10/06
15051	J P Morgan SP BV – HSBC European Warrants Oct 2014 D	2014/09/25	2014/10/06
14642	J P Morgan SP BV – Huaneng Power European Wts Oct 2014 A	2014/09/25	2014/10/06
14377	J P Morgan SP BV – Hutchison European Put Wts Oct 2014 B	2014/09/25	2014/10/06
14357	J P Morgan SP BV – Hutchison European Warrants Oct 2014 A	2014/09/25	2014/10/06
14445	J P Morgan SP BV – Hutchison European Warrants Oct 2014 C	2014/09/25	2014/10/06
15050	J P Morgan SP BV – Hutchison European Warrants Oct 2014 D	2014/09/25	2014/10/06
15040	J P Morgan SP BV – Kingsoft European Warrants Oct 2014 A	2014/09/25	2014/10/06
13647	J P Morgan SP BV – Lenovo European Warrants Oct 2014 A	2014/09/25	2014/10/06
13089	J P Morgan SP BV – Link Reit European Warrants Oct 2014 A	2014/09/25	2014/10/06
26316	J P Morgan SP BV – Minsheng Bank European Wts Oct 2014 B	2014/09/25	2014/10/06
14376	J P Morgan SP BV – PetroChina European Warrants Oct 2014 A	2014/09/25	2014/10/06

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13079	J P Morgan SP BV – PICC Group European Warrants Oct 2014 A	2014/09/25	2014/10/06
15042	J P Morgan SP BV – Ping An European Put Warrants Oct 2014 A	2014/09/25	2014/10/06
15043	J P Morgan SP BV – Ping An European Warrants Oct 2014 B	2014/09/25	2014/10/06
15044	J P Morgan SP BV – Sands China European Put Wts Oct 2014 A	2014/09/25	2014/10/06
15046	J P Morgan SP BV – Sands China European Warrants Oct 2014 B	2014/09/25	2014/10/06
14227	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2014 A	2014/09/25	2014/10/06
14294	J P Morgan SP BV – Tencent European Put Warrants Oct 2014 C	2014/09/25	2014/10/06
14737	J P Morgan SP BV – Tencent European Put Warrants Oct 2014 E	2014/09/25	2014/10/06
14821	J P Morgan SP BV – Tencent European Put Warrants Oct 2014 F	2014/09/25	2014/10/06
15146	J P Morgan SP BV – Tencent European Put Warrants Oct 2014 H	2014/09/25	2014/10/06
13989	J P Morgan SP BV – Tencent European Warrants Oct 2014 A	2014/09/25	2014/10/06
14044	J P Morgan SP BV – Tencent European Warrants Oct 2014 B	2014/09/25	2014/10/06
14358	J P Morgan SP BV – Tencent European Warrants Oct 2014 D	2014/09/25	2014/10/06
15047	J P Morgan SP BV – Tencent European Warrants Oct 2014 G	2014/09/25	2014/10/06
15187	J P Morgan SP BV – Tencent European Warrants Oct 2014 I	2014/09/25	2014/10/06
14770	J P Morgan SP BV – Wynn Macau European Warrants Oct 2014 A	2014/09/25	2014/10/06
14843	J P Morgan SP BV – Yanzhou Coal European Warrants Oct 2014 A	2014/09/25	2014/10/06
13923	Macquarie Bank Ltd. – BOCL European Warrants Oct 2014 A	2014/09/25	2014/10/06
15008	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2014 A	2014/09/25	2014/10/06
14913	Nomura Int'l plc – BYD European Warrants Oct 2014 A	2014/09/25	2014/10/06
25731	Nomura Int'l plc – Shenzhen Int'l European Wts Oct 2014 A	2014/09/25	2014/10/06
14615	Standard Chartered Bank – China Mobile Euro Wts Oct 2014 A	2014/09/25	2014/10/06
16013	Standard Chartered Bank – Ping An European Wts Oct 2014 B	2014/09/25	2014/10/06
15790	UBS AG – BOCL European Warrants Oct 2014 A	2014/09/25	2014/10/06
15140	UBS AG – BYD European Warrants Oct 2014 A	2014/09/25	2014/10/06
14593	UBS AG – China Mobile European Warrants Oct 2014 A	2014/09/25	2014/10/06
14871	UBS AG – China Mobile European Warrants Oct 2014 B	2014/09/25	2014/10/06
15338	UBS AG – Ping An European Put Warrants Oct 2014 C	2014/09/25	2014/10/06
15782	UBS AG – Wynn Macau European Warrants Oct 2014 A	2014/09/25	2014/10/06
25592	Bank of East Asia – New World Dev European Wts Oct 2014 A	2014/09/26	2014/10/07
14262	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wt Oct 2014 A	2014/09/26	2014/10/07
13706	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Oct 2014 A	2014/09/26	2014/10/07
11314	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Oct 2014 A	2014/09/26	2014/10/07
13910	BNP Paribas Arbit Issu B.V. – BJ Ent Water Euro Wts Oct 14 A	2014/09/26	2014/10/07
22568	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eu Wt Oct2014 A	2014/09/26	2014/10/07
12642	BNP Paribas Arbit Issu B.V. – BYD European Wts Oct 2014 A	2014/09/26	2014/10/07
14520	BNP Paribas Arbit Issu B.V. – BYD European Wts Oct 2014 B	2014/09/26	2014/10/07
27801	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wt Oct 2014 A	2014/09/26	2014/10/07
13227	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Oct 2014 B	2014/09/26	2014/10/07
17926	BNP Paribas Arbit Issu B.V. – Ch LongYuan Eu Wts Oct 2014 A	2014/09/26	2014/10/07
14597	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Oct 2014 A	2014/09/26	2014/10/07
15524	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2014 B	2014/09/26	2014/10/07
13913	BNP Paribas Arbit Issu B.V. – Ch Ship Dev Euro Wts Oct 2014A	2014/09/26	2014/10/07
14148	BNP Paribas Arbit Issu B.V. – China Cinda Eur Wts Oct 2014 A	2014/09/26	2014/10/07
12529	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Oct 2014 A	2014/09/26	2014/10/07
20872	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2014 A	2014/09/26	2014/10/07
14049	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2014 B	2014/09/26	2014/10/07
18747	BNP Paribas Arbit Issu B.V. – China Unicom Euro Wt Oct 2014A	2014/09/26	2014/10/07
14984	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Oct 2014 A	2014/09/26	2014/10/07
29415	BNP Paribas Arbit Issu B.V. – CPIC European Wts Oct 2014 A	2014/09/26	2014/10/07
29401	BNP Paribas Arbit Issu B.V. – Esprit European Wts Oct 2014 A	2014/09/26	2014/10/07
15033	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Oct 2014 A	2014/09/26	2014/10/07
12834	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Oct 2014 A	2014/09/26	2014/10/07
13146	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Oct 14 A	2014/09/26	2014/10/07
12835	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2014 A	2014/09/26	2014/10/07
15532	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2014 B	2014/09/26	2014/10/07
12673	BNP Paribas Arbit Issu B.V. – Huaneng Euro Wts Oct 2014 A	2014/09/26	2014/10/07
14578	BNP Paribas Arbit Issu B.V. – Hutchison Eu Put Wt Oct 2014 B	2014/09/26	2014/10/07
13562	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Oct 2014 A	2014/09/26	2014/10/07
27803	BNP Paribas Arbit Issu B.V. – ICBC Euro Put Wts Oct 2014 A	2014/09/26	2014/10/07
14757	BNP Paribas Arbit Issu B.V. – ICBC European Wts Oct 2014 B	2014/09/26	2014/10/07
14522	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Put Wts Oct14B	2014/09/26	2014/10/07
12643	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Oct 2014 A	2014/09/26	2014/10/07
13145	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Oct 2014 B	2014/09/26	2014/10/07
27274	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Oct 2014 A	2014/09/26	2014/10/07
13911	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Oct 2014 A	2014/09/26	2014/10/07
25556	BNP Paribas Arbit Issu B.V. – New World Dev Eu Wt Oct 2014 A	2014/09/26	2014/10/07
11311	BNP Paribas Arbit Issu B.V. – Ping An European Wt Oct 2014 A	2014/09/26	2014/10/07
27915	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Oct 2014 A	2014/09/26	2014/10/07
23746	BNP Paribas Arbit Issu B.V. – Sh Pharma Euro Wts Oct 2014 A	2014/09/26	2014/10/07
14261	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Oct 2014 A	2014/09/26	2014/10/07
27107	BNP Paribas Arbit Issu B.V. – SJM Holdings Eu Wts Oct 2014 A	2014/09/26	2014/10/07
14258	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2014 B	2014/09/26	2014/10/07
15391	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2014 E	2014/09/26	2014/10/07
27106	BNP Paribas Arbit Issu B.V. – Wharf European Wts Oct 2014 A	2014/09/26	2014/10/07
12527	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Oct 2014 A	2014/09/26	2014/10/07
21450	BNP Paribas Arbit Issu B.V. -MTRC European Wts Oct 2014 A	2014/09/26	2014/10/07
14202	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Oct 2014 A	2014/09/26	2014/10/07
13143	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Oct 2014 A	2014/09/26	2014/10/07
14982	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Oct 2014 C	2014/09/26	2014/10/07
15451	BOCI Asia Ltd. – AIA European Warrants Oct 2014 A	2014/09/26	2014/10/07
14783	BOCI Asia Ltd. – Anhui Conch European Warrants Oct 2014 A	2014/09/26	2014/10/07
15506	BOCI Asia Ltd. – CC Bank European Warrants Oct 2014 A	2014/09/26	2014/10/07
14421	BOCI Asia Ltd. – Kingsoft European Warrants Oct 2014 A	2014/09/26	2014/10/07
15162	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2014 C	2014/09/26	2014/10/07
29775	Credit Suisse AG – Gome European Warrants Oct 2014 A	2014/09/26	2014/10/07
15132	Credit Suisse AG – Tencent Holdings European Wts Oct 2014 A	2014/09/26	2014/10/07

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15015	Goldman Sachs SP (Asia) -Tencent European Wt Oct 2014 A	2014/09/26	2014/10/07
15512	HK Bank – Galaxy Ent European Warrants Oct 2014 B	2014/09/26	2014/10/07
13453	HK Bank – KECL European Warrants Oct 2014 A	2014/09/26	2014/10/07
26181	HK Bank – Tencent European Put Warrants Oct 2014 E	2014/09/26	2014/10/07
12174	HK Bank – Zhaojin Mining European Warrants Oct 2014 A	2014/09/26	2014/10/07
29297	J P Morgan SP BV – Esprit European Wts Oct 2014 A	2014/09/26	2014/10/07
15832	J P Morgan SP BV – Galaxy Ent European Warrants Oct 2014 D	2014/09/26	2014/10/07
15079	Macquarie Bank Ltd. – AAC Acoustic European Wts Oct 2014 A	2014/09/26	2014/10/07
14795	Macquarie Bank Ltd. – AIA European Warrants Oct 2014 A	2014/09/26	2014/10/07
14794	Macquarie Bank Ltd. – Anhui Conch European Wts Oct 2014 A	2014/09/26	2014/10/07
15102	Macquarie Bank Ltd. – Anton Oilfield European Wts Oct 2014 A	2014/09/26	2014/10/07
14695	Macquarie Bank Ltd. – Avichina European Warrants Oct 2014 A	2014/09/26	2014/10/07
14791	Macquarie Bank Ltd. – BankComm European Warrants Oct 2014 A	2014/09/26	2014/10/07
25424	Macquarie Bank Ltd. – Belle Int'l European Wts Oct 2014 A	2014/09/26	2014/10/07
23469	Macquarie Bank Ltd. – BOCHK European Warrants Oct 2014 A	2014/09/26	2014/10/07
14826	Macquarie Bank Ltd. – BOCHK European Warrants Oct 2014 B	2014/09/26	2014/10/07
28474	Macquarie Bank Ltd. – Brilliance Chi Euro Wts Oct 2014 A	2014/09/26	2014/10/07
14324	Macquarie Bank Ltd. – BYD European Warrants Oct 2014 A	2014/09/26	2014/10/07
27506	Macquarie Bank Ltd. – CAM CSI300 European Wts Oct 2014 A	2014/09/26	2014/10/07
13202	Macquarie Bank Ltd. – CC Bank European Warrants Oct 2014 A	2014/09/26	2014/10/07
13927	Macquarie Bank Ltd. – Ch Comm Cons European Wts Oct 2014 A	2014/09/26	2014/10/07
24837	Macquarie Bank Ltd. – Ch LongYuan European Wts Oct 2014 A	2014/09/26	2014/10/07
28135	Macquarie Bank Ltd. – Ch Southern Air Euro Wts Oct 2014 A	2014/09/26	2014/10/07
11972	Macquarie Bank Ltd. – China Coal European Wts Oct 2014 A	2014/09/26	2014/10/07
14431	Macquarie Bank Ltd. – China Coal European Wts Oct 2014 B	2014/09/26	2014/10/07
14074	Macquarie Bank Ltd. – China COSCO European Wts Oct 2014 A	2014/09/26	2014/10/07
27648	Macquarie Bank Ltd. – China Res Gas European Wts Oct 2014 A	2014/09/26	2014/10/07
13835	Macquarie Bank Ltd. – CITIC Bank European Wts Oct 2014 A	2014/09/26	2014/10/07
23559	Macquarie Bank Ltd. – CNBM European Warrants Oct 2014 A	2014/09/26	2014/10/07
14806	Macquarie Bank Ltd. – CNBM European Warrants Oct 2014 B	2014/09/26	2014/10/07
14732	Macquarie Bank Ltd. – CNOOC European Warrants Oct 2014 A	2014/09/26	2014/10/07
14206	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Oct 2014 A	2014/09/26	2014/10/07
13710	Macquarie Bank Ltd. – CSR Times European Warrants Oct 2014 A	2014/09/26	2014/10/07
28914	Macquarie Bank Ltd. – Esprit European Warrants Oct 2014 A	2014/09/26	2014/10/07
16004	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2014 A	2014/09/26	2014/10/07
16362	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2014 B	2014/09/26	2014/10/07
24813	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2014 C	2014/09/26	2014/10/07
11047	Macquarie Bank Ltd. – Haier Elec European Wts Oct 2014 A	2014/09/26	2014/10/07
14793	Macquarie Bank Ltd. – Hang Seng Bank European Wts Oct 2014 A	2014/09/26	2014/10/07
13768	Macquarie Bank Ltd. – HKEx European Warrants Oct 2014 A	2014/09/26	2014/10/07
14792	Macquarie Bank Ltd. – HSBC European Warrants Oct 2014 A	2014/09/26	2014/10/07
13620	Macquarie Bank Ltd. – Hutchison European Warrants Oct 2014 A	2014/09/26	2014/10/07
15066	Macquarie Bank Ltd. – Kingsoft European Warrants Oct 2014 A	2014/09/26	2014/10/07
17847	Macquarie Bank Ltd. – Lenovo European Warrants Oct 2014 A	2014/09/26	2014/10/07
14731	Macquarie Bank Ltd. – PetroChina European Wts Oct 2014 A	2014/09/26	2014/10/07
11490	Macquarie Bank Ltd. – PICC Group European Wts Oct 2014 A	2014/09/26	2014/10/07
13808	Macquarie Bank Ltd. – PICC Group European Wts Oct 2014 B	2014/09/26	2014/10/07
22359	Macquarie Bank Ltd. – PICC P&C European Warrants Oct 2014 A	2014/09/26	2014/10/07
13279	Macquarie Bank Ltd. – Poly HK Inv European Wts Oct 2014 A	2014/09/26	2014/10/07
28116	Macquarie Bank Ltd. – PWR Assets European Wts Oct 2014 A	2014/09/26	2014/10/07
13924	Macquarie Bank Ltd. – REXLot Holdings European Wts Oct 2014A	2014/09/26	2014/10/07
13781	Macquarie Bank Ltd. – Sands China European Wts Oct 2014 A	2014/09/26	2014/10/07
13186	Macquarie Bank Ltd. – Sh Pharma European Warrants Oct 2014 A	2014/09/26	2014/10/07
11592	Macquarie Bank Ltd. – SHK Ppt European Put Wts Oct 2014 B	2014/09/26	2014/10/07
11591	Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2014 A	2014/09/26	2014/10/07
14805	Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2014 C	2014/09/26	2014/10/07
25586	Macquarie Bank Ltd. – SJM Holdings European Wts Oct 2014 A	2014/09/26	2014/10/07
13925	Macquarie Bank Ltd. – SJM Holdings European Wts Oct 2014 B	2014/09/26	2014/10/07
13039	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2014 A	2014/09/26	2014/10/07
25500	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2014 C	2014/09/26	2014/10/07
13040	Macquarie Bank Ltd. – Tencent European Warrants Oct 2014 B	2014/09/26	2014/10/07
25503	Macquarie Bank Ltd. – Tencent European Warrants Oct 2014 D	2014/09/26	2014/10/07
14434	Macquarie Bank Ltd. – Yanzhou Coal European Wts Oct 2014 A	2014/09/26	2014/10/07
14239	Macquarie Bank Ltd. – Yurun Food European Wts Oct 2014 A	2014/09/26	2014/10/07
13858	Macquarie Bank Ltd. –Greatwall Motor European Wts Oct 2014 A	2014/09/26	2014/10/07
16708	Macquarie Bank Ltd. –Greatwall Motor European Wts Oct 2014 B	2014/09/26	2014/10/07
15022	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2014 A	2014/09/26	2014/10/07
14933	Nomura Int'l plc – Hutchison European Warrants Oct 2014 A	2014/09/26	2014/10/07
11585	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2014 A	2014/09/26	2014/10/07
14211	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2014 A	2014/09/26	2014/10/07
14212	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2014 A	2014/09/26	2014/10/07
13304	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2014 A	2014/09/26	2014/10/07
14798	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2014 B	2014/09/26	2014/10/07
14474	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Oct14A	2014/09/26	2014/10/07
14739	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Oct14B	2014/09/26	2014/10/07
14837	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 14 A	2014/09/26	2014/10/07
14214	SGA Societe Generale Acceptance N.V. – CITIC Euro Wt Oct14B	2014/09/26	2014/10/07
25383	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Oct 2014A	2014/09/26	2014/10/07
27442	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Oct 2014 A	2014/09/26	2014/10/07
14285	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wt Oct14 A	2014/09/26	2014/10/07
14267	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Oct14A	2014/09/26	2014/10/07
14072	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2014 A	2014/09/26	2014/10/07
14991	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2014 B	2014/09/26	2014/10/07
15591	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2014 B	2014/09/26	2014/10/07
16244	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2014 C	2014/09/26	2014/10/07
14326	SGA Societe Generale Acceptance N.V. – HWL Eur Put Wt Oct14B	2014/09/26	2014/10/07
13815	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2014 A	2014/09/26	2014/10/07

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14800	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2014 A	2014/09/26	2014/10/07
14000	SGA Societe Generale Acceptance N.V. – Kingssoft Eu Wt Oct14A	2014/09/26	2014/10/07
23397	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Oct 14 A	2014/09/26	2014/10/07
14993	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2014A	2014/09/26	2014/10/07
14788	SGA Societe Generale Acceptance N.V. – PICC Eu Wts Oct 2014A	2014/09/26	2014/10/07
14272	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Oct 2014A	2014/09/26	2014/10/07
14685	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Oct14A	2014/09/26	2014/10/07
11221	SGA Societe Generale Acceptance N.V. –CITIC Sec Eu Wt Oct14A	2014/09/26	2014/10/07
29240	SGA Societe Generale Acceptance N.V. –CLife Eu Wt Oct 2014 A	2014/09/26	2014/10/07
29481	SGA Societe Generale Acceptance N.V. –CLife Eu Wt Oct 2014 B	2014/09/26	2014/10/07
15489	SGA Societe Generale Acceptance N.V. –Greatwall Eu Wt Oct14A	2014/09/26	2014/10/07
14344	SGA Societe Generale Acceptance N.V. –Link REIT Eu Wt Oct14A	2014/09/26	2014/10/07
14683	SGA Societe Generale Acceptance N.V. –Ping An Eu Wt Oct 14 A	2014/09/26	2014/10/07
16499	SGA Societe Generale Acceptance N.V. –Ping An Eu Wt Oct 14 B	2014/09/26	2014/10/07
27825	SGA Societe Generale Acceptance N.V. –Shenhua Eu Wt Oct 14 A	2014/09/26	2014/10/07
14179	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Oct 14 A	2014/09/26	2014/10/07
15447	SGA Societe Generale Acceptance N.V. –Tencent Eu Wt Oct 14 B	2014/09/26	2014/10/07
27444	SGA Societe Generale Acceptance N.V. –ChiUnicom Eu Wt Oct14 A	2014/09/26	2014/10/07
14679	SGA Societe Generale Acceptance N.V. –Galaxy Ent Eu Wt Oct14A	2014/09/26	2014/10/07
27853	SGA Societe Generale Acceptance N.V. –ZTE Eu Wt Oct 2014 A	2014/09/26	2014/10/07
16155	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Oct14B	2014/09/26	2014/10/07
16160	SGA Societe Generale Acceptance N.V. –Sands China Eu Wt Oct14C	2014/09/26	2014/10/07
14427	SGA Societe Generale Acceptance NV –Minsheng Bk Eu Wt Oct14 A	2014/09/26	2014/10/07
16001	SGA Societe Generale Acceptance NV –Minsheng Bk Eu Wt Oct14 B	2014/09/26	2014/10/07
14300	SGA Societe Generale Acceptance NV –Sands Ch Eu Put Wt Oct14A	2014/09/26	2014/10/07
14287	SGA Societe Generale Acceptance NV –Yanzhou Coal Eu Wt Oct14A	2014/09/26	2014/10/07
14696	Standard Chartered Bank – Xinyi Glass Euro Wts Oct 2014 B	2014/09/26	2014/10/07
14823	Standard Chartered Bank – CC Bank European Wts Oct 2014 A	2014/09/26	2014/10/07
14824	Standard Chartered Bank – China COSCO European Wt Oct 2014 A	2014/09/26	2014/10/07
14857	Standard Chartered Bank – CNOOC European Warrants Oct 2014 B	2014/09/26	2014/10/07
16131	Standard Chartered Bank – Galaxy Ent European Wts Oct 2014 D	2014/09/26	2014/10/07
26981	Standard Chartered Bank – Ping An Euro Put Wts Oct 2014 A	2014/09/26	2014/10/07
13852	Standard Chartered Bank – Tencent Hldg Euro Wts Oct 2014 A	2014/09/26	2014/10/07
15551	UBS AG – CC Bank European Warrants Oct 2014 A	2014/09/26	2014/10/07
14711	UBS AG – Ping An European Warrants Oct 2014 A	2014/09/26	2014/10/07
14508	UBS AG – Tencent Holdings European Warrants Oct 2014 B	2014/09/26	2014/10/07
15242	Credit Suisse – Cheung Kong European Put Warrants Oct 2014 A	2014/09/29	2014/10/08
15862	BOCI Asia Ltd. – Ping An European Warrants Oct 2014 A	2014/09/30	2014/10/09
15284	Credit Suisse AG – CNOOC European Warrants Oct 2014 B	2014/09/30	2014/10/09
15173	Daiwa Capital Mkt – AIA European Put Warrants Oct 2014 A	2014/09/30	2014/10/09
15224	Daiwa Capital Mkt – Sands China European Put Wts Oct 2014 A	2014/09/30	2014/10/09
16292	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Wts Oct 2014A	2014/09/30	2014/10/09
11562	Nomura Int'l plc – Link REIT European Put Wts Oct 2014 B	2014/09/30	2014/10/09
15590	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2014 A	2014/09/30	2014/10/09
16779	Standard Chartered Bank – China Mobile Eur Put Wt Oct 2014 C	2014/09/30	2014/10/09
11792	Standard Chartered Bank – Galaxy Ent European Wts Oct 2014 A	2014/09/30	2014/10/09
15255	Standard Chartered Bank –Kingsoft European Wts Oct 2014 A	2014/09/30	2014/10/09
15263	UBS AG – Cheung Kong European Warrants Oct 2014 A	2014/09/30	2014/10/09
16209	UBS AG – China Mobile European Put Warrants Oct 2014 F	2014/09/30	2014/10/09
15965	UBS AG – Galaxy Ent European Warrants Oct 2014 C	2014/09/30	2014/10/09
16946	UBS AG – Greatwall Motor European Warrants Oct 2014 B	2014/09/30	2014/10/09
15267	UBS AG – HKEx European Warrants Oct 2014 B	2014/09/30	2014/10/09
15265	UBS AG – Li & Fung European Warrants Oct 2014 A	2014/09/30	2014/10/09
11346	Bank of East Asia – Ch Mobile European Put Warrants Oct 14 B	2014/10/06	2014/10/13
11334	Bank of East Asia – Ch Mobile European Warrants Oct 2014 A	2014/10/06	2014/10/13
26798	Bank of East Asia – JIANG European Put Warrants Oct 2014 A	2014/10/06	2014/10/13
14894	BOCI Asia Ltd. – China Mobile European Put Wts Oct 2014 A	2014/10/06	2014/10/13
27834	Credit Suisse AG – Galaxy Ent European Put Wts Oct 2014 C	2014/10/06	2014/10/13
15320	Credit Suisse AG – GCL-Poly Energy European Wts Oct 2014 A	2014/10/06	2014/10/13
15371	Credit Suisse AG – HKEx European Put Warrants Oct 2014 A	2014/10/06	2014/10/13
15321	Credit Suisse AG – Tencent Holdings European Wts Oct 2014 B	2014/10/06	2014/10/13
15235	HK Bank – BOCL European Warrants Oct 2014 A	2014/10/06	2014/10/13
25142	Standard Chartered Bank – A50 China Euro Warrants Oct 2014 B	2014/10/06	2014/10/13
14967	Standard Chartered Bank – China Mobile Euro Wts Oct 2014 B	2014/10/06	2014/10/13
14347	Standard Chartered Bank – SHK P European Warrants Oct 2014 A	2014/10/06	2014/10/13
14932	UBS AG – China Mobile European Warrants Oct 2014 D	2014/10/06	2014/10/13
15228	UBS AG – Evergrande European Warrants Oct 2014 A	2014/10/06	2014/10/13
15268	UBS AG – Galaxy Ent European Put Warrants Oct 2014 A	2014/10/06	2014/10/13
15137	UBS AG – Geely Auto European Warrants Oct 2014 A	2014/10/06	2014/10/13
14292	UBS AG – Hutchison European Warrants Oct 2014 A	2014/10/06	2014/10/13
15357	UBS AG – Kingsoft European Warrants Oct 2014 A	2014/10/06	2014/10/13
15481	UBS AG – Sands China European Put Warrants Oct 2014 A	2014/10/06	2014/10/13
15356	UBS AG – Yurun Food European Warrants Oct 2014 A	2014/10/06	2014/10/13
11519	BOCI Asia Ltd. – CAM CSI300 European Warrants Oct 2014 A	2014/10/07	2014/10/14
14974	BOCI Asia Ltd. – China Mobile European Warrants Oct 2014 B	2014/10/07	2014/10/14
15159	BOCI Asia Ltd. – Galaxy Ent European Warrants Oct 2014 A	2014/10/07	2014/10/14
15110	BOCI Asia Ltd. – HKEx European Warrants Oct 2014 A	2014/10/07	2014/10/14
15458	BOCI Asia Ltd. – HSBC European Warrants Oct 2014 A	2014/10/07	2014/10/14
15160	BOCI Asia Ltd. – Sands China European Put Wts Oct 2014 B	2014/10/07	2014/10/14
14266	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2014 A	2014/10/07	2014/10/14
23453	Credit Suisse AG – HSBC European Wts Oct 2014 A	2014/10/07	2014/10/14
15424	Credit Suisse AG – Kingsoft European Warrants Oct 2014 A	2014/10/07	2014/10/14
16023	Credit Suisse AG – Sands China European Warrants Oct 2014 B	2014/10/07	2014/10/14
15402	Credit Suisse AG – Tencent Holdings European Wts Oct 2014 C	2014/10/07	2014/10/14
15364	Standard Chartered Bank – CSR European Warrants Oct 2014 A	2014/10/07	2014/10/14
15264	UBS AG – AAC Acoustic European Warrants Oct 2014 B	2014/10/07	2014/10/14
28685	UBS AG – KECL European Warrants Oct 2014 B	2014/10/07	2014/10/14

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14712	UBS AG – Ping An European Warrants Oct 2014 B	2014/10/07	2014/10/14
15409	UBS AG – Zhuzhou CSR European Warrants Oct 2014 A	2014/10/07	2014/10/14
16000	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2014 B	2014/10/08	2014/10/15
16062	J P Morgan SP BV – China Life European Warrants Oct 2014 C	2014/10/08	2014/10/15
15462	UBS AG – China Mobile European Warrants Oct 2014 E	2014/10/08	2014/10/15
16040	UBS AG – PetroChina European Warrants Oct 2014 A	2014/10/08	2014/10/15
15464	UBS AG – SHK Ppt European Warrants Oct 2014 A	2014/10/08	2014/10/15
15222	Daiwa Capital Mkt – China Mobile European Put Wts Oct 2014 A	2014/10/09	2014/10/16
15176	Daiwa Capital Mkt – Galaxy Ent European Warrants Oct 2014 A	2014/10/09	2014/10/16
15167	Daiwa Capital Mkt – HSBC European Warrants Oct 2014 A	2014/10/09	2014/10/16
23452	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Oct 2014 D	2014/10/09	2014/10/16
22642	UBS AG – Tencent Holdings European Put Warrants Oct 2014 C	2014/10/09	2014/10/16
15573	Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2014 A	2014/10/10	2014/10/17
15571	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Oct 2014 A	2014/10/10	2014/10/17
15578	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Oct 2014 A	2014/10/10	2014/10/17
15556	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2014 A	2014/10/10	2014/10/17
14493	Daiwa Capital Mkt – HKEx European Put Warrants Oct 2014 A	2014/10/13	2014/10/20
15501	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2014 A	2014/10/13	2014/10/20
16767	Standard Chartered Bank – Sands China Euro Wts Oct 2014 A	2014/10/13	2014/10/20
24592	Standard Chartered Bank -Kingsoft European Wts Oct 2014 B	2014/10/13	2014/10/20
15408	UBS AG – Kingsoft European Warrants Oct 2014 B	2014/10/13	2014/10/20
15323	BOCI Asia Ltd. – Anhui Conch European Warrants Oct 2014 B	2014/10/14	2014/10/21
15325	BOCI Asia Ltd. – Sands China European Warrants Oct 2014 C	2014/10/14	2014/10/21
14611	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2014 B	2014/10/14	2014/10/21
15425	Citigroup Global Mkt H Inc. – Haier Elec Euro Wts Oct 2014 A	2014/10/14	2014/10/21
15407	Citigroup Global Mkt H Inc. – PWR Assets European Wts Oct 2014 A	2014/10/14	2014/10/21
14061	Citigroup Global Mkt H Inc.-Tencent European Wts Oct 2014 A	2014/10/14	2014/10/21
15459	Credit Suisse AG – ICBC European Warrants Oct 2014 A	2014/10/14	2014/10/21
11640	Credit Suisse AG – Jiangxi Copper Euro Put Wts Oct 2014 B	2014/10/14	2014/10/21
11535	Credit Suisse AG – Jiangxi Copper European Wts Oct 2014 A	2014/10/14	2014/10/21
16197	Credit Suisse AG – Sands China European Warrants Oct 2014 C	2014/10/14	2014/10/21
15496	Goldman Sachs SP (Asia) -Tencent European Wt Oct 2014 B	2014/10/14	2014/10/21
14190	HK Bank – Esprit European Warrants Oct 2014 A	2014/10/14	2014/10/21
11482	HK Bank – Jiangxi Copper European Warrants Oct 2014 A	2014/10/14	2014/10/21
14564	Macquarie Bank Ltd. – Chinares Cement European Wt Oct 2014 A	2014/10/14	2014/10/21
16820	Nomura Int'l plc – China Life European Warrants Oct 2014 A	2014/10/14	2014/10/21
14929	UBS AG – AAC Acoustic European Warrants Oct 2014 A	2014/10/14	2014/10/21
27779	UBS AG – KECL European Warrants Oct 2014 A	2014/10/14	2014/10/21
27251	UBS AG – New World European Warrants Oct 2014 A	2014/10/14	2014/10/21
13988	UBS AG – Tencent Holdings European Warrants Oct 2014 A	2014/10/14	2014/10/21
15638	Credit Suisse AG – HKEx European Warrants Oct 2014 B	2014/10/15	2014/10/22
15637	Credit Suisse AG – PetroChina European Warrants Oct 2014 A	2014/10/15	2014/10/22
15646	Credit Suisse AG – Ping An European Put Warrants Oct 2014 A	2014/10/15	2014/10/22
15655	Credit Suisse AG – SHK Ppt European Put Warrants Oct 2014 B	2014/10/15	2014/10/22
15634	Daiwa Capital Mkt – HKEx European Warrants Oct 2014 C	2014/10/15	2014/10/22
14698	Standard Chartered Bank – Geely Auto European Wts Oct 2014 A	2014/10/15	2014/10/22
15460	UBS AG – A50 China European Warrants Oct 2014 B	2014/10/15	2014/10/22
15667	UBS AG – China Life European Warrants Oct 2014 A	2014/10/15	2014/10/22
15670	UBS AG – HKEx European Warrants Oct 2014 C	2014/10/15	2014/10/22
15780	UBS AG – Melco European Warrants Oct 2014 A	2014/10/15	2014/10/22
14159	Bank of East Asia – CHALCO European Put Warrants Oct 2014 A	2014/10/16	2014/10/23
15710	Credit Suisse AG – HKEx European Warrants Oct 2014 C	2014/10/16	2014/10/23
15679	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2014 A	2014/10/16	2014/10/23
15688	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Oct 2014B	2014/10/16	2014/10/23
15616	Nomura Int'l plc – Baiyunshan Ph European Warrants Oct 2014A	2014/10/16	2014/10/23
15701	UBS AG – Geely Auto European Warrants Oct 2014 B	2014/10/16	2014/10/23
15092	Daiwa Capital Mkt – China Life European Put Wts Oct 2014 A	2014/10/17	2014/10/24
26523	Daiwa Capital Mkt – Minsheng Bk European Warrants Oct 2014 A	2014/10/17	2014/10/24
14343	HK Bank – CITIC Sec European Warrants Oct 2014 A	2014/10/17	2014/10/24
17509	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Put Wt Oct 2014B	2014/10/17	2014/10/24
17569	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2014 B	2014/10/17	2014/10/24
15361	Standard Chartered Bank – CITIC Sec European Wts Oct 2014 A	2014/10/17	2014/10/24
11931	UBS AG – China Unicom European Warrants Oct 2014 A	2014/10/17	2014/10/24
15482	UBS AG – CITIC Sec European Warrants Oct 2014 A	2014/10/17	2014/10/24
16879	UBS AG – Galaxy Ent European Put Warrants Oct 2014 D	2014/10/17	2014/10/24
15746	UBS AG – Galaxy Ent European Warrants Oct 2014 B	2014/10/17	2014/10/24
15750	UBS AG – Greatwall Motor European Warrants Oct 2014 A	2014/10/17	2014/10/24
24386	UBS AG – Haier Elec European Warrants Oct 2014 A	2014/10/17	2014/10/24
15757	UBS AG – Minsheng Bank European Warrants Oct 2014 A	2014/10/17	2014/10/24
15467	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Oct 2014 A	2014/10/20	2014/10/27
26581	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Oct 2014 B	2014/10/20	2014/10/27
15968	Credit Suisse AG – CC Bank European Warrants Oct 2014 A	2014/10/20	2014/10/27
15787	Credit Suisse AG – SHK Ppt European Warrants Oct 2014 C	2014/10/20	2014/10/27
13631	Daiwa Capital Mkt – Ping An European Put Warrants Oct 2014 A	2014/10/20	2014/10/27
19038	Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2014 A	2014/10/20	2014/10/27
15365	Standard Chartered Bank – HKEx European Put Wts Oct 2014 B	2014/10/20	2014/10/27
14623	UBS AG – China Tel European Warrants Oct 2014 A	2014/10/20	2014/10/27
15668	UBS AG – Kingsoft European Warrants Oct 2014 C	2014/10/20	2014/10/27
15781	UBS AG – Sands China European Warrants Oct 2014 B	2014/10/20	2014/10/27
15390	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Oct 2014 D	2014/10/21	2014/10/28
15504	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2014 D	2014/10/21	2014/10/28
21819	BOCI Asia Ltd. -Tencent Holdings European Put Wts Oct 2014 E	2014/10/21	2014/10/28
28660	Citigroup Global Mkt H Inc. -Tencent European Wts Oct 2014 B	2014/10/21	2014/10/28
29001	Credit Suisse AG – China Gas Hold European Wts Oct 2014 A	2014/10/21	2014/10/28
11507	Credit Suisse AG – China Shenhua European Wts Oct 2014 A	2014/10/21	2014/10/28
28997	Credit Suisse AG – ChiUnicom European Warrants Oct 2014 A	2014/10/21	2014/10/28
14321	Credit Suisse AG – CITIC Sec European Warrants Oct 2014 A	2014/10/21	2014/10/28

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
11638	Credit Suisse AG – Esprit European Put Warrants Oct 2014 A	2014/10/21	2014/10/28
11954	Credit Suisse AG – Esprit European Warrants Oct 2014 B	2014/10/21	2014/10/28
12437	Credit Suisse AG – Jiangxi Copper European Wts Oct 2014 C	2014/10/21	2014/10/28
15856	Credit Suisse AG – Ping An European Warrants Oct 2014 B	2014/10/21	2014/10/28
23461	Credit Suisse AG – ZTE European Warrants Oct 2014 A	2014/10/21	2014/10/28
15497	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Oct 2014 A	2014/10/21	2014/10/28
25024	HK Bank – ICBC European Warrants Oct 2014 A	2014/10/21	2014/10/28
25028	HK Bank – Tencent European Warrants Oct 2014 A	2014/10/21	2014/10/28
26330	J P Morgan SP BV – Tencent European Warrants Oct 2014 K	2014/10/21	2014/10/28
14682	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Oct14A	2014/10/21	2014/10/28
11662	SGA Societe Generale Acceptance N.V.-Haitong Sec Eu Wt Oct14A	2014/10/21	2014/10/28
15829	UBS AG – CSOP A50 ETF European Warrants Oct 2014 A	2014/10/21	2014/10/28
14885	UBS AG – HKEx European Warrants Oct 2014 A	2014/10/21	2014/10/28
25875	UBS AG – Jiangxi Copper European Warrants Oct 2014 A	2014/10/21	2014/10/28
14349	UBS AG – ZTE European Warrants Oct 2014 A	2014/10/21	2014/10/28
23679	Bank of East Asia – CITIC European Warrants Oct 2014 A	2014/10/22	2014/10/29
24715	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2014 A	2014/10/22	2014/10/29
25499	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Oct 2014 A	2014/10/22	2014/10/29
13260	Credit Suisse AG – A50 China European Warrants Oct 2014 A	2014/10/22	2014/10/29
14392	Goldman Sachs SP (Asia) – Geely Auto European Wts Oct 2014 A	2014/10/22	2014/10/29
15291	Goldman Sachs SP (Asia) – Melco Int'l Dev Eur Wts Oct 2014 A	2014/10/22	2014/10/29
15292	Goldman Sachs SP (Asia) – PetroChina European Wts Oct 2014 A	2014/10/22	2014/10/29
14484	Goldman Sachs SP (Asia) – Yurun Food European Wts Oct 2014 A	2014/10/22	2014/10/29
21994	HK Bank – A50 China European Warrants Oct 2014 A	2014/10/22	2014/10/29
16347	HK Bank – China Mobile European Warrants Oct 2014 B	2014/10/22	2014/10/29
24528	HK Bank – Yanzhou Coal European Warrants Oct 2014 A	2014/10/22	2014/10/29
16410	J P Morgan SP BV – Tencent European Warrants Oct 2014 J	2014/10/22	2014/10/29
15825	Macquarie Bank Ltd. – BJ Ent Water European Wts Oct 2014 A	2014/10/22	2014/10/29
11329	Macquarie Bank Ltd. – China Gas Hold Euro Wts Oct 2014 A	2014/10/22	2014/10/29
13869	Macquarie Bank Ltd. – China Taiping European Wts Oct 2014 A	2014/10/22	2014/10/29
18438	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2014 A	2014/10/22	2014/10/29
12950	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2014 B	2014/10/22	2014/10/29
13439	Nomura Int'l plc – Country Garden European Warrants Oct 14A	2014/10/22	2014/10/29
25851	Nomura Int'l plc – A50 China European Warrants Oct 2014 B	2014/10/22	2014/10/29
15346	Nomura Int'l plc – Anhui Conch European Warrants Oct 2014 A	2014/10/22	2014/10/29
15601	Nomura Int'l plc – China Mengniu European Warrants Oct 2014A	2014/10/22	2014/10/29
15348	Nomura Int'l plc – Chinares Cement European Warrants Oct14 A	2014/10/22	2014/10/29
25738	Nomura Int'l plc – CITIC European Warrants Oct 2014 A	2014/10/22	2014/10/29
14910	Nomura Int'l plc – CNOOC European Warrants Oct 2014 A	2014/10/22	2014/10/29
28939	Nomura Int'l plc – Esprit European Put Warrants Oct 2014 A	2014/10/22	2014/10/29
14915	Nomura Int'l plc – Foxconn European Warrants Oct 2014 A	2014/10/22	2014/10/29
14934	Nomura Int'l plc – Li & Fung European Warrants Oct 2014 A	2014/10/22	2014/10/29
11561	Nomura Int'l plc – Link REIT European Warrants Oct 2014 A	2014/10/22	2014/10/29
14219	Nomura Int'l plc – OOIL European Warrants Oct 2014 A	2014/10/22	2014/10/29
14935	Nomura Int'l plc – PetroChina European Warrants Oct 2014 A	2014/10/22	2014/10/29
23649	Standard Chartered Bank – A50 China Euro Warrants Oct 2014 A	2014/10/22	2014/10/29
13219	UBS AG – A50 China European Warrants Oct 2014 A	2014/10/22	2014/10/29
15550	UBS AG – A50 China European Warrants Oct 2014 C	2014/10/22	2014/10/29
15747	UBS AG – HKEx European Warrants Oct 2014 D	2014/10/22	2014/10/29
16372	UBS AG – MGM China European Warrants Oct 2014 A	2014/10/22	2014/10/29
25746	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2014 F	2014/10/23	2014/10/30
15455	BOCI Asia Ltd. – China Mobile European Warrants Oct 2014 C	2014/10/23	2014/10/30
24726	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2014 B	2014/10/23	2014/10/30
14515	Goldman Sachs SP (Asia) – Baiyunshan Ph European Wts Oct14A	2014/10/23	2014/10/30
26383	HK Bank – A50 China European Warrants Oct 2014 B	2014/10/23	2014/10/30
16218	HK Bank – China Mobile European Warrants Oct 2014 A	2014/10/23	2014/10/30
25073	HK Bank – Tencent European Put Warrants Oct 2014 D	2014/10/23	2014/10/30
15932	Nomura Int'l plc – Haier Elec European Warrants Oct 2014 A	2014/10/23	2014/10/30
19037	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 14 A	2014/10/23	2014/10/30
24302	SGA Societe Generale Acceptance N.V. – CITIC Euro Wt Oct14A	2014/10/23	2014/10/30
14818	UBS AG – Sinopec Corp European Warrants Oct 2014 A	2014/10/23	2014/10/30
13334	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Oct 2014 A	2014/10/24	2014/10/31
14981	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2014 A	2014/10/24	2014/10/31
29343	BOCI Asia Ltd. – China Life European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
15251	BOCI Asia Ltd. – HSI European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
21304	BOCI Asia Ltd. – HSI European Put Warrants Oct 2014 B	2014/10/24	2014/10/31
25099	BOCI Asia Ltd. – HSI European Put Warrants Oct 2014 E	2014/10/24	2014/10/31
21305	BOCI Asia Ltd. – HSI European Warrants Oct 2014 C	2014/10/24	2014/10/31
21349	BOCI Asia Ltd. – HSI European Warrants Oct 2014 D	2014/10/24	2014/10/31
28398	BOCI Asia Ltd. – Tracker Fund European Warrants Oct 2014 A	2014/10/24	2014/10/31
17065	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2014 B	2014/10/24	2014/10/31
11376	Credit Suisse AG – HSCEI European Warrants Oct 2014 A	2014/10/24	2014/10/31
15545	Credit Suisse AG – HSI European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
23926	Credit Suisse AG – HSI European Put Warrants Oct 2014 D	2014/10/24	2014/10/31
23933	Credit Suisse AG – HSI European Put Warrants Oct 2014 E	2014/10/24	2014/10/31
26967	Credit Suisse AG – HSI European Put Warrants Oct 2014 F	2014/10/24	2014/10/31
16295	Credit Suisse AG – HSI European Warrants Oct 2014 B	2014/10/24	2014/10/31
14867	Daiwa Capital Mkt – HSCEI European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
15611	Goldman Sachs SP (Asia) – A50 China European Wts Oct 2014 A	2014/10/24	2014/10/31
14725	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2014 A	2014/10/24	2014/10/31
11024	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Oct 2014 A	2014/10/24	2014/10/31
27846	Goldman Sachs SP (Asia) – CITIC Sec European Wts Oct 2014 A	2014/10/24	2014/10/31
14497	Goldman Sachs SP (Asia) – Country Garden European Wts Oct14A	2014/10/24	2014/10/31
14498	Goldman Sachs SP (Asia) – Haier Elec European Wts Oct14A	2014/10/24	2014/10/31
15370	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2014 A	2014/10/24	2014/10/31
29834	Goldman Sachs SP (Asia) – HSCEI European Warrants Oct 2014 A	2014/10/24	2014/10/31
13667	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2014 B	2014/10/24	2014/10/31

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15759	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2014 D	2014/10/24	2014/10/31
15760	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2014 E	2014/10/24	2014/10/31
27355	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2014 A	2014/10/24	2014/10/31
15758	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2014 C	2014/10/24	2014/10/31
14926	Goldman Sachs SP (Asia) – Kingsoft European Wts Oct 2014 A	2014/10/24	2014/10/31
13935	Goldman Sachs SP (Asia) – Link REIT European Wts Oct 2014 A	2014/10/24	2014/10/31
13933	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Oct 2014 A	2014/10/24	2014/10/31
15707	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2014 B	2014/10/24	2014/10/31
15248	Goldman Sachs SP (Asia) – ZTE European Warrants Oct 2014 A	2014/10/24	2014/10/31
28558	HK Bank – HSCEI European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
15099	HK Bank – HSCEI European Put Warrants Oct 2014 B	2014/10/24	2014/10/31
15100	HK Bank – HSCEI European Warrants Oct 2014 C	2014/10/24	2014/10/31
15156	HK Bank – HSI European Put Warrants Oct 2014 B	2014/10/24	2014/10/31
27086	HK Bank – HSI European Put Warrants Oct 2014 C	2014/10/24	2014/10/31
15098	HK Bank – HSI European Warrants Oct 2014 A	2014/10/24	2014/10/31
27936	HK Bank – HSI European Warrants Oct 2014 D	2014/10/24	2014/10/31
15143	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Oct 2014 B	2014/10/24	2014/10/31
14656	J P Morgan SP BV – HSCEI European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
15144	J P Morgan SP BV – HSCEI European Put Warrants Oct 2014 C	2014/10/24	2014/10/31
14662	J P Morgan SP BV – HSI European Put Warrants Oct 2014 B	2014/10/24	2014/10/31
14663	J P Morgan SP BV – HSI European Put Warrants Oct 2014 C	2014/10/24	2014/10/31
14664	J P Morgan SP BV – HSI European Put Warrants Oct 2014 D	2014/10/24	2014/10/31
14736	J P Morgan SP BV – HSI European Put Warrants Oct 2014 E	2014/10/24	2014/10/31
15820	J P Morgan SP BV – HSI European Put Warrants Oct 2014 G	2014/10/24	2014/10/31
14657	J P Morgan SP BV – HSI European Warrants Oct 2014 A	2014/10/24	2014/10/31
15075	J P Morgan SP BV – HSI European Warrants Oct 2014 F	2014/10/24	2014/10/31
18471	J P Morgan SP BV – HSI European Warrants Oct 2014 H	2014/10/24	2014/10/31
15818	J P Morgan SP BV – Minsheng Bank European Wts Oct 2014 A	2014/10/24	2014/10/31
15288	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2014 C	2014/10/24	2014/10/31
16845	Nomura Int'l plc – China Mobile European Warrants Oct 2014 A	2014/10/24	2014/10/31
15693	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2014 C	2014/10/24	2014/10/31
14619	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 14 A	2014/10/24	2014/10/31
15280	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 14 B	2014/10/24	2014/10/31
15211	Standard Chartered Bank – Anhui Conch Eur Put Wt Oct 2014 B	2014/10/24	2014/10/31
14308	Standard Chartered Bank – Xinyi Glass Euro Wts Oct 2014 A	2014/10/24	2014/10/31
15208	Standard Chartered Bank – Anhui Conch Euro Wts Oct 2014 A	2014/10/24	2014/10/31
18864	Standard Chartered Bank – China Unicom Euro Wts Oct 2014 A	2014/10/24	2014/10/31
15206	Standard Chartered Bank – Galaxy Ent Euro Put Wts Oct 2014 B	2014/10/24	2014/10/31
15628	Standard Chartered Bank – Galaxy Ent Euro Put Wts Oct 2014 C	2014/10/24	2014/10/31
11367	Standard Chartered Bank – Haitong Sec Euro Wts Oct 2014 A	2014/10/24	2014/10/31
15445	Standard Chartered Bank – HS H-SHARE European Wts Oct 2014 A	2014/10/24	2014/10/31
26267	Standard Chartered Bank – HSCEI European Warrants Oct 2014 A	2014/10/24	2014/10/31
14822	Standard Chartered Bank – HSI European Put Wts Oct 2014 A	2014/10/24	2014/10/31
24881	Standard Chartered Bank – HSI European Put Wts Oct 2014 D	2014/10/24	2014/10/31
16050	Standard Chartered Bank – HSI European Warrants Oct 2014 B	2014/10/24	2014/10/31
24850	Standard Chartered Bank – HSI European Warrants Oct 2014 C	2014/10/24	2014/10/31
14165	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2014 A	2014/10/24	2014/10/31
14924	Standard Chartered Bank – ICBC European Put Wts Oct 2014 A	2014/10/24	2014/10/31
15478	Standard Chartered Bank – PetroChina Euro Put Wts Oct 2014 B	2014/10/24	2014/10/31
14063	Standard Chartered Bank – SJM Hold European Wts Oct 2014 A	2014/10/24	2014/10/31
14309	Standard Chartered Bank – PetroChina European Wts Oct 2014 A	2014/10/24	2014/10/31
11275	UBS AG – Haitong Sec European Warrants Oct 2014 A	2014/10/24	2014/10/31
15887	UBS AG – HSCEI European Put Warrants Oct 2014 C	2014/10/24	2014/10/31
15885	UBS AG – HSCEI European Warrants Oct 2014 A	2014/10/24	2014/10/31
15886	UBS AG – HSCEI European Warrants Oct 2014 B	2014/10/24	2014/10/31
15888	UBS AG – HSI European Put Warrants Oct 2014 A	2014/10/24	2014/10/31
25542	UBS AG – HSI European Put Warrants Oct 2014 D	2014/10/24	2014/10/31
16145	UBS AG – HSI European Warrants Oct 2014 B	2014/10/24	2014/10/31
16146	UBS AG – HSI European Warrants Oct 2014 C	2014/10/24	2014/10/31
15394	Bank of East Asia – CRCC European Warrants Oct 2014 A	2014/10/27	2014/11/03
14902	Bank of East Asia – Foxconn European Warrants Oct 2014 A	2014/10/27	2014/11/03
11984	BOCI Asia Ltd. – CNOOC European Put Warrants Oct 2014 A	2014/10/27	2014/11/03
15673	BOCI Asia Ltd. – Lenovo European Warrants Oct 2014 A	2014/10/27	2014/11/03
23478	Credit Suisse AG – China Mobile European Warrants Oct 2014 B	2014/10/27	2014/11/03
28837	Credit Suisse AG – CNOOC European Put Warrants Oct 2014 A	2014/10/27	2014/11/03
29422	Credit Suisse AG – CSOP A50 ETF Euro Put Wts Oct 2014 A	2014/10/27	2014/11/03
12479	Credit Suisse AG – Lumena Newmat European Wts Oct 2014 A	2014/10/27	2014/11/03
29118	Credit Suisse AG – Tencent Holdings European Wts Oct 2014 E	2014/10/27	2014/11/03
14500	Goldman Sachs SP (Asia) – BJ Ent Water European Wts Oct14A	2014/10/27	2014/11/03
15859	Goldman Sachs SP (Asia) – Sands China Euro Wts Oct 2014 A	2014/10/27	2014/11/03
15237	HK Bank – Agricultural Bank European Warrants Oct 2014 A	2014/10/27	2014/11/03
15234	HK Bank – CC Bank European Warrants Oct 2014 A	2014/10/27	2014/11/03
15397	HK Bank – Cheung Kong European Warrants Oct 2014 A	2014/10/27	2014/11/03
14340	HK Bank – China Tel European Warrants Oct 2014 A	2014/10/27	2014/11/03
12464	HK Bank – China Unicom European Warrants Oct 2014 A	2014/10/27	2014/11/03
15259	HK Bank – CRCC European Warrants Oct 2014 A	2014/10/27	2014/11/03
15261	HK Bank – CSR European Warrants Oct 2014 A	2014/10/27	2014/11/03
15304	HK Bank – Henderson Land Dev European Warrants Oct 2014 A	2014/10/27	2014/11/03
15615	HK Bank – HKEx European Warrants Oct 2014 A	2014/10/27	2014/11/03
15258	HK Bank – Kingsoft European Warrants Oct 2014 A	2014/10/27	2014/11/03
15516	HK Bank – Kingsoft European Warrants Oct 2014 B	2014/10/27	2014/11/03
15513	HK Bank – Sinopec Corp European Warrants Oct 2014 A	2014/10/27	2014/11/03
25065	HK Bank – Tencent European Put Warrants Oct 2014 C	2014/10/27	2014/11/03
25045	HK Bank – Tencent European Warrants Oct 2014 B	2014/10/27	2014/11/03
13657	HK Bank – Wharf European Warrants Oct 2014 A	2014/10/27	2014/11/03
18449	Nomura Int'l plc – A50 China European Warrants Oct 2014 A	2014/10/27	2014/11/03
11570	Nomura Int'l plc – A50 China European Warrants Oct 2014 C	2014/10/27	2014/11/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
14384	Standard Chartered Bank – China EB Int'l Euro Wts Oct 2014 A	2014/10/27	2014/11/03
27085	Standard Chartered Bank – CNOOC European Put Wts Oct 2014 A	2014/10/27	2014/11/03
14825	Standard Chartered Bank – HKEx European Warrants Oct 2014 A	2014/10/27	2014/11/03
11711	Standard Chartered Bank – Jiangxi Copper Euro Wts Oct 2014 A	2014/10/27	2014/11/03
14855	Standard Chartered Bank – Wharf European Warrants Oct 2014 A	2014/10/27	2014/11/03
14916	UBS AG – China Mobile European Warrants Oct 2014 C	2014/10/27	2014/11/03
25982	Bank of East Asia – A50 China European Warrants Nov 2014 A	2014/10/28	2014/11/04
26075	BNP Paribas Arbit Issu B.V. – CITIC Euro Warrants Nov 2014 A	2014/10/28	2014/11/04
25513	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Nov 2014A	2014/10/28	2014/11/04
17214	BOCI Asia Ltd. – CC Bank European Warrants Nov 2014 A	2014/10/28	2014/11/04
15502	BOCI Asia Ltd. – ICBC European Warrants Nov 2014 A	2014/10/28	2014/11/04
24679	BOCI Asia Ltd. – Kingsoft European Warrants Nov 2014 C	2014/10/28	2014/11/04
15672	BOCI Asia Ltd. – PetroChina European Warrants Nov 2014 A	2014/10/28	2014/11/04
15507	BOCI Asia Ltd. – Sinopec Corp European Warrants Nov 2014 A	2014/10/28	2014/11/04
28277	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Nov 2014 A	2014/10/28	2014/11/04
27590	Credit Suisse AG – China Mobile European Warrants Nov 2014 B	2014/10/28	2014/11/04
25934	Daiwa Capital Mkt – A50 China European Warrants Nov 2014 A	2014/10/28	2014/11/04
14397	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Nov 2014 A	2014/10/28	2014/11/04
14869	Daiwa Capital Mkt – China Mobile European Put Wts Nov 2014 A	2014/10/28	2014/11/04
15214	Daiwa Capital Mkt – China Mobile European Wts Nov 2014 B	2014/10/28	2014/11/04
28651	Daiwa Capital Mkt – HKEx European Warrants Nov 2014 A	2014/10/28	2014/11/04
15180	Daiwa Capital Mkt – PetroChina European Warrants Nov 2014 A	2014/10/28	2014/11/04
23998	Daiwa Capital Mkt – Sinopec Corp European Wts Nov 2014 B	2014/10/28	2014/11/04
14480	Daiwa Capital Mkt – Tencent Holdings European Wts Nov 2014 A	2014/10/28	2014/11/04
14986	Daiwa Capital Mkt – Tencent Holdings European Wts Nov 2014 B	2014/10/28	2014/11/04
15897	HK Bank – Huaneng Power European Warrants Nov 2014 A	2014/10/28	2014/11/04
23409	HK Bank – KECL European Warrants Nov 2014 A	2014/10/28	2014/11/04
21416	J P Morgan Int'l Der. Ltd. – COSCO Pac Euro Wts Nov 2014 A	2014/10/28	2014/11/04
28439	J P Morgan SP BV – Brilliance Chi European Wts Nov 2014 A	2014/10/28	2014/11/04
24162	J P Morgan SP BV – China Gas Hold European Wts Nov 2014 A	2014/10/28	2014/11/04
29396	J P Morgan SP BV – China Taiping European Wts Nov 2014 A	2014/10/28	2014/11/04
29637	J P Morgan SP BV – HKEx European Warrants Nov 2014 B	2014/10/28	2014/11/04
13590	J P Morgan SP BV – JX Copper European Warrants Nov 2014 A	2014/10/28	2014/11/04
15125	J P Morgan SP BV – Melco Int'l Dev European Wts Nov 2014 A	2014/10/28	2014/11/04
28145	J P Morgan SP BV – Sands China European Warrants Nov 2014 A	2014/10/28	2014/11/04
14151	J P Morgan SP BV – Shima Property European Wts Nov 2014 A	2014/10/28	2014/11/04
11227	J P Morgan SP BV – Techtronic Ind European Warrants Nov 14 A	2014/10/28	2014/11/04
14960	J P Morgan SP BV – Wharf European Warrants Nov 2014 A	2014/10/28	2014/11/04
15240	Macquarie Bank Ltd. – Intime European Warrants Nov 2014 A	2014/10/28	2014/11/04
13274	Macquarie Bank Ltd. – Link REIT European Warrants Nov 2014 A	2014/10/28	2014/11/04
11715	Macquarie Bank Ltd. – Shougang Res European Wts Nov 2014 A	2014/10/28	2014/11/04
15301	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Nov 2014 A	2014/10/28	2014/11/04
23042	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Eu Put Wt Nov 2014B	2014/10/28	2014/11/04
14853	SGA Societe Generale Acceptance N.V.-ZTE Eu Wt Nov 2014 A	2014/10/28	2014/11/04
15535	Standard Chartered Bank – CC Bank European Put Wts Nov 2014A	2014/10/28	2014/11/04
23856	Standard Chartered Bank – China Coal European Wts Nov 2014 A	2014/10/28	2014/11/04
26286	Standard Chartered Bank – China Mobile Euro Wts Nov 2014 B	2014/10/28	2014/11/04
26522	Standard Chartered Bank – CSOP A50 ETF Euro Wts Nov 2014 A	2014/10/28	2014/11/04
21284	Standard Chartered Bank – Esprit European Wts Nov 2014 A	2014/10/28	2014/11/04
14284	Standard Chartered Bank – Foxconn European Wts Nov 2014 A	2014/10/28	2014/11/04
19467	Standard Chartered Bank – HKEx European Warrants Nov 2014 A	2014/10/28	2014/11/04
25388	Standard Chartered Bank – HSBC European Warrants Nov 2014 A	2014/10/28	2014/11/04
26952	Standard Chartered Bank – Li & Fung European Wts Nov 2014 A	2014/10/28	2014/11/04
15200	Standard Chartered Bank – Tencent Hldg Euro Wts Nov 2014 A	2014/10/28	2014/11/04
15922	UBS AG – Cheung Kong European Warrants Nov 2014 A	2014/10/28	2014/11/04
16905	UBS AG – China Tel European Warrants Nov 2014 A	2014/10/28	2014/11/04
24011	UBS AG – KECL European Warrants Nov 2014 A	2014/10/28	2014/11/04
15113	UBS AG – ZTE European Warrants Nov 2014 A	2014/10/28	2014/11/04
20551	Bank of East Asia – R&F Ppt European Warrants Nov 2014 A	2014/10/29	2014/11/05
15711	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2014	2014/10/29	2014/11/05
22777	BNP Paribas Arbit Issu B.V. – ASM Pacific Eu Wts Nov 2014 A	2014/10/29	2014/11/05
14599	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wt Nov 2014 A	2014/10/29	2014/11/05
15374	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Nov 2014 A	2014/10/29	2014/11/05
14579	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2014 A	2014/10/29	2014/11/05
14975	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2014 B	2014/10/29	2014/11/05
21604	BNP Paribas Arbit Issu B.V. – China EB Ltd Eu Wt Nov 2014 A	2014/10/29	2014/11/05
26623	BNP Paribas Arbit Issu B.V. – China Gas Hold Euro Wt Nov14 A	2014/10/29	2014/11/05
15388	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Put Wts Nov14A	2014/10/29	2014/11/05
27499	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts Nov 2014 A	2014/10/29	2014/11/05
11007	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts Nov 2014 B	2014/10/29	2014/11/05
15381	BNP Paribas Arbit Issu B.V. – Hutchison Eu Put Wt Nov 2014 B	2014/10/29	2014/11/05
14575	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Nov 2014 A	2014/10/29	2014/11/05
14596	BNP Paribas Arbit Issu B.V. – ICBC Euro Put Wts Nov 2014 A	2014/10/29	2014/11/05
14595	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Nov 2014 A	2014/10/29	2014/11/05
25515	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Nov 2014 A	2014/10/29	2014/11/05
13979	BNP Paribas Arbit Issu B.V. – Ping An European Wt Nov 2014 A	2014/10/29	2014/11/05
16102	BNP Paribas Arbit Issu B.V. – Ping An European Wt Nov 2014 B	2014/10/29	2014/11/05
25938	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Nov 2014 A	2014/10/29	2014/11/05
13707	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Nov 2014 A	2014/10/29	2014/11/05
14581	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Nov 2014 A	2014/10/29	2014/11/05
21212	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Nov 2014 A	2014/10/29	2014/11/05
14257	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Nov 2014 A	2014/10/29	2014/11/05
22509	CC Rabobank B.A. – A50 China European Warrants Nov 2014 A	2014/10/29	2014/11/05
15966	Credit Suisse AG – Anton Oilfield European Warrants Nov 14 A	2014/10/29	2014/11/05
15835	J P Morgan SP BV – Beijing Ent European Warrants Nov 2014 A	2014/10/29	2014/11/05
15796	J P Morgan SP BV – Cheung Kong European Warrants Nov 2014 A	2014/10/29	2014/11/05
14957	J P Morgan SP BV – Chi Res Power European Wts Nov 2014 A	2014/10/29	2014/11/05

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15798	J P Morgan SP BV – CSOP A50 ETF Euro Put Wts Nov 2014 A	2014/10/29	2014/11/05
15853	J P Morgan SP BV – Evergrande European Warrants Nov 2014 A	2014/10/29	2014/11/05
15804	J P Morgan SP BV – HKEx European Warrants Nov 2014 A	2014/10/29	2014/11/05
15819	J P Morgan SP BV – Ping An European Warrants Nov 2014 A	2014/10/29	2014/11/05
15797	J P Morgan SP BV – SHK Ppt European Warrants Nov 2014 A	2014/10/29	2014/11/05
19538	Macquarie Bank Ltd. – A50 China European Warrants Nov 2014 A	2014/10/29	2014/11/05
13965	Macquarie Bank Ltd. – Chi Res Power European Wts Nov 2014 A	2014/10/29	2014/11/05
28851	Macquarie Bank Ltd. – Chi Resources European Wts Nov 2014 A	2014/10/29	2014/11/05
15070	Macquarie Bank Ltd. – China Mobile European Wts Nov 2014 A	2014/10/29	2014/11/05
22877	Macquarie Bank Ltd. – China Mobile European Wts Nov 2014 B	2014/10/29	2014/11/05
14435	Macquarie Bank Ltd. – China Shenhua European Wts Nov 2014 A	2014/10/29	2014/11/05
11152	Macquarie Bank Ltd. – CLP European Warrants Nov 2014 A	2014/10/29	2014/11/05
20747	Macquarie Bank Ltd. – COSCO Pacific European Wts Nov 2014 A	2014/10/29	2014/11/05
14194	Macquarie Bank Ltd. – Country Garden European Wts Nov 2014 A	2014/10/29	2014/11/05
14753	Macquarie Bank Ltd. – Country Garden European Wts Nov 2014 B	2014/10/29	2014/11/05
15256	Macquarie Bank Ltd. – CRCC European Warrants Nov 2014 A	2014/10/29	2014/11/05
15594	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Nov 2014 A	2014/10/29	2014/11/05
14291	Macquarie Bank Ltd. – Hutchison European Warrants Nov 2014 A	2014/10/29	2014/11/05
11824	Macquarie Bank Ltd. – Jiangxi Copper European Wts Nov 2014 A	2014/10/29	2014/11/05
26464	Macquarie Bank Ltd. – Luk Fook Hold European Wts Nov 2014 A	2014/10/29	2014/11/05
11272	Macquarie Bank Ltd. – Lumena Newmat European Wts Nov 2014 A	2014/10/29	2014/11/05
13780	Macquarie Bank Ltd. – MGM China European Warrants Nov 2014 A	2014/10/29	2014/11/05
15103	Macquarie Bank Ltd. – MGM China European Warrants Nov 2014 B	2014/10/29	2014/11/05
25459	Macquarie Bank Ltd. – MTRC European Warrants Nov 2014 A	2014/10/29	2014/11/05
15101	Macquarie Bank Ltd. – New World Dev European Wts Nov 2014 A	2014/10/29	2014/11/05
16502	Macquarie Bank Ltd. – Sands China European Wts Nov 2014 A	2014/10/29	2014/11/05
13969	Macquarie Bank Ltd. – Sinopec Corp European Wts Nov 2014 A	2014/10/29	2014/11/05
15420	Macquarie Bank Ltd. – Tencent European Warrants Nov 2014 A	2014/10/29	2014/11/05
15422	Macquarie Bank Ltd. – Tencent European Warrants Nov 2014 B	2014/10/29	2014/11/05
23713	Macquarie Bank Ltd. – Tencent European Warrants Nov 2014 C	2014/10/29	2014/11/05
28058	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Nov 2014 A	2014/10/29	2014/11/05
15196	Macquarie Bank Ltd. – Yurun Food European Wts Nov 2014 A	2014/10/29	2014/11/05
15680	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2014 A	2014/10/29	2014/11/05
15682	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2014 A	2014/10/29	2014/11/05
19928	Standard Chartered Bank – A50 China Euro Warrants Nov 2014 A	2014/10/29	2014/11/05
12281	Standard Chartered Bank – CITIC European Warrants Nov 2014 A	2014/10/29	2014/11/05
11417	Standard Chartered Bank – Datang Power Euro Wts Nov 2014 A	2014/10/29	2014/11/05
25649	Standard Chartered Bank – HS H-SHARE European Wts Nov 2014 A	2014/10/29	2014/11/05
20120	Standard Chartered Bank – Link REIT European Wts Nov 2014 A	2014/10/29	2014/11/05
29702	UBS AG – HKEx European Warrants Nov 2014 A	2014/10/29	2014/11/05
15964	UBS AG – Huishan Dairy European Warrants Nov 2014 A	2014/10/29	2014/11/05
24690	BOCI Asia Ltd. – China Mobile European Warrants Nov 2014 B	2014/10/30	2014/11/06
15312	Macquarie Bank Ltd. – Anhui Conch European Wts Nov 2014 A	2014/10/30	2014/11/06
29870	Macquarie Bank Ltd. – Tencent European Warrants Nov 2014 D	2014/10/30	2014/11/06
15943	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Nov 2014 A	2014/10/30	2014/11/06
15199	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2014 A	2014/10/30	2014/11/06
14178	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 14 A	2014/10/30	2014/11/06
16179	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 14 B	2014/10/30	2014/11/06
14676	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Nov 2014A	2014/10/30	2014/11/06
14238	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Nov 2014B	2014/10/30	2014/11/06
14708	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Nov 2014 A	2014/10/30	2014/11/06
15795	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2014 A	2014/10/30	2014/11/06
24741	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2014 B	2014/10/30	2014/11/06
14394	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2014 A	2014/10/30	2014/11/06
15418	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Nov14A	2014/10/30	2014/11/06
24789	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Nov14B	2014/10/30	2014/11/06
14514	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Nov 2014A	2014/10/30	2014/11/06
16002	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Nov 14 A	2014/10/30	2014/11/06
14002	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Nov 14 A	2014/10/30	2014/11/06
22176	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Nov 14 B	2014/10/30	2014/11/06
11692	SGA Societe Generale Acceptance N.V. – ChiUnicom Eu Wt Nov14 A	2014/10/30	2014/11/06
13816	SGA Societe Generale Acceptance N.V. – Wynn Macau Eu Wt Nov14A	2014/10/30	2014/11/06
15913	Standard Chartered Bank – Ping An Euro Put Wts Nov 2014 A	2014/10/30	2014/11/06
15914	Standard Chartered Bank – Sands China Eu Put Wts Nov 2014 A	2014/10/30	2014/11/06
27468	UBS AG – Sinopec Corp European Warrants Nov 2014 C	2014/10/30	2014/11/06
11522	Credit Suisse AG – HKEx European Warrants Nov 2014 B	2014/10/31	2014/11/07
16018	Daiwa Capital Mkt – Ping An European Warrants Nov 2014 A	2014/10/31	2014/11/07
16016	Standard Chartered Bank – Tencent Hldg Eu Put Wts Nov 2014 C	2014/10/31	2014/11/07
16015	Standard Chartered Bank – Tencent Hldg Euro Wts Nov 2014 B	2014/10/31	2014/11/07
16039	UBS AG – Sinopec Corp European Put Warrants Nov 2014 B	2014/10/31	2014/11/07
24714	Citigroup Global Mkt H Inc. – Tencent European Wts Nov 2014 B	2014/11/03	2014/11/10
16100	Macquarie Bank Ltd. – Kingsoft European Warrants Nov 2014 A	2014/11/03	2014/11/10
14385	Standard Chartered Bank – CTel European Warrants Nov 2014 A	2014/11/03	2014/11/10
25811	Standard Chartered Bank – PetroChina European Wts Nov 2014 A	2014/11/03	2014/11/10
11044	UBS AG – CNOOC European Put Warrants Nov 2014 B	2014/11/03	2014/11/10
25359	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Nov 2014 B	2014/11/04	2014/11/11
15674	BOCI Asia Ltd. – HKEx European Warrants Nov 2014 A	2014/11/04	2014/11/11
15870	BOCI Asia Ltd. – Kingsoft European Warrants Nov 2014 A	2014/11/04	2014/11/11
14529	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts Nov 2014 A	2014/11/04	2014/11/11
16036	Credit Suisse AG – CITIC Bank European Warrants Nov 2014 A	2014/11/04	2014/11/11
16205	Credit Suisse AG – Tencent Holdings European Wts Nov 2014 B	2014/11/04	2014/11/11
16438	Credit Suisse AG – Tencent Holdings European Wts Nov 2014 C	2014/11/04	2014/11/11
16178	Daiwa Capital Mkt – China Telecom European Wts Nov 2014 A	2014/11/04	2014/11/11
16113	Goldman Sachs SP (Asia) – SHK Ppt European Put Wt Nov 2014 A	2014/11/04	2014/11/11
16211	J P Morgan SP BV – Tencent European Warrants Nov 2014 A	2014/11/04	2014/11/11
23117	Standard Chartered Bank – KECL European Warrants Nov 2014 A	2014/11/04	2014/11/11
11865	UBS AG – Jiangxi Copper European Warrants Nov 2014 A	2014/11/04	2014/11/11

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
24484	UBS AG – Ping An European Warrants Nov 2014 A	2014/11/04	2014/11/11
16208	UBS AG – Tencent Holdings European Warrants Nov 2014 A	2014/11/04	2014/11/11
15785	UBS AG – Chi Res Power European Warrants Nov 2014 A	2014/11/05	2014/11/12
14852	Bank of East Asia – BYD European Warrants Nov 2014 A	2014/11/06	2014/11/13
13320	Bank of East Asia – Wynn Macau European Warrants Nov 2014 A	2014/11/06	2014/11/13
16249	Standard Chartered Bank –Kingsoft European Wts Nov 2014 A	2014/11/06	2014/11/13
16413	Standard Chartered Bank – Tencent Hldg Euro Wts Nov 2014 D	2014/11/07	2014/11/14
11342	Bank of East Asia – Tencent Holdings European Wts Nov 2014 A	2014/11/10	2014/11/17
16395	Daiwa Capital Mkt – BYD European Warrants Nov 2014 A	2014/11/10	2014/11/17
16527	Daiwa Capital Mkt – Galaxy Ent European Put Wts Nov 2014 B	2014/11/10	2014/11/17
16526	Daiwa Capital Mkt – Galaxy Ent European Warrants Nov 2014 A	2014/11/10	2014/11/17
16532	Daiwa Capital Mkt – Sands China European Warrants Nov 2014 A	2014/11/10	2014/11/17
15219	Daiwa Capital Mkt – Sinopec Corp European Put Wts Nov 2014 A	2014/11/10	2014/11/17
16381	Daiwa Capital Mkt – Tencent Holdings European Wts Nov 2014 C	2014/11/10	2014/11/17
13593	Standard Chartered Bank – Galaxy Ent European Wts Nov 2014 B	2014/11/10	2014/11/17
16590	UBS AG – Galaxy Ent European Warrants Nov 2014 A	2014/11/10	2014/11/17
16581	UBS AG – Melco European Warrants Nov 2014 A	2014/11/10	2014/11/17
16598	UBS AG – Wynn Macau European Warrants Nov 2014 A	2014/11/10	2014/11/17
11985	BOCI Asia Ltd. – CNOOC European Warrants Nov 2014 A	2014/11/11	2014/11/18
27215	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2014 C	2014/11/11	2014/11/18
22096	BOCI Asia Ltd. – Tencent Holdings European Wts Nov 2014 B	2014/11/11	2014/11/18
24708	Citigroup Global Mkt H Inc.-Tencent European Wts Nov 2014 A	2014/11/11	2014/11/18
16303	Credit Suisse AG – Cheung Kong European Warrants Nov 2014 A	2014/11/11	2014/11/18
25235	Credit Suisse AG – CNOOC European Warrants Nov 2014 A	2014/11/11	2014/11/18
16470	Credit Suisse AG – Galaxy Ent European Warrants Nov 2014 A	2014/11/11	2014/11/18
16306	Credit Suisse AG – Hutchison European Put Wts Nov 2014 B	2014/11/11	2014/11/18
16304	Credit Suisse AG – Hutchison European Warrants Nov 2014 A	2014/11/11	2014/11/18
16311	Credit Suisse AG – Ping An European Warrants Nov 2014 A	2014/11/11	2014/11/18
16020	Credit Suisse AG – SJM Holdings European Warrants Nov 2014 A	2014/11/11	2014/11/18
16469	Credit Suisse AG – Tencent Holdings Euro Put Wts Nov 2014 E	2014/11/11	2014/11/18
28775	Credit Suisse AG – Tencent Holdings European Wts Nov 2014 G	2014/11/11	2014/11/18
25966	Goldman Sachs SP (Asia) – CNOOC European Warrants Nov 2014 A	2014/11/11	2014/11/18
25015	HK Bank – CNOOC European Warrants Nov 2014 A	2014/11/11	2014/11/18
26233	J P Morgan SP BV – CNOOC European Warrants Nov 2014 A	2014/11/11	2014/11/18
16510	Macquarie Bank Ltd. – Ch Modern D European Warrants Nov 14 A	2014/11/11	2014/11/18
25335	Macquarie Bank Ltd. – CNOOC European Warrants Nov 2014 A	2014/11/11	2014/11/18
25510	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2014 A	2014/11/11	2014/11/18
26446	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2014A	2014/11/11	2014/11/18
25553	Standard Chartered Bank – Galaxy Ent European Wts Nov 2014 A	2014/11/11	2014/11/18
16948	Standard Chartered Bank –Kingsoft European Wts Nov 2014 C	2014/11/11	2014/11/18
16882	UBS AG – Greatwall Motor European Warrants Nov 2014 A	2014/11/11	2014/11/18
16325	UBS AG – Tencent Holdings European Warrants Nov 2014 B	2014/11/11	2014/11/18
16361	UBS AG – Tencent Holdings European Warrants Nov 2014 D	2014/11/11	2014/11/18
10224	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Nov 14 A	2014/11/12	2014/11/19
10279	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2014 B	2014/11/12	2014/11/19
11067	Daiwa Capital Mkt – A50 China European Put Wts Nov 2014 B	2014/11/12	2014/11/19
16855	Daiwa Capital Mkt – Kingsoft European Warrants Nov 2014 A	2014/11/12	2014/11/19
28509	HK Bank – Tencent European Warrants Nov 2014 C	2014/11/12	2014/11/19
14624	Macquarie Bank Ltd. – Ch Oilfield European Wts Nov 2014 A	2014/11/12	2014/11/19
14907	Nomura Int'l plc – Chi Res Power European Wts Nov 2014 A	2014/11/12	2014/11/19
11900	Nomura Int'l plc – China Mer Hold European Wts Nov 2014 A	2014/11/12	2014/11/19
16086	Nomura Int'l plc – Chsouthcity European Warrants Nov 2014 A	2014/11/12	2014/11/19
15596	Nomura Int'l plc – Datang European Warrants Nov 2014 A	2014/11/12	2014/11/19
16089	Nomura Int'l plc – Fosun Pharma European Warrants Nov 2014 A	2014/11/12	2014/11/19
14937	Nomura Int'l plc – ICBC European Warrants Nov 2014 A	2014/11/12	2014/11/19
16813	Nomura Int'l plc – Ping An European Warrants Nov 2014 A	2014/11/12	2014/11/19
17314	UBS AG – Hutchison European Put Warrants Nov 2014 A	2014/11/12	2014/11/19
17326	UBS AG – Hutchison European Warrants Nov 2014 B	2014/11/12	2014/11/19
17278	UBS AG – Kingsoft European Warrants Nov 2014 A	2014/11/12	2014/11/19
27982	HK Bank – Dongfeng Motor European Warrants Nov 2014 A	2014/11/13	2014/11/20
28486	J P Morgan SP BV – Dongfeng Motor European Wts Nov 2014 A	2014/11/13	2014/11/20
19371	UBS AG – Kingsoft European Put Warrants Nov 2014 B	2014/11/13	2014/11/20
18145	UBS AG – PetroChina European Warrants Nov 2014 A	2014/11/13	2014/11/20
28777	Credit Suisse AG – Sands China European Warrants Nov 2014 B	2014/11/14	2014/11/21
17471	Merrill Lynch Int'l & Co. C.V. – Sands China Euro Wt Nov 14 B	2014/11/14	2014/11/21
22264	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Nov 2014 A	2014/11/17	2014/11/24
24947	Daiwa Capital Mkt – CCB European Warrants Nov 2014 B	2014/11/17	2014/11/24
15727	HK Bank – AIA European Warrants Nov 2014 B	2014/11/17	2014/11/24
14569	HK Bank – China Shenhua European Warrants Nov 2014 A	2014/11/17	2014/11/24
18177	HK Bank – Sinopec Corp European Warrants Nov 2014 A	2014/11/17	2014/11/24
28334	J P Morgan SP BV – A50 China European Warrants Nov 2014 A	2014/11/17	2014/11/24
15945	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Nov 2014 A	2014/11/17	2014/11/24
18211	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Nov 2014 A	2014/11/17	2014/11/24
28811	Standard Chartered Bank – A50 China Euro Warrants Nov 2014 C	2014/11/17	2014/11/24
15956	Standard Chartered Bank – CC Bank European Wts Nov 2014 B	2014/11/17	2014/11/24
24909	Standard Chartered Bank –Kingsoft European Wts Nov 2014 E	2014/11/17	2014/11/24
27881	UBS AG – A50 China European Warrants Nov 2014 A	2014/11/17	2014/11/24
15166	UBS AG – AIA European Warrants Nov 2014 A	2014/11/17	2014/11/24
27146	UBS AG – China Cinda European Warrants Nov 2014 A	2014/11/17	2014/11/24
25589	UBS AG – Galaxy Ent European Warrants Nov 2014 C	2014/11/17	2014/11/24
14829	Bank of East Asia – Kingsoft European Warrants Nov 2014 A	2014/11/18	2014/11/25
21024	Bank of East Asia – Lenovo European Warrants Nov 2014 A	2014/11/18	2014/11/25
15450	BOCI Asia Ltd. – AIA European Warrants Nov 2014 A	2014/11/18	2014/11/25
11298	BOCI Asia Ltd. – BOC HK European Warrants Nov 2014 A	2014/11/18	2014/11/25
17187	BOCI Asia Ltd. – China Mobile European Put Wts Nov 2014 A	2014/11/18	2014/11/25
17254	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2014 A	2014/11/18	2014/11/25
17260	BOCI Asia Ltd. – Hutchison European Warrants Nov 2014 A	2014/11/18	2014/11/25

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
17089	BOCI Asia Ltd. – Ping An European Put Warrants Nov 2014 A	2014/11/18	2014/11/25
17155	BOCI Asia Ltd. – Sands China European Warrants Nov 2014 A	2014/11/18	2014/11/25
21923	BOCI Asia Ltd. – Tencent Holdings European Wts Nov 2014 A	2014/11/18	2014/11/25
28962	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Nov 2014 A	2014/11/18	2014/11/25
12690	Citigroup Global Mkt H Inc. – Haitong Sec European Wt Nov14A	2014/11/18	2014/11/25
13422	Citigroup Global Mkt H Inc. – REXLot Holdings Eu Wt Nov 14 A	2014/11/18	2014/11/25
22891	Credit Suisse – Cheung Kong European Put Warrants Nov 2014 B	2014/11/18	2014/11/25
28400	Credit Suisse AG – A50 China European Warrants Nov 2014 B	2014/11/18	2014/11/25
11678	Credit Suisse AG – AIA European Warrants Nov 2014 A	2014/11/18	2014/11/25
16349	Credit Suisse AG – AIA European Warrants Nov 2014 B	2014/11/18	2014/11/25
20944	Credit Suisse AG – China Coal European Warrants Nov 2014 A	2014/11/18	2014/11/25
11541	Credit Suisse AG – HSBC European Wts Nov 2014 B	2014/11/18	2014/11/25
11542	Credit Suisse AG – Hutchison European Warrants Nov 2014 D	2014/11/18	2014/11/25
20587	Credit Suisse AG – Kingsoft European Warrants Nov 2014 A	2014/11/18	2014/11/25
28583	Credit Suisse AG – Kingsoft European Warrants Nov 2014 B	2014/11/18	2014/11/25
27838	Credit Suisse AG – Sands China European Put Wts Nov 2014 A	2014/11/18	2014/11/25
25674	Credit Suisse AG – Tencent Holdings Euro Put Wts Nov 2014 F	2014/11/18	2014/11/25
11544	Credit Suisse AG – Tencent Holdings European Wts Nov 2014 A	2014/11/18	2014/11/25
11259	Daiwa Capital Mkt – BOC HK European Warrants Nov 2014 A	2014/11/18	2014/11/25
26376	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Nov 2014 D	2014/11/18	2014/11/25
15744	Goldman Sachs SP (Asia) – AIA European Warrants Nov 2014 B	2014/11/18	2014/11/25
17030	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Nov 2014 B	2014/11/18	2014/11/25
14109	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Nov 2014 A	2014/11/18	2014/11/25
15062	HK Bank – CC Bank European Warrants Nov 2014 A	2014/11/18	2014/11/25
23680	HK Bank – China Mobile European Warrants Nov 2014 A	2014/11/18	2014/11/25
26219	HK Bank – Ping An European Warrants Nov 2014 A	2014/11/18	2014/11/25
23877	HK Bank – Tencent European Put Warrants Nov 2014 A	2014/11/18	2014/11/25
29323	J P Morgan SP BV – China Mob European Wts Nov 2014 A	2014/11/18	2014/11/25
15775	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Nov 2014B	2014/11/18	2014/11/25
17430	Merrill Lynch Int'l & Co. C.V. – Sands China Eu Put Wt Nov14A	2014/11/18	2014/11/25
17915	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Nov 14 A	2014/11/18	2014/11/25
24345	Standard Chartered Bank – China Mobile Eur Put Wt Nov 2014 A	2014/11/18	2014/11/25
29680	Standard Chartered Bank – HKEx European Warrants Nov 2014 B	2014/11/18	2014/11/25
16953	Standard Chartered Bank – Kingsoft European Put Wts Nov 14 D	2014/11/18	2014/11/25
13602	Standard Chartered Bank – Sands China Euro Wts Nov 2014 B	2014/11/18	2014/11/25
23729	UBS AG – China Mobile European Put Warrants Nov 2014 A	2014/11/18	2014/11/25
24485	UBS AG – CNOOC European Warrants Nov 2014 A	2014/11/18	2014/11/25
22778	UBS AG – Galaxy Ent European Warrants Nov 2014 B	2014/11/18	2014/11/25
24898	UBS AG – Kingsoft European Warrants Nov 2014 C	2014/11/18	2014/11/25
27464	UBS AG – Ping An European Warrants Nov 2014 B	2014/11/18	2014/11/25
25590	UBS AG – Sands China European Warrants Nov 2014 A	2014/11/18	2014/11/25
17639	UBS AG – Sinopec Corp European Warrants Nov 2014 A	2014/11/18	2014/11/25
23436	UBS AG – Tencent Holdings European Put Warrants Nov 2014 E	2014/11/18	2014/11/25
16356	UBS AG – Tencent Holdings European Warrants Nov 2014 C	2014/11/18	2014/11/25
23088	Bank of East Asia – PICC European Warrants Nov 2014 A	2014/11/19	2014/11/26
26876	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Nov 2014 A	2014/11/19	2014/11/26
28497	Citigroup Global Mkt H Inc. – HKEx European Wts Nov 2014 A	2014/11/19	2014/11/26
15664	Citigroup Global Mkt H Inc. – ICBC European Wts Nov 2014 A	2014/11/19	2014/11/26
17050	Citigroup Global Mkt H Inc. – Ping An Euro Wts Nov 2014 A	2014/11/19	2014/11/26
29302	Citigroup Global Mkt H Inc. – Tencent European Wts Nov 2014 C	2014/11/19	2014/11/26
15712	Credit Suisse AG – China Life European Put Warrants Nov 14 A	2014/11/19	2014/11/26
12290	Daiwa Capital Mkt – A50 China European Warrants Nov 2014 D	2014/11/19	2014/11/26
13478	Daiwa Capital Mkt – China Life European Put Wts Nov 2014 A	2014/11/19	2014/11/26
15090	Daiwa Capital Mkt – Agricultural Bank European Wts Nov 2014 B	2014/11/19	2014/11/26
12258	Goldman Sachs SP (Asia) – A50 China European Wts Nov 2014 A	2014/11/19	2014/11/26
25534	Goldman Sachs SP (Asia) – Datang Power European Wts Nov14A	2014/11/19	2014/11/26
15500	Goldman Sachs Sp (Asia) – ICBC European Warrants Nov 2014 A	2014/11/19	2014/11/26
29351	HK Bank – HKEx European Warrants Nov 2014 A	2014/11/19	2014/11/26
12117	J P Morgan SP BV – Zhaojin Mining European Wts Nov 2014 A	2014/11/19	2014/11/26
15772	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Nov 2014 A	2014/11/19	2014/11/26
27574	Standard Chartered Bank – Ping An European Wts Nov 2014 B	2014/11/19	2014/11/26
25366	UBS AG – Agricultural Bank European Warrants Nov 2014 A	2014/11/19	2014/11/26
26826	UBS AG – Hutchison European Warrants Nov 2014 C	2014/11/19	2014/11/26
25364	UBS AG – ICBC European Warrants Nov 2014 A	2014/11/19	2014/11/26
13128	UBS AG – Tencent Holdings European Warrants Nov 2014 F	2014/11/19	2014/11/26
22161	Bank of East Asia – Ch Comm Cons European Wts Nov 2014 A	2014/11/20	2014/11/27
21422	Bank of East Asia – Dongfeng European Warrants Nov 2014 A	2014/11/20	2014/11/27
25495	Citigroup Global Mkt H Inc. – HSBC European Wts Nov 2014 A	2014/11/20	2014/11/27
24948	Daiwa Capital Mkt – A50 China European Warrants Nov 2014 C	2014/11/20	2014/11/27
22201	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Nov 2014 A	2014/11/20	2014/11/27
11167	Goldman Sachs SP (Asia) – Zhaojin Mining Euro Wts Nov 2014 A	2014/11/20	2014/11/27
15613	HK Bank – CITIC Sec European Warrants Nov 2014 A	2014/11/20	2014/11/27
23513	J P Morgan SP BV – Foxconn European Warrants Nov 2014 A	2014/11/20	2014/11/27
15297	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Nov 2014 A	2014/11/20	2014/11/27
24932	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Nov 14 B	2014/11/20	2014/11/27
25543	Standard Chartered Bank – AIA European Put Wts Nov 2014 B	2014/11/20	2014/11/27
29408	Standard Chartered Bank – Foxconn European Wts Nov 2014 B	2014/11/20	2014/11/27
15538	Standard Chartered Bank – ICBC European Warrants Nov 2014 A	2014/11/20	2014/11/27
27851	UBS AG – Galaxy Ent European Put Warrants Nov 2014 D	2014/11/20	2014/11/27
12411	UBS AG – Haitong Sec European Warrants Nov 2014 A	2014/11/20	2014/11/27
28022	UBS AG – HSBC European Warrants Nov 2014 B	2014/11/20	2014/11/27
24946	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2014 A	2014/11/21	2014/11/28
25098	BOCI Asia Ltd. – HSI European Put Warrants Nov 2014 A	2014/11/21	2014/11/28
25349	BOCI Asia Ltd. – Sands China European Warrants Nov 2014 B	2014/11/21	2014/11/28
16115	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Nov 2014 A	2014/11/21	2014/11/28
17029	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2014 B	2014/11/21	2014/11/28
17007	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2014 A	2014/11/21	2014/11/28

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
16474	Goldman Sachs SP (Asia) – Sands China Euro Wts Nov 2014 B	2014/11/21	2014/11/28
23640	HK Bank – HSI European Put Warrants Nov 2014 C	2014/11/21	2014/11/28
27113	HK Bank – HSI European Put Warrants Nov 2014 D	2014/11/21	2014/11/28
23613	HK Bank – HSI European Warrants Nov 2014 A	2014/11/21	2014/11/28
23619	HK Bank – HSI European Warrants Nov 2014 B	2014/11/21	2014/11/28
27158	J P Morgan SP BV – HSI European Put Warrants Nov 2014 B	2014/11/21	2014/11/28
18472	J P Morgan SP BV – HSI European Warrants Nov 2014 A	2014/11/21	2014/11/28
25179	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2014 D	2014/11/21	2014/11/28
26177	Nomura Int'l plc – GAC European Warrants Nov 2014 A	2014/11/21	2014/11/28
23717	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2014 B	2014/11/21	2014/11/28
16937	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Nov 14 A	2014/11/21	2014/11/28
24883	Standard Chartered Bank – HSI European Put Wts Nov 2014 B	2014/11/21	2014/11/28
24859	Standard Chartered Bank – HSI European Warrants Nov 2014 A	2014/11/21	2014/11/28
27446	Standard Chartered Bank – HSI European Warrants Nov 2014 C	2014/11/21	2014/11/28
23735	UBS AG – Fosun Pharma European Warrants Nov 2014 A	2014/11/21	2014/11/28
27147	UBS AG – HSI European Put Warrants Nov 2014 A	2014/11/21	2014/11/28
23759	UBS AG – Xinyi Glass European Warrants Nov 2014 A	2014/11/21	2014/11/28
17125	BOCI Asia Ltd. – Kingsoft European Warrants Nov 2014 B	2014/11/24	2014/12/01
14050	Citigroup Global Mkt H Inc. – A50 China Euro Wts Dec 2014 C	2014/11/28	2014/12/01
29949	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2015 A	2014/11/28	2014/12/01
15515	Citigroup Global Mkt H Inc. – AIA European Wts Jun 2015 A	2014/11/28	2014/12/01
16521	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts Mar 2015 A	2014/11/28	2014/12/01
16520	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts May 2015 A	2014/11/28	2014/12/01
29248	Citigroup Global Mkt H Inc. – CC Bank European Wt Jan 2015 A	2014/11/28	2014/12/01
15657	Citigroup Global Mkt H Inc. – CC Bank European Wt Nov 2014 A	2014/11/24	2014/12/01
16198	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Dec 2014 B	2014/11/28	2014/12/01
16998	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Mar 2015 A	2014/11/28	2014/12/01
15788	Citigroup Global Mkt H Inc. – China Life Euro Wts Dec 2014 B	2014/11/28	2014/12/01
28961	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Dec 2014 A	2014/11/28	2014/12/01
28475	Citigroup Global Mkt H Inc. – CITIC Sec Euro Wts Jun 2015 A	2014/11/28	2014/12/01
28604	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Put Wts Dec14A	2014/11/28	2014/12/01
12989	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Aug 2016 A	2014/11/28	2014/12/01
27680	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Dec 2015 A	2014/11/28	2014/12/01
14923	Citigroup Global Mkt H Inc. – Enn Energy European Wts Mar15A	2014/11/28	2014/12/01
13784	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jun 2016 A	2014/11/28	2014/12/01
14481	Citigroup Global Mkt H Inc. – Haitong Sec European Wt Jul15A	2014/11/28	2014/12/01
13352	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Dec 2015 A	2014/11/28	2014/12/01
16720	Citigroup Global Mkt H Inc. – HKEx European Wts Oct 2015 A	2014/11/28	2014/12/01
15893	Citigroup Global Mkt H Inc. – HKEx European Wts Sep 2015 B	2014/11/28	2014/12/01
29244	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2014 A	2014/11/28	2014/12/01
15526	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Mar 2015 A	2014/11/28	2014/12/01
14305	Citigroup Global Mkt H Inc. -Tencent European Wts Dec 2014 B	2014/11/28	2014/12/01
26308	Credit Suisse AG – A50 China European Warrants Nov 2014 A	2014/11/24	2014/12/01
23474	Credit Suisse AG – CC Bank European Warrants Nov 2014 A	2014/11/24	2014/12/01
28931	Credit Suisse AG – Ch Overseas European Warrants Nov 2014 A	2014/11/24	2014/12/01
25666	Credit Suisse AG – China Mobile European Put Wts Nov 2014 A	2014/11/24	2014/12/01
26288	Credit Suisse AG – CSOP A50 ETF European Warrants Nov 2014 A	2014/11/24	2014/12/01
28990	Credit Suisse AG – GAC European Warrants Nov 2014 A	2014/11/24	2014/12/01
29112	Credit Suisse AG – HKEx European Warrants Nov 2014 A	2014/11/24	2014/12/01
25672	Credit Suisse AG – HSBC European Put Warrants Nov 2014 A	2014/11/24	2014/12/01
29369	Credit Suisse AG – Hutchison European Warrants Nov 2014 C	2014/11/24	2014/12/01
29443	Credit Suisse AG – ICBC European Warrants Nov 2014 A	2014/11/24	2014/12/01
18201	Credit Suisse AG – Sinopec Corp European Warrants Nov 2014 A	2014/11/24	2014/12/01
13057	Credit Suisse AG – Tencent Holdings European Wts Nov 2014 H	2014/11/24	2014/12/01
14395	Daiwa Capital Mkt – CCB European Put Warrants Nov 2014 A	2014/11/24	2014/12/01
15249	Goldman Sachs SP (Asia) – AIA European Put Wts Nov 2014 A	2014/11/24	2014/12/01
16166	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Nov 2014 B	2014/11/24	2014/12/01
23777	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Nov 2014 C	2014/11/24	2014/12/01
14927	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2014 A	2014/11/24	2014/12/01
13515	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Nov 2014 A	2014/11/24	2014/12/01
23019	Goldman Sachs SP (Asia) – CNOOC European Warrants Nov 2014 B	2014/11/24	2014/12/01
14485	Goldman Sachs SP (Asia) – Evergrande European Wts Nov 2014 A	2014/11/24	2014/12/01
15290	Goldman Sachs SP (Asia) – Geely Auto European Wts Nov 2014 A	2014/11/24	2014/12/01
23803	Goldman Sachs SP (Asia) – Hutchison European Wts Nov 2014 A	2014/11/24	2014/12/01
19427	Goldman Sachs SP (Asia) – Kingsoft European Wts Nov 2014 A	2014/11/24	2014/12/01
16112	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Nov 2014 A	2014/11/24	2014/12/01
19454	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2014 B	2014/11/24	2014/12/01
16276	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Nov 2014 A	2014/11/24	2014/12/01
16275	Goldman Sachs SP (Asia) – Tencent European Put Wt Nov 2014 B	2014/11/24	2014/12/01
16274	Goldman Sachs SP (Asia) -Tencent European Wt Nov 2014 A	2014/11/24	2014/12/01
15729	HK Bank – AIA European Put Warrants Nov 2014 C	2014/11/24	2014/12/01
14454	HK Bank – AIA European Warrants Nov 2014 A	2014/11/24	2014/12/01
17085	HK Bank – China Cinda European Warrants Nov 2014 A	2014/11/24	2014/12/01
29392	HK Bank – China Mobile European Warrants Nov 2014 B	2014/11/24	2014/12/01
16217	HK Bank – China Tel European Warrants Nov 2014 A	2014/11/24	2014/12/01
15614	HK Bank – Datang Power European Warrants Nov 2014 A	2014/11/24	2014/12/01
17661	HK Bank – Foxconn European Warrants Nov 2014 A	2014/11/24	2014/12/01
16497	HK Bank – Galaxy Ent European Warrants Nov 2014 A	2014/11/24	2014/12/01
17069	HK Bank – HWL European Warrants Nov 2014 A	2014/11/24	2014/12/01
16063	HK Bank – Kingsoft European Warrants Nov 2014 A	2014/11/24	2014/12/01
16215	HK Bank – Kingsoft European Warrants Nov 2014 B	2014/11/24	2014/12/01
17078	HK Bank – Kingsoft European Warrants Nov 2014 C	2014/11/24	2014/12/01
17850	HK Bank – Mengniu European Warrants Nov 2014 A	2014/11/24	2014/12/01
17734	HK Bank – PetroChina European Warrants Nov 2014 A	2014/11/24	2014/12/01
29180	HK Bank – Ping An European Warrants Nov 2014 B	2014/11/24	2014/12/01
25029	HK Bank – Tencent European Warrants Nov 2014 B	2014/11/24	2014/12/01
15890	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Nov 2014 A	2014/11/24	2014/12/01

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15811	J P Morgan SP BV – AIA European Warrants Nov 2014 A	2014/11/24	2014/12/01
16568	J P Morgan SP BV – Galaxy Ent European Warrants Nov 2014 A	2014/11/24	2014/12/01
28979	J P Morgan SP BV – Geely Auto European Warrants Nov 2014 A	2014/11/24	2014/12/01
15947	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Nov 2014 A	2014/11/24	2014/12/01
15930	Nomura Int'l plc – A50 China European Warrants Nov 2014 A	2014/11/24	2014/12/01
15931	Nomura Int'l plc – A50 China European Warrants Nov 2014 B	2014/11/24	2014/12/01
13528	Nomura Int'l plc – CC Bank European Warrants Nov 2014 A	2014/11/24	2014/12/01
14586	Nomura Int'l plc – CIMC Enric European Warrants Nov 2014 A	2014/11/24	2014/12/01
15353	Nomura Int'l plc – CITIC Sec European Put Wts Nov 2014 A	2014/11/24	2014/12/01
15345	Nomura Int'l plc – HKEx European Warrants Nov 2014 A	2014/11/24	2014/12/01
14914	Nomura Int'l plc – KWG Property European Warrants Nov 2014 A	2014/11/24	2014/12/01
15343	Nomura Int'l plc – Poly European Warrants Nov 2014 A	2014/11/24	2014/12/01
21065	Nomura Int'l plc – Sinopec European Warrants Nov 2014 A	2014/11/24	2014/12/01
23196	Standard Chartered Bank – A50 China Euro Put Wts Nov 2014 B	2014/11/24	2014/12/01
29822	Standard Chartered Bank – A50 China Euro Warrants Nov 2014 D	2014/11/24	2014/12/01
28142	Standard Chartered Bank – AIA European Warrants Nov 2014 A	2014/11/24	2014/12/01
14446	Standard Chartered Bank – China Mengniu Euro Wts Nov 2014 A	2014/11/24	2014/12/01
13539	Standard Chartered Bank – China Shenhua Euro Wts Nov 2014 A	2014/11/24	2014/12/01
15689	Standard Chartered Bank – CITIC Bank European Wts Nov 2014 A	2014/11/24	2014/12/01
16099	Standard Chartered Bank – CTel European Warrants Nov 2014 B	2014/11/24	2014/12/01
15360	Standard Chartered Bank – Huishan Dairy Euro Wts Nov 2014 A	2014/11/24	2014/12/01
16993	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2014	2014/11/24	2014/12/01
15860	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2014 A	2014/11/24	2014/12/01
16138	Standard Chartered Bank -PetroChina European Wts Nov 2014 B	2014/11/24	2014/12/01
24051	UBS AG – Chsouthcity European Warrants Nov 2014 A	2014/11/24	2014/12/01
22793	UBS AG – HSBC European Warrants Nov 2014 A	2014/11/24	2014/12/01
28592	UBS AG – Kingsoft European Warrants Nov 2014 D	2014/11/24	2014/12/01
24085	UBS AG – SFCE European Warrants Nov 2014 A	2014/11/24	2014/12/01
12157	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2014 B	2014/11/25	2014/12/02
24456	BOCI Asia Ltd. – A50 China European Warrants Dec 2014 A	2014/11/25	2014/12/02
17182	BOCI Asia Ltd. – China Cinda European Warrants Dec 2014 A	2014/11/25	2014/12/02
11297	BOCI Asia Ltd. – Haitong Sec European Warrants Dec 2014 A	2014/11/25	2014/12/02
17124	BOCI Asia Ltd. – Ping An European Warrants Dec 2014 A	2014/11/25	2014/12/02
27733	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Dec 2014 A	2014/11/25	2014/12/02
29127	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2014 A	2014/11/25	2014/12/02
12351	Credit Suisse AG – A50 China European Put Wts Dec 2014 A	2014/11/25	2014/12/02
14810	Credit Suisse AG – China Life European Warrants Dec 2014 A	2014/11/25	2014/12/02
13051	Credit Suisse AG – CNOOC European Warrants Dec 2014 B	2014/11/25	2014/12/02
27587	Credit Suisse AG – HSBC European Wts Dec 2014 A	2014/11/25	2014/12/02
16464	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 G	2014/11/25	2014/12/02
27595	Credit Suisse AG – Yanzhou Coal European Warrants Dec 2014 A	2014/11/25	2014/12/02
28764	Daiwa Capital Mkt – A50 China European Warrants Dec 2014 A	2014/11/25	2014/12/02
15171	Daiwa Capital Mkt – AIA European Warrants Dec 2014 B	2014/11/25	2014/12/02
15172	Daiwa Capital Mkt – AIA European Warrants Dec 2014 C	2014/11/25	2014/12/02
15091	Daiwa Capital Mkt – BOC HK European Warrants Dec 2014 B	2014/11/25	2014/12/02
16860	Daiwa Capital Mkt – China Cinda European Warrants Dec 2014 A	2014/11/25	2014/12/02
15023	Daiwa Capital Mkt – China Life European Warrants Dec 2014 A	2014/11/25	2014/12/02
25832	Daiwa Capital Mkt – CNOOC European Warrants Dec 2014 A	2014/11/25	2014/12/02
25468	Daiwa Capital Mkt – Hutchison European Warrants Dec 2014 A	2014/11/25	2014/12/02
15223	Daiwa Capital Mkt – ICBC European Put Warrants Dec 2014 B	2014/11/25	2014/12/02
11068	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2014 A	2014/11/25	2014/12/02
23252	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Dec 2014 A	2014/11/25	2014/12/02
23685	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2014 B	2014/11/25	2014/12/02
13273	Goldman Sachs SP (Asia) – CNOOC European Warrants Dec 2014 A	2014/11/25	2014/12/02
27452	Goldman Sachs SP (Asia) – Tencent European Put Wt Dec 2014 B	2014/11/25	2014/12/02
28328	HK Bank – A50 China European Put Warrants Dec 2014 A	2014/11/25	2014/12/02
14729	HK Bank – China Life European Warrants Dec 2014 B	2014/11/25	2014/12/02
12786	HK Bank – CNOOC European Warrants Dec 2014 B	2014/11/25	2014/12/02
12429	HK Bank – Haitong Sec European Warrants Dec 2014 C	2014/11/25	2014/12/02
28532	HK Bank – HKEx European Warrants Dec 2014 C	2014/11/25	2014/12/02
24018	J P Morgan Int'l Der. Ltd. – Sinopec Corp Eu Put Wt Dec 14 B	2014/11/25	2014/12/02
27603	J P Morgan SP BV – A50 China European Put Wts Dec 2014 A	2014/11/25	2014/12/02
25719	J P Morgan SP BV – A50 China European Warrants Dec 2014 B	2014/11/25	2014/12/02
12323	J P Morgan SP BV – A50 China European Warrants Dec 2014 D	2014/11/25	2014/12/02
20962	J P Morgan SP BV – BankComm European Warrants Dec 2014 A	2014/11/25	2014/12/02
19542	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2014 A	2014/11/25	2014/12/02
21259	J P Morgan SP BV – China Life European Put Wts Dec 2014 A	2014/11/25	2014/12/02
19871	J P Morgan SP BV – China Mengniu European Warrants Dec 2014 A	2014/11/25	2014/12/02
21263	J P Morgan SP BV – China Mob European Put Wts Dec 2014 A	2014/11/25	2014/12/02
24023	J P Morgan SP BV – China Mob European Wts Dec 2014 B	2014/11/25	2014/12/02
13259	J P Morgan SP BV – CNOOC European Warrants Dec 2014 A	2014/11/25	2014/12/02
13077	J P Morgan SP BV – GAC European Warrants Dec 2014 A	2014/11/25	2014/12/02
16561	J P Morgan SP BV – Galaxy Ent European Put Wts Dec 2014 A	2014/11/25	2014/12/02
17402	J P Morgan SP BV – Greatwall Motor European Wts Dec 2014 A	2014/11/25	2014/12/02
25869	J P Morgan SP BV – Haitong Sec European Warrants Dec 2014 A	2014/11/25	2014/12/02
29319	J P Morgan SP BV – HKEx European Warrants Dec 2014 C	2014/11/25	2014/12/02
28155	J P Morgan SP BV – HSBC European Put Warrants Dec 2014 C	2014/11/25	2014/12/02
25715	J P Morgan SP BV – HSBC European Warrants Dec 2014 A	2014/11/25	2014/12/02
28029	J P Morgan SP BV – HSBC European Warrants Dec 2014 B	2014/11/25	2014/12/02
29501	J P Morgan SP BV – ICBC European Warrants Dec 2014 B	2014/11/25	2014/12/02
16960	J P Morgan SP BV – Kingsoft European Warrants Dec 2014 B	2014/11/25	2014/12/02
24096	J P Morgan SP BV – Kingsoft European Warrants Dec 2014 C	2014/11/25	2014/12/02
24094	J P Morgan SP BV – Ping An European Warrants Dec 2014 A	2014/11/25	2014/12/02
16149	J P Morgan SP BV – R&F Ppt European Warrants Dec 2014	2014/11/25	2014/12/02
16551	J P Morgan SP BV – Sands China European Warrants Dec 2014 B	2014/11/25	2014/12/02
16342	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2014 A	2014/11/25	2014/12/02
26396	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2014 C	2014/11/25	2014/12/02

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
15842	J P Morgan SP BV – SJM Holdings European Warrants Dec 2014 A	2014/11/25	2014/12/02
16398	J P Morgan SP BV – Tencent European Put Warrants Dec 2014 B	2014/11/25	2014/12/02
14375	J P Morgan SP BV – Tencent European Warrants Dec 2014 A	2014/11/25	2014/12/02
16400	J P Morgan SP BV – Tencent European Warrants Dec 2014 C	2014/11/25	2014/12/02
16406	J P Morgan SP BV – Tencent European Warrants Dec 2014 D	2014/11/25	2014/12/02
28185	J P Morgan SP BV – Tencent European Warrants Dec 2014 E	2014/11/25	2014/12/02
15327	Macquarie Bank Ltd. – Tencent European Warrants Dec 2014 C	2014/11/25	2014/12/02
15681	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2014 B	2014/11/25	2014/12/02
25682	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Dec 2014 A	2014/11/25	2014/12/02
15678	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2014 C	2014/11/25	2014/12/02
23097	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Dec14A	2014/11/25	2014/12/02
22291	Nomura Int'l plc – A50 China European Put Wts Dec 2014 A	2014/11/25	2014/12/02
12273	Nomura Int'l plc – BOC HK European Warrants Dec 2014 A	2014/11/25	2014/12/02
24307	Nomura Int'l plc – Kingsoft European Warrants Dec 2014 A	2014/11/25	2014/12/02
28411	Standard Chartered Bank – A50 China Euro Put Wts Dec 2014 A	2014/11/25	2014/12/02
19301	Standard Chartered Bank – Lenovo European Wts Dec 2014 A	2014/11/25	2014/12/02
27573	UBS AG – A50 China European Put Warrants Dec 2014 A	2014/11/25	2014/12/02
15164	UBS AG – China Life European Warrants Dec 2014 B	2014/11/25	2014/12/02
26984	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2014 A	2014/11/26	2014/12/03
28206	BNP Paribas Arbit Issu B.V. – AIA European Put Wt Dec 2014 B	2014/11/26	2014/12/03
28205	BNP Paribas Arbit Issu B.V. – AIA European Wts Dec 2014 A	2014/11/26	2014/12/03
14978	BNP Paribas Arbit Issu B.V. – AIA European Wts Dec 2014 C	2014/11/26	2014/12/03
15620	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2014 A	2014/11/26	2014/12/03
23446	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wt Dec2014 A	2014/11/26	2014/12/03
28522	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Dec 2014A	2014/11/26	2014/12/03
14756	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Dec 2014 A	2014/11/26	2014/12/03
16055	BNP Paribas Arbit Issu B.V. – Chsouthcity Euro Wts Dec14A	2014/11/26	2014/12/03
22236	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2014 A	2014/11/26	2014/12/03
14260	BNP Paribas Arbit Issu B.V. – Country Garden Eu Wt Dec 2014A	2014/11/26	2014/12/03
21451	BNP Paribas Arbit Issu B.V. – First Pacific Eu Wt Dec 2014 A	2014/11/26	2014/12/03
16056	BNP Paribas Arbit Issu B.V. – Fosun Pharma Euro Wts Dec14A	2014/11/26	2014/12/03
28747	BNP Paribas Arbit Issu B.V. – Gome European Wts Dec 2014 A	2014/11/26	2014/12/03
13976	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts Dec 2014 A	2014/11/26	2014/12/03
25517	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Eu Wt Dec 2014 A	2014/11/26	2014/12/03
15619	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2014 A	2014/11/26	2014/12/03
16271	BNP Paribas Arbit Issu B.V. – Huishan Dairy Eu Wt Dec 2014 A	2014/11/26	2014/12/03
29489	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2014 A	2014/11/26	2014/12/03
16054	BNP Paribas Arbit Issu B.V. – NCI European Wts Dec 2014 A	2014/11/26	2014/12/03
29058	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Dec 2014 A	2014/11/26	2014/12/03
14259	BNP Paribas Arbit Issu B.V. – Samsonite Euro Wts Dec 2014 A	2014/11/26	2014/12/03
15624	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Dec 2014 A	2014/11/26	2014/12/03
28013	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Dec 2014 C	2014/11/26	2014/12/03
29808	BNP Paribas Arbit Issu B.V. -Lonking European Warrants Dec14	2014/11/26	2014/12/03
12291	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Dec 2014 A	2014/11/26	2014/12/03
15923	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Dec 2014 B	2014/11/26	2014/12/03
28734	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Dec 2014 A	2014/11/26	2014/12/03
24489	J P Morgan SP BV – BYD European Warrants Dec 2014 A	2014/11/26	2014/12/03
14955	J P Morgan SP BV – Ch Railway Cons European Wts Dec 2014 A	2014/11/26	2014/12/03
25813	J P Morgan SP BV – Cheung Kong European Put Warrants Dec 14A	2014/11/26	2014/12/03
23511	J P Morgan SP BV – China Cinda European Warrants Dec 2014 A	2014/11/26	2014/12/03
25711	J P Morgan SP BV – China Mob European Wts Dec 2014 C	2014/11/26	2014/12/03
15810	J P Morgan SP BV – CITIC Bank European Warrants Dec 2014 A	2014/11/26	2014/12/03
29634	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2014 B	2014/11/26	2014/12/03
15844	J P Morgan SP BV – Datang European Wts Dec 2014 A	2014/11/26	2014/12/03
16573	J P Morgan SP BV – Galaxy Ent European Warrants Dec 2014 B	2014/11/26	2014/12/03
15129	J P Morgan SP BV – Huishan Dairy European Warrants Dec 2014A	2014/11/26	2014/12/03
16546	J P Morgan SP BV – Sands China European Put Wts Dec 2014 A	2014/11/26	2014/12/03
15593	Macquarie Bank Ltd. – A50 China European Warrants Dec 2014 A	2014/11/26	2014/12/03
24088	Macquarie Bank Ltd. – Bank of EA European Wts Dec 2014 A	2014/11/26	2014/12/03
16701	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2014 A	2014/11/26	2014/12/03
26447	Macquarie Bank Ltd. – Ch Comm Cons European Wts Dec 2014 A	2014/11/26	2014/12/03
29177	Macquarie Bank Ltd. – Cheung Kong European Wts Dec 2014 A	2014/11/26	2014/12/03
14406	Macquarie Bank Ltd. – China Agri European Wts Dec 2014 A	2014/11/26	2014/12/03
28667	Macquarie Bank Ltd. – CITIC Bank European Wts Dec 2014 A	2014/11/26	2014/12/03
11461	Macquarie Bank Ltd. – Datang European Warrants Dec 2014 A	2014/11/26	2014/12/03
28881	Macquarie Bank Ltd. – Dongfeng Motor European Wts Dec 2014 A	2014/11/26	2014/12/03
15104	Macquarie Bank Ltd. – Evergrande European Wts Dec 2014 A	2014/11/26	2014/12/03
23004	Macquarie Bank Ltd. – GAC European Wts Dec 2014 A	2014/11/26	2014/12/03
16511	Macquarie Bank Ltd. – Galaxy Ent European Wts Dec 2014 A	2014/11/26	2014/12/03
14854	Macquarie Bank Ltd. – Geely Auto European Wts Dec 2014 A	2014/11/26	2014/12/03
11270	Macquarie Bank Ltd. – Haitong Sec European Wts Dec 2014 A	2014/11/26	2014/12/03
25226	Macquarie Bank Ltd. – HK Gas European Warrants Dec 2014 A	2014/11/26	2014/12/03
15961	Macquarie Bank Ltd. – Huishan Dairy European Warrants Dec14A	2014/11/26	2014/12/03
13922	Macquarie Bank Ltd. – ICBC European Warrants Dec 2014 A	2014/11/26	2014/12/03
28039	Macquarie Bank Ltd. – Kingsoft European Warrants Dec 2014 A	2014/11/26	2014/12/03
23844	Macquarie Bank Ltd. – Lenovo European Warrants Dec 2014 A	2014/11/26	2014/12/03
26943	Macquarie Bank Ltd. – Longfor PPT European Wts Dec 2014 A	2014/11/26	2014/12/03
16092	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Dec 2014 A	2014/11/26	2014/12/03
16703	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2014 A	2014/11/26	2014/12/03
23689	Macquarie Bank Ltd. – PetroChina European Wts Dec 2014 A	2014/11/26	2014/12/03
14217	Macquarie Bank Ltd. – Ping An European Warrants Dec 2014 A	2014/11/26	2014/12/03
16093	Macquarie Bank Ltd. – Ping An European Warrants Dec 2014 B	2014/11/26	2014/12/03
11492	Macquarie Bank Ltd. – Sh Ind H European Warrants Dec 2014 A	2014/11/26	2014/12/03
20834	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2014 A	2014/11/26	2014/12/03
28372	Macquarie Bank Ltd. – Tencent European Warrants Dec 2014 A	2014/11/26	2014/12/03
15233	Macquarie Bank Ltd. – Tencent European Warrants Dec 2014 B	2014/11/26	2014/12/03
14804	Macquarie Bank Ltd. – Wharf European Warrants Dec 2014 A	2014/11/26	2014/12/03

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25405	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Dec 2014 A	2014/11/26	2014/12/03
17401	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Dec 2014 A	2014/11/26	2014/12/03
16363	Macquarie Bank Ltd. -Greatwall Motor European Wts Dec 2014 A	2014/11/26	2014/12/03
13920	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Dec 2014 A	2014/11/26	2014/12/03
23108	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Dec 14 C	2014/11/26	2014/12/03
27431	Standard Chartered Bank – HSBC European Warrants Dec 2014 C	2014/11/26	2014/12/03
24479	UBS AG – BYD European Warrants Dec 2014 A	2014/11/26	2014/12/03
23601	Bank of East Asia – Ping An European Put Warrants Dec 2014 A	2014/11/27	2014/12/04
29579	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Dec 2014	2014/11/27	2014/12/04
28585	Credit Suisse AG – CNBM European Warrants Dec 2014 A	2014/11/27	2014/12/04
26588	Goldman Sachs SP (Asia) – China Coal European Wts Dec 2014 A	2014/11/27	2014/12/04
16478	J P Morgan SP BV – GCL-Poly European Warrants Dec 2014 A	2014/11/27	2014/12/04
29187	J P Morgan SP BV – Haitong Sec European Warrants Dec 2014 B	2014/11/27	2014/12/04
28593	J P Morgan SP BV – HKEx European Warrants Dec 2014 B	2014/11/27	2014/12/04
16294	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2014 B	2014/11/27	2014/12/04
24231	Nomura Int'l plc – HSBC European Warrants Dec 2014 A	2014/11/27	2014/12/04
25685	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Dec 2014 A	2014/11/27	2014/12/04
16634	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Dec 2014A	2014/11/27	2014/12/04
15952	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2014 A	2014/11/27	2014/12/04
28936	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 14 B	2014/11/27	2014/12/04
15585	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Dec 14 A	2014/11/27	2014/12/04
16646	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Dec14A	2014/11/27	2014/12/04
12965	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2014B	2014/11/27	2014/12/04
16312	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Dec 2014B	2014/11/27	2014/12/04
15469	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Dec 2014 A	2014/11/27	2014/12/04
27469	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Dec14A	2014/11/27	2014/12/04
15332	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2014 A	2014/11/27	2014/12/04
12119	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2014 B	2014/11/27	2014/12/04
23127	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2014 A	2014/11/27	2014/12/04
23399	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2014 B	2014/11/27	2014/12/04
16320	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2014 A	2014/11/27	2014/12/04
14092	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2014 A	2014/11/27	2014/12/04
14269	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Dec14A	2014/11/27	2014/12/04
16154	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Dec14B	2014/11/27	2014/12/04
15471	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2014B	2014/11/27	2014/12/04
28138	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2014C	2014/11/27	2014/12/04
12252	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2014D	2014/11/27	2014/12/04
14455	SGA Societe Generale Acceptance N.V. -CCoal Eu Wt Dec 2014 A	2014/11/27	2014/12/04
27416	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Dec14A	2014/11/27	2014/12/04
29905	SGA Societe Generale Acceptance N.V. -CLife Eu Put Wt Dec14A	2014/11/27	2014/12/04
23402	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt Dec 14C	2014/11/27	2014/12/04
28806	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec 14 B	2014/11/27	2014/12/04
11548	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Dec 14 A	2014/11/27	2014/12/04
27725	SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Dec14A	2014/11/27	2014/12/04
16153	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Dec 4A	2014/11/27	2014/12/04
16650	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Dec14C	2014/11/27	2014/12/04
16258	SGA Societe Generale Acceptance N.V.-Galaxy Eu Put Wt Dec14B	2014/11/27	2014/12/04
15509	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Dec14A	2014/11/27	2014/12/04
16003	SGA Societe Generale Acceptance N.V-Ping An Eu Put Wt Dec14A	2014/11/27	2014/12/04
14270	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Dec14A	2014/11/27	2014/12/04
16654	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Dec14B	2014/11/27	2014/12/04
16259	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Dec14B	2014/11/27	2014/12/04
22213	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Dec14C	2014/11/27	2014/12/04
15490	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Dec14A	2014/11/27	2014/12/04
14478	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Dec14A	2014/11/27	2014/12/04
23638	Standard Chartered Bank – Dongfeng European Wts Dec 2014 A	2014/11/27	2014/12/04
16350	Standard Chartered Bank – Cheung Kong Euro Wts Dec 2014 A	2014/11/27	2014/12/04
16351	Standard Chartered Bank – Cheung Kong European Put Wt Dec14B	2014/11/27	2014/12/04
23261	Standard Chartered Bank – China Cinda Euro Put Wts Dec 14 A	2014/11/27	2014/12/04
24344	Standard Chartered Bank – China Mobile Euro Wts Dec 2014 A	2014/11/27	2014/12/04
15953	Standard Chartered Bank – Greatwall Motor Euro Wt Dec 2014 A	2014/11/27	2014/12/04
17261	Standard Chartered Bank – Greatwall Motor Euro Wt Dec 2014 B	2014/11/27	2014/12/04
25434	Standard Chartered Bank – HSBC European Put Wts Dec 2014 B	2014/11/27	2014/12/04
25778	Standard Chartered Bank – ICBC European Put Wts Dec 2014 B	2014/11/27	2014/12/04
14590	Standard Chartered Bank – YZ Coal European Put Wts Dec 2014A	2014/11/27	2014/12/04
16883	UBS AG – HSBC European Warrants Dec 2014 A	2014/11/27	2014/12/04
24703	Daiwa Capital Mkt – BYD European Warrants Dec 2014 A	2014/11/28	2014/12/05
15687	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Dec 2014 B	2014/12/04	2014/12/05
15063	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Apr 2017 A	2014/12/04	2014/12/05
28227	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Feb15A	2014/12/04	2014/12/05
24826	BOCI Asia Ltd. – Hutchison European Warrants Dec 2014 A	2014/12/01	2014/12/08
15514	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Dec 2014 C	2014/12/01	2014/12/08
15716	Standard Chartered Bank – Tencent Hldg Euro Wts Dec 2014 A	2014/12/01	2014/12/08
27943	BOCI Asia Ltd. – HSBC European Warrants Dec 2014 A	2014/12/02	2014/12/09
16022	Credit Suisse AG – Agricultural Bank European Wts Dec 2014 A	2014/12/02	2014/12/09
27832	Credit Suisse AG – Hutchison European Put Wts Dec 2014 B	2014/12/02	2014/12/09
15220	Daiwa Capital Mkt – PetroChina European Put Wts Dec 2014 A	2014/12/02	2014/12/09
23532	HK Bank – HKEx European Warrants Dec 2014 A	2014/12/02	2014/12/09
16700	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Dec 2014 B	2014/12/02	2014/12/09
26016	Nomura Int'l plc – CNOOC European Warrants Dec 2014 B	2014/12/02	2014/12/09
26465	Standard Chartered Bank – Xinyi Glass Euro Wts Dec 2014 A	2014/12/02	2014/12/09
25387	Standard Chartered Bank – Agricultural Bk Eur Wts Dec 2014 A	2014/12/02	2014/12/09
19679	Standard Chartered Bank – GCL-Poly Energy Eur Wts Dec 2014 A	2014/12/02	2014/12/09
25676	Standard Chartered Bank – Tencent Hldg Eu Put Wts Dec 2014 B	2014/12/02	2014/12/09
12722	Standard Chartered Bank-China Life European Wts Dec 2014 A	2014/12/02	2014/12/09
25282	UBS AG – CNOOC European Warrants Dec 2014 A	2014/12/02	2014/12/09
22155	Bank of East Asia – PICC European Put Warrants Dec 2014 A	2014/12/03	2014/12/10

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
24942	Credit Suisse – Cheung Kong European Put Warrants Dec 2014 B	2014/12/03	2014/12/10
24941	Credit Suisse AG – Cheung Kong European Warrants Dec 2014 A	2014/12/03	2014/12/10
15111	HK Bank – Agricultural Bank European Warrants Dec 2014 A	2014/12/03	2014/12/10
25026	HK Bank – Agricultural Bank European Warrants Dec 2014 B	2014/12/03	2014/12/10
27856	Macquarie Bank Ltd. – Gome European Warrants Dec 2014 A	2014/12/03	2014/12/10
24955	UBS AG – Cheung Kong European Warrants Dec 2014 B	2014/12/03	2014/12/10
15299	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2014 A	2014/12/04	2014/12/11
25155	Standard Chartered Bank – CNBM European Warrants Dec 2014 A	2014/12/04	2014/12/11
24997	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Dec 2014 A	2014/12/05	2014/12/12
24995	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Dec 2014 A	2014/12/05	2014/12/12
24999	Goldman Sachs SP (Asia) – Sinopec Corp Euro Put Wts Dec14A	2014/12/05	2014/12/12
24983	Goldman Sachs SP (Asia) – Xinyi Glass European Wts Dec 2014A	2014/12/05	2014/12/12
23838	Macquarie Bank Ltd. – China Gas Hold Euro Wts Dec 2014 A	2014/12/05	2014/12/12
24261	Macquarie Bank Ltd. – Jiansu Express European Wts Dec 2014 A	2014/12/05	2014/12/12
23603	Bank of East Asia – Kingsoft European Warrants Dec 2014 A	2014/12/08	2014/12/15
10297	BNP Paribas Arbit Issu B.V. – Nikkei 225 Index Eu Wt Dec14 A	2014/12/08	2014/12/15
14264	BOCI Asia Ltd. – CAM CSI300 European Warrants Dec 2014 A	2014/12/08	2014/12/15
13088	Citigroup Global Mkt H Inc. – CAM CSI300 Euro Wts Dec 2014 A	2014/12/08	2014/12/15
13632	Daiwa Capital Mkt – CAM CSI300 European Warrants Dec 2014 A	2014/12/08	2014/12/15
10287	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2014 D	2014/12/08	2014/12/15
10288	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2014 E	2014/12/08	2014/12/15
10289	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2014 F	2014/12/08	2014/12/15
10284	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2014 A	2014/12/08	2014/12/15
10285	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2014 B	2014/12/08	2014/12/15
10286	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2014 C	2014/12/08	2014/12/15
13605	HK Bank – CAM CSI300 European Warrants Dec 2014 A	2014/12/08	2014/12/15
23321	HK Bank – China Life European Warrants Dec 2014 C	2014/12/08	2014/12/15
29316	J P Morgan SP BV – A50 China European Warrants Dec 2014 C	2014/12/08	2014/12/15
13011	Nomura Int'l plc – CAM CSI300 European Warrants Dec 2014 A	2014/12/08	2014/12/15
10274	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Dec 2014 A	2014/12/08	2014/12/15
27565	Standard Chartered Bank-China Life European Wts Dec 2014 B	2014/12/08	2014/12/15
29472	UBS AG – A50 China European Warrants Dec 2014 B	2014/12/08	2014/12/15
15540	UBS AG – CAM CSI300 European Warrants Dec 2014 B	2014/12/08	2014/12/15
10269	UBS AG – Nikkei 225 European Put Warrants Dec 2014 B	2014/12/08	2014/12/15
10291	UBS AG – Nikkei 225 European Put Warrants Dec 2014 C	2014/12/08	2014/12/15
10264	UBS AG – Nikkei 225 European Warrants Dec 2014 A	2014/12/08	2014/12/15
10293	UBS AG – Nikkei 225 European Warrants Dec 2014 D	2014/12/08	2014/12/15
10295	UBS AG – Nikkei 225 European Warrants Dec 2014 E	2014/12/08	2014/12/15
15109	BOCI Asia Ltd. – Agricultural Bk European Wts Dec 2014 A	2014/12/09	2014/12/16
24816	BOCI Asia Ltd. – BYD European Warrants Dec 2014 A	2014/12/09	2014/12/16
13746	BOCI Asia Ltd. – CNOOC European Warrants Dec 2014 A	2014/12/09	2014/12/16
28876	Credit Suisse AG – BOC HK European Warrants Dec 2014 A	2014/12/09	2014/12/16
11807	Credit Suisse AG – Ch Overseas European Warrants Dec 2014 A	2014/12/09	2014/12/16
13480	Credit Suisse AG – CNOOC European Warrants Dec 2014 C	2014/12/09	2014/12/16
29525	Credit Suisse AG – CSR European Warrants Dec 2014 A	2014/12/15	2014/12/16
11941	Credit Suisse AG – CSR European Warrants Dec 2014 B	2014/12/15	2014/12/16
11637	Credit Suisse AG – Esprit European Warrants Dec 2014 B	2014/12/09	2014/12/16
25276	Credit Suisse AG – SHK Ppt European Put Warrants Dec 2014 A	2014/12/09	2014/12/16
16455	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 E	2014/12/09	2014/12/16
26068	Daiwa Capital Mkt – BOC HK European Warrants Dec 2014 A	2014/12/09	2014/12/16
25443	Daiwa Capital Mkt – Cheung Kong European Warrants Dec 2014 A	2014/12/09	2014/12/16
23440	Daiwa Capital Mkt – China Life European Warrants Dec 2014 C	2014/12/09	2014/12/16
25492	Daiwa Capital Mkt – Hutchison European Put Wts Dec 2014 B	2014/12/09	2014/12/16
15217	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2014 B	2014/12/09	2014/12/16
25252	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2014 C	2014/12/09	2014/12/16
22808	Goldman Sachs SP (Asia) – KECL European Warrants Dec 2014 A	2014/12/09	2014/12/16
26917	Goldman Sachs SP (Asia) – KECL European Warrants Dec 2014 B	2014/12/09	2014/12/16
13604	HK Bank – CNOOC European Warrants Dec 2014 C	2014/12/09	2014/12/16
20410	J P Morgan SP BV – Zhuzhou CSR European Warrants Dec 2014 A	2014/12/09	2014/12/16
15298	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2014 A	2014/12/09	2014/12/16
21930	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec2014 A	2014/12/09	2014/12/16
25157	Standard Chartered Bank – CGS European Warrants Dec 2014 A	2014/12/09	2014/12/16
23817	Standard Chartered Bank – CSR European Warrants Dec 2014 A	2014/12/09	2014/12/16
23732	UBS AG – Cheung Kong European Put Warrants Dec 2014 A	2014/12/09	2014/12/16
15138	UBS AG – China EB Int'l European Warrants Dec 2014 B	2014/12/09	2014/12/16
27646	UBS AG – Galaxy Ent European Put Warrants Dec 2014 B	2014/12/09	2014/12/16
23333	UBS AG – HKEx European Warrants Dec 2014 A	2014/12/09	2014/12/16
23993	UBS AG – KECL European Warrants Dec 2014 A	2014/12/09	2014/12/16
23413	UBS AG – Lenovo European Warrants Dec 2014 A	2014/12/09	2014/12/16
28624	Goldman Sachs SP (Asia) -Tencent European Wt Dec 2014 D	2014/12/10	2014/12/17
29129	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2014 A	2014/12/10	2014/12/17
15003	Macquarie Bank Ltd. – Datang European Warrants Dec 2014 B	2014/12/10	2014/12/17
15002	Macquarie Bank Ltd. – HN Renewables European Wts Dec 2014 A	2014/12/10	2014/12/17
11783	Standard Chartered Bank – Tencent Hldg Euro Wts Dec 2014 D	2014/12/10	2014/12/17
26133	UBS AG – Tencent Holdings European Warrants Dec 2014 B	2014/12/10	2014/12/17
28661	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Dec 2014 A	2014/12/11	2014/12/18
28331	HK Bank – Galaxy Ent European Warrants Dec 2014 A	2014/12/11	2014/12/18
26715	UBS AG – AIA European Warrants Dec 2014 A	2014/12/11	2014/12/18
10281	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wt Dec 2014 B	2014/12/12	2014/12/19
10280	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Dec 2014 A	2014/12/12	2014/12/19
10278	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 14 B	2014/12/12	2014/12/19
10277	BNP Paribas Arbit Issu B.V. – Gold European Wts Dec 2014 A	2014/12/12	2014/12/19
10283	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Dec 2014 B	2014/12/12	2014/12/19
10282	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Dec 2014 A	2014/12/12	2014/12/19
10273	BNP Paribas Arbit Issu B.V.- AUD/USD Euro Put Wts Dec 2014 B	2014/12/12	2014/12/19
10272	BNP Paribas Arbit Issu B.V. -AUD/USD European Wts Dec 2014 A	2014/12/12	2014/12/19
15213	Daiwa Capital Mkt – CNOOC European Warrants Dec 2014 B	2014/12/12	2014/12/19

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
23487	Macquarie Bank Ltd. – CSR European Warrants Dec 2014 A	2014/12/12	2014/12/19
24917	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Apr 2015C	2014/12/18	2014/12/19
24257	Nomura Int'l plc – BJ Ent Water European Warrants Dec 2014 A	2014/12/12	2014/12/19
26167	Nomura Int'l plc – Ch Overseas European Warrants Dec 2014 A	2014/12/12	2014/12/19
14912	Nomura Int'l plc – Ch South Air European Warrants Dec 2014 A	2014/12/12	2014/12/19
23030	Nomura Int'l plc – China Gas Hold European Wts Dec 2014 A	2014/12/12	2014/12/19
15618	Nomura Int'l plc – CIMC European Warrants Dec 2014 A	2014/12/12	2014/12/19
23034	Nomura Int'l plc – CSR European Warrants Dec 2014 A	2014/12/12	2014/12/19
28938	Nomura Int'l plc – Esprit European Warrants Dec 2014 A	2014/12/12	2014/12/19
23043	Nomura Int'l plc – FE Horizon European Warrants Dec 2014 A	2014/12/12	2014/12/19
28940	Nomura Int'l plc – Gome European Warrants Dec 2014 A	2014/12/12	2014/12/19
23026	Nomura Int'l plc – Jiansu Express European Wts Dec 2014 A	2014/12/12	2014/12/19
12181	Nomura Int'l plc – MCC European Warrants Dec 2014 A	2014/12/12	2014/12/19
12886	Nomura Int'l plc – Sihuan Pharm European Warrants Dec 2014 A	2014/12/12	2014/12/19
14911	Nomura Int'l plc – CITIC Bank European Warrants Dec 2014 A	2014/12/12	2014/12/19
14583	Standard Chartered Bank – SPDR Gold Trust Euro Wt Dec 2014 A	2014/12/12	2014/12/19
26144	UBS AG – Yurun Food European Warrants Dec 2014 A	2014/12/12	2014/12/19
25591	Bank of East Asia – China Life European Warrants Dec 2014 A	2014/12/15	2014/12/22
28732	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2015 A	2014/12/19	2014/12/22
16591	Citigroup Global Mkt H Inc. – China Life Euro Wts Jun 2015 A	2014/12/19	2014/12/22
29942	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts Feb 2015 A	2014/12/19	2014/12/22
15581	Citigroup Global Mkt H Inc. – Haitong Sec European Wt Aug15A	2014/12/19	2014/12/22
25497	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2014 A	2014/12/15	2014/12/22
16780	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Mar 2015 B	2014/12/19	2014/12/22
14107	Credit Suisse AG – AIA European Warrants Dec 2014 C	2014/12/15	2014/12/22
14803	Credit Suisse AG – HSBC European Wts Dec 2014 C	2014/12/15	2014/12/22
11071	Daiwa Capital Mkt – AIA European Warrants Dec 2014 A	2014/12/15	2014/12/22
15215	Daiwa Capital Mkt – Ch Overseas European Warrants Dec 2014 A	2014/12/15	2014/12/22
26011	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Dec 2014 B	2014/12/15	2014/12/22
14087	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Dec 2014 A	2014/12/15	2014/12/22
25147	Standard Chartered Bank – AIA European Warrants Dec 2014 B	2014/12/15	2014/12/22
12633	Standard Chartered Bank – CAM CSI300 European Wts Dec 2014 A	2014/12/15	2014/12/22
24367	Standard Chartered Bank – China Mobile Euro Wts Dec 2014 B	2014/12/15	2014/12/22
16893	UBS AG – China Mobile European Warrants Dec 2014 A	2014/12/15	2014/12/22
26345	UBS AG – Xinyi Glass European Warrants Dec 2014 A	2014/12/15	2014/12/22
27340	Bank of East Asia – Minsheng Bank Euro Put Wts Dec 2014 A	2014/12/16	2014/12/23
14256	Bank of East Asia – SJM Holdings European Wts Dec 2014 A	2014/12/16	2014/12/23
15454	BOCI Asia Ltd. – BOC HK European Warrants Dec 2014 A	2014/12/16	2014/12/23
29975	BOCI Asia Ltd. – Galaxy Ent European Warrants Dec 2014 A	2014/12/16	2014/12/23
28394	BOCI Asia Ltd. – Minsheng Bank European Warrants Dec 2014 A	2014/12/16	2014/12/23
26982	Citigroup Global Mkt H Inc. – A50 China Euro Wts Dec 2014 B	2014/12/16	2014/12/23
26729	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Put Wt Dec14B	2014/12/16	2014/12/23
28485	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Dec 2014 A	2014/12/16	2014/12/23
14062	Citigroup Global Mkt H Inc. – Tencent European Wts Dec 2014 A	2014/12/16	2014/12/23
27401	Credit Suisse AG – Minsheng Bank Euro Put Wts Dec 2014 A	2014/12/16	2014/12/23
28551	Credit Suisse AG – AIA European Warrants Dec 2014 A	2014/12/16	2014/12/23
11889	Credit Suisse AG – Brilliance Chi European Warrants Dec 14 A	2014/12/16	2014/12/23
12584	Credit Suisse AG – China EB Int'l European Wts Dec 2014 A	2014/12/16	2014/12/23
16599	Credit Suisse AG – GCL-Poly Energy European Wts Dec 2014 A	2014/12/16	2014/12/23
13373	Credit Suisse AG – HSBC European Put Warrants Dec 2014 B	2014/12/16	2014/12/23
27831	Credit Suisse AG – Hutchison European Warrants Dec 2014 A	2014/12/16	2014/12/23
28578	Credit Suisse AG – Lenovo European Warrants Dec 2014 A	2014/12/16	2014/12/23
28479	Credit Suisse AG – Minsheng Bank European Wts Dec 2014 B	2014/12/16	2014/12/23
23244	Credit Suisse AG – New World Dev European Wts Dec 2014 A	2014/12/16	2014/12/23
28956	Credit Suisse AG – Ping An European Warrants Dec 2014 A	2014/12/16	2014/12/23
29896	Credit Suisse AG – Ping An European Warrants Dec 2014 C	2014/12/16	2014/12/23
15181	Credit Suisse AG – REXLot Holdings European Wts Dec 2014 A	2014/12/16	2014/12/23
28928	Credit Suisse AG – Sa Sa Int'l European Warrants Dec 2014 A	2014/12/16	2014/12/23
15401	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 B	2014/12/16	2014/12/23
16453	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 C	2014/12/16	2014/12/23
16454	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 D	2014/12/16	2014/12/23
16462	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 F	2014/12/16	2014/12/23
29119	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 H	2014/12/16	2014/12/23
25596	Credit Suisse AG – ZTE European Warrants Dec 2014 A	2014/12/16	2014/12/23
26364	Daiwa Capital Mkt – CCB European Put Warrants Dec 2014 A	2014/12/16	2014/12/23
26375	Daiwa Capital Mkt – Galaxy Ent European Put Wts Dec 2014 A	2014/12/16	2014/12/23
15858	Goldman Sachs SP (Asia) – Agri Bk European Wts Dec 2014 A	2014/12/16	2014/12/23
23236	Goldman Sachs SP (Asia) – CSR European Warrants Dec 2014 A	2014/12/16	2014/12/23
27461	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2014 C	2014/12/16	2014/12/23
18862	HK Bank – BOC HK European Warrants Dec 2014 A	2014/12/16	2014/12/23
15306	HK Bank – China Overseas European Warrants Dec 2014 A	2014/12/16	2014/12/23
21382	HK Bank – KECL European Warrants Dec 2014 A	2014/12/16	2014/12/23
23468	HK Bank – Minsheng Bank European Put Warrants Dec 2014 A	2014/12/16	2014/12/23
29789	J P Morgan SP BV – Tencent European Warrants Dec 2014 F	2014/12/16	2014/12/23
23023	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Put Wts Dec 2014 A	2014/12/16	2014/12/23
15991	Nomura Int'l plc – CSR European Warrants Dec 2014	2014/12/16	2014/12/23
20542	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Dec 2014A	2014/12/16	2014/12/23
23303	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Dec 2014 A	2014/12/16	2014/12/23
24584	Standard Chartered Bank – BYD European Warrants Dec 2014 A	2014/12/16	2014/12/23
23932	Standard Chartered Bank – Minsheng Bank Euro Wts Dec 2014 A	2014/12/16	2014/12/23
29567	Standard Chartered Bank – Ping An European Wts Dec 2014 B	2014/12/16	2014/12/23
11064	Standard Chartered Bank – Tencent Hldg Euro Wts Dec 2014 C	2014/12/16	2014/12/23
16761	Standard Chartered Bank – Wynn Macau European Wts Dec 2014 A	2014/12/16	2014/12/23
13755	UBS AG – Cheung Kong European Warrants Dec 2014 D	2014/12/16	2014/12/23
28755	UBS AG – China Tel European Warrants Dec 2014 A	2014/12/16	2014/12/23
25537	UBS AG – China Unicom European Warrants Dec 2014 A	2014/12/16	2014/12/23
13251	UBS AG – CNOOC European Warrants Dec 2014 B	2014/12/16	2014/12/23

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25133	UBS AG – Minsheng Bank European Warrants Dec 2014 A	2014/12/16	2014/12/23
22166	UBS AG – New World European Warrants Dec 2014 A	2014/12/16	2014/12/23
26724	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Dec14 A	2014/12/17	2014/12/24
12450	Credit Suisse AG – CSOP A50 ETF Euro Put Wts Dec 2014 A	2014/12/17	2014/12/24
27850	Credit Suisse AG – Sinopec Corp European Warrants Dec 2014 A	2014/12/17	2014/12/24
26529	Daiwa Capital Mkt – CMB European Warrants Dec 2014 A	2014/12/17	2014/12/24
25418	Daiwa Capital Mkt – CSOP A50 ETF European Put Wts Dec 2014 A	2014/12/17	2014/12/24
26557	Daiwa Capital Mkt – HSBC European Put Warrants Dec 2014 A	2014/12/17	2014/12/24
26528	Daiwa Capital Mkt – Minsheng Bk European Warrants Dec 2014 A	2014/12/17	2014/12/24
15088	Daiwa Capital Mkt – Ping An European Warrants Dec 2014 A	2014/12/17	2014/12/24
21577	Goldman Sachs SP (Asia) – CSOP A50 ETF Eu Put Wts Dec 2014 A	2014/12/17	2014/12/24
13154	HK Bank – China Unicom European Warrants Dec 2014 A	2014/12/17	2014/12/24
21106	HK Bank – CNBM European Warrants Dec 2014 A	2014/12/17	2014/12/24
28820	HK Bank – Haitong Sec European Warrants Dec 2014 A	2014/12/17	2014/12/24
28327	HK Bank – HWL European Warrants Dec 2014 A	2014/12/17	2014/12/24
15777	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Dec 2014B	2014/12/17	2014/12/24
16293	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2014 C	2014/12/17	2014/12/24
13944	Nomura Int'l plc – Haitong Sec European Warrants Dec 2014 A	2014/12/17	2014/12/24
27304	Standard Chartered Bank – CRCC European Warrants Dec 2014 A	2014/12/17	2014/12/24
21793	Standard Chartered Bank – CSOP A50 ETF Eu Put Wts Dec 2014 A	2014/12/17	2014/12/24
28807	Standard Chartered Bank – Hutchison Euro Euro Wts Dec 2014 A	2014/12/17	2014/12/24
16279	UBS AG – A50 China European Warrants Dec 2014	2014/12/17	2014/12/24
24377	UBS AG – Chi Res Land European Warrants Dec 2014 A	2014/12/17	2014/12/24
15485	UBS AG – Haitong Sec European Warrants Dec 2014 A	2014/12/17	2014/12/24
16181	UBS AG – Tencent Holdings European Warrants Dec 2014 C	2014/12/17	2014/12/24
22552	Bank of East Asia – Li & Fung European Warrants Dec 2014 A	2014/12/18	2014/12/29
28431	Bank of East Asia – R&F Ppt European Warrants Dec 2014 A	2014/12/18	2014/12/29
29152	BOCI Asia Ltd. – China Life European Warrants Dec 2014 A	2014/12/18	2014/12/29
15866	BOCI Asia Ltd. – China Life European Warrants Dec 2014 B	2014/12/18	2014/12/29
29633	BOCI Asia Ltd. – HKEx European Warrants Dec 2014 A	2014/12/18	2014/12/29
27205	BOCI Asia Ltd. – Kingsoft European Put Warrants Dec 2014 A	2014/12/18	2014/12/29
27999	BOCI Asia Ltd. – Sinopec Corp European Warrants Dec 2014 A	2014/12/18	2014/12/29
14035	Citigroup Global Mkt H Inc. – AIA European Wts Dec 2014 A	2014/12/18	2014/12/29
12867	Citigroup Global Mkt H Inc. – BOCL European Wts Dec 2014 A	2014/12/18	2014/12/29
27726	Citigroup Global Mkt H Inc. – China Life Euro Wts Dec 2014 A	2014/12/18	2014/12/29
28496	Citigroup Global Mkt H Inc. – Ping An Euro Wts Dec 2014 A	2014/12/18	2014/12/29
28484	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Dec 2014 A	2014/12/18	2014/12/29
14314	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Dec 2014 B	2014/12/18	2014/12/29
28404	Credit Suisse – Foxconn European Warrants Dec 2014 A	2014/12/18	2014/12/29
11679	Credit Suisse AG – AIA European Warrants Dec 2014 B	2014/12/18	2014/12/29
12383	Credit Suisse AG – Cheung Kong European Warrants Dec 2014 C	2014/12/18	2014/12/29
11957	Credit Suisse AG – China Coal European Warrants Dec 2014 A	2014/12/18	2014/12/29
14316	Credit Suisse AG – China EB Int'l European Wts Dec 2014 B	2014/12/18	2014/12/29
28823	Credit Suisse AG – China Mobile European Put Wts Dec 2014 A	2014/12/18	2014/12/29
28832	Credit Suisse AG – China Mobile European Warrants Dec 2014 B	2014/12/18	2014/12/29
11466	Credit Suisse AG – CITIC Sec European Warrants Dec 2014 A	2014/12/18	2014/12/29
28932	Credit Suisse AG – Esprit European Put Warrants Dec 2014 A	2014/12/18	2014/12/29
13559	Credit Suisse AG – Galaxy Ent European Warrants Dec 2014 A	2014/12/18	2014/12/29
15737	Credit Suisse AG – Galaxy Ent European Warrants Dec 2014 B	2014/12/18	2014/12/29
15824	Credit Suisse AG – HKEx European Warrants Dec 2014 A	2014/12/18	2014/12/29
11557	Credit Suisse AG – Hutchison European Put Wts Dec 2014 C	2014/12/18	2014/12/29
15967	Credit Suisse AG – ICBC European Warrants Dec 2014 A	2014/12/18	2014/12/29
27794	Credit Suisse AG – Li & Fung European Warrants Dec 2014 A	2014/12/18	2014/12/29
29260	Credit Suisse AG – Ping An European Put Warrants Dec 2014 B	2014/12/18	2014/12/29
15395	Credit Suisse AG – Ping An European Warrants Dec 2014 D	2014/12/18	2014/12/29
12147	Credit Suisse AG – Sands China European Warrants Dec 2014 A	2014/12/18	2014/12/29
29571	Credit Suisse AG – SHK Ppt European Warrants Dec 2014 B	2014/12/18	2014/12/29
25601	Credit Suisse AG – SJM Holdings European Warrants Dec 2014 A	2014/12/18	2014/12/29
13878	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 A	2014/12/18	2014/12/29
25572	Daiwa Capital Mkt – BOCL European Warrants Dec 2014 A	2014/12/18	2014/12/29
15218	Daiwa Capital Mkt – China Life European Warrants Dec 2014 B	2014/12/18	2014/12/29
26726	Daiwa Capital Mkt – Galaxy Ent European Warrants Dec 2014 B	2014/12/18	2014/12/29
15024	Daiwa Capital Mkt – ICBC European Warrants Dec 2014 A	2014/12/18	2014/12/29
26731	Daiwa Capital Mkt – Sands China European Warrants Dec 2014 A	2014/12/18	2014/12/29
26593	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Dec 2014 A	2014/12/18	2014/12/29
29077	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2014 A	2014/12/18	2014/12/29
14834	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2014 B	2014/12/18	2014/12/29
11160	Goldman Sachs SP (Asia) – Li & Fung European Wts Dec 2014 A	2014/12/18	2014/12/29
11347	Goldman Sachs SP (Asia) – R&F Ppt European Warrants Dec 14 A	2014/12/18	2014/12/29
26597	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Dec 2014 B	2014/12/18	2014/12/29
26352	HK Bank – CRCC European Warrants Dec 2014 A	2014/12/18	2014/12/29
15094	HK Bank – ICBC European Warrants Dec 2014 A	2014/12/18	2014/12/29
23163	HK Bank – ICBC European Warrants Dec 2014 B	2014/12/18	2014/12/29
28313	HK Bank – Sands China European Warrants Dec 2014 A	2014/12/18	2014/12/29
11193	J P Morgan SP BV – China Unicom European Warrants Dec 2014 A	2014/12/18	2014/12/29
26430	J P Morgan SP BV – Li & Fung European Warrants Dec 2014 A	2014/12/18	2014/12/29
15703	J P Morgan SP BV – Ping An European Warrants Dec 2014 B	2014/12/18	2014/12/29
15293	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2014 A	2014/12/18	2014/12/29
26782	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2014 B	2014/12/18	2014/12/29
24211	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2014 A	2014/12/18	2014/12/29
15936	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Dec 2014 A	2014/12/18	2014/12/29
15294	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2014 A	2014/12/18	2014/12/29
24295	Nomura Int'l plc – Chi Res Land European Warrants Dec 2014 A	2014/12/18	2014/12/29
25752	Nomura Int'l plc – CNOOC European Warrants Dec 2014 A	2014/12/18	2014/12/29
26008	Nomura Int'l plc – HKEx European Put Warrants Dec 2014 B	2014/12/18	2014/12/29
22270	Nomura Int'l plc – HKEx European Warrants Dec 2014 A	2014/12/18	2014/12/29
24390	Nomura Int'l plc – Li & Fung European Warrants Dec 2014 A	2014/12/18	2014/12/29

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
25733	Nomura Int'l plc – Sa Sa Int'l European Warrants Dec 2014 A	2014/12/18	2014/12/29
24836	Standard Chartered Bank – BOCL European Warrants Dec 2014 A	2014/12/18	2014/12/29
29070	Standard Chartered Bank – China Mobile Euro Wts Dec 2014 C	2014/12/18	2014/12/29
29978	Standard Chartered Bank – CITIC Sec European Wts Dec 2014 A	2014/12/18	2014/12/29
29693	Standard Chartered Bank – HKEx European Warrants Dec 2014 A	2014/12/18	2014/12/29
25573	Standard Chartered Bank – Li & Fung European Wts Dec 2014 A	2014/12/18	2014/12/29
26520	Standard Chartered Bank – Minsheng Bank Euro Wts Dec 2014 B	2014/12/18	2014/12/29
27577	Standard Chartered Bank – Ping An Euro Put Wts Dec 2014 A	2014/12/18	2014/12/29
29166	Standard Chartered Bank – Sands China Euro Wts Dec 2014 A	2014/12/18	2014/12/29
13307	Standard Chartered Bank – Sinopec Corp Euro Wts Dec 2014 B	2014/12/18	2014/12/29
14173	UBS AG – AIA European Warrants Dec 2014 B	2014/12/18	2014/12/29
15480	UBS AG – BOCL European Warrants Dec 2014 A	2014/12/18	2014/12/29
28654	UBS AG – BYD European Warrants Dec 2014 B	2014/12/18	2014/12/29
12932	UBS AG – China EB Int'l European Warrants Dec 2014 A	2014/12/18	2014/12/29
14889	UBS AG – China Life European Warrants Dec 2014 A	2014/12/18	2014/12/29
28018	UBS AG – China Mengniu European Warrants Dec 2014 A	2014/12/18	2014/12/29
28760	UBS AG – China Mobile European Warrants Dec 2014 B	2014/12/18	2014/12/29
16898	UBS AG – China Unicom European Warrants Dec 2014 B	2014/12/18	2014/12/29
15539	UBS AG – CITIC Sec European Warrants Dec 2014 A	2014/12/18	2014/12/29
27776	UBS AG – HKEx European Put Warrants Dec 2014 C	2014/12/18	2014/12/29
25247	UBS AG – HKEx European Warrants Dec 2014 B	2014/12/18	2014/12/29
28397	UBS AG – HKEx European Warrants Dec 2014 D	2014/12/18	2014/12/29
11045	UBS AG – Hutchison European Put Warrants Dec 2014 B	2014/12/18	2014/12/29
29558	UBS AG – Hutchison European Warrants Dec 2014 A	2014/12/18	2014/12/29
13930	UBS AG – Kingsoft European Put Warrants Dec 2014 B	2014/12/18	2014/12/29
15336	UBS AG – Kingsoft European Warrants Dec 2014 A	2014/12/18	2014/12/29
22254	UBS AG – Li & Fung European Warrants Dec 2014 A	2014/12/18	2014/12/29
23495	UBS AG – New World European Warrants Dec 2014 B	2014/12/18	2014/12/29
29475	UBS AG – Ping An European Put Warrants Dec 2014 A	2014/12/18	2014/12/29
28023	UBS AG – Sands China European Put Warrants Dec 2014 B	2014/12/18	2014/12/29
14374	UBS AG – Sinopec Corp European Warrants Dec 2014 A	2014/12/18	2014/12/29
13414	UBS AG – Sinopec Corp European Warrants Dec 2014 B	2014/12/18	2014/12/29
13831	UBS AG – Tencent Holdings European Warrants Dec 2014 A	2014/12/18	2014/12/29
13817	Bank of East Asia – Tencent Holdings European Wts Dec 2014 A	2014/12/18	2014/12/30
13493	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2014 C	2014/12/18	2014/12/30
28855	BOCI Asia Ltd. – China Mobile European Put Wts Dec 2014 A	2014/12/18	2014/12/30
29047	BOCI Asia Ltd. – China Mobile European Warrants Dec 2014 B	2014/12/18	2014/12/30
29036	BOCI Asia Ltd. – Ping An European Put Warrants Dec 2014 B	2014/12/18	2014/12/30
22065	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2014 A	2014/12/18	2014/12/30
13678	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2014 C	2014/12/18	2014/12/30
13377	BOCI Asia Ltd. – Tracker Fund European Put Wts Dec 2014 A	2014/12/18	2014/12/30
16035	Credit Suisse AG – China Life European Warrants Dec 2014 B	2014/12/18	2014/12/30
11885	Credit Suisse AG – CNOOC European Warrants Dec 2014 A	2014/12/18	2014/12/30
12666	Credit Suisse AG – NagaCorp European Warrants Dec 2014 A	2014/12/18	2014/12/30
15084	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 I	2014/12/18	2014/12/30
16225	Credit Suisse AG – Tencent Holdings European Wts Dec 2014 J	2014/12/18	2014/12/30
15499	Goldman Sachs SP (Asia) – AIA European Warrants Dec 2014 A	2014/12/18	2014/12/30
14115	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2014 A	2014/12/18	2014/12/30
12797	Goldman Sachs SP (Asia) – CM Bank European Wts Dec 2014 A	2014/12/18	2014/12/30
26999	Goldman Sachs SP (Asia) – HKEx European Put Wts Dec 2014 A	2014/12/18	2014/12/30
14833	Goldman Sachs SP (Asia) – PICC Group European Wts Dec 2014 A	2014/12/18	2014/12/30
28450	HK Bank – China Life European Warrants Dec 2014 A	2014/12/18	2014/12/30
12467	HK Bank – CNOOC European Warrants Dec 2014 A	2014/12/18	2014/12/30
28576	HK Bank – Li & Fung European Warrants Dec 2014 A	2014/12/18	2014/12/30
14949	J P Morgan SP BV – Agile Ppt European Warrants Dec 2014 A	2014/12/18	2014/12/30
15083	J P Morgan SP BV – BOC HK European Warrants Dec 2014 A	2014/12/18	2014/12/30
14876	J P Morgan SP BV – Ch Overseas European Warrants Dec 2014 A	2014/12/18	2014/12/30
14670	J P Morgan SP BV – CHALCO European Warrants Dec 2014 A	2014/12/18	2014/12/30
14875	J P Morgan SP BV – Chi Res Land European Warrants Dec 2014 A	2014/12/18	2014/12/30
14959	J P Morgan SP BV – China Railway European Wts Dec 2014 A	2014/12/18	2014/12/30
16061	J P Morgan SP BV – Fosun Pharma European Warrants Dec 2014 A	2014/12/18	2014/12/30
15974	J P Morgan SP BV – Kingsoft European Warrants Dec 2014 A	2014/12/18	2014/12/30
25630	J P Morgan SP BV – Minsheng Bank European Wts Dec 2014 B	2014/12/18	2014/12/30
20307	J P Morgan SP BV – PetroChina European Warrants Dec 2014 A	2014/12/18	2014/12/30
16060	J P Morgan SP BV – SFCE European Warrants Dec 2014 A	2014/12/18	2014/12/30
22474	J P Morgan SP BV – Zhuzhou CSR European Warrants Dec 2014 B	2014/12/18	2014/12/30
15838	J P Morgan SP BV – ZTE European Warrants Dec 2014 A	2014/12/18	2014/12/30
17422	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2014 B	2014/12/18	2014/12/30
28237	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 14 C	2014/12/18	2014/12/30
26721	Nomura Int'l plc – Evergrande European Warrants Dec 2014 A	2014/12/18	2014/12/30
13875	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2014C	2014/12/18	2014/12/30
23309	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Dec14 A	2014/12/18	2014/12/30
13267	SGA Societe Generale Acceptance N.V.-Haitong Sec Eu Wt Dec14A	2014/12/18	2014/12/30
23297	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Dec14 A	2014/12/18	2014/12/30
21913	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Dec14A	2014/12/18	2014/12/30
25546	Standard Chartered Bank – Sinopec Corp Eu Put Wt Dec 2014 A	2014/12/18	2014/12/30
15379	Standard Chartered Bank – Galaxy Ent European Wts Dec 2014 B	2014/12/18	2014/12/30
22499	Standard Chartered Bank – Tracker Fund Eu Put Wt Dec 2014 B	2014/12/18	2014/12/30
27605	Standard Chartered Bank – Tracker Fund Euro Wts Dec 2014 A	2014/12/18	2014/12/30
28702	UBS AG – Cheung Kong European Put Warrants Dec 2014 C	2014/12/18	2014/12/30
13343	UBS AG – Galaxy Ent European Warrants Dec 2014 A	2014/12/18	2014/12/30
15165	UBS AG – ICBC European Warrants Dec 2014 A	2014/12/18	2014/12/30
13341	UBS AG – Sands China European Warrants Dec 2014 A	2014/12/18	2014/12/30
25158	UBS AG – SHK Ppt European Put Warrants Dec 2014 B	2014/12/18	2014/12/30
14502	UBS AG – SHK Ppt European Warrants Dec 2014 A	2014/12/18	2014/12/30
15038	UBS AG – Sinopec Corp European Warrants Dec 2014	2014/12/18	2014/12/30
28425	Bank of East Asia – BOCHK European Warrants Dec 2014 A	2014/12/19	2014/12/31

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
13333	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2014 A	2014/12/19	2014/12/31
16027	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2014	2014/12/19	2014/12/31
28443	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2014 A	2014/12/19	2014/12/31
14892	BOCI Asia Ltd. – HSCEI European Put Warrants Dec 2014 A	2014/12/19	2014/12/31
15250	BOCI Asia Ltd. – HSCEI European Warrants Dec 2014 B	2014/12/19	2014/12/31
29426	BOCI Asia Ltd. – HSI European Put Warrants Dec 2014 B	2014/12/19	2014/12/31
25092	BOCI Asia Ltd. – HSI European Warrants Dec 2014 A	2014/12/19	2014/12/31
29427	BOCI Asia Ltd. – HSI European Warrants Dec 2014 C	2014/12/19	2014/12/31
29251	Credit Suisse AG – HSI European Put Warrants Dec 2014 C	2014/12/19	2014/12/31
29255	Credit Suisse AG – HSI European Put Warrants Dec 2014 D	2014/12/19	2014/12/31
26954	Credit Suisse AG – HSI European Warrants Dec 2014 A	2014/12/19	2014/12/31
26965	Credit Suisse AG – HSI European Warrants Dec 2014 B	2014/12/19	2014/12/31
27637	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Dec 2014 A	2014/12/19	2014/12/31
24893	Goldman Sachs SP (Asia) – Cathay Pacific Euro Wts Dec 2014 A	2014/12/19	2014/12/31
14635	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Dec 2014 A	2014/12/19	2014/12/31
23022	Goldman Sachs SP (Asia) – China Cinda Euro Wts Dec 2014 A	2014/12/19	2014/12/31
14501	Goldman Sachs SP (Asia) – China Mengniu Euro Wt Dec 2014 A	2014/12/19	2014/12/31
15608	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2014 A	2014/12/19	2014/12/31
22219	Goldman Sachs SP (Asia) – China Tel European Wts Dec 2014 A	2014/12/19	2014/12/31
25873	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Dec 2014 A	2014/12/19	2014/12/31
25855	Goldman Sachs SP (Asia) – HSBC European Put Wts Dec 2014 B	2014/12/19	2014/12/31
25533	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2014 A	2014/12/19	2014/12/31
13669	Goldman Sachs SP (Asia) – HSCEI European Put Wts Dec 2014 A	2014/12/19	2014/12/31
14722	Goldman Sachs SP (Asia) – HSCEI European Warrants Dec 2014 B	2014/12/19	2014/12/31
28104	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2014 C	2014/12/19	2014/12/31
29123	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2014 D	2014/12/19	2014/12/31
24916	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2014 A	2014/12/19	2014/12/31
27610	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2014 B	2014/12/19	2014/12/31
16177	Goldman Sachs SP (Asia) – MGM China European Warrants Dec 2014 A	2014/12/19	2014/12/31
25234	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2014 A	2014/12/19	2014/12/31
25717	Goldman Sachs SP (Asia) – Shimao Ppt European Wts Dec 2014 A	2014/12/19	2014/12/31
13458	Goldman Sachs SP (Asia) – Wharf European Warrants Dec 2014 A	2014/12/19	2014/12/31
13460	Goldman Sachs SP (Asia) -Ch Overseas European Wts Dec 2014 A	2014/12/19	2014/12/31
14131	Goldman Sachs SP (Asia) -Tencent European Wt Dec 2014 A	2014/12/19	2014/12/31
15127	HK Bank – A50 China European Warrants Dec 2014	2014/12/19	2014/12/31
13737	HK Bank – HSCEI European Put Warrants Dec 2014 C	2014/12/19	2014/12/31
23642	HK Bank – HSCEI European Put Warrants Dec 2014 E	2014/12/19	2014/12/31
21108	HK Bank – HSCEI European Warrants Dec 2014 A	2014/12/19	2014/12/31
13160	HK Bank – HSCEI European Warrants Dec 2014 B	2014/12/19	2014/12/31
23641	HK Bank – HSCEI European Warrants Dec 2014 D	2014/12/19	2014/12/31
27119	HK Bank – HSCEI European Warrants Dec 2014 F	2014/12/19	2014/12/31
20817	HK Bank – HSI European Put Warrants Dec 2014 A	2014/12/19	2014/12/31
20818	HK Bank – HSI European Put Warrants Dec 2014 B	2014/12/19	2014/12/31
13850	HK Bank – HSI European Put Warrants Dec 2014 C	2014/12/19	2014/12/31
27105	HK Bank – HSI European Put Warrants Dec 2014 F	2014/12/19	2014/12/31
29022	HK Bank – HSI European Put Warrants Dec 2014 H	2014/12/19	2014/12/31
29340	HK Bank – HSI European Put Warrants Dec 2014 I	2014/12/19	2014/12/31
15096	HK Bank – HSI European Warrants Dec 2014	2014/12/19	2014/12/31
25683	HK Bank – HSI European Warrants Dec 2014 D	2014/12/19	2014/12/31
27087	HK Bank – HSI European Warrants Dec 2014 E	2014/12/19	2014/12/31
27932	HK Bank – HSI European Warrants Dec 2014 G	2014/12/19	2014/12/31
16506	HK Bank – HSI European Warrants Dec 2014 J	2014/12/19	2014/12/31
14606	HK Bank – Tencent European Warrants Dec 2014 A	2014/12/19	2014/12/31
15834	J P Morgan SP BV – BJ Ent Water European Warrants Dec 2014 A	2014/12/19	2014/12/31
13496	J P Morgan SP BV – CNOOC European Warrants Dec 2014 B	2014/12/19	2014/12/31
14119	J P Morgan SP BV – Esprit European Wts Dec 2014 A	2014/12/19	2014/12/31
13164	J P Morgan SP BV – HSBC European Warrants Dec 2014 D	2014/12/19	2014/12/31
26415	J P Morgan SP BV – HSI European Put Warrants Dec 2014 C	2014/12/19	2014/12/31
26451	J P Morgan SP BV – HSI European Put Warrants Dec 2014 D	2014/12/19	2014/12/31
26461	J P Morgan SP BV – HSI European Put Warrants Dec 2014 E	2014/12/19	2014/12/31
29308	J P Morgan SP BV – HSI European Put Warrants Dec 2014 G	2014/12/19	2014/12/31
21352	J P Morgan SP BV – HSI European Warrants Dec 2014 A	2014/12/19	2014/12/31
22348	J P Morgan SP BV – HSI European Warrants Dec 2014 B	2014/12/19	2014/12/31
28037	J P Morgan SP BV – HSI European Warrants Dec 2014 F	2014/12/19	2014/12/31
15816	J P Morgan SP BV – ICBC European Warrants Dec 2014 A	2014/12/19	2014/12/31
12994	J P Morgan SP BV – Sihuan Pharm European Warrants Dec 2014 A	2014/12/19	2014/12/31
16538	J P Morgan SP BV – Wynn Macau European Warrants Dec 2014 A	2014/12/19	2014/12/31
11961	Macquarie Bank Ltd. – BOCHK European Warrants Dec 2014 A	2014/12/19	2014/12/31
15012	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Dec 2014 A	2014/12/19	2014/12/31
12650	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2014 A	2014/12/19	2014/12/31
25176	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2014 B	2014/12/19	2014/12/31
28064	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2014 C	2014/12/19	2014/12/31
15009	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2014 A	2014/12/19	2014/12/31
15005	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 14 A	2014/12/19	2014/12/31
12890	Nomura Int'l plc – AIA European Warrants Dec 2014 A	2014/12/19	2014/12/31
16842	Nomura Int'l plc – Brilliance Chi European Warrants Dec 14 A	2014/12/19	2014/12/31
26875	Nomura Int'l plc – BYD European Warrants Dec 2014 A	2014/12/19	2014/12/31
26684	Nomura Int'l plc – Ch Comm Cons European Warrants Dec 2014 A	2014/12/19	2014/12/31
15929	Nomura Int'l plc – Ch Overseas European Warrants Dec 2014 B	2014/12/19	2014/12/31
15355	Nomura Int'l plc – China Life European Warrants Dec 2014 A	2014/12/19	2014/12/31
16848	Nomura Int'l plc – China Unicom European Warrants Dec 2014 A	2014/12/19	2014/12/31
26900	Nomura Int'l plc – CM Bank European Warrants Dec 2014 A	2014/12/19	2014/12/31
26627	Nomura Int'l plc – HSBC European Warrants Dec 2014 B	2014/12/19	2014/12/31
26642	Nomura Int'l plc – Hutchison European Put Warrants Dec 2014B	2014/12/19	2014/12/31
26640	Nomura Int'l plc – Hutchison European Warrants Dec 2014 A	2014/12/19	2014/12/31
26691	Nomura Int'l plc – Kingdee Intl European Warrants Dec 2014 A	2014/12/19	2014/12/31
13442	Nomura Int'l plc – Ping An European Warrants Dec 2014 A	2014/12/19	2014/12/31

List of expired derivative warrants, 2014 (continued)

Code	Warrant	Last trading date	Delisted date
26851	Nomura Int'l plc – Sinopec European Warrants Dec 2014 A	2014/12/19	2014/12/31
25103	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2014 A	2014/12/19	2014/12/31
25114	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 14 B	2014/12/19	2014/12/31
28253	Standard Chartered Bank – AIA European Warrants Dec 2014 A	2014/12/19	2014/12/31
28594	Standard Chartered Bank – Esprit European Put Wts Dec 2014 A	2014/12/19	2014/12/31
14166	Standard Chartered Bank – Esprit European Wts Dec 2014 B	2014/12/19	2014/12/31
22604	Standard Chartered Bank – HSBC European Warrants Dec 2014 A	2014/12/19	2014/12/31
14700	Standard Chartered Bank – HSCEI European Put Wts Dec 2014 C	2014/12/19	2014/12/31
27563	Standard Chartered Bank – HSCEI European Warrants Dec 2014 A	2014/12/19	2014/12/31
13029	Standard Chartered Bank – HSCEI European Warrants Dec 2014 B	2014/12/19	2014/12/31
27798	Standard Chartered Bank – HSI European Put Wts Dec 2014 B	2014/12/19	2014/12/31
28470	Standard Chartered Bank – HSI European Put Wts Dec 2014 C	2014/12/19	2014/12/31
28559	Standard Chartered Bank – HSI European Put Wts Dec 2014 D	2014/12/19	2014/12/31
26266	Standard Chartered Bank – HSI European Warrants Dec 2014 A	2014/12/19	2014/12/31
13505	Standard Chartered Bank – HSI European Warrants Dec 2014 E	2014/12/19	2014/12/31
16250	Standard Chartered Bank – ICBC European Warrants Dec 2014 A	2014/12/19	2014/12/31
29857	Standard Chartered Bank – Ping An European Wts Dec 2014 C	2014/12/19	2014/12/31
15631	Standard Chartered Bank – Zijin Mining Euro Wts Dec 2014 A	2014/12/19	2014/12/31
14743	UBS AG – HSCEI European Put Warrants Dec 2014 B	2014/12/19	2014/12/31
25412	UBS AG – HSCEI European Put Warrants Dec 2014 D	2014/12/19	2014/12/31
27998	UBS AG – HSCEI European Warrants Dec 2014 A	2014/12/19	2014/12/31
18230	UBS AG – HSCEI European Warrants Dec 2014 C	2014/12/19	2014/12/31
24784	UBS AG – HSI European Put Warrants Dec 2014 B	2014/12/19	2014/12/31
27857	UBS AG – HSI European Put Warrants Dec 2014 E	2014/12/19	2014/12/31
27872	UBS AG – HSI European Put Warrants Dec 2014 F	2014/12/19	2014/12/31
29280	UBS AG – HSI European Put Warrants Dec 2014 G	2014/12/19	2014/12/31
14733	UBS AG – HSI European Warrants Dec 2014 A	2014/12/19	2014/12/31
25576	UBS AG – HSI European Warrants Dec 2014 C	2014/12/19	2014/12/31
25680	UBS AG – HSI European Warrants Dec 2014 D	2014/12/19	2014/12/31

Market value and trading for derivative warrants, 2010 – 2014

Year/Month	Number*	Market value* (HK\$ mil.)	Turnover value (HK\$ mil.)	(%) of market total
2010	5,148	142,445.77	2,692,462.26	15.77
2011	4,027	75,484.38	2,629,886.30	15.39
2012	3,747	105,257.03	1,646,440.78	12.41
2013	4,715	95,733.93	1,783,314.68	11.74
2014	4,938	146,741.31	2,044,512.26	12.03
2014 Jan	4,953	83,578.78	144,533.41	10.31
2014 Feb	5,134	96,670.99	137,177.86	11.20
2014 Mar	5,335	76,633.31	149,977.91	10.16
2014 Apr	5,263	65,267.31	138,809.58	10.69
2014 May	5,327	72,901.89	122,600.81	11.15
2014 Jun	5,054	67,588.71	122,836.12	12.04
2014 Jul	4,780	107,650.24	178,636.51	12.62
2014 Aug	4,819	106,800.04	225,345.24	14.99
2014 Sep	4,794	76,683.23	222,650.76	14.11
2014 Oct	4,662	76,406.99	204,231.84	14.68
2014 Nov	4,805	86,582.00	203,944.26	13.14
2014 Dec	4,938	146,741.31	193,767.96	9.56

* Month-end or year-end figures.

Newly listed callable bull/bear contracts

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65168	Credit Suisse AG	HSI R Bull CBBC Nov 2014 J	0.250	21,488 per 20,000 units	21,788 per 20,000 units	2014/11/27	300,000,000	2014/01/02
65176	Credit Suisse AG	HSI R Bull CBBC Nov 2014 K	0.250	21,888 per 20,000 units	22,188 per 20,000 units	2014/11/27	300,000,000	2014/01/02
65236	Credit Suisse AG	HSI R Bull CBBC Nov 2014 L	0.250	22,772 per 10,000 units	22,972 per 10,000 units	2014/11/27	300,000,000	2014/01/02
65237	Credit Suisse AG	HSI R Bull CBBC Nov 2014 M	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/11/27	300,000,000	2014/01/02
65238	Credit Suisse AG	HSI R Bull CBBC Nov 2014 N	0.250	22,538 per 10,000 units	22,738 per 10,000 units	2014/11/27	300,000,000	2014/01/02
65184	Credit Suisse AG	HSI R Bear CBBC May 2014 M	0.250	23,013 per 10,000 units	22,813 per 10,000 units	2014/05/29	300,000,000	2014/01/02
65188	Credit Suisse AG	HSI R Bear CBBC May 2014 N	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/05/29	300,000,000	2014/01/02
65189	Credit Suisse AG	HSI R Bear CBBC May 2014 O	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/05/29	300,000,000	2014/01/02
65180	Credit Suisse AG	HSI R Bear CBBC Aug 2014 C	0.250	24,288 per 20,000 units	23,988 per 20,000 units	2014/08/28	300,000,000	2014/01/02
65181	Credit Suisse AG	HSI R Bear CBBC Aug 2014 D	0.250	25,088 per 20,000 units	24,788 per 20,000 units	2014/08/28	300,000,000	2014/01/02
65254	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jul14A	0.250	85.50 per 100 units	83 per 100 units	2014/07/02	80,000,000	2014/01/02
65258	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jul14B	0.250	88 per 100 units	85.50 per 100 units	2014/07/02	80,000,000	2014/01/02
65100	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Jul14A	0.250	8.7	9	2014/07/10	100,000,000	2014/01/02
65087	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Aug14A	0.250	8.4	8.7	2014/08/08	100,000,000	2014/01/02
65103	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Jul14A	0.250	9.9	9.6	2014/07/10	100,000,000	2014/01/02
65105	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Aug14A	0.250	10.2	9.9	2014/08/08	100,000,000	2014/01/02
65080	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Aug 14 A	0.250	8.2	8.5	2014/08/08	100,000,000	2014/01/02
65074	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Jul 14 A	0.250	9.9	9.6	2014/07/10	100,000,000	2014/01/02
65084	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Jul 14 B	0.250	10.2	9.9	2014/07/10	100,000,000	2014/01/02
65081	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Aug 14 A	0.250	10.5	10.2	2014/08/08	100,000,000	2014/01/02
65241	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Jun 2014 B	0.250	110 per 100 units	115 per 100 units	2014/06/16	50,000,000	2014/01/02
65242	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jun 2014 C	0.250	140 per 100 units	135 per 100 units	2014/06/16	50,000,000	2014/01/02
65250	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Jul 2014 A	0.250	51 per 100 units	55 per 100 units	2014/07/02	80,000,000	2014/01/02
65252	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Jul 2014 B	0.250	56 per 100 units	60 per 100 units	2014/07/02	80,000,000	2014/01/02
65243	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Aug14A	0.250	52 per 100 units	55 per 100 units	2014/08/18	60,000,000	2014/01/02
65244	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Aug14A	0.250	72 per 100 units	69 per 100 units	2014/08/18	60,000,000	2014/01/02
65247	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Aug14B	0.250	75 per 100 units	72 per 100 units	2014/08/18	60,000,000	2014/01/02
65111	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 A	0.251	21,838 per 20,000 units	22,138 per 20,000 units	2014/04/29	150,000,000	2014/01/02
65239	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 B	0.250	22,688 per 7,000 units	22,888 per 7,000 units	2014/04/29	150,000,000	2014/01/02
65240	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 C	0.253	22,588 per 7,000 units	22,788 per 7,000 units	2014/04/29	150,000,000	2014/01/02
65106	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 A	0.250	23,538 per 20,000 units	23,238 per 20,000 units	2014/04/29	150,000,000	2014/01/02
65122	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 B	0.251	23,188 per 7,000 units	22,988 per 7,000 units	2014/04/29	150,000,000	2014/01/02
65145	HK Bank	China Life R Bear CBBC Nov 2014 B	0.250	25.38	24.38	2014/11/10	50,000,000	2014/01/02
65149	HK Bank	A50 R Bull CBBC Nov 2014 C	0.250	8.23	8.53	2014/11/13	200,000,000	2014/01/02
65159	HK Bank	A50 R Bull CBBC Jan 2015 A	0.250	8.03	8.33	2015/01/26	200,000,000	2014/01/02
65158	HK Bank	A50 R Bear CBBC Nov 2014 A	0.250	10.18	9.88	2014/11/10	50,000,000	2014/01/02
65124	HK Bank	Galaxy Ent R Bear CBBC Oct 2014 C	0.250	71.77 per 100 units	69.27 per 100 units	2014/10/27	40,000,000	2014/01/02
65160	HK Bank	HSI R Bull CBBC Jul 2014 N	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/07/30	100,000,000	2014/01/02
65161	HK Bank	HSI R Bear CBBC Jul 2014 L	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/07/30	100,000,000	2014/01/02
65126	HK Bank	Ping An R Bear CBBC Nov 2014 A	0.250	78.88 per 100 units	74.88 per 100 units	2014/11/10	40,000,000	2014/01/02
65152	HK Bank	Sands China R Bear CBBC Nov 2014 B	0.250	66.28 per 100 units	63.28 per 100 units	2014/11/17	50,000,000	2014/01/02
65206	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 O	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2014/01/02

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65207	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Q	0.250	22,770 per 12,000 units	22,970 per 12,000 units	2014/11/27	300,000,000	2014/01/02
65208	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 F	0.250	22,772 per 15,000 units	22,972 per 15,000 units	2014/11/27	100,000,000	2014/01/02
65209	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14C	0.250	22,738 per 10,000 units	22,838 per 10,000 units	2014/06/27	200,000,000	2014/01/02
65190	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14L	0.250	22,938 per 10,000 units	22,838 per 10,000 units	2014/04/29	200,000,000	2014/01/02
65200	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14M	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/04/29	200,000,000	2014/01/02
65203	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14N	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/04/29	200,000,000	2014/01/02
65216	UBS AG	China Mobile R Bull CBBC Oct 2014 D	0.250	75 per 100 units	77.50 per 100 units	2014/10/20	100,000,000	2014/01/02
65225	UBS AG	CSOP A50 ETF R Bull CBBC Jul 2014 B	0.250	8.2	8.5	2014/07/07	100,000,000	2014/01/02
65217	UBS AG	A50 China R Bull CBBC Dec 2014 A	0.250	7.7	8	2014/12/29	100,000,000	2014/01/02
65220	UBS AG	A50 China R Bull CBBC Dec 2014 B	0.250	8.7	9	2014/12/22	100,000,000	2014/01/02
65233	UBS AG	HSI R Bull CBBC Sep 2014 W	0.250	22,772 per 10,000 units	22,972 per 10,000 units	2014/09/29	300,000,000	2014/01/02
65226	UBS AG	HSI R Bull CBBC Dec 2014 H	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/12/30	300,000,000	2014/01/02
65204	UBS AG	HSI R Bear CBBC May 2014 I	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/05/29	300,000,000	2014/01/02
65205	UBS AG	HSI R Bear CBBC May 2014 J	0.250	23,013 per 12,000 units	22,813 per 12,000 units	2014/05/29	350,000,000	2014/01/02
65293	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/01/03
65294	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 R	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2014/01/03
65287	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 P	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/06/27	300,000,000	2014/01/03
65291	Credit Suisse AG	HSI R Bull CBBC Sep 2014 P	0.250	22,979 per 10,000 units	23,179 per 10,000 units	2014/09/29	300,000,000	2014/01/03
65288	Credit Suisse AG	HSI R Bull CBBC Sep 2014 R	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/09/29	300,000,000	2014/01/03
65289	Credit Suisse AG	HSI R Bull CBBC Sep 2014 S	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2014/01/03
65280	Credit Suisse AG	HSI R Bear CBBC Jun 2014 D	0.250	23,538 per 10,000 units	23,338 per 10,000 units	2014/06/27	300,000,000	2014/01/03
65281	Credit Suisse AG	HSI R Bear CBBC Jun 2014 E	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/06/27	300,000,000	2014/01/03
65282	Credit Suisse AG	HSI R Bear CBBC Jun 2014 F	0.250	23,122 per 12,000 units	22,922 per 12,000 units	2014/06/27	300,000,000	2014/01/03
65297	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 J	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/09/29	300,000,000	2014/01/03
65298	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Y	0.250	22,975 per 10,000 units	23,175 per 10,000 units	2014/10/30	300,000,000	2014/01/03
65274	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 V	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2014/01/03
65276	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 W	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2014/01/03
65296	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 X	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2014/04/29	300,000,000	2014/01/03
65267	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 O	0.250	23,200 per 18,000 units	23,000 per 18,000 units	2014/06/27	100,000,000	2014/01/03
65273	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 14H	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/07/30	200,000,000	2014/01/03
65295	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 14Q	0.250	23,038 per 10,000 units	23,138 per 10,000 units	2014/07/30	200,000,000	2014/01/03
65292	UBS AG	HSI R Bull CBBC Oct 2014 E	0.250	22,979 per 10,000 units	23,179 per 10,000 units	2014/10/30	300,000,000	2014/01/03
65283	UBS AG	HSI R Bear CBBC Apr 2014 T	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2014/01/03
65284	UBS AG	HSI R Bear CBBC May 2014 K	0.250	23,122 per 12,000 units	22,922 per 12,000 units	2014/05/29	350,000,000	2014/01/03
65286	UBS AG	Ping An R Bear CBBC Apr 2014 E	0.250	79 per 100 units	75 per 100 units	2014/04/14	100,000,000	2014/01/03
63373 ^a	HK Bank	HSI R Bear CBBC Jul 2014 A	0.189	25,688 per 15,000 units	25,488 per 15,000 units	2014/07/30	200,000,000	2014/01/03
65349	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 S	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/01/06
65329	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/06/27	300,000,000	2014/01/06
65355	Credit Suisse AG	HSI R Bull CBBC Jul 2014 O	0.250	23,046 per 10,000 units	23,246 per 10,000 units	2014/07/30	300,000,000	2014/01/06
65350	Credit Suisse AG	HSI R Bull CBBC Jul 2014 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/07/30	300,000,000	2014/01/06
65352	Credit Suisse AG	HSI R Bull CBBC Jul 2014 R	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/07/30	300,000,000	2014/01/06
65328	Credit Suisse AG	HSI R Bear CBBC Apr 2014 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2014/01/06
65324	Credit Suisse AG	HSI R Bear CBBC Apr 2014 M	0.250	23,380 per 12,000 units	23,180 per 12,000 units	2014/04/29	300,000,000	2014/01/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65361	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 A	0.261	22,738 per 20,000 units	23,038 per 20,000 units	2014/05/29	150,000,000	2014/01/06
65362	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 B	0.259	22,538 per 20,000 units	22,838 per 20,000 units	2014/05/29	150,000,000	2014/01/06
65363	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 C	0.253	22,338 per 20,000 units	22,638 per 20,000 units	2014/05/29	150,000,000	2014/01/06
65370	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 D	0.256	22,988 per 7,000 units	23,188 per 7,000 units	2014/05/29	150,000,000	2014/01/06
65375	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 E	0.256	22,788 per 7,000 units	22,988 per 7,000 units	2014/05/29	150,000,000	2014/01/06
65305	HK Bank	ABC R Bear CBBC Oct 2014 A	0.250	4.28	4.03	2014/10/27	40,000,000	2014/01/06
65302	HK Bank	COVS R Bear CBBC Nov 2014 A	0.280	24.48	23.48	2014/11/25	40,000,000	2014/01/06
65303	HK Bank	Cheung Kong R Bear CBBC Nov 2014 A	0.250	139.88 per 100 units	133.88 per 100 units	2014/11/24	50,000,000	2014/01/06
65300	HK Bank	HSCEI R Bull CBBC Jul 2014 F	0.250	10,388 per 10,000 units	10,588 per 10,000 units	2014/07/30	100,000,000	2014/01/06
65316	HK Bank	HSCEI R Bull CBBC Sep 2014 B	0.250	10,238 per 10,000 units	10,438 per 10,000 units	2014/09/29	100,000,000	2014/01/06
65299	HK Bank	HSCEI R Bull CBBC Oct 2014 A	0.250	9,788 per 10,000 units	9,988 per 10,000 units	2014/10/30	100,000,000	2014/01/06
65310	HK Bank	HSI R Bull CBBC Aug 2014 J	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/08/28	100,000,000	2014/01/06
65309	HK Bank	HSI R Bull CBBC Sep 2014 D	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2014/09/29	100,000,000	2014/01/06
65308	HK Bank	ICBC R Bear CBBC Sep 2014 A	0.250	5.68	5.43	2014/09/29	40,000,000	2014/01/06
65306	HK Bank	ICBC R Bear CBBC Oct 2014 B	0.250	5.98	5.73	2014/10/27	40,000,000	2014/01/06
65379	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 K	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2014/01/06
65376	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 R	0.250	23,025 per 10,000 units	23,225 per 10,000 units	2014/11/27	300,000,000	2014/01/06
65348	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 Y	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2014/01/06
65342	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 14I	0.250	22,938 per 10,000 units	23,038 per 10,000 units	2014/06/27	200,000,000	2014/01/06
65341	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 14O	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/06/27	200,000,000	2014/01/06
65336	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 14O	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/04/29	200,000,000	2014/01/06
65317	UBS AG	HSCEI R Bull CBBC Sep 2014 D	0.250	10,150 per 10,000 units	10,350 per 10,000 units	2014/09/29	100,000,000	2014/01/06
65360	UBS AG	HSI R Bull CBBC Sep 2014 S	0.250	23,046 per 10,000 units	23,246 per 10,000 units	2014/09/29	300,000,000	2014/01/06
65358	UBS AG	HSI R Bull CBBC Dec 2014 I	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/01/06
65321	UBS AG	HSI R Bear CBBC Apr 2014 X	0.250	23,380 per 10,000 units	23,180 per 10,000 units	2014/04/29	300,000,000	2014/01/06
65318	UBS AG	HSI R Bear CBBC May 2014 L	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/05/29	300,000,000	2014/01/06
65408	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 R	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/06/27	300,000,000	2014/01/07
65416	Credit Suisse AG	HSI R Bull CBBC Sep 2014 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2014/01/07
65417	Credit Suisse AG	HSI R Bull CBBC Sep 2014 U	0.250	23,061 per 12,000 units	23,261 per 12,000 units	2014/09/29	300,000,000	2014/01/07
65409	Credit Suisse AG	HSI R Bear CBBC May 2014 P	0.250	23,444 per 12,000 units	23,244 per 12,000 units	2014/05/29	300,000,000	2014/01/07
65410	Credit Suisse AG	HSI R Bear CBBC May 2014 Q	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/05/29	300,000,000	2014/01/07
65434	Credit Suisse AG	HSI R Bear CBBC May 2014 R	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2014/05/29	300,000,000	2014/01/07
65397	HK Bank	China Life R Bull CBBC Aug 2014 B	0.250	22.38	23.38	2014/08/18	60,000,000	2014/01/07
65395	HK Bank	CNBM R Bear CBBC Oct 2014 B	0.250	9.68	8.88	2014/10/27	50,000,000	2014/01/07
65386	HK Bank	ICBC R Bull CBBC Oct 2014 A	0.250	4.78	5.03	2014/10/13	80,000,000	2014/01/07
65385	HK Bank	ICBC R Bull CBBC Dec 2014 A	0.250	4.58	4.83	2014/12/01	80,000,000	2014/01/07
65398	HK Bank	TCH R Bull CBBC Sep 2014 I	0.280	458.88 per 100 units	468.88 per 100 units	2014/09/08	50,000,000	2014/01/07
65438	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 S	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/11/27	300,000,000	2014/01/07
65435	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 Z	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2014/01/07
65380	Standard Chartered Bank	A50 China R Bull CBBC Jun 2014 A	0.250	8.5	8.8	2014/06/27	100,000,000	2014/01/07
65381	Standard Chartered Bank	A50 China R Bear CBBC Jun 2014 A	0.250	10.3	10	2014/06/27	100,000,000	2014/01/07
65407	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 G	0.250	21,300 per 20,000 units	21,500 per 20,000 units	2014/11/27	100,000,000	2014/01/07
65415	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 H	0.250	23,050 per 18,000 units	23,250 per 18,000 units	2014/11/27	100,000,000	2014/01/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65405	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 P	0.250	23,500 per 20,000 units	23,300 per 20,000 units	2014/06/27	100,000,000	2014/01/07
65401	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 14R	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/07/30	200,000,000	2014/01/07
65403	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 14P	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/04/29	200,000,000	2014/01/07
65418	UBS AG	China Life R Bear CBBC Apr 2014 B	0.250	25.88	24.88	2014/04/07	100,000,000	2014/01/07
65419	UBS AG	A50 China R Bear CBBC May 2014 C	0.250	10.3	10	2014/05/19	100,000,000	2014/01/07
65432	UBS AG	HSI R Bull CBBC Jun 2014 X	0.250	23,061 per 12,000 units	23,261 per 12,000 units	2014/06/27	350,000,000	2014/01/07
65431	UBS AG	HSI R Bull CBBC Dec 2014 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	300,000,000	2014/01/07
65413	UBS AG	HSI R Bear CBBC Apr 2014 F	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2014/01/07
65414	UBS AG	HSI R Bear CBBC May 2014 M	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/05/29	300,000,000	2014/01/07
65423	UBS AG	Sands China R Bull CBBC Aug 2014 D	0.250	57 per 100 units	60 per 100 units	2014/08/04	100,000,000	2014/01/07
65426	UBS AG	TCH R Bull CBBC Jun 2014 O	0.300	465 per 100 units	480 per 100 units	2014/06/18	50,000,000	2014/01/07
65420	UBS AG	TCH R Bear CBBC Apr 2014 D	1.100	595 per 100 units	580 per 100 units	2014/04/28	30,000,000	2014/01/07
65472	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 T	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/09/29	300,000,000	2014/01/08
65476	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 U	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/01/08
65468	Credit Suisse AG	HSI R Bull CBBC Oct 2014 B	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2014/01/08
65463	Credit Suisse AG	HSI R Bull CBBC Oct 2014 D	0.250	23,106 per 10,000 units	23,306 per 10,000 units	2014/10/30	300,000,000	2014/01/08
65452	Credit Suisse AG	HSI R Bear CBBC May 2014 S	0.250	23,445 per 10,000 units	23,245 per 10,000 units	2014/05/29	300,000,000	2014/01/08
65461	Credit Suisse AG	HSI R Bear CBBC May 2014 T	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/05/29	300,000,000	2014/01/08
65488	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 M	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/01/08
65486	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 D	0.250	23,550 per 12,000 units	23,350 per 12,000 units	2014/04/29	300,000,000	2014/01/08
65441	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 Q	0.250	23,438 per 10,000 units	23,338 per 10,000 units	2014/04/29	200,000,000	2014/01/08
65481	UBS AG	HSI R Bull CBBC Dec 2014 K	0.250	23,106 per 10,000 units	23,306 per 10,000 units	2014/12/30	300,000,000	2014/01/08
65448	UBS AG	HSI R Bear CBBC Apr 2014 D	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2014/01/08
65450	UBS AG	HSI R Bear CBBC May 2014 N	0.250	23,445 per 12,000 units	23,245 per 12,000 units	2014/05/29	350,000,000	2014/01/08
65504	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/06/27	300,000,000	2014/01/09
65518	Credit Suisse AG	HSI R Bull CBBC Aug 2014 I	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/08/28	300,000,000	2014/01/09
65579	Credit Suisse AG	HSI R Bull CBBC Aug 2014 R	0.250	23,140 per 10,000 units	23,340 per 10,000 units	2014/08/28	300,000,000	2014/01/09
65562	Credit Suisse AG	HSI R Bear CBBC Jun 2014 G	0.250	23,488 per 12,000 units	23,288 per 12,000 units	2014/06/27	300,000,000	2014/01/09
65563	Credit Suisse AG	HSI R Bear CBBC Jun 2014 H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/06/27	300,000,000	2014/01/09
65522	HK Bank	AIA R Bull CBBC Oct 2014 A	0.350	36.08	37.08	2014/10/24	60,000,000	2014/01/09
65521	HK Bank	China Mobile R Bull CBBC Oct 2014 E	0.250	75.78 per 100 units	78.28 per 100 units	2014/10/17	120,000,000	2014/01/09
65528	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 B	0.250	65.77 per 100 units	68.27 per 100 units	2014/10/24	50,000,000	2014/01/09
65533	HK Bank	HKEx R Bear CBBC Oct 2014 A	0.250	145.88 per 100 units	140.88 per 100 units	2014/10/17	50,000,000	2014/01/09
65498	HK Bank	HSI R Bull CBBC Sep 2014 E	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2014/09/29	100,000,000	2014/01/09
65499	HK Bank	HSI R Bull CBBC Sep 2014 F	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2014/09/29	100,000,000	2014/01/09
65501	HK Bank	HSI R Bear CBBC Oct 2014 A	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2014/10/30	100,000,000	2014/01/09
65529	HK Bank	Ping An R Bull CBBC Oct 2014 A	0.250	63.88 per 100 units	67.88 per 100 units	2014/10/24	60,000,000	2014/01/09
65519	HK Bank	TCH R Bull CBBC Aug 2014 F	0.350	468 per 100 units	478 per 100 units	2014/08/15	50,000,000	2014/01/09
65520	HK Bank	TCH R Bull CBBC Aug 2014 G	0.250	478 per 100 units	488 per 100 units	2014/08/15	50,000,000	2014/01/09
65586	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2014/01/09
65580	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 B	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2014/01/09
65582	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 N	0.250	23,650 per 12,000 units	23,450 per 12,000 units	2014/04/29	300,000,000	2014/01/09
65566	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 A	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	100,000,000	2014/01/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65567	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 A	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/09/29	100,000,000	2014/01/09
65589	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 I	0.250	23,140 per 20,000 units	23,340 per 20,000 units	2014/11/27	100,000,000	2014/01/09
65515	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 Q	0.250	23,600 per 15,000 units	23,400 per 15,000 units	2014/06/27	100,000,000	2014/01/09
65516	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 R	0.250	23,700 per 18,000 units	23,500 per 18,000 units	2014/06/27	100,000,000	2014/01/09
65534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 R	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/04/29	200,000,000	2014/01/09
65551	UBS AG	China Mobile R Bull CBBC Oct 2014 E	0.250	76.50 per 100 units	79 per 100 units	2014/10/13	100,000,000	2014/01/09
65548	UBS AG	China Life R Bull CBBC Jul 2014 G	0.285	20.88	21.88	2014/07/21	50,000,000	2014/01/09
65550	UBS AG	Minsheng Bank R Bull CBBC Oct 2014 A	0.250	7.5	8	2014/10/13	50,000,000	2014/01/09
65544	UBS AG	CSOP A50 ETF R Bear CBBC May 2014 A	0.250	10.3	10	2014/05/26	50,000,000	2014/01/09
65559	UBS AG	Galaxy Ent R Bull CBBC Aug 2014 A	0.250	65 per 100 units	67.50 per 100 units	2014/08/18	100,000,000	2014/01/09
65561	UBS AG	HSBC R Bear CBBC Jul 2014 A	0.250	93 per 100 units	90 per 100 units	2014/07/07	50,000,000	2014/01/09
65542	UBS AG	HSCEI R Bear CBBC May 2014 E	0.250	11,950 per 10,000 units	11,750 per 10,000 units	2014/05/29	100,000,000	2014/01/09
65569	UBS AG	HSI R Bull CBBC Oct 2014 G	0.250	23,140 per 10,000 units	23,340 per 10,000 units	2014/10/30	300,000,000	2014/01/09
65541	UBS AG	HSI R Bear CBBC Apr 2014 E	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/04/29	300,000,000	2014/01/09
65539	UBS AG	HSI R Bear CBBC May 2014 O	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/05/29	300,000,000	2014/01/09
65568	UBS AG	HSI R Bear CBBC Jun 2014 C	0.250	23,460 per 12,000 units	23,260 per 12,000 units	2014/06/27	350,000,000	2014/01/09
65560	UBS AG	Huthison R Bull CBBC Sep 2014 A	0.250	97 per 100 units	100 per 100 units	2014/09/22	50,000,000	2014/01/09
65558	UBS AG	Kunlun Energy R Bull CBBC Jun 2014 C	0.250	12.2	13	2014/06/30	50,000,000	2014/01/09
65549	UBS AG	Ping An R Bull CBBC Oct 2014 D	0.250	58.50 per 100 units	62.50 per 100 units	2014/10/06	100,000,000	2014/01/09
65556	UBS AG	TCH R Bull CBBC Aug 2014 B	0.445	455 per 100 units	470 per 100 units	2014/08/11	30,000,000	2014/01/09
62507*	HK Bank	HSI R Bull CBBC Mar 2014 F	0.039	22,188 per 15,000 units	22,388 per 15,000 units	2014/03/28	300,000,000	2014/01/09
65744	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 D	0.250	7.8	8.1	2014/12/30	200,000,000	2014/01/10
65746	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Dec 2014 A	0.250	10.3	10	2014/12/30	200,000,000	2014/01/10
65631	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 V	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2014/01/10
65632	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 W	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/01/10
65633	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 X	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2014/01/10
65747	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 Y	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2014/01/10
65731	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 T	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/06/27	300,000,000	2014/01/10
65733	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 U	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/06/27	300,000,000	2014/01/10
65801	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/01/10
65802	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 W	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/06/27	300,000,000	2014/01/10
65644	Credit Suisse AG	HSI R Bull CBBC Nov 2014 O	0.250	23,138 per 12,000 units	23,338 per 12,000 units	2014/11/27	300,000,000	2014/01/10
65650	Credit Suisse AG	HSI R Bull CBBC Nov 2014 P	0.250	22,988 per 10,000 units	23,188 per 10,000 units	2014/11/27	300,000,000	2014/01/10
65656	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Q	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/11/27	300,000,000	2014/01/10
65657	Credit Suisse AG	HSI R Bull CBBC Nov 2014 R	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	300,000,000	2014/01/10
65726	Credit Suisse AG	HSI R Bull CBBC Nov 2014 S	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/11/27	300,000,000	2014/01/10
65790	Credit Suisse AG	HSI R Bear CBBC Apr 2014 I	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65791	Credit Suisse AG	HSI R Bear CBBC Apr 2014 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65728	Credit Suisse AG	HSI R Bear CBBC Apr 2014 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65786	Credit Suisse AG	HSI R Bear CBBC Apr 2014 N	0.250	23,018 per 12,000 units	22,818 per 12,000 units	2014/04/29	300,000,000	2014/01/10
65800	Credit Suisse AG	HSI R Bear CBBC Apr 2014 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65811	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 A	0.252	22,588 per 7,000 units	22,788 per 7,000 units	2014/06/27	150,000,000	2014/01/10
65812	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 B	0.254	20,938 per 20,000 units	21,238 per 20,000 units	2014/06/27	150,000,000	2014/01/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65813	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 C	0.255	20,738 per 20,000 units	21,038 per 20,000 units	2014/06/27	150,000,000	2014/01/10
65681	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 D	0.253	23,288 per 7,000 units	23,088 per 7,000 units	2014/06/27	150,000,000	2014/01/10
65682	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 E	0.254	23,488 per 7,000 units	23,288 per 7,000 units	2014/06/27	150,000,000	2014/01/10
65685	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 F	0.254	23,438 per 20,000 units	23,138 per 20,000 units	2014/06/27	150,000,000	2014/01/10
65690	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 G	0.256	23,638 per 20,000 units	23,338 per 20,000 units	2014/06/27	150,000,000	2014/01/10
65693	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 H	0.257	24,938 per 20,000 units	24,638 per 20,000 units	2014/06/27	150,000,000	2014/01/10
65599	HK Bank	Cheung Kong R Bull CBBC Oct 2014 B	0.250	112.88 per 100 units	118.88 per 100 units	2014/10/15	60,000,000	2014/01/10
65597	HK Bank	CNOOC R Bull CBBC Oct 2014 B	0.250	13.18	13.98	2014/10/15	60,000,000	2014/01/10
65608	HK Bank	A50 R Bear CBBC Sep 2014 B	0.250	9.88	9.58	2014/09/19	200,000,000	2014/01/10
65667	HK Bank	HSCEI R Bear CBBC Jul 2014 D	0.250	11,288 per 10,000 units	11,088 per 10,000 units	2014/07/30	68,000,000	2014/01/10
65668	HK Bank	HSCEI R Bear CBBC Jul 2014 E	0.250	11,088 per 10,000 units	10,888 per 10,000 units	2014/07/30	68,000,000	2014/01/10
65671	HK Bank	HSCEI R Bear CBBC Jul 2014 F	0.250	10,888 per 10,000 units	10,688 per 10,000 units	2014/07/30	68,000,000	2014/01/10
65678	HK Bank	HSI R Bear CBBC Jul 2014 M	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2014/07/30	100,000,000	2014/01/10
65679	HK Bank	HSI R Bear CBBC Jul 2014 N	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/07/30	100,000,000	2014/01/10
65680	HK Bank	HSI R Bear CBBC Jul 2014 O	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2014/07/30	100,000,000	2014/01/10
65674	HK Bank	HSI R Bear CBBC Aug 2014 I	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2014/08/28	100,000,000	2014/01/10
65675	HK Bank	HSI R Bear CBBC Aug 2014 J	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/08/28	100,000,000	2014/01/10
65673	HK Bank	HSI R Bear CBBC Sep 2014 F	0.250	23,728 per 20,000 units	23,428 per 20,000 units	2014/09/29	100,000,000	2014/01/10
65607	HK Bank	Hutchison R Bull CBBC Oct 2014 C	0.250	99.88 per 100 units	102.88 per 100 units	2014/10/16	60,000,000	2014/01/10
65613	HK Bank	Lenovo R Bull CBBC Sep 2014 B	0.250	8.68	9.18	2014/09/15	60,000,000	2014/01/10
65614	HK Bank	SHK Ppt R Bear CBBC Sep 2014 A	0.250	108.88 per 100 units	104.88 per 100 units	2014/09/19	50,000,000	2014/01/10
65593	HK Bank	TCH R Bull CBBC Aug 2014 H	0.300	478 per 100 units	488 per 100 units	2014/08/29	50,000,000	2014/01/10
65596	HK Bank	TCH R Bull CBBC Aug 2014 I	0.250	488 per 100 units	498 per 100 units	2014/08/29	50,000,000	2014/01/10
65598	HK Bank	TCH R Bear CBBC Sep 2014 H	0.880	588 per 100 units	578 per 100 units	2014/09/16	50,000,000	2014/01/10
65602	HK Bank	TCH R Bear CBBC Sep 2014 I	0.980	598 per 100 units	588 per 100 units	2014/09/16	50,000,000	2014/01/10
65605	HK Bank	TCH R Bear CBBC Sep 2014 J	1.080	608 per 100 units	598 per 100 units	2014/09/16	50,000,000	2014/01/10
65606	HK Bank	TCH R Bear CBBC Sep 2014 K	1.180	618 per 100 units	608 per 100 units	2014/09/16	50,000,000	2014/01/10
65615	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 O	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2014/01/10
65616	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 D	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2014/01/10
65618	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 T	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2014/11/27	300,000,000	2014/01/10
65804	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 I	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/12/30	300,000,000	2014/01/10
65662	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65663	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65665	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 Q	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65627	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 I	0.250	22,950 per 18,000 units	23,150 per 18,000 units	2014/09/29	100,000,000	2014/01/10
65629	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 J	0.250	23,100 per 15,000 units	23,300 per 15,000 units	2014/09/29	100,000,000	2014/01/10
65660	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 K	0.250	22,688 per 20,000 units	22,888 per 20,000 units	2014/09/29	100,000,000	2014/01/10
65785	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 S	0.250	23,200 per 18,000 units	23,000 per 18,000 units	2014/06/27	100,000,000	2014/01/10
65620	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 S	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/07/30	200,000,000	2014/01/10
65624	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 T	0.250	23,038 per 10,000 units	23,138 per 10,000 units	2014/07/30	200,000,000	2014/01/10
65625	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 U	0.250	23,238 per 10,000 units	23,338 per 10,000 units	2014/07/30	200,000,000	2014/01/10
65694	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 A	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/11/27	200,000,000	2014/01/10
65710	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 S	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/04/29	200,000,000	2014/01/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65718	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 T	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/04/29	200,000,000	2014/01/10
65724	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 U	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/04/29	200,000,000	2014/01/10
65825	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 V	0.250	22,938 per 10,000 units	22,838 per 10,000 units	2014/04/29	200,000,000	2014/01/10
65753	UBS AG	AIA R Bull CBBC Oct 2014 C	0.250	36.88 per 100 units	37.88 per 100 units	2014/10/13	100,000,000	2014/01/10
65750	UBS AG	BOCL R Bull CBBC Oct 2014 A	0.250	3.12	3.3	2014/10/27	100,000,000	2014/01/10
65782	UBS AG	COVS R Bull CBBC Sep 2014 A	0.250	19.3	20.3	2014/09/15	50,000,000	2014/01/10
65783	UBS AG	Cheung Kong R Bull CBBC Aug 2014 A	0.250	99 per 100 units	105 per 100 units	2014/08/25	100,000,000	2014/01/10
65751	UBS AG	CM Bank R Bull CBBC Aug 2014 A	0.250	14.48	15.28	2014/08/04	50,000,000	2014/01/10
65752	UBS AG	CNBM R Bull CBBC Jun 2014 B	0.250	6.62	7.42	2014/06/23	50,000,000	2014/01/10
65779	UBS AG	CNOOC R Bear CBBC Aug 2014 A	0.250	15.9	15.1	2014/08/04	100,000,000	2014/01/10
65641	UBS AG	HSCEI R Bull CBBC Oct 2014 A	0.250	10,250 per 10,000 units	10,450 per 10,000 units	2014/10/30	100,000,000	2014/01/10
65749	UBS AG	HSCEI R Bear CBBC Apr 2014 F	0.250	10,950 per 10,000 units	10,750 per 10,000 units	2014/04/29	100,000,000	2014/01/10
65640	UBS AG	HSI R Bull CBBC Jul 2014 Q	0.250	23,125 per 12,000 units	23,325 per 12,000 units	2014/07/30	350,000,000	2014/01/10
65636	UBS AG	HSI R Bull CBBC Oct 2014 F	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/10/30	300,000,000	2014/01/10
65635	UBS AG	HSI R Bull CBBC Oct 2014 H	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2014/01/10
65637	UBS AG	HSI R Bull CBBC Nov 2014 G	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/11/27	300,000,000	2014/01/10
65748	UBS AG	HSI R Bull CBBC Dec 2014 L	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/12/30	300,000,000	2014/01/10
65814	UBS AG	HSI R Bear CBBC Apr 2014 O	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65817	UBS AG	HSI R Bear CBBC Apr 2014 Q	0.250	23,018 per 10,000 units	22,818 per 10,000 units	2014/04/29	300,000,000	2014/01/10
65816	UBS AG	HSI R Bear CBBC May 2014 P	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/05/29	300,000,000	2014/01/10
65938	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 E	0.250	7.5	7.8	2014/12/30	200,000,000	2014/01/13
65931	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 J	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/01/13
65936	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 O	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/01/13
66036	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 X	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2014/01/13
66040	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 Y	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2014/01/13
66044	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 Z	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/06/27	300,000,000	2014/01/13
65922	Credit Suisse AG	HSI R Bull CBBC Oct 2014 H	0.250	22,617 per 10,000 units	22,817 per 10,000 units	2014/10/30	300,000,000	2014/01/13
65928	Credit Suisse AG	HSI R Bull CBBC Oct 2014 I	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2014/01/13
65930	Credit Suisse AG	HSI R Bull CBBC Oct 2014 J	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/10/30	300,000,000	2014/01/13
65919	Credit Suisse AG	HSI R Bull CBBC Nov 2014 T	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/11/27	300,000,000	2014/01/13
65921	Credit Suisse AG	HSI R Bull CBBC Nov 2014 U	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/11/27	300,000,000	2014/01/13
66107	Credit Suisse AG	HSI R Bear CBBC May 2014 U	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/05/29	300,000,000	2014/01/13
66110	Credit Suisse AG	HSI R Bear CBBC May 2014 V	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/05/29	300,000,000	2014/01/13
66117	Credit Suisse AG	HSI R Bear CBBC May 2014 W	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/05/29	300,000,000	2014/01/13
66118	Credit Suisse AG	HSI R Bear CBBC May 2014 X	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/05/29	300,000,000	2014/01/13
66134	Credit Suisse AG	HSI R Bear CBBC May 2014 Y	0.250	22,881 per 10,000 units	22,681 per 10,000 units	2014/05/29	300,000,000	2014/01/13
66160	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jul14C	0.250	84.50 per 100 units	82 per 100 units	2014/07/14	80,000,000	2014/01/13
66169	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Sep 2014 A	0.250	5.08	5.38	2014/09/29	80,000,000	2014/01/13
66154	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Sep14A	0.250	8.1	8.4	2014/09/29	100,000,000	2014/01/13
66155	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Sep 14 A	0.250	9.6	9.3	2014/09/29	100,000,000	2014/01/13
66165	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Sep 2014 A	0.250	78 per 100 units	80.80 per 100 units	2014/09/29	80,000,000	2014/01/13
66151	Citigroup Global Mkt H Inc.	HSI R Bear CBBC May 2014 A	0.250	23,150 per 10,000 units	22,966 per 10,000 units	2014/05/29	150,000,000	2014/01/13
66152	Citigroup Global Mkt H Inc.	HSI R Bear CBBC May 2014 B	0.250	23,350 per 10,000 units	23,166 per 10,000 units	2014/05/29	150,000,000	2014/01/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66177	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Sep 2014 A	0.250	4.53	4.78	2014/09/29	80,000,000	2014/01/13
66156	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Jul 2014 A	0.250	76 per 100 units	72 per 100 units	2014/07/14	80,000,000	2014/01/13
66161	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Sep14A	0.250	57 per 100 units	60 per 100 units	2014/09/29	60,000,000	2014/01/13
66166	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Sep 2014 A	0.400	458 per 100 units	468 per 100 units	2014/09/29	50,000,000	2014/01/13
65986	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 D	0.254	22,388 per 7,000 units	22,588 per 7,000 units	2014/04/29	150,000,000	2014/01/13
65987	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 E	0.266	22,438 per 7,000 units	22,638 per 7,000 units	2014/04/29	150,000,000	2014/01/13
66018	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 F	0.263	20,538 per 20,000 units	20,838 per 20,000 units	2014/04/29	150,000,000	2014/01/13
65991	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 C	0.256	23,088 per 7,000 units	22,888 per 7,000 units	2014/04/29	150,000,000	2014/01/13
65992	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 D	0.253	22,938 per 7,000 units	22,738 per 7,000 units	2014/04/29	150,000,000	2014/01/13
65854	HK Bank	CM Bank R Bull CBBC Sep 2014 A	0.440	11.48	12.28	2014/09/19	40,000,000	2014/01/13
65851	HK Bank	Minsheng Bank R Bull CBBC Oct 2014 C	0.250	6.58	7.08	2014/10/10	40,000,000	2014/01/13
65847	HK Bank	Sinopec Corp R Bull CBBC Oct 2014 B	0.250	4.38	4.68	2014/10/24	80,000,000	2014/01/13
65965	HK Bank	HSI R Bear CBBC Jul 2014 P	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/07/30	100,000,000	2014/01/13
65944	HK Bank	HSI R Bear CBBC Aug 2014 K	0.250	22,988 per 15,000 units	22,788 per 15,000 units	2014/08/28	100,000,000	2014/01/13
65941	HK Bank	HSI R Bear CBBC Sep 2014 G	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/09/29	100,000,000	2014/01/13
65849	HK Bank	ICBC R Bull CBBC Sep 2014 B	0.250	4.23	4.48	2014/09/26	80,000,000	2014/01/13
65850	HK Bank	Kunlun Energy R Bull CBBC Sep 2014 C	0.250	11.68	12.48	2014/09/19	40,000,000	2014/01/13
65846	HK Bank	PetCh R Bull CBBC Oct 2014 B	0.250	6.18	6.58	2014/10/24	60,000,000	2014/01/13
65838	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 M	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/10/30	300,000,000	2014/01/13
65837	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 U	0.250	22,350 per 12,000 units	22,550 per 12,000 units	2014/11/27	300,000,000	2014/01/13
65844	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 V	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	300,000,000	2014/01/13
66136	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 R	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/13
66137	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 S	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2014/01/13
66138	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 T	0.250	22,885 per 10,000 units	22,685 per 10,000 units	2014/04/29	300,000,000	2014/01/13
65917	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 B	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/06/27	150,000,000	2014/01/13
65918	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 C	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	150,000,000	2014/01/13
65902	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 B	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/06/27	150,000,000	2014/01/13
65905	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 C	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/06/27	150,000,000	2014/01/13
65901	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 L	0.250	22,577 per 18,000 units	22,777 per 18,000 units	2014/09/29	100,000,000	2014/01/13
66019	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 M	0.250	22,438 per 20,000 units	22,638 per 20,000 units	2014/09/29	100,000,000	2014/01/13
66020	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 S	0.250	20,400 per 20,000 units	20,600 per 20,000 units	2014/12/30	100,000,000	2014/01/13
65899	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 T	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2014/06/27	100,000,000	2014/01/13
65900	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 U	0.250	23,088 per 18,000 units	22,888 per 18,000 units	2014/06/27	100,000,000	2014/01/13
65877	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 B	0.250	20,938 per 10,000 units	21,038 per 10,000 units	2014/11/27	200,000,000	2014/01/13
65878	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 C	0.250	21,038 per 10,000 units	21,138 per 10,000 units	2014/11/27	200,000,000	2014/01/13
65888	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 D	0.250	21,138 per 10,000 units	21,238 per 10,000 units	2014/11/27	200,000,000	2014/01/13
65890	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 E	0.250	21,838 per 10,000 units	21,938 per 10,000 units	2014/11/27	200,000,000	2014/01/13
65891	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 F	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/11/27	200,000,000	2014/01/13
65896	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 G	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/11/27	200,000,000	2014/01/13
66024	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 H	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2014/11/27	200,000,000	2014/01/13
65897	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 W	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/04/29	200,000,000	2014/01/13
65898	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 X	0.250	23,238 per 10,000 units	23,138 per 10,000 units	2014/04/29	200,000,000	2014/01/13
66028	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 Y	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2014/04/29	200,000,000	2014/01/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66031	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 Z	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/04/29	200,000,000	2014/01/13
66054	UBS AG	CC Bank R Bull CBBC Jul 2014 E	0.250	4.45	4.75	2014/07/14	100,000,000	2014/01/13
66082	UBS AG	Sinopec Corp R Bull CBBC Oct 2014 A	0.250	4.7	5	2014/10/06	50,000,000	2014/01/13
66059	UBS AG	HKEEx R Bull CBBC Sep 2014 C	0.250	116.50 per 100 units	122.50 per 100 units	2014/09/15	100,000,000	2014/01/13
65869	UBS AG	HSCEI R Bear CBBC Apr 2014 G	0.250	10,700 per 10,000 units	10,500 per 10,000 units	2014/04/29	100,000,000	2014/01/13
65863	UBS AG	HSCEI R Bear CBBC May 2014 F	0.250	10,850 per 10,000 units	10,650 per 10,000 units	2014/05/29	100,000,000	2014/01/13
65861	UBS AG	HSI R Bull CBBC Aug 2014 Q	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/01/13
65857	UBS AG	HSI R Bull CBBC Aug 2014 T	0.250	22,617 per 10,000 units	22,817 per 10,000 units	2014/08/28	300,000,000	2014/01/13
66096	UBS AG	HSI R Bear CBBC Apr 2014 B	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/13
66099	UBS AG	HSI R Bear CBBC Apr 2014 N	0.250	22,881 per 10,000 units	22,681 per 10,000 units	2014/04/29	300,000,000	2014/01/13
66097	UBS AG	HSI R Bear CBBC May 2014 Q	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/05/29	300,000,000	2014/01/13
66051	UBS AG	ICBC R Bull CBBC Aug 2014 A	0.250	4	4.25	2014/08/18	100,000,000	2014/01/13
66053	UBS AG	Lenovo R Bull CBBC Dec 2014 A	0.250	8.38	8.88	2014/12/15	50,000,000	2014/01/13
66049	UBS AG	Sands China R Bull CBBC Jul 2014 E	0.250	55 per 100 units	58 per 100 units	2014/07/14	100,000,000	2014/01/13
66092	UBS AG	SHK Ppt R Bull CBBC Jul 2014 A	0.250	81 per 100 units	85 per 100 units	2014/07/07	50,000,000	2014/01/13
66056	UBS AG	TCH R Bull CBBC Jul 2014 K	0.250	478 per 100 units	490 per 100 units	2014/07/07	40,000,000	2014/01/13
66055	UBS AG	TCH R Bear CBBC May 2014 D	0.830	582 per 100 units	570 per 100 units	2014/05/05	40,000,000	2014/01/13
66285	Credit Suisse AG	HSI R Bull CBBC Aug 2014 D	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/01/14
66291	Credit Suisse AG	HSI R Bull CBBC Aug 2014 F	0.250	22,562 per 10,000 units	22,762 per 10,000 units	2014/08/28	300,000,000	2014/01/14
66279	Credit Suisse AG	HSI R Bull CBBC Aug 2014 S	0.250	22,338 per 10,000 units	22,538 per 10,000 units	2014/08/28	300,000,000	2014/01/14
66246	Credit Suisse AG	HSI R Bear CBBC May 2014 E	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/05/29	300,000,000	2014/01/14
66247	Credit Suisse AG	HSI R Bear CBBC May 2014 F	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2014/05/29	300,000,000	2014/01/14
66245	Credit Suisse AG	HSI R Bear CBBC May 2014 Z	0.250	22,885 per 12,000 units	22,685 per 12,000 units	2014/05/29	300,000,000	2014/01/14
66297	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Sep 2014 A	0.250	35.5	36.5	2014/09/29	40,000,000	2014/01/14
66301	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Sep14 A	0.280	20	21	2014/09/29	50,000,000	2014/01/14
66300	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Sep14 A	0.250	65.50 per 100 units	68 per 100 units	2014/09/29	60,000,000	2014/01/14
66299	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Sep 14 A	0.250	95 per 100 units	98 per 100 units	2014/09/29	60,000,000	2014/01/14
66223	HK Bank	ABC R Bear CBBC Dec 2014 A	0.250	4.03	3.78	2014/12/22	40,000,000	2014/01/14
66221	HK Bank	COVS R Bull CBBC Oct 2014 A	0.280	18.28	19.28	2014/10/27	50,000,000	2014/01/14
66219	HK Bank	CSOP A50 ETF R Bull CBBC Nov 2014 B	0.250	7.3	7.6	2014/11/03	80,000,000	2014/01/14
66222	HK Bank	China Shenhua R Bull CBBC Dec 2014 A	0.340	19.28	21.28	2014/12/22	40,000,000	2014/01/14
66195	HK Bank	A50 R Bull CBBC Nov 2014 D	0.250	7.83	8.13	2014/11/20	200,000,000	2014/01/14
66185	HK Bank	A50 R Bull CBBC Jan 2015 B	0.250	7.53	7.83	2015/01/12	200,000,000	2014/01/14
66212	HK Bank	A50 R Bull CBBC Feb 2015 A	0.250	7.13	7.43	2015/02/02	200,000,000	2014/01/14
66216	HK Bank	A50 R Bull CBBC Feb 2015 B	0.250	6.93	7.23	2015/02/16	200,000,000	2014/01/14
66196	HK Bank	HSCEI R Bull CBBC Aug 2014 B	0.250	8,288 per 10,000 units	8,488 per 10,000 units	2014/08/28	100,000,000	2014/01/14
66201	HK Bank	HSCEI R Bull CBBC Sep 2014 C	0.250	7,988 per 10,000 units	8,188 per 10,000 units	2014/09/29	100,000,000	2014/01/14
66202	HK Bank	HSCEI R Bull CBBC Oct 2014 B	0.270	7,688 per 10,000 units	7,888 per 10,000 units	2014/10/30	100,000,000	2014/01/14
66178	HK Bank	HSI R Bull CBBC Aug 2014 K	0.250	21,328 per 20,000 units	21,628 per 20,000 units	2014/08/28	400,000,000	2014/01/14
66180	HK Bank	HSI R Bull CBBC Sep 2014 G	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/09/29	100,000,000	2014/01/14
66181	HK Bank	HSI R Bull CBBC Oct 2014 B	0.250	20,328 per 20,000 units	20,628 per 20,000 units	2014/10/30	400,000,000	2014/01/14
66182	HK Bank	HSI R Bull CBBC Nov 2014 A	0.250	19,728 per 20,000 units	20,028 per 20,000 units	2014/11/27	400,000,000	2014/01/14
66191	HK Bank	HSI R Bear CBBC Aug 2014 L	0.250	23,928 per 20,000 units	23,628 per 20,000 units	2014/08/28	400,000,000	2014/01/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66220	HK Bank	Sands China R Bull CBBC Dec 2014 A	0.250	47.18 per 100 units	50.18 per 100 units	2014/12/22	60,000,000	2014/01/14
66249	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 J	0.250	22,562 per 10,000 units	22,762 per 10,000 units	2014/12/30	300,000,000	2014/01/14
66295	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 O	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/04/29	300,000,000	2014/01/14
66294	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 U	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/04/29	300,000,000	2014/01/14
66296	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 V	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/04/29	300,000,000	2014/01/14
66250	Standard Chartered Bank	A50 China R Bear CBBC Oct 2014 A	0.250	9.78	9.48	2014/10/27	100,000,000	2014/01/14
66243	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 T	0.250	21,000 per 12,000 units	21,200 per 12,000 units	2014/12/30	100,000,000	2014/01/14
66244	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 U	0.250	20,688 per 10,000 units	20,888 per 10,000 units	2014/12/30	100,000,000	2014/01/14
66293	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 D	0.250	22,988 per 20,000 units	22,788 per 20,000 units	2014/07/30	100,000,000	2014/01/14
66264	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 I	0.250	22,638 per 10,000 units	22,738 per 10,000 units	2014/11/27	200,000,000	2014/01/14
66265	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 D	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/04/29	200,000,000	2014/01/14
66263	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 B	0.250	67 per 100 units	70 per 100 units	2014/10/13	100,000,000	2014/01/14
66253	UBS AG	Galaxy Ent R Bear CBBC May 2014 B	0.250	88 per 100 units	85 per 100 units	2014/05/26	100,000,000	2014/01/14
66255	UBS AG	Galaxy Ent R Bear CBBC May 2014 C	0.250	85.50 per 100 units	82.50 per 100 units	2014/05/12	100,000,000	2014/01/14
66271	UBS AG	HSI R Bull CBBC Nov 2014 H	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/01/14
66278	UBS AG	HSI R Bull CBBC Dec 2014 M	0.250	22,562 per 10,000 units	22,762 per 10,000 units	2014/12/30	300,000,000	2014/01/14
66238	UBS AG	HSI R Bear CBBC Apr 2014 P	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/04/29	300,000,000	2014/01/14
66226	UBS AG	HSI R Bear CBBC May 2014 R	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/05/29	300,000,000	2014/01/14
66237	UBS AG	HSI R Bear CBBC May 2014 S	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/05/29	300,000,000	2014/01/14
66239	UBS AG	HSI R Bear CBBC May 2014 T	0.250	22,885 per 12,000 units	22,685 per 12,000 units	2014/05/29	350,000,000	2014/01/14
66252	UBS AG	Sands China R Bull CBBC Oct 2014 A	0.250	59.50 per 100 units	62.50 per 100 units	2014/10/13	100,000,000	2014/01/14
66251	UBS AG	Sands China R Bear CBBC May 2014 E	0.250	75.20 per 100 units	72.20 per 100 units	2014/05/22	100,000,000	2014/01/14
66394	Credit Suisse AG	HSI R Bull CBBC Sep 2014 F	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2014/01/15
66395	Credit Suisse AG	HSI R Bull CBBC Sep 2014 O	0.250	22,796 per 10,000 units	22,996 per 10,000 units	2014/09/29	300,000,000	2014/01/15
66393	Credit Suisse AG	HSI R Bull CBBC Sep 2014 W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2014/01/15
66346	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	22,913 per 10,000 units	22,713 per 10,000 units	2014/07/30	300,000,000	2014/01/15
66350	Credit Suisse AG	HSI R Bear CBBC Jul 2014 E	0.250	23,088 per 10,000 units	22,888 per 10,000 units	2014/07/30	300,000,000	2014/01/15
66392	Credit Suisse AG	HSI R Bear CBBC Jul 2014 F	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2014/07/30	300,000,000	2014/01/15
66439	Citigroup Global Mkt H Inc.	BOCL R Bull CBBC Sep 2014 A	0.250	3.12	3.3	2014/09/29	40,000,000	2014/01/15
66441	Citigroup Global Mkt H Inc.	COVS R Bull CBBC Sep 2014 A	0.250	19.68	20.68	2014/09/29	40,000,000	2014/01/15
66445	Citigroup Global Mkt H Inc.	Cheung Kong R Bull CBBC Jul14A	0.250	110 per 100 units	116 per 100 units	2014/07/30	60,000,000	2014/01/15
66432	Citigroup Global Mkt H Inc.	Minsheng Bank R Bull CBBC Jul14A	0.250	7.48	7.98	2014/07/30	40,000,000	2014/01/15
66427	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 F	0.250	22,400 per 10,000 units	22,588 per 10,000 units	2014/05/29	150,000,000	2014/01/15
66428	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 G	0.250	22,600 per 10,000 units	22,788 per 10,000 units	2014/05/29	150,000,000	2014/01/15
66429	Citigroup Global Mkt H Inc.	HSI R Bear CBBC May 2014 C	0.250	23,550 per 10,000 units	23,366 per 10,000 units	2014/05/29	150,000,000	2014/01/15
66430	Citigroup Global Mkt H Inc.	HSI R Bear CBBC May 2014 D	0.250	23,850 per 10,000 units	23,666 per 10,000 units	2014/05/29	150,000,000	2014/01/15
66440	Citigroup Global Mkt H Inc.	Lenovo R Bull CBBC Sep 2014 A	0.250	8.08	8.58	2014/09/29	40,000,000	2014/01/15
66442	Citigroup Global Mkt H Inc.	SHK Ppt R Bull CBBC Sep 2014 A	0.250	89.80 per 100 units	93.80 per 100 units	2014/09/29	60,000,000	2014/01/15
66396	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 G	0.256	22,688 per 7,000 units	22,888 per 7,000 units	2014/04/29	150,000,000	2014/01/15
66398	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 H	0.257	22,788 per 7,000 units	22,988 per 7,000 units	2014/04/29	150,000,000	2014/01/15
66405	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 I	0.256	22,438 per 20,000 units	22,738 per 20,000 units	2014/04/29	150,000,000	2014/01/15
66306	HK Bank	COVS R Bull CBBC Sep 2014 B	0.460	15.88	16.88	2014/09/29	50,000,000	2014/01/15
66316	HK Bank	China Life R Bear CBBC Aug 2014 C	0.310	25.38	24.38	2014/08/22	50,000,000	2014/01/15

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66309	HK Bank	A50 R Bull CBBC Nov 2014 E	0.250	7.5	7.8	2014/11/28	200,000,000	2014/01/15
66305	HK Bank	Galaxy Ent R Bull CBBC Sep 2014 E	0.250	67.77 per 100 units	70.27 per 100 units	2014/09/29	50,000,000	2014/01/15
66314	HK Bank	Galaxy Ent R Bear CBBC Oct 2014 D	0.250	89.77 per 100 units	87.27 per 100 units	2014/10/27	40,000,000	2014/01/15
66303	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 B	0.250	92.77 per 100 units	90.27 per 100 units	2014/12/22	40,000,000	2014/01/15
66313	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 C	0.250	86.77 per 100 units	84.27 per 100 units	2014/12/22	40,000,000	2014/01/15
66307	HK Bank	Sands China R Bear CBBC Nov 2014 C	0.250	81.28 per 100 units	78.28 per 100 units	2014/11/03	50,000,000	2014/01/15
66418	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 I	0.250	22,796 per 10,000 units	22,996 per 10,000 units	2014/09/29	300,000,000	2014/01/15
66417	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 X	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/01/15
66419	J P Morgan SP BV	HSI R Bear CBBC May 2014 C	0.250	23,488 per 10,000 units	23,288 per 10,000 units	2014/05/29	300,000,000	2014/01/15
66422	J P Morgan SP BV	HSI R Bear CBBC May 2014 D	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/05/29	300,000,000	2014/01/15
66319	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 V	0.250	22,355 per 12,000 units	22,555 per 12,000 units	2014/12/30	100,000,000	2014/01/15
66378	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 W	0.250	22,572 per 12,000 units	22,722 per 12,000 units	2014/12/30	100,000,000	2014/01/15
66387	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 A	0.250	23,538 per 12,000 units	23,388 per 12,000 units	2014/09/29	100,000,000	2014/01/15
66321	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 J	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/11/27	200,000,000	2014/01/15
66388	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 K	0.250	22,738 per 10,000 units	22,838 per 10,000 units	2014/11/27	200,000,000	2014/01/15
66322	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 F	0.250	22,838 per 10,000 units	22,738 per 10,000 units	2014/04/29	200,000,000	2014/01/15
66340	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 G	0.250	23,138 per 10,000 units	23,038 per 10,000 units	2014/04/29	200,000,000	2014/01/15
66389	UBS AG	ABC R Bull CBBC Sep 2014 A	0.250	2.75	3	2014/09/15	50,000,000	2014/01/15
66414	UBS AG	HSI R Bull CBBC Oct 2014 I	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	300,000,000	2014/01/15
66415	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	300,000,000	2014/01/15
66416	UBS AG	HSI R Bull CBBC Dec 2014 N	0.250	22,796 per 10,000 units	22,996 per 10,000 units	2014/12/30	300,000,000	2014/01/15
66352	UBS AG	HSI R Bear CBBC Apr 2014 R	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/04/29	300,000,000	2014/01/15
66351	UBS AG	HSI R Bear CBBC May 2014 U	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/05/29	300,000,000	2014/01/15
66358	UBS AG	HSI R Bear CBBC May 2014 V	0.250	22,913 per 12,000 units	22,713 per 12,000 units	2014/05/29	350,000,000	2014/01/15
66390	UBS AG	Petrochina R Bull CBBC Oct 2014 A	0.250	7.2	7.6	2014/10/13	50,000,000	2014/01/15
66391	UBS AG	TCH R Bear CBBC Aug 2014 B	1.090	602 per 100 units	590 per 100 units	2014/08/18	40,000,000	2014/01/15
66478	Credit Suisse AG	HSI R Bull CBBC Oct 2014 M	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2014/10/30	300,000,000	2014/01/16
66508	Credit Suisse AG	HSI R Bull CBBC Oct 2014 O	0.250	22,638 per 12,000 units	22,838 per 12,000 units	2014/10/30	300,000,000	2014/01/16
66509	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Q	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/01/16
66512	Credit Suisse AG	HSI R Bull CBBC Oct 2014 R	0.250	22,088 per 10,000 units	22,288 per 10,000 units	2014/10/30	300,000,000	2014/01/16
66513	Credit Suisse AG	HSI R Bear CBBC Jul 2014 G	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/07/30	300,000,000	2014/01/16
66557	Credit Suisse AG	HSI R Bear CBBC Jul 2014 H	0.250	23,218 per 10,000 units	23,018 per 10,000 units	2014/07/30	300,000,000	2014/01/16
66558	Credit Suisse AG	HSI R Bear CBBC Jul 2014 I	0.250	23,118 per 10,000 units	22,918 per 10,000 units	2014/07/30	300,000,000	2014/01/16
66562	Credit Suisse AG	HSI R Bear CBBC Jul 2014 J	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2014/07/30	300,000,000	2014/01/16
66484	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 J	0.271	21,988 per 7,000 units	22,188 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66485	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 K	0.294	21,788 per 7,000 units	21,988 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66493	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 L	0.279	21,588 per 7,000 units	21,788 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66500	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 E	0.253	23,388 per 7,000 units	23,188 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66501	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 F	0.254	23,588 per 7,000 units	23,388 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66502	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 G	0.288	24,388 per 7,000 units	24,188 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66503	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 H	0.290	24,588 per 7,000 units	24,388 per 7,000 units	2014/04/29	150,000,000	2014/01/16
66476	HK Bank	BOCL R Bull CBBC Dec 2014 A	0.250	2.95	3.13	2014/12/18	80,000,000	2014/01/16
66447	HK Bank	CM Bank R Bear CBBC Sep 2014 A	0.250	17.48	16.68	2014/09/29	40,000,000	2014/01/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66450	HK Bank	Sinopec Corp R Bear CBBC Oct 2014 A	0.250	6.78	6.48	2014/10/06	40,000,000	2014/01/16
66473	HK Bank	CSOP A50 ETF R Bear CBBC Nov 2014 A	0.250	9.58	9.28	2014/11/03	40,000,000	2014/01/16
66446	HK Bank	CSOP A50 ETF R Bear CBBC Jan 2015 A	0.250	10.68	10.38	2015/01/26	40,000,000	2014/01/16
66474	HK Bank	China Shenhua R Bear CBBC Nov 2014 A	0.250	26.88 per 100 units	24.88 per 100 units	2014/11/24	40,000,000	2014/01/16
66471	HK Bank	HSCEI R Bull CBBC Sep 2014 D	0.250	9,938 per 10,000 units	10,138 per 10,000 units	2014/09/29	100,000,000	2014/01/16
66472	HK Bank	HSCEI R Bear CBBC Aug 2014 D	0.250	10,688 per 10,000 units	10,488 per 10,000 units	2014/08/28	68,000,000	2014/01/16
66468	HK Bank	HSI R Bull CBBC Jul 2014 O	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/07/30	100,000,000	2014/01/16
66449	HK Bank	HSI R Bull CBBC Aug 2014 L	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2014/08/28	100,000,000	2014/01/16
66469	HK Bank	HSI R Bull CBBC Aug 2014 M	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2014/08/28	100,000,000	2014/01/16
66470	HK Bank	HSI R Bull CBBC Sep 2014 H	0.250	20,728 per 20,000 units	21,028 per 20,000 units	2014/09/29	400,000,000	2014/01/16
66575	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 R	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2014/01/16
66576	J P Morgan SP BV	HSI R Bear CBBC May 2014 E	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/05/29	300,000,000	2014/01/16
66522	Standard Chartered Bank	A50 China R Bull CBBC Dec 2014 A	0.250	8.28	8.58	2014/12/03	100,000,000	2014/01/16
66523	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 X	0.250	22,810 per 10,000 units	22,960 per 10,000 units	2014/12/30	100,000,000	2014/01/16
66524	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 Y	0.250	22,628 per 20,000 units	22,828 per 20,000 units	2014/12/30	100,000,000	2014/01/16
66477	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 B	0.250	23,300 per 20,000 units	23,100 per 20,000 units	2014/09/29	100,000,000	2014/01/16
66520	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 L	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/11/27	200,000,000	2014/01/16
66521	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 H	0.250	23,538 per 10,000 units	23,438 per 10,000 units	2014/04/29	200,000,000	2014/01/16
66547	UBS AG	China Shenhua R Bear CBBC Nov 2014 A	0.250	28 per 100 units	26 per 100 units	2014/11/17	50,000,000	2014/01/16
66540	UBS AG	A50 China R Bull CBBC Nov 2014 A	0.250	7.95	8.25	2014/11/03	100,000,000	2014/01/16
66556	UBS AG	Galaxy Ent R Bull CBBC Sep 2014 C	0.250	69.50 per 100 units	72.50 per 100 units	2014/09/01	100,000,000	2014/01/16
66553	UBS AG	Galaxy Ent R Bear CBBC Oct 2014 A	0.250	90.50 per 100 units	87.50 per 100 units	2014/10/06	100,000,000	2014/01/16
66550	UBS AG	Galaxy Ent R Bear CBBC Nov 2014 A	0.250	93 per 100 units	90 per 100 units	2014/11/10	100,000,000	2014/01/16
66483	UBS AG	HSI R Bull CBBC Jun 2014 C	0.250	22,790 per 12,000 units	22,990 per 12,000 units	2014/06/27	350,000,000	2014/01/16
66482	UBS AG	HSI R Bull CBBC Oct 2014 J	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2014/01/16
66563	UBS AG	HSI R Bear CBBC Apr 2014 S	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2014/01/16
66566	UBS AG	HSI R Bear CBBC May 2014 X	0.250	22,988 per 12,000 units	22,788 per 12,000 units	2014/05/29	350,000,000	2014/01/16
66544	UBS AG	Sands China R Bear CBBC May 2014 F	0.250	83 per 100 units	80 per 100 units	2014/05/19	50,000,000	2014/01/16
66546	UBS AG	Sands China R Bear CBBC Oct 2014 A	0.250	80.50 per 100 units	77.50 per 100 units	2014/10/06	50,000,000	2014/01/16
66603	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2014 A	0.250	9,500 per 5,000 units	9,700 per 5,000 units	2014/12/30	200,000,000	2014/01/17
66605	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/01/17
66601	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/01/17
66577	BNP Paribas Arbit Issu B.V.	TCH R Bull CBBC Dec 2014 A	0.250	470 per 100 units	480 per 100 units	2014/12/30	100,000,000	2014/01/17
66578	BNP Paribas Arbit Issu B.V.	TCH R Bull CBBC Dec 2014 B	0.550	440 per 100 units	450 per 100 units	2014/12/30	100,000,000	2014/01/17
66579	BNP Paribas Arbit Issu B.V.	TCH R Bear CBBC Dec 2014 A	0.700	560 per 100 units	550 per 100 units	2014/12/30	100,000,000	2014/01/17
66610	Credit Suisse AG	HSI R Bull CBBC Sep 2014 N	0.250	22,488 per 10,000 units	22,688 per 10,000 units	2014/09/29	300,000,000	2014/01/17
66612	Credit Suisse AG	HSI R Bull CBBC Sep 2014 V	0.250	22,659 per 10,000 units	22,859 per 10,000 units	2014/09/29	300,000,000	2014/01/17
66595	Credit Suisse AG	HSI R Bear CBBC May 2014 G	0.250	22,990 per 12,000 units	22,790 per 12,000 units	2014/05/29	300,000,000	2014/01/17
66594	Credit Suisse AG	HSI R Bear CBBC May 2014 I	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/05/29	300,000,000	2014/01/17
66617	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 M	0.253	22,288 per 7,000 units	22,488 per 7,000 units	2014/04/29	150,000,000	2014/01/17
66621	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 N	0.274	21,388 per 7,000 units	21,588 per 7,000 units	2014/04/29	150,000,000	2014/01/17
66622	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 O	0.256	21,538 per 20,000 units	21,838 per 20,000 units	2014/04/29	150,000,000	2014/01/17
66625	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 P	0.252	21,338 per 20,000 units	21,638 per 20,000 units	2014/04/29	150,000,000	2014/01/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66627	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 Q	0.250	21,138 per 20,000 units	21,438 per 20,000 units	2014/04/29	150,000,000	2014/01/17
66620	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 I	0.299	23,188 per 7,000 units	22,988 per 7,000 units	2014/04/29	150,000,000	2014/01/17
66585	HK Bank	China Mobile R Bull CBBC Dec 2014 B	0.250	69.58 per 100 units	72.08 per 100 units	2014/12/01	120,000,000	2014/01/17
66587	HK Bank	China Mobile R Bull CBBC Jan 2015 A	0.250	64.38 per 100 units	66.88 per 100 units	2015/01/26	120,000,000	2014/01/17
66582	HK Bank	CNBM R Bull CBBC Nov 2014 A	0.250	5.4	6.2	2014/11/24	50,000,000	2014/01/17
66588	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 C	0.250	70.77 per 100 units	73.27 per 100 units	2014/10/06	50,000,000	2014/01/17
66591	HK Bank	Galaxy Ent R Bear CBBC Aug 2014 C	0.250	81.77 per 100 units	79.27 per 100 units	2014/08/25	40,000,000	2014/01/17
66629	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 K	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	300,000,000	2014/01/17
66598	Standard Chartered Bank	TCH R Bull CBBC Aug 2014 A	0.300	468.88 per 100 units	478.88 per 100 units	2014/08/18	50,000,000	2014/01/17
66600	Standard Chartered Bank	TCH R Bear CBBC Sep 2014 A	0.430	528.88 per 100 units	518.88 per 100 units	2014/09/12	50,000,000	2014/01/17
66615	UBS AG	HKEEx R Bull CBBC Sep 2014 D	0.250	113 per 100 units	118 per 100 units	2014/09/22	100,000,000	2014/01/17
66614	UBS AG	HSCEI R Bull CBBC Nov 2014 A	0.250	9,950 per 10,000 units	10,150 per 10,000 units	2014/11/27	100,000,000	2014/01/17
66613	UBS AG	HSCEI R Bear CBBC Apr 2014 H	0.250	10,600 per 10,000 units	10,400 per 10,000 units	2014/04/29	100,000,000	2014/01/17
66607	UBS AG	HSI R Bull CBBC Nov 2014 J	0.250	22,659 per 10,000 units	22,859 per 10,000 units	2014/11/27	300,000,000	2014/01/17
66580	UBS AG	HSI R Bear CBBC May 2014 Y	0.250	23,025 per 12,000 units	22,825 per 12,000 units	2014/05/29	350,000,000	2014/01/17
66671	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/06/27	300,000,000	2014/01/20
66672	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 F	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/06/27	300,000,000	2014/01/20
66674	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 H	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/06/27	300,000,000	2014/01/20
66785	Credit Suisse AG	HSI R Bull CBBC Jul 2014 U	0.250	22,538 per 10,000 units	22,738 per 10,000 units	2014/07/30	300,000,000	2014/01/20
66786	Credit Suisse AG	HSI R Bull CBBC Jul 2014 V	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2014/07/30	300,000,000	2014/01/20
66727	Credit Suisse AG	HSI R Bear CBBC Apr 2014 P	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/20
66726	Credit Suisse AG	HSI R Bear CBBC Apr 2014 Z	0.250	23,033 per 10,000 units	22,833 per 10,000 units	2014/04/29	300,000,000	2014/01/20
66676	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Jun 2014 A	0.250	7.28	7.68	2014/06/30	60,000,000	2014/01/20
66685	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 R	0.257	22,588 per 7,000 units	22,788 per 7,000 units	2014/04/29	150,000,000	2014/01/20
66697	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 S	0.256	22,188 per 7,000 units	22,388 per 7,000 units	2014/04/29	150,000,000	2014/01/20
66703	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 T	0.253	22,138 per 7,000 units	22,338 per 7,000 units	2014/04/29	150,000,000	2014/01/20
66688	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 J	0.270	23,088 per 7,000 units	22,888 per 7,000 units	2014/04/29	150,000,000	2014/01/20
66704	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 K	0.252	25,138 per 20,000 units	24,838 per 20,000 units	2014/04/29	150,000,000	2014/01/20
66705	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 L	0.251	25,338 per 20,000 units	25,038 per 20,000 units	2014/04/29	150,000,000	2014/01/20
66646	HK Bank	BOCL R Bull CBBC Dec 2014 B	0.250	2.95	3.13	2014/12/18	80,000,000	2014/01/20
66643	HK Bank	China Mobile R Bull CBBC Feb 2015 A	0.250	62.18 per 100 units	64.68 per 100 units	2015/02/09	120,000,000	2014/01/20
66645	HK Bank	China Mobile R Bull CBBC Feb 2015 B	0.250	59.78 per 100 units	62.28 per 100 units	2015/02/16	120,000,000	2014/01/20
66655	HK Bank	HKEEx R Bull CBBC Nov 2014 A	0.260	102.18 per 100 units	107.18 per 100 units	2014/11/24	60,000,000	2014/01/20
66635	HK Bank	HSCEI R Bear CBBC Sep 2014 C	0.250	10,488 per 10,000 units	10,288 per 10,000 units	2014/09/29	68,000,000	2014/01/20
66792	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 K	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/12/30	300,000,000	2014/01/20
66788	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 Q	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/20
66658	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 J	0.250	22,600 per 18,000 units	22,800 per 18,000 units	2014/11/27	100,000,000	2014/01/20
66657	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 E	0.250	23,150 per 15,000 units	22,950 per 15,000 units	2014/07/30	100,000,000	2014/01/20
66706	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 M	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/11/27	200,000,000	2014/01/20
66668	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 I	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/04/29	200,000,000	2014/01/20
66765	UBS AG	China Mobile R Bear CBBC Jun 2014 A	0.250	85 per 100 units	82.50 per 100 units	2014/06/23	100,000,000	2014/01/20
66784	UBS AG	CC Bank R Bear CBBC May 2014 B	0.250	6.55	6.25	2014/05/12	100,000,000	2014/01/20
66745	UBS AG	CNBM R Bull CBBC Jul 2014 A	0.250	5.7	6.5	2014/07/21	50,000,000	2014/01/20

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66747	UBS AG	A50 China R Bear CBBC Jun 2014 A	0.250	10.05	9.75	2014/06/23	100,000,000	2014/01/20
66736	UBS AG	HSCEI R Bull CBBC Dec 2014 A	0.250	9,050 per 10,000 units	9,250 per 10,000 units	2014/12/30	100,000,000	2014/01/20
66663	UBS AG	HSI R Bull CBBC Jul 2014 T	0.250	22,646 per 12,000 units	22,846 per 12,000 units	2014/07/30	350,000,000	2014/01/20
66662	UBS AG	HSI R Bull CBBC Jul 2014 U	0.250	22,325 per 12,000 units	22,525 per 12,000 units	2014/07/30	350,000,000	2014/01/20
66787	UBS AG	HSI R Bull CBBC Dec 2014 O	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/12/30	300,000,000	2014/01/20
66732	UBS AG	HSI R Bear CBBC Apr 2014 B	0.250	23,033 per 10,000 units	22,833 per 10,000 units	2014/04/29	300,000,000	2014/01/20
66731	UBS AG	HSI R Bear CBBC Apr 2014 Q	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/20
66728	UBS AG	HSI R Bear CBBC Jul 2014 C	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/07/30	300,000,000	2014/01/20
66729	UBS AG	HSI R Bear CBBC Jul 2014 D	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/07/30	300,000,000	2014/01/20
66764	UBS AG	ICBC R Bear CBBC Jul 2014 A	0.250	5.75	5.5	2014/07/21	100,000,000	2014/01/20
66763	UBS AG	Ping An R Bear CBBC Jun 2014 A	0.250	76.50 per 100 units	72.50 per 100 units	2014/06/16	100,000,000	2014/01/20
66842	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 K	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/01/21
66903	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 J	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2014/01/21
66871	Credit Suisse AG	HSI R Bull CBBC Aug 2014 H	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/08/28	300,000,000	2014/01/21
66895	Credit Suisse AG	HSI R Bull CBBC Aug 2014 M	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/01/21
66896	Credit Suisse AG	HSI R Bear CBBC May 2014 H	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/05/29	300,000,000	2014/01/21
66899	Credit Suisse AG	HSI R Bear CBBC May 2014 J	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/05/29	300,000,000	2014/01/21
66900	Credit Suisse AG	HSI R Bear CBBC May 2014 K	0.250	22,992 per 10,000 units	22,792 per 10,000 units	2014/05/29	300,000,000	2014/01/21
66951	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Jun 2014 A	0.250	76 per 100 units	78.80 per 100 units	2014/06/16	80,000,000	2014/01/21
66948	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Jul 2014 A	0.250	74 per 100 units	76.80 per 100 units	2014/07/14	80,000,000	2014/01/21
66955	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Jun 2014 A	0.250	91 per 100 units	88.20 per 100 units	2014/06/16	80,000,000	2014/01/21
66956	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Jul 2014 A	0.250	93 per 100 units	90.20 per 100 units	2014/07/14	80,000,000	2014/01/21
66967	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Jul 2014 B	0.250	95 per 100 units	92.20 per 100 units	2014/07/28	80,000,000	2014/01/21
66873	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 U	0.255	22,488 per 7,000 units	22,688 per 7,000 units	2014/04/29	150,000,000	2014/01/21
66876	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 V	0.264	21,888 per 7,000 units	22,088 per 7,000 units	2014/04/29	150,000,000	2014/01/21
66886	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 W	0.254	22,038 per 20,000 units	22,338 per 20,000 units	2014/04/29	150,000,000	2014/01/21
66884	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 M	0.314	24,788 per 7,000 units	24,588 per 7,000 units	2014/04/29	150,000,000	2014/01/21
66885	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 N	0.328	24,988 per 7,000 units	24,788 per 7,000 units	2014/04/29	150,000,000	2014/01/21
66893	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 O	0.289	24,538 per 20,000 units	24,238 per 20,000 units	2014/04/29	150,000,000	2014/01/21
66894	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 P	0.288	24,738 per 20,000 units	24,438 per 20,000 units	2014/04/29	150,000,000	2014/01/21
66815	HK Bank	AIA R Bull CBBC Jul 2014 B	0.340	33.98	34.98	2014/07/07	60,000,000	2014/01/21
66806	HK Bank	Cheung Kong R Bear CBBC Oct 2014 A	0.250	134.88 per 100 units	128.88 per 100 units	2014/10/27	50,000,000	2014/01/21
66804	HK Bank	China Life R Bear CBBC Dec 2014 A	0.250	24.38	23.38	2014/12/22	50,000,000	2014/01/21
66814	HK Bank	Minsheng Bank R Bear CBBC Oct 2014 B	0.250	9.28	8.78	2014/10/27	40,000,000	2014/01/21
66798	HK Bank	HSI R Bull CBBC Sep 2014 I	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2014/09/29	100,000,000	2014/01/21
66793	HK Bank	HSI R Bear CBBC Aug 2014 M	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2014/08/28	100,000,000	2014/01/21
66813	HK Bank	Hutchison R Bear CBBC Nov 2014 B	0.250	125.88 per 100 units	122.88 per 100 units	2014/11/24	50,000,000	2014/01/21
66807	HK Bank	PetCh R Bear CBBC Nov 2014 A	0.250	9.18	8.78	2014/11/24	40,000,000	2014/01/21
66936	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 R	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2014/01/21
66837	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 D	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	100,000,000	2014/01/21
66841	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 E	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/06/27	100,000,000	2014/01/21
66819	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 D	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/06/27	100,000,000	2014/01/21
66834	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 E	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/06/27	100,000,000	2014/01/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66861	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 K	0.250	22,488 per 20,000 units	22,688 per 20,000 units	2014/11/27	100,000,000	2014/01/21
66845	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 N	0.250	22,638 per 10,000 units	22,738 per 10,000 units	2014/11/27	200,000,000	2014/01/21
66846	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 J	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/04/29	200,000,000	2014/01/21
66935	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 K	0.250	22,938 per 10,000 units	22,838 per 10,000 units	2014/04/29	200,000,000	2014/01/21
66915	UBS AG	HSCEI R Bear CBBC Apr 2014 I	0.250	10,450 per 10,000 units	10,250 per 10,000 units	2014/04/29	100,000,000	2014/01/21
66857	UBS AG	HSI R Bull CBBC Jul 2014 W	0.250	22,675 per 12,000 units	22,875 per 12,000 units	2014/07/30	350,000,000	2014/01/21
66850	UBS AG	HSI R Bull CBBC Oct 2014 Q	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/01/21
66906	UBS AG	HSI R Bear CBBC Apr 2014 N	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2014/01/21
66913	UBS AG	HSI R Bear CBBC May 2014 Z	0.250	22,992 per 10,000 units	22,792 per 10,000 units	2014/05/29	300,000,000	2014/01/21
67062	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 G	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2014/01/22
67056	Credit Suisse AG	HSI R Bull CBBC Aug 2014 P	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/01/22
67058	Credit Suisse AG	HSI R Bull CBBC Aug 2014 X	0.250	22,701 per 10,000 units	22,901 per 10,000 units	2014/08/28	300,000,000	2014/01/22
67004	Credit Suisse AG	HSI R Bear CBBC Jul 2014 K	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2014/07/30	300,000,000	2014/01/22
67010	Credit Suisse AG	HSI R Bear CBBC Jul 2014 L	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2014/01/22
67087	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Aug14 A	0.250	20.88	21.88	2014/08/18	50,000,000	2014/01/22
67084	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Sep14 B	0.250	20.38	21.38	2014/09/22	50,000,000	2014/01/22
67093	Citigroup Global Mkt H Inc.	China Life R Bear CBBC Aug14 A	0.250	24.88	23.88	2014/08/18	50,000,000	2014/01/22
67094	Citigroup Global Mkt H Inc.	China Life R Bear CBBC Sep14 A	0.300	25.38	24.38	2014/09/22	50,000,000	2014/01/22
67096	Citigroup Global Mkt H Inc.	China Life R Bear CBBC Oct14 A	0.350	25.88	24.88	2014/10/20	50,000,000	2014/01/22
67073	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jul14 A	0.250	70.50 per 100 units	73 per 100 units	2014/07/21	60,000,000	2014/01/22
67072	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Aug14 A	0.250	68 per 100 units	70.50 per 100 units	2014/08/18	60,000,000	2014/01/22
67074	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Jul14 A	0.250	85.50 per 100 units	83 per 100 units	2014/07/21	60,000,000	2014/01/22
67077	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Aug14 A	0.250	88 per 100 units	85.50 per 100 units	2014/08/25	60,000,000	2014/01/22
67080	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Sep14 A	0.250	90.50 per 100 units	88 per 100 units	2014/09/22	60,000,000	2014/01/22
67083	Citigroup Global Mkt H Inc.	Kunlun Energy R Bull CBBC Jun14A	0.250	12.28	13.08	2014/06/23	40,000,000	2014/01/22
67063	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 X	0.251	22,688 per 7,000 units	22,888 per 7,000 units	2014/04/29	150,000,000	2014/01/22
66991	HK Bank	Anhui Conch R Bull CBBC Mar 2015 A	0.250	23.98 per 100 units	25.98 per 100 units	2015/03/18	50,000,000	2014/01/22
66995	HK Bank	CNBM R Bear CBBC Nov 2014 B	0.250	8.98	8.18	2014/11/24	50,000,000	2014/01/22
66988	HK Bank	CNOOC R Bull CBBC Oct 2014 C	0.250	12.28	13.08	2014/10/27	60,000,000	2014/01/22
66990	HK Bank	CNOOC R Bull CBBC Nov 2014 A	0.250	11.48	12.28	2014/11/17	60,000,000	2014/01/22
66985	HK Bank	China Shenhua R Bull CBBC Jul 2015 A	0.250	17.08 per 100 units	19.08 per 100 units	2015/07/16	40,000,000	2014/01/22
66986	HK Bank	China Shenhua R Bull CBBC Jul 2015 B	0.250	18.18 per 100 units	20.18 per 100 units	2015/07/08	40,000,000	2014/01/22
66993	HK Bank	HSI R Bear CBBC Sep 2014 H	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/09/29	100,000,000	2014/01/22
66994	HK Bank	HSI R Bear CBBC Oct 2014 B	0.250	25,328 per 20,000 units	25,028 per 20,000 units	2014/10/30	400,000,000	2014/01/22
67066	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/09/29	300,000,000	2014/01/22
67070	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 P	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2014/01/22
67020	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 F	0.250	23,000 per 18,000 units	22,800 per 18,000 units	2014/07/30	100,000,000	2014/01/22
67054	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 A	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2014/11/27	100,000,000	2014/01/22
66996	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 O	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/11/27	200,000,000	2014/01/22
67001	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 L	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/04/29	200,000,000	2014/01/22
67034	UBS AG	CC Bank R Bull CBBC Jul 2014 F	0.250	4.7	5	2014/07/21	100,000,000	2014/01/22
67027	UBS AG	Minsheng Bank R Bull CBBC Sep 2014 A	0.250	7.38	7.88	2014/09/22	100,000,000	2014/01/22
67026	UBS AG	CSOP A50 ETF R Bull CBBC Aug 2014 A	0.250	7.95	8.25	2014/08/11	50,000,000	2014/01/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67022	UBS AG	A50 China R Bull CBBC Jun 2014 C	0.250	8.2	8.5	2014/06/23	100,000,000	2014/01/22
67044	UBS AG	HKEx R Bear CBBC Jul 2014 B	0.250	145 per 100 units	140 per 100 units	2014/07/07	100,000,000	2014/01/22
67060	UBS AG	HSI R Bull CBBC Jul 2014 X	0.250	22,701 per 12,000 units	22,901 per 12,000 units	2014/07/30	350,000,000	2014/01/22
67011	UBS AG	HSI R Bear CBBC May 2014 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/05/29	300,000,000	2014/01/22
67012	UBS AG	HSI R Bear CBBC May 2014 F	0.250	22,998 per 12,000 units	22,798 per 12,000 units	2014/05/29	350,000,000	2014/01/22
67028	UBS AG	ICBC R Bull CBBC Aug 2014 B	0.250	4.25	4.5	2014/08/04	100,000,000	2014/01/22
67052	UBS AG	SHK Ppt R Bear CBBC Sep 2014 A	0.250	110 per 100 units	106 per 100 units	2014/09/01	50,000,000	2014/01/22
66034 ⁴	HK Bank	BOCL R Bull CBBC Aug 2014 A	0.052	2.85	3.03	2014/08/28	200,000,000	2014/01/22
67184	Credit Suisse AG	HSI R Bull CBBC Sep 2014 A	0.250	22,786 per 10,000 units	22,986 per 10,000 units	2014/09/29	300,000,000	2014/01/23
67181	Credit Suisse AG	HSI R Bull CBBC Sep 2014 X	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2014/01/23
67150	Credit Suisse AG	HSI R Bear CBBC Apr 2014 I	0.250	23,103 per 10,000 units	22,903 per 10,000 units	2014/04/29	300,000,000	2014/01/23
67186	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jul 2014 A	0.300	485 per 100 units	500 per 100 units	2014/07/22	50,000,000	2014/01/23
67187	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2014 A	0.360	548 per 100 units	533 per 100 units	2014/04/29	50,000,000	2014/01/23
67117	HK Bank	HSI R Bull CBBC Aug 2014 N	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/08/28	100,000,000	2014/01/23
67119	HK Bank	HSI R Bull CBBC Aug 2014 O	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/08/28	100,000,000	2014/01/23
67123	HK Bank	HSI R Bull CBBC Aug 2014 P	0.250	21,088 per 15,000 units	21,288 per 15,000 units	2014/08/28	100,000,000	2014/01/23
67132	HK Bank	Lenovo R Bull CBBC Sep 2014 C	0.250	8.88	9.38	2014/09/08	60,000,000	2014/01/23
67135	HK Bank	Lenovo R Bear CBBC Sep 2014 A	0.250	10.98	10.48	2014/09/29	40,000,000	2014/01/23
67145	HK Bank	Lenovo R Bear CBBC Dec 2014 A	0.250	11.78	11.28	2014/12/29	40,000,000	2014/01/23
67097	HK Bank	TCH R Bull CBBC Sep 2014 J	0.400	473.88 per 100 units	488.88 per 100 units	2014/09/29	50,000,000	2014/01/23
67149	HK Bank	TCH R Bull CBBC Oct 2014 E	0.600	451.88 per 100 units	461.88 per 100 units	2014/10/13	50,000,000	2014/01/23
67189	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 S	0.250	23,187 per 10,000 units	22,987 per 10,000 units	2014/04/29	300,000,000	2014/01/23
67196	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 G	0.250	23,978 per 12,000 units	23,828 per 12,000 units	2014/08/28	100,000,000	2014/01/23
67161	Standard Chartered Bank	TCH R Bull CBBC Sep 2014 A	0.400	488.88 per 100 units	498.88 per 100 units	2014/09/08	50,000,000	2014/01/23
67168	Standard Chartered Bank	TCH R Bear CBBC Sep 2014 B	0.500	568.88 per 100 units	558.88 per 100 units	2014/09/18	50,000,000	2014/01/23
67157	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 P	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/11/27	200,000,000	2014/01/23
67158	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 M	0.250	23,138 per 10,000 units	23,038 per 10,000 units	2014/04/29	200,000,000	2014/01/23
67174	UBS AG	Anhui Conch R Bull CBBC Dec 2014 D	0.250	24 per 100 units	26 per 100 units	2014/12/22	50,000,000	2014/01/23
67171	UBS AG	China Mobile R Bull CBBC Oct 2014 F	0.250	71.38 per 100 units	73.88 per 100 units	2014/10/06	100,000,000	2014/01/23
67185	UBS AG	HSI R Bull CBBC Dec 2014 P	0.250	22,786 per 10,000 units	22,986 per 10,000 units	2014/12/30	300,000,000	2014/01/23
67152	UBS AG	HSI R Bear CBBC Apr 2014 P	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/04/29	300,000,000	2014/01/23
67153	UBS AG	HSI R Bear CBBC May 2014 H	0.250	23,103 per 12,000 units	22,903 per 12,000 units	2014/05/29	350,000,000	2014/01/23
67170	UBS AG	Lenovo R Bear CBBC Jun 2014 B	0.250	11.5	11	2014/06/16	50,000,000	2014/01/23
67179	UBS AG	TCH R Bull CBBC Jul 2014 L	0.265	498 per 100 units	510 per 100 units	2014/07/28	40,000,000	2014/01/23
67180	UBS AG	TCH R Bull CBBC Jul 2014 M	0.465	478 per 100 units	490 per 100 units	2014/07/07	40,000,000	2014/01/23
67176	UBS AG	TCH R Bear CBBC Sep 2014 C	1.090	632 per 100 units	620 per 100 units	2014/09/01	40,000,000	2014/01/23
67238	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2014/01/24
67240	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 M	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2014/01/24
67304	Credit Suisse AG	HSI R Bull CBBC Nov 2014 V	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/11/27	300,000,000	2014/01/24
67308	Credit Suisse AG	HSI R Bull CBBC Nov 2014 W	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	300,000,000	2014/01/24
67309	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/11/27	300,000,000	2014/01/24
67312	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Y	0.250	22,979 per 10,000 units	23,179 per 10,000 units	2014/11/27	300,000,000	2014/01/24
67229	Credit Suisse AG	HSI R Bear CBBC Apr 2014 J	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2014/01/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67230	Credit Suisse AG	HSI R Bear CBBC Apr 2014 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2014/01/24
67227	Credit Suisse AG	HSI R Bear CBBC Apr 2014 N	0.250	23,187 per 10,000 units	22,987 per 10,000 units	2014/04/29	300,000,000	2014/01/24
67342	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Jul 14 A	0.250	8	8.3	2014/07/23	100,000,000	2014/01/24
67341	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Aug 14 B	0.250	7.8	8.1	2014/08/18	100,000,000	2014/01/24
67339	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Sep 14 A	0.250	7.6	7.9	2014/09/22	100,000,000	2014/01/24
67338	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Oct 14 A	0.250	7.4	7.7	2014/10/20	100,000,000	2014/01/24
67354	Bank of East Asia	CM Bank R Bear CBBC Oct 2014 A	0.250	16.7	16	2014/10/24	60,000,000	2014/01/24
67349	Bank of East Asia	A50 China R Bull CBBC Dec 2014 A	0.250	7.9	8.2	2014/12/30	60,000,000	2014/01/24
67355	Bank of East Asia	Galaxy Ent R Bull CBBC Dec 2014 A	0.250	71.67 per 100 units	74.27 per 100 units	2014/12/22	60,000,000	2014/01/24
67356	Bank of East Asia	Galaxy Ent R Bear CBBC Aug 2014 A	0.250	91.67 per 100 units	89.27 per 100 units	2014/08/22	60,000,000	2014/01/24
67350	Bank of East Asia	HKEx R Bull CBBC Sep 2014 A	0.250	116 per 100 units	120 per 100 units	2014/09/26	60,000,000	2014/01/24
67357	Bank of East Asia	Lenovo R Bull CBBC Dec 2014 A	0.250	8.76	9.26	2014/12/22	60,000,000	2014/01/24
67358	Bank of East Asia	Lenovo R Bear CBBC Jul 2014 A	0.250	11.492	10.992	2014/07/22	60,000,000	2014/01/24
67353	Bank of East Asia	Ping An R Bull CBBC Oct 2014 A	0.250	60 per 100 units	63 per 100 units	2014/10/24	60,000,000	2014/01/24
67251	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 B	0.251	22,638 per 20,000 units	22,938 per 20,000 units	2014/04/29	150,000,000	2014/01/24
67241	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 Y	0.261	22,788 per 7,000 units	22,988 per 7,000 units	2014/04/29	150,000,000	2014/01/24
67242	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 Z	0.271	22,888 per 7,000 units	23,088 per 7,000 units	2014/04/29	150,000,000	2014/01/24
67244	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 Q	0.254	23,388 per 7,000 units	23,188 per 7,000 units	2014/04/29	150,000,000	2014/01/24
67209	HK Bank	Anhui Conch R Bull CBBC Jul 2015 A	0.250	25.08 per 100 units	27.08 per 100 units	2015/07/27	50,000,000	2014/01/24
67208	HK Bank	HKEx R Bear CBBC Aug 2014 A	0.250	138.88 per 100 units	133.88 per 100 units	2014/08/18	50,000,000	2014/01/24
67199	HK Bank	Lenovo R Bull CBBC Oct 2014 B	0.250	9.38	9.88	2014/10/13	60,000,000	2014/01/24
67203	HK Bank	Lenovo R Bear CBBC Feb 2015 A	0.250	12.38	11.88	2015/02/09	40,000,000	2014/01/24
67210	HK Bank	TCH R Bull CBBC Oct 2014 F	0.320	483.88 per 100 units	498.88 per 100 units	2014/10/31	50,000,000	2014/01/24
67218	HK Bank	TCH R Bull CBBC Oct 2014 G	0.250	493.88 per 100 units	508.88 per 100 units	2014/10/17	50,000,000	2014/01/24
67220	HK Bank	TCH R Bear CBBC Oct 2014 D	1.250	633.88 per 100 units	618.88 per 100 units	2014/10/17	50,000,000	2014/01/24
67221	HK Bank	TCH R Bear CBBC Oct 2014 E	1.350	643.88 per 100 units	628.88 per 100 units	2014/10/31	50,000,000	2014/01/24
67223	HK Bank	TCH R Bear CBBC Oct 2014 F	0.680	574.88 per 100 units	559.88 per 100 units	2014/10/22	50,000,000	2014/01/24
67331	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2014/01/24
67332	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 T	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2014/01/24
67314	Standard Chartered Bank	A50 China R Bull CBBC Aug 2014 A	0.250	7.7	8	2014/08/01	50,000,000	2014/01/24
67315	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 L	0.250	22,850 per 12,000 units	23,000 per 12,000 units	2014/11/27	100,000,000	2014/01/24
67337	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 H	0.250	23,338 per 12,000 units	23,138 per 12,000 units	2014/08/28	100,000,000	2014/01/24
67294	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Q	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/11/27	200,000,000	2014/01/24
67297	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 R	0.250	22,938 per 10,000 units	23,038 per 10,000 units	2014/11/27	200,000,000	2014/01/24
67299	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 S	0.250	23,038 per 10,000 units	23,138 per 10,000 units	2014/11/27	200,000,000	2014/01/24
67302	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 N	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/04/29	200,000,000	2014/01/24
67303	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 V	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2014/04/29	200,000,000	2014/01/24
67257	UBS AG	AIA R Bull CBBC Oct 2014 D	0.250	35.88 per 100 units	36.88 per 100 units	2014/10/20	100,000,000	2014/01/24
67267	UBS AG	Galaxy Ent R Bull CBBC Aug 2014 B	0.250	77 per 100 units	80 per 100 units	2014/08/18	100,000,000	2014/01/24
67271	UBS AG	Galaxy Ent R Bull CBBC Sep 2014 D	0.250	72 per 100 units	75 per 100 units	2014/09/22	100,000,000	2014/01/24
67265	UBS AG	Galaxy Ent R Bear CBBC Sep 2014 A	0.250	95.50 per 100 units	92.50 per 100 units	2014/09/15	100,000,000	2014/01/24
67264	UBS AG	Galaxy Ent R Bear CBBC Oct 2014 B	0.250	98 per 100 units	95 per 100 units	2014/10/06	100,000,000	2014/01/24
67325	UBS AG	HSI R Bull CBBC Oct 2014 S	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2014/01/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67326	UBS AG	HSI R Bull CBBC Dec 2014 Q	0.250	22,979 per 10,000 units	23,179 per 10,000 units	2014/12/30	300,000,000	2014/01/24
67225	UBS AG	HSI R Bear CBBC Apr 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2014/01/24
67254	UBS AG	HSI R Bear CBBC Apr 2014 S	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2014/01/24
67226	UBS AG	HSI R Bear CBBC May 2014 I	0.250	23,187 per 12,000 units	22,987 per 12,000 units	2014/05/29	350,000,000	2014/01/24
67252	UBS AG	HSI R Bear CBBC Aug 2014 B	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/08/28	300,000,000	2014/01/24
67253	UBS AG	HSI R Bear CBBC Aug 2014 C	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/08/28	300,000,000	2014/01/24
67261	UBS AG	Lenovo R Bull CBBC Aug 2014 B	0.250	8.88	9.38	2014/08/18	50,000,000	2014/01/24
67263	UBS AG	TCH R Bull CBBC Aug 2014 C	0.365	488 per 100 units	500 per 100 units	2014/08/11	40,000,000	2014/01/24
67262	UBS AG	TCH R Bear CBBC Oct 2014 A	0.990	622 per 100 units	610 per 100 units	2014/10/13	40,000,000	2014/01/24
67452	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/06/27	300,000,000	2014/01/27
67389	Credit Suisse AG	HSI R Bull CBBC Oct 2014 N	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2014/01/27
67388	Credit Suisse AG	HSI R Bull CBBC Oct 2014 S	0.250	22,933 per 10,000 units	23,133 per 10,000 units	2014/10/30	300,000,000	2014/01/27
67432	Credit Suisse AG	HSI R Bear CBBC May 2014 L	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/05/29	300,000,000	2014/01/27
67433	Credit Suisse AG	HSI R Bear CBBC May 2014 M	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/05/29	300,000,000	2014/01/27
67443	Credit Suisse AG	HSI R Bear CBBC May 2014 N	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/05/29	300,000,000	2014/01/27
67444	Credit Suisse AG	HSI R Bear CBBC May 2014 O	0.250	23,129 per 10,000 units	22,929 per 10,000 units	2014/05/29	300,000,000	2014/01/27
67396	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 R	0.255	23,288 per 7,000 units	23,088 per 7,000 units	2014/04/29	150,000,000	2014/01/27
67378	HK Bank	AIA R Bull CBBC Sep 2014 A	0.290	36.08	37.08	2014/09/08	60,000,000	2014/01/27
67381	HK Bank	A50 R Bull CBBC Sep 2014 E	0.250	8.3	8.6	2014/09/22	200,000,000	2014/01/27
67363	HK Bank	A50 R Bull CBBC Dec 2014 B	0.250	7.63	7.93	2014/12/31	200,000,000	2014/01/27
67376	HK Bank	A50 R Bull CBBC Jan 2015 C	0.250	7.23	7.53	2015/01/21	200,000,000	2014/01/27
67359	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 D	0.250	73.77 per 100 units	76.27 per 100 units	2014/10/22	50,000,000	2014/01/27
67360	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 E	0.250	76.77 per 100 units	79.27 per 100 units	2014/10/29	50,000,000	2014/01/27
67361	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 B	0.250	95.77 per 100 units	93.27 per 100 units	2014/11/03	40,000,000	2014/01/27
67362	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 C	0.250	98.77 per 100 units	96.27 per 100 units	2014/11/24	40,000,000	2014/01/27
67379	HK Bank	Kunlun Energy R Bull CBBC Oct 2014 A	0.250	12.58	13.38	2014/10/27	40,000,000	2014/01/27
67374	HK Bank	TCH R Bull CBBC Oct 2014 H	0.280	503.88 per 100 units	518.88 per 100 units	2014/10/10	50,000,000	2014/01/27
67405	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 F	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/06/27	100,000,000	2014/01/27
67412	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 G	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/06/27	100,000,000	2014/01/27
67385	Standard Chartered Bank	Galaxy Ent R Bull CBBC Sep 2014 A	0.250	69.88 per 100 units	72.88 per 100 units	2014/09/08	50,000,000	2014/01/27
67387	Standard Chartered Bank	Galaxy Ent R Bear CBBC Oct 2014 A	0.300	98.88 per 100 units	95.88 per 100 units	2014/10/27	50,000,000	2014/01/27
67382	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 T	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/11/27	200,000,000	2014/01/27
67397	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 U	0.250	22,738 per 10,000 units	22,838 per 10,000 units	2014/11/27	200,000,000	2014/01/27
67399	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 V	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/11/27	200,000,000	2014/01/27
67400	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 S	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/04/29	200,000,000	2014/01/27
67403	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 W	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/04/29	200,000,000	2014/01/27
67418	UBS AG	AIA R Bull CBBC Oct 2014 E	0.250	31.88 per 100 units	32.88 per 100 units	2014/10/13	100,000,000	2014/01/27
67421	UBS AG	CC Bank R Bear CBBC Aug 2014 A	0.250	6.3	6	2014/08/11	100,000,000	2014/01/27
67422	UBS AG	Sinopec Corp R Bull CBBC Jul 2014 C	0.250	5.4	5.7	2014/07/07	100,000,000	2014/01/27
67414	UBS AG	A50 China R Bear CBBC Jul 2014 A	0.250	9.8	9.5	2014/07/21	100,000,000	2014/01/27
67428	UBS AG	HSBC R Bear CBBC Jun 2014 B	0.250	90.50 per 100 units	87.50 per 100 units	2014/06/09	100,000,000	2014/01/27
67383	UBS AG	HSI R Bull CBBC Nov 2014 K	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	300,000,000	2014/01/27
67384	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	22,933 per 10,000 units	23,133 per 10,000 units	2014/11/27	300,000,000	2014/01/27

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67445	UBS AG	HSI R Bear CBBC Apr 2014 O	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/04/29	300,000,000	2014/01/27
67451	UBS AG	HSI R Bear CBBC May 2014 J	0.250	23,129 per 10,000 units	22,929 per 10,000 units	2014/05/29	300,000,000	2014/01/27
67427	UBS AG	Hutchison R Bull CBBC Sep 2014 B	0.250	99.50 per 100 units	102.50 per 100 units	2014/09/22	100,000,000	2014/01/27
67417	UBS AG	ICBC R Bear CBBC Jul 2014 B	0.250	5.5	5.25	2014/07/07	100,000,000	2014/01/27
67420	UBS AG	Lenovo R Bull CBBC Dec 2014 B	0.250	9.38	9.88	2014/12/08	50,000,000	2014/01/27
67419	UBS AG	Lenovo R Bear CBBC Oct 2014 A	0.250	12.38	11.88	2014/10/13	50,000,000	2014/01/27
67415	UBS AG	Sands China R Bull CBBC Aug 2014 E	0.250	59.50 per 100 units	62.50 per 100 units	2014/08/18	100,000,000	2014/01/27
67476	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 N	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/06/27	300,000,000	2014/01/28
67477	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 O	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/06/27	300,000,000	2014/01/28
67528	Credit Suisse AG	HSI R Bull CBBC Jul 2014 W	0.250	22,738 per 10,000 units	22,938 per 10,000 units	2014/07/30	300,000,000	2014/01/28
67534	Credit Suisse AG	HSI R Bull CBBC Jul 2014 X	0.250	22,858 per 12,000 units	23,058 per 12,000 units	2014/07/30	300,000,000	2014/01/28
67508	Credit Suisse AG	HSI R Bear CBBC Apr 2014 H	0.250	23,130 per 12,000 units	22,930 per 12,000 units	2014/04/29	300,000,000	2014/01/28
67509	Credit Suisse AG	HSI R Bear CBBC Apr 2014 M	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/04/29	300,000,000	2014/01/28
67541	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Jul 2014 A	0.250	4.98	5.28	2014/07/28	80,000,000	2014/01/28
67538	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Sep 2014 B	0.250	4.68	4.98	2014/09/22	80,000,000	2014/01/28
67545	Citigroup Global Mkt H Inc.	CC Bank R Bear CBBC May 2014 A	0.250	6.38	6.08	2014/05/12	80,000,000	2014/01/28
67547	Citigroup Global Mkt H Inc.	CC Bank R Bear CBBC Aug 2014 A	0.250	6.68	6.38	2014/08/25	80,000,000	2014/01/28
67453	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 S	0.258	23,188 per 7,000 units	22,988 per 7,000 units	2014/04/29	150,000,000	2014/01/28
67549	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2014 T	0.276	24,088 per 7,000 units	23,888 per 7,000 units	2014/04/29	150,000,000	2014/01/28
67470	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2014 C	0.250	8.08	8.38	2014/09/29	80,000,000	2014/01/28
67454	HK Bank	CSOP A50 ETF R Bull CBBC Nov 2014 C	0.250	7.78	8.08	2014/11/03	80,000,000	2014/01/28
67456	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 A	0.250	7	7.3	2015/01/26	80,000,000	2014/01/28
67469	HK Bank	Sands China R Bull CBBC Oct 2014 B	0.250	61.28 per 100 units	64.28 per 100 units	2014/10/24	60,000,000	2014/01/28
67555	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 A	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/10/30	300,000,000	2014/01/28
67556	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2014/01/28
67513	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 N	0.250	22,858 per 18,000 units	23,058 per 18,000 units	2014/09/29	100,000,000	2014/01/28
67504	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 I	0.250	23,100 per 15,000 units	22,950 per 15,000 units	2014/08/28	100,000,000	2014/01/28
67473	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 Y	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/04/29	200,000,000	2014/01/28
67475	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 Z	0.250	23,238 per 10,000 units	23,138 per 10,000 units	2014/04/29	200,000,000	2014/01/28
67512	UBS AG	CNOOC R Bull CBBC Oct 2014 B	0.250	11.58	12.38	2014/10/27	50,000,000	2014/01/28
67510	UBS AG	CNOOC R Bear CBBC Aug 2014 B	0.250	15.18	14.38	2014/08/11	50,000,000	2014/01/28
67515	UBS AG	HSI R Bull CBBC Aug 2014 U	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/01/28
67517	UBS AG	HSI R Bull CBBC Aug 2014 V	0.250	22,858 per 10,000 units	23,058 per 10,000 units	2014/08/28	300,000,000	2014/01/28
67486	UBS AG	HSI R Bear CBBC Apr 2014 B	0.250	23,130 per 12,000 units	22,930 per 12,000 units	2014/04/29	350,000,000	2014/01/28
67478	UBS AG	HSI R Bear CBBC Apr 2014 T	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2014/01/28
67484	UBS AG	HSI R Bear CBBC Apr 2014 X	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/28
67687	Credit Suisse AG	HSI R Bull CBBC Aug 2014 T	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2014/08/28	300,000,000	2014/01/29
67693	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Y	0.250	22,882 per 10,000 units	23,082 per 10,000 units	2014/08/28	300,000,000	2014/01/29
67641	Credit Suisse AG	HSI R Bear CBBC Jul 2014 M	0.250	23,238 per 12,000 units	23,038 per 12,000 units	2014/07/30	300,000,000	2014/01/29
67678	Credit Suisse AG	HSI R Bear CBBC Jul 2014 N	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2014/07/30	300,000,000	2014/01/29
67711	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Jul 2014 A	0.250	4.55	4.75	2014/07/28	80,000,000	2014/01/29
67708	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Sep 2014 B	0.250	4.3	4.5	2014/09/22	80,000,000	2014/01/29
67714	Citigroup Global Mkt H Inc.	ICBC R Bear CBBC Aug 2014 A	0.250	5.7	5.5	2014/08/25	80,000,000	2014/01/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67705	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2014 C	0.259	22,238 per 20,000 units	22,538 per 20,000 units	2014/04/29	150,000,000	2014/01/29
67617	HK Bank	Anhui Conch R Bull CBBC Aug 2015 A	0.250	26.28 per 100 units	28.28 per 100 units	2015/08/24	50,000,000	2014/01/29
67572	HK Bank	CNOOC R Bull CBBC Oct 2014 D	0.250	10.68	11.48	2014/10/06	60,000,000	2014/01/29
67587	HK Bank	CNOOC R Bull CBBC Jan 2015 A	0.290	10.28	11.08	2015/01/26	60,000,000	2014/01/29
67588	HK Bank	CNOOC R Bear CBBC Dec 2014 A	0.250	14.98	14.18	2014/12/22	40,000,000	2014/01/29
67571	HK Bank	Galaxy Ent R Bear CBBC Oct 2014 E	0.250	86.77 per 100 units	84.27 per 100 units	2014/10/13	40,000,000	2014/01/29
67560	HK Bank	HSCEI R Bull CBBC Aug 2014 C	0.250	9,638 per 10,000 units	9,838 per 10,000 units	2014/08/28	100,000,000	2014/01/29
67570	HK Bank	HSCEI R Bull CBBC Nov 2014 A	0.250	9,338 per 10,000 units	9,538 per 10,000 units	2014/11/27	100,000,000	2014/01/29
67558	HK Bank	HSI R Bull CBBC Aug 2014 Q	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/08/28	100,000,000	2014/01/29
67596	HK Bank	Lenovo R Bull CBBC Sep 2014 D	0.250	9.13	9.63	2014/09/29	60,000,000	2014/01/29
67600	HK Bank	Lenovo R Bull CBBC Dec 2014 B	0.250	9.63	10.13	2014/12/29	60,000,000	2014/01/29
67603	HK Bank	Lenovo R Bear CBBC Jan 2015 A	0.250	12.08	11.58	2015/01/19	40,000,000	2014/01/29
67632	HK Bank	Lenovo R Bear CBBC Mar 2015 A	0.250	12.88	12.38	2015/03/16	40,000,000	2014/01/29
67707	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 W	0.250	22,650 per 20,000 units	22,850 per 20,000 units	2014/11/27	300,000,000	2014/01/29
67676	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 M	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2014/11/27	100,000,000	2014/01/29
67669	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 G	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2014/07/30	100,000,000	2014/01/29
67675	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 A	0.800	593.88 per 100 units	578.88 per 100 units	2014/10/08	50,000,000	2014/01/29
67663	UBS AG	China Life R Bull CBBC Jul 2014 H	0.250	20.88	21.88	2014/07/28	50,000,000	2014/01/29
67667	UBS AG	Minsheng Bank R Bull CBBC Sep 2014 B	0.250	6.58	7.08	2014/09/29	50,000,000	2014/01/29
67666	UBS AG	Minsheng Bank R Bull CBBC Oct 2014 B	0.250	7.08	7.58	2014/10/06	50,000,000	2014/01/29
67657	UBS AG	A50 China R Bull CBBC May 2014 B	0.250	8.45	8.75	2014/05/12	100,000,000	2014/01/29
67703	UBS AG	Galaxy Ent R Bear CBBC Oct 2014 C	0.250	85.50 per 100 units	82.50 per 100 units	2014/10/20	100,000,000	2014/01/29
67654	UBS AG	HSCEI R Bull CBBC Dec 2014 B	0.250	10,050 per 10,000 units	10,250 per 10,000 units	2014/12/30	100,000,000	2014/01/29
67696	UBS AG	HSI R Bull CBBC Sep 2014 N	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/09/29	300,000,000	2014/01/29
67699	UBS AG	HSI R Bull CBBC Sep 2014 O	0.250	22,882 per 10,000 units	23,082 per 10,000 units	2014/09/29	300,000,000	2014/01/29
67638	UBS AG	HSI R Bear CBBC Apr 2014 Q	0.250	23,234 per 12,000 units	23,034 per 12,000 units	2014/04/29	350,000,000	2014/01/29
67646	UBS AG	HSI R Bear CBBC May 2014 K	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/05/29	300,000,000	2014/01/29
67649	UBS AG	HSI R Bear CBBC May 2014 W	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/05/29	300,000,000	2014/01/29
67648	UBS AG	HSI R Bear CBBC Jun 2014 D	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/06/27	300,000,000	2014/01/29
67651	UBS AG	HSI R Bear CBBC Jul 2014 E	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/07/30	300,000,000	2014/01/29
67802	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/01/30
67819	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Y	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2014/01/30
67896	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 I	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2014/01/30
67897	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 L	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/01/30
67776	Credit Suisse AG	HSI R Bull CBBC Sep 2014 B	0.250	22,880 per 12,000 units	23,080 per 12,000 units	2014/09/29	300,000,000	2014/01/30
67792	Credit Suisse AG	HSI R Bull CBBC Sep 2014 C	0.250	22,760 per 10,000 units	22,960 per 10,000 units	2014/09/29	300,000,000	2014/01/30
67859	Credit Suisse AG	HSI R Bull CBBC Sep 2014 I	0.250	22,638 per 10,000 units	22,838 per 10,000 units	2014/09/29	300,000,000	2014/01/30
67794	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Z	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/09/29	300,000,000	2014/01/30
67853	Credit Suisse AG	HSI R Bear CBBC Apr 2014 O	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67854	Credit Suisse AG	HSI R Bear CBBC Apr 2014 P	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67857	Credit Suisse AG	HSI R Bear CBBC Apr 2014 Z	0.250	22,934 per 10,000 units	22,734 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67822	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 F	0.285	21,188 per 7,000 units	21,388 per 7,000 units	2014/05/29	150,000,000	2014/01/30
67832	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 G	0.265	20,338 per 20,000 units	20,638 per 20,000 units	2014/05/29	150,000,000	2014/01/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67827	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 A	0.256	23,088 per 7,000 units	22,888 per 7,000 units	2014/05/29	150,000,000	2014/01/30
67828	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 B	0.253	22,988 per 7,000 units	22,788 per 7,000 units	2014/05/29	150,000,000	2014/01/30
67840	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 C	0.251	23,438 per 20,000 units	23,138 per 20,000 units	2014/05/29	150,000,000	2014/01/30
67842	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 D	0.252	23,238 per 20,000 units	22,938 per 20,000 units	2014/05/29	150,000,000	2014/01/30
67743	HK Bank	CSOP A50 ETF R Bull CBBC Oct 2014 B	0.250	8.38	8.68	2014/10/13	80,000,000	2014/01/30
67741	HK Bank	A50 R Bull CBBC Oct 2014 D	0.250	8.53	8.83	2014/10/13	200,000,000	2014/01/30
67735	HK Bank	A50 R Bull CBBC Nov 2014 F	0.250	8.43	8.73	2014/11/03	200,000,000	2014/01/30
67749	HK Bank	A50 R Bull CBBC Nov 2014 G	0.250	8.3	8.6	2014/11/28	200,000,000	2014/01/30
67725	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 D	0.250	80.77 per 100 units	78.27 per 100 units	2014/11/10	40,000,000	2014/01/30
67751	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 E	0.250	83.77 per 100 units	81.27 per 100 units	2014/11/17	40,000,000	2014/01/30
67726	HK Bank	HSCEI R Bull CBBC Aug 2014 D	0.250	9,938 per 10,000 units	10,138 per 10,000 units	2014/08/28	100,000,000	2014/01/30
67717	HK Bank	HSI R Bear CBBC Aug 2014 N	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/08/28	100,000,000	2014/01/30
67715	HK Bank	HSI R Bear CBBC Sep 2014 I	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2014/09/29	100,000,000	2014/01/30
67728	HK Bank	Sands China R Bear CBBC Nov 2014 D	0.250	69.28 per 100 units	66.28 per 100 units	2014/11/24	50,000,000	2014/01/30
67756	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 C	0.250	22,600 per 20,000 units	22,800 per 20,000 units	2014/10/30	300,000,000	2014/01/30
67759	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 X	0.250	22,700 per 20,000 units	22,900 per 20,000 units	2014/11/27	300,000,000	2014/01/30
67895	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 M	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67894	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 V	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67760	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 N	0.250	22,550 per 20,000 units	22,750 per 20,000 units	2014/11/27	100,000,000	2014/01/30
67765	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 O	0.250	22,628 per 12,000 units	22,828 per 12,000 units	2014/11/27	100,000,000	2014/01/30
67849	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 H	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2014/07/30	100,000,000	2014/01/30
67773	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 W	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/11/27	200,000,000	2014/01/30
67775	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 X	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/11/27	200,000,000	2014/01/30
67850	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 D	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/04/29	200,000,000	2014/01/30
67852	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 F	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/04/29	200,000,000	2014/01/30
67878	UBS AG	CNOOC R Bull CBBC Oct 2014 C	0.250	10.7	11.5	2014/10/20	50,000,000	2014/01/30
67801	UBS AG	HSI R Bull CBBC Jun 2014 Y	0.250	22,882 per 12,000 units	23,082 per 12,000 units	2014/06/27	350,000,000	2014/01/30
67800	UBS AG	HSI R Bull CBBC Sep 2014 M	0.250	22,825 per 10,000 units	23,025 per 10,000 units	2014/09/29	300,000,000	2014/01/30
67798	UBS AG	HSI R Bull CBBC Oct 2014 T	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/01/30
67799	UBS AG	HSI R Bull CBBC Dec 2014 R	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/12/30	300,000,000	2014/01/30
67891	UBS AG	HSI R Bear CBBC Apr 2014 N	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67893	UBS AG	HSI R Bear CBBC Apr 2014 P	0.250	22,934 per 10,000 units	22,734 per 10,000 units	2014/04/29	300,000,000	2014/01/30
67885	UBS AG	HSI R Bear CBBC May 2014 P	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/05/29	300,000,000	2014/01/30
67862	UBS AG	Sands China R Bear CBBC May 2014 G	0.250	68 per 100 units	65 per 100 units	2014/05/12	100,000,000	2014/01/30
68019	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 F	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/02/04
68021	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 H	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/02/04
68192	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 N	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/02/04
68186	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 C	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/06/27	300,000,000	2014/02/04
68256	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 P	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2014/02/04
68260	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 Q	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/06/27	300,000,000	2014/02/04
68176	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 T	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2014/02/04
68175	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 V	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2014/02/04
68183	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 X	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/06/27	300,000,000	2014/02/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68184	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 Y	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/06/27	300,000,000	2014/02/04
68023	Credit Suisse AG	HSI R Bull CBBC Aug 2014 K	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/02/04
68025	Credit Suisse AG	HSI R Bull CBBC Aug 2014 L	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2014/02/04
68122	Credit Suisse AG	HSI R Bull CBBC Aug 2014 O	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2014/02/04
68022	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Z	0.250	22,533 per 12,000 units	22,733 per 12,000 units	2014/08/28	300,000,000	2014/02/04
68099	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/11/27	300,000,000	2014/02/04
68089	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Z	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/11/27	300,000,000	2014/02/04
68080	Credit Suisse AG	HSI R Bull CBBC Dec 2014 A	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/12/30	300,000,000	2014/02/04
68083	Credit Suisse AG	HSI R Bull CBBC Dec 2014 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/02/04
68101	Credit Suisse AG	HSI R Bull CBBC Dec 2014 C	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/12/30	300,000,000	2014/02/04
68102	Credit Suisse AG	HSI R Bull CBBC Dec 2014 D	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/12/30	300,000,000	2014/02/04
68200	Credit Suisse AG	HSI R Bear CBBC May 2014 U	0.250	22,651 per 10,000 units	22,451 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68201	Credit Suisse AG	HSI R Bear CBBC May 2014 V	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68206	Credit Suisse AG	HSI R Bear CBBC May 2014 W	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68207	Credit Suisse AG	HSI R Bear CBBC May 2014 X	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68208	Credit Suisse AG	HSI R Bear CBBC May 2014 Y	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68070	Bank of East Asia	Anhui Conch R Bull CBBC May 2015 A	0.250	24.914 per 100 units	26.60 per 100 units	2015/05/20	200,000,000	2014/02/04
68063	Bank of East Asia	BOCL R Bull CBBC Dec 2014 A	0.250	2.8	2.98	2014/12/05	140,000,000	2014/02/04
68053	Bank of East Asia	CC Bank R Bull CBBC Nov 2014 A	0.250	4.78	5	2014/11/28	100,000,000	2014/02/04
68055	Bank of East Asia	China Life R Bull CBBC Oct 2014 A	0.360	19.18	20	2014/10/24	50,000,000	2014/02/04
68075	Bank of East Asia	CNBM R Bull CBBC Dec 2014 A	0.250	6.4	7	2014/12/22	80,000,000	2014/02/04
68064	Bank of East Asia	CNOOC R Bull CBBC Dec 2014 A	0.250	11.083	11.883	2014/12/22	50,000,000	2014/02/04
68054	Bank of East Asia	ICBC R Bull CBBC Nov 2014 A	0.250	4.08	4.3	2014/11/21	100,000,000	2014/02/04
68357	Bank of East Asia	Lenovo R Bear CBBC Sep 2014 A	0.250	12.492	11.992	2014/09/22	60,000,000	2014/02/04
68358	Bank of East Asia	Lenovo R Bear CBBC Dec 2014 A	0.300	13.192	12.692	2014/12/22	60,000,000	2014/02/04
68359	Bank of East Asia	TCH R Bull CBBC Sep 2014 A	0.700	438 per 100 units	450 per 100 units	2014/09/30	40,000,000	2014/02/04
68264	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 H	0.258	21,688 per 7,000 units	21,888 per 7,000 units	2014/05/29	150,000,000	2014/02/04
68266	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 I	0.257	21,488 per 7,000 units	21,688 per 7,000 units	2014/05/29	150,000,000	2014/02/04
68267	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 J	0.265	20,988 per 7,000 units	21,188 per 7,000 units	2014/05/29	150,000,000	2014/02/04
68047	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 E	0.250	22,888 per 7,000 units	22,688 per 7,000 units	2014/05/29	150,000,000	2014/02/04
68048	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 F	0.259	22,788 per 7,000 units	22,588 per 7,000 units	2014/05/29	150,000,000	2014/02/04
68050	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 G	0.255	23,038 per 20,000 units	22,738 per 20,000 units	2014/05/29	150,000,000	2014/02/04
68271	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 H	0.257	22,688 per 7,000 units	22,488 per 7,000 units	2014/05/29	150,000,000	2014/02/04
68001	HK Bank	BOCL R Bear CBBC Nov 2014 A	0.250	3.76	3.58	2014/11/24	40,000,000	2014/02/04
67959	HK Bank	CC Bank R Bull CBBC Sep 2014 A	0.250	4.83	5.13	2014/09/22	80,000,000	2014/02/04
67954	HK Bank	CC Bank R Bull CBBC Oct 2014 A	0.250	4.48	4.78	2014/10/27	80,000,000	2014/02/04
68006	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 C	0.250	5.98	6.48	2014/11/24	40,000,000	2014/02/04
68007	HK Bank	HSI R Bear CBBC Aug 2014 O	0.250	22,988 per 15,000 units	22,788 per 15,000 units	2014/08/28	100,000,000	2014/02/04
67919	HK Bank	HSI R Bear CBBC Sep 2014 J	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/09/29	100,000,000	2014/02/04
67921	HK Bank	HSI R Bear CBBC Oct 2014 C	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2014/10/30	100,000,000	2014/02/04
67961	HK Bank	ICBC R Bull CBBC Oct 2014 B	0.250	3.63	4.08	2014/10/27	80,000,000	2014/02/04
67967	HK Bank	ICBC R Bear CBBC Oct 2014 C	0.250	5.38	5.13	2014/10/27	40,000,000	2014/02/04
67926	HK Bank	Sands China R Bear CBBC Oct 2014 B	0.250	66.28 per 100 units	63.28 per 100 units	2014/10/20	50,000,000	2014/02/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68347	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 G	0.250	21,988 per 10,000 units	22,188 per 10,000 units	2014/10/30	300,000,000	2014/02/04
67917	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 S	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2014/02/04
67916	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Y	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/02/04
68348	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Z	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/11/27	300,000,000	2014/02/04
68321	J P Morgan SP BV	HSI R Bear CBBC May 2014 F	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68322	J P Morgan SP BV	HSI R Bear CBBC May 2014 G	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68331	J P Morgan SP BV	HSI R Bear CBBC May 2014 H	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68337	J P Morgan SP BV	HSI R Bear CBBC May 2014 I	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68029	Standard Chartered Bank	Galaxy Ent R Bull CBBC Sep 2014 B	0.250	62.88 per 100 units	65.88 per 100 units	2014/09/30	50,000,000	2014/02/04
68033	Standard Chartered Bank	Galaxy Ent R Bear CBBC Aug 2014 A	0.250	88.88 per 100 units	85.88 per 100 units	2014/08/08	50,000,000	2014/02/04
68041	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 P	0.250	22,380 per 15,000 units	22,580 per 15,000 units	2014/11/27	100,000,000	2014/02/04
68037	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 I	0.250	22,800 per 20,000 units	22,600 per 20,000 units	2014/07/30	100,000,000	2014/02/04
68349	Standard Chartered Bank	TCH R Bull CBBC Sep 2014 B	0.550	448.88 per 100 units	458.88 per 100 units	2014/09/08	50,000,000	2014/02/04
68350	Standard Chartered Bank	TCH R Bear CBBC Sep 2014 C	0.550	550.88 per 100 units	538.88 per 100 units	2014/09/12	50,000,000	2014/02/04
68296	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 A	0.250	22,338 per 10,000 units	22,438 per 10,000 units	2014/11/27	200,000,000	2014/02/04
68008	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Y	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2014/11/27	200,000,000	2014/02/04
68010	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Z	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/11/27	200,000,000	2014/02/04
68017	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 A	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/05/29	200,000,000	2014/02/04
68018	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 B	0.250	23,138 per 10,000 units	23,038 per 10,000 units	2014/05/29	200,000,000	2014/02/04
68045	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 C	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2014/05/29	200,000,000	2014/02/04
68046	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 D	0.250	22,838 per 10,000 units	22,738 per 10,000 units	2014/05/29	200,000,000	2014/02/04
68308	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 E	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2014/05/29	200,000,000	2014/02/04
68171	UBS AG	Anhui Conch R Bull CBBC Jul 2015 A	0.250	25.10 per 100 units	27.10 per 100 units	2015/07/06	50,000,000	2014/02/04
68161	UBS AG	Anhui Conch R Bull CBBC Aug 2015 A	0.250	26.30 per 100 units	28.30 per 100 units	2015/08/03	50,000,000	2014/02/04
68159	UBS AG	Anhui Conch R Bear CBBC Oct 2014 A	0.250	37 per 100 units	35 per 100 units	2014/10/16	50,000,000	2014/02/04
68142	UBS AG	Minsheng Bank R Bull CBBC Oct 2014 C	0.250	6.38	6.88	2014/10/06	50,000,000	2014/02/04
68143	UBS AG	Minsheng Bank R Bull CBBC Nov 2014 A	0.250	6.08	6.58	2014/11/03	50,000,000	2014/02/04
68137	UBS AG	Minsheng Bank R Bear CBBC Aug 2014 A	0.250	9	8.5	2014/08/18	50,000,000	2014/02/04
68125	UBS AG	CNBM R Bull CBBC Jun 2014 C	0.250	6.2	7	2014/06/30	50,000,000	2014/02/04
68124	UBS AG	CNBM R Bear CBBC Sep 2014 A	0.250	9.68	8.88	2014/09/22	50,000,000	2014/02/04
68123	UBS AG	HSI R Bull CBBC Oct 2014 U	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/10/30	300,000,000	2014/02/04
68026	UBS AG	HSI R Bull CBBC Nov 2014 M	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/02/04
68027	UBS AG	HSI R Bull CBBC Dec 2014 S	0.250	22,533 per 10,000 units	22,733 per 10,000 units	2014/12/30	300,000,000	2014/02/04
68237	UBS AG	HSI R Bear CBBC May 2014 Q	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68254	UBS AG	HSI R Bear CBBC May 2014 S	0.250	22,651 per 10,000 units	22,451 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68251	UBS AG	HSI R Bear CBBC May 2014 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/05/29	300,000,000	2014/02/04
68236	UBS AG	HSI R Bear CBBC Aug 2014 D	0.250	24,200 per 38,000 units	23,800 per 38,000 units	2014/08/28	300,000,000	2014/02/04
68174	UBS AG	Kunlun Energy R Bull CBBC Jul 2014 B	0.290	10.48	11.28	2014/07/28	50,000,000	2014/02/04
68234	UBS AG	Kunlun Energy R Bull CBBC Sep 2014 A	0.250	12.58	13.38	2014/09/15	50,000,000	2014/02/04
68173	UBS AG	Kunlun Energy R Bear CBBC Oct 2014 A	0.295	16.3	15.5	2014/10/06	50,000,000	2014/02/04
68149	UBS AG	Lenovo R Bull CBBC Dec 2014 C	0.250	9.88	10.38	2014/12/22	50,000,000	2014/02/04
68157	UBS AG	Lenovo R Bull CBBC Dec 2014 D	0.325	7.38	7.88	2014/12/08	50,000,000	2014/02/04
68144	UBS AG	Lenovo R Bear CBBC Oct 2014 B	0.280	13.38	12.88	2014/10/27	50,000,000	2014/02/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68145	UBS AG	Lenovo R Bear CBBC Oct 2014 C	0.250	12.88	12.38	2014/10/06	50,000,000	2014/02/04
68147	UBS AG	Lenovo R Bear CBBC Oct 2014 D	0.280	11.88	11.38	2014/10/20	50,000,000	2014/02/04
68590	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 E	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/08/28	300,000,000	2014/02/05
68602	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 I	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/08/28	300,000,000	2014/02/05
68588	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Q	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/02/05
68615	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 V	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/02/05
68616	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 W	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/02/05
69999	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 X	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/02/05
60182	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 A	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60183	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 B	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60184	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 C	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60185	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 D	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60186	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 E	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60187	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 F	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60189	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 G	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60192	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 H	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60195	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 I	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2014/02/05
60008	Credit Suisse AG	HSI R Bull CBBC Nov 2014 C	0.250	22,238 per 12,000 units	22,438 per 12,000 units	2014/11/27	300,000,000	2014/02/05
60012	Credit Suisse AG	HSI R Bull CBBC Nov 2014 D	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60000	Credit Suisse AG	HSI R Bull CBBC Nov 2014 E	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60014	Credit Suisse AG	HSI R Bull CBBC Nov 2014 H	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60019	Credit Suisse AG	HSI R Bull CBBC Nov 2014 I	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60026	Credit Suisse AG	HSI R Bull CBBC Nov 2014 L	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60027	Credit Suisse AG	HSI R Bull CBBC Nov 2014 M	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60168	Credit Suisse AG	HSI R Bear CBBC May 2014 E	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60170	Credit Suisse AG	HSI R Bear CBBC May 2014 F	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60171	Credit Suisse AG	HSI R Bear CBBC May 2014 G	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60173	Credit Suisse AG	HSI R Bear CBBC May 2014 I	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60181	Credit Suisse AG	HSI R Bear CBBC May 2014 P	0.250	22,177 per 10,000 units	21,977 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60169	Credit Suisse AG	HSI R Bear CBBC May 2014 Z	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60091	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 K	0.256	21,288 per 7,000 units	21,488 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60092	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 L	0.258	21,088 per 7,000 units	21,288 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60094	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 M	0.254	20,788 per 7,000 units	20,988 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60097	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 I	0.259	22,588 per 7,000 units	22,388 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60098	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 J	0.256	22,488 per 7,000 units	22,288 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60106	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 K	0.263	22,388 per 7,000 units	22,188 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60111	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 L	0.267	22,288 per 7,000 units	22,088 per 7,000 units	2014/05/29	150,000,000	2014/02/05
60113	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 M	0.256	22,838 per 20,000 units	22,538 per 20,000 units	2014/05/29	150,000,000	2014/02/05
60129	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 N	0.259	22,638 per 20,000 units	22,338 per 20,000 units	2014/05/29	150,000,000	2014/02/05
60196	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 O	0.258	22,188 per 7,000 units	21,988 per 7,000 units	2014/05/29	150,000,000	2014/02/05
68372	HK Bank	Geely Auto R Bull CBBC Oct 2014 A	0.250	2.63	2.83	2014/10/31	40,000,000	2014/02/05
68371	HK Bank	Great Wall Motor R Bull CBBC Sep 2015 A	0.250	26.38 per 100 units	28.88 per 100 units	2015/09/21	40,000,000	2014/02/05
68410	HK Bank	HSI R Bull CBBC Sep 2014 J	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/09/29	100,000,000	2014/02/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68398	HK Bank	HSI R Bull CBBC Oct 2014 C	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/10/30	100,000,000	2014/02/05
68403	HK Bank	HSI R Bear CBBC Aug 2014 P	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2014/08/28	100,000,000	2014/02/05
68394	HK Bank	HSI R Bear CBBC Sep 2014 K	0.250	22,788 per 15,000 units	22,588 per 15,000 units	2014/09/29	100,000,000	2014/02/05
68397	HK Bank	HSI R Bear CBBC Oct 2014 D	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/10/30	100,000,000	2014/02/05
68363	HK Bank	TCH R Bear CBBC Sep 2014 L	0.350	533.88 per 100 units	518.88 per 100 units	2014/09/29	50,000,000	2014/02/05
68361	HK Bank	TCH R Bear CBBC Oct 2014 G	0.450	543.88 per 100 units	528.88 per 100 units	2014/10/27	50,000,000	2014/02/05
60210	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 S	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/09/29	300,000,000	2014/02/05
68411	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 U	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/09/29	300,000,000	2014/02/05
68436	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 X	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/09/29	300,000,000	2014/02/05
68434	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 H	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/10/30	300,000,000	2014/02/05
60201	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 L	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/10/30	300,000,000	2014/02/05
68422	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 R	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/10/30	300,000,000	2014/02/05
68430	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 B	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60199	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 C	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60197	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 L	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/12/30	300,000,000	2014/02/05
60211	J P Morgan SP BV	HSI R Bear CBBC May 2014 J	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60212	J P Morgan SP BV	HSI R Bear CBBC May 2014 K	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60217	J P Morgan SP BV	HSI R Bear CBBC May 2014 L	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60219	J P Morgan SP BV	HSI R Bear CBBC May 2014 M	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60148	Standard Chartered Bank	Galaxy Ent R Bull CBBC Aug 2014 A	0.250	56.38 per 100 units	58.88 per 100 units	2014/08/25	50,000,000	2014/02/05
68586	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 A	0.250	21,688 per 12,000 units	21,888 per 12,000 units	2014/12/30	100,000,000	2014/02/05
68529	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 Z	0.250	22,000 per 15,000 units	22,200 per 15,000 units	2014/12/30	100,000,000	2014/02/05
68585	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 J	0.250	22,630 per 15,000 units	22,480 per 15,000 units	2014/08/28	100,000,000	2014/02/05
60146	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 K	0.250	22,400 per 20,000 units	22,200 per 20,000 units	2014/08/28	100,000,000	2014/02/05
60147	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 L	0.250	22,588 per 12,000 units	22,388 per 12,000 units	2014/08/28	100,000,000	2014/02/05
68450	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14F	0.250	20,238 per 10,000 units	20,338 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68437	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14G	0.250	20,138 per 10,000 units	20,238 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68483	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14H	0.250	21,838 per 10,000 units	21,938 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68455	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14I	0.250	20,338 per 10,000 units	20,438 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68486	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14J	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68465	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14K	0.250	20,438 per 10,000 units	20,538 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68474	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14L	0.250	20,538 per 10,000 units	20,638 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68475	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14M	0.250	20,638 per 10,000 units	20,738 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68477	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14N	0.250	20,738 per 10,000 units	20,838 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68521	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14O	0.250	22,138 per 10,000 units	22,238 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68478	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14P	0.250	20,838 per 10,000 units	20,938 per 10,000 units	2014/11/27	200,000,000	2014/02/05
68526	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14Q	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2014/11/27	200,000,000	2014/02/05
60033	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14F	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2014/05/29	200,000,000	2014/02/05
60034	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14G	0.250	22,238 per 10,000 units	22,138 per 10,000 units	2014/05/29	200,000,000	2014/02/05
60035	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14H	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2014/05/29	200,000,000	2014/02/05
60040	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14I	0.250	22,538 per 10,000 units	22,438 per 10,000 units	2014/05/29	200,000,000	2014/02/05
60049	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14J	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2014/05/29	200,000,000	2014/02/05
60089	UBS AG	Galaxy Ent R Bear CBBC Oct 2014 D	0.250	80.50 per 100 units	77.50 per 100 units	2014/10/06	100,000,000	2014/02/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60082	UBS AG	HSCEI R Bull CBBC Dec 2014 C	0.250	9,500 per 10,000 units	9,700 per 10,000 units	2014/12/30	100,000,000	2014/02/05
60032	UBS AG	HSI R Bull CBBC Aug 2014 W	0.250	22,240 per 10,000 units	22,440 per 10,000 units	2014/08/28	300,000,000	2014/02/05
60070	UBS AG	HSI R Bull CBBC Sep 2014 P	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/09/29	300,000,000	2014/02/05
60030	UBS AG	HSI R Bull CBBC Oct 2014 P	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/10/30	300,000,000	2014/02/05
60067	UBS AG	HSI R Bull CBBC Oct 2014 R	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/10/30	300,000,000	2014/02/05
60079	UBS AG	HSI R Bull CBBC Oct 2014 V	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/10/30	300,000,000	2014/02/05
60031	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60057	UBS AG	HSI R Bull CBBC Nov 2014 O	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60066	UBS AG	HSI R Bull CBBC Nov 2014 P	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60069	UBS AG	HSI R Bull CBBC Nov 2014 Q	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60080	UBS AG	HSI R Bull CBBC Nov 2014 R	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/11/27	300,000,000	2014/02/05
60029	UBS AG	HSI R Bull CBBC Dec 2014 T	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/02/05
60061	UBS AG	HSI R Bull CBBC Dec 2014 U	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/12/30	300,000,000	2014/02/05
60065	UBS AG	HSI R Bull CBBC Dec 2014 V	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/12/30	300,000,000	2014/02/05
60167	UBS AG	HSI R Bear CBBC May 2014 M	0.250	22,177 per 10,000 units	21,977 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60142	UBS AG	HSI R Bear CBBC May 2014 R	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60141	UBS AG	HSI R Bear CBBC May 2014 V	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60144	UBS AG	HSI R Bear CBBC May 2014 X	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/05/29	300,000,000	2014/02/05
60130	UBS AG	HSI R Bear CBBC Jun 2014 E	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/06/27	300,000,000	2014/02/05
60131	UBS AG	HSI R Bear CBBC Jun 2014 F	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2014/02/05
60135	UBS AG	HSI R Bear CBBC Jun 2014 G	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/06/27	300,000,000	2014/02/05
60083	UBS AG	TCH R Bear CBBC Aug 2014 C	0.500	542 per 100 units	530 per 100 units	2014/08/25	40,000,000	2014/02/05
60150	UBS AG	TCH R Bear CBBC Sep 2014 D	0.430	532 per 100 units	520 per 100 units	2014/09/15	40,000,000	2014/02/05
60384	Credit Suisse AG	HSI R Bull CBBC Sep 2014 D	0.250	21,796 per 10,000 units	21,996 per 10,000 units	2014/09/29	300,000,000	2014/02/06
60365	Credit Suisse AG	HSI R Bull CBBC Sep 2014 J	0.250	21,638 per 10,000 units	21,838 per 10,000 units	2014/09/29	300,000,000	2014/02/06
60257	Credit Suisse AG	HSI R Bull CBBC Dec 2014 E	0.250	20,538 per 10,000 units	20,738 per 10,000 units	2014/12/30	300,000,000	2014/02/06
60258	Credit Suisse AG	HSI R Bull CBBC Dec 2014 F	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/12/30	300,000,000	2014/02/06
60259	Credit Suisse AG	HSI R Bull CBBC Dec 2014 G	0.250	20,988 per 10,000 units	21,188 per 10,000 units	2014/12/30	300,000,000	2014/02/06
60261	Credit Suisse AG	HSI R Bear CBBC Jul 2014 O	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/07/30	300,000,000	2014/02/06
60262	Credit Suisse AG	HSI R Bear CBBC Jul 2014 P	0.250	22,688 per 10,000 units	22,488 per 10,000 units	2014/07/30	300,000,000	2014/02/06
60264	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Q	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/07/30	300,000,000	2014/02/06
60265	Credit Suisse AG	HSI R Bear CBBC Jul 2014 R	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	300,000,000	2014/02/06
60277	Credit Suisse AG	HSI R Bear CBBC Jul 2014 S	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/07/30	300,000,000	2014/02/06
60392	Credit Suisse AG	HSI R Bear CBBC Jul 2014 U	0.250	22,161 per 12,000 units	21,961 per 12,000 units	2014/07/30	300,000,000	2014/02/06
60424	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Nov 2014 A	0.250	107.50 per 100 units	112.50 per 100 units	2014/11/17	50,000,000	2014/02/06
60426	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Nov 2014 B	0.250	112.50 per 100 units	117.50 per 100 units	2014/11/17	50,000,000	2014/02/06
60428	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Nov 2014 A	0.250	72 per 100 units	74.80 per 100 units	2014/11/10	80,000,000	2014/02/06
60429	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Sep 2014 A	0.250	89.60 per 100 units	86.80 per 100 units	2014/09/29	80,000,000	2014/02/06
60284	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 N	0.262	21,788 per 7,000 units	21,988 per 7,000 units	2014/05/29	150,000,000	2014/02/06
60291	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 O	0.287	20,588 per 7,000 units	20,788 per 7,000 units	2014/05/29	150,000,000	2014/02/06
60294	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 P	0.279	20,388 per 7,000 units	20,588 per 7,000 units	2014/05/29	150,000,000	2014/02/06
60296	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 Q	0.270	20,188 per 7,000 units	20,388 per 7,000 units	2014/05/29	150,000,000	2014/02/06
60298	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 R	0.298	19,988 per 7,000 units	20,188 per 7,000 units	2014/05/29	150,000,000	2014/02/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60225	HK Bank	HSCEI R Bear CBBC Sep 2014 D	0.250	10,488 per 10,000 units	10,288 per 10,000 units	2014/09/29	68,000,000	2014/02/06
60220	HK Bank	HSI R Bull CBBC Aug 2014 R	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/08/28	100,000,000	2014/02/06
60221	HK Bank	HSI R Bull CBBC Dec 2014 A	0.250	20,688 per 15,000 units	20,888 per 15,000 units	2014/12/30	100,000,000	2014/02/06
60222	HK Bank	HSI R Bull CBBC Jan 2015 A	0.250	20,388 per 15,000 units	20,588 per 15,000 units	2015/01/29	100,000,000	2014/02/06
60223	HK Bank	HSI R Bull CBBC Feb 2015 A	0.250	20,188 per 15,000 units	20,388 per 15,000 units	2015/02/26	100,000,000	2014/02/06
60237	HK Bank	HSI R Bear CBBC Aug 2014 Q	0.250	22,388 per 15,000 units	22,188 per 15,000 units	2014/08/28	100,000,000	2014/02/06
60236	HK Bank	HSI R Bear CBBC Sep 2014 L	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/09/29	100,000,000	2014/02/06
60227	HK Bank	HSI R Bear CBBC Oct 2014 E	0.250	22,588 per 15,000 units	22,388 per 15,000 units	2014/10/30	100,000,000	2014/02/06
60224	HK Bank	HSI R Bear CBBC Dec 2014 A	0.250	23,328 per 20,000 units	23,028 per 20,000 units	2014/12/30	400,000,000	2014/02/06
60238	HK Bank	Huishan Dairy R Bull CBBC Mar 2015 A	0.250	1.98	2.28	2015/03/31	40,000,000	2014/02/06
60401	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 T	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/09/29	300,000,000	2014/02/06
60400	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 O	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/10/30	300,000,000	2014/02/06
60399	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 A	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/11/27	300,000,000	2014/02/06
60402	J P Morgan SP BV	HSI R Bear CBBC May 2014 N	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/05/29	300,000,000	2014/02/06
60409	J P Morgan SP BV	HSI R Bear CBBC May 2014 O	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/05/29	300,000,000	2014/02/06
60361	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 H	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/06/27	100,000,000	2014/02/06
60362	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 I	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/06/27	100,000,000	2014/02/06
60355	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/06/27	100,000,000	2014/02/06
60356	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	100,000,000	2014/02/06
60300	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC Aug 2014A	0.250	8.28	8.58	2014/08/01	50,000,000	2014/02/06
60396	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 B	0.250	21,768 per 12,000 units	21,968 per 12,000 units	2014/12/30	100,000,000	2014/02/06
60282	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 M	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/08/28	100,000,000	2014/02/06
60281	Standard Chartered Bank	Sands China R Bull CBBC Aug 2014 A	0.250	47.88 per 100 units	50.88 per 100 units	2014/08/18	50,000,000	2014/02/06
60299	Standard Chartered Bank	Sands China R Bear CBBC May 2014 A	0.250	73.88 per 100 units	70.88 per 100 units	2014/05/08	50,000,000	2014/02/06
60245	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14E	0.250	20,038 per 10,000 units	20,138 per 10,000 units	2014/11/27	200,000,000	2014/02/06
60242	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14R	0.250	19,938 per 10,000 units	19,938 per 10,000 units	2014/11/27	200,000,000	2014/02/06
60243	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14S	0.250	19,938 per 10,000 units	20,038 per 10,000 units	2014/11/27	200,000,000	2014/02/06
60246	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14T	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2014/11/27	200,000,000	2014/02/06
60251	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14K	0.250	22,138 per 10,000 units	22,038 per 10,000 units	2014/05/29	200,000,000	2014/02/06
60252	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14L	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2014/05/29	200,000,000	2014/02/06
60254	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14M	0.250	22,438 per 10,000 units	22,338 per 10,000 units	2014/05/29	200,000,000	2014/02/06
60256	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14N	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/05/29	200,000,000	2014/02/06
60349	UBS AG	Geely Auto R Bull CBBC Nov 2014 A	0.250	2.65	2.85	2014/11/24	50,000,000	2014/02/06
60351	UBS AG	Galaxy Ent R Bull CBBC Sep 2014 E	0.250	67 per 100 units	70 per 100 units	2014/09/29	100,000,000	2014/02/06
60350	UBS AG	Galaxy Ent R Bear CBBC Sep 2014 B	0.250	83 per 100 units	80 per 100 units	2014/09/22	100,000,000	2014/02/06
60387	UBS AG	HSI R Bull CBBC Aug 2014 B	0.250	21,796 per 10,000 units	21,996 per 10,000 units	2014/08/28	300,000,000	2014/02/06
60333	UBS AG	HSI R Bull CBBC Sep 2014 Q	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/09/29	300,000,000	2014/02/06
60334	UBS AG	HSI R Bull CBBC Oct 2014 L	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/10/30	300,000,000	2014/02/06
60340	UBS AG	HSI R Bull CBBC Oct 2014 M	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/10/30	300,000,000	2014/02/06
60335	UBS AG	HSI R Bull CBBC Oct 2014 W	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/10/30	300,000,000	2014/02/06
60332	UBS AG	HSI R Bull CBBC Oct 2014 X	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/10/30	300,000,000	2014/02/06
60325	UBS AG	HSI R Bull CBBC Dec 2014 W	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/12/30	300,000,000	2014/02/06
60240	UBS AG	HSI R Bear CBBC May 2014 N	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/05/29	300,000,000	2014/02/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60312	UBS AG	HSI R Bear CBBC May 2014 U	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/05/29	300,000,000	2014/02/06
60394	UBS AG	HSI R Bear CBBC May 2014 Y	0.250	22,161 per 10,000 units	21,961 per 10,000 units	2014/05/29	300,000,000	2014/02/06
60302	UBS AG	HSI R Bear CBBC Jun 2014 I	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/06/27	300,000,000	2014/02/06
60319	UBS AG	HSI R Bear CBBC Jun 2014 J	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2014/02/06
60321	UBS AG	HSI R Bear CBBC Jun 2014 K	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/06/27	300,000,000	2014/02/06
60395	UBS AG	HSI R Bear CBBC Aug 2014 E	0.250	23,700 per 38,000 units	23,300 per 38,000 units	2014/08/28	300,000,000	2014/02/06
60584	Credit Suisse AG	HSI R Bull CBBC Oct 2014 K	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/10/30	300,000,000	2014/02/07
60583	Credit Suisse AG	HSI R Bull CBBC Oct 2014 P	0.250	21,738 per 10,000 units	21,938 per 10,000 units	2014/10/30	300,000,000	2014/02/07
60588	Credit Suisse AG	HSI R Bull CBBC Oct 2014 W	0.250	21,991 per 10,000 units	22,191 per 10,000 units	2014/10/30	300,000,000	2014/02/07
60485	Credit Suisse AG	HSI R Bear CBBC Aug 2014 E	0.250	22,188 per 10,000 units	21,988 per 10,000 units	2014/08/28	300,000,000	2014/02/07
60486	Credit Suisse AG	HSI R Bear CBBC Aug 2014 F	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/08/28	300,000,000	2014/02/07
60488	Credit Suisse AG	HSI R Bear CBBC Aug 2014 G	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/02/07
60489	Credit Suisse AG	HSI R Bear CBBC Aug 2014 H	0.250	22,638 per 10,000 units	22,438 per 10,000 units	2014/08/28	300,000,000	2014/02/07
60513	Credit Suisse AG	HSI R Bear CBBC Aug 2014 I	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2014/08/28	300,000,000	2014/02/07
60708	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Aug14A	0.250	82 per 100 units	79.50 per 100 units	2014/08/27	80,000,000	2014/02/07
60709	Citigroup Global Mkt H Inc.	CC Bank R Bear CBBC Aug 2014 B	0.250	6.08	5.78	2014/08/27	80,000,000	2014/02/07
60691	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Sep14 C	0.250	19	20	2014/09/29	50,000,000	2014/02/07
60678	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Sep14A	0.250	9.6	9.3	2014/09/29	100,000,000	2014/02/07
60672	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Sep 14 B	0.250	9.3	9	2014/09/29	100,000,000	2014/02/07
60604	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Sep14 B	0.250	68 per 100 units	70.50 per 100 units	2014/09/22	60,000,000	2014/02/07
60602	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Oct14 A	0.250	63 per 100 units	65.50 per 100 units	2014/10/27	60,000,000	2014/02/07
60600	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Nov14 A	0.250	60.50 per 100 units	63 per 100 units	2014/11/24	60,000,000	2014/02/07
60609	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Sep14 B	0.250	80.50 per 100 units	78 per 100 units	2014/09/22	60,000,000	2014/02/07
60624	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Oct14 A	0.250	83 per 100 units	80.50 per 100 units	2014/10/27	60,000,000	2014/02/07
60707	Citigroup Global Mkt H Inc.	ICBC R Bear CBBC Sep 2014 A	0.250	5.45	5.25	2014/09/29	80,000,000	2014/02/07
60706	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Aug 2014 A	0.250	71 per 100 units	67 per 100 units	2014/08/27	80,000,000	2014/02/07
60635	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Aug 2014 A	0.500	488 per 100 units	498 per 100 units	2014/08/06	50,000,000	2014/02/07
60630	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Sep 2014 B	0.600	478 per 100 units	488 per 100 units	2014/09/22	50,000,000	2014/02/07
60629	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Oct 2014 A	0.700	468 per 100 units	478 per 100 units	2014/10/27	50,000,000	2014/02/07
60636	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Aug 2014 A	0.300	558 per 100 units	548 per 100 units	2014/08/06	50,000,000	2014/02/07
60637	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Sep 2014 A	0.400	568 per 100 units	558 per 100 units	2014/09/22	50,000,000	2014/02/07
60640	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Oct 2014 A	0.500	578 per 100 units	568 per 100 units	2014/10/27	50,000,000	2014/02/07
60533	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 D	0.420	19,588 per 7,000 units	19,788 per 7,000 units	2014/06/27	150,000,000	2014/02/07
60535	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 E	0.390	19,788 per 7,000 units	19,988 per 7,000 units	2014/06/27	150,000,000	2014/02/07
60536	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 F	0.258	21,888 per 7,000 units	22,088 per 7,000 units	2014/06/27	150,000,000	2014/02/07
60537	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 G	0.251	21,988 per 7,000 units	22,188 per 7,000 units	2014/06/27	150,000,000	2014/02/07
60646	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 V	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/09/29	300,000,000	2014/02/07
60641	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Y	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/10/30	300,000,000	2014/02/07
60660	J P Morgan SP BV	HSI R Bear CBBC May 2014 P	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2014/02/07
60670	J P Morgan SP BV	HSI R Bear CBBC May 2014 Q	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/05/29	300,000,000	2014/02/07
60579	Standard Chartered Bank	TCH R Bull CBBC Sep 2014 C	0.420	486.88 per 100 units	498.88 per 100 units	2014/09/22	50,000,000	2014/02/07
60531	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 U	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/11/27	200,000,000	2014/02/07
60532	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 V	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2014/11/27	200,000,000	2014/02/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60471	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 O	0.250	22,938 per 10,000 units	22,838 per 10,000 units	2014/05/29	200,000,000	2014/02/07
60473	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 P	0.250	22,638 per 10,000 units	22,538 per 10,000 units	2014/05/29	200,000,000	2014/02/07
60479	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 Q	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2014/05/29	200,000,000	2014/02/07
60482	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 R	0.250	22,338 per 10,000 units	22,238 per 10,000 units	2014/05/29	200,000,000	2014/02/07
60484	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 S	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2014/05/29	200,000,000	2014/02/07
60557	UBS AG	HSCEI R Bear CBBC May 2014 G	0.250	10,450 per 10,000 units	10,250 per 10,000 units	2014/05/29	100,000,000	2014/02/07
60550	UBS AG	HSI R Bull CBBC Aug 2014 H	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/08/28	300,000,000	2014/02/07
60544	UBS AG	HSI R Bull CBBC Oct 2014 Y	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/10/30	300,000,000	2014/02/07
60582	UBS AG	HSI R Bull CBBC Nov 2014 S	0.250	21,991 per 10,000 units	22,191 per 10,000 units	2014/11/27	300,000,000	2014/02/07
60515	UBS AG	HSI R Bear CBBC Jun 2014 L	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/06/27	300,000,000	2014/02/07
60520	UBS AG	HSI R Bear CBBC Jun 2014 M	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/06/27	300,000,000	2014/02/07
60526	UBS AG	HSI R Bear CBBC Jun 2014 N	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/06/27	300,000,000	2014/02/07
60527	UBS AG	HSI R Bear CBBC Jun 2014 O	0.250	22,165 per 12,000 units	21,965 per 12,000 units	2014/06/27	350,000,000	2014/02/07
60572	UBS AG	Huishan Dairy R Bull CBBC Jan 2015 A	0.250	2	2.3	2015/01/26	40,000,000	2014/02/07
60578	UBS AG	Hutchison R Bull CBBC Oct 2014 C	0.250	84.50 per 100 units	87.50 per 100 units	2014/10/13	100,000,000	2014/02/07
60574	UBS AG	TCH R Bull CBBC Jul 2014 N	0.485	478 per 100 units	490 per 100 units	2014/07/07	40,000,000	2014/02/07
60745	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2014 B	0.250	9,200 per 5,000 units	9,400 per 5,000 units	2014/12/30	200,000,000	2014/02/10
60730	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 R	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/08/28	300,000,000	2014/02/10
60732	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 J	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/07/30	300,000,000	2014/02/10
60737	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 K	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/07/30	300,000,000	2014/02/10
60772	Credit Suisse AG	HSI R Bull CBBC Dec 2014 H	0.250	21,941 per 10,000 units	22,141 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60774	Credit Suisse AG	HSI R Bull CBBC Dec 2014 I	0.250	21,808 per 10,000 units	22,008 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60779	Credit Suisse AG	HSI R Bull CBBC Dec 2014 J	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60799	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60884	Credit Suisse AG	HSI R Bear CBBC Aug 2014 J	0.250	22,236 per 10,000 units	22,036 per 10,000 units	2014/08/28	300,000,000	2014/02/10
60889	Credit Suisse AG	HSI R Bear CBBC Aug 2014 K	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2014/08/28	300,000,000	2014/02/10
60892	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 H	0.253	19,738 per 20,000 units	20,038 per 20,000 units	2014/06/27	150,000,000	2014/02/10
60893	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 I	0.250	19,938 per 20,000 units	20,238 per 20,000 units	2014/06/27	150,000,000	2014/02/10
60894	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 J	0.261	20,138 per 20,000 units	20,438 per 20,000 units	2014/06/27	150,000,000	2014/02/10
60895	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 K	0.252	21,538 per 20,000 units	21,838 per 20,000 units	2014/06/27	150,000,000	2014/02/10
60896	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 L	0.266	21,588 per 7,000 units	21,788 per 7,000 units	2014/06/27	150,000,000	2014/02/10
60723	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 Y	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/09/29	300,000,000	2014/02/10
60720	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Z	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/10/30	300,000,000	2014/02/10
60713	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 D	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/11/27	300,000,000	2014/02/10
60718	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 M	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/11/27	300,000,000	2014/02/10
60868	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 O	0.250	21,600 per 20,000 units	21,800 per 20,000 units	2014/09/29	100,000,000	2014/02/10
60871	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 P	0.250	20,800 per 20,000 units	21,000 per 20,000 units	2014/09/29	100,000,000	2014/02/10
60746	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 W	0.250	22,038 per 10,000 units	22,138 per 10,000 units	2014/11/27	200,000,000	2014/02/10
60760	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 X	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2014/11/27	200,000,000	2014/02/10
60762	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 A	0.250	21,738 per 10,000 units	21,838 per 10,000 units	2014/12/30	200,000,000	2014/02/10
60845	UBS AG	China Mobile R Bear CBBC Jul 2014 B	0.250	82.50 per 100 units	80 per 100 units	2014/07/28	100,000,000	2014/02/10
60847	UBS AG	CC Bank R Bear CBBC Aug 2014 B	0.250	6.05	5.75	2014/08/04	100,000,000	2014/02/10
60843	UBS AG	China Life R Bear CBBC Aug 2014 A	0.355	24.88	23.88	2014/08/04	50,000,000	2014/02/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60863	UBS AG	HkEx R Bear CBBC Jul 2014 C	0.250	135 per 100 units	130 per 100 units	2014/07/14	100,000,000	2014/02/10
60819	UBS AG	HSI R Bull CBBC Aug 2014 C	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/08/28	300,000,000	2014/02/10
60826	UBS AG	HSI R Bull CBBC Sep 2014 I	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/09/29	300,000,000	2014/02/10
60836	UBS AG	HSI R Bull CBBC Sep 2014 K	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/09/29	300,000,000	2014/02/10
60807	UBS AG	HSI R Bull CBBC Sep 2014 L	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/09/29	300,000,000	2014/02/10
60842	UBS AG	HSI R Bull CBBC Nov 2014 T	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/11/27	300,000,000	2014/02/10
60800	UBS AG	HSI R Bull CBBC Dec 2014 X	0.250	21,941 per 10,000 units	22,141 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60840	UBS AG	HSI R Bull CBBC Dec 2014 Y	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60841	UBS AG	HSI R Bull CBBC Dec 2014 Z	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/12/30	300,000,000	2014/02/10
60890	UBS AG	HSI R Bear CBBC Jun 2014 P	0.250	22,236 per 12,000 units	22,036 per 12,000 units	2014/06/27	350,000,000	2014/02/10
60844	UBS AG	Ping An R Bear CBBC Aug 2014 B	0.250	71.50 per 100 units	67.50 per 100 units	2014/08/11	100,000,000	2014/02/10
60854	UBS AG	TCH R Bull CBBC Jul 2014 O	0.445	488 per 100 units	500 per 100 units	2014/07/21	40,000,000	2014/02/10
60848	UBS AG	TCH R Bull CBBC Aug 2014 D	0.345	498 per 100 units	510 per 100 units	2014/08/18	40,000,000	2014/02/10
60891	UBS AG	TCH R Bear CBBC Sep 2014 E	1.220	665 per 100 units	650 per 100 units	2014/09/22	40,000,000	2014/02/10
61236	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 G	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/08/28	300,000,000	2014/02/11
61161	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 O	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60962	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 S	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60963	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 U	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/08/28	300,000,000	2014/02/11
61167	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 L	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61173	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 M	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61178	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 N	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61180	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 O	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61181	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 P	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61182	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 Q	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61189	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 R	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61270	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 S	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61272	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 T	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61275	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 U	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/07/30	300,000,000	2014/02/11
60981	Credit Suisse AG	HSI R Bull CBBC Aug 2014 G	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60986	Credit Suisse AG	HSI R Bull CBBC Aug 2014 J	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60982	Credit Suisse AG	HSI R Bull CBBC Aug 2014 N	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60987	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Q	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60976	Credit Suisse AG	HSI R Bull CBBC Aug 2014 V	0.250	21,835 per 10,000 units	22,035 per 10,000 units	2014/08/28	300,000,000	2014/02/11
61130	Credit Suisse AG	HSI R Bull CBBC Sep 2014 E	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/09/29	300,000,000	2014/02/11
61133	Credit Suisse AG	HSI R Bull CBBC Sep 2014 G	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/09/29	300,000,000	2014/02/11
61149	Credit Suisse AG	HSI R Bull CBBC Sep 2014 H	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/09/29	300,000,000	2014/02/11
61193	Credit Suisse AG	HSI R Bull CBBC Sep 2014 P	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/09/29	300,000,000	2014/02/11
61132	Credit Suisse AG	HSI R Bull CBBC Oct 2014 L	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/10/30	300,000,000	2014/02/11
61136	Credit Suisse AG	HSI R Bull CBBC Oct 2014 T	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/10/30	300,000,000	2014/02/11
61141	Credit Suisse AG	HSI R Bull CBBC Oct 2014 U	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/10/30	300,000,000	2014/02/11
61150	Credit Suisse AG	HSI R Bull CBBC Oct 2014 V	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2014/10/30	300,000,000	2014/02/11
61254	Credit Suisse AG	HSI R Bear CBBC May 2014 H	0.250	21,598 per 10,000 units	21,398 per 10,000 units	2014/05/29	300,000,000	2014/02/11
61245	Credit Suisse AG	HSI R Bear CBBC May 2014 S	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/05/29	300,000,000	2014/02/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61239	Credit Suisse AG	HSI R Bear CBBC Jul 2014 V	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61240	Credit Suisse AG	HSI R Bear CBBC Jul 2014 W	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61241	Credit Suisse AG	HSI R Bear CBBC Jul 2014 X	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61246	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Y	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/07/30	300,000,000	2014/02/11
61323	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Nov14A	0.250	64.50 per 100 units	67 per 100 units	2014/11/24	80,000,000	2014/02/11
61322	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Dec14A	0.250	62 per 100 units	64.50 per 100 units	2014/12/15	80,000,000	2014/02/11
61321	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Nov14A	0.250	18.2	19.2	2014/11/24	50,000,000	2014/02/11
61320	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Dec14A	0.305	17.4	18.4	2014/12/15	50,000,000	2014/02/11
61316	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Oct14A	0.250	7.8	8.1	2014/10/20	100,000,000	2014/02/11
61293	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Nov14A	0.250	7.5	7.8	2014/11/24	100,000,000	2014/02/11
61287	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Dec14A	0.250	7.2	7.5	2014/12/15	100,000,000	2014/02/11
61328	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Dec14 A	0.250	57.50 per 100 units	60 per 100 units	2014/12/08	60,000,000	2014/02/11
61326	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Dec 2014 A	0.250	105 per 100 units	110 per 100 units	2014/12/08	50,000,000	2014/02/11
61327	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Nov 2014 A	0.250	135 per 100 units	130 per 100 units	2014/11/17	50,000,000	2014/02/11
61330	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Nov 2014 B	0.250	70 per 100 units	72.80 per 100 units	2014/11/17	80,000,000	2014/02/11
61329	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Dec 2014 A	0.250	68 per 100 units	70.80 per 100 units	2014/12/08	80,000,000	2014/02/11
61331	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Sep 2014 B	0.250	89 per 100 units	86.20 per 100 units	2014/09/29	80,000,000	2014/02/11
61319	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Nov 2014 A	0.250	48.50 per 100 units	52.50 per 100 units	2014/11/24	80,000,000	2014/02/11
61318	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Dec 2014 A	0.250	46 per 100 units	50 per 100 units	2014/12/15	80,000,000	2014/02/11
61284	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Nov14A	0.250	46 per 100 units	49 per 100 units	2014/11/24	60,000,000	2014/02/11
61285	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Oct14A	0.250	69 per 100 units	66 per 100 units	2014/10/20	60,000,000	2014/02/11
61324	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Nov 2014 A	0.550	458 per 100 units	468 per 100 units	2014/11/17	50,000,000	2014/02/11
61325	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Nov 2014 A	0.400	548 per 100 units	538 per 100 units	2014/11/17	50,000,000	2014/02/11
61197	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 M	0.262	20,888 per 7,000 units	21,088 per 7,000 units	2014/06/27	150,000,000	2014/02/11
61218	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 N	0.265	20,688 per 7,000 units	20,888 per 7,000 units	2014/06/27	150,000,000	2014/02/11
61219	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 O	0.262	20,488 per 7,000 units	20,688 per 7,000 units	2014/06/27	150,000,000	2014/02/11
61220	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 P	0.345	19,388 per 7,000 units	19,588 per 7,000 units	2014/06/27	150,000,000	2014/02/11
61276	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 Q	0.357	19,188 per 7,000 units	19,388 per 7,000 units	2014/06/27	150,000,000	2014/02/11
61280	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 R	0.276	19,538 per 20,000 units	19,838 per 20,000 units	2014/06/27	150,000,000	2014/02/11
61283	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 S	0.251	19,338 per 20,000 units	19,638 per 20,000 units	2014/06/27	150,000,000	2014/02/11
61221	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 P	0.283	22,088 per 7,000 units	21,888 per 7,000 units	2014/05/29	150,000,000	2014/02/11
61222	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 Q	0.304	21,988 per 7,000 units	21,788 per 7,000 units	2014/05/29	150,000,000	2014/02/11
61228	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 R	0.293	21,888 per 7,000 units	21,688 per 7,000 units	2014/05/29	150,000,000	2014/02/11
61229	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 S	0.279	21,788 per 7,000 units	21,588 per 7,000 units	2014/05/29	150,000,000	2014/02/11
61232	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 T	0.261	22,438 per 20,000 units	22,138 per 20,000 units	2014/05/29	150,000,000	2014/02/11
60899	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 D	0.250	5.18	5.68	2014/11/24	40,000,000	2014/02/11
60908	HK Bank	A50 R Bull CBBC Mar 2015 A	0.250	6.63	6.93	2015/03/09	200,000,000	2014/02/11
60897	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 F	0.250	66.77 per 100 units	69.27 per 100 units	2014/10/27	80,000,000	2014/02/11
60902	HK Bank	HSI R Bull CBBC Sep 2014 K	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/09/29	100,000,000	2014/02/11
60907	HK Bank	HSI R Bull CBBC Oct 2014 D	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/10/30	100,000,000	2014/02/11
60905	HK Bank	HSI R Bull CBBC Mar 2015 A	0.250	19,988 per 15,000 units	20,188 per 15,000 units	2015/03/30	100,000,000	2014/02/11
60904	HK Bank	HSI R Bear CBBC Oct 2014 F	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2014/10/30	100,000,000	2014/02/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60915	HK Bank	TCH R Bull CBBC Oct 2014 I	0.540	493 per 100 units	508 per 100 units	2014/10/30	50,000,000	2014/02/11
60910	HK Bank	TCH R Bull CBBC Nov 2014 A	0.440	503 per 100 units	518 per 100 units	2014/11/06	50,000,000	2014/02/11
60927	HK Bank	TCH R Bull CBBC Nov 2014 B	0.740	473 per 100 units	488 per 100 units	2014/11/13	50,000,000	2014/02/11
60954	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 Z	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/09/29	300,000,000	2014/02/11
60952	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 F	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/10/30	300,000,000	2014/02/11
60950	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 K	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/11/27	300,000,000	2014/02/11
60943	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 L	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/11/27	300,000,000	2014/02/11
60928	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 N	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/11/27	300,000,000	2014/02/11
60951	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 O	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/11/27	300,000,000	2014/02/11
61052	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 M	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/12/30	300,000,000	2014/02/11
61267	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 N	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/12/30	300,000,000	2014/02/11
60992	J P Morgan SP BV	HSI R Bear CBBC May 2014 R	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/05/29	300,000,000	2014/02/11
61000	J P Morgan SP BV	HSI R Bear CBBC May 2014 S	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/05/29	300,000,000	2014/02/11
61268	J P Morgan SP BV	HSI R Bear CBBC May 2014 T	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/05/29	300,000,000	2014/02/11
61033	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 Q	0.250	21,450 per 15,000 units	21,650 per 15,000 units	2014/09/29	100,000,000	2014/02/11
61051	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 R	0.250	20,100 per 15,000 units	20,300 per 15,000 units	2014/09/29	100,000,000	2014/02/11
61053	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 N	0.250	22,200 per 12,000 units	22,000 per 12,000 units	2014/08/28	100,000,000	2014/02/11
61055	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 O	0.250	21,900 per 15,000 units	21,700 per 15,000 units	2014/08/28	100,000,000	2014/02/11
61083	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 A	0.250	19,988 per 10,000 units	20,088 per 10,000 units	2014/10/30	200,000,000	2014/02/11
61096	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 B	0.250	20,188 per 10,000 units	20,288 per 10,000 units	2014/10/30	200,000,000	2014/02/11
60964	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 A	0.250	21,438 per 10,000 units	21,538 per 10,000 units	2014/11/27	200,000,000	2014/02/11
60969	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Y	0.250	21,538 per 10,000 units	21,638 per 10,000 units	2014/11/27	200,000,000	2014/02/11
60971	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Z	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2014/11/27	200,000,000	2014/02/11
61066	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 B	0.250	19,338 per 10,000 units	19,438 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61072	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 C	0.250	19,438 per 10,000 units	19,538 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61073	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 D	0.250	19,538 per 10,000 units	19,638 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61074	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 E	0.250	19,638 per 10,000 units	19,738 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61079	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 F	0.250	19,738 per 10,000 units	19,838 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61081	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 G	0.250	19,888 per 10,000 units	19,988 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61089	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 H	0.250	20,088 per 10,000 units	20,188 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61107	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 I	0.250	20,288 per 10,000 units	20,388 per 10,000 units	2014/12/30	200,000,000	2014/02/11
61113	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 T	0.250	21,638 per 10,000 units	21,538 per 10,000 units	2014/05/29	200,000,000	2014/02/11
61116	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 U	0.250	21,738 per 10,000 units	21,638 per 10,000 units	2014/05/29	200,000,000	2014/02/11
61127	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 V	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2014/05/29	200,000,000	2014/02/11
61129	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 W	0.250	22,038 per 10,000 units	21,938 per 10,000 units	2014/05/29	200,000,000	2014/02/11
61266	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 X	0.250	21,538 per 10,000 units	21,438 per 10,000 units	2014/05/29	200,000,000	2014/02/11
61015	UBS AG	China Mobile R Bull CBBC Nov 2014 A	0.250	65 per 100 units	67.50 per 100 units	2014/11/10	100,000,000	2014/02/11
61016	UBS AG	China Mobile R Bull CBBC Dec 2014 A	0.250	60 per 100 units	62.50 per 100 units	2014/12/15	100,000,000	2014/02/11
61156	UBS AG	CC Bank R Bull CBBC Dec 2014 A	0.250	4.2	4.5	2014/12/08	100,000,000	2014/02/11
61014	UBS AG	CSOP A50 ETF R Bull CBBC Oct 2014 A	0.250	7.45	7.75	2014/10/20	100,000,000	2014/02/11
61158	UBS AG	HKEx R Bull CBBC Oct 2014 A	0.265	95 per 100 units	100 per 100 units	2014/10/20	100,000,000	2014/02/11
61009	UBS AG	HSCEI R Bull CBBC Nov 2014 B	0.250	8,550 per 10,000 units	8,750 per 10,000 units	2014/11/27	100,000,000	2014/02/11
61013	UBS AG	HSCEI R Bull CBBC Nov 2014 C	0.250	8,050 per 10,000 units	8,250 per 10,000 units	2014/11/27	100,000,000	2014/02/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61002	UBS AG	HSCEI R Bear CBBC May 2014 H	0.250	10,200 per 10,000 units	10,000 per 10,000 units	2014/05/29	100,000,000	2014/02/11
60956	UBS AG	HSI R Bull CBBC Aug 2014 A	0.250	21,675 per 12,000 units	21,875 per 12,000 units	2014/08/28	350,000,000	2014/02/11
60955	UBS AG	HSI R Bull CBBC Aug 2014 O	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/08/28	300,000,000	2014/02/11
60959	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	21,835 per 10,000 units	22,035 per 10,000 units	2014/11/27	300,000,000	2014/02/11
60991	UBS AG	HSI R Bull CBBC Nov 2014 V	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/11/27	300,000,000	2014/02/11
61256	UBS AG	HSI R Bull CBBC Nov 2014 W	0.250	19,900 per 38,000 units	20,300 per 38,000 units	2014/11/27	300,000,000	2014/02/11
61265	UBS AG	HSI R Bear CBBC May 2014 Z	0.250	21,598 per 10,000 units	21,398 per 10,000 units	2014/05/29	300,000,000	2014/02/11
61260	UBS AG	HSI R Bear CBBC Jun 2014 Q	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/06/27	300,000,000	2014/02/11
61262	UBS AG	HSI R Bear CBBC Jun 2014 R	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2014/02/11
61264	UBS AG	HSI R Bear CBBC Jun 2014 S	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2014/06/27	300,000,000	2014/02/11
61259	UBS AG	HSI R Bear CBBC Sep 2014 A	0.250	23,200 per 38,000 units	22,800 per 38,000 units	2014/09/29	300,000,000	2014/02/11
61157	UBS AG	Hutchison R Bull CBBC Nov 2014 A	0.250	82 per 100 units	85 per 100 units	2014/11/17	100,000,000	2014/02/11
61032	UBS AG	Hutchison R Bear CBBC Jul 2014 B	0.250	108 per 100 units	105 per 100 units	2014/07/21	100,000,000	2014/02/11
61151	UBS AG	ICBC R Bull CBBC Dec 2014 A	0.250	3.75	4	2014/12/15	100,000,000	2014/02/11
6725*	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 D	0.159	80.77 per 100 units	78.27 per 100 units	2014/11/10	100,000,000	2014/02/11
61394	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 F	0.250	7.2	7.5	2014/12/30	200,000,000	2014/02/12
61367	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 C	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61369	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 J	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61370	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 K	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61371	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 L	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61372	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 M	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61358	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 P	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61373	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 T	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61375	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Z	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61376	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 V	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61377	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 W	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61352	Credit Suisse AG	HSI R Bull CBBC Oct 2014 A	0.250	21,197 per 12,000 units	21,397 per 12,000 units	2014/10/30	300,000,000	2014/02/12
61353	Credit Suisse AG	HSI R Bull CBBC Oct 2014 X	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/10/30	300,000,000	2014/02/12
61395	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Y	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/10/30	300,000,000	2014/02/12
61396	Credit Suisse AG	HSI R Bull CBBC Dec 2014 L	0.250	18,588 per 20,000 units	18,888 per 20,000 units	2014/12/30	300,000,000	2014/02/12
61407	Credit Suisse AG	HSI R Bear CBBC May 2014 J	0.250	21,470 per 10,000 units	21,270 per 10,000 units	2014/05/29	300,000,000	2014/02/12
61397	Credit Suisse AG	HSI R Bear CBBC Jun 2014 I	0.250	22,488 per 20,000 units	22,188 per 20,000 units	2014/06/27	300,000,000	2014/02/12
61398	Credit Suisse AG	HSI R Bear CBBC Jun 2014 J	0.250	23,188 per 20,000 units	22,888 per 20,000 units	2014/06/27	300,000,000	2014/02/12
61399	Credit Suisse AG	HSI R Bear CBBC Jun 2014 K	0.250	23,688 per 20,000 units	23,388 per 20,000 units	2014/06/27	300,000,000	2014/02/12
61406	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	21,550 per 10,000 units	21,350 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61404	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Z	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61403	Credit Suisse AG	HSI R Bear CBBC Aug 2014 L	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61405	Credit Suisse AG	HSI R Bear CBBC Aug 2014 M	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61455	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 S	0.363	18,788 per 7,000 units	18,988 per 7,000 units	2014/05/29	150,000,000	2014/02/12
61456	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 T	0.255	19,138 per 20,000 units	19,438 per 20,000 units	2014/05/29	150,000,000	2014/02/12
61457	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2014 U	0.252	18,938 per 20,000 units	19,238 per 20,000 units	2014/05/29	150,000,000	2014/02/12
61449	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 T	0.257	20,288 per 7,000 units	20,488 per 7,000 units	2014/06/27	150,000,000	2014/02/12
61450	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 U	0.268	20,088 per 7,000 units	20,288 per 7,000 units	2014/06/27	150,000,000	2014/02/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61451	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 V	0.275	19,888 per 7,000 units	20,088 per 7,000 units	2014/06/27	150,000,000	2014/02/12
61452	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 W	0.346	18,988 per 7,000 units	19,188 per 7,000 units	2014/06/27	150,000,000	2014/02/12
61338	HK Bank	AIA R Bull CBBC Sep 2014 B	0.550	29.88	30.88	2014/09/15	60,000,000	2014/02/12
61337	HK Bank	China Mobile R Bull CBBC Apr 2015 A	0.250	57.28 per 100 units	59.78 per 100 units	2015/04/13	120,000,000	2014/02/12
61347	HK Bank	CNOOC R Bull CBBC Nov 2014 B	0.250	9.88	10.68	2014/11/17	60,000,000	2014/02/12
61332	HK Bank	CNOOC R Bull CBBC Dec 2014 B	0.250	9.48	10.28	2014/12/08	60,000,000	2014/02/12
61339	HK Bank	HSI R Bull CBBC Oct 2014 E	0.250	19,688 per 15,000 units	19,888 per 15,000 units	2014/10/30	100,000,000	2014/02/12
61340	HK Bank	HSI R Bull CBBC Nov 2014 B	0.250	19,488 per 15,000 units	19,688 per 15,000 units	2014/11/27	100,000,000	2014/02/12
61342	HK Bank	HSI R Bull CBBC Mar 2015 B	0.250	18,328 per 20,000 units	18,628 per 20,000 units	2015/03/30	400,000,000	2014/02/12
61346	HK Bank	HSI R Bear CBBC Aug 2014 R	0.250	21,888 per 15,000 units	21,688 per 15,000 units	2014/08/28	100,000,000	2014/02/12
61341	HK Bank	HSI R Bear CBBC Sep 2014 M	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2014/09/29	100,000,000	2014/02/12
61333	HK Bank	PetCh R Bull CBBC Nov 2014 B	0.250	5.68	6.08	2014/11/24	60,000,000	2014/02/12
61365	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 Q	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/09/29	300,000,000	2014/02/12
61462	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 N	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/10/30	300,000,000	2014/02/12
61361	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 P	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/11/27	300,000,000	2014/02/12
61461	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 O	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/12/30	300,000,000	2014/02/12
61467	J P Morgan SP BV	HSI R Bear CBBC May 2014 U	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/05/29	300,000,000	2014/02/12
61472	J P Morgan SP BV	HSI R Bear CBBC May 2014 V	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/05/29	300,000,000	2014/02/12
61466	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 A	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61468	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 B	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61459	Standard Chartered Bank	Galaxy Ent R Bear CBBC Sep 2014 A	0.250	80.77 per 100 units	77.77 per 100 units	2014/09/30	50,000,000	2014/02/12
61359	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 S	0.250	20,550 per 15,000 units	20,750 per 15,000 units	2014/09/29	100,000,000	2014/02/12
61360	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 T	0.250	19,800 per 20,000 units	20,000 per 20,000 units	2014/09/29	100,000,000	2014/02/12
61460	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 U	0.250	20,300 per 20,000 units	20,500 per 20,000 units	2014/09/29	100,000,000	2014/02/12
61400	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 P	0.250	21,600 per 20,000 units	21,400 per 20,000 units	2014/08/28	100,000,000	2014/02/12
61401	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 Q	0.250	22,050 per 15,000 units	21,850 per 15,000 units	2014/08/28	100,000,000	2014/02/12
61348	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 C	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2014/10/30	200,000,000	2014/02/12
61366	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 D	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2014/10/30	200,000,000	2014/02/12
61426	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 J	0.250	19,238 per 10,000 units	19,338 per 10,000 units	2014/12/30	200,000,000	2014/02/12
61427	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 K	0.250	19,388 per 10,000 units	19,488 per 10,000 units	2014/12/30	200,000,000	2014/02/12
61428	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 L	0.250	19,488 per 10,000 units	19,588 per 10,000 units	2014/12/30	200,000,000	2014/02/12
61429	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 M	0.250	19,588 per 10,000 units	19,688 per 10,000 units	2014/12/30	200,000,000	2014/02/12
61350	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 Y	0.250	21,588 per 10,000 units	21,488 per 10,000 units	2014/05/29	200,000,000	2014/02/12
61351	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 Z	0.250	21,788 per 10,000 units	21,688 per 10,000 units	2014/05/29	200,000,000	2014/02/12
61448	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 A	0.250	21,388 per 10,000 units	21,288 per 10,000 units	2014/06/27	200,000,000	2014/02/12
61354	UBS AG	HSI R Bull CBBC Jul 2014 M	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61402	UBS AG	HSI R Bull CBBC Aug 2014 I	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/08/28	300,000,000	2014/02/12
61356	UBS AG	HSI R Bull CBBC Oct 2014 Z	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/10/30	300,000,000	2014/02/12
61355	UBS AG	HSI R Bull CBBC Nov 2014 X	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/11/27	300,000,000	2014/02/12
61357	UBS AG	HSI R Bull CBBC Dec 2014 C	0.250	21,197 per 10,000 units	21,397 per 10,000 units	2014/12/30	300,000,000	2014/02/12
61422	UBS AG	HSI R Bear CBBC May 2014 C	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/05/29	300,000,000	2014/02/12
61413	UBS AG	HSI R Bear CBBC Jun 2014 T	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2014/02/12
61425	UBS AG	HSI R Bear CBBC Jun 2014 U	0.250	21,470 per 10,000 units	21,270 per 10,000 units	2014/06/27	300,000,000	2014/02/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61421	UBS AG	HSI R Bear CBBC Jul 2014 F	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61423	UBS AG	HSI R Bear CBBC Jul 2014 G	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2014/07/30	300,000,000	2014/02/12
61531	Credit Suisse AG	HSI R Bull CBBC Nov 2014 B	0.250	21,223 per 10,000 units	21,423 per 10,000 units	2014/11/27	300,000,000	2014/02/13
61532	Credit Suisse AG	HSI R Bull CBBC Nov 2014 N	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/11/27	300,000,000	2014/02/13
61533	Credit Suisse AG	HSI R Bull CBBC Nov 2014 O	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/11/27	300,000,000	2014/02/13
61496	Credit Suisse AG	HSI R Bear CBBC Jul 2014 E	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/07/30	300,000,000	2014/02/13
61495	Credit Suisse AG	HSI R Bear CBBC Aug 2014 N	0.250	21,488 per 12,000 units	21,288 per 12,000 units	2014/08/28	300,000,000	2014/02/13
61497	Credit Suisse AG	HSI R Bear CBBC Aug 2014 O	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2014/08/28	300,000,000	2014/02/13
61498	Credit Suisse AG	HSI R Bear CBBC Aug 2014 P	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/08/28	300,000,000	2014/02/13
61500	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Q	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/08/28	300,000,000	2014/02/13
61547	Bank of East Asia	COVS R Bull CBBC Jan 2015 A	0.400	16.688	17.688	2015/01/12	40,000,000	2014/02/13
61548	Bank of East Asia	CNOOC R Bull CBBC Jan 2015 A	0.250	10.083	10.883	2015/01/12	50,000,000	2014/02/13
61549	Bank of East Asia	Hutchison R Bear CBBC Sep 2014 A	0.250	116 per 100 units	112 per 100 units	2014/09/02	100,000,000	2014/02/13
61546	Bank of East Asia	Sands China R Bear CBBC Jun 2014 A	0.250	71.88 per 100 units	68.88 per 100 units	2014/06/05	60,000,000	2014/02/13
61535	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 X	0.279	21,088 per 7,000 units	21,288 per 7,000 units	2014/06/27	150,000,000	2014/02/13
61538	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 Y	0.262	18,738 per 20,000 units	19,038 per 20,000 units	2014/06/27	150,000,000	2014/02/13
61539	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 Z	0.260	21,188 per 7,000 units	21,388 per 7,000 units	2014/06/27	150,000,000	2014/02/13
61536	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 U	0.290	21,688 per 7,000 units	21,488 per 7,000 units	2014/05/29	150,000,000	2014/02/13
61480	HK Bank	China Mobile R Bull CBBC Jan 2015 B	0.250	65.88 per 100 units	68.38 per 100 units	2015/01/12	120,000,000	2014/02/13
61481	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 D	0.250	78.27 per 100 units	75.27 per 100 units	2014/12/08	60,000,000	2014/02/13
61483	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 E	0.250	75.27 per 100 units	72.27 per 100 units	2014/12/01	60,000,000	2014/02/13
61478	HK Bank	HSCEI R Bear CBBC Oct 2014 B	0.250	10,188 per 10,000 units	9,988 per 10,000 units	2014/10/30	68,000,000	2014/02/13
61475	HK Bank	HSI R Bull CBBC Oct 2014 F	0.250	20,288 per 15,000 units	20,488 per 15,000 units	2014/10/30	100,000,000	2014/02/13
61473	HK Bank	HSI R Bull CBBC Dec 2014 B	0.250	19,288 per 15,000 units	19,488 per 15,000 units	2014/12/30	100,000,000	2014/02/13
61474	HK Bank	HSI R Bull CBBC Jan 2015 B	0.250	19,088 per 15,000 units	19,288 per 15,000 units	2015/01/29	100,000,000	2014/02/13
61476	HK Bank	HSI R Bear CBBC Oct 2014 G	0.250	21,688 per 15,000 units	21,488 per 15,000 units	2014/10/30	100,000,000	2014/02/13
61477	HK Bank	HSI R Bear CBBC Jan 2015 A	0.250	22,928 per 20,000 units	22,628 per 20,000 units	2015/01/29	400,000,000	2014/02/13
61482	HK Bank	Ping An R Bull CBBC Nov 2014 A	0.250	47.98 per 100 units	51.98 per 100 units	2014/11/17	60,000,000	2014/02/13
61545	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 T	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/10/30	300,000,000	2014/02/13
61544	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 P	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/12/30	300,000,000	2014/02/13
61541	J P Morgan SP BV	HSI R Bear CBBC May 2014 W	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/05/29	300,000,000	2014/02/13
61540	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 C	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/07/30	300,000,000	2014/02/13
61552	Standard Chartered Bank	Galaxy Ent R Bull CBBC Sep 2014 C	0.250	63.66 per 100 units	66.66 per 100 units	2014/09/08	50,000,000	2014/02/13
61550	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 V	0.250	21,200 per 20,000 units	21,400 per 20,000 units	2014/09/29	100,000,000	2014/02/13
61551	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 W	0.250	21,000 per 15,000 units	21,200 per 15,000 units	2014/09/29	100,000,000	2014/02/13
61501	Standard Chartered Bank	Sands China R Bull CBBC Aug 2014 B	0.250	43.88 per 100 units	46.88 per 100 units	2014/08/22	50,000,000	2014/02/13
61502	Standard Chartered Bank	Sands China R Bear CBBC May 2014 B	0.250	69.88 per 100 units	66.88 per 100 units	2014/05/12	50,000,000	2014/02/13
61525	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 E	0.250	21,238 per 10,000 units	21,338 per 10,000 units	2014/10/30	200,000,000	2014/02/13
61526	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 B	0.250	21,488 per 10,000 units	21,388 per 10,000 units	2014/06/27	200,000,000	2014/02/13
61527	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 C	0.250	21,938 per 10,000 units	21,838 per 10,000 units	2014/06/27	200,000,000	2014/02/13
61519	UBS AG	AIA R Bear CBBC Oct 2014 A	0.250	40.88 per 100 units	39.88 per 100 units	2014/10/13	100,000,000	2014/02/13
61503	UBS AG	CNOOC R Bull CBBC Oct 2014 D	0.250	10.48	11.28	2014/10/20	50,000,000	2014/02/13
61504	UBS AG	CNOOC R Bull CBBC Nov 2014 A	0.250	9.98	10.78	2014/11/03	50,000,000	2014/02/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61505	UBS AG	CNOOC R Bull CBBC Nov 2014 B	0.250	9.48	10.28	2014/11/24	50,000,000	2014/02/13
61513	UBS AG	CSOP A50 ETF R Bear CBBC Sep 2014 A	0.250	9.55	9.25	2014/09/22	50,000,000	2014/02/13
61530	UBS AG	HSCEI R Bull CBBC Nov 2014 D	0.250	9,188 per 10,000 units	9,388 per 10,000 units	2014/11/27	100,000,000	2014/02/13
61524	UBS AG	HSI R Bull CBBC Aug 2014 J	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2014/08/28	300,000,000	2014/02/13
61523	UBS AG	HSI R Bull CBBC Oct 2014 B	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/10/30	300,000,000	2014/02/13
61528	UBS AG	HSI R Bull CBBC Oct 2014 C	0.250	21,223 per 10,000 units	21,423 per 10,000 units	2014/10/30	300,000,000	2014/02/13
61522	UBS AG	HSI R Bull CBBC Nov 2014 Y	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/11/27	300,000,000	2014/02/13
61485	UBS AG	HSI R Bear CBBC Jun 2014 V	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2014/02/13
61487	UBS AG	HSI R Bear CBBC Jun 2014 W	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/06/27	300,000,000	2014/02/13
61486	UBS AG	HSI R Bear CBBC Jul 2014 H	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/07/30	300,000,000	2014/02/13
61494	UBS AG	HSI R Bear CBBC Jul 2014 I	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/07/30	300,000,000	2014/02/13
61520	UBS AG	Petrochina R Bull CBBC Sep 2014 A	0.250	6.7	7.1	2014/09/29	50,000,000	2014/02/13
61521	UBS AG	Petrochina R Bull CBBC Oct 2014 B	0.250	6.2	6.6	2014/10/20	50,000,000	2014/02/13
61516	UBS AG	Sands China R Bull CBBC Sep 2014 A	0.250	49.50 per 100 units	52.50 per 100 units	2014/09/08	100,000,000	2014/02/13
61515	UBS AG	Sands China R Bear CBBC Jul 2014 A	0.250	65.50 per 100 units	62.50 per 100 units	2014/07/21	100,000,000	2014/02/13
61591	Credit Suisse AG	Galaxy Ent R Bull CBBC Oct 2014 A	0.250	65.30 per 100 units	67.80 per 100 units	2014/10/31	80,000,000	2014/02/14
61592	Credit Suisse AG	Galaxy Ent R Bull CBBC Oct 2014 B	0.250	61.30 per 100 units	63.80 per 100 units	2014/10/31	80,000,000	2014/02/14
61593	Credit Suisse AG	Galaxy Ent R Bull CBBC Oct 2014 C	0.250	58.30 per 100 units	60.80 per 100 units	2014/10/31	80,000,000	2014/02/14
61594	Credit Suisse AG	Galaxy Ent R Bear CBBC Sep 2014 A	0.250	82.30 per 100 units	79.80 per 100 units	2014/09/30	80,000,000	2014/02/14
61597	Credit Suisse AG	Galaxy Ent R Bear CBBC Sep 2014 B	0.250	86.30 per 100 units	83.80 per 100 units	2014/09/30	80,000,000	2014/02/14
61613	Credit Suisse AG	HSI R Bull CBBC Dec 2014 M	0.250	21,188 per 10,000 units	21,388 per 10,000 units	2014/12/30	300,000,000	2014/02/14
61614	Credit Suisse AG	HSI R Bull CBBC Dec 2014 N	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/12/30	300,000,000	2014/02/14
61615	Credit Suisse AG	HSI R Bull CBBC Dec 2014 O	0.250	21,436 per 12,000 units	21,636 per 12,000 units	2014/12/30	300,000,000	2014/02/14
61577	Credit Suisse AG	HSI R Bear CBBC Jun 2014 L	0.250	21,624 per 10,000 units	21,424 per 10,000 units	2014/06/27	300,000,000	2014/02/14
61578	Credit Suisse AG	HSI R Bear CBBC Jun 2014 M	0.250	21,788 per 10,000 units	21,588 per 10,000 units	2014/06/27	300,000,000	2014/02/14
61606	Bank of East Asia	Great Wall Motor R Bull CBBC Apr 2015 A	0.250	26.233 per 100 units	28.233 per 100 units	2015/04/27	200,000,000	2014/02/14
61584	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 A	0.254	21,288 per 7,000 units	21,488 per 7,000 units	2014/06/27	150,000,000	2014/02/14
61589	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 B	0.271	21,388 per 7,000 units	21,588 per 7,000 units	2014/06/27	150,000,000	2014/02/14
61590	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 F	0.252	20,938 per 20,000 units	21,238 per 20,000 units	2014/06/27	150,000,000	2014/02/14
61574	HK Bank	Galaxy Ent R Bull CBBC Dec 2014 A	0.250	55.77 per 100 units	58.77 per 100 units	2014/12/22	80,000,000	2014/02/14
61571	HK Bank	Great Wall Motor R Bull CBBC Nov 2015 A	0.250	28.38 per 100 units	30.88 per 100 units	2015/11/30	40,000,000	2014/02/14
61555	HK Bank	HSI R Bull CBBC Oct 2014 G	0.250	20,488 per 15,000 units	20,688 per 15,000 units	2014/10/30	100,000,000	2014/02/14
61563	HK Bank	HSI R Bull CBBC Oct 2014 H	0.250	19,788 per 15,000 units	19,988 per 15,000 units	2014/10/30	100,000,000	2014/02/14
61556	HK Bank	HSI R Bull CBBC Nov 2014 C	0.250	20,088 per 15,000 units	20,288 per 15,000 units	2014/11/27	100,000,000	2014/02/14
61565	HK Bank	HSI R Bull CBBC Dec 2014 C	0.250	17,328 per 20,000 units	17,628 per 20,000 units	2014/12/30	400,000,000	2014/02/14
61564	HK Bank	HSI R Bear CBBC Nov 2014 B	0.250	22,188 per 15,000 units	21,988 per 15,000 units	2014/11/27	100,000,000	2014/02/14
61573	HK Bank	Huishan Dairy R Bull CBBC Jun 2015 A	0.250	2.09	2.39	2015/06/29	40,000,000	2014/02/14
61570	HK Bank	TCH R Bull CBBC Nov 2014 C	0.550	458.88 per 100 units	473.88 per 100 units	2014/11/24	50,000,000	2014/02/14
61566	HK Bank	TCH R Bear CBBC Nov 2014 B	0.500	554.88 per 100 units	539.88 per 100 units	2014/11/24	50,000,000	2014/02/14
61623	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 B	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/09/29	300,000,000	2014/02/14
61622	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Q	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/12/30	300,000,000	2014/02/14
61620	J P Morgan SP BV	HSI R Bear CBBC May 2014 X	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/05/29	300,000,000	2014/02/14
61619	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 D	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/07/30	300,000,000	2014/02/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61579	Macquarie Bank Ltd.	HSI R Bull CBBC Jul 2014 A	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/07/30	100,000,000	2014/02/14
61580	Macquarie Bank Ltd.	HSI R Bull CBBC Jul 2014 B	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/07/30	100,000,000	2014/02/14
61581	Macquarie Bank Ltd.	HSI R Bull CBBC Jul 2014 C	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/07/30	100,000,000	2014/02/14
61582	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2014 A	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/07/30	100,000,000	2014/02/14
61583	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2014 B	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/07/30	100,000,000	2014/02/14
61599	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 D	0.250	21,338 per 10,000 units	21,438 per 10,000 units	2014/11/27	200,000,000	2014/02/14
61600	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 H	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2014/11/27	200,000,000	2014/02/14
61603	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 D	0.250	21,838 per 10,000 units	21,738 per 10,000 units	2014/06/27	200,000,000	2014/02/14
61609	UBS AG	COVS R Bull CBBC Oct 2014 A	0.260	17.88	18.88	2014/10/06	50,000,000	2014/02/14
61608	UBS AG	CM Bank R Bull CBBC Aug 2014 B	0.250	11.5	12.3	2014/08/18	50,000,000	2014/02/14
61610	UBS AG	Galaxy Ent R Bear CBBC Aug 2014 B	0.250	78 per 100 units	75 per 100 units	2014/08/25	100,000,000	2014/02/14
61617	UBS AG	HSI R Bull CBBC Aug 2014 Z	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/08/28	300,000,000	2014/02/14
61618	UBS AG	HSI R Bull CBBC Oct 2014 D	0.250	21,436 per 10,000 units	21,636 per 10,000 units	2014/10/30	300,000,000	2014/02/14
61616	UBS AG	HSI R Bull CBBC Nov 2014 Z	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/11/27	300,000,000	2014/02/14
61575	UBS AG	HSI R Bear CBBC Jul 2014 J	0.250	21,624 per 12,000 units	21,424 per 12,000 units	2014/07/30	350,000,000	2014/02/14
61661	Credit Suisse AG	HSI R Bull CBBC Oct 2014 C	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/10/30	300,000,000	2014/02/17
61643	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Z	0.250	21,428 per 10,000 units	21,628 per 10,000 units	2014/10/30	300,000,000	2014/02/17
61662	Credit Suisse AG	HSI R Bear CBBC Jun 2014 N	0.250	21,988 per 10,000 units	21,788 per 10,000 units	2014/06/27	300,000,000	2014/02/17
61663	Credit Suisse AG	HSI R Bear CBBC Jun 2014 O	0.250	21,780 per 10,000 units	21,580 per 10,000 units	2014/06/27	300,000,000	2014/02/17
61678	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Nov 2014 A	0.250	31.38 per 100 units	32.38 per 100 units	2014/11/24	100,000,000	2014/02/17
61677	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Dec 2014 A	0.250	32.88 per 100 units	33.88 per 100 units	2014/12/15	100,000,000	2014/02/17
61679	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Oct 2014 A	0.250	38.38 per 100 units	37.38 per 100 units	2014/10/20	100,000,000	2014/02/17
61680	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Nov 2014 A	0.250	39.88 per 100 units	38.88 per 100 units	2014/11/24	100,000,000	2014/02/17
61673	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Sep 2014 B	0.600	588 per 100 units	578 per 100 units	2014/09/22	50,000,000	2014/02/17
61675	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Oct 2014 B	0.700	598 per 100 units	588 per 100 units	2014/10/27	50,000,000	2014/02/17
61676	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Nov 2014 B	0.800	608 per 100 units	598 per 100 units	2014/11/17	50,000,000	2014/02/17
61632	HK Bank	A50 R Bull CBBC Oct 2014 E	0.250	8.08	8.38	2014/10/20	200,000,000	2014/02/17
61631	HK Bank	A50 R Bull CBBC Nov 2014 H	0.250	7.98	8.28	2014/11/03	200,000,000	2014/02/17
61633	HK Bank	Galaxy Ent R Bull CBBC Nov 2014 A	0.250	63.27 per 100 units	66.27 per 100 units	2014/11/12	80,000,000	2014/02/17
61625	HK Bank	HSI R Bull CBBC Aug 2014 S	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2014/08/28	100,000,000	2014/02/17
61624	HK Bank	HSI R Bull CBBC Sep 2014 L	0.250	21,288 per 15,000 units	21,488 per 15,000 units	2014/09/29	100,000,000	2014/02/17
61640	HK Bank	HSI R Bull CBBC Oct 2014 I	0.250	21,188 per 15,000 units	21,388 per 15,000 units	2014/10/30	100,000,000	2014/02/17
61639	HK Bank	HSI R Bull CBBC Nov 2014 D	0.250	21,088 per 15,000 units	21,288 per 15,000 units	2014/11/27	100,000,000	2014/02/17
61628	HK Bank	HSI R Bear CBBC Sep 2014 N	0.250	21,988 per 15,000 units	21,788 per 15,000 units	2014/09/29	100,000,000	2014/02/17
61636	HK Bank	Sands China R Bull CBBC Nov 2014 A	0.250	52.18 per 100 units	55.18 per 100 units	2014/11/24	60,000,000	2014/02/17
61637	HK Bank	TCH R Bull CBBC Nov 2014 D	0.450	483 per 100 units	498 per 100 units	2014/11/05	50,000,000	2014/02/17
61672	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 E	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/11/27	300,000,000	2014/02/17
61671	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 E	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/07/30	300,000,000	2014/02/17
61646	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 X	0.250	21,100 per 15,000 units	21,300 per 15,000 units	2014/09/29	100,000,000	2014/02/17
61647	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 Y	0.250	21,300 per 20,000 units	21,500 per 20,000 units	2014/09/29	100,000,000	2014/02/17
61650	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 J	0.250	21,388 per 10,000 units	21,488 per 10,000 units	2014/11/27	200,000,000	2014/02/17
61651	UBS AG	Great Wall Motor R Bull CBBC Dec 2014 A	0.250	28.50 per 100 units	31 per 100 units	2014/12/22	50,000,000	2014/02/17
61642	UBS AG	HSI R Bull CBBC Sep 2014 T	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/09/29	300,000,000	2014/02/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61670	UBS AG	HSI R Bear CBBC Jul 2014 L	0.250	21,780 per 12,000 units	21,580 per 12,000 units	2014/07/30	350,000,000	2014/02/17
61662	UBS AG	TCH R Bull CBBC Aug 2014 E	0.260	508 per 100 units	520 per 100 units	2014/08/04	40,000,000	2014/02/17
61847	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 G	0.250	8.1	8.4	2014/12/30	200,000,000	2014/02/18
61818	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 A	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61831	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 B	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61836	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 C	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61837	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 D	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61841	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 E	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61842	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 F	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61721	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 X	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61722	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 Y	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61723	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2014 Z	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61846	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 A	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/08/28	300,000,000	2014/02/18
61758	Credit Suisse AG	HSI R Bull CBBC Nov 2014 P	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/11/27	300,000,000	2014/02/18
61795	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Q	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/11/27	300,000,000	2014/02/18
61802	Credit Suisse AG	HSI R Bull CBBC Nov 2014 R	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/11/27	300,000,000	2014/02/18
61803	Credit Suisse AG	HSI R Bull CBBC Nov 2014 S	0.250	21,762 per 10,000 units	21,962 per 10,000 units	2014/11/27	300,000,000	2014/02/18
61719	Credit Suisse AG	HSI R Bear CBBC May 2014 H	0.250	22,398 per 10,000 units	22,198 per 10,000 units	2014/05/29	300,000,000	2014/02/18
61701	Credit Suisse AG	HSI R Bear CBBC May 2014 K	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/05/29	300,000,000	2014/02/18
61720	Credit Suisse AG	HSI R Bear CBBC May 2014 S	0.250	22,538 per 10,000 units	22,338 per 10,000 units	2014/05/29	300,000,000	2014/02/18
61702	Credit Suisse AG	HSI R Bear CBBC Jun 2014 P	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2014/02/18
61757	Credit Suisse AG	HSI R Bear CBBC Jul 2014 H	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61700	Credit Suisse AG	HSI R Bear CBBC Aug 2014 R	0.250	21,800 per 12,000 units	21,600 per 12,000 units	2014/08/28	300,000,000	2014/02/18
61867	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Dec14B	0.250	59.50 per 100 units	62 per 100 units	2014/12/15	80,000,000	2014/02/18
61877	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Nov14 B	0.250	55 per 100 units	57.50 per 100 units	2014/11/24	60,000,000	2014/02/18
61875	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Dec14 B	0.250	52.50 per 100 units	55 per 100 units	2014/12/15	60,000,000	2014/02/18
61878	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Dec14 A	0.250	93 per 100 units	90.50 per 100 units	2014/12/15	60,000,000	2014/02/18
61866	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Nov 2014 A	0.250	87 per 100 units	84.20 per 100 units	2014/11/24	80,000,000	2014/02/18
61863	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Dec 2014 B	0.250	53.50 per 100 units	57.50 per 100 units	2014/12/15	80,000,000	2014/02/18
61725	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 G	0.250	21,488 per 7,000 units	21,688 per 7,000 units	2014/06/27	150,000,000	2014/02/18
61731	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 K	0.260	21,588 per 7,000 units	21,788 per 7,000 units	2014/06/27	150,000,000	2014/02/18
61733	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2014 L	0.266	21,688 per 7,000 units	21,888 per 7,000 units	2014/06/27	150,000,000	2014/02/18
61759	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 A	0.348	21,238 per 20,000 units	21,438 per 20,000 units	2014/07/30	150,000,000	2014/02/18
61687	HK Bank	AIA R Bear CBBC Oct 2014 A	0.450	39.68	38.68	2014/10/27	40,000,000	2014/02/18
61690	HK Bank	China Mobile R Bull CBBC Nov 2014 B	0.250	68.88 per 100 units	71.38 per 100 units	2014/11/24	120,000,000	2014/02/18
61686	HK Bank	China Mobile R Bear CBBC Nov 2014 A	0.250	81.88 per 100 units	79.38 per 100 units	2014/11/24	40,000,000	2014/02/18
61684	HK Bank	Cheung Kong R Bull CBBC Nov 2014 A	0.250	91.88 per 100 units	97.88 per 100 units	2014/11/10	60,000,000	2014/02/18
61683	HK Bank	Cheung Kong R Bear CBBC Oct 2014 B	0.250	129.88 per 100 units	123.88 per 100 units	2014/10/13	50,000,000	2014/02/18
61688	HK Bank	CNOOC R Bull CBBC Dec 2014 C	0.330	9.08	9.88	2014/12/22	60,000,000	2014/02/18
61682	HK Bank	CNOOC R Bull CBBC Jan 2015 B	0.250	10.68	11.48	2015/01/12	60,000,000	2014/02/18
61681	HK Bank	Great Wall Motor R Bull CBBC Dec 2014 A	0.250	30.38 per 100 units	32.88 per 100 units	2015/12/21	40,000,000	2014/02/18
61685	HK Bank	Hutchison R Bear CBBC Nov 2014 C	0.250	110.88 per 100 units	107.88 per 100 units	2014/11/10	50,000,000	2014/02/18
61857	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 V	0.250	21,762 per 10,000 units	21,962 per 10,000 units	2014/10/30	300,000,000	2014/02/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61856	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 J	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/11/27	300,000,000	2014/02/18
61853	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 R	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61743	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 F	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61848	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 G	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61849	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 H	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/07/30	300,000,000	2014/02/18
61739	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC Aug 2014B	0.250	8.5	8.8	2014/08/08	50,000,000	2014/02/18
61738	Standard Chartered Bank	A50 China R Bull CBBC Aug 2014 B	0.250	8.3	8.6	2014/08/08	50,000,000	2014/02/18
61735	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 C	0.250	21,720 per 12,000 units	21,920 per 12,000 units	2014/12/30	100,000,000	2014/02/18
61736	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 D	0.250	21,638 per 12,000 units	21,838 per 12,000 units	2014/12/30	100,000,000	2014/02/18
61737	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 G	0.250	21,588 per 20,000 units	21,788 per 20,000 units	2014/12/30	100,000,000	2014/02/18
61744	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 O	0.250	21,638 per 10,000 units	21,738 per 10,000 units	2014/11/27	200,000,000	2014/02/18
61745	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Q	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2014/11/27	200,000,000	2014/02/18
61747	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 F	0.250	22,038 per 10,000 units	21,938 per 10,000 units	2014/05/29	200,000,000	2014/02/18
61691	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 E	0.250	21,688 per 10,000 units	21,588 per 10,000 units	2014/06/27	200,000,000	2014/02/18
61695	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 F	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2014/06/27	200,000,000	2014/02/18
61768	UBS AG	AIA R Bull CBBC Nov 2014 A	0.250	33.88 per 100 units	34.88 per 100 units	2014/11/10	100,000,000	2014/02/18
61761	UBS AG	CSOP A50 ETF R Bull CBBC Sep 2014 A	0.250	8.2	8.5	2014/09/08	50,000,000	2014/02/18
61765	UBS AG	A50 China R Bull CBBC Oct 2014 A	0.250	8.2	8.5	2014/10/13	100,000,000	2014/02/18
61769	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 C	0.250	64.50 per 100 units	67.50 per 100 units	2014/10/20	100,000,000	2014/02/18
61763	UBS AG	HSCEI R Bull CBBC Dec 2014 D	0.250	9,300 per 10,000 units	9,500 per 10,000 units	2014/12/30	100,000,000	2014/02/18
61806	UBS AG	HSI R Bull CBBC Oct 2014 E	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/10/30	300,000,000	2014/02/18
61817	UBS AG	HSI R Bull CBBC Nov 2014 C	0.250	21,762 per 10,000 units	21,962 per 10,000 units	2014/11/27	300,000,000	2014/02/18
61816	UBS AG	HSI R Bull CBBC Dec 2014 D	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	300,000,000	2014/02/18
61697	UBS AG	HSI R Bear CBBC May 2014 F	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/05/29	300,000,000	2014/02/18
61762	UBS AG	HSI R Bear CBBC May 2014 H	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/05/29	300,000,000	2014/02/18
61698	UBS AG	HSI R Bear CBBC Jun 2014 X	0.250	21,810 per 10,000 units	21,610 per 10,000 units	2014/06/27	300,000,000	2014/02/18
61699	UBS AG	HSI R Bear CBBC Jun 2014 Y	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/06/27	300,000,000	2014/02/18
61760	UBS AG	Ping An R Bull CBBC Oct 2014 E	0.250	53.50 per 100 units	57.50 per 100 units	2014/10/20	100,000,000	2014/02/18
61766	UBS AG	Sands China R Bull CBBC Oct 2014 B	0.250	52 per 100 units	55 per 100 units	2014/10/13	100,000,000	2014/02/18
62059	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 G	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62060	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 H	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62065	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 I	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62066	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 J	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62069	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 K	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62072	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 L	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/12/30	300,000,000	2014/02/19
61958	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 B	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/08/28	300,000,000	2014/02/19
61963	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 C	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/02/19
62073	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 D	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/02/19
62077	Credit Suisse AG	HSI R Bull CBBC Dec 2014 P	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62080	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Q	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62081	Credit Suisse AG	HSI R Bull CBBC Dec 2014 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62100	Credit Suisse AG	HSI R Bull CBBC Dec 2014 S	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62101	Credit Suisse AG	HSI R Bull CBBC Dec 2014 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/12/30	300,000,000	2014/02/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62102	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	22,085 per 10,000 units	22,285 per 10,000 units	2014/12/30	300,000,000	2014/02/19
61974	Credit Suisse AG	HSI R Bear CBBC May 2014 P	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/05/29	300,000,000	2014/02/19
61978	Credit Suisse AG	HSI R Bear CBBC Jun 2014 Q	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/06/27	300,000,000	2014/02/19
61972	Credit Suisse AG	HSI R Bear CBBC Jul 2014 I	0.250	22,188 per 12,000 units	21,988 per 12,000 units	2014/07/30	300,000,000	2014/02/19
62074	Credit Suisse AG	HSI R Bear CBBC Jul 2014 J	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/07/30	300,000,000	2014/02/19
62180	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Dec 2014 A	0.250	4.38	4.68	2014/12/19	80,000,000	2014/02/19
62181	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Dec 2014 A	0.250	4.05	4.25	2014/12/19	80,000,000	2014/02/19
62176	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Nov 2014 A	0.250	7.18	7.58	2014/11/28	50,000,000	2014/02/19
62177	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Dec 2014 A	0.250	6.88	7.28	2014/12/19	50,000,000	2014/02/19
62178	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Nov 2014 A	0.250	9.08	8.68	2014/11/28	50,000,000	2014/02/19
62179	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Dec 2014 A	0.250	9.38	8.98	2014/12/19	50,000,000	2014/02/19
62182	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 A	0.450	498 per 100 units	508 per 100 units	2014/12/19	50,000,000	2014/02/19
61984	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 B	0.256	21,788 per 7,000 units	21,988 per 7,000 units	2014/07/30	150,000,000	2014/02/19
61985	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 C	0.251	21,888 per 7,000 units	22,088 per 7,000 units	2014/07/30	150,000,000	2014/02/19
61999	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 D	0.262	21,988 per 7,000 units	22,188 per 7,000 units	2014/07/30	150,000,000	2014/02/19
62000	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 E	0.281	21,338 per 20,000 units	21,638 per 20,000 units	2014/07/30	150,000,000	2014/02/19
61962	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 V	0.253	22,188 per 7,000 units	21,988 per 7,000 units	2014/05/29	150,000,000	2014/02/19
61883	HK Bank	China Life R Bull CBBC Oct 2014 A	0.280	18.98	19.98	2014/10/27	60,000,000	2014/02/19
61880	HK Bank	A50 R Bull CBBC Jan 2015 D	0.250	8.28	8.58	2015/01/21	200,000,000	2014/02/19
61881	HK Bank	A50 R Bull CBBC Jan 2015 E	0.250	8.38	8.68	2015/01/14	200,000,000	2014/02/19
61879	HK Bank	A50 R Bull CBBC Feb 2015 C	0.250	8.18	8.48	2015/02/02	200,000,000	2014/02/19
61920	HK Bank	Geely Auto R Bull CBBC Dec 2014 A	0.250	2.83	3.03	2014/12/18	40,000,000	2014/02/19
61913	HK Bank	HSCEI R Bull CBBC Nov 2014 B	0.250	9,388 per 10,000 units	9,588 per 10,000 units	2014/11/27	100,000,000	2014/02/19
61909	HK Bank	HSI R Bull CBBC Sep 2014 M	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/09/29	100,000,000	2014/02/19
61892	HK Bank	HSI R Bull CBBC Oct 2014 J	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/10/30	100,000,000	2014/02/19
61890	HK Bank	HSI R Bull CBBC Nov 2014 E	0.250	21,488 per 15,000 units	21,688 per 15,000 units	2014/11/27	100,000,000	2014/02/19
61914	HK Bank	Huishan Dairy R Bull CBBC Feb 2015 A	0.250	2.22	2.52	2015/02/16	40,000,000	2014/02/19
61882	HK Bank	Ping An R Bull CBBC Nov 2014 B	0.250	53.28 per 100 units	57.28 per 100 units	2014/11/03	60,000,000	2014/02/19
61887	HK Bank	Sands China R Bear CBBC Oct 2014 C	0.250	69.28 per 100 units	66.28 per 100 units	2014/10/27	50,000,000	2014/02/19
61983	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 C	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/09/29	300,000,000	2014/02/19
62165	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 F	0.250	22,085 per 10,000 units	22,285 per 10,000 units	2014/09/29	300,000,000	2014/02/19
61982	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/10/30	300,000,000	2014/02/19
61981	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Q	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/11/27	300,000,000	2014/02/19
62173	J P Morgan SP BV	HSI R Bear CBBC May 2014 Y	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/05/29	300,000,000	2014/02/19
62174	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 A	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/02/19
62175	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 I	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/07/30	300,000,000	2014/02/19
61946	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 Q	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/11/27	100,000,000	2014/02/19
61948	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 R	0.250	21,488 per 12,000 units	21,688 per 12,000 units	2014/11/27	100,000,000	2014/02/19
62103	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 S	0.250	22,085 per 12,000 units	22,285 per 12,000 units	2014/11/27	100,000,000	2014/02/19
61979	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 R	0.250	22,350 per 15,000 units	22,200 per 15,000 units	2014/08/28	100,000,000	2014/02/19
62164	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 B	0.800	613.88 per 100 units	598.88 per 100 units	2014/10/13	50,000,000	2014/02/19
62002	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 T	0.250	21,738 per 10,000 units	21,838 per 10,000 units	2014/11/27	200,000,000	2014/02/19
62003	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 U	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2014/11/27	200,000,000	2014/02/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62041	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 V	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/11/27	200,000,000	2014/02/19
61934	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 T	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2014/05/29	200,000,000	2014/02/19
61944	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 U	0.250	22,238 per 10,000 units	22,138 per 10,000 units	2014/05/29	200,000,000	2014/02/19
62104	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 V	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2014/05/29	200,000,000	2014/02/19
62108	UBS AG	HSI R Bull CBBC Oct 2014 K	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/10/30	300,000,000	2014/02/19
62159	UBS AG	HSI R Bull CBBC Oct 2014 O	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/10/30	300,000,000	2014/02/19
62105	UBS AG	HSI R Bull CBBC Nov 2014 D	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/11/27	300,000,000	2014/02/19
62120	UBS AG	HSI R Bull CBBC Dec 2014 E	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/02/19
62162	UBS AG	HSI R Bull CBBC Dec 2014 F	0.250	22,085 per 10,000 units	22,285 per 10,000 units	2014/12/30	300,000,000	2014/02/19
61932	UBS AG	HSI R Bear CBBC May 2014 D	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/05/29	300,000,000	2014/02/19
62071	UBS AG	HSI R Bear CBBC May 2014 E	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/05/29	300,000,000	2014/02/19
61933	UBS AG	HSI R Bear CBBC Jun 2014 Z	0.250	22,163 per 10,000 units	21,963 per 10,000 units	2014/06/27	300,000,000	2014/02/19
62196	Credit Suisse AG	HSI R Bull CBBC Nov 2014 A	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/11/27	300,000,000	2014/02/20
62258	Credit Suisse AG	HSI R Bull CBBC Nov 2014 G	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/11/27	300,000,000	2014/02/20
62275	Credit Suisse AG	HSI R Bull CBBC Nov 2014 V	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/11/27	300,000,000	2014/02/20
62276	Credit Suisse AG	HSI R Bull CBBC Nov 2014 W	0.250	21,688 per 10,000 units	21,888 per 10,000 units	2014/11/27	300,000,000	2014/02/20
62279	Credit Suisse AG	HSI R Bear CBBC Jun 2014 R	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/06/27	300,000,000	2014/02/20
62280	Credit Suisse AG	HSI R Bear CBBC Jun 2014 S	0.250	22,588 per 10,000 units	22,388 per 10,000 units	2014/06/27	300,000,000	2014/02/20
62277	Credit Suisse AG	HSI R Bear CBBC Jul 2014 F	0.250	22,366 per 12,000 units	22,166 per 12,000 units	2014/07/30	300,000,000	2014/02/20
62215	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 W	0.273	22,488 per 7,000 units	22,288 per 7,000 units	2014/05/29	150,000,000	2014/02/20
62309	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2014 X	0.255	22,388 per 7,000 units	22,188 per 7,000 units	2014/05/29	150,000,000	2014/02/20
62189	HK Bank	HSI R Bull CBBC Sep 2014 N	0.250	21,888 per 15,000 units	22,088 per 15,000 units	2014/09/29	100,000,000	2014/02/20
62188	HK Bank	HSI R Bull CBBC Oct 2014 K	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/10/30	100,000,000	2014/02/20
62190	HK Bank	HSI R Bull CBBC Oct 2014 L	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/10/30	100,000,000	2014/02/20
62191	HK Bank	HSI R Bull CBBC Jan 2015 C	0.250	21,128 per 20,000 units	21,428 per 20,000 units	2015/01/29	100,000,000	2014/02/20
62299	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 G	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/09/29	300,000,000	2014/02/20
62297	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/11/27	300,000,000	2014/02/20
62296	J P Morgan SP BV	HSI R Bear CBBC May 2014 Z	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/05/29	300,000,000	2014/02/20
62197	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 T	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/11/27	100,000,000	2014/02/20
62217	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 W	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2014/11/27	200,000,000	2014/02/20
62225	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 X	0.250	21,938 per 10,000 units	21,938 per 10,000 units	2014/11/27	200,000,000	2014/02/20
62233	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Y	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2014/11/27	200,000,000	2014/02/20
62235	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 W	0.250	22,338 per 10,000 units	22,238 per 10,000 units	2014/05/29	200,000,000	2014/02/20
62239	UBS AG	HSI R Bull CBBC Aug 2014 K	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/08/28	300,000,000	2014/02/20
62255	UBS AG	HSI R Bull CBBC Aug 2014 M	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/08/28	300,000,000	2014/02/20
62248	UBS AG	HSI R Bull CBBC Sep 2014 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/09/29	300,000,000	2014/02/20
62195	UBS AG	HSI R Bull CBBC Nov 2014 B	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/11/27	300,000,000	2014/02/20
62286	UBS AG	HSI R Bear CBBC May 2014 N	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/05/29	300,000,000	2014/02/20
62288	UBS AG	HSI R Bear CBBC Jun 2014 C	0.250	22,366 per 10,000 units	22,166 per 10,000 units	2014/06/27	300,000,000	2014/02/20
62257	UBS AG	TCH R Bull CBBC Sep 2014 A	0.280	518 per 100 units	530 per 100 units	2014/09/08	40,000,000	2014/02/20
63117*	HK Bank	HSI R Bear CBBC Jun 2014 M	0.118	23,688 per 15,000 units	23,488 per 15,000 units	2014/06/27	180,000,000	2014/02/20
62389	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 E	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/02/21
62409	Credit Suisse AG	HSI R Bull CBBC Oct 2014 E	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/10/30	300,000,000	2014/02/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62410	Credit Suisse AG	HSI R Bull CBBC Oct 2014 F	0.250	21,988 per 10,000 units	22,188 per 10,000 units	2014/10/30	300,000,000	2014/02/21
62411	Credit Suisse AG	HSI R Bull CBBC Oct 2014 G	0.250	22,098 per 10,000 units	22,298 per 10,000 units	2014/10/30	300,000,000	2014/02/21
62376	Credit Suisse AG	HSI R Bear CBBC May 2014 G	0.250	22,366 per 10,000 units	22,166 per 10,000 units	2014/05/29	300,000,000	2014/02/21
62377	Credit Suisse AG	HSI R Bear CBBC Jun 2014 T	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2014/06/27	300,000,000	2014/02/21
62382	Credit Suisse AG	HSI R Bear CBBC Jun 2014 U	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2014/02/21
62433	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 F	0.280	21,538 per 20,000 units	21,838 per 20,000 units	2014/07/30	150,000,000	2014/02/21
62435	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 G	0.251	22,088 per 7,000 units	22,288 per 7,000 units	2014/07/30	150,000,000	2014/02/21
62372	HK Bank	AIA R Bull CBBC Oct 2014 B	0.300	34.38	35.38	2014/10/27	60,000,000	2014/02/21
62316	HK Bank	COVS R Bull CBBC Oct 2014 B	0.250	19.28	20.28	2014/10/27	50,000,000	2014/02/21
62374	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 B	0.250	8.2	8.5	2015/01/26	80,000,000	2014/02/21
62375	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 C	0.250	8.38	8.68	2015/01/12	80,000,000	2014/02/21
62351	HK Bank	Geely Auto R Bull CBBC Jan 2015 A	0.250	2.43	2.63	2015/01/28	40,000,000	2014/02/21
62352	HK Bank	HSI R Bull CBBC Sep 2014 O	0.250	20,888 per 15,000 units	21,088 per 15,000 units	2014/09/29	100,000,000	2014/02/21
62357	HK Bank	HSI R Bull CBBC Oct 2014 M	0.250	20,588 per 15,000 units	20,788 per 15,000 units	2014/10/30	100,000,000	2014/02/21
62365	HK Bank	HSI R Bull CBBC Nov 2014 F	0.250	20,388 per 15,000 units	20,588 per 15,000 units	2014/11/27	100,000,000	2014/02/21
62371	HK Bank	HSI R Bull CBBC Dec 2014 D	0.250	19,688 per 15,000 units	19,888 per 15,000 units	2014/12/30	100,000,000	2014/02/21
62350	HK Bank	HSI R Bear CBBC Sep 2014 O	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/09/29	100,000,000	2014/02/21
62373	HK Bank	Hutchison R Bull CBBC Oct 2014 D	0.250	93.88 per 100 units	96.88 per 100 units	2014/10/27	60,000,000	2014/02/21
62339	HK Bank	TCH R Bull CBBC Nov 2014 E	0.300	513 per 100 units	528 per 100 units	2014/11/17	50,000,000	2014/02/21
62441	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 D	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/10/30	300,000,000	2014/02/21
62440	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 S	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/11/27	300,000,000	2014/02/21
62439	J P Morgan SP BV	HSI R Bear CBBC May 2014 D	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/05/29	300,000,000	2014/02/21
62436	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 J	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2014/02/21
62393	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 U	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	100,000,000	2014/02/21
62396	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 S	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2014/08/28	100,000,000	2014/02/21
62425	Standard Chartered Bank	TCH R Bull CBBC Sep 2014 D	0.440	506.88 per 100 units	518.88 per 100 units	2014/09/02	50,000,000	2014/02/21
62407	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 F	0.250	22,138 per 10,000 units	22,238 per 10,000 units	2014/10/30	200,000,000	2014/02/21
62406	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Z	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2014/11/27	200,000,000	2014/02/21
62405	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 N	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2014/12/30	200,000,000	2014/02/21
62401	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 G	0.250	22,438 per 10,000 units	22,338 per 10,000 units	2014/05/29	200,000,000	2014/02/21
62398	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 X	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2014/05/29	200,000,000	2014/02/21
62418	UBS AG	HSI R Bull CBBC Aug 2014 N	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/08/28	300,000,000	2014/02/21
62421	UBS AG	HSI R Bull CBBC Sep 2014 U	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/09/29	300,000,000	2014/02/21
62422	UBS AG	HSI R Bull CBBC Sep 2014 X	0.250	22,098 per 10,000 units	22,298 per 10,000 units	2014/09/29	300,000,000	2014/02/21
62383	UBS AG	HSI R Bear CBBC May 2014 Y	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/05/29	300,000,000	2014/02/21
62385	UBS AG	HSI R Bear CBBC May 2014 Z	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/05/29	300,000,000	2014/02/21
62408	UBS AG	TCH R Bear CBBC Oct 2014 B	0.980	645 per 100 units	630 per 100 units	2014/10/13	40,000,000	2014/02/21
62599	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 M	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62603	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 N	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62607	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 O	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62616	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 P	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62617	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Q	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62492	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 F	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/02/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62591	Credit Suisse AG	HSI R Bull CBBC Sep 2014 K	0.250	22,335 per 10,000 units	22,535 per 10,000 units	2014/09/29	300,000,000	2014/02/24
62589	Credit Suisse AG	HSI R Bull CBBC Sep 2014 R	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2014/02/24
62590	Credit Suisse AG	HSI R Bull CBBC Sep 2014 S	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/09/29	300,000,000	2014/02/24
62513	Credit Suisse AG	HSI R Bear CBBC May 2014 I	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/05/29	300,000,000	2014/02/24
62514	Credit Suisse AG	HSI R Bear CBBC May 2014 J	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/05/29	300,000,000	2014/02/24
62505	Credit Suisse AG	HSI R Bear CBBC Jul 2014 K	0.250	22,500 per 12,000 units	22,300 per 12,000 units	2014/07/30	300,000,000	2014/02/24
62656	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Dec14 B	0.320	19.88	20.88	2014/12/08	50,000,000	2014/02/24
62657	Citigroup Global Mkt H Inc.	China Life R Bear CBBC Dec14 A	0.420	26.88	25.88	2014/12/08	50,000,000	2014/02/24
62658	Citigroup Global Mkt H Inc.	China Life R Bear CBBC Dec14 B	0.520	27.88	26.88	2014/12/08	50,000,000	2014/02/24
62653	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Dec14 C	0.250	65.50 per 100 units	68 per 100 units	2014/12/01	60,000,000	2014/02/24
62655	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Dec14 B	0.250	78 per 100 units	75.50 per 100 units	2014/12/01	60,000,000	2014/02/24
62659	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 B	1.900	398 per 100 units	408 per 100 units	2014/12/15	50,000,000	2014/02/24
62660	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 C	1.700	418 per 100 units	428 per 100 units	2014/12/15	50,000,000	2014/02/24
62661	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 D	1.500	438 per 100 units	448 per 100 units	2014/12/15	50,000,000	2014/02/24
62662	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 E	0.750	508 per 100 units	518 per 100 units	2014/12/15	50,000,000	2014/02/24
62665	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 F	0.650	518 per 100 units	528 per 100 units	2014/12/15	50,000,000	2014/02/24
62666	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 G	0.550	528 per 100 units	538 per 100 units	2014/12/15	50,000,000	2014/02/24
62667	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 A	0.400	618 per 100 units	608 per 100 units	2014/12/15	50,000,000	2014/02/24
62668	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 B	0.500	628 per 100 units	618 per 100 units	2014/12/15	50,000,000	2014/02/24
62669	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 C	0.600	638 per 100 units	628 per 100 units	2014/12/15	50,000,000	2014/02/24
62670	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 D	0.700	648 per 100 units	638 per 100 units	2014/12/15	50,000,000	2014/02/24
62671	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 E	0.800	658 per 100 units	648 per 100 units	2014/12/15	50,000,000	2014/02/24
62672	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 F	0.900	668 per 100 units	658 per 100 units	2014/12/15	50,000,000	2014/02/24
62673	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 G	1.000	678 per 100 units	668 per 100 units	2014/12/15	50,000,000	2014/02/24
62687	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 H	1.100	688 per 100 units	678 per 100 units	2014/12/15	50,000,000	2014/02/24
62693	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 I	1.200	698 per 100 units	688 per 100 units	2014/12/15	50,000,000	2014/02/24
62697	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 J	1.300	708 per 100 units	698 per 100 units	2014/12/15	50,000,000	2014/02/24
62652	Bank of East Asia	China Mobile R Bull CBBC Oct 2014 A	0.250	62.50 per 100 units	65 per 100 units	2014/10/08	100,000,000	2014/02/24
62637	Bank of East Asia	Geely Auto R Bull CBBC May 2015 A	0.250	2.42	2.62	2015/05/12	200,000,000	2014/02/24
62636	Bank of East Asia	Huishan Dairy R Bull CBBC Dec 2015 A	0.250	2.12	2.42	2015/12/21	200,000,000	2014/02/24
62517	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 H	0.266	22,188 per 7,000 units	22,388 per 7,000 units	2014/07/30	150,000,000	2014/02/24
62518	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 I	0.262	22,288 per 7,000 units	22,488 per 7,000 units	2014/07/30	150,000,000	2014/02/24
62527	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 J	0.264	21,738 per 20,000 units	22,038 per 20,000 units	2014/07/30	150,000,000	2014/02/24
62529	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 K	0.255	21,938 per 20,000 units	22,238 per 20,000 units	2014/07/30	150,000,000	2014/02/24
62482	HK Bank	China Life R Bear CBBC Dec 2014 B	0.260	24.08	23.08	2014/12/22	50,000,000	2014/02/24
62483	HK Bank	CM Bank R Bull CBBC Nov 2014 A	0.250	12.34	13.14	2014/11/24	40,000,000	2014/02/24
62476	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 E	0.250	6.98	7.48	2014/11/03	40,000,000	2014/02/24
62481	HK Bank	China Shenhua R Bull CBBC Aug 2015 A	0.250	16.08 per 100 units	18.08 per 100 units	2015/08/28	40,000,000	2014/02/24
62450	HK Bank	Great Wall Motor R Bull CBBC Oct 2015 A	0.250	32.38 per 100 units	34.88 per 100 units	2015/10/26	40,000,000	2014/02/24
62447	HK Bank	HSCEI R Bull CBBC Oct 2014 C	0.250	9,588 per 10,000 units	9,788 per 10,000 units	2014/10/30	100,000,000	2014/02/24
62443	HK Bank	HSI R Bull CBBC Sep 2014 P	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/09/29	100,000,000	2014/02/24
62444	HK Bank	HSI R Bear CBBC Oct 2014 H	0.250	22,588 per 15,000 units	22,388 per 15,000 units	2014/10/30	100,000,000	2014/02/24
62484	HK Bank	Hutchison R Bull CBBC Oct 2014 E	0.250	90.68 per 100 units	93.68 per 100 units	2014/10/13	60,000,000	2014/02/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62454	HK Bank	TCH R Bull CBBC Oct 2014 J	0.280	523 per 100 units	538 per 100 units	2014/10/15	50,000,000	2014/02/24
62461	HK Bank	TCH R Bear CBBC Sep 2014 M	1.200	663.88 per 100 units	648.88 per 100 units	2014/09/08	50,000,000	2014/02/24
62460	HK Bank	TCH R Bear CBBC Nov 2014 C	1.100	653.88 per 100 units	638.88 per 100 units	2014/11/03	50,000,000	2014/02/24
62631	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/10/30	300,000,000	2014/02/24
62625	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 T	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/11/27	300,000,000	2014/02/24
62624	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 S	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62623	J P Morgan SP BV	HSI R Bear CBBC May 2014 E	0.250	22,888 per 10,000 units	22,688 per 10,000 units	2014/05/29	300,000,000	2014/02/24
62622	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 K	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/07/30	300,000,000	2014/02/24
62485	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/09/29	40,000,000	2014/02/24
62486	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 C	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/09/29	40,000,000	2014/02/24
62499	Standard Chartered Bank	China Mobile R Bull CBBC Nov 2014A	0.250	66.30 per 100 units	68.80 per 100 units	2014/11/18	50,000,000	2014/02/24
62502	Standard Chartered Bank	China Mobile R Bear CBBC Jul 2014A	0.250	85.30 per 100 units	82.80 per 100 units	2014/07/18	50,000,000	2014/02/24
62530	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 V	0.250	22,288 per 20,000 units	22,488 per 20,000 units	2014/11/27	100,000,000	2014/02/24
62504	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 J	0.250	22,500 per 12,000 units	22,300 per 12,000 units	2014/07/30	100,000,000	2014/02/24
62586	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 A	0.470	523.88 per 100 units	538.88 per 100 units	2014/11/18	50,000,000	2014/02/24
62587	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 C	0.700	633.88 per 100 units	618.88 per 100 units	2014/10/20	50,000,000	2014/02/24
62595	Standard Chartered Bank	TCH R Bear CBBC Nov 2014 A	0.770	653.88 per 100 units	638.88 per 100 units	2014/11/12	50,000,000	2014/02/24
62531	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 G	0.250	22,238 per 10,000 units	22,338 per 10,000 units	2014/10/30	200,000,000	2014/02/24
62532	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 H	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/10/30	200,000,000	2014/02/24
62487	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 H	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2014/05/29	200,000,000	2014/02/24
62488	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 K	0.250	22,638 per 10,000 units	22,538 per 10,000 units	2014/05/29	200,000,000	2014/02/24
62533	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 G	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 H	0.250	23,838 per 10,000 units	23,738 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62538	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 I	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62544	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 J	0.250	24,138 per 10,000 units	24,038 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62547	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 K	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62548	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 L	0.250	24,438 per 10,000 units	24,338 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62567	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 M	0.250	24,588 per 10,000 units	24,488 per 10,000 units	2014/06/27	200,000,000	2014/02/24
62573	UBS AG	AIA R Bull CBBC Nov 2014 B	0.250	34.88 per 100 units	35.88 per 100 units	2014/11/17	100,000,000	2014/02/24
62572	UBS AG	China Mobile R Bear CBBC Aug 2014 B	0.250	80 per 100 units	77.50 per 100 units	2014/08/04	100,000,000	2014/02/24
62571	UBS AG	CC Bank R Bull CBBC Aug 2014 A	0.250	4.95	5.25	2014/08/11	100,000,000	2014/02/24
62574	UBS AG	China Life R Bull CBBC Jul 2014 I	0.315	19.88	20.88	2014/07/07	50,000,000	2014/02/24
62583	UBS AG	HSCEI R Bull CBBC Nov 2014 E	0.250	9,450 per 10,000 units	9,650 per 10,000 units	2014/11/27	100,000,000	2014/02/24
62593	UBS AG	HSI R Bull CBBC Nov 2014 E	0.250	22,335 per 10,000 units	22,535 per 10,000 units	2014/11/27	300,000,000	2014/02/24
62594	UBS AG	HSI R Bull CBBC Dec 2014 G	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/02/24
62494	UBS AG	HSI R Bear CBBC May 2014 C	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/05/29	300,000,000	2014/02/24
62493	UBS AG	HSI R Bear CBBC Jun 2014 H	0.250	22,525 per 12,000 units	22,325 per 12,000 units	2014/06/27	350,000,000	2014/02/24
62568	UBS AG	Hutcheon R Bull CBBC Sep 2014 C	0.250	92 per 100 units	95 per 100 units	2014/09/22	100,000,000	2014/02/24
62569	UBS AG	TCH R Bull CBBC Aug 2014 F	0.435	525 per 100 units	540 per 100 units	2014/08/11	40,000,000	2014/02/24
62570	UBS AG	TCH R Bear CBBC Oct 2014 C	0.880	655 per 100 units	640 per 100 units	2014/10/06	40,000,000	2014/02/24
62584	UBS AG	TCH R Bear CBBC Nov 2014 A	1.530	720 per 100 units	700 per 100 units	2014/11/17	40,000,000	2014/02/24
62771	BNP Paribas Arbit Issu B.V.	TCH R Bull CBBC Dec 2014 C	0.550	530 per 100 units	540 per 100 units	2014/12/30	100,000,000	2014/02/25
62772	BNP Paribas Arbit Issu B.V.	TCH R Bear CBBC Dec 2014 B	0.500	630 per 100 units	620 per 100 units	2014/12/30	100,000,000	2014/02/25

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62853	Credit Suisse AG	HSI R Bull CBBC Oct 2014 B	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/10/30	300,000,000	2014/02/25
62854	Credit Suisse AG	HSI R Bull CBBC Oct 2014 D	0.250	22,387 per 12,000 units	22,587 per 12,000 units	2014/10/30	300,000,000	2014/02/25
62768	Credit Suisse AG	HSI R Bear CBBC Jun 2014 V	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/06/27	300,000,000	2014/02/25
62769	Credit Suisse AG	HSI R Bear CBBC Jun 2014 W	0.250	22,736 per 10,000 units	22,536 per 10,000 units	2014/06/27	300,000,000	2014/02/25
62873	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Dec 2014 B	0.250	33.88 per 100 units	34.88 per 100 units	2014/12/18	100,000,000	2014/02/25
62900	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Dec 2014 C	0.250	34.88 per 100 units	35.88 per 100 units	2014/12/18	100,000,000	2014/02/25
62905	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Dec 2014 A	0.250	40.88 per 100 units	39.88 per 100 units	2014/12/18	100,000,000	2014/02/25
62927	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Dec 2014 B	0.250	41.88 per 100 units	40.88 per 100 units	2014/12/18	100,000,000	2014/02/25
62991	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Dec 2014 B	0.250	4.98	5.28	2014/12/18	60,000,000	2014/02/25
63113	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Dec14 C	0.250	20.88	21.88	2014/12/18	50,000,000	2014/02/25
63065	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Dec14 C	0.250	95.50 per 100 units	93 per 100 units	2014/12/18	60,000,000	2014/02/25
63081	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Dec14 D	0.260	98 per 100 units	95.50 per 100 units	2014/12/18	60,000,000	2014/02/25
63102	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Dec14 E	0.300	100.50 per 100 units	98 per 100 units	2014/12/18	60,000,000	2014/02/25
62935	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Dec 2014 B	0.250	3.8	4	2014/12/18	60,000,000	2014/02/25
63114	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 H	0.500	538 per 100 units	548 per 100 units	2014/12/18	50,000,000	2014/02/25
62859	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 A	0.253	22,788 per 7,000 units	22,588 per 7,000 units	2014/07/30	150,000,000	2014/02/25
62719	HK Bank	Cheung Kong R Bull CBBC Oct 2014 C	0.250	105.68 per 100 units	111.68 per 100 units	2014/10/27	60,000,000	2014/02/25
62721	HK Bank	China Life R Bull CBBC Oct 2014 B	0.300	19.98	20.98	2014/10/20	60,000,000	2014/02/25
62739	HK Bank	China Life R Bull CBBC Oct 2014 C	0.250	20.98	21.98	2014/10/13	60,000,000	2014/02/25
62720	HK Bank	China Shenhua R Bear CBBC Aug 2015 A	0.250	28.88 per 100 units	26.88 per 100 units	2015/08/31	40,000,000	2014/02/25
62746	HK Bank	HSI R Bull CBBC Oct 2014 N	0.250	22,288 per 15,000 units	22,488 per 15,000 units	2014/10/30	100,000,000	2014/02/25
62745	HK Bank	HSI R Bull CBBC Nov 2014 G	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/11/27	100,000,000	2014/02/25
62718	HK Bank	Ping An R Bull CBBC Oct 2014 B	0.250	58.28 per 100 units	62.28 per 100 units	2014/10/27	60,000,000	2014/02/25
62704	HK Bank	TCH R Bull CBBC Oct 2014 K	0.350	548 per 100 units	558 per 100 units	2014/10/22	50,000,000	2014/02/25
62703	HK Bank	TCH R Bull CBBC Nov 2014 F	0.450	538 per 100 units	548 per 100 units	2014/11/20	50,000,000	2014/02/25
62706	HK Bank	TCH R Bull CBBC Nov 2014 G	0.250	558 per 100 units	568 per 100 units	2014/11/11	50,000,000	2014/02/25
62708	HK Bank	TCH R Bear CBBC Oct 2014 H	1.050	678.88 per 100 units	668.88 per 100 units	2014/10/24	50,000,000	2014/02/25
62711	HK Bank	TCH R Bear CBBC Nov 2014 D	1.150	688.88 per 100 units	678.88 per 100 units	2014/11/24	50,000,000	2014/02/25
62863	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 P	0.250	22,387 per 10,000 units	22,587 per 10,000 units	2014/10/30	300,000,000	2014/02/25
62860	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 V	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/11/27	300,000,000	2014/02/25
62747	Standard Chartered Bank	HSBC R Bull CBBC Jul 2014A	0.250	72.50 per 100 units	75.50 per 100 units	2014/07/30	50,000,000	2014/02/25
62759	Standard Chartered Bank	HSBC R Bear CBBC Sep 2014A	0.250	91.88 per 100 units	88.88 per 100 units	2014/09/08	50,000,000	2014/02/25
62763	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 W	0.250	22,188 per 12,000 units	22,388 per 12,000 units	2014/11/27	100,000,000	2014/02/25
62766	Standard Chartered Bank	Hutchison R Bull CBBC Aug 2014 A	0.250	89.88 per 100 units	92.88 per 100 units	2014/08/25	50,000,000	2014/02/25
62767	Standard Chartered Bank	Hutchison R Bear CBBC Sep 2014 A	0.250	114.11 per 100 units	111.11 per 100 units	2014/09/29	50,000,000	2014/02/25
62773	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 D	0.930	673.88 per 100 units	658.88 per 100 units	2014/10/28	50,000,000	2014/02/25
62850	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 I	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2014/10/30	200,000,000	2014/02/25
62851	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 J	0.250	22,338 per 10,000 units	22,438 per 10,000 units	2014/10/30	200,000,000	2014/02/25
62782	UBS AG	Cheung Kong R Bear CBBC Aug 2014 A	0.250	134.88 per 100 units	128.88 per 100 units	2014/08/18	50,000,000	2014/02/25
62816	UBS AG	Minsheng Bank R Bull CBBC Oct 2014 D	0.250	7	7.5	2014/10/27	50,000,000	2014/02/25
62805	UBS AG	China Shenhua R Bull CBBC Jul 2015 A	0.250	16.88 per 100 units	18.88 per 100 units	2015/07/20	50,000,000	2014/02/25
62785	UBS AG	Geely Auto R Bull CBBC Dec 2014 A	0.250	2.45	2.65	2014/12/29	50,000,000	2014/02/25
62819	UBS AG	Great Wall Motor R Bull CBBC Dec 2014 B	0.250	32.50 per 100 units	35 per 100 units	2014/12/22	50,000,000	2014/02/25

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62857	UBS AG	HSI R Bull CBBC Oct 2014 F	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/10/30	300,000,000	2014/02/25
62856	UBS AG	HSI R Bull CBBC Oct 2014 G	0.250	22,387 per 10,000 units	22,587 per 10,000 units	2014/10/30	300,000,000	2014/02/25
62770	UBS AG	HSI R Bear CBBC May 2014 X	0.250	22,736 per 10,000 units	22,536 per 10,000 units	2014/05/29	300,000,000	2014/02/25
62786	UBS AG	TCH R Bull CBBC Sep 2014 B	0.490	535 per 100 units	550 per 100 units	2014/09/22	40,000,000	2014/02/25
62791	UBS AG	TCH R Bear CBBC Nov 2014 B	0.920	675 per 100 units	660 per 100 units	2014/11/10	40,000,000	2014/02/25
63473	Credit Suisse AG	HSI R Bull CBBC Nov 2014 K	0.250	22,464 per 12,000 units	22,664 per 12,000 units	2014/11/27	300,000,000	2014/02/26
63467	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	300,000,000	2014/02/26
63469	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Y	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/02/26
63412	Credit Suisse AG	HSI R Bear CBBC May 2014 F	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63443	Credit Suisse AG	HSI R Bear CBBC May 2014 U	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63568	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Dec14C	0.250	69.50 per 100 units	72 per 100 units	2014/12/12	80,000,000	2014/02/26
63571	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Dec14A	0.250	79.80 per 100 units	77 per 100 units	2014/12/12	80,000,000	2014/02/26
63547	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Dec14B	0.250	8.4	8.7	2014/12/12	100,000,000	2014/02/26
63554	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Dec 14 A	0.250	8.3	8.6	2014/12/12	100,000,000	2014/02/26
63574	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Dec 2014 B	0.250	76 per 100 units	78.80 per 100 units	2014/12/12	80,000,000	2014/02/26
63575	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Dec 2014 C	0.250	78 per 100 units	80.80 per 100 units	2014/12/12	80,000,000	2014/02/26
63577	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Dec 2014 A	0.250	99 per 100 units	96.20 per 100 units	2014/12/12	80,000,000	2014/02/26
63580	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Dec 2014 B	0.250	103 per 100 units	100.20 per 100 units	2014/12/12	80,000,000	2014/02/26
63546	Citigroup Global Mkt H Inc.	ICBC R Bear CBBC Dec 2014 A	0.250	5.95	5.75	2014/12/12	60,000,000	2014/02/26
63563	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Dec 2014 C	0.250	56 per 100 units	60 per 100 units	2014/12/12	80,000,000	2014/02/26
63559	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Dec14A	0.250	52 per 100 units	55 per 100 units	2014/12/12	60,000,000	2014/02/26
63560	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Dec14A	0.250	66 per 100 units	63 per 100 units	2014/12/12	60,000,000	2014/02/26
63303	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 I	0.420	545 per 100 units	558 per 100 units	2014/12/15	50,000,000	2014/02/26
63307	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 J	0.320	555 per 100 units	568 per 100 units	2014/12/15	50,000,000	2014/02/26
63243	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 K	1.500	728 per 100 units	708 per 100 units	2014/12/08	50,000,000	2014/02/26
63244	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 L	1.800	748 per 100 units	728 per 100 units	2014/12/08	50,000,000	2014/02/26
63279	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 M	1.900	768 per 100 units	748 per 100 units	2014/12/08	50,000,000	2014/02/26
63286	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 N	2.100	788 per 100 units	768 per 100 units	2014/12/08	50,000,000	2014/02/26
63288	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 O	2.300	808 per 100 units	788 per 100 units	2014/12/08	50,000,000	2014/02/26
63296	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Dec 2014 P	2.600	828 per 100 units	808 per 100 units	2014/12/08	50,000,000	2014/02/26
63498	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 L	0.260	22,388 per 7,000 units	22,588 per 7,000 units	2014/07/30	150,000,000	2014/02/26
63519	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 M	0.277	22,238 per 20,000 units	22,438 per 20,000 units	2014/07/30	150,000,000	2014/02/26
63515	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 B	0.252	22,888 per 7,000 units	22,688 per 7,000 units	2014/07/30	150,000,000	2014/02/26
63545	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 E	0.250	22,464 per 10,000 units	22,664 per 10,000 units	2014/09/29	300,000,000	2014/02/26
63542	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 X	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/10/30	300,000,000	2014/02/26
63308	J P Morgan SP BV	HSI R Bear CBBC May 2014 M	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63333	J P Morgan SP BV	HSI R Bear CBBC May 2014 R	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63525	J P Morgan SP BV	HSI R Bear CBBC May 2014 S	0.250	23,075 per 10,000 units	22,875 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63139	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 T	0.250	22,800 per 12,000 units	22,600 per 12,000 units	2014/08/28	100,000,000	2014/02/26
63115	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 K	0.250	22,438 per 10,000 units	22,538 per 10,000 units	2014/10/30	200,000,000	2014/02/26
63134	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 L	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2014/05/29	200,000,000	2014/02/26
63367	UBS AG	China Life R Bull CBBC Sep 2014 A	0.250	20.88	21.88	2014/09/15	50,000,000	2014/02/26
63334	UBS AG	HSBC R Bull CBBC Nov 2014 B	0.250	77 per 100 units	80 per 100 units	2014/11/17	100,000,000	2014/02/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63388	UBS AG	HSCEI R Bull CBBC Nov 2014 F	0.250	9,600 per 10,000 units	9,800 per 10,000 units	2014/11/27	100,000,000	2014/02/26
63489	UBS AG	HSI R Bull CBBC Aug 2014 L	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/08/28	300,000,000	2014/02/26
63478	UBS AG	HSI R Bull CBBC Nov 2014 F	0.250	22,464 per 10,000 units	22,664 per 10,000 units	2014/11/27	300,000,000	2014/02/26
63482	UBS AG	HSI R Bull CBBC Dec 2014 H	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/02/26
63218	UBS AG	HSI R Bear CBBC May 2014 M	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63371	UBS AG	HSI R Bear CBBC May 2014 V	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2014/02/26
63361	UBS AG	Ping An R Bull CBBC Oct 2014 F	0.250	56 per 100 units	60 per 100 units	2014/10/06	100,000,000	2014/02/26
63342	UBS AG	TCH R Bull CBBC Oct 2014 A	0.400	545 per 100 units	560 per 100 units	2014/10/06	40,000,000	2014/02/26
63358	UBS AG	TCH R Bear CBBC Oct 2014 D	1.110	695 per 100 units	680 per 100 units	2014/10/20	40,000,000	2014/02/26
63895	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 G	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/02/27
63896	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 H	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/02/27
63678	Credit Suisse AG	HSI R Bull CBBC Dec 2014 V	0.250	22,460 per 10,000 units	22,660 per 10,000 units	2014/12/30	300,000,000	2014/02/27
63730	Credit Suisse AG	HSI R Bull CBBC Dec 2014 W	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/12/30	300,000,000	2014/02/27
63731	Credit Suisse AG	HSI R Bull CBBC Dec 2014 X	0.250	22,188 per 10,000 units	22,388 per 10,000 units	2014/12/30	300,000,000	2014/02/27
63950	Credit Suisse AG	HSI R Bear CBBC May 2014 H	0.250	22,595 per 10,000 units	22,395 per 10,000 units	2014/05/29	300,000,000	2014/02/27
63903	Credit Suisse AG	HSI R Bear CBBC May 2014 V	0.250	22,938 per 10,000 units	22,738 per 10,000 units	2014/05/29	300,000,000	2014/02/27
63926	Credit Suisse AG	HSI R Bear CBBC May 2014 Z	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/05/29	300,000,000	2014/02/27
63777	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 C	0.260	22,688 per 7,000 units	22,488 per 7,000 units	2014/07/30	150,000,000	2014/02/27
63780	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 D	0.254	23,038 per 20,000 units	22,738 per 20,000 units	2014/07/30	150,000,000	2014/02/27
63606	HK Bank	CNOOC R Bull CBBC Nov 2014 C	0.250	11.18	11.98	2014/11/24	60,000,000	2014/02/27
63594	HK Bank	HSI R Bull CBBC Sep 2014 Q	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/09/29	100,000,000	2014/02/27
63581	HK Bank	HSI R Bear CBBC Nov 2014 C	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/11/27	100,000,000	2014/02/27
63605	HK Bank	TCH R Bull CBBC Oct 2014 L	0.250	568 per 100 units	578 per 100 units	2014/10/29	50,000,000	2014/02/27
63603	HK Bank	TCH R Bear CBBC Dec 2014 A	1.420	718.88 per 100 units	708.88 per 100 units	2014/12/08	50,000,000	2014/02/27
63611	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 M	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/10/30	300,000,000	2014/02/27
63655	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 I	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/02/27
63975	J P Morgan SP BV	HSI R Bear CBBC May 2014 T	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/05/29	300,000,000	2014/02/27
63747	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 X	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2014/11/27	100,000,000	2014/02/27
63775	Standard Chartered Bank	Ping An R Bull CBBC Nov 2014 A	0.250	55.38 per 100 units	58.88 per 100 units	2014/11/18	50,000,000	2014/02/27
63776	Standard Chartered Bank	Ping An R Bear CBBC Jul 2014 A	0.250	78.88 per 100 units	74.88 per 100 units	2014/07/18	50,000,000	2014/02/27
63781	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 O	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2014/12/30	200,000,000	2014/02/27
63793	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 R	0.250	22,538 per 10,000 units	22,438 per 10,000 units	2014/05/29	200,000,000	2014/02/27
63805	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May14 S	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/05/29	200,000,000	2014/02/27
63838	UBS AG	Sinopec Corp R Bull CBBC Nov 2014 A	0.250	5.98	6.28	2014/11/03	50,000,000	2014/02/27
63661	UBS AG	HSI R Bull CBBC Aug 2014 X	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/02/27
63870	UBS AG	HSI R Bear CBBC May 2014 R	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/05/29	300,000,000	2014/02/27
63871	UBS AG	HSI R Bear CBBC May 2014 S	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/05/29	300,000,000	2014/02/27
63819	UBS AG	Petrochina R Bull CBBC Nov 2014 A	0.250	7.4	7.8	2014/11/03	50,000,000	2014/02/27
63822	UBS AG	TCH R Bear CBBC Aug 2014 D	1.170	685 per 100 units	670 per 100 units	2014/08/11	40,000,000	2014/02/27
63820	UBS AG	TCH R Bear CBBC Sep 2014 F	1.370	705 per 100 units	690 per 100 units	2014/09/22	40,000,000	2014/02/27
64067	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 I	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/02/28
64621	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	22,368 per 10,000 units	22,568 per 10,000 units	2014/11/27	300,000,000	2014/02/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64595	Credit Suisse AG	HSI R Bull CBBC Nov 2014 J	0.250	22,128 per 10,000 units	22,328 per 10,000 units	2014/11/27	300,000,000	2014/02/28
64095	Credit Suisse AG	HSI R Bear CBBC May 2014 K	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2014/05/29	300,000,000	2014/02/28
64114	Credit Suisse AG	HSI R Bear CBBC May 2014 S	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64007	HK Bank	Sinopec Corp R Bull CBBC Oct 2014 C	0.250	5.78	6.08	2014/10/16	80,000,000	2014/02/28
63985	HK Bank	HSI R Bear CBBC Sep 2014 P	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2014/09/29	100,000,000	2014/02/28
63984	HK Bank	HSI R Bear CBBC Oct 2014 I	0.250	22,788 per 15,000 units	22,588 per 15,000 units	2014/10/30	100,000,000	2014/02/28
63999	HK Bank	TCH R Bear CBBC Oct 2014 I	0.360	598 per 100 units	588 per 100 units	2014/10/30	50,000,000	2014/02/28
64000	HK Bank	TCH R Bear CBBC Oct 2014 J	0.260	588 per 100 units	578 per 100 units	2014/10/22	50,000,000	2014/02/28
64587	Standard Chartered Bank	China Life R Bull CBBC Nov 2014 A	0.300	20	21	2014/11/18	50,000,000	2014/02/28
64591	Standard Chartered Bank	China Life R Bear CBBC Jul 2014 A	0.250	25.28	24.28	2014/07/18	50,000,000	2014/02/28
64118	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 U	0.250	22,600 per 15,000 units	22,400 per 15,000 units	2014/08/28	100,000,000	2014/02/28
64008	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14 Y	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2014/05/29	200,000,000	2014/02/28
64054	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 14 Z	0.250	22,838 per 10,000 units	22,738 per 10,000 units	2014/05/29	200,000,000	2014/02/28
64368	UBS AG	AIA R Bear CBBC Nov 2014 A	0.250	41.88 per 100 units	40.88 per 100 units	2014/11/10	100,000,000	2014/02/28
64488	UBS AG	China Mobile R Bull CBBC Nov 2014 B	0.250	66.30 per 100 units	68.80 per 100 units	2014/11/03	100,000,000	2014/02/28
64350	UBS AG	CSOP A50 ETF R Bull CBBC Oct 2014 B	0.250	7.8	8.1	2014/10/06	50,000,000	2014/02/28
64315	UBS AG	CSOP A50 ETF R Bull CBBC Nov 2014 A	0.250	7.6	7.9	2014/11/03	50,000,000	2014/02/28
64300	UBS AG	A50 China R Bull CBBC Aug 2014 A	0.250	7.8	8.1	2014/08/11	100,000,000	2014/02/28
64294	UBS AG	A50 China R Bull CBBC Sep 2014 A	0.250	7.6	7.9	2014/09/15	100,000,000	2014/02/28
64515	UBS AG	HKEx R Bull CBBC Nov 2014 A	0.250	100 per 100 units	105 per 100 units	2014/11/10	100,000,000	2014/02/28
64528	UBS AG	HSBC R Bull CBBC Dec 2014 A	0.250	79.20 per 100 units	82 per 100 units	2014/12/01	100,000,000	2014/02/28
64530	UBS AG	HSBC R Bull CBBC Apr 2015 A	0.250	69.70 per 100 units	72.50 per 100 units	2015/04/20	100,000,000	2014/02/28
64547	UBS AG	HSI R Bull CBBC Sep 2014 Y	0.250	22,368 per 10,000 units	22,568 per 10,000 units	2014/09/29	300,000,000	2014/02/28
64162	UBS AG	HSI R Bear CBBC May 2014 D	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64169	UBS AG	HSI R Bear CBBC May 2014 E	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64092	UBS AG	HSI R Bear CBBC May 2014 F	0.250	22,595 per 12,000 units	22,395 per 12,000 units	2014/05/29	350,000,000	2014/02/28
64157	UBS AG	HSI R Bear CBBC May 2014 H	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64194	UBS AG	HSI R Bear CBBC May 2014 N	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64173	UBS AG	HSI R Bear CBBC May 2014 T	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64091	UBS AG	HSI R Bear CBBC May 2014 U	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64211	UBS AG	HSI R Bear CBBC May 2014 Y	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64242	UBS AG	HSI R Bear CBBC May 2014 Z	0.255	25,000 per 10,000 units	24,800 per 10,000 units	2014/05/29	300,000,000	2014/02/28
64520	UBS AG	Hutchison R Bull CBBC Oct 2014 D	0.250	94.50 per 100 units	97.50 per 100 units	2014/10/20	100,000,000	2014/02/28
64514	UBS AG	TCH R Bear CBBC Nov 2014 C	1.630	740 per 100 units	720 per 100 units	2014/11/17	40,000,000	2014/02/28
64628	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 R	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/03/03
64664	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 S	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/03/03
64926	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 J	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/03/03
64931	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 K	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/03/03
64772	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Q	0.250	22,338 per 12,000 units	22,538 per 12,000 units	2014/09/29	300,000,000	2014/03/03
64786	Credit Suisse AG	HSI R Bull CBBC Sep 2014 T	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/09/29	300,000,000	2014/03/03
64822	Credit Suisse AG	HSI R Bull CBBC Sep 2014 U	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/09/29	300,000,000	2014/03/03
64915	Credit Suisse AG	HSI R Bear CBBC Jun 2014 F	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/06/27	300,000,000	2014/03/03
64895	Credit Suisse AG	HSI R Bear CBBC Jun 2014 X	0.250	22,479 per 10,000 units	22,279 per 10,000 units	2014/06/27	300,000,000	2014/03/03

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64898	Credit Suisse AG	HSI R Bear CBBC Jun 2014 Y	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/06/27	300,000,000	2014/03/03
64904	Credit Suisse AG	HSI R Bear CBBC Jun 2014 Z	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/06/27	300,000,000	2014/03/03
64940	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 N	0.258	22,088 per 7,000 units	22,288 per 7,000 units	2014/07/30	150,000,000	2014/03/03
64941	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 O	0.253	22,188 per 7,000 units	22,388 per 7,000 units	2014/07/30	150,000,000	2014/03/03
64937	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 E	0.252	22,838 per 20,000 units	22,538 per 20,000 units	2014/07/30	150,000,000	2014/03/03
64943	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Q	0.250	22,188 per 10,000 units	22,388 per 10,000 units	2014/10/30	300,000,000	2014/03/03
64944	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 U	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/11/27	300,000,000	2014/03/03
64945	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 B	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2014/03/03
64946	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 L	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/07/30	300,000,000	2014/03/03
64835	Standard Chartered Bank	HSI R Bull CBBC Jan 2015 A	0.250	22,088 per 20,000 units	22,288 per 20,000 units	2015/01/29	100,000,000	2014/03/03
64881	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 C	0.250	22,480 per 20,000 units	22,280 per 20,000 units	2014/09/29	100,000,000	2014/03/03
64893	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 D	0.250	23,250 per 15,000 units	23,050 per 15,000 units	2014/09/29	100,000,000	2014/03/03
64738	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 L	0.250	22,238 per 10,000 units	22,338 per 10,000 units	2014/10/30	200,000,000	2014/03/03
64744	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 M	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/10/30	200,000,000	2014/03/03
64877	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 N	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2014/06/27	200,000,000	2014/03/03
64878	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 O	0.250	22,638 per 10,000 units	22,538 per 10,000 units	2014/06/27	200,000,000	2014/03/03
64858	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2015 A	0.250	7.1	7.4	2015/01/26	50,000,000	2014/03/03
64869	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2015 B	0.250	7.3	7.6	2015/01/12	50,000,000	2014/03/03
64841	UBS AG	A50 China R Bull CBBC Jan 2015 A	0.250	7.3	7.6	2015/01/26	100,000,000	2014/03/03
64848	UBS AG	A50 China R Bull CBBC Jan 2015 B	0.250	7.45	7.75	2015/01/12	100,000,000	2014/03/03
64840	UBS AG	A50 China R Bull CBBC Feb 2015 A	0.250	7.1	7.4	2015/02/09	100,000,000	2014/03/03
64876	UBS AG	HSBC R Bull CBBC Jul 2014 B	0.250	73.58 per 100 units	76.38 per 100 units	2014/07/07	100,000,000	2014/03/03
64875	UBS AG	HSBC R Bear CBBC Nov 2014 A	0.250	97.80 per 100 units	95 per 100 units	2014/11/10	100,000,000	2014/03/03
64735	UBS AG	HSI R Bull CBBC Aug 2014 P	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2014/03/03
64680	UBS AG	HSI R Bull CBBC Sep 2014 V	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/09/29	300,000,000	2014/03/03
64924	UBS AG	HSI R Bear CBBC Jun 2014 O	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/06/27	300,000,000	2014/03/03
64925	UBS AG	HSI R Bear CBBC Jun 2014 Q	0.250	22,480 per 10,000 units	22,280 per 10,000 units	2014/06/27	300,000,000	2014/03/03
64871	UBS AG	Hutchison R Bear CBBC Jul 2014 C	0.250	123 per 100 units	120 per 100 units	2014/07/28	100,000,000	2014/03/03
64870	UBS AG	SHK Ppt R Bear CBBC Oct 2014 A	0.250	105 per 100 units	101 per 100 units	2014/10/20	50,000,000	2014/03/03
64981	Credit Suisse AG	HSI R Bull CBBC Oct 2014 H	0.250	22,289 per 12,000 units	22,489 per 12,000 units	2014/10/30	300,000,000	2014/03/04
64985	Credit Suisse AG	HSI R Bull CBBC Oct 2014 I	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/10/30	300,000,000	2014/03/04
64964	Credit Suisse AG	HSI R Bear CBBC Jun 2014 I	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2014/03/04
64992	Credit Suisse AG	HSI R Bear CBBC Jun 2014 L	0.250	22,518 per 10,000 units	22,318 per 10,000 units	2014/06/27	300,000,000	2014/03/04
65040	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Dec 2014 C	0.250	4.88	5.18	2014/12/11	80,000,000	2014/03/04
65025	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Dec14A	0.250	9.3	9	2014/12/11	100,000,000	2014/03/04
65022	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Dec 14 A	0.250	9	8.7	2014/12/11	100,000,000	2014/03/04
65034	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Dec14D	0.250	68 per 100 units	70.50 per 100 units	2014/12/11	60,000,000	2014/03/04
65042	Citigroup Global Mkt H Inc.	ICBC R Bear CBBC Dec 2014 B	0.250	5.2	5	2014/12/11	80,000,000	2014/03/04
65021	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Dec14B	0.250	55 per 100 units	58 per 100 units	2014/12/11	60,000,000	2014/03/04
64966	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 P	0.263	22,288 per 7,000 units	22,488 per 7,000 units	2014/07/30	150,000,000	2014/03/04
65018	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 F	0.253	22,588 per 7,000 units	22,388 per 7,000 units	2014/07/30	150,000,000	2014/03/04
64957	HK Bank	Minsheng Bank R Bull CBBC Dec 2014 A	0.250	5.53	6.03	2014/12/15	40,000,000	2014/03/04
64954	HK Bank	A50 R Bear CBBC Nov 2014 B	0.250	9.58	9.28	2014/11/24	50,000,000	2014/03/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64956	HK Bank	A50 R Bear CBBC Nov 2014 C	0.250	9.28	8.98	2014/11/17	50,000,000	2014/03/04
64951	HK Bank	HSCEI R Bear CBBC Oct 2014 C	0.250	10,288 per 10,000 units	10,088 per 10,000 units	2014/10/30	68,000,000	2014/03/04
64952	HK Bank	HSI R Bull CBBC Dec 2014 E	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/12/30	100,000,000	2014/03/04
64965	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 U	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/10/30	300,000,000	2014/03/04
65010	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 M	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	300,000,000	2014/03/04
65043	Standard Chartered Bank	ABC R Bull CBBC Nov 2014 A	0.250	2.83	3.08	2014/11/18	50,000,000	2014/03/04
65069	Standard Chartered Bank	ABC R Bear CBBC Jul 2014 B	0.250	4.25	4	2014/07/18	50,000,000	2014/03/04
65056	Standard Chartered Bank	China Mobile R Bull CBBC Nov 2014B	0.250	62.30 per 100 units	64.80 per 100 units	2014/11/18	50,000,000	2014/03/04
65059	Standard Chartered Bank	China Mobile R Bear CBBC Jul 2014B	0.250	81.30 per 100 units	78.80 per 100 units	2014/07/18	50,000,000	2014/03/04
65068	Standard Chartered Bank	Minsheng Bank R Bull CBBC Dec 14 A	0.250	6.8	7.3	2014/12/02	50,000,000	2014/03/04
65047	Standard Chartered Bank	Minsheng Bank R Bear CBBC Aug 14 A	0.250	9.1	8.6	2014/08/21	50,000,000	2014/03/04
65052	Standard Chartered Bank	Galaxy Ent R Bull CBBC Sep 2014 D	0.250	68.88 per 100 units	71.88 per 100 units	2014/09/26	50,000,000	2014/03/04
65055	Standard Chartered Bank	Galaxy Ent R Bear CBBC Sep 2014 B	0.250	83.88 per 100 units	80.88 per 100 units	2014/09/02	50,000,000	2014/03/04
64995	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 Y	0.250	22,000 per 20,000 units	22,200 per 20,000 units	2014/11/27	100,000,000	2014/03/04
65064	Standard Chartered Bank	Sands China R Bull CBBC Nov 2014 A	0.250	51.88 per 100 units	54.88 per 100 units	2014/11/21	50,000,000	2014/03/04
65067	Standard Chartered Bank	Sands China R Bear CBBC Aug 2014 A	0.250	77.88 per 100 units	74.88 per 100 units	2014/08/18	50,000,000	2014/03/04
64972	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec 14P	0.250	22,338 per 10,000 units	22,438 per 10,000 units	2014/12/30	200,000,000	2014/03/04
64973	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun 14P	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2014/06/27	200,000,000	2014/03/04
65008	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun 14Q	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2014/06/27	200,000,000	2014/03/04
65004	UBS AG	China Mobile R Bear CBBC Aug 2014 C	0.250	87.50 per 100 units	85 per 100 units	2014/08/11	100,000,000	2014/03/04
65007	UBS AG	Cheung Kong R Bull CBBC Nov 2014 A	0.250	109 per 100 units	115 per 100 units	2014/11/17	100,000,000	2014/03/04
65005	UBS AG	HSI R Bull CBBC Oct 2014 H	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/10/30	300,000,000	2014/03/04
65006	UBS AG	HSI R Bull CBBC Oct 2014 J	0.250	22,289 per 10,000 units	22,489 per 10,000 units	2014/10/30	300,000,000	2014/03/04
65009	UBS AG	HSI R Bear CBBC Jun 2014 J	0.250	22,520 per 10,000 units	22,320 per 10,000 units	2014/06/27	300,000,000	2014/03/04
64948	UBS AG	HSI R Bear CBBC Jun 2014 R	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/06/27	300,000,000	2014/03/04
64949	UBS AG	HSI R Bear CBBC Jun 2014 S	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2014/03/04
65251	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 A	0.250	6.98	7.28	2014/12/30	80,000,000	2014/03/05
65253	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 B	0.250	7.38	7.68	2014/12/30	80,000,000	2014/03/05
65257	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 C	0.250	7.78	8.08	2014/12/30	80,000,000	2014/03/05
65285	Credit Suisse AG	A50 China R Bear CBBC Aug 2014 A	0.250	9.28	8.98	2014/08/28	80,000,000	2014/03/05
65290	Credit Suisse AG	A50 China R Bear CBBC Aug 2014 B	0.250	9.98	9.68	2014/08/28	80,000,000	2014/03/05
65307	Credit Suisse AG	HSI R Bull CBBC Oct 2014 M	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/10/30	300,000,000	2014/03/05
65319	Credit Suisse AG	HSI R Bull CBBC Oct 2014 O	0.250	22,237 per 12,000 units	22,437 per 12,000 units	2014/10/30	300,000,000	2014/03/05
65132	Credit Suisse AG	HSI R Bear CBBC Jun 2014 M	0.250	22,538 per 12,000 units	22,338 per 12,000 units	2014/06/27	300,000,000	2014/03/05
65304	Credit Suisse AG	HSI R Bear CBBC Jul 2014 L	0.250	22,638 per 10,000 units	22,438 per 10,000 units	2014/07/30	300,000,000	2014/03/05
65091	HK Bank	CSOP A50 ETF R Bull CBBC Nov 2014 D	0.250	7.48	7.78	2014/11/12	80,000,000	2014/03/05
65099	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2015 A	0.250	6.7	7	2015/03/09	80,000,000	2014/03/05
65109	HK Bank	A50 R Bull CBBC Mar 2015 B	0.250	6.78	7.08	2015/03/02	200,000,000	2014/03/05
65112	HK Bank	A50 R Bull CBBC Mar 2015 C	0.250	6.33	6.63	2015/03/09	200,000,000	2014/03/05
65090	HK Bank	HSI R Bear CBBC Oct 2014 J	0.250	22,588 per 15,000 units	22,388 per 15,000 units	2014/10/30	100,000,000	2014/03/05
65326	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 H	0.250	22,237 per 10,000 units	22,437 per 10,000 units	2014/09/29	300,000,000	2014/03/05
65325	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 N	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/07/30	300,000,000	2014/03/05
65228	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 N	0.250	22,038 per 10,000 units	22,138 per 10,000 units	2014/10/30	200,000,000	2014/03/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65246	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 O	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2014/10/30	200,000,000	2014/03/05
65187	UBS AG	ABC R Bear CBBC Oct 2014 A	0.250	4.03	3.78	2014/10/13	50,000,000	2014/03/05
65163	UBS AG	CSOP A50 ETF R Bear CBBC Oct 2014 A	0.250	9.3	9	2014/10/13	50,000,000	2014/03/05
65162	UBS AG	A50 China R Bear CBBC Oct 2014 A	0.250	9.3	9	2014/10/20	50,000,000	2014/03/05
65211	UBS AG	Geely Auto R Bull CBBC Jun 2015 A	0.250	2.55	2.75	2015/06/15	50,000,000	2014/03/05
65222	UBS AG	Galaxy Ent R Bull CBBC Nov 2014 A	0.250	69.50 per 100 units	72.50 per 100 units	2014/11/17	100,000,000	2014/03/05
65136	UBS AG	HSCEI R Bear CBBC Jun 2014 A	0.250	10,250 per 10,000 units	10,050 per 10,000 units	2014/06/27	100,000,000	2014/03/05
65320	UBS AG	HSI R Bull CBBC Dec 2014 I	0.250	22,237 per 10,000 units	22,437 per 10,000 units	2014/12/30	300,000,000	2014/03/05
65125	UBS AG	HSI R Bear CBBC Jul 2014 N	0.250	22,518 per 12,000 units	22,318 per 12,000 units	2014/07/30	350,000,000	2014/03/05
65186	UBS AG	ICBC R Bear CBBC Sep 2014 A	0.250	5.25	5	2014/09/15	50,000,000	2014/03/05
65175	UBS AG	Sands China R Bull CBBC Nov 2014 A	0.250	54.50 per 100 units	57.50 per 100 units	2014/11/03	100,000,000	2014/03/05
65459	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 T	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65460	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 U	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65462	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 V	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65464	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 W	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65469	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 X	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65513	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Y	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65523	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Z	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65467	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 L	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/03/06
65470	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 M	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/03/06
65489	Credit Suisse AG	HSI R Bull CBBC Nov 2014 C	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65471	Credit Suisse AG	HSI R Bull CBBC Nov 2014 T	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65473	Credit Suisse AG	HSI R Bull CBBC Nov 2014 U	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65478	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Z	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65442	Credit Suisse AG	HSI R Bear CBBC Jun 2014 N	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2014/03/06
65444	Credit Suisse AG	HSI R Bear CBBC Jun 2014 O	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2014/03/06
65347	Credit Suisse AG	HSI R Bear CBBC Jul 2014 T	0.250	22,648 per 12,000 units	22,448 per 12,000 units	2014/07/30	300,000,000	2014/03/06
65351	Credit Suisse AG	HSI R Bear CBBC Jul 2014 U	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65496	Credit Suisse AG	HSI R Bear CBBC Jul 2014 V	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65497	Credit Suisse AG	HSI R Bear CBBC Jul 2014 W	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65502	Credit Suisse AG	HSI R Bear CBBC Jul 2014 X	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65509	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Y	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65594	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Dec14 E	0.250	70.50 per 100 units	73 per 100 units	2014/12/12	60,000,000	2014/03/06
65595	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 K	0.530	565 per 100 units	578 per 100 units	2014/12/12	50,000,000	2014/03/06
65603	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 L	0.430	575 per 100 units	588 per 100 units	2014/12/12	50,000,000	2014/03/06
65604	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 M	0.330	585 per 100 units	598 per 100 units	2014/12/12	50,000,000	2014/03/06
65391	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 Q	0.263	22,388 per 7,000 units	22,588 per 7,000 units	2014/07/30	150,000,000	2014/03/06
65392	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 R	0.254	22,138 per 20,000 units	22,438 per 20,000 units	2014/07/30	150,000,000	2014/03/06
65609	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 S	0.254	22,488 per 7,000 units	22,688 per 7,000 units	2014/07/30	150,000,000	2014/03/06
65610	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 T	0.261	22,588 per 7,000 units	22,788 per 7,000 units	2014/07/30	150,000,000	2014/03/06
65612	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 U	0.269	22,338 per 20,000 units	22,638 per 20,000 units	2014/07/30	150,000,000	2014/03/06
65343	HK Bank	China Shenhua R Bull CBBC Dec 2015 A	0.250	15.08 per 100 units	17.08 per 100 units	2015/12/21	40,000,000	2014/03/06
65344	HK Bank	ICBC R Bull CBBC Dec 2014 B	0.250	3.63	3.88	2014/12/08	80,000,000	2014/03/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65330	HK Bank	PetCh R Bull CBBC Nov 2014 C	0.250	7.18	7.58	2014/11/10	60,000,000	2014/03/06
65327	HK Bank	SHK Ppt R Bull CBBC Jan 2015 A	0.250	88 per 100 units	92 per 100 units	2015/01/12	60,000,000	2014/03/06
65334	HK Bank	SHK Ppt R Bull CBBC Jan 2015 B	0.250	81 per 100 units	85 per 100 units	2015/01/26	60,000,000	2014/03/06
65666	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 E	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2014/10/30	300,000,000	2014/03/06
65382	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 F	0.250	22,438 per 10,000 units	22,638 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65645	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 H	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65655	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65639	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 T	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65638	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 C	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/06/27	300,000,000	2014/03/06
65388	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 O	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65390	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 P	0.250	22,839 per 10,000 units	22,639 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65617	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 Q	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65623	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 R	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65393	Standard Chartered Bank	A50 China R Bull CBBC Sep 2014 A	0.250	7.3	7.6	2014/09/08	50,000,000	2014/03/06
65396	Standard Chartered Bank	A50 China R Bear CBBC Sep 2014 A	0.250	9.18	8.88	2014/09/08	50,000,000	2014/03/06
65457	Standard Chartered Bank	HSI R Bull CBBC Jan 2015 B	0.250	22,200 per 12,000 units	22,400 per 12,000 units	2015/01/29	100,000,000	2014/03/06
65458	Standard Chartered Bank	HSI R Bull CBBC Jan 2015 C	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2015/01/29	100,000,000	2014/03/06
65727	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 E	0.250	23,030 per 15,000 units	22,830 per 15,000 units	2014/09/29	100,000,000	2014/03/06
65402	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 B	0.250	22,700 per 20,000 units	22,500 per 20,000 units	2014/11/27	100,000,000	2014/03/06
65404	Standard Chartered Bank	Ping An R Bull CBBC Nov 2014 B	0.250	51.30 per 100 units	54.80 per 100 units	2014/11/13	50,000,000	2014/03/06
65425	Standard Chartered Bank	Ping An R Bear CBBC Jul 2014 B	0.250	74.80 per 100 units	70.80 per 100 units	2014/07/23	50,000,000	2014/03/06
65676	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 B	0.760	543.88 per 100 units	558.88 per 100 units	2014/11/13	50,000,000	2014/03/06
65684	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 C	0.560	563.88 per 100 units	578.88 per 100 units	2014/11/24	50,000,000	2014/03/06
65686	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 E	0.810	693.88 per 100 units	678.88 per 100 units	2014/10/13	50,000,000	2014/03/06
65715	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 F	1.050	718.88 per 100 units	698.88 per 100 units	2014/10/23	50,000,000	2014/03/06
65430	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Q	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/12/30	200,000,000	2014/03/06
65446	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 R	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/06/27	200,000,000	2014/03/06
65590	UBS AG	Sinopec Corp R Bull CBBC Oct 2014 B	0.250	6.28	6.58	2014/10/20	100,000,000	2014/03/06
65587	UBS AG	Sinopec Corp R Bear CBBC Aug 2014 A	0.250	8.18	7.88	2014/08/18	100,000,000	2014/03/06
65525	UBS AG	HSI R Bull CBBC Nov 2014 J	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/11/27	300,000,000	2014/03/06
65526	UBS AG	HSI R Bull CBBC Dec 2014 J	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65527	UBS AG	HSI R Bull CBBC Dec 2014 K	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2014/12/30	300,000,000	2014/03/06
65447	UBS AG	HSI R Bear CBBC Jun 2014 K	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/06/27	300,000,000	2014/03/06
65454	UBS AG	HSI R Bear CBBC Jun 2014 M	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/06/27	300,000,000	2014/03/06
65345	UBS AG	HSI R Bear CBBC Jul 2014 O	0.250	22,638 per 10,000 units	22,438 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65346	UBS AG	HSI R Bear CBBC Jul 2014 P	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65455	UBS AG	HSI R Bear CBBC Jul 2014 Q	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/07/30	300,000,000	2014/03/06
65540	UBS AG	Sands China R Bull CBBC Aug 2014 F	0.250	57 per 100 units	60 per 100 units	2014/08/18	50,000,000	2014/03/06
65572	UBS AG	TCH R Bull CBBC Jun 2014 P	0.520	565 per 100 units	580 per 100 units	2014/06/06	40,000,000	2014/03/06
65574	UBS AG	TCH R Bull CBBC Jun 2014 Q	0.250	595 per 100 units	610 per 100 units	2014/06/25	40,000,000	2014/03/06
65564	UBS AG	TCH R Bear CBBC Jun 2014 H	1.700	780 per 100 units	760 per 100 units	2014/06/06	40,000,000	2014/03/06
65554	UBS AG	TCH R Bear CBBC Jul 2014 C	2.100	820 per 100 units	800 per 100 units	2014/07/15	40,000,000	2014/03/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65828	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 N	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2014/03/07
65893	Credit Suisse AG	Galaxy Ent R Bull CBBC Oct 2014 D	0.250	70.10 per 100 units	72.60 per 100 units	2014/10/31	80,000,000	2014/03/07
65894	Credit Suisse AG	Galaxy Ent R Bear CBBC Aug 2014 A	0.250	90.30 per 100 units	87.80 per 100 units	2014/08/29	80,000,000	2014/03/07
65895	Credit Suisse AG	Galaxy Ent R Bear CBBC Aug 2014 B	0.250	94.40 per 100 units	91.90 per 100 units	2014/08/29	80,000,000	2014/03/07
65975	Credit Suisse AG	HSI R Bull CBBC Oct 2014 J	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/03/07
65976	Credit Suisse AG	HSI R Bull CBBC Oct 2014 N	0.250	22,468 per 10,000 units	22,668 per 10,000 units	2014/10/30	300,000,000	2014/03/07
66069	Credit Suisse AG	HSI R Bull CBBC Oct 2014 R	0.250	22,636 per 12,000 units	22,836 per 12,000 units	2014/10/30	300,000,000	2014/03/07
65909	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Y	0.250	21,038 per 20,000 units	21,338 per 20,000 units	2014/12/30	300,000,000	2014/03/07
65929	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Z	0.250	21,638 per 20,000 units	21,938 per 20,000 units	2014/12/30	300,000,000	2014/03/07
65945	Credit Suisse AG	HSI R Bear CBBC Jun 2014 P	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/06/27	300,000,000	2014/03/07
65953	Credit Suisse AG	HSI R Bear CBBC Jun 2014 Q	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/06/27	300,000,000	2014/03/07
65955	Credit Suisse AG	HSI R Bear CBBC Jun 2014 R	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/06/27	300,000,000	2014/03/07
65959	Credit Suisse AG	HSI R Bear CBBC Jun 2014 S	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/06/27	300,000,000	2014/03/07
66058	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	22,961 per 10,000 units	22,761 per 10,000 units	2014/07/30	300,000,000	2014/03/07
66060	Credit Suisse AG	HSI R Bear CBBC Jul 2014 R	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2014/03/07
66066	Credit Suisse AG	HSI R Bear CBBC Jul 2014 S	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2014/03/07
66087	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 N	0.280	595 per 100 units	608 per 100 units	2014/12/12	50,000,000	2014/03/07
66108	Bank of East Asia	Galaxy Ent R Bull CBBC May 2015 A	0.250	64.77 per 100 units	67.27 per 100 units	2015/05/05	200,000,000	2014/03/07
66089	Bank of East Asia	Hutchison R Bull CBBC Jan 2015 A	0.250	93 per 100 units	96 per 100 units	2015/01/22	200,000,000	2014/03/07
66012	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 G	0.267	23,088 per 7,000 units	22,888 per 7,000 units	2014/07/30	150,000,000	2014/03/07
66013	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 H	0.257	22,988 per 7,000 units	22,788 per 7,000 units	2014/07/30	150,000,000	2014/03/07
66014	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 I	0.254	23,238 per 20,000 units	22,938 per 20,000 units	2014/07/30	150,000,000	2014/03/07
65822	HK Bank	Sinopec Corp R Bull CBBC Oct 2014 D	0.250	6.18	6.48	2014/10/27	80,000,000	2014/03/07
65794	HK Bank	Galaxy Ent R Bull CBBC Nov 2014 B	0.250	69.27 per 100 units	72.27 per 100 units	2014/11/03	80,000,000	2014/03/07
65740	HK Bank	HSI R Bull CBBC Sep 2014 R	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/09/29	100,000,000	2014/03/07
65737	HK Bank	HSI R Bull CBBC Oct 2014 O	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/10/30	100,000,000	2014/03/07
65758	HK Bank	HSI R Bull CBBC Nov 2014 H	0.250	21,728 per 20,000 units	22,028 per 20,000 units	2014/11/27	400,000,000	2014/03/07
65781	HK Bank	HSI R Bull CBBC Nov 2014 I	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/11/27	100,000,000	2014/03/07
65757	HK Bank	HSI R Bear CBBC Oct 2014 K	0.250	24,688 per 15,000 units	24,488 per 15,000 units	2014/10/30	100,000,000	2014/03/07
65820	HK Bank	Sands China R Bull CBBC Oct 2014 C	0.250	56.18 per 100 units	59.18 per 100 units	2014/10/27	60,000,000	2014/03/07
65760	HK Bank	TCH R Bull CBBC Oct 2014 M	0.320	588 per 100 units	598 per 100 units	2014/10/30	50,000,000	2014/03/07
65771	HK Bank	TCH R Bull CBBC Oct 2014 N	0.250	598 per 100 units	608 per 100 units	2014/10/13	50,000,000	2014/03/07
65759	HK Bank	TCH R Bull CBBC Nov 2014 H	0.440	578 per 100 units	588 per 100 units	2014/11/07	50,000,000	2014/03/07
65774	HK Bank	TCH R Bear CBBC Sep 2014 N	0.550	668.88 per 100 units	658.88 per 100 units	2014/09/29	50,000,000	2014/03/07
65784	HK Bank	TCH R Bear CBBC Oct 2014 K	0.880	698.88 per 100 units	688.88 per 100 units	2014/10/13	50,000,000	2014/03/07
65772	HK Bank	TCH R Bear CBBC Nov 2014 E	1.260	738.88 per 100 units	728.88 per 100 units	2014/11/17	50,000,000	2014/03/07
65981	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 G	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/11/27	300,000,000	2014/03/07
65977	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 U	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/03/07
65978	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 V	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/12/30	300,000,000	2014/03/07
65984	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 S	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2014/03/07
65995	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 T	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/07/30	300,000,000	2014/03/07
65827	Macquarie Bank Ltd.	HSI R Bull CBBC Aug 2014 A	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/08/28	100,000,000	2014/03/07
66052	Standard Chartered Bank	China Life R Bull CBBC Dec 2014 A	0.250	20.68	21.88	2014/12/04	100,000,000	2014/03/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65887	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 V	0.250	23,150 per 20,000 units	22,950 per 20,000 units	2014/08/28	100,000,000	2014/03/07
65829	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 P	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2014/10/30	200,000,000	2014/03/07
65831	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 R	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/12/30	200,000,000	2014/03/07
65848	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 S	0.250	22,938 per 10,000 units	22,838 per 10,000 units	2014/06/27	200,000,000	2014/03/07
66009	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 D	0.250	67 per 100 units	70 per 100 units	2014/10/27	100,000,000	2014/03/07
66010	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 E	0.250	72 per 100 units	75 per 100 units	2014/10/06	100,000,000	2014/03/07
65881	UBS AG	HSI R Bull CBBC Oct 2014 Q	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	300,000,000	2014/03/07
65858	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	300,000,000	2014/03/07
66073	UBS AG	HSI R Bull CBBC Nov 2014 K	0.250	22,636 per 10,000 units	22,836 per 10,000 units	2014/11/27	300,000,000	2014/03/07
66022	UBS AG	HSI R Bear CBBC Jun 2014 N	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/03/07
66023	UBS AG	HSI R Bear CBBC Jun 2014 P	0.250	22,961 per 10,000 units	22,761 per 10,000 units	2014/06/27	300,000,000	2014/03/07
65998	UBS AG	Ping An R Bear CBBC Jul 2014 B	0.250	74 per 100 units	70 per 100 units	2014/07/14	100,000,000	2014/03/07
66083	UBS AG	TCH R Bull CBBC Sep 2014 C	0.250	605 per 100 units	620 per 100 units	2014/09/08	40,000,000	2014/03/07
66086	UBS AG	TCH R Bull CBBC Oct 2014 B	0.380	585 per 100 units	600 per 100 units	2014/10/13	40,000,000	2014/03/07
66075	UBS AG	TCH R Bear CBBC Jul 2014 D	1.380	760 per 100 units	740 per 100 units	2014/07/21	40,000,000	2014/03/07
66074	UBS AG	TCH R Bear CBBC Oct 2014 E	0.250	645 per 100 units	630 per 100 units	2014/10/13	40,000,000	2014/03/07
66233	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 O	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/03/10
66120	Credit Suisse AG	HSI R Bull CBBC Sep 2014 F	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2014/03/10
66121	Credit Suisse AG	HSI R Bull CBBC Sep 2014 O	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2014/03/10
66122	Credit Suisse AG	HSI R Bull CBBC Sep 2014 V	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/09/29	300,000,000	2014/03/10
66227	Credit Suisse AG	HSI R Bear CBBC Jun 2014 E	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66209	Credit Suisse AG	HSI R Bear CBBC Jun 2014 T	0.250	22,701 per 10,000 units	22,501 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66215	Credit Suisse AG	HSI R Bear CBBC Jun 2014 U	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66176	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 J	0.265	22,888 per 7,000 units	22,688 per 7,000 units	2014/07/30	150,000,000	2014/03/10
66229	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 K	0.266	23,038 per 20,000 units	22,738 per 20,000 units	2014/07/30	150,000,000	2014/03/10
66230	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 L	0.293	22,788 per 7,000 units	22,588 per 7,000 units	2014/07/30	150,000,000	2014/03/10
66128	HK Bank	HSI R Bull CBBC Oct 2014 P	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2014/10/30	100,000,000	2014/03/10
66123	HK Bank	HSI R Bull CBBC Nov 2014 J	0.250	22,288 per 15,000 units	22,488 per 15,000 units	2014/11/27	100,000,000	2014/03/10
66124	HK Bank	HSI R Bear CBBC Oct 2014 L	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/10/30	100,000,000	2014/03/10
66147	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 I	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/10/30	300,000,000	2014/03/10
66150	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 X	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/11/27	300,000,000	2014/03/10
66153	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 W	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/12/30	300,000,000	2014/03/10
66168	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 D	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66175	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 E	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66183	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 F	0.250	22,888 per 20,000 units	22,688 per 20,000 units	2014/09/29	100,000,000	2014/03/10
66112	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 Q	0.250	22,638 per 10,000 units	22,738 per 10,000 units	2014/10/30	200,000,000	2014/03/10
66260	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 R	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/10/30	200,000,000	2014/03/10
66109	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 T	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2014/03/10
66256	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 U	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2014/06/27	200,000,000	2014/03/10
66143	UBS AG	China Mobile R Bear CBBC Aug 2014 D	0.250	90 per 100 units	87.50 per 100 units	2014/08/11	100,000,000	2014/03/10
66144	UBS AG	CC Bank R Bull CBBC Nov 2014 A	0.250	3.95	4.25	2014/11/10	100,000,000	2014/03/10
66132	UBS AG	China Life R Bear CBBC Oct 2014 A	0.355	25.88	24.88	2014/10/06	50,000,000	2014/03/10
66130	UBS AG	A50 China R Bull CBBC Mar 2015 A	0.250	7.2	7.5	2015/03/09	100,000,000	2014/03/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66119	UBS AG	HSI R Bull CBBC Oct 2014 I	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	300,000,000	2014/03/10
66115	UBS AG	HSI R Bull CBBC Dec 2014 L	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/12/30	300,000,000	2014/03/10
66200	UBS AG	HSI R Bull CBBC Mar 2015 A	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2015/03/30	300,000,000	2014/03/10
66186	UBS AG	HSI R Bull CBBC May 2015 A	0.250	20,900 per 38,000 units	21,300 per 38,000 units	2015/05/28	300,000,000	2014/03/10
66234	UBS AG	HSI R Bear CBBC Jun 2014 T	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66235	UBS AG	HSI R Bear CBBC Jun 2014 U	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2014/03/10
66236	UBS AG	HSI R Bear CBBC Jul 2014 R	0.250	22,710 per 12,000 units	22,510 per 12,000 units	2014/07/30	350,000,000	2014/03/10
66146	UBS AG	Hutchison R Bull CBBC Oct 2014 E	0.250	97 per 100 units	100 per 100 units	2014/10/20	100,000,000	2014/03/10
66139	UBS AG	Ping An R Bear CBBC Aug 2014 C	0.250	79 per 100 units	75 per 100 units	2014/08/18	100,000,000	2014/03/10
66142	UBS AG	Sands China R Bear CBBC Oct 2014 B	0.250	78 per 100 units	75 per 100 units	2014/10/13	100,000,000	2014/03/10
66145	UBS AG	TCH R Bull CBBC Nov 2014 A	0.385	575 per 100 units	590 per 100 units	2014/11/10	40,000,000	2014/03/10
66338	Credit Suisse AG	HSI R Bull CBBC Oct 2014 K	0.250	22,388 per 10,000 units	22,588 per 10,000 units	2014/10/30	300,000,000	2014/03/11
66337	Credit Suisse AG	HSI R Bull CBBC Oct 2014 S	0.250	22,457 per 12,000 units	22,657 per 12,000 units	2014/10/30	300,000,000	2014/03/11
66312	Credit Suisse AG	HSI R Bear CBBC Jul 2014 E	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/07/30	300,000,000	2014/03/11
66311	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Z	0.250	22,718 per 12,000 units	22,518 per 12,000 units	2014/07/30	300,000,000	2014/03/11
66355	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Dec 2014 B	0.250	7.48	7.88	2014/12/08	50,000,000	2014/03/11
66356	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Dec 2014 A	0.250	72 per 100 units	68 per 100 units	2014/12/08	80,000,000	2014/03/11
66353	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Dec14C	0.250	58 per 100 units	61 per 100 units	2014/12/08	60,000,000	2014/03/11
66298	HK Bank	China Mobile R Bull CBBC Nov 2014 C	0.250	69.78 per 100 units	72.28 per 100 units	2014/11/10	120,000,000	2014/03/11
66302	HK Bank	Geely Auto R Bull CBBC Apr 2015 A	0.250	2.13	2.33	2015/04/27	40,000,000	2014/03/11
66276	HK Bank	HSI R Bear CBBC Sep 2014 Q	0.250	22,788 per 15,000 units	22,588 per 15,000 units	2014/09/29	100,000,000	2014/03/11
66275	HK Bank	HSI R Bear CBBC Oct 2014 M	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/10/30	100,000,000	2014/03/11
66273	HK Bank	HSI R Bear CBBC Nov 2014 D	0.250	22,988 per 15,000 units	22,788 per 15,000 units	2014/11/27	100,000,000	2014/03/11
66270	HK Bank	Hutchison R Bull CBBC Oct 2014 F	0.250	97.28 per 100 units	100.28 per 100 units	2014/10/17	60,000,000	2014/03/11
66266	HK Bank	TCH R Bear CBBC Oct 2014 L	0.400	638.88 per 100 units	628.88 per 100 units	2014/10/20	50,000,000	2014/03/11
66268	HK Bank	TCH R Bear CBBC Oct 2014 M	0.300	628.88 per 100 units	618.88 per 100 units	2014/10/15	50,000,000	2014/03/11
66329	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/07/30	300,000,000	2014/03/11
66330	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 V	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/07/30	300,000,000	2014/03/11
66335	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 A	0.250	22,300 per 20,000 units	22,500 per 20,000 units	2015/02/26	100,000,000	2014/03/11
66332	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 S	0.250	22,438 per 10,000 units	22,538 per 10,000 units	2014/10/30	200,000,000	2014/03/11
66334	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 V	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/06/27	200,000,000	2014/03/11
66339	UBS AG	HSI R Bull CBBC Dec 2014 M	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/12/30	300,000,000	2014/03/11
66344	UBS AG	HSI R Bull CBBC Jan 2015 A	0.250	22,457 per 10,000 units	22,657 per 10,000 units	2015/01/29	300,000,000	2014/03/11
66310	UBS AG	HSI R Bear CBBC Jun 2014 V	0.250	22,701 per 10,000 units	22,501 per 10,000 units	2014/06/27	300,000,000	2014/03/11
66336	UBS AG	HSI R Bear CBBC Jun 2014 W	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/06/27	300,000,000	2014/03/11
66408	Credit Suisse AG	HSI R Bull CBBC Aug 2014 U	0.250	22,511 per 10,000 units	22,711 per 10,000 units	2014/08/28	300,000,000	2014/03/12
66410	Credit Suisse AG	HSI R Bull CBBC Aug 2014 W	0.250	22,408 per 10,000 units	22,608 per 10,000 units	2014/08/28	300,000,000	2014/03/12
66425	Credit Suisse AG	HSI R Bear CBBC Jun 2014 J	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2014/06/27	300,000,000	2014/03/12
66420	Credit Suisse AG	HSI R Bear CBBC Jun 2014 V	0.250	22,780 per 10,000 units	22,580 per 10,000 units	2014/06/27	300,000,000	2014/03/12
66423	Credit Suisse AG	HSI R Bear CBBC Jun 2014 W	0.250	22,938 per 10,000 units	22,738 per 10,000 units	2014/06/27	300,000,000	2014/03/12
66456	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Dec14 F	0.250	72.50 per 100 units	75 per 100 units	2014/12/23	60,000,000	2014/03/12
66457	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Dec 14 A	0.250	94.50 per 100 units	97.50 per 100 units	2014/12/23	60,000,000	2014/03/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66458	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Dec 14 B	0.250	97 per 100 units	100 per 100 units	2014/12/23	60,000,000	2014/03/12
66460	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Dec 14 C	0.250	99.50 per 100 units	102.50 per 100 units	2014/12/23	60,000,000	2014/03/12
66462	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Dec 14 D	0.250	102 per 100 units	105 per 100 units	2014/12/23	60,000,000	2014/03/12
66463	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC Dec 14 A	0.250	115.50 per 100 units	112.50 per 100 units	2014/12/23	60,000,000	2014/03/12
66465	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC Dec 14 B	0.250	118 per 100 units	115 per 100 units	2014/12/23	60,000,000	2014/03/12
66466	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC Dec 14 C	0.250	120.50 per 100 units	117.50 per 100 units	2014/12/23	60,000,000	2014/03/12
66451	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Dec14B	0.250	78 per 100 units	75 per 100 units	2014/12/23	60,000,000	2014/03/12
66453	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Dec 2014 O	0.250	605 per 100 units	618 per 100 units	2014/12/23	50,000,000	2014/03/12
66437	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 J	0.250	22,375 per 10,000 units	22,575 per 10,000 units	2014/10/30	300,000,000	2014/03/12
66433	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 X	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/12/30	300,000,000	2014/03/12
66448	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 F	0.250	22,780 per 10,000 units	22,580 per 10,000 units	2014/06/27	300,000,000	2014/03/12
66438	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 W	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/07/30	300,000,000	2014/03/12
66377	Standard Chartered Bank	Sinopec Corp R Bull CBBC Dec 2014A	0.250	6.08	6.38	2014/12/09	100,000,000	2014/03/12
66379	Standard Chartered Bank	Sinopec Corp R Bear CBBC Jul 2014A	0.171	8.27	7.97	2014/07/04	100,000,000	2014/03/12
66380	Standard Chartered Bank	Hutchison R Bull CBBC Oct 2014 A	0.250	98 per 100 units	101 per 100 units	2014/10/03	50,000,000	2014/03/12
66381	Standard Chartered Bank	Hutchison R Bear CBBC Nov 2014 A	0.250	123 per 100 units	120 per 100 units	2014/11/04	50,000,000	2014/03/12
66374	Standard Chartered Bank	PetCh R Bull CBBC Aug 2014 A	0.250	7.09	7.49	2014/08/25	50,000,000	2014/03/12
66376	Standard Chartered Bank	PetCh R Bear CBBC Sep 2014 A	0.250	9.39	8.99	2014/09/26	50,000,000	2014/03/12
66383	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 T	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/10/30	200,000,000	2014/03/12
66384	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 W	0.250	22,838 per 10,000 units	22,738 per 10,000 units	2014/06/27	200,000,000	2014/03/12
66385	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 X	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2014/06/27	200,000,000	2014/03/12
66386	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 A	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2014/07/30	200,000,000	2014/03/12
66397	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 B	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2014/07/30	200,000,000	2014/03/12
66402	UBS AG	Geely Auto R Bull CBBC Jun 2015 B	0.250	2.15	2.35	2015/06/08	50,000,000	2014/03/12
66399	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	22,511 per 10,000 units	22,711 per 10,000 units	2014/11/27	300,000,000	2014/03/12
66369	UBS AG	HSI R Bear CBBC Jun 2014 L	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/06/27	300,000,000	2014/03/12
66424	UBS AG	HSI R Bear CBBC Jun 2014 X	0.250	22,780 per 10,000 units	22,580 per 10,000 units	2014/06/27	300,000,000	2014/03/12
66407	UBS AG	Hutchison R Bull CBBC Nov 2014 B	0.250	102 per 100 units	105 per 100 units	2014/11/03	100,000,000	2014/03/12
66403	UBS AG	Hutchison R Bear CBBC Aug 2014 B	0.250	128 per 100 units	125 per 100 units	2014/08/18	100,000,000	2014/03/12
66597	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 O	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/12/30	300,000,000	2014/03/13
66611	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 P	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	300,000,000	2014/03/13
66496	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 P	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/03/13
66559	Credit Suisse AG	HSI R Bull CBBC Nov 2014 D	0.250	22,502 per 12,000 units	22,702 per 12,000 units	2014/11/27	300,000,000	2014/03/13
66560	Credit Suisse AG	HSI R Bull CBBC Nov 2014 E	0.250	22,338 per 10,000 units	22,538 per 10,000 units	2014/11/27	300,000,000	2014/03/13
66504	Credit Suisse AG	HSI R Bear CBBC Aug 2014 X	0.250	22,788 per 12,000 units	22,588 per 12,000 units	2014/08/28	300,000,000	2014/03/13
66506	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Y	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2014/03/13
66567	Citigroup Global Mkt H Inc.	BOCL R Bull CBBC Feb 2015 A	0.250	2.85	3	2015/02/09	60,000,000	2014/03/13
66571	Citigroup Global Mkt H Inc.	BOCL R Bull CBBC Feb 2015 B	0.250	2.95	3.1	2015/02/09	60,000,000	2014/03/13
66572	Citigroup Global Mkt H Inc.	BOCL R Bear CBBC Feb 2015 A	0.250	3.45	3.3	2015/02/09	60,000,000	2014/03/13
66573	Citigroup Global Mkt H Inc.	BOCL R Bear CBBC Feb 2015 B	0.250	3.6	3.45	2015/02/09	60,000,000	2014/03/13
66581	Citigroup Global Mkt H Inc.	Huishan Dairy R Bull CBBC Mar15A	0.250	2.03	2.28	2015/03/09	60,000,000	2014/03/13
66583	Citigroup Global Mkt H Inc.	Huishan Dairy R Bull CBBC Mar15B	0.250	2.13	2.38	2015/03/09	60,000,000	2014/03/13
66584	Citigroup Global Mkt H Inc.	Huishan Dairy R Bull CBBC Mar15C	0.250	2.23	2.48	2015/03/09	60,000,000	2014/03/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66574	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 A	0.250	615 per 100 units	628 per 100 units	2015/01/26	50,000,000	2014/03/13
66507	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 V	0.257	22,488 per 7,000 units	22,688 per 7,000 units	2014/07/30	150,000,000	2014/03/13
66480	HK Bank	COVS R Bear CBBC Dec 2014 A	0.300	22.78	21.78	2014/12/08	40,000,000	2014/03/13
66479	HK Bank	Cheung Kong R Bull CBBC Dec 2014 A	0.250	111.18 per 100 units	117.18 per 100 units	2014/12/08	60,000,000	2014/03/13
66486	HK Bank	CM Bank R Bull CBBC Dec 2014 A	0.270	10.58	11.38	2014/12/22	40,000,000	2014/03/13
66467	HK Bank	China Shenhua R Bear CBBC Oct 2014 A	0.490	24.88	22.88	2014/10/16	40,000,000	2014/03/13
66488	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 G	0.250	72.27 per 100 units	75.27 per 100 units	2014/10/27	80,000,000	2014/03/13
66490	HK Bank	Ping An R Bull CBBC Dec 2014 A	0.250	42.98 per 100 units	46.98 per 100 units	2014/12/08	60,000,000	2014/03/13
66495	HK Bank	Ping An R Bear CBBC Oct 2014 A	0.250	73.88 per 100 units	69.88 per 100 units	2014/10/27	40,000,000	2014/03/13
66489	HK Bank	Sands China R Bull CBBC Oct 2014 D	0.250	59.18 per 100 units	62.18 per 100 units	2014/10/20	60,000,000	2014/03/13
66487	HK Bank	TCH R Bull CBBC Oct 2014 O	0.250	608 per 100 units	618 per 100 units	2014/10/23	50,000,000	2014/03/13
66586	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2014/03/13
66542	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2014/03/13
66590	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 H	0.250	23,088 per 10,000 units	22,888 per 10,000 units	2014/06/27	300,000,000	2014/03/13
66589	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 X	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/07/30	300,000,000	2014/03/13
66543	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 U	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/10/30	200,000,000	2014/03/13
66548	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 Y	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/06/27	200,000,000	2014/03/13
66549	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 Z	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2014/06/27	200,000,000	2014/03/13
66551	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 C	0.250	24,238 per 10,000 units	24,138 per 10,000 units	2014/07/30	200,000,000	2014/03/13
66555	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 D	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2014/07/30	200,000,000	2014/03/13
66518	UBS AG	A50 China R Bear CBBC Nov 2014 A	0.250	9.05	8.75	2014/11/03	100,000,000	2014/03/13
66526	UBS AG	Great Wall Motor R Bull CBBC Jul 2015 A	0.250	26.50 per 100 units	29 per 100 units	2015/07/06	50,000,000	2014/03/13
66514	UBS AG	HSCEI R Bear CBBC Jun 2014 B	0.250	11,450 per 10,000 units	11,250 per 10,000 units	2014/06/27	100,000,000	2014/03/13
66564	UBS AG	HSI R Bull CBBC Dec 2014 N	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	300,000,000	2014/03/13
66497	UBS AG	HSI R Bear CBBC Jul 2014 S	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/07/30	300,000,000	2014/03/13
66498	UBS AG	HSI R Bear CBBC Jul 2014 T	0.250	22,790 per 12,000 units	22,590 per 12,000 units	2014/07/30	350,000,000	2014/03/13
66515	UBS AG	Huishan Dairy R Bull CBBC Dec 2015 A	0.250	2.12	2.42	2015/12/21	50,000,000	2014/03/13
66530	UBS AG	Petrochina R Bear CBBC Aug 2014 A	0.250	9.28	8.88	2014/08/18	50,000,000	2014/03/13
66528	UBS AG	Sands China R Bull CBBC Sep 2014 B	0.250	59.50 per 100 units	62.50 per 100 units	2014/09/22	100,000,000	2014/03/13
66538	UBS AG	TCH R Bull CBBC Nov 2014 B	0.800	555 per 100 units	570 per 100 units	2014/11/03	40,000,000	2014/03/13
66539	UBS AG	TCH R Bull CBBC Dec 2014 A	0.250	615 per 100 units	630 per 100 units	2014/12/15	40,000,000	2014/03/13
66535	UBS AG	TCH R Bear CBBC Sep 2014 G	0.960	730 per 100 units	710 per 100 units	2014/09/22	40,000,000	2014/03/13
66537	UBS AG	TCH R Bear CBBC Oct 2014 F	1.160	750 per 100 units	730 per 100 units	2014/10/06	40,000,000	2014/03/13
66653	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 Q	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/03/14
66656	BNP Paribas Arbit Issu B.V.	TCH R Bull CBBC Dec 2014 D	0.480	590 per 100 units	600 per 100 units	2014/12/30	100,000,000	2014/03/14
66684	Credit Suisse AG	HSI R Bull CBBC Nov 2014 H	0.250	22,458 per 10,000 units	22,658 per 10,000 units	2014/11/27	300,000,000	2014/03/14
66696	Credit Suisse AG	HSI R Bear CBBC Jun 2014 F	0.250	22,862 per 10,000 units	22,662 per 10,000 units	2014/06/27	300,000,000	2014/03/14
66698	Credit Suisse AG	HSI R Bear CBBC Jun 2014 X	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2014/03/14
66722	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Mar15A	0.250	5.98	6.28	2015/03/09	60,000,000	2014/03/14
66723	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Mar15B	0.250	6.38	6.68	2015/03/09	60,000,000	2014/03/14
66733	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Mar15A	0.250	7.68	7.38	2015/03/09	60,000,000	2014/03/14
66734	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Mar15B	0.250	8.08	7.78	2015/03/09	60,000,000	2014/03/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66680	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 M	0.288	22,988 per 7,000 units	22,788 per 7,000 units	2014/07/30	150,000,000	2014/03/14
66637	HK Bank	China Mobile R Bear CBBC Nov 2014 B	0.250	78.88 per 100 units	76.38 per 100 units	2014/11/10	40,000,000	2014/03/14
66634	HK Bank	Sinopec Corp R Bear CBBC Oct 2014 B	0.250	7.58	7.28	2014/10/20	40,000,000	2014/03/14
66638	HK Bank	HSCEI R Bear CBBC Nov 2014 B	0.250	10,088 per 10,000 units	9,888 per 10,000 units	2014/11/27	68,000,000	2014/03/14
66630	HK Bank	TCH R Bull CBBC Nov 2014 I	0.250	618 per 100 units	628 per 100 units	2014/11/14	50,000,000	2014/03/14
66631	HK Bank	TCH R Bear CBBC Nov 2014 F	1.300	758.88 per 100 units	748.88 per 100 units	2014/11/26	50,000,000	2014/03/14
66633	HK Bank	TCH R Bear CBBC Dec 2014 B	1.500	778.88 per 100 units	768.88 per 100 units	2014/12/01	50,000,000	2014/03/14
66739	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 A	0.250	22,460 per 10,000 units	22,660 per 10,000 units	2014/10/30	300,000,000	2014/03/14
66735	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Y	0.250	22,022 per 10,000 units	22,222 per 10,000 units	2014/11/27	300,000,000	2014/03/14
66744	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 Y	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/07/30	300,000,000	2014/03/14
66669	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 B	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2015/02/26	100,000,000	2014/03/14
66683	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 A	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2014/06/27	200,000,000	2014/03/14
66673	UBS AG	BOCL R Bear CBBC Nov 2014 A	0.250	3.68	3.5	2014/11/17	50,000,000	2014/03/14
66678	UBS AG	China Shenhua R Bear CBBC Apr 2015 A	0.250	25 per 100 units	23 per 100 units	2015/04/20	50,000,000	2014/03/14
66666	UBS AG	HSI R Bull CBBC Sep 2014 W	0.250	22,502 per 10,000 units	22,702 per 10,000 units	2014/09/29	300,000,000	2014/03/14
66661	UBS AG	HSI R Bull CBBC Oct 2014 N	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	300,000,000	2014/03/14
66712	UBS AG	HSI R Bear CBBC Jul 2014 U	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2014/03/14
66721	UBS AG	HSI R Bear CBBC Jul 2014 V	0.250	22,862 per 12,000 units	22,662 per 12,000 units	2014/07/30	350,000,000	2014/03/14
66679	UBS AG	TCH R Bear CBBC Oct 2014 G	0.280	655 per 100 units	640 per 100 units	2014/10/06	40,000,000	2014/03/14
66809	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Q	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/03/17
66811	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 W	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/03/17
67156	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 R	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/03/17
67160	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 S	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/03/17
67172	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 T	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/03/17
67175	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 U	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2014/03/17
67177	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 V	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2014/03/17
66910	Credit Suisse AG	HSI R Bull CBBC Sep 2014 A	0.250	22,460 per 12,000 units	22,660 per 12,000 units	2014/09/29	300,000,000	2014/03/17
67049	Credit Suisse AG	HSI R Bull CBBC Sep 2014 L	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/09/29	300,000,000	2014/03/17
66928	Credit Suisse AG	HSI R Bull CBBC Sep 2014 W	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/09/29	300,000,000	2014/03/17
66933	Credit Suisse AG	HSI R Bull CBBC Sep 2014 X	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/09/29	300,000,000	2014/03/17
66937	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Y	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2014/03/17
67108	Credit Suisse AG	HSI R Bear CBBC Jun 2014 I	0.250	22,465 per 10,000 units	22,265 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67124	Credit Suisse AG	HSI R Bear CBBC Jun 2014 L	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67134	Credit Suisse AG	HSI R Bear CBBC Jun 2014 M	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67064	Credit Suisse AG	HSI R Bear CBBC Jun 2014 Y	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67079	Credit Suisse AG	HSI R Bear CBBC Jun 2014 Z	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67258	Citigroup Global Mkt H Inc.	Minsheng Bank R Bull CBBC Mar15A	0.250	6.38	6.88	2015/03/16	40,000,000	2014/03/17
67259	Citigroup Global Mkt H Inc.	Minsheng Bank R Bull CBBC Mar15B	0.250	6.58	7.08	2015/03/16	40,000,000	2014/03/17
67260	Citigroup Global Mkt H Inc.	Minsheng Bank R Bear CBBC Mar15A	0.250	8.58	8.08	2015/03/16	40,000,000	2014/03/17
67275	Citigroup Global Mkt H Inc.	Minsheng Bank R Bear CBBC Mar15B	0.250	8.78	8.28	2015/03/16	40,000,000	2014/03/17
67088	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 W	0.257	22,088 per 7,000 units	22,288 per 7,000 units	2014/07/30	150,000,000	2014/03/17
67091	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 N	0.259	22,588 per 7,000 units	22,388 per 7,000 units	2014/07/30	150,000,000	2014/03/17
67098	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 O	0.265	22,688 per 7,000 units	22,488 per 7,000 units	2014/07/30	150,000,000	2014/03/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67106	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 P	0.266	22,838 per 20,000 units	22,538 per 20,000 units	2014/07/30	150,000,000	2014/03/17
67155	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 Q	0.262	22,488 per 7,000 units	22,288 per 7,000 units	2014/07/30	150,000,000	2014/03/17
66799	HK Bank	CC Bank R Bull CBBC Dec 2014 A	0.250	4.23	4.53	2014/12/22	80,000,000	2014/03/17
66746	HK Bank	CC Bank R Bear CBBC Dec 2014 A	0.250	6.08	5.78	2014/12/22	40,000,000	2014/03/17
66797	HK Bank	CNOOC R Bear CBBC Dec 2014 B	0.250	13.98	13.18	2014/12/08	40,000,000	2014/03/17
66782	HK Bank	Geely Auto R Bull CBBC Jun 2015 A	0.250	2.28	2.48	2015/06/29	40,000,000	2014/03/17
66795	HK Bank	Great Wall Motor R Bull CBBC Dec 2014 A	0.250	23.88 per 100 units	26.38 per 100 units	2014/12/15	40,000,000	2014/03/17
66756	HK Bank	TCH R Bull CBBC Jun 2015 A	1.450	490 per 100 units	500 per 100 units	2015/06/29	50,000,000	2014/03/17
66750	HK Bank	TCH R Bull CBBC Jul 2015 A	2.450	390 per 100 units	400 per 100 units	2015/07/13	50,000,000	2014/03/17
66751	HK Bank	TCH R Bull CBBC Jul 2015 B	1.950	440 per 100 units	450 per 100 units	2015/07/06	50,000,000	2014/03/17
66748	HK Bank	TCH R Bear CBBC Nov 2014 G	0.850	708.88 per 100 units	698.88 per 100 units	2014/11/17	50,000,000	2014/03/17
66870	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 C	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/10/30	300,000,000	2014/03/17
66890	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Z	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/11/27	300,000,000	2014/03/17
66891	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Y	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/03/17
67250	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Z	0.250	21,888 per 10,000 units	22,088 per 10,000 units	2014/12/30	300,000,000	2014/03/17
67019	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 I	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67255	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 A	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/07/30	300,000,000	2014/03/17
67017	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 Z	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/07/30	300,000,000	2014/03/17
67086	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 A	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	100,000,000	2014/03/17
66909	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 C	0.250	22,088 per 12,000 units	22,288 per 12,000 units	2015/02/26	100,000,000	2014/03/17
67107	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 G	0.250	22,755 per 12,000 units	22,555 per 12,000 units	2014/09/29	100,000,000	2014/03/17
66938	Standard Chartered Bank	Hutchison R Bear CBBC Dec 2014 A	0.250	132.99 per 100 units	129.99 per 100 units	2014/12/03	50,000,000	2014/03/17
66946	Standard Chartered Bank	Ping An R Bull CBBC Nov 2014 C	0.250	47.30 per 100 units	50.80 per 100 units	2014/11/18	50,000,000	2014/03/17
66949	Standard Chartered Bank	Ping An R Bear CBBC Jul 2014 C	0.250	70.80 per 100 units	66.80 per 100 units	2014/07/18	50,000,000	2014/03/17
66950	Standard Chartered Bank	Sands China R Bull CBBC Nov 2014 B	0.250	55.88 per 100 units	58.88 per 100 units	2014/11/24	50,000,000	2014/03/17
66959	Standard Chartered Bank	Sands China R Bear CBBC Aug 2014 B	0.250	81.88 per 100 units	78.88 per 100 units	2014/08/19	50,000,000	2014/03/17
66942	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 D	0.500	583.88 per 100 units	598.88 per 100 units	2014/11/25	50,000,000	2014/03/17
66945	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 G	1.120	738.88 per 100 units	718.88 per 100 units	2014/10/24	50,000,000	2014/03/17
66828	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 V	0.250	22,338 per 10,000 units	22,438 per 10,000 units	2014/10/30	200,000,000	2014/03/17
66829	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 W	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2014/10/30	200,000,000	2014/03/17
67037	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 X	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2014/10/30	200,000,000	2014/03/17
67042	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 B	0.250	22,438 per 10,000 units	22,338 per 10,000 units	2014/06/27	200,000,000	2014/03/17
67045	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 C	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2014/06/27	200,000,000	2014/03/17
66966	UBS AG	BOCL R Bull CBBC Jul 2015 A	0.250	2.82	3	2015/07/20	100,000,000	2014/03/17
66983	UBS AG	China Mobile R Bear CBBC Sep 2014 A	0.250	77.50 per 100 units	75 per 100 units	2014/09/01	100,000,000	2014/03/17
67003	UBS AG	Cheung Kong R Bear CBBC Oct 2014 A	0.250	141 per 100 units	135 per 100 units	2014/10/20	100,000,000	2014/03/17
67002	UBS AG	Sinopec Corp R Bull CBBC Oct 2014 C	0.250	6.58	6.88	2014/10/13	50,000,000	2014/03/17
66977	UBS AG	A50 China R Bull CBBC Jan 2015 C	0.250	7	7.3	2015/01/19	100,000,000	2014/03/17
66961	UBS AG	HSCEI R Bear CBBC Jun 2014 C	0.250	10,088 per 10,000 units	9,888 per 10,000 units	2014/06/27	100,000,000	2014/03/17
67013	UBS AG	HSI R Bull CBBC Nov 2014 M	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/11/27	300,000,000	2014/03/17
67015	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	22,425 per 10,000 units	22,625 per 10,000 units	2014/11/27	300,000,000	2014/03/17
67014	UBS AG	HSI R Bull CBBC Dec 2014 O	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/03/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67154	UBS AG	HSI R Bear CBBC Jun 2014 F	0.250	22,465 per 10,000 units	22,265 per 10,000 units	2014/06/27	300,000,000	2014/03/17
67151	UBS AG	HSI R Bear CBBC Jun 2014 Y	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2014/03/17
66981	UBS AG	Ping An R Bear CBBC Nov 2014 A	0.250	71.50 per 100 units	67.50 per 100 units	2014/11/17	100,000,000	2014/03/17
66987	UBS AG	TCH R Bull CBBC Sep 2014 D	0.250	595 per 100 units	610 per 100 units	2014/09/08	40,000,000	2014/03/17
66999	UBS AG	TCH R Bear CBBC Sep 2014 H	0.275	645 per 100 units	630 per 100 units	2014/09/15	40,000,000	2014/03/17
67323	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Y	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/12/30	300,000,000	2014/03/18
67468	Credit Suisse AG	China Mobile R Bull CBBC Oct 2014 A	0.250	65.58 per 100 units	68.08 per 100 units	2014/10/31	50,000,000	2014/03/18
67480	Credit Suisse AG	China Mobile R Bull CBBC Oct 2014 B	0.250	60.58 per 100 units	63.08 per 100 units	2014/10/31	50,000,000	2014/03/18
67492	Credit Suisse AG	China Mobile R Bull CBBC Oct 2014 C	0.250	55.58 per 100 units	58.08 per 100 units	2014/10/31	50,000,000	2014/03/18
67464	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 D	0.250	6.65	6.95	2014/12/30	50,000,000	2014/03/18
67465	Credit Suisse AG	A50 China R Bear CBBC Sep 2014 A	0.250	8.88	8.58	2014/09/30	50,000,000	2014/03/18
67466	Credit Suisse AG	Galaxy Ent R Bear CBBC Jul 2014 A	0.250	82.38 per 100 units	79.88 per 100 units	2014/07/31	50,000,000	2014/03/18
67536	Credit Suisse AG	HSI R Bull CBBC Oct 2014 P	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/10/30	300,000,000	2014/03/18
67537	Credit Suisse AG	HSI R Bull CBBC Oct 2014 W	0.250	22,105 per 10,000 units	22,305 per 10,000 units	2014/10/30	300,000,000	2014/03/18
67336	Credit Suisse AG	HSI R Bear CBBC Jul 2014 P	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/07/30	300,000,000	2014/03/18
67335	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Q	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/07/30	300,000,000	2014/03/18
67501	Credit Suisse AG	Sands China R Bull CBBC Oct 2014 A	0.250	57.28 per 100 units	60.08 per 100 units	2014/10/31	50,000,000	2014/03/18
67511	Credit Suisse AG	Sands China R Bull CBBC Oct 2014 B	0.250	54 per 100 units	56.80 per 100 units	2014/10/31	50,000,000	2014/03/18
67514	Credit Suisse AG	Sands China R Bull CBBC Oct 2014 C	0.250	51 per 100 units	53.80 per 100 units	2014/10/31	50,000,000	2014/03/18
67532	Credit Suisse AG	Sands China R Bear CBBC Jul 2014 A	0.250	70.80 per 100 units	68 per 100 units	2014/07/31	50,000,000	2014/03/18
67535	Credit Suisse AG	Sands China R Bear CBBC Jul 2014 B	0.250	75.80 per 100 units	73 per 100 units	2014/07/31	50,000,000	2014/03/18
67493	Credit Suisse AG	TCH R Bull CBBC Oct 2014 A	0.370	589 per 100 units	600.80 per 100 units	2014/10/31	50,000,000	2014/03/18
67494	Credit Suisse AG	TCH R Bull CBBC Oct 2014 B	0.570	569 per 100 units	580.80 per 100 units	2014/10/31	50,000,000	2014/03/18
67496	Credit Suisse AG	TCH R Bull CBBC Oct 2014 C	0.770	549 per 100 units	560.80 per 100 units	2014/10/31	50,000,000	2014/03/18
67497	Credit Suisse AG	TCH R Bull CBBC Oct 2014 D	0.970	529 per 100 units	540.80 per 100 units	2014/10/31	50,000,000	2014/03/18
67498	Credit Suisse AG	TCH R Bear CBBC Jul 2014 A	0.460	664.80 per 100 units	653 per 100 units	2014/07/31	50,000,000	2014/03/18
67499	Credit Suisse AG	TCH R Bear CBBC Jul 2014 B	0.760	694.80 per 100 units	683 per 100 units	2014/07/31	50,000,000	2014/03/18
67317	HK Bank	CM Bank R Bull CBBC Jan 2015 A	0.330	9.68	10.48	2015/01/26	40,000,000	2014/03/18
67320	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 F	0.250	81.27 per 100 units	78.27 per 100 units	2014/12/08	60,000,000	2014/03/18
67316	HK Bank	HSCEI R Bull CBBC Oct 2014 D	0.250	9,088 per 10,000 units	9,288 per 10,000 units	2014/10/30	100,000,000	2014/03/18
67292	HK Bank	HSCEI R Bull CBBC Nov 2014 C	0.250	8,688 per 10,000 units	8,888 per 10,000 units	2014/11/27	100,000,000	2014/03/18
67310	HK Bank	HSCEI R Bull CBBC Dec 2014 A	0.250	8,388 per 10,000 units	8,588 per 10,000 units	2014/12/30	100,000,000	2014/03/18
67311	HK Bank	HSCEI R Bear CBBC Oct 2014 D	0.250	9,888 per 10,000 units	9,688 per 10,000 units	2014/10/30	68,000,000	2014/03/18
67282	HK Bank	HSI R Bear CBBC Oct 2014 N	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/10/30	100,000,000	2014/03/18
67281	HK Bank	HSI R Bear CBBC Nov 2014 E	0.250	22,588 per 15,000 units	22,388 per 15,000 units	2014/11/27	100,000,000	2014/03/18
67276	HK Bank	HSI R Bear CBBC Dec 2014 B	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2014/12/30	100,000,000	2014/03/18
67321	HK Bank	Sands China R Bear CBBC Nov 2014 E	0.250	69.28 per 100 units	66.28 per 100 units	2014/11/17	50,000,000	2014/03/18
67318	HK Bank	TCH R Bear CBBC Nov 2014 H	0.350	648.88 per 100 units	638.88 per 100 units	2014/11/10	50,000,000	2014/03/18
67416	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 B	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/11/27	300,000,000	2014/03/18
67435	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 B	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/07/30	300,000,000	2014/03/18
67371	Standard Chartered Bank	China Life R Bull CBBC Nov 2014 B	0.480	18.28	19.48	2014/11/11	50,000,000	2014/03/18
67367	Standard Chartered Bank	A50 China R Bull CBBC Nov 2014 A	0.250	6.9	7.2	2014/11/11	50,000,000	2014/03/18
67401	Standard Chartered Bank	HSI R Bear CBBC Sep 2014 H	0.250	22,500 per 20,000 units	22,300 per 20,000 units	2014/09/29	100,000,000	2014/03/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67447	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 14Y	0.250	22,138 per 10,000 units	22,238 per 10,000 units	2014/10/30	200,000,000	2014/03/18
67449	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun 14D	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2014/06/27	200,000,000	2014/03/18
67450	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun 14E	0.250	22,638 per 10,000 units	22,538 per 10,000 units	2014/06/27	200,000,000	2014/03/18
67459	UBS AG	Minsheng Bank R Bear CBBC Aug 2014 B	0.250	8.58	8.08	2014/08/04	50,000,000	2014/03/18
67460	UBS AG	CNOOC R Bear CBBC Jul 2014 A	0.250	13.95	13.15	2014/07/21	50,000,000	2014/03/18
67462	UBS AG	Geely Auto R Bull CBBC Jun 2015 C	0.250	2.3	2.5	2015/06/08	50,000,000	2014/03/18
67455	UBS AG	Great Wall Motor R Bull CBBC Jun 2015 A	0.250	24 per 100 units	26.50 per 100 units	2015/06/15	50,000,000	2014/03/18
67539	UBS AG	HSI R Bull CBBC Dec 2014 P	0.250	22,105 per 10,000 units	22,305 per 10,000 units	2014/12/30	300,000,000	2014/03/18
67324	UBS AG	HSI R Bear CBBC Jun 2014 I	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2014/03/18
67330	UBS AG	HSI R Bear CBBC Jul 2014 W	0.250	22,475 per 12,000 units	22,275 per 12,000 units	2014/07/30	350,000,000	2014/03/18
67463	UBS AG	Hutchison R Bear CBBC Nov 2014 A	0.250	133 per 100 units	130 per 100 units	2014/11/17	100,000,000	2014/03/18
67461	UBS AG	TCH R Bull CBBC Aug 2014 G	0.250	605 per 100 units	620 per 100 units	2014/08/25	40,000,000	2014/03/18
64132 ²	HK Bank	HSI R Bull CBBC Jun 2014 B	0.072	20,788 per 15,000 units	20,988 per 15,000 units	2014/06/27	180,000,000	2014/03/18
67548	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2014 C	0.250	8,800 per 5,000 units	9,000 per 5,000 units	2014/12/30	200,000,000	2014/03/19
67543	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 R	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/03/19
67544	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 S	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/12/30	300,000,000	2014/03/19
67542	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Z	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/12/30	300,000,000	2014/03/19
67660	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 A	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2015/02/26	300,000,000	2014/03/19
67661	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 B	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2015/02/26	300,000,000	2014/03/19
67662	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 C	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2015/02/26	300,000,000	2014/03/19
67674	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 A	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67680	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 B	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67709	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 C	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67670	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 W	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67671	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 X	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67672	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 Y	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67673	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 Z	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67593	Credit Suisse AG	HSI R Bull CBBC Dec 2014 A	0.250	22,069 per 12,000 units	22,269 per 12,000 units	2014/12/30	238,000,000	2014/03/19
67597	Credit Suisse AG	HSI R Bull CBBC Dec 2014 B	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/12/30	238,000,000	2014/03/19
67598	Credit Suisse AG	HSI R Bull CBBC Dec 2014 C	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	238,000,000	2014/03/19
67599	Credit Suisse AG	HSI R Bull CBBC Dec 2014 D	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/12/30	238,000,000	2014/03/19
67656	Credit Suisse AG	HSI R Bear CBBC Jun 2014 N	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/06/27	238,000,000	2014/03/19
67658	Credit Suisse AG	HSI R Bear CBBC Jun 2014 O	0.250	22,102 per 10,000 units	21,902 per 10,000 units	2014/06/27	238,000,000	2014/03/19
67650	Credit Suisse AG	HSI R Bear CBBC Jul 2014 H	0.250	22,538 per 10,000 units	22,338 per 10,000 units	2014/07/30	238,000,000	2014/03/19
67652	Credit Suisse AG	HSI R Bear CBBC Jul 2014 I	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	238,000,000	2014/03/19
67796	Citigroup Global Mkt H Inc.	Cheung Kong R Bull CBBC Feb15A	0.320	92 per 100 units	98 per 100 units	2015/02/09	60,000,000	2014/03/19
67803	Citigroup Global Mkt H Inc.	Cheung Kong R Bull CBBC Feb15B	0.250	102 per 100 units	108 per 100 units	2015/02/09	60,000,000	2014/03/19
67806	Citigroup Global Mkt H Inc.	Cheung Kong R Bull CBBC Feb15C	0.250	112 per 100 units	118 per 100 units	2015/02/09	60,000,000	2014/03/19
67744	Citigroup Global Mkt H Inc.	Cheung Kong R Bear CBBC Feb15A	0.250	134 per 100 units	128 per 100 units	2015/02/09	60,000,000	2014/03/19
67745	Citigroup Global Mkt H Inc.	Cheung Kong R Bear CBBC Feb15B	0.250	144 per 100 units	138 per 100 units	2015/02/09	60,000,000	2014/03/19
67746	Citigroup Global Mkt H Inc.	Cheung Kong R Bear CBBC Feb15C	0.320	154 per 100 units	148 per 100 units	2015/02/09	60,000,000	2014/03/19
67747	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Feb15A	0.250	6.6	6.9	2015/02/09	100,000,000	2014/03/19
67748	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Feb15B	0.250	6.9	7.2	2015/02/09	100,000,000	2014/03/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67754	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Feb15C	0.250	7.7	8	2015/02/09	100,000,000	2014/03/19
67755	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Feb15A	0.250	9	8.7	2015/02/09	100,000,000	2014/03/19
67757	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Feb 15 A	0.250	6.6	6.9	2015/02/09	100,000,000	2014/03/19
67778	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Feb 15 B	0.250	6.9	7.2	2015/02/09	100,000,000	2014/03/19
67779	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Feb 15 C	0.250	7.2	7.5	2015/02/09	100,000,000	2014/03/19
67782	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Feb 15 A	0.250	8.7	8.4	2015/02/09	100,000,000	2014/03/19
67785	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Feb15 A	0.250	70.50 per 100 units	73 per 100 units	2015/02/09	60,000,000	2014/03/19
67787	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Feb15 A	0.250	80.50 per 100 units	78 per 100 units	2015/02/09	60,000,000	2014/03/19
67790	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Feb 15 A	0.250	89.50 per 100 units	92.50 per 100 units	2015/02/09	60,000,000	2014/03/19
67791	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Feb 15 B	0.250	92 per 100 units	95 per 100 units	2015/02/09	60,000,000	2014/03/19
67795	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC Feb 15 A	0.250	123 per 100 units	120 per 100 units	2015/02/09	60,000,000	2014/03/19
67784	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Feb 2015 A	0.250	68 per 100 units	64 per 100 units	2015/02/09	80,000,000	2014/03/19
67637	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 X	0.277	21,688 per 7,000 units	21,888 per 7,000 units	2014/07/30	150,000,000	2014/03/19
67639	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 R	0.284	22,188 per 7,000 units	21,988 per 7,000 units	2014/07/30	150,000,000	2014/03/19
67640	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 S	0.281	22,288 per 7,000 units	22,088 per 7,000 units	2014/07/30	150,000,000	2014/03/19
67644	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 T	0.280	22,388 per 7,000 units	22,188 per 7,000 units	2014/07/30	150,000,000	2014/03/19
67645	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 U	0.254	22,638 per 20,000 units	22,338 per 20,000 units	2014/07/30	150,000,000	2014/03/19
67647	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 V	0.253	22,438 per 20,000 units	22,138 per 20,000 units	2014/07/30	150,000,000	2014/03/19
67551	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2015 B	0.250	6.48	6.78	2015/03/30	80,000,000	2014/03/19
67550	HK Bank	A50 R Bull CBBC Mar 2015 D	0.250	6.48	6.78	2015/03/30	200,000,000	2014/03/19
67563	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 M	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/03/19
67561	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 A	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/03/19
67733	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 J	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2014/03/19
67742	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 K	0.250	22,102 per 10,000 units	21,902 per 10,000 units	2014/06/27	300,000,000	2014/03/19
67730	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 C	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	300,000,000	2014/03/19
67732	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 D	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	300,000,000	2014/03/19
67601	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 D	0.250	22,000 per 15,000 units	22,200 per 15,000 units	2015/02/26	100,000,000	2014/03/19
67659	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 H	0.540	653.88 per 100 units	638.88 per 100 units	2014/10/15	50,000,000	2014/03/19
67590	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 G	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2014/10/30	200,000,000	2014/03/19
67591	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 H	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/10/30	200,000,000	2014/03/19
67589	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 Z	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2014/10/30	200,000,000	2014/03/19
67564	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 S	0.250	21,538 per 10,000 units	21,638 per 10,000 units	2014/12/30	200,000,000	2014/03/19
67592	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 F	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2014/06/27	200,000,000	2014/03/19
67628	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 N	0.250	22,038 per 10,000 units	21,938 per 10,000 units	2014/06/27	200,000,000	2014/03/19
67633	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 O	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2014/06/27	200,000,000	2014/03/19
67716	UBS AG	China Mobile R Bull CBBC Nov 2014 C	0.250	55 per 100 units	57.50 per 100 units	2014/11/17	100,000,000	2014/03/19
67621	UBS AG	HSI R Bull CBBC Sep 2014 S	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/09/29	300,000,000	2014/03/19
67627	UBS AG	HSI R Bull CBBC Oct 2014 S	0.250	22,069 per 10,000 units	22,269 per 10,000 units	2014/10/30	300,000,000	2014/03/19
67614	UBS AG	HSI R Bull CBBC Nov 2014 O	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/11/27	300,000,000	2014/03/19
67713	UBS AG	HSI R Bear CBBC Jun 2014 Z	0.250	22,102 per 10,000 units	21,902 per 10,000 units	2014/06/27	300,000,000	2014/03/19
67719	UBS AG	HSI R Bear CBBC Jul 2014 X	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	300,000,000	2014/03/19
67718	UBS AG	HSI R Bear CBBC Aug 2014 F	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/08/28	300,000,000	2014/03/19
67723	UBS AG	HSI R Bear CBBC Aug 2014 G	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/08/28	300,000,000	2014/03/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67887	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 V	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	300,000,000	2014/03/20
67888	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 D	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/08/28	300,000,000	2014/03/20
67902	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 E	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/08/28	300,000,000	2014/03/20
67904	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 F	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/03/20
67863	Credit Suisse AG	HSI R Bull CBBC Oct 2014 G	0.250	20,738 per 10,000 units	20,938 per 10,000 units	2014/10/30	238,000,000	2014/03/20
67871	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/11/27	238,000,000	2014/03/20
67865	Credit Suisse AG	HSI R Bull CBBC Nov 2014 I	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/11/27	238,000,000	2014/03/20
67867	Credit Suisse AG	HSI R Bull CBBC Nov 2014 K	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/11/27	238,000,000	2014/03/20
67866	Credit Suisse AG	HSI R Bull CBBC Nov 2014 L	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/11/27	238,000,000	2014/03/20
67869	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	21,799 per 12,000 units	21,999 per 12,000 units	2014/11/27	238,000,000	2014/03/20
67870	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Y	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/11/27	238,000,000	2014/03/20
67864	Credit Suisse AG	HSI R Bull CBBC Dec 2014 H	0.250	20,668 per 10,000 units	20,868 per 10,000 units	2014/12/30	238,000,000	2014/03/20
67868	Credit Suisse AG	HSI R Bull CBBC Dec 2014 I	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/12/30	238,000,000	2014/03/20
67835	Credit Suisse AG	HSI R Bear CBBC Jul 2014 F	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/07/30	238,000,000	2014/03/20
67834	Credit Suisse AG	HSI R Bear CBBC Jul 2014 O	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	238,000,000	2014/03/20
67928	Credit Suisse AG	HSI R Bear CBBC Jul 2014 T	0.250	21,957 per 10,000 units	21,757 per 10,000 units	2014/07/30	238,000,000	2014/03/20
67940	Credit Suisse AG	HSI R Bear CBBC Jul 2014 U	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/07/30	238,000,000	2014/03/20
67997	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Feb 2015 A	0.250	38.88 per 100 units	37.88 per 100 units	2015/02/16	100,000,000	2014/03/20
67977	Citigroup Global Mkt H Inc.	BOCL R Bull CBBC Feb 2015 C	0.250	2.55	2.7	2015/02/16	60,000,000	2014/03/20
67980	Citigroup Global Mkt H Inc.	BOCL R Bull CBBC Feb 2015 D	0.250	2.65	2.8	2015/02/16	60,000,000	2014/03/20
67982	Citigroup Global Mkt H Inc.	BOCL R Bull CBBC Feb 2015 E	0.250	2.75	2.9	2015/02/16	60,000,000	2014/03/20
67994	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Feb 2015 A	0.250	4.08	4.38	2015/02/16	80,000,000	2014/03/20
67995	Citigroup Global Mkt H Inc.	CC Bank R Bear CBBC Feb 2015 A	0.250	5.78	5.48	2015/02/16	80,000,000	2014/03/20
67992	Citigroup Global Mkt H Inc.	HKE X R Bull CBBC Feb 2015 A	0.250	102.50 per 100 units	107.50 per 100 units	2015/02/16	50,000,000	2014/03/20
67999	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Feb 2015 A	0.250	64 per 100 units	66.80 per 100 units	2015/02/16	80,000,000	2014/03/20
68009	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Feb 2015 A	0.250	85 per 100 units	82.20 per 100 units	2015/02/16	80,000,000	2014/03/20
68011	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Feb 2015 B	0.250	87 per 100 units	84.20 per 100 units	2015/02/16	80,000,000	2014/03/20
67984	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Feb 2015 A	0.250	3.6	3.8	2015/02/16	80,000,000	2014/03/20
67985	Citigroup Global Mkt H Inc.	ICBC R Bear CBBC Feb 2015 A	0.250	4.95	4.75	2015/02/16	80,000,000	2014/03/20
67996	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Feb15A	0.250	69 per 100 units	66 per 100 units	2015/02/16	60,000,000	2014/03/20
67943	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 A	0.250	575 per 100 units	588 per 100 units	2015/02/09	50,000,000	2014/03/20
67942	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 A	0.250	621 per 100 units	608 per 100 units	2015/02/09	50,000,000	2014/03/20
67944	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 B	0.330	631 per 100 units	618 per 100 units	2015/02/09	50,000,000	2014/03/20
67945	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 C	0.430	641 per 100 units	628 per 100 units	2015/02/09	50,000,000	2014/03/20
67946	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 D	0.530	651 per 100 units	638 per 100 units	2015/02/09	50,000,000	2014/03/20
67861	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 Y	0.304	21,788 per 7,000 units	21,988 per 7,000 units	2014/07/30	150,000,000	2014/03/20
67964	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 W	0.256	22,088 per 7,000 units	21,888 per 7,000 units	2014/07/30	150,000,000	2014/03/20
67965	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 X	0.272	21,988 per 7,000 units	21,788 per 7,000 units	2014/07/30	150,000,000	2014/03/20
67811	HK Bank	Geely Auto R Bull CBBC Jul 2015 A	0.250	1.98	2.18	2015/07/27	40,000,000	2014/03/20
67820	HK Bank	HSI R Bull CBBC Nov 2014 K	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/11/27	100,000,000	2014/03/20
67816	HK Bank	HSI R Bull CBBC Dec 2014 F	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/12/30	100,000,000	2014/03/20
67829	HK Bank	HSI R Bear CBBC Oct 2014 O	0.250	22,188 per 15,000 units	21,988 per 15,000 units	2014/10/30	100,000,000	2014/03/20
67826	HK Bank	HSI R Bear CBBC Nov 2014 F	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2014/11/27	100,000,000	2014/03/20

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67825	HK Bank	HSI R Bear CBBC Dec 2014 C	0.250	22,388 per 15,000 units	22,188 per 15,000 units	2014/12/30	100,000,000	2014/03/20
67824	HK Bank	Huishan Dairy R Bull CBBC Jul 2015 A	0.250	1.78	2.08	2015/07/27	40,000,000	2014/03/20
67821	HK Bank	TCH R Bull CBBC Nov 2014 J	0.540	553 per 100 units	563 per 100 units	2014/11/19	50,000,000	2014/03/20
67813	HK Bank	TCH R Bear CBBC Nov 2014 I	0.300	628.88 per 100 units	618.88 per 100 units	2014/11/28	50,000,000	2014/03/20
67817	HK Bank	TCH R Bear CBBC Nov 2014 J	0.250	618.88 per 100 units	608.88 per 100 units	2014/11/19	50,000,000	2014/03/20
67810	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 G	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/10/30	300,000,000	2014/03/20
67976	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 T	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/11/27	300,000,000	2014/03/20
67807	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 L	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2014/03/20
67975	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 M	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2014/03/20
67968	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 E	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/07/30	300,000,000	2014/03/20
67971	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 F	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/07/30	300,000,000	2014/03/20
67858	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 B	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	40,000,000	2014/03/20
67855	Standard Chartered Bank	HKEx R Bull CBBC Aug 2014 A	0.250	100 per 100 units	105 per 100 units	2014/08/28	50,000,000	2014/03/20
67856	Standard Chartered Bank	HKEx R Bear CBBC Jul 2014 A	0.250	140 per 100 units	135 per 100 units	2014/07/30	50,000,000	2014/03/20
67905	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 E	0.250	21,780 per 20,000 units	21,980 per 20,000 units	2015/02/26	100,000,000	2014/03/20
67915	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 F	0.250	21,580 per 15,000 units	21,780 per 15,000 units	2015/02/26	100,000,000	2014/03/20
67836	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 C	0.250	22,280 per 15,000 units	22,080 per 15,000 units	2014/11/27	100,000,000	2014/03/20
67860	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 I	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2014/10/30	200,000,000	2014/03/20
67872	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 J	0.250	21,738 per 10,000 units	21,838 per 10,000 units	2014/10/30	200,000,000	2014/03/20
67830	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 P	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2014/06/27	200,000,000	2014/03/20
67831	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 Q	0.250	22,238 per 10,000 units	22,138 per 10,000 units	2014/06/27	200,000,000	2014/03/20
67924	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun14 R	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2014/06/27	200,000,000	2014/03/20
67875	UBS AG	HSI R Bull CBBC Oct 2014 T	0.250	21,799 per 12,000 units	21,999 per 12,000 units	2014/10/30	350,000,000	2014/03/20
67873	UBS AG	HSI R Bull CBBC Nov 2014 S	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/11/27	300,000,000	2014/03/20
67874	UBS AG	HSI R Bull CBBC Dec 2014 Q	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/12/30	300,000,000	2014/03/20
67877	UBS AG	HSI R Bear CBBC Jun 2014 C	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2014/03/20
67962	UBS AG	HSI R Bear CBBC Jul 2014 Y	0.250	21,957 per 10,000 units	21,757 per 10,000 units	2014/07/30	300,000,000	2014/03/20
67876	UBS AG	HSI R Bear CBBC Aug 2014 H	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2014/03/20
67882	UBS AG	HSI R Bear CBBC Aug 2014 I	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/08/28	300,000,000	2014/03/20
67958	UBS AG	TCH R Bear CBBC Jun 2014 I	0.280	615 per 100 units	600 per 100 units	2014/06/30	50,000,000	2014/03/20
67947	UBS AG	TCH R Bear CBBC Jul 2014 E	0.480	635 per 100 units	620 per 100 units	2014/07/14	50,000,000	2014/03/20
68061	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 F	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68071	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 I	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68058	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 K	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68049	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 X	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68039	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 D	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2015/02/26	300,000,000	2014/03/21
68040	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 E	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2015/02/26	300,000,000	2014/03/21
68042	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 F	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2015/02/26	300,000,000	2014/03/21
68043	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 G	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2015/02/26	300,000,000	2014/03/21
68224	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 G	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/08/28	300,000,000	2014/03/21
68228	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 H	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/08/28	300,000,000	2014/03/21
68231	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 I	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/08/28	300,000,000	2014/03/21
68233	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 J	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2014/03/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68412	Credit Suisse AG	HSI R Bull CBBC Oct 2014 B	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/10/30	238,000,000	2014/03/21
68076	Credit Suisse AG	HSI R Bull CBBC Dec 2014 J	0.250	21,566 per 12,000 units	21,756 per 12,000 units	2014/12/30	238,000,000	2014/03/21
68081	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	238,000,000	2014/03/21
68082	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/12/30	238,000,000	2014/03/21
68084	Credit Suisse AG	HSI R Bull CBBC Dec 2014 V	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/12/30	238,000,000	2014/03/21
68399	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/07/30	238,000,000	2014/03/21
68407	Credit Suisse AG	HSI R Bear CBBC Jul 2014 J	0.250	21,740 per 10,000 units	21,540 per 10,000 units	2014/07/30	238,000,000	2014/03/21
68259	Credit Suisse AG	HSI R Bear CBBC Aug 2014 E	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/08/28	238,000,000	2014/03/21
68261	Credit Suisse AG	HSI R Bear CBBC Aug 2014 F	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/08/28	238,000,000	2014/03/21
68258	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Z	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/08/28	238,000,000	2014/03/21
68246	Credit Suisse AG	TCH R Bull CBBC Oct 2014 E	0.930	469 per 100 units	480.80 per 100 units	2014/10/31	50,000,000	2014/03/21
68249	Credit Suisse AG	TCH R Bull CBBC Oct 2014 F	0.730	489 per 100 units	500.80 per 100 units	2014/10/31	50,000,000	2014/03/21
68253	Credit Suisse AG	TCH R Bull CBBC Oct 2014 G	0.530	509 per 100 units	520.80 per 100 units	2014/10/31	50,000,000	2014/03/21
68255	Credit Suisse AG	TCH R Bear CBBC Jul 2014 C	0.490	604.80 per 100 units	593 per 100 units	2014/07/31	50,000,000	2014/03/21
68257	Credit Suisse AG	TCH R Bear CBBC Jul 2014 D	0.790	634.80 per 100 units	623 per 100 units	2014/07/31	50,000,000	2014/03/21
68433	Citigroup Global Mkt H Inc.	CNOOC R Bull CBBC Mar 2015 A	0.250	9.88	10.68	2015/03/16	50,000,000	2014/03/21
68435	Citigroup Global Mkt H Inc.	CNOOC R Bull CBBC Mar 2015 B	0.250	10.28	11.08	2015/03/16	50,000,000	2014/03/21
68440	Citigroup Global Mkt H Inc.	CNOOC R Bear CBBC Mar 2015 A	0.250	13.08	12.28	2015/03/16	50,000,000	2014/03/21
68444	Citigroup Global Mkt H Inc.	CNOOC R Bear CBBC Mar 2015 B	0.250	13.48	12.68	2015/03/16	50,000,000	2014/03/21
68088	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 A	0.550	535 per 100 units	548 per 100 units	2015/03/16	50,000,000	2014/03/21
68093	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 B	0.450	545 per 100 units	558 per 100 units	2015/03/16	50,000,000	2014/03/21
68096	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 C	0.350	555 per 100 units	568 per 100 units	2015/03/16	50,000,000	2014/03/21
68107	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 D	0.250	565 per 100 units	578 per 100 units	2015/03/16	50,000,000	2014/03/21
68110	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 E	0.250	574.80 per 100 units	587.80 per 100 units	2015/03/16	50,000,000	2014/03/21
68373	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Mar 2015 A	0.250	581 per 100 units	568 per 100 units	2015/03/16	50,000,000	2014/03/21
68381	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Mar 2015 B	0.350	591 per 100 units	578 per 100 units	2015/03/16	50,000,000	2014/03/21
68382	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Mar 2015 C	0.450	601 per 100 units	588 per 100 units	2015/03/16	50,000,000	2014/03/21
68383	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Mar 2015 D	0.550	611 per 100 units	598 per 100 units	2015/03/16	50,000,000	2014/03/21
68217	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 Z	0.293	21,288 per 7,000 units	21,488 per 7,000 units	2014/07/30	150,000,000	2014/03/21
68221	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 A	0.254	22,238 per 20,000 units	21,938 per 20,000 units	2014/07/30	150,000,000	2014/03/21
68219	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 Y	0.253	21,788 per 7,000 units	21,588 per 7,000 units	2014/07/30	150,000,000	2014/03/21
68220	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 Z	0.255	21,888 per 7,000 units	21,688 per 7,000 units	2014/07/30	150,000,000	2014/03/21
68012	HK Bank	China Mobile R Bull CBBC Feb 2015 C	0.250	64.18 per 100 units	66.68 per 100 units	2015/02/16	120,000,000	2014/03/21
68015	HK Bank	China Mobile R Bull CBBC Mar 2015 A	0.250	59.68 per 100 units	62.18 per 100 units	2015/03/30	120,000,000	2014/03/21
68020	HK Bank	COVS R Bull CBBC Nov 2014 A	0.250	16.7	17.7	2014/11/24	50,000,000	2014/03/21
68024	HK Bank	CC Bank R Bull CBBC Jan 2015 A	0.250	3.98	4.28	2015/01/26	80,000,000	2014/03/21
68016	HK Bank	HKEx R Bull CBBC May 2015 A	0.250	94.08 per 100 units	99.08 per 100 units	2015/05/26	60,000,000	2014/03/21
68031	HK Bank	HSI R Bear CBBC Nov 2014 G	0.250	21,988 per 15,000 units	21,788 per 15,000 units	2014/11/27	100,000,000	2014/03/21
68030	HK Bank	HSI R Bear CBBC Dec 2014 D	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2014/12/30	100,000,000	2014/03/21
68146	HK Bank	TCH R Bear CBBC Oct 2014 N	0.250	568.88 per 100 units	568.88 per 100 units	2014/10/15	50,000,000	2014/03/21
68180	HK Bank	TCH R Bear CBBC Oct 2014 O	0.250	578.88 per 100 units	568.88 per 100 units	2014/10/22	50,000,000	2014/03/21
68193	HK Bank	TCH R Bear CBBC Oct 2014 P	0.350	588.88 per 100 units	578.88 per 100 units	2014/10/29	50,000,000	2014/03/21
68135	HK Bank	TCH R Bear CBBC Nov 2014 K	0.450	598.88 per 100 units	588.88 per 100 units	2014/11/05	50,000,000	2014/03/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68170	HK Bank	TCH R Bear CBBC Nov 2014 L	0.550	608.88 per 100 units	598.88 per 100 units	2014/11/12	50,000,000	2014/03/21
68202	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 B	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68195	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 N	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2014/03/21
68196	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 O	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2014/03/21
68194	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 G	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/07/30	300,000,000	2014/03/21
68395	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 D	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/09/29	50,000,000	2014/03/21
68386	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 C	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/08/28	50,000,000	2014/03/21
68389	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 D	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/08/28	50,000,000	2014/03/21
68134	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 G	0.250	21,280 per 15,000 units	21,480 per 15,000 units	2015/02/26	100,000,000	2014/03/21
68223	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 H	0.250	20,880 per 12,000 units	21,080 per 12,000 units	2015/02/26	100,000,000	2014/03/21
68132	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 D	0.250	22,080 per 12,000 units	21,880 per 12,000 units	2014/11/27	100,000,000	2014/03/21
68115	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 I	0.480	633.88 per 100 units	618.88 per 100 units	2014/10/20	50,000,000	2014/03/21
68032	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 K	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2014/10/30	200,000,000	2014/03/21
68038	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 M	0.250	21,638 per 10,000 units	21,738 per 10,000 units	2014/10/30	200,000,000	2014/03/21
68209	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 T	0.250	21,138 per 10,000 units	21,238 per 10,000 units	2014/12/30	200,000,000	2014/03/21
68212	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 E	0.250	21,688 per 10,000 units	21,588 per 10,000 units	2014/07/30	200,000,000	2014/03/21
68214	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 F	0.250	21,838 per 10,000 units	21,738 per 10,000 units	2014/07/30	200,000,000	2014/03/21
68215	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 G	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2014/07/30	200,000,000	2014/03/21
68352	UBS AG	China Life R Bear CBBC Jul 2014 B	0.370	23.88	22.88	2014/07/28	50,000,000	2014/03/21
68336	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 A	0.250	6.95	7.25	2015/03/16	50,000,000	2014/03/21
68366	UBS AG	Geely Auto R Bull CBBC Jul 2015 A	0.250	2	2.2	2015/07/06	50,000,000	2014/03/21
68369	UBS AG	Galaxy Ent R Bear CBBC Sep 2014 C	0.250	80.50 per 100 units	77.50 per 100 units	2014/09/22	50,000,000	2014/03/21
68370	UBS AG	HSBC R Bear CBBC Oct 2014 A	0.250	85.30 per 100 units	82.30 per 100 units	2014/10/06	50,000,000	2014/03/21
68328	UBS AG	HSCEI R Bull CBBC Dec 2014 E	0.250	8,888 per 10,000 units	9,088 per 10,000 units	2014/12/30	100,000,000	2014/03/21
68326	UBS AG	HSCEI R Bear CBBC Jun 2014 D	0.250	9,888 per 10,000 units	9,688 per 10,000 units	2014/06/27	100,000,000	2014/03/21
68270	UBS AG	HSI R Bull CBBC Nov 2014 E	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/11/27	300,000,000	2014/03/21
68112	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/11/27	300,000,000	2014/03/21
68113	UBS AG	HSI R Bull CBBC Dec 2014 R	0.250	21,566 per 10,000 units	21,766 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68278	UBS AG	HSI R Bull CBBC Dec 2014 S	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/12/30	300,000,000	2014/03/21
68280	UBS AG	HSI R Bull CBBC Feb 2015 A	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2015/02/26	300,000,000	2014/03/21
68279	UBS AG	HSI R Bull CBBC Mar 2015 B	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2015/03/30	300,000,000	2014/03/21
68312	UBS AG	HSI R Bull CBBC Mar 2015 C	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2015/03/30	300,000,000	2014/03/21
68424	UBS AG	HSI R Bear CBBC Jun 2014 E	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2014/03/21
68432	UBS AG	HSI R Bear CBBC Jun 2014 O	0.250	21,740 per 10,000 units	21,540 per 10,000 units	2014/06/27	300,000,000	2014/03/21
68431	UBS AG	HSI R Bear CBBC Jul 2014 B	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/07/30	300,000,000	2014/03/21
68425	UBS AG	HSI R Bear CBBC Jul 2014 K	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/07/30	300,000,000	2014/03/21
68416	UBS AG	HSI R Bear CBBC Jul 2014 Z	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/07/30	300,000,000	2014/03/21
68263	UBS AG	HSI R Bear CBBC Sep 2014 B	0.250	23,200 per 38,000 units	22,800 per 38,000 units	2014/09/29	300,000,000	2014/03/21
68329	UBS AG	Huishan Dairy R Bull CBBC Jul 2015 A	0.250	1.8	2.1	2015/07/20	50,000,000	2014/03/21
68354	UBS AG	Sands China R Bear CBBC Jun 2014 A	0.250	68 per 100 units	65 per 100 units	2014/06/30	50,000,000	2014/03/21
68413	UBS AG	TCH R Bull CBBC Sep 2014 E	0.295	535 per 100 units	550 per 100 units	2014/09/22	40,000,000	2014/03/21
68365	UBS AG	TCH R Bear CBBC Jul 2014 F	0.250	575 per 100 units	560 per 100 units	2014/07/28	40,000,000	2014/03/21
68362	UBS AG	TCH R Bear CBBC Aug 2014 E	0.370	595 per 100 units	580 per 100 units	2014/08/11	40,000,000	2014/03/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68541	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 H	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2015/02/26	300,000,000	2014/03/24
68547	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 K	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/08/28	300,000,000	2014/03/24
68549	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 L	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/08/28	300,000,000	2014/03/24
68501	Credit Suisse AG	HSI R Bull CBBC Sep 2014 M	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/09/29	238,000,000	2014/03/24
68500	Credit Suisse AG	HSI R Bull CBBC Sep 2014 N	0.250	21,339 per 12,000 units	21,539 per 12,000 units	2014/09/29	238,000,000	2014/03/24
68570	Credit Suisse AG	HSI R Bear CBBC Aug 2014 G	0.250	21,668 per 10,000 units	21,468 per 10,000 units	2014/08/28	238,000,000	2014/03/24
68571	Credit Suisse AG	HSI R Bear CBBC Aug 2014 J	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/08/28	238,000,000	2014/03/24
68572	Credit Suisse AG	HSI R Bear CBBC Aug 2014 K	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/08/28	238,000,000	2014/03/24
68505	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 B	0.251	21,688 per 7,000 units	21,488 per 7,000 units	2014/07/30	150,000,000	2014/03/24
68457	HK Bank	HSCEI R Bull CBBC Dec 2014 B	0.250	7,388 per 10,000 units	7,588 per 10,000 units	2014/12/30	100,000,000	2014/03/24
68458	HK Bank	HSCEI R Bear CBBC Nov 2014 C	0.250	9,688 per 10,000 units	9,488 per 10,000 units	2014/11/27	68,000,000	2014/03/24
68453	HK Bank	HSI R Bull CBBC Jan 2015 D	0.250	19,588 per 15,000 units	19,788 per 15,000 units	2015/01/29	100,000,000	2014/03/24
68456	HK Bank	HSI R Bull CBBC Jan 2015 E	0.250	19,188 per 15,000 units	19,388 per 15,000 units	2015/01/29	100,000,000	2014/03/24
68454	HK Bank	HSI R Bull CBBC Feb 2015 B	0.250	19,388 per 15,000 units	19,588 per 15,000 units	2015/02/26	100,000,000	2014/03/24
68462	HK Bank	HSI R Bear CBBC Oct 2014 P	0.250	21,888 per 15,000 units	21,688 per 15,000 units	2014/10/30	100,000,000	2014/03/24
68448	HK Bank	HSI R Bear CBBC Dec 2014 E	0.250	21,788 per 15,000 units	21,588 per 15,000 units	2014/12/30	100,000,000	2014/03/24
68573	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 S	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/10/30	300,000,000	2014/03/24
68599	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 V	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/11/27	300,000,000	2014/03/24
68600	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 F	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/12/30	300,000,000	2014/03/24
68601	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 P	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/06/27	300,000,000	2014/03/24
68604	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 H	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/07/30	300,000,000	2014/03/24
68614	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 J	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/07/30	300,000,000	2014/03/24
68550	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 E	0.250	21,880 per 15,000 units	21,680 per 15,000 units	2014/11/27	100,000,000	2014/03/24
68551	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 F	0.250	21,680 per 20,000 units	21,480 per 20,000 units	2014/11/27	100,000,000	2014/03/24
68463	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 F	0.250	21,438 per 10,000 units	21,538 per 10,000 units	2014/10/30	200,000,000	2014/03/24
68476	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 H	0.250	21,738 per 10,000 units	21,638 per 10,000 units	2014/07/30	200,000,000	2014/03/24
68480	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 I	0.250	21,938 per 10,000 units	21,838 per 10,000 units	2014/07/30	200,000,000	2014/03/24
68537	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 J	0.250	21,588 per 10,000 units	21,488 per 10,000 units	2014/07/30	200,000,000	2014/03/24
68536	UBS AG	COVS R Bull CBBC Nov 2014 A	0.250	16.7	17.7	2014/11/03	50,000,000	2014/03/24
68533	UBS AG	CNOOC R Bear CBBC Aug 2014 C	0.250	13.08	12.28	2014/08/18	50,000,000	2014/03/24
68518	UBS AG	HSI R Bull CBBC Sep 2014 Z	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/09/29	300,000,000	2014/03/24
68504	UBS AG	HSI R Bull CBBC Oct 2014 U	0.250	21,339 per 10,000 units	21,539 per 10,000 units	2014/10/30	300,000,000	2014/03/24
68519	UBS AG	HSI R Bull CBBC Nov 2014 F	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/11/27	300,000,000	2014/03/24
68506	UBS AG	HSI R Bull CBBC Feb 2015 B	0.260	18,900 per 10,000 units	19,100 per 10,000 units	2015/02/26	300,000,000	2014/03/24
68507	UBS AG	HSI R Bull CBBC Feb 2015 C	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2015/02/26	300,000,000	2014/03/24
68520	UBS AG	HSI R Bull CBBC Feb 2015 D	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2015/02/26	300,000,000	2014/03/24
68509	UBS AG	HSI R Bull CBBC Mar 2015 D	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2015/03/30	300,000,000	2014/03/24
68569	UBS AG	HSI R Bear CBBC Jun 2014 J	0.250	21,668 per 10,000 units	21,468 per 10,000 units	2014/06/27	300,000,000	2014/03/24
68566	UBS AG	HSI R Bear CBBC Jun 2014 Q	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2014/03/24
68567	UBS AG	HSI R Bear CBBC Jul 2014 F	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/07/30	300,000,000	2014/03/24
68552	UBS AG	HSI R Bear CBBC Oct 2014 A	0.250	22,700 per 38,000 units	22,300 per 38,000 units	2014/10/30	300,000,000	2014/03/24
68531	UBS AG	TCH R Bull CBBC Aug 2014 H	0.250	525 per 100 units	540 per 100 units	2014/08/11	40,000,000	2014/03/24
68528	UBS AG	TCH R Bear CBBC Aug 2014 F	0.400	585 per 100 units	570 per 100 units	2014/08/18	40,000,000	2014/03/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68522	UBS AG	TCH R Bear CBBC Sep 2014 I	0.800	625 per 100 units	610 per 100 units	2014/09/01	40,000,000	2014/03/24
68527	UBS AG	TCH R Bear CBBC Sep 2014 J	0.600	605 per 100 units	590 per 100 units	2014/09/08	40,000,000	2014/03/24
68658	Credit Suisse AG	HSI R Bull CBBC Oct 2014 D	0.250	21,383 per 10,000 units	21,583 per 10,000 units	2014/10/30	238,000,000	2014/03/25
68645	Credit Suisse AG	HSI R Bear CBBC Jul 2014 K	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/07/30	238,000,000	2014/03/25
68626	HK Bank	ABC R Bear CBBC May 2015 A	0.250	3.78	3.53	2015/05/11	40,000,000	2014/03/25
68638	HK Bank	AIA R Bull CBBC Nov 2014 A	0.250	31.18 per 100 units	32.18 per 100 units	2014/11/24	60,000,000	2014/03/25
68624	HK Bank	BOCL R Bull CBBC Jan 2015 A	0.250	2.3	2.48	2015/01/28	80,000,000	2014/03/25
68622	HK Bank	China Mobile R Bull CBBC Jul 2015 A	0.250	54.78 per 100 units	57.28 per 100 units	2015/07/06	120,000,000	2014/03/25
68623	HK Bank	ICBC R Bull CBBC Nov 2014 A	0.250	3.38	3.63	2014/11/17	80,000,000	2014/03/25
68631	HK Bank	ICBC R Bear CBBC Apr 2015 A	0.250	5.08	4.83	2015/04/27	40,000,000	2014/03/25
68639	HK Bank	Ping An R Bear CBBC Nov 2014 B	0.250	68.88 per 100 units	64.88 per 100 units	2014/11/24	40,000,000	2014/03/25
68625	HK Bank	SHK Ppt R Bull CBBC Apr 2015 A	0.250	76 per 100 units	80 per 100 units	2015/04/13	60,000,000	2014/03/25
68627	HK Bank	TCH R Bull CBBC Oct 2014 P	0.250	528 per 100 units	538 per 100 units	2014/10/15	50,000,000	2014/03/25
68634	HK Bank	TCH R Bear CBBC Oct 2014 Q	0.250	558.88 per 100 units	548.88 per 100 units	2014/10/15	50,000,000	2014/03/25
68661	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 H	0.250	21,383 per 10,000 units	21,583 per 10,000 units	2014/10/30	300,000,000	2014/03/25
68660	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 Q	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/06/27	300,000,000	2014/03/25
68642	Standard Chartered Bank	HSBC R Bull CBBC Sep 2014 A	0.250	67.97 per 100 units	70.77 per 100 units	2014/09/25	50,000,000	2014/03/25
68657	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 G	0.250	22,180 per 20,000 units	21,980 per 20,000 units	2014/11/27	100,000,000	2014/03/25
68619	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 J	0.700	613.88 per 100 units	598.88 per 100 units	2014/10/13	50,000,000	2014/03/25
68656	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 14L	0.250	21,388 per 10,000 units	21,488 per 10,000 units	2014/10/30	200,000,000	2014/03/25
68640	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14K	0.250	21,638 per 10,000 units	21,538 per 10,000 units	2014/07/30	200,000,000	2014/03/25
68641	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14L	0.250	21,788 per 10,000 units	21,688 per 10,000 units	2014/07/30	200,000,000	2014/03/25
68651	UBS AG	China Mobile R Bull CBBC Jul 2015 A	0.250	52.50 per 100 units	55 per 100 units	2015/07/13	100,000,000	2014/03/25
68650	UBS AG	A50 China R Bull CBBC Mar 2015 B	0.250	6.7	7	2015/03/02	100,000,000	2014/03/25
68655	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 F	0.250	67 per 100 units	70 per 100 units	2014/10/06	100,000,000	2014/03/25
68654	UBS AG	Galaxy Ent R Bear CBBC Sep 2014 D	0.250	83 per 100 units	80 per 100 units	2014/09/15	50,000,000	2014/03/25
68659	UBS AG	HSI R Bull CBBC Sep 2014 J	0.250	21,383 per 10,000 units	21,583 per 10,000 units	2014/09/29	300,000,000	2014/03/25
68648	UBS AG	HSI R Bull CBBC Feb 2015 E	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2015/02/26	300,000,000	2014/03/25
68646	UBS AG	HSI R Bull CBBC Mar 2015 E	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2015/03/30	300,000,000	2014/03/25
68643	UBS AG	HSI R Bear CBBC Jun 2014 R	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/06/27	300,000,000	2014/03/25
68644	UBS AG	HSI R Bear CBBC Jul 2014 G	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/07/30	300,000,000	2014/03/25
68653	UBS AG	TCH R Bull CBBC Sep 2014 F	0.250	555 per 100 units	570 per 100 units	2014/09/15	40,000,000	2014/03/25
68652	UBS AG	TCH R Bull CBBC Oct 2014 C	0.330	545 per 100 units	560 per 100 units	2014/10/13	40,000,000	2014/03/25
68673	Credit Suisse AG	HSI R Bull CBBC Nov 2014 C	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/11/27	238,000,000	2014/03/26
68682	Credit Suisse AG	HSI R Bear CBBC Aug 2014 L	0.250	21,750 per 12,000 units	21,550 per 12,000 units	2014/08/28	238,000,000	2014/03/26
68683	Credit Suisse AG	HSI R Bear CBBC Aug 2014 M	0.250	22,088 per 10,000 units	21,888 per 10,000 units	2014/08/28	238,000,000	2014/03/26
68678	Credit Suisse AG	HSI R Bear CBBC Sep 2014 B	0.250	23,188 per 20,000 units	22,888 per 20,000 units	2014/09/29	238,000,000	2014/03/26
68679	Credit Suisse AG	HSI R Bear CBBC Sep 2014 C	0.250	22,588 per 20,000 units	22,288 per 20,000 units	2014/09/29	238,000,000	2014/03/26
68696	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 G	0.295	21,138 per 20,000 units	21,438 per 20,000 units	2014/07/30	150,000,000	2014/03/26
68672	HK Bank	COVS R Bull CBBC Dec 2014 A	0.350	15	16	2014/12/22	50,000,000	2014/03/26
68662	HK Bank	HKEx R Bear CBBC Mar 2015 A	0.250	130.88 per 100 units	125.88 per 100 units	2015/03/30	50,000,000	2014/03/26
68666	HK Bank	HSI R Bull CBBC Nov 2014 L	0.250	21,288 per 15,000 units	21,488 per 15,000 units	2014/11/27	100,000,000	2014/03/26
68667	HK Bank	HSI R Bear CBBC Nov 2014 H	0.250	22,728 per 20,000 units	22,428 per 20,000 units	2014/11/27	400,000,000	2014/03/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68669	HK Bank	TCH R Bull CBBC Oct 2014 Q	0.350	545 per 100 units	555 per 100 units	2014/10/30	50,000,000	2014/03/26
68668	HK Bank	TCH R Bull CBBC Nov 2014 K	0.450	536 per 100 units	546 per 100 units	2014/11/06	50,000,000	2014/03/26
68670	HK Bank	TCH R Bull CBBC Nov 2014 L	0.250	563 per 100 units	573 per 100 units	2014/11/11	50,000,000	2014/03/26
68695	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 E	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/12/30	300,000,000	2014/03/26
68692	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 L	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/07/30	300,000,000	2014/03/26
68674	Standard Chartered Bank	Minsheng Bank R Bull CBBC Nov 14 A	0.250	6	6.5	2014/11/27	50,000,000	2014/03/26
68690	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 I	0.250	20,480 per 12,000 units	20,680 per 12,000 units	2015/02/26	100,000,000	2014/03/26
68688	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 H	0.250	22,380 per 12,000 units	22,180 per 12,000 units	2014/11/27	100,000,000	2014/03/26
68684	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 O	0.250	21,338 per 10,000 units	21,438 per 10,000 units	2014/10/30	200,000,000	2014/03/26
68675	UBS AG	BOCL R Bull CBBC Jul 2015 B	0.250	2.32	2.5	2015/07/20	100,000,000	2014/03/26
68677	UBS AG	HSBC R Bull CBBC Jun 2015 A	0.250	64.70 per 100 units	67.50 per 100 units	2015/06/08	100,000,000	2014/03/26
68686	UBS AG	HSI R Bull CBBC Feb 2015 F	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2015/02/26	300,000,000	2014/03/26
68687	UBS AG	HSI R Bear CBBC Jul 2014 H	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2014/07/30	300,000,000	2014/03/26
68676	UBS AG	SHK Ppt R Bull CBBC Apr 2015 A	0.250	76 per 100 units	80 per 100 units	2015/04/10	50,000,000	2014/03/26
68697	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 J	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68699	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 N	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68749	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 T	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68698	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 I	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2015/02/26	300,000,000	2014/03/27
68741	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 J	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2015/02/26	300,000,000	2014/03/27
68747	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 K	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2015/02/26	300,000,000	2014/03/27
68748	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 L	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2015/02/26	300,000,000	2014/03/27
68779	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 M	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2015/02/26	300,000,000	2014/03/27
68780	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 N	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2015/02/26	300,000,000	2014/03/27
68751	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 A	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/09/29	300,000,000	2014/03/27
68752	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 B	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/09/29	300,000,000	2014/03/27
68753	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 C	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/09/29	300,000,000	2014/03/27
68754	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 D	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/09/29	300,000,000	2014/03/27
68781	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 E	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2014/09/29	300,000,000	2014/03/27
68782	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 F	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/09/29	300,000,000	2014/03/27
68714	Credit Suisse AG	HSI R Bull CBBC Sep 2014 B	0.250	19,450 per 10,000 units	19,650 per 10,000 units	2014/09/29	238,000,000	2014/03/27
68717	Credit Suisse AG	HSI R Bull CBBC Sep 2014 C	0.270	19,000 per 10,000 units	19,200 per 10,000 units	2014/09/29	238,000,000	2014/03/27
68716	Credit Suisse AG	HSI R Bull CBBC Nov 2014 U	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/11/27	238,000,000	2014/03/27
68718	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Z	0.290	18,800 per 10,000 units	19,000 per 10,000 units	2014/11/27	238,000,000	2014/03/27
68771	Credit Suisse AG	HSI R Bull CBBC Dec 2014 N	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68772	Credit Suisse AG	HSI R Bull CBBC Dec 2014 O	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68770	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Q	0.250	20,498 per 10,000 units	20,698 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68715	Credit Suisse AG	HSI R Bull CBBC Dec 2014 R	0.250	19,350 per 10,000 units	19,550 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68768	Credit Suisse AG	HSI R Bull CBBC Dec 2014 S	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68713	Credit Suisse AG	HSI R Bull CBBC Dec 2014 T	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68711	Credit Suisse AG	HSI R Bull CBBC Dec 2014 W	0.250	21,368 per 12,000 units	21,568 per 12,000 units	2014/12/30	238,000,000	2014/03/27
68712	Credit Suisse AG	HSI R Bull CBBC Dec 2014 X	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68769	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Z	0.250	20,888 per 10,000 units	21,088 per 10,000 units	2014/12/30	238,000,000	2014/03/27
68775	Credit Suisse AG	HSI R Bear CBBC Jul 2014 L	0.250	21,383 per 10,000 units	21,183 per 10,000 units	2014/07/30	238,000,000	2014/03/27

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68773	Credit Suisse AG	HSI R Bear CBBC Aug 2014 N	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/08/28	238,000,000	2014/03/27
68774	Credit Suisse AG	HSI R Bear CBBC Aug 2014 O	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/08/28	238,000,000	2014/03/27
68764	Bank of East Asia	COVS R Bull CBBC Oct 2014 A	0.250	15.688	16.688	2014/10/31	40,000,000	2014/03/27
68761	Bank of East Asia	A50 China R Bull CBBC Nov 2014 A	0.250	7.18	7.48	2014/11/21	60,000,000	2014/03/27
68765	Bank of East Asia	Geely Auto R Bull CBBC Feb 2015 A	0.250	2.2	2.4	2015/02/27	200,000,000	2014/03/27
68760	Bank of East Asia	HKEx R Bull CBBC Oct 2014 A	0.250	96 per 100 units	100 per 100 units	2014/10/24	60,000,000	2014/03/27
68719	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 I	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/11/27	300,000,000	2014/03/27
68794	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 D	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68720	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 H	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68795	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 I	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68792	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 A	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2015/03/30	300,000,000	2014/03/27
68793	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 B	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2015/03/30	300,000,000	2014/03/27
68790	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 R	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/06/27	300,000,000	2014/03/27
68791	J P Morgan SP BV	HSI R Bear CBBC Jun 2014 S	0.250	21,383 per 10,000 units	21,183 per 10,000 units	2014/06/27	300,000,000	2014/03/27
68785	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 M	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2014/07/30	300,000,000	2014/03/27
68766	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 B	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/09/29	50,000,000	2014/03/27
68767	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 C	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/09/29	50,000,000	2014/03/27
68709	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 J	0.250	21,180 per 20,000 units	21,380 per 20,000 units	2015/02/26	100,000,000	2014/03/27
68710	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 K	0.250	20,180 per 12,000 units	20,380 per 12,000 units	2015/02/26	100,000,000	2014/03/27
68758	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 L	0.250	19,980 per 20,000 units	20,180 per 20,000 units	2015/02/26	100,000,000	2014/03/27
68759	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 I	0.250	21,780 per 12,000 units	21,580 per 12,000 units	2014/11/27	100,000,000	2014/03/27
68776	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 J	0.250	21,480 per 12,000 units	21,280 per 12,000 units	2014/11/27	100,000,000	2014/03/27
68701	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 14P	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2014/10/30	200,000,000	2014/03/27
68728	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14Q	0.250	21,088 per 10,000 units	21,188 per 10,000 units	2014/11/27	200,000,000	2014/03/27
68727	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec 14U	0.250	20,908 per 10,000 units	21,008 per 10,000 units	2014/12/30	200,000,000	2014/03/27
68731	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14M	0.250	21,438 per 10,000 units	21,338 per 10,000 units	2014/07/30	200,000,000	2014/03/27
68737	UBS AG	COVS R Bull CBBC Jul 2015 A	0.250	15.88	16.88	2015/07/20	50,000,000	2014/03/27
68736	UBS AG	CSOP A50 ETF R Bull CBBC Feb 2015 A	0.250	6.7	7	2015/02/23	100,000,000	2014/03/27
68735	UBS AG	A50 China R Bull CBBC Feb 2015 B	0.250	6.85	7.15	2015/02/16	100,000,000	2014/03/27
68740	UBS AG	HSBC R Bull CBBC Sep 2015 A	0.250	57.20 per 100 units	60 per 100 units	2015/09/07	100,000,000	2014/03/27
68738	UBS AG	HSBC R Bear CBBC Sep 2014 A	0.250	82.80 per 100 units	80 per 100 units	2014/09/22	100,000,000	2014/03/27
68734	UBS AG	HSCEI R Bear CBBC Jun 2014 E	0.250	9,680 per 10,000 units	9,480 per 10,000 units	2014/06/27	100,000,000	2014/03/27
68732	UBS AG	HSI R Bull CBBC Oct 2014 M	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/10/30	300,000,000	2014/03/27
68725	UBS AG	HSI R Bull CBBC Oct 2014 P	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/10/30	300,000,000	2014/03/27
68733	UBS AG	HSI R Bull CBBC Nov 2014 G	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/11/27	300,000,000	2014/03/27
68726	UBS AG	HSI R Bull CBBC Dec 2014 T	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/12/30	300,000,000	2014/03/27
68784	UBS AG	HSI R Bear CBBC Jun 2014 S	0.250	21,383 per 10,000 units	21,183 per 10,000 units	2014/06/27	300,000,000	2014/03/27
68783	UBS AG	HSI R Bear CBBC Jul 2014 I	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/07/30	300,000,000	2014/03/27
68817	Credit Suisse AG	AIA R Bull CBBC Nov 2014 A	0.250	29.08 per 100 units	30.08 per 100 units	2014/11/28	50,000,000	2014/03/28
68815	Credit Suisse AG	China Mobile R Bull CBBC Nov 2014 A	0.250	58.58 per 100 units	61.08 per 100 units	2014/11/28	50,000,000	2014/03/28
68816	Credit Suisse AG	China Mobile R Bull CBBC Nov 2014 B	0.250	52.58 per 100 units	55.08 per 100 units	2014/11/28	50,000,000	2014/03/28
68819	Credit Suisse AG	Galaxy Ent R Bear CBBC Aug 2014 C	0.250	78.30 per 100 units	75.80 per 100 units	2014/08/29	50,000,000	2014/03/28
68855	Credit Suisse AG	HSI R Bull CBBC Nov 2014 A	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/11/27	238,000,000	2014/03/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68858	Credit Suisse AG	HSI R Bull CBBC Nov 2014 G	0.250	21,236 per 10,000 units	21,436 per 10,000 units	2014/11/27	238,000,000	2014/03/28
68856	Credit Suisse AG	HSI R Bull CBBC Nov 2014 J	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/11/27	238,000,000	2014/03/28
68857	Credit Suisse AG	HSI R Bull CBBC Nov 2014 T	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/11/27	238,000,000	2014/03/28
68820	Credit Suisse AG	HSI R Bear CBBC Sep 2014 D	0.250	21,400 per 12,000 units	21,200 per 12,000 units	2014/09/29	238,000,000	2014/03/28
68821	Credit Suisse AG	HSI R Bear CBBC Sep 2014 E	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2014/09/29	238,000,000	2014/03/28
68822	Credit Suisse AG	HSI R Bear CBBC Sep 2014 F	0.250	21,550 per 10,000 units	21,350 per 10,000 units	2014/09/29	238,000,000	2014/03/28
68854	Credit Suisse AG	HSI R Bear CBBC Sep 2014 G	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2014/09/29	238,000,000	2014/03/28
68818	Credit Suisse AG	Sands China R Bear CBBC Aug 2014 A	0.250	66.68 per 100 units	63.88 per 100 units	2014/08/29	50,000,000	2014/03/28
68823	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 H	0.255	20,978 per 7,000 units	21,178 per 7,000 units	2014/07/30	150,000,000	2014/03/28
68824	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 C	0.289	21,588 per 7,000 units	21,388 per 7,000 units	2014/07/30	150,000,000	2014/03/28
68825	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 D	0.270	21,488 per 7,000 units	21,288 per 7,000 units	2014/07/30	150,000,000	2014/03/28
68826	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 E	0.253	21,388 per 7,000 units	21,188 per 7,000 units	2014/07/30	150,000,000	2014/03/28
68827	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 F	0.253	22,038 per 20,000 units	21,738 per 20,000 units	2014/07/30	150,000,000	2014/03/28
68831	HK Bank	China Mobile R Bull CBBC Mar 2015 B	0.250	47.37 per 100 units	49.87 per 100 units	2015/03/18	120,000,000	2014/03/28
68832	HK Bank	China Mobile R Bull CBBC Mar 2015 C	0.250	44.78 per 100 units	47.28 per 100 units	2015/03/26	120,000,000	2014/03/28
68801	HK Bank	China Mobile R Bull CBBC Jul 2015 B	0.250	52.28 per 100 units	54.78 per 100 units	2015/07/13	120,000,000	2014/03/28
68810	HK Bank	China Mobile R Bull CBBC Jul 2015 C	0.250	49.78 per 100 units	52.28 per 100 units	2015/07/20	120,000,000	2014/03/28
68834	HK Bank	China Mobile R Bull CBBC Jul 2015 D	0.250	42.28 per 100 units	44.78 per 100 units	2015/07/16	120,000,000	2014/03/28
68812	HK Bank	China Mobile R Bear CBBC May 2015 A	0.250	74.88 per 100 units	72.38 per 100 units	2015/05/04	40,000,000	2014/03/28
68814	HK Bank	Sinopec Corp R Bear CBBC Dec 2014 A	0.250	7.18	6.88	2014/12/22	40,000,000	2014/03/28
68800	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 A	0.250	6.18	6.48	2015/04/27	80,000,000	2014/03/28
68799	HK Bank	CSOP A50 ETF R Bear CBBC Apr 2015 A	0.250	9.08	8.78	2015/04/27	40,000,000	2014/03/28
68798	HK Bank	A50 R Bull CBBC Apr 2015 A	0.250	6.03	6.33	2015/04/27	200,000,000	2014/03/28
68797	HK Bank	A50 R Bear CBBC Apr 2015 A	0.250	8.78	8.48	2015/04/27	50,000,000	2014/03/28
68796	HK Bank	HSI R Bull CBBC Feb 2015 C	0.250	18,888 per 15,000 units	19,088 per 15,000 units	2015/02/26	100,000,000	2014/03/28
68805	HK Bank	HSI R Bear CBBC Oct 2014 Q	0.250	21,588 per 15,000 units	21,388 per 15,000 units	2014/10/30	100,000,000	2014/03/28
68802	HK Bank	HSI R Bear CBBC Nov 2014 I	0.250	21,688 per 15,000 units	21,488 per 15,000 units	2014/11/27	100,000,000	2014/03/28
68807	HK Bank	HSI R Bear CBBC Nov 2014 J	0.250	21,388 per 15,000 units	21,188 per 15,000 units	2014/11/27	100,000,000	2014/03/28
68806	HK Bank	HSI R Bear CBBC Dec 2014 F	0.250	21,488 per 15,000 units	21,288 per 15,000 units	2014/12/30	100,000,000	2014/03/28
68813	HK Bank	Sands China R Bear CBBC Nov 2014 F	0.250	69.28 per 100 units	66.28 per 100 units	2014/11/17	50,000,000	2014/03/28
68869	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 F	0.250	21,236 per 10,000 units	21,436 per 10,000 units	2014/11/27	300,000,000	2014/03/28
68870	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 J	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/12/30	300,000,000	2014/03/28
68846	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 A	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/12/30	300,000,000	2014/03/28
68847	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 B	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2014/12/30	300,000,000	2014/03/28
68871	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 M	0.250	20,980 per 15,000 units	21,180 per 15,000 units	2015/02/26	100,000,000	2014/03/28
68872	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 N	0.250	19,680 per 20,000 units	19,880 per 20,000 units	2015/02/26	100,000,000	2014/03/28
68829	Standard Chartered Bank	ICBC R Bull CBBC Nov 2014 A	0.250	3.63	3.88	2014/11/27	50,000,000	2014/03/28
68830	Standard Chartered Bank	ICBC R Bear CBBC Sep 2014 A	0.250	5.13	4.88	2014/09/25	50,000,000	2014/03/28
68859	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14U	0.250	21,238 per 10,000 units	21,338 per 10,000 units	2014/11/27	200,000,000	2014/03/28
68850	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14N	0.250	21,338 per 10,000 units	21,238 per 10,000 units	2014/07/30	200,000,000	2014/03/28
68853	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14O	0.250	21,538 per 10,000 units	21,438 per 10,000 units	2014/07/30	200,000,000	2014/03/28
68840	UBS AG	ABC R Bull CBBC Jul 2015 A	0.250	2.25	2.5	2015/07/20	50,000,000	2014/03/28
68838	UBS AG	AIA R Bull CBBC Jun 2015 A	0.250	28.88 per 100 units	29.88 per 100 units	2015/06/15	100,000,000	2014/03/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68841	UBS AG	China Mobile R Bull CBBC Aug 2015 A	0.250	50 per 100 units	52.50 per 100 units	2015/08/17	100,000,000	2014/03/28
68842	UBS AG	China Mobile R Bull CBBC Sep 2015 A	0.250	47.50 per 100 units	50 per 100 units	2015/09/21	100,000,000	2014/03/28
68843	UBS AG	CC Bank R Bull CBBC Aug 2015 A	0.250	3.45	3.75	2015/08/10	100,000,000	2014/03/28
68836	UBS AG	Minsheng Bank R Bull CBBC Jul 2015 A	0.250	5.5	6	2015/07/27	50,000,000	2014/03/28
68845	UBS AG	HSBC R Bull CBBC Jul 2015 A	0.250	59.70 per 100 units	62.50 per 100 units	2015/07/13	100,000,000	2014/03/28
68861	UBS AG	HSI R Bull CBBC Sep 2014 N	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/09/29	300,000,000	2014/03/28
68860	UBS AG	HSI R Bull CBBC Nov 2014 H	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/11/27	300,000,000	2014/03/28
68866	UBS AG	HSI R Bull CBBC Nov 2014 K	0.250	21,236 per 10,000 units	21,436 per 10,000 units	2014/11/27	300,000,000	2014/03/28
68828	UBS AG	HSI R Bear CBBC Jun 2014 G	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2014/06/27	300,000,000	2014/03/28
68835	UBS AG	Huishan Dairy R Bull CBBC Oct 2015 A	0.250	1.7	2	2015/10/26	50,000,000	2014/03/28
68837	UBS AG	ICBC R Bull CBBC Jul 2015 A	0.250	3	3.25	2015/07/13	100,000,000	2014/03/28
68919	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 O	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2015/02/26	300,000,000	2014/03/31
68920	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 P	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2015/02/26	300,000,000	2014/03/31
68929	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 Q	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2015/02/26	300,000,000	2014/03/31
68931	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 R	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2015/02/26	300,000,000	2014/03/31
68932	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 S	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2015/02/26	300,000,000	2014/03/31
68933	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 T	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2015/02/26	300,000,000	2014/03/31
68886	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 G	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/09/29	300,000,000	2014/03/31
68887	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 H	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/09/29	300,000,000	2014/03/31
68921	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 I	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/09/29	300,000,000	2014/03/31
68916	Credit Suisse AG	HSI R Bull CBBC Oct 2014 J	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/10/30	238,000,000	2014/03/31
68925	Credit Suisse AG	HSI R Bull CBBC Oct 2014 N	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/10/30	238,000,000	2014/03/31
68915	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Q	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/10/30	238,000,000	2014/03/31
68926	Credit Suisse AG	HSI R Bull CBBC Oct 2014 R	0.250	21,646 per 10,000 units	21,846 per 10,000 units	2014/10/30	238,000,000	2014/03/31
68893	Credit Suisse AG	HSI R Bull CBBC Nov 2014 S	0.250	20,768 per 10,000 units	20,968 per 10,000 units	2014/11/27	238,000,000	2014/03/31
68899	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	21,738 per 10,000 units	21,538 per 10,000 units	2014/07/30	238,000,000	2014/03/31
68900	Credit Suisse AG	HSI R Bear CBBC Jul 2014 J	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/07/30	238,000,000	2014/03/31
68901	Credit Suisse AG	HSI R Bear CBBC Aug 2014 P	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/08/28	238,000,000	2014/03/31
68924	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Q	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/08/28	238,000,000	2014/03/31
68894	Credit Suisse AG	HSI R Bear CBBC Sep 2014 H	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/09/29	238,000,000	2014/03/31
68895	Credit Suisse AG	HSI R Bear CBBC Sep 2014 I	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/09/29	238,000,000	2014/03/31
68896	Credit Suisse AG	HSI R Bear CBBC Sep 2014 J	0.260	23,900 per 10,000 units	23,700 per 10,000 units	2014/09/29	238,000,000	2014/03/31
68897	Credit Suisse AG	HSI R Bear CBBC Sep 2014 K	0.265	23,950 per 10,000 units	23,750 per 10,000 units	2014/09/29	238,000,000	2014/03/31
68898	Credit Suisse AG	HSI R Bear CBBC Sep 2014 L	0.250	21,637 per 12,000 units	21,437 per 12,000 units	2014/09/29	238,000,000	2014/03/31
68907	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 I	0.257	21,188 per 7,000 units	21,388 per 7,000 units	2014/07/30	150,000,000	2014/03/31
68908	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 L	0.263	21,388 per 7,000 units	21,588 per 7,000 units	2014/07/30	150,000,000	2014/03/31
68910	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 M	0.311	20,938 per 20,000 units	21,238 per 20,000 units	2014/07/30	150,000,000	2014/03/31
68944	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 S	0.256	21,588 per 7,000 units	21,788 per 7,000 units	2014/07/30	150,000,000	2014/03/31
68946	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2014 T	0.272	21,338 per 20,000 units	21,638 per 20,000 units	2014/07/30	150,000,000	2014/03/31
68909	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2014 H	0.251	21,888 per 7,000 units	21,688 per 7,000 units	2014/07/30	150,000,000	2014/03/31
68881	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 F	0.250	6.28	6.78	2014/11/10	40,000,000	2014/03/31
68879	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 D	0.250	7.78	8.08	2015/01/19	80,000,000	2014/03/31
68877	HK Bank	A50 R Bull CBBC Jan 2015 F	0.250	7.63	7.93	2015/01/26	200,000,000	2014/03/31

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68878	HK Bank	A50 R Bull CBBC Jan 2015 G	0.250	7.73	8.03	2015/01/19	200,000,000	2014/03/31
68875	HK Bank	Great Wall Motor R Bull CBBC Dec 2015 B	0.250	30.18 per 100 units	32.68 per 100 units	2015/12/31	40,000,000	2014/03/31
68874	HK Bank	HSI R Bull CBBC Oct 2014 Q	0.250	21,088 per 15,000 units	21,288 per 15,000 units	2014/10/30	100,000,000	2014/03/31
68873	HK Bank	HSI R Bull CBBC Nov 2014 M	0.250	20,988 per 15,000 units	21,188 per 15,000 units	2014/11/27	100,000,000	2014/03/31
68876	HK Bank	HSI R Bull CBBC Dec 2014 G	0.250	21,188 per 15,000 units	21,388 per 15,000 units	2014/12/30	100,000,000	2014/03/31
68880	HK Bank	Huishan Dairy R Bull CBBC Sep 2015 A	0.250	1.6	1.9	2015/09/21	40,000,000	2014/03/31
68904	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 R	0.250	21,473 per 10,000 units	21,673 per 10,000 units	2014/10/30	300,000,000	2014/03/31
68903	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 W	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/11/27	300,000,000	2014/03/31
68936	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 G	0.250	21,646 per 10,000 units	21,846 per 10,000 units	2014/12/30	300,000,000	2014/03/31
68902	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 K	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/12/30	300,000,000	2014/03/31
68882	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 N	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/07/30	300,000,000	2014/03/31
68883	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 O	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/07/30	300,000,000	2014/03/31
68934	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 A	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/08/28	300,000,000	2014/03/31
68935	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 B	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/08/28	300,000,000	2014/03/31
68912	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 E	0.255	21,100 per 10,000 units	21,300 per 10,000 units	2014/09/29	50,000,000	2014/03/31
68913	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 F	0.260	20,900 per 10,000 units	21,100 per 10,000 units	2014/09/29	50,000,000	2014/03/31
68914	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 G	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/09/29	50,000,000	2014/03/31
68917	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 V	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2014/11/27	200,000,000	2014/03/31
68918	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 X	0.250	21,538 per 10,000 units	21,638 per 10,000 units	2014/11/27	200,000,000	2014/03/31
68927	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 Y	0.250	21,738 per 10,000 units	21,838 per 10,000 units	2014/11/27	200,000,000	2014/03/31
68884	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 P	0.250	21,588 per 10,000 units	21,488 per 10,000 units	2014/07/30	200,000,000	2014/03/31
68885	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 Q	0.250	21,738 per 10,000 units	21,638 per 10,000 units	2014/07/30	200,000,000	2014/03/31
68928	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 R	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2014/07/30	200,000,000	2014/03/31
68937	UBS AG	China Mobile R Bull CBBC Oct 2014 G	0.250	62.50 per 100 units	65 per 100 units	2014/10/27	100,000,000	2014/03/31
68939	UBS AG	HSI R Bull CBBC Sep 2014 O	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/09/29	300,000,000	2014/03/31
68942	UBS AG	HSI R Bull CBBC Oct 2014 W	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/10/30	300,000,000	2014/03/31
68941	UBS AG	HSI R Bull CBBC Nov 2014 B	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/11/27	300,000,000	2014/03/31
68943	UBS AG	HSI R Bull CBBC Dec 2014 U	0.250	21,646 per 10,000 units	21,846 per 10,000 units	2014/12/30	300,000,000	2014/03/31
68891	UBS AG	HSI R Bear CBBC Jul 2014 J	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/07/30	300,000,000	2014/03/31
68892	UBS AG	HSI R Bear CBBC Jul 2014 L	0.250	21,637 per 10,000 units	21,437 per 10,000 units	2014/07/30	300,000,000	2014/03/31
68890	UBS AG	HSI R Bear CBBC Aug 2014 J	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/08/28	300,000,000	2014/03/31
68938	UBS AG	Ping An R Bull CBBC Oct 2014 G	0.250	55.50 per 100 units	59 per 100 units	2014/10/27	100,000,000	2014/03/31
68984	Credit Suisse AG	HSI R Bull CBBC Nov 2014 V	0.250	21,628 per 10,000 units	21,828 per 10,000 units	2014/11/27	238,000,000	2014/04/01
68985	Credit Suisse AG	HSI R Bull CBBC Nov 2014 W	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/11/27	238,000,000	2014/04/01
69000	Credit Suisse AG	HSI R Bear CBBC Jul 2014 T	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/07/30	238,000,000	2014/04/01
68999	Credit Suisse AG	HSI R Bear CBBC Sep 2014 M	0.250	21,933 per 12,000 units	21,733 per 12,000 units	2014/09/29	238,000,000	2014/04/01
68965	HK Bank	China Mobile R Bull CBBC Mar 2015 D	0.250	61.68 per 100 units	64.18 per 100 units	2015/03/02	120,000,000	2014/04/01
68957	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 E	0.250	7.9	8.2	2015/01/26	80,000,000	2014/04/01
68952	HK Bank	A50 R Bull CBBC Jan 2015 H	0.250	7.83	8.13	2015/01/12	200,000,000	2014/04/01
68955	HK Bank	A50 R Bull CBBC Jan 2015 I	0.250	7.93	8.23	2015/01/09	200,000,000	2014/04/01
68968	HK Bank	Geely Auto R Bull CBBC Aug 2015 A	0.250	2.43	2.63	2015/08/31	40,000,000	2014/04/01
68967	HK Bank	Great Wall Motor R Bull CBBC Nov 2015 B	0.250	32.18 per 100 units	34.68 per 100 units	2015/11/30	40,000,000	2014/04/01
68951	HK Bank	HSCEI R Bull CBBC Nov 2014 D	0.250	9,288 per 10,000 units	9,488 per 10,000 units	2014/11/27	100,000,000	2014/04/01

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68963	HK Bank	HSCEI R Bull CBBC Dec 2014 C	0.250	9,088 per 10,000 units	9,288 per 10,000 units	2014/12/30	100,000,000	2014/04/01
68960	HK Bank	HSI R Bull CBBC Oct 2014 R	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2014/10/30	100,000,000	2014/04/01
68962	HK Bank	HSI R Bull CBBC Nov 2014 N	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/11/27	100,000,000	2014/04/01
68961	HK Bank	HSI R Bull CBBC Dec 2014 H	0.250	21,488 per 15,000 units	21,688 per 15,000 units	2014/12/30	100,000,000	2014/04/01
68966	HK Bank	PetCh R Bull CBBC Dec 2014 A	0.250	7.18	7.58	2014/12/22	60,000,000	2014/04/01
68969	HK Bank	SHK Ppt R Bear CBBC Jan 2015 A	0.250	102.88 per 100 units	98.88 per 100 units	2015/01/26	50,000,000	2014/04/01
68958	HK Bank	TCH R Bull CBBC Nov 2014 M	0.350	554 per 100 units	564 per 100 units	2014/11/03	50,000,000	2014/04/01
68959	HK Bank	TCH R Bull CBBC Nov 2014 N	0.250	573 per 100 units	583 per 100 units	2014/11/27	50,000,000	2014/04/01
68949	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 G	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/11/27	300,000,000	2014/04/01
68950	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 C	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	300,000,000	2014/04/01
68948	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 C	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2015/03/30	300,000,000	2014/04/01
69001	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 C	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/08/28	300,000,000	2014/04/01
68981	Standard Chartered Bank	China Mobile R Bull CBBC Nov 2014C	0.250	57.30 per 100 units	59.80 per 100 units	2014/11/18	50,000,000	2014/04/01
68982	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 O	0.250	21,380 per 12,000 units	21,580 per 12,000 units	2015/02/26	100,000,000	2014/04/01
68983	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 P	0.250	21,580 per 15,000 units	21,780 per 15,000 units	2015/02/26	100,000,000	2014/04/01
68977	Standard Chartered Bank	Hutchison R Bull CBBC Oct 2014 B	0.250	80.88 per 100 units	83.88 per 100 units	2014/10/23	50,000,000	2014/04/01
68980	Standard Chartered Bank	Hutchison R Bear CBBC Sep 2014 B	0.250	114.11 per 100 units	111.11 per 100 units	2014/09/25	50,000,000	2014/04/01
68973	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14T	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2014/11/27	200,000,000	2014/04/01
68972	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14Z	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2014/11/27	200,000,000	2014/04/01
68974	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14S	0.250	22,138 per 10,000 units	22,038 per 10,000 units	2014/07/30	200,000,000	2014/04/01
68998	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14T	0.250	21,938 per 10,000 units	21,838 per 10,000 units	2014/07/30	200,000,000	2014/04/01
68995	UBS AG	China Mobile R Bear CBBC Aug 2014 E	0.250	75 per 100 units	72.50 per 100 units	2014/08/18	100,000,000	2014/04/01
68993	UBS AG	China Life R Bull CBBC Jul 2015 A	0.450	16.88	17.88	2015/07/20	50,000,000	2014/04/01
68992	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 B	0.250	7.7	8	2015/03/16	50,000,000	2014/04/01
68991	UBS AG	A50 China R Bull CBBC Mar 2015 C	0.250	7.7	8	2015/03/02	100,000,000	2014/04/01
69004	UBS AG	Geely Auto R Bull CBBC Jul 2015 B	0.250	2.55	2.75	2015/07/20	50,000,000	2014/04/01
68997	UBS AG	Galaxy Ent R Bear CBBC Aug 2014 C	0.250	78 per 100 units	75 per 100 units	2014/08/25	100,000,000	2014/04/01
68994	UBS AG	Great Wall Motor R Bull CBBC Jul 2015 B	0.250	30.50 per 100 units	33 per 100 units	2015/07/13	50,000,000	2014/04/01
68989	UBS AG	HSCEI R Bull CBBC Feb 2015 A	0.250	9,088 per 10,000 units	9,288 per 10,000 units	2015/02/26	100,000,000	2014/04/01
68986	UBS AG	HSI R Bull CBBC Sep 2014 H	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/09/29	300,000,000	2014/04/01
68971	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	21,825 per 10,000 units	21,825 per 10,000 units	2014/11/27	300,000,000	2014/04/01
68988	UBS AG	HSI R Bull CBBC Dec 2014 V	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	300,000,000	2014/04/01
69002	UBS AG	HSI R Bear CBBC Jul 2014 N	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/07/30	300,000,000	2014/04/01
69003	UBS AG	HSI R Bear CBBC Jul 2014 O	0.250	21,933 per 10,000 units	21,733 per 10,000 units	2014/07/30	300,000,000	2014/04/01
68996	UBS AG	TCH R Bear CBBC Sep 2014 K	0.365	603 per 100 units	590 per 100 units	2014/09/08	40,000,000	2014/04/01
69049	Credit Suisse AG	HSI R Bull CBBC Sep 2014 D	0.250	21,765 per 10,000 units	21,965 per 10,000 units	2014/09/29	238,000,000	2014/04/02
69047	Credit Suisse AG	HSI R Bull CBBC Sep 2014 I	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/09/29	238,000,000	2014/04/02
69048	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Z	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/09/29	238,000,000	2014/04/02
69023	Credit Suisse AG	HSI R Bear CBBC Jul 2014 K	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	238,000,000	2014/04/02
69021	Credit Suisse AG	HSI R Bear CBBC Jul 2014 U	0.250	21,938 per 10,000 units	21,738 per 10,000 units	2014/07/30	238,000,000	2014/04/02
69022	Credit Suisse AG	HSI R Bear CBBC Aug 2014 H	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/08/28	238,000,000	2014/04/02
69055	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 A	0.338	23,788 per 7,000 units	23,588 per 7,000 units	2014/08/28	150,000,000	2014/04/02
69056	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 B	0.348	23,988 per 7,000 units	23,788 per 7,000 units	2014/08/28	150,000,000	2014/04/02

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69057	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 C	0.284	23,938 per 20,000 units	23,638 per 20,000 units	2014/08/28	150,000,000	2014/04/02
69007	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 G	0.250	78.27 per 100 units	75.27 per 100 units	2014/12/15	60,000,000	2014/04/02
69011	HK Bank	HSI R Bear CBBC Nov 2014 K	0.250	21,988 per 15,000 units	21,788 per 15,000 units	2014/11/27	100,000,000	2014/04/02
69005	HK Bank	TCH R Bear CBBC Dec 2014 C	0.350	588.88 per 100 units	578.88 per 100 units	2014/12/15	50,000,000	2014/04/02
69006	HK Bank	TCH R Bear CBBC Dec 2014 D	0.250	578.88 per 100 units	568.88 per 100 units	2014/12/08	50,000,000	2014/04/02
69015	HK Bank	TCH R Bear CBBC Dec 2014 E	0.450	598.88 per 100 units	588.88 per 100 units	2014/12/22	50,000,000	2014/04/02
69058	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 H	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/11/27	300,000,000	2014/04/02
69060	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 T	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	300,000,000	2014/04/02
69065	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 P	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2014/04/02
69061	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 D	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2014/04/02
69062	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 E	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2014/04/02
69066	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 F	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/04/02
69040	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 E	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	50,000,000	2014/04/02
69041	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 F	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/08/28	50,000,000	2014/04/02
69052	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 Q	0.250	21,480 per 20,000 units	21,680 per 20,000 units	2015/02/26	100,000,000	2014/04/02
69020	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 K	0.250	22,680 per 20,000 units	22,480 per 20,000 units	2014/11/27	100,000,000	2014/04/02
69054	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 L	0.250	22,980 per 10,000 units	22,780 per 10,000 units	2014/11/27	100,000,000	2014/04/02
69027	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14A	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2014/11/27	200,000,000	2014/04/02
69042	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14H	0.250	21,838 per 10,000 units	21,938 per 10,000 units	2014/11/27	200,000,000	2014/04/02
69028	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14U	0.250	21,838 per 10,000 units	21,738 per 10,000 units	2014/07/30	200,000,000	2014/04/02
69029	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14V	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2014/07/30	200,000,000	2014/04/02
69043	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14W	0.250	22,338 per 10,000 units	22,238 per 10,000 units	2014/07/30	200,000,000	2014/04/02
69037	UBS AG	CC Bank R Bull CBBC Dec 2014 B	0.250	4.7	5	2014/12/15	100,000,000	2014/04/02
69034	UBS AG	CSOP A50 ETF R Bull CBBC Feb 2015 B	0.250	7.9	8.2	2015/02/09	50,000,000	2014/04/02
69032	UBS AG	A50 China R Bull CBBC Feb 2015 C	0.250	7.85	8.15	2015/02/02	100,000,000	2014/04/02
69031	UBS AG	A50 China R Bull CBBC Mar 2015 D	0.250	7.95	8.25	2015/03/23	100,000,000	2014/04/02
69030	UBS AG	HSCEI R Bull CBBC Dec 2014 F	0.250	9,300 per 10,000 units	9,500 per 10,000 units	2014/12/30	100,000,000	2014/04/02
69045	UBS AG	HSI R Bull CBBC Sep 2014 M	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/09/29	300,000,000	2014/04/02
69044	UBS AG	HSI R Bull CBBC Oct 2014 Y	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/10/30	300,000,000	2014/04/02
69046	UBS AG	HSI R Bull CBBC Nov 2014 J	0.250	21,765 per 10,000 units	21,965 per 10,000 units	2014/11/27	300,000,000	2014/04/02
69026	UBS AG	HSI R Bear CBBC Jul 2014 P	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/07/30	300,000,000	2014/04/02
69024	UBS AG	HSI R Bear CBBC Aug 2014 K	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/08/28	300,000,000	2014/04/02
69038	UBS AG	Petrochina R Bull CBBC Nov 2014 B	0.250	7.2	7.6	2014/11/17	50,000,000	2014/04/02
69039	UBS AG	TCH R Bear CBBC Oct 2014 H	0.250	583 per 100 units	570 per 100 units	2014/10/20	40,000,000	2014/04/02
69093	Credit Suisse AG	Galaxy Ent R Bull CBBC Nov 2014 A	0.250	53.80 per 100 units	56.30 per 100 units	2014/11/28	50,000,000	2014/04/03
69094	Credit Suisse AG	Galaxy Ent R Bull CBBC Nov 2014 B	0.250	49.30 per 100 units	51.80 per 100 units	2014/11/28	50,000,000	2014/04/03
69078	Credit Suisse AG	HSI R Bull CBBC Dec 2014 A	0.250	21,488 per 10,000 units	21,688 per 10,000 units	2014/12/30	238,000,000	2014/04/03
69076	Credit Suisse AG	HSI R Bull CBBC Dec 2014 P	0.250	21,687 per 10,000 units	21,887 per 10,000 units	2014/12/30	238,000,000	2014/04/03
69101	Credit Suisse AG	HSI R Bear CBBC Aug 2014 I	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/08/28	238,000,000	2014/04/03
69102	Credit Suisse AG	HSI R Bear CBBC Aug 2014 R	0.250	21,986 per 10,000 units	21,786 per 10,000 units	2014/08/28	238,000,000	2014/04/03
69095	Credit Suisse AG	TCH R Bull CBBC Nov 2014 A	0.740	449 per 100 units	460.80 per 100 units	2014/11/28	50,000,000	2014/04/03
69096	Credit Suisse AG	TCH R Bull CBBC Nov 2014 B	0.940	429 per 100 units	440.80 per 100 units	2014/11/28	50,000,000	2014/04/03
69097	Credit Suisse AG	TCH R Bear CBBC Aug 2014 A	0.570	574.80 per 100 units	563 per 100 units	2014/08/29	50,000,000	2014/04/03

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69106	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 D	0.259	22,088 per 7,000 units	21,888 per 7,000 units	2014/08/28	150,000,000	2014/04/03
69069	HK Bank	HSCEI R Bull CBBC Oct 2014 E	0.250	9,488 per 10,000 units	9,688 per 10,000 units	2014/10/30	100,000,000	2014/04/03
69067	HK Bank	HSI R Bear CBBC Oct 2014 R	0.250	22,188 per 15,000 units	21,988 per 15,000 units	2014/10/30	100,000,000	2014/04/03
69070	HK Bank	HSI R Bear CBBC Dec 2014 G	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2014/12/30	100,000,000	2014/04/03
69074	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 X	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/11/27	300,000,000	2014/04/03
69073	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 U	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/12/30	300,000,000	2014/04/03
69108	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 V	0.250	20,825 per 10,000 units	20,825 per 10,000 units	2014/12/30	300,000,000	2014/04/03
69109	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 D	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2015/03/30	300,000,000	2014/04/03
69075	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 T	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/07/30	300,000,000	2014/04/03
69110	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 G	0.250	22,825 per 10,000 units	22,625 per 10,000 units	2014/08/28	300,000,000	2014/04/03
69111	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2014/04/03
69112	Standard Chartered Bank	Galaxy Ent R Bull CBBC Sep 2014 E	0.250	50.38 per 100 units	52.88 per 100 units	2014/09/25	50,000,000	2014/04/03
69116	Standard Chartered Bank	Galaxy Ent R Bear CBBC Sep 2014 C	0.250	76.88 per 100 units	73.88 per 100 units	2014/09/25	50,000,000	2014/04/03
69098	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14J	0.250	21,638 per 10,000 units	21,738 per 10,000 units	2014/11/27	200,000,000	2014/04/03
69099	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14X	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2014/07/30	200,000,000	2014/04/03
69100	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14Y	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2014/07/30	200,000,000	2014/04/03
69092	UBS AG	HSI R Bull CBBC Oct 2014 F	0.250	21,687 per 10,000 units	21,887 per 10,000 units	2014/10/30	300,000,000	2014/04/03
69104	UBS AG	HSI R Bear CBBC Jul 2014 Q	0.250	21,986 per 10,000 units	21,786 per 10,000 units	2014/07/30	300,000,000	2014/04/03
69103	UBS AG	HSI R Bear CBBC Aug 2014 L	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/04/03
69091	UBS AG	TCH R Bear CBBC Jul 2014 G	0.250	543 per 100 units	530 per 100 units	2014/07/21	40,000,000	2014/04/03
69081	UBS AG	TCH R Bear CBBC Aug 2014 G	0.740	593 per 100 units	580 per 100 units	2014/08/25	40,000,000	2014/04/03
69082	UBS AG	TCH R Bear CBBC Aug 2014 H	0.435	563 per 100 units	550 per 100 units	2014/08/04	40,000,000	2014/04/03
69164	Credit Suisse AG	HSI R Bull CBBC Oct 2014 H	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/10/30	238,000,000	2014/04/04
69165	Credit Suisse AG	HSI R Bull CBBC Oct 2014 I	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/10/30	238,000,000	2014/04/04
69167	Credit Suisse AG	HSI R Bull CBBC Oct 2014 M	0.250	21,913 per 10,000 units	22,113 per 10,000 units	2014/10/30	238,000,000	2014/04/04
69132	Credit Suisse AG	HSI R Bear CBBC Jul 2014 F	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/07/30	238,000,000	2014/04/04
69131	Credit Suisse AG	HSI R Bear CBBC Jul 2014 L	0.250	22,188 per 10,000 units	21,988 per 10,000 units	2014/07/30	238,000,000	2014/04/04
69130	Credit Suisse AG	HSI R Bear CBBC Jul 2014 O	0.250	22,035 per 10,000 units	21,835 per 10,000 units	2014/07/30	238,000,000	2014/04/04
69157	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 A	0.263	21,788 per 7,000 units	21,988 per 7,000 units	2014/08/28	150,000,000	2014/04/04
69158	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 B	0.253	21,638 per 20,000 units	21,938 per 20,000 units	2014/08/28	150,000,000	2014/04/04
69117	HK Bank	China Mobile R Bull CBBC Jan 2015 C	0.250	63.68 per 100 units	66.18 per 100 units	2015/01/30	120,000,000	2014/04/04
69118	HK Bank	Huishan Dairy R Bull CBBC Dec 2015 A	0.250	1.45	1.75	2015/12/21	40,000,000	2014/04/04
69119	HK Bank	TCH R Bear CBBC Jan 2015 A	0.500	568.88 per 100 units	558.88 per 100 units	2015/01/19	50,000,000	2014/04/04
69120	HK Bank	TCH R Bear CBBC Jan 2015 B	0.400	558.88 per 100 units	548.88 per 100 units	2015/01/12	50,000,000	2014/04/04
69121	HK Bank	TCH R Bear CBBC Jan 2015 C	0.300	548.88 per 100 units	538.88 per 100 units	2015/01/05	50,000,000	2014/04/04
69150	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/10/30	300,000,000	2014/04/04
69146	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Z	0.250	21,525 per 10,000 units	21,725 per 10,000 units	2014/10/30	300,000,000	2014/04/04
69144	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 R	0.250	21,325 per 10,000 units	21,525 per 10,000 units	2014/11/27	300,000,000	2014/04/04
69148	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 U	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/11/27	300,000,000	2014/04/04
69147	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 S	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/12/30	300,000,000	2014/04/04
69137	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 W	0.250	21,125 per 10,000 units	21,325 per 10,000 units	2014/12/30	300,000,000	2014/04/04
69155	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 A	0.250	20,825 per 10,000 units	21,025 per 10,000 units	2015/01/29	300,000,000	2014/04/04
69154	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 E	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2015/03/30	300,000,000	2014/04/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69151	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 F	0.250	22,975 per 10,000 units	22,775 per 10,000 units	2014/07/30	300,000,000	2014/04/04
69153	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 G	0.250	22,375 per 10,000 units	22,175 per 10,000 units	2014/07/30	300,000,000	2014/04/04
69123	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 H	0.250	22,175 per 10,000 units	21,975 per 10,000 units	2014/07/30	300,000,000	2014/04/04
69125	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 J	0.250	22,035 per 10,000 units	21,835 per 10,000 units	2014/07/30	300,000,000	2014/04/04
69122	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 I	0.250	22,275 per 10,000 units	22,075 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69152	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 J	0.250	22,625 per 10,000 units	22,425 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69183	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 K	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69184	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 L	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69185	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 M	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69191	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 N	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69192	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2014/04/04
69168	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 R	0.250	21,780 per 10,000 units	21,980 per 10,000 units	2015/02/26	100,000,000	2014/04/04
69162	Standard Chartered Bank	TCH R Bear CBBC Oct 2014 K	0.680	593.88 per 100 units	578.88 per 100 units	2014/10/15	50,000,000	2014/04/04
69159	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14O	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2014/11/27	200,000,000	2014/04/04
69160	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14E	0.250	22,238 per 10,000 units	22,138 per 10,000 units	2014/07/30	200,000,000	2014/04/04
69126	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14Z	0.250	22,038 per 10,000 units	21,938 per 10,000 units	2014/07/30	200,000,000	2014/04/04
69176	UBS AG	HSCEI R Bull CBBC Nov 2014 G	0.250	9,500 per 10,000 units	9,700 per 10,000 units	2014/11/27	100,000,000	2014/04/04
69172	UBS AG	HSCEI R Bear CBBC Jul 2014 A	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2014/07/30	100,000,000	2014/04/04
69174	UBS AG	HSCEI R Bear CBBC Jul 2014 B	0.250	11,000 per 10,000 units	10,800 per 10,000 units	2014/07/30	100,000,000	2014/04/04
69171	UBS AG	HSCEI R Bear CBBC Aug 2014 A	0.250	11,750 per 10,000 units	11,550 per 10,000 units	2014/08/28	100,000,000	2014/04/04
69169	UBS AG	HSI R Bull CBBC Nov 2014 C	0.250	21,913 per 10,000 units	22,113 per 10,000 units	2014/11/27	300,000,000	2014/04/04
69170	UBS AG	HSI R Bull CBBC Dec 2014 X	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/04/04
69135	UBS AG	HSI R Bear CBBC Jul 2014 B	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2014/04/04
69136	UBS AG	HSI R Bear CBBC Sep 2014 C	0.250	22,035 per 10,000 units	21,835 per 10,000 units	2014/09/29	300,000,000	2014/04/04
69182	UBS AG	TCH R Bull CBBC Oct 2014 D	0.275	507 per 100 units	520 per 100 units	2014/10/20	40,000,000	2014/04/04
69177	UBS AG	TCH R Bear CBBC Sep 2014 L	0.395	573 per 100 units	560 per 100 units	2014/09/15	40,000,000	2014/04/04
69208	Credit Suisse AG	HSI R Bull CBBC Nov 2014 D	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/11/27	238,000,000	2014/04/07
69209	Credit Suisse AG	HSI R Bull CBBC Nov 2014 E	0.250	21,951 per 10,000 units	22,151 per 10,000 units	2014/11/27	238,000,000	2014/04/07
69196	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	22,266 per 10,000 units	22,066 per 10,000 units	2014/07/30	238,000,000	2014/04/07
69197	Credit Suisse AG	HSI R Bear CBBC Jul 2014 I	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/07/30	238,000,000	2014/04/07
69207	Credit Suisse AG	HSI R Bear CBBC Aug 2014 S	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/08/28	238,000,000	2014/04/07
69212	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 S	0.250	21,880 per 20,000 units	22,080 per 20,000 units	2015/02/26	100,000,000	2014/04/07
69211	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 M	0.250	22,580 per 10,000 units	22,380 per 10,000 units	2014/11/27	100,000,000	2014/04/07
69193	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov14 W	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2014/11/27	200,000,000	2014/04/07
69194	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 F	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2014/07/30	200,000,000	2014/04/07
69201	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 A	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/08/28	200,000,000	2014/04/07
69203	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 B	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/08/28	200,000,000	2014/04/07
69234	UBS AG	AIA R Bull CBBC Jun 2015 B	0.250	34.88 per 100 units	35.88 per 100 units	2015/06/22	100,000,000	2014/04/07
69237	UBS AG	Galaxy Ent R Bull CBBC Aug 2014 C	0.250	62 per 100 units	65 per 100 units	2014/08/18	100,000,000	2014/04/07
69231	UBS AG	HSCEI R Bear CBBC Jul 2014 C	0.250	11,330 per 10,000 units	11,130 per 10,000 units	2014/07/30	100,000,000	2014/04/07
69215	UBS AG	HSI R Bull CBBC Sep 2014 I	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/09/29	300,000,000	2014/04/07
69216	UBS AG	HSI R Bull CBBC Oct 2014 H	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/10/30	300,000,000	2014/04/07
69213	UBS AG	HSI R Bull CBBC Oct 2014 Q	0.250	21,951 per 10,000 units	22,151 per 10,000 units	2014/10/30	300,000,000	2014/04/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69198	UBS AG	HSI R Bear CBBC Jul 2014 F	0.250	22,266 per 10,000 units	22,066 per 10,000 units	2014/07/30	300,000,000	2014/04/07
69218	UBS AG	HSI R Bear CBBC Jul 2014 G	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/07/30	300,000,000	2014/04/07
69230	UBS AG	HSI R Bear CBBC Jul 2014 H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/07/30	300,000,000	2014/04/07
69214	UBS AG	HSI R Bear CBBC Jul 2014 K	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	300,000,000	2014/04/07
69223	UBS AG	HSI R Bear CBBC Jul 2014 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/07/30	300,000,000	2014/04/07
69217	UBS AG	HSI R Bear CBBC Jul 2014 Y	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/07/30	300,000,000	2014/04/07
69232	UBS AG	Huishan Dairy R Bull CBBC Nov 2015 A	0.250	1.5	1.8	2015/11/09	50,000,000	2014/04/07
69233	UBS AG	ICBC R Bull CBBC Dec 2014 B	0.250	4.25	4.5	2014/12/15	100,000,000	2014/04/07
69236	UBS AG	TCH R Bull CBBC Sep 2014 G	0.250	517 per 100 units	530 per 100 units	2014/09/22	40,000,000	2014/04/07
69235	UBS AG	TCH R Bear CBBC Aug 2014 I	0.250	553 per 100 units	540 per 100 units	2014/08/18	40,000,000	2014/04/07
69264	Credit Suisse AG	China Mobile R Bull CBBC Nov 2014 C	0.250	63.58 per 100 units	66.08 per 100 units	2014/11/28	50,000,000	2014/04/08
69262	Credit Suisse AG	Galaxy Ent R Bull CBBC Nov 2014 C	0.250	64.30 per 100 units	66.80 per 100 units	2014/11/28	50,000,000	2014/04/08
69306	Credit Suisse AG	HSI R Bull CBBC Oct 2014 K	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/10/30	238,000,000	2014/04/08
69301	Credit Suisse AG	HSI R Bull CBBC Oct 2014 O	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/10/30	238,000,000	2014/04/08
69307	Credit Suisse AG	HSI R Bull CBBC Oct 2014 S	0.250	22,248 per 10,000 units	22,448 per 10,000 units	2014/10/30	238,000,000	2014/04/08
69254	Credit Suisse AG	HSI R Bear CBBC Jul 2014 H	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/07/30	238,000,000	2014/04/08
69253	Credit Suisse AG	HSI R Bear CBBC Jul 2014 J	0.250	22,352 per 12,000 units	22,152 per 12,000 units	2014/07/30	238,000,000	2014/04/08
69255	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Q	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/07/30	238,000,000	2014/04/08
69300	Credit Suisse AG	HSI R Bear CBBC Aug 2014 T	0.250	22,638 per 10,000 units	22,438 per 10,000 units	2014/08/28	238,000,000	2014/04/08
69259	Credit Suisse AG	TCH R Bull CBBC Nov 2014 C	0.540	509 per 100 units	520.80 per 100 units	2014/11/28	50,000,000	2014/04/08
69261	Credit Suisse AG	TCH R Bull CBBC Nov 2014 D	0.340	529 per 100 units	540.80 per 100 units	2014/11/28	50,000,000	2014/04/08
69270	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 C	0.278	21,988 per 7,000 units	22,188 per 7,000 units	2014/08/28	150,000,000	2014/04/08
69272	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 D	0.261	21,938 per 20,000 units	22,238 per 20,000 units	2014/08/28	150,000,000	2014/04/08
69312	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 E	0.274	22,188 per 7,000 units	22,388 per 7,000 units	2014/08/28	150,000,000	2014/04/08
69271	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 E	0.345	24,188 per 7,000 units	23,988 per 7,000 units	2014/08/28	150,000,000	2014/04/08
69275	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 F	0.335	24,238 per 20,000 units	23,938 per 20,000 units	2014/08/28	150,000,000	2014/04/08
69248	HK Bank	China Life R Bull CBBC Dec 2014 A	0.250	19.68	20.68	2014/12/22	60,000,000	2014/04/08
69245	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 H	0.250	75.27 per 100 units	72.27 per 100 units	2014/12/18	60,000,000	2014/04/08
69241	HK Bank	HSCEI R Bull CBBC Dec 2014 D	0.250	9,688 per 10,000 units	9,888 per 10,000 units	2014/12/30	100,000,000	2014/04/08
69238	HK Bank	HSI R Bull CBBC Nov 2014 O	0.250	21,888 per 15,000 units	22,088 per 15,000 units	2014/11/27	100,000,000	2014/04/08
69240	HK Bank	HSI R Bull CBBC Nov 2014 P	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/11/27	100,000,000	2014/04/08
69239	HK Bank	HSI R Bull CBBC Dec 2014 I	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/12/30	100,000,000	2014/04/08
69244	HK Bank	Sands China R Bear CBBC Dec 2014 B	0.250	66.28 per 100 units	63.28 per 100 units	2014/12/22	50,000,000	2014/04/08
69242	HK Bank	TCH R Bull CBBC Dec 2014 A	0.350	508 per 100 units	518 per 100 units	2014/12/08	50,000,000	2014/04/08
69243	HK Bank	TCH R Bull CBBC Dec 2014 B	0.250	518 per 100 units	528 per 100 units	2014/12/01	50,000,000	2014/04/08
69303	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 H	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/09/29	50,000,000	2014/04/08
69304	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 I	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/09/29	50,000,000	2014/04/08
69258	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 A	0.250	22,480 per 12,000 units	22,280 per 12,000 units	2014/12/30	100,000,000	2014/04/08
69267	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14D	0.250	22,218 per 10,000 units	22,318 per 10,000 units	2014/11/27	200,000,000	2014/04/08
69268	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14H	0.250	22,518 per 10,000 units	22,418 per 10,000 units	2014/07/30	200,000,000	2014/04/08
69308	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug 14C	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2014/08/28	200,000,000	2014/04/08
69309	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug 14D	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2014/08/28	200,000,000	2014/04/08
69297	UBS AG	COVS R Bear CBBC Nov 2014 A	0.250	22.88	21.88	2014/11/03	50,000,000	2014/04/08

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69299	UBS AG	Cheung Kong R Bull CBBC Dec 2014 A	0.250	114 per 100 units	120 per 100 units	2014/12/01	100,000,000	2014/04/08
69294	UBS AG	China Life R Bull CBBC Oct 2014 A	0.250	19.88	20.88	2014/10/13	50,000,000	2014/04/08
69298	UBS AG	Sinopec Corp R Bull CBBC Nov 2014 B	0.250	6.2	6.5	2014/11/24	50,000,000	2014/04/08
69293	UBS AG	CSOP A50 ETF R Bull CBBC Feb 2015 C	0.250	7.2	7.5	2015/02/16	50,000,000	2014/04/08
69296	UBS AG	China Shenhua R Bull CBBC Aug 2015 A	0.250	18 per 100 units	20 per 100 units	2015/08/31	100,000,000	2014/04/08
69289	UBS AG	HSCEI R Bear CBBC Aug 2014 B	0.250	10,680 per 10,000 units	10,480 per 10,000 units	2014/08/28	100,000,000	2014/04/08
69310	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/11/27	300,000,000	2014/04/08
69311	UBS AG	HSI R Bull CBBC Dec 2014 F	0.250	22,248 per 10,000 units	22,448 per 10,000 units	2014/12/30	300,000,000	2014/04/08
69305	UBS AG	HSI R Bear CBBC Jul 2014 I	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/07/30	300,000,000	2014/04/08
69251	UBS AG	HSI R Bear CBBC Aug 2014 M	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/04/08
69252	UBS AG	HSI R Bear CBBC Aug 2014 N	0.250	22,352 per 10,000 units	22,152 per 10,000 units	2014/08/28	300,000,000	2014/04/08
69279	UBS AG	HSI R Bear CBBC Aug 2014 O	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/08/28	300,000,000	2014/04/08
69282	UBS AG	HSI R Bear CBBC Aug 2014 P	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/08/28	300,000,000	2014/04/08
69283	UBS AG	HSI R Bear CBBC Aug 2014 Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2014/04/08
69276	UBS AG	HSI R Bear CBBC Sep 2014 D	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/09/29	300,000,000	2014/04/08
69278	UBS AG	HSI R Bear CBBC Sep 2014 E	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/09/29	300,000,000	2014/04/08
69349	Credit Suisse AG	HSI R Bull CBBC Dec 2014 B	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/12/30	238,000,000	2014/04/09
69353	Credit Suisse AG	HSI R Bull CBBC Dec 2014 C	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	238,000,000	2014/04/09
69354	Credit Suisse AG	HSI R Bull CBBC Dec 2014 D	0.250	22,335 per 10,000 units	22,535 per 10,000 units	2014/12/30	238,000,000	2014/04/09
69330	Credit Suisse AG	HSI R Bear CBBC Jul 2014 P	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/07/30	238,000,000	2014/04/09
69331	Credit Suisse AG	HSI R Bear CBBC Jul 2014 T	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/07/30	238,000,000	2014/04/09
69363	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Jan 2015 A	0.250	34.88 per 100 units	35.88 per 100 units	2015/01/12	100,000,000	2014/04/09
69381	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jan15A	0.250	57 per 100 units	59.50 per 100 units	2015/01/12	80,000,000	2014/04/09
69382	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jan15B	0.250	62.50 per 100 units	65 per 100 units	2015/01/12	80,000,000	2014/04/09
69384	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jan15C	0.250	65 per 100 units	67.50 per 100 units	2015/01/12	80,000,000	2014/04/09
69383	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jan15A	0.250	77 per 100 units	74.50 per 100 units	2015/01/12	80,000,000	2014/04/09
69364	Citigroup Global Mkt H Inc.	CNOOC R Bear CBBC Jan 2015 A	0.250	14.18	13.38	2015/01/12	50,000,000	2014/04/09
69369	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Jan15A	0.250	6.28	6.58	2015/01/12	60,000,000	2014/04/09
69380	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Jan 15 A	0.250	7.9	8.2	2015/01/12	100,000,000	2014/04/09
69371	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jan 15A	0.250	63 per 100 units	65.50 per 100 units	2015/01/12	60,000,000	2014/04/09
69372	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jan 15B	0.250	65.50 per 100 units	68 per 100 units	2015/01/12	60,000,000	2014/04/09
69375	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Jan 15A	0.250	78 per 100 units	75.50 per 100 units	2015/01/12	60,000,000	2014/04/09
69362	Citigroup Global Mkt H Inc.	HSBC R Bull CBBC Jan 2015 A	0.250	74 per 100 units	76.80 per 100 units	2015/01/12	80,000,000	2014/04/09
69365	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Jan 2015 A	0.250	7.48	7.88	2015/01/12	50,000,000	2014/04/09
69368	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Jan 2015 B	0.250	7.88	8.28	2015/01/12	50,000,000	2014/04/09
69370	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Jan15A	0.250	55 per 100 units	58 per 100 units	2015/01/12	60,000,000	2014/04/09
69385	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 B	0.600	505 per 100 units	518 per 100 units	2015/01/12	50,000,000	2014/04/09
69386	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 C	0.480	515 per 100 units	528 per 100 units	2015/01/12	50,000,000	2014/04/09
69387	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 D	0.380	525 per 100 units	538 per 100 units	2015/01/12	50,000,000	2014/04/09
69388	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 E	0.280	535 per 100 units	548 per 100 units	2015/01/12	50,000,000	2014/04/09
69389	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 F	0.250	545 per 100 units	558 per 100 units	2015/01/12	50,000,000	2014/04/09
69359	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Jan 2015 A	0.250	581 per 100 units	568 per 100 units	2015/01/12	50,000,000	2014/04/09
69360	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Jan 2015 B	0.300	591 per 100 units	578 per 100 units	2015/01/12	50,000,000	2014/04/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69361	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Jan 2015 C	0.400	601 per 100 units	588 per 100 units	2015/01/12	50,000,000	2014/04/09
69314	HK Bank	Galaxy Ent R Bull CBBC Jan 2015 A	0.250	63.27 per 100 units	66.27 per 100 units	2015/01/30	80,000,000	2014/04/09
69313	HK Bank	HSI R Bull CBBC Nov 2014 Q	0.250	21,128 per 20,000 units	21,428 per 20,000 units	2014/11/27	400,000,000	2014/04/09
69316	HK Bank	Sands China R Bull CBBC Jan 2015 A	0.250	55.18 per 100 units	58.18 per 100 units	2015/01/30	60,000,000	2014/04/09
69319	HK Bank	TCH R Bull CBBC Nov 2014 O	0.400	528 per 100 units	538 per 100 units	2014/11/24	50,000,000	2014/04/09
69320	HK Bank	TCH R Bull CBBC Nov 2014 P	0.300	538 per 100 units	548 per 100 units	2014/11/27	50,000,000	2014/04/09
69329	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2014 G	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/08/28	50,000,000	2014/04/09
69321	Standard Chartered Bank	Galaxy Ent R Bull CBBC Oct 2014 A	0.250	61.88 per 100 units	64.88 per 100 units	2014/10/23	50,000,000	2014/04/09
69343	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 T	0.250	21,980 per 12,000 units	22,180 per 12,000 units	2015/02/26	100,000,000	2014/04/09
69344	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 U	0.250	22,180 per 12,000 units	22,380 per 12,000 units	2015/02/26	100,000,000	2014/04/09
69326	Standard Chartered Bank	Sands China R Bull CBBC Nov 2014 C	0.250	55.88 per 100 units	58.88 per 100 units	2014/11/24	50,000,000	2014/04/09
69327	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 E	0.620	503.88 per 100 units	518.88 per 100 units	2014/11/03	50,000,000	2014/04/09
69348	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14C	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/11/27	200,000,000	2014/04/09
69345	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec 14V	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/12/30	200,000,000	2014/04/09
69328	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul 14I	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2014/07/30	200,000,000	2014/04/09
69358	UBS AG	Cheung Kong R Bear CBBC Jul 2014 A	0.250	149 per 100 units	143 per 100 units	2014/07/21	100,000,000	2014/04/09
69342	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 G	0.250	64.50 per 100 units	67.50 per 100 units	2014/10/20	100,000,000	2014/04/09
69334	UBS AG	HKEx R Bull CBBC Nov 2014 B	0.250	110 per 100 units	115 per 100 units	2014/11/17	100,000,000	2014/04/09
69355	UBS AG	HSI R Bull CBBC Sep 2014 L	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/09/29	300,000,000	2014/04/09
69356	UBS AG	HSI R Bull CBBC Oct 2014 I	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/10/30	300,000,000	2014/04/09
69357	UBS AG	HSI R Bull CBBC Nov 2014 D	0.250	22,335 per 10,000 units	22,535 per 10,000 units	2014/11/27	300,000,000	2014/04/09
69333	UBS AG	HSI R Bear CBBC Jul 2014 J	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/07/30	300,000,000	2014/04/09
69339	UBS AG	Sands China R Bull CBBC Sep 2014 C	0.250	54.50 per 100 units	57.50 per 100 units	2014/09/22	100,000,000	2014/04/09
69338	UBS AG	Sands China R Bear CBBC Oct 2014 C	0.250	73 per 100 units	70 per 100 units	2014/10/06	50,000,000	2014/04/09
69340	UBS AG	TCH R Bull CBBC Aug 2014 I	0.250	547 per 100 units	560 per 100 units	2014/08/25	40,000,000	2014/04/09
69341	UBS AG	TCH R Bull CBBC Oct 2014 E	0.400	527 per 100 units	540 per 100 units	2014/10/06	40,000,000	2014/04/09
69465	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 D	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69455	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 E	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69470	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 G	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69458	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 L	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69459	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 M	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69462	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 O	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69464	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 P	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69454	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 U	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69444	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 U	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2015/02/26	300,000,000	2014/04/10
69445	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 V	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2015/02/26	300,000,000	2014/04/10
69451	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 W	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2015/02/26	300,000,000	2014/04/10
69453	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2015 X	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2015/02/26	300,000,000	2014/04/10
69475	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 J	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69476	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 K	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69477	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 L	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69478	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 M	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69483	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 N	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/09/29	300,000,000	2014/04/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69484	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 O	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69485	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 P	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69489	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 Q	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69434	Credit Suisse AG	HSI R Bull CBBC Nov 2014 H	0.250	22,411 per 10,000 units	22,611 per 10,000 units	2014/11/27	238,000,000	2014/04/10
69431	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Q	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/11/27	238,000,000	2014/04/10
69433	Credit Suisse AG	HSI R Bull CBBC Nov 2014 R	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	238,000,000	2014/04/10
69403	Credit Suisse AG	HSI R Bear CBBC Jul 2014 K	0.250	22,724 per 10,000 units	22,524 per 10,000 units	2014/07/30	238,000,000	2014/04/10
69404	Credit Suisse AG	HSI R Bear CBBC Jul 2014 U	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/07/30	238,000,000	2014/04/10
69428	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 F	0.277	22,388 per 7,000 units	22,588 per 7,000 units	2014/08/28	150,000,000	2014/04/10
69429	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 G	0.272	22,238 per 20,000 units	22,538 per 20,000 units	2014/08/28	150,000,000	2014/04/10
69439	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 G	0.272	22,788 per 7,000 units	22,588 per 7,000 units	2014/08/28	150,000,000	2014/04/10
69393	HK Bank	COVS R Bull CBBC Jan 2015 A	0.300	18.18	19.18	2015/01/30	50,000,000	2014/04/10
69395	HK Bank	Cheung Kong R Bull CBBC Dec 2014 B	0.250	117.18 per 100 units	123.18 per 100 units	2014/12/30	60,000,000	2014/04/10
69390	HK Bank	HSI R Bull CBBC Nov 2014 R	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/11/27	100,000,000	2014/04/10
69392	HK Bank	HSI R Bull CBBC Nov 2014 S	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/11/27	100,000,000	2014/04/10
69430	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 J	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/09/29	50,000,000	2014/04/10
69407	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 B	0.250	22,880 per 12,000 units	22,680 per 12,000 units	2014/12/30	100,000,000	2014/04/10
69424	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 W	0.250	22,318 per 10,000 units	22,418 per 10,000 units	2014/12/30	200,000,000	2014/04/10
69426	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 J	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/07/30	200,000,000	2014/04/10
69427	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 K	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/07/30	200,000,000	2014/04/10
69411	UBS AG	AIA R Bull CBBC Dec 2014 A	0.250	35.88 per 100 units	36.88 per 100 units	2014/12/29	100,000,000	2014/04/10
69412	UBS AG	China Mobile R Bull CBBC Nov 2014 D	0.250	65 per 100 units	67.50 per 100 units	2014/11/03	100,000,000	2014/04/10
69422	UBS AG	HSBC R Bull CBBC Dec 2014 B	0.250	74.70 per 100 units	77.50 per 100 units	2014/12/15	100,000,000	2014/04/10
69410	UBS AG	HSCEI R Bull CBBC Dec 2014 G	0.250	9,700 per 10,000 units	9,900 per 10,000 units	2014/12/30	100,000,000	2014/04/10
69436	UBS AG	HSI R Bull CBBC Sep 2014 X	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69435	UBS AG	HSI R Bull CBBC Oct 2014 J	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/10/30	300,000,000	2014/04/10
69437	UBS AG	HSI R Bull CBBC Oct 2014 O	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/10/30	300,000,000	2014/04/10
69438	UBS AG	HSI R Bull CBBC Dec 2014 G	0.250	22,411 per 10,000 units	22,611 per 10,000 units	2014/12/30	300,000,000	2014/04/10
69399	UBS AG	HSI R Bear CBBC Aug 2014 R	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/04/10
69400	UBS AG	HSI R Bear CBBC Sep 2014 F	0.250	22,724 per 10,000 units	22,524 per 10,000 units	2014/09/29	300,000,000	2014/04/10
69413	UBS AG	Petrochina R Bull CBBC Oct 2014 C	0.250	7.6	8	2014/10/13	50,000,000	2014/04/10
69416	UBS AG	TCH R Bear CBBC Aug 2014 J	0.250	563 per 100 units	550 per 100 units	2014/08/25	40,000,000	2014/04/10
69415	UBS AG	TCH R Bear CBBC Sep 2014 M	0.350	583 per 100 units	570 per 100 units	2014/09/22	40,000,000	2014/04/10
69492	Credit Suisse AG	China Mobile R Bull CBBC Nov 2014 D	0.250	66.58 per 100 units	69.08 per 100 units	2014/11/28	50,000,000	2014/04/11
69506	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 E	0.250	7.75	8.05	2014/12/30	50,000,000	2014/04/11
69508	Credit Suisse AG	A50 China R Bear CBBC Aug 2014 C	0.250	8.98	8.68	2014/08/29	50,000,000	2014/04/11
69493	Credit Suisse AG	Galaxy Ent R Bull CBBC Nov 2014 D	0.250	67.30 per 100 units	69.80 per 100 units	2014/11/28	50,000,000	2014/04/11
69524	Credit Suisse AG	HSI R Bull CBBC Oct 2014 E	0.250	22,365 per 10,000 units	22,565 per 10,000 units	2014/10/30	238,000,000	2014/04/11
69541	Credit Suisse AG	HSI R Bear CBBC Jul 2014 E	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/07/30	238,000,000	2014/04/11
69542	Credit Suisse AG	HSI R Bear CBBC Jul 2014 F	0.250	22,708 per 10,000 units	22,508 per 10,000 units	2014/07/30	238,000,000	2014/04/11
69540	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Z	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/07/30	238,000,000	2014/04/11
69495	Credit Suisse AG	TCH R Bear CBBC Aug 2014 B	0.410	584.80 per 100 units	573 per 100 units	2014/08/29	50,000,000	2014/04/11
69505	Credit Suisse AG	TCH R Bear CBBC Aug 2014 C	0.250	564.80 per 100 units	553 per 100 units	2014/08/29	50,000,000	2014/04/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69534	Standard Chartered Bank	HKEx R Bull CBBC Aug 2014 B	0.250	110 per 100 units	115 per 100 units	2014/08/28	50,000,000	2014/04/11
69535	Standard Chartered Bank	HKEx R Bear CBBC Jul 2014 B	0.250	150 per 100 units	145 per 100 units	2014/07/30	50,000,000	2014/04/11
69527	UBS AG	COVS R Bull CBBC Sep 2014 B	0.250	18.2	19.2	2014/09/22	50,000,000	2014/04/11
69529	UBS AG	Cheung Kong R Bull CBBC Oct 2014 A	0.250	119 per 100 units	125 per 100 units	2014/10/27	100,000,000	2014/04/11
69528	UBS AG	HKEx R Bull CBBC Oct 2014 B	0.250	115 per 100 units	120 per 100 units	2014/10/27	100,000,000	2014/04/11
69491	UBS AG	HSI R Bull CBBC Dec 2014 H	0.250	22,365 per 10,000 units	22,565 per 10,000 units	2014/12/30	300,000,000	2014/04/11
69537	UBS AG	HSI R Bull CBBC Feb 2015 G	0.250	20,900 per 38,000 units	21,300 per 38,000 units	2015/02/26	300,000,000	2014/04/11
69539	UBS AG	HSI R Bear CBBC Aug 2014 S	0.250	22,708 per 10,000 units	22,508 per 10,000 units	2014/08/28	300,000,000	2014/04/11
69538	UBS AG	HSI R Bear CBBC Sep 2014 G	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2014/04/11
69526	UBS AG	TCH R Bull CBBC Oct 2014 F	0.250	507 per 100 units	520 per 100 units	2014/10/20	40,000,000	2014/04/11
69525	UBS AG	TCH R Bear CBBC Sep 2014 N	0.250	543 per 100 units	530 per 100 units	2014/09/08	40,000,000	2014/04/11
69572	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2014 D	0.250	9,400 per 5,000 units	9,600 per 5,000 units	2014/12/30	200,000,000	2014/04/14
69568	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 H	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/12/30	300,000,000	2014/04/14
69570	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 R	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/09/29	300,000,000	2014/04/14
69571	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 S	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/09/29	300,000,000	2014/04/14
69559	Credit Suisse AG	HSI R Bull CBBC Oct 2014 F	0.250	22,310 per 10,000 units	22,510 per 10,000 units	2014/10/30	238,000,000	2014/04/14
69573	Credit Suisse AG	HSI R Bear CBBC Jul 2014 L	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/07/30	238,000,000	2014/04/14
69574	Credit Suisse AG	HSI R Bear CBBC Jul 2014 O	0.250	22,577 per 12,000 units	22,377 per 12,000 units	2014/07/30	238,000,000	2014/04/14
69583	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Nov 2014 B	0.960	406 per 100 units	418 per 100 units	2014/11/28	50,000,000	2014/04/14
69585	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Nov 2014 C	0.760	426 per 100 units	438 per 100 units	2014/11/21	50,000,000	2014/04/14
69588	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Nov 2014 D	0.560	446 per 100 units	458 per 100 units	2014/11/21	50,000,000	2014/04/14
69589	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Nov 2014 C	0.400	541 per 100 units	528 per 100 units	2014/11/21	50,000,000	2014/04/14
69593	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Nov 2014 D	0.500	551 per 100 units	538 per 100 units	2014/11/21	50,000,000	2014/04/14
69595	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Nov 2014 E	0.600	561 per 100 units	548 per 100 units	2014/11/28	50,000,000	2014/04/14
69596	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Nov 2014 F	0.700	571 per 100 units	558 per 100 units	2014/11/28	50,000,000	2014/04/14
69561	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 H	0.279	22,588 per 7,000 units	22,388 per 7,000 units	2014/08/28	150,000,000	2014/04/14
69562	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 I	0.257	22,738 per 20,000 units	22,438 per 20,000 units	2014/08/28	150,000,000	2014/04/14
69557	HK Bank	AIA R Bull CBBC Dec 2014 A	0.250	35.08 per 100 units	36.08 per 100 units	2014/12/22	60,000,000	2014/04/14
69558	HK Bank	China Mobile R Bull CBBC Feb 2015 D	0.250	65.68 per 100 units	68.18 per 100 units	2015/02/09	120,000,000	2014/04/14
69551	HK Bank	HKEx R Bull CBBC Dec 2014 A	0.250	112.88 per 100 units	117.88 per 100 units	2014/12/30	60,000,000	2014/04/14
69553	HK Bank	HKEx R Bull CBBC Dec 2014 B	0.250	117.88 per 100 units	122.88 per 100 units	2014/12/15	60,000,000	2014/04/14
69545	HK Bank	HSI R Bull CBBC Oct 2014 S	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/10/30	100,000,000	2014/04/14
69546	HK Bank	HSI R Bull CBBC Nov 2014 T	0.250	22,288 per 15,000 units	22,488 per 15,000 units	2014/11/27	100,000,000	2014/04/14
69544	HK Bank	HSI R Bear CBBC Nov 2014 L	0.250	22,788 per 15,000 units	22,588 per 15,000 units	2014/11/27	100,000,000	2014/04/14
69543	HK Bank	HSI R Bear CBBC Dec 2014 H	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/12/30	100,000,000	2014/04/14
69554	HK Bank	TCH R Bear CBBC Nov 2014 M	0.250	538.88 per 100 units	528.88 per 100 units	2014/11/20	50,000,000	2014/04/14
69567	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 V	0.250	22,080 per 20,000 units	22,280 per 20,000 units	2015/02/26	100,000,000	2014/04/14
69560	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 C	0.250	22,780 per 20,000 units	22,580 per 20,000 units	2014/12/30	100,000,000	2014/04/14
69563	UBS AG	A50 China R Bear CBBC Oct 2014 B	0.250	10.3	10	2014/10/27	100,000,000	2014/04/14
69564	UBS AG	Galaxy Ent R Bear CBBC Oct 2014 E	0.250	75.50 per 100 units	72.50 per 100 units	2014/10/13	50,000,000	2014/04/14
69565	UBS AG	HSI R Bull CBBC Sep 2014 Y	0.250	22,310 per 10,000 units	22,510 per 10,000 units	2014/09/29	300,000,000	2014/04/14
69582	UBS AG	HSI R Bear CBBC Jul 2014 L	0.250	22,577 per 10,000 units	22,377 per 10,000 units	2014/07/30	300,000,000	2014/04/14
69581	UBS AG	HSI R Bear CBBC Aug 2014 T	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/04/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69644	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 C	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	300,000,000	2014/04/15
69650	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 T	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/09/29	300,000,000	2014/04/15
69652	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 U	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/09/29	300,000,000	2014/04/15
69654	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 V	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/09/29	300,000,000	2014/04/15
69620	Credit Suisse AG	HSI R Bull CBBC Sep 2014 J	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	238,000,000	2014/04/15
69621	Credit Suisse AG	HSI R Bull CBBC Sep 2014 K	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/09/29	238,000,000	2014/04/15
69624	Credit Suisse AG	HSI R Bull CBBC Sep 2014 R	0.250	22,396 per 10,000 units	22,596 per 10,000 units	2014/09/29	238,000,000	2014/04/15
69603	Credit Suisse AG	HSI R Bear CBBC Aug 2014 U	0.250	22,578 per 10,000 units	22,378 per 10,000 units	2014/08/28	238,000,000	2014/04/15
69605	Credit Suisse AG	HSI R Bear CBBC Aug 2014 V	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2014/08/28	238,000,000	2014/04/15
69657	Citigroup Global Mkt H Inc.	Cheung Kong R Bull CBBC Nov14A	0.250	122 per 100 units	128 per 100 units	2014/11/28	50,000,000	2014/04/15
69655	Citigroup Global Mkt H Inc.	Cheung Kong R Bear CBBC Nov14A	0.420	174 per 100 units	168 per 100 units	2014/11/28	50,000,000	2014/04/15
69656	Citigroup Global Mkt H Inc.	Cheung Kong R Bear CBBC Nov14B	0.320	164 per 100 units	158 per 100 units	2014/11/28	50,000,000	2014/04/15
69610	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 H	0.282	22,188 per 7,000 units	22,388 per 7,000 units	2014/08/28	150,000,000	2014/04/15
69598	HK Bank	HSI R Bear CBBC Nov 2014 M	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2014/11/27	100,000,000	2014/04/15
69597	HK Bank	TCH R Bear CBBC Dec 2014 F	0.300	528.88 per 100 units	518.88 per 100 units	2014/12/05	50,000,000	2014/04/15
69617	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 K	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/09/29	50,000,000	2014/04/15
69619	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2014 C	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/07/30	50,000,000	2014/04/15
69600	Standard Chartered Bank	Sands China R Bear CBBC Nov 2014 A	0.250	69.88 per 100 units	66.88 per 100 units	2014/11/17	50,000,000	2014/04/15
69601	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 F	0.750	428.88 per 100 units	438.88 per 100 units	2014/11/19	50,000,000	2014/04/15
69602	Standard Chartered Bank	TCH R Bear CBBC Nov 2014 B	0.730	571.88 per 100 units	558.88 per 100 units	2014/11/21	50,000,000	2014/04/15
69616	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 L	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/07/30	200,000,000	2014/04/15
69642	UBS AG	HSI R Bull CBBC Oct 2014 K	0.250	22,396 per 10,000 units	22,596 per 10,000 units	2014/10/30	300,000,000	2014/04/15
69641	UBS AG	HSI R Bull CBBC Nov 2014 V	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/11/27	300,000,000	2014/04/15
69640	UBS AG	HSI R Bear CBBC Aug 2014 U	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/04/15
69625	UBS AG	Hutchison R Bear CBBC Dec 2014 A	0.250	113 per 100 units	110 per 100 units	2014/12/08	50,000,000	2014/04/15
69626	UBS AG	Ping An R Bull CBBC Nov 2014 A	0.250	59 per 100 units	62.50 per 100 units	2014/11/24	50,000,000	2014/04/15
69639	UBS AG	SHK Ppt R Bull CBBC May 2015 A	0.250	86 per 100 units	90 per 100 units	2015/05/04	50,000,000	2014/04/15
69638	UBS AG	TCH R Bull CBBC Nov 2014 C	0.285	487 per 100 units	500 per 100 units	2014/11/03	40,000,000	2014/04/15
69682	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 H	0.250	8	8.3	2014/12/30	200,000,000	2014/04/16
69809	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Q	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/12/30	300,000,000	2014/04/16
69819	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	300,000,000	2014/04/16
69822	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 S	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/12/30	300,000,000	2014/04/16
69810	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 W	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/12/30	300,000,000	2014/04/16
69811	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Y	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/12/30	300,000,000	2014/04/16
69680	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 W	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2014/04/16
69681	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2014/04/16
69844	Credit Suisse AG	HSI R Bull CBBC Oct 2014 C	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	238,000,000	2014/04/16
69891	Credit Suisse AG	HSI R Bull CBBC Oct 2014 W	0.250	22,643 per 10,000 units	22,843 per 10,000 units	2014/10/30	238,000,000	2014/04/16
69836	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Z	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	238,000,000	2014/04/16
69830	Credit Suisse AG	HSI R Bull CBBC Nov 2014 P	0.250	21,088 per 20,000 units	21,388 per 20,000 units	2014/11/27	238,000,000	2014/04/16
69831	Credit Suisse AG	HSI R Bull CBBC Dec 2014 G	0.250	21,488 per 20,000 units	21,788 per 20,000 units	2014/12/30	238,000,000	2014/04/16
69672	Credit Suisse AG	HSI R Bear CBBC Jul 2014 D	0.250	22,808 per 10,000 units	22,608 per 10,000 units	2014/07/30	238,000,000	2014/04/16
69679	Credit Suisse AG	HSI R Bear CBBC Jul 2014 H	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/07/30	238,000,000	2014/04/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69673	Credit Suisse AG	HSI R Bear CBBC Jul 2014 I	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/07/30	238,000,000	2014/04/16
69911	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Jan 2015 A	0.250	4.78	5.08	2015/01/19	80,000,000	2014/04/16
69912	Citigroup Global Mkt H Inc.	CC Bank R Bull CBBC Jan 2015 B	0.250	5.08	5.38	2015/01/19	80,000,000	2014/04/16
69893	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Dec 2014 B	0.250	115 per 100 units	120 per 100 units	2014/12/15	50,000,000	2014/04/16
69897	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Dec 2014 C	0.250	120 per 100 units	125 per 100 units	2014/12/15	50,000,000	2014/04/16
69904	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Jan 2015 A	0.250	4.28	4.48	2015/01/19	80,000,000	2014/04/16
69906	Citigroup Global Mkt H Inc.	ICBC R Bull CBBC Jan 2015 B	0.250	4.48	4.68	2015/01/19	80,000,000	2014/04/16
69659	HK Bank	ABC R Bull CBBC Jan 2015 A	0.250	2.98	3.23	2015/01/28	80,000,000	2014/04/16
69662	HK Bank	BOCL R Bull CBBC Jan 2015 B	0.250	3.05	3.23	2015/01/28	80,000,000	2014/04/16
69671	HK Bank	CC Bank R Bull CBBC Nov 2014 A	0.250	4.78	5.08	2014/11/24	80,000,000	2014/04/16
69669	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 F	0.250	8.08	8.38	2015/01/22	80,000,000	2014/04/16
69667	HK Bank	A50 R Bull CBBC Jan 2015 J	0.250	8.03	8.33	2015/01/22	200,000,000	2014/04/16
69668	HK Bank	A50 R Bull CBBC Jan 2015 K	0.250	8.13	8.43	2015/01/15	200,000,000	2014/04/16
69666	HK Bank	HSCEI R Bull CBBC Nov 2014 E	0.250	9,888 per 10,000 units	10,088 per 10,000 units	2014/11/27	100,000,000	2014/04/16
69660	HK Bank	HSI R Bull CBBC Nov 2014 U	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/11/27	100,000,000	2014/04/16
69658	HK Bank	ICBC R Bull CBBC Nov 2014 B	0.250	4.28	4.53	2014/11/24	80,000,000	2014/04/16
69670	HK Bank	TCH R Bull CBBC Dec 2014 C	0.250	488 per 100 units	498 per 100 units	2014/12/01	50,000,000	2014/04/16
69837	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 L	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/09/29	50,000,000	2014/04/16
69843	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 W	0.250	22,480 per 15,000 units	22,680 per 15,000 units	2015/02/26	100,000,000	2014/04/16
69840	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 D	0.250	23,080 per 20,000 units	22,880 per 20,000 units	2014/12/30	100,000,000	2014/04/16
69684	Standard Chartered Bank	ICBC R Bear CBBC Sep 2014 B	0.250	5.73	5.48	2014/09/25	50,000,000	2014/04/16
69854	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 X	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/12/30	200,000,000	2014/04/16
69859	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Y	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/12/30	200,000,000	2014/04/16
69693	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 G	0.250	22,818 per 10,000 units	22,718 per 10,000 units	2014/07/30	200,000,000	2014/04/16
69695	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 M	0.250	22,968 per 10,000 units	22,868 per 10,000 units	2014/07/30	200,000,000	2014/04/16
69784	UBS AG	China Mobile R Bull CBBC Nov 2014 E	0.250	67.50 per 100 units	70 per 100 units	2014/11/17	100,000,000	2014/04/16
69787	UBS AG	COVS R Bear CBBC Nov 2014 B	0.340	25	24	2014/11/03	50,000,000	2014/04/16
69786	UBS AG	CC Bank R Bull CBBC Nov 2014 B	0.250	4.95	5.25	2014/11/24	50,000,000	2014/04/16
69766	UBS AG	Minsheng Bank R Bull CBBC Oct 2014 E	0.250	7.08	7.58	2014/10/13	50,000,000	2014/04/16
69802	UBS AG	Sinopec Corp R Bull CBBC Dec 2014 A	0.250	6.5	6.8	2014/12/01	50,000,000	2014/04/16
69797	UBS AG	Sinopec Corp R Bear CBBC Nov 2014 A	0.250	8.68	8.38	2014/11/03	50,000,000	2014/04/16
69762	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2015 C	0.250	8.1	8.4	2015/01/26	50,000,000	2014/04/16
69761	UBS AG	A50 China R Bull CBBC Jan 2015 D	0.250	8.08	8.38	2015/01/26	100,000,000	2014/04/16
69789	UBS AG	HKEx R Bull CBBC Dec 2014 A	0.250	120 per 100 units	125 per 100 units	2014/12/01	100,000,000	2014/04/16
69788	UBS AG	HKEx R Bear CBBC Oct 2014 A	0.250	150 per 100 units	145 per 100 units	2014/10/06	100,000,000	2014/04/16
69881	UBS AG	HSI R Bull CBBC Nov 2014 M	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	300,000,000	2014/04/16
69885	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	22,643 per 10,000 units	22,843 per 10,000 units	2014/11/27	300,000,000	2014/04/16
69699	UBS AG	HSI R Bear CBBC Jul 2014 X	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2014/04/16
69697	UBS AG	HSI R Bear CBBC Aug 2014 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2014/04/16
69731	UBS AG	HSI R Bear CBBC Oct 2014 B	0.250	22,797 per 10,000 units	22,597 per 10,000 units	2014/10/30	300,000,000	2014/04/16
69777	UBS AG	ICBC R Bull CBBC Nov 2014 A	0.250	4.5	4.75	2014/11/24	50,000,000	2014/04/16
63374*	HK Bank	HSI R Bear CBBC Jun 2014 B	0.166	25,288 per 15,000 units	25,088 per 15,000 units	2014/06/27	200,000,000	2014/04/16
60145	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 A	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/04/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60149	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 B	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/04/17
60140	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Z	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/12/30	300,000,000	2014/04/17
60134	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 A	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2015/01/29	300,000,000	2014/04/17
60136	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 B	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2015/01/29	300,000,000	2014/04/17
60137	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 C	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2015/01/29	300,000,000	2014/04/17
60139	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 D	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2015/01/29	300,000,000	2014/04/17
69938	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 A	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69940	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 B	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69952	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 C	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69955	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 D	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69953	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 E	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69954	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 F	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69957	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 G	0.300	25,700 per 10,000 units	25,500 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69969	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 H	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69936	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 Y	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/04/17
69937	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 Z	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2014/04/17
60104	Credit Suisse AG	China Mobile R Bull CBBC Nov 2014 E	0.250	69.38 per 100 units	71.88 per 100 units	2014/11/28	50,000,000	2014/04/17
60107	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 F	0.250	8.08	8.38	2014/12/30	50,000,000	2014/04/17
60112	Credit Suisse AG	A50 China R Bull CBBC Dec 2014 G	0.250	8.48	8.78	2014/12/30	50,000,000	2014/04/17
60087	Credit Suisse AG	Galaxy Ent R Bull CBBC Nov 2014 E	0.250	64.30 per 100 units	66.80 per 100 units	2014/11/28	50,000,000	2014/04/17
60114	Credit Suisse AG	HSI R Bull CBBC Nov 2014 B	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	238,000,000	2014/04/17
60118	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	238,000,000	2014/04/17
60122	Credit Suisse AG	HSI R Bull CBBC Nov 2014 N	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	238,000,000	2014/04/17
60123	Credit Suisse AG	HSI R Bull CBBC Nov 2014 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/11/27	238,000,000	2014/04/17
60126	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	22,986 per 10,000 units	23,186 per 10,000 units	2014/11/27	238,000,000	2014/04/17
69970	Credit Suisse AG	HSI R Bear CBBC Jul 2014 J	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/07/30	238,000,000	2014/04/17
60010	Credit Suisse AG	HSI R Bear CBBC Jul 2014 P	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/07/30	238,000,000	2014/04/17
69995	Credit Suisse AG	HSI R Bear CBBC Jul 2014 Q	0.250	24,738 per 10,000 units	24,538 per 10,000 units	2014/07/30	238,000,000	2014/04/17
60011	Credit Suisse AG	HSI R Bear CBBC Jul 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/07/30	238,000,000	2014/04/17
60016	Credit Suisse AG	HSI R Bear CBBC Jul 2014 T	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/07/30	238,000,000	2014/04/17
69929	Credit Suisse AG	HSI R Bear CBBC Aug 2014 F	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/08/28	238,000,000	2014/04/17
69986	Credit Suisse AG	HSI R Bear CBBC Aug 2014 G	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/08/28	238,000,000	2014/04/17
60001	Credit Suisse AG	HSI R Bear CBBC Aug 2014 J	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/08/28	238,000,000	2014/04/17
60002	Credit Suisse AG	HSI R Bear CBBC Aug 2014 K	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/08/28	238,000,000	2014/04/17
69925	Credit Suisse AG	HSI R Bear CBBC Aug 2014 W	0.250	23,044 per 12,000 units	22,844 per 12,000 units	2014/08/28	238,000,000	2014/04/17
60101	Credit Suisse AG	TCH R Bull CBBC Nov 2014 E	0.770	489 per 100 units	500.80 per 100 units	2014/11/28	50,000,000	2014/04/17
60102	Credit Suisse AG	TCH R Bull CBBC Nov 2014 F	0.570	509 per 100 units	520.80 per 100 units	2014/11/28	50,000,000	2014/04/17
60103	Credit Suisse AG	TCH R Bull CBBC Nov 2014 G	0.370	529 per 100 units	540.80 per 100 units	2014/11/28	50,000,000	2014/04/17
60233	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Feb 2015 A	0.250	35.88 per 100 units	36.88 per 100 units	2015/02/09	100,000,000	2014/04/17
60234	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Feb 2015 B	0.250	43.88 per 100 units	42.88 per 100 units	2015/02/09	100,000,000	2014/04/17
60226	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Feb15 A	0.250	20.88	21.88	2015/02/09	50,000,000	2014/04/17
60214	Citigroup Global Mkt H Inc.	Minsheng Bank R Bear CBBC Feb15A	0.250	9.48	8.98	2015/02/09	40,000,000	2014/04/17
60193	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Feb15D	0.250	8.2	8.5	2015/02/09	100,000,000	2014/04/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60194	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Feb15B	0.250	10.8	10.5	2015/02/09	100,000,000	2014/04/17
60200	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Feb 15 D	0.250	8.2	8.5	2015/02/09	100,000,000	2014/04/17
60209	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Feb 15 B	0.250	10.8	10.5	2015/02/09	100,000,000	2014/04/17
60213	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Feb 15 C	0.250	11.1	10.8	2015/02/09	100,000,000	2014/04/17
60235	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Feb 2015 A	0.250	8.18	8.58	2015/02/09	50,000,000	2014/04/17
60239	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Feb 2015 A	0.250	9.78	9.38	2015/02/09	50,000,000	2014/04/17
60248	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Feb 2015 B	0.250	10.08	9.68	2015/02/09	50,000,000	2014/04/17
60250	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Feb 2015 C	0.250	10.38	9.98	2015/02/09	50,000,000	2014/04/17
60180	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 B	0.500	515 per 100 units	528 per 100 units	2015/02/09	50,000,000	2014/04/17
60190	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 C	0.400	525 per 100 units	538 per 100 units	2015/02/09	50,000,000	2014/04/17
60191	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 D	0.300	535 per 100 units	548 per 100 units	2015/02/09	50,000,000	2014/04/17
60163	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 I	0.275	22,538 per 20,000 units	22,838 per 20,000 units	2014/08/28	150,000,000	2014/04/17
60164	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 J	0.256	22,838 per 20,000 units	23,138 per 20,000 units	2014/08/28	150,000,000	2014/04/17
60165	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 J	0.251	23,738 per 20,000 units	23,438 per 20,000 units	2014/08/28	150,000,000	2014/04/17
60166	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 K	0.279	24,038 per 20,000 units	23,738 per 20,000 units	2014/08/28	150,000,000	2014/04/17
60172	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 L	0.281	24,338 per 20,000 units	24,038 per 20,000 units	2014/08/28	150,000,000	2014/04/17
60174	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 M	0.335	25,638 per 20,000 units	25,338 per 20,000 units	2014/08/28	150,000,000	2014/04/17
69920	HK Bank	China Mobile R Bull CBBC Jan 2015 D	0.250	67.68 per 100 units	70.18 per 100 units	2015/01/12	120,000,000	2014/04/17
69922	HK Bank	Sinopec Corp R Bull CBBC Nov 2014 B	0.250	6.58	6.88	2014/11/24	80,000,000	2014/04/17
69921	HK Bank	Sinopec Corp R Bull CBBC Dec 2014 A	0.250	6.18	6.48	2014/12/22	80,000,000	2014/04/17
69913	HK Bank	HSI R Bull CBBC Nov 2014 V	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/11/27	100,000,000	2014/04/17
69924	HK Bank	HSI R Bull CBBC Dec 2014 J	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2014/12/30	100,000,000	2014/04/17
69914	HK Bank	HSI R Bear CBBC Dec 2014 I	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/12/30	100,000,000	2014/04/17
60177	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 X	0.250	22,280 per 12,000 units	22,480 per 12,000 units	2015/02/26	100,000,000	2014/04/17
60178	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 Y	0.250	22,680 per 20,000 units	22,880 per 20,000 units	2015/02/26	100,000,000	2014/04/17
60179	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 Z	0.250	22,880 per 12,000 units	23,080 per 12,000 units	2015/02/26	100,000,000	2014/04/17
69930	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 G	0.390	486.88 per 100 units	498.88 per 100 units	2014/11/24	50,000,000	2014/04/17
60175	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 H	0.620	503.88 per 100 units	518.88 per 100 units	2014/11/26	50,000,000	2014/04/17
60176	Standard Chartered Bank	TCH R Bull CBBC Nov 2014 I	0.410	523.88 per 100 units	538.88 per 100 units	2014/11/28	50,000,000	2014/04/17
60158	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 A	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2014/12/30	200,000,000	2014/04/17
60159	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Q	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2014/12/30	200,000,000	2014/04/17
69962	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Z	0.250	22,768 per 10,000 units	22,868 per 10,000 units	2014/12/30	200,000,000	2014/04/17
69967	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 N	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/07/30	200,000,000	2014/04/17
69968	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 O	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2014/07/30	200,000,000	2014/04/17
60160	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 P	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2014/07/30	200,000,000	2014/04/17
60161	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 Q	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2014/07/30	200,000,000	2014/04/17
60162	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 R	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/07/30	200,000,000	2014/04/17
60076	UBS AG	ABC R Bull CBBC Aug 2015 A	0.250	3	3.25	2015/08/04	50,000,000	2014/04/17
60060	UBS AG	BOCL R Bull CBBC Jul 2015 C	0.250	3.12	3.3	2015/07/27	50,000,000	2014/04/17
60077	UBS AG	CC Bank R Bear CBBC Dec 2014 A	0.250	6.55	6.25	2014/12/15	50,000,000	2014/04/17
60071	UBS AG	China Life R Bull CBBC Nov 2014 A	0.250	20.88	21.88	2014/11/10	50,000,000	2014/04/17
60072	UBS AG	Minsheng Bank R Bear CBBC Nov 2014 A	0.250	9.9	9.4	2014/11/03	50,000,000	2014/04/17
60063	UBS AG	CSOP A50 ETF R Bear CBBC Nov 2014 A	0.250	10.3	10	2014/11/03	50,000,000	2014/04/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60062	UBS AG	A50 China R Bull CBBC Feb 2015 D	0.250	8.2	8.5	2015/02/09	100,000,000	2014/04/17
60069	UBS AG	HSCEI R Bull CBBC Nov 2014 H	0.250	9,900 per 10,000 units	10,100 per 10,000 units	2014/11/27	100,000,000	2014/04/17
60155	UBS AG	HSI R Bull CBBC Sep 2014 V	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2014/04/17
60156	UBS AG	HSI R Bull CBBC Oct 2014 D	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/10/30	300,000,000	2014/04/17
60152	UBS AG	HSI R Bull CBBC Dec 2014 J	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/12/30	300,000,000	2014/04/17
60157	UBS AG	HSI R Bull CBBC Dec 2014 K	0.250	22,986 per 10,000 units	23,186 per 10,000 units	2014/12/30	300,000,000	2014/04/17
60051	UBS AG	HSI R Bull CBBC Feb 2015 H	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2015/02/26	300,000,000	2014/04/17
60053	UBS AG	HSI R Bull CBBC Mar 2015 F	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2015/03/30	300,000,000	2014/04/17
60038	UBS AG	HSI R Bear CBBC Jul 2014 N	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/07/30	300,000,000	2014/04/17
60039	UBS AG	HSI R Bear CBBC Jul 2014 O	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/07/30	300,000,000	2014/04/17
69935	UBS AG	HSI R Bear CBBC Jul 2014 Z	0.250	23,044 per 10,000 units	22,844 per 10,000 units	2014/07/30	300,000,000	2014/04/17
60020	UBS AG	HSI R Bear CBBC Sep 2014 H	0.335	26,200 per 10,000 units	26,000 per 10,000 units	2014/09/29	300,000,000	2014/04/17
60024	UBS AG	HSI R Bear CBBC Sep 2014 I	0.285	25,700 per 10,000 units	25,500 per 10,000 units	2014/09/29	300,000,000	2014/04/17
60028	UBS AG	HSI R Bear CBBC Sep 2014 J	0.265	25,500 per 10,000 units	25,300 per 10,000 units	2014/09/29	300,000,000	2014/04/17
60036	UBS AG	HSI R Bear CBBC Oct 2014 C	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/10/30	300,000,000	2014/04/17
60075	UBS AG	ICBC R Bear CBBC Dec 2014 A	0.250	6	5.75	2014/12/22	50,000,000	2014/04/17
60078	UBS AG	Petrochina R Bull CBBC Oct 2014 D	0.250	7.88	8.28	2014/10/20	50,000,000	2014/04/17
60151	UBS AG	TCH R Bull CBBC Sep 2014 H	0.265	537 per 100 units	550 per 100 units	2014/09/22	40,000,000	2014/04/17
60086	UBS AG	TCH R Bull CBBC Oct 2014 G	0.390	497 per 100 units	510 per 100 units	2014/10/16	40,000,000	2014/04/17
60081	UBS AG	TCH R Bull CBBC Nov 2014 D	0.250	517 per 100 units	530 per 100 units	2014/11/17	40,000,000	2014/04/17
60404	Credit Suisse AG	HKEx R Bull CBBC Dec 2014 A	0.250	130.50 per 100 units	135.50 per 100 units	2014/12/30	50,000,000	2014/04/22
60405	Credit Suisse AG	HKEx R Bull CBBC Dec 2014 B	0.250	121.50 per 100 units	126.50 per 100 units	2014/12/30	50,000,000	2014/04/22
60407	Credit Suisse AG	HKEx R Bear CBBC Jul 2014 A	0.250	158.50 per 100 units	153.50 per 100 units	2014/07/31	50,000,000	2014/04/22
60408	Credit Suisse AG	HKEx R Bear CBBC Jul 2014 B	0.250	168.50 per 100 units	163.50 per 100 units	2014/07/31	50,000,000	2014/04/22
60414	Credit Suisse AG	HKEx R Bear CBBC Jul 2014 C	0.350	178.50 per 100 units	173.50 per 100 units	2014/07/31	50,000,000	2014/04/22
60339	Credit Suisse AG	HSI R Bull CBBC Dec 2014 J	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/12/30	238,000,000	2014/04/22
60337	Credit Suisse AG	HSI R Bull CBBC Dec 2014 M	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/12/30	238,000,000	2014/04/22
60338	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Y	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	238,000,000	2014/04/22
60427	Credit Suisse AG	HSI R Bear CBBC Aug 2014 E	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/08/28	238,000,000	2014/04/22
60430	Credit Suisse AG	HSI R Bear CBBC Aug 2014 L	0.250	23,204 per 12,000 units	23,004 per 12,000 units	2014/08/28	238,000,000	2014/04/22
60431	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Jan 2015 A	0.250	45.88 per 100 units	44.88 per 100 units	2015/01/26	100,000,000	2014/04/22
60432	Citigroup Global Mkt H Inc.	AIA R Bear CBBC Jan 2015 B	0.250	47.88 per 100 units	46.88 per 100 units	2015/01/26	100,000,000	2014/04/22
60417	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Feb15 B	0.250	19.88	20.88	2015/02/09	50,000,000	2014/04/22
60418	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 G	0.550	485 per 100 units	498 per 100 units	2015/01/26	50,000,000	2014/04/22
60419	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 H	0.450	495 per 100 units	508 per 100 units	2015/01/26	50,000,000	2014/04/22
60422	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jan 2015 I	0.350	505 per 100 units	518 per 100 units	2015/01/26	50,000,000	2014/04/22
60297	HK Bank	CM Bank R Bull CBBC Nov 2014 B	0.250	12.38	13.18	2014/11/24	40,000,000	2014/04/22
60295	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 G	0.250	7.18	7.68	2014/11/24	40,000,000	2014/04/22
60292	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 G	0.250	8.38	8.68	2015/01/29	80,000,000	2014/04/22
60293	HK Bank	A50 R Bull CBBC Nov 2014 I	0.250	8.33	8.63	2014/11/24	200,000,000	2014/04/22
60328	HK Bank	A50 R Bull CBBC Nov 2014 J	0.250	8.53	8.83	2014/11/24	200,000,000	2014/04/22
60289	HK Bank	HSI R Bull CBBC Oct 2014 T	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2014/10/30	100,000,000	2014/04/22
60263	HK Bank	HSI R Bull CBBC Nov 2014 W	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/11/27	100,000,000	2014/04/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60290	HK Bank	HSI R Bull CBBC Dec 2014 K	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2014/12/30	100,000,000	2014/04/22
60255	HK Bank	HSI R Bear CBBC Nov 2014 N	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2014/11/27	100,000,000	2014/04/22
60306	HK Bank	TCH R Bull CBBC Nov 2014 Q	0.380	528 per 100 units	538 per 100 units	2014/11/27	50,000,000	2014/04/22
60304	HK Bank	TCH R Bull CBBC Dec 2014 D	0.680	498 per 100 units	508 per 100 units	2014/12/22	50,000,000	2014/04/22
60305	HK Bank	TCH R Bull CBBC Dec 2014 E	0.480	518 per 100 units	528 per 100 units	2014/12/15	50,000,000	2014/04/22
60307	HK Bank	TCH R Bull CBBC Dec 2014 F	0.280	538 per 100 units	548 per 100 units	2014/12/08	50,000,000	2014/04/22
60327	HK Bank	TCH R Bull CBBC Dec 2014 G	0.580	508 per 100 units	518 per 100 units	2014/12/18	50,000,000	2014/04/22
60367	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2014 M	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/09/29	50,000,000	2014/04/22
60368	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2014 D	0.270	25,000 per 10,000 units	24,800 per 10,000 units	2014/07/30	50,000,000	2014/04/22
60369	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2014 E	0.260	24,700 per 10,000 units	24,500 per 10,000 units	2014/07/30	50,000,000	2014/04/22
60373	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2014 F	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/07/30	50,000,000	2014/04/22
60398	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 R	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/12/30	200,000,000	2014/04/22
60403	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 S	0.250	23,568 per 10,000 units	23,468 per 10,000 units	2014/07/30	200,000,000	2014/04/22
60376	UBS AG	AIA R Bull CBBC Jun 2015 C	0.250	36.88 per 100 units	37.88 per 100 units	2015/06/16	100,000,000	2014/04/22
60377	UBS AG	China Mobile R Bull CBBC Dec 2014 B	0.250	70 per 100 units	72.50 per 100 units	2014/12/01	100,000,000	2014/04/22
60397	UBS AG	HKEx R Bull CBBC Nov 2014 C	0.250	130 per 100 units	135 per 100 units	2014/11/24	100,000,000	2014/04/22
60390	UBS AG	HKEx R Bear CBBC Oct 2014 B	0.250	160 per 100 units	155 per 100 units	2014/10/27	100,000,000	2014/04/22
60386	UBS AG	HKEx R Bear CBBC Nov 2014 A	0.305	175 per 100 units	170 per 100 units	2014/11/17	100,000,000	2014/04/22
60342	UBS AG	HSI R Bull CBBC Sep 2014 U	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2014/04/22
60347	UBS AG	HSI R Bull CBBC Oct 2014 E	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	300,000,000	2014/04/22
60357	UBS AG	HSI R Bull CBBC Nov 2014 O	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/11/27	300,000,000	2014/04/22
60348	UBS AG	HSI R Bull CBBC Dec 2014 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/04/22
60434	UBS AG	HSI R Bear CBBC Jul 2014 W	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/07/30	300,000,000	2014/04/22
60439	UBS AG	HSI R Bear CBBC Aug 2014 W	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2014/04/22
60441	UBS AG	HSI R Bear CBBC Aug 2014 X	0.250	23,225 per 10,000 units	23,025 per 10,000 units	2014/08/28	300,000,000	2014/04/22
60433	UBS AG	HSI R Bear CBBC Oct 2014 D	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/10/30	300,000,000	2014/04/22
60436	UBS AG	HSI R Bear CBBC Nov 2014 B	0.265	25,600 per 10,000 units	25,400 per 10,000 units	2014/11/27	300,000,000	2014/04/22
60437	UBS AG	HSI R Bear CBBC Nov 2014 C	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/11/27	300,000,000	2014/04/22
60374	UBS AG	Sands China R Bear CBBC Nov 2014 A	0.250	70.50 per 100 units	67.50 per 100 units	2014/11/03	50,000,000	2014/04/22
60378	UBS AG	TCH R Bear CBBC Oct 2014 I	0.255	563 per 100 units	550 per 100 units	2014/10/13	40,000,000	2014/04/22
60464	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2014/12/30	238,000,000	2014/04/23
60552	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	22,838 per 10,000 units	23,038 per 10,000 units	2014/12/30	238,000,000	2014/04/23
60551	Credit Suisse AG	HSI R Bear CBBC Aug 2014 M	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/08/28	238,000,000	2014/04/23
60442	HK Bank	AIA R Bull CBBC Dec 2014 B	0.250	36.28 per 100 units	37.28 per 100 units	2014/12/30	60,000,000	2014/04/23
60450	HK Bank	HKEx R Bull CBBC Oct 2014 A	0.250	133.88 per 100 units	138.88 per 100 units	2014/10/27	60,000,000	2014/04/23
60451	HK Bank	HKEx R Bull CBBC Nov 2014 B	0.250	128.88 per 100 units	133.88 per 100 units	2014/11/10	60,000,000	2014/04/23
60452	HK Bank	HKEx R Bull CBBC Nov 2014 C	0.250	123.88 per 100 units	128.88 per 100 units	2014/11/17	60,000,000	2014/04/23
60456	HK Bank	HKEx R Bear CBBC Oct 2014 B	0.250	156.88 per 100 units	151.88 per 100 units	2014/10/27	50,000,000	2014/04/23
60463	HK Bank	HKEx R Bear CBBC Nov 2014 A	0.250	166.88 per 100 units	161.88 per 100 units	2014/11/17	50,000,000	2014/04/23
60449	HK Bank	HSI R Bull CBBC Dec 2014 L	0.250	21,728 per 20,000 units	22,028 per 20,000 units	2014/12/30	400,000,000	2014/04/23
60448	HK Bank	HSI R Bear CBBC Dec 2014 J	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/12/30	100,000,000	2014/04/23
60530	Standard Chartered Bank	HKEx R Bull CBBC Nov 2014 A	0.250	132 per 100 units	138 per 100 units	2014/11/28	50,000,000	2014/04/23
60538	Standard Chartered Bank	HKEx R Bear CBBC Sep 2014 A	0.250	164 per 100 units	158 per 100 units	2014/09/25	50,000,000	2014/04/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60529	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 A	0.250	22,580 per 12,000 units	22,780 per 12,000 units	2015/02/26	100,000,000	2014/04/23
60465	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 O	0.250	22,618 per 10,000 units	22,718 per 10,000 units	2014/12/30	200,000,000	2014/04/23
60481	UBS AG	AIA R Bear CBBC Dec 2014 A	0.250	46.88 per 100 units	45.88 per 100 units	2014/12/22	100,000,000	2014/04/23
60478	UBS AG	China Life R Bear CBBC Dec 2014 A	0.550	26.88	25.88	2014/12/15	50,000,000	2014/04/23
60468	UBS AG	CM Bank R Bull CBBC Nov 2014 A	0.250	12.38	13.18	2014/11/03	50,000,000	2014/04/23
60466	UBS AG	CM Bank R Bear CBBC Oct 2014 A	0.250	16.68	15.88	2014/10/13	50,000,000	2014/04/23
60480	UBS AG	Minsheng Bank R Bull CBBC Nov 2014 B	0.250	7.5	8	2014/11/10	50,000,000	2014/04/23
60477	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2015 D	0.250	8.3	8.6	2015/01/12	50,000,000	2014/04/23
60475	UBS AG	A50 China R Bull CBBC Mar 2015 E	0.250	8.35	8.65	2015/03/02	100,000,000	2014/04/23
60511	UBS AG	HKEx R Bull CBBC Oct 2014 C	0.250	135 per 100 units	140 per 100 units	2014/10/20	100,000,000	2014/04/23
60525	UBS AG	HKEx R Bull CBBC Nov 2014 D	0.250	125 per 100 units	130 per 100 units	2014/11/10	100,000,000	2014/04/23
60491	UBS AG	HKEx R Bear CBBC Aug 2014 A	0.360	185 per 100 units	180 per 100 units	2014/08/04	100,000,000	2014/04/23
60562	UBS AG	HSI R Bull CBBC Sep 2014 R	0.250	22,838 per 10,000 units	23,038 per 10,000 units	2014/09/29	300,000,000	2014/04/23
60556	UBS AG	HSI R Bull CBBC Oct 2014 N	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/10/30	300,000,000	2014/04/23
60564	UBS AG	HSI R Bear CBBC Aug 2014 Y	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/08/28	300,000,000	2014/04/23
60566	UBS AG	HSI R Bear CBBC Aug 2014 Z	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/08/28	300,000,000	2014/04/23
60528	UBS AG	HSI R Bear CBBC Sep 2014 K	0.250	23,204 per 10,000 units	23,004 per 10,000 units	2014/09/29	300,000,000	2014/04/23
60565	UBS AG	HSI R Bear CBBC Sep 2014 L	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/09/29	300,000,000	2014/04/23
60563	UBS AG	HSI R Bear CBBC Oct 2014 E	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/10/30	300,000,000	2014/04/23
60490	UBS AG	TCH R Bull CBBC Oct 2014 H	0.280	507 per 100 units	520 per 100 units	2014/10/27	40,000,000	2014/04/23
60487	UBS AG	TCH R Bear CBBC Aug 2014 K	0.390	573 per 100 units	560 per 100 units	2014/08/04	40,000,000	2014/04/23
60700	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 I	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/09/29	300,000,000	2014/04/24
60619	Credit Suisse AG	HSI R Bull CBBC Dec 2014 V	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2014/12/30	238,000,000	2014/04/24
60653	Credit Suisse AG	HSI R Bear CBBC Aug 2014 N	0.250	22,872 per 10,000 units	22,672 per 10,000 units	2014/08/28	238,000,000	2014/04/24
60661	Credit Suisse AG	HSI R Bear CBBC Aug 2014 O	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2014/08/28	238,000,000	2014/04/24
60671	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 K	0.294	20,538 per 20,000 units	20,838 per 20,000 units	2014/08/28	150,000,000	2014/04/24
60675	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 N	0.259	23,038 per 20,000 units	22,738 per 20,000 units	2014/08/28	150,000,000	2014/04/24
60693	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 O	0.258	23,338 per 20,000 units	23,038 per 20,000 units	2014/08/28	150,000,000	2014/04/24
60694	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 P	0.294	24,638 per 20,000 units	24,338 per 20,000 units	2014/08/28	150,000,000	2014/04/24
60591	HK Bank	CNOOC R Bull CBBC Nov 2014 D	0.250	10.88	11.68	2014/11/24	60,000,000	2014/04/24
60589	HK Bank	CNOOC R Bull CBBC Dec 2014 D	0.250	11.38	12.18	2014/12/15	60,000,000	2014/04/24
60590	HK Bank	Great Wall Motor R Bull CBBC Dec 2015 C	0.250	35.18 per 100 units	37.68 per 100 units	2015/12/09	40,000,000	2014/04/24
60586	HK Bank	HKEx R Bull CBBC Oct 2014 B	0.250	138.88 per 100 units	143.88 per 100 units	2014/10/20	60,000,000	2014/04/24
60575	HK Bank	HSCEI R Bear CBBC Oct 2014 E	0.250	10,788 per 10,000 units	10,588 per 10,000 units	2014/10/30	68,000,000	2014/04/24
60577	HK Bank	HSCEI R Bear CBBC Dec 2014 B	0.250	11,188 per 10,000 units	10,988 per 10,000 units	2014/12/30	68,000,000	2014/04/24
60568	HK Bank	HSCEI R Bear CBBC Jan 2015 A	0.250	11,388 per 10,000 units	11,188 per 10,000 units	2015/01/29	68,000,000	2014/04/24
60569	HK Bank	HSCEI R Bear CBBC Feb 2015 A	0.250	11,588 per 10,000 units	11,388 per 10,000 units	2015/02/26	68,000,000	2014/04/24
60592	HK Bank	PetCh R Bull CBBC Oct 2014 C	0.250	7.68	8.08	2014/10/13	60,000,000	2014/04/24
60652	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 D	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/09/29	50,000,000	2014/04/24
60593	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 B	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/02/26	100,000,000	2014/04/24
60608	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 E	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2014/12/30	100,000,000	2014/04/24
60625	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 T	0.250	23,118 per 10,000 units	23,018 per 10,000 units	2014/07/30	200,000,000	2014/04/24
60648	UBS AG	HSI R Bull CBBC Dec 2014 L	0.250	22,775 per 10,000 units	22,975 per 10,000 units	2014/12/30	300,000,000	2014/04/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60638	UBS AG	HSI R Bear CBBC Jul 2014 P	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/07/30	300,000,000	2014/04/24
60665	UBS AG	HSI R Bear CBBC Jul 2014 Q	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/07/30	300,000,000	2014/04/24
60663	UBS AG	HSI R Bear CBBC Jul 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/07/30	300,000,000	2014/04/24
60669	UBS AG	HSI R Bear CBBC Jul 2014 S	0.250	22,872 per 10,000 units	22,672 per 10,000 units	2014/07/30	300,000,000	2014/04/24
60664	UBS AG	HSI R Bear CBBC Jul 2014 T	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2014/04/24
60632	UBS AG	HSI R Bear CBBC Nov 2014 D	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/11/27	300,000,000	2014/04/24
60627	UBS AG	HSI R Bear CBBC Dec 2014 A	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/12/30	300,000,000	2014/04/24
60642	UBS AG	Hutchison R Bull CBBC Jun 2015 A	0.250	87 per 100 units	90 per 100 units	2015/06/15	100,000,000	2014/04/24
60626	UBS AG	TCH R Bear CBBC Nov 2014 D	0.325	553 per 100 units	540 per 100 units	2014/11/03	40,000,000	2014/04/24
60750	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 E	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/01/29	300,000,000	2014/04/25
60751	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 F	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/01/29	300,000,000	2014/04/25
60719	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 J	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2014/04/25
60748	Credit Suisse AG	HSI R Bull CBBC Oct 2014 A	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	238,000,000	2014/04/25
60749	Credit Suisse AG	HSI R Bull CBBC Oct 2014 X	0.250	22,588 per 12,000 units	22,788 per 12,000 units	2014/10/30	238,000,000	2014/04/25
60721	Credit Suisse AG	HSI R Bear CBBC Aug 2014 P	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/08/28	238,000,000	2014/04/25
60728	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Q	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/08/28	238,000,000	2014/04/25
60756	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Z	0.250	22,897 per 10,000 units	22,697 per 10,000 units	2014/08/28	238,000,000	2014/04/25
60827	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Jan 2015 A	0.250	125 per 100 units	130 per 100 units	2015/01/19	50,000,000	2014/04/25
60828	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Jan 2015 B	0.250	130 per 100 units	135 per 100 units	2015/01/19	50,000,000	2014/04/25
60829	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 A	0.250	155 per 100 units	150 per 100 units	2015/01/19	50,000,000	2014/04/25
60830	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 B	0.250	165 per 100 units	160 per 100 units	2015/01/19	50,000,000	2014/04/25
60837	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 C	0.260	170 per 100 units	165 per 100 units	2015/01/19	50,000,000	2014/04/25
60838	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 D	0.310	175 per 100 units	170 per 100 units	2015/01/19	50,000,000	2014/04/25
60702	HK Bank	Minsheng Bank R Bear CBBC Dec 2014 A	0.250	9.38	8.88	2014/12/22	40,000,000	2014/04/25
60703	HK Bank	HSI R Bear CBBC Oct 2014 S	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/10/30	100,000,000	2014/04/25
60711	HK Bank	HSI R Bear CBBC Nov 2014 O	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2014/11/27	100,000,000	2014/04/25
60712	HK Bank	HSI R Bear CBBC Nov 2014 P	0.250	22,988 per 15,000 units	22,788 per 15,000 units	2014/11/27	100,000,000	2014/04/25
60701	HK Bank	Ping An R Bear CBBC Dec 2014 A	0.250	69.88 per 100 units	65.88 per 100 units	2014/12/22	40,000,000	2014/04/25
60716	HK Bank	SHK Ppt R Bull CBBC Feb 2015 A	0.250	86.28 per 100 units	90.28 per 100 units	2015/02/16	60,000,000	2014/04/25
60764	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 K	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/10/30	300,000,000	2014/04/25
60781	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 M	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/10/30	300,000,000	2014/04/25
60769	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 P	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/10/30	300,000,000	2014/04/25
60782	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 E	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/04/25
60766	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 J	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/11/27	300,000,000	2014/04/25
60770	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 S	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/11/27	300,000,000	2014/04/25
60783	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 R	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/12/30	300,000,000	2014/04/25
60767	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 X	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/12/30	300,000,000	2014/04/25
60771	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 B	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/01/29	300,000,000	2014/04/25
60790	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 D	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/07/30	300,000,000	2014/04/25
60786	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 L	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2014/04/25
60785	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 M	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/07/30	300,000,000	2014/04/25
60793	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 N	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2014/04/25
60795	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 O	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/07/30	300,000,000	2014/04/25

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60804	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 P	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2014/04/25
60805	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2014/04/25
60815	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 R	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2014/04/25
60752	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 F	0.250	23,980 per 20,000 units	23,780 per 20,000 units	2014/12/30	100,000,000	2014/04/25
60743	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 N	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/12/30	200,000,000	2014/04/25
60741	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 P	0.250	22,468 per 10,000 units	22,568 per 10,000 units	2014/12/30	200,000,000	2014/04/25
60758	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 U	0.250	22,818 per 10,000 units	22,718 per 10,000 units	2014/07/30	200,000,000	2014/04/25
60736	UBS AG	CNOOC R Bull CBBC Oct 2015 A	0.250	11.38	12.18	2015/10/19	50,000,000	2014/04/25
60735	UBS AG	Great Wall Motor R Bull CBBC Jul 2015 C	0.250	32.50 per 100 units	35 per 100 units	2015/07/06	50,000,000	2014/04/25
60733	UBS AG	HSCEI R Bear CBBC Aug 2014 C	0.250	11,930 per 10,000 units	11,730 per 10,000 units	2014/08/28	100,000,000	2014/04/25
60734	UBS AG	HSCEI R Bear CBBC Aug 2014 D	0.250	10,850 per 10,000 units	10,650 per 10,000 units	2014/08/28	100,000,000	2014/04/25
60761	UBS AG	HSI R Bull CBBC Nov 2014 P	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	300,000,000	2014/04/25
60763	UBS AG	HSI R Bull CBBC Nov 2014 S	0.250	22,613 per 10,000 units	22,813 per 10,000 units	2014/11/27	300,000,000	2014/04/25
60739	UBS AG	HSI R Bear CBBC Aug 2014 I	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/04/25
60940	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Y	0.250	22,560 per 10,000 units	22,760 per 10,000 units	2014/10/30	238,000,000	2014/04/28
60878	Credit Suisse AG	HSI R Bear CBBC Aug 2014 H	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/08/28	238,000,000	2014/04/28
60885	Credit Suisse AG	HSI R Bear CBBC Aug 2014 X	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	238,000,000	2014/04/28
60948	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 C	0.250	22,560 per 10,000 units	22,760 per 10,000 units	2015/01/29	300,000,000	2014/04/28
60839	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 B	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/07/30	300,000,000	2014/04/28
60850	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 E	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/07/30	300,000,000	2014/04/28
60849	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 S	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/08/28	300,000,000	2014/04/28
60855	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 T	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/08/28	300,000,000	2014/04/28
60898	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 A	0.250	22,480 per 15,000 units	22,680 per 15,000 units	2015/03/30	100,000,000	2014/04/28
60914	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 S	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/12/30	200,000,000	2014/04/28
60918	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 V	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/07/30	200,000,000	2014/04/28
60925	UBS AG	Minsheng Bank R Bear CBBC Oct 2014 A	0.250	9.38	8.88	2014/10/27	50,000,000	2014/04/28
60922	UBS AG	HSI R Bull CBBC Aug 2014 S	0.250	22,275 per 10,000 units	22,475 per 10,000 units	2014/08/28	300,000,000	2014/04/28
60923	UBS AG	HSI R Bull CBBC Nov 2014 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/04/28
60941	UBS AG	HSI R Bull CBBC Dec 2014 M	0.250	22,560 per 10,000 units	22,760 per 10,000 units	2014/12/30	300,000,000	2014/04/28
60921	UBS AG	HSI R Bear CBBC Jul 2014 V	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2014/04/28
60903	UBS AG	HSI R Bear CBBC Oct 2014 F	0.250	22,897 per 10,000 units	22,697 per 10,000 units	2014/10/30	300,000,000	2014/04/28
60919	UBS AG	HSI R Bear CBBC Oct 2014 G	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/10/30	300,000,000	2014/04/28
60924	UBS AG	Ping An R Bear CBBC Nov 2014 B	0.250	69 per 100 units	65 per 100 units	2014/11/24	50,000,000	2014/04/28
61195	Credit Suisse AG	A50 China R Bear CBBC Sep 2014 B	0.250	9.28	8.98	2014/09/30	50,000,000	2014/04/29
61196	Credit Suisse AG	A50 China R Bear CBBC Sep 2014 C	0.250	9.58	9.28	2014/09/30	50,000,000	2014/04/29
61004	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Y	0.250	22,538 per 10,000 units	22,738 per 10,000 units	2014/11/27	238,000,000	2014/04/29
61170	Credit Suisse AG	HSI R Bear CBBC Jul 2014 K	0.250	22,908 per 12,000 units	22,708 per 12,000 units	2014/07/30	238,000,000	2014/04/29
61165	Credit Suisse AG	HSI R Bear CBBC Aug 2014 I	0.250	23,438 per 10,000 units	23,238 per 10,000 units	2014/08/28	238,000,000	2014/04/29
61166	Credit Suisse AG	HSI R Bear CBBC Aug 2014 R	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/08/28	238,000,000	2014/04/29
61169	Credit Suisse AG	HSI R Bear CBBC Oct 2014 A	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/10/30	238,000,000	2014/04/29
61362	Citigroup Global Mkt H Inc.	CNOOC R Bull CBBC Jan 2015 A	0.250	11.08	11.88	2015/01/26	50,000,000	2014/04/29
61363	Citigroup Global Mkt H Inc.	CNOOC R Bull CBBC Jan 2015 B	0.250	11.58	12.38	2015/01/26	50,000,000	2014/04/29
61364	Citigroup Global Mkt H Inc.	CNOOC R Bear CBBC Jan 2015 B	0.250	14.68	13.88	2015/01/26	50,000,000	2014/04/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61378	Citigroup Global Mkt H Inc.	CNOOC R Bear CBBC Jan 2015 C	0.250	15.18	14.38	2015/01/26	50,000,000	2014/04/29
61384	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Jan15B	0.250	6.58	6.88	2015/01/26	60,000,000	2014/04/29
61385	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Jan15A	0.250	8.38	8.08	2015/01/26	60,000,000	2014/04/29
61386	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Jan15B	0.250	8.68	8.38	2015/01/26	60,000,000	2014/04/29
61379	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Jan15A	0.250	7.8	8.1	2015/01/26	100,000,000	2014/04/29
60949	HK Bank	Cheung Kong R Bear CBBC Apr 2015 A	0.250	145.28 per 100 units	139.28 per 100 units	2015/04/13	50,000,000	2014/04/29
60990	HK Bank	Cheung Kong R Bear CBBC Apr 2015 B	0.250	154.98 per 100 units	148.98 per 100 units	2015/04/27	50,000,000	2014/04/29
60974	HK Bank	CM Bank R Bull CBBC Jul 2015 A	0.270	11.48	12.28	2015/07/27	40,000,000	2014/04/29
60993	HK Bank	CM Bank R Bear CBBC May 2015 A	0.260	16.48	15.68	2015/05/11	40,000,000	2014/04/29
60997	HK Bank	China Shenhua R Bull CBBC Nov 2015 A	0.250	18.68 per 100 units	20.68 per 100 units	2015/11/30	40,000,000	2014/04/29
60960	HK Bank	HSCEI R Bear CBBC Nov 2014 D	0.250	10,588 per 10,000 units	10,388 per 10,000 units	2014/11/27	68,000,000	2014/04/29
60968	HK Bank	HSCEI R Bear CBBC Nov 2014 E	0.250	10,988 per 10,000 units	10,788 per 10,000 units	2014/11/27	68,000,000	2014/04/29
60967	HK Bank	Hutchison R Bear CBBC Mar 2015 A	0.250	113.18 per 100 units	110.18 per 100 units	2015/03/02	50,000,000	2014/04/29
61273	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 E	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2014/04/29
61277	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 O	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/11/27	300,000,000	2014/04/29
61282	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 M	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	300,000,000	2014/04/29
61302	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 C	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2014/04/29
61335	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 P	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/07/30	300,000,000	2014/04/29
61303	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 U	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2014/04/29
61010	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	50,000,000	2014/04/29
61160	Standard Chartered Bank	HKEx R Bull CBBC Nov 2014 B	0.250	122 per 100 units	128 per 100 units	2014/11/28	50,000,000	2014/04/29
61162	Standard Chartered Bank	HKEx R Bear CBBC Sep 2014 B	0.250	154 per 100 units	148 per 100 units	2014/09/25	50,000,000	2014/04/29
61163	Standard Chartered Bank	HKEx R Bear CBBC Oct 2014 A	0.350	174 per 100 units	168 per 100 units	2014/10/23	50,000,000	2014/04/29
61164	Standard Chartered Bank	HKEx R Bear CBBC Oct 2014 B	0.450	184 per 100 units	178 per 100 units	2014/10/23	50,000,000	2014/04/29
61137	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 A	0.250	23,280 per 12,000 units	23,080 per 12,000 units	2015/03/30	100,000,000	2014/04/29
61095	UBS AG	China Life R Bear CBBC Dec 2014 B	0.305	23.88	22.88	2014/12/22	50,000,000	2014/04/29
61231	UBS AG	CM Bank R Bull CBBC Dec 2014 A	0.330	10.58	11.38	2014/12/01	50,000,000	2014/04/29
61086	UBS AG	CSOP A50 ETF R Bear CBBC Nov 2014 B	0.250	9.8	9.5	2014/11/17	50,000,000	2014/04/29
61237	UBS AG	China Shenhua R Bear CBBC May 2015 A	0.250	26.88 per 100 units	24.88 per 100 units	2015/05/11	50,000,000	2014/04/29
61082	UBS AG	A50 China R Bear CBBC Nov 2014 B	0.250	9.55	9.25	2014/11/10	50,000,000	2014/04/29
61238	UBS AG	Geely Auto R Bull CBBC Jul 2015 C	0.250	2.45	2.65	2015/07/20	50,000,000	2014/04/29
61263	UBS AG	HKEx R Bull CBBC May 2015 A	0.540	90 per 100 units	95 per 100 units	2015/05/18	100,000,000	2014/04/29
61249	UBS AG	HKEx R Bear CBBC Jan 2015 A	0.520	195 per 100 units	190 per 100 units	2015/01/26	100,000,000	2014/04/29
61257	UBS AG	HKEx R Bear CBBC Jan 2015 B	0.250	155 per 100 units	150 per 100 units	2015/01/12	100,000,000	2014/04/29
61252	UBS AG	HKEx R Bear CBBC Feb 2015 A	0.250	165 per 100 units	160 per 100 units	2015/02/09	100,000,000	2014/04/29
61244	UBS AG	HKEx R Bear CBBC Mar 2015 A	0.730	216 per 100 units	210 per 100 units	2015/03/23	100,000,000	2014/04/29
61247	UBS AG	HKEx R Bear CBBC Mar 2015 B	0.620	205 per 100 units	200 per 100 units	2015/03/09	100,000,000	2014/04/29
61101	UBS AG	HSBC R Bear CBBC Dec 2014 A	0.250	87.80 per 100 units	85 per 100 units	2014/12/22	50,000,000	2014/04/29
61076	UBS AG	HSCEI R Bear CBBC Sep 2014 A	0.250	10,700 per 10,000 units	10,500 per 10,000 units	2014/09/29	100,000,000	2014/04/29
61114	UBS AG	HSI R Bull CBBC Sep 2014 T	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2014/04/29
61115	UBS AG	HSI R Bull CBBC Oct 2014 S	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/04/29
61047	UBS AG	HSI R Bear CBBC Aug 2014 G	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/08/28	300,000,000	2014/04/29
61046	UBS AG	HSI R Bear CBBC Sep 2014 M	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/09/29	300,000,000	2014/04/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61075	UBS AG	HSI R Bear CBBC Sep 2014 N	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2014/04/29
61223	UBS AG	HSI R Bear CBBC Sep 2014 O	0.250	22,908 per 10,000 units	22,708 per 10,000 units	2014/09/29	300,000,000	2014/04/29
61018	UBS AG	HSI R Bear CBBC Oct 2014 H	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/10/30	300,000,000	2014/04/29
61045	UBS AG	HSI R Bear CBBC Oct 2014 I	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/10/30	300,000,000	2014/04/29
61198	UBS AG	HSI R Bear CBBC Oct 2014 J	0.250	23,025 per 10,000 units	22,825 per 10,000 units	2014/10/30	300,000,000	2014/04/29
61011	UBS AG	HSI R Bear CBBC Nov 2014 E	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/11/27	300,000,000	2014/04/29
61227	UBS AG	Huishan Dairy R Bull CBBC Oct 2015 B	0.250	1.6	1.9	2015/10/19	50,000,000	2014/04/29
61100	UBS AG	Sands China R Bear CBBC Dec 2014 A	0.250	75.50 per 100 units	72.50 per 100 units	2014/12/01	50,000,000	2014/04/29
61134	UBS AG	SHK Ppt R Bear CBBC Nov 2014 A	0.250	116 per 100 units	112 per 100 units	2014/11/10	50,000,000	2014/04/29
61453	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 G	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/01/29	300,000,000	2014/04/30
61659	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 H	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/01/29	300,000,000	2014/04/30
61653	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 E	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/08/28	300,000,000	2014/04/30
61654	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 G	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2014/04/30
61644	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 M	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/04/30
61588	Credit Suisse AG	HSI R Bull CBBC Nov 2014 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/11/27	238,000,000	2014/04/30
61595	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	22,438 per 10,000 units	22,638 per 10,000 units	2014/11/27	238,000,000	2014/04/30
61601	Credit Suisse AG	HSI R Bull CBBC Nov 2014 N	0.250	22,530 per 12,000 units	22,730 per 12,000 units	2014/11/27	238,000,000	2014/04/30
61611	Credit Suisse AG	HSI R Bear CBBC Jul 2014 M	0.250	22,838 per 10,000 units	22,638 per 10,000 units	2014/07/30	238,000,000	2014/04/30
61621	Credit Suisse AG	HSI R Bear CBBC Jul 2014 N	0.250	22,710 per 10,000 units	22,510 per 10,000 units	2014/07/30	238,000,000	2014/04/30
61668	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 E	0.420	181 per 100 units	175 per 100 units	2015/01/26	50,000,000	2014/04/30
61689	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 F	0.480	186 per 100 units	180 per 100 units	2015/01/26	50,000,000	2014/04/30
61696	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 G	0.520	191 per 100 units	185 per 100 units	2015/01/26	50,000,000	2014/04/30
61726	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 H	0.580	196 per 100 units	190 per 100 units	2015/01/26	50,000,000	2014/04/30
61785	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 I	0.620	201 per 100 units	195 per 100 units	2015/01/26	50,000,000	2014/04/30
61786	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 J	0.700	206 per 100 units	200 per 100 units	2015/01/26	50,000,000	2014/04/30
61819	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 K	0.900	228 per 100 units	220 per 100 units	2015/01/26	50,000,000	2014/04/30
61821	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jan 2015 L	1.200	258 per 100 units	250 per 100 units	2015/01/26	50,000,000	2014/04/30
61447	HK Bank	China Shenhua R Bear CBBC Dec 2015 A	0.250	25.68 per 100 units	23.68 per 100 units	2015/12/07	40,000,000	2014/04/30
61441	HK Bank	HKEx R Bear CBBC Dec 2014 A	0.350	176.88 per 100 units	171.88 per 100 units	2014/12/22	50,000,000	2014/04/30
61444	HK Bank	HKEx R Bear CBBC Jan 2015 A	0.450	186.88 per 100 units	181.88 per 100 units	2015/01/26	50,000,000	2014/04/30
61430	HK Bank	TCH R Bear CBBC Nov 2014 N	0.370	568.88 per 100 units	548.88 per 100 units	2014/11/25	50,000,000	2014/04/30
61433	HK Bank	TCH R Bear CBBC Nov 2014 O	0.270	548.88 per 100 units	538.88 per 100 units	2014/11/18	50,000,000	2014/04/30
61388	HK Bank	TCH R Bear CBBC Dec 2014 G	0.570	578.88 per 100 units	568.88 per 100 units	2014/12/09	50,000,000	2014/04/30
61420	HK Bank	TCH R Bear CBBC Dec 2014 H	0.470	568.88 per 100 units	558.88 per 100 units	2014/12/02	50,000,000	2014/04/30
61666	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 I	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/10/30	300,000,000	2014/04/30
61664	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Q	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/11/27	300,000,000	2014/04/30
61660	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Y	0.250	22,188 per 10,000 units	22,388 per 10,000 units	2014/12/30	300,000,000	2014/04/30
61488	J P Morgan SP BV	HSI R Bear CBBC Jul 2014 Z	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/07/30	300,000,000	2014/04/30
61491	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 F	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/09/29	50,000,000	2014/04/30
61454	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 T	0.250	22,168 per 10,000 units	22,268 per 10,000 units	2014/12/30	200,000,000	2014/04/30
61469	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul14 W	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/07/30	200,000,000	2014/04/30
61587	UBS AG	Cheung Kong R Bear CBBC Dec 2014 A	0.250	154 per 100 units	148 per 100 units	2014/12/15	50,000,000	2014/04/30
61553	UBS AG	CM Bank R Bull CBBC Jul 2015 A	0.250	11.48	12.28	2015/07/13	50,000,000	2014/04/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61554	UBS AG	CNOOC R Bear CBBC Jan 2015 A	0.250	14.68	13.88	2015/01/05	50,000,000	2014/04/30
61585	UBS AG	HKEx R Bull CBBC Jun 2015 A	0.375	105 per 100 units	110 per 100 units	2015/06/01	100,000,000	2014/04/30
61562	UBS AG	HKEx R Bear CBBC Feb 2015 B	0.690	211 per 100 units	205 per 100 units	2015/02/02	100,000,000	2014/04/30
61567	UBS AG	HKEx R Bear CBBC Feb 2015 C	0.480	190 per 100 units	185 per 100 units	2015/02/16	100,000,000	2014/04/30
61569	UBS AG	HKEx R Bear CBBC Mar 2015 C	0.280	170 per 100 units	165 per 100 units	2015/03/23	100,000,000	2014/04/30
61543	UBS AG	HSCEI R Bear CBBC Nov 2014 A	0.250	10,580 per 10,000 units	10,380 per 10,000 units	2014/11/27	100,000,000	2014/04/30
61471	UBS AG	HSI R Bull CBBC Sep 2014 W	0.250	22,530 per 10,000 units	22,730 per 10,000 units	2014/09/29	300,000,000	2014/04/30
61470	UBS AG	HSI R Bull CBBC Dec 2014 E	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/12/30	300,000,000	2014/04/30
61604	UBS AG	HSI R Bear CBBC Jul 2014 B	0.250	22,710 per 10,000 units	22,510 per 10,000 units	2014/07/30	300,000,000	2014/04/30
61602	UBS AG	HSI R Bear CBBC Aug 2014 J	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/04/30
61542	UBS AG	HSI R Bear CBBC Sep 2014 P	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/09/29	300,000,000	2014/04/30
61511	UBS AG	HSI R Bear CBBC Oct 2014 K	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/10/30	300,000,000	2014/04/30
61534	UBS AG	HSI R Bear CBBC Oct 2014 L	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/10/30	300,000,000	2014/04/30
61493	UBS AG	HSI R Bear CBBC Dec 2014 B	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	300,000,000	2014/04/30
61973	Credit Suisse AG	HSI R Bull CBBC Oct 2014 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/10/30	238,000,000	2014/05/02
61975	Credit Suisse AG	HSI R Bull CBBC Oct 2014 S	0.250	22,362 per 12,000 units	22,562 per 12,000 units	2014/10/30	238,000,000	2014/05/02
61889	Credit Suisse AG	HSI R Bear CBBC Aug 2014 S	0.250	22,728 per 12,000 units	22,528 per 12,000 units	2014/08/28	238,000,000	2014/05/02
61891	Credit Suisse AG	HSI R Bear CBBC Aug 2014 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	238,000,000	2014/05/02
61861	HK Bank	ABC R Bull CBBC Jul 2015 A	0.250	2.3	2.55	2015/07/17	80,000,000	2014/05/02
61864	HK Bank	Sinopec Corp R Bear CBBC Apr 2015 A	0.250	8.03	7.73	2015/04/20	40,000,000	2014/05/02
61865	HK Bank	Sinopec Corp R Bear CBBC Apr 2015 B	0.250	8.63	8.33	2015/04/27	40,000,000	2014/05/02
61852	HK Bank	HSCEI R Bear CBBC Dec 2014 C	0.250	10,388 per 10,000 units	10,188 per 10,000 units	2014/12/30	68,000,000	2014/05/02
61834	HK Bank	HSI R Bear CBBC Nov 2014 Q	0.250	22,788 per 15,000 units	22,588 per 15,000 units	2014/11/27	100,000,000	2014/05/02
61833	HK Bank	Huishan Dairy R Bull CBBC Nov 2015 A	0.250	1.3	1.6	2015/11/30	40,000,000	2014/05/02
62061	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 A	0.250	22,362 per 10,000 units	22,562 per 10,000 units	2014/12/30	300,000,000	2014/05/02
61824	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Z	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/12/30	300,000,000	2014/05/02
61825	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 V	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2014/05/02
61828	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 W	0.250	22,710 per 10,000 units	22,510 per 10,000 units	2014/08/28	300,000,000	2014/05/02
62049	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 X	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/08/28	300,000,000	2014/05/02
61823	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 B	0.250	23,180 per 15,000 units	22,980 per 15,000 units	2015/03/30	100,000,000	2014/05/02
61894	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 C	0.250	24,180 per 12,000 units	23,980 per 12,000 units	2015/03/30	100,000,000	2014/05/02
61893	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug 14 E	0.250	22,668 per 10,000 units	22,568 per 10,000 units	2014/08/28	200,000,000	2014/05/02
61967	UBS AG	HKEx R Bull CBBC May 2015 B	0.400	102.50 per 100 units	107.50 per 100 units	2015/05/11	50,000,000	2014/05/02
62045	UBS AG	HKEx R Bull CBBC May 2015 C	0.250	137 per 100 units	142 per 100 units	2015/05/11	50,000,000	2014/05/02
61960	UBS AG	HKEx R Bull CBBC Jun 2015 B	0.250	122.50 per 100 units	127.50 per 100 units	2015/06/15	50,000,000	2014/05/02
61959	UBS AG	HKEx R Bull CBBC Jul 2015 A	0.250	132.50 per 100 units	137.50 per 100 units	2015/07/13	50,000,000	2014/05/02
61966	UBS AG	HKEx R Bull CBBC Sep 2015 A	0.300	112.50 per 100 units	117.50 per 100 units	2015/09/21	50,000,000	2014/05/02
61970	UBS AG	HKEx R Bull CBBC Sep 2015 B	0.500	92.50 per 100 units	97.50 per 100 units	2015/09/14	50,000,000	2014/05/02
61918	UBS AG	HKEx R Bear CBBC Jan 2015 C	0.790	220.50 per 100 units	212.50 per 100 units	2015/01/26	50,000,000	2014/05/02
61919	UBS AG	HKEx R Bear CBBC Jan 2015 D	0.690	210.50 per 100 units	202.50 per 100 units	2015/01/19	50,000,000	2014/05/02
61924	UBS AG	HKEx R Bear CBBC Jan 2015 E	0.465	188.50 per 100 units	182.50 per 100 units	2015/01/05	50,000,000	2014/05/02
61955	UBS AG	HKEx R Bear CBBC Jan 2015 F	0.265	168.50 per 100 units	162.50 per 100 units	2015/01/12	50,000,000	2014/05/02
61957	UBS AG	HKEx R Bear CBBC Jan 2015 G	0.250	151 per 100 units	145 per 100 units	2015/01/26	100,000,000	2014/05/02

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61900	UBS AG	HKEx R Bear CBBC Feb 2015 D	0.250	147.10 per 100 units	141.10 per 100 units	2015/02/02	50,000,000	2014/05/02
61911	UBS AG	HKEx R Bear CBBC Feb 2015 E	0.810	223 per 100 units	215 per 100 units	2015/02/09	100,000,000	2014/05/02
61922	UBS AG	HKEx R Bear CBBC Feb 2015 F	0.590	201 per 100 units	195 per 100 units	2015/02/09	100,000,000	2014/05/02
61931	UBS AG	HKEx R Bear CBBC Feb 2015 G	0.365	178.50 per 100 units	172.50 per 100 units	2015/02/02	50,000,000	2014/05/02
61956	UBS AG	HKEx R Bear CBBC Feb 2015 H	0.250	158.50 per 100 units	152.50 per 100 units	2015/02/16	50,000,000	2014/05/02
61905	UBS AG	HKEx R Bear CBBC Mar 2015 D	0.860	228 per 100 units	220 per 100 units	2015/03/16	100,000,000	2014/05/02
61923	UBS AG	HKEx R Bear CBBC Mar 2015 E	0.570	198.50 per 100 units	192.50 per 100 units	2015/03/02	50,000,000	2014/05/02
61927	UBS AG	HKEx R Bear CBBC Mar 2015 F	0.440	186 per 100 units	180 per 100 units	2015/03/30	100,000,000	2014/05/02
61928	UBS AG	HKEx R Bear CBBC Mar 2015 G	0.390	181 per 100 units	175 per 100 units	2015/03/02	100,000,000	2014/05/02
61976	UBS AG	HSI R Bull CBBC Oct 2014 C	0.250	22,362 per 10,000 units	22,562 per 10,000 units	2014/10/30	300,000,000	2014/05/02
61896	UBS AG	HSI R Bear CBBC Sep 2014 Q	0.250	22,725 per 10,000 units	22,525 per 10,000 units	2014/09/29	300,000,000	2014/05/02
62008	UBS AG	HSI R Bear CBBC Oct 2014 M	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/05/02
62150	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 I	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/01/29	300,000,000	2014/05/05
62151	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 J	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2015/01/29	300,000,000	2014/05/05
62347	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 K	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2015/01/29	300,000,000	2014/05/05
62353	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 F	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62348	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 H	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62349	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 K	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62386	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 L	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62364	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 W	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62218	Credit Suisse AG	HKEx R Bull CBBC Jan 2015 A	0.270	113 per 100 units	118 per 100 units	2015/01/30	50,000,000	2014/05/05
62268	Credit Suisse AG	HKEx R Bull CBBC Jan 2015 B	0.440	96 per 100 units	101 per 100 units	2015/01/30	50,000,000	2014/05/05
62266	Credit Suisse AG	HKEx R Bull CBBC Feb 2015 A	0.340	106 per 100 units	111 per 100 units	2015/02/27	50,000,000	2014/05/05
62287	Credit Suisse AG	HKEx R Bear CBBC Jan 2015 A	0.250	144.80 per 100 units	139.80 per 100 units	2015/01/30	50,000,000	2014/05/05
62300	Credit Suisse AG	HKEx R Bear CBBC Jan 2015 B	0.250	162.80 per 100 units	157.80 per 100 units	2015/01/30	50,000,000	2014/05/05
62308	Credit Suisse AG	HKEx R Bear CBBC Jan 2015 C	0.350	172.80 per 100 units	167.80 per 100 units	2015/01/30	50,000,000	2014/05/05
62311	Credit Suisse AG	HKEx R Bear CBBC Jan 2015 D	0.510	188.50 per 100 units	183.50 per 100 units	2015/01/30	50,000,000	2014/05/05
62310	Credit Suisse AG	HKEx R Bear CBBC Feb 2015 A	0.250	151 per 100 units	146 per 100 units	2015/02/27	50,000,000	2014/05/05
62312	Credit Suisse AG	HKEx R Bear CBBC Feb 2015 B	0.670	203.80 per 100 units	198.80 per 100 units	2015/02/27	50,000,000	2014/05/05
62205	Credit Suisse AG	HSI R Bull CBBC Sep 2014 F	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	238,000,000	2014/05/05
62209	Credit Suisse AG	HSI R Bull CBBC Sep 2014 O	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/09/29	238,000,000	2014/05/05
62153	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Q	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/09/29	238,000,000	2014/05/05
62152	Credit Suisse AG	HSI R Bull CBBC Sep 2014 S	0.250	22,338 per 10,000 units	22,538 per 10,000 units	2014/09/29	238,000,000	2014/05/05
62683	Credit Suisse AG	HSI R Bear CBBC Aug 2014 U	0.250	22,424 per 10,000 units	22,224 per 10,000 units	2014/08/28	238,000,000	2014/05/05
62679	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Y	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/08/28	238,000,000	2014/05/05
62677	Credit Suisse AG	HSI R Bear CBBC Sep 2014 N	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/09/29	238,000,000	2014/05/05
62682	Credit Suisse AG	HSI R Bear CBBC Sep 2014 O	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/09/29	238,000,000	2014/05/05
62643	Bank of East Asia	Minsheng Bank R Bull CBBC Dec 2014 A	0.250	6.68	6.98	2014/12/05	80,000,000	2014/05/05
62654	Bank of East Asia	China Shenhua R Bear CBBC Feb 2015 A	0.250	27.088 per 100 units	25.088 per 100 units	2015/02/02	200,000,000	2014/05/05
62635	Bank of East Asia	HKEx R Bull CBBC Nov 2014 A	0.250	124 per 100 units	128 per 100 units	2014/11/28	60,000,000	2014/05/05
62639	Bank of East Asia	HKEx R Bear CBBC Nov 2014 A	0.260	165 per 100 units	160 per 100 units	2014/11/26	60,000,000	2014/05/05
62645	Bank of East Asia	Huishan Dairy R Bull CBBC Dec 2015 B	0.250	1.3	1.6	2015/12/29	300,000,000	2014/05/05
62343	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 Q	0.252	22,738 per 20,000 units	22,438 per 20,000 units	2014/08/28	150,000,000	2014/05/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62314	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 Y	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62321	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 Z	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62346	Macquarie Bank Ltd.	HSI R Bull CBBC Aug 2014 B	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/08/28	50,000,000	2014/05/05
62344	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/09/29	50,000,000	2014/05/05
62345	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 H	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/09/29	50,000,000	2014/05/05
62147	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 B	0.250	22,180 per 20,000 units	22,380 per 20,000 units	2015/03/30	100,000,000	2014/05/05
62146	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 D	0.250	22,880 per 20,000 units	22,680 per 20,000 units	2015/03/30	100,000,000	2014/05/05
62078	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 Q	0.250	22,238 per 10,000 units	22,338 per 10,000 units	2014/10/30	200,000,000	2014/05/05
62079	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 R	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/10/30	200,000,000	2014/05/05
62329	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 F	0.250	22,368 per 10,000 units	22,268 per 10,000 units	2014/08/28	200,000,000	2014/05/05
62330	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 G	0.250	22,438 per 10,000 units	22,338 per 10,000 units	2014/08/28	200,000,000	2014/05/05
62331	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 H	0.250	22,518 per 10,000 units	22,418 per 10,000 units	2014/08/28	200,000,000	2014/05/05
62342	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 I	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2014/08/28	200,000,000	2014/05/05
62512	UBS AG	China Mobile R Bull CBBC Jun 2015 A	0.250	57.50 per 100 units	60 per 100 units	2015/06/22	50,000,000	2014/05/05
62511	UBS AG	China Shenhua R Bull CBBC Oct 2015 A	0.250	15.38 per 100 units	17.38 per 100 units	2015/10/26	50,000,000	2014/05/05
62615	UBS AG	HKEx R Bull CBBC Jun 2015 C	0.250	135 per 100 units	140 per 100 units	2015/06/01	100,000,000	2014/05/05
62685	UBS AG	HKEx R Bear CBBC Dec 2014 A	0.250	146 per 100 units	140 per 100 units	2014/12/22	50,000,000	2014/05/05
62561	UBS AG	HKEx R Bear CBBC Jan 2015 H	1.100	248 per 100 units	240 per 100 units	2015/01/26	50,000,000	2014/05/05
62562	UBS AG	HKEx R Bear CBBC Jan 2015 I	1.000	238 per 100 units	230 per 100 units	2015/01/05	50,000,000	2014/05/05
62557	UBS AG	HKEx R Bear CBBC Feb 2015 I	1.200	258 per 100 units	250 per 100 units	2015/02/16	50,000,000	2014/05/05
62536	UBS AG	HKEx R Bear CBBC Mar 2015 H	1.300	268 per 100 units	260 per 100 units	2015/03/09	50,000,000	2014/05/05
62392	UBS AG	HSCEI R Bull CBBC Dec 2014 H	0.250	8,700 per 10,000 units	8,900 per 10,000 units	2014/12/30	100,000,000	2014/05/05
62400	UBS AG	HSCEI R Bull CBBC Dec 2014 I	0.250	8,400 per 10,000 units	8,600 per 10,000 units	2014/12/30	100,000,000	2014/05/05
62387	UBS AG	HSCEI R Bear CBBC Oct 2014 A	0.250	10,380 per 10,000 units	10,180 per 10,000 units	2014/10/30	100,000,000	2014/05/05
62578	UBS AG	HSI R Bull CBBC Sep 2014 S	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2014/05/05
62577	UBS AG	HSI R Bull CBBC Oct 2014 L	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/10/30	300,000,000	2014/05/05
62690	UBS AG	HSI R Bear CBBC Aug 2014 F	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62692	UBS AG	HSI R Bear CBBC Aug 2014 H	0.250	22,424 per 10,000 units	22,224 per 10,000 units	2014/08/28	300,000,000	2014/05/05
62691	UBS AG	HSI R Bear CBBC Sep 2014 R	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2014/05/05
62564	UBS AG	Huishan Dairy R Bull CBBC Nov 2015 B	0.250	1.3	1.6	2015/11/16	40,000,000	2014/05/05
62503	UBS AG	Huishan Dairy R Bull CBBC Dec 2015 B	0.250	1.5	1.7	2015/12/14	40,000,000	2014/05/05
62775	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 L	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2015/01/29	300,000,000	2014/05/07
62779	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 M	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2015/01/29	300,000,000	2014/05/07
62830	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 I	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2014/05/07
62822	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 X	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/05/07
62795	Credit Suisse AG	HSI R Bull CBBC Oct 2014 C	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/10/30	238,000,000	2014/05/07
62793	Credit Suisse AG	HSI R Bull CBBC Oct 2014 P	0.250	22,023 per 10,000 units	22,223 per 10,000 units	2014/10/30	238,000,000	2014/05/07
62874	Credit Suisse AG	HSI R Bear CBBC Aug 2014 V	0.250	22,333 per 10,000 units	22,133 per 10,000 units	2014/08/28	238,000,000	2014/05/07
62852	Credit Suisse AG	HSI R Bear CBBC Sep 2014 P	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/09/29	238,000,000	2014/05/07
62855	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Q	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/09/29	238,000,000	2014/05/07
62915	Bank of East Asia	China Cinda R Bull CBBC Mar 2015 A	0.250	3.18	3.5	2015/03/27	200,000,000	2014/05/07
62916	Bank of East Asia	Kingsoft R Bull CBBC Apr 2015 A	0.250	20 per 100 units	22 per 100 units	2015/04/29	200,000,000	2014/05/07
62914	Bank of East Asia	Lenovo R Bull CBBC Dec 2014 B	0.250	7.1	7.6	2014/12/31	80,000,000	2014/05/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62724	HK Bank	Anhui Conch R Bull CBBC Jul 2015 B	0.250	25.68 per 100 units	27.68 per 100 units	2015/07/27	50,000,000	2014/05/07
62728	HK Bank	China Cinda R Bull CBBC Dec 2014 A	0.250	3.45	3.75	2014/12/22	50,000,000	2014/05/07
62696	HK Bank	China Cinda R Bull CBBC Jan 2015 A	0.250	3.21	3.51	2015/01/26	50,000,000	2014/05/07
62707	HK Bank	GCL-Poly Energy R Bull CBBC Aug 2015 A	0.250	2.1	2.35	2015/08/28	40,000,000	2014/05/07
62729	HK Bank	GCL-Poly Energy R Bull CBBC Sep 2015 A	0.250	1.87	2.12	2015/09/18	40,000,000	2014/05/07
62705	HK Bank	HSI R Bear CBBC Nov 2014 R	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/11/27	100,000,000	2014/05/07
62695	HK Bank	HSI R Bear CBBC Dec 2014 K	0.250	22,588 per 15,000 units	22,388 per 15,000 units	2014/12/30	100,000,000	2014/05/07
62694	HK Bank	HSI R Bear CBBC Jan 2015 B	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2015/01/29	100,000,000	2014/05/07
62732	HK Bank	Kingsoft R Bull CBBC Sep 2015 A	0.250	22.88 per 100 units	24.88 per 100 units	2015/09/18	50,000,000	2014/05/07
62734	HK Bank	Kingsoft R Bull CBBC Sep 2015 B	0.250	19.88 per 100 units	21.88 per 100 units	2015/09/18	50,000,000	2014/05/07
62709	HK Bank	Lenovo R Bull CBBC Dec 2014 C	0.250	7.68	8.18	2014/12/22	60,000,000	2014/05/07
62717	HK Bank	Lenovo R Bull CBBC Jan 2015 A	0.250	7.08	7.58	2015/01/26	60,000,000	2014/05/07
62722	HK Bank	Lenovo R Bear CBBC Dec 2014 B	0.250	10.18	9.68	2014/12/22	40,000,000	2014/05/07
62744	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Q	0.250	22,200 per 10,000 units	22,200 per 10,000 units	2014/10/30	300,000,000	2014/05/07
62735	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Y	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/05/07
62913	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 A	0.250	22,333 per 10,000 units	22,133 per 10,000 units	2014/08/28	300,000,000	2014/05/07
62894	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 A	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/09/29	300,000,000	2014/05/07
62898	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 B	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/09/29	300,000,000	2014/05/07
62834	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 A	0.250	24,080 per 15,000 units	23,880 per 15,000 units	2015/01/29	100,000,000	2014/05/07
62804	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 E	0.250	22,680 per 12,000 units	22,480 per 12,000 units	2015/03/30	100,000,000	2014/05/07
62845	Standard Chartered Bank	Kingsoft R Bull CBBC Dec 2014 A	0.550	20.8	22.8	2014/12/12	50,000,000	2014/05/07
62849	Standard Chartered Bank	Kingsoft R Bear CBBC Dec 2014 A	0.750	33.3	30.8	2014/12/19	50,000,000	2014/05/07
62789	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 S	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/10/30	200,000,000	2014/05/07
62821	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 J	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2014/08/28	200,000,000	2014/05/07
62893	UBS AG	HSBC R Bull CBBC Apr 2015 B	0.250	62.20 per 100 units	65 per 100 units	2015/04/20	50,000,000	2014/05/07
62886	UBS AG	HSBC R Bear CBBC Feb 2015 A	0.250	90.30 per 100 units	87.50 per 100 units	2015/02/02	50,000,000	2014/05/07
62801	UBS AG	HSI R Bull CBBC Nov 2014 M	0.250	22,023 per 10,000 units	22,223 per 10,000 units	2014/11/27	300,000,000	2014/05/07
62798	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/05/07
62875	UBS AG	HSI R Bear CBBC Aug 2014 K	0.250	22,333 per 10,000 units	22,133 per 10,000 units	2014/08/28	300,000,000	2014/05/07
62880	UBS AG	HSI R Bear CBBC Sep 2014 S	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/09/29	300,000,000	2014/05/07
62878	UBS AG	HSI R Bear CBBC Oct 2014 N	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/10/30	300,000,000	2014/05/07
62881	UBS AG	HSI R Bear CBBC Oct 2014 O	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/10/30	300,000,000	2014/05/07
62882	UBS AG	TCH R Bear CBBC Jan 2015 A	0.255	533 per 100 units	520 per 100 units	2015/01/12	40,000,000	2014/05/07
62958	Credit Suisse AG	HSI R Bull CBBC Dec 2014 J	0.250	22,253 per 10,000 units	22,453 per 10,000 units	2014/12/30	238,000,000	2014/05/08
62959	Credit Suisse AG	HSI R Bull CBBC Dec 2014 M	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	238,000,000	2014/05/08
62962	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Y	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/12/30	238,000,000	2014/05/08
62926	Credit Suisse AG	HSI R Bear CBBC Sep 2014 R	0.250	22,368 per 10,000 units	22,168 per 10,000 units	2014/09/29	238,000,000	2014/05/08
62928	Credit Suisse AG	HSI R Bear CBBC Sep 2014 S	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/09/29	238,000,000	2014/05/08
62955	Credit Suisse AG	HSI R Bear CBBC Sep 2014 T	0.250	22,588 per 10,000 units	22,388 per 10,000 units	2014/09/29	238,000,000	2014/05/08
63002	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2014 L	0.273	21,938 per 20,000 units	22,238 per 20,000 units	2014/08/28	150,000,000	2014/05/08
62917	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 F	0.250	76.27 per 100 units	73.27 per 100 units	2014/11/21	60,000,000	2014/05/08
62919	HK Bank	Kingsoft R Bull CBBC Dec 2014 A	0.420	21.88	23.88	2014/12/08	50,000,000	2014/05/08
62918	HK Bank	TCH R Bear CBBC Nov 2014 P	0.400	538 per 100 units	528 per 100 units	2014/11/17	50,000,000	2014/05/08

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62996	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 U	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/10/30	300,000,000	2014/05/08
62992	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Q	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/05/08
62921	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 B	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/05/08
62920	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 C	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2014/05/08
62937	Standard Chartered Bank	Anhui Conch R Bull CBBC Jul 2015 A	0.250	23 per 100 units	25 per 100 units	2015/07/30	50,000,000	2014/05/08
62938	Standard Chartered Bank	Anhui Conch R Bear CBBC Dec 2014 A	0.250	33 per 100 units	31 per 100 units	2014/12/31	50,000,000	2014/05/08
62934	Standard Chartered Bank	GCL-Poly Energy R Bull CBBC Nov15A	0.250	1.93	2.18	2015/11/25	50,000,000	2014/05/08
62933	Standard Chartered Bank	GCL-Poly Energy R Bear CBBC Dec14A	0.250	3.68	3.18	2014/12/02	50,000,000	2014/05/08
62932	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 B	0.250	22,780 per 20,000 units	22,580 per 20,000 units	2015/01/29	100,000,000	2014/05/08
62950	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 C	0.250	22,580 per 15,000 units	22,380 per 15,000 units	2015/01/29	100,000,000	2014/05/08
62939	Standard Chartered Bank	PetCh R Bull CBBC Oct 2014 A	0.250	7.8	8.2	2014/10/23	50,000,000	2014/05/08
62949	Standard Chartered Bank	PetCh R Bear CBBC Sep 2014 B	0.250	10	9.6	2014/09/26	50,000,000	2014/05/08
62986	UBS AG	Anhui Conch R Bull CBBC Jul 2015 B	0.250	25.88 per 100 units	27.88 per 100 units	2015/07/13	50,000,000	2014/05/08
62983	UBS AG	China Cinda R Bull CBBC Jan 2015 A	0.250	3.45	3.75	2015/01/12	50,000,000	2014/05/08
62984	UBS AG	China Cinda R Bull CBBC Jan 2015 B	0.250	3.25	3.55	2015/01/19	50,000,000	2014/05/08
62981	UBS AG	GCL-Poly R Bull CBBC Aug 2015 A	0.250	2.1	2.35	2015/08/03	50,000,000	2014/05/08
63007	UBS AG	Galaxy Ent R Bull CBBC Dec 2014 A	0.250	52 per 100 units	55 per 100 units	2014/12/01	50,000,000	2014/05/08
63008	UBS AG	Galaxy Ent R Bull CBBC Feb 2015 A	0.250	47 per 100 units	50 per 100 units	2015/02/09	50,000,000	2014/05/08
63006	UBS AG	HSI R Bull CBBC Oct 2014 G	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/10/30	300,000,000	2014/05/08
63005	UBS AG	HSI R Bull CBBC Oct 2014 T	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/10/30	300,000,000	2014/05/08
63004	UBS AG	HSI R Bull CBBC Dec 2014 D	0.250	22,253 per 10,000 units	22,453 per 10,000 units	2014/12/30	300,000,000	2014/05/08
62987	UBS AG	HSI R Bear CBBC Aug 2014 L	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/05/08
62989	UBS AG	HSI R Bear CBBC Aug 2014 M	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2014/05/08
62990	UBS AG	HSI R Bear CBBC Aug 2014 N	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/08/28	300,000,000	2014/05/08
62978	UBS AG	Kingsoft R Bull CBBC Jul 2015 A	0.250	22 per 100 units	24 per 100 units	2015/07/13	50,000,000	2014/05/08
63003	UBS AG	Kingsoft R Bull CBBC Jul 2015 B	0.250	24 per 100 units	26 per 100 units	2015/07/27	50,000,000	2014/05/08
62979	UBS AG	Kingsoft R Bull CBBC Aug 2015 A	0.250	20 per 100 units	22 per 100 units	2015/08/03	50,000,000	2014/05/08
62970	UBS AG	Kingsoft R Bear CBBC Dec 2014 A	0.250	32.50 per 100 units	30 per 100 units	2014/12/22	50,000,000	2014/05/08
62985	UBS AG	Lenovo R Bull CBBC Dec 2014 E	0.250	7.7	8.2	2014/12/15	50,000,000	2014/05/08
63035	Credit Suisse AG	China Mobile R Bull CBBC Dec 2014 A	0.250	67.18 per 100 units	69.68 per 100 units	2014/12/23	50,000,000	2014/05/09
63042	Credit Suisse AG	Galaxy Ent R Bull CBBC Dec 2014 A	0.250	46.30 per 100 units	48.80 per 100 units	2014/12/23	50,000,000	2014/05/09
63029	Credit Suisse AG	HSI R Bull CBBC Nov 2014 O	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/11/27	238,000,000	2014/05/09
63034	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/11/27	238,000,000	2014/05/09
63099	Credit Suisse AG	HSI R Bear CBBC Sep 2014 U	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/09/29	238,000,000	2014/05/09
63101	Credit Suisse AG	HSI R Bear CBBC Sep 2014 V	0.250	22,334 per 12,000 units	22,134 per 12,000 units	2014/09/29	238,000,000	2014/05/09
63104	Credit Suisse AG	TCH R Bull CBBC Dec 2014 A	0.770	409 per 100 units	420.80 per 100 units	2014/12/23	50,000,000	2014/05/09
63043	Credit Suisse AG	TCH R Bear CBBC Sep 2014 A	0.250	529.80 per 100 units	518 per 100 units	2014/09/30	50,000,000	2014/05/09
63044	Credit Suisse AG	TCH R Bear CBBC Sep 2014 B	0.380	544.80 per 100 units	533 per 100 units	2014/09/30	50,000,000	2014/05/09
63026	HK Bank	China Cinda R Bull CBBC Feb 2015 A	0.250	2.96	3.26	2015/02/16	50,000,000	2014/05/09
63023	HK Bank	HSI R Bull CBBC Nov 2014 X	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/11/27	100,000,000	2014/05/09
63024	HK Bank	HSI R Bull CBBC Dec 2014 M	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/12/30	100,000,000	2014/05/09
63022	HK Bank	HSI R Bull CBBC Jan 2015 F	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2015/01/29	100,000,000	2014/05/09
63025	HK Bank	ICBC R Bull CBBC Dec 2014 C	0.250	3.98	4.23	2014/12/22	80,000,000	2014/05/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63027	HK Bank	Ping An R Bull CBBC Nov 2014 C	0.250	50.38 per 100 units	54.38 per 100 units	2014/11/10	60,000,000	2014/05/09
63028	HK Bank	Ping An R Bull CBBC Dec 2014 B	0.250	45.38 per 100 units	49.38 per 100 units	2014/12/22	60,000,000	2014/05/09
63012	HK Bank	TCH R Bear CBBC Nov 2014 Q	0.250	528.88 per 100 units	518.88 per 100 units	2014/11/27	50,000,000	2014/05/09
63011	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 B	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/11/27	300,000,000	2014/05/09
63010	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Z	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/11/27	300,000,000	2014/05/09
63112	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 C	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2014/05/09
63059	Standard Chartered Bank	ABC R Bull CBBC Oct 2015 A	0.250	2.55	2.8	2015/10/26	50,000,000	2014/05/09
63050	Standard Chartered Bank	China Mobile R Bull CBBC Nov 2014D	0.250	60.30 per 100 units	62.80 per 100 units	2014/11/19	50,000,000	2014/05/09
63052	Standard Chartered Bank	China Mobile R Bull CBBC Nov 2014E	0.250	63.30 per 100 units	65.80 per 100 units	2014/11/20	50,000,000	2014/05/09
63066	Standard Chartered Bank	Minsheng Bank R Bear CBBC Jan 15 A	0.250	9.3	8.8	2015/01/20	50,000,000	2014/05/09
63055	Standard Chartered Bank	Galaxy Ent R Bear CBBC Oct 2014 B	0.250	71.88 per 100 units	68.88 per 100 units	2014/10/30	50,000,000	2014/05/09
63045	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 A	0.250	21,980 per 12,000 units	22,180 per 12,000 units	2015/04/29	100,000,000	2014/05/09
63078	Standard Chartered Bank	Kingsoft R Bull CBBC Jul 2015 A	0.250	22.80 per 100 units	24.80 per 100 units	2015/07/15	50,000,000	2014/05/09
63080	Standard Chartered Bank	Kingsoft R Bear CBBC Dec 2014 B	0.250	35.30 per 100 units	32.80 per 100 units	2014/12/19	50,000,000	2014/05/09
63046	Standard Chartered Bank	Ping An R Bear CBBC Nov 2014 A	0.250	70.80 per 100 units	66.80 per 100 units	2014/11/13	50,000,000	2014/05/09
63098	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	22,225 per 10,000 units	22,425 per 10,000 units	2014/11/27	300,000,000	2014/05/09
63092	UBS AG	HSI R Bull CBBC Dec 2014 N	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/12/30	300,000,000	2014/05/09
63105	UBS AG	HSI R Bear CBBC Aug 2014 Q	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/05/09
63110	UBS AG	HSI R Bear CBBC Sep 2014 T	0.250	22,340 per 12,000 units	22,140 per 12,000 units	2014/09/29	350,000,000	2014/05/09
63166	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	21,838 per 10,000 units	22,038 per 10,000 units	2014/12/30	238,000,000	2014/05/12
63167	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	22,060 per 10,000 units	22,260 per 10,000 units	2014/12/30	238,000,000	2014/05/12
63151	Credit Suisse AG	HSI R Bear CBBC Oct 2014 B	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/10/30	238,000,000	2014/05/12
63154	Credit Suisse AG	HSI R Bear CBBC Oct 2014 C	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2014/10/30	238,000,000	2014/05/12
63121	HK Bank	China Mobile R Bull CBBC Jan 2015 E	0.250	67.68 per 100 units	70.18 per 100 units	2015/01/12	120,000,000	2014/05/12
63131	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 G	0.250	73.27 per 100 units	70.27 per 100 units	2014/11/28	60,000,000	2014/05/12
63116	HK Bank	HSI R Bear CBBC Dec 2014 L	0.250	22,388 per 15,000 units	22,188 per 15,000 units	2014/12/30	100,000,000	2014/05/12
63144	HK Bank	Kingsoft R Bull CBBC Dec 2014 B	0.510	18.88	20.88	2014/12/22	50,000,000	2014/05/12
63145	HK Bank	Kingsoft R Bull CBBC Dec 2014 C	0.610	17.88	19.88	2014/12/22	50,000,000	2014/05/12
63147	HK Bank	Kingsoft R Bull CBBC Dec 2014 D	0.710	16.88	18.88	2014/12/22	50,000,000	2014/05/12
63142	HK Bank	Kingsoft R Bull CBBC Jan 2015 A	0.310	20.88	22.88	2015/01/12	50,000,000	2014/05/12
63133	HK Bank	Kingsoft R Bull CBBC Aug 2015 A	0.250	14.88 per 100 units	16.88 per 100 units	2015/08/18	50,000,000	2014/05/12
63148	HK Bank	Kingsoft R Bull CBBC Aug 2015 B	0.250	15.88 per 100 units	17.88 per 100 units	2015/08/18	50,000,000	2014/05/12
63138	HK Bank	Kingsoft R Bear CBBC Jan 2015 A	0.820	31.88	29.88	2015/01/30	40,000,000	2014/05/12
63140	HK Bank	Kingsoft R Bear CBBC Jan 2015 B	1.020	33.88	31.88	2015/01/30	40,000,000	2014/05/12
63122	HK Bank	TCH R Bear CBBC Dec 2014 I	0.400	518.88 per 100 units	508.88 per 100 units	2014/12/18	50,000,000	2014/05/12
63129	HK Bank	TCH R Bear CBBC Dec 2014 J	0.300	508.88 per 100 units	498.88 per 100 units	2014/12/11	50,000,000	2014/05/12
63168	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 M	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/11/27	300,000,000	2014/05/12
63149	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 D	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/09/29	300,000,000	2014/05/12
63155	Standard Chartered Bank	Kingsoft R Bull CBBC Jul 2015 B	0.520	18.8	20.8	2015/07/22	50,000,000	2014/05/12
63156	Standard Chartered Bank	Kingsoft R Bear CBBC Mar 2015 A	0.720	30.8	28.8	2015/03/17	50,000,000	2014/05/12
63169	UBS AG	HSI R Bull CBBC Jan 2015 B	0.250	22,060 per 10,000 units	22,260 per 10,000 units	2015/01/29	300,000,000	2014/05/12
63157	UBS AG	HSI R Bear CBBC Aug 2014 R	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2014/05/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63161	UBS AG	ICBC R Bull CBBC Jul 2015 B	0.250	3.55	3.75	2015/07/27	50,000,000	2014/05/12
63158	UBS AG	Ping An R Bull CBBC Jul 2015 A	0.250	46 per 100 units	50 per 100 units	2015/07/27	50,000,000	2014/05/12
63213	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 N	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2015/01/29	300,000,000	2014/05/13
63215	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 O	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2015/01/29	300,000,000	2014/05/13
63281	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 D	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/08/28	300,000,000	2014/05/13
63282	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 J	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2014/05/13
63283	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 R	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2014/05/13
63280	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 Y	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/08/28	300,000,000	2014/05/13
63249	Credit Suisse AG	HSI R Bull CBBC Nov 2014 E	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/11/27	238,000,000	2014/05/13
63242	Credit Suisse AG	HSI R Bull CBBC Nov 2014 H	0.250	22,038 per 10,000 units	22,238 per 10,000 units	2014/11/27	238,000,000	2014/05/13
63247	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Q	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/11/27	238,000,000	2014/05/13
63245	Credit Suisse AG	HSI R Bull CBBC Nov 2014 R	0.250	21,908 per 10,000 units	22,108 per 10,000 units	2014/11/27	238,000,000	2014/05/13
63287	Credit Suisse AG	HSI R Bear CBBC Aug 2014 W	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/08/28	238,000,000	2014/05/13
63285	Credit Suisse AG	HSI R Bear CBBC Sep 2014 W	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/09/29	238,000,000	2014/05/13
63289	Credit Suisse AG	HSI R Bear CBBC Sep 2014 X	0.250	22,118 per 12,000 units	21,918 per 12,000 units	2014/09/29	238,000,000	2014/05/13
63257	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 R	0.252	22,438 per 20,000 units	22,138 per 20,000 units	2014/08/28	150,000,000	2014/05/13
63170	HK Bank	COVS R Bear CBBC May 2015 A	0.320	21.88	20.88	2015/05/04	40,000,000	2014/05/13
63203	HK Bank	Minsheng Bank R Bull CBBC Jan 2015 A	0.250	6.78	7.28	2015/01/26	40,000,000	2014/05/13
63189	HK Bank	Galaxy Ent R Bull CBBC Dec 2014 B	0.250	53.27 per 100 units	56.27 per 100 units	2014/12/15	80,000,000	2014/05/13
63191	HK Bank	Galaxy Ent R Bull CBBC Dec 2014 C	0.250	50.77 per 100 units	53.77 per 100 units	2014/12/08	80,000,000	2014/05/13
63178	HK Bank	Galaxy Ent R Bull CBBC Jan 2015 B	0.250	48.27 per 100 units	51.27 per 100 units	2015/01/19	80,000,000	2014/05/13
63177	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 I	0.250	70.27 per 100 units	67.27 per 100 units	2014/12/03	60,000,000	2014/05/13
63182	HK Bank	Sands China R Bull CBBC Dec 2014 B	0.250	49.68 per 100 units	52.68 per 100 units	2014/12/08	60,000,000	2014/05/13
63184	HK Bank	Sands China R Bull CBBC Jan 2015 B	0.250	42.18 per 100 units	45.18 per 100 units	2015/01/26	60,000,000	2014/05/13
63187	HK Bank	Sands China R Bear CBBC Nov 2014 G	0.250	66.28 per 100 units	63.28 per 100 units	2014/11/24	50,000,000	2014/05/13
63176	HK Bank	TCH R Bull CBBC Nov 2014 R	0.250	473.88 per 100 units	483.88 per 100 units	2014/11/12	50,000,000	2014/05/13
63212	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 P	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/11/27	300,000,000	2014/05/13
63211	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/05/13
63204	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 D	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2015/01/29	300,000,000	2014/05/13
63295	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 D	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/08/28	300,000,000	2014/05/13
63292	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 E	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/09/29	300,000,000	2014/05/13
63234	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 B	0.250	21,680 per 20,000 units	21,880 per 20,000 units	2015/04/29	100,000,000	2014/05/13
63216	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 A	0.250	21,868 per 10,000 units	21,968 per 10,000 units	2014/09/29	200,000,000	2014/05/13
63224	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 B	0.250	22,018 per 10,000 units	22,118 per 10,000 units	2014/09/29	200,000,000	2014/05/13
63259	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 K	0.250	22,068 per 10,000 units	21,968 per 10,000 units	2014/08/28	200,000,000	2014/05/13
63278	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 L	0.250	22,218 per 10,000 units	22,118 per 10,000 units	2014/08/28	200,000,000	2014/05/13
63251	UBS AG	HSI R Bull CBBC Oct 2014 D	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/10/30	300,000,000	2014/05/13
63253	UBS AG	HSI R Bull CBBC Dec 2014 O	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	300,000,000	2014/05/13
63255	UBS AG	HSI R Bull CBBC Jan 2015 C	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2015/01/29	300,000,000	2014/05/13
63291	UBS AG	HSI R Bear CBBC Aug 2014 S	0.250	22,118 per 10,000 units	21,918 per 10,000 units	2014/08/28	300,000,000	2014/05/13
63290	UBS AG	HSI R Bear CBBC Sep 2014 U	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/09/29	300,000,000	2014/05/13
62813*	HK Bank	Galaxy Ent R Bull CBBC Aug 2014 A	0.078	47.27 per 100 units	48.27 per 100 units	2014/08/25	150,000,000	2014/05/13
63364	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 P	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2015/01/29	300,000,000	2014/05/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63365	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 Q	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2015/01/29	300,000,000	2014/05/14
63369	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 R	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2015/01/29	300,000,000	2014/05/14
63404	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 S	0.250	20,550 per 10,000 units	20,850 per 10,000 units	2015/01/29	300,000,000	2014/05/14
63417	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 T	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2015/01/29	300,000,000	2014/05/14
63422	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 A	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2014/05/14
63418	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 S	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/08/28	300,000,000	2014/05/14
63423	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 T	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/08/28	300,000,000	2014/05/14
63420	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 Z	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/05/14
63346	Credit Suisse AG	HSI R Bull CBBC Jan 2015 A	0.250	21,776 per 10,000 units	21,976 per 10,000 units	2015/01/29	238,000,000	2014/05/14
63355	Credit Suisse AG	HSI R Bull CBBC Jan 2015 B	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2015/01/29	238,000,000	2014/05/14
63356	Credit Suisse AG	HSI R Bull CBBC Jan 2015 C	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2015/01/29	238,000,000	2014/05/14
63359	Credit Suisse AG	HSI R Bull CBBC Jan 2015 D	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2015/01/29	238,000,000	2014/05/14
63363	Credit Suisse AG	HSI R Bull CBBC Jan 2015 E	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2015/01/29	238,000,000	2014/05/14
63433	Credit Suisse AG	HSI R Bear CBBC Sep 2014 A	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/09/29	238,000,000	2014/05/14
63434	Credit Suisse AG	HSI R Bear CBBC Sep 2014 D	0.250	21,939 per 10,000 units	21,739 per 10,000 units	2014/09/29	238,000,000	2014/05/14
63431	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Y	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/09/29	238,000,000	2014/05/14
63432	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Z	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/09/29	238,000,000	2014/05/14
63426	Credit Suisse AG	TCH R Bull CBBC Dec 2014 B	0.890	389 per 100 units	400 per 100 units	2014/12/23	50,000,000	2014/05/14
63429	Credit Suisse AG	TCH R Bear CBBC Sep 2014 C	0.370	510 per 100 units	499 per 100 units	2014/09/30	50,000,000	2014/05/14
63403	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 A	0.270	22,138 per 20,000 units	21,838 per 20,000 units	2014/09/29	150,000,000	2014/05/14
63337	HK Bank	ABC R Bull CBBC Jul 2015 B	0.250	2.78	3.03	2015/07/22	80,000,000	2014/05/14
63339	HK Bank	ABC R Bull CBBC Jul 2015 C	0.250	2.53	2.78	2015/07/31	80,000,000	2014/05/14
63340	HK Bank	AIA R Bear CBBC Apr 2015 A	0.250	41.48 per 100 units	40.48 per 100 units	2015/04/13	40,000,000	2014/05/14
63331	HK Bank	CC Bank R Bull CBBC Dec 2014 C	0.250	4.53	4.83	2014/12/22	80,000,000	2014/05/14
63320	HK Bank	China Life R Bull CBBC Jan 2015 A	0.250	17.68	18.68	2015/01/26	60,000,000	2014/05/14
63325	HK Bank	China Life R Bull CBBC Feb 2015 A	0.340	16.68	17.68	2015/02/16	60,000,000	2014/05/14
63329	HK Bank	China Life R Bear CBBC Dec 2014 C	0.310	22.88	21.88	2014/12/08	50,000,000	2014/05/14
63319	HK Bank	Sinopec Corp R Bull CBBC Feb 2015 A	0.250	5.43	5.73	2015/02/16	80,000,000	2014/05/14
63318	HK Bank	Sinopec Corp R Bear CBBC Apr 2015 C	0.250	7.68	7.38	2015/04/13	40,000,000	2014/05/14
63317	HK Bank	HSI R Bull CBBC Oct 2014 U	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/10/30	100,000,000	2014/05/14
63299	HK Bank	HSI R Bear CBBC Oct 2014 T	0.250	22,188 per 15,000 units	21,988 per 15,000 units	2014/10/30	100,000,000	2014/05/14
63298	HK Bank	HSI R Bear CBBC Nov 2014 S	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2014/11/27	100,000,000	2014/05/14
63397	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 R	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	300,000,000	2014/05/14
63446	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 E	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/08/28	300,000,000	2014/05/14
63445	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 F	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/09/29	300,000,000	2014/05/14
63447	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 G	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/09/29	300,000,000	2014/05/14
63380	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 I	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/09/29	50,000,000	2014/05/14
63391	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 J	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/09/29	50,000,000	2014/05/14
63392	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 K	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/09/29	50,000,000	2014/05/14
63376	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 D	0.250	22,380 per 12,000 units	22,180 per 12,000 units	2015/01/29	100,000,000	2014/05/14
63377	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 E	0.250	22,180 per 20,000 units	21,980 per 20,000 units	2015/01/29	100,000,000	2014/05/14
63439	Standard Chartered Bank	TCH R Bull CBBC Dec 2014 A	0.860	390 per 100 units	400 per 100 units	2014/12/23	50,000,000	2014/05/14
63441	Standard Chartered Bank	TCH R Bull CBBC Dec 2014 B	0.660	410 per 100 units	420 per 100 units	2014/12/18	50,000,000	2014/05/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63442	Standard Chartered Bank	TCH R Bear CBBC Dec 2014 A	0.610	532 per 100 units	520 per 100 units	2014/12/11	50,000,000	2014/05/14
63444	Standard Chartered Bank	TCH R Bear CBBC Dec 2014 B	0.810	552 per 100 units	540 per 100 units	2014/12/04	50,000,000	2014/05/14
63343	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 C	0.250	21,638 per 10,000 units	21,738 per 10,000 units	2014/09/29	200,000,000	2014/05/14
63344	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 D	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2014/09/29	200,000,000	2014/05/14
63345	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 M	0.250	22,138 per 10,000 units	22,038 per 10,000 units	2014/08/28	200,000,000	2014/05/14
63424	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 N	0.250	21,918 per 10,000 units	21,818 per 10,000 units	2014/08/28	200,000,000	2014/05/14
63395	UBS AG	Minsheng Bank R Bull CBBC Jan 2015 A	0.250	6.8	7.3	2015/01/19	50,000,000	2014/05/14
63378	UBS AG	HSI R Bull CBBC Oct 2014 E	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/10/30	300,000,000	2014/05/14
63393	UBS AG	HSI R Bull CBBC Nov 2014 D	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/11/27	300,000,000	2014/05/14
63379	UBS AG	HSI R Bull CBBC Nov 2014 O	0.250	21,776 per 10,000 units	21,976 per 10,000 units	2014/11/27	300,000,000	2014/05/14
63436	UBS AG	HSI R Bear CBBC Aug 2014 T	0.250	21,939 per 10,000 units	21,739 per 10,000 units	2014/08/28	300,000,000	2014/05/14
63435	UBS AG	HSI R Bear CBBC Sep 2014 V	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/09/29	300,000,000	2014/05/14
63487	Credit Suisse AG	HSI R Bull CBBC Dec 2014 B	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	238,000,000	2014/05/15
63488	Credit Suisse AG	HSI R Bull CBBC Dec 2014 C	0.250	21,663 per 12,000 units	21,863 per 12,000 units	2014/12/30	238,000,000	2014/05/15
63486	Credit Suisse AG	HSI R Bull CBBC Dec 2014 V	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/12/30	238,000,000	2014/05/15
63455	Credit Suisse AG	HSI R Bear CBBC Oct 2014 D	0.250	21,988 per 10,000 units	21,788 per 10,000 units	2014/10/30	238,000,000	2014/05/15
63456	Credit Suisse AG	HSI R Bear CBBC Oct 2014 E	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/10/30	238,000,000	2014/05/15
63451	HK Bank	COVS R Bull CBBC Feb 2015 A	0.400	13.88	14.88	2015/02/26	50,000,000	2014/05/15
63453	HK Bank	Galaxy Ent R Bear CBBC Feb 2015 A	0.250	67.27 per 100 units	64.27 per 100 units	2015/02/26	60,000,000	2014/05/15
63448	HK Bank	HSI R Bear CBBC Oct 2014 U	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2014/10/30	100,000,000	2014/05/15
63449	HK Bank	HSI R Bear CBBC Oct 2014 V	0.250	21,988 per 15,000 units	21,788 per 15,000 units	2014/10/30	100,000,000	2014/05/15
63450	HK Bank	HSI R Bear CBBC Oct 2014 W	0.250	23,128 per 20,000 units	22,828 per 20,000 units	2014/10/30	400,000,000	2014/05/15
63454	HK Bank	Sands China R Bear CBBC Feb 2015 A	0.250	63.28 per 100 units	60.28 per 100 units	2015/02/26	50,000,000	2014/05/15
63501	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 X	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/12/30	300,000,000	2014/05/15
63492	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 H	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/09/29	300,000,000	2014/05/15
63458	Standard Chartered Bank	GCL-Poly Energy R Bull CBBC Dec15A	0.250	1.7	2	2015/12/02	50,000,000	2014/05/15
63459	Standard Chartered Bank	GCL-Poly Energy R Bull CBBC Dec15B	0.250	1.5	1.8	2015/12/09	50,000,000	2014/05/15
63457	Standard Chartered Bank	GCL-Poly Energy R Bear CBBC Dec14B	0.250	3.18	2.68	2014/12/02	50,000,000	2014/05/15
63535	Standard Chartered Bank	Galaxy Ent R Bull CBBC Oct 2014 B	0.250	45.88 per 100 units	48.88 per 100 units	2014/10/23	50,000,000	2014/05/15
63490	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 C	0.250	21,580 per 15,000 units	21,780 per 15,000 units	2015/04/29	100,000,000	2014/05/15
63483	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep 14E	0.250	21,718 per 10,000 units	21,818 per 10,000 units	2014/09/29	200,000,000	2014/05/15
63484	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug 14O	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2014/08/28	200,000,000	2014/05/15
63485	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug 14P	0.250	22,368 per 10,000 units	22,268 per 10,000 units	2014/08/28	200,000,000	2014/05/15
63521	UBS AG	China Mobile R Bull CBBC Jun 2015 B	0.250	67.50 per 100 units	70 per 100 units	2015/06/15	100,000,000	2014/05/15
63522	UBS AG	Galaxy Ent R Bull CBBC Jun 2015 A	0.250	45 per 100 units	47.50 per 100 units	2015/06/22	50,000,000	2014/05/15
63504	UBS AG	HSI R Bull CBBC Dec 2014 P	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/12/30	300,000,000	2014/05/15
63505	UBS AG	HSI R Bull CBBC Jan 2015 D	0.250	21,663 per 10,000 units	21,863 per 10,000 units	2015/01/29	300,000,000	2014/05/15
63461	UBS AG	HSI R Bear CBBC Aug 2014 U	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/08/28	300,000,000	2014/05/15
63476	UBS AG	HSI R Bear CBBC Sep 2014 W	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/09/29	300,000,000	2014/05/15
63480	UBS AG	HSI R Bear CBBC Sep 2014 X	0.250	21,950 per 12,000 units	21,750 per 12,000 units	2014/09/29	350,000,000	2014/05/15
63502	UBS AG	HSI R Bear CBBC Mar 2015 A	0.250	23,200 per 38,000 units	22,800 per 38,000 units	2015/03/30	300,000,000	2014/05/15
63508	UBS AG	Sands China R Bull CBBC Mar 2015 A	0.250	44.50 per 100 units	47.50 per 100 units	2015/03/16	50,000,000	2014/05/15
63643	Credit Suisse AG	HSI R Bull CBBC Oct 2014 W	0.250	21,628 per 10,000 units	21,828 per 10,000 units	2014/10/30	238,000,000	2014/05/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63646	Credit Suisse AG	HSI R Bear CBBC Oct 2014 F	0.250	22,088 per 10,000 units	21,888 per 10,000 units	2014/10/30	238,000,000	2014/05/16
63617	Credit Suisse AG	Kingsoft R Bull CBBC Dec 2014 A	0.250	20.08	22.08	2014/12/19	50,000,000	2014/05/16
63619	Credit Suisse AG	Kingsoft R Bull CBBC Dec 2014 B	0.450	18.08	20.08	2014/12/19	50,000,000	2014/05/16
63626	Credit Suisse AG	Kingsoft R Bull CBBC Dec 2014 C	0.650	16.08	18.08	2014/12/19	50,000,000	2014/05/16
63665	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Feb15A	0.250	67.50 per 100 units	70 per 100 units	2015/02/16	80,000,000	2014/05/16
63653	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Feb15C	0.250	9.3	9	2015/02/16	100,000,000	2014/05/16
63654	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Feb 15 D	0.250	9.2	8.9	2015/02/16	100,000,000	2014/05/16
63675	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Feb 15B	0.250	48.50 per 100 units	51 per 100 units	2015/02/16	60,000,000	2014/05/16
63676	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Feb 15C	0.250	51.50 per 100 units	54 per 100 units	2015/02/16	60,000,000	2014/05/16
63677	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Feb 15D	0.250	54.50 per 100 units	57 per 100 units	2015/02/16	60,000,000	2014/05/16
63679	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Feb 15B	0.250	65.50 per 100 units	63 per 100 units	2015/02/16	60,000,000	2014/05/16
63657	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Feb 2015 B	0.250	66 per 100 units	62 per 100 units	2015/02/16	80,000,000	2014/05/16
63666	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Feb15A	0.250	40 per 100 units	43 per 100 units	2015/02/16	60,000,000	2014/05/16
63667	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Feb15B	0.250	43 per 100 units	46 per 100 units	2015/02/16	60,000,000	2014/05/16
63669	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Feb15C	0.250	49 per 100 units	52 per 100 units	2015/02/16	60,000,000	2014/05/16
63674	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Feb15B	0.250	63 per 100 units	60 per 100 units	2015/02/16	60,000,000	2014/05/16
63562	HK Bank	China Mobile R Bull CBBC Jan 2015 F	0.250	69.68 per 100 units	72.18 per 100 units	2015/01/15	120,000,000	2014/05/16
63567	HK Bank	Galaxy Ent R Bull CBBC Dec 2014 D	0.250	42.27 per 100 units	45.27 per 100 units	2014/12/11	80,000,000	2014/05/16
63579	HK Bank	Galaxy Ent R Bull CBBC Dec 2014 E	0.250	39.27 per 100 units	42.27 per 100 units	2014/12/18	80,000,000	2014/05/16
63582	HK Bank	Galaxy Ent R Bull CBBC Dec 2014 F	0.250	36.27 per 100 units	39.27 per 100 units	2014/12/22	80,000,000	2014/05/16
63564	HK Bank	Galaxy Ent R Bear CBBC Jan 2015 C	0.250	64.27 per 100 units	61.27 per 100 units	2015/01/19	60,000,000	2014/05/16
63557	HK Bank	HSI R Bull CBBC Oct 2014 V	0.250	21,588 per 20,000 units	21,788 per 20,000 units	2014/10/30	100,000,000	2014/05/16
63548	HK Bank	HSI R Bull CBBC Nov 2014 Y	0.250	21,288 per 15,000 units	21,488 per 15,000 units	2014/11/27	100,000,000	2014/05/16
63553	HK Bank	HSI R Bull CBBC Nov 2014 Z	0.250	21,488 per 15,000 units	21,688 per 15,000 units	2014/11/27	100,000,000	2014/05/16
63556	HK Bank	Sands China R Bull CBBC Feb 2015 A	0.250	39.18 per 100 units	42.18 per 100 units	2015/02/09	80,000,000	2014/05/16
63561	HK Bank	Sands China R Bull CBBC Feb 2015 B	0.250	36.18 per 100 units	39.18 per 100 units	2015/02/16	80,000,000	2014/05/16
63587	HK Bank	Sands China R Bull CBBC Feb 2015 C	0.250	33.18 per 100 units	36.18 per 100 units	2015/02/23	80,000,000	2014/05/16
63589	HK Bank	Sands China R Bear CBBC Jan 2015 A	0.250	60.28 per 100 units	57.28 per 100 units	2015/01/13	60,000,000	2014/05/16
63544	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 F	0.250	22,480 per 20,000 units	22,280 per 20,000 units	2015/01/29	100,000,000	2014/05/16
63651	Standard Chartered Bank	Kingsoft R Bull CBBC Jul 2015 C	0.540	16.8	18.8	2015/07/23	50,000,000	2014/05/16
63652	Standard Chartered Bank	Kingsoft R Bear CBBC Mar 2015 B	0.700	28.8	26.8	2015/03/18	50,000,000	2014/05/16
63538	SGA Societe Generale Acceptance NV	Galaxy R Bull CBBC Sep14A	0.250	47.50 per 100 units	50 per 100 units	2014/09/29	50,000,000	2014/05/16
63537	SGA Societe Generale Acceptance NV	Galaxy R Bull CBBC Oct14A	0.250	45 per 100 units	47.50 per 100 units	2014/10/30	50,000,000	2014/05/16
63539	SGA Societe Generale Acceptance NV	Galaxy R Bear CBBC Oct14A	0.250	63.50 per 100 units	61 per 100 units	2014/10/30	50,000,000	2014/05/16
63541	SGA Societe Generale Acceptance NV	Galaxy R Bear CBBC Nov14A	0.250	66 per 100 units	63.50 per 100 units	2014/11/27	50,000,000	2014/05/16
63604	UBS AG	GCL-Poly R Bull CBBC Sep 2015 A	0.250	1.75	2	2015/09/14	50,000,000	2014/05/16
63649	UBS AG	HSI R Bull CBBC Nov 2014 P	0.250	21,660 per 10,000 units	21,860 per 10,000 units	2014/11/27	300,000,000	2014/05/16
63590	UBS AG	HSI R Bear CBBC Sep 2014 Y	0.250	22,038 per 12,000 units	21,838 per 12,000 units	2014/09/29	350,000,000	2014/05/16
63650	UBS AG	HSI R Bear CBBC Sep 2014 Z	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/09/29	300,000,000	2014/05/16
63612	UBS AG	Kingsoft R Bull CBBC Aug 2015 B	0.250	16 per 100 units	18 per 100 units	2015/08/31	50,000,000	2014/05/16
63613	UBS AG	Kingsoft R Bull CBBC Sep 2015 A	0.250	18 per 100 units	20 per 100 units	2015/09/14	50,000,000	2014/05/16
63615	UBS AG	Lenovo R Bull CBBC Aug 2015 A	0.250	7.1	7.6	2015/08/17	50,000,000	2014/05/16
63751	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 U	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2015/01/29	300,000,000	2014/05/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63752	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 V	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2015/01/29	300,000,000	2014/05/19
63753	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 W	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2015/01/29	300,000,000	2014/05/19
63719	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 B	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/08/28	300,000,000	2014/05/19
63754	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 O	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/05/19
63759	Credit Suisse AG	HSI R Bull CBBC Dec 2014 A	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/12/30	238,000,000	2014/05/19
63755	Credit Suisse AG	HSI R Bull CBBC Dec 2014 D	0.250	22,061 per 10,000 units	22,261 per 10,000 units	2014/12/30	238,000,000	2014/05/19
63760	Credit Suisse AG	HSI R Bull CBBC Dec 2014 G	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/12/30	238,000,000	2014/05/19
63756	Credit Suisse AG	HSI R Bull CBBC Dec 2014 P	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/12/30	238,000,000	2014/05/19
63768	Credit Suisse AG	HSI R Bear CBBC Sep 2014 C	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/09/29	238,000,000	2014/05/19
63701	Credit Suisse AG	HSI R Bear CBBC Sep 2014 E	0.250	22,063 per 12,000 units	21,863 per 12,000 units	2014/09/29	238,000,000	2014/05/19
63703	Credit Suisse AG	HSI R Bear CBBC Sep 2014 F	0.250	22,188 per 10,000 units	21,988 per 10,000 units	2014/09/29	238,000,000	2014/05/19
63705	Credit Suisse AG	HSI R Bear CBBC Sep 2014 G	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/09/29	238,000,000	2014/05/19
63706	Credit Suisse AG	HSI R Bear CBBC Sep 2014 L	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/09/29	238,000,000	2014/05/19
63784	Citigroup Global Mkt H Inc.	Lenovo R Bull CBBC Feb 2015 A	0.250	6.98	7.48	2015/02/23	40,000,000	2014/05/19
63786	Citigroup Global Mkt H Inc.	Lenovo R Bull CBBC Feb 2015 B	0.250	7.38	7.88	2015/02/23	40,000,000	2014/05/19
63787	Citigroup Global Mkt H Inc.	Lenovo R Bear CBBC Feb 2015 A	0.250	9.38	8.88	2015/02/23	40,000,000	2014/05/19
63788	Citigroup Global Mkt H Inc.	Lenovo R Bear CBBC Feb 2015 B	0.250	9.78	9.28	2015/02/23	40,000,000	2014/05/19
63744	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 A	0.257	21,638 per 20,000 units	21,938 per 20,000 units	2014/09/29	150,000,000	2014/05/19
63772	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 B	0.255	21,938 per 20,000 units	22,238 per 20,000 units	2014/09/29	150,000,000	2014/05/19
63691	HK Bank	China Cinda R Bull CBBC Feb 2015 B	0.250	2.71	3.01	2015/02/09	50,000,000	2014/05/19
63695	HK Bank	Minsheng Bank R Bear CBBC Jul 2015 A	0.250	8.78	8.28	2015/07/27	40,000,000	2014/05/19
63694	HK Bank	Great Wall Motor R Bull CBBC Sep 2015 B	0.250	17.58 per 100 units	20.08 per 100 units	2015/09/29	40,000,000	2014/05/19
63692	HK Bank	Great Wall Motor R Bull CBBC Oct 2015 B	0.250	19.58 per 100 units	22.08 per 100 units	2015/10/26	40,000,000	2014/05/19
63690	HK Bank	Great Wall Motor R Bull CBBC Nov 2015 C	0.250	21.58 per 100 units	24.08 per 100 units	2015/11/30	40,000,000	2014/05/19
63680	HK Bank	HSCEI R Bear CBBC Nov 2014 F	0.250	10,188 per 10,000 units	9,988 per 10,000 units	2014/11/27	68,000,000	2014/05/19
63686	HK Bank	Kingsoft R Bear CBBC Dec 2014 A	0.800	29.88	27.88	2014/12/22	40,000,000	2014/05/19
63689	HK Bank	Kingsoft R Bear CBBC Dec 2014 B	0.600	27.88	25.88	2014/12/15	40,000,000	2014/05/19
63700	HK Bank	PetCh R Bear CBBC Apr 2015 A	0.250	10.38	9.98	2015/04/20	40,000,000	2014/05/19
63782	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 X	0.250	22,061 per 10,000 units	22,261 per 10,000 units	2014/10/30	300,000,000	2014/05/19
63778	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 T	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/05/19
63774	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 A	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/12/30	300,000,000	2014/05/19
63773	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Y	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/12/30	300,000,000	2014/05/19
63725	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 F	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/05/19
63738	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 A	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/10/30	50,000,000	2014/05/19
63739	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 B	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/10/30	50,000,000	2014/05/19
63722	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 D	0.250	21,080 per 12,000 units	21,280 per 12,000 units	2015/04/29	100,000,000	2014/05/19
63724	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 G	0.250	22,280 per 15,000 units	22,080 per 15,000 units	2015/01/29	100,000,000	2014/05/19
63726	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 F	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2014/09/29	200,000,000	2014/05/19
63729	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 G	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/09/29	200,000,000	2014/05/19
63733	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 Q	0.250	22,438 per 10,000 units	22,338 per 10,000 units	2014/08/28	200,000,000	2014/05/19
63737	UBS AG	China Cinda R Bull CBBC Feb 2015 A	0.250	3	3.3	2015/02/02	50,000,000	2014/05/19
63765	UBS AG	HSI R Bull CBBC Oct 2014 K	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/10/30	300,000,000	2014/05/19
63766	UBS AG	HSI R Bull CBBC Nov 2014 S	0.250	22,061 per 10,000 units	22,261 per 10,000 units	2014/11/27	300,000,000	2014/05/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63763	UBS AG	HSI R Bull CBBC Dec 2014 C	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/12/30	300,000,000	2014/05/19
63762	UBS AG	HSI R Bull CBBC Jan 2015 E	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2015/01/29	300,000,000	2014/05/19
63708	UBS AG	HSI R Bear CBBC Aug 2014 H	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/08/28	300,000,000	2014/05/19
63734	UBS AG	HSI R Bear CBBC Aug 2014 K	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	300,000,000	2014/05/19
63709	UBS AG	HSI R Bear CBBC Sep 2014 A	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/09/29	300,000,000	2014/05/19
63710	UBS AG	HSI R Bear CBBC Sep 2014 C	0.250	22,063 per 12,000 units	21,863 per 12,000 units	2014/09/29	350,000,000	2014/05/19
63735	UBS AG	Hutchison R Bull CBBC Jun 2015 B	0.250	87 per 100 units	90 per 100 units	2015/06/15	50,000,000	2014/05/19
63831	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 X	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/01/29	300,000,000	2014/05/20
63821	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 P	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/05/20
63841	Credit Suisse AG	HSI R Bull CBBC Oct 2014 E	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/10/30	238,000,000	2014/05/20
63837	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Z	0.250	22,152 per 10,000 units	22,352 per 10,000 units	2014/10/30	238,000,000	2014/05/20
63812	Credit Suisse AG	HSI R Bear CBBC Aug 2014 U	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/08/28	238,000,000	2014/05/20
63815	Credit Suisse AG	HSI R Bear CBBC Aug 2014 V	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	238,000,000	2014/05/20
63802	HK Bank	Cheung Kong R Bull CBBC Nov 2014 B	0.250	116.88 per 100 units	122.88 per 100 units	2014/11/17	60,000,000	2014/05/20
63808	HK Bank	Cheung Kong R Bear CBBC Apr 2015 C	0.250	154.98 per 100 units	148.98 per 100 units	2015/04/20	50,000,000	2014/05/20
63823	HK Bank	Great Wall Motor R Bull CBBC Nov 2015 D	0.250	23.58 per 100 units	26.08 per 100 units	2015/11/30	40,000,000	2014/05/20
63792	HK Bank	HSI R Bull CBBC Nov 2014 G	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/11/27	100,000,000	2014/05/20
63801	HK Bank	HSI R Bull CBBC Nov 2014 I	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/11/27	100,000,000	2014/05/20
63790	HK Bank	HSI R Bull CBBC Dec 2014 N	0.250	21,888 per 15,000 units	22,088 per 15,000 units	2014/12/30	100,000,000	2014/05/20
63794	HK Bank	HSI R Bear CBBC Oct 2014 X	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/10/30	100,000,000	2014/05/20
63800	HK Bank	HSI R Bear CBBC Nov 2014 T	0.250	24,928 per 20,000 units	24,628 per 20,000 units	2014/11/27	400,000,000	2014/05/20
63795	HK Bank	HSI R Bear CBBC Dec 2014 M	0.250	22,588 per 15,000 units	22,388 per 15,000 units	2014/12/30	100,000,000	2014/05/20
63796	HK Bank	HSI R Bear CBBC Dec 2014 N	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/12/30	100,000,000	2014/05/20
63798	HK Bank	HSI R Bear CBBC Dec 2014 O	0.250	23,788 per 15,000 units	23,588 per 15,000 units	2014/12/30	100,000,000	2014/05/20
63799	HK Bank	HSI R Bear CBBC Dec 2014 P	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2014/12/30	100,000,000	2014/05/20
63797	HK Bank	HSI R Bear CBBC Jan 2015 C	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2015/01/29	100,000,000	2014/05/20
63807	HK Bank	Lenovo R Bear CBBC Jun 2015 A	0.250	9.58	9.08	2015/06/15	40,000,000	2014/05/20
63827	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 M	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/12/30	300,000,000	2014/05/20
63847	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 E	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2015/01/29	300,000,000	2014/05/20
63846	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 A	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2015/02/26	300,000,000	2014/05/20
63828	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 G	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/05/20
63824	Standard Chartered Bank	A50 China R Bull CBBC Nov 2014 B	0.250	7.88	8.18	2014/11/25	50,000,000	2014/05/20
63825	Standard Chartered Bank	A50 China R Bear CBBC Oct 2014 B	0.250	9.18	8.88	2014/10/15	50,000,000	2014/05/20
63826	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 E	0.250	21,880 per 15,000 units	22,080 per 15,000 units	2015/04/29	100,000,000	2014/05/20
63829	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 H	0.250	22,168 per 10,000 units	22,268 per 10,000 units	2014/09/29	200,000,000	2014/05/20
63830	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 R	0.250	22,518 per 10,000 units	22,418 per 10,000 units	2014/08/28	200,000,000	2014/05/20
63835	UBS AG	HSI R Bull CBBC Nov 2014 V	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/11/27	300,000,000	2014/05/20
63845	UBS AG	HSI R Bull CBBC Dec 2014 Q	0.250	22,152 per 10,000 units	22,352 per 10,000 units	2014/12/30	300,000,000	2014/05/20
63816	UBS AG	HSI R Bear CBBC Aug 2014 M	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/08/28	300,000,000	2014/05/20
63818	UBS AG	HSI R Bear CBBC Sep 2014 B	0.250	22,462 per 10,000 units	22,262 per 10,000 units	2014/09/29	300,000,000	2014/05/20
63877	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 C	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/01/29	300,000,000	2014/05/21
63874	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 D	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2015/01/29	300,000,000	2014/05/21
63872	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 Y	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2015/01/29	300,000,000	2014/05/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63873	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 Z	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2015/01/29	300,000,000	2014/05/21
63853	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 C	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/05/21
63854	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 N	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/05/21
63881	Credit Suisse AG	HSI R Bull CBBC Jan 2015 F	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/01/29	238,000,000	2014/05/21
63882	Credit Suisse AG	HSI R Bull CBBC Jan 2015 G	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2015/01/29	238,000,000	2014/05/21
63884	Credit Suisse AG	HSI R Bull CBBC Jan 2015 H	0.250	22,382 per 10,000 units	22,582 per 10,000 units	2015/01/29	238,000,000	2014/05/21
63851	Credit Suisse AG	HSI R Bear CBBC Aug 2014 S	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	238,000,000	2014/05/21
63852	Credit Suisse AG	HSI R Bear CBBC Aug 2014 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	238,000,000	2014/05/21
63850	Credit Suisse AG	HSI R Bear CBBC Aug 2014 W	0.250	22,588 per 10,000 units	22,388 per 10,000 units	2014/08/28	238,000,000	2014/05/21
63880	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Y	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	238,000,000	2014/05/21
63889	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 C	0.269	22,238 per 20,000 units	22,538 per 20,000 units	2014/09/29	150,000,000	2014/05/21
63897	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 E	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/11/27	300,000,000	2014/05/21
63856	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 S	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/05/21
63890	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 F	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2015/01/29	300,000,000	2014/05/21
63857	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 I	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/09/29	300,000,000	2014/05/21
63865	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 F	0.250	22,080 per 20,000 units	22,280 per 20,000 units	2015/04/29	100,000,000	2014/05/21
63863	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 H	0.250	22,780 per 15,000 units	22,580 per 15,000 units	2015/01/29	100,000,000	2014/05/21
63867	Standard Chartered Bank	SHK Ppt R Bull CBBC Aug 2015 A	0.250	90.88 per 100 units	94.88 per 100 units	2015/08/03	50,000,000	2014/05/21
63869	Standard Chartered Bank	SHK Ppt R Bear CBBC Feb 2015 A	0.250	116.88 per 100 units	112.88 per 100 units	2015/02/05	50,000,000	2014/05/21
63859	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 I	0.250	22,318 per 10,000 units	22,418 per 10,000 units	2014/09/29	200,000,000	2014/05/21
63860	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 J	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/09/29	200,000,000	2014/05/21
63862	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 S	0.250	22,668 per 10,000 units	22,568 per 10,000 units	2014/08/28	200,000,000	2014/05/21
63886	UBS AG	HSI R Bull CBBC Oct 2014 N	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/10/30	300,000,000	2014/05/21
63887	UBS AG	HSI R Bull CBBC Dec 2014 R	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/12/30	300,000,000	2014/05/21
63888	UBS AG	HSI R Bull CBBC Jan 2015 F	0.250	22,382 per 10,000 units	22,582 per 10,000 units	2015/01/29	300,000,000	2014/05/21
63848	UBS AG	HSI R Bear CBBC Aug 2014 N	0.250	22,553 per 10,000 units	22,353 per 10,000 units	2014/08/28	300,000,000	2014/05/21
63849	UBS AG	HSI R Bear CBBC Aug 2014 Q	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2014/05/21
63855	UBS AG	HSI R Bear CBBC Sep 2014 F	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2014/05/21
63940	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 A	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2015/01/29	300,000,000	2014/05/22
63941	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 B	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/01/29	300,000,000	2014/05/22
63959	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 E	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2015/01/29	300,000,000	2014/05/22
63964	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 F	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/01/29	300,000,000	2014/05/22
63936	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 Q	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/05/22
63946	Credit Suisse AG	HSI R Bull CBBC Nov 2014 B	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	238,000,000	2014/05/22
63952	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/11/27	238,000,000	2014/05/22
63953	Credit Suisse AG	HSI R Bull CBBC Nov 2014 N	0.250	22,530 per 10,000 units	22,730 per 10,000 units	2014/11/27	238,000,000	2014/05/22
63942	Credit Suisse AG	HSI R Bull CBBC Nov 2014 Y	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/11/27	238,000,000	2014/05/22
63924	Credit Suisse AG	HSI R Bear CBBC Sep 2014 B	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/09/29	238,000,000	2014/05/22
63929	Credit Suisse AG	HSI R Bear CBBC Sep 2014 H	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/09/29	238,000,000	2014/05/22
63921	Credit Suisse AG	HSI R Bear CBBC Sep 2014 M	0.250	22,783 per 10,000 units	22,583 per 10,000 units	2014/09/29	238,000,000	2014/05/22
63966	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 E	0.250	97.50 per 100 units	100 per 100 units	2015/02/16	200,000,000	2014/05/22
63967	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 F	0.250	99.50 per 100 units	102 per 100 units	2015/02/16	200,000,000	2014/05/22
63968	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 G	0.250	101.50 per 100 units	104 per 100 units	2015/02/16	200,000,000	2014/05/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63969	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 H	0.250	103.50 per 100 units	106 per 100 units	2015/02/16	200,000,000	2014/05/22
63970	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 E	0.250	116.50 per 100 units	114 per 100 units	2015/02/16	200,000,000	2014/05/22
63971	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 F	0.250	118.50 per 100 units	116 per 100 units	2015/02/16	200,000,000	2014/05/22
64003	Bank of East Asia	China Cinda R Bull CBBC May 2015 A	0.250	3	3.28	2015/05/28	100,000,000	2014/05/22
63994	Bank of East Asia	GGL-Poly Energy R Bull CBBC Nov 2015 A	0.250	1.69	1.99	2015/11/23	200,000,000	2014/05/22
63995	Bank of East Asia	Great Wall Motor R Bull CBBC Jun 2015 A	0.250	20.333 per 100 units	22.333 per 100 units	2015/06/22	200,000,000	2014/05/22
63991	Bank of East Asia	Kingsoft R Bull CBBC Jul 2015 A	0.250	18 per 100 units	20 per 100 units	2015/07/31	200,000,000	2014/05/22
64002	Bank of East Asia	Sands China R Bull CBBC Dec 2014 B	0.250	46.28 per 100 units	49.28 per 100 units	2014/12/31	80,000,000	2014/05/22
63977	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 B	0.256	23,038 per 20,000 units	22,738 per 20,000 units	2014/09/29	150,000,000	2014/05/22
63976	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 J	0.250	22,530 per 10,000 units	22,730 per 10,000 units	2014/11/27	300,000,000	2014/05/22
63904	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Z	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/12/30	300,000,000	2014/05/22
63901	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 I	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/05/22
63905	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 J	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/05/22
63973	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 G	0.250	22,280 per 12,000 units	22,480 per 12,000 units	2015/04/29	100,000,000	2014/05/22
63974	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 I	0.250	22,980 per 12,000 units	22,780 per 12,000 units	2015/01/29	100,000,000	2014/05/22
63906	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 K	0.250	22,238 per 10,000 units	22,338 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63908	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 L	0.250	22,468 per 10,000 units	22,568 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63954	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 M	0.250	22,618 per 10,000 units	22,718 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63914	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 T	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/08/28	200,000,000	2014/05/22
63916	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 U	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/08/28	200,000,000	2014/05/22
63917	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 A	0.250	23,718 per 10,000 units	23,618 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63918	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 B	0.250	23,868 per 10,000 units	23,768 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63919	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 C	0.250	24,018 per 10,000 units	23,918 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63920	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 D	0.250	24,168 per 10,000 units	24,068 per 10,000 units	2014/09/29	200,000,000	2014/05/22
63983	UBS AG	HSI R Bull CBBC Nov 2014 C	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/11/27	300,000,000	2014/05/22
63986	UBS AG	HSI R Bull CBBC Nov 2014 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/05/22
63987	UBS AG	HSI R Bull CBBC Dec 2014 F	0.250	22,530 per 10,000 units	22,730 per 10,000 units	2014/12/30	300,000,000	2014/05/22
63988	UBS AG	HSI R Bear CBBC Aug 2014 L	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2014/05/22
63990	UBS AG	HSI R Bear CBBC Aug 2014 S	0.250	22,783 per 10,000 units	22,583 per 10,000 units	2014/08/28	300,000,000	2014/05/22
64019	Credit Suisse AG	HSI R Bull CBBC Dec 2014 J	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	238,000,000	2014/05/23
64022	Credit Suisse AG	HSI R Bull CBBC Dec 2014 M	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/12/30	238,000,000	2014/05/23
64034	Credit Suisse AG	HSI R Bear CBBC Oct 2014 G	0.250	22,784 per 12,000 units	22,584 per 12,000 units	2014/10/30	238,000,000	2014/05/23
64035	Credit Suisse AG	HSI R Bear CBBC Oct 2014 H	0.250	22,888 per 10,000 units	22,688 per 10,000 units	2014/10/30	238,000,000	2014/05/23
64041	Citigroup Global Mkt H Inc.	Kingsoft R Bull CBBC Mar 15 A	0.550	18	20	2015/03/02	40,000,000	2014/05/23
64046	Citigroup Global Mkt H Inc.	Kingsoft R Bull CBBC Mar 15 B	0.430	19	21	2015/03/02	40,000,000	2014/05/23
64048	Citigroup Global Mkt H Inc.	Kingsoft R Bull CBBC Mar 15 C	0.320	20	22	2015/03/02	40,000,000	2014/05/23
64051	Citigroup Global Mkt H Inc.	Kingsoft R Bear CBBC Mar 15 A	0.320	26	24	2015/03/02	40,000,000	2014/05/23
64052	Citigroup Global Mkt H Inc.	Kingsoft R Bear CBBC Mar 15 B	0.430	27	25	2015/03/02	40,000,000	2014/05/23
64057	Citigroup Global Mkt H Inc.	Kingsoft R Bear CBBC Mar 15 C	0.550	28	26	2015/03/02	40,000,000	2014/05/23
64025	HK Bank	TCH R Bull CBBC Dec 2014 H	0.250	98.88 per 100 units	98.88 per 100 units	2014/12/15	100,000,000	2014/05/23
64005	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 S	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/11/27	300,000,000	2014/05/23
64006	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 C	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/10/30	50,000,000	2014/05/23
64009	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 D	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/10/30	50,000,000	2014/05/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64010	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 E	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/10/30	50,000,000	2014/05/23
64016	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/10/30	50,000,000	2014/05/23
64017	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 G	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/10/30	50,000,000	2014/05/23
64039	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 N	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/09/29	200,000,000	2014/05/23
64040	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug14 V	0.250	22,818 per 10,000 units	22,718 per 10,000 units	2014/08/28	200,000,000	2014/05/23
64031	SGA Societe Generale Acceptance N.V.	SandsR Bull CBBC Oct14A	0.250	46.50 per 100 units	49 per 100 units	2014/10/30	50,000,000	2014/05/23
64032	SGA Societe Generale Acceptance N.V.	SandsR Bear CBBC Oct14A	0.250	65.50 per 100 units	63 per 100 units	2014/10/30	50,000,000	2014/05/23
64033	SGA Societe Generale Acceptance N.V.	SandsR Bear CBBC Nov14A	0.250	68 per 100 units	65.50 per 100 units	2014/11/27	50,000,000	2014/05/23
64024	UBS AG	HSI R Bull CBBC Oct 2014 O	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2014/05/23
64023	UBS AG	HSI R Bull CBBC Dec 2014 J	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/12/30	300,000,000	2014/05/23
64036	UBS AG	HSI R Bear CBBC Aug 2014 F	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2014/05/23
64038	UBS AG	HSI R Bear CBBC Aug 2014 J	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2014/05/23
64030	UBS AG	TCH R Bull CBBC Jul 2015 A	0.250	97.50 per 100 units	100 per 100 units	2015/07/13	100,000,000	2014/05/23
64028	UBS AG	TCH R Bull CBBC Aug 2015 A	0.250	87.50 per 100 units	90 per 100 units	2015/08/10	100,000,000	2014/05/23
64026	UBS AG	TCH R Bear CBBC Apr 2015 A	0.250	118 per 100 units	115 per 100 units	2015/04/20	100,000,000	2014/05/23
64141	Credit Suisse AG	HSI R Bull CBBC Oct 2014 A	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/10/30	238,000,000	2014/05/26
64139	Credit Suisse AG	HSI R Bull CBBC Oct 2014 F	0.250	22,512 per 12,000 units	22,712 per 12,000 units	2014/10/30	238,000,000	2014/05/26
64151	Credit Suisse AG	HSI R Bear CBBC Aug 2014 H	0.250	22,828 per 10,000 units	22,628 per 10,000 units	2014/08/28	238,000,000	2014/05/26
64077	HK Bank	CNOOC R Bull CBBC Jul 2015 A	0.250	11.88	12.68	2015/07/06	60,000,000	2014/05/26
64074	HK Bank	HSCEI R Bull CBBC Jan 2015 A	0.250	9,488 per 10,000 units	9,688 per 10,000 units	2015/01/29	100,000,000	2014/05/26
64075	HK Bank	HSCEI R Bull CBBC Feb 2015 A	0.250	8,888 per 10,000 units	9,088 per 10,000 units	2015/02/26	100,000,000	2014/05/26
64083	HK Bank	HSI R Bull CBBC Dec 2014 O	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/12/30	100,000,000	2014/05/26
64098	HK Bank	HSI R Bull CBBC Dec 2014 P	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2014/12/30	100,000,000	2014/05/26
64096	HK Bank	HSI R Bull CBBC Jan 2015 G	0.250	22,288 per 15,000 units	22,488 per 15,000 units	2015/01/29	100,000,000	2014/05/26
64061	HK Bank	HSI R Bear CBBC Nov 2014 U	0.250	22,988 per 15,000 units	22,788 per 15,000 units	2014/11/27	100,000,000	2014/05/26
64071	HK Bank	HSI R Bear CBBC Jan 2015 D	0.250	24,488 per 15,000 units	24,288 per 15,000 units	2015/01/29	100,000,000	2014/05/26
64076	HK Bank	PetCh R Bull CBBC Jun 2015 A	0.250	8.18	8.58	2015/06/29	60,000,000	2014/05/26
64103	HK Bank	TCH R Bull CBBC Dec 2014 I	0.250	98.88 per 100 units	100.88 per 100 units	2014/12/01	100,000,000	2014/05/26
64107	HK Bank	TCH R Bull CBBC Dec 2014 J	0.250	92.88 per 100 units	94.88 per 100 units	2014/12/22	100,000,000	2014/05/26
64110	HK Bank	TCH R Bull CBBC Dec 2014 K	0.250	100.88 per 100 units	102.88 per 100 units	2014/12/08	100,000,000	2014/05/26
64111	HK Bank	TCH R Bear CBBC Dec 2014 K	0.250	111.88 per 100 units	109.88 per 100 units	2014/12/01	100,000,000	2014/05/26
64112	HK Bank	TCH R Bear CBBC Dec 2014 L	0.250	113.88 per 100 units	111.88 per 100 units	2014/12/08	100,000,000	2014/05/26
64154	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 O	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/11/27	300,000,000	2014/05/26
64156	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 C	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/12/30	300,000,000	2014/05/26
64152	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 J	0.250	23,880 per 12,000 units	23,680 per 12,000 units	2015/01/29	100,000,000	2014/05/26
64143	UBS AG	HSI R Bull CBBC Oct 2014 I	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	300,000,000	2014/05/26
64145	UBS AG	HSI R Bull CBBC Nov 2014 J	0.250	22,512 per 10,000 units	22,712 per 10,000 units	2014/11/27	300,000,000	2014/05/26
64146	UBS AG	HSI R Bear CBBC Oct 2014 P	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/10/30	300,000,000	2014/05/26
64150	UBS AG	HSI R Bear CBBC Oct 2014 Q	0.250	22,828 per 12,000 units	22,628 per 12,000 units	2014/10/30	350,000,000	2014/05/26
64149	UBS AG	Hutchison R Bull CBBC Jul 2015 A	0.250	92 per 100 units	95 per 100 units	2015/07/06	50,000,000	2014/05/26
64148	UBS AG	TCH R Bull CBBC Jul 2015 B	0.250	92.50 per 100 units	95 per 100 units	2015/07/27	100,000,000	2014/05/26
64147	UBS AG	TCH R Bear CBBC Mar 2015 A	0.250	123 per 100 units	120 per 100 units	2015/03/30	100,000,000	2014/05/26
64191	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 G	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/01/29	300,000,000	2014/05/27

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64172	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 U	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/05/27
64177	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2014 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2014/05/27
64198	Credit Suisse AG	HSI R Bull CBBC Jan 2015 I	0.250	22,667 per 10,000 units	22,867 per 10,000 units	2015/01/29	238,000,000	2014/05/27
64201	Credit Suisse AG	HSI R Bull CBBC Jan 2015 J	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/01/29	238,000,000	2014/05/27
64180	Credit Suisse AG	HSI R Bear CBBC Aug 2014 N	0.250	22,905 per 10,000 units	22,705 per 10,000 units	2014/08/28	238,000,000	2014/05/27
64181	Credit Suisse AG	HSI R Bear CBBC Aug 2014 O	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	238,000,000	2014/05/27
64182	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 D	0.269	22,538 per 20,000 units	22,838 per 20,000 units	2014/09/29	150,000,000	2014/05/27
64205	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/10/30	300,000,000	2014/05/27
64190	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 U	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	300,000,000	2014/05/27
64164	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 H	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2014/05/27
64167	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 N	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/05/27
64197	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 H	0.250	22,480 per 15,000 units	22,680 per 15,000 units	2015/04/29	100,000,000	2014/05/27
64179	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 K	0.250	23,080 per 20,000 units	22,880 per 20,000 units	2015/01/29	100,000,000	2014/05/27
64188	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep 14O	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/09/29	200,000,000	2014/05/27
64171	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 14E	0.250	22,968 per 10,000 units	22,868 per 10,000 units	2014/09/29	200,000,000	2014/05/27
64203	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	22,667 per 10,000 units	22,867 per 10,000 units	2014/11/27	300,000,000	2014/05/27
64202	UBS AG	HSI R Bull CBBC Jan 2015 G	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/01/29	300,000,000	2014/05/27
64186	UBS AG	HSI R Bear CBBC Aug 2014 R	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2014/05/27
64187	UBS AG	HSI R Bear CBBC Sep 2014 G	0.250	22,905 per 12,000 units	22,705 per 12,000 units	2014/09/29	350,000,000	2014/05/27
64249	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Y	0.250	22,634 per 10,000 units	22,834 per 10,000 units	2014/12/30	238,000,000	2014/05/28
64238	Credit Suisse AG	HSI R Bear CBBC Aug 2014 F	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/08/28	238,000,000	2014/05/28
64285	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Q	0.250	23,014 per 10,000 units	22,814 per 10,000 units	2014/08/28	238,000,000	2014/05/28
64235	Credit Suisse AG	HSI R Bear CBBC Aug 2014 Z	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/08/28	238,000,000	2014/05/28
64236	Credit Suisse AG	HSI R Bear CBBC Sep 2014 O	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/09/29	238,000,000	2014/05/28
64239	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Q	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/09/29	238,000,000	2014/05/28
64228	Credit Suisse AG	HSI R Bear CBBC Oct 2014 I	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/10/30	238,000,000	2014/05/28
64229	Credit Suisse AG	HSI R Bear CBBC Oct 2014 J	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/10/30	238,000,000	2014/05/28
64232	Credit Suisse AG	HSI R Bear CBBC Oct 2014 K	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/10/30	238,000,000	2014/05/28
64221	HK Bank	China Mobile R Bull CBBC Jan 2015 G	0.250	71.68 per 100 units	74.18 per 100 units	2015/01/19	120,000,000	2014/05/28
64222	HK Bank	China Mobile R Bull CBBC Jan 2015 H	0.250	73.68 per 100 units	76.18 per 100 units	2015/01/23	120,000,000	2014/05/28
64206	HK Bank	China Mobile R Bear CBBC Apr 2015 A	0.250	85.98 per 100 units	83.48 per 100 units	2015/04/13	40,000,000	2014/05/28
64207	HK Bank	China Mobile R Bear CBBC Apr 2015 B	0.250	91.88 per 100 units	89.38 per 100 units	2015/04/20	40,000,000	2014/05/28
64214	HK Bank	HSI R Bull CBBC Nov 2014 J	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/11/27	100,000,000	2014/05/28
64220	HK Bank	HSI R Bull CBBC Jan 2015 H	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2015/01/29	100,000,000	2014/05/28
64210	HK Bank	HSI R Bull CBBC Feb 2015 D	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2015/02/26	100,000,000	2014/05/28
64208	HK Bank	HSI R Bear CBBC Jan 2015 E	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2015/01/29	100,000,000	2014/05/28
64225	HK Bank	TCH R Bull CBBC Jan 2015 A	0.250	102.88 per 100 units	104.88 per 100 units	2015/01/26	100,000,000	2014/05/28
64226	HK Bank	TCH R Bull CBBC Jan 2015 B	0.250	104.88 per 100 units	106.88 per 100 units	2015/01/19	100,000,000	2014/05/28
64227	HK Bank	TCH R Bull CBBC Jan 2015 C	0.250	106.88 per 100 units	108.88 per 100 units	2015/01/12	100,000,000	2014/05/28
64291	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 D	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	300,000,000	2014/05/28
64290	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 G	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2015/01/29	300,000,000	2014/05/28
64240	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 H	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/10/30	50,000,000	2014/05/28
64241	Standard Chartered Bank	Galaxy Ent R Bear CBBC Feb 2015 A	0.250	68.38 per 100 units	65.88 per 100 units	2015/02/18	50,000,000	2014/05/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64243	Standard Chartered Bank	Ping An R Bear CBBC Oct 2014 A	0.250	66.30 per 100 units	62.80 per 100 units	2014/10/30	50,000,000	2014/05/28
64257	SGA Societe Generale Acceptance NV	Lenovo R Bull CBBC Oct14A	0.250	7.65	8	2014/10/30	50,000,000	2014/05/28
64256	SGA Societe Generale Acceptance NV	Lenovo R Bull CBBC Nov14A	0.250	7.25	7.6	2014/11/27	50,000,000	2014/05/28
64259	SGA Societe Generale Acceptance NV	Lenovo R Bear CBBC Nov14A	0.250	11.35	11	2014/11/27	50,000,000	2014/05/28
64287	UBS AG	Cheung Kong R Bear CBBC Mar 2015 A	0.250	156 per 100 units	150 per 100 units	2015/03/02	50,000,000	2014/05/28
64283	UBS AG	Galaxy Ent R Bull CBBC Jul 2015 A	0.250	52.50 per 100 units	55 per 100 units	2015/07/06	50,000,000	2014/05/28
64248	UBS AG	HSI R Bull CBBC Oct 2014 J	0.250	22,634 per 10,000 units	22,834 per 10,000 units	2014/10/30	300,000,000	2014/05/28
64260	UBS AG	HSI R Bear CBBC Aug 2014 I	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/08/28	300,000,000	2014/05/28
64247	UBS AG	HSI R Bear CBBC Aug 2014 T	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2014/05/28
64288	UBS AG	HSI R Bear CBBC Aug 2014 U	0.250	23,014 per 12,000 units	22,814 per 12,000 units	2014/08/28	350,000,000	2014/05/28
64277	UBS AG	Kingsoft R Bull CBBC Aug 2015 C	0.250	20 per 100 units	22 per 100 units	2015/08/10	50,000,000	2014/05/28
64352	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 F	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64353	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 I	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64354	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 R	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64356	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 S	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64348	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 V	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64307	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/05/29
64340	Credit Suisse AG	HSI R Bull CBBC Oct 2014 M	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	238,000,000	2014/05/29
64341	Credit Suisse AG	HSI R Bull CBBC Oct 2014 O	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	238,000,000	2014/05/29
64339	Credit Suisse AG	HSI R Bull CBBC Oct 2014 X	0.250	22,805 per 10,000 units	23,005 per 10,000 units	2014/10/30	238,000,000	2014/05/29
64317	Credit Suisse AG	HSI R Bear CBBC Aug 2014 U	0.250	23,038 per 12,000 units	22,838 per 12,000 units	2014/08/28	238,000,000	2014/05/29
64318	Credit Suisse AG	HSI R Bear CBBC Aug 2014 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/08/28	238,000,000	2014/05/29
64319	Credit Suisse AG	HSI R Bear CBBC Aug 2014 X	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/08/28	238,000,000	2014/05/29
64323	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Feb 2015 I	0.250	105.50 per 100 units	108 per 100 units	2015/02/25	200,000,000	2014/05/29
64324	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 G	0.250	120.50 per 100 units	118 per 100 units	2015/02/25	200,000,000	2014/05/29
64327	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 H	0.250	122.50 per 100 units	120 per 100 units	2015/02/25	200,000,000	2014/05/29
64329	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Feb 2015 I	0.250	124.50 per 100 units	122 per 100 units	2015/02/25	200,000,000	2014/05/29
64293	HK Bank	GCL-Poly Energy R Bull CBBC Nov 2015 A	0.250	1.8	2.05	2015/11/26	40,000,000	2014/05/29
64295	HK Bank	Kingsoft R Bull CBBC Jan 2015 B	0.450	19.88	21.88	2015/01/26	50,000,000	2014/05/29
64292	HK Bank	Lenovo R Bull CBBC Mar 2015 A	0.250	8.08	8.58	2015/03/09	60,000,000	2014/05/29
64297	HK Bank	Lenovo R Bull CBBC Mar 2015 B	0.250	7.58	8.08	2015/03/30	60,000,000	2014/05/29
64359	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 J	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/10/30	300,000,000	2014/05/29
64322	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 G	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64301	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 A	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/08/28	300,000,000	2014/05/29
64303	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 B	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/08/28	300,000,000	2014/05/29
64305	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 C	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2014/05/29
64299	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 O	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/08/28	300,000,000	2014/05/29
64306	J P Morgan SP BV	HSI R Bear CBBC Aug 2014 Z	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2014/05/29
64320	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 I	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/10/30	50,000,000	2014/05/29
64321	Macquarie Bank Ltd.	HSI R Bear CBBC Sep 2014 L	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/09/29	50,000,000	2014/05/29
64344	Standard Chartered Bank	HSI R Bull CBBC Jan 2015 D	0.250	22,380 per 20,000 units	22,580 per 20,000 units	2015/01/29	100,000,000	2014/05/29
64345	Standard Chartered Bank	HSI R Bull CBBC Jan 2015 E	0.250	22,680 per 20,000 units	22,880 per 20,000 units	2015/01/29	100,000,000	2014/05/29
64335	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 P	0.250	22,768 per 10,000 units	22,868 per 10,000 units	2014/09/29	200,000,000	2014/05/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64336	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 Q	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/09/29	200,000,000	2014/05/29
64308	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 F	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/09/29	200,000,000	2014/05/29
64342	UBS AG	HSI R Bull CBBC Nov 2014 M	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	300,000,000	2014/05/29
64343	UBS AG	HSI R Bull CBBC Dec 2014 K	0.250	22,805 per 10,000 units	23,005 per 10,000 units	2014/12/30	300,000,000	2014/05/29
64316	UBS AG	HSI R Bear CBBC Sep 2014 T	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2014/05/29
64357	UBS AG	HSI R Bear CBBC Sep 2014 U	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2014/05/29
64331	UBS AG	TCH R Bull CBBC Aug 2015 B	0.250	102.50 per 100 units	105 per 100 units	2015/08/24	100,000,000	2014/05/29
64330	UBS AG	TCH R Bear CBBC Mar 2015 B	0.250	128 per 100 units	125 per 100 units	2015/03/02	100,000,000	2014/05/29
64360	Credit Suisse AG	HSI R Bull CBBC Nov 2014 D	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	238,000,000	2014/05/30
64392	Credit Suisse AG	HSI R Bear CBBC Sep 2014 R	0.250	23,137 per 10,000 units	22,937 per 10,000 units	2014/09/29	238,000,000	2014/05/30
64393	Credit Suisse AG	HSI R Bear CBBC Sep 2014 S	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/09/29	238,000,000	2014/05/30
64385	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 E	0.277	22,288 per 10,000 units	22,488 per 10,000 units	2014/09/29	150,000,000	2014/05/30
64386	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 F	0.274	22,388 per 10,000 units	22,588 per 10,000 units	2014/09/29	150,000,000	2014/05/30
64387	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 G	0.262	22,188 per 10,000 units	22,388 per 10,000 units	2014/09/29	150,000,000	2014/05/30
64388	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 C	0.261	23,588 per 10,000 units	23,388 per 10,000 units	2014/09/29	150,000,000	2014/05/30
64389	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 D	0.255	23,688 per 10,000 units	23,488 per 10,000 units	2014/09/29	150,000,000	2014/05/30
64390	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 E	0.264	23,888 per 10,000 units	23,688 per 10,000 units	2014/09/29	150,000,000	2014/05/30
64363	HK Bank	HSCEI R Bull CBBC Feb 2015 B	0.250	9,688 per 10,000 units	9,888 per 10,000 units	2015/02/26	100,000,000	2014/05/30
64361	HK Bank	HSI R Bull CBBC Jan 2015 I	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2015/01/29	100,000,000	2014/05/30
64362	HK Bank	HSI R Bear CBBC Feb 2015 A	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2015/02/26	100,000,000	2014/05/30
64365	HK Bank	Kingsoft R Bull CBBC Feb 2015 A	0.470	20.88	22.88	2015/02/16	50,000,000	2014/05/30
64364	HK Bank	SHK Ppt R Bull CBBC Apr 2015 B	0.250	92.28 per 100 units	96.28 per 100 units	2015/04/27	60,000,000	2014/05/30
64383	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/11/27	300,000,000	2014/05/30
64391	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 J	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/10/30	50,000,000	2014/05/30
64379	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 F	0.250	23,180 per 15,000 units	22,980 per 15,000 units	2015/03/30	100,000,000	2014/05/30
64370	Standard Chartered Bank	Lenovo R Bull CBBC Aug 2015 A	0.250	8.38	8.88	2015/08/12	50,000,000	2014/05/30
64378	Standard Chartered Bank	Lenovo R Bear CBBC Feb 2015 A	0.250	10.88	10.38	2015/02/11	50,000,000	2014/05/30
64369	UBS AG	HSI R Bull CBBC Oct 2014 Q	0.250	22,753 per 10,000 units	22,953 per 10,000 units	2014/10/30	300,000,000	2014/05/30
64367	UBS AG	HSI R Bear CBBC Oct 2014 R	0.250	23,154 per 10,000 units	22,954 per 10,000 units	2014/10/30	300,000,000	2014/05/30
64382	UBS AG	Lenovo R Bull CBBC Feb 2015 A	0.250	7.58	8.08	2015/02/02	50,000,000	2014/05/30
64394	Credit Suisse AG	HSI R Bull CBBC Sep 2014 T	0.250	22,765 per 12,000 units	22,965 per 12,000 units	2014/09/29	238,000,000	2014/06/03
64453	Credit Suisse AG	HSI R Bear CBBC Sep 2014 T	0.250	23,151 per 12,000 units	22,951 per 12,000 units	2014/09/29	238,000,000	2014/06/03
64464	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Mar15 A	0.250	67.50 per 100 units	65 per 100 units	2015/03/02	60,000,000	2014/06/03
64465	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Mar15 B	0.250	71 per 100 units	68.50 per 100 units	2015/03/02	60,000,000	2014/06/03
64459	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Mar15A	0.250	52 per 100 units	55 per 100 units	2015/03/02	60,000,000	2014/06/03
64461	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Mar15A	0.250	66 per 100 units	63 per 100 units	2015/03/02	60,000,000	2014/06/03
64466	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 F	0.250	91.50 per 100 units	94 per 100 units	2015/03/02	200,000,000	2014/06/03
64467	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 G	0.250	93.50 per 100 units	96 per 100 units	2015/03/02	200,000,000	2014/06/03
64468	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 H	0.250	95.50 per 100 units	98 per 100 units	2015/03/02	200,000,000	2014/06/03
64458	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 F	0.254	23,338 per 20,000 units	23,038 per 20,000 units	2014/09/29	150,000,000	2014/06/03
64456	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 V	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2014/06/03
64457	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 J	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2014/06/03
64398	SGA Societe Generale Acceptance N.V	Cinda R Bull CBBC Jun15A	0.250	2.75	3	2015/06/29	100,000,000	2014/06/03

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64399	SGA Societe Generale Acceptance N.V.	Cinda R Bull CBBC Jun15B	0.250	3	3.25	2015/06/29	100,000,000	2014/06/03
64400	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 G	0.250	23,118 per 10,000 units	23,018 per 10,000 units	2014/09/29	200,000,000	2014/06/03
64451	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 A	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2014/10/30	200,000,000	2014/06/03
64452	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 B	0.250	24,318 per 10,000 units	24,218 per 10,000 units	2014/10/30	200,000,000	2014/06/03
64455	UBS AG	HSI R Bull CBBC Jan 2015 H	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/01/29	300,000,000	2014/06/03
64395	UBS AG	HSI R Bear CBBC Sep 2014 Q	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/06/03
64505	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Y	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/10/30	238,000,000	2014/06/04
64506	Credit Suisse AG	HSI R Bear CBBC Oct 2014 L	0.250	23,168 per 10,000 units	22,968 per 10,000 units	2014/10/30	238,000,000	2014/06/04
64477	HK Bank	ABC R Bull CBBC Aug 2015 A	0.250	2.98	3.23	2015/08/17	80,000,000	2014/06/04
64470	HK Bank	BOCL R Bear CBBC May 2015 A	0.250	4.36	4.18	2015/05/04	40,000,000	2014/06/04
64479	HK Bank	CC Bank R Bull CBBC Aug 2015 A	0.250	5.03	5.33	2015/08/03	80,000,000	2014/06/04
64476	HK Bank	Great Wall Motor R Bull CBBC Dec 2015 D	0.250	25.58 per 100 units	28.08 per 100 units	2015/12/21	40,000,000	2014/06/04
64471	HK Bank	Kingsoft R Bull CBBC Mar 2015 A	0.510	21.88	23.88	2015/03/30	50,000,000	2014/06/04
64472	HK Bank	Lenovo R Bull CBBC Apr 2015 A	0.250	8.58	9.08	2015/04/13	60,000,000	2014/06/04
64483	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 A	0.250	23,280 per 12,000 units	23,080 per 12,000 units	2015/04/29	100,000,000	2014/06/04
64491	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 B	0.250	23,780 per 20,000 units	23,580 per 20,000 units	2015/04/29	100,000,000	2014/06/04
64492	Standard Chartered Bank	Kingsoft R Bull CBBC Jul 2015 D	0.620	20.8	22.8	2015/07/21	50,000,000	2014/06/04
64494	Standard Chartered Bank	Sands China R Bull CBBC Nov 2014 D	0.250	48.08 per 100 units	50.88 per 100 units	2014/11/21	50,000,000	2014/06/04
64496	SGA Societe Generale Acceptance NV	Galaxy R Bear CBBC Dec14A	0.250	75 per 100 units	72.50 per 100 units	2014/12/30	50,000,000	2014/06/04
64497	SGA Societe Generale Acceptance N.V.	Sands R Bull CBBC Dec14A	0.250	44 per 100 units	46.50 per 100 units	2014/12/30	50,000,000	2014/06/04
64481	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	22,763 per 12,000 units	22,963 per 12,000 units	2014/11/27	350,000,000	2014/06/04
64480	UBS AG	HSI R Bear CBBC Oct 2014 S	0.250	23,164 per 12,000 units	22,964 per 12,000 units	2014/10/30	350,000,000	2014/06/04
64495	UBS AG	Ping An R Bull CBBC Jul 2015 B	0.250	51 per 100 units	55 per 100 units	2015/07/13	50,000,000	2014/06/04
64537	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 A	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/06/05
64510	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2014/06/05
64538	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 S	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/05
64545	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	22,933 per 10,000 units	23,133 per 10,000 units	2014/12/30	238,000,000	2014/06/05
64546	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/12/30	238,000,000	2014/06/05
64516	Credit Suisse AG	HSI R Bear CBBC Sep 2014 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/09/29	238,000,000	2014/06/05
64511	Credit Suisse AG	HSI R Bear CBBC Sep 2014 U	0.250	23,145 per 10,000 units	22,945 per 10,000 units	2014/09/29	238,000,000	2014/06/05
64513	Credit Suisse AG	HSI R Bear CBBC Sep 2014 V	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2014/09/29	238,000,000	2014/06/05
64556	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 A	0.250	22,000 per 15,000 units	22,200 per 15,000 units	2015/01/29	200,000,000	2014/06/05
64561	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 B	0.250	22,200 per 15,000 units	22,400 per 15,000 units	2015/01/29	200,000,000	2014/06/05
64566	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 C	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2015/01/29	200,000,000	2014/06/05
64567	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 A	0.250	23,600 per 15,000 units	23,400 per 15,000 units	2015/01/29	200,000,000	2014/06/05
64582	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 B	0.250	23,800 per 15,000 units	23,600 per 15,000 units	2015/01/29	200,000,000	2014/06/05
64583	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 C	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2015/01/29	200,000,000	2014/06/05
64549	Citigroup Global Mkt H Inc.	Kingsoft R Bull CBBC Mar 15 D	0.520	21	23	2015/03/02	40,000,000	2014/06/05
64553	Citigroup Global Mkt H Inc.	Kingsoft R Bear CBBC Mar 15 D	0.420	30	28	2015/03/02	40,000,000	2014/06/05
64555	Citigroup Global Mkt H Inc.	Kingsoft R Bear CBBC Mar 15 E	0.620	32	30	2015/03/02	40,000,000	2014/06/05
64529	Macquarie Bank Ltd.	HSI R Bull CBBC Oct 2014 K	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/10/30	50,000,000	2014/06/05
64542	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 I	0.250	22,580 per 12,000 units	22,780 per 12,000 units	2015/04/29	100,000,000	2014/06/05
64540	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 C	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2015/04/29	100,000,000	2014/06/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64518	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 R	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/09/29	200,000,000	2014/06/05
64532	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 X	0.250	21,500 per 20,000 units	21,600 per 20,000 units	2014/12/30	200,000,000	2014/06/05
64533	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Y	0.250	21,900 per 20,000 units	22,000 per 20,000 units	2014/12/30	200,000,000	2014/06/05
64523	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 H	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2014/09/29	200,000,000	2014/06/05
64524	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 C	0.250	24,468 per 10,000 units	24,368 per 10,000 units	2014/10/30	200,000,000	2014/06/05
64527	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 D	0.250	24,538 per 10,000 units	24,438 per 10,000 units	2014/10/30	200,000,000	2014/06/05
64534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 A	0.250	24,100 per 20,000 units	24,000 per 20,000 units	2014/12/30	200,000,000	2014/06/05
64535	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 B	0.250	24,500 per 20,000 units	24,400 per 20,000 units	2014/12/30	200,000,000	2014/06/05
64548	UBS AG	HSI R Bull CBBC Jan 2015 I	0.250	22,933 per 10,000 units	23,133 per 10,000 units	2015/01/29	300,000,000	2014/06/05
64509	UBS AG	HSI R Bear CBBC Nov 2014 F	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/11/27	300,000,000	2014/06/05
64536	UBS AG	HSI R Bear CBBC Nov 2014 G	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/11/27	300,000,000	2014/06/05
64681	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/06/06
64639	Credit Suisse AG	HSI R Bull CBBC Jan 2015 K	0.250	22,986 per 10,000 units	23,186 per 10,000 units	2015/01/29	238,000,000	2014/06/06
64648	Credit Suisse AG	HSI R Bull CBBC Jan 2015 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/01/29	238,000,000	2014/06/06
64620	Credit Suisse AG	HSI R Bear CBBC Oct 2014 M	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/10/30	238,000,000	2014/06/06
64624	Credit Suisse AG	HSI R Bear CBBC Oct 2014 N	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/10/30	238,000,000	2014/06/06
64589	HK Bank	AIA R Bull CBBC Jun 2015 A	0.250	33.18 per 100 units	34.18 per 100 units	2015/06/22	60,000,000	2014/06/06
64584	HK Bank	BOCL R Bull CBBC Jul 2015 A	0.250	3.25	3.43	2015/07/20	80,000,000	2014/06/06
64598	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 H	0.250	7.9	8.2	2015/01/26	80,000,000	2014/06/06
64590	HK Bank	HSI R Bull CBBC Dec 2014 Q	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/12/30	100,000,000	2014/06/06
64594	HK Bank	HSI R Bear CBBC Jan 2015 F	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2015/01/29	100,000,000	2014/06/06
64592	HK Bank	HSI R Bear CBBC Feb 2015 B	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2015/02/26	100,000,000	2014/06/06
64608	HK Bank	ICBC R Bull CBBC Jul 2015 A	0.250	4.53	4.78	2015/07/20	80,000,000	2014/06/06
64588	HK Bank	Lenovo R Bear CBBC Jun 2015 B	0.250	11.38	10.88	2015/06/15	40,000,000	2014/06/06
64606	HK Bank	TCH R Bull CBBC Jan 2015 D	0.250	108.88 per 100 units	110.88 per 100 units	2015/01/15	100,000,000	2014/06/06
64655	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 J	0.250	22,880 per 12,000 units	23,080 per 12,000 units	2015/04/29	100,000,000	2014/06/06
64672	Standard Chartered Bank	Kingsoft R Bear CBBC Mar 2015 C	0.600	28.8	26.8	2015/03/17	50,000,000	2014/06/06
64659	Standard Chartered Bank	SHK Ppt R Bull CBBC Dec 2014 A	0.250	94.48 per 100 units	98.48 per 100 units	2014/12/31	50,000,000	2014/06/06
64633	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 S	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2014/09/29	200,000,000	2014/06/06
64634	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 T	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2014/09/29	200,000,000	2014/06/06
64635	UBS AG	Galaxy Ent R Bull CBBC Jun 2015 B	0.250	48.50 per 100 units	51 per 100 units	2015/06/08	50,000,000	2014/06/06
64668	UBS AG	HSI R Bull CBBC Dec 2014 G	0.250	22,986 per 10,000 units	23,186 per 10,000 units	2014/12/30	300,000,000	2014/06/06
64667	UBS AG	HSI R Bull CBBC Dec 2014 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/06/06
64666	UBS AG	HSI R Bear CBBC Sep 2014 O	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2014/06/06
64630	UBS AG	HSI R Bear CBBC Oct 2014 U	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2014/06/06
64688	Credit Suisse AG	HSI R Bull CBBC Nov 2014 V	0.250	22,881 per 10,000 units	23,081 per 10,000 units	2014/11/27	238,000,000	2014/06/09
64683	Credit Suisse AG	HSI R Bear CBBC Sep 2014 W	0.250	23,211 per 10,000 units	23,011 per 10,000 units	2014/09/29	238,000,000	2014/06/09
64702	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 H	0.259	22,338 per 20,000 units	22,638 per 20,000 units	2014/09/29	150,000,000	2014/06/09
64690	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 G	0.313	24,138 per 20,000 units	23,838 per 20,000 units	2014/09/29	150,000,000	2014/06/09
64692	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 H	0.290	23,838 per 20,000 units	23,538 per 20,000 units	2014/09/29	150,000,000	2014/06/09
64689	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	22,881 per 10,000 units	23,081 per 10,000 units	2014/11/27	300,000,000	2014/06/09
64685	UBS AG	HSI R Bear CBBC Sep 2014 W	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2014/06/09
64686	UBS AG	HSI R Bear CBBC Oct 2014 V	0.250	23,211 per 12,000 units	23,011 per 12,000 units	2014/10/30	350,000,000	2014/06/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64749	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Z	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	300,000,000	2014/06/10
64715	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/06/10
64737	Credit Suisse AG	Galaxy Ent R Bull CBBC Jan 2015 A	0.250	53.50 per 100 units	55.80 per 100 units	2015/01/30	50,000,000	2014/06/10
64739	Credit Suisse AG	Galaxy Ent R Bear CBBC Jan 2015 A	0.250	71.10 per 100 units	68.80 per 100 units	2015/01/30	50,000,000	2014/06/10
64758	Credit Suisse AG	HSI R Bull CBBC Oct 2014 H	0.250	23,091 per 10,000 units	23,291 per 10,000 units	2014/10/30	238,000,000	2014/06/10
64754	Credit Suisse AG	HSI R Bull CBBC Oct 2014 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/10/30	238,000,000	2014/06/10
64757	Credit Suisse AG	HSI R Bull CBBC Oct 2014 S	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	238,000,000	2014/06/10
64751	Credit Suisse AG	HSI R Bear CBBC Oct 2014 O	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2014/10/30	238,000,000	2014/06/10
64712	Credit Suisse AG	HSI R Bear CBBC Nov 2014 A	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/11/27	238,000,000	2014/06/10
64713	Credit Suisse AG	HSI R Bear CBBC Nov 2014 B	0.250	23,282 per 12,000 units	23,082 per 12,000 units	2014/11/27	238,000,000	2014/06/10
64714	Credit Suisse AG	HSI R Bear CBBC Nov 2014 C	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/11/27	238,000,000	2014/06/10
64746	Credit Suisse AG	HSI R Bear CBBC Nov 2014 D	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/11/27	238,000,000	2014/06/10
64747	Credit Suisse AG	HSI R Bear CBBC Nov 2014 E	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/11/27	238,000,000	2014/06/10
64727	Credit Suisse AG	TCH R Bull CBBC Jan 2015 A	0.250	102.78 per 100 units	105.28 per 100 units	2015/01/30	50,000,000	2014/06/10
64733	Credit Suisse AG	TCH R Bull CBBC Jan 2015 B	0.250	97.78 per 100 units	100.28 per 100 units	2015/01/30	50,000,000	2014/06/10
64736	Credit Suisse AG	TCH R Bull CBBC Jan 2015 C	0.250	92.78 per 100 units	95.28 per 100 units	2015/01/30	50,000,000	2014/06/10
64717	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 I	0.258	22,838 per 20,000 units	23,138 per 20,000 units	2014/09/29	150,000,000	2014/06/10
64705	HK Bank	Kingsoft R Bear CBBC Apr 2015 A	0.470	27.88	25.88	2015/04/13	40,000,000	2014/06/10
64704	HK Bank	TCH R Bear CBBC Dec 2014 M	0.250	115.88 per 100 units	113.88 per 100 units	2014/12/08	100,000,000	2014/06/10
64748	Macquarie Bank Ltd.	HSI R Bull CBBC Nov 2014 A	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	50,000,000	2014/06/10
64759	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 D	0.250	24,380 per 15,000 units	24,180 per 15,000 units	2015/04/29	100,000,000	2014/06/10
64760	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 E	0.250	24,580 per 20,000 units	24,380 per 20,000 units	2015/04/29	100,000,000	2014/06/10
64719	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 U	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/09/29	200,000,000	2014/06/10
64720	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 I	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2014/09/29	200,000,000	2014/06/10
64723	UBS AG	A50 China R Bull CBBC Mar 2015 F	0.250	7.8	8.1	2015/03/23	100,000,000	2014/06/10
64722	UBS AG	HSCEI R Bull CBBC Dec 2014 J	0.250	9,600 per 10,000 units	9,800 per 10,000 units	2014/12/30	100,000,000	2014/06/10
64761	UBS AG	HSI R Bull CBBC Oct 2014 S	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2014/06/10
64762	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	23,091 per 10,000 units	23,291 per 10,000 units	2014/11/27	300,000,000	2014/06/10
64706	UBS AG	HSI R Bear CBBC Sep 2014 X	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2014/06/10
64707	UBS AG	HSI R Bear CBBC Nov 2014 H	0.250	23,282 per 12,000 units	23,082 per 12,000 units	2014/11/27	350,000,000	2014/06/10
64726	UBS AG	SHK Ppt R Bear CBBC Feb 2015 A	0.250	124 per 100 units	120 per 100 units	2015/02/09	50,000,000	2014/06/10
64775	Credit Suisse AG	HSI R Bull CBBC Nov 2014 O	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/11/27	238,000,000	2014/06/11
64774	Credit Suisse AG	HSI R Bull CBBC Nov 2014 W	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/11/27	238,000,000	2014/06/11
64796	Credit Suisse AG	HSI R Bear CBBC Sep 2014 A	0.250	23,352 per 10,000 units	23,152 per 10,000 units	2014/09/29	238,000,000	2014/06/11
64784	Credit Suisse AG	HSI R Bear CBBC Sep 2014 X	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	238,000,000	2014/06/11
64768	HK Bank	Cheung Kong R Bull CBBC Jun 2015 A	0.250	123.88 per 100 units	129.88 per 100 units	2015/06/29	60,000,000	2014/06/11
64769	HK Bank	Cheung Kong R Bear CBBC Apr 2015 D	0.280	166 per 100 units	160 per 100 units	2015/04/13	50,000,000	2014/06/11
64766	HK Bank	China Life R Bull CBBC Feb 2015 B	0.270	18.68	19.68	2015/02/09	60,000,000	2014/06/11
64767	HK Bank	Minsheng Bank R Bull CBBC Jul 2015 A	0.250	7.08	7.58	2015/07/20	40,000,000	2014/06/11
64763	HK Bank	HSI R Bull CBBC Nov 2014 H	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2014/11/27	100,000,000	2014/06/11
64764	HK Bank	HSI R Bull CBBC Dec 2014 R	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2014/12/30	100,000,000	2014/06/11
64765	HK Bank	HSI R Bull CBBC Dec 2014 S	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2014/12/30	100,000,000	2014/06/11
64773	HK Bank	SHK Ppt R Bear CBBC Oct 2015 A	0.250	127.98 per 100 units	123.98 per 100 units	2015/10/19	50,000,000	2014/06/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64778	Standard Chartered Bank	TCH R Bull CBBC Aug 2015 A	0.250	98.80 per 100 units	100.80 per 100 units	2015/08/05	80,000,000	2014/06/11
64779	Standard Chartered Bank	TCH R Bull CBBC Aug 2015 B	0.250	106.80 per 100 units	108.80 per 100 units	2015/08/12	80,000,000	2014/06/11
64780	Standard Chartered Bank	TCH R Bear CBBC Dec 2014 C	0.250	123.30 per 100 units	120.80 per 100 units	2014/12/05	80,000,000	2014/06/11
64782	Standard Chartered Bank	TCH R Bear CBBC Dec 2014 D	0.250	131.30 per 100 units	128.80 per 100 units	2014/12/12	80,000,000	2014/06/11
64783	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 J	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/09/29	200,000,000	2014/06/11
64777	UBS AG	HSI R Bull CBBC Oct 2014 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2014/06/11
64802	UBS AG	HSI R Bear CBBC Nov 2014 I	0.250	23,362 per 12,000 units	23,162 per 12,000 units	2014/11/27	350,000,000	2014/06/11
64807	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 G	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/06/12
64805	Credit Suisse AG	HSI R Bull CBBC Oct 2014 I	0.250	22,951 per 12,000 units	23,151 per 12,000 units	2014/10/30	238,000,000	2014/06/12
64813	Credit Suisse AG	HSI R Bear CBBC Oct 2014 P	0.250	23,302 per 12,000 units	23,102 per 12,000 units	2014/10/30	238,000,000	2014/06/12
64812	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 F	0.250	23,480 per 15,000 units	23,280 per 15,000 units	2015/04/29	100,000,000	2014/06/12
64803	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 V	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/09/29	200,000,000	2014/06/12
64804	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep14 K	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2014/09/29	200,000,000	2014/06/12
64816	UBS AG	A50 China R Bull CBBC Mar 2015 G	0.250	7.5	7.8	2015/03/16	100,000,000	2014/06/12
64811	UBS AG	HSI R Bull CBBC Jan 2015 J	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/01/29	300,000,000	2014/06/12
64815	UBS AG	HSI R Bear CBBC Oct 2014 W	0.250	23,302 per 10,000 units	23,102 per 10,000 units	2014/10/30	300,000,000	2014/06/12
64843	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Y	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/06/13
64830	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 I	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/13
64838	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 J	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2014/06/13
64897	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 X	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/06/13
64836	Credit Suisse AG	HSI R Bull CBBC Sep 2014 U	0.250	22,909 per 12,000 units	23,109 per 12,000 units	2014/09/29	238,000,000	2014/06/13
64865	Credit Suisse AG	HSI R Bull CBBC Sep 2014 V	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	238,000,000	2014/06/13
64867	Credit Suisse AG	HSI R Bear CBBC Sep 2014 D	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	238,000,000	2014/06/13
64873	Credit Suisse AG	HSI R Bear CBBC Sep 2014 N	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/09/29	238,000,000	2014/06/13
64874	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Y	0.250	23,152 per 10,000 units	22,952 per 10,000 units	2014/09/29	238,000,000	2014/06/13
64829	HK Bank	China Cinda R Bull CBBC Mar 2015 A	0.250	3.21	3.51	2015/03/30	50,000,000	2014/06/13
64819	HK Bank	HSI R Bull CBBC Dec 2014 T	0.250	22,028 per 20,000 units	22,328 per 20,000 units	2014/12/30	400,000,000	2014/06/13
64817	HK Bank	HSI R Bear CBBC Dec 2014 Q	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/12/30	100,000,000	2014/06/13
64820	HK Bank	Hutchison R Bull CBBC Jun 2015 A	0.250	95.88 per 100 units	98.88 per 100 units	2015/06/29	60,000,000	2014/06/13
64826	HK Bank	SHK Ppt R Bull CBBC May 2015 A	0.250	98.28 per 100 units	102.28 per 100 units	2015/05/11	60,000,000	2014/06/13
64821	HK Bank	TCH R Bear CBBC Dec 2014 N	0.250	113.88 per 100 units	111.88 per 100 units	2014/12/01	100,000,000	2014/06/13
64837	Macquarie Bank Ltd.	HSI R Bear CBBC Oct 2014 A	0.250	23,725 per 10,000 units	23,525 per 10,000 units	2014/10/30	50,000,000	2014/06/13
64833	UBS AG	HSI R Bull CBBC Jan 2015 K	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/01/29	300,000,000	2014/06/13
64880	UBS AG	HSI R Bear CBBC Sep 2014 Y	0.250	23,152 per 10,000 units	22,952 per 10,000 units	2014/09/29	300,000,000	2014/06/13
64892	UBS AG	HSI R Bear CBBC Oct 2014 X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2014/06/13
64947	Credit Suisse AG	HSI R Bull CBBC Feb 2015 A	0.250	22,917 per 10,000 units	23,117 per 10,000 units	2015/02/26	238,000,000	2014/06/16
64933	Credit Suisse AG	HSI R Bear CBBC Oct 2014 Q	0.250	23,188 per 12,000 units	22,988 per 12,000 units	2014/10/30	238,000,000	2014/06/16
64934	Credit Suisse AG	HSI R Bear CBBC Oct 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/10/30	238,000,000	2014/06/16
65027	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Mar 2015 A	0.250	69 per 100 units	65 per 100 units	2015/03/02	80,000,000	2014/06/16
65026	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Mar15B	0.250	60 per 100 units	57 per 100 units	2015/03/02	60,000,000	2014/06/16
64923	Bank of East Asia	Kingsoft R Bull CBBC Aug 2015 A	0.250	14.388 per 100 units	16.388 per 100 units	2015/08/26	200,000,000	2014/06/16
64921	Bank of East Asia	Lenovo R Bull CBBC Feb 2015 A	0.250	7.9	8.4	2015/02/26	40,000,000	2014/06/16
64922	Bank of East Asia	Sands China R Bear CBBC Dec 2014 A	0.250	67 per 100 units	64 per 100 units	2014/12/31	60,000,000	2014/06/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64927	Bank of East Asia	TCH R Bull CBBC Aug 2015 A	0.250	97.88 per 100 units	99.88 per 100 units	2015/08/31	40,000,000	2014/06/16
64932	Bank of East Asia	TCH R Bear CBBC Aug 2015 A	0.250	118.88 per 100 units	116.88 per 100 units	2015/08/27	40,000,000	2014/06/16
64986	Standard Chartered Bank	Galaxy Ent R Bull CBBC Jan 2015 A	0.250	43.88 per 100 units	46.88 per 100 units	2015/01/21	50,000,000	2014/06/16
64984	Standard Chartered Bank	Galaxy Ent R Bull CBBC Feb 2015 A	0.250	48.88 per 100 units	51.88 per 100 units	2015/02/12	50,000,000	2014/06/16
65017	Standard Chartered Bank	Galaxy Ent R Bear CBBC Jan 2015 A	0.250	62.88 per 100 units	59.88 per 100 units	2015/01/07	50,000,000	2014/06/16
64987	Standard Chartered Bank	Galaxy Ent R Bear CBBC Feb 2015 B	0.250	65.88 per 100 units	62.88 per 100 units	2015/02/18	50,000,000	2014/06/16
64979	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 K	0.250	22,880 per 12,000 units	23,080 per 12,000 units	2015/04/29	100,000,000	2014/06/16
64983	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 G	0.250	23,680 per 20,000 units	23,480 per 20,000 units	2015/04/29	100,000,000	2014/06/16
64909	Standard Chartered Bank	Hutchison R Bull CBBC Aug 2015 A	0.250	93.88 per 100 units	96.88 per 100 units	2015/08/05	50,000,000	2014/06/16
64910	Standard Chartered Bank	Kingsoft R Bear CBBC Mar 2015 D	0.500	26.8	24.8	2015/03/19	50,000,000	2014/06/16
64935	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 W	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2014/09/29	200,000,000	2014/06/16
65019	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	22,917 per 10,000 units	23,117 per 10,000 units	2014/11/27	300,000,000	2014/06/16
65020	UBS AG	HSI R Bull CBBC Dec 2014 H	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2014/06/16
64917	UBS AG	HSI R Bear CBBC Sep 2014 A	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/06/16
64916	UBS AG	HSI R Bear CBBC Sep 2014 Z	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2014/06/16
65024	UBS AG	Sands China R Bull CBBC Mar 2015 B	0.250	40 per 100 units	43 per 100 units	2015/03/09	50,000,000	2014/06/16
65141	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	300,000,000	2014/06/17
65367	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 P	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/12/30	300,000,000	2014/06/17
65165	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/06/17
65368	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 A	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/01/29	300,000,000	2014/06/17
65369	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 B	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/01/29	300,000,000	2014/06/17
65196	Credit Suisse AG	HSI R Bull CBBC Jan 2015 M	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/01/29	238,000,000	2014/06/17
65198	Credit Suisse AG	HSI R Bull CBBC Jan 2015 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/01/29	238,000,000	2014/06/17
65356	Credit Suisse AG	HSI R Bull CBBC Jan 2015 O	0.250	23,115 per 10,000 units	23,315 per 10,000 units	2015/01/29	238,000,000	2014/06/17
65036	Credit Suisse AG	HSI R Bear CBBC Oct 2014 S	0.250	23,318 per 12,000 units	23,118 per 12,000 units	2014/10/30	238,000,000	2014/06/17
65075	Credit Suisse AG	HSI R Bear CBBC Oct 2014 T	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/10/30	238,000,000	2014/06/17
65076	Credit Suisse AG	HSI R Bear CBBC Oct 2014 U	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/10/30	238,000,000	2014/06/17
65077	Credit Suisse AG	HSI R Bear CBBC Dec 2014 A	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/12/30	238,000,000	2014/06/17
65078	Credit Suisse AG	HSI R Bear CBBC Dec 2014 B	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/12/30	238,000,000	2014/06/17
65079	Credit Suisse AG	HSI R Bear CBBC Dec 2014 C	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/12/30	238,000,000	2014/06/17
65083	Credit Suisse AG	HSI R Bear CBBC Dec 2014 D	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2014/12/30	238,000,000	2014/06/17
65133	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2014 J	0.253	22,838 per 20,000 units	23,138 per 20,000 units	2014/09/29	150,000,000	2014/06/17
65383	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 I	0.279	24,488 per 20,000 units	24,188 per 20,000 units	2014/09/29	150,000,000	2014/06/17
65108	Macquarie Bank Ltd.	HSI R Bull CBBC Nov 2014 B	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	50,000,000	2014/06/17
65366	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC Mar 2015A	0.250	7.58	7.88	2015/03/25	80,000,000	2014/06/17
65365	Standard Chartered Bank	A50 China R Bull CBBC Feb 2015 A	0.250	7.7	8	2015/02/12	80,000,000	2014/06/17
65110	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 14N	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2014/10/30	200,000,000	2014/06/17
65054	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 14E	0.250	23,528 per 10,000 units	23,428 per 10,000 units	2014/10/30	200,000,000	2014/06/17
65130	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 14F	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2014/10/30	200,000,000	2014/06/17
65182	UBS AG	HSCEI R Bull CBBC Jan 2015 A	0.250	9,800 per 10,000 units	10,000 per 10,000 units	2015/01/29	100,000,000	2014/06/17
65173	UBS AG	HSCEI R Bear CBBC Nov 2014 B	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2014/11/27	100,000,000	2014/06/17
65428	UBS AG	HSI R Bull CBBC Oct 2014 F	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/10/30	300,000,000	2014/06/17
65453	UBS AG	HSI R Bull CBBC Dec 2014 L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	300,000,000	2014/06/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65456	UBS AG	HSI R Bull CBBC Dec 2014 M	0.250	23,115 per 10,000 units	23,315 per 10,000 units	2014/12/30	300,000,000	2014/06/17
65166	UBS AG	HSI R Bear CBBC Sep 2014 C	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2014/06/17
65072	UBS AG	HSI R Bear CBBC Dec 2014 C	0.250	23,318 per 12,000 units	23,118 per 12,000 units	2014/12/30	350,000,000	2014/06/17
65601	Credit Suisse AG	HSI R Bull CBBC Oct 2014 C	0.250	23,088 per 10,000 units	23,288 per 10,000 units	2014/10/30	238,000,000	2014/06/18
65819	Credit Suisse AG	HSI R Bear CBBC Oct 2014 V	0.250	23,439 per 10,000 units	23,239 per 10,000 units	2014/10/30	238,000,000	2014/06/18
65552	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Mar 15A	0.250	38.50 per 100 units	41 per 100 units	2015/03/16	60,000,000	2014/06/18
65553	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Mar 15B	0.250	41 per 100 units	43.50 per 100 units	2015/03/16	60,000,000	2014/06/18
65571	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Mar 15C	0.250	43.50 per 100 units	46 per 100 units	2015/03/16	60,000,000	2014/06/18
65573	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Mar 15D	0.250	46 per 100 units	48.50 per 100 units	2015/03/16	60,000,000	2014/06/18
65741	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Mar 15 A	0.250	93 per 100 units	96 per 100 units	2015/03/16	60,000,000	2014/06/18
65742	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Mar 15 B	0.250	96 per 100 units	99 per 100 units	2015/03/16	60,000,000	2014/06/18
65700	Citigroup Global Mkt H Inc.	SHK Ppt R Bull CBBC Mar 2015 A	0.250	90 per 100 units	94 per 100 units	2015/03/16	60,000,000	2014/06/18
65708	Citigroup Global Mkt H Inc.	SHK Ppt R Bull CBBC Mar 2015 B	0.250	96 per 100 units	100 per 100 units	2015/03/16	60,000,000	2014/06/18
65714	Citigroup Global Mkt H Inc.	SHK Ppt R Bear CBBC Mar 2015 A	0.250	116 per 100 units	112 per 100 units	2015/03/16	60,000,000	2014/06/18
65735	Citigroup Global Mkt H Inc.	SHK Ppt R Bear CBBC Mar 2015 B	0.250	122 per 100 units	118 per 100 units	2015/03/16	60,000,000	2014/06/18
65530	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 I	0.250	105.50 per 100 units	108 per 100 units	2015/03/02	200,000,000	2014/06/18
65531	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 J	0.250	107.50 per 100 units	110 per 100 units	2015/03/02	200,000,000	2014/06/18
65543	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Mar 2015 K	0.250	109.50 per 100 units	112 per 100 units	2015/03/02	200,000,000	2014/06/18
65466	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 I	0.250	8.08	8.38	2015/01/29	80,000,000	2014/06/18
65474	HK Bank	A50 R Bull CBBC Jan 2015 L	0.250	7.93	8.23	2015/01/22	200,000,000	2014/06/18
65477	HK Bank	Galaxy Ent R Bull CBBC Feb 2015 A	0.250	45.27 per 100 units	48.27 per 100 units	2015/02/09	80,000,000	2014/06/18
65479	HK Bank	Galaxy Ent R Bear CBBC Jan 2015 D	0.250	64.27 per 100 units	61.27 per 100 units	2015/01/26	60,000,000	2014/06/18
65508	HK Bank	Sands China R Bull CBBC Mar 2015 A	0.250	44.68 per 100 units	47.68 per 100 units	2015/03/16	80,000,000	2014/06/18
65487	HK Bank	Sands China R Bear CBBC Jan 2015 B	0.250	60.28 per 100 units	57.28 per 100 units	2015/01/12	60,000,000	2014/06/18
65659	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 H	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/01/29	300,000,000	2014/06/18
65661	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 I	0.250	23,038 per 10,000 units	23,238 per 10,000 units	2015/01/29	300,000,000	2014/06/18
65658	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/02/26	300,000,000	2014/06/18
65664	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 K	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/09/29	300,000,000	2014/06/18
65677	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 L	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/09/29	300,000,000	2014/06/18
65683	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 M	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/09/29	300,000,000	2014/06/18
65687	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 N	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/06/18
65622	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 L	0.250	23,080 per 15,000 units	23,280 per 15,000 units	2015/04/29	100,000,000	2014/06/18
65576	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 T	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/10/30	200,000,000	2014/06/18
65581	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 G	0.250	24,618 per 10,000 units	24,518 per 10,000 units	2014/10/30	200,000,000	2014/06/18
65583	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 H	0.250	24,768 per 10,000 units	24,668 per 10,000 units	2014/10/30	200,000,000	2014/06/18
65789	UBS AG	HSI R Bull CBBC Jan 2015 L	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/01/29	300,000,000	2014/06/18
65788	UBS AG	HSI R Bear CBBC Sep 2014 B	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2014/09/29	300,000,000	2014/06/18
65821	UBS AG	HSI R Bear CBBC Dec 2014 D	0.250	23,440 per 12,000 units	23,240 per 12,000 units	2014/12/30	350,000,000	2014/06/18
65805	UBS AG	TCH R Bear CBBC Feb 2015 A	0.250	133 per 100 units	130 per 100 units	2015/02/09	100,000,000	2014/06/18
65842	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 W	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/06/19
65859	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	23,057 per 12,000 units	23,257 per 12,000 units	2014/12/30	238,000,000	2014/06/19
65952	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/12/30	238,000,000	2014/06/19
65982	Credit Suisse AG	HSI R Bear CBBC Oct 2014 W	0.250	23,376 per 10,000 units	23,176 per 10,000 units	2014/10/30	238,000,000	2014/06/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65864	Credit Suisse AG	TCH R Bull CBBC Dec 2014 E	0.250	107.78 per 100 units	110.28 per 100 units	2014/12/19	50,000,000	2014/06/19
65872	Credit Suisse AG	TCH R Bear CBBC Dec 2014 A	0.250	122 per 100 units	119.50 per 100 units	2014/12/19	50,000,000	2014/06/19
65873	Credit Suisse AG	TCH R Bear CBBC Dec 2014 B	0.250	129.30 per 100 units	126.80 per 100 units	2014/12/19	50,000,000	2014/06/19
65886	Credit Suisse AG	TCH R Bear CBBC Dec 2014 C	0.250	135.30 per 100 units	132.80 per 100 units	2014/12/19	50,000,000	2014/06/19
65903	Credit Suisse AG	TCH R Bear CBBC Dec 2014 D	0.250	142.30 per 100 units	139.80 per 100 units	2014/12/19	50,000,000	2014/06/19
65839	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/01/29	300,000,000	2014/06/19
65834	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 C	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/02/26	300,000,000	2014/06/19
65840	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 O	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2014/06/19
65841	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 P	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/09/29	300,000,000	2014/06/19
65927	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep14 X	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/09/29	200,000,000	2014/06/19
65906	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 A	0.250	21,100 per 20,000 units	21,200 per 20,000 units	2014/12/30	200,000,000	2014/06/19
65932	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 I	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/10/30	200,000,000	2014/06/19
65856	UBS AG	HSI R Bull CBBC Oct 2014 H	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2014/06/19
65988	UBS AG	HSI R Bear CBBC Sep 2014 F	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/06/19
65989	UBS AG	HSI R Bear CBBC Sep 2014 K	0.250	23,376 per 10,000 units	23,176 per 10,000 units	2014/09/29	300,000,000	2014/06/19
65958	UBS AG	TCH R Bull CBBC Sep 2015 A	0.250	107.50 per 100 units	110 per 100 units	2015/09/07	100,000,000	2014/06/19
60166*	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2014 K	0.045	24,038 per 20,000 units	23,738 per 20,000 units	2014/08/28	230,000,000	2014/06/19
66116	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2014 H	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/20
66159	Credit Suisse AG	HSI R Bull CBBC Feb 2015 B	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/02/26	238,000,000	2014/06/20
66163	Credit Suisse AG	HSI R Bull CBBC Feb 2015 C	0.250	23,119 per 12,000 units	23,319 per 12,000 units	2015/02/26	238,000,000	2014/06/20
66127	Credit Suisse AG	HSI R Bear CBBC Sep 2014 C	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	238,000,000	2014/06/20
66125	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Z	0.250	23,388 per 12,000 units	23,188 per 12,000 units	2014/09/29	238,000,000	2014/06/20
66061	HK Bank	HSCEI R Bull CBBC Aug 2015 A	0.250	9,888 per 10,000 units	10,088 per 10,000 units	2015/08/28	100,000,000	2014/06/20
66043	HK Bank	HSI R Bull CBBC Dec 2014 U	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/12/30	100,000,000	2014/06/20
66045	HK Bank	HSI R Bull CBBC Jan 2015 J	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2015/01/29	100,000,000	2014/06/20
66098	HK Bank	TCH R Bull CBBC Feb 2015 A	0.250	108.88 per 100 units	110.88 per 100 units	2015/02/09	100,000,000	2014/06/20
66103	HK Bank	TCH R Bull CBBC Feb 2015 B	0.250	110.88 per 100 units	112.88 per 100 units	2015/02/02	100,000,000	2014/06/20
66105	HK Bank	TCH R Bull CBBC Feb 2015 C	0.250	106.88 per 100 units	108.88 per 100 units	2015/02/16	100,000,000	2014/06/20
66016	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 X	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	300,000,000	2014/06/20
66041	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/06/20
65996	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/20
66129	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Q	0.250	22,300 per 20,000 units	22,400 per 20,000 units	2014/12/30	200,000,000	2014/06/20
66133	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 J	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/10/30	200,000,000	2014/06/20
66173	UBS AG	Minsheng Bank R Bull CBBC Mar 2015 A	0.250	6	6.5	2015/03/13	50,000,000	2014/06/20
66170	UBS AG	HSI R Bull CBBC Oct 2014 L	0.250	23,119 per 10,000 units	23,319 per 10,000 units	2014/10/30	300,000,000	2014/06/20
66171	UBS AG	HSI R Bull CBBC Oct 2014 W	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/10/30	300,000,000	2014/06/20
66113	UBS AG	HSI R Bear CBBC Sep 2014 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/20
66111	UBS AG	HSI R Bear CBBC Sep 2014 R	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2014/06/20
66359	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/11/27	238,000,000	2014/06/23
66326	Credit Suisse AG	HSI R Bear CBBC Sep 2014 E	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/09/29	238,000,000	2014/06/23
66343	Credit Suisse AG	HSI R Bear CBBC Sep 2014 F	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/09/29	238,000,000	2014/06/23
66400	Credit Suisse AG	HSI R Bear CBBC Sep 2014 G	0.250	23,518 per 10,000 units	23,318 per 10,000 units	2014/09/29	238,000,000	2014/06/23
66328	Credit Suisse AG	HSI R Bear CBBC Oct 2014 X	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/10/30	238,000,000	2014/06/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66347	Credit Suisse AG	HSI R Bear CBBC Oct 2014 Y	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/10/30	238,000,000	2014/06/23
66327	Credit Suisse AG	HSI R Bear CBBC Nov 2014 F	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/11/27	238,000,000	2014/06/23
66187	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 J	0.250	25,238 per 20,000 units	24,938 per 20,000 units	2014/09/29	150,000,000	2014/06/23
66205	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2014 K	0.250	25,938 per 20,000 units	25,638 per 20,000 units	2014/09/29	150,000,000	2014/06/23
66258	HK Bank	ABC R Bull CBBC Aug 2015 B	0.250	3.23	3.48	2015/08/24	80,000,000	2014/06/23
66218	HK Bank	HSI R Bull CBBC Dec 2014 V	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2014/12/30	100,000,000	2014/06/23
66225	HK Bank	HSI R Bull CBBC Jan 2015 K	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2015/01/29	100,000,000	2014/06/23
66228	HK Bank	HSI R Bear CBBC Dec 2014 R	0.250	24,528 per 20,000 units	24,228 per 20,000 units	2014/12/30	400,000,000	2014/06/23
66254	HK Bank	HSI R Bear CBBC Dec 2014 S	0.250	25,728 per 20,000 units	25,428 per 20,000 units	2014/12/30	400,000,000	2014/06/23
66257	HK Bank	HSI R Bear CBBC Jan 2015 G	0.250	26,328 per 20,000 units	26,028 per 20,000 units	2015/01/29	400,000,000	2014/06/23
66214	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 D	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/02/26	300,000,000	2014/06/23
66207	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 R	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/09/29	300,000,000	2014/06/23
66261	Standard Chartered Bank	HSI R Bear CBBC Jun 2015 A	0.250	24,280 per 20,000 units	24,080 per 20,000 units	2015/06/29	100,000,000	2014/06/23
66262	Standard Chartered Bank	HSI R Bear CBBC Jun 2015 B	0.250	25,080 per 12,000 units	24,880 per 12,000 units	2015/06/29	100,000,000	2014/06/23
66401	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	23,075 per 10,000 units	23,275 per 10,000 units	2014/11/27	300,000,000	2014/06/23
66325	UBS AG	HSI R Bear CBBC Sep 2014 S	0.250	23,788 per 10,000 units	23,588 per 10,000 units	2014/09/29	300,000,000	2014/06/23
66267	UBS AG	HSI R Bear CBBC Oct 2014 Y	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/10/30	300,000,000	2014/06/23
66320	UBS AG	HSI R Bear CBBC Oct 2014 Z	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2014/06/23
66269	UBS AG	HSI R Bear CBBC Nov 2014 J	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/11/27	300,000,000	2014/06/23
66505	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 M	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	300,000,000	2014/06/24
66452	Credit Suisse AG	HSI R Bull CBBC Nov 2014 V	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/11/27	238,000,000	2014/06/24
66659	Credit Suisse AG	HSI R Bear CBBC Nov 2014 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/11/27	238,000,000	2014/06/24
66665	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Mar15 C	0.250	62.50 per 100 units	60 per 100 units	2015/03/16	60,000,000	2014/06/24
66667	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Mar15 D	0.250	65 per 100 units	62.50 per 100 units	2015/03/16	60,000,000	2014/06/24
66541	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 D	0.250	21,800 per 15,000 units	22,000 per 15,000 units	2015/01/29	200,000,000	2014/06/24
66561	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 E	0.250	22,600 per 15,000 units	22,800 per 15,000 units	2015/01/29	200,000,000	2014/06/24
66569	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 D	0.250	24,200 per 15,000 units	24,000 per 15,000 units	2015/01/29	200,000,000	2014/06/24
66632	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 E	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2015/01/29	200,000,000	2014/06/24
66426	HK Bank	A50 R Bull CBBC Feb 2015 D	0.250	8.13	8.43	2015/02/16	200,000,000	2014/06/24
66412	HK Bank	A50 R Bull CBBC Mar 2015 E	0.250	7.83	8.13	2015/03/09	200,000,000	2014/06/24
66409	HK Bank	HSI R Bear CBBC Dec 2014 T	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2014/12/30	100,000,000	2014/06/24
66431	HK Bank	HSI R Bear CBBC Feb 2015 C	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2015/02/26	100,000,000	2014/06/24
66434	HK Bank	HSI R Bear CBBC Feb 2015 D	0.250	24,788 per 15,000 units	24,588 per 15,000 units	2015/02/26	100,000,000	2014/06/24
66404	HK Bank	HSI R Bear CBBC Mar 2015 A	0.250	25,088 per 15,000 units	24,888 per 15,000 units	2015/03/30	100,000,000	2014/06/24
66413	HK Bank	HSI R Bear CBBC Mar 2015 B	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2015/03/30	100,000,000	2014/06/24
66682	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 S	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/09/29	300,000,000	2014/06/24
66686	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 A	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/10/30	300,000,000	2014/06/24
66687	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 B	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/10/30	300,000,000	2014/06/24
66639	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 A	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/06/29	100,000,000	2014/06/24
66641	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 U	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2014/10/30	200,000,000	2014/06/24
66516	UBS AG	HSI R Bull CBBC Dec 2014 E	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	300,000,000	2014/06/24
66660	UBS AG	HSI R Bear CBBC Nov 2014 K	0.250	23,371 per 12,000 units	23,171 per 12,000 units	2014/11/27	350,000,000	2014/06/24
66716	Credit Suisse AG	HSI R Bull CBBC Oct 2014 N	0.250	22,988 per 12,000 units	23,188 per 12,000 units	2014/10/30	238,000,000	2014/06/25

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66717	Credit Suisse AG	HSI R Bear CBBC Oct 2014 Z	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/10/30	238,000,000	2014/06/25
66710	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Mar15A	0.250	70 per 100 units	72.50 per 100 units	2015/03/18	80,000,000	2014/06/25
66711	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Mar15A	0.250	80.50 per 100 units	78 per 100 units	2015/03/18	80,000,000	2014/06/25
66714	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Mar15B	0.250	87 per 100 units	84.50 per 100 units	2015/03/18	80,000,000	2014/06/25
66715	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Mar15C	0.250	89.50 per 100 units	87 per 100 units	2015/03/18	80,000,000	2014/06/25
66702	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Mar 2015 A	0.250	51 per 100 units	55 per 100 units	2015/03/18	80,000,000	2014/06/25
66708	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Mar 2015 B	0.250	53.50 per 100 units	57.50 per 100 units	2015/03/18	80,000,000	2014/06/25
66700	HK Bank	COVS R Bull CBBC Jul 2015 A	0.380	16.48	17.48	2015/07/13	50,000,000	2014/06/25
66693	HK Bank	CNOOC R Bull CBBC Oct 2015 A	0.250	12.38	13.18	2015/10/07	60,000,000	2014/06/25
66699	HK Bank	Sinopec Corp R Bull CBBC Jul 2015 A	0.250	6.58	6.88	2015/07/13	80,000,000	2014/06/25
66692	HK Bank	Galaxy Ent R Bull CBBC Mar 2015 A	0.250	45.77 per 100 units	48.77 per 100 units	2015/03/30	80,000,000	2014/06/25
66690	HK Bank	HSI R Bull CBBC Jan 2015 L	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2015/01/29	100,000,000	2014/06/25
66689	HK Bank	HSI R Bear CBBC Feb 2015 E	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2015/02/26	100,000,000	2014/06/25
66695	HK Bank	Ping An R Bull CBBC Feb 2015 A	0.250	53.18 per 100 units	57.18 per 100 units	2015/02/16	60,000,000	2014/06/25
66762	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 K	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/01/29	300,000,000	2014/06/25
66761	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 T	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2014/06/25
66718	Standard Chartered Bank	HSI R Bear CBBC Jun 2015 C	0.250	23,580 per 10,000 units	23,380 per 10,000 units	2015/06/29	100,000,000	2014/06/25
66759	Standard Chartered Bank	HSI R Bear CBBC Jun 2015 D	0.250	24,480 per 10,000 units	24,280 per 10,000 units	2015/06/29	100,000,000	2014/06/25
66760	UBS AG	HSI R Bear CBBC Sep 2014 T	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2014/06/25
66766	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 C	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/01/29	300,000,000	2014/06/26
66773	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 D	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/01/29	300,000,000	2014/06/26
66855	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	23,048 per 10,000 units	23,248 per 10,000 units	2014/12/30	238,000,000	2014/06/26
66852	Credit Suisse AG	HSI R Bull CBBC Jan 2015 P	0.250	22,438 per 10,000 units	22,638 per 10,000 units	2015/01/29	238,000,000	2014/06/26
66851	Credit Suisse AG	HSI R Bull CBBC Feb 2015 D	0.250	22,728 per 10,000 units	22,928 per 10,000 units	2015/02/26	238,000,000	2014/06/26
66863	Credit Suisse AG	HSI R Bear CBBC Sep 2014 B	0.250	23,368 per 10,000 units	23,168 per 10,000 units	2014/09/29	238,000,000	2014/06/26
66848	Credit Suisse AG	HSI R Bear CBBC Oct 2014 B	0.250	23,778 per 10,000 units	23,578 per 10,000 units	2014/10/30	238,000,000	2014/06/26
66859	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Mar15 E	0.250	50 per 100 units	52.50 per 100 units	2015/03/23	60,000,000	2014/06/26
66860	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Mar 2015 E	0.250	126.50 per 100 units	124 per 100 units	2015/03/23	200,000,000	2014/06/26
66907	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 U	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/06/26
66844	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 A	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/11/27	50,000,000	2014/06/26
66905	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 K	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2014/10/30	200,000,000	2014/06/26
66883	UBS AG	HSI R Bull CBBC Dec 2014 X	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	300,000,000	2014/06/26
66901	UBS AG	HSI R Bull CBBC Jan 2015 M	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/01/29	300,000,000	2014/06/26
66904	UBS AG	HSI R Bear CBBC Sep 2014 O	0.250	23,368 per 10,000 units	23,168 per 10,000 units	2014/09/29	300,000,000	2014/06/26
66779	UBS AG	HSI R Bear CBBC Sep 2014 U	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/09/29	300,000,000	2014/06/26
66791	UBS AG	HSI R Bear CBBC Sep 2014 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2014/06/26
66943	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 I	0.250	7.8	8.1	2014/12/30	100,000,000	2014/06/27
66934	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 E	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/01/29	200,000,000	2014/06/27
66953	Credit Suisse AG	HSI R Bull CBBC Jan 2015 Q	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/01/29	238,000,000	2014/06/27
66954	Credit Suisse AG	HSI R Bull CBBC Jan 2015 R	0.250	23,018 per 10,000 units	23,218 per 10,000 units	2015/01/29	238,000,000	2014/06/27
66947	Credit Suisse AG	HSI R Bear CBBC Sep 2014 H	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2014/09/29	238,000,000	2014/06/27
66952	Credit Suisse AG	HSI R Bear CBBC Sep 2014 M	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2014/09/29	238,000,000	2014/06/27

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66982	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 L	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2015/01/29	300,000,000	2014/06/27
66997	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/27
66918	Standard Chartered Bank	AIA R Bull CBBC Jun 2015 A	0.250	34 per 100 units	35 per 100 units	2015/06/29	80,000,000	2014/06/27
66917	Standard Chartered Bank	AIA R Bear CBBC Apr 2015 A	0.250	43 per 100 units	42 per 100 units	2015/04/30	80,000,000	2014/06/27
66911	Standard Chartered Bank	Sinopec Corp R Bull CBBC Jun 2015A	0.250	6.5	6.8	2015/06/29	80,000,000	2014/06/27
66908	Standard Chartered Bank	Sinopec Corp R Bear CBBC Apr 2015A	0.250	8.5	8.2	2015/04/30	80,000,000	2014/06/27
66916	Standard Chartered Bank	PetCh R Bull CBBC Jun 2015 A	0.250	8.4	8.8	2015/06/29	80,000,000	2014/06/27
66912	Standard Chartered Bank	PetCh R Bear CBBC Apr 2015 A	0.250	10.6	10.2	2015/04/30	80,000,000	2014/06/27
66932	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 L	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/10/30	200,000,000	2014/06/27
66972	UBS AG	China Life R Bull CBBC Jul 2015 B	0.255	18.5	19.5	2015/07/13	50,000,000	2014/06/27
66960	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	23,018 per 10,000 units	23,218 per 10,000 units	2014/11/27	300,000,000	2014/06/27
66931	UBS AG	HSI R Bear CBBC Sep 2014 N	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2014/06/27
66924	UBS AG	HSI R Bear CBBC Sep 2014 W	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/09/29	300,000,000	2014/06/27
67043	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 H	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/01/29	200,000,000	2014/06/30
67046	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/01/29	200,000,000	2014/06/30
67047	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jan 2015 J	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/01/29	200,000,000	2014/06/30
67118	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 F	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/01/29	200,000,000	2014/06/30
67120	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 G	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/01/29	200,000,000	2014/06/30
67121	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 H	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/01/29	200,000,000	2014/06/30
67032	Credit Suisse AG	HSI R Bull CBBC Feb 2015 E	0.250	23,062 per 12,000 units	23,262 per 12,000 units	2015/02/26	238,000,000	2014/06/30
67035	Credit Suisse AG	HSI R Bull CBBC Feb 2015 F	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/02/26	238,000,000	2014/06/30
67040	Credit Suisse AG	HSI R Bull CBBC Feb 2015 G	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/02/26	238,000,000	2014/06/30
67041	Credit Suisse AG	HSI R Bull CBBC Feb 2015 H	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/02/26	238,000,000	2014/06/30
67065	Credit Suisse AG	HSI R Bull CBBC Feb 2015 I	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2015/02/26	238,000,000	2014/06/30
67082	Credit Suisse AG	HSI R Bear CBBC Sep 2014 P	0.250	23,005 per 10,000 units	22,805 per 10,000 units	2014/09/29	238,000,000	2014/06/30
67069	Credit Suisse AG	HSI R Bear CBBC Sep 2014 Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/09/29	238,000,000	2014/06/30
67023	Credit Suisse AG	HSI R Bear CBBC Sep 2014 S	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/09/29	238,000,000	2014/06/30
67075	Credit Suisse AG	HSI R Bear CBBC Sep 2014 T	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/09/29	238,000,000	2014/06/30
67167	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 M	0.250	22,604 per 10,000 units	22,804 per 10,000 units	2015/01/29	300,000,000	2014/06/30
67169	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 B	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/09/29	300,000,000	2014/06/30
67165	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 C	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/09/29	300,000,000	2014/06/30
67136	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 W	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/09/29	300,000,000	2014/06/30
67139	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 X	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/09/29	300,000,000	2014/06/30
67147	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 Y	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2014/06/30
67148	J P Morgan SP BV	HSI R Bear CBBC Sep 2014 Z	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2014/06/30
67104	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 B	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/11/27	50,000,000	2014/06/30
67105	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 C	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/11/27	50,000,000	2014/06/30
67051	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 B	0.250	22,880 per 10,000 units	23,080 per 10,000 units	2015/06/29	100,000,000	2014/06/30
67053	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 V	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/10/30	200,000,000	2014/06/30
67055	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 W	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/10/30	200,000,000	2014/06/30
67057	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 X	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/10/30	200,000,000	2014/06/30
67059	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 M	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2014/10/30	200,000,000	2014/06/30
67101	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 N	0.250	22,968 per 10,000 units	22,868 per 10,000 units	2014/10/30	200,000,000	2014/06/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67103	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 O	0.250	23,118 per 10,000 units	23,018 per 10,000 units	2014/10/30	200,000,000	2014/06/30
67092	UBS AG	HSI R Bull CBBC Oct 2014 G	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/10/30	300,000,000	2014/06/30
67085	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	23,062 per 10,000 units	23,262 per 10,000 units	2014/11/27	300,000,000	2014/06/30
67100	UBS AG	HSI R Bull CBBC Dec 2014 U	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/12/30	300,000,000	2014/06/30
67099	UBS AG	HSI R Bull CBBC Mar 2015 G	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/03/30	300,000,000	2014/06/30
67025	UBS AG	HSI R Bear CBBC Oct 2014 A	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/10/30	300,000,000	2014/06/30
67110	UBS AG	HSI R Bear CBBC Oct 2014 B	0.250	23,005 per 10,000 units	22,805 per 10,000 units	2014/10/30	300,000,000	2014/06/30
67116	UBS AG	HSI R Bear CBBC Oct 2014 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2014/06/30
67115	UBS AG	HSI R Bear CBBC Nov 2014 L	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/11/27	300,000,000	2014/06/30
67256	Credit Suisse AG	HSI R Bull CBBC Oct 2014 P	0.250	22,680 per 10,000 units	22,880 per 10,000 units	2014/10/30	238,000,000	2014/07/02
67216	Credit Suisse AG	HSI R Bear CBBC Oct 2014 C	0.250	23,088 per 12,000 units	22,888 per 12,000 units	2014/10/30	238,000,000	2014/07/02
67217	Credit Suisse AG	HSI R Bear CBBC Oct 2014 D	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/10/30	238,000,000	2014/07/02
67219	Credit Suisse AG	HSI R Bear CBBC Oct 2014 E	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/10/30	238,000,000	2014/07/02
67195	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2014 A	0.252	23,438 per 20,000 units	23,138 per 20,000 units	2014/10/30	150,000,000	2014/07/02
67201	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2014 B	0.251	23,138 per 20,000 units	22,838 per 20,000 units	2014/10/30	150,000,000	2014/07/02
67191	HK Bank	HSI R Bear CBBC Feb 2015 F	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2015/02/26	100,000,000	2014/07/02
67192	HK Bank	HSI R Bear CBBC Mar 2015 C	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2015/03/30	100,000,000	2014/07/02
67194	HK Bank	HSI R Bear CBBC Mar 2015 D	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2015/03/30	100,000,000	2014/07/02
67190	HK Bank	HSI R Bear CBBC Apr 2015 A	0.250	24,588 per 15,000 units	24,388 per 15,000 units	2015/04/29	100,000,000	2014/07/02
67269	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 C	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2014/07/02
67270	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 D	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2014/07/02
67211	Standard Chartered Bank	Minsheng Bank R Bull CBBC Feb 15 A	0.250	5.5	6	2015/02/23	50,000,000	2014/07/02
67206	Standard Chartered Bank	Minsheng Bank R Bear CBBC Mar 15 A	0.250	8.5	8	2015/03/20	50,000,000	2014/07/02
67188	Standard Chartered Bank	HSI R Bear CBBC Jun 2015 E	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2015/06/29	100,000,000	2014/07/02
67207	Standard Chartered Bank	Lenovo R Bear CBBC Apr 2015 A	0.250	11.58	11.08	2015/04/10	50,000,000	2014/07/02
67205	Standard Chartered Bank	Sands China R Bear CBBC Apr 2015 A	0.250	65.68 per 100 units	62.88 per 100 units	2015/04/10	50,000,000	2014/07/02
67222	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 Y	0.250	22,768 per 10,000 units	22,868 per 10,000 units	2014/10/30	200,000,000	2014/07/02
67232	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 P	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/10/30	200,000,000	2014/07/02
67233	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 Q	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/10/30	200,000,000	2014/07/02
67268	UBS AG	HSI R Bull CBBC Jan 2015 N	0.250	22,684 per 10,000 units	22,884 per 10,000 units	2015/01/29	300,000,000	2014/07/02
67215	UBS AG	HSI R Bear CBBC Oct 2014 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2014/07/02
67213	UBS AG	HSI R Bear CBBC Oct 2014 N	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/10/30	300,000,000	2014/07/02
67288	Credit Suisse AG	HSI R Bull CBBC Jan 2015 S	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/01/29	238,000,000	2014/07/03
67277	Credit Suisse AG	HSI R Bear CBBC Nov 2014 H	0.250	23,081 per 10,000 units	22,881 per 10,000 units	2014/11/27	238,000,000	2014/07/03
67278	Credit Suisse AG	HSI R Bear CBBC Nov 2014 I	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/11/27	238,000,000	2014/07/03
67279	Credit Suisse AG	HSI R Bear CBBC Nov 2014 J	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/11/27	238,000,000	2014/07/03
67272	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 C	0.250	21,128 per 10,000 units	21,328 per 10,000 units	2015/06/29	100,000,000	2014/07/03
67273	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 D	0.250	22,680 per 20,000 units	22,880 per 20,000 units	2015/06/29	100,000,000	2014/07/03
67287	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 A	0.250	23,180 per 15,000 units	22,980 per 15,000 units	2015/02/26	100,000,000	2014/07/03
67296	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 R	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/10/30	200,000,000	2014/07/03
67291	UBS AG	HSI R Bull CBBC Feb 2015 I	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/02/26	300,000,000	2014/07/03
67283	UBS AG	HSI R Bear CBBC Nov 2014 M	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/11/27	300,000,000	2014/07/03
67295	UBS AG	HSI R Bear CBBC Jan 2015 B	0.250	23,067 per 12,000 units	22,867 per 12,000 units	2015/01/29	350,000,000	2014/07/03

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67329	Credit Suisse AG	HSI R Bull CBBC Mar 2015 A	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/03/30	238,000,000	2014/07/04
67340	Credit Suisse AG	HSI R Bull CBBC Mar 2015 B	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/03/30	238,000,000	2014/07/04
67347	Credit Suisse AG	HSI R Bull CBBC Mar 2015 C	0.250	22,997 per 10,000 units	23,197 per 10,000 units	2015/03/30	238,000,000	2014/07/04
67301	Credit Suisse AG	HSI R Bear CBBC Oct 2014 F	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/10/30	238,000,000	2014/07/04
67343	Credit Suisse AG	HSI R Bear CBBC Oct 2014 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/10/30	238,000,000	2014/07/04
67364	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Mar 2015 A	0.250	8.48	8.88	2015/03/30	50,000,000	2014/07/04
67366	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Mar 2015 B	0.250	8.78	9.18	2015/03/30	50,000,000	2014/07/04
67369	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Mar 2015 A	0.250	10.68	10.28	2015/03/30	50,000,000	2014/07/04
67370	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Mar 2015 B	0.250	10.98	10.58	2015/03/30	50,000,000	2014/07/04
67380	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2014 A	0.258	22,538 per 20,000 units	22,838 per 20,000 units	2014/10/30	150,000,000	2014/07/04
67391	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2014 B	0.254	22,838 per 20,000 units	23,138 per 20,000 units	2014/10/30	150,000,000	2014/07/04
67392	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2014 C	0.268	20,738 per 20,000 units	21,038 per 20,000 units	2014/10/30	150,000,000	2014/07/04
67393	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2014 C	0.250	24,938 per 20,000 units	24,638 per 20,000 units	2014/10/30	150,000,000	2014/07/04
67394	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 A	0.298	23,988 per 20,000 units	23,688 per 20,000 units	2014/11/27	150,000,000	2014/07/04
67429	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 N	0.250	22,997 per 10,000 units	23,197 per 10,000 units	2015/01/29	300,000,000	2014/07/04
67425	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 E	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/02/26	300,000,000	2014/07/04
67426	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 F	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/03/30	300,000,000	2014/07/04
67423	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 E	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/10/30	300,000,000	2014/07/04
67424	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 F	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/10/30	300,000,000	2014/07/04
67307	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 E	0.250	22,180 per 10,000 units	22,380 per 10,000 units	2015/06/29	100,000,000	2014/07/04
67319	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 G	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2014/10/30	200,000,000	2014/07/04
67327	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 C	0.250	23,700 per 20,000 units	23,600 per 20,000 units	2014/12/30	200,000,000	2014/07/04
67377	UBS AG	HSI R Bull CBBC Dec 2014 V	0.250	22,997 per 10,000 units	23,197 per 10,000 units	2014/12/30	300,000,000	2014/07/04
67375	UBS AG	HSI R Bull CBBC Jan 2015 O	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/01/29	300,000,000	2014/07/04
67373	UBS AG	HSI R Bear CBBC Nov 2014 N	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/11/27	300,000,000	2014/07/04
67305	UBS AG	HSI R Bear CBBC Dec 2014 F	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/12/30	300,000,000	2014/07/04
67516	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 I	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/01/29	200,000,000	2014/07/07
67523	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Y	0.250	23,021 per 10,000 units	23,221 per 10,000 units	2014/12/30	238,000,000	2014/07/07
67521	Credit Suisse AG	HSI R Bear CBBC Oct 2014 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/10/30	238,000,000	2014/07/07
67522	Credit Suisse AG	HSI R Bear CBBC Oct 2014 L	0.250	23,356 per 12,000 units	23,156 per 12,000 units	2014/10/30	238,000,000	2014/07/07
67503	Bank of East Asia	COVS R Bear CBBC Jan 2015 A	0.480	23.688	22.688	2015/01/26	30,000,000	2014/07/07
67507	Bank of East Asia	Galaxy Ent R Bull CBBC Jun 2015 A	0.250	42.50 per 100 units	45 per 100 units	2015/06/12	100,000,000	2014/07/07
67495	Bank of East Asia	Galaxy Ent R Bear CBBC Feb 2015 A	0.250	75 per 100 units	72 per 100 units	2015/02/02	100,000,000	2014/07/07
67505	Bank of East Asia	Hutchison R Bull CBBC Apr 2015 A	0.250	91.13 per 100 units	94.13 per 100 units	2015/04/22	50,000,000	2014/07/07
67440	HK Bank	Galaxy Ent R Bull CBBC Mar 2015 B	0.250	53.27 per 100 units	56.27 per 100 units	2015/03/23	80,000,000	2014/07/07
67439	HK Bank	HSI R Bull CBBC Jan 2015 M	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2015/01/29	100,000,000	2014/07/07
67438	HK Bank	HSI R Bull CBBC Feb 2015 E	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2015/02/26	100,000,000	2014/07/07
67446	HK Bank	Lenovo R Bull CBBC Aug 2015 A	0.250	9.08	9.58	2015/08/24	60,000,000	2014/07/07
67442	HK Bank	PetCh R Bull CBBC Jul 2015 A	0.250	8.68	9.08	2015/07/06	60,000,000	2014/07/07
67441	HK Bank	Sands China R Bull CBBC Apr 2015 A	0.250	49.68 per 100 units	52.68 per 100 units	2015/04/13	80,000,000	2014/07/07
67448	HK Bank	TCH R Bull CBBC Jan 2015 E	0.250	112.88 per 100 units	114.88 per 100 units	2015/01/30	100,000,000	2014/07/07
67524	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 G	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/03/30	300,000,000	2014/07/07
67525	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 H	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/03/30	300,000,000	2014/07/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67529	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/03/30	300,000,000	2014/07/07
67483	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 D	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/11/27	50,000,000	2014/07/07
67467	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 F	0.250	21,980 per 10,000 units	22,180 per 10,000 units	2015/06/29	100,000,000	2014/07/07
67518	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 G	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/06/29	100,000,000	2014/07/07
67471	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct14 H	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2014/10/30	200,000,000	2014/07/07
67472	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 S	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2014/10/30	200,000,000	2014/07/07
67474	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct14 T	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2014/10/30	200,000,000	2014/07/07
67531	UBS AG	HSI R Bull CBBC Dec 2014 N	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/12/30	300,000,000	2014/07/07
67546	UBS AG	HSI R Bull CBBC Jul 2015 B	0.250	23,021 per 12,000 units	23,221 per 12,000 units	2015/07/30	350,000,000	2014/07/07
67540	UBS AG	HSI R Bear CBBC Oct 2014 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2014/07/07
67579	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 O	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	200,000,000	2014/07/08
67581	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Q	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	200,000,000	2014/07/08
67578	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 J	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/01/29	200,000,000	2014/07/08
67605	Credit Suisse AG	HSI R Bull CBBC Feb 2015 J	0.250	23,076 per 10,000 units	23,276 per 10,000 units	2015/02/26	238,000,000	2014/07/08
67607	Credit Suisse AG	HSI R Bull CBBC Feb 2015 K	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/02/26	238,000,000	2014/07/08
67608	Credit Suisse AG	HSI R Bull CBBC Feb 2015 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/02/26	238,000,000	2014/07/08
67576	Credit Suisse AG	HSI R Bear CBBC Nov 2014 L	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/11/27	238,000,000	2014/07/08
67609	Credit Suisse AG	HSI R Bear CBBC Nov 2014 M	0.250	23,391 per 12,000 units	23,191 per 12,000 units	2014/11/27	238,000,000	2014/07/08
67611	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 O	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/01/29	300,000,000	2014/07/08
67613	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2014/07/08
67565	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 B	0.250	24,680 per 15,000 units	24,480 per 15,000 units	2015/02/26	100,000,000	2014/07/08
67566	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 C	0.250	25,280 per 15,000 units	25,080 per 15,000 units	2015/02/26	100,000,000	2014/07/08
67582	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14C	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/11/27	200,000,000	2014/07/08
67554	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14A	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/11/27	200,000,000	2014/07/08
67584	UBS AG	HSBC R Bull CBBC Sep 2015 B	0.250	67.20 per 100 units	70 per 100 units	2015/09/21	40,000,000	2014/07/08
67594	UBS AG	HSI R Bull CBBC Oct 2014 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2014/07/08
67595	UBS AG	HSI R Bull CBBC Dec 2014 K	0.250	23,076 per 10,000 units	23,276 per 10,000 units	2014/12/30	300,000,000	2014/07/08
67567	UBS AG	HSI R Bear CBBC Nov 2014 O	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/11/27	300,000,000	2014/07/08
67610	UBS AG	HSI R Bear CBBC Jan 2015 D	0.250	23,391 per 12,000 units	23,191 per 12,000 units	2015/01/29	350,000,000	2014/07/08
67761	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 C	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/12/30	200,000,000	2014/07/09
67763	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 E	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/12/30	200,000,000	2014/07/09
67764	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	200,000,000	2014/07/09
67625	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 K	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/01/29	200,000,000	2014/07/09
67758	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 L	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/01/29	200,000,000	2014/07/09
67768	Credit Suisse AG	HSI R Bull CBBC Jan 2015 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67770	Credit Suisse AG	HSI R Bull CBBC Jan 2015 U	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67772	Credit Suisse AG	HSI R Bull CBBC Jan 2015 V	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67780	Credit Suisse AG	HSI R Bull CBBC Jan 2015 W	0.250	23,349 per 10,000 units	23,549 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67630	Credit Suisse AG	HSI R Bear CBBC Dec 2014 E	0.250	23,398 per 10,000 units	23,198 per 10,000 units	2014/12/30	238,000,000	2014/07/09
67634	Credit Suisse AG	HSI R Bear CBBC Dec 2014 F	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/12/30	238,000,000	2014/07/09
67635	Credit Suisse AG	HSI R Bear CBBC Dec 2014 G	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/12/30	238,000,000	2014/07/09
67664	Credit Suisse AG	HSI R Bear CBBC Dec 2014 H	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/12/30	238,000,000	2014/07/09
67677	Credit Suisse AG	HSI R Bear CBBC Dec 2014 I	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/12/30	238,000,000	2014/07/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67753	Credit Suisse AG	HSI R Bear CBBC Dec 2014 J	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/12/30	238,000,000	2014/07/09
67681	Credit Suisse AG	HSI R Bear CBBC Jan 2015 A	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67685	Credit Suisse AG	HSI R Bear CBBC Jan 2015 B	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67686	Credit Suisse AG	HSI R Bear CBBC Jan 2015 C	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67688	Credit Suisse AG	HSI R Bear CBBC Jan 2015 D	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2015/01/29	238,000,000	2014/07/09
67843	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Apr 15A	0.250	54.50 per 100 units	57 per 100 units	2015/04/15	60,000,000	2014/07/09
67844	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Apr 15B	0.250	57.50 per 100 units	60 per 100 units	2015/04/15	60,000,000	2014/07/09
67846	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Apr 15A	0.250	74 per 100 units	71.50 per 100 units	2015/04/15	60,000,000	2014/07/09
67841	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 F	0.250	22,800 per 15,000 units	23,000 per 15,000 units	2015/01/29	200,000,000	2014/07/09
67847	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Apr15A	0.250	49 per 100 units	52 per 100 units	2015/04/15	60,000,000	2014/07/09
67851	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Apr15B	0.250	52 per 100 units	55 per 100 units	2015/04/15	60,000,000	2014/07/09
67812	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2014 D	0.253	23,138 per 20,000 units	23,438 per 20,000 units	2014/10/30	150,000,000	2014/07/09
67689	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 B	0.252	23,738 per 20,000 units	23,438 per 20,000 units	2014/11/27	150,000,000	2014/07/09
67690	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 C	0.309	25,088 per 20,000 units	24,788 per 20,000 units	2014/11/27	150,000,000	2014/07/09
67698	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 P	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/01/29	300,000,000	2014/07/09
67697	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 F	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/02/26	300,000,000	2014/07/09
67700	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 J	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/07/09
67839	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 K	0.250	23,349 per 10,000 units	23,549 per 10,000 units	2015/03/30	300,000,000	2014/07/09
67620	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/10/30	300,000,000	2014/07/09
67623	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 I	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2014/07/09
67695	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 J	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/10/30	300,000,000	2014/07/09
67691	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 A	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/11/27	300,000,000	2014/07/09
67692	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 B	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/11/27	300,000,000	2014/07/09
67694	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 C	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/11/27	300,000,000	2014/07/09
67837	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 D	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/11/27	300,000,000	2014/07/09
67731	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 H	0.250	22,980 per 20,000 units	23,180 per 20,000 units	2015/06/29	100,000,000	2014/07/09
67734	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 I	0.250	23,180 per 10,000 units	23,380 per 10,000 units	2015/06/29	100,000,000	2014/07/09
67737	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 D	0.250	23,680 per 15,000 units	23,480 per 15,000 units	2015/02/26	100,000,000	2014/07/09
67720	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14D	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67721	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14H	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67781	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14O	0.250	23,438 per 10,000 units	23,538 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67624	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14B	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67722	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14C	0.250	24,238 per 10,000 units	24,138 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67724	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14D	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67729	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14E	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2014/11/27	200,000,000	2014/07/09
67786	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14D	0.250	24,900 per 20,000 units	24,800 per 20,000 units	2014/12/30	200,000,000	2014/07/09
67808	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/11/27	300,000,000	2014/07/09
67809	UBS AG	HSI R Bull CBBC Dec 2014 G	0.250	23,349 per 10,000 units	23,549 per 10,000 units	2014/12/30	300,000,000	2014/07/09
67789	UBS AG	HSI R Bull CBBC Jan 2015 P	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/01/29	300,000,000	2014/07/09
67788	UBS AG	HSI R Bull CBBC Jul 2015 C	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/07/30	300,000,000	2014/07/09
67766	UBS AG	HSI R Bear CBBC Oct 2014 J	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/10/30	300,000,000	2014/07/09
67636	UBS AG	HSI R Bear CBBC Nov 2014 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/11/27	300,000,000	2014/07/09
67653	UBS AG	HSI R Bear CBBC Dec 2014 G	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/12/30	300,000,000	2014/07/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67702	UBS AG	TCH R Bull CBBC Sep 2015 B	0.250	113.50 per 100 units	116 per 100 units	2015/09/21	100,000,000	2014/07/09
67701	UBS AG	TCH R Bear CBBC Nov 2014 E	0.250	138 per 100 units	135 per 100 units	2014/11/24	100,000,000	2014/07/09
67939	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 U	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/12/30	200,000,000	2014/07/10
67941	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 M	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/01/29	200,000,000	2014/07/10
67970	Credit Suisse AG	HSI R Bull CBBC Nov 2014 W	0.250	23,326 per 12,000 units	23,526 per 12,000 units	2014/11/27	238,000,000	2014/07/10
67951	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	23,122 per 10,000 units	23,322 per 10,000 units	2014/12/30	238,000,000	2014/07/10
67952	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2014/12/30	238,000,000	2014/07/10
67972	Credit Suisse AG	HSI R Bear CBBC Dec 2014 K	0.250	23,728 per 10,000 units	23,528 per 10,000 units	2014/12/30	238,000,000	2014/07/10
67880	HK Bank	Galaxy Ent R Bull CBBC Feb 2015 B	0.250	55.77 per 100 units	58.77 per 100 units	2015/02/16	80,000,000	2014/07/10
67881	HK Bank	Galaxy Ent R Bull CBBC Jun 2015 A	0.250	58.27 per 100 units	61.27 per 100 units	2015/06/08	80,000,000	2014/07/10
67910	HK Bank	HSI R Bull CBBC Dec 2014 W	0.250	23,188 per 20,000 units	23,488 per 20,000 units	2014/12/30	400,000,000	2014/07/10
67890	HK Bank	HSI R Bull CBBC Jan 2015 N	0.250	22,588 per 20,000 units	22,888 per 20,000 units	2015/01/29	400,000,000	2014/07/10
67906	HK Bank	HSI R Bull CBBC Feb 2015 F	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2015/02/26	100,000,000	2014/07/10
67908	HK Bank	HSI R Bull CBBC Mar 2015 C	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2015/03/30	100,000,000	2014/07/10
67886	HK Bank	Sands China R Bull CBBC Mar 2015 B	0.250	52.18 per 100 units	55.18 per 100 units	2015/03/16	80,000,000	2014/07/10
67879	HK Bank	TCH R Bull CBBC Feb 2015 D	0.250	118.88 per 100 units	120.88 per 100 units	2015/02/16	100,000,000	2014/07/10
67911	HK Bank	TCH R Bull CBBC Jun 2015 B	0.250	114.88 per 100 units	116.88 per 100 units	2015/06/15	100,000,000	2014/07/10
67938	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 Q	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/01/29	300,000,000	2014/07/10
67935	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 G	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/02/26	300,000,000	2014/07/10
67937	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 L	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/03/30	300,000,000	2014/07/10
67934	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 E	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/11/27	300,000,000	2014/07/10
67933	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 C	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2014/12/30	300,000,000	2014/07/10
67923	Macquarie Bank Ltd.	HSI R Bull CBBC Nov 2014 C	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	50,000,000	2014/07/10
67931	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 A	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/12/30	50,000,000	2014/07/10
67925	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 E	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/11/27	50,000,000	2014/07/10
67929	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 F	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/11/27	50,000,000	2014/07/10
67918	Standard Chartered Bank	Galaxy Ent R Bull CBBC Mar 2015 A	0.250	54.88 per 100 units	56.88 per 100 units	2015/03/31	50,000,000	2014/07/10
67912	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 J	0.250	22,880 per 10,000 units	23,080 per 10,000 units	2015/06/29	100,000,000	2014/07/10
67914	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 E	0.250	23,780 per 20,000 units	23,580 per 20,000 units	2015/02/26	100,000,000	2014/07/10
67920	Standard Chartered Bank	PetCh R Bear CBBC Mar 2015 A	0.250	11.5	11	2015/03/31	50,000,000	2014/07/10
67949	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 R	0.250	23,368 per 10,000 units	23,468 per 10,000 units	2014/12/30	200,000,000	2014/07/10
67948	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 Z	0.250	23,218 per 10,000 units	23,318 per 10,000 units	2014/12/30	200,000,000	2014/07/10
67950	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 F	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2014/11/27	200,000,000	2014/07/10
67974	UBS AG	HSI R Bull CBBC Oct 2014 Q	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/10/30	300,000,000	2014/07/10
67973	UBS AG	HSI R Bull CBBC Jan 2015 R	0.250	23,331 per 12,000 units	23,531 per 12,000 units	2015/01/29	350,000,000	2014/07/10
67953	UBS AG	HSI R Bear CBBC Jan 2015 E	0.250	23,727 per 12,000 units	23,527 per 12,000 units	2015/01/29	350,000,000	2014/07/10
68035	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 N	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/01/29	200,000,000	2014/07/11
68074	Credit Suisse AG	HSI R Bull CBBC Mar 2015 D	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/03/30	238,000,000	2014/07/11
68078	Credit Suisse AG	HSI R Bull CBBC Mar 2015 E	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/03/30	238,000,000	2014/07/11
68085	Credit Suisse AG	HSI R Bull CBBC Mar 2015 F	0.250	23,100 per 12,000 units	23,300 per 12,000 units	2015/03/30	238,000,000	2014/07/11
68036	Credit Suisse AG	HSI R Bear CBBC Nov 2014 N	0.250	23,732 per 12,000 units	23,532 per 12,000 units	2014/11/27	238,000,000	2014/07/11
68044	Credit Suisse AG	HSI R Bear CBBC Nov 2014 O	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/11/27	238,000,000	2014/07/11
68086	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Apr15A	0.250	8.1	8.4	2015/04/15	100,000,000	2014/07/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68087	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 A	0.250	7.8	8.1	2015/04/15	100,000,000	2014/07/11
68090	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 B	0.250	8	8.3	2015/04/15	100,000,000	2014/07/11
68057	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Apr 2015 A	0.250	111.50 per 100 units	114 per 100 units	2015/04/15	200,000,000	2014/07/11
68059	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Apr 2015 B	0.250	113.50 per 100 units	116 per 100 units	2015/04/15	200,000,000	2014/07/11
68060	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Apr 2015 C	0.250	115.50 per 100 units	118 per 100 units	2015/04/15	200,000,000	2014/07/11
68073	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Apr 2015 D	0.250	117.50 per 100 units	120 per 100 units	2015/04/15	200,000,000	2014/07/11
68003	HK Bank	HSI R Bull CBBC Jan 2015 O	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2015/01/29	100,000,000	2014/07/11
67978	HK Bank	HSI R Bull CBBC Feb 2015 G	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2015/02/26	100,000,000	2014/07/11
67987	HK Bank	HSI R Bear CBBC Jan 2015 H	0.250	24,988 per 15,000 units	24,788 per 15,000 units	2015/01/29	100,000,000	2014/07/11
67983	HK Bank	HSI R Bear CBBC Feb 2015 G	0.250	24,388 per 15,000 units	24,188 per 15,000 units	2015/02/26	100,000,000	2014/07/11
67991	HK Bank	HSI R Bear CBBC Mar 2015 E	0.250	25,388 per 15,000 units	25,188 per 15,000 units	2015/03/30	100,000,000	2014/07/11
67979	HK Bank	HSI R Bear CBBC Apr 2015 B	0.250	26,728 per 20,000 units	26,428 per 20,000 units	2015/04/29	400,000,000	2014/07/11
67981	HK Bank	TCH R Bull CBBC Dec 2014 L	0.250	116.88 per 100 units	118.88 per 100 units	2014/12/15	100,000,000	2014/07/11
67993	HK Bank	TCH R Bear CBBC Feb 2015 A	0.250	132.88 per 100 units	130.88 per 100 units	2015/02/16	100,000,000	2014/07/11
67986	HK Bank	TCH R Bear CBBC Apr 2015 A	0.250	145.88 per 100 units	143.88 per 100 units	2015/04/20	100,000,000	2014/07/11
67990	HK Bank	TCH R Bear CBBC Jun 2015 A	0.400	162.88 per 100 units	160.88 per 100 units	2015/06/29	100,000,000	2014/07/11
68105	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 R	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/01/29	300,000,000	2014/07/11
68056	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 F	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/11/27	300,000,000	2014/07/11
68111	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 G	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/11/27	300,000,000	2014/07/11
68013	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 F	0.250	24,780 per 10,000 units	24,580 per 10,000 units	2015/02/26	100,000,000	2014/07/11
68097	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 G	0.250	23,718 per 10,000 units	23,618 per 10,000 units	2014/11/27	200,000,000	2014/07/11
68098	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 E	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2014/12/30	200,000,000	2014/07/11
68095	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/11/27	300,000,000	2014/07/11
68094	UBS AG	HSI R Bull CBBC Jan 2015 S	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/01/29	300,000,000	2014/07/11
68091	UBS AG	HSI R Bear CBBC Oct 2014 G	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/10/30	300,000,000	2014/07/11
68131	Credit Suisse AG	HSI R Bull CBBC Feb 2015 M	0.250	23,346 per 10,000 units	23,546 per 10,000 units	2015/02/26	238,000,000	2014/07/14
68213	Credit Suisse AG	HSI R Bear CBBC Jan 2015 E	0.250	23,695 per 10,000 units	23,495 per 10,000 units	2015/01/29	238,000,000	2014/07/14
68126	Credit Suisse AG	TCH R Bull CBBC Jan 2015 D	0.250	112.98 per 100 units	115.28 per 100 units	2015/01/30	50,000,000	2014/07/14
68128	Credit Suisse AG	TCH R Bull CBBC Jan 2015 E	0.250	118.60 per 100 units	120.90 per 100 units	2015/01/30	50,000,000	2014/07/14
68248	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Nov14B	0.250	7.7	8	2014/11/28	100,000,000	2014/07/14
68252	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Nov14C	0.250	7.95	8.25	2014/11/28	100,000,000	2014/07/14
68275	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Apr 2015 E	0.250	119.50 per 100 units	122 per 100 units	2015/04/15	200,000,000	2014/07/14
68150	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 A	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/06/29	300,000,000	2014/07/14
68152	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 K	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/10/30	300,000,000	2014/07/14
68133	Standard Chartered Bank	Anhui Conch R Bull CBBC Sep 2015 A	0.250	26 per 100 units	28 per 100 units	2015/09/15	50,000,000	2014/07/14
68141	Standard Chartered Bank	Sands China R Bull CBBC Apr 2015 A	0.250	52.08 per 100 units	54.88 per 100 units	2015/04/15	50,000,000	2014/07/14
68148	Standard Chartered Bank	TCH R Bull CBBC Jun 2015 A	0.250	114.80 per 100 units	116.80 per 100 units	2015/06/15	80,000,000	2014/07/14
68177	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 O	0.250	22,700 per 20,000 units	22,800 per 20,000 units	2014/12/30	200,000,000	2014/07/14
68178	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 F	0.250	24,918 per 10,000 units	24,818 per 10,000 units	2014/12/30	200,000,000	2014/07/14
68182	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 G	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/12/30	200,000,000	2014/07/14
68210	UBS AG	A50 China R Bull CBBC Apr 2015 A	0.250	8	8.3	2015/04/20	100,000,000	2014/07/14
68187	UBS AG	HSI R Bull CBBC Oct 2014 S	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2014/07/14
68218	UBS AG	HSI R Bear CBBC Oct 2014 Q	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/10/30	300,000,000	2014/07/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68205	UBS AG	TCH R Bear CBBC Dec 2014 A	0.250	143 per 100 units	140 per 100 units	2014/12/15	100,000,000	2014/07/14
68396	Credit Suisse AG	HSI R Bull CBBC Jan 2015 X	0.250	23,308 per 12,000 units	23,508 per 12,000 units	2015/01/29	238,000,000	2014/07/15
68401	Credit Suisse AG	HSI R Bear CBBC Jan 2015 F	0.250	23,718 per 12,000 units	23,518 per 12,000 units	2015/01/29	238,000,000	2014/07/15
68405	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Apr 2015 A	0.250	35.88 per 100 units	36.88 per 100 units	2015/04/20	100,000,000	2014/07/15
68417	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Apr15A	0.250	6.7	7	2015/04/20	60,000,000	2014/07/15
68408	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Apr 2015 A	0.250	11.28	10.88	2015/04/20	50,000,000	2014/07/15
68418	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Apr 2015 A	0.250	70.50 per 100 units	66.50 per 100 units	2015/04/20	80,000,000	2014/07/15
68335	HK Bank	Anhui Conch R Bull CBBC Jul 2015 C	0.250	24.68 per 100 units	26.68 per 100 units	2015/07/27	50,000,000	2014/07/15
68320	HK Bank	A50 R Bull CBBC Feb 2015 E	0.250	8.13	8.43	2015/02/23	200,000,000	2014/07/15
68317	HK Bank	A50 R Bull CBBC Apr 2015 B	0.250	8.03	8.33	2015/04/27	200,000,000	2014/07/15
68384	HK Bank	GCL-Poly Energy R Bull CBBC Oct 2015 A	0.250	2.05	2.3	2015/10/26	40,000,000	2014/07/15
68304	HK Bank	HSCEI R Bull CBBC Jan 2015 B	0.250	10,088 per 10,000 units	10,288 per 10,000 units	2015/01/29	100,000,000	2014/07/15
68305	HK Bank	HSCEI R Bear CBBC Mar 2015 A	0.250	11,988 per 10,000 units	11,788 per 10,000 units	2015/03/30	68,000,000	2014/07/15
68330	HK Bank	Hutchison R Bull CBBC Jan 2015 A	0.250	99.88 per 100 units	102.88 per 100 units	2015/01/19	60,000,000	2014/07/15
68325	HK Bank	Hutchison R Bear CBBC Apr 2015 A	0.250	126.18 per 100 units	123.18 per 100 units	2015/04/27	50,000,000	2014/07/15
68323	HK Bank	Sands China R Bear CBBC May 2015 A	0.250	75.28 per 100 units	72.28 per 100 units	2015/05/04	60,000,000	2014/07/15
68391	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 K	0.250	23,080 per 15,000 units	23,280 per 15,000 units	2015/06/29	100,000,000	2014/07/15
68392	UBS AG	HSI R Bull CBBC Mar 2015 H	0.250	23,340 per 10,000 units	23,540 per 10,000 units	2015/03/30	300,000,000	2014/07/15
68510	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 A	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	200,000,000	2014/07/16
68464	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 S	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/12/30	200,000,000	2014/07/16
68511	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 O	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/01/29	200,000,000	2014/07/16
68512	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/01/29	200,000,000	2014/07/16
68469	Credit Suisse AG	HSI R Bull CBBC Jan 2015 K	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/01/29	238,000,000	2014/07/16
68470	Credit Suisse AG	HSI R Bull CBBC Jan 2015 L	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/01/29	238,000,000	2014/07/16
68514	Credit Suisse AG	HSI R Bull CBBC Jan 2015 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/01/29	238,000,000	2014/07/16
68467	Credit Suisse AG	HSI R Bull CBBC Jan 2015 Y	0.250	23,336 per 10,000 units	23,536 per 10,000 units	2015/01/29	238,000,000	2014/07/16
68468	Credit Suisse AG	HSI R Bull CBBC Jan 2015 Z	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/01/29	238,000,000	2014/07/16
68516	Credit Suisse AG	HSI R Bear CBBC Oct 2014 M	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/10/30	238,000,000	2014/07/16
68517	Credit Suisse AG	HSI R Bear CBBC Oct 2014 N	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/10/30	238,000,000	2014/07/16
68523	Credit Suisse AG	HSI R Bear CBBC Oct 2014 P	0.250	23,377 per 10,000 units	23,177 per 10,000 units	2014/10/30	238,000,000	2014/07/16
68538	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15A	0.250	84.50 per 100 units	82 per 100 units	2015/04/20	80,000,000	2014/07/16
68539	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr15A	0.250	9.9	9.6	2015/04/20	100,000,000	2014/07/16
68540	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 15 A	0.250	9.9	9.6	2015/04/20	100,000,000	2014/07/16
68545	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 A	0.262	22,838 per 20,000 units	23,138 per 20,000 units	2014/11/27	150,000,000	2014/07/16
68542	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 D	0.256	23,538 per 20,000 units	23,238 per 20,000 units	2014/11/27	150,000,000	2014/07/16
68544	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 E	0.255	23,738 per 20,000 units	23,438 per 20,000 units	2014/11/27	150,000,000	2014/07/16
68459	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 S	0.250	23,341 per 10,000 units	23,541 per 10,000 units	2015/01/29	300,000,000	2014/07/16
68449	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 M	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/07/16
68446	J P Morgan SP BV	HSI R Bull CBBC May 2015 A	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/05/28	300,000,000	2014/07/16
68546	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 L	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2014/07/16
68553	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 M	0.250	23,377 per 10,000 units	23,177 per 10,000 units	2014/10/30	300,000,000	2014/07/16
68513	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 G	0.250	23,580 per 10,000 units	23,380 per 10,000 units	2015/02/26	100,000,000	2014/07/16
68502	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 14W	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/11/27	200,000,000	2014/07/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68503	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14H	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2014/11/27	200,000,000	2014/07/16
68508	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14I	0.250	23,568 per 10,000 units	23,468 per 10,000 units	2014/11/27	200,000,000	2014/07/16
68479	UBS AG	HSCEI R Bear CBBC Feb 2015 A	0.250	11,700 per 10,000 units	11,500 per 10,000 units	2015/02/26	100,000,000	2014/07/16
68472	UBS AG	HSI R Bull CBBC Dec 2014 I	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/12/30	300,000,000	2014/07/16
68471	UBS AG	HSI R Bull CBBC Jan 2015 T	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/01/29	300,000,000	2014/07/16
68473	UBS AG	HSI R Bull CBBC Jan 2015 U	0.250	23,325 per 10,000 units	23,525 per 10,000 units	2015/01/29	300,000,000	2014/07/16
68532	UBS AG	HSI R Bear CBBC Oct 2014 T	0.250	23,377 per 10,000 units	23,177 per 10,000 units	2014/10/30	300,000,000	2014/07/16
68530	UBS AG	HSI R Bear CBBC Nov 2014 Q	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/11/27	300,000,000	2014/07/16
68525	UBS AG	HSI R Bear CBBC Dec 2014 H	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/12/30	300,000,000	2014/07/16
68490	UBS AG	Hutchison R Bull CBBC Jun 2015 C	0.250	97 per 100 units	100 per 100 units	2015/06/15	50,000,000	2014/07/16
68620	Credit Suisse AG	HSI R Bull CBBC Feb 2015 N	0.250	21,420 per 20,000 units	21,688 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68621	Credit Suisse AG	HSI R Bull CBBC Feb 2015 O	0.250	21,760 per 20,000 units	22,028 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68628	Credit Suisse AG	HSI R Bull CBBC Feb 2015 P	0.250	22,170 per 20,000 units	22,438 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68637	Credit Suisse AG	HSI R Bull CBBC Feb 2015 Q	0.250	22,720 per 20,000 units	22,986 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68707	Credit Suisse AG	HSI R Bull CBBC Feb 2015 R	0.250	22,977 per 10,000 units	23,177 per 10,000 units	2015/02/26	238,000,000	2014/07/17
68708	Credit Suisse AG	HSI R Bull CBBC Feb 2015 S	0.250	23,039 per 12,000 units	23,239 per 12,000 units	2015/02/26	238,000,000	2014/07/17
68700	Credit Suisse AG	HSI R Bear CBBC Nov 2014 P	0.250	23,388 per 12,000 units	23,188 per 12,000 units	2014/11/27	238,000,000	2014/07/17
68704	Credit Suisse AG	HSI R Bear CBBC Nov 2014 Q	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/11/27	238,000,000	2014/07/17
68663	Credit Suisse AG	HSI R Bear CBBC Feb 2015 A	0.250	23,936 per 20,000 units	23,666 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68681	Credit Suisse AG	HSI R Bear CBBC Feb 2015 B	0.250	24,508 per 20,000 units	24,238 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68685	Credit Suisse AG	HSI R Bear CBBC Feb 2015 C	0.250	24,888 per 20,000 units	24,618 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68694	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	25,198 per 20,000 units	24,928 per 20,000 units	2015/02/26	238,000,000	2014/07/17
68596	HK Bank	Minsheng Bank R Bull CBBC Feb 2015 A	0.250	6.18	6.68	2015/02/23	40,000,000	2014/07/17
68582	HK Bank	HSI R Bull CBBC Jan 2015 P	0.250	21,528 per 20,000 units	21,828 per 20,000 units	2015/01/29	400,000,000	2014/07/17
68554	HK Bank	HSI R Bull CBBC Feb 2015 H	0.250	20,728 per 20,000 units	21,028 per 20,000 units	2015/02/26	400,000,000	2014/07/17
68592	HK Bank	HSI R Bear CBBC Jan 2015 I	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2015/01/29	100,000,000	2014/07/17
68593	HK Bank	HSI R Bear CBBC Feb 2015 H	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2015/02/26	100,000,000	2014/07/17
68589	HK Bank	HSI R Bear CBBC Mar 2015 F	0.250	23,788 per 15,000 units	23,588 per 15,000 units	2015/03/30	100,000,000	2014/07/17
68594	HK Bank	Lenovo R Bull CBBC Feb 2015 A	0.250	9.58	10.08	2015/02/23	60,000,000	2014/07/17
68595	HK Bank	TCH R Bear CBBC Apr 2015 B	0.250	126.88 per 100 units	124.88 per 100 units	2015/04/13	100,000,000	2014/07/17
68724	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 H	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2015/02/26	300,000,000	2014/07/17
68730	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 N	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/10/30	300,000,000	2014/07/17
68729	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/11/27	300,000,000	2014/07/17
68618	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 C	0.250	20,780 per 15,000 units	20,980 per 15,000 units	2015/02/26	100,000,000	2014/07/17
68597	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec 14N	0.250	23,038 per 10,000 units	23,138 per 10,000 units	2014/12/30	200,000,000	2014/07/17
68603	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14J	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/11/27	200,000,000	2014/07/17
68722	UBS AG	HSI R Bull CBBC Jan 2015 V	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/01/29	300,000,000	2014/07/17
68723	UBS AG	HSI R Bull CBBC Feb 2015 J	0.250	23,039 per 10,000 units	23,239 per 10,000 units	2015/02/26	300,000,000	2014/07/17
68705	UBS AG	HSI R Bear CBBC Oct 2014 R	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/10/30	300,000,000	2014/07/17
68706	UBS AG	HSI R Bear CBBC Nov 2014 R	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/11/27	300,000,000	2014/07/17
68745	Credit Suisse AG	HSI R Bull CBBC Jan 2015 O	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/01/29	238,000,000	2014/07/18
68746	Credit Suisse AG	HSI R Bear CBBC Nov 2014 R	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/11/27	238,000,000	2014/07/18
68839	Credit Suisse AG	HSI R Bear CBBC Nov 2014 S	0.250	23,434 per 12,000 units	23,234 per 12,000 units	2014/11/27	238,000,000	2014/07/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68864	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Apr15 B	0.250	68 per 100 units	65.50 per 100 units	2015/04/20	60,000,000	2014/07/18
68863	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Apr15A	0.250	63 per 100 units	60 per 100 units	2015/04/20	60,000,000	2014/07/18
68852	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 B	0.271	21,738 per 20,000 units	22,038 per 20,000 units	2014/11/27	150,000,000	2014/07/18
68851	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 I	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/02/26	300,000,000	2014/07/18
68848	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 I	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/11/27	300,000,000	2014/07/18
68755	UBS AG	China Mobile R Bear CBBC Mar 2015 A	0.250	87.50 per 100 units	85 per 100 units	2015/03/02	50,000,000	2014/07/18
68762	UBS AG	CNOOC R Bear CBBC Jan 2015 B	0.250	15.8	15	2015/01/19	50,000,000	2014/07/18
68809	UBS AG	HSBC R Bull CBBC Jun 2015 B	0.250	72.20 per 100 units	75 per 100 units	2015/06/15	50,000,000	2014/07/18
68750	UBS AG	HSI R Bull CBBC Nov 2014 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/11/27	300,000,000	2014/07/18
68739	UBS AG	HSI R Bear CBBC Oct 2014 S	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2014/07/18
68777	UBS AG	Hutchison R Bear CBBC Feb 2015 A	0.250	118 per 100 units	115 per 100 units	2015/02/02	50,000,000	2014/07/18
68945	Credit Suisse AG	HSI R Bull CBBC Jan 2015 I	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/01/29	238,000,000	2014/07/21
68947	Credit Suisse AG	HSI R Bear CBBC Nov 2014 T	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2014/11/27	238,000,000	2014/07/21
68889	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 N	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/03/30	300,000,000	2014/07/21
68930	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 P	0.250	23,218 per 10,000 units	23,318 per 10,000 units	2014/12/30	200,000,000	2014/07/21
68940	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 K	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/11/27	200,000,000	2014/07/21
68953	UBS AG	HSI R Bull CBBC Oct 2014 C	0.250	23,146 per 10,000 units	23,346 per 10,000 units	2014/10/30	300,000,000	2014/07/21
68911	UBS AG	HSI R Bull CBBC Oct 2015 A	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2015/10/29	300,000,000	2014/07/21
68868	UBS AG	HSI R Bear CBBC Nov 2014 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/11/27	300,000,000	2014/07/21
68888	UBS AG	HSI R Bear CBBC Dec 2014 I	0.250	23,434 per 12,000 units	23,234 per 12,000 units	2014/12/30	350,000,000	2014/07/21
68906	UBS AG	HSI R Bear CBBC May 2015 A	0.250	25,200 per 38,000 units	24,800 per 38,000 units	2015/05/28	300,000,000	2014/07/21
66994 ⁴	HK Bank	HSI R Bear CBBC Oct 2014 B	0.102	25,328 per 20,000 units	25,028 per 20,000 units	2014/10/30	280,000,000	2014/07/21
69053	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2014 E	0.250	9,800 per 5,000 units	10,000 per 5,000 units	2014/12/30	100,000,000	2014/07/22
69050	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 B	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/12/30	200,000,000	2014/07/22
69051	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 Q	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/01/29	200,000,000	2014/07/22
69019	Credit Suisse AG	HSI R Bull CBBC Feb 2015 T	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/02/26	238,000,000	2014/07/22
69025	Credit Suisse AG	HSI R Bull CBBC Feb 2015 U	0.250	23,256 per 12,000 units	23,456 per 12,000 units	2015/02/26	238,000,000	2014/07/22
69009	Credit Suisse AG	HSI R Bear CBBC Oct 2014 Q	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/10/30	238,000,000	2014/07/22
69010	Credit Suisse AG	HSI R Bear CBBC Oct 2014 R	0.250	23,547 per 10,000 units	23,347 per 10,000 units	2014/10/30	238,000,000	2014/07/22
69033	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Apr15A	0.250	74.50 per 100 units	77 per 100 units	2015/04/20	80,000,000	2014/07/22
69035	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Apr15B	0.250	76.50 per 100 units	79 per 100 units	2015/04/20	80,000,000	2014/07/22
69036	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15B	0.250	88.30 per 100 units	85.80 per 100 units	2015/04/20	80,000,000	2014/07/22
69018	Bank of East Asia	Sinopec Corp R Bull CBBC Feb 2015 A	0.250	5.9	6.2	2015/02/26	50,000,000	2014/07/22
69080	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 T	0.250	23,259 per 10,000 units	23,459 per 10,000 units	2015/01/29	300,000,000	2014/07/22
69079	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 J	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/02/26	300,000,000	2014/07/22
69077	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 J	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2014/11/27	300,000,000	2014/07/22
68978	Standard Chartered Bank	China Mobile R Bull CBBC Jul 2015A	0.250	66.30 per 100 units	68.80 per 100 units	2015/07/16	50,000,000	2014/07/22
68979	Standard Chartered Bank	China Mobile R Bear CBBC Mar 2015A	0.250	88.30 per 100 units	85.80 per 100 units	2015/03/06	50,000,000	2014/07/22
69013	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 H	0.250	25,180 per 20,000 units	24,980 per 20,000 units	2015/02/26	100,000,000	2014/07/22
68956	Standard Chartered Bank	Lenovo R Bull CBBC Sep 2015 A	0.250	9.38	9.88	2015/09/08	50,000,000	2014/07/22
68976	Standard Chartered Bank	Lenovo R Bear CBBC Apr 2015 B	0.250	12.58	12.08	2015/04/14	50,000,000	2014/07/22
69012	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 W	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2014/12/30	200,000,000	2014/07/22
69072	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	23,262 per 10,000 units	23,462 per 10,000 units	2014/11/27	300,000,000	2014/07/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69059	UBS AG	HSI R Bull CBBC Dec 2014 L	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/12/30	300,000,000	2014/07/22
69008	UBS AG	HSI R Bear CBBC Dec 2014 J	0.250	23,547 per 12,000 units	23,347 per 12,000 units	2014/12/30	350,000,000	2014/07/22
69226	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 Z	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/12/30	200,000,000	2014/07/23
69134	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 R	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/01/29	200,000,000	2014/07/23
69181	Credit Suisse AG	HSI R Bull CBBC Jan 2015 M	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/01/29	238,000,000	2014/07/23
69186	Credit Suisse AG	HSI R Bull CBBC Jan 2015 R	0.250	23,323 per 10,000 units	23,523 per 10,000 units	2015/01/29	238,000,000	2014/07/23
69138	Credit Suisse AG	HSI R Bear CBBC Oct 2014 S	0.250	23,666 per 10,000 units	23,466 per 10,000 units	2014/10/30	238,000,000	2014/07/23
69139	Credit Suisse AG	HSI R Bear CBBC Oct 2014 T	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/10/30	238,000,000	2014/07/23
69145	Credit Suisse AG	HSI R Bear CBBC Jan 2015 G	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2015/01/29	238,000,000	2014/07/23
69156	Credit Suisse AG	HSI R Bear CBBC Jan 2015 H	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2015/01/29	238,000,000	2014/07/23
69143	Credit Suisse AG	HSI R Bear CBBC Feb 2015 E	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/02/26	238,000,000	2014/07/23
69149	Credit Suisse AG	HSI R Bear CBBC Feb 2015 F	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2015/02/26	238,000,000	2014/07/23
69161	Credit Suisse AG	HSI R Bear CBBC Feb 2015 G	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2015/02/26	238,000,000	2014/07/23
69173	Credit Suisse AG	HSI R Bear CBBC Feb 2015 H	0.270	26,000 per 10,000 units	25,800 per 10,000 units	2015/02/26	238,000,000	2014/07/23
69222	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 C	0.288	23,138 per 20,000 units	23,438 per 20,000 units	2014/11/27	150,000,000	2014/07/23
69124	HK Bank	AIA R Bull CBBC Jun 2015 B	0.250	37 per 100 units	38 per 100 units	2015/06/15	60,000,000	2014/07/23
69127	HK Bank	AIA R Bear CBBC Mar 2015 A	0.250	43.48 per 100 units	42.48 per 100 units	2015/03/30	40,000,000	2014/07/23
69128	HK Bank	AIA R Bear CBBC Apr 2015 B	0.250	45.48 per 100 units	44.48 per 100 units	2015/04/13	40,000,000	2014/07/23
69090	HK Bank	China Mobile R Bull CBBC Jun 2015 A	0.250	74.68 per 100 units	77.18 per 100 units	2015/06/29	120,000,000	2014/07/23
69113	HK Bank	China Mobile R Bull CBBC Jun 2015 B	0.250	72.18 per 100 units	74.68 per 100 units	2015/06/22	120,000,000	2014/07/23
69115	HK Bank	China Mobile R Bear CBBC Apr 2015 C	0.250	95.88 per 100 units	93.38 per 100 units	2015/04/27	40,000,000	2014/07/23
69084	HK Bank	HSI R Bull CBBC Jan 2015 Q	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2015/01/29	100,000,000	2014/07/23
69085	HK Bank	HSI R Bull CBBC Feb 2015 I	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2015/02/26	100,000,000	2014/07/23
69083	HK Bank	HSI R Bull CBBC Mar 2015 D	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2015/03/30	100,000,000	2014/07/23
69220	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 K	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/02/26	300,000,000	2014/07/23
69221	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/03/30	300,000,000	2014/07/23
69200	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 K	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/11/27	300,000,000	2014/07/23
69219	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 L	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/11/27	300,000,000	2014/07/23
69133	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 I	0.250	23,680 per 20,000 units	23,480 per 20,000 units	2015/02/26	100,000,000	2014/07/23
69188	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 A	0.250	23,368 per 10,000 units	23,468 per 10,000 units	2015/02/26	200,000,000	2014/07/23
69195	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 L	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2014/11/27	200,000,000	2014/07/23
69179	UBS AG	China Mobile R Bear CBBC Apr 2015 A	0.250	92.50 per 100 units	90 per 100 units	2015/04/13	50,000,000	2014/07/23
69187	UBS AG	HSI R Bull CBBC Jan 2015 W	0.250	23,323 per 10,000 units	23,523 per 10,000 units	2015/01/29	300,000,000	2014/07/23
69140	UBS AG	HSI R Bear CBBC Dec 2014 K	0.250	23,660 per 10,000 units	23,460 per 10,000 units	2014/12/30	300,000,000	2014/07/23
69280	Credit Suisse AG	HSI R Bull CBBC Mar 2015 G	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/03/30	238,000,000	2014/07/24
69281	Credit Suisse AG	HSI R Bear CBBC Nov 2014 U	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/11/27	238,000,000	2014/07/24
69256	HK Bank	BOCL R Bear CBBC May 2015 B	0.250	4.01	3.83	2015/05/04	40,000,000	2014/07/24
69246	HK Bank	China Mobile R Bull CBBC Jun 2015 C	0.250	77.18 per 100 units	79.68 per 100 units	2015/06/26	120,000,000	2014/07/24
69227	HK Bank	China Mobile R Bear CBBC Jul 2015 A	0.250	88.88 per 100 units	86.38 per 100 units	2015/07/13	60,000,000	2014/07/24
69228	HK Bank	China Mobile R Bear CBBC Jul 2015 B	0.250	103.88 per 100 units	101.38 per 100 units	2015/07/27	60,000,000	2014/07/24
69229	HK Bank	China Mobile R Bear CBBC Jul 2015 C	0.250	99.88 per 100 units	97.38 per 100 units	2015/07/20	60,000,000	2014/07/24
69249	HK Bank	Minsheng Bank R Bear CBBC May 2015 A	0.250	8.9	8.4	2015/05/11	40,000,000	2014/07/24
69250	HK Bank	Sands China R Bear CBBC Apr 2015 A	0.250	63.28 per 100 units	60.28 per 100 units	2015/04/27	60,000,000	2014/07/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69288	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 P	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/03/30	300,000,000	2014/07/24
69287	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 M	0.250	23,888 per 10,000 units	23,688 per 10,000 units	2014/11/27	300,000,000	2014/07/24
69286	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 A	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/02/26	300,000,000	2014/07/24
69257	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 M	0.250	23,280 per 20,000 units	23,480 per 20,000 units	2015/04/29	100,000,000	2014/07/24
69265	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 N	0.250	23,780 per 15,000 units	23,580 per 15,000 units	2014/11/27	100,000,000	2014/07/24
69274	UBS AG	China Mobile R Bull CBBC Jul 2015 B	0.250	72.50 per 100 units	75 per 100 units	2015/07/06	50,000,000	2014/07/24
69273	UBS AG	HSI R Bull CBBC Dec 2014 M	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/12/30	300,000,000	2014/07/24
69284	UBS AG	HSI R Bear CBBC Oct 2014 U	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/10/30	300,000,000	2014/07/24
69285	UBS AG	HSI R Bear CBBC Dec 2014 L	0.250	23,669 per 12,000 units	23,469 per 12,000 units	2014/12/30	350,000,000	2014/07/24
69322	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2014 G	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/12/30	200,000,000	2014/07/25
69315	Credit Suisse AG	HSI R Bull CBBC Mar 2015 H	0.250	23,316 per 12,000 units	23,516 per 12,000 units	2015/03/30	238,000,000	2014/07/25
69317	Credit Suisse AG	HSI R Bull CBBC Mar 2015 I	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/03/30	238,000,000	2014/07/25
69318	Credit Suisse AG	HSI R Bull CBBC Mar 2015 J	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/03/30	238,000,000	2014/07/25
69367	Credit Suisse AG	HSI R Bear CBBC Oct 2014 V	0.250	23,616 per 10,000 units	23,416 per 10,000 units	2014/10/30	238,000,000	2014/07/25
69292	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 L	0.250	23,320 per 10,000 units	23,520 per 10,000 units	2015/02/26	300,000,000	2014/07/25
69290	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 Q	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/07/25
69373	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 D	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/12/30	300,000,000	2014/07/25
69295	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 N	0.250	22,980 per 20,000 units	23,180 per 20,000 units	2015/04/29	100,000,000	2014/07/25
69302	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 O	0.250	23,080 per 15,000 units	23,280 per 15,000 units	2015/04/29	100,000,000	2014/07/25
69346	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 M	0.250	23,568 per 10,000 units	23,468 per 10,000 units	2014/11/27	200,000,000	2014/07/25
69325	UBS AG	HSI R Bull CBBC Oct 2014 H	0.250	23,320 per 12,000 units	23,520 per 12,000 units	2014/10/30	350,000,000	2014/07/25
69324	UBS AG	HSI R Bull CBBC Dec 2014 H	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/12/30	300,000,000	2014/07/25
69323	UBS AG	HSI R Bull CBBC Jan 2015 X	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/01/29	300,000,000	2014/07/25
69347	UBS AG	HSI R Bear CBBC Nov 2014 T	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/11/27	300,000,000	2014/07/25
69366	UBS AG	HSI R Bear CBBC Nov 2014 U	0.250	23,616 per 12,000 units	23,416 per 12,000 units	2014/11/27	350,000,000	2014/07/25
69391	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/01/29	200,000,000	2014/07/28
69376	Credit Suisse AG	HSI R Bull CBBC Jan 2015 Q	0.250	23,257 per 12,000 units	23,457 per 12,000 units	2015/01/29	238,000,000	2014/07/28
69377	Credit Suisse AG	HSI R Bear CBBC Jan 2015 I	0.250	23,588 per 12,000 units	23,388 per 12,000 units	2015/01/29	238,000,000	2014/07/28
69402	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Apr 2015 A	0.250	8.98	9.38	2015/04/20	50,000,000	2014/07/28
69405	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Apr 2015 B	0.250	9.28	9.68	2015/04/20	50,000,000	2014/07/28
69401	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Apr 2015 B	0.250	11.58	11.18	2015/04/20	50,000,000	2014/07/28
69394	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 M	0.250	22,988 per 10,000 units	23,188 per 10,000 units	2015/02/26	300,000,000	2014/07/28
69397	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 N	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/11/27	300,000,000	2014/07/28
69398	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 E	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/12/30	300,000,000	2014/07/28
69378	UBS AG	HSI R Bull CBBC Jan 2015 Y	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/01/29	300,000,000	2014/07/28
69379	UBS AG	HSI R Bear CBBC Oct 2014 W	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/10/30	300,000,000	2014/07/28
69481	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 A	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	200,000,000	2014/07/29
69516	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 B	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	200,000,000	2014/07/29
69419	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 T	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/01/29	200,000,000	2014/07/29
69421	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 U	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/01/29	200,000,000	2014/07/29
69482	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 V	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/01/29	200,000,000	2014/07/29
69517	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 W	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/01/29	200,000,000	2014/07/29
69518	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 X	0.250	26,000 per 10,000 units	25,800 per 10,000 units	2015/01/29	200,000,000	2014/07/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69510	Credit Suisse AG	China Mobile R Bull CBBC Jan 2015 A	0.250	77.55 per 100 units	80.05 per 100 units	2015/01/30	50,000,000	2014/07/29
69511	Credit Suisse AG	China Mobile R Bull CBBC Jan 2015 B	0.250	74.80 per 100 units	77.30 per 100 units	2015/01/30	50,000,000	2014/07/29
69512	Credit Suisse AG	China Mobile R Bull CBBC Jan 2015 C	0.250	72.50 per 100 units	75 per 100 units	2015/01/30	50,000,000	2014/07/29
69513	Credit Suisse AG	China Mobile R Bear CBBC Jan 2015 A	0.250	88.38 per 100 units	85.88 per 100 units	2015/01/30	50,000,000	2014/07/29
69514	Credit Suisse AG	China Mobile R Bear CBBC Jan 2015 B	0.250	91.38 per 100 units	88.88 per 100 units	2015/01/30	50,000,000	2014/07/29
69515	Credit Suisse AG	China Mobile R Bear CBBC Jan 2015 C	0.250	95.38 per 100 units	92.88 per 100 units	2015/01/30	50,000,000	2014/07/29
69497	Credit Suisse AG	A50 China R Bull CBBC Jan 2015 A	0.250	7.55	7.85	2015/01/30	50,000,000	2014/07/29
69498	Credit Suisse AG	A50 China R Bull CBBC Jan 2015 B	0.250	8.01	8.31	2015/01/30	50,000,000	2014/07/29
69499	Credit Suisse AG	A50 China R Bull CBBC Jan 2015 C	0.250	8.31	8.61	2015/01/30	50,000,000	2014/07/29
69500	Credit Suisse AG	A50 China R Bear CBBC Dec 2014 A	0.250	10.28	9.98	2014/12/30	50,000,000	2014/07/29
69501	Credit Suisse AG	A50 China R Bear CBBC Dec 2014 B	0.250	10.68	10.38	2014/12/30	50,000,000	2014/07/29
69507	Credit Suisse AG	HSBC R Bull CBBC Jan 2015 A	0.250	73.30 per 100 units	76.10 per 100 units	2015/01/30	50,000,000	2014/07/29
69509	Credit Suisse AG	HSBC R Bull CBBC Jan 2015 B	0.250	70.30 per 100 units	73.10 per 100 units	2015/01/30	50,000,000	2014/07/29
69519	Credit Suisse AG	HSI R Bull CBBC Feb 2015 V	0.250	23,582 per 12,000 units	23,782 per 12,000 units	2015/02/26	238,000,000	2014/07/29
69520	Credit Suisse AG	HSI R Bull CBBC Feb 2015 W	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/02/26	238,000,000	2014/07/29
69521	Credit Suisse AG	HSI R Bull CBBC Feb 2015 X	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/02/26	238,000,000	2014/07/29
69490	Credit Suisse AG	HSI R Bear CBBC Oct 2014 C	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/10/30	238,000,000	2014/07/29
69496	Credit Suisse AG	HSI R Bear CBBC Oct 2014 D	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/10/30	238,000,000	2014/07/29
69443	Credit Suisse AG	HSI R Bear CBBC Oct 2014 U	0.250	23,677 per 10,000 units	23,477 per 10,000 units	2014/10/30	238,000,000	2014/07/29
69432	Credit Suisse AG	HSI R Bear CBBC Oct 2014 W	0.250	23,593 per 10,000 units	23,393 per 10,000 units	2014/10/30	238,000,000	2014/07/29
69446	Credit Suisse AG	HSI R Bear CBBC Oct 2014 Z	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/10/30	238,000,000	2014/07/29
69523	Credit Suisse AG	HSI R Bear CBBC Mar 2015 A	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2015/03/30	238,000,000	2014/07/29
69530	Credit Suisse AG	HSI R Bear CBBC Mar 2015 B	0.250	26,200 per 10,000 units	26,000 per 10,000 units	2015/03/30	238,000,000	2014/07/29
69502	Credit Suisse AG	Hutchison R Bull CBBC Jan 2015 A	0.250	97.10 per 100 units	100.10 per 100 units	2015/01/30	50,000,000	2014/07/29
69504	Credit Suisse AG	Hutchison R Bull CBBC Jan 2015 B	0.250	93.10 per 100 units	96.10 per 100 units	2015/01/30	50,000,000	2014/07/29
69449	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15C	0.250	92.50 per 100 units	90 per 100 units	2015/04/20	80,000,000	2014/07/29
69450	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15D	0.250	95.50 per 100 units	93 per 100 units	2015/04/20	80,000,000	2014/07/29
69590	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 G	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2015/01/29	200,000,000	2014/07/29
69591	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2015 H	0.250	23,200 per 15,000 units	23,400 per 15,000 units	2015/01/29	200,000,000	2014/07/29
69592	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 F	0.250	24,600 per 15,000 units	24,400 per 15,000 units	2015/01/29	200,000,000	2014/07/29
69594	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 G	0.250	24,800 per 15,000 units	24,600 per 15,000 units	2015/01/29	200,000,000	2014/07/29
69599	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 H	0.250	25,000 per 15,000 units	24,800 per 15,000 units	2015/01/29	200,000,000	2014/07/29
69604	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2015 I	0.250	25,200 per 15,000 units	25,000 per 15,000 units	2015/01/29	200,000,000	2014/07/29
69548	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 D	0.250	23,438 per 20,000 units	23,738 per 20,000 units	2014/11/27	150,000,000	2014/07/29
69549	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 F	0.301	24,788 per 20,000 units	24,488 per 20,000 units	2014/11/27	150,000,000	2014/07/29
69550	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 G	0.315	25,438 per 20,000 units	25,138 per 20,000 units	2014/11/27	150,000,000	2014/07/29
69418	HK Bank	HSI R Bear CBBC Feb 2015 I	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2015/02/26	100,000,000	2014/07/29
69408	HK Bank	PetCh R Bull CBBC Jul 2015 B	0.250	9.28	9.68	2015/07/20	60,000,000	2014/07/29
69406	HK Bank	PetCh R Bear CBBC Jul 2015 A	0.290	12.98	12.58	2015/07/27	40,000,000	2014/07/29
69611	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 U	0.250	23,582 per 10,000 units	23,782 per 10,000 units	2015/01/29	300,000,000	2014/07/29
69471	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 N	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2015/02/26	300,000,000	2014/07/29
69472	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 R	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/03/30	300,000,000	2014/07/29
69609	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 S	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/03/30	300,000,000	2014/07/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69469	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 O	0.250	24,188 per 10,000 units	23,988 per 10,000 units	2014/10/30	300,000,000	2014/07/29
69473	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 P	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2014/07/29
69606	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 Q	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/10/30	300,000,000	2014/07/29
69468	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 F	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/12/30	300,000,000	2014/07/29
69466	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 A	0.250	25,088 per 10,000 units	24,888 per 10,000 units	2015/01/29	300,000,000	2014/07/29
69580	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 B	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/12/30	50,000,000	2014/07/29
69584	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 C	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/12/30	50,000,000	2014/07/29
69587	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/12/30	50,000,000	2014/07/29
69579	Macquarie Bank Ltd.	HSI R Bear CBBC Dec 2014 A	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/12/30	50,000,000	2014/07/29
69552	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 P	0.250	23,380 per 20,000 units	23,580 per 20,000 units	2015/04/29	100,000,000	2014/07/29
69425	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 L	0.250	23,180 per 10,000 units	23,380 per 10,000 units	2015/06/29	100,000,000	2014/07/29
69566	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 O	0.250	23,988 per 20,000 units	23,788 per 20,000 units	2014/11/27	100,000,000	2014/07/29
69474	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 B	0.250	23,518 per 10,000 units	23,618 per 10,000 units	2015/02/26	200,000,000	2014/07/29
69547	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 C	0.250	23,668 per 10,000 units	23,768 per 10,000 units	2015/02/26	200,000,000	2014/07/29
69479	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 N	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2014/11/27	200,000,000	2014/07/29
69480	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 A	0.250	25,300 per 20,000 units	25,200 per 20,000 units	2015/01/29	200,000,000	2014/07/29
69575	UBS AG	A50 China R Bull CBBC Feb 2015 E	0.250	8.2	8.5	2015/02/09	100,000,000	2014/07/29
69456	UBS AG	HSCEI R Bear CBBC Jan 2015 A	0.250	12,200 per 10,000 units	12,000 per 10,000 units	2015/01/29	100,000,000	2014/07/29
69576	UBS AG	HSI R Bull CBBC Nov 2014 L	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/11/27	300,000,000	2014/07/29
69577	UBS AG	HSI R Bull CBBC Dec 2014 E	0.250	23,582 per 10,000 units	23,782 per 10,000 units	2014/12/30	300,000,000	2014/07/29
69460	UBS AG	HSI R Bear CBBC Oct 2014 B	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/10/30	300,000,000	2014/07/29
69457	UBS AG	HSI R Bear CBBC Oct 2014 X	0.250	24,025 per 10,000 units	23,825 per 10,000 units	2014/10/30	300,000,000	2014/07/29
69578	UBS AG	HSI R Bear CBBC Nov 2014 V	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/11/27	300,000,000	2014/07/29
69452	UBS AG	HSI R Bear CBBC Dec 2014 M	0.250	26,000 per 10,000 units	25,800 per 10,000 units	2014/12/30	300,000,000	2014/07/29
69463	UBS AG	HSI R Bear CBBC Dec 2014 N	0.250	23,588 per 12,000 units	23,388 per 12,000 units	2014/12/30	350,000,000	2014/07/29
69716	BNP Paribas Arbit Issu B.V.	HSCEI R Bear CBBC Dec 2014 A	0.250	11,700 per 5,000 units	11,500 per 5,000 units	2014/12/30	100,000,000	2014/07/30
69712	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 C	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	200,000,000	2014/07/30
69715	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 D	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	200,000,000	2014/07/30
69648	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 C	0.250	26,200 per 10,000 units	26,000 per 10,000 units	2015/01/29	200,000,000	2014/07/30
69637	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 E	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2015/01/29	200,000,000	2014/07/30
69634	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 Y	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/01/29	200,000,000	2014/07/30
69636	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 Z	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/01/29	200,000,000	2014/07/30
69721	Credit Suisse AG	HSI R Bull CBBC Mar 2015 K	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/03/30	238,000,000	2014/07/30
69722	Credit Suisse AG	HSI R Bull CBBC Mar 2015 L	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/03/30	238,000,000	2014/07/30
69723	Credit Suisse AG	HSI R Bull CBBC Mar 2015 M	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/03/30	238,000,000	2014/07/30
69724	Credit Suisse AG	HSI R Bull CBBC Mar 2015 N	0.250	23,771 per 10,000 units	23,971 per 10,000 units	2015/03/30	238,000,000	2014/07/30
69703	Credit Suisse AG	HSI R Bull CBBC Apr 2015 A	0.250	23,166 per 20,000 units	23,466 per 20,000 units	2015/04/29	238,000,000	2014/07/30
69688	Credit Suisse AG	HSI R Bear CBBC Nov 2014 V	0.250	23,986 per 12,000 units	23,786 per 12,000 units	2014/11/27	238,000,000	2014/07/30
69689	Credit Suisse AG	HSI R Bear CBBC Nov 2014 W	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/11/27	238,000,000	2014/07/30
69691	Credit Suisse AG	HSI R Bear CBBC Nov 2014 X	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/11/27	238,000,000	2014/07/30
69694	Credit Suisse AG	HSI R Bear CBBC Nov 2014 Y	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/11/27	238,000,000	2014/07/30
69700	Credit Suisse AG	HSI R Bear CBBC Nov 2014 Z	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/11/27	238,000,000	2014/07/30
69696	Credit Suisse AG	HSI R Bear CBBC Dec 2014 L	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	238,000,000	2014/07/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69698	Credit Suisse AG	HSI R Bear CBBC Jan 2015 J	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2015/01/29	238,000,000	2014/07/30
69702	Credit Suisse AG	HSI R Bear CBBC Jan 2015 K	0.250	26,100 per 10,000 units	25,900 per 10,000 units	2015/01/29	238,000,000	2014/07/30
69701	Credit Suisse AG	HSI R Bear CBBC Feb 2015 I	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2015/02/26	238,000,000	2014/07/30
69704	Credit Suisse AG	HSI R Bear CBBC Apr 2015 A	0.250	25,866 per 20,000 units	25,566 per 20,000 units	2015/04/29	238,000,000	2014/07/30
69736	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 H	0.316	26,238 per 20,000 units	25,938 per 20,000 units	2014/11/27	150,000,000	2014/07/30
69623	HK Bank	AIA R Bear CBBC Apr 2015 C	0.250	47.48 per 100 units	46.48 per 100 units	2015/04/08	40,000,000	2014/07/30
69627	HK Bank	COVS R Bull CBBC Oct 2015 A	0.360	18.08	19.08	2015/10/26	50,000,000	2014/07/30
69615	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2015 C	0.250	8.2	8.5	2015/03/30	80,000,000	2014/07/30
69618	HK Bank	A50 R Bull CBBC Mar 2015 F	0.250	8.23	8.53	2015/03/30	200,000,000	2014/07/30
69630	HK Bank	HSI R Bull CBBC Jan 2015 R	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2015/01/29	100,000,000	2014/07/30
69628	HK Bank	HSI R Bull CBBC Feb 2015 J	0.250	23,582 per 15,000 units	23,782 per 15,000 units	2015/02/26	100,000,000	2014/07/30
69612	HK Bank	HSI R Bear CBBC Mar 2015 G	0.250	24,888 per 15,000 units	24,688 per 15,000 units	2015/03/30	100,000,000	2014/07/30
69629	HK Bank	HSI R Bear CBBC Apr 2015 C	0.250	25,588 per 15,000 units	25,388 per 15,000 units	2015/04/29	100,000,000	2014/07/30
69613	HK Bank	HSI R Bear CBBC May 2015 A	0.250	27,728 per 20,000 units	27,428 per 20,000 units	2015/05/28	400,000,000	2014/07/30
69622	HK Bank	TCH R Bull CBBC Apr 2015 A	0.250	118.88 per 100 units	120.88 per 100 units	2015/04/13	100,000,000	2014/07/30
69747	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 O	0.250	23,771 per 10,000 units	23,971 per 10,000 units	2015/02/26	300,000,000	2014/07/30
69746	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 T	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/03/30	300,000,000	2014/07/30
69744	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 A	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/07/30
69745	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 B	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/04/29	300,000,000	2014/07/30
69632	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 R	0.250	24,288 per 10,000 units	24,088 per 10,000 units	2014/10/30	300,000,000	2014/07/30
69633	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 S	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/10/30	300,000,000	2014/07/30
69742	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 T	0.250	24,688 per 10,000 units	24,488 per 10,000 units	2014/10/30	300,000,000	2014/07/30
69743	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 U	0.250	24,488 per 10,000 units	24,288 per 10,000 units	2014/10/30	300,000,000	2014/07/30
69741	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 O	0.250	24,888 per 10,000 units	24,688 per 10,000 units	2014/11/27	300,000,000	2014/07/30
69631	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 G	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/12/30	300,000,000	2014/07/30
69740	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 H	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/12/30	300,000,000	2014/07/30
69739	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 B	0.250	26,200 per 10,000 units	26,000 per 10,000 units	2015/01/29	300,000,000	2014/07/30
69720	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 E	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/12/30	50,000,000	2014/07/30
69717	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 G	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/11/27	50,000,000	2014/07/30
69718	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 H	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/11/27	50,000,000	2014/07/30
69719	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 I	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	50,000,000	2014/07/30
69649	Standard Chartered Bank	China Mobile R Bull CBBC Jul 2015B	0.250	72.30 per 100 units	74.80 per 100 units	2015/07/15	50,000,000	2014/07/30
69663	Standard Chartered Bank	China Mobile R Bear CBBC Mar 2015B	0.250	94.30 per 100 units	91.80 per 100 units	2015/03/05	50,000,000	2014/07/30
69664	Standard Chartered Bank	Minsheng Bank R Bull CBBC Jul 15 A	0.250	6	6.5	2015/07/17	50,000,000	2014/07/30
69674	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 J	0.250	23,480 per 12,000 units	23,680 per 12,000 units	2014/12/30	100,000,000	2014/07/30
69690	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 Q	0.250	23,580 per 20,000 units	23,780 per 20,000 units	2015/04/29	100,000,000	2014/07/30
69676	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 P	0.250	24,088 per 12,000 units	23,888 per 12,000 units	2014/11/27	100,000,000	2014/07/30
69685	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 D	0.250	23,438 per 10,000 units	23,538 per 10,000 units	2015/02/26	200,000,000	2014/07/30
69686	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 E	0.250	23,588 per 10,000 units	23,688 per 10,000 units	2015/02/26	200,000,000	2014/07/30
69708	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 F	0.250	23,818 per 10,000 units	23,918 per 10,000 units	2015/02/26	200,000,000	2014/07/30
69705	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 A	0.250	23,100 per 20,000 units	23,200 per 20,000 units	2015/04/29	200,000,000	2014/07/30
69707	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 B	0.250	23,500 per 20,000 units	23,600 per 20,000 units	2015/04/29	200,000,000	2014/07/30
69687	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 O	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2014/11/27	200,000,000	2014/07/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69735	UBS AG	Cheung Kong R Bull CBBC Jun 2015 A	0.250	124 per 100 units	130 per 100 units	2015/06/15	50,000,000	2014/07/30
69734	UBS AG	CSOP A50 ETF R Bull CBBC Feb 2015 D	0.250	8.2	8.5	2015/02/16	50,000,000	2014/07/30
69733	UBS AG	HSCEI R Bull CBBC Feb 2015 B	0.250	10,050 per 10,000 units	10,250 per 10,000 units	2015/02/26	100,000,000	2014/07/30
69730	UBS AG	HSCEI R Bear CBBC Jan 2015 B	0.250	11,450 per 10,000 units	11,250 per 10,000 units	2015/01/29	100,000,000	2014/07/30
69725	UBS AG	HSI R Bull CBBC Oct 2014 L	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2014/07/30
69727	UBS AG	HSI R Bull CBBC Jan 2015 A	0.250	23,771 per 10,000 units	23,971 per 10,000 units	2015/01/29	300,000,000	2014/07/30
69726	UBS AG	HSI R Bull CBBC Jan 2015 Z	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/01/29	300,000,000	2014/07/30
69678	UBS AG	HSI R Bear CBBC Oct 2014 O	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/10/30	300,000,000	2014/07/30
69683	UBS AG	HSI R Bear CBBC Nov 2014 W	0.250	23,983 per 12,000 units	23,783 per 12,000 units	2014/11/27	350,000,000	2014/07/30
69729	UBS AG	HSI R Bear CBBC Jan 2015 F	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2015/01/29	300,000,000	2014/07/30
69841	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 E	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/06/29	200,000,000	2014/07/31
69842	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 F	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/06/29	200,000,000	2014/07/31
69845	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 D	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2015/01/29	200,000,000	2014/07/31
69763	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 F	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/01/29	200,000,000	2014/07/31
69764	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 G	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/01/29	200,000,000	2014/07/31
69767	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 H	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2015/01/29	200,000,000	2014/07/31
69846	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 I	0.250	26,400 per 10,000 units	26,200 per 10,000 units	2015/01/29	200,000,000	2014/07/31
69824	Credit Suisse AG	HSI R Bull CBBC Jan 2015 U	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/01/29	238,000,000	2014/07/31
69825	Credit Suisse AG	HSI R Bull CBBC Jan 2015 V	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/01/29	238,000,000	2014/07/31
69826	Credit Suisse AG	HSI R Bull CBBC Jan 2015 W	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/01/29	238,000,000	2014/07/31
69827	Credit Suisse AG	HSI R Bull CBBC Jan 2015 X	0.250	23,850 per 10,000 units	24,050 per 10,000 units	2015/01/29	238,000,000	2014/07/31
69828	Credit Suisse AG	HSI R Bull CBBC Jan 2015 Y	0.250	23,941 per 10,000 units	24,141 per 10,000 units	2015/01/29	238,000,000	2014/07/31
69823	Credit Suisse AG	HSI R Bear CBBC Oct 2014 B	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/10/30	238,000,000	2014/07/31
69770	Credit Suisse AG	HSI R Bear CBBC Oct 2014 E	0.250	24,172 per 12,000 units	23,972 per 12,000 units	2014/10/30	238,000,000	2014/07/31
69771	Credit Suisse AG	HSI R Bear CBBC Oct 2014 O	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/10/30	238,000,000	2014/07/31
69772	Credit Suisse AG	HSI R Bear CBBC Apr 2015 B	0.250	25,900 per 10,000 units	25,700 per 10,000 units	2015/04/29	238,000,000	2014/07/31
69773	Credit Suisse AG	HSI R Bear CBBC Apr 2015 C	0.260	26,300 per 10,000 units	26,100 per 10,000 units	2015/04/29	238,000,000	2014/07/31
69774	Credit Suisse AG	HSI R Bear CBBC Apr 2015 D	0.300	26,700 per 10,000 units	26,500 per 10,000 units	2015/04/29	238,000,000	2014/07/31
69851	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 E	0.257	23,738 per 20,000 units	24,038 per 20,000 units	2014/11/27	150,000,000	2014/07/31
69852	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 I	0.341	25,788 per 20,000 units	25,488 per 20,000 units	2014/11/27	150,000,000	2014/07/31
69855	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 J	0.353	26,538 per 20,000 units	26,238 per 20,000 units	2014/11/27	150,000,000	2014/07/31
69759	HK Bank	ABC R Bull CBBC Aug 2015 C	0.250	3.18	3.43	2015/08/03	80,000,000	2014/07/31
69758	HK Bank	BOCL R Bull CBBC Jul 2015 B	0.250	3.25	3.43	2015/07/20	80,000,000	2014/07/31
69760	HK Bank	China Cinda R Bull CBBC Apr 2015 A	0.250	3.58	3.88	2015/04/27	50,000,000	2014/07/31
69757	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2015 D	0.250	8.38	8.68	2015/03/16	80,000,000	2014/07/31
69756	HK Bank	A50 R Bull CBBC Mar 2015 G	0.250	8.33	8.63	2015/03/16	200,000,000	2014/07/31
69753	HK Bank	HSCEI R Bull CBBC Mar 2015 A	0.250	10,288 per 10,000 units	10,488 per 10,000 units	2015/03/30	100,000,000	2014/07/31
69754	HK Bank	HSCEI R Bear CBBC Apr 2015 A	0.250	11,788 per 10,000 units	11,588 per 10,000 units	2015/04/29	68,000,000	2014/07/31
69755	HK Bank	HSCEI R Bear CBBC May 2015 A	0.250	12,388 per 10,000 units	12,188 per 10,000 units	2015/05/28	100,000,000	2014/07/31
69749	HK Bank	HSI R Bull CBBC Dec 2014 X	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2014/12/30	100,000,000	2014/07/31
69750	HK Bank	HSI R Bull CBBC Feb 2015 K	0.250	23,028 per 20,000 units	23,328 per 20,000 units	2015/02/26	400,000,000	2014/07/31
69748	HK Bank	HSI R Bull CBBC Mar 2015 E	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2015/03/30	100,000,000	2014/07/31
69751	HK Bank	HSI R Bear CBBC Mar 2015 H	0.250	25,988 per 15,000 units	25,788 per 15,000 units	2015/03/30	100,000,000	2014/07/31

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69752	HK Bank	HSI R Bear CBBC Mar 2015 I	0.250	27,328 per 20,000 units	27,028 per 20,000 units	2015/03/30	400,000,000	2014/07/31
69849	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 V	0.250	23,941 per 10,000 units	24,141 per 10,000 units	2015/01/29	300,000,000	2014/07/31
69785	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 U	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/03/30	300,000,000	2014/07/31
69791	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 V	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/03/30	300,000,000	2014/07/31
69783	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 C	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/04/29	300,000,000	2014/07/31
69782	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 V	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/10/30	300,000,000	2014/07/31
69848	J P Morgan SP BV	HSI R Bear CBBC Oct 2014 W	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/10/30	300,000,000	2014/07/31
69847	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 P	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/11/27	300,000,000	2014/07/31
69781	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 I	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/12/30	300,000,000	2014/07/31
69793	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 F	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/12/30	50,000,000	2014/07/31
69798	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 J	0.250	25,650 per 10,000 units	25,450 per 10,000 units	2014/11/27	50,000,000	2014/07/31
69799	Macquarie Bank Ltd.	HSI R Bear CBBC Nov 2014 K	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2014/11/27	50,000,000	2014/07/31
69769	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 R	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/04/29	100,000,000	2014/07/31
69838	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 S	0.250	23,780 per 10,000 units	23,980 per 10,000 units	2015/04/29	100,000,000	2014/07/31
69768	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 Q	0.250	24,248 per 10,000 units	24,048 per 10,000 units	2014/11/27	100,000,000	2014/07/31
69829	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 R	0.250	24,280 per 20,000 units	24,080 per 20,000 units	2014/11/27	100,000,000	2014/07/31
69832	Standard Chartered Bank	HSI R Bear CBBC Nov 2014 S	0.250	24,980 per 20,000 units	24,780 per 20,000 units	2014/11/27	100,000,000	2014/07/31
69800	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 G	0.250	23,738 per 10,000 units	23,838 per 10,000 units	2015/02/26	200,000,000	2014/07/31
69801	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 H	0.250	23,888 per 10,000 units	23,988 per 10,000 units	2015/02/26	200,000,000	2014/07/31
69850	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 I	0.250	24,038 per 10,000 units	24,138 per 10,000 units	2015/02/26	200,000,000	2014/07/31
69804	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 P	0.250	24,168 per 10,000 units	24,068 per 10,000 units	2014/11/27	200,000,000	2014/07/31
69805	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 H	0.250	25,068 per 10,000 units	24,968 per 10,000 units	2014/12/30	200,000,000	2014/07/31
69806	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 I	0.250	25,138 per 10,000 units	25,038 per 10,000 units	2014/12/30	200,000,000	2014/07/31
69807	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 A	0.250	25,700 per 20,000 units	25,600 per 20,000 units	2015/02/26	400,000,000	2014/07/31
69821	UBS AG	China Mobile R Bear CBBC May 2015 A	0.250	97.50 per 100 units	95 per 100 units	2015/05/04	50,000,000	2014/07/31
69820	UBS AG	HSCEI R Bull CBBC Mar 2015 A	0.250	10,300 per 10,000 units	10,500 per 10,000 units	2015/03/30	100,000,000	2014/07/31
69818	UBS AG	HSCEI R Bear CBBC Feb 2015 B	0.250	12,700 per 10,000 units	12,500 per 10,000 units	2015/02/26	100,000,000	2014/07/31
69858	UBS AG	HSI R Bull CBBC Dec 2014 X	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2014/12/30	300,000,000	2014/07/31
69856	UBS AG	HSI R Bull CBBC Jan 2015 B	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/01/29	300,000,000	2014/07/31
69857	UBS AG	HSI R Bull CBBC Feb 2015 K	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/07/31
69860	UBS AG	HSI R Bull CBBC Feb 2015 L	0.250	23,941 per 10,000 units	24,141 per 10,000 units	2015/02/26	300,000,000	2014/07/31
69817	UBS AG	HSI R Bull CBBC Jul 2015 D	0.250	23,038 per 20,000 units	23,338 per 20,000 units	2015/07/30	300,000,000	2014/07/31
69779	UBS AG	HSI R Bear CBBC Dec 2014 O	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/12/30	300,000,000	2014/07/31
69778	UBS AG	HSI R Bear CBBC Jan 2015 G	0.250	24,360 per 10,000 units	24,160 per 10,000 units	2015/01/29	300,000,000	2014/07/31
69780	UBS AG	HSI R Bear CBBC Jan 2015 H	0.250	24,172 per 12,000 units	23,972 per 12,000 units	2015/01/29	350,000,000	2014/07/31
69815	UBS AG	HSI R Bear CBBC Feb 2015 A	0.250	25,900 per 10,000 units	25,700 per 10,000 units	2015/02/26	300,000,000	2014/07/31
69814	UBS AG	HSI R Bear CBBC Mar 2015 B	0.315	27,200 per 10,000 units	27,000 per 10,000 units	2015/03/30	300,000,000	2014/07/31
69816	UBS AG	HSI R Bear CBBC Mar 2015 C	0.250	25,188 per 20,000 units	24,888 per 20,000 units	2015/03/30	300,000,000	2014/07/31
69958	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 G	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	200,000,000	2014/08/01
69886	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 J	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/01/29	200,000,000	2014/08/01
69887	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 K	0.250	25,900 per 10,000 units	25,700 per 10,000 units	2015/01/29	200,000,000	2014/08/01
69888	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 L	0.250	26,100 per 10,000 units	25,900 per 10,000 units	2015/01/29	200,000,000	2014/08/01
69889	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 O	0.270	26,700 per 10,000 units	26,500 per 10,000 units	2015/01/29	200,000,000	2014/08/01

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69956	Credit Suisse AG	HSI R Bull CBBC Feb 2015 B	0.250	24,016 per 10,000 units	24,216 per 10,000 units	2015/02/26	238,000,000	2014/08/01
69950	Credit Suisse AG	HSI R Bull CBBC Feb 2015 Y	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/02/26	238,000,000	2014/08/01
69951	Credit Suisse AG	HSI R Bull CBBC Feb 2015 Z	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/02/26	238,000,000	2014/08/01
69946	Credit Suisse AG	HSI R Bear CBBC Dec 2014 M	0.250	24,330 per 12,000 units	24,130 per 12,000 units	2014/12/30	238,000,000	2014/08/01
69947	Credit Suisse AG	HSI R Bear CBBC Dec 2014 N	0.250	24,477 per 10,000 units	24,277 per 10,000 units	2014/12/30	238,000,000	2014/08/01
69966	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Apr15B	0.250	8.4	8.7	2015/04/20	100,000,000	2014/08/01
69971	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 C	0.250	8.3	8.6	2015/04/20	100,000,000	2014/08/01
69972	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 D	0.250	8.4	8.7	2015/04/20	100,000,000	2014/08/01
69879	HK Bank	China Mobile R Bull CBBC Jul 2015 E	0.250	79.68 per 100 units	82.18 per 100 units	2015/07/06	120,000,000	2014/08/01
69880	HK Bank	China Mobile R Bear CBBC Jul 2015 D	0.250	107.88 per 100 units	105.38 per 100 units	2015/07/23	60,000,000	2014/08/01
69871	HK Bank	China Life R Bull CBBC Jul 2015 A	0.250	19.68	20.68	2015/07/06	60,000,000	2014/08/01
69869	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 B	0.250	8.5	8.8	2015/04/13	80,000,000	2014/08/01
69866	HK Bank	A50 R Bull CBBC Apr 2015 C	0.250	8.43	8.73	2015/04/17	200,000,000	2014/08/01
69867	HK Bank	A50 R Bull CBBC Apr 2015 D	0.250	8.53	8.83	2015/04/13	200,000,000	2014/08/01
69862	HK Bank	HSCEI R Bull CBBC Apr 2015 A	0.250	10,488 per 10,000 units	10,688 per 10,000 units	2015/04/29	100,000,000	2014/08/01
69874	HK Bank	HSI R Bull CBBC Jan 2015 S	0.250	23,788 per 15,000 units	23,988 per 15,000 units	2015/01/29	100,000,000	2014/08/01
69873	HK Bank	HSI R Bull CBBC Feb 2015 L	0.250	23,888 per 15,000 units	24,088 per 15,000 units	2015/02/26	100,000,000	2014/08/01
69872	HK Bank	HSI R Bull CBBC Mar 2015 F	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2015/03/30	100,000,000	2014/08/01
69875	HK Bank	HSI R Bear CBBC Feb 2015 J	0.250	25,188 per 15,000 units	24,988 per 15,000 units	2015/02/26	100,000,000	2014/08/01
69861	HK Bank	HSI R Bear CBBC Mar 2015 J	0.250	25,428 per 20,000 units	25,128 per 20,000 units	2015/03/30	400,000,000	2014/08/01
69877	HK Bank	Hutchison R Bull CBBC Jun 2015 B	0.250	89.88 per 100 units	92.88 per 100 units	2015/06/22	60,000,000	2014/08/01
69876	HK Bank	Hutchison R Bear CBBC Apr 2015 B	0.250	112.88 per 100 units	109.88 per 100 units	2015/04/20	50,000,000	2014/08/01
69908	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 W	0.250	23,688 per 10,000 units	23,888 per 10,000 units	2015/01/29	300,000,000	2014/08/01
69909	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 X	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/01/29	300,000,000	2014/08/01
69965	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 Y	0.250	24,016 per 10,000 units	24,216 per 10,000 units	2015/01/29	300,000,000	2014/08/01
69907	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 Q	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/11/27	300,000,000	2014/08/01
69963	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 J	0.250	25,900 per 10,000 units	25,700 per 10,000 units	2014/12/30	300,000,000	2014/08/01
69964	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 K	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2014/12/30	300,000,000	2014/08/01
69944	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 T	0.250	23,880 per 20,000 units	24,080 per 20,000 units	2015/04/29	100,000,000	2014/08/01
69896	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 G	0.250	25,680 per 10,000 units	25,480 per 10,000 units	2014/12/30	100,000,000	2014/08/01
69898	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 H	0.250	25,480 per 20,000 units	25,280 per 20,000 units	2014/12/30	100,000,000	2014/08/01
69945	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 I	0.250	24,380 per 15,000 units	24,180 per 15,000 units	2014/12/30	100,000,000	2014/08/01
69915	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Mar15 A	0.250	8.5	8.7	2015/03/30	100,000,000	2014/08/01
69917	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Mar15 B	0.250	8.25	8.45	2015/03/30	100,000,000	2014/08/01
69910	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Jun15 A	0.250	7.75	7.95	2015/06/29	100,000,000	2014/08/01
69918	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Jun15 B	0.250	8	8.2	2015/06/29	100,000,000	2014/08/01
69919	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Mar15A	0.250	9.8	9.6	2015/03/30	100,000,000	2014/08/01
69926	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Mar15B	0.250	10.05	9.85	2015/03/30	100,000,000	2014/08/01
69923	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jun15A	0.250	10.3	10.1	2015/06/29	100,000,000	2014/08/01
69928	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jun15B	0.250	10.55	10.35	2015/06/29	100,000,000	2014/08/01
69890	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 J	0.250	23,968 per 10,000 units	24,068 per 10,000 units	2015/02/26	200,000,000	2014/08/01
69894	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 Q	0.250	24,318 per 10,000 units	24,218 per 10,000 units	2014/11/27	200,000,000	2014/08/01
69895	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 J	0.250	25,218 per 10,000 units	25,118 per 10,000 units	2014/12/30	200,000,000	2014/08/01

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69939	UBS AG	Minsheng Bank R Bull CBBC Jun 2015 A	0.250	6.3	6.8	2015/06/22	50,000,000	2014/08/01
69901	UBS AG	HSI R Bull CBBC Jan 2015 I	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/01/29	300,000,000	2014/08/01
69961	UBS AG	HSI R Bull CBBC Jan 2015 J	0.250	24,016 per 10,000 units	24,216 per 10,000 units	2015/01/29	300,000,000	2014/08/01
69960	UBS AG	HSI R Bull CBBC Feb 2015 M	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/08/01
69900	UBS AG	HSI R Bear CBBC Nov 2014 X	0.250	24,342 per 12,000 units	24,142 per 12,000 units	2014/11/27	350,000,000	2014/08/01
69948	UBS AG	HSI R Bear CBBC Dec 2014 P	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/12/30	300,000,000	2014/08/01
69933	UBS AG	HSI R Bear CBBC Feb 2015 B	0.260	26,700 per 10,000 units	26,500 per 10,000 units	2015/02/26	300,000,000	2014/08/01
69931	UBS AG	HSI R Bear CBBC Mar 2015 D	0.250	26,100 per 10,000 units	25,900 per 10,000 units	2015/03/30	300,000,000	2014/08/01
60343	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 A	0.250	8.2	8.5	2015/06/29	100,000,000	2014/08/04
60344	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 B	0.250	8.7	9	2015/06/29	100,000,000	2014/08/04
60288	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 H	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/06/29	200,000,000	2014/08/04
60301	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 I	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/06/29	200,000,000	2014/08/04
60041	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 N	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60037	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2015 P	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60309	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 A	0.250	26,300 per 10,000 units	26,100 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60311	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 B	0.250	26,500 per 10,000 units	26,300 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60320	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 C	0.250	26,600 per 10,000 units	26,400 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60336	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 D	0.280	27,000 per 10,000 units	26,800 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60341	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 E	0.300	27,200 per 10,000 units	27,000 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60501	Credit Suisse AG	A50 China R Bull CBBC Jan 2015 D	0.250	8.67	8.97	2015/01/30	50,000,000	2014/08/04
60504	Credit Suisse AG	A50 China R Bull CBBC Jan 2015 E	0.250	8.92	9.22	2015/01/30	50,000,000	2014/08/04
60506	Credit Suisse AG	A50 China R Bear CBBC Jan 2015 A	0.250	11.07	10.77	2015/01/30	50,000,000	2014/08/04
60467	Credit Suisse AG	HSI R Bull CBBC Feb 2015 C	0.250	23,526 per 20,000 units	23,826 per 20,000 units	2015/02/26	238,000,000	2014/08/04
60469	Credit Suisse AG	HSI R Bull CBBC Mar 2015 O	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60470	Credit Suisse AG	HSI R Bull CBBC Mar 2015 P	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60472	Credit Suisse AG	HSI R Bull CBBC Mar 2015 Q	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60499	Credit Suisse AG	HSI R Bull CBBC Mar 2015 R	0.250	24,237 per 10,000 units	24,437 per 10,000 units	2015/03/30	238,000,000	2014/08/04
69987	Credit Suisse AG	HSI R Bear CBBC Nov 2014 B	0.250	24,417 per 12,000 units	24,217 per 12,000 units	2014/11/27	238,000,000	2014/08/04
69990	Credit Suisse AG	HSI R Bear CBBC Nov 2014 C	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/11/27	238,000,000	2014/08/04
69992	Credit Suisse AG	HSI R Bear CBBC Nov 2014 G	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/11/27	238,000,000	2014/08/04
69993	Credit Suisse AG	HSI R Bear CBBC Nov 2014 K	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/11/27	238,000,000	2014/08/04
60447	Credit Suisse AG	HSI R Bear CBBC Feb 2015 J	0.250	26,190 per 20,000 units	25,890 per 20,000 units	2015/02/26	238,000,000	2014/08/04
60453	Credit Suisse AG	HSI R Bear CBBC Feb 2015 K	0.250	26,966 per 20,000 units	26,666 per 20,000 units	2015/02/26	238,000,000	2014/08/04
60438	Credit Suisse AG	HSI R Bear CBBC Mar 2015 C	0.250	26,400 per 10,000 units	26,200 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60443	Credit Suisse AG	HSI R Bear CBBC Mar 2015 D	0.250	26,600 per 10,000 units	26,400 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60445	Credit Suisse AG	HSI R Bear CBBC Mar 2015 E	0.260	26,800 per 10,000 units	26,600 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60446	Credit Suisse AG	HSI R Bear CBBC Mar 2015 F	0.300	27,200 per 10,000 units	27,000 per 10,000 units	2015/03/30	238,000,000	2014/08/04
60411	Credit Suisse AG	HSI R Bear CBBC Apr 2015 E	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2015/04/29	238,000,000	2014/08/04
60416	Credit Suisse AG	HSI R Bear CBBC Apr 2015 F	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2015/04/29	238,000,000	2014/08/04
60420	Credit Suisse AG	HSI R Bear CBBC Apr 2015 G	0.250	25,650 per 10,000 units	25,450 per 10,000 units	2015/04/29	238,000,000	2014/08/04
60423	Credit Suisse AG	HSI R Bear CBBC Apr 2015 H	0.250	25,850 per 10,000 units	25,650 per 10,000 units	2015/04/29	238,000,000	2014/08/04
60542	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Apr15C	0.250	8.7	9	2015/04/27	100,000,000	2014/08/04
60543	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 E	0.250	8.7	9	2015/04/27	100,000,000	2014/08/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60048	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 F	0.267	24,038 per 20,000 units	24,338 per 20,000 units	2014/11/27	150,000,000	2014/08/04
60047	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 K	0.294	26,088 per 20,000 units	25,788 per 20,000 units	2014/11/27	150,000,000	2014/08/04
69985	HK Bank	CC Bank R Bull CBBC Aug 2015 B	0.250	5.28	5.58	2015/08/17	80,000,000	2014/08/04
69979	HK Bank	Cheung Kong R Bull CBBC Jul 2015 A	0.250	130.88 per 100 units	136.88 per 100 units	2015/07/06	60,000,000	2014/08/04
69983	HK Bank	Minsheng Bank R Bull CBBC Jul 2015 B	0.250	6.58	7.08	2015/07/27	40,000,000	2014/08/04
69981	HK Bank	Sinopec Corp R Bull CBBC Jul 2015 B	0.250	6.98	7.28	2015/07/20	80,000,000	2014/08/04
69984	HK Bank	Sinopec Corp R Bear CBBC Aug 2015 A	0.250	9.13	8.83	2015/08/03	40,000,000	2014/08/04
69977	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 C	0.250	8.68	8.98	2015/04/08	80,000,000	2014/08/04
69976	HK Bank	A50 R Bull CBBC Apr 2015 E	0.250	8.63	8.93	2015/04/08	200,000,000	2014/08/04
69978	HK Bank	A50 R Bear CBBC Oct 2015 A	0.250	10.98	10.68	2015/10/26	50,000,000	2014/08/04
69975	HK Bank	HSI R Bull CBBC Mar 2015 G	0.250	23,988 per 15,000 units	24,188 per 15,000 units	2015/03/30	100,000,000	2014/08/04
69982	HK Bank	Hutchison R Bull CBBC Jul 2015 A	0.250	88.88 per 100 units	91.88 per 100 units	2015/07/06	60,000,000	2014/08/04
60050	J P Morgan SP BV	HSI R Bull CBBC Jan 2015 Z	0.250	23,950 per 10,000 units	24,150 per 10,000 units	2015/01/29	300,000,000	2014/08/04
60056	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 W	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/03/30	300,000,000	2014/08/04
60058	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 X	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/03/30	300,000,000	2014/08/04
60095	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 R	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2014/11/27	300,000,000	2014/08/04
60099	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 S	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/11/27	300,000,000	2014/08/04
60105	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 T	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/11/27	300,000,000	2014/08/04
69973	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 L	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/12/30	300,000,000	2014/08/04
69974	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 M	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/12/30	300,000,000	2014/08/04
60074	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 N	0.250	26,000 per 10,000 units	25,800 per 10,000 units	2014/12/30	300,000,000	2014/08/04
60088	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 O	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2014/12/30	300,000,000	2014/08/04
60093	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 P	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2014/12/30	300,000,000	2014/08/04
60068	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 C	0.250	26,700 per 10,000 units	26,500 per 10,000 units	2015/01/29	300,000,000	2014/08/04
60073	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 D	0.250	26,400 per 10,000 units	26,200 per 10,000 units	2015/01/29	300,000,000	2014/08/04
60108	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 G	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2014/12/30	50,000,000	2014/08/04
60115	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2014 H	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2014/12/30	50,000,000	2014/08/04
60116	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 A	0.250	25,850 per 10,000 units	25,650 per 10,000 units	2015/01/29	50,000,000	2014/08/04
60121	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 M	0.250	23,980 per 15,000 units	24,180 per 15,000 units	2015/06/29	100,000,000	2014/08/04
60128	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 N	0.250	24,180 per 20,000 units	24,380 per 20,000 units	2015/06/29	100,000,000	2014/08/04
60124	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 J	0.250	25,580 per 15,000 units	25,380 per 15,000 units	2014/12/30	100,000,000	2014/08/04
60125	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 K	0.250	25,780 per 20,000 units	25,580 per 20,000 units	2014/12/30	100,000,000	2014/08/04
60204	Standard Chartered Bank	Hutchison R Bull CBBC Oct 2015 A	0.250	96 per 100 units	99 per 100 units	2015/10/02	50,000,000	2014/08/04
60359	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 K	0.250	24,118 per 10,000 units	24,218 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60363	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 L	0.250	24,268 per 10,000 units	24,368 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60364	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 M	0.250	24,336 per 10,000 units	24,436 per 10,000 units	2015/02/26	200,000,000	2014/08/04
60345	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 C	0.250	23,900 per 20,000 units	24,000 per 20,000 units	2015/04/29	400,000,000	2014/08/04
60046	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 R	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2014/11/27	200,000,000	2014/08/04
60508	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 S	0.250	24,538 per 10,000 units	24,438 per 10,000 units	2014/11/27	200,000,000	2014/08/04
60366	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 K	0.250	25,288 per 10,000 units	25,188 per 10,000 units	2014/12/30	200,000,000	2014/08/04
60372	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 L	0.250	25,368 per 10,000 units	25,268 per 10,000 units	2014/12/30	200,000,000	2014/08/04
60380	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 M	0.250	25,518 per 10,000 units	25,418 per 10,000 units	2014/12/30	200,000,000	2014/08/04
60383	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 N	0.250	25,588 per 10,000 units	25,488 per 10,000 units	2014/12/30	200,000,000	2014/08/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60379	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 B	0.250	25,438 per 10,000 units	25,338 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60391	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 C	0.250	25,668 per 10,000 units	25,568 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60393	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 D	0.250	25,738 per 10,000 units	25,638 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60410	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 E	0.250	26,100 per 20,000 units	26,000 per 20,000 units	2015/01/29	400,000,000	2014/08/04
60518	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 F	0.250	25,818 per 10,000 units	25,718 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60519	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 G	0.250	25,888 per 10,000 units	25,788 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60522	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 H	0.250	25,968 per 10,000 units	25,868 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 I	0.250	26,038 per 10,000 units	25,938 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60539	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 J	0.250	26,188 per 10,000 units	26,088 per 10,000 units	2015/01/29	200,000,000	2014/08/04
60286	UBS AG	China Mobile R Bear CBBC Apr 2015 B	0.260	102.50 per 100 units	100 per 100 units	2015/04/20	50,000,000	2014/08/04
60232	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 C	0.250	8.7	9	2015/03/23	50,000,000	2014/08/04
60216	UBS AG	CSOP A50 ETF R Bear CBBC Dec 2014 A	0.250	11.3	11	2014/12/08	50,000,000	2014/08/04
60215	UBS AG	A50 China R Bull CBBC Apr 2015 B	0.260	8.7	9	2015/04/13	100,000,000	2014/08/04
60207	UBS AG	A50 China R Bear CBBC Dec 2014 A	0.250	10.8	10.5	2014/12/01	50,000,000	2014/08/04
60287	UBS AG	HSBC R Bull CBBC Jun 2015 C	0.250	74.70 per 100 units	77.50 per 100 units	2015/06/29	100,000,000	2014/08/04
60206	UBS AG	HSCEI R Bull CBBC Feb 2015 C	0.250	10,550 per 10,000 units	10,750 per 10,000 units	2015/02/26	100,000,000	2014/08/04
60205	UBS AG	HSCEI R Bear CBBC Jan 2015 C	0.250	13,200 per 10,000 units	13,000 per 10,000 units	2015/01/29	100,000,000	2014/08/04
60494	UBS AG	HSI R Bull CBBC Mar 2015 I	0.250	24,237 per 10,000 units	24,437 per 10,000 units	2015/03/30	300,000,000	2014/08/04
60500	UBS AG	HSI R Bull CBBC Mar 2015 J	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/03/30	300,000,000	2014/08/04
60009	UBS AG	HSI R Bear CBBC Nov 2014 Y	0.260	24,650 per 10,000 units	24,450 per 10,000 units	2014/11/27	300,000,000	2014/08/04
60015	UBS AG	HSI R Bear CBBC Nov 2014 Z	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/11/27	300,000,000	2014/08/04
60540	UBS AG	HSI R Bear CBBC Jan 2015 I	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2015/01/29	300,000,000	2014/08/04
60541	UBS AG	HSI R Bear CBBC Jan 2015 J	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/01/29	300,000,000	2014/08/04
60018	UBS AG	HSI R Bear CBBC Feb 2015 C	0.250	24,417 per 12,000 units	24,217 per 12,000 units	2015/02/26	350,000,000	2014/08/04
60483	UBS AG	HSI R Bear CBBC Feb 2015 D	0.250	26,900 per 10,000 units	26,700 per 10,000 units	2015/02/26	300,000,000	2014/08/04
60493	UBS AG	HSI R Bear CBBC Mar 2015 E	0.250	26,500 per 10,000 units	26,300 per 10,000 units	2015/03/30	300,000,000	2014/08/04
65466 [†]	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2015 I	0.146	8.08	8.38	2015/01/29	200,000,000	2014/08/04
66257 [†]	HK Bank	HSI R Bear CBBC Jan 2015 G	0.086	26,328 per 20,000 units	26,028 per 20,000 units	2015/01/29	280,000,000	2014/08/04
67979 [†]	HK Bank	HSI R Bear CBBC Apr 2015 B	0.109	26,728 per 20,000 units	26,428 per 20,000 units	2015/04/29	280,000,000	2014/08/04
60988	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 J	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/06/29	200,000,000	2014/08/05
60994	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 K	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	200,000,000	2014/08/05
60945	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 F	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60946	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 G	0.250	26,800 per 10,000 units	26,600 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60953	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 H	0.330	27,700 per 10,000 units	27,500 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60777	Credit Suisse AG	China Mobile R Bull CBBC Feb 2015 A	0.250	80.16 per 100 units	82.66 per 100 units	2015/02/27	50,000,000	2014/08/05
60778	Credit Suisse AG	China Mobile R Bear CBBC Feb 2015 A	0.250	97.16 per 100 units	94.66 per 100 units	2015/02/27	50,000,000	2014/08/05
60780	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Feb 2015 A	0.250	8.96	9.26	2015/02/27	50,000,000	2014/08/05
60791	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Feb 2015 B	0.250	8.72	9.02	2015/02/27	50,000,000	2014/08/05
60796	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Feb 2015 C	0.250	8.37	8.67	2015/02/27	50,000,000	2014/08/05
60802	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Feb 2015 A	0.250	10.26	9.96	2015/02/27	50,000,000	2014/08/05
60809	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Feb 2015 B	0.250	10.66	10.36	2015/02/27	50,000,000	2014/08/05
60978	Credit Suisse AG	HSI R Bull CBBC Feb 2015 A	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/02/26	238,000,000	2014/08/05
60979	Credit Suisse AG	HSI R Bull CBBC Feb 2015 D	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/02/26	238,000,000	2014/08/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60983	Credit Suisse AG	HSI R Bull CBBC Feb 2015 E	0.250	24,350 per 10,000 units	24,550 per 10,000 units	2015/02/26	238,000,000	2014/08/05
60985	Credit Suisse AG	HSI R Bull CBBC Feb 2015 F	0.250	24,440 per 10,000 units	24,640 per 10,000 units	2015/02/26	238,000,000	2014/08/05
60729	Credit Suisse AG	HSI R Bear CBBC Nov 2014 H	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/11/27	238,000,000	2014/08/05
60731	Credit Suisse AG	HSI R Bear CBBC Nov 2014 I	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/11/27	238,000,000	2014/08/05
60740	Credit Suisse AG	HSI R Bear CBBC Nov 2014 J	0.250	24,629 per 12,000 units	24,429 per 12,000 units	2014/11/27	238,000,000	2014/08/05
60966	Credit Suisse AG	HSI R Bear CBBC Nov 2014 L	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/11/27	238,000,000	2014/08/05
60973	Credit Suisse AG	HSI R Bear CBBC Nov 2014 M	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	238,000,000	2014/08/05
60738	Credit Suisse AG	HSI R Bear CBBC Dec 2014 O	0.250	25,750 per 10,000 units	25,550 per 10,000 units	2014/12/30	238,000,000	2014/08/05
60957	Credit Suisse AG	HSI R Bear CBBC Dec 2014 P	0.250	25,950 per 10,000 units	25,750 per 10,000 units	2014/12/30	238,000,000	2014/08/05
60958	Credit Suisse AG	HSI R Bear CBBC Dec 2014 Q	0.250	26,150 per 10,000 units	25,950 per 10,000 units	2014/12/30	238,000,000	2014/08/05
60747	Credit Suisse AG	HSI R Bear CBBC Mar 2015 G	0.250	26,633 per 20,000 units	26,333 per 20,000 units	2015/03/30	238,000,000	2014/08/05
60965	Credit Suisse AG	HSI R Bear CBBC Apr 2015 I	0.250	26,900 per 10,000 units	26,700 per 10,000 units	2015/04/29	238,000,000	2014/08/05
60765	Credit Suisse AG	TCH R Bull CBBC Feb 2015 A	0.250	122.36 per 100 units	124.66 per 100 units	2015/02/27	60,000,000	2014/08/05
60773	Credit Suisse AG	TCH R Bear CBBC Feb 2015 A	0.250	147.16 per 100 units	144.66 per 100 units	2015/02/27	60,000,000	2014/08/05
60776	Credit Suisse AG	TCH R Bear CBBC Feb 2015 B	0.250	152 per 100 units	149.30 per 100 units	2015/02/27	60,000,000	2014/08/05
61070	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Apr15D	0.250	9	9.3	2015/04/27	100,000,000	2014/08/05
61077	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr15B	0.250	10.5	10.2	2015/04/27	100,000,000	2014/08/05
61071	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 F	0.250	9	9.3	2015/04/27	100,000,000	2014/08/05
61078	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 15 B	0.250	10.2	9.9	2015/04/27	100,000,000	2014/08/05
61069	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Apr 2015 F	0.250	119.50 per 100 units	122 per 100 units	2015/04/27	200,000,000	2014/08/05
61003	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 L	0.255	27,138 per 20,000 units	26,838 per 20,000 units	2014/11/27	150,000,000	2014/08/05
61007	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 M	0.256	26,838 per 20,000 units	26,538 per 20,000 units	2014/11/27	150,000,000	2014/08/05
60622	HK Bank	BOCL R Bull CBBC Jul 2015 C	0.250	2.85	3.03	2015/07/27	80,000,000	2014/08/05
60616	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 D	0.250	8.8	9.1	2015/04/27	40,000,000	2014/08/05
60620	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 E	0.250	8.98	9.28	2015/04/20	80,000,000	2014/08/05
60612	HK Bank	A50 R Bull CBBC Apr 2015 F	0.250	9.03	9.33	2015/04/27	200,000,000	2014/08/05
60611	HK Bank	A50 R Bull CBBC May 2015 A	0.250	8.83	9.13	2015/05/04	200,000,000	2014/08/05
60681	HK Bank	Galaxy Ent R Bull CBBC Apr 2015 A	0.250	58.27 per 100 units	61.27 per 100 units	2015/04/13	80,000,000	2014/08/05
60573	HK Bank	HSI R Bull CBBC Jan 2015 T	0.250	24,188 per 15,000 units	24,388 per 15,000 units	2015/01/29	100,000,000	2014/08/05
60555	HK Bank	HSI R Bull CBBC Feb 2015 M	0.250	24,088 per 15,000 units	24,288 per 15,000 units	2015/02/26	100,000,000	2014/08/05
60576	HK Bank	HSI R Bear CBBC Apr 2015 D	0.250	26,388 per 15,000 units	26,188 per 15,000 units	2015/04/29	100,000,000	2014/08/05
60599	HK Bank	HSI R Bear CBBC Apr 2015 E	0.250	28,328 per 20,000 units	28,028 per 20,000 units	2015/04/29	400,000,000	2014/08/05
60610	HK Bank	HSI R Bear CBBC May 2015 B	0.250	29,328 per 20,000 units	29,028 per 20,000 units	2015/05/28	400,000,000	2014/08/05
60682	HK Bank	ICBC R Bull CBBC Jul 2015 B	0.250	4.78	5.03	2015/07/27	80,000,000	2014/08/05
60680	HK Bank	PetCh R Bull CBBC Jul 2015 C	0.250	9.78	10.18	2015/07/27	60,000,000	2014/08/05
60628	HK Bank	Ping An R Bull CBBC Jul 2015 A	0.250	57.18 per 100 units	61.18 per 100 units	2015/07/27	60,000,000	2014/08/05
60621	HK Bank	TCH R Bull CBBC Jun 2015 C	0.250	120.88 per 100 units	122.88 per 100 units	2015/06/29	100,000,000	2014/08/05
60673	HK Bank	TCH R Bull CBBC Jun 2015 D	0.250	122.88 per 100 units	124.88 per 100 units	2015/06/22	100,000,000	2014/08/05
60679	HK Bank	TCH R Bull CBBC Jun 2015 E	0.250	109.88 per 100 units	111.88 per 100 units	2015/06/30	100,000,000	2014/08/05
61038	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 I	0.250	24,440 per 10,000 units	24,640 per 10,000 units	2015/03/30	300,000,000	2014/08/05
60853	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 Y	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/03/30	300,000,000	2014/08/05
60867	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 Z	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/03/30	300,000,000	2014/08/05
60860	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 B	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	300,000,000	2014/08/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61030	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 C	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/06/29	300,000,000	2014/08/05
60832	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 U	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/11/27	300,000,000	2014/08/05
61026	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 V	0.250	25,188 per 10,000 units	24,988 per 10,000 units	2014/11/27	300,000,000	2014/08/05
61029	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 W	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	300,000,000	2014/08/05
60824	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Q	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/12/30	300,000,000	2014/08/05
61025	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 R	0.250	26,300 per 10,000 units	26,100 per 10,000 units	2014/12/30	300,000,000	2014/08/05
60823	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 E	0.250	25,650 per 10,000 units	25,450 per 10,000 units	2015/01/29	300,000,000	2014/08/05
61023	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 F	0.250	26,500 per 10,000 units	26,300 per 10,000 units	2015/01/29	300,000,000	2014/08/05
60822	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 B	0.250	26,100 per 10,000 units	25,900 per 10,000 units	2015/02/26	300,000,000	2014/08/05
61021	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 C	0.250	27,000 per 10,000 units	26,800 per 10,000 units	2015/02/26	300,000,000	2014/08/05
60912	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 A	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/01/29	50,000,000	2014/08/05
60913	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 B	0.251	26,050 per 10,000 units	25,850 per 10,000 units	2015/01/29	50,000,000	2014/08/05
60926	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 C	0.250	26,250 per 10,000 units	26,050 per 10,000 units	2015/01/29	50,000,000	2014/08/05
60937	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 D	0.260	26,450 per 10,000 units	26,250 per 10,000 units	2015/01/29	50,000,000	2014/08/05
60939	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 E	0.250	26,650 per 10,000 units	26,450 per 10,000 units	2015/01/29	50,000,000	2014/08/05
60546	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC Mar 2015B	0.250	8.7	9	2015/03/25	80,000,000	2014/08/05
60545	Standard Chartered Bank	CSOP A50 ETF R Bear CBBC Feb 2015A	0.250	10.3	10	2015/02/02	50,000,000	2014/08/05
60554	Standard Chartered Bank	A50 China R Bull CBBC Feb 2015 B	0.250	8.7	9	2015/02/12	80,000,000	2014/08/05
60553	Standard Chartered Bank	A50 China R Bear CBBC Dec 2014 A	0.250	10.3	10	2014/12/01	50,000,000	2014/08/05
60999	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 O	0.250	24,280 per 15,000 units	24,480 per 15,000 units	2015/06/29	100,000,000	2014/08/05
60998	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 L	0.250	25,880 per 15,000 units	25,680 per 15,000 units	2014/12/30	100,000,000	2014/08/05
60699	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 N	0.250	24,188 per 10,000 units	24,288 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60887	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 O	0.250	24,388 per 10,000 units	24,488 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60911	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 T	0.250	24,618 per 10,000 units	24,518 per 10,000 units	2014/11/27	200,000,000	2014/08/05
60704	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 B	0.250	26,118 per 10,000 units	26,018 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60705	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 C	0.250	26,338 per 10,000 units	26,238 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60710	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 D	0.250	26,500 per 20,000 units	26,400 per 20,000 units	2015/02/26	400,000,000	2014/08/05
60715	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 E	0.250	26,638 per 10,000 units	26,538 per 10,000 units	2015/02/26	200,000,000	2014/08/05
60717	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 A	0.250	26,900 per 20,000 units	26,800 per 20,000 units	2015/04/29	400,000,000	2014/08/05
60722	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 B	0.250	27,300 per 20,000 units	27,200 per 20,000 units	2015/04/29	400,000,000	2014/08/05
60883	UBS AG	China Mobile R Bull CBBC Jun 2015 C	0.250	77.50 per 100 units	80 per 100 units	2015/06/29	50,000,000	2014/08/05
61065	UBS AG	Cheung Kong R Bear CBBC Mar 2015 B	0.250	166 per 100 units	160 per 100 units	2015/03/30	50,000,000	2014/08/05
61054	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2014/11/27	300,000,000	2014/08/05
61040	UBS AG	HSI R Bull CBBC Nov 2014 U	0.250	23,850 per 10,000 units	24,050 per 10,000 units	2014/11/27	300,000,000	2014/08/05
61061	UBS AG	HSI R Bull CBBC Dec 2014 D	0.250	24,400 per 10,000 units	24,640 per 10,000 units	2014/12/30	300,000,000	2014/08/05
61044	UBS AG	HSI R Bull CBBC Jan 2015 L	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/01/29	300,000,000	2014/08/05
60882	UBS AG	HSI R Bear CBBC Nov 2014 F	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/11/27	300,000,000	2014/08/05
60977	UBS AG	HSI R Bear CBBC Nov 2014 H	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	300,000,000	2014/08/05
60683	UBS AG	HSI R Bear CBBC Dec 2014 Q	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/12/30	300,000,000	2014/08/05
60876	UBS AG	HSI R Bear CBBC Jan 2015 K	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	300,000,000	2014/08/05
60684	UBS AG	HSI R Bear CBBC Feb 2015 E	0.250	24,629 per 12,000 units	24,429 per 12,000 units	2015/02/26	350,000,000	2014/08/05
60875	UBS AG	HSI R Bear CBBC Apr 2015 A	0.250	25,688 per 20,000 units	25,388 per 20,000 units	2015/04/29	300,000,000	2014/08/05
60873	UBS AG	HSI R Bear CBBC May 2015 B	0.250	26,188 per 20,000 units	25,888 per 20,000 units	2015/05/28	300,000,000	2014/08/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60869	UBS AG	TCH R Bull CBBC Jun 2015 A	0.250	119.50 per 100 units	122 per 100 units	2015/06/22	100,000,000	2014/08/05
61131	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Jun 2015 A	0.250	10,300 per 5,000 units	10,500 per 5,000 units	2015/06/29	100,000,000	2014/08/06
61945	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 L	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	200,000,000	2014/08/06
61965	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 M	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	200,000,000	2014/08/06
61103	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 I	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61112	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 J	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61120	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 K	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61121	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 L	0.250	26,900 per 10,000 units	26,700 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61124	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 M	0.250	27,100 per 10,000 units	26,900 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61125	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 N	0.280	27,400 per 10,000 units	27,200 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61126	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 O	0.350	28,000 per 10,000 units	27,800 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61128	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 P	0.370	28,200 per 10,000 units	28,000 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61807	Credit Suisse AG	HSI R Bull CBBC Apr 2015 B	0.250	23,922 per 20,000 units	24,222 per 20,000 units	2015/04/29	238,000,000	2014/08/06
61840	Credit Suisse AG	HSI R Bull CBBC Apr 2015 C	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/04/29	238,000,000	2014/08/06
61915	Credit Suisse AG	HSI R Bull CBBC Apr 2015 D	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/04/29	238,000,000	2014/08/06
61916	Credit Suisse AG	HSI R Bull CBBC Apr 2015 E	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/04/29	238,000,000	2014/08/06
61917	Credit Suisse AG	HSI R Bull CBBC Apr 2015 F	0.250	24,625 per 10,000 units	24,825 per 10,000 units	2015/04/29	238,000,000	2014/08/06
61235	Credit Suisse AG	HSI R Bear CBBC Dec 2014 R	0.250	24,846 per 12,000 units	24,646 per 12,000 units	2014/12/30	238,000,000	2014/08/06
61242	Credit Suisse AG	HSI R Bear CBBC Dec 2014 S	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/12/30	238,000,000	2014/08/06
61243	Credit Suisse AG	HSI R Bear CBBC Dec 2014 T	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	238,000,000	2014/08/06
61250	Credit Suisse AG	HSI R Bear CBBC Dec 2014 U	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	238,000,000	2014/08/06
61253	Credit Suisse AG	HSI R Bear CBBC Dec 2014 V	0.250	26,050 per 10,000 units	25,850 per 10,000 units	2014/12/30	238,000,000	2014/08/06
61261	Credit Suisse AG	HSI R Bear CBBC Jan 2015 L	0.250	26,250 per 10,000 units	26,050 per 10,000 units	2015/01/29	238,000,000	2014/08/06
61805	Credit Suisse AG	HSI R Bear CBBC Jan 2015 M	0.250	26,500 per 10,000 units	26,300 per 10,000 units	2015/01/29	238,000,000	2014/08/06
61292	Credit Suisse AG	HSI R Bear CBBC Feb 2015 L	0.250	27,000 per 10,000 units	26,800 per 10,000 units	2015/02/26	238,000,000	2014/08/06
61808	Credit Suisse AG	HSI R Bear CBBC Feb 2015 M	0.250	26,350 per 10,000 units	26,150 per 10,000 units	2015/02/26	238,000,000	2014/08/06
61294	Credit Suisse AG	HSI R Bear CBBC Mar 2015 H	0.310	27,500 per 10,000 units	27,300 per 10,000 units	2015/03/30	238,000,000	2014/08/06
61296	Credit Suisse AG	HSI R Bear CBBC Mar 2015 I	0.330	27,700 per 10,000 units	27,500 per 10,000 units	2015/03/30	238,000,000	2014/08/06
61297	Credit Suisse AG	HSI R Bear CBBC Mar 2015 J	0.250	27,366 per 20,000 units	27,066 per 20,000 units	2015/03/30	238,000,000	2014/08/06
61152	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 F	0.250	9.1	9.4	2015/04/22	200,000,000	2014/08/06
61147	HK Bank	A50 R Bull CBBC Apr 2015 G	0.250	8.73	9.03	2015/04/22	200,000,000	2014/08/06
61148	HK Bank	A50 R Bull CBBC Apr 2015 H	0.250	8.93	9.23	2015/04/15	200,000,000	2014/08/06
61140	HK Bank	HSCEI R Bull CBBC Feb 2015 C	0.250	10,688 per 10,000 units	10,888 per 10,000 units	2015/02/26	100,000,000	2014/08/06
61135	HK Bank	HSI R Bull CBBC Feb 2015 N	0.250	24,288 per 15,000 units	24,488 per 15,000 units	2015/02/26	100,000,000	2014/08/06
61138	HK Bank	HSI R Bull CBBC Mar 2015 H	0.250	24,388 per 15,000 units	24,588 per 15,000 units	2015/03/30	100,000,000	2014/08/06
61626	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 J	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/03/30	300,000,000	2014/08/06
61518	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 D	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/04/29	300,000,000	2014/08/06
61492	J P Morgan SP BV	HSI R Bull CBBC May 2015 B	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/05/28	300,000,000	2014/08/06
61517	J P Morgan SP BV	HSI R Bull CBBC May 2015 C	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/05/28	300,000,000	2014/08/06
61514	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 D	0.250	24,250 per 10,000 units	24,450 per 10,000 units	2015/06/29	300,000,000	2014/08/06
61098	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 X	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/11/27	300,000,000	2014/08/06
61099	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 Y	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/11/27	300,000,000	2014/08/06
61102	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 Z	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/11/27	300,000,000	2014/08/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61088	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 S	0.250	25,850 per 10,000 units	25,650 per 10,000 units	2014/12/30	300,000,000	2014/08/06
61097	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 T	0.250	25,750 per 10,000 units	25,550 per 10,000 units	2014/12/30	300,000,000	2014/08/06
61080	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 G	0.250	26,900 per 10,000 units	26,700 per 10,000 units	2015/01/29	300,000,000	2014/08/06
61087	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 H	0.250	26,600 per 10,000 units	26,400 per 10,000 units	2015/01/29	300,000,000	2014/08/06
61084	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 D	0.250	26,800 per 10,000 units	26,600 per 10,000 units	2015/02/26	300,000,000	2014/08/06
61233	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 P	0.250	24,380 per 10,000 units	24,580 per 10,000 units	2015/06/29	100,000,000	2014/08/06
61734	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 Q	0.250	24,580 per 15,000 units	24,780 per 15,000 units	2015/06/29	100,000,000	2014/08/06
61208	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 M	0.250	26,380 per 20,000 units	26,180 per 20,000 units	2014/12/30	100,000,000	2014/08/06
61211	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 N	0.250	26,180 per 15,000 units	25,980 per 15,000 units	2014/12/30	100,000,000	2014/08/06
61230	Standard Chartered Bank	HSI R Bear CBBC Dec 2014 O	0.250	25,080 per 10,000 units	24,880 per 10,000 units	2014/12/30	100,000,000	2014/08/06
61155	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 P	0.250	24,488 per 10,000 units	24,588 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61968	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 Q	0.250	24,568 per 10,000 units	24,668 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61971	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 R	0.250	24,718 per 10,000 units	24,818 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61159	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 U	0.250	24,768 per 10,000 units	24,668 per 10,000 units	2014/11/27	200,000,000	2014/08/06
61177	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 V	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2014/11/27	200,000,000	2014/08/06
61179	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 W	0.250	24,918 per 10,000 units	24,818 per 10,000 units	2014/11/27	200,000,000	2014/08/06
61977	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 X	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/11/27	200,000,000	2014/08/06
61185	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 F	0.250	26,268 per 10,000 units	26,168 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61194	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 G	0.250	26,418 per 10,000 units	26,318 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61206	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 H	0.250	26,568 per 10,000 units	26,468 per 10,000 units	2015/02/26	200,000,000	2014/08/06
61207	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 I	0.250	26,718 per 10,000 units	26,618 per 10,000 units	2015/02/26	200,000,000	2014/08/06
62021	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 J	0.250	26,788 per 10,000 units	26,688 per 10,000 units	2015/02/26	200,000,000	2014/08/06
62044	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 A	0.250	27,018 per 10,000 units	26,918 per 10,000 units	2015/03/30	200,000,000	2014/08/06
61657	UBS AG	CC Bank R Bull CBBC Aug 2015 B	0.250	5.2	5.5	2015/08/24	50,000,000	2014/08/06
61694	UBS AG	Galaxy Ent R Bull CBBC Jun 2015 C	0.250	52.50 per 100 units	55 per 100 units	2015/06/29	40,000,000	2014/08/06
61627	UBS AG	HSCEI R Bull CBBC Mar 2015 B	0.250	10,800 per 10,000 units	11,000 per 10,000 units	2015/03/30	100,000,000	2014/08/06
61789	UBS AG	HSI R Bull CBBC Dec 2014 G	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2014/12/30	300,000,000	2014/08/06
61797	UBS AG	HSI R Bull CBBC Jan 2015 H	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/01/29	300,000,000	2014/08/06
61791	UBS AG	HSI R Bull CBBC Feb 2015 N	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/02/26	300,000,000	2014/08/06
61792	UBS AG	HSI R Bull CBBC Feb 2015 O	0.250	24,350 per 10,000 units	24,550 per 10,000 units	2015/02/26	300,000,000	2014/08/06
61804	UBS AG	HSI R Bull CBBC Feb 2015 P	0.250	24,625 per 10,000 units	24,825 per 10,000 units	2015/02/26	300,000,000	2014/08/06
61788	UBS AG	HSI R Bull CBBC Jun 2015 A	0.250	23,588 per 20,000 units	23,888 per 20,000 units	2015/06/29	300,000,000	2014/08/06
61382	UBS AG	HSI R Bear CBBC Nov 2014 I	0.250	25,950 per 10,000 units	25,750 per 10,000 units	2014/11/27	300,000,000	2014/08/06
61440	UBS AG	HSI R Bear CBBC Nov 2014 K	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/11/27	300,000,000	2014/08/06
61446	UBS AG	HSI R Bear CBBC Nov 2014 L	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/11/27	300,000,000	2014/08/06
61464	UBS AG	HSI R Bear CBBC Nov 2014 M	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/11/27	300,000,000	2014/08/06
61349	UBS AG	HSI R Bear CBBC Dec 2014 R	0.250	26,150 per 10,000 units	25,950 per 10,000 units	2014/12/30	300,000,000	2014/08/06
61432	UBS AG	HSI R Bear CBBC Dec 2014 S	0.250	25,750 per 10,000 units	25,550 per 10,000 units	2014/12/30	300,000,000	2014/08/06
61445	UBS AG	HSI R Bear CBBC Dec 2014 T	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/12/30	300,000,000	2014/08/06
61309	UBS AG	HSI R Bear CBBC Jan 2015 L	0.310	27,700 per 10,000 units	27,500 per 10,000 units	2015/01/29	300,000,000	2014/08/06
61334	UBS AG	HSI R Bear CBBC Jan 2015 M	0.250	27,000 per 10,000 units	26,800 per 10,000 units	2015/01/29	300,000,000	2014/08/06
61344	UBS AG	HSI R Bear CBBC Jan 2015 N	0.250	26,800 per 10,000 units	26,600 per 10,000 units	2015/01/29	300,000,000	2014/08/06
61345	UBS AG	HSI R Bear CBBC Jan 2015 O	0.250	26,400 per 10,000 units	26,200 per 10,000 units	2015/01/29	300,000,000	2014/08/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61298	UBS AG	HSI R Bear CBBC Feb 2015 F	0.250	26,688 per 20,000 units	26,388 per 20,000 units	2015/02/26	300,000,000	2014/08/06
61300	UBS AG	HSI R Bear CBBC Feb 2015 G	0.360	28,200 per 10,000 units	28,000 per 10,000 units	2015/02/26	300,000,000	2014/08/06
61656	UBS AG	ICBC R Bull CBBC Jul 2015 C	0.250	4.75	5	2015/07/13	50,000,000	2014/08/06
61630	UBS AG	Ping An R Bull CBBC Jul 2015 C	0.250	56 per 100 units	60 per 100 units	2015/07/20	50,000,000	2014/08/06
61629	UBS AG	Ping An R Bear CBBC May 2015 A	0.250	84 per 100 units	80 per 100 units	2015/05/04	50,000,000	2014/08/06
61732	UBS AG	SHK Ppt R Bear CBBC Feb 2015 B	0.250	139 per 100 units	135 per 100 units	2015/02/02	40,000,000	2014/08/06
61692	UBS AG	TCH R Bear CBBC Mar 2015 C	0.250	148 per 100 units	145 per 100 units	2015/03/16	100,000,000	2014/08/06
66412 ¹	HK Bank	A50 R Bull CBBC Mar 2015 E	0.168	7.83	8.13	2015/03/09	200,000,000	2014/08/06
62270	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	24,250 per 12,000 units	24,450 per 12,000 units	2014/12/30	238,000,000	2014/08/07
62317	Credit Suisse AG	HSI R Bull CBBC Dec 2014 U	0.250	24,566 per 10,000 units	24,756 per 10,000 units	2014/12/30	238,000,000	2014/08/07
62305	Credit Suisse AG	HSI R Bear CBBC Nov 2014 N	0.250	24,902 per 12,000 units	24,702 per 12,000 units	2014/11/27	238,000,000	2014/08/07
62306	Credit Suisse AG	HSI R Bear CBBC Nov 2014 P	0.250	24,977 per 10,000 units	24,777 per 10,000 units	2014/11/27	238,000,000	2014/08/07
62307	Credit Suisse AG	HSI R Bear CBBC Nov 2014 Q	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/11/27	238,000,000	2014/08/07
62272	Credit Suisse AG	HSI R Bear CBBC Feb 2015 N	0.250	26,650 per 10,000 units	26,450 per 10,000 units	2015/02/26	238,000,000	2014/08/07
62271	Credit Suisse AG	HSI R Bear CBBC Apr 2015 J	0.250	26,450 per 10,000 units	26,250 per 10,000 units	2015/04/29	238,000,000	2014/08/07
62273	Credit Suisse AG	HSI R Bear CBBC Apr 2015 K	0.280	27,300 per 10,000 units	27,100 per 10,000 units	2015/04/29	238,000,000	2014/08/07
62274	Credit Suisse AG	HSI R Bear CBBC Apr 2015 L	0.250	28,077 per 20,000 units	27,777 per 20,000 units	2015/04/29	238,000,000	2014/08/07
62301	Credit Suisse AG	HSI R Bear CBBC Apr 2015 M	0.380	28,300 per 10,000 units	28,100 per 10,000 units	2015/04/29	238,000,000	2014/08/07
62356	Bank of East Asia	A50 China R Bull CBBC Jun 2015 A	0.250	8.2	8.5	2015/06/26	40,000,000	2014/08/07
62361	Bank of East Asia	GCL-Poly Energy R Bull CBBC Dec 2015 A	0.250	1.88	2.18	2015/12/22	200,000,000	2014/08/07
62362	Bank of East Asia	GCL-Poly Energy R Bear CBBC Feb 2015 A	0.250	3.68	3.28	2015/02/26	200,000,000	2014/08/07
62086	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 G	0.252	24,338 per 20,000 units	24,638 per 20,000 units	2014/11/27	150,000,000	2014/08/07
62172	HK Bank	China Mobile R Bear CBBC Oct 2015 A	0.270	111.88 per 100 units	109.38 per 100 units	2015/10/30	60,000,000	2014/08/07
62157	HK Bank	Cheung Kong R Bull CBBC Jun 2015 B	0.250	137.88 per 100 units	143.88 per 100 units	2015/06/30	60,000,000	2014/08/07
62128	HK Bank	HSCEI R Bear CBBC May 2015 B	0.250	12,188 per 10,000 units	11,988 per 10,000 units	2015/05/28	68,000,000	2014/08/07
62149	HK Bank	HSCEI R Bear CBBC May 2015 C	0.250	12,788 per 10,000 units	12,588 per 10,000 units	2015/05/28	68,000,000	2014/08/07
62140	HK Bank	HSCEI R Bear CBBC Aug 2015 A	0.250	13,188 per 10,000 units	12,988 per 10,000 units	2015/08/28	68,000,000	2014/08/07
62126	HK Bank	HSI R Bull CBBC Mar 2015 I	0.250	24,488 per 15,000 units	24,688 per 15,000 units	2015/03/30	100,000,000	2014/08/07
62097	HK Bank	HSI R Bull CBBC Jun 2015 A	0.250	23,528 per 20,000 units	23,828 per 20,000 units	2015/06/29	400,000,000	2014/08/07
62124	HK Bank	HSI R Bear CBBC Feb 2015 K	0.250	25,788 per 15,000 units	25,588 per 15,000 units	2015/02/26	100,000,000	2014/08/07
62125	HK Bank	HSI R Bear CBBC Feb 2015 L	0.250	26,188 per 15,000 units	25,988 per 15,000 units	2015/02/26	100,000,000	2014/08/07
62095	HK Bank	HSI R Bear CBBC Mar 2015 K	0.250	24,988 per 15,000 units	24,788 per 15,000 units	2015/03/30	100,000,000	2014/08/07
62123	HK Bank	HSI R Bear CBBC Mar 2015 L	0.250	26,588 per 15,000 units	26,388 per 15,000 units	2015/03/30	100,000,000	2014/08/07
62098	HK Bank	HSI R Bear CBBC Apr 2015 F	0.250	26,788 per 15,000 units	26,588 per 15,000 units	2015/04/29	100,000,000	2014/08/07
62155	HK Bank	Hutcheon R Bull CBBC Jun 2015 C	0.250	99.18 per 100 units	102.18 per 100 units	2015/06/26	60,000,000	2014/08/07
62154	HK Bank	SHK Ppt R Bull CBBC May 2015 B	0.250	105.88 per 100 units	109.88 per 100 units	2015/05/29	60,000,000	2014/08/07
62336	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 K	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/03/30	300,000,000	2014/08/07
62335	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 E	0.250	24,350 per 10,000 units	24,550 per 10,000 units	2015/04/29	300,000,000	2014/08/07
62328	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 H	0.250	25,088 per 10,000 units	24,888 per 10,000 units	2014/11/27	300,000,000	2014/08/07
62326	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 U	0.250	25,488 per 10,000 units	25,288 per 10,000 units	2014/12/30	300,000,000	2014/08/07
62323	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 I	0.250	25,950 per 10,000 units	25,750 per 10,000 units	2015/01/29	300,000,000	2014/08/07
62303	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 R	0.250	24,480 per 20,000 units	24,680 per 20,000 units	2015/06/29	100,000,000	2014/08/07
62302	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 L	0.250	26,580 per 10,000 units	26,380 per 10,000 units	2015/01/29	100,000,000	2014/08/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62320	UBS AG	China Cinda R Bull CBBC Mar 2015 A	0.250	3.5	3.8	2015/03/23	40,000,000	2014/08/07
62318	UBS AG	HSI R Bull CBBC Jan 2015 K	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/01/29	300,000,000	2014/08/07
62319	UBS AG	HSI R Bull CBBC Feb 2015 Q	0.250	24,556 per 10,000 units	24,756 per 10,000 units	2015/02/26	300,000,000	2014/08/07
62263	UBS AG	HSI R Bear CBBC Dec 2014 U	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	300,000,000	2014/08/07
62187	UBS AG	HSI R Bear CBBC Jan 2015 P	0.250	26,600 per 10,000 units	26,400 per 10,000 units	2015/01/29	300,000,000	2014/08/07
62238	UBS AG	HSI R Bear CBBC Jan 2015 Q	0.250	26,050 per 10,000 units	25,850 per 10,000 units	2015/01/29	300,000,000	2014/08/07
62259	UBS AG	HSI R Bear CBBC Jan 2015 R	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2015/01/29	300,000,000	2014/08/07
62186	UBS AG	HSI R Bear CBBC Feb 2015 H	0.250	27,100 per 10,000 units	26,900 per 10,000 units	2015/02/26	300,000,000	2014/08/07
62254	UBS AG	HSI R Bear CBBC Feb 2015 I	0.250	25,650 per 10,000 units	25,450 per 10,000 units	2015/02/26	300,000,000	2014/08/07
62243	UBS AG	HSI R Bear CBBC Mar 2015 F	0.250	25,850 per 10,000 units	25,650 per 10,000 units	2015/03/30	300,000,000	2014/08/07
62304	UBS AG	HSI R Bear CBBC Mar 2015 G	0.250	24,902 per 12,000 units	24,702 per 12,000 units	2015/03/30	350,000,000	2014/08/07
62424	Credit Suisse AG	HSI R Bull CBBC Nov 2014 D	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2014/11/27	238,000,000	2014/08/08
62420	Credit Suisse AG	HSI R Bull CBBC Nov 2014 O	0.250	24,533 per 12,000 units	24,733 per 12,000 units	2014/11/27	238,000,000	2014/08/08
62490	Credit Suisse AG	HSI R Bull CBBC Nov 2014 X	0.250	24,333 per 10,000 units	24,533 per 10,000 units	2014/11/27	238,000,000	2014/08/08
62515	Credit Suisse AG	HSI R Bear CBBC Jan 2015 N	0.250	24,733 per 10,000 units	24,533 per 10,000 units	2015/01/29	238,000,000	2014/08/08
62535	Credit Suisse AG	HSI R Bear CBBC Jan 2015 O	0.250	24,866 per 10,000 units	24,666 per 10,000 units	2015/01/29	238,000,000	2014/08/08
62566	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2014 A	0.264	25,038 per 20,000 units	24,738 per 20,000 units	2014/12/30	150,000,000	2014/08/08
62394	HK Bank	China Mobile R Bear CBBC Oct 2015 B	0.270	111.88 per 100 units	109.38 per 100 units	2015/10/30	60,000,000	2014/08/08
62384	HK Bank	China Cinda R Bull CBBC Jul 2015 A	0.250	3.8	4.1	2015/07/27	50,000,000	2014/08/08
62379	HK Bank	A50 R Bull CBBC Jan 2015 M	0.250	9.13	9.43	2015/01/26	200,000,000	2014/08/08
62390	HK Bank	GCL-Poly Energy R Bull CBBC Dec 2015 A	0.250	1.35	1.6	2015/12/28	40,000,000	2014/08/08
62419	HK Bank	HSI R Bear CBBC Feb 2015 M	0.250	25,888 per 15,000 units	25,688 per 15,000 units	2015/02/26	100,000,000	2014/08/08
62378	HK Bank	HSI R Bear CBBC Mar 2015 M	0.250	25,088 per 15,000 units	24,888 per 15,000 units	2015/03/30	100,000,000	2014/08/08
62399	HK Bank	HSI R Bear CBBC Apr 2015 G	0.250	25,688 per 15,000 units	25,488 per 15,000 units	2015/04/29	100,000,000	2014/08/08
62412	HK Bank	HSI R Bear CBBC May 2015 C	0.250	25,488 per 15,000 units	25,288 per 15,000 units	2015/05/28	100,000,000	2014/08/08
62414	HK Bank	HSI R Bear CBBC Jul 2015 A	0.250	26,488 per 15,000 units	26,288 per 15,000 units	2015/07/30	100,000,000	2014/08/08
62446	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 L	0.250	23,850 per 10,000 units	24,050 per 10,000 units	2015/03/30	300,000,000	2014/08/08
62449	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 M	0.250	24,188 per 10,000 units	24,388 per 10,000 units	2015/03/30	300,000,000	2014/08/08
62537	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 F	0.250	24,733 per 10,000 units	24,533 per 10,000 units	2014/11/27	300,000,000	2014/08/08
62462	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 I	0.250	24,888 per 10,000 units	24,688 per 10,000 units	2014/11/27	300,000,000	2014/08/08
62459	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 V	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/12/30	300,000,000	2014/08/08
62489	Macquarie Bank Ltd.	HSI R Bear CBBC Dec 2014 B	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	50,000,000	2014/08/08
62429	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Jun15 C	0.250	8.9	9.1	2015/06/29	100,000,000	2014/08/08
62432	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Jun15 D	0.250	8.68	8.88	2015/06/29	100,000,000	2014/08/08
62437	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jun15C	0.250	10.9	10.7	2015/06/29	100,000,000	2014/08/08
62438	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jun15D	0.250	10.73	10.53	2015/06/29	100,000,000	2014/08/08
62445	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jun15E	0.250	11.15	10.95	2015/06/29	100,000,000	2014/08/08
62545	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb15 S	0.250	24,418 per 10,000 units	24,518 per 10,000 units	2015/02/26	200,000,000	2014/08/08
62546	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 Y	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2014/11/27	200,000,000	2014/08/08
62427	UBS AG	HSI R Bull CBBC Jan 2015 M	0.250	24,530 per 12,000 units	24,730 per 12,000 units	2015/01/29	350,000,000	2014/08/08
62426	UBS AG	HSI R Bull CBBC Feb 2015 R	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/02/26	300,000,000	2014/08/08
62554	UBS AG	HSI R Bear CBBC Dec 2014 V	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/12/30	300,000,000	2014/08/08
62549	UBS AG	HSI R Bear CBBC Mar 2015 H	0.250	24,733 per 12,000 units	24,533 per 12,000 units	2015/03/30	350,000,000	2014/08/08

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62556	UBS AG	TCH R Bear CBBC Feb 2015 B	0.250	135.50 per 100 units	132.50 per 100 units	2015/02/09	100,000,000	2014/08/08
62678	Credit Suisse AG	HKEx R Bull CBBC Feb 2015 B	0.250	165.20 per 100 units	170.20 per 100 units	2015/02/27	50,000,000	2014/08/11
62681	Credit Suisse AG	HKEx R Bull CBBC Feb 2015 C	0.250	152.40 per 100 units	157.40 per 100 units	2015/02/27	50,000,000	2014/08/11
62680	Credit Suisse AG	HKEx R Bull CBBC Mar 2015 A	0.250	158.20 per 100 units	163.20 per 100 units	2015/03/31	50,000,000	2014/08/11
62684	Credit Suisse AG	HKEx R Bull CBBC Mar 2015 B	0.320	143.90 per 100 units	148.90 per 100 units	2015/03/31	50,000,000	2014/08/11
62688	Credit Suisse AG	HKEx R Bear CBBC Dec 2014 A	0.250	196.80 per 100 units	191.80 per 100 units	2014/12/30	50,000,000	2014/08/11
62700	Credit Suisse AG	HSI R Bull CBBC Feb 2015 G	0.250	24,415 per 12,000 units	24,615 per 12,000 units	2015/02/26	238,000,000	2014/08/11
62674	Credit Suisse AG	HSI R Bear CBBC Feb 2015 O	0.250	24,755 per 12,000 units	24,555 per 12,000 units	2015/02/26	238,000,000	2014/08/11
62689	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	24,816 per 10,000 units	24,616 per 10,000 units	2015/02/26	238,000,000	2014/08/11
62698	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/02/26	238,000,000	2014/08/11
62749	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15A	0.250	80.50 per 100 units	83 per 100 units	2015/06/29	80,000,000	2014/08/11
62751	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15E	0.250	89.50 per 100 units	87 per 100 units	2015/04/20	80,000,000	2014/08/11
62752	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15F	0.250	98.50 per 100 units	96 per 100 units	2015/04/20	80,000,000	2014/08/11
62730	Citigroup Global Mkt H Inc.	COVS R Bull CBBC Sep 2015 A	0.350	20	21	2015/09/07	50,000,000	2014/08/11
62731	Citigroup Global Mkt H Inc.	COVS R Bull CBBC Sep 2015 B	0.250	21	22	2015/09/07	50,000,000	2014/08/11
62753	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC May15A	0.250	9.3	9.6	2015/05/11	100,000,000	2014/08/11
62755	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC May 15 A	0.250	9.3	9.6	2015/05/11	100,000,000	2014/08/11
62733	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 A	0.270	148 per 100 units	153 per 100 units	2015/05/11	50,000,000	2014/08/11
62736	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 B	0.250	153 per 100 units	158 per 100 units	2015/05/11	50,000,000	2014/08/11
62737	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 C	0.250	158 per 100 units	163 per 100 units	2015/05/11	50,000,000	2014/08/11
62738	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 D	0.250	163 per 100 units	168 per 100 units	2015/05/11	50,000,000	2014/08/11
62742	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Mar 2015 A	0.380	210 per 100 units	205 per 100 units	2015/03/23	50,000,000	2014/08/11
62748	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Mar 2015 B	0.410	215 per 100 units	210 per 100 units	2015/03/23	50,000,000	2014/08/11
62725	Citigroup Global Mkt H Inc.	Kingsoft R Bear CBBC Mar 15 F	0.410	27	25	2015/03/23	40,000,000	2014/08/11
62713	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 P	0.250	24,088 per 10,000 units	24,288 per 10,000 units	2015/02/26	300,000,000	2014/08/11
62716	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 Q	0.250	24,388 per 10,000 units	24,588 per 10,000 units	2015/02/26	300,000,000	2014/08/11
62702	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 J	0.250	25,288 per 10,000 units	25,088 per 10,000 units	2014/11/27	300,000,000	2014/08/11
62712	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 M	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/11/27	300,000,000	2014/08/11
62646	Standard Chartered Bank	Minsheng Bank R Bull CBBC Apr 15 A	0.250	6.5	7	2015/04/14	50,000,000	2014/08/11
62648	Standard Chartered Bank	Minsheng Bank R Bear CBBC Apr 15 A	0.250	9.5	9	2015/04/14	50,000,000	2014/08/11
62629	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 M	0.250	24,980 per 15,000 units	24,780 per 15,000 units	2015/01/29	100,000,000	2014/08/11
62638	Standard Chartered Bank	Ping An R Bull CBBC Mar 2015 A	0.250	55.30 per 100 units	58.80 per 100 units	2015/03/18	50,000,000	2014/08/11
62644	Standard Chartered Bank	Ping An R Bear CBBC Mar 2015 A	0.250	78.80 per 100 units	74.80 per 100 units	2015/03/18	50,000,000	2014/08/11
69757 ^a	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2015 D	0.115	8.38	8.68	2015/03/16	200,000,000	2014/08/11
62806	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 N	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	200,000,000	2014/08/12
62817	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 O	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/06/29	200,000,000	2014/08/12
62818	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Q	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2015/02/26	200,000,000	2014/08/12
62823	Credit Suisse AG	HSI R Bull CBBC Jan 2015 K	0.250	24,366 per 10,000 units	24,566 per 10,000 units	2015/01/29	238,000,000	2014/08/12
62824	Credit Suisse AG	HSI R Bull CBBC Jan 2015 L	0.250	24,448 per 10,000 units	24,648 per 10,000 units	2015/01/29	238,000,000	2014/08/12
62820	Credit Suisse AG	HSI R Bear CBBC Nov 2014 R	0.250	24,773 per 10,000 units	24,573 per 10,000 units	2014/11/27	238,000,000	2014/08/12
62848	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Jul 2015 A	0.250	59 per 100 units	63 per 100 units	2015/07/20	80,000,000	2014/08/12
62862	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Mar 2015 B	0.250	75 per 100 units	71 per 100 units	2015/03/23	80,000,000	2014/08/12
62866	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Mar 2015 C	0.250	78 per 100 units	74 per 100 units	2015/03/23	80,000,000	2014/08/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62803	HK Bank	COVS R Bull CBBC Apr 2015 A	0.300	20.58	21.58	2015/04/30	50,000,000	2014/08/12
62788	HK Bank	CUni R Bull CBBC Jun 2015 A	0.250	11.48	12.48	2015/06/29	50,000,000	2014/08/12
62787	HK Bank	CUni R Bull CBBC Jul 2015 A	0.340	10.28	11.28	2015/07/27	50,000,000	2014/08/12
62758	HK Bank	HKEEx R Bull CBBC May 2015 B	0.320	142.88 per 100 units	147.88 per 100 units	2015/05/29	60,000,000	2014/08/12
62761	HK Bank	HKEEx R Bull CBBC May 2015 C	0.250	156.88 per 100 units	161.88 per 100 units	2015/05/29	60,000,000	2014/08/12
62756	HK Bank	HKEEx R Bull CBBC Jun 2015 A	0.390	135.88 per 100 units	140.88 per 100 units	2015/06/29	60,000,000	2014/08/12
62760	HK Bank	HKEEx R Bull CBBC Jun 2015 B	0.250	149.88 per 100 units	154.88 per 100 units	2015/06/29	60,000,000	2014/08/12
62762	HK Bank	HKEEx R Bull CBBC Jul 2015 A	0.250	164.88 per 100 units	169.88 per 100 units	2015/07/27	60,000,000	2014/08/12
62765	HK Bank	HKEEx R Bear CBBC May 2015 A	0.280	200.88 per 100 units	195.88 per 100 units	2015/05/29	50,000,000	2014/08/12
62776	HK Bank	HKEEx R Bear CBBC Jun 2015 A	0.350	207.88 per 100 units	202.88 per 100 units	2015/06/29	50,000,000	2014/08/12
62764	HK Bank	HKEEx R Bear CBBC Jul 2015 A	0.250	193.88 per 100 units	188.88 per 100 units	2015/07/27	50,000,000	2014/08/12
62778	HK Bank	HKEEx R Bear CBBC Jul 2015 B	0.420	214.88 per 100 units	209.88 per 100 units	2015/07/27	50,000,000	2014/08/12
62790	HK Bank	TCH R Bull CBBC Jul 2015 C	0.250	124.88 per 100 units	126.88 per 100 units	2015/07/27	100,000,000	2014/08/12
62781	HK Bank	Wharf Holdings R Bull CBBC Jun 2015 A	0.250	52.68 per 100 units	55.68 per 100 units	2015/06/29	40,000,000	2014/08/12
62780	HK Bank	Wharf Holdings R Bull CBBC Jul 2015 A	0.250	47 per 100 units	50 per 100 units	2015/07/27	40,000,000	2014/08/12
62814	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 S	0.250	24,080 per 12,000 units	24,280 per 12,000 units	2015/06/29	100,000,000	2014/08/12
62807	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 N	0.250	26,080 per 20,000 units	25,880 per 20,000 units	2015/01/29	100,000,000	2014/08/12
62808	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 O	0.250	26,280 per 10,000 units	26,080 per 10,000 units	2015/01/29	100,000,000	2014/08/12
62833	UBS AG	HKEEx R Bull CBBC Sep 2015 C	0.310	144 per 100 units	150 per 100 units	2015/09/21	100,000,000	2014/08/12
62831	UBS AG	HSI R Bull CBBC Nov 2014 I	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2014/11/27	300,000,000	2014/08/12
62842	UBS AG	HSI R Bull CBBC Mar 2015 L	0.250	24,448 per 12,000 units	24,648 per 12,000 units	2015/03/30	350,000,000	2014/08/12
62825	UBS AG	HSI R Bear CBBC Nov 2014 O	0.250	24,773 per 12,000 units	24,573 per 12,000 units	2014/11/27	350,000,000	2014/08/12
62903	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 P	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/06/29	200,000,000	2014/08/13
62969	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 R	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/02/26	200,000,000	2014/08/13
62909	Credit Suisse AG	HSI R Bull CBBC Apr 2015 G	0.250	24,448 per 12,000 units	24,648 per 12,000 units	2015/04/29	238,000,000	2014/08/13
62930	Credit Suisse AG	HSI R Bull CBBC Apr 2015 H	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/04/29	238,000,000	2014/08/13
62931	Credit Suisse AG	HSI R Bull CBBC Apr 2015 I	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/04/29	238,000,000	2014/08/13
62940	Credit Suisse AG	HSI R Bull CBBC Apr 2015 J	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/04/29	238,000,000	2014/08/13
63013	Credit Suisse AG	HSI R Bear CBBC Nov 2014 F	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	238,000,000	2014/08/13
62994	Credit Suisse AG	HSI R Bear CBBC Nov 2014 S	0.250	24,698 per 10,000 units	24,498 per 10,000 units	2014/11/27	238,000,000	2014/08/13
63009	Credit Suisse AG	HSI R Bear CBBC Nov 2014 T	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/11/27	238,000,000	2014/08/13
63047	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Apr 2015 A	0.250	91.60 per 100 units	88.80 per 100 units	2015/04/20	80,000,000	2014/08/13
63048	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Apr 2015 B	0.250	94.60 per 100 units	91.80 per 100 units	2015/04/20	80,000,000	2014/08/13
63049	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jun 2015 A	0.250	121.50 per 100 units	124 per 100 units	2015/06/15	200,000,000	2014/08/13
63051	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jun 2015 B	0.250	123.50 per 100 units	126 per 100 units	2015/06/15	200,000,000	2014/08/13
63053	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jun 2015 C	0.250	125.50 per 100 units	128 per 100 units	2015/06/15	200,000,000	2014/08/13
63054	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 A	0.250	138.50 per 100 units	136 per 100 units	2015/04/20	200,000,000	2014/08/13
63060	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 B	0.250	140.50 per 100 units	138 per 100 units	2015/04/20	200,000,000	2014/08/13
63061	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 C	0.250	142.50 per 100 units	140 per 100 units	2015/04/20	200,000,000	2014/08/13
63068	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 D	0.250	144.50 per 100 units	142 per 100 units	2015/04/20	200,000,000	2014/08/13
62887	HK Bank	Minsheng Bank R Bull CBBC May 2015 A	0.250	6.98	7.48	2015/05/04	40,000,000	2014/08/13
62877	HK Bank	CSOP A50 ETF R Bear CBBC Oct 2015 A	0.250	11.98	11.68	2015/10/30	40,000,000	2014/08/13
62895	HK Bank	HSCEI R Bear CBBC Jul 2015 A	0.250	11,388 per 10,000 units	11,188 per 10,000 units	2015/07/30	68,000,000	2014/08/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62889	HK Bank	HSI R Bear CBBC Apr 2015 H	0.250	24,888 per 15,000 units	24,688 per 15,000 units	2015/04/29	100,000,000	2014/08/13
62897	HK Bank	TCH R Bull CBBC Apr 2015 B	0.250	126.88 per 100 units	128.88 per 100 units	2015/04/20	100,000,000	2014/08/13
62901	HK Bank	TCH R Bull CBBC Apr 2015 C	0.250	128.88 per 100 units	130.88 per 100 units	2015/04/13	100,000,000	2014/08/13
62867	HK Bank	TCH R Bear CBBC Apr 2015 C	0.250	153.88 per 100 units	151.88 per 100 units	2015/04/23	100,000,000	2014/08/13
63015	J P Morgan SP BV	HSI R Bull CBBC Mar 2015 I	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/03/30	300,000,000	2014/08/13
63020	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 E	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/06/29	300,000,000	2014/08/13
63014	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 C	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/11/27	300,000,000	2014/08/13
62948	Standard Chartered Bank	HKEx R Bull CBBC Apr 2015 A	0.250	165 per 100 units	170 per 100 units	2015/04/20	50,000,000	2014/08/13
62957	Standard Chartered Bank	HKEx R Bull CBBC Jun 2015 A	0.250	157 per 100 units	162 per 100 units	2015/06/01	50,000,000	2014/08/13
62960	Standard Chartered Bank	TCH R Bull CBBC Jun 2015 B	0.250	122.30 per 100 units	124.80 per 100 units	2015/06/23	80,000,000	2014/08/13
62965	Standard Chartered Bank	TCH R Bear CBBC Jan 2015 A	0.250	151.30 per 100 units	148.80 per 100 units	2015/01/19	80,000,000	2014/08/13
62993	UBS AG	HKEx R Bull CBBC Sep 2015 D	0.250	160 per 100 units	165 per 100 units	2015/09/07	100,000,000	2014/08/13
62988	UBS AG	HKEx R Bull CBBC Oct 2015 A	0.250	150 per 100 units	155 per 100 units	2015/10/05	100,000,000	2014/08/13
62966	UBS AG	HSI R Bull CBBC Feb 2015 S	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/02/26	300,000,000	2014/08/13
62968	UBS AG	HSI R Bull CBBC Feb 2015 T	0.250	24,350 per 10,000 units	24,550 per 10,000 units	2015/02/26	300,000,000	2014/08/13
62972	UBS AG	HSI R Bear CBBC Nov 2014 P	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	300,000,000	2014/08/13
62982	UBS AG	HSI R Bear CBBC Nov 2014 Q	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/11/27	300,000,000	2014/08/13
62971	UBS AG	TCH R Bull CBBC Jul 2015 C	0.250	125 per 100 units	128 per 100 units	2015/07/06	100,000,000	2014/08/13
63108	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 S	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2015/02/26	200,000,000	2014/08/14
63083	Credit Suisse AG	HSI R Bull CBBC Feb 2015 J	0.250	24,384 per 12,000 units	24,584 per 12,000 units	2015/02/26	238,000,000	2014/08/14
63088	Credit Suisse AG	HSI R Bull CBBC Feb 2015 K	0.250	24,250 per 10,000 units	24,450 per 10,000 units	2015/02/26	238,000,000	2014/08/14
63096	Credit Suisse AG	HSI R Bear CBBC Nov 2014 O	0.250	24,588 per 10,000 units	24,388 per 10,000 units	2014/11/27	238,000,000	2014/08/14
63164	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 H	0.285	23,288 per 20,000 units	23,588 per 20,000 units	2014/11/27	150,000,000	2014/08/14
63171	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 I	0.261	23,088 per 20,000 units	23,388 per 20,000 units	2014/11/27	150,000,000	2014/08/14
63153	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 A	0.260	24,738 per 20,000 units	24,438 per 20,000 units	2015/01/29	150,000,000	2014/08/14
63069	J P Morgan SP BV	HSI R Bull CBBC Feb 2015 R	0.250	24,350 per 10,000 units	24,550 per 10,000 units	2015/02/26	300,000,000	2014/08/14
63135	J P Morgan SP BV	HSI R Bull CBBC May 2015 D	0.250	23,888 per 10,000 units	24,088 per 10,000 units	2015/05/28	300,000,000	2014/08/14
63126	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 B	0.250	24,588 per 10,000 units	24,388 per 10,000 units	2014/11/27	300,000,000	2014/08/14
63125	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 W	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/12/30	300,000,000	2014/08/14
63118	Macquarie Bank Ltd.	HSI R Bear CBBC Dec 2014 C	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/12/30	50,000,000	2014/08/14
63082	UBS AG	HSI R Bull CBBC Jan 2015 Q	0.250	24,384 per 10,000 units	24,584 per 10,000 units	2015/01/29	300,000,000	2014/08/14
63072	UBS AG	HSI R Bull CBBC Mar 2015 M	0.250	24,250 per 10,000 units	24,450 per 10,000 units	2015/03/30	300,000,000	2014/08/14
63123	UBS AG	HSI R Bear CBBC Nov 2014 R	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/11/27	300,000,000	2014/08/14
63124	UBS AG	HSI R Bear CBBC Nov 2014 S	0.250	24,588 per 10,000 units	24,388 per 10,000 units	2014/11/27	300,000,000	2014/08/14
63237	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Q	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	200,000,000	2014/08/15
63246	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 R	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/06/29	200,000,000	2014/08/15
63202	Credit Suisse AG	HSI R Bull CBBC Mar 2015 S	0.250	24,187 per 10,000 units	24,387 per 10,000 units	2015/03/30	238,000,000	2014/08/15
63206	Credit Suisse AG	HSI R Bull CBBC Mar 2015 T	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/03/30	238,000,000	2014/08/15
63207	Credit Suisse AG	HSI R Bull CBBC Mar 2015 U	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/03/30	238,000,000	2014/08/15
63294	Credit Suisse AG	HSI R Bear CBBC Dec 2014 W	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/12/30	238,000,000	2014/08/15
63297	Credit Suisse AG	HSI R Bear CBBC Dec 2014 X	0.250	24,532 per 10,000 units	24,332 per 10,000 units	2014/12/30	238,000,000	2014/08/15
63197	HK Bank	Galaxy Ent R Bear CBBC Jun 2015 A	0.250	67 per 100 units	64 per 100 units	2015/06/29	60,000,000	2014/08/15
63181	HK Bank	HSI R Bear CBBC Feb 2015 N	0.250	24,588 per 15,000 units	24,388 per 15,000 units	2015/02/26	100,000,000	2014/08/15

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63186	HK Bank	HSI R Bear CBBC Jun 2015 A	0.250	24,688 per 15,000 units	24,488 per 15,000 units	2015/06/29	100,000,000	2014/08/15
63199	HK Bank	Sands China R Bear CBBC Jul 2015 A	0.250	60.28 per 100 units	57.28 per 100 units	2015/07/29	60,000,000	2014/08/15
63188	HK Bank	TCH R Bear CBBC Feb 2015 B	0.250	133.88 per 100 units	131.88 per 100 units	2015/02/23	100,000,000	2014/08/15
63192	HK Bank	TCH R Bear CBBC Jun 2015 B	0.250	140.88 per 100 units	138.88 per 100 units	2015/06/29	100,000,000	2014/08/15
63190	HK Bank	TCH R Bear CBBC Jul 2015 A	0.250	135.88 per 100 units	133.88 per 100 units	2015/07/27	100,000,000	2014/08/15
63305	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 F	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/04/29	300,000,000	2014/08/15
63201	J P Morgan SP BV	HSI R Bull CBBC May 2015 E	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/05/28	300,000,000	2014/08/15
63200	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 F	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	300,000,000	2014/08/15
63301	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 E	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/11/27	300,000,000	2014/08/15
63300	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 X	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/12/30	300,000,000	2014/08/15
63250	Standard Chartered Bank	AIA R Bear CBBC Jun 2015 A	0.250	44 per 100 units	43 per 100 units	2015/06/29	80,000,000	2014/08/15
63256	Standard Chartered Bank	China Life R Bear CBBC Jun 2015 A	0.250	25	23.8	2015/06/01	50,000,000	2014/08/15
63262	Standard Chartered Bank	Galaxy Ent R Bear CBBC Mar 2015 A	0.250	68 per 100 units	65 per 100 units	2015/03/30	50,000,000	2014/08/15
63248	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 P	0.250	24,880 per 12,000 units	24,680 per 12,000 units	2015/01/29	100,000,000	2014/08/15
63254	Standard Chartered Bank	SHK Ppt R Bear CBBC Aug 2015 A	0.250	132 per 100 units	128 per 100 units	2015/08/03	50,000,000	2014/08/15
63217	UBS AG	HSI R Bull CBBC Jan 2015 P	0.250	24,187 per 10,000 units	24,387 per 10,000 units	2015/01/29	300,000,000	2014/08/15
63227	UBS AG	HSI R Bull CBBC Jan 2015 R	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/01/29	300,000,000	2014/08/15
63265	UBS AG	HSI R Bear CBBC Nov 2014 D	0.250	24,540 per 10,000 units	24,340 per 10,000 units	2014/11/27	300,000,000	2014/08/15
63293	UBS AG	HSI R Bear CBBC Nov 2014 T	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/11/27	300,000,000	2014/08/15
63209	UBS AG	TCH R Bull CBBC Jul 2015 D	0.250	117.50 per 100 units	120 per 100 units	2015/07/20	100,000,000	2014/08/15
63389	Credit Suisse AG	HSI R Bull CBBC Feb 2015 M	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/02/26	238,000,000	2014/08/18
63400	Credit Suisse AG	HSI R Bull CBBC Feb 2015 U	0.250	24,446 per 10,000 units	24,646 per 10,000 units	2015/02/26	238,000,000	2014/08/18
63321	Credit Suisse AG	HSI R Bear CBBC Nov 2014 A	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/11/27	238,000,000	2014/08/18
63322	Credit Suisse AG	HSI R Bear CBBC Nov 2014 D	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/11/27	238,000,000	2014/08/18
63324	Credit Suisse AG	HSI R Bear CBBC Nov 2014 E	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/11/27	238,000,000	2014/08/18
63316	Credit Suisse AG	HSI R Bear CBBC Nov 2014 U	0.250	24,550 per 12,000 units	24,350 per 12,000 units	2014/11/27	238,000,000	2014/08/18
63460	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Mar 2015 C	0.250	9.58	9.98	2015/03/02	50,000,000	2014/08/18
63440	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC Mar 2015 C	0.250	11.88	11.48	2015/03/02	50,000,000	2014/08/18
63419	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 J	0.260	24,038 per 20,000 units	24,338 per 20,000 units	2014/11/27	150,000,000	2014/08/18
63427	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2014 K	0.266	24,338 per 20,000 units	24,638 per 20,000 units	2014/11/27	150,000,000	2014/08/18
63381	J P Morgan SP BV	HSI R Bull CBBC May 2015 F	0.250	24,250 per 10,000 units	24,450 per 10,000 units	2015/05/28	300,000,000	2014/08/18
63362	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 G	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/06/29	300,000,000	2014/08/18
63384	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 H	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	300,000,000	2014/08/18
63354	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 L	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/11/27	300,000,000	2014/08/18
63348	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Y	0.250	24,988 per 10,000 units	24,788 per 10,000 units	2014/12/30	300,000,000	2014/08/18
63341	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 J	0.250	25,688 per 10,000 units	25,488 per 10,000 units	2015/01/29	300,000,000	2014/08/18
63386	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 T	0.250	24,180 per 20,000 units	24,380 per 20,000 units	2015/06/29	100,000,000	2014/08/18
63311	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 J	0.250	24,780 per 10,000 units	24,580 per 10,000 units	2015/02/26	100,000,000	2014/08/18
63313	Standard Chartered Bank	Sands China R Bear CBBC Jan 2015 A	0.250	61.68 per 100 units	58.88 per 100 units	2015/01/16	50,000,000	2014/08/18
63405	UBS AG	HSI R Bull CBBC Dec 2014 K	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2014/12/30	300,000,000	2014/08/18
63414	UBS AG	HSI R Bull CBBC Feb 2015 U	0.250	24,446 per 10,000 units	24,646 per 10,000 units	2015/02/26	300,000,000	2014/08/18
63335	UBS AG	HSI R Bear CBBC Nov 2014 J	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/11/27	300,000,000	2014/08/18
63332	UBS AG	HSI R Bear CBBC Nov 2014 U	0.250	25,188 per 20,000 units	24,888 per 20,000 units	2014/11/27	300,000,000	2014/08/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63338	UBS AG	HSI R Bear CBBC Nov 2014 V	0.250	24,532 per 12,000 units	24,332 per 12,000 units	2014/11/27	350,000,000	2014/08/18
63491	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 S	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	200,000,000	2014/08/19
63534	Credit Suisse AG	HKEEx R Bull CBBC Mar 2015 D	0.250	170.20 per 100 units	175.20 per 100 units	2015/03/31	60,000,000	2014/08/19
63497	Credit Suisse AG	HKEEx R Bear CBBC Jan 2015 E	0.310	208.60 per 100 units	203.60 per 100 units	2015/01/30	60,000,000	2014/08/19
63516	Credit Suisse AG	HSI R Bull CBBC Jan 2015 K	0.250	24,350 per 10,000 units	24,550 per 10,000 units	2015/01/29	238,000,000	2014/08/19
63527	Credit Suisse AG	HSI R Bull CBBC Jan 2015 L	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/01/29	238,000,000	2014/08/19
63566	Credit Suisse AG	HSI R Bull CBBC Jan 2015 Z	0.250	24,489 per 10,000 units	24,689 per 10,000 units	2015/01/29	238,000,000	2014/08/19
63565	Credit Suisse AG	HSI R Bear CBBC Jan 2015 P	0.250	24,816 per 12,000 units	24,616 per 12,000 units	2015/01/29	238,000,000	2014/08/19
63569	Credit Suisse AG	HSI R Bear CBBC Jan 2015 Q	0.250	24,890 per 10,000 units	24,690 per 10,000 units	2015/01/29	238,000,000	2014/08/19
63512	Credit Suisse AG	TCH R Bull CBBC Mar 2015 A	0.250	126.46 per 100 units	128.96 per 100 units	2015/03/31	60,000,000	2014/08/19
63513	Credit Suisse AG	TCH R Bear CBBC Jan 2015 A	0.270	157.80 per 100 units	155.30 per 100 units	2015/01/30	60,000,000	2014/08/19
63586	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Jun 2015 A	0.250	39.88 per 100 units	40.88 per 100 units	2015/06/08	100,000,000	2014/08/19
63592	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Mar 15 A	0.250	9	9.3	2015/03/16	100,000,000	2014/08/19
63593	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Mar 15 A	0.250	10.5	10.2	2015/03/16	100,000,000	2014/08/19
63595	Citigroup Global Mkt H Inc.	HKEEx R Bull CBBC May 2015 E	0.250	168 per 100 units	173 per 100 units	2015/05/11	50,000,000	2014/08/19
63463	HK Bank	Cheung Kong R Bear CBBC Jun 2015 A	0.250	158.48 per 100 units	152.48 per 100 units	2015/06/29	50,000,000	2014/08/19
63471	HK Bank	China Life R Bull CBBC Jul 2015 B	0.280	20.68	21.68	2015/07/27	60,000,000	2014/08/19
63470	HK Bank	CNOOC R Bull CBBC Oct 2015 B	0.250	12.88	13.68	2015/10/26	60,000,000	2014/08/19
63466	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 G	0.250	9.1	9.4	2015/04/27	200,000,000	2014/08/19
63465	HK Bank	A50 R Bull CBBC Jun 2015 A	0.250	9.03	9.33	2015/06/29	200,000,000	2014/08/19
63462	HK Bank	HSI R Bull CBBC Mar 2015 J	0.250	24,388 per 15,000 units	24,588 per 15,000 units	2015/03/30	100,000,000	2014/08/19
63479	HK Bank	HSI R Bull CBBC Jun 2015 B	0.250	24,188 per 15,000 units	24,388 per 15,000 units	2015/06/29	100,000,000	2014/08/19
63477	HK Bank	HSI R Bull CBBC Jul 2015 A	0.250	24,088 per 15,000 units	24,288 per 15,000 units	2015/07/30	100,000,000	2014/08/19
63597	J P Morgan SP BV	HSI R Bull CBBC May 2015 G	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/05/28	300,000,000	2014/08/19
63598	J P Morgan SP BV	HSI R Bull CBBC May 2015 H	0.250	24,288 per 10,000 units	24,488 per 10,000 units	2015/05/28	300,000,000	2014/08/19
63609	J P Morgan SP BV	HSI R Bull CBBC May 2015 I	0.250	24,488 per 10,000 units	24,688 per 10,000 units	2015/05/28	300,000,000	2014/08/19
63596	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Z	0.250	25,388 per 10,000 units	25,188 per 10,000 units	2014/12/30	300,000,000	2014/08/19
63585	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 B	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/01/29	50,000,000	2014/08/19
63529	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 K	0.250	25,530 per 10,000 units	25,330 per 10,000 units	2015/02/26	100,000,000	2014/08/19
63530	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 L	0.250	25,330 per 10,000 units	25,130 per 10,000 units	2015/02/26	100,000,000	2014/08/19
63532	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 D	0.250	9.05	9.35	2015/03/30	100,000,000	2014/08/19
63533	UBS AG	A50 China R Bull CBBC Mar 2015 H	0.250	8.95	9.25	2015/03/16	100,000,000	2014/08/19
63531	UBS AG	HKEEx R Bull CBBC Sep 2015 E	0.250	165.80 per 100 units	170.80 per 100 units	2015/09/21	100,000,000	2014/08/19
63494	UBS AG	HSI R Bull CBBC Feb 2015 V	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/02/26	300,000,000	2014/08/19
63572	UBS AG	HSI R Bull CBBC Mar 2015 O	0.250	24,489 per 10,000 units	24,689 per 10,000 units	2015/03/30	300,000,000	2014/08/19
63558	UBS AG	HSI R Bear CBBC Dec 2014 W	0.250	24,816 per 12,000 units	24,616 per 12,000 units	2014/12/30	350,000,000	2014/08/19
63836	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 T	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	200,000,000	2014/08/20
63844	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 U	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	200,000,000	2014/08/20
63750	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 T	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/02/26	200,000,000	2014/08/20
63758	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 U	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/02/26	200,000,000	2014/08/20
63834	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 V	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/02/26	200,000,000	2014/08/20
63817	Credit Suisse AG	HSI R Bull CBBC Mar 2015 V	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/03/30	238,000,000	2014/08/20
63832	Credit Suisse AG	HSI R Bull CBBC Mar 2015 W	0.250	24,690 per 10,000 units	24,890 per 10,000 units	2015/03/30	238,000,000	2014/08/20

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63810	Credit Suisse AG	HSI R Bear CBBC Nov 2014 V	0.250	24,831 per 10,000 units	24,631 per 10,000 units	2014/11/27	238,000,000	2014/08/20
63811	Credit Suisse AG	HSI R Bear CBBC Nov 2014 W	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/11/27	238,000,000	2014/08/20
63813	Credit Suisse AG	HSI R Bear CBBC Nov 2014 X	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/11/27	238,000,000	2014/08/20
63957	Citigroup Global Mkt H Inc.	Cheung Kong R Bull CBBC Jun15A	0.250	132 per 100 units	138 per 100 units	2015/06/15	60,000,000	2014/08/20
63958	Citigroup Global Mkt H Inc.	Cheung Kong R Bear CBBC Apr15A	0.250	157.80 per 100 units	151.80 per 100 units	2015/04/20	60,000,000	2014/08/20
63955	Citigroup Global Mkt H Inc.	CNOOC R Bull CBBC Jul 2015 A	0.250	13	13.8	2015/07/13	50,000,000	2014/08/20
63956	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Jun15A	0.250	7.08	7.38	2015/06/15	60,000,000	2014/08/20
63945	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Jul15A	0.250	47.38 per 100 units	50.38 per 100 units	2015/07/13	60,000,000	2014/08/20
63947	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC May15A	0.250	59.80 per 100 units	56.80 per 100 units	2015/05/18	60,000,000	2014/08/20
63943	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2014 N	0.251	25,588 per 20,000 units	25,288 per 20,000 units	2014/11/27	150,000,000	2014/08/20
63614	HK Bank	COVS R Bear CBBC Apr 2015 A	0.350	26.68	25.68	2015/04/30	40,000,000	2014/08/20
63610	HK Bank	HSI R Bull CBBC Apr 2015 A	0.250	24,288 per 15,000 units	24,488 per 15,000 units	2015/04/29	100,000,000	2014/08/20
63664	HK Bank	Lenovo R Bull CBBC Apr 2015 B	0.250	10.08	10.58	2015/04/30	60,000,000	2014/08/20
63688	HK Bank	Lenovo R Bear CBBC Jun 2015 C	0.250	13.58	13.08	2015/06/15	40,000,000	2014/08/20
63620	HK Bank	TCH R Bull CBBC Apr 2015 D	0.250	117.88 per 100 units	119.88 per 100 units	2015/04/28	100,000,000	2014/08/20
63631	HK Bank	TCH R Bull CBBC Jun 2015 F	0.250	113.88 per 100 units	115.88 per 100 units	2015/06/23	100,000,000	2014/08/20
63898	J P Morgan SP BV	HSI R Bull CBBC May 2015 J	0.250	24,388 per 10,000 units	24,588 per 10,000 units	2015/05/28	300,000,000	2014/08/20
63937	J P Morgan SP BV	HSI R Bull CBBC May 2015 K	0.250	24,690 per 10,000 units	24,890 per 10,000 units	2015/05/28	300,000,000	2014/08/20
63935	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 I	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	300,000,000	2014/08/20
63893	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 G	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/11/27	300,000,000	2014/08/20
63892	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 N	0.250	25,588 per 10,000 units	25,388 per 10,000 units	2014/11/27	300,000,000	2014/08/20
63885	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 A	0.250	26,050 per 10,000 units	25,850 per 10,000 units	2014/12/30	300,000,000	2014/08/20
63891	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 B	0.250	25,888 per 10,000 units	25,688 per 10,000 units	2014/12/30	300,000,000	2014/08/20
63879	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 K	0.250	27,100 per 10,000 units	26,900 per 10,000 units	2015/01/29	300,000,000	2014/08/20
63875	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 E	0.250	27,200 per 10,000 units	27,000 per 10,000 units	2015/02/26	300,000,000	2014/08/20
63720	Standard Chartered Bank	HKEx R Bear CBBC Mar 2015 A	0.300	201 per 100 units	196 per 100 units	2015/03/16	50,000,000	2014/08/20
63740	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 A	0.250	24,280 per 15,000 units	24,480 per 15,000 units	2015/08/28	100,000,000	2014/08/20
63741	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 B	0.250	24,480 per 15,000 units	24,680 per 15,000 units	2015/08/28	100,000,000	2014/08/20
63742	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 D	0.250	24,188 per 10,000 units	24,288 per 10,000 units	2015/04/29	200,000,000	2014/08/20
63743	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 E	0.250	24,338 per 10,000 units	24,438 per 10,000 units	2015/04/29	200,000,000	2014/08/20
63745	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 F	0.250	24,418 per 10,000 units	24,518 per 10,000 units	2015/04/29	200,000,000	2014/08/20
63746	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 G	0.250	24,488 per 10,000 units	24,588 per 10,000 units	2015/04/29	200,000,000	2014/08/20
63748	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 Z	0.250	24,768 per 10,000 units	24,668 per 10,000 units	2014/11/27	200,000,000	2014/08/20
63707	UBS AG	HSI R Bull CBBC Dec 2014 V	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2014/12/30	300,000,000	2014/08/20
63704	UBS AG	HSI R Bull CBBC Feb 2015 W	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/02/26	300,000,000	2014/08/20
63864	UBS AG	HSI R Bull CBBC Feb 2015 X	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/02/26	300,000,000	2014/08/20
63868	UBS AG	HSI R Bull CBBC Apr 2015 A	0.250	24,690 per 10,000 units	24,890 per 10,000 units	2015/04/29	300,000,000	2014/08/20
63771	UBS AG	HSI R Bear CBBC Dec 2014 X	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	300,000,000	2014/08/20
63791	UBS AG	HSI R Bear CBBC Dec 2014 Y	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/12/30	300,000,000	2014/08/20
64081	Credit Suisse AG	HSI R Bull CBBC Feb 2015 D	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/02/26	238,000,000	2014/08/21
64058	Credit Suisse AG	HSI R Bull CBBC Feb 2015 E	0.250	24,688 per 12,000 units	24,888 per 12,000 units	2015/02/26	238,000,000	2014/08/21
64080	Credit Suisse AG	HSI R Bull CBBC Feb 2015 F	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/02/26	238,000,000	2014/08/21
64044	Credit Suisse AG	HSI R Bull CBBC Mar 2015 X	0.250	24,111 per 20,000 units	24,411 per 20,000 units	2015/03/30	238,000,000	2014/08/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64084	Credit Suisse AG	HSI R Bear CBBC Nov 2014 B	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/11/27	238,000,000	2014/08/21
64085	Credit Suisse AG	HSI R Bear CBBC Nov 2014 C	0.250	25,002 per 10,000 units	24,802 per 10,000 units	2014/11/27	238,000,000	2014/08/21
64089	Credit Suisse AG	HSI R Bear CBBC Nov 2014 G	0.250	25,222 per 10,000 units	25,022 per 10,000 units	2014/11/27	238,000,000	2014/08/21
64056	Credit Suisse AG	HSI R Bear CBBC Nov 2014 Y	0.250	25,877 per 10,000 units	25,677 per 10,000 units	2014/11/27	238,000,000	2014/08/21
63961	HK Bank	AIA R Bull CBBC Sep 2015 A	0.250	39 per 100 units	40 per 100 units	2015/09/29	60,000,000	2014/08/21
63998	HK Bank	AIA R Bear CBBC Apr 2015 D	0.250	49.48 per 100 units	48.48 per 100 units	2015/04/20	40,000,000	2014/08/21
64001	HK Bank	AIA R Bear CBBC Apr 2015 E	0.250	51.48 per 100 units	50.48 per 100 units	2015/04/27	40,000,000	2014/08/21
63981	HK Bank	HKEEx R Bull CBBC Jun 2015 C	0.250	170.88 per 100 units	175.88 per 100 units	2015/06/08	60,000,000	2014/08/21
63997	HK Bank	HSCEI R Bull CBBC Jun 2015 A	0.250	10,688 per 10,000 units	10,888 per 10,000 units	2015/06/29	100,000,000	2014/08/21
63993	HK Bank	HSI R Bull CBBC Feb 2015 O	0.250	24,688 per 15,000 units	24,888 per 15,000 units	2015/02/26	100,000,000	2014/08/21
63989	HK Bank	HSI R Bull CBBC Mar 2015 K	0.250	24,488 per 15,000 units	24,688 per 15,000 units	2015/03/30	100,000,000	2014/08/21
63978	HK Bank	SHK Ppt R Bear CBBC Jun 2015 A	0.250	134.88 per 100 units	130.88 per 100 units	2015/06/30	50,000,000	2014/08/21
64140	J P Morgan SP BV	HSI R Bull CBBC Apr 2015 G	0.250	24,188 per 10,000 units	24,388 per 10,000 units	2015/04/29	300,000,000	2014/08/21
64153	J P Morgan SP BV	HSI R Bull CBBC May 2015 L	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/05/28	300,000,000	2014/08/21
64155	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 J	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	300,000,000	2014/08/21
64136	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 K	0.250	25,088 per 10,000 units	24,888 per 10,000 units	2014/11/27	300,000,000	2014/08/21
64004	J P Morgan SP BV	Tencent R Bull CBBC Jul 2015 A	0.250	123 per 100 units	125 per 100 units	2015/07/24	100,000,000	2014/08/21
64059	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 C	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/01/29	50,000,000	2014/08/21
64020	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 M	0.250	25,980 per 20,000 units	25,780 per 20,000 units	2015/02/26	100,000,000	2014/08/21
64021	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 N	0.250	26,780 per 15,000 units	26,580 per 15,000 units	2015/02/26	100,000,000	2014/08/21
64108	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Apr15A	0.250	8.8	9	2015/04/29	100,000,000	2014/08/21
64121	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Apr15B	0.250	9.05	9.25	2015/04/29	100,000,000	2014/08/21
64101	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Jun15B	0.250	8.3	8.5	2015/06/29	100,000,000	2014/08/21
64109	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Jun15C	0.250	8.55	8.75	2015/06/29	100,000,000	2014/08/21
64127	SGA Societe Generale Acceptance N.V.	CSA50 R Bear CBBC Apr15A	0.250	10.4	10.2	2015/04/29	100,000,000	2014/08/21
64129	SGA Societe Generale Acceptance N.V.	CSA50 R Bear CBBC Jun15B	0.250	10.7	10.5	2015/06/29	100,000,000	2014/08/21
64011	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 H	0.250	24,268 per 10,000 units	24,368 per 10,000 units	2015/04/29	200,000,000	2014/08/21
64013	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 I	0.250	24,568 per 10,000 units	24,668 per 10,000 units	2015/04/29	200,000,000	2014/08/21
64014	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 J	0.250	24,638 per 10,000 units	24,738 per 10,000 units	2015/04/29	200,000,000	2014/08/21
64018	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 K	0.250	24,788 per 10,000 units	24,888 per 10,000 units	2015/04/29	200,000,000	2014/08/21
64100	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 A	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/11/27	200,000,000	2014/08/21
64158	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 B	0.250	24,918 per 10,000 units	24,818 per 10,000 units	2014/11/27	200,000,000	2014/08/21
64064	UBS AG	China Mobile R Bull CBBC Jul 2015 C	0.250	82.50 per 100 units	85 per 100 units	2015/07/13	100,000,000	2014/08/21
64037	UBS AG	HSI R Bull CBBC Mar 2015 P	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/03/30	300,000,000	2014/08/21
64133	UBS AG	HSI R Bear CBBC Nov 2014 G	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/11/27	300,000,000	2014/08/21
64135	UBS AG	HSI R Bear CBBC Nov 2014 W	0.250	25,002 per 12,000 units	24,802 per 12,000 units	2014/11/27	350,000,000	2014/08/21
64072	UBS AG	Tencent R Bear CBBC Mar 2015 D	0.250	153.50 per 100 units	150 per 100 units	2015/03/02	100,000,000	2014/08/21
64272	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 V	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/06/29	200,000,000	2014/08/22
64279	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 W	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2015/02/26	200,000,000	2014/08/22
64195	Credit Suisse AG	China Mobile R Bull CBBC Mar 2015 A	0.250	82.80 per 100 units	85.30 per 100 units	2015/03/31	50,000,000	2014/08/22
64234	Credit Suisse AG	China Mobile R Bull CBBC Mar 2015 B	0.250	86.70 per 100 units	89.20 per 100 units	2015/03/31	50,000,000	2014/08/22
64237	Credit Suisse AG	China Mobile R Bear CBBC Jan 2015 D	0.250	99.70 per 100 units	97.20 per 100 units	2015/01/30	50,000,000	2014/08/22
64246	Credit Suisse AG	China Mobile R Bear CBBC Jan 2015 E	0.250	105.83 per 100 units	103.33 per 100 units	2015/01/30	50,000,000	2014/08/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64244	Credit Suisse AG	China Mobile R Bear CBBC Feb 2015 B	0.250	102.49 per 100 units	99.99 per 100 units	2015/02/27	50,000,000	2014/08/22
64178	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Mar 2015 A	0.250	8.12	8.42	2015/03/31	80,000,000	2014/08/22
64189	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Mar 2015 B	0.250	7.86	8.16	2015/03/31	80,000,000	2014/08/22
64193	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Mar 2015 C	0.250	7.63	7.93	2015/03/31	80,000,000	2014/08/22
64175	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Mar 2015 A	0.250	11.17	10.87	2015/03/31	80,000,000	2014/08/22
64500	Credit Suisse AG	HSI R Bull CBBC Apr 2015 K	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/04/29	238,000,000	2014/08/22
64504	Credit Suisse AG	HSI R Bull CBBC Apr 2015 L	0.250	24,777 per 10,000 units	24,977 per 10,000 units	2015/04/29	238,000,000	2014/08/22
64163	Credit Suisse AG	HSI R Bear CBBC Dec 2014 Y	0.250	25,033 per 12,000 units	24,833 per 12,000 units	2014/12/30	238,000,000	2014/08/22
64168	Credit Suisse AG	HSI R Bear CBBC Dec 2014 Z	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/12/30	238,000,000	2014/08/22
64478	Credit Suisse AG	HSI R Bear CBBC Feb 2015 R	0.250	26,550 per 10,000 units	26,350 per 10,000 units	2015/02/26	238,000,000	2014/08/22
64485	Credit Suisse AG	HSI R Bear CBBC Feb 2015 S	0.250	26,750 per 10,000 units	26,550 per 10,000 units	2015/02/26	238,000,000	2014/08/22
64493	Credit Suisse AG	HSI R Bear CBBC Feb 2015 T	0.260	27,400 per 10,000 units	27,200 per 10,000 units	2015/02/26	238,000,000	2014/08/22
64499	Credit Suisse AG	HSI R Bear CBBC Feb 2015 U	0.310	27,900 per 10,000 units	27,700 per 10,000 units	2015/02/26	238,000,000	2014/08/22
64543	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15B	0.250	83 per 100 units	85.50 per 100 units	2015/06/15	80,000,000	2014/08/22
64552	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15C	0.250	85.50 per 100 units	88 per 100 units	2015/06/15	80,000,000	2014/08/22
64554	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15D	0.250	88 per 100 units	90.50 per 100 units	2015/06/15	80,000,000	2014/08/22
64557	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15G	0.250	101 per 100 units	98.50 per 100 units	2015/04/20	80,000,000	2014/08/22
64558	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15H	0.250	103.50 per 100 units	101 per 100 units	2015/04/20	80,000,000	2014/08/22
64559	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15I	0.250	106 per 100 units	103.50 per 100 units	2015/04/20	80,000,000	2014/08/22
64560	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15J	0.250	108.50 per 100 units	106 per 100 units	2015/04/20	80,000,000	2014/08/22
64562	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15K	0.250	111 per 100 units	108.50 per 100 units	2015/04/20	80,000,000	2014/08/22
64541	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 F	0.400	173 per 100 units	178 per 100 units	2015/05/11	50,000,000	2014/08/22
64160	J P Morgan SP BV	HSI R Bull CBBC May 2015 M	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/05/28	300,000,000	2014/08/22
64253	J P Morgan SP BV	HSI R Bull CBBC May 2015 N	0.250	24,777 per 10,000 units	24,977 per 10,000 units	2015/05/28	300,000,000	2014/08/22
64159	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 A	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64252	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 O	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64526	Standard Chartered Bank	China Mobile R Bull CBBC Jul 2015C	0.250	80.30 per 100 units	82.80 per 100 units	2015/07/15	50,000,000	2014/08/22
64531	Standard Chartered Bank	China Mobile R Bear CBBC Mar 2015C	0.250	101.80 per 100 units	98.80 per 100 units	2015/03/04	50,000,000	2014/08/22
64539	Standard Chartered Bank	China Mobile R Bear CBBC Mar 2015D	0.250	109.80 per 100 units	106.80 per 100 units	2015/03/10	50,000,000	2014/08/22
64525	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 C	0.250	24,680 per 15,000 units	24,880 per 15,000 units	2015/08/28	100,000,000	2014/08/22
64521	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 O	0.250	25,180 per 20,000 units	24,980 per 20,000 units	2015/02/26	100,000,000	2014/08/22
64351	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 L	0.250	24,718 per 10,000 units	24,818 per 10,000 units	2015/04/29	200,000,000	2014/08/22
64474	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 M	0.250	24,868 per 10,000 units	24,968 per 10,000 units	2015/04/29	200,000,000	2014/08/22
64270	UBS AG	China Mobile R Bear CBBC May 2015 B	0.250	107.50 per 100 units	105 per 100 units	2015/05/11	50,000,000	2014/08/22
64271	UBS AG	HKEx R Bull CBBC Oct 2015 B	0.250	170.80 per 100 units	175.80 per 100 units	2015/10/12	100,000,000	2014/08/22
64265	UBS AG	HSCEI R Bear CBBC Feb 2015 C	0.260	13,700 per 10,000 units	13,500 per 10,000 units	2015/02/26	100,000,000	2014/08/22
64512	UBS AG	HSI R Bull CBBC Jan 2015 S	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/01/29	300,000,000	2014/08/22
64519	UBS AG	HSI R Bull CBBC Mar 2015 Q	0.250	24,777 per 10,000 units	24,977 per 10,000 units	2015/03/30	300,000,000	2014/08/22
64508	UBS AG	HSI R Bull CBBC Jun 2015 B	0.250	24,088 per 20,000 units	24,388 per 20,000 units	2015/06/29	300,000,000	2014/08/22
64326	UBS AG	HSI R Bear CBBC Nov 2014 F	0.250	26,850 per 10,000 units	26,650 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64296	UBS AG	HSI R Bear CBBC Nov 2014 H	0.250	27,300 per 10,000 units	27,100 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64337	UBS AG	HSI R Bear CBBC Nov 2014 L	0.250	26,650 per 10,000 units	26,450 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64338	UBS AG	HSI R Bear CBBC Nov 2014 M	0.250	26,450 per 10,000 units	26,250 per 10,000 units	2014/11/27	300,000,000	2014/08/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64261	UBS AG	HSI R Bear CBBC Nov 2014 N	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64298	UBS AG	HSI R Bear CBBC Nov 2014 O	0.250	27,050 per 10,000 units	26,850 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64161	UBS AG	HSI R Bear CBBC Nov 2014 X	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64263	UBS AG	HSI R Bear CBBC Nov 2014 Y	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64286	UBS AG	HSI R Bear CBBC Nov 2014 Z	0.265	27,600 per 10,000 units	27,400 per 10,000 units	2014/11/27	300,000,000	2014/08/22
64349	UBS AG	HSI R Bear CBBC Dec 2014 C	0.250	26,300 per 10,000 units	26,100 per 10,000 units	2014/12/30	300,000,000	2014/08/22
64255	UBS AG	HSI R Bear CBBC Dec 2014 Z	0.250	26,200 per 10,000 units	26,000 per 10,000 units	2014/12/30	300,000,000	2014/08/22
68384 ⁴	HK Bank	GCL-Poly Energy R Bull CBBC Oct 2015 A	0.067	2.05	2.3	2015/10/26	160,000,000	2014/08/22
69977 ⁷	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 C	0.092	8.68	8.98	2015/04/08	200,000,000	2014/08/22
64586	Credit Suisse AG	HSI R Bull CBBC Apr 2015 M	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/04/29	238,000,000	2014/08/25
64627	Credit Suisse AG	HSI R Bull CBBC Apr 2015 N	0.250	24,700 per 12,000 units	24,900 per 12,000 units	2015/04/29	238,000,000	2014/08/25
64578	Credit Suisse AG	HSI R Bear CBBC Dec 2014 E	0.250	25,338 per 10,000 units	25,138 per 10,000 units	2014/12/30	238,000,000	2014/08/25
64579	Credit Suisse AG	HSI R Bear CBBC Dec 2014 F	0.250	25,438 per 10,000 units	25,238 per 10,000 units	2014/12/30	238,000,000	2014/08/25
64585	Credit Suisse AG	HSI R Bear CBBC Dec 2014 G	0.250	25,538 per 10,000 units	25,338 per 10,000 units	2014/12/30	238,000,000	2014/08/25
64563	HK Bank	China Mobile R Bull CBBC Jul 2015 F	0.250	82.18 per 100 units	84.68 per 100 units	2015/07/27	120,000,000	2014/08/25
64564	HK Bank	China Mobile R Bull CBBC Jul 2015 G	0.250	84.68 per 100 units	87.18 per 100 units	2015/07/20	120,000,000	2014/08/25
64568	HK Bank	China Mobile R Bull CBBC Jul 2015 H	0.250	87.18 per 100 units	89.68 per 100 units	2015/07/13	120,000,000	2014/08/25
64572	HK Bank	TCH R Bull CBBC Jul 2015 D	0.250	119.88 per 100 units	121.88 per 100 units	2015/07/27	100,000,000	2014/08/25
64575	HK Bank	TCH R Bull CBBC Jul 2015 E	0.250	121.88 per 100 units	123.88 per 100 units	2015/07/27	100,000,000	2014/08/25
64577	HK Bank	TCH R Bull CBBC Aug 2015 A	0.250	123.88 per 100 units	125.88 per 100 units	2015/08/31	100,000,000	2014/08/25
64632	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 K	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/06/29	300,000,000	2014/08/25
64629	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 Q	0.250	25,788 per 10,000 units	25,588 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64619	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 15N	0.250	24,300 per 20,000 units	24,400 per 20,000 units	2015/04/29	400,000,000	2014/08/25
64623	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 14F	0.250	25,068 per 10,000 units	24,968 per 10,000 units	2014/11/27	200,000,000	2014/08/25
64617	UBS AG	China Mobile R Bull CBBC Oct 2015 A	0.250	87.50 per 100 units	90 per 100 units	2015/10/12	100,000,000	2014/08/25
64616	UBS AG	China Mobile R Bear CBBC Apr 2015 C	0.250	112.50 per 100 units	110 per 100 units	2015/04/27	50,000,000	2014/08/25
64593	UBS AG	HSI R Bull CBBC Jan 2015 U	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/01/29	300,000,000	2014/08/25
64612	UBS AG	HSI R Bear CBBC Nov 2014 D	0.250	26,350 per 10,000 units	26,150 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64615	UBS AG	HSI R Bear CBBC Nov 2014 E	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64600	UBS AG	HSI R Bear CBBC Nov 2014 P	0.250	27,150 per 10,000 units	26,950 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64601	UBS AG	HSI R Bear CBBC Nov 2014 Q	0.250	26,950 per 10,000 units	26,750 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64603	UBS AG	HSI R Bear CBBC Nov 2014 R	0.250	26,750 per 10,000 units	26,550 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64607	UBS AG	HSI R Bear CBBC Nov 2014 S	0.250	26,550 per 10,000 units	26,350 per 10,000 units	2014/11/27	300,000,000	2014/08/25
64599	UBS AG	HSI R Bear CBBC Dec 2014 D	0.270	27,500 per 10,000 units	27,300 per 10,000 units	2014/12/30	300,000,000	2014/08/25
64625	UBS AG	HSI R Bear CBBC Dec 2014 E	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	300,000,000	2014/08/25
64626	UBS AG	HSI R Bear CBBC Dec 2014 F	0.250	25,039 per 12,000 units	24,839 per 12,000 units	2014/12/30	350,000,000	2014/08/25
64618	UBS AG	TCH R Bull CBBC Jun 2015 B	0.250	122.50 per 100 units	125 per 100 units	2015/06/29	100,000,000	2014/08/25
64781	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 W	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/06/29	200,000,000	2014/08/26
64785	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 X	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	200,000,000	2014/08/26
64750	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 X	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/02/26	200,000,000	2014/08/26
64755	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Y	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/02/26	200,000,000	2014/08/26
64771	Credit Suisse AG	HSI R Bull CBBC Feb 2015 A	0.250	24,811 per 10,000 units	25,011 per 10,000 units	2015/02/26	238,000,000	2014/08/26
64776	Credit Suisse AG	HSI R Bull CBBC Feb 2015 B	0.250	24,922 per 10,000 units	25,122 per 10,000 units	2015/02/26	238,000,000	2014/08/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64756	Credit Suisse AG	HSI R Bear CBBC Nov 2014 J	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/11/27	238,000,000	2014/08/26
64698	Credit Suisse AG	HSI R Bear CBBC Nov 2014 K	0.250	25,156 per 10,000 units	24,956 per 10,000 units	2014/11/27	238,000,000	2014/08/26
64770	Credit Suisse AG	HSI R Bear CBBC Nov 2014 L	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/11/27	238,000,000	2014/08/26
64691	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Apr15C	0.250	90.50 per 100 units	93 per 100 units	2015/04/24	120,000,000	2014/08/26
64682	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15L	0.250	116 per 100 units	113.50 per 100 units	2015/04/17	120,000,000	2014/08/26
64684	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15M	0.250	113.50 per 100 units	111 per 100 units	2015/04/17	120,000,000	2014/08/26
64687	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15N	0.250	118.50 per 100 units	116 per 100 units	2015/04/24	120,000,000	2014/08/26
64710	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Jun15A	0.250	8.88	9.18	2015/06/15	100,000,000	2014/08/26
64711	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Jun15B	0.250	8.58	8.88	2015/06/15	100,000,000	2014/08/26
64718	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr15C	0.250	11.1	10.8	2015/04/17	100,000,000	2014/08/26
64721	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr15D	0.250	11.4	11.1	2015/04/24	100,000,000	2014/08/26
64724	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC May15A	0.250	11.7	11.4	2015/05/18	100,000,000	2014/08/26
64725	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 15 C	0.250	11.4	11.1	2015/04/17	100,000,000	2014/08/26
64729	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 15 D	0.250	11.7	11.4	2015/04/24	100,000,000	2014/08/26
64809	Bank of East Asia	China Mobile R Bull CBBC Jul 2015 A	0.250	82.50 per 100 units	85 per 100 units	2015/07/03	40,000,000	2014/08/26
64810	Bank of East Asia	China Mobile R Bear CBBC Jun 2015 A	0.250	106.50 per 100 units	104 per 100 units	2015/06/03	40,000,000	2014/08/26
64800	Bank of East Asia	CSOP A50 ETF R Bull CBBC Dec 2015 A	0.250	7.68	7.98	2015/12/23	100,000,000	2014/08/26
64799	Bank of East Asia	A50 China R Bull CBBC Dec 2015 A	0.250	7.58	7.88	2015/12/30	100,000,000	2014/08/26
64801	Bank of East Asia	TCH R Bull CBBC Jun 2015 A	0.250	117.50 per 100 units	119.80 per 100 units	2015/06/26	40,000,000	2014/08/26
64675	HK Bank	China Mobile R Bull CBBC Jul 2015 I	0.250	89.68 per 100 units	92.18 per 100 units	2015/07/27	120,000,000	2014/08/26
64658	HK Bank	China Mobile R Bear CBBC Jul 2015 E	0.250	115.88 per 100 units	113.38 per 100 units	2015/07/27	60,000,000	2014/08/26
64678	HK Bank	CNOOC R Bull CBBC Oct 2015 C	0.250	13.38	14.18	2015/10/26	60,000,000	2014/08/26
64679	HK Bank	CNOOC R Bear CBBC Apr 2015 A	0.300	17.98	17.18	2015/04/30	40,000,000	2014/08/26
64654	HK Bank	HSI R Bull CBBC Mar 2015 L	0.250	24,028 per 20,000 units	24,328 per 20,000 units	2015/03/30	400,000,000	2014/08/26
64636	HK Bank	HSI R Bear CBBC Apr 2015 I	0.250	25,288 per 15,000 units	25,088 per 15,000 units	2015/04/29	100,000,000	2014/08/26
64699	J P Morgan SP BV	HSI R Bull CBBC May 2015 O	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/05/28	300,000,000	2014/08/26
64793	J P Morgan SP BV	HSI R Bull CBBC May 2015 P	0.250	24,922 per 10,000 units	25,122 per 10,000 units	2015/05/28	300,000,000	2014/08/26
64795	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 L	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	300,000,000	2014/08/26
64701	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 T	0.250	25,188 per 10,000 units	24,988 per 10,000 units	2014/11/27	300,000,000	2014/08/26
64794	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 W	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/11/27	300,000,000	2014/08/26
64798	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 D	0.250	26,150 per 10,000 units	25,950 per 10,000 units	2014/12/30	300,000,000	2014/08/26
64797	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 L	0.250	26,250 per 10,000 units	26,050 per 10,000 units	2015/01/29	300,000,000	2014/08/26
64791	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 F	0.250	27,400 per 10,000 units	27,200 per 10,000 units	2015/02/26	300,000,000	2014/08/26
64792	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 G	0.250	27,600 per 10,000 units	27,400 per 10,000 units	2015/02/26	300,000,000	2014/08/26
64741	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 D	0.250	24,380 per 20,000 units	24,580 per 20,000 units	2015/08/28	100,000,000	2014/08/26
64787	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 O	0.250	25,018 per 10,000 units	25,118 per 10,000 units	2015/04/29	200,000,000	2014/08/26
64740	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 H	0.250	25,138 per 10,000 units	25,038 per 10,000 units	2014/11/27	200,000,000	2014/08/26
64788	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 I	0.250	25,288 per 10,000 units	25,188 per 10,000 units	2014/11/27	200,000,000	2014/08/26
64745	UBS AG	HSI R Bull CBBC Mar 2015 R	0.250	24,922 per 10,000 units	25,122 per 10,000 units	2015/03/30	300,000,000	2014/08/26
64743	UBS AG	HSI R Bull CBBC Apr 2015 B	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/04/29	300,000,000	2014/08/26
64730	UBS AG	HSI R Bear CBBC Nov 2014 J	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/11/27	300,000,000	2014/08/26
64697	UBS AG	HSI R Bear CBBC Nov 2014 T	0.250	25,156 per 12,000 units	24,956 per 12,000 units	2014/11/27	350,000,000	2014/08/26
64693	UBS AG	HSI R Bear CBBC Dec 2014 G	0.250	27,188 per 20,000 units	26,888 per 20,000 units	2014/12/30	300,000,000	2014/08/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64734	UBS AG	HSI R Bear CBBC Dec 2014 H	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/12/30	300,000,000	2014/08/26
64857	Credit Suisse AG	China Mobile R Bull CBBC Feb 2015 B	0.250	89.10 per 100 units	91.60 per 100 units	2015/02/27	50,000,000	2014/08/27
64859	Credit Suisse AG	China Mobile R Bear CBBC Feb 2015 C	0.250	110.27 per 100 units	107.77 per 100 units	2015/02/27	50,000,000	2014/08/27
64860	Credit Suisse AG	China Mobile R Bear CBBC Mar 2015 A	0.250	113.40 per 100 units	110.90 per 100 units	2015/03/31	50,000,000	2014/08/27
64886	Credit Suisse AG	HSI R Bull CBBC Dec 2014 Y	0.250	24,477 per 20,000 units	24,777 per 20,000 units	2014/12/30	238,000,000	2014/08/27
64887	Credit Suisse AG	HSI R Bull CBBC Mar 2015 Y	0.250	24,800 per 12,000 units	25,000 per 12,000 units	2015/03/30	238,000,000	2014/08/27
64894	Credit Suisse AG	HSI R Bull CBBC Mar 2015 Z	0.250	24,959 per 12,000 units	25,159 per 12,000 units	2015/03/30	238,000,000	2014/08/27
64850	Credit Suisse AG	HSI R Bear CBBC Nov 2014 M	0.250	25,323 per 12,000 units	25,123 per 12,000 units	2014/11/27	238,000,000	2014/08/27
64853	Credit Suisse AG	HSI R Bear CBBC Jan 2015 R	0.250	26,850 per 10,000 units	26,650 per 10,000 units	2015/01/29	238,000,000	2014/08/27
64854	Credit Suisse AG	HSI R Bear CBBC Jan 2015 S	0.250	27,050 per 10,000 units	26,850 per 10,000 units	2015/01/29	238,000,000	2014/08/27
64855	Credit Suisse AG	HSI R Bear CBBC Jan 2015 T	0.250	27,600 per 10,000 units	27,400 per 10,000 units	2015/01/29	238,000,000	2014/08/27
64856	Credit Suisse AG	HSI R Bear CBBC Jan 2015 U	0.250	28,633 per 20,000 units	28,333 per 20,000 units	2015/01/29	238,000,000	2014/08/27
64828	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 H	0.250	7.68	7.98	2015/04/27	200,000,000	2014/08/27
64814	HK Bank	HSI R Bull CBBC Apr 2015 B	0.250	24,588 per 15,000 units	24,788 per 15,000 units	2015/04/29	100,000,000	2014/08/27
64824	HK Bank	HSI R Bear CBBC Mar 2015 N	0.250	25,388 per 15,000 units	25,188 per 15,000 units	2015/03/30	100,000,000	2014/08/27
64818	HK Bank	HSI R Bear CBBC Apr 2015 J	0.250	28,728 per 20,000 units	28,428 per 20,000 units	2015/04/29	400,000,000	2014/08/27
64832	HK Bank	Lenovo R Bull CBBC Aug 2015 B	0.250	10.78	11.28	2015/08/31	60,000,000	2014/08/27
64834	HK Bank	Lenovo R Bear CBBC Jun 2015 D	0.250	14.38	13.88	2015/06/08	40,000,000	2014/08/27
64839	J P Morgan SP BV	HSI R Bull CBBC May 2015 Q	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/05/28	300,000,000	2014/08/27
64845	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 M	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/06/29	300,000,000	2014/08/27
64902	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 F	0.250	26,088 per 10,000 units	25,888 per 10,000 units	2014/11/27	300,000,000	2014/08/27
64896	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 E	0.250	26,350 per 10,000 units	26,150 per 10,000 units	2014/12/30	300,000,000	2014/08/27
64846	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 D	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/01/29	50,000,000	2014/08/27
64882	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 E	0.250	24,780 per 20,000 units	24,980 per 20,000 units	2015/08/28	100,000,000	2014/08/27
64866	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 P	0.250	26,480 per 15,000 units	26,280 per 15,000 units	2015/02/26	100,000,000	2014/08/27
64879	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Q	0.250	25,380 per 15,000 units	25,180 per 15,000 units	2015/02/26	100,000,000	2014/08/27
64884	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 P	0.250	24,938 per 10,000 units	25,038 per 10,000 units	2015/04/29	200,000,000	2014/08/27
64885	UBS AG	HSI R Bull CBBC Feb 2015 Z	0.250	24,959 per 10,000 units	25,159 per 10,000 units	2015/02/26	300,000,000	2014/08/27
64862	UBS AG	HSI R Bear CBBC Nov 2014 U	0.250	25,323 per 10,000 units	25,123 per 10,000 units	2014/11/27	300,000,000	2014/08/27
64903	Credit Suisse AG	HSI R Bull CBBC Mar 2015 C	0.250	24,950 per 10,000 units	25,150 per 10,000 units	2015/03/30	238,000,000	2014/08/28
64905	Credit Suisse AG	HSI R Bull CBBC Mar 2015 D	0.250	24,799 per 10,000 units	24,999 per 10,000 units	2015/03/30	238,000,000	2014/08/28
64999	Credit Suisse AG	HSI R Bear CBBC Nov 2014 F	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/11/27	238,000,000	2014/08/28
65000	Credit Suisse AG	HSI R Bear CBBC Nov 2014 N	0.250	25,622 per 10,000 units	25,422 per 10,000 units	2014/11/27	238,000,000	2014/08/28
64997	Credit Suisse AG	HSI R Bear CBBC Nov 2014 R	0.250	25,128 per 10,000 units	24,928 per 10,000 units	2014/11/27	238,000,000	2014/08/28
64988	Bank of East Asia	COVS R Bull CBBC Sep 2015 A	0.450	18.688	19.688	2015/09/30	30,000,000	2014/08/28
64991	Bank of East Asia	Galaxy Ent R Bull CBBC Apr 2015 A	0.250	52.27 per 100 units	54.27 per 100 units	2015/04/30	70,000,000	2014/08/28
64994	Bank of East Asia	HSBC Holdings R Bull CBBC Apr 2015 A	0.250	75 per 100 units	77.80 per 100 units	2015/04/30	50,000,000	2014/08/28
64989	Bank of East Asia	Hutchison R Bull CBBC Jul 2015 A	0.250	95 per 100 units	98 per 100 units	2015/07/24	40,000,000	2014/08/28
64996	Bank of East Asia	Lenovo R Bull CBBC May 2015 A	0.250	9.6	10	2015/05/22	40,000,000	2014/08/28
64908	J P Morgan SP BV	HSI R Bull CBBC May 2015 R	0.250	24,788 per 10,000 units	24,988 per 10,000 units	2015/05/28	300,000,000	2014/08/28
64970	J P Morgan SP BV	HSI R Bull CBBC May 2015 S	0.250	24,688 per 10,000 units	24,888 per 10,000 units	2015/05/28	300,000,000	2014/08/28
64960	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 C	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/11/27	300,000,000	2014/08/28
64963	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 H	0.250	25,128 per 10,000 units	24,928 per 10,000 units	2014/11/27	300,000,000	2014/08/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64942	J P Morgan SP BV	HSI R Bear CBBC Nov 2014 I	0.250	25,388 per 10,000 units	25,188 per 10,000 units	2014/11/27	300,000,000	2014/08/28
64971	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov14 J	0.250	25,218 per 10,000 units	25,118 per 10,000 units	2014/11/27	200,000,000	2014/08/28
64982	UBS AG	ABC R Bull CBBC Jul 2015 B	0.250	2.75	3	2015/07/06	50,000,000	2014/08/28
64976	UBS AG	AIA R Bull CBBC Jun 2015 D	0.250	37.88 per 100 units	38.88 per 100 units	2015/06/29	100,000,000	2014/08/28
64974	UBS AG	Minsheng Bank R Bear CBBC Aug 2015 A	0.250	9	8.5	2015/08/04	50,000,000	2014/08/28
64928	UBS AG	HSI R Bull CBBC Jan 2015 T	0.250	24,950 per 10,000 units	25,150 per 10,000 units	2015/01/29	300,000,000	2014/08/28
64912	UBS AG	HSI R Bull CBBC Mar 2015 S	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/03/30	300,000,000	2014/08/28
65002	UBS AG	HSI R Bear CBBC Dec 2014 I	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/12/30	300,000,000	2014/08/28
65003	UBS AG	HSI R Bear CBBC Dec 2014 J	0.250	25,128 per 12,000 units	24,928 per 12,000 units	2014/12/30	350,000,000	2014/08/28
65016	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Z	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2015/02/26	200,000,000	2014/08/29
65028	Credit Suisse AG	HSI R Bull CBBC Jun 2015 A	0.250	24,850 per 10,000 units	25,050 per 10,000 units	2015/06/29	238,000,000	2014/08/29
65029	Credit Suisse AG	HSI R Bull CBBC Jun 2015 B	0.250	24,912 per 12,000 units	25,112 per 12,000 units	2015/06/29	238,000,000	2014/08/29
65013	Credit Suisse AG	HSI R Bear CBBC Dec 2014 J	0.250	25,199 per 10,000 units	24,999 per 10,000 units	2014/12/30	238,000,000	2014/08/29
65014	Credit Suisse AG	HSI R Bear CBBC Dec 2014 K	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/12/30	238,000,000	2014/08/29
65012	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 F	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/12/30	300,000,000	2014/08/29
65030	UBS AG	HSI R Bull CBBC Feb 2015 H	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/02/26	300,000,000	2014/08/29
65015	UBS AG	HSI R Bear CBBC Jan 2015 S	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	300,000,000	2014/08/29
65065	Credit Suisse AG	HSI R Bull CBBC May 2015 A	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/05/28	238,000,000	2014/09/01
65066	Credit Suisse AG	HSI R Bull CBBC May 2015 B	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/05/28	238,000,000	2014/09/01
65070	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	24,966 per 12,000 units	25,166 per 12,000 units	2015/05/28	238,000,000	2014/09/01
65060	Credit Suisse AG	HSI R Bear CBBC Jan 2015 V	0.250	25,266 per 12,000 units	25,066 per 12,000 units	2015/01/29	238,000,000	2014/09/01
65061	Credit Suisse AG	HSI R Bear CBBC Jan 2015 W	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/01/29	238,000,000	2014/09/01
65092	Citigroup Global Mkt H Inc.	Sinopec Corp R Bull CBBC Apr15B	0.250	7.38	7.68	2015/04/24	80,000,000	2014/09/01
65098	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Apr15A	0.250	8.98	8.68	2015/04/24	80,000,000	2014/09/01
65100	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Apr15B	0.250	9.28	8.98	2015/04/24	80,000,000	2014/09/01
65045	HK Bank	Cheung Kong R Bear CBBC Jul 2015 A	0.300	174 per 100 units	168 per 100 units	2015/07/31	50,000,000	2014/09/01
65031	HK Bank	HSCEI R Bear CBBC Mar 2015 B	0.250	11,388 per 10,000 units	11,188 per 10,000 units	2015/03/30	68,000,000	2014/09/01
65032	HK Bank	HSI R Bull CBBC Mar 2015 M	0.250	24,788 per 15,000 units	24,988 per 15,000 units	2015/03/30	100,000,000	2014/09/01
65038	HK Bank	HSI R Bull CBBC Apr 2015 C	0.250	24,888 per 15,000 units	25,088 per 15,000 units	2015/04/29	100,000,000	2014/09/01
65039	HK Bank	TCH R Bull CBBC Jul 2015 F	0.250	125.88 per 100 units	127.88 per 100 units	2015/07/06	100,000,000	2014/09/01
65089	J P Morgan SP BV	HSI R Bull CBBC May 2015 T	0.250	24,966 per 10,000 units	25,166 per 10,000 units	2015/05/28	300,000,000	2014/09/01
65058	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 G	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/12/30	300,000,000	2014/09/01
65086	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 L	0.250	25,988 per 10,000 units	25,788 per 10,000 units	2014/12/30	300,000,000	2014/09/01
65057	J P Morgan SP BV	Tencent R Bear CBBC Dec 2014 A	0.250	135 per 100 units	133 per 100 units	2014/12/30	100,000,000	2014/09/01
65046	UBS AG	HSI R Bull CBBC Dec 2014 I	0.250	24,850 per 10,000 units	25,050 per 10,000 units	2014/12/30	300,000,000	2014/09/01
65073	UBS AG	HSI R Bull CBBC Mar 2015 T	0.250	24,966 per 10,000 units	25,166 per 10,000 units	2015/03/30	300,000,000	2014/09/01
65082	UBS AG	HSI R Bear CBBC Dec 2014 K	0.250	25,266 per 12,000 units	25,066 per 12,000 units	2014/12/30	350,000,000	2014/09/01
65131	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Y	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/06/29	200,000,000	2014/09/02
65142	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 F	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/02/26	200,000,000	2014/09/02
65137	Credit Suisse AG	HSI R Bull CBBC Apr 2015 O	0.250	24,933 per 10,000 units	25,133 per 10,000 units	2015/04/29	238,000,000	2014/09/02
65138	Credit Suisse AG	HSI R Bear CBBC Dec 2014 A	0.250	25,275 per 10,000 units	25,075 per 10,000 units	2014/12/30	238,000,000	2014/09/02
65117	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC May 15 B	0.250	7.9	8.2	2015/05/18	150,000,000	2014/09/02
65119	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC May 15 C	0.250	8.1	8.4	2015/05/18	150,000,000	2014/09/02

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65124	Citigroup Global Mkt H Inc.	PetCh R Bull CBBC Jun 2015 A	0.250	10.28	10.58	2015/06/15	50,000,000	2014/09/02
65127	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC May 2015 A	0.250	12.08	11.78	2015/05/11	50,000,000	2014/09/02
65128	Citigroup Global Mkt H Inc.	PetCh R Bear CBBC May 2015 B	0.250	12.38	12.08	2015/05/11	50,000,000	2014/09/02
65106	J P Morgan SP BV	HSI R Bull CBBC May 2015 U	0.250	24,850 per 10,000 units	25,050 per 10,000 units	2015/05/28	300,000,000	2014/09/02
65102	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 E	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/01/29	50,000,000	2014/09/02
65120	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 Q	0.250	25,018 per 10,000 units	25,118 per 10,000 units	2015/04/29	200,000,000	2014/09/02
65121	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 O	0.250	25,288 per 10,000 units	25,188 per 10,000 units	2014/12/30	200,000,000	2014/09/02
65134	UBS AG	CSOP A50 ETF R Bull CBBC Feb 2015 E	0.250	8.45	8.75	2015/02/02	100,000,000	2014/09/02
65123	UBS AG	HSCEI R Bull CBBC Jun 2015 A	0.250	10,550 per 10,000 units	10,750 per 10,000 units	2015/06/29	100,000,000	2014/09/02
65122	UBS AG	HSI R Bull CBBC Feb 2015 N	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/02/26	300,000,000	2014/09/02
65139	UBS AG	HSI R Bear CBBC Dec 2014 L	0.250	25,275 per 10,000 units	25,075 per 10,000 units	2014/12/30	300,000,000	2014/09/02
65135	UBS AG	Kingsoft R Bull CBBC Nov 2015 A	0.250	19 per 100 units	21 per 100 units	2015/11/16	50,000,000	2014/09/02
65171	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 J	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/06/29	200,000,000	2014/09/03
65170	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Z	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	200,000,000	2014/09/03
65167	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	24,866 per 12,000 units	25,066 per 12,000 units	2015/05/28	238,000,000	2014/09/03
65169	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/05/28	238,000,000	2014/09/03
65174	Credit Suisse AG	HSI R Bear CBBC Dec 2014 B	0.250	25,225 per 10,000 units	25,025 per 10,000 units	2014/12/30	238,000,000	2014/09/03
65172	Credit Suisse AG	HSI R Bear CBBC Dec 2014 H	0.250	25,119 per 10,000 units	24,919 per 10,000 units	2014/12/30	238,000,000	2014/09/03
65143	HK Bank	AIA R Bull CBBC Jun 2015 C	0.250	41 per 100 units	42 per 100 units	2015/06/29	60,000,000	2014/09/03
65148	HK Bank	HSCEI R Bull CBBC Jul 2015 A	0.250	10,688 per 10,000 units	10,888 per 10,000 units	2015/07/30	100,000,000	2014/09/03
65144	HK Bank	HSI R Bear CBBC Apr 2015 K	0.250	26,988 per 15,000 units	26,788 per 15,000 units	2015/04/29	100,000,000	2014/09/03
65147	HK Bank	HSI R Bear CBBC May 2015 D	0.250	27,088 per 15,000 units	26,888 per 15,000 units	2015/05/28	100,000,000	2014/09/03
65145	HK Bank	HSI R Bear CBBC Jul 2015 B	0.250	27,188 per 15,000 units	26,988 per 15,000 units	2015/07/30	100,000,000	2014/09/03
65146	HK Bank	HSI R Bear CBBC Aug 2015 A	0.250	27,388 per 15,000 units	27,188 per 15,000 units	2015/08/28	100,000,000	2014/09/03
65150	HK Bank	PetCh R Bull CBBC Jun 2015 B	0.250	9.88	10.28	2015/06/29	60,000,000	2014/09/03
65151	HK Bank	PetCh R Bull CBBC Jun 2015 C	0.250	10.45	10.85	2015/06/29	60,000,000	2014/09/03
65161	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 N	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	300,000,000	2014/09/03
65160	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 A	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/07/30	300,000,000	2014/09/03
65188	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 M	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/12/30	300,000,000	2014/09/03
65184	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 R	0.250	25,280 per 20,000 units	25,080 per 20,000 units	2015/02/26	100,000,000	2014/09/03
65153	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun15 A	0.250	27,700 per 20,000 units	27,600 per 20,000 units	2015/06/29	400,000,000	2014/09/03
65154	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun15 B	0.250	28,100 per 20,000 units	28,000 per 20,000 units	2015/06/29	400,000,000	2014/09/03
65155	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun15 C	0.250	28,500 per 20,000 units	28,400 per 20,000 units	2015/06/29	400,000,000	2014/09/03
65152	UBS AG	HSI R Bull CBBC Apr 2015 C	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/04/29	300,000,000	2014/09/03
65164	UBS AG	HSI R Bull CBBC Apr 2015 D	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/04/29	300,000,000	2014/09/03
65177	UBS AG	HSI R Bear CBBC Dec 2014 A	0.250	25,119 per 10,000 units	24,919 per 10,000 units	2014/12/30	300,000,000	2014/09/03
65156	UBS AG	SHK Ppt R Bull CBBC Jun 2015 A	0.250	96 per 100 units	100 per 100 units	2015/06/01	50,000,000	2014/09/03
65157	UBS AG	TCH R Bull CBBC Jul 2015 E	0.250	121 per 100 units	123.50 per 100 units	2015/07/13	100,000,000	2014/09/03
65209	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 I	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	200,000,000	2014/09/04
65208	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 K	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	200,000,000	2014/09/04
65227	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 I	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/02/26	200,000,000	2014/09/04
65226	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Q	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/02/26	200,000,000	2014/09/04
65219	Credit Suisse AG	HSI R Bull CBBC Dec 2014 K	0.250	23,850 per 20,000 units	24,150 per 20,000 units	2014/12/30	238,000,000	2014/09/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65202	Credit Suisse AG	HSI R Bull CBBC Apr 2015 P	0.250	24,739 per 10,000 units	24,939 per 10,000 units	2015/04/29	238,000,000	2014/09/04
65203	Credit Suisse AG	HSI R Bull CBBC Apr 2015 Q	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/04/29	238,000,000	2014/09/04
65204	Credit Suisse AG	HSI R Bull CBBC Apr 2015 R	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/04/29	238,000,000	2014/09/04
65205	Credit Suisse AG	HSI R Bull CBBC Apr 2015 S	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/04/29	238,000,000	2014/09/04
65216	Credit Suisse AG	HSI R Bear CBBC Dec 2014 L	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/12/30	238,000,000	2014/09/04
65218	Credit Suisse AG	HSI R Bear CBBC Dec 2014 M	0.250	24,942 per 10,000 units	24,742 per 10,000 units	2014/12/30	238,000,000	2014/09/04
65220	Credit Suisse AG	HSI R Bear CBBC Dec 2014 N	0.250	25,411 per 20,000 units	25,111 per 20,000 units	2014/12/30	238,000,000	2014/09/04
65215	Credit Suisse AG	HSI R Bear CBBC Jan 2015 X	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	238,000,000	2014/09/04
65190	HK Bank	HSI R Bear CBBC Mar 2015 O	0.250	25,188 per 15,000 units	24,988 per 15,000 units	2015/03/30	100,000,000	2014/09/04
65191	HK Bank	HSI R Bear CBBC Apr 2015 L	0.250	25,288 per 15,000 units	25,088 per 15,000 units	2015/04/29	100,000,000	2014/09/04
65189	HK Bank	PetCh R Bear CBBC Jul 2015 B	0.250	12.38	11.98	2015/07/20	40,000,000	2014/09/04
65206	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 O	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	300,000,000	2014/09/04
65207	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 P	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	300,000,000	2014/09/04
65230	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 V	0.250	25,288 per 10,000 units	25,088 per 10,000 units	2014/12/30	300,000,000	2014/09/04
65231	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 W	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	300,000,000	2014/09/04
65232	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 X	0.250	24,942 per 10,000 units	24,742 per 10,000 units	2014/12/30	300,000,000	2014/09/04
65214	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 F	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/01/29	40,000,000	2014/09/04
65233	Standard Chartered Bank	Anhui Conch R Bull CBBC Oct 2015 A	0.250	24.28 per 100 units	26.28 per 100 units	2015/10/08	50,000,000	2014/09/04
65234	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 F	0.250	24,480 per 15,000 units	24,680 per 15,000 units	2015/08/28	100,000,000	2014/09/04
65210	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 S	0.250	25,180 per 15,000 units	24,980 per 15,000 units	2015/02/26	100,000,000	2014/09/04
65192	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 15R	0.250	24,788 per 10,000 units	24,888 per 10,000 units	2015/04/29	200,000,000	2014/09/04
65212	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 15S	0.250	24,638 per 10,000 units	24,738 per 10,000 units	2015/04/29	200,000,000	2014/09/04
65193	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14P	0.250	25,068 per 10,000 units	24,968 per 10,000 units	2014/12/30	200,000,000	2014/09/04
65194	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14Q	0.250	25,138 per 10,000 units	25,038 per 10,000 units	2014/12/30	200,000,000	2014/09/04
65229	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14R	0.250	24,918 per 10,000 units	24,818 per 10,000 units	2014/12/30	200,000,000	2014/09/04
65224	UBS AG	HSI R Bull CBBC Dec 2014 D	0.250	24,475 per 12,000 units	24,675 per 12,000 units	2014/12/30	350,000,000	2014/09/04
65201	UBS AG	HSI R Bull CBBC Jan 2015 J	0.250	24,739 per 12,000 units	24,939 per 12,000 units	2015/01/29	350,000,000	2014/09/04
65200	UBS AG	HSI R Bull CBBC Jan 2015 K	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/01/29	300,000,000	2014/09/04
65221	UBS AG	HSI R Bear CBBC Dec 2014 B	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/12/30	300,000,000	2014/09/04
65195	UBS AG	HSI R Bear CBBC Dec 2014 N	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/12/30	300,000,000	2014/09/04
65223	UBS AG	HSI R Bear CBBC Dec 2014 O	0.250	24,942 per 10,000 units	24,742 per 10,000 units	2014/12/30	300,000,000	2014/09/04
65274	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 J	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/02/26	200,000,000	2014/09/05
65264	Credit Suisse AG	HKEx R Bull CBBC Jan 2015 C	0.250	165.20 per 100 units	170.20 per 100 units	2015/01/30	50,000,000	2014/09/05
65265	Credit Suisse AG	HKEx R Bear CBBC Jan 2015 F	0.250	189.80 per 100 units	184.80 per 100 units	2015/01/30	50,000,000	2014/09/05
65266	Credit Suisse AG	HKEx R Bear CBBC Jan 2015 G	0.250	182.70 per 100 units	177.70 per 100 units	2015/01/30	50,000,000	2014/09/05
65275	Credit Suisse AG	HSI R Bull CBBC Jun 2015 E	0.250	24,325 per 10,000 units	24,525 per 10,000 units	2015/06/29	238,000,000	2014/09/05
65277	Credit Suisse AG	HSI R Bull CBBC Jun 2015 F	0.250	24,422 per 10,000 units	24,622 per 10,000 units	2015/06/29	238,000,000	2014/09/05
65278	Credit Suisse AG	HSI R Bull CBBC Jun 2015 G	0.250	24,542 per 12,000 units	24,742 per 12,000 units	2015/06/29	238,000,000	2014/09/05
65272	Credit Suisse AG	HSI R Bear CBBC Jan 2015 Y	0.250	24,879 per 10,000 units	24,679 per 10,000 units	2015/01/29	238,000,000	2014/09/05
65273	Credit Suisse AG	HSI R Bear CBBC Jan 2015 Z	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/01/29	238,000,000	2014/09/05
65262	Credit Suisse AG	TCH R Bear CBBC Jan 2015 B	0.250	137.20 per 100 units	134.70 per 100 units	2015/01/30	50,000,000	2014/09/05
65263	Credit Suisse AG	TCH R Bear CBBC Jan 2015 C	0.250	132.20 per 100 units	129.70 per 100 units	2015/01/30	50,000,000	2014/09/05
65284	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 Q	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2014/12/30	300,000,000	2014/09/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65282	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 H	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	300,000,000	2014/09/05
65279	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Y	0.250	25,188 per 10,000 units	24,988 per 10,000 units	2014/12/30	300,000,000	2014/09/05
65245	Standard Chartered Bank	ABC R Bear CBBC Jun 2015 A	0.250	4.05	3.8	2015/06/08	50,000,000	2014/09/05
65248	Standard Chartered Bank	AIA R Bear CBBC Apr 2015 B	0.250	46 per 100 units	45 per 100 units	2015/04/28	60,000,000	2014/09/05
65239	Standard Chartered Bank	Lenovo R Bear CBBC Apr 2015 C	0.250	13.38	12.88	2015/04/16	50,000,000	2014/09/05
65240	Standard Chartered Bank	Lenovo R Bear CBBC Apr 2015 D	0.290	14.38	13.88	2015/04/17	50,000,000	2014/09/05
65249	Standard Chartered Bank	PetCh R Bear CBBC May 2015 A	0.250	12.08	11.68	2015/05/06	50,000,000	2014/09/05
65237	Standard Chartered Bank	Sands China R Bull CBBC Apr 2015 B	0.250	44.38 per 100 units	46.88 per 100 units	2015/04/09	50,000,000	2014/09/05
65238	Standard Chartered Bank	Sands China R Bull CBBC Apr 2015 C	0.250	40.38 per 100 units	42.88 per 100 units	2015/04/10	50,000,000	2014/09/05
65267	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Jun15D	0.250	7.9	8.1	2015/06/29	100,000,000	2014/09/05
65268	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Jun15E	0.250	8.1	8.3	2015/06/29	100,000,000	2014/09/05
65235	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 T	0.250	24,118 per 10,000 units	24,218 per 10,000 units	2015/04/29	200,000,000	2014/09/05
65236	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 S	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/12/30	200,000,000	2014/09/05
65269	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 T	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2014/12/30	200,000,000	2014/09/05
65301	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	24,584 per 10,000 units	24,784 per 10,000 units	2015/05/28	238,000,000	2014/09/08
65309	Credit Suisse AG	HSI R Bull CBBC May 2015 G	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/05/28	238,000,000	2014/09/08
65289	Credit Suisse AG	HSI R Bear CBBC Dec 2014 R	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/12/30	238,000,000	2014/09/08
65291	Credit Suisse AG	HSI R Bear CBBC Dec 2014 S	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	238,000,000	2014/09/08
65292	Credit Suisse AG	HSI R Bear CBBC Dec 2014 T	0.250	24,977 per 12,000 units	24,777 per 12,000 units	2014/12/30	238,000,000	2014/09/08
65315	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC May15 A	0.250	66.30 per 100 units	63.80 per 100 units	2015/05/18	60,000,000	2014/09/08
65316	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Mar15B	0.250	44.50 per 100 units	47.50 per 100 units	2015/03/16	60,000,000	2014/09/08
65317	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC May15B	0.250	57 per 100 units	54 per 100 units	2015/05/11	60,000,000	2014/09/08
65322	Bank of East Asia	Galaxy Ent R Bear CBBC Apr 2015 A	0.250	68.50 per 100 units	66 per 100 units	2015/04/02	60,000,000	2014/09/08
65323	Bank of East Asia	Sands China R Bull CBBC May 2015 A	0.250	37.50 per 100 units	40 per 100 units	2015/05/06	100,000,000	2014/09/08
65300	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 A	0.259	24,338 per 20,000 units	24,638 per 20,000 units	2014/12/30	150,000,000	2014/09/08
65298	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2014 B	0.252	25,288 per 20,000 units	24,988 per 20,000 units	2014/12/30	150,000,000	2014/09/08
65313	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 Q	0.250	24,328 per 10,000 units	24,528 per 10,000 units	2015/06/29	300,000,000	2014/09/08
65314	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 R	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/06/29	300,000,000	2014/09/08
65312	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 I	0.250	25,088 per 10,000 units	24,888 per 10,000 units	2014/12/30	300,000,000	2014/09/08
65288	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 T	0.250	25,080 per 20,000 units	24,880 per 20,000 units	2015/02/26	100,000,000	2014/09/08
65295	UBS AG	HKEx R Bull CBBC Sep 2015 F	0.250	155 per 100 units	160 per 100 units	2015/09/21	100,000,000	2014/09/08
65297	UBS AG	HKEx R Bull CBBC Sep 2015 G	0.405	135 per 100 units	140 per 100 units	2015/09/07	100,000,000	2014/09/08
65311	UBS AG	HSI R Bull CBBC Feb 2015 O	0.250	24,584 per 10,000 units	24,784 per 10,000 units	2015/02/26	300,000,000	2014/09/08
65310	UBS AG	HSI R Bull CBBC Mar 2015 U	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/03/30	300,000,000	2014/09/08
65293	UBS AG	HSI R Bear CBBC Dec 2014 P	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/12/30	300,000,000	2014/09/08
65294	UBS AG	HSI R Bear CBBC Dec 2014 Q	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/12/30	300,000,000	2014/09/08
65360	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 L	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	200,000,000	2014/09/10
65361	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 K	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2015/02/26	200,000,000	2014/09/10
65362	Credit Suisse AG	HSI R Bull CBBC Mar 2015 E	0.250	24,552 per 12,000 units	24,752 per 12,000 units	2015/03/30	238,000,000	2014/09/10
65363	Credit Suisse AG	HSI R Bull CBBC Mar 2015 F	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/03/30	238,000,000	2014/09/10
65397	Credit Suisse AG	HSI R Bear CBBC Dec 2014 U	0.250	24,814 per 10,000 units	24,614 per 10,000 units	2014/12/30	238,000,000	2014/09/10
65399	Credit Suisse AG	HSI R Bear CBBC Dec 2014 W	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/12/30	238,000,000	2014/09/10
65416	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Jun15A	0.250	10.2	9.9	2015/06/08	100,000,000	2014/09/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65417	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Jun 15 A	0.250	8.88	9.18	2015/06/08	100,000,000	2014/09/10
65421	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Jun 15 A	0.250	9.98	9.68	2015/06/08	100,000,000	2014/09/10
65411	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Mar 2015 C	0.250	190 per 100 units	185 per 100 units	2015/03/23	50,000,000	2014/09/10
65415	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Jul 2015 B	0.250	56 per 100 units	60 per 100 units	2015/07/13	80,000,000	2014/09/10
65412	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 E	0.250	134.50 per 100 units	132 per 100 units	2015/04/15	200,000,000	2014/09/10
65341	HK Bank	CSOP A50 ETF R Bull CBBC May 2015 A	0.250	8.58	8.88	2015/05/28	200,000,000	2014/09/10
65342	HK Bank	CSOP A50 ETF R Bull CBBC May 2015 B	0.250	8.43	8.73	2015/05/28	200,000,000	2014/09/10
65349	HK Bank	CSOP A50 ETF R Bull CBBC Jun 2015 A	0.250	8.13	8.43	2015/06/29	200,000,000	2014/09/10
65350	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 A	0.250	7.63	8.13	2015/07/30	200,000,000	2014/09/10
65352	HK Bank	A50 R Bull CBBC May 2015 B	0.250	8.48	8.78	2015/05/28	200,000,000	2014/09/10
65337	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 A	0.250	64.27 per 100 units	61.27 per 100 units	2015/07/30	60,000,000	2014/09/10
65339	HK Bank	Sands China R Bear CBBC Mar 2015 A	0.250	54.28 per 100 units	51.28 per 100 units	2015/03/30	60,000,000	2014/09/10
65338	HK Bank	Sands China R Bear CBBC Aug 2015 A	0.250	57.28 per 100 units	54.28 per 100 units	2015/08/28	60,000,000	2014/09/10
65333	HK Bank	TCH R Bull CBBC Aug 2015 B	0.250	115.88 per 100 units	117.88 per 100 units	2015/08/28	100,000,000	2014/09/10
65331	HK Bank	TCH R Bear CBBC Jun 2015 C	0.250	133.88 per 100 units	131.88 per 100 units	2015/06/29	100,000,000	2014/09/10
65332	HK Bank	TCH R Bear CBBC Jul 2015 B	0.250	131.88 per 100 units	129.88 per 100 units	2015/07/30	100,000,000	2014/09/10
65384	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 S	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	300,000,000	2014/09/10
65376	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Q	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/12/30	300,000,000	2014/09/10
65379	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Z	0.250	24,814 per 10,000 units	24,614 per 10,000 units	2014/12/30	300,000,000	2014/09/10
65401	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC Mar 2015C	0.250	8.1	8.3	2015/03/27	50,000,000	2014/09/10
65406	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 G	0.250	24,380 per 20,000 units	24,580 per 20,000 units	2015/08/28	100,000,000	2014/09/10
65386	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC May15A	0.250	8.7	8.9	2015/05/28	100,000,000	2014/09/10
65355	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 15U	0.250	23,988 per 10,000 units	24,088 per 10,000 units	2015/04/29	200,000,000	2014/09/10
65357	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 15V	0.250	24,568 per 10,000 units	24,668 per 10,000 units	2015/04/29	200,000,000	2014/09/10
65387	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14U	0.250	24,768 per 10,000 units	24,668 per 10,000 units	2014/12/30	200,000,000	2014/09/10
65358	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 15K	0.250	25,300 per 20,000 units	25,200 per 20,000 units	2015/02/26	400,000,000	2014/09/10
65375	UBS AG	HSI R Bull CBBC Apr 2015 E	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/04/29	300,000,000	2014/09/10
65370	UBS AG	HSI R Bull CBBC May 2015 B	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/05/28	300,000,000	2014/09/10
65394	UBS AG	HSI R Bear CBBC Dec 2014 V	0.250	24,814 per 10,000 units	24,614 per 10,000 units	2014/12/30	300,000,000	2014/09/10
65389	UBS AG	HSI R Bear CBBC Jan 2015 T	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/01/29	300,000,000	2014/09/10
65400	UBS AG	TCH R Bull CBBC Jun 2015 C	0.250	115.50 per 100 units	118 per 100 units	2015/06/15	100,000,000	2014/09/10
65521	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 G	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	200,000,000	2014/09/11
65589	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 H	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/06/29	200,000,000	2014/09/11
65518	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 M	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/06/29	200,000,000	2014/09/11
65591	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 N	0.250	25,000 per 10,000 units	25,200 per 10,000 units	2015/06/29	200,000,000	2014/09/11
65592	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 W	0.250	25,100 per 10,000 units	25,300 per 10,000 units	2015/06/29	200,000,000	2014/09/11
65451	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 R	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2015/02/26	200,000,000	2014/09/11
65522	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 S	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/02/26	200,000,000	2014/09/11
65524	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 T	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/02/26	200,000,000	2014/09/11
65529	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 U	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2015/02/26	200,000,000	2014/09/11
65580	Credit Suisse AG	HSI R Bull CBBC Jun 2015 H	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	238,000,000	2014/09/11
65584	Credit Suisse AG	HSI R Bull CBBC Jun 2015 I	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/06/29	238,000,000	2014/09/11
65585	Credit Suisse AG	HSI R Bull CBBC Jun 2015 J	0.250	24,850 per 10,000 units	25,050 per 10,000 units	2015/06/29	238,000,000	2014/09/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65586	Credit Suisse AG	HSI R Bull CBBC Jun 2015 K	0.250	25,000 per 10,000 units	25,200 per 10,000 units	2015/06/29	238,000,000	2014/09/11
65588	Credit Suisse AG	HSI R Bull CBBC Jun 2015 L	0.250	25,117 per 10,000 units	25,317 per 10,000 units	2015/06/29	238,000,000	2014/09/11
65506	Credit Suisse AG	HSI R Bear CBBC Jan 2015 A	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65463	Credit Suisse AG	HSI R Bear CBBC Jan 2015 E	0.250	25,722 per 10,000 units	25,522 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65465	Credit Suisse AG	HSI R Bear CBBC Jan 2015 F	0.250	24,966 per 10,000 units	24,766 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65468	Credit Suisse AG	HSI R Bear CBBC Jan 2015 G	0.250	25,122 per 10,000 units	24,922 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65505	Credit Suisse AG	HSI R Bear CBBC Jan 2015 H	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65472	Credit Suisse AG	HSI R Bear CBBC Jan 2015 I	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65511	Credit Suisse AG	HSI R Bear CBBC Jan 2015 J	0.290	27,800 per 10,000 units	27,600 per 10,000 units	2015/01/29	238,000,000	2014/09/11
65510	Credit Suisse AG	HSI R Bear CBBC Feb 2015 W	0.250	27,100 per 10,000 units	26,900 per 10,000 units	2015/02/26	238,000,000	2014/09/11
65507	Credit Suisse AG	HSI R Bear CBBC Mar 2015 K	0.250	26,950 per 10,000 units	26,750 per 10,000 units	2015/03/30	238,000,000	2014/09/11
65512	Credit Suisse AG	HSI R Bear CBBC Mar 2015 L	0.330	28,200 per 10,000 units	28,000 per 10,000 units	2015/03/30	238,000,000	2014/09/11
65475	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15E	0.250	93 per 100 units	95.50 per 100 units	2015/06/29	80,000,000	2014/09/11
65476	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15F	0.250	95.50 per 100 units	98 per 100 units	2015/06/29	80,000,000	2014/09/11
65480	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15G	0.250	98 per 100 units	100.50 per 100 units	2015/06/29	80,000,000	2014/09/11
65481	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15O	0.250	121 per 100 units	118.50 per 100 units	2015/04/27	80,000,000	2014/09/11
65483	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15P	0.250	123.50 per 100 units	121 per 100 units	2015/04/27	80,000,000	2014/09/11
65422	HK Bank	China Mobile R Bull CBBC Jun 2015 D	0.250	92.18 per 100 units	94.68 per 100 units	2015/06/25	120,000,000	2014/09/11
65424	HK Bank	China Mobile R Bear CBBC Jul 2015 F	0.250	119.88 per 100 units	117.38 per 100 units	2015/07/14	60,000,000	2014/09/11
65427	HK Bank	CNOOC R Bull CBBC Jul 2015 B	0.250	14.08	14.88	2015/07/27	60,000,000	2014/09/11
65431	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2015 E	0.250	8.98	9.28	2015/03/23	200,000,000	2014/09/11
65432	HK Bank	A50 R Bull CBBC Mar 2015 H	0.250	8.83	9.13	2015/03/23	200,000,000	2014/09/11
65488	J P Morgan SP BV	HSI R Bull CBBC May 2015 V	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/05/28	300,000,000	2014/09/11
65611	J P Morgan SP BV	HSI R Bull CBBC May 2015 W	0.250	24,950 per 10,000 units	25,150 per 10,000 units	2015/05/28	300,000,000	2014/09/11
65490	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 T	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	300,000,000	2014/09/11
65613	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 B	0.250	25,100 per 10,000 units	25,300 per 10,000 units	2015/07/30	300,000,000	2014/09/11
65436	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 M	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/12/30	300,000,000	2014/09/11
65437	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 M	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65438	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 N	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65484	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 O	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65493	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 P	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65619	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 Q	0.250	26,188 per 10,000 units	25,988 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65620	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 R	0.250	25,518 per 10,000 units	25,318 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65615	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 H	0.250	26,550 per 10,000 units	26,350 per 10,000 units	2015/02/26	300,000,000	2014/09/11
65616	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 I	0.250	26,450 per 10,000 units	26,250 per 10,000 units	2015/02/26	300,000,000	2014/09/11
65618	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 J	0.250	26,288 per 10,000 units	26,088 per 10,000 units	2015/02/26	300,000,000	2014/09/11
65577	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 F	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/01/29	50,000,000	2014/09/11
65578	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 H	0.250	24,780 per 20,000 units	24,980 per 20,000 units	2015/08/28	100,000,000	2014/09/11
65579	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 I	0.250	24,580 per 20,000 units	24,780 per 20,000 units	2015/08/28	100,000,000	2014/09/11
65600	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 M	0.250	25,168 per 10,000 units	25,268 per 10,000 units	2015/04/29	200,000,000	2014/09/11
65495	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 W	0.250	24,488 per 10,000 units	24,588 per 10,000 units	2015/04/29	200,000,000	2014/09/11
65498	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 X	0.250	24,718 per 10,000 units	24,818 per 10,000 units	2015/04/29	200,000,000	2014/09/11
65499	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 Y	0.250	24,938 per 10,000 units	25,038 per 10,000 units	2015/04/29	200,000,000	2014/09/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65597	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 Z	0.250	25,018 per 10,000 units	25,118 per 10,000 units	2015/04/29	200,000,000	2014/09/11
65596	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 A	0.250	24,700 per 20,000 units	24,800 per 20,000 units	2015/06/29	400,000,000	2014/09/11
65500	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 V	0.250	25,218 per 10,000 units	25,118 per 10,000 units	2014/12/30	200,000,000	2014/09/11
65503	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 W	0.250	25,368 per 10,000 units	25,268 per 10,000 units	2014/12/30	200,000,000	2014/09/11
65569	UBS AG	China Mobile R Bull CBBC Oct 2015 B	0.250	92.50 per 100 units	95 per 100 units	2015/10/05	100,000,000	2014/09/11
65566	UBS AG	China Mobile R Bear CBBC Apr 2015 D	0.250	117.50 per 100 units	115 per 100 units	2015/04/13	100,000,000	2014/09/11
65565	UBS AG	China Mobile R Bear CBBC May 2015 C	0.250	122.50 per 100 units	120 per 100 units	2015/05/04	100,000,000	2014/09/11
65555	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 E	0.250	9	9.3	2015/03/02	100,000,000	2014/09/11
65551	UBS AG	A50 China R Bull CBBC Mar 2015 I	0.250	8.95	9.25	2015/03/23	100,000,000	2014/09/11
65575	UBS AG	Galaxy Ent R Bear CBBC Mar 2015 A	0.250	67.50 per 100 units	65 per 100 units	2015/03/09	50,000,000	2014/09/11
65570	UBS AG	HKEx R Bull CBBC Oct 2015 C	0.250	165 per 100 units	170 per 100 units	2015/10/05	100,000,000	2014/09/11
65532	UBS AG	HSI R Bull CBBC Mar 2015 V	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/03/30	300,000,000	2014/09/11
65545	UBS AG	HSI R Bull CBBC Apr 2015 F	0.250	25,117 per 10,000 units	25,317 per 10,000 units	2015/04/29	300,000,000	2014/09/11
65537	UBS AG	HSI R Bull CBBC May 2015 C	0.250	25,000 per 10,000 units	25,200 per 10,000 units	2015/05/28	300,000,000	2014/09/11
65535	UBS AG	HSI R Bull CBBC Jun 2015 C	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/06/29	300,000,000	2014/09/11
65443	UBS AG	HSI R Bear CBBC Dec 2014 T	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	300,000,000	2014/09/11
65440	UBS AG	HSI R Bear CBBC Dec 2014 U	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/12/30	300,000,000	2014/09/11
65550	UBS AG	HSI R Bear CBBC Dec 2014 W	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/12/30	300,000,000	2014/09/11
65449	UBS AG	HSI R Bear CBBC Jan 2015 U	0.250	24,975 per 12,000 units	24,775 per 12,000 units	2015/01/29	350,000,000	2014/09/11
65548	UBS AG	HSI R Bear CBBC Jan 2015 V	0.250	26,250 per 10,000 units	26,050 per 10,000 units	2015/01/29	300,000,000	2014/09/11
65546	UBS AG	HSI R Bear CBBC Feb 2015 J	0.250	27,400 per 10,000 units	27,200 per 10,000 units	2015/02/26	300,000,000	2014/09/11
65557	UBS AG	Sands China R Bear CBBC Apr 2015 A	0.250	58.80 per 100 units	56 per 100 units	2015/04/20	50,000,000	2014/09/11
65640	Credit Suisse AG	HSI R Bull CBBC May 2015 H	0.250	25,117 per 12,000 units	25,317 per 12,000 units	2015/05/28	238,000,000	2014/09/12
65641	Credit Suisse AG	HSI R Bull CBBC May 2015 I	0.250	25,050 per 10,000 units	25,250 per 10,000 units	2015/05/28	238,000,000	2014/09/12
65644	Credit Suisse AG	HSI R Bull CBBC May 2015 J	0.250	24,950 per 10,000 units	25,150 per 10,000 units	2015/05/28	238,000,000	2014/09/12
65646	Credit Suisse AG	HSI R Bull CBBC May 2015 K	0.250	24,800 per 10,000 units	25,000 per 10,000 units	2015/05/28	238,000,000	2014/09/12
65672	Credit Suisse AG	HSI R Bear CBBC Dec 2014 C	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/12/30	238,000,000	2014/09/12
65670	Credit Suisse AG	HSI R Bear CBBC Dec 2014 X	0.250	25,450 per 12,000 units	25,250 per 12,000 units	2014/12/30	238,000,000	2014/09/12
65697	Credit Suisse AG	HSI R Bear CBBC Apr 2015 N	0.250	27,150 per 10,000 units	26,950 per 10,000 units	2015/04/29	238,000,000	2014/09/12
65703	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 B	0.312	24,638 per 20,000 units	24,938 per 20,000 units	2014/12/30	150,000,000	2014/09/12
65705	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 C	0.297	24,938 per 20,000 units	25,238 per 20,000 units	2014/12/30	150,000,000	2014/09/12
65625	HK Bank	ABC R Bear CBBC Apr 2015 A	0.250	4.18	3.93	2015/04/30	40,000,000	2014/09/12
65647	HK Bank	China Mobile R Bull CBBC Jul 2015 J	0.250	95.18 per 100 units	97.68 per 100 units	2015/07/16	120,000,000	2014/09/12
65648	HK Bank	China Mobile R Bear CBBC Jul 2015 G	0.250	123.88 per 100 units	121.38 per 100 units	2015/07/16	60,000,000	2014/09/12
65637	HK Bank	CC Bank R Bear CBBC Apr 2015 A	0.250	6.58	6.28	2015/04/30	40,000,000	2014/09/12
65627	HK Bank	China Life R Bear CBBC Jul 2015 A	0.400	26.88	25.88	2015/07/31	50,000,000	2014/09/12
65631	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 I	0.250	9.1	9.4	2015/04/02	200,000,000	2014/09/12
65632	HK Bank	A50 R Bull CBBC Apr 2015 I	0.250	9.03	9.33	2015/04/02	200,000,000	2014/09/12
65635	HK Bank	HKEx R Bull CBBC Jun 2015 D	0.250	170.88 per 100 units	175.88 per 100 units	2015/06/08	60,000,000	2014/09/12
65621	HK Bank	HSI R Bull CBBC Apr 2015 D	0.250	25,088 per 15,000 units	25,288 per 15,000 units	2015/04/29	100,000,000	2014/09/12
65624	HK Bank	HSI R Bull CBBC May 2015 A	0.250	24,888 per 15,000 units	25,088 per 15,000 units	2015/05/28	100,000,000	2014/09/12
65626	HK Bank	HSI R Bull CBBC Jun 2015 C	0.250	24,688 per 15,000 units	24,888 per 15,000 units	2015/06/29	100,000,000	2014/09/12
65629	HK Bank	HSI R Bull CBBC Jul 2015 B	0.250	24,488 per 15,000 units	24,688 per 15,000 units	2015/07/30	100,000,000	2014/09/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65636	HK Bank	Ping An R Bear CBBC Jul 2015 A	0.250	76.88 per 100 units	72.88 per 100 units	2015/07/31	40,000,000	2014/09/12
65633	HK Bank	TCH R Bull CBBC Apr 2015 E	0.250	124.88 per 100 units	126.88 per 100 units	2015/04/20	100,000,000	2014/09/12
65665	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 U	0.250	24,850 per 10,000 units	25,050 per 10,000 units	2015/06/29	300,000,000	2014/09/12
65660	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 C	0.250	24,700 per 10,000 units	24,900 per 10,000 units	2015/07/30	300,000,000	2014/09/12
65669	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 D	0.250	25,000 per 10,000 units	25,200 per 10,000 units	2015/07/30	300,000,000	2014/09/12
65702	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 F	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2014/12/30	300,000,000	2014/09/12
65698	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 K	0.250	26,388 per 10,000 units	26,188 per 10,000 units	2015/02/26	300,000,000	2014/09/12
65657	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC May15 A	0.250	8.88	9.08	2015/05/28	100,000,000	2014/09/12
65656	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 K	0.250	25,088 per 10,000 units	25,188 per 10,000 units	2015/04/29	200,000,000	2014/09/12
65650	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 O	0.250	24,868 per 10,000 units	24,968 per 10,000 units	2015/04/29	200,000,000	2014/09/12
65689	UBS AG	Cheung Kong R Bull CBBC Sep 2015 A	0.250	131 per 100 units	137 per 100 units	2015/09/21	100,000,000	2014/09/12
65692	UBS AG	HSI R Bull CBBC Jan 2015 L	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/01/29	300,000,000	2014/09/12
65694	UBS AG	HSI R Bull CBBC Feb 2015 P	0.250	24,950 per 10,000 units	25,150 per 10,000 units	2015/02/26	300,000,000	2014/09/12
65696	UBS AG	HSI R Bull CBBC May 2015 D	0.250	25,100 per 10,000 units	25,300 per 10,000 units	2015/05/28	300,000,000	2014/09/12
65680	UBS AG	HSI R Bear CBBC Dec 2014 X	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2014/12/30	300,000,000	2014/09/12
65730	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF R Bull CBBC Jun15A	0.250	8.9	9.2	2015/06/29	100,000,000	2014/09/15
65731	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF R Bull CBBC Jun15B	0.250	8.5	8.8	2015/06/29	100,000,000	2014/09/15
65732	Credit Suisse AG	HSI R Bull CBBC Apr 2015 T	0.250	25,077 per 10,000 units	25,277 per 10,000 units	2015/04/29	238,000,000	2014/09/15
65738	Credit Suisse AG	HSI R Bull CBBC Apr 2015 U	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/04/29	238,000,000	2014/09/15
65748	Credit Suisse AG	HSI R Bull CBBC Apr 2015 V	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/04/29	238,000,000	2014/09/15
65751	Credit Suisse AG	HSI R Bear CBBC Feb 2015 X	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2015/02/26	238,000,000	2014/09/15
65753	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Y	0.250	25,433 per 12,000 units	25,233 per 12,000 units	2015/02/26	238,000,000	2014/09/15
65723	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2015 J	0.250	9.28	9.58	2015/04/15	200,000,000	2014/09/15
65722	HK Bank	A50 R Bull CBBC Apr 2015 J	0.250	8.93	9.23	2015/04/13	200,000,000	2014/09/15
65717	HK Bank	HSCEI R Bull CBBC Jun 2015 B	0.250	10,888 per 10,000 units	11,088 per 10,000 units	2015/06/29	100,000,000	2014/09/15
65725	HK Bank	HSI R Bull CBBC Mar 2015 N	0.250	24,988 per 15,000 units	25,188 per 15,000 units	2015/03/30	100,000,000	2014/09/15
65726	HK Bank	HSI R Bull CBBC May 2015 B	0.250	24,588 per 15,000 units	24,788 per 15,000 units	2015/05/28	100,000,000	2014/09/15
65716	HK Bank	HSI R Bear CBBC Oct 2015 A	0.260	30,328 per 20,000 units	30,028 per 20,000 units	2015/10/29	400,000,000	2014/09/15
65710	J P Morgan SP BV	HSI R Bull CBBC May 2015 X	0.250	24,750 per 10,000 units	24,950 per 10,000 units	2015/05/28	300,000,000	2014/09/15
65712	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 E	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/07/30	300,000,000	2014/09/15
65709	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 G	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/12/30	300,000,000	2014/09/15
65739	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 G	0.250	25,480 per 20,000 units	25,280 per 20,000 units	2015/03/30	100,000,000	2014/09/15
65743	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 H	0.250	26,980 per 15,000 units	26,780 per 15,000 units	2015/03/30	100,000,000	2014/09/15
65745	UBS AG	HSI R Bull CBBC Jan 2015 M	0.250	24,900 per 10,000 units	25,100 per 10,000 units	2015/01/29	300,000,000	2014/09/15
65747	UBS AG	HSI R Bull CBBC Mar 2015 W	0.250	25,050 per 10,000 units	25,250 per 10,000 units	2015/03/30	300,000,000	2014/09/15
65754	UBS AG	HSI R Bear CBBC Dec 2014 Y	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2014/12/30	300,000,000	2014/09/15
65755	UBS AG	HSI R Bear CBBC Jan 2015 W	0.250	25,432 per 12,000 units	25,232 per 12,000 units	2015/01/29	350,000,000	2014/09/15
65761	Credit Suisse AG	HSI R Bull CBBC Feb 2015 G	0.250	25,036 per 12,000 units	25,236 per 12,000 units	2015/02/26	238,000,000	2014/09/16
65792	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Z	0.250	25,362 per 12,000 units	25,162 per 12,000 units	2015/02/26	238,000,000	2014/09/16
65770	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 V	0.250	24,688 per 10,000 units	24,888 per 10,000 units	2015/06/29	300,000,000	2014/09/16
65773	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 F	0.250	24,488 per 10,000 units	24,688 per 10,000 units	2015/07/30	300,000,000	2014/09/16
65765	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 K	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2014/12/30	300,000,000	2014/09/16
65778	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2015 G	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/01/29	50,000,000	2014/09/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65785	Standard Chartered Bank	HSBC R Bull CBBC Mar 2015 A	0.250	75 per 100 units	78 per 100 units	2015/03/03	50,000,000	2014/09/16
65780	Standard Chartered Bank	HSBC R Bear CBBC Feb 2015 A	0.250	90 per 100 units	87 per 100 units	2015/02/11	50,000,000	2014/09/16
65786	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14X	0.250	25,288 per 10,000 units	25,188 per 10,000 units	2014/12/30	200,000,000	2014/09/16
65787	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 14Y	0.250	25,438 per 10,000 units	25,338 per 10,000 units	2014/12/30	200,000,000	2014/09/16
65756	UBS AG	HSI R Bull CBBC Feb 2015 Q	0.250	25,040 per 12,000 units	25,240 per 12,000 units	2015/02/26	350,000,000	2014/09/16
65790	UBS AG	HSI R Bear CBBC Dec 2014 E	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/12/30	300,000,000	2014/09/16
65791	UBS AG	HSI R Bear CBBC Dec 2014 F	0.250	25,362 per 10,000 units	25,162 per 10,000 units	2014/12/30	300,000,000	2014/09/16
69975*	HK Bank	HSI R Bull CBBC Mar 2015 G	0.044	23,988 per 15,000 units	24,188 per 15,000 units	2015/03/30	250,000,000	2014/09/16
65816	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 V	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/06/29	200,000,000	2014/09/17
65907	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 X	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	200,000,000	2014/09/17
65901	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 V	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/02/26	200,000,000	2014/09/17
65904	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 W	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/02/26	200,000,000	2014/09/17
65823	Credit Suisse AG	HSI R Bull CBBC Jul 2015 A	0.250	24,990 per 12,000 units	25,190 per 12,000 units	2015/07/30	238,000,000	2014/09/17
65825	Credit Suisse AG	HSI R Bull CBBC Jul 2015 B	0.250	24,866 per 10,000 units	25,066 per 10,000 units	2015/07/30	238,000,000	2014/09/17
65826	Credit Suisse AG	HSI R Bull CBBC Jul 2015 C	0.250	24,777 per 10,000 units	24,977 per 10,000 units	2015/07/30	238,000,000	2014/09/17
65830	Credit Suisse AG	HSI R Bull CBBC Jul 2015 D	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/07/30	238,000,000	2014/09/17
65836	Credit Suisse AG	HSI R Bull CBBC Jul 2015 E	0.250	24,550 per 10,000 units	24,750 per 10,000 units	2015/07/30	238,000,000	2014/09/17
65900	Credit Suisse AG	HSI R Bull CBBC Jul 2015 F	0.250	24,466 per 10,000 units	24,666 per 10,000 units	2015/07/30	238,000,000	2014/09/17
65934	Credit Suisse AG	HSI R Bear CBBC Dec 2014 A	0.250	24,906 per 10,000 units	24,706 per 10,000 units	2014/12/30	238,000,000	2014/09/17
65920	Credit Suisse AG	HSI R Bear CBBC Dec 2014 E	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/12/30	238,000,000	2014/09/17
65922	Credit Suisse AG	HSI R Bear CBBC Dec 2014 F	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/12/30	238,000,000	2014/09/17
65933	Credit Suisse AG	HSI R Bear CBBC Dec 2014 I	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	238,000,000	2014/09/17
65915	Credit Suisse AG	HSI R Bear CBBC Dec 2014 Y	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/12/30	238,000,000	2014/09/17
65916	Credit Suisse AG	HSI R Bear CBBC Dec 2014 Z	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/12/30	238,000,000	2014/09/17
65942	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Mar 15E	0.250	61.30 per 100 units	58.80 per 100 units	2015/03/23	60,000,000	2014/09/17
65943	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Jul15B	0.250	38 per 100 units	41 per 100 units	2015/07/09	60,000,000	2014/09/17
65944	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC May15C	0.250	54 per 100 units	51 per 100 units	2015/05/11	60,000,000	2014/09/17
65935	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2014 C	0.257	25,488 per 20,000 units	25,188 per 20,000 units	2014/12/30	150,000,000	2014/09/17
65937	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2014 D	0.256	25,188 per 20,000 units	24,888 per 20,000 units	2014/12/30	150,000,000	2014/09/17
65799	HK Bank	CSOP A50 ETF R Bull CBBC May 2015 C	0.250	9.4	9.7	2015/05/11	200,000,000	2014/09/17
65798	HK Bank	A50 R Bull CBBC Apr 2015 K	0.250	9.13	9.43	2015/04/30	200,000,000	2014/09/17
65797	HK Bank	A50 R Bull CBBC May 2015 C	0.250	9.23	9.53	2015/05/11	200,000,000	2014/09/17
65795	HK Bank	HSI R Bull CBBC Apr 2015 E	0.250	24,788 per 15,000 units	24,988 per 15,000 units	2015/04/29	100,000,000	2014/09/17
65793	HK Bank	HSI R Bull CBBC Jun 2015 D	0.250	24,388 per 15,000 units	24,588 per 15,000 units	2015/06/29	100,000,000	2014/09/17
65796	HK Bank	HSI R Bear CBBC May 2015 E	0.250	25,488 per 15,000 units	25,288 per 15,000 units	2015/05/28	100,000,000	2014/09/17
65811	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 W	0.250	24,988 per 10,000 units	25,188 per 10,000 units	2015/06/29	300,000,000	2014/09/17
65801	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 G	0.250	24,600 per 10,000 units	24,800 per 10,000 units	2015/07/30	300,000,000	2014/09/17
65804	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 H	0.250	24,788 per 10,000 units	24,988 per 10,000 units	2015/07/30	300,000,000	2014/09/17
65855	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 U	0.250	24,937 per 10,000 units	24,737 per 10,000 units	2014/12/30	300,000,000	2014/09/17
65857	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 V	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	300,000,000	2014/09/17
65865	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 S	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2015/01/29	300,000,000	2014/09/17
65908	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 G	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/01/29	50,000,000	2014/09/17
65817	Standard Chartered Bank	HSI R Bull CBBC Sep 2015 A	0.250	24,680 per 15,000 units	24,880 per 15,000 units	2015/09/29	100,000,000	2014/09/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65910	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 Q	0.250	25,280 per 15,000 units	25,080 per 15,000 units	2015/01/29	100,000,000	2014/09/17
65911	Standard Chartered Bank	HSI R Bear CBBC Jan 2015 R	0.250	25,080 per 12,000 units	24,880 per 12,000 units	2015/01/29	100,000,000	2014/09/17
65875	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 A	0.250	25,138 per 10,000 units	25,038 per 10,000 units	2014/12/30	200,000,000	2014/09/17
65874	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 C	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/12/30	200,000,000	2014/09/17
65871	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 Z	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2014/12/30	200,000,000	2014/09/17
65897	UBS AG	Cheung Kong R Bull CBBC Jul 2015 A	0.255	114 per 100 units	120 per 100 units	2015/07/20	50,000,000	2014/09/17
65879	UBS AG	CNOOC R Bull CBBC Oct 2015 B	0.295	12.2	13	2015/10/26	50,000,000	2014/09/17
65896	UBS AG	Sinopec Corp R Bull CBBC Oct 2015 A	0.250	6.98	7.28	2015/10/12	100,000,000	2014/09/17
65852	UBS AG	HSI R Bull CBBC Jan 2015 H	0.250	24,990 per 12,000 units	25,190 per 12,000 units	2015/01/29	350,000,000	2014/09/17
65845	UBS AG	HSI R Bull CBBC Mar 2015 X	0.250	24,850 per 10,000 units	25,050 per 10,000 units	2015/03/30	300,000,000	2014/09/17
65843	UBS AG	HSI R Bull CBBC Apr 2015 G	0.250	24,650 per 10,000 units	24,850 per 10,000 units	2015/04/29	300,000,000	2014/09/17
65914	UBS AG	HSI R Bull CBBC May 2015 E	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/05/28	300,000,000	2014/09/17
65939	UBS AG	HSI R Bear CBBC Dec 2014 H	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/12/30	300,000,000	2014/09/17
65941	UBS AG	HSI R Bear CBBC Dec 2014 J	0.250	24,906 per 10,000 units	24,706 per 10,000 units	2014/12/30	300,000,000	2014/09/17
65940	UBS AG	HSI R Bear CBBC Jan 2015 X	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2015/01/29	300,000,000	2014/09/17
65880	UBS AG	Petrochina R Bull CBBC Oct 2015 A	0.250	9.6	10	2015/10/12	50,000,000	2014/09/17
65876	UBS AG	Sands China R Bull CBBC Jul 2015 A	0.250	37.20 per 100 units	40 per 100 units	2015/07/27	100,000,000	2014/09/17
65892	UBS AG	TCH R Bull CBBC Jul 2015 F	0.250	111.50 per 100 units	114 per 100 units	2015/07/13	100,000,000	2014/09/17
65885	UBS AG	Tencent R Bear CBBC Mar 2015 E	0.250	132.50 per 100 units	130 per 100 units	2015/03/23	100,000,000	2014/09/17
66017	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 T	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/06/29	200,000,000	2014/09/18
66081	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Y	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/02/26	200,000,000	2014/09/18
66031	Credit Suisse AG	China Mobile R Bull CBBC Jan 2015 D	0.250	92.20 per 100 units	94.70 per 100 units	2015/01/30	50,000,000	2014/09/18
66036	Credit Suisse AG	China Mobile R Bull CBBC Jan 2015 E	0.250	95.20 per 100 units	97.70 per 100 units	2015/01/30	50,000,000	2014/09/18
66040	Credit Suisse AG	China Mobile R Bear CBBC Jan 2015 F	0.250	117.40 per 100 units	114.90 per 100 units	2015/01/30	50,000,000	2014/09/18
66057	Credit Suisse AG	HSI R Bull CBBC Mar 2015 P	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/03/30	238,000,000	2014/09/18
66063	Credit Suisse AG	HSI R Bull CBBC Mar 2015 Q	0.250	24,377 per 10,000 units	24,577 per 10,000 units	2015/03/30	238,000,000	2014/09/18
66050	Credit Suisse AG	HSI R Bull CBBC Mar 2015 R	0.250	24,532 per 12,000 units	24,732 per 12,000 units	2015/03/30	238,000,000	2014/09/18
65992	Credit Suisse AG	HSI R Bear CBBC Jan 2015 B	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2015/01/29	238,000,000	2014/09/18
65993	Credit Suisse AG	HSI R Bear CBBC Jan 2015 C	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	238,000,000	2014/09/18
65991	Credit Suisse AG	HSI R Bear CBBC Jan 2015 O	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/01/29	238,000,000	2014/09/18
66077	Credit Suisse AG	HSI R Bear CBBC Jan 2015 P	0.250	24,863 per 10,000 units	24,663 per 10,000 units	2015/01/29	238,000,000	2014/09/18
66021	Credit Suisse AG	TCH R Bull CBBC Feb 2015 B	0.250	118.20 per 100 units	120.70 per 100 units	2015/02/27	50,000,000	2014/09/18
66028	Credit Suisse AG	TCH R Bear CBBC Feb 2015 C	0.250	131.40 per 100 units	128.90 per 100 units	2015/02/27	50,000,000	2014/09/18
65969	HK Bank	Galaxy Ent R Bear CBBC Apr 2015 A	0.250	60.77 per 100 units	57.77 per 100 units	2015/04/13	60,000,000	2014/09/18
65973	HK Bank	Great Wall Motor R Bull CBBC Dec 2015 E	0.250	27.58 per 100 units	30.08 per 100 units	2015/12/31	40,000,000	2014/09/18
65946	HK Bank	HSI R Bear CBBC Apr 2015 M	0.250	25,288 per 15,000 units	25,088 per 15,000 units	2015/04/29	100,000,000	2014/09/18
65950	HK Bank	HSI R Bear CBBC Apr 2015 N	0.250	24,906 per 15,000 units	24,706 per 15,000 units	2015/04/29	100,000,000	2014/09/18
65951	HK Bank	HSI R Bear CBBC Apr 2015 O	0.250	25,088 per 15,000 units	24,888 per 15,000 units	2015/04/29	100,000,000	2014/09/18
65971	HK Bank	Kingsoft R Bear CBBC Jul 2015 A	0.520	25.88	23.88	2015/07/27	40,000,000	2014/09/18
65970	HK Bank	Sands China R Bull CBBC Jul 2015 A	0.250	30.18 per 100 units	33.18 per 100 units	2015/07/06	80,000,000	2014/09/18
65956	HK Bank	TCH R Bear CBBC Jul 2015 C	0.250	127.88 per 100 units	125.88 per 100 units	2015/07/06	100,000,000	2014/09/18
65965	HK Bank	TCH R Bear CBBC Jul 2015 D	0.250	129.88 per 100 units	127.88 per 100 units	2015/07/13	100,000,000	2014/09/18
65974	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 X	0.250	24,500 per 10,000 units	24,700 per 10,000 units	2015/06/29	300,000,000	2014/09/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66078	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 W	0.250	24,863 per 10,000 units	24,663 per 10,000 units	2014/12/30	300,000,000	2014/09/18
66080	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 X	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/12/30	300,000,000	2014/09/18
66079	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 T	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	300,000,000	2014/09/18
65979	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 H	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2015/01/29	50,000,000	2014/09/18
65997	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 C	0.250	24,488 per 15,000 units	24,688 per 15,000 units	2015/03/30	100,000,000	2014/09/18
66006	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 U	0.250	25,180 per 15,000 units	24,980 per 15,000 units	2015/02/26	100,000,000	2014/09/18
66084	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 B	0.250	24,768 per 10,000 units	24,668 per 10,000 units	2014/12/30	200,000,000	2014/09/18
66085	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 D	0.250	24,918 per 10,000 units	24,818 per 10,000 units	2014/12/30	200,000,000	2014/09/18
66088	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 E	0.250	25,068 per 10,000 units	24,968 per 10,000 units	2014/12/30	200,000,000	2014/09/18
66068	UBS AG	HSI R Bull CBBC Feb 2015 R	0.250	24,532 per 12,000 units	24,732 per 12,000 units	2015/02/26	350,000,000	2014/09/18
66067	UBS AG	HSI R Bull CBBC Mar 2015 Y	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/03/30	300,000,000	2014/09/18
65980	UBS AG	HSI R Bear CBBC Dec 2014 A	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/12/30	300,000,000	2014/09/18
66071	UBS AG	HSI R Bear CBBC Dec 2014 I	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/12/30	300,000,000	2014/09/18
66072	UBS AG	HSI R Bear CBBC Dec 2014 K	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/12/30	300,000,000	2014/09/18
66076	UBS AG	HSI R Bear CBBC Dec 2014 L	0.250	24,863 per 10,000 units	24,663 per 10,000 units	2014/12/30	300,000,000	2014/09/18
66118	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 U	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/06/29	200,000,000	2014/09/19
66198	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 F	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2015/02/26	200,000,000	2014/09/19
66107	Credit Suisse AG	HSI R Bull CBBC May 2015 L	0.250	24,462 per 12,000 units	24,662 per 12,000 units	2015/05/28	238,000,000	2014/09/19
66110	Credit Suisse AG	HSI R Bull CBBC May 2015 M	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/05/28	238,000,000	2014/09/19
66117	Credit Suisse AG	HSI R Bull CBBC May 2015 N	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/05/28	238,000,000	2014/09/19
66283	Credit Suisse AG	HSI R Bear CBBC Dec 2014 B	0.250	24,770 per 10,000 units	24,570 per 10,000 units	2014/12/30	238,000,000	2014/09/19
66284	Credit Suisse AG	HSI R Bear CBBC Dec 2014 D	0.250	24,850 per 12,000 units	24,650 per 12,000 units	2014/12/30	238,000,000	2014/09/19
66090	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 I	0.250	24,400 per 10,000 units	24,600 per 10,000 units	2015/07/30	300,000,000	2014/09/19
66157	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 J	0.250	23,828 per 10,000 units	24,028 per 10,000 units	2015/07/30	300,000,000	2014/09/19
66094	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 A	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/08/28	300,000,000	2014/09/19
66158	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 H	0.250	24,770 per 10,000 units	24,570 per 10,000 units	2014/12/30	300,000,000	2014/09/19
66162	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Y	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/12/30	300,000,000	2014/09/19
66167	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 U	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2015/01/29	300,000,000	2014/09/19
66099	Standard Chartered Bank	China Mobile R Bear CBBC Apr 2015A	0.250	114.30 per 100 units	111.80 per 100 units	2015/04/13	50,000,000	2014/09/19
66100	Standard Chartered Bank	CSOP A50 ETF R Bear CBBC Jun 2015A	0.250	11	10.8	2015/06/29	50,000,000	2014/09/19
66101	Standard Chartered Bank	A50 China R Bear CBBC Jun 2015 A	0.250	11.3	11	2015/06/29	50,000,000	2014/09/19
66126	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 D	0.250	24,480 per 12,000 units	24,580 per 12,000 units	2015/03/30	100,000,000	2014/09/19
66134	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 V	0.250	25,280 per 12,000 units	25,180 per 12,000 units	2015/02/26	100,000,000	2014/09/19
66097	Standard Chartered Bank	Lenovo R Bull CBBC Sep 2015 B	0.250	10.48	10.88	2015/09/09	50,000,000	2014/09/19
66102	Standard Chartered Bank	PetCh R Bear CBBC May 2015 B	0.250	12.2	11.8	2015/05/18	50,000,000	2014/09/19
66095	Standard Chartered Bank	Sands China R Bull CBBC Jul 2015 A	0.250	36.38 per 100 units	38.88 per 100 units	2015/07/15	50,000,000	2014/09/19
66096	Standard Chartered Bank	Sands China R Bear CBBC Apr 2015 B	0.250	57.68 per 100 units	54.88 per 100 units	2015/04/09	50,000,000	2014/09/19
66104	Standard Chartered Bank	TCH R Bear CBBC Apr 2015 A	0.250	135.30 per 100 units	132.80 per 100 units	2015/04/15	80,000,000	2014/09/19
66151	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 J	0.250	24,488 per 10,000 units	24,588 per 10,000 units	2015/04/29	200,000,000	2014/09/19
66138	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 P	0.250	24,418 per 10,000 units	24,518 per 10,000 units	2015/04/29	200,000,000	2014/09/19
66136	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 B	0.250	22,768 per 10,000 units	22,868 per 10,000 units	2015/06/29	200,000,000	2014/09/19
66137	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 C	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2015/06/29	200,000,000	2014/09/19
66174	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 F	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2014/12/30	200,000,000	2014/09/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66277	UBS AG	HSI R Bull CBBC May 2015 F	0.250	24,300 per 10,000 units	24,500 per 10,000 units	2015/05/28	300,000,000	2014/09/19
66281	UBS AG	HSI R Bull CBBC Jun 2015 D	0.250	24,450 per 10,000 units	24,650 per 10,000 units	2015/06/29	300,000,000	2014/09/19
66224	UBS AG	HSI R Bear CBBC Dec 2014 B	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/12/30	300,000,000	2014/09/19
66232	UBS AG	HSI R Bear CBBC Dec 2014 N	0.250	24,770 per 10,000 units	24,570 per 10,000 units	2014/12/30	300,000,000	2014/09/19
66510	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 X	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/02/26	200,000,000	2014/09/22
66491	Credit Suisse AG	A50 China R Bull CBBC Feb 2015 A	0.250	8.49	8.79	2015/02/27	50,000,000	2014/09/22
66492	Credit Suisse AG	A50 China R Bull CBBC Feb 2015 B	0.250	8.16	8.46	2015/02/27	50,000,000	2014/09/22
66494	Credit Suisse AG	A50 China R Bull CBBC Feb 2015 C	0.250	7.9	8.2	2015/02/27	50,000,000	2014/09/22
66304	Credit Suisse AG	HSI R Bull CBBC Apr 2015 W	0.250	24,395 per 12,000 units	24,595 per 12,000 units	2015/04/29	238,000,000	2014/09/22
66308	Credit Suisse AG	HSI R Bull CBBC Apr 2015 X	0.250	24,277 per 10,000 units	24,477 per 10,000 units	2015/04/29	238,000,000	2014/09/22
66317	Credit Suisse AG	HSI R Bull CBBC Apr 2015 Y	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/04/29	238,000,000	2014/09/22
66554	Credit Suisse AG	HSI R Bear CBBC Dec 2014 H	0.250	24,557 per 10,000 units	24,357 per 10,000 units	2014/12/30	238,000,000	2014/09/22
66565	Credit Suisse AG	HSI R Bear CBBC Dec 2014 J	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/12/30	238,000,000	2014/09/22
66568	Credit Suisse AG	HSI R Bear CBBC Dec 2014 K	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/12/30	238,000,000	2014/09/22
66570	Citigroup Global Mkt H Inc.	Sinopec Corp R Bear CBBC Apr15C	0.250	8.3	8	2015/04/23	60,000,000	2014/09/22
66592	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Mar15 F	0.250	58.30 per 100 units	55.80 per 100 units	2015/03/23	60,000,000	2014/09/22
66596	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 D	0.271	24,038 per 20,000 units	24,338 per 20,000 units	2014/12/30	150,000,000	2014/09/22
66599	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 E	0.253	23,788 per 20,000 units	24,088 per 20,000 units	2014/12/30	150,000,000	2014/09/22
66604	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 F	0.271	23,488 per 20,000 units	23,788 per 20,000 units	2014/12/30	150,000,000	2014/09/22
66593	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 B	0.259	24,888 per 20,000 units	24,588 per 20,000 units	2015/01/29	150,000,000	2014/09/22
66286	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 K	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/07/30	300,000,000	2014/09/22
66287	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 L	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2015/07/30	300,000,000	2014/09/22
66455	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 I	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/12/30	300,000,000	2014/09/22
66475	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Q	0.250	24,593 per 10,000 units	24,393 per 10,000 units	2014/12/30	300,000,000	2014/09/22
66443	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 V	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/01/29	300,000,000	2014/09/22
66511	Standard Chartered Bank	Galaxy Ent R Bull CBBC Jul 2015 A	0.250	48 per 100 units	50 per 100 units	2015/07/03	50,000,000	2014/09/22
66533	Standard Chartered Bank	Galaxy Ent R Bear CBBC Mar 2015 B	0.250	59 per 100 units	57 per 100 units	2015/03/03	50,000,000	2014/09/22
66331	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 J	0.250	24,180 per 20,000 units	24,380 per 20,000 units	2015/08/28	100,000,000	2014/09/22
66342	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 W	0.250	24,880 per 15,000 units	24,680 per 15,000 units	2015/02/26	100,000,000	2014/09/22
66534	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 X	0.250	25,080 per 20,000 units	24,880 per 20,000 units	2015/02/26	100,000,000	2014/09/22
66349	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Apr15C	0.250	8.95	9.15	2015/04/29	100,000,000	2014/09/22
66361	SGA Societe Generale Acceptance N.V.	CSA50 R Bear CBBC Apr15B	0.250	10.2	10	2015/04/29	100,000,000	2014/09/22
66292	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 I	0.250	24,338 per 10,000 units	24,438 per 10,000 units	2015/04/29	200,000,000	2014/09/22
66290	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 L	0.250	24,268 per 10,000 units	24,368 per 10,000 units	2015/04/29	200,000,000	2014/09/22
66411	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 D	0.250	22,318 per 10,000 units	22,418 per 10,000 units	2015/06/29	200,000,000	2014/09/22
66421	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 E	0.250	22,468 per 10,000 units	22,568 per 10,000 units	2015/06/29	200,000,000	2014/09/22
66435	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 F	0.250	22,618 per 10,000 units	22,718 per 10,000 units	2015/06/29	200,000,000	2014/09/22
66436	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 G	0.250	24,538 per 10,000 units	24,438 per 10,000 units	2014/12/30	200,000,000	2014/09/22
66348	UBS AG	HSI R Bull CBBC Jan 2015 U	0.250	24,395 per 12,000 units	24,595 per 12,000 units	2015/01/29	350,000,000	2014/09/22
66345	UBS AG	HSI R Bull CBBC Mar 2015 Z	0.250	24,250 per 10,000 units	24,450 per 10,000 units	2015/03/30	300,000,000	2014/09/22
66545	UBS AG	HSI R Bear CBBC Dec 2014 O	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/12/30	300,000,000	2014/09/22
66552	UBS AG	HSI R Bear CBBC Dec 2014 P	0.250	24,557 per 10,000 units	24,357 per 10,000 units	2014/12/30	300,000,000	2014/09/22
66720	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 S	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/06/29	200,000,000	2014/09/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66730	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Y	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	200,000,000	2014/09/23
66892	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 I	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/02/26	200,000,000	2014/09/23
66914	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Q	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/02/26	200,000,000	2014/09/23
66737	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 Z	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2015/02/26	200,000,000	2014/09/23
66749	Credit Suisse AG	HSI R Bull CBBC May 2015 O	0.250	23,322 per 20,000 units	23,622 per 20,000 units	2015/05/28	238,000,000	2014/09/23
66752	Credit Suisse AG	HSI R Bull CBBC May 2015 P	0.250	22,977 per 20,000 units	23,277 per 20,000 units	2015/05/28	238,000,000	2014/09/23
66777	Credit Suisse AG	HSI R Bull CBBC Jun 2015 M	0.250	24,156 per 12,000 units	24,356 per 12,000 units	2015/06/29	238,000,000	2014/09/23
66780	Credit Suisse AG	HSI R Bull CBBC Jun 2015 N	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/06/29	238,000,000	2014/09/23
66783	Credit Suisse AG	HSI R Bull CBBC Jun 2015 O	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	238,000,000	2014/09/23
66816	Credit Suisse AG	HSI R Bear CBBC Jan 2015 Q	0.250	24,337 per 10,000 units	24,137 per 10,000 units	2015/01/29	238,000,000	2014/09/23
66817	Credit Suisse AG	HSI R Bear CBBC Jan 2015 V	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/01/29	238,000,000	2014/09/23
66830	Credit Suisse AG	HSI R Bear CBBC Jan 2015 W	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2015/01/29	238,000,000	2014/09/23
66831	Credit Suisse AG	HSI R Bear CBBC Jan 2015 X	0.250	24,730 per 10,000 units	24,530 per 10,000 units	2015/01/29	238,000,000	2014/09/23
66753	Credit Suisse AG	HSI R Bear CBBC May 2015 A	0.250	25,422 per 20,000 units	25,122 per 20,000 units	2015/05/28	238,000,000	2014/09/23
66772	Credit Suisse AG	HSI R Bear CBBC May 2015 B	0.250	25,077 per 20,000 units	24,777 per 20,000 units	2015/05/28	238,000,000	2014/09/23
66919	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15Q	0.250	100.50 per 100 units	98 per 100 units	2015/04/24	80,000,000	2014/09/23
66920	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15R	0.250	103 per 100 units	100.50 per 100 units	2015/04/24	80,000,000	2014/09/23
66606	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 Y	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/06/29	300,000,000	2014/09/23
66664	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 Z	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/06/29	300,000,000	2014/09/23
66681	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 M	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2015/07/30	300,000,000	2014/09/23
66877	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 N	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2015/07/30	300,000,000	2014/09/23
66887	J P Morgan SP BV	HSI R Bear CBBC Dec 2014 Z	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/12/30	300,000,000	2014/09/23
66691	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 W	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2015/01/29	300,000,000	2014/09/23
66921	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 X	0.250	24,337 per 10,000 units	24,137 per 10,000 units	2015/01/29	300,000,000	2014/09/23
66694	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 I	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/01/29	50,000,000	2014/09/23
66743	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 K	0.250	23,980 per 15,000 units	24,180 per 15,000 units	2015/08/28	100,000,000	2014/09/23
66740	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Y	0.250	24,780 per 20,000 units	24,580 per 20,000 units	2015/02/26	100,000,000	2014/09/23
66741	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Z	0.250	24,580 per 15,000 units	24,380 per 15,000 units	2015/02/26	100,000,000	2014/09/23
66701	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 G	0.250	24,188 per 10,000 units	24,288 per 10,000 units	2015/04/29	200,000,000	2014/09/23
66707	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 H	0.250	24,468 per 10,000 units	24,368 per 10,000 units	2014/12/30	200,000,000	2014/09/23
66709	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 I	0.250	24,618 per 10,000 units	24,518 per 10,000 units	2014/12/30	200,000,000	2014/09/23
66843	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 J	0.250	24,318 per 10,000 units	24,218 per 10,000 units	2014/12/30	200,000,000	2014/09/23
66796	UBS AG	HSI R Bull CBBC Mar 2015 A	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2015/03/30	300,000,000	2014/09/23
66801	UBS AG	HSI R Bull CBBC Apr 2015 H	0.250	24,150 per 10,000 units	24,350 per 10,000 units	2015/04/29	300,000,000	2014/09/23
66867	UBS AG	HSI R Bear CBBC Dec 2014 Q	0.250	24,337 per 10,000 units	24,137 per 10,000 units	2014/12/30	300,000,000	2014/09/23
66864	UBS AG	HSI R Bear CBBC Jan 2015 A	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2015/01/29	300,000,000	2014/09/23
66853	UBS AG	HSI R Bear CBBC Jan 2015 Z	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2015/01/29	300,000,000	2014/09/23
67164	BNP Paribas Arbit Issu B.V.	TCH R Bull CBBC Jun 2015 A	0.250	113 per 100 units	115 per 100 units	2015/06/29	100,000,000	2014/09/24
67166	Credit Suisse AG	HSI R Bull CBBC May 2015 Q	0.250	23,877 per 10,000 units	24,077 per 10,000 units	2015/05/28	238,000,000	2014/09/24
67173	Credit Suisse AG	HSI R Bull CBBC May 2015 R	0.250	23,950 per 10,000 units	24,150 per 10,000 units	2015/05/28	238,000,000	2014/09/24
67193	Credit Suisse AG	HSI R Bull CBBC May 2015 S	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/05/28	238,000,000	2014/09/24
67204	Credit Suisse AG	HSI R Bull CBBC May 2015 T	0.250	24,195 per 10,000 units	24,395 per 10,000 units	2015/05/28	238,000,000	2014/09/24
67090	Credit Suisse AG	HSI R Bear CBBC Dec 2014 G	0.250	24,350 per 12,000 units	24,150 per 12,000 units	2014/12/30	238,000,000	2014/09/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67095	Credit Suisse AG	HSI R Bear CBBC Dec 2014 L	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/12/30	238,000,000	2014/09/24
67102	Credit Suisse AG	HSI R Bear CBBC Dec 2014 M	0.250	24,575 per 10,000 units	24,375 per 10,000 units	2014/12/30	238,000,000	2014/09/24
67109	Credit Suisse AG	HSI R Bear CBBC Dec 2014 N	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/12/30	238,000,000	2014/09/24
66992	HK Bank	CNOOC R Bear CBBC Jul 2015 A	0.250	15.98	15.18	2015/07/27	40,000,000	2014/09/24
66989	HK Bank	Sinopec Corp R Bull CBBC Jun 2015 A	0.250	6.08	6.38	2015/06/29	80,000,000	2014/09/24
67006	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 A	0.250	41.27 per 100 units	44.27 per 100 units	2015/07/14	80,000,000	2014/09/24
67007	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 B	0.250	37.27 per 100 units	40.27 per 100 units	2015/07/28	80,000,000	2014/09/24
67000	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 B	0.250	57.27 per 100 units	54.27 per 100 units	2015/07/27	60,000,000	2014/09/24
66971	HK Bank	HSCEI R Bear CBBC Apr 2015 B	0.250	11,188 per 10,000 units	10,988 per 10,000 units	2015/04/29	68,000,000	2014/09/24
66922	HK Bank	HSCEI R Bear CBBC May 2015 D	0.250	11,388 per 10,000 units	11,188 per 10,000 units	2015/05/28	68,000,000	2014/09/24
66930	HK Bank	HSI R Bear CBBC Mar 2015 P	0.250	24,588 per 15,000 units	24,388 per 15,000 units	2015/03/30	100,000,000	2014/09/24
66941	HK Bank	HSI R Bear CBBC Mar 2015 Q	0.250	24,788 per 15,000 units	24,588 per 15,000 units	2015/03/30	100,000,000	2014/09/24
66957	HK Bank	HSI R Bear CBBC Mar 2015 R	0.250	25,188 per 15,000 units	24,988 per 15,000 units	2015/03/30	100,000,000	2014/09/24
66970	HK Bank	HSI R Bear CBBC Mar 2015 S	0.250	24,388 per 15,000 units	24,188 per 15,000 units	2015/03/30	100,000,000	2014/09/24
67029	HK Bank	ICBC R Bear CBBC Apr 2015 B	0.250	5.78	5.53	2015/04/30	40,000,000	2014/09/24
67018	HK Bank	Sands China R Bull CBBC Jul 2015 B	0.250	27.18 per 100 units	30.18 per 100 units	2015/07/13	80,000,000	2014/09/24
67009	HK Bank	Sands China R Bear CBBC May 2015 B	0.250	51.28 per 100 units	48.28 per 100 units	2015/05/04	60,000,000	2014/09/24
67140	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 O	0.250	23,728 per 10,000 units	23,928 per 10,000 units	2015/07/30	300,000,000	2014/09/24
67138	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 B	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/08/28	300,000,000	2014/09/24
67033	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 Y	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67266	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 Z	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67031	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 L	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2015/02/26	300,000,000	2014/09/24
67237	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 M	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2015/02/26	300,000,000	2014/09/24
67144	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 L	0.250	24,080 per 12,000 units	24,280 per 12,000 units	2015/08/28	100,000,000	2014/09/24
67143	Standard Chartered Bank	Sands China R Bear CBBC May 2015 A	0.250	51.38 per 100 units	48.88 per 100 units	2015/05/11	50,000,000	2014/09/24
67111	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 Q	0.250	24,038 per 10,000 units	24,138 per 10,000 units	2015/04/29	200,000,000	2014/09/24
67112	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 R	0.250	24,118 per 10,000 units	24,218 per 10,000 units	2015/04/29	200,000,000	2014/09/24
67078	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 K	0.250	24,238 per 10,000 units	24,138 per 10,000 units	2014/12/30	200,000,000	2014/09/24
67081	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec14 L	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2014/12/30	200,000,000	2014/09/24
67089	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 B	0.250	24,900 per 20,000 units	24,800 per 20,000 units	2015/03/30	400,000,000	2014/09/24
67128	UBS AG	Sinopec Corp R Bull CBBC Jun 2015 A	0.250	6.3	6.6	2015/06/22	100,000,000	2014/09/24
67130	UBS AG	Galaxy Ent R Bull CBBC Oct 2015 A	0.250	40 per 100 units	42.50 per 100 units	2015/10/05	100,000,000	2014/09/24
67129	UBS AG	Galaxy Ent R Bear CBBC Jan 2015 A	0.250	57.50 per 100 units	55 per 100 units	2015/01/26	100,000,000	2014/09/24
67234	UBS AG	HSI R Bull CBBC Jan 2015 S	0.250	24,195 per 10,000 units	24,395 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67212	UBS AG	HSI R Bull CBBC May 2015 G	0.250	24,050 per 10,000 units	24,250 per 10,000 units	2015/05/28	300,000,000	2014/09/24
67050	UBS AG	HSI R Bear CBBC Jan 2015 B	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67048	UBS AG	HSI R Bear CBBC Jan 2015 C	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67061	UBS AG	HSI R Bear CBBC Jan 2015 D	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67071	UBS AG	HSI R Bear CBBC Jan 2015 E	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/01/29	300,000,000	2014/09/24
67133	UBS AG	Hutchison R Bull CBBC Sep 2015 A	0.250	82 per 100 units	85 per 100 units	2015/09/21	100,000,000	2014/09/24
67122	UBS AG	Petrochina R Bull CBBC Jun 2015 A	0.250	9.1	9.5	2015/06/29	50,000,000	2014/09/24
67114	UBS AG	Sands China R Bull CBBC Jul 2015 B	0.250	32.20 per 100 units	35 per 100 units	2015/07/06	100,000,000	2014/09/24
67113	UBS AG	Sands China R Bear CBBC Jan 2015 A	0.250	52.80 per 100 units	50 per 100 units	2015/01/26	100,000,000	2014/09/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67372	Credit Suisse AG	HSI R Bull CBBC Feb 2015 A	0.250	24,022 per 10,000 units	24,222 per 10,000 units	2015/02/26	238,000,000	2014/09/25
67368	Credit Suisse AG	HSI R Bull CBBC Feb 2015 B	0.250	24,176 per 12,000 units	24,376 per 12,000 units	2015/02/26	238,000,000	2014/09/25
67398	Credit Suisse AG	HSI R Bear CBBC Dec 2014 R	0.250	24,622 per 10,000 units	24,422 per 10,000 units	2014/12/30	238,000,000	2014/09/25
67402	Credit Suisse AG	HSI R Bear CBBC Dec 2014 S	0.250	24,369 per 10,000 units	24,169 per 10,000 units	2014/12/30	238,000,000	2014/09/25
67346	HK Bank	Sinopec Corp R Bear CBBC Apr 2015 D	0.250	8.13	7.83	2015/04/20	40,000,000	2014/09/25
67306	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 C	0.250	34.27 per 100 units	37.27 per 100 units	2015/07/21	80,000,000	2014/09/25
67328	HK Bank	Galaxy Ent R Bull CBBC Aug 2015 A	0.250	31.27 per 100 units	34.27 per 100 units	2015/08/03	80,000,000	2014/09/25
67289	HK Bank	HSCEI R Bear CBBC May 2015 E	0.250	11,588 per 10,000 units	11,388 per 10,000 units	2015/05/28	68,000,000	2014/09/25
67293	HK Bank	HSI R Bear CBBC May 2015 F	0.250	24,688 per 15,000 units	24,488 per 15,000 units	2015/05/28	100,000,000	2014/09/25
67298	HK Bank	HSI R Bear CBBC May 2015 G	0.250	24,988 per 15,000 units	24,788 per 15,000 units	2015/05/28	100,000,000	2014/09/25
67300	HK Bank	HSI R Bear CBBC May 2015 H	0.250	25,388 per 15,000 units	25,188 per 15,000 units	2015/05/28	100,000,000	2014/09/25
67345	HK Bank	Sands China R Bull CBBC Jul 2015 C	0.250	24.18 per 100 units	27.18 per 100 units	2015/07/20	80,000,000	2014/09/25
67344	HK Bank	Sands China R Bear CBBC May 2015 C	0.250	48.28 per 100 units	45.28 per 100 units	2015/05/11	60,000,000	2014/09/25
67274	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 A	0.250	23,988 per 10,000 units	24,188 per 10,000 units	2015/06/29	300,000,000	2014/09/25
67407	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 N	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2015/02/26	300,000,000	2014/09/25
67406	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 J	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/01/29	50,000,000	2014/09/25
67386	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 A	0.250	24,980 per 15,000 units	24,780 per 15,000 units	2015/02/26	100,000,000	2014/09/25
67348	UBS AG	HSI R Bull CBBC Feb 2015 Y	0.250	23,950 per 10,000 units	24,150 per 10,000 units	2015/02/26	300,000,000	2014/09/25
67352	UBS AG	HSI R Bull CBBC Feb 2015 Z	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/02/26	300,000,000	2014/09/25
67365	UBS AG	HSI R Bull CBBC Mar 2015 F	0.250	24,176 per 12,000 units	24,376 per 12,000 units	2015/03/30	350,000,000	2014/09/25
67395	UBS AG	HSI R Bear CBBC Feb 2015 K	0.250	24,369 per 12,000 units	24,169 per 12,000 units	2015/02/26	350,000,000	2014/09/25
67577	Credit Suisse AG	HSI R Bull CBBC Mar 2015 W	0.250	23,922 per 10,000 units	24,122 per 10,000 units	2015/03/30	238,000,000	2014/09/26
67580	Credit Suisse AG	HSI R Bull CBBC Mar 2015 Y	0.250	24,106 per 12,000 units	24,306 per 12,000 units	2015/03/30	238,000,000	2014/09/26
67527	Credit Suisse AG	HSI R Bear CBBC Jan 2015 Y	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/01/29	238,000,000	2014/09/26
67530	Credit Suisse AG	HSI R Bear CBBC Jan 2015 Z	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2015/01/29	238,000,000	2014/09/26
67583	Bank of East Asia	Galaxy Ent R Bull CBBC Jun 2015 B	0.250	37.50 per 100 units	40 per 100 units	2015/06/30	100,000,000	2014/09/26
67585	Bank of East Asia	HKEX R Bull CBBC Sep 2015 A	0.250	163.80 per 100 units	168 per 100 units	2015/09/25	40,000,000	2014/09/26
67586	Bank of East Asia	Ping An R Bull CBBC Sep 2015 A	0.250	55.38 per 100 units	57.80 per 100 units	2015/09/22	80,000,000	2014/09/26
67615	Bank of East Asia	Sands China R Bull CBBC Apr 2015 A	0.250	32.50 per 100 units	35 per 100 units	2015/04/27	100,000,000	2014/09/26
67616	Bank of East Asia	Sands China R Bear CBBC Apr 2015 A	0.250	58 per 100 units	55 per 100 units	2015/04/27	100,000,000	2014/09/26
67526	HK Bank	BOCL R Bull CBBC Aug 2015 A	0.250	2.65	2.83	2015/08/03	80,000,000	2014/09/26
67413	HK Bank	Minsheng Bank R Bull CBBC Sep 2015 A	0.250	5	5.5	2015/09/30	40,000,000	2014/09/26
67408	HK Bank	HSI R Bear CBBC Apr 2015 P	0.250	24,488 per 15,000 units	24,288 per 15,000 units	2015/04/29	100,000,000	2014/09/26
67409	HK Bank	HSI R Bear CBBC May 2015 I	0.250	25,178 per 20,000 units	24,928 per 20,000 units	2015/05/28	400,000,000	2014/09/26
67500	HK Bank	Hutchison R Bull CBBC Jun 2015 D	0.250	84.88 per 100 units	87.88 per 100 units	2015/06/22	60,000,000	2014/09/26
67502	HK Bank	Hutchison R Bull CBBC Jun 2015 E	0.250	80.88 per 100 units	83.88 per 100 units	2015/06/29	60,000,000	2014/09/26
67520	HK Bank	Hutchison R Bull CBBC Jul 2015 B	0.250	76.88 per 100 units	79.88 per 100 units	2015/07/06	60,000,000	2014/09/26
67490	HK Bank	Kingsoft R Bull CBBC Sep 2015 C	0.680	13.68	15.68	2015/09/30	50,000,000	2014/09/26
67410	HK Bank	PetCh R Bear CBBC Aug 2015 A	0.250	11.78	11.38	2015/08/03	40,000,000	2014/09/26
67553	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 A	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2015/01/29	300,000,000	2014/09/26
67552	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 O	0.250	25,028 per 10,000 units	24,828 per 10,000 units	2015/02/26	300,000,000	2014/09/26
67559	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 P	0.250	24,488 per 10,000 units	24,288 per 10,000 units	2015/02/26	300,000,000	2014/09/26
67562	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 15 A	0.250	23,300 per 20,000 units	23,400 per 20,000 units	2015/05/28	400,000,000	2014/09/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67568	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 B	0.250	23,700 per 20,000 units	23,800 per 20,000 units	2015/05/28	400,000,000	2014/09/26
67569	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 L	0.250	25,100 per 20,000 units	25,000 per 20,000 units	2015/02/26	400,000,000	2014/09/26
67575	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 M	0.250	25,500 per 20,000 units	25,400 per 20,000 units	2015/02/26	400,000,000	2014/09/26
67533	UBS AG	HSI R Bear CBBC Dec 2014 V	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/12/30	300,000,000	2014/09/26
67738	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 J	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/06/29	200,000,000	2014/09/29
67739	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Z	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/06/29	200,000,000	2014/09/29
67966	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2015 J	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	200,000,000	2014/09/29
68014	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 A	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	200,000,000	2014/09/29
67767	Credit Suisse AG	HSI R Bull CBBC Apr 2015 C	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/04/29	238,000,000	2014/09/29
67769	Credit Suisse AG	HSI R Bull CBBC Apr 2015 D	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/04/29	238,000,000	2014/09/29
67771	Credit Suisse AG	HSI R Bull CBBC Apr 2015 E	0.250	24,002 per 10,000 units	24,202 per 10,000 units	2015/04/29	238,000,000	2014/09/29
67762	Credit Suisse AG	HSI R Bull CBBC Apr 2015 Z	0.250	24,103 per 10,000 units	24,303 per 10,000 units	2015/04/29	238,000,000	2014/09/29
67989	Credit Suisse AG	HSI R Bear CBBC Dec 2014 T	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/12/30	238,000,000	2014/09/29
68002	Credit Suisse AG	HSI R Bear CBBC Dec 2014 U	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/12/30	238,000,000	2014/09/29
68005	Credit Suisse AG	HSI R Bear CBBC Dec 2014 W	0.250	24,156 per 12,000 units	23,956 per 12,000 units	2014/12/30	238,000,000	2014/09/29
67818	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 G	0.324	22,238 per 20,000 units	22,538 per 20,000 units	2014/12/30	150,000,000	2014/09/29
67823	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2014 H	0.366	21,938 per 20,000 units	22,238 per 20,000 units	2014/12/30	150,000,000	2014/09/29
67838	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 A	0.333	21,638 per 20,000 units	21,938 per 20,000 units	2015/01/29	150,000,000	2014/09/29
67892	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 C	0.264	24,588 per 20,000 units	24,288 per 20,000 units	2015/01/29	150,000,000	2014/09/29
67643	HK Bank	China Mobile R Bear CBBC Jun 2015 A	0.250	104.78 per 100 units	102.28 per 100 units	2015/06/30	60,000,000	2014/09/29
67622	HK Bank	China Life R Bear CBBC May 2015 A	0.300	25.38	24.38	2015/05/04	50,000,000	2014/09/29
67618	HK Bank	CNOOC R Bull CBBC Jul 2015 C	0.320	11.08	11.88	2015/07/13	60,000,000	2014/09/29
67631	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 D	0.250	44.27 per 100 units	47.27 per 100 units	2015/07/23	80,000,000	2014/09/29
67626	HK Bank	HSI R Bull CBBC Mar 2015 O	0.250	24,088 per 15,000 units	24,288 per 15,000 units	2015/03/30	100,000,000	2014/09/29
67619	HK Bank	ICBC R Bull CBBC Aug 2015 A	0.250	4.23	4.48	2015/08/03	80,000,000	2014/09/29
67642	HK Bank	Sands China R Bull CBBC Jun 2015 A	0.250	39.18 per 100 units	42.18 per 100 units	2015/06/30	80,000,000	2014/09/29
67629	HK Bank	TCH R Bull CBBC Jun 2015 G	0.250	119.88 per 100 units	121.88 per 100 units	2015/06/18	100,000,000	2014/09/29
67710	J P Morgan SP BV	HSI R Bull CBBC May 2015 Y	0.250	23,950 per 10,000 units	24,150 per 10,000 units	2015/05/28	300,000,000	2014/09/29
67706	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 P	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/07/30	300,000,000	2014/09/29
67727	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 Q	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2015/07/30	300,000,000	2014/09/29
67900	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Q	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	300,000,000	2014/09/29
68117	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 R	0.250	24,156 per 10,000 units	23,956 per 10,000 units	2015/02/26	300,000,000	2014/09/29
67927	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2015 K	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2015/01/29	50,000,000	2014/09/29
67907	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 A	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/02/26	50,000,000	2014/09/29
67736	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 U	0.250	23,780 per 12,000 units	23,980 per 12,000 units	2015/06/29	100,000,000	2014/09/29
67901	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 D	0.250	24,480 per 12,000 units	24,280 per 12,000 units	2015/02/26	100,000,000	2014/09/29
67704	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 S	0.250	23,968 per 10,000 units	24,068 per 10,000 units	2015/04/29	200,000,000	2014/09/29
67655	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 C	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2015/05/28	200,000,000	2014/09/29
67679	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 D	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2015/05/28	200,000,000	2014/09/29
67684	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 E	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2015/05/28	200,000,000	2014/09/29
67956	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 A	0.250	22,500 per 20,000 units	22,600 per 20,000 units	2015/07/30	400,000,000	2014/09/29
67960	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 B	0.250	22,900 per 20,000 units	23,000 per 20,000 units	2015/07/30	400,000,000	2014/09/29
67963	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 K	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2015/01/29	200,000,000	2014/09/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68079	UBS AG	BOCL R Bear CBBC Apr 2015 A	0.250	4.18	4	2015/04/20	100,000,000	2014/09/29
68109	UBS AG	Sinopec Corp R Bull CBBC Oct 2015 B	0.250	5.9	6.2	2015/10/19	100,000,000	2014/09/29
68106	UBS AG	CSOP A50 ETF R Bear CBBC Jan 2015 A	0.250	10.3	10	2015/01/26	100,000,000	2014/09/29
68103	UBS AG	A50 China R Bear CBBC Feb 2015 A	0.250	10.3	10	2015/02/09	100,000,000	2014/09/29
68116	UBS AG	HSBC R Bear CBBC Mar 2015 A	0.250	92.80 per 100 units	90 per 100 units	2015/03/23	100,000,000	2014/09/29
68052	UBS AG	HSCEI R Bear CBBC Jan 2015 D	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2015/01/29	100,000,000	2014/09/29
67814	UBS AG	HSI R Bull CBBC Jan 2015 T	0.250	24,106 per 12,000 units	24,306 per 12,000 units	2015/01/29	350,000,000	2014/09/29
67774	UBS AG	HSI R Bull CBBC Mar 2015 G	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/03/30	300,000,000	2014/09/29
67783	UBS AG	HSI R Bull CBBC Apr 2015 I	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/04/29	300,000,000	2014/09/29
68077	UBS AG	HSI R Bull CBBC Apr 2015 J	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/09/29
68067	UBS AG	HSI R Bull CBBC May 2015 H	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/05/28	300,000,000	2014/09/29
68072	UBS AG	HSI R Bull CBBC Jun 2015 E	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	300,000,000	2014/09/29
68034	UBS AG	HSI R Bear CBBC Jan 2015 G	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/01/29	300,000,000	2014/09/29
68051	UBS AG	HSI R Bear CBBC Feb 2015 L	0.250	24,156 per 10,000 units	23,956 per 10,000 units	2015/02/26	300,000,000	2014/09/29
68092	UBS AG	Kingsoft R Bull CBBC Jun 2016 A	0.250	15 per 100 units	17 per 100 units	2016/06/20	50,000,000	2014/09/29
68177*	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec14 O	0.065	22,700 per 20,000 units	22,800 per 20,000 units	2014/12/30	200,000,000	2014/09/29
68188	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 I	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	200,000,000	2014/09/30
68164	Credit Suisse AG	HSI R Bull CBBC Jun 2015 P	0.250	23,755 per 10,000 units	23,955 per 10,000 units	2015/06/29	238,000,000	2014/09/30
68166	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Q	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/06/29	238,000,000	2014/09/30
68216	Credit Suisse AG	HSI R Bear CBBC Feb 2015 A	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/02/26	238,000,000	2014/09/30
68225	Credit Suisse AG	HSI R Bear CBBC Feb 2015 B	0.250	24,038 per 10,000 units	23,838 per 10,000 units	2015/02/26	238,000,000	2014/09/30
68222	Credit Suisse AG	HSI R Bear CBBC Feb 2015 E	0.250	24,144 per 10,000 units	23,944 per 10,000 units	2015/02/26	238,000,000	2014/09/30
68239	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Mar15A	0.250	9.9	9.6	2015/03/30	100,000,000	2014/09/30
68240	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Mar 15 B	0.250	9.9	9.6	2015/03/30	100,000,000	2014/09/30
68211	Bank of East Asia	China Life R Bull CBBC Sep 2015 A	0.250	20	20.88	2015/09/18	40,000,000	2014/09/30
68190	Bank of East Asia	Galaxy Ent R Bear CBBC Jun 2015 A	0.250	62.50 per 100 units	60 per 100 units	2015/06/26	60,000,000	2014/09/30
68203	Bank of East Asia	TCH R Bull CBBC Aug 2015 B	0.250	107 per 100 units	109.80 per 100 units	2015/08/24	40,000,000	2014/09/30
68158	HK Bank	CC Bank R Bull CBBC Aug 2015 C	0.250	4.73	5.03	2015/08/10	80,000,000	2014/09/30
68154	HK Bank	A50 R Bear CBBC Sep 2015 A	0.250	10.28	9.98	2015/09/29	50,000,000	2014/09/30
68153	HK Bank	HSCEI R Bear CBBC May 2015 F	0.250	10,988 per 10,000 units	10,788 per 10,000 units	2015/05/28	68,000,000	2014/09/30
68130	HK Bank	HSI R Bull CBBC Jun 2015 E	0.250	22,078 per 20,000 units	22,328 per 20,000 units	2015/06/29	400,000,000	2014/09/30
68136	HK Bank	HSI R Bull CBBC Jun 2015 F	0.250	21,078 per 20,000 units	21,328 per 20,000 units	2015/06/29	400,000,000	2014/09/30
68138	HK Bank	HSI R Bull CBBC Jun 2015 G	0.250	20,578 per 20,000 units	20,828 per 20,000 units	2015/06/29	400,000,000	2014/09/30
68127	HK Bank	HSI R Bear CBBC May 2015 J	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2015/05/28	100,000,000	2014/09/30
68155	HK Bank	TCH R Bear CBBC Jul 2015 E	0.250	127.88 per 100 units	125.88 per 100 units	2015/07/20	100,000,000	2014/09/30
68121	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 C	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	300,000,000	2014/09/30
68241	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 S	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/02/26	300,000,000	2014/09/30
68242	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 T	0.250	24,038 per 10,000 units	23,838 per 10,000 units	2015/02/26	300,000,000	2014/09/30
68189	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 M	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/08/28	100,000,000	2014/09/30
68163	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 G	0.250	24,280 per 20,000 units	24,080 per 20,000 units	2015/02/26	100,000,000	2014/09/30
68181	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 M	0.250	23,818 per 10,000 units	23,918 per 10,000 units	2015/04/29	200,000,000	2014/09/30
68185	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 L	0.250	24,168 per 10,000 units	24,068 per 10,000 units	2015/01/29	200,000,000	2014/09/30
68169	UBS AG	HSI R Bull CBBC May 2015 I	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/05/28	300,000,000	2014/09/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68172	UBS AG	HSI R Bull CBBC May 2015 J	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/05/28	300,000,000	2014/09/30
68179	UBS AG	HSI R Bull CBBC May 2015 K	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/05/28	300,000,000	2014/09/30
68227	UBS AG	HSI R Bear CBBC Jan 2015 H	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/01/29	300,000,000	2014/09/30
68229	UBS AG	HSI R Bear CBBC Jan 2015 J	0.250	24,038 per 10,000 units	23,838 per 10,000 units	2015/01/29	300,000,000	2014/09/30
68285	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 K	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	200,000,000	2014/10/03
68286	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	200,000,000	2014/10/03
68294	Credit Suisse AG	HSI R Bull CBBC May 2015 U	0.250	23,522 per 10,000 units	23,722 per 10,000 units	2015/05/28	238,000,000	2014/10/03
68295	Credit Suisse AG	HSI R Bull CBBC May 2015 V	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/05/28	238,000,000	2014/10/03
68300	Credit Suisse AG	HSI R Bull CBBC May 2015 W	0.250	23,729 per 12,000 units	23,929 per 12,000 units	2015/05/28	238,000,000	2014/10/03
68289	Credit Suisse AG	HSI R Bear CBBC Jan 2015 F	0.250	24,050 per 12,000 units	23,850 per 12,000 units	2015/01/29	238,000,000	2014/10/03
68290	Credit Suisse AG	HSI R Bear CBBC Jan 2015 N	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/01/29	238,000,000	2014/10/03
68250	HK Bank	Sinopec Corp R Bear CBBC Sep 2015 A	0.250	7.98	7.68	2015/09/29	40,000,000	2014/10/03
68245	HK Bank	HKE X R Bear CBBC Jun 2015 B	0.250	186.88 per 100 units	181.88 per 100 units	2015/06/29	50,000,000	2014/10/03
68265	HK Bank	TCH R Bear CBBC Jul 2015 F	0.250	125.88 per 100 units	123.88 per 100 units	2015/07/27	100,000,000	2014/10/03
68243	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 R	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/07/30	300,000,000	2014/10/03
68244	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 U	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/10/03
68293	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 B	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2015/02/26	50,000,000	2014/10/03
68281	Standard Chartered Bank	Sinopec Corp R Bear CBBC Mar 2015A	0.250	8.18	7.88	2015/03/27	80,000,000	2014/10/03
68283	Standard Chartered Bank	HKE X R Bull CBBC Apr 2015 B	0.250	152 per 100 units	157 per 100 units	2015/04/01	80,000,000	2014/10/03
68282	Standard Chartered Bank	HKE X R Bear CBBC Mar 2015 B	0.250	191 per 100 units	186 per 100 units	2015/03/02	80,000,000	2014/10/03
68284	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 E	0.250	24,180 per 15,000 units	23,980 per 15,000 units	2015/02/26	100,000,000	2014/10/03
68291	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 V	0.250	23,738 per 10,000 units	23,838 per 10,000 units	2015/04/29	200,000,000	2014/10/03
68274	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 F	0.250	22,238 per 10,000 units	22,338 per 10,000 units	2015/05/28	200,000,000	2014/10/03
68276	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 G	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2015/05/28	200,000,000	2014/10/03
68269	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 G	0.250	22,018 per 10,000 units	22,118 per 10,000 units	2015/06/29	200,000,000	2014/10/03
68273	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 H	0.250	22,168 per 10,000 units	22,268 per 10,000 units	2015/06/29	200,000,000	2014/10/03
68268	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 C	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2015/07/30	200,000,000	2014/10/03
68272	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 D	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2015/07/30	200,000,000	2014/10/03
68277	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 M	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2015/01/29	200,000,000	2014/10/03
68292	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 C	0.250	24,700 per 20,000 units	24,600 per 20,000 units	2015/03/30	400,000,000	2014/10/03
68302	UBS AG	HSI R Bull CBBC Feb 2015 X	0.250	23,729 per 12,000 units	23,929 per 12,000 units	2015/02/26	350,000,000	2014/10/03
68301	UBS AG	HSI R Bull CBBC Apr 2015 K	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/04/29	300,000,000	2014/10/03
68288	UBS AG	HSI R Bear CBBC Jan 2015 I	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/01/29	300,000,000	2014/10/03
68287	UBS AG	HSI R Bear CBBC Jan 2015 K	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/01/29	300,000,000	2014/10/03
68402	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	200,000,000	2014/10/06
68338	Credit Suisse AG	China Mobile R Bear CBBC Feb 2015 D	0.250	100.40 per 100 units	97.90 per 100 units	2015/02/27	50,000,000	2014/10/06
68344	Credit Suisse AG	HSI R Bull CBBC Apr 2015 B	0.250	23,577 per 10,000 units	23,777 per 10,000 units	2015/04/29	238,000,000	2014/10/06
68339	Credit Suisse AG	HSI R Bull CBBC Apr 2015 F	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/04/29	238,000,000	2014/10/06
68346	Credit Suisse AG	HSI R Bear CBBC Feb 2015 C	0.250	23,969 per 12,000 units	23,769 per 12,000 units	2015/02/26	238,000,000	2014/10/06
68345	Credit Suisse AG	HSI R Bear CBBC Feb 2015 F	0.250	24,066 per 10,000 units	23,866 per 10,000 units	2015/02/26	238,000,000	2014/10/06
68334	Credit Suisse AG	TCH R Bear CBBC Jan 2015 D	0.250	127.10 per 100 units	124.60 per 100 units	2015/01/30	50,000,000	2014/10/06
68390	Citigroup Global Mkt H Inc.	China Life R Bull CBBC Jul15 A	0.250	19.88	20.88	2015/07/07	50,000,000	2014/10/06
68393	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC Apr 15 A	0.250	106.80 per 100 units	103.80 per 100 units	2015/04/20	60,000,000	2014/10/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68400	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC Apr 15 B	0.250	109.80 per 100 units	106.80 per 100 units	2015/04/20	60,000,000	2014/10/06
68388	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Apr 2015 B	0.250	68 per 100 units	64 per 100 units	2015/04/22	80,000,000	2014/10/06
68319	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 B	0.257	23,188 per 10,000 units	23,488 per 10,000 units	2015/01/29	150,000,000	2014/10/06
68327	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 C	0.261	23,388 per 10,000 units	23,688 per 10,000 units	2015/01/29	150,000,000	2014/10/06
68332	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 D	0.254	24,688 per 10,000 units	24,388 per 10,000 units	2015/01/29	150,000,000	2014/10/06
68333	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 E	0.256	24,988 per 10,000 units	24,688 per 10,000 units	2015/01/29	150,000,000	2014/10/06
68380	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 F	0.333	25,338 per 10,000 units	25,038 per 10,000 units	2015/01/29	150,000,000	2014/10/06
68316	HK Bank	CSOP A50 ETF R Bull CBBC May 2015 D	0.250	8.98	9.28	2015/05/18	200,000,000	2014/10/06
68310	HK Bank	A50 R Bull CBBC May 2015 D	0.250	8.73	9.03	2015/05/18	200,000,000	2014/10/06
68303	HK Bank	HSI R Bull CBBC Jun 2015 H	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/06/29	100,000,000	2014/10/06
68377	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 D	0.250	23,088 per 10,000 units	23,288 per 10,000 units	2015/06/29	300,000,000	2014/10/06
68378	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 S	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2015/07/30	300,000,000	2014/10/06
68379	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 V	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/10/06
68355	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 N	0.250	23,588 per 20,000 units	23,788 per 20,000 units	2015/08/28	100,000,000	2014/10/06
68375	UBS AG	Galaxy Ent R Bull CBBC Oct 2015 B	0.250	37.50 per 100 units	40 per 100 units	2015/10/26	50,000,000	2014/10/06
68376	UBS AG	HSBC R Bull CBBC Sep 2015 C	0.250	75.70 per 100 units	78.50 per 100 units	2015/09/14	100,000,000	2014/10/06
68341	UBS AG	HSI R Bull CBBC Mar 2015 H	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/03/30	300,000,000	2014/10/06
68340	UBS AG	HSI R Bull CBBC Jun 2015 F	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	300,000,000	2014/10/06
68374	UBS AG	HSI R Bear CBBC Jan 2015 R	0.250	23,969 per 10,000 units	23,769 per 10,000 units	2015/01/29	300,000,000	2014/10/06
68360	UBS AG	HSI R Bear CBBC Feb 2015 M	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/10/06
68404	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 G	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	200,000,000	2014/10/07
68406	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 H	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	200,000,000	2014/10/07
68561	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 D	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	200,000,000	2014/10/07
68495	Credit Suisse AG	HSI R Bull CBBC Jun 2015 R	0.250	23,568 per 12,000 units	23,768 per 12,000 units	2015/06/29	238,000,000	2014/10/07
68499	Credit Suisse AG	HSI R Bull CBBC Jun 2015 S	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	238,000,000	2014/10/07
68515	Credit Suisse AG	HSI R Bull CBBC Jun 2015 T	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	238,000,000	2014/10/07
68543	Credit Suisse AG	HSI R Bull CBBC Jul 2015 G	0.250	21,950 per 20,000 units	22,250 per 20,000 units	2015/07/30	238,000,000	2014/10/07
68548	Credit Suisse AG	HSI R Bull CBBC Jul 2015 H	0.250	22,366 per 20,000 units	22,666 per 20,000 units	2015/07/30	238,000,000	2014/10/07
68575	Credit Suisse AG	HSI R Bear CBBC Feb 2015 G	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/02/26	238,000,000	2014/10/07
68576	Credit Suisse AG	HSI R Bear CBBC Feb 2015 O	0.250	23,859 per 10,000 units	23,659 per 10,000 units	2015/02/26	238,000,000	2014/10/07
68555	Credit Suisse AG	HSI R Bear CBBC Jun 2015 A	0.250	24,577 per 20,000 units	24,277 per 20,000 units	2015/06/29	238,000,000	2014/10/07
68556	Credit Suisse AG	HSI R Bear CBBC Jun 2015 B	0.250	24,277 per 20,000 units	23,977 per 20,000 units	2015/06/29	238,000,000	2014/10/07
68587	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15S	0.250	96.60 per 100 units	94.10 per 100 units	2015/04/24	80,000,000	2014/10/07
68598	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr15T	0.250	98.50 per 100 units	96 per 100 units	2015/04/24	80,000,000	2014/10/07
68584	Citigroup Global Mkt H Inc.	HSBC R Bear CBBC Apr 2015 C	0.250	89.60 per 100 units	86.80 per 100 units	2015/04/15	80,000,000	2014/10/07
68565	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 D	0.260	23,288 per 20,000 units	23,588 per 20,000 units	2015/01/29	150,000,000	2014/10/07
68574	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 G	0.265	24,238 per 20,000 units	23,938 per 20,000 units	2015/01/29	150,000,000	2014/10/07
68429	HK Bank	China Mobile R Bear CBBC Jul 2015 H	0.250	101.78 per 100 units	99.28 per 100 units	2015/07/06	60,000,000	2014/10/07
68438	HK Bank	Cheung Kong R Bear CBBC Jul 2015 B	0.250	150.88 per 100 units	144.88 per 100 units	2015/07/06	50,000,000	2014/10/07
68426	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 C	0.250	54.27 per 100 units	51.27 per 100 units	2015/07/06	60,000,000	2014/10/07
68421	HK Bank	HSI R Bear CBBC May 2015 K	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2015/05/28	100,000,000	2014/10/07
68415	HK Bank	HSI R Bear CBBC Jul 2015 C	0.250	23,988 per 15,000 units	23,788 per 15,000 units	2015/07/30	100,000,000	2014/10/07
68428	HK Bank	Ping An R Bull CBBC Oct 2015 A	0.250	49.18 per 100 units	53.18 per 100 units	2015/10/26	60,000,000	2014/10/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68427	HK Bank	Ping An R Bear CBBC Apr 2015 A	0.250	71.68 per 100 units	67.68 per 100 units	2015/04/29	40,000,000	2014/10/07
68414	HK Bank	TCH R Bear CBBC Jul 2015 G	0.250	123.88 per 100 units	121.88 per 100 units	2015/07/16	100,000,000	2014/10/07
68442	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 B	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/06/29	300,000,000	2014/10/07
68439	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 T	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/07/30	300,000,000	2014/10/07
68580	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 U	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2015/07/30	300,000,000	2014/10/07
68563	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 W	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	300,000,000	2014/10/07
68557	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 C	0.260	24,400 per 10,000 units	24,200 per 10,000 units	2015/02/26	50,000,000	2014/10/07
68559	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 D	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	50,000,000	2014/10/07
68560	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 E	0.255	24,250 per 10,000 units	24,050 per 10,000 units	2015/02/26	50,000,000	2014/10/07
68447	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 O	0.250	23,480 per 15,000 units	23,680 per 15,000 units	2015/08/28	100,000,000	2014/10/07
68452	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 A	0.250	21,088 per 15,000 units	21,288 per 15,000 units	2015/10/29	100,000,000	2014/10/07
68451	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 I	0.250	23,980 per 20,000 units	23,780 per 20,000 units	2015/02/26	100,000,000	2014/10/07
68492	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 F	0.250	23,668 per 10,000 units	23,768 per 10,000 units	2015/04/29	200,000,000	2014/10/07
68488	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 X	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2015/04/29	200,000,000	2014/10/07
68489	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 Y	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2015/04/29	200,000,000	2014/10/07
68491	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 Z	0.250	23,518 per 10,000 units	23,618 per 10,000 units	2015/04/29	200,000,000	2014/10/07
68481	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 I	0.250	21,718 per 10,000 units	21,818 per 10,000 units	2015/06/29	200,000,000	2014/10/07
68485	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 J	0.250	21,868 per 10,000 units	21,968 per 10,000 units	2015/06/29	200,000,000	2014/10/07
68466	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 E	0.250	21,638 per 10,000 units	21,738 per 10,000 units	2015/07/30	200,000,000	2014/10/07
68484	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 F	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2015/07/30	200,000,000	2014/10/07
68493	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 N	0.250	24,018 per 10,000 units	23,918 per 10,000 units	2015/01/29	200,000,000	2014/10/07
68562	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 O	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2015/01/29	200,000,000	2014/10/07
68535	UBS AG	HSI R Bull CBBC Mar 2015 K	0.250	23,568 per 12,000 units	23,768 per 12,000 units	2015/03/30	350,000,000	2014/10/07
68534	UBS AG	HSI R Bull CBBC May 2015 L	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/05/28	300,000,000	2014/10/07
68577	UBS AG	HSI R Bear CBBC Feb 2015 N	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/10/07
68578	UBS AG	HSI R Bear CBBC Feb 2015 O	0.250	23,859 per 10,000 units	23,659 per 10,000 units	2015/02/26	300,000,000	2014/10/07
68849	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 L	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	200,000,000	2014/10/08
68862	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 M	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	200,000,000	2014/10/08
68867	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 N	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	200,000,000	2014/10/08
68922	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	200,000,000	2014/10/08
68905	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 W	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	200,000,000	2014/10/08
69205	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 E	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/03/30	200,000,000	2014/10/08
69206	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 F	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	200,000,000	2014/10/08
69210	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 G	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	200,000,000	2014/10/08
69247	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 H	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	200,000,000	2014/10/08
68811	Credit Suisse AG	HSI R Bull CBBC May 2015 A	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2015/05/28	238,000,000	2014/10/08
68833	Credit Suisse AG	HSI R Bull CBBC May 2015 B	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/05/28	238,000,000	2014/10/08
68778	Credit Suisse AG	HSI R Bull CBBC May 2015 X	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/05/28	238,000,000	2014/10/08
68787	Credit Suisse AG	HSI R Bull CBBC May 2015 Y	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/05/28	238,000,000	2014/10/08
68803	Credit Suisse AG	HSI R Bull CBBC May 2015 Z	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	238,000,000	2014/10/08
69608	Credit Suisse AG	HSI R Bull CBBC Jun 2015 U	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/06/29	238,000,000	2014/10/08
69555	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	238,000,000	2014/10/08
69531	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	23,419 per 10,000 units	23,219 per 10,000 units	2015/02/26	238,000,000	2014/10/08

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69533	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/02/26	238,000,000	2014/10/08
69566	Credit Suisse AG	HSI R Bear CBBC Feb 2015 V	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/02/26	238,000,000	2014/10/08
69586	Credit Suisse AG	HSI R Bear CBBC Mar 2015 M	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	238,000,000	2014/10/08
69607	Credit Suisse AG	HSI R Bear CBBC Mar 2015 N	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	238,000,000	2014/10/08
69417	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 E	0.250	21,638 per 20,000 units	21,938 per 20,000 units	2015/01/29	150,000,000	2014/10/08
69461	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 F	0.252	22,838 per 20,000 units	23,138 per 20,000 units	2015/01/29	150,000,000	2014/10/08
69423	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 H	0.255	23,938 per 20,000 units	23,638 per 20,000 units	2015/01/29	150,000,000	2014/10/08
68617	HK Bank	Anhui Conch R Bull CBBC Dec 2015 A	0.250	21.08 per 100 units	23.08 per 100 units	2015/12/18	50,000,000	2014/10/08
68611	HK Bank	Sinopec Corp R Bull CBBC Oct 2015 A	0.250	5.73	6.03	2015/10/26	80,000,000	2014/10/08
68606	HK Bank	HSI R Bull CBBC Jun 2015 I	0.250	23,478 per 15,000 units	23,678 per 15,000 units	2015/06/29	100,000,000	2014/10/08
68607	HK Bank	HSI R Bear CBBC May 2015 L	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2015/05/28	100,000,000	2014/10/08
68608	HK Bank	HSI R Bear CBBC May 2015 M	0.250	24,578 per 20,000 units	24,328 per 20,000 units	2015/05/28	400,000,000	2014/10/08
68609	HK Bank	HSI R Bear CBBC May 2015 N	0.250	25,578 per 20,000 units	25,328 per 20,000 units	2015/05/28	400,000,000	2014/10/08
68610	HK Bank	HSI R Bear CBBC May 2015 O	0.250	26,078 per 20,000 units	25,828 per 20,000 units	2015/05/28	400,000,000	2014/10/08
68605	HK Bank	TCH R Bull CBBC Jul 2015 G	0.250	99.88 per 100 units	101.88 per 100 units	2015/07/06	100,000,000	2014/10/08
68629	HK Bank	TCH R Bull CBBC Jul 2015 H	0.250	94.88 per 100 units	96.88 per 100 units	2015/07/13	100,000,000	2014/10/08
68613	HK Bank	TCH R Bear CBBC Jul 2015 H	0.250	121.88 per 100 units	119.88 per 100 units	2015/07/23	100,000,000	2014/10/08
68691	J P Morgan SP BV	HSI R Bull CBBC May 2015 C	0.250	23,478 per 10,000 units	23,678 per 10,000 units	2015/05/28	300,000,000	2014/10/08
68664	J P Morgan SP BV	HSI R Bull CBBC May 2015 Z	0.250	22,488 per 10,000 units	22,688 per 10,000 units	2015/05/28	300,000,000	2014/10/08
68632	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 K	0.250	22,088 per 10,000 units	22,288 per 10,000 units	2015/06/29	300,000,000	2014/10/08
68665	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 L	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	300,000,000	2014/10/08
68680	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 M	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	300,000,000	2014/10/08
68649	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 V	0.250	22,288 per 10,000 units	22,488 per 10,000 units	2015/07/30	300,000,000	2014/10/08
68689	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 W	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/07/30	300,000,000	2014/10/08
69645	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 X	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/02/26	300,000,000	2014/10/08
69646	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/02/26	300,000,000	2014/10/08
68923	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 B	0.250	23,080 per 15,000 units	23,280 per 15,000 units	2015/10/29	100,000,000	2014/10/08
68990	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 C	0.250	22,980 per 20,000 units	23,180 per 20,000 units	2015/10/29	100,000,000	2014/10/08
69163	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 D	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2015/10/29	100,000,000	2014/10/08
69107	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 B	0.250	24,080 per 12,000 units	23,880 per 12,000 units	2015/02/26	100,000,000	2014/10/08
69129	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 F	0.250	23,680 per 15,000 units	23,480 per 15,000 units	2015/02/26	100,000,000	2014/10/08
68756	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 H	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2015/05/28	200,000,000	2014/10/08
68757	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 I	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2015/05/28	200,000,000	2014/10/08
68763	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 J	0.250	23,438 per 10,000 units	23,538 per 10,000 units	2015/05/28	200,000,000	2014/10/08
68742	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 K	0.250	21,568 per 10,000 units	21,668 per 10,000 units	2015/06/29	200,000,000	2014/10/08
68693	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 G	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2015/07/30	200,000,000	2014/10/08
68743	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 H	0.250	21,700 per 20,000 units	21,800 per 20,000 units	2015/07/30	400,000,000	2014/10/08
68744	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 I	0.250	22,100 per 20,000 units	22,200 per 20,000 units	2015/07/30	400,000,000	2014/10/08
69166	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 P	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2015/01/29	200,000,000	2014/10/08
69180	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 Q	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2015/01/29	200,000,000	2014/10/08
69189	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 R	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2015/01/29	200,000,000	2014/10/08
69190	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 S	0.250	23,868 per 10,000 units	23,768 per 10,000 units	2015/01/29	200,000,000	2014/10/08
69202	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 D	0.250	23,900 per 20,000 units	23,800 per 20,000 units	2015/03/30	400,000,000	2014/10/08

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69204	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 E	0.250	24,300 per 20,000 units	24,200 per 20,000 units	2015/03/30	400,000,000	2014/10/08
69105	UBS AG	HSI R Bull CBBC Mar 2015 I	0.250	23,478 per 12,000 units	23,678 per 12,000 units	2015/03/30	350,000,000	2014/10/08
69087	UBS AG	HSI R Bull CBBC Apr 2015 L	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/04/29	300,000,000	2014/10/08
69088	UBS AG	HSI R Bull CBBC Apr 2015 M	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/10/08
69014	UBS AG	HSI R Bull CBBC May 2015 M	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2015/05/28	300,000,000	2014/10/08
69064	UBS AG	HSI R Bull CBBC May 2015 N	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/05/28	300,000,000	2014/10/08
69063	UBS AG	HSI R Bull CBBC Jun 2015 G	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2015/06/29	300,000,000	2014/10/08
69071	UBS AG	HSI R Bull CBBC Jun 2015 H	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	300,000,000	2014/10/08
69086	UBS AG	HSI R Bull CBBC Jun 2015 I	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/06/29	300,000,000	2014/10/08
69291	UBS AG	HSI R Bear CBBC Jan 2015 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/01/29	300,000,000	2014/10/08
69396	UBS AG	HSI R Bear CBBC Jan 2015 T	0.250	23,419 per 10,000 units	23,219 per 10,000 units	2015/01/29	300,000,000	2014/10/08
69277	UBS AG	HSI R Bear CBBC Feb 2015 P	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	300,000,000	2014/10/08
69351	UBS AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/02/26	300,000,000	2014/10/08
69905	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 P	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/06/29	200,000,000	2014/10/09
69916	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/06/29	200,000,000	2014/10/09
60129	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 I	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	200,000,000	2014/10/09
60130	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 J	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	200,000,000	2014/10/09
60131	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/03/30	200,000,000	2014/10/09
60083	Credit Suisse AG	HKE X R Bear CBBC Feb 2015 D	0.250	182.80 per 100 units	175.80 per 100 units	2015/02/27	50,000,000	2014/10/09
60089	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/05/28	238,000,000	2014/10/09
60091	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/05/28	238,000,000	2014/10/09
60092	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/05/28	238,000,000	2014/10/09
60217	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	22,738 per 12,000 units	22,938 per 12,000 units	2015/05/28	238,000,000	2014/10/09
60220	Credit Suisse AG	HSI R Bear CBBC Mar 2015 O	0.250	23,151 per 10,000 units	22,951 per 10,000 units	2015/03/30	238,000,000	2014/10/09
60224	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/03/30	238,000,000	2014/10/09
60225	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	238,000,000	2014/10/09
60227	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,450 per 12,000 units	23,250 per 12,000 units	2015/03/30	238,000,000	2014/10/09
60236	Credit Suisse AG	HSI R Bear CBBC Mar 2015 S	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/03/30	238,000,000	2014/10/09
60237	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/03/30	238,000,000	2014/10/09
60238	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/03/30	238,000,000	2014/10/09
60218	Credit Suisse AG	HSI R Bear CBBC Jun 2015 C	0.250	24,077 per 20,000 units	23,777 per 20,000 units	2015/06/29	238,000,000	2014/10/09
60082	Credit Suisse AG	TCH R Bear CBBC Feb 2015 E	0.250	122.60 per 100 units	120.10 per 100 units	2015/02/27	50,000,000	2014/10/09
60168	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15H	0.250	78 per 100 units	80.50 per 100 units	2015/06/05	80,000,000	2014/10/09
60189	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jun15 A	0.250	36 per 100 units	38.50 per 100 units	2015/06/12	60,000,000	2014/10/09
60192	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jun15 B	0.250	33.50 per 100 units	36 per 100 units	2015/06/12	60,000,000	2014/10/09
60195	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jun15 C	0.250	31 per 100 units	33.50 per 100 units	2015/06/12	60,000,000	2014/10/09
60196	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Jun15 D	0.250	28.50 per 100 units	31 per 100 units	2015/06/12	60,000,000	2014/10/09
60186	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Apr15 C	0.250	55 per 100 units	52.50 per 100 units	2015/04/17	60,000,000	2014/10/09
60187	Citigroup Global Mkt H Inc.	Galaxy Ent R Bear CBBC Apr15 D	0.250	52.50 per 100 units	50 per 100 units	2015/04/17	60,000,000	2014/10/09
60181	Citigroup Global Mkt H Inc.	HKE X R Bull CBBC May 2015 G	0.260	145 per 100 units	150 per 100 units	2015/05/04	50,000,000	2014/10/09
60182	Citigroup Global Mkt H Inc.	HKE X R Bull CBBC May 2015 H	0.290	142 per 100 units	147 per 100 units	2015/05/04	50,000,000	2014/10/09
60183	Citigroup Global Mkt H Inc.	HKE X R Bull CBBC May 2015 I	0.320	139 per 100 units	144 per 100 units	2015/05/04	50,000,000	2014/10/09
60169	Citigroup Global Mkt H Inc.	HKE X R Bear CBBC Apr 2015 A	0.250	185 per 100 units	180 per 100 units	2015/04/17	50,000,000	2014/10/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60170	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Apr 2015 B	0.250	182 per 100 units	177 per 100 units	2015/04/17	50,000,000	2014/10/09
60171	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Apr 2015 C	0.250	179 per 100 units	174 per 100 units	2015/04/17	50,000,000	2014/10/09
60173	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Apr 2015 D	0.250	176 per 100 units	171 per 100 units	2015/04/17	50,000,000	2014/10/09
60184	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC May 15 A	0.250	103.80 per 100 units	100.80 per 100 units	2015/05/08	60,000,000	2014/10/09
60185	Citigroup Global Mkt H Inc.	Hutchison R Bear CBBC May 15 B	0.250	100.80 per 100 units	97.80 per 100 units	2015/05/08	60,000,000	2014/10/09
60144	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 F	0.250	132.50 per 100 units	130 per 100 units	2015/04/30	200,000,000	2014/10/09
60146	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 G	0.250	130.50 per 100 units	128 per 100 units	2015/04/30	200,000,000	2014/10/09
60147	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 H	0.250	128.50 per 100 units	126 per 100 units	2015/04/30	200,000,000	2014/10/09
60150	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 I	0.250	126.50 per 100 units	124 per 100 units	2015/04/30	200,000,000	2014/10/09
60167	Citigroup Global Mkt H Inc.	TCH R Bear CBBC Apr 2015 J	0.250	124.50 per 100 units	122 per 100 units	2015/04/30	200,000,000	2014/10/09
60135	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 G	0.250	21,038 per 20,000 units	21,338 per 20,000 units	2015/01/29	150,000,000	2014/10/09
60141	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 H	0.253	21,338 per 20,000 units	21,638 per 20,000 units	2015/01/29	150,000,000	2014/10/09
60138	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2015 A	0.251	23,638 per 20,000 units	23,338 per 20,000 units	2015/02/26	150,000,000	2014/10/09
69790	HK Bank	Cheung Kong R Bull CBBC Jun 2015 C	0.250	117.18 per 100 units	123.18 per 100 units	2015/06/29	60,000,000	2014/10/09
69792	HK Bank	Cheung Kong R Bull CBBC Jul 2015 B	0.250	110 per 100 units	116 per 100 units	2015/07/06	60,000,000	2014/10/09
69882	HK Bank	Cheung Kong R Bull CBBC Jul 2015 C	0.280	102.88 per 100 units	108.88 per 100 units	2015/07/13	60,000,000	2014/10/09
69732	HK Bank	HSCEI R Bull CBBC Jun 2015 C	0.250	9,188 per 10,000 units	9,388 per 10,000 units	2015/06/29	100,000,000	2014/10/09
69765	HK Bank	HSCEI R Bull CBBC Jul 2015 B	0.250	8,588 per 10,000 units	8,788 per 10,000 units	2015/07/30	100,000,000	2014/10/09
69796	HK Bank	HSI R Bull CBBC Jul 2015 C	0.250	20,078 per 20,000 units	20,328 per 20,000 units	2015/07/30	400,000,000	2014/10/09
69803	HK Bank	HSI R Bull CBBC Jul 2015 D	0.250	19,578 per 20,000 units	19,828 per 20,000 units	2015/07/30	400,000,000	2014/10/09
69878	HK Bank	HSI R Bear CBBC Apr 2015 Q	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2015/04/29	100,000,000	2014/10/09
69647	HK Bank	HSI R Bear CBBC May 2015 P	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2015/05/28	100,000,000	2014/10/09
69651	HK Bank	HSI R Bear CBBC Jul 2015 D	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2015/07/30	100,000,000	2014/10/09
69775	HK Bank	TCH R Bear CBBC Jul 2015 I	0.250	119.88 per 100 units	117.88 per 100 units	2015/07/30	100,000,000	2014/10/09
69991	J P Morgan SP BV	HSI R Bull CBBC May 2015 B	0.250	22,388 per 10,000 units	22,588 per 10,000 units	2015/05/28	300,000,000	2014/10/09
60033	J P Morgan SP BV	HSI R Bull CBBC May 2015 N	0.250	21,788 per 10,000 units	21,988 per 10,000 units	2015/05/28	300,000,000	2014/10/09
60032	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 H	0.250	21,488 per 10,000 units	21,688 per 10,000 units	2015/06/29	300,000,000	2014/10/09
69942	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	300,000,000	2014/10/09
69994	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 J	0.250	22,188 per 10,000 units	22,388 per 10,000 units	2015/06/29	300,000,000	2014/10/09
69949	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 X	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/07/30	300,000,000	2014/10/09
60034	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 Y	0.250	21,988 per 10,000 units	22,188 per 10,000 units	2015/07/30	300,000,000	2014/10/09
60031	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 C	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2015/08/28	300,000,000	2014/10/09
60096	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 N	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/01/29	300,000,000	2014/10/09
60098	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 A	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	300,000,000	2014/10/09
60097	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Z	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/02/26	300,000,000	2014/10/09
60240	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 A	0.250	23,133 per 10,000 units	22,933 per 10,000 units	2015/03/30	300,000,000	2014/10/09
60065	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 E	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2015/10/29	100,000,000	2014/10/09
60066	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 F	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/10/29	100,000,000	2014/10/09
60142	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 H	0.250	23,588 per 12,000 units	23,388 per 12,000 units	2015/02/26	100,000,000	2014/10/09
60143	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 J	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2015/02/26	100,000,000	2014/10/09
60019	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 K	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2015/04/29	200,000,000	2014/10/09
60025	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 K	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2015/05/28	200,000,000	2014/10/09
60106	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 L	0.250	22,768 per 10,000 units	22,868 per 10,000 units	2015/05/28	200,000,000	2014/10/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60008	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 L	0.250	21,268 per 10,000 units	21,368 per 10,000 units	2015/06/29	200,000,000	2014/10/09
60014	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 M	0.250	21,418 per 10,000 units	21,518 per 10,000 units	2015/06/29	200,000,000	2014/10/09
60000	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 J	0.250	20,700 per 20,000 units	20,800 per 20,000 units	2015/07/30	400,000,000	2014/10/09
60007	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 K	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2015/07/30	200,000,000	2014/10/09
60012	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 L	0.250	21,338 per 10,000 units	21,438 per 10,000 units	2015/07/30	200,000,000	2014/10/09
60026	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 N	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2015/02/26	200,000,000	2014/10/09
60029	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 O	0.250	23,568 per 10,000 units	23,468 per 10,000 units	2015/02/26	200,000,000	2014/10/09
60030	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 P	0.250	23,718 per 10,000 units	23,618 per 10,000 units	2015/02/26	200,000,000	2014/10/09
60111	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 Q	0.250	23,118 per 10,000 units	23,018 per 10,000 units	2015/02/26	200,000,000	2014/10/09
60113	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 R	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2015/02/26	200,000,000	2014/10/09
60035	UBS AG	HSI R Bull CBBC Mar 2015 J	0.250	23,029 per 12,000 units	23,229 per 12,000 units	2015/03/30	350,000,000	2014/10/09
60040	UBS AG	HSI R Bull CBBC Apr 2015 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/04/29	300,000,000	2014/10/09
60043	UBS AG	HSI R Bull CBBC Apr 2015 O	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2015/04/29	300,000,000	2014/10/09
60042	UBS AG	HSI R Bull CBBC Jun 2015 J	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/06/29	300,000,000	2014/10/09
60057	UBS AG	HSI R Bull CBBC Jun 2015 K	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2015/06/29	300,000,000	2014/10/09
60049	UBS AG	HSI R Bull CBBC Jul 2015 E	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2015/07/30	300,000,000	2014/10/09
60061	UBS AG	HSI R Bull CBBC Jul 2015 F	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2015/07/30	300,000,000	2014/10/09
60208	UBS AG	HSI R Bear CBBC Jan 2015 U	0.250	23,133 per 10,000 units	22,933 per 10,000 units	2015/01/29	300,000,000	2014/10/09
60210	UBS AG	HSI R Bear CBBC Jan 2015 Y	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/01/29	300,000,000	2014/10/09
60211	UBS AG	HSI R Bear CBBC Feb 2015 R	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/02/26	300,000,000	2014/10/09
60212	UBS AG	HSI R Bear CBBC Feb 2015 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	300,000,000	2014/10/09
60219	UBS AG	HSI R Bear CBBC Feb 2015 T	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/02/26	300,000,000	2014/10/09
60312	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 R	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/06/29	200,000,000	2014/10/10
60313	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 V	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/06/29	200,000,000	2014/10/10
60314	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 X	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/06/29	200,000,000	2014/10/10
60462	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 L	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/03/30	200,000,000	2014/10/10
60471	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 M	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2015/03/30	200,000,000	2014/10/10
60352	Credit Suisse AG	HSI R Bull CBBC May 2015 G	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/05/28	238,000,000	2014/10/10
60353	Credit Suisse AG	HSI R Bull CBBC May 2015 H	0.250	22,600 per 12,000 units	22,800 per 12,000 units	2015/05/28	238,000,000	2014/10/10
60354	Credit Suisse AG	HSI R Bull CBBC May 2015 I	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/05/28	238,000,000	2014/10/10
60355	Credit Suisse AG	HSI R Bull CBBC May 2015 J	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2015/05/28	238,000,000	2014/10/10
60520	Credit Suisse AG	HSI R Bull CBBC May 2015 K	0.250	22,008 per 12,000 units	22,208 per 12,000 units	2015/05/28	238,000,000	2014/10/10
60523	Credit Suisse AG	HSI R Bull CBBC May 2015 L	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2015/05/28	238,000,000	2014/10/10
60524	Credit Suisse AG	HSI R Bull CBBC May 2015 M	0.250	22,864 per 10,000 units	23,064 per 10,000 units	2015/05/28	238,000,000	2014/10/10
60526	Credit Suisse AG	HSI R Bull CBBC May 2015 N	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/05/28	238,000,000	2014/10/10
60497	Credit Suisse AG	HSI R Bear CBBC Mar 2015 V	0.250	22,902 per 12,000 units	22,702 per 12,000 units	2015/03/30	238,000,000	2014/10/10
60498	Credit Suisse AG	HSI R Bear CBBC Mar 2015 W	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2015/03/30	238,000,000	2014/10/10
60502	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/03/30	238,000,000	2014/10/10
60503	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Y	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/03/30	238,000,000	2014/10/10
60505	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Z	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/03/30	238,000,000	2014/10/10
60507	Credit Suisse AG	HSI R Bear CBBC Apr 2015 O	0.250	23,528 per 12,000 units	23,328 per 12,000 units	2015/04/29	238,000,000	2014/10/10
60509	Credit Suisse AG	HSI R Bear CBBC Apr 2015 P	0.250	23,728 per 12,000 units	23,528 per 12,000 units	2015/04/29	238,000,000	2014/10/10
60532	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 I	0.277	22,538 per 20,000 units	22,838 per 20,000 units	2015/01/29	150,000,000	2014/10/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60389	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2015 B	0.256	23,338 per 20,000 units	23,038 per 20,000 units	2015/02/26	150,000,000	2014/10/10
60282	HK Bank	AIA R Bear CBBC Jun 2015 A	0.250	43.48 per 100 units	42.48 per 100 units	2015/06/29	40,000,000	2014/10/10
60284	HK Bank	China Mobile R Bear CBBC Jul 2015 I	0.250	98.38 per 100 units	95.88 per 100 units	2015/07/27	60,000,000	2014/10/10
60256	HK Bank	CUni R Bull CBBC Aug 2015 A	0.280	9.02	10.02	2015/08/31	50,000,000	2014/10/10
60265	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 D	0.250	51.27 per 100 units	48.27 per 100 units	2015/07/13	60,000,000	2014/10/10
60246	HK Bank	HSI R Bull CBBC Jun 2015 J	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2015/06/29	100,000,000	2014/10/10
60264	HK Bank	HSI R Bull CBBC Jun 2015 K	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2015/06/29	100,000,000	2014/10/10
60251	HK Bank	HSI R Bull CBBC Jul 2015 E	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2015/07/30	100,000,000	2014/10/10
60252	HK Bank	HSI R Bull CBBC Aug 2015 A	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2015/08/28	100,000,000	2014/10/10
60259	HK Bank	HSI R Bull CBBC Aug 2015 B	0.250	19,078 per 20,000 units	19,328 per 20,000 units	2015/08/28	400,000,000	2014/10/10
60260	HK Bank	HSI R Bull CBBC Aug 2015 C	0.270	17,778 per 20,000 units	18,028 per 20,000 units	2015/08/28	400,000,000	2014/10/10
60254	HK Bank	HSI R Bull CBBC Sep 2015 A	0.250	20,788 per 15,000 units	20,988 per 15,000 units	2015/09/29	100,000,000	2014/10/10
60261	HK Bank	HSI R Bear CBBC Apr 2015 R	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2015/04/29	100,000,000	2014/10/10
60262	HK Bank	HSI R Bear CBBC May 2015 Q	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2015/05/28	100,000,000	2014/10/10
60278	HK Bank	Hutchison R Bull CBBC Sep 2015 A	0.250	72.88 per 100 units	75.88 per 100 units	2015/09/29	60,000,000	2014/10/10
60277	HK Bank	Hutchison R Bear CBBC Jun 2015 A	0.250	102.88 per 100 units	99.88 per 100 units	2015/06/29	50,000,000	2014/10/10
60285	HK Bank	TCH R Bull CBBC Jun 2015 H	0.250	111.88 per 100 units	113.88 per 100 units	2015/06/08	100,000,000	2014/10/10
60302	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 N	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/06/29	300,000,000	2014/10/10
60310	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 A	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/07/30	300,000,000	2014/10/10
60300	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/07/30	300,000,000	2014/10/10
60356	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 B	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60365	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60375	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 D	0.250	22,902 per 10,000 units	22,702 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60531	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 E	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60473	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 F	0.260	23,950 per 10,000 units	23,750 per 10,000 units	2015/02/26	50,000,000	2014/10/10
60479	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 G	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/02/26	50,000,000	2014/10/10
60482	Macquarie Bank Ltd.	HSI R Bear CBBC Feb 2015 H	0.255	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	50,000,000	2014/10/10
60513	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 G	0.250	22,380 per 20,000 units	22,580 per 20,000 units	2015/10/29	100,000,000	2014/10/10
60515	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 H	0.250	22,480 per 15,000 units	22,680 per 15,000 units	2015/10/29	100,000,000	2014/10/10
60319	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 C	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2015/02/26	100,000,000	2014/10/10
60321	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 L	0.250	23,880 per 15,000 units	23,680 per 15,000 units	2015/02/26	100,000,000	2014/10/10
60455	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 O	0.250	23,180 per 15,000 units	22,980 per 15,000 units	2015/02/26	100,000,000	2014/10/10
60461	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Q	0.250	22,980 per 20,000 units	22,780 per 20,000 units	2015/02/26	100,000,000	2014/10/10
60331	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 O	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2015/04/29	200,000,000	2014/10/10
60334	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 W	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2015/04/29	200,000,000	2014/10/10
60335	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 T	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2015/01/29	200,000,000	2014/10/10
60340	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 U	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2015/01/29	200,000,000	2014/10/10
60384	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 S	0.250	22,818 per 10,000 units	22,718 per 10,000 units	2015/02/26	200,000,000	2014/10/10
60387	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 T	0.250	22,968 per 10,000 units	22,868 per 10,000 units	2015/02/26	200,000,000	2014/10/10
60454	UBS AG	Cheung Kong R Bull CBBC Oct 2015 A	0.250	109 per 100 units	115 per 100 units	2015/10/19	50,000,000	2014/10/10
60426	UBS AG	Galaxy Ent R Bull CBBC Nov 2015 A	0.250	35 per 100 units	37.50 per 100 units	2015/11/09	50,000,000	2014/10/10
60351	UBS AG	HSI R Bull CBBC Mar 2015 L	0.250	22,732 per 12,000 units	22,932 per 12,000 units	2015/03/30	350,000,000	2014/10/10
60392	UBS AG	HSI R Bull CBBC Mar 2015 N	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2015/03/30	300,000,000	2014/10/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60350	UBS AG	HSI R Bull CBBC Apr 2015 P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/04/29	300,000,000	2014/10/10
60349	UBS AG	HSI R Bull CBBC May 2015 O	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/05/28	300,000,000	2014/10/10
60394	UBS AG	HSI R Bull CBBC May 2015 P	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2015/05/28	300,000,000	2014/10/10
60396	UBS AG	HSI R Bull CBBC May 2015 Q	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2015/05/28	300,000,000	2014/10/10
60527	UBS AG	HSI R Bull CBBC Jul 2015 G	0.250	22,864 per 10,000 units	23,064 per 10,000 units	2015/07/30	300,000,000	2014/10/10
60484	UBS AG	HSI R Bear CBBC Feb 2015 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	300,000,000	2014/10/10
60486	UBS AG	HSI R Bear CBBC Feb 2015 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/02/26	300,000,000	2014/10/10
60489	UBS AG	HSI R Bear CBBC Feb 2015 W	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/02/26	300,000,000	2014/10/10
60496	UBS AG	HSI R Bear CBBC Feb 2015 X	0.250	22,902 per 10,000 units	22,702 per 10,000 units	2015/02/26	300,000,000	2014/10/10
60485	UBS AG	HSI R Bear CBBC Mar 2015 I	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60488	UBS AG	HSI R Bear CBBC Mar 2015 J	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60495	UBS AG	HSI R Bear CBBC Mar 2015 K	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2015/03/30	300,000,000	2014/10/10
60435	UBS AG	Hutchison R Bull CBBC Oct 2015 A	0.250	77 per 100 units	80 per 100 units	2015/10/05	50,000,000	2014/10/10
60401	UBS AG	Kingsoft R Bull CBBC Jun 2016 B	0.250	13.88 per 100 units	15.88 per 100 units	2016/06/06	50,000,000	2014/10/10
60402	UBS AG	Sands China R Bull CBBC Aug 2015 A	0.250	27.20 per 100 units	30 per 100 units	2015/08/10	50,000,000	2014/10/10
60412	UBS AG	TCH R Bull CBBC Jun 2015 D	0.250	105.50 per 100 units	108 per 100 units	2015/06/22	100,000,000	2014/10/10
60415	UBS AG	TCH R Bull CBBC Jun 2015 E	0.250	100.50 per 100 units	103 per 100 units	2015/06/08	100,000,000	2014/10/10
60409	UBS AG	Tencent R Bear CBBC Apr 2015 B	0.250	127.50 per 100 units	125 per 100 units	2015/04/20	100,000,000	2014/10/10
60641	Credit Suisse AG	HSI R Bull CBBC May 2015 O	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60643	Credit Suisse AG	HSI R Bull CBBC May 2015 P	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60636	Credit Suisse AG	HSI R Bull CBBC May 2015 Q	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60637	Credit Suisse AG	HSI R Bull CBBC May 2015 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60639	Credit Suisse AG	HSI R Bull CBBC May 2015 S	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60640	Credit Suisse AG	HSI R Bull CBBC May 2015 T	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60646	Credit Suisse AG	HSI R Bull CBBC May 2015 U	0.250	23,115 per 10,000 units	23,315 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60647	Credit Suisse AG	HSI R Bull CBBC May 2015 V	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/05/28	238,000,000	2014/10/13
60582	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Q	0.250	23,850 per 12,000 units	23,650 per 12,000 units	2015/04/29	238,000,000	2014/10/13
60583	Credit Suisse AG	HSI R Bear CBBC Apr 2015 R	0.250	23,278 per 12,000 units	23,078 per 12,000 units	2015/04/29	238,000,000	2014/10/13
60567	HK Bank	Anhui Conch R Bull CBBC Dec 2015 B	0.250	19.08 per 100 units	21.08 per 100 units	2015/12/16	50,000,000	2014/10/13
60558	HK Bank	China Mobile R Bull CBBC Sep 2015 A	0.250	87.18 per 100 units	89.68 per 100 units	2015/09/29	120,000,000	2014/10/13
60561	HK Bank	China Life R Bear CBBC Apr 2015 A	0.280	24.28	23.28	2015/04/27	60,000,000	2014/10/13
60557	HK Bank	HKEx R Bull CBBC Sep 2015 A	0.420	128.88 per 100 units	133.88 per 100 units	2015/09/29	60,000,000	2014/10/13
60547	HK Bank	HSCEI R Bear CBBC Apr 2015 C	0.250	10,788 per 10,000 units	10,588 per 10,000 units	2015/04/29	68,000,000	2014/10/13
60536	HK Bank	HSI R Bull CBBC Jul 2015 F	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2015/07/30	100,000,000	2014/10/13
60544	HK Bank	HSI R Bear CBBC Jun 2015 B	0.250	23,788 per 15,000 units	23,588 per 15,000 units	2015/06/29	100,000,000	2014/10/13
60537	HK Bank	HSI R Bear CBBC Jul 2015 E	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2015/07/30	100,000,000	2014/10/13
60548	HK Bank	Kingsoft R Bull CBBC Oct 2015 A	0.620	12.68	14.68	2015/10/26	50,000,000	2014/10/13
60550	HK Bank	SHK Ppt R Bear CBBC Oct 2015 B	0.250	120.98 per 100 units	116.98 per 100 units	2015/10/19	50,000,000	2014/10/13
60698	J P Morgan SP BV	HSI R Bull CBBC May 2015 K	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2015/05/28	300,000,000	2014/10/13
60672	J P Morgan SP BV	HSI R Bull CBBC May 2015 P	0.250	23,088 per 10,000 units	23,288 per 10,000 units	2015/05/28	300,000,000	2014/10/13
60691	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 O	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2015/06/29	300,000,000	2014/10/13
60706	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 B	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/07/30	300,000,000	2014/10/13
60588	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 F	0.250	23,488 per 10,000 units	23,288 per 10,000 units	2015/03/30	300,000,000	2014/10/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60602	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 G	0.250	23,888 per 10,000 units	23,688 per 10,000 units	2015/03/30	300,000,000	2014/10/13
60644	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2015 A	0.255	22,450 per 10,000 units	22,650 per 10,000 units	2015/03/30	50,000,000	2014/10/13
60645	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2015 B	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/03/30	50,000,000	2014/10/13
60649	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 I	0.250	22,880 per 20,000 units	23,080 per 20,000 units	2015/10/29	100,000,000	2014/10/13
60585	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 K	0.250	24,380 per 15,000 units	24,180 per 15,000 units	2015/02/26	100,000,000	2014/10/13
60584	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 R	0.250	23,780 per 20,000 units	23,580 per 20,000 units	2015/02/26	100,000,000	2014/10/13
60604	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 M	0.250	22,468 per 10,000 units	22,568 per 10,000 units	2015/05/28	200,000,000	2014/10/13
60615	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 N	0.250	22,618 per 10,000 units	22,718 per 10,000 units	2015/05/28	200,000,000	2014/10/13
60609	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 N	0.250	22,500 per 20,000 units	22,600 per 20,000 units	2015/06/29	400,000,000	2014/10/13
60631	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 O	0.250	22,900 per 20,000 units	23,000 per 20,000 units	2015/06/29	400,000,000	2014/10/13
60635	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 C	0.250	23,700 per 20,000 units	23,600 per 20,000 units	2015/04/29	400,000,000	2014/10/13
60581	UBS AG	China Mobile R Bear CBBC Mar 2015 B	0.250	102.50 per 100 units	100 per 100 units	2015/03/23	100,000,000	2014/10/13
60580	UBS AG	A50 China R Bull CBBC May 2015 A	0.250	8.45	8.75	2015/05/04	100,000,000	2014/10/13
60670	UBS AG	HSI R Bull CBBC Mar 2015 Q	0.250	23,115 per 12,000 units	23,315 per 12,000 units	2015/03/30	350,000,000	2014/10/13
60655	UBS AG	HSI R Bull CBBC Apr 2015 Q	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2015/04/29	300,000,000	2014/10/13
60656	UBS AG	HSI R Bull CBBC May 2015 R	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/05/28	300,000,000	2014/10/13
60657	UBS AG	HSI R Bull CBBC Jun 2015 L	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/06/29	300,000,000	2014/10/13
60660	UBS AG	HSI R Bull CBBC Jul 2015 H	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/07/30	300,000,000	2014/10/13
60572	UBS AG	HSI R Bear CBBC Feb 2015 Y	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/02/26	300,000,000	2014/10/13
60579	UBS AG	HSI R Bear CBBC Mar 2015 L	0.250	23,265 per 12,000 units	23,065 per 12,000 units	2015/03/30	350,000,000	2014/10/13
60806	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF R Bull CBBC Jun15C	0.250	8.2	8.5	2015/06/29	100,000,000	2014/10/14
60800	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 C	0.250	8.5	8.8	2015/06/29	100,000,000	2014/10/14
60807	Credit Suisse AG	HSI R Bull CBBC May 2015 W	0.250	23,233 per 10,000 units	23,433 per 10,000 units	2015/05/28	238,000,000	2014/10/14
60730	Credit Suisse AG	HSI R Bear CBBC Apr 2015 S	0.250	23,628 per 12,000 units	23,428 per 12,000 units	2015/04/29	238,000,000	2014/10/14
60772	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Apr15C	0.250	34.80 per 100 units	37.80 per 100 units	2015/04/13	60,000,000	2014/10/14
60760	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC May15A	0.250	36.80 per 100 units	39.80 per 100 units	2015/05/19	60,000,000	2014/10/14
60774	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Apr15B	0.250	48.80 per 100 units	45.80 per 100 units	2015/04/13	60,000,000	2014/10/14
60779	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Apr15C	0.250	50.80 per 100 units	47.80 per 100 units	2015/04/13	60,000,000	2014/10/14
60762	Citigroup Global Mkt H Inc.	TCH R Bull CBBC Jun 2015 D	0.250	111.38 per 100 units	113.88 per 100 units	2015/06/15	200,000,000	2014/10/14
60787	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 J	0.268	23,038 per 20,000 units	23,338 per 20,000 units	2015/01/29	150,000,000	2014/10/14
60789	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 K	0.285	22,688 per 20,000 units	22,988 per 20,000 units	2015/01/29	150,000,000	2014/10/14
60784	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2015 I	0.251	24,388 per 20,000 units	24,088 per 20,000 units	2015/01/29	150,000,000	2014/10/14
60723	HK Bank	HSI R Bull CBBC Aug 2015 D	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2015/08/28	100,000,000	2014/10/14
60718	HK Bank	HSI R Bull CBBC Sep 2015 B	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2015/09/29	100,000,000	2014/10/14
60724	HK Bank	HSI R Bull CBBC Sep 2015 C	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2015/09/29	100,000,000	2014/10/14
60720	HK Bank	HSI R Bull CBBC Oct 2015 A	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2015/10/29	100,000,000	2014/10/14
60714	HK Bank	HSI R Bear CBBC Jun 2015 C	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2015/06/29	100,000,000	2014/10/14
60726	HK Bank	Ping An R Bull CBBC Jul 2015 B	0.250	50.88 per 100 units	54.88 per 100 units	2015/07/27	60,000,000	2014/10/14
60826	J P Morgan SP BV	HSI R Bull CBBC May 2015 Q	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2015/05/28	300,000,000	2014/10/14
60819	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 C	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/07/30	300,000,000	2014/10/14
60820	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 D	0.250	22,988 per 10,000 units	23,188 per 10,000 units	2015/07/30	300,000,000	2014/10/14
60831	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 D	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2015/08/28	300,000,000	2014/10/14
60732	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 H	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2015/03/30	300,000,000	2014/10/14

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60737	Standard Chartered Bank	Galaxy Ent R Bull CBBC Oct 2015 A	0.250	41.50 per 100 units	43.50 per 100 units	2015/10/15	50,000,000	2014/10/14
60746	Standard Chartered Bank	Galaxy Ent R Bear CBBC Jul 2015 A	0.250	55 per 100 units	53 per 100 units	2015/07/10	50,000,000	2014/10/14
60745	Standard Chartered Bank	Sands China R Bull CBBC Jul 2015 B	0.250	36 per 100 units	38 per 100 units	2015/07/15	50,000,000	2014/10/14
60799	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 E	0.250	23,218 per 10,000 units	23,318 per 10,000 units	2015/04/29	200,000,000	2014/10/14
60810	UBS AG	HSI R Bull CBBC Mar 2015 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/03/30	300,000,000	2014/10/14
60813	UBS AG	HSI R Bull CBBC Apr 2015 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/04/29	300,000,000	2014/10/14
60812	UBS AG	HSI R Bull CBBC May 2015 S	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/05/28	300,000,000	2014/10/14
60816	UBS AG	HSI R Bull CBBC May 2015 T	0.250	23,233 per 10,000 units	23,433 per 10,000 units	2015/05/28	300,000,000	2014/10/14
60727	UBS AG	HSI R Bear CBBC Feb 2015 Z	0.250	23,516 per 12,000 units	23,316 per 12,000 units	2015/02/26	350,000,000	2014/10/14
60808	UBS AG	HSI R Bear CBBC Mar 2015 M	0.250	25,188 per 20,000 units	24,888 per 20,000 units	2015/03/30	300,000,000	2014/10/14
60890	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 E	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	200,000,000	2014/10/15
60886	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 T	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	200,000,000	2014/10/15
60889	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 U	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	200,000,000	2014/10/15
60909	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 N	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/03/30	200,000,000	2014/10/15
60870	Credit Suisse AG	HSI R Bull CBBC May 2015 A	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/05/28	238,000,000	2014/10/15
60872	Credit Suisse AG	HSI R Bull CBBC May 2015 B	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/05/28	238,000,000	2014/10/15
60907	Credit Suisse AG	HSI R Bear CBBC Apr 2015 T	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/04/29	238,000,000	2014/10/15
60897	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 G	0.250	8.5	8.8	2015/04/14	100,000,000	2014/10/15
60901	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 15 H	0.250	8.7	9	2015/04/14	100,000,000	2014/10/15
60833	HK Bank	ABC R Bear CBBC May 2015 B	0.250	4.43	4.18	2015/05/11	40,000,000	2014/10/15
60844	HK Bank	Galaxy Ent R Bull CBBC Jun 2015 B	0.250	40.27 per 100 units	43.27 per 100 units	2015/06/29	80,000,000	2014/10/15
60848	HK Bank	HKEx R Bull CBBC Jul 2015 B	0.250	163.88 per 100 units	168.88 per 100 units	2015/07/13	60,000,000	2014/10/15
60857	HK Bank	HSI R Bull CBBC Jun 2015 L	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2015/06/29	100,000,000	2014/10/15
60863	HK Bank	HSI R Bull CBBC Jul 2015 G	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2015/07/30	100,000,000	2014/10/15
60859	HK Bank	HSI R Bull CBBC Aug 2015 E	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2015/08/28	100,000,000	2014/10/15
60834	HK Bank	ICBC R Bear CBBC May 2015 A	0.250	5.48	5.23	2015/05/11	40,000,000	2014/10/15
60854	HK Bank	Lenovo R Bull CBBC Aug 2015 C	0.250	10.68	11.18	2015/08/31	60,000,000	2014/10/15
60846	HK Bank	Sands China R Bull CBBC Jul 2015 D	0.250	36.18 per 100 units	39.18 per 100 units	2015/07/17	80,000,000	2014/10/15
60836	HK Bank	TCH R Bull CBBC Jun 2015 I	0.250	113.88 per 100 units	115.88 per 100 units	2015/06/18	100,000,000	2014/10/15
60864	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 E	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/07/30	300,000,000	2014/10/15
60868	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 F	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/07/30	300,000,000	2014/10/15
60902	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/02/26	300,000,000	2014/10/15
60904	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 A	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2015/04/29	300,000,000	2014/10/15
60906	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 B	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2015/04/29	300,000,000	2014/10/15
60895	Standard Chartered Bank	HSI R Bull CBBC Nov 2015 A	0.250	23,180 per 20,000 units	23,380 per 20,000 units	2015/11/27	100,000,000	2014/10/15
60896	Standard Chartered Bank	HSI R Bull CBBC Nov 2015 B	0.250	23,080 per 15,000 units	23,280 per 15,000 units	2015/11/27	100,000,000	2014/10/15
60879	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 O	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2015/05/28	200,000,000	2014/10/15
60880	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 P	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2015/05/28	200,000,000	2014/10/15
60884	UBS AG	HSI R Bull CBBC Apr 2015 S	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/04/29	300,000,000	2014/10/15
60881	UBS AG	HSI R Bull CBBC Jun 2015 M	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/06/29	300,000,000	2014/10/15
60910	UBS AG	HSI R Bear CBBC Jan 2015 T	0.250	23,454 per 10,000 units	23,254 per 10,000 units	2015/01/29	300,000,000	2014/10/15
61041	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 F	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	200,000,000	2014/10/16
60955	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 O	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	200,000,000	2014/10/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60956	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	200,000,000	2014/10/16
61033	Credit Suisse AG	HSI R Bull CBBC May 2015 X	0.250	23,100 per 12,000 units	23,300 per 12,000 units	2015/05/28	238,000,000	2014/10/16
61034	Credit Suisse AG	HSI R Bull CBBC May 2015 Y	0.250	23,200 per 12,000 units	23,400 per 12,000 units	2015/05/28	238,000,000	2014/10/16
61035	Credit Suisse AG	HSI R Bull CBBC May 2015 Z	0.250	23,334 per 10,000 units	23,534 per 10,000 units	2015/05/28	238,000,000	2014/10/16
60963	Credit Suisse AG	HSI R Bear CBBC Apr 2015 U	0.250	23,464 per 10,000 units	23,264 per 10,000 units	2015/04/29	238,000,000	2014/10/16
60964	Credit Suisse AG	HSI R Bear CBBC Apr 2015 V	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/04/29	238,000,000	2014/10/16
60969	Credit Suisse AG	HSI R Bear CBBC Apr 2015 W	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/04/29	238,000,000	2014/10/16
61042	Citigroup Global Mkt H Inc.	AIA R Bull CBBC Jun 2015 B	0.250	38.80 per 100 units	39.80 per 100 units	2015/06/18	100,000,000	2014/10/16
60992	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun15I	0.250	87.50 per 100 units	90 per 100 units	2015/06/18	80,000,000	2014/10/16
61022	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Apr15 C	0.250	40.50 per 100 units	43 per 100 units	2015/04/15	60,000,000	2014/10/16
61024	Citigroup Global Mkt H Inc.	Galaxy Ent R Bull CBBC Apr15 D	0.250	42.50 per 100 units	45 per 100 units	2015/04/15	60,000,000	2014/10/16
61000	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 J	0.250	160.80 per 100 units	165.80 per 100 units	2015/05/12	50,000,000	2014/10/16
61002	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2015 K	0.250	164.80 per 100 units	169.80 per 100 units	2015/05/12	50,000,000	2014/10/16
61015	Citigroup Global Mkt H Inc.	Hutchison R Bull CBBC Jun 15 A	0.250	90.80 per 100 units	93.80 per 100 units	2015/06/24	60,000,000	2014/10/16
60936	HK Bank	CC Bank R Bull CBBC Aug 2015 D	0.250	4.43	4.73	2015/08/24	80,000,000	2014/10/16
60942	HK Bank	CC Bank R Bear CBBC May 2015 A	0.250	6.28	5.98	2015/05/11	40,000,000	2014/10/16
60951	HK Bank	Minsheng Bank R Bear CBBC May 2015 B	0.250	8.4	7.9	2015/05/11	40,000,000	2014/10/16
60915	HK Bank	HSI R Bull CBBC Aug 2015 F	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2015/08/28	100,000,000	2014/10/16
60917	HK Bank	ICBC R Bull CBBC Jul 2015 C	0.250	3.93	4.18	2015/07/20	80,000,000	2014/10/16
60916	HK Bank	ICBC R Bull CBBC Aug 2015 B	0.250	3.58	3.83	2015/08/10	80,000,000	2014/10/16
60991	J P Morgan SP BV	HSI R Bull CBBC May 2015 I	0.250	22,322 per 10,000 units	22,522 per 10,000 units	2015/05/28	300,000,000	2014/10/16
60987	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 P	0.250	22,822 per 10,000 units	23,022 per 10,000 units	2015/06/29	300,000,000	2014/10/16
61037	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 R	0.250	23,334 per 10,000 units	23,534 per 10,000 units	2015/06/29	300,000,000	2014/10/16
60986	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 G	0.250	23,277 per 10,000 units	23,477 per 10,000 units	2015/07/30	300,000,000	2014/10/16
60952	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 A	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	300,000,000	2014/10/16
60954	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 I	0.250	23,788 per 10,000 units	23,588 per 10,000 units	2015/03/30	300,000,000	2014/10/16
61039	Standard Chartered Bank	HSI R Bull CBBC Nov 2015 C	0.250	23,280 per 15,000 units	23,480 per 15,000 units	2015/11/27	100,000,000	2014/10/16
60959	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 S	0.250	23,480 per 15,000 units	23,280 per 15,000 units	2015/02/26	100,000,000	2014/10/16
60962	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 T	0.250	23,680 per 15,000 units	23,480 per 15,000 units	2015/02/26	100,000,000	2014/10/16
60981	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 H	0.250	23,368 per 10,000 units	23,468 per 10,000 units	2015/04/29	200,000,000	2014/10/16
60982	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 V	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2015/01/29	200,000,000	2014/10/16
61031	UBS AG	HSI R Bull CBBC Jun 2015 N	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/06/29	300,000,000	2014/10/16
61032	UBS AG	HSI R Bull CBBC Jul 2015 I	0.250	23,334 per 10,000 units	23,534 per 10,000 units	2015/07/30	300,000,000	2014/10/16
60970	UBS AG	HSI R Bear CBBC Jan 2015 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/01/29	300,000,000	2014/10/16
60971	UBS AG	HSI R Bear CBBC Feb 2015 C	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/02/26	300,000,000	2014/10/16
60976	UBS AG	HSI R Bear CBBC Mar 2015 N	0.250	23,464 per 12,000 units	23,264 per 12,000 units	2015/03/30	350,000,000	2014/10/16
61175	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 D	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	200,000,000	2014/10/17
61178	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	200,000,000	2014/10/17
61212	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	200,000,000	2014/10/17
61104	Credit Suisse AG	HSI R Bull CBBC Apr 2015 G	0.250	23,038 per 10,000 units	23,238 per 10,000 units	2015/04/29	238,000,000	2014/10/17
61110	Credit Suisse AG	HSI R Bull CBBC Apr 2015 L	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/04/29	238,000,000	2014/10/17
61129	Credit Suisse AG	HSI R Bull CBBC Apr 2015 M	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2015/04/29	238,000,000	2014/10/17
61094	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,250 per 12,000 units	23,450 per 12,000 units	2015/05/28	238,000,000	2014/10/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61189	Credit Suisse AG	HSI R Bear CBBC Apr 2015 E	0.250	23,289 per 10,000 units	23,089 per 10,000 units	2015/04/29	238,000,000	2014/10/17
61130	Credit Suisse AG	HSI R Bear CBBC Apr 2015 X	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/04/29	238,000,000	2014/10/17
61144	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Y	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/04/29	238,000,000	2014/10/17
61161	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Z	0.250	23,438 per 10,000 units	23,238 per 10,000 units	2015/04/29	238,000,000	2014/10/17
61174	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 L	0.252	22,838 per 20,000 units	23,138 per 20,000 units	2015/01/29	150,000,000	2014/10/17
61173	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2015 C	0.253	23,788 per 20,000 units	23,488 per 20,000 units	2015/02/26	150,000,000	2014/10/17
61167	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 A	0.252	23,588 per 20,000 units	23,288 per 20,000 units	2015/03/30	150,000,000	2014/10/17
61052	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 H	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/07/30	300,000,000	2014/10/17
61119	J P Morgan SP BV	HSI R Bear CBBC Jan 2015 N	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/01/29	300,000,000	2014/10/17
61127	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/02/26	300,000,000	2014/10/17
61203	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 J	0.250	23,289 per 10,000 units	23,089 per 10,000 units	2015/03/30	300,000,000	2014/10/17
61064	Standard Chartered Bank	AIA R Bull CBBC May 2015 A	0.250	38 per 100 units	39 per 100 units	2015/05/05	50,000,000	2014/10/17
61055	Standard Chartered Bank	AIA R Bear CBBC May 2015 A	0.250	50 per 100 units	49 per 100 units	2015/05/05	50,000,000	2014/10/17
61180	Standard Chartered Bank	HKEx R Bull CBBC Aug 2015 A	0.350	139.88 per 100 units	144.88 per 100 units	2015/08/18	80,000,000	2014/10/17
61053	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 J	0.250	22,980 per 20,000 units	23,180 per 20,000 units	2015/10/29	100,000,000	2014/10/17
61181	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 F	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2015/02/26	100,000,000	2014/10/17
61182	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 H	0.250	23,580 per 20,000 units	23,380 per 20,000 units	2015/02/26	100,000,000	2014/10/17
61111	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 Q	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2015/05/28	200,000,000	2014/10/17
61113	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 U	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2015/02/26	200,000,000	2014/10/17
61116	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 V	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2015/02/26	200,000,000	2014/10/17
61118	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 W	0.250	23,568 per 10,000 units	23,468 per 10,000 units	2015/02/26	200,000,000	2014/10/17
61043	UBS AG	HSI R Bull CBBC Apr 2015 T	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/04/29	300,000,000	2014/10/17
61050	UBS AG	HSI R Bull CBBC Jun 2015 O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	300,000,000	2014/10/17
61202	UBS AG	HSI R Bear CBBC Jan 2015 U	0.250	23,289 per 10,000 units	23,089 per 10,000 units	2015/01/29	300,000,000	2014/10/17
61193	UBS AG	HSI R Bear CBBC Feb 2015 E	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/02/26	300,000,000	2014/10/17
61201	UBS AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	300,000,000	2014/10/17
61246	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 S	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/06/29	200,000,000	2014/10/20
61248	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Y	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/06/29	200,000,000	2014/10/20
61290	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 S	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/03/30	200,000,000	2014/10/20
61262	Credit Suisse AG	HSI R Bull CBBC Jun 2015 V	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2015/06/29	238,000,000	2014/10/20
61264	Credit Suisse AG	HSI R Bull CBBC Jun 2015 W	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/06/29	238,000,000	2014/10/20
61312	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Y	0.250	22,943 per 12,000 units	23,143 per 12,000 units	2015/06/29	238,000,000	2014/10/20
61270	Credit Suisse AG	HSI R Bull CBBC Jul 2015 I	0.250	20,677 per 20,000 units	20,977 per 20,000 units	2015/07/30	238,000,000	2014/10/20
61272	Credit Suisse AG	HSI R Bull CBBC Jul 2015 J	0.250	20,255 per 20,000 units	20,555 per 20,000 units	2015/07/30	238,000,000	2014/10/20
61274	Credit Suisse AG	HSI R Bull CBBC Jul 2015 K	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2015/07/30	238,000,000	2014/10/20
61275	Credit Suisse AG	HSI R Bull CBBC Jul 2015 L	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2015/07/30	238,000,000	2014/10/20
61295	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	23,141 per 10,000 units	22,941 per 10,000 units	2015/02/26	238,000,000	2014/10/20
61304	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/02/26	238,000,000	2014/10/20
61299	Credit Suisse AG	HSI R Bear CBBC Mar 2015 O	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/03/30	238,000,000	2014/10/20
61306	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	23,533 per 10,000 units	23,333 per 10,000 units	2015/03/30	238,000,000	2014/10/20
61311	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Q	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	238,000,000	2014/10/20
61266	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr15E	0.250	9.6	9.3	2015/04/20	100,000,000	2014/10/20
61268	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 15 E	0.250	9.6	9.3	2015/04/20	100,000,000	2014/10/20

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61216	HK Bank	CNOOC R Bear CBBC Aug 2015 A	0.250	14.98	14.18	2015/08/03	40,000,000	2014/10/20
61213	HK Bank	HSI R Bear CBBC May 2015 R	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2015/05/28	100,000,000	2014/10/20
61215	HK Bank	HSI R Bear CBBC May 2015 S	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2015/05/28	100,000,000	2014/10/20
61229	HK Bank	PetCh R Bull CBBC Oct 2015 A	0.250	7.68	8.08	2015/10/28	60,000,000	2014/10/20
61228	HK Bank	PetCh R Bear CBBC Jul 2015 C	0.250	11.08	10.68	2015/07/31	40,000,000	2014/10/20
61221	HK Bank	Ping An R Bull CBBC Aug 2015 A	0.250	45.18 per 100 units	49.18 per 100 units	2015/08/17	60,000,000	2014/10/20
61222	HK Bank	TCH R Bear CBBC Jul 2015 J	0.250	123.88 per 100 units	121.88 per 100 units	2015/07/16	100,000,000	2014/10/20
61232	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 S	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2015/06/29	300,000,000	2014/10/20
61234	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 I	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/07/30	300,000,000	2014/10/20
61281	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Z	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/02/26	300,000,000	2014/10/20
61316	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 K	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2015/03/30	300,000,000	2014/10/20
61288	Standard Chartered Bank	HSBC R Bull CBBC Oct 2015 A	0.250	69.88 per 100 units	72.88 per 100 units	2015/10/22	50,000,000	2014/10/20
61240	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 K	0.250	18,688 per 20,000 units	18,888 per 20,000 units	2015/10/29	200,000,000	2014/10/20
61241	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 L	0.250	22,688 per 20,000 units	22,888 per 20,000 units	2015/10/29	100,000,000	2014/10/20
61245	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 M	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/10/29	100,000,000	2014/10/20
61285	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 J	0.250	23,280 per 12,000 units	23,080 per 12,000 units	2015/02/26	100,000,000	2014/10/20
61254	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 N	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2015/04/29	200,000,000	2014/10/20
61259	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 W	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2015/01/29	200,000,000	2014/10/20
61260	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 X	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2015/01/29	200,000,000	2014/10/20
61279	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 X	0.250	23,118 per 10,000 units	23,018 per 10,000 units	2015/02/26	200,000,000	2014/10/20
61315	UBS AG	HSI R Bull CBBC Mar 2015 P	0.250	22,943 per 12,000 units	23,143 per 12,000 units	2015/03/30	350,000,000	2014/10/20
61236	UBS AG	HSI R Bull CBBC Jun 2015 P	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/06/29	300,000,000	2014/10/20
61310	UBS AG	HSI R Bear CBBC Feb 2015 R	0.250	23,141 per 10,000 units	22,941 per 10,000 units	2015/02/26	300,000,000	2014/10/20
61307	UBS AG	HSI R Bear CBBC Mar 2015 O	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/03/30	300,000,000	2014/10/20
61352	Credit Suisse AG	HSI R Bull CBBC Jul 2015 M	0.250	23,094 per 12,000 units	23,294 per 12,000 units	2015/07/30	238,000,000	2014/10/21
61353	Credit Suisse AG	HSI R Bull CBBC Jul 2015 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/07/30	238,000,000	2014/10/21
61357	Credit Suisse AG	HSI R Bull CBBC Jul 2015 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/07/30	238,000,000	2014/10/21
61358	Credit Suisse AG	HSI R Bull CBBC Jul 2015 P	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/07/30	238,000,000	2014/10/21
61381	Credit Suisse AG	HSI R Bull CBBC Jul 2015 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/07/30	238,000,000	2014/10/21
61341	Credit Suisse AG	HSI R Bear CBBC Mar 2015 S	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	238,000,000	2014/10/21
61377	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	23,248 per 12,000 units	23,048 per 12,000 units	2015/03/30	238,000,000	2014/10/21
61380	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	238,000,000	2014/10/21
61383	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 M	0.286	22,388 per 20,000 units	22,688 per 20,000 units	2015/01/29	150,000,000	2014/10/21
61387	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2015 A	0.254	23,388 per 20,000 units	23,088 per 20,000 units	2015/05/28	150,000,000	2014/10/21
61348	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 T	0.250	23,094 per 10,000 units	23,294 per 10,000 units	2015/06/29	300,000,000	2014/10/21
61350	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 J	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/07/30	300,000,000	2014/10/21
61376	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 L	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	300,000,000	2014/10/21
61317	Standard Chartered Bank	China Mobile R Bull CBBC May 2015A	0.250	86.38 per 100 units	88.88 per 100 units	2015/05/22	50,000,000	2014/10/21
61322	Standard Chartered Bank	China Mobile R Bear CBBC Mar 2015E	0.250	102.38 per 100 units	99.88 per 100 units	2015/03/20	50,000,000	2014/10/21
61351	Standard Chartered Bank	HSI R Bull CBBC Apr 2015 U	0.250	22,880 per 15,000 units	23,080 per 15,000 units	2015/04/29	100,000,000	2014/10/21
61346	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr15 D	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2015/04/29	200,000,000	2014/10/21
61343	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 R	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2015/05/28	200,000,000	2014/10/21
61366	UBS AG	HSI R Bull CBBC Mar 2015 S	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/03/30	300,000,000	2014/10/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61368	UBS AG	HSI R Bull CBBC Apr 2015 U	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/04/29	300,000,000	2014/10/21
61375	UBS AG	HSI R Bull CBBC May 2015 U	0.250	23,094 per 10,000 units	23,294 per 10,000 units	2015/05/28	300,000,000	2014/10/21
61323	UBS AG	HSI R Bear CBBC Jan 2015 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/01/29	300,000,000	2014/10/21
61361	UBS AG	HSI R Bear CBBC Feb 2015 T	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/02/26	300,000,000	2014/10/21
61365	UBS AG	HSI R Bear CBBC Feb 2015 W	0.250	23,248 per 12,000 units	23,048 per 12,000 units	2015/02/26	350,000,000	2014/10/21
61423	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 C	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	200,000,000	2014/10/22
61421	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	22,850 per 12,000 units	23,050 per 12,000 units	2015/05/28	238,000,000	2014/10/22
61422	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	23,001 per 12,000 units	23,201 per 12,000 units	2015/05/28	238,000,000	2014/10/22
61406	Credit Suisse AG	HSI R Bear CBBC Mar 2015 V	0.250	23,249 per 10,000 units	23,049 per 10,000 units	2015/03/30	238,000,000	2014/10/22
61407	Credit Suisse AG	HSI R Bear CBBC Mar 2015 W	0.250	23,366 per 12,000 units	23,166 per 12,000 units	2015/03/30	238,000,000	2014/10/22
61425	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Y	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	238,000,000	2014/10/22
61403	HK Bank	ABC R Bear CBBC May 2015 C	0.250	3.93	3.68	2015/05/18	40,000,000	2014/10/22
61404	HK Bank	CNOOC R Bull CBBC Jul 2015 D	0.250	10.48	11.28	2015/07/13	60,000,000	2014/10/22
61405	HK Bank	CNOOC R Bull CBBC Oct 2015 D	0.300	9.68	10.48	2015/10/19	60,000,000	2014/10/22
61398	HK Bank	CSOP A50 ETF R Bear CBBC Jul 2015 A	0.250	9.78	9.48	2015/07/27	40,000,000	2014/10/22
61395	HK Bank	HSI R Bear CBBC May 2015 T	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2015/05/28	100,000,000	2014/10/22
61397	HK Bank	HSI R Bear CBBC May 2015 U	0.250	24,128 per 20,000 units	23,828 per 20,000 units	2015/05/28	400,000,000	2014/10/22
61401	HK Bank	Kingsoft R Bear CBBC Aug 2015 A	0.510	22.88	20.88	2015/08/31	40,000,000	2014/10/22
61402	HK Bank	Ping An R Bear CBBC Jul 2015 B	0.250	66.68 per 100 units	62.68 per 100 units	2015/07/27	40,000,000	2014/10/22
61400	HK Bank	TCH R Bear CBBC Jul 2015 K	0.250	121.88 per 100 units	119.88 per 100 units	2015/07/08	100,000,000	2014/10/22
61419	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 E	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2015/06/29	300,000,000	2014/10/22
61415	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/07/30	300,000,000	2014/10/22
61410	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 P	0.250	21,300 per 20,000 units	21,400 per 20,000 units	2015/06/29	400,000,000	2014/10/22
61411	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 Q	0.250	22,700 per 20,000 units	22,800 per 20,000 units	2015/06/29	400,000,000	2014/10/22
61412	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 D	0.250	24,100 per 20,000 units	24,000 per 20,000 units	2015/04/29	400,000,000	2014/10/22
61413	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 E	0.250	24,500 per 20,000 units	24,400 per 20,000 units	2015/04/29	400,000,000	2014/10/22
61436	UBS AG	HSI R Bull CBBC Mar 2015 T	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/03/30	300,000,000	2014/10/22
61434	UBS AG	HSI R Bull CBBC May 2015 V	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/05/28	300,000,000	2014/10/22
61431	UBS AG	HSI R Bull CBBC Jun 2015 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/06/29	300,000,000	2014/10/22
61409	UBS AG	HSI R Bear CBBC Feb 2015 X	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/02/26	300,000,000	2014/10/22
61466	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	22,940 per 10,000 units	23,140 per 10,000 units	2015/05/28	238,000,000	2014/10/23
61467	Credit Suisse AG	HSI R Bull CBBC May 2015 M	0.250	22,777 per 10,000 units	22,977 per 10,000 units	2015/05/28	238,000,000	2014/10/23
61476	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/02/26	238,000,000	2014/10/23
61477	Credit Suisse AG	HSI R Bear CBBC Feb 2015 V	0.250	23,101 per 10,000 units	22,901 per 10,000 units	2015/02/26	238,000,000	2014/10/23
61486	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2015 N	0.252	22,188 per 10,000 units	22,488 per 10,000 units	2015/01/29	150,000,000	2014/10/23
61437	HK Bank	HSI R Bull CBBC Jun 2015 M	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2015/06/29	100,000,000	2014/10/23
61458	HK Bank	HSI R Bear CBBC Apr 2015 S	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2015/04/29	100,000,000	2014/10/23
61438	HK Bank	ICBC R Bull CBBC Aug 2015 C	0.250	4.48	4.68	2015/08/17	80,000,000	2014/10/23
61442	HK Bank	TCH R Bull CBBC Jun 2015 J	0.250	107.88 per 100 units	109.88 per 100 units	2015/06/29	100,000,000	2014/10/23
61448	HK Bank	TCH R Bear CBBC Aug 2015 A	0.250	138.88 per 100 units	136.88 per 100 units	2015/08/31	100,000,000	2014/10/23
61459	J P Morgan SP BV	HSI R Bull CBBC May 2015 M	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2015/05/28	300,000,000	2014/10/23
61481	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 M	0.250	23,101 per 10,000 units	22,901 per 10,000 units	2015/03/30	300,000,000	2014/10/23
61483	Standard Chartered Bank	HSI R Bull CBBC Aug 2015 P	0.250	22,130 per 15,000 units	22,330 per 15,000 units	2015/08/28	100,000,000	2014/10/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61485	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 C	0.250	23,180 per 15,000 units	22,980 per 15,000 units	2015/02/26	100,000,000	2014/10/23
61468	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 15R	0.250	20,900 per 20,000 units	21,000 per 20,000 units	2015/06/29	400,000,000	2014/10/23
61472	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 15Y	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2015/01/29	200,000,000	2014/10/23
61463	UBS AG	HSI R Bull CBBC Jun 2015 R	0.250	22,940 per 10,000 units	23,140 per 10,000 units	2015/06/29	300,000,000	2014/10/23
61480	UBS AG	HSI R Bear CBBC Feb 2015 Y	0.250	23,101 per 10,000 units	22,901 per 10,000 units	2015/02/26	300,000,000	2014/10/23
61478	UBS AG	HSI R Bear CBBC Mar 2015 P	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	300,000,000	2014/10/23
61487	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 T	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	200,000,000	2014/10/24
61497	Credit Suisse AG	HSI R Bull CBBC Apr 2015 K	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2015/04/29	238,000,000	2014/10/24
61496	Credit Suisse AG	HSI R Bull CBBC Apr 2015 N	0.250	22,823 per 10,000 units	23,023 per 10,000 units	2015/04/29	238,000,000	2014/10/24
61494	Credit Suisse AG	HSI R Bear CBBC May 2015 C	0.250	23,122 per 12,000 units	22,922 per 12,000 units	2015/05/28	238,000,000	2014/10/24
61495	Credit Suisse AG	HSI R Bear CBBC May 2015 D	0.250	23,422 per 12,000 units	23,222 per 12,000 units	2015/05/28	238,000,000	2014/10/24
61489	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan15 Z	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2015/01/29	200,000,000	2014/10/24
61500	UBS AG	CNOOC R Bull CBBC Jul 2015 A	0.250	10.7	11.5	2015/07/20	50,000,000	2014/10/24
61498	UBS AG	HSI R Bull CBBC Apr 2015 V	0.250	22,823 per 10,000 units	23,023 per 10,000 units	2015/04/29	300,000,000	2014/10/24
61490	UBS AG	HSI R Bear CBBC Jan 2015 U	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/01/29	300,000,000	2014/10/24
61539	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 A	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	200,000,000	2014/10/27
61526	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 U	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	200,000,000	2014/10/27
61540	Credit Suisse AG	HSI R Bull CBBC Apr 2015 H	0.250	22,916 per 12,000 units	23,116 per 12,000 units	2015/04/29	238,000,000	2014/10/27
61541	Credit Suisse AG	HSI R Bull CBBC Apr 2015 O	0.250	22,722 per 10,000 units	22,922 per 10,000 units	2015/04/29	238,000,000	2014/10/27
61532	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/02/26	238,000,000	2014/10/27
61533	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/02/26	238,000,000	2014/10/27
61531	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Z	0.250	23,224 per 10,000 units	23,024 per 10,000 units	2015/03/30	238,000,000	2014/10/27
61549	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2015 A	0.281	22,738 per 20,000 units	23,038 per 20,000 units	2015/02/26	150,000,000	2014/10/27
61550	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2015 A	0.259	23,588 per 20,000 units	23,288 per 20,000 units	2015/04/29	150,000,000	2014/10/27
61515	HK Bank	Sinopec Corp R Bull CBBC Oct 2015 B	0.250	5.13	5.43	2015/10/26	80,000,000	2014/10/27
61519	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 E	0.250	43.27 per 100 units	45.27 per 100 units	2015/07/06	80,000,000	2014/10/27
61502	HK Bank	HSI R Bull CBBC Jul 2015 H	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2015/07/30	100,000,000	2014/10/27
61525	HK Bank	Sands China R Bull CBBC Aug 2015 A	0.250	39.18 per 100 units	41.18 per 100 units	2015/08/10	80,000,000	2014/10/27
61512	HK Bank	TCH R Bear CBBC Jul 2015 L	0.250	119.88 per 100 units	117.88 per 100 units	2015/07/27	100,000,000	2014/10/27
61547	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/02/26	300,000,000	2014/10/27
61535	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 N	0.250	23,224 per 10,000 units	23,024 per 10,000 units	2015/03/30	300,000,000	2014/10/27
61536	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May15 S	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2015/05/28	200,000,000	2014/10/27
61527	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 Y	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2015/02/26	200,000,000	2014/10/27
61545	UBS AG	HSI R Bull CBBC Mar 2015 U	0.250	22,916 per 12,000 units	23,116 per 12,000 units	2015/03/30	350,000,000	2014/10/27
61528	UBS AG	HSI R Bear CBBC Jan 2015 T	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/01/29	300,000,000	2014/10/27
61530	UBS AG	HSI R Bear CBBC Mar 2015 Q	0.250	23,224 per 12,000 units	23,024 per 12,000 units	2015/03/30	350,000,000	2014/10/27
61616	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/06/29	200,000,000	2014/10/28
61617	Credit Suisse AG	HSI R Bull CBBC Mar 2015 C	0.250	22,755 per 10,000 units	22,955 per 10,000 units	2015/03/30	238,000,000	2014/10/28
61624	Credit Suisse AG	HSI R Bull CBBC Mar 2015 D	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2015/03/30	238,000,000	2014/10/28
61600	Credit Suisse AG	HSI R Bull CBBC May 2015 N	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/05/28	238,000,000	2014/10/28
61608	Credit Suisse AG	HSI R Bull CBBC May 2015 O	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2015/05/28	238,000,000	2014/10/28
61610	Credit Suisse AG	HSI R Bull CBBC May 2015 P	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2015/05/28	238,000,000	2014/10/28
61607	Credit Suisse AG	HSI R Bull CBBC Jun 2015 A	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2015/06/29	238,000,000	2014/10/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61609	Credit Suisse AG	HSI R Bull CBBC Jun 2015 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2015/06/29	238,000,000	2014/10/28
61612	Credit Suisse AG	HSI R Bull CBBC Jun 2015 C	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2015/06/29	238,000,000	2014/10/28
61613	Credit Suisse AG	HSI R Bull CBBC Jun 2015 D	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2015/06/29	238,000,000	2014/10/28
61603	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Z	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2015/06/29	238,000,000	2014/10/28
61620	Credit Suisse AG	HSI R Bear CBBC Mar 2015 O	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	238,000,000	2014/10/28
61618	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,213 per 12,000 units	23,013 per 12,000 units	2015/03/30	238,000,000	2014/10/28
61619	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	238,000,000	2014/10/28
61566	HK Bank	CUni R Bull CBBC Oct 2015 A	0.250	9.68	10.68	2015/10/26	50,000,000	2014/10/28
61577	HK Bank	Sinopec Corp R Bear CBBC Jul 2015 A	0.250	7.48	7.18	2015/07/27	40,000,000	2014/10/28
61551	HK Bank	HSI R Bull CBBC Jun 2015 N	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2015/06/29	100,000,000	2014/10/28
61558	HK Bank	HSI R Bull CBBC Jul 2015 I	0.250	21,578 per 20,000 units	21,828 per 20,000 units	2015/07/30	400,000,000	2014/10/28
61552	HK Bank	HSI R Bear CBBC Apr 2015 T	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2015/04/29	100,000,000	2014/10/28
61564	HK Bank	HSI R Bear CBBC May 2015 V	0.250	24,078 per 20,000 units	23,828 per 20,000 units	2015/05/28	400,000,000	2014/10/28
61573	HK Bank	Lenovo R Bear CBBC Jun 2015 E	0.250	12.88	12.38	2015/06/10	40,000,000	2014/10/28
61575	HK Bank	PetCh R Bull CBBC Nov 2015 A	0.260	6.88	7.28	2015/11/30	60,000,000	2014/10/28
61594	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 Q	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2015/06/29	300,000,000	2014/10/28
61599	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 U	0.250	22,022 per 10,000 units	22,222 per 10,000 units	2015/06/29	300,000,000	2014/10/28
61591	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/07/30	300,000,000	2014/10/28
61582	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Z	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/02/26	300,000,000	2014/10/28
61615	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 V	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/06/29	100,000,000	2014/10/28
61583	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 O	0.250	23,480 per 15,000 units	23,280 per 15,000 units	2015/02/26	100,000,000	2014/10/28
61584	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Q	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2015/02/26	100,000,000	2014/10/28
61614	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 15Z	0.250	23,118 per 10,000 units	23,018 per 10,000 units	2015/02/26	200,000,000	2014/10/28
61578	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 15F	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2015/03/30	200,000,000	2014/10/28
61625	UBS AG	HSI R Bull CBBC Apr 2015 W	0.250	22,888 per 12,000 units	23,088 per 12,000 units	2015/04/29	350,000,000	2014/10/28
61622	UBS AG	HSI R Bear CBBC Feb 2015 S	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/02/26	300,000,000	2014/10/28
61690	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 I	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	200,000,000	2014/10/29
61665	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 J	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	200,000,000	2014/10/29
61667	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Z	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	200,000,000	2014/10/29
61631	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/03/30	200,000,000	2014/10/29
61670	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 W	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	200,000,000	2014/10/29
61680	Credit Suisse AG	HSI R Bull CBBC Jun 2015 F	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	238,000,000	2014/10/29
61679	Credit Suisse AG	HSI R Bull CBBC Jun 2015 G	0.250	22,911 per 10,000 units	23,111 per 10,000 units	2015/06/29	238,000,000	2014/10/29
61681	Credit Suisse AG	HSI R Bull CBBC Jun 2015 H	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	238,000,000	2014/10/29
61682	Credit Suisse AG	HSI R Bull CBBC Jun 2015 I	0.250	23,203 per 12,000 units	23,403 per 12,000 units	2015/06/29	238,000,000	2014/10/29
61640	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	23,622 per 10,000 units	23,422 per 10,000 units	2015/03/30	238,000,000	2014/10/29
61637	Credit Suisse AG	HSI R Bear CBBC Mar 2015 S	0.250	23,289 per 12,000 units	23,089 per 12,000 units	2015/03/30	238,000,000	2014/10/29
61638	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	23,377 per 10,000 units	23,177 per 10,000 units	2015/03/30	238,000,000	2014/10/29
61639	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/03/30	238,000,000	2014/10/29
61676	Credit Suisse AG	HSI R Bear CBBC Mar 2015 V	0.250	23,755 per 10,000 units	23,555 per 10,000 units	2015/03/30	238,000,000	2014/10/29
61691	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2015 B	0.324	22,938 per 20,000 units	23,238 per 20,000 units	2015/02/26	150,000,000	2014/10/29
61683	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 M	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/07/30	300,000,000	2014/10/29
61685	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 N	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/07/30	300,000,000	2014/10/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61672	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 O	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/07/30	300,000,000	2014/10/29
61687	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 P	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/07/30	300,000,000	2014/10/29
61628	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/02/26	300,000,000	2014/10/29
61642	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 W	0.250	22,880 per 20,000 units	23,080 per 20,000 units	2015/06/29	100,000,000	2014/10/29
61673	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 X	0.250	23,180 per 15,000 units	23,380 per 15,000 units	2015/06/29	100,000,000	2014/10/29
61643	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 F	0.250	23,588 per 20,000 units	23,388 per 20,000 units	2015/02/26	100,000,000	2014/10/29
61649	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Apr15D	0.250	8.45	8.65	2015/04/29	100,000,000	2014/10/29
61650	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Apr15 A	0.250	8.4	8.6	2015/04/29	100,000,000	2014/10/29
61652	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 S	0.250	22,918 per 10,000 units	23,018 per 10,000 units	2015/06/29	200,000,000	2014/10/29
61655	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 T	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2015/06/29	200,000,000	2014/10/29
61658	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 U	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2015/06/29	200,000,000	2014/10/29
61662	UBS AG	HSI R Bull CBBC May 2015 W	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/05/28	300,000,000	2014/10/29
61661	UBS AG	HSI R Bull CBBC Jun 2015 T	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/06/29	300,000,000	2014/10/29
61675	UBS AG	HSI R Bull CBBC Jun 2015 V	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	300,000,000	2014/10/29
61633	UBS AG	HSI R Bear CBBC Jan 2015 Y	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/01/29	300,000,000	2014/10/29
61632	UBS AG	HSI R Bear CBBC Feb 2015 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/02/26	300,000,000	2014/10/29
61648	UBS AG	HSI R Bear CBBC Feb 2015 Z	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/02/26	300,000,000	2014/10/29
61647	UBS AG	HSI R Bear CBBC May 2015 C	0.250	25,200 per 38,000 units	24,800 per 38,000 units	2015/05/28	300,000,000	2014/10/29
61646	UBS AG	HSI R Bear CBBC Jun 2015 A	0.250	26,200 per 38,000 units	25,800 per 38,000 units	2015/06/29	300,000,000	2014/10/29
61731	Credit Suisse AG	HSI R Bull CBBC Apr 2015 P	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/04/29	238,000,000	2014/10/30
61733	Credit Suisse AG	HSI R Bull CBBC Apr 2015 Q	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/04/29	238,000,000	2014/10/30
61735	Credit Suisse AG	HSI R Bull CBBC Apr 2015 R	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/04/29	238,000,000	2014/10/30
61743	Credit Suisse AG	HSI R Bear CBBC Apr 2015 F	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/04/29	238,000,000	2014/10/30
61745	Credit Suisse AG	HSI R Bear CBBC Apr 2015 O	0.250	23,521 per 12,000 units	23,321 per 12,000 units	2015/04/29	238,000,000	2014/10/30
61744	Credit Suisse AG	HSI R Bear CBBC Apr 2015 R	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/04/29	238,000,000	2014/10/30
61702	HK Bank	AIA R Bull CBBC Jun 2015 D	0.250	39 per 100 units	40 per 100 units	2015/06/29	60,000,000	2014/10/30
61698	HK Bank	HSCEI R Bull CBBC Jul 2015 C	0.250	10,088 per 10,000 units	10,288 per 10,000 units	2015/07/30	100,000,000	2014/10/30
61697	HK Bank	HSI R Bull CBBC Jun 2015 O	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2015/06/29	100,000,000	2014/10/30
61695	HK Bank	HSI R Bull CBBC Jul 2015 J	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2015/07/30	100,000,000	2014/10/30
61701	HK Bank	Lenovo R Bull CBBC Aug 2015 D	0.250	10.08	10.58	2015/08/17	40,000,000	2014/10/30
61699	HK Bank	TCH R Bull CBBC Jul 2015 I	0.250	111.88 per 100 units	113.88 per 100 units	2015/07/13	100,000,000	2014/10/30
61700	HK Bank	TCH R Bull CBBC Jul 2015 J	0.250	113.88 per 100 units	115.88 per 100 units	2015/07/06	100,000,000	2014/10/30
61737	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 G	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2015/06/29	300,000,000	2014/10/30
61736	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 Q	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/07/30	300,000,000	2014/10/30
61738	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 A	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	300,000,000	2014/10/30
61703	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2015 C	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/03/30	50,000,000	2014/10/30
61730	Standard Chartered Bank	HKEx R Bull CBBC Mar 2015 A	0.250	166 per 100 units	171 per 100 units	2015/03/03	80,000,000	2014/10/30
61729	Standard Chartered Bank	HKEx R Bull CBBC May 2015 A	0.250	161.88 per 100 units	166.88 per 100 units	2015/05/28	80,000,000	2014/10/30
61724	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 Y	0.250	22,980 per 15,000 units	23,180 per 15,000 units	2015/06/29	100,000,000	2014/10/30
61725	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 Z	0.250	23,080 per 20,000 units	23,280 per 20,000 units	2015/06/29	100,000,000	2014/10/30
61727	Standard Chartered Bank	HSI R Bull CBBC Nov 2015 D	0.250	21,780 per 12,000 units	21,980 per 12,000 units	2015/11/27	100,000,000	2014/10/30
61739	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 M	0.250	23,068 per 10,000 units	23,168 per 10,000 units	2015/07/30	200,000,000	2014/10/30
61740	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 N	0.250	23,218 per 10,000 units	23,318 per 10,000 units	2015/07/30	200,000,000	2014/10/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61741	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 G	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2015/03/30	200,000,000	2014/10/30
61723	UBS AG	HSI R Bull CBBC Apr 2015 A	0.250	23,203 per 12,000 units	23,403 per 12,000 units	2015/04/29	350,000,000	2014/10/30
61706	UBS AG	HSI R Bull CBBC Apr 2015 X	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2015/04/29	300,000,000	2014/10/30
61720	UBS AG	HSI R Bull CBBC Apr 2015 Y	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2015/04/29	300,000,000	2014/10/30
61721	UBS AG	HSI R Bull CBBC Apr 2015 Z	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/04/29	300,000,000	2014/10/30
61722	UBS AG	HSI R Bull CBBC May 2015 X	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/05/28	300,000,000	2014/10/30
61704	UBS AG	HSI R Bull CBBC Jun 2015 W	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2015/06/29	300,000,000	2014/10/30
61719	UBS AG	HSI R Bull CBBC Jun 2015 X	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2015/06/29	300,000,000	2014/10/30
61705	UBS AG	HSI R Bull CBBC Jul 2015 J	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2015/07/30	300,000,000	2014/10/30
61755	UBS AG	HSI R Bear CBBC Feb 2015 R	0.250	23,521 per 12,000 units	23,321 per 12,000 units	2015/02/26	350,000,000	2014/10/30
61748	UBS AG	HSI R Bear CBBC Mar 2015 R	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2015/03/30	300,000,000	2014/10/30
61749	UBS AG	HSI R Bear CBBC Mar 2015 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/10/30
61747	UBS AG	HSI R Bear CBBC Apr 2015 B	0.265	25,950 per 10,000 units	25,750 per 10,000 units	2015/04/29	300,000,000	2014/10/30
61758	Credit Suisse AG	HSI R Bull CBBC May 2015 T	0.250	23,133 per 12,000 units	23,333 per 12,000 units	2015/05/28	238,000,000	2014/10/31
61759	Credit Suisse AG	HSI R Bull CBBC May 2015 U	0.250	23,022 per 10,000 units	23,222 per 10,000 units	2015/05/28	238,000,000	2014/10/31
61769	Credit Suisse AG	HSI R Bear CBBC May 2015 E	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/05/28	238,000,000	2014/10/31
61770	Credit Suisse AG	HSI R Bear CBBC May 2015 F	0.250	23,501 per 12,000 units	23,301 per 12,000 units	2015/05/28	238,000,000	2014/10/31
61763	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 R	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2015/07/30	300,000,000	2014/10/31
61762	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 E	0.250	22,988 per 10,000 units	23,188 per 10,000 units	2015/08/28	300,000,000	2014/10/31
61757	Standard Chartered Bank	China Life R Bear CBBC May 2015 A	0.250	24	23	2015/05/11	50,000,000	2014/10/31
61764	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 N	0.250	23,418 per 10,000 units	23,318 per 10,000 units	2015/02/26	200,000,000	2014/10/31
61761	UBS AG	HSI R Bull CBBC Apr 2015 B	0.250	23,133 per 10,000 units	23,333 per 10,000 units	2015/04/29	300,000,000	2014/10/31
61771	UBS AG	HSI R Bear CBBC Feb 2015 T	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/02/26	300,000,000	2014/10/31
61781	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	200,000,000	2014/11/03
61800	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	200,000,000	2014/11/03
61790	Credit Suisse AG	HSI R Bull CBBC May 2015 A	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/05/28	238,000,000	2014/11/03
61782	Credit Suisse AG	HSI R Bull CBBC May 2015 V	0.250	23,102 per 12,000 units	23,302 per 12,000 units	2015/05/28	238,000,000	2014/11/03
61783	Credit Suisse AG	HSI R Bull CBBC May 2015 W	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/05/28	238,000,000	2014/11/03
61803	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	23,306 per 12,000 units	23,106 per 12,000 units	2015/02/26	238,000,000	2014/11/03
61806	Credit Suisse AG	HSI R Bear CBBC Feb 2015 V	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/02/26	238,000,000	2014/11/03
61811	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2015 C	0.319	22,738 per 20,000 units	23,038 per 20,000 units	2015/02/26	150,000,000	2014/11/03
61773	HK Bank	HKEx R Bull CBBC Jun 2015 E	0.250	157.88 per 100 units	162.88 per 100 units	2015/06/29	60,000,000	2014/11/03
61774	HK Bank	HSI R Bull CBBC Aug 2015 G	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2015/08/28	100,000,000	2014/11/03
61775	HK Bank	HSI R Bear CBBC Apr 2015 U	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2015/04/29	100,000,000	2014/11/03
61776	HK Bank	Kingsoft R Bull CBBC Nov 2015 A	0.580	11.28	13.28	2015/11/30	80,000,000	2014/11/03
61772	HK Bank	Kingsoft R Bull CBBC Dec 2015 A	0.700	10.08	12.08	2015/12/21	80,000,000	2014/11/03
61779	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 S	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/07/30	300,000,000	2014/11/03
61780	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 F	0.250	23,088 per 10,000 units	23,288 per 10,000 units	2015/08/28	300,000,000	2014/11/03
61799	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 G	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2015/08/28	300,000,000	2014/11/03
61798	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 O	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	300,000,000	2014/11/03
61793	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 V	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2015/06/29	200,000,000	2014/11/03
61794	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 O	0.250	23,268 per 10,000 units	23,168 per 10,000 units	2015/02/26	200,000,000	2014/11/03
61795	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2015 A	0.250	7.95	8.25	2015/06/08	100,000,000	2014/11/03

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61778	UBS AG	HSI R Bull CBBC Apr 2015 D	0.250	23,102 per 12,000 units	23,302 per 12,000 units	2015/04/29	350,000,000	2014/11/03
61777	UBS AG	HSI R Bull CBBC May 2015 Y	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/05/28	300,000,000	2014/11/03
61801	UBS AG	HSI R Bear CBBC Feb 2015 W	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/02/26	300,000,000	2014/11/03
61802	UBS AG	HSI R Bear CBBC Mar 2015 T	0.250	23,306 per 10,000 units	23,106 per 10,000 units	2015/03/30	300,000,000	2014/11/03
61796	UBS AG	Kingsoft R Bull CBBC Jun 2015 A	0.500	12.88	14.88	2015/06/15	20,000,000	2014/11/03
61910	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 G	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	200,000,000	2014/11/04
61860	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 Y	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	200,000,000	2014/11/04
61881	Credit Suisse AG	HSI R Bull CBBC May 2015 B	0.250	22,977 per 10,000 units	23,177 per 10,000 units	2015/05/28	238,000,000	2014/11/04
61887	Credit Suisse AG	HSI R Bull CBBC May 2015 X	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/05/28	238,000,000	2014/11/04
61890	Credit Suisse AG	HSI R Bull CBBC May 2015 Y	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	238,000,000	2014/11/04
61892	Credit Suisse AG	HSI R Bull CBBC May 2015 Z	0.250	23,320 per 12,000 units	23,520 per 12,000 units	2015/05/28	238,000,000	2014/11/04
61899	Credit Suisse AG	HSI R Bull CBBC Jun 2015 J	0.250	22,722 per 20,000 units	23,022 per 20,000 units	2015/06/29	238,000,000	2014/11/04
61853	Credit Suisse AG	HSI R Bear CBBC Mar 2015 O	0.250	23,533 per 10,000 units	23,333 per 10,000 units	2015/03/30	238,000,000	2014/11/04
61856	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,677 per 10,000 units	23,477 per 10,000 units	2015/03/30	238,000,000	2014/11/04
61848	Credit Suisse AG	HSI R Bear CBBC Mar 2015 W	0.250	23,344 per 12,000 units	23,144 per 12,000 units	2015/03/30	238,000,000	2014/11/04
61857	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	23,788 per 10,000 units	23,588 per 10,000 units	2015/03/30	238,000,000	2014/11/04
61849	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Z	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	238,000,000	2014/11/04
61909	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2015 D	0.313	23,148 per 20,000 units	23,438 per 20,000 units	2015/02/26	150,000,000	2014/11/04
61839	HK Bank	Minsheng Bank R Bull CBBC Sep 2015 B	0.250	6.33 per units	6.83 per units	2015/09/21	40,000,000	2014/11/04
61815	HK Bank	CSOP A50 ETF R Bull CBBC Jun 2015 B	0.250	8.33 per units	8.53 per units	2015/06/29	200,000,000	2014/11/04
61817	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 B	0.250	8.13 per units	8.33 per units	2015/07/06	200,000,000	2014/11/04
61818	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 C	0.250	7.58 per units	7.78 per units	2015/07/13	200,000,000	2014/11/04
61822	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 D	0.250	7.33 per units	7.53 per units	2015/07/20	200,000,000	2014/11/04
61829	HK Bank	A50 R Bull CBBC Jun 2015 B	0.250	7.98 per units	8.18 per units	2015/06/29	200,000,000	2014/11/04
61814	HK Bank	A50 R Bull CBBC Jul 2015 A	0.250	7.58 per units	7.78 per units	2015/07/13	200,000,000	2014/11/04
61816	HK Bank	A50 R Bull CBBC Jul 2015 B	0.250	7.18 per units	7.38 per units	2015/07/20	200,000,000	2014/11/04
61831	HK Bank	A50 R Bull CBBC Jul 2015 C	0.250	7.78 per units	7.98 per units	2015/07/06	200,000,000	2014/11/04
61836	HK Bank	A50 R Bear CBBC Nov 2015 A	0.250	9.58 per units	9.38 per units	2015/11/09	50,000,000	2014/11/04
61838	HK Bank	HKEx R Bear CBBC Jul 2015 C	0.250	179.88 per units	174.88 per units	2015/07/06	50,000,000	2014/11/04
61813	HK Bank	HSI R Bull CBBC May 2015 C	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2015/05/28	100,000,000	2014/11/04
61812	HK Bank	HSI R Bear CBBC May 2015 W	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2015/05/28	100,000,000	2014/11/04
61837	HK Bank	Kingsoft R Bear CBBC Sep 2015 A	0.420	20.88 per units	18.88 per units	2015/09/29	40,000,000	2014/11/04
61902	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 H	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/11/04
61908	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 X	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/02/26	300,000,000	2014/11/04
61847	Standard Chartered Bank	HKEx R Bear CBBC Mar 2015 C	0.250	182.88 per units	177.88 per units	2015/03/05	80,000,000	2014/11/04
61846	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 J	0.250	23,380 per 20,000 units	23,180 per 20,000 units	2015/02/26	100,000,000	2014/11/04
61842	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 H	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2015/03/30	200,000,000	2014/11/04
61862	UBS AG	HSI R Bull CBBC Apr 2015 C	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/04/29	300,000,000	2014/11/04
61866	UBS AG	HSI R Bull CBBC Apr 2015 E	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/04/29	300,000,000	2014/11/04
61879	UBS AG	HSI R Bull CBBC May 2015 Z	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	300,000,000	2014/11/04
61880	UBS AG	HSI R Bull CBBC Jul 2015 K	0.250	23,320 per 10,000 units	23,520 per 10,000 units	2015/07/30	300,000,000	2014/11/04
61841	UBS AG	HSI R Bear CBBC Feb 2015 C	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/02/26	300,000,000	2014/11/04
61858	UBS AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/02/26	300,000,000	2014/11/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61859	UBS AG	Kingsoft R Bull CBBC Jun 2015 B	0.500	11.88 per units	13.88 per units	2015/06/29	200,000,000	2014/11/04
65950*	HK Bank	HSI R Bear CBBC Apr 2015 N	0.076	24,906 per 15,000 units	24,706 per 15,000 units	2015/04/29	180,000,000	2014/11/04
61999	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 H	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	200,000,000	2014/11/05
62000	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 M	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	200,000,000	2014/11/05
62002	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 N	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	200,000,000	2014/11/05
61932	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 E	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	200,000,000	2014/11/05
61933	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 F	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	200,000,000	2014/11/05
61929	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 Z	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	200,000,000	2014/11/05
62032	Credit Suisse AG	HSI R Bull CBBC Apr 2015 I	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/04/29	238,000,000	2014/11/05
62033	Credit Suisse AG	HSI R Bull CBBC Apr 2015 J	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/04/29	238,000,000	2014/11/05
62030	Credit Suisse AG	HSI R Bull CBBC Apr 2015 S	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	238,000,000	2014/11/05
62034	Credit Suisse AG	HSI R Bull CBBC Apr 2015 T	0.250	23,639 per 10,000 units	23,839 per 10,000 units	2015/04/29	238,000,000	2014/11/05
62029	Credit Suisse AG	HSI R Bull CBBC Jul 2015 R	0.250	23,132 per 20,000 units	23,432 per 20,000 units	2015/07/30	238,000,000	2014/11/05
61948	Credit Suisse AG	HSI R Bear CBBC Feb 2015 B	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	238,000,000	2014/11/05
61958	Credit Suisse AG	HSI R Bear CBBC Feb 2015 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	238,000,000	2014/11/05
62028	Credit Suisse AG	HSI R Bear CBBC Feb 2015 G	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2015/02/26	238,000,000	2014/11/05
61946	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	23,721 per 12,000 units	23,521 per 12,000 units	2015/02/26	238,000,000	2014/11/05
61947	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	238,000,000	2014/11/05
62035	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2015 E	0.302	23,538 per 20,000 units	23,838 per 20,000 units	2015/02/26	150,000,000	2014/11/05
62036	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2015 F	0.349	23,338 per 20,000 units	23,638 per 20,000 units	2015/02/26	150,000,000	2014/11/05
61991	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 T	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2015/07/30	300,000,000	2014/11/05
61985	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 I	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/08/28	300,000,000	2014/11/05
61990	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 J	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/08/28	300,000,000	2014/11/05
61961	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Z	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	300,000,000	2014/11/05
61962	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 P	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	300,000,000	2014/11/05
61963	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Q	0.250	23,721 per 10,000 units	23,521 per 10,000 units	2015/03/30	300,000,000	2014/11/05
61984	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 R	0.250	24,288 per 10,000 units	24,088 per 10,000 units	2015/03/30	300,000,000	2014/11/05
61992	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2015 D	0.255	22,900 per 10,000 units	23,100 per 10,000 units	2015/03/30	50,000,000	2014/11/05
61993	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2015 E	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/03/30	50,000,000	2014/11/05
61937	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 A	0.250	23,280 per 15,000 units	23,480 per 15,000 units	2015/06/29	100,000,000	2014/11/05
61938	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 S	0.250	23,880 per 15,000 units	23,680 per 15,000 units	2015/02/26	100,000,000	2014/11/05
61939	Standard Chartered Bank	Kingsoft R Bull CBBC Dec 2015 A	0.710	10	12	2015/12/02	40,000,000	2014/11/05
61940	Standard Chartered Bank	Kingsoft R Bull CBBC Dec 2015 B	0.510	12	14	2015/12/03	40,000,000	2014/11/05
61941	Standard Chartered Bank	Kingsoft R Bull CBBC Dec 2015 C	0.310	14	16	2015/12/04	40,000,000	2014/11/05
61942	Standard Chartered Bank	Kingsoft R Bear CBBC Nov 2015 A	0.420	21	19	2015/11/04	40,000,000	2014/11/05
61943	Standard Chartered Bank	Kingsoft R Bear CBBC Nov 2015 B	0.720	24	22	2015/11/05	40,000,000	2014/11/05
61944	Standard Chartered Bank	Kingsoft R Bear CBBC Nov 2015 C	1.020	27	25	2015/11/06	40,000,000	2014/11/05
61972	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 W	0.250	23,438 per 10,000 units	23,538 per 10,000 units	2015/06/29	200,000,000	2014/11/05
61974	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 X	0.250	23,588 per 10,000 units	23,688 per 10,000 units	2015/06/29	200,000,000	2014/11/05
61978	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 Y	0.250	23,738 per 10,000 units	23,838 per 10,000 units	2015/06/29	200,000,000	2014/11/05
61913	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 O	0.250	23,368 per 10,000 units	23,468 per 10,000 units	2015/07/30	200,000,000	2014/11/05
61914	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 Q	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2015/02/26	200,000,000	2014/11/05
61920	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 R	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2015/02/26	200,000,000	2014/11/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61979	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 S	0.250	24,238 per 10,000 units	24,138 per 10,000 units	2015/02/26	200,000,000	2014/11/05
61981	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 I	0.250	24,318 per 10,000 units	24,218 per 10,000 units	2015/03/30	200,000,000	2014/11/05
61982	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 F	0.250	25,900 per 20,000 units	25,800 per 20,000 units	2015/04/29	400,000,000	2014/11/05
61983	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 G	0.250	26,300 per 20,000 units	26,200 per 20,000 units	2015/04/29	400,000,000	2014/11/05
62003	UBS AG	HSI R Bull CBBC Apr 2015 F	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/11/05
62027	UBS AG	HSI R Bull CBBC Jun 2015 Y	0.250	23,639 per 10,000 units	23,839 per 10,000 units	2015/06/29	300,000,000	2014/11/05
62004	UBS AG	HSI R Bull CBBC Jul 2015 L	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/07/30	300,000,000	2014/11/05
61936	UBS AG	HSI R Bear CBBC Feb 2015 E	0.250	23,721 per 10,000 units	23,521 per 10,000 units	2015/02/26	300,000,000	2014/11/05
61934	UBS AG	HSI R Bear CBBC Feb 2015 X	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/11/05
61935	UBS AG	HSI R Bear CBBC Feb 2015 Y	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/02/26	300,000,000	2014/11/05
62022	UBS AG	HSI R Bear CBBC Mar 2015 U	0.255	26,350 per 10,000 units	26,150 per 10,000 units	2015/03/30	300,000,000	2014/11/05
62023	UBS AG	HSI R Bear CBBC Mar 2015 V	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2015/03/30	300,000,000	2014/11/05
62006	UBS AG	HSI R Bear CBBC Apr 2015 C	0.275	26,550 per 10,000 units	26,350 per 10,000 units	2015/04/29	300,000,000	2014/11/05
62110	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,619 per 12,000 units	23,819 per 12,000 units	2015/05/28	238,000,000	2014/11/06
62112	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/05/28	238,000,000	2014/11/06
62111	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/05/28	238,000,000	2014/11/06
62115	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/05/28	238,000,000	2014/11/06
62119	Credit Suisse AG	HSI R Bear CBBC Mar 2015 M	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/03/30	238,000,000	2014/11/06
62120	Credit Suisse AG	HSI R Bear CBBC Mar 2015 N	0.250	23,903 per 12,000 units	23,703 per 12,000 units	2015/03/30	238,000,000	2014/11/06
62118	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Y	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/03/30	238,000,000	2014/11/06
62134	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2015 B	0.281	24,038 per 20,000 units	23,738 per 20,000 units	2015/05/28	150,000,000	2014/11/06
62060	HK Bank	Anhui Conch R Bull CBBC Jun 2016 A	0.250	17.08 per 100 units	19.08 per 100 units	2016/06/27	50,000,000	2014/11/06
62038	HK Bank	China Mobile R Bull CBBC Jul 2015 K	0.250	84.68 per 100 units	87.18 per 100 units	2015/07/20	120,000,000	2014/11/06
62059	HK Bank	China Mobile R Bull CBBC Jul 2015 L	0.250	87.18 per 100 units	89.68 per 100 units	2015/07/13	120,000,000	2014/11/06
62066	HK Bank	China Life R Bull CBBC Jul 2015 C	0.250	20.68	21.68	2015/07/24	60,000,000	2014/11/06
62057	HK Bank	CSOP A50 ETF R Bull CBBC May 2015 E	0.250	8.6	8.8	2015/05/18	200,000,000	2014/11/06
62055	HK Bank	A50 R Bull CBBC May 2015 E	0.250	8.73	8.93	2015/05/18	200,000,000	2014/11/06
62039	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 F	0.250	45.27 per 100 units	47.27 per 100 units	2015/07/13	80,000,000	2014/11/06
62062	HK Bank	Great Wall Motor R Bull CBBC Jun 2016 A	0.250	27.58 per 100 units	30.08 per 100 units	2016/06/27	40,000,000	2014/11/06
62054	HK Bank	HSCEI R Bull CBBC Jun 2015 D	0.250	10,288 per 10,000 units	10,488 per 10,000 units	2015/06/29	100,000,000	2014/11/06
62041	HK Bank	HSI R Bull CBBC Jul 2015 K	0.250	23,588 per 15,000 units	23,788 per 15,000 units	2015/07/30	100,000,000	2014/11/06
62042	HK Bank	HSI R Bull CBBC Jul 2015 L	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2015/07/30	100,000,000	2014/11/06
62050	HK Bank	HSI R Bull CBBC Jul 2015 M	0.250	22,578 per 20,000 units	22,828 per 20,000 units	2015/07/30	400,000,000	2014/11/06
62063	HK Bank	Kingsoft R Bull CBBC Aug 2015 C	0.300	14.58	16.58	2015/08/31	50,000,000	2014/11/06
62065	HK Bank	Ping An R Bull CBBC Jul 2015 C	0.250	54.38 per 100 units	58.38 per 100 units	2015/07/24	60,000,000	2014/11/06
62064	HK Bank	Sands China R Bull CBBC Jul 2015 E	0.250	41.18 per 100 units	43.18 per 100 units	2015/07/24	80,000,000	2014/11/06
62069	HK Bank	Wharf Holdings R Bull CBBC Oct 2015 A	0.250	42 per 100 units	45 per 100 units	2015/10/26	40,000,000	2014/11/06
62072	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 W	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2015/07/30	300,000,000	2014/11/06
62071	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 K	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/08/28	300,000,000	2014/11/06
62117	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 T	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/11/06
62073	Standard Chartered Bank	HSI R Bull CBBC Jul 2015 A	0.250	23,580 per 15,000 units	23,780 per 15,000 units	2015/07/30	100,000,000	2014/11/06
62074	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 H	0.250	24,020 per 20,000 units	23,820 per 20,000 units	2015/02/26	100,000,000	2014/11/06
62091	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 P	0.250	22,300 per 20,000 units	22,400 per 20,000 units	2015/07/30	400,000,000	2014/11/06

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62096	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 Q	0.250	22,900 per 20,000 units	23,000 per 20,000 units	2015/07/30	400,000,000	2014/11/06
62099	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 R	0.250	23,300 per 20,000 units	23,400 per 20,000 units	2015/07/30	400,000,000	2014/11/06
62100	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 S	0.250	23,518 per 10,000 units	23,618 per 10,000 units	2015/07/30	200,000,000	2014/11/06
62101	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 T	0.250	23,668 per 10,000 units	23,768 per 10,000 units	2015/07/30	200,000,000	2014/11/06
62102	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 T	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2015/02/26	200,000,000	2014/11/06
62104	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 U	0.250	24,468 per 10,000 units	24,368 per 10,000 units	2015/02/26	200,000,000	2014/11/06
62105	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 J	0.250	24,538 per 10,000 units	24,438 per 10,000 units	2015/03/30	200,000,000	2014/11/06
62103	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 H	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2015/04/29	200,000,000	2014/11/06
62108	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 I	0.250	24,618 per 10,000 units	24,518 per 10,000 units	2015/04/29	200,000,000	2014/11/06
62081	UBS AG	HSI R Bull CBBC Apr 2015 G	0.250	23,619 per 12,000 units	23,819 per 12,000 units	2015/04/29	350,000,000	2014/11/06
62080	UBS AG	HSI R Bull CBBC May 2015 A	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/05/28	300,000,000	2014/11/06
62077	UBS AG	HSI R Bull CBBC Jun 2015 Z	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	300,000,000	2014/11/06
62131	UBS AG	HSI R Bear CBBC Feb 2015 S	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/02/26	300,000,000	2014/11/06
62129	UBS AG	HSI R Bear CBBC Mar 2015 W	0.250	23,903 per 10,000 units	23,703 per 10,000 units	2015/03/30	300,000,000	2014/11/06
62219	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 O	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	200,000,000	2014/11/07
62178	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 G	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62211	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 I	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62215	Credit Suisse AG	HSI R Bull CBBC Jun 2015 E	0.250	23,798 per 12,000 units	23,998 per 12,000 units	2015/06/29	238,000,000	2014/11/07
62213	Credit Suisse AG	HSI R Bull CBBC Jun 2015 K	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	238,000,000	2014/11/07
62214	Credit Suisse AG	HSI R Bull CBBC Jun 2015 L	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	238,000,000	2014/11/07
62165	Credit Suisse AG	HSI R Bear CBBC Feb 2015 E	0.250	24,025 per 10,000 units	23,825 per 10,000 units	2015/02/26	238,000,000	2014/11/07
62173	Credit Suisse AG	HSI R Bear CBBC Feb 2015 F	0.250	23,922 per 10,000 units	23,722 per 10,000 units	2015/02/26	238,000,000	2014/11/07
62164	Credit Suisse AG	HSI R Bear CBBC Feb 2015 O	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/02/26	238,000,000	2014/11/07
62212	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	24,238 per 10,000 units	24,038 per 10,000 units	2015/03/30	238,000,000	2014/11/07
62201	Bank of East Asia	Anhui Conch R Bull CBBC Dec 2015 A	0.250	18 per 100 units	20 per 100 units	2015/12/22	200,000,000	2014/11/07
62195	Bank of East Asia	Great Wall Motor R Bull CBBC Dec 2015 A	0.250	24.333 per 100 units	26.333 per 100 units	2015/12/30	150,000,000	2014/11/07
62196	Bank of East Asia	Great Wall Motor R Bear CBBC Dec 2015 A	0.250	42 per 100 units	40 per 100 units	2015/12/30	80,000,000	2014/11/07
62197	Bank of East Asia	Kingsoft R Bull CBBC Oct 2015 A	0.500	13	15	2015/10/22	40,000,000	2014/11/07
62200	Bank of East Asia	Kingsoft R Bull CBBC Dec 2015 A	0.650	11.5	13.5	2015/12/22	40,000,000	2014/11/07
62217	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 A	0.250	23,798 per 10,000 units	23,998 per 10,000 units	2015/07/30	300,000,000	2014/11/07
62188	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 L	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/08/28	300,000,000	2014/11/07
62136	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 V	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/11/07
62185	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 W	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	300,000,000	2014/11/07
62139	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 S	0.250	23,928 per 10,000 units	23,728 per 10,000 units	2015/03/30	300,000,000	2014/11/07
62189	Standard Chartered Bank	Anhui Conch R Bull CBBC Nov 2015 A	0.250	20 per 100 units	22 per 100 units	2015/11/30	50,000,000	2014/11/07
62190	Standard Chartered Bank	Anhui Conch R Bull CBBC Dec 2015 A	0.250	18 per 100 units	20 per 100 units	2015/12/31	50,000,000	2014/11/07
62174	Standard Chartered Bank	HSI R Bull CBBC Jul 2015 B	0.250	23,380 per 15,000 units	23,580 per 15,000 units	2015/07/30	100,000,000	2014/11/07
62191	Standard Chartered Bank	HSI R Bull CBBC Jul 2015 C	0.250	23,680 per 20,000 units	23,880 per 20,000 units	2015/07/30	100,000,000	2014/11/07
62175	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 C	0.250	23,980 per 15,000 units	23,780 per 15,000 units	2015/02/26	100,000,000	2014/11/07
62176	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 O	0.250	24,088 per 12,000 units	23,888 per 12,000 units	2015/02/26	100,000,000	2014/11/07
62142	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug15 A	0.250	23,100 per 20,000 units	23,200 per 20,000 units	2015/08/28	400,000,000	2014/11/07
62145	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 X	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2015/02/26	200,000,000	2014/11/07
62143	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 K	0.250	23,868 per 10,000 units	23,768 per 10,000 units	2015/03/30	200,000,000	2014/11/07

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62148	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 L	0.250	24,768 per 10,000 units	24,668 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62179	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 M	0.250	24,018 per 10,000 units	23,918 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62182	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 N	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62183	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 O	0.250	25,218 per 10,000 units	25,118 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62184	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 P	0.250	25,438 per 10,000 units	25,338 per 10,000 units	2015/03/30	200,000,000	2014/11/07
62159	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 J	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2015/04/29	200,000,000	2014/11/07
62206	UBS AG	HSI R Bull CBBC Apr 2015 H	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/04/29	300,000,000	2014/11/07
62207	UBS AG	HSI R Bull CBBC May 2015 B	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/05/28	300,000,000	2014/11/07
62208	UBS AG	HSI R Bull CBBC May 2015 C	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/05/28	300,000,000	2014/11/07
62210	UBS AG	HSI R Bull CBBC Jul 2015 M	0.250	23,798 per 12,000 units	23,998 per 12,000 units	2015/07/30	350,000,000	2014/11/07
62161	UBS AG	HSI R Bear CBBC Feb 2015 N	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/11/07
62162	UBS AG	HSI R Bear CBBC Feb 2015 O	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/02/26	300,000,000	2014/11/07
62251	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 J	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	200,000,000	2014/11/10
62255	Credit Suisse AG	HSI R Bull CBBC Jun 2015 M	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/06/29	238,000,000	2014/11/10
62257	Credit Suisse AG	HSI R Bull CBBC Jun 2015 N	0.250	23,438 per 12,000 units	23,638 per 12,000 units	2015/06/29	238,000,000	2014/11/10
62277	Credit Suisse AG	HSI R Bear CBBC Feb 2015 A	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/02/26	238,000,000	2014/11/10
62279	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	238,000,000	2014/11/10
62283	Credit Suisse AG	HSI R Bear CBBC Feb 2015 V	0.250	24,116 per 10,000 units	23,916 per 10,000 units	2015/02/26	238,000,000	2014/11/10
62285	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 B	0.265	24,388 per 20,000 units	24,088 per 20,000 units	2015/03/30	150,000,000	2014/11/10
62239	HK Bank	China Mobile R Bull CBBC Jul 2015 M	0.250	89.68 per 100 units	92.18 per 100 units	2015/07/23	120,000,000	2014/11/10
62242	HK Bank	CITIC R Bull CBBC Jun 2015 A	0.250	11.58	12.58	2015/06/29	40,000,000	2014/11/10
62249	HK Bank	CM Bank R Bull CBBC Aug 2015 A	0.250	12.48	13.08	2015/08/31	40,000,000	2014/11/10
62228	HK Bank	CSOP A50 ETF R Bull CBBC Jun 2015 C	0.250	8.78	8.98	2015/06/15	200,000,000	2014/11/10
62246	HK Bank	China Shenhua R Bull CBBC Sep 2015 A	0.320	18.78	20.28	2015/09/29	40,000,000	2014/11/10
62247	HK Bank	China Shenhua R Bear CBBC Sep 2015 A	0.400	25.68	24.18	2015/09/29	40,000,000	2014/11/10
62233	HK Bank	A50 R Bull CBBC Jun 2015 C	0.250	8.83	9.03	2015/06/15	200,000,000	2014/11/10
62248	HK Bank	GCL-Poly Energy R Bull CBBC Dec 2015 B	0.250	1.68	1.88	2015/12/14	40,000,000	2014/11/10
62241	HK Bank	Galaxy Ent R Bull CBBC Jul 2015 G	0.250	47.27 per 100 units	49.27 per 100 units	2015/07/20	80,000,000	2014/11/10
62225	HK Bank	HSI R Bull CBBC Jun 2015 P	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2015/06/29	100,000,000	2014/11/10
62227	HK Bank	HSI R Bull CBBC Jun 2015 Q	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2015/06/29	100,000,000	2014/11/10
62220	HK Bank	HSI R Bull CBBC Aug 2015 H	0.250	23,788 per 15,000 units	23,988 per 15,000 units	2015/08/28	100,000,000	2014/11/10
62236	HK Bank	Sands China R Bull CBBC Aug 2015 B	0.250	43.18 per 100 units	45.18 per 100 units	2015/08/03	80,000,000	2014/11/10
62235	HK Bank	TCH R Bull CBBC Jun 2015 K	0.250	115.88 per 100 units	117.88 per 100 units	2015/06/24	100,000,000	2014/11/10
62237	HK Bank	TCH R Bull CBBC Jun 2015 L	0.250	117.88 per 100 units	119.88 per 100 units	2015/06/17	100,000,000	2014/11/10
62276	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 X	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2015/07/30	300,000,000	2014/11/10
62250	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Y	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/02/26	300,000,000	2014/11/10
62253	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2015 F	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/03/30	50,000,000	2014/11/10
62258	Standard Chartered Bank	Galaxy Ent R Bull CBBC Sep 2015 A	0.250	46.38 per 100 units	48.88 per 100 units	2015/09/18	50,000,000	2014/11/10
62260	Standard Chartered Bank	Galaxy Ent R Bear CBBC Aug 2015 A	0.250	70.50 per 100 units	68 per 100 units	2015/08/24	50,000,000	2014/11/10
62261	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 E	0.250	23,780 per 15,000 units	23,980 per 15,000 units	2015/03/30	100,000,000	2014/11/10
62267	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Q	0.250	24,280 per 20,000 units	24,080 per 20,000 units	2015/02/26	100,000,000	2014/11/10
62269	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 Z	0.250	23,888 per 10,000 units	23,988 per 10,000 units	2015/06/29	200,000,000	2014/11/10
62275	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 Q	0.250	24,168 per 10,000 units	24,068 per 10,000 units	2015/03/30	200,000,000	2014/11/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62252	UBS AG	HSI R Bull CBBC May 2015 D	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/05/28	300,000,000	2014/11/10
62280	UBS AG	HSI R Bear CBBC Feb 2015 P	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/02/26	300,000,000	2014/11/10
62282	UBS AG	HSI R Bear CBBC Mar 2015 X	0.250	24,116 per 12,000 units	23,916 per 12,000 units	2015/03/30	350,000,000	2014/11/10
62377	Credit Suisse AG	China Mobile R Bull CBBC Feb 2015 C	0.250	87.60 per 100 units	90.10 per 100 units	2015/02/27	50,000,000	2014/11/11
62380	Credit Suisse AG	China Mobile R Bull CBBC Feb 2015 D	0.250	91.60 per 100 units	94.10 per 100 units	2015/02/27	50,000,000	2014/11/11
62382	Credit Suisse AG	HSI R Bull CBBC Apr 2015 U	0.250	23,759 per 12,000 units	23,959 per 12,000 units	2015/04/29	238,000,000	2014/11/11
62383	Credit Suisse AG	HSI R Bull CBBC Apr 2015 V	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/04/29	238,000,000	2014/11/11
62325	Credit Suisse AG	HSI R Bear CBBC Mar 2015 S	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/03/30	238,000,000	2014/11/11
62332	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/03/30	238,000,000	2014/11/11
62381	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	24,046 per 12,000 units	23,846 per 12,000 units	2015/03/30	238,000,000	2014/11/11
62375	Credit Suisse AG	TCH R Bull CBBC Feb 2015 C	0.250	114.10 per 100 units	116.10 per 100 units	2015/02/27	50,000,000	2014/11/11
62376	Credit Suisse AG	TCH R Bull CBBC Feb 2015 D	0.250	119.10 per 100 units	121.10 per 100 units	2015/02/27	50,000,000	2014/11/11
62295	HK Bank	AIA R Bull CBBC Sep 2015 B	0.250	37.08 per 100 units	38.08 per 100 units	2015/09/29	60,000,000	2014/11/11
62286	HK Bank	BOCL R Bull CBBC Aug 2015 B	0.250	3.28	3.43	2015/08/31	80,000,000	2014/11/11
62288	HK Bank	BOCL R Bear CBBC Aug 2015 A	0.250	4.58	4.43	2015/08/31	40,000,000	2014/11/11
62293	HK Bank	HSI R Bull CBBC Aug 2015 I	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/08/28	100,000,000	2014/11/11
62374	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 C	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2015/07/30	300,000,000	2014/11/11
62316	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 A	0.250	24,188 per 10,000 units	23,988 per 10,000 units	2015/02/26	300,000,000	2014/11/11
62350	Standard Chartered Bank	CNOOC R Bull CBBC Apr 2015 A	0.250	10.58	11.38	2015/04/15	50,000,000	2014/11/11
62351	Standard Chartered Bank	CNOOC R Bear CBBC Apr 2015 A	0.250	14.4	13.6	2015/04/20	50,000,000	2014/11/11
62334	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 F	0.250	23,480 per 20,000 units	23,680 per 20,000 units	2015/03/30	100,000,000	2014/11/11
62337	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 T	0.250	24,180 per 15,000 units	23,980 per 15,000 units	2015/02/26	100,000,000	2014/11/11
62338	Standard Chartered Bank	PetCh R Bull CBBC May 2015 A	0.250	8.6	9	2015/05/20	50,000,000	2014/11/11
62339	Standard Chartered Bank	PetCh R Bear CBBC Jul 2015 A	0.250	11	10.6	2015/07/07	50,000,000	2014/11/11
62296	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug 15 B	0.250	21,900 per 20,000 units	22,000 per 20,000 units	2015/08/28	400,000,000	2014/11/11
62297	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 15 V	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2015/02/26	200,000,000	2014/11/11
62299	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 15 W	0.250	25,138 per 10,000 units	25,038 per 10,000 units	2015/02/26	200,000,000	2014/11/11
62298	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 15 Y	0.250	24,918 per 10,000 units	24,818 per 10,000 units	2015/02/26	200,000,000	2014/11/11
62309	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 15 Z	0.250	25,368 per 10,000 units	25,268 per 10,000 units	2015/02/26	200,000,000	2014/11/11
62359	UBS AG	China Mobile R Bull CBBC Jun 2015 D	0.250	87.50 per 100 units	90 per 100 units	2015/06/08	100,000,000	2014/11/11
62355	UBS AG	CSOP A50 ETF R Bull CBBC Apr 2015 A	0.250	8.55	8.75	2015/04/20	100,000,000	2014/11/11
62369	UBS AG	HKEx R Bull CBBC Sep 2015 H	0.250	160 per 100 units	165 per 100 units	2015/09/08	100,000,000	2014/11/11
62370	UBS AG	HSI R Bull CBBC Apr 2015 I	0.250	23,759 per 12,000 units	23,959 per 12,000 units	2015/04/29	350,000,000	2014/11/11
62372	UBS AG	HSI R Bear CBBC Feb 2015 U	0.250	24,046 per 12,000 units	23,846 per 12,000 units	2015/02/26	350,000,000	2014/11/11
62354	UBS AG	HSI R Bear CBBC Mar 2015 Y	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/03/30	300,000,000	2014/11/11
62368	UBS AG	TCH R Bull CBBC Jun 2015 F	0.250	112.50 per 100 units	115 per 100 units	2015/06/15	100,000,000	2014/11/11
62360	UBS AG	Tencent R Bear CBBC Apr 2015 C	0.250	138 per 100 units	135 per 100 units	2015/04/20	100,000,000	2014/11/11
62389	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 L	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	200,000,000	2014/11/12
62385	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 W	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	200,000,000	2014/11/12
62418	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 K	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	200,000,000	2014/11/12
62434	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 L	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	200,000,000	2014/11/12
62411	Credit Suisse AG	HSI R Bull CBBC Apr 2015 W	0.250	23,645 per 12,000 units	23,845 per 12,000 units	2015/04/29	238,000,000	2014/11/12
62413	Credit Suisse AG	HSI R Bull CBBC Apr 2015 X	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/04/29	238,000,000	2014/11/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62421	Credit Suisse AG	HSI R Bull CBBC Apr 2015 Y	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/04/29	238,000,000	2014/11/12
62422	Credit Suisse AG	HSI R Bear CBBC Feb 2015 B	0.250	23,977 per 10,000 units	23,777 per 10,000 units	2015/02/26	238,000,000	2014/11/12
62423	Credit Suisse AG	HSI R Bear CBBC Feb 2015 C	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	238,000,000	2014/11/12
62425	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	23,896 per 12,000 units	23,696 per 12,000 units	2015/02/26	238,000,000	2014/11/12
62433	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 C	0.270	24,188 per 20,000 units	23,888 per 20,000 units	2015/03/30	150,000,000	2014/11/12
62406	HK Bank	CNOOC R Bull CBBC Oct 2015 E	0.320	8.98	9.78	2015/10/30	60,000,000	2014/11/12
62405	HK Bank	CNOOC R Bear CBBC Jul 2015 B	0.250	13.98	13.18	2015/07/31	40,000,000	2014/11/12
62396	HK Bank	HSI R Bear CBBC Apr 2015 V	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2015/04/29	100,000,000	2014/11/12
62393	HK Bank	HSI R Bear CBBC May 2015 X	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2015/05/28	100,000,000	2014/11/12
62398	HK Bank	TCH R Bull CBBC Jun 2015 M	0.250	103.88 per 100 units	105.88 per 100 units	2015/06/29	100,000,000	2014/11/12
62401	HK Bank	TCH R Bear CBBC Aug 2015 B	0.250	136.38 per 100 units	134.38 per 100 units	2015/08/31	100,000,000	2014/11/12
62435	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 D	0.250	23,388 per 10,000 units	23,588 per 10,000 units	2015/07/30	300,000,000	2014/11/12
62417	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 M	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/08/28	300,000,000	2014/11/12
62436	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Q	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	300,000,000	2014/11/12
62407	Standard Chartered Bank	Sands China R Bull CBBC Jul 2015 C	0.250	32 per 100 units	34 per 100 units	2015/07/16	50,000,000	2014/11/12
62408	Standard Chartered Bank	Sands China R Bear CBBC Apr 2015 C	0.250	53.68 per 100 units	50.88 per 100 units	2015/04/14	50,000,000	2014/11/12
62409	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 A	0.250	23,588 per 10,000 units	23,688 per 10,000 units	2015/06/29	200,000,000	2014/11/12
62410	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 B	0.250	23,738 per 10,000 units	23,838 per 10,000 units	2015/06/29	200,000,000	2014/11/12
62415	UBS AG	HSI R Bull CBBC Jul 2015 N	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/07/30	300,000,000	2014/11/12
62416	UBS AG	HSI R Bull CBBC Jul 2015 O	0.250	23,645 per 10,000 units	23,845 per 10,000 units	2015/07/30	300,000,000	2014/11/12
62428	UBS AG	HSI R Bear CBBC Feb 2015 V	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	300,000,000	2014/11/12
62431	UBS AG	HSI R Bear CBBC Feb 2015 Z	0.250	23,896 per 10,000 units	23,696 per 10,000 units	2015/02/26	300,000,000	2014/11/12
62430	UBS AG	HSI R Bear CBBC Mar 2015 Z	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	300,000,000	2014/11/12
62469	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,495 per 10,000 units	23,695 per 10,000 units	2015/05/28	238,000,000	2014/11/13
62486	Credit Suisse AG	HSI R Bear CBBC Mar 2015 O	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/03/30	238,000,000	2014/11/13
62485	Credit Suisse AG	HSI R Bear CBBC Mar 2015 V	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	238,000,000	2014/11/13
62487	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 A	0.283	23,338 per 20,000 units	23,638 per 20,000 units	2015/03/30	150,000,000	2014/11/13
62460	HK Bank	China Mobile R Bull CBBC Oct 2015 A	0.300	67.18 per 100 units	69.68 per 100 units	2015/10/30	120,000,000	2014/11/13
62441	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 E	0.250	56.27 per 100 units	54.27 per 100 units	2015/07/13	60,000,000	2014/11/13
62443	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 F	0.250	65.27 per 100 units	63.27 per 100 units	2015/07/31	60,000,000	2014/11/13
62451	HK Bank	Galaxy Ent R Bear CBBC Oct 2015 A	0.250	71.27 per 100 units	69.27 per 100 units	2015/10/30	60,000,000	2014/11/13
62447	HK Bank	Galaxy Ent R Bear CBBC Nov 2015 A	0.270	77.27 per 100 units	75.27 per 100 units	2015/11/30	60,000,000	2014/11/13
62454	HK Bank	Galaxy Ent R Bear CBBC Dec 2015 A	0.340	83.27 per 100 units	81.27 per 100 units	2015/12/21	60,000,000	2014/11/13
62439	HK Bank	HSI R Bull CBBC Apr 2015 F	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2015/04/29	100,000,000	2014/11/13
62440	HK Bank	HSI R Bear CBBC May 2015 Y	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2015/05/28	100,000,000	2014/11/13
62444	HK Bank	Sands China R Bear CBBC Apr 2015 B	0.250	51.28 per 100 units	49.28 per 100 units	2015/04/29	60,000,000	2014/11/13
62450	HK Bank	Sands China R Bear CBBC Oct 2015 A	0.250	68.28 per 100 units	66.28 per 100 units	2015/10/30	60,000,000	2014/11/13
62492	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 R	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/02/26	300,000,000	2014/11/13
62488	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 T	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2015/03/30	300,000,000	2014/11/13
62466	Standard Chartered Bank	China Life R Bear CBBC Apr 2015 A	0.500	27.28	26.28	2015/04/02	50,000,000	2014/11/13
62476	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 F	0.250	23,880 per 15,000 units	23,680 per 15,000 units	2015/02/26	100,000,000	2014/11/13
62472	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 P	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2015/02/26	200,000,000	2014/11/13
62468	UBS AG	HSI R Bull CBBC Apr 2015 J	0.250	23,495 per 12,000 units	23,695 per 12,000 units	2015/04/29	350,000,000	2014/11/13

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62483	UBS AG	HSI R Bear CBBC Feb 2015 R	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/02/26	300,000,000	2014/11/13
62499	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 P	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	200,000,000	2014/11/14
62501	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Q	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	200,000,000	2014/11/14
62576	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 M	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	200,000,000	2014/11/14
62529	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/05/28	238,000,000	2014/11/14
62527	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	23,482 per 12,000 units	23,682 per 12,000 units	2015/05/28	238,000,000	2014/11/14
62530	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	238,000,000	2014/11/14
62532	Credit Suisse AG	HSI R Bear CBBC Feb 2015 E	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	238,000,000	2014/11/14
62531	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	23,751 per 10,000 units	23,551 per 10,000 units	2015/02/26	238,000,000	2014/11/14
62526	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 B	0.265	23,138 per 20,000 units	23,438 per 20,000 units	2015/03/30	150,000,000	2014/11/14
62573	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 D	0.252	23,988 per 20,000 units	23,688 per 20,000 units	2015/03/30	150,000,000	2014/11/14
62493	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 N	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/08/28	300,000,000	2014/11/14
62494	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/11/14
62571	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 S	0.250	23,751 per 10,000 units	23,551 per 10,000 units	2015/02/26	300,000,000	2014/11/14
62570	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 U	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/11/14
62574	Macquarie Bank Ltd.	HSI R Bear CBBC Mar 2015 A	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/03/30	50,000,000	2014/11/14
62505	Standard Chartered Bank	China Life R Bull CBBC Aug 2015 A	0.600	17.88	18.88	2015/08/03	50,000,000	2014/11/14
62507	Standard Chartered Bank	Galaxy Ent R Bull CBBC May 2015 A	0.250	38.70 per 100 units	41.20 per 100 units	2015/05/08	50,000,000	2014/11/14
62508	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 D	0.250	23,380 per 15,000 units	23,580 per 15,000 units	2015/02/26	100,000,000	2014/11/14
62550	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 E	0.250	23,280 per 20,000 units	23,480 per 20,000 units	2015/02/26	100,000,000	2014/11/14
62551	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 I	0.250	23,980 per 15,000 units	23,780 per 15,000 units	2015/02/26	100,000,000	2014/11/14
62506	Standard Chartered Bank	Hutchison R Bull CBBC Apr 2015 A	0.250	85.88 per 100 units	88.88 per 100 units	2015/04/02	50,000,000	2014/11/14
62513	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 U	0.250	23,368 per 10,000 units	23,468 per 10,000 units	2015/07/30	200,000,000	2014/11/14
62514	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 V	0.250	23,518 per 10,000 units	23,618 per 10,000 units	2015/07/30	200,000,000	2014/11/14
62517	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 R	0.250	23,868 per 10,000 units	23,768 per 10,000 units	2015/03/30	200,000,000	2014/11/14
62524	UBS AG	HSCEI R Bull CBBC Sep 2015 A	0.250	10,050 per 10,000 units	10,250 per 10,000 units	2015/09/29	100,000,000	2014/11/14
62518	UBS AG	HSI R Bull CBBC May 2015 E	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/05/28	300,000,000	2014/11/14
62523	UBS AG	HSI R Bull CBBC Jun 2015 C	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	300,000,000	2014/11/14
62552	UBS AG	HSI R Bear CBBC Feb 2015 L	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/02/26	300,000,000	2014/11/14
62569	UBS AG	HSI R Bear CBBC Feb 2015 M	0.250	23,751 per 10,000 units	23,551 per 10,000 units	2015/02/26	300,000,000	2014/11/14
62652	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 D	0.250	8.9	9.2	2015/06/29	100,000,000	2014/11/17
62647	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 E	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	200,000,000	2014/11/17
62595	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 Q	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	200,000,000	2014/11/17
62594	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 R	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	200,000,000	2014/11/17
62599	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 S	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	200,000,000	2014/11/17
62653	Credit Suisse AG	HSI R Bull CBBC Jun 2015 O	0.250	23,739 per 10,000 units	23,939 per 10,000 units	2015/06/29	238,000,000	2014/11/17
62655	Credit Suisse AG	HSI R Bull CBBC Jun 2015 P	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	238,000,000	2014/11/17
62656	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Q	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	238,000,000	2014/11/17
62662	Credit Suisse AG	HSI R Bull CBBC Jun 2015 R	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	238,000,000	2014/11/17
62617	Credit Suisse AG	HSI R Bear CBBC Feb 2015 A	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/02/26	238,000,000	2014/11/17
62618	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/02/26	238,000,000	2014/11/17
62616	Credit Suisse AG	HSI R Bear CBBC Feb 2015 F	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	238,000,000	2014/11/17
62620	Credit Suisse AG	HSI R Bear CBBC Feb 2015 G	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	238,000,000	2014/11/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62621	Credit Suisse AG	HSI R Bear CBBC Feb 2015 O	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/02/26	238,000,000	2014/11/17
62631	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 C	0.310	23,538 per 20,000 units	23,838 per 20,000 units	2015/03/30	150,000,000	2014/11/17
62630	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 E	0.280	24,388 per 20,000 units	24,088 per 20,000 units	2015/03/30	150,000,000	2014/11/17
62622	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 E	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/07/30	300,000,000	2014/11/17
62623	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 P	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/08/28	300,000,000	2014/11/17
62587	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 T	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	300,000,000	2014/11/17
62583	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 X	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	300,000,000	2014/11/17
62586	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Z	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2015/02/26	300,000,000	2014/11/17
62589	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 U	0.250	24,388 per 10,000 units	24,188 per 10,000 units	2015/03/30	300,000,000	2014/11/17
62603	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 L	0.250	24,680 per 15,000 units	24,480 per 15,000 units	2015/02/26	100,000,000	2014/11/17
62607	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 R	0.250	24,080 per 20,000 units	23,880 per 20,000 units	2015/02/26	100,000,000	2014/11/17
62590	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 C	0.250	23,438 per 10,000 units	23,538 per 10,000 units	2015/06/29	200,000,000	2014/11/17
62624	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 W	0.250	23,818 per 10,000 units	23,918 per 10,000 units	2015/07/30	200,000,000	2014/11/17
62591	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 N	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2015/02/26	200,000,000	2014/11/17
62593	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 S	0.250	24,168 per 10,000 units	24,068 per 10,000 units	2015/03/30	200,000,000	2014/11/17
62625	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 K	0.250	25,068 per 10,000 units	24,968 per 10,000 units	2015/04/29	200,000,000	2014/11/17
62626	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 L	0.250	25,288 per 10,000 units	25,188 per 10,000 units	2015/04/29	200,000,000	2014/11/17
62627	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 M	0.250	25,518 per 10,000 units	25,418 per 10,000 units	2015/04/29	200,000,000	2014/11/17
62636	UBS AG	HSI R Bull CBBC Apr 2015 K	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/04/29	300,000,000	2014/11/17
62632	UBS AG	HSI R Bull CBBC May 2015 F	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	300,000,000	2014/11/17
62637	UBS AG	HSI R Bull CBBC Jun 2015 B	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	300,000,000	2014/11/17
62640	UBS AG	HSI R Bull CBBC Jul 2015 P	0.250	23,739 per 10,000 units	23,939 per 10,000 units	2015/07/30	300,000,000	2014/11/17
62611	UBS AG	HSI R Bear CBBC Feb 2015 T	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/02/26	300,000,000	2014/11/17
62612	UBS AG	HSI R Bear CBBC Feb 2015 W	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/02/26	300,000,000	2014/11/17
62613	UBS AG	HSI R Bear CBBC Mar 2015 C	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	300,000,000	2014/11/17
62614	UBS AG	HSI R Bear CBBC Mar 2015 H	0.250	23,775 per 12,000 units	23,575 per 12,000 units	2015/03/30	350,000,000	2014/11/17
62792	Credit Suisse AG	HSI R Bull CBBC Apr 2015 A	0.250	23,693 per 12,000 units	23,893 per 12,000 units	2015/04/29	238,000,000	2014/11/18
62794	Credit Suisse AG	HSI R Bull CBBC Apr 2015 C	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/04/29	238,000,000	2014/11/18
62740	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,945 per 12,000 units	23,745 per 12,000 units	2015/03/30	238,000,000	2014/11/18
62741	Credit Suisse AG	HSI R Bear CBBC Mar 2015 W	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/03/30	238,000,000	2014/11/18
62703	HK Bank	AIA R Bull CBBC Jul 2015 A	0.250	35.28 per 100 units	36.28 per 100 units	2015/07/06	60,000,000	2014/11/18
62701	HK Bank	China Mobile R Bull CBBC Oct 2015 B	0.250	92.18 per 100 units	94.68 per 100 units	2015/10/30	120,000,000	2014/11/18
62670	HK Bank	A50 R Bull CBBC Aug 2015 A	0.250	9.03	9.23	2015/08/31	200,000,000	2014/11/18
62676	HK Bank	GCL-Poly Energy R Bull CBBC Apr 2016 A	0.250	1.2	1.4	2016/04/08	40,000,000	2014/11/18
62686	HK Bank	GCL-Poly Energy R Bull CBBC Apr 2016 B	0.250	1	1.2	2016/04/18	40,000,000	2014/11/18
62668	HK Bank	HKEEx R Bull CBBC Sep 2015 B	0.250	163.88 per 100 units	168.88 per 100 units	2015/09/30	60,000,000	2014/11/18
62669	HK Bank	HKEEx R Bull CBBC Sep 2015 C	0.250	170.88 per 100 units	175.88 per 100 units	2015/09/30	60,000,000	2014/11/18
62664	HK Bank	HSCEI R Bull CBBC May 2015 A	0.250	9,388 per 10,000 units	9,588 per 10,000 units	2015/05/28	100,000,000	2014/11/18
62663	HK Bank	HSI R Bull CBBC Oct 2015 B	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2015/10/29	100,000,000	2014/11/18
62667	HK Bank	TCH R Bull CBBC Jul 2015 K	0.250	105.88 per 100 units	107.88 per 100 units	2015/07/20	100,000,000	2014/11/18
62665	HK Bank	TCH R Bull CBBC Aug 2015 C	0.250	121.88 per 100 units	123.88 per 100 units	2015/08/31	100,000,000	2014/11/18
62666	HK Bank	TCH R Bull CBBC Aug 2015 D	0.250	108.98 per 100 units	110.98 per 100 units	2015/08/24	100,000,000	2014/11/18
62745	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 G	0.250	23,688 per 10,000 units	23,888 per 10,000 units	2015/07/30	300,000,000	2014/11/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62746	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 H	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2015/07/30	300,000,000	2014/11/18
62704	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 V	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/11/18
62777	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 F	0.250	23,680 per 20,000 units	23,880 per 20,000 units	2015/02/26	100,000,000	2014/11/18
62782	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 B	0.250	24,280 per 20,000 units	24,080 per 20,000 units	2015/02/26	100,000,000	2014/11/18
62723	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Jun15F	0.250	8.65	8.85	2015/06/29	100,000,000	2014/11/18
62721	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Jul15A	0.250	8.9	9.1	2015/07/30	100,000,000	2014/11/18
62726	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Jun15C	0.250	11	10.8	2015/06/29	100,000,000	2014/11/18
62727	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Jun 15E	0.250	8.7	8.9	2015/06/29	100,000,000	2014/11/18
62739	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 15T	0.250	24,018 per 10,000 units	23,918 per 10,000 units	2015/03/30	200,000,000	2014/11/18
62768	UBS AG	CC Bank R Bull CBBC Aug 2015 C	0.250	5.2	5.5	2015/08/17	100,000,000	2014/11/18
62769	UBS AG	CNOOC R Bull CBBC Oct 2015 C	0.250	9.7	10.5	2015/10/05	100,000,000	2014/11/18
62774	UBS AG	Sinopec Corp R Bull CBBC Sep 2015 B	0.250	5.5	5.8	2015/09/29	100,000,000	2014/11/18
62763	UBS AG	CSOP A50 ETF R Bull CBBC May 2015 A	0.250	8.8	9	2015/05/11	100,000,000	2014/11/18
62754	UBS AG	A50 China R Bull CBBC Apr 2015 C	0.250	8.7	9	2015/04/13	100,000,000	2014/11/18
62772	UBS AG	HKEx R Bull CBBC Nov 2015 A	0.250	165 per 100 units	170 per 100 units	2015/11/30	100,000,000	2014/11/18
62783	UBS AG	HSI R Bull CBBC May 2015 G	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/05/28	300,000,000	2014/11/18
62785	UBS AG	HSI R Bull CBBC May 2015 I	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/05/28	300,000,000	2014/11/18
62786	UBS AG	HSI R Bull CBBC Jun 2015 D	0.250	23,693 per 10,000 units	23,893 per 10,000 units	2015/06/29	300,000,000	2014/11/18
62706	UBS AG	HSI R Bear CBBC Feb 2015 C	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/02/26	300,000,000	2014/11/18
62714	UBS AG	HSI R Bear CBBC Feb 2015 Q	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	300,000,000	2014/11/18
62718	UBS AG	HSI R Bear CBBC Mar 2015 G	0.250	23,975 per 12,000 units	23,775 per 12,000 units	2015/03/30	350,000,000	2014/11/18
62767	UBS AG	ICBC R Bull CBBC Aug 2015 A	0.250	4.3	4.5	2015/08/10	100,000,000	2014/11/18
62770	UBS AG	Petrochina R Bull CBBC Jun 2015 B	0.250	8.1	8.5	2015/06/15	100,000,000	2014/11/18
62771	UBS AG	TCH R Bull CBBC Jun 2015 G	0.250	117.50 per 100 units	120 per 100 units	2015/06/29	100,000,000	2014/11/18
62857	Credit Suisse AG	HSI R Bull CBBC May 2015 Y	0.250	23,738 per 12,000 units	23,938 per 12,000 units	2015/05/28	238,000,000	2014/11/19
62858	Credit Suisse AG	HSI R Bull CBBC May 2015 Z	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/05/28	238,000,000	2014/11/19
62854	Credit Suisse AG	HSI R Bear CBBC Feb 2015 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	238,000,000	2014/11/19
62856	Credit Suisse AG	HSI R Bear CBBC Feb 2015 C	0.250	24,225 per 10,000 units	24,025 per 10,000 units	2015/02/26	238,000,000	2014/11/19
62853	Credit Suisse AG	HSI R Bear CBBC Feb 2015 V	0.250	24,026 per 12,000 units	23,826 per 12,000 units	2015/02/26	238,000,000	2014/11/19
62812	HK Bank	Minsheng Bank R Bull CBBC Jul 2015 C	0.250	6.08	6.58	2015/07/31	40,000,000	2014/11/19
62815	HK Bank	Minsheng Bank R Bull CBBC Oct 2015 A	0.250	6.58	7.08	2015/10/30	40,000,000	2014/11/19
62796	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2015 A	0.250	8.88	9.08	2015/08/03	200,000,000	2014/11/19
62797	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2015 B	0.250	8.5	8.7	2015/08/31	200,000,000	2014/11/19
62802	HK Bank	A50 R Bull CBBC Aug 2015 B	0.250	8.98	9.18	2015/08/31	200,000,000	2014/11/19
62809	HK Bank	TCH R Bear CBBC Aug 2015 C	0.250	144.88 per 100 units	142.88 per 100 units	2015/08/31	100,000,000	2014/11/19
62810	HK Bank	TCH R Bear CBBC Aug 2015 D	0.250	142.88 per 100 units	140.88 per 100 units	2015/08/17	100,000,000	2014/11/19
62863	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 V	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2015/06/29	300,000,000	2014/11/19
62828	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 W	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/02/26	300,000,000	2014/11/19
62827	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 V	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/03/30	300,000,000	2014/11/19
62816	Standard Chartered Bank	A50 China R Bull CBBC Aug 2015 A	0.250	8.7	9	2015/08/18	50,000,000	2014/11/19
62851	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 G	0.250	23,580 per 15,000 units	23,780 per 15,000 units	2015/02/26	100,000,000	2014/11/19
62861	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 E	0.250	24,180 per 15,000 units	23,980 per 15,000 units	2015/02/26	100,000,000	2014/11/19
62826	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 X	0.250	23,668 per 10,000 units	23,768 per 10,000 units	2015/07/30	200,000,000	2014/11/19

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62850	UBS AG	HSCEI R Bull CBBC Aug 2015 A	0.250	10,300 per 10,000 units	10,500 per 10,000 units	2015/08/28	100,000,000	2014/11/19
62860	UBS AG	HSI R Bull CBBC May 2015 J	0.250	23,738 per 12,000 units	23,938 per 12,000 units	2015/05/28	350,000,000	2014/11/19
62859	UBS AG	HSI R Bull CBBC Jul 2015 Q	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/07/30	300,000,000	2014/11/19
62819	UBS AG	HSI R Bear CBBC Feb 2015 E	0.250	24,009 per 10,000 units	23,809 per 10,000 units	2015/02/26	300,000,000	2014/11/19
62844	UBS AG	HSI R Bear CBBC Feb 2015 N	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/02/26	300,000,000	2014/11/19
62843	UBS AG	HSI R Bear CBBC Feb 2015 O	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2015/02/26	300,000,000	2014/11/19
62829	UBS AG	HSI R Bear CBBC Feb 2015 X	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2015/02/26	300,000,000	2014/11/19
62836	UBS AG	HSI R Bear CBBC Feb 2015 Y	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/02/26	300,000,000	2014/11/19
62835	UBS AG	HSI R Bear CBBC Mar 2015 J	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/03/30	300,000,000	2014/11/19
61241*	Standard Chartered Bank	HSI R Bull CBBC Oct 2015 L	0.060	21,950 per 10,000 units	21,750 per 10,000 units	2014/07/30	180,000,000	2014/11/19
62974	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 T	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/06/29	200,000,000	2014/11/20
62888	Credit Suisse AG	HSI R Bull CBBC Jun 2015 S	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	238,000,000	2014/11/20
62947	Credit Suisse AG	HSI R Bull CBBC Jun 2015 T	0.250	23,819 per 12,000 units	24,019 per 12,000 units	2015/06/29	238,000,000	2014/11/20
62865	Credit Suisse AG	HSI R Bull CBBC Jul 2015 S	0.250	23,177 per 20,000 units	23,477 per 20,000 units	2015/07/30	238,000,000	2014/11/20
62944	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	24,129 per 12,000 units	23,929 per 12,000 units	2015/03/30	238,000,000	2014/11/20
62945	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Z	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/03/30	238,000,000	2014/11/20
62868	Credit Suisse AG	HSI R Bear CBBC Jul 2015 A	0.250	24,850 per 20,000 units	24,550 per 20,000 units	2015/07/30	238,000,000	2014/11/20
62871	Credit Suisse AG	HSI R Bear CBBC Jul 2015 B	0.250	25,633 per 20,000 units	25,333 per 20,000 units	2015/07/30	238,000,000	2014/11/20
62961	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 F	0.345	25,688 per 20,000 units	25,388 per 20,000 units	2015/03/30	150,000,000	2014/11/20
62963	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 G	0.359	26,088 per 20,000 units	25,788 per 20,000 units	2015/03/30	150,000,000	2014/11/20
62964	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 H	0.368	26,488 per 20,000 units	26,188 per 20,000 units	2015/03/30	150,000,000	2014/11/20
62951	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 W	0.250	23,819 per 10,000 units	24,019 per 10,000 units	2015/06/29	300,000,000	2014/11/20
62952	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 J	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/07/30	300,000,000	2014/11/20
62953	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 W	0.250	24,888 per 10,000 units	24,688 per 10,000 units	2015/03/30	300,000,000	2014/11/20
62954	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 C	0.250	25,588 per 10,000 units	25,388 per 10,000 units	2015/04/29	300,000,000	2014/11/20
62956	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 D	0.250	25,788 per 10,000 units	25,588 per 10,000 units	2015/04/29	300,000,000	2014/11/20
62975	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 W	0.250	23,780 per 15,000 units	23,980 per 15,000 units	2015/02/26	100,000,000	2014/11/20
62900	Standard Chartered Bank	Lenovo R Bear CBBC Sep 2015 A	0.250	12.38	11.88	2015/09/10	50,000,000	2014/11/20
62890	Standard Chartered Bank	Ping An R Bear CBBC May 2015 A	0.250	74.80 per 100 units	70.80 per 100 units	2015/05/22	50,000,000	2014/11/20
62891	Standard Chartered Bank	TCH R Bull CBBC Aug 2015 C	0.250	110.30 per 100 units	112.80 per 100 units	2015/08/19	80,000,000	2014/11/20
62892	Standard Chartered Bank	TCH R Bear CBBC Aug 2015 A	0.250	143.80 per 100 units	140.80 per 100 units	2015/08/20	80,000,000	2014/11/20
62924	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 F	0.250	9.05	9.25	2015/03/23	100,000,000	2014/11/20
62941	UBS AG	HKEx R Bull CBBC Oct 2015 D	0.250	170 per 100 units	175 per 100 units	2015/10/05	100,000,000	2014/11/20
62946	UBS AG	HSI R Bull CBBC Jun 2015 A	0.250	23,819 per 10,000 units	24,019 per 10,000 units	2015/06/29	300,000,000	2014/11/20
62922	UBS AG	HSI R Bear CBBC Feb 2015 P	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/02/26	300,000,000	2014/11/20
62923	UBS AG	HSI R Bear CBBC Feb 2015 S	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2015/02/26	300,000,000	2014/11/20
62942	UBS AG	HSI R Bear CBBC Feb 2015 U	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	300,000,000	2014/11/20
62905	UBS AG	HSI R Bear CBBC Mar 2015 K	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2015/03/30	300,000,000	2014/11/20
62907	UBS AG	HSI R Bear CBBC Mar 2015 L	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/03/30	300,000,000	2014/11/20
62943	UBS AG	HSI R Bear CBBC Mar 2015 N	0.250	24,129 per 12,000 units	23,929 per 12,000 units	2015/03/30	350,000,000	2014/11/20
62925	UBS AG	Sands China R Bull CBBC Jul 2015 C	0.250	37.20 per 100 units	40 per 100 units	2015/07/27	100,000,000	2014/11/20
62929	UBS AG	Tencent R Bear CBBC Apr 2015 D	0.250	143 per 100 units	140 per 100 units	2015/04/27	100,000,000	2014/11/20
63086	Credit Suisse AG	HSI R Bull CBBC Apr 2015 D	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/04/29	238,000,000	2014/11/21

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63087	Credit Suisse AG	HSI R Bull CBBC Apr 2015 E	0.250	23,887 per 12,000 units	24,087 per 12,000 units	2015/04/29	238,000,000	2014/11/21
63085	Credit Suisse AG	HSI R Bear CBBC Feb 2015 E	0.250	24,422 per 10,000 units	24,222 per 10,000 units	2015/02/26	238,000,000	2014/11/21
63084	Credit Suisse AG	HSI R Bear CBBC Feb 2015 P	0.250	24,239 per 12,000 units	24,039 per 12,000 units	2015/02/26	238,000,000	2014/11/21
63063	Bank of East Asia	GCL-Poly Energy R Bull CBBC May 2016 A	0.250	1.49	1.79	2016/05/25	300,000,000	2014/11/21
63064	Bank of East Asia	GCL-Poly Energy R Bull CBBC Jun 2016 A	0.250	1.29	1.59	2016/06/28	300,000,000	2014/11/21
63058	Bank of East Asia	Galaxy Ent R Bull CBBC Aug 2015 A	0.250	41.77 per 100 units	44.27 per 100 units	2015/08/26	100,000,000	2014/11/21
63067	Bank of East Asia	Sands China R Bull CBBC May 2015 B	0.250	37.50 per 100 units	40 per 100 units	2015/05/06	100,000,000	2014/11/21
63074	Bank of East Asia	TCH R Bear CBBC Sep 2015 A	0.250	145.88 per 100 units	142.88 per 100 units	2015/09/22	40,000,000	2014/11/21
62999	HK Bank	AIA R Bull CBBC Sep 2015 C	0.250	41.08 per 100 units	42.08 per 100 units	2015/09/29	60,000,000	2014/11/21
62998	HK Bank	BOCL R Bull CBBC Jul 2015 D	0.250	3.53	3.68	2015/07/20	80,000,000	2014/11/21
62997	HK Bank	HKEEx R Bull CBBC Sep 2015 D	0.250	177.88 per 100 units	182.88 per 100 units	2015/09/29	60,000,000	2014/11/21
62976	HK Bank	HSI R Bull CBBC Sep 2015 D	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/09/29	100,000,000	2014/11/21
62977	HK Bank	HSI R Bull CBBC Oct 2015 C	0.250	23,788 per 15,000 units	23,988 per 15,000 units	2015/10/29	100,000,000	2014/11/21
62980	HK Bank	TCH R Bull CBBC Jun 2015 N	0.250	123.88 per 100 units	125.88 per 100 units	2015/06/15	100,000,000	2014/11/21
63000	HK Bank	TCH R Bull CBBC Aug 2015 E	0.250	125.88 per 100 units	127.88 per 100 units	2015/08/04	100,000,000	2014/11/21
62991	HK Bank	TCH R Bear CBBC Jul 2015 M	0.250	149.88 per 100 units	147.88 per 100 units	2015/07/29	100,000,000	2014/11/21
63091	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 X	0.250	23,887 per 10,000 units	24,087 per 10,000 units	2015/06/29	300,000,000	2014/11/21
63038	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 K	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/07/30	300,000,000	2014/11/21
63076	Standard Chartered Bank	HKEEx R Bull CBBC Aug 2015 B	0.250	172.77 per 100 units	177.77 per 100 units	2015/08/03	80,000,000	2014/11/21
63001	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 E	0.250	23,500 per 20,000 units	23,600 per 20,000 units	2015/06/29	400,000,000	2014/11/21
63021	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 F	0.250	23,738 per 10,000 units	23,838 per 10,000 units	2015/06/29	200,000,000	2014/11/21
63036	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 O	0.250	23,888 per 10,000 units	23,988 per 10,000 units	2015/06/29	200,000,000	2014/11/21
63089	UBS AG	HSI R Bull CBBC Jul 2015 R	0.250	23,887 per 10,000 units	24,087 per 10,000 units	2015/07/30	300,000,000	2014/11/21
63056	UBS AG	HSI R Bear CBBC Feb 2015 Z	0.250	24,220 per 12,000 units	24,020 per 12,000 units	2015/02/26	350,000,000	2014/11/21
63120	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 U	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	200,000,000	2014/11/24
63272	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63128	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 N	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63270	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 O	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63130	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 P	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63134	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 T	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63269	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 U	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63119	Credit Suisse AG	HSI R Bull CBBC Apr 2015 B	0.250	23,688 per 10,000 units	23,888 per 10,000 units	2015/04/29	238,000,000	2014/11/24
63220	Credit Suisse AG	HSI R Bull CBBC Apr 2015 F	0.250	23,577 per 10,000 units	23,777 per 10,000 units	2015/04/29	238,000,000	2014/11/24
63115	Credit Suisse AG	HSI R Bull CBBC Apr 2015 Z	0.250	23,850 per 10,000 units	24,050 per 10,000 units	2015/04/29	238,000,000	2014/11/24
63185	Credit Suisse AG	HSI R Bear CBBC Feb 2015 A	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/02/26	238,000,000	2014/11/24
63208	Credit Suisse AG	HSI R Bear CBBC Feb 2015 D	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	238,000,000	2014/11/24
63218	Credit Suisse AG	HSI R Bear CBBC Feb 2015 F	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/02/26	238,000,000	2014/11/24
63219	Credit Suisse AG	HSI R Bear CBBC Feb 2015 G	0.250	23,998 per 12,000 units	23,798 per 12,000 units	2015/02/26	238,000,000	2014/11/24
63180	Credit Suisse AG	HSI R Bear CBBC Feb 2015 Q	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/02/26	238,000,000	2014/11/24
63252	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 I	0.255	24,688 per 20,000 units	24,388 per 20,000 units	2015/03/30	150,000,000	2014/11/24
63258	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 J	0.254	24,488 per 20,000 units	24,188 per 20,000 units	2015/03/30	150,000,000	2014/11/24
63266	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 K	0.256	24,288 per 20,000 units	23,988 per 20,000 units	2015/03/30	150,000,000	2014/11/24
63095	HK Bank	HKEEx R Bull CBBC Sep 2015 E	0.350	153.88 per 100 units	158.88 per 100 units	2015/09/29	60,000,000	2014/11/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63093	HK Bank	PetCh R Bear CBBC Jul 2015 D	0.250	10.38	9.98	2015/07/06	40,000,000	2014/11/24
63097	HK Bank	TCH R Bull CBBC Aug 2015 F	0.250	127.88 per 100 units	129.88 per 100 units	2015/08/03	100,000,000	2014/11/24
63109	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 Q	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/08/28	300,000,000	2014/11/24
63268	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 R	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/08/28	300,000,000	2014/11/24
63107	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 A	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63267	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Q	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63106	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Y	0.250	24,288 per 10,000 units	24,088 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63139	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 Y	0.250	23,880 per 20,000 units	24,080 per 20,000 units	2015/02/26	100,000,000	2014/11/24
63238	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 G	0.250	24,080 per 20,000 units	23,880 per 20,000 units	2015/02/26	100,000,000	2014/11/24
63239	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 J	0.250	24,380 per 15,000 units	24,180 per 15,000 units	2015/02/26	100,000,000	2014/11/24
63152	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 O	0.250	24,238 per 10,000 units	24,138 per 10,000 units	2015/02/26	200,000,000	2014/11/24
63150	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 U	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2015/03/30	200,000,000	2014/11/24
63162	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 N	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2015/04/29	200,000,000	2014/11/24
63114	UBS AG	HSI R Bull CBBC Apr 2015 L	0.250	23,850 per 10,000 units	24,050 per 10,000 units	2015/04/29	300,000,000	2014/11/24
63113	UBS AG	HSI R Bull CBBC May 2015 H	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/05/28	300,000,000	2014/11/24
63222	UBS AG	HSI R Bear CBBC Feb 2015 L	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63232	UBS AG	HSI R Bear CBBC Feb 2015 M	0.250	23,998 per 10,000 units	23,798 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63172	UBS AG	HSI R Bear CBBC Feb 2015 R	0.250	25,750 per 10,000 units	25,550 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63174	UBS AG	HSI R Bear CBBC Feb 2015 V	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/02/26	300,000,000	2014/11/24
63231	UBS AG	HSI R Bear CBBC Mar 2015 I	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/03/30	300,000,000	2014/11/24
63179	UBS AG	HSI R Bear CBBC Mar 2015 O	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2015/03/30	300,000,000	2014/11/24
63175	UBS AG	HSI R Bear CBBC Apr 2015 D	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/04/29	300,000,000	2014/11/24
63382	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 D	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	200,000,000	2014/11/25
63361	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 F	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	200,000,000	2014/11/25
63385	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 S	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	200,000,000	2014/11/25
63388	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 D	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	200,000,000	2014/11/25
63496	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 H	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	200,000,000	2014/11/25
63352	Credit Suisse AG	HSI R Bull CBBC Jun 2015 U	0.250	23,597 per 10,000 units	23,797 per 10,000 units	2015/06/29	238,000,000	2014/11/25
63399	Credit Suisse AG	HSI R Bull CBBC Jun 2015 V	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/06/29	238,000,000	2014/11/25
63353	Credit Suisse AG	HSI R Bull CBBC Jun 2015 X	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/06/29	238,000,000	2014/11/25
63401	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Y	0.250	23,222 per 10,000 units	23,422 per 10,000 units	2015/06/29	238,000,000	2014/11/25
63425	Credit Suisse AG	HSI R Bear CBBC Mar 2015 M	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2015/03/30	238,000,000	2014/11/25
63443	Credit Suisse AG	HSI R Bear CBBC Mar 2015 N	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	238,000,000	2014/11/25
63467	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/03/30	238,000,000	2014/11/25
63464	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Q	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/03/30	238,000,000	2014/11/25
63469	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	23,730 per 10,000 units	23,530 per 10,000 units	2015/03/30	238,000,000	2014/11/25
63468	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Y	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/03/30	238,000,000	2014/11/25
63472	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 L	0.254	24,088 per 20,000 units	23,788 per 20,000 units	2015/03/30	150,000,000	2014/11/25
63303	HK Bank	China Mobile R Bear CBBC Jul 2015 J	0.250	100.88 per 100 units	98.38 per 100 units	2015/07/06	60,000,000	2014/11/25
63284	HK Bank	Cheung Kong R Bull CBBC Oct 2015 A	0.250	125.18 per 100 units	131.18 per 100 units	2015/10/26	60,000,000	2014/11/25
63307	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 E	0.250	8.98	9.18	2015/07/27	200,000,000	2014/11/25
63306	HK Bank	HKEX R Bear CBBC Jul 2015 D	0.250	193.88 per 100 units	188.88 per 100 units	2015/07/06	50,000,000	2014/11/25
63277	HK Bank	HSI R Bull CBBC Aug 2015 J	0.250	23,478 per 20,000 units	23,728 per 20,000 units	2015/08/28	400,000,000	2014/11/25

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63273	HK Bank	HSI R Bear CBBC Jun 2015 D	0.250	24,488 per 15,000 units	24,288 per 15,000 units	2015/06/29	100,000,000	2014/11/25
63276	HK Bank	HSI R Bear CBBC Jun 2015 E	0.250	24,078 per 20,000 units	23,828 per 20,000 units	2015/06/29	400,000,000	2014/11/25
63275	HK Bank	HSI R Bear CBBC Jul 2015 F	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2015/07/30	100,000,000	2014/11/25
63304	HK Bank	TCH R Bull CBBC Jul 2015 L	0.250	119.88 per 100 units	121.88 per 100 units	2015/07/27	100,000,000	2014/11/25
63302	HK Bank	TCH R Bear CBBC Jul 2015 N	0.250	136.88 per 100 units	134.88 per 100 units	2015/07/27	100,000,000	2014/11/25
63412	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 A	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/07/30	300,000,000	2014/11/25
63308	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 S	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/08/28	300,000,000	2014/11/25
63407	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 T	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/08/28	300,000,000	2014/11/25
63482	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 U	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2015/08/28	300,000,000	2014/11/25
63309	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 R	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/02/26	300,000,000	2014/11/25
63314	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 S	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/02/26	300,000,000	2014/11/25
63421	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 U	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	300,000,000	2014/11/25
63489	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 X	0.250	23,730 per 10,000 units	23,530 per 10,000 units	2015/03/30	300,000,000	2014/11/25
63333	Standard Chartered Bank	HKEx R Bear CBBC Mar 2015 D	0.250	193.88 per 100 units	188.88 per 100 units	2015/03/24	80,000,000	2014/11/25
63398	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 A	0.250	23,380 per 15,000 units	23,580 per 15,000 units	2015/02/26	150,000,000	2014/11/25
63334	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 Z	0.250	23,480 per 20,000 units	23,680 per 20,000 units	2015/02/26	150,000,000	2014/11/25
63371	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 C	0.250	24,480 per 20,000 units	24,280 per 20,000 units	2015/02/26	150,000,000	2014/11/25
63368	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 S	0.250	23,880 per 20,000 units	23,680 per 20,000 units	2015/02/26	150,000,000	2014/11/25
63366	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 Y	0.250	23,518 per 10,000 units	23,618 per 10,000 units	2015/07/30	200,000,000	2014/11/25
63342	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 Q	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2015/02/26	200,000,000	2014/11/25
63367	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb15 R	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2015/02/26	200,000,000	2014/11/25
63349	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 O	0.250	24,168 per 10,000 units	24,068 per 10,000 units	2015/04/29	200,000,000	2014/11/25
63327	UBS AG	HSI R Bull CBBC Jun 2015 E	0.250	23,597 per 10,000 units	23,797 per 10,000 units	2015/06/29	300,000,000	2014/11/25
63326	UBS AG	HSI R Bull CBBC Jul 2015 S	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/07/30	300,000,000	2014/11/25
63402	UBS AG	HSI R Bull CBBC Jul 2015 T	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/07/30	300,000,000	2014/11/25
63478	UBS AG	HSI R Bear CBBC Feb 2015 K	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/02/26	300,000,000	2014/11/25
63481	UBS AG	HSI R Bear CBBC Feb 2015 T	0.250	23,730 per 10,000 units	23,530 per 10,000 units	2015/02/26	300,000,000	2014/11/25
63473	UBS AG	HSI R Bear CBBC Mar 2015 P	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/03/30	300,000,000	2014/11/25
63475	UBS AG	HSI R Bear CBBC Mar 2015 Q	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/03/30	300,000,000	2014/11/25
63528	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 C	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	200,000,000	2014/11/26
63661	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 V	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	200,000,000	2014/11/26
63749	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 W	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	200,000,000	2014/11/26
63554	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,329 per 10,000 units	23,529 per 10,000 units	2015/05/28	238,000,000	2014/11/26
63555	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/05/28	238,000,000	2014/11/26
63658	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	23,075 per 10,000 units	23,275 per 10,000 units	2015/05/28	238,000,000	2014/11/26
63712	Credit Suisse AG	HSI R Bear CBBC Feb 2015 O	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/02/26	238,000,000	2014/11/26
63536	Credit Suisse AG	HSI R Bear CBBC Mar 2015 O	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2015/03/30	238,000,000	2014/11/26
63540	Credit Suisse AG	HSI R Bear CBBC Mar 2015 S	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2015/03/30	238,000,000	2014/11/26
63698	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	238,000,000	2014/11/26
63543	Credit Suisse AG	HSI R Bear CBBC Mar 2015 V	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/03/30	238,000,000	2014/11/26
63542	Credit Suisse AG	HSI R Bear CBBC Apr 2015 E	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/04/29	238,000,000	2014/11/26
63678	Credit Suisse AG	HSI R Bear CBBC Apr 2015 S	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/04/29	238,000,000	2014/11/26
63711	Credit Suisse AG	HSI R Bear CBBC Apr 2015 T	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/04/29	238,000,000	2014/11/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63547	Credit Suisse AG	HSI R Bear CBBC Apr 2015 U	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2015/04/29	238,000,000	2014/11/26
63718	Credit Suisse AG	HSI R Bear CBBC Apr 2015 V	0.250	23,574 per 12,000 units	23,374 per 12,000 units	2015/04/29	238,000,000	2014/11/26
63551	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Z	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2015/04/29	238,000,000	2014/11/26
63545	Credit Suisse AG	HSI R Bear CBBC May 2015 G	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2015/05/28	238,000,000	2014/11/26
63549	Credit Suisse AG	HSI R Bear CBBC May 2015 H	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2015/05/28	238,000,000	2014/11/26
63552	Credit Suisse AG	HSI R Bear CBBC Jun 2015 D	0.250	24,500 per 20,000 units	24,200 per 20,000 units	2015/06/29	238,000,000	2014/11/26
63747	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 M	0.251	23,888 per 20,000 units	23,588 per 20,000 units	2015/03/30	150,000,000	2014/11/26
63506	HK Bank	HSCEI R Bear CBBC Jul 2015 B	0.250	10,988 per 10,000 units	10,788 per 10,000 units	2015/07/30	68,000,000	2014/11/26
63500	HK Bank	HSI R Bear CBBC Jun 2015 F	0.250	23,788 per 15,000 units	23,588 per 15,000 units	2015/06/29	100,000,000	2014/11/26
63498	HK Bank	HSI R Bear CBBC Jul 2015 G	0.250	23,988 per 15,000 units	23,788 per 15,000 units	2015/07/30	100,000,000	2014/11/26
63515	HK Bank	HSI R Bear CBBC Jul 2015 H	0.250	24,388 per 15,000 units	24,188 per 15,000 units	2015/07/30	100,000,000	2014/11/26
63517	HK Bank	HSI R Bear CBBC Aug 2015 B	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2015/08/28	100,000,000	2014/11/26
63510	HK Bank	TCH R Bear CBBC Aug 2015 E	0.250	130.88 per 100 units	128.88 per 100 units	2015/08/31	100,000,000	2014/11/26
63509	HK Bank	TCH R Bear CBBC Sep 2015 A	0.250	134.88 per 100 units	132.88 per 100 units	2015/09/07	100,000,000	2014/11/26
63858	J P Morgan SP BV	HKEX R Bull CBBC Sep 2015 A	0.250	145 per 100 units	150 per 100 units	2015/09/15	100,000,000	2014/11/26
63866	J P Morgan SP BV	HKEX R Bear CBBC Mar 2015 A	0.250	185 per 100 units	180 per 100 units	2015/03/18	100,000,000	2014/11/26
63767	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 W	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/07/30	300,000,000	2014/11/26
63519	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 V	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/11/26
63523	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 W	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/08/28	300,000,000	2014/11/26
63777	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 X	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/08/28	300,000,000	2014/11/26
63524	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 P	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/02/26	300,000,000	2014/11/26
63780	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 T	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/02/26	300,000,000	2014/11/26
63819	J P Morgan SP BV	Tencent R Bull CBBC Jun 2015 A	0.250	111.88 per 100 units	113.88 per 100 units	2015/06/15	100,000,000	2014/11/26
63833	J P Morgan SP BV	Tencent R Bear CBBC Apr 2015 A	0.250	137 per 100 units	135 per 100 units	2015/04/27	100,000,000	2014/11/26
63655	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2015 A	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2015/04/29	50,000,000	2014/11/26
63645	Macquarie Bank Ltd.	HSI R Bear CBBC Apr 2015 A	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/04/29	50,000,000	2014/11/26
63730	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 B	0.250	23,180 per 15,000 units	23,380 per 15,000 units	2015/02/26	100,000,000	2014/11/26
63560	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 H	0.250	23,780 per 15,000 units	23,580 per 15,000 units	2015/02/26	100,000,000	2014/11/26
63563	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 O	0.250	23,980 per 15,000 units	23,780 per 15,000 units	2015/02/26	100,000,000	2014/11/26
63731	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 Q	0.250	23,680 per 20,000 units	23,480 per 20,000 units	2015/02/26	120,000,000	2014/11/26
63757	Standard Chartered Bank	HSI R Bear CBBC Feb 2015 T	0.250	23,580 per 15,000 units	23,380 per 15,000 units	2015/02/26	100,000,000	2014/11/26
63568	Standard Chartered Bank	Hutcheon R Bull CBBC Mar 2015 A	0.250	91.88 per 100 units	94.88 per 100 units	2015/03/18	50,000,000	2014/11/26
63574	Standard Chartered Bank	ICBC R Bull CBBC Jul 2015 A	0.250	4.35	4.6	2015/07/30	50,000,000	2014/11/26
63575	Standard Chartered Bank	Ping An R Bull CBBC Nov 2015 A	0.250	44.30 per 100 units	47.80 per 100 units	2015/11/19	50,000,000	2014/11/26
63581	Standard Chartered Bank	Ping An R Bull CBBC Nov 2015 B	0.250	49.30 per 100 units	52.80 per 100 units	2015/11/20	50,000,000	2014/11/26
63594	Standard Chartered Bank	Ping An R Bear CBBC Aug 2015 A	0.250	69.80 per 100 units	65.80 per 100 units	2015/08/19	50,000,000	2014/11/26
63605	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 X	0.250	23,308 per 10,000 units	23,408 per 10,000 units	2015/06/29	200,000,000	2014/11/26
63606	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 V	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2015/03/30	200,000,000	2014/11/26
63611	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 W	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2015/03/30	200,000,000	2014/11/26
63639	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 X	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2015/03/30	200,000,000	2014/11/26
63525	UBS AG	HSI R Bull CBBC May 2015 L	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	300,000,000	2014/11/26
63526	UBS AG	HSI R Bull CBBC May 2015 T	0.250	23,329 per 12,000 units	23,529 per 12,000 units	2015/05/28	350,000,000	2014/11/26
63809	UBS AG	HSI R Bull CBBC Jul 2015 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/07/30	300,000,000	2014/11/26

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63805	UBS AG	HSI R Bull CBBC Aug 2015 A	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2015/08/28	300,000,000	2014/11/26
63803	UBS AG	HSI R Bear CBBC Feb 2015 W	0.250	23,574 per 10,000 units	23,374 per 10,000 units	2015/02/26	300,000,000	2014/11/26
63781	UBS AG	HSI R Bear CBBC Mar 2015 S	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2015/03/30	300,000,000	2014/11/26
63789	UBS AG	HSI R Bear CBBC Mar 2015 T	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/03/30	300,000,000	2014/11/26
63793	UBS AG	HSI R Bear CBBC Mar 2015 W	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	300,000,000	2014/11/26
63931	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 A	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	200,000,000	2014/11/27
63944	Credit Suisse AG	HSI R Bull CBBC Apr 2015 G	0.250	23,188 per 12,000 units	23,388 per 12,000 units	2015/04/29	238,000,000	2014/11/27
63950	Credit Suisse AG	HSI R Bull CBBC Apr 2015 L	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/04/29	238,000,000	2014/11/27
63951	Credit Suisse AG	HSI R Bear CBBC Apr 2015 X	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/04/29	238,000,000	2014/11/27
63962	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Y	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/04/29	238,000,000	2014/11/27
63907	HK Bank	HKEEx R Bear CBBC Jul 2015 E	0.250	179.88 per 100 units	174.88 per 100 units	2015/07/20	50,000,000	2014/11/27
63903	HK Bank	HSCEI R Bear CBBC Jun 2015 A	0.250	10,788 per 10,000 units	10,588 per 10,000 units	2015/06/29	68,000,000	2014/11/27
63895	HK Bank	HSI R Bear CBBC Aug 2015 C	0.250	23,788 per 15,000 units	23,588 per 15,000 units	2015/08/28	100,000,000	2014/11/27
63876	HK Bank	HSI R Bear CBBC Sep 2015 A	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2015/09/29	100,000,000	2014/11/27
63896	HK Bank	HSI R Bear CBBC Sep 2015 B	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2015/09/29	100,000,000	2014/11/27
63912	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 Y	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/08/28	300,000,000	2014/11/27
63999	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 Z	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/08/28	300,000,000	2014/11/27
63915	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 X	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/02/26	300,000,000	2014/11/27
64008	J P Morgan SP BV	HSI R Bear CBBC Feb 2015 Z	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/02/26	300,000,000	2014/11/27
64000	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Y	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2015/03/30	300,000,000	2014/11/27
63938	Standard Chartered Bank	HKEEx R Bull CBBC Mar 2015 B	0.250	157.88 per 100 units	162.88 per 100 units	2015/03/23	80,000,000	2014/11/27
63923	Standard Chartered Bank	HKEEx R Bull CBBC Aug 2015 C	0.400	133.88 per 100 units	138.88 per 100 units	2015/08/03	80,000,000	2014/11/27
63926	Standard Chartered Bank	HKEEx R Bear CBBC Mar 2015 E	0.250	182.77 per 100 units	177.77 per 100 units	2015/03/30	80,000,000	2014/11/27
63927	Standard Chartered Bank	HSI R Bull CBBC Feb 2015 X	0.250	23,080 per 20,000 units	23,280 per 20,000 units	2015/02/26	120,000,000	2014/11/27
63928	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 Z	0.250	23,208 per 10,000 units	23,308 per 10,000 units	2015/07/30	200,000,000	2014/11/27
63930	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 P	0.250	24,100 per 20,000 units	24,000 per 20,000 units	2015/04/29	400,000,000	2014/11/27
63985	UBS AG	HSI R Bull CBBC Apr 2015 M	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2015/04/29	300,000,000	2014/11/27
63984	UBS AG	HSI R Bull CBBC May 2015 U	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2015/05/28	300,000,000	2014/11/27
63960	UBS AG	HSI R Bull CBBC Jun 2015 F	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2015/06/29	300,000,000	2014/11/27
63975	UBS AG	HSI R Bear CBBC Mar 2015 X	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	300,000,000	2014/11/27
63980	UBS AG	HSI R Bear CBBC Mar 2015 Y	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/03/30	300,000,000	2014/11/27
63972	UBS AG	HSI R Bear CBBC Apr 2015 E	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2015/04/29	300,000,000	2014/11/27
64067	Credit Suisse AG	HSI R Bull CBBC Jun 2015 E	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/06/29	238,000,000	2014/11/28
64068	Credit Suisse AG	HSI R Bull CBBC Jun 2015 K	0.250	23,237 per 12,000 units	23,437 per 12,000 units	2015/06/29	238,000,000	2014/11/28
64054	Credit Suisse AG	HSI R Bear CBBC Apr 2015 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/04/29	238,000,000	2014/11/28
64065	Credit Suisse AG	HSI R Bear CBBC Apr 2015 W	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/04/29	238,000,000	2014/11/28
64091	Bank of East Asia	CITIC R Bull CBBC Nov 2015 A	0.250	11	11.6	2015/11/26	40,000,000	2014/11/28
64090	Bank of East Asia	Sinopec Corp R Bull CBBC Jul 2015 A	0.250	5.3	5.6	2015/07/27	80,000,000	2014/11/28
64092	Bank of East Asia	HKEEx R Bull CBBC Aug 2015 A	0.260	141.88 per 100 units	146.88 per 100 units	2015/08/28	40,000,000	2014/11/28
64029	HK Bank	HSI R Bull CBBC Aug 2015 K	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2015/08/28	100,000,000	2014/11/28
64042	HK Bank	TCH R Bull CBBC Aug 2015 G	0.250	121.88 per 100 units	123.88 per 100 units	2015/08/24	100,000,000	2014/11/28
64045	HK Bank	TCH R Bear CBBC Jul 2015 O	0.250	132.88 per 100 units	130.88 per 100 units	2015/07/27	100,000,000	2014/11/28
64087	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 A	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/08/28	300,000,000	2014/11/28

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64088	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Z	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2015/03/30	300,000,000	2014/11/28
64049	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 Q	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2015/04/29	200,000,000	2014/11/28
64086	UBS AG	HSI R Bull CBBC May 2015 V	0.250	23,237 per 12,000 units	23,437 per 12,000 units	2015/05/28	350,000,000	2014/11/28
64069	UBS AG	HSI R Bull CBBC Jul 2015 V	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/07/30	300,000,000	2014/11/28
64047	UBS AG	HSI R Bear CBBC Mar 2015 Z	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/11/28
64050	UBS AG	HSI R Bear CBBC Apr 2015 F	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/04/29	300,000,000	2014/11/28
64215	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 M	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	200,000,000	2014/12/01
64113	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 A	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	200,000,000	2014/12/01
64106	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	200,000,000	2014/12/01
64233	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/05/28	238,000,000	2014/12/01
64245	Credit Suisse AG	HSI R Bull CBBC May 2015 X	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/05/28	238,000,000	2014/12/01
64254	Credit Suisse AG	HSI R Bull CBBC May 2015 Y	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	238,000,000	2014/12/01
64278	Credit Suisse AG	HSI R Bull CBBC May 2015 Z	0.250	23,693 per 10,000 units	23,893 per 10,000 units	2015/05/28	238,000,000	2014/12/01
64123	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,638 per 12,000 units	23,438 per 12,000 units	2015/03/30	238,000,000	2014/12/01
64130	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	23,822 per 10,000 units	23,622 per 10,000 units	2015/03/30	238,000,000	2014/12/01
64134	Credit Suisse AG	HSI R Bear CBBC Apr 2015 F	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/04/29	238,000,000	2014/12/01
64131	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Q	0.250	23,977 per 10,000 units	23,777 per 10,000 units	2015/04/29	238,000,000	2014/12/01
64289	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 D	0.315	23,138 per 20,000 units	23,438 per 20,000 units	2015/03/30	150,000,000	2014/12/01
64300	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 E	0.329	23,338 per 20,000 units	23,638 per 20,000 units	2015/03/30	150,000,000	2014/12/01
64304	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 F	0.331	23,538 per 20,000 units	23,838 per 20,000 units	2015/03/30	150,000,000	2014/12/01
64170	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 Y	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2015/06/29	300,000,000	2014/12/01
64313	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 E	0.250	23,693 per 10,000 units	23,893 per 10,000 units	2015/07/30	300,000,000	2014/12/01
64174	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 B	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2015/08/28	300,000,000	2014/12/01
64095	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 A	0.250	23,638 per 10,000 units	23,438 per 10,000 units	2015/03/30	300,000,000	2014/12/01
64097	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 B	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/03/30	300,000,000	2014/12/01
64105	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 C	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	300,000,000	2014/12/01
64176	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 D	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/03/30	300,000,000	2014/12/01
64196	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 E	0.250	24,688 per 10,000 units	24,488 per 10,000 units	2015/04/29	300,000,000	2014/12/01
64199	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 G	0.250	23,580 per 15,000 units	23,780 per 15,000 units	2015/03/30	100,000,000	2014/12/01
64204	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 H	0.250	23,280 per 20,000 units	23,480 per 20,000 units	2015/03/30	120,000,000	2014/12/01
64281	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 I	0.250	23,680 per 20,000 units	23,880 per 20,000 units	2015/03/30	120,000,000	2014/12/01
64165	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 Y	0.250	23,708 per 10,000 units	23,808 per 10,000 units	2015/06/29	200,000,000	2014/12/01
64144	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 A	0.250	23,608 per 10,000 units	23,708 per 10,000 units	2015/07/30	200,000,000	2014/12/01
64137	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 B	0.250	23,408 per 10,000 units	23,508 per 10,000 units	2015/07/30	200,000,000	2014/12/01
64114	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 R	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2015/04/29	200,000,000	2014/12/01
64217	UBS AG	HSI R Bull CBBC Apr 2015 N	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/04/29	300,000,000	2014/12/01
64231	UBS AG	HSI R Bull CBBC May 2015 A	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/05/28	300,000,000	2014/12/01
64230	UBS AG	HSI R Bull CBBC Jun 2015 I	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	300,000,000	2014/12/01
64280	UBS AG	HSI R Bull CBBC Jul 2015 W	0.250	23,693 per 10,000 units	23,893 per 10,000 units	2015/07/30	300,000,000	2014/12/01
64116	UBS AG	HSI R Bear CBBC Mar 2015 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	300,000,000	2014/12/01
64120	UBS AG	HSI R Bear CBBC Mar 2015 H	0.250	23,638 per 10,000 units	23,438 per 10,000 units	2015/03/30	300,000,000	2014/12/01
64118	UBS AG	HSI R Bear CBBC Apr 2015 G	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/12/01
64610	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF R Bull CBBC Jun15D	0.250	8.9	9.2	2015/06/29	100,000,000	2014/12/02

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64595	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 E	0.250	8.9	9.2	2015/06/29	100,000,000	2014/12/02
64544	Credit Suisse AG	HSI R Bull CBBC Jul 2015 T	0.250	23,660 per 12,000 units	23,860 per 12,000 units	2015/07/30	238,000,000	2014/12/02
64547	Credit Suisse AG	HSI R Bull CBBC Jul 2015 U	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/07/30	238,000,000	2014/12/02
64565	Credit Suisse AG	HSI R Bull CBBC Jul 2015 V	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/07/30	238,000,000	2014/12/02
64573	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	24,045 per 10,000 units	23,845 per 10,000 units	2015/03/30	238,000,000	2014/12/02
64574	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Q	0.250	24,177 per 10,000 units	23,977 per 10,000 units	2015/03/30	238,000,000	2014/12/02
64372	HK Bank	China Life R Bull CBBC Aug 2015 A	0.300	22.18	23.18	2015/08/31	60,000,000	2014/12/02
64373	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 F	0.250	9.13	9.33	2015/07/29	200,000,000	2014/12/02
64374	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2015 G	0.250	9.28	9.48	2015/07/22	200,000,000	2014/12/02
64347	HK Bank	A50 R Bull CBBC Jul 2015 D	0.250	8.98	9.18	2015/07/27	200,000,000	2014/12/02
64350	HK Bank	A50 R Bull CBBC Jul 2015 E	0.250	9.13	9.33	2015/07/20	200,000,000	2014/12/02
64355	HK Bank	HSI R Bull CBBC Jul 2015 N	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/07/30	100,000,000	2014/12/02
64358	HK Bank	HSI R Bull CBBC Jul 2015 O	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2015/07/30	100,000,000	2014/12/02
64371	HK Bank	HSI R Bull CBBC Jul 2015 P	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2015/07/30	100,000,000	2014/12/02
64325	HK Bank	Sands China R Bull CBBC Aug 2015 C	0.250	41.18 per 100 units	43.18 per 100 units	2015/08/31	80,000,000	2014/12/02
64621	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 Z	0.250	23,388 per 10,000 units	23,588 per 10,000 units	2015/06/29	300,000,000	2014/12/02
64611	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 J	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/08/28	300,000,000	2014/12/02
64640	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 F	0.250	24,488 per 10,000 units	24,288 per 10,000 units	2015/03/30	300,000,000	2014/12/02
64628	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 F	0.250	24,188 per 10,000 units	23,988 per 10,000 units	2015/04/29	300,000,000	2014/12/02
64396	Standard Chartered Bank	GCL-Poly Energy R Bull CBBC Aug15A	0.550	1.70 per unit	2 per unit	2015/08/18	20,000,000	2014/12/02
64484	Standard Chartered Bank	GCL-Poly Energy R Bear CBBC May15A	0.600	2.63 per unit	2.33 per unit	2015/05/22	20,000,000	2014/12/02
64376	Standard Chartered Bank	HkEx R Bull CBBC Apr 2015 C	0.250	162.20 per 100 units	167.20 per 100 units	2015/04/17	50,000,000	2014/12/02
64587	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 I	0.250	24,080 per 20,000 units	23,880 per 20,000 units	2015/03/30	120,000,000	2014/12/02
64528	UBS AG	CC Bank R Bull CBBC Sep 2015 A	0.250	4.7	5	2015/09/07	100,000,000	2014/12/02
64488	UBS AG	HSI R Bull CBBC Apr 2015 R	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/12/02
64489	UBS AG	HSI R Bull CBBC Apr 2015 S	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/04/29	300,000,000	2014/12/02
64522	UBS AG	HSI R Bull CBBC Apr 2015 T	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/04/29	300,000,000	2014/12/02
64581	UBS AG	HSI R Bear CBBC Mar 2015 G	0.250	24,044 per 10,000 units	23,844 per 10,000 units	2015/03/30	300,000,000	2014/12/02
64847	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 G	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/06/29	200,000,000	2014/12/03
64844	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 N	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/06/29	200,000,000	2014/12/03
64694	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 X	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	200,000,000	2014/12/03
64735	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 Y	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	200,000,000	2014/12/03
64825	Credit Suisse AG	HSI R Bull CBBC Jun 2015 L	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/06/29	238,000,000	2014/12/03
64835	Credit Suisse AG	HSI R Bull CBBC Jun 2015 M	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/06/29	238,000,000	2014/12/03
64842	Credit Suisse AG	HSI R Bull CBBC Jun 2015 O	0.250	23,911 per 10,000 units	24,111 per 10,000 units	2015/06/29	238,000,000	2014/12/03
64753	Credit Suisse AG	HSI R Bear CBBC Mar 2015 N	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/03/30	238,000,000	2014/12/03
64738	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	24,069 per 12,000 units	23,869 per 12,000 units	2015/03/30	238,000,000	2014/12/03
64744	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Y	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	238,000,000	2014/12/03
64665	HK Bank	HSCEI R Bull CBBC Jul 2015 D	0.250	10,288 per 10,000 units	10,488 per 10,000 units	2015/07/30	100,000,000	2014/12/03
64651	HK Bank	HSI R Bull CBBC Jun 2015 R	0.250	23,588 per 15,000 units	23,788 per 15,000 units	2015/06/29	100,000,000	2014/12/03
64664	HK Bank	HSI R Bull CBBC Jun 2015 S	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2015/06/29	100,000,000	2014/12/03
64889	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 C	0.250	23,911 per 10,000 units	24,111 per 10,000 units	2015/07/30	300,000,000	2014/12/03
64890	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 K	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/08/28	300,000,000	2014/12/03

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64680	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 H	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	300,000,000	2014/12/03
64893	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 J	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/03/30	300,000,000	2014/12/03
64895	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 L	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2015/03/30	300,000,000	2014/12/03
64898	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 G	0.250	24,388 per 10,000 units	24,188 per 10,000 units	2015/04/29	300,000,000	2014/12/03
64863	Standard Chartered Bank	China Life R Bull CBBC May 2015 A	0.500	22.18	23.18	2015/05/18	50,000,000	2014/12/03
64852	Standard Chartered Bank	China Life R Bear CBBC May 2015 B	0.350	29.88	28.88	2015/05/22	50,000,000	2014/12/03
64851	Standard Chartered Bank	CSOP A50 ETF R Bear CBBC Sep 2015A	0.250	11.7	11.4	2015/09/18	40,000,000	2014/12/03
64849	Standard Chartered Bank	A50 China R Bear CBBC Sep 2015 A	0.250	10.8	10.5	2015/09/18	40,000,000	2014/12/03
64870	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 J	0.250	23,780 per 15,000 units	23,980 per 15,000 units	2015/03/30	120,000,000	2014/12/03
64872	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 K	0.250	23,880 per 20,000 units	24,080 per 20,000 units	2015/03/30	120,000,000	2014/12/03
64913	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 W	0.250	23,908 per 10,000 units	24,008 per 10,000 units	2015/06/29	200,000,000	2014/12/03
64914	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 O	0.250	24,008 per 10,000 units	24,108 per 10,000 units	2015/07/30	200,000,000	2014/12/03
64904	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 T	0.250	23,808 per 10,000 units	23,908 per 10,000 units	2015/07/30	200,000,000	2014/12/03
64822	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 Y	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2015/03/30	200,000,000	2014/12/03
64808	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 S	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2015/04/29	200,000,000	2014/12/03
64888	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2015 G	0.250	9.3	9.5	2015/03/23	100,000,000	2014/12/03
64883	UBS AG	A50 China R Bull CBBC Apr 2015 D	0.250	9.3	9.5	2015/04/27	100,000,000	2014/12/03
64881	UBS AG	HSCEI R Bull CBBC Apr 2015 A	0.250	10,300 per 10,000 units	10,500 per 10,000 units	2015/04/29	100,000,000	2014/12/03
64876	UBS AG	HSI R Bull CBBC May 2015 B	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/05/28	300,000,000	2014/12/03
64877	UBS AG	HSI R Bull CBBC Jul 2015 X	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/07/30	300,000,000	2014/12/03
64878	UBS AG	HSI R Bull CBBC Jul 2015 Y	0.250	23,911 per 10,000 units	24,111 per 10,000 units	2015/07/30	300,000,000	2014/12/03
64786	UBS AG	HSI R Bear CBBC Mar 2015 A	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	300,000,000	2014/12/03
64673	UBS AG	HSI R Bear CBBC Apr 2015 H	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/04/29	300,000,000	2014/12/03
64772	UBS AG	HSI R Bear CBBC Apr 2015 I	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/04/29	300,000,000	2014/12/03
64937	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 H	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	200,000,000	2014/12/04
64938	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 E	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/03/30	200,000,000	2014/12/04
64943	Credit Suisse AG	HSI R Bull CBBC Apr 2015 H	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/04/29	238,000,000	2014/12/04
64941	Credit Suisse AG	HSI R Bull CBBC Apr 2015 M	0.250	23,900 per 12,000 units	24,100 per 12,000 units	2015/04/29	238,000,000	2014/12/04
64951	Credit Suisse AG	HSI R Bear CBBC Apr 2015 O	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/04/29	238,000,000	2014/12/04
64952	Credit Suisse AG	HSI R Bear CBBC Apr 2015 R	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/04/29	238,000,000	2014/12/04
64953	Credit Suisse AG	HSI R Bear CBBC Apr 2015 S	0.250	24,196 per 12,000 units	23,996 per 12,000 units	2015/04/29	238,000,000	2014/12/04
64931	HK Bank	COVS R Bull CBBC Sep 2015 A	0.350	19.68	20.68	2015/09/30	50,000,000	2014/12/04
64936	HK Bank	China Life R Bull CBBC Aug 2015 B	0.350	23.68	24.68	2015/08/17	60,000,000	2014/12/04
64915	HK Bank	China Life R Bear CBBC Sep 2015 A	0.650	32.68	31.68	2015/09/30	50,000,000	2014/12/04
64919	HK Bank	China Life R Bear CBBC Oct 2015 A	0.450	30.68	29.68	2015/10/30	50,000,000	2014/12/04
64925	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2015 C	0.250	9.58	9.78	2015/08/04	200,000,000	2014/12/04
64926	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2015 D	0.250	9.43	9.63	2015/08/11	200,000,000	2014/12/04
64929	HK Bank	A50 R Bull CBBC Aug 2015 C	0.250	9.43	9.63	2015/08/04	200,000,000	2014/12/04
64930	HK Bank	A50 R Bull CBBC Aug 2015 D	0.250	9.28	9.48	2015/08/11	200,000,000	2014/12/04
64924	HK Bank	HSI R Bull CBBC Jun 2015 T	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2015/06/29	100,000,000	2014/12/04
64920	HK Bank	HSI R Bull CBBC Jul 2015 Q	0.250	23,888 per 15,000 units	24,088 per 15,000 units	2015/07/30	100,000,000	2014/12/04
64956	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 H	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/08/28	300,000,000	2014/12/04
64959	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 M	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	300,000,000	2014/12/04

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64961	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 H	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2015/04/29	300,000,000	2014/12/04
64946	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 J	0.250	24,380 per 15,000 units	24,180 per 15,000 units	2015/03/30	100,000,000	2014/12/04
64945	UBS AG	China Life R Bull CBBC Jul 2015 C	0.315	23.88	24.88	2015/07/13	50,000,000	2014/12/04
64944	UBS AG	China Life R Bear CBBC May 2015 A	0.490	31.88	30.88	2015/05/18	50,000,000	2014/12/04
64939	UBS AG	HSI R Bull CBBC May 2015 C	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/05/28	300,000,000	2014/12/04
64940	UBS AG	HSI R Bull CBBC Jun 2015 H	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2015/06/29	300,000,000	2014/12/04
64948	UBS AG	HSI R Bear CBBC Mar 2015 N	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	300,000,000	2014/12/04
64949	UBS AG	HSI R Bear CBBC Apr 2015 J	0.250	24,196 per 10,000 units	23,996 per 10,000 units	2015/04/29	300,000,000	2014/12/04
65052	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 F	0.250	9.5	9.8	2015/06/29	100,000,000	2014/12/05
65033	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jul 2015 A	0.250	9.78	9.98	2015/07/30	50,000,000	2014/12/05
65034	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jul 2015 B	0.250	9.38	9.58	2015/07/30	50,000,000	2014/12/05
65040	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jul 2015 C	0.250	8.98	9.18	2015/07/30	50,000,000	2014/12/05
65041	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jul 2015 A	0.250	11.78	11.58	2015/07/30	50,000,000	2014/12/05
65043	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jul 2015 B	0.250	12.18	11.98	2015/07/30	50,000,000	2014/12/05
65021	Credit Suisse AG	A50 China R Bull CBBC Jul 2015 A	0.250	9.69	9.89	2015/07/30	50,000,000	2014/12/05
65022	Credit Suisse AG	A50 China R Bull CBBC Jul 2015 B	0.250	9.09	9.29	2015/07/30	50,000,000	2014/12/05
65023	Credit Suisse AG	A50 China R Bear CBBC Jul 2015 A	0.250	11.4	11.2	2015/07/30	50,000,000	2014/12/05
65025	Credit Suisse AG	A50 China R Bear CBBC Jul 2015 B	0.250	11.9	11.7	2015/07/30	50,000,000	2014/12/05
64995	Credit Suisse AG	HSI R Bull CBBC Apr 2015 I	0.250	23,700 per 12,000 units	23,900 per 12,000 units	2015/04/29	238,000,000	2014/12/05
64992	Credit Suisse AG	HSI R Bull CBBC Apr 2015 N	0.250	23,438 per 12,000 units	23,638 per 12,000 units	2015/04/29	238,000,000	2014/12/05
65047	Credit Suisse AG	HSI R Bear CBBC Apr 2015 T	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/04/29	238,000,000	2014/12/05
64966	HK Bank	CITIC R Bull CBBC Oct 2015 A	0.250	10.88	11.88	2015/10/30	40,000,000	2014/12/05
64964	HK Bank	Sinopec Corp R Bull CBBC Nov 2015 A	0.250	4.73	5.03	2015/11/30	80,000,000	2014/12/05
64981	HK Bank	A50 R Bull CBBC Aug 2015 E	0.250	9.58	9.78	2015/08/06	200,000,000	2014/12/05
64985	HK Bank	HSI R Bull CBBC Aug 2015 L	0.250	23,788 per 15,000 units	23,988 per 15,000 units	2015/08/28	100,000,000	2014/12/05
64965	HK Bank	HSI R Bear CBBC May 2015 Z	0.250	24,388 per 15,000 units	24,188 per 15,000 units	2015/05/28	100,000,000	2014/12/05
64972	HK Bank	Lenovo R Bear CBBC Aug 2015 A	0.250	12.28	11.78	2015/08/31	40,000,000	2014/12/05
64962	HK Bank	PetCh R Bull CBBC Nov 2015 B	0.250	7.28	7.68	2015/11/30	60,000,000	2014/12/05
64969	HK Bank	Ping An R Bull CBBC Oct 2015 B	0.250	55.08 per 100 units	59.08 per 100 units	2015/10/26	60,000,000	2014/12/05
64973	HK Bank	Ping An R Bear CBBC Oct 2015 A	0.250	82.28 per 100 units	78.28 per 100 units	2015/10/27	40,000,000	2014/12/05
65088	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 A	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2015/06/29	300,000,000	2014/12/05
65080	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 N	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/03/30	300,000,000	2014/12/05
65087	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 I	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2015/04/29	300,000,000	2014/12/05
65056	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 Z	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2015/03/30	200,000,000	2014/12/05
65010	UBS AG	AIA R Bear CBBC Apr 2015 A	0.250	54.88 per 100 units	53.88 per 100 units	2015/04/20	100,000,000	2014/12/05
65011	UBS AG	CC Bank R Bear CBBC Jun 2015 A	0.250	7.3	7	2015/06/08	100,000,000	2014/12/05
65006	UBS AG	CSOP A50 ETF R Bear CBBC May 2015 A	0.250	12.3	12	2015/05/11	100,000,000	2014/12/05
65005	UBS AG	A50 China R Bear CBBC May 2015 A	0.250	11.8	11.5	2015/05/18	100,000,000	2014/12/05
65001	UBS AG	HSI R Bull CBBC May 2015 Z	0.250	23,804 per 12,000 units	24,004 per 12,000 units	2015/05/28	350,000,000	2014/12/05
64998	UBS AG	HSI R Bull CBBC Jun 2015 J	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	300,000,000	2014/12/05
65063	UBS AG	HSI R Bear CBBC Mar 2015 Q	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2015/03/30	300,000,000	2014/12/05
65068	UBS AG	HSI R Bear CBBC Apr 2015 K	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/04/29	300,000,000	2014/12/05
65071	UBS AG	HSI R Bear CBBC Apr 2015 L	0.250	24,179 per 12,000 units	23,979 per 12,000 units	2015/04/29	350,000,000	2014/12/05

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65009	UBS AG	ICBC R Bear CBBC May 2015 A	0.250	6.25	6	2015/05/18	100,000,000	2014/12/05
65018	UBS AG	Petrochina R Bull CBBC Oct 2015 B	0.250	7.2	7.6	2015/10/12	100,000,000	2014/12/05
65008	UBS AG	Ping An R Bull CBBC Oct 2015 A	0.250	56 per 100 units	60 per 100 units	2015/10/19	100,000,000	2014/12/05
65163	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 E	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65175	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 L	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65162	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65225	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 P	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65168	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 W	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65217	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 F	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	200,000,000	2014/12/08
65285	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 G	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	200,000,000	2014/12/08
65222	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2015 Z	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	200,000,000	2014/12/08
65136	Credit Suisse AG	HSI R Bull CBBC Apr 2015 J	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/04/29	238,000,000	2014/12/08
65159	Credit Suisse AG	HSI R Bull CBBC Apr 2015 S	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/04/29	238,000,000	2014/12/08
65149	Credit Suisse AG	HSI R Bull CBBC Apr 2015 T	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/04/29	238,000,000	2014/12/08
65246	Credit Suisse AG	HSI R Bull CBBC Apr 2015 U	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/04/29	238,000,000	2014/12/08
65252	Credit Suisse AG	HSI R Bull CBBC Apr 2015 V	0.250	23,178 per 12,000 units	23,378 per 12,000 units	2015/04/29	238,000,000	2014/12/08
65276	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/03/30	238,000,000	2014/12/08
65281	Credit Suisse AG	HSI R Bear CBBC Mar 2015 W	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/03/30	238,000,000	2014/12/08
65283	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Z	0.250	23,568 per 10,000 units	23,368 per 10,000 units	2015/03/30	238,000,000	2014/12/08
65257	Credit Suisse AG	HSI R Bear CBBC Apr 2015 V	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/04/29	238,000,000	2014/12/08
65259	Credit Suisse AG	HSI R Bear CBBC Apr 2015 X	0.250	23,888 per 12,000 units	23,688 per 12,000 units	2015/04/29	238,000,000	2014/12/08
65286	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 N	0.261	24,088 per 20,000 units	23,788 per 20,000 units	2015/03/30	150,000,000	2014/12/08
65287	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 O	0.265	24,288 per 20,000 units	23,988 per 20,000 units	2015/03/30	150,000,000	2014/12/08
65299	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 P	0.262	24,488 per 20,000 units	24,188 per 20,000 units	2015/03/30	150,000,000	2014/12/08
65090	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 C	0.250	23,787 per 10,000 units	23,987 per 10,000 units	2015/06/29	300,000,000	2014/12/08
65327	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 D	0.250	23,088 per 10,000 units	23,288 per 10,000 units	2015/06/29	300,000,000	2014/12/08
65107	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 D	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/07/30	300,000,000	2014/12/08
65111	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 I	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/12/08
65324	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 E	0.250	23,568 per 10,000 units	23,368 per 10,000 units	2015/03/30	300,000,000	2014/12/08
65326	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 G	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	300,000,000	2014/12/08
65325	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 J	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/04/29	300,000,000	2014/12/08
65132	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 B	0.250	23,708 per 10,000 units	23,808 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65118	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 Z	0.250	23,508 per 10,000 units	23,608 per 10,000 units	2015/06/29	200,000,000	2014/12/08
65113	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 S	0.250	23,408 per 10,000 units	23,508 per 10,000 units	2015/07/30	200,000,000	2014/12/08
65125	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 W	0.250	23,608 per 10,000 units	23,708 per 10,000 units	2015/07/30	200,000,000	2014/12/08
65242	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 D	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2015/03/30	200,000,000	2014/12/08
65228	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 F	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2015/03/30	200,000,000	2014/12/08
65303	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 G	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2015/03/30	200,000,000	2014/12/08
65241	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 T	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2015/04/29	200,000,000	2014/12/08
65304	UBS AG	CNOOC R Bull CBBC Oct 2015 D	0.250	9.2	10	2015/10/19	100,000,000	2014/12/08
65211	UBS AG	HSI R Bull CBBC Apr 2015 U	0.250	23,787 per 12,000 units	23,987 per 12,000 units	2015/04/29	350,000,000	2014/12/08
65243	UBS AG	HSI R Bull CBBC May 2015 D	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/05/28	300,000,000	2014/12/08
65185	UBS AG	HSI R Bull CBBC Jun 2015 L	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/06/29	300,000,000	2014/12/08

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65199	UBS AG	HSI R Bull CBBC Jun 2015 M	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/06/29	300,000,000	2014/12/08
65176	UBS AG	HSI R Bull CBBC Aug 2015 B	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/12/08
65307	UBS AG	HSI R Bear CBBC Mar 2015 I	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	300,000,000	2014/12/08
65320	UBS AG	HSI R Bear CBBC Mar 2015 P	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	300,000,000	2014/12/08
65321	UBS AG	HSI R Bear CBBC Mar 2015 T	0.250	23,568 per 10,000 units	23,368 per 10,000 units	2015/03/30	300,000,000	2014/12/08
65319	UBS AG	HSI R Bear CBBC Apr 2015 M	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/04/29	300,000,000	2014/12/08
65452	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2015 Q	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	200,000,000	2014/12/09
65450	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 A	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/04/29	200,000,000	2014/12/09
65458	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jun 2015 A	0.250	10.16	10.46	2015/06/30	50,000,000	2014/12/09
65459	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jun 2015 A	0.250	12.66	12.36	2015/06/30	50,000,000	2014/12/09
65460	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jun 2015 B	0.260	13.18	12.88	2015/06/30	50,000,000	2014/12/09
65462	Credit Suisse AG	A50 China R Bull CBBC Jun 2015 A	0.250	10.06	10.36	2015/06/30	50,000,000	2014/12/09
65464	Credit Suisse AG	A50 China R Bear CBBC Jun 2015 A	0.250	12.42	12.12	2015/06/30	50,000,000	2014/12/09
65467	Credit Suisse AG	A50 China R Bear CBBC Jun 2015 B	0.260	13.08	12.78	2015/06/30	50,000,000	2014/12/09
65536	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,453 per 12,000 units	23,653 per 12,000 units	2015/05/28	238,000,000	2014/12/09
65528	Credit Suisse AG	HSI R Bull CBBC May 2015 T	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/05/28	238,000,000	2014/12/09
65533	Credit Suisse AG	HSI R Bull CBBC May 2015 V	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/05/28	238,000,000	2014/12/09
65442	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	238,000,000	2014/12/09
65444	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	238,000,000	2014/12/09
65446	Credit Suisse AG	HSI R Bear CBBC Apr 2015 P	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/04/29	238,000,000	2014/12/09
65430	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Y	0.250	23,588 per 12,000 units	23,388 per 12,000 units	2015/04/29	238,000,000	2014/12/09
65457	Credit Suisse AG	HSI R Bear CBBC May 2015 I	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2015/05/28	238,000,000	2014/12/09
65540	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 G	0.263	23,138 per 20,000 units	23,438 per 20,000 units	2015/03/30	150,000,000	2014/12/09
65547	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 H	0.261	23,338 per 20,000 units	23,638 per 20,000 units	2015/03/30	150,000,000	2014/12/09
65380	HK Bank	BOCL R Bull CBBC Aug 2015 C	0.250	3.53	3.68	2015/08/17	80,000,000	2014/12/09
65351	HK Bank	CC Bank R Bull CBBC Aug 2015 E	0.250	5.18	5.48	2015/08/17	80,000,000	2014/12/09
65340	HK Bank	Minsheng Bank R Bull CBBC Nov 2015 A	0.250	7.18	7.68	2015/11/30	40,000,000	2014/12/09
65336	HK Bank	Minsheng Bank R Bear CBBC May 2015 C	0.250	9.43	8.93	2015/05/13	40,000,000	2014/12/09
65345	HK Bank	Minsheng Bank R Bear CBBC Aug 2015 A	0.250	10.13	9.63	2015/08/05	40,000,000	2014/12/09
65390	HK Bank	CNOOC R Bull CBBC Oct 2015 F	0.250	8.28	9.08	2015/10/05	60,000,000	2014/12/09
65385	HK Bank	Sinopec Corp R Bull CBBC Nov 2015 B	0.250	4.73	5.03	2015/11/30	80,000,000	2014/12/09
65329	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2015 E	0.250	9.98	10.18	2015/08/18	200,000,000	2014/12/09
65348	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2015 F	0.250	9.78	9.98	2015/08/28	200,000,000	2014/12/09
65347	HK Bank	CSOP A50 ETF R Bear CBBC Aug 2015 A	0.250	12.38	12.18	2015/08/31	40,000,000	2014/12/09
65328	HK Bank	A50 R Bull CBBC Aug 2015 F	0.250	9.78	9.98	2015/08/28	200,000,000	2014/12/09
65330	HK Bank	A50 R Bull CBBC Aug 2015 G	0.250	9.98	10.18	2015/08/18	200,000,000	2014/12/09
65335	HK Bank	A50 R Bear CBBC Nov 2015 B	0.250	11.88	11.68	2015/11/13	50,000,000	2014/12/09
65346	HK Bank	A50 R Bear CBBC Nov 2015 C	0.250	11.38	11.18	2015/11/09	50,000,000	2014/12/09
65388	HK Bank	HSI R Bear CBBC May 2015 C	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2015/05/28	100,000,000	2014/12/09
65391	HK Bank	HSI R Bear CBBC May 2015 P	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2015/05/28	100,000,000	2014/12/09
65377	HK Bank	ICBC R Bull CBBC Aug 2015 D	0.250	4.73	4.93	2015/08/17	80,000,000	2014/12/09
65382	HK Bank	PetCh R Bull CBBC Dec 2015 A	0.250	6.18	6.58	2015/12/21	60,000,000	2014/12/09
65364	HK Bank	TCH R Bear CBBC Aug 2015 F	0.250	128.88 per 100 units	126.88 per 100 units	2015/08/10	100,000,000	2014/12/09

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65568	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 H	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/07/30	300,000,000	2014/12/09
65572	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 L	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/08/28	300,000,000	2014/12/09
65402	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 I	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	300,000,000	2014/12/09
65407	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 K	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	300,000,000	2014/12/09
65574	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 K	0.250	24,288 per 10,000 units	24,088 per 10,000 units	2015/04/29	300,000,000	2014/12/09
65409	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 K	0.250	23,680 per 20,000 units	23,480 per 20,000 units	2015/03/30	120,000,000	2014/12/09
65414	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 L	0.250	23,880 per 20,000 units	23,680 per 20,000 units	2015/03/30	120,000,000	2014/12/09
65418	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 M	0.250	24,180 per 15,000 units	23,980 per 15,000 units	2015/03/30	100,000,000	2014/12/09
65470	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Aug15A	0.250	9.9	10.1	2015/08/28	100,000,000	2014/12/09
65473	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Aug15B	0.250	10.5	10.7	2015/08/28	100,000,000	2014/12/09
65469	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Sep15A	0.250	9.6	9.8	2015/09/29	100,000,000	2014/12/09
65471	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Sep15B	0.250	10.2	10.4	2015/09/29	100,000,000	2014/12/09
65478	SGA Societe Generale Acceptance N.V.	CSA50 R Bear CBBC Jul15A	0.250	11.68	11.48	2015/07/30	100,000,000	2014/12/09
65491	SGA Societe Generale Acceptance N.V.	CSA50 R Bear CBBC Jul15B	0.250	12.48	12.28	2015/07/30	100,000,000	2014/12/09
65489	SGA Societe Generale Acceptance N.V.	CSA50 R Bear CBBC Aug15A	0.250	12.08	11.88	2015/08/28	100,000,000	2014/12/09
65513	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug15 A	0.250	9.8	10	2015/08/28	100,000,000	2014/12/09
65523	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug15 B	0.250	10.4	10.6	2015/08/28	100,000,000	2014/12/09
65492	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Sep15 A	0.250	9.5	9.7	2015/09/29	100,000,000	2014/12/09
65520	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Sep15 B	0.250	10.1	10.3	2015/09/29	100,000,000	2014/12/09
65525	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jul15A	0.250	11.36	11.16	2015/07/30	100,000,000	2014/12/09
65527	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jul15B	0.250	12.36	12.16	2015/07/30	100,000,000	2014/12/09
65526	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Aug15A	0.250	11.86	11.66	2015/08/28	100,000,000	2014/12/09
65454	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 A	0.250	23,308 per 10,000 units	23,408 per 10,000 units	2015/06/29	200,000,000	2014/12/09
65455	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 V	0.250	23,208 per 10,000 units	23,308 per 10,000 units	2015/07/30	200,000,000	2014/12/09
65392	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 U	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2015/04/29	200,000,000	2014/12/09
65560	UBS AG	HSI R Bull CBBC Apr 2015 V	0.250	23,454 per 12,000 units	23,654 per 12,000 units	2015/04/29	350,000,000	2014/12/09
65549	UBS AG	HSI R Bull CBBC Jun 2015 N	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/06/29	300,000,000	2014/12/09
65559	UBS AG	HSI R Bull CBBC Jul 2015 A	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2015/07/30	300,000,000	2014/12/09
65558	UBS AG	HSI R Bull CBBC Jul 2015 Z	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/07/30	300,000,000	2014/12/09
65420	UBS AG	HSI R Bear CBBC Mar 2015 W	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	300,000,000	2014/12/09
65429	UBS AG	HSI R Bear CBBC Mar 2015 X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/12/09
65423	UBS AG	HSI R Bear CBBC Apr 2015 N	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/04/29	300,000,000	2014/12/09
65728	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 G	0.250	10.2	10.5	2015/06/29	100,000,000	2014/12/10
65727	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Jun 2015 B	0.250	10,300 per 5,000 units	10,500 per 5,000 units	2015/06/29	100,000,000	2014/12/10
65684	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	23,425 per 10,000 units	23,625 per 10,000 units	2015/05/28	238,000,000	2014/12/10
65685	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/05/28	238,000,000	2014/12/10
65688	Credit Suisse AG	HSI R Bull CBBC May 2015 X	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/05/28	238,000,000	2014/12/10
65704	Credit Suisse AG	HSI R Bull CBBC Aug 2015 A	0.250	22,933 per 20,000 units	23,333 per 20,000 units	2015/08/28	238,000,000	2014/12/10
65740	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Q	0.250	23,659 per 12,000 units	23,429 per 12,000 units	2015/04/29	238,000,000	2014/12/10
65737	Credit Suisse AG	HSI R Bear CBBC Apr 2015 W	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/04/29	238,000,000	2014/12/10
65719	Credit Suisse AG	HSI R Bear CBBC May 2015 J	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/05/28	238,000,000	2014/12/10
65724	Credit Suisse AG	HSI R Bear CBBC May 2015 K	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/05/28	238,000,000	2014/12/10
65706	Credit Suisse AG	HSI R Bear CBBC Aug 2015 A	0.250	24,622 per 20,000 units	24,222 per 20,000 units	2015/08/28	238,000,000	2014/12/10

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65718	Credit Suisse AG	HSI R Bear CBBC Aug 2015 B	0.250	24,366 per 20,000 units	23,966 per 20,000 units	2015/08/28	238,000,000	2014/12/10
65612	HK Bank	China Life R Bull CBBC Oct 2015 A	0.250	24.88	25.88	2015/10/26	60,000,000	2014/12/10
65603	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 A	0.250	10.18	10.38	2015/12/22	200,000,000	2014/12/10
65604	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 B	0.250	10.38	10.58	2015/12/18	200,000,000	2014/12/10
65605	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 C	0.250	10.58	10.78	2015/12/16	200,000,000	2014/12/10
65590	HK Bank	A50 R Bull CBBC Sep 2015 A	0.250	10.18	10.38	2015/09/25	200,000,000	2014/12/10
65593	HK Bank	A50 R Bull CBBC Sep 2015 B	0.250	10.38	10.58	2015/09/21	200,000,000	2014/12/10
65594	HK Bank	A50 R Bull CBBC Sep 2015 C	0.250	10.58	10.78	2015/09/14	200,000,000	2014/12/10
65595	HK Bank	A50 R Bear CBBC Nov 2015 D	0.250	12.38	12.18	2015/11/02	50,000,000	2014/12/10
65606	HK Bank	Galaxy Ent R Bear CBBC Jul 2015 G	0.250	56.77 per 100 units	54.77 per 100 units	2015/07/27	60,000,000	2014/12/10
65602	HK Bank	HSCEI R Bull CBBC Jul 2015 E	0.250	10,488 per 10,000 units	10,688 per 10,000 units	2015/07/30	100,000,000	2014/12/10
65598	HK Bank	HSI R Bull CBBC Jul 2015 R	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2015/07/30	100,000,000	2014/12/10
65599	HK Bank	HSI R Bear CBBC May 2015 Q	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2015/05/28	100,000,000	2014/12/10
65607	HK Bank	Ping An R Bull CBBC Nov 2015 A	0.250	59.88 per 100 units	63.88 per 100 units	2015/11/09	60,000,000	2014/12/10
65609	HK Bank	Ping An R Bear CBBC Nov 2015 A	0.250	82.88 per 100 units	78.88 per 100 units	2015/11/09	40,000,000	2014/12/10
65610	HK Bank	Ping An R Bear CBBC Nov 2015 B	0.250	88.88 per 100 units	84.88 per 100 units	2015/11/30	40,000,000	2014/12/10
65699	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 M	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2015/07/30	300,000,000	2014/12/10
65701	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 M	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/08/28	300,000,000	2014/12/10
65638	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 O	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	300,000,000	2014/12/10
65639	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 L	0.250	23,888 per 10,000 units	23,688 per 10,000 units	2015/04/29	300,000,000	2014/12/10
65744	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 M	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/04/29	300,000,000	2014/12/10
65645	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC Sep 2015A	0.250	10	10.3	2015/09/29	50,000,000	2014/12/10
65662	Standard Chartered Bank	CSOP A50 ETF R Bear CBBC Sep 2015B	0.250	12.6	12.3	2015/09/21	50,000,000	2014/12/10
65655	Standard Chartered Bank	A50 China R Bull CBBC Sep 2015 A	0.250	9.5	9.8	2015/09/29	50,000,000	2014/12/10
65666	Standard Chartered Bank	A50 China R Bear CBBC Sep 2015 B	0.250	12.1	11.8	2015/09/21	50,000,000	2014/12/10
65676	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 L	0.250	23,280 per 20,000 units	23,480 per 20,000 units	2015/03/30	120,000,000	2014/12/10
65695	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 V	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2015/04/29	200,000,000	2014/12/10
65681	UBS AG	HSI R Bull CBBC May 2015 E	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/05/28	300,000,000	2014/12/10
65678	UBS AG	HSI R Bull CBBC May 2015 K	0.250	23,022 per 12,000 units	23,222 per 12,000 units	2015/05/28	350,000,000	2014/12/10
65679	UBS AG	HSI R Bull CBBC Jul 2015 B	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/07/30	300,000,000	2014/12/10
65781	UBS AG	HSI R Bear CBBC Mar 2015 Y	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	300,000,000	2014/12/10
65771	UBS AG	HSI R Bear CBBC Apr 2015 O	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2015/04/29	300,000,000	2014/12/10
65760	UBS AG	HSI R Bear CBBC May 2015 D	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/05/28	300,000,000	2014/12/10
65782	UBS AG	HSI R Bear CBBC Jun 2015 B	0.250	23,629 per 10,000 units	23,429 per 10,000 units	2015/06/29	300,000,000	2014/12/10
65858	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/04/29	200,000,000	2014/12/11
65861	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/04/29	200,000,000	2014/12/11
65928	Credit Suisse AG	HSI R Bull CBBC Jun 2015 N	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/06/29	238,000,000	2014/12/11
65921	Credit Suisse AG	HSI R Bull CBBC Jun 2015 P	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/06/29	238,000,000	2014/12/11
65929	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Q	0.250	23,602 per 12,000 units	23,832 per 12,000 units	2015/06/29	238,000,000	2014/12/11
65835	Credit Suisse AG	HSI R Bear CBBC Mar 2015 P	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/03/30	238,000,000	2014/12/11
65838	Credit Suisse AG	HSI R Bear CBBC Apr 2015 F	0.250	23,633 per 10,000 units	23,433 per 10,000 units	2015/04/29	238,000,000	2014/12/11
65837	Credit Suisse AG	HSI R Bear CBBC May 2015 L	0.250	23,866 per 10,000 units	23,666 per 10,000 units	2015/05/28	238,000,000	2014/12/11
65844	Bank of East Asia	A50 China R Bull CBBC Jan 2016 A	0.250	9.78	9.99	2016/01/28	80,000,000	2014/12/11

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65848	Bank of East Asia	Ping An R Bull CBBC Nov 2015 A	0.250	58.88 per 100 units	61.88 per 100 units	2015/11/25	80,000,000	2014/12/11
65849	Bank of East Asia	Ping An R Bear CBBC Aug 2015 A	0.250	84.38 per 100 units	80.88 per 100 units	2015/08/13	80,000,000	2014/12/11
65820	HK Bank	CM Bank R Bull CBBC Sep 2015 A	0.250	14.08	14.68	2015/09/30	40,000,000	2014/12/11
65822	HK Bank	CNOOC R Bull CBBC Oct 2015 G	0.290	7.68	8.48	2015/10/19	60,000,000	2014/12/11
65794	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 D	0.250	10.78	10.98	2015/12/21	200,000,000	2014/12/11
65800	HK Bank	CSOP A50 ETF R Bear CBBC Aug 2015 B	0.250	12.88	12.68	2015/08/24	40,000,000	2014/12/11
65827	HK Bank	PetCh R Bull CBBC Oct 2015 B	0.250	5.63	6.03	2015/10/26	60,000,000	2014/12/11
65814	HK Bank	Sands China R Bear CBBC Oct 2015 B	0.250	48.78 per 100 units	46.78 per 100 units	2015/10/26	60,000,000	2014/12/11
65812	HK Bank	TCH R Bear CBBC Aug 2015 G	0.250	126.88 per 100 units	124.88 per 100 units	2015/08/20	100,000,000	2014/12/11
65968	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 T	0.250	23,388 per 10,000 units	23,588 per 10,000 units	2015/07/30	300,000,000	2014/12/11
65963	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 N	0.250	23,632 per 10,000 units	23,832 per 10,000 units	2015/08/28	300,000,000	2014/12/11
65828	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 N	0.250	23,788 per 10,000 units	23,588 per 10,000 units	2015/04/29	300,000,000	2014/12/11
65866	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 O	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2015/04/29	300,000,000	2014/12/11
65868	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 P	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2015/04/29	300,000,000	2014/12/11
65975	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 M	0.250	23,380 per 15,000 units	23,580 per 15,000 units	2015/03/30	100,000,000	2014/12/11
65976	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 N	0.250	23,580 per 15,000 units	23,780 per 15,000 units	2015/03/30	100,000,000	2014/12/11
65850	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 N	0.250	24,280 per 20,000 units	24,080 per 20,000 units	2015/03/30	120,000,000	2014/12/11
65854	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 O	0.250	23,980 per 15,000 units	23,780 per 15,000 units	2015/03/30	100,000,000	2014/12/11
65893	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Aug15C	0.250	11.1	11.3	2015/08/28	100,000,000	2014/12/11
65891	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Sep15C	0.250	10.8	11	2015/09/29	100,000,000	2014/12/11
65899	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Jul15C	0.250	13.28	13.08	2015/07/30	100,000,000	2014/12/11
65898	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Aug15B	0.250	12.88	12.68	2015/08/28	100,000,000	2014/12/11
65912	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug15 C	0.250	11	11.2	2015/08/28	100,000,000	2014/12/11
65909	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Sep15 C	0.250	10.7	10.9	2015/09/29	100,000,000	2014/12/11
65918	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jul15C	0.250	13.36	13.16	2015/07/30	100,000,000	2014/12/11
65917	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Aug15B	0.250	12.86	12.66	2015/08/28	100,000,000	2014/12/11
65919	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Aug15C	0.265	13.86	13.66	2015/08/28	100,000,000	2014/12/11
65887	UBS AG	Minsheng Bank R Bull CBBC Aug 2015 A	0.250	7.5	8	2015/08/03	100,000,000	2014/12/11
65878	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2015 B	0.250	10.8	11	2015/06/15	100,000,000	2014/12/11
65869	UBS AG	A50 China R Bull CBBC Jun 2015 A	0.250	10.3	10.5	2015/06/29	100,000,000	2014/12/11
65930	UBS AG	HSCEI R Bull CBBC Jul 2015 A	0.250	10,800 per 10,000 units	11,000 per 10,000 units	2015/07/30	100,000,000	2014/12/11
65936	UBS AG	HSI R Bull CBBC Jun 2015 O	0.250	23,632 per 12,000 units	23,832 per 12,000 units	2015/06/29	350,000,000	2014/12/11
65931	UBS AG	HSI R Bull CBBC Jul 2015 D	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/07/30	300,000,000	2014/12/11
65829	UBS AG	HSI R Bear CBBC Mar 2015 Z	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	300,000,000	2014/12/11
65831	UBS AG	HSI R Bear CBBC Apr 2015 P	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/04/29	300,000,000	2014/12/11
65977	UBS AG	HSI R Bear CBBC Jun 2015 C	0.250	24,688 per 12,000 units	24,488 per 12,000 units	2015/06/29	350,000,000	2014/12/11
65978	UBS AG	HSI R Bear CBBC Jun 2015 D	0.250	24,533 per 12,000 units	24,333 per 12,000 units	2015/06/29	350,000,000	2014/12/11
65888	UBS AG	ICBC R Bull CBBC Aug 2015 B	0.250	4.8	5	2015/08/17	100,000,000	2014/12/11
65881	UBS AG	Ping An R Bear CBBC May 2015 B	0.250	94 per 100 units	90 per 100 units	2015/05/18	100,000,000	2014/12/11
65890	UBS AG	Tencent R Bear CBBC Apr 2015 E	0.250	133 per 100 units	130 per 100 units	2015/04/13	100,000,000	2014/12/11
66034	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 A	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2015/12/30	200,000,000	2014/12/12
66053	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 B	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/12/30	200,000,000	2014/12/12
66055	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 C	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/12/30	200,000,000	2014/12/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66029	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 D	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/04/29	200,000,000	2014/12/12
66030	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 E	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/04/29	200,000,000	2014/12/12
66074	Credit Suisse AG	HSI R Bull CBBC Jul 2015 W	0.250	23,777 per 12,000 units	24,007 per 12,000 units	2015/07/30	238,000,000	2014/12/12
66083	Credit Suisse AG	HSI R Bull CBBC Jul 2015 X	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/07/30	238,000,000	2014/12/12
66086	Credit Suisse AG	HSI R Bull CBBC Jul 2015 Y	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/07/30	238,000,000	2014/12/12
66024	Credit Suisse AG	HSI R Bear CBBC Mar 2015 N	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/03/30	238,000,000	2014/12/12
66026	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	238,000,000	2014/12/12
66023	Credit Suisse AG	HSI R Bear CBBC Mar 2015 U	0.250	24,063 per 12,000 units	23,833 per 12,000 units	2015/03/30	238,000,000	2014/12/12
66027	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Y	0.250	24,477 per 10,000 units	24,277 per 10,000 units	2015/03/30	238,000,000	2014/12/12
66109	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 I	0.264	23,538 per 20,000 units	23,838 per 20,000 units	2015/03/30	150,000,000	2014/12/12
66112	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 T	0.285	25,188 per 20,000 units	24,888 per 20,000 units	2015/03/30	150,000,000	2014/12/12
66114	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 U	0.343	25,488 per 20,000 units	25,188 per 20,000 units	2015/03/30	150,000,000	2014/12/12
66013	HK Bank	Minsheng Bank R Bull CBBC Nov 2015 B	0.250	7.78	8.28	2015/11/09	40,000,000	2014/12/12
66010	HK Bank	CNOOC R Bear CBBC Jul 2015 C	0.250	12.48	11.68	2015/07/13	40,000,000	2014/12/12
65987	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 E	0.250	10.98	11.18	2015/12/23	200,000,000	2014/12/12
65994	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 F	0.250	11.18	11.38	2015/12/17	200,000,000	2014/12/12
65995	HK Bank	A50 R Bull CBBC Dec 2015 A	0.250	10.78	10.98	2015/12/23	200,000,000	2014/12/12
65999	HK Bank	A50 R Bull CBBC Dec 2015 B	0.250	10.98	11.18	2015/12/22	200,000,000	2014/12/12
66000	HK Bank	Galaxy Ent R Bear CBBC Oct 2015 B	0.250	53.77 per 100 units	51.77 per 100 units	2015/10/12	60,000,000	2014/12/12
65981	HK Bank	HSCEI R Bull CBBC Jul 2015 F	0.250	10,888 per 10,000 units	11,088 per 10,000 units	2015/07/30	100,000,000	2014/12/12
66009	HK Bank	HSCEI R Bull CBBC Aug 2015 B	0.250	10,688 per 10,000 units	10,888 per 10,000 units	2015/08/28	100,000,000	2014/12/12
65984	HK Bank	HSCEI R Bear CBBC Oct 2015 A	0.250	12,588 per 10,000 units	12,388 per 10,000 units	2015/10/29	68,000,000	2014/12/12
65986	HK Bank	HSCEI R Bear CBBC Nov 2015 A	0.250	13,588 per 10,000 units	13,388 per 10,000 units	2015/11/27	68,000,000	2014/12/12
66012	HK Bank	Ping An R Bull CBBC Oct 2015 C	0.250	63.88 per 100 units	67.88 per 100 units	2015/10/19	60,000,000	2014/12/12
66002	HK Bank	TCH R Bear CBBC Aug 2015 H	0.250	124.88 per 100 units	122.88 per 100 units	2015/08/17	100,000,000	2014/12/12
66108	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 J	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/07/30	300,000,000	2014/12/12
66106	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 O	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2015/08/28	300,000,000	2014/12/12
66014	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 P	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	300,000,000	2014/12/12
66015	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 Q	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2015/04/29	300,000,000	2014/12/12
66056	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 O	0.250	23,780 per 15,000 units	23,980 per 15,000 units	2015/03/30	100,000,000	2014/12/12
66058	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 P	0.250	23,480 per 20,000 units	23,680 per 20,000 units	2015/03/30	120,000,000	2014/12/12
66049	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Aug15C	0.250	13.68	13.48	2015/08/28	100,000,000	2014/12/12
66052	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 R	0.250	23,808 per 10,000 units	23,908 per 10,000 units	2015/07/30	200,000,000	2014/12/12
66019	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 H	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2015/03/30	200,000,000	2014/12/12
66022	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 W	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2015/04/29	200,000,000	2014/12/12
66087	UBS AG	CSOP A50 ETF R Bull CBBC Jul 2015 A	0.250	10.3	10.5	2015/07/06	100,000,000	2014/12/12
66093	UBS AG	A50 China R Bull CBBC Jun 2015 B	0.250	9.8	10	2015/06/08	100,000,000	2014/12/12
66060	UBS AG	HSI R Bull CBBC May 2015 G	0.250	23,807 per 12,000 units	24,007 per 12,000 units	2015/05/28	350,000,000	2014/12/12
66059	UBS AG	HSI R Bull CBBC Jun 2015 P	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/06/29	300,000,000	2014/12/12
66042	UBS AG	HSI R Bear CBBC Mar 2015 H	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2015/03/30	300,000,000	2014/12/12
66037	UBS AG	HSI R Bear CBBC Apr 2015 Q	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/04/29	300,000,000	2014/12/12
66044	UBS AG	HSI R Bear CBBC May 2015 E	0.250	24,033 per 12,000 units	23,833 per 12,000 units	2015/05/28	350,000,000	2014/12/12
66066	UBS AG	HSI R Bear CBBC May 2015 F	0.250	26,200 per 10,000 units	26,000 per 10,000 units	2015/05/28	300,000,000	2014/12/12

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66069	UBS AG	HSI R Bear CBBC Jun 2015 E	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2015/06/29	300,000,000	2014/12/12
66073	UBS AG	HSI R Bear CBBC Jun 2015 F	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2015/06/29	300,000,000	2014/12/12
64969*	HK Bank	Ping An R Bull CBBC Oct 2015 B	0.250	55.08 per 100 units	59.08 per 100 units	2015/10/26	120,000,000	2014/12/12
66178	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF R Bull CBBC Jun15E	0.250	11.5	11.8	2015/06/29	100,000,000	2014/12/15
66177	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2015 H	0.250	11.2	11.5	2015/06/29	100,000,000	2014/12/15
66195	Credit Suisse AG	HSI R Bull CBBC Jun 2015 H	0.250	23,857 per 12,000 units	24,087 per 12,000 units	2015/06/29	238,000,000	2014/12/15
66190	Credit Suisse AG	HSI R Bull CBBC Jun 2015 I	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/06/29	238,000,000	2014/12/15
66186	Credit Suisse AG	HSI R Bull CBBC Jun 2015 R	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/06/29	238,000,000	2014/12/15
66152	Credit Suisse AG	HSI R Bear CBBC Mar 2015 T	0.250	24,203 per 10,000 units	24,003 per 10,000 units	2015/03/30	238,000,000	2014/12/15
66153	Credit Suisse AG	HSI R Bear CBBC Mar 2015 W	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/03/30	238,000,000	2014/12/15
66164	Bank of East Asia	CNOOC R Bull CBBC Jul 2015 A	0.250	8.8	9.6	2015/07/31	80,000,000	2014/12/15
66213	Bank of East Asia	Galaxy Ent R Bear CBBC Dec 2015 A	0.250	59 per 100 units	56 per 100 units	2015/12/02	100,000,000	2014/12/15
66128	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2016 A	0.250	11.38	11.58	2016/01/18	200,000,000	2014/12/15
66145	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2016 B	0.250	11.58	11.78	2016/01/11	200,000,000	2014/12/15
66122	HK Bank	CSOP A50 ETF R Bear CBBC Sep 2015 A	0.250	13.38	13.18	2015/09/07	40,000,000	2014/12/15
66120	HK Bank	A50 R Bull CBBC Jan 2016 A	0.250	11.38	11.58	2016/01/11	200,000,000	2014/12/15
66147	HK Bank	A50 R Bull CBBC Jan 2016 B	0.250	11.18	11.38	2016/01/18	200,000,000	2014/12/15
66123	HK Bank	HSCEI R Bull CBBC Jul 2015 G	0.250	11,088 per 10,000 units	11,288 per 10,000 units	2015/07/30	100,000,000	2014/12/15
66124	HK Bank	HSCEI R Bear CBBC May 2015 G	0.250	12,988 per 10,000 units	12,788 per 10,000 units	2015/05/28	68,000,000	2014/12/15
66119	HK Bank	HSI R Bull CBBC Jul 2015 S	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2015/07/30	100,000,000	2014/12/15
66121	HK Bank	HSI R Bull CBBC Jul 2015 T	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2015/07/30	100,000,000	2014/12/15
66115	HK Bank	HSI R Bear CBBC Jul 2015 I	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2015/07/30	100,000,000	2014/12/15
66209	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 B	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2015/06/29	300,000,000	2014/12/15
66208	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 P	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2015/08/28	300,000,000	2014/12/15
66184	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/03/30	300,000,000	2014/12/15
66175	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 Q	0.250	23,680 per 20,000 units	23,880 per 20,000 units	2015/03/30	120,000,000	2014/12/15
66172	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 P	0.250	24,380 per 15,000 units	24,180 per 15,000 units	2015/03/30	100,000,000	2014/12/15
66165	Standard Chartered Bank	Ping An R Bull CBBC Nov 2015 C	0.250	59.30 per 100 units	62.80 per 100 units	2015/11/18	50,000,000	2014/12/15
66168	Standard Chartered Bank	Ping An R Bear CBBC Aug 2015 B	0.250	86.80 per 100 units	82.80 per 100 units	2015/08/19	50,000,000	2014/12/15
66169	Standard Chartered Bank	Sands China R Bear CBBC May 2015 B	0.250	49.68 per 100 units	46.88 per 100 units	2015/05/07	50,000,000	2014/12/15
66180	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Sep15D	0.250	11.8	12	2015/09/29	100,000,000	2014/12/15
66183	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Sep15 D	0.250	11.5	11.7	2015/09/29	100,000,000	2014/12/15
66176	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 C	0.250	23,908 per 10,000 units	24,008 per 10,000 units	2015/06/29	200,000,000	2014/12/15
66150	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 K	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2015/03/30	200,000,000	2014/12/15
66200	UBS AG	HSI R Bull CBBC May 2015 F	0.250	23,750 per 10,000 units	23,950 per 10,000 units	2015/05/28	300,000,000	2014/12/15
66197	UBS AG	HSI R Bull CBBC May 2015 I	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/05/28	300,000,000	2014/12/15
66206	UBS AG	HSI R Bull CBBC Jun 2015 S	0.250	23,887 per 10,000 units	24,087 per 10,000 units	2015/06/29	300,000,000	2014/12/15
66154	UBS AG	HSI R Bear CBBC Apr 2015 R	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2015/04/29	300,000,000	2014/12/15
66161	UBS AG	HSI R Bear CBBC Jun 2015 G	0.250	24,203 per 12,000 units	24,003 per 12,000 units	2015/06/29	350,000,000	2014/12/15
66243	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 D	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2015/12/30	200,000,000	2014/12/16
66301	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 E	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2015/12/30	200,000,000	2014/12/16
66305	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 F	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/12/30	200,000,000	2014/12/16
66310	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 G	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/12/30	200,000,000	2014/12/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66346	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 F	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/04/29	200,000,000	2014/12/16
66350	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 G	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/04/29	200,000,000	2014/12/16
66249	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jun 2015 B	0.250	10.86	11.16	2015/06/30	60,000,000	2014/12/16
66252	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jun 2015 C	0.250	11.76	12.06	2015/06/30	60,000,000	2014/12/16
66255	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jun 2015 C	0.250	13.96	13.66	2015/06/30	60,000,000	2014/12/16
66256	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jun 2015 D	0.250	14.46	14.16	2015/06/30	60,000,000	2014/12/16
66259	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jun 2015 E	0.280	15.18	14.88	2015/06/30	60,000,000	2014/12/16
66260	Credit Suisse AG	A50 China R Bull CBBC Jun 2015 B	0.250	10.76	11.06	2015/06/30	60,000,000	2014/12/16
66263	Credit Suisse AG	A50 China R Bull CBBC Jun 2015 C	0.250	11.36	11.66	2015/06/30	60,000,000	2014/12/16
66264	Credit Suisse AG	A50 China R Bear CBBC Jun 2015 C	0.250	13.66	13.36	2015/06/30	60,000,000	2014/12/16
66265	Credit Suisse AG	A50 China R Bear CBBC Jun 2015 D	0.250	14.26	13.96	2015/06/30	60,000,000	2014/12/16
66268	Credit Suisse AG	A50 China R Bear CBBC Jun 2015 E	0.290	14.96	14.66	2015/06/30	60,000,000	2014/12/16
66271	Credit Suisse AG	HSI R Bull CBBC Aug 2015 B	0.250	23,847 per 10,000 units	24,047 per 10,000 units	2015/08/28	238,000,000	2014/12/16
66272	Credit Suisse AG	HSI R Bull CBBC Aug 2015 C	0.250	23,688 per 10,000 units	23,888 per 10,000 units	2015/08/28	238,000,000	2014/12/16
66273	Credit Suisse AG	HSI R Bull CBBC Aug 2015 D	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2015/08/28	238,000,000	2014/12/16
66312	Credit Suisse AG	HSI R Bull CBBC Aug 2015 E	0.250	23,375 per 10,000 units	23,575 per 10,000 units	2015/08/28	238,000,000	2014/12/16
66313	Credit Suisse AG	HSI R Bull CBBC Aug 2015 F	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/08/28	238,000,000	2014/12/16
66319	Credit Suisse AG	HSI R Bear CBBC Apr 2015 R	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/04/29	238,000,000	2014/12/16
66321	Credit Suisse AG	HSI R Bear CBBC Apr 2015 S	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/04/29	238,000,000	2014/12/16
66322	Credit Suisse AG	HSI R Bear CBBC Apr 2015 T	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/04/29	238,000,000	2014/12/16
66330	Credit Suisse AG	HSI R Bear CBBC Apr 2015 V	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/04/29	238,000,000	2014/12/16
66332	Credit Suisse AG	HSI R Bear CBBC Apr 2015 X	0.250	23,686 per 10,000 units	23,486 per 10,000 units	2015/04/29	238,000,000	2014/12/16
66355	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 J	0.283	22,238 per 20,000 units	22,538 per 20,000 units	2015/03/30	150,000,000	2014/12/16
66358	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 K	0.266	21,938 per 20,000 units	22,238 per 20,000 units	2015/03/30	150,000,000	2014/12/16
66351	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 V	0.260	24,488 per 20,000 units	24,188 per 20,000 units	2015/03/30	150,000,000	2014/12/16
66352	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 W	0.259	24,288 per 20,000 units	23,988 per 20,000 units	2015/03/30	150,000,000	2014/12/16
66353	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2015 C	0.269	23,888 per 20,000 units	23,588 per 20,000 units	2015/05/28	150,000,000	2014/12/16
66236	HK Bank	BOCL R Bull CBBC Sep 2015 A	0.250	3.88	4.03	2015/09/30	80,000,000	2014/12/16
66234	HK Bank	CC Bank R Bull CBBC Sep 2015 A	0.250	5.53	5.83	2015/09/30	80,000,000	2014/12/16
66239	HK Bank	Minsheng Bank R Bull CBBC Nov 2015 C	0.250	8.58	9.08	2015/11/02	40,000,000	2014/12/16
66215	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 G	0.250	11.98	12.18	2015/12/29	200,000,000	2014/12/16
66233	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 H	0.250	11.78	11.98	2015/12/30	200,000,000	2014/12/16
66217	HK Bank	CSOP A50 ETF R Bear CBBC Sep 2015 B	0.250	14.08	13.88	2015/09/08	40,000,000	2014/12/16
66229	HK Bank	CSOP A50 ETF R Bear CBBC Sep 2015 C	0.250	14.88	14.68	2015/09/09	40,000,000	2014/12/16
66238	HK Bank	China Shenhua R Bull CBBC Sep 2015 B	0.350	20.38	21.88	2015/09/30	40,000,000	2014/12/16
66221	HK Bank	A50 R Bull CBBC Aug 2015 H	0.250	11.58	11.78	2015/08/24	200,000,000	2014/12/16
66222	HK Bank	A50 R Bull CBBC Aug 2015 I	0.250	11.78	11.98	2015/08/31	200,000,000	2014/12/16
66227	HK Bank	A50 R Bear CBBC Sep 2015 B	0.280	14.88	14.68	2015/09/21	50,000,000	2014/12/16
66226	HK Bank	A50 R Bear CBBC Oct 2015 B	0.320	15.28	15.08	2015/10/05	50,000,000	2014/12/16
66240	HK Bank	HKEx R Bull CBBC Oct 2015 A	0.250	163.88 per 100 units	168.88 per 100 units	2015/10/12	60,000,000	2014/12/16
66241	HK Bank	HKEx R Bull CBBC Oct 2015 B	0.250	170.88 per 100 units	175.88 per 100 units	2015/10/19	60,000,000	2014/12/16
66235	HK Bank	ICBC R Bull CBBC Sep 2015 A	0.250	5.08	5.28	2015/09/30	80,000,000	2014/12/16
66230	HK Bank	Ping An R Bull CBBC Nov 2015 B	0.250	67.88 per 100 units	71.88 per 100 units	2015/11/30	120,000,000	2014/12/16

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66237	HK Bank	Sands China R Bull CBBC Oct 2015 A	0.250	34.08 per 100 units	36.08 per 100 units	2015/10/26	80,000,000	2014/12/16
66276	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 I	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2015/06/29	300,000,000	2014/12/16
66245	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 M	0.250	23,788 per 10,000 units	23,988 per 10,000 units	2015/06/29	300,000,000	2014/12/16
66311	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 Q	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/07/30	300,000,000	2014/12/16
66275	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 F	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2015/08/28	300,000,000	2014/12/16
66339	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 R	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/03/30	300,000,000	2014/12/16
66338	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/03/30	300,000,000	2014/12/16
66278	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 15E	0.250	23,508 per 10,000 units	23,608 per 10,000 units	2015/06/29	200,000,000	2014/12/16
66248	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 15U	0.250	23,708 per 10,000 units	23,808 per 10,000 units	2015/06/29	200,000,000	2014/12/16
66279	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 15U	0.250	23,608 per 10,000 units	23,708 per 10,000 units	2015/07/30	200,000,000	2014/12/16
66280	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 15X	0.250	23,408 per 10,000 units	23,508 per 10,000 units	2015/07/30	200,000,000	2014/12/16
66340	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 15X	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2015/04/29	200,000,000	2014/12/16
66344	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 15Y	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2015/04/29	200,000,000	2014/12/16
66299	UBS AG	CNOOC R Bull CBBC Nov 2015 A	0.250	8.2	9	2015/11/09	100,000,000	2014/12/16
66285	UBS AG	CSOP A50 ETF R Bull CBBC May 2015 B	0.290	9.8	10	2015/05/18	100,000,000	2014/12/16
66298	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2015 C	0.250	11.3	11.5	2015/06/29	100,000,000	2014/12/16
66297	UBS AG	CSOP A50 ETF R Bear CBBC Jun 2015 A	0.265	15.3	15	2015/06/08	100,000,000	2014/12/16
66296	UBS AG	A50 China R Bull CBBC Jul 2015 A	0.250	10.8	11	2015/07/06	100,000,000	2014/12/16
66295	UBS AG	A50 China R Bear CBBC May 2015 B	0.250	14.2	14	2015/05/18	100,000,000	2014/12/16
66300	UBS AG	HKEx R Bull CBBC Jul 2015 B	0.250	165 per 100 units	170 per 100 units	2015/07/13	100,000,000	2014/12/16
66293	UBS AG	HSCEI R Bull CBBC Sep 2015 B	0.250	11,050 per 10,000 units	11,250 per 10,000 units	2015/09/29	100,000,000	2014/12/16
66294	UBS AG	HSCEI R Bull CBBC Sep 2015 C	0.250	10,550 per 10,000 units	10,750 per 10,000 units	2015/09/29	100,000,000	2014/12/16
66291	UBS AG	HSCEI R Bear CBBC Apr 2015 A	0.250	14,200 per 10,000 units	14,000 per 10,000 units	2015/04/29	100,000,000	2014/12/16
66288	UBS AG	HSI R Bull CBBC Apr 2015 F	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2015/04/29	300,000,000	2014/12/16
66246	UBS AG	HSI R Bull CBBC Apr 2015 G	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2015/04/29	300,000,000	2014/12/16
66247	UBS AG	HSI R Bull CBBC Jul 2015 C	0.250	23,847 per 10,000 units	24,047 per 10,000 units	2015/07/30	300,000,000	2014/12/16
66282	UBS AG	HSI R Bull CBBC Aug 2015 C	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/12/16
66337	UBS AG	HSI R Bear CBBC Mar 2015 C	0.250	23,686 per 10,000 units	23,486 per 10,000 units	2015/03/30	300,000,000	2014/12/16
66336	UBS AG	HSI R Bear CBBC Apr 2015 S	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/04/29	300,000,000	2014/12/16
66334	UBS AG	HSI R Bear CBBC May 2015 G	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2015/05/28	300,000,000	2014/12/16
66335	UBS AG	HSI R Bear CBBC May 2015 H	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2015/05/28	300,000,000	2014/12/16
65607*	HK Bank	Hutcheon R Bull CBBC Oct 2014 C	0.145	99.88 per 100 units	102.88 per 100 units	2014/10/16	120,000,000	2014/12/16
66395	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 H	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/12/30	200,000,000	2014/12/17
66394	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 H	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/04/29	200,000,000	2014/12/17
66417	Credit Suisse AG	HSI R Bull CBBC May 2015 C	0.250	23,294 per 12,000 units	23,524 per 12,000 units	2015/05/28	238,000,000	2014/12/17
66398	Credit Suisse AG	HSI R Bull CBBC May 2015 Z	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/05/28	238,000,000	2014/12/17
66415	Credit Suisse AG	HSI R Bear CBBC Mar 2015 R	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/03/30	238,000,000	2014/12/17
66416	Credit Suisse AG	HSI R Bear CBBC Mar 2015 X	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/03/30	238,000,000	2014/12/17
66414	Credit Suisse AG	HSI R Bear CBBC Mar 2015 Z	0.250	23,677 per 12,000 units	23,447 per 12,000 units	2015/03/30	238,000,000	2014/12/17
66378	HK Bank	CC Bank R Bear CBBC May 2015 B	0.250	7.08	6.78	2015/05/04	40,000,000	2014/12/17
66360	HK Bank	A50 R Bear CBBC Oct 2015 C	0.250	13.58	13.38	2015/10/05	50,000,000	2014/12/17
66369	HK Bank	ICBC R Bear CBBC Oct 2015 A	0.250	6.48	6.28	2015/10/26	40,000,000	2014/12/17
66380	HK Bank	TCH R Bull CBBC Aug 2015 H	0.250	97.88 per 100 units	99.88 per 100 units	2015/08/10	100,000,000	2014/12/17

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66384	HK Bank	TCH R Bull CBBC Aug 2015 I	0.250	101.88 per 100 units	103.88 per 100 units	2015/08/03	100,000,000	2014/12/17
66383	HK Bank	TCH R Bear CBBC Sep 2015 B	0.250	122.88 per 100 units	120.88 per 100 units	2015/09/07	100,000,000	2014/12/17
66433	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 Q	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/08/28	300,000,000	2014/12/17
66407	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 T	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/03/30	300,000,000	2014/12/17
66408	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 U	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2015/03/30	300,000,000	2014/12/17
66406	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 R	0.250	23,647 per 10,000 units	23,447 per 10,000 units	2015/04/29	300,000,000	2014/12/17
66428	Macquarie Bank Ltd.	HSI R Bear CBBC May 2015 A	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2015/05/28	50,000,000	2014/12/17
66432	Macquarie Bank Ltd.	HSI R Bear CBBC May 2015 B	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2015/05/28	50,000,000	2014/12/17
66405	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 R	0.250	23,180 per 15,000 units	23,380 per 15,000 units	2015/03/30	100,000,000	2014/12/17
66418	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 S	0.250	23,280 per 20,000 units	23,480 per 20,000 units	2015/03/30	120,000,000	2014/12/17
66399	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 Q	0.250	24,080 per 20,000 units	23,880 per 20,000 units	2015/03/30	120,000,000	2014/12/17
66410	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 R	0.250	23,780 per 15,000 units	23,580 per 15,000 units	2015/03/30	100,000,000	2014/12/17
66388	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 F	0.250	23,308 per 10,000 units	23,408 per 10,000 units	2015/06/29	200,000,000	2014/12/17
66390	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 M	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2015/03/30	200,000,000	2014/12/17
66391	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 Q	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2015/03/30	200,000,000	2014/12/17
66422	UBS AG	HSI R Bull CBBC Apr 2015 H	0.250	23,324 per 12,000 units	23,524 per 12,000 units	2015/04/29	350,000,000	2014/12/17
66420	UBS AG	HSI R Bull CBBC Jun 2015 U	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/06/29	300,000,000	2014/12/17
66423	UBS AG	HSI R Bear CBBC Mar 2015 G	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2015/03/30	300,000,000	2014/12/17
66424	UBS AG	HSI R Bear CBBC May 2015 I	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2015/05/28	300,000,000	2014/12/17
66425	UBS AG	HSI R Bear CBBC May 2015 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/05/28	300,000,000	2014/12/17
66427	UBS AG	HSI R Bear CBBC Jun 2015 H	0.250	23,647 per 12,000 units	23,447 per 12,000 units	2015/06/29	350,000,000	2014/12/17
66467	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 I	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/12/30	200,000,000	2014/12/18
66468	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/12/30	200,000,000	2014/12/18
66483	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 I	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/04/29	200,000,000	2014/12/18
66484	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 J	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/04/29	200,000,000	2014/12/18
66472	Credit Suisse AG	HSI R Bull CBBC Jun 2015 E	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2015/06/29	238,000,000	2014/12/18
66476	Credit Suisse AG	HSI R Bull CBBC Jun 2015 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/06/29	238,000,000	2014/12/18
66469	Credit Suisse AG	HSI R Bull CBBC Jun 2015 S	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2015/06/29	238,000,000	2014/12/18
66471	Credit Suisse AG	HSI R Bull CBBC Jun 2015 T	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2015/06/29	238,000,000	2014/12/18
66488	Credit Suisse AG	HSI R Bear CBBC May 2015 M	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2015/05/28	238,000,000	2014/12/18
66489	Credit Suisse AG	HSI R Bear CBBC May 2015 N	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/05/28	238,000,000	2014/12/18
66493	Credit Suisse AG	HSI R Bear CBBC May 2015 O	0.250	23,470 per 12,000 units	23,240 per 12,000 units	2015/05/28	238,000,000	2014/12/18
66507	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 L	0.258	21,438 per 20,000 units	21,738 per 20,000 units	2015/03/30	150,000,000	2014/12/18
66508	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 M	0.290	21,238 per 20,000 units	21,538 per 20,000 units	2015/03/30	150,000,000	2014/12/18
66506	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2015 X	0.253	24,088 per 20,000 units	23,788 per 20,000 units	2015/03/30	150,000,000	2014/12/18
66440	HK Bank	Minsheng Bank R Bear CBBC Jul 2015 B	0.250	10.98	10.48	2015/07/27	40,000,000	2014/12/18
66441	HK Bank	Sinopec Corp R Bear CBBC Jul 2015 B	0.250	6.98	6.68	2015/07/13	40,000,000	2014/12/18
66439	HK Bank	PetCh R Bear CBBC Aug 2015 B	0.250	9.48	9.08	2015/08/03	40,000,000	2014/12/18
66444	HK Bank	Ping An R Bull CBBC Nov 2015 C	0.250	65.88 per 100 units	69.88 per 100 units	2015/11/02	120,000,000	2014/12/18
66445	HK Bank	Ping An R Bull CBBC Nov 2015 D	0.250	61.88 per 100 units	65.88 per 100 units	2015/11/16	120,000,000	2014/12/18
66448	HK Bank	Ping An R Bull CBBC Nov 2015 E	0.250	57.88 per 100 units	61.88 per 100 units	2015/11/23	120,000,000	2014/12/18
66449	HK Bank	Ping An R Bull CBBC Nov 2015 F	0.250	52.08 per 100 units	56.08 per 100 units	2015/11/23	120,000,000	2014/12/18
66442	HK Bank	Sands China R Bear CBBC Aug 2015 B	0.250	46.28 per 100 units	44.28 per 100 units	2015/08/31	60,000,000	2014/12/18

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66437	HK Bank	TCH R Bear CBBC Sep 2015 C	0.250	120.88 per 100 units	118.88 per 100 units	2015/09/29	100,000,000	2014/12/18
66453	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 L	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2015/06/29	300,000,000	2014/12/18
66450	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 B	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/08/28	300,000,000	2014/12/18
66480	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 X	0.250	23,440 per 10,000 units	23,240 per 10,000 units	2015/03/30	300,000,000	2014/12/18
66482	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/04/29	300,000,000	2014/12/18
66500	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 T	0.250	24,188 per 10,000 units	23,988 per 10,000 units	2015/04/29	300,000,000	2014/12/18
66504	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 U	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2015/04/29	300,000,000	2014/12/18
66477	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 T	0.250	23,080 per 20,000 units	23,280 per 20,000 units	2015/03/30	120,000,000	2014/12/18
66478	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 U	0.250	22,980 per 15,000 units	23,180 per 15,000 units	2015/03/30	100,000,000	2014/12/18
66460	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 O	0.250	23,108 per 10,000 units	23,208 per 10,000 units	2015/06/29	200,000,000	2014/12/18
66462	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 N	0.250	23,208 per 10,000 units	23,308 per 10,000 units	2015/07/30	200,000,000	2014/12/18
66487	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar15 R	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2015/03/30	200,000,000	2014/12/18
66485	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 Z	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2015/04/29	200,000,000	2014/12/18
66456	UBS AG	HSI R Bull CBBC May 2015 H	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2015/05/28	300,000,000	2014/12/18
66454	UBS AG	HSI R Bull CBBC Jul 2015 G	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/07/30	300,000,000	2014/12/18
66498	UBS AG	HSI R Bear CBBC Mar 2015 A	0.250	23,440 per 10,000 units	23,240 per 10,000 units	2015/03/30	300,000,000	2014/12/18
66497	UBS AG	HSI R Bear CBBC May 2015 K	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/05/28	300,000,000	2014/12/18
66496	UBS AG	HSI R Bear CBBC Jun 2015 I	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2015/06/29	300,000,000	2014/12/18
66531	Credit Suisse AG	HSI R Bull CBBC May 2015 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/05/28	238,000,000	2014/12/19
66530	Credit Suisse AG	HSI R Bull CBBC May 2015 V	0.250	23,102 per 12,000 units	23,332 per 12,000 units	2015/05/28	238,000,000	2014/12/19
66538	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Q	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/04/29	238,000,000	2014/12/19
66539	Credit Suisse AG	HSI R Bear CBBC Apr 2015 W	0.250	23,533 per 10,000 units	23,333 per 10,000 units	2015/04/29	238,000,000	2014/12/19
66532	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Y	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/04/29	238,000,000	2014/12/19
66549	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2015 N	0.256	21,738 per 20,000 units	22,038 per 20,000 units	2015/03/30	150,000,000	2014/12/19
66548	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2015 A	0.274	23,688 per 20,000 units	23,388 per 20,000 units	2015/06/29	150,000,000	2014/12/19
66519	HK Bank	CNOOC R Bull CBBC Nov 2015 A	0.320	7.08	7.88	2015/11/30	60,000,000	2014/12/19
66518	HK Bank	Sinopec Corp R Bull CBBC Dec 2015 A	0.250	4.33	4.63	2015/12/21	80,000,000	2014/12/19
66515	HK Bank	HSCEI R Bear CBBC Jun 2015 B	0.250	11,788 per 10,000 units	11,588 per 10,000 units	2015/06/29	68,000,000	2014/12/19
66509	HK Bank	HSI R Bear CBBC Jul 2015 J	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2015/07/30	100,000,000	2014/12/19
66512	HK Bank	HSI R Bear CBBC Jul 2015 K	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2015/07/30	100,000,000	2014/12/19
66517	HK Bank	TCH R Bull CBBC Jul 2015 M	0.250	90.88 per 100 units	92.88 per 100 units	2015/07/20	100,000,000	2014/12/19
66523	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/08/28	300,000,000	2014/12/19
66543	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/03/30	300,000,000	2014/12/19
66528	UBS AG	HSI R Bull CBBC May 2015 A	0.250	23,132 per 12,000 units	23,332 per 12,000 units	2015/05/28	350,000,000	2014/12/19
66524	UBS AG	HSI R Bull CBBC Jun 2015 R	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/06/29	300,000,000	2014/12/19
66540	UBS AG	HSI R Bear CBBC Apr 2015 T	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2015/04/29	300,000,000	2014/12/19
66542	UBS AG	HSI R Bear CBBC May 2015 L	0.250	23,450 per 12,000 units	23,250 per 12,000 units	2015/05/28	350,000,000	2014/12/19
66575	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/12/30	200,000,000	2014/12/22
66576	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 L	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/12/30	200,000,000	2014/12/22
66579	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 M	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2015/12/30	200,000,000	2014/12/22
66580	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 N	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2015/12/30	200,000,000	2014/12/22
66581	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 O	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2015/12/30	200,000,000	2014/12/22
66620	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 K	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/04/29	200,000,000	2014/12/22

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66621	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 L	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/04/29	200,000,000	2014/12/22
66572	Credit Suisse AG	HSI R Bull CBBC May 2015 F	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2015/05/28	238,000,000	2014/12/22
66573	Credit Suisse AG	HSI R Bull CBBC Jun 2015 U	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2015/06/29	238,000,000	2014/12/22
66560	Credit Suisse AG	HSI R Bull CBBC Jul 2015 A	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2015/07/30	238,000,000	2014/12/22
66562	Credit Suisse AG	HSI R Bull CBBC Jul 2015 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/07/30	238,000,000	2014/12/22
66563	Credit Suisse AG	HSI R Bull CBBC Jul 2015 C	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/07/30	238,000,000	2014/12/22
66564	Credit Suisse AG	HSI R Bull CBBC Jul 2015 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/07/30	238,000,000	2014/12/22
66566	Credit Suisse AG	HSI R Bull CBBC Jul 2015 E	0.250	22,055 per 10,000 units	22,255 per 10,000 units	2015/07/30	238,000,000	2014/12/22
66571	Credit Suisse AG	HSI R Bull CBBC Jul 2015 F	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2015/07/30	238,000,000	2014/12/22
66559	Credit Suisse AG	HSI R Bull CBBC Jul 2015 Z	0.250	23,019 per 12,000 units	23,249 per 12,000 units	2015/07/30	238,000,000	2014/12/22
66574	Credit Suisse AG	HSI R Bull CBBC Aug 2015 G	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2015/08/28	238,000,000	2014/12/22
66617	Credit Suisse AG	HSI R Bear CBBC Apr 2015 F	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/04/29	238,000,000	2014/12/22
66614	Credit Suisse AG	HSI R Bear CBBC May 2015 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/05/28	238,000,000	2014/12/22
66615	Credit Suisse AG	HSI R Bear CBBC May 2015 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/05/28	238,000,000	2014/12/22
66619	Credit Suisse AG	HSI R Bear CBBC May 2015 R	0.250	23,234 per 12,000 units	23,004 per 12,000 units	2015/05/28	238,000,000	2014/12/22
66613	Credit Suisse AG	HSI R Bear CBBC Jun 2015 E	0.250	23,975 per 20,000 units	23,575 per 20,000 units	2015/06/29	238,000,000	2014/12/22
66600	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 O	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2015/06/29	300,000,000	2014/12/22
66601	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 K	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2015/07/30	300,000,000	2014/12/22
66597	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 S	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/08/28	300,000,000	2014/12/22
66603	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2015/08/28	300,000,000	2014/12/22
66622	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Y	0.250	23,228 per 10,000 units	23,028 per 10,000 units	2015/03/30	300,000,000	2014/12/22
66624	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Z	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2015/03/30	300,000,000	2014/12/22
66623	J P Morgan SP BV	HSI R Bear CBBC Apr 2015 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/04/29	300,000,000	2014/12/22
66588	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2015 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2015/04/29	40,000,000	2014/12/22
66590	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2015 C	0.255	21,750 per 10,000 units	21,950 per 10,000 units	2015/04/29	40,000,000	2014/12/22
66591	Macquarie Bank Ltd.	HSI R Bear CBBC May 2015 C	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/05/28	40,000,000	2014/12/22
66594	Macquarie Bank Ltd.	HSI R Bear CBBC May 2015 D	0.255	23,900 per 10,000 units	23,700 per 10,000 units	2015/05/28	40,000,000	2014/12/22
66583	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 V	0.250	22,780 per 15,000 units	22,980 per 15,000 units	2015/03/30	100,000,000	2014/12/22
66584	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 W	0.250	22,880 per 20,000 units	23,080 per 20,000 units	2015/03/30	120,000,000	2014/12/22
66585	Standard Chartered Bank	HSI R Bull CBBC Mar 2015 X	0.250	22,680 per 20,000 units	22,880 per 20,000 units	2015/03/30	120,000,000	2014/12/22
66605	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 S	0.250	23,380 per 15,000 units	23,180 per 15,000 units	2015/03/30	100,000,000	2014/12/22
66607	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 T	0.250	23,580 per 15,000 units	23,380 per 15,000 units	2015/03/30	100,000,000	2014/12/22
66586	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 A	0.250	22,808 per 10,000 units	22,908 per 10,000 units	2015/07/30	200,000,000	2014/12/22
66587	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 B	0.250	23,008 per 10,000 units	23,108 per 10,000 units	2015/07/30	200,000,000	2014/12/22
66595	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 C	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2015/04/29	200,000,000	2014/12/22
66557	UBS AG	HSI R Bull CBBC Apr 2015 I	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/04/29	300,000,000	2014/12/22
66556	UBS AG	HSI R Bull CBBC May 2015 L	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/05/28	300,000,000	2014/12/22
66558	UBS AG	HSI R Bull CBBC May 2015 T	0.250	23,049 per 10,000 units	23,249 per 10,000 units	2015/05/28	300,000,000	2014/12/22
66612	UBS AG	HSI R Bear CBBC Mar 2015 N	0.250	23,204 per 10,000 units	23,004 per 10,000 units	2015/03/30	300,000,000	2014/12/22
66610	UBS AG	HSI R Bear CBBC Apr 2015 U	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/04/29	300,000,000	2014/12/22
66611	UBS AG	HSI R Bear CBBC Apr 2015 V	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/04/29	300,000,000	2014/12/22
68428*	HK Bank	Ping An R Bull CBBC Oct 2015 A	0.246	49.18 per 100 units	53.18 per 100 units	2015/10/26	180,000,000	2014/12/22
66683	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/12/30	200,000,000	2014/12/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66684	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 Q	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2015/12/30	200,000,000	2014/12/23
66798	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/12/30	200,000,000	2014/12/23
66803	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 M	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/04/29	200,000,000	2014/12/23
66804	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 N	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2015/04/29	200,000,000	2014/12/23
66858	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 O	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2015/04/29	200,000,000	2014/12/23
66726	Credit Suisse AG	HSI R Bull CBBC Jun 2015 F	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66703	Credit Suisse AG	HSI R Bull CBBC Jun 2015 L	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66706	Credit Suisse AG	HSI R Bull CBBC Jun 2015 M	0.250	22,375 per 10,000 units	22,575 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66806	Credit Suisse AG	HSI R Bull CBBC Jun 2015 N	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66719	Credit Suisse AG	HSI R Bull CBBC Jun 2015 O	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66696	Credit Suisse AG	HSI R Bull CBBC Jun 2015 V	0.250	22,797 per 12,000 units	23,027 per 12,000 units	2015/06/29	238,000,000	2014/12/23
66697	Credit Suisse AG	HSI R Bull CBBC Jun 2015 X	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66698	Credit Suisse AG	HSI R Bull CBBC Jun 2015 Y	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66712	Credit Suisse AG	HSI R Bull CBBC Jul 2015 M	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2015/07/30	238,000,000	2014/12/23
66721	Credit Suisse AG	HSI R Bull CBBC Jul 2015 N	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2015/07/30	238,000,000	2014/12/23
66727	Credit Suisse AG	HSI R Bull CBBC Jul 2015 O	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2015/07/30	238,000,000	2014/12/23
66732	Credit Suisse AG	HSI R Bull CBBC Jul 2015 P	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2015/07/30	238,000,000	2014/12/23
66723	Credit Suisse AG	HSI R Bull CBBC Aug 2015 H	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2015/08/28	238,000,000	2014/12/23
66731	Credit Suisse AG	HSI R Bull CBBC Aug 2015 I	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2015/08/28	238,000,000	2014/12/23
66733	Credit Suisse AG	HSI R Bull CBBC Aug 2015 J	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2015/08/28	238,000,000	2014/12/23
66829	Credit Suisse AG	HSI R Bull CBBC Aug 2015 K	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2015/08/28	238,000,000	2014/12/23
66828	Credit Suisse AG	HSI R Bull CBBC Sep 2015 A	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2015/09/29	238,000,000	2014/12/23
66837	Credit Suisse AG	HSI R Bull CBBC Sep 2015 B	0.310	19,800 per 10,000 units	20,000 per 10,000 units	2015/09/29	238,000,000	2014/12/23
66834	Credit Suisse AG	HSI R Bull CBBC Oct 2015 A	0.270	20,200 per 10,000 units	20,400 per 10,000 units	2015/10/29	238,000,000	2014/12/23
66846	Credit Suisse AG	HSI R Bear CBBC May 2015 S	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2015/05/28	238,000,000	2014/12/23
66850	Credit Suisse AG	HSI R Bear CBBC May 2015 T	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2015/05/28	238,000,000	2014/12/23
66854	Credit Suisse AG	HSI R Bear CBBC May 2015 U	0.250	22,901 per 12,000 units	22,671 per 12,000 units	2015/05/28	238,000,000	2014/12/23
66841	Credit Suisse AG	HSI R Bear CBBC Jun 2015 F	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66842	Credit Suisse AG	HSI R Bear CBBC Jun 2015 G	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66845	Credit Suisse AG	HSI R Bear CBBC Jun 2015 H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/06/29	238,000,000	2014/12/23
66769	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2015 A	0.251	20,838 per 20,000 units	21,138 per 20,000 units	2015/04/29	150,000,000	2014/12/23
66767	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2015 C	0.251	23,288 per 20,000 units	22,988 per 20,000 units	2015/04/29	150,000,000	2014/12/23
66768	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2015 D	0.251	23,488 per 20,000 units	23,188 per 20,000 units	2015/04/29	150,000,000	2014/12/23
66668	HK Bank	CUni R Bull CBBC Oct 2015 B	0.250	8.08	9.08	2015/10/26	50,000,000	2014/12/23
66646	HK Bank	HSI R Bull CBBC Aug 2015 M	0.250	22,288 per 15,000 units	22,488 per 15,000 units	2015/08/28	100,000,000	2014/12/23
66661	HK Bank	HSI R Bull CBBC Aug 2015 N	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2015/08/28	100,000,000	2014/12/23
66663	HK Bank	HSI R Bull CBBC Sep 2015 E	0.250	20,988 per 15,000 units	21,188 per 15,000 units	2015/09/29	100,000,000	2014/12/23
66643	HK Bank	HSI R Bull CBBC Oct 2015 D	0.250	20,388 per 15,000 units	20,588 per 15,000 units	2015/10/29	100,000,000	2014/12/23
66662	HK Bank	HSI R Bull CBBC Oct 2015 E	0.250	21,188 per 15,000 units	21,388 per 15,000 units	2015/10/29	100,000,000	2014/12/23
66656	HK Bank	HSI R Bear CBBC Jul 2015 L	0.250	24,078 per 20,000 units	23,828 per 20,000 units	2015/07/30	400,000,000	2014/12/23
66657	HK Bank	HSI R Bear CBBC Aug 2015 D	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2015/08/28	100,000,000	2014/12/23
66658	HK Bank	HSI R Bear CBBC Sep 2015 C	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2015/09/29	100,000,000	2014/12/23
66669	HK Bank	ICBC R Bear CBBC Sep 2015 A	0.250	6.08	5.88	2015/09/30	40,000,000	2014/12/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66647	HK Bank	TCH R Bull CBBC Sep 2015 A	0.260	86.88 per 100 units	88.88 per 100 units	2015/09/29	100,000,000	2014/12/23
66653	HK Bank	TCH R Bear CBBC Sep 2015 D	0.250	118.88 per 100 units	116.88 per 100 units	2015/09/14	100,000,000	2014/12/23
66666	HK Bank	TCH R Bear CBBC Sep 2015 E	0.250	116.88 per 100 units	114.88 per 100 units	2015/09/07	100,000,000	2014/12/23
66634	J P Morgan SP BV	CSOP A50 ETF R Bull CBBC Apr 2015 A	0.250	10.8	11	2015/04/22	100,000,000	2014/12/23
66635	J P Morgan SP BV	CSOP A50 ETF R Bear CBBC Apr 2015 A	0.250	13.2	13	2015/04/22	100,000,000	2014/12/23
66629	J P Morgan SP BV	A50 China R Bull CBBC Apr 2015 A	0.250	10.3	10.5	2015/04/23	100,000,000	2014/12/23
66627	J P Morgan SP BV	A50 China R Bear CBBC Apr 2015 B	0.250	12.7	12.5	2015/04/23	100,000,000	2014/12/23
66638	J P Morgan SP BV	HKEx R Bull CBBC Sep 2015 B	0.250	155 per 100 units	160 per 100 units	2015/09/15	100,000,000	2014/12/23
66630	J P Morgan SP BV	HKEx R Bear CBBC Apr 2015 A	0.250	190 per 100 units	185 per 100 units	2015/04/01	100,000,000	2014/12/23
66675	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 X	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/07/30	300,000,000	2014/12/23
66735	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 U	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/08/28	300,000,000	2014/12/23
66736	J P Morgan SP BV	HSI R Bull CBBC Sep 2015 A	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2015/09/29	300,000,000	2014/12/23
66739	J P Morgan SP BV	HSI R Bull CBBC Sep 2015 B	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2015/09/29	300,000,000	2014/12/23
66742	J P Morgan SP BV	HSI R Bull CBBC Dec 2015 A	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2015/12/30	300,000,000	2014/12/23
66756	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 B	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/03/30	300,000,000	2014/12/23
66757	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 C	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/03/30	300,000,000	2014/12/23
66861	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 D	0.250	22,871 per 10,000 units	22,671 per 10,000 units	2015/03/30	300,000,000	2014/12/23
66625	J P Morgan SP BV	Tencent R Bull CBBC Apr 2015 A	0.250	100 per 100 units	102 per 100 units	2015/04/14	100,000,000	2014/12/23
66640	J P Morgan SP BV	Tencent R Bear CBBC Apr 2015 B	0.250	122 per 100 units	120 per 100 units	2015/04/14	100,000,000	2014/12/23
66781	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 B	0.250	22,480 per 15,000 units	22,680 per 15,000 units	2015/06/29	100,000,000	2014/12/23
66685	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 U	0.250	23,480 per 20,000 units	23,280 per 20,000 units	2015/03/30	120,000,000	2014/12/23
66688	Standard Chartered Bank	HSI R Bear CBBC Mar 2015 V	0.250	23,280 per 20,000 units	23,080 per 20,000 units	2015/03/30	120,000,000	2014/12/23
66785	Standard Chartered Bank	TCH R Bull CBBC Aug 2015 D	0.250	90.30 per 100 units	92.80 per 100 units	2015/08/26	80,000,000	2014/12/23
66786	Standard Chartered Bank	TCH R Bear CBBC Aug 2015 B	0.250	127.80 per 100 units	124.80 per 100 units	2015/08/27	80,000,000	2014/12/23
66671	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 X	0.250	22,908 per 10,000 units	23,008 per 10,000 units	2015/06/29	200,000,000	2014/12/23
66770	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 Y	0.250	22,508 per 10,000 units	22,608 per 10,000 units	2015/06/29	200,000,000	2014/12/23
66857	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 D	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2015/04/29	200,000,000	2014/12/23
66673	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May15 A	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2015/05/28	200,000,000	2014/12/23
66771	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May15 B	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2015/05/28	200,000,000	2014/12/23
66776	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May15 C	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2015/05/28	200,000,000	2014/12/23
66793	UBS AG	HSI R Bull CBBC May 2015 B	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2015/05/28	300,000,000	2014/12/23
66676	UBS AG	HSI R Bull CBBC May 2015 V	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2015/05/28	300,000,000	2014/12/23
66680	UBS AG	HSI R Bull CBBC Jun 2015 Y	0.250	22,827 per 10,000 units	23,027 per 10,000 units	2015/06/29	300,000,000	2014/12/23
66678	UBS AG	HSI R Bull CBBC Jul 2015 H	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2015/07/30	300,000,000	2014/12/23
66788	UBS AG	HSI R Bull CBBC Jul 2015 I	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2015/07/30	300,000,000	2014/12/23
66794	UBS AG	HSI R Bull CBBC Jul 2015 L	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2015/07/30	300,000,000	2014/12/23
66787	UBS AG	HSI R Bull CBBC Aug 2015 D	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2015/08/28	300,000,000	2014/12/23
66792	UBS AG	HSI R Bull CBBC Aug 2015 E	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2015/08/28	300,000,000	2014/12/23
66807	UBS AG	HSI R Bear CBBC Mar 2015 I	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2015/03/30	300,000,000	2014/12/23
66815	UBS AG	HSI R Bear CBBC Mar 2015 P	0.250	22,871 per 12,000 units	22,671 per 12,000 units	2015/03/30	350,000,000	2014/12/23
66814	UBS AG	HSI R Bear CBBC Apr 2015 W	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2015/04/29	300,000,000	2014/12/23
66809	UBS AG	HSI R Bear CBBC May 2015 M	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2015/05/28	300,000,000	2014/12/23
66811	UBS AG	HSI R Bear CBBC May 2015 N	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2015/05/28	300,000,000	2014/12/23

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66937	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 S	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/12/30	200,000,000	2014/12/24
66942	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 P	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/04/29	200,000,000	2014/12/24
67028	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 Q	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2015/04/29	200,000,000	2014/12/24
66951	Credit Suisse AG	HSI R Bull CBBC May 2015 T	0.250	22,440 per 12,000 units	22,670 per 12,000 units	2015/05/28	238,000,000	2014/12/24
66961	Credit Suisse AG	HSI R Bull CBBC May 2015 Y	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2015/05/28	238,000,000	2014/12/24
66973	Credit Suisse AG	HSI R Bull CBBC Jul 2015 R	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2015/07/30	238,000,000	2014/12/24
66976	Credit Suisse AG	HSI R Bull CBBC Aug 2015 L	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2015/08/28	238,000,000	2014/12/24
66978	Credit Suisse AG	HSI R Bull CBBC Aug 2015 M	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2015/08/28	238,000,000	2014/12/24
66983	Credit Suisse AG	HSI R Bull CBBC Sep 2015 C	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2015/09/29	238,000,000	2014/12/24
66986	Credit Suisse AG	HSI R Bull CBBC Dec 2015 A	0.250	19,500 per 20,000 units	19,888 per 20,000 units	2015/12/30	238,000,000	2014/12/24
67002	Credit Suisse AG	HSI R Bear CBBC May 2015 V	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/05/28	238,000,000	2014/12/24
67003	Credit Suisse AG	HSI R Bear CBBC May 2015 W	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2015/05/28	238,000,000	2014/12/24
67004	Credit Suisse AG	HSI R Bear CBBC Jun 2015 I	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2015/06/29	238,000,000	2014/12/24
67005	Credit Suisse AG	HSI R Bear CBBC Jun 2015 J	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2015/06/29	238,000,000	2014/12/24
67010	Credit Suisse AG	HSI R Bear CBBC Jun 2015 K	0.250	22,816 per 12,000 units	22,586 per 12,000 units	2015/06/29	238,000,000	2014/12/24
66987	Credit Suisse AG	HSI R Bear CBBC Jul 2015 C	0.250	23,525 per 20,000 units	23,125 per 20,000 units	2015/07/30	238,000,000	2014/12/24
66988	Credit Suisse AG	HSI R Bear CBBC Jul 2015 D	0.250	23,288 per 20,000 units	22,888 per 20,000 units	2015/07/30	238,000,000	2014/12/24
66909	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 I	0.250	11.58	11.78	2015/12/29	200,000,000	2014/12/24
66915	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 J	0.250	11.78	11.98	2015/12/30	200,000,000	2014/12/24
66910	HK Bank	A50 R Bull CBBC Aug 2015 J	0.250	10.98	11.18	2015/08/31	200,000,000	2014/12/24
66913	HK Bank	A50 R Bull CBBC Aug 2015 K	0.250	11.18	11.38	2015/08/24	200,000,000	2014/12/24
66871	HK Bank	HSI R Bull CBBC Oct 2015 F	0.250	20,588 per 15,000 units	20,788 per 15,000 units	2015/10/29	100,000,000	2014/12/24
66862	HK Bank	HSI R Bear CBBC Sep 2015 D	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2015/09/29	100,000,000	2014/12/24
66870	HK Bank	HSI R Bear CBBC Oct 2015 B	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2015/10/29	100,000,000	2014/12/24
66896	HK Bank	Ping An R Bull CBBC Dec 2015 A	0.250	62.88 per 100 units	66.88 per 100 units	2015/12/07	120,000,000	2014/12/24
66899	HK Bank	Ping An R Bull CBBC Dec 2015 B	0.250	58.88 per 100 units	62.88 per 100 units	2015/12/14	120,000,000	2014/12/24
66900	HK Bank	Ping An R Bull CBBC Dec 2015 C	0.250	56.88 per 100 units	60.88 per 100 units	2015/12/16	120,000,000	2014/12/24
66903	HK Bank	Ping An R Bull CBBC Dec 2015 D	0.250	55.88 per 100 units	59.88 per 100 units	2015/12/18	120,000,000	2014/12/24
66906	HK Bank	Ping An R Bull CBBC Dec 2015 E	0.250	53.88 per 100 units	57.88 per 100 units	2015/12/21	120,000,000	2014/12/24
66923	HK Bank	Ping An R Bull CBBC Dec 2015 F	0.250	60.88 per 100 units	64.88 per 100 units	2015/12/09	120,000,000	2014/12/24
66886	HK Bank	TCH R Bull CBBC Aug 2015 J	0.300	78.88 per 100 units	80.88 per 100 units	2015/08/03	100,000,000	2014/12/24
66890	HK Bank	TCH R Bull CBBC Aug 2015 K	0.260	82.88 per 100 units	84.88 per 100 units	2015/08/31	100,000,000	2014/12/24
66891	HK Bank	TCH R Bull CBBC Sep 2015 B	0.250	88.88 per 100 units	90.88 per 100 units	2015/09/21	100,000,000	2014/12/24
66895	HK Bank	TCH R Bull CBBC Sep 2015 C	0.250	92.88 per 100 units	94.88 per 100 units	2015/09/03	100,000,000	2014/12/24
66873	HK Bank	TCH R Bear CBBC Aug 2015 I	0.250	112.88 per 100 units	110.88 per 100 units	2015/08/03	100,000,000	2014/12/24
66876	HK Bank	TCH R Bear CBBC Sep 2015 F	0.250	114.88 per 100 units	112.88 per 100 units	2015/09/21	100,000,000	2014/12/24
66929	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 E	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2015/07/30	300,000,000	2014/12/24
67024	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 G	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2015/07/30	300,000,000	2014/12/24
66928	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 V	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/08/28	300,000,000	2014/12/24
66933	J P Morgan SP BV	HSI R Bull CBBC Sep 2015 C	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2015/09/29	300,000,000	2014/12/24
67026	J P Morgan SP BV	HSI R Bull CBBC Sep 2015 D	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2015/09/29	300,000,000	2014/12/24
67027	J P Morgan SP BV	HSI R Bull CBBC Dec 2015 B	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2015/12/30	300,000,000	2014/12/24
67022	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2015/03/30	300,000,000	2014/12/24

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67019	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 H	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2015/03/30	300,000,000	2014/12/24
67020	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 M	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2015/03/30	300,000,000	2014/12/24
66948	Macquarie Bank Ltd.	HSI R Bull CBBC May 2015 A	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2015/05/28	40,000,000	2014/12/24
66949	Macquarie Bank Ltd.	HSI R Bull CBBC May 2015 B	0.255	21,250 per 10,000 units	21,450 per 10,000 units	2015/05/28	40,000,000	2014/12/24
66950	Macquarie Bank Ltd.	HSI R Bull CBBC May 2015 C	0.260	21,000 per 10,000 units	21,200 per 10,000 units	2015/05/28	40,000,000	2014/12/24
66996	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 H	0.250	22,380 per 20,000 units	22,580 per 20,000 units	2015/06/29	100,000,000	2014/12/24
66995	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 H	0.250	23,180 per 15,000 units	22,980 per 15,000 units	2015/04/29	100,000,000	2014/12/24
67001	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 I	0.250	22,980 per 15,000 units	22,780 per 15,000 units	2015/04/29	100,000,000	2014/12/24
66925	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr15 H	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2015/04/29	200,000,000	2014/12/24
67017	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May15 D	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2015/05/28	200,000,000	2014/12/24
66993	UBS AG	Sinopec Corp R Bull CBBC Oct 2015 C	0.250	5	5.3	2015/10/05	100,000,000	2014/12/24
66936	UBS AG	HSI R Bull CBBC Apr 2015 J	0.250	22,470 per 12,000 units	22,670 per 12,000 units	2015/04/29	350,000,000	2014/12/24
66935	UBS AG	HSI R Bull CBBC May 2015 C	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2015/05/28	300,000,000	2014/12/24
67014	UBS AG	HSI R Bear CBBC May 2015 O	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2015/05/28	300,000,000	2014/12/24
67015	UBS AG	HSI R Bear CBBC May 2015 P	0.250	22,786 per 12,000 units	22,586 per 12,000 units	2015/05/28	350,000,000	2014/12/24
67011	UBS AG	HSI R Bear CBBC Jun 2015 K	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/06/29	300,000,000	2014/12/24
67012	UBS AG	HSI R Bear CBBC Jun 2015 L	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2015/06/29	300,000,000	2014/12/24
67013	UBS AG	HSI R Bear CBBC Jun 2015 M	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2015/06/29	300,000,000	2014/12/24
66990	UBS AG	Ping An R Bull CBBC Oct 2015 B	0.250	61 per 100 units	65 per 100 units	2015/10/05	100,000,000	2014/12/24
67152	Credit Suisse AG	HSI R Bull CBBC Aug 2015 N	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/08/28	238,000,000	2014/12/29
67153	Credit Suisse AG	HSI R Bull CBBC Aug 2015 O	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/08/28	238,000,000	2014/12/29
67161	Credit Suisse AG	HSI R Bull CBBC Aug 2015 P	0.250	22,687 per 10,000 units	22,887 per 10,000 units	2015/08/28	238,000,000	2014/12/29
67107	Credit Suisse AG	HSI R Bear CBBC May 2015 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/05/28	238,000,000	2014/12/29
67097	Credit Suisse AG	HSI R Bear CBBC May 2015 X	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2015/05/28	238,000,000	2014/12/29
67098	Credit Suisse AG	HSI R Bear CBBC May 2015 Y	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2015/05/28	238,000,000	2014/12/29
67106	Credit Suisse AG	HSI R Bear CBBC May 2015 Z	0.250	22,975 per 10,000 units	22,775 per 10,000 units	2015/05/28	238,000,000	2014/12/29
67145	Credit Suisse AG	Ping An R Bull CBBC Jul 2015 A	0.250	66.30 per 100 units	70.30 per 100 units	2015/07/31	50,000,000	2014/12/29
67146	Credit Suisse AG	Ping An R Bull CBBC Jul 2015 B	0.250	63.30 per 100 units	67.30 per 100 units	2015/07/31	50,000,000	2014/12/29
67150	Credit Suisse AG	Ping An R Bull CBBC Jul 2015 C	0.250	60.30 per 100 units	64.30 per 100 units	2015/07/31	50,000,000	2014/12/29
67151	Credit Suisse AG	Ping An R Bull CBBC Jul 2015 D	0.250	57.30 per 100 units	61.30 per 100 units	2015/07/31	50,000,000	2014/12/29
67127	Credit Suisse AG	TCH R Bull CBBC Jun 2015 A	0.250	102.98 per 100 units	105.28 per 100 units	2015/06/01	50,000,000	2014/12/29
67132	Credit Suisse AG	TCH R Bear CBBC Apr 2015 A	0.250	120.07 per 100 units	117.77 per 100 units	2015/04/30	50,000,000	2014/12/29
67134	Credit Suisse AG	TCH R Bear CBBC Apr 2015 B	0.250	125.63 per 100 units	123.33 per 100 units	2015/04/30	50,000,000	2014/12/29
67135	Credit Suisse AG	TCH R Bear CBBC Apr 2015 C	0.250	131.96 per 100 units	129.66 per 100 units	2015/04/30	50,000,000	2014/12/29
67108	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2015 B	0.251	22,138 per 20,000 units	22,438 per 20,000 units	2015/04/29	150,000,000	2014/12/29
67117	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2015 C	0.250	22,338 per 20,000 units	22,638 per 20,000 units	2015/04/29	150,000,000	2014/12/29
67091	HK Bank	CNOOC R Bull CBBC Nov 2015 B	0.350	6.48	7.28	2015/11/30	60,000,000	2014/12/29
67045	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 K	0.250	11.98	12.18	2015/12/29	200,000,000	2014/12/29
67044	HK Bank	A50 R Bull CBBC Dec 2015 C	0.250	11.38	11.58	2015/12/29	200,000,000	2014/12/29
67086	HK Bank	Galaxy Ent R Bull CBBC Oct 2015 A	0.250	29.27 per 100 units	31.27 per 100 units	2015/10/26	80,000,000	2014/12/29
67087	HK Bank	Galaxy Ent R Bull CBBC Nov 2015 A	0.250	26.27 per 100 units	28.27 per 100 units	2015/11/09	80,000,000	2014/12/29
67034	HK Bank	Galaxy Ent R Bear CBBC Oct 2015 C	0.250	47.77 per 100 units	45.77 per 100 units	2015/10/26	60,000,000	2014/12/29
67036	HK Bank	Galaxy Ent R Bear CBBC Nov 2015 B	0.250	50.77 per 100 units	48.77 per 100 units	2015/11/09	60,000,000	2014/12/29

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67088	HK Bank	Sands China R Bull CBBC Nov 2015 A	0.250	22.18 per 100 units	24.18 per 100 units	2015/11/02	80,000,000	2014/12/29
67037	HK Bank	Sands China R Bear CBBC Nov 2015 A	0.250	43.78 per 100 units	41.78 per 100 units	2015/11/09	60,000,000	2014/12/29
67039	HK Bank	TCH R Bull CBBC Oct 2015 A	0.250	84.88 per 100 units	86.88 per 100 units	2015/10/12	100,000,000	2014/12/29
67042	HK Bank	TCH R Bull CBBC Nov 2015 A	0.320	74.88 per 100 units	76.88 per 100 units	2015/11/09	100,000,000	2014/12/29
67038	HK Bank	TCH R Bear CBBC Oct 2015 A	0.250	110.88 per 100 units	108.88 per 100 units	2015/10/12	100,000,000	2014/12/29
67119	J P Morgan SP BV	HSI R Bull CBBC Jun 2015 P	0.250	22,687 per 10,000 units	22,887 per 10,000 units	2015/06/29	300,000,000	2014/12/29
67049	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2015/03/30	300,000,000	2014/12/29
67163	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 I	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2015/03/30	300,000,000	2014/12/29
67154	Standard Chartered Bank	CSOP A50 ETF R Bull CBBC May 2015A	0.250	11.68	11.88	2015/05/04	50,000,000	2014/12/29
67155	Standard Chartered Bank	A50 China R Bull CBBC Jun 2015 A	0.250	11.18	11.38	2015/06/09	50,000,000	2014/12/29
67083	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 J	0.250	23,080 per 20,000 units	22,880 per 20,000 units	2015/04/29	120,000,000	2014/12/29
67084	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 K	0.250	23,680 per 20,000 units	23,480 per 20,000 units	2015/04/29	120,000,000	2014/12/29
67062	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Aug15D	0.250	11.7	11.9	2015/08/28	100,000,000	2014/12/29
67060	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Sep15E	0.250	11.4	11.6	2015/09/29	100,000,000	2014/12/29
67063	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Sep15F	0.250	12	12.2	2015/09/29	100,000,000	2014/12/29
67064	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Jul15D	0.250	14.68	14.48	2015/07/30	100,000,000	2014/12/29
67066	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Aug15D	0.285	15.68	15.48	2015/08/28	100,000,000	2014/12/29
67072	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug15 D	0.250	11.6	11.8	2015/08/28	100,000,000	2014/12/29
67073	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug15 E	0.250	11	11.2	2015/08/28	100,000,000	2014/12/29
67070	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Sep15 E	0.250	11.3	11.5	2015/09/29	100,000,000	2014/12/29
67076	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Jul15D	0.270	14.8	14.6	2015/07/30	100,000,000	2014/12/29
67079	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Aug15D	0.375	15.8	15.6	2015/08/28	100,000,000	2014/12/29
67124	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun15 W	0.250	22,708 per 10,000 units	22,808 per 10,000 units	2015/06/29	200,000,000	2014/12/29
67123	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul15 Y	0.250	22,608 per 10,000 units	22,708 per 10,000 units	2015/07/30	200,000,000	2014/12/29
67158	UBS AG	HSI R Bull CBBC Apr 2015 K	0.250	22,687 per 12,000 units	22,887 per 12,000 units	2015/04/29	350,000,000	2014/12/29
67157	UBS AG	HSI R Bull CBBC Jun 2015 B	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2015/06/29	300,000,000	2014/12/29
67156	UBS AG	HSI R Bull CBBC Jun 2015 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2015/06/29	300,000,000	2014/12/29
67162	UBS AG	HSI R Bull CBBC Sep 2015 A	0.305	19,800 per 10,000 units	20,000 per 10,000 units	2015/09/29	300,000,000	2014/12/29
67054	UBS AG	HSI R Bear CBBC Mar 2015 T	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2015/03/30	300,000,000	2014/12/29
67056	UBS AG	HSI R Bear CBBC Mar 2015 W	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2015/03/30	300,000,000	2014/12/29
67058	UBS AG	HSI R Bear CBBC Mar 2015 X	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2015/03/30	300,000,000	2014/12/29
67160	UBS AG	Tencent R Bear CBBC Apr 2015 F	0.250	123 per 100 units	120 per 100 units	2015/04/08	100,000,000	2014/12/29
67226	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/12/30	200,000,000	2014/12/30
67227	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 U	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2015/12/30	200,000,000	2014/12/30
67228	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 V	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2015/12/30	200,000,000	2014/12/30
67199	Credit Suisse AG	BOCL R Bull CBBC Jul 2015 A	0.250	3.56 per units	3.71 per units	2015/07/31	50,000,000	2014/12/30
67208	Credit Suisse AG	BOCL R Bull CBBC Jul 2015 B	0.250	3.30 per units	3.45 per units	2015/07/31	50,000,000	2014/12/30
67209	Credit Suisse AG	BOCL R Bear CBBC May 2015 A	0.250	6.26 per units	4.66 per units	2015/05/29	50,000,000	2014/12/30
67181	Credit Suisse AG	CCB R Bull CBBC Jul 2015 A	0.250	5.36 per units	5.66 per units	2015/07/31	50,000,000	2014/12/30
67184	Credit Suisse AG	CCB R Bull CBBC Jul 2015 B	0.250	4.81 per units	5.11 per units	2015/07/31	50,000,000	2014/12/30
67185	Credit Suisse AG	CCB R Bear CBBC May 2015 A	0.250	6.99 per units	6.69 per units	2015/05/29	50,000,000	2014/12/30
67220	Credit Suisse AG	CNOOC R Bull CBBC Jun 2015 A	0.250	8.75 per units	9.45 per units	2015/06/30	50,000,000	2014/12/30
67221	Credit Suisse AG	CNOOC R Bull CBBC Jun 2015 B	0.250	8.18 per units	8.88 per units	2015/06/30	50,000,000	2014/12/30

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67223	Credit Suisse AG	CNOOC R Bear CBBC May 2015 A	0.250	12.33 per units	11.55 per units	2015/05/11	50,000,000	2014/12/30
67225	Credit Suisse AG	CNOOC R Bear CBBC May 2015 B	0.300	13.11 per units	12.33 per units	2015/05/11	50,000,000	2014/12/30
67210	Credit Suisse AG	Sinopec Corp R Bull CBBC May 2015 A	0.250	5.25 per units	5.55 per units	2015/05/29	50,000,000	2014/12/30
67218	Credit Suisse AG	Sinopec Corp R Bull CBBC May 2015 B	0.250	4.58 per units	4.88 per units	2015/05/29	50,000,000	2014/12/30
67236	Credit Suisse AG	HSI R Bull CBBC May 2015 B	0.250	22,769 per 12,000 units	22,999 per 12,000 units	2015/05/28	238,000,000	2014/12/30
67238	Credit Suisse AG	HSI R Bull CBBC May 2015 E	0.250	22,892 per 12,000 units	23,122 per 12,000 units	2015/05/28	238,000,000	2014/12/30
67171	Credit Suisse AG	HSI R Bear CBBC Jul 2015 E	0.250	23,063 per 12,000 units	22,833 per 12,000 units	2015/07/30	238,000,000	2014/12/30
67172	Credit Suisse AG	HSI R Bear CBBC Jul 2015 F	0.250	23,199 per 10,000 units	22,999 per 10,000 units	2015/07/30	238,000,000	2014/12/30
67175	Credit Suisse AG	HSI R Bear CBBC Jul 2015 G	0.250	23,377 per 10,000 units	23,177 per 10,000 units	2015/07/30	238,000,000	2014/12/30
67186	Credit Suisse AG	ICBC R Bull CBBC Jun 2015 A	0.250	4.51 per units	4.71 per units	2015/06/30	50,000,000	2014/12/30
67187	Credit Suisse AG	ICBC R Bull CBBC Jun 2015 B	0.250	4.13 per units	4.33 per units	2015/06/30	50,000,000	2014/12/30
67189	Credit Suisse AG	ICBC R Bear CBBC May 2015 A	0.250	6.19 per units	5.99 per units	2015/05/29	50,000,000	2014/12/30
67239	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2015 D	0.250	22,738 per 20,000 units	23,038 per 20,000 units	2015/04/29	150,000,000	2014/12/30
67240	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2015 E	0.250	22,538 per 20,000 units	22,838 per 20,000 units	2015/04/29	150,000,000	2014/12/30
67180	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 C	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2015/07/30	300,000,000	2014/12/30
67179	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/08/28	300,000,000	2014/12/30
67177	J P Morgan SP BV	HSI R Bull CBBC Sep 2015 E	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2015/09/29	300,000,000	2014/12/30
67170	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 A	0.250	24,033 per 10,000 units	23,833 per 10,000 units	2015/03/30	300,000,000	2014/12/30
67168	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 K	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2015/03/30	300,000,000	2014/12/30
67235	UBS AG	HSI R Bull CBBC May 2015 Z	0.250	22,922 per 12,000 units	23,122 per 12,000 units	2015/05/28	350,000,000	2014/12/30
67230	UBS AG	HSI R Bull CBBC Jun 2015 C	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2015/06/29	300,000,000	2014/12/30
67229	UBS AG	HSI R Bull CBBC Jul 2015 K	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2015/07/30	300,000,000	2014/12/30
67231	UBS AG	HSI R Bull CBBC Aug 2015 F	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2015/08/28	300,000,000	2014/12/30
67176	UBS AG	HSI R Bear CBBC Mar 2015 Y	0.250	23,033 per 12,000 units	22,833 per 12,000 units	2015/03/30	350,000,000	2014/12/30
65380 ^a	HK Bank	BOCL R Bull CBBC Aug 2015 C	0.075	3.53	3.68	2015/08/17	180,000,000	2014/12/30
66012 ^a	HK Bank	Ping An R Bull CBBC Oct 2015 C	0.138	63.88 per 100 units	67.88 per 100 units	2015/10/19	180,000,000	2014/12/30
67297	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF R Bull CBBC Dec15A	0.250	11.5	11.8	2015/12/30	100,000,000	2014/12/31
67294	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2015 A	0.250	11.2	11.5	2015/12/30	100,000,000	2014/12/31
67299	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2015 A	0.250	10,800 per 5,000 units	11,000 per 5,000 units	2015/12/30	100,000,000	2014/12/31
67374	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 W	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/12/30	200,000,000	2014/12/31
67378	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2015 X	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/12/30	200,000,000	2014/12/31
67276	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 R	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/04/29	200,000,000	2014/12/31
67281	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 S	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/04/29	200,000,000	2014/12/31
67282	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2015 T	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/04/29	200,000,000	2014/12/31
67316	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Aug 2015 A	0.250	11.36	11.66	2015/08/28	50,000,000	2014/12/31
67323	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Aug 2015 B	0.250	11.86	12.16	2015/08/28	50,000,000	2014/12/31
67324	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jul 2015 C	0.290	15.96	15.66	2015/07/30	50,000,000	2014/12/31
67325	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jul 2015 D	0.350	16.56	16.16	2015/07/30	50,000,000	2014/12/31
67326	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jul 2015 E	0.450	17.56	17.16	2015/07/30	50,000,000	2014/12/31
67330	Credit Suisse AG	A50 China R Bull CBBC Aug 2015 A	0.250	11.26	11.56	2015/08/28	50,000,000	2014/12/31
67331	Credit Suisse AG	A50 China R Bull CBBC Aug 2015 B	0.250	11.76	12.06	2015/08/28	50,000,000	2014/12/31
67332	Credit Suisse AG	A50 China R Bear CBBC Jul 2015 C	0.300	15.46	15.16	2015/07/30	50,000,000	2014/12/31
67335	Credit Suisse AG	A50 China R Bear CBBC Jul 2015 D	0.360	16.07	15.77	2015/07/30	50,000,000	2014/12/31

Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67336	Credit Suisse AG	A50 China R Bear CBBC Jul 2015 E	0.460	17.06	16.66	2015/07/30	50,000,000	2014/12/31
67353	Credit Suisse AG	HSI R Bull CBBC May 2015 A	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/05/28	238,000,000	2014/12/31
67355	Credit Suisse AG	HSI R Bull CBBC May 2015 M	0.250	23,178 per 12,000 units	23,408 per 12,000 units	2015/05/28	238,000,000	2014/12/31
67349	Credit Suisse AG	HSI R Bull CBBC May 2015 U	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/05/28	238,000,000	2014/12/31
67350	Credit Suisse AG	HSI R Bull CBBC May 2015 X	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/05/28	238,000,000	2014/12/31
67311	Credit Suisse AG	HSI R Bear CBBC Apr 2015 F	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/04/29	238,000,000	2014/12/31
67309	Credit Suisse AG	HSI R Bear CBBC Apr 2015 P	0.250	23,347 per 12,000 units	23,117 per 12,000 units	2015/04/29	238,000,000	2014/12/31
67312	Credit Suisse AG	HSI R Bear CBBC Apr 2015 Q	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2015/04/29	238,000,000	2014/12/31
67314	Credit Suisse AG	HSI R Bear CBBC Apr 2015 W	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2015/04/29	238,000,000	2014/12/31
67379	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2015 F	0.250	22,938 per 20,000 units	23,238 per 20,000 units	2015/04/29	150,000,000	2014/12/31
67250	HK Bank	AIA R Bear CBBC Oct 2015 A	0.250	45.48 per 100 units	44.48 per 100 units	2015/10/26	40,000,000	2014/12/31
67246	HK Bank	China Mobile R Bear CBBC Nov 2015 A	0.250	96.88 per 100 units	94.38 per 100 units	2015/11/16	60,000,000	2014/12/31
67242	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2015 L	0.250	12.18	12.38	2015/12/23	200,000,000	2014/12/31
67241	HK Bank	A50 R Bull CBBC Dec 2015 D	0.250	11.58	11.78	2015/12/23	200,000,000	2014/12/31
67255	HK Bank	HSI R Bull CBBC Aug 2015 O	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2015/08/28	100,000,000	2014/12/31
67251	HK Bank	HSI R Bull CBBC Sep 2015 F	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2015/09/29	100,000,000	2014/12/31
67245	HK Bank	Hutchison R Bull CBBC Dec 2015 A	0.250	65.88 per 100 units	68.88 per 100 units	2015/12/21	60,000,000	2014/12/31
67243	HK Bank	TCH R Bull CBBC Oct 2015 B	0.250	109.88 per 100 units	111.88 per 100 units	2015/10/12	100,000,000	2014/12/31
67244	HK Bank	TCH R Bull CBBC Oct 2015 C	0.250	105.88 per 100 units	107.88 per 100 units	2015/10/05	100,000,000	2014/12/31
67381	J P Morgan SP BV	HSI R Bull CBBC Jul 2015 D	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2015/07/30	300,000,000	2014/12/31
67382	J P Morgan SP BV	HSI R Bull CBBC Aug 2015 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2015/08/28	300,000,000	2014/12/31
67257	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2015/03/30	300,000,000	2014/12/31
67260	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/03/30	300,000,000	2014/12/31
67383	J P Morgan SP BV	HSI R Bear CBBC Mar 2015 Y	0.250	24,788 per 10,000 units	24,588 per 10,000 units	2015/03/30	300,000,000	2014/12/31
67337	Standard Chartered Bank	HSI R Bull CBBC Jun 2015 I	0.250	23,080 per 20,000 units	23,280 per 20,000 units	2015/06/29	120,000,000	2014/12/31
67302	Standard Chartered Bank	HSI R Bear CBBC Apr 2015 L	0.250	23,380 per 15,000 units	23,180 per 15,000 units	2015/04/29	120,000,000	2014/12/31
67341	SGA Societe Generale Acceptance N.V.	CSA50 R Bull CBBC Dec15A	0.250	12.3	12.5	2015/12/30	100,000,000	2014/12/31
67342	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Dec 15A	0.250	11.9	12.1	2015/12/30	100,000,000	2014/12/31
67315	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 15A	0.250	23,108 per 10,000 units	23,208 per 10,000 units	2015/06/29	200,000,000	2014/12/31
67363	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 15B	0.250	23,308 per 10,000 units	23,408 per 10,000 units	2015/06/29	200,000,000	2014/12/31
67304	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 15Z	0.250	22,408 per 10,000 units	22,508 per 10,000 units	2015/07/30	200,000,000	2014/12/31
67308	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 15O	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2015/04/29	200,000,000	2014/12/31
67271	UBS AG	CSOP A50 ETF R Bull CBBC Sep 2015 A	0.250	11.8	12	2015/09/07	100,000,000	2014/12/31
67267	UBS AG	A50 China R Bull CBBC Dec 2015 A	0.250	11.3	11.5	2015/12/21	100,000,000	2014/12/31
67360	UBS AG	HSI R Bull CBBC May 2015 D	0.250	23,208 per 12,000 units	23,408 per 12,000 units	2015/05/28	350,000,000	2014/12/31
67358	UBS AG	HSI R Bull CBBC Jul 2015 M	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2015/07/30	300,000,000	2014/12/31
67359	UBS AG	HSI R Bull CBBC Jul 2015 O	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2015/07/30	300,000,000	2014/12/31
67357	UBS AG	HSI R Bull CBBC Aug 2015 G	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2015/08/28	300,000,000	2014/12/31
67262	UBS AG	HSI R Bear CBBC May 2015 Q	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2015/05/28	300,000,000	2014/12/31
67263	UBS AG	HSI R Bear CBBC May 2015 R	0.250	23,317 per 12,000 units	23,117 per 12,000 units	2015/05/28	350,000,000	2014/12/31
67261	UBS AG	HSI R Bear CBBC Jun 2015 N	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2015/06/29	300,000,000	2014/12/31
67275	UBS AG	Tencent R Bear CBBC Apr 2015 G	0.250	128 per 100 units	125 per 100 units	2015/04/27	100,000,000	2014/12/31

Further issue

List of expired CBBC, 2014

Code	CBBC	Last trading date	Delisted date
65012	Credit Suisse AG – HSI R Bear CBBC May 2014 K	2013/12/31	2014/01/02
63338	UBS AG – TCH R Bear CBBC Jun 2014 C	2013/12/31	2014/01/02
65073	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 U	2013/12/31	2014/01/02
65046	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 T	2013/12/31	2014/01/02
65030	UBS AG – HSI R Bear CBBC Apr 2014 S	2013/12/31	2014/01/02
65015	UBS AG – HSI R Bear CBBC Apr 2014 R	2013/12/31	2014/01/02
65013	Credit Suisse AG – HSI R Bear CBBC May 2014 L	2013/12/31	2014/01/02
65003	Credit Suisse AG – HSI R Bear CBBC May 2014 J	2013/12/31	2014/01/02
64976	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 N	2013/12/31	2014/01/02
64971	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 M	2013/12/31	2014/01/02
64963	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 J	2013/12/31	2014/01/02
64960	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 I	2013/12/31	2014/01/02
64693	HK Bank – TCH R Bear CBBC Jun 2014 C	2013/12/31	2014/01/02
64912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 O	2013/12/31	2014/01/02
64907	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 N	2013/12/31	2014/01/02
64879	HK Bank – HSI R Bear CBBC Aug 2014 H	2013/12/31	2014/01/02
64970	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 K	2013/12/31	2014/01/02
65014	UBS AG – HSI R Bear CBBC Apr 2014 P	2013/12/31	2014/01/02
64500	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 I	2014/01/02	2014/01/03
65161	HK Bank – HSI R Bear CBBC Jul 2014 L	2014/01/02	2014/01/03
65152	HK Bank – Sands China R Bear CBBC Nov 2014 B	2014/01/02	2014/01/03
65145	HK Bank – China Life R Bear CBBC Nov 2014 B	2014/01/02	2014/01/03
65124	HK Bank – Galaxy Ent R Bear CBBC Oct 2014 C	2014/01/02	2014/01/03
65106	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 A	2014/01/02	2014/01/03
64349	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 O	2014/01/02	2014/01/03
64627	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 L	2014/01/02	2014/01/03
64572	Credit Suisse AG – HSI R Bear CBBC Apr 2014 P	2014/01/02	2014/01/03
65184	Credit Suisse AG – HSI R Bear CBBC May 2014 M	2014/01/02	2014/01/03
65122	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 B	2014/01/02	2014/01/03
63742	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 Z	2014/01/02	2014/01/03
65203	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14N	2014/01/02	2014/01/03
63386	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 U	2014/01/02	2014/01/03
63047	UBS AG – HSI R Bear CBBC Mar 2014 D	2014/01/02	2014/01/03
62966	Credit Suisse AG – HSI R Bear CBBC Mar 2014 O	2014/01/02	2014/01/03
65205	UBS AG – HSI R Bear CBBC May 2014 J	2014/01/02	2014/01/03
62803	Standard Chartered Bank – HSI R Bear CBBC May 2014 N	2014/01/02	2014/01/03
65204	UBS AG – HSI R Bear CBBC May 2014 I	2014/01/02	2014/01/03
63527	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 A	2014/01/02	2014/01/03
65200	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14M	2014/01/02	2014/01/03
65190	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14L	2014/01/02	2014/01/03
63217	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 X	2014/01/02	2014/01/03
65189	Credit Suisse AG – HSI R Bear CBBC May 2014 O	2014/01/02	2014/01/03
63750	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 A	2014/01/02	2014/01/03
65188	Credit Suisse AG – HSI R Bear CBBC May 2014 N	2014/01/02	2014/01/03
63898	Credit Suisse AG – A50 China R Bull CBBC May 2014 A	2014/01/03	2014/01/06
63892	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 14B	2014/01/03	2014/01/06
63885	HK Bank – China Shenhua R Bull CBBC Aug 2014 B	2014/01/03	2014/01/06
64059	UBS AG – HSI R Bull CBBC Oct 2014 B	2014/01/03	2014/01/06
64539	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 P	2014/01/03	2014/01/06
64531	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 O	2014/01/03	2014/01/06
64560	Credit Suisse AG – HSI R Bull CBBC Oct 2014 E	2014/01/03	2014/01/06
64562	Credit Suisse AG – HSI R Bull CBBC Oct 2014 F	2014/01/03	2014/01/06
64563	Credit Suisse AG – HSI R Bull CBBC Oct 2014 G	2014/01/03	2014/01/06
64599	UBS AG – HSI R Bull CBBC Aug 2014 R	2014/01/03	2014/01/06
64600	UBS AG – HSI R Bull CBBC Oct 2014 C	2014/01/03	2014/01/06
64601	UBS AG – HSI R Bull CBBC Jul 2014 Z	2014/01/03	2014/01/06
60506	UBS AG – CNBM R Bull CBBC Jun 2014 A	2014/01/03	2014/01/06
68153	HK Bank – A50 R Bull CBBC Mar 2014 C	2014/01/03	2014/01/06
63332	UBS AG – A50 China R Bear CBBC Jan 2014 B	2014/01/03	2014/01/07
64564	Credit Suisse AG – HSI R Bull CBBC Oct 2014 C	2014/01/03	2014/01/06
64135	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 R	2014/01/03	2014/01/06
64271	UBS AG – HSI R Bull CBBC Jul 2014 O	2014/01/03	2014/01/06
68481	UBS AG – CC Bank R Bull CBBC Jul 2014 C	2014/01/03	2014/01/06
68492	UBS AG – HKEx R Bull CBBC Sep 2014 A	2014/01/03	2014/01/06
68559	HK Bank – HKEx R Bull CBBC Jul 2014 B	2014/01/03	2014/01/06
64818	Credit Suisse AG – HSI R Bull CBBC Sep 2014 H	2014/01/03	2014/01/06
65298	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Y	2014/01/03	2014/01/06
64189	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 B	2014/01/03	2014/01/06
69905	HK Bank – China Mobile R Bull CBBC Oct 2014 B	2014/01/03	2014/01/06
64508	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 P	2014/01/03	2014/01/06
64178	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 A	2014/01/03	2014/01/06
64272	HK Bank – China Mobile R Bull CBBC Jan 2014 A	2014/01/03	2014/01/07
64133	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 Q	2014/01/03	2014/01/06
60344	HK Bank – CNBM R Bull CBBC Jul 2014 A	2014/01/03	2014/01/06
67089	UBS AG – COVS R Bull CBBC Jan 2014 A	2014/01/03	2014/01/07
68578	HK Bank – China Shenhua R Bull CBBC Mar 2014 D	2014/01/03	2014/01/06
64081	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 L	2014/01/03	2014/01/06
64253	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Q	2014/01/03	2014/01/06
64080	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 H	2014/01/03	2014/01/06
69792	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2014 E	2014/01/03	2014/01/07
64526	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 G	2014/01/03	2014/01/06
64485	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 D	2014/01/03	2014/01/06
65237	Credit Suisse AG – HSI R Bull CBBC Nov 2014 M	2014/01/03	2014/01/06
65545	HK Bank – Sinopec Corp R Bull CBBC Aug 2014 A	2014/01/03	2014/01/06
67901	UBS AG – HSCEI R Bull CBBC Jul 2014 C	2014/01/03	2014/01/06
65240	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 C	2014/01/03	2014/01/06
65239	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 B	2014/01/03	2014/01/06

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66772	HK Bank – China Mobile R Bull CBBC Feb 2014 B	2014/01/03	2014/01/06
65291	Credit Suisse AG – HSI R Bull CBBC Sep 2014 P	2014/01/03	2014/01/06
65289	Credit Suisse AG – HSI R Bull CBBC Sep 2014 S	2014/01/03	2014/01/06
65160	HK Bank – HSI R Bull CBBC Jul 2014 N	2014/01/03	2014/01/06
65282	Credit Suisse AG – HSI R Bear CBBC Jun 2014 F	2014/01/03	2014/01/06
64787	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 E	2014/01/03	2014/01/06
65292	UBS AG – HSI R Bull CBBC Oct 2014 E	2014/01/03	2014/01/06
65236	Credit Suisse AG – HSI R Bull CBBC Nov 2014 L	2014/01/03	2014/01/06
65206	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 O	2014/01/03	2014/01/06
65207	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Q	2014/01/03	2014/01/06
65208	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 F	2014/01/03	2014/01/06
65209	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14C	2014/01/03	2014/01/06
65233	UBS AG – HSI R Bull CBBC Sep 2014 W	2014/01/03	2014/01/06
65284	UBS AG – HSI R Bear CBBC May 2014 K	2014/01/03	2014/01/06
65226	UBS AG – HSI R Bull CBBC Dec 2014 H	2014/01/03	2014/01/06
65288	Credit Suisse AG – HSI R Bull CBBC Sep 2014 R	2014/01/03	2014/01/06
64814	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 H	2014/01/03	2014/01/06
65295	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 14Q	2014/01/03	2014/01/06
65294	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 R	2014/01/03	2014/01/06
65100	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Jul14A	2014/01/03	2014/01/06
65274	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 V	2014/01/03	2014/01/06
65293	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 Q	2014/01/03	2014/01/06
64887	HK Bank – HSI R Bull CBBC Jul 2014 L	2014/01/03	2014/01/06
64902	UBS AG – HSI R Bull CBBC Oct 2014 D	2014/01/03	2014/01/06
65297	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 J	2014/01/03	2014/01/06
65267	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 O	2014/01/03	2014/01/06
64886	HK Bank – A50 R Bull CBBC Oct 2014 C	2014/01/03	2014/01/06
64756	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 E	2014/01/03	2014/01/06
66017	UBS AG – Sinopec Corp R Bull CBBC Jul 2014 A	2014/01/03	2014/01/06
64755	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Z	2014/01/03	2014/01/06
60508	UBS AG – China Shenhua R Bull CBBC Dec 2014 B	2014/01/03	2014/01/06
61152	UBS AG – Anhui Conch R Bull CBBC Nov 2014 A	2014/01/03	2014/01/06
65273	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 14H	2014/01/03	2014/01/06
64109	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 B	2014/01/03	2014/01/06
65933	UBS AG – HSI R Bull CBBC Oct 2014 K	2014/01/06	2014/01/07
64850	HK Bank – A50 R Bull CBBC Aug 2014 A	2014/01/06	2014/01/07
64701	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2014 A	2014/01/06	2014/01/07
65914	Credit Suisse AG – HSI R Bull CBBC Nov 2014 B	2014/01/06	2014/01/07
67530	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2014 D	2014/01/06	2014/01/07
67526	HK Bank – A50 R Bull CBBC Mar 2014 B	2014/01/06	2014/01/07
65904	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 P	2014/01/06	2014/01/07
65787	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 P	2014/01/06	2014/01/07
63301	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 W	2014/01/06	2014/01/07
64862	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 14 B	2014/01/06	2014/01/07
68575	HK Bank – China Life R Bull CBBC Sep 2014 A	2014/01/06	2014/01/07
66090	Credit Suisse AG – HSI R Bull CBBC Sep 2014 K	2014/01/06	2014/01/07
66078	UBS AG – HSI R Bull CBBC Aug 2014 Y	2014/01/06	2014/01/07
66077	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 O	2014/01/06	2014/01/07
65490	HK Bank – CC Bank R Bull CBBC Jul 2014 C	2014/01/06	2014/01/07
66076	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 N	2014/01/06	2014/01/07
62238	HK Bank – ICBC R Bull CBBC Jul 2014 A	2014/01/06	2014/01/07
60301	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 U	2014/01/06	2014/01/07
66411	UBS AG – Evergrande R Bull CBBC Jul 2014 B	2014/01/06	2014/01/07
60009	HK Bank – CM Bank R Bull CBBC Aug 2014 A	2014/01/06	2014/01/07
65427	UBS AG – HSCEI R Bull CBBC Sep 2014 A	2014/01/06	2014/01/07
68576	HK Bank – China Life R Bull CBBC Jul 2014 E	2014/01/06	2014/01/07
68164	HK Bank – HSCEI R Bull CBBC Aug 2014 A	2014/01/06	2014/01/07
68574	HK Bank – ABC R Bull CBBC Jul 2014 B	2014/01/06	2014/01/07
66081	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 S	2014/01/06	2014/01/07
65956	HK Bank – HSI R Bull CBBC May 2014 F	2014/01/06	2014/01/07
68442	UBS AG – China Life R Bull CBBC Jul 2014 F	2014/01/06	2014/01/07
68339	HK Bank – HSI R Bull CBBC Aug 2014 E	2014/01/06	2014/01/07
65743	Credit Suisse AG – HSI R Bull CBBC Aug 2014 U	2014/01/06	2014/01/07
66104	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 T	2014/01/06	2014/01/07
66126	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 C	2014/01/06	2014/01/07
65755	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 R	2014/01/06	2014/01/07
66094	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 T	2014/01/06	2014/01/07
65355	Credit Suisse AG – HSI R Bull CBBC Jul 2014 O	2014/01/06	2014/01/07
68211	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2014 A	2014/01/06	2014/01/07
64740	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 D	2014/01/06	2014/01/07
64698	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 N	2014/01/06	2014/01/07
65300	HK Bank – HSCEI R Bull CBBC Jul 2014 F	2014/01/06	2014/01/07
65309	HK Bank – HSI R Bull CBBC Sep 2014 D	2014/01/06	2014/01/07
65310	HK Bank – HSI R Bull CBBC Aug 2014 J	2014/01/06	2014/01/07
64504	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 A	2014/01/06	2014/01/07
65316	HK Bank – HSCEI R Bull CBBC Sep 2014 B	2014/01/06	2014/01/07
65360	UBS AG – HSI R Bull CBBC Sep 2014 S	2014/01/06	2014/01/07
66743	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 E	2014/01/06	2014/01/07
65361	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 A	2014/01/06	2014/01/07
65358	UBS AG – HSI R Bull CBBC Dec 2014 I	2014/01/06	2014/01/07
64903	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 F	2014/01/06	2014/01/07
66780	Credit Suisse AG – HSI R Bull CBBC Jul 2014 M	2014/01/06	2014/01/07
69790	UBS AG – A50 China R Bull CBBC Feb 2014 E	2014/01/06	2014/01/07
65350	Credit Suisse AG – HSI R Bull CBBC Jul 2014 Q	2014/01/06	2014/01/07
69205	HK Bank – CNOOC R Bull CBBC Apr 2014 A	2014/01/06	2014/01/07
64279	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2014 B	2014/01/06	2014/01/07
69204	HK Bank – PetCh R Bull CBBC Apr 2014 A	2014/01/06	2014/01/07

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65341	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 14O	2014/01/06	2014/01/07
65342	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 14I	2014/01/06	2014/01/07
65349	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 S	2014/01/06	2014/01/07
65352	Credit Suisse AG – HSI R Bull CBBC Jul 2014 R	2014/01/06	2014/01/07
65317	UBS AG – HSCEI R Bull CBBC Sep 2014 D	2014/01/06	2014/01/07
68577	HK Bank – CC Bank R Bull CBBC Mar 2014 A	2014/01/06	2014/01/07
62437	UBS AG – ICBC R Bull CBBC Jul 2014 A	2014/01/06	2014/01/07
67502	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 U	2014/01/06	2014/01/07
65379	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 K	2014/01/06	2014/01/07
69994	Bank of East Asia – A50 China R Bull CBBC Aug 2014 A	2014/01/06	2014/01/07
65376	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 R	2014/01/06	2014/01/07
65238	Credit Suisse AG – HSI R Bull CBBC Nov 2014 N	2014/01/06	2014/01/07
65220	UBS AG – A50 China R Bull CBBC Dec 2014 B	2014/01/06	2014/01/07
64824	HK Bank – PetCh R Bull CBBC Nov 2014 A	2014/01/06	2014/01/07
67112	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 D	2014/01/06	2014/01/07
66749	UBS AG – HSI R Bull CBBC Jul 2014 N	2014/01/06	2014/01/07
67081	UBS AG – HSI R Bull CBBC Oct 2014 O	2014/01/06	2014/01/07
67031	Credit Suisse AG – HSI R Bull CBBC Aug 2014 W	2014/01/06	2014/01/07
66864	HK Bank – HSI R Bull CBBC Jun 2014 H	2014/01/06	2014/01/07
65038	UBS AG – HSI R Bull CBBC Jul 2014 V	2014/01/06	2014/01/07
65002	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Q	2014/01/06	2014/01/07
65375	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 E	2014/01/06	2014/01/07
66816	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 V	2014/01/06	2014/01/07
65370	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 D	2014/01/06	2014/01/07
65363	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 C	2014/01/06	2014/01/07
65362	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 B	2014/01/06	2014/01/07
64942	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 A	2014/01/06	2014/01/07
61177	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Jul14A	2014/01/07	2014/01/08
61155	UBS AG – Galaxy Ent R Bear CBBC Jul 2014 A	2014/01/07	2014/01/08
62700	HK Bank – COVS R Bull CBBC Jul 2014 A	2014/01/07	2014/01/08
61065	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 A	2014/01/07	2014/01/08
63335	UBS AG – Galaxy Ent R Bear CBBC Aug 2014 A	2014/01/07	2014/01/08
62702	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 A	2014/01/07	2014/01/08
65438	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 S	2014/01/07	2014/01/08
65432	UBS AG – HSI R Bull CBBC Jun 2014 X	2014/01/07	2014/01/08
67298	UBS AG – COVS R Bull CBBC Feb 2014 A	2014/01/07	2014/01/08
63935	Credit Suisse AG – China Mobile R Bull CBBC Sep 2014 A	2014/01/07	2014/01/08
65417	Credit Suisse AG – HSI R Bull CBBC Sep 2014 U	2014/01/07	2014/01/08
65416	Credit Suisse AG – HSI R Bull CBBC Sep 2014 T	2014/01/07	2014/01/08
65415	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 H	2014/01/07	2014/01/08
65401	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 14R	2014/01/07	2014/01/08
65397	HK Bank – China Life R Bull CBBC Aug 2014 B	2014/01/07	2014/01/08
65386	HK Bank – ICBC R Bull CBBC Oct 2014 A	2014/01/07	2014/01/08
64552	HK Bank – Sands China R Bear CBBC Oct 2014 A	2014/01/07	2014/01/08
65431	UBS AG – HSI R Bull CBBC Dec 2014 J	2014/01/07	2014/01/08
63875	HK Bank – Galaxy Ent R Bear CBBC Jan 2015 A	2014/01/08	2014/01/09
65488	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 M	2014/01/08	2014/01/09
65463	Credit Suisse AG – HSI R Bull CBBC Oct 2014 D	2014/01/08	2014/01/09
65468	Credit Suisse AG – HSI R Bull CBBC Oct 2014 B	2014/01/08	2014/01/09
65476	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 U	2014/01/08	2014/01/09
65481	UBS AG – HSI R Bull CBBC Dec 2014 K	2014/01/08	2014/01/09
64326	UBS AG – Galaxy Ent R Bear CBBC Apr 2014 C	2014/01/08	2014/01/09
69796	UBS AG – Petrochina R Bull CBBC Jun 2014 A	2014/01/09	2014/01/10
67078	UBS AG – China Mobile R Bull CBBC Feb 2014 A	2014/01/09	2014/01/10
65569	UBS AG – HSI R Bull CBBC Oct 2014 G	2014/01/09	2014/01/10
65529	HK Bank – Ping An R Bull CBBC Oct 2014 A	2014/01/09	2014/01/10
65580	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 B	2014/01/09	2014/01/10
65472	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 T	2014/01/09	2014/01/10
65498	HK Bank – HSI R Bull CBBC Sep 2014 E	2014/01/09	2014/01/10
65216	UBS AG – China Mobile R Bull CBBC Oct 2014 D	2014/01/09	2014/01/10
65579	Credit Suisse AG – HSI R Bull CBBC Aug 2014 R	2014/01/09	2014/01/10
65551	UBS AG – China Mobile R Bull CBBC Oct 2014 E	2014/01/09	2014/01/10
65566	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 A	2014/01/09	2014/01/10
65586	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 N	2014/01/09	2014/01/10
65589	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 I	2014/01/09	2014/01/10
65521	HK Bank – China Mobile R Bull CBBC Oct 2014 E	2014/01/09	2014/01/10
65518	Credit Suisse AG – HSI R Bull CBBC Aug 2014 I	2014/01/09	2014/01/10
65499	HK Bank – HSI R Bull CBBC Sep 2014 F	2014/01/09	2014/01/10
65801	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 V	2014/01/10	2014/01/13
65804	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 I	2014/01/10	2014/01/13
64286	HK Bank – COVS R Bull CBBC Jan 2014 A	2014/01/10	2014/01/14
65790	Credit Suisse AG – HSI R Bear CBBC Apr 2014 I	2014/01/10	2014/01/13
66277	UBS AG – CPIC R Bull CBBC Jan 2014 A	2014/01/10	2014/01/14
65811	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 A	2014/01/10	2014/01/13
65817	UBS AG – HSI R Bear CBBC Apr 2014 Q	2014/01/10	2014/01/13
65825	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 V	2014/01/10	2014/01/13
65786	Credit Suisse AG – HSI R Bear CBBC Apr 2014 N	2014/01/10	2014/01/13
64021	UBS AG – A50 China R Bull CBBC Jan 2014 G	2014/01/10	2014/01/14
64175	HK Bank – TCH R Bull CBBC Jan 2014 A	2014/01/10	2014/01/14
63943	UBS AG – TCH R Bull CBBC Jan 2014 B	2014/01/10	2014/01/14
66021	HK Bank – Minsheng Bank R Bull CBBC Jan 2014 A	2014/01/10	2014/01/14
66565	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun14A	2014/01/10	2014/01/13
64521	HK Bank – China Mobile R Bull CBBC Jul 2014 B	2014/01/10	2014/01/13
65660	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 K	2014/01/10	2014/01/13
65613	HK Bank – Lenovo R Bull CBBC Sep 2014 B	2014/01/10	2014/01/13
61797	HK Bank – A50 R Bull CBBC Jan 2014 B	2014/01/10	2014/01/14
68649	UBS AG – CC Bank R Bull CBBC Apr 2014 B	2014/01/10	2014/01/13

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65597	HK Bank – CNOOC R Bull CBBC Oct 2014 B	2014/01/10	2014/01/13
65637	UBS AG – HSI R Bull CBBC Nov 2014 G	2014/01/10	2014/01/13
65596	HK Bank – TCH R Bull CBBC Aug 2014 I	2014/01/10	2014/01/13
61445	UBS AG – Hutchison R Bull CBBC Jan 2014 A	2014/01/10	2014/01/14
65641	UBS AG – HSCEI R Bull CBBC Oct 2014 A	2014/01/10	2014/01/13
65615	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 O	2014/01/10	2014/01/13
65644	Credit Suisse AG – HSI R Bull CBBC Nov 2014 O	2014/01/10	2014/01/13
65650	Credit Suisse AG – HSI R Bull CBBC Nov 2014 P	2014/01/10	2014/01/13
65656	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Q	2014/01/10	2014/01/13
65657	Credit Suisse AG – HSI R Bull CBBC Nov 2014 R	2014/01/10	2014/01/13
65636	UBS AG – HSI R Bull CBBC Oct 2014 F	2014/01/10	2014/01/13
65548	UBS AG – China Life R Bull CBBC Jul 2014 G	2014/01/10	2014/01/13
65629	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 J	2014/01/10	2014/01/13
65640	UBS AG – HSI R Bull CBBC Jul 2014 Q	2014/01/10	2014/01/13
65631	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 V	2014/01/10	2014/01/13
65753	UBS AG – AIA R Bull CBBC Oct 2014 C	2014/01/10	2014/01/13
65751	UBS AG – CM Bank R Bull CBBC Aug 2014 A	2014/01/10	2014/01/13
65627	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 I	2014/01/10	2014/01/13
65748	UBS AG – HSI R Bull CBBC Dec 2014 L	2014/01/10	2014/01/13
65747	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 Y	2014/01/10	2014/01/13
65625	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 U	2014/01/10	2014/01/13
66783	HK Bank – HKEx R Bull CBBC Jan 2014 A	2014/01/10	2014/01/14
65635	UBS AG – HSI R Bull CBBC Oct 2014 H	2014/01/10	2014/01/13
65624	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 T	2014/01/10	2014/01/13
65694	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 A	2014/01/10	2014/01/13
61003	UBS AG – CC Bank R Bear CBBC Jan 2014 A	2014/01/10	2014/01/14
65618	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 T	2014/01/10	2014/01/13
65632	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 W	2014/01/10	2014/01/13
69775	HK Bank – CSOP A50 ETF R Bear CBBC Jan 2014 A	2014/01/10	2014/01/14
65620	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 S	2014/01/10	2014/01/13
65726	Credit Suisse AG – HSI R Bull CBBC Nov 2014 S	2014/01/10	2014/01/13
65633	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 X	2014/01/10	2014/01/13
65616	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 D	2014/01/10	2014/01/13
66136	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 R	2014/01/13	2014/01/14
66137	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 S	2014/01/13	2014/01/14
66138	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 T	2014/01/13	2014/01/14
66151	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2014 A	2014/01/13	2014/01/14
65550	UBS AG – Minsheng Bank R Bull CBBC Oct 2014 A	2014/01/13	2014/01/14
66134	Credit Suisse AG – HSI R Bear CBBC May 2014 Y	2014/01/13	2014/01/14
65522	HK Bank – AIA R Bull CBBC Oct 2014 A	2014/01/13	2014/01/14
65785	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 S	2014/01/13	2014/01/14
65897	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 W	2014/01/13	2014/01/14
65944	HK Bank – HSI R Bear CBBC Aug 2014 K	2014/01/13	2014/01/14
65791	Credit Suisse AG – HSI R Bear CBBC Apr 2014 J	2014/01/13	2014/01/14
65731	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 T	2014/01/13	2014/01/14
65710	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 S	2014/01/13	2014/01/14
65816	UBS AG – HSI R Bear CBBC May 2014 P	2014/01/13	2014/01/14
65680	HK Bank – HSI R Bear CBBC Jul 2014 O	2014/01/13	2014/01/14
68598	HK Bank – China Shenhua R Bear CBBC Jan 2014 A	2014/01/13	2014/01/15
65857	UBS AG – HSI R Bull CBBC Aug 2014 T	2014/01/13	2014/01/14
65896	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 G	2014/01/13	2014/01/14
65665	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 Q	2014/01/13	2014/01/14
65900	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 U	2014/01/13	2014/01/14
65901	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 L	2014/01/13	2014/01/14
66097	UBS AG – HSI R Bear CBBC May 2014 Q	2014/01/13	2014/01/14
65941	HK Bank – HSI R Bear CBBC Sep 2014 G	2014/01/13	2014/01/14
66118	Credit Suisse AG – HSI R Bear CBBC May 2014 X	2014/01/13	2014/01/14
66031	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 Z	2014/01/13	2014/01/14
66117	Credit Suisse AG – HSI R Bear CBBC May 2014 W	2014/01/13	2014/01/14
66110	Credit Suisse AG – HSI R Bear CBBC May 2014 V	2014/01/13	2014/01/14
66107	Credit Suisse AG – HSI R Bear CBBC May 2014 U	2014/01/13	2014/01/14
66099	UBS AG – HSI R Bear CBBC Apr 2014 N	2014/01/13	2014/01/14
66096	UBS AG – HSI R Bear CBBC Apr 2014 B	2014/01/13	2014/01/14
66040	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 Y	2014/01/13	2014/01/14
65922	Credit Suisse AG – HSI R Bull CBBC Oct 2014 H	2014/01/13	2014/01/14
66036	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 X	2014/01/13	2014/01/14
65965	HK Bank – HSI R Bear CBBC Jul 2014 P	2014/01/13	2014/01/14
66028	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 Y	2014/01/13	2014/01/14
65992	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 D	2014/01/13	2014/01/14
65991	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 C	2014/01/13	2014/01/14
66296	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 V	2014/01/14	2014/01/15
65087	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Aug14A	2014/01/14	2014/01/15
65520	HK Bank – TCH R Bull CBBC Aug 2014 G	2014/01/14	2014/01/15
65593	HK Bank – TCH R Bull CBBC Aug 2014 H	2014/01/14	2014/01/15
64068	UBS AG – AIA R Bull CBBC Oct 2014 B	2014/01/14	2014/01/15
66295	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 O	2014/01/14	2014/01/15
66238	UBS AG – HSI R Bear CBBC Apr 2014 P	2014/01/14	2014/01/15
65861	UBS AG – HSI R Bull CBBC Aug 2014 Q	2014/01/14	2014/01/15
65928	Credit Suisse AG – HSI R Bull CBBC Oct 2014 I	2014/01/14	2014/01/15
65891	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 F	2014/01/14	2014/01/15
66245	Credit Suisse AG – HSI R Bear CBBC May 2014 Z	2014/01/14	2014/01/15
66056	UBS AG – TCH R Bull CBBC Jul 2014 K	2014/01/14	2014/01/15
66019	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 M	2014/01/14	2014/01/15
66293	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 D	2014/01/14	2014/01/15
65987	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 E	2014/01/14	2014/01/15
65844	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 V	2014/01/14	2014/01/15
66239	UBS AG – HSI R Bear CBBC May 2014 T	2014/01/14	2014/01/15

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66246	Credit Suisse AG – HSI R Bear CBBC May 2014 E	2014/01/14	2014/01/15
65936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 O	2014/01/14	2014/01/15
66249	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 J	2014/01/14	2014/01/15
66252	UBS AG – Sands China R Bull CBBC Oct 2014 A	2014/01/14	2014/01/15
66264	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 I	2014/01/14	2014/01/15
66278	UBS AG – HSI R Bull CBBC Dec 2014 M	2014/01/14	2014/01/15
66285	Credit Suisse AG – HSI R Bull CBBC Aug 2014 D	2014/01/14	2014/01/15
66291	Credit Suisse AG – HSI R Bull CBBC Aug 2014 F	2014/01/14	2014/01/15
66398	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 H	2014/01/15	2014/01/16
66396	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 G	2014/01/15	2014/01/16
66395	Credit Suisse AG – HSI R Bull CBBC Sep 2014 O	2014/01/15	2014/01/16
66418	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 I	2014/01/15	2014/01/16
66416	UBS AG – HSI R Bull CBBC Dec 2014 N	2014/01/15	2014/01/16
66624	HK Bank – Galaxy Ent R Bull CBBC Jan 2014 A	2014/01/15	2014/01/17
66265	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 D	2014/01/15	2014/01/16
66247	Credit Suisse AG – HSI R Bear CBBC May 2014 F	2014/01/15	2014/01/16
66237	UBS AG – HSI R Bear CBBC May 2014 S	2014/01/15	2014/01/16
66322	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 F	2014/01/15	2014/01/16
66358	UBS AG – HSI R Bear CBBC May 2014 V	2014/01/15	2014/01/16
60509	UBS AG – Lenovo R Bear CBBC Jun 2014 A	2014/01/15	2014/01/16
66388	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 K	2014/01/15	2014/01/16
66346	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/01/15	2014/01/16
66350	Credit Suisse AG – HSI R Bear CBBC Jul 2014 E	2014/01/15	2014/01/16
66394	Credit Suisse AG – HSI R Bull CBBC Sep 2014 F	2014/01/15	2014/01/16
66352	UBS AG – HSI R Bear CBBC Apr 2014 R	2014/01/15	2014/01/16
66520	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 L	2014/01/16	2014/01/17
66576	J P Morgan SP BV – HSI R Bear CBBC May 2014 E	2014/01/16	2014/01/17
63064	HK Bank – Lenovo R Bear CBBC Jun 2014 A	2014/01/16	2014/01/17
65492	HK Bank – ABC R Bull CBBC Aug 2014 C	2014/01/16	2014/01/17
63208	HK Bank – TCH R Bear CBBC Jun 2014 B	2014/01/16	2014/01/17
63382	HK Bank – TCH R Bear CBBC Jul 2014 A	2014/01/16	2014/01/17
66523	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 X	2014/01/16	2014/01/17
64673	UBS AG – TCH R Bear CBBC May 2014 A	2014/01/16	2014/01/17
66566	UBS AG – HSI R Bear CBBC May 2014 X	2014/01/16	2014/01/17
66558	Credit Suisse AG – HSI R Bear CBBC Jul 2014 I	2014/01/16	2014/01/17
66563	UBS AG – HSI R Bear CBBC Apr 2014 S	2014/01/16	2014/01/17
66562	Credit Suisse AG – HSI R Bear CBBC Jul 2014 J	2014/01/16	2014/01/17
66483	UBS AG – HSI R Bull CBBC Jun 2014 C	2014/01/16	2014/01/17
66557	Credit Suisse AG – HSI R Bear CBBC Jul 2014 H	2014/01/16	2014/01/17
60507	UBS AG – ABC R Bull CBBC Aug 2014 A	2014/01/16	2014/01/17
61022	HK Bank – TCH R Bear CBBC Aug 2014 D	2014/01/16	2014/01/17
63314	UBS AG – TCH R Bear CBBC Jul 2014 A	2014/01/16	2014/01/17
66340	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 G	2014/01/16	2014/01/17
66478	Credit Suisse AG – HSI R Bull CBBC Oct 2014 M	2014/01/16	2014/01/17
66226	UBS AG – HSI R Bear CBBC May 2014 R	2014/01/16	2014/01/17
66471	HK Bank – HSCEI R Bull CBBC Sep 2014 D	2014/01/16	2014/01/17
66422	J P Morgan SP BV – HSI R Bear CBBC May 2014 D	2014/01/16	2014/01/17
61216	UBS AG – TCH R Bear CBBC Jun 2014 F	2014/01/16	2014/01/17
65662	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 M	2014/01/17	2014/01/20
65678	HK Bank – HSI R Bear CBBC Jul 2014 M	2014/01/17	2014/01/20
66580	UBS AG – HSI R Bear CBBC May 2014 Y	2014/01/17	2014/01/20
65679	HK Bank – HSI R Bear CBBC Jul 2014 N	2014/01/17	2014/01/20
65681	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 D	2014/01/17	2014/01/20
65718	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 T	2014/01/17	2014/01/20
66600	Standard Chartered Bank – TCH R Bear CBBC Sep 2014 A	2014/01/17	2014/01/20
65724	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 U	2014/01/17	2014/01/20
65728	Credit Suisse AG – HSI R Bear CBBC Apr 2014 L	2014/01/17	2014/01/20
65898	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 X	2014/01/17	2014/01/20
65800	Credit Suisse AG – HSI R Bear CBBC Apr 2014 O	2014/01/17	2014/01/20
65409	Credit Suisse AG – HSI R Bear CBBC May 2014 P	2014/01/17	2014/01/20
65685	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 F	2014/01/17	2014/01/20
66614	UBS AG – HSCEI R Bull CBBC Nov 2014 A	2014/01/17	2014/01/20
66482	UBS AG – HSI R Bull CBBC Oct 2014 J	2014/01/17	2014/01/20
65414	UBS AG – HSI R Bear CBBC May 2014 M	2014/01/17	2014/01/20
65450	UBS AG – HSI R Bear CBBC May 2014 N	2014/01/17	2014/01/20
65452	Credit Suisse AG – HSI R Bear CBBC May 2014 S	2014/01/17	2014/01/20
62054	UBS AG – CNOOC R Bull CBBC Jan 2014 A	2014/01/17	2014/01/21
64851	HK Bank – A50 R Bull CBBC Sep 2014 A	2014/01/17	2014/01/20
66594	Credit Suisse AG – HSI R Bear CBBC May 2014 I	2014/01/17	2014/01/20
66620	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 I	2014/01/17	2014/01/20
66591	HK Bank – Galaxy Ent R Bear CBBC Aug 2014 C	2014/01/17	2014/01/20
66612	Credit Suisse AG – HSI R Bull CBBC Sep 2014 V	2014/01/17	2014/01/20
66607	UBS AG – HSI R Bull CBBC Nov 2014 J	2014/01/17	2014/01/20
65568	UBS AG – HSI R Bear CBBC Jun 2014 C	2014/01/17	2014/01/20
66601	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 C	2014/01/17	2014/01/20
65814	UBS AG – HSI R Bear CBBC Apr 2014 O	2014/01/17	2014/01/20
66595	Credit Suisse AG – HSI R Bear CBBC May 2014 G	2014/01/17	2014/01/20
62055	UBS AG – HKEx R Bull CBBC Jan 2014 A	2014/01/17	2014/01/21
66477	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 B	2014/01/17	2014/01/20
64280	HK Bank – Hutchison R Bull CBBC Jan 2014 A	2014/01/17	2014/01/21
66757	UBS AG – Galaxy Ent R Bull CBBC Jan 2014 A	2014/01/17	2014/01/21
65328	Credit Suisse AG – HSI R Bear CBBC Apr 2014 H	2014/01/17	2014/01/20
65324	Credit Suisse AG – HSI R Bear CBBC Apr 2014 M	2014/01/17	2014/01/20
65321	UBS AG – HSI R Bear CBBC Apr 2014 X	2014/01/17	2014/01/20
66432	Citigroup Global Mkt H Inc.-Minsheng Bank R Bull CBBC Jul14A	2014/01/17	2014/01/20
65336	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 14O	2014/01/17	2014/01/20
66469	HK Bank – HSI R Bull CBBC Aug 2014 M	2014/01/17	2014/01/20

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65329	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 Q	2014/01/17	2014/01/20
65283	UBS AG – HSI R Bear CBBC Apr 2014 T	2014/01/17	2014/01/20
65281	Credit Suisse AG – HSI R Bear CBBC Jun 2014 E	2014/01/17	2014/01/20
65704	HK Bank – ICBC R Bull CBBC Jan 2014 A	2014/01/17	2014/01/21
65276	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 W	2014/01/17	2014/01/20
66500	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 E	2014/01/17	2014/01/20
65695	HK Bank – SHK Ppt R Bull CBBC Jan 2014 B	2014/01/17	2014/01/21
65287	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 P	2014/01/17	2014/01/20
65380	Standard Chartered Bank – A50 China R Bull CBBC Jun 2014 A	2014/01/17	2014/01/20
63876	HK Bank – Galaxy Ent R Bear CBBC Jan 2015 B	2014/01/17	2014/01/20
66392	Credit Suisse AG – HSI R Bear CBBC Jul 2014 F	2014/01/17	2014/01/20
66255	UBS AG – Galaxy Ent R Bear CBBC May 2014 C	2014/01/17	2014/01/20
66294	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 U	2014/01/17	2014/01/20
66519	HK Bank – CNBM R Bull CBBC Jan 2014 A	2014/01/17	2014/01/21
65348	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 Y	2014/01/17	2014/01/20
66152	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2014 B	2014/01/17	2014/01/20
66351	UBS AG – HSI R Bear CBBC May 2014 U	2014/01/17	2014/01/20
66044	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 Z	2014/01/17	2014/01/20
65899	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 T	2014/01/17	2014/01/20
63767	UBS AG – Galaxy Ent R Bear CBBC Apr 2014 B	2014/01/17	2014/01/20
66727	Credit Suisse AG – HSI R Bear CBBC Apr 2014 P	2014/01/20	2014/01/21
65385	HK Bank – ICBC R Bull CBBC Dec 2014 A	2014/01/20	2014/01/21
66688	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 J	2014/01/20	2014/01/21
66726	Credit Suisse AG – HSI R Bear CBBC Apr 2014 Z	2014/01/20	2014/01/21
66671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 E	2014/01/20	2014/01/21
66732	UBS AG – HSI R Bear CBBC Apr 2014 B	2014/01/20	2014/01/21
63639	HK Bank – A50 R Bull CBBC Sep 2014 D	2014/01/20	2014/01/21
61730	UBS AG – CC Bank R Bull CBBC Jan 2014 B	2014/01/20	2014/01/22
68633	UBS AG – Minsheng Bank R Bull CBBC Feb 2014 A	2014/01/20	2014/01/21
66731	UBS AG – HSI R Bear CBBC Apr 2014 Q	2014/01/20	2014/01/21
66792	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 K	2014/01/20	2014/01/21
64278	UBS AG – A50 China R Bull CBBC Apr 2014 E	2014/01/20	2014/01/21
66788	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 Q	2014/01/20	2014/01/21
66786	Credit Suisse AG – HSI R Bull CBBC Jul 2014 V	2014/01/20	2014/01/21
66787	UBS AG – HSI R Bull CBBC Dec 2014 O	2014/01/20	2014/01/21
68581	HK Bank – Minsheng Bank R Bull CBBC Mar 2014 B	2014/01/20	2014/01/21
60455	HK Bank – Minsheng Bank R Bull CBBC Oct 2014 B	2014/01/20	2014/01/21
66241	HK Bank – Evergrande R Bull CBBC Sep 2014 A	2014/01/20	2014/01/21
66169	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Sep 2014 A	2014/01/20	2014/01/21
65377	HK Bank – CM Bank R Bull CBBC Jul 2014 A	2014/01/20	2014/01/21
66313	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 C	2014/01/20	2014/01/21
66668	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 I	2014/01/20	2014/01/21
66657	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 E	2014/01/20	2014/01/21
66177	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Sep 2014 A	2014/01/20	2014/01/21
66899	Credit Suisse AG – HSI R Bear CBBC May 2014 J	2014/01/21	2014/01/22
66896	Credit Suisse AG – HSI R Bear CBBC May 2014 H	2014/01/21	2014/01/22
66900	Credit Suisse AG – HSI R Bear CBBC May 2014 K	2014/01/21	2014/01/22
66936	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 R	2014/01/21	2014/01/22
66903	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 J	2014/01/21	2014/01/22
66846	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 J	2014/01/21	2014/01/22
66935	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 K	2014/01/21	2014/01/22
66906	UBS AG – HSI R Bear CBBC Apr 2014 N	2014/01/21	2014/01/22
66834	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 E	2014/01/21	2014/01/22
66915	UBS AG – HSCEI R Bear CBBC Apr 2014 I	2014/01/21	2014/01/22
66913	UBS AG – HSI R Bear CBBC May 2014 Z	2014/01/21	2014/01/22
66793	HK Bank – HSI R Bear CBBC Aug 2014 M	2014/01/21	2014/01/22
64170	HK Bank – A50 R Bull CBBC Jan 2014 D	2014/01/21	2014/01/23
64355	HK Bank – CNOOC R Bull CBBC Oct 2014 A	2014/01/21	2014/01/22
67001	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 L	2014/01/22	2014/01/23
67004	Credit Suisse AG – HSI R Bear CBBC Jul 2014 K	2014/01/22	2014/01/23
67010	Credit Suisse AG – HSI R Bear CBBC Jul 2014 L	2014/01/22	2014/01/23
67011	UBS AG – HSI R Bear CBBC May 2014 C	2014/01/22	2014/01/23
67012	UBS AG – HSI R Bear CBBC May 2014 F	2014/01/22	2014/01/23
67020	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 F	2014/01/22	2014/01/23
67027	UBS AG – Minsheng Bank R Bull CBBC Sep 2014 A	2014/01/22	2014/01/23
67054	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 A	2014/01/22	2014/01/23
65041	UBS AG – CNOOC R Bull CBBC Oct 2014 A	2014/01/22	2014/01/23
65701	HK Bank – CNOOC R Bull CBBC Jan 2014 A	2014/01/22	2014/01/23
66988	HK Bank – CNOOC R Bull CBBC Oct 2014 C	2014/01/22	2014/01/23
64087	HK Bank – Sands China R Bull CBBC Oct 2014 A	2014/01/22	2014/01/23
66804	HK Bank – China Life R Bear CBBC Dec 2014 A	2014/01/22	2014/01/23
66635	HK Bank – HSCEI R Bear CBBC Sep 2014 C	2014/01/22	2014/01/23
66993	HK Bank – HSI R Bear CBBC Sep 2014 H	2014/01/22	2014/01/23
65423	UBS AG – Sands China R Bull CBBC Aug 2014 D	2014/01/23	2014/01/24
66415	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/01/23	2014/01/24
67073	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jul14 A	2014/01/23	2014/01/24
66417	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 X	2014/01/23	2014/01/24
67150	Credit Suisse AG – HSI R Bear CBBC Apr 2014 I	2014/01/23	2014/01/24
67152	UBS AG – HSI R Bear CBBC Apr 2014 P	2014/01/23	2014/01/24
67153	UBS AG – HSI R Bear CBBC May 2014 H	2014/01/23	2014/01/24
67157	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 P	2014/01/23	2014/01/24
67158	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 M	2014/01/23	2014/01/24
66428	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 G	2014/01/23	2014/01/24
67063	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 X	2014/01/23	2014/01/24
67184	Credit Suisse AG – HSI R Bull CBBC Sep 2014 A	2014/01/23	2014/01/24
67189	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 S	2014/01/23	2014/01/24
66468	HK Bank – HSI R Bull CBBC Jul 2014 O	2014/01/23	2014/01/24

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63175	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 G	2014/01/23	2014/01/27
63174	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 F	2014/01/23	2014/01/27
66509	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Q	2014/01/23	2014/01/24
63172	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 E	2014/01/23	2014/01/27
66508	Credit Suisse AG – HSI R Bull CBBC Oct 2014 O	2014/01/23	2014/01/24
66685	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 R	2014/01/23	2014/01/24
66658	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 J	2014/01/23	2014/01/24
66785	Credit Suisse AG – HSI R Bull CBBC Jul 2014 U	2014/01/23	2014/01/24
66871	Credit Suisse AG – HSI R Bull CBBC Aug 2014 H	2014/01/23	2014/01/24
66857	UBS AG – HSI R Bull CBBC Jul 2014 W	2014/01/23	2014/01/24
66850	UBS AG – HSI R Bull CBBC Oct 2014 Q	2014/01/23	2014/01/24
66845	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 N	2014/01/23	2014/01/24
66798	HK Bank – HSI R Bull CBBC Sep 2014 I	2014/01/23	2014/01/24
66161	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Sep14A	2014/01/23	2014/01/24
66663	UBS AG – HSI R Bull CBBC Jul 2014 T	2014/01/23	2014/01/24
67185	UBS AG – HSI R Bull CBBC Dec 2014 P	2014/01/23	2014/01/24
66524	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 Y	2014/01/23	2014/01/24
65607	HK Bank – Hutchison R Bull CBBC Oct 2014 C	2014/01/23	2014/01/24
66405	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 I	2014/01/23	2014/01/24
66605	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 P	2014/01/23	2014/01/24
65599	HK Bank – Cheung Kong R Bull CBBC Oct 2014 B	2014/01/23	2014/01/24
66629	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 K	2014/01/23	2014/01/24
67058	Credit Suisse AG – HSI R Bull CBBC Aug 2014 X	2014/01/23	2014/01/24
67060	UBS AG – HSI R Bull CBBC Jul 2014 X	2014/01/23	2014/01/24
67062	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 G	2014/01/23	2014/01/24
66706	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 M	2014/01/23	2014/01/24
66393	Credit Suisse AG – HSI R Bull CBBC Sep 2014 W	2014/01/23	2014/01/24
67070	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 P	2014/01/23	2014/01/24
66588	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 C	2014/01/23	2014/01/24
62185	Credit Suisse AG – A50 China R Bull CBBC Jan 2014 D	2014/01/23	2014/01/27
62774	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2014 A	2014/01/23	2014/01/27
62184	Credit Suisse AG – A50 China R Bull CBBC Jan 2014 C	2014/01/23	2014/01/27
67181	Credit Suisse AG – HSI R Bull CBBC Sep 2014 X	2014/01/23	2014/01/24
66024	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 H	2014/01/24	2014/01/27
65986	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 D	2014/01/24	2014/01/27
62526	HK Bank – HSCEI R Bull CBBC Mar 2014 B	2014/01/24	2014/01/27
66414	UBS AG – HSI R Bull CBBC Oct 2014 I	2014/01/24	2014/01/27
67066	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 W	2014/01/24	2014/01/27
67056	Credit Suisse AG – HSI R Bull CBBC Aug 2014 P	2014/01/24	2014/01/27
63698	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 D	2014/01/24	2014/01/27
66996	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 O	2014/01/24	2014/01/27
62428	UBS AG – HSI R Bull CBBC Mar 2014 Q	2014/01/24	2014/01/27
63833	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 G	2014/01/24	2014/01/27
62381	Credit Suisse AG – HSI R Bull CBBC Feb 2014 A	2014/01/24	2014/01/27
66895	Credit Suisse AG – HSI R Bull CBBC Aug 2014 M	2014/01/24	2014/01/27
66873	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 U	2014/01/24	2014/01/27
63284	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 L	2014/01/24	2014/01/27
66842	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 K	2014/01/24	2014/01/27
65931	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 J	2014/01/24	2014/01/27
65299	HK Bank – HSCEI R Bull CBBC Oct 2014 A	2014/01/24	2014/01/27
67145	HK Bank – Lenovo R Bear CBBC Dec 2014 A	2014/01/24	2014/01/27
66662	UBS AG – HSI R Bull CBBC Jul 2014 U	2014/01/24	2014/01/27
67297	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 R	2014/01/24	2014/01/27
65750	UBS AG – BOCL R Bull CBBC Oct 2014 A	2014/01/24	2014/01/27
64914	UBS AG – Wharf Holdings R Bull CBBC Jun 2014 A	2014/01/24	2014/01/27
62143	UBS AG – HSCEI R Bull CBBC Jul 2014 B	2014/01/24	2014/01/27
65837	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 U	2014/01/24	2014/01/27
65838	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 M	2014/01/24	2014/01/27
66617	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 M	2014/01/24	2014/01/27
66610	Credit Suisse AG – HSI R Bull CBBC Sep 2014 N	2014/01/24	2014/01/27
65930	Credit Suisse AG – HSI R Bull CBBC Oct 2014 J	2014/01/24	2014/01/27
60879	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Jun 2014 A	2014/01/24	2014/01/27
66861	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 K	2014/01/24	2014/01/27
67308	Credit Suisse AG – HSI R Bull CBBC Nov 2014 W	2014/01/24	2014/01/27
66439	Citigroup Global Mkt H Inc. – BOCL R Bull CBBC Sep 2014 A	2014/01/24	2014/01/27
67241	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 Y	2014/01/24	2014/01/27
67242	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 Z	2014/01/24	2014/01/27
67315	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 L	2014/01/24	2014/01/27
67251	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 B	2014/01/24	2014/01/27
67238	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 L	2014/01/24	2014/01/27
67309	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/01/24	2014/01/27
64304	UBS AG – HKEx R Bull CBBC Sep 2014 B	2014/01/24	2014/01/27
67304	Credit Suisse AG – HSI R Bull CBBC Nov 2014 V	2014/01/24	2014/01/27
67263	UBS AG – TCH R Bull CBBC Aug 2014 C	2014/01/24	2014/01/27
67267	UBS AG – Galaxy Ent R Bull CBBC Aug 2014 B	2014/01/24	2014/01/27
67271	UBS AG – Galaxy Ent R Bull CBBC Sep 2014 D	2014/01/24	2014/01/27
67294	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Q	2014/01/24	2014/01/27
67299	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 S	2014/01/24	2014/01/27
67312	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Y	2014/01/24	2014/01/27
67355	Bank of East Asia – Galaxy Ent R Bull CBBC Dec 2014 A	2014/01/24	2014/01/27
67161	Standard Chartered Bank – TCH R Bull CBBC Sep 2014 A	2014/01/24	2014/01/27
67170	UBS AG – Lenovo R Bear CBBC Jun 2014 B	2014/01/24	2014/01/27
62727	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Jun 2014 A	2014/01/24	2014/01/27
63309	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 C	2014/01/24	2014/01/27
67179	UBS AG – TCH R Bull CBBC Jul 2014 L	2014/01/24	2014/01/27
67240	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 M	2014/01/24	2014/01/27
67358	Bank of East Asia – Lenovo R Bear CBBC Jul 2014 A	2014/01/24	2014/01/27

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
67135	HK Bank – Lenovo R Bear CBBC Sep 2014 A	2014/01/24	2014/01/27
67210	HK Bank – TCH R Bull CBBC Oct 2014 F	2014/01/24	2014/01/27
67218	HK Bank – TCH R Bull CBBC Oct 2014 G	2014/01/24	2014/01/27
67331	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Q	2014/01/24	2014/01/27
61798	HK Bank – A50 R Bull CBBC Jan 2014 C	2014/01/24	2014/01/28
67326	UBS AG – HSI R Bull CBBC Dec 2014 Q	2014/01/24	2014/01/27
67325	UBS AG – HSI R Bull CBBC Oct 2014 S	2014/01/24	2014/01/27
67186	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jul 2014 A	2014/01/24	2014/01/27
66280	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 C	2014/01/24	2014/01/27
69175	HK Bank – Lenovo R Bear CBBC Oct 2014 A	2014/01/24	2014/01/27
66282	UBS AG – HSI R Bull CBBC Oct 2014 N	2014/01/24	2014/01/27
60726	HK Bank – Wharf Holdings R Bull CBBC Apr 2014 A	2014/01/24	2014/01/27
66781	UBS AG – HSI R Bull CBBC Sep 2014 Z	2014/01/24	2014/01/27
66776	Credit Suisse AG – HSI R Bull CBBC Jul 2014 K	2014/01/24	2014/01/27
65547	HK Bank – CNOOC R Bull CBBC Jun 2014 A	2014/01/24	2014/01/27
66319	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 V	2014/01/24	2014/01/27
66222	HK Bank – China Shenhua R Bull CBBC Dec 2014 A	2014/01/24	2014/01/27
66321	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 J	2014/01/24	2014/01/27
63150	UBS AG – CSOP A50 ETF R Bear CBBC Jan 2014 B	2014/01/24	2014/01/28
66093	Credit Suisse AG – HSI R Bull CBBC Sep 2014 L	2014/01/24	2014/01/27
66208	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 T	2014/01/24	2014/01/27
66213	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Z	2014/01/24	2014/01/27
66271	UBS AG – HSI R Bull CBBC Nov 2014 H	2014/01/24	2014/01/27
66279	Credit Suisse AG – HSI R Bull CBBC Aug 2014 S	2014/01/24	2014/01/27
66803	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 D	2014/01/24	2014/01/27
66862	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 I	2014/01/24	2014/01/27
66288	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 Q	2014/01/24	2014/01/27
66454	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 U	2014/01/24	2014/01/27
66427	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 F	2014/01/24	2014/01/27
64808	UBS AG – ICBC R Bull CBBC Apr 2014 A	2014/01/24	2014/01/27
60412	UBS AG – CNOOC R Bear CBBC Jan 2014 A	2014/01/24	2014/01/28
67519	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2014 A	2014/01/24	2014/01/28
61658	HK Bank – CPIC R Bull CBBC Jan 2014 A	2014/01/24	2014/01/28
66180	HK Bank – HSI R Bull CBBC Sep 2014 G	2014/01/24	2014/01/27
65912	Credit Suisse AG – HSI R Bull CBBC Nov 2014 A	2014/01/24	2014/01/27
66575	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 R	2014/01/24	2014/01/27
66449	HK Bank – HSI R Bull CBBC Aug 2014 L	2014/01/24	2014/01/27
66378	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 W	2014/01/24	2014/01/27
65963	HK Bank – HSI R Bull CBBC Jul 2014 C	2014/01/24	2014/01/27
64174	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2014 C	2014/01/24	2014/01/28
60901	HK Bank – PICC Group R Bull CBBC Jan 2014 A	2014/01/24	2014/01/28
61612	HK Bank – Sinopec Corp R Bull CBBC Jan 2014 A	2014/01/24	2014/01/28
61655	HK Bank – CAM CSI300 R Bull CBBC Jan 2014 A	2014/01/24	2014/01/28
64936	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 H	2014/01/24	2014/01/27
64097	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 A	2014/01/27	2014/01/28
66030	HK Bank – CC Bank R Bull CBBC Feb 2014 A	2014/01/27	2014/01/28
60007	HK Bank – Ping An R Bull CBBC Jul 2014 A	2014/01/27	2014/01/28
60143	Credit Suisse AG – HSI R Bull CBBC Feb 2014 H	2014/01/27	2014/01/28
63951	Credit Suisse AG – AIA R Bull CBBC Sep 2014 A	2014/01/27	2014/01/28
66532	HK Bank – HSI R Bull CBBC Jul 2014 D	2014/01/27	2014/01/28
63938	Credit Suisse AG – China Mobile R Bull CBBC Sep 2014 B	2014/01/27	2014/01/28
67119	HK Bank – HSI R Bull CBBC Aug 2014 O	2014/01/27	2014/01/28
67097	HK Bank – TCH R Bull CBBC Sep 2014 J	2014/01/27	2014/01/28
67087	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Aug14 A	2014/01/27	2014/01/28
67117	HK Bank – HSI R Bull CBBC Aug 2014 N	2014/01/27	2014/01/28
66854	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 H	2014/01/27	2014/01/28
68140	HK Bank – China Life R Bull CBBC Jul 2014 C	2014/01/27	2014/01/28
60354	Credit Suisse AG – HSI R Bull CBBC Feb 2014 S	2014/01/27	2014/01/28
66445	Citigroup Global Mkt H Inc. – Cheung Kong R Bull CBBC Jul14A	2014/01/27	2014/01/28
67257	UBS AG – AIA R Bull CBBC Oct 2014 D	2014/01/27	2014/01/28
66484	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 J	2014/01/27	2014/01/28
66485	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 K	2014/01/27	2014/01/28
66512	Credit Suisse AG – HSI R Bull CBBC Oct 2014 R	2014/01/27	2014/01/28
66305	HK Bank – Galaxy Ent R Bull CBBC Sep 2014 E	2014/01/27	2014/01/28
68445	UBS AG – Ping An R Bull CBBC Aug 2014 A	2014/01/27	2014/01/28
68461	UBS AG – China Mobile R Bull CBBC Oct 2014 A	2014/01/27	2014/01/28
63220	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb14 E	2014/01/27	2014/01/28
66858	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 W	2014/01/27	2014/01/28
68558	HK Bank – HKEx R Bull CBBC Jul 2014 A	2014/01/27	2014/01/28
60331	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 E	2014/01/27	2014/01/28
67199	HK Bank – Lenovo R Bull CBBC Oct 2014 B	2014/01/27	2014/01/28
63866	HK Bank – HSI R Bull CBBC Aug 2014 H	2014/01/27	2014/01/28
66297	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Sep 2014 A	2014/01/27	2014/01/28
66531	HK Bank – HSI R Bull CBBC Jun 2014 G	2014/01/27	2014/01/28
64199	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 D	2014/01/27	2014/01/28
64196	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 C	2014/01/27	2014/01/28
60260	UBS AG – HSI R Bull CBBC Jul 2014 G	2014/01/27	2014/01/28
60218	UBS AG – HSCEI R Bull CBBC Jun 2014 F	2014/01/27	2014/01/28
67180	UBS AG – TCH R Bull CBBC Jul 2014 M	2014/01/27	2014/01/28
64204	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2014 E	2014/01/27	2014/01/28
65890	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 E	2014/01/27	2014/01/28
66263	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 B	2014/01/27	2014/01/28
64842	HK Bank – ICBC R Bull CBBC Aug 2014 A	2014/01/27	2014/01/28
67083	Citigroup Global Mkt H Inc.-Kunlun Energy R Bull CBBC Jun14A	2014/01/27	2014/01/28
64929	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 L	2014/01/27	2014/01/28
64938	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 I	2014/01/27	2014/01/28
64939	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 J	2014/01/27	2014/01/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61064	HK Bank – Sands China R Bull CBBC Sep 2014 C	2014/01/27	2014/01/28
61043	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Jun 2014 A	2014/01/27	2014/01/28
66002	UBS AG – Minsheng Bank R Bull CBBC Jul 2014 B	2014/01/27	2014/01/28
64998	UBS AG – HSI R Bull CBBC Jun 2014 K	2014/01/27	2014/01/28
66990	HK Bank – CNOOC R Bull CBBC Nov 2014 A	2014/01/27	2014/01/28
65011	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 G	2014/01/27	2014/01/28
66184	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 P	2014/01/27	2014/01/28
60580	HK Bank – China Mobile R Bull CBBC Oct 2014 D	2014/01/27	2014/01/28
67146	HK Bank – China Shenhua R Bull CBBC Mar 2014 C	2014/01/27	2014/01/28
65063	UBS AG – CM Bank R Bull CBBC Jul 2014 A	2014/01/27	2014/01/28
65071	Standard Chartered Bank – HSI R Bull CBBC May 2014 C	2014/01/27	2014/01/28
65918	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 C	2014/01/27	2014/01/28
66771	HK Bank – China Mobile R Bull CBBC Mar 2014 A	2014/01/27	2014/01/28
65868	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 F	2014/01/27	2014/01/28
66059	UBS AG – HKEx R Bull CBBC Sep 2014 C	2014/01/27	2014/01/28
65706	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC May 14 A	2014/01/27	2014/01/28
65491	HK Bank – CC Bank R Bull CBBC Aug 2014 A	2014/01/27	2014/01/28
66049	UBS AG – Sands China R Bull CBBC Jul 2014 E	2014/01/27	2014/01/28
65001	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 D	2014/01/27	2014/01/28
66197	Credit Suisse AG – HSI R Bull CBBC Sep 2014 M	2014/01/27	2014/01/28
66556	UBS AG – Galaxy Ent R Bull CBBC Sep 2014 C	2014/01/27	2014/01/28
63536	UBS AG – HSI R Bull CBBC Sep 2014 J	2014/01/27	2014/01/28
64883	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 F	2014/01/27	2014/01/28
63915	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 A	2014/01/27	2014/01/28
63972	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 F	2014/01/27	2014/01/28
66272	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 B	2014/01/27	2014/01/28
63645	HK Bank – HSI R Bull CBBC Jun 2014 L	2014/01/27	2014/01/28
64116	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 B	2014/01/27	2014/01/28
66886	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 W	2014/01/27	2014/01/28
66876	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 V	2014/01/27	2014/01/28
64215	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 F	2014/01/27	2014/01/28
61175	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Jul14B	2014/01/27	2014/01/28
66837	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 D	2014/01/27	2014/01/28
66114	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 F	2014/01/27	2014/01/28
63276	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 J	2014/01/27	2014/01/28
63275	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 Z	2014/01/27	2014/01/28
63270	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 Y	2014/01/27	2014/01/28
63267	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 B	2014/01/27	2014/01/28
65528	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 B	2014/01/27	2014/01/28
64544	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 E	2014/01/27	2014/01/28
65558	UBS AG – Kunlun Energy R Bull CBBC Jun 2014 C	2014/01/27	2014/01/28
65560	UBS AG – Hutchison R Bull CBBC Sep 2014 A	2014/01/27	2014/01/28
66703	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 T	2014/01/27	2014/01/28
66697	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 S	2014/01/27	2014/01/28
67072	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Aug14 A	2014/01/27	2014/01/28
64313	HK Bank – HSI R Bull CBBC Mar 2014 H	2014/01/27	2014/01/28
62006	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 A	2014/01/27	2014/01/28
62096	Credit Suisse AG – HSI R Bull CBBC Jul 2014 D	2014/01/27	2014/01/28
62091	Credit Suisse AG – HSI R Bull CBBC Jul 2014 C	2014/01/27	2014/01/28
67397	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 U	2014/01/27	2014/01/28
67389	Credit Suisse AG – HSI R Bull CBBC Oct 2014 N	2014/01/27	2014/01/28
62042	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 J	2014/01/27	2014/01/28
62430	UBS AG – HSI R Bull CBBC Jun 2014 U	2014/01/27	2014/01/28
62038	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 D	2014/01/27	2014/01/28
62035	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 P	2014/01/27	2014/01/28
62431	UBS AG – HSI R Bull CBBC Feb 2014 J	2014/01/27	2014/01/28
62027	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 M	2014/01/27	2014/01/28
62023	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 L	2014/01/27	2014/01/28
61648	UBS AG – HSI R Bull CBBC Mar 2014 I	2014/01/27	2014/01/28
62022	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 K	2014/01/27	2014/01/28
62134	UBS AG – HSI R Bull CBBC Jul 2014 J	2014/01/27	2014/01/28
62451	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 F	2014/01/27	2014/01/28
62250	J P Morgan SP BV – HSI R Bull CBBC May 2014 S	2014/01/27	2014/01/28
62004	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 X	2014/01/27	2014/01/28
62219	HK Bank – HSI R Bull CBBC Mar 2014 D	2014/01/27	2014/01/28
61783	J P Morgan SP BV – HSI R Bull CBBC May 2014 R	2014/01/27	2014/01/28
62507	HK Bank – HSI R Bull CBBC Mar 2014 F	2014/01/27	2014/01/28
61764	Credit Suisse AG – HSI R Bull CBBC Apr 2014 I	2014/01/27	2014/01/28
61741	Credit Suisse AG – HSI R Bull CBBC Apr 2014 H	2014/01/27	2014/01/28
67388	Credit Suisse AG – HSI R Bull CBBC Oct 2014 S	2014/01/27	2014/01/28
61727	Credit Suisse AG – HSI R Bull CBBC Apr 2014 G	2014/01/27	2014/01/28
61649	UBS AG – HSI R Bull CBBC Jan 2014 Y	2014/01/27	2014/01/28
60352	UBS AG – HSI R Bull CBBC Jun 2014 Q	2014/01/27	2014/01/28
62208	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 N	2014/01/27	2014/01/28
67412	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 G	2014/01/27	2014/01/28
62251	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 N	2014/01/27	2014/01/28
67415	UBS AG – Sands China R Bull CBBC Aug 2014 E	2014/01/27	2014/01/28
67420	UBS AG – Lenovo R Bull CBBC Dec 2014 B	2014/01/27	2014/01/28
60353	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 M	2014/01/27	2014/01/28
67427	UBS AG – Hutchison R Bull CBBC Sep 2014 B	2014/01/27	2014/01/28
62252	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 P	2014/01/27	2014/01/28
62253	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 Q	2014/01/27	2014/01/28
67405	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 F	2014/01/27	2014/01/28
62267	Credit Suisse AG – HSI R Bull CBBC Jun 2014 Y	2014/01/27	2014/01/28
62220	HK Bank – HSI R Bull CBBC Feb 2014 K	2014/01/27	2014/01/28
62117	Credit Suisse AG – HSI R Bull CBBC Jul 2014 E	2014/01/27	2014/01/28
62285	UBS AG – HSI R Bull CBBC Jul 2014 K	2014/01/27	2014/01/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62131	UBS AG – HSI R Bull CBBC Apr 2014 K	2014/01/27	2014/01/28
62207	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 M	2014/01/27	2014/01/28
62206	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 L	2014/01/27	2014/01/28
62293	UBS AG – HSI R Bull CBBC May 2014 V	2014/01/27	2014/01/28
62295	UBS AG – HSI R Bull CBBC Jul 2014 L	2014/01/27	2014/01/28
62298	Credit Suisse AG – HSI R Bull CBBC Jul 2014 F	2014/01/27	2014/01/28
67399	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 V	2014/01/27	2014/01/28
62359	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 U	2014/01/27	2014/01/28
62360	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 V	2014/01/27	2014/01/28
62139	UBS AG – HSI R Bull CBBC May 2014 U	2014/01/27	2014/01/28
62380	Credit Suisse AG – HSI R Bull CBBC Feb 2014 T	2014/01/27	2014/01/28
62136	UBS AG – HSI R Bull CBBC Mar 2014 N	2014/01/27	2014/01/28
61862	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 O	2014/01/27	2014/01/28
62282	Credit Suisse AG – HSI R Bull CBBC Jun 2014 Z	2014/01/27	2014/01/28
67360	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 E	2014/01/27	2014/01/28
61368	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 I	2014/01/27	2014/01/28
62664	UBS AG – HSI R Bull CBBC Apr 2014 R	2014/01/27	2014/01/28
62676	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 H	2014/01/27	2014/01/28
62723	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 X	2014/01/27	2014/01/28
67382	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 T	2014/01/27	2014/01/28
62783	HK Bank – HSI R Bull CBBC May 2014 A	2014/01/27	2014/01/28
61381	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 J	2014/01/27	2014/01/28
67378	HK Bank – AIA R Bull CBBC Sep 2014 A	2014/01/27	2014/01/28
67379	HK Bank – Kunlun Energy R Bull CBBC Oct 2014 A	2014/01/27	2014/01/28
67359	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 D	2014/01/27	2014/01/28
65111	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 A	2014/01/27	2014/01/28
62952	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 A	2014/01/27	2014/01/28
62953	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 B	2014/01/27	2014/01/28
65176	Credit Suisse AG – HSI R Bull CBBC Nov 2014 K	2014/01/27	2014/01/28
64376	HK Bank – Hutchison R Bull CBBC Oct 2014 B	2014/01/27	2014/01/28
63067	HK Bank – BOCL R Bull CBBC Jul 2014 A	2014/01/27	2014/01/28
61638	UBS AG – HSI R Bull CBBC Apr 2014 A	2014/01/27	2014/01/28
67385	Standard Chartered Bank – Galaxy Ent R Bull CBBC Sep 2014 A	2014/01/27	2014/01/28
62576	Credit Suisse AG – HSI R Bull CBBC Aug 2014 E	2014/01/27	2014/01/28
67384	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/01/27	2014/01/28
61458	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 E	2014/01/27	2014/01/28
67383	UBS AG – HSI R Bull CBBC Nov 2014 K	2014/01/27	2014/01/28
61463	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 H	2014/01/27	2014/01/28
62552	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb14 C	2014/01/27	2014/01/28
61437	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 K	2014/01/27	2014/01/28
61415	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 J	2014/01/27	2014/01/28
67374	HK Bank – TCH R Bull CBBC Oct 2014 H	2014/01/27	2014/01/28
60724	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 F	2014/01/28	2014/01/30
61409	Credit Suisse AG – HSI R Bull CBBC Jan 2014 S	2014/01/28	2014/01/30
61410	Credit Suisse AG – HSI R Bull CBBC Jan 2014 T	2014/01/28	2014/01/30
67228	HK Bank – HSI R Bull CBBC Jan 2014 K	2014/01/28	2014/01/30
62620	UBS AG – HSI R Bull CBBC Jan 2014 J	2014/01/28	2014/01/30
65752	UBS AG – CNBM R Bull CBBC Jun 2014 B	2014/01/28	2014/01/29
61408	Credit Suisse AG – HSI R Bull CBBC Jan 2014 N	2014/01/28	2014/01/30
60787	Credit Suisse AG – HSI R Bull CBBC Jan 2014 K	2014/01/28	2014/01/30
63056	UBS AG – HSI R Bull CBBC Jan 2014 L	2014/01/28	2014/01/30
67231	HK Bank – HSI R Bull CBBC Jan 2014 L	2014/01/28	2014/01/30
69883	HK Bank – Kunlun Energy R Bull CBBC Sep 2014 A	2014/01/28	2014/01/29
64245	HK Bank – HSI R Bull CBBC Jan 2014 H	2014/01/28	2014/01/30
64847	UBS AG – CC Bank R Bull CBBC Apr 2014 A	2014/01/28	2014/01/29
60886	HK Bank – HSCEI R Bull CBBC Jan 2014 A	2014/01/28	2014/01/30
64166	HK Bank – HSCEI R Bull CBBC Jan 2014 B	2014/01/28	2014/01/30
64165	HK Bank – HSI R Bull CBBC Jan 2014 G	2014/01/28	2014/01/30
64137	UBS AG – HSI R Bull CBBC Jan 2014 O	2014/01/28	2014/01/30
63093	UBS AG – HSI R Bear CBBC Jan 2014 Q	2014/01/28	2014/01/30
64131	Credit Suisse AG – HSI R Bull CBBC Jan 2014 G	2014/01/28	2014/01/30
61411	Credit Suisse AG – HSI R Bull CBBC Jan 2014 U	2014/01/28	2014/01/30
61776	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 G	2014/01/28	2014/01/30
66676	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Jun 2014 A	2014/01/28	2014/01/29
62246	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 I	2014/01/28	2014/01/30
62247	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 J	2014/01/28	2014/01/30
63239	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 U	2014/01/28	2014/01/30
67515	UBS AG – HSI R Bull CBBC Aug 2014 U	2014/01/28	2014/01/29
63222	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 T	2014/01/28	2014/01/30
64134	Credit Suisse AG – HSI R Bull CBBC Jan 2014 J	2014/01/28	2014/01/30
67541	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Jul 2014 A	2014/01/28	2014/01/29
60096	Citigroup Global Mkt H Inc. – China Mobile R Bull CBBC Jun14B	2014/01/28	2014/01/29
62947	Credit Suisse AG – HSI R Bull CBBC Jan 2014 E	2014/01/28	2014/01/30
66973	HK Bank – HSI R Bull CBBC Jan 2014 J	2014/01/28	2014/01/30
62946	Credit Suisse AG – HSI R Bull CBBC Jan 2014 B	2014/01/28	2014/01/30
62974	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 R	2014/01/28	2014/01/30
62943	Credit Suisse AG – HSI R Bull CBBC Jan 2014 X	2014/01/28	2014/01/30
62942	Credit Suisse AG – HSI R Bull CBBC Jan 2014 D	2014/01/28	2014/01/30
61790	HK Bank – HSI R Bull CBBC Jan 2014 O	2014/01/28	2014/01/30
60834	Credit Suisse AG – HSI R Bull CBBC Jan 2014 M	2014/01/28	2014/01/30
60831	Credit Suisse AG – HSI R Bull CBBC Jan 2014 I	2014/01/28	2014/01/30
65113	HK Bank – SHK Ppt R Bull CBBC Jul 2014 A	2014/01/28	2014/01/29
60816	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 H	2014/01/28	2014/01/30
60813	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 G	2014/01/28	2014/01/30
61490	HK Bank – HSI R Bull CBBC Jan 2014 D	2014/01/28	2014/01/30
61773	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 F	2014/01/28	2014/01/30
67512	UBS AG – CNOOC R Bull CBBC Oct 2014 B	2014/01/28	2014/01/29

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61489	HK Bank – HSI R Bull CBBC Jan 2014 C	2014/01/28	2014/01/30
67513	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 N	2014/01/28	2014/01/29
61412	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 I	2014/01/28	2014/01/30
68564	HK Bank – CNBM R Bull CBBC Jun 2014 D	2014/01/28	2014/01/29
67555	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 A	2014/01/28	2014/01/29
63922	UBS AG – HSI R Bull CBBC Jan 2014 N	2014/01/28	2014/01/30
62975	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 S	2014/01/28	2014/01/30
62963	UBS AG – HSI R Bull CBBC Jan 2014 K	2014/01/28	2014/01/30
67517	UBS AG – HSI R Bull CBBC Aug 2014 V	2014/01/28	2014/01/29
67528	Credit Suisse AG – HSI R Bull CBBC Jul 2014 W	2014/01/28	2014/01/29
60812	Credit Suisse AG – HSI R Bull CBBC Jan 2014 L	2014/01/28	2014/01/30
67534	Credit Suisse AG – HSI R Bull CBBC Jul 2014 X	2014/01/28	2014/01/29
67469	HK Bank – Sands China R Bull CBBC Oct 2014 B	2014/01/28	2014/01/29
64042	Credit Suisse AG – HSI R Bull CBBC Jan 2014 F	2014/01/28	2014/01/30
61793	HK Bank – HSI R Bull CBBC Jan 2014 E	2014/01/28	2014/01/30
69927	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 O	2014/01/28	2014/01/30
63552	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 I	2014/01/28	2014/01/30
62663	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 V	2014/01/28	2014/01/30
62726	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 A	2014/01/28	2014/01/30
63304	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 J	2014/01/28	2014/01/30
63302	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 I	2014/01/28	2014/01/30
63980	UBS AG – HSCEI R Bear CBBC Jan 2014 B	2014/01/28	2014/01/30
67353	Bank of East Asia – Ping An R Bull CBBC Oct 2014 A	2014/01/28	2014/01/29
63526	UBS AG – HSI R Bear CBBC Jan 2014 B	2014/01/28	2014/01/30
62888	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 A	2014/01/28	2014/01/30
62907	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 B	2014/01/28	2014/01/30
66986	HK Bank – China Shenhua R Bull CBBC Jul 2015 B	2014/01/28	2014/01/29
62415	UBS AG – HSI R Bear CBBC Jan 2014 E	2014/01/28	2014/01/30
61794	HK Bank – HSI R Bull CBBC Jan 2014 F	2014/01/28	2014/01/30
62413	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 U	2014/01/28	2014/01/30
63185	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 F	2014/01/28	2014/01/30
61782	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 I	2014/01/28	2014/01/30
63475	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 L	2014/01/28	2014/01/30
63402	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 M	2014/01/28	2014/01/30
63401	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 L	2014/01/28	2014/01/30
63399	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 J	2014/01/28	2014/01/30
63398	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 I	2014/01/28	2014/01/30
65549	UBS AG – Ping An R Bull CBBC Oct 2014 D	2014/01/28	2014/01/29
67084	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Sep14 B	2014/01/28	2014/01/29
61779	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 H	2014/01/28	2014/01/30
63549	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 K	2014/01/28	2014/01/30
63468	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 C	2014/01/28	2014/01/30
69068	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 A	2014/01/28	2014/01/30
63481	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 H	2014/01/28	2014/01/30
61940	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 L	2014/01/28	2014/01/30
62632	UBS AG – HSI R Bear CBBC Jan 2014 G	2014/01/28	2014/01/30
69142	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 F	2014/01/28	2014/01/30
69141	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 E	2014/01/28	2014/01/30
69089	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 P	2014/01/28	2014/01/30
63928	HK Bank – AIA R Bull CBBC Jun 2014 B	2014/01/28	2014/01/29
61941	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 M	2014/01/28	2014/01/30
63120	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 H	2014/01/28	2014/01/30
62119	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 Q	2014/01/28	2014/01/30
62112	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 P	2014/01/28	2014/01/30
62111	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 O	2014/01/28	2014/01/30
62099	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 N	2014/01/28	2014/01/30
63087	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 F	2014/01/28	2014/01/30
63086	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 E	2014/01/28	2014/01/30
63085	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 D	2014/01/28	2014/01/30
63084	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 C	2014/01/28	2014/01/30
62423	UBS AG – HSI R Bear CBBC Jan 2014 F	2014/01/28	2014/01/30
63119	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 G	2014/01/28	2014/01/30
62944	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 X	2014/01/28	2014/01/30
61800	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 J	2014/01/28	2014/01/30
64217	UBS AG – AIA R Bull CBBC Sep 2014 A	2014/01/28	2014/01/29
68313	UBS AG – HSI R Bear CBBC Jan 2014 U	2014/01/28	2014/01/30
62945	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 Y	2014/01/28	2014/01/30
68315	UBS AG – HSI R Bear CBBC Jan 2014 V	2014/01/28	2014/01/30
67657	UBS AG – A50 China R Bull CBBC May 2014 B	2014/01/29	2014/01/30
67687	Credit Suisse AG – HSI R Bull CBBC Aug 2014 T	2014/01/29	2014/01/30
67676	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 M	2014/01/29	2014/01/30
66299	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Sep 14 A	2014/01/29	2014/01/30
67711	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Jul 2014 A	2014/01/29	2014/01/30
67666	UBS AG – Minsheng Bank R Bull CBBC Oct 2014 B	2014/01/29	2014/01/30
67663	UBS AG – China Life R Bull CBBC Jul 2014 H	2014/01/29	2014/01/30
67705	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 C	2014/01/29	2014/01/30
67558	HK Bank – HSI R Bull CBBC Aug 2014 Q	2014/01/29	2014/01/30
67696	UBS AG – HSI R Bull CBBC Sep 2014 N	2014/01/29	2014/01/30
67699	UBS AG – HSI R Bull CBBC Sep 2014 O	2014/01/29	2014/01/30
67654	UBS AG – HSCEI R Bull CBBC Dec 2014 B	2014/01/29	2014/01/30
67707	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 W	2014/01/29	2014/01/30
66042	UBS AG – Cheung Kong R Bull CBBC Jun 2014 B	2014/01/29	2014/01/30
67187	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2014 A	2014/01/29	2014/01/30
67693	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Y	2014/01/29	2014/01/30
67076	UBS AG – China Shenhua R Bull CBBC Dec 2014 A	2014/01/29	2014/01/30
65168	Credit Suisse AG – HSI R Bull CBBC Nov 2014 J	2014/01/30	2014/02/04
60375	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Q	2014/01/30	2014/02/04

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61665	UBS AG – HSI R Bull CBBC Jun 2014 R	2014/01/30	2014/02/04
67381	HK Bank – A50 R Bull CBBC Sep 2014 E	2014/01/30	2014/02/04
60548	Credit Suisse AG – HSI R Bull CBBC May 2014 U	2014/01/30	2014/02/04
66493	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 L	2014/01/30	2014/02/04
60547	Credit Suisse AG – HSI R Bull CBBC May 2014 T	2014/01/30	2014/02/04
66106	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 C	2014/01/30	2014/02/04
67171	UBS AG – China Mobile R Bull CBBC Oct 2014 F	2014/01/30	2014/02/04
63944	Credit Suisse AG – China Mobile R Bull CBBC Sep 2014 C	2014/01/30	2014/02/04
67922	UBS AG – China Life R Bull CBBC Jul 2014 E	2014/01/30	2014/02/04
62508	HK Bank – HSI R Bull CBBC Feb 2014 L	2014/01/30	2014/02/04
66444	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 G	2014/01/30	2014/02/04
66441	Citigroup Global Mkt H Inc. – COVS R Bull CBBC Sep 2014 A	2014/01/30	2014/02/04
66390	UBS AG – Petrochina R Bull CBBC Oct 2014 A	2014/01/30	2014/02/04
62980	UBS AG – HSI R Bull CBBC Sep 2014 H	2014/01/30	2014/02/04
60524	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 Q	2014/01/30	2014/02/04
61387	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 B	2014/01/30	2014/02/04
66522	Standard Chartered Bank – A50 China R Bull CBBC Dec 2014 A	2014/01/30	2014/02/04
60503	UBS AG – HSI R Bull CBBC Feb 2014 Y	2014/01/30	2014/02/04
67600	HK Bank – Lenovo R Bull CBBC Dec 2014 B	2014/01/30	2014/02/04
66301	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Sep14 A	2014/01/30	2014/02/04
63555	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 O	2014/01/30	2014/02/04
67756	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 C	2014/01/30	2014/02/04
68789	HK Bank – HSCEI R Bull CBBC Feb 2014 B	2014/01/30	2014/02/04
67759	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 X	2014/01/30	2014/02/04
67760	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 N	2014/01/30	2014/02/04
67765	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 O	2014/01/30	2014/02/04
60454	HK Bank – HSCEI R Bull CBBC Sep 2014 A	2014/01/30	2014/02/04
67773	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 W	2014/01/30	2014/02/04
67775	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 X	2014/01/30	2014/02/04
67776	Credit Suisse AG – HSI R Bull CBBC Sep 2014 B	2014/01/30	2014/02/04
67792	Credit Suisse AG – HSI R Bull CBBC Sep 2014 C	2014/01/30	2014/02/04
64959	HK Bank – HSI R Bull CBBC Jun 2014 E	2014/01/30	2014/02/04
64953	HK Bank – HSI R Bull CBBC May 2014 C	2014/01/30	2014/02/04
66603	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2014 A	2014/01/30	2014/02/04
67798	UBS AG – HSI R Bull CBBC Oct 2014 T	2014/01/30	2014/02/04
66622	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 O	2014/01/30	2014/02/04
62322	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 Y	2014/01/30	2014/02/04
67799	UBS AG – HSI R Bull CBBC Dec 2014 R	2014/01/30	2014/02/04
64852	HK Bank – A50 R Bull CBBC Oct 2014 A	2014/01/30	2014/02/04
67800	UBS AG – HSI R Bull CBBC Sep 2014 M	2014/01/30	2014/02/04
62283	UBS AG – HSI R Bull CBBC Feb 2014 D	2014/01/30	2014/02/04
67801	UBS AG – HSI R Bull CBBC Jun 2014 Y	2014/01/30	2014/02/04
61274	HK Bank – TCH R Bear CBBC Oct 2014 C	2014/01/30	2014/02/04
67802	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 D	2014/01/30	2014/02/04
67819	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Y	2014/01/30	2014/02/04
61312	UBS AG – TCH R Bear CBBC Aug 2014 A	2014/01/30	2014/02/04
62647	UBS AG – HSBC R Bull CBBC May 2014 B	2014/01/30	2014/02/04
62261	Credit Suisse AG – HSI R Bull CBBC Jun 2014 F	2014/01/30	2014/02/04
67859	Credit Suisse AG – HSI R Bull CBBC Sep 2014 I	2014/01/30	2014/02/04
67794	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Z	2014/01/30	2014/02/04
67743	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2014 B	2014/01/30	2014/02/04
67560	HK Bank – HSCEI R Bull CBBC Aug 2014 C	2014/01/30	2014/02/04
66165	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Sep 2014 A	2014/01/30	2014/02/04
60581	HK Bank – China Mobile R Bull CBBC Nov 2014 A	2014/01/30	2014/02/04
65118	HK Bank – PetCh R Bull CBBC Oct 2014 A	2014/01/30	2014/02/04
67726	HK Bank – HSCEI R Bull CBBC Aug 2014 D	2014/01/30	2014/02/04
62550	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 Q	2014/01/30	2014/02/04
61174	UBS AG – Hutchison R Bull CBBC Oct 2014 B	2014/01/30	2014/02/04
67741	HK Bank – A50 R Bull CBBC Oct 2014 D	2014/01/30	2014/02/04
62029	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 K	2014/01/30	2014/02/04
62034	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 O	2014/01/30	2014/02/04
60916	HK Bank – Hutchison R Bull CBBC Oct 2014 A	2014/01/30	2014/02/04
67749	HK Bank – A50 R Bull CBBC Nov 2014 G	2014/01/30	2014/02/04
61724	Credit Suisse AG – HSI R Bull CBBC Apr 2014 Q	2014/01/30	2014/02/04
67735	HK Bank – A50 R Bull CBBC Nov 2014 F	2014/01/30	2014/02/04
65225	UBS AG – CSOP A50 ETF R Bull CBBC Jul 2014 B	2014/02/04	2014/02/05
62890	UBS AG – HSBC R Bull CBBC Nov 2014 A	2014/02/04	2014/02/05
68151	HK Bank – China Life R Bull CBBC Jul 2014 D	2014/02/04	2014/02/05
68165	HK Bank – Ping An R Bull CBBC Jun 2014 A	2014/02/04	2014/02/05
62466	UBS AG – A50 China R Bull CBBC Mar 2014 B	2014/02/04	2014/02/05
64888	HK Bank – HSI R Bull CBBC Jul 2014 M	2014/02/04	2014/02/05
64889	HK Bank – HSI R Bull CBBC Aug 2014 I	2014/02/04	2014/02/05
64231	UBS AG – HSI R Bull CBBC May 2014 J	2014/02/04	2014/02/05
65252	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Jul 2014 B	2014/02/04	2014/02/05
65407	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 G	2014/02/04	2014/02/05
62964	UBS AG – CSOP A50 ETF R Bull CBBC Feb 2014 A	2014/02/04	2014/02/05
60314	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun14D	2014/02/04	2014/02/05
60285	UBS AG – China Mobile R Bull CBBC Oct 2014 B	2014/02/04	2014/02/05
64233	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 U	2014/02/04	2014/02/05
64230	Credit Suisse AG – HSI R Bull CBBC Feb 2014 N	2014/02/04	2014/02/05
66053	UBS AG – Lenovo R Bull CBBC Dec 2014 A	2014/02/04	2014/02/05
65919	Credit Suisse AG – HSI R Bull CBBC Nov 2014 T	2014/02/04	2014/02/05
65921	Credit Suisse AG – HSI R Bull CBBC Nov 2014 U	2014/02/04	2014/02/05
65917	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 B	2014/02/04	2014/02/05
61024	HK Bank – Lenovo R Bull CBBC Dec 2014 A	2014/02/04	2014/02/05
65850	HK Bank – Kunlun Energy R Bull CBBC Sep 2014 C	2014/02/04	2014/02/05
64930	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 F	2014/02/04	2014/02/05

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63912	Bank of East Asia – A50 China R Bull CBBC Jun 2014 A	2014/02/04	2014/02/05
66178	HK Bank – HSI R Bull CBBC Aug 2014 K	2014/02/04	2014/02/05
65782	UBS AG – COVS R Bull CBBC Sep 2014 A	2014/02/04	2014/02/05
63907	Credit Suisse AG – A50 China R Bull CBBC May 2014 B	2014/02/04	2014/02/05
63540	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 M	2014/02/04	2014/02/05
60714	UBS AG – Hutchison R Bull CBBC Oct 2014 A	2014/02/04	2014/02/05
68356	HK Bank – A50 R Bull CBBC Oct 2014 B	2014/02/04	2014/02/05
65149	HK Bank – A50 R Bull CBBC Nov 2014 C	2014/02/04	2014/02/05
64890	HK Bank – HSI R Bull CBBC Sep 2014 C	2014/02/04	2014/02/05
64105	UBS AG – HSBC R Bull CBBC May 2014 A	2014/02/04	2014/02/05
65080	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Aug 14 A	2014/02/04	2014/02/05
64358	HK Bank – CNOOC R Bull CBBC Dec 2014 A	2014/02/04	2014/02/05
63543	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 N	2014/02/04	2014/02/05
64920	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 K	2014/02/04	2014/02/05
68023	Credit Suisse AG – HSI R Bull CBBC Aug 2014 K	2014/02/04	2014/02/05
66627	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 Q	2014/02/04	2014/02/05
68089	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Z	2014/02/04	2014/02/05
68083	Credit Suisse AG – HSI R Bull CBBC Dec 2014 B	2014/02/04	2014/02/05
68080	Credit Suisse AG – HSI R Bull CBBC Dec 2014 A	2014/02/04	2014/02/05
68064	Bank of East Asia – CNOOC R Bull CBBC Dec 2014 A	2014/02/04	2014/02/05
68041	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 P	2014/02/04	2014/02/05
68347	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 G	2014/02/04	2014/02/05
68026	UBS AG – HSI R Bull CBBC Nov 2014 M	2014/02/04	2014/02/05
66621	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 N	2014/02/04	2014/02/05
68022	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Z	2014/02/04	2014/02/05
68021	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 H	2014/02/04	2014/02/05
64522	HK Bank – China Mobile R Bull CBBC Oct 2014 A	2014/02/04	2014/02/05
68019	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 F	2014/02/04	2014/02/05
67998	UBS AG – HSCEI R Bull CBBC Jul 2014 A	2014/02/04	2014/02/05
68010	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Z	2014/02/04	2014/02/05
68008	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Y	2014/02/04	2014/02/05
68027	UBS AG – HSI R Bull CBBC Dec 2014 S	2014/02/04	2014/02/05
68122	Credit Suisse AG – HSI R Bull CBBC Aug 2014 O	2014/02/04	2014/02/05
68296	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 A	2014/02/04	2014/02/05
65866	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 E	2014/02/04	2014/02/05
68266	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 I	2014/02/04	2014/02/05
68264	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 H	2014/02/04	2014/02/05
68234	UBS AG – Kunlun Energy R Bull CBBC Sep 2014 A	2014/02/04	2014/02/05
66585	HK Bank – China Mobile R Bull CBBC Dec 2014 B	2014/02/04	2014/02/05
68192	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 N	2014/02/04	2014/02/05
64969	HK Bank – CM Bank R Bull CBBC Jun 2014 A	2014/02/04	2014/02/05
68123	UBS AG – HSI R Bull CBBC Oct 2014 U	2014/02/04	2014/02/05
66625	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 P	2014/02/04	2014/02/05
65364	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2014 A	2014/02/04	2014/02/05
68101	Credit Suisse AG – HSI R Bull CBBC Dec 2014 C	2014/02/04	2014/02/05
68099	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2014/02/04	2014/02/05
65088	Bank of East Asia – CM Bank R Bull CBBC Sep 2014 A	2014/02/04	2014/02/05
67162	HK Bank – COVS R Bull CBBC Feb 2014 A	2014/02/04	2014/02/05
67163	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 C	2014/02/04	2014/02/05
66815	HK Bank – AIA R Bull CBBC Jul 2014 B	2014/02/04	2014/02/05
68149	UBS AG – Lenovo R Bull CBBC Dec 2014 C	2014/02/04	2014/02/05
60502	UBS AG – HSI R Bull CBBC Jul 2014 H	2014/02/04	2014/02/05
67917	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 S	2014/02/04	2014/02/05
60808	UBS AG – HSI R Bull CBBC Mar 2014 B	2014/02/04	2014/02/05
60806	UBS AG – HSI R Bull CBBC Jul 2014 I	2014/02/04	2014/02/05
60789	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 S	2014/02/04	2014/02/05
60784	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 H	2014/02/04	2014/02/05
60567	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 G	2014/02/04	2014/02/05
60561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 R	2014/02/04	2014/02/05
60857	UBS AG – HSI R Bull CBBC Feb 2014 Z	2014/02/04	2014/02/05
60523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 N	2014/02/04	2014/02/05
60917	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 E	2014/02/04	2014/02/05
60498	UBS AG – HSI R Bull CBBC Feb 2014 S	2014/02/04	2014/02/05
60497	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 F	2014/02/04	2014/02/05
67596	HK Bank – Lenovo R Bull CBBC Sep 2014 D	2014/02/04	2014/02/05
60461	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 W	2014/02/04	2014/02/05
60435	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 W	2014/02/04	2014/02/05
60415	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 A	2014/02/04	2014/02/05
60389	Credit Suisse AG – HSI R Bull CBBC Feb 2014 R	2014/02/04	2014/02/05
60558	Credit Suisse AG – HSI R Bull CBBC May 2014 W	2014/02/04	2014/02/05
62551	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb14 B	2014/02/04	2014/02/05
68025	Credit Suisse AG – HSI R Bull CBBC Aug 2014 L	2014/02/04	2014/02/05
66951	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Jun 2014 A	2014/02/04	2014/02/05
67022	UBS AG – A50 China R Bull CBBC Jun 2014 C	2014/02/04	2014/02/05
67132	HK Bank – Lenovo R Bull CBBC Sep 2014 C	2014/02/04	2014/02/05
60138	Credit Suisse AG – HSI R Bull CBBC Feb 2014 G	2014/02/04	2014/02/05
60313	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 U	2014/02/04	2014/02/05
69980	HK Bank – HSI R Bull CBBC Feb 2014 J	2014/02/04	2014/02/05
60820	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 X	2014/02/04	2014/02/05
67357	Bank of East Asia – Lenovo R Bull CBBC Dec 2014 A	2014/02/04	2014/02/05
67916	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Y	2014/02/04	2014/02/05
62236	HK Bank – HSI R Bull CBBC Mar 2014 E	2014/02/04	2014/02/05
62129	UBS AG – HSI R Bull CBBC Jun 2014 S	2014/02/04	2014/02/05
62028	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 I	2014/02/04	2014/02/05
67570	HK Bank – HSCEI R Bull CBBC Nov 2014 A	2014/02/04	2014/02/05
61771	J P Morgan SP BV – HSI R Bull CBBC May 2014 Q	2014/02/04	2014/02/05
61667	UBS AG – HSI R Bull CBBC Apr 2014 I	2014/02/04	2014/02/05

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61438	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 T	2014/02/04	2014/02/05
67261	UBS AG – Lenovo R Bull CBBC Aug 2014 B	2014/02/04	2014/02/05
67930	UBS AG – Ping An R Bull CBBC Oct 2014 B	2014/02/04	2014/02/05
68348	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Z	2014/02/04	2014/02/05
65994	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 A	2014/02/04	2014/02/05
66442	Citigroup Global Mkt H Inc. – SHK Ppt R Bull CBBC Sep 2014 A	2014/02/04	2014/02/05
66440	Citigroup Global Mkt H Inc. – Lenovo R Bull CBBC Sep 2014 A	2014/02/04	2014/02/05
64753	Credit Suisse AG – HSI R Bull CBBC Apr 2014 J	2014/02/04	2014/02/05
66172	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 C	2014/02/04	2014/02/05
65719	UBS AG – HSI R Bull CBBC Mar 2014 K	2014/02/04	2014/02/05
65835	Credit Suisse AG – HSI R Bull CBBC Mar 2014 Z	2014/02/04	2014/02/05
64961	HK Bank – HSI R Bull CBBC May 2014 D	2014/02/05	2014/02/06
68615	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 V	2014/02/05	2014/02/06
65812	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 B	2014/02/05	2014/02/06
60014	Credit Suisse AG – HSI R Bull CBBC Nov 2014 H	2014/02/05	2014/02/06
66259	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 J	2014/02/05	2014/02/06
61094	UBS AG – Sands China R Bull CBBC Aug 2014 B	2014/02/05	2014/02/06
63128	UBS AG – HKEx R Bull CBBC Jun 2014 D	2014/02/05	2014/02/06
65888	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 D	2014/02/05	2014/02/06
60019	Credit Suisse AG – HSI R Bull CBBC Nov 2014 I	2014/02/05	2014/02/06
60026	Credit Suisse AG – HSI R Bull CBBC Nov 2014 L	2014/02/05	2014/02/06
67350	Bank of East Asia – HKEx R Bull CBBC Sep 2014 A	2014/02/05	2014/02/06
68616	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 W	2014/02/05	2014/02/06
67235	Credit Suisse AG – HSI R Bull CBBC Feb 2014 U	2014/02/05	2014/02/06
68602	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 I	2014/02/05	2014/02/06
64086	UBS AG – HSI R Bull CBBC Feb 2014 G	2014/02/05	2014/02/06
60012	Credit Suisse AG – HSI R Bull CBBC Nov 2014 D	2014/02/05	2014/02/06
60008	Credit Suisse AG – HSI R Bull CBBC Nov 2014 C	2014/02/05	2014/02/06
60936	HK Bank – Sands China R Bull CBBC Sep 2014 B	2014/02/05	2014/02/06
65259	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 K	2014/02/05	2014/02/06
68102	Credit Suisse AG – HSI R Bull CBBC Dec 2014 D	2014/02/05	2014/02/06
68590	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 E	2014/02/05	2014/02/06
68588	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Q	2014/02/05	2014/02/06
69999	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 X	2014/02/05	2014/02/06
64849	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 S	2014/02/05	2014/02/06
68494	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2014 A	2014/02/05	2014/02/06
67822	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 F	2014/02/05	2014/02/06
67878	UBS AG – CNOOC R Bull CBBC Oct 2014 C	2014/02/05	2014/02/06
64049	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 V	2014/02/05	2014/02/06
66841	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 E	2014/02/05	2014/02/06
60032	UBS AG – HSI R Bull CBBC Aug 2014 W	2014/02/05	2014/02/06
64045	UBS AG – HSI R Bull CBBC May 2014 I	2014/02/05	2014/02/06
60031	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/02/05	2014/02/06
66647	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 Z	2014/02/05	2014/02/06
64029	UBS AG – HSI R Bull CBBC Apr 2014 S	2014/02/05	2014/02/06
60150	UBS AG – TCH R Bear CBBC Sep 2014 D	2014/02/05	2014/02/06
65559	UBS AG – Galaxy Ent R Bull CBBC Aug 2014 A	2014/02/05	2014/02/06
66300	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Sep14 A	2014/02/05	2014/02/06
64123	HK Bank – HSI R Bull CBBC Jun 2014 A	2014/02/05	2014/02/06
68487	UBS AG – HSI R Bull CBBC Jun 2014 L	2014/02/05	2014/02/06
64050	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 N	2014/02/05	2014/02/06
68029	Standard Chartered Bank – Galaxy Ent R Bull CBBC Sep 2014 B	2014/02/05	2014/02/06
67123	HK Bank – HSI R Bull CBBC Aug 2014 P	2014/02/05	2014/02/06
64065	Credit Suisse AG – HSI R Bull CBBC Feb 2014 M	2014/02/05	2014/02/06
69870	Credit Suisse AG – HSI R Bull CBBC Feb 2014 X	2014/02/05	2014/02/06
64088	HK Bank – Galaxy Ent R Bull CBBC Sep 2014 D	2014/02/05	2014/02/06
63923	UBS AG – HSI R Bull CBBC Mar 2014 M	2014/02/05	2014/02/06
60029	UBS AG – HSI R Bull CBBC Dec 2014 T	2014/02/05	2014/02/06
60030	UBS AG – HSI R Bull CBBC Oct 2014 P	2014/02/05	2014/02/06
67804	HK Bank – SHK Ppt R Bear CBBC Feb 2014 A	2014/02/05	2014/02/07
68363	HK Bank – TCH R Bear CBBC Sep 2014 L	2014/02/05	2014/02/06
64640	Credit Suisse AG – HSI R Bull CBBC Apr 2014 F	2014/02/05	2014/02/06
60082	UBS AG – HSCEI R Bull CBBC Dec 2014 C	2014/02/05	2014/02/06
65340	J P Morgan SP BV – HSI R Bull CBBC May 2014 C	2014/02/05	2014/02/06
60210	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 S	2014/02/05	2014/02/06
67572	HK Bank – CNOOC R Bull CBBC Oct 2014 D	2014/02/05	2014/02/06
60092	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 L	2014/02/05	2014/02/06
64919	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 I	2014/02/05	2014/02/06
60000	Credit Suisse AG – HSI R Bull CBBC Nov 2014 E	2014/02/05	2014/02/06
65335	J P Morgan SP BV – HSI R Bull CBBC May 2014 B	2014/02/05	2014/02/06
63036	Credit Suisse AG – HSI R Bull CBBC May 2014 O	2014/02/05	2014/02/06
68430	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 B	2014/02/05	2014/02/06
68422	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 R	2014/02/05	2014/02/06
68411	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 U	2014/02/05	2014/02/06
68410	HK Bank – HSI R Bull CBBC Sep 2014 J	2014/02/05	2014/02/06
65023	UBS AG – HSI R Bull CBBC Apr 2014 T	2014/02/05	2014/02/06
68398	HK Bank – HSI R Bull CBBC Oct 2014 C	2014/02/05	2014/02/06
60091	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 K	2014/02/05	2014/02/06
60859	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 M	2014/02/05	2014/02/06
68529	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 Z	2014/02/05	2014/02/06
63252	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 Z	2014/02/05	2014/02/06
63021	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 G	2014/02/05	2014/02/06
68521	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14O	2014/02/05	2014/02/06
68486	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14J	2014/02/05	2014/02/06
65243	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Aug14A	2014/02/05	2014/02/06
64665	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 C	2014/02/05	2014/02/06
68434	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 H	2014/02/05	2014/02/06

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60061	UBS AG – HSI R Bull CBBC Dec 2014 U	2014/02/05	2014/02/06
68526	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14Q	2014/02/05	2014/02/06
60065	UBS AG – HSI R Bull CBBC Dec 2014 V	2014/02/05	2014/02/06
60057	UBS AG – HSI R Bull CBBC Nov 2014 O	2014/02/05	2014/02/06
66243	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 T	2014/02/05	2014/02/06
68586	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 A	2014/02/05	2014/02/06
64489	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 C	2014/02/05	2014/02/06
68483	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14H	2014/02/05	2014/02/06
68436	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 X	2014/02/05	2014/02/06
60401	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 T	2014/02/06	2014/02/07
60340	UBS AG – HSI R Bull CBBC Oct 2014 M	2014/02/06	2014/02/07
60387	UBS AG – HSI R Bull CBBC Aug 2014 B	2014/02/06	2014/02/07
60384	Credit Suisse AG – HSI R Bull CBBC Sep 2014 D	2014/02/06	2014/02/07
60365	Credit Suisse AG – HSI R Bull CBBC Sep 2014 J	2014/02/06	2014/02/07
60351	UBS AG – Galaxy Ent R Bull CBBC Sep 2014 E	2014/02/06	2014/02/07
60208	UBS AG – Lenovo R Bull CBBC Aug 2014 A	2014/02/06	2014/02/07
60396	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 B	2014/02/06	2014/02/07
65968	HK Bank – Lenovo R Bull CBBC Oct 2014 A	2014/02/06	2014/02/07
60246	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14T	2014/02/06	2014/02/07
60300	Standard Chartered Bank – CSOP A50 ETF R Bull CBBC Aug 2014A	2014/02/06	2014/02/07
60284	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 N	2014/02/06	2014/02/07
60220	HK Bank – HSI R Bull CBBC Aug 2014 R	2014/02/06	2014/02/07
60335	UBS AG – HSI R Bull CBBC Oct 2014 W	2014/02/06	2014/02/07
62057	UBS AG – Sinopec Corp R Bull CBBC Feb 2014 A	2014/02/07	2014/02/11
66640	HK Bank – TCH R Bull CBBC Feb 2014 C	2014/02/07	2014/02/11
60532	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 V	2014/02/07	2014/02/10
66406	UBS AG – SHK Ppt R Bull CBBC Feb 2014 A	2014/02/07	2014/02/11
60536	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 F	2014/02/07	2014/02/10
60537	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 G	2014/02/07	2014/02/10
60544	UBS AG – HSI R Bull CBBC Oct 2014 Y	2014/02/07	2014/02/10
68361	HK Bank – TCH R Bear CBBC Oct 2014 G	2014/02/07	2014/02/10
60550	UBS AG – HSI R Bull CBBC Aug 2014 H	2014/02/07	2014/02/10
60646	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 V	2014/02/07	2014/02/10
66719	UBS AG – CNBM R Bull CBBC Feb 2014 A	2014/02/07	2014/02/11
60583	Credit Suisse AG – HSI R Bull CBBC Oct 2014 P	2014/02/07	2014/02/10
60584	Credit Suisse AG – HSI R Bull CBBC Oct 2014 K	2014/02/07	2014/02/10
60588	Credit Suisse AG – HSI R Bull CBBC Oct 2014 W	2014/02/07	2014/02/10
60531	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 U	2014/02/07	2014/02/10
61431	UBS AG – A50 China R Bull CBBC Feb 2014 B	2014/02/07	2014/02/11
64581	UBS AG – HSBC R Bear CBBC Feb 2014 A	2014/02/07	2014/02/11
66619	HK Bank – TCH R Bull CBBC Feb 2014 B	2014/02/07	2014/02/11
61436	UBS AG – ICBC R Bull CBBC Feb 2014 B	2014/02/07	2014/02/11
60582	UBS AG – HSI R Bull CBBC Nov 2014 S	2014/02/07	2014/02/10
60083	UBS AG – TCH R Bear CBBC Aug 2014 C	2014/02/07	2014/02/10
66360	UBS AG – Evergrande R Bear CBBC Feb 2014 A	2014/02/07	2014/02/11
66929	UBS AG – Hutchison R Bull CBBC Feb 2014 A	2014/02/07	2014/02/11
60800	UBS AG – HSI R Bull CBBC Dec 2014 X	2014/02/10	2014/02/11
60826	UBS AG – HSI R Bull CBBC Sep 2014 I	2014/02/10	2014/02/11
60819	UBS AG – HSI R Bull CBBC Aug 2014 C	2014/02/10	2014/02/11
63757	UBS AG – AIA R Bull CBBC Sep 2014 B	2014/02/10	2014/02/11
60807	UBS AG – HSI R Bull CBBC Sep 2014 L	2014/02/10	2014/02/11
60718	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 M	2014/02/10	2014/02/11
60720	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Z	2014/02/10	2014/02/11
60760	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 X	2014/02/10	2014/02/11
60730	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 R	2014/02/10	2014/02/11
63930	HK Bank – Cheung Kong R Bull CBBC Jun 2014 A	2014/02/10	2014/02/11
60799	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/02/10	2014/02/11
60779	Credit Suisse AG – HSI R Bull CBBC Dec 2014 J	2014/02/10	2014/02/11
60774	Credit Suisse AG – HSI R Bull CBBC Dec 2014 I	2014/02/10	2014/02/11
60772	Credit Suisse AG – HSI R Bull CBBC Dec 2014 H	2014/02/10	2014/02/11
60762	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 A	2014/02/10	2014/02/11
60723	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 Y	2014/02/10	2014/02/11
60868	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 O	2014/02/10	2014/02/11
60746	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 W	2014/02/10	2014/02/11
60895	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 K	2014/02/10	2014/02/11
60896	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 L	2014/02/10	2014/02/11
60604	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Sep14 B	2014/02/10	2014/02/11
60955	UBS AG – HSI R Bull CBBC Aug 2014 O	2014/02/11	2014/02/12
60907	HK Bank – HSI R Bull CBBC Oct 2014 D	2014/02/11	2014/02/12
61266	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 X	2014/02/11	2014/02/12
60952	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 F	2014/02/11	2014/02/12
61740	UBS AG – HSI R Bull CBBC Jul 2014 D	2014/02/11	2014/02/12
67845	UBS AG – HSI R Bear CBBC May 2014 D	2014/02/11	2014/02/12
61268	J P Morgan SP BV – HSI R Bear CBBC May 2014 T	2014/02/11	2014/02/12
62922	UBS AG – HSI R Bear CBBC Mar 2014 K	2014/02/11	2014/02/12
60971	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Z	2014/02/11	2014/02/12
60969	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Y	2014/02/11	2014/02/12
62924	UBS AG – HSI R Bear CBBC Mar 2014 L	2014/02/11	2014/02/12
60956	UBS AG – HSI R Bull CBBC Aug 2014 A	2014/02/11	2014/02/12
60959	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/02/11	2014/02/12
61270	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 S	2014/02/11	2014/02/12
61272	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 T	2014/02/11	2014/02/12
61275	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 U	2014/02/11	2014/02/12
61265	UBS AG – HSI R Bear CBBC May 2014 Z	2014/02/11	2014/02/12
60963	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 U	2014/02/11	2014/02/12
60954	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 Z	2014/02/11	2014/02/12
61229	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 S	2014/02/11	2014/02/12

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61240	Credit Suisse AG – HSI R Bear CBBC Jul 2014 W	2014/02/11	2014/02/12
61899	UBS AG – HSI R Bull CBBC Mar 2014 C	2014/02/11	2014/02/12
61902	UBS AG – HSI R Bull CBBC Mar 2014 D	2014/02/11	2014/02/12
61055	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 O	2014/02/11	2014/02/12
61113	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 T	2014/02/11	2014/02/12
61116	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 U	2014/02/11	2014/02/12
61262	UBS AG – HSI R Bear CBBC Jun 2014 R	2014/02/11	2014/02/12
61558	UBS AG – HSI R Bull CBBC Jul 2014 B	2014/02/11	2014/02/12
61246	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Y	2014/02/11	2014/02/12
61228	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 R	2014/02/11	2014/02/12
61222	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 Q	2014/02/11	2014/02/12
61221	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 P	2014/02/11	2014/02/12
61180	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 O	2014/02/11	2014/02/12
61129	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 W	2014/02/11	2014/02/12
61167	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 L	2014/02/11	2014/02/12
61173	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 M	2014/02/11	2014/02/12
61239	Credit Suisse AG – HSI R Bear CBBC Jul 2014 V	2014/02/11	2014/02/12
60986	Credit Suisse AG – HSI R Bull CBBC Aug 2014 J	2014/02/11	2014/02/12
68306	UBS AG – HSI R Bear CBBC Mar 2014 A	2014/02/11	2014/02/12
61127	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 V	2014/02/11	2014/02/12
68307	UBS AG – HSI R Bear CBBC Mar 2014 B	2014/02/11	2014/02/12
61178	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 N	2014/02/11	2014/02/12
61260	UBS AG – HSI R Bear CBBC Jun 2014 Q	2014/02/11	2014/02/12
60976	Credit Suisse AG – HSI R Bull CBBC Aug 2014 V	2014/02/11	2014/02/12
60981	Credit Suisse AG – HSI R Bull CBBC Aug 2014 G	2014/02/11	2014/02/12
61241	Credit Suisse AG – HSI R Bear CBBC Jul 2014 X	2014/02/11	2014/02/12
61254	Credit Suisse AG – HSI R Bear CBBC May 2014 H	2014/02/11	2014/02/12
61245	Credit Suisse AG – HSI R Bear CBBC May 2014 S	2014/02/11	2014/02/12
60992	J P Morgan SP BV – HSI R Bear CBBC May 2014 R	2014/02/11	2014/02/12
61000	J P Morgan SP BV – HSI R Bear CBBC May 2014 S	2014/02/11	2014/02/12
61033	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 Q	2014/02/11	2014/02/12
62039	UBS AG – HSI R Bull CBBC Jun 2014 G	2014/02/11	2014/02/12
62030	UBS AG – HSI R Bull CBBC Jun 2014 E	2014/02/11	2014/02/12
61053	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 N	2014/02/11	2014/02/12
61264	UBS AG – HSI R Bear CBBC Jun 2014 S	2014/02/11	2014/02/12
60982	Credit Suisse AG – HSI R Bull CBBC Aug 2014 N	2014/02/11	2014/02/12
68786	UBS AG – HSI R Bear CBBC May 2014 E	2014/02/11	2014/02/12
60392	Credit Suisse AG – HSI R Bear CBBC Jul 2014 U	2014/02/11	2014/02/12
60394	UBS AG – HSI R Bear CBBC May 2014 Y	2014/02/11	2014/02/12
60167	UBS AG – HSI R Bear CBBC May 2014 M	2014/02/11	2014/02/12
60240	UBS AG – HSI R Bear CBBC May 2014 N	2014/02/11	2014/02/12
60485	Credit Suisse AG – HSI R Bear CBBC Aug 2014 E	2014/02/11	2014/02/12
62032	UBS AG – HSI R Bull CBBC May 2014 G	2014/02/11	2014/02/12
60527	UBS AG – HSI R Bear CBBC Jun 2014 O	2014/02/11	2014/02/12
60864	UBS AG – HSI R Bear CBBC Jul 2014 B	2014/02/11	2014/02/12
62210	UBS AG – HSI R Bull CBBC Apr 2014 N	2014/02/11	2014/02/12
60181	Credit Suisse AG – HSI R Bear CBBC May 2014 P	2014/02/11	2014/02/12
62201	UBS AG – HSI R Bull CBBC Jun 2014 H	2014/02/11	2014/02/12
62200	UBS AG – HSI R Bull CBBC Jul 2014 E	2014/02/11	2014/02/12
62033	UBS AG – HSI R Bull CBBC Jun 2014 F	2014/02/11	2014/02/12
68788	UBS AG – HSI R Bear CBBC Apr 2014 K	2014/02/11	2014/02/12
60219	J P Morgan SP BV – HSI R Bear CBBC May 2014 M	2014/02/11	2014/02/12
60182	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 A	2014/02/11	2014/02/12
60196	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 O	2014/02/11	2014/02/12
60902	HK Bank – HSI R Bull CBBC Sep 2014 K	2014/02/11	2014/02/12
62036	UBS AG – HSI R Bull CBBC May 2014 H	2014/02/11	2014/02/12
60033	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14F	2014/02/11	2014/02/12
60237	HK Bank – HSI R Bear CBBC Aug 2014 Q	2014/02/12	2014/02/13
61376	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 V	2014/02/12	2014/02/13
61377	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 W	2014/02/12	2014/02/13
61341	HK Bank – HSI R Bear CBBC Sep 2014 M	2014/02/12	2014/02/13
61397	Credit Suisse AG – HSI R Bear CBBC Jun 2014 I	2014/02/12	2014/02/13
61400	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 P	2014/02/12	2014/02/13
61401	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 Q	2014/02/12	2014/02/13
60236	HK Bank – HSI R Bear CBBC Sep 2014 L	2014/02/12	2014/02/13
61351	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 Z	2014/02/12	2014/02/13
61346	HK Bank – HSI R Bear CBBC Aug 2014 R	2014/02/12	2014/02/13
60171	Credit Suisse AG – HSI R Bear CBBC May 2014 G	2014/02/12	2014/02/13
61325	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Nov 2014 A	2014/02/12	2014/02/13
60251	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14K	2014/02/12	2014/02/13
60252	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14L	2014/02/12	2014/02/13
60106	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 K	2014/02/12	2014/02/13
60265	Credit Suisse AG – HSI R Bear CBBC Jul 2014 R	2014/02/12	2014/02/13
60277	Credit Suisse AG – HSI R Bear CBBC Jul 2014 S	2014/02/12	2014/02/13
60282	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 M	2014/02/12	2014/02/13
61350	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 Y	2014/02/12	2014/02/13
61422	UBS AG – HSI R Bear CBBC May 2014 C	2014/02/12	2014/02/13
68350	Standard Chartered Bank – TCH R Bear CBBC Sep 2014 C	2014/02/12	2014/02/13
60217	J P Morgan SP BV – HSI R Bear CBBC May 2014 L	2014/02/12	2014/02/13
60111	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 L	2014/02/12	2014/02/13
60173	Credit Suisse AG – HSI R Bear CBBC May 2014 I	2014/02/12	2014/02/13
61472	J P Morgan SP BV – HSI R Bear CBBC May 2014 V	2014/02/12	2014/02/13
61468	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 B	2014/02/12	2014/02/13
61467	J P Morgan SP BV – HSI R Bear CBBC May 2014 U	2014/02/12	2014/02/13
61466	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 A	2014/02/12	2014/02/13
61448	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 A	2014/02/12	2014/02/13
61403	Credit Suisse AG – HSI R Bear CBBC Aug 2014 L	2014/02/12	2014/02/13

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61423	UBS AG – HSI R Bear CBBC Jul 2014 G	2014/02/12	2014/02/13
61404	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Z	2014/02/12	2014/02/13
60185	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 D	2014/02/12	2014/02/13
61421	UBS AG – HSI R Bear CBBC Jul 2014 F	2014/02/12	2014/02/13
61406	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/02/12	2014/02/13
60184	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 C	2014/02/12	2014/02/13
60319	UBS AG – HSI R Bear CBBC Jun 2014 J	2014/02/12	2014/02/13
60904	HK Bank – HSI R Bear CBBC Oct 2014 F	2014/02/12	2014/02/13
61407	Credit Suisse AG – HSI R Bear CBBC May 2014 J	2014/02/12	2014/02/13
60183	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 B	2014/02/12	2014/02/13
61405	Credit Suisse AG – HSI R Bear CBBC Aug 2014 M	2014/02/12	2014/02/13
61425	UBS AG – HSI R Bear CBBC Jun 2014 U	2014/02/12	2014/02/13
60098	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 J	2014/02/12	2014/02/13
60146	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 K	2014/02/12	2014/02/13
61189	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 R	2014/02/12	2014/02/13
61182	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 Q	2014/02/12	2014/02/13
61413	UBS AG – HSI R Bear CBBC Jun 2014 T	2014/02/12	2014/02/13
60035	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14H	2014/02/12	2014/02/13
60484	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 S	2014/02/12	2014/02/13
60488	Credit Suisse AG – HSI R Bear CBBC Aug 2014 G	2014/02/12	2014/02/13
61181	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 P	2014/02/12	2014/02/13
60034	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14G	2014/02/12	2014/02/13
60520	UBS AG – HSI R Bear CBBC Jun 2014 M	2014/02/12	2014/02/13
60526	UBS AG – HSI R Bear CBBC Jun 2014 N	2014/02/12	2014/02/13
60670	J P Morgan SP BV – HSI R Bear CBBC May 2014 Q	2014/02/12	2014/02/13
61002	UBS AG – HSCEI R Bear CBBC May 2014 H	2014/02/12	2014/02/13
60142	UBS AG – HSI R Bear CBBC May 2014 R	2014/02/12	2014/02/13
60486	Credit Suisse AG – HSI R Bear CBBC Aug 2014 F	2014/02/12	2014/02/13
60321	UBS AG – HSI R Bear CBBC Jun 2014 K	2014/02/12	2014/02/13
60482	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 R	2014/02/12	2014/02/13
61232	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 T	2014/02/12	2014/02/13
60889	Credit Suisse AG – HSI R Bear CBBC Aug 2014 K	2014/02/12	2014/02/13
60884	Credit Suisse AG – HSI R Bear CBBC Aug 2014 J	2014/02/12	2014/02/13
60890	UBS AG – HSI R Bear CBBC Jun 2014 P	2014/02/12	2014/02/13
60409	J P Morgan SP BV – HSI R Bear CBBC May 2014 O	2014/02/12	2014/02/13
60144	UBS AG – HSI R Bear CBBC May 2014 X	2014/02/12	2014/02/13
61540	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 C	2014/02/13	2014/02/14
61541	J P Morgan SP BV – HSI R Bear CBBC May 2014 W	2014/02/13	2014/02/14
61494	UBS AG – HSI R Bear CBBC Jul 2014 I	2014/02/13	2014/02/14
61496	Credit Suisse AG – HSI R Bear CBBC Jul 2014 E	2014/02/13	2014/02/14
61495	Credit Suisse AG – HSI R Bear CBBC Aug 2014 N	2014/02/13	2014/02/14
61487	UBS AG – HSI R Bear CBBC Jun 2014 W	2014/02/13	2014/02/14
61476	HK Bank – HSI R Bear CBBC Oct 2014 G	2014/02/13	2014/02/14
61486	UBS AG – HSI R Bear CBBC Jul 2014 H	2014/02/13	2014/02/14
61483	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 E	2014/02/13	2014/02/14
60636	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Aug 2014 A	2014/02/13	2014/02/14
61526	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 B	2014/02/13	2014/02/14
61500	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Q	2014/02/13	2014/02/14
61498	Credit Suisse AG – HSI R Bear CBBC Aug 2014 P	2014/02/13	2014/02/14
61497	Credit Suisse AG – HSI R Bear CBBC Aug 2014 O	2014/02/13	2014/02/14
61527	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 C	2014/02/13	2014/02/14
61485	UBS AG – HSI R Bear CBBC Jun 2014 V	2014/02/13	2014/02/14
61536	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 U	2014/02/13	2014/02/14
61434	UBS AG – China Life R Bull CBBC Feb 2014 A	2014/02/14	2014/02/18
61583	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2014 B	2014/02/14	2014/02/17
60129	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 N	2014/02/14	2014/02/17
67909	UBS AG – Hutchison R Bull CBBC Feb 2014 B	2014/02/14	2014/02/18
61512	UBS AG – CAM CSI300 R Bear CBBC Feb 2014 A	2014/02/14	2014/02/18
60212	J P Morgan SP BV – HSI R Bear CBBC May 2014 K	2014/02/14	2014/02/17
66579	BNP Paribas Arbit Issu B.V. – TCH R Bear CBBC Dec 2014 A	2014/02/14	2014/02/17
60097	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 I	2014/02/14	2014/02/17
61578	Credit Suisse AG – HSI R Bear CBBC Jun 2014 M	2014/02/14	2014/02/17
61577	Credit Suisse AG – HSI R Bear CBBC Jun 2014 L	2014/02/14	2014/02/17
61566	HK Bank – TCH R Bear CBBC Nov 2014 B	2014/02/14	2014/02/17
61564	HK Bank – HSI R Bear CBBC Nov 2014 B	2014/02/14	2014/02/17
61575	UBS AG – HSI R Bear CBBC Jul 2014 J	2014/02/14	2014/02/17
61582	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2014 A	2014/02/14	2014/02/17
64694	HK Bank – TCH R Bear CBBC Sep 2014 A	2014/02/14	2014/02/17
61799	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2014 A	2014/02/14	2014/02/18
69776	HK Bank – CSOP A50 ETF R Bear CBBC Feb 2014 A	2014/02/14	2014/02/18
60186	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 E	2014/02/14	2014/02/17
60254	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14M	2014/02/14	2014/02/17
64289	HK Bank – China Shenhua R Bull CBBC Feb 2014 B	2014/02/14	2014/02/18
60264	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Q	2014/02/14	2014/02/17
61478	HK Bank – HSCEI R Bear CBBC Oct 2014 B	2014/02/14	2014/02/17
60170	Credit Suisse AG – HSI R Bear CBBC May 2014 F	2014/02/14	2014/02/17
60479	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 Q	2014/02/14	2014/02/17
60141	UBS AG – HSI R Bear CBBC May 2014 V	2014/02/14	2014/02/17
60227	HK Bank – HSI R Bear CBBC Oct 2014 E	2014/02/14	2014/02/17
69414	UBS AG – Cheung Kong R Bull CBBC Feb 2014 A	2014/02/14	2014/02/18
60515	UBS AG – HSI R Bear CBBC Jun 2014 L	2014/02/14	2014/02/17
61310	UBS AG – TCH R Bear CBBC Sep 2014 A	2014/02/14	2014/02/17
60147	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 L	2014/02/14	2014/02/17
61620	J P Morgan SP BV – HSI R Bear CBBC May 2014 X	2014/02/14	2014/02/17
66767	HK Bank – A50 R Bull CBBC Feb 2014 B	2014/02/14	2014/02/18
61619	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 D	2014/02/14	2014/02/17
61603	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 D	2014/02/14	2014/02/17

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60732	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 J	2014/02/14	2014/02/17
65420	UBS AG – TCH R Bear CBBC Apr 2014 D	2014/02/17	2014/02/18
61679	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Oct 2014 A	2014/02/17	2014/02/18
60113	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 M	2014/02/17	2014/02/18
61673	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Sep 2014 B	2014/02/17	2014/02/18
61671	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 E	2014/02/17	2014/02/18
68337	J P Morgan SP BV – HSI R Bear CBBC May 2014 I	2014/02/17	2014/02/18
60187	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 F	2014/02/17	2014/02/18
61670	UBS AG – HSI R Bear CBBC Jul 2014 L	2014/02/17	2014/02/18
61663	Credit Suisse AG – HSI R Bear CBBC Jun 2014 O	2014/02/17	2014/02/18
61662	Credit Suisse AG – HSI R Bear CBBC Jun 2014 N	2014/02/17	2014/02/18
61628	HK Bank – HSI R Bear CBBC Sep 2014 N	2014/02/17	2014/02/18
60844	UBS AG – Ping An R Bear CBBC Aug 2014 B	2014/02/17	2014/02/18
60489	Credit Suisse AG – HSI R Bear CBBC Aug 2014 H	2014/02/17	2014/02/18
60402	J P Morgan SP BV – HSI R Bear CBBC May 2014 N	2014/02/17	2014/02/18
60473	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 P	2014/02/17	2014/02/18
60312	UBS AG – HSI R Bear CBBC May 2014 U	2014/02/17	2014/02/18
68403	HK Bank – HSI R Bear CBBC Aug 2014 P	2014/02/17	2014/02/18
68308	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 E	2014/02/17	2014/02/18
67223	HK Bank – TCH R Bear CBBC Oct 2014 F	2014/02/17	2014/02/18
60040	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14I	2014/02/17	2014/02/18
67675	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 A	2014/02/17	2014/02/18
64069	UBS AG – TCH R Bear CBBC Sep 2014 B	2014/02/17	2014/02/18
60262	Credit Suisse AG – HSI R Bear CBBC Jul 2014 P	2014/02/17	2014/02/18
60169	Credit Suisse AG – HSI R Bear CBBC May 2014 Z	2014/02/17	2014/02/18
67168	Standard Chartered Bank – TCH R Bear CBBC Sep 2014 B	2014/02/17	2014/02/18
68585	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 J	2014/02/17	2014/02/18
68200	Credit Suisse AG – HSI R Bear CBBC May 2014 U	2014/02/17	2014/02/18
66055	UBS AG – TCH R Bear CBBC May 2014 D	2014/02/17	2014/02/18
60637	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Sep 2014 A	2014/02/17	2014/02/18
68271	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 H	2014/02/17	2014/02/18
68256	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 P	2014/02/17	2014/02/18
60135	UBS AG – HSI R Bear CBBC Jun 2014 G	2014/02/17	2014/02/18
68254	UBS AG – HSI R Bear CBBC May 2014 S	2014/02/17	2014/02/18
62714	HK Bank – TCH R Bear CBBC Nov 2014 A	2014/02/17	2014/02/18
68201	Credit Suisse AG – HSI R Bear CBBC May 2014 V	2014/02/17	2014/02/18
65598	HK Bank – TCH R Bear CBBC Sep 2014 H	2014/02/17	2014/02/18
60706	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Aug 2014 A	2014/02/17	2014/02/18
60640	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Oct 2014 A	2014/02/17	2014/02/18
60737	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 K	2014/02/17	2014/02/18
61721	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 X	2014/02/18	2014/02/19
61723	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 Z	2014/02/18	2014/02/19
61849	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 H	2014/02/18	2014/02/19
61743	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 F	2014/02/18	2014/02/19
61747	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 F	2014/02/18	2014/02/19
61757	Credit Suisse AG – HSI R Bear CBBC Jul 2014 H	2014/02/18	2014/02/19
68048	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 F	2014/02/18	2014/02/19
61846	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 A	2014/02/18	2014/02/19
61762	UBS AG – HSI R Bear CBBC May 2014 H	2014/02/18	2014/02/19
61848	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 G	2014/02/18	2014/02/19
61719	Credit Suisse AG – HSI R Bear CBBC May 2014 H	2014/02/18	2014/02/19
61702	Credit Suisse AG – HSI R Bear CBBC Jun 2014 P	2014/02/18	2014/02/19
61701	Credit Suisse AG – HSI R Bear CBBC May 2014 K	2014/02/18	2014/02/19
61700	Credit Suisse AG – HSI R Bear CBBC Aug 2014 R	2014/02/18	2014/02/19
61699	UBS AG – HSI R Bear CBBC Jun 2014 Y	2014/02/18	2014/02/19
61698	UBS AG – HSI R Bear CBBC Jun 2014 X	2014/02/18	2014/02/19
61697	UBS AG – HSI R Bear CBBC May 2014 F	2014/02/18	2014/02/19
61691	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 E	2014/02/18	2014/02/19
68045	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 C	2014/02/18	2014/02/19
60513	Credit Suisse AG – HSI R Bear CBBC Aug 2014 I	2014/02/18	2014/02/19
61720	Credit Suisse AG – HSI R Bear CBBC May 2014 S	2014/02/18	2014/02/19
68394	HK Bank – HSI R Bear CBBC Sep 2014 K	2014/02/18	2014/02/19
61695	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 F	2014/02/18	2014/02/19
61722	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 Y	2014/02/18	2014/02/19
68397	HK Bank – HSI R Bear CBBC Oct 2014 D	2014/02/19	2014/02/20
62071	UBS AG – HSI R Bear CBBC May 2014 E	2014/02/19	2014/02/20
60211	J P Morgan SP BV – HSI R Bear CBBC May 2014 J	2014/02/19	2014/02/20
62073	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 D	2014/02/19	2014/02/20
62074	Credit Suisse AG – HSI R Bear CBBC Jul 2014 J	2014/02/19	2014/02/20
62104	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 V	2014/02/19	2014/02/20
60131	UBS AG – HSI R Bear CBBC Jun 2014 F	2014/02/19	2014/02/20
68331	J P Morgan SP BV – HSI R Bear CBBC May 2014 H	2014/02/19	2014/02/20
60302	UBS AG – HSI R Bear CBBC Jun 2014 I	2014/02/19	2014/02/20
61477	HK Bank – HSI R Bear CBBC Jan 2015 A	2014/02/19	2014/02/20
61866	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Nov 2014 A	2014/02/19	2014/02/20
62173	J P Morgan SP BV – HSI R Bear CBBC May 2014 Y	2014/02/19	2014/02/20
68206	Credit Suisse AG – HSI R Bear CBBC May 2014 W	2014/02/19	2014/02/20
65602	HK Bank – TCH R Bear CBBC Sep 2014 I	2014/02/19	2014/02/20
61934	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 T	2014/02/19	2014/02/20
60261	Credit Suisse AG – HSI R Bear CBBC Jul 2014 O	2014/02/19	2014/02/20
60256	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14N	2014/02/19	2014/02/20
60660	J P Morgan SP BV – HSI R Bear CBBC May 2014 P	2014/02/19	2014/02/20
60189	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 G	2014/02/19	2014/02/20
66391	UBS AG – TCH R Bear CBBC Aug 2014 B	2014/02/19	2014/02/20
61675	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Oct 2014 B	2014/02/19	2014/02/20
60168	Credit Suisse AG – HSI R Bear CBBC May 2014 E	2014/02/19	2014/02/20
68176	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 T	2014/02/19	2014/02/20

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
68175	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 V	2014/02/19	2014/02/20
68251	UBS AG – HSI R Bear CBBC May 2014 T	2014/02/19	2014/02/20
61974	Credit Suisse AG – HSI R Bear CBBC May 2014 P	2014/02/19	2014/02/20
61978	Credit Suisse AG – HSI R Bear CBBC Jun 2014 Q	2014/02/19	2014/02/20
60049	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 14J	2014/02/19	2014/02/20
61920	HK Bank – Geely Auto R Bull CBBC Dec 2014 A	2014/02/19	2014/02/20
61979	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 R	2014/02/19	2014/02/20
61962	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 V	2014/02/19	2014/02/20
61963	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 C	2014/02/19	2014/02/20
61958	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 B	2014/02/19	2014/02/20
61972	Credit Suisse AG – HSI R Bear CBBC Jul 2014 I	2014/02/19	2014/02/20
68037	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 I	2014/02/19	2014/02/20
61933	UBS AG – HSI R Bear CBBC Jun 2014 Z	2014/02/19	2014/02/20
61944	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 U	2014/02/19	2014/02/20
68047	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 E	2014/02/19	2014/02/20
60356	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 G	2014/02/19	2014/02/20
61932	UBS AG – HSI R Bear CBBC May 2014 D	2014/02/19	2014/02/20
62288	UBS AG – HSI R Bear CBBC Jun 2014 C	2014/02/20	2014/02/21
62296	J P Morgan SP BV – HSI R Bear CBBC May 2014 Z	2014/02/20	2014/02/21
62309	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 X	2014/02/20	2014/02/21
62215	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 W	2014/02/20	2014/02/21
66450	HK Bank – Sinopec Corp R Bear CBBC Oct 2014 A	2014/02/20	2014/02/21
67857	Credit Suisse AG – HSI R Bear CBBC Apr 2014 Z	2014/02/20	2014/02/21
62235	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 W	2014/02/20	2014/02/21
67893	UBS AG – HSI R Bear CBBC Apr 2014 P	2014/02/20	2014/02/21
62279	Credit Suisse AG – HSI R Bear CBBC Jun 2014 R	2014/02/20	2014/02/21
68046	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 D	2014/02/20	2014/02/21
62286	UBS AG – HSI R Bear CBBC May 2014 N	2014/02/20	2014/02/21
62277	Credit Suisse AG – HSI R Bear CBBC Jul 2014 F	2014/02/20	2014/02/21
68050	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 G	2014/02/20	2014/02/21
62280	Credit Suisse AG – HSI R Bear CBBC Jun 2014 S	2014/02/20	2014/02/21
66015	HK Bank – A50 R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
61442	UBS AG – CC Bank R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
62350	HK Bank – HSI R Bear CBBC Sep 2014 O	2014/02/21	2014/02/24
62382	Credit Suisse AG – HSI R Bear CBBC Jun 2014 U	2014/02/21	2014/02/24
62383	UBS AG – HSI R Bear CBBC May 2014 Y	2014/02/21	2014/02/24
62385	UBS AG – HSI R Bear CBBC May 2014 Z	2014/02/21	2014/02/24
62389	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 E	2014/02/21	2014/02/24
62396	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 S	2014/02/21	2014/02/24
62398	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 X	2014/02/21	2014/02/24
62401	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 G	2014/02/21	2014/02/24
68647	UBS AG – CC Bank R Bear CBBC Feb 2014 A	2014/02/21	2014/02/25
61248	HK Bank – China Mobile R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
62377	Credit Suisse AG – HSI R Bear CBBC Jun 2014 T	2014/02/21	2014/02/24
66037	HK Bank – China Life R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
67557	HK Bank – Hutchison R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
65699	HK Bank – Sinopec Corp R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
61739	Standard Chartered Bank – CSOP A50 ETF R Bull CBBC Aug 2014B	2014/02/21	2014/02/24
62510	HK Bank – SHK Ppt R Bear CBBC Feb 2014 B	2014/02/21	2014/02/25
65688	HK Bank – Cheung Kong R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
64176	HK Bank – TCH R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
61881	HK Bank – A50 R Bull CBBC Jan 2015 E	2014/02/21	2014/02/24
66026	UBS AG – SHK Ppt R Bear CBBC Feb 2014 A	2014/02/21	2014/02/25
66517	HK Bank – CNBM R Bull CBBC Feb 2014 A	2014/02/21	2014/02/25
68157	UBS AG – Lenovo R Bull CBBC Dec 2014 D	2014/02/21	2014/02/24
62439	J P Morgan SP BV – HSI R Bear CBBC May 2014 D	2014/02/21	2014/02/24
62376	Credit Suisse AG – HSI R Bear CBBC May 2014 G	2014/02/21	2014/02/24
62616	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 P	2014/02/24	2014/02/25
62617	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Q	2014/02/24	2014/02/25
62607	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 O	2014/02/24	2014/02/25
62631	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 C	2014/02/24	2014/02/25
62487	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 H	2014/02/24	2014/02/25
62450	HK Bank – Great Wall Motor R Bull CBBC Oct 2015 A	2014/02/24	2014/02/25
62447	HK Bank – HSCEI R Bull CBBC Oct 2014 C	2014/02/24	2014/02/25
62444	HK Bank – HSI R Bear CBBC Oct 2014 H	2014/02/24	2014/02/25
62443	HK Bank – HSI R Bull CBBC Sep 2014 P	2014/02/24	2014/02/25
62625	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 T	2014/02/24	2014/02/25
62532	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 H	2014/02/24	2014/02/25
62513	Credit Suisse AG – HSI R Bear CBBC May 2014 I	2014/02/24	2014/02/25
62517	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 H	2014/02/24	2014/02/25
62505	Credit Suisse AG – HSI R Bear CBBC Jul 2014 K	2014/02/24	2014/02/25
62504	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 J	2014/02/24	2014/02/25
62518	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 I	2014/02/24	2014/02/25
62494	UBS AG – HSI R Bear CBBC May 2014 C	2014/02/24	2014/02/25
62530	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 V	2014/02/24	2014/02/25
62593	UBS AG – HSI R Bull CBBC Nov 2014 E	2014/02/24	2014/02/25
62531	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 G	2014/02/24	2014/02/25
62594	UBS AG – HSI R Bull CBBC Dec 2014 G	2014/02/24	2014/02/25
62571	UBS AG – CC Bank R Bull CBBC Aug 2014 A	2014/02/24	2014/02/25
62589	Credit Suisse AG – HSI R Bull CBBC Sep 2014 R	2014/02/24	2014/02/25
62492	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 F	2014/02/24	2014/02/25
62590	Credit Suisse AG – HSI R Bull CBBC Sep 2014 S	2014/02/24	2014/02/25
62488	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 K	2014/02/24	2014/02/25
62591	Credit Suisse AG – HSI R Bull CBBC Sep 2014 K	2014/02/24	2014/02/25
62514	Credit Suisse AG – HSI R Bear CBBC May 2014 J	2014/02/24	2014/02/25
62493	UBS AG – HSI R Bear CBBC Jun 2014 H	2014/02/24	2014/02/25
63425	HK Bank – Lenovo R Bull CBBC Sep 2014 A	2014/02/24	2014/02/25

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62102	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/02/24	2014/02/25
62103	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 S	2014/02/24	2014/02/25
62162	UBS AG – HSI R Bull CBBC Dec 2014 F	2014/02/24	2014/02/25
62375	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2015 C	2014/02/24	2014/02/25
62165	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 F	2014/02/24	2014/02/25
61765	UBS AG – A50 China R Bull CBBC Oct 2014 A	2014/02/24	2014/02/25
61761	UBS AG – CSOP A50 ETF R Bull CBBC Sep 2014 A	2014/02/24	2014/02/25
61879	HK Bank – A50 R Bull CBBC Feb 2015 C	2014/02/24	2014/02/25
61738	Standard Chartered Bank – A50 China R Bull CBBC Aug 2014 B	2014/02/24	2014/02/25
61880	HK Bank – A50 R Bull CBBC Jan 2015 D	2014/02/24	2014/02/25
62316	HK Bank – COVS R Bull CBBC Oct 2014 B	2014/02/24	2014/02/25
62435	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 G	2014/02/24	2014/02/25
62374	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2015 B	2014/02/24	2014/02/25
62411	Credit Suisse AG – HSI R Bull CBBC Oct 2014 G	2014/02/24	2014/02/25
62422	UBS AG – HSI R Bull CBBC Sep 2014 X	2014/02/24	2014/02/25
62746	HK Bank – HSI R Bull CBBC Oct 2014 N	2014/02/25	2014/02/26
61481	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 D	2014/02/25	2014/02/26
61515	UBS AG – Sands China R Bear CBBC Jul 2014 A	2014/02/25	2014/02/26
62854	Credit Suisse AG – HSI R Bull CBBC Oct 2014 D	2014/02/25	2014/02/26
62991	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Dec 2014 B	2014/02/25	2014/02/26
61632	HK Bank – A50 R Bull CBBC Oct 2014 E	2014/02/25	2014/02/26
62853	Credit Suisse AG – HSI R Bull CBBC Oct 2014 B	2014/02/25	2014/02/26
62856	UBS AG – HSI R Bull CBBC Oct 2014 G	2014/02/25	2014/02/26
62863	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 P	2014/02/25	2014/02/26
62860	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 V	2014/02/25	2014/02/26
62857	UBS AG – HSI R Bull CBBC Oct 2014 F	2014/02/25	2014/02/26
61847	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 G	2014/02/25	2014/02/26
67470	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2014 C	2014/02/25	2014/02/26
62851	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 J	2014/02/25	2014/02/26
62745	HK Bank – HSI R Bull CBBC Nov 2014 G	2014/02/25	2014/02/26
65159	HK Bank – A50 R Bull CBBC Jan 2015 A	2014/02/25	2014/02/26
67617	HK Bank – Anhui Conch R Bull CBBC Aug 2015 A	2014/02/25	2014/02/26
62718	HK Bank – Ping An R Bull CBBC Oct 2014 B	2014/02/25	2014/02/26
62850	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 I	2014/02/25	2014/02/26
68161	UBS AG – Anhui Conch R Bull CBBC Aug 2015 A	2014/02/25	2014/02/26
61610	UBS AG – Galaxy Ent R Bear CBBC Aug 2014 B	2014/02/25	2014/02/26
66154	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Sep14A	2014/02/25	2014/02/26
62763	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 W	2014/02/25	2014/02/26
62819	UBS AG – Great Wall Motor R Bull CBBC Dec 2014 B	2014/02/25	2014/02/26
66164	UBS AG – HSI R Bear CBBC Feb 2014 L	2014/02/26	2014/02/28
61775	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 G	2014/02/26	2014/02/28
63547	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Dec14B	2014/02/26	2014/02/27
67236	Credit Suisse AG – HSI R Bear CBBC Feb 2014 D	2014/02/26	2014/02/28
67239	Credit Suisse AG – HSI R Bear CBBC Feb 2014 F	2014/02/26	2014/02/28
61772	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 F	2014/02/26	2014/02/28
61778	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 H	2014/02/26	2014/02/28
63498	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 L	2014/02/26	2014/02/27
63388	UBS AG – HSCEI R Bull CBBC Nov 2014 F	2014/02/26	2014/02/27
63467	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/02/26	2014/02/27
63115	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 K	2014/02/26	2014/02/27
63469	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Y	2014/02/26	2014/02/27
63473	Credit Suisse AG – HSI R Bull CBBC Nov 2014 K	2014/02/26	2014/02/27
63478	UBS AG – HSI R Bull CBBC Nov 2014 F	2014/02/26	2014/02/27
63482	UBS AG – HSI R Bull CBBC Dec 2014 H	2014/02/26	2014/02/27
61706	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 E	2014/02/26	2014/02/28
63489	UBS AG – HSI R Bull CBBC Aug 2014 L	2014/02/26	2014/02/27
61419	Credit Suisse AG – HSI R Bull CBBC Feb 2014 V	2014/02/26	2014/02/28
61703	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 B	2014/02/26	2014/02/28
61704	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 C	2014/02/26	2014/02/28
63519	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 M	2014/02/26	2014/02/27
61705	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 D	2014/02/26	2014/02/28
63545	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 E	2014/02/26	2014/02/27
63554	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Dec 14 A	2014/02/26	2014/02/27
63560	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Dec14A	2014/02/26	2014/02/27
61607	HK Bank – HSCEI R Bull CBBC Feb 2014 A	2014/02/26	2014/02/28
63258	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 C	2014/02/26	2014/02/28
68069	Credit Suisse AG – HSI R Bear CBBC Feb 2014 K	2014/02/26	2014/02/28
63506	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 Q	2014/02/26	2014/02/28
64651	HK Bank – HSI R Bull CBBC Feb 2014 A	2014/02/26	2014/02/28
63368	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 B	2014/02/26	2014/02/28
63366	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 A	2014/02/26	2014/02/28
63353	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 H	2014/02/26	2014/02/28
63349	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 M	2014/02/26	2014/02/28
63326	UBS AG – HSCEI R Bear CBBC Feb 2014 B	2014/02/26	2014/02/28
63277	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 L	2014/02/26	2014/02/28
63273	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 K	2014/02/26	2014/02/28
63272	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 J	2014/02/26	2014/02/28
63269	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 I	2014/02/26	2014/02/28
63510	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 S	2014/02/26	2014/02/28
63266	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 G	2014/02/26	2014/02/28
63517	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 T	2014/02/26	2014/02/28
63231	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 B	2014/02/26	2014/02/28
60025	HK Bank – HSCEI R Bear CBBC Feb 2014 A	2014/02/26	2014/02/28
68108	HK Bank – HSI R Bear CBBC Feb 2014 B	2014/02/26	2014/02/28
67024	HK Bank – HSI R Bear CBBC Feb 2014 A	2014/02/26	2014/02/28
63109	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 D	2014/02/26	2014/02/28
63107	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 C	2014/02/26	2014/02/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 B	2014/02/26	2014/02/28
63089	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 A	2014/02/26	2014/02/28
62416	UBS AG – HSI R Bear CBBC Feb 2014 X	2014/02/26	2014/02/28
62777	UBS AG – HSCEI R Bear CBBC Feb 2014 A	2014/02/26	2014/02/28
62925	UBS AG – HSI R Bear CBBC Feb 2014 C	2014/02/26	2014/02/28
62923	UBS AG – HSI R Bear CBBC Feb 2014 Z	2014/02/26	2014/02/28
67342	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Jul 14 A	2014/02/26	2014/02/27
63268	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 H	2014/02/26	2014/02/28
68309	UBS AG – HSI R Bear CBBC Feb 2014 P	2014/02/26	2014/02/28
67245	Credit Suisse AG – HSI R Bear CBBC Feb 2014 H	2014/02/26	2014/02/28
67246	Credit Suisse AG – HSI R Bear CBBC Feb 2014 I	2014/02/26	2014/02/28
67434	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 U	2014/02/26	2014/02/28
67431	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 T	2014/02/26	2014/02/28
67430	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 S	2014/02/26	2014/02/28
67411	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 P	2014/02/26	2014/02/28
67777	HK Bank – HSI R Bull CBBC Feb 2014 G	2014/02/26	2014/02/28
67926	HK Bank – Sands China R Bear CBBC Oct 2014 B	2014/02/26	2014/02/27
62655	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Dec14 B	2014/02/26	2014/02/27
68062	Credit Suisse AG – HSI R Bear CBBC Feb 2014 K	2014/02/26	2014/02/28
68065	Credit Suisse AG – HSI R Bear CBBC Feb 2014 L	2014/02/26	2014/02/28
68066	Credit Suisse AG – HSI R Bear CBBC Feb 2014 M	2014/02/26	2014/02/28
63509	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 R	2014/02/26	2014/02/28
66000	HK Bank – HSI R Bull CBBC Feb 2014 E	2014/02/26	2014/02/28
67243	Credit Suisse AG – HSI R Bear CBBC Feb 2014 G	2014/02/26	2014/02/28
66976	HK Bank – HSI R Bull CBBC Feb 2014 F	2014/02/26	2014/02/28
68314	UBS AG – HSI R Bear CBBC Feb 2014 Q	2014/02/26	2014/02/28
61631	HK Bank – A50 R Bull CBBC Nov 2014 H	2014/02/26	2014/02/27
69863	HK Bank – A50 R Bull CBBC Mar 2014 E	2014/02/26	2014/02/27
60872	HK Bank – HSI R Bull CBBC Feb 2014 B	2014/02/26	2014/02/28
62618	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 Y	2014/02/26	2014/02/28
64113	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 Y	2014/02/26	2014/02/28
63718	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 G	2014/02/26	2014/02/28
63712	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 F	2014/02/26	2014/02/28
63711	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 E	2014/02/26	2014/02/28
63528	UBS AG – HSI R Bear CBBC Feb 2014 N	2014/02/26	2014/02/28
60870	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 N	2014/02/26	2014/02/28
63523	UBS AG – HSI R Bear CBBC Feb 2014 G	2014/02/26	2014/02/28
68068	Credit Suisse AG – HSI R Bear CBBC Feb 2014 N	2014/02/26	2014/02/28
63960	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 B	2014/02/26	2014/02/28
62741	Credit Suisse AG – HSI R Bull CBBC Feb 2014 J	2014/02/26	2014/02/28
62145	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 S	2014/02/26	2014/02/28
62843	UBS AG – HSI R Bull CBBC Feb 2014 B	2014/02/26	2014/02/28
62183	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 T	2014/02/26	2014/02/28
62211	UBS AG – HSI R Bull CBBC Feb 2014 T	2014/02/26	2014/02/28
62213	UBS AG – HSI R Bull CBBC Feb 2014 V	2014/02/26	2014/02/28
61813	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 H	2014/02/26	2014/02/28
61814	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 I	2014/02/26	2014/02/28
62338	UBS AG – HSI R Bull CBBC Feb 2014 X	2014/02/26	2014/02/28
62892	Credit Suisse AG – HSI R Bull CBBC Feb 2014 L	2014/02/26	2014/02/28
61812	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 G	2014/02/26	2014/02/28
62355	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 Q	2014/02/26	2014/02/28
62368	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 U	2014/02/26	2014/02/28
64047	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 C	2014/02/26	2014/02/28
61811	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 F	2014/02/26	2014/02/28
62369	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 V	2014/02/26	2014/02/28
62370	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 W	2014/02/26	2014/02/28
62325	UBS AG – HSI R Bull CBBC Feb 2014 W	2014/02/26	2014/02/28
61990	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 N	2014/02/26	2014/02/28
61939	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 M	2014/02/26	2014/02/28
61943	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 N	2014/02/26	2014/02/28
61937	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 L	2014/02/26	2014/02/28
61935	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 K	2014/02/26	2014/02/28
63063	UBS AG – HSI R Bull CBBC Feb 2014 E	2014/02/26	2014/02/28
61947	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 M	2014/02/26	2014/02/28
63232	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 Y	2014/02/26	2014/02/28
62891	Credit Suisse AG – HSI R Bull CBBC Feb 2014 K	2014/02/26	2014/02/28
63858	UBS AG – HSI R Bull CBBC Feb 2014 F	2014/02/26	2014/02/28
62212	UBS AG – HSI R Bull CBBC Feb 2014 U	2014/02/26	2014/02/28
61991	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 O	2014/02/26	2014/02/28
62976	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 X	2014/02/26	2014/02/28
61992	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 P	2014/02/26	2014/02/28
61993	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 Q	2014/02/26	2014/02/28
62110	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 O	2014/02/26	2014/02/28
62118	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 P	2014/02/26	2014/02/28
62142	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 R	2014/02/26	2014/02/28
61815	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 J	2014/02/26	2014/02/28
61781	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 I	2014/02/26	2014/02/28
62621	UBS AG – HSI R Bull CBBC Feb 2014 C	2014/02/26	2014/02/28
62740	Credit Suisse AG – HSI R Bull CBBC Feb 2014 I	2014/02/26	2014/02/28
65536	HK Bank – HSI R Bull CBBC Feb 2014 D	2014/02/26	2014/02/28
64254	HK Bank – HSI R Bull CBBC Feb 2014 C	2014/02/26	2014/02/28
62524	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 S	2014/02/26	2014/02/28
63950	Credit Suisse AG – HSI R Bear CBBC May 2014 H	2014/02/27	2014/02/28
63134	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 L	2014/02/27	2014/02/28
63139	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 T	2014/02/27	2014/02/28
67849	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 H	2014/02/27	2014/02/28
63218	UBS AG – HSI R Bear CBBC May 2014 M	2014/02/27	2014/02/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65605	HK Bank – TCH R Bear CBBC Sep 2014 J	2014/02/27	2014/02/28
63308	J P Morgan SP BV – HSI R Bear CBBC May 2014 M	2014/02/27	2014/02/28
63871	UBS AG – HSI R Bear CBBC May 2014 S	2014/02/27	2014/02/28
63975	J P Morgan SP BV – HSI R Bear CBBC May 2014 T	2014/02/27	2014/02/28
63926	Credit Suisse AG – HSI R Bear CBBC May 2014 Z	2014/02/27	2014/02/28
63371	UBS AG – HSI R Bear CBBC May 2014 V	2014/02/27	2014/02/28
68207	Credit Suisse AG – HSI R Bear CBBC May 2014 X	2014/02/27	2014/02/28
63896	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 H	2014/02/27	2014/02/28
63443	Credit Suisse AG – HSI R Bear CBBC May 2014 U	2014/02/27	2014/02/28
67828	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 B	2014/02/27	2014/02/28
63895	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 G	2014/02/27	2014/02/28
63333	J P Morgan SP BV – HSI R Bear CBBC May 2014 R	2014/02/27	2014/02/28
67894	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 V	2014/02/27	2014/02/28
62768	Credit Suisse AG – HSI R Bear CBBC Jun 2014 V	2014/02/27	2014/02/28
62770	UBS AG – HSI R Bear CBBC May 2014 X	2014/02/27	2014/02/28
68007	HK Bank – HSI R Bear CBBC Aug 2014 O	2014/02/27	2014/02/28
60195	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 I	2014/02/27	2014/02/28
60192	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2014 H	2014/02/27	2014/02/28
62668	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 B	2014/02/27	2014/02/28
62667	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 A	2014/02/27	2014/02/28
62623	J P Morgan SP BV – HSI R Bear CBBC May 2014 E	2014/02/27	2014/02/28
65606	HK Bank – TCH R Bear CBBC Sep 2014 K	2014/02/27	2014/02/28
67896	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 I	2014/02/27	2014/02/28
64120	HK Bank – Sinopec Corp R Bear CBBC Nov 2014 A	2014/02/27	2014/02/28
64347	UBS AG – TCH R Bear CBBC Jul 2014 B	2014/02/27	2014/02/28
62859	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 A	2014/02/27	2014/02/28
61259	UBS AG – HSI R Bear CBBC Sep 2014 A	2014/02/27	2014/02/28
67862	UBS AG – Sands China R Bear CBBC May 2014 G	2014/02/27	2014/02/28
63515	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 B	2014/02/27	2014/02/28
64325	UBS AG – Sinopec Corp R Bear CBBC May 2014 A	2014/02/27	2014/02/28
67850	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 D	2014/02/27	2014/02/28
63412	Credit Suisse AG – HSI R Bear CBBC May 2014 F	2014/02/27	2014/02/28
67262	UBS AG – TCH R Bear CBBC Oct 2014 A	2014/02/27	2014/02/28
63730	Credit Suisse AG – HSI R Bull CBBC Dec 2014 W	2014/02/27	2014/02/28
60609	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Sep14 B	2014/02/27	2014/02/28
60471	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 O	2014/02/27	2014/02/28
68237	UBS AG – HSI R Bear CBBC May 2014 Q	2014/02/27	2014/02/28
68322	J P Morgan SP BV – HSI R Bear CBBC May 2014 G	2014/02/27	2014/02/28
63777	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 C	2014/02/27	2014/02/28
63594	HK Bank – HSI R Bull CBBC Sep 2014 Q	2014/02/27	2014/02/28
63747	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 X	2014/02/27	2014/02/28
63581	HK Bank – HSI R Bear CBBC Nov 2014 C	2014/02/27	2014/02/28
63678	Credit Suisse AG – HSI R Bull CBBC Dec 2014 V	2014/02/27	2014/02/28
63661	UBS AG – HSI R Bull CBBC Aug 2014 X	2014/02/27	2014/02/28
62164	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 B	2014/02/27	2014/02/28
63903	Credit Suisse AG – HSI R Bear CBBC May 2014 V	2014/02/27	2014/02/28
63655	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 I	2014/02/27	2014/02/28
61459	Standard Chartered Bank – Galaxy Ent R Bear CBBC Sep 2014 A	2014/02/27	2014/02/28
60089	UBS AG – Galaxy Ent R Bear CBBC Oct 2014 D	2014/02/27	2014/02/28
67725	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 D	2014/02/27	2014/02/28
67854	Credit Suisse AG – HSI R Bear CBBC Apr 2014 P	2014/02/27	2014/02/28
62769	Credit Suisse AG – HSI R Bear CBBC Jun 2014 W	2014/02/27	2014/02/28
61676	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Nov 2014 B	2014/02/27	2014/02/28
63793	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 R	2014/02/27	2014/02/28
63805	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 S	2014/02/27	2014/02/28
63870	UBS AG – HSI R Bear CBBC May 2014 R	2014/02/27	2014/02/28
63611	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 M	2014/02/27	2014/02/28
63780	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 D	2014/02/27	2014/02/28
62436	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 J	2014/02/27	2014/02/28
60130	UBS AG – HSI R Bear CBBC Jun 2014 E	2014/02/27	2014/02/28
67486	UBS AG – HSI R Bear CBBC Apr 2014 B	2014/02/28	2014/03/03
67897	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 L	2014/02/28	2014/03/03
61887	HK Bank – Sands China R Bear CBBC Oct 2014 C	2014/02/28	2014/03/03
67919	HK Bank – HSI R Bear CBBC Sep 2014 J	2014/02/28	2014/03/03
62174	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 A	2014/02/28	2014/03/03
60350	UBS AG – Galaxy Ent R Bear CBBC Sep 2014 B	2014/02/28	2014/03/03
67473	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 Y	2014/02/28	2014/03/03
67176	UBS AG – TCH R Bear CBBC Sep 2014 C	2014/02/28	2014/03/03
62626	UBS AG – A50 China R Bull CBBC Mar 2014 C	2014/02/28	2014/03/04
68017	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 A	2014/02/28	2014/03/03
62669	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 C	2014/02/28	2014/03/03
67221	HK Bank – TCH R Bear CBBC Oct 2014 E	2014/02/28	2014/03/03
67827	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 A	2014/02/28	2014/03/03
62469	UBS AG – ICBC R Bull CBBC Mar 2014 A	2014/02/28	2014/03/04
62408	UBS AG – TCH R Bear CBBC Oct 2014 B	2014/02/28	2014/03/03
67444	Credit Suisse AG – HSI R Bear CBBC May 2014 O	2014/02/28	2014/03/03
67451	UBS AG – HSI R Bear CBBC May 2014 J	2014/02/28	2014/03/03
67842	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 D	2014/02/28	2014/03/03
64095	Credit Suisse AG – HSI R Bear CBBC May 2014 K	2014/02/28	2014/03/03
63927	HK Bank – Hutchison R Bear CBBC Apr 2014 A	2014/02/28	2014/03/03
67504	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 I	2014/02/28	2014/03/03
67728	HK Bank – Sands China R Bear CBBC Nov 2014 D	2014/02/28	2014/03/03
61032	UBS AG – Hutchison R Bear CBBC Jul 2014 B	2014/02/28	2014/03/03
62587	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 C	2014/02/28	2014/03/03
63407	UBS AG – HKEx R Bear CBBC Mar 2014 A	2014/02/28	2014/03/04
67508	Credit Suisse AG – HSI R Bear CBBC Apr 2014 H	2014/02/28	2014/03/03
67891	UBS AG – HSI R Bear CBBC Apr 2014 N	2014/02/28	2014/03/03

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61594	Credit Suisse AG – Galaxy Ent R Bear CBBC Sep 2014 A	2014/02/28	2014/03/03
67220	HK Bank – TCH R Bear CBBC Oct 2014 D	2014/02/28	2014/03/03
64091	UBS AG – HSI R Bear CBBC May 2014 U	2014/02/28	2014/03/03
67038	HK Bank – CNBM R Bull CBBC Mar 2014 A	2014/02/28	2014/03/04
67853	Credit Suisse AG – HSI R Bear CBBC Apr 2014 O	2014/02/28	2014/03/03
63525	J P Morgan SP BV – HSI R Bear CBBC May 2014 S	2014/02/28	2014/03/03
68208	Credit Suisse AG – HSI R Bear CBBC May 2014 Y	2014/02/28	2014/03/03
61502	Standard Chartered Bank – Sands China R Bear CBBC May 2014 B	2014/02/28	2014/03/03
61285	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Oct14A	2014/02/28	2014/03/03
66923	UBS AG – TCH R Bull CBBC Mar 2014 A	2014/02/28	2014/03/04
68260	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 Q	2014/02/28	2014/03/03
64114	Credit Suisse AG – HSI R Bear CBBC May 2014 S	2014/02/28	2014/03/03
64067	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 I	2014/02/28	2014/03/03
68321	J P Morgan SP BV – HSI R Bear CBBC May 2014 F	2014/02/28	2014/03/03
66742	UBS AG – CNBM R Bull CBBC Mar 2014 A	2014/02/28	2014/03/04
64528	UBS AG – HSBC R Bull CBBC Dec 2014 A	2014/02/28	2014/03/03
66217	HK Bank – CPIC R Bull CBBC Mar 2014 A	2014/02/28	2014/03/04
63984	HK Bank – HSI R Bear CBBC Oct 2014 I	2014/02/28	2014/03/03
64054	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 Z	2014/02/28	2014/03/03
64118	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 U	2014/02/28	2014/03/03
63999	HK Bank – TCH R Bear CBBC Oct 2014 I	2014/02/28	2014/03/03
63985	HK Bank – HSI R Bear CBBC Sep 2014 P	2014/02/28	2014/03/03
64000	HK Bank – TCH R Bear CBBC Oct 2014 J	2014/02/28	2014/03/03
64092	UBS AG – HSI R Bear CBBC May 2014 F	2014/02/28	2014/03/03
64008	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 Y	2014/02/28	2014/03/03
61398	Credit Suisse AG – HSI R Bear CBBC Jun 2014 J	2014/02/28	2014/03/03
62772	BNP Paribas Arbit Issu B.V. – TCH R Bear CBBC Dec 2014 B	2014/02/28	2014/03/03
64744	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 M	2014/03/03	2014/03/04
63113	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Dec14 C	2014/03/03	2014/03/04
64735	UBS AG – HSI R Bull CBBC Aug 2014 P	2014/03/03	2014/03/04
64931	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 K	2014/03/03	2014/03/04
64895	Credit Suisse AG – HSI R Bear CBBC Jun 2014 X	2014/03/03	2014/03/04
64915	Credit Suisse AG – HSI R Bear CBBC Jun 2014 F	2014/03/03	2014/03/04
64937	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 E	2014/03/03	2014/03/04
64877	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 N	2014/03/03	2014/03/04
63367	UBS AG – China Life R Bull CBBC Sep 2014 A	2014/03/03	2014/03/04
64881	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 C	2014/03/03	2014/03/04
64772	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Q	2014/03/03	2014/03/04
60349	UBS AG – Geely Auto R Bull CBBC Nov 2014 A	2014/03/03	2014/03/04
64946	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 L	2014/03/03	2014/03/04
64924	UBS AG – HSI R Bear CBBC Jun 2014 O	2014/03/03	2014/03/04
64621	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2014/03/03	2014/03/04
64878	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 O	2014/03/03	2014/03/04
62739	HK Bank – China Life R Bull CBBC Oct 2014 C	2014/03/03	2014/03/04
64926	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 J	2014/03/03	2014/03/04
64898	Credit Suisse AG – HSI R Bear CBBC Jun 2014 Y	2014/03/03	2014/03/04
64925	UBS AG – HSI R Bear CBBC Jun 2014 Q	2014/03/03	2014/03/04
64904	Credit Suisse AG – HSI R Bear CBBC Jun 2014 Z	2014/03/03	2014/03/04
64547	UBS AG – HSI R Bull CBBC Sep 2014 Y	2014/03/03	2014/03/04
64945	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 B	2014/03/03	2014/03/04
62476	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 E	2014/03/04	2014/03/05
68372	HK Bank – Geely Auto R Bull CBBC Oct 2014 A	2014/03/04	2014/03/05
62816	UBS AG – Minsheng Bank R Bull CBBC Oct 2014 D	2014/03/04	2014/03/05
62237	HK Bank – ABC R Bull CBBC Jul 2014 A	2014/03/04	2014/03/05
64973	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun 14P	2014/03/04	2014/03/05
64992	Credit Suisse AG – HSI R Bear CBBC Jun 2014 L	2014/03/04	2014/03/05
64964	Credit Suisse AG – HSI R Bear CBBC Jun 2014 I	2014/03/04	2014/03/05
63575	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Dec 2014 C	2014/03/04	2014/03/05
64949	UBS AG – HSI R Bear CBBC Jun 2014 S	2014/03/04	2014/03/05
65009	UBS AG – HSI R Bear CBBC Jun 2014 J	2014/03/04	2014/03/05
64948	UBS AG – HSI R Bear CBBC Jun 2014 R	2014/03/04	2014/03/05
65008	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun 14Q	2014/03/04	2014/03/05
61685	HK Bank – Hutchison R Bear CBBC Nov 2014 C	2014/03/04	2014/03/05
65010	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 M	2014/03/04	2014/03/05
65018	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 F	2014/03/04	2014/03/05
65211	UBS AG – Geely Auto R Bull CBBC Jun 2015 A	2014/03/05	2014/03/06
62483	HK Bank – CM Bank R Bull CBBC Nov 2014 A	2014/03/05	2014/03/06
65325	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 N	2014/03/05	2014/03/06
61683	HK Bank – Cheung Kong R Bear CBBC Oct 2014 B	2014/03/05	2014/03/06
65125	UBS AG – HSI R Bear CBBC Jul 2014 N	2014/03/05	2014/03/06
65304	Credit Suisse AG – HSI R Bear CBBC Jul 2014 L	2014/03/05	2014/03/06
65090	HK Bank – HSI R Bear CBBC Oct 2014 J	2014/03/05	2014/03/06
65132	Credit Suisse AG – HSI R Bear CBBC Jun 2014 M	2014/03/05	2014/03/06
62767	Standard Chartered Bank – Hutchison R Bear CBBC Sep 2014 A	2014/03/05	2014/03/06
62583	UBS AG – HSCEI R Bull CBBC Nov 2014 E	2014/03/05	2014/03/06
65040	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Dec 2014 C	2014/03/05	2014/03/06
68004	UBS AG – Hutchison R Bear CBBC Aug 2014 A	2014/03/05	2014/03/06
65666	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 E	2014/03/06	2014/03/07
65610	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 T	2014/03/06	2014/03/07
65612	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 U	2014/03/06	2014/03/07
65489	Credit Suisse AG – HSI R Bull CBBC Nov 2014 C	2014/03/06	2014/03/07
65513	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Y	2014/03/06	2014/03/07
65655	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 W	2014/03/06	2014/03/07
65347	Credit Suisse AG – HSI R Bear CBBC Jul 2014 T	2014/03/06	2014/03/07
65345	UBS AG – HSI R Bear CBBC Jul 2014 O	2014/03/06	2014/03/07
65464	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 W	2014/03/06	2014/03/07
67026	UBS AG – CSOP A50 ETF R Bull CBBC Aug 2014 A	2014/03/06	2014/03/07

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65478	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Z	2014/03/06	2014/03/07
65467	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 L	2014/03/06	2014/03/07
65346	UBS AG – HSI R Bear CBBC Jul 2014 P	2014/03/06	2014/03/07
62468	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2014 B	2014/03/06	2014/03/07
65455	UBS AG – HSI R Bear CBBC Jul 2014 Q	2014/03/06	2014/03/07
65527	UBS AG – HSI R Bull CBBC Dec 2014 K	2014/03/06	2014/03/07
65351	Credit Suisse AG – HSI R Bear CBBC Jul 2014 U	2014/03/06	2014/03/07
65442	Credit Suisse AG – HSI R Bear CBBC Jun 2014 N	2014/03/06	2014/03/07
65430	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Q	2014/03/06	2014/03/07
61549	Bank of East Asia – Hutchison R Bear CBBC Sep 2014 A	2014/03/06	2014/03/07
65458	Standard Chartered Bank – HSI R Bull CBBC Jan 2015 C	2014/03/06	2014/03/07
65526	UBS AG – HSI R Bull CBBC Dec 2014 J	2014/03/06	2014/03/07
65402	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 B	2014/03/06	2014/03/07
65382	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 F	2014/03/06	2014/03/07
65388	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 O	2014/03/06	2014/03/07
65390	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 P	2014/03/06	2014/03/07
65523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Z	2014/03/06	2014/03/07
65609	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 S	2014/03/06	2014/03/07
65473	Credit Suisse AG – HSI R Bull CBBC Nov 2014 U	2014/03/06	2014/03/07
62228	UBS AG – Minsheng Bank R Bull CBBC Mar 2014 A	2014/03/07	2014/03/11
61681	HK Bank – Great Wall Motor R Bull CBBC Dec 2015 A	2014/03/07	2014/03/10
65881	UBS AG – HSI R Bull CBBC Oct 2014 Q	2014/03/07	2014/03/10
66925	UBS AG – Galaxy Ent R Bull CBBC Mar 2014 A	2014/03/07	2014/03/11
65831	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 R	2014/03/07	2014/03/10
66069	Credit Suisse AG – HSI R Bull CBBC Oct 2014 R	2014/03/07	2014/03/10
66023	UBS AG – HSI R Bear CBBC Jun 2014 P	2014/03/07	2014/03/10
65740	HK Bank – HSI R Bull CBBC Sep 2014 R	2014/03/07	2014/03/10
65446	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 R	2014/03/07	2014/03/10
62595	Standard Chartered Bank – TCH R Bear CBBC Nov 2014 A	2014/03/07	2014/03/10
65444	Credit Suisse AG – HSI R Bear CBBC Jun 2014 O	2014/03/07	2014/03/10
66073	UBS AG – HSI R Bull CBBC Nov 2014 K	2014/03/07	2014/03/10
66769	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2014 A	2014/03/07	2014/03/11
66074	UBS AG – TCH R Bear CBBC Oct 2014 E	2014/03/07	2014/03/10
61910	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2014 A	2014/03/07	2014/03/11
62670	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 D	2014/03/07	2014/03/10
62460	HK Bank – TCH R Bear CBBC Nov 2014 C	2014/03/07	2014/03/10
62570	UBS AG – TCH R Bear CBBC Oct 2014 C	2014/03/07	2014/03/10
67404	HK Bank – TCH R Bull CBBC Mar 2014 B	2014/03/07	2014/03/11
66052	Standard Chartered Bank – China Life R Bull CBBC Dec 2014 A	2014/03/07	2014/03/10
65470	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 M	2014/03/07	2014/03/10
65976	Credit Suisse AG – HSI R Bull CBBC Oct 2014 N	2014/03/07	2014/03/10
64144	HK Bank – Hutchison R Bear CBBC Oct 2014 A	2014/03/07	2014/03/10
66013	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 H	2014/03/07	2014/03/10
65975	Credit Suisse AG – HSI R Bull CBBC Oct 2014 J	2014/03/07	2014/03/10
66058	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/03/07	2014/03/10
65981	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 G	2014/03/07	2014/03/10
65995	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 T	2014/03/07	2014/03/10
67959	HK Bank – CC Bank R Bull CBBC Sep 2014 A	2014/03/10	2014/03/11
66121	Credit Suisse AG – HSI R Bull CBBC Sep 2014 O	2014/03/10	2014/03/11
63731	Credit Suisse AG – HSI R Bull CBBC Dec 2014 X	2014/03/10	2014/03/11
65574	UBS AG – TCH R Bull CBBC Jun 2014 Q	2014/03/10	2014/03/11
66540	UBS AG – A50 China R Bull CBBC Nov 2014 A	2014/03/10	2014/03/11
65594	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Dec14 E	2014/03/10	2014/03/11
63606	HK Bank – CNOOC R Bull CBBC Nov 2014 C	2014/03/10	2014/03/11
63781	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 O	2014/03/10	2014/03/11
61729	UBS AG – CC Bank R Bull CBBC Mar 2014 A	2014/03/10	2014/03/12
66120	Credit Suisse AG – HSI R Bull CBBC Sep 2014 F	2014/03/10	2014/03/11
66119	UBS AG – HSI R Bull CBBC Oct 2014 I	2014/03/10	2014/03/11
66115	UBS AG – HSI R Bull CBBC Dec 2014 L	2014/03/10	2014/03/11
66112	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 Q	2014/03/10	2014/03/11
64952	HK Bank – HSI R Bull CBBC Dec 2014 E	2014/03/10	2014/03/11
64595	Credit Suisse AG – HSI R Bull CBBC Nov 2014 J	2014/03/10	2014/03/11
65457	Standard Chartered Bank – HSI R Bull CBBC Jan 2015 B	2014/03/10	2014/03/11
65525	UBS AG – HSI R Bull CBBC Nov 2014 J	2014/03/10	2014/03/11
66147	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 I	2014/03/10	2014/03/11
66128	HK Bank – HSI R Bull CBBC Oct 2014 P	2014/03/10	2014/03/11
64966	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 P	2014/03/10	2014/03/11
65392	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 R	2014/03/10	2014/03/11
65391	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 Q	2014/03/10	2014/03/11
65068	Standard Chartered Bank – Minsheng Bank R Bull CBBC Dec 14 A	2014/03/10	2014/03/11
66150	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 X	2014/03/10	2014/03/11
64972	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec 14P	2014/03/10	2014/03/11
66153	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 W	2014/03/10	2014/03/11
66122	Credit Suisse AG – HSI R Bull CBBC Sep 2014 V	2014/03/10	2014/03/11
62529	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 K	2014/03/10	2014/03/11
64835	Standard Chartered Bank – HSI R Bull CBBC Jan 2015 A	2014/03/10	2014/03/11
64786	Credit Suisse AG – HSI R Bull CBBC Sep 2014 T	2014/03/10	2014/03/11
64985	Credit Suisse AG – HSI R Bull CBBC Oct 2014 I	2014/03/10	2014/03/11
66260	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 R	2014/03/10	2014/03/11
64863	HK Bank – A50 R Bull CBBC Nov 2014 A	2014/03/10	2014/03/11
66123	HK Bank – HSI R Bull CBBC Nov 2014 J	2014/03/10	2014/03/11
64940	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 N	2014/03/10	2014/03/11
68125	UBS AG – CNBM R Bull CBBC Jun 2014 C	2014/03/10	2014/03/11
63568	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Dec14C	2014/03/10	2014/03/11
65471	Credit Suisse AG – HSI R Bull CBBC Nov 2014 T	2014/03/10	2014/03/11
64981	Credit Suisse AG – HSI R Bull CBBC Oct 2014 H	2014/03/10	2014/03/11
61690	HK Bank – China Mobile R Bull CBBC Nov 2014 B	2014/03/10	2014/03/11

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65645	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 H	2014/03/10	2014/03/11
64822	Credit Suisse AG – HSI R Bull CBBC Sep 2014 U	2014/03/10	2014/03/11
65977	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 U	2014/03/10	2014/03/11
65319	Credit Suisse AG – HSI R Bull CBBC Oct 2014 O	2014/03/10	2014/03/11
65781	HK Bank – HSI R Bull CBBC Nov 2014 I	2014/03/10	2014/03/11
65307	Credit Suisse AG – HSI R Bull CBBC Oct 2014 M	2014/03/10	2014/03/11
64680	UBS AG – HSI R Bull CBBC Sep 2014 V	2014/03/10	2014/03/11
67028	UBS AG – ICBC R Bull CBBC Aug 2014 B	2014/03/10	2014/03/11
65829	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 P	2014/03/10	2014/03/11
64664	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 S	2014/03/10	2014/03/11
65858	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/03/10	2014/03/11
64738	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 L	2014/03/10	2014/03/11
65469	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 X	2014/03/10	2014/03/11
67708	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Sep 2014 B	2014/03/10	2014/03/11
68075	Bank of East Asia – CNBM R Bull CBBC Dec 2014 A	2014/03/10	2014/03/11
64943	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Q	2014/03/10	2014/03/11
64941	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 O	2014/03/10	2014/03/11
64965	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 U	2014/03/10	2014/03/11
65827	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2014 A	2014/03/10	2014/03/11
62407	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 F	2014/03/10	2014/03/11
65462	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 V	2014/03/10	2014/03/11
65005	UBS AG – HSI R Bull CBBC Oct 2014 H	2014/03/10	2014/03/11
64825	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2014 A	2014/03/10	2014/03/11
66083	UBS AG – TCH R Bull CBBC Sep 2014 C	2014/03/10	2014/03/11
62195	UBS AG – HSI R Bull CBBC Nov 2014 B	2014/03/10	2014/03/11
62196	Credit Suisse AG – HSI R Bull CBBC Nov 2014 A	2014/03/10	2014/03/11
61763	UBS AG – HSCEI R Bull CBBC Dec 2014 D	2014/03/10	2014/03/11
66195	HK Bank – A50 R Bull CBBC Nov 2014 D	2014/03/10	2014/03/11
63542	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 X	2014/03/10	2014/03/11
66010	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 E	2014/03/10	2014/03/11
65639	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 T	2014/03/10	2014/03/11
61913	HK Bank – HSCEI R Bull CBBC Nov 2014 B	2014/03/10	2014/03/11
64628	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 R	2014/03/10	2014/03/11
65006	UBS AG – HSI R Bull CBBC Oct 2014 J	2014/03/10	2014/03/11
65326	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 H	2014/03/10	2014/03/11
67349	Bank of East Asia – A50 China R Bull CBBC Dec 2014 A	2014/03/10	2014/03/11
65320	UBS AG – HSI R Bull CBBC Dec 2014 I	2014/03/10	2014/03/11
65737	HK Bank – HSI R Bull CBBC Oct 2014 O	2014/03/10	2014/03/11
65978	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 V	2014/03/10	2014/03/11
65246	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 O	2014/03/10	2014/03/11
61983	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 C	2014/03/11	2014/03/12
66338	Credit Suisse AG – HSI R Bull CBBC Oct 2014 K	2014/03/11	2014/03/12
66268	HK Bank – TCH R Bear CBBC Oct 2014 M	2014/03/11	2014/03/12
66298	HK Bank – China Mobile R Bull CBBC Nov 2014 C	2014/03/11	2014/03/12
66332	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 S	2014/03/11	2014/03/12
62421	UBS AG – HSI R Bull CBBC Sep 2014 U	2014/03/11	2014/03/12
66335	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 A	2014/03/11	2014/03/12
62351	HK Bank – Geely Auto R Bull CBBC Jan 2015 A	2014/03/11	2014/03/12
62637	Bank of East Asia – Geely Auto R Bull CBBC May 2015 A	2014/03/11	2014/03/12
63334	UBS AG – HSBC R Bull CBBC Nov 2014 B	2014/03/11	2014/03/12
66339	UBS AG – HSI R Bull CBBC Dec 2014 M	2014/03/11	2014/03/12
66344	UBS AG – HSI R Bull CBBC Jan 2015 A	2014/03/11	2014/03/12
62785	UBS AG – Geely Auto R Bull CBBC Dec 2014 A	2014/03/11	2014/03/12
64995	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 Y	2014/03/11	2014/03/12
62101	Credit Suisse AG – HSI R Bull CBBC Dec 2014 T	2014/03/11	2014/03/12
66355	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Dec 2014 B	2014/03/11	2014/03/12
62069	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 K	2014/03/11	2014/03/12
66337	Credit Suisse AG – HSI R Bull CBBC Oct 2014 S	2014/03/11	2014/03/12
61530	UBS AG – HSCEI R Bull CBBC Nov 2014 D	2014/03/12	2014/03/13
61316	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bull CBBC Oct14A	2014/03/12	2014/03/13
60745	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2014 B	2014/03/12	2014/03/13
61914	HK Bank – Huishan Dairy R Bull CBBC Feb 2015 A	2014/03/12	2014/03/13
61857	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 V	2014/03/12	2014/03/13
61608	UBS AG – CM Bank R Bull CBBC Aug 2014 B	2014/03/12	2014/03/13
61909	HK Bank – HSI R Bull CBBC Sep 2014 M	2014/03/12	2014/03/13
61733	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 L	2014/03/12	2014/03/13
61735	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 C	2014/03/12	2014/03/13
61745	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Q	2014/03/12	2014/03/13
62161	UBS AG – BOCL R Bull CBBC Aug 2014 A	2014/03/12	2014/03/13
61803	Credit Suisse AG – HSI R Bull CBBC Nov 2014 S	2014/03/12	2014/03/13
61817	UBS AG – HSI R Bull CBBC Nov 2014 C	2014/03/12	2014/03/13
67454	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2014 C	2014/03/12	2014/03/13
61842	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 F	2014/03/12	2014/03/13
68171	UBS AG – Anhui Conch R Bull CBBC Jul 2015 A	2014/03/12	2014/03/13
62527	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 J	2014/03/12	2014/03/13
61946	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 Q	2014/03/12	2014/03/13
65604	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 M	2014/03/12	2014/03/13
66476	HK Bank – BOCL R Bull CBBC Dec 2014 A	2014/03/12	2014/03/13
62721	HK Bank – China Life R Bull CBBC Oct 2014 B	2014/03/12	2014/03/13
62656	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Dec14 B	2014/03/12	2014/03/13
62624	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 S	2014/03/12	2014/03/13
65185	HK Bank – CNBM R Bull CBBC Jun 2014 C	2014/03/12	2014/03/13
62603	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 N	2014/03/12	2014/03/13
66615	UBS AG – HKEx R Bull CBBC Sep 2014 D	2014/03/12	2014/03/13
65033	HK Bank – HSCEI R Bull CBBC May 2014 A	2014/03/12	2014/03/13
64913	UBS AG – China Mobile R Bull CBBC May 2014 A	2014/03/12	2014/03/13
62258	Credit Suisse AG – HSI R Bull CBBC Nov 2014 G	2014/03/12	2014/03/13

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66646	HK Bank – BOCL R Bull CBBC Dec 2014 B	2014/03/12	2014/03/13
66221	HK Bank – COVS R Bull CBBC Oct 2014 A	2014/03/12	2014/03/13
62485	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 B	2014/03/12	2014/03/13
62441	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 D	2014/03/12	2014/03/13
62418	UBS AG – HSI R Bull CBBC Aug 2014 N	2014/03/12	2014/03/13
62410	Credit Suisse AG – HSI R Bull CBBC Oct 2014 F	2014/03/12	2014/03/13
62409	Credit Suisse AG – HSI R Bull CBBC Oct 2014 E	2014/03/12	2014/03/13
62406	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Z	2014/03/12	2014/03/13
62393	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 U	2014/03/12	2014/03/13
62299	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 G	2014/03/12	2014/03/13
62297	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 R	2014/03/12	2014/03/13
62276	Credit Suisse AG – HSI R Bull CBBC Nov 2014 W	2014/03/12	2014/03/13
62275	Credit Suisse AG – HSI R Bull CBBC Nov 2014 V	2014/03/12	2014/03/13
62574	UBS AG – China Life R Bull CBBC Jul 2014 I	2014/03/12	2014/03/13
65854	HK Bank – CM Bank R Bull CBBC Sep 2014 A	2014/03/12	2014/03/13
66087	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 N	2014/03/12	2014/03/13
66086	UBS AG – TCH R Bull CBBC Oct 2014 B	2014/03/12	2014/03/13
66383	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 T	2014/03/12	2014/03/13
66399	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/03/12	2014/03/13
66408	Credit Suisse AG – HSI R Bull CBBC Aug 2014 U	2014/03/12	2014/03/13
66410	Credit Suisse AG – HSI R Bull CBBC Aug 2014 W	2014/03/12	2014/03/13
64944	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 U	2014/03/12	2014/03/13
65228	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 N	2014/03/12	2014/03/13
65744	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 D	2014/03/12	2014/03/13
66437	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 J	2014/03/12	2014/03/13
65257	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 C	2014/03/12	2014/03/13
63361	UBS AG – Ping An R Bull CBBC Oct 2014 F	2014/03/12	2014/03/13
65849	HK Bank – ICBC R Bull CBBC Sep 2014 B	2014/03/12	2014/03/13
63563	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Dec 2014 C	2014/03/12	2014/03/13
66453	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 O	2014/03/12	2014/03/13
64587	Standard Chartered Bank – China Life R Bull CBBC Nov 2014 A	2014/03/12	2014/03/13
64350	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2014 B	2014/03/12	2014/03/13
65771	HK Bank – TCH R Bull CBBC Oct 2014 N	2014/03/12	2014/03/13
64300	UBS AG – A50 China R Bull CBBC Aug 2014 A	2014/03/12	2014/03/13
66456	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Dec14 F	2014/03/12	2014/03/13
65760	HK Bank – TCH R Bull CBBC Oct 2014 M	2014/03/12	2014/03/13
63819	UBS AG – Petrochina R Bull CBBC Nov 2014 A	2014/03/12	2014/03/13
65758	HK Bank – HSI R Bull CBBC Nov 2014 H	2014/03/12	2014/03/13
63574	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Dec 2014 B	2014/03/12	2014/03/13
60585	HK Bank – China Mobile R Bull CBBC Dec 2014 A	2014/03/12	2014/03/13
65590	UBS AG – Sinopec Corp R Bull CBBC Oct 2014 B	2014/03/12	2014/03/13
65929	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Z	2014/03/12	2014/03/13
62120	UBS AG – HSI R Bull CBBC Dec 2014 E	2014/03/12	2014/03/13
62225	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 X	2014/03/12	2014/03/13
65459	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 T	2014/03/12	2014/03/13
62003	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 U	2014/03/12	2014/03/13
65460	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 U	2014/03/12	2014/03/13
62197	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 T	2014/03/12	2014/03/13
67209	HK Bank – Anhui Conch R Bull CBBC Jul 2015 A	2014/03/12	2014/03/13
62233	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Y	2014/03/12	2014/03/13
67341	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Aug 14 B	2014/03/12	2014/03/13
62041	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 V	2014/03/12	2014/03/13
62159	UBS AG – HSI R Bull CBBC Oct 2014 O	2014/03/12	2014/03/13
61985	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 C	2014/03/12	2014/03/13
61984	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 B	2014/03/12	2014/03/13
62188	HK Bank – HSI R Bull CBBC Oct 2014 K	2014/03/12	2014/03/13
62190	HK Bank – HSI R Bull CBBC Oct 2014 L	2014/03/12	2014/03/13
61982	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 B	2014/03/12	2014/03/13
62189	HK Bank – HSI R Bull CBBC Sep 2014 N	2014/03/12	2014/03/13
61999	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 D	2014/03/12	2014/03/13
62248	UBS AG – HSI R Bull CBBC Sep 2014 R	2014/03/12	2014/03/13
62081	Credit Suisse AG – HSI R Bull CBBC Dec 2014 R	2014/03/12	2014/03/13
62255	UBS AG – HSI R Bull CBBC Aug 2014 M	2014/03/12	2014/03/13
62065	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 I	2014/03/12	2014/03/13
62100	Credit Suisse AG – HSI R Bull CBBC Dec 2014 S	2014/03/12	2014/03/13
62066	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 J	2014/03/12	2014/03/13
66507	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 V	2014/03/13	2014/03/14
66586	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 W	2014/03/13	2014/03/14
66487	HK Bank – TCH R Bull CBBC Oct 2014 O	2014/03/13	2014/03/14
65222	UBS AG – Galaxy Ent R Bull CBBC Nov 2014 A	2014/03/13	2014/03/14
61816	UBS AG – HSI R Bull CBBC Dec 2014 D	2014/03/13	2014/03/14
65107	HK Bank – HKEx R Bull CBBC May 2014 B	2014/03/13	2014/03/14
62599	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 M	2014/03/13	2014/03/14
66584	Citigroup Global Mkt H Inc.-Huishan Dairy R Bull CBBC Mar15C	2014/03/13	2014/03/14
61802	Credit Suisse AG – HSI R Bull CBBC Nov 2014 R	2014/03/13	2014/03/14
62072	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 L	2014/03/13	2014/03/14
61795	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Q	2014/03/13	2014/03/14
62573	UBS AG – AIA R Bull CBBC Nov 2014 B	2014/03/13	2014/03/14
66488	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 G	2014/03/13	2014/03/14
65759	HK Bank – TCH R Bull CBBC Nov 2014 H	2014/03/13	2014/03/14
61841	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 E	2014/03/13	2014/03/14
66611	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 P	2014/03/13	2014/03/14
62405	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 N	2014/03/13	2014/03/14
62108	UBS AG – HSI R Bull CBBC Oct 2014 K	2014/03/13	2014/03/14
65893	Credit Suisse AG – Galaxy Ent R Bull CBBC Oct 2014 D	2014/03/13	2014/03/14
66559	Credit Suisse AG – HSI R Bull CBBC Nov 2014 D	2014/03/13	2014/03/14
66560	Credit Suisse AG – HSI R Bull CBBC Nov 2014 E	2014/03/13	2014/03/14

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66564	UBS AG – HSI R Bull CBBC Dec 2014 N	2014/03/13	2014/03/14
62080	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Q	2014/03/13	2014/03/14
66543	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 U	2014/03/13	2014/03/14
65603	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 L	2014/03/13	2014/03/14
62002	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 T	2014/03/13	2014/03/14
66539	UBS AG – TCH R Bull CBBC Dec 2014 A	2014/03/13	2014/03/14
66433	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 X	2014/03/13	2014/03/14
66574	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 A	2014/03/13	2014/03/14
61856	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 J	2014/03/13	2014/03/14
62900	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Dec 2014 C	2014/03/13	2014/03/14
65327	HK Bank – SHK Ppt R Bull CBBC Jan 2015 A	2014/03/13	2014/03/14
61892	HK Bank – HSI R Bull CBBC Oct 2014 J	2014/03/13	2014/03/14
66597	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 O	2014/03/13	2014/03/14
62440	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 S	2014/03/13	2014/03/14
62433	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 F	2014/03/13	2014/03/14
61731	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 K	2014/03/13	2014/03/14
62239	UBS AG – HSI R Bull CBBC Aug 2014 K	2014/03/13	2014/03/14
66200	UBS AG – HSI R Bull CBBC Mar 2015 A	2014/03/13	2014/03/14
60426	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Nov 2014 B	2014/03/13	2014/03/14
66145	UBS AG – TCH R Bull CBBC Nov 2014 A	2014/03/13	2014/03/14
61737	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 G	2014/03/13	2014/03/14
68162	HK Bank – HKEx R Bull CBBC Mar 2014 A	2014/03/13	2014/03/14
61736	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 D	2014/03/13	2014/03/14
61609	UBS AG – COVS R Bull CBBC Oct 2014 A	2014/03/13	2014/03/14
63342	UBS AG – TCH R Bull CBBC Oct 2014 A	2014/03/14	2014/03/17
67506	UBS AG – Evergrande R Bull CBBC Mar 2014 A	2014/03/14	2014/03/18
66684	Credit Suisse AG – HSI R Bull CBBC Nov 2014 H	2014/03/14	2014/03/17
63789	UBS AG – China Mobile R Bear CBBC Mar 2014 A	2014/03/14	2014/03/18
61589	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 B	2014/03/14	2014/03/17
60962	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 S	2014/03/14	2014/03/17
61758	Credit Suisse AG – HSI R Bull CBBC Nov 2014 P	2014/03/14	2014/03/17
63303	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 I	2014/03/14	2014/03/17
62060	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 H	2014/03/14	2014/03/17
62059	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 G	2014/03/14	2014/03/17
63307	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 J	2014/03/14	2014/03/17
66538	UBS AG – TCH R Bull CBBC Nov 2014 B	2014/03/14	2014/03/17
60310	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun14C	2014/03/14	2014/03/17
64090	UBS AG – HKEx R Bull CBBC Jun 2014 B	2014/03/14	2014/03/17
63114	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 H	2014/03/14	2014/03/17
62706	HK Bank – TCH R Bull CBBC Nov 2014 G	2014/03/14	2014/03/17
60964	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 A	2014/03/14	2014/03/17
65676	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 B	2014/03/14	2014/03/17
61672	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 E	2014/03/14	2014/03/17
61837	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 D	2014/03/14	2014/03/17
66489	HK Bank – Sands China R Bull CBBC Oct 2014 D	2014/03/14	2014/03/17
66190	HK Bank – CAM CSI300 R Bull CBBC Mar 2014 A	2014/03/14	2014/03/18
61822	HK Bank – Sands China R Bull CBBC Mar 2014 A	2014/03/14	2014/03/18
63605	HK Bank – TCH R Bull CBBC Oct 2014 L	2014/03/14	2014/03/17
65684	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 C	2014/03/14	2014/03/17
66623	HK Bank – Galaxy Ent R Bull CBBC Mar 2014 A	2014/03/14	2014/03/18
66739	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 A	2014/03/14	2014/03/17
62077	Credit Suisse AG – HSI R Bull CBBC Dec 2014 P	2014/03/14	2014/03/17
60951	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 O	2014/03/14	2014/03/17
64962	UBS AG – HSCEI R Bull CBBC May 2014 D	2014/03/14	2014/03/17
61744	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 O	2014/03/14	2014/03/17
65822	HK Bank – Sinopec Corp R Bull CBBC Oct 2014 D	2014/03/14	2014/03/17
66656	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Dec 2014 D	2014/03/14	2014/03/17
66515	UBS AG – Huishan Dairy R Bull CBBC Dec 2015 A	2014/03/14	2014/03/17
62105	UBS AG – HSI R Bull CBBC Nov 2014 D	2014/03/14	2014/03/17
66528	UBS AG – Sands China R Bull CBBC Sep 2014 B	2014/03/14	2014/03/17
61725	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 G	2014/03/14	2014/03/17
61647	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 Y	2014/03/14	2014/03/17
61853	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 R	2014/03/14	2014/03/17
61650	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 J	2014/03/14	2014/03/17
65794	HK Bank – Galaxy Ent R Bull CBBC Nov 2014 B	2014/03/14	2014/03/17
60496	HK Bank – China Life R Bull CBBC Mar 2014 A	2014/03/14	2014/03/18
65241	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Jun 2014 B	2014/03/14	2014/03/17
66583	Citigroup Global Mkt H Inc.-Huishan Dairy R Bull CBBC Mar15B	2014/03/14	2014/03/17
66736	UBS AG – HSCEI R Bull CBBC Dec 2014 A	2014/03/14	2014/03/17
61584	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 A	2014/03/14	2014/03/17
65052	Standard Chartered Bank – Galaxy Ent R Bull CBBC Sep 2014 D	2014/03/14	2014/03/17
61624	HK Bank – HSI R Bull CBBC Sep 2014 L	2014/03/14	2014/03/17
66735	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Y	2014/03/14	2014/03/17
61623	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 B	2014/03/14	2014/03/17
62636	Bank of East Asia – Huishan Dairy R Bull CBBC Dec 2015 A	2014/03/14	2014/03/17
61618	UBS AG – HSI R Bull CBBC Oct 2014 D	2014/03/14	2014/03/17
64396	UBS AG – HSBC R Bull CBBC Jun 2014 B	2014/03/14	2014/03/17
66630	HK Bank – TCH R Bull CBBC Nov 2014 I	2014/03/14	2014/03/17
61615	Credit Suisse AG – HSI R Bull CBBC Dec 2014 O	2014/03/14	2014/03/17
62703	HK Bank – TCH R Bull CBBC Nov 2014 F	2014/03/14	2014/03/17
61614	Credit Suisse AG – HSI R Bull CBBC Dec 2014 N	2014/03/14	2014/03/17
66723	Citigroup Global Mkt H Inc. -Sinopec Corp R Bull CBBC Mar15B	2014/03/14	2014/03/17
61130	Credit Suisse AG – HSI R Bull CBBC Sep 2014 E	2014/03/14	2014/03/17
65572	UBS AG – TCH R Bull CBBC Jun 2014 P	2014/03/14	2014/03/17
61161	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 O	2014/03/14	2014/03/17
61600	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 H	2014/03/14	2014/03/17
61981	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Q	2014/03/14	2014/03/17

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62486	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 C	2014/03/14	2014/03/17
66353	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Dec14C	2014/03/14	2014/03/17
65034	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Dec14D	2014/03/14	2014/03/17
61806	UBS AG – HSI R Bull CBBC Oct 2014 E	2014/03/14	2014/03/17
61948	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 R	2014/03/14	2014/03/17
60987	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Q	2014/03/14	2014/03/17
68070	Bank of East Asia – Anhui Conch R Bull CBBC May 2015 A	2014/03/14	2014/03/17
62786	UBS AG – TCH R Bull CBBC Sep 2014 B	2014/03/14	2014/03/17
61643	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Z	2014/03/14	2014/03/17
61642	UBS AG – HSI R Bull CBBC Sep 2014 T	2014/03/14	2014/03/17
60991	UBS AG – HSI R Bull CBBC Nov 2014 V	2014/03/14	2014/03/17
66571	Citigroup Global Mkt H Inc. – BOCL R Bull CBBC Feb 2015 B	2014/03/14	2014/03/17
62217	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 W	2014/03/14	2014/03/17
61625	HK Bank – HSI R Bull CBBC Aug 2014 S	2014/03/14	2014/03/17
62000	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 E	2014/03/14	2014/03/17
62704	HK Bank – TCH R Bull CBBC Oct 2014 K	2014/03/14	2014/03/17
61573	HK Bank – Huishan Dairy R Bull CBBC Jun 2015 A	2014/03/14	2014/03/17
66669	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 B	2014/03/14	2014/03/17
65595	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 K	2014/03/14	2014/03/17
62472	UBS AG – CC Bank R Bull CBBC Mar 2014 B	2014/03/14	2014/03/18
66009	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 D	2014/03/14	2014/03/17
66666	UBS AG – HSI R Bull CBBC Sep 2014 W	2014/03/14	2014/03/17
66661	UBS AG – HSI R Bull CBBC Oct 2014 N	2014/03/14	2014/03/17
61890	HK Bank – HSI R Bull CBBC Nov 2014 E	2014/03/14	2014/03/17
67015	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/03/17	2014/03/18
66937	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Y	2014/03/17	2014/03/18
66987	UBS AG – TCH R Bull CBBC Sep 2014 D	2014/03/17	2014/03/18
67002	UBS AG – Sinopec Corp R Bull CBBC Oct 2014 C	2014/03/17	2014/03/18
66933	Credit Suisse AG – HSI R Bull CBBC Sep 2014 X	2014/03/17	2014/03/18
66942	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 D	2014/03/17	2014/03/18
60572	UBS AG – Huishan Dairy R Bull CBBC Jan 2015 A	2014/03/17	2014/03/18
67013	UBS AG – HSI R Bull CBBC Nov 2014 M	2014/03/17	2014/03/18
67088	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 W	2014/03/17	2014/03/18
61052	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 M	2014/03/17	2014/03/18
64488	UBS AG – China Mobile R Bull CBBC Nov 2014 B	2014/03/17	2014/03/18
66811	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 W	2014/03/17	2014/03/18
67039	HK Bank – TCH R Bull CBBC Mar 2014 A	2014/03/17	2014/03/19
62771	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Dec 2014 C	2014/03/17	2014/03/18
62666	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 G	2014/03/17	2014/03/18
67014	UBS AG – HSI R Bull CBBC Dec 2014 O	2014/03/17	2014/03/18
66828	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 V	2014/03/17	2014/03/18
67049	Credit Suisse AG – HSI R Bull CBBC Sep 2014 L	2014/03/17	2014/03/18
66829	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 W	2014/03/17	2014/03/18
61599	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 D	2014/03/17	2014/03/18
62586	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 A	2014/03/17	2014/03/18
61836	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 C	2014/03/17	2014/03/18
66870	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 C	2014/03/17	2014/03/18
62569	UBS AG – TCH R Bull CBBC Aug 2014 F	2014/03/17	2014/03/18
66890	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Z	2014/03/17	2014/03/18
67037	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 X	2014/03/17	2014/03/18
66910	Credit Suisse AG – HSI R Bull CBBC Sep 2014 A	2014/03/17	2014/03/18
61682	HK Bank – CNOOC R Bull CBBC Jan 2015 B	2014/03/17	2014/03/18
61759	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 A	2014/03/17	2014/03/18
61661	Credit Suisse AG – HSI R Bull CBBC Oct 2014 C	2014/03/17	2014/03/18
66809	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Q	2014/03/17	2014/03/18
67250	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Z	2014/03/17	2014/03/18
62499	Standard Chartered Bank – China Mobile R Bull CBBC Nov 2014A	2014/03/17	2014/03/18
66928	Credit Suisse AG – HSI R Bull CBBC Sep 2014 W	2014/03/17	2014/03/18
62454	HK Bank – TCH R Bull CBBC Oct 2014 J	2014/03/17	2014/03/18
66909	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 C	2014/03/17	2014/03/18
61617	UBS AG – HSI R Bull CBBC Aug 2014 Z	2014/03/17	2014/03/18
66891	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Y	2014/03/17	2014/03/18
62191	HK Bank – HSI R Bull CBBC Jan 2015 C	2014/03/17	2014/03/18
67447	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 14Y	2014/03/18	2014/03/19
67323	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Y	2014/03/18	2014/03/19
67416	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 B	2014/03/18	2014/03/19
67493	Credit Suisse AG – TCH R Bull CBBC Oct 2014 A	2014/03/18	2014/03/19
62701	HK Bank – COVS R Bull CBBC Sep 2014 A	2014/03/18	2014/03/19
67494	Credit Suisse AG – TCH R Bull CBBC Oct 2014 B	2014/03/18	2014/03/19
67461	UBS AG – TCH R Bull CBBC Aug 2014 G	2014/03/18	2014/03/19
62372	HK Bank – AIA R Bull CBBC Oct 2014 B	2014/03/18	2014/03/19
67496	Credit Suisse AG – TCH R Bull CBBC Oct 2014 C	2014/03/18	2014/03/19
61547	Bank of East Asia – COVS R Bull CBBC Jan 2015 A	2014/03/18	2014/03/19
67539	UBS AG – HSI R Bull CBBC Dec 2014 P	2014/03/18	2014/03/19
67536	Credit Suisse AG – HSI R Bull CBBC Oct 2014 P	2014/03/18	2014/03/19
67537	Credit Suisse AG – HSI R Bull CBBC Oct 2014 W	2014/03/18	2014/03/19
67543	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 R	2014/03/19	2014/03/20
67589	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 Z	2014/03/19	2014/03/20
67564	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 S	2014/03/19	2014/03/20
67563	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 M	2014/03/19	2014/03/20
67316	HK Bank – HSCEI R Bull CBBC Oct 2014 D	2014/03/19	2014/03/20
65043	Standard Chartered Bank – ABC R Bull CBBC Nov 2014 A	2014/03/19	2014/03/20
67561	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 A	2014/03/19	2014/03/20
67544	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 S	2014/03/19	2014/03/20
67785	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Feb15 A	2014/03/19	2014/03/20
67542	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Z	2014/03/19	2014/03/20
66948	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Jul 2014 A	2014/03/19	2014/03/20

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
67538	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Sep 2014 B	2014/03/19	2014/03/20
67034	UBS AG – CC Bank R Bull CBBC Jul 2014 F	2014/03/19	2014/03/20
64872	HK Bank – A50 R Bull CBBC Nov 2014 B	2014/03/19	2014/03/20
64844	HK Bank – CC Bank R Bull CBBC Jul 2014 B	2014/03/19	2014/03/20
67614	UBS AG – HSI R Bull CBBC Nov 2014 O	2014/03/19	2014/03/20
67637	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 X	2014/03/19	2014/03/20
67627	UBS AG – HSI R Bull CBBC Oct 2014 S	2014/03/19	2014/03/20
67621	UBS AG – HSI R Bull CBBC Sep 2014 S	2014/03/19	2014/03/20
68053	Bank of East Asia – CC Bank R Bull CBBC Nov 2014 A	2014/03/19	2014/03/20
67601	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 D	2014/03/19	2014/03/20
67590	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 G	2014/03/19	2014/03/20
67599	Credit Suisse AG – HSI R Bull CBBC Dec 2014 D	2014/03/19	2014/03/20
67598	Credit Suisse AG – HSI R Bull CBBC Dec 2014 C	2014/03/19	2014/03/20
67597	Credit Suisse AG – HSI R Bull CBBC Dec 2014 B	2014/03/19	2014/03/20
67593	Credit Suisse AG – HSI R Bull CBBC Dec 2014 A	2014/03/19	2014/03/20
67591	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 H	2014/03/19	2014/03/20
60641	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Y	2014/03/20	2014/03/21
65909	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Y	2014/03/20	2014/03/21
67821	HK Bank – TCH R Bull CBBC Nov 2014 J	2014/03/20	2014/03/21
65540	UBS AG – Sands China R Bull CBBC Aug 2014 F	2014/03/20	2014/03/21
60836	UBS AG – HSI R Bull CBBC Sep 2014 K	2014/03/20	2014/03/21
65330	HK Bank – PetCh R Bull CBBC Nov 2014 C	2014/03/20	2014/03/21
61590	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 F	2014/03/20	2014/03/21
61525	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 E	2014/03/20	2014/03/21
61528	UBS AG – HSI R Bull CBBC Oct 2014 C	2014/03/20	2014/03/21
61532	Credit Suisse AG – HSI R Bull CBBC Nov 2014 N	2014/03/20	2014/03/21
61533	Credit Suisse AG – HSI R Bull CBBC Nov 2014 O	2014/03/20	2014/03/21
61535	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 X	2014/03/20	2014/03/21
66581	Citigroup Global Mkt H Inc. -Huishan Dairy R Bull CBBC Mar15A	2014/03/20	2014/03/21
61539	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 Z	2014/03/20	2014/03/21
61545	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 T	2014/03/20	2014/03/21
61531	Credit Suisse AG – HSI R Bull CBBC Nov 2014 B	2014/03/20	2014/03/21
61551	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 W	2014/03/20	2014/03/21
61402	UBS AG – HSI R Bull CBBC Aug 2014 I	2014/03/20	2014/03/21
61613	Credit Suisse AG – HSI R Bull CBBC Dec 2014 M	2014/03/20	2014/03/21
61616	UBS AG – HSI R Bull CBBC Nov 2014 Z	2014/03/20	2014/03/21
61622	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Q	2014/03/20	2014/03/21
61639	HK Bank – HSI R Bull CBBC Nov 2014 D	2014/03/20	2014/03/21
61640	HK Bank – HSI R Bull CBBC Oct 2014 I	2014/03/20	2014/03/21
61646	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 X	2014/03/20	2014/03/21
62176	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Nov 2014 A	2014/03/20	2014/03/21
61818	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 A	2014/03/20	2014/03/21
61831	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 B	2014/03/20	2014/03/21
61550	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 V	2014/03/20	2014/03/21
61352	Credit Suisse AG – HSI R Bull CBBC Oct 2014 A	2014/03/20	2014/03/21
67816	HK Bank – HSI R Bull CBBC Dec 2014 F	2014/03/20	2014/03/21
67810	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 G	2014/03/20	2014/03/21
61015	UBS AG – China Mobile R Bull CBBC Nov 2014 A	2014/03/20	2014/03/21
66186	UBS AG – HSI R Bull CBBC May 2015 A	2014/03/20	2014/03/21
61193	Credit Suisse AG – HSI R Bull CBBC Sep 2014 P	2014/03/20	2014/03/21
61236	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 G	2014/03/20	2014/03/21
67468	Credit Suisse AG – China Mobile R Bull CBBC Oct 2014 A	2014/03/20	2014/03/21
61323	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Nov14A	2014/03/20	2014/03/21
64876	UBS AG – HSBC R Bull CBBC Jul 2014 B	2014/03/20	2014/03/21
61480	HK Bank – China Mobile R Bull CBBC Jan 2015 B	2014/03/20	2014/03/21
61348	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 C	2014/03/20	2014/03/21
67754	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Feb15C	2014/03/20	2014/03/21
61353	Credit Suisse AG – HSI R Bull CBBC Oct 2014 X	2014/03/20	2014/03/21
61357	UBS AG – HSI R Bull CBBC Dec 2014 C	2014/03/20	2014/03/21
61358	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 P	2014/03/20	2014/03/21
61361	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 P	2014/03/20	2014/03/21
61365	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 Q	2014/03/20	2014/03/21
61366	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 D	2014/03/20	2014/03/21
61375	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Z	2014/03/20	2014/03/21
61395	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Y	2014/03/20	2014/03/21
67820	HK Bank – HSI R Bull CBBC Nov 2014 K	2014/03/20	2014/03/21
67501	Credit Suisse AG – Sands China R Bull CBBC Oct 2014 A	2014/03/20	2014/03/21
64130	HK Bank – HSI R Bull CBBC Jul 2014 A	2014/03/20	2014/03/21
67871	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2014/03/20	2014/03/21
60259	Credit Suisse AG – HSI R Bull CBBC Dec 2014 G	2014/03/20	2014/03/21
67872	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 J	2014/03/20	2014/03/21
67873	UBS AG – HSI R Bull CBBC Nov 2014 S	2014/03/20	2014/03/21
67874	UBS AG – HSI R Bull CBBC Dec 2014 Q	2014/03/20	2014/03/21
67875	UBS AG – HSI R Bull CBBC Oct 2014 T	2014/03/20	2014/03/21
67363	HK Bank – A50 R Bull CBBC Dec 2014 B	2014/03/20	2014/03/21
67314	Standard Chartered Bank – A50 China R Bull CBBC Aug 2014 A	2014/03/20	2014/03/21
67887	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 V	2014/03/20	2014/03/21
67905	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 E	2014/03/20	2014/03/21
67870	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Y	2014/03/20	2014/03/21
60238	HK Bank – Huishan Dairy R Bull CBBC Mar 2015 A	2014/03/20	2014/03/21
67976	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 T	2014/03/20	2014/03/21
67943	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 A	2014/03/20	2014/03/21
68267	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 J	2014/03/20	2014/03/21
63496	Credit Suisse AG – HSI R Bull CBBC Apr 2014 Z	2014/03/20	2014/03/21
66034	HK Bank – BOCL R Bull CBBC Aug 2014 A	2014/03/20	2014/03/21
66587	HK Bank – China Mobile R Bull CBBC Jan 2015 A	2014/03/20	2014/03/21
65878	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 C	2014/03/20	2014/03/21

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60066	UBS AG – HSI R Bull CBBC Nov 2014 P	2014/03/20	2014/03/21
65199	J P Morgan SP BV – HSI R Bull CBBC May 2014 A	2014/03/20	2014/03/21
64484	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 B	2014/03/20	2014/03/21
66248	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 R	2014/03/20	2014/03/21
67915	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 F	2014/03/20	2014/03/21
64281	UBS AG – CSOP A50 ETF R Bull CBBC May 2014 B	2014/03/20	2014/03/21
67869	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/03/20	2014/03/21
60334	UBS AG – HSI R Bull CBBC Oct 2014 L	2014/03/20	2014/03/21
69997	Bank of East Asia – HKEx R Bull CBBC Aug 2014 A	2014/03/20	2014/03/21
67860	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 I	2014/03/20	2014/03/21
65217	UBS AG – A50 China R Bull CBBC Dec 2014 A	2014/03/20	2014/03/21
67861	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 Y	2014/03/20	2014/03/21
65056	Standard Chartered Bank – China Mobile R Bull CBBC Nov 2014B	2014/03/21	2014/03/24
68365	UBS AG – TCH R Bear CBBC Jul 2014 F	2014/03/21	2014/03/24
68110	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 E	2014/03/21	2014/03/24
66768	HK Bank – A50 R Bull CBBC Mar 2014 A	2014/03/21	2014/03/25
68084	Credit Suisse AG – HSI R Bull CBBC Dec 2014 V	2014/03/21	2014/03/24
68061	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 F	2014/03/21	2014/03/24
69016	UBS AG – Lenovo R Bull CBBC Mar 2014 A	2014/03/21	2014/03/25
68112	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/03/21	2014/03/24
68113	UBS AG – HSI R Bull CBBC Dec 2014 R	2014/03/21	2014/03/24
62652	Bank of East Asia – China Mobile R Bull CBBC Oct 2014 A	2014/03/21	2014/03/24
60691	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Sep14 C	2014/03/21	2014/03/24
66029	HK Bank – Minsheng Bank R Bull CBBC Mar 2014 A	2014/03/21	2014/03/25
68096	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 C	2014/03/21	2014/03/24
66950	Standard Chartered Bank – Sands China R Bull CBBC Nov 2014 B	2014/03/21	2014/03/24
68093	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 B	2014/03/21	2014/03/24
68395	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 D	2014/03/21	2014/03/24
61322	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Dec14A	2014/03/21	2014/03/24
66643	HK Bank – China Mobile R Bull CBBC Feb 2015 A	2014/03/21	2014/03/24
68071	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 I	2014/03/21	2014/03/24
68038	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 M	2014/03/21	2014/03/24
66407	UBS AG – Hutchison R Bull CBBC Nov 2014 B	2014/03/21	2014/03/24
64565	UBS AG – Minsheng Bank R Bear CBBC Mar 2014 A	2014/03/21	2014/03/25
68107	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 D	2014/03/21	2014/03/24
68373	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Mar 2015 A	2014/03/21	2014/03/24
68076	Credit Suisse AG – HSI R Bull CBBC Dec 2014 J	2014/03/21	2014/03/24
66675	UBS AG – China Mobile R Bull CBBC Jun 2014 A	2014/03/21	2014/03/24
65820	HK Bank – Sands China R Bull CBBC Oct 2014 C	2014/03/21	2014/03/24
68180	HK Bank – TCH R Bear CBBC Oct 2014 O	2014/03/21	2014/03/24
68146	HK Bank – TCH R Bear CBBC Oct 2014 N	2014/03/21	2014/03/24
68202	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 B	2014/03/21	2014/03/24
68209	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 T	2014/03/21	2014/03/24
68703	UBS AG – Galaxy Ent R Bull CBBC Mar 2014 B	2014/03/21	2014/03/25
68012	HK Bank – China Mobile R Bull CBBC Feb 2015 C	2014/03/21	2014/03/24
67805	HK Bank – Lenovo R Bull CBBC Mar 2014 A	2014/03/21	2014/03/25
68134	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 G	2014/03/21	2014/03/24
63074	HK Bank – HKEx R Bear CBBC Mar 2014 B	2014/03/21	2014/03/25
68082	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/03/21	2014/03/24
68055	Bank of East Asia – China Life R Bull CBBC Oct 2014 A	2014/03/21	2014/03/24
63130	UBS AG – Galaxy Ent R Bull CBBC Mar 2014 C	2014/03/21	2014/03/25
68217	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 Z	2014/03/21	2014/03/24
68081	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/03/21	2014/03/24
66462	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Dec 14 D	2014/03/21	2014/03/24
68032	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 K	2014/03/21	2014/03/24
68614	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 J	2014/03/24	2014/03/25
68326	UBS AG – HSCEI R Bear CBBC Jun 2014 D	2014/03/24	2014/03/25
68381	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Mar 2015 B	2014/03/24	2014/03/25
68389	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 D	2014/03/24	2014/03/25
67965	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 X	2014/03/24	2014/03/25
68570	Credit Suisse AG – HSI R Bear CBBC Aug 2014 G	2014/03/24	2014/03/25
67962	UBS AG – HSI R Bear CBBC Jul 2014 Y	2014/03/24	2014/03/25
68407	Credit Suisse AG – HSI R Bear CBBC Jul 2014 J	2014/03/24	2014/03/25
68399	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/03/24	2014/03/25
66460	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Dec 14 C	2014/03/24	2014/03/25
68382	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Mar 2015 C	2014/03/24	2014/03/25
68601	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 P	2014/03/24	2014/03/25
68567	UBS AG – HSI R Bear CBBC Jul 2014 F	2014/03/24	2014/03/25
68604	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 H	2014/03/24	2014/03/25
68572	Credit Suisse AG – HSI R Bear CBBC Aug 2014 K	2014/03/24	2014/03/25
68571	Credit Suisse AG – HSI R Bear CBBC Aug 2014 J	2014/03/24	2014/03/25
68362	UBS AG – TCH R Bear CBBC Aug 2014 E	2014/03/24	2014/03/25
68527	UBS AG – TCH R Bear CBBC Sep 2014 J	2014/03/24	2014/03/25
68219	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 Y	2014/03/24	2014/03/25
67311	HK Bank – HSCEI R Bear CBBC Oct 2014 D	2014/03/24	2014/03/25
68505	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 B	2014/03/24	2014/03/25
68228	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 H	2014/03/24	2014/03/25
68224	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 G	2014/03/24	2014/03/25
68458	HK Bank – HSCEI R Bear CBBC Nov 2014 C	2014/03/24	2014/03/25
67902	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 E	2014/03/24	2014/03/25
68537	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 J	2014/03/24	2014/03/25
68220	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 Z	2014/03/24	2014/03/25
67975	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 M	2014/03/24	2014/03/25
68135	HK Bank – TCH R Bear CBBC Nov 2014 K	2014/03/24	2014/03/25
68214	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 F	2014/03/24	2014/03/25
68212	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 E	2014/03/24	2014/03/25
68196	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 O	2014/03/24	2014/03/25

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
68476	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 H	2014/03/24	2014/03/25
68193	HK Bank – TCH R Bear CBBC Oct 2014 P	2014/03/24	2014/03/25
68462	HK Bank – HSI R Bear CBBC Oct 2014 P	2014/03/24	2014/03/25
67924	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 R	2014/03/24	2014/03/25
68566	UBS AG – HSI R Bear CBBC Jun 2014 Q	2014/03/24	2014/03/25
68261	Credit Suisse AG – HSI R Bear CBBC Aug 2014 F	2014/03/24	2014/03/25
68551	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 F	2014/03/24	2014/03/25
68550	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 E	2014/03/24	2014/03/25
68547	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 K	2014/03/24	2014/03/25
66380	Standard Chartered Bank – Hutchison R Bull CBBC Oct 2014 A	2014/03/24	2014/03/25
68569	UBS AG – HSI R Bear CBBC Jun 2014 J	2014/03/24	2014/03/25
68448	HK Bank – HSI R Bear CBBC Dec 2014 E	2014/03/24	2014/03/25
67928	Credit Suisse AG – HSI R Bear CBBC Jul 2014 T	2014/03/24	2014/03/25
68528	UBS AG – TCH R Bear CBBC Aug 2014 F	2014/03/24	2014/03/25
68195	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 N	2014/03/24	2014/03/25
68425	UBS AG – HSI R Bear CBBC Jul 2014 K	2014/03/24	2014/03/25
68431	UBS AG – HSI R Bear CBBC Jul 2014 B	2014/03/24	2014/03/25
67940	Credit Suisse AG – HSI R Bear CBBC Jul 2014 U	2014/03/24	2014/03/25
68432	UBS AG – HSI R Bear CBBC Jun 2014 O	2014/03/24	2014/03/25
68031	HK Bank – HSI R Bear CBBC Nov 2014 G	2014/03/24	2014/03/25
68480	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 I	2014/03/24	2014/03/25
68653	UBS AG – TCH R Bull CBBC Sep 2014 F	2014/03/25	2014/03/26
68660	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 Q	2014/03/25	2014/03/26
68652	UBS AG – TCH R Bull CBBC Oct 2014 C	2014/03/25	2014/03/26
68655	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 F	2014/03/25	2014/03/26
67782	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Feb 15 A	2014/03/25	2014/03/26
68645	Credit Suisse AG – HSI R Bear CBBC Jul 2014 K	2014/03/25	2014/03/26
68644	UBS AG – HSI R Bear CBBC Jul 2014 G	2014/03/25	2014/03/26
68643	UBS AG – HSI R Bear CBBC Jun 2014 R	2014/03/25	2014/03/26
68640	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14K	2014/03/25	2014/03/26
68634	HK Bank – TCH R Bear CBBC Oct 2014 Q	2014/03/25	2014/03/26
68641	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14L	2014/03/25	2014/03/26
67971	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 F	2014/03/26	2014/03/27
67713	UBS AG – HSI R Bear CBBC Jun 2014 Z	2014/03/26	2014/03/27
67882	UBS AG – HSI R Bear CBBC Aug 2014 I	2014/03/26	2014/03/27
67904	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 F	2014/03/26	2014/03/27
68687	UBS AG – HSI R Bear CBBC Jul 2014 H	2014/03/26	2014/03/27
68683	Credit Suisse AG – HSI R Bear CBBC Aug 2014 M	2014/03/26	2014/03/27
68682	Credit Suisse AG – HSI R Bear CBBC Aug 2014 L	2014/03/26	2014/03/27
67733	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 J	2014/03/26	2014/03/27
66638	HK Bank – HSCEI R Bear CBBC Nov 2014 B	2014/03/26	2014/03/27
66572	Citigroup Global Mkt H Inc. – BOCL R Bear CBBC Feb 2015 A	2014/03/26	2014/03/27
68669	HK Bank – TCH R Bull CBBC Oct 2014 Q	2014/03/26	2014/03/27
68657	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 G	2014/03/26	2014/03/27
67658	Credit Suisse AG – HSI R Bear CBBC Jun 2014 O	2014/03/26	2014/03/27
67670	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 W	2014/03/26	2014/03/27
67964	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 W	2014/03/26	2014/03/27
67628	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 N	2014/03/26	2014/03/27
67742	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 K	2014/03/26	2014/03/27
67639	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 R	2014/03/26	2014/03/27
68670	HK Bank – TCH R Bull CBBC Nov 2014 L	2014/03/26	2014/03/27
68194	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 G	2014/03/26	2014/03/27
68424	UBS AG – HSI R Bear CBBC Jun 2014 E	2014/03/26	2014/03/27
68215	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 G	2014/03/26	2014/03/27
68259	Credit Suisse AG – HSI R Bear CBBC Aug 2014 E	2014/03/26	2014/03/27
68221	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 A	2014/03/26	2014/03/27
67829	HK Bank – HSI R Bear CBBC Oct 2014 O	2014/03/26	2014/03/27
60897	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 F	2014/03/26	2014/03/27
66961	UBS AG – HSCEI R Bear CBBC Jun 2014 C	2014/03/26	2014/03/27
68030	HK Bank – HSI R Bear CBBC Dec 2014 D	2014/03/26	2014/03/27
68132	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 D	2014/03/26	2014/03/27
67834	Credit Suisse AG – HSI R Bear CBBC Jul 2014 O	2014/03/26	2014/03/27
67830	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 P	2014/03/26	2014/03/27
63152	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 F	2014/03/27	2014/03/31
63658	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 J	2014/03/27	2014/03/31
63551	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 I	2014/03/27	2014/03/31
62257	UBS AG – TCH R Bull CBBC Sep 2014 A	2014/03/27	2014/03/28
62835	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 S	2014/03/27	2014/03/31
62836	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 T	2014/03/27	2014/03/31
63421	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 H	2014/03/27	2014/03/31
62858	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 U	2014/03/27	2014/03/31
62861	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 V	2014/03/27	2014/03/31
62339	HK Bank – TCH R Bull CBBC Nov 2014 E	2014/03/27	2014/03/28
63352	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 G	2014/03/27	2014/03/31
66108	Bank of East Asia – Galaxy Ent R Bull CBBC May 2015 A	2014/03/27	2014/03/28
62337	UBS AG – HSI R Bear CBBC Mar 2014 C	2014/03/27	2014/03/31
62425	Standard Chartered Bank – TCH R Bull CBBC Sep 2014 D	2014/03/27	2014/03/28
61343	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 R	2014/03/27	2014/03/31
64371	Credit Suisse AG – HSI R Bear CBBC Mar 2014 H	2014/03/27	2014/03/31
68668	HK Bank – TCH R Bull CBBC Nov 2014 K	2014/03/27	2014/03/28
62260	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 14P	2014/03/27	2014/03/31
62332	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 14Q	2014/03/27	2014/03/31
62812	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 V	2014/03/27	2014/03/31
65021	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Dec14B	2014/03/27	2014/03/28
63749	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 E	2014/03/27	2014/03/31
62686	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 T	2014/03/27	2014/03/31
62754	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 S	2014/03/27	2014/03/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62809	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 T	2014/03/27	2014/03/31
63091	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 V	2014/03/27	2014/03/31
62810	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 U	2014/03/27	2014/03/31
65175	UBS AG – Sands China R Bull CBBC Nov 2014 A	2014/03/27	2014/03/28
62334	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 14R	2014/03/27	2014/03/31
63803	UBS AG – Galaxy Ent R Bull CBBC May 2014 B	2014/03/27	2014/03/28
62961	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Z	2014/03/27	2014/03/31
63464	UBS AG – HSI R Bear CBBC Mar 2014 J	2014/03/27	2014/03/31
60655	Credit Suisse AG – HSI R Bull CBBC Mar 2014 D	2014/03/27	2014/03/31
60656	Credit Suisse AG – HSI R Bull CBBC Mar 2014 E	2014/03/27	2014/03/31
60657	Credit Suisse AG – HSI R Bull CBBC Mar 2014 F	2014/03/27	2014/03/31
63472	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 B	2014/03/27	2014/03/31
68367	Credit Suisse AG – HSI R Bull CBBC Mar 2014 B	2014/03/27	2014/03/31
63524	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 B	2014/03/27	2014/03/31
63385	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 Y	2014/03/27	2014/03/31
61652	UBS AG – TCH R Bull CBBC Aug 2014 E	2014/03/27	2014/03/28
63809	UBS AG – HSCEI R Bear CBBC Mar 2014 D	2014/03/27	2014/03/31
61633	HK Bank – Galaxy Ent R Bull CBBC Nov 2014 A	2014/03/27	2014/03/28
68088	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 A	2014/03/27	2014/03/28
61295	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 A	2014/03/27	2014/03/31
61299	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 B	2014/03/27	2014/03/31
63500	UBS AG – HSCEI R Bear CBBC Mar 2014 C	2014/03/27	2014/03/31
62865	Credit Suisse AG – HSI R Bear CBBC Mar 2014 B	2014/03/27	2014/03/31
63097	UBS AG – HSI R Bear CBBC Mar 2014 N	2014/03/27	2014/03/31
64372	Credit Suisse AG – HSI R Bear CBBC Mar 2014 I	2014/03/27	2014/03/31
63038	UBS AG – HSI R Bear CBBC Mar 2014 E	2014/03/27	2014/03/31
61290	UBS AG – HSI R Bear CBBC Mar 2014 Z	2014/03/27	2014/03/31
62929	UBS AG – HSI R Bear CBBC Mar 2014 M	2014/03/27	2014/03/31
63095	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 W	2014/03/27	2014/03/31
68368	Credit Suisse AG – HSI R Bull CBBC Mar 2014 C	2014/03/27	2014/03/31
62868	Credit Suisse AG – HSI R Bear CBBC Mar 2014 C	2014/03/27	2014/03/31
62941	Credit Suisse AG – HSI R Bear CBBC Mar 2014 L	2014/03/27	2014/03/31
62864	Credit Suisse AG – HSI R Bear CBBC Mar 2014 A	2014/03/27	2014/03/31
62417	UBS AG – HSI R Bear CBBC Mar 2014 H	2014/03/27	2014/03/31
63219	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 Y	2014/03/27	2014/03/31
61769	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 C	2014/03/27	2014/03/28
67005	HK Bank – HSI R Bear CBBC Mar 2014 A	2014/03/27	2014/03/31
63306	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 X	2014/03/27	2014/03/31
62871	Credit Suisse AG – HSI R Bear CBBC Mar 2014 D	2014/03/27	2014/03/31
60810	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 M	2014/03/27	2014/03/31
64373	Credit Suisse AG – HSI R Bear CBBC Mar 2014 J	2014/03/27	2014/03/31
60645	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 J	2014/03/27	2014/03/31
60647	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 K	2014/03/27	2014/03/31
60649	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 L	2014/03/27	2014/03/31
67485	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 S	2014/03/27	2014/03/31
60698	UBS AG – HSCEI R Bear CBBC Mar 2014 B	2014/03/27	2014/03/31
60643	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 H	2014/03/27	2014/03/31
68413	UBS AG – TCH R Bull CBBC Sep 2014 E	2014/03/27	2014/03/28
67665	Credit Suisse AG – HSI R Bear CBBC Mar 2014 P	2014/03/27	2014/03/31
60833	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 N	2014/03/27	2014/03/31
60846	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 O	2014/03/27	2014/03/31
60906	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 C	2014/03/27	2014/03/31
62665	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 F	2014/03/27	2014/03/28
60909	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 D	2014/03/27	2014/03/31
60942	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Y	2014/03/27	2014/03/31
60727	Credit Suisse AG – HSI R Bear CBBC Mar 2014 W	2014/03/27	2014/03/31
68230	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2014 A	2014/03/27	2014/03/31
60042	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 C	2014/03/27	2014/03/31
68731	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14M	2014/03/27	2014/03/28
68238	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2014 D	2014/03/27	2014/03/31
60615	UBS AG – HSI R Bear CBBC Mar 2014 P	2014/03/27	2014/03/31
60631	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 F	2014/03/27	2014/03/31
68297	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2014 F	2014/03/27	2014/03/31
60644	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 I	2014/03/27	2014/03/31
68232	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2014 B	2014/03/27	2014/03/31
60970	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 K	2014/03/27	2014/03/31
68531	UBS AG – TCH R Bull CBBC Aug 2014 H	2014/03/27	2014/03/28
68533	UBS AG – CNOOC R Bear CBBC Aug 2014 C	2014/03/27	2014/03/28
68100	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2014 E	2014/03/27	2014/03/31
60639	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 G	2014/03/27	2014/03/31
67683	Credit Suisse AG – HSI R Bear CBBC Mar 2014 V	2014/03/27	2014/03/31
67682	Credit Suisse AG – HSI R Bear CBBC Mar 2014 U	2014/03/27	2014/03/31
68235	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2014 C	2014/03/27	2014/03/31
61234	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 Q	2014/03/27	2014/03/31
64610	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 G	2014/03/27	2014/03/31
68329	UBS AG – Huishan Dairy R Bull CBBC Jul 2015 A	2014/03/27	2014/03/28
61201	UBS AG – HSI R Bear CBBC Mar 2014 X	2014/03/27	2014/03/31
61202	UBS AG – HSI R Bear CBBC Mar 2014 Y	2014/03/27	2014/03/31
61203	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 P	2014/03/27	2014/03/31
61212	Credit Suisse AG – HSI R Bear CBBC Mar 2014 E	2014/03/27	2014/03/31
62662	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 E	2014/03/27	2014/03/28
61215	UBS AG – HSI R Bear CBBC Mar 2014 R	2014/03/27	2014/03/31
68627	HK Bank – TCH R Bull CBBC Oct 2014 P	2014/03/27	2014/03/28
61279	Credit Suisse AG – HSI R Bear CBBC Mar 2014 T	2014/03/27	2014/03/31
61281	Credit Suisse AG – HSI R Bear CBBC Mar 2014 F	2014/03/27	2014/03/31
61288	Credit Suisse AG – HSI R Bear CBBC Mar 2014 G	2014/03/27	2014/03/31
60043	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 D	2014/03/27	2014/03/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64374	Credit Suisse AG – HSI R Bear CBBC Mar 2014 K	2014/03/27	2014/03/31
68440	Citigroup Global Mkt H Inc. – CNOOC R Bear CBBC Mar 2015 A	2014/03/27	2014/03/28
61213	Credit Suisse AG – HSI R Bear CBBC Mar 2014 S	2014/03/27	2014/03/31
61050	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 L	2014/03/27	2014/03/31
68253	Credit Suisse AG – TCH R Bull CBBC Oct 2014 G	2014/03/27	2014/03/28
61031	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Q	2014/03/27	2014/03/31
61034	Credit Suisse AG – HSI R Bear CBBC Mar 2014 R	2014/03/27	2014/03/31
67511	Credit Suisse AG – Sands China R Bull CBBC Oct 2014 B	2014/03/27	2014/03/28
61035	UBS AG – HSI R Bear CBBC Mar 2014 T	2014/03/27	2014/03/31
61039	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 N	2014/03/27	2014/03/31
64611	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 H	2014/03/27	2014/03/31
61042	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 P	2014/03/27	2014/03/31
61144	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 R	2014/03/27	2014/03/31
61104	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 Q	2014/03/27	2014/03/31
67497	Credit Suisse AG – TCH R Bull CBBC Oct 2014 D	2014/03/27	2014/03/28
61110	UBS AG – HSI R Bear CBBC Mar 2014 U	2014/03/27	2014/03/31
61111	UBS AG – HSI R Bear CBBC Mar 2014 W	2014/03/27	2014/03/31
61118	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 N	2014/03/27	2014/03/31
61119	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 O	2014/03/27	2014/03/31
62653	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Dec14 C	2014/03/27	2014/03/28
61041	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 O	2014/03/27	2014/03/31
68753	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 C	2014/03/27	2014/03/28
68773	Credit Suisse AG – HSI R Bear CBBC Aug 2014 N	2014/03/27	2014/03/28
62115	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 K	2014/03/27	2014/03/31
68734	UBS AG – HSCEI R Bear CBBC Jun 2014 E	2014/03/27	2014/03/28
68776	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 J	2014/03/27	2014/03/28
68775	Credit Suisse AG – HSI R Bear CBBC Jul 2014 L	2014/03/27	2014/03/28
68774	Credit Suisse AG – HSI R Bear CBBC Aug 2014 O	2014/03/27	2014/03/28
62844	UBS AG – HSI R Bull CBBC Mar 2014 L	2014/03/27	2014/03/31
63058	UBS AG – HSI R Bull CBBC Mar 2014 J	2014/03/27	2014/03/31
62148	UBS AG – HSI R Bull CBBC Mar 2014 P	2014/03/27	2014/03/31
61929	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 G	2014/03/27	2014/03/31
61936	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 H	2014/03/27	2014/03/31
61315	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 G	2014/03/27	2014/03/31
61938	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 I	2014/03/27	2014/03/31
66978	HK Bank – HSI R Bull CBBC Mar 2014 B	2014/03/27	2014/03/31
61311	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 F	2014/03/27	2014/03/31
68759	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 I	2014/03/27	2014/03/28
61860	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 J	2014/03/27	2014/03/31
61961	UBS AG – HSI R Bull CBBC Mar 2014 H	2014/03/27	2014/03/31
62214	UBS AG – HSI R Bull CBBC Mar 2014 O	2014/03/27	2014/03/31
61858	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 H	2014/03/27	2014/03/31
61839	Credit Suisse AG – HSI R Bull CBBC Mar 2014 M	2014/03/27	2014/03/31
61838	Credit Suisse AG – HSI R Bull CBBC Mar 2014 L	2014/03/27	2014/03/31
63238	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 S	2014/03/27	2014/03/31
61317	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 H	2014/03/27	2014/03/31
68784	UBS AG – HSI R Bear CBBC Jun 2014 S	2014/03/27	2014/03/28
62951	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 J	2014/03/27	2014/03/31
62954	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 P	2014/03/27	2014/03/31
61552	Standard Chartered Bank – Galaxy Ent R Bull CBBC Sep 2014 C	2014/03/27	2014/03/28
61383	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 T	2014/03/27	2014/03/31
61380	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Z	2014/03/27	2014/03/31
62956	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 X	2014/03/27	2014/03/31
62977	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 R	2014/03/27	2014/03/31
60910	HK Bank – TCH R Bull CBBC Nov 2014 A	2014/03/27	2014/03/28
68791	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 S	2014/03/27	2014/03/28
68790	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 R	2014/03/27	2014/03/28
62064	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 M	2014/03/27	2014/03/31
62997	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Y	2014/03/27	2014/03/31
61859	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 I	2014/03/27	2014/03/31
62998	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Z	2014/03/27	2014/03/31
62999	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 M	2014/03/27	2014/03/31
68783	UBS AG – HSI R Bear CBBC Jul 2014 I	2014/03/27	2014/03/28
63000	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 N	2014/03/27	2014/03/31
68782	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 F	2014/03/27	2014/03/28
62062	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 K	2014/03/27	2014/03/31
63001	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 O	2014/03/27	2014/03/31
68781	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 E	2014/03/27	2014/03/28
62063	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 L	2014/03/27	2014/03/31
61942	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 J	2014/03/27	2014/03/31
68785	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 M	2014/03/27	2014/03/28
61748	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 E	2014/03/27	2014/03/31
61304	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 C	2014/03/27	2014/03/31
62640	UBS AG – HSI R Bull CBBC Mar 2014 R	2014/03/27	2014/03/31
61774	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 C	2014/03/27	2014/03/31
60602	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Oct14 A	2014/03/27	2014/03/28
61796	HK Bank – HSCEI R Bull CBBC Mar 2014 A	2014/03/27	2014/03/31
61770	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 B	2014/03/27	2014/03/31
68751	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 A	2014/03/27	2014/03/28
62827	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 V	2014/03/27	2014/03/31
62828	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 W	2014/03/27	2014/03/31
62269	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 L	2014/03/27	2014/03/31
68752	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 B	2014/03/27	2014/03/28
62792	Credit Suisse AG – HSI R Bull CBBC Mar 2014 T	2014/03/27	2014/03/31
62794	Credit Suisse AG – HSI R Bull CBBC Mar 2014 U	2014/03/27	2014/03/31
62797	UBS AG – HSI R Bull CBBC Mar 2014 S	2014/03/27	2014/03/31
68767	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 C	2014/03/27	2014/03/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61749	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 F	2014/03/27	2014/03/31
61755	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 G	2014/03/27	2014/03/31
62826	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 L	2014/03/27	2014/03/31
65999	HK Bank – HSI R Bull CBBC Mar 2014 A	2014/03/27	2014/03/31
62354	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 M	2014/03/27	2014/03/31
62434	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 N	2014/03/27	2014/03/31
62611	Credit Suisse AG – HSI R Bull CBBC Mar 2014 O	2014/03/27	2014/03/31
68766	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 B	2014/03/27	2014/03/28
62614	Credit Suisse AG – HSI R Bull CBBC Mar 2014 R	2014/03/27	2014/03/31
67824	HK Bank – Huishan Dairy R Bull CBBC Jul 2015 A	2014/03/27	2014/03/28
61307	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 E	2014/03/27	2014/03/31
62613	Credit Suisse AG – HSI R Bull CBBC Mar 2014 Q	2014/03/27	2014/03/31
61306	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 D	2014/03/27	2014/03/31
62612	Credit Suisse AG – HSI R Bull CBBC Mar 2014 P	2014/03/27	2014/03/31
61777	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 D	2014/03/27	2014/03/31
63962	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 U	2014/03/27	2014/03/31
61591	Credit Suisse AG – Galaxy Ent R Bull CBBC Oct 2014 A	2014/03/27	2014/03/28
62501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 U	2014/03/27	2014/03/31
61780	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 E	2014/03/27	2014/03/31
67744	Citigroup Global Mkt H Inc. – Cheung Kong R Bear CBBC Feb15A	2014/03/27	2014/03/28
62506	UBS AG – HSCEI R Bull CBBC Mar 2014 A	2014/03/27	2014/03/31
62829	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 I	2014/03/27	2014/03/31
62523	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 O	2014/03/27	2014/03/31
62630	Credit Suisse AG – HSI R Bull CBBC Mar 2014 S	2014/03/27	2014/03/31
62627	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 K	2014/03/27	2014/03/31
61801	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 F	2014/03/27	2014/03/31
68579	HK Bank – COVS R Bear CBBC Mar 2014 B	2014/03/28	2014/04/01
67656	Credit Suisse AG – HSI R Bear CBBC Jun 2014 N	2014/03/28	2014/03/31
67836	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 C	2014/03/28	2014/03/31
67671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 X	2014/03/28	2014/03/31
67807	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 L	2014/03/28	2014/03/31
68814	HK Bank – Sinopec Corp R Bear CBBC Dec 2014 A	2014/03/28	2014/03/31
68386	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 C	2014/03/28	2014/03/31
68827	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 F	2014/03/28	2014/03/31
68853	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14O	2014/03/28	2014/03/31
66770	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2014 B	2014/03/28	2014/04/01
62782	UBS AG – Cheung Kong R Bear CBBC Aug 2014 A	2014/03/28	2014/03/31
68850	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14N	2014/03/28	2014/03/31
67633	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 O	2014/03/28	2014/03/31
66806	HK Bank – Cheung Kong R Bear CBBC Oct 2014 A	2014/03/28	2014/03/31
68549	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 L	2014/03/28	2014/03/31
67835	Credit Suisse AG – HSI R Bear CBBC Jul 2014 F	2014/03/28	2014/03/31
67640	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 S	2014/03/28	2014/03/31
68846	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 A	2014/03/28	2014/03/31
68824	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 C	2014/03/28	2014/03/31
68828	UBS AG – HSI R Bear CBBC Jun 2014 G	2014/03/28	2014/03/31
68820	Credit Suisse AG – HSI R Bear CBBC Sep 2014 D	2014/03/28	2014/03/31
64106	UBS AG – Cheung Kong R Bear CBBC Mar 2014 A	2014/03/28	2014/04/01
67985	Citigroup Global Mkt H Inc. – ICBC R Bear CBBC Feb 2015 A	2014/03/28	2014/03/31
68826	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 E	2014/03/28	2014/03/31
68754	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 D	2014/03/28	2014/03/31
67647	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 V	2014/03/28	2014/03/31
68692	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 L	2014/03/28	2014/03/31
68854	Credit Suisse AG – HSI R Bear CBBC Sep 2014 G	2014/03/28	2014/03/31
68825	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 D	2014/03/28	2014/03/31
68847	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 B	2014/03/28	2014/03/31
68822	Credit Suisse AG – HSI R Bear CBBC Sep 2014 F	2014/03/28	2014/03/31
68821	Credit Suisse AG – HSI R Bear CBBC Sep 2014 E	2014/03/28	2014/03/31
67784	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Feb 2015 A	2014/03/28	2014/03/31
67877	UBS AG – HSI R Bear CBBC Jun 2014 C	2014/03/28	2014/03/31
68806	HK Bank – HSI R Bear CBBC Dec 2014 F	2014/03/28	2014/03/31
68805	HK Bank – HSI R Bear CBBC Oct 2014 Q	2014/03/28	2014/03/31
68807	HK Bank – HSI R Bear CBBC Nov 2014 J	2014/03/28	2014/03/31
68802	HK Bank – HSI R Bear CBBC Nov 2014 I	2014/03/28	2014/03/31
65136	UBS AG – HSCEI R Bear CBBC Jun 2014 A	2014/03/28	2014/03/31
67723	UBS AG – HSI R Bear CBBC Aug 2014 G	2014/03/28	2014/03/31
67826	HK Bank – HSI R Bear CBBC Nov 2014 F	2014/03/28	2014/03/31
67831	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 Q	2014/03/28	2014/03/31
68899	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/03/31	2014/04/01
68900	Credit Suisse AG – HSI R Bear CBBC Jul 2014 J	2014/03/31	2014/04/01
68935	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 B	2014/03/31	2014/04/01
68887	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 H	2014/03/31	2014/04/01
68885	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 Q	2014/03/31	2014/04/01
68688	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 H	2014/03/31	2014/04/01
68901	Credit Suisse AG – HSI R Bear CBBC Aug 2014 P	2014/03/31	2014/04/01
68886	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 G	2014/03/31	2014/04/01
67672	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 Y	2014/03/31	2014/04/01
67732	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 D	2014/03/31	2014/04/01
68909	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 H	2014/03/31	2014/04/01
67644	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 T	2014/03/31	2014/04/01
68890	UBS AG – HSI R Bear CBBC Aug 2014 J	2014/03/31	2014/04/01
68159	UBS AG – Anhui Conch R Bear CBBC Oct 2014 A	2014/03/31	2014/04/01
67825	HK Bank – HSI R Bear CBBC Dec 2014 C	2014/03/31	2014/04/01
68892	UBS AG – HSI R Bear CBBC Jul 2014 L	2014/03/31	2014/04/01
68891	UBS AG – HSI R Bear CBBC Jul 2014 J	2014/03/31	2014/04/01
68898	Credit Suisse AG – HSI R Bear CBBC Sep 2014 L	2014/03/31	2014/04/01
64951	HK Bank – HSCEI R Bear CBBC Oct 2014 C	2014/03/31	2014/04/01

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
68921	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 I	2014/03/31	2014/04/01
68924	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Q	2014/03/31	2014/04/01
68883	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 O	2014/03/31	2014/04/01
68884	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 P	2014/03/31	2014/04/01
68928	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 R	2014/03/31	2014/04/01
67719	UBS AG – HSI R Bear CBBC Jul 2014 X	2014/03/31	2014/04/01
68934	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 A	2014/03/31	2014/04/01
68258	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Z	2014/03/31	2014/04/01
67652	Credit Suisse AG – HSI R Bear CBBC Jul 2014 I	2014/03/31	2014/04/01
68882	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 N	2014/03/31	2014/04/01
68231	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 I	2014/03/31	2014/04/01
68416	UBS AG – HSI R Bear CBBC Jul 2014 Z	2014/03/31	2014/04/01
67124	Credit Suisse AG – HSI R Bear CBBC Jun 2014 L	2014/04/01	2014/04/02
68667	HK Bank – HSI R Bear CBBC Nov 2014 H	2014/04/01	2014/04/02
68999	Credit Suisse AG – HSI R Bear CBBC Sep 2014 M	2014/04/01	2014/04/02
69001	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 C	2014/04/01	2014/04/02
68958	HK Bank – TCH R Bull CBBC Nov 2014 M	2014/04/01	2014/04/02
69003	UBS AG – HSI R Bear CBBC Jul 2014 O	2014/04/01	2014/04/02
67592	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 F	2014/04/01	2014/04/02
67282	HK Bank – HSI R Bear CBBC Oct 2014 N	2014/04/01	2014/04/02
68552	UBS AG – HSI R Bear CBBC Oct 2014 A	2014/04/01	2014/04/02
67108	Credit Suisse AG – HSI R Bear CBBC Jun 2014 I	2014/04/01	2014/04/02
68974	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14S	2014/04/01	2014/04/02
67019	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 I	2014/04/01	2014/04/02
68679	Credit Suisse AG – HSI R Bear CBBC Sep 2014 C	2014/04/01	2014/04/02
67042	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 B	2014/04/01	2014/04/02
66573	Citigroup Global Mkt H Inc. – BOCL R Bear CBBC Feb 2015 B	2014/04/01	2014/04/02
68998	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14T	2014/04/01	2014/04/02
68233	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 J	2014/04/01	2014/04/02
68959	HK Bank – TCH R Bull CBBC Nov 2014 N	2014/04/01	2014/04/02
67281	HK Bank – HSI R Bear CBBC Nov 2014 E	2014/04/01	2014/04/02
67858	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 B	2014/04/01	2014/04/02
67160	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 S	2014/04/01	2014/04/02
67968	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 E	2014/04/01	2014/04/02
69002	UBS AG – HSI R Bear CBBC Jul 2014 N	2014/04/01	2014/04/02
67888	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 D	2014/04/01	2014/04/02
67091	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 N	2014/04/01	2014/04/02
67449	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun 14D	2014/04/01	2014/04/02
68818	Credit Suisse AG – Sands China R Bear CBBC Aug 2014 A	2014/04/01	2014/04/02
67718	UBS AG – HSI R Bear CBBC Aug 2014 F	2014/04/01	2014/04/02
67673	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 Z	2014/04/01	2014/04/02
67155	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 Q	2014/04/01	2014/04/02
67876	UBS AG – HSI R Bear CBBC Aug 2014 H	2014/04/01	2014/04/02
67134	Credit Suisse AG – HSI R Bear CBBC Jun 2014 M	2014/04/01	2014/04/02
67435	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 B	2014/04/01	2014/04/02
68639	HK Bank – Ping An R Bear CBBC Nov 2014 B	2014/04/01	2014/04/02
69000	Credit Suisse AG – HSI R Bear CBBC Jul 2014 T	2014/04/01	2014/04/02
67324	UBS AG – HSI R Bear CBBC Jun 2014 I	2014/04/01	2014/04/02
67154	UBS AG – HSI R Bear CBBC Jun 2014 F	2014/04/01	2014/04/02
67401	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 H	2014/04/01	2014/04/02
67645	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 U	2014/04/01	2014/04/02
67330	UBS AG – HSI R Bear CBBC Jul 2014 W	2014/04/01	2014/04/02
67151	UBS AG – HSI R Bear CBBC Jun 2014 Y	2014/04/01	2014/04/02
67650	Credit Suisse AG – HSI R Bear CBBC Jul 2014 H	2014/04/01	2014/04/02
67335	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Q	2014/04/01	2014/04/02
67336	Credit Suisse AG – HSI R Bear CBBC Jul 2014 P	2014/04/01	2014/04/02
67156	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 R	2014/04/01	2014/04/02
67003	UBS AG – Cheung Kong R Bear CBBC Oct 2014 A	2014/04/02	2014/04/03
66230	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 L	2014/04/02	2014/04/03
65303	HK Bank – Cheung Kong R Bear CBBC Nov 2014 A	2014/04/02	2014/04/03
67172	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 T	2014/04/02	2014/04/03
67674	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 A	2014/04/02	2014/04/03
66310	UBS AG – HSI R Bear CBBC Jun 2014 V	2014/04/02	2014/04/03
67276	HK Bank – HSI R Bear CBBC Dec 2014 B	2014/04/02	2014/04/03
67107	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 G	2014/04/02	2014/04/03
68969	HK Bank – SHK Ppt R Bear CBBC Jan 2015 A	2014/04/02	2014/04/03
66209	Credit Suisse AG – HSI R Bear CBBC Jun 2014 T	2014/04/02	2014/04/03
67106	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 P	2014/04/02	2014/04/03
67997	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Feb 2015 A	2014/04/02	2014/04/03
69039	UBS AG – TCH R Bear CBBC Oct 2014 H	2014/04/02	2014/04/03
69041	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 F	2014/04/02	2014/04/03
69043	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14W	2014/04/02	2014/04/03
67045	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 C	2014/04/02	2014/04/03
67017	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 Z	2014/04/02	2014/04/03
69061	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 D	2014/04/02	2014/04/03
68662	HK Bank – HKEx R Bear CBBC Mar 2015 A	2014/04/02	2014/04/03
63931	HK Bank – Cheung Kong R Bear CBBC Apr 2014 B	2014/04/02	2014/04/03
66276	HK Bank – HSI R Bear CBBC Sep 2014 Q	2014/04/02	2014/04/03
69062	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 E	2014/04/02	2014/04/03
67098	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 O	2014/04/02	2014/04/03
66504	Credit Suisse AG – HSI R Bear CBBC Aug 2014 X	2014/04/02	2014/04/03
67079	Credit Suisse AG – HSI R Bear CBBC Jun 2014 Z	2014/04/02	2014/04/03
67450	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun 14E	2014/04/02	2014/04/03
67730	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 C	2014/04/02	2014/04/03
66420	Credit Suisse AG – HSI R Bear CBBC Jun 2014 V	2014/04/02	2014/04/03
66424	UBS AG – HSI R Bear CBBC Jun 2014 X	2014/04/02	2014/04/03
68797	HK Bank – A50 R Bear CBBC Apr 2015 A	2014/04/02	2014/04/03

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66448	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 F	2014/04/02	2014/04/03
69065	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 P	2014/04/02	2014/04/03
66498	UBS AG – HSI R Bear CBBC Jul 2014 T	2014/04/02	2014/04/03
69011	HK Bank – HSI R Bear CBBC Nov 2014 K	2014/04/02	2014/04/03
69066	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 F	2014/04/02	2014/04/03
66256	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 U	2014/04/02	2014/04/03
69006	HK Bank – TCH R Bear CBBC Dec 2014 D	2014/04/02	2014/04/03
66236	UBS AG – HSI R Bear CBBC Jul 2014 R	2014/04/02	2014/04/03
69020	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 K	2014/04/02	2014/04/03
69021	Credit Suisse AG – HSI R Bear CBBC Jul 2014 U	2014/04/02	2014/04/03
69022	Credit Suisse AG – HSI R Bear CBBC Aug 2014 H	2014/04/02	2014/04/03
66311	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Z	2014/04/02	2014/04/03
69029	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14V	2014/04/02	2014/04/03
69024	UBS AG – HSI R Bear CBBC Aug 2014 K	2014/04/02	2014/04/03
69026	UBS AG – HSI R Bear CBBC Jul 2014 P	2014/04/02	2014/04/03
69023	Credit Suisse AG – HSI R Bear CBBC Jul 2014 K	2014/04/02	2014/04/03
69028	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14U	2014/04/02	2014/04/03
69104	UBS AG – HSI R Bear CBBC Jul 2014 Q	2014/04/03	2014/04/04
66480	HK Bank – COVS R Bear CBBC Dec 2014 A	2014/04/03	2014/04/04
69106	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 D	2014/04/03	2014/04/04
69110	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 G	2014/04/03	2014/04/04
67255	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 A	2014/04/03	2014/04/04
66233	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 O	2014/04/03	2014/04/04
66497	UBS AG – HSI R Bear CBBC Jul 2014 S	2014/04/03	2014/04/04
67465	Credit Suisse AG – A50 China R Bear CBBC Sep 2014 A	2014/04/03	2014/04/04
69103	UBS AG – HSI R Bear CBBC Aug 2014 L	2014/04/03	2014/04/04
66176	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 J	2014/04/03	2014/04/04
66235	UBS AG – HSI R Bear CBBC Jun 2014 U	2014/04/03	2014/04/04
66496	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 P	2014/04/03	2014/04/04
66215	Credit Suisse AG – HSI R Bear CBBC Jun 2014 U	2014/04/03	2014/04/04
69082	UBS AG – TCH R Bear CBBC Aug 2014 H	2014/04/03	2014/04/04
66683	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 A	2014/04/03	2014/04/04
66312	Credit Suisse AG – HSI R Bear CBBC Jul 2014 E	2014/04/03	2014/04/04
66330	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 V	2014/04/03	2014/04/04
69067	HK Bank – HSI R Bear CBBC Oct 2014 R	2014/04/03	2014/04/04
69070	HK Bank – HSI R Bear CBBC Dec 2014 G	2014/04/03	2014/04/04
66334	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 V	2014/04/03	2014/04/04
66183	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 F	2014/04/03	2014/04/04
69075	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 T	2014/04/03	2014/04/04
69102	Credit Suisse AG – HSI R Bear CBBC Aug 2014 R	2014/04/03	2014/04/04
69091	UBS AG – TCH R Bear CBBC Jul 2014 G	2014/04/03	2014/04/04
66275	HK Bank – HSI R Bear CBBC Oct 2014 M	2014/04/03	2014/04/04
66542	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 G	2014/04/03	2014/04/04
69097	Credit Suisse AG – TCH R Bear CBBC Aug 2014 A	2014/04/03	2014/04/04
69099	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14X	2014/04/03	2014/04/04
69100	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14Y	2014/04/03	2014/04/04
69101	Credit Suisse AG – HSI R Bear CBBC Aug 2014 I	2014/04/03	2014/04/04
66336	UBS AG – HSI R Bear CBBC Jun 2014 W	2014/04/03	2014/04/04
66995	HK Bank – CNBM R Bear CBBC Nov 2014 B	2014/04/03	2014/04/04
67745	Citigroup Global Mkt H Inc. – Cheung Kong R Bear CBBC Feb15B	2014/04/03	2014/04/04
67064	Credit Suisse AG – HSI R Bear CBBC Jun 2014 Y	2014/04/03	2014/04/04
66721	UBS AG – HSI R Bear CBBC Jul 2014 V	2014/04/03	2014/04/04
67680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 B	2014/04/03	2014/04/04
66696	Credit Suisse AG – HSI R Bear CBBC Jun 2014 F	2014/04/03	2014/04/04
62178	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Nov 2014 A	2014/04/03	2014/04/04
67086	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 A	2014/04/03	2014/04/04
62242	HK Bank – AIA R Bull CBBC Apr 2014 A	2014/04/04	2014/04/08
68156	HK Bank – Sands China R Bull CBBC Apr 2014 B	2014/04/04	2014/04/08
64574	UBS AG – CC Bank R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
61829	HK Bank – TCH R Bull CBBC Apr 2014 A	2014/04/04	2014/04/08
67127	HK Bank – AIA R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
65418	UBS AG – China Life R Bear CBBC Apr 2014 B	2014/04/04	2014/04/08
67036	HK Bank – CNBM R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
63327	UBS AG – China Mobile R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
62796	HK Bank – A50 R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
66027	UBS AG – HSBC R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
61908	UBS AG – A50 China R Bull CBBC Apr 2014 A	2014/04/04	2014/04/08
69160	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14E	2014/04/04	2014/04/07
61327	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Nov 2014 A	2014/04/04	2014/04/07
60863	UBS AG – HKEx R Bear CBBC Jul 2014 C	2014/04/04	2014/04/07
68443	UBS AG – Ping An R Bear CBBC Apr 2014 D	2014/04/04	2014/04/08
62815	HK Bank – Ping An R Bull CBBC Apr 2014 C	2014/04/04	2014/04/08
66794	HK Bank – China Mobile R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
69130	Credit Suisse AG – HSI R Bear CBBC Jul 2014 O	2014/04/04	2014/04/07
69153	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 G	2014/04/04	2014/04/07
69152	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 J	2014/04/04	2014/04/07
69136	UBS AG – HSI R Bear CBBC Sep 2014 C	2014/04/04	2014/04/07
66240	HK Bank – Evergrande R Bull CBBC Apr 2014 A	2014/04/04	2014/04/08
69135	UBS AG – HSI R Bear CBBC Jul 2014 B	2014/04/04	2014/04/07
69132	Credit Suisse AG – HSI R Bear CBBC Jul 2014 F	2014/04/04	2014/04/07
69131	Credit Suisse AG – HSI R Bear CBBC Jul 2014 L	2014/04/04	2014/04/07
69126	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14Z	2014/04/04	2014/04/07
69125	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 J	2014/04/04	2014/04/07
69123	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 H	2014/04/04	2014/04/07
69122	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 I	2014/04/04	2014/04/07
69182	UBS AG – TCH R Bull CBBC Oct 2014 D	2014/04/04	2014/04/07
66807	HK Bank – PetCh R Bear CBBC Nov 2014 A	2014/04/04	2014/04/07

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63076	HK Bank – HKEx R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
65429	UBS AG – CSOP A50 ETF R Bear CBBC Apr 2014 A	2014/04/04	2014/04/08
68249	Credit Suisse AG – TCH R Bull CBBC Oct 2014 F	2014/04/07	2014/04/08
69207	Credit Suisse AG – HSI R Bear CBBC Aug 2014 S	2014/04/07	2014/04/08
69197	Credit Suisse AG – HSI R Bear CBBC Jul 2014 I	2014/04/07	2014/04/08
66756	HK Bank – TCH R Bull CBBC Jun 2015 A	2014/04/07	2014/04/08
60579	Standard Chartered Bank – TCH R Bull CBBC Sep 2014 C	2014/04/07	2014/04/08
69211	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 M	2014/04/07	2014/04/08
69214	UBS AG – HSI R Bear CBBC Jul 2014 K	2014/04/07	2014/04/08
60854	UBS AG – TCH R Bull CBBC Jul 2014 O	2014/04/07	2014/04/08
60848	UBS AG – TCH R Bull CBBC Aug 2014 D	2014/04/07	2014/04/08
60915	HK Bank – TCH R Bull CBBC Oct 2014 I	2014/04/07	2014/04/08
69198	UBS AG – HSI R Bear CBBC Jul 2014 F	2014/04/07	2014/04/08
69236	UBS AG – TCH R Bull CBBC Sep 2014 G	2014/04/07	2014/04/08
61637	HK Bank – TCH R Bull CBBC Nov 2014 D	2014/04/07	2014/04/08
69196	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/04/07	2014/04/08
60635	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Aug 2014 A	2014/04/07	2014/04/08
62182	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 A	2014/04/07	2014/04/08
69194	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 F	2014/04/07	2014/04/08
67260	Citigroup Global Mkt H Inc.-Minsheng Bank R Bear CBBC Mar15A	2014/04/08	2014/04/09
69312	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 E	2014/04/08	2014/04/09
69311	UBS AG – HSI R Bull CBBC Dec 2014 F	2014/04/08	2014/04/09
69307	Credit Suisse AG – HSI R Bull CBBC Oct 2014 S	2014/04/08	2014/04/09
69306	Credit Suisse AG – HSI R Bull CBBC Oct 2014 K	2014/04/08	2014/04/09
64870	UBS AG – SHK Ppt R Bear CBBC Oct 2014 A	2014/04/08	2014/04/09
69305	UBS AG – HSI R Bear CBBC Jul 2014 I	2014/04/08	2014/04/09
68995	UBS AG – China Mobile R Bear CBBC Aug 2014 E	2014/04/08	2014/04/09
69300	Credit Suisse AG – HSI R Bear CBBC Aug 2014 T	2014/04/08	2014/04/09
69254	Credit Suisse AG – HSI R Bear CBBC Jul 2014 H	2014/04/08	2014/04/09
60557	UBS AG – HSCEI R Bear CBBC May 2014 G	2014/04/08	2014/04/09
66467	HK Bank – China Shenhua R Bear CBBC Oct 2014 A	2014/04/08	2014/04/09
67275	Citigroup Global Mkt H Inc.-Minsheng Bank R Bear CBBC Mar15B	2014/04/08	2014/04/09
69242	HK Bank – TCH R Bull CBBC Dec 2014 A	2014/04/08	2014/04/09
68830	Standard Chartered Bank – ICBC R Bear CBBC Sep 2014 A	2014/04/08	2014/04/09
69243	HK Bank – TCH R Bull CBBC Dec 2014 B	2014/04/08	2014/04/09
69251	UBS AG – HSI R Bear CBBC Aug 2014 M	2014/04/08	2014/04/09
69253	Credit Suisse AG – HSI R Bear CBBC Jul 2014 J	2014/04/08	2014/04/09
67995	Citigroup Global Mkt H Inc. – CC Bank R Bear CBBC Feb 2015 A	2014/04/08	2014/04/09
69255	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Q	2014/04/08	2014/04/09
69258	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 A	2014/04/08	2014/04/09
69259	Credit Suisse AG – TCH R Bull CBBC Nov 2014 C	2014/04/08	2014/04/09
68812	HK Bank – China Mobile R Bear CBBC May 2015 A	2014/04/08	2014/04/09
69261	Credit Suisse AG – TCH R Bull CBBC Nov 2014 D	2014/04/08	2014/04/09
69262	Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2014 C	2014/04/08	2014/04/09
69268	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14H	2014/04/08	2014/04/09
69252	UBS AG – HSI R Bear CBBC Aug 2014 N	2014/04/08	2014/04/09
67459	UBS AG – Minsheng Bank R Bear CBBC Aug 2014 B	2014/04/08	2014/04/09
65022	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Dec 14 A	2014/04/08	2014/04/09
68631	HK Bank – ICBC R Bear CBBC Apr 2015 A	2014/04/08	2014/04/09
60225	HK Bank – HSCEI R Bear CBBC Sep 2014 D	2014/04/08	2014/04/09
66678	UBS AG – China Shenhua R Bear CBBC Apr 2015 A	2014/04/08	2014/04/09
67755	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Feb15A	2014/04/08	2014/04/09
66673	UBS AG – BOCL R Bear CBBC Nov 2014 A	2014/04/08	2014/04/09
69297	UBS AG – COVS R Bear CBBC Nov 2014 A	2014/04/09	2014/04/10
68678	Credit Suisse AG – HSI R Bear CBBC Sep 2014 B	2014/04/09	2014/04/10
65828	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 N	2014/04/09	2014/04/10
66234	UBS AG – HSI R Bear CBBC Jun 2014 T	2014/04/09	2014/04/10
66949	Standard Chartered Bank – Ping An R Bear CBBC Jul 2014 C	2014/04/09	2014/04/10
66229	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 K	2014/04/09	2014/04/10
65848	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 S	2014/04/09	2014/04/10
69319	HK Bank – TCH R Bull CBBC Nov 2014 O	2014/04/09	2014/04/10
65242	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jun 2014 C	2014/04/09	2014/04/10
65454	UBS AG – HSI R Bear CBBC Jun 2014 M	2014/04/09	2014/04/10
69320	HK Bank – TCH R Bull CBBC Nov 2014 P	2014/04/09	2014/04/10
66022	UBS AG – HSI R Bear CBBC Jun 2014 N	2014/04/09	2014/04/10
66227	Credit Suisse AG – HSI R Bear CBBC Jun 2014 E	2014/04/09	2014/04/10
66712	UBS AG – HSI R Bear CBBC Jul 2014 U	2014/04/09	2014/04/10
69054	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 L	2014/04/09	2014/04/10
66273	HK Bank – HSI R Bear CBBC Nov 2014 D	2014/04/09	2014/04/10
66680	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 M	2014/04/09	2014/04/10
66384	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 W	2014/04/09	2014/04/10
68444	Citigroup Global Mkt H Inc. – CNOOC R Bear CBBC Mar 2015 B	2014/04/09	2014/04/10
65727	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 E	2014/04/09	2014/04/10
66590	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 H	2014/04/09	2014/04/10
66109	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 T	2014/04/09	2014/04/10
68263	UBS AG – HSI R Bear CBBC Sep 2014 B	2014/04/09	2014/04/10
67856	Standard Chartered Bank – HKEx R Bear CBBC Jul 2014 A	2014/04/09	2014/04/10
67709	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 C	2014/04/09	2014/04/10
67208	HK Bank – HKEx R Bear CBBC Aug 2014 A	2014/04/09	2014/04/10
66060	Credit Suisse AG – HSI R Bear CBBC Jul 2014 R	2014/04/09	2014/04/10
66634	HK Bank – Sinopec Corp R Bear CBBC Oct 2014 B	2014/04/09	2014/04/10
69040	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 E	2014/04/09	2014/04/10
66744	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 Y	2014/04/09	2014/04/10
67175	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 U	2014/04/09	2014/04/10
65638	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 C	2014/04/09	2014/04/10
69327	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 E	2014/04/09	2014/04/10
66506	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Y	2014/04/09	2014/04/10

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69387	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 D	2014/04/09	2014/04/10
66423	Credit Suisse AG – HSI R Bear CBBC Jun 2014 W	2014/04/09	2014/04/10
69328	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul 14I	2014/04/09	2014/04/10
66613	UBS AG – HSCEI R Bear CBBC Apr 2014 H	2014/04/09	2014/04/10
69151	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 F	2014/04/09	2014/04/10
66175	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 E	2014/04/09	2014/04/10
69372	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jan 15B	2014/04/09	2014/04/10
66698	Credit Suisse AG – HSI R Bear CBBC Jun 2014 X	2014/04/09	2014/04/10
69385	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 B	2014/04/09	2014/04/10
69386	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 C	2014/04/09	2014/04/10
66168	J P Morgan SP BV – HSI R Bear CBBC Jun 2014 D	2014/04/09	2014/04/10
66012	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 G	2014/04/09	2014/04/10
69341	UBS AG – TCH R Bull CBBC Oct 2014 E	2014/04/09	2014/04/10
69388	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 E	2014/04/09	2014/04/10
69340	UBS AG – TCH R Bull CBBC Aug 2014 I	2014/04/09	2014/04/10
68626	HK Bank – ABC R Bear CBBC May 2015 A	2014/04/09	2014/04/10
69333	UBS AG – HSI R Bear CBBC Jul 2014 J	2014/04/09	2014/04/10
69331	Credit Suisse AG – HSI R Bear CBBC Jul 2014 T	2014/04/09	2014/04/10
66653	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 Q	2014/04/09	2014/04/10
69330	Credit Suisse AG – HSI R Bear CBBC Jul 2014 P	2014/04/09	2014/04/10
69389	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 F	2014/04/09	2014/04/10
66124	HK Bank – HSI R Bear CBBC Oct 2014 L	2014/04/09	2014/04/10
67433	Credit Suisse AG – HSI R Bear CBBC May 2014 M	2014/04/10	2014/04/11
67445	UBS AG – HSI R Bear CBBC Apr 2014 O	2014/04/10	2014/04/11
67443	Credit Suisse AG – HSI R Bear CBBC May 2014 N	2014/04/10	2014/04/11
68894	Credit Suisse AG – HSI R Bear CBBC Sep 2014 H	2014/04/10	2014/04/11
67895	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 M	2014/04/10	2014/04/11
67244	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 Q	2014/04/10	2014/04/11
65285	Credit Suisse AG – A50 China R Bear CBBC Aug 2014 A	2014/04/10	2014/04/11
67332	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 T	2014/04/10	2014/04/11
62175	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 I	2014/04/10	2014/04/11
69223	UBS AG – HSI R Bear CBBC Jul 2014 M	2014/04/10	2014/04/11
66066	Credit Suisse AG – HSI R Bear CBBC Jul 2014 S	2014/04/10	2014/04/11
69230	UBS AG – HSI R Bear CBBC Jul 2014 H	2014/04/10	2014/04/11
65984	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 S	2014/04/10	2014/04/11
68018	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May14 B	2014/04/10	2014/04/11
66369	UBS AG – HSI R Bear CBBC Jun 2014 L	2014/04/10	2014/04/11
62622	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 K	2014/04/10	2014/04/11
62179	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Dec 2014 A	2014/04/10	2014/04/11
69235	UBS AG – TCH R Bear CBBC Aug 2014 I	2014/04/10	2014/04/11
67478	UBS AG – HSI R Bear CBBC Apr 2014 T	2014/04/10	2014/04/11
67229	Credit Suisse AG – HSI R Bear CBBC Apr 2014 J	2014/04/10	2014/04/11
67509	Credit Suisse AG – HSI R Bear CBBC Apr 2014 M	2014/04/10	2014/04/11
67230	Credit Suisse AG – HSI R Bear CBBC Apr 2014 L	2014/04/10	2014/04/11
67476	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 N	2014/04/10	2014/04/11
69121	HK Bank – TCH R Bear CBBC Jan 2015 C	2014/04/10	2014/04/11
67477	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 O	2014/04/10	2014/04/11
69120	HK Bank – TCH R Bear CBBC Jan 2015 B	2014/04/10	2014/04/11
69119	HK Bank – TCH R Bear CBBC Jan 2015 A	2014/04/10	2014/04/11
69177	UBS AG – TCH R Bear CBBC Sep 2014 L	2014/04/10	2014/04/11
65163	UBS AG – CSOP A50 ETF R Bear CBBC Oct 2014 A	2014/04/10	2014/04/11
67302	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 N	2014/04/10	2014/04/11
67475	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 Z	2014/04/10	2014/04/11
67452	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 K	2014/04/10	2014/04/11
67227	Credit Suisse AG – HSI R Bear CBBC Apr 2014 N	2014/04/10	2014/04/11
60224	HK Bank – HSI R Bear CBBC Dec 2014 A	2014/04/10	2014/04/11
67226	UBS AG – HSI R Bear CBBC May 2014 I	2014/04/10	2014/04/11
67225	UBS AG – HSI R Bear CBBC Apr 2014 R	2014/04/10	2014/04/11
69191	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 N	2014/04/10	2014/04/11
67484	UBS AG – HSI R Bear CBBC Apr 2014 X	2014/04/10	2014/04/11
69192	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 O	2014/04/10	2014/04/11
67453	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 S	2014/04/10	2014/04/11
67177	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 V	2014/04/10	2014/04/11
67669	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 G	2014/04/10	2014/04/11
65887	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 V	2014/04/10	2014/04/11
66733	Citigroup Global Mkt H Inc. – Sinopec Corp R Bear CBBC Mar15A	2014/04/10	2014/04/11
66014	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2014 I	2014/04/10	2014/04/11
63162	HK Bank – Sinopec Corp R Bear CBBC May 2014 A	2014/04/10	2014/04/11
69111	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 H	2014/04/10	2014/04/11
68184	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 Y	2014/04/10	2014/04/11
68799	HK Bank – CSOP A50 ETF R Bear CBBC Apr 2015 A	2014/04/10	2014/04/11
60672	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Sep 14 B	2014/04/10	2014/04/11
67715	HK Bank – HSI R Bear CBBC Sep 2014 I	2014/04/10	2014/04/11
60355	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 F	2014/04/10	2014/04/11
65162	UBS AG – A50 China R Bear CBBC Oct 2014 A	2014/04/10	2014/04/11
69416	UBS AG – TCH R Bear CBBC Aug 2014 J	2014/04/10	2014/04/11
69329	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2014 G	2014/04/10	2014/04/11
66472	HK Bank – HSCEI R Bear CBBC Aug 2014 D	2014/04/10	2014/04/11
67556	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 O	2014/04/10	2014/04/11
69426	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 J	2014/04/10	2014/04/11
67840	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2014 C	2014/04/10	2014/04/11
66548	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 Y	2014/04/10	2014/04/11
69427	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 K	2014/04/10	2014/04/11
67921	HK Bank – HSI R Bear CBBC Oct 2014 C	2014/04/10	2014/04/11
67678	Credit Suisse AG – HSI R Bear CBBC Jul 2014 N	2014/04/10	2014/04/11
65396	Standard Chartered Bank – A50 China R Bear CBBC Sep 2014 A	2014/04/10	2014/04/11
68354	UBS AG – Sands China R Bear CBBC Jun 2014 A	2014/04/10	2014/04/11

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69399	UBS AG – HSI R Bear CBBC Aug 2014 R	2014/04/10	2014/04/11
67396	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 R	2014/04/10	2014/04/11
66983	UBS AG – China Mobile R Bear CBBC Sep 2014 A	2014/04/10	2014/04/11
69383	Citigroup Global Mkt H Inc. – China Mobile R Bear CBBC Jan15A	2014/04/10	2014/04/11
65047	Standard Chartered Bank – Minsheng Bank R Bear CBBC Aug 14 A	2014/04/10	2014/04/11
68352	UBS AG – China Life R Bear CBBC Jul 2014 B	2014/04/10	2014/04/11
64893	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 D	2014/04/10	2014/04/11
66425	Credit Suisse AG – HSI R Bear CBBC Jun 2014 J	2014/04/10	2014/04/11
67852	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 F	2014/04/10	2014/04/11
69403	Credit Suisse AG – HSI R Bear CBBC Jul 2014 K	2014/04/10	2014/04/11
67641	Credit Suisse AG – HSI R Bear CBBC Jul 2014 M	2014/04/10	2014/04/11
69404	Credit Suisse AG – HSI R Bear CBBC Jul 2014 U	2014/04/10	2014/04/11
69407	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 B	2014/04/10	2014/04/11
66549	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 Z	2014/04/10	2014/04/11
67717	HK Bank – HSI R Bear CBBC Aug 2014 N	2014/04/10	2014/04/11
67638	UBS AG – HSI R Bear CBBC Apr 2014 Q	2014/04/10	2014/04/11
60278	UBS AG – Sinopec Corp R Bear CBBC Oct 2014 A	2014/04/10	2014/04/11
66518	UBS AG – A50 China R Bear CBBC Nov 2014 A	2014/04/10	2014/04/11
68137	UBS AG – Minsheng Bank R Bear CBBC Aug 2014 A	2014/04/10	2014/04/11
69244	HK Bank – Sands China R Bear CBBC Dec 2014 B	2014/04/10	2014/04/11
69245	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 H	2014/04/10	2014/04/11
64956	HK Bank – A50 R Bear CBBC Nov 2014 C	2014/04/10	2014/04/11
69400	UBS AG – HSI R Bear CBBC Sep 2014 F	2014/04/10	2014/04/11
66530	UBS AG – Petrochina R Bear CBBC Aug 2014 A	2014/04/10	2014/04/11
69476	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 K	2014/04/10	2014/04/11
67400	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 S	2014/04/10	2014/04/11
69475	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 J	2014/04/10	2014/04/11
69283	UBS AG – HSI R Bear CBBC Aug 2014 Q	2014/04/10	2014/04/11
69439	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 G	2014/04/10	2014/04/11
67885	UBS AG – HSI R Bear CBBC May 2014 P	2014/04/10	2014/04/11
65025	Citigroup Global Mkt H Inc. – CSOP A50 ETF R Bear CBBC Dec14A	2014/04/10	2014/04/11
68183	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 X	2014/04/10	2014/04/11
68738	UBS AG – HSBC R Bear CBBC Sep 2014 A	2014/04/10	2014/04/11
67337	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 H	2014/04/10	2014/04/11
69289	UBS AG – HSCEI R Bear CBBC Aug 2014 B	2014/04/10	2014/04/11
65869	UBS AG – HSCEI R Bear CBBC Apr 2014 G	2014/04/10	2014/04/11
60495	HK Bank – CC Bank R Bear CBBC Apr 2014 A	2014/04/11	2014/04/15
69539	UBS AG – HSI R Bear CBBC Aug 2014 S	2014/04/11	2014/04/14
69541	Credit Suisse AG – HSI R Bear CBBC Jul 2014 E	2014/04/11	2014/04/14
62802	HK Bank – CSOP A50 ETF R Bear CBBC Apr 2014 A	2014/04/11	2014/04/15
61680	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Nov 2014 A	2014/04/11	2014/04/14
67797	HK Bank – PetCh R Bear CBBC Apr 2014 A	2014/04/11	2014/04/15
69542	Credit Suisse AG – HSI R Bear CBBC Jul 2014 F	2014/04/11	2014/04/14
69540	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Z	2014/04/11	2014/04/14
60462	HK Bank – Galaxy Ent R Bull CBBC Apr 2014 A	2014/04/11	2014/04/15
69535	Standard Chartered Bank – HKEx R Bear CBBC Jul 2014 B	2014/04/11	2014/04/14
62249	HK Bank – TCH R Bull CBBC Apr 2014 B	2014/04/11	2014/04/15
61687	HK Bank – AIA R Bear CBBC Oct 2014 A	2014/04/11	2014/04/14
62050	UBS AG – CSOP A50 ETF R Bull CBBC Apr 2014 A	2014/04/11	2014/04/15
61037	UBS AG – Hutchison R Bear CBBC Apr 2014 A	2014/04/11	2014/04/15
69525	UBS AG – TCH R Bear CBBC Sep 2014 N	2014/04/11	2014/04/14
69508	Credit Suisse AG – A50 China R Bear CBBC Aug 2014 C	2014/04/11	2014/04/14
60880	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jun 2014 A	2014/04/11	2014/04/14
65533	HK Bank – HKEx R Bear CBBC Oct 2014 A	2014/04/11	2014/04/14
62227	UBS AG – Ping An R Bull CBBC Apr 2014 A	2014/04/11	2014/04/15
69538	UBS AG – HSI R Bear CBBC Sep 2014 G	2014/04/11	2014/04/14
62905	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Dec 2014 A	2014/04/11	2014/04/14
68636	UBS AG – ICBC R Bear CBBC Apr 2014 A	2014/04/11	2014/04/15
63180	HK Bank – HKEx R Bear CBBC Jun 2014 B	2014/04/11	2014/04/14
63179	HK Bank – HKEx R Bear CBBC Jun 2014 A	2014/04/11	2014/04/14
65286	UBS AG – Ping An R Bear CBBC Apr 2014 E	2014/04/11	2014/04/15
64573	UBS AG – China Mobile R Bear CBBC Apr 2014 C	2014/04/11	2014/04/15
66814	HK Bank – Minsheng Bank R Bear CBBC Oct 2014 B	2014/04/11	2014/04/14
69865	HK Bank – Ping An R Bull CBBC Apr 2014 B	2014/04/11	2014/04/15
68496	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC May 2014 A	2014/04/11	2014/04/14
61519	UBS AG – AIA R Bear CBBC Oct 2014 A	2014/04/11	2014/04/14
60505	UBS AG – CNBM R Bear CBBC Apr 2014 A	2014/04/11	2014/04/15
67044	UBS AG – HKEx R Bear CBBC Jul 2014 B	2014/04/11	2014/04/14
66206	HK Bank – CAM CSI300 R Bull CBBC Apr 2014 A	2014/04/11	2014/04/15
69571	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 S	2014/04/14	2014/04/15
69570	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 R	2014/04/14	2014/04/15
69544	HK Bank – HSI R Bear CBBC Nov 2014 L	2014/04/14	2014/04/15
69526	UBS AG – TCH R Bull CBBC Oct 2014 F	2014/04/14	2014/04/15
69562	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 I	2014/04/14	2014/04/15
69543	HK Bank – HSI R Bear CBBC Dec 2014 H	2014/04/14	2014/04/15
69493	Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2014 D	2014/04/14	2014/04/15
69561	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 H	2014/04/14	2014/04/15
69582	UBS AG – HSI R Bear CBBC Jul 2014 L	2014/04/14	2014/04/15
60881	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jun 2014 B	2014/04/14	2014/04/15
69573	Credit Suisse AG – HSI R Bear CBBC Jul 2014 L	2014/04/14	2014/04/15
62241	HK Bank – SHK Ppt R Bull CBBC Apr 2014 A	2014/04/14	2014/04/16
69554	HK Bank – TCH R Bear CBBC Nov 2014 M	2014/04/14	2014/04/15
69589	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Nov 2014 C	2014/04/14	2014/04/15
69574	Credit Suisse AG – HSI R Bear CBBC Jul 2014 O	2014/04/14	2014/04/15
69581	UBS AG – HSI R Bear CBBC Aug 2014 T	2014/04/14	2014/04/15
67955	UBS AG – HKEx R Bear CBBC Jul 2014 A	2014/04/14	2014/04/15
69560	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 C	2014/04/14	2014/04/15

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69603	Credit Suisse AG – HSI R Bear CBBC Aug 2014 U	2014/04/15	2014/04/16
69605	Credit Suisse AG – HSI R Bear CBBC Aug 2014 V	2014/04/15	2014/04/16
69626	UBS AG – Ping An R Bull CBBC Nov 2014 A	2014/04/15	2014/04/16
69640	UBS AG – HSI R Bear CBBC Aug 2014 U	2014/04/15	2014/04/16
66797	HK Bank – CNOOC R Bear CBBC Dec 2014 B	2014/04/15	2014/04/16
67460	UBS AG – CNOOC R Bear CBBC Jul 2014 A	2014/04/15	2014/04/16
69598	HK Bank – HSI R Bear CBBC Nov 2014 M	2014/04/15	2014/04/16
69597	HK Bank – TCH R Bear CBBC Dec 2014 F	2014/04/15	2014/04/16
69891	Credit Suisse AG – HSI R Bull CBBC Oct 2014 W	2014/04/16	2014/04/17
69679	Credit Suisse AG – HSI R Bear CBBC Jul 2014 H	2014/04/16	2014/04/17
69912	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Jan 2015 B	2014/04/16	2014/04/17
69693	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 G	2014/04/16	2014/04/17
69859	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Y	2014/04/16	2014/04/17
69672	Credit Suisse AG – HSI R Bear CBBC Jul 2014 D	2014/04/16	2014/04/17
69731	UBS AG – HSI R Bear CBBC Oct 2014 B	2014/04/16	2014/04/17
69788	UBS AG – HKEx R Bear CBBC Oct 2014 A	2014/04/16	2014/04/17
69699	UBS AG – HSI R Bear CBBC Jul 2014 X	2014/04/16	2014/04/17
69844	Credit Suisse AG – HSI R Bull CBBC Oct 2014 C	2014/04/16	2014/04/17
69854	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 X	2014/04/16	2014/04/17
69680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 W	2014/04/16	2014/04/17
69885	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/04/16	2014/04/17
69843	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 W	2014/04/16	2014/04/17
69673	Credit Suisse AG – HSI R Bear CBBC Jul 2014 I	2014/04/16	2014/04/17
69777	UBS AG – ICBC R Bull CBBC Nov 2014 A	2014/04/16	2014/04/17
69666	HK Bank – HSCEI R Bull CBBC Nov 2014 E	2014/04/16	2014/04/17
69822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 S	2014/04/16	2014/04/17
69819	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 R	2014/04/16	2014/04/17
69881	UBS AG – HSI R Bull CBBC Nov 2014 M	2014/04/16	2014/04/17
69836	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Z	2014/04/16	2014/04/17
60059	UBS AG – HSCEI R Bull CBBC Nov 2014 H	2014/04/17	2014/04/22
60179	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 Z	2014/04/17	2014/04/22
60126	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/04/17	2014/04/22
60081	UBS AG – TCH R Bull CBBC Nov 2014 D	2014/04/17	2014/04/22
60155	UBS AG – HSI R Bull CBBC Sep 2014 V	2014/04/17	2014/04/22
60159	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Q	2014/04/17	2014/04/22
60190	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 C	2014/04/17	2014/04/22
60157	UBS AG – HSI R Bull CBBC Dec 2014 K	2014/04/17	2014/04/22
60180	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 B	2014/04/17	2014/04/22
69924	HK Bank – HSI R Bull CBBC Dec 2014 J	2014/04/17	2014/04/22
64063	HK Bank – China Mobile R Bear CBBC Apr 2014 C	2014/04/17	2014/04/23
60123	Credit Suisse AG – HSI R Bull CBBC Nov 2014 O	2014/04/17	2014/04/22
60163	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 I	2014/04/17	2014/04/22
60158	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 A	2014/04/17	2014/04/22
69913	HK Bank – HSI R Bull CBBC Nov 2014 V	2014/04/17	2014/04/22
60149	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 B	2014/04/17	2014/04/22
60112	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 G	2014/04/17	2014/04/22
60151	UBS AG – TCH R Bull CBBC Sep 2014 H	2014/04/17	2014/04/22
60145	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 A	2014/04/17	2014/04/22
60114	Credit Suisse AG – HSI R Bull CBBC Nov 2014 B	2014/04/17	2014/04/22
69935	UBS AG – HSI R Bear CBBC Jul 2014 Z	2014/04/17	2014/04/22
69925	Credit Suisse AG – HSI R Bear CBBC Aug 2014 W	2014/04/17	2014/04/22
60156	UBS AG – HSI R Bull CBBC Oct 2014 D	2014/04/17	2014/04/22
60140	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Z	2014/04/17	2014/04/22
60118	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2014/04/17	2014/04/22
60176	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 I	2014/04/17	2014/04/22
60122	Credit Suisse AG – HSI R Bull CBBC Nov 2014 N	2014/04/17	2014/04/22
60226	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Feb15 A	2014/04/17	2014/04/22
60103	Credit Suisse AG – TCH R Bull CBBC Nov 2014 G	2014/04/17	2014/04/22
69962	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Z	2014/04/17	2014/04/22
60071	UBS AG – China Life R Bull CBBC Nov 2014 A	2014/04/17	2014/04/22
60152	UBS AG – HSI R Bull CBBC Dec 2014 J	2014/04/17	2014/04/22
60104	Credit Suisse AG – China Mobile R Bull CBBC Nov 2014 E	2014/04/17	2014/04/22
60178	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 Y	2014/04/17	2014/04/22
62885	UBS AG – China Mobile R Bear CBBC Apr 2014 E	2014/04/17	2014/04/23
60164	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 J	2014/04/17	2014/04/22
60191	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 D	2014/04/17	2014/04/22
60307	HK Bank – TCH R Bull CBBC Dec 2014 F	2014/04/22	2014/04/23
60305	HK Bank – TCH R Bull CBBC Dec 2014 E	2014/04/22	2014/04/23
60338	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Y	2014/04/22	2014/04/23
60139	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 D	2014/04/22	2014/04/23
60342	UBS AG – HSI R Bull CBBC Sep 2014 U	2014/04/22	2014/04/23
60339	Credit Suisse AG – HSI R Bull CBBC Dec 2014 J	2014/04/22	2014/04/23
60289	HK Bank – HSI R Bull CBBC Oct 2014 T	2014/04/22	2014/04/23
60357	UBS AG – HSI R Bull CBBC Nov 2014 O	2014/04/22	2014/04/23
69761	UBS AG – A50 China R Bull CBBC Jan 2015 D	2014/04/22	2014/04/23
60306	HK Bank – TCH R Bull CBBC Nov 2014 Q	2014/04/22	2014/04/23
60347	UBS AG – HSI R Bull CBBC Oct 2014 E	2014/04/22	2014/04/23
60263	HK Bank – HSI R Bull CBBC Nov 2014 W	2014/04/22	2014/04/23
69762	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2015 C	2014/04/22	2014/04/23
60337	Credit Suisse AG – HSI R Bull CBBC Dec 2014 M	2014/04/22	2014/04/23
60200	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Feb 15 D	2014/04/22	2014/04/23
60292	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2015 G	2014/04/22	2014/04/23
69004	UBS AG – Geely Auto R Bull CBBC Jul 2015 B	2014/04/22	2014/04/23
60417	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Feb15 B	2014/04/22	2014/04/23
69668	HK Bank – A50 R Bull CBBC Jan 2015 K	2014/04/22	2014/04/23
60062	UBS AG – A50 China R Bull CBBC Feb 2015 D	2014/04/22	2014/04/23
60193	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Feb15D	2014/04/22	2014/04/23

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60293	HK Bank – A50 R Bull CBBC Nov 2014 I	2014/04/22	2014/04/23
60348	UBS AG – HSI R Bull CBBC Dec 2014 I	2014/04/22	2014/04/23
60290	HK Bank – HSI R Bull CBBC Dec 2014 K	2014/04/22	2014/04/23
60107	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 F	2014/04/22	2014/04/23
69294	UBS AG – China Life R Bull CBBC Oct 2014 A	2014/04/22	2014/04/23
60398	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 R	2014/04/22	2014/04/23
60377	UBS AG – China Mobile R Bull CBBC Dec 2014 B	2014/04/22	2014/04/23
60328	HK Bank – A50 R Bull CBBC Nov 2014 J	2014/04/22	2014/04/23
69438	UBS AG – HSI R Bull CBBC Dec 2014 G	2014/04/23	2014/04/24
69393	HK Bank – COVS R Bull CBBC Jan 2015 A	2014/04/23	2014/04/24
69434	Credit Suisse AG – HSI R Bull CBBC Nov 2014 H	2014/04/23	2014/04/24
69491	UBS AG – HSI R Bull CBBC Dec 2014 H	2014/04/23	2014/04/24
69437	UBS AG – HSI R Bull CBBC Oct 2014 O	2014/04/23	2014/04/24
69248	HK Bank – China Life R Bull CBBC Dec 2014 A	2014/04/23	2014/04/24
69354	Credit Suisse AG – HSI R Bull CBBC Dec 2014 D	2014/04/23	2014/04/24
60367	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 M	2014/04/23	2014/04/24
69433	Credit Suisse AG – HSI R Bull CBBC Nov 2014 R	2014/04/23	2014/04/24
60552	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/04/23	2014/04/24
69811	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Y	2014/04/23	2014/04/24
60562	UBS AG – HSI R Bull CBBC Sep 2014 R	2014/04/23	2014/04/24
69470	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 G	2014/04/23	2014/04/24
69527	UBS AG – COVS R Bull CBBC Sep 2014 B	2014/04/23	2014/04/24
60529	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 A	2014/04/23	2014/04/24
69642	UBS AG – HSI R Bull CBBC Oct 2014 K	2014/04/23	2014/04/24
60465	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 O	2014/04/23	2014/04/24
69593	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Nov 2014 D	2014/04/23	2014/04/24
65691	HK Bank – Sands China R Bull CBBC Apr 2014 A	2014/04/23	2014/04/25
69784	UBS AG – China Mobile R Bull CBBC Nov 2014 E	2014/04/23	2014/04/24
69659	HK Bank – ABC R Bull CBBC Jan 2015 A	2014/04/23	2014/04/24
60477	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2015 D	2014/04/23	2014/04/24
68938	UBS AG – Ping An R Bull CBBC Oct 2014 G	2014/04/23	2014/04/24
69624	Credit Suisse AG – HSI R Bull CBBC Sep 2014 R	2014/04/23	2014/04/24
69565	UBS AG – HSI R Bull CBBC Sep 2014 Y	2014/04/23	2014/04/24
60137	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 C	2014/04/23	2014/04/24
69524	Credit Suisse AG – HSI R Bull CBBC Oct 2014 E	2014/04/23	2014/04/24
60480	UBS AG – Minsheng Bank R Bull CBBC Nov 2014 B	2014/04/23	2014/04/24
60556	UBS AG – HSI R Bull CBBC Oct 2014 N	2014/04/23	2014/04/24
69660	HK Bank – HSI R Bull CBBC Nov 2014 U	2014/04/23	2014/04/24
60076	UBS AG – ABC R Bull CBBC Aug 2015 A	2014/04/23	2014/04/24
69410	UBS AG – HSCEI R Bull CBBC Dec 2014 G	2014/04/23	2014/04/24
69920	HK Bank – China Mobile R Bull CBBC Jan 2015 D	2014/04/23	2014/04/24
60475	UBS AG – A50 China R Bull CBBC Mar 2015 E	2014/04/23	2014/04/24
69428	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 F	2014/04/23	2014/04/24
69429	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 G	2014/04/23	2014/04/24
69357	UBS AG – HSI R Bull CBBC Nov 2014 D	2014/04/23	2014/04/24
69559	Credit Suisse AG – HSI R Bull CBBC Oct 2014 F	2014/04/23	2014/04/24
60464	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/04/23	2014/04/24
60590	HK Bank – Great Wall Motor R Bull CBBC Dec 2015 C	2014/04/24	2014/04/25
60593	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 B	2014/04/24	2014/04/25
60648	UBS AG – HSI R Bull CBBC Dec 2014 L	2014/04/24	2014/04/25
60177	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 X	2014/04/24	2014/04/25
69241	HK Bank – HSCEI R Bull CBBC Dec 2014 D	2014/04/24	2014/04/25
69546	HK Bank – HSI R Bull CBBC Nov 2014 T	2014/04/24	2014/04/25
63775	Standard Chartered Bank – Ping An R Bull CBBC Nov 2014 A	2014/04/24	2014/04/25
69492	Credit Suisse AG – China Mobile R Bull CBBC Nov 2014 D	2014/04/24	2014/04/25
69348	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14C	2014/04/24	2014/04/25
60586	HK Bank – HKEx R Bull CBBC Oct 2014 B	2014/04/24	2014/04/25
60619	Credit Suisse AG – HSI R Bull CBBC Dec 2014 V	2014/04/24	2014/04/25
60767	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 X	2014/04/25	2014/04/28
60770	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 S	2014/04/25	2014/04/28
60136	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 B	2014/04/25	2014/04/28
60051	UBS AG – HSI R Bull CBBC Feb 2015 H	2014/04/25	2014/04/28
60763	UBS AG – HSI R Bull CBBC Nov 2014 S	2014/04/25	2014/04/28
68844	HK Bank – Minsheng Bank R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
66189	HK Bank – PICC Group R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
60782	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 E	2014/04/25	2014/04/28
69567	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 V	2014/04/25	2014/04/28
69682	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 H	2014/04/25	2014/04/28
64806	UBS AG – China Life R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
60771	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 B	2014/04/25	2014/04/28
69431	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Q	2014/04/25	2014/04/28
60761	UBS AG – HSI R Bull CBBC Nov 2014 P	2014/04/25	2014/04/28
60769	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 P	2014/04/25	2014/04/28
69465	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 D	2014/04/25	2014/04/28
61301	UBS AG – A50 China R Bear CBBC Apr 2014 C	2014/04/25	2014/04/29
60450	HK Bank – HKEx R Bull CBBC Oct 2014 A	2014/04/25	2014/04/28
69464	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 P	2014/04/25	2014/04/28
69436	UBS AG – HSI R Bull CBBC Sep 2014 X	2014/04/25	2014/04/28
60783	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 R	2014/04/25	2014/04/28
60781	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 M	2014/04/25	2014/04/28
69017	UBS AG – A50 China R Bear CBBC Apr 2014 A	2014/04/25	2014/04/29
69545	HK Bank – HSI R Bull CBBC Oct 2014 S	2014/04/25	2014/04/28
60766	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 J	2014/04/25	2014/04/28
69310	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/04/25	2014/04/28
62799	HK Bank – A50 R Bear CBBC Apr 2014 B	2014/04/25	2014/04/29
69349	Credit Suisse AG – HSI R Bull CBBC Dec 2014 B	2014/04/25	2014/04/28
69810	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 W	2014/04/25	2014/04/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69344	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 U	2014/04/25	2014/04/28
62047	UBS AG – A50 China R Bull CBBC Apr 2014 B	2014/04/25	2014/04/29
69031	UBS AG – A50 China R Bull CBBC Mar 2015 D	2014/04/25	2014/04/28
66847	HK Bank – Lenovo R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
60511	UBS AG – HKEx R Bull CBBC Oct 2014 C	2014/04/25	2014/04/28
66849	HK Bank – ABC R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
68835	UBS AG – Huishan Dairy R Bull CBBC Oct 2015 A	2014/04/25	2014/04/28
64066	UBS AG – Sands China R Bear CBBC Apr 2014 A	2014/04/25	2014/04/29
63328	UBS AG – China Mobile R Bear CBBC Apr 2014 B	2014/04/25	2014/04/29
69355	UBS AG – HSI R Bull CBBC Sep 2014 L	2014/04/25	2014/04/28
60376	UBS AG – AIA R Bull CBBC Jun 2015 C	2014/04/25	2014/04/28
69342	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 G	2014/04/25	2014/04/28
69864	HK Bank – Cheung Kong R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
69610	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 H	2014/04/25	2014/04/28
64012	HK Bank – ICBC R Bear CBBC Apr 2014 A	2014/04/25	2014/04/29
63939	HK Bank – China Mobile R Bear CBBC Apr 2014 B	2014/04/25	2014/04/29
69424	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 W	2014/04/25	2014/04/28
69353	Credit Suisse AG – HSI R Bull CBBC Dec 2014 C	2014/04/25	2014/04/28
69272	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 D	2014/04/25	2014/04/28
69267	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14D	2014/04/25	2014/04/28
69621	Credit Suisse AG – HSI R Bull CBBC Sep 2014 K	2014/04/25	2014/04/28
60280	UBS AG – CSOP A50 ETF R Bear CBBC Apr 2014 B	2014/04/25	2014/04/29
67016	HK Bank – Ping An R Bull CBBC Apr 2014 A	2014/04/25	2014/04/29
69620	Credit Suisse AG – HSI R Bull CBBC Sep 2014 J	2014/04/25	2014/04/28
69617	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 K	2014/04/25	2014/04/28
69837	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 L	2014/04/25	2014/04/28
69669	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2015 F	2014/04/25	2014/04/28
69906	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Jan 2015 B	2014/04/25	2014/04/28
60750	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 E	2014/04/25	2014/04/28
69390	HK Bank – HSI R Bull CBBC Nov 2014 R	2014/04/25	2014/04/28
60749	Credit Suisse AG – HSI R Bull CBBC Oct 2014 X	2014/04/25	2014/04/28
69356	UBS AG – HSI R Bull CBBC Oct 2014 I	2014/04/25	2014/04/28
60748	Credit Suisse AG – HSI R Bull CBBC Oct 2014 A	2014/04/25	2014/04/28
60743	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 N	2014/04/25	2014/04/28
60751	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 F	2014/04/25	2014/04/28
60476	HK Bank – CNOOC R Bear CBBC Apr 2014 B	2014/04/25	2014/04/29
60741	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 P	2014/04/25	2014/04/28
68104	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bear CBBC Apr14B	2014/04/25	2014/04/29
68119	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 14 D	2014/04/25	2014/04/29
60134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 A	2014/04/25	2014/04/28
69641	UBS AG – HSI R Bull CBBC Nov 2014 V	2014/04/25	2014/04/28
69667	HK Bank – A50 R Bull CBBC Jan 2015 J	2014/04/25	2014/04/28
62048	UBS AG – A50 China R Bull CBBC Apr 2014 C	2014/04/25	2014/04/29
63165	HK Bank – Cheung Kong R Bear CBBC Apr 2014 A	2014/04/25	2014/04/29
61146	UBS AG – A50 China R Bear CBBC Apr 2014 B	2014/04/25	2014/04/29
69922	HK Bank – Sinopec Corp R Bull CBBC Nov 2014 B	2014/04/25	2014/04/28
65486	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 D	2014/04/28	2014/04/30
62995	UBS AG – HSI R Bear CBBC Apr 2014 Y	2014/04/28	2014/04/30
61269	UBS AG – HSI R Bull CBBC Apr 2014 G	2014/04/28	2014/04/30
68804	HK Bank – HSI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
60419	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 H	2014/04/28	2014/04/29
60666	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 D	2014/04/28	2014/04/30
62883	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
65541	UBS AG – HSI R Bear CBBC Apr 2014 E	2014/04/28	2014/04/30
62841	Credit Suisse AG – HSI R Bull CBBC Apr 2014 V	2014/04/28	2014/04/30
60422	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 I	2014/04/28	2014/04/29
62291	Credit Suisse AG – HSI R Bull CBBC Apr 2014 M	2014/04/28	2014/04/30
63336	Credit Suisse AG – HSI R Bear CBBC Apr 2014 Y	2014/04/28	2014/04/30
68568	HK Bank – HSI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
63312	UBS AG – HSI R Bear CBBC Apr 2014 C	2014/04/28	2014/04/30
62289	Credit Suisse AG – HSI R Bull CBBC Apr 2014 K	2014/04/28	2014/04/30
65534	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 R	2014/04/28	2014/04/30
62553	UBS AG – HSI R Bull CBBC Apr 2014 O	2014/04/28	2014/04/30
65435	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 Z	2014/04/28	2014/04/30
60674	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 E	2014/04/28	2014/04/30
62290	Credit Suisse AG – HSI R Bull CBBC Apr 2014 L	2014/04/28	2014/04/30
62202	UBS AG – HSI R Bull CBBC Apr 2014 M	2014/04/28	2014/04/30
65448	UBS AG – HSI R Bear CBBC Apr 2014 D	2014/04/28	2014/04/30
65441	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 Q	2014/04/28	2014/04/30
62084	Credit Suisse AG – HSI R Bear CBBC Apr 2014 E	2014/04/28	2014/04/30
63090	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 D	2014/04/28	2014/04/30
62651	UBS AG – HSI R Bear CBBC Apr 2014 W	2014/04/28	2014/04/30
64082	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 L	2014/04/28	2014/04/30
65582	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 N	2014/04/28	2014/04/30
64209	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
62216	UBS AG – HSCEI R Bull CBBC Apr 2014 B	2014/04/28	2014/04/30
62070	Credit Suisse AG – HSI R Bear CBBC Apr 2014 C	2014/04/28	2014/04/30
61271	UBS AG – HSI R Bull CBBC Apr 2014 H	2014/04/28	2014/04/30
64604	UBS AG – HSCEI R Bear CBBC Apr 2014 E	2014/04/28	2014/04/30
64602	UBS AG – HSCEI R Bear CBBC Apr 2014 D	2014/04/28	2014/04/30
63894	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 14E	2014/04/28	2014/04/30
62650	Credit Suisse AG – HSI R Bear CBBC Apr 2014 X	2014/04/28	2014/04/30
67549	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 T	2014/04/28	2014/04/30
64218	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Apr 2014 C	2014/04/28	2014/04/30
62052	Credit Suisse AG – HSI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
64224	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Apr 2014 D	2014/04/28	2014/04/30
62649	Credit Suisse AG – HSI R Bear CBBC Apr 2014 W	2014/04/28	2014/04/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69809	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Q	2014/04/28	2014/04/29
62058	Credit Suisse AG – HSI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
65296	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 X	2014/04/28	2014/04/30
62876	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
62872	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 Y	2014/04/28	2014/04/30
62292	Credit Suisse AG – HSI R Bull CBBC Apr 2014 N	2014/04/28	2014/04/30
62839	Credit Suisse AG – HSI R Bull CBBC Apr 2014 T	2014/04/28	2014/04/30
62294	Credit Suisse AG – HSI R Bull CBBC Apr 2014 O	2014/04/28	2014/04/30
60327	HK Bank – TCH R Bull CBBC Dec 2014 G	2014/04/28	2014/04/29
65413	UBS AG – HSI R Bear CBBC Apr 2014 F	2014/04/28	2014/04/30
62838	Credit Suisse AG – HSI R Bull CBBC Apr 2014 S	2014/04/28	2014/04/30
60304	HK Bank – TCH R Bull CBBC Dec 2014 D	2014/04/28	2014/04/29
60021	UBS AG – HSI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
65403	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 14P	2014/04/28	2014/04/30
68880	HK Bank – Huishan Dairy R Bull CBBC Sep 2015 A	2014/04/28	2014/04/29
63518	Credit Suisse AG – HSI R Bear CBBC Apr 2014 K	2014/04/28	2014/04/30
61760	UBS AG – Ping An R Bull CBBC Oct 2014 E	2014/04/28	2014/04/29
62837	Credit Suisse AG – HSI R Bull CBBC Apr 2014 R	2014/04/28	2014/04/30
62082	Credit Suisse AG – HSI R Bear CBBC Apr 2014 D	2014/04/28	2014/04/30
62840	Credit Suisse AG – HSI R Bull CBBC Apr 2014 U	2014/04/28	2014/04/30
62869	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 X	2014/04/28	2014/04/30
68199	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 M	2014/04/28	2014/04/30
60898	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 A	2014/04/28	2014/04/29
60866	UBS AG – HSCEI R Bear CBBC Apr 2014 C	2014/04/28	2014/04/30
63094	UBS AG – HSI R Bear CBBC Apr 2014 J	2014/04/28	2014/04/30
61414	Credit Suisse AG – HSI R Bull CBBC Apr 2014 B	2014/04/28	2014/04/30
69169	UBS AG – HSI R Bull CBBC Nov 2014 C	2014/04/28	2014/04/29
68197	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 K	2014/04/28	2014/04/30
61989	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 X	2014/04/28	2014/04/30
68191	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 F	2014/04/28	2014/04/30
69167	Credit Suisse AG – HSI R Bull CBBC Oct 2014 M	2014/04/28	2014/04/29
61708	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 M	2014/04/28	2014/04/30
61416	Credit Suisse AG – HSI R Bull CBBC Apr 2014 C	2014/04/28	2014/04/30
67254	UBS AG – HSI R Bear CBBC Apr 2014 S	2014/04/28	2014/04/30
67740	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 A	2014/04/28	2014/04/30
66018	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2014 F	2014/04/28	2014/04/30
61987	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 W	2014/04/28	2014/04/30
68204	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
60995	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
68198	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 L	2014/04/28	2014/04/30
64375	Credit Suisse AG – HSI R Bear CBBC Apr 2014 G	2014/04/28	2014/04/30
67606	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 I	2014/04/28	2014/04/30
68967	HK Bank – Great Wall Motor R Bull CBBC Nov 2015 B	2014/04/28	2014/04/29
69150	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 F	2014/04/28	2014/04/29
63503	Credit Suisse AG – HSI R Bull CBBC Apr 2014 A	2014/04/28	2014/04/30
60948	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 C	2014/04/28	2014/04/29
67612	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 J	2014/04/28	2014/04/30
67848	UBS AG – HSI R Bear CBBC Apr 2014 I	2014/04/28	2014/04/30
60530	Standard Chartered Bank – HKEx R Bull CBBC Nov 2014 A	2014/04/28	2014/04/29
69213	UBS AG – HSI R Bull CBBC Oct 2014 Q	2014/04/28	2014/04/29
66979	HK Bank – HSI R Bull CBBC Apr 2014 A	2014/04/28	2014/04/30
69209	Credit Suisse AG – HSI R Bull CBBC Nov 2014 E	2014/04/28	2014/04/29
67969	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 O	2014/04/28	2014/04/30
67988	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 E	2014/04/28	2014/04/30
61709	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 P	2014/04/28	2014/04/30
60938	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 T	2014/04/28	2014/04/30
60735	UBS AG – Great Wall Motor R Bull CBBC Jul 2015 C	2014/04/28	2014/04/29
67303	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 V	2014/04/28	2014/04/30
60944	UBS AG – HSI R Bear CBBC Apr 2014 U	2014/04/28	2014/04/30
63408	UBS AG – HSI R Bear CBBC Apr 2014 L	2014/04/28	2014/04/30
68226	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 P	2014/04/28	2014/04/30
63350	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
66893	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 O	2014/04/28	2014/04/30
60614	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 S	2014/04/28	2014/04/30
63351	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
60613	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 R	2014/04/28	2014/04/30
66894	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 P	2014/04/28	2014/04/30
67224	HK Bank – HSI R Bull CBBC Apr 2014 B	2014/04/28	2014/04/30
60923	UBS AG – HSI R Bull CBBC Nov 2014 Z	2014/04/28	2014/04/29
66884	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 M	2014/04/28	2014/04/30
63415	UBS AG – HSI R Bear CBBC Apr 2014 M	2014/04/28	2014/04/30
61418	Credit Suisse AG – HSI R Bull CBBC Apr 2014 E	2014/04/28	2014/04/30
68498	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 J	2014/04/28	2014/04/30
60940	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Y	2014/04/28	2014/04/29
63550	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 C	2014/04/28	2014/04/30
61906	UBS AG – HSCEI R Bull CBBC Apr 2014 A	2014/04/28	2014/04/30
60941	UBS AG – HSI R Bull CBBC Dec 2014 M	2014/04/28	2014/04/29
60922	UBS AG – HSI R Bull CBBC Aug 2014 S	2014/04/28	2014/04/29
60668	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 C	2014/04/28	2014/04/30
61986	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 Q	2014/04/28	2014/04/30
61707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 L	2014/04/28	2014/04/30
68299	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 I	2014/04/28	2014/04/30
61117	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 K	2014/04/28	2014/04/30
60914	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 S	2014/04/28	2014/04/29
63016	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 Y	2014/04/28	2014/04/30
60696	UBS AG – HSCEI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
66885	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 N	2014/04/28	2014/04/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63017	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 A	2014/04/28	2014/04/30
60102	Credit Suisse AG – TCH R Bull CBBC Nov 2014 F	2014/04/28	2014/04/29
60651	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 B	2014/04/28	2014/04/30
63195	HK Bank – HSCEI R Bear CBBC Apr 2014 B	2014/04/28	2014/04/30
60650	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 A	2014/04/28	2014/04/30
63018	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 Z	2014/04/28	2014/04/30
61510	UBS AG – HSI R Bull CBBC Apr 2014 J	2014/04/28	2014/04/30
63019	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 F	2014/04/28	2014/04/30
61417	Credit Suisse AG – HSI R Bull CBBC Apr 2014 D	2014/04/28	2014/04/30
60692	UBS AG – HSCEI R Bear CBBC Apr 2014 A	2014/04/28	2014/04/30
61200	Credit Suisse AG – HSI R Bear CBBC Apr 2014 V	2014/04/28	2014/04/30
61882	HK Bank – Ping An R Bull CBBC Nov 2014 B	2014/04/28	2014/04/29
66503	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 H	2014/04/28	2014/04/30
66521	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 H	2014/04/28	2014/04/30
66705	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 L	2014/04/28	2014/04/30
69345	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec 14V	2014/04/28	2014/04/29
68955	HK Bank – A50 R Bull CBBC Jan 2015 I	2014/04/28	2014/04/29
62634	UBS AG – HSI R Bear CBBC Apr 2014 H	2014/04/28	2014/04/30
61142	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 T	2014/04/28	2014/04/30
62633	UBS AG – HSI R Bear CBBC Apr 2014 G	2014/04/28	2014/04/30
65749	UBS AG – HSCEI R Bear CBBC Apr 2014 F	2014/04/28	2014/04/30
60490	UBS AG – TCH R Bull CBBC Oct 2014 H	2014/04/28	2014/04/29
69462	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 O	2014/04/28	2014/04/29
69459	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 M	2014/04/28	2014/04/29
67403	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 W	2014/04/28	2014/04/30
61183	Credit Suisse AG – HSI R Bear CBBC Apr 2014 S	2014/04/28	2014/04/30
69435	UBS AG – HSI R Bull CBBC Oct 2014 J	2014/04/28	2014/04/29
69430	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 J	2014/04/28	2014/04/29
61191	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 V	2014/04/28	2014/04/30
61186	Credit Suisse AG – HSI R Bear CBBC Apr 2014 U	2014/04/28	2014/04/30
61184	Credit Suisse AG – HSI R Bear CBBC Apr 2014 T	2014/04/28	2014/04/30
60764	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 K	2014/04/28	2014/04/29
60086	UBS AG – TCH R Bull CBBC Oct 2014 G	2014/04/28	2014/04/29
69371	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jan 15A	2014/04/28	2014/04/29
61883	HK Bank – China Life R Bull CBBC Oct 2014 A	2014/04/28	2014/04/29
69392	HK Bank – HSI R Bull CBBC Nov 2014 S	2014/04/28	2014/04/29
66704	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 K	2014/04/28	2014/04/30
65983	UBS AG – HSI R Bear CBBC Apr 2014 Z	2014/04/28	2014/04/30
69380	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Jan 15 A	2014/04/28	2014/04/29
65957	Credit Suisse AG – HSI R Bull CBBC Apr 2014 Y	2014/04/28	2014/04/30
64482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 V	2014/04/28	2014/04/30
61214	UBS AG – HSI R Bear CBBC Apr 2014 V	2014/04/28	2014/04/30
69343	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 T	2014/04/28	2014/04/29
60087	Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2014 E	2014/04/28	2014/04/29
61863	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Dec 2014 B	2014/04/28	2014/04/29
65663	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 P	2014/04/28	2014/04/30
67489	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 H	2014/04/28	2014/04/30
62256	Credit Suisse AG – HSI R Bear CBBC Apr 2014 F	2014/04/28	2014/04/30
69301	Credit Suisse AG – HSI R Bull CBBC Oct 2014 O	2014/04/28	2014/04/29
67482	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 G	2014/04/28	2014/04/30
69270	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 C	2014/04/28	2014/04/29
61278	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 W	2014/04/28	2014/04/30
60175	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 H	2014/04/28	2014/04/29
61289	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 U	2014/04/28	2014/04/30
67604	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 H	2014/04/28	2014/04/30
61028	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 R	2014/04/28	2014/04/30
67602	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 G	2014/04/28	2014/04/30
67437	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 E	2014/04/28	2014/04/30
61108	Credit Suisse AG – HSI R Bear CBBC Apr 2014 R	2014/04/28	2014/04/30
61106	Credit Suisse AG – HSI R Bear CBBC Apr 2014 Q	2014/04/28	2014/04/30
66502	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 G	2014/04/28	2014/04/30
66501	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2014 F	2014/04/28	2014/04/30
69314	HK Bank – Galaxy Ent R Bull CBBC Jan 2015 A	2014/04/28	2014/04/29
62040	UBS AG – HSI R Bull CBBC Apr 2014 L	2014/04/28	2014/04/30
61109	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 U	2014/04/28	2014/04/30
61105	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 S	2014/04/28	2014/04/30
62908	UBS AG – HSI R Bull CBBC Apr 2014 Q	2014/04/28	2014/04/30
67479	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 F	2014/04/28	2014/04/30
65433	UBS AG – China Life R Bull CBBC Aug 2014 A	2014/04/28	2014/04/29
63637	HK Bank – Galaxy Ent R Bull CBBC Oct 2014 A	2014/04/29	2014/04/30
66376	Standard Chartered Bank – PetCh R Bear CBBC Sep 2014 A	2014/04/29	2014/04/30
61384	Citigroup Global Mkt H Inc. – Sinopec Corp R Bull CBBC Jan15B	2014/04/29	2014/04/30
61004	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Y	2014/04/29	2014/04/30
61592	Credit Suisse AG – Galaxy Ent R Bull CBBC Oct 2014 B	2014/04/29	2014/04/30
64605	UBS AG – Galaxy Ent R Bull CBBC Sep 2014 B	2014/04/29	2014/04/30
61277	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 O	2014/04/29	2014/04/30
69176	UBS AG – HSCEI R Bull CBBC Nov 2014 G	2014/04/29	2014/04/30
69802	UBS AG – Sinopec Corp R Bull CBBC Dec 2014 A	2014/04/29	2014/04/30
69237	UBS AG – Galaxy Ent R Bull CBBC Aug 2014 C	2014/04/29	2014/04/30
68968	HK Bank – Geely Auto R Bull CBBC Aug 2015 A	2014/04/29	2014/04/30
61115	UBS AG – HSI R Bull CBBC Oct 2014 S	2014/04/29	2014/04/30
60101	Credit Suisse AG – TCH R Bull CBBC Nov 2014 E	2014/04/29	2014/04/30
61114	UBS AG – HSI R Bull CBBC Sep 2014 T	2014/04/29	2014/04/30
69316	HK Bank – Sands China R Bull CBBC Jan 2015 A	2014/04/29	2014/04/30
69321	Standard Chartered Bank – Galaxy Ent R Bull CBBC Oct 2014 A	2014/04/29	2014/04/30
69326	Standard Chartered Bank – Sands China R Bull CBBC Nov 2014 C	2014/04/29	2014/04/30
61227	UBS AG – Huishan Dairy R Bull CBBC Oct 2015 B	2014/04/29	2014/04/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69339	UBS AG – Sands China R Bull CBBC Sep 2014 C	2014/04/29	2014/04/30
60295	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 G	2014/04/29	2014/04/30
60600	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Nov14 A	2014/04/29	2014/04/30
61273	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 E	2014/04/29	2014/04/30
69232	UBS AG – Huishan Dairy R Bull CBBC Nov 2015 A	2014/04/29	2014/04/30
69370	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Jan15A	2014/04/29	2014/04/30
61238	UBS AG – Geely Auto R Bull CBBC Jul 2015 C	2014/04/29	2014/04/30
69118	HK Bank – Huishan Dairy R Bull CBBC Dec 2015 A	2014/04/29	2014/04/30
69638	UBS AG – TCH R Bull CBBC Nov 2014 C	2014/04/29	2014/04/30
69930	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 G	2014/04/30	2014/05/02
65373	HK Bank – Kunlun Energy R Bull CBBC Jul 2014 B	2014/04/30	2014/05/02
69670	HK Bank – TCH R Bull CBBC Dec 2014 C	2014/04/30	2014/05/02
61471	UBS AG – HSI R Bull CBBC Sep 2014 W	2014/04/30	2014/05/02
61454	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 T	2014/04/30	2014/05/02
61470	UBS AG – HSI R Bull CBBC Dec 2014 E	2014/04/30	2014/05/02
61595	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2014/04/30	2014/05/02
60630	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Sep 2014 B	2014/04/30	2014/05/02
60927	HK Bank – TCH R Bull CBBC Nov 2014 B	2014/04/30	2014/05/02
61593	Credit Suisse AG – Galaxy Ent R Bull CBBC Oct 2014 C	2014/04/30	2014/05/02
61660	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Y	2014/04/30	2014/05/02
61659	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 H	2014/04/30	2014/05/02
61601	Credit Suisse AG – HSI R Bull CBBC Nov 2014 N	2014/04/30	2014/05/02
60574	UBS AG – TCH R Bull CBBC Jul 2014 N	2014/04/30	2014/05/02
61453	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 G	2014/04/30	2014/05/02
61666	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 I	2014/04/30	2014/05/02
60418	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jan 2015 G	2014/04/30	2014/05/02
61588	Credit Suisse AG – HSI R Bull CBBC Nov 2014 B	2014/04/30	2014/05/02
62045	UBS AG – HKEx R Bull CBBC May 2015 C	2014/05/02	2014/05/05
62061	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 A	2014/05/02	2014/05/05
60006	HK Bank – ICBC R Bear CBBC May 2014 B	2014/05/02	2014/05/07
61976	UBS AG – HSI R Bull CBBC Oct 2014 C	2014/05/02	2014/05/05
61975	Credit Suisse AG – HSI R Bull CBBC Oct 2014 S	2014/05/02	2014/05/05
61900	UBS AG – HKEx R Bear CBBC Feb 2015 D	2014/05/02	2014/05/05
68441	UBS AG – China Life R Bear CBBC May 2014 C	2014/05/02	2014/05/07
63507	UBS AG – A50 China R Bear CBBC May 2014 B	2014/05/02	2014/05/07
61973	Credit Suisse AG – HSI R Bull CBBC Oct 2014 K	2014/05/02	2014/05/05
62578	UBS AG – HSI R Bull CBBC Sep 2014 S	2014/05/05	2014/05/07
62685	UBS AG – HKEx R Bear CBBC Dec 2014 A	2014/05/05	2014/05/07
62151	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 J	2014/05/05	2014/05/07
69239	HK Bank – HSI R Bull CBBC Dec 2014 I	2014/05/05	2014/05/07
69240	HK Bank – HSI R Bull CBBC Nov 2014 P	2014/05/05	2014/05/07
69304	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 I	2014/05/05	2014/05/07
62287	Credit Suisse AG – HKEx R Bear CBBC Jan 2015 A	2014/05/05	2014/05/07
69238	HK Bank – HSI R Bull CBBC Nov 2014 O	2014/05/05	2014/05/07
62615	UBS AG – HKEx R Bull CBBC Jun 2015 C	2014/05/05	2014/05/07
62079	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 R	2014/05/05	2014/05/07
65439	UBS AG – Kunlun Energy R Bull CBBC Jul 2014 A	2014/05/05	2014/05/07
62205	Credit Suisse AG – HSI R Bull CBBC Sep 2014 F	2014/05/05	2014/05/07
69458	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 L	2014/05/05	2014/05/07
69455	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 E	2014/05/05	2014/05/07
60449	HK Bank – HSI R Bull CBBC Dec 2014 L	2014/05/05	2014/05/07
69766	UBS AG – Minsheng Bank R Bull CBBC Oct 2014 E	2014/05/05	2014/05/07
62147	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 B	2014/05/05	2014/05/07
62692	UBS AG – HSI R Bear CBBC Aug 2014 H	2014/05/05	2014/05/07
69164	Credit Suisse AG – HSI R Bull CBBC Oct 2014 H	2014/05/05	2014/05/07
62153	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Q	2014/05/05	2014/05/07
62209	Credit Suisse AG – HSI R Bull CBBC Sep 2014 O	2014/05/05	2014/05/07
62152	Credit Suisse AG – HSI R Bull CBBC Sep 2014 S	2014/05/05	2014/05/07
69148	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 U	2014/05/05	2014/05/07
62078	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 Q	2014/05/05	2014/05/07
69454	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 U	2014/05/05	2014/05/07
61282	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 M	2014/05/05	2014/05/07
69046	UBS AG – HSI R Bull CBBC Nov 2014 J	2014/05/05	2014/05/07
62150	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 I	2014/05/05	2014/05/07
62683	Credit Suisse AG – HSI R Bear CBBC Aug 2014 U	2014/05/05	2014/05/07
69049	Credit Suisse AG – HSI R Bull CBBC Sep 2014 D	2014/05/05	2014/05/07
69069	HK Bank – HSCEI R Bull CBBC Oct 2014 E	2014/05/05	2014/05/07
69076	Credit Suisse AG – HSI R Bull CBBC Dec 2014 P	2014/05/05	2014/05/07
69216	UBS AG – HSI R Bull CBBC Oct 2014 H	2014/05/05	2014/05/07
61824	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Z	2014/05/05	2014/05/07
69215	UBS AG – HSI R Bull CBBC Sep 2014 I	2014/05/05	2014/05/07
69147	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 S	2014/05/05	2014/05/07
69157	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 A	2014/05/05	2014/05/07
69158	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 B	2014/05/05	2014/05/07
69159	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14O	2014/05/05	2014/05/07
69168	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 R	2014/05/05	2014/05/07
62577	UBS AG – HSI R Bull CBBC Oct 2014 L	2014/05/05	2014/05/07
69092	UBS AG – HSI R Bull CBBC Oct 2014 F	2014/05/05	2014/05/07
69042	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14H	2014/05/05	2014/05/07
69208	Credit Suisse AG – HSI R Bull CBBC Nov 2014 D	2014/05/05	2014/05/07
62329	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 F	2014/05/05	2014/05/07
69170	UBS AG – HSI R Bull CBBC Dec 2014 X	2014/05/05	2014/05/07
69212	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 S	2014/05/05	2014/05/07
69165	Credit Suisse AG – HSI R Bull CBBC Oct 2014 I	2014/05/05	2014/05/07
69644	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 C	2014/05/05	2014/05/07
69193	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 W	2014/05/05	2014/05/07
62795	Credit Suisse AG – HSI R Bull CBBC Oct 2014 C	2014/05/07	2014/05/08

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62775	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 L	2014/05/07	2014/05/08
62798	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/05/07	2014/05/08
60053	UBS AG – HSI R Bull CBBC Mar 2015 F	2014/05/07	2014/05/08
62801	UBS AG – HSI R Bull CBBC Nov 2014 M	2014/05/07	2014/05/08
62793	Credit Suisse AG – HSI R Bull CBBC Oct 2014 P	2014/05/07	2014/05/08
62789	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 S	2014/05/07	2014/05/08
62779	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 M	2014/05/07	2014/05/08
62735	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Y	2014/05/07	2014/05/08
60233	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Feb 2015 A	2014/05/07	2014/05/08
69831	Credit Suisse AG – HSI R Bull CBBC Dec 2014 G	2014/05/07	2014/05/08
60442	HK Bank – AIA R Bull CBBC Dec 2014 B	2014/05/07	2014/05/08
60997	HK Bank – China Shenhua R Bull CBBC Nov 2015 A	2014/05/07	2014/05/08
61959	UBS AG – HKEx R Bull CBBC Jul 2015 A	2014/05/07	2014/05/08
62732	HK Bank – Kingsoft R Bull CBBC Sep 2015 A	2014/05/07	2014/05/08
62707	HK Bank – GCL-Poly Energy R Bull CBBC Aug 2015 A	2014/05/07	2014/05/08
61664	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Q	2014/05/07	2014/05/08
69786	UBS AG – CC Bank R Bull CBBC Nov 2014 B	2014/05/07	2014/05/08
62744	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Q	2014/05/07	2014/05/08
61636	HK Bank – Sands China R Bull CBBC Nov 2014 A	2014/05/07	2014/05/08
68988	UBS AG – HSI R Bull CBBC Dec 2014 V	2014/05/07	2014/05/08
68984	Credit Suisse AG – HSI R Bull CBBC Nov 2014 V	2014/05/07	2014/05/08
68983	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 P	2014/05/07	2014/05/08
68973	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14T	2014/05/07	2014/05/08
68971	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/05/07	2014/05/08
68962	HK Bank – HSI R Bull CBBC Nov 2014 N	2014/05/07	2014/05/08
68944	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 S	2014/05/07	2014/05/08
62230	UBS AG – PICC Group R Bull CBBC Jul 2014 A	2014/05/07	2014/05/08
68936	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 G	2014/05/07	2014/05/08
60629	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Oct 2014 A	2014/05/07	2014/05/08
61328	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Dec14 A	2014/05/07	2014/05/08
68994	UBS AG – Great Wall Motor R Bull CBBC Jul 2015 B	2014/05/07	2014/05/08
68875	HK Bank – Great Wall Motor R Bull CBBC Dec 2015 B	2014/05/07	2014/05/08
60299	Standard Chartered Bank – Sands China R Bear CBBC May 2014 A	2014/05/07	2014/05/09
68961	HK Bank – HSI R Bull CBBC Dec 2014 H	2014/05/07	2014/05/08
68950	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 C	2014/05/07	2014/05/08
65064	Standard Chartered Bank – Sands China R Bull CBBC Nov 2014 A	2014/05/07	2014/05/08
61766	UBS AG – Sands China R Bull CBBC Oct 2014 B	2014/05/07	2014/05/08
68943	UBS AG – HSI R Bull CBBC Dec 2014 U	2014/05/07	2014/05/08
68942	UBS AG – HSI R Bull CBBC Oct 2014 W	2014/05/07	2014/05/08
68925	Credit Suisse AG – HSI R Bull CBBC Oct 2014 N	2014/05/07	2014/05/08
68926	Credit Suisse AG – HSI R Bull CBBC Oct 2014 R	2014/05/07	2014/05/08
68927	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 Y	2014/05/07	2014/05/08
68932	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2015 S	2014/05/07	2014/05/08
68933	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2015 T	2014/05/07	2014/05/08
61570	HK Bank – TCH R Bull CBBC Nov 2014 C	2014/05/07	2014/05/08
69098	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14J	2014/05/07	2014/05/08
69453	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2015 X	2014/05/07	2014/05/08
69451	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2015 W	2014/05/07	2014/05/08
69411	UBS AG – AIA R Bull CBBC Dec 2014 A	2014/05/07	2014/05/08
65426	UBS AG – TCH R Bull CBBC Jun 2014 O	2014/05/07	2014/05/08
65519	HK Bank – TCH R Bull CBBC Aug 2014 F	2014/05/07	2014/05/08
65556	UBS AG – TCH R Bull CBBC Aug 2014 B	2014/05/07	2014/05/08
68246	Credit Suisse AG – TCH R Bull CBBC Oct 2014 E	2014/05/07	2014/05/08
62641	UBS AG – Galaxy Ent R Bull CBBC Sep 2014 A	2014/05/07	2014/05/08
69303	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 H	2014/05/07	2014/05/08
61068	HK Bank – Galaxy Ent R Bull CBBC Sep 2014 C	2014/05/07	2014/05/08
69146	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Z	2014/05/07	2014/05/08
69027	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14A	2014/05/07	2014/05/08
68020	HK Bank – COVS R Bull CBBC Nov 2014 A	2014/05/07	2014/05/08
68985	Credit Suisse AG – HSI R Bull CBBC Nov 2014 W	2014/05/07	2014/05/08
69045	UBS AG – HSI R Bull CBBC Sep 2014 M	2014/05/07	2014/05/08
69048	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Z	2014/05/07	2014/05/08
69060	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 T	2014/05/07	2014/05/08
66598	Standard Chartered Bank – TCH R Bull CBBC Aug 2014 A	2014/05/07	2014/05/08
69074	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 X	2014/05/07	2014/05/08
66577	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Dec 2014 A	2014/05/07	2014/05/08
63559	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Dec14A	2014/05/07	2014/05/08
69078	Credit Suisse AG – HSI R Bull CBBC Dec 2014 A	2014/05/07	2014/05/08
68536	UBS AG – COVS R Bull CBBC Nov 2014 A	2014/05/07	2014/05/08
62958	Credit Suisse AG – HSI R Bull CBBC Dec 2014 J	2014/05/08	2014/05/09
62845	Standard Chartered Bank – Kingsoft R Bull CBBC Dec 2014 A	2014/05/08	2014/05/09
62916	Bank of East Asia – Kingsoft R Bull CBBC Apr 2015 A	2014/05/08	2014/05/09
62919	HK Bank – Kingsoft R Bull CBBC Dec 2014 A	2014/05/08	2014/05/09
62979	UBS AG – Kingsoft R Bull CBBC Aug 2015 A	2014/05/08	2014/05/09
62934	Standard Chartered Bank – GCL-Poly Energy R Bull CBBC Nov15A	2014/05/08	2014/05/09
63004	UBS AG – HSI R Bull CBBC Dec 2014 D	2014/05/08	2014/05/09
62959	Credit Suisse AG – HSI R Bull CBBC Dec 2014 M	2014/05/08	2014/05/09
62962	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Y	2014/05/08	2014/05/09
62978	UBS AG – Kingsoft R Bull CBBC Jul 2015 A	2014/05/08	2014/05/09
63007	UBS AG – Galaxy Ent R Bull CBBC Dec 2014 A	2014/05/08	2014/05/09
63006	UBS AG – HSI R Bull CBBC Oct 2014 G	2014/05/08	2014/05/09
63005	UBS AG – HSI R Bull CBBC Oct 2014 T	2014/05/08	2014/05/09
62981	UBS AG – GCL-Poly R Bull CBBC Aug 2015 A	2014/05/08	2014/05/09
62992	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Q	2014/05/08	2014/05/09
69093	Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2014 A	2014/05/08	2014/05/09
62996	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 U	2014/05/08	2014/05/09
63002	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 L	2014/05/08	2014/05/09

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63003	UBS AG – Kingsoft R Bull CBBC Jul 2015 B	2014/05/08	2014/05/09
67514	Credit Suisse AG – Sands China R Bull CBBC Oct 2014 C	2014/05/08	2014/05/09
66166	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Sep 2014 A	2014/05/08	2014/05/09
63992	HK Bank – Galaxy Ent R Bull CBBC Jun 2014 A	2014/05/08	2014/05/09
64079	Bank of East Asia – GCL-Poly Energy R Bull CBBC Dec 2014 A	2014/05/08	2014/05/09
60281	Standard Chartered Bank – Sands China R Bull CBBC Aug 2014 A	2014/05/08	2014/05/09
64551	HK Bank – Sands China R Bull CBBC Aug 2014 A	2014/05/08	2014/05/09
65097	Bank of East Asia – Sands China R Bull CBBC Dec 2014 A	2014/05/08	2014/05/09
60148	Standard Chartered Bank – Galaxy Ent R Bull CBBC Aug 2014 A	2014/05/08	2014/05/09
66025	UBS AG – Galaxy Ent R Bull CBBC May 2014 A	2014/05/08	2014/05/09
61574	HK Bank – Galaxy Ent R Bull CBBC Dec 2014 A	2014/05/08	2014/05/09
61516	UBS AG – Sands China R Bull CBBC Sep 2014 A	2014/05/08	2014/05/09
60931	HK Bank – Galaxy Ent R Bull CBBC Sep 2014 B	2014/05/08	2014/05/09
62734	HK Bank – Kingsoft R Bull CBBC Sep 2015 B	2014/05/08	2014/05/09
61877	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Nov14 B	2014/05/08	2014/05/09
61168	UBS AG – Galaxy Ent R Bull CBBC Oct 2014 A	2014/05/08	2014/05/09
65398	HK Bank – TCH R Bull CBBC Sep 2014 I	2014/05/08	2014/05/09
61324	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Nov 2014 A	2014/05/08	2014/05/09
62729	HK Bank – GCL-Poly Energy R Bull CBBC Sep 2015 A	2014/05/08	2014/05/09
68612	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Jul14A	2014/05/08	2014/05/09
61875	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Dec14 B	2014/05/08	2014/05/09
68371	HK Bank – Great Wall Motor R Bull CBBC Sep 2015 A	2014/05/09	2014/05/12
63045	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 A	2014/05/09	2014/05/12
66795	HK Bank – Great Wall Motor R Bull CBBC Dec 2014 A	2014/05/09	2014/05/12
63034	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/05/09	2014/05/12
67545	Citigroup Global Mkt H Inc. – CC Bank R Bear CBBC May 2014 A	2014/05/09	2014/05/13
63010	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Z	2014/05/09	2014/05/12
63011	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 B	2014/05/09	2014/05/12
66784	UBS AG – CC Bank R Bear CBBC May 2014 B	2014/05/09	2014/05/13
63023	HK Bank – HSI R Bull CBBC Nov 2014 X	2014/05/09	2014/05/12
63029	Credit Suisse AG – HSI R Bull CBBC Nov 2014 O	2014/05/09	2014/05/12
63160	HK Bank – ICBC R Bear CBBC May 2014 A	2014/05/09	2014/05/13
63078	Standard Chartered Bank – Kingsoft R Bull CBBC Jul 2015 A	2014/05/09	2014/05/12
63092	UBS AG – HSI R Bull CBBC Dec 2014 N	2014/05/09	2014/05/12
63098	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/05/09	2014/05/12
64274	HK Bank – BOCL R Bear CBBC May 2014 A	2014/05/09	2014/05/13
63022	HK Bank – HSI R Bull CBBC Jan 2015 F	2014/05/09	2014/05/12
66526	UBS AG – Great Wall Motor R Bull CBBC Jul 2015 A	2014/05/09	2014/05/12
60004	HK Bank – ABC R Bear CBBC May 2014 C	2014/05/09	2014/05/13
61092	UBS AG – A50 China R Bear CBBC May 2014 A	2014/05/09	2014/05/13
63511	UBS AG – Sands China R Bear CBBC May 2014 D	2014/05/09	2014/05/13
69422	UBS AG – HSBC R Bull CBBC Dec 2014 B	2014/05/09	2014/05/12
64282	UBS AG – CC Bank R Bear CBBC May 2014 A	2014/05/09	2014/05/13
67455	UBS AG – Great Wall Motor R Bull CBBC Jun 2015 A	2014/05/09	2014/05/12
64104	UBS AG – ICBC R Bear CBBC May 2014 A	2014/05/09	2014/05/13
62724	HK Bank – Anhui Conch R Bull CBBC Jul 2015 B	2014/05/09	2014/05/12
63024	HK Bank – HSI R Bull CBBC Dec 2014 M	2014/05/09	2014/05/12
62051	UBS AG – CSOP A50 ETF R Bull CBBC May 2014 A	2014/05/09	2014/05/13
62709	HK Bank – Lenovo R Bull CBBC Dec 2014 C	2014/05/09	2014/05/12
61651	UBS AG – Great Wall Motor R Bull CBBC Dec 2014 A	2014/05/09	2014/05/12
61606	Bank of East Asia – Great Wall Motor R Bull CBBC Apr 2015 A	2014/05/09	2014/05/12
62983	UBS AG – China Cinda R Bull CBBC Jan 2015 A	2014/05/09	2014/05/12
62728	HK Bank – China Cinda R Bull CBBC Dec 2014 A	2014/05/09	2014/05/12
62985	UBS AG – Lenovo R Bull CBBC Dec 2014 E	2014/05/09	2014/05/12
61571	HK Bank – Great Wall Motor R Bull CBBC Nov 2015 A	2014/05/09	2014/05/12
62986	UBS AG – Anhui Conch R Bull CBBC Jul 2015 B	2014/05/09	2014/05/12
62926	Credit Suisse AG – HSI R Bear CBBC Sep 2014 R	2014/05/12	2014/05/13
62928	Credit Suisse AG – HSI R Bear CBBC Sep 2014 S	2014/05/12	2014/05/13
63151	Credit Suisse AG – HSI R Bear CBBC Oct 2014 B	2014/05/12	2014/05/13
62822	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 X	2014/05/12	2014/05/13
63154	Credit Suisse AG – HSI R Bear CBBC Oct 2014 C	2014/05/12	2014/05/13
62855	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Q	2014/05/12	2014/05/13
65707	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC May 14 A	2014/05/12	2014/05/14
63166	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/05/12	2014/05/13
63167	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/05/12	2014/05/13
62920	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 C	2014/05/12	2014/05/13
63169	UBS AG – HSI R Bull CBBC Jan 2015 B	2014/05/12	2014/05/13
62921	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 B	2014/05/12	2014/05/13
62874	Credit Suisse AG – HSI R Bear CBBC Aug 2014 V	2014/05/12	2014/05/13
62875	UBS AG – HSI R Bear CBBC Aug 2014 K	2014/05/12	2014/05/13
63112	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 C	2014/05/12	2014/05/13
62881	UBS AG – HSI R Bear CBBC Oct 2014 O	2014/05/12	2014/05/13
62913	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 A	2014/05/12	2014/05/13
63116	HK Bank – HSI R Bear CBBC Dec 2014 L	2014/05/12	2014/05/13
63168	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 M	2014/05/12	2014/05/13
62695	HK Bank – HSI R Bear CBBC Dec 2014 K	2014/05/12	2014/05/13
62321	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 Z	2014/05/12	2014/05/13
62330	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 G	2014/05/12	2014/05/13
62821	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 J	2014/05/12	2014/05/13
62348	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 H	2014/05/12	2014/05/13
62915	Bank of East Asia – China Cinda R Bull CBBC Mar 2015 A	2014/05/12	2014/05/13
62990	UBS AG – HSI R Bear CBBC Aug 2014 N	2014/05/12	2014/05/13
62989	UBS AG – HSI R Bear CBBC Aug 2014 M	2014/05/12	2014/05/13
62984	UBS AG – China Cinda R Bull CBBC Jan 2015 B	2014/05/12	2014/05/13
63142	HK Bank – Kingsoft R Bull CBBC Jan 2015 A	2014/05/12	2014/05/13
62691	UBS AG – HSI R Bear CBBC Sep 2014 R	2014/05/12	2014/05/13
62705	HK Bank – HSI R Bear CBBC Nov 2014 R	2014/05/12	2014/05/13

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63099	Credit Suisse AG – HSI R Bear CBBC Sep 2014 U	2014/05/12	2014/05/13
63101	Credit Suisse AG – HSI R Bear CBBC Sep 2014 V	2014/05/12	2014/05/13
62696	HK Bank – China Cinda R Bull CBBC Jan 2015 A	2014/05/12	2014/05/13
63105	UBS AG – HSI R Bear CBBC Aug 2014 Q	2014/05/12	2014/05/13
63110	UBS AG – HSI R Bear CBBC Sep 2014 T	2014/05/12	2014/05/13
62955	Credit Suisse AG – HSI R Bear CBBC Sep 2014 T	2014/05/12	2014/05/13
63129	HK Bank – TCH R Bear CBBC Dec 2014 J	2014/05/12	2014/05/13
62950	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 C	2014/05/12	2014/05/13
62682	Credit Suisse AG – HSI R Bear CBBC Sep 2014 O	2014/05/12	2014/05/13
63285	Credit Suisse AG – HSI R Bear CBBC Sep 2014 W	2014/05/13	2014/05/14
62898	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 B	2014/05/13	2014/05/14
62987	UBS AG – HSI R Bear CBBC Aug 2014 L	2014/05/13	2014/05/14
63012	HK Bank – TCH R Bear CBBC Nov 2014 Q	2014/05/13	2014/05/14
63043	Credit Suisse AG – TCH R Bear CBBC Sep 2014 A	2014/05/13	2014/05/14
63257	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 R	2014/05/13	2014/05/14
63278	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 L	2014/05/13	2014/05/14
63122	HK Bank – TCH R Bear CBBC Dec 2014 I	2014/05/13	2014/05/14
63281	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 D	2014/05/13	2014/05/14
60949	HK Bank – Cheung Kong R Bear CBBC Apr 2015 A	2014/05/13	2014/05/14
63287	Credit Suisse AG – HSI R Bear CBBC Aug 2014 W	2014/05/13	2014/05/14
63289	Credit Suisse AG – HSI R Bear CBBC Sep 2014 X	2014/05/13	2014/05/14
63290	UBS AG – HSI R Bear CBBC Sep 2014 U	2014/05/13	2014/05/14
63291	UBS AG – HSI R Bear CBBC Aug 2014 S	2014/05/13	2014/05/14
63292	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 E	2014/05/13	2014/05/14
63295	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 D	2014/05/13	2014/05/14
62852	Credit Suisse AG – HSI R Bear CBBC Sep 2014 P	2014/05/13	2014/05/14
63280	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 Y	2014/05/13	2014/05/14
63259	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 K	2014/05/13	2014/05/14
62331	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 H	2014/05/13	2014/05/14
62349	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 K	2014/05/13	2014/05/14
63420	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 Z	2014/05/14	2014/05/15
62342	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 I	2014/05/14	2014/05/15
61896	UBS AG – HSI R Bear CBBC Sep 2014 Q	2014/05/14	2014/05/15
63298	HK Bank – HSI R Bear CBBC Nov 2014 S	2014/05/14	2014/05/15
63299	HK Bank – HSI R Bear CBBC Oct 2014 T	2014/05/14	2014/05/15
63345	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 M	2014/05/14	2014/05/15
62314	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 Y	2014/05/14	2014/05/15
63377	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 E	2014/05/14	2014/05/15
63380	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 I	2014/05/14	2014/05/15
63391	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 J	2014/05/14	2014/05/15
63392	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 K	2014/05/14	2014/05/15
62345	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 H	2014/05/14	2014/05/15
63418	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 S	2014/05/14	2014/05/15
63422	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 A	2014/05/14	2014/05/15
63423	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 T	2014/05/14	2014/05/15
63424	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 N	2014/05/14	2014/05/15
63429	Credit Suisse AG – TCH R Bear CBBC Sep 2014 C	2014/05/14	2014/05/15
63431	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Y	2014/05/14	2014/05/15
63432	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Z	2014/05/14	2014/05/15
63433	Credit Suisse AG – HSI R Bear CBBC Sep 2014 A	2014/05/14	2014/05/15
63434	Credit Suisse AG – HSI R Bear CBBC Sep 2014 D	2014/05/14	2014/05/15
63435	UBS AG – HSI R Bear CBBC Sep 2014 V	2014/05/14	2014/05/15
63436	UBS AG – HSI R Bear CBBC Aug 2014 T	2014/05/14	2014/05/15
69364	Citigroup Global Mkt H Inc. – CNOOC R Bear CBBC Jan 2015 A	2014/05/14	2014/05/15
63445	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 F	2014/05/14	2014/05/15
63446	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 E	2014/05/14	2014/05/15
63403	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 A	2014/05/14	2014/05/15
62694	HK Bank – HSI R Bear CBBC Jan 2015 B	2014/05/14	2014/05/15
61644	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 M	2014/05/14	2014/05/15
61621	Credit Suisse AG – HSI R Bear CBBC Jul 2014 N	2014/05/14	2014/05/15
61611	Credit Suisse AG – HSI R Bear CBBC Jul 2014 M	2014/05/14	2014/05/15
62804	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 E	2014/05/14	2014/05/15
61604	UBS AG – HSI R Bear CBBC Jul 2014 B	2014/05/14	2014/05/15
61602	UBS AG – HSI R Bear CBBC Aug 2014 J	2014/05/14	2014/05/15
61828	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 W	2014/05/14	2014/05/15
62878	UBS AG – HSI R Bear CBBC Oct 2014 N	2014/05/14	2014/05/15
62880	UBS AG – HSI R Bear CBBC Sep 2014 S	2014/05/14	2014/05/15
62894	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 A	2014/05/14	2014/05/15
61834	HK Bank – HSI R Bear CBBC Nov 2014 Q	2014/05/14	2014/05/15
61488	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 Z	2014/05/14	2014/05/15
62343	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 Q	2014/05/14	2014/05/15
62932	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 B	2014/05/14	2014/05/15
61825	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 V	2014/05/14	2014/05/15
62690	UBS AG – HSI R Bear CBBC Aug 2014 F	2014/05/14	2014/05/15
62679	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Y	2014/05/14	2014/05/15
63149	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 D	2014/05/14	2014/05/15
63157	UBS AG – HSI R Bear CBBC Aug 2014 R	2014/05/14	2014/05/15
63376	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 D	2014/05/14	2014/05/15
62677	Credit Suisse AG – HSI R Bear CBBC Sep 2014 N	2014/05/14	2014/05/15
63447	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 G	2014/05/14	2014/05/15
61889	Credit Suisse AG – HSI R Bear CBBC Aug 2014 S	2014/05/14	2014/05/15
61891	Credit Suisse AG – HSI R Bear CBBC Aug 2014 T	2014/05/14	2014/05/15
61893	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 E	2014/05/14	2014/05/15
63282	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 J	2014/05/14	2014/05/15
63283	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 R	2014/05/14	2014/05/15
62353	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 F	2014/05/14	2014/05/15
61469	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 W	2014/05/14	2014/05/15

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64475	HK Bank – PetCh R Bear CBBC Oct 2014 A	2014/05/14	2014/05/15
63485	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug 14P	2014/05/15	2014/05/16
60918	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 V	2014/05/15	2014/05/16
60785	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 M	2014/05/15	2014/05/16
60669	UBS AG – HSI R Bear CBBC Jul 2014 S	2014/05/15	2014/05/16
63442	Standard Chartered Bank – TCH R Bear CBBC Dec 2014 A	2014/05/15	2014/05/16
63444	Standard Chartered Bank – TCH R Bear CBBC Dec 2014 B	2014/05/15	2014/05/16
63448	HK Bank – HSI R Bear CBBC Oct 2014 U	2014/05/15	2014/05/16
63449	HK Bank – HSI R Bear CBBC Oct 2014 V	2014/05/15	2014/05/16
63455	Credit Suisse AG – HSI R Bear CBBC Oct 2014 D	2014/05/15	2014/05/16
63456	Credit Suisse AG – HSI R Bear CBBC Oct 2014 E	2014/05/15	2014/05/16
63461	UBS AG – HSI R Bear CBBC Aug 2014 U	2014/05/15	2014/05/16
63476	UBS AG – HSI R Bear CBBC Sep 2014 W	2014/05/15	2014/05/16
63480	UBS AG – HSI R Bear CBBC Sep 2014 X	2014/05/15	2014/05/16
60878	Credit Suisse AG – HSI R Bear CBBC Aug 2014 H	2014/05/15	2014/05/16
63484	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug 14O	2014/05/15	2014/05/16
60786	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 L	2014/05/15	2014/05/16
60758	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 U	2014/05/15	2014/05/16
60756	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Z	2014/05/15	2014/05/16
60626	UBS AG – TCH R Bear CBBC Nov 2014 D	2014/05/15	2014/05/16
63492	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 H	2014/05/15	2014/05/16
60739	UBS AG – HSI R Bear CBBC Aug 2014 I	2014/05/15	2014/05/16
60712	HK Bank – HSI R Bear CBBC Nov 2014 P	2014/05/15	2014/05/16
60653	Credit Suisse AG – HSI R Bear CBBC Aug 2014 N	2014/05/15	2014/05/16
60661	Credit Suisse AG – HSI R Bear CBBC Aug 2014 O	2014/05/15	2014/05/16
60703	HK Bank – HSI R Bear CBBC Oct 2014 S	2014/05/15	2014/05/16
60700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 I	2014/05/15	2014/05/16
60675	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 N	2014/05/15	2014/05/16
69505	Credit Suisse AG – TCH R Bear CBBC Aug 2014 C	2014/05/15	2014/05/16
62918	HK Bank – TCH R Bear CBBC Nov 2014 P	2014/05/15	2014/05/16
62008	UBS AG – HSI R Bear CBBC Oct 2014 M	2014/05/15	2014/05/16
62146	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 D	2014/05/15	2014/05/16
62344	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 G	2014/05/15	2014/05/16
69602	Standard Chartered Bank – TCH R Bear CBBC Nov 2014 B	2014/05/15	2014/05/16
60378	UBS AG – TCH R Bear CBBC Oct 2014 I	2014/05/15	2014/05/16
62830	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 I	2014/05/15	2014/05/16
62882	UBS AG – TCH R Bear CBBC Jan 2015 A	2014/05/15	2014/05/16
60903	UBS AG – HSI R Bear CBBC Oct 2014 F	2014/05/15	2014/05/16
61433	HK Bank – TCH R Bear CBBC Nov 2014 O	2014/05/15	2014/05/16
61430	HK Bank – TCH R Bear CBBC Nov 2014 N	2014/05/15	2014/05/16
69596	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Nov 2014 F	2014/05/15	2014/05/16
61420	HK Bank – TCH R Bear CBBC Dec 2014 H	2014/05/15	2014/05/16
63044	Credit Suisse AG – TCH R Bear CBBC Sep 2014 B	2014/05/15	2014/05/16
60487	UBS AG – TCH R Bear CBBC Aug 2014 K	2014/05/15	2014/05/16
61223	UBS AG – HSI R Bear CBBC Sep 2014 O	2014/05/15	2014/05/16
61170	Credit Suisse AG – HSI R Bear CBBC Jul 2014 K	2014/05/15	2014/05/16
69595	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Nov 2014 E	2014/05/15	2014/05/16
63650	UBS AG – HSI R Bear CBBC Sep 2014 Z	2014/05/16	2014/05/19
63646	Credit Suisse AG – HSI R Bear CBBC Oct 2014 F	2014/05/16	2014/05/19
66637	HK Bank – China Mobile R Bear CBBC Nov 2014 B	2014/05/16	2014/05/19
63590	UBS AG – HSI R Bear CBBC Sep 2014 Y	2014/05/16	2014/05/19
63589	HK Bank – Sands China R Bear CBBC Jan 2015 A	2014/05/16	2014/05/19
66527	HK Bank – Sands China R Bull CBBC May 2014 A	2014/05/16	2014/05/20
65419	UBS AG – A50 China R Bear CBBC May 2014 C	2014/05/16	2014/05/20
62902	UBS AG – HKEx R Bull CBBC May 2014 A	2014/05/16	2014/05/20
66544	UBS AG – Sands China R Bear CBBC May 2014 F	2014/05/16	2014/05/20
62543	UBS AG – Minsheng Bank R Bear CBBC May 2014 A	2014/05/16	2014/05/20
61810	HK Bank – Galaxy Ent R Bull CBBC May 2014 B	2014/05/16	2014/05/20
64571	UBS AG – ICBC R Bear CBBC May 2014 B	2014/05/16	2014/05/20
68324	UBS AG – COVS R Bear CBBC May 2014 A	2014/05/16	2014/05/20
68168	HK Bank – Galaxy Ent R Bull CBBC May 2014 A	2014/05/16	2014/05/20
63544	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 F	2014/05/16	2014/05/19
60967	HK Bank – Hutchison R Bear CBBC Mar 2015 A	2014/05/19	2014/05/20
69625	UBS AG – Hutchison R Bear CBBC Dec 2014 A	2014/05/19	2014/05/20
63571	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Dec14A	2014/05/19	2014/05/20
63754	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 O	2014/05/19	2014/05/20
63703	Credit Suisse AG – HSI R Bear CBBC Sep 2014 F	2014/05/19	2014/05/20
63701	Credit Suisse AG – HSI R Bear CBBC Sep 2014 E	2014/05/19	2014/05/20
63706	Credit Suisse AG – HSI R Bear CBBC Sep 2014 L	2014/05/19	2014/05/20
63708	UBS AG – HSI R Bear CBBC Aug 2014 H	2014/05/19	2014/05/20
63709	UBS AG – HSI R Bear CBBC Sep 2014 A	2014/05/19	2014/05/20
63710	UBS AG – HSI R Bear CBBC Sep 2014 C	2014/05/19	2014/05/20
63719	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 B	2014/05/19	2014/05/20
63724	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 G	2014/05/19	2014/05/20
63725	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 F	2014/05/19	2014/05/20
63768	Credit Suisse AG – HSI R Bear CBBC Sep 2014 C	2014/05/19	2014/05/20
63705	Credit Suisse AG – HSI R Bear CBBC Sep 2014 G	2014/05/19	2014/05/20
63787	Citigroup Global Mkt H Inc. – Lenovo R Bear CBBC Feb 2015 A	2014/05/19	2014/05/20
63733	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 Q	2014/05/19	2014/05/20
68009	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Feb 2015 A	2014/05/19	2014/05/20
63734	UBS AG – HSI R Bear CBBC Aug 2014 K	2014/05/19	2014/05/20
68980	Standard Chartered Bank – Hutchison R Bear CBBC Sep 2014 B	2014/05/20	2014/05/21
60921	UBS AG – HSI R Bear CBBC Jul 2014 V	2014/05/20	2014/05/21
60793	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 N	2014/05/20	2014/05/21
61491	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 F	2014/05/20	2014/05/21
69695	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 M	2014/05/20	2014/05/21
60885	Credit Suisse AG – HSI R Bear CBBC Aug 2014 X	2014/05/20	2014/05/21

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63450	HK Bank – HSI R Bear CBBC Oct 2014 W	2014/05/20	2014/05/21
69914	HK Bank – HSI R Bear CBBC Dec 2014 I	2014/05/20	2014/05/21
60665	UBS AG – HSI R Bear CBBC Jul 2014 Q	2014/05/20	2014/05/21
63824	Standard Chartered Bank – A50 China R Bull CBBC Nov 2014 B	2014/05/20	2014/05/21
63807	HK Bank – Lenovo R Bear CBBC Jun 2015 A	2014/05/20	2014/05/21
61198	UBS AG – HSI R Bear CBBC Oct 2014 J	2014/05/20	2014/05/21
63812	Credit Suisse AG – HSI R Bear CBBC Aug 2014 U	2014/05/20	2014/05/21
63815	Credit Suisse AG – HSI R Bear CBBC Aug 2014 V	2014/05/20	2014/05/21
63816	UBS AG – HSI R Bear CBBC Aug 2014 M	2014/05/20	2014/05/21
63818	UBS AG – HSI R Bear CBBC Sep 2014 B	2014/05/20	2014/05/21
60795	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 O	2014/05/20	2014/05/21
60790	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 D	2014/05/20	2014/05/21
63821	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 P	2014/05/20	2014/05/21
63828	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 G	2014/05/20	2014/05/21
69840	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 D	2014/05/20	2014/05/21
63830	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 R	2014/05/20	2014/05/21
69358	UBS AG – Cheung Kong R Bear CBBC Jul 2014 A	2014/05/20	2014/05/21
69697	UBS AG – HSI R Bear CBBC Aug 2014 V	2014/05/20	2014/05/21
63795	HK Bank – HSI R Bear CBBC Dec 2014 M	2014/05/20	2014/05/21
63788	Citigroup Global Mkt H Inc. – Lenovo R Bear CBBC Feb 2015 B	2014/05/20	2014/05/21
62364	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 W	2014/05/20	2014/05/21
68370	UBS AG – HSBC R Bear CBBC Oct 2014 A	2014/05/20	2014/05/21
62572	UBS AG – China Mobile R Bear CBBC Aug 2014 B	2014/05/20	2014/05/21
69681	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 X	2014/05/20	2014/05/21
69929	Credit Suisse AG – HSI R Bear CBBC Aug 2014 F	2014/05/20	2014/05/21
63794	HK Bank – HSI R Bear CBBC Oct 2014 X	2014/05/20	2014/05/21
60719	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 J	2014/05/20	2014/05/21
60728	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Q	2014/05/20	2014/05/21
63502	UBS AG – HSI R Bear CBBC Mar 2015 A	2014/05/20	2014/05/21
63857	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 I	2014/05/21	2014/05/22
69359	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Jan 2015 A	2014/05/21	2014/05/22
63862	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 S	2014/05/21	2014/05/22
63564	HK Bank – Galaxy Ent R Bear CBBC Jan 2015 C	2014/05/21	2014/05/22
63880	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Y	2014/05/21	2014/05/22
63848	UBS AG – HSI R Bear CBBC Aug 2014 N	2014/05/21	2014/05/22
63849	UBS AG – HSI R Bear CBBC Aug 2014 Q	2014/05/21	2014/05/22
63850	Credit Suisse AG – HSI R Bear CBBC Aug 2014 W	2014/05/21	2014/05/22
63854	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 N	2014/05/21	2014/05/22
63853	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 C	2014/05/21	2014/05/22
61388	HK Bank – TCH R Bear CBBC Dec 2014 G	2014/05/21	2014/05/22
63852	Credit Suisse AG – HSI R Bear CBBC Aug 2014 T	2014/05/21	2014/05/22
63855	UBS AG – HSI R Bear CBBC Sep 2014 F	2014/05/21	2014/05/22
68957	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2015 E	2014/05/21	2014/05/22
66991	HK Bank – Anhui Conch R Bull CBBC Mar 2015 A	2014/05/21	2014/05/22
66251	UBS AG – Sands China R Bear CBBC May 2014 E	2014/05/21	2014/05/23
63680	HK Bank – HSCEI R Bear CBBC Nov 2014 F	2014/05/21	2014/05/22
63863	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 H	2014/05/21	2014/05/22
68952	HK Bank – A50 R Bull CBBC Jan 2015 H	2014/05/21	2014/05/22
63851	Credit Suisse AG – HSI R Bear CBBC Aug 2014 S	2014/05/21	2014/05/22
69034	UBS AG – CSOP A50 ETF R Bull CBBC Feb 2015 B	2014/05/21	2014/05/22
69032	UBS AG – A50 China R Bull CBBC Feb 2015 C	2014/05/21	2014/05/22
67174	UBS AG – Anhui Conch R Bull CBBC Dec 2014 D	2014/05/21	2014/05/22
63539	SGA Societe Generale Acceptance NV-Galaxy R Bear CBBC Oct14 A	2014/05/21	2014/05/22
61010	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 E	2014/05/22	2014/05/23
65186	UBS AG – ICBC R Bear CBBC Sep 2014 A	2014/05/22	2014/05/23
63974	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 I	2014/05/22	2014/05/23
63977	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 B	2014/05/22	2014/05/23
60664	UBS AG – HSI R Bear CBBC Jul 2014 T	2014/05/22	2014/05/23
69936	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 Y	2014/05/22	2014/05/23
62386	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 L	2014/05/22	2014/05/23
60919	UBS AG – HSI R Bear CBBC Oct 2014 G	2014/05/22	2014/05/23
60693	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 O	2014/05/22	2014/05/23
63988	UBS AG – HSI R Bear CBBC Aug 2014 L	2014/05/22	2014/05/23
60239	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Feb 2015 A	2014/05/22	2014/05/23
60441	UBS AG – HSI R Bear CBBC Aug 2014 X	2014/05/22	2014/05/23
60010	Credit Suisse AG – HSI R Bear CBBC Jul 2014 P	2014/05/22	2014/05/23
63679	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Feb 15B	2014/05/22	2014/05/23
60551	Credit Suisse AG – HSI R Bear CBBC Aug 2014 M	2014/05/22	2014/05/23
60528	UBS AG – HSI R Bear CBBC Sep 2014 K	2014/05/22	2014/05/23
68001	HK Bank – BOCL R Bear CBBC Nov 2014 A	2014/05/22	2014/05/23
63924	Credit Suisse AG – HSI R Bear CBBC Sep 2014 B	2014/05/22	2014/05/23
60711	HK Bank – HSI R Bear CBBC Nov 2014 O	2014/05/22	2014/05/23
60625	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 T	2014/05/22	2014/05/23
63901	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 I	2014/05/22	2014/05/23
63936	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 Q	2014/05/22	2014/05/23
63990	UBS AG – HSI R Bear CBBC Aug 2014 S	2014/05/22	2014/05/23
63921	Credit Suisse AG – HSI R Bear CBBC Sep 2014 M	2014/05/22	2014/05/23
60039	UBS AG – HSI R Bear CBBC Jul 2014 O	2014/05/22	2014/05/23
63929	Credit Suisse AG – HSI R Bear CBBC Sep 2014 H	2014/05/22	2014/05/23
63914	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 T	2014/05/22	2014/05/23
61823	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 B	2014/05/22	2014/05/23
63905	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 J	2014/05/22	2014/05/23
61302	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 C	2014/05/22	2014/05/23
60430	Credit Suisse AG – HSI R Bear CBBC Aug 2014 L	2014/05/22	2014/05/23
65042	Citigroup Global Mkt H Inc. – ICBC R Bear CBBC Dec 2014 B	2014/05/22	2014/05/23
69967	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 N	2014/05/22	2014/05/23
60721	Credit Suisse AG – HSI R Bear CBBC Aug 2014 P	2014/05/22	2014/05/23

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62049	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 X	2014/05/22	2014/05/23
60804	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 P	2014/05/22	2014/05/23
63916	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 U	2014/05/22	2014/05/23
61653	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 E	2014/05/22	2014/05/23
64034	Credit Suisse AG – HSI R Bear CBBC Oct 2014 G	2014/05/23	2014/05/26
64035	Credit Suisse AG – HSI R Bear CBBC Oct 2014 H	2014/05/23	2014/05/26
64036	UBS AG – HSI R Bear CBBC Aug 2014 F	2014/05/23	2014/05/26
65544	UBS AG – CSOP A50 ETF R Bear CBBC May 2014 A	2014/05/23	2014/05/27
64038	UBS AG – HSI R Bear CBBC Aug 2014 J	2014/05/23	2014/05/26
64040	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 V	2014/05/23	2014/05/26
64052	Citigroup Global Mkt H Inc. – Kingsoft R Bear CBBC Mar 15 B	2014/05/23	2014/05/26
63210	HK Bank – CC Bank R Bear CBBC May 2014 A	2014/05/23	2014/05/27
63159	HK Bank – Minsheng Bank R Bear CBBC May 2014 A	2014/05/23	2014/05/27
63071	HK Bank – ABC R Bear CBBC May 2014 A	2014/05/23	2014/05/27
60388	UBS AG – SHK Ppt R Bull CBBC May 2014 A	2014/05/23	2014/05/27
62800	HK Bank – A50 R Bear CBBC May 2014 A	2014/05/23	2014/05/27
64051	Citigroup Global Mkt H Inc. – Kingsoft R Bear CBBC Mar 15 A	2014/05/23	2014/05/26
68460	UBS AG – ABC R Bear CBBC May 2014 B	2014/05/23	2014/05/27
67913	UBS AG – China Life R Bear CBBC May 2014 B	2014/05/23	2014/05/27
64192	HK Bank – CSOP A50 ETF R Bear CBBC May 2014 A	2014/05/23	2014/05/27
66253	UBS AG – Galaxy Ent R Bear CBBC May 2014 B	2014/05/23	2014/05/27
68497	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC May 2014 B	2014/05/23	2014/05/27
68120	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC May 14 B	2014/05/23	2014/05/27
68114	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC May14A	2014/05/23	2014/05/27
64111	HK Bank – TCH R Bear CBBC Dec 2014 K	2014/05/26	2014/05/27
64151	Credit Suisse AG – HSI R Bear CBBC Aug 2014 H	2014/05/26	2014/05/27
63652	Standard Chartered Bank – Kingsoft R Bear CBBC Mar 2015 B	2014/05/26	2014/05/27
64061	HK Bank – HSI R Bear CBBC Nov 2014 U	2014/05/26	2014/05/27
64150	UBS AG – HSI R Bear CBBC Oct 2014 Q	2014/05/26	2014/05/27
64057	Citigroup Global Mkt H Inc. – Kingsoft R Bear CBBC Mar 15 C	2014/05/26	2014/05/27
64112	HK Bank – TCH R Bear CBBC Dec 2014 L	2014/05/26	2014/05/27
62387	UBS AG – HSCEI R Bear CBBC Oct 2014 A	2014/05/26	2014/05/27
61137	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 A	2014/05/26	2014/05/27
63689	HK Bank – Kingsoft R Bear CBBC Dec 2014 B	2014/05/26	2014/05/27
64171	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 14E	2014/05/27	2014/05/28
62722	HK Bank – Lenovo R Bear CBBC Dec 2014 B	2014/05/27	2014/05/28
64167	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 N	2014/05/27	2014/05/28
64172	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 U	2014/05/27	2014/05/28
64177	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 V	2014/05/27	2014/05/28
64179	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 K	2014/05/27	2014/05/28
64180	Credit Suisse AG – HSI R Bear CBBC Aug 2014 N	2014/05/27	2014/05/28
64181	Credit Suisse AG – HSI R Bear CBBC Aug 2014 O	2014/05/27	2014/05/28
64186	UBS AG – HSI R Bear CBBC Aug 2014 R	2014/05/27	2014/05/28
64187	UBS AG – HSI R Bear CBBC Sep 2014 G	2014/05/27	2014/05/28
64164	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 H	2014/05/27	2014/05/28
64247	UBS AG – HSI R Bear CBBC Aug 2014 T	2014/05/28	2014/05/29
69937	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 Z	2014/05/28	2014/05/29
62245	Credit Suisse AG – HSI R Bull CBBC May 2014 F	2014/05/28	2014/05/30
63037	Credit Suisse AG – HSI R Bull CBBC May 2014 P	2014/05/28	2014/05/30
66419	J P Morgan SP BV – HSI R Bear CBBC May 2014 C	2014/05/28	2014/05/30
62244	Credit Suisse AG – HSI R Bull CBBC May 2014 E	2014/05/28	2014/05/30
61895	UBS AG – HSI R Bull CBBC May 2014 F	2014/05/28	2014/05/30
63039	Credit Suisse AG – HSI R Bull CBBC May 2014 Q	2014/05/28	2014/05/30
61654	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2014 G	2014/05/28	2014/05/29
66429	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2014 C	2014/05/28	2014/05/30
65434	Credit Suisse AG – HSI R Bear CBBC May 2014 R	2014/05/28	2014/05/30
65461	Credit Suisse AG – HSI R Bear CBBC May 2014 T	2014/05/28	2014/05/30
61728	UBS AG – HSCEI R Bull CBBC May 2014 C	2014/05/28	2014/05/30
62240	Credit Suisse AG – HSI R Bull CBBC May 2014 D	2014/05/28	2014/05/30
61455	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 S	2014/05/28	2014/05/30
67899	UBS AG – HSCEI R Bear CBBC May 2014 B	2014/05/28	2014/05/30
67898	UBS AG – HSCEI R Bear CBBC May 2014 A	2014/05/28	2014/05/30
63040	Credit Suisse AG – HSI R Bull CBBC May 2014 R	2014/05/28	2014/05/30
61027	Credit Suisse AG – HSI R Bear CBBC May 2014 D	2014/05/28	2014/05/30
63041	Credit Suisse AG – HSI R Bull CBBC May 2014 S	2014/05/28	2014/05/30
61554	UBS AG – CNOOC R Bear CBBC Jan 2015 A	2014/05/28	2014/05/29
65539	UBS AG – HSI R Bear CBBC May 2014 O	2014/05/28	2014/05/30
65542	UBS AG – HSCEI R Bear CBBC May 2014 E	2014/05/28	2014/05/30
60865	UBS AG – HSCEI R Bear CBBC May 2014 D	2014/05/28	2014/05/30
60608	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 E	2014/05/28	2014/05/29
65271	J P Morgan SP BV – HSI R Bear CBBC May 2014 A	2014/05/28	2014/05/30
66430	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2014 D	2014/05/28	2014/05/30
69415	UBS AG – TCH R Bear CBBC Sep 2014 M	2014/05/28	2014/05/29
61710	Credit Suisse AG – HSI R Bull CBBC May 2014 A	2014/05/28	2014/05/30
61568	UBS AG – HSCEI R Bull CBBC May 2014 B	2014/05/28	2014/05/30
60663	UBS AG – HSI R Bear CBBC Jul 2014 R	2014/05/28	2014/05/29
63970	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 E	2014/05/28	2014/05/29
60294	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 P	2014/05/28	2014/05/30
60023	UBS AG – HSI R Bull CBBC May 2014 D	2014/05/28	2014/05/30
68298	J P Morgan SP BV – HSI R Bear CBBC May 2014 B	2014/05/28	2014/05/30
61852	HK Bank – HSCEI R Bear CBBC Dec 2014 C	2014/05/28	2014/05/29
65410	Credit Suisse AG – HSI R Bear CBBC May 2014 Q	2014/05/28	2014/05/30
63474	Standard Chartered Bank – HSI R Bear CBBC May 2014 P	2014/05/28	2014/05/30
60291	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 O	2014/05/28	2014/05/30
62402	Credit Suisse AG – HSI R Bull CBBC May 2014 G	2014/05/28	2014/05/30
65318	UBS AG – HSI R Bear CBBC May 2014 L	2014/05/28	2014/05/30
60652	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 D	2014/05/28	2014/05/29

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60296	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 Q	2014/05/28	2014/05/30
64288	UBS AG – HSI R Bear CBBC Aug 2014 U	2014/05/28	2014/05/29
61711	Credit Suisse AG – HSI R Bull CBBC May 2014 B	2014/05/28	2014/05/30
60947	Credit Suisse AG – HSI R Bear CBBC May 2014 C	2014/05/28	2014/05/30
62911	Credit Suisse AG – HSI R Bull CBBC May 2014 K	2014/05/28	2014/05/30
64285	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Q	2014/05/28	2014/05/29
62912	Credit Suisse AG – HSI R Bull CBBC May 2014 L	2014/05/28	2014/05/30
61713	Credit Suisse AG – HSI R Bull CBBC May 2014 C	2014/05/28	2014/05/30
60298	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 R	2014/05/28	2014/05/30
61457	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 U	2014/05/28	2014/05/30
61456	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 T	2014/05/28	2014/05/30
60038	UBS AG – HSI R Bear CBBC Jul 2014 N	2014/05/28	2014/05/29
60448	HK Bank – HSI R Bear CBBC Dec 2014 J	2014/05/28	2014/05/29
60815	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 R	2014/05/28	2014/05/29
69969	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 H	2014/05/28	2014/05/29
68808	HK Bank – HSI R Bear CBBC May 2014 A	2014/05/28	2014/05/30
61303	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 U	2014/05/28	2014/05/29
60016	Credit Suisse AG – HSI R Bear CBBC Jul 2014 T	2014/05/28	2014/05/29
69616	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 L	2014/05/28	2014/05/29
67649	UBS AG – HSI R Bear CBBC May 2014 W	2014/05/28	2014/05/30
60439	UBS AG – HSI R Bear CBBC Aug 2014 W	2014/05/28	2014/05/29
61291	UBS AG – HSI R Bear CBBC May 2014 G	2014/05/28	2014/05/30
67832	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 G	2014/05/28	2014/05/30
69968	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 O	2014/05/28	2014/05/29
60255	HK Bank – HSI R Bear CBBC Nov 2014 N	2014/05/28	2014/05/29
60011	Credit Suisse AG – HSI R Bear CBBC Jul 2014 R	2014/05/28	2014/05/29
65614	HK Bank – SHK Ppt R Bear CBBC Sep 2014 A	2014/05/28	2014/05/29
67432	Credit Suisse AG – HSI R Bear CBBC May 2014 L	2014/05/28	2014/05/30
64242	UBS AG – HSI R Bear CBBC May 2014 Z	2014/05/28	2014/05/30
60805	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 Q	2014/05/28	2014/05/29
62879	Credit Suisse AG – HSI R Bear CBBC May 2014 B	2014/05/28	2014/05/30
60094	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2014 M	2014/05/28	2014/05/30
62870	Credit Suisse AG – HSI R Bear CBBC May 2014 A	2014/05/28	2014/05/30
64211	UBS AG – HSI R Bear CBBC May 2014 Y	2014/05/28	2014/05/30
67646	UBS AG – HSI R Bear CBBC May 2014 K	2014/05/28	2014/05/30
60427	Credit Suisse AG – HSI R Bear CBBC Aug 2014 E	2014/05/28	2014/05/29
64222	HK Bank – China Mobile R Bull CBBC Jan 2015 H	2014/05/28	2014/05/29
63900	UBS AG – HSI R Bear CBBC May 2014 A	2014/05/28	2014/05/30
60798	HK Bank – HSI R Bear CBBC May 2014 J	2014/05/28	2014/05/30
64269	HK Bank – HSI R Bear CBBC May 2014 E	2014/05/28	2014/05/30
65863	UBS AG – HSCEI R Bear CBBC May 2014 F	2014/05/28	2014/05/30
64397	UBS AG – HSI R Bear CBBC May 2014 B	2014/05/28	2014/05/30
64157	UBS AG – HSI R Bear CBBC May 2014 H	2014/05/28	2014/05/30
61364	Citigroup Global Mkt H Inc. – CNOOC R Bear CBBC Jan 2015 B	2014/05/28	2014/05/29
64162	UBS AG – HSI R Bear CBBC May 2014 D	2014/05/28	2014/05/30
64208	HK Bank – HSI R Bear CBBC Jan 2015 E	2014/05/28	2014/05/29
67750	J P Morgan SP BV – HSI R Bull CBBC May 2014 H	2014/05/28	2014/05/30
63198	HK Bank – HSCEI R Bear CBBC May 2014 B	2014/05/28	2014/05/30
63372	HK Bank – HSI R Bear CBBC May 2014 C	2014/05/28	2014/05/30
63370	HK Bank – HSI R Bear CBBC May 2014 B	2014/05/28	2014/05/30
64173	UBS AG – HSI R Bear CBBC May 2014 T	2014/05/28	2014/05/30
64194	UBS AG – HSI R Bear CBBC May 2014 N	2014/05/28	2014/05/30
62784	Standard Chartered Bank – HSI R Bear CBBC May 2014 M	2014/05/28	2014/05/30
60685	UBS AG – HSCEI R Bear CBBC May 2014 C	2014/05/28	2014/05/30
64169	UBS AG – HSI R Bear CBBC May 2014 E	2014/05/28	2014/05/30
62750	Standard Chartered Bank – HSI R Bear CBBC May 2014 L	2014/05/28	2014/05/30
63193	HK Bank – HSCEI R Bear CBBC May 2014 A	2014/05/28	2014/05/30
64318	Credit Suisse AG – HSI R Bear CBBC Aug 2014 V	2014/05/29	2014/05/30
64319	Credit Suisse AG – HSI R Bear CBBC Aug 2014 X	2014/05/29	2014/05/30
63910	Credit Suisse AG – A50 China R Bear CBBC May 2014 A	2014/05/29	2014/06/03
61957	UBS AG – HKEx R Bear CBBC Jan 2015 G	2014/05/29	2014/05/30
64322	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 G	2014/05/29	2014/05/30
64317	Credit Suisse AG – HSI R Bear CBBC Aug 2014 U	2014/05/29	2014/05/30
63934	Credit Suisse AG – A50 China R Bear CBBC May 2014 C	2014/05/29	2014/06/03
63925	Credit Suisse AG – A50 China R Bear CBBC May 2014 B	2014/05/29	2014/06/03
64308	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 F	2014/05/29	2014/05/30
64357	UBS AG – HSI R Bear CBBC Sep 2014 U	2014/05/29	2014/05/30
64307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 K	2014/05/29	2014/05/30
64343	UBS AG – HSI R Bull CBBC Dec 2014 K	2014/05/29	2014/05/30
64356	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 S	2014/05/29	2014/05/30
64306	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 Z	2014/05/29	2014/05/30
64305	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 C	2014/05/29	2014/05/30
64316	UBS AG – HSI R Bear CBBC Sep 2014 T	2014/05/29	2014/05/30
64339	Credit Suisse AG – HSI R Bull CBBC Oct 2014 X	2014/05/29	2014/05/30
64379	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 F	2014/05/30	2014/06/03
60315	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Jun14B	2014/05/30	2014/06/04
64227	HK Bank – TCH R Bull CBBC Jan 2015 C	2014/05/30	2014/06/03
67052	UBS AG – SHK Ppt R Bear CBBC Sep 2014 A	2014/05/30	2014/06/03
66463	Citigroup Global Mkt H Inc. – Hutchison R Bear CBBC Dec 14 A	2014/05/30	2014/06/03
64367	UBS AG – HSI R Bear CBBC Oct 2014 R	2014/05/30	2014/06/03
64393	Credit Suisse AG – HSI R Bear CBBC Sep 2014 S	2014/05/30	2014/06/03
64362	HK Bank – HSI R Bear CBBC Feb 2015 A	2014/05/30	2014/06/03
60317	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Jun14C	2014/05/30	2014/06/04
64365	HK Bank – Kingsoft R Bull CBBC Feb 2015 A	2014/05/30	2014/06/03
64392	Credit Suisse AG – HSI R Bear CBBC Sep 2014 R	2014/05/30	2014/06/03
64458	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 F	2014/06/03	2014/06/04
65562	Credit Suisse AG – HSI R Bear CBBC Jun 2014 G	2014/06/03	2014/06/04

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65405	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 P	2014/06/03	2014/06/04
65905	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 C	2014/06/03	2014/06/04
60960	HK Bank – HSCEI R Bear CBBC Nov 2014 D	2014/06/03	2014/06/04
64459	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Mar15A	2014/06/03	2014/06/04
60990	HK Bank – Cheung Kong R Bear CBBC Apr 2015 B	2014/06/03	2014/06/04
60395	UBS AG – HSI R Bear CBBC Aug 2014 E	2014/06/03	2014/06/04
68186	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 C	2014/06/03	2014/06/04
64395	UBS AG – HSI R Bear CBBC Sep 2014 Q	2014/06/03	2014/06/04
61587	UBS AG – Cheung Kong R Bear CBBC Dec 2014 A	2014/06/03	2014/06/04
64457	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 J	2014/06/03	2014/06/04
66746	HK Bank – CC Bank R Bear CBBC Dec 2014 A	2014/06/03	2014/06/04
64453	Credit Suisse AG – HSI R Bear CBBC Sep 2014 T	2014/06/03	2014/06/04
65408	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 R	2014/06/03	2014/06/04
60638	UBS AG – HSI R Bear CBBC Jul 2014 P	2014/06/03	2014/06/04
61165	Credit Suisse AG – HSI R Bear CBBC Aug 2014 I	2014/06/03	2014/06/04
65682	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 E	2014/06/03	2014/06/04
69661	HK Bank – SHK Ppt R Bear CBBC Oct 2014 B	2014/06/03	2014/06/04
67967	HK Bank – ICBC R Bear CBBC Oct 2014 C	2014/06/03	2014/06/04
65675	HK Bank – HSI R Bear CBBC Aug 2014 J	2014/06/03	2014/06/04
61543	UBS AG – HSCEI R Bear CBBC Nov 2014 A	2014/06/03	2014/06/04
67746	Citigroup Global Mkt H Inc. – Cheung Kong R Bear CBBC Feb15C	2014/06/03	2014/06/04
69308	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug 14C	2014/06/03	2014/06/04
60709	Citigroup Global Mkt H Inc. – CC Bank R Bear CBBC Aug 2014 B	2014/06/03	2014/06/04
64238	Credit Suisse AG – HSI R Bear CBBC Aug 2014 F	2014/06/03	2014/06/04
69185	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 M	2014/06/03	2014/06/04
64400	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 G	2014/06/03	2014/06/04
64239	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Q	2014/06/03	2014/06/04
60847	UBS AG – CC Bank R Bear CBBC Aug 2014 B	2014/06/03	2014/06/04
65623	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 R	2014/06/03	2014/06/04
69201	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 A	2014/06/03	2014/06/04
69282	UBS AG – HSI R Bear CBBC Aug 2014 P	2014/06/03	2014/06/04
63796	HK Bank – HSI R Bear CBBC Dec 2014 N	2014/06/03	2014/06/04
61546	Bank of East Asia – Sands China R Bear CBBC Jun 2014 A	2014/06/04	2014/06/06
60877	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Jun14A	2014/06/04	2014/06/06
62310	Credit Suisse AG – HKEx R Bear CBBC Feb 2015 A	2014/06/04	2014/06/05
64471	HK Bank – Kingsoft R Bull CBBC Mar 2015 A	2014/06/04	2014/06/05
64483	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 A	2014/06/04	2014/06/05
64506	Credit Suisse AG – HSI R Bear CBBC Oct 2014 L	2014/06/04	2014/06/05
64480	UBS AG – HSI R Bear CBBC Oct 2014 S	2014/06/04	2014/06/05
64492	Standard Chartered Bank – Kingsoft R Bull CBBC Jul 2015 D	2014/06/04	2014/06/05
65564	UBS AG – TCH R Bear CBBC Jun 2014 H	2014/06/05	2014/06/09
64548	UBS AG – HSI R Bull CBBC Jan 2015 I	2014/06/05	2014/06/06
64545	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/06/05	2014/06/06
64523	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 H	2014/06/05	2014/06/06
64549	Citigroup Global Mkt H Inc. – Kingsoft R Bull CBBC Mar 15 D	2014/06/05	2014/06/06
64516	Credit Suisse AG – HSI R Bear CBBC Sep 2014 P	2014/06/05	2014/06/06
64537	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 A	2014/06/05	2014/06/06
64513	Credit Suisse AG – HSI R Bear CBBC Sep 2014 V	2014/06/05	2014/06/06
64511	Credit Suisse AG – HSI R Bear CBBC Sep 2014 U	2014/06/05	2014/06/06
64323	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 I	2014/06/05	2014/06/06
64518	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 R	2014/06/05	2014/06/06
64509	UBS AG – HSI R Bear CBBC Nov 2014 F	2014/06/05	2014/06/06
64510	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 R	2014/06/05	2014/06/06
64634	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 T	2014/06/06	2014/06/09
68000	UBS AG – Ping An R Bull CBBC Jun 2014 B	2014/06/06	2014/06/10
64648	Credit Suisse AG – HSI R Bull CBBC Jan 2015 L	2014/06/06	2014/06/09
64481	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/06/06	2014/06/09
64639	Credit Suisse AG – HSI R Bull CBBC Jan 2015 K	2014/06/06	2014/06/09
64633	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 S	2014/06/06	2014/06/09
64630	UBS AG – HSI R Bear CBBC Oct 2014 U	2014/06/06	2014/06/09
63616	UBS AG – Hutchison R Bull CBBC Jun 2014 C	2014/06/06	2014/06/10
63387	HK Bank – AIA R Bull CBBC Jun 2014 A	2014/06/06	2014/06/10
64655	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 J	2014/06/06	2014/06/09
67428	UBS AG – HSBC R Bear CBBC Jun 2014 B	2014/06/06	2014/06/10
64667	UBS AG – HSI R Bull CBBC Dec 2014 I	2014/06/06	2014/06/09
64668	UBS AG – HSI R Bull CBBC Dec 2014 G	2014/06/06	2014/06/09
64594	HK Bank – HSI R Bear CBBC Jan 2015 F	2014/06/06	2014/06/09
64681	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 B	2014/06/06	2014/06/09
69728	UBS AG – AIA R Bull CBBC Jun 2014 D	2014/06/06	2014/06/10
60406	UBS AG – Galaxy Ent R Bull CBBC Jun 2014 A	2014/06/06	2014/06/10
64624	Credit Suisse AG – HSI R Bear CBBC Oct 2014 N	2014/06/06	2014/06/09
64666	UBS AG – HSI R Bear CBBC Sep 2014 O	2014/06/06	2014/06/09
64369	UBS AG – HSI R Bull CBBC Oct 2014 Q	2014/06/06	2014/06/09
64620	Credit Suisse AG – HSI R Bear CBBC Oct 2014 M	2014/06/06	2014/06/09
64538	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 S	2014/06/06	2014/06/09
64394	Credit Suisse AG – HSI R Bull CBBC Sep 2014 T	2014/06/06	2014/06/09
67903	UBS AG – TCH R Bull CBBC Jun 2014 F	2014/06/06	2014/06/10
64540	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 C	2014/06/06	2014/06/09
64546	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/06/06	2014/06/09
62232	UBS AG – HKEx R Bull CBBC Jun 2014 A	2014/06/06	2014/06/10
64590	HK Bank – HSI R Bull CBBC Dec 2014 Q	2014/06/06	2014/06/09
64592	HK Bank – HSI R Bear CBBC Feb 2015 B	2014/06/06	2014/06/09
64606	HK Bank – TCH R Bull CBBC Jan 2015 D	2014/06/06	2014/06/09
62222	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2014 A	2014/06/06	2014/06/10
64686	UBS AG – HSI R Bear CBBC Oct 2014 V	2014/06/09	2014/06/10
63677	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Feb 15D	2014/06/09	2014/06/10
64689	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/06/09	2014/06/10

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64683	Credit Suisse AG – HSI R Bear CBBC Sep 2014 W	2014/06/09	2014/06/10
64685	UBS AG – HSI R Bear CBBC Sep 2014 W	2014/06/09	2014/06/10
64688	Credit Suisse AG – HSI R Bull CBBC Nov 2014 V	2014/06/09	2014/06/10
64713	Credit Suisse AG – HSI R Bear CBBC Nov 2014 B	2014/06/10	2014/06/11
64714	Credit Suisse AG – HSI R Bear CBBC Nov 2014 C	2014/06/10	2014/06/11
64715	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 W	2014/06/10	2014/06/11
63189	HK Bank – Galaxy Ent R Bull CBBC Dec 2014 B	2014/06/10	2014/06/11
64717	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 I	2014/06/10	2014/06/11
65280	Credit Suisse AG – HSI R Bear CBBC Jun 2014 D	2014/06/10	2014/06/11
64719	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 U	2014/06/10	2014/06/11
64737	Credit Suisse AG – Galaxy Ent R Bull CBBC Jan 2015 A	2014/06/10	2014/06/11
63182	HK Bank – Sands China R Bull CBBC Dec 2014 B	2014/06/10	2014/06/11
64754	Credit Suisse AG – HSI R Bull CBBC Oct 2014 K	2014/06/10	2014/06/11
64762	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/06/10	2014/06/11
63669	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Feb15C	2014/06/10	2014/06/11
64707	UBS AG – HSI R Bear CBBC Nov 2014 H	2014/06/10	2014/06/11
64761	UBS AG – HSI R Bull CBBC Oct 2014 S	2014/06/10	2014/06/11
64758	Credit Suisse AG – HSI R Bull CBBC Oct 2014 H	2014/06/10	2014/06/11
64749	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Z	2014/06/10	2014/06/11
61076	UBS AG – HSCEI R Bear CBBC Sep 2014 A	2014/06/10	2014/06/11
64757	Credit Suisse AG – HSI R Bull CBBC Oct 2014 S	2014/06/10	2014/06/11
61075	UBS AG – HSI R Bear CBBC Sep 2014 N	2014/06/10	2014/06/11
64720	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 I	2014/06/10	2014/06/11
64283	UBS AG – Galaxy Ent R Bull CBBC Jul 2015 A	2014/06/10	2014/06/11
65802	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 W	2014/06/10	2014/06/11
64706	UBS AG – HSI R Bear CBBC Sep 2014 X	2014/06/10	2014/06/11
64236	Credit Suisse AG – HSI R Bear CBBC Sep 2014 O	2014/06/10	2014/06/11
64704	HK Bank – TCH R Bear CBBC Dec 2014 M	2014/06/10	2014/06/11
61335	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 P	2014/06/10	2014/06/11
63329	HK Bank – China Life R Bear CBBC Dec 2014 C	2014/06/10	2014/06/11
65690	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 G	2014/06/10	2014/06/11
64221	HK Bank – China Mobile R Bull CBBC Jan 2015 G	2014/06/11	2014/06/12
64783	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 J	2014/06/11	2014/06/12
69005	HK Bank – TCH R Bear CBBC Dec 2014 C	2014/06/11	2014/06/12
64026	UBS AG – TCH R Bear CBBC Apr 2015 A	2014/06/11	2014/06/12
64777	UBS AG – HSI R Bull CBBC Oct 2014 C	2014/06/11	2014/06/12
64764	HK Bank – HSI R Bull CBBC Dec 2014 R	2014/06/11	2014/06/12
64765	HK Bank – HSI R Bull CBBC Dec 2014 S	2014/06/11	2014/06/12
69360	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Jan 2015 B	2014/06/11	2014/06/12
60707	Citigroup Global Mkt H Inc. – ICBC R Bear CBBC Sep 2014 A	2014/06/11	2014/06/12
64796	Credit Suisse AG – HSI R Bear CBBC Sep 2014 A	2014/06/11	2014/06/12
69495	Credit Suisse AG – TCH R Bear CBBC Aug 2014 B	2014/06/11	2014/06/12
63971	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 F	2014/06/11	2014/06/12
64802	UBS AG – HSI R Bear CBBC Nov 2014 I	2014/06/11	2014/06/12
69162	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 K	2014/06/11	2014/06/12
67417	UBS AG – ICBC R Bear CBBC Jul 2014 B	2014/06/11	2014/06/12
64774	Credit Suisse AG – HSI R Bull CBBC Nov 2014 W	2014/06/11	2014/06/12
69081	UBS AG – TCH R Bear CBBC Aug 2014 G	2014/06/11	2014/06/12
64805	Credit Suisse AG – HSI R Bull CBBC Oct 2014 I	2014/06/12	2014/06/13
64804	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 K	2014/06/12	2014/06/13
69361	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Jan 2015 C	2014/06/12	2014/06/13
64807	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 G	2014/06/12	2014/06/13
64811	UBS AG – HSI R Bull CBBC Jan 2015 J	2014/06/12	2014/06/13
64815	UBS AG – HSI R Bear CBBC Oct 2014 W	2014/06/12	2014/06/13
64813	Credit Suisse AG – HSI R Bear CBBC Oct 2014 P	2014/06/12	2014/06/13
64803	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 V	2014/06/12	2014/06/13
63695	HK Bank – Minsheng Bank R Bear CBBC Jul 2015 A	2014/06/12	2014/06/13
68255	Credit Suisse AG – TCH R Bear CBBC Jul 2014 C	2014/06/12	2014/06/13
68996	UBS AG – TCH R Bear CBBC Sep 2014 K	2014/06/12	2014/06/13
64763	HK Bank – HSI R Bull CBBC Nov 2014 H	2014/06/12	2014/06/13
64775	Credit Suisse AG – HSI R Bull CBBC Nov 2014 O	2014/06/12	2014/06/13
69015	HK Bank – TCH R Bear CBBC Dec 2014 E	2014/06/12	2014/06/13
60055	Bank of East Asia – Hutchison R Bull CBBC Jun 2014 A	2014/06/12	2014/06/16
64324	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 G	2014/06/12	2014/06/13
63057	HK Bank – TCH R Bull CBBC Jun 2014 A	2014/06/13	2014/06/17
64867	Credit Suisse AG – HSI R Bear CBBC Sep 2014 D	2014/06/13	2014/06/16
64873	Credit Suisse AG – HSI R Bear CBBC Sep 2014 N	2014/06/13	2014/06/16
64838	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 J	2014/06/13	2014/06/16
65062	UBS AG – Kunlun Energy R Bull CBBC Jun 2014 A	2014/06/13	2014/06/17
64874	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Y	2014/06/13	2014/06/16
64880	UBS AG – HSI R Bear CBBC Sep 2014 Y	2014/06/13	2014/06/16
63111	UBS AG – Hutchison R Bull CBBC Jun 2014 A	2014/06/13	2014/06/17
64892	UBS AG – HSI R Bear CBBC Oct 2014 X	2014/06/13	2014/06/16
64812	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 F	2014/06/13	2014/06/16
64897	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 X	2014/06/13	2014/06/16
68524	UBS AG – HSBC R Bull CBBC Jun 2014 A	2014/06/13	2014/06/17
65674	HK Bank – HSI R Bear CBBC Aug 2014 I	2014/06/13	2014/06/16
64836	Credit Suisse AG – HSI R Bull CBBC Sep 2014 U	2014/06/13	2014/06/16
64817	HK Bank – HSI R Bear CBBC Dec 2014 Q	2014/06/13	2014/06/16
66387	Standard Chartered Bank – HSI R Bear CBBC Sep 2014 A	2014/06/13	2014/06/16
60514	UBS AG – TCH R Bull CBBC Jun 2014 M	2014/06/13	2014/06/17
61399	Credit Suisse AG – HSI R Bear CBBC Jun 2014 K	2014/06/13	2014/06/16
64830	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 I	2014/06/13	2014/06/16
63657	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Feb 2015 B	2014/06/13	2014/06/16
64821	HK Bank – TCH R Bear CBBC Dec 2014 N	2014/06/13	2014/06/16
66677	UBS AG – CUni R Bull CBBC Jun 2014 A	2014/06/13	2014/06/17
66763	UBS AG – Ping An R Bear CBBC Jun 2014 A	2014/06/13	2014/06/17

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62315	UBS AG – TCH R Bull CBBC Jun 2014 I	2014/06/13	2014/06/17
64223	UBS AG – TCH R Bull CBBC Jun 2014 D	2014/06/13	2014/06/17
66955	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Jun 2014 A	2014/06/13	2014/06/17
65501	HK Bank – HSI R Bear CBBC Oct 2014 A	2014/06/13	2014/06/16
63318	HK Bank – Sinopec Corp R Bear CBBC Apr 2015 C	2014/06/13	2014/06/16
64388	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 C	2014/06/13	2014/06/16
69203	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug14 B	2014/06/13	2014/06/16
64784	Credit Suisse AG – HSI R Bear CBBC Sep 2014 X	2014/06/13	2014/06/16
68702	UBS AG – Sinopec Corp R Bull CBBC Jun 2014 A	2014/06/13	2014/06/17
64917	UBS AG – HSI R Bear CBBC Sep 2014 A	2014/06/16	2014/06/17
64934	Credit Suisse AG – HSI R Bear CBBC Oct 2014 R	2014/06/16	2014/06/17
64933	Credit Suisse AG – HSI R Bear CBBC Oct 2014 Q	2014/06/16	2014/06/17
64932	Bank of East Asia – TCH R Bear CBBC Aug 2015 A	2014/06/16	2014/06/17
62949	Standard Chartered Bank – PetCh R Bear CBBC Sep 2014 B	2014/06/16	2014/06/17
64916	UBS AG – HSI R Bear CBBC Sep 2014 Z	2014/06/16	2014/06/17
65453	UBS AG – HSI R Bull CBBC Dec 2014 L	2014/06/17	2014/06/18
65036	Credit Suisse AG – HSI R Bear CBBC Oct 2014 S	2014/06/17	2014/06/18
65110	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 14N	2014/06/17	2014/06/18
65456	UBS AG – HSI R Bull CBBC Dec 2014 M	2014/06/17	2014/06/18
65072	UBS AG – HSI R Bear CBBC Dec 2014 C	2014/06/17	2014/06/18
65356	Credit Suisse AG – HSI R Bull CBBC Jan 2015 O	2014/06/17	2014/06/18
65198	Credit Suisse AG – HSI R Bull CBBC Jan 2015 N	2014/06/17	2014/06/18
65141	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 D	2014/06/17	2014/06/18
65367	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 P	2014/06/17	2014/06/18
65133	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 J	2014/06/17	2014/06/18
65659	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 H	2014/06/18	2014/06/19
65075	Credit Suisse AG – HSI R Bear CBBC Oct 2014 T	2014/06/18	2014/06/19
65576	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 T	2014/06/18	2014/06/19
65819	Credit Suisse AG – HSI R Bear CBBC Oct 2014 V	2014/06/18	2014/06/19
65789	UBS AG – HSI R Bull CBBC Jan 2015 L	2014/06/18	2014/06/19
65661	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 I	2014/06/18	2014/06/19
65821	UBS AG – HSI R Bear CBBC Dec 2014 D	2014/06/18	2014/06/19
65622	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 L	2014/06/18	2014/06/19
65601	Credit Suisse AG – HSI R Bull CBBC Oct 2014 C	2014/06/18	2014/06/19
65166	UBS AG – HSI R Bear CBBC Sep 2014 C	2014/06/18	2014/06/19
65989	UBS AG – HSI R Bear CBBC Sep 2014 K	2014/06/19	2014/06/20
65988	UBS AG – HSI R Bear CBBC Sep 2014 F	2014/06/19	2014/06/20
65165	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 Y	2014/06/19	2014/06/20
65932	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 I	2014/06/19	2014/06/20
65952	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/06/19	2014/06/20
65859	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/06/19	2014/06/20
65856	UBS AG – HSI R Bull CBBC Oct 2014 H	2014/06/19	2014/06/20
65839	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 J	2014/06/19	2014/06/20
60248	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Feb 2015 B	2014/06/19	2014/06/20
65982	Credit Suisse AG – HSI R Bear CBBC Oct 2014 W	2014/06/19	2014/06/20
65687	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 N	2014/06/19	2014/06/20
67588	HK Bank – CNOOC R Bear CBBC Dec 2014 A	2014/06/19	2014/06/20
64284	UBS AG – TCH R Bull CBBC Jun 2014 N	2014/06/20	2014/06/24
61049	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Jun 2014 B	2014/06/20	2014/06/24
61048	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Jun 2014 A	2014/06/20	2014/06/24
60517	UBS AG – HSBC R Bear CBBC Jun 2014 A	2014/06/20	2014/06/24
66116	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 H	2014/06/20	2014/06/23
63127	UBS AG – TCH R Bull CBBC Jun 2014 J	2014/06/20	2014/06/24
63390	HK Bank – Hutchison R Bull CBBC Jun 2014 A	2014/06/20	2014/06/24
66170	UBS AG – HSI R Bull CBBC Oct 2014 L	2014/06/20	2014/06/23
66163	Credit Suisse AG – HSI R Bull CBBC Feb 2015 C	2014/06/20	2014/06/23
66159	Credit Suisse AG – HSI R Bull CBBC Feb 2015 B	2014/06/20	2014/06/23
62171	UBS AG – TCH R Bull CBBC Jun 2014 H	2014/06/20	2014/06/24
65048	HK Bank – China Life R Bull CBBC Jun 2014 A	2014/06/20	2014/06/24
62906	UBS AG – AIA R Bull CBBC Jun 2014 B	2014/06/20	2014/06/24
66125	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Z	2014/06/20	2014/06/23
62904	UBS AG – TCH R Bull CBBC Jun 2014 A	2014/06/20	2014/06/24
62899	UBS AG – Cheung Kong R Bull CBBC Jun 2014 A	2014/06/20	2014/06/24
66113	UBS AG – HSI R Bear CBBC Sep 2014 G	2014/06/20	2014/06/23
61439	UBS AG – China Mobile R Bull CBBC Jun 2014 B	2014/06/20	2014/06/24
66765	UBS AG – China Mobile R Bear CBBC Jun 2014 A	2014/06/20	2014/06/24
66747	UBS AG – A50 China R Bear CBBC Jun 2014 A	2014/06/20	2014/06/24
64490	HK Bank – AIA R Bear CBBC Jun 2014 C	2014/06/20	2014/06/24
65996	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 Q	2014/06/20	2014/06/23
62221	UBS AG – A50 China R Bull CBBC Jun 2014 A	2014/06/20	2014/06/24
64138	UBS AG – Ping An R Bull CBBC Jun 2014 A	2014/06/20	2014/06/24
64947	Credit Suisse AG – HSI R Bull CBBC Feb 2015 A	2014/06/23	2014/06/24
64979	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 K	2014/06/23	2014/06/24
65019	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/06/23	2014/06/24
65020	UBS AG – HSI R Bull CBBC Dec 2014 H	2014/06/23	2014/06/24
65076	Credit Suisse AG – HSI R Bear CBBC Oct 2014 U	2014/06/23	2014/06/24
65130	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 14F	2014/06/23	2014/06/24
64345	Standard Chartered Bank – HSI R Bull CBBC Jan 2015 E	2014/06/23	2014/06/24
64935	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 W	2014/06/23	2014/06/24
64182	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 D	2014/06/23	2014/06/24
64198	Credit Suisse AG – HSI R Bull CBBC Jan 2015 I	2014/06/23	2014/06/24
64203	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/06/23	2014/06/24
64249	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Y	2014/06/23	2014/06/24
65196	Credit Suisse AG – HSI R Bull CBBC Jan 2015 M	2014/06/23	2014/06/24
64584	HK Bank – BOCL R Bull CBBC Jul 2015 A	2014/06/23	2014/06/24
64340	Credit Suisse AG – HSI R Bull CBBC Oct 2014 M	2014/06/23	2014/06/24
64865	Credit Suisse AG – HSI R Bull CBBC Sep 2014 V	2014/06/23	2014/06/24

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64843	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Y	2014/06/23	2014/06/24
64833	UBS AG – HSI R Bull CBBC Jan 2015 K	2014/06/23	2014/06/24
64336	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 Q	2014/06/23	2014/06/24
64505	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Y	2014/06/23	2014/06/24
64335	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 P	2014/06/23	2014/06/24
64248	UBS AG – HSI R Bull CBBC Oct 2014 J	2014/06/23	2014/06/24
65840	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 O	2014/06/23	2014/06/24
66218	HK Bank – HSI R Bull CBBC Dec 2014 V	2014/06/23	2014/06/24
64359	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 J	2014/06/23	2014/06/24
65658	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 B	2014/06/23	2014/06/24
66214	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 D	2014/06/23	2014/06/24
66171	UBS AG – HSI R Bull CBBC Oct 2014 W	2014/06/23	2014/06/24
66133	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 J	2014/06/23	2014/06/24
64378	Standard Chartered Bank – Lenovo R Bear CBBC Feb 2015 A	2014/06/23	2014/06/24
64354	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 R	2014/06/23	2014/06/24
65834	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 C	2014/06/23	2014/06/24
66225	HK Bank – HSI R Bull CBBC Jan 2015 K	2014/06/23	2014/06/24
65842	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 W	2014/06/23	2014/06/24
66111	UBS AG – HSI R Bear CBBC Sep 2014 R	2014/06/23	2014/06/24
65927	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 X	2014/06/23	2014/06/24
66045	HK Bank – HSI R Bull CBBC Jan 2015 J	2014/06/23	2014/06/24
66043	HK Bank – HSI R Bull CBBC Dec 2014 U	2014/06/23	2014/06/24
66041	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 T	2014/06/23	2014/06/24
66016	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 X	2014/06/23	2014/06/24
66127	Credit Suisse AG – HSI R Bear CBBC Sep 2014 C	2014/06/23	2014/06/24
66359	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/06/23	2014/06/24
66400	Credit Suisse AG – HSI R Bear CBBC Sep 2014 G	2014/06/23	2014/06/24
64360	Credit Suisse AG – HSI R Bull CBBC Nov 2014 D	2014/06/23	2014/06/24
64361	HK Bank – HSI R Bull CBBC Jan 2015 I	2014/06/23	2014/06/24
64455	UBS AG – HSI R Bull CBBC Jan 2015 H	2014/06/23	2014/06/24
66401	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/06/23	2014/06/24
65428	UBS AG – HSI R Bull CBBC Oct 2014 F	2014/06/23	2014/06/24
64383	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 Q	2014/06/23	2014/06/24
66641	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 U	2014/06/24	2014/06/25
66639	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 A	2014/06/24	2014/06/25
66505	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 M	2014/06/24	2014/06/25
66452	Credit Suisse AG – HSI R Bull CBBC Nov 2014 V	2014/06/24	2014/06/25
66426	HK Bank – A50 R Bull CBBC Feb 2015 D	2014/06/24	2014/06/25
66516	UBS AG – HSI R Bull CBBC Dec 2014 E	2014/06/24	2014/06/25
66258	HK Bank – ABC R Bull CBBC Aug 2015 B	2014/06/25	2014/06/26
66690	HK Bank – HSI R Bull CBBC Jan 2015 L	2014/06/25	2014/06/26
66716	Credit Suisse AG – HSI R Bull CBBC Oct 2014 N	2014/06/25	2014/06/26
66762	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 K	2014/06/25	2014/06/26
63911	Bank of East Asia – Ping An R Bull CBBC Jun 2014 B	2014/06/25	2014/06/27
64486	HK Bank – AIA R Bear CBBC Jun 2014 B	2014/06/25	2014/06/27
63913	Bank of East Asia – ICBC R Bull CBBC Jun 2014 A	2014/06/25	2014/06/27
64027	UBS AG – TCH R Bull CBBC Jun 2014 C	2014/06/25	2014/06/27
62024	Credit Suisse AG – HSI R Bull CBBC Jun 2014 T	2014/06/26	2014/06/30
64380	Credit Suisse AG – HSI R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
62138	Credit Suisse AG – HSI R Bull CBBC Jun 2014 X	2014/06/26	2014/06/30
62137	Credit Suisse AG – HSI R Bull CBBC Jun 2014 W	2014/06/26	2014/06/30
62135	Credit Suisse AG – HSI R Bull CBBC Jun 2014 V	2014/06/26	2014/06/30
60892	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 H	2014/06/26	2014/06/30
60893	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 I	2014/06/26	2014/06/30
60894	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 J	2014/06/26	2014/06/30
62043	UBS AG – HSCEI R Bull CBBC Jun 2014 C	2014/06/26	2014/06/30
60533	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 D	2014/06/26	2014/06/30
65447	UBS AG – HSI R Bear CBBC Jun 2014 K	2014/06/26	2014/06/30
60362	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 I	2014/06/26	2014/06/30
62020	Credit Suisse AG – HSI R Bull CBBC Jun 2014 S	2014/06/26	2014/06/30
62019	Credit Suisse AG – HSI R Bull CBBC Jun 2014 R	2014/06/26	2014/06/30
62018	Credit Suisse AG – HSI R Bull CBBC Jun 2014 Q	2014/06/26	2014/06/30
62016	Credit Suisse AG – HSI R Bull CBBC Jun 2014 P	2014/06/26	2014/06/30
62015	Credit Suisse AG – HSI R Bull CBBC Jun 2014 O	2014/06/26	2014/06/30
62014	Credit Suisse AG – HSI R Bull CBBC Jun 2014 N	2014/06/26	2014/06/30
62013	Credit Suisse AG – HSI R Bull CBBC Jun 2014 M	2014/06/26	2014/06/30
61998	Credit Suisse AG – HSI R Bull CBBC Jun 2014 L	2014/06/26	2014/06/30
62025	Credit Suisse AG – HSI R Bull CBBC Jun 2014 U	2014/06/26	2014/06/30
65959	Credit Suisse AG – HSI R Bear CBBC Jun 2014 S	2014/06/26	2014/06/30
66819	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 D	2014/06/26	2014/06/30
64266	HK Bank – HSI R Bear CBBC Jun 2014 C	2014/06/26	2014/06/30
64132	HK Bank – HSI R Bull CBBC Jun 2014 B	2014/06/26	2014/06/30
66514	UBS AG – HSCEI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
66385	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 X	2014/06/26	2014/06/30
63374	HK Bank – HSI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
67648	UBS AG – HSI R Bear CBBC Jun 2014 D	2014/06/26	2014/06/30
69998	Bank of East Asia – Galaxy Ent R Bull CBBC Jun 2014 A	2014/06/26	2014/06/30
60535	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 E	2014/06/26	2014/06/30
68311	UBS AG – HSI R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
61951	Credit Suisse AG – HSI R Bull CBBC Jun 2014 I	2014/06/26	2014/06/30
65955	Credit Suisse AG – HSI R Bear CBBC Jun 2014 R	2014/06/26	2014/06/30
65953	Credit Suisse AG – HSI R Bear CBBC Jun 2014 Q	2014/06/26	2014/06/30
65945	Credit Suisse AG – HSI R Bear CBBC Jun 2014 P	2014/06/26	2014/06/30
67752	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 K	2014/06/26	2014/06/30
63183	UBS AG – HSCEI R Bull CBBC Jun 2014 D	2014/06/26	2014/06/30
62910	UBS AG – HSI R Bull CBBC Jun 2014 J	2014/06/26	2014/06/30
62743	UBS AG – HSI R Bull CBBC Jun 2014 I	2014/06/26	2014/06/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60361	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2014 H	2014/06/26	2014/06/30
69178	HK Bank – HSI R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
66660	UBS AG – HSI R Bear CBBC Nov 2014 K	2014/06/26	2014/06/27
60022	UBS AG – HSI R Bull CBBC Jun 2014 A	2014/06/26	2014/06/30
66529	UBS AG – China Life R Bull CBBC Jun 2014 A	2014/06/26	2014/06/30
66525	UBS AG – HSCEI R Bull CBBC Jun 2014 A	2014/06/26	2014/06/30
62533	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 G	2014/06/26	2014/06/30
62534	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 H	2014/06/26	2014/06/30
62538	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 I	2014/06/26	2014/06/30
62544	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 J	2014/06/26	2014/06/30
62547	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 K	2014/06/26	2014/06/30
61997	Credit Suisse AG – HSI R Bull CBBC Jun 2014 K	2014/06/26	2014/06/30
62567	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 M	2014/06/26	2014/06/30
61452	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 W	2014/06/26	2014/06/30
66851	Credit Suisse AG – HSI R Bull CBBC Feb 2015 D	2014/06/26	2014/06/27
66855	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/06/26	2014/06/27
66863	Credit Suisse AG – HSI R Bear CBBC Sep 2014 B	2014/06/26	2014/06/27
66883	UBS AG – HSI R Bull CBBC Dec 2014 X	2014/06/26	2014/06/27
66901	UBS AG – HSI R Bull CBBC Jan 2015 M	2014/06/26	2014/06/27
66904	UBS AG – HSI R Bear CBBC Sep 2014 O	2014/06/26	2014/06/27
66905	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 K	2014/06/26	2014/06/27
66659	Credit Suisse AG – HSI R Bear CBBC Nov 2014 G	2014/06/26	2014/06/27
62548	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun14 L	2014/06/26	2014/06/30
61873	Credit Suisse AG – HSI R Bull CBBC Jun 2014 D	2014/06/26	2014/06/30
61872	Credit Suisse AG – HSI R Bull CBBC Jun 2014 C	2014/06/26	2014/06/30
65017	Standard Chartered Bank – Galaxy Ent R Bear CBBC Jan 2015 A	2014/06/26	2014/06/27
61950	Credit Suisse AG – HSI R Bull CBBC Jun 2014 H	2014/06/26	2014/06/30
65026	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Mar15B	2014/06/26	2014/06/27
61949	Credit Suisse AG – HSI R Bull CBBC Jun 2014 G	2014/06/26	2014/06/30
61197	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 M	2014/06/26	2014/06/30
61218	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 N	2014/06/26	2014/06/30
61219	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 O	2014/06/26	2014/06/30
60996	UBS AG – HSCEI R Bull CBBC Jun 2014 B	2014/06/26	2014/06/30
61874	Credit Suisse AG – HSI R Bull CBBC Jun 2014 E	2014/06/26	2014/06/30
61538	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 Y	2014/06/26	2014/06/30
65487	HK Bank – Sands China R Bear CBBC Jan 2015 B	2014/06/26	2014/06/27
61871	Credit Suisse AG – HSI R Bull CBBC Jun 2014 B	2014/06/26	2014/06/30
61276	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 Q	2014/06/26	2014/06/30
61280	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 R	2014/06/26	2014/06/30
61283	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 S	2014/06/26	2014/06/30
61449	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 T	2014/06/26	2014/06/30
61450	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 U	2014/06/26	2014/06/30
61451	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 V	2014/06/26	2014/06/30
61952	Credit Suisse AG – HSI R Bull CBBC Jun 2014 J	2014/06/26	2014/06/30
61220	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 P	2014/06/26	2014/06/30
60792	HK Bank – HSI R Bear CBBC Jun 2014 L	2014/06/26	2014/06/30
66333	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 W	2014/06/26	2014/06/30
65693	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 H	2014/06/26	2014/06/30
61199	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
61192	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
65733	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 U	2014/06/26	2014/06/30
65813	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2014 C	2014/06/26	2014/06/30
61036	UBS AG – HSI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
65902	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
60821	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 C	2014/06/26	2014/06/30
65563	Credit Suisse AG – HSI R Bear CBBC Jun 2014 H	2014/06/26	2014/06/30
60814	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
65516	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 R	2014/06/26	2014/06/30
60606	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 H	2014/06/26	2014/06/30
60594	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 F	2014/06/26	2014/06/30
65035	HK Bank – HSCEI R Bull CBBC Jun 2014 A	2014/06/26	2014/06/30
65711	HK Bank – HSI R Bear CBBC Jun 2014 E	2014/06/26	2014/06/30
66231	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 Q	2014/06/26	2014/06/30
68387	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 D	2014/06/26	2014/06/30
68385	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 C	2014/06/26	2014/06/30
68167	HK Bank – Ping An R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
66642	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 Y	2014/06/26	2014/06/30
66341	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 X	2014/06/26	2014/06/30
60817	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
66674	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 H	2014/06/26	2014/06/30
64381	Credit Suisse AG – HSI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
64384	Credit Suisse AG – HSI R Bear CBBC Jun 2014 C	2014/06/26	2014/06/30
61870	Credit Suisse AG – HSI R Bull CBBC Jun 2014 A	2014/06/26	2014/06/30
65515	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 Q	2014/06/26	2014/06/30
64652	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 M	2014/06/26	2014/06/30
64507	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
63717	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 G	2014/06/26	2014/06/30
63264	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 D	2014/06/26	2014/06/30
66672	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 F	2014/06/26	2014/06/30
63137	HK Bank – HSCEI R Bear CBBC Jun 2014 B	2014/06/26	2014/06/30
63117	HK Bank – HSI R Bear CBBC Jun 2014 M	2014/06/26	2014/06/30
65381	Standard Chartered Bank – A50 China R Bear CBBC Jun 2014 A	2014/06/26	2014/06/30
65504	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 S	2014/06/26	2014/06/30
66932	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 L	2014/06/27	2014/06/30
66997	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 V	2014/06/27	2014/06/30
66960	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/06/27	2014/06/30
62229	UBS AG – ICBC R Bull CBBC Jun 2014 B	2014/06/27	2014/07/02

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66954	Credit Suisse AG – HSI R Bull CBBC Jan 2015 R	2014/06/27	2014/06/30
66760	UBS AG – HSI R Bear CBBC Sep 2014 T	2014/06/27	2014/06/30
66947	Credit Suisse AG – HSI R Bear CBBC Sep 2014 H	2014/06/27	2014/06/30
66761	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 T	2014/06/27	2014/06/30
68482	UBS AG – HKEx R Bear CBBC Jun 2014 A	2014/06/27	2014/07/02
66931	UBS AG – HSI R Bear CBBC Sep 2014 N	2014/06/27	2014/06/30
61868	UBS AG – ICBC R Bull CBBC Jun 2014 A	2014/06/27	2014/07/02
66717	Credit Suisse AG – HSI R Bear CBBC Oct 2014 Z	2014/06/27	2014/06/30
66665	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Mar15 C	2014/06/27	2014/06/30
66934	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 E	2014/06/27	2014/06/30
67958	UBS AG – TCH R Bear CBBC Jun 2014 I	2014/06/27	2014/07/02
64219	UBS AG – Hutchison R Bear CBBC Jul 2014 A	2014/06/27	2014/06/30
64978	HK Bank – Kunlun Energy R Bull CBBC Jun 2014 A	2014/06/27	2014/07/02
63079	UBS AG – TCH R Bull CBBC Jun 2014 B	2014/06/27	2014/07/02
69989	HK Bank – PetCh R Bull CBBC Jun 2014 A	2014/06/27	2014/07/02
66465	Citigroup Global Mkt H Inc. – Hutchison R Bear CBBC Dec 14 B	2014/06/27	2014/06/30
67092	UBS AG – HSI R Bull CBBC Oct 2014 G	2014/06/30	2014/07/02
67085	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/06/30	2014/07/02
67082	Credit Suisse AG – HSI R Bear CBBC Sep 2014 P	2014/06/30	2014/07/02
67099	UBS AG – HSI R Bull CBBC Mar 2015 G	2014/06/30	2014/07/02
67101	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 N	2014/06/30	2014/07/02
67103	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 O	2014/06/30	2014/07/02
67057	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 X	2014/06/30	2014/07/02
67055	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 W	2014/06/30	2014/07/02
67051	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 B	2014/06/30	2014/07/02
67047	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 J	2014/06/30	2014/07/02
67046	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 I	2014/06/30	2014/07/02
67069	Credit Suisse AG – HSI R Bear CBBC Sep 2014 Q	2014/06/30	2014/07/02
67040	Credit Suisse AG – HSI R Bull CBBC Feb 2015 G	2014/06/30	2014/07/02
67032	Credit Suisse AG – HSI R Bull CBBC Feb 2015 E	2014/06/30	2014/07/02
67075	Credit Suisse AG – HSI R Bear CBBC Sep 2014 T	2014/06/30	2014/07/02
67023	Credit Suisse AG – HSI R Bear CBBC Sep 2014 S	2014/06/30	2014/07/02
62503	UBS AG – Huishan Dairy R Bull CBBC Dec 2015 B	2014/06/30	2014/07/02
66953	Credit Suisse AG – HSI R Bull CBBC Jan 2015 Q	2014/06/30	2014/07/02
66907	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 U	2014/06/30	2014/07/02
65250	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Jul 2014 A	2014/06/30	2014/07/03
65479	HK Bank – Galaxy Ent R Bear CBBC Jan 2015 D	2014/06/30	2014/07/02
66791	UBS AG – HSI R Bear CBBC Sep 2014 V	2014/06/30	2014/07/02
66766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 C	2014/06/30	2014/07/02
66689	HK Bank – HSI R Bear CBBC Feb 2015 E	2014/06/30	2014/07/02
65258	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Jul14B	2014/06/30	2014/07/03
65872	Credit Suisse AG – TCH R Bear CBBC Dec 2014 A	2014/06/30	2014/07/02
67035	Credit Suisse AG – HSI R Bull CBBC Feb 2015 F	2014/06/30	2014/07/02
67121	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 H	2014/06/30	2014/07/02
67059	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 M	2014/06/30	2014/07/02
67165	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 C	2014/06/30	2014/07/02
67148	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 Z	2014/06/30	2014/07/02
65254	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Jul14A	2014/06/30	2014/07/03
67147	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 Y	2014/06/30	2014/07/02
67120	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 G	2014/06/30	2014/07/02
67118	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 F	2014/06/30	2014/07/02
67116	UBS AG – HSI R Bear CBBC Oct 2014 O	2014/06/30	2014/07/02
67115	UBS AG – HSI R Bear CBBC Nov 2014 L	2014/06/30	2014/07/02
67110	UBS AG – HSI R Bear CBBC Oct 2014 B	2014/06/30	2014/07/02
67105	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 C	2014/06/30	2014/07/02
60510	UBS AG – Petrochina R Bear CBBC Jul 2014 A	2014/06/30	2014/07/02
69477	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 L	2014/07/02	2014/07/03
66409	HK Bank – HSI R Bear CBBC Dec 2014 T	2014/07/02	2014/07/03
66773	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 D	2014/07/02	2014/07/03
67269	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 C	2014/07/02	2014/07/03
67270	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 D	2014/07/02	2014/07/03
66718	Standard Chartered Bank – HSI R Bear CBBC Jun 2015 C	2014/07/02	2014/07/03
67233	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 Q	2014/07/02	2014/07/03
66667	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Mar15 D	2014/07/02	2014/07/03
69309	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug 14D	2014/07/02	2014/07/03
63453	HK Bank – Galaxy Ent R Bear CBBC Feb 2015 A	2014/07/02	2014/07/03
63454	HK Bank – Sands China R Bear CBBC Feb 2015 A	2014/07/02	2014/07/03
60403	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 S	2014/07/02	2014/07/03
60434	UBS AG – HSI R Bear CBBC Jul 2014 W	2014/07/02	2014/07/03
67942	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 A	2014/07/02	2014/07/03
68170	HK Bank – TCH R Bear CBBC Nov 2014 L	2014/07/02	2014/07/03
68895	Credit Suisse AG – HSI R Bear CBBC Sep 2014 I	2014/07/02	2014/07/03
69184	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 L	2014/07/02	2014/07/03
67201	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2014 B	2014/07/02	2014/07/03
66326	Credit Suisse AG – HSI R Bear CBBC Sep 2014 E	2014/07/02	2014/07/03
67139	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 X	2014/07/02	2014/07/03
67188	Standard Chartered Bank – HSI R Bear CBBC Jun 2015 E	2014/07/02	2014/07/03
67191	HK Bank – HSI R Bear CBBC Feb 2015 F	2014/07/02	2014/07/03
67192	HK Bank – HSI R Bear CBBC Mar 2015 C	2014/07/02	2014/07/03
67025	UBS AG – HSI R Bear CBBC Oct 2014 A	2014/07/02	2014/07/03
66924	UBS AG – HSI R Bear CBBC Sep 2014 W	2014/07/02	2014/07/03
67195	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2014 A	2014/07/02	2014/07/03
67232	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 P	2014/07/02	2014/07/03
67213	UBS AG – HSI R Bear CBBC Oct 2014 N	2014/07/02	2014/07/03
66952	Credit Suisse AG – HSI R Bear CBBC Sep 2014 M	2014/07/02	2014/07/03
67104	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 B	2014/07/02	2014/07/03
67215	UBS AG – HSI R Bear CBBC Oct 2014 F	2014/07/02	2014/07/03

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
67216	Credit Suisse AG – HSI R Bear CBBC Oct 2014 C	2014/07/02	2014/07/03
67217	Credit Suisse AG – HSI R Bear CBBC Oct 2014 D	2014/07/02	2014/07/03
67219	Credit Suisse AG – HSI R Bear CBBC Oct 2014 E	2014/07/02	2014/07/03
67194	HK Bank – HSI R Bear CBBC Mar 2015 D	2014/07/02	2014/07/03
68619	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 J	2014/07/02	2014/07/03
64389	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 D	2014/07/02	2014/07/03
65683	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 M	2014/07/02	2014/07/03
63797	HK Bank – HSI R Bear CBBC Jan 2015 C	2014/07/02	2014/07/03
67817	HK Bank – TCH R Bear CBBC Nov 2014 J	2014/07/02	2014/07/03
64567	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 A	2014/07/02	2014/07/03
63674	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Feb15B	2014/07/02	2014/07/03
69218	UBS AG – HSI R Bear CBBC Jul 2014 G	2014/07/02	2014/07/03
64692	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 H	2014/07/02	2014/07/03
64329	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 I	2014/07/02	2014/07/03
64780	Standard Chartered Bank – TCH R Bear CBBC Dec 2014 C	2014/07/02	2014/07/03
64464	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Mar15 A	2014/07/02	2014/07/03
64837	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2014 A	2014/07/02	2014/07/03
64983	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 G	2014/07/02	2014/07/03
64987	Standard Chartered Bank – Galaxy Ent R Bear CBBC Feb 2015 B	2014/07/02	2014/07/03
68383	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Mar 2015 D	2014/07/02	2014/07/03
61542	UBS AG – HSI R Bear CBBC Sep 2014 P	2014/07/02	2014/07/03
65054	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 14E	2014/07/02	2014/07/03
69619	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2014 C	2014/07/02	2014/07/03
65673	HK Bank – HSI R Bear CBBC Sep 2014 F	2014/07/02	2014/07/03
64751	Credit Suisse AG – HSI R Bear CBBC Oct 2014 O	2014/07/02	2014/07/03
64147	UBS AG – TCH R Bear CBBC Mar 2015 A	2014/07/02	2014/07/03
63541	SGA Societe Generale Acceptance NV-Galaxy R Bear CBBC Nov14A	2014/07/02	2014/07/03
64327	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 H	2014/07/02	2014/07/03
66513	Credit Suisse AG – HSI R Bear CBBC Jul 2014 G	2014/07/02	2014/07/03
60566	UBS AG – HSI R Bear CBBC Aug 2014 Z	2014/07/02	2014/07/03
64235	Credit Suisse AG – HSI R Bear CBBC Aug 2014 Z	2014/07/02	2014/07/03
61166	Credit Suisse AG – HSI R Bear CBBC Aug 2014 R	2014/07/02	2014/07/03
60165	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 J	2014/07/02	2014/07/03
65788	UBS AG – HSI R Bear CBBC Sep 2014 B	2014/07/02	2014/07/03
64303	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 B	2014/07/02	2014/07/03
68522	UBS AG – TCH R Bear CBBC Sep 2014 I	2014/07/02	2014/07/03
65617	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 Q	2014/07/02	2014/07/03
67813	HK Bank – TCH R Bear CBBC Nov 2014 I	2014/07/03	2014/07/04
67295	UBS AG – HSI R Bear CBBC Jan 2015 B	2014/07/03	2014/07/04
67947	UBS AG – TCH R Bear CBBC Jul 2014 E	2014/07/03	2014/07/04
67296	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 R	2014/07/03	2014/07/04
67944	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 B	2014/07/03	2014/07/04
60829	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 A	2014/07/03	2014/07/04
63798	HK Bank – HSI R Bear CBBC Dec 2014 O	2014/07/03	2014/07/04
67287	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 A	2014/07/03	2014/07/04
67283	UBS AG – HSI R Bear CBBC Nov 2014 M	2014/07/03	2014/07/04
64491	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 B	2014/07/03	2014/07/04
67278	Credit Suisse AG – HSI R Bear CBBC Nov 2014 I	2014/07/03	2014/07/04
60250	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Feb 2015 C	2014/07/03	2014/07/04
67277	Credit Suisse AG – HSI R Bear CBBC Nov 2014 H	2014/07/03	2014/07/04
61162	Standard Chartered Bank – HKEx R Bear CBBC Sep 2014 B	2014/07/03	2014/07/04
61257	UBS AG – HKEx R Bear CBBC Jan 2015 B	2014/07/03	2014/07/04
64330	UBS AG – TCH R Bear CBBC Mar 2015 B	2014/07/03	2014/07/04
67279	Credit Suisse AG – HSI R Bear CBBC Nov 2014 J	2014/07/03	2014/07/04
68115	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 I	2014/07/03	2014/07/04
68257	Credit Suisse AG – TCH R Bear CBBC Jul 2014 D	2014/07/03	2014/07/04
66848	Credit Suisse AG – HSI R Bear CBBC Oct 2014 B	2014/07/03	2014/07/04
69055	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 A	2014/07/03	2014/07/04
63700	HK Bank – PetCh R Bear CBBC Apr 2015 A	2014/07/03	2014/07/04
63103	HK Bank – HSI R Bear CBBC Jul 2014 J	2014/07/03	2014/07/04
66860	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Mar 2015 E	2014/07/03	2014/07/04
66379	Standard Chartered Bank – Sinopec Corp R Bear CBBC Jul 2014A	2014/07/03	2014/07/07
66325	UBS AG – HSI R Bear CBBC Sep 2014 S	2014/07/03	2014/07/04
64241	Standard Chartered Bank – Galaxy Ent R Bear CBBC Feb 2015 A	2014/07/04	2014/07/07
64102	UBS AG – Ping An R Bull CBBC Jul 2014 C	2014/07/04	2014/07/08
61047	UBS AG – HSI R Bear CBBC Aug 2014 G	2014/07/04	2014/07/07
67067	UBS AG – Sands China R Bull CBBC Jul 2014 A	2014/07/04	2014/07/08
66459	UBS AG – TCH R Bull CBBC Jul 2014 A	2014/07/04	2014/07/08
67424	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 F	2014/07/04	2014/07/07
65496	Credit Suisse AG – HSI R Bear CBBC Jul 2014 V	2014/07/04	2014/07/07
64043	UBS AG – Hutchison R Bull CBBC Jul 2014 A	2014/07/04	2014/07/08
63070	HK Bank – Sands China R Bull CBBC Jul 2014 A	2014/07/04	2014/07/08
64613	HK Bank – AIA R Bear CBBC Jul 2014 A	2014/07/04	2014/07/08
67936	UBS AG – TCH R Bull CBBC Jul 2014 E	2014/07/04	2014/07/08
67327	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 C	2014/07/04	2014/07/07
61313	UBS AG – TCH R Bull CBBC Jul 2014 I	2014/07/04	2014/07/08
67423	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 E	2014/07/04	2014/07/07
66329	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 U	2014/07/04	2014/07/07
63457	Standard Chartered Bank – GCL-Poly Energy R Bear CBBC Dec14B	2014/07/04	2014/07/07
67373	UBS AG – HSI R Bear CBBC Nov 2014 N	2014/07/04	2014/07/07
67301	Credit Suisse AG – HSI R Bear CBBC Oct 2014 F	2014/07/04	2014/07/07
67343	Credit Suisse AG – HSI R Bear CBBC Oct 2014 G	2014/07/04	2014/07/07
67305	UBS AG – HSI R Bear CBBC Dec 2014 F	2014/07/04	2014/07/07
65561	UBS AG – HSBC R Bear CBBC Jul 2014 A	2014/07/04	2014/07/08
64582	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 B	2014/07/04	2014/07/07
63917	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 A	2014/07/04	2014/07/07
69478	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 M	2014/07/04	2014/07/07

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64695	HK Bank – Hutchison R Bull CBBC Jul 2014 A	2014/07/04	2014/07/08
67422	UBS AG – Sinopec Corp R Bull CBBC Jul 2014 C	2014/07/04	2014/07/08
67945	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 C	2014/07/04	2014/07/07
66266	HK Bank – TCH R Bear CBBC Oct 2014 L	2014/07/04	2014/07/07
66092	UBS AG – SHK Ppt R Bull CBBC Jul 2014 A	2014/07/04	2014/07/08
67516	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 I	2014/07/07	2014/07/08
61956	UBS AG – HKEx R Bear CBBC Feb 2015 H	2014/07/07	2014/07/08
67521	Credit Suisse AG – HSI R Bear CBBC Oct 2014 H	2014/07/07	2014/07/08
67522	Credit Suisse AG – HSI R Bear CBBC Oct 2014 L	2014/07/07	2014/07/08
60407	Credit Suisse AG – HKEx R Bear CBBC Jul 2014 A	2014/07/07	2014/07/08
67540	UBS AG – HSI R Bear CBBC Oct 2014 M	2014/07/07	2014/07/08
63170	HK Bank – COVS R Bear CBBC May 2015 A	2014/07/07	2014/07/08
60456	HK Bank – HKEx R Bear CBBC Oct 2014 B	2014/07/07	2014/07/08
67609	Credit Suisse AG – HSI R Bear CBBC Nov 2014 M	2014/07/08	2014/07/09
67567	UBS AG – HSI R Bear CBBC Nov 2014 O	2014/07/08	2014/07/09
60390	UBS AG – HKEx R Bear CBBC Oct 2014 B	2014/07/08	2014/07/09
67554	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14A	2014/07/08	2014/07/09
67576	Credit Suisse AG – HSI R Bear CBBC Nov 2014 L	2014/07/08	2014/07/09
67578	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 J	2014/07/08	2014/07/09
67613	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 G	2014/07/08	2014/07/09
67610	UBS AG – HSI R Bear CBBC Jan 2015 D	2014/07/08	2014/07/09
67789	UBS AG – HSI R Bull CBBC Jan 2015 P	2014/07/09	2014/07/10
67377	UBS AG – HSI R Bull CBBC Dec 2014 V	2014/07/09	2014/07/10
67634	Credit Suisse AG – HSI R Bear CBBC Dec 2014 F	2014/07/09	2014/07/10
67763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 E	2014/07/09	2014/07/10
67764	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 L	2014/07/09	2014/07/10
67839	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 K	2014/07/09	2014/07/10
65103	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Jul14A	2014/07/09	2014/07/11
67731	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 H	2014/07/09	2014/07/10
67429	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 N	2014/07/09	2014/07/10
67439	HK Bank – HSI R Bull CBBC Jan 2015 M	2014/07/09	2014/07/10
67471	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 H	2014/07/09	2014/07/10
67812	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2014 D	2014/07/09	2014/07/10
67808	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/07/09	2014/07/10
67630	Credit Suisse AG – HSI R Bear CBBC Dec 2014 E	2014/07/09	2014/07/10
67636	UBS AG – HSI R Bear CBBC Nov 2014 P	2014/07/09	2014/07/10
67653	UBS AG – HSI R Bear CBBC Dec 2014 G	2014/07/09	2014/07/10
67721	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14H	2014/07/09	2014/07/10
67523	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Y	2014/07/09	2014/07/10
67529	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 I	2014/07/09	2014/07/10
67700	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 J	2014/07/09	2014/07/10
67698	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 P	2014/07/09	2014/07/10
67546	UBS AG – HSI R Bull CBBC Jul 2015 B	2014/07/09	2014/07/10
67781	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14O	2014/07/09	2014/07/10
67780	Credit Suisse AG – HSI R Bull CBBC Jan 2015 W	2014/07/09	2014/07/10
67772	Credit Suisse AG – HSI R Bull CBBC Jan 2015 V	2014/07/09	2014/07/10
67809	UBS AG – HSI R Bull CBBC Dec 2014 G	2014/07/09	2014/07/10
67607	Credit Suisse AG – HSI R Bull CBBC Feb 2015 K	2014/07/09	2014/07/10
67620	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 H	2014/07/09	2014/07/10
67761	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 C	2014/07/09	2014/07/10
67595	UBS AG – HSI R Bull CBBC Dec 2014 K	2014/07/09	2014/07/10
67625	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 K	2014/07/09	2014/07/10
67623	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 I	2014/07/09	2014/07/10
67770	Credit Suisse AG – HSI R Bull CBBC Jan 2015 U	2014/07/09	2014/07/10
67582	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14C	2014/07/09	2014/07/10
67605	Credit Suisse AG – HSI R Bull CBBC Feb 2015 J	2014/07/09	2014/07/10
67391	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2014 B	2014/07/09	2014/07/10
65084	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Jul 14 B	2014/07/09	2014/07/11
65074	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Jul 14 A	2014/07/09	2014/07/11
67624	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14B	2014/07/09	2014/07/10
67347	Credit Suisse AG – HSI R Bull CBBC Mar 2015 C	2014/07/09	2014/07/10
67734	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 I	2014/07/09	2014/07/10
67938	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 Q	2014/07/10	2014/07/11
67939	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 U	2014/07/10	2014/07/11
68129	HK Bank – Sinopec Corp R Bear CBBC Jul 2014 A	2014/07/10	2014/07/14
68139	HK Bank – China Life R Bear CBBC Jul 2014 A	2014/07/10	2014/07/14
67910	HK Bank – HSI R Bull CBBC Dec 2014 W	2014/07/10	2014/07/11
67948	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Z	2014/07/10	2014/07/11
67937	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 L	2014/07/10	2014/07/11
67973	UBS AG – HSI R Bull CBBC Jan 2015 R	2014/07/10	2014/07/11
67908	HK Bank – HSI R Bull CBBC Mar 2015 C	2014/07/10	2014/07/11
67949	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 R	2014/07/10	2014/07/11
67952	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/07/10	2014/07/11
67970	Credit Suisse AG – HSI R Bull CBBC Nov 2014 W	2014/07/10	2014/07/11
67951	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/07/10	2014/07/11
67974	UBS AG – HSI R Bull CBBC Oct 2014 Q	2014/07/10	2014/07/11
68635	UBS AG – Sands China R Bull CBBC Jul 2014 B	2014/07/11	2014/07/15
68105	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 R	2014/07/11	2014/07/14
68094	UBS AG – HSI R Bull CBBC Jan 2015 S	2014/07/11	2014/07/14
63428	HK Bank – Sinopec Corp R Bull CBBC Jul 2014 A	2014/07/11	2014/07/15
65049	HK Bank – China Life R Bull CBBC Jul 2014 A	2014/07/11	2014/07/15
62710	HK Bank – Sands China R Bear CBBC Jul 2014 B	2014/07/11	2014/07/15
66160	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Jul14C	2014/07/11	2014/07/15
60249	UBS AG – TCH R Bull CBBC Jul 2014 F	2014/07/11	2014/07/15
65998	UBS AG – Ping An R Bear CBBC Jul 2014 B	2014/07/11	2014/07/15
66956	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Jul 2014 A	2014/07/11	2014/07/15
66156	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Jul 2014 A	2014/07/11	2014/07/15

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
68085	Credit Suisse AG – HSI R Bull CBBC Mar 2015 F	2014/07/11	2014/07/14
66054	UBS AG – CC Bank R Bull CBBC Jul 2014 E	2014/07/11	2014/07/15
62226	UBS AG – China Life R Bull CBBC Jul 2014 A	2014/07/11	2014/07/15
68078	Credit Suisse AG – HSI R Bull CBBC Mar 2015 E	2014/07/11	2014/07/14
68074	Credit Suisse AG – HSI R Bull CBBC Mar 2015 D	2014/07/11	2014/07/14
68003	HK Bank – HSI R Bull CBBC Jan 2015 O	2014/07/11	2014/07/14
67978	HK Bank – HSI R Bull CBBC Feb 2015 G	2014/07/11	2014/07/14
63979	UBS AG – Galaxy Ent R Bull CBBC Jul 2014 A	2014/07/11	2014/07/15
67935	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 G	2014/07/11	2014/07/14
68095	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/07/11	2014/07/14
67879	HK Bank – TCH R Bull CBBC Feb 2015 D	2014/07/11	2014/07/14
62053	UBS AG – Ping An R Bull CBBC Jul 2014 A	2014/07/11	2014/07/15
68131	Credit Suisse AG – HSI R Bull CBBC Feb 2015 M	2014/07/14	2014/07/15
68150	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 A	2014/07/14	2014/07/15
67881	HK Bank – Galaxy Ent R Bull CBBC Jun 2015 A	2014/07/14	2014/07/15
68275	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Apr 2015 E	2014/07/14	2014/07/15
68187	UBS AG – HSI R Bull CBBC Oct 2014 S	2014/07/14	2014/07/15
67635	Credit Suisse AG – HSI R Bear CBBC Dec 2014 G	2014/07/14	2014/07/15
66711	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Mar15A	2014/07/14	2014/07/15
65059	Standard Chartered Bank – China Mobile R Bear CBBC Jul 2014B	2014/07/14	2014/07/15
65554	UBS AG – TCH R Bear CBBC Jul 2014 C	2014/07/14	2014/07/16
67689	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 B	2014/07/14	2014/07/15
64588	HK Bank – Lenovo R Bear CBBC Jun 2015 B	2014/07/14	2014/07/15
60708	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Aug14A	2014/07/15	2014/07/16
60845	UBS AG – China Mobile R Bear CBBC Jul 2014 B	2014/07/15	2014/07/16
67737	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 D	2014/07/15	2014/07/16
61686	HK Bank – China Mobile R Bear CBBC Nov 2014 A	2014/07/15	2014/07/16
68213	Credit Suisse AG – HSI R Bear CBBC Jan 2015 E	2014/07/15	2014/07/16
68396	Credit Suisse AG – HSI R Bull CBBC Jan 2015 X	2014/07/15	2014/07/16
68392	UBS AG – HSI R Bull CBBC Mar 2015 H	2014/07/15	2014/07/16
64259	SGA Societe Generale Acceptance NV-Lenovo R Bear CBBC Nov14A	2014/07/15	2014/07/16
68538	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15A	2014/07/16	2014/07/17
68508	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14I	2014/07/16	2014/07/17
67950	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 F	2014/07/16	2014/07/17
68542	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 D	2014/07/16	2014/07/17
68530	UBS AG – HSI R Bear CBBC Nov 2014 Q	2014/07/16	2014/07/17
67207	Standard Chartered Bank – Lenovo R Bear CBBC Apr 2015 A	2014/07/16	2014/07/17
68459	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 S	2014/07/16	2014/07/17
68525	UBS AG – HSI R Bear CBBC Dec 2014 H	2014/07/16	2014/07/17
68523	Credit Suisse AG – HSI R Bear CBBC Oct 2014 P	2014/07/16	2014/07/17
68517	Credit Suisse AG – HSI R Bear CBBC Oct 2014 N	2014/07/16	2014/07/17
68532	UBS AG – HSI R Bear CBBC Oct 2014 T	2014/07/16	2014/07/17
68516	Credit Suisse AG – HSI R Bear CBBC Oct 2014 M	2014/07/16	2014/07/17
68513	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 G	2014/07/16	2014/07/17
67953	UBS AG – HSI R Bear CBBC Jan 2015 E	2014/07/16	2014/07/17
68512	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 P	2014/07/16	2014/07/17
68511	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 O	2014/07/16	2014/07/17
68503	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14H	2014/07/16	2014/07/17
68473	UBS AG – HSI R Bull CBBC Jan 2015 U	2014/07/16	2014/07/17
68036	Credit Suisse AG – HSI R Bear CBBC Nov 2014 N	2014/07/16	2014/07/17
68468	Credit Suisse AG – HSI R Bull CBBC Jan 2015 Z	2014/07/16	2014/07/17
68467	Credit Suisse AG – HSI R Bull CBBC Jan 2015 Y	2014/07/16	2014/07/17
68401	Credit Suisse AG – HSI R Bear CBBC Jan 2015 F	2014/07/16	2014/07/17
68218	UBS AG – HSI R Bear CBBC Oct 2014 Q	2014/07/16	2014/07/17
68152	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 K	2014/07/16	2014/07/17
67972	Credit Suisse AG – HSI R Bear CBBC Dec 2014 K	2014/07/16	2014/07/17
67758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 L	2014/07/16	2014/07/17
68546	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 L	2014/07/16	2014/07/17
68553	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 M	2014/07/16	2014/07/17
67753	Credit Suisse AG – HSI R Bear CBBC Dec 2014 J	2014/07/16	2014/07/17
68544	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 E	2014/07/16	2014/07/17
67766	UBS AG – HSI R Bear CBBC Oct 2014 J	2014/07/16	2014/07/17
65069	Standard Chartered Bank – ABC R Bear CBBC Jul 2014 B	2014/07/17	2014/07/21
62502	Standard Chartered Bank – China Mobile R Bear CBBC Jul 2014A	2014/07/17	2014/07/21
63776	Standard Chartered Bank – Ping An R Bear CBBC Jul 2014 A	2014/07/17	2014/07/21
67914	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 E	2014/07/17	2014/07/18
64276	HK Bank – COVS R Bear CBBC Jul 2014 A	2014/07/17	2014/07/21
66912	Standard Chartered Bank – PetCh R Bear CBBC Apr 2015 A	2014/07/17	2014/07/18
68730	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 N	2014/07/17	2014/07/18
68729	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 H	2014/07/17	2014/07/18
68603	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14J	2014/07/17	2014/07/18
68705	UBS AG – HSI R Bear CBBC Oct 2014 R	2014/07/17	2014/07/18
64591	Standard Chartered Bank – China Life R Bear CBBC Jul 2014 A	2014/07/17	2014/07/21
68704	Credit Suisse AG – HSI R Bear CBBC Nov 2014 Q	2014/07/17	2014/07/18
68593	HK Bank – HSI R Bear CBBC Feb 2015 H	2014/07/17	2014/07/18
68592	HK Bank – HSI R Bear CBBC Jan 2015 I	2014/07/17	2014/07/18
68700	Credit Suisse AG – HSI R Bear CBBC Nov 2014 P	2014/07/17	2014/07/18
68706	UBS AG – HSI R Bear CBBC Nov 2014 R	2014/07/17	2014/07/18
60283	UBS AG – China Life R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
64911	UBS AG – China Mobile R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
63196	HK Bank – HKEx R Bear CBBC Jul 2014 C	2014/07/18	2014/07/22
68391	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 K	2014/07/18	2014/07/21
68449	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 M	2014/07/18	2014/07/21
66764	UBS AG – ICBC R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
68470	Credit Suisse AG – HSI R Bull CBBC Jan 2015 L	2014/07/18	2014/07/21
66745	UBS AG – CNBM R Bull CBBC Jul 2014 A	2014/07/18	2014/07/22
64550	HK Bank – Sands China R Bull CBBC Jul 2014 C	2014/07/18	2014/07/22

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60385	UBS AG – Ping An R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
61153	UBS AG – TCH R Bull CBBC Jul 2014 H	2014/07/18	2014/07/22
68839	Credit Suisse AG – HSI R Bear CBBC Nov 2014 S	2014/07/18	2014/07/21
67886	HK Bank – Sands China R Bull CBBC Mar 2015 B	2014/07/18	2014/07/21
68471	UBS AG – HSI R Bull CBBC Jan 2015 T	2014/07/18	2014/07/21
68848	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 I	2014/07/18	2014/07/21
68472	UBS AG – HSI R Bull CBBC Dec 2014 I	2014/07/18	2014/07/21
64346	UBS AG – AIA R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
63878	HK Bank – China Mobile R Bear CBBC Jul 2014 B	2014/07/18	2014/07/22
68746	Credit Suisse AG – HSI R Bear CBBC Nov 2014 R	2014/07/18	2014/07/21
68739	UBS AG – HSI R Bear CBBC Oct 2014 S	2014/07/18	2014/07/21
68469	Credit Suisse AG – HSI R Bull CBBC Jan 2015 K	2014/07/18	2014/07/21
62166	UBS AG – China Life R Bull CBBC Jul 2014 C	2014/07/18	2014/07/22
62231	UBS AG – CC Bank R Bull CBBC Jul 2014 A	2014/07/18	2014/07/22
66075	UBS AG – TCH R Bear CBBC Jul 2014 D	2014/07/18	2014/07/22
67851	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Apr15B	2014/07/18	2014/07/21
68583	HK Bank – China Life R Bear CBBC Jul 2014 B	2014/07/18	2014/07/22
63330	UBS AG – Sands China R Bull CBBC Jul 2014 C	2014/07/18	2014/07/22
60413	UBS AG – HKEx R Bull CBBC Jul 2014 A	2014/07/18	2014/07/22
62167	UBS AG – China Shenhua R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
64328	UBS AG – HSBC R Bull CBBC Jul 2014 A	2014/07/18	2014/07/22
69884	HK Bank – HKEx R Bear CBBC Jul 2014 B	2014/07/18	2014/07/22
67414	UBS AG – A50 China R Bear CBBC Jul 2014 A	2014/07/18	2014/07/22
62936	UBS AG – China Shenhua R Bull CBBC Jul 2014 B	2014/07/18	2014/07/22
67074	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Jul14 A	2014/07/18	2014/07/22
61907	UBS AG – BOCL R Bull CBBC Jul 2014 A	2014/07/18	2014/07/22
68141	Standard Chartered Bank – Sands China R Bull CBBC Apr 2015 A	2014/07/18	2014/07/21
68464	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 S	2014/07/18	2014/07/21
67369	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Mar 2015 A	2014/07/21	2014/07/22
68947	Credit Suisse AG – HSI R Bear CBBC Nov 2014 T	2014/07/21	2014/07/22
68940	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 K	2014/07/21	2014/07/22
68888	UBS AG – HSI R Bear CBBC Dec 2014 I	2014/07/21	2014/07/22
60132	Bank of East Asia – GCL-Poly Energy R Bull CBBC Jul 2014 A	2014/07/21	2014/07/23
68868	UBS AG – HSI R Bear CBBC Nov 2014 S	2014/07/21	2014/07/22
69010	Credit Suisse AG – HSI R Bear CBBC Oct 2014 R	2014/07/22	2014/07/23
60752	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 F	2014/07/22	2014/07/23
69008	UBS AG – HSI R Bear CBBC Dec 2014 J	2014/07/22	2014/07/23
64368	UBS AG – AIA R Bear CBBC Nov 2014 A	2014/07/22	2014/07/23
69009	Credit Suisse AG – HSI R Bear CBBC Oct 2014 Q	2014/07/22	2014/07/23
64146	UBS AG – HSI R Bear CBBC Oct 2014 P	2014/07/22	2014/07/23
64275	HK Bank – PetCh R Bear CBBC Aug 2014 A	2014/07/22	2014/07/23
65841	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 P	2014/07/22	2014/07/23
66191	HK Bank – HSI R Bear CBBC Aug 2014 L	2014/07/22	2014/07/23
60565	UBS AG – HSI R Bear CBBC Sep 2014 L	2014/07/22	2014/07/23
60575	HK Bank – HSCEI R Bear CBBC Oct 2014 E	2014/07/22	2014/07/23
61251	HK Bank – HSI R Bear CBBC Nov 2014 A	2014/07/22	2014/07/23
66431	HK Bank – HSI R Bear CBBC Feb 2015 C	2014/07/22	2014/07/23
68897	Credit Suisse AG – HSI R Bear CBBC Sep 2014 K	2014/07/22	2014/07/23
68896	Credit Suisse AG – HSI R Bear CBBC Sep 2014 J	2014/07/22	2014/07/23
69072	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/07/22	2014/07/23
66327	Credit Suisse AG – HSI R Bear CBBC Nov 2014 F	2014/07/22	2014/07/23
62927	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Dec 2014 B	2014/07/22	2014/07/23
61122	HK Bank – HSI R Bear CBBC Jul 2014 I	2014/07/22	2014/07/23
66320	UBS AG – HSI R Bear CBBC Oct 2014 Z	2014/07/22	2014/07/23
63918	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 B	2014/07/22	2014/07/23
64152	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 J	2014/07/22	2014/07/23
69080	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 T	2014/07/22	2014/07/23
69279	UBS AG – HSI R Bear CBBC Aug 2014 O	2014/07/22	2014/07/23
69025	Credit Suisse AG – HSI R Bull CBBC Feb 2015 U	2014/07/22	2014/07/23
69217	UBS AG – HSI R Bear CBBC Jul 2014 Y	2014/07/22	2014/07/23
68044	Credit Suisse AG – HSI R Bear CBBC Nov 2014 O	2014/07/22	2014/07/23
65425	Standard Chartered Bank – Ping An R Bear CBBC Jul 2014 B	2014/07/22	2014/07/24
69483	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 N	2014/07/22	2014/07/23
69484	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 O	2014/07/22	2014/07/23
64583	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 C	2014/07/22	2014/07/23
69183	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 K	2014/07/22	2014/07/23
69057	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 C	2014/07/22	2014/07/23
67136	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 W	2014/07/22	2014/07/23
68035	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 N	2014/07/22	2014/07/23
69056	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 B	2014/07/22	2014/07/23
68056	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 F	2014/07/22	2014/07/23
63340	HK Bank – AIA R Bear CBBC Apr 2015 A	2014/07/22	2014/07/23
66844	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 A	2014/07/22	2014/07/23
63100	HK Bank – HSI R Bear CBBC Aug 2014 F	2014/07/22	2014/07/23
68091	UBS AG – HSI R Bear CBBC Oct 2014 G	2014/07/22	2014/07/23
65677	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 L	2014/07/22	2014/07/23
68097	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 G	2014/07/22	2014/07/23
68236	UBS AG – HSI R Bear CBBC Aug 2014 D	2014/07/22	2014/07/23
64206	HK Bank – China Mobile R Bear CBBC Apr 2015 A	2014/07/22	2014/07/23
67394	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 A	2014/07/22	2014/07/23
64451	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 A	2014/07/22	2014/07/23
67483	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 D	2014/07/22	2014/07/23
65497	Credit Suisse AG – HSI R Bear CBBC Jul 2014 W	2014/07/22	2014/07/23
68589	HK Bank – HSI R Bear CBBC Mar 2015 F	2014/07/22	2014/07/23
68663	Credit Suisse AG – HSI R Bear CBBC Feb 2015 A	2014/07/22	2014/07/23
66589	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 X	2014/07/22	2014/07/23
64321	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 L	2014/07/22	2014/07/23

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60166	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 K	2014/07/22	2014/07/23
64390	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 E	2014/07/22	2014/07/23
64232	Credit Suisse AG – HSI R Bear CBBC Oct 2014 K	2014/07/22	2014/07/23
60925	UBS AG – Minsheng Bank R Bear CBBC Oct 2014 A	2014/07/23	2014/07/24
61534	UBS AG – HSI R Bear CBBC Oct 2014 L	2014/07/23	2014/07/24
69940	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 B	2014/07/23	2014/07/24
65671	HK Bank – HSCEI R Bear CBBC Jul 2014 F	2014/07/23	2014/07/24
63066	Standard Chartered Bank – Minsheng Bank R Bear CBBC Jan 15 A	2014/07/23	2014/07/24
66328	Credit Suisse AG – HSI R Bear CBBC Oct 2014 X	2014/07/23	2014/07/24
65567	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2014 A	2014/07/23	2014/07/24
66386	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 A	2014/07/23	2014/07/24
65077	Credit Suisse AG – HSI R Bear CBBC Dec 2014 A	2014/07/23	2014/07/24
65664	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 K	2014/07/23	2014/07/24
62699	HK Bank – HSI R Bear CBBC Sep 2014 C	2014/07/23	2014/07/24
69938	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 A	2014/07/23	2014/07/24
60632	UBS AG – HSI R Bear CBBC Nov 2014 D	2014/07/23	2014/07/24
64229	Credit Suisse AG – HSI R Bear CBBC Oct 2014 J	2014/07/23	2014/07/24
60734	UBS AG – HSCEI R Bear CBBC Aug 2014 D	2014/07/23	2014/07/24
60968	HK Bank – HSCEI R Bear CBBC Nov 2014 E	2014/07/23	2014/07/24
63825	Standard Chartered Bank – A50 China R Bear CBBC Oct 2014 B	2014/07/23	2014/07/24
62834	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 A	2014/07/23	2014/07/24
63919	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 C	2014/07/23	2014/07/24
64690	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 G	2014/07/23	2014/07/24
60702	HK Bank – Minsheng Bank R Bear CBBC Dec 2014 A	2014/07/23	2014/07/24
62300	Credit Suisse AG – HKEx R Bear CBBC Jan 2015 B	2014/07/23	2014/07/24
64301	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 A	2014/07/23	2014/07/24
66207	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 R	2014/07/23	2014/07/24
67196	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 G	2014/07/23	2014/07/24
66714	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Mar15B	2014/07/23	2014/07/24
67472	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 S	2014/07/23	2014/07/24
69134	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 R	2014/07/23	2014/07/24
68330	HK Bank – Hutchison R Bull CBBC Jan 2015 A	2014/07/23	2014/07/24
69195	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 L	2014/07/23	2014/07/24
69077	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 J	2014/07/23	2014/07/24
69970	Credit Suisse AG – HSI R Bear CBBC Jul 2014 J	2014/07/23	2014/07/24
66866	HK Bank – TCH R Bull CBBC Jul 2014 C	2014/07/23	2014/07/25
68595	HK Bank – TCH R Bear CBBC Apr 2015 B	2014/07/23	2014/07/24
63654	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Feb 15 D	2014/07/23	2014/07/24
69275	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 F	2014/07/23	2014/07/24
60538	Standard Chartered Bank – HKEx R Bear CBBC Sep 2014 A	2014/07/23	2014/07/24
69174	UBS AG – HSCEI R Bear CBBC Jul 2014 B	2014/07/23	2014/07/24
69140	UBS AG – HSI R Bear CBBC Dec 2014 K	2014/07/23	2014/07/24
69139	Credit Suisse AG – HSI R Bear CBBC Oct 2014 T	2014/07/23	2014/07/24
67503	Bank of East Asia – COVS R Bear CBBC Jan 2015 A	2014/07/23	2014/07/24
69138	Credit Suisse AG – HSI R Bear CBBC Oct 2014 S	2014/07/23	2014/07/24
69485	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 P	2014/07/23	2014/07/24
61046	UBS AG – HSI R Bear CBBC Sep 2014 M	2014/07/23	2014/07/24
69133	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 I	2014/07/23	2014/07/24
67370	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Mar 2015 B	2014/07/23	2014/07/24
60830	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 B	2014/07/24	2014/07/25
67474	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 T	2014/07/24	2014/07/25
62639	Bank of East Asia – HKEx R Bear CBBC Nov 2014 A	2014/07/24	2014/07/25
61511	UBS AG – HSI R Bear CBBC Oct 2014 K	2014/07/24	2014/07/25
68755	UBS AG – China Mobile R Bear CBBC Mar 2015 A	2014/07/24	2014/07/25
64299	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 O	2014/07/24	2014/07/25
64534	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 A	2014/07/24	2014/07/25
60433	UBS AG – HSI R Bear CBBC Oct 2014 D	2014/07/24	2014/07/25
60172	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 L	2014/07/24	2014/07/25
69489	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 Q	2014/07/24	2014/07/25
66397	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 B	2014/07/24	2014/07/25
69650	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 T	2014/07/24	2014/07/25
64243	Standard Chartered Bank – Ping An R Bear CBBC Oct 2014 A	2014/07/24	2014/07/25
63653	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Feb15C	2014/07/24	2014/07/25
60463	HK Bank – HKEx R Bear CBBC Nov 2014 A	2014/07/24	2014/07/25
66551	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 C	2014/07/24	2014/07/25
65502	Credit Suisse AG – HSI R Bear CBBC Jul 2014 X	2014/07/24	2014/07/25
66687	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 B	2014/07/24	2014/07/25
65509	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Y	2014/07/24	2014/07/25
61252	UBS AG – HKEx R Bear CBBC Feb 2015 A	2014/07/24	2014/07/25
68408	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Apr 2015 A	2014/07/24	2014/07/25
67169	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 B	2014/07/24	2014/07/25
66438	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 W	2014/07/24	2014/07/25
67664	Credit Suisse AG – HSI R Bear CBBC Dec 2014 H	2014/07/24	2014/07/25
66261	Standard Chartered Bank – HSI R Bear CBBC Jun 2015 A	2014/07/24	2014/07/25
63920	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep14 D	2014/07/24	2014/07/25
61001	HK Bank – HSI R Bear CBBC Jul 2014 G	2014/07/24	2014/07/25
66569	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 D	2014/07/24	2014/07/25
69952	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 C	2014/07/24	2014/07/25
65180	Credit Suisse AG – HSI R Bear CBBC Aug 2014 C	2014/07/24	2014/07/25
69271	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 E	2014/07/24	2014/07/25
67722	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14C	2014/07/24	2014/07/25
65668	HK Bank – HSCEI R Bear CBBC Jul 2014 E	2014/07/24	2014/07/25
61195	Credit Suisse AG – A50 China R Bear CBBC Sep 2014 B	2014/07/24	2014/07/25
61894	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 C	2014/07/24	2014/07/25
67695	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 J	2014/07/24	2014/07/25
63799	HK Bank – HSI R Bear CBBC Dec 2014 P	2014/07/24	2014/07/25
61864	HK Bank – Sinopec Corp R Bear CBBC Apr 2015 A	2014/07/24	2014/07/25

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60564	UBS AG – HSI R Bear CBBC Aug 2014 Y	2014/07/24	2014/07/25
60373	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2014 F	2014/07/24	2014/07/25
69265	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 N	2014/07/24	2014/07/25
65004	UBS AG – China Mobile R Bear CBBC Aug 2014 C	2014/07/24	2014/07/25
69278	UBS AG – HSI R Bear CBBC Sep 2014 E	2014/07/24	2014/07/25
69143	Credit Suisse AG – HSI R Bear CBBC Feb 2015 E	2014/07/24	2014/07/25
63636	HK Bank – HSI R Bear CBBC Sep 2014 D	2014/07/24	2014/07/25
69281	Credit Suisse AG – HSI R Bear CBBC Nov 2014 U	2014/07/24	2014/07/25
69284	UBS AG – HSI R Bear CBBC Oct 2014 U	2014/07/24	2014/07/25
69285	UBS AG – HSI R Bear CBBC Dec 2014 L	2014/07/24	2014/07/25
66343	Credit Suisse AG – HSI R Bear CBBC Sep 2014 F	2014/07/24	2014/07/25
66347	Credit Suisse AG – HSI R Bear CBBC Oct 2014 Y	2014/07/24	2014/07/25
66413	HK Bank – HSI R Bear CBBC Mar 2015 B	2014/07/24	2014/07/25
69287	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 M	2014/07/24	2014/07/25
69172	UBS AG – HSCEI R Bear CBBC Jul 2014 A	2014/07/25	2014/07/28
63375	HK Bank – Galaxy Ent R Bull CBBC Jul 2014 A	2014/07/25	2014/07/29
64070	UBS AG – TCH R Bull CBBC Jul 2014 J	2014/07/25	2014/07/29
64452	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 B	2014/07/25	2014/07/28
62605	UBS AG – Ping An R Bull CBBC Jul 2014 B	2014/07/25	2014/07/29
60993	HK Bank – CM Bank R Bear CBBC May 2015 A	2014/07/25	2014/07/28
67694	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 C	2014/07/25	2014/07/28
66473	HK Bank – CSOP A50 ETF R Bear CBBC Nov 2014 A	2014/07/25	2014/07/28
61196	Credit Suisse AG – A50 China R Bear CBBC Sep 2014 C	2014/07/25	2014/07/28
66155	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Sep 14 A	2014/07/25	2014/07/28
60678	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Sep14A	2014/07/25	2014/07/28
68174	UBS AG – Kuniun Energy R Bull CBBC Jul 2014 B	2014/07/25	2014/07/29
60408	Credit Suisse AG – HKEx R Bear CBBC Jul 2014 B	2014/07/25	2014/07/28
67925	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 E	2014/07/25	2014/07/28
64871	UBS AG – Hutchison R Bear CBBC Jul 2014 C	2014/07/25	2014/07/29
61082	UBS AG – A50 China R Bear CBBC Nov 2014 B	2014/07/25	2014/07/28
69986	Credit Suisse AG – HSI R Bear CBBC Aug 2014 G	2014/07/25	2014/07/28
68681	Credit Suisse AG – HSI R Bear CBBC Feb 2015 B	2014/07/25	2014/07/28
61513	UBS AG – CSOP A50 ETF R Bear CBBC Sep 2014 A	2014/07/25	2014/07/28
66917	Standard Chartered Bank – AIA R Bear CBBC Apr 2015 A	2014/07/25	2014/07/28
61955	UBS AG – HKEx R Bear CBBC Jan 2015 F	2014/07/25	2014/07/28
62542	UBS AG – BOCL R Bear CBBC Jul 2014 A	2014/07/25	2014/07/29
60516	UBS AG – TCH R Bull CBBC Jul 2014 G	2014/07/25	2014/07/29
69346	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 M	2014/07/25	2014/07/28
66632	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 E	2014/07/25	2014/07/28
69366	UBS AG – HSI R Bear CBBC Nov 2014 U	2014/07/25	2014/07/28
66228	HK Bank – HSI R Bear CBBC Dec 2014 R	2014/07/25	2014/07/28
69367	Credit Suisse AG – HSI R Bear CBBC Oct 2014 V	2014/07/25	2014/07/28
64487	HK Bank – Sands China R Bull CBBC Jul 2014 B	2014/07/25	2014/07/29
69373	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 D	2014/07/25	2014/07/28
68342	HK Bank – HSI R Bear CBBC Aug 2014 C	2014/07/25	2014/07/28
60214	Citigroup Global Mkt H Inc. -Minsheng Bank R Bear CBBC Feb15A	2014/07/25	2014/07/28
60577	HK Bank – HSCEI R Bear CBBC Dec 2014 B	2014/07/25	2014/07/28
64570	UBS AG – Sands China R Bull CBBC Jul 2014 D	2014/07/25	2014/07/29
69347	UBS AG – HSI R Bear CBBC Nov 2014 T	2014/07/25	2014/07/28
60017	HK Bank – Ping An R Bear CBBC Jul 2014 B	2014/07/25	2014/07/29
67983	HK Bank – HSI R Bear CBBC Feb 2015 G	2014/07/25	2014/07/28
65485	HK Bank – China Life R Bull CBBC Jul 2014 B	2014/07/25	2014/07/29
66967	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Jul 2014 B	2014/07/25	2014/07/29
64954	HK Bank – A50 R Bear CBBC Nov 2014 B	2014/07/25	2014/07/28
60839	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 B	2014/07/25	2014/07/28
64216	UBS AG – AIA R Bear CBBC Aug 2014 A	2014/07/25	2014/07/28
65173	UBS AG – HSCEI R Bear CBBC Nov 2014 B	2014/07/25	2014/07/28
64977	HK Bank – Kuniun Energy R Bull CBBC Jul 2014 A	2014/07/25	2014/07/29
65383	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 I	2014/07/25	2014/07/28
61869	UBS AG – ABC R Bull CBBC Jul 2014 A	2014/07/25	2014/07/29
61045	UBS AG – HSI R Bear CBBC Oct 2014 I	2014/07/25	2014/07/28
65368	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 A	2014/07/25	2014/07/28
64759	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 D	2014/07/25	2014/07/28
66729	UBS AG – HSI R Bear CBBC Jul 2014 D	2014/07/28	2014/07/29
60072	UBS AG – Minsheng Bank R Bear CBBC Nov 2014 A	2014/07/28	2014/07/29
61163	Standard Chartered Bank – HKEx R Bear CBBC Oct 2014 A	2014/07/28	2014/07/29
66679	UBS AG – TCH R Bear CBBC Oct 2014 G	2014/07/28	2014/07/29
61086	UBS AG – CSOP A50 ETF R Bear CBBC Nov 2014 B	2014/07/28	2014/07/29
61169	Credit Suisse AG – HSI R Bear CBBC Oct 2014 A	2014/07/28	2014/07/29
67253	UBS AG – HSI R Bear CBBC Aug 2014 C	2014/07/28	2014/07/29
66223	HK Bank – ABC R Bear CBBC Dec 2014 A	2014/07/28	2014/07/29
66250	Standard Chartered Bank – A50 China R Bear CBBC Oct 2014 A	2014/07/28	2014/07/29
62308	Credit Suisse AG – HKEx R Bear CBBC Jan 2015 C	2014/07/28	2014/07/29
66555	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 D	2014/07/28	2014/07/29
67946	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Feb 2015 D	2014/07/28	2014/07/29
61018	UBS AG – HSI R Bear CBBC Oct 2014 H	2014/07/28	2014/07/29
61569	UBS AG – HKEx R Bear CBBC Mar 2015 C	2014/07/28	2014/07/29
66682	J P Morgan SP BV – HSI R Bear CBBC Sep 2014 S	2014/07/28	2014/07/29
65667	HK Bank – HSCEI R Bear CBBC Jul 2014 D	2014/07/28	2014/07/29
67651	UBS AG – HSI R Bear CBBC Jul 2014 E	2014/07/28	2014/07/29
65608	HK Bank – A50 R Bear CBBC Sep 2014 B	2014/07/28	2014/07/29
69953	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 E	2014/07/28	2014/07/29
60627	UBS AG – HSI R Bear CBBC Dec 2014 A	2014/07/28	2014/07/29
69398	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 E	2014/07/28	2014/07/29
69397	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 N	2014/07/28	2014/07/29
69391	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 S	2014/07/28	2014/07/29
69379	UBS AG – HSI R Bear CBBC Oct 2014 W	2014/07/28	2014/07/29

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69377	Credit Suisse AG – HSI R Bear CBBC Jan 2015 I	2014/07/28	2014/07/29
69219	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 L	2014/07/28	2014/07/29
60563	UBS AG – HSI R Bear CBBC Oct 2014 E	2014/07/28	2014/07/29
60694	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 P	2014/07/28	2014/07/29
69145	Credit Suisse AG – HSI R Bear CBBC Jan 2015 G	2014/07/28	2014/07/29
67659	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 H	2014/07/28	2014/07/29
66686	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 A	2014/07/28	2014/07/29
66759	Standard Chartered Bank – HSI R Bear CBBC Jun 2015 D	2014/07/28	2014/07/29
66779	UBS AG – HSI R Bear CBBC Sep 2014 U	2014/07/28	2014/07/29
64122	HK Bank – HSCEI R Bear CBBC Jul 2014 C	2014/07/28	2014/07/29
67190	HK Bank – HSI R Bear CBBC Apr 2015 A	2014/07/28	2014/07/29
67934	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 E	2014/07/28	2014/07/29
67929	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 F	2014/07/28	2014/07/29
66999	UBS AG – TCH R Bear CBBC Sep 2014 H	2014/07/28	2014/07/29
67565	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 B	2014/07/28	2014/07/29
67724	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14D	2014/07/28	2014/07/29
67677	Credit Suisse AG – HSI R Bear CBBC Dec 2014 I	2014/07/28	2014/07/29
60369	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2014 E	2014/07/28	2014/07/29
69231	UBS AG – HSCEI R Bear CBBC Jul 2014 C	2014/07/28	2014/07/29
69149	Credit Suisse AG – HSI R Bear CBBC Feb 2015 F	2014/07/28	2014/07/29
60837	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 C	2014/07/28	2014/07/29
64782	Standard Chartered Bank – TCH R Bear CBBC Dec 2014 D	2014/07/28	2014/07/29
64760	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 E	2014/07/28	2014/07/29
65027	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Mar 2015 A	2014/07/28	2014/07/29
65078	Credit Suisse AG – HSI R Bear CBBC Dec 2014 B	2014/07/28	2014/07/29
60849	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 S	2014/07/28	2014/07/29
64535	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 B	2014/07/28	2014/07/29
64527	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 D	2014/07/28	2014/07/29
65187	UBS AG – ABC R Bear CBBC Oct 2014 A	2014/07/28	2014/07/29
64524	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 C	2014/07/28	2014/07/29
60924	UBS AG – Ping An R Bear CBBC Nov 2014 B	2014/07/28	2014/07/29
65369	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 B	2014/07/28	2014/07/29
67692	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 B	2014/07/28	2014/07/29
65873	Credit Suisse AG – TCH R Bear CBBC Dec 2014 B	2014/07/28	2014/07/29
64228	Credit Suisse AG – HSI R Bear CBBC Oct 2014 I	2014/07/28	2014/07/29
64071	HK Bank – HSI R Bear CBBC Jan 2015 D	2014/07/28	2014/07/29
69652	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 U	2014/07/28	2014/07/29
66269	UBS AG – HSI R Bear CBBC Nov 2014 J	2014/07/28	2014/07/29
65757	HK Bank – HSI R Bear CBBC Oct 2014 K	2014/07/28	2014/07/29
67318	HK Bank – TCH R Bear CBBC Nov 2014 H	2014/07/28	2014/07/29
65302	HK Bank – COVS R Bear CBBC Nov 2014 A	2014/07/29	2014/07/30
60742	Credit Suisse AG – HSI R Bear CBBC Jul 2014 A	2014/07/29	2014/07/31
60414	Credit Suisse AG – HKEx R Bear CBBC Jul 2014 C	2014/07/29	2014/07/30
60850	J P Morgan SP BV – HSI R Bear CBBC Jul 2014 E	2014/07/29	2014/07/30
61095	UBS AG – China Life R Bear CBBC Dec 2014 B	2014/07/29	2014/07/30
60386	UBS AG – HKEx R Bear CBBC Nov 2014 A	2014/07/29	2014/07/30
60754	Credit Suisse AG – HSI R Bear CBBC Jul 2014 B	2014/07/29	2014/07/31
64119	HK Bank – Minsheng Bank R Bear CBBC Nov 2014 A	2014/07/29	2014/07/30
65805	UBS AG – TCH R Bear CBBC Feb 2015 A	2014/07/29	2014/07/30
60466	UBS AG – CM Bank R Bear CBBC Oct 2014 A	2014/07/29	2014/07/30
60701	HK Bank – Ping An R Bear CBBC Dec 2014 A	2014/07/29	2014/07/30
69995	Credit Suisse AG – HSI R Bear CBBC Jul 2014 Q	2014/07/29	2014/07/30
60801	HK Bank – HSI R Bear CBBC Jul 2014 F	2014/07/29	2014/07/30
60838	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 D	2014/07/29	2014/07/30
69954	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 F	2014/07/29	2014/07/30
60862	UBS AG – HSI R Bear CBBC Jul 2014 A	2014/07/29	2014/07/31
61005	HK Bank – HSI R Bear CBBC Jul 2014 H	2014/07/29	2014/07/31
61205	HK Bank – HSCEI R Bear CBBC Jul 2014 B	2014/07/29	2014/07/31
60775	Credit Suisse AG – HSI R Bear CBBC Jul 2014 C	2014/07/29	2014/07/31
69490	Credit Suisse AG – HSI R Bear CBBC Oct 2014 C	2014/07/29	2014/07/30
69578	UBS AG – HSI R Bear CBBC Nov 2014 V	2014/07/29	2014/07/30
69446	Credit Suisse AG – HSI R Bear CBBC Oct 2014 Z	2014/07/29	2014/07/30
69457	UBS AG – HSI R Bear CBBC Oct 2014 X	2014/07/29	2014/07/30
69460	UBS AG – HSI R Bear CBBC Oct 2014 B	2014/07/29	2014/07/30
69463	UBS AG – HSI R Bear CBBC Dec 2014 N	2014/07/29	2014/07/30
69468	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 F	2014/07/29	2014/07/30
69469	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 O	2014/07/29	2014/07/30
69473	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 P	2014/07/29	2014/07/30
69432	Credit Suisse AG – HSI R Bear CBBC Oct 2014 W	2014/07/29	2014/07/30
69482	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 V	2014/07/29	2014/07/30
69419	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 T	2014/07/29	2014/07/30
69496	Credit Suisse AG – HSI R Bear CBBC Oct 2014 D	2014/07/29	2014/07/30
66434	HK Bank – HSI R Bear CBBC Feb 2015 D	2014/07/29	2014/07/30
68910	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 M	2014/07/29	2014/07/31
69517	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 W	2014/07/29	2014/07/30
61715	Credit Suisse AG – HSI R Bull CBBC Jul 2014 B	2014/07/29	2014/07/31
68907	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 I	2014/07/29	2014/07/31
68343	HK Bank – HSI R Bear CBBC Jul 2014 D	2014/07/29	2014/07/31
69566	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 O	2014/07/29	2014/07/30
69479	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 N	2014/07/29	2014/07/30
68685	Credit Suisse AG – HSI R Bear CBBC Feb 2015 C	2014/07/29	2014/07/30
67729	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14E	2014/07/29	2014/07/30
69276	UBS AG – HSI R Bear CBBC Sep 2014 D	2014/07/29	2014/07/30
67393	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2014 C	2014/07/29	2014/07/30
60368	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2014 D	2014/07/29	2014/07/31
67498	Credit Suisse AG – TCH R Bear CBBC Jul 2014 A	2014/07/29	2014/07/30
67206	Standard Chartered Bank – Minsheng Bank R Bear CBBC Mar 15 A	2014/07/29	2014/07/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
67993	HK Bank – TCH R Bear CBBC Feb 2015 A	2014/07/29	2014/07/30
68013	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 F	2014/07/29	2014/07/30
69443	Credit Suisse AG – HSI R Bear CBBC Oct 2014 U	2014/07/29	2014/07/30
68539	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bear CBBC Apr15A	2014/07/29	2014/07/30
69549	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 F	2014/07/29	2014/07/30
68864	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Apr15 B	2014/07/29	2014/07/30
69156	Credit Suisse AG – HSI R Bear CBBC Jan 2015 H	2014/07/29	2014/07/30
69161	Credit Suisse AG – HSI R Bear CBBC Feb 2015 G	2014/07/29	2014/07/30
69200	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 K	2014/07/29	2014/07/30
62747	Standard Chartered Bank – HSBC R Bull CBBC Jul 2014A	2014/07/29	2014/07/31
62671	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 E	2014/07/29	2014/07/30
68946	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 T	2014/07/29	2014/07/31
69418	HK Bank – HSI R Bear CBBC Feb 2015 I	2014/07/29	2014/07/30
68111	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 G	2014/07/29	2014/07/30
60160	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 P	2014/07/29	2014/07/30
63800	HK Bank – HSI R Bear CBBC Nov 2014 T	2014/07/29	2014/07/30
67252	UBS AG – HSI R Bear CBBC Aug 2014 B	2014/07/29	2014/07/30
69579	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2014 A	2014/07/29	2014/07/30
63373	HK Bank – HSI R Bear CBBC Jul 2014 A	2014/07/29	2014/07/31
68908	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 L	2014/07/29	2014/07/31
61928	UBS AG – HKEx R Bear CBBC Mar 2015 G	2014/07/29	2014/07/30
66728	UBS AG – HSI R Bear CBBC Jul 2014 C	2014/07/29	2014/07/31
65713	UBS AG – HSI R Bull CBBC Jul 2014 F	2014/07/29	2014/07/31
64967	HK Bank – HSI R Bull CBBC Jul 2014 B	2014/07/29	2014/07/31
68696	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 G	2014/07/29	2014/07/31
65037	HK Bank – HSCEI R Bull CBBC Jul 2014 A	2014/07/29	2014/07/31
60161	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 Q	2014/07/29	2014/07/31
60162	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul14 R	2014/07/29	2014/07/31
61441	HK Bank – HKEx R Bear CBBC Dec 2014 A	2014/07/29	2014/07/30
66616	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 D	2014/07/29	2014/07/31
66636	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 E	2014/07/29	2014/07/31
61134	UBS AG – SHK Ppt R Bear CBBC Nov 2014 A	2014/07/29	2014/07/30
61668	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 E	2014/07/29	2014/07/30
61580	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2014 B	2014/07/29	2014/07/31
69592	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 F	2014/07/29	2014/07/30
69594	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 G	2014/07/29	2014/07/30
69606	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 Q	2014/07/29	2014/07/30
62482	HK Bank – China Life R Bear CBBC Dec 2014 B	2014/07/29	2014/07/30
62461	HK Bank – TCH R Bear CBBC Sep 2014 M	2014/07/29	2014/07/30
61931	UBS AG – HKEx R Bear CBBC Feb 2015 G	2014/07/29	2014/07/30
61581	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2014 C	2014/07/29	2014/07/31
64260	UBS AG – HSI R Bear CBBC Aug 2014 I	2014/07/29	2014/07/30
61579	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2014 A	2014/07/29	2014/07/31
61559	UBS AG – HSI R Bull CBBC Jul 2014 C	2014/07/29	2014/07/31
68823	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2014 H	2014/07/29	2014/07/31
69787	UBS AG – COVS R Bear CBBC Nov 2014 B	2014/07/29	2014/07/30
60891	UBS AG – TCH R Bear CBBC Sep 2014 E	2014/07/29	2014/07/30
64712	Credit Suisse AG – HSI R Bear CBBC Nov 2014 A	2014/07/29	2014/07/30
64910	Standard Chartered Bank – Kingsoft R Bear CBBC Mar 2015 D	2014/07/29	2014/07/30
65581	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 G	2014/07/29	2014/07/30
65714	Citigroup Global Mkt H Inc. – SHK Ppt R Bear CBBC Mar 2015 A	2014/07/29	2014/07/30
61354	UBS AG – HSI R Bull CBBC Jul 2014 M	2014/07/29	2014/07/31
61714	Credit Suisse AG – HSI R Bull CBBC Jul 2014 A	2014/07/29	2014/07/31
67466	Credit Suisse AG – Galaxy Ent R Bear CBBC Jul 2014 A	2014/07/30	2014/08/01
66981	UBS AG – Ping An R Bear CBBC Nov 2014 A	2014/07/30	2014/07/31
65114	HK Bank – SHK Ppt R Bear CBBC Oct 2014 A	2014/07/30	2014/07/31
64728	HK Bank – China Mobile R Bear CBBC Aug 2014 A	2014/07/30	2014/07/31
60044	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 E	2014/07/30	2014/07/31
67532	Credit Suisse AG – Sands China R Bear CBBC Jul 2014 A	2014/07/30	2014/08/01
65181	Credit Suisse AG – HSI R Bear CBBC Aug 2014 D	2014/07/30	2014/07/31
67535	Credit Suisse AG – Sands China R Bear CBBC Jul 2014 B	2014/07/30	2014/08/01
67499	Credit Suisse AG – TCH R Bear CBBC Jul 2014 B	2014/07/30	2014/08/01
68098	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 E	2014/07/30	2014/07/31
60001	Credit Suisse AG – HSI R Bear CBBC Aug 2014 J	2014/07/30	2014/07/31
69718	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 H	2014/07/30	2014/07/31
69719	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 I	2014/07/30	2014/07/31
63869	Standard Chartered Bank – SHK Ppt R Bear CBBC Feb 2015 A	2014/07/30	2014/07/31
69730	UBS AG – HSCEI R Bear CBBC Jan 2015 B	2014/07/30	2014/07/31
67690	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 C	2014/07/30	2014/07/31
67691	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 A	2014/07/30	2014/07/31
67786	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14D	2014/07/30	2014/07/31
69741	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 O	2014/07/30	2014/07/31
69742	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 T	2014/07/30	2014/07/31
67941	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 M	2014/07/30	2014/07/31
69743	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 U	2014/07/30	2014/07/31
69698	Credit Suisse AG – HSI R Bear CBBC Jan 2015 J	2014/07/30	2014/07/31
69051	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 Q	2014/07/30	2014/07/31
66715	Citigroup Global Mkt H Inc. – China Mobile R Bear CBBC Mar15C	2014/07/30	2014/07/31
68178	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 F	2014/07/30	2014/07/31
63046	Standard Chartered Bank – Ping An R Bear CBBC Nov 2014 A	2014/07/30	2014/07/31
68182	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 G	2014/07/30	2014/07/31
68418	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Apr 2015 A	2014/07/30	2014/07/31
68540	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 15 A	2014/07/30	2014/07/31
61493	UBS AG – HSI R Bear CBBC Dec 2014 B	2014/07/30	2014/07/31
69227	HK Bank – China Mobile R Bear CBBC Jul 2015 A	2014/07/30	2014/07/31
68906	UBS AG – HSI R Bear CBBC May 2015 A	2014/07/30	2014/07/31
60855	J P Morgan SP BV – HSI R Bear CBBC Aug 2014 T	2014/07/30	2014/07/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
68979	Standard Chartered Bank – China Mobile R Bear CBBC Mar 2015A	2014/07/30	2014/07/31
60568	HK Bank – HSCEI R Bear CBBC Jan 2015 A	2014/07/30	2014/07/31
69036	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15B	2014/07/30	2014/07/31
67987	HK Bank – HSI R Bear CBBC Jan 2015 H	2014/07/30	2014/07/31
69656	Citigroup Global Mkt H Inc. – Cheung Kong R Bear CBBC Nov14B	2014/07/30	2014/07/31
64746	Credit Suisse AG – HSI R Bear CBBC Nov 2014 D	2014/07/30	2014/07/31
66404	HK Bank – HSI R Bear CBBC Mar 2015 A	2014/07/30	2014/07/31
64287	UBS AG – Cheung Kong R Bear CBBC Mar 2015 A	2014/07/30	2014/07/31
67681	Credit Suisse AG – HSI R Bear CBBC Jan 2015 A	2014/07/30	2014/07/31
69633	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 S	2014/07/30	2014/07/31
64747	Credit Suisse AG – HSI R Bear CBBC Nov 2014 E	2014/07/30	2014/07/31
69631	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 G	2014/07/30	2014/07/31
64536	UBS AG – HSI R Bear CBBC Nov 2014 G	2014/07/30	2014/07/31
69612	HK Bank – HSI R Bear CBBC Mar 2015 G	2014/07/30	2014/07/31
69654	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 V	2014/07/30	2014/07/31
63808	HK Bank – Cheung Kong R Bear CBBC Apr 2015 C	2014/07/30	2014/07/31
69599	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 H	2014/07/30	2014/07/31
69466	J P Morgan SP BV – HSI R Bear CBBC Jan 2015 A	2014/07/30	2014/07/31
69513	Credit Suisse AG – China Mobile R Bear CBBC Jan 2015 A	2014/07/30	2014/07/31
69632	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 R	2014/07/30	2014/07/31
69691	Credit Suisse AG – HSI R Bear CBBC Nov 2014 X	2014/07/30	2014/07/31
69696	Credit Suisse AG – HSI R Bear CBBC Dec 2014 L	2014/07/30	2014/07/31
69694	Credit Suisse AG – HSI R Bear CBBC Nov 2014 Y	2014/07/30	2014/07/31
66267	UBS AG – HSI R Bear CBBC Oct 2014 Y	2014/07/30	2014/07/31
69634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 Y	2014/07/30	2014/07/31
66262	Standard Chartered Bank – HSI R Bear CBBC Jun 2015 B	2014/07/30	2014/07/31
69286	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 A	2014/07/30	2014/07/31
69689	Credit Suisse AG – HSI R Bear CBBC Nov 2014 W	2014/07/30	2014/07/31
69688	Credit Suisse AG – HSI R Bear CBBC Nov 2014 V	2014/07/30	2014/07/31
69687	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 O	2014/07/30	2014/07/31
69683	UBS AG – HSI R Bear CBBC Nov 2014 W	2014/07/30	2014/07/31
69678	UBS AG – HSI R Bear CBBC Oct 2014 O	2014/07/30	2014/07/31
69676	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 P	2014/07/30	2014/07/31
65583	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct14 H	2014/07/30	2014/07/31
69768	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 Q	2014/07/31	2014/08/01
69763	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 F	2014/07/31	2014/08/01
69782	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 V	2014/07/31	2014/08/01
69770	Credit Suisse AG – HSI R Bear CBBC Oct 2014 E	2014/07/31	2014/08/01
69778	UBS AG – HSI R Bear CBBC Jan 2015 G	2014/07/31	2014/08/01
69779	UBS AG – HSI R Bear CBBC Dec 2014 O	2014/07/31	2014/08/01
69780	UBS AG – HSI R Bear CBBC Jan 2015 H	2014/07/31	2014/08/01
69771	Credit Suisse AG – HSI R Bear CBBC Oct 2014 O	2014/07/31	2014/08/01
66734	Citigroup Global Mkt H Inc. -Sinopec Corp R Bear CBBC Mar15B	2014/07/31	2014/08/01
69804	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 P	2014/07/31	2014/08/01
69823	Credit Suisse AG – HSI R Bear CBBC Oct 2014 B	2014/07/31	2014/08/01
67421	UBS AG – CC Bank R Bear CBBC Aug 2014 A	2014/07/31	2014/08/01
69764	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 G	2014/07/31	2014/08/01
69848	J P Morgan SP BV – HSI R Bear CBBC Oct 2014 W	2014/07/31	2014/08/01
69816	UBS AG – HSI R Bear CBBC Mar 2015 C	2014/07/31	2014/08/01
69832	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 S	2014/07/31	2014/08/01
65735	Citigroup Global Mkt H Inc. – SHK Ppt R Bear CBBC Mar 2015 B	2014/07/31	2014/08/01
69829	Standard Chartered Bank – HSI R Bear CBBC Nov 2014 R	2014/07/31	2014/08/01
69886	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 J	2014/08/01	2014/08/04
60843	UBS AG – China Life R Bear CBBC Aug 2014 A	2014/08/01	2014/08/05
65967	HK Bank – TCH R Bull CBBC Aug 2014 B	2014/08/01	2014/08/05
69907	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 Q	2014/08/01	2014/08/04
69900	UBS AG – HSI R Bear CBBC Nov 2014 X	2014/08/01	2014/08/04
69945	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 I	2014/08/01	2014/08/04
69946	Credit Suisse AG – HSI R Bear CBBC Dec 2014 M	2014/08/01	2014/08/04
69947	Credit Suisse AG – HSI R Bear CBBC Dec 2014 N	2014/08/01	2014/08/04
69948	UBS AG – HSI R Bear CBBC Dec 2014 P	2014/08/01	2014/08/04
69919	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Mar15A	2014/08/01	2014/08/04
68364	HK Bank – Sinopec Corp R Bear CBBC Aug 2014 C	2014/08/01	2014/08/05
69127	HK Bank – AIA R Bear CBBC Mar 2015 A	2014/08/01	2014/08/04
65179	HK Bank – Minsheng Bank R Bear CBBC Aug 2014 A	2014/08/01	2014/08/05
64831	HK Bank – CSOP A50 ETF R Bear CBBC Dec 2014 A	2014/08/01	2014/08/04
60491	UBS AG – HKEx R Bear CBBC Aug 2014 A	2014/08/01	2014/08/05
65290	Credit Suisse AG – A50 China R Bear CBBC Aug 2014 B	2014/08/01	2014/08/04
69894	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 Q	2014/08/01	2014/08/04
65779	UBS AG – CNOOC R Bear CBBC Aug 2014 A	2014/08/01	2014/08/05
69973	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 L	2014/08/04	2014/08/05
60041	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 N	2014/08/04	2014/08/05
60037	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 P	2014/08/04	2014/08/05
60018	UBS AG – HSI R Bear CBBC Feb 2015 C	2014/08/04	2014/08/05
60015	UBS AG – HSI R Bear CBBC Nov 2014 Z	2014/08/04	2014/08/05
60009	UBS AG – HSI R Bear CBBC Nov 2014 Y	2014/08/04	2014/08/05
60046	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 R	2014/08/04	2014/08/05
69992	Credit Suisse AG – HSI R Bear CBBC Nov 2014 G	2014/08/04	2014/08/05
69990	Credit Suisse AG – HSI R Bear CBBC Nov 2014 C	2014/08/04	2014/08/05
69974	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 M	2014/08/04	2014/08/05
60105	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 T	2014/08/04	2014/08/05
60508	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 S	2014/08/04	2014/08/05
69993	Credit Suisse AG – HSI R Bear CBBC Nov 2014 K	2014/08/04	2014/08/05
69987	Credit Suisse AG – HSI R Bear CBBC Nov 2014 B	2014/08/04	2014/08/05
61447	HK Bank – China Shenhua R Bear CBBC Dec 2015 A	2014/08/04	2014/08/05
62672	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 F	2014/08/05	2014/08/06
60994	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 K	2014/08/05	2014/08/06

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60985	Credit Suisse AG – HSI R Bull CBBC Feb 2015 F	2014/08/05	2014/08/06
62673	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 G	2014/08/05	2014/08/06
60983	Credit Suisse AG – HSI R Bull CBBC Feb 2015 E	2014/08/05	2014/08/06
61029	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 W	2014/08/05	2014/08/06
61030	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 C	2014/08/05	2014/08/06
60977	UBS AG – HSI R Bear CBBC Nov 2014 H	2014/08/05	2014/08/06
60973	Credit Suisse AG – HSI R Bear CBBC Nov 2014 M	2014/08/05	2014/08/06
61038	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 I	2014/08/05	2014/08/06
60945	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 F	2014/08/05	2014/08/06
61054	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/08/05	2014/08/06
65774	HK Bank – TCH R Bear CBBC Sep 2014 N	2014/08/05	2014/08/06
60911	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 T	2014/08/05	2014/08/06
61061	UBS AG – HSI R Bull CBBC Dec 2014 D	2014/08/05	2014/08/06
60966	Credit Suisse AG – HSI R Bear CBBC Nov 2014 L	2014/08/05	2014/08/06
60740	Credit Suisse AG – HSI R Bear CBBC Nov 2014 J	2014/08/05	2014/08/06
62708	HK Bank – TCH R Bear CBBC Oct 2014 H	2014/08/05	2014/08/06
63822	UBS AG – TCH R Bear CBBC Aug 2014 D	2014/08/05	2014/08/06
60684	UBS AG – HSI R Bear CBBC Feb 2015 E	2014/08/05	2014/08/06
60683	UBS AG – HSI R Bear CBBC Dec 2014 Q	2014/08/05	2014/08/06
60680	HK Bank – PetCh R Bull CBBC Jul 2015 C	2014/08/05	2014/08/06
62791	UBS AG – TCH R Bear CBBC Nov 2014 B	2014/08/05	2014/08/06
65886	Credit Suisse AG – TCH R Bear CBBC Dec 2014 C	2014/08/05	2014/08/06
62773	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 D	2014/08/05	2014/08/06
60988	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 J	2014/08/05	2014/08/06
60999	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 O	2014/08/06	2014/08/07
61804	UBS AG – HSI R Bull CBBC Feb 2015 P	2014/08/06	2014/08/07
61840	Credit Suisse AG – HSI R Bull CBBC Apr 2015 C	2014/08/06	2014/08/07
61971	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 R	2014/08/06	2014/08/07
61965	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 M	2014/08/06	2014/08/07
61135	HK Bank – HSI R Bull CBBC Feb 2015 N	2014/08/06	2014/08/07
61915	Credit Suisse AG – HSI R Bull CBBC Apr 2015 D	2014/08/06	2014/08/07
61916	Credit Suisse AG – HSI R Bull CBBC Apr 2015 E	2014/08/06	2014/08/07
61917	Credit Suisse AG – HSI R Bull CBBC Apr 2015 F	2014/08/06	2014/08/07
61968	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 Q	2014/08/06	2014/08/07
61797	UBS AG – HSI R Bull CBBC Jan 2015 H	2014/08/06	2014/08/07
61514	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 D	2014/08/06	2014/08/07
61791	UBS AG – HSI R Bull CBBC Feb 2015 N	2014/08/06	2014/08/07
61138	HK Bank – HSI R Bull CBBC Mar 2015 H	2014/08/06	2014/08/07
61140	HK Bank – HSCEI R Bull CBBC Feb 2015 C	2014/08/06	2014/08/07
61627	UBS AG – HSCEI R Bull CBBC Mar 2015 B	2014/08/06	2014/08/07
61155	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 P	2014/08/06	2014/08/07
61626	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 J	2014/08/06	2014/08/07
61233	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 P	2014/08/06	2014/08/07
61518	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 D	2014/08/06	2014/08/07
61517	J P Morgan SP BV – HSI R Bull CBBC May 2015 C	2014/08/06	2014/08/07
61945	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 L	2014/08/06	2014/08/07
61792	UBS AG – HSI R Bull CBBC Feb 2015 O	2014/08/06	2014/08/07
60494	UBS AG – HSI R Bull CBBC Mar 2015 I	2014/08/06	2014/08/07
60058	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 X	2014/08/06	2014/08/07
61734	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 Q	2014/08/06	2014/08/07
60364	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 M	2014/08/06	2014/08/07
60301	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 I	2014/08/06	2014/08/07
60912	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 A	2014/08/06	2014/08/07
60499	Credit Suisse AG – HSI R Bull CBBC Mar 2015 R	2014/08/06	2014/08/07
60979	Credit Suisse AG – HSI R Bull CBBC Feb 2015 D	2014/08/06	2014/08/07
60887	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 O	2014/08/06	2014/08/07
62335	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 E	2014/08/07	2014/08/08
67847	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Apr15A	2014/08/07	2014/08/08
62336	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 K	2014/08/07	2014/08/08
62318	UBS AG – HSI R Bull CBBC Jan 2015 K	2014/08/07	2014/08/08
60573	HK Bank – HSI R Bull CBBC Jan 2015 T	2014/08/07	2014/08/08
61152	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 F	2014/08/07	2014/08/08
62319	UBS AG – HSI R Bull CBBC Feb 2015 Q	2014/08/07	2014/08/08
60681	HK Bank – Galaxy Ent R Bull CBBC Apr 2015 A	2014/08/07	2014/08/08
67844	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Apr 15B	2014/08/07	2014/08/08
60128	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 N	2014/08/07	2014/08/08
65105	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bear CBBC Aug14A	2014/08/07	2014/08/11
67441	HK Bank – Sands China R Bull CBBC Apr 2015 A	2014/08/07	2014/08/08
61164	Standard Chartered Bank – HKEx R Bear CBBC Oct 2014 B	2014/08/07	2014/08/08
62086	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 G	2014/08/07	2014/08/08
62303	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 R	2014/08/07	2014/08/08
68033	Standard Chartered Bank – Galaxy Ent R Bear CBBC Aug 2014 A	2014/08/07	2014/08/11
62270	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/08/07	2014/08/08
62126	HK Bank – HSI R Bull CBBC Mar 2015 I	2014/08/07	2014/08/08
62157	HK Bank – Cheung Kong R Bull CBBC Jun 2015 B	2014/08/07	2014/08/08
65081	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Aug 14 A	2014/08/07	2014/08/11
60363	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 L	2014/08/07	2014/08/08
62317	Credit Suisse AG – HSI R Bull CBBC Dec 2014 U	2014/08/07	2014/08/08
60206	UBS AG – HSCEI R Bull CBBC Feb 2015 C	2014/08/08	2014/08/11
60612	HK Bank – A50 R Bull CBBC Apr 2015 F	2014/08/08	2014/08/11
60555	HK Bank – HSI R Bull CBBC Feb 2015 M	2014/08/08	2014/08/11
62426	UBS AG – HSI R Bull CBBC Feb 2015 R	2014/08/08	2014/08/11
60699	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 N	2014/08/08	2014/08/11
62424	Credit Suisse AG – HSI R Bull CBBC Nov 2014 D	2014/08/08	2014/08/11
61789	UBS AG – HSI R Bull CBBC Dec 2014 G	2014/08/08	2014/08/11
62420	Credit Suisse AG – HSI R Bull CBBC Nov 2014 O	2014/08/08	2014/08/11
60470	Credit Suisse AG – HSI R Bull CBBC Mar 2015 P	2014/08/08	2014/08/11

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60500	UBS AG – HSI R Bull CBBC Mar 2015 J	2014/08/08	2014/08/11
60472	Credit Suisse AG – HSI R Bull CBBC Mar 2015 Q	2014/08/08	2014/08/11
61217	UBS AG – TCH R Bull CBBC Aug 2014 A	2014/08/08	2014/08/12
61807	Credit Suisse AG – HSI R Bull CBBC Apr 2015 B	2014/08/08	2014/08/11
62427	UBS AG – HSI R Bull CBBC Jan 2015 M	2014/08/08	2014/08/11
60288	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 H	2014/08/08	2014/08/11
62379	HK Bank – A50 R Bull CBBC Jan 2015 M	2014/08/08	2014/08/11
69961	UBS AG – HSI R Bull CBBC Jan 2015 J	2014/08/08	2014/08/11
67932	UBS AG – China Mobile R Bear CBBC Aug 2014 A	2014/08/08	2014/08/12
60867	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 Z	2014/08/08	2014/08/11
67880	HK Bank – Galaxy Ent R Bull CBBC Feb 2015 B	2014/08/08	2014/08/11
60048	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 F	2014/08/08	2014/08/11
62449	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 M	2014/08/08	2014/08/11
60108	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 G	2014/08/08	2014/08/11
61071	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 15 F	2014/08/08	2014/08/11
60860	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 B	2014/08/08	2014/08/11
69965	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 Y	2014/08/08	2014/08/11
60056	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 W	2014/08/08	2014/08/11
67510	UBS AG – CNOOC R Bear CBBC Aug 2014 B	2014/08/08	2014/08/12
61044	UBS AG – HSI R Bull CBBC Jan 2015 L	2014/08/08	2014/08/11
60803	HK Bank – Ping An R Bear CBBC Aug 2014 B	2014/08/08	2014/08/12
69958	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 G	2014/08/08	2014/08/11
69956	Credit Suisse AG – HSI R Bull CBBC Feb 2015 B	2014/08/08	2014/08/11
60978	Credit Suisse AG – HSI R Bull CBBC Feb 2015 A	2014/08/08	2014/08/11
66143	UBS AG – China Mobile R Bear CBBC Aug 2014 D	2014/08/08	2014/08/12
62545	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 S	2014/08/08	2014/08/11
67068	UBS AG – Sands China R Bull CBBC Aug 2014 A	2014/08/08	2014/08/12
62490	Credit Suisse AG – HSI R Bull CBBC Nov 2014 X	2014/08/08	2014/08/11
61492	J P Morgan SP BV – HSI R Bull CBBC May 2015 B	2014/08/08	2014/08/11
60359	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 K	2014/08/08	2014/08/11
63073	HK Bank – CNOOC R Bear CBBC Aug 2014 A	2014/08/08	2014/08/12
62716	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 Q	2014/08/11	2014/08/12
64060	HK Bank – BOCL R Bear CBBC Aug 2014 A	2014/08/11	2014/08/13
61378	Citigroup Global Mkt H Inc. – CNOOC R Bear CBBC Jan 2015 C	2014/08/11	2014/08/12
62515	Credit Suisse AG – HSI R Bear CBBC Jan 2015 N	2014/08/11	2014/08/12
62753	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bull CBBC May15A	2014/08/11	2014/08/12
62700	Credit Suisse AG – HSI R Bull CBBC Feb 2015 G	2014/08/11	2014/08/12
61689	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 F	2014/08/11	2014/08/12
62689	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/08/11	2014/08/12
61927	UBS AG – HKEx R Bear CBBC Mar 2015 F	2014/08/11	2014/08/12
62674	Credit Suisse AG – HSI R Bear CBBC Feb 2015 O	2014/08/11	2014/08/12
62556	UBS AG – TCH R Bear CBBC Feb 2015 B	2014/08/11	2014/08/12
62554	UBS AG – HSI R Bear CBBC Dec 2014 V	2014/08/11	2014/08/12
62549	UBS AG – HSI R Bear CBBC Mar 2015 H	2014/08/11	2014/08/12
62546	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 Y	2014/08/11	2014/08/12
62537	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 F	2014/08/11	2014/08/12
62755	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC May 15 A	2014/08/11	2014/08/12
61235	Credit Suisse AG – HSI R Bear CBBC Dec 2014 R	2014/08/11	2014/08/12
61159	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 U	2014/08/11	2014/08/12
62535	Credit Suisse AG – HSI R Bear CBBC Jan 2015 O	2014/08/11	2014/08/12
62825	UBS AG – HSI R Bear CBBC Nov 2014 O	2014/08/12	2014/08/13
62462	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 I	2014/08/12	2014/08/13
67603	HK Bank – Lenovo R Bear CBBC Jan 2015 A	2014/08/12	2014/08/13
62806	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 N	2014/08/12	2014/08/13
62818	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 Q	2014/08/12	2014/08/13
62820	Credit Suisse AG – HSI R Bear CBBC Nov 2014 R	2014/08/12	2014/08/13
62824	Credit Suisse AG – HSI R Bull CBBC Jan 2015 L	2014/08/12	2014/08/13
62842	UBS AG – HSI R Bull CBBC Mar 2015 L	2014/08/12	2014/08/13
68147	UBS AG – Lenovo R Bear CBBC Oct 2014 D	2014/08/12	2014/08/13
62823	Credit Suisse AG – HSI R Bull CBBC Jan 2015 K	2014/08/12	2014/08/13
62629	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 M	2014/08/13	2014/08/14
61177	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 V	2014/08/13	2014/08/14
62712	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 M	2014/08/13	2014/08/14
61179	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 W	2014/08/13	2014/08/14
61230	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 O	2014/08/13	2014/08/14
62698	Credit Suisse AG – HSI R Bear CBBC Feb 2015 Q	2014/08/13	2014/08/14
61099	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 Y	2014/08/13	2014/08/14
60882	UBS AG – HSI R Bear CBBC Nov 2014 F	2014/08/13	2014/08/14
63014	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 C	2014/08/13	2014/08/14
62566	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2014 A	2014/08/13	2014/08/14
61242	Credit Suisse AG – HSI R Bear CBBC Dec 2014 S	2014/08/13	2014/08/14
61243	Credit Suisse AG – HSI R Bear CBBC Dec 2014 T	2014/08/13	2014/08/14
61250	Credit Suisse AG – HSI R Bear CBBC Dec 2014 U	2014/08/13	2014/08/14
61446	UBS AG – HSI R Bear CBBC Nov 2014 L	2014/08/13	2014/08/14
60832	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 U	2014/08/13	2014/08/14
61112	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 J	2014/08/13	2014/08/14
62909	Credit Suisse AG – HSI R Bull CBBC Apr 2015 G	2014/08/13	2014/08/14
62901	HK Bank – TCH R Bull CBBC Apr 2015 C	2014/08/13	2014/08/14
66865	HK Bank – TCH R Bull CBBC Aug 2014 C	2014/08/13	2014/08/15
62895	HK Bank – HSCEI R Bear CBBC Jul 2015 A	2014/08/13	2014/08/14
62889	HK Bank – HSI R Bear CBBC Apr 2015 H	2014/08/13	2014/08/14
62969	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 R	2014/08/13	2014/08/14
62751	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15E	2014/08/13	2014/08/14
62972	UBS AG – HSI R Bear CBBC Nov 2014 P	2014/08/13	2014/08/14
61102	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 Z	2014/08/13	2014/08/14
61120	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 K	2014/08/13	2014/08/14
62982	UBS AG – HSI R Bear CBBC Nov 2014 Q	2014/08/13	2014/08/14

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61464	UBS AG – HSI R Bear CBBC Nov 2014 M	2014/08/13	2014/08/14
62994	Credit Suisse AG – HSI R Bear CBBC Nov 2014 S	2014/08/13	2014/08/14
63009	Credit Suisse AG – HSI R Bear CBBC Nov 2014 T	2014/08/13	2014/08/14
63013	Credit Suisse AG – HSI R Bear CBBC Nov 2014 F	2014/08/13	2014/08/14
61103	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 I	2014/08/13	2014/08/14
62489	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2014 B	2014/08/13	2014/08/14
62378	HK Bank – HSI R Bear CBBC Mar 2015 M	2014/08/13	2014/08/14
60234	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Feb 2015 B	2014/08/13	2014/08/14
62328	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 H	2014/08/13	2014/08/14
62307	Credit Suisse AG – HSI R Bear CBBC Nov 2014 Q	2014/08/13	2014/08/14
60541	UBS AG – HSI R Bear CBBC Jan 2015 J	2014/08/13	2014/08/14
62306	Credit Suisse AG – HSI R Bear CBBC Nov 2014 P	2014/08/13	2014/08/14
62305	Credit Suisse AG – HSI R Bear CBBC Nov 2014 N	2014/08/13	2014/08/14
62304	UBS AG – HSI R Bear CBBC Mar 2015 G	2014/08/13	2014/08/14
62263	UBS AG – HSI R Bear CBBC Dec 2014 U	2014/08/13	2014/08/14
62459	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 V	2014/08/13	2014/08/14
62095	HK Bank – HSI R Bear CBBC Mar 2015 K	2014/08/13	2014/08/14
61977	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 X	2014/08/13	2014/08/14
60876	UBS AG – HSI R Bear CBBC Jan 2015 K	2014/08/14	2014/08/15
61444	HK Bank – HKEx R Bear CBBC Jan 2015 A	2014/08/14	2014/08/15
66187	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 J	2014/08/14	2014/08/15
67685	Credit Suisse AG – HSI R Bear CBBC Jan 2015 B	2014/08/14	2014/08/15
63108	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 S	2014/08/14	2014/08/15
63153	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2015 A	2014/08/14	2014/08/15
63123	UBS AG – HSI R Bear CBBC Nov 2014 R	2014/08/14	2014/08/15
63126	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 B	2014/08/14	2014/08/15
63125	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 W	2014/08/14	2014/08/15
68694	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/08/14	2014/08/15
63124	UBS AG – HSI R Bear CBBC Nov 2014 S	2014/08/14	2014/08/15
63096	Credit Suisse AG – HSI R Bear CBBC Nov 2014 O	2014/08/14	2014/08/15
63118	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2014 C	2014/08/14	2014/08/15
69847	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 P	2014/08/14	2014/08/15
69514	Credit Suisse AG – China Mobile R Bear CBBC Jan 2015 B	2014/08/14	2014/08/15
61006	HK Bank – HSI R Bear CBBC Aug 2014 E	2014/08/15	2014/08/18
63265	UBS AG – HSI R Bear CBBC Nov 2014 D	2014/08/15	2014/08/18
63250	Standard Chartered Bank – AIA R Bear CBBC Jun 2015 A	2014/08/15	2014/08/18
63248	Standard Chartered Bank – HSI R Bear CBBC Jan 2015 P	2014/08/15	2014/08/18
64517	HK Bank – BOCL R Bull CBBC Aug 2014 B	2014/08/15	2014/08/19
63186	HK Bank – HSI R Bear CBBC Jun 2015 A	2014/08/15	2014/08/18
63181	HK Bank – HSI R Bear CBBC Feb 2015 N	2014/08/15	2014/08/18
65247	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Aug14B	2014/08/15	2014/08/19
60002	Credit Suisse AG – HSI R Bear CBBC Aug 2014 K	2014/08/15	2014/08/18
63297	Credit Suisse AG – HSI R Bear CBBC Dec 2014 X	2014/08/15	2014/08/18
69805	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 H	2014/08/15	2014/08/18
69013	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 H	2014/08/15	2014/08/18
66403	UBS AG – Hutchison R Bear CBBC Aug 2014 B	2014/08/15	2014/08/19
65244	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Aug14A	2014/08/15	2014/08/19
61011	UBS AG – HSI R Bear CBBC Nov 2014 E	2014/08/15	2014/08/18
69663	Standard Chartered Bank – China Mobile R Bear CBBC Mar 2015B	2014/08/15	2014/08/18
69700	Credit Suisse AG – HSI R Bear CBBC Nov 2014 Z	2014/08/15	2014/08/18
69875	HK Bank – HSI R Bear CBBC Feb 2015 J	2014/08/15	2014/08/18
69515	Credit Suisse AG – China Mobile R Bear CBBC Jan 2015 C	2014/08/15	2014/08/18
65587	UBS AG – Sinopec Corp R Bear CBBC Aug 2014 A	2014/08/15	2014/08/19
64207	HK Bank – China Mobile R Bear CBBC Apr 2015 B	2014/08/15	2014/08/18
66139	UBS AG – Ping An R Bear CBBC Aug 2014 C	2014/08/15	2014/08/19
61026	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 V	2014/08/15	2014/08/18
63293	UBS AG – HSI R Bear CBBC Nov 2014 T	2014/08/15	2014/08/18
60725	HK Bank – ABC R Bull CBBC Aug 2014 B	2014/08/15	2014/08/19
63294	Credit Suisse AG – HSI R Bear CBBC Dec 2014 W	2014/08/15	2014/08/18
65067	Standard Chartered Bank – Sands China R Bear CBBC Aug 2014 A	2014/08/15	2014/08/19
69450	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15D	2014/08/15	2014/08/18
69449	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15C	2014/08/15	2014/08/18
63301	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 E	2014/08/15	2014/08/18
63300	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 X	2014/08/15	2014/08/18
65374	HK Bank – Kunlun Energy R Bull CBBC Aug 2014 A	2014/08/15	2014/08/19
67093	Citigroup Global Mkt H Inc. – China Life R Bear CBBC Aug14 A	2014/08/15	2014/08/19
67837	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 D	2014/08/15	2014/08/18
66051	UBS AG – ICBC R Bull CBBC Aug 2014 A	2014/08/15	2014/08/19
61924	UBS AG – HKEx R Bear CBBC Jan 2015 E	2014/08/15	2014/08/18
69604	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2015 I	2014/08/15	2014/08/18
62311	Credit Suisse AG – HKEx R Bear CBBC Jan 2015 D	2014/08/15	2014/08/18
69955	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 D	2014/08/15	2014/08/18
69115	HK Bank – China Mobile R Bear CBBC Apr 2015 C	2014/08/15	2014/08/18
61258	HK Bank – TCH R Bull CBBC Aug 2014 E	2014/08/15	2014/08/19
69179	UBS AG – China Mobile R Bear CBBC Apr 2015 A	2014/08/15	2014/08/18
61093	UBS AG – Ping An R Bear CBBC Aug 2014 A	2014/08/15	2014/08/19
63321	Credit Suisse AG – HSI R Bear CBBC Nov 2014 A	2014/08/18	2014/08/19
63311	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 J	2014/08/18	2014/08/19
63335	UBS AG – HSI R Bear CBBC Nov 2014 J	2014/08/18	2014/08/19
68762	UBS AG – CNOOC R Bear CBBC Jan 2015 B	2014/08/18	2014/08/19
60778	Credit Suisse AG – China Mobile R Bear CBBC Feb 2015 A	2014/08/18	2014/08/19
63316	Credit Suisse AG – HSI R Bear CBBC Nov 2014 U	2014/08/18	2014/08/19
63332	UBS AG – HSI R Bear CBBC Nov 2014 U	2014/08/18	2014/08/19
63338	UBS AG – HSI R Bear CBBC Nov 2014 V	2014/08/18	2014/08/19
63348	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Y	2014/08/18	2014/08/19
63354	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 L	2014/08/18	2014/08/19
67203	HK Bank – Lenovo R Bear CBBC Feb 2015 A	2014/08/18	2014/08/19

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69821	UBS AG – China Mobile R Bear CBBC May 2015 A	2014/08/18	2014/08/19
62752	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15F	2014/08/18	2014/08/19
63322	Credit Suisse AG – HSI R Bear CBBC Nov 2014 D	2014/08/18	2014/08/19
63053	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jun 2015 C	2014/08/18	2014/08/19
63324	Credit Suisse AG – HSI R Bear CBBC Nov 2014 E	2014/08/18	2014/08/19
62971	UBS AG – TCH R Bull CBBC Jul 2015 C	2014/08/18	2014/08/19
66959	Standard Chartered Bank – Sands China R Bear CBBC Aug 2014 B	2014/08/18	2014/08/20
67419	UBS AG – Lenovo R Bear CBBC Oct 2014 A	2014/08/18	2014/08/19
64366	HK Bank – CNOOC R Bear CBBC Oct 2014 A	2014/08/18	2014/08/19
62897	HK Bank – TCH R Bull CBBC Apr 2015 B	2014/08/18	2014/08/19
60540	UBS AG – HSI R Bear CBBC Jan 2015 I	2014/08/19	2014/08/20
63530	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 L	2014/08/19	2014/08/20
63558	UBS AG – HSI R Bear CBBC Dec 2014 W	2014/08/19	2014/08/20
63565	Credit Suisse AG – HSI R Bear CBBC Jan 2015 P	2014/08/19	2014/08/20
63569	Credit Suisse AG – HSI R Bear CBBC Jan 2015 Q	2014/08/19	2014/08/20
61020	HK Bank – TCH R Bull CBBC Aug 2014 D	2014/08/19	2014/08/21
63596	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Z	2014/08/19	2014/08/20
60437	UBS AG – HSI R Bear CBBC Nov 2014 C	2014/08/19	2014/08/20
69895	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 J	2014/08/19	2014/08/20
69550	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 G	2014/08/19	2014/08/20
60824	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Q	2014/08/19	2014/08/20
66994	HK Bank – HSI R Bear CBBC Oct 2014 B	2014/08/19	2014/08/20
69806	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 I	2014/08/19	2014/08/20
60099	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 S	2014/08/19	2014/08/20
68357	Bank of East Asia – Lenovo R Bear CBBC Sep 2014 A	2014/08/19	2014/08/20
69861	HK Bank – HSI R Bear CBBC Mar 2015 J	2014/08/19	2014/08/20
60411	Credit Suisse AG – HSI R Bear CBBC Apr 2015 E	2014/08/19	2014/08/20
69781	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 I	2014/08/19	2014/08/20
69421	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 U	2014/08/19	2014/08/20
69717	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 G	2014/08/19	2014/08/20
69480	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 A	2014/08/19	2014/08/20
67991	HK Bank – HSI R Bear CBBC Mar 2015 E	2014/08/19	2014/08/20
62702	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 J	2014/08/19	2014/08/20
69740	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 H	2014/08/19	2014/08/20
60366	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 K	2014/08/19	2014/08/20
69636	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 Z	2014/08/19	2014/08/20
61445	UBS AG – HSI R Bear CBBC Dec 2014 T	2014/08/19	2014/08/20
60045	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 F	2014/08/19	2014/08/20
60729	Credit Suisse AG – HSI R Bear CBBC Nov 2014 H	2014/08/19	2014/08/20
60036	UBS AG – HSI R Bear CBBC Oct 2014 C	2014/08/19	2014/08/20
67686	Credit Suisse AG – HSI R Bear CBBC Jan 2015 C	2014/08/19	2014/08/20
65079	Credit Suisse AG – HSI R Bear CBBC Dec 2014 C	2014/08/19	2014/08/20
67566	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 C	2014/08/19	2014/08/20
68976	Standard Chartered Bank – Lenovo R Bear CBBC Apr 2015 B	2014/08/19	2014/08/20
63893	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 G	2014/08/20	2014/08/21
63813	Credit Suisse AG – HSI R Bear CBBC Nov 2014 X	2014/08/20	2014/08/21
63834	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 V	2014/08/20	2014/08/21
63811	Credit Suisse AG – HSI R Bear CBBC Nov 2014 W	2014/08/20	2014/08/21
63188	HK Bank – TCH R Bear CBBC Feb 2015 B	2014/08/20	2014/08/21
63771	UBS AG – HSI R Bear CBBC Dec 2014 X	2014/08/20	2014/08/21
63758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 U	2014/08/20	2014/08/21
63750	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 T	2014/08/20	2014/08/21
63748	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 Z	2014/08/20	2014/08/21
63791	UBS AG – HSI R Bear CBBC Dec 2014 Y	2014/08/20	2014/08/21
61696	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 G	2014/08/20	2014/08/21
61567	UBS AG – HKEx R Bear CBBC Feb 2015 C	2014/08/20	2014/08/21
63810	Credit Suisse AG – HSI R Bear CBBC Nov 2014 V	2014/08/20	2014/08/21
64085	Credit Suisse AG – HSI R Bear CBBC Nov 2014 C	2014/08/21	2014/08/22
64158	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 B	2014/08/21	2014/08/22
66316	HK Bank – China Life R Bear CBBC Aug 2014 C	2014/08/21	2014/08/25
64135	UBS AG – HSI R Bear CBBC Nov 2014 W	2014/08/21	2014/08/22
61501	Standard Chartered Bank – Sands China R Bull CBBC Aug 2014 B	2014/08/21	2014/08/25
64133	UBS AG – HSI R Bear CBBC Nov 2014 G	2014/08/21	2014/08/22
64089	Credit Suisse AG – HSI R Bear CBBC Nov 2014 G	2014/08/21	2014/08/22
64136	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 K	2014/08/21	2014/08/22
64084	Credit Suisse AG – HSI R Bear CBBC Nov 2014 B	2014/08/21	2014/08/22
69996	Bank of East Asia – Ping An R Bull CBBC Aug 2014 A	2014/08/21	2014/08/25
62887	HK Bank – Minsheng Bank R Bull CBBC May 2015 A	2014/08/21	2014/08/22
63465	HK Bank – A50 R Bull CBBC Jun 2015 A	2014/08/21	2014/08/22
63997	HK Bank – HSCEI R Bull CBBC Jun 2015 A	2014/08/21	2014/08/22
63466	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 G	2014/08/21	2014/08/22
63592	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Mar 15 A	2014/08/21	2014/08/22
67356	Bank of East Asia – Galaxy Ent R Bear CBBC Aug 2014 A	2014/08/21	2014/08/25
64100	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 A	2014/08/21	2014/08/22
64159	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 A	2014/08/22	2014/08/25
65783	UBS AG – Cheung Kong R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
67547	Citigroup Global Mkt H Inc. – CC Bank R Bear CBBC Aug 2014 A	2014/08/22	2014/08/26
67077	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Aug14 A	2014/08/22	2014/08/26
61019	HK Bank – A50 R Bear CBBC Aug 2014 A	2014/08/22	2014/08/26
66374	Standard Chartered Bank – PetCh R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
60005	HK Bank – ABC R Bear CBBC Aug 2014 A	2014/08/22	2014/08/26
62813	HK Bank – Galaxy Ent R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
67714	Citigroup Global Mkt H Inc. – ICBC R Bear CBBC Aug 2014 A	2014/08/22	2014/08/26
68997	UBS AG – Galaxy Ent R Bear CBBC Aug 2014 C	2014/08/22	2014/08/26
62642	UBS AG – AIA R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
63062	HK Bank – Lenovo R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
64161	UBS AG – HSI R Bear CBBC Nov 2014 X	2014/08/22	2014/08/25

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64279	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 W	2014/08/22	2014/08/25
62766	Standard Chartered Bank – Hutchison R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
64521	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 O	2014/08/22	2014/08/25
65832	HK Bank – TCH R Bull CBBC Aug 2014 A	2014/08/22	2014/08/26
64263	UBS AG – HSI R Bear CBBC Nov 2014 Y	2014/08/22	2014/08/25
64252	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 O	2014/08/22	2014/08/25
64168	Credit Suisse AG – HSI R Bear CBBC Dec 2014 Z	2014/08/22	2014/08/25
64163	Credit Suisse AG – HSI R Bear CBBC Dec 2014 Y	2014/08/22	2014/08/25
64623	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 14F	2014/08/25	2014/08/26
64626	UBS AG – HSI R Bear CBBC Dec 2014 F	2014/08/25	2014/08/26
64625	UBS AG – HSI R Bear CBBC Dec 2014 E	2014/08/25	2014/08/26
63533	UBS AG – A50 China R Bull CBBC Mar 2015 H	2014/08/25	2014/08/26
64579	Credit Suisse AG – HSI R Bear CBBC Dec 2014 F	2014/08/25	2014/08/26
64586	Credit Suisse AG – HSI R Bull CBBC Apr 2015 M	2014/08/25	2014/08/26
60504	Credit Suisse AG – A50 China R Bull CBBC Jan 2015 E	2014/08/25	2014/08/26
67920	Standard Chartered Bank – PetCh R Bear CBBC Mar 2015 A	2014/08/25	2014/08/26
64253	J P Morgan SP BV – HSI R Bull CBBC May 2015 N	2014/08/25	2014/08/26
64578	Credit Suisse AG – HSI R Bear CBBC Dec 2014 E	2014/08/25	2014/08/26
64504	Credit Suisse AG – HSI R Bull CBBC Apr 2015 L	2014/08/25	2014/08/26
64593	UBS AG – HSI R Bull CBBC Jan 2015 U	2014/08/25	2014/08/26
64474	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 M	2014/08/25	2014/08/26
61385	Citigroup Global Mkt H Inc. -Sinopec Corp R Bear CBBC Jan15A	2014/08/25	2014/08/26
61148	HK Bank – A50 R Bull CBBC Apr 2015 H	2014/08/25	2014/08/26
64519	UBS AG – HSI R Bull CBBC Mar 2015 Q	2014/08/25	2014/08/26
64781	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 W	2014/08/26	2014/08/27
64745	UBS AG – HSI R Bull CBBC Mar 2015 R	2014/08/26	2014/08/27
64793	J P Morgan SP BV – HSI R Bull CBBC May 2015 P	2014/08/26	2014/08/27
64788	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 I	2014/08/26	2014/08/27
64755	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 Y	2014/08/26	2014/08/27
64756	Credit Suisse AG – HSI R Bear CBBC Nov 2014 J	2014/08/26	2014/08/27
64734	UBS AG – HSI R Bear CBBC Dec 2014 H	2014/08/26	2014/08/27
64776	Credit Suisse AG – HSI R Bull CBBC Feb 2015 B	2014/08/26	2014/08/27
64787	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 O	2014/08/26	2014/08/27
64740	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 H	2014/08/26	2014/08/27
64636	HK Bank – HSI R Bear CBBC Apr 2015 I	2014/08/26	2014/08/27
64697	UBS AG – HSI R Bear CBBC Nov 2014 T	2014/08/26	2014/08/27
64701	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 T	2014/08/26	2014/08/27
69401	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Apr 2015 B	2014/08/26	2014/08/27
63512	Credit Suisse AG – TCH R Bull CBBC Mar 2015 A	2014/08/26	2014/08/27
64698	Credit Suisse AG – HSI R Bear CBBC Nov 2014 K	2014/08/26	2014/08/27
68351	HK Bank – HSCEI R Bear CBBC Aug 2014 A	2014/08/27	2014/08/29
69903	HK Bank – PetCh R Bear CBBC Dec 2014 A	2014/08/27	2014/08/28
60744	Credit Suisse AG – HSI R Bear CBBC Aug 2014 A	2014/08/27	2014/08/29
63532	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2015 D	2014/08/27	2014/08/28
68409	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 C	2014/08/27	2014/08/29
60768	Credit Suisse AG – HSI R Bear CBBC Aug 2014 B	2014/08/27	2014/08/29
60794	HK Bank – HSI R Bear CBBC Aug 2014 D	2014/08/27	2014/08/29
68423	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 D	2014/08/27	2014/08/29
64885	UBS AG – HSI R Bull CBBC Feb 2015 Z	2014/08/27	2014/08/28
60174	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2014 M	2014/08/27	2014/08/29
61373	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 T	2014/08/27	2014/08/29
61372	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 M	2014/08/27	2014/08/29
61371	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 L	2014/08/27	2014/08/29
61370	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 K	2014/08/27	2014/08/29
61369	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 J	2014/08/27	2014/08/29
61367	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 C	2014/08/27	2014/08/29
60671	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2014 K	2014/08/27	2014/08/29
60733	UBS AG – HSCEI R Bear CBBC Aug 2014 C	2014/08/27	2014/08/29
61524	UBS AG – HSI R Bull CBBC Aug 2014 J	2014/08/27	2014/08/29
69534	Standard Chartered Bank – HKEx R Bull CBBC Aug 2014 B	2014/08/27	2014/08/29
62346	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2014 B	2014/08/27	2014/08/29
64884	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 P	2014/08/27	2014/08/28
64887	Credit Suisse AG – HSI R Bull CBBC Mar 2015 Y	2014/08/27	2014/08/28
64743	UBS AG – HSI R Bull CBBC Apr 2015 B	2014/08/27	2014/08/28
66196	HK Bank – HSCEI R Bull CBBC Aug 2014 B	2014/08/27	2014/08/29
67855	Standard Chartered Bank – HKEx R Bull CBBC Aug 2014 A	2014/08/27	2014/08/29
63136	HK Bank – HSCEI R Bear CBBC Aug 2014 C	2014/08/27	2014/08/29
64882	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 E	2014/08/27	2014/08/28
64862	UBS AG – HSI R Bear CBBC Nov 2014 U	2014/08/27	2014/08/28
64850	Credit Suisse AG – HSI R Bear CBBC Nov 2014 M	2014/08/27	2014/08/28
64845	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 M	2014/08/27	2014/08/28
64839	J P Morgan SP BV – HSI R Bull CBBC May 2015 Q	2014/08/27	2014/08/28
64795	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 L	2014/08/27	2014/08/28
64785	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 X	2014/08/27	2014/08/28
64771	Credit Suisse AG – HSI R Bull CBBC Feb 2015 A	2014/08/27	2014/08/28
61056	HK Bank – HSCEI R Bear CBBC Aug 2014 B	2014/08/27	2014/08/29
69171	UBS AG – HSCEI R Bear CBBC Aug 2014 A	2014/08/27	2014/08/29
61718	Credit Suisse AG – HSI R Bull CBBC Aug 2014 C	2014/08/27	2014/08/29
64541	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2015 F	2014/08/27	2014/08/28
64058	Credit Suisse AG – HSI R Bull CBBC Feb 2015 E	2014/08/27	2014/08/28
61557	UBS AG – HSI R Bull CBBC Aug 2014 F	2014/08/27	2014/08/29
61742	UBS AG – HSI R Bull CBBC Aug 2014 G	2014/08/27	2014/08/29
64272	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 V	2014/08/27	2014/08/28
64018	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 K	2014/08/27	2014/08/28
60054	Bank of East Asia – Sands China R Bull CBBC Aug 2014 A	2014/08/27	2014/08/29
64627	Credit Suisse AG – HSI R Bull CBBC Apr 2015 N	2014/08/27	2014/08/28
64632	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 K	2014/08/27	2014/08/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63832	Credit Suisse AG – HSI R Bull CBBC Mar 2015 W	2014/08/27	2014/08/28
61508	UBS AG – HSI R Bull CBBC Aug 2014 D	2014/08/27	2014/08/29
61509	UBS AG – HSI R Bull CBBC Aug 2014 E	2014/08/27	2014/08/29
61717	Credit Suisse AG – HSI R Bull CBBC Aug 2014 B	2014/08/27	2014/08/29
64894	Credit Suisse AG – HSI R Bull CBBC Mar 2015 Z	2014/08/27	2014/08/28
67137	HK Bank – ABC R Bull CBBC Aug 2014 A	2014/08/27	2014/08/29
61716	Credit Suisse AG – HSI R Bull CBBC Aug 2014 A	2014/08/27	2014/08/29
63899	UBS AG – HSI R Bear CBBC Aug 2014 A	2014/08/27	2014/08/29
64142	HK Bank – HSI R Bull CBBC Aug 2014 A	2014/08/27	2014/08/29
63868	UBS AG – HSI R Bull CBBC Apr 2015 A	2014/08/27	2014/08/28
64309	HK Bank – HSI R Bull CBBC Aug 2014 B	2014/08/27	2014/08/29
63993	HK Bank – HSI R Bull CBBC Feb 2015 O	2014/08/27	2014/08/28
63937	J P Morgan SP BV – HSI R Bull CBBC May 2015 K	2014/08/27	2014/08/28
64267	HK Bank – HSI R Bear CBBC Aug 2014 A	2014/08/27	2014/08/29
63989	HK Bank – HSI R Bull CBBC Mar 2015 K	2014/08/28	2014/08/29
68816	Credit Suisse AG – China Mobile R Bull CBBC Nov 2014 B	2014/08/28	2014/08/29
64814	HK Bank – HSI R Bull CBBC Apr 2015 B	2014/08/28	2014/08/29
64886	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Y	2014/08/28	2014/08/29
68815	Credit Suisse AG – China Mobile R Bull CBBC Nov 2014 A	2014/08/28	2014/08/29
64271	UBS AG – HKEx R Bull CBBC Oct 2015 B	2014/08/28	2014/08/29
65895	Credit Suisse AG – Galaxy Ent R Bear CBBC Aug 2014 B	2014/08/28	2014/09/01
64048	Citigroup Global Mkt H Inc. – Kingsoft R Bull CBBC Mar 15 C	2014/08/28	2014/08/29
64014	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 J	2014/08/28	2014/08/29
61070	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Apr15D	2014/08/28	2014/08/29
64908	J P Morgan SP BV – HSI R Bull CBBC May 2015 R	2014/08/28	2014/08/29
63104	Credit Suisse AG – TCH R Bull CBBC Dec 2014 A	2014/08/28	2014/08/29
63981	HK Bank – HKEx R Bull CBBC Jun 2015 C	2014/08/28	2014/08/29
62429	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Jun15 C	2014/08/28	2014/08/29
61149	Credit Suisse AG – HSI R Bull CBBC Sep 2014 H	2014/08/28	2014/08/29
65003	UBS AG – HSI R Bear CBBC Dec 2014 J	2014/08/28	2014/08/29
63035	Credit Suisse AG – China Mobile R Bull CBBC Dec 2014 A	2014/08/28	2014/08/29
64081	Credit Suisse AG – HSI R Bull CBBC Feb 2015 D	2014/08/28	2014/08/29
60620	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 E	2014/08/28	2014/08/29
60611	HK Bank – A50 R Bull CBBC May 2015 A	2014/08/28	2014/08/29
64080	Credit Suisse AG – HSI R Bull CBBC Feb 2015 F	2014/08/28	2014/08/29
63426	Credit Suisse AG – TCH R Bull CBBC Dec 2014 B	2014/08/28	2014/08/29
64997	Credit Suisse AG – HSI R Bear CBBC Nov 2014 R	2014/08/28	2014/08/29
64903	Credit Suisse AG – HSI R Bull CBBC Mar 2015 C	2014/08/28	2014/08/29
64963	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 H	2014/08/28	2014/08/29
64037	UBS AG – HSI R Bull CBBC Mar 2015 P	2014/08/28	2014/08/29
68819	Credit Suisse AG – Galaxy Ent R Bear CBBC Aug 2014 C	2014/08/28	2014/09/01
60780	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Feb 2015 A	2014/08/28	2014/08/29
68817	Credit Suisse AG – AIA R Bull CBBC Nov 2014 A	2014/08/28	2014/08/29
64928	UBS AG – HSI R Bull CBBC Jan 2015 T	2014/08/28	2014/08/29
64912	UBS AG – HSI R Bull CBBC Mar 2015 S	2014/08/28	2014/08/29
61597	Credit Suisse AG – Galaxy Ent R Bear CBBC Sep 2014 B	2014/08/28	2014/08/29
64905	Credit Suisse AG – HSI R Bull CBBC Mar 2015 D	2014/08/28	2014/08/29
62384	HK Bank – China Cinda R Bull CBBC Jul 2015 A	2014/08/28	2014/08/29
64160	J P Morgan SP BV – HSI R Bull CBBC May 2015 M	2014/08/28	2014/08/29
68133	Standard Chartered Bank – Anhui Conch R Bull CBBC Sep 2015 A	2014/08/28	2014/08/29
64970	J P Morgan SP BV – HSI R Bull CBBC May 2015 S	2014/08/28	2014/08/29
65894	Credit Suisse AG – Galaxy Ent R Bear CBBC Aug 2014 A	2014/08/28	2014/09/01
63817	Credit Suisse AG – HSI R Bull CBBC Mar 2015 V	2014/08/28	2014/08/29
68714	Credit Suisse AG – HSI R Bull CBBC Sep 2014 B	2014/08/28	2014/08/29
69264	Credit Suisse AG – China Mobile R Bull CBBC Nov 2014 C	2014/08/28	2014/08/29
63617	Credit Suisse AG – Kingsoft R Bull CBBC Dec 2014 A	2014/08/28	2014/08/29
62731	Citigroup Global Mkt H Inc. – COVS R Bull CBBC Sep 2015 B	2014/08/28	2014/08/29
64155	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 J	2014/08/28	2014/08/29
68717	Credit Suisse AG – HSI R Bull CBBC Sep 2014 C	2014/08/28	2014/08/29
63741	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 B	2014/08/28	2014/08/29
64277	UBS AG – Kingsoft R Bull CBBC Aug 2015 C	2014/08/28	2014/08/29
63534	Credit Suisse AG – HKEx R Bull CBBC Mar 2015 D	2014/08/28	2014/08/29
63609	J P Morgan SP BV – HSI R Bull CBBC May 2015 I	2014/08/28	2014/08/29
64699	J P Morgan SP BV – HSI R Bull CBBC May 2015 O	2014/08/28	2014/08/29
63566	Credit Suisse AG – HSI R Bull CBBC Jan 2015 Z	2014/08/28	2014/08/29
63572	UBS AG – HSI R Bull CBBC Mar 2015 O	2014/08/28	2014/08/29
64494	Standard Chartered Bank – Sands China R Bull CBBC Nov 2014 D	2014/08/28	2014/08/29
64295	HK Bank – Kingsoft R Bull CBBC Jan 2015 B	2014/08/28	2014/08/29
63935	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 I	2014/08/28	2014/08/29
63864	UBS AG – HSI R Bull CBBC Feb 2015 X	2014/08/28	2014/08/29
64525	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 C	2014/08/28	2014/08/29
64351	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 L	2014/08/28	2014/08/29
63844	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 U	2014/08/28	2014/08/29
62155	HK Bank – Hutchison R Bull CBBC Jun 2015 C	2014/08/28	2014/08/29
64512	UBS AG – HSI R Bull CBBC Jan 2015 S	2014/08/28	2014/08/29
63836	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 T	2014/08/28	2014/08/29
64500	Credit Suisse AG – HSI R Bull CBBC Apr 2015 K	2014/08/28	2014/08/29
66220	HK Bank – Sands China R Bull CBBC Dec 2014 A	2014/08/29	2014/09/01
61305	UBS AG – China Life R Bear CBBC Sep 2014 A	2014/08/29	2014/09/02
64577	HK Bank – TCH R Bull CBBC Aug 2015 A	2014/08/29	2014/09/01
63531	UBS AG – HKEx R Bull CBBC Sep 2015 E	2014/08/29	2014/09/01
69502	Credit Suisse AG – Hutchison R Bull CBBC Jan 2015 A	2014/08/29	2014/09/01
63051	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jun 2015 B	2014/08/29	2014/09/01
65030	UBS AG – HSI R Bull CBBC Feb 2015 H	2014/08/29	2014/09/01
62948	Standard Chartered Bank – HKEx R Bull CBBC Apr 2015 A	2014/08/29	2014/09/01
65029	Credit Suisse AG – HSI R Bull CBBC Jun 2015 B	2014/08/29	2014/09/01
63595	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2015 E	2014/08/29	2014/09/01

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65028	Credit Suisse AG – HSI R Bull CBBC Jun 2015 A	2014/08/29	2014/09/01
63945	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Jul15A	2014/08/29	2014/09/01
62848	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Jul 2015 A	2014/08/29	2014/09/01
64002	Bank of East Asia – Sands China R Bull CBBC Dec 2014 B	2014/08/29	2014/09/01
62678	Credit Suisse AG – HKEx R Bull CBBC Feb 2015 B	2014/08/29	2014/09/01
67918	Standard Chartered Bank – Galaxy Ent R Bull CBBC Mar 2015 A	2014/08/29	2014/09/01
60930	HK Bank – TCH R Bull CBBC Sep 2014 G	2014/08/29	2014/09/02
62790	HK Bank – TCH R Bull CBBC Jul 2015 C	2014/08/29	2014/09/01
63400	Credit Suisse AG – HSI R Bull CBBC Feb 2015 U	2014/08/29	2014/09/01
67843	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Apr 15A	2014/08/29	2014/09/01
63414	UBS AG – HSI R Bull CBBC Feb 2015 U	2014/08/29	2014/09/01
64013	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 I	2014/08/29	2014/09/01
63427	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 K	2014/08/29	2014/09/01
64153	J P Morgan SP BV – HSI R Bull CBBC May 2015 L	2014/08/29	2014/09/01
61284	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Nov14A	2014/09/01	2014/09/02
69760	HK Bank – China Cinda R Bull CBBC Apr 2015 A	2014/09/01	2014/09/02
65073	UBS AG – HSI R Bull CBBC Mar 2015 T	2014/09/01	2014/09/02
64031	SGA Societe Generale Acceptance N.V.-Sands R Bull CBBC Oct14A	2014/09/01	2014/09/02
65065	Credit Suisse AG – HSI R Bull CBBC May 2015 A	2014/09/01	2014/09/02
65070	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/09/01	2014/09/02
65089	J P Morgan SP BV – HSI R Bull CBBC May 2015 T	2014/09/01	2014/09/02
65046	UBS AG – HSI R Bull CBBC Dec 2014 I	2014/09/01	2014/09/02
65055	Standard Chartered Bank – Galaxy Ent R Bear CBBC Sep 2014 B	2014/09/01	2014/09/03
65066	Credit Suisse AG – HSI R Bull CBBC May 2015 B	2014/09/01	2014/09/02
65039	HK Bank – TCH R Bull CBBC Jul 2015 F	2014/09/01	2014/09/02
65038	HK Bank – HSI R Bull CBBC Apr 2015 C	2014/09/01	2014/09/02
67440	HK Bank – Galaxy Ent R Bull CBBC Mar 2015 B	2014/09/01	2014/09/02
65032	HK Bank – HSI R Bull CBBC Mar 2015 M	2014/09/01	2014/09/02
65102	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 E	2014/09/02	2014/09/03
65131	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Y	2014/09/02	2014/09/03
65137	Credit Suisse AG – HSI R Bull CBBC Apr 2015 O	2014/09/02	2014/09/03
65120	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 Q	2014/09/02	2014/09/03
65106	J P Morgan SP BV – HSI R Bull CBBC May 2015 U	2014/09/02	2014/09/03
65122	UBS AG – HSI R Bull CBBC Feb 2015 N	2014/09/02	2014/09/03
69229	HK Bank – China Mobile R Bear CBBC Jul 2015 C	2014/09/02	2014/09/03
63707	UBS AG – HSI R Bull CBBC Dec 2014 V	2014/09/02	2014/09/03
63491	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 S	2014/09/02	2014/09/03
63746	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 G	2014/09/02	2014/09/03
63462	HK Bank – HSI R Bull CBBC Mar 2015 J	2014/09/02	2014/09/03
64741	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 D	2014/09/02	2014/09/03
64618	UBS AG – TCH R Bull CBBC Jun 2015 B	2014/09/02	2014/09/03
63384	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 H	2014/09/02	2014/09/03
63898	J P Morgan SP BV – HSI R Bull CBBC May 2015 J	2014/09/02	2014/09/03
64004	J P Morgan SP BV – Tencent R Bull CBBC Jul 2015 A	2014/09/02	2014/09/03
64237	Credit Suisse AG – China Mobile R Bear CBBC Jan 2015 D	2014/09/02	2014/09/03
63083	Credit Suisse AG – HSI R Bull CBBC Feb 2015 J	2014/09/02	2014/09/03
63082	UBS AG – HSI R Bull CBBC Jan 2015 Q	2014/09/02	2014/09/03
62930	Credit Suisse AG – HSI R Bull CBBC Apr 2015 H	2014/09/02	2014/09/03
60682	HK Bank – ICBC R Bull CBBC Jul 2015 B	2014/09/02	2014/09/03
65142	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 F	2014/09/03	2014/09/04
60028	UBS AG – HSI R Bear CBBC Sep 2014 J	2014/09/03	2014/09/04
65161	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 N	2014/09/03	2014/09/04
69228	HK Bank – China Mobile R Bear CBBC Jul 2015 B	2014/09/03	2014/09/04
65164	UBS AG – HSI R Bull CBBC Apr 2015 D	2014/09/03	2014/09/04
65167	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/09/03	2014/09/04
69898	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 H	2014/09/03	2014/09/04
65169	Credit Suisse AG – HSI R Bull CBBC May 2015 E	2014/09/03	2014/09/04
64558	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15H	2014/09/03	2014/09/04
64557	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15G	2014/09/03	2014/09/04
62412	HK Bank – HSI R Bear CBBC May 2015 C	2014/09/03	2014/09/04
64531	Standard Chartered Bank – China Mobile R Bear CBBC Mar 2015C	2014/09/03	2014/09/04
65177	UBS AG – HSI R Bear CBBC Dec 2014 A	2014/09/03	2014/09/04
65171	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 J	2014/09/03	2014/09/04
62259	UBS AG – HSI R Bear CBBC Jan 2015 R	2014/09/03	2014/09/04
65172	Credit Suisse AG – HSI R Bear CBBC Dec 2014 H	2014/09/03	2014/09/04
62326	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 U	2014/09/03	2014/09/04
64244	Credit Suisse AG – China Mobile R Bear CBBC Feb 2015 B	2014/09/03	2014/09/04
65174	Credit Suisse AG – HSI R Bear CBBC Dec 2014 B	2014/09/03	2014/09/04
65160	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 A	2014/09/03	2014/09/04
65139	UBS AG – HSI R Bear CBBC Dec 2014 L	2014/09/03	2014/09/04
65138	Credit Suisse AG – HSI R Bear CBBC Dec 2014 A	2014/09/03	2014/09/04
65188	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 M	2014/09/03	2014/09/04
65184	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 R	2014/09/03	2014/09/04
65170	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Z	2014/09/03	2014/09/04
64971	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov14 J	2014/09/03	2014/09/04
63943	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 N	2014/09/03	2014/09/04
65013	Credit Suisse AG – HSI R Bear CBBC Dec 2014 J	2014/09/03	2014/09/04
65012	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 F	2014/09/03	2014/09/04
64794	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 W	2014/09/03	2014/09/04
60372	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 L	2014/09/03	2014/09/04
64615	UBS AG – HSI R Bear CBBC Nov 2014 E	2014/09/03	2014/09/04
69964	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 K	2014/09/03	2014/09/04
64999	Credit Suisse AG – HSI R Bear CBBC Nov 2014 F	2014/09/03	2014/09/04
65015	UBS AG – HSI R Bear CBBC Jan 2015 S	2014/09/03	2014/09/04
64960	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 C	2014/09/03	2014/09/04
60416	Credit Suisse AG – HSI R Bear CBBC Apr 2015 F	2014/09/03	2014/09/04
64770	Credit Suisse AG – HSI R Bear CBBC Nov 2014 L	2014/09/03	2014/09/04

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64942	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 I	2014/09/03	2014/09/04
69767	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2015 H	2014/09/03	2014/09/04
65083	Credit Suisse AG – HSI R Bear CBBC Dec 2014 D	2014/09/03	2014/09/04
69799	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 K	2014/09/03	2014/09/04
65060	Credit Suisse AG – HSI R Bear CBBC Jan 2015 V	2014/09/03	2014/09/04
65061	Credit Suisse AG – HSI R Bear CBBC Jan 2015 W	2014/09/03	2014/09/04
60286	UBS AG – China Mobile R Bear CBBC Apr 2015 B	2014/09/03	2014/09/04
63440	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC Mar 2015 C	2014/09/03	2014/09/04
65082	UBS AG – HSI R Bear CBBC Dec 2014 K	2014/09/03	2014/09/04
64879	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 Q	2014/09/03	2014/09/04
65014	Credit Suisse AG – HSI R Bear CBBC Dec 2014 K	2014/09/03	2014/09/04
64750	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 X	2014/09/03	2014/09/04
65002	UBS AG – HSI R Bear CBBC Dec 2014 I	2014/09/03	2014/09/04
65058	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 G	2014/09/03	2014/09/04
64730	UBS AG – HSI R Bear CBBC Nov 2014 J	2014/09/03	2014/09/04
65031	HK Bank – HSCEI R Bear CBBC Mar 2015 B	2014/09/03	2014/09/04
64824	HK Bank – HSI R Bear CBBC Mar 2015 N	2014/09/03	2014/09/04
65121	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 O	2014/09/03	2014/09/04
65016	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 Z	2014/09/03	2014/09/04
60095	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 R	2014/09/03	2014/09/04
61098	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 X	2014/09/04	2014/09/05
64585	Credit Suisse AG – HSI R Bear CBBC Dec 2014 G	2014/09/04	2014/09/05
65230	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 V	2014/09/04	2014/09/05
65231	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 W	2014/09/04	2014/09/05
65214	Macquarie Bank Ltd. – HSI R Bear CBBC Jan 2015 F	2014/09/04	2014/09/05
60569	HK Bank – HSCEI R Bear CBBC Feb 2015 A	2014/09/04	2014/09/05
65215	Credit Suisse AG – HSI R Bear CBBC Jan 2015 X	2014/09/04	2014/09/05
65216	Credit Suisse AG – HSI R Bear CBBC Dec 2014 L	2014/09/04	2014/09/05
65218	Credit Suisse AG – HSI R Bear CBBC Dec 2014 M	2014/09/04	2014/09/05
65220	Credit Suisse AG – HSI R Bear CBBC Dec 2014 N	2014/09/04	2014/09/05
65221	UBS AG – HSI R Bear CBBC Dec 2014 B	2014/09/04	2014/09/05
65223	UBS AG – HSI R Bear CBBC Dec 2014 O	2014/09/04	2014/09/05
68145	UBS AG – Lenovo R Bear CBBC Oct 2014 C	2014/09/04	2014/09/05
65229	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14R	2014/09/04	2014/09/05
65226	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 Q	2014/09/04	2014/09/05
65210	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 S	2014/09/04	2014/09/05
61440	UBS AG – HSI R Bear CBBC Nov 2014 K	2014/09/04	2014/09/05
65195	UBS AG – HSI R Bear CBBC Dec 2014 N	2014/09/04	2014/09/05
65194	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14Q	2014/09/04	2014/09/05
67632	HK Bank – Lenovo R Bear CBBC Mar 2015 A	2014/09/04	2014/09/05
65232	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 X	2014/09/04	2014/09/05
65193	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14P	2014/09/04	2014/09/05
65227	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 I	2014/09/04	2014/09/05
66908	Standard Chartered Bank – Sinopec Corp R Bear CBBC Apr 2015A	2014/09/04	2014/09/05
65191	HK Bank – HSI R Bear CBBC Apr 2015 L	2014/09/04	2014/09/05
60379	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 B	2014/09/04	2014/09/05
60731	Credit Suisse AG – HSI R Bear CBBC Nov 2014 I	2014/09/04	2014/09/05
63529	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 K	2014/09/04	2014/09/05
65190	HK Bank – HSI R Bear CBBC Mar 2015 O	2014/09/04	2014/09/05
63256	Standard Chartered Bank – China Life R Bear CBBC Jun 2015 A	2014/09/04	2014/09/05
65272	Credit Suisse AG – HSI R Bear CBBC Jan 2015 Y	2014/09/05	2014/09/08
65273	Credit Suisse AG – HSI R Bear CBBC Jan 2015 Z	2014/09/05	2014/09/08
65393	Standard Chartered Bank – A50 China R Bull CBBC Sep 2014 A	2014/09/05	2014/09/10
65279	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Y	2014/09/05	2014/09/08
65282	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 H	2014/09/05	2014/09/08
65274	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 J	2014/09/05	2014/09/08
65269	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 T	2014/09/05	2014/09/08
62759	Standard Chartered Bank – HSBC R Bear CBBC Sep 2014A	2014/09/05	2014/09/10
64726	UBS AG – SHK Ppt R Bear CBBC Feb 2015 A	2014/09/05	2014/09/08
65236	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 S	2014/09/05	2014/09/08
65266	Credit Suisse AG – HKEx R Bear CBBC Jan 2015 G	2014/09/05	2014/09/08
65263	Credit Suisse AG – TCH R Bear CBBC Jan 2015 C	2014/09/05	2014/09/08
65249	Standard Chartered Bank – PetCh R Bear CBBC May 2015 A	2014/09/05	2014/09/08
60052	Bank of East Asia – Lenovo R Bull CBBC Sep 2014 A	2014/09/05	2014/09/10
65508	HK Bank – Sands China R Bull CBBC Mar 2015 A	2014/09/05	2014/09/08
64046	Citigroup Global Mkt H Inc. – Kingsoft R Bull CBBC Mar 15 B	2014/09/05	2014/09/08
66038	UBS AG – HSBC R Bull CBBC Sep 2014 A	2014/09/05	2014/09/10
68349	Standard Chartered Bank – TCH R Bull CBBC Sep 2014 B	2014/09/05	2014/09/10
63508	UBS AG – Sands China R Bull CBBC Mar 2015 A	2014/09/05	2014/09/08
65135	UBS AG – Kingsoft R Bull CBBC Nov 2015 A	2014/09/05	2014/09/08
65312	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 I	2014/09/08	2014/09/10
65293	UBS AG – HSI R Bear CBBC Dec 2014 P	2014/09/08	2014/09/10
65294	UBS AG – HSI R Bear CBBC Dec 2014 Q	2014/09/08	2014/09/10
63155	Standard Chartered Bank – Kingsoft R Bull CBBC Jul 2015 B	2014/09/08	2014/09/10
65298	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2014 B	2014/09/08	2014/09/10
65292	Credit Suisse AG – HSI R Bear CBBC Dec 2014 T	2014/09/08	2014/09/10
65291	Credit Suisse AG – HSI R Bear CBBC Dec 2014 S	2014/09/08	2014/09/10
65289	Credit Suisse AG – HSI R Bear CBBC Dec 2014 R	2014/09/08	2014/09/10
63144	HK Bank – Kingsoft R Bull CBBC Dec 2014 B	2014/09/08	2014/09/10
68358	Bank of East Asia – Lenovo R Bear CBBC Dec 2014 A	2014/09/08	2014/09/10
65288	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 T	2014/09/08	2014/09/10
65399	Credit Suisse AG – HSI R Bear CBBC Dec 2014 W	2014/09/10	2014/09/11
65421	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Jun 15 A	2014/09/10	2014/09/11
60765	Credit Suisse AG – TCH R Bull CBBC Feb 2015 A	2014/09/10	2014/09/11
65397	Credit Suisse AG – HSI R Bear CBBC Dec 2014 U	2014/09/10	2014/09/11
60869	UBS AG – TCH R Bull CBBC Jun 2015 A	2014/09/10	2014/09/11
65309	Credit Suisse AG – HSI R Bull CBBC May 2015 G	2014/09/10	2014/09/11

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65314	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 R	2014/09/10	2014/09/11
65316	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Mar15B	2014/09/10	2014/09/11
65375	UBS AG – HSI R Bull CBBC Apr 2015 E	2014/09/10	2014/09/11
65376	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Q	2014/09/10	2014/09/11
65379	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Z	2014/09/10	2014/09/11
65389	UBS AG – HSI R Bear CBBC Jan 2015 T	2014/09/10	2014/09/11
65311	UBS AG – HSI R Bull CBBC Feb 2015 O	2014/09/10	2014/09/11
65394	UBS AG – HSI R Bear CBBC Dec 2014 V	2014/09/10	2014/09/11
65387	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14U	2014/09/10	2014/09/11
65301	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/09/10	2014/09/11
65152	UBS AG – HSI R Bull CBBC Apr 2015 C	2014/09/10	2014/09/11
60621	HK Bank – TCH R Bull CBBC Jun 2015 C	2014/09/10	2014/09/11
60673	HK Bank – TCH R Bull CBBC Jun 2015 D	2014/09/10	2014/09/11
65362	Credit Suisse AG – HSI R Bull CBBC Mar 2015 E	2014/09/10	2014/09/11
61069	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Apr 2015 F	2014/09/10	2014/09/11
65206	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 O	2014/09/10	2014/09/11
65157	UBS AG – TCH R Bull CBBC Jul 2015 E	2014/09/10	2014/09/11
65192	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 15R	2014/09/10	2014/09/11
64497	SGA Societe Generale Acceptance N.V.-Sands R Bull CBBC Dec14A	2014/09/10	2014/09/11
65200	UBS AG – HSI R Bull CBBC Jan 2015 K	2014/09/10	2014/09/11
65201	UBS AG – HSI R Bull CBBC Jan 2015 J	2014/09/10	2014/09/11
65202	Credit Suisse AG – HSI R Bull CBBC Apr 2015 P	2014/09/10	2014/09/11
65203	Credit Suisse AG – HSI R Bull CBBC Apr 2015 Q	2014/09/10	2014/09/11
65204	Credit Suisse AG – HSI R Bull CBBC Apr 2015 R	2014/09/10	2014/09/11
63049	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jun 2015 A	2014/09/10	2014/09/11
65207	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 P	2014/09/10	2014/09/11
65208	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 K	2014/09/10	2014/09/11
65209	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 I	2014/09/10	2014/09/11
65212	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 15S	2014/09/10	2014/09/11
65237	Standard Chartered Bank – Sands China R Bull CBBC Apr 2015 B	2014/09/10	2014/09/11
64572	HK Bank – TCH R Bull CBBC Jul 2015 D	2014/09/10	2014/09/11
61694	UBS AG – Galaxy Ent R Bull CBBC Jun 2015 C	2014/09/10	2014/09/11
62960	Standard Chartered Bank – TCH R Bull CBBC Jun 2015 B	2014/09/10	2014/09/11
65278	Credit Suisse AG – HSI R Bull CBBC Jun 2015 G	2014/09/10	2014/09/11
64575	HK Bank – TCH R Bull CBBC Jul 2015 E	2014/09/10	2014/09/11
65579	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 I	2014/09/11	2014/09/12
65578	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 H	2014/09/11	2014/09/12
69622	HK Bank – TCH R Bull CBBC Apr 2015 A	2014/09/11	2014/09/12
65577	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 F	2014/09/11	2014/09/12
65537	UBS AG – HSI R Bull CBBC May 2015 C	2014/09/11	2014/09/12
65535	UBS AG – HSI R Bull CBBC Jun 2015 C	2014/09/11	2014/09/12
65532	UBS AG – HSI R Bull CBBC Mar 2015 V	2014/09/11	2014/09/12
65521	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 G	2014/09/11	2014/09/12
63191	HK Bank – Galaxy Ent R Bull CBBC Dec 2014 C	2014/09/11	2014/09/12
65518	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 M	2014/09/11	2014/09/12
65499	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 Y	2014/09/11	2014/09/12
65498	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 X	2014/09/11	2014/09/12
64991	Bank of East Asia – Galaxy Ent R Bull CBBC Apr 2015 A	2014/09/11	2014/09/12
65545	UBS AG – HSI R Bull CBBC Apr 2015 F	2014/09/11	2014/09/12
65611	J P Morgan SP BV – HSI R Bull CBBC May 2015 W	2014/09/11	2014/09/12
65596	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 A	2014/09/11	2014/09/12
65591	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 N	2014/09/11	2014/09/12
65589	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 H	2014/09/11	2014/09/12
65588	Credit Suisse AG – HSI R Bull CBBC Jun 2015 L	2014/09/11	2014/09/12
65597	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 Z	2014/09/11	2014/09/12
65284	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Q	2014/09/11	2014/09/12
65586	Credit Suisse AG – HSI R Bull CBBC Jun 2015 K	2014/09/11	2014/09/12
65584	Credit Suisse AG – HSI R Bull CBBC Jun 2015 I	2014/09/11	2014/09/12
65585	Credit Suisse AG – HSI R Bull CBBC Jun 2015 J	2014/09/11	2014/09/12
65613	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 B	2014/09/11	2014/09/12
65490	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 T	2014/09/11	2014/09/12
65151	HK Bank – PetCh R Bull CBBC Jun 2015 C	2014/09/11	2014/09/12
65592	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 W	2014/09/11	2014/09/12
65580	Credit Suisse AG – HSI R Bull CBBC Jun 2015 H	2014/09/11	2014/09/12
65600	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 M	2014/09/11	2014/09/12
65384	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 S	2014/09/11	2014/09/12
65277	Credit Suisse AG – HSI R Bull CBBC Jun 2015 F	2014/09/11	2014/09/12
65300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 A	2014/09/11	2014/09/12
65310	UBS AG – HSI R Bull CBBC Mar 2015 U	2014/09/11	2014/09/12
65234	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 F	2014/09/11	2014/09/12
65224	UBS AG – HSI R Bull CBBC Dec 2014 D	2014/09/11	2014/09/12
65357	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 15V	2014/09/11	2014/09/12
65360	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 L	2014/09/11	2014/09/12
65363	Credit Suisse AG – HSI R Bull CBBC Mar 2015 F	2014/09/11	2014/09/12
65370	UBS AG – HSI R Bull CBBC May 2015 B	2014/09/11	2014/09/12
65205	Credit Suisse AG – HSI R Bull CBBC Apr 2015 S	2014/09/11	2014/09/12
65488	J P Morgan SP BV – HSI R Bull CBBC May 2015 V	2014/09/11	2014/09/12
68128	Credit Suisse AG – TCH R Bull CBBC Jan 2015 E	2014/09/11	2014/09/12
63676	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Feb 15C	2014/09/11	2014/09/12
65438	J P Morgan SP BV – HSI R Bear CBBC Jan 2015 N	2014/09/11	2014/09/12
65480	Citigroup Global Mkt H Inc. – China Mobile R Bull CBBC Jun15G	2014/09/11	2014/09/12
65465	Credit Suisse AG – HSI R Bear CBBC Jan 2015 F	2014/09/11	2014/09/12
65449	UBS AG – HSI R Bear CBBC Jan 2015 U	2014/09/11	2014/09/12
65427	HK Bank – CNOOC R Bull CBBC Jul 2015 B	2014/09/11	2014/09/12
63516	Credit Suisse AG – HSI R Bull CBBC Jan 2015 K	2014/09/12	2014/09/15
65640	Credit Suisse AG – HSI R Bull CBBC May 2015 H	2014/09/12	2014/09/15
62931	Credit Suisse AG – HSI R Bull CBBC Apr 2015 I	2014/09/12	2014/09/15

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65644	Credit Suisse AG – HSI R Bull CBBC May 2015 J	2014/09/12	2014/09/15
63745	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 F	2014/09/12	2014/09/15
65694	UBS AG – HSI R Bull CBBC Feb 2015 P	2014/09/12	2014/09/15
63610	HK Bank – HSI R Bull CBBC Apr 2015 A	2014/09/12	2014/09/15
65650	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 O	2014/09/12	2014/09/15
65641	Credit Suisse AG – HSI R Bull CBBC May 2015 I	2014/09/12	2014/09/15
65692	UBS AG – HSI R Bull CBBC Jan 2015 L	2014/09/12	2014/09/15
65705	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 C	2014/09/12	2014/09/15
63598	J P Morgan SP BV – HSI R Bull CBBC May 2015 H	2014/09/12	2014/09/15
68654	UBS AG – Galaxy Ent R Bear CBBC Sep 2014 D	2014/09/12	2014/09/16
64968	HK Bank – ICBC R Bull CBBC Sep 2014 A	2014/09/12	2014/09/16
65696	UBS AG – HSI R Bull CBBC May 2015 D	2014/09/12	2014/09/15
65669	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 D	2014/09/12	2014/09/15
64846	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 D	2014/09/12	2014/09/15
65656	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 K	2014/09/12	2014/09/15
63644	HK Bank – A50 R Bear CBBC Sep 2014 A	2014/09/12	2014/09/16
63069	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 R	2014/09/12	2014/09/15
65660	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 C	2014/09/12	2014/09/15
63020	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 E	2014/09/12	2014/09/15
66389	UBS AG – ABC R Bull CBBC Sep 2014 A	2014/09/12	2014/09/16
65703	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 B	2014/09/12	2014/09/15
65665	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 U	2014/09/12	2014/09/15
69892	HK Bank – TCH R Bull CBBC Sep 2014 C	2014/09/12	2014/09/16
62968	UBS AG – HSI R Bull CBBC Feb 2015 T	2014/09/12	2014/09/15
61338	HK Bank – AIA R Bull CBBC Sep 2014 B	2014/09/12	2014/09/16
65495	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 W	2014/09/12	2014/09/15
65633	HK Bank – TCH R Bull CBBC Apr 2015 E	2014/09/12	2014/09/15
65646	Credit Suisse AG – HSI R Bull CBBC May 2015 K	2014/09/12	2014/09/15
65124	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Jun 2015 A	2014/09/12	2014/09/15
65313	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 Q	2014/09/12	2014/09/15
62817	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 O	2014/09/12	2014/09/15
64294	UBS AG – A50 China R Bull CBBC Sep 2014 A	2014/09/12	2014/09/16
62831	UBS AG – HSI R Bull CBBC Nov 2014 I	2014/09/12	2014/09/15
65626	HK Bank – HSI R Bull CBBC Jun 2015 C	2014/09/12	2014/09/15
65621	HK Bank – HSI R Bull CBBC Apr 2015 D	2014/09/12	2014/09/15
65629	HK Bank – HSI R Bull CBBC Jul 2015 B	2014/09/12	2014/09/15
65624	HK Bank – HSI R Bull CBBC May 2015 A	2014/09/12	2014/09/15
65275	Credit Suisse AG – HSI R Bull CBBC Jun 2015 E	2014/09/12	2014/09/15
67265	UBS AG – Galaxy Ent R Bear CBBC Sep 2014 A	2014/09/12	2014/09/16
65406	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 G	2014/09/12	2014/09/15
63704	UBS AG – HSI R Bull CBBC Feb 2015 W	2014/09/15	2014/09/16
63740	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 A	2014/09/15	2014/09/16
63201	J P Morgan SP BV – HSI R Bull CBBC May 2015 E	2014/09/15	2014/09/16
66859	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Mar15 E	2014/09/15	2014/09/16
63088	Credit Suisse AG – HSI R Bull CBBC Feb 2015 K	2014/09/15	2014/09/16
63072	UBS AG – HSI R Bull CBBC Mar 2015 M	2014/09/15	2014/09/16
64654	HK Bank – HSI R Bull CBBC Mar 2015 L	2014/09/15	2014/09/16
64619	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 15N	2014/09/15	2014/09/16
63743	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 E	2014/09/15	2014/09/16
63202	Credit Suisse AG – HSI R Bull CBBC Mar 2015 S	2014/09/15	2014/09/16
64078	Bank of East Asia – Galaxy Ent R Bull CBBC Sep 2014 A	2014/09/15	2014/09/16
63667	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Feb15B	2014/09/15	2014/09/16
65148	HK Bank – HSCEI R Bull CBBC Jul 2015 A	2014/09/15	2014/09/16
65747	UBS AG – HSI R Bull CBBC Mar 2015 W	2014/09/15	2014/09/16
63381	J P Morgan SP BV – HSI R Bull CBBC May 2015 F	2014/09/15	2014/09/16
63386	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 T	2014/09/15	2014/09/16
63527	Credit Suisse AG – HSI R Bull CBBC Jan 2015 L	2014/09/15	2014/09/16
63419	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 J	2014/09/15	2014/09/16
64678	HK Bank – CNOOC R Bull CBBC Oct 2015 C	2014/09/15	2014/09/16
64984	Standard Chartered Bank – Galaxy Ent R Bull CBBC Feb 2015 A	2014/09/15	2014/09/16
63479	HK Bank – HSI R Bull CBBC Jun 2015 B	2014/09/15	2014/09/16
64059	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 C	2014/09/15	2014/09/16
65476	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun15F	2014/09/15	2014/09/16
63957	Citigroup Global Mkt H Inc. – Cheung Kong R Bull CBBC Jun15A	2014/09/15	2014/09/16
65748	Credit Suisse AG – HSI R Bull CBBC Apr 2015 V	2014/09/15	2014/09/16
69981	HK Bank – Sinopec Corp R Bull CBBC Jul 2015 B	2014/09/15	2014/09/16
64011	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 H	2014/09/15	2014/09/16
65092	Citigroup Global Mkt H Inc. -Sinopec Corp R Bull CBBC Apr15B	2014/09/15	2014/09/16
69094	Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2014 B	2014/09/15	2014/09/16
69112	Standard Chartered Bank – Galaxy Ent R Bull CBBC Sep 2014 E	2014/09/15	2014/09/16
64140	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 G	2014/09/15	2014/09/16
62903	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 P	2014/09/15	2014/09/16
62966	UBS AG – HSI R Bull CBBC Feb 2015 S	2014/09/15	2014/09/16
62940	Credit Suisse AG – HSI R Bull CBBC Apr 2015 J	2014/09/15	2014/09/16
64508	UBS AG – HSI R Bull CBBC Jun 2015 B	2014/09/15	2014/09/16
65632	HK Bank – A50 R Bull CBBC Apr 2015 I	2014/09/15	2014/09/16
64044	Credit Suisse AG – HSI R Bull CBBC Mar 2015 X	2014/09/15	2014/09/16
65726	HK Bank – HSI R Bull CBBC May 2015 B	2014/09/15	2014/09/16
63217	UBS AG – HSI R Bull CBBC Jan 2015 P	2014/09/15	2014/09/16
65745	UBS AG – HSI R Bull CBBC Jan 2015 M	2014/09/15	2014/09/16
63015	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 I	2014/09/15	2014/09/16
65732	Credit Suisse AG – HSI R Bull CBBC Apr 2015 T	2014/09/15	2014/09/16
65725	HK Bank – HSI R Bull CBBC Mar 2015 N	2014/09/15	2014/09/16
65723	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 J	2014/09/15	2014/09/16
63956	Citigroup Global Mkt H Inc. -Sinopec Corp R Bull CBBC Jun15A	2014/09/15	2014/09/16
65717	HK Bank – HSCEI R Bull CBBC Jun 2015 B	2014/09/15	2014/09/16
65712	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 E	2014/09/15	2014/09/16

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65710	J P Morgan SP BV – HSI R Bull CBBC May 2015 X	2014/09/15	2014/09/16
65738	Credit Suisse AG – HSI R Bull CBBC Apr 2015 U	2014/09/15	2014/09/16
63742	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 D	2014/09/16	2014/09/17
63597	J P Morgan SP BV – HSI R Bull CBBC May 2015 G	2014/09/16	2014/09/17
65219	Credit Suisse AG – HSI R Bull CBBC Dec 2014 K	2014/09/16	2014/09/17
64015	HK Bank – CC Bank R Bear CBBC Sep 2014 A	2014/09/16	2014/09/18
65235	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 T	2014/09/16	2014/09/17
61656	UBS AG – ICBC R Bull CBBC Jul 2015 C	2014/09/16	2014/09/17
63585	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 B	2014/09/16	2014/09/17
62320	UBS AG – China Cinda R Bull CBBC Mar 2015 A	2014/09/16	2014/09/17
65761	Credit Suisse AG – HSI R Bull CBBC Feb 2015 G	2014/09/16	2014/09/17
60050	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 Z	2014/09/16	2014/09/17
65778	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2015 G	2014/09/16	2014/09/17
65635	HK Bank – HKEx R Bull CBBC Jun 2015 D	2014/09/16	2014/09/17
65631	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 I	2014/09/16	2014/09/17
65647	HK Bank – China Mobile R Bull CBBC Jul 2015 J	2014/09/16	2014/09/17
62814	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 S	2014/09/16	2014/09/17
65569	UBS AG – China Mobile R Bull CBBC Oct 2015 B	2014/09/16	2014/09/17
65551	UBS AG – A50 China R Bull CBBC Mar 2015 I	2014/09/16	2014/09/17
62803	HK Bank – COVS R Bull CBBC Apr 2015 A	2014/09/16	2014/09/17
69975	HK Bank – HSI R Bull CBBC Mar 2015 G	2014/09/16	2014/09/17
65722	HK Bank – A50 R Bull CBBC Apr 2015 J	2014/09/16	2014/09/17
65475	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun15E	2014/09/16	2014/09/17
62713	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 P	2014/09/16	2014/09/17
65756	UBS AG – HSI R Bull CBBC Feb 2015 Q	2014/09/16	2014/09/17
65770	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 V	2014/09/16	2014/09/17
63207	Credit Suisse AG – HSI R Bull CBBC Mar 2015 U	2014/09/16	2014/09/17
65417	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Jun 15 A	2014/09/16	2014/09/17
65143	HK Bank – AIA R Bull CBBC Jun 2015 C	2014/09/16	2014/09/17
63477	HK Bank – HSI R Bull CBBC Jul 2015 A	2014/09/16	2014/09/17
60324	HK Bank – TCH R Bull CBBC Sep 2014 E	2014/09/16	2014/09/18
63405	UBS AG – HSI R Bull CBBC Dec 2014 K	2014/09/16	2014/09/17
63389	Credit Suisse AG – HSI R Bull CBBC Feb 2015 M	2014/09/16	2014/09/17
63200	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 F	2014/09/16	2014/09/17
63362	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 G	2014/09/16	2014/09/17
65773	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 F	2014/09/16	2014/09/17
63246	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 R	2014/09/16	2014/09/17
63237	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Q	2014/09/16	2014/09/17
63227	UBS AG – HSI R Bull CBBC Jan 2015 R	2014/09/16	2014/09/17
63206	Credit Suisse AG – HSI R Bull CBBC Mar 2015 T	2014/09/16	2014/09/17
65123	UBS AG – HSCEI R Bull CBBC Jun 2015 A	2014/09/16	2014/09/17
63494	UBS AG – HSI R Bull CBBC Feb 2015 V	2014/09/16	2014/09/17
60121	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 M	2014/09/16	2014/09/17
68490	UBS AG – Hutchison R Bull CBBC Jun 2015 C	2014/09/16	2014/09/17
69850	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 I	2014/09/16	2014/09/17
69860	UBS AG – HSI R Bull CBBC Feb 2015 L	2014/09/16	2014/09/17
69828	Credit Suisse AG – HSI R Bull CBBC Jan 2015 Y	2014/09/16	2014/09/17
64635	UBS AG – Galaxy Ent R Bull CBBC Jun 2015 B	2014/09/16	2014/09/17
63178	HK Bank – Galaxy Ent R Bull CBBC Jan 2015 B	2014/09/16	2014/09/17
63184	HK Bank – Sands China R Bull CBBC Jan 2015 B	2014/09/16	2014/09/17
69849	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 V	2014/09/16	2014/09/17
63675	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Feb 15B	2014/09/16	2014/09/17
65825	Credit Suisse AG – HSI R Bull CBBC Jul 2015 B	2014/09/17	2014/09/18
63535	Standard Chartered Bank – Galaxy Ent R Bull CBBC Oct 2014 B	2014/09/17	2014/09/18
65801	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 G	2014/09/17	2014/09/18
65817	Standard Chartered Bank – HSI R Bull CBBC Sep 2015 A	2014/09/17	2014/09/18
65573	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Mar 15D	2014/09/17	2014/09/18
63538	SGA Societe Generale Acceptance NV-Galaxy R Bull CBBC Sep14A	2014/09/17	2014/09/18
65816	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 V	2014/09/17	2014/09/18
63556	HK Bank – Sands China R Bull CBBC Feb 2015 A	2014/09/17	2014/09/18
66628	HK Bank – Anhui Conch R Bull CBBC Sep 2014 C	2014/09/17	2014/09/19
65823	Credit Suisse AG – HSI R Bull CBBC Jul 2015 A	2014/09/17	2014/09/18
65477	HK Bank – Galaxy Ent R Bull CBBC Feb 2015 A	2014/09/17	2014/09/18
65804	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 H	2014/09/17	2014/09/18
65811	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 W	2014/09/17	2014/09/18
65799	HK Bank – CSOP A50 ETF R Bull CBBC May 2015 C	2014/09/17	2014/09/18
65798	HK Bank – A50 R Bull CBBC Apr 2015 K	2014/09/17	2014/09/18
65797	HK Bank – A50 R Bull CBBC May 2015 C	2014/09/17	2014/09/18
65795	HK Bank – HSI R Bull CBBC Apr 2015 E	2014/09/17	2014/09/18
65793	HK Bank – HSI R Bull CBBC Jun 2015 D	2014/09/17	2014/09/18
63619	Credit Suisse AG – Kingsoft R Bull CBBC Dec 2014 B	2014/09/17	2014/09/18
65238	Standard Chartered Bank – Sands China R Bull CBBC Apr 2015 C	2014/09/17	2014/09/18
63666	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Feb15A	2014/09/17	2014/09/18
65024	UBS AG – Sands China R Bull CBBC Mar 2015 B	2014/09/17	2014/09/18
63008	UBS AG – Galaxy Ent R Bull CBBC Feb 2015 A	2014/09/17	2014/09/18
65852	UBS AG – HSI R Bull CBBC Jan 2015 H	2014/09/17	2014/09/18
65845	UBS AG – HSI R Bull CBBC Mar 2015 X	2014/09/17	2014/09/18
65896	UBS AG – Sinopec Corp R Bull CBBC Oct 2015 A	2014/09/17	2014/09/18
65826	Credit Suisse AG – HSI R Bull CBBC Jul 2015 C	2014/09/17	2014/09/18
63042	Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2014 A	2014/09/17	2014/09/18
66692	HK Bank – Galaxy Ent R Bull CBBC Mar 2015 A	2014/09/17	2014/09/18
65900	Credit Suisse AG – HSI R Bull CBBC Jul 2015 F	2014/09/17	2014/09/18
65843	UBS AG – HSI R Bull CBBC Apr 2015 G	2014/09/17	2014/09/18
65907	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 X	2014/09/17	2014/09/18
65836	Credit Suisse AG – HSI R Bull CBBC Jul 2015 E	2014/09/17	2014/09/18
65830	Credit Suisse AG – HSI R Bull CBBC Jul 2015 D	2014/09/17	2014/09/18
65914	UBS AG – HSI R Bull CBBC May 2015 E	2014/09/17	2014/09/18

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66067	UBS AG – HSI R Bull CBBC Mar 2015 Y	2014/09/18	2014/09/19
68335	HK Bank – Anhui Conch R Bull CBBC Jul 2015 C	2014/09/18	2014/09/19
66017	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 T	2014/09/18	2014/09/19
65997	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 C	2014/09/18	2014/09/19
66063	Credit Suisse AG – HSI R Bull CBBC Mar 2015 Q	2014/09/18	2014/09/19
64820	HK Bank – Hutchison R Bull CBBC Jun 2015 A	2014/09/18	2014/09/19
65422	HK Bank – China Mobile R Bull CBBC Jun 2015 D	2014/09/18	2014/09/19
66031	Credit Suisse AG – China Mobile R Bull CBBC Jan 2015 D	2014/09/18	2014/09/19
69979	HK Bank – Cheung Kong R Bull CBBC Jul 2015 A	2014/09/18	2014/09/19
65432	HK Bank – A50 R Bull CBBC Mar 2015 H	2014/09/18	2014/09/19
63145	HK Bank – Kingsoft R Bull CBBC Dec 2014 C	2014/09/18	2014/09/19
66036	Credit Suisse AG – China Mobile R Bull CBBC Jan 2015 E	2014/09/18	2014/09/19
66057	Credit Suisse AG – HSI R Bull CBBC Mar 2015 P	2014/09/18	2014/09/19
64041	Citigroup Global Mkt H Inc. – Kingsoft R Bull CBBC Mar 15 A	2014/09/18	2014/09/19
65974	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 X	2014/09/18	2014/09/19
66050	Credit Suisse AG – HSI R Bull CBBC Mar 2015 R	2014/09/18	2014/09/19
63613	UBS AG – Kingsoft R Bull CBBC Sep 2015 A	2014/09/18	2014/09/19
65742	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Mar 15 B	2014/09/18	2014/09/19
63991	Bank of East Asia – Kingsoft R Bull CBBC Jul 2015 A	2014/09/18	2014/09/19
66068	UBS AG – HSI R Bull CBBC Feb 2015 R	2014/09/18	2014/09/19
60204	Standard Chartered Bank – Hutchison R Bull CBBC Oct 2015 A	2014/09/18	2014/09/19
65689	UBS AG – Cheung Kong R Bull CBBC Sep 2015 A	2014/09/18	2014/09/19
66151	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 J	2014/09/19	2014/09/22
68369	UBS AG – Galaxy Ent R Bear CBBC Sep 2014 C	2014/09/19	2014/09/23
66126	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 D	2014/09/19	2014/09/22
66094	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 A	2014/09/19	2014/09/22
63820	UBS AG – TCH R Bear CBBC Sep 2014 F	2014/09/19	2014/09/23
62568	UBS AG – Hutchison R Bull CBBC Sep 2014 C	2014/09/19	2014/09/23
66118	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 U	2014/09/19	2014/09/22
65956	HK Bank – TCH R Bear CBBC Jul 2015 C	2014/09/19	2014/09/22
62730	Citigroup Global Mkt H Inc. – COVS R Bull CBBC Sep 2015 A	2014/09/19	2014/09/22
67094	Citigroup Global Mkt H Inc. – China Life R Bear CBBC Sep14 A	2014/09/19	2014/09/23
67080	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Sep14 A	2014/09/19	2014/09/23
66138	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 P	2014/09/19	2014/09/22
67339	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Sep 14 A	2014/09/19	2014/09/23
68124	UBS AG – CNBM R Bear CBBC Sep 2014 A	2014/09/19	2014/09/23
66277	UBS AG – HSI R Bull CBBC May 2015 F	2014/09/19	2014/09/22
66107	Credit Suisse AG – HSI R Bull CBBC May 2015 L	2014/09/19	2014/09/22
66117	Credit Suisse AG – HSI R Bull CBBC May 2015 N	2014/09/19	2014/09/22
66535	UBS AG – TCH R Bear CBBC Sep 2014 G	2014/09/19	2014/09/23
60929	HK Bank – TCH R Bull CBBC Sep 2014 F	2014/09/19	2014/09/23
68011	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Feb 2015 B	2014/09/19	2014/09/22
66281	UBS AG – HSI R Bull CBBC Jun 2015 D	2014/09/19	2014/09/22
66110	Credit Suisse AG – HSI R Bull CBBC May 2015 M	2014/09/19	2014/09/22
66090	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 I	2014/09/19	2014/09/22
60628	HK Bank – Ping An R Bull CBBC Jul 2015 A	2014/09/22	2014/09/23
60853	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 Y	2014/09/22	2014/09/23
68417	Citigroup Global Mkt H Inc. – Sinopec Corp R Bull CBBC Apr15A	2014/09/22	2014/09/23
62788	HK Bank – CUni R Bull CBBC Jun 2015 A	2014/09/22	2014/09/23
61040	UBS AG – HSI R Bull CBBC Nov 2014 U	2014/09/22	2014/09/23
65355	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 15U	2014/09/22	2014/09/23
69724	Credit Suisse AG – HSI R Bull CBBC Mar 2015 N	2014/09/22	2014/09/23
66290	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 L	2014/09/22	2014/09/23
66292	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 I	2014/09/22	2014/09/23
66304	Credit Suisse AG – HSI R Bull CBBC Apr 2015 W	2014/09/22	2014/09/23
66286	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 K	2014/09/22	2014/09/23
65150	HK Bank – PetCh R Bull CBBC Jun 2015 B	2014/09/22	2014/09/23
66287	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 L	2014/09/22	2014/09/23
66345	UBS AG – HSI R Bull CBBC Mar 2015 Z	2014/09/22	2014/09/23
66308	Credit Suisse AG – HSI R Bull CBBC Apr 2015 X	2014/09/22	2014/09/23
66157	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 J	2014/09/22	2014/09/23
66317	Credit Suisse AG – HSI R Bull CBBC Apr 2015 Y	2014/09/22	2014/09/23
66599	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 E	2014/09/22	2014/09/23
62446	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 L	2014/09/22	2014/09/23
61147	HK Bank – A50 R Bull CBBC Apr 2015 G	2014/09/22	2014/09/23
66596	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 D	2014/09/22	2014/09/23
65233	Standard Chartered Bank – Anhui Conch R Bull CBBC Oct 2015 A	2014/09/22	2014/09/23
66331	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 J	2014/09/22	2014/09/23
66348	UBS AG – HSI R Bull CBBC Jan 2015 U	2014/09/22	2014/09/23
69951	Credit Suisse AG – HSI R Bull CBBC Feb 2015 Z	2014/09/22	2014/09/23
60469	Credit Suisse AG – HSI R Bull CBBC Mar 2015 O	2014/09/22	2014/09/23
69791	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 V	2014/09/22	2014/09/23
60345	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 C	2014/09/22	2014/09/23
69801	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 H	2014/09/22	2014/09/23
60115	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 H	2014/09/22	2014/09/23
65555	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2015 E	2014/09/22	2014/09/23
69827	Credit Suisse AG – HSI R Bull CBBC Jan 2015 X	2014/09/22	2014/09/23
69838	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 S	2014/09/22	2014/09/23
69841	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 E	2014/09/22	2014/09/23
69842	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 F	2014/09/22	2014/09/23
69747	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 O	2014/09/22	2014/09/23
69858	UBS AG – HSI R Bull CBBC Dec 2014 X	2014/09/22	2014/09/23
65431	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2015 E	2014/09/22	2014/09/23
69950	Credit Suisse AG – HSI R Bull CBBC Feb 2015 Y	2014/09/22	2014/09/23
69862	HK Bank – HSCEI R Bull CBBC Apr 2015 A	2014/09/22	2014/09/23
64989	Bank of East Asia – Hutchison R Bull CBBC Jul 2015 A	2014/09/22	2014/09/23
69944	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 T	2014/09/22	2014/09/23

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66699	HK Bank – Sinopec Corp R Bull CBBC Jul 2015 A	2014/09/22	2014/09/23
63135	J P Morgan SP BV – HSI R Bull CBBC May 2015 D	2014/09/22	2014/09/23
69873	HK Bank – HSI R Bull CBBC Feb 2015 L	2014/09/22	2014/09/23
69909	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 X	2014/09/22	2014/09/23
69874	HK Bank – HSI R Bull CBBC Jan 2015 S	2014/09/22	2014/09/23
69901	UBS AG – HSI R Bull CBBC Jan 2015 I	2014/09/22	2014/09/23
69890	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 J	2014/09/22	2014/09/23
69851	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 E	2014/09/22	2014/09/23
69727	UBS AG – HSI R Bull CBBC Jan 2015 A	2014/09/22	2014/09/23
65657	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC May15 A	2014/09/22	2014/09/23
66730	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Y	2014/09/23	2014/09/24
69826	Credit Suisse AG – HSI R Bull CBBC Jan 2015 W	2014/09/23	2014/09/24
66743	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 K	2014/09/23	2014/09/24
69800	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 G	2014/09/23	2014/09/24
60215	UBS AG – A50 China R Bull CBBC Apr 2015 B	2014/09/23	2014/09/24
69723	Credit Suisse AG – HSI R Bull CBBC Mar 2015 M	2014/09/23	2014/09/24
62097	HK Bank – HSI R Bull CBBC Jun 2015 A	2014/09/23	2014/09/24
69960	UBS AG – HSI R Bull CBBC Feb 2015 M	2014/09/23	2014/09/24
60344	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2015 B	2014/09/23	2014/09/24
69785	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 U	2014/09/23	2014/09/24
69769	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 R	2014/09/23	2014/09/24
60467	Credit Suisse AG – HSI R Bull CBBC Feb 2015 C	2014/09/23	2014/09/24
68073	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Apr 2015 D	2014/09/23	2014/09/24
69749	HK Bank – HSI R Bull CBBC Dec 2014 X	2014/09/23	2014/09/24
63305	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 F	2014/09/23	2014/09/24
64801	Bank of East Asia – TCH R Bull CBBC Jun 2015 A	2014/09/23	2014/09/24
66720	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 S	2014/09/23	2014/09/24
69708	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 F	2014/09/23	2014/09/24
69715	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 D	2014/09/23	2014/09/24
66606	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 Y	2014/09/23	2014/09/24
63209	UBS AG – TCH R Bull CBBC Jul 2015 D	2014/09/23	2014/09/24
66664	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 Z	2014/09/23	2014/09/24
66701	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 G	2014/09/23	2014/09/24
61788	UBS AG – HSI R Bull CBBC Jun 2015 A	2014/09/23	2014/09/24
66021	Credit Suisse AG – TCH R Bull CBBC Feb 2015 B	2014/09/23	2014/09/24
69908	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 W	2014/09/23	2014/09/24
60554	Standard Chartered Bank – A50 China R Bull CBBC Feb 2015 B	2014/09/23	2014/09/24
69726	UBS AG – HSI R Bull CBBC Jan 2015 Z	2014/09/23	2014/09/24
65333	HK Bank – TCH R Bull CBBC Aug 2015 B	2014/09/23	2014/09/24
66777	Credit Suisse AG – HSI R Bull CBBC Jun 2015 M	2014/09/23	2014/09/24
67981	HK Bank – TCH R Bull CBBC Dec 2014 L	2014/09/23	2014/09/24
68060	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Apr 2015 C	2014/09/23	2014/09/24
64121	SGA Societe Generale Acceptance N.V.-CSA50 R Bull CBBC Apr15B	2014/09/23	2014/09/24
63620	HK Bank – TCH R Bull CBBC Apr 2015 D	2014/09/23	2014/09/24
66780	Credit Suisse AG – HSI R Bull CBBC Jun 2015 N	2014/09/23	2014/09/24
60501	Credit Suisse AG – A50 China R Bull CBBC Jan 2015 D	2014/09/23	2014/09/24
66801	UBS AG – HSI R Bull CBBC Apr 2015 H	2014/09/23	2014/09/24
65400	UBS AG – TCH R Bull CBBC Jun 2015 C	2014/09/23	2014/09/24
66796	UBS AG – HSI R Bull CBBC Mar 2015 A	2014/09/23	2014/09/24
60543	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 15 E	2014/09/23	2014/09/24
66783	Credit Suisse AG – HSI R Bull CBBC Jun 2015 O	2014/09/23	2014/09/24
66511	Standard Chartered Bank – Galaxy Ent R Bull CBBC Jul 2015 A	2014/09/23	2014/09/24
67212	UBS AG – HSI R Bull CBBC May 2015 G	2014/09/24	2014/09/25
67234	UBS AG – HSI R Bull CBBC Jan 2015 S	2014/09/24	2014/09/25
69857	UBS AG – HSI R Bull CBBC Feb 2015 K	2014/09/24	2014/09/25
67166	Credit Suisse AG – HSI R Bull CBBC May 2015 Q	2014/09/24	2014/09/25
63537	SGA Societe Generale Acceptance NV-Galaxy R Bull CBBC Oct14A	2014/09/24	2014/09/25
69684	Standard Chartered Bank – ICBC R Bear CBBC Sep 2014 B	2014/09/24	2014/09/26
69825	Credit Suisse AG – HSI R Bull CBBC Jan 2015 V	2014/09/24	2014/09/25
69712	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 C	2014/09/24	2014/09/25
63522	UBS AG – Galaxy Ent R Bull CBBC Jun 2015 A	2014/09/24	2014/09/25
67193	Credit Suisse AG – HSI R Bull CBBC May 2015 S	2014/09/24	2014/09/25
67144	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 L	2014/09/24	2014/09/25
68642	Standard Chartered Bank – HSBC R Bull CBBC Sep 2014 A	2014/09/24	2014/09/26
67140	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 O	2014/09/24	2014/09/25
69793	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 F	2014/09/24	2014/09/25
67204	Credit Suisse AG – HSI R Bull CBBC May 2015 T	2014/09/24	2014/09/25
67173	Credit Suisse AG – HSI R Bull CBBC May 2015 R	2014/09/24	2014/09/25
67111	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 Q	2014/09/24	2014/09/25
69746	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 T	2014/09/24	2014/09/25
69116	Standard Chartered Bank – Galaxy Ent R Bear CBBC Sep 2014 C	2014/09/24	2014/09/26
67112	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 R	2014/09/24	2014/09/25
67138	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 B	2014/09/24	2014/09/25
65415	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Jul 2015 B	2014/09/25	2014/09/26
67372	Credit Suisse AG – HSI R Bull CBBC Feb 2015 A	2014/09/25	2014/09/26
67352	UBS AG – HSI R Bull CBBC Feb 2015 Z	2014/09/25	2014/09/26
67368	Credit Suisse AG – HSI R Bull CBBC Feb 2015 B	2014/09/25	2014/09/26
69577	UBS AG – HSI R Bull CBBC Dec 2014 E	2014/09/25	2014/09/26
69611	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 U	2014/09/25	2014/09/26
67274	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 A	2014/09/25	2014/09/26
60323	HK Bank – TCH R Bull CBBC Sep 2014 D	2014/09/25	2014/09/29
67365	UBS AG – HSI R Bull CBBC Mar 2015 F	2014/09/25	2014/09/26
67348	UBS AG – HSI R Bull CBBC Feb 2015 Y	2014/09/25	2014/09/26
66604	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 F	2014/09/25	2014/09/26
69628	HK Bank – HSI R Bull CBBC Feb 2015 J	2014/09/25	2014/09/26
69519	Credit Suisse AG – HSI R Bull CBBC Feb 2015 V	2014/09/25	2014/09/26
61630	UBS AG – Ping An R Bull CBBC Jul 2015 C	2014/09/25	2014/09/26

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69690	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 Q	2014/09/25	2014/09/26
64986	Standard Chartered Bank – Galaxy Ent R Bull CBBC Jan 2015 A	2014/09/25	2014/09/26
69547	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 C	2014/09/25	2014/09/26
63860	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 J	2014/09/26	2014/09/30
66470	HK Bank – HSI R Bull CBBC Sep 2014 H	2014/09/26	2014/09/30
63859	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 I	2014/09/26	2014/09/30
63829	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 H	2014/09/26	2014/09/30
63889	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 C	2014/09/26	2014/09/30
61331	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Sep 2014 B	2014/09/26	2014/09/30
63906	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 K	2014/09/26	2014/09/30
63908	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 L	2014/09/26	2014/09/30
65943	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Jul15B	2014/09/26	2014/09/29
64268	HK Bank – HSI R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
64385	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 E	2014/09/26	2014/09/30
64386	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 F	2014/09/26	2014/09/30
64387	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 G	2014/09/26	2014/09/30
63586	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Jun 2015 A	2014/09/26	2014/09/29
64702	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 H	2014/09/26	2014/09/30
60333	UBS AG – HSI R Bull CBBC Sep 2014 Q	2014/09/26	2014/09/30
68912	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 E	2014/09/26	2014/09/30
65308	HK Bank – ICBC R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
63955	Citigroup Global Mkt H Inc. – CNOOC R Bull CBBC Jul 2015 A	2014/09/26	2014/09/29
68914	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 G	2014/09/26	2014/09/30
68518	UBS AG – HSI R Bull CBBC Sep 2014 Z	2014/09/26	2014/09/30
65571	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Mar 15C	2014/09/26	2014/09/29
64311	HK Bank – HSI R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
60429	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
69047	Credit Suisse AG – HSI R Bull CBBC Sep 2014 I	2014/09/26	2014/09/30
68986	UBS AG – HSI R Bull CBBC Sep 2014 H	2014/09/26	2014/09/30
66205	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2014 K	2014/09/26	2014/09/30
68939	UBS AG – HSI R Bull CBBC Sep 2014 O	2014/09/26	2014/09/30
63164	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 H	2014/09/26	2014/09/29
68913	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2014 F	2014/09/26	2014/09/30
64039	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 N	2014/09/26	2014/09/30
61057	HK Bank – HSCEI R Bear CBBC Sep 2014 B	2014/09/26	2014/09/30
61062	HK Bank – Hutchison R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
61133	Credit Suisse AG – HSI R Bull CBBC Sep 2014 G	2014/09/26	2014/09/30
68148	Standard Chartered Bank – TCH R Bull CBBC Jun 2015 A	2014/09/26	2014/09/29
61051	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 R	2014/09/26	2014/09/30
67568	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 B	2014/09/26	2014/09/29
67577	Credit Suisse AG – HSI R Bull CBBC Mar 2015 W	2014/09/26	2014/09/29
67580	Credit Suisse AG – HSI R Bull CBBC Mar 2015 Y	2014/09/26	2014/09/29
60871	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 P	2014/09/26	2014/09/30
69957	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2014 G	2014/09/26	2014/09/30
63631	HK Bank – TCH R Bull CBBC Jun 2015 F	2014/09/26	2014/09/29
60024	UBS AG – HSI R Bear CBBC Sep 2014 I	2014/09/26	2014/09/30
67911	HK Bank – TCH R Bull CBBC Jun 2015 B	2014/09/26	2014/09/29
60020	UBS AG – HSI R Bear CBBC Sep 2014 H	2014/09/26	2014/09/30
68861	UBS AG – HSI R Bull CBBC Sep 2014 N	2014/09/26	2014/09/30
64188	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep 14O	2014/09/26	2014/09/30
60070	UBS AG – HSI R Bull CBBC Sep 2014 P	2014/09/26	2014/09/30
64053	HK Bank – BOCL R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
67702	UBS AG – TCH R Bull CBBC Sep 2015 B	2014/09/26	2014/09/29
63954	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 M	2014/09/26	2014/09/30
68059	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Apr 2015 B	2014/09/26	2014/09/29
66681	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 M	2014/09/26	2014/09/29
68353	HK Bank – HSCEI R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
68500	Credit Suisse AG – HSI R Bull CBBC Sep 2014 N	2014/09/26	2014/09/30
69707	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 B	2014/09/26	2014/09/29
69720	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 E	2014/09/26	2014/09/29
69721	Credit Suisse AG – HSI R Bull CBBC Mar 2015 K	2014/09/26	2014/09/29
69722	Credit Suisse AG – HSI R Bull CBBC Mar 2015 L	2014/09/26	2014/09/29
63726	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 F	2014/09/26	2014/09/30
69686	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 E	2014/09/26	2014/09/29
69725	UBS AG – HSI R Bull CBBC Oct 2014 L	2014/09/26	2014/09/29
69674	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 J	2014/09/26	2014/09/29
69985	HK Bank – CC Bank R Bull CBBC Aug 2015 B	2014/09/26	2014/09/29
63147	HK Bank – Kingsoft R Bull CBBC Dec 2014 D	2014/09/26	2014/09/29
63651	Standard Chartered Bank – Kingsoft R Bull CBBC Jul 2015 C	2014/09/26	2014/09/29
65833	HK Bank – TCH R Bull CBBC Sep 2014 B	2014/09/26	2014/09/30
60120	HK Bank – China Life R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
62352	HK Bank – HSI R Bull CBBC Sep 2014 O	2014/09/26	2014/09/30
69745	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 B	2014/09/26	2014/09/29
69748	HK Bank – HSI R Bull CBBC Mar 2015 E	2014/09/26	2014/09/29
61460	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 U	2014/09/26	2014/09/30
63772	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 B	2014/09/26	2014/09/30
64691	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Apr15C	2014/09/26	2014/09/29
69516	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 B	2014/09/26	2014/09/29
69520	Credit Suisse AG – HSI R Bull CBBC Feb 2015 W	2014/09/26	2014/09/29
66447	HK Bank – CM Bank R Bear CBBC Sep 2014 A	2014/09/26	2014/09/30
69481	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 A	2014/09/26	2014/09/29
69474	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 B	2014/09/26	2014/09/29
69521	Credit Suisse AG – HSI R Bull CBBC Feb 2015 X	2014/09/26	2014/09/29
63729	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 G	2014/09/26	2014/09/30
69548	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 D	2014/09/26	2014/09/29
66749	Credit Suisse AG – HSI R Bull CBBC May 2015 O	2014/09/26	2014/09/29
66306	HK Bank – COVS R Bull CBBC Sep 2014 B	2014/09/26	2014/09/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69552	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 P	2014/09/26	2014/09/29
69576	UBS AG – HSI R Bull CBBC Nov 2014 L	2014/09/26	2014/09/29
61520	UBS AG – Petrochina R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
65104	HK Bank – HKEx R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
69609	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 S	2014/09/26	2014/09/29
69630	HK Bank – HSI R Bull CBBC Jan 2015 R	2014/09/26	2014/09/29
63744	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
69472	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 R	2014/09/26	2014/09/29
61507	UBS AG – HSI R Bull CBBC Sep 2014 D	2014/09/26	2014/09/30
61897	UBS AG – HSI R Bull CBBC Sep 2014 E	2014/09/26	2014/09/30
62198	UBS AG – HSI R Bull CBBC Sep 2014 G	2014/09/26	2014/09/30
63483	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep 14E	2014/09/26	2014/09/30
63224	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 B	2014/09/26	2014/09/30
63216	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 A	2014/09/26	2014/09/30
68501	Credit Suisse AG – HSI R Bull CBBC Sep 2014 M	2014/09/26	2014/09/30
69783	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 C	2014/09/26	2014/09/29
61901	UBS AG – HSI R Bull CBBC Sep 2014 F	2014/09/26	2014/09/30
68659	UBS AG – HSI R Bull CBBC Sep 2014 J	2014/09/26	2014/09/30
61506	UBS AG – HSI R Bull CBBC Sep 2014 C	2014/09/26	2014/09/30
66201	HK Bank – HSCEI R Bull CBBC Sep 2014 C	2014/09/26	2014/09/30
61172	UBS AG – HSI R Bull CBBC Sep 2014 B	2014/09/26	2014/09/30
63344	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 D	2014/09/26	2014/09/30
69856	UBS AG – HSI R Bull CBBC Jan 2015 B	2014/09/26	2014/09/29
67667	UBS AG – Minsheng Bank R Bull CBBC Sep 2014 B	2014/09/26	2014/09/30
61359	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 S	2014/09/26	2014/09/30
60797	HK Bank – HSI R Bear CBBC Sep 2014 B	2014/09/26	2014/09/30
61360	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 T	2014/09/26	2014/09/30
63343	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep14 C	2014/09/26	2014/09/30
61171	UBS AG – HSI R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
69614	HK Bank – Hutchison R Bull CBBC Sep 2014 A	2014/09/26	2014/09/30
67931	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 A	2014/09/29	2014/09/30
67629	HK Bank – TCH R Bull CBBC Jun 2015 G	2014/09/29	2014/09/30
69590	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 G	2014/09/29	2014/09/30
68072	UBS AG – HSI R Bull CBBC Jun 2015 E	2014/09/29	2014/09/30
65570	UBS AG – HKEx R Bull CBBC Oct 2015 C	2014/09/29	2014/09/30
63961	HK Bank – AIA R Bull CBBC Sep 2015 A	2014/09/29	2014/09/30
69591	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 H	2014/09/29	2014/09/30
62762	HK Bank – HKEx R Bull CBBC Jul 2015 A	2014/09/29	2014/09/30
67626	HK Bank – HSI R Bull CBBC Mar 2015 O	2014/09/29	2014/09/30
65264	Credit Suisse AG – HKEx R Bull CBBC Jan 2015 C	2014/09/29	2014/09/30
69587	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 D	2014/09/29	2014/09/30
63171	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 I	2014/09/29	2014/09/30
69580	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 B	2014/09/29	2014/09/30
68057	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Apr 2015 A	2014/09/29	2014/09/30
66103	HK Bank – TCH R Bull CBBC Feb 2015 B	2014/09/29	2014/09/30
69872	HK Bank – HSI R Bull CBBC Mar 2015 F	2014/09/29	2014/09/30
69584	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2014 C	2014/09/29	2014/09/30
64909	Standard Chartered Bank – Hutchison R Bull CBBC Aug 2015 A	2014/09/29	2014/09/30
63470	HK Bank – CNOOC R Bull CBBC Oct 2015 B	2014/09/29	2014/09/30
69753	HK Bank – HSCEI R Bull CBBC Mar 2015 A	2014/09/29	2014/09/30
69750	HK Bank – HSI R Bull CBBC Feb 2015 K	2014/09/29	2014/09/30
67525	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 H	2014/09/29	2014/09/30
67448	HK Bank – TCH R Bull CBBC Jan 2015 E	2014/09/29	2014/09/30
69744	J P Morgan SP BV – HSI R Bull CBBC Apr 2015 A	2014/09/29	2014/09/30
64768	HK Bank – Cheung Kong R Bull CBBC Jun 2015 A	2014/09/29	2014/09/30
67581	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Q	2014/09/29	2014/09/30
63626	Credit Suisse AG – Kingsoft R Bull CBBC Dec 2014 C	2014/09/29	2014/09/30
66752	Credit Suisse AG – HSI R Bull CBBC May 2015 P	2014/09/29	2014/09/30
67642	HK Bank – Sands China R Bull CBBC Jun 2015 A	2014/09/29	2014/09/30
69759	HK Bank – ABC R Bull CBBC Aug 2015 C	2014/09/29	2014/09/30
64829	HK Bank – China Cinda R Bull CBBC Mar 2015 A	2014/09/29	2014/09/30
64149	UBS AG – Hutchison R Bull CBBC Jul 2015 A	2014/09/29	2014/09/30
69817	UBS AG – HSI R Bull CBBC Jul 2015 D	2014/09/29	2014/09/30
62781	HK Bank – Wharf Holdings R Bull CBBC Jun 2015 A	2014/09/29	2014/09/30
67594	UBS AG – HSI R Bull CBBC Oct 2014 T	2014/09/29	2014/09/30
69685	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 D	2014/09/29	2014/09/30
63471	HK Bank – China Life R Bull CBBC Jul 2015 B	2014/09/29	2014/09/30
67631	HK Bank – Galaxy Ent R Bull CBBC Jul 2015 D	2014/09/29	2014/09/30
69735	UBS AG – Cheung Kong R Bull CBBC Jun 2015 A	2014/09/29	2014/09/30
69820	UBS AG – HSCEI R Bull CBBC Mar 2015 A	2014/09/29	2014/09/30
65538	HK Bank – Anhui Conch R Bull CBBC Dec 2014 B	2014/09/29	2014/09/30
69703	Credit Suisse AG – HSI R Bull CBBC Apr 2015 A	2014/09/29	2014/09/30
69705	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 A	2014/09/29	2014/09/30
67768	Credit Suisse AG – HSI R Bull CBBC Jan 2015 T	2014/09/29	2014/09/30
66877	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 N	2014/09/29	2014/09/30
64857	Credit Suisse AG – China Mobile R Bull CBBC Feb 2015 B	2014/09/29	2014/09/30
65741	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Mar 15 A	2014/09/29	2014/09/30
69824	Credit Suisse AG – HSI R Bull CBBC Jan 2015 U	2014/09/29	2014/09/30
68708	Credit Suisse AG – HSI R Bull CBBC Feb 2015 S	2014/09/29	2014/09/30
68502	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14W	2014/09/29	2014/09/30
69273	UBS AG – HSI R Bull CBBC Dec 2014 M	2014/09/29	2014/09/30
68930	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 P	2014/09/29	2014/09/30
68889	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 N	2014/09/29	2014/09/30
69280	Credit Suisse AG – HSI R Bull CBBC Mar 2015 G	2014/09/29	2014/09/30
68851	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 I	2014/09/29	2014/09/30
68750	UBS AG – HSI R Bull CBBC Nov 2014 N	2014/09/29	2014/09/30
68745	Credit Suisse AG – HSI R Bull CBBC Jan 2015 O	2014/09/29	2014/09/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62937	Standard Chartered Bank – Anhui Conch R Bull CBBC Jul 2015 A	2014/09/29	2014/09/30
68722	UBS AG – HSI R Bull CBBC Jan 2015 V	2014/09/29	2014/09/30
69226	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 Z	2014/09/29	2014/09/30
68359	Bank of East Asia – TCH R Bull CBBC Sep 2014 A	2014/09/29	2014/10/03
68126	Credit Suisse AG – TCH R Bull CBBC Jan 2015 D	2014/09/29	2014/09/30
69288	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 P	2014/09/29	2014/09/30
68077	UBS AG – HSI R Bull CBBC Apr 2015 J	2014/09/29	2014/09/30
69290	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 Q	2014/09/29	2014/09/30
68545	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 A	2014/09/29	2014/09/30
68514	Credit Suisse AG – HSI R Bull CBBC Jan 2015 N	2014/09/29	2014/09/30
68510	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 A	2014/09/29	2014/09/30
68723	UBS AG – HSI R Bull CBBC Feb 2015 J	2014/09/29	2014/09/30
69181	Credit Suisse AG – HSI R Bull CBBC Jan 2015 M	2014/09/29	2014/09/30
69019	Credit Suisse AG – HSI R Bull CBBC Feb 2015 T	2014/09/29	2014/09/30
69050	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 B	2014/09/29	2014/09/30
69059	UBS AG – HSI R Bull CBBC Dec 2014 L	2014/09/29	2014/09/30
69079	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 J	2014/09/29	2014/09/30
69012	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 W	2014/09/29	2014/09/30
67771	Credit Suisse AG – HSI R Bull CBBC Apr 2015 E	2014/09/29	2014/09/30
69083	HK Bank – HSI R Bull CBBC Mar 2015 D	2014/09/29	2014/09/30
69084	HK Bank – HSI R Bull CBBC Jan 2015 Q	2014/09/29	2014/09/30
63963	Credit Suisse AG – AIA R Bull CBBC Sep 2014 B	2014/09/29	2014/10/03
68953	UBS AG – HSI R Bull CBBC Oct 2014 C	2014/09/29	2014/09/30
68597	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec 14N	2014/09/29	2014/09/30
69186	Credit Suisse AG – HSI R Bull CBBC Jan 2015 R	2014/09/29	2014/09/30
69187	UBS AG – HSI R Bull CBBC Jan 2015 W	2014/09/29	2014/09/30
69188	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb15 A	2014/09/29	2014/09/30
68945	Credit Suisse AG – HSI R Bull CBBC Jan 2015 I	2014/09/29	2014/09/30
69220	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 K	2014/09/29	2014/09/30
69221	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 O	2014/09/29	2014/09/30
69222	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 C	2014/09/29	2014/09/30
61657	UBS AG – CC Bank R Bull CBBC Aug 2015 B	2014/09/29	2014/09/30
69085	HK Bank – HSI R Bull CBBC Feb 2015 I	2014/09/29	2014/09/30
69322	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 G	2014/09/29	2014/09/30
69378	UBS AG – HSI R Bull CBBC Jan 2015 Y	2014/09/29	2014/09/30
67727	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 Q	2014/09/29	2014/09/30
69471	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 N	2014/09/29	2014/09/30
67710	J P Morgan SP BV – HSI R Bull CBBC May 2015 Y	2014/09/29	2014/09/30
69425	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 L	2014/09/29	2014/09/30
64675	HK Bank – China Mobile R Bull CBBC Jul 2015 I	2014/09/29	2014/09/30
67814	UBS AG – HSI R Bull CBBC Jan 2015 T	2014/09/29	2014/09/30
67738	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 J	2014/09/29	2014/09/30
67774	UBS AG – HSI R Bull CBBC Mar 2015 G	2014/09/29	2014/09/30
67164	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Jun 2015 A	2014/09/29	2014/09/30
67783	UBS AG – HSI R Bull CBBC Apr 2015 I	2014/09/29	2014/09/30
69323	UBS AG – HSI R Bull CBBC Jan 2015 X	2014/09/29	2014/09/30
61131	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Jun 2015 A	2014/09/29	2014/09/30
69324	UBS AG – HSI R Bull CBBC Dec 2014 H	2014/09/29	2014/09/30
69325	UBS AG – HSI R Bull CBBC Oct 2014 H	2014/09/29	2014/09/30
69394	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 M	2014/09/29	2014/09/30
69376	Credit Suisse AG – HSI R Bull CBBC Jan 2015 Q	2014/09/29	2014/09/30
67706	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 P	2014/09/29	2014/09/30
68707	Credit Suisse AG – HSI R Bull CBBC Feb 2015 R	2014/09/29	2014/09/30
69318	Credit Suisse AG – HSI R Bull CBBC Mar 2015 J	2014/09/29	2014/09/30
69292	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 L	2014/09/29	2014/09/30
69504	Credit Suisse AG – Hutchison R Bull CBBC Jan 2015 B	2014/09/29	2014/09/30
69295	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 N	2014/09/29	2014/09/30
69302	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 O	2014/09/29	2014/09/30
69315	Credit Suisse AG – HSI R Bull CBBC Mar 2015 H	2014/09/29	2014/09/30
69257	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 M	2014/09/29	2014/09/30
69317	Credit Suisse AG – HSI R Bull CBBC Mar 2015 I	2014/09/29	2014/09/30
67736	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 U	2014/09/29	2014/09/30
68446	J P Morgan SP BV – HSI R Bull CBBC May 2015 A	2014/09/29	2014/09/30
65892	UBS AG – TCH R Bull CBBC Jul 2015 F	2014/09/29	2014/09/30
67769	Credit Suisse AG – HSI R Bull CBBC Apr 2015 D	2014/09/29	2014/09/30
67767	Credit Suisse AG – HSI R Bull CBBC Apr 2015 C	2014/09/29	2014/09/30
67562	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 A	2014/09/29	2014/09/30
67762	Credit Suisse AG – HSI R Bull CBBC Apr 2015 Z	2014/09/29	2014/09/30
67739	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Z	2014/09/29	2014/09/30
67704	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 S	2014/09/29	2014/09/30
62638	Standard Chartered Bank – Ping An R Bull CBBC Mar 2015 A	2014/09/29	2014/09/30
66911	Standard Chartered Bank – Sinopec Corp R Bull CBBC Jun 2015A	2014/09/30	2014/10/03
68164	Credit Suisse AG – HSI R Bull CBBC Jun 2015 P	2014/09/30	2014/10/03
68189	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 M	2014/09/30	2014/10/03
67960	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 B	2014/09/30	2014/10/03
66458	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Dec 14 B	2014/09/30	2014/10/03
63460	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Mar 2015 C	2014/09/30	2014/10/03
68181	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 M	2014/09/30	2014/10/03
68188	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 I	2014/09/30	2014/10/03
66146	UBS AG – Hutchison R Bull CBBC Oct 2014 E	2014/09/30	2014/10/03
68179	UBS AG – HSI R Bull CBBC May 2015 K	2014/09/30	2014/10/03
68172	UBS AG – HSI R Bull CBBC May 2015 J	2014/09/30	2014/10/03
64568	HK Bank – China Mobile R Bull CBBC Jul 2015 H	2014/09/30	2014/10/03
68169	UBS AG – HSI R Bull CBBC May 2015 I	2014/09/30	2014/10/03
67684	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 E	2014/09/30	2014/10/03
64554	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun15D	2014/09/30	2014/10/03
67841	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 F	2014/09/30	2014/10/03

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66270	HK Bank – Hutchison R Bull CBBC Oct 2014 F	2014/09/30	2014/10/03
64617	UBS AG – China Mobile R Bull CBBC Oct 2015 A	2014/09/30	2014/10/03
63567	HK Bank – Galaxy Ent R Bull CBBC Dec 2014 D	2014/09/30	2014/10/03
68166	Credit Suisse AG – HSI R Bull CBBC Jun 2015 Q	2014/09/30	2014/10/03
68121	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 C	2014/09/30	2014/10/03
67579	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 O	2014/09/30	2014/10/03
67268	UBS AG – HSI R Bull CBBC Jan 2015 N	2014/09/30	2014/10/03
67273	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 D	2014/09/30	2014/10/03
68637	Credit Suisse AG – HSI R Bull CBBC Feb 2015 Q	2014/09/30	2014/10/03
67788	UBS AG – HSI R Bull CBBC Jul 2015 C	2014/09/30	2014/10/03
67291	UBS AG – HSI R Bull CBBC Feb 2015 I	2014/09/30	2014/10/03
67319	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 G	2014/09/30	2014/10/03
67329	Credit Suisse AG – HSI R Bull CBBC Mar 2015 A	2014/09/30	2014/10/03
67340	Credit Suisse AG – HSI R Bull CBBC Mar 2015 B	2014/09/30	2014/10/03
65880	UBS AG – Petrochina R Bull CBBC Oct 2015 A	2014/09/30	2014/10/03
67890	HK Bank – HSI R Bull CBBC Jan 2015 N	2014/09/30	2014/10/03
67256	Credit Suisse AG – HSI R Bull CBBC Oct 2014 P	2014/09/30	2014/10/03
68304	HK Bank – HSCEI R Bull CBBC Jan 2015 B	2014/09/30	2014/10/03
67288	Credit Suisse AG – HSI R Bull CBBC Jan 2015 S	2014/09/30	2014/10/03
68724	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 H	2014/09/30	2014/10/03
67608	Credit Suisse AG – HSI R Bull CBBC Feb 2015 L	2014/09/30	2014/10/03
67426	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 F	2014/09/30	2014/10/03
67438	HK Bank – HSI R Bull CBBC Feb 2015 E	2014/09/30	2014/10/03
69983	HK Bank – Minsheng Bank R Bull CBBC Jul 2015 B	2014/09/30	2014/10/03
67531	UBS AG – HSI R Bull CBBC Dec 2014 N	2014/09/30	2014/10/03
67524	J P Morgan SP BV – HSI R Bull CBBC Mar 2015 G	2014/09/30	2014/10/03
67518	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 G	2014/09/30	2014/10/03
67505	Bank of East Asia – Hutchison R Bull CBBC Apr 2015 A	2014/09/30	2014/10/03
67507	Bank of East Asia – Galaxy Ent R Bull CBBC Jun 2015 A	2014/09/30	2014/10/03
67375	UBS AG – HSI R Bull CBBC Jan 2015 O	2014/09/30	2014/10/03
67720	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14D	2014/09/30	2014/10/03
69877	HK Bank – Hutchison R Bull CBBC Jun 2015 B	2014/09/30	2014/10/03
62154	HK Bank – SHK Ppt R Bull CBBC May 2015 B	2014/09/30	2014/10/03
67611	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 O	2014/09/30	2014/10/03
67697	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 F	2014/09/30	2014/10/03
65973	HK Bank – Great Wall Motor R Bull CBBC Dec 2015 E	2014/09/30	2014/10/03
66982	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 L	2014/09/30	2014/10/03
67585	Bank of East Asia – HKEx R Bull CBBC Sep 2015 A	2014/09/30	2014/10/03
62646	Standard Chartered Bank – Minsheng Bank R Bull CBBC Apr 15 A	2014/09/30	2014/10/03
67043	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 H	2014/09/30	2014/10/03
67053	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 V	2014/09/30	2014/10/03
67222	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 Y	2014/09/30	2014/10/03
62738	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2015 D	2014/09/30	2014/10/03
66136	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 B	2014/09/30	2014/10/03
67906	HK Bank – HSI R Bull CBBC Feb 2015 F	2014/09/30	2014/10/03
67912	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 J	2014/09/30	2014/10/03
67923	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2014 C	2014/09/30	2014/10/03
66137	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 C	2014/09/30	2014/10/03
63941	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 B	2014/10/03	2014/10/06
63888	UBS AG – HSI R Bull CBBC Jan 2015 F	2014/10/03	2014/10/06
63884	Credit Suisse AG – HSI R Bull CBBC Jan 2015 H	2014/10/03	2014/10/06
64291	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 D	2014/10/03	2014/10/06
64344	Standard Chartered Bank – HSI R Bull CBBC Jan 2015 D	2014/10/03	2014/10/06
63952	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2014/10/03	2014/10/06
64220	HK Bank – HSI R Bull CBBC Jan 2015 H	2014/10/03	2014/10/06
68302	UBS AG – HSI R Bull CBBC Feb 2015 X	2014/10/03	2014/10/06
66553	UBS AG – Galaxy Ent R Bear CBBC Oct 2014 A	2014/10/03	2014/10/07
66546	UBS AG – Sands China R Bear CBBC Oct 2014 A	2014/10/03	2014/10/07
64342	UBS AG – HSI R Bull CBBC Nov 2014 M	2014/10/03	2014/10/06
64341	Credit Suisse AG – HSI R Bull CBBC Oct 2014 O	2014/10/03	2014/10/06
65323	Bank of East Asia – Sands China R Bull CBBC May 2015 A	2014/10/03	2014/10/06
68301	UBS AG – HSI R Bull CBBC Apr 2015 K	2014/10/03	2014/10/06
68300	Credit Suisse AG – HSI R Bull CBBC May 2015 W	2014/10/03	2014/10/06
68295	Credit Suisse AG – HSI R Bull CBBC May 2015 V	2014/10/03	2014/10/06
67655	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 C	2014/10/03	2014/10/06
68177	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 O	2014/10/03	2014/10/06
63964	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 F	2014/10/03	2014/10/06
67264	UBS AG – Galaxy Ent R Bear CBBC Oct 2014 B	2014/10/03	2014/10/07
64098	HK Bank – HSI R Bull CBBC Dec 2014 P	2014/10/03	2014/10/06
67679	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 D	2014/10/03	2014/10/06
60468	UBS AG – CM Bank R Bull CBBC Nov 2014 A	2014/10/03	2014/10/06
65879	UBS AG – CNOOC R Bull CBBC Oct 2015 B	2014/10/03	2014/10/06
68291	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 V	2014/10/03	2014/10/06
64139	Credit Suisse AG – HSI R Bull CBBC Oct 2014 F	2014/10/03	2014/10/06
67586	Bank of East Asia – Ping An R Bull CBBC Sep 2015 A	2014/10/03	2014/10/06
64024	UBS AG – HSI R Bull CBBC Oct 2014 O	2014/10/03	2014/10/06
60297	HK Bank – CM Bank R Bull CBBC Nov 2014 B	2014/10/03	2014/10/06
64022	Credit Suisse AG – HSI R Bull CBBC Dec 2014 M	2014/10/03	2014/10/06
64019	Credit Suisse AG – HSI R Bull CBBC Dec 2014 J	2014/10/03	2014/10/06
65785	Standard Chartered Bank – HSBC R Bull CBBC Mar 2015 A	2014/10/03	2014/10/06
62737	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2015 C	2014/10/03	2014/10/06
64156	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 C	2014/10/03	2014/10/06
68294	Credit Suisse AG – HSI R Bull CBBC May 2015 U	2014/10/03	2014/10/06
64202	UBS AG – HSI R Bull CBBC Jan 2015 G	2014/10/03	2014/10/06
64201	Credit Suisse AG – HSI R Bull CBBC Jan 2015 J	2014/10/03	2014/10/06
64197	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 H	2014/10/03	2014/10/06
64191	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2015 G	2014/10/03	2014/10/06

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64005	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 S	2014/10/03	2014/10/06
63953	Credit Suisse AG – HSI R Bull CBBC Nov 2014 N	2014/10/03	2014/10/06
64214	HK Bank – HSI R Bull CBBC Nov 2014 J	2014/10/03	2014/10/06
66132	UBS AG – China Life R Bear CBBC Oct 2014 A	2014/10/03	2014/10/07
64145	UBS AG – HSI R Bull CBBC Nov 2014 J	2014/10/03	2014/10/06
64143	UBS AG – HSI R Bull CBBC Oct 2014 I	2014/10/03	2014/10/06
63976	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 J	2014/10/03	2014/10/06
63986	UBS AG – HSI R Bull CBBC Nov 2014 Z	2014/10/03	2014/10/06
63987	UBS AG – HSI R Bull CBBC Dec 2014 F	2014/10/03	2014/10/06
64190	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 U	2014/10/03	2014/10/06
68285	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 K	2014/10/03	2014/10/06
69733	UBS AG – HSCEI R Bull CBBC Feb 2015 B	2014/10/03	2014/10/06
69338	UBS AG – Sands China R Bear CBBC Oct 2014 C	2014/10/03	2014/10/07
67425	J P Morgan SP BV – HSI R Bull CBBC Feb 2015 E	2014/10/03	2014/10/06
62993	UBS AG – HKEx R Bull CBBC Sep 2015 D	2014/10/03	2014/10/06
67380	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2014 A	2014/10/03	2014/10/06
68173	UBS AG – Kunlun Energy R Bear CBBC Oct 2014 A	2014/10/03	2014/10/07
66082	UBS AG – Sinopec Corp R Bull CBBC Oct 2014 A	2014/10/03	2014/10/07
69758	HK Bank – BOCL R Bull CBBC Jul 2015 B	2014/10/03	2014/10/06
64861	HK Bank – CC Bank R Bear CBBC Oct 2014 A	2014/10/03	2014/10/07
65108	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2014 B	2014/10/03	2014/10/06
63612	UBS AG – Kingsoft R Bull CBBC Aug 2015 B	2014/10/03	2014/10/06
67956	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 A	2014/10/03	2014/10/06
65553	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Mar 15B	2014/10/03	2014/10/06
68067	UBS AG – HSI R Bull CBBC May 2015 H	2014/10/03	2014/10/06
63561	HK Bank – Sands China R Bull CBBC Feb 2015 B	2014/10/03	2014/10/06
67167	J P Morgan SP BV – HSI R Bull CBBC Jan 2015 M	2014/10/03	2014/10/06
67100	UBS AG – HSI R Bull CBBC Dec 2014 U	2014/10/03	2014/10/06
67065	Credit Suisse AG – HSI R Bull CBBC Feb 2015 I	2014/10/03	2014/10/06
67006	HK Bank – Galaxy Ent R Bull CBBC Jul 2015 A	2014/10/03	2014/10/06
66561	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 E	2014/10/03	2014/10/06
66693	HK Bank – CNOOC R Bull CBBC Oct 2015 A	2014/10/03	2014/10/06
67041	Credit Suisse AG – HSI R Bull CBBC Feb 2015 H	2014/10/03	2014/10/06
66695	HK Bank – Ping An R Bull CBBC Feb 2015 A	2014/10/03	2014/10/06
66708	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Mar 2015 B	2014/10/03	2014/10/06
64994	Bank of East Asia – HSBC Holdings R Bull CBBC Apr 2015 A	2014/10/03	2014/10/06
66852	Credit Suisse AG – HSI R Bull CBBC Jan 2015 P	2014/10/03	2014/10/06
64832	HK Bank – Lenovo R Bull CBBC Aug 2015 B	2014/10/03	2014/10/06
66537	UBS AG – TCH R Bear CBBC Oct 2014 F	2014/10/03	2014/10/07
65876	UBS AG – Sands China R Bull CBBC Jul 2015 A	2014/10/03	2014/10/06
62957	Standard Chartered Bank – HKEx R Bull CBBC Jun 2015 A	2014/10/03	2014/10/06
65101	HK Bank – TCH R Bull CBBC Oct 2014 A	2014/10/03	2014/10/07
64529	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 K	2014/10/03	2014/10/06
66095	Standard Chartered Bank – Sands China R Bull CBBC Jul 2015 A	2014/10/03	2014/10/06
66421	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 E	2014/10/03	2014/10/06
66435	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 F	2014/10/03	2014/10/06
65096	Bank of East Asia – Kunlun Energy R Bull CBBC Dec 2014 A	2014/10/03	2014/10/06
68142	UBS AG – Minsheng Bank R Bull CBBC Oct 2014 C	2014/10/03	2014/10/07
64566	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 C	2014/10/03	2014/10/06
64748	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2014 A	2014/10/03	2014/10/06
67128	UBS AG – Sinopec Corp R Bull CBBC Jun 2015 A	2014/10/03	2014/10/06
62680	Credit Suisse AG – HKEx R Bull CBBC Mar 2015 A	2014/10/03	2014/10/06
64608	HK Bank – ICBC R Bull CBBC Jul 2015 A	2014/10/03	2014/10/06
64456	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 V	2014/10/03	2014/10/06
63148	HK Bank – Kingsoft R Bull CBBC Aug 2015 B	2014/10/03	2014/10/06
60457	HK Bank – Minsheng Bank R Bear CBBC Oct 2014 A	2014/10/03	2014/10/07
68243	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 R	2014/10/03	2014/10/06
64542	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 I	2014/10/03	2014/10/06
68339	Credit Suisse AG – HSI R Bull CBBC Apr 2015 F	2014/10/06	2014/10/07
68303	HK Bank – HSI R Bull CBBC Jun 2015 H	2014/10/06	2014/10/07
68341	UBS AG – HSI R Bull CBBC Mar 2015 H	2014/10/06	2014/10/07
68327	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 C	2014/10/06	2014/10/07
68344	Credit Suisse AG – HSI R Bull CBBC Apr 2015 B	2014/10/06	2014/10/07
68319	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 B	2014/10/06	2014/10/07
68340	UBS AG – HSI R Bull CBBC Jun 2015 F	2014/10/06	2014/10/07
68376	UBS AG – HSBC R Bull CBBC Sep 2015 C	2014/10/06	2014/10/07
68377	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 D	2014/10/06	2014/10/07
68378	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 S	2014/10/06	2014/10/07
68355	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 N	2014/10/06	2014/10/07
68515	Credit Suisse AG – HSI R Bull CBBC Jun 2015 T	2014/10/07	2014/10/08
68447	Standard Chartered Bank – HSI R Bull CBBC Aug 2015 O	2014/10/07	2014/10/08
68404	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 G	2014/10/07	2014/10/08
68316	HK Bank – CSOP A50 ETF R Bull CBBC May 2015 D	2014/10/07	2014/10/08
64710	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Jun15A	2014/10/07	2014/10/08
68442	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 B	2014/10/07	2014/10/08
68489	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 Y	2014/10/07	2014/10/08
68491	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 Z	2014/10/07	2014/10/08
68492	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 F	2014/10/07	2014/10/08
68499	Credit Suisse AG – HSI R Bull CBBC Jun 2015 S	2014/10/07	2014/10/08
68535	UBS AG – HSI R Bull CBBC Mar 2015 K	2014/10/07	2014/10/08
68406	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 H	2014/10/07	2014/10/08
68534	UBS AG – HSI R Bull CBBC May 2015 L	2014/10/07	2014/10/08
68414	HK Bank – TCH R Bear CBBC Jul 2015 G	2014/10/07	2014/10/08
68495	Credit Suisse AG – HSI R Bull CBBC Jun 2015 R	2014/10/07	2014/10/08
68439	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 T	2014/10/07	2014/10/08
65730	BNP Paribas Arbit Issu B.V. -CSOP A50 ETF R Bull CBBC Jun15A	2014/10/07	2014/10/08
68565	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 D	2014/10/07	2014/10/08

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61255	HK Bank – TCH R Bull CBBC Oct 2014 C	2014/10/07	2014/10/09
68763	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 J	2014/10/08	2014/10/09
60616	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 D	2014/10/08	2014/10/09
68680	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 M	2014/10/08	2014/10/09
69396	UBS AG – HSI R Bear CBBC Jan 2015 T	2014/10/08	2014/10/09
68803	Credit Suisse AG – HSI R Bull CBBC May 2015 Z	2014/10/08	2014/10/09
69531	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/10/08	2014/10/09
68787	Credit Suisse AG – HSI R Bull CBBC May 2015 Y	2014/10/08	2014/10/09
62787	HK Bank – CUni R Bull CBBC Jul 2015 A	2014/10/08	2014/10/09
68778	Credit Suisse AG – HSI R Bull CBBC May 2015 X	2014/10/08	2014/10/09
69351	UBS AG – HSI R Bear CBBC Feb 2015 Q	2014/10/08	2014/10/09
66349	SGA Societe Generale Acceptance N.V.-CSA50 R Bull CBBC Apr15C	2014/10/08	2014/10/09
69646	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Y	2014/10/08	2014/10/09
68757	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 I	2014/10/08	2014/10/09
68691	J P Morgan SP BV – HSI R Bull CBBC May 2015 C	2014/10/08	2014/10/09
68689	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 W	2014/10/08	2014/10/09
69533	Credit Suisse AG – HSI R Bear CBBC Feb 2015 Q	2014/10/08	2014/10/09
69105	UBS AG – HSI R Bull CBBC Mar 2015 I	2014/10/08	2014/10/09
68923	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 B	2014/10/08	2014/10/09
69086	UBS AG – HSI R Bull CBBC Jun 2015 I	2014/10/08	2014/10/09
69087	UBS AG – HSI R Bull CBBC Apr 2015 L	2014/10/08	2014/10/09
69088	UBS AG – HSI R Bull CBBC Apr 2015 M	2014/10/08	2014/10/09
68922	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 O	2014/10/08	2014/10/09
68905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 W	2014/10/08	2014/10/09
68811	Credit Suisse AG – HSI R Bull CBBC May 2015 A	2014/10/08	2014/10/09
69166	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 P	2014/10/08	2014/10/09
69205	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 E	2014/10/08	2014/10/09
68833	Credit Suisse AG – HSI R Bull CBBC May 2015 B	2014/10/08	2014/10/09
68606	HK Bank – HSI R Bull CBBC Jun 2015 I	2014/10/08	2014/10/09
68867	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 N	2014/10/08	2014/10/09
68862	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 M	2014/10/08	2014/10/09
69180	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 Q	2014/10/09	2014/10/10
69189	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 R	2014/10/09	2014/10/10
69291	UBS AG – HSI R Bear CBBC Jan 2015 S	2014/10/09	2014/10/10
60208	UBS AG – HSI R Bear CBBC Jan 2015 U	2014/10/09	2014/10/10
69556	Credit Suisse AG – HSI R Bear CBBC Feb 2015 V	2014/10/09	2014/10/10
69555	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/10/09	2014/10/10
69129	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 F	2014/10/09	2014/10/10
69210	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 G	2014/10/09	2014/10/10
69206	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 F	2014/10/09	2014/10/10
60026	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 N	2014/10/09	2014/10/10
60029	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 O	2014/10/09	2014/10/10
69878	HK Bank – HSI R Bear CBBC Apr 2015 Q	2014/10/09	2014/10/10
69775	HK Bank – TCH R Bear CBBC Jul 2015 I	2014/10/09	2014/10/10
69645	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 X	2014/10/09	2014/10/10
60212	UBS AG – HSI R Bear CBBC Feb 2015 S	2014/10/09	2014/10/10
60225	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Q	2014/10/09	2014/10/10
60173	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Apr 2015 D	2014/10/09	2014/10/10
60237	Credit Suisse AG – HSI R Bear CBBC Mar 2015 T	2014/10/09	2014/10/10
60171	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Apr 2015 C	2014/10/09	2014/10/10
60131	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 K	2014/10/09	2014/10/10
60236	Credit Suisse AG – HSI R Bear CBBC Mar 2015 S	2014/10/09	2014/10/10
60138	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2015 A	2014/10/09	2014/10/10
60238	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/10/09	2014/10/10
60096	J P Morgan SP BV – HSI R Bear CBBC Jan 2015 N	2014/10/09	2014/10/10
60240	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 A	2014/10/09	2014/10/10
60220	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/10/09	2014/10/10
60143	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 J	2014/10/09	2014/10/10
69647	HK Bank – HSI R Bear CBBC May 2015 P	2014/10/09	2014/10/10
60210	UBS AG – HSI R Bear CBBC Jan 2015 Y	2014/10/09	2014/10/10
68587	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15S	2014/10/09	2014/10/10
60227	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/10/09	2014/10/10
60211	UBS AG – HSI R Bear CBBC Feb 2015 R	2014/10/09	2014/10/10
65851	HK Bank – Minsheng Bank R Bull CBBC Oct 2014 C	2014/10/09	2014/10/13
60142	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 H	2014/10/09	2014/10/10
60113	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 R	2014/10/09	2014/10/10
60224	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/10/09	2014/10/10
60097	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Z	2014/10/09	2014/10/10
60098	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 A	2014/10/09	2014/10/10
60130	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 J	2014/10/09	2014/10/10
68613	HK Bank – TCH R Bear CBBC Jul 2015 H	2014/10/09	2014/10/10
60111	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 Q	2014/10/09	2014/10/10
60185	Citigroup Global Mkt H Inc. – Hutchison R Bear CBBC May 15 B	2014/10/09	2014/10/10
60129	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 I	2014/10/09	2014/10/10
60219	UBS AG – HSI R Bear CBBC Feb 2015 T	2014/10/09	2014/10/10
60083	Credit Suisse AG – HKEx R Bear CBBC Feb 2015 D	2014/10/09	2014/10/10
60082	Credit Suisse AG – TCH R Bear CBBC Feb 2015 E	2014/10/09	2014/10/10
69461	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 F	2014/10/10	2014/10/13
60381	UBS AG – BOCL R Bear CBBC Oct 2014 A	2014/10/10	2014/10/14
60335	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 T	2014/10/10	2014/10/13
60318	HK Bank – TCH R Bull CBBC Oct 2014 B	2014/10/10	2014/10/14
62484	HK Bank – Hutchison R Bull CBBC Oct 2014 E	2014/10/10	2014/10/14
60365	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 C	2014/10/10	2014/10/13
60592	HK Bank – PetCh R Bull CBBC Oct 2014 C	2014/10/10	2014/10/14
67571	HK Bank – Galaxy Ent R Bear CBBC Oct 2014 E	2014/10/10	2014/10/14
60340	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 U	2014/10/10	2014/10/13
60496	UBS AG – HSI R Bear CBBC Feb 2015 X	2014/10/10	2014/10/13

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60461	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 Q	2014/10/10	2014/10/13
60462	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 L	2014/10/10	2014/10/13
60471	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 M	2014/10/10	2014/10/13
60578	UBS AG – Hutchison R Bull CBBC Oct 2014 C	2014/10/10	2014/10/14
68990	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 C	2014/10/10	2014/10/13
69071	UBS AG – HSI R Bull CBBC Jun 2015 H	2014/10/10	2014/10/13
60389	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2015 B	2014/10/10	2014/10/13
65784	HK Bank – TCH R Bear CBBC Oct 2014 K	2014/10/10	2014/10/14
60387	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 T	2014/10/10	2014/10/13
60488	UBS AG – HSI R Bear CBBC Mar 2015 J	2014/10/10	2014/10/13
65686	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 E	2014/10/10	2014/10/14
60495	UBS AG – HSI R Bear CBBC Mar 2015 K	2014/10/10	2014/10/13
68849	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 L	2014/10/10	2014/10/13
60497	Credit Suisse AG – HSI R Bear CBBC Mar 2015 V	2014/10/10	2014/10/13
60498	Credit Suisse AG – HSI R Bear CBBC Mar 2015 W	2014/10/10	2014/10/13
60384	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 S	2014/10/10	2014/10/13
60502	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/10/10	2014/10/13
60503	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Y	2014/10/10	2014/10/13
60505	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Z	2014/10/10	2014/10/13
68665	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 L	2014/10/10	2014/10/13
60375	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 D	2014/10/10	2014/10/13
64473	HK Bank – Cheung Kong R Bull CBBC Oct 2014 A	2014/10/10	2014/10/14
60356	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 B	2014/10/10	2014/10/13
60489	UBS AG – HSI R Bear CBBC Feb 2015 W	2014/10/10	2014/10/13
60089	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/10/10	2014/10/13
60261	HK Bank – HSI R Bear CBBC Apr 2015 R	2014/10/10	2014/10/13
65178	HK Bank – Minsheng Bank R Bull CBBC Oct 2014 A	2014/10/10	2014/10/14
69942	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 I	2014/10/10	2014/10/13
60262	HK Bank – HSI R Bear CBBC May 2015 Q	2014/10/10	2014/10/13
67149	HK Bank – TCH R Bull CBBC Oct 2014 E	2014/10/10	2014/10/14
66142	UBS AG – Sands China R Bear CBBC Oct 2014 B	2014/10/10	2014/10/14
68488	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 X	2014/10/10	2014/10/13
69608	Credit Suisse AG – HSI R Bull CBBC Jun 2015 U	2014/10/10	2014/10/13
68756	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 H	2014/10/10	2014/10/13
60035	UBS AG – HSI R Bull CBBC Mar 2015 J	2014/10/10	2014/10/13
69413	UBS AG – Petrochina R Bull CBBC Oct 2014 C	2014/10/10	2014/10/14
69405	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Apr 2015 B	2014/10/10	2014/10/13
65115	HK Bank – Sinopec Corp R Bull CBBC Oct 2014 A	2014/10/10	2014/10/14
67418	UBS AG – AIA R Bull CBBC Oct 2014 E	2014/10/10	2014/10/14
60040	UBS AG – HSI R Bull CBBC Apr 2015 N	2014/10/10	2014/10/13
69408	HK Bank – PetCh R Bull CBBC Jul 2015 B	2014/10/10	2014/10/13
60455	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 O	2014/10/10	2014/10/13
60319	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 C	2014/10/10	2014/10/13
69564	UBS AG – Galaxy Ent R Bear CBBC Oct 2014 E	2014/10/10	2014/10/14
64108	SGA Societe Generale Acceptance N.V.-CSA50 R Bull CBBC Apr15A	2014/10/13	2014/10/14
60066	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 F	2014/10/13	2014/10/14
60646	Credit Suisse AG – HSI R Bull CBBC May 2015 U	2014/10/13	2014/10/14
60019	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 K	2014/10/13	2014/10/14
69949	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 X	2014/10/13	2014/10/14
60042	UBS AG – HSI R Bull CBBC Jun 2015 J	2014/10/13	2014/10/14
60698	J P Morgan SP BV – HSI R Bull CBBC May 2015 K	2014/10/13	2014/10/14
60691	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 O	2014/10/13	2014/10/14
60025	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 K	2014/10/13	2014/10/14
60649	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 I	2014/10/13	2014/10/14
60657	UBS AG – HSI R Bull CBBC Jun 2015 L	2014/10/13	2014/10/14
60672	J P Morgan SP BV – HSI R Bull CBBC May 2015 P	2014/10/13	2014/10/14
60670	UBS AG – HSI R Bull CBBC Mar 2015 Q	2014/10/13	2014/10/14
60660	UBS AG – HSI R Bull CBBC Jul 2015 H	2014/10/13	2014/10/14
69916	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Q	2014/10/13	2014/10/14
69905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 P	2014/10/13	2014/10/14
68310	HK Bank – A50 R Bull CBBC May 2015 D	2014/10/13	2014/10/14
60647	Credit Suisse AG – HSI R Bull CBBC May 2015 V	2014/10/13	2014/10/14
69977	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 C	2014/10/13	2014/10/14
60285	HK Bank – TCH R Bull CBBC Jun 2015 H	2014/10/13	2014/10/14
67122	UBS AG – Petrochina R Bull CBBC Jun 2015 A	2014/10/13	2014/10/14
60537	HK Bank – HSI R Bear CBBC Jul 2015 E	2014/10/13	2014/10/14
60572	UBS AG – HSI R Bear CBBC Feb 2015 Y	2014/10/13	2014/10/14
60579	UBS AG – HSI R Bear CBBC Mar 2015 L	2014/10/13	2014/10/14
60791	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Feb 2015 B	2014/10/13	2014/10/14
60583	Credit Suisse AG – HSI R Bear CBBC Apr 2015 R	2014/10/13	2014/10/14
60310	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 A	2014/10/13	2014/10/14
60527	UBS AG – HSI R Bull CBBC Jul 2015 G	2014/10/13	2014/10/14
60526	Credit Suisse AG – HSI R Bull CBBC May 2015 N	2014/10/13	2014/10/14
60546	Standard Chartered Bank – CSOP A50 ETF R Bull CBBC Mar 2015B	2014/10/13	2014/10/14
69402	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Apr 2015 A	2014/10/13	2014/10/14
64077	HK Bank – CNOOC R Bull CBBC Jul 2015 A	2014/10/13	2014/10/14
60524	Credit Suisse AG – HSI R Bull CBBC May 2015 M	2014/10/13	2014/10/14
60643	Credit Suisse AG – HSI R Bull CBBC May 2015 P	2014/10/13	2014/10/14
60217	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/10/13	2014/10/14
60351	UBS AG – HSI R Bull CBBC Mar 2015 L	2014/10/13	2014/10/14
60542	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bull CBBC Apr15C	2014/10/13	2014/10/14
60092	Credit Suisse AG – HSI R Bull CBBC May 2015 E	2014/10/13	2014/10/14
60091	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/10/13	2014/10/14
60287	UBS AG – HSBC R Bull CBBC Jun 2015 C	2014/10/13	2014/10/14
66097	Standard Chartered Bank – Lenovo R Bull CBBC Sep 2015 B	2014/10/13	2014/10/14
60640	Credit Suisse AG – HSI R Bull CBBC May 2015 T	2014/10/13	2014/10/14
60232	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2015 C	2014/10/13	2014/10/14

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69369	Citigroup Global Mkt H Inc. -Sinopec Corp R Bull CBBC Jan15A	2014/10/13	2014/10/14
60641	Credit Suisse AG – HSI R Bull CBBC May 2015 O	2014/10/13	2014/10/14
69163	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 D	2014/10/13	2014/10/14
60631	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 O	2014/10/13	2014/10/14
60720	HK Bank – HSI R Bull CBBC Oct 2015 A	2014/10/14	2014/10/15
63664	HK Bank – Lenovo R Bull CBBC Apr 2015 B	2014/10/14	2014/10/15
60820	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 D	2014/10/14	2014/10/15
60819	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 C	2014/10/14	2014/10/15
60816	UBS AG – HSI R Bull CBBC May 2015 T	2014/10/14	2014/10/15
60813	UBS AG – HSI R Bull CBBC Apr 2015 R	2014/10/14	2014/10/15
60807	Credit Suisse AG – HSI R Bull CBBC May 2015 W	2014/10/14	2014/10/15
60799	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 E	2014/10/14	2014/10/15
60787	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 J	2014/10/14	2014/10/15
60762	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Jun 2015 D	2014/10/14	2014/10/15
60732	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 H	2014/10/14	2014/10/15
60727	UBS AG – HSI R Bear CBBC Feb 2015 Z	2014/10/14	2014/10/15
60724	HK Bank – HSI R Bull CBBC Sep 2015 C	2014/10/14	2014/10/15
60714	HK Bank – HSI R Bear CBBC Jun 2015 C	2014/10/14	2014/10/15
60588	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 F	2014/10/14	2014/10/15
60507	Credit Suisse AG – HSI R Bear CBBC Apr 2015 O	2014/10/14	2014/10/15
60486	UBS AG – HSI R Bear CBBC Feb 2015 V	2014/10/14	2014/10/15
60879	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 O	2014/10/15	2014/10/16
60890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 E	2014/10/15	2014/10/16
60880	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 P	2014/10/15	2014/10/16
60736	UBS AG – CNOOC R Bull CBBC Oct 2015 A	2014/10/15	2014/10/16
60886	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 T	2014/10/15	2014/10/16
60864	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 E	2014/10/15	2014/10/16
61363	Citigroup Global Mkt H Inc. – CNOOC R Bull CBBC Jan 2015 B	2014/10/15	2014/10/16
60895	Standard Chartered Bank – HSI R Bull CBBC Nov 2015 A	2014/10/15	2014/10/16
64007	HK Bank – Sinopec Corp R Bull CBBC Oct 2014 C	2014/10/15	2014/10/17
60896	Standard Chartered Bank – HSI R Bull CBBC Nov 2015 B	2014/10/15	2014/10/16
60857	HK Bank – HSI R Bull CBBC Jun 2015 L	2014/10/15	2014/10/16
60889	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 U	2014/10/15	2014/10/16
66626	HK Bank – Anhui Conch R Bull CBBC Oct 2014 A	2014/10/15	2014/10/17
60836	HK Bank – TCH R Bull CBBC Jun 2015 I	2014/10/15	2014/10/16
60884	UBS AG – HSI R Bull CBBC Apr 2015 S	2014/10/15	2014/10/16
60872	Credit Suisse AG – HSI R Bull CBBC May 2015 B	2014/10/15	2014/10/16
60589	HK Bank – CNOOC R Bull CBBC Dec 2014 D	2014/10/15	2014/10/16
60870	Credit Suisse AG – HSI R Bull CBBC May 2015 A	2014/10/15	2014/10/16
60854	HK Bank – Lenovo R Bull CBBC Aug 2015 C	2014/10/15	2014/10/16
60881	UBS AG – HSI R Bull CBBC Jun 2015 M	2014/10/15	2014/10/16
61041	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 F	2014/10/16	2014/10/17
60789	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 K	2014/10/16	2014/10/17
60723	HK Bank – HSI R Bull CBBC Aug 2015 D	2014/10/16	2014/10/17
60987	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 P	2014/10/16	2014/10/17
60863	HK Bank – HSI R Bull CBBC Jul 2015 G	2014/10/16	2014/10/17
67366	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Mar 2015 B	2014/10/16	2014/10/17
60915	HK Bank – HSI R Bull CBBC Aug 2015 F	2014/10/16	2014/10/17
60981	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 H	2014/10/16	2014/10/17
61034	Credit Suisse AG – HSI R Bull CBBC May 2015 Y	2014/10/16	2014/10/17
60986	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 G	2014/10/16	2014/10/17
61039	Standard Chartered Bank – HSI R Bull CBBC Nov 2015 C	2014/10/16	2014/10/17
61031	UBS AG – HSI R Bull CBBC Jun 2015 N	2014/10/16	2014/10/17
69298	UBS AG – Sinopec Corp R Bull CBBC Nov 2014 B	2014/10/16	2014/10/17
61032	UBS AG – HSI R Bull CBBC Jul 2015 I	2014/10/16	2014/10/17
61033	Credit Suisse AG – HSI R Bull CBBC May 2015 X	2014/10/16	2014/10/17
61035	Credit Suisse AG – HSI R Bull CBBC May 2015 Z	2014/10/16	2014/10/17
61037	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 R	2014/10/16	2014/10/17
60826	J P Morgan SP BV – HSI R Bull CBBC May 2015 Q	2014/10/16	2014/10/17
61129	Credit Suisse AG – HSI R Bull CBBC Apr 2015 M	2014/10/17	2014/10/20
61174	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 L	2014/10/17	2014/10/20
67096	Citigroup Global Mkt H Inc. – China Life R Bear CBBC Oct14 A	2014/10/17	2014/10/21
60078	UBS AG – Petrochina R Bull CBBC Oct 2014 D	2014/10/17	2014/10/21
62715	HK Bank – TCH R Bull CBBC Oct 2014 D	2014/10/17	2014/10/21
61521	UBS AG – Petrochina R Bull CBBC Oct 2014 B	2014/10/17	2014/10/21
67338	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Oct 14 A	2014/10/17	2014/10/21
61050	UBS AG – HSI R Bull CBBC Jun 2015 O	2014/10/17	2014/10/20
61043	UBS AG – HSI R Bull CBBC Apr 2015 T	2014/10/17	2014/10/20
61111	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 Q	2014/10/17	2014/10/20
67703	UBS AG – Galaxy Ent R Bear CBBC Oct 2014 C	2014/10/17	2014/10/21
61052	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 H	2014/10/17	2014/10/20
61053	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 J	2014/10/17	2014/10/20
61094	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/10/17	2014/10/20
61104	Credit Suisse AG – HSI R Bull CBBC Apr 2015 G	2014/10/17	2014/10/20
61110	Credit Suisse AG – HSI R Bull CBBC Apr 2015 L	2014/10/17	2014/10/20
61503	UBS AG – CNOOC R Bull CBBC Oct 2014 D	2014/10/17	2014/10/21
63358	UBS AG – TCH R Bear CBBC Oct 2014 D	2014/10/17	2014/10/21
61175	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 D	2014/10/17	2014/10/20
61014	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2014 A	2014/10/17	2014/10/21
61158	UBS AG – HKEx R Bull CBBC Oct 2014 A	2014/10/17	2014/10/21
61212	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 R	2014/10/17	2014/10/20
61203	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 J	2014/10/17	2014/10/20
60265	HK Bank – Galaxy Ent R Bear CBBC Jul 2015 D	2014/10/17	2014/10/20
61008	HK Bank – CSOP A50 ETF R Bear CBBC Oct 2014 A	2014/10/17	2014/10/21
61189	Credit Suisse AG – HSI R Bear CBBC Apr 2015 E	2014/10/17	2014/10/20
64520	UBS AG – Hutchison R Bull CBBC Oct 2014 D	2014/10/17	2014/10/21
61202	UBS AG – HSI R Bear CBBC Jan 2015 U	2014/10/17	2014/10/20

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61285	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 J	2014/10/20	2014/10/21
61290	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 S	2014/10/20	2014/10/21
61295	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/10/20	2014/10/21
60959	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 S	2014/10/20	2014/10/21
60963	Credit Suisse AG – HSI R Bear CBBC Apr 2015 U	2014/10/20	2014/10/21
61304	Credit Suisse AG – HSI R Bear CBBC Feb 2015 Q	2014/10/20	2014/10/21
61167	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 A	2014/10/20	2014/10/21
61315	UBS AG – HSI R Bull CBBC Mar 2015 P	2014/10/20	2014/10/21
61312	Credit Suisse AG – HSI R Bull CBBC Jun 2015 Y	2014/10/20	2014/10/21
61281	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Z	2014/10/20	2014/10/21
61299	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/10/20	2014/10/21
61299	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/10/20	2014/10/21
61299	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/10/20	2014/10/21
67214	UBS AG – Ping An R Bull CBBC Oct 2014 A	2014/10/20	2014/10/22
60976	UBS AG – HSI R Bear CBBC Mar 2015 N	2014/10/20	2014/10/21
60910	UBS AG – HSI R Bear CBBC Jan 2015 T	2014/10/20	2014/10/21
61310	UBS AG – HSI R Bear CBBC Feb 2015 R	2014/10/20	2014/10/21
61232	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 S	2014/10/20	2014/10/21
61307	UBS AG – HSI R Bear CBBC Mar 2015 O	2014/10/20	2014/10/21
61279	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 X	2014/10/20	2014/10/21
61161	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Z	2014/10/20	2014/10/21
61215	HK Bank – HSI R Bear CBBC May 2015 S	2014/10/20	2014/10/21
67344	HK Bank – Sands China R Bear CBBC May 2015 C	2014/10/20	2014/10/21
61113	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 U	2014/10/20	2014/10/21
61127	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 X	2014/10/20	2014/10/21
61178	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 Q	2014/10/20	2014/10/21
61259	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 W	2014/10/20	2014/10/21
61262	Credit Suisse AG – HSI R Bull CBBC Jun 2015 V	2014/10/20	2014/10/21
61181	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 F	2014/10/20	2014/10/21
61377	Credit Suisse AG – HSI R Bear CBBC Mar 2015 T	2014/10/21	2014/10/22
61380	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/10/21	2014/10/22
61358	Credit Suisse AG – HSI R Bull CBBC Jul 2015 P	2014/10/21	2014/10/22
61376	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 L	2014/10/21	2014/10/22
61387	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2015 A	2014/10/21	2014/10/22
61375	UBS AG – HSI R Bull CBBC May 2015 U	2014/10/21	2014/10/22
61368	UBS AG – HSI R Bull CBBC Apr 2015 U	2014/10/21	2014/10/22
61365	UBS AG – HSI R Bear CBBC Feb 2015 W	2014/10/21	2014/10/22
61361	UBS AG – HSI R Bear CBBC Feb 2015 T	2014/10/21	2014/10/22
61348	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 T	2014/10/21	2014/10/22
61254	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 N	2014/10/21	2014/10/22
61246	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 S	2014/10/21	2014/10/22
61245	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 M	2014/10/21	2014/10/22
61236	UBS AG – HSI R Bull CBBC Jun 2015 P	2014/10/21	2014/10/22
69921	HK Bank – Sinopec Corp R Bull CBBC Dec 2014 A	2014/10/21	2014/10/22
61346	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 D	2014/10/21	2014/10/22
61357	Credit Suisse AG – HSI R Bull CBBC Jul 2015 O	2014/10/21	2014/10/22
61350	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 J	2014/10/21	2014/10/22
61351	Standard Chartered Bank – HSI R Bull CBBC Apr 2015 U	2014/10/21	2014/10/22
61352	Credit Suisse AG – HSI R Bull CBBC Jul 2015 M	2014/10/21	2014/10/22
61353	Credit Suisse AG – HSI R Bull CBBC Jul 2015 N	2014/10/21	2014/10/22
61341	Credit Suisse AG – HSI R Bear CBBC Mar 2015 S	2014/10/21	2014/10/22
60902	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Y	2014/10/22	2014/10/23
60907	Credit Suisse AG – HSI R Bear CBBC Apr 2015 T	2014/10/22	2014/10/23
60909	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 N	2014/10/22	2014/10/23
61130	Credit Suisse AG – HSI R Bear CBBC Apr 2015 X	2014/10/22	2014/10/23
61323	UBS AG – HSI R Bear CBBC Jan 2015 Y	2014/10/22	2014/10/23
61306	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/10/22	2014/10/23
61260	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 X	2014/10/22	2014/10/23
61201	UBS AG – HSI R Bear CBBC Feb 2015 Q	2014/10/22	2014/10/23
61182	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 H	2014/10/22	2014/10/23
61406	Credit Suisse AG – HSI R Bear CBBC Mar 2015 V	2014/10/22	2014/10/23
61407	Credit Suisse AG – HSI R Bear CBBC Mar 2015 W	2014/10/22	2014/10/23
61409	UBS AG – HSI R Bear CBBC Feb 2015 X	2014/10/22	2014/10/23
61395	HK Bank – HSI R Bear CBBC May 2015 T	2014/10/22	2014/10/23
60952	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 A	2014/10/22	2014/10/23
61116	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 V	2014/10/22	2014/10/23
68977	Standard Chartered Bank – Hutchison R Bull CBBC Oct 2014 B	2014/10/22	2014/10/24
61422	Credit Suisse AG – HSI R Bull CBBC May 2015 E	2014/10/22	2014/10/23
61423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 C	2014/10/22	2014/10/23
61436	UBS AG – HSI R Bull CBBC Mar 2015 T	2014/10/22	2014/10/23
60971	UBS AG – HSI R Bear CBBC Feb 2015 C	2014/10/22	2014/10/23
60964	Credit Suisse AG – HSI R Bear CBBC Apr 2015 V	2014/10/22	2014/10/23
60956	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 P	2014/10/22	2014/10/23
61144	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Y	2014/10/22	2014/10/23
62939	Standard Chartered Bank – PetCh R Bull CBBC Oct 2014 A	2014/10/22	2014/10/24
65715	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 F	2014/10/22	2014/10/24
60730	Credit Suisse AG – HSI R Bear CBBC Apr 2015 S	2014/10/22	2014/10/23
60774	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Apr15B	2014/10/22	2014/10/23
60485	UBS AG – HSI R Bear CBBC Mar 2015 I	2014/10/22	2014/10/23
60479	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2015 G	2014/10/22	2014/10/23
65846	HK Bank – PetCh R Bull CBBC Oct 2014 B	2014/10/23	2014/10/27
61476	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/10/23	2014/10/24
66945	Standard Chartered Bank – TCH R Bear CBBC Oct 2014 G	2014/10/23	2014/10/27
61472	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 15Y	2014/10/23	2014/10/24
67354	Bank of East Asia – CM Bank R Bear CBBC Oct 2014 A	2014/10/23	2014/10/27
60992	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15I	2014/10/23	2014/10/24
61478	UBS AG – HSI R Bear CBBC Mar 2015 P	2014/10/23	2014/10/24
61400	HK Bank – TCH R Bear CBBC Jul 2015 K	2014/10/23	2014/10/24
64234	Credit Suisse AG – China Mobile R Bull CBBC Mar 2015 B	2014/10/23	2014/10/24

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
65847	HK Bank – Sinopec Corp R Bull CBBC Oct 2014 B	2014/10/23	2014/10/27
61458	HK Bank – HSI R Bear CBBC Apr 2015 S	2014/10/23	2014/10/24
64552	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun15C	2014/10/23	2014/10/24
61481	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 M	2014/10/23	2014/10/24
60558	HK Bank – China Mobile R Bull CBBC Sep 2015 A	2014/10/23	2014/10/24
61480	UBS AG – HSI R Bear CBBC Feb 2015 Y	2014/10/23	2014/10/24
61317	Standard Chartered Bank – China Mobile R Bull CBBC May 2015A	2014/10/23	2014/10/24
68760	Bank of East Asia – HKEx R Bull CBBC Oct 2014 A	2014/10/23	2014/10/27
61477	Credit Suisse AG – HSI R Bear CBBC Feb 2015 V	2014/10/23	2014/10/24
61485	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 C	2014/10/23	2014/10/24
64564	HK Bank – China Mobile R Bull CBBC Jul 2015 G	2014/10/24	2014/10/27
61490	UBS AG – HSI R Bear CBBC Jan 2015 U	2014/10/24	2014/10/27
61489	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 Z	2014/10/24	2014/10/27
61487	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 T	2014/10/24	2014/10/27
62373	HK Bank – Hutchison R Bull CBBC Oct 2014 D	2014/10/24	2014/10/28
65395	HK Bank – CNBM R Bear CBBC Oct 2014 B	2014/10/24	2014/10/28
68144	UBS AG – Lenovo R Bear CBBC Oct 2014 B	2014/10/24	2014/10/28
65306	HK Bank – ICBC R Bear CBBC Oct 2014 B	2014/10/24	2014/10/28
65305	HK Bank – ABC R Bear CBBC Oct 2014 A	2014/10/24	2014/10/28
61494	Credit Suisse AG – HSI R Bear CBBC May 2015 C	2014/10/24	2014/10/27
69529	UBS AG – Cheung Kong R Bull CBBC Oct 2014 A	2014/10/24	2014/10/28
60901	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 15 H	2014/10/24	2014/10/27
68937	UBS AG – China Mobile R Bull CBBC Oct 2014 G	2014/10/24	2014/10/28
63173	HK Bank – China Life R Bear CBBC Oct 2014 A	2014/10/24	2014/10/28
60624	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Oct14 A	2014/10/24	2014/10/28
66314	HK Bank – Galaxy Ent R Bear CBBC Oct 2014 D	2014/10/24	2014/10/28
69563	UBS AG – A50 China R Bear CBBC Oct 2014 B	2014/10/24	2014/10/28
63133	HK Bank – Kingsoft R Bull CBBC Aug 2015 A	2014/10/24	2014/10/27
67954	HK Bank – CC Bank R Bull CBBC Oct 2014 A	2014/10/24	2014/10/28
62719	HK Bank – Cheung Kong R Bull CBBC Oct 2014 C	2014/10/24	2014/10/28
65386	SGA Societe Generale Acceptance N.V.-CSA50 R Bull CBBC May15A	2014/10/24	2014/10/27
69528	UBS AG – HKEx R Bull CBBC Oct 2014 B	2014/10/24	2014/10/28
61495	Credit Suisse AG – HSI R Bear CBBC May 2015 D	2014/10/24	2014/10/27
68092	UBS AG – Kingsoft R Bull CBBC Jun 2016 A	2014/10/24	2014/10/27
61017	HK Bank – A50 R Bear CBBC Oct 2014 A	2014/10/24	2014/10/28
64463	HK Bank – CM Bank R Bear CBBC Oct 2014 A	2014/10/24	2014/10/28
66495	HK Bank – Ping An R Bear CBBC Oct 2014 A	2014/10/24	2014/10/28
67387	Standard Chartered Bank – Galaxy Ent R Bear CBBC Oct 2014 A	2014/10/24	2014/10/28
67961	HK Bank – ICBC R Bull CBBC Oct 2014 B	2014/10/24	2014/10/28
61512	HK Bank – TCH R Bear CBBC Jul 2015 L	2014/10/27	2014/10/28
61532	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/10/27	2014/10/28
61533	Credit Suisse AG – HSI R Bear CBBC Feb 2015 Q	2014/10/27	2014/10/28
69976	HK Bank – A50 R Bull CBBC Apr 2015 E	2014/10/27	2014/10/28
61530	UBS AG – HSI R Bear CBBC Mar 2015 Q	2014/10/27	2014/10/28
61498	UBS AG – HSI R Bull CBBC Apr 2015 V	2014/10/27	2014/10/28
61526	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 U	2014/10/27	2014/10/28
61540	Credit Suisse AG – HSI R Bull CBBC Apr 2015 H	2014/10/27	2014/10/28
61527	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 Y	2014/10/27	2014/10/28
61535	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 N	2014/10/27	2014/10/28
61496	Credit Suisse AG – HSI R Bull CBBC Apr 2015 N	2014/10/27	2014/10/28
61531	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Z	2014/10/27	2014/10/28
61536	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 S	2014/10/27	2014/10/28
61539	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 A	2014/10/27	2014/10/28
61545	UBS AG – HSI R Bull CBBC Mar 2015 U	2014/10/27	2014/10/28
61421	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/10/27	2014/10/28
64711	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Jun15B	2014/10/27	2014/10/28
69869	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2015 B	2014/10/27	2014/10/28
69867	HK Bank – A50 R Bull CBBC Apr 2015 D	2014/10/27	2014/10/28
65341	HK Bank – CSOP A50 ETF R Bull CBBC May 2015 A	2014/10/27	2014/10/28
65342	HK Bank – CSOP A50 ETF R Bull CBBC May 2015 B	2014/10/27	2014/10/28
62432	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Jun15 D	2014/10/27	2014/10/28
65134	UBS AG – CSOP A50 ETF R Bull CBBC Feb 2015 E	2014/10/27	2014/10/28
60848	HK Bank – HKEx R Bull CBBC Jul 2015 B	2014/10/27	2014/10/28
65731	BNP Paribas Arbit Issu B.V. -CSOP A50 ETF R Bull CBBC Jun15B	2014/10/27	2014/10/28
61547	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 X	2014/10/27	2014/10/28
61466	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/10/27	2014/10/28
61549	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2015 A	2014/10/27	2014/10/28
64109	SGA Societe Generale Acceptance N.V.-CSA50 R Bull CBBC Jun15C	2014/10/27	2014/10/28
61002	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2015 K	2014/10/27	2014/10/28
61528	UBS AG – HSI R Bear CBBC Jan 2015 T	2014/10/27	2014/10/28
61415	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 K	2014/10/27	2014/10/28
61000	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2015 J	2014/10/27	2014/10/28
61434	UBS AG – HSI R Bull CBBC May 2015 V	2014/10/27	2014/10/28
61463	UBS AG – HSI R Bull CBBC Jun 2015 R	2014/10/27	2014/10/28
61437	HK Bank – HSI R Bull CBBC Jun 2015 M	2014/10/27	2014/10/28
60509	Credit Suisse AG – HSI R Bear CBBC Apr 2015 P	2014/10/28	2014/10/29
61213	HK Bank – HSI R Bear CBBC May 2015 R	2014/10/28	2014/10/29
60282	HK Bank – AIA R Bear CBBC Jun 2015 A	2014/10/28	2014/10/29
61173	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2015 C	2014/10/28	2014/10/29
61193	UBS AG – HSI R Bear CBBC Feb 2015 E	2014/10/28	2014/10/29
61425	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Y	2014/10/28	2014/10/29
60547	HK Bank – HSCEI R Bear CBBC Apr 2015 C	2014/10/28	2014/10/29
61620	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/10/28	2014/10/29
61618	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/10/28	2014/10/29
60970	UBS AG – HSI R Bear CBBC Jan 2015 S	2014/10/28	2014/10/29
60955	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 O	2014/10/28	2014/10/29
60969	Credit Suisse AG – HSI R Bear CBBC Apr 2015 W	2014/10/28	2014/10/29

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61622	UBS AG – HSI R Bear CBBC Feb 2015 S	2014/10/28	2014/10/29
61619	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/10/28	2014/10/29
61584	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 Q	2014/10/28	2014/10/29
61583	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 O	2014/10/28	2014/10/29
60982	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 V	2014/10/28	2014/10/29
61578	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 15F	2014/10/28	2014/10/29
61614	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 15Z	2014/10/28	2014/10/29
61119	J P Morgan SP BV – HSI R Bear CBBC Jan 2015 N	2014/10/28	2014/10/29
60904	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 A	2014/10/28	2014/10/29
61118	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 W	2014/10/28	2014/10/29
61582	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Z	2014/10/28	2014/10/29
60962	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 T	2014/10/28	2014/10/29
61550	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2015 A	2014/10/28	2014/10/29
61552	HK Bank – HSI R Bear CBBC Apr 2015 T	2014/10/28	2014/10/29
61639	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/10/29	2014/10/30
68374	UBS AG – HSI R Bear CBBC Jan 2015 R	2014/10/29	2014/10/30
66181	HK Bank – HSI R Bull CBBC Oct 2014 B	2014/10/29	2014/10/31
68732	UBS AG – HSI R Bull CBBC Oct 2014 M	2014/10/29	2014/10/31
68451	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 I	2014/10/29	2014/10/30
68725	UBS AG – HSI R Bull CBBC Oct 2014 P	2014/10/29	2014/10/31
61339	HK Bank – HSI R Bull CBBC Oct 2014 E	2014/10/29	2014/10/31
63557	HK Bank – HSI R Bull CBBC Oct 2014 V	2014/10/29	2014/10/31
61640	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/10/29	2014/10/30
61058	HK Bank – HSCEI R Bear CBBC Oct 2014 A	2014/10/29	2014/10/31
61633	UBS AG – HSI R Bear CBBC Jan 2015 Y	2014/10/29	2014/10/30
61632	UBS AG – HSI R Bear CBBC Feb 2015 V	2014/10/29	2014/10/30
61311	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Q	2014/10/29	2014/10/30
61631	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 V	2014/10/29	2014/10/30
61628	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Y	2014/10/29	2014/10/30
61638	Credit Suisse AG – HSI R Bear CBBC Mar 2015 T	2014/10/29	2014/10/30
62026	UBS AG – HSI R Bull CBBC Oct 2014 A	2014/10/29	2014/10/31
68242	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 T	2014/10/29	2014/10/30
63643	Credit Suisse AG – HSI R Bull CBBC Oct 2014 W	2014/10/29	2014/10/31
60544	HK Bank – HSI R Bear CBBC Jun 2015 B	2014/10/29	2014/10/30
68658	Credit Suisse AG – HSI R Bull CBBC Oct 2014 D	2014/10/29	2014/10/31
60531	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 E	2014/10/29	2014/10/30
68346	Credit Suisse AG – HSI R Bear CBBC Feb 2015 C	2014/10/29	2014/10/30
63739	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 B	2014/10/29	2014/10/31
63738	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 A	2014/10/29	2014/10/31
60473	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2015 F	2014/10/29	2014/10/30
68412	Credit Suisse AG – HSI R Bull CBBC Oct 2014 B	2014/10/29	2014/10/31
61648	UBS AG – HSI R Bear CBBC Feb 2015 Z	2014/10/29	2014/10/30
61523	UBS AG – HSI R Bull CBBC Oct 2014 B	2014/10/29	2014/10/31
68415	HK Bank – HSI R Bear CBBC Jul 2015 C	2014/10/29	2014/10/30
60582	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Q	2014/10/29	2014/10/30
61136	Credit Suisse AG – HSI R Bull CBBC Oct 2014 T	2014/10/29	2014/10/31
68463	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 F	2014/10/29	2014/10/31
61555	HK Bank – HSI R Bull CBBC Oct 2014 G	2014/10/29	2014/10/31
61563	HK Bank – HSI R Bull CBBC Oct 2014 H	2014/10/29	2014/10/31
61676	Credit Suisse AG – HSI R Bear CBBC Mar 2015 V	2014/10/29	2014/10/30
61475	HK Bank – HSI R Bull CBBC Oct 2014 F	2014/10/29	2014/10/31
61564	HK Bank – HSI R Bear CBBC May 2015 V	2014/10/29	2014/10/30
61462	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 N	2014/10/29	2014/10/31
68656	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 14L	2014/10/29	2014/10/31
61356	UBS AG – HSI R Bull CBBC Oct 2014 Z	2014/10/29	2014/10/31
61670	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 W	2014/10/29	2014/10/30
61397	HK Bank – HSI R Bear CBBC May 2015 U	2014/10/29	2014/10/30
68701	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 14P	2014/10/29	2014/10/31
62357	HK Bank – HSI R Bull CBBC Oct 2014 M	2014/10/29	2014/10/31
68229	UBS AG – HSI R Bear CBBC Jan 2015 J	2014/10/29	2014/10/30
61643	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 F	2014/10/29	2014/10/30
60482	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2015 H	2014/10/29	2014/10/30
63317	HK Bank – HSI R Bull CBBC Oct 2014 U	2014/10/29	2014/10/31
66202	HK Bank – HSCEI R Bull CBBC Oct 2014 B	2014/10/29	2014/10/31
68684	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 O	2014/10/29	2014/10/31
60484	UBS AG – HSI R Bear CBBC Feb 2015 U	2014/10/29	2014/10/30
63251	UBS AG – HSI R Bull CBBC Oct 2014 D	2014/10/29	2014/10/31
61402	HK Bank – Ping An R Bear CBBC Jul 2015 B	2014/10/29	2014/10/30
68379	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 V	2014/10/29	2014/10/30
68225	Credit Suisse AG – HSI R Bear CBBC Feb 2015 B	2014/10/29	2014/10/30
68402	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 C	2014/10/29	2014/10/30
63886	UBS AG – HSI R Bull CBBC Oct 2014 N	2014/10/29	2014/10/31
69202	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 D	2014/10/29	2014/10/30
69190	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 S	2014/10/29	2014/10/30
60400	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 O	2014/10/29	2014/10/31
60079	UBS AG – HSI R Bull CBBC Oct 2014 V	2014/10/29	2014/10/31
64312	HK Bank – HSI R Bull CBBC Oct 2014 A	2014/10/29	2014/10/31
64006	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 C	2014/10/29	2014/10/31
69044	UBS AG – HSI R Bull CBBC Oct 2014 Y	2014/10/29	2014/10/31
60218	Credit Suisse AG – HSI R Bear CBBC Jun 2015 C	2014/10/29	2014/10/30
68960	HK Bank – HSI R Bull CBBC Oct 2014 R	2014/10/29	2014/10/31
67863	Credit Suisse AG – HSI R Bull CBBC Oct 2014 G	2014/10/29	2014/10/31
68575	Credit Suisse AG – HSI R Bear CBBC Feb 2015 G	2014/10/29	2014/10/30
60067	UBS AG – HSI R Bull CBBC Oct 2014 R	2014/10/29	2014/10/31
64010	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 E	2014/10/29	2014/10/31
68916	Credit Suisse AG – HSI R Bull CBBC Oct 2014 J	2014/10/29	2014/10/31
68915	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Q	2014/10/29	2014/10/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69651	HK Bank – HSI R Bear CBBC Jul 2015 D	2014/10/29	2014/10/30
63765	UBS AG – HSI R Bull CBBC Oct 2014 K	2014/10/29	2014/10/31
68904	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 R	2014/10/29	2014/10/31
63837	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Z	2014/10/29	2014/10/31
68874	HK Bank – HSI R Bull CBBC Oct 2014 Q	2014/10/29	2014/10/31
61222	HK Bank – TCH R Bear CBBC Jul 2015 J	2014/10/29	2014/10/30
68578	UBS AG – HSI R Bear CBBC Feb 2015 O	2014/10/29	2014/10/30
68577	UBS AG – HSI R Bear CBBC Feb 2015 N	2014/10/29	2014/10/30
68576	Credit Suisse AG – HSI R Bear CBBC Feb 2015 O	2014/10/29	2014/10/30
63378	UBS AG – HSI R Bull CBBC Oct 2014 E	2014/10/29	2014/10/31
69586	Credit Suisse AG – HSI R Bear CBBC Mar 2015 M	2014/10/29	2014/10/30
64257	SGA Societe Generale Acceptance NV-Lenovo R Bull CBBC Oct14A	2014/10/29	2014/10/31
64240	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 H	2014/10/29	2014/10/31
64205	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 B	2014/10/29	2014/10/31
68661	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 H	2014/10/29	2014/10/31
64320	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 I	2014/10/29	2014/10/31
60906	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 B	2014/10/29	2014/10/30
68504	UBS AG – HSI R Bull CBBC Oct 2014 U	2014/10/29	2014/10/31
60201	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 L	2014/10/29	2014/10/31
60030	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 P	2014/10/29	2014/10/30
64141	Credit Suisse AG – HSI R Bull CBBC Oct 2014 A	2014/10/29	2014/10/31
64391	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 J	2014/10/29	2014/10/31
64009	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 D	2014/10/29	2014/10/31
69607	Credit Suisse AG – HSI R Bear CBBC Mar 2015 N	2014/10/29	2014/10/30
61637	Credit Suisse AG – HSI R Bear CBBC Mar 2015 S	2014/10/29	2014/10/30
60167	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 J	2014/10/29	2014/10/30
64032	SGA Societe Generale Acceptance N.V.-Sands R Bear CBBC Oct14A	2014/10/29	2014/10/31
68573	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 S	2014/10/29	2014/10/31
69423	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2015 H	2014/10/29	2014/10/30
60332	UBS AG – HSI R Bull CBBC Oct 2014 X	2014/10/29	2014/10/31
69277	UBS AG – HSI R Bear CBBC Feb 2015 P	2014/10/29	2014/10/30
69247	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 H	2014/10/29	2014/10/30
64017	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 G	2014/10/29	2014/10/31
64016	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2014 F	2014/10/29	2014/10/31
60187	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Apr15 D	2014/10/29	2014/10/30
63841	Credit Suisse AG – HSI R Bull CBBC Oct 2014 E	2014/10/29	2014/10/31
60954	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 I	2014/10/29	2014/10/30
60321	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 L	2014/10/29	2014/10/30
68561	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 D	2014/10/29	2014/10/30
61083	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 A	2014/10/29	2014/10/31
68562	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 O	2014/10/29	2014/10/30
68563	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 W	2014/10/29	2014/10/30
60584	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 R	2014/10/29	2014/10/30
60635	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 C	2014/10/29	2014/10/30
61096	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct14 B	2014/10/29	2014/10/31
61132	Credit Suisse AG – HSI R Bull CBBC Oct 2014 L	2014/10/29	2014/10/31
63055	Standard Chartered Bank – Galaxy Ent R Bear CBBC Oct 2014 B	2014/10/29	2014/10/31
63782	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 X	2014/10/29	2014/10/31
61141	Credit Suisse AG – HSI R Bull CBBC Oct 2014 U	2014/10/29	2014/10/31
61150	Credit Suisse AG – HSI R Bull CBBC Oct 2014 V	2014/10/29	2014/10/31
68277	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 M	2014/10/29	2014/10/30
68288	UBS AG – HSI R Bear CBBC Jan 2015 I	2014/10/29	2014/10/30
67392	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2014 C	2014/10/29	2014/10/31
68289	Credit Suisse AG – HSI R Bear CBBC Jan 2015 F	2014/10/29	2014/10/30
60602	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 G	2014/10/29	2014/10/30
61755	UBS AG – HSI R Bear CBBC Feb 2015 R	2014/10/30	2014/10/31
67492	Credit Suisse AG – China Mobile R Bull CBBC Oct 2014 C	2014/10/30	2014/11/03
61738	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 A	2014/10/30	2014/10/31
67480	Credit Suisse AG – China Mobile R Bull CBBC Oct 2014 B	2014/10/30	2014/11/03
61730	Standard Chartered Bank – HKEx R Bull CBBC Mar 2015 A	2014/10/30	2014/10/31
61745	Credit Suisse AG – HSI R Bear CBBC Apr 2015 O	2014/10/30	2014/10/31
61741	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 G	2014/10/30	2014/10/31
61749	UBS AG – HSI R Bear CBBC Mar 2015 S	2014/10/30	2014/10/31
61743	Credit Suisse AG – HSI R Bear CBBC Apr 2015 F	2014/10/30	2014/10/31
68426	HK Bank – Galaxy Ent R Bear CBBC Jul 2015 C	2014/10/30	2014/10/31
61362	Citigroup Global Mkt H Inc. – CNOOC R Bull CBBC Jan 2015 A	2014/10/30	2014/10/31
67618	HK Bank – CNOOC R Bull CBBC Jul 2015 C	2014/10/30	2014/10/31
61744	Credit Suisse AG – HSI R Bear CBBC Apr 2015 R	2014/10/30	2014/10/31
68764	Bank of East Asia – COVS R Bull CBBC Oct 2014 A	2014/10/30	2014/11/03
61268	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 15 E	2014/10/31	2014/11/03
61266	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Apr15E	2014/10/31	2014/11/03
61757	Standard Chartered Bank – China Life R Bear CBBC May 2015 A	2014/10/31	2014/11/03
67963	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 K	2014/10/31	2014/11/03
67966	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 J	2014/10/31	2014/11/03
68284	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 E	2014/10/31	2014/11/03
60374	UBS AG – Sands China R Bear CBBC Nov 2014 A	2014/10/31	2014/11/04
68360	UBS AG – HSI R Bear CBBC Feb 2015 M	2014/10/31	2014/11/03
68290	Credit Suisse AG – HSI R Bear CBBC Jan 2015 N	2014/10/31	2014/11/03
67009	HK Bank – Sands China R Bear CBBC May 2015 B	2014/10/31	2014/11/03
64703	HK Bank – Sands China R Bear CBBC Nov 2014 A	2014/10/31	2014/11/04
68286	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 B	2014/10/31	2014/11/03
61316	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 K	2014/10/31	2014/11/03
67900	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Q	2014/10/31	2014/11/03
69797	UBS AG – Sinopec Corp R Bear CBBC Nov 2014 A	2014/10/31	2014/11/04
68493	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 N	2014/10/31	2014/11/03
69412	UBS AG – China Mobile R Bull CBBC Nov 2014 D	2014/10/31	2014/11/04
60063	UBS AG – CSOP A50 ETF R Bear CBBC Nov 2014 A	2014/10/31	2014/11/04

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60150	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 I	2014/10/31	2014/11/03
66219	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2014 B	2014/10/31	2014/11/04
68051	UBS AG – HSI R Bear CBBC Feb 2015 L	2014/10/31	2014/11/03
60186	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Apr15 C	2014/10/31	2014/11/03
68345	Credit Suisse AG – HSI R Bear CBBC Feb 2015 F	2014/10/31	2014/11/03
68153	HK Bank – HSCEI R Bear CBBC May 2015 F	2014/10/31	2014/11/03
61504	UBS AG – CNOOC R Bull CBBC Nov 2014 A	2014/10/31	2014/11/04
68574	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2015 G	2014/10/31	2014/11/03
61771	UBS AG – HSI R Bear CBBC Feb 2015 T	2014/10/31	2014/11/03
68265	HK Bank – TCH R Bear CBBC Jul 2015 F	2014/10/31	2014/11/03
68222	Credit Suisse AG – HSI R Bear CBBC Feb 2015 E	2014/10/31	2014/11/03
63838	UBS AG – Sinopec Corp R Bull CBBC Nov 2014 A	2014/10/31	2014/11/04
68143	UBS AG – Minsheng Bank R Bull CBBC Nov 2014 A	2014/10/31	2014/11/04
64460	HK Bank – Sinopec Corp R Bull CBBC Nov 2014 A	2014/10/31	2014/11/04
68244	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 U	2014/10/31	2014/11/03
68556	Credit Suisse AG – HSI R Bear CBBC Jun 2015 B	2014/10/31	2014/11/03
68607	HK Bank – HSI R Bear CBBC May 2015 L	2014/10/31	2014/11/03
60284	HK Bank – China Mobile R Bear CBBC Jul 2015 I	2014/10/31	2014/11/03
68227	UBS AG – HSI R Bear CBBC Jan 2015 H	2014/10/31	2014/11/03
68598	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15T	2014/10/31	2014/11/03
61770	Credit Suisse AG – HSI R Bear CBBC May 2015 F	2014/10/31	2014/11/03
68127	HK Bank – HSI R Bear CBBC May 2015 J	2014/10/31	2014/11/03
61769	Credit Suisse AG – HSI R Bear CBBC May 2015 E	2014/10/31	2014/11/03
61412	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 D	2014/10/31	2014/11/03
64315	UBS AG – CSOP A50 ETF R Bull CBBC Nov 2014 A	2014/10/31	2014/11/04
66307	HK Bank – Sands China R Bear CBBC Nov 2014 C	2014/10/31	2014/11/04
67361	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 B	2014/10/31	2014/11/04
68559	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2015 D	2014/10/31	2014/11/03
67143	Standard Chartered Bank – Sands China R Bear CBBC May 2015 A	2014/10/31	2014/11/03
69107	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 B	2014/10/31	2014/11/03
61764	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 N	2014/10/31	2014/11/03
68117	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 R	2014/10/31	2014/11/03
68005	Credit Suisse AG – HSI R Bear CBBC Dec 2014 W	2014/10/31	2014/11/03
68002	Credit Suisse AG – HSI R Bear CBBC Dec 2014 U	2014/10/31	2014/11/03
60779	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Apr15C	2014/10/31	2014/11/03
60746	Standard Chartered Bank – Galaxy Ent R Bear CBBC Jul 2015 A	2014/10/31	2014/11/03
61794	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 O	2014/11/03	2014/11/04
68560	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2015 E	2014/11/03	2014/11/04
68334	Credit Suisse AG – TCH R Bear CBBC Jan 2015 D	2014/11/03	2014/11/04
60561	HK Bank – China Life R Bear CBBC Apr 2015 A	2014/11/03	2014/11/04
66381	Standard Chartered Bank – Hutchison R Bear CBBC Nov 2014 A	2014/11/03	2014/11/05
61775	HK Bank – HSI R Bear CBBC Apr 2015 U	2014/11/03	2014/11/04
67000	HK Bank – Galaxy Ent R Bear CBBC Jul 2015 B	2014/11/03	2014/11/04
61803	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/11/03	2014/11/04
68014	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 A	2014/11/03	2014/11/04
60409	UBS AG – Tencent R Bear CBBC Apr 2015 B	2014/11/03	2014/11/04
61806	Credit Suisse AG – HSI R Bear CBBC Feb 2015 V	2014/11/03	2014/11/04
67989	Credit Suisse AG – HSI R Bear CBBC Dec 2014 T	2014/11/03	2014/11/04
60784	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2015 I	2014/11/03	2014/11/04
61801	UBS AG – HSI R Bear CBBC Feb 2015 W	2014/11/03	2014/11/04
61800	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 X	2014/11/03	2014/11/04
68287	UBS AG – HSI R Bear CBBC Jan 2015 K	2014/11/03	2014/11/04
61798	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 O	2014/11/03	2014/11/04
61802	UBS AG – HSI R Bear CBBC Mar 2015 T	2014/11/03	2014/11/04
68421	HK Bank – HSI R Bear CBBC May 2015 K	2014/11/03	2014/11/04
68034	UBS AG – HSI R Bear CBBC Jan 2015 G	2014/11/03	2014/11/04
68241	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 S	2014/11/03	2014/11/04
68185	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan15 L	2014/11/03	2014/11/04
68163	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 G	2014/11/03	2014/11/04
68216	Credit Suisse AG – HSI R Bear CBBC Feb 2015 A	2014/11/03	2014/11/04
67129	UBS AG – Galaxy Ent R Bear CBBC Jan 2015 A	2014/11/04	2014/11/05
61857	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/11/04	2014/11/05
61908	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 X	2014/11/04	2014/11/05
61858	UBS AG – HSI R Bear CBBC Feb 2015 Q	2014/11/04	2014/11/05
61856	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/11/04	2014/11/05
61853	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/11/04	2014/11/05
61849	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Z	2014/11/04	2014/11/05
61846	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 J	2014/11/04	2014/11/05
61842	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 H	2014/11/04	2014/11/05
61841	UBS AG – HSI R Bear CBBC Feb 2015 C	2014/11/04	2014/11/05
61812	HK Bank – HSI R Bear CBBC May 2015 W	2014/11/04	2014/11/05
61848	Credit Suisse AG – HSI R Bear CBBC Mar 2015 W	2014/11/04	2014/11/05
61860	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 Y	2014/11/04	2014/11/05
61978	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 Y	2014/11/05	2014/11/06
61938	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 S	2014/11/05	2014/11/06
61946	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/11/05	2014/11/06
61947	Credit Suisse AG – HSI R Bear CBBC Feb 2015 Q	2014/11/05	2014/11/06
61948	Credit Suisse AG – HSI R Bear CBBC Feb 2015 B	2014/11/05	2014/11/06
60591	HK Bank – CNOOC R Bull CBBC Nov 2014 D	2014/11/05	2014/11/06
61958	Credit Suisse AG – HSI R Bear CBBC Feb 2015 C	2014/11/05	2014/11/06
61974	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 X	2014/11/05	2014/11/06
62027	UBS AG – HSI R Bull CBBC Jun 2015 Y	2014/11/05	2014/11/06
61929	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 Z	2014/11/05	2014/11/06
61962	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 P	2014/11/05	2014/11/06
61936	UBS AG – HSI R Bear CBBC Feb 2015 E	2014/11/05	2014/11/06
62004	UBS AG – HSI R Bull CBBC Jul 2015 L	2014/11/05	2014/11/06
61935	UBS AG – HSI R Bear CBBC Feb 2015 Y	2014/11/05	2014/11/06

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61934	UBS AG – HSI R Bear CBBC Feb 2015 X	2014/11/05	2014/11/06
61990	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 J	2014/11/05	2014/11/06
61932	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 E	2014/11/05	2014/11/06
61963	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 Q	2014/11/05	2014/11/06
61920	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 R	2014/11/05	2014/11/06
61914	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 Q	2014/11/05	2014/11/06
62002	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 N	2014/11/05	2014/11/06
61933	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 F	2014/11/05	2014/11/06
62036	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2015 F	2014/11/05	2014/11/06
62000	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 M	2014/11/05	2014/11/06
62032	Credit Suisse AG – HSI R Bull CBBC Apr 2015 I	2014/11/05	2014/11/06
62035	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2015 E	2014/11/05	2014/11/06
62034	Credit Suisse AG – HSI R Bull CBBC Apr 2015 T	2014/11/05	2014/11/06
61961	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Z	2014/11/05	2014/11/06
62033	Credit Suisse AG – HSI R Bull CBBC Apr 2015 J	2014/11/05	2014/11/06
62080	UBS AG – HSI R Bull CBBC May 2015 A	2014/11/06	2014/11/07
62129	UBS AG – HSI R Bear CBBC Mar 2015 W	2014/11/06	2014/11/07
62120	Credit Suisse AG – HSI R Bear CBBC Mar 2015 N	2014/11/06	2014/11/07
62119	Credit Suisse AG – HSI R Bear CBBC Mar 2015 M	2014/11/06	2014/11/07
62110	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/11/06	2014/11/07
62134	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2015 B	2014/11/06	2014/11/07
62081	UBS AG – HSI R Bull CBBC Apr 2015 G	2014/11/06	2014/11/07
62111	Credit Suisse AG – HSI R Bull CBBC May 2015 E	2014/11/06	2014/11/07
62073	Standard Chartered Bank – HSI R Bull CBBC Jul 2015 A	2014/11/06	2014/11/07
62071	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 K	2014/11/06	2014/11/07
62064	HK Bank – Sands China R Bull CBBC Jul 2015 E	2014/11/06	2014/11/07
62041	HK Bank – HSI R Bull CBBC Jul 2015 K	2014/11/06	2014/11/07
62101	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 T	2014/11/06	2014/11/07
61890	Credit Suisse AG – HSI R Bull CBBC May 2015 Y	2014/11/07	2014/11/10
62072	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 W	2014/11/07	2014/11/10
62175	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 C	2014/11/07	2014/11/10
62188	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 L	2014/11/07	2014/11/10
62191	Standard Chartered Bank – HSI R Bull CBBC Jul 2015 C	2014/11/07	2014/11/10
61879	UBS AG – HSI R Bull CBBC May 2015 Z	2014/11/07	2014/11/10
62077	UBS AG – HSI R Bull CBBC Jun 2015 Z	2014/11/07	2014/11/10
61880	UBS AG – HSI R Bull CBBC Jul 2015 K	2014/11/07	2014/11/10
66550	UBS AG – Galaxy Ent R Bear CBBC Nov 2014 A	2014/11/07	2014/11/11
61892	Credit Suisse AG – HSI R Bull CBBC May 2015 Z	2014/11/07	2014/11/10
64377	HK Bank – Hutchison R Bull CBBC Nov 2014 A	2014/11/07	2014/11/11
61902	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 H	2014/11/07	2014/11/10
62112	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/11/07	2014/11/10
61909	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2015 D	2014/11/07	2014/11/10
61913	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 O	2014/11/07	2014/11/10
62003	UBS AG – HSI R Bull CBBC Apr 2015 F	2014/11/07	2014/11/10
61836	HK Bank – A50 R Bear CBBC Nov 2015 A	2014/11/07	2014/11/10
61768	UBS AG – AIA R Bull CBBC Nov 2014 A	2014/11/07	2014/11/11
61684	HK Bank – Cheung Kong R Bull CBBC Nov 2014 A	2014/11/07	2014/11/11
61701	HK Bank – Lenovo R Bull CBBC Aug 2015 D	2014/11/07	2014/11/10
64515	UBS AG – HKEx R Bull CBBC Nov 2014 A	2014/11/07	2014/11/11
64875	UBS AG – HSBC R Bear CBBC Nov 2014 A	2014/11/07	2014/11/11
62029	Credit Suisse AG – HSI R Bull CBBC Jul 2015 R	2014/11/07	2014/11/10
66144	UBS AG – CC Bank R Bull CBBC Nov 2014 A	2014/11/07	2014/11/11
66919	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15Q	2014/11/07	2014/11/10
62174	Standard Chartered Bank – HSI R Bull CBBC Jul 2015 B	2014/11/07	2014/11/10
61838	HK Bank – HKEx R Bear CBBC Jul 2015 C	2014/11/07	2014/11/10
62042	HK Bank – HSI R Bull CBBC Jul 2015 L	2014/11/07	2014/11/10
62165	Credit Suisse AG – HSI R Bear CBBC Feb 2015 E	2014/11/07	2014/11/10
62173	Credit Suisse AG – HSI R Bear CBBC Feb 2015 F	2014/11/07	2014/11/10
61999	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 H	2014/11/07	2014/11/10
61910	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 G	2014/11/07	2014/11/10
60428	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Nov 2014 A	2014/11/07	2014/11/11
68338	Credit Suisse AG – China Mobile R Bear CBBC Feb 2015 D	2014/11/07	2014/11/10
62206	UBS AG – HSI R Bull CBBC Apr 2015 H	2014/11/07	2014/11/10
60525	UBS AG – HKEx R Bull CBBC Nov 2014 D	2014/11/07	2014/11/11
62074	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 H	2014/11/07	2014/11/10
65158	HK Bank – A50 R Bear CBBC Nov 2014 A	2014/11/07	2014/11/11
60451	HK Bank – HKEx R Bull CBBC Nov 2014 B	2014/11/07	2014/11/11
62030	Credit Suisse AG – HSI R Bull CBBC Apr 2015 S	2014/11/07	2014/11/10
62217	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 A	2014/11/07	2014/11/10
62143	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 K	2014/11/07	2014/11/10
62219	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 O	2014/11/07	2014/11/10
61972	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 W	2014/11/07	2014/11/10
60170	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Apr 2015 B	2014/11/07	2014/11/10
60169	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Apr 2015 A	2014/11/07	2014/11/10
61985	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 I	2014/11/07	2014/11/10
62139	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 S	2014/11/07	2014/11/10
68881	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 F	2014/11/07	2014/11/11
61847	Standard Chartered Bank – HKEx R Bear CBBC Mar 2015 C	2014/11/07	2014/11/10
62162	UBS AG – HSI R Bear CBBC Feb 2015 O	2014/11/07	2014/11/10
62207	UBS AG – HSI R Bull CBBC May 2015 B	2014/11/07	2014/11/10
62100	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 S	2014/11/07	2014/11/10
62208	UBS AG – HSI R Bull CBBC May 2015 C	2014/11/07	2014/11/10
62210	UBS AG – HSI R Bull CBBC Jul 2015 M	2014/11/07	2014/11/10
62213	Credit Suisse AG – HSI R Bull CBBC Jun 2015 K	2014/11/07	2014/11/10
65126	HK Bank – Ping An R Bear CBBC Nov 2014 A	2014/11/07	2014/11/11
62214	Credit Suisse AG – HSI R Bull CBBC Jun 2015 L	2014/11/07	2014/11/10
62215	Credit Suisse AG – HSI R Bull CBBC Jun 2015 E	2014/11/07	2014/11/10

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61937	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 A	2014/11/07	2014/11/10
62115	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/11/07	2014/11/10
62117	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 T	2014/11/07	2014/11/10
63027	HK Bank – Ping An R Bull CBBC Nov 2014 C	2014/11/07	2014/11/11
60550	HK Bank – SHK Ppt R Bear CBBC Oct 2015 B	2014/11/10	2014/11/11
60951	HK Bank – Minsheng Bank R Bear CBBC May 2015 B	2014/11/10	2014/11/11
62283	Credit Suisse AG – HSI R Bear CBBC Feb 2015 V	2014/11/10	2014/11/11
61942	Standard Chartered Bank – Kingsoft R Bear CBBC Nov 2015 A	2014/11/10	2014/11/11
68429	HK Bank – China Mobile R Bear CBBC Jul 2015 H	2014/11/10	2014/11/11
68245	HK Bank – HKEx R Bear CBBC Jun 2015 B	2014/11/10	2014/11/11
61398	HK Bank – CSOP A50 ETF R Bear CBBC Jul 2015 A	2014/11/10	2014/11/11
60147	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 H	2014/11/10	2014/11/11
61984	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 R	2014/11/10	2014/11/11
61837	HK Bank – Kingsoft R Bear CBBC Sep 2015 A	2014/11/10	2014/11/11
62164	Credit Suisse AG – HSI R Bear CBBC Feb 2015 O	2014/11/10	2014/11/11
62161	UBS AG – HSI R Bear CBBC Feb 2015 N	2014/11/10	2014/11/11
67367	Standard Chartered Bank – A50 China R Bull CBBC Nov 2014 A	2014/11/10	2014/11/12
62118	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Y	2014/11/10	2014/11/11
62220	HK Bank – HSI R Bull CBBC Aug 2015 H	2014/11/10	2014/11/11
62212	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/11/10	2014/11/11
62211	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 I	2014/11/10	2014/11/11
62185	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 W	2014/11/10	2014/11/11
62179	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 M	2014/11/10	2014/11/11
62236	HK Bank – Sands China R Bull CBBC Aug 2015 B	2014/11/10	2014/11/11
62176	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 O	2014/11/10	2014/11/11
67371	Standard Chartered Bank – China Life R Bull CBBC Nov 2014 B	2014/11/10	2014/11/12
62277	Credit Suisse AG – HSI R Bear CBBC Feb 2015 A	2014/11/10	2014/11/11
68384	HK Bank – GCL-Poly Energy R Bull CBBC Oct 2015 A	2014/11/10	2014/11/11
62136	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 V	2014/11/10	2014/11/11
62131	UBS AG – HSI R Bear CBBC Feb 2015 S	2014/11/10	2014/11/11
64293	HK Bank – GCL-Poly Energy R Bull CBBC Nov 2015 A	2014/11/10	2014/11/11
62361	Bank of East Asia – GCL-Poly Energy R Bull CBBC Dec 2015 A	2014/11/10	2014/11/11
62102	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 T	2014/11/10	2014/11/11
65265	Credit Suisse AG – HKEx R Bear CBBC Jan 2015 F	2014/11/10	2014/11/11
62178	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 G	2014/11/10	2014/11/11
62279	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/11/10	2014/11/11
62261	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 E	2014/11/10	2014/11/11
62282	UBS AG – HSI R Bear CBBC Mar 2015 X	2014/11/10	2014/11/11
65411	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Mar 2015 C	2014/11/10	2014/11/11
62280	UBS AG – HSI R Bear CBBC Feb 2015 P	2014/11/10	2014/11/11
62267	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 Q	2014/11/10	2014/11/11
62269	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 Z	2014/11/10	2014/11/11
62255	Credit Suisse AG – HSI R Bull CBBC Jun 2015 M	2014/11/10	2014/11/11
62285	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 B	2014/11/10	2014/11/11
62252	UBS AG – HSI R Bull CBBC May 2015 D	2014/11/10	2014/11/11
62028	Credit Suisse AG – HSI R Bear CBBC Feb 2015 G	2014/11/10	2014/11/11
62251	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 J	2014/11/10	2014/11/11
62250	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Y	2014/11/10	2014/11/11
62275	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 Q	2014/11/10	2014/11/11
68155	HK Bank – TCH R Bear CBBC Jul 2015 E	2014/11/10	2014/11/11
62276	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 X	2014/11/10	2014/11/11
60146	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 G	2014/11/11	2014/11/12
65965	HK Bank – TCH R Bear CBBC Jul 2015 D	2014/11/11	2014/11/12
63176	HK Bank – TCH R Bull CBBC Nov 2014 R	2014/11/11	2014/11/13
69256	HK Bank – BOCL R Bear CBBC May 2015 B	2014/11/11	2014/11/12
63994	Bank of East Asia – GCL-Poly Energy R Bull CBBC Nov 2015 A	2014/11/11	2014/11/12
66377	Standard Chartered Bank – Sinopec Corp R Bull CBBC Dec 2014A	2014/11/11	2014/11/12
68240	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Mar 15 B	2014/11/11	2014/11/12
62383	Credit Suisse AG – HSI R Bull CBBC Apr 2015 V	2014/11/11	2014/11/12
63604	UBS AG – GCL-Poly R Bull CBBC Sep 2015 A	2014/11/11	2014/11/12
62382	Credit Suisse AG – HSI R Bull CBBC Apr 2015 U	2014/11/11	2014/11/12
62381	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/11/11	2014/11/12
62372	UBS AG – HSI R Bear CBBC Feb 2015 U	2014/11/11	2014/11/12
62370	UBS AG – HSI R Bull CBBC Apr 2015 I	2014/11/11	2014/11/12
62338	Standard Chartered Bank – PetCh R Bull CBBC May 2015 A	2014/11/11	2014/11/12
62293	HK Bank – HSI R Bull CBBC Aug 2015 I	2014/11/11	2014/11/12
68239	Citigroup Global Mkt H Inc. – CSOP A50 ETF R Bear CBBC Mar15A	2014/11/11	2014/11/12
67442	HK Bank – PetCh R Bull CBBC Jul 2015 A	2014/11/11	2014/11/12
65091	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2014 D	2014/11/11	2014/11/13
66989	HK Bank – Sinopec Corp R Bull CBBC Jun 2015 A	2014/11/11	2014/11/12
63458	Standard Chartered Bank – GCL-Poly Energy R Bull CBBC Dec15A	2014/11/11	2014/11/12
62354	UBS AG – HSI R Bear CBBC Mar 2015 Y	2014/11/12	2014/11/13
62423	Credit Suisse AG – HSI R Bear CBBC Feb 2015 C	2014/11/12	2014/11/13
62425	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/11/12	2014/11/13
62430	UBS AG – HSI R Bear CBBC Mar 2015 Z	2014/11/12	2014/11/13
62431	UBS AG – HSI R Bear CBBC Feb 2015 Z	2014/11/12	2014/11/13
62433	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 C	2014/11/12	2014/11/13
62410	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 B	2014/11/12	2014/11/13
62436	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Q	2014/11/12	2014/11/13
65332	HK Bank – TCH R Bear CBBC Jul 2015 B	2014/11/12	2014/11/13
62337	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 T	2014/11/12	2014/11/13
62316	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 A	2014/11/12	2014/11/13
62297	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 V	2014/11/12	2014/11/13
69128	HK Bank – AlA R Bear CBBC Apr 2015 B	2014/11/12	2014/11/13
62434	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 L	2014/11/12	2014/11/13
62416	UBS AG – HSI R Bull CBBC Jul 2015 O	2014/11/12	2014/11/13
62396	HK Bank – HSI R Bear CBBC Apr 2015 V	2014/11/12	2014/11/13

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62418	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 K	2014/11/12	2014/11/13
60144	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 F	2014/11/12	2014/11/13
66028	Credit Suisse AG – TCH R Bear CBBC Feb 2015 C	2014/11/12	2014/11/13
65404	Standard Chartered Bank – Ping An R Bull CBBC Nov 2014 B	2014/11/12	2014/11/14
62411	Credit Suisse AG – HSI R Bull CBBC Apr 2015 W	2014/11/12	2014/11/13
65885	UBS AG – Tencent R Bear CBBC Mar 2015 E	2014/11/12	2014/11/13
62422	Credit Suisse AG – HSI R Bear CBBC Feb 2015 B	2014/11/12	2014/11/13
62485	Credit Suisse AG – HSI R Bear CBBC Mar 2015 V	2014/11/13	2014/11/14
62441	HK Bank – Galaxy Ent R Bear CBBC Jul 2015 E	2014/11/13	2014/11/14
62325	Credit Suisse AG – HSI R Bear CBBC Mar 2015 S	2014/11/13	2014/11/14
62472	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 P	2014/11/13	2014/11/14
66916	Standard Chartered Bank – PetCh R Bull CBBC Jun 2015 A	2014/11/13	2014/11/14
62483	UBS AG – HSI R Bear CBBC Feb 2015 R	2014/11/13	2014/11/14
62440	HK Bank – HSI R Bear CBBC May 2015 Y	2014/11/13	2014/11/14
67364	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Mar 2015 A	2014/11/13	2014/11/14
68282	Standard Chartered Bank – HKEx R Bear CBBC Mar 2015 B	2014/11/13	2014/11/14
62486	Credit Suisse AG – HSI R Bear CBBC Mar 2015 O	2014/11/13	2014/11/14
62488	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 T	2014/11/13	2014/11/14
62492	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 R	2014/11/13	2014/11/14
62476	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 F	2014/11/13	2014/11/14
65248	Standard Chartered Bank – AIA R Bear CBBC Apr 2015 B	2014/11/13	2014/11/14
62393	HK Bank – HSI R Bear CBBC May 2015 X	2014/11/13	2014/11/14
62428	UBS AG – HSI R Bear CBBC Feb 2015 V	2014/11/13	2014/11/14
60431	Citigroup Global Mkt H Inc. – AIA R Bear CBBC Jan 2015 A	2014/11/13	2014/11/14
61482	HK Bank – Ping An R Bull CBBC Nov 2014 A	2014/11/14	2014/11/18
62517	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 R	2014/11/14	2014/11/17
62573	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 D	2014/11/14	2014/11/17
69600	Standard Chartered Bank – Sands China R Bear CBBC Nov 2014 A	2014/11/14	2014/11/18
66748	HK Bank – TCH R Bear CBBC Nov 2014 G	2014/11/14	2014/11/18
62574	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2015 A	2014/11/14	2014/11/17
67321	HK Bank – Sands China R Bear CBBC Nov 2014 E	2014/11/14	2014/11/18
65331	HK Bank – TCH R Bear CBBC Jun 2015 C	2014/11/14	2014/11/17
62551	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 I	2014/11/14	2014/11/17
69334	UBS AG – HKEx R Bull CBBC Nov 2014 B	2014/11/14	2014/11/18
67463	UBS AG – Hutchison R Bear CBBC Nov 2014 A	2014/11/14	2014/11/18
60452	HK Bank – HKEx R Bull CBBC Nov 2014 C	2014/11/14	2014/11/18
62531	Credit Suisse AG – HSI R Bear CBBC Feb 2015 Q	2014/11/14	2014/11/17
62576	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 M	2014/11/14	2014/11/17
67751	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 E	2014/11/14	2014/11/18
62569	UBS AG – HSI R Bear CBBC Feb 2015 M	2014/11/14	2014/11/17
69038	UBS AG – Petrochina R Bull CBBC Nov 2014 B	2014/11/14	2014/11/18
62532	Credit Suisse AG – HSI R Bear CBBC Feb 2015 E	2014/11/14	2014/11/17
62570	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 U	2014/11/14	2014/11/17
62552	UBS AG – HSI R Bear CBBC Feb 2015 L	2014/11/14	2014/11/17
65412	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 E	2014/11/14	2014/11/17
62571	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 S	2014/11/14	2014/11/17
61330	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Nov 2014 B	2014/11/14	2014/11/18
67716	UBS AG – China Mobile R Bull CBBC Nov 2014 C	2014/11/14	2014/11/18
60424	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Nov 2014 A	2014/11/14	2014/11/18
62584	UBS AG – TCH R Bear CBBC Nov 2014 A	2014/11/14	2014/11/18
65007	UBS AG – Cheung Kong R Bull CBBC Nov 2014 A	2014/11/14	2014/11/18
68623	HK Bank – ICBC R Bull CBBC Nov 2014 A	2014/11/14	2014/11/18
64514	UBS AG – TCH R Bear CBBC Nov 2014 C	2014/11/14	2014/11/18
63802	HK Bank – Cheung Kong R Bull CBBC Nov 2014 B	2014/11/14	2014/11/18
68160	HK Bank – Anhui Conch R Bull CBBC Nov 2014 A	2014/11/14	2014/11/18
66547	UBS AG – China Shenhua R Bear CBBC Nov 2014 A	2014/11/14	2014/11/18
68813	HK Bank – Sands China R Bear CBBC Nov 2014 F	2014/11/14	2014/11/18
61347	HK Bank – CNOOC R Bull CBBC Nov 2014 B	2014/11/14	2014/11/18
61157	UBS AG – Hutchison R Bull CBBC Nov 2014 A	2014/11/14	2014/11/18
65772	HK Bank – TCH R Bear CBBC Nov 2014 E	2014/11/14	2014/11/18
66104	Standard Chartered Bank – TCH R Bear CBBC Apr 2015 A	2014/11/17	2014/11/18
65262	Credit Suisse AG – TCH R Bear CBBC Jan 2015 B	2014/11/17	2014/11/18
62103	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 H	2014/11/17	2014/11/18
62586	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Z	2014/11/17	2014/11/18
62587	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 T	2014/11/17	2014/11/18
62583	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 X	2014/11/17	2014/11/18
67527	Credit Suisse AG – HSI R Bear CBBC Jan 2015 Y	2014/11/17	2014/11/18
67533	UBS AG – HSI R Bear CBBC Dec 2014 V	2014/11/17	2014/11/18
62589	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 U	2014/11/17	2014/11/18
62401	HK Bank – TCH R Bear CBBC Aug 2015 B	2014/11/17	2014/11/18
62631	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 C	2014/11/17	2014/11/18
65057	J P Morgan SP BV – Tencent R Bear CBBC Dec 2014 A	2014/11/17	2014/11/18
67559	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 P	2014/11/17	2014/11/18
68555	Credit Suisse AG – HSI R Bear CBBC Jun 2015 A	2014/11/17	2014/11/18
68557	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2015 C	2014/11/17	2014/11/18
67408	HK Bank – HSI R Bear CBBC Apr 2015 P	2014/11/17	2014/11/18
66921	J P Morgan SP BV – HSI R Bear CBBC Jan 2015 X	2014/11/17	2014/11/18
67395	UBS AG – HSI R Bear CBBC Feb 2015 K	2014/11/17	2014/11/18
67095	Credit Suisse AG – HSI R Bear CBBC Dec 2014 L	2014/11/17	2014/11/18
67090	Credit Suisse AG – HSI R Bear CBBC Dec 2014 G	2014/11/17	2014/11/18
67081	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 L	2014/11/17	2014/11/18
67078	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 K	2014/11/17	2014/11/18
66722	Citigroup Global Mkt H Inc. -Sinopec Corp R Bull CBBC Mar15A	2014/11/17	2014/11/18
67071	UBS AG – HSI R Bear CBBC Jan 2015 E	2014/11/17	2014/11/18
67061	UBS AG – HSI R Bear CBBC Jan 2015 D	2014/11/17	2014/11/18
67033	J P Morgan SP BV – HSI R Bear CBBC Jan 2015 Y	2014/11/17	2014/11/18
66816	Credit Suisse AG – HSI R Bear CBBC Jan 2015 Q	2014/11/17	2014/11/18

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66970	HK Bank – HSI R Bear CBBC Mar 2015 S	2014/11/17	2014/11/18
66592	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Mar15 F	2014/11/17	2014/11/18
66914	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 Q	2014/11/17	2014/11/18
66892	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2015 I	2014/11/17	2014/11/18
66887	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Z	2014/11/17	2014/11/18
66867	UBS AG – HSI R Bear CBBC Dec 2014 Q	2014/11/17	2014/11/18
66864	UBS AG – HSI R Bear CBBC Jan 2015 A	2014/11/17	2014/11/18
66946	Standard Chartered Bank – Ping An R Bull CBBC Nov 2014 C	2014/11/17	2014/11/19
66843	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 J	2014/11/17	2014/11/18
66817	Credit Suisse AG – HSI R Bear CBBC Jan 2015 V	2014/11/17	2014/11/18
62591	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 N	2014/11/17	2014/11/18
62417	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 M	2014/11/17	2014/11/18
63190	HK Bank – TCH R Bear CBBC Jul 2015 A	2014/11/17	2014/11/18
62622	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 E	2014/11/17	2014/11/18
61981	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 I	2014/11/17	2014/11/18
65416	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Jun15A	2014/11/17	2014/11/18
69018	Bank of East Asia – Sinopec Corp R Bull CBBC Feb 2015 A	2014/11/17	2014/11/18
62618	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/11/17	2014/11/18
62620	Credit Suisse AG – HSI R Bear CBBC Feb 2015 G	2014/11/17	2014/11/18
62332	Credit Suisse AG – HSI R Bear CBBC Mar 2015 T	2014/11/17	2014/11/18
62655	Credit Suisse AG – HSI R Bull CBBC Jun 2015 P	2014/11/17	2014/11/18
62621	Credit Suisse AG – HSI R Bear CBBC Feb 2015 O	2014/11/17	2014/11/18
62593	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 S	2014/11/17	2014/11/18
62647	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 E	2014/11/17	2014/11/18
68109	UBS AG – Sinopec Corp R Bull CBBC Oct 2015 B	2014/11/17	2014/11/18
62640	UBS AG – HSI R Bull CBBC Jul 2015 P	2014/11/17	2014/11/18
62624	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 W	2014/11/17	2014/11/18
61078	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 15 B	2014/11/17	2014/11/18
69204	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 E	2014/11/17	2014/11/18
62637	UBS AG – HSI R Bull CBBC Jun 2015 B	2014/11/17	2014/11/18
60585	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 K	2014/11/17	2014/11/18
62630	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 E	2014/11/17	2014/11/18
68981	Standard Chartered Bank – China Mobile R Bull CBBC Nov 2014C	2014/11/17	2014/11/19
62653	Credit Suisse AG – HSI R Bull CBBC Jun 2015 O	2014/11/17	2014/11/18
67901	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 D	2014/11/17	2014/11/18
62385	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 W	2014/11/17	2014/11/18
67402	Credit Suisse AG – HSI R Bear CBBC Dec 2014 S	2014/11/17	2014/11/18
62594	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 R	2014/11/17	2014/11/18
60277	HK Bank – Hutchison R Bear CBBC Jun 2015 A	2014/11/17	2014/11/18
67892	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2015 C	2014/11/17	2014/11/18
62595	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 Q	2014/11/17	2014/11/18
69926	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Mar15B	2014/11/17	2014/11/18
62607	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 R	2014/11/17	2014/11/18
62617	Credit Suisse AG – HSI R Bear CBBC Feb 2015 A	2014/11/17	2014/11/18
62611	UBS AG – HSI R Bear CBBC Feb 2015 T	2014/11/17	2014/11/18
62612	UBS AG – HSI R Bear CBBC Feb 2015 W	2014/11/17	2014/11/18
62613	UBS AG – HSI R Bear CBBC Mar 2015 C	2014/11/17	2014/11/18
61979	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 S	2014/11/17	2014/11/18
62614	UBS AG – HSI R Bear CBBC Mar 2015 H	2014/11/17	2014/11/18
62616	Credit Suisse AG – HSI R Bear CBBC Feb 2015 F	2014/11/17	2014/11/18
62764	HK Bank – HKEx R Bear CBBC Jul 2015 A	2014/11/17	2014/11/18
62599	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 S	2014/11/17	2014/11/18
62514	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 V	2014/11/18	2014/11/19
62523	UBS AG – HSI R Bull CBBC Jun 2015 C	2014/11/18	2014/11/19
62529	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/11/18	2014/11/19
62508	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 D	2014/11/18	2014/11/19
62527	Credit Suisse AG – HSI R Bull CBBC May 2015 E	2014/11/18	2014/11/19
62518	UBS AG – HSI R Bull CBBC May 2015 E	2014/11/18	2014/11/19
64076	HK Bank – PetCh R Bull CBBC Jun 2015 A	2014/11/18	2014/11/19
62636	UBS AG – HSI R Bull CBBC Apr 2015 K	2014/11/18	2014/11/19
62623	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 P	2014/11/18	2014/11/19
62656	Credit Suisse AG – HSI R Bull CBBC Jun 2015 Q	2014/11/18	2014/11/19
62662	Credit Suisse AG – HSI R Bull CBBC Jun 2015 R	2014/11/18	2014/11/19
62663	HK Bank – HSI R Bull CBBC Oct 2015 B	2014/11/18	2014/11/19
62669	HK Bank – HKEx R Bull CBBC Sep 2015 C	2014/11/18	2014/11/19
62718	UBS AG – HSI R Bear CBBC Mar 2015 G	2014/11/18	2014/11/19
62785	UBS AG – HSI R Bull CBBC May 2015 I	2014/11/18	2014/11/19
62745	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 G	2014/11/18	2014/11/19
62794	Credit Suisse AG – HSI R Bull CBBC Apr 2015 C	2014/11/18	2014/11/19
62746	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 H	2014/11/18	2014/11/19
62770	UBS AG – Petrochina R Bull CBBC Jun 2015 B	2014/11/18	2014/11/19
62777	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 F	2014/11/18	2014/11/19
62590	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 C	2014/11/18	2014/11/19
62501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Q	2014/11/18	2014/11/19
62783	UBS AG – HSI R Bull CBBC May 2015 G	2014/11/18	2014/11/19
62786	UBS AG – HSI R Bull CBBC Jun 2015 D	2014/11/18	2014/11/19
62792	Credit Suisse AG – HSI R Bull CBBC Apr 2015 A	2014/11/18	2014/11/19
62740	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/11/18	2014/11/19
61500	UBS AG – CNOOC R Bull CBBC Jul 2015 A	2014/11/18	2014/11/19
62374	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 C	2014/11/18	2014/11/19
62439	HK Bank – HSI R Bull CBBC Apr 2015 F	2014/11/18	2014/11/19
62435	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 D	2014/11/18	2014/11/19
62421	Credit Suisse AG – HSI R Bull CBBC Apr 2015 Y	2014/11/18	2014/11/19
60235	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Feb 2015 A	2014/11/18	2014/11/19
62415	UBS AG – HSI R Bull CBBC Jul 2015 N	2014/11/18	2014/11/19
62468	UBS AG – HSI R Bull CBBC Apr 2015 J	2014/11/18	2014/11/19
62409	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 A	2014/11/18	2014/11/19

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62257	Credit Suisse AG – HSI R Bull CBBC Jun 2015 N	2014/11/18	2014/11/19
62334	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 F	2014/11/18	2014/11/19
62350	Standard Chartered Bank – CNOOC R Bull CBBC Apr 2015 A	2014/11/18	2014/11/19
62499	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 P	2014/11/18	2014/11/19
62389	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 L	2014/11/18	2014/11/19
62054	HK Bank – HSCEI R Bull CBBC Jun 2015 D	2014/11/18	2014/11/19
69601	Standard Chartered Bank – TCH R Bull CBBC Nov 2014 F	2014/11/18	2014/11/20
62225	HK Bank – HSI R Bull CBBC Jun 2015 P	2014/11/18	2014/11/19
62413	Credit Suisse AG – HSI R Bull CBBC Apr 2015 X	2014/11/18	2014/11/19
62469	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/11/18	2014/11/19
62494	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 O	2014/11/18	2014/11/19
62487	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 A	2014/11/18	2014/11/19
62493	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 N	2014/11/18	2014/11/19
62227	HK Bank – HSI R Bull CBBC Jun 2015 Q	2014/11/18	2014/11/19
63050	Standard Chartered Bank – China Mobile R Bull CBBC Nov 2014D	2014/11/18	2014/11/20
61658	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 U	2014/11/19	2014/11/20
61991	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 T	2014/11/19	2014/11/20
62860	UBS AG – HSI R Bull CBBC May 2015 J	2014/11/19	2014/11/20
62863	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 V	2014/11/19	2014/11/20
62851	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 G	2014/11/19	2014/11/20
62701	HK Bank – China Mobile R Bull CBBC Oct 2015 B	2014/11/19	2014/11/20
61690	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 I	2014/11/19	2014/11/20
61675	UBS AG – HSI R Bull CBBC Jun 2015 V	2014/11/19	2014/11/20
61673	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 X	2014/11/19	2014/11/20
62513	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 U	2014/11/19	2014/11/20
61697	HK Bank – HSI R Bull CBBC Jun 2015 O	2014/11/19	2014/11/20
61887	Credit Suisse AG – HSI R Bull CBBC May 2015 X	2014/11/19	2014/11/20
62772	UBS AG – HKEx R Bull CBBC Nov 2015 A	2014/11/19	2014/11/20
62380	Credit Suisse AG – China Mobile R Bull CBBC Feb 2015 D	2014/11/19	2014/11/20
62099	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 R	2014/11/19	2014/11/20
62246	HK Bank – China Shenhua R Bull CBBC Sep 2015 A	2014/11/19	2014/11/20
61682	Credit Suisse AG – HSI R Bull CBBC Jun 2015 I	2014/11/19	2014/11/20
61731	Credit Suisse AG – HSI R Bull CBBC Apr 2015 P	2014/11/19	2014/11/20
62253	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2015 F	2014/11/19	2014/11/20
62826	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 X	2014/11/19	2014/11/20
62670	HK Bank – A50 R Bull CBBC Aug 2015 A	2014/11/19	2014/11/20
62668	HK Bank – HKEx R Bull CBBC Sep 2015 B	2014/11/19	2014/11/20
62632	UBS AG – HSI R Bull CBBC May 2015 F	2014/11/19	2014/11/20
61404	HK Bank – CNOOC R Bull CBBC Jul 2015 D	2014/11/19	2014/11/20
62857	Credit Suisse AG – HSI R Bull CBBC May 2015 Y	2014/11/19	2014/11/20
62858	Credit Suisse AG – HSI R Bull CBBC May 2015 Z	2014/11/19	2014/11/20
62859	UBS AG – HSI R Bull CBBC Jul 2015 Q	2014/11/19	2014/11/20
61683	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 M	2014/11/19	2014/11/20
62665	HK Bank – TCH R Bull CBBC Aug 2015 C	2014/11/19	2014/11/20
62850	UBS AG – HSCEI R Bull CBBC Aug 2015 A	2014/11/19	2014/11/20
61723	UBS AG – HSI R Bull CBBC Apr 2015 A	2014/11/19	2014/11/20
62530	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/11/19	2014/11/20
62550	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 E	2014/11/19	2014/11/20
62526	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 B	2014/11/19	2014/11/20
63052	Standard Chartered Bank – China Mobile R Bull CBBC Nov 2014E	2014/11/19	2014/11/21
68054	Bank of East Asia – ICBC R Bull CBBC Nov 2014 A	2014/11/20	2014/11/24
61725	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 Z	2014/11/20	2014/11/21
61729	Standard Chartered Bank – HKEx R Bull CBBC May 2015 A	2014/11/20	2014/11/21
61722	UBS AG – HSI R Bull CBBC May 2015 X	2014/11/20	2014/11/21
69585	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Nov 2014 C	2014/11/20	2014/11/24
61780	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 F	2014/11/20	2014/11/21
61778	UBS AG – HSI R Bull CBBC Apr 2015 D	2014/11/20	2014/11/21
61681	Credit Suisse AG – HSI R Bull CBBC Jun 2015 H	2014/11/20	2014/11/21
61782	Credit Suisse AG – HSI R Bull CBBC May 2015 V	2014/11/20	2014/11/21
61740	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 N	2014/11/20	2014/11/21
62652	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2015 D	2014/11/20	2014/11/21
61761	UBS AG – HSI R Bull CBBC Apr 2015 B	2014/11/20	2014/11/21
62917	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 F	2014/11/20	2014/11/24
61736	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 Q	2014/11/20	2014/11/21
61758	Credit Suisse AG – HSI R Bull CBBC May 2015 T	2014/11/20	2014/11/21
61665	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 J	2014/11/20	2014/11/21
62802	HK Bank – A50 R Bull CBBC Aug 2015 B	2014/11/20	2014/11/21
62974	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 T	2014/11/20	2014/11/21
62952	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 J	2014/11/20	2014/11/21
62951	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 W	2014/11/20	2014/11/21
61773	HK Bank – HKEx R Bull CBBC Jun 2015 E	2014/11/20	2014/11/21
69362	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Jan 2015 A	2014/11/20	2014/11/21
62941	UBS AG – HKEx R Bull CBBC Oct 2015 D	2014/11/20	2014/11/21
62888	Credit Suisse AG – HSI R Bull CBBC Jun 2015 S	2014/11/20	2014/11/21
62369	UBS AG – HKEx R Bull CBBC Sep 2015 H	2014/11/20	2014/11/21
62865	Credit Suisse AG – HSI R Bull CBBC Jul 2015 S	2014/11/20	2014/11/21
62947	Credit Suisse AG – HSI R Bull CBBC Jun 2015 T	2014/11/20	2014/11/21
62975	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 W	2014/11/20	2014/11/21
68761	Bank of East Asia – A50 China R Bull CBBC Nov 2014 A	2014/11/20	2014/11/24
62065	HK Bank – Ping An R Bull CBBC Jul 2015 C	2014/11/20	2014/11/21
62946	UBS AG – HSI R Bull CBBC Jun 2015 A	2014/11/20	2014/11/21
69588	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Nov 2014 D	2014/11/20	2014/11/24
62924	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2015 F	2014/11/20	2014/11/21
61774	HK Bank – HSI R Bull CBBC Aug 2015 G	2014/11/20	2014/11/21
60899	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 D	2014/11/21	2014/11/25
66474	HK Bank – China Shenhua R Bear CBBC Nov 2014 A	2014/11/21	2014/11/25
68638	HK Bank – AIA R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61319	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25
66582	HK Bank – CNBM R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25
60013	HK Bank – CM Bank R Bear CBBC Nov 2014 A	2014/11/21	2014/11/25
66655	HK Bank – HKEx R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25
61293	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Nov14A	2014/11/21	2014/11/25
60117	HK Bank – China Life R Bear CBBC Nov 2014 A	2014/11/21	2014/11/25
61321	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Nov14A	2014/11/21	2014/11/25
68671	UBS AG – Anhui Conch R Bull CBBC Dec 2014 C	2014/11/21	2014/11/24
62976	HK Bank – HSI R Bull CBBC Sep 2015 D	2014/11/21	2014/11/24
62980	HK Bank – TCH R Bull CBBC Jun 2015 N	2014/11/21	2014/11/24
62997	HK Bank – HKEx R Bull CBBC Sep 2015 D	2014/11/21	2014/11/24
61678	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25
62998	HK Bank – BOCL R Bull CBBC Jul 2015 D	2014/11/21	2014/11/24
63000	HK Bank – TCH R Bull CBBC Aug 2015 E	2014/11/21	2014/11/24
63001	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 E	2014/11/21	2014/11/24
63089	UBS AG – HSI R Bull CBBC Jul 2015 R	2014/11/21	2014/11/24
69532	HK Bank – CNBM R Bear CBBC Nov 2014 A	2014/11/21	2014/11/25
67701	UBS AG – TCH R Bear CBBC Nov 2014 E	2014/11/21	2014/11/25
63038	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 K	2014/11/21	2014/11/24
63076	Standard Chartered Bank – HKEx R Bull CBBC Aug 2015 B	2014/11/21	2014/11/24
63086	Credit Suisse AG – HSI R Bull CBBC Apr 2015 D	2014/11/21	2014/11/24
62977	HK Bank – HSI R Bull CBBC Oct 2015 C	2014/11/21	2014/11/24
63087	Credit Suisse AG – HSI R Bull CBBC Apr 2015 E	2014/11/21	2014/11/24
63036	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 O	2014/11/21	2014/11/24
63091	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 X	2014/11/21	2014/11/24
63021	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 F	2014/11/21	2014/11/24
69296	UBS AG – China Shenhua R Bull CBBC Aug 2015 A	2014/11/21	2014/11/24
63187	HK Bank – Sands China R Bear CBBC Nov 2014 G	2014/11/21	2014/11/25
64827	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25
67362	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 C	2014/11/21	2014/11/25
60397	UBS AG – HKEx R Bull CBBC Nov 2014 C	2014/11/21	2014/11/25
69671	HK Bank – CC Bank R Bull CBBC Nov 2014 A	2014/11/21	2014/11/25
69658	HK Bank – ICBC R Bull CBBC Nov 2014 B	2014/11/21	2014/11/25
64454	HK Bank – Hutchison R Bull CBBC Nov 2014 B	2014/11/21	2014/11/25
68006	HK Bank – Minsheng Bank R Bull CBBC Nov 2014 C	2014/11/21	2014/11/25
61505	UBS AG – CNOOC R Bull CBBC Nov 2014 B	2014/11/21	2014/11/25
63638	HK Bank – CNOOC R Bear CBBC Nov 2014 A	2014/11/21	2014/11/25
62711	HK Bank – TCH R Bear CBBC Nov 2014 D	2014/11/21	2014/11/25
66813	HK Bank – Hutchison R Bear CBBC Nov 2014 B	2014/11/21	2014/11/25
61333	HK Bank – PetCh R Bull CBBC Nov 2014 B	2014/11/21	2014/11/25
62714	UBS AG – HSI R Bear CBBC Feb 2015 Q	2014/11/24	2014/11/25
62819	UBS AG – HSI R Bear CBBC Feb 2015 E	2014/11/24	2014/11/25
63097	HK Bank – TCH R Bull CBBC Aug 2015 F	2014/11/24	2014/11/25
63272	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 C	2014/11/24	2014/11/25
63218	Credit Suisse AG – HSI R Bear CBBC Feb 2015 F	2014/11/24	2014/11/25
62854	Credit Suisse AG – HSI R Bear CBBC Feb 2015 B	2014/11/24	2014/11/25
63219	Credit Suisse AG – HSI R Bear CBBC Feb 2015 G	2014/11/24	2014/11/25
63109	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 Q	2014/11/24	2014/11/25
63232	UBS AG – HSI R Bear CBBC Feb 2015 M	2014/11/24	2014/11/25
63270	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 O	2014/11/24	2014/11/25
63238	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 G	2014/11/24	2014/11/25
62943	UBS AG – HSI R Bear CBBC Mar 2015 N	2014/11/24	2014/11/25
62944	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/11/24	2014/11/25
62704	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 V	2014/11/24	2014/11/25
67622	HK Bank – China Life R Bear CBBC May 2015 A	2014/11/24	2014/11/25
62739	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 15T	2014/11/24	2014/11/25
63267	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Q	2014/11/24	2014/11/25
63114	UBS AG – HSI R Bull CBBC Apr 2015 L	2014/11/24	2014/11/25
63113	UBS AG – HSI R Bull CBBC May 2015 H	2014/11/24	2014/11/25
63120	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 U	2014/11/24	2014/11/25
63119	Credit Suisse AG – HSI R Bull CBBC Apr 2015 B	2014/11/24	2014/11/25
62853	Credit Suisse AG – HSI R Bear CBBC Feb 2015 V	2014/11/24	2014/11/25
63139	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 Y	2014/11/24	2014/11/25
63115	Credit Suisse AG – HSI R Bull CBBC Apr 2015 Z	2014/11/24	2014/11/25
63481	UBS AG – HSI R Bear CBBC Feb 2015 T	2014/11/25	2014/11/26
63489	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 X	2014/11/25	2014/11/26
63475	UBS AG – HSI R Bear CBBC Mar 2015 Q	2014/11/25	2014/11/26
63388	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 D	2014/11/25	2014/11/26
63464	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Q	2014/11/25	2014/11/26
63467	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/11/25	2014/11/26
63496	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 H	2014/11/25	2014/11/26
63478	UBS AG – HSI R Bear CBBC Feb 2015 K	2014/11/25	2014/11/26
66631	HK Bank – TCH R Bear CBBC Nov 2014 F	2014/11/25	2014/11/27
63468	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Y	2014/11/25	2014/11/26
63469	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/11/25	2014/11/26
63421	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 U	2014/11/25	2014/11/26
63367	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 R	2014/11/25	2014/11/26
63342	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 Q	2014/11/25	2014/11/26
63472	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 L	2014/11/25	2014/11/26
63368	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 S	2014/11/25	2014/11/26
63276	HK Bank – HSI R Bear CBBC Jun 2015 E	2014/11/25	2014/11/26
67200	UBS AG – HSI R Bear CBBC Nov 2014 A	2014/11/26	2014/11/28
60553	Standard Chartered Bank – A50 China R Bear CBBC Dec 2014 A	2014/11/26	2014/11/27
63185	Credit Suisse AG – HSI R Bear CBBC Feb 2015 A	2014/11/26	2014/11/27
67202	UBS AG – HSI R Bull CBBC Nov 2014 A	2014/11/26	2014/11/28
63208	Credit Suisse AG – HSI R Bear CBBC Feb 2015 D	2014/11/26	2014/11/27
63611	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 W	2014/11/26	2014/11/27

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63152	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb15 O	2014/11/26	2014/11/27
68716	Credit Suisse AG – HSI R Bull CBBC Nov 2014 U	2014/11/26	2014/11/28
69798	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2014 J	2014/11/26	2014/11/28
63150	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 U	2014/11/26	2014/11/27
63712	Credit Suisse AG – HSI R Bear CBBC Feb 2015 O	2014/11/26	2014/11/27
63711	Credit Suisse AG – HSI R Bear CBBC Apr 2015 T	2014/11/26	2014/11/27
69852	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 I	2014/11/26	2014/11/28
63678	Credit Suisse AG – HSI R Bear CBBC Apr 2015 S	2014/11/26	2014/11/27
69855	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 J	2014/11/26	2014/11/28
63639	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 X	2014/11/26	2014/11/27
63661	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 V	2014/11/26	2014/11/27
68673	Credit Suisse AG – HSI R Bull CBBC Nov 2014 C	2014/11/26	2014/11/28
60047	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 K	2014/11/26	2014/11/28
63266	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 K	2014/11/26	2014/11/27
63718	Credit Suisse AG – HSI R Bear CBBC Apr 2015 V	2014/11/26	2014/11/27
63231	UBS AG – HSI R Bear CBBC Mar 2015 I	2014/11/26	2014/11/27
63645	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2015 A	2014/11/26	2014/11/27
63698	Credit Suisse AG – HSI R Bear CBBC Mar 2015 T	2014/11/26	2014/11/27
61382	UBS AG – HSI R Bear CBBC Nov 2014 I	2014/11/26	2014/11/28
63134	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 T	2014/11/26	2014/11/27
61256	UBS AG – HSI R Bull CBBC Nov 2014 W	2014/11/26	2014/11/28
68718	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Z	2014/11/26	2014/11/28
61007	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 M	2014/11/26	2014/11/28
63275	HK Bank – HSI R Bear CBBC Jul 2015 F	2014/11/26	2014/11/27
63506	HK Bank – HSCEI R Bear CBBC Jul 2015 B	2014/11/26	2014/11/27
67867	Credit Suisse AG – HSI R Bull CBBC Nov 2014 K	2014/11/26	2014/11/28
63500	HK Bank – HSI R Bear CBBC Jun 2015 F	2014/11/26	2014/11/27
61355	UBS AG – HSI R Bull CBBC Nov 2014 X	2014/11/26	2014/11/28
61003	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 L	2014/11/26	2014/11/28
63517	HK Bank – HSI R Bear CBBC Aug 2015 B	2014/11/26	2014/11/27
61556	HK Bank – HSI R Bull CBBC Nov 2014 C	2014/11/26	2014/11/28
63269	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 U	2014/11/26	2014/11/27
63309	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 R	2014/11/26	2014/11/27
68852	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2014 B	2014/11/26	2014/11/28
63349	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 O	2014/11/26	2014/11/27
63498	HK Bank – HSI R Bear CBBC Jul 2015 G	2014/11/26	2014/11/27
68719	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 I	2014/11/26	2014/11/28
60802	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Feb 2015 A	2014/11/26	2014/11/27
63443	Credit Suisse AG – HSI R Bear CBBC Mar 2015 N	2014/11/26	2014/11/27
61340	HK Bank – HSI R Bull CBBC Nov 2014 B	2014/11/26	2014/11/28
62365	HK Bank – HSI R Bull CBBC Nov 2014 F	2014/11/26	2014/11/28
62657	Citigroup Global Mkt H Inc. – China Life R Bear CBBC Dec14 A	2014/11/26	2014/11/27
63731	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 Q	2014/11/26	2014/11/27
63747	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 M	2014/11/26	2014/11/27
63606	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 V	2014/11/26	2014/11/27
63749	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 W	2014/11/26	2014/11/27
69736	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2014 H	2014/11/26	2014/11/28
67866	Credit Suisse AG – HSI R Bull CBBC Nov 2014 L	2014/11/26	2014/11/28
63563	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 O	2014/11/26	2014/11/27
63757	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 T	2014/11/26	2014/11/27
61059	HK Bank – HSCEI R Bear CBBC Nov 2014 A	2014/11/26	2014/11/28
63560	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 H	2014/11/26	2014/11/27
60545	Standard Chartered Bank – CSOP A50 ETF R Bear CBBC Feb 2015 A	2014/11/26	2014/11/27
63473	UBS AG – HSI R Bear CBBC Mar 2015 P	2014/11/26	2014/11/27
68674	Standard Chartered Bank – Minsheng Bank R Bull CBBC Nov 14 A	2014/11/26	2014/11/28
63789	UBS AG – HSI R Bear CBBC Mar 2015 T	2014/11/26	2014/11/27
61522	UBS AG – HSI R Bull CBBC Nov 2014 Y	2014/11/26	2014/11/28
63793	UBS AG – HSI R Bear CBBC Mar 2015 W	2014/11/26	2014/11/27
63803	UBS AG – HSI R Bear CBBC Feb 2015 W	2014/11/26	2014/11/27
69500	Credit Suisse AG – A50 China R Bear CBBC Dec 2014 A	2014/11/26	2014/11/27
63524	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 P	2014/11/26	2014/11/27
63780	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 T	2014/11/26	2014/11/27
68733	UBS AG – HSI R Bull CBBC Nov 2014 G	2014/11/26	2014/11/28
68599	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 V	2014/11/26	2014/11/28
63249	Credit Suisse AG – HSI R Bull CBBC Nov 2014 E	2014/11/26	2014/11/28
63247	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Q	2014/11/26	2014/11/28
63245	Credit Suisse AG – HSI R Bull CBBC Nov 2014 R	2014/11/26	2014/11/28
63242	Credit Suisse AG – HSI R Bull CBBC Nov 2014 H	2014/11/26	2014/11/28
63792	HK Bank – HSI R Bull CBBC Nov 2014 G	2014/11/26	2014/11/28
62466	Standard Chartered Bank – China Life R Bear CBBC Apr 2015 A	2014/11/26	2014/11/27
65000	Credit Suisse AG – HSI R Bear CBBC Nov 2014 N	2014/11/26	2014/11/28
60478	UBS AG – China Life R Bear CBBC Dec 2014 A	2014/11/26	2014/11/27
60436	UBS AG – HSI R Bear CBBC Nov 2014 B	2014/11/26	2014/11/28
69830	Credit Suisse AG – HSI R Bull CBBC Nov 2014 P	2014/11/26	2014/11/28
67292	HK Bank – HSCEI R Bull CBBC Nov 2014 C	2014/11/26	2014/11/28
68519	UBS AG – HSI R Bull CBBC Nov 2014 F	2014/11/26	2014/11/28
63835	UBS AG – HSI R Bull CBBC Nov 2014 V	2014/11/26	2014/11/28
63212	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 P	2014/11/26	2014/11/28
64629	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 Q	2014/11/26	2014/11/28
63801	HK Bank – HSI R Bull CBBC Nov 2014 I	2014/11/26	2014/11/28
64600	UBS AG – HSI R Bear CBBC Nov 2014 P	2014/11/26	2014/11/28
69144	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 R	2014/11/26	2014/11/28
64601	UBS AG – HSI R Bear CBBC Nov 2014 Q	2014/11/26	2014/11/28
64603	UBS AG – HSI R Bear CBBC Nov 2014 R	2014/11/26	2014/11/28
63379	UBS AG – HSI R Bull CBBC Nov 2014 O	2014/11/26	2014/11/28
64612	UBS AG – HSI R Bear CBBC Nov 2014 D	2014/11/26	2014/11/28
69058	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 H	2014/11/26	2014/11/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63778	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 T	2014/11/26	2014/11/28
63766	UBS AG – HSI R Bull CBBC Nov 2014 S	2014/11/26	2014/11/28
63649	UBS AG – HSI R Bull CBBC Nov 2014 P	2014/11/26	2014/11/28
68728	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14Q	2014/11/26	2014/11/28
63553	HK Bank – HSI R Bull CBBC Nov 2014 Z	2014/11/26	2014/11/28
63548	HK Bank – HSI R Bull CBBC Nov 2014 Y	2014/11/26	2014/11/28
64607	UBS AG – HSI R Bear CBBC Nov 2014 S	2014/11/26	2014/11/28
66971	HK Bank – HSCEI R Bear CBBC Apr 2015 B	2014/11/26	2014/11/27
69313	HK Bank – HSI R Bull CBBC Nov 2014 Q	2014/11/26	2014/11/28
68855	Credit Suisse AG – HSI R Bull CBBC Nov 2014 A	2014/11/26	2014/11/28
68829	Standard Chartered Bank – ICBC R Bull CBBC Nov 2014 A	2014/11/26	2014/11/28
68270	UBS AG – HSI R Bull CBBC Nov 2014 E	2014/11/26	2014/11/28
66361	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Apr15B	2014/11/26	2014/11/27
68857	Credit Suisse AG – HSI R Bull CBBC Nov 2014 T	2014/11/26	2014/11/28
66182	HK Bank – HSI R Bull CBBC Nov 2014 A	2014/11/26	2014/11/28
68858	Credit Suisse AG – HSI R Bull CBBC Nov 2014 G	2014/11/26	2014/11/28
65877	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 B	2014/11/26	2014/11/28
65746	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2014 A	2014/11/26	2014/11/27
68052	UBS AG – HSCEI R Bear CBBC Jan 2015 D	2014/11/26	2014/11/27
68103	UBS AG – A50 China R Bear CBBC Feb 2015 A	2014/11/26	2014/11/27
68106	UBS AG – CSOP A50 ETF R Bear CBBC Jan 2015 A	2014/11/26	2014/11/27
68154	HK Bank – A50 R Bear CBBC Sep 2015 A	2014/11/26	2014/11/27
68388	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Apr 2015 B	2014/11/26	2014/11/27
68903	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 W	2014/11/26	2014/11/28
68972	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14Z	2014/11/26	2014/11/28
68951	HK Bank – HSCEI R Bull CBBC Nov 2014 D	2014/11/26	2014/11/28
68949	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 G	2014/11/26	2014/11/28
68941	UBS AG – HSI R Bull CBBC Nov 2014 B	2014/11/26	2014/11/28
65627	HK Bank – China Life R Bear CBBC Jul 2015 A	2014/11/26	2014/11/27
68856	Credit Suisse AG – HSI R Bull CBBC Nov 2014 J	2014/11/26	2014/11/28
68917	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 V	2014/11/26	2014/11/28
64902	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 F	2014/11/26	2014/11/28
68893	Credit Suisse AG – HSI R Bull CBBC Nov 2014 S	2014/11/26	2014/11/28
68873	HK Bank – HSI R Bull CBBC Nov 2014 M	2014/11/26	2014/11/28
68869	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 F	2014/11/26	2014/11/28
68866	UBS AG – HSI R Bull CBBC Nov 2014 K	2014/11/26	2014/11/28
68860	UBS AG – HSI R Bull CBBC Nov 2014 H	2014/11/26	2014/11/28
68859	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14U	2014/11/26	2014/11/28
68918	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov14 X	2014/11/26	2014/11/28
62942	UBS AG – HSI R Bear CBBC Feb 2015 U	2014/11/26	2014/11/27
68437	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14G	2014/11/26	2014/11/28
68450	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14F	2014/11/26	2014/11/28
68455	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14I	2014/11/26	2014/11/28
68465	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14K	2014/11/26	2014/11/28
68474	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14L	2014/11/26	2014/11/28
68475	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14M	2014/11/26	2014/11/28
68477	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14N	2014/11/26	2014/11/28
68478	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14P	2014/11/26	2014/11/28
62827	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 V	2014/11/26	2014/11/27
62844	UBS AG – HSI R Bear CBBC Feb 2015 N	2014/11/26	2014/11/27
63393	UBS AG – HSI R Bull CBBC Nov 2014 D	2014/11/26	2014/11/28
60243	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14S	2014/11/26	2014/11/28
60245	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14E	2014/11/26	2014/11/28
64256	SGA Societe Generale Acceptance NV-Lenovo R Bull CBBC Nov14A	2014/11/26	2014/11/28
60842	UBS AG – HSI R Bull CBBC Nov 2014 T	2014/11/26	2014/11/28
61013	UBS AG – HSCEI R Bull CBBC Nov 2014 C	2014/11/26	2014/11/28
61009	UBS AG – HSCEI R Bull CBBC Nov 2014 B	2014/11/26	2014/11/28
60950	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 K	2014/11/26	2014/11/28
60943	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 L	2014/11/26	2014/11/28
60928	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 N	2014/11/26	2014/11/28
62856	Credit Suisse AG – HSI R Bear CBBC Feb 2015 C	2014/11/26	2014/11/27
67865	Credit Suisse AG – HSI R Bull CBBC Nov 2014 I	2014/11/26	2014/11/28
62861	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 E	2014/11/26	2014/11/27
60713	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 D	2014/11/26	2014/11/28
63084	Credit Suisse AG – HSI R Bear CBBC Feb 2015 P	2014/11/26	2014/11/27
63056	UBS AG – HSI R Bear CBBC Feb 2015 Z	2014/11/26	2014/11/27
60399	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 A	2014/11/26	2014/11/28
62945	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Z	2014/11/26	2014/11/27
62828	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 W	2014/11/26	2014/11/27
63106	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Y	2014/11/26	2014/11/27
64033	SGA Societe Generale Acceptance N.V.-Sands R Bear CBBC Nov14A	2014/11/26	2014/11/28
64286	UBS AG – HSI R Bear CBBC Nov 2014 Z	2014/11/26	2014/11/28
63983	UBS AG – HSI R Bull CBBC Nov 2014 C	2014/11/26	2014/11/28
60069	UBS AG – HSI R Bull CBBC Nov 2014 Q	2014/11/26	2014/11/28
60027	Credit Suisse AG – HSI R Bull CBBC Nov 2014 M	2014/11/26	2014/11/28
63942	Credit Suisse AG – HSI R Bull CBBC Nov 2014 Y	2014/11/26	2014/11/28
60080	UBS AG – HSI R Bull CBBC Nov 2014 R	2014/11/26	2014/11/28
64261	UBS AG – HSI R Bear CBBC Nov 2014 N	2014/11/26	2014/11/28
62741	Credit Suisse AG – HSI R Bear CBBC Mar 2015 W	2014/11/26	2014/11/27
64056	Credit Suisse AG – HSI R Bear CBBC Nov 2014 Y	2014/11/26	2014/11/28
62706	UBS AG – HSI R Bear CBBC Feb 2015 C	2014/11/26	2014/11/27
64296	UBS AG – HSI R Bear CBBC Nov 2014 H	2014/11/26	2014/11/28
64338	UBS AG – HSI R Bear CBBC Nov 2014 M	2014/11/26	2014/11/28
63892	J P Morgan SP BV – HSI R Bear CBBC Nov 2014 N	2014/11/26	2014/11/28
64326	UBS AG – HSI R Bear CBBC Nov 2014 F	2014/11/26	2014/11/28
62782	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 B	2014/11/26	2014/11/27
60199	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 C	2014/11/26	2014/11/28

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60242	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 14R	2014/11/26	2014/11/28
64337	UBS AG – HSI R Bear CBBC Nov 2014 L	2014/11/26	2014/11/28
64298	UBS AG – HSI R Bear CBBC Nov 2014 O	2014/11/26	2014/11/28
64154	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 O	2014/11/26	2014/11/28
63897	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 E	2014/11/26	2014/11/28
68666	HK Bank – HSI R Bull CBBC Nov 2014 L	2014/11/26	2014/11/28
63946	Credit Suisse AG – HSI R Bull CBBC Nov 2014 B	2014/11/26	2014/11/28
63552	Credit Suisse AG – HSI R Bear CBBC Jun 2015 D	2014/11/27	2014/11/28
64008	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 Z	2014/11/27	2014/11/28
63258	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 J	2014/11/27	2014/11/28
63222	UBS AG – HSI R Bear CBBC Feb 2015 L	2014/11/27	2014/11/28
63239	Standard Chartered Bank – HSI R Bear CBBC Feb 2015 J	2014/11/27	2014/11/28
68252	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Nov14C	2014/11/27	2014/12/01
68248	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Nov14B	2014/11/27	2014/12/01
69655	Citigroup Global Mkt H Inc. – Cheung Kong R Bear CBBC Nov14A	2014/11/27	2014/12/01
68438	HK Bank – Cheung Kong R Bear CBBC Jul 2015 B	2014/11/27	2014/11/28
64000	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 Y	2014/11/27	2014/11/28
63085	Credit Suisse AG – HSI R Bear CBBC Feb 2015 E	2014/11/27	2014/11/28
66922	HK Bank – HSCEI R Bear CBBC May 2015 D	2014/11/27	2014/11/28
63515	HK Bank – HSI R Bear CBBC Jul 2015 H	2014/11/27	2014/11/28
69923	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jun15A	2014/11/27	2014/11/28
66309	HK Bank – A50 R Bull CBBC Nov 2014 E	2014/11/27	2014/12/01
63895	HK Bank – HSI R Bear CBBC Aug 2015 C	2014/11/27	2014/11/28
69096	Credit Suisse AG – TCH R Bull CBBC Nov 2014 B	2014/11/27	2014/12/01
69095	Credit Suisse AG – TCH R Bull CBBC Nov 2014 A	2014/11/27	2014/12/01
60834	HK Bank – ICBC R Bear CBBC May 2015 A	2014/11/27	2014/11/28
61077	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Apr15B	2014/11/27	2014/11/28
69583	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Nov 2014 B	2014/11/27	2014/12/01
63975	UBS AG – HSI R Bear CBBC Mar 2015 X	2014/11/27	2014/11/28
62658	Citigroup Global Mkt H Inc. – China Life R Bear CBBC Dec14 B	2014/11/27	2014/11/28
63980	UBS AG – HSI R Bear CBBC Mar 2015 Y	2014/11/27	2014/11/28
64127	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Apr15A	2014/11/27	2014/11/28
63314	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 S	2014/11/27	2014/11/28
63876	HK Bank – HSI R Bear CBBC Sep 2015 A	2014/11/27	2014/11/28
63896	HK Bank – HSI R Bear CBBC Sep 2015 B	2014/11/27	2014/11/28
63131	HK Bank – Galaxy Ent R Bear CBBC Nov 2014 G	2014/11/27	2014/12/01
69657	Citigroup Global Mkt H Inc. – Cheung Kong R Bull CBBC Nov14A	2014/11/27	2014/12/01
63903	HK Bank – HSCEI R Bear CBBC Jun 2015 A	2014/11/27	2014/11/28
63915	J P Morgan SP BV – HSI R Bear CBBC Feb 2015 X	2014/11/27	2014/11/28
62635	Bank of East Asia – HKEx R Bull CBBC Nov 2014 A	2014/11/27	2014/12/01
63930	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 P	2014/11/27	2014/11/28
63951	Credit Suisse AG – HSI R Bear CBBC Apr 2015 X	2014/11/27	2014/11/28
61160	Standard Chartered Bank – HKEx R Bull CBBC Nov 2014 B	2014/11/27	2014/12/01
63962	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Y	2014/11/27	2014/11/28
63130	BNP Paribas Arbitr Issu B.V. – HSI R Bear CBBC Mar 2015 P	2014/11/27	2014/11/28
67982	Citigroup Global Mkt H Inc. – BOCL R Bull CBBC Feb 2015 E	2014/11/28	2014/12/01
67977	Citigroup Global Mkt H Inc. – BOCL R Bull CBBC Feb 2015 C	2014/11/28	2014/12/01
64088	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 Z	2014/11/28	2014/12/01
64065	Credit Suisse AG – HSI R Bear CBBC Apr 2015 W	2014/11/28	2014/12/01
64050	UBS AG – HSI R Bear CBBC Apr 2015 F	2014/11/28	2014/12/01
64049	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 Q	2014/11/28	2014/12/01
64047	UBS AG – HSI R Bear CBBC Mar 2015 Z	2014/11/28	2014/12/01
64042	HK Bank – TCH R Bull CBBC Aug 2015 G	2014/11/28	2014/12/01
64054	Credit Suisse AG – HSI R Bear CBBC Apr 2015 P	2014/11/28	2014/12/01
66710	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Mar15A	2014/11/28	2014/12/01
67747	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Feb15A	2014/11/28	2014/12/01
66570	Citigroup Global Mkt H Inc. -Sinopec Corp R Bear CBBC Apr15C	2014/11/28	2014/12/01
63546	Citigroup Global Mkt H Inc. – ICBC R Bear CBBC Dec 2014 A	2014/11/28	2014/12/01
63577	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Dec 2014 A	2014/11/28	2014/12/01
63580	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Dec 2014 B	2014/11/28	2014/12/01
62742	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Mar 2015 A	2014/11/28	2014/12/01
62748	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Mar 2015 B	2014/11/28	2014/12/01
66446	HK Bank – CSOP A50 ETF R Bear CBBC Jan 2015 A	2014/11/28	2014/12/01
67846	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Apr 15A	2014/11/28	2014/12/01
61042	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Jun 2015 B	2014/11/28	2014/12/01
69928	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jun15B	2014/11/28	2014/12/01
63061	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 C	2014/11/28	2014/12/01
66451	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Dec14B	2014/11/28	2014/12/01
69033	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Apr15A	2014/11/28	2014/12/01
61867	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Dec14B	2014/11/28	2014/12/01
64556	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 A	2014/11/28	2014/12/01
64555	Citigroup Global Mkt H Inc. – Kingsoft R Bear CBBC Mar 15 E	2014/11/28	2014/12/01
64553	Citigroup Global Mkt H Inc. – Kingsoft R Bear CBBC Mar 15 D	2014/11/28	2014/12/01
64461	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Mar15A	2014/11/28	2014/12/01
63593	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Mar 15 A	2014/11/28	2014/12/01
67806	Citigroup Global Mkt H Inc. – Cheung Kong R Bull CBBC Feb15C	2014/11/28	2014/12/01
67803	Citigroup Global Mkt H Inc. – Cheung Kong R Bull CBBC Feb15B	2014/11/28	2014/12/01
67796	Citigroup Global Mkt H Inc. – Cheung Kong R Bull CBBC Feb15A	2014/11/28	2014/12/01
67790	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Feb 15 A	2014/11/28	2014/12/01
62862	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Mar 2015 B	2014/11/28	2014/12/01
68584	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Apr 2015 C	2014/11/28	2014/12/01
64891	HK Bank – A50 R Bear CBBC Dec 2014 A	2014/11/28	2014/12/01
63065	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Dec14 C	2014/11/28	2014/12/01
63081	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Dec14 D	2014/11/28	2014/12/01
60196	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jun15 D	2014/11/28	2014/12/01
69249	HK Bank – Minsheng Bank R Bear CBBC May 2015 A	2014/11/28	2014/12/01
60195	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jun15 C	2014/11/28	2014/12/01

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63102	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Dec14 E	2014/11/28	2014/12/01
60189	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jun15 A	2014/11/28	2014/12/01
60168	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun15H	2014/11/28	2014/12/01
62177	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Dec 2014 A	2014/11/28	2014/12/01
61878	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Dec14 A	2014/11/28	2014/12/01
60192	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Jun15 B	2014/11/28	2014/12/01
65700	Citigroup Global Mkt H Inc. – SHK Ppt R Bull CBBC Mar 2015 A	2014/11/28	2014/12/01
62659	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 B	2014/11/28	2014/12/01
63244	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 L	2014/11/28	2014/12/01
69501	Credit Suisse AG – A50 China R Bear CBBC Dec 2014 B	2014/11/28	2014/12/01
68400	Citigroup Global Mkt H Inc. – Hutchison R Bear CBBC Apr 15 B	2014/11/28	2014/12/01
68079	UBS AG – BOCL R Bear CBBC Apr 2015 A	2014/11/28	2014/12/01
63286	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 N	2014/11/28	2014/12/01
63288	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 O	2014/11/28	2014/12/01
60809	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Feb 2015 B	2014/11/28	2014/12/01
63296	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 P	2014/11/28	2014/12/01
68863	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Apr15A	2014/11/28	2014/12/01
60772	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC Apr15C	2014/11/28	2014/12/01
60207	UBS AG – A50 China R Bear CBBC Dec 2014 A	2014/11/28	2014/12/01
65100	Citigroup Global Mkt H Inc. -Sinopec Corp R Bear CBBC Apr15B	2014/11/28	2014/12/01
64974	UBS AG – Minsheng Bank R Bear CBBC Aug 2015 A	2014/11/28	2014/12/01
69375	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC Jan 15A	2014/11/28	2014/12/01
66567	Citigroup Global Mkt H Inc. – BOCL R Bull CBBC Feb 2015 A	2014/11/28	2014/12/01
64729	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 15 D	2014/11/28	2014/12/01
63665	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Feb15A	2014/11/28	2014/12/01
61231	UBS AG – CM Bank R Bull CBBC Dec 2014 A	2014/11/28	2014/12/02
65128	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC May 2015 B	2014/11/28	2014/12/01
61100	UBS AG – Sands China R Bear CBBC Dec 2014 A	2014/11/28	2014/12/02
66356	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Dec 2014 A	2014/11/28	2014/12/01
64724	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC May15A	2014/11/28	2014/12/01
60827	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Jan 2015 A	2014/11/28	2014/12/01
61821	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 L	2014/11/28	2014/12/01
66466	Citigroup Global Mkt H Inc. – Hutchison R Bear CBBC Dec 14 C	2014/11/28	2014/12/01
60213	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Feb 15 C	2014/11/28	2014/12/01
60209	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Feb 15 B	2014/11/28	2014/12/01
60194	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Feb15B	2014/11/28	2014/12/01
65098	Citigroup Global Mkt H Inc. -Sinopec Corp R Bear CBBC Apr15A	2014/11/28	2014/12/01
67259	Citigroup Global Mkt H Inc. -Minsheng Bank R Bull CBBC Mar15B	2014/11/28	2014/12/01
67258	Citigroup Global Mkt H Inc. -Minsheng Bank R Bull CBBC Mar15A	2014/11/28	2014/12/01
69789	UBS AG – HKEx R Bull CBBC Dec 2014 A	2014/11/28	2014/12/02
69893	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Dec 2014 B	2014/11/28	2014/12/01
65119	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC May 15 C	2014/11/28	2014/12/01
63786	Citigroup Global Mkt H Inc. – Lenovo R Bull CBBC Feb 2015 B	2014/11/28	2014/12/01
63947	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC May15A	2014/11/28	2014/12/01
63958	Citigroup Global Mkt H Inc. – Cheung Kong R Bear CBBC Apr15A	2014/11/28	2014/12/01
61403	HK Bank – ABC R Bear CBBC May 2015 C	2014/11/28	2014/12/01
64103	HK Bank – TCH R Bull CBBC Dec 2014 I	2014/11/28	2014/12/02
64129	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Jun15B	2014/11/28	2014/12/01
63968	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 G	2014/11/28	2014/12/01
63967	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 F	2014/11/28	2014/12/01
63966	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 E	2014/11/28	2014/12/01
69384	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jan15C	2014/11/28	2014/12/01
66633	HK Bank – TCH R Bear CBBC Dec 2014 B	2014/11/28	2014/12/02
64721	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Apr15D	2014/11/28	2014/12/01
69368	Citigroup Global Mkt H Inc. – PetCh R Bull CBBC Jan 2015 B	2014/11/28	2014/12/01
64718	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Apr15C	2014/11/28	2014/12/01
63784	Citigroup Global Mkt H Inc. – Lenovo R Bull CBBC Feb 2015 A	2014/11/28	2014/12/01
64687	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15N	2014/11/28	2014/12/01
65481	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15O	2014/11/28	2014/12/01
65483	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15P	2014/11/28	2014/12/01
69299	UBS AG – Cheung Kong R Bull CBBC Dec 2014 A	2014/11/28	2014/12/02
64682	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15L	2014/11/28	2014/12/01
68611	HK Bank – Sinopec Corp R Bull CBBC Oct 2015 A	2014/12/01	2014/12/02
62564	UBS AG – Huishan Dairy R Bull CBBC Nov 2015 B	2014/12/01	2014/12/02
62645	Bank of East Asia – Huishan Dairy R Bull CBBC Dec 2015 B	2014/12/01	2014/12/02
63407	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 T	2014/12/01	2014/12/02
63402	UBS AG – HSI R Bull CBBC Jul 2015 T	2014/12/01	2014/12/02
63482	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 U	2014/12/01	2014/12/02
67587	HK Bank – CNOOC R Bull CBBC Jan 2015 A	2014/12/01	2014/12/02
61833	HK Bank – Huishan Dairy R Bull CBBC Nov 2015 A	2014/12/01	2014/12/02
63401	Credit Suisse AG – HSI R Bull CBBC Jun 2015 Y	2014/12/01	2014/12/02
62237	HK Bank – TCH R Bull CBBC Jun 2015 L	2014/12/01	2014/12/02
62771	UBS AG – TCH R Bull CBBC Jun 2015 G	2014/12/01	2014/12/02
63327	UBS AG – HSI R Bull CBBC Jun 2015 E	2014/12/01	2014/12/02
68433	Citigroup Global Mkt H Inc. – CNOOC R Bull CBBC Mar 2015 A	2014/12/01	2014/12/02
63220	Credit Suisse AG – HSI R Bull CBBC Apr 2015 F	2014/12/01	2014/12/02
63268	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 R	2014/12/01	2014/12/02
62438	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jun15D	2014/12/01	2014/12/02
63277	HK Bank – HSI R Bull CBBC Aug 2015 J	2014/12/01	2014/12/02
63304	HK Bank – TCH R Bull CBBC Jul 2015 L	2014/12/01	2014/12/02
63308	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 S	2014/12/01	2014/12/02
62376	Credit Suisse AG – TCH R Bull CBBC Feb 2015 D	2014/12/01	2014/12/02
63326	UBS AG – HSI R Bull CBBC Jul 2015 S	2014/12/01	2014/12/02
63399	Credit Suisse AG – HSI R Bull CBBC Jun 2015 V	2014/12/01	2014/12/02
63334	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 Z	2014/12/01	2014/12/02
63605	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 X	2014/12/01	2014/12/02
63352	Credit Suisse AG – HSI R Bull CBBC Jun 2015 U	2014/12/01	2014/12/02

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63353	Credit Suisse AG – HSI R Bull CBBC Jun 2015 X	2014/12/01	2014/12/02
63361	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 F	2014/12/01	2014/12/02
63366	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 Y	2014/12/01	2014/12/02
63382	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 D	2014/12/01	2014/12/02
63385	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 S	2014/12/01	2014/12/02
63398	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 A	2014/12/01	2014/12/02
62933	Standard Chartered Bank – GCL-Poly Energy R Bear CBBC Dec14A	2014/12/01	2014/12/03
64215	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 M	2014/12/01	2014/12/02
61015	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Jun 15 A	2014/12/01	2014/12/02
63960	UBS AG – HSI R Bull CBBC Jun 2015 F	2014/12/01	2014/12/02
69978	HK Bank – A50 R Bear CBBC Oct 2015 A	2014/12/01	2014/12/02
64254	Credit Suisse AG – HSI R Bull CBBC May 2015 Y	2014/12/01	2014/12/02
64245	Credit Suisse AG – HSI R Bull CBBC May 2015 X	2014/12/01	2014/12/02
64233	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/12/01	2014/12/02
64231	UBS AG – HSI R Bull CBBC May 2015 A	2014/12/01	2014/12/02
64067	Credit Suisse AG – HSI R Bull CBBC Jun 2015 E	2014/12/01	2014/12/02
64068	Credit Suisse AG – HSI R Bull CBBC Jun 2015 K	2014/12/01	2014/12/02
64230	UBS AG – HSI R Bull CBBC Jun 2015 I	2014/12/01	2014/12/02
64086	UBS AG – HSI R Bull CBBC May 2015 V	2014/12/01	2014/12/02
64095	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 A	2014/12/01	2014/12/02
63519	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 V	2014/12/01	2014/12/02
63944	Credit Suisse AG – HSI R Bull CBBC Apr 2015 G	2014/12/01	2014/12/02
64174	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 B	2014/12/01	2014/12/02
64137	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 B	2014/12/01	2014/12/02
64144	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 A	2014/12/01	2014/12/02
64165	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 Y	2014/12/01	2014/12/02
64130	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/12/01	2014/12/02
61229	HK Bank – PetCh R Bull CBBC Oct 2015 A	2014/12/01	2014/12/02
64097	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 B	2014/12/01	2014/12/02
64123	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/12/01	2014/12/02
64217	UBS AG – HSI R Bull CBBC Apr 2015 N	2014/12/01	2014/12/02
64120	UBS AG – HSI R Bear CBBC Mar 2015 H	2014/12/01	2014/12/02
64199	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 G	2014/12/01	2014/12/02
64118	UBS AG – HSI R Bear CBBC Apr 2015 G	2014/12/01	2014/12/02
68435	Citigroup Global Mkt H Inc. – CNOOC R Bull CBBC Mar 2015 B	2014/12/01	2014/12/02
64204	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 H	2014/12/01	2014/12/02
64280	UBS AG – HSI R Bull CBBC Jul 2015 W	2014/12/01	2014/12/02
64170	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 Y	2014/12/01	2014/12/02
69507	Credit Suisse AG – HSBC R Bull CBBC Jan 2015 A	2014/12/01	2014/12/02
63523	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 W	2014/12/01	2014/12/02
63594	Standard Chartered Bank – Ping An R Bear CBBC Aug 2015 A	2014/12/01	2014/12/02
63730	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 B	2014/12/01	2014/12/02
63555	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/12/01	2014/12/02
63526	UBS AG – HSI R Bull CBBC May 2015 T	2014/12/01	2014/12/02
63568	Standard Chartered Bank – Hutchison R Bull CBBC Mar 2015 A	2014/12/01	2014/12/02
63525	UBS AG – HSI R Bull CBBC May 2015 L	2014/12/01	2014/12/02
61548	Bank of East Asia – CNOOC R Bull CBBC Jan 2015 A	2014/12/01	2014/12/02
64313	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 E	2014/12/01	2014/12/02
64300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 E	2014/12/01	2014/12/02
64289	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 D	2014/12/01	2014/12/02
64278	Credit Suisse AG – HSI R Bull CBBC May 2015 Z	2014/12/01	2014/12/02
63528	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 C	2014/12/01	2014/12/02
63554	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/12/01	2014/12/02
64281	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 I	2014/12/01	2014/12/02
64304	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 F	2014/12/01	2014/12/02
64371	HK Bank – HSI R Bull CBBC Jul 2015 P	2014/12/02	2014/12/03
64376	Standard Chartered Bank – HKEx R Bull CBBC Apr 2015 C	2014/12/02	2014/12/03
64396	Standard Chartered Bank – GCL-Poly Energy R Bull CBBC Aug15A	2014/12/02	2014/12/03
64488	UBS AG – HSI R Bull CBBC Apr 2015 R	2014/12/02	2014/12/03
66100	Standard Chartered Bank – CSOP A50 ETF R Bear CBBC Jun 2015A	2014/12/02	2014/12/03
64489	UBS AG – HSI R Bull CBBC Apr 2015 S	2014/12/02	2014/12/03
64522	UBS AG – HSI R Bull CBBC Apr 2015 T	2014/12/02	2014/12/03
64358	HK Bank – HSI R Bull CBBC Jul 2015 O	2014/12/02	2014/12/03
64621	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 Z	2014/12/02	2014/12/03
62726	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Jun15C	2014/12/02	2014/12/03
64565	Credit Suisse AG – HSI R Bull CBBC Jul 2015 V	2014/12/02	2014/12/03
64175	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Mar 2015 A	2014/12/02	2014/12/03
64544	Credit Suisse AG – HSI R Bull CBBC Jul 2015 T	2014/12/02	2014/12/03
64611	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 J	2014/12/02	2014/12/03
64547	Credit Suisse AG – HSI R Bull CBBC Jul 2015 U	2014/12/02	2014/12/03
60216	UBS AG – CSOP A50 ETF R Bear CBBC Dec 2014 A	2014/12/02	2014/12/03
66101	Standard Chartered Bank – A50 China R Bear CBBC Jun 2015 A	2014/12/02	2014/12/03
62239	HK Bank – China Mobile R Bull CBBC Jul 2015 M	2014/12/02	2014/12/03
64355	HK Bank – HSI R Bull CBBC Jul 2015 N	2014/12/02	2014/12/03
64131	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Q	2014/12/02	2014/12/03
63912	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 Y	2014/12/02	2014/12/03
64069	UBS AG – HSI R Bull CBBC Jul 2015 V	2014/12/02	2014/12/03
68427	HK Bank – Ping An R Bear CBBC Apr 2015 A	2014/12/02	2014/12/03
62445	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jun15E	2014/12/02	2014/12/03
62437	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jun15C	2014/12/02	2014/12/03
63928	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 Z	2014/12/02	2014/12/03
66938	Standard Chartered Bank – Hutchison R Bear CBBC Dec 2014 A	2014/12/02	2014/12/04
63931	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 A	2014/12/02	2014/12/03
63177	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 I	2014/12/02	2014/12/04
63950	Credit Suisse AG – HSI R Bull CBBC Apr 2015 L	2014/12/02	2014/12/03
60506	Credit Suisse AG – A50 China R Bear CBBC Jan 2015 A	2014/12/02	2014/12/03
64573	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/12/03	2014/12/04

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64725	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 15 C	2014/12/03	2014/12/04
64581	UBS AG – HSI R Bear CBBC Mar 2015 G	2014/12/03	2014/12/04
62241	HK Bank – Galaxy Ent R Bull CBBC Jul 2015 G	2014/12/03	2014/12/04
64825	Credit Suisse AG – HSI R Bull CBBC Jun 2015 L	2014/12/03	2014/12/04
64877	UBS AG – HSI R Bull CBBC Jul 2015 X	2014/12/03	2014/12/04
64134	Credit Suisse AG – HSI R Bear CBBC Apr 2015 F	2014/12/03	2014/12/04
64587	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 I	2014/12/03	2014/12/04
62235	HK Bank – TCH R Bull CBBC Jun 2015 K	2014/12/03	2014/12/04
64847	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 G	2014/12/03	2014/12/04
64890	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 K	2014/12/03	2014/12/04
64851	Standard Chartered Bank – CSOP A50 ETF R Bear CBBC Sep 2015 A	2014/12/03	2014/12/04
64904	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 T	2014/12/03	2014/12/04
64889	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 C	2014/12/03	2014/12/04
64878	UBS AG – HSI R Bull CBBC Jul 2015 Y	2014/12/03	2014/12/04
64849	Standard Chartered Bank – A50 China R Bear CBBC Sep 2015 A	2014/12/03	2014/12/04
64872	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 K	2014/12/03	2014/12/04
64913	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 W	2014/12/03	2014/12/04
64914	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 O	2014/12/03	2014/12/04
64325	HK Bank – Sands China R Bull CBBC Aug 2015 C	2014/12/03	2014/12/04
64844	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 N	2014/12/03	2014/12/04
64842	Credit Suisse AG – HSI R Bull CBBC Jun 2015 O	2014/12/03	2014/12/04
62258	Standard Chartered Bank – Galaxy Ent R Bull CBBC Sep 2015 A	2014/12/03	2014/12/04
62769	UBS AG – CNOOC R Bull CBBC Oct 2015 C	2014/12/03	2014/12/04
64835	Credit Suisse AG – HSI R Bull CBBC Jun 2015 M	2014/12/03	2014/12/04
64876	UBS AG – HSI R Bull CBBC May 2015 B	2014/12/03	2014/12/04
61405	HK Bank – CNOOC R Bull CBBC Oct 2015 D	2014/12/03	2014/12/04
64114	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 R	2014/12/03	2014/12/04
60942	HK Bank – CC Bank R Bear CBBC May 2015 A	2014/12/03	2014/12/04
64664	HK Bank – HSI R Bull CBBC Jun 2015 S	2014/12/03	2014/12/04
64673	UBS AG – HSI R Bear CBBC Apr 2015 H	2014/12/03	2014/12/04
64116	UBS AG – HSI R Bear CBBC Mar 2015 C	2014/12/03	2014/12/04
64651	HK Bank – HSI R Bull CBBC Jun 2015 R	2014/12/03	2014/12/04
62890	Standard Chartered Bank – Ping An R Bear CBBC May 2015 A	2014/12/03	2014/12/04
64870	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 J	2014/12/03	2014/12/04
64738	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/12/03	2014/12/04
64105	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 C	2014/12/03	2014/12/04
64113	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 A	2014/12/03	2014/12/04
64106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 B	2014/12/03	2014/12/04
62648	Standard Chartered Bank – Minsheng Bank R Bear CBBC Apr 15 A	2014/12/03	2014/12/04
64941	Credit Suisse AG – HSI R Bull CBBC Apr 2015 M	2014/12/04	2014/12/05
65636	HK Bank – Ping An R Bear CBBC Jul 2015 A	2014/12/04	2014/12/05
64920	HK Bank – HSI R Bull CBBC Jul 2015 Q	2014/12/04	2014/12/05
64470	HK Bank – BOCL R Bear CBBC May 2015 A	2014/12/04	2014/12/05
64924	HK Bank – HSI R Bull CBBC Jun 2015 T	2014/12/04	2014/12/05
62877	HK Bank – CSOP A50 ETF R Bear CBBC Oct 2015 A	2014/12/04	2014/12/05
62643	Bank of East Asia – Minsheng Bank R Bull CBBC Dec 2014 A	2014/12/04	2014/12/08
62247	HK Bank – China Shenhua R Bear CBBC Sep 2015 A	2014/12/04	2014/12/05
64940	UBS AG – HSI R Bull CBBC Jun 2015 H	2014/12/04	2014/12/05
64939	UBS AG – HSI R Bull CBBC May 2015 C	2014/12/04	2014/12/05
68063	Bank of East Asia – BOCL R Bull CBBC Dec 2014 A	2014/12/04	2014/12/08
64943	Credit Suisse AG – HSI R Bull CBBC Apr 2015 H	2014/12/04	2014/12/05
64956	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 H	2014/12/04	2014/12/05
64937	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 H	2014/12/04	2014/12/05
61525	HK Bank – Sands China R Bull CBBC Aug 2015 A	2014/12/04	2014/12/05
62039	HK Bank – Galaxy Ent R Bull CBBC Jul 2015 F	2014/12/04	2014/12/05
63907	HK Bank – HKEx R Bear CBBC Jul 2015 E	2014/12/04	2014/12/05
67289	HK Bank – HSCEI R Bear CBBC May 2015 E	2014/12/04	2014/12/05
64772	UBS AG – HSI R Bear CBBC Apr 2015 I	2014/12/05	2014/12/08
64744	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Y	2014/12/05	2014/12/08
64786	UBS AG – HSI R Bear CBBC Mar 2015 A	2014/12/05	2014/12/08
64574	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Q	2014/12/05	2014/12/08
67320	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 F	2014/12/05	2014/12/09
64680	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 H	2014/12/05	2014/12/08
64628	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 F	2014/12/05	2014/12/08
64694	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 X	2014/12/05	2014/12/08
65245	Standard Chartered Bank – ABC R Bear CBBC Jun 2015 A	2014/12/05	2014/12/08
64753	Credit Suisse AG – HSI R Bear CBBC Mar 2015 N	2014/12/05	2014/12/08
64808	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 S	2014/12/05	2014/12/08
66490	HK Bank – Ping An R Bull CBBC Dec 2014 A	2014/12/05	2014/12/09
64735	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 Y	2014/12/05	2014/12/08
60077	UBS AG – CC Bank R Bear CBBC Dec 2014 A	2014/12/05	2014/12/08
66479	HK Bank – Cheung Kong R Bull CBBC Dec 2014 A	2014/12/05	2014/12/09
68479	UBS AG – HSCEI R Bear CBBC Feb 2015 A	2014/12/05	2014/12/08
64906	UBS AG – GCL-Poly R Bull CBBC Dec 2014 B	2014/12/05	2014/12/09
64959	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 M	2014/12/05	2014/12/08
65088	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 A	2014/12/05	2014/12/08
64953	Credit Suisse AG – HSI R Bear CBBC Apr 2015 S	2014/12/05	2014/12/08
65001	UBS AG – HSI R Bull CBBC May 2015 Z	2014/12/05	2014/12/08
64949	UBS AG – HSI R Bear CBBC Apr 2015 J	2014/12/05	2014/12/08
64948	UBS AG – HSI R Bear CBBC Mar 2015 N	2014/12/05	2014/12/08
62866	Citigroup Global Mkt H Inc. – Ping An R Bear CBBC Mar 2015 C	2014/12/05	2014/12/08
62644	Standard Chartered Bank – Ping An R Bear CBBC Mar 2015 A	2014/12/05	2014/12/08
64985	HK Bank – HSI R Bull CBBC Aug 2015 L	2014/12/05	2014/12/08
61156	UBS AG – CC Bank R Bull CBBC Dec 2014 A	2014/12/05	2014/12/09
64176	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 D	2014/12/05	2014/12/08
69754	HK Bank – HSCEI R Bear CBBC Apr 2015 A	2014/12/05	2014/12/08
69716	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2014 A	2014/12/05	2014/12/08

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
63926	Standard Chartered Bank – HKEx R Bear CBBC Mar 2015 E	2014/12/05	2014/12/08
64124	HK Bank – Sands China R Bear CBBC Dec 2014 A	2014/12/05	2014/12/09
63279	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 M	2014/12/05	2014/12/09
63243	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 K	2014/12/05	2014/12/09
61326	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Dec 2014 A	2014/12/05	2014/12/09
61329	Citigroup Global Mkt H Inc. – HSBC R Bull CBBC Dec 2014 A	2014/12/05	2014/12/09
61332	HK Bank – CNOOC R Bull CBBC Dec 2014 B	2014/12/05	2014/12/09
63603	HK Bank – TCH R Bear CBBC Dec 2014 A	2014/12/05	2014/12/09
64110	HK Bank – TCH R Bull CBBC Dec 2014 K	2014/12/05	2014/12/09
65023	Credit Suisse AG – A50 China R Bear CBBC Jul 2015 A	2014/12/05	2014/12/08
64952	Credit Suisse AG – HSI R Bear CBBC Apr 2015 R	2014/12/05	2014/12/08
65025	Credit Suisse AG – A50 China R Bear CBBC Jul 2015 B	2014/12/05	2014/12/08
65344	HK Bank – ICBC R Bull CBBC Dec 2014 B	2014/12/05	2014/12/09
67029	HK Bank – ICBC R Bear CBBC Apr 2015 B	2014/12/05	2014/12/08
65041	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Jul 2015 A	2014/12/05	2014/12/08
64998	UBS AG – HSI R Bull CBBC Jun 2015 J	2014/12/05	2014/12/08
65006	UBS AG – CSOP A50 ETF R Bear CBBC May 2015 A	2014/12/05	2014/12/08
65043	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Jul 2015 B	2014/12/05	2014/12/08
65005	UBS AG – A50 China R Bear CBBC May 2015 A	2014/12/05	2014/12/08
65047	Credit Suisse AG – HSI R Bear CBBC Apr 2015 T	2014/12/05	2014/12/08
62196	Bank of East Asia – Great Wall Motor R Bear CBBC Dec 2015 A	2014/12/05	2014/12/08
64995	Credit Suisse AG – HSI R Bull CBBC Apr 2015 I	2014/12/05	2014/12/08
65056	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 Z	2014/12/05	2014/12/08
65068	UBS AG – HSI R Bear CBBC Apr 2015 K	2014/12/05	2014/12/08
65071	UBS AG – HSI R Bear CBBC Apr 2015 L	2014/12/05	2014/12/08
63866	J P Morgan SP BV – HKEx R Bear CBBC Mar 2015 A	2014/12/08	2014/12/09
64822	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 Y	2014/12/08	2014/12/09
65307	UBS AG – HSI R Bear CBBC Mar 2015 I	2014/12/08	2014/12/09
65299	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 P	2014/12/08	2014/12/09
65319	UBS AG – HSI R Bear CBBC Apr 2015 M	2014/12/08	2014/12/09
64898	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 G	2014/12/08	2014/12/09
65303	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 G	2014/12/08	2014/12/09
65285	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 G	2014/12/08	2014/12/09
65281	Credit Suisse AG – HSI R Bear CBBC Mar 2015 W	2014/12/08	2014/12/09
62925	UBS AG – Sands China R Bull CBBC Jul 2015 C	2014/12/08	2014/12/09
65276	Credit Suisse AG – HSI R Bear CBBC Mar 2015 T	2014/12/08	2014/12/09
64965	HK Bank – HSI R Bear CBBC May 2015 Z	2014/12/08	2014/12/09
65283	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Z	2014/12/08	2014/12/09
65257	Credit Suisse AG – HSI R Bear CBBC Apr 2015 V	2014/12/08	2014/12/09
68305	HK Bank – HSCEI R Bear CBBC Mar 2015 A	2014/12/08	2014/12/09
65241	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 T	2014/12/08	2014/12/09
65287	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 O	2014/12/08	2014/12/09
65286	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 N	2014/12/08	2014/12/09
63067	Bank of East Asia – Sands China R Bull CBBC May 2015 B	2014/12/08	2014/12/09
65228	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 F	2014/12/08	2014/12/09
64946	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 J	2014/12/08	2014/12/09
65222	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 Z	2014/12/08	2014/12/09
65217	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2015 F	2014/12/08	2014/12/09
65242	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 D	2014/12/08	2014/12/09
65320	UBS AG – HSI R Bear CBBC Mar 2015 P	2014/12/08	2014/12/09
65637	HK Bank – CC Bank R Bear CBBC Apr 2015 A	2014/12/08	2014/12/09
60760	Citigroup Global Mkt H Inc. – Sands China R Bull CBBC May15A	2014/12/08	2014/12/09
65259	Credit Suisse AG – HSI R Bear CBBC Apr 2015 X	2014/12/08	2014/12/09
65326	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 G	2014/12/08	2014/12/09
65325	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 J	2014/12/08	2014/12/09
65324	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 E	2014/12/08	2014/12/09
65321	UBS AG – HSI R Bear CBBC Mar 2015 T	2014/12/08	2014/12/09
65111	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 I	2014/12/09	2014/12/10
65162	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 O	2014/12/09	2014/12/10
65107	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 D	2014/12/09	2014/12/10
65136	Credit Suisse AG – HSI R Bull CBBC Apr 2015 J	2014/12/09	2014/12/10
65118	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 Z	2014/12/09	2014/12/10
65125	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 W	2014/12/09	2014/12/10
65132	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 B	2014/12/09	2014/12/10
60846	HK Bank – Sands China R Bull CBBC Jul 2015 D	2014/12/09	2014/12/10
65113	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 S	2014/12/09	2014/12/10
65149	Credit Suisse AG – HSI R Bull CBBC Apr 2015 T	2014/12/09	2014/12/10
65090	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 C	2014/12/09	2014/12/10
65464	Credit Suisse AG – A50 China R Bear CBBC Jun 2015 A	2014/12/09	2014/12/10
65526	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Aug15A	2014/12/09	2014/12/10
65163	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 E	2014/12/09	2014/12/10
65459	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Jun 2015 A	2014/12/09	2014/12/10
65168	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 W	2014/12/09	2014/12/10
65175	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 L	2014/12/09	2014/12/10
65176	UBS AG – HSI R Bull CBBC Aug 2015 B	2014/12/09	2014/12/10
65185	UBS AG – HSI R Bull CBBC Jun 2015 L	2014/12/09	2014/12/10
65159	Credit Suisse AG – HSI R Bull CBBC Apr 2015 S	2014/12/09	2014/12/10
65547	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 H	2014/12/09	2014/12/10
63063	Bank of East Asia – GCL-Poly Energy R Bull CBBC May 2016 A	2014/12/09	2014/12/10
62063	HK Bank – Kingsoft R Bull CBBC Aug 2015 C	2014/12/09	2014/12/10
64992	Credit Suisse AG – HSI R Bull CBBC Apr 2015 N	2014/12/09	2014/12/10
64731	HK Bank – GCL-Poly Energy R Bull CBBC Dec 2014 B	2014/12/09	2014/12/10
65572	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 L	2014/12/09	2014/12/10
65568	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 H	2014/12/09	2014/12/10
65560	UBS AG – HSI R Bull CBBC Apr 2015 V	2014/12/09	2014/12/10
65559	UBS AG – HSI R Bull CBBC Jul 2015 A	2014/12/09	2014/12/10
65491	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Jul15B	2014/12/09	2014/12/10

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
62248	HK Bank – GCL-Poly Energy R Bull CBBC Dec 2015 B	2014/12/09	2014/12/10
65478	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Jul15A	2014/12/09	2014/12/10
65540	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 G	2014/12/09	2014/12/10
65536	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/12/09	2014/12/10
65533	Credit Suisse AG – HSI R Bull CBBC May 2015 V	2014/12/09	2014/12/10
65527	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jul15B	2014/12/09	2014/12/10
69982	HK Bank – Hutchison R Bull CBBC Jul 2015 A	2014/12/09	2014/12/10
65525	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Jul15A	2014/12/09	2014/12/10
65454	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 A	2014/12/09	2014/12/10
65489	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Aug15A	2014/12/09	2014/12/10
65558	UBS AG – HSI R Bull CBBC Jul 2015 Z	2014/12/09	2014/12/10
65402	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 I	2014/12/09	2014/12/10
63459	Standard Chartered Bank – GCL-Poly Energy R Bull CBBC Dec15B	2014/12/09	2014/12/10
65423	UBS AG – HSI R Bear CBBC Apr 2015 N	2014/12/09	2014/12/10
65420	UBS AG – HSI R Bear CBBC Mar 2015 W	2014/12/09	2014/12/10
62375	Credit Suisse AG – TCH R Bull CBBC Feb 2015 C	2014/12/09	2014/12/10
65414	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 L	2014/12/09	2014/12/10
66457	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Dec 14 A	2014/12/09	2014/12/10
65246	Credit Suisse AG – HSI R Bull CBBC Apr 2015 U	2014/12/09	2014/12/10
65407	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 K	2014/12/09	2014/12/10
61519	HK Bank – Galaxy Ent R Bull CBBC Jul 2015 E	2014/12/09	2014/12/10
61566	HK Bank – CUni R Bull CBBC Oct 2015 A	2014/12/09	2014/12/10
65392	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 U	2014/12/09	2014/12/10
65391	HK Bank – HSI R Bear CBBC May 2015 P	2014/12/09	2014/12/10
65347	HK Bank – CSOP A50 ETF R Bear CBBC Aug 2015 A	2014/12/09	2014/12/10
65335	HK Bank – A50 R Bear CBBC Nov 2015 B	2014/12/09	2014/12/10
65346	HK Bank – A50 R Bear CBBC Nov 2015 C	2014/12/09	2014/12/10
65336	HK Bank – Minsheng Bank R Bear CBBC May 2015 C	2014/12/09	2014/12/10
65409	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 K	2014/12/09	2014/12/10
65225	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 P	2014/12/09	2014/12/10
65211	UBS AG – HSI R Bull CBBC Apr 2015 U	2014/12/09	2014/12/10
65199	UBS AG – HSI R Bull CBBC Jun 2015 M	2014/12/09	2014/12/10
65446	Credit Suisse AG – HSI R Bear CBBC Apr 2015 P	2014/12/09	2014/12/10
65429	UBS AG – HSI R Bear CBBC Mar 2015 X	2014/12/09	2014/12/10
65444	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/12/09	2014/12/10
63735	UBS AG – Hutchison R Bull CBBC Jun 2015 B	2014/12/09	2014/12/10
61700	HK Bank – TCH R Bull CBBC Jul 2015 J	2014/12/09	2014/12/10
65442	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/12/09	2014/12/10
65430	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Y	2014/12/09	2014/12/10
65450	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 A	2014/12/09	2014/12/10
65684	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/12/10	2014/12/11
65666	Standard Chartered Bank – A50 China R Bear CBBC Sep 2015 B	2014/12/10	2014/12/11
65681	UBS AG – HSI R Bull CBBC May 2015 E	2014/12/10	2014/12/11
65699	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 M	2014/12/10	2014/12/11
65679	UBS AG – HSI R Bull CBBC Jul 2015 B	2014/12/10	2014/12/11
65737	Credit Suisse AG – HSI R Bear CBBC Apr 2015 W	2014/12/10	2014/12/11
63819	J P Morgan SP BV – Tencent R Bull CBBC Jun 2015 A	2014/12/10	2014/12/11
65676	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 L	2014/12/10	2014/12/11
65304	UBS AG – CNOOC R Bull CBBC Oct 2015 D	2014/12/10	2014/12/11
65744	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 M	2014/12/10	2014/12/11
65685	Credit Suisse AG – HSI R Bull CBBC May 2015 F	2014/12/10	2014/12/11
62368	UBS AG – TCH R Bull CBBC Jun 2015 F	2014/12/10	2014/12/11
65243	UBS AG – HSI R Bull CBBC May 2015 D	2014/12/10	2014/12/11
61699	HK Bank – TCH R Bull CBBC Jul 2015 I	2014/12/10	2014/12/11
65252	Credit Suisse AG – HSI R Bull CBBC Apr 2015 V	2014/12/10	2014/12/11
65528	Credit Suisse AG – HSI R Bull CBBC May 2015 T	2014/12/10	2014/12/11
65782	UBS AG – HSI R Bear CBBC Jun 2015 B	2014/12/10	2014/12/11
65598	HK Bank – HSI R Bull CBBC Jul 2015 R	2014/12/10	2014/12/11
65781	UBS AG – HSI R Bear CBBC Mar 2015 Y	2014/12/10	2014/12/11
65740	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Q	2014/12/10	2014/12/11
65704	Credit Suisse AG – HSI R Bull CBBC Aug 2015 A	2014/12/11	2014/12/12
65968	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 T	2014/12/11	2014/12/12
65975	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 M	2014/12/11	2014/12/12
61993	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2015 E	2014/12/11	2014/12/12
65976	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 N	2014/12/11	2014/12/12
65688	Credit Suisse AG – HSI R Bull CBBC May 2015 X	2014/12/11	2014/12/12
64923	Bank of East Asia – Kingsoft R Bull CBBC Aug 2015 A	2014/12/11	2014/12/12
65931	UBS AG – HSI R Bull CBBC Jul 2015 D	2014/12/11	2014/12/12
65963	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 N	2014/12/11	2014/12/12
65678	UBS AG – HSI R Bull CBBC May 2015 K	2014/12/11	2014/12/12
65928	Credit Suisse AG – HSI R Bull CBBC Jun 2015 N	2014/12/11	2014/12/12
62142	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug15 A	2014/12/11	2014/12/12
61655	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 T	2014/12/11	2014/12/12
65929	Credit Suisse AG – HSI R Bull CBBC Jun 2015 Q	2014/12/11	2014/12/12
65327	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 D	2014/12/11	2014/12/12
65936	UBS AG – HSI R Bull CBBC Jun 2015 O	2014/12/11	2014/12/12
65701	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 M	2014/12/11	2014/12/12
61695	HK Bank – HSI R Bull CBBC Jul 2015 J	2014/12/11	2014/12/12
61662	UBS AG – HSI R Bull CBBC May 2015 W	2014/12/11	2014/12/12
61667	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Z	2014/12/11	2014/12/12
61672	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 O	2014/12/11	2014/12/12
65549	UBS AG – HSI R Bull CBBC Jun 2015 N	2014/12/11	2014/12/12
61680	Credit Suisse AG – HSI R Bull CBBC Jun 2015 F	2014/12/11	2014/12/12
63927	Standard Chartered Bank – HSI R Bull CBBC Feb 2015 X	2014/12/11	2014/12/12
61881	Credit Suisse AG – HSI R Bull CBBC May 2015 B	2014/12/11	2014/12/12
61691	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2015 B	2014/12/11	2014/12/12
61866	UBS AG – HSI R Bull CBBC Apr 2015 E	2014/12/11	2014/12/12

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61688	HK Bank – CNOOC R Bull CBBC Dec 2014 C	2014/12/11	2014/12/12
61762	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 E	2014/12/11	2014/12/12
61759	Credit Suisse AG – HSI R Bull CBBC May 2015 U	2014/12/11	2014/12/12
61724	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 Y	2014/12/11	2014/12/12
65455	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 V	2014/12/11	2014/12/12
61733	Credit Suisse AG – HSI R Bull CBBC Apr 2015 Q	2014/12/11	2014/12/12
65921	Credit Suisse AG – HSI R Bull CBBC Jun 2015 P	2014/12/11	2014/12/12
63412	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 A	2014/12/11	2014/12/12
63658	Credit Suisse AG – HSI R Bull CBBC May 2015 E	2014/12/11	2014/12/12
65452	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Q	2014/12/11	2014/12/12
64029	HK Bank – HSI R Bull CBBC Aug 2015 K	2014/12/11	2014/12/12
61151	UBS AG – ICBC R Bull CBBC Dec 2014 A	2014/12/12	2014/12/16
66058	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 P	2014/12/12	2014/12/15
61677	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Dec 2014 A	2014/12/12	2014/12/16
66108	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 J	2014/12/12	2014/12/15
66059	UBS AG – HSI R Bull CBBC Jun 2015 P	2014/12/12	2014/12/15
66109	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 I	2014/12/12	2014/12/15
68205	UBS AG – TCH R Bear CBBC Dec 2014 A	2014/12/12	2014/12/16
62697	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 J	2014/12/12	2014/12/16
61941	Standard Chartered Bank – Kingsoft R Bull CBBC Dec 2015 C	2014/12/12	2014/12/15
61320	Citigroup Global Mkt H Inc. – China Life R Bull CBBC Dec14A	2014/12/12	2014/12/16
61318	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Dec 2014 A	2014/12/12	2014/12/16
60401	UBS AG – Kingsoft R Bull CBBC Jun 2016 B	2014/12/12	2014/12/15
66053	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 B	2014/12/12	2014/12/15
62660	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 C	2014/12/12	2014/12/16
66055	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 C	2014/12/12	2014/12/15
62687	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 H	2014/12/12	2014/12/16
66056	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 O	2014/12/12	2014/12/15
62661	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Dec 2014 D	2014/12/12	2014/12/16
62693	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Dec 2014 I	2014/12/12	2014/12/16
61287	Citigroup Global Mkt H Inc. –CSOP A50 ETF R Bull CBBC Dec14A	2014/12/12	2014/12/16
67490	HK Bank – Kingsoft R Bull CBBC Sep 2015 C	2014/12/12	2014/12/15
66074	Credit Suisse AG – HSI R Bull CBBC Jul 2015 W	2014/12/12	2014/12/15
66106	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 O	2014/12/12	2014/12/15
66083	Credit Suisse AG – HSI R Bull CBBC Jul 2015 X	2014/12/12	2014/12/15
66086	Credit Suisse AG – HSI R Bull CBBC Jul 2015 Y	2014/12/12	2014/12/15
66052	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 R	2014/12/12	2014/12/15
69007	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 G	2014/12/12	2014/12/16
69897	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC Dec 2014 C	2014/12/12	2014/12/16
69553	HK Bank – HKEx R Bull CBBC Dec 2014 B	2014/12/12	2014/12/16
64957	HK Bank – Minsheng Bank R Bull CBBC Dec 2014 A	2014/12/12	2014/12/16
65838	Credit Suisse AG – HSI R Bear CBBC Apr 2015 F	2014/12/12	2014/12/15
66089	Bank of East Asia – Hutchison R Bull CBBC Jan 2015 A	2014/12/12	2014/12/15
69233	UBS AG – ICBC R Bull CBBC Dec 2014 B	2014/12/12	2014/12/16
61016	UBS AG – China Mobile R Bull CBBC Dec 2014 A	2014/12/12	2014/12/16
64025	HK Bank – TCH R Bull CBBC Dec 2014 H	2014/12/12	2014/12/16
66060	UBS AG – HSI R Bull CBBC May 2015 G	2014/12/12	2014/12/15
69037	UBS AG – CC Bank R Bull CBBC Dec 2014 B	2014/12/12	2014/12/16
61248	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 Y	2014/12/15	2014/12/16
61241	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 L	2014/12/15	2014/12/16
61234	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 I	2014/12/15	2014/12/16
66123	HK Bank – HSCEI R Bull CBBC Jul 2015 G	2014/12/15	2014/12/16
61264	Credit Suisse AG – HSI R Bull CBBC Jun 2015 W	2014/12/15	2014/12/16
66178	BNP Paribas Arbit Issu B.V. –CSOP A50 ETF R Bull CBBC Jun15E	2014/12/15	2014/12/16
61343	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 R	2014/12/15	2014/12/16
61366	UBS AG – HSI R Bull CBBC Mar 2015 S	2014/12/15	2014/12/16
66121	HK Bank – HSI R Bull CBBC Jul 2015 T	2014/12/15	2014/12/16
60106	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 L	2014/12/15	2014/12/16
61779	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 S	2014/12/15	2014/12/16
61862	UBS AG – HSI R Bull CBBC Apr 2015 C	2014/12/15	2014/12/16
61381	Credit Suisse AG – HSI R Bull CBBC Jul 2015 Q	2014/12/15	2014/12/16
61739	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 M	2014/12/15	2014/12/16
61737	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 G	2014/12/15	2014/12/16
61735	Credit Suisse AG – HSI R Bull CBBC Apr 2015 R	2014/12/15	2014/12/16
61419	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 E	2014/12/15	2014/12/16
66180	SGA Societe Generale Acceptance N.V.-CSA50 R Bull CBBC Sep15D	2014/12/15	2014/12/16
61793	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 V	2014/12/15	2014/12/16
63777	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 X	2014/12/15	2014/12/16
63767	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 W	2014/12/15	2014/12/16
67791	Citigroup Global Mkt H Inc. – Hutchison R Bull CBBC Feb 15 B	2014/12/15	2014/12/16
60679	HK Bank – TCH R Bull CBBC Jun 2015 E	2014/12/15	2014/12/16
61813	HK Bank – HSI R Bull CBBC May 2015 C	2014/12/15	2014/12/16
61811	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2015 C	2014/12/15	2014/12/16
66147	HK Bank – A50 R Bull CBBC Jan 2016 B	2014/12/15	2014/12/16
66176	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 C	2014/12/15	2014/12/16
61899	Credit Suisse AG – HSI R Bull CBBC Jun 2015 J	2014/12/15	2014/12/16
66145	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2016 B	2014/12/15	2014/12/16
61790	Credit Suisse AG – HSI R Bull CBBC May 2015 A	2014/12/15	2014/12/16
61783	Credit Suisse AG – HSI R Bull CBBC May 2015 W	2014/12/15	2014/12/16
66175	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 Q	2014/12/15	2014/12/16
61781	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 K	2014/12/15	2014/12/16
66183	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Sep15 D	2014/12/15	2014/12/16
61777	UBS AG – HSI R Bull CBBC May 2015 Y	2014/12/15	2014/12/16
61992	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2015 D	2014/12/15	2014/12/16
66177	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2015 H	2014/12/15	2014/12/16
64087	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 A	2014/12/15	2014/12/16
62506	Standard Chartered Bank – Hutchison R Bull CBBC Apr 2015 A	2014/12/15	2014/12/16

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61541	Credit Suisse AG – HSI R Bull CBBC Apr 2015 O	2014/12/15	2014/12/16
61551	HK Bank – HSI R Bull CBBC Jun 2015 N	2014/12/15	2014/12/16
62377	Credit Suisse AG – China Mobile R Bull CBBC Feb 2015 C	2014/12/15	2014/12/16
61679	Credit Suisse AG – HSI R Bull CBBC Jun 2015 G	2014/12/15	2014/12/16
66200	UBS AG – HSI R Bull CBBC May 2015 F	2014/12/15	2014/12/16
61591	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 L	2014/12/15	2014/12/16
62891	Standard Chartered Bank – TCH R Bull CBBC Aug 2015 C	2014/12/15	2014/12/16
65981	HK Bank – HSCEI R Bull CBBC Jul 2015 F	2014/12/15	2014/12/16
66120	HK Bank – A50 R Bull CBBC Jan 2016 A	2014/12/15	2014/12/16
62406	HK Bank – CNOOC R Bull CBBC Oct 2015 E	2014/12/15	2014/12/16
66197	UBS AG – HSI R Bull CBBC May 2015 I	2014/12/15	2014/12/16
62096	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 Q	2014/12/15	2014/12/16
65999	HK Bank – A50 R Bull CBBC Dec 2015 B	2014/12/15	2014/12/16
61661	UBS AG – HSI R Bull CBBC Jun 2015 T	2014/12/15	2014/12/16
61616	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 B	2014/12/15	2014/12/16
61652	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 S	2014/12/15	2014/12/16
66206	UBS AG – HSI R Bull CBBC Jun 2015 S	2014/12/15	2014/12/16
61617	Credit Suisse AG – HSI R Bull CBBC Mar 2015 C	2014/12/15	2014/12/16
61642	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 W	2014/12/15	2014/12/16
66208	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 P	2014/12/15	2014/12/16
61624	Credit Suisse AG – HSI R Bull CBBC Mar 2015 D	2014/12/15	2014/12/16
65912	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Aug15 C	2014/12/15	2014/12/16
61625	UBS AG – HSI R Bull CBBC Apr 2015 W	2014/12/15	2014/12/16
61615	Standard Chartered Bank – HSI R Bull CBBC Jun 2015 V	2014/12/15	2014/12/16
61502	HK Bank – HSI R Bull CBBC Jul 2015 H	2014/12/15	2014/12/16
66186	Credit Suisse AG – HSI R Bull CBBC Jun 2015 R	2014/12/15	2014/12/16
66190	Credit Suisse AG – HSI R Bull CBBC Jun 2015 I	2014/12/15	2014/12/16
63284	HK Bank – Cheung Kong R Bull CBBC Oct 2015 A	2014/12/15	2014/12/16
61703	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2015 C	2014/12/15	2014/12/16
61721	UBS AG – HSI R Bull CBBC Apr 2015 Z	2014/12/15	2014/12/16
65543	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 K	2014/12/15	2014/12/16
61497	Credit Suisse AG – HSI R Bull CBBC Apr 2015 K	2014/12/15	2014/12/16
61467	Credit Suisse AG – HSI R Bull CBBC May 2015 M	2014/12/15	2014/12/16
61459	J P Morgan SP BV – HSI R Bull CBBC May 2015 M	2014/12/15	2014/12/16
62359	UBS AG – China Mobile R Bull CBBC Jun 2015 D	2014/12/15	2014/12/16
61431	UBS AG – HSI R Bull CBBC Jun 2015 Q	2014/12/15	2014/12/16
68809	UBS AG – HSBC R Bull CBBC Jun 2015 B	2014/12/15	2014/12/16
66195	Credit Suisse AG – HSI R Bull CBBC Jun 2015 H	2014/12/15	2014/12/16
66119	HK Bank – HSI R Bull CBBC Jul 2015 S	2014/12/15	2014/12/16
62059	HK Bank – China Mobile R Bull CBBC Jul 2015 L	2014/12/15	2014/12/16
66209	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 B	2014/12/15	2014/12/16
66263	Credit Suisse AG – A50 China R Bull CBBC Jun 2015 C	2014/12/16	2014/12/17
60656	UBS AG – HSI R Bull CBBC May 2015 R	2014/12/16	2014/12/17
66271	Credit Suisse AG – HSI R Bull CBBC Aug 2015 B	2014/12/16	2014/12/17
66272	Credit Suisse AG – HSI R Bull CBBC Aug 2015 C	2014/12/16	2014/12/17
61442	HK Bank – TCH R Bull CBBC Jun 2015 J	2014/12/16	2014/12/17
60644	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2015 A	2014/12/16	2014/12/17
60639	Credit Suisse AG – HSI R Bull CBBC May 2015 S	2014/12/16	2014/12/17
66273	Credit Suisse AG – HSI R Bull CBBC Aug 2015 D	2014/12/16	2014/12/17
60637	Credit Suisse AG – HSI R Bull CBBC May 2015 R	2014/12/16	2014/12/17
61411	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 Q	2014/12/16	2014/12/17
60645	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2015 B	2014/12/16	2014/12/17
66252	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jun 2015 C	2014/12/16	2014/12/17
66248	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 15U	2014/12/16	2014/12/17
65958	UBS AG – TCH R Bull CBBC Sep 2015 A	2014/12/16	2014/12/17
66247	UBS AG – HSI R Bull CBBC Jul 2015 C	2014/12/16	2014/12/17
61288	Standard Chartered Bank – HSBC R Bull CBBC Oct 2015 A	2014/12/16	2014/12/17
61594	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 Q	2014/12/16	2014/12/17
62667	HK Bank – TCH R Bull CBBC Jul 2015 K	2014/12/16	2014/12/17
60812	UBS AG – HSI R Bull CBBC May 2015 S	2014/12/16	2014/12/17
63999	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 Z	2014/12/16	2014/12/17
60810	UBS AG – HSI R Bull CBBC Mar 2015 R	2014/12/16	2014/12/17
69509	Credit Suisse AG – HSBC R Bull CBBC Jan 2015 B	2014/12/16	2014/12/17
62666	HK Bank – TCH R Bull CBBC Aug 2015 D	2014/12/16	2014/12/17
60868	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 F	2014/12/16	2014/12/17
61383	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 M	2014/12/16	2014/12/17
60706	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 B	2014/12/16	2014/12/17
60859	HK Bank – HSI R Bull CBBC Aug 2015 E	2014/12/16	2014/12/17
60354	Credit Suisse AG – HSI R Bull CBBC May 2015 I	2014/12/16	2014/12/17
64530	UBS AG – HSBC R Bull CBBC Apr 2015 A	2014/12/16	2014/12/17
60313	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 V	2014/12/16	2014/12/17
60334	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 W	2014/12/16	2014/12/17
66215	HK Bank – CSOP A50 ETF R Bull CBBC Dec 2015 G	2014/12/16	2014/12/17
60350	UBS AG – HSI R Bull CBBC Apr 2015 P	2014/12/16	2014/12/17
62050	HK Bank – HSI R Bull CBBC Jul 2015 M	2014/12/16	2014/12/17
60353	Credit Suisse AG – HSI R Bull CBBC May 2015 H	2014/12/16	2014/12/17
60615	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 N	2014/12/16	2014/12/17
66221	HK Bank – A50 R Bull CBBC Aug 2015 H	2014/12/16	2014/12/17
67500	HK Bank – Hutchison R Bull CBBC Jun 2015 D	2014/12/16	2014/12/17
65662	Standard Chartered Bank – CSOP A50 ETF R Bear CBBC Sep 2015B	2014/12/16	2014/12/17
60302	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 N	2014/12/16	2014/12/17
66222	HK Bank – A50 R Bull CBBC Aug 2015 I	2014/12/16	2014/12/17
60515	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 H	2014/12/16	2014/12/17
60352	Credit Suisse AG – HSI R Bull CBBC May 2015 G	2014/12/16	2014/12/17
68580	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 U	2014/12/16	2014/12/17
64779	Standard Chartered Bank – TCH R Bull CBBC Aug 2015 B	2014/12/16	2014/12/17
65530	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 I	2014/12/16	2014/12/17

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
68203	Bank of East Asia – TCH R Bull CBBC Aug 2015 B	2014/12/16	2014/12/17
65531	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Mar 2015 J	2014/12/16	2014/12/17
65864	Credit Suisse AG – TCH R Bull CBBC Dec 2014 E	2014/12/16	2014/12/17
60312	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 R	2014/12/16	2014/12/17
68548	Credit Suisse AG – HSI R Bull CBBC Jul 2015 H	2014/12/16	2014/12/17
60412	UBS AG – TCH R Bull CBBC Jun 2015 D	2014/12/16	2014/12/17
68664	J P Morgan SP BV – HSI R Bull CBBC May 2015 Z	2014/12/16	2014/12/17
60043	UBS AG – HSI R Bull CBBC Apr 2015 O	2014/12/16	2014/12/17
60065	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 E	2014/12/16	2014/12/17
66098	HK Bank – TCH R Bull CBBC Feb 2015 A	2014/12/16	2014/12/17
66105	HK Bank – TCH R Bull CBBC Feb 2015 C	2014/12/16	2014/12/17
62999	HK Bank – AIA R Bull CBBC Sep 2015 C	2014/12/16	2014/12/17
66241	HK Bank – HKEx R Bull CBBC Oct 2015 B	2014/12/16	2014/12/17
66276	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 I	2014/12/16	2014/12/17
66282	UBS AG – HSI R Bull CBBC Aug 2015 C	2014/12/16	2014/12/17
66288	UBS AG – HSI R Bull CBBC Apr 2015 F	2014/12/16	2014/12/17
66233	HK Bank – CSOP A50 ETF R Bull CBBC Dec 2015 H	2014/12/16	2014/12/17
66235	HK Bank – ICBC R Bull CBBC Sep 2015 A	2014/12/16	2014/12/17
66279	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 15U	2014/12/16	2014/12/17
60355	Credit Suisse AG – HSI R Bull CBBC May 2015 J	2014/12/16	2014/12/17
66230	HK Bank – Ping An R Bull CBBC Nov 2015 B	2014/12/16	2014/12/17
66313	Credit Suisse AG – HSI R Bull CBBC Aug 2015 F	2014/12/16	2014/12/17
66246	UBS AG – HSI R Bull CBBC Apr 2015 G	2014/12/16	2014/12/17
66236	HK Bank – BOCL R Bull CBBC Sep 2015 A	2014/12/16	2014/12/17
60536	HK Bank – HSI R Bull CBBC Jul 2015 F	2014/12/16	2014/12/17
66280	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 15X	2014/12/16	2014/12/17
66278	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 15E	2014/12/16	2014/12/17
66293	UBS AG – HSCEI R Bull CBBC Sep 2015 B	2014/12/16	2014/12/17
66239	HK Bank – Minsheng Bank R Bull CBBC Nov 2015 C	2014/12/16	2014/12/17
60532	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2015 I	2014/12/16	2014/12/17
66275	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 F	2014/12/16	2014/12/17
66301	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 E	2014/12/16	2014/12/17
66305	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 F	2014/12/16	2014/12/17
66310	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 G	2014/12/16	2014/12/17
66311	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 Q	2014/12/16	2014/12/17
66312	Credit Suisse AG – HSI R Bull CBBC Aug 2015 E	2014/12/16	2014/12/17
66245	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 M	2014/12/16	2014/12/17
66243	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 D	2014/12/16	2014/12/17
65898	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Aug15B	2014/12/17	2014/12/18
66355	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2015 J	2014/12/17	2014/12/18
66388	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 F	2014/12/17	2014/12/18
63579	HK Bank – Galaxy Ent R Bull CBBC Dec 2014 E	2014/12/17	2014/12/18
67130	UBS AG – Galaxy Ent R Bull CBBC Oct 2015 A	2014/12/17	2014/12/18
64996	Bank of East Asia – Lenovo R Bull CBBC May 2015 A	2014/12/17	2014/12/18
66782	HK Bank – Geely Auto R Bull CBBC Jun 2015 A	2014/12/17	2014/12/18
66405	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 R	2014/12/17	2014/12/18
66398	Credit Suisse AG – HSI R Bull CBBC May 2015 Z	2014/12/17	2014/12/18
68594	HK Bank – Lenovo R Bull CBBC Feb 2015 A	2014/12/17	2014/12/18
66238	HK Bank – China Shenhua R Bull CBBC Sep 2015 B	2014/12/17	2014/12/18
63058	Bank of East Asia – Galaxy Ent R Bull CBBC Aug 2015 A	2014/12/17	2014/12/18
66240	HK Bank – HKEx R Bull CBBC Oct 2015 A	2014/12/17	2014/12/18
65800	HK Bank – CSOP A50 ETF R Bear CBBC Aug 2015 B	2014/12/17	2014/12/18
66395	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 H	2014/12/17	2014/12/18
62398	HK Bank – TCH R Bull CBBC Jun 2015 M	2014/12/17	2014/12/18
63441	Standard Chartered Bank – TCH R Bull CBBC Dec 2014 B	2014/12/17	2014/12/19
66300	UBS AG – HKEx R Bull CBBC Jul 2015 B	2014/12/17	2014/12/18
62935	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Dec 2014 B	2014/12/17	2014/12/19
60513	Standard Chartered Bank – HSI R Bull CBBC Oct 2015 G	2014/12/17	2014/12/18
60844	HK Bank – Galaxy Ent R Bull CBBC Jun 2015 B	2014/12/17	2014/12/18
65595	HK Bank – A50 R Bear CBBC Nov 2015 D	2014/12/17	2014/12/18
60745	Standard Chartered Bank – Sands China R Bull CBBC Jul 2015 B	2014/12/17	2014/12/18
60737	Standard Chartered Bank – Galaxy Ent R Bull CBBC Oct 2015 A	2014/12/17	2014/12/18
66422	UBS AG – HSI R Bull CBBC Apr 2015 H	2014/12/17	2014/12/18
63969	Citigroup Global Mkt H Inc. – TCH R Bull CBBC Feb 2015 H	2014/12/17	2014/12/18
60349	UBS AG – HSI R Bull CBBC May 2015 O	2014/12/17	2014/12/18
66433	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 Q	2014/12/17	2014/12/18
64331	UBS AG – TCH R Bull CBBC Aug 2015 B	2014/12/17	2014/12/18
60655	UBS AG – HSI R Bull CBBC Apr 2015 Q	2014/12/17	2014/12/18
64226	HK Bank – TCH R Bull CBBC Jan 2015 B	2014/12/17	2014/12/18
60604	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May15 M	2014/12/17	2014/12/18
60636	Credit Suisse AG – HSI R Bull CBBC May 2015 Q	2014/12/17	2014/12/18
60609	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 N	2014/12/17	2014/12/18
60718	HK Bank – HSI R Bull CBBC Sep 2015 B	2014/12/17	2014/12/18
64225	HK Bank – TCH R Bull CBBC Jan 2015 A	2014/12/17	2014/12/18
64727	Credit Suisse AG – TCH R Bull CBBC Jan 2015 A	2014/12/17	2014/12/18
62197	Bank of East Asia – Kingsoft R Bull CBBC Oct 2015 A	2014/12/17	2014/12/18
66417	Credit Suisse AG – HSI R Bull CBBC May 2015 C	2014/12/17	2014/12/18
67462	UBS AG – Geely Auto R Bull CBBC Jun 2015 C	2014/12/17	2014/12/18
66418	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 S	2014/12/17	2014/12/18
68956	Standard Chartered Bank – Lenovo R Bull CBBC Sep 2015 A	2014/12/17	2014/12/18
61796	UBS AG – Kingsoft R Bull CBBC Jun 2015 A	2014/12/17	2014/12/18
60300	J P Morgan SP BV – HSI R Bull CBBC Jul 2015 Z	2014/12/17	2014/12/18
67818	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 G	2014/12/17	2014/12/18
61274	Credit Suisse AG – HSI R Bull CBBC Jul 2015 K	2014/12/17	2014/12/18
62873	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Dec 2014 B	2014/12/17	2014/12/19
65460	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Jun 2015 B	2014/12/17	2014/12/18
66420	UBS AG – HSI R Bull CBBC Jun 2015 U	2014/12/17	2014/12/18

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60314	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2015 X	2014/12/17	2014/12/18
60331	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr15 O	2014/12/17	2014/12/18
61024	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Apr15 D	2014/12/17	2014/12/18
61022	Citigroup Global Mkt H Inc. – Galaxy Ent R Bull CBBC Apr15 C	2014/12/17	2014/12/18
69991	J P Morgan SP BV – HSI R Bull CBBC May 2015 B	2014/12/17	2014/12/18
65899	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Jul15C	2014/12/18	2014/12/19
66471	Credit Suisse AG – HSI R Bull CBBC Jun 2015 T	2014/12/18	2014/12/19
66450	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 B	2014/12/18	2014/12/19
66453	J P Morgan SP BV – HSI R Bull CBBC Jun 2015 L	2014/12/18	2014/12/19
66454	UBS AG – HSI R Bull CBBC Jul 2015 G	2014/12/18	2014/12/19
66456	UBS AG – HSI R Bull CBBC May 2015 H	2014/12/18	2014/12/19
66462	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul15 N	2014/12/18	2014/12/19
66467	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 I	2014/12/18	2014/12/19
65903	Credit Suisse AG – TCH R Bear CBBC Dec 2014 D	2014/12/18	2014/12/22
66469	Credit Suisse AG – HSI R Bull CBBC Jun 2015 S	2014/12/18	2014/12/19
66460	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun15 O	2014/12/18	2014/12/19
66472	Credit Suisse AG – HSI R Bull CBBC Jun 2015 E	2014/12/18	2014/12/19
66476	Credit Suisse AG – HSI R Bull CBBC Jun 2015 K	2014/12/18	2014/12/19
66477	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 T	2014/12/18	2014/12/19
66478	Standard Chartered Bank – HSI R Bull CBBC Mar 2015 U	2014/12/18	2014/12/19
62849	Standard Chartered Bank – Kingsoft R Bear CBBC Dec 2014 A	2014/12/18	2014/12/22
63080	Standard Chartered Bank – Kingsoft R Bear CBBC Dec 2014 B	2014/12/18	2014/12/22
66468	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2015 J	2014/12/18	2014/12/19
62180	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Dec 2014 A	2014/12/18	2014/12/22
62181	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Dec 2014 A	2014/12/18	2014/12/22
60075	UBS AG – ICBC R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
63686	HK Bank – Kingsoft R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
67980	Citigroup Global Mkt H Inc. – BOCL R Bull CBBC Feb 2015 D	2014/12/19	2014/12/22
66530	Credit Suisse AG – HSI R Bull CBBC May 2015 V	2014/12/19	2014/12/22
66531	Credit Suisse AG – HSI R Bull CBBC May 2015 D	2014/12/19	2014/12/22
64560	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15J	2014/12/19	2014/12/22
65317	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC May15B	2014/12/19	2014/12/22
66524	UBS AG – HSI R Bull CBBC Jun 2015 R	2014/12/19	2014/12/22
68672	HK Bank – COVS R Bull CBBC Dec 2014 A	2014/12/19	2014/12/23
68393	Citigroup Global Mkt H Inc. – Hutchison R Bear CBBC Apr 15 A	2014/12/19	2014/12/22
65183	HK Bank – China Shenhua R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
64562	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr15K	2014/12/19	2014/12/22
64107	HK Bank – TCH R Bull CBBC Dec 2014 J	2014/12/19	2014/12/23
65315	Citigroup Global Mkt H Inc. – Galaxy Ent R Bear CBBC May15 A	2014/12/19	2014/12/22
63331	HK Bank – CC Bank R Bull CBBC Dec 2014 C	2014/12/19	2014/12/23
63025	HK Bank – ICBC R Bull CBBC Dec 2014 C	2014/12/19	2014/12/23
63028	HK Bank – Ping An R Bull CBBC Dec 2014 B	2014/12/19	2014/12/23
66755	UBS AG – Anhui Conch R Bull CBBC Dec 2014 B	2014/12/19	2014/12/23
65127	Citigroup Global Mkt H Inc. – PetCh R Bear CBBC May 2015 A	2014/12/19	2014/12/22
65117	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC May 15 B	2014/12/19	2014/12/22
60587	HK Bank – CNBM R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
63582	HK Bank – Galaxy Ent R Bull CBBC Dec 2014 F	2014/12/19	2014/12/23
66523	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 R	2014/12/19	2014/12/22
66528	UBS AG – HSI R Bull CBBC May 2015 A	2014/12/19	2014/12/22
61785	Citigroup Global Mkt H Inc. – HKEx R Bear CBBC Jan 2015 I	2014/12/19	2014/12/22
61386	Citigroup Global Mkt H Inc. -Sinopec Corp R Bear CBBC Jan15B	2014/12/19	2014/12/22
61101	UBS AG – HSBC R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
66799	HK Bank – CC Bank R Bull CBBC Dec 2014 A	2014/12/19	2014/12/23
60481	UBS AG – AIA R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
66303	HK Bank – Galaxy Ent R Bear CBBC Dec 2014 B	2014/12/19	2014/12/23
66486	HK Bank – CM Bank R Bull CBBC Dec 2014 A	2014/12/19	2014/12/23
67757	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Feb 15 A	2014/12/19	2014/12/22
65093	Bank of East Asia – Hutchison R Bull CBBC Dec 2014 A	2014/12/19	2014/12/23
69557	HK Bank – AIA R Bull CBBC Dec 2014 A	2014/12/19	2014/12/23
67984	Citigroup Global Mkt H Inc. – ICBC R Bull CBBC Feb 2015 A	2014/12/19	2014/12/22
62970	UBS AG – Kingsoft R Bear CBBC Dec 2014 A	2014/12/19	2014/12/23
69971	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 15 C	2014/12/19	2014/12/22
68966	HK Bank – PetCh R Bull CBBC Dec 2014 A	2014/12/19	2014/12/23
64561	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2015 B	2014/12/19	2014/12/22
67994	Citigroup Global Mkt H Inc. – CC Bank R Bull CBBC Feb 2015 A	2014/12/19	2014/12/22
69363	Citigroup Global Mkt H Inc. – AIA R Bull CBBC Jan 2015 A	2014/12/19	2014/12/22
63048	Citigroup Global Mkt H Inc. – HSBC R Bear CBBC Apr 2015 B	2014/12/19	2014/12/22
63060	Citigroup Global Mkt H Inc. – TCH R Bear CBBC Apr 2015 B	2014/12/19	2014/12/22
66610	UBS AG – HSI R Bear CBBC Apr 2015 U	2014/12/22	2014/12/23
66611	UBS AG – HSI R Bear CBBC Apr 2015 V	2014/12/22	2014/12/23
66621	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 L	2014/12/22	2014/12/23
66612	UBS AG – HSI R Bear CBBC Mar 2015 N	2014/12/22	2014/12/23
65467	Credit Suisse AG – A50 China R Bear CBBC Jun 2015 B	2014/12/22	2014/12/23
66614	Credit Suisse AG – HSI R Bear CBBC May 2015 P	2014/12/22	2014/12/23
66615	Credit Suisse AG – HSI R Bear CBBC May 2015 Q	2014/12/22	2014/12/23
66617	Credit Suisse AG – HSI R Bear CBBC Apr 2015 F	2014/12/22	2014/12/23
66619	Credit Suisse AG – HSI R Bear CBBC May 2015 R	2014/12/22	2014/12/23
66515	HK Bank – HSCEI R Bear CBBC Jun 2015 B	2014/12/22	2014/12/23
66622	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 Y	2014/12/22	2014/12/23
66620	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 K	2014/12/22	2014/12/23
66539	Credit Suisse AG – HSI R Bear CBBC Apr 2015 W	2014/12/22	2014/12/23
66122	HK Bank – CSOP A50 ETF R Bear CBBC Sep 2015 A	2014/12/22	2014/12/23
65917	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Aug15B	2014/12/22	2014/12/23
66542	UBS AG – HSI R Bear CBBC May 2015 L	2014/12/22	2014/12/23
66605	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 S	2014/12/22	2014/12/23
66623	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 V	2014/12/22	2014/12/23
66607	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 T	2014/12/22	2014/12/23

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
66543	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 V	2014/12/22	2014/12/23
66591	Macquarie Bank Ltd. – HSI R Bear CBBC May 2015 C	2014/12/22	2014/12/23
66538	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Q	2014/12/22	2014/12/23
66595	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 C	2014/12/22	2014/12/23
66532	Credit Suisse AG – HSI R Bear CBBC Apr 2015 Y	2014/12/22	2014/12/23
65345	HK Bank – Minsheng Bank R Bear CBBC Aug 2015 A	2014/12/22	2014/12/23
65831	UBS AG – HSI R Bear CBBC Apr 2015 P	2014/12/22	2014/12/23
66484	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 J	2014/12/22	2014/12/23
66414	Credit Suisse AG – HSI R Bear CBBC Mar 2015 Z	2014/12/22	2014/12/23
66406	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 R	2014/12/22	2014/12/23
66480	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 X	2014/12/22	2014/12/23
66548	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2015 A	2014/12/22	2014/12/23
66483	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 I	2014/12/22	2014/12/23
66427	UBS AG – HSI R Bear CBBC Jun 2015 H	2014/12/22	2014/12/23
66485	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 Z	2014/12/22	2014/12/23
66487	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 R	2014/12/22	2014/12/23
66493	Credit Suisse AG – HSI R Bear CBBC May 2015 O	2014/12/22	2014/12/23
66497	UBS AG – HSI R Bear CBBC May 2015 K	2014/12/22	2014/12/23
66498	UBS AG – HSI R Bear CBBC Mar 2015 A	2014/12/22	2014/12/23
63439	Standard Chartered Bank – TCH R Bull CBBC Dec 2014 A	2014/12/22	2014/12/24
66489	Credit Suisse AG – HSI R Bear CBBC May 2015 N	2014/12/22	2014/12/23
66482	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 S	2014/12/22	2014/12/23
66685	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 U	2014/12/23	2014/12/24
66624	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 Z	2014/12/23	2014/12/24
66776	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May15 C	2014/12/23	2014/12/24
66512	HK Bank – HSI R Bear CBBC Jul 2015 K	2014/12/23	2014/12/24
66627	J P Morgan SP BV – A50 China R Bear CBBC Apr 2015 B	2014/12/23	2014/12/24
66768	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2015 D	2014/12/23	2014/12/24
66767	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2015 C	2014/12/23	2014/12/24
66757	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 C	2014/12/23	2014/12/24
66688	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 V	2014/12/23	2014/12/24
66673	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May15 A	2014/12/23	2014/12/24
66635	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Apr 2015 A	2014/12/23	2014/12/24
66657	HK Bank – HSI R Bear CBBC Aug 2015 D	2014/12/23	2014/12/24
66658	HK Bank – HSI R Bear CBBC Sep 2015 C	2014/12/23	2014/12/24
66756	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 B	2014/12/23	2014/12/24
66841	Credit Suisse AG – HSI R Bear CBBC Jun 2015 F	2014/12/23	2014/12/24
66332	Credit Suisse AG – HSI R Bear CBBC Apr 2015 X	2014/12/23	2014/12/24
66861	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 D	2014/12/23	2014/12/24
66858	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 O	2014/12/23	2014/12/24
66857	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 D	2014/12/23	2014/12/24
66854	Credit Suisse AG – HSI R Bear CBBC May 2015 U	2014/12/23	2014/12/24
66850	Credit Suisse AG – HSI R Bear CBBC May 2015 T	2014/12/23	2014/12/24
66846	Credit Suisse AG – HSI R Bear CBBC May 2015 S	2014/12/23	2014/12/24
66811	UBS AG – HSI R Bear CBBC May 2015 N	2014/12/23	2014/12/24
66842	Credit Suisse AG – HSI R Bear CBBC Jun 2015 G	2014/12/23	2014/12/24
66803	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 M	2014/12/23	2014/12/24
66815	UBS AG – HSI R Bear CBBC Mar 2015 P	2014/12/23	2014/12/24
66771	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May15 B	2014/12/23	2014/12/24
66814	UBS AG – HSI R Bear CBBC Apr 2015 W	2014/12/23	2014/12/24
66337	UBS AG – HSI R Bear CBBC Mar 2015 C	2014/12/23	2014/12/24
66809	UBS AG – HSI R Bear CBBC May 2015 M	2014/12/23	2014/12/24
66807	UBS AG – HSI R Bear CBBC Mar 2015 I	2014/12/23	2014/12/24
66804	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 N	2014/12/23	2014/12/24
66845	Credit Suisse AG – HSI R Bear CBBC Jun 2015 H	2014/12/23	2014/12/24
66340	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 15X	2014/12/23	2014/12/24
64973	HK Bank – Ping An R Bear CBBC Oct 2015 A	2014/12/23	2014/12/24
64852	Standard Chartered Bank – China Life R Bear CBBC May 2015 B	2014/12/23	2014/12/24
67010	Credit Suisse AG – HSI R Bear CBBC Jun 2015 K	2014/12/24	2014/12/29
66862	HK Bank – HSI R Bear CBBC Sep 2015 D	2014/12/24	2014/12/29
66873	HK Bank – TCH R Bear CBBC Aug 2015 I	2014/12/24	2014/12/29
66876	HK Bank – TCH R Bear CBBC Sep 2015 F	2014/12/24	2014/12/29
66925	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr15 H	2014/12/24	2014/12/29
66942	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 P	2014/12/24	2014/12/29
66987	Credit Suisse AG – HSI R Bear CBBC Jul 2015 C	2014/12/24	2014/12/29
66988	Credit Suisse AG – HSI R Bear CBBC Jul 2015 D	2014/12/24	2014/12/29
66995	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 H	2014/12/24	2014/12/29
67001	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 I	2014/12/24	2014/12/29
67002	Credit Suisse AG – HSI R Bear CBBC May 2015 V	2014/12/24	2014/12/29
67003	Credit Suisse AG – HSI R Bear CBBC May 2015 W	2014/12/24	2014/12/29
67005	Credit Suisse AG – HSI R Bear CBBC Jun 2015 J	2014/12/24	2014/12/29
66870	HK Bank – HSI R Bear CBBC Oct 2015 B	2014/12/24	2014/12/29
67011	UBS AG – HSI R Bear CBBC Jun 2015 K	2014/12/24	2014/12/29
67012	UBS AG – HSI R Bear CBBC Jun 2015 L	2014/12/24	2014/12/29
67013	UBS AG – HSI R Bear CBBC Jun 2015 M	2014/12/24	2014/12/29
67014	UBS AG – HSI R Bear CBBC May 2015 O	2014/12/24	2014/12/29
67015	UBS AG – HSI R Bear CBBC May 2015 P	2014/12/24	2014/12/29
67017	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May15 D	2014/12/24	2014/12/29
67019	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 H	2014/12/24	2014/12/29
67020	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 M	2014/12/24	2014/12/29
67022	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 E	2014/12/24	2014/12/29
67028	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 Q	2014/12/24	2014/12/29
64899	HK Bank – A50 R Bull CBBC Dec 2014 A	2014/12/24	2014/12/30
66754	UBS AG – Anhui Conch R Bull CBBC Dec 2014 A	2014/12/24	2014/12/30
67004	Credit Suisse AG – HSI R Bear CBBC Jun 2015 I	2014/12/24	2014/12/29
67049	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 G	2014/12/29	2014/12/30
66509	HK Bank – HSI R Bear CBBC Jul 2015 J	2014/12/29	2014/12/30

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
60074	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 N	2014/12/29	2014/12/31
66390	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 M	2014/12/29	2014/12/30
68328	UBS AG – HSCEI R Bull CBBC Dec 2014 E	2014/12/29	2014/12/31
66353	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2015 C	2014/12/29	2014/12/30
66666	HK Bank – TCH R Bear CBBC Sep 2015 E	2014/12/29	2014/12/30
66322	Credit Suisse AG – HSI R Bear CBBC Apr 2015 T	2014/12/29	2014/12/30
66350	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 G	2014/12/29	2014/12/30
66346	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 F	2014/12/29	2014/12/30
66321	Credit Suisse AG – HSI R Bear CBBC Apr 2015 S	2014/12/29	2014/12/30
66656	HK Bank – HSI R Bear CBBC Jul 2015 L	2014/12/29	2014/12/30
68049	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 X	2014/12/29	2014/12/31
67038	HK Bank – TCH R Bear CBBC Oct 2015 A	2014/12/29	2014/12/30
66394	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 H	2014/12/29	2014/12/30
67054	UBS AG – HSI R Bear CBBC Mar 2015 T	2014/12/29	2014/12/30
66255	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Jun 2015 C	2014/12/29	2014/12/30
67056	UBS AG – HSI R Bear CBBC Mar 2015 W	2014/12/29	2014/12/30
67058	UBS AG – HSI R Bear CBBC Mar 2015 X	2014/12/29	2014/12/30
67083	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 J	2014/12/29	2014/12/30
67084	Standard Chartered Bank – HSI R Bear CBBC Apr 2015 K	2014/12/29	2014/12/30
67097	Credit Suisse AG – HSI R Bear CBBC May 2015 X	2014/12/29	2014/12/30
67098	Credit Suisse AG – HSI R Bear CBBC May 2015 Y	2014/12/29	2014/12/30
66339	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 R	2014/12/29	2014/12/30
67106	Credit Suisse AG – HSI R Bear CBBC May 2015 Z	2014/12/29	2014/12/30
67107	Credit Suisse AG – HSI R Bear CBBC May 2015 C	2014/12/29	2014/12/30
67163	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 I	2014/12/29	2014/12/30
66344	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 15Y	2014/12/29	2014/12/30
69963	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 J	2014/12/29	2014/12/31
61904	UBS AG – HSI R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
61903	UBS AG – HSI R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
60093	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 P	2014/12/29	2014/12/31
60088	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 O	2014/12/29	2014/12/31
66488	Credit Suisse AG – HSI R Bear CBBC May 2015 M	2014/12/29	2014/12/30
66496	UBS AG – HSI R Bear CBBC Jun 2015 I	2014/12/29	2014/12/30
60124	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 J	2014/12/29	2014/12/31
66335	UBS AG – HSI R Bear CBBC May 2015 H	2014/12/29	2014/12/30
66504	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 U	2014/12/29	2014/12/30
66506	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2015 X	2014/12/29	2014/12/30
66407	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 T	2014/12/29	2014/12/30
66391	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar15 Q	2014/12/29	2014/12/30
66336	UBS AG – HSI R Bear CBBC Apr 2015 S	2014/12/29	2014/12/30
66540	UBS AG – HSI R Bear CBBC Apr 2015 T	2014/12/29	2014/12/30
60125	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 K	2014/12/29	2014/12/31
66425	UBS AG – HSI R Bear CBBC May 2015 J	2014/12/29	2014/12/30
66594	Macquarie Bank Ltd. – HSI R Bear CBBC May 2015 D	2014/12/29	2014/12/30
66424	UBS AG – HSI R Bear CBBC May 2015 I	2014/12/29	2014/12/30
66330	Credit Suisse AG – HSI R Bear CBBC Apr 2015 V	2014/12/29	2014/12/30
66416	Credit Suisse AG – HSI R Bear CBBC Mar 2015 X	2014/12/29	2014/12/30
66415	Credit Suisse AG – HSI R Bear CBBC Mar 2015 R	2014/12/29	2014/12/30
66410	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 R	2014/12/29	2014/12/30
66408	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 U	2014/12/29	2014/12/30
68278	UBS AG – HSI R Bull CBBC Dec 2014 S	2014/12/29	2014/12/31
68058	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 K	2014/12/29	2014/12/31
66613	Credit Suisse AG – HSI R Bear CBBC Jun 2015 E	2014/12/29	2014/12/30
66338	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 S	2014/12/29	2014/12/30
65874	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 C	2014/12/29	2014/12/31
65680	UBS AG – HSI R Bear CBBC Dec 2014 X	2014/12/29	2014/12/31
68870	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 J	2014/12/29	2014/12/31
65702	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 F	2014/12/29	2014/12/31
65709	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 G	2014/12/29	2014/12/31
65754	UBS AG – HSI R Bear CBBC Dec 2014 Y	2014/12/29	2014/12/31
68795	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 I	2014/12/29	2014/12/31
65786	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14X	2014/12/29	2014/12/31
65787	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 14Y	2014/12/29	2014/12/31
65790	UBS AG – HSI R Bear CBBC Dec 2014 E	2014/12/29	2014/12/31
65791	UBS AG – HSI R Bear CBBC Dec 2014 F	2014/12/29	2014/12/31
65855	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 U	2014/12/29	2014/12/31
65941	UBS AG – HSI R Bear CBBC Dec 2014 J	2014/12/29	2014/12/31
65871	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 Z	2014/12/29	2014/12/31
68876	HK Bank – HSI R Bull CBBC Dec 2014 G	2014/12/29	2014/12/31
65875	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 A	2014/12/29	2014/12/31
66578	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
65915	Credit Suisse AG – HSI R Bear CBBC Dec 2014 Y	2014/12/29	2014/12/31
65916	Credit Suisse AG – HSI R Bear CBBC Dec 2014 Z	2014/12/29	2014/12/31
65920	Credit Suisse AG – HSI R Bear CBBC Dec 2014 E	2014/12/29	2014/12/31
65922	Credit Suisse AG – HSI R Bear CBBC Dec 2014 F	2014/12/29	2014/12/31
65933	Credit Suisse AG – HSI R Bear CBBC Dec 2014 I	2014/12/29	2014/12/31
65934	Credit Suisse AG – HSI R Bear CBBC Dec 2014 A	2014/12/29	2014/12/31
65935	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2014 C	2014/12/29	2014/12/31
65937	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2014 D	2014/12/29	2014/12/31
62392	UBS AG – HSCEI R Bull CBBC Dec 2014 H	2014/12/29	2014/12/31
65857	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 V	2014/12/29	2014/12/31
65500	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 V	2014/12/29	2014/12/31
66049	SGA Societe Generale Acceptance N.V.-CSA50 R Bear CBBC Aug15C	2014/12/29	2014/12/30
60404	Credit Suisse AG – HKEx R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
61428	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 L	2014/12/29	2014/12/31
67310	HK Bank – HSCEI R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
69572	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2014 D	2014/12/29	2014/12/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
69568	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 H	2014/12/29	2014/12/31
69551	HK Bank – HKEx R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
69506	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 E	2014/12/29	2014/12/31
69395	HK Bank – Cheung Kong R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
67464	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 D	2014/12/29	2014/12/31
65436	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 M	2014/12/29	2014/12/31
65672	Credit Suisse AG – HSI R Bear CBBC Dec 2014 C	2014/12/29	2014/12/31
65443	UBS AG – HSI R Bear CBBC Dec 2014 T	2014/12/29	2014/12/31
65670	Credit Suisse AG – HSI R Bear CBBC Dec 2014 X	2014/12/29	2014/12/31
65503	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 W	2014/12/29	2014/12/31
69137	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 W	2014/12/29	2014/12/31
69108	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 V	2014/12/29	2014/12/31
67548	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2014 C	2014/12/29	2014/12/31
68600	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 F	2014/12/29	2014/12/31
65550	UBS AG – HSI R Bear CBBC Dec 2014 W	2014/12/29	2014/12/31
69073	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 U	2014/12/29	2014/12/31
69030	UBS AG – HSCEI R Bull CBBC Dec 2014 F	2014/12/29	2014/12/31
68963	HK Bank – HSCEI R Bull CBBC Dec 2014 C	2014/12/29	2014/12/31
65625	HK Bank – ABC R Bear CBBC Apr 2015 A	2014/12/29	2014/12/30
68902	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 K	2014/12/29	2014/12/31
65980	UBS AG – HSI R Bear CBBC Dec 2014 A	2014/12/29	2014/12/31
65440	UBS AG – HSI R Bear CBBC Dec 2014 U	2014/12/29	2014/12/31
68727	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec 14U	2014/12/29	2014/12/31
68771	Credit Suisse AG – HSI R Bull CBBC Dec 2014 N	2014/12/29	2014/12/31
68770	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Q	2014/12/29	2014/12/31
67102	Credit Suisse AG – HSI R Bear CBBC Dec 2014 M	2014/12/29	2014/12/31
67109	Credit Suisse AG – HSI R Bear CBBC Dec 2014 N	2014/12/29	2014/12/31
68769	Credit Suisse AG – HSI R Bull CBBC Dec 2014 Z	2014/12/29	2014/12/31
66020	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 S	2014/12/29	2014/12/31
65938	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 E	2014/12/29	2014/12/31
67398	Credit Suisse AG – HSI R Bear CBBC Dec 2014 R	2014/12/29	2014/12/31
67823	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2014 H	2014/12/29	2014/12/31
68768	Credit Suisse AG – HSI R Bull CBBC Dec 2014 S	2014/12/29	2014/12/31
69896	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 G	2014/12/29	2014/12/31
65939	UBS AG – HSI R Bear CBBC Dec 2014 H	2014/12/29	2014/12/31
68749	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 T	2014/12/29	2014/12/31
66707	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 H	2014/12/29	2014/12/31
68726	UBS AG – HSI R Bull CBBC Dec 2014 T	2014/12/29	2014/12/31
67864	Credit Suisse AG – HSI R Bull CBBC Dec 2014 H	2014/12/29	2014/12/31
61060	HK Bank – HSCEI R Bear CBBC Dec 2014 A	2014/12/29	2014/12/31
67868	Credit Suisse AG – HSI R Bull CBBC Dec 2014 I	2014/12/29	2014/12/31
68720	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 H	2014/12/29	2014/12/31
68715	Credit Suisse AG – HSI R Bull CBBC Dec 2014 R	2014/12/29	2014/12/31
68713	Credit Suisse AG – HSI R Bull CBBC Dec 2014 T	2014/12/29	2014/12/31
68695	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 E	2014/12/29	2014/12/31
68712	Credit Suisse AG – HSI R Bull CBBC Dec 2014 X	2014/12/29	2014/12/31
68711	Credit Suisse AG – HSI R Bull CBBC Dec 2014 W	2014/12/29	2014/12/31
68699	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 N	2014/12/29	2014/12/31
65765	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 K	2014/12/29	2014/12/31
68794	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 D	2014/12/29	2014/12/31
66071	UBS AG – HSI R Bear CBBC Dec 2014 I	2014/12/29	2014/12/31
66072	UBS AG – HSI R Bear CBBC Dec 2014 K	2014/12/29	2014/12/31
66076	UBS AG – HSI R Bear CBBC Dec 2014 L	2014/12/29	2014/12/31
66078	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 W	2014/12/29	2014/12/31
66080	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 X	2014/12/29	2014/12/31
66084	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 B	2014/12/29	2014/12/31
66085	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 D	2014/12/29	2014/12/31
66088	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 E	2014/12/29	2014/12/31
66158	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 H	2014/12/29	2014/12/31
66162	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Y	2014/12/29	2014/12/31
66174	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 F	2014/12/29	2014/12/31
66244	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 U	2014/12/29	2014/12/31
66232	UBS AG – HSI R Bear CBBC Dec 2014 N	2014/12/29	2014/12/31
66709	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 I	2014/12/29	2014/12/31
66283	Credit Suisse AG – HSI R Bear CBBC Dec 2014 B	2014/12/29	2014/12/31
66284	Credit Suisse AG – HSI R Bear CBBC Dec 2014 D	2014/12/29	2014/12/31
68772	Credit Suisse AG – HSI R Bull CBBC Dec 2014 O	2014/12/29	2014/12/31
66436	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 G	2014/12/29	2014/12/31
66455	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 I	2014/12/29	2014/12/31
66475	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 Q	2014/12/29	2014/12/31
66545	UBS AG – HSI R Bear CBBC Dec 2014 O	2014/12/29	2014/12/31
66552	UBS AG – HSI R Bear CBBC Dec 2014 P	2014/12/29	2014/12/31
66554	Credit Suisse AG – HSI R Bear CBBC Dec 2014 H	2014/12/29	2014/12/31
66565	Credit Suisse AG – HSI R Bear CBBC Dec 2014 J	2014/12/29	2014/12/31
66568	Credit Suisse AG – HSI R Bear CBBC Dec 2014 K	2014/12/29	2014/12/31
60405	Credit Suisse AG – HKEx R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
66224	UBS AG – HSI R Bear CBBC Dec 2014 B	2014/12/29	2014/12/31
61396	Credit Suisse AG – HSI R Bull CBBC Dec 2014 L	2014/12/29	2014/12/31
61097	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 T	2014/12/29	2014/12/31
61208	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 M	2014/12/29	2014/12/31
61473	HK Bank – HSI R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
61211	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 N	2014/12/29	2014/12/31
61461	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 O	2014/12/29	2014/12/31
61253	Credit Suisse AG – HSI R Bear CBBC Dec 2014 V	2014/12/29	2014/12/31
61349	UBS AG – HSI R Bear CBBC Dec 2014 R	2014/12/29	2014/12/31
61432	UBS AG – HSI R Bear CBBC Dec 2014 S	2014/12/29	2014/12/31
61429	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 M	2014/12/29	2014/12/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
61427	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 K	2014/12/29	2014/12/31
68697	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 J	2014/12/29	2014/12/31
62688	Credit Suisse AG – HKEx R Bear CBBC Dec 2014 A	2014/12/29	2014/12/31
61629	UBS AG – Ping An R Bear CBBC May 2015 A	2014/12/29	2014/12/30
69456	UBS AG – HSCEI R Bear CBBC Jan 2015 A	2014/12/29	2014/12/30
61394	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 F	2014/12/29	2014/12/31
69053	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2014 E	2014/12/29	2014/12/31
62128	HK Bank – HSCEI R Bear CBBC May 2015 B	2014/12/29	2014/12/30
61267	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 N	2014/12/29	2014/12/31
61107	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 I	2014/12/29	2014/12/31
61089	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 H	2014/12/29	2014/12/31
61081	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 G	2014/12/29	2014/12/31
61079	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 F	2014/12/29	2014/12/31
61073	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 D	2014/12/29	2014/12/31
62400	UBS AG – HSCEI R Bull CBBC Dec 2014 I	2014/12/29	2014/12/31
61066	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 B	2014/12/29	2014/12/31
61426	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 J	2014/12/29	2014/12/31
64919	HK Bank – China Life R Bear CBBC Oct 2015 A	2014/12/29	2014/12/30
66044	UBS AG – HSI R Bear CBBC May 2015 E	2014/12/29	2014/12/30
66023	Credit Suisse AG – HSI R Bear CBBC Mar 2015 U	2014/12/29	2014/12/30
65866	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 O	2014/12/29	2014/12/30
65861	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2015 C	2014/12/29	2014/12/30
65854	Standard Chartered Bank – HSI R Bear CBBC Mar 2015 O	2014/12/29	2014/12/30
65837	Credit Suisse AG – HSI R Bear CBBC May 2015 L	2014/12/29	2014/12/30
65835	Credit Suisse AG – HSI R Bear CBBC Mar 2015 P	2014/12/29	2014/12/30
65829	UBS AG – HSI R Bear CBBC Mar 2015 Z	2014/12/29	2014/12/30
65828	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 N	2014/12/29	2014/12/30
65771	UBS AG – HSI R Bear CBBC Apr 2015 O	2014/12/29	2014/12/30
65724	Credit Suisse AG – HSI R Bear CBBC May 2015 K	2014/12/29	2014/12/30
61088	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 S	2014/12/29	2014/12/31
65609	HK Bank – Ping An R Bear CBBC Nov 2015 A	2014/12/29	2014/12/30
69452	UBS AG – HSI R Bear CBBC Dec 2014 M	2014/12/29	2014/12/31
68457	HK Bank – HSCEI R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
60380	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 M	2014/12/29	2014/12/31
60383	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec14 N	2014/12/29	2014/12/31
62371	HK Bank – HSI R Bull CBBC Dec 2014 D	2014/12/29	2014/12/31
60738	Credit Suisse AG – HSI R Bear CBBC Dec 2014 O	2014/12/29	2014/12/31
60957	Credit Suisse AG – HSI R Bear CBBC Dec 2014 P	2014/12/29	2014/12/31
60958	Credit Suisse AG – HSI R Bear CBBC Dec 2014 Q	2014/12/29	2014/12/31
61565	HK Bank – HSI R Bull CBBC Dec 2014 C	2014/12/29	2014/12/31
60998	Standard Chartered Bank – HSI R Bear CBBC Dec 2014 L	2014/12/29	2014/12/31
61544	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 P	2014/12/29	2014/12/31
61025	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 R	2014/12/29	2014/12/31
61072	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 C	2014/12/29	2014/12/31
65639	J P Morgan SP BV – HSI R Bear CBBC Apr 2015 L	2014/12/29	2014/12/30
63756	Credit Suisse AG – HSI R Bull CBBC Dec 2014 P	2014/12/29	2014/12/31
67933	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 C	2014/12/29	2014/12/31
63887	UBS AG – HSI R Bull CBBC Dec 2014 R	2014/12/29	2014/12/31
61074	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 E	2014/12/29	2014/12/31
63845	UBS AG – HSI R Bull CBBC Dec 2014 Q	2014/12/29	2014/12/31
63827	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 M	2014/12/29	2014/12/31
64599	UBS AG – HSI R Bear CBBC Dec 2014 D	2014/12/29	2014/12/31
63790	HK Bank – HSI R Bull CBBC Dec 2014 N	2014/12/29	2014/12/31
63774	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
63773	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Y	2014/12/29	2014/12/31
64693	UBS AG – HSI R Bear CBBC Dec 2014 G	2014/12/29	2014/12/31
63763	UBS AG – HSI R Bull CBBC Dec 2014 C	2014/12/29	2014/12/31
64349	UBS AG – HSI R Bear CBBC Dec 2014 C	2014/12/29	2014/12/31
63759	Credit Suisse AG – HSI R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
63904	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 Z	2014/12/29	2014/12/31
63755	Credit Suisse AG – HSI R Bull CBBC Dec 2014 D	2014/12/29	2014/12/31
64798	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 D	2014/12/29	2014/12/31
64896	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 E	2014/12/29	2014/12/31
63504	UBS AG – HSI R Bull CBBC Dec 2014 P	2014/12/29	2014/12/31
63501	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 X	2014/12/29	2014/12/31
63488	Credit Suisse AG – HSI R Bull CBBC Dec 2014 C	2014/12/29	2014/12/31
63487	Credit Suisse AG – HSI R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
63486	Credit Suisse AG – HSI R Bull CBBC Dec 2014 V	2014/12/29	2014/12/31
63397	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 R	2014/12/29	2014/12/31
63253	UBS AG – HSI R Bull CBBC Dec 2014 O	2014/12/29	2014/12/31
63211	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
65086	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 L	2014/12/29	2014/12/31
63760	Credit Suisse AG – HSI R Bull CBBC Dec 2014 G	2014/12/29	2014/12/31
64722	UBS AG – HSCEI R Bull CBBC Dec 2014 J	2014/12/29	2014/12/31
60841	UBS AG – HSI R Bull CBBC Dec 2014 Z	2014/12/29	2014/12/31
60840	UBS AG – HSI R Bull CBBC Dec 2014 Y	2014/12/29	2014/12/31
66943	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 I	2014/12/29	2014/12/31
66254	HK Bank – HSI R Bear CBBC Dec 2014 S	2014/12/29	2014/12/31
66129	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Q	2014/12/29	2014/12/31
65906	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 A	2014/12/29	2014/12/31
65251	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
65253	Credit Suisse AG – A50 China R Bull CBBC Dec 2014 B	2014/12/29	2014/12/31
63856	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 S	2014/12/29	2014/12/31
64819	HK Bank – HSI R Bull CBBC Dec 2014 T	2014/12/29	2014/12/31
64255	UBS AG – HSI R Bear CBBC Dec 2014 Z	2014/12/29	2014/12/31
60258	Credit Suisse AG – HSI R Bull CBBC Dec 2014 F	2014/12/29	2014/12/31
63891	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 B	2014/12/29	2014/12/31

List of expired CBBC, 2014 (continued)

Code	CBBC	Last trading date	Delisted date
64023	UBS AG – HSI R Bull CBBC Dec 2014 J	2014/12/29	2014/12/31
64083	HK Bank – HSI R Bull CBBC Dec 2014 O	2014/12/29	2014/12/31
60325	UBS AG – HSI R Bull CBBC Dec 2014 W	2014/12/29	2014/12/31
60197	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 L	2014/12/29	2014/12/31
60257	Credit Suisse AG – HSI R Bull CBBC Dec 2014 E	2014/12/29	2014/12/31
63885	J P Morgan SP BV – HSI R Bear CBBC Dec 2014 A	2014/12/29	2014/12/31
64348	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 V	2014/12/29	2014/12/31
64352	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 F	2014/12/29	2014/12/31
64353	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2014 I	2014/12/29	2014/12/31
60221	HK Bank – HSI R Bull CBBC Dec 2014 A	2014/12/29	2014/12/31
64496	SGA Societe Generale Acceptance NV-Galaxy R Bear CBBC Dec14A	2014/12/29	2014/12/31
64532	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 X	2014/12/29	2014/12/31
64533	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec14 Y	2014/12/29	2014/12/31
67168	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 K	2014/12/30	2014/12/31
67170	J P Morgan SP BV – HSI R Bear CBBC Mar 2015 A	2014/12/30	2014/12/31
67171	Credit Suisse AG – HSI R Bear CBBC Jul 2015 E	2014/12/30	2014/12/31
67172	Credit Suisse AG – HSI R Bear CBBC Jul 2015 F	2014/12/30	2014/12/31
67175	Credit Suisse AG – HSI R Bear CBBC Jul 2015 G	2014/12/30	2014/12/31
67176	UBS AG – HSI R Bear CBBC Mar 2015 Y	2014/12/30	2014/12/31

Market value and trading for Callable Bull/Bear Contracts, 2010 – 2014

Year/Month	Number *	Market value * (HK\$ mil.)	Turnover value (HK\$ mil.)	(%) of market total
2010	1,064	49,816.76	1,455,404.28	8.52
2011	901	43,598.79	1,852,136.14	10.84
2012	1,214	53,414.12	1,533,154.61	11.56
2013	1,620	68,388.12	1,269,228.26	8.36
2014	1,579	55,419.48	1,229,956.42	7.24
2014	Jan	1,555	98,882.75	7.05
	Feb	1,780	65,053.09	7.92
	Mar	1,799	59,586.41	7.76
	Apr	1,782	55,528.40	7.51
	May	1,856	55,872.76	7.36
	Jun	1,846	52,720.61	6.46
	Jul	1,715	64,718.01	6.87
	Aug	2,067	71,542.37	7.93
	Sep	1,918	61,358.71	7.74
	Oct	1,931	62,442.48	7.56
	Nov	1,805	58,870.34	6.26
	Dec	1,579	55,419.48	6.61

* Month-end or year-end figures

Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
06006	KWG Property Holding Ltd.	8.975% Senior Notes 2019	100.000	2019/01/14	2014/01/15
06007	Bank of Communications Co., Ltd. Hong Kong Branch	2.125% Notes 2017	99.608	2017/01/15	2014/01/16
85939	Kunzhi Ltd.	5.875% Guaranteed CNY Bonds 2017	100.000	2017/01/15	2014/01/16
05970 #	Wuzhou International Holdings Ltd.	13.75% Senior Notes 2018	100.000	2018/09/26	2014/01/16
85940	China Electronics Corp Holdings Co. Ltd.	4.7% CNY Bonds 2017	100.000	2017/01/16	2014/01/17
85942	Bank of China Ltd.	3.45% CNY Notes 2017	100.000	2017/01/16	2014/01/17
85941	Agricultural Development Bank of China	3.08% CNY Bonds 2016	100.000	2016/01/16	2014/01/17
85943	Agricultural Development Bank of China	3.28% CNY Bonds 2017	100.000	2017/01/16	2014/01/17
04215 #	The Government of the HKSAR of the PRC	1.10% Bonds 2023	86.680	2023/01/17	2014/01/17
06008	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2015	100.000	2015/01/17	2014/01/20
06011	China Aoyuan Property Group Ltd.	11.25% Senior Notes 2019	99.254	2019/01/17	2014/01/20
85939 #	Kunzhi Ltd.	5.875% Guaranteed CNY Bonds 2017	101.200	2017/01/15	2014/01/21
85944	Starway Assets Enterprises Inc.	4.10% Guaranteed CNY Bonds 2017	100.000	2017/01/22	2014/01/23
06012	China Overseas Grand Oceans Finance II (Cayman) Ltd.	5.125% Guaranteed Notes 2019	99.037	2019/01/23	2014/01/24
06013	Wharf Finance Ltd.	3.50% Guaranteed Notes 2019	99.419	2019/01/23	2014/01/24
85945	Greenland Hong Kong Holdings Ltd.	5.50% CNY Bonds 2018	100.000	2018/01/23	2014/01/24
06009	Bank of China Ltd.	2.125% Notes 2017	99.847	2017/01/23	2014/01/24
06010	Bank of China Ltd.	3.125% Notes 2019	99.523	2019/01/23	2014/01/24
06014	Yuzhou Properties Co. Ltd.	8.625% Senior Notes 2019	100.000	2019/01/24	2014/01/27
06016	CIFI Holdings (Group) Co. Ltd.	8.875% Senior Notes 2019	99.545	2019/01/27	2014/01/28
06020	China Shipping Overseas Finance 2013 Ltd.	4.25% Credit Enhanced Bonds 2019	99.546	2019/01/28	2014/01/29
06019	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2015 B	100.000	2015/01/28	2014/01/29
06015	Moon Wise Global Ltd.	Subordinated Perpetual Capital Securities	100.000	Perpetual	2014/01/29
06018	Towngas (Finance) Ltd.	Perpetual Subordinated Guaranteed Capital Securities	100.000	Perpetual	2014/01/29
06021	Dah Sing Bank Ltd.	Tier 2 Subordinated Notes 2024	99.191	2024/01/29	2014/01/30
85946	Universal Number One Co., Ltd.	5.70% Guar. Secured CNY Bonds 2017	100.000	2017/01/29	2014/01/30
06023	Wanda Properties International Co. Ltd.	7.25% Guar. Bonds 2024	99.036	2024/01/29	2014/01/30
06017	China Overseas Finance Investment (Cayman) IV Ltd.	Zero Coupon Guar. Exchangeable Bonds 2021	100.000	2021/02/04	2014/02/05
06025	MTR Corporation (C.I.) Ltd.	5.70% Notes 2029	99.072	2029/02/07	2014/02/10
06022	Haitian International Holdings Ltd.	2.00% Conv. Bonds 2019	100.000	2019/02/13	2014/02/14
85947	Central Plaza Development Ltd.	5.75% Guar. CNY Notes 2017	100.000	2017/02/17	2014/02/18
85948	Central Plaza Development Ltd.	6.875% Guar. CNY Notes 2019	100.000	2019/02/17	2014/02/18
04047	Hong Kong Monetary Authority	0.38% Exchange Fund Notes 2016	100.010	2016/02/17	2014/02/18
06026	Agile Property Holdings Ltd.	8.375% Senior Notes 2019	99.499	2019/02/18	2014/02/19
04219	The Govt of the HKSAR of the PRC	1.47% Bonds 2019	98.980	2019/02/20	2014/02/21
06024	Biosime Int'l Holdings Ltd.	Zero Coupon Conv. Bonds 2019	100.000	2019/02/20	2014/02/21
06024 #	Biosime Int'l Holdings Ltd.	Zero Coupon Conv. Bonds 2019	100.000	2019/02/20	2014/02/21
06027	SHK Ppt (Capital Market) Ltd.	Instruments 2024	99.173	2024/02/25	2014/02/26
06028	Jingneng Investment Group Co., Ltd.	2.75% Credit Enhanced Bonds 2017	99.846	2017/02/26	2014/02/27
06029	NWD (MTN) Ltd.	5.25% Guaranteed Notes 2021	99.705	2021/02/26	2014/02/27
05700	China Resources Land Ltd.	4.375% Notes 2019	99.773	2019/02/27	2014/02/28
05701	China Resources Land Ltd.	6.00% Notes 2024	100.000	2024/02/27	2014/02/28
85949	China CITIC Bank Corporation Ltd.	4.125% CNY Bonds 2017	100.000	2017/02/27	2014/02/28
85950	Agile Property Holdings Ltd.	6.50% Senior CNY Notes 2017	99.330	2017/02/28	2014/03/03
05702	Chalico Hong Kong Corporation Ltd.	Senior Guaranteed Perpetual Cap Sec	100.000	-	2014/03/03
04048	Hong Kong Monetary Authority	2.48% Exchange Fund Notes 2029	100.460	2029/02/28	2014/03/03
05703	The Hong Kong Mortgage Corporation Ltd.	FR Notes 2016	100.000	2016/03/03	2014/03/04

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
05704	The Hong Kong Mortgage Corporation Ltd.	FR Notes 2017	100.000	2017/03/03	2014/03/04
05706	Horsepower Finance Ltd.	2.10% Guaranteed Notes 2017	99.337	2017/03/03	2014/03/04
05705	Far East Horizon Ltd.	4.625% Notes 2017	99.931	2017/03/06	2014/03/07
05709	The Hong Kong Mortgage Corporation Ltd.	FR Notes 2015	100.000	2015/03/10	2014/03/11
04217 #	The Government of the HKSAR of the PRC	0.34% Bonds 2016	99.280	2016/05/09	2014/03/11
05707	AIA Group Ltd.	2.25% Notes 2019	99.634	2019/03/11	2014/03/12
05708	AIA Group Ltd.	4.875% Notes 2044	98.450	2044/03/11	2014/03/12
05710	The Hong Kong Mortgage Corporation Ltd.	FR Notes 2015B	100.000	2015/03/11	2014/03/12
05711	The Hong Kong Mortgage Corporation Ltd.	FR Notes 2015C	100.000	2015/03/11	2014/03/12
85952	China Construction Bank (Asia) Corporation Ltd.	3.25% CNY Notes 2016	100.000	2016/03/13	2014/03/14
85953	Eastern Air Overseas (Hong Kong) Corporation Ltd.	4.80% Guar.CNY Bonds 2017	100.000	2017/03/13	2014/03/14
05700 #	China Resources Land Ltd.	4.375% Notes 2019	100.500	2019/02/27	2014/03/19
05713	Franshion Brilliant Ltd.	5.75% Guaranteed Senior Notes 2019	100.000	2019/03/19	2014/03/20
85955	Industrial and Commercial Bank of China Ltd., Sydney Branch	4.20% CNY Notes 2024	100.000	2024/02/27	2014/03/20
85954	Bank of Communications Co., Ltd.	3.30% CNY Notes 2016	100.000	2016/03/20	2014/03/21
85951	China Development Bank Corporation	3.35% CNY Notes 2017	100.000	2017/03/20	2014/03/21
05714	Eastern Creation Investment Holdings Ltd.	3.625% Guar. Notes 2019	99.638	2019/03/20	2014/03/21
05983 #	Wynn Macau, Ltd.	5.25% Senior Notes 2021	100.750	2021/10/15	2014/03/21
05712	Times Property Holdings Ltd.	12.625% Senior Notes 2019	99.278	2019/03/21	2014/03/24
04049	Hong Kong Monetary Authority	1.35% Exchange Fund Notes 2019	99.570	2019/03/25	2014/03/25
04025 #	Hong Kong Monetary Authority	0.55% Exchange Fund Notes 2017	99.180	2017/03/20	2014/03/25
05715	IFC Development (Corporate Treasury) Ltd.	3.40% Guar. Notes 2020	100.000	2020/03/26	2014/03/27
05716	ASM Pacific Technology Ltd.	2.00% Convertible Bonds 2019	100.000	2019/03/28	2014/03/31
05717	China Construction Bank (Asia) Corporation Ltd.	2.375% Notes 2017	99.661	2017/04/01	2014/04/02
05718	Johnson Electric Holdings Ltd.	1.00% Convertible Bonds 2021	100.000	2021/04/02	2014/04/03
85947 #	Central Plaza Development Ltd.	5.75% Guar. CNY Notes 2017	100.500	2017/02/17	2014/04/07
85956	AVIC Int'l Finance & Investment Ltd.	4.80% CNY Bonds 2017	99.862	2017/04/10	2014/04/11
85957	China Merchants Bank Co., Ltd.	4.10% CNY Bonds 2017	100.000	2017/04/10	2014/04/11
05719	Sinopec Group Overseas Development (2014) Ltd.	1.75% Senior Notes 2017	99.773	2017/04/10	2014/04/11
05720	Sinopec Group Overseas Development (2014) Ltd.	2.75% Senior Notes 2019	99.796	2019/04/10	2014/04/11
05721	Sinopec Group Overseas Development (2014) Ltd.	4.375% Senior Notes 2024	99.767	2024/04/10	2014/04/11
05722	Sinopec Group Overseas Development (2014) Ltd.	Senior FR Notes 2017	100.000	2017/04/10	2014/04/11
05723	Sinopec Group Overseas Development (2014) Ltd.	Senior FR Notes 2019	100.000	2019/04/10	2014/04/11
04220	The Govt of the HKSAR of the PRC	1.02% Bonds 2017	99.780	2017/04/10	2014/04/11
05724	Crotona Assets Ltd.	4.00% Guaranteed Convertible Bonds 2019	100.000	2019/04/14	2014/04/15
05726	HLP Finance Ltd.	4.45% Guaranteed Notes 2021	99.040	2021/04/16	2014/04/15
85958	China Unicom (Hong Kong) Ltd.	4.00% Senior CNY Notes 2017	100.000	2017/04/16	2014/04/17
05725	China LotSynergy Holdings Ltd.	5.00% Convertible Bonds 2019	100.000	2019/04/17	2014/04/22
05727	Chalco Hong Kong Investment Co. Ltd.	Senior Perpetual Capital Securities	100.000	-	2014/04/22
05728	China CITIC Bank International Ltd.	Undated Non-Cumulative Subordinated Additional Tier 1 Capital Securities	100.000	-	2014/04/23
85959	Guosen Securities (Overseas) Co. Ltd.	6.40% CNY Bonds 2017	100.000	2017/04/24	2014/04/25
05729	Azure Orbit II International Finance Ltd.	3.375% Guaranteed Notes 2019	99.941	2019/04/25	2014/04/28
05730	Poly Real Estate Finance Ltd.	5.25% Guar. Bonds 2019	99.415	2019/04/25	2014/04/28
05731	China Construction Bank (Asia) Corporation Ltd.	FR Notes 2016	100.000	2016/04/25	2014/04/28
05732	Sinochem Offshore Capital Co. Ltd.	3.25% Senior Guar. Notes 2019	99.826	2019/04/29	2014/04/30
05733	Tencent Holdings Ltd.	2.00% Senior Notes 2017	99.797	2017/05/02	2014/04/30

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
05734	Tencent Holdings Ltd.	3.375% Senior Notes 2019	99.895	2019/05/02	2014/04/30
05737	CNOOC Nexen Finance (2014) ULC	1.625% Guaranteed Notes 2017	99.616	2017/04/30	2014/05/02
05738	CNOOC Nexen Finance (2014) ULC	4.25% Guaranteed Notes 2024	99.565	2024/04/30	2014/05/02
05739	CNOOC Nexen Finance (2014) ULC	4.875% Guaranteed Notes 2044	98.358	2044/04/30	2014/05/02
05735	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2015	100.000	2015/04/30	2014/05/02
05736	Far East Horizon Ltd.	4.25% Notes 2017	100.000	2017/10/30	2014/05/02
05712 #	Times Property Holdings Ltd.	12.625% Senior Notes 2019	100.125	2019/03/21	2014/05/05
05725 #	China LotSynergy Holdings Ltd.	5.00% Convertible Bonds 2019	100.000	2019/04/17	2014/05/05
05740	CLP Power HK Finance Ltd.	Perpetual Subordinated Guar.Capital Securities	100.000	-	2014/05/08
05741	State Grid Overseas Investment (2014) Ltd.	2.75% Senior Guar. Notes 2019	99.077	2019/05/07	2014/05/08
05742	State Grid Overseas Investment (2014) Ltd.	4.125% Senior Guar. Notes 2024	98.910	2024/05/07	2014/05/08
05743	State Grid Overseas Investment (2014) Ltd.	4.85% Senior Guar. Notes 2044	98.630	2044/05/07	2014/05/08
05744	Lenovo Group Ltd.	4.70% Notes 2019	99.819	2019/05/08	2014/05/09
05745	China Overseas Finance (Cayman) VI Ltd.	4.25% Guar. Notes 2019	99.786	2019/05/08	2014/05/09
05746	China Overseas Finance (Cayman) VI Ltd.	5.95% Guar. Notes 2024	99.554	2024/05/08	2014/05/09
85960	China Power International Development Ltd.	4.50% CNY Bonds 2017	100.000	2017/05/09	2014/05/12
85961	China Chengtong Development Group Ltd.	4.00% Credit Enhanced CNY Bonds 2017	100.000	2017/05/09	2014/05/12
85965	Sinochem Offshore Capital Co. Ltd.	3.55% Senior Guar. CNY Notes 2017	100.000	2017/05/13	2014/05/14
85962	The Export-Import Bank of China	3.00% CNY Bonds 2016	100.000	2016/05/14	2014/05/15
85963	The Export-Import Bank of China	3.65% CNY Bonds 2019	100.000	2019/05/14	2014/05/15
85964	The Export-Import Bank of China	4.40% CNY Bonds 2024	100.000	2024/05/14	2014/05/15
05747	China Cinda Finance (2014) Ltd.	4.00% Guar. Senior Notes 2019	99.347	2019/05/14	2014/05/15
05748	China Cinda Finance (2014) Ltd.	5.625% Guar. Senior Notes 2024	99.540	2024/05/14	2014/05/15
05749	CNPC General Capital Ltd.	Guar. Senior FR Notes 2017	100.000	2017/05/14	2014/05/15
05750	CNPC General Capital Ltd.	2.75% Guar. Senior Notes 2019	99.187	2019/05/14	2014/05/15
05998 #	China Water Property Group Ltd.	12.50% Senior Notes 2016	99.500	2016/11/28	2014/05/15
85966	Rizhao Port (Hong Kong) Co. Ltd.	4.25% Credit Enhanced CNY Bonds 2017	100.000	2017/05/15	2014/05/16
85967	Power Construction Corporation of China	4.20% CNY Notes 2017	100.000	2017/05/15	2014/05/16
04215 #	The Government of the HKSAR of the PRC	1.10% Bonds 2023	89.850	2023/01/17	2014/05/16
05700 #	China Resources Land Ltd.	4.375% Notes 2019	99.882	2019/02/27	2014/05/16
05752	Tencent Holdings Ltd.	3.20% Senior Notes 2020	100.000	2020/01/10	2014/05/19
04050	Hong Kong Monetary Authority	0.42% Exchange Fund Notes 2016	100.100	2016/05/19	2014/05/20
05751	Maoye International Holdings Ltd.	7.75% Senior Notes 2017	100.000	2017/05/19	2014/05/20
85953 #	Eastern Air Overseas (Hong Kong) Corporation Ltd.	4.80% Guar.CNY Bonds 2017	100.000	2017/03/13	2014/05/22
85968	Agricultural Development Bank of China	3.00% CNY Bonds 2016	100.000	2016/05/21	2014/05/22
05753	Yancoal International Trading Co., Ltd.	Senior Guar.Perpetual Capital Securities	100.000	-	2014/05/23
05754	Guotai Junan Financial Holdings (BVI) Ltd.	3.625% Credit Enhanced Bonds 2019	99.624	2019/05/22	2014/05/23
85969	Shanghai Pudong Development Bank Co., Ltd.	4.08% CNY Bonds 2017	100.000	2017/05/22	2014/05/23
85970	Sure Best Management Ltd.	4.75% Guaranteed CNY Notes 2017	100.000	2017/05/22	2014/05/23
86616	Ministry of Finance of the PRC	2.53% CNY Bonds 2017	100.000	2017/05/22	2014/05/23
86617	Ministry of Finance of the PRC	3.25% CNY Bonds 2019	100.000	2019/05/22	2014/05/23
86618	Ministry of Finance of the PRC	3.80% CNY Bonds 2021	100.000	2021/05/22	2014/05/23
86619	Ministry of Finance of the PRC	4.00% CNY Bonds 2024	100.000	2024/05/22	2014/05/23
86620	Ministry of Finance of the PRC	4.29% CNY Bonds 2029	100.000	2029/05/22	2014/05/23
86621	Ministry of Finance of the PRC	4.50% CNY Bonds 2034	100.000	2034/05/22	2014/05/23
05755	China Agri-Products Exchange Ltd.	1.00% Notes 2024	-	2024/09/30	2014/06/03
85971	Unican Ltd.	5.80% Guaranteed CNY Notes 2017	100.000	2017/05/30	2014/06/03

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
04051	Hong Kong Monetary Authority	1.96% Exchange Fund Notes 2024	100.500	2024/06/03	2014/06/04
05756	IFC Development (Corporate Treasury) Ltd.	3.40% Guar. Notes 2020 A	100.000	2020/06/04	2014/06/05
05757	IFC Development (Corporate Treasury) Ltd.	3.40% Guar. Notes 2020 B	100.000	2020/06/04	2014/06/05
05758	Logan Property Holdings Co. Ltd.	11.25% Senior Notes 2019	100.000	2019/06/04	2014/06/05
05759	Bestgain Real Estate Lyra Ltd.	4.50% Guaranteed Notes 2019	98.811	2019/06/04	2014/06/05
85972	Maikun Investment Co., Ltd.	4.50% Guaranteed CNY Notes 2017	100.000	2017/06/06	2014/06/09
85973	Sinotrans Sailing Ltd.	4.50% CNY Bonds 2017	99.861	2017/06/10	2014/06/11
05760	China Overseas Fin (Cayman) VI Ltd.	6.45% Guar. Notes 2034	99.445	2034/06/11	2014/06/12
05745 #	China Overseas Finance (Cayman) VI Ltd.	4.25% Guar. Notes 2019	101.132	2019/05/08	2014/06/12
05746 #	China Overseas Finance (Cayman) VI Ltd.	5.95% Guar. Notes 2024	103.080	2024/05/08	2014/06/12
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/06/12
05761	China Merchants Bank Co., Ltd.	2.375% Notes 2017	99.713	2017/06/12	2014/06/13
05719 #	Sinopec Group Overseas Development (2014) Ltd.	1.75% Senior Notes 2017	100.200	2017/04/10	2014/06/13
05721 #	Sinopec Group Overseas Development (2014) Ltd.	4.375% Senior Notes 2024	104.133	2024/04/10	2014/06/13
05722 #	Sinopec Group Overseas Development (2014) Ltd.	Senior FR Notes 2017	100.091	2017/04/10	2014/06/13
85974	Soar Rise Ltd.	4.375% Credit Enhanced CNY Bonds 2017	100.000	2017/06/13	2014/06/16
05740 #	CLP Power HK Finance Ltd.	Perpetual Subordinated Guar.Capital Securities	100.250	–	2014/06/16
85975	China Minmetals Corporation	4.25% CNY Bonds 2017	100.000	2017/06/16	2014/06/17
05762	Shenzhou Int'l Group Holdings Ltd.	0.50% Conv. Bonds 2019	100.000	2019/06/18	2014/06/19
85976	Hong Kong Huafa Investment Holdings Co. Ltd.	4.25% Credit Enhanced CNY Bonds 2017	99.930	2017/06/18	2014/06/19
05763	Goodman HK Finance	4.375% Guaranteed Notes 2024	99.488	2024/06/19	2014/06/20
05765	Cheung Kong Infrastructure Finance (BVI) Ltd.	FR Notes 2017	100.000	2017/06/20	2014/06/23
85977	Beijing Capital (Hong Kong) Ltd.	4.70% CNY Bonds 2017	100.000	2017/06/20	2014/06/23
05764	Far East Horizon Ltd.	Senior Perpetual Capital Securities	100.000	–	2014/06/24
04052	Hong Kong Monetary Authority	1.34% Exchange Fund Notes 2019	99.730	2019/06/24	2014/06/24
04027 #	Hong Kong Monetary Authority	0.44% Exchange Fund Notes 2017	98.870	2017/06/19	2014/06/24
04510 #	CITIC Pacific Ltd.	6.625% Notes 2021	111.000	2021/04/15	2014/06/24
85978	eSun Internatioanl Finance Ltd.	Secured Guar. CNY Notes 2018	100.000	2018/06/24	2014/06/25
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/06/27
85979	Eastern Creation II Investment Holdings Ltd.	3.75% Guar. CNY Notes 2017	100.000	2017/06/27	2014/06/30
85980	Hua Xia Bank Co., Ltd.	4.95% CNY Bonds 2017	100.000	2017/06/30	2014/07/02
05767	China Construction Bank (Asia) Corporation Ltd.	3.25% Notes 2019	99.854	2019/07/02	2014/07/03
05766	Wheelock Finance Ltd.	2.75% Guaranteed Notes 2017	99.623	2017/07/02	2014/07/03
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/07/03
85981	Hang Seng Bank (China) Ltd.	3.25% CNY Notes 2017	100.000	2017/07/03	2014/07/04
85982	China City Construction (International) Co., Ltd.	5.35% CNY Bonds 2017	100.000	2017/07/03	2014/07/04
05768	Greenland Global Investment Ltd.	4.375% Guaranteed Bonds 2019	99.530	2019/07/03	2014/07/04
05769	Greenland Global Investment Ltd.	5.875% Guaranteed Bonds 2024	99.441	2024/07/03	2014/07/04
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/07/11
04219 #	The Govt of the HKSAR of the PRC	1.47% Bonds 2019	99.120	2019/02/20	2014/07/11
05771	Hong Kong Science and Technology Parks Corporation	2.12% Guar. Notes 2019	100.000	2019/07/11	2014/07/14
05772	Hong Kong Science and Technology Parks Corporation	3.2% Guar. Notes 2024	100.000	2024/07/11	2014/07/14
85983	Times Property Holdings Ltd.	10.375% Senior CNY Notes 2017	100.000	2017/07/16	2014/07/17
05773	Huarong Finance Co., Ltd.	3.00% Guaranteed Notes 2017	99.636	2017/07/17	2014/07/18
05774	Huarong Finance Co., Ltd.	4.00% Guaranteed Notes 2019	99.655	2019/07/17	2014/07/18
05775	Skyland Mining (BVI) Ltd.	3.50% Guaranteed Bonds 2017	99.634	2017/07/17	2014/07/18
85984	Jinchuan Group Co., Ltd.	4.75% CNY Bonds 2017	99.724	2017/07/17	2014/07/18

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
85985	ITNL International Pte. Ltd.	8.00% CNY Bonds 2017	100.000	2017/07/17	2014/07/18
05770	China Yongda Automobiles Services Holdings Ltd.	1.50% USD Settled Conv. Bonds 2019	100.000	2019/07/18	2014/07/21
05776	CITIC Pacific Ltd.	4.70% Notes 2024	100.000	2024/01/18	2014/07/21
04570 #	CITIC Pacific Ltd.	6.80% Notes 2023	114.459	2023/01/17	2014/07/21
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/07/21
05767 #	China Construction Bank (Asia) Corporation Ltd.	3.25% Notes 2019	100.891	2019/07/02	2014/07/24
85986	Lai Sun Garment International Finance (2014) Ltd.	7.7% Secured Guar.CNY Notes 2018	100.000	2018/07/24	2014/07/25
85987	China Unicom (Hong Kong) Ltd.	3.80% Senior CNY Notes 2016	100.000	2016/07/24	2014/07/25
05777	Shine Power International Ltd.	Zero Coupon Guar. Exchangeable Bonds 2019	100.000	2019/07/28	2014/07/29
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/07/30
05781	Sino-Ocean Land Treasure Finance I Ltd.	4.625% Guar. Notes 2019	99.471	2019/07/30	2014/07/31
05782	Sino-Ocean Land Treasure Finance I Ltd.	6.00% Guar. Notes 2024	98.892	2024/07/30	2014/07/31
05779	The Export-Import Bank of China	2.50% Senior Notes 2019	99.506	2019/07/31	2014/08/01
05780	The Export-Import Bank of China	3.625% Senior Notes 2024	99.229	2024/07/31	2014/08/01
85988	Yieldking Investment Ltd.	5.15% Guaranteed CNY Bonds 2017	100.000	2017/07/31	2014/08/01
05778	CRCC Yupeng Ltd.	Senior Guaranteed Perpetual Securities	100.000	–	2014/08/04
05783	Redco Properties Group Ltd.	13.75% Senior Notes 2019	100.000	2019/08/01	2014/08/04
05785	KWG Property Holding Ltd.	8.25% Senior Notes 2019	100.000	2019/08/05	2014/08/06
85989	China Development Bank Corporation	4.35% CNY Notes 2024	100.000	2024/08/06	2014/08/07
05784	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2015	100.000	2015/08/06	2014/08/07
05786	Greenland Hong Kong Holdings Ltd.	4.375% Notes 2017	99.307	2017/08/07	2014/08/08
04221	The Govt of the HKSAR of the PRC	2.22% Bonds 2024	97.780	2024/08/07	2014/08/08
05787	Jingrui Holdings Ltd.	13.625% Senior Notes 2019	98.683	2019/08/08	2014/08/11
05788	Asia View Ltd.	1.50% Guaranteed Exchangeable Bonds 2019	100.000	2019/08/08	2014/08/11
05790	China Singyes Solar Technologies Holdings Ltd.	5.00% USD Settled Conv. Bonds 2019	100.000	2019/08/08	2014/08/11
05789	Airvessel Finance Holding Ltd.	3.25% Guaranteed Notes 2019	99.812	2019/08/11	2014/08/12
04222	The Govt of the HKSAR of the PRC	iBonds 2017	100.000	2017/08/11	2014/08/12
04053	Hong Kong Monetary Authority	0.44% Exchange Fund Notes 2016	100.190	2016/08/18	2014/08/19
05791	Far East Horizon Ltd.	6.125% Notes 2019	99.723	2019/08/19	2014/08/20
05792	China Construction Bank (Asia) Corporation Ltd.	Tier 2 Subordinated Notes 2024	99.577	2024/08/20	2014/08/21
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/08/27
04054	Hong Kong Monetary Authority	2.24% Exchange Fund Notes 2029	101.670	2029/08/27	2014/08/28
05793	Yingde Gases Investment Ltd.	7.25% Senior Notes 2020	99.337	2020/02/28	2014/08/29
05794	The Link Finance (Cayman) 2009 Ltd.	3.60% Notes 2024	99.286	2024/09/03	2014/09/04
05795	Charming Light Investments Ltd.	3.75% Guaranteed Notes 2019	99.257	2019/09/03	2014/09/04
05796	Charming Light Investments Ltd.	5.00% Guaranteed Notes 2024	98.947	2024/09/03	2014/09/04
04211 #	The Government of the HKSAR of the PRC	0.97% Bonds 2016	100.430	2016/12/08	2014/09/05
05798	Oceanwide Real Estate International Holding Co. Ltd.	11.75% Guar. Senior Notes 2019	99.080	2019/09/08	2014/09/10
05797	Industrial and Commercial Bank of China (Macau) Ltd.	Subordinated Notes 2024	99.640	2024/09/10	2014/09/11
05799	China Taiping Insurance Holdings Co. Ltd.	Perpetual Subordinated Capital Securities	100.000	–	2014/09/11
85990	Sinochem Offshore Capital Co. Ltd.	4.00% Senior Guar. CNY Notes 2021	100.000	2021/09/10	2014/09/11
85991	Qilu International Finance (BVI) Co. Ltd.	6.25% Senior CNY Bonds 2017	100.000	2017/09/10	2014/09/11
05800	West China Cement Ltd.	6.50% Senior Notes 2019	100.000	2019/09/11	2014/09/12
05801	Haitong International Finance 2014 Ltd.	3.99% Guar. Bonds 2019	98.809	2019/09/11	2014/09/12
85992	361 Degrees International Ltd.	7.50% Senior CNY Notes 2017	99.472	2017/09/12	2014/09/15
85993	Charter Style International Ltd.	5.80% Guar. CNY Bonds 2024	98.880	2024/09/15	2014/09/16
05802	China Great Wall International Holdings Ltd.	2.50% Credit Enhanced Bonds 2017	99.502	2017/09/17	2014/09/18

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
04223	Hong Kong Sukuk 2014 Ltd.	Trust Certificates 2019	100.000	2019/09/18	2014/09/19
85994	Unican Ltd.	5.60% Guaranteed CNY Notes 2017	100.000	2017/09/18	2014/09/19
05803	FWD Ltd.	5.00% Notes 2024	99.510	2024/09/24	2014/09/25
05804	Chong Hing Bank Ltd.	Undated Non-Cumulative Subordinated Additional Tier 1 Capital Securities	100.000	–	2014/09/26
05805	Honghua Group Ltd.	7.45% Senior Notes 2019	100.000	2019/09/25	2014/09/26
04055	Hong Kong Monetary Authority	1.49% Exchange Fund Notes 2019	100.310	2019/09/30	2014/09/30
04031 #	Hong Kong Monetary Authority	0.25% Exchange Fund Notes 2017	98.190	2017/09/18	2014/09/30
05807	Zhejiang Energy Group (Hong Kong) Ltd.	2.30% Bonds 2017	99.853	2017/09/30	2014/10/03
05808	Bank of Communications Co., Ltd.	Tier 2 Capital Bonds 2024	99.434	2024/10/03	2014/10/06
05809	Bank of Communications Co., Ltd.	Tier 2 Capital Bonds 2026	99.255	2026/10/03	2014/10/06
05810	Geely Automobile Holdings Ltd.	5.25% Senior Notes 2019	100.000	2019/10/06	2014/10/07
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/10/07
05755 #	China Agri-Products Exchange Ltd.	1.00% Notes 2024	–	2024/09/30	2014/10/08
05806	Far East Horizon Ltd.	4.25% Notes 2019	100.000	2019/10/08	2014/10/09
05811	Sunshine 100 China Holdings Ltd.	12.75% Senior Notes 2017	99.879	2017/10/08	2014/10/09
05812	Huatai International Finance I Ltd.	3.625% Credit Enhanced Bonds 2019	99.914	2019/10/08	2014/10/09
85983 #	Times Property Holdings Ltd.	10.375% Senior CNY Notes 2017	100.125	2017/07/16	2014/10/15
85995	Bohai General Capital Ltd.	6.40% Guaranteed CNY Bonds 2017	100.000	2017/10/16	2014/10/17
05813	Advance Wisdom Investments Ltd.	2.10% Guaranteed Notes 2017	99.723	2017/10/16	2014/10/17
05814	Greenland Global Investment Ltd.	3.50% Notes 2017	99.196	2017/10/17	2014/10/20
05815	Korean Reinsurance Co.	Subordinated Capital Securities	99.558	–	2014/10/22
05816	Dianjian Haixing Ltd.	Senior Guaranteed Perpetual Securities	100.000	–	2014/10/22
85996	Yunnan Energy Investment (Overseas) Co. Ltd.	5.50% CNY Bonds 2017	99.727	2017/10/21	2014/10/22
05817	ENN Energy Holdings Ltd.	3.25% Bonds 2019	99.502	2019/10/23	2014/10/24
05818	Hebei Iron & Steel (Hong Kong) International Trade Co., Ltd.	2.75% Credit Enhanced Notes 2017	99.774	2017/10/27	2014/10/28
05819	China Resources Land Ltd.	6.10% Notes 2029	100.000	2029/10/28	2014/10/29
05821	Skyfame Realty (Holdings) Ltd.	0.10% Bonds 2024	–	2024/09/12	2014/10/29
05776 #	CITIC Pacific Ltd.	4.70% Notes 2024	103.397	2024/01/18	2014/10/30
05820	CITIC Securities Finance MTN Co., Ltd.	3.50% Guar. Notes 2019	99.945	2019/10/30	2014/10/31
05822	King Power Capital Ltd.	3.875% Guaranteed Notes 2019	99.959	2019/11/03	2014/11/04
05823	King Power Capital Ltd.	5.625% Guaranteed Notes 2024	99.419	2024/11/03	2014/11/04
85997	Hong Kong Aviation Ltd.	7.50% Guaranteed CNY Notes 2016	99.818	2016/11/04	2014/11/05
05821 #	Skyfame Realty (Holdings) Ltd.	0.10% Bonds 2024	–	2024/09/12	2014/11/06
05824	New World China Land Ltd.	5.375% Notes 2019	99.676	2019/11/06	2014/11/07
04224	The Govt of the HKSAR of the PRC	0.83% Bonds 2017	99.560	2017/11/06	2014/11/07
05825	China Oil And Gas Group Ltd.	5.00% Senior Notes 2020	100.000	2020/05/07	2014/11/10
85998	China Construction Bank Corporation	Tier 2 Dated Capital CNY B2024	100.000	2024/11/12	2014/11/13
05827	SDSC International Finance Ltd.	3.625% Credit Enhanced Bonds 2019	99.539	2019/11/12	2014/11/13
05826	Nexteer Automotive Group Ltd.	5.875% Senior Notes 2021	100.000	2021/11/15	2014/11/14
05828	Bank of China Ltd.	5.00% Tier 2 Capital Notes 2024	99.588	2024/11/13	2014/11/14
05829	Double Rosy Ltd.	3.625% Guaranteed Notes 2019	99.493	2019/11/18	2014/11/19
85999	China Construction Bank (Asia) Corporation Ltd.	3.30% CNY Notes 2016	100.000	2016/11/18	2014/11/19
86000	China Construction Bank (Asia) Corporation Ltd.	3.75% CNY Notes 2019	100.000	2019/11/18	2014/11/19
85700	China Construction Bank (Asia) Corporation Ltd.	3.95% CNY Notes 2021	100.000	2021/11/18	2014/11/19
85701	China Construction Bank (Asia) Corporation Ltd.	4.08 % CNY Notes 2024	100.000	2024/11/18	2014/11/19
05830	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2015	100.000	2015/11/18	2014/11/19

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
85946 #	Universal Number One Co., Ltd.	5.70% Guar. Secured CNY Bonds 2017	100.000	2017/01/29	2014/11/19
85995 #	Bohai General Capital Ltd.	6.40% Guaranteed CNY Bonds 2017	100.000	2017/10/16	2014/11/19
05821 #	Skyfame Realty (Holdings) Ltd.	0.10% Bonds 2024	–	2024/09/12	2014/11/19
85702	China High Speed Transmission Equipment Group Co., Ltd.	8.30% Guar. CNY Bonds 2017	99.479	2017/11/19	2014/11/20
85703	Wanhua Chemical International Holding Co., Ltd.	4.50% Guar. CNY Bonds 2017	100.000	2017/11/19	2014/11/20
04056	Hong Kong Monetary Authority	0.37% Exchange Fund Notes 2016	99.950	2016/11/21	2014/11/20
05831	Eastern Creation II Investment Holdings Ltd.	2.625% Guar. Notes 2017	99.848	2017/11/20	2014/11/21
05832	Eastern Creation II Investment Holdings Ltd.	3.25% Guar. Notes 2020	99.753	2020/01/20	2014/11/21
05833	Bank of East Asia, Ltd., The	Tier 2 Capital Dated Subordinated Notes 2024	99.608	2024/11/20	2014/11/21
85704	China Singyes Solar Technologies Holdings Ltd.	7.875% Senior CNY Notes 2017	99.672	2017/11/21	2014/11/24
86622	Ministry of Finance of the PRC	2.74% CNY Bonds 2017	100.000	2017/11/21	2014/11/24
86623	Ministry of Finance of the PRC	3.00% CNY Bonds 2019	100.000	2019/11/21	2014/11/24
86624	Ministry of Finance of the PRC	3.38% CNY Bonds 2024	100.000	2024/11/21	2014/11/24
05834	CNPC General Capital Ltd.	1.95% Guaranteed Notes 2017A	99.763	2017/11/25	2014/11/26
05835	CNPC General Capital Ltd.	FR Guaranteed Notes 2017B	100.000	2017/11/25	2014/11/26
05836	CNPC General Capital Ltd.	2.70% Guaranteed Notes 2019	99.467	2019/11/25	2014/11/26
85705	Orient Hongsheng Ltd.	6.50% Guaranteed CNY Bonds 2017	100.000	2017/11/26	2014/11/27
85706	Yufu Eternity Ltd.	5.625% Guaranteed CNY Notes 2017	100.000	2017/11/26	2014/11/27
05837	Amipeace Ltd.	2.375% Guaranteed Notes 2017	99.839	2017/11/26	2014/11/27
05838	Amipeace Ltd.	3.125% Guaranteed Notes 2019	99.441	2019/11/26	2014/11/27
05839	HC International, Inc.	5.00% Convertible Bonds 2019	100.000	2019/11/27	2014/11/28
05840	Alibaba Group Holding Ltd.	Floating Rate Senior Notes 2017A	100.000	2017/11/28	2014/12/01
05841	Alibaba Group Holding Ltd.	1.625% Senior Notes 2017B	99.889	2017/11/28	2014/12/01
05842	Alibaba Group Holding Ltd.	2.50% Senior Notes 2019	99.618	2019/11/28	2014/12/01
05843	Alibaba Group Holding Ltd.	3.125% Senior Notes 2021	99.558	2021/11/28	2014/12/01
05844	Alibaba Group Holding Ltd.	3.60% Senior Notes 2024	99.817	2024/11/28	2014/12/01
05845	Alibaba Group Holding Ltd.	4.50% Senior Notes 2034	99.439	2034/11/28	2014/12/01
05846	Yuexiu Property Co. Ltd.	6.10% Notes 2029	100.000	2029/11/28	2014/12/01
05847	Huayi Finance I Ltd.	4.00% Guaranteed Notes 2019	99.704	2019/12/02	2014/12/03
05848	Central Plaza Development Ltd.	7.125% Senior Perpetual Capital Securities	100.000	–	2014/12/03
05849	CDBL Funding 1	3.25% Guaranteed Notes 2019	99.470	2019/12/02	2014/12/03
05850	CDBL Funding 1	4.25% Guaranteed Notes 2024	99.092	2024/12/02	2014/12/03
85707	Carnival Group International Holdings Ltd.	11.50% Senior CNY Bonds 2016	100.000	2016/12/04	2014/12/05
04057	Hong Kong Monetary Authority	1.84% Exchange Fund Notes 2024	100.800	2024/12/09	2014/12/09
05851	Logan Property Holdings Co. Ltd.	9.75% Senior Notes 2017	100.000	2017/12/08	2014/12/09
05853	Yuzhou Properties Co. Ltd.	9.00% Senior Notes 2019	100.000	2019/12/08	2014/12/09
05852	Agricultural Bank of China Ltd. HK Branch	2.25% Notes 2017	99.683	2017/12/09	2014/12/10
05854	Chinalco Finance Holdings Ltd.	3.625% Credit Enhanced Bonds 2019	99.534	2019/12/11	2014/12/12
05855	Skyfame Realty (Holdings) Ltd.	0.10% Bonds 2031	–	2031/11/14	2014/12/12
85708	Zhuhai Da Heng Qin Investment Co., Ltd.	4.75% Credit Enhanced CNY Bonds 2017	100.000	2017/12/11	2014/12/12
86625	Ministry of Finance of the PRC	2.93% CNY Bonds 2016	100.000	2016/12/11	2014/12/12
05811 #	Sunshine 100 China Holdings Ltd.	12.75% Senior Notes 2017	101.120	2017/10/08	2014/12/15
85709	Golden Wheel Tiandi Holdings Co. Ltd.	10.80% Senior CNY Notes 2017	100.000	2017/12/16	2014/12/17
05795 #	Charming Light Investments Ltd.	3.75% Guaranteed Notes 2019	98.422	2019/09/03	2014/12/19

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
05855 #	Skyfame Realty (Holdings) Ltd.	0.10% Bonds 2031	–	2031/11/14	2014/12/22
04058	Hong Kong Monetary Authority	1.32% Exchange Fund Notes 2019	99.730	2019/12/23	2014/12/23
04034 #	Hong Kong Monetary Authority	0.27% Exchange Fund Notes 2017	98.120	2017/12/18	2014/12/23
85710	Jingneng Clean Energy Investment Holdings Ltd.	4.30% Senior Guar CNY Bonds 2017	100.000	2017/12/23	2014/12/24

Further issue

Withdrawal of listed debt securities

Code	Issuer	Particulars	Last trading date	Delisted date
04588	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due 2014, The	2014/01/07	2014/01/13
04594	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due 2014, The	2014/01/14	2014/01/20
86012	Agricultural Development Bank of China	Redemption of its issue of 3.00% CNY Bonds due 2014	2014/01/14	2014/01/20
04023	Hong Kong Monetary Authority	Redemption of its issue of 0.26% Exchange Fund Notes due 2014	2014/02/12	2014/02/17
04162	Hong Kong Monetary Authority	Redemption of its issue of 4.28% Exchange Fund Notes due 2014	2014/02/25	2014/02/28
86018	Baosteel Group Corporation	Redemption of its issue of 3.25% CNY Bonds due 2014	2014/02/26	2014/03/04
04212	The Govt of the HKSAR of the PRC	Redemption of its issue of 0.38% Bonds due 2014	2014/03/05	2014/03/10
04538	Henderson Land MTN Ltd.	Redemption of its issue of 2.16% Guaranteed Notes due 2014	2014/03/10	2014/03/14
04188	Hong Kong Monetary Authority	Redemption of its issue of 1.67% Exchange Fund Notes due 2014	2014/03/19	2014/03/24
01518	China Petroleum & Chemical Corp	Redemption of its issue of Zero Coupon Conv Bonds due 2014	2014/04/17	2014/04/25
05934	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due 2014, The	2014/04/22	2014/04/28
04026	Hong Kong Monetary Authority	Redemption of its issue of 0.23% Exchange Fund Notes due 2014	2014/05/14	2014/05/19
04165	Hong Kong Monetary Authority	Redemption of its issue of 4.04% Exchange Fund Notes due 2014	2014/05/27	2014/05/30
04308	Power Regal Group Ltd.	Redemption of its issue of 2.25% Guaranteed Conv Bonds 2014	2014/05/28	2014/06/04
04124	Hong Kong Monetary Authority	Redemption of its issue of 4.82% Exchange Fund Notes due 2014	2014/05/28	2014/06/03
04191	Hong Kong Monetary Authority	Redemption of its issue of 2.46% Exchange Fund Notes due 2014	2014/06/18	2014/06/23
86025	Agricultural Development Bank of China	Redemption of its issue of 2.98% CNY Bonds due 2014	2014/06/18	2014/06/24
86004	Rainbow Days Ltd.	Redemption of its issue of 2.00% Guaranteed CNY Bonds due 2014	2014/06/25	2014/07/02
86006	Genting Hong Kong Ltd.	Redemption of its issue of 3.95% CNY Bonds due 2014	2014/06/25	2014/07/02
86606	Ministry of Finance of the PRC	Redemption of its issue of 2.38% CNY Bonds due 2014	2014/07/16	2014/07/21
86007	Intime Depart Store (Gp) Co. Ltd.	Redemption of its issue of 4.65% Guar. CNY Bonds due 2014	2014/07/16	2014/07/22
04309	SRE Group Ltd.	Redemption of its issue of USD Settled 6.00% Convertible Bonds due 2014	2014/07/18	2014/07/24
04517	Ch Power Int'l Dev	Early redemption of its issue of USD Settled 2.25% Convertible Bonds due 2016	2014/07/21	2014/07/25
04208	The Govt of the HKSAR of the PRC	Redemption of its issue of iBonds due 2014	2014/07/23	2014/07/28
02592	Export – Import Bank of China	Redemption of its issue of 5.25% Notes due 2014, The	2014/07/24	2014/07/30
02589	The Govt of the HKSAR of the PRC	Redemption of its issue of 5.125% Notes due 2014	2014/07/29	2014/08/01
85900	Sinotruk (Hong Kong) Ltd.	Redemption of its issue of 4.50% CNY Bonds due 2014	2014/07/29	2014/08/04
04310	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of 3.50% Notes due 2014, The	2014/07/30	2014/08/05
04029	Hong Kong Monetary Authority	Redemption of its issue of 0.17% Exchange Fund Notes due 2014	2014/08/13	2014/08/18
86008	Tesco PLC	Redemption of its issue of 1.75% CNY Notes due 2014	2014/08/27	2014/09/02
04194	Hong Kong Monetary Authority	Redemption of its issue of 1.69% Exchange Fund Notes due 2014	2014/09/24	2014/09/29
86009	Asia Cement (China) Holdings Corporation	Redemption of its issue of 2.95% CNY Notes due 2014	2014/09/25	2014/10/03
02595	China Development Bank	Redemption of its issue of 4.75% Notes due 2014	2014/10/03	2014/10/09
02550	HBAP	Redemption of its issue of 11.00% Republic of Indonesia Credit Linked Notes A due 2014	2014/10/10	2014/10/16
02558	HBAP	Redemption of its issue of 11.00% Republic of Indonesia Credit Linked Notes B due 2014	2014/10/10	2014/10/16
04313	Joyous King Group Ltd.	Redemption of its issue of 3.30% Guaranteed Conv Bonds due 2014	2014/10/17	2014/10/23
86011	CNPC Golden Autumn Ltd.	Redemption of its issue of 2.95% Guaranteed CNY Bonds due 2014	2014/10/22	2014/10/28
02502 02503	People's Republic of China – 100 People's Republic of China – 1000	Redemption of its issue of 4.25% Bonds 1000 due 2014	2014/10/23	2014/10/29
04202	The Govt of the HKSAR of the PRC	Redemption of its issue of 2.07% Bonds due 2014	2014/10/29	2014/11/03
05995	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due 2014, The	2014/11/07	2014/11/13
04032	Hong Kong Monetary Authority	Redemption of its issue of 0.20% Exchange Fund Notes due 2014	2014/11/14	2014/11/19
86016	Baosteel Group Corporation	Redemption of its issue of 3.50% CNY Bonds due 2014	2014/11/26	2014/12/02
04131	Hong Kong Monetary Authority	Redemption of its issue of 3.51% Exchange Fund Notes due 2014	2014/12/03	2014/12/08
04197	Hong Kong Monetary Authority	Redemption of its issue of 1.69% Exchange Fund Notes due 2014	2014/12/17	2014/12/22

Debt securities trading by type of issue, 2010-2014

HK\$ mil

Year/month	No. of issues	Bank	Corporation	State			Total
				State	corporation	Supranational	
2010	169	0.00	0.00	0.00	1.58	0.00	1.58
2011	192	0.00	0.98	840.33	1.95	0.00	843.26
2012	269	0.00	0.02	2,769.33	1.21	0.00	2,770.56
2013	403	0.00	0.40	4,462.50	0.00	0.00	4,462.90
2014	640	0.00	0.00	6,086.75	0.00	0.00	6,086.75
2014 Jan	424	0.00	0.00	216.67	0.00	0.00	216.67
Feb	437	0.00	0.00	197.09	0.00	0.00	197.09
Mar	456	0.00	0.00	282.22	0.00	0.00	282.22
Apr	477	0.00	0.00	252.48	0.00	0.00	252.48
May	513	0.00	0.00	227.69	0.00	0.00	227.69
Jun	531	0.00	0.00	231.20	0.00	0.00	231.20
Jul	545	0.00	0.00	505.66	0.00	0.00	505.66
Aug	561	0.00	0.00	2,440.38	0.00	0.00	2,440.38
Sep	578	0.00	0.00	468.76	0.00	0.00	468.76
Oct	589	0.00	0.00	366.35	0.00	0.00	366.35
Nov	620	0.00	0.00	477.37	0.00	0.00	477.37
Dec	640	0.00	0.00	420.87	0.00	0.00	420.87

Market value of listed debt securities as at the end of 2014

Major group	No. of issuers	No. of issues	Nominal amount
			(HK\$mil)
Bank	16	41	119,250.66
Corporation	199	436	1,641,566.66
State	7	107	298,786.25
State corporation	13	55	160,329.91
Supranational	1	1	1,406.10
Total	236	640	2,221,339.57

Listed debt securities, 2010 – 2014

Country	2010		2011		2012		2013		2014	
	No. of issues	Nominal amount (HK\$mil)								
Hong Kong	143	319,904.84	163	406,150.23	215	653,919.37	331	1,114,994.60	536	1,845,950.01
PRC	10	58,270.00	10	62,170.00	34	114,084.02	47	150,386.45	79	256,409.26
Foreign	15	108,837.77	18	119,149.14	19	114,375.02	24	112,006.94	24	117,574.21
Supranational	1	1,406.10	1	1,406.10	1	1,406.10	1	1,406.10	1	1,406.10
Total	169	488,418.71	192	588,875.47	269	883,784.51	403	1,378,794.08	640	2,221,339.57

Newly listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Fund manager	Issue	Amount	Listing date
			price (HK\$)	raised (HK\$mil)	
83199/03199	CSOP China 5-Year Treasury Bond ETF	CSOP Asset Management Limited	n.a.	n.a.	2014/02/19
82811/02811	Haitong CSI300 Index ETF	Hai Tong Asset Management (HK) Limited	n.a.	n.a.	2014/03/07
82808/02808	E Fund Citi Chinese Government Bond 5-10 Years Index ETF	E Fund Management (Hong Kong) Co., Limited	n.a.	n.a.	2014/03/14
83107/03107	C-Shares CSI Consumer Staples Index ETF	China Universal Asset Management (Hong Kong) Company Limited	n.a.	n.a.	2014/05/12
83132/03132	C-Shares CSI Healthcare Index ETF	China Universal Asset Management (Hong Kong) Company Limited	n.a.	n.a.	2014/05/12
03085	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	The Vanguard Group, Inc.	n.a.	n.a.	2014/06/13
03101	Vanguard FTSE Developed Europe Index ETF	The Vanguard Group, Inc.	n.a.	n.a.	2014/06/13
03126	Vanguard FTSE Japan Index ETF	The Vanguard Group, Inc.	n.a.	n.a.	2014/06/13
83127/03127	Horizons CSI 300 ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2014/09/26
03141	BMO Asia USD Investment Grade Bond ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	2014/11/13
03143	BMO Hong Kong Banks ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	2014/11/13
03145	BMO Asia High Dividend ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	2014/11/13

Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
02301	New Era PRC Fund	2014/02/05	2014/02/06
03083	HSBC MSCI Taiwan ETF	2014/05/16	2014/07/17
03000	HSBC MSCI Hong Kong ETF	2014/05/16	2014/07/17
03088	HSBC MSCI Golden Dragon ETF	2014/05/16	2014/07/17
03033	HSBC MSCI China ETF	2014/05/16	2014/07/17
03077	Da Cheng CSI HK State-owned Mainland Enterprises Tracker	2014/09/16	2014/11/12
03022	Da Cheng CSI HK Private-owned Mainland Enterprises Tracker	2014/09/16	2014/11/12

Individual performance on unit trusts and mutual funds as at the end of 2014

Code	Name	Closing price [#]		Change (%)	Turnover value (HK\$mil) *	Market value # (HK\$mil)
		2014 (HK\$)	2013 (HK\$)			
Exchange Traded Funds (ETFs)						
02819	ABF HK IDX ETF	100.60	97.50	3.18	40.85	3,012.57
02821	ABF PAIF	US\$120.85	US\$121.50	-0.53	891.08	23,555.99
03145	BMO ASIA HIGHDV	8.13	n.a.	-	3.38	121.95
03141	BMO ASIA IGBOND	15.10	n.a.	-	3.84	94.38
03143	BMO HK BANKS	8.60	n.a.	-	14.64	129.00
02832	BOSERA FA50	7.07	4.53	56.07	2,152.17	943.85
82832	BOSERA FA50-R	RMB5.66	RMB3.54	59.89	846.71	-
03180	CAM CES A80	13.02	8.35	55.93	135.35	31.25
83180	CAM CES A80-R	RMB10.44	RMB6.52	60.12	106.50	-
03188	CAM CSI300	42.95	29.40	46.09	55,620.79	14,431.20
83188	CAM CSI300-R	RMB34.35	RMB23.00	49.35	6,911.84	-
02842	CMS CSI OME ETF	12.42	12.86	-3.42	0.93	18.63
03008	C-SHS CSI 300	21.95	14.62	50.14	88.39	263.40
83008	C-SHS CSI 300-R	RMB17.56	RMB11.44	53.50	77.24	-
03132	C-SHS CSI HLC	17.50	n.a.	-	12.12	26.25
83132	C-SHS CSI HLC-R	RMB13.98	n.a.	-	12.19	-
03107	C-SHS CSI STA	15.46	n.a.	-	4.59	60.29
83107	C-SHS CSI STA-R	RMB12.30	n.a.	-	8.32	-
03199	CSOP 5YCGBOND	134.25	n.a.	-	1,026.17	5,101.50
83199	CSOP 5YCGBOND-R	RMB107.60	n.a.	-	766.66	-
02822	CSOP A50 ETF	13.86	9.13	51.81	333,443.69	37,075.50
82822	CSOP A50 ETF-R	RMB11.08	RMB7.14	55.18	16,991.33	-
03137	CSOP CES A80	39.00	25.60	52.34	226.31	245.70
83137	CSOP CES A80-R	RMB31.25	RMB20.15	55.09	234.39	-
03007	DBFXIC50	258.40	230.60	12.06	66.39	2,200.08
03048	DBMSCIBRAZIL	RMB30.50	RMB35.80	-14.80	33.84	32.79
03055	DBMSCICHINA	104.90	97.95	7.10	9.33	9.44
02848	DBMSCIKOREA	433.20	488.40	-11.30	574.09	2,387.47
03082	DBMSCIMALAY	105.40	117.60	-10.37	3.31	10.12
03043	DBMSCIPACEXJ	39.00	39.30	-0.76	7.50	18.72
03016	DBMSCIPHIL	16.00	12.92	23.84	9.04	12.72
03065	DBMSCISG	10.12	9.93	1.91	6.88	11.94
03036	DBMSCITAIWAN	167.00	154.10	8.37	245.29	2,075.52
03092	DBMSCITHAI	158.20	136.80	15.64	18.83	17.24
03120	EFUND CES 120	15.08	12.34	22.20	24.85	30.16
83120	EFUND CES 120-R	RMB12.12	RMB9.61	26.12	19.20	-
02808	EFUND CG BOND	134.20	n.a.	-	66.18	375.76
82808	EFUND CG BOND-R	RMB107.55	n.a.	-	60.24	-
03100	EFUND CSI100	40.30	27.35	47.35	1,814.17	1,845.74
83100	EFUND CSI100-R	RMB32.25	RMB21.45	50.35	1,602.79	-
02830	ETFS GOLD	922.00	925.00	-0.32	49.06	18.44
03119	ETFS PLATINUM	930.00	1049.00	-11.34	16.61	18.60
03117	ETFS SILVER	125.50	150.70	-16.72	101.42	62.75
03118	HGI MSCI CN A	14.74	10.28	43.39	625.12	2,122.56
83118	HGI MSCI CN A-R	RMB11.72	RMB8.04	45.77	614.43	-
03136	HGI MSCIA50	13.62	8.91	52.86	53.34	299.64
83136	HGI MSCIA50-R	RMB10.92	RMB6.96	56.90	30.97	-
03076	HORIZONS A EN	16.00	17.84	-10.31	1.62	12.80
03064	HORIZONS A FIN	27.05	24.35	11.09	3.14	21.64
03075	HORIZONS A IND	20.75	21.95	-5.47	6.15	16.60
03066	HORIZONS A IT	29.95	28.45	5.27	8.18	29.95
03078	HORIZONS A MAT	16.80	19.04	-11.76	1.16	20.16
03054	HORIZONS EMACON	20.65	21.25	-2.82	12.06	161.07
03056	HORIZONS GL CON	27.60	31.30	-11.82	13.53	138.00
03110	HORIZONS HS HDY	22.35	20.60	8.50	26.99	236.91
02835	HORIZONS KS200	18.48	20.55	-10.07	13.45	36.96
03040	HORIZONS MSCICH	23.90	22.20	7.66	60.20	291.58
03128	HS AINDTOPETF	38.30	28.15	36.06	79.74	455.10
83128	HS AINDTOPETF-R	RMB30.65	RMB21.85	40.27	180.66	-
02838	HS FCJ50 ETF	183.00	168.70	8.48	75.92	181.36
02828	HS H-SHARE ETF	121.30	108.70	11.59	61,296.35	21,637.68
02833	HS HSI ETF	240.00	235.60	1.87	2,125.68	40,998.06
83168	HS RMB GOLD ETF	RMB23.30	RMB23.10	0.87	18.72	90.66
02811	HT CSI300 ETF	15.56	n.a.	-	5,010.13	840.24
82811	HT CSI300 ETF-R	RMB12.40	n.a.	-	1,296.42	-
03127	HZ CSI300	14.36	n.a.	-	31.84	567.22
83127	HZ CSI300-R	RMB11.42	n.a.	-	3.54	-
03010	ISHARES APEX 50	42.55	41.85	1.67	12.62	102.12
03032	ISHARES APEX M	37.80	40.40	-6.44	5.95	30.24
03004	ISHARES APEX S	41.20	45.00	-8.44	7.56	65.92
02801	ISHARES CHINA	21.15	20.30	4.19	1,203.97	1,256.31
02802	ISHARES EM ASIA	43.75	42.50	2.94	69.67	157.50
02836	ISHARES INDIA	19.80	15.24	29.92	1,220.92	1,358.28
03139	ISHARES RMBBND	43.55	45.05	-3.33	35.31	143.08
83139	ISHARES RMBBND-R	RMB34.80	RMB35.25	-1.28	38.17	-
02824	LIPPO HK&M PROP	37.75	38.40	-1.69	10.84	73.61
03070	PING AN HKDIV	22.65	21.25	6.59	86.02	169.88
03072	PING AN HKMID	22.30	23.80	-6.30	12.79	44.60
03098	PING AN RHK50	18.80	18.00	4.44	21.36	65.80
03002	POLARISTAIWAN50	11.84	11.94	-0.84	8.66	11.84
02840	SPDR GOLD TRUST	895.00	896.50	-0.17	1,723.13	214,800.00
03073	SPDR GREATCHINA	30.40	28.30	7.42	136.58	197.60
02800	TRACKER FUND	23.90	23.45	1.92	205,603.96	62,570.02
03046	VALUE CHINA ETF	39.60	35.05	12.98	56.98	118.80
03084	VALUE JAPAN ETF	11.94	12.76	-6.43	107.81	79.40
03041	VALUE KOREA ETF	28.40	32.45	-12.48	18.81	63.90
03060	VALUE TW ETF	34.40	33.95	1.33	19.05	67.08
03081	VALUEGOLD ETF	29.35	29.50	-0.51	81.14	845.28
83081	VALUEGOLD ETF-R	RMB23.55	RMB23.40	0.64	0.01	-
02805	VG FTSE ASIAJ	19.46	19.40	0.31	188.35	145.95
03101	VG FTSE DEV EUR	17.46	n.a.	-	109.18	69.84
03126	VG FTSE JAPAN	18.96	n.a.	-	158.29	75.84
03085	VGFTSEASIAJHDY	19.18	n.a.	-	125.85	111.24
02817	WISE HKLMCONS	7.29	8.67	-15.92	0.84	10.94
02839	WISE HKLMREAL	5.64	6.35	-11.18	37.10	14.10
02825	WISECSIHK100ETF	20.90	20.30	2.96	146.69	344.85
03051	X CICC-SZSE 100	20.20	20.20	-	-	7.58

Individual performance on unit trusts and mutual funds as at the end of 2014

(continued)

Code	Name	Closing price [#]		Change (%)	Turnover value (HK\$mil) *	Market value [#] (HK\$mil)
		2014 (HK\$)	2013 (HK\$)			
03026	X DBAUDCASH	137.20	146.70	-6.48	8.95	8.25
03015	X DBCNXNIFTY	1028.00	803.50	27.94	35.69	2,088.43
03049	X DBCSI300	7.61	5.61	35.65	3,506.12	2,160.32
03061	X DBCSI300BANK	13.04	8.56	52.34	153.79	152.74
03025	X DBCSI300CONDI	9.54	9.02	5.76	58.63	150.92
03017	X DBCSI300ENER	4.79	4.44	7.88	24.14	20.06
02844	X DBCSI300FIN	14.60	8.60	69.77	133.65	83.37
03057	X DBCSI300HEAL	13.18	13.88	-5.04	122.45	117.04
03005	X DBCSI300IND	5.90	3.99	47.87	30.20	39.65
03062	X DBCSI300MAT	4.59	3.75	22.40	41.78	24.66
02816	X DBCSI300REAL	13.76	8.88	54.95	126.95	31.35
03063	X DBCSI300TRANS	3.24	2.26	43.36	6.17	17.49
03052	X DBCSI300UTIL	4.76	2.98	59.73	3.79	17.63
03087	X DBFTSEVIET	206.00	185.70	10.93	444.06	3,041.26
03013	X DBMSCIASIAHDY	14.58	14.08	3.55	2.35	8.75
03105	X DBMSCIBANGLA	8.13	6.89	18.00	16.91	9.84
03009	X DBMSCIEM	29.80	30.70	-2.93	14.61	64.58
03035	X DBMSCIEMASIA	31.65	30.50	3.77	3.93	29.72
03045	X DBMSCIINDIA	79.50	65.35	21.65	8.62	11.93
03099	X DBMSCIINDO	112.00	89.35	25.35	34.96	35.39
03106	X DBMSCIPAKIST	15.68	13.32	17.72	10.17	8.08
03027	X DBMSCIRUSSIA	13.64	23.90	-42.93	91.87	58.00
03020	X DBMSCIUSA	408.40	354.20	15.30	267.08	12,525.00
03019	X DBMSCIWORLD	35.15	33.20	5.87	39.48	120.35
03011	X DBUSDASH	US\$170.50	US\$170.00	0.29	2.65	217.97
03071	X DCI CH CONS	9.20	8.75	5.14	0.39	13.80
03001	X ISHARES A DIS	17.98	16.38	9.77	32.24	89.90
03050	X ISHARES A ENR	7.32	6.56	11.59	19.34	40.26
02829	X ISHARES A FIN	16.86	9.68	74.17	213.41	236.04
03006	X ISHARES A INF	15.60	8.43	85.05	39.35	93.60
03039	X ISHARES A MAT	9.28	7.19	29.07	21.79	69.60
02841	X ISHARES A STA	16.36	15.66	4.47	42.35	65.44
02823	X ISHARES A50	12.98	9.34	38.97	447,992.48	82,760.48
02846	X ISHARES CSI300	26.70	19.60	36.22	346.00	347.10
02818	X PING AN CRA50	16.58	21.85	-24.12	12.70	8.29
03024	X WISE SSE50ETF	23.15	15.22	52.10	24.25	222.24
02827	X WISECSI300ETF	32.20	25.10	28.29	6,311.36	8,758.40
03091	X XIE INDIA	9.42	7.31	28.86	10.93	94.20
03031	X XIE INDO	7.74	6.15	25.85	23.09	50.31
03090	X XIE KOREA	7.51	8.55	-12.16	10.95	45.06
03029	X XIE MALAY	8.31	9.20	-9.67	34.05	20.78
03037	X XIE PHIL	11.40	9.37	21.66	10.18	17.10
03089	X XIE TAIWAN	9.18	8.93	2.80	71.80	91.80
03069	X XIE THAI	10.10	8.84	14.25	64.07	25.25
Real Estates Investment Trusts (REITs)						
02778	CHAMPION REIT	3.60	3.43	4.96	3,664.49	20,681.47
00778	FORTUNE REIT	7.84	6.19	26.66	3,130.97	14,684.68
87001	HUI XIAN REIT	RMB3.48	RMB3.86	-9.84	3,632.91	22,749.55
00823	LINK REIT	48.55	37.60	29.12	49,565.38	111,336.91
01275	NEW CENT REIT	3.30	3.64	-9.34	520.34	3,072.76
00808	PROSPERITY REIT	2.64	2.26	16.81	1,126.99	3,747.84
01881	REGAL REIT	2.05	2.23	-8.07	581.04	6,677.73
00625	RREEF CCT REIT	4.35	4.35	-	-	2,019.10
01426	SPRING REIT	3.66	3.17	15.46	727.71	4,060.65
00435	SUNLIGHT REIT	3.50	3.00	16.67	964.80	5,714.93
00405	YUEXIU REIT	3.89	3.78	2.91	3,504.34	10,891.21
Others						
00820	HSBCDRAGON FUND	8.41	6.56	28.20	267.87	1,764.52
Total					1,235,342.02	766,892.47

Notes: * Traded in foreign currency securities have been converted in HKD

Year end figures

n.a. Closing price is not available.

Market value for unit trusts and mutual funds, 2010 – 2014

Year/Month	Exchange Traded Funds (ETF)		Real Estate Investment Trust (REIT)		Others		Total	
	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)
2010	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	100	839,802.08	9	174,124.01	2	1,474.98	111	1,015,401.07
2013	116	538,892.05	11	177,310.63	2	1,376.37	129	717,579.05
2014	122	559,491.12	11	205,636.83	1	1,764.52	134	766,892.47
2014 Jan	116	532,894.82	11	168,658.60	2	1,313.42	129	702,866.84
2014 Feb	117	551,186.63	11	172,026.51	1	1,284.05	129	724,497.19
2014 Mar	119	539,592.84	11	175,108.28	1	1,246.28	131	715,947.41
2014 Apr	119	543,649.02	11	176,128.00	1	1,250.48	131	721,027.50
2014 May	121	534,131.51	11	185,056.27	1	1,263.07	133	720,450.84
2014 Jun	124	550,831.24	11	185,309.13	1	1,239.99	136	737,380.36
2014 Jul	120	585,732.28	11	194,042.06	1	1,363.78	132	781,138.12
2014 Aug	120	601,933.05	11	199,324.63	1	1,397.35	132	802,655.03
2014 Sep	121	582,204.26	11	191,896.58	1	1,418.33	133	775,519.16
2014 Oct	121	565,093.23	11	195,128.20	1	1,443.51	133	761,664.94
2014 Nov	122	567,970.42	11	208,130.69	1	1,523.24	134	777,624.35
2014 Dec	122	559,491.12	11	205,636.83	1	1,764.52	134	766,892.47

Trading value for unit trusts and mutual funds, 2010 – 2014

Year/Month	No. of trading days	Exchange Traded Funds (ETF)		Real Estate Investment Trust (REIT)		Others		Total	
		Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)
2010	249	604,457.98	2,427.54	43,890.09	176.27	741.32	2.98	649,089.39	2,606.78
2011	246	545,295.49	2,216.65	55,524.18	225.71	498.52	2.03	601,318.19	2,444.38
2012	247	522,080.01	2,113.68	67,149.34	271.86	306.09	1.24	589,535.44	2,386.78
2013	244	903,133.23	3,701.37	82,357.57	337.53	196.30	0.80	985,687.09	4,039.70
2014	247	1,167,677.02	4,727.44	67,418.97	272.95	267.87	1.08	1,235,363.86	5,001.47
2014 Jan	21	60,580.32	2,884.78	4,297.06	204.62	13.57	0.65	64,890.96	3,090.05
2014 Feb	19	50,293.20	2,647.01	3,615.37	190.28	27.33	1.44	53,935.90	2,838.73
2014 Mar	21	55,722.37	2,653.45	4,618.62	219.93	11.45	0.55	60,352.45	2,873.93
2014 Apr	20	54,522.27	2,726.11	3,856.96	192.85	13.47	0.67	58,392.70	2,919.64
2014 May	20	37,098.88	1,854.94	4,717.97	235.90	9.96	0.50	41,826.81	2,091.34
2014 Jun	20	42,806.31	2,140.32	4,418.56	220.93	12.61	0.63	47,237.47	2,361.87
2014 Jul	22	87,278.24	3,967.19	4,226.45	192.11	20.87	0.95	91,525.56	4,160.25
2014 Aug	21	135,463.90	6,450.66	6,489.66	309.03	26.47	1.26	141,980.03	6,760.95
2014 Sep	21	127,786.34	6,085.06	6,575.46	313.12	31.22	1.49	134,393.02	6,399.67
2014 Oct	21	91,232.90	4,344.42	5,566.93	265.09	23.86	1.14	96,823.69	4,610.65
2014 Nov	20	125,828.76	6,291.44	8,690.64	434.53	19.27	0.96	134,538.67	6,726.93
2014 Dec	21	299,063.54	14,241.12	10,345.27	492.63	57.78	2.75	309,466.59	14,736.50

Notes: Trading in foreign currency unit trusts have been converted in HKD

Trading value and volume for NASDAQ stocks, 2010 – 2014

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
			Total	Average daily	Total	Average daily	Total	Average daily	
2010	7	249	1,977,730	7,943	10,780	43	47	0	
2011	7	246	517,270	2,103	3,750	15	22	0	
2012	7	247	628,923	2,546	3,620	15	11	0	
2013	7	244	381,110	1,562	2,780	11	13	0	
2014	7	247	3,572,910	14,465	14,520	59	68	0	
2014	Jan	7	21	265,000	12,619	1,060	50	2	0
	Feb	7	19	35,000	1,842	200	11	2	0
	Mar	7	21	89,000	4,238	500	24	1	0
	Apr	7	20	171,750	8,588	1,000	50	2	0
	May	7	20	498,522	24,926	2,430	122	18	1
	Jun	7	20	609,012	30,451	2,220	111	10	1
	Jul	7	22	48,330	2,197	180	8	8	0
	Aug	7	21	183,270	8,727	550	26	3	0
	Sep	7	21	398,140	18,959	1,310	62	6	0
	Oct	7	21	520,810	24,800	1,900	90	8	0
	Nov	7	20	754,076	37,704	3,170	159	8	0
	Dec	7	21	-	-	-	-	-	-

Trading value and volume for iShares, 2010 – 2014

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals	
			Total	Average daily	Total	Average daily	Total	Average daily
2010	2	249	40,123	161	400	2	1	0
2011	2	246	-	-	-	-	-	-
2012	2	247	-	-	-	-	-	-
2013	2	244	-	-	-	-	-	-
2014	2	247	13,897	56	150	1	1	0
2014	Jan	2	21	-	-	-	-	-
	Feb	2	19	-	-	-	-	-
	Mar	2	21	-	-	-	-	-
	Apr	2	20	-	-	-	-	-
	May	2	20	-	-	-	-	-
	Jun	2	20	-	-	-	-	-
	Jul	2	22	13,897	632	150	7	1
	Aug	2	21	-	-	-	-	-
	Sep	2	21	-	-	-	-	-
	Oct	2	21	-	-	-	-	-
	Nov	2	20	-	-	-	-	-
	Dec	2	21	-	-	-	-	-

Note: The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Turnover value on RMB listed securities products by type, 2010 – 2014

	Equities	ETF									Total		
		Gold		Stock Index		Bond Index		REIT	Debt securities	Derivative warrants	(in RMB mil.)	(in HKD mil. Equiv.)	
		RQFII	Non-RQFII	RQFII	Non-RQFII	RQFII	Non-RQFII						
2010*	-	-	-	-	-	-	-	-	-	-	-	-	
2011	-	-	-	-	-	-	-	8,036.32	-	-	8,036.32	9,577.84	
2012	139.73	-	45.36	12,673.02	-	-	-	2,782.71	42.69	6.59	15,690.10	19,207.15	
2013	186.45	-	15.12	20,993.53	-	-	68.65	1,810.98	33.29	401.70	23,509.73	29,347.77	
2014	119.91	-	15.01	23,209.31	-	665.29	30.78	2,914.85	20.47	7.98	26,983.60	33,638.48	
2014	Jan	15.32	-	2.27	850.78	-	-	1.43	92.38	4.22	0.27	966.66	1,227.22
	Feb	9.83	-	2.08	1,164.92	-	127.80	1.64	144.21	1.80	0.75	1,453.04	1,835.68
	Mar	11.84	-	0.74	1,102.04	-	82.56	1.18	139.04	1.22	0.17	1,338.79	1,669.37
	Apr	15.16	-	0.80	919.10	-	135.03	4.17	368.43	0.41	0.33	1,443.43	1,781.60
	May	2.85	-	0.29	638.70	-	57.88	1.84	79.45	0.96	0.04	782.00	961.85
	Jun	5.76	-	0.43	828.45	-	39.43	13.72	92.13	1.27	0.38	981.56	1,208.90
	Jul	7.43	-	0.62	1,816.85	-	69.44	1.52	446.60	1.63	1.73	2,345.81	2,904.20
	Aug	11.01	-	1.79	2,969.57	-	65.51	0.58	246.86	1.82	0.97	3,298.11	4,109.85
	Sep	11.51	-	0.17	1,689.83	-	24.81	0.43	236.19	0.66	0.29	1,963.88	2,454.86
	Oct	7.74	-	0.61	1,627.23	-	15.09	0.45	537.22	1.00	0.45	2,189.77	2,744.55
	Nov	5.37	-	0.61	2,444.29	-	8.83	1.43	355.77	0.69	1.02	2,818.00	3,532.34
	Dec	16.09	-	4.62	7,157.56	-	38.90	2.39	176.59	4.81	1.59	7,402.54	9,208.07

* The first RMB security products was listed on 22 October 2010

RMB-denominated ETFs tracking A-share indices issued under RMB Qualified Foreign Institutional Investors (RQFII) quota (RQFII A-share ETFs)

List of listed RMB securities products

End of 2014

Code	Securities	Type	Listing date	First trading date
86001	Asian Dev Bank 2.85% Notes 2020	Debt securities	2010/10/22	2010/10/22
86003	HKCG 1.40% CNY Notes 2016	Debt securities	2011/04/12	2011/04/12
87001	Hui Xian Real Estate Investment Trust	REIT	2011/04/29	2011/04/29
86005	Rainbow Days 3% Bonds 2016	Debt securities	2011/07/04	2011/07/04
86013	ADBC 3.2% CNY Bonds 2015	Debt securities	2012/01/18	2012/01/18
86014	ADBC 3.50% CNY Bonds 2017	Debt securities	2012/01/18	2012/01/18
83168	Hang Seng RMB Gold ETF	ETF	2012/02/14	2012/02/14
86017	Baosteel 4.375% CNY Bonds 2016	Debt securities	2012/02/20	2012/02/20
86019	Baosteel 3.675% CNY Bonds 2015	Debt securities	2012/03/01	2012/03/01
86020	Baosteel 4.15% CNY Bonds 2017	Debt securities	2012/03/01	2012/03/01
86021	New World China Land 8.5% CNY Bonds 2015	Debt securities	2012/04/12	2012/04/12
86022	ITNL Offshore 5.75% CNY Bonds 2015	Debt securities	2012/04/27	2012/04/27
86023	Bank of East Asia (China) 3.65% CNY Bonds 2015	Debt securities	2012/05/14	2012/05/14
86024	China Datang 4.5% CNY Bonds 2015	Debt securities	2012/06/18	2012/06/18
86026	ADBC 3.2% CNY Bonds 2015	Debt securities	2012/06/25	2012/06/25
86027	ADBC 3.35% CNY Bonds 2017	Debt securities	2012/06/25	2012/06/25
86601	Ministry of Finance PRC 1.85% CNY Bonds 2015	Debt securities	2012/07/03	2012/07/03
86602	Ministry of Finance PRC 2.56% CNY Bonds 2017	Debt securities	2012/07/03	2012/07/03
86603	Ministry of Finance PRC 2.65% CNY Bonds 2019	Debt securities	2012/07/03	2012/07/03
86604	Ministry of Finance PRC 3.1% CNY Bonds 2022	Debt securities	2012/07/03	2012/07/03
86605	Ministry of Finance PRC 3.48% CNY Bonds 2027	Debt securities	2012/07/03	2012/07/03
86028	AVIC 4.8% CNY Bonds 2015	Debt securities	2012/07/10	2012/07/10
83188	*# ChinaAMC CSI 300 Index ETF	ETF	2012/07/17	2012/07/17
86029	Bank of China 3.1% CNY Bonds 2015	Debt securities	2012/07/24	2012/07/24
85901	China Development Bank 3.1% CNY Bonds 2015	Debt securities	2012/08/03	2012/08/03
85902	China Development Bank 2.95% CNY Bonds 2015	Debt securities	2012/08/03	2012/08/03
85903	China Development Bank 3.45% CNY Bonds 2017	Debt securities	2012/08/03	2012/08/03
85904	China Development Bank 4.20% CNY Bonds 2027	Debt securities	2012/08/03	2012/08/03
85905	China Development Bank 4.30% CNY Bonds 2032	Debt securities	2012/08/03	2012/08/03
83100	*# E Fund CSI 100 A-Shares Index ETF	ETF	2012/08/27	2012/08/27
82822	*# CSOP FTSE China A50 ETF	ETF	2012/08/28	2012/08/28
83118	*# Harvest MSCI China A Index ETF	ETF	2012/10/12	2012/10/12
85906	Binhai Investment Co. Ltd. 6.5% CNY Bonds 2015	Debt securities	2012/10/25	2012/10/25
80737	*^ Hopewell Highway Infrastructure Ltd	Equity	2012/10/29	2012/10/29
85907	China Guangdong Nuclear Power Holdings Co. Ltd 3.75% CNY Bonds 2015	Debt securities	2012/11/02	2012/11/02
85908	China ITS 4.30% CNY Bonds 2032	Debt securities	2012/11/09	2012/11/09
85910	Central Plaza Development Ltd 7.6% Guar. CNY Bonds 2015	Debt securities	2012/11/30	2012/11/30
85911	Bitronic Ltd % CNY Bonds 2015	Debt securities	2012/12/13	2012/12/13
85912	Overseas-Chinese Banking Corporation Ltd. 3.5% CNY Notes 2020	Debt securities	2013/02/06	2013/02/06
85913	Huaneng Power Int'l, Inc. 3.85% CNY Bonds 2016	Debt securities	2013/02/06	2013/02/06
85914	New World China Land Ltd. 4.0% CNY Bonds 2015	Debt securities	2013/02/07	2013/02/07
85915	Far East Consortium Int'l Ltd. % CNY Bonds	Debt securities	2013/03/05	2013/03/05
85916	China Minmetals Corporation 3.65% CNY Bonds 2016	Debt securities	2013/04/02	2013/04/02
85917	Dorsett Hospitality International Ltd. 6% CNY Bonds 2018	Debt securities	2013/04/05	2013/04/05
85918	Asia Standard Int'l Group Ltd. 6.5% CNY Senior Notes 2018	Debt securities	2013/04/18	2013/04/18
85919	China Datang Corporation 3.6% CNY Bonds 2016	Debt securities	2013/04/26	2013/04/26
85920	Lai Fung Holdings Ltd. 6.875% Senior CNY Notes 2018	Debt securities	2013/04/26	2013/04/26
85921	Golden Wheel Tiandi Hldgs Co Ltd 11.25% Senior CNY Notes 2016	Debt securities	2013/05/03	2013/05/03
85922	Greentown China Holdings Ltd. 5.625% Senior CNY Notes 2016	Debt securities	2013/05/14	2013/05/14
85923	I.T Ltd. 6.25% Senior CNY Notes 2018	Debt securities	2013/05/16	2013/05/16
85925	Brave Rise Investments Ltd. 4.7% Guaranteed CNY Bonds 2016	Debt securities	2013/05/27	2013/05/27
85924	Powerlong Real Estate Hldgs Ltd. 9.50% Senior CNY Notes 2016	Debt securities	2013/05/28	2013/05/28
83136	*# Harvest MSCI China A 50 Index ETF	ETF	2013/06/06	2013/06/06
85926	Eastern Air Overseas (HK) Corp. Ltd. 3.875% Guar. CNY B2016	Debt securities	2013/06/06	2013/06/06
85927	Uni-President China Holdings Ltd. 3.5% CNY Notes 2016	Debt securities	2013/06/07	2013/06/07
83139	* iShares RMB Bond Index ETF	ETF	2013/06/18	2013/06/18
86607	Ministry of Finance of the PRC 2.87% CNY Bonds 2016	Debt securities	2013/06/28	2013/06/28
86608	Ministry of Finance of the PRC 3.02% CNY Bonds 2018	Debt securities	2013/06/28	2013/06/28
86609	Ministry of Finance of the PRC 3.09% CNY Bonds 2020	Debt securities	2013/06/28	2013/06/28
86610	Ministry of Finance of the PRC 3.16% CNY Bonds 2023	Debt securities	2013/06/28	2013/06/28
86611	Ministry of Finance of the PRC 3.60% CNY Bonds 2028	Debt securities	2013/06/28	2013/06/28
86612	Ministry of Finance of the PRC 3.95% CNY Bonds 2043	Debt securities	2013/06/28	2013/06/28
83008	*# C-Shares CSI 300 Index ETF	ETF	2013/07/08	2013/07/08
89012	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jan 2016 A	DW	2013/07/10	2013/07/10
85928	Swire Pacific MTN Financing Ltd. 3.9% Guar. CNY Notes 2023	Debt securities	2013/07/23	2013/07/23
85929	Far East Horizon Ltd. 4.5% CNY Notes 2016	Debt securities	2013/08/08	2013/08/08
85930	Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Aug 2023	Debt securities	2013/08/22	2013/08/22
83180	*# ChinaAMC CES China A80 Index ETF	ETF	2013/08/26	2013/08/26
89013	Standard Chartered Bank – CSOP A50 ETF Euro Wts Jan 2016 A	DW	2013/08/29	2013/08/29
85931	Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Sep 2023	Debt securities	2013/09/10	2013/09/10
83137	*# CSOP CES China A80 ETF	ETF	2013/09/23	2013/09/23
83120	*# E Fund CES China 120 Index ETF	ETF	2013/10/21	2013/10/21
85932	China Development Bank Corporation FR CNY Notes 2015	Debt securities	2013/11/14	2013/11/14
85933	China Development Bank Corporation 3.6% CNY Notes 2018	Debt securities	2013/11/14	2013/11/14
85934	China Development Bank Corporation 4.5% CNY Notes 2028	Debt securities	2013/11/14	2013/11/14
86613	Ministry of Finance of the PRC 2.60% CNY Bonds 2016	Debt securities	2013/11/25	2013/11/25
86614	Ministry of Finance of the PRC 3.09% CNY Bonds 2018	Debt securities	2013/11/25	2013/11/25
83128	*# Hang Seng China A Industry Top Index ETF	ETF	2013/11/26	2013/11/26
83081	* Value Gold ETF	ETF	2013/11/29	2013/11/29
85935	RKI Finance (2013) Ltd. 6% Guaranteed Senior CNY Notes 2016	Debt securities	2013/12/04	2013/12/04
85936	Bestgain Real Estate Lyra Ltd. 4.5% Guaranteed CNY Notes 2018	Debt securities	2013/12/05	2013/12/05
82832	*# Bosera FTSE China A50 Index ETF	ETF	2013/12/09	2013/12/09

List of listed RMB securities products

End of 2014

Code	Securities	Type	Listing date	First trading date
85937	Far East Horizon Ltd. 5.45% CNY Notes 2016	Debt securities	2013/12/12	2013/12/12
86615	Ministry of Finance of the PRC 2.80% CNY Bonds 2015	Debt securities	2013/12/12	2013/12/12
85938	Bestgain Real Estate Lyra Ltd. 4.05% Guar. CNY Notes 2016	Debt securities	2013/12/17	2013/12/17
85939	Kunzhi Ltd. 5.875% Guaranteed CNY Bonds 2017	Debt securities	2014/01/16	2014/01/16
85940	China Electronics Corp Holdings Co. Ltd. 4.7% CNY Bonds 2017	Debt securities	2014/01/17	2014/01/17
85941	Agricultural Development Bank of China 3.08% CNY Bonds 2016	Debt securities	2014/01/17	2014/01/17
85942	Bank of China Ltd. 3.45% CNY Notes 2017	Debt securities	2014/01/17	2014/01/17
85943	Agricultural Development Bank of China 3.28% CNY Bonds 2017	Debt securities	2014/01/17	2014/01/17
85944	Starway Assets Enterprises Inc. 4.1% Guar. CNY Bonds 2017	Debt securities	2014/01/23	2014/01/23
85945	Greenland Hong Kong Holdings Ltd. 5.5% CNY Bonds 2018	Debt securities	2014/01/24	2014/01/24
85946	Universal Number One Co Ltd 5.7% Guar. Secured CNY Bonds 2017	Debt securities	2014/01/30	2014/01/30
85947	Central Plaza Development Ltd. 5.75% Guar. CNY Notes 2017	Debt securities	2014/02/18	2014/02/18
85948	Central Plaza Development Ltd. 6.875% Guar. CNY Notes 2019	Debt securities	2014/02/18	2014/02/18
83199	CSOP China 5-Year Treasury Bond ETF	ETF	2014/02/19	2014/02/19
85949	China CITIC Bank Corporation Ltd. 4.125% CNY Bonds 2017	Debt securities	2014/02/28	2014/02/28
85950	Agile Property Holdings Ltd. 6.5% Senior CNY Notes 2017	Debt securities	2014/03/03	2014/03/03
82811	Haitong CSI300 Index ETF	ETF	2014/03/07	2014/03/07
82808	E Fund Citi Chinese Government Bond 5-10 Years Index ETF	ETF	2014/03/14	2014/03/14
85952	China Construction Bank (Asia) Corp Ltd. 3.25% CNY Notes 2016	Debt securities	2014/03/14	2014/03/14
85953	Eastern Air Overseas (HK) Corp Ltd. 4.8% Guar. CNY Bonds 2017	Debt securities	2014/03/14	2014/03/14
85955	ICBC, Sydney Branch 4.2% CNY Notes 2024	Debt securities	2014/03/20	2014/03/20
85951	China Development Bank Corporation 3.35% CNY Notes 2017	Debt securities	2014/03/21	2014/03/21
85954	Bank of Communications Co., Ltd. 3.3% CNY Notes 2016	Debt securities	2014/03/21	2014/03/21
85956	AVIC Int'l Finance & Investment Ltd. 4.8% CNY Bonds 2017	Debt securities	2014/04/11	2014/04/11
85957	China Merchants Bank Co., Ltd. 4.10% CNY Bonds 2017	Debt securities	2014/04/11	2014/04/11
85958	China Unicom (Hong Kong) Ltd. 4% Senior CNY Notes 2017	Debt securities	2014/04/17	2014/04/17
85959	Guosen Securities (Overseas) Co. Ltd. 6.4% CNY Bonds 2017	Debt securities	2014/04/25	2014/04/25
83107	C-Shares CSI Consumer Staples Index ETF	ETF	2014/05/12	2014/05/12
83132	C-Shares CSI Healthcare Index ETF	ETF	2014/05/12	2014/05/12
85960	China Power International Dev Ltd. 4.5% CNY Bonds 2017	Debt securities	2014/05/12	2014/05/12
85961	Ch Chengtong Dev Group Ltd. 4% Credit Enhanced CNY Bonds 2017	Debt securities	2014/05/12	2014/05/12
85965	Sinochem Offshore Cap Co. Ltd. 3.55% Senior Guar. CNY N2017	Debt securities	2014/05/14	2014/05/14
85962	The Export-Import Bank of China 3.00% CNY Bonds 2016	Debt securities	2014/05/15	2014/05/15
85963	The Export-Import Bank of China 3.65% CNY Bonds 2019	Debt securities	2014/05/15	2014/05/15
85964	The Export-Import Bank of China 4.40% CNY Bonds 2024	Debt securities	2014/05/15	2014/05/15
85966	Rizhao Port (HK) Co. Ltd. 4.25% Credit Enhanced CNY Bonds 2017	Debt securities	2014/05/16	2014/05/16
85967	Power Construction Corporation of China 4.2% CNY Notes 2017	Debt securities	2014/05/16	2014/05/16
85968	Agricultural Development Bank of China 3% CNY Bonds 2016	Debt securities	2014/05/22	2014/05/22
85969	Shanghai Pudong Dev Bank Co., Ltd. 4.08% CNY Bonds 2017	Debt securities	2014/05/23	2014/05/23
85970	Sure Best Management Ltd. 4.75% Guaranteed CNY Notes 2017	Debt securities	2014/05/23	2014/05/23
86616	Ministry of Finance of the PRC 2.53% CNY Bonds 2017	Debt securities	2014/05/23	2014/05/23
86617	Ministry of Finance of the PRC 3.25% CNY Bonds 2019	Debt securities	2014/05/23	2014/05/23
86618	Ministry of Finance of the PRC 3.80% CNY Bonds 2021	Debt securities	2014/05/23	2014/05/23
86619	Ministry of Finance of the PRC 4.00% CNY Bonds 2024	Debt securities	2014/05/23	2014/05/23
86620	Ministry of Finance of the PRC 4.29% CNY Bonds 2029	Debt securities	2014/05/23	2014/05/23
86621	Ministry of Finance of the PRC 4.50% CNY Bonds 2034	Debt securities	2014/05/23	2014/05/23
85971	Unican Ltd. 5.80% Guaranteed CNY Notes 2017	Debt securities	2014/06/03	2014/06/03
85972	Maikun Investment Co., Ltd. 4.5% Guaranteed CNY Notes 2017	Debt securities	2014/06/09	2014/06/09
85973	Sinotrans Sailing Ltd. 4.5% CNY Bonds 2017	Debt securities	2014/06/11	2014/06/11
85974	Soar Rise Ltd. 4.375% Credit Enhanced CNY Bonds 2017	Debt securities	2014/06/16	2014/06/16
85975	China Minmetals Corporation 4.25% CNY Bonds 2017	Debt securities	2014/06/17	2014/06/17
85976	HK Huafa Invest Hldgs Co. Ltd. 4.25% Credit Enhanced CNY B2017	Debt securities	2014/06/19	2014/06/19
85977	Beijing Capital (Hong Kong) Ltd. 4.70% CNY Bonds 2017	Debt securities	2014/06/23	2014/06/23
85978	eSun Int'l Finance Ltd. 8.375% Secured Guar. CNY Notes 2018	Debt securities	2014/06/25	2014/06/25
85979	Eastern Creation II Invest Hldgs Ltd. 3.75% Guar. CNY N2017	Debt securities	2014/06/30	2014/06/30
85980	Hua Xia Bank Co., Ltd. 4.95% CNY Bonds 2017	Debt securities	2014/07/02	2014/07/02
85981	Hang Seng Bank (China) Ltd. 3.25% CNY Notes 2017	Debt securities	2014/07/04	2014/07/04
85982	China City Construction (Int'l) Co., Ltd. 5.35% CNY Bonds 2017	Debt securities	2014/07/04	2014/07/04
85983	Times Property Holdings Ltd. 10.375% Senior CNY Notes 2017	Debt securities	2014/07/17	2014/07/17
85984	Jinchuan Group Co., Ltd. 4.75% CNY Bonds 2017	Debt securities	2014/07/18	2014/07/18
85985	ITNL International Pte. Ltd. 8.00% CNY Bonds 2017	Debt securities	2014/07/18	2014/07/18
85986	Lai Sun Garment Int'l Fin (2014) 7.7% Secured Guar. CNY N2018	Debt securities	2014/07/25	2014/07/25
85987	China Unicom (Hong Kong) Ltd. 3.80% Senior CNY Notes 2016	Debt securities	2014/07/25	2014/07/25
85988	Yieldking Investment Ltd. 5.15% Guaranteed CNY Bonds 2017	Debt securities	2014/08/01	2014/08/01
85989	China Development Bank Corporation 4.35% CNY Notes 2024	Debt securities	2014/08/07	2014/08/07
85990	Sinochem Offshore Cap Co. Ltd. 4.00% Senior Guar. CNY N2021	Debt securities	2014/09/11	2014/09/11
85991	Qilu Int'l Finance (BVI) Co. Ltd. 6.25% Senior CNY Bonds 2017	Debt securities	2014/09/11	2014/09/11
85992	361 Degrees International Ltd. 7.50% Senior CNY Notes 2017	Debt securities	2014/09/15	2014/09/15
85993	Charter Style International Ltd. 5.80% Guar. CNY Bonds 2024	Debt securities	2014/09/16	2014/09/16
85994	Unican Ltd. 5.60% Guaranteed CNY Notes 2017	Debt securities	2014/09/19	2014/09/19
83127	Horizons CSI 300 ETF	ETF	2014/09/26	2014/09/26
85995	Bohai General Capital Ltd. 6.40% Guaranteed CNY Bonds 2017	Debt securities	2014/10/17	2014/10/17
85996	Yunnan Energy Investment (Overseas) Co. Ltd. 5.50% CNY B2017	Debt securities	2014/10/22	2014/10/22
85997	Hong Kong Aviation Ltd. 7.50% Guar. CNY Notes 2016	Debt securities	2014/11/05	2014/11/05
89015	Standard Chartered Bank – CSOP A50 ETF Euro Wts Jun 2015 A	DW	2014/11/12	2014/11/12
85998	China Construction Bank Corp Tier 2 Dated Capital CNY B2024	Debt securities	2014/11/13	2014/11/13
85700	China Construction Bank (Asia) Corp Ltd. 3.95% CNY Notes 2021	Debt securities	2014/11/19	2014/11/19
85701	China Construction Bank (Asia) Corp Ltd. 4.08% CNY Notes 2024	Debt securities	2014/11/19	2014/11/19
85999	China Construction Bank (Asia) Corp Ltd. 3.30% CNY Notes 2016	Debt securities	2014/11/19	2014/11/19
86000	China Construction Bank (Asia) Corp Ltd. 3.75% CNY Notes 2019	Debt securities	2014/11/19	2014/11/19
85702	Ch High Speed Transmission Equip Group 8.30% Guar. CNY B2017	Debt securities	2014/11/20	2014/11/20
85703	Wanhua Chemical Int'l Holding Co., Ltd. 4.50% Guar. CNY B2017	Debt securities	2014/11/20	2014/11/20
85704	Ch Singyes Solar Tech Holdings Ltd. 7.875% Senior CNY N2017	Debt securities	2014/11/24	2014/11/24

List of listed RMB securities products

End of 2014

Code	Securities	Type	Listing date	First trading date
86622	Ministry of Finance of the PRC 2.74% CNY Bonds 2017	Debt securities	2014/11/24	2014/11/24
86623	Ministry of Finance of the PRC 3.00% CNY Bonds 2019	Debt securities	2014/11/24	2014/11/24
86624	Ministry of Finance of the PRC 3.38% CNY Bonds 2024	Debt securities	2014/11/24	2014/11/24
85705	Orient Hongsheng Ltd. 6.50% Guaranteed CNY Bonds 2017	Debt securities	2014/11/27	2014/11/27
85706	Yufu Eternity Ltd. 5.625% Guaranteed CNY Notes 2017	Debt securities	2014/11/27	2014/11/27
85707	Carnival Group Int'l Holdings Ltd. 11.50% Senior CNY B2016	Debt securities	2014/12/05	2014/12/05
84602	ICBC RMB 6.00% Non-Cum, Non-Part, Perpetual Offshore PrefShs	Equity	2014/12/11	2014/12/11
85708	Zhuhai Da Heng Qin Invest 4.75% Credit Enhanced CNY B2017	Debt securities	2014/12/12	2014/12/12
86625	Ministry of Finance of the PRC 2.93% CNY Bonds 2016	Debt securities	2014/12/12	2014/12/12
85709	Golden Wheel Tiandi Holdings Co Ltd. 10.80% Senior CNY N2017	Debt securities	2014/12/17	2014/12/17
85710	Jingneng Clean Energy Invest 4.30% Senior Guar CNY B2017	Debt securities	2014/12/24	2014/12/24

* Securities traded in dual counters for RMB and HKD

RQFII A-share ETF

^ First listed on 6 August 2003 and traded in HKD on counter 737

Trading value and number of deals, 2014

Year/ Month		No. of trading day	Southbound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
			Total (HK\$mil)	Average daily	Total (HK\$mil)	Average daily	Total	Average daily	Total	Average daily
2014		28	19,579.59	699.27	6,431.41	229.69	347,854	12,423	120,767	4,313
2014	Nov	10	6,114.48	611.45	1,485.77	148.58	118,591	11,859	35,725	3,572
	Dec	18	13,465.11	748.06	4,945.64	274.76	229,263	12,736	85,042	4,724

Year/ Month		No. of trading day	Northbound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
			Total (RMB mil)	Average daily	Total (RMB mil)	Average daily	Total	Average daily	Total	Average daily
2014		30	118,041.13	3,934.70	49,470.69	1,649.02	2,545,005	84,833	1,065,810	35,527
2014	Nov	10	43,571.71	4,357.17	3,017.48	301.75	976,425	97,642	85,023	8,502
	Dec	20	74,469.42	3,723.47	46,453.21	2,322.66	1,568,580	78,429	980,787	49,039

Stock trading value, 1970-2014

HK\$mil

Year	Whole year value	No. of trading days	Average daily	High day (*)		Low day (*)	
				Value	Date	Value	Date
1970	6,056.13	294	20.60	56.69	03/10	6.15	06/09
1971	14,806.21	276	53.65	211.39	09/20	9.12	03/01
1972	42,907.54	247	173.71	659.34	11/10	21.48	02/02
1973	48,880.44	245	199.51	704.69	02/08	35.39	08/29
1974	11,436.70	245	46.68	219.33	06/17	10.91	12/05
1975	10,359.91	246	42.11	167.46	03/06	9.00	01/06
1976	13,183.31	248	53.16	223.42	02/26	9.72	07/26
1977	6,132.43	246	24.93	67.47	04/18	8.54	10/10
1978	27,445.86	244	112.48	410.91	08/18	9.39	02/10
1979	25,632.21	246	104.20	407.69	09/26	18.39	01/02
1980	95,670.54	246	388.90	1,424.95	11/03	47.58	04/09
1981	105,970.90	244	434.31	991.92	06/12	132.90	10/26
1982	46,221.25	247	187.13	2,064.18	04/26	68.68	12/23
1983	37,166.26	247	150.47	589.22	07/15	40.37	01/04
1984	48,808.70	248	196.81	1,264.27	01/13	38.50	01/03
1985	75,821.03	247	306.97	3,308.08	02/01	116.04	12/23
1986	123,128.35	247	498.50	1,721.13	10/08	124.62	02/05
1987	371,406.46	246	1,509.78	5,407.55	10/02	401.58	04/21
1988	199,480.68	248	804.36	1,889.48	06/15	230.00	09/19
1989	299,146.70	246	1,216.04	3,673.60	05/23	290.01	07/18
1990	288,714.90	248	1,164.17	3,280.82	08/07	338.36	12/28
1991	334,103.92	248	1,347.19	3,389.14	07/05	241.35	01/02
1992	700,577.50	250	2,802.31	6,420.47	05/25	965.50	01/03
1993	1,222,675.13	249	4,910.34	12,909.44	12/10	1,019.78	01/04
1994	1,137,414.00	248	4,586.35	17,204.66	01/07	1,313.55	07/04
1995	826,800.60	247	3,347.37	9,924.41	05/12	1,385.27	05/01
1996	1,412,242.38	249	5,671.66	13,803.84	12/06	2,734.90	05/27
1997	3,788,959.79	245	15,465.14	46,096.84	08/29	3,253.55	12/29
1998	1,701,112.01	247	6,887.09	79,002.61	08/28	1,221.90	12/28
1999	1,915,940.58	247	7,756.84	17,387.59	11/16	2,100.34	02/22
2000	3,047,565.32	247	12,338.32	35,746.19	02/15	4,565.80	12/27
2001	1,950,086.74	243	8,025.05	17,752.03	04/19	2,906.48	12/27
2002	1,599,074.57	247	6,473.99	12,982.41	05/02	2,734.31	01/02
2003	2,545,675.94	248	10,264.82	34,464.21	12/16	2,136.95	01/02
2004	3,948,350.84	249	15,856.83	38,758.84	01/13	7,378.09	08/17
2005	4,498,095.11	247	18,210.91	34,617.04	03/02	9,835.58	05/24
2006	8,332,633.24	247	33,735.36	76,008.14	10/27	16,451.20	06/19
2007	21,506,271.44	246	87,423.87	209,808.30	10/03	35,013.62	03/15
2008	17,600,713.87	245	71,839.65	156,353.59	01/23	21,951.90	12/29
2009	15,439,486.88	249	62,005.97	146,624.25	05/13	28,531.97	03/12
2010	17,076,412.15	249	68,579.97	138,533.28	10/14	33,995.19	02/18
2011	17,091,116.87	246	69,476.08	124,773.84	08/05	24,466.29	12/30
2012	13,267,508.72	247	53,714.61	127,100.48	03/06	22,225.20	12/24
2013	15,185,792.16	244	62,236.85	128,156.37	02/04	28,725.67	12/24
2014	16,990,272.09	247	68,786.53	147,757.45	12/05	39,200.50	05/26

Records since 1970

High year: 2007

High day: 2007/10/03

Low year: 1970

Low day: 1970/06/09

(*) Only full trading days are included for the records.
Market turnover values since 1993 were adjusted for late reported and rejected sales.
Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976-2014)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK\$mil)	Market capitalisation (HK\$mil)
1976	295	319	n.a.	56,675
1977	284	315	n.a.	51,278
1978	265	298	n.a.	65,939
1979	262	298	n.a.	112,809
1980	262	309	n.a.	209,753
1981	269	335	n.a.	232,331
1982	273	342	n.a.	131,640
1983	277	351	n.a.	142,094
1984	278	348	n.a.	184,642
1985	279	340	n.a.	269,511
1986	253	335	14,707	419,281
1987	276	412	48,082	419,612
1988	304	479	18,506	580,378
1989	298	479	20,345	605,010
1990	299	520	21,233	650,410
1991	357	597	39,457	949,172
1992	413	749	105,113	1,332,184
1993	477	891	90,005	2,975,379
1994	529	1,006	51,861	2,085,182
1995	542	1,033	39,202	2,348,310
1996	583	1,272	100,018	3,475,965
1997	658	1,533	247,577	3,202,630
1998	680	1,246	38,257	2,661,713
1999	701	1,205	148,120	4,727,527
2000	736	1,294	451,281	4,795,150
2001	756	1,075	58,593	3,885,342
2002	812	1,416	101,413	3,559,099
2003	852	1,598	209,116	5,477,670
2004	892	1,971	276,521	6,629,177
2005	934	2,448	298,660	8,113,333
2006	975	3,184	516,025	13,248,821
2007	1,048	5,896	571,078	20,536,463
2008	1,087	5,654	418,188	10,253,589
2009	1,145	6,441	637,734	17,769,271
2010	1,244	7,730	845,486	20,942,284
2011	1,326	6,551	482,834	17,452,667
2012	1,368	6,723	300,231	21,871,730
2013	1,451	8,330	369,822	23,908,804
2014	1,548	8,856	929,399	24,892,419

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Highest turnover records Main Board

Year		
Rank	Value (HK\$mil)	Year
1	21,506,271.44	2007
2	17,600,713.87	2008
3	17,091,116.87	2011
4	17,076,412.14	2010
5	16,990,272.09	2014
6	15,439,486.88	2009
7	15,185,792.16	2013
8	13,267,508.72	2012
9	8,332,633.24	2006
10	4,498,095.11	2005

Month		
Rank	Value (HK\$mil)	Month/Year
1	3,473,846.35	10/2007
2	2,993,364.29	11/2007
3	2,623,012.43	01/2008
4	2,154,141.65	11/2010
5	2,152,013.07	08/2007
6	2,071,514.56	09/2007
7	2,027,543.25	12/2014
8	1,955,375.05	10/2010
9	1,878,528.95	07/2007
10	1,839,413.64	12/2007

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	8,306,624.27	4/2007
2	6,102,056.58	3/2007
3	5,901,036.22	1/2008
4	5,494,945.12	4/2010
5	4,970,894.65	4/2014
6	4,684,220.74	1/2011
7	4,623,402.28	2/2008
8	4,562,366.77	3/2011
9	4,496,605.26	3/2014
10	4,374,641.78	1/2013

Day		
Rank	Value (HK\$mil)	Date
1	209,808.30	2007/10/03
2	200,833.43	2007/10/16
3	195,257.20	2007/10/12
4	185,024.96	2007/10/30
5	178,712.17	2007/10/11
6	177,627.69	2007/10/29
7	174,395.47	2007/10/15
8	169,636.11	2007/11/06
9	168,521.99	2007/10/18
10	165,175.30	2007/10/25

Main Board and GEM

Year		
Rank	Value (HK\$mil)	Year
1	21,665,529.92	2007
2	17,652,800.86	2008
3	17,210,077.74	2010
4	17,155,730.33	2014
5	17,154,074.38	2011
6	15,515,248.69	2009
7	15,264,629.96	2013
8	13,301,049.76	2012
9	8,376,311.12	2006
10	4,520,431.80	2005

Month		
Rank	Value (HK\$mil)	Month/Year
1	3,487,325.59	10/2007
2	3,007,223.67	11/2007
3	2,629,311.19	01/2008
4	2,168,466.42	08/2007
5	2,168,191.68	11/2010
6	2,083,138.17	09/2007
7	2,037,569.06	12/2014
8	1,968,932.72	10/2010
9	1,905,011.22	07/2007
10	1,845,218.16	12/2007

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	8,339,767.42	4/2007
2	6,156,615.82	3/2007
3	5,922,420.08	1/2008
4	5,533,486.38	4/2010
5	5,005,118.22	4/2014
6	4,706,837.99	1/2011
7	4,640,846.32	2/2008
8	4,572,502.61	3/2011
9	4,535,766.69	3/2014
10	4,387,021.75	1/2013

Day		
Rank	Value (HK\$mil)	Date
1	210,505.53	2007/10/03
2	201,413.37	2007/10/16
3	195,962.20	2007/10/12
4	185,681.50	2007/10/30
5	179,409.27	2007/10/11
6	178,382.19	2007/10/29
7	174,984.45	2007/10/15
8	170,352.76	2007/11/06
9	169,121.20	2007/10/18
10	165,926.12	2007/10/25

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.

Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

10 largest day-to-day fluctuations in stock price indices

S&P/HKEx LargeCap Index 1998-2014

28 February 2003 = 10000

Rank	% up	Date	Closing index
1	15.04	1998/02/02	11001.95
2	14.29	2008/10/28	15507.37
3	12.64	2008/10/30	17632.61
4	10.97	2008/01/23	29340.77
5	10.09	2008/10/13	19931.74
6	9.95	1998/10/16	10078.68
7	9.29	2008/09/19	23629.69
8	9.20	1998/09/07	8013.39
9	8.75	1998/08/14	7133.91
10	8.28	2008/12/08	18707.83

Rank	% down	Date	Closing index
1	12.59	2008/10/27	13569.03
2	9.82	1998/01/12	8439.81
3	8.87	2001/09/12	10522.63
4	8.70	2000/04/17	15693.12
5	8.67	2008/01/22	26440.70
6	8.19	2008/10/24	15523.90
7	8.14	2008/10/08	18980.80
8	7.53	1998/08/31	7089.75
9	7.49	2008/10/10	18104.89
10	6.91	2000/01/05	16613.10

Rank	Points up	Date	Closing index
1	2900.07	2008/01/23	29340.77
2	2009.16	2008/09/19	23629.69
3	1978.01	2008/10/30	17632.61
4	1938.34	2008/10/28	15507.37
5	1907.46	2008/01/25	30474.42
6	1826.85	2008/10/13	19931.74
7	1690.88	2007/11/14	35076.20
8	1594.62	2008/03/25	27333.87
9	1478.44	2007/08/20	25881.95
10	1438.53	1998/02/02	11001.95

Rank	Points down	Date	Closing index
1	2509.29	2008/01/22	26440.70
2	1954.87	2008/10/27	13569.03
3	1916.47	2007/11/05	35248.33
4	1703.15	2008/01/16	29740.34
5	1682.08	2008/10/08	18980.80
6	1654.93	2008/01/21	28949.99
7	1592.80	2008/02/06	28621.00
8	1495.17	2000/04/17	15693.12
9	1466.46	2008/10/10	18104.89
10	1434.90	2011/08/09	22919.84

Hang Seng Index 1970-2014

31 July 1964 = 100

Rank	% up	Date	Closing index
1	18.82	1997/10/29	10765.30
2	14.41	1973/02/09	1449.91
3	14.35	2008/10/28	12596.29
4	14.33	1998/02/02	10578.60
5	13.36	1974/11/27	185.17
6	13.20	1973/02/02	1098.86
7	13.20	1973/04/11	926.45
8	12.82	2008/10/30	14329.85
9	12.35	1975/01/27	209.62
10	11.71	1973/07/18	602.34

Rank	% down	Date	Closing index
1	33.33	1987/10/26	2241.69
2	21.75	1989/06/05	2093.61
3	13.70	1997/10/28	9059.89
4	13.31	1973/03/26	1229.28
5	12.70	2008/10/27	11015.84
6	11.48	1973/04/10	818.39
7	11.43	1972/11/13	672.62
8	11.12	1987/10/19	3362.39
9	10.78	1989/05/22	2806.57
10	10.41	1997/10/23	10426.30

Rank	Points up	Date	Closing index
1	2332.54	2008/01/23	24090.17
2	1705.41	1997/10/29	10765.30
3	1695.27	2008/09/19	19327.73
4	1627.78	2008/10/30	14329.85
5	1583.10	2008/01/25	25122.37
6	1580.45	2008/10/28	12596.29
7	1515.29	2008/10/13	16312.16
8	1362.66	2007/11/14	29166.01
9	1356.30	2008/03/25	22464.52
10	1326.24	1998/02/02	10578.60

Rank	Points down	Date	Closing index
1	2061.23	2008/01/22	21757.63
2	1602.54	2008/10/27	11015.84
3	1526.02	2007/11/05	28942.32
4	1438.31	1997/10/28	9059.89
5	1386.93	2008/01/16	24450.85
6	1383.01	2008/01/21	23818.86
7	1380.39	2000/04/17	14762.37
8	1372.03	2008/10/08	15431.73
9	1339.24	2008/02/06	23469.46
10	1226.10	2000/01/05	15846.72

World stock market indices (2010 – 2014)

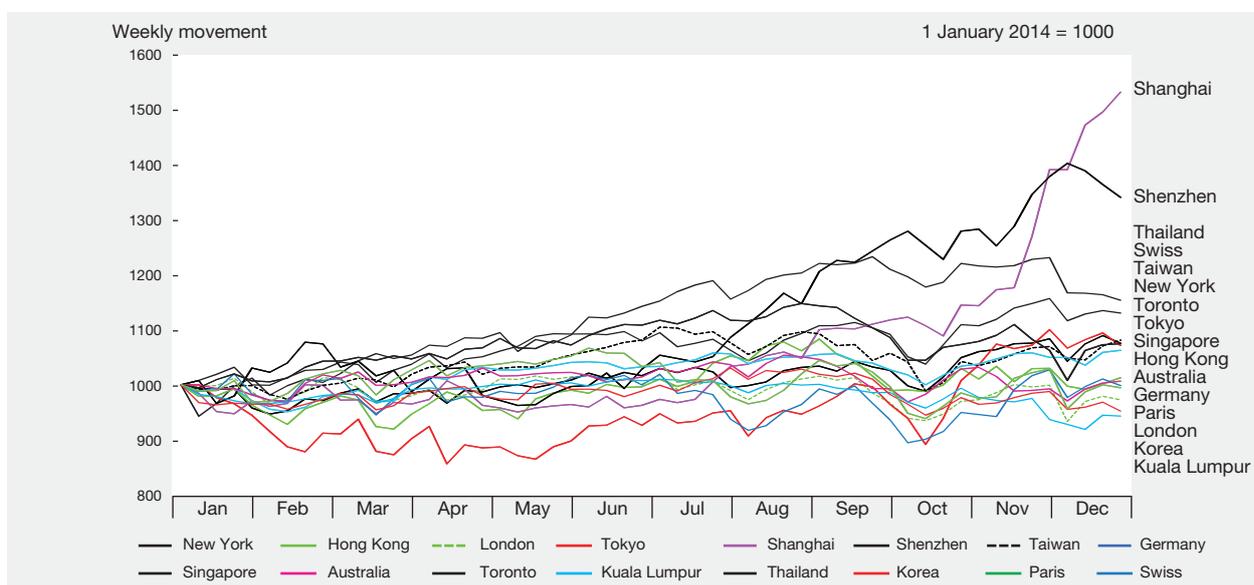
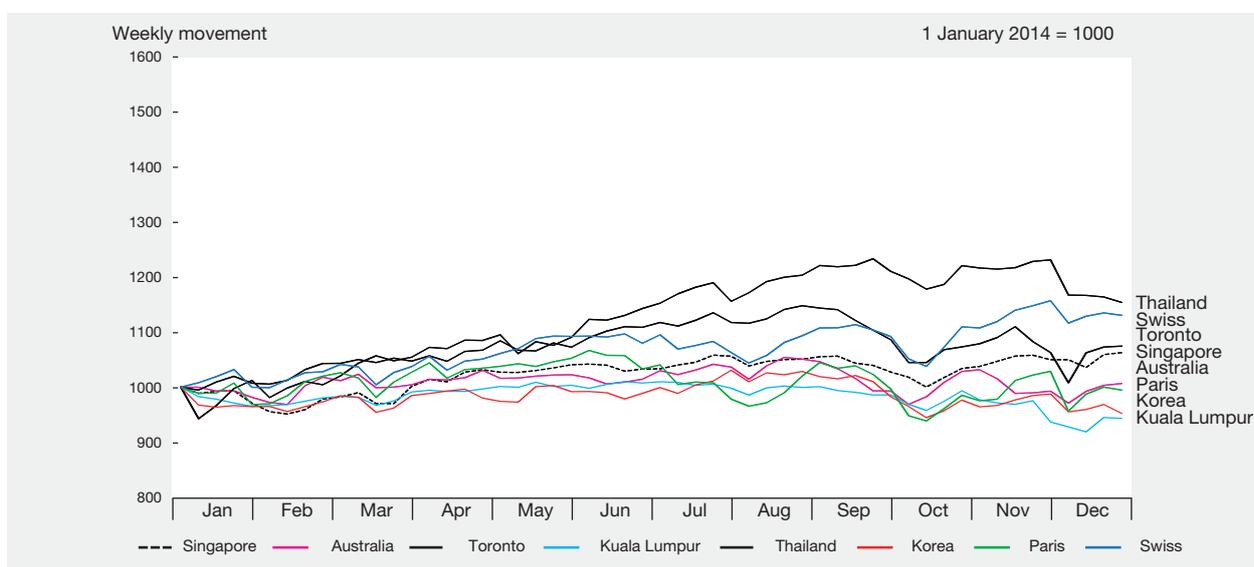
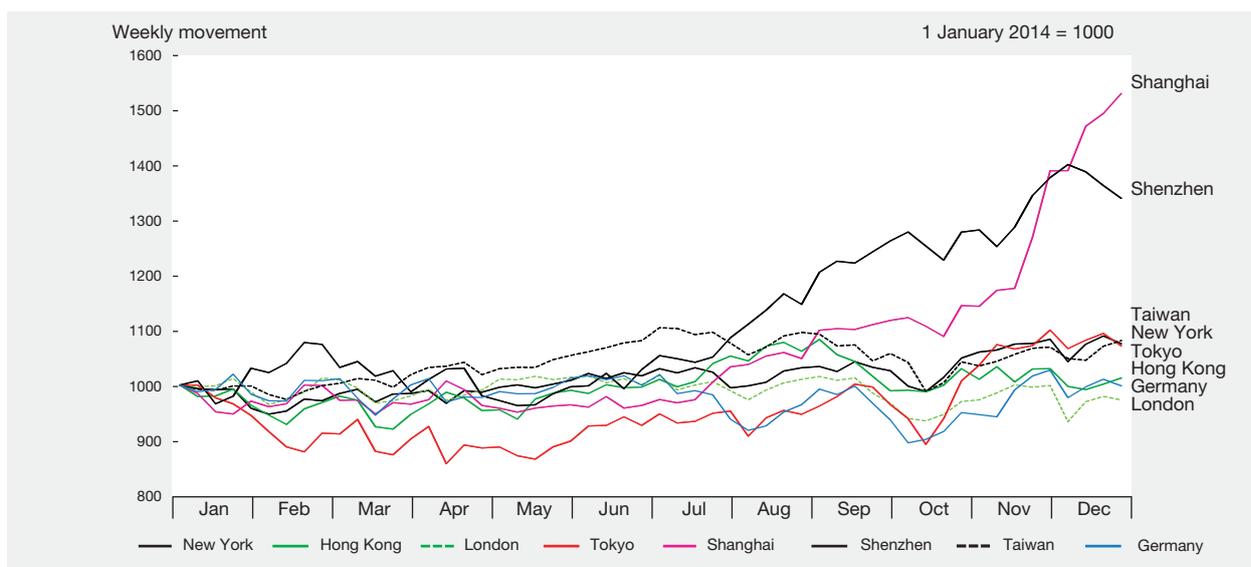
	AUSTRALIA	GERMANY	HONG KONG	KOREA	KUALA LUMPUR	LONDON	NEW YORK	PARIS
Year/ Month	AI Ordinaries Index	DAX Capital Value Index	Hang Seng Index	Composite Stock Index	Composite Index	FTSE 100 Index	DJIA Index	CAC 40 Index
2010	4846.88	4053.71	23035.45	2051.00	1518.91	5899.90	11577.51	3804.78
2011	4111.04	3346.06	18434.39	1825.74	1530.73	5572.30	12217.56	3159.81
2012	4664.59	4161.30	22656.92	1997.05	1688.95	5897.80	13104.14	3641.07
2013	5353.08	5051.89	23306.39	2011.34	1866.96	6749.09	16576.66	4295.95
2014	5388.60	5044.71	23605.04	1915.59	1761.25	6566.09	17823.07	4272.75
2014								
Jan	5205.10	4907.42	22035.42	1941.15	1804.03	6510.44	15698.85	4165.72
Feb	5415.45	5110.05	22836.96	1979.99	1835.66	6809.70	16321.71	4408.08
Mar	5402.99	5037.49	22151.06	1985.61	1849.21	6598.37	16457.66	4391.50
Apr	5470.75	5030.34	22133.97	1961.79	1871.52	6780.03	16580.84	4487.39
May	5473.77	5115.54	23081.65	1994.96	1873.38	6844.51	16717.17	4519.57
Jun	5382.03	5058.86	23190.72	2002.21	1882.71	6743.94	16826.60	4422.84
Jul	5623.12	4839.91	24756.85	2076.12	1871.36	6730.11	16563.30	4246.14
Aug	5624.57	4872.16	24742.06	2068.54	1866.11	6819.75	17098.45	4381.04
Sep	5296.76	4874.28	22932.98	2020.09	1846.31	6622.72	17042.90	4416.24
Oct	5505.02	4798.44	23998.06	1964.43	1855.15	6546.47	17390.52	4233.09
Nov	5298.11	5134.89	23987.45	1980.78	1820.89	6722.62	17828.24	4390.18
Dec	5388.60	5044.71	23605.04	1915.59	1761.25	6566.09	17823.07	4272.75

Note: Month-end or year-end figures.

	SHANGHAI	SHENZHEN	SINGAPORE	SWITZERLAND	TAIWAN	THAILAND	TOKYO	TORONTO
Year/ Month	A-Share Index	A-Share Index	FTSE ST Index	SPI General Index	Taipei Weighted Stock Index	Bangkok SET Index	Nikkei 225 Index	S&P/TSX Composite Index
2010	2940.24	1351.14	3190.04	5790.62	8972.50	1032.76	10228.92	13443.22
2011	2304.12	906.91	2646.35	5343.52	7072.08	1025.32	8455.35	11955.09
2012	2376.04	919.80	3167.08	6290.52	7699.50	1391.93	10395.18	12433.53
2013	2214.49	1103.81	3167.43	7838.00	8611.51	1298.71	16291.31	13621.55
2014	3388.63	1478.45	3365.15	8857.03	9307.26	1497.67	17450.77	14632.44
2014								
Jan	2127.62	1128.92	3027.22	7830.51	8462.57	1274.28	14914.53	13694.94
Feb	2152.80	1139.29	3110.78	8160.44	8639.58	1325.33	14841.07	14209.59
Mar	2128.78	1085.68	3188.62	8202.18	8849.28	1376.26	14827.83	14335.31
Apr	2121.41	1072.61	3264.71	8333.70	8791.44	1414.94	14304.11	14651.87
May	2135.09	1100.51	3295.85	8557.99	9075.91	1415.73	14632.38	14604.16
Jun	2144.75	1145.36	3255.67	8455.66	9393.07	1485.75	15162.10	15146.01
Jul	2305.21	1211.06	3374.06	8329.69	9315.85	1502.39	15620.77	15330.74
Aug	2320.99	1265.85	3327.09	8566.25	9436.27	1561.63	15424.59	15625.73
Sep	2474.59	1393.01	3276.74	8695.61	8966.92	1585.67	16173.52	14960.51
Oct	2534.07	1410.90	3274.25	8691.83	8974.76	1584.16	16413.76	14613.32
Nov	2809.63	1484.23	3350.50	8992.90	9187.15	1593.91	17459.85	14744.70
Dec	3388.63	1478.45	3365.15	8857.03	9307.26	1497.67	17450.77	14632.44

Note: Month-end or year-end figures.

World stock market indices (2014)



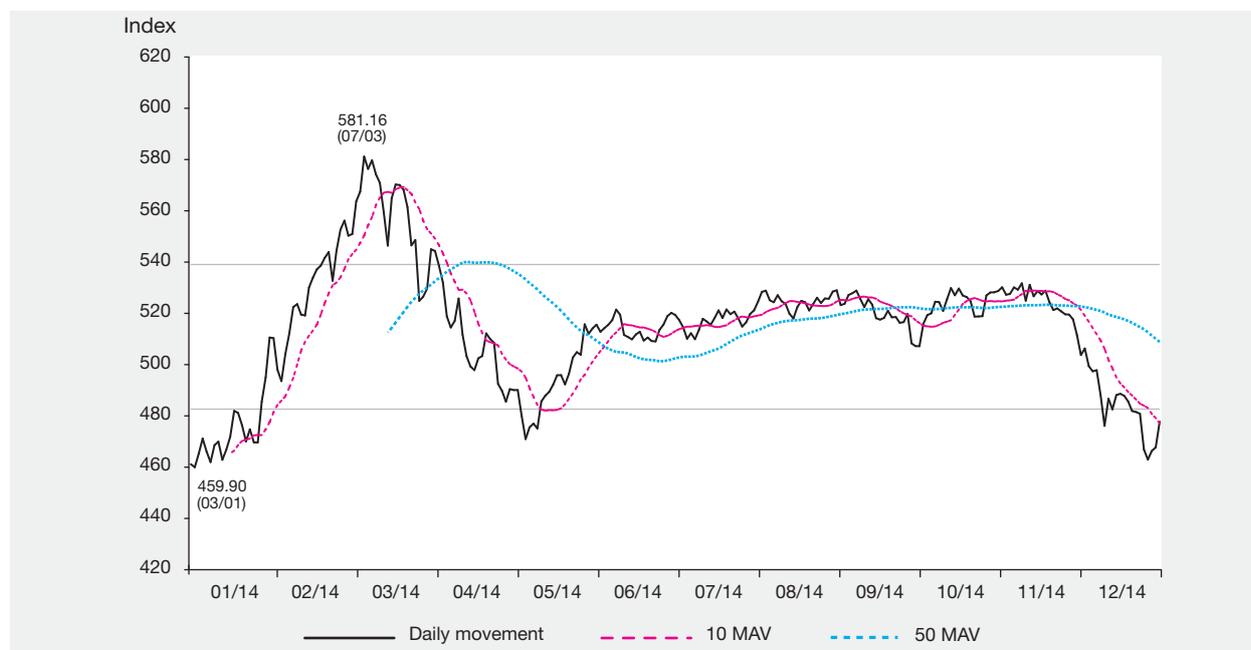
S&P/HKEx GEM Index, daily closing (2014)

28 February 2003 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	544.98	-	-	-	521.14	528.96	-	-	503.70
2	461.09	-	-	544.30	490.38	-	518.86	-	523.18	-	-	506.25
3	459.90	-	550.27	538.55	-	512.00	520.17	-	523.70	507.14	530.15	499.37
4	-	510.30	550.94	532.05	-	514.09	519.33	524.47	527.13	-	527.22	497.34
5	-	497.92	563.71	-	490.02	515.54	-	528.35	527.85	-	527.57	497.77
6	465.18	493.51	567.46	-	-	512.77	-	528.82	-	515.92	530.10	-
7	471.12	504.11	581.16	518.80	490.04	-	517.33	525.07	-	519.27	529.19	-
8	466.00	-	-	514.44	479.95	-	514.56	524.30	528.84	519.98	-	488.04
9	461.89	-	-	516.99	470.89	514.20	510.06	-	-	524.51	-	476.05
10	468.50	512.15	576.34	525.75	-	515.50	512.20	-	525.56	524.34	531.70	486.63
11	-	522.39	579.70	511.62	-	517.33	509.88	527.06	522.42	-	524.89	482.51
12	-	523.58	574.20	-	475.50	521.44	-	524.70	525.48	-	531.11	488.07
13	469.90	519.47	570.87	-	476.93	519.42	-	523.68	-	520.92	526.63	-
14	462.80	519.13	559.21	503.27	475.02	-	513.45	519.80	-	525.47	528.69	-
15	466.73	-	-	499.24	485.59	-	517.85	517.97	523.40	529.83	-	488.58
16	471.81	-	-	497.79	487.84	511.53	516.77	-	518.08	527.02	-	487.79
17	481.89	530.06	546.40	502.42	-	510.73	515.53	-	517.53	529.65	527.33	485.56
18	-	533.91	564.89	-	-	509.81	518.16	522.43	518.16	-	528.79	481.86
19	-	537.14	570.26	-	489.34	511.72	-	524.84	520.95	-	524.36	481.46
20	481.10	538.61	570.05	-	492.16	512.78	-	524.30	-	526.92	521.26	-
21	476.29	541.63	568.24	-	495.81	-	521.14	521.09	-	526.32	521.89	-
22	469.97	-	-	503.33	495.85	-	518.14	523.56	518.42	524.65	-	480.78
23	474.70	-	-	512.15	492.25	509.33	521.50	-	518.54	518.69	-	466.83
24	469.60	543.89	561.43	509.95	-	510.52	519.63	-	516.28	518.76	520.74	462.90
25	-	532.69	546.49	508.46	-	509.17	520.65	526.07	516.55	-	519.60	-
26	-	544.59	548.59	-	496.36	509.01	-	524.01	519.72	-	519.48	-
27	469.58	552.69	524.84	-	502.73	513.52	-	525.77	-	518.86	517.63	-
28	485.46	556.16	526.48	492.45	504.82	-	518.03	525.66	-	526.95	511.49	-
29	494.97	-	-	489.75	503.80	-	514.80	528.47	508.25	528.12	-	466.27
30	510.53	-	-	485.51	515.70	515.55	516.48	-	507.18	528.19	-	467.70
31	-	-	529.64	-	-	-	519.71	-	-	528.70	-	477.72
High	510.53	556.16	581.16	544.98	515.70	521.44	521.50	528.82	528.96	529.83	531.70	506.25
Low	459.90	493.51	524.84	485.51	470.89	509.01	509.88	517.97	507.18	507.14	511.49	462.90
Avg	473.29	527.05	558.63	512.59	490.55	513.30	517.01	524.36	520.77	523.34	524.99	484.44

Note: S&P/HKEx GEM Index is compiled by Standard & Poors

S&P/HKEx GEM Index, daily movement (2014)



Number of securities by type on GEM, 2010 – 2014

Type	Year				
	2010	2011	2012	2013	2014
Ordinary shares	169	170	179	192	204
Preference shares	0	0	0	0	0
Warrants	1	2	1	0	0
– Equity warrants	1	2	1	0	0
– Derivative warrants	0	0	0	0	0
Total	170	172	180	192	204

Number of newly listed securities on GEM, 2010-2014

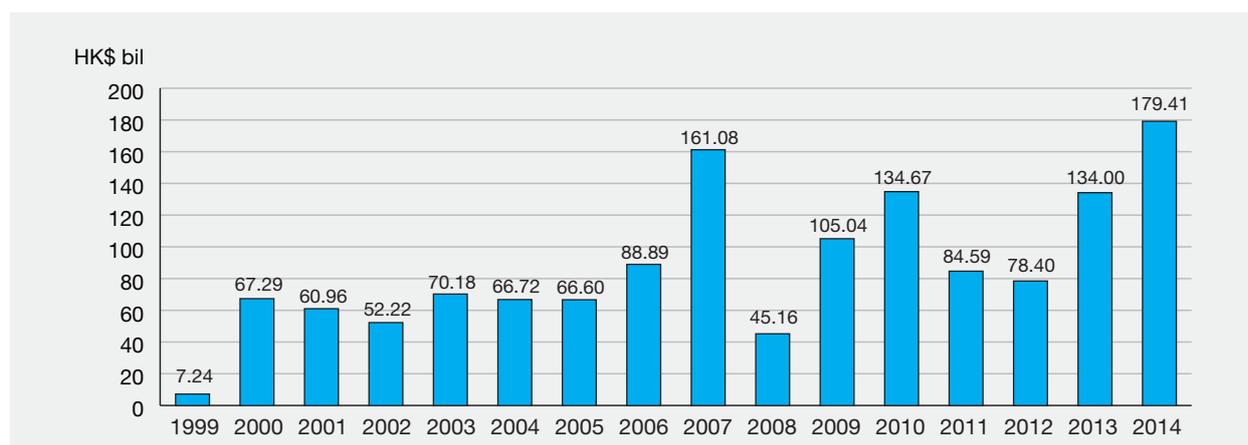
Type	Year				
	2010	2011	2012	2013	2014
Ordinary shares	7	13	12	23	19
Preference shares	0	0	0	0	0
Warrants	1	1	0	0	0
– Equity warrants	1	1	0	0	0
– Derivative warrants	0	0	0	0	0
Total	8	14	12	23	19

Number of delisted securities from GEM, 2010-2014

Type	Year				
	2010	2011	2012	2013	2014
Ordinary shares	12	12	3	10	7
– Withdrawal of listing	0	0	1	2	0
– Transfer of listing on Main Board*	12	12	2	8	7
Warrants – equity	1	0	1	1	0
Total	13	12	4	11	7

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Market capitalisation of GEM, 1999 – 2014



Market capitalisation of GEM by Hang Seng Industry Classification System, 2014

HK\$mil

Industry Classification *	2014											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,973.83	1,858.44	1,524.25	1,318.04	1,721.01	2,140.19	1,984.43	1,883.73	1,812.87	1,724.19	1,852.56	1,575.07
Materials	9,642.15	12,820.33	13,909.63	11,983.62	13,529.97	12,394.90	13,108.51	13,859.99	12,961.90	12,801.59	14,058.60	11,669.95
Industrials	6,525.17	7,283.88	7,124.95	6,773.35	6,822.98	5,575.51	5,793.91	5,956.92	6,894.68	7,164.85	8,039.28	8,299.91
Consumer Goods	23,873.17	27,976.84	25,956.64	25,193.46	25,897.49	25,003.32	25,676.19	27,123.49	29,664.47	33,759.98	35,231.96	34,343.86
Consumer Services	32,164.54	36,813.18	32,712.63	31,418.48	34,203.62	38,872.77	41,722.87	42,688.82	44,725.65	46,865.06	43,223.59	40,362.00
Telecommunications	588.02	621.09	648.51	623.14	1,131.50	1,120.57	2,029.69	3,369.21	3,547.12	3,788.71	4,584.45	4,187.89
Utilities	4,467.13	630.00	620.00	620.00	590.00	690.00	680.00	590.00	670.00	810.00	1,570.00	1,790.00
Financials	16,801.28	16,727.75	18,701.70	16,287.86	18,085.62	17,115.46	17,650.65	19,474.00	19,428.15	20,956.12	22,706.79	21,827.68
Properties & Construction	2,525.72	2,463.23	2,269.16	2,057.01	1,882.84	1,935.73	2,861.60	3,616.41	3,221.61	4,199.06	4,010.84	5,607.53
Information Technology	55,164.40	62,312.63	69,309.35	61,938.21	62,917.76	59,318.06	62,353.18	59,095.02	57,244.92	46,826.20	47,813.42	49,745.99
Conglomerates	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	153,725.43	169,507.38	172,776.82	158,213.16	166,782.79	164,166.52	173,861.02	177,657.59	180,171.37	178,895.75	183,091.48	179,409.87

Note: Month-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation trends on GEM by Hang Seng Industry Classification System, 2010 – 2014

HK\$mil

Industry Classification *	2010		2011		2012		2013		2014	
Energy	1,468.18	(1.09%)	3,645.71	(4.31%)	2,701.03	(3.45%)	1,781.94	(1.33%)	1,575.07	(0.88%)
Materials	24,258.08	(18.01%)	9,617.36	(11.37%)	7,347.09	(9.37%)	8,728.95	(6.51%)	11,669.95	(6.50%)
Industrials	7,173.86	(5.33%)	5,673.49	(6.71%)	3,089.99	(3.94%)	5,891.00	(4.40%)	8,299.91	(4.63%)
Consumer Goods	23,351.87	(17.34%)	10,599.90	(12.53%)	10,752.67	(13.72%)	22,656.91	(16.91%)	34,343.86	(19.14%)
Consumer Services	22,223.51	(16.50%)	11,044.50	(13.06%)	17,749.03	(22.64%)	31,846.17	(23.77%)	40,362.00	(22.50%)
Telecommunications	1,312.73	(0.97%)	170.59	(0.20%)	608.54	(0.78%)	578.58	(0.43%)	4,187.89	(2.33%)
Utilities	5,603.33	(4.16%)	4,039.92	(4.78%)	3,376.84	(4.31%)	3,436.59	(2.56%)	1,790.00	(1.00%)
Financials	5,074.92	(3.77%)	3,958.77	(4.68%)	7,053.35	(9.00%)	14,739.52	(11.00%)	21,827.68	(12.17%)
Properties & Construction	2,632.00	(1.95%)	3,454.73	(4.08%)	1,664.38	(2.12%)	2,460.84	(1.84%)	5,607.53	(3.13%)
Information Technology	40,338.30	(29.95%)	31,909.46	(37.72%)	24,056.07	(30.68%)	41,881.56	(31.25%)	49,745.99	(27.73%)
Conglomerates	1,237.31	(0.92%)	474.63	(0.56%)	-	(0.00%)	-	(0.00%)	-	(0.00%)
Equity total	134,674.08	(100.00%)	84,589.06	(100.00%)	78,398.98	(100.00%)	134,002.06	(100.00%)	179,409.87	(100.00%)

() % of equity market total

Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

50 leading companies in market capitalisation on GEM

End of 2014

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of market total
1	08138	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	830,000,000	10.780	8,947.40	4.99
2	08137	Honbridge Holdings Ltd.	6,644,571,606	1.080	7,176.14	4.00
3	08032	Viva China Holdings Ltd.	8,498,696,726	0.800	6,798.96	3.79
4	08008	Sunevision Holdings Ltd.	2,322,340,531	2.420	5,620.06	3.13
5	08207	Credit China Holdings Ltd.	3,221,156,000	1.740	5,604.81	3.12
6	08050	Yunbo Digital Synergy Group Ltd.	1,356,250,000	3.760	5,099.50	2.84
7	08237	Link Holdings Ltd.	2,800,000,000	1.780	4,984.00	2.78
8	08018	Finsoft Corporation	2,000,000,000	2.470	4,940.00	2.75
9	08279	AGTech Holdings Ltd.	4,440,383,864	0.900	3,996.35	2.23
10	08195	L & A International Holdings Ltd.	400,000,000	9.500	3,800.00	2.12
11	08002	IGG Inc	1,369,811,099	2.760	3,780.68	2.11
12	08267	Linekong Interactive Co., Ltd.	369,838,464	9.800	3,624.42	2.02
13	08083	China Innovationpay Group Ltd.	5,605,506,457	0.620	3,475.41	1.94
14	08201	PPS International (Holdings) Ltd.	10,000,000,000	0.335	3,350.00	1.87
15	08123	First China Financial Network Holdings Ltd.	6,498,958,120	0.500	3,249.48	1.81
16	08158	China Bio-Med Regeneration Technology Ltd.	11,912,880,000	0.270	3,216.48	1.79
17	08005	Yuxing InfoTech Investment Holdings Ltd.	1,792,116,800	1.680	3,010.76	1.68
18	08156	China Vanguard Group Ltd.	3,217,207,068	0.840	2,702.45	1.51
19	08315	King Force Security Holdings Ltd.	640,000,000	4.200	2,688.00	1.50
20	08311	Perfect Optronics Ltd.	1,320,000,000	1.990	2,626.80	1.46
21	08250	China Natural Investment Co. Ltd.	5,472,502,338	0.465	2,544.71	1.42
22	08058	Shandong Luoxin Pharmaceutical Group Stock Co., Ltd. – H Shs	164,560,000	15.000	2,468.40	1.38
23	08056	Differ Group Holding Co. Ltd.	1,000,000,000	2.450	2,450.00	1.37
24	08198	MelcoLot Ltd.	3,145,545,326	0.690	2,170.43	1.21
25	08147	Millennium Pacific Group Holdings Ltd.	1,045,560,000	1.820	1,902.92	1.06
26	08055	China E-Learning Group Ltd.	3,214,271,196	0.580	1,864.28	1.04
27	08006	Sino Splendid Holdings Ltd.	643,041,846	2.890	1,858.39	1.04
28	08077	KVB Kunlun Financial Group Ltd.	2,000,000,000	0.900	1,800.00	1.00
29	08261	Haitian Hydropower International Ltd.	1,000,000,000	1.790	1,790.00	1.00
30	08170	KSL Holdings Ltd.	411,200,000	4.100	1,685.92	0.94
31	08007	DIGITALHONGKONG.COM	3,600,000,000	0.468	1,684.80	0.94
32	08155	South China Land Ltd.	11,178,498,344	0.135	1,509.10	0.84
33	08019	Hao Wen Holdings Ltd.	4,259,001,686	0.340	1,448.06	0.81
34	08016	Changhong Jiahua Holdings Ltd.	1,454,652,000	0.970	1,411.01	0.79
35	08090	China Assurance Finance Group Ltd.	1,608,000,000	0.860	1,382.88	0.77
36	08309	Runway Global Holdings Co. Ltd.	600,000,000	2.290	1,374.00	0.77
37	08059	Glory Flame Holdings Ltd.	620,000,000	2.100	1,302.00	0.73
38	08276	Huazhang Technology Holding Ltd.	272,000,000	4.400	1,196.80	0.67
39	08325	China Smartpay Group Holdings Ltd.	1,036,800,000	1.140	1,181.95	0.66
40	08088	Crosby Capital Ltd.	473,173,057	2.480	1,173.47	0.65
41	08307	Medicskin Holdings Ltd.	400,000,000	2.930	1,172.00	0.65
42	08356	CNC Holdings Ltd.	3,693,309,131	0.315	1,163.39	0.65
43	08099	China Wood Optimization (Holding) Ltd.	1,000,000,000	1.130	1,130.00	0.63
44	08162	Loco Hong Kong Holdings Ltd.	400,000,000	2.810	1,124.00	0.63
45	08337	Directel Holdings Ltd.	1,037,500,000	1.050	1,089.38	0.61
46	08020	Chanceton Financial Group Ltd.	2,220,000,000	0.480	1,065.60	0.59
47	08128	China Ground Source Energy Industry Group Ltd.	2,904,327,117	0.360	1,045.56	0.58
48	08117	China Primary Energy Holdings Ltd.	820,897,672	1.120	919.41	0.51
49	08132	China Oil Gangran Energy Group Holdings Ltd.	8,717,400,000	0.105	915.33	0.51
50	08172	China Star Cultural Media Group Ltd.	919,869,909	0.970	892.27	0.50
Total					133,407.74	74.36
Equity total					179,409.87	100.00

Trading value and volume for GEM, 2010 – 2014

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals	
		Total	Average daily	Total	Average daily	Total	Average daily
2010	249	133,665.60	536.81	446,921.79	1,794.87	3,367,683	13,525
2011	246	62,957.51	255.92	285,503.28	1,160.58	1,721,719	6,999
2012	247	33,541.03	135.79	154,803.15	626.73	1,107,126	4,482
2013	244	78,837.80	323.11	229,284.85	939.69	2,241,521	9,187
2014	247	165,458.24	669.87	357,646.58	1,447.96	4,346,364	17,597
2014 Jan	21	25,071.96	1,193.90	39,883.87	1,899.23	646,249	30,774
2014 Feb	19	17,259.17	908.38	25,076.81	1,319.83	420,678	22,141
2014 Mar	21	21,510.17	1,024.29	43,416.16	2,067.44	532,243	25,345
2014 Apr	20	9,888.22	494.41	22,122.46	1,106.12	288,218	14,411
2014 May	20	9,969.95	498.50	31,056.03	1,552.80	300,027	15,001
2014 Jun	20	8,373.79	418.69	27,384.28	1,369.21	256,320	12,816
2014 Jul	22	9,926.51	451.20	28,621.05	1,300.96	293,377	13,335
2014 Aug	21	13,880.12	660.96	26,408.52	1,257.55	346,054	16,479
2014 Sep	21	15,354.80	731.18	36,674.45	1,746.40	374,550	17,836
2014 Oct	21	12,786.58	608.88	25,609.18	1,219.48	322,474	15,356
2014 Nov	20	11,411.17	570.56	27,075.18	1,353.76	305,868	15,293
2014 Dec	21	10,025.81	477.42	24,318.58	1,158.03	260,306	12,396

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value for GEM by Hang Seng Industry Classification System, 2014

Industry Classification *	No. of issue #	Equity turnover		Annual turnover velocity (%)
		HK\$mil	% of total	
Energy	5	1,584.25	0.96	100.58
Materials	13	5,052.18	3.05	43.29
Industrials	17	4,914.04	2.97	59.21
Consumer Goods	42	26,102.18	15.78	76.00
Consumer Services	40	30,830.08	18.63	76.38
Telecommunications	5	966.40	0.58	23.08
Utilities	1	355.90	0.22	19.88
Financials	19	20,443.87	12.36	93.66
Properties & Construction	7	2,049.47	1.24	36.55
Information Technology	55	73,159.87	44.22	147.07
Conglomerates	0	0.00	0.00	0.00
Total	204	165,458.24	100.00	92.22

Notes:

1. Equity turnover values have been adjusted for late reported and rejected sales.

2. Figures may not add up to total due to rounding.

Year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

20 most active GEM stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK\$mil)	% of market total
1	08002	IGG	19,238.46	11.63
2	08083	INNOVATIONPAY	14,486.20	8.76
3	08292	HC INTL	8,419.87	5.09
4	08207	CREDIT CHINA	5,152.95	3.11
5	08047	SKY FOREVER	4,505.87	2.72
6	08156	CHINA VANGUARD	4,071.60	2.46
7	08072	ROMA GROUP	3,960.25	2.39
8	08279	AGTECH HOLDINGS	3,432.21	2.07
9	08255	CHINA BINARY	2,940.47	1.78
10	08201	PPS INT'L	2,889.40	1.75
11	08138	TONGRENTANGCM	2,716.52	1.64
12	08180	NEW RAY MEDIC	2,617.51	1.58
13	08192	GLOBAL ENERGY	2,593.10	1.57
14	08325	CHINA SMARTPAY	2,574.14	1.56
15	08018	FINSOFT CORP	2,483.85	1.50
16	08050	YUNBO DIGITAL	2,405.27	1.45
17	08311	PERFECT OPT	2,252.88	1.36
18	08100	GET HOLDINGS	2,210.12	1.34
19	08123	FIRST CHINA NET	2,122.24	1.28
20	08158	CHINA BIO-MED	1,989.54	1.20
		Total	93,062.45	56.25
		Equity total	165,458.24	100.00

20 most active GEM stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of market total
1	08072	ROMA GROUP	42,260.76	11.82
2	08100	GET HOLDINGS	33,217.64	9.29
3	08083	INNOVATIONPAY	21,389.70	5.98
4	08078	CHINA3D DIGIT	18,881.46	5.28
5	08132	CHINAOILGANGRAN	10,506.52	2.94
6	08081	CMG CUL INV	9,366.94	2.62
7	08192	GLOBAL ENERGY	7,419.15	2.07
8	08270	CHINA CBM	6,911.92	1.93
9	08158	CHINA BIO-MED	6,476.91	1.81
10	08163	MERDEKAMOBILE	6,259.42	1.75
11	08021	WLS HOLDINGS	5,838.69	1.63
12	08212	CELEBRATE INT	5,810.92	1.62
13	08071	CH NETCOMTECH	5,433.99	1.52
14	08266	GOLD TAT GP	5,377.48	1.50
15	08019	HAO WEN HLDGS	5,277.77	1.48
16	08171	CHINA TRENDS	5,219.15	1.46
17	08123	FIRST CHINA NET	4,898.68	1.37
18	08120	ORI UNICORN	4,703.66	1.32
19	08250	CHI NATURAL INV	4,249.40	1.19
20	08009	CHI ENGY HOLD	4,038.65	1.13
Total			213,538.80	59.71
Equity total			357,646.58	100.00

20 GEM Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK\$)		% up
			2013	2014	
1	08201	PPS INT'L	0.050 A	0.335	570.00
2	08055	CHI E-LEARNING	0.091	0.580	537.36
3	08309	RUNWAY GLOBAL	0.360	2.290	536.11
4	08051	TELEEYE HOLDING	2.710	16.000	490.41
5	08337	DIRECTEL	0.181	1.050	480.11
6	08117	CHI P ENERGY	0.227 A	1.120	393.39
7	08018	FINSOFT CORP	0.508 A	2.470	386.22
8	08050	YUNBO DIGITAL	0.900	3.760	317.78
9	08003	GREAT WORLD	0.110	0.360	227.27
10	08193	GC PRO SER	0.227 A	0.720	217.18
11	08261	HAITIAN HYDRO	0.590	1.790	203.39
12	08172	CHINASTARCMG	0.320	0.970	203.13
13	08089	CHINESE STRAT	0.220	0.640	190.91
14	08056	DIFFER GROUP	0.860	2.450	184.88
15	08250	CHI NATURAL INV	0.172	0.465	170.35
16	08060	GLOBAL LINK	0.095	0.248	161.05
17	08218	ECHO INT HOLD	0.950	2.480	161.05
18	08207	CREDIT CHINA	0.670	1.740	159.70
19	08326	JC GROUP HLDG	0.610	1.500	145.90
20	08295	ASIAN CAPITAL H	0.101	0.230	127.72

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK\$)		% down
			2013	2014	
1	08212	CELEBRATE INT	1.656 A	0.074	95.53
2	08072	ROMA GROUP	0.596 A	0.077	87.08
3	08176	EDS WELLNESS	11.733 A	2.350	79.97
4	08063	WELL WAY GP	1.127 A	0.248	77.99
5	08180	NEW RAY MEDIC	2.110	0.490	76.78
6	08356	CNC HOLDINGS	1.260	0.315	75.00
7	08150	SEAMLESS GREEN	1.110	0.280	74.77
8	08163	MERDEKAMOBILE	0.640 A	0.175	72.66
9	08021	WLS HOLDINGS	0.392 A	0.111	71.68
10	08100	GET HOLDINGS	0.288 A	0.089	69.10
11	08071	CH NETCOMTECH	0.375	0.117	68.80
12	08107	U BANQUET GP	2.120	0.690	67.45
13	08255	CHINA BINARY	2.290	0.790	65.50
14	08228	NATIONAL ARTS	0.330 A	0.127	61.52
15	08025	ACR HOLDINGS	0.620	0.245	60.48
16	08009	CHI ENGY HOLD	0.320 A	0.127	60.31
17	08202	INNO-TECH HOLD	0.278 A	0.111	60.07
18	08101	JIA MENG HLDG	0.453 A	0.185	59.16
19	08270	CHINA CBM	0.930 A	0.380	59.14
20	08198	MELCOLOT	1.531 A	0.690	54.93

(A) Adjusted

Market capitalisation and trading value of GEM listed companies by incorporation place

End of 2014

Country	No. of listed companies	Market capitalisation (HK\$mil)	% of equity total	Turnover (HK\$mil)	% of equity total
Bermuda	42	22,473.71	12.53	41,546.85	25.11
Cayman Islands	132	139,628.08	77.83	114,352.40	69.11
Hong Kong	7	11,643.55	6.49	6,104.56	3.69
PRC	23	5,664.53	3.16	3,454.43	2.09
Total	204	179,409.87	100.00	165,458.24	100.00

Average dividend yields of GEM listed stocks by Hang Seng Industry Classification System*, 2010 – 2014

(%)

Year/Month	All listed stocks	Energy	Materials	Industrials	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2010	0.95	0.00	0.00	0.03	0.51	0.88	0.00	0.00	0.00	0.29	1.03	43.96
2011	0.52	0.00	0.10	0.07	0.26	0.16	3.04	0.00	1.33	0.50	0.96	0.00
2012	0.88	0.00	0.07	9.14	0.53	0.14	0.00	0.00	0.87	0.00	1.06	0.00
2013	0.52	0.00	0.00	0.84	0.49	0.06	0.00	0.00	0.79	0.49	0.92	0.00
2014	0.65	0.00	0.00	0.85	0.77	0.57	0.19	0.00	0.46	0.00	1.00	0.00
2014												
Jan	0.44	0.00	0.00	0.83	0.47	0.05	0.00	0.00	0.69	0.48	0.65	0.00
Feb	0.40	0.00	0.00	0.74	0.40	0.05	0.00	0.00	0.69	0.49	0.58	0.00
Mar	0.50	0.00	0.00	0.67	0.77	0.06	0.00	0.00	0.66	0.24	0.67	0.00
Apr	0.54	0.00	0.00	0.71	0.79	0.06	0.00	0.00	0.75	0.26	0.75	0.00
May	0.61	0.00	0.00	0.65	0.77	0.62	0.00	0.00	0.52	0.00	0.73	0.00
Jun	0.66	0.00	0.00	0.80	0.90	0.60	0.00	0.00	0.53	0.00	0.83	0.00
Jul	0.63	0.00	0.00	0.77	0.94	0.55	0.00	0.00	0.52	0.00	0.79	0.00
Aug	0.61	0.00	0.00	0.68	0.89	0.54	0.00	0.00	0.47	0.00	0.81	0.00
Sep	0.62	0.00	0.00	0.66	0.82	0.51	0.00	0.00	0.47	0.00	0.90	0.00
Oct	0.63	0.00	0.00	0.63	0.72	0.49	0.00	0.00	0.44	0.00	1.10	0.00
Nov	0.63	0.00	0.00	0.87	0.75	0.53	0.17	0.00	0.40	0.00	1.04	0.00
Dec	0.65	0.00	0.00	0.85	0.77	0.57	0.19	0.00	0.46	0.00	1.00	0.00

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

Average price earnings ratios of GEM listed stocks by Hang Seng Industry Classification System*, 2010 – 2014

(Times)

Year/Month	All GEM stocks	Energy	Materials	Industrials	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2010	31.10	2.32	182.62	264.44	20.63	37.48	0.00	30.06	1,204.91	2,060.55	27.96	6.95
2011	22.16	0.00	68.12	29.87	22.33	33.28	7.24	62.76	23.60	4.49	19.55	21.87
2012	18.38	4.87	85.60	19.17	16.07	24.64	26.42	59.31	25.90	57.92	14.20	0.00
2013	49.25	42.50	586.80	23.10	47.34	70.84	26.18	54.18	38.74	23.21	46.09	0.00
2014	11.59	0.00	1.15	29.13	45.72	114.17	35.58	235.53	40.46	19.10	16.63	0.00
2014												
Jan	57.22	47.08	648.19	22.82	49.74	71.46	26.61	70.40	44.16	23.83	65.55	0.00
Feb	63.80	44.33	861.84	25.48	55.16	81.75	28.11	273.91	43.96	23.24	73.67	0.00
Mar	11.46	36.36	1.47	26.43	31.40	99.81	64.44	81.58	37.44	6.36	21.37	0.00
Apr	10.52	0.00	1.26	25.12	30.43	95.79	61.92	81.58	32.60	5.76	19.06	0.00
May	11.16	0.00	1.43	25.08	31.18	104.20	112.43	77.63	39.34	6.41	19.36	0.00
Jun	10.86	0.00	1.31	21.03	30.53	122.79	9.52	90.79	36.31	6.59	17.82	0.00
Jul	11.50	0.00	1.38	21.65	31.50	131.80	17.24	89.47	36.88	9.75	18.73	0.00
Aug	11.87	0.00	1.46	21.51	35.62	134.85	28.62	77.63	40.44	12.32	18.34	0.00
Sep	11.52	0.00	1.28	24.37	39.54	126.71	30.14	88.16	39.83	10.97	17.84	0.00
Oct	11.60	0.00	1.26	25.33	45.47	132.58	32.19	106.58	42.67	14.30	15.66	0.00
Nov	11.85	0.00	1.39	28.42	46.97	122.31	38.95	206.58	44.03	13.66	15.98	0.00
Dec	11.59	0.00	1.15	29.13	45.72	114.17	35.58	235.53	40.46	19.10	16.63	0.00

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

Statistics on China enterprises (H shares) for GEM, 2010 – 2014

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2010	35	8,512.17	1.91	16,933.86	12.67	20,154.24	14.97
2011	29	2,498.11	0.87	4,072.46	6.47	4,611.67	5.45
2012	28	951.14	0.61	775.12	2.31	5,074.68	6.47
2013	24	2,463.01	1.07	4,195.13	5.32	5,953.03	4.44
2014	23	3,063.46	0.86	3,454.43	2.09	5,664.53	3.16
2014 Jan	23	321.18	0.81	337.51	1.35	4,414.16	2.87
2014 Feb	23	316.52	1.26	299.01	1.73	5,028.14	2.97
2014 Mar	23	892.91	2.06	855.03	3.98	4,954.65	2.87
2014 Apr	23	200.02	0.90	235.11	2.38	4,840.21	3.06
2014 May	23	123.28	0.40	156.37	1.57	4,760.86	2.85
2014 Jun	23	91.60	0.33	126.85	1.51	4,804.86	2.93
2014 Jul	23	158.95	0.56	151.13	1.52	4,820.46	2.77
2014 Aug	23	209.45	0.79	218.01	1.57	5,346.28	3.01
2014 Sep	23	180.49	0.49	234.10	1.52	5,643.51	3.13
2014 Oct	23	183.12	0.72	354.34	2.77	6,124.57	3.42
2014 Nov	23	258.02	0.95	299.42	2.62	6,118.62	3.34
2014 Dec	23	127.90	0.53	187.52	1.87	5,664.53	3.16

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on Red chips stocks for GEM, 2010 – 2014

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2010	5	3,362.76	0.75	2,320.16	1.74	5,285.11	3.92
2011	5	1,168.32	0.41	629.06	1.00	3,432.64	4.06
2012	5	3,030.80	1.96	447.80	1.34	3,800.19	4.85
2013	6	3,003.77	1.31	7,158.66	9.08	13,083.47	9.76
2014	5	3,737.24	1.04	4,423.64	2.67	13,053.20	7.28
2014 Jan	7	949.38	2.38	868.25	3.46	16,321.22	10.62
2014 Feb	6	321.99	1.28	530.56	3.07	14,521.29	8.57
2014 Mar	6	213.96	0.49	454.40	2.11	13,212.73	7.65
2014 Apr	6	94.22	0.43	150.42	1.52	12,905.90	8.16
2014 May	6	258.44	0.83	261.97	2.63	12,802.04	7.68
2014 Jun	5	223.30	0.82	162.47	1.94	12,647.38	7.70
2014 Jul	5	270.76	0.95	218.62	2.20	12,851.41	7.39
2014 Aug	5	209.53	0.79	472.38	3.40	13,330.11	7.50
2014 Sep	5	514.39	1.40	379.06	2.47	13,515.18	7.50
2014 Oct	5	244.47	0.95	299.02	2.34	14,045.10	7.85
2014 Nov	5	301.68	1.11	292.28	2.56	13,681.93	7.47
2014 Dec	5	135.13	0.56	334.21	3.33	13,053.20	7.28

Cash dividend payout of GEM listed companies by Hang Seng Industry Classification System*, 2010 – 2014

Year/Month	No. of companies declared	No. of companies paid	Energy (HK\$mil)	Materials (HK\$mil)	Industrials (HK\$mil)	Consumer		Utilities (HK\$mil)	Financials (HK\$mil)	Properties & Construction (HK\$mil)	Information Technology (HK\$mil)	Conglomerates (HK\$mil)	Total dividend payout (HK\$mil)
						Goods (HK\$mil)	Services Telecommunications (HK\$mil)						
2010	178	35	-	-	22.26	204.54	200.79	-	-	7.69	408.67	543.87	1,387.81
2011	175	27	-	9.44	3.90	36.01	207.54	5.19	51.04	13.24	306.24	-	632.59
2012	176	22	-	5.40	38.18	56.87	19.10	-	68.82	-	248.72	-	437.08
2013	190	31	-	6.44	49.41	109.11	51.64	-	107.25	12.00	368.33	-	704.17
2014	202	31	-	-	66.25	260.36	219.07	8.00	86.84	5.40	525.00	-	1,170.92
2014 Jan	6	0	-	-	-	-	-	-	-	-	-	-	-
2014 Feb	62	0	-	-	-	-	-	-	-	-	-	-	-
2014 Mar	121	18	-	-	2.48	-	-	-	-	-	-	-	2.48
2014 Apr	9	0	-	-	-	-	-	-	-	-	-	-	-
2014 May	131	1	-	-	-	80.80	4.20	-	12.88	5.40	41.56	-	144.84
2014 Jun	49	7	-	-	14.51	20.00	192.91	-	38.46	-	97.21	-	363.09
2014 Jul	7	1	-	-	12.22	70.15	-	-	-	-	30.00	-	112.37
2014 Aug	169	2	-	-	2.45	72.15	21.96	-	22.00	-	1.86	-	120.42
2014 Sep	22	2	-	-	-	-	-	-	-	-	76.24	-	76.24
2014 Oct	9	0	-	-	-	-	-	-	-	-	-	-	-
2014 Nov	184	7	-	-	13.60	-	-	8.00	13.50	-	278.14	-	313.24
2014 Dec	4	0	-	-	20.99	17.26	-	-	-	-	-	-	38.25

Notes:

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

Newly listed companies on GEM

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
08099	China Wood Optimization (Holding) Ltd.	Offer for placing Materials – Basic Materials	250,000,000	1.000	250.00	2014/01/06 n.a.	Guotai Junan Capital Ltd.
08191	Hong Wei (Asia) Holdings Co. Ltd.	Offer for placing Materials – Basic Materials	177,780,000	0.390	69.33	2014/01/08 n.a.	Baron Global Financial Services Ltd.
08209	Major Holdings Ltd.	Offer for placing Consumer Goods – Food & Beverages	30,000,000	1.100	33.00	2014/01/10 n.a.	China Everbright Capital Ltd.
08001	Orient Securities International Holdings Ltd.	Offer for placing Financials – Other Financials	75,000,000	0.600	45.00	2014/01/15 n.a.	Altus Capital Ltd.
08311	Perfect Optronics Ltd.	Offer for placing Information Technology – IT Hardware	330,000,000	0.300	99.00	2014/02/07 n.a.	Grand Vinco Capital Ltd.
08336	Telecom Digital Holdings Ltd.	Offer for placing Telecommunications – Telecommunications	100,000,000	1.000	100.00	2014/05/30 n.a.	Guotai Junan Capital Ltd.
08237	Link Holdings Ltd.	Offer for placing Consumer Services – Hotels, Casinos & Leisure Facilities	70,000,000	1.750	122.50	2014/07/07 n.a.	Guotai Junan Capital Ltd.
08216	Bamboos Health Care Holdings Ltd.	Offer for placing Consumer Goods – Healthcare	100,000,000	0.500	50.00	2014/07/08 n.a.	Halcyon Capital Ltd.
08125	Kate China Holdings Ltd.	Offer for placing Properties & Construction – Construction	75,000,000	0.600	45.00	2014/07/18 n.a.	Altus Capital Ltd.
08147	Millennium Pacific Group Holdings Ltd.	Offer for placing Telecommunications – Telecommunications	34,500,000	1.350	46.58	2014/07/18 n.a.	Ample Capital Ltd.
08162	Loco Hong Kong Holdings Ltd.	Offer for placing Materials – Gold & Precious Metals	120,000,000	0.360	43.20	2014/08/05 n.a.	Messis Capital Ltd.
08059	Glory Flame Holdings Ltd.	Offer for placing Offer for sale Properties & Construction – Construction	108,500,000 46,500,000	0.400 0.400	43.40 18.60	2014/08/15 n.a.	Messis Capital Ltd.
08315	King Force Security Holdings Ltd.	Offer for placing Offer for sale Consumer Services – Support Services	112,000,000 48,000,000	0.385 0.385	43.12 18.48	2014/08/20 n.a.	TC Capital Asia Ltd.
08222	E Lighting Group Holdings Ltd.	Offer for placing Consumer Goods – Household Goods & Electronics	100,000,000	0.500	50.00	2014/09/29 n.a.	Ample Capital Ltd.
08195	L & A International Holdings Ltd.	Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	100,000,000	0.600	60.00	2014/10/10 n.a.	TC Capital Asia Ltd.
08363	SDM Group Holdings Ltd.	Offer for placing Offer for sale Consumer Services – Support Services	29,250,000 20,750,000	1.500 1.500	43.88 31.13	2014/10/14 n.a.	CMB International Capital Ltd.
08170	KSL Holdings Ltd.	Offer for placing Offer for sale Properties & Construction – Construction	51,400,000 51,400,000	0.600 0.600	30.84 30.84	2014/12/05 n.a.	Messis Capital Ltd.
08307	Medicskin Holdings Ltd.	Offer for placing Offer for sale Consumer Goods – Healthcare	66,668,000 33,332,000	0.600 0.600	40.00 20.00	2014/12/18 n.a.	Shenyin Wanguo Capital (H.K.) Ltd.
08267	Linekong Interactive Co., Ltd.	Offer for subscription Offer for sales Offer for placing Information Technology – Software & Services	1,134,500 10,375,000 72,833,500	9.800 9.800 9.800	11.12 101.68 713.77	2014/12/30 0.1534	Citigroup Global Markets Asia Ltd. Macquarie Capital Securities Ltd.
				Total	2,160.45		

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Withdrawal of listed companies from GEM

Code	Company	Last trading date	Delisted date
08102 [#]	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	2014/01/07	2014/01/08*
08035 [#]	Binhai Investment Co. Ltd.	2014/02/10	2014/02/11*
08291 [#]	South West Eco Development Ltd.	2014/05/23	2014/05/26*
08298 [#]	AKM Industrial Co. Ltd.	2014/06/17	2014/06/18*
08232 [#]	China U-Ton Holdings Ltd.	2014/07/31	2014/08/01*
08321 [#]	China Automotive Interior Decoration Holdings Ltd.	2014/08/22	2014/08/25*
08292 [#]	HC International, Inc.	2014/10/09	2014/10/10*

[#] Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

* Effective date of the transfer of listing from GEM to Main Board

Company name changes – GEM

Code	Former name	New name	Effective date	Adoption date
08325	Oriental City Group Holdings Ltd.	China Smartpay Group Holdings Ltd.	2014/02/19	2014/04/02
08132	Fairson Holdings Ltd.	China Oil Gangran Energy Group Holdings Ltd.	2014/04/17	2014/05/05
08163	Merdeka Resources Holdings Ltd.	Merdeka Mobile Group Ltd.	2014/05/08	2014/05/08
08037	Longlife Group Holdings Ltd.	Rui Kang Pharmaceutical Group Investments Ltd.	2014/03/28	2014/05/09
08081	Computech Holdings Ltd.	China Mobile Games and Cultural Investment Ltd.	2014/05/27	2014/06/19
08270	China Leason CBM & Shale Gas Group Co. Ltd.	China CBM Group Co. Ltd.	2014/05/12	2014/06/25
08172	China Media and Films Holdings Ltd.	China Star Cultural Media Group Ltd.	2014/06/20	2014/08/06
08058	Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	Shandong Luoxin Pharmaceutical Group Stock Co., Ltd. – H Shs	2014/08/13	2014/08/14
08047	Rising Power Group Holdings Ltd.	Sky Forever Supply Chain Management Group Ltd.	2014/07/22	2014/08/21
08039	Pegasus Entertainment Holdings Ltd. [#]	Pegasus Entertainment Holdings Ltd.	2014/07/08	2014/08/27
08108	FAVA International Holdings Ltd.	Grand Peace Group Holdings Ltd.	2014/09/02	2014/10/09
08239	Ming Kei Holdings Ltd.	Capital Finance Holdings Ltd.	2014/10/10	2014/11/11
08100	M Dream Inworld Ltd.	GET Holdings Ltd.	2014/10/21	2014/11/24
08022	TLT Lottotainment Group Ltd.	Evershine Group Holdings Ltd.	2014/11/26	2014/12/09
08117	China Primary Resources Holdings Ltd.	China Primary Energy Holdings Ltd.	2014/11/18	2014/12/18

[#] Change of Chinese name only

Reorganisations/demergers on GEM

Code	Company	Particulars	Proposed date Completed/ EGM date
Nil			

Privatisations by major shareholder on GEM

Code	Company	Particulars	Last trading date	Delisted date
Nil				

Change of domicile of listed companies on GEM

Code	Former company	New company	Place of incorporation	Effective date
08100	GET Holdings Ltd.	GET Holdings Ltd.	Bermuda	2014/01/09
08120	Oriental Unicorn Agricultural Group Ltd.	Oriental Unicorn Agricultural Group Ltd.	Bermuda	2014/05/08
08176	EDS Wellness Holdings Ltd.	EDS Wellness Holdings Ltd.	Bermuda	2014/04/22
08270	China CBM Group Co. Ltd.	China CBM Group Co. Ltd.	Bermuda	2014/04/23
08021	WLS Holdings Ltd.	WLS Holdings Ltd.	Bermuda	2014/09/30

Companies under suspension on GEM as at the end of 2014

Code		Last closing price		Date of suspension
		(HK\$)	(Date)	
08186	China Asean Resources Ltd.	0.077	(2013/03/28)	2013/04/02
08272	Chinese Food and Beverage Group Ltd.	0.510	(2014/10/10)	2014/10/13
08299	Grand T G Gold Holdings Ltd.	0.072	(2010/11/10)	2010/11/11
08017	Long Success International (Holdings) Ltd.	0.405	(2013/12/03)	2013/12/03
08236	Powerleader Science & Technology Group Ltd. – H Shares	3.880	(2014/12/02)	2014/12/03
08096	Ruifeng Petroleum Chemical Holdings Ltd.	0.110	(2013/03/28)	2013/04/02
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. – H Shares	0.040	(2012/03/29)	2012/03/30
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. – H Shares	0.210	(2014/03/31)	2014/04/01
08010	Sing Pao Media Enterprises Ltd.	0.015	(2005/04/28)	2005/04/28
08103	Tai Shing International (Holdings) Ltd.	0.124	(2013/06/28)	2013/07/02

Takeovers and mergers for GEM

Code	Company	Proposed date Offer closing date
08260	Zebra Strategic Holdings Ltd. Mandatory unconditional cash offer was made by Upmost Corporation Ltd. at a price of HK\$0.42 for each share	2014/08/20 2014/09/10
08265	Powerwell Pacific Holdings Ltd. Mandatory unconditional cash offer was made by King Full Inc Ltd. at a price of HK\$1.40 for each share	2014/09/01 2014/09/22
08007	DIGITALHONGKONG.COM Mandatory unconditional cash offer was made by Global Strategic (Holding) Group Ltd. at a price of HK\$1.60 for each share	2014/09/25 2014/10/16

Share subdivisions and share consolidations on GEM

Code	Stock	Particulars	New par value (HK\$)	Effective date
08078	China 3D Digital Entertainment Ltd.	Consolidation 10 into 1	0.0050 *	2014/01/07
08075	Media Asia Group Holdings Ltd.	Consolidation 20 into 1	0.0100 *	2014/01/09
08120	Oriental Unicorn Agricultural Group Ltd.	Consolidation 4 into 1	0.1600	2014/01/14
08029	Sun International Resources Ltd.	Consolidation 2 into 1	0.0800	2014/02/14
08072	Roma Group Ltd.	Split 1 into 10	0.0010	2014/03/06
08132	China Oil Gangran Energy Group Holdings Ltd. (Formerly: Fairson Holdings Ltd.)	Split 1 into 10	0.0001	2014/03/25
08041	Luxey International (Holdings) Ltd.	Consolidation 5 into 1	0.2500	2014/04/24
08018	Finsoft Corporation	Split 1 into 10	0.0010	2014/05/02
08270	China CBM Group Co. Ltd. (Formerly: China Leason CBM & Shale Gas Group Co. Ltd.)	Consolidation 10 into 1	0.0100 *	2014/05/12
08176	EDS Wellness Holdings Ltd.	Consolidation 100 into 1	0.1000 *	2014/05/13
08116	China Fortune Investments (Holding) Ltd.	Consolidation 5 into 1	0.0050	2014/06/03
08101	Jia Meng Holdings Ltd.	Split 1 into 4	0.0250	2014/06/03
08108	Grand Peace Group Holdings Ltd. (Formerly: FAVA International Holdings Ltd.)	Consolidation 5 into 1	0.1000	2014/06/10
08228	National Arts Entertainment and Culture Group Ltd.	Consolidation 10 into 1	0.1000	2014/07/07
08100	GET Holdings Ltd. (Formerly: M Dream Inworld Ltd.)	Consolidation 4 into 1	0.0100 *	2014/07/18
08212	Celebrate International Holdings Ltd.	Consolidation 10 into 1	0.0010	2014/08/12
08021	WLS Holdings Ltd.	Consolidation 4 into 1	0.0400	2014/08/21
08082	Sage International Group Ltd.	Consolidation 10 into 1	0.0250	2014/09/01
08078	China 3D Digital Entertainment Ltd.	Consolidation 5 into 1	0.0250	2014/09/02
08163	Merdeka Mobile Group Ltd. (Formerly: Merdeka Resources Holdings Ltd.)	Consolidation 8 into 1	0.0800	2014/09/29
08119	Thiz Technology Group Ltd.	Consolidation 10 into 1	1.0000	2014/09/29
08237	Link Holdings Ltd.	Split 1 into 10	0.0010	2014/10/16
08202	Inno-Tech Holdings Ltd.	Consolidation 10 into 1	0.1000	2014/10/30
08201	PPS International (Holdings) Ltd.	Split 1 into 10	0.0001	2014/11/17
08072	Roma Group Ltd.	Consolidation 16 into 1	0.0160	2014/11/25
08156	China Vanguard Group Ltd.	Split 1 into 4	0.0125	2014/12/17
08215	First Credit Finance Group Ltd.	Consolidation 10 into 1	0.1000	2014/12/29

* New par value after capital reorganisation

Bonus issues/bonus warrants on GEM

Code	Stock	Particulars	Ex-date
08006	Sino Splendid Holdings Ltd.	5 for 1	2014/01/06
08072	Roma Group Ltd.	1 for 1	2014/05/09
08168	UKF (Holdings) Ltd.	1 for 5	2014/07/23
08078	China 3D Digital Entertainment Ltd.	10 for 1 (after consolidation 5 into 1)	2014/09/03

Rights issues/open offers on GEM

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
08131	abc Multiactive Ltd.	Rts 1 for 2 @\$0.10	8.03	2013/12/23 2014/01/22
08270	China CBM Group Co. Ltd. (Formerly: China Leason CBM & Shale Gas Group Co. Ltd.)	Open offer 1 for 2 @\$0.04	126.83	2014/01/08 2014/01/29
08029	Sun International Resources Ltd.	Open offer 1 for 2 @\$0.10	46.38	2014/01/15 2014/02/06
08079	Unlimited Creativity Holdings Ltd.	Open offer 4 for 1 @\$0.10	50.34	2014/01/15 2014/02/07
08178	China Information Technology Development Ltd.	Open offer 2 for 1 @\$0.11	197.67	2014/01/29 2014/02/27
08351	Larry Jewelry International Co. Ltd.	Open offer 7 for 10 @\$0.12	125.70	2014/02/12 2014/03/05
08081	China Mobile Games and Cultural Investment Ltd. (Formerly: Computech Holdings Ltd.)	Rts 1 for 2 @\$0.128	71.73	2014/04/16 2014/05/12
08120	Oriental Unicorn Agricultural Group Ltd.	Rts 13 for 2 @\$0.16	180.39	2014/04/28 2014/05/21
08198	MelcoLot Ltd.	Open offer 3 for 10 @\$0.90	652.70	2014/04/28 2014/05/22
08117	China Primary Energy Holdings Ltd. (Formerly: China Primary Resources Holdings Ltd.)	Rts 1 for 2 @\$0.21	50.70	2014/04/30 2014/05/26
08063	Well Way Group Ltd.	Open offer 1 for 2 @\$0.70	52.47	2014/04/15 2014/06/11
08086	DX.com Holdings Ltd.	Open offer 1 for 10 @\$0.10	50.95	2014/06/04 2014/06/27
08163	Merdeka Mobile Group Ltd. (Formerly: Merdeka Resources Holdings Ltd.)	Rts 4 for 1 @\$0.04	98.06	2014/06/19 2014/07/14
08228	National Arts Entertainment and Culture Group Ltd.	Rts 6 for 1 @\$0.188 (after consolidation 10 into 1)	465.44	2014/07/08 2014/07/29
08176	EDS Wellness Holdings Ltd.	Open offer 1 for 2 @\$3.00	57.18	2014/07/09 2014/07/31
08193	GreaterChina Professional Services Ltd.	Open offer 1 for 2 @\$0.20	50.46	2014/07/28 2014/08/20
08212	Celebrate International Holdings Ltd.	Open offer 8 for 1 @\$0.20 (after consolidation 10 into 1)	176.74	2014/08/13 2014/09/05
08009	Chinese Energy Holdings Ltd.	Open offer 1 for 2 @\$0.10	63.53	2014/08/19 2014/09/12
08200	Sau San Tong Holdings Ltd.	Rts 3 for 1 @\$0.10	89.39	2014/08/25 2014/09/18
08037	Rui Kang Pharmaceutical Group Investments Ltd. (Formerly: Longlife Group Holdings Ltd.)	Rts 1 for 2 @\$0.16	53.63	2014/09/12 2014/10/07
08165	Jian ePayment Systems Ltd.	Open offer 1 for 2 @\$0.05	32.27	2014/09/24 2014/10/21
08063	Well Way Group Ltd.	Open offer 2 for 1 @\$0.30	152.91	2014/10/08 2014/10/31
08202	Inno-Tech Holdings Ltd.	Open offer 25 for 1 @\$0.215 (after consolidation 10 into 1)	145.18	2014/10/31 2014/11/25
08225	Venturepharm Laboratories Ltd.	Rts 3 for 2 @\$0.10	54.92	2014/10/13 2014/12/12
08072	Roma Group Ltd.	Rts 3 for 1 @\$0.09 (after consolidation 16 into 1)	286.48	2014/11/26 2014/12/18
08079	Unlimited Creativity Holdings Ltd.	Rts 5 for 2 @\$0.08	125.84	2014/12/03 2014/12/30
Total			3,465.92	

Notes: Figures may not add up to total due to rounding.

Placings on GEM

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
08270	China Leason CBM & Shale Gas Group Co. Ltd. (Name changed to China CBM Group Co. Ltd.)	880,000,000	0.0920	80.96	2014/01/03
08071	China Netcom Technology Holdings Ltd.	232,800,000	0.3200	74.50	2014/01/15
08075	Media Asia Group Holdings Ltd.	132,250,000	0.6070	80.28	2014/01/21
08116	China Fortune Investments (Holding) Ltd.	80,000,000	0.1030	8.24	2014/01/22
08298	AKM Industrial Co. Ltd.	423,070,000	0.5130	217.03	2014/01/27
08081	Computech Holdings Ltd. (Name changed to China Mobile Games and Cultural Investment Ltd.)	190,000,000	0.1280	24.32	2014/01/27
08212	Celebrate International Holdings Ltd.	153,420,120	0.2500	38.36	2014/01/28
08163	Merdeka Resources Holdings Ltd. (Name changed to Merdeka Mobile Group Ltd.)	150,000,000	0.1560	23.40	2014/01/29
08269	Wealth Glory Holdings Ltd.	58,824,000	0.1700	10.00	2014/01/29
08269	Wealth Glory Holdings Ltd.	52,624,000	0.1900	10.00	2014/01/29
08021	WLS Holdings Ltd.	223,950,000	0.1080	24.19	2014/01/29
08192	Global Energy Resources International Group Ltd.	250,960,000	0.3900	97.87	2014/02/07
08063	Well Way Group Ltd.	24,986,000	1.0000	24.99	2014/02/11
08083	China Innovationpay Group Ltd.	200,000,000	0.6400	128.00	2014/02/20
08037	Longlife Group Holdings Ltd. (Name changed to Rui Kang Pharmaceutical Group Investments Ltd.)	245,000,000	0.2040	49.98	2014/02/25
08163	Merdeka Resources Holdings Ltd. (Name changed to Merdeka Mobile Group Ltd.)	77,000,000	0.1710	13.17	2014/02/27
08156	China Vanguard Group Ltd.	54,400,000	5.1400	279.62	2014/03/05
08150	Seamless Green China (Holdings) Ltd.	5,000,000	1.0000	5.00	2014/03/05
08108	FAVA International Holdings Ltd. (Name changed to Grand Peace Group Holdings Ltd.)	227,400,000	0.0780	17.74	2014/03/07
08269	Wealth Glory Holdings Ltd.	45,448,000	0.2200	10.00	2014/03/07
08116	China Fortune Investments (Holding) Ltd.	30,000,000	0.1030	3.09	2014/03/10
08116	China Fortune Investments (Holding) Ltd.	50,000,000	0.1030	5.15	2014/03/12
08207	Credit China Holdings Ltd.	200,000,000	0.8000	160.00	2014/03/21
08166	China Eco-Farming Ltd.	800,000,000	0.1880	150.40	2014/03/25
08098	CL Group (Holdings) Ltd.	100,000,000	0.3050	30.50	2014/03/25
08200	Sau San Tong Holdings Ltd.	49,658,750	0.4500	22.35	2014/03/28
08325	China Smartpay Group Holdings Ltd.	144,000,000	1.4600	210.24	2014/04/11
08116	China Fortune Investments (Holding) Ltd.	20,000,000	0.0960	1.92	2014/04/14
08172	China Media and Films Holdings Ltd. (Name changed to China Star Cultural Media Group Ltd.)	100,000,000	0.2300	23.00	2014/04/15
08078	China 3D Digital Entertainment Ltd.	134,100,000	0.1280	17.16	2014/04/16
08116	China Fortune Investments (Holding) Ltd.	30,000,000	0.1000	3.00	2014/04/17
08116	China Fortune Investments (Holding) Ltd.	187,000,000	0.0900	16.83	2014/04/23
08215	First Credit Finance Group Ltd.	240,000,000	0.0900	21.60	2014/05/07
08130	Zhi Cheng Holdings Ltd.	30,000,000	0.8100	24.30	2014/05/13
08046	Heng Xin China Holdings Ltd.	50,000,000	0.2500	12.50	2014/05/15
08310	Gamma Logistics Corporation	160,000,000	0.2720	43.52	2014/05/16
08135	ZMFY Automobile Glass Services Ltd.	80,000,000	0.5500	44.00	2014/05/16
08239	Ming Kei Holdings Ltd. (Name changed to Capital Finance Holdings Ltd.)	44,500,000	0.4500	20.03	2014/05/22
08167	Neo Telemedia Ltd.	200,000,000	0.2010	40.20	2014/05/29
08270	China CBM Group Co. Ltd.	190,220,000	0.3110	59.16	2014/05/30
08108	FAVA International Holdings Ltd. (Name changed to Grand Peace Group Holdings Ltd.)	410,000,000	0.0670	27.47	2014/06/09
08351	Larry Jewelry International Co. Ltd.	264,690,000	0.1100	29.12	2014/06/10
08212	Celebrate International Holdings Ltd.	184,104,000	0.0760	13.99	2014/06/12
08150	Seamless Green China (Holdings) Ltd.	17,000,000	0.6500	11.05	2014/06/12
08081	China Mobile Games and Cultural Investment Ltd.	224,166,000	0.1000	22.42	2014/06/16
08172	China Media and Films Holdings Ltd. (Name changed to China Star Cultural Media Group Ltd.)	300,000,000	0.2800	84.00	2014/06/17
08037	Rui Kang Pharmaceutical Group Investments Ltd.	111,000,000	0.1800	19.98	2014/07/11
08082	Sage International Group Ltd.	258,450,000	0.0440	11.37	2014/07/11
08130	Zhi Cheng Holdings Ltd.	47,000,000	0.4000	18.80	2014/07/16
08356	CNC Holdings Ltd.	334,900,000	0.2500	83.73	2014/07/17
08310	Gamma Logistics Corporation	160,000,000	0.2500	40.00	2014/07/17
08119	Thiz Technology Group Ltd.	172,965,360	0.1000	17.30	2014/07/17
08351	Larry Jewelry International Co. Ltd.	561,730,000	0.1000	56.17	2014/07/30
08063	Well Way Group Ltd.	29,980,000	0.6500	19.49	2014/07/30
08078	China 3D Digital Entertainment Ltd.	1,500,000,000	0.0700	105.00	2014/07/31
08009	Chinese Energy Holdings Ltd.	211,760,000	0.1800	38.12	2014/08/15
08081	China Mobile Games and Cultural Investment Ltd.	209,592,000	0.1340	28.09	2014/08/28
08176	EDS Wellness Holdings Ltd.	2,620,000	3.1500	8.25	2014/08/28
08081	China Mobile Games and Cultural Investment Ltd.	381,078,000	0.1180	44.97	2014/08/29
08072	Roma Group Ltd.	925,000,000	0.0155	14.34	2014/09/01
08269	Wealth Glory Holdings Ltd.	237,000,000	0.2700	63.99	2014/09/03
08039	Pegasus Entertainment Holdings Ltd.	50,000,000	1.2600	63.00	2014/09/12
08158	China Bio-Med Regeneration Technology Ltd.	150,000,000	0.2600	39.00	2014/09/16
08117	China Primary Resources Holdings Ltd. (Name changed to China Primary Energy Holdings Ltd.)	96,576,196	0.4000	38.63	2014/09/16
08150	Seamless Green China (Holdings) Ltd.	115,000,000	0.4400	50.60	2014/09/19
08325	China Smartpay Group Holdings Ltd.	104,310,000	1.4600	152.29	2014/09/24
08242	Megalogic Technology Holdings Ltd.	48,000,000	0.4000	19.20	2014/09/24
08269	Wealth Glory Holdings Ltd.	317,000,000	0.2970	94.15	2014/09/29
08175	China Digital Culture (Group) Ltd.	295,000,000	0.1200	35.40	2014/09/30
08132	China Oil Gangran Energy Group Holdings Ltd.	1,452,900,000	0.1000	145.29	2014/09/30
08325	China Smartpay Group Holdings Ltd.	68,490,000	1.4600	100.00	2014/10/08
08130	Zhi Cheng Holdings Ltd.	60,000,000	0.5000	30.00	2014/10/08
08148	Aurum Pacific (China) Group Ltd.	50,000,000	0.6500	32.50	2014/10/09
08001	Orient Securities International Holdings Ltd.	60,000,000	0.9800	58.80	2014/10/14
08212	Celebrate International Holdings Ltd.	198,830,000	0.1320	26.25	2014/10/15

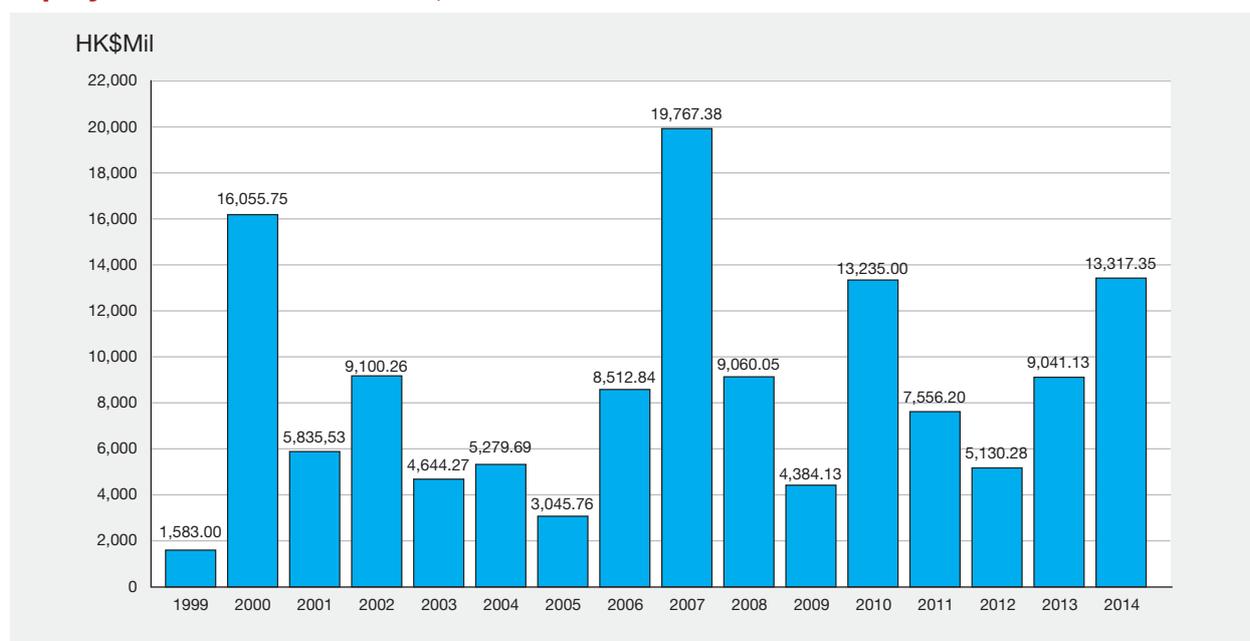
Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
08100	M Dream Inworld Ltd. (Name changed to GET Holdings Ltd.)	319,260,000	0.1210	38.63	2014/10/17
08123	First China Financial Network Holdings Ltd.	889,998,000	0.4100	364.90	2014/10/22
08003	Great World Company Holdings Ltd.	225,500,000	0.1250	28.19	2014/10/22
08021	WLS Holdings Ltd.	79,900,000	0.1770	14.14	2014/10/31
08180	New Ray Medicine International Holding Ltd.	160,000,000	0.5600	89.60	2014/11/05
08120	Oriental Unicorn Agricultural Group Ltd.	260,000,000	0.1750	45.50	2014/11/10
08007	DIGITALHONGKONG.COM	30,000,000	1.5000	45.00	2014/11/13
08055	China E-Learning Group Ltd.	465,000,000	0.5390	250.64	2014/11/21
08148	Aurum Pacific (China) Group Ltd.	50,000,000	0.6500	32.50	2014/11/26
08036	Goldenmars Technology Holdings Ltd.	15,000,000	0.9400	14.10	2014/11/26
08246	Noble House (China) Holdings Ltd.	56,000,000	0.6500	36.40	2014/11/28
08269	Wealth Glory Holdings Ltd.	220,000,000	0.3000	66.00	2014/12/03
08256	Netel Technology (Holdings) Ltd.	7,027,027	0.1850	1.30	2014/12/10
08101	Jia Meng Holdings Ltd.	80,000,000	0.2130	17.04	2014/12/11
08078	China 3D Digital Entertainment Ltd.	1,013,100,000	0.0270	27.35	2014/12/12
08028	Timeless Software Ltd.	201,700,000	0.1450	29.25	2014/12/15
08130	Zhi Cheng Holdings Ltd.	39,600,000	0.5000	19.80	2014/12/16
08068	New Universe International Group Ltd.	100,000,000	0.3000	30.00	2014/12/24
Total				4,919.64	

Funds raised by classification for GEM, 2010 – 2014

HK\$ mil

Type	Listing method	2010	2011	2012	2013	2014
Equities	Offer for subscription	–	67.21	60.00	–	11.12
	Offer for sale	26.54	159.35	65.80	295.64	220.72
	Offer for placing	622.75	1,107.30	1,000.56	2,887.81	1,928.61
	Placing	7,651.88	2,945.17	1,833.43	3,483.39	4,919.64
	Rights issue	767.95	962.71	554.59	66.94	1,484.61
	Open offer	670.96	382.64	498.76	557.55	1,981.32
	Consideration issue	2,873.73	1,744.22	939.13	1,357.05	2,074.04
	Warrants exercised	140.25	15.87	62.71	81.72	323.87
	Share option scheme	480.95	171.72	115.31	311.03	373.42
Equities funds raised		13,235.00	7,556.20	5,130.28	9,041.13	13,317.35
Equity warrants – premium		7.17	8.00	–	–	–

Equity funds raised for GEM, 1999 – 2014



Funds raised by Mainland enterprises H shares for GEM, 2010 – 2014

HK\$ mil

Type	Listing method	2010	2011	2012	2013	2014
Equities	Offer for subscription	–	–	–	–	–
	Offer for sale	–	–	–	–	–
	Offer for placing	–	–	–	–	–
	Placing	638.72	–	–	241.40	–
	Rights issue	–	–	–	–	–
	Open offer	–	–	–	–	–
	Consideration issue	–	–	–	–	–
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised		638.72	–	–	241.40

Funds raised by Red Chip stocks for GEM, 2010 – 2014

HK\$ mil

Type	Listing method	2010	2011	2012	2013	2014
Equities	Offer for subscription	–	–	–	–	–
	Offer for sale	–	–	–	–	–
	Offer for placing	–	–	–	699.20	–
	Placing	–	86.05	53.82	–	300.76
	Rights issue	–	–	–	–	–
	Open offer	–	–	–	–	–
	Consideration issue	–	–	–	135.00	223.65
	Warrants exercised	–	–	–	–	–
	Share option scheme	0.03	–	–	3.56	0.64
	Equities funds raised		0.03	86.05	53.82	837.76

Newly listed equity warrants on GEM, 2014

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
Nil					

List of expired equity warrants for GEM, 2014

Code	Warrant	Last trading date	Delisted date
Nil			

Market value and trading for equity warrants on GEM, 2010 – 2014

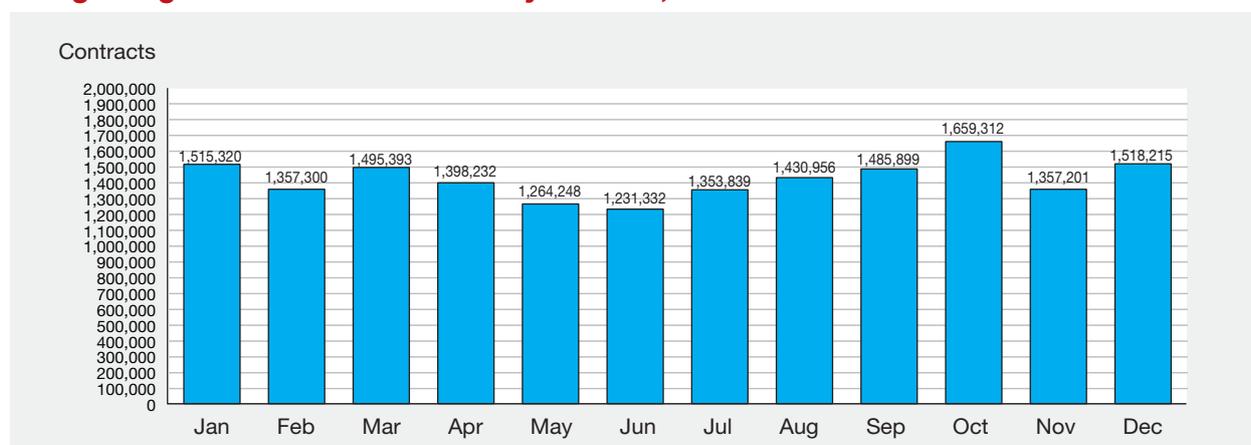
Year/Month	Number *	Market value * HK\$mil	Turnover value HK\$mil	(%) of market total
2010	1	40.15	22.08	0.02
2011	2	15.97	4.07	0.01
2012	1	8.00	0.41	0.00
2013	0	0.00	0.85	0.00
2014	0	0.00	0.00	0.00
2014 Jan	0	0.00	0.00	0.00
Feb	0	0.00	0.00	0.00
Mar	0	0.00	0.00	0.00
Apr	0	0.00	0.00	0.00
May	0	0.00	0.00	0.00
Jun	0	0.00	0.00	0.00
Jul	0	0.00	0.00	0.00
Aug	0	0.00	0.00	0.00
Sep	0	0.00	0.00	0.00
Oct	0	0.00	0.00	0.00
Nov	0	0.00	0.00	0.00
Dec	0	0.00	0.00	0.00

* Month-end or year-end figures.

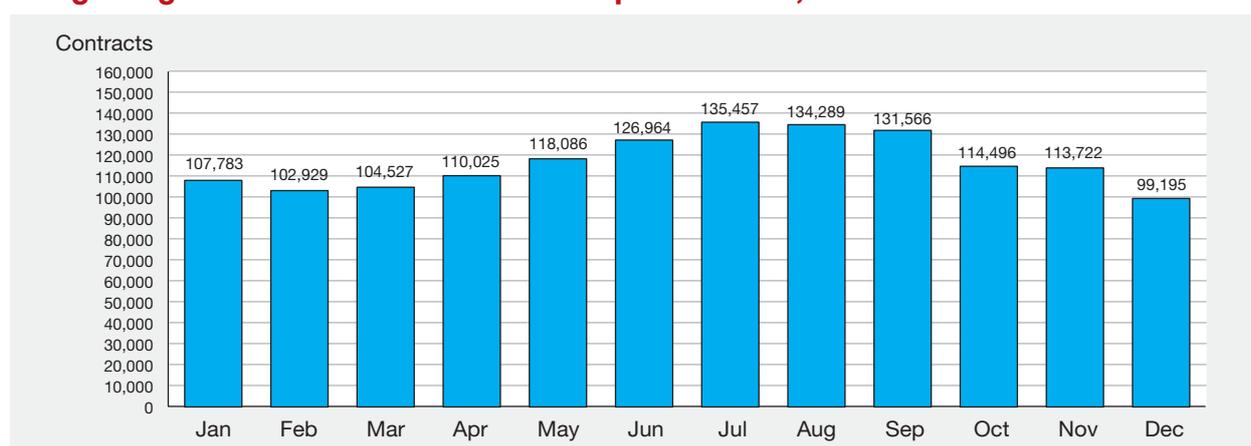
Hang Seng Index futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2010	248.0	21,031,085	84,803	88,816	
2011	245.5	23,085,833	94,036	86,409	
2012	245.5	20,353,069	82,905	139,344	
2013	244.0	19,580,330	80,247	107,304	
2014	247.0	17,067,247	69,098	99,195	
2014	Jan	21.0	1,515,320	72,158	107,783
	Feb	19.0	1,357,300	71,437	102,929
	Mar	21.0	1,495,393	71,209	104,527
	Apr	20.0	1,398,232	69,912	110,025
	May	20.0	1,264,248	63,212	118,086
	Jun	20.0	1,231,332	61,567	126,964
	Jul	22.0	1,353,839	61,538	135,457
	Aug	21.0	1,430,956	68,141	134,289
	Sep	21.0	1,485,899	70,757	131,566
	Oct	21.0	1,659,312	79,015	114,496
	Nov	20.0	1,357,201	67,860	113,722
	Dec	21.0	1,518,215	72,296	99,195
2014	Highest (date)		196,544 (27/01)		172,781 (25/09)

Hang Seng Index futures – monthly volume, 2014



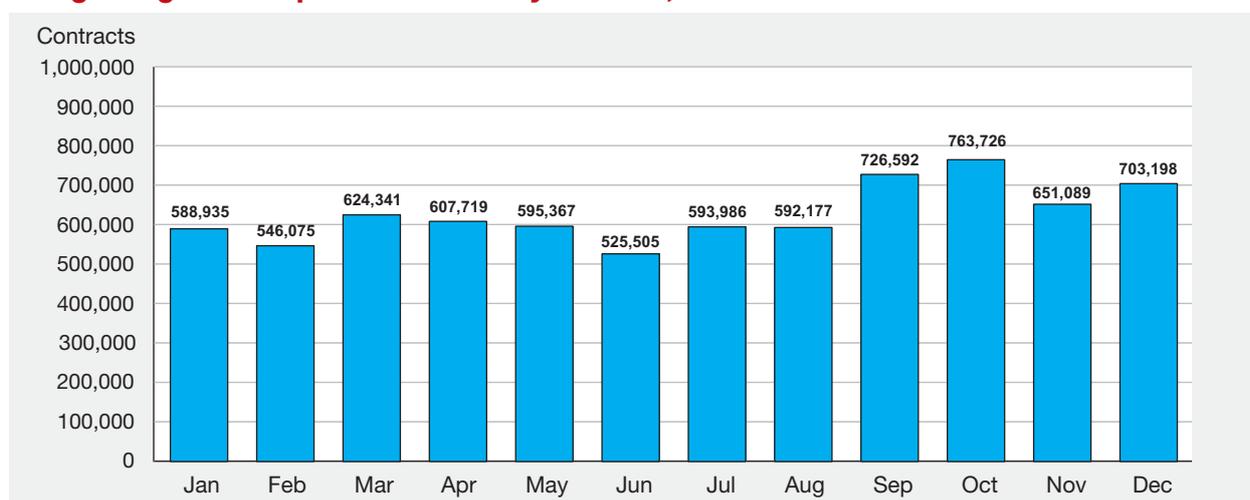
Hang Seng Index futures – month-end open interest, 2014



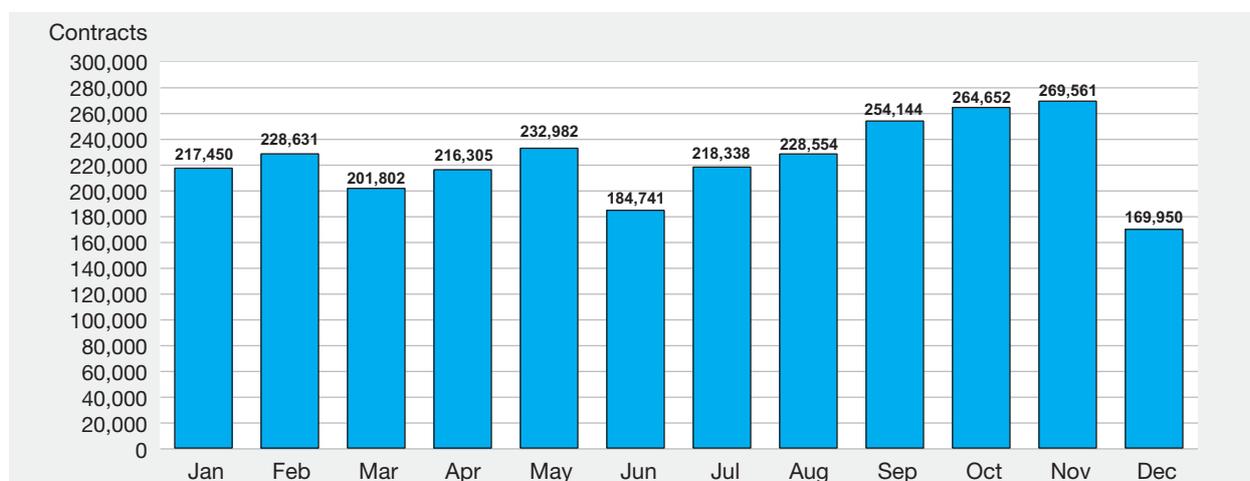
Hang Seng Index options – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Volume (contracts)			Average daily	Period end open interest (contracts)
		Call	Put	Total		
2010	248.0	3,980,315	4,534,734	8,515,049	34,335	187,784
2011	245.5	4,975,186	5,692,240	10,667,426	43,452	184,402
2012	245.5	4,101,858	5,128,287	9,230,145	37,597	260,785
2013	244.0	4,049,293	4,552,216	8,601,509	35,252	173,176
2014	247.0	3,549,493	3,969,217	7,518,710	30,440	169,950
2014 Jan	21.0	270,676	318,259	588,935	28,045	217,450
2014 Feb	19.0	240,019	306,056	546,075	28,741	228,631
2014 Mar	21.0	301,214	323,127	624,341	29,731	201,802
2014 Apr	20.0	289,213	318,506	607,719	30,386	216,305
2014 May	20.0	276,516	318,851	595,367	29,768	232,982
2014 Jun	20.0	222,991	302,514	525,505	26,275	184,741
2014 Jul	22.0	275,781	318,205	593,986	26,999	218,338
2014 Aug	21.0	280,167	312,010	592,177	28,199	228,554
2014 Sep	21.0	363,019	363,573	726,592	34,600	254,144
2014 Oct	21.0	362,304	401,422	763,726	36,368	264,652
2014 Nov	20.0	331,826	319,263	651,089	32,554	269,561
2014 Dec	21.0	335,767	367,431	703,198	33,486	169,950
2014 Highest (date)				67,769 (10/04)		350,252 (29/10)

Hang Seng Index options – monthly volume, 2014



Hang Seng Index options – month-end open interest 2014



Mini-Hang Seng Index futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total	Average	Period end
		volume	daily volume	open interest
2010	248.0	8,300,654	33,470	7,359
2011	245.5	10,294,537	41,933	5,129
2012	245.5	8,545,847	34,810	6,638
2013	244.0	7,853,800	32,188	5,835
2014	247.0	6,959,838	28,177	7,472
2014 Jan	21.0	548,603	26,124	8,482
Feb	19.0	511,260	26,908	8,518
Mar	21.0	588,395	28,019	7,441
Apr	20.0	544,592	27,230	8,052
May	20.0	520,589	26,029	8,300
Jun	20.0	470,976	23,549	7,067
Jul	22.0	542,242	24,647	10,773
Aug	21.0	538,368	25,637	7,359
Sep	21.0	563,805	26,848	9,081
Oct	21.0	763,065	36,336	6,105
Nov	20.0	595,821	29,791	7,498
Dec	21.0	772,122	36,768	7,472
2014 Highest (date)		70,244 (05/12)		15,854 (18/12)

Mini-Hang Seng Index options – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Volume (contracts)				Period end
				Total	Average	open
		Call	Put	volume	daily volume	interest
2010	248.0	233,478	249,213	482,691	1,946	4,859
2011	245.5	472,500	481,914	954,414	3,888	3,873
2012	245.5	597,429	633,568	1,230,997	5,014	12,363
2013	244.0	558,839	598,427	1,157,266	4,743	4,665
2014	247.0	473,906	487,448	961,354	3,892	5,734
2014 Jan	21.0	37,511	36,195	73,706	3,510	6,281
Feb	19.0	33,831	37,116	70,947	3,734	6,776
Mar	21.0	39,310	37,324	76,634	3,649	5,938
Apr	20.0	36,107	38,099	74,206	3,710	5,912
May	20.0	33,250	38,388	71,638	3,582	6,456
Jun	20.0	29,222	36,748	65,970	3,299	6,508
Jul	22.0	33,376	45,600	78,976	3,590	7,956
Aug	21.0	38,532	40,359	78,891	3,757	6,743
Sep	21.0	49,010	44,534	93,544	4,454	7,945
Oct	21.0	44,531	45,854	90,385	4,304	6,320
Nov	20.0	48,922	41,096	90,018	4,501	7,741
Dec	21.0	50,304	46,135	96,439	4,592	5,734
2014 Highest (date)				8,424 (10/04)		16,474 (24/12)

H-shares Index futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total	Average	Period end
		volume	daily volume	open interest
2010	248.0	12,429,800	50,120	94,734
2011	245.5	15,003,870	61,116	106,277
2012	245.5	15,923,813	64,863	181,909
2013	244.0	20,871,257	85,538	217,646
2014	247.0	21,984,297	89,005	259,173
2014 Jan	21.0	1,873,672	89,222	217,483
Feb	19.0	1,737,735	91,460	220,718
Mar	21.0	2,035,738	96,940	199,566
Apr	20.0	1,604,373	80,219	222,337
May	20.0	1,536,489	76,824	200,241
Jun	20.0	1,515,945	75,797	217,624
Jul	22.0	1,605,962	72,998	229,948
Aug	21.0	1,606,127	76,482	194,058
Sep	21.0	1,855,515	88,358	209,592
Oct	21.0	1,817,370	86,541	190,941
Nov	20.0	2,055,693	102,785	218,952
Dec	21.0	2,739,678	130,461	259,173
2014 Highest (date)		299,269 (25/11)		335,684 (24/12)

H-shares Index options - trading statistics, 2010- 2014

Year/Month	No. of trading days	Volume (contracts)				Period end
				Total	Average	open
		Call	Put	volume	daily volume	interest
2010	248.0	1,386,525	1,524,188	2,910,713	11,737	104,994
2011	245.5	1,803,380	1,968,419	3,771,799	15,364	158,628
2012	245.5	2,966,744	3,334,145	6,300,889	25,666	589,342
2013	244.0	3,814,440	4,212,834	8,027,274	32,899	858,416
2014	247.0	4,278,275	4,720,622	8,998,897	36,433	991,190
2014 Jan	21.0	403,262	420,535	823,797	39,228	998,839
Feb	19.0	343,366	375,355	718,721	37,827	1,082,065
Mar	21.0	430,209	428,588	858,797	40,895	1,014,569
Apr	20.0	288,342	320,574	608,916	30,446	1,069,425
May	20.0	336,544	299,695	636,239	31,812	1,160,465
Jun	20.0	331,518	291,092	622,610	31,131	996,149
Jul	22.0	332,024	373,672	705,696	32,077	1,114,642
Aug	21.0	238,735	343,645	582,380	27,732	1,164,468
Sep	21.0	274,102	451,207	725,309	34,539	1,194,591
Oct	21.0	269,200	438,511	707,711	33,701	1,279,682
Nov	20.0	448,676	392,911	841,587	42,079	1,307,462
Dec	21.0	582,297	584,837	1,167,134	55,578	991,190
2014 Highest (date)				115,258 (05/12)		1,560,676 (29/12)

Mini H-shares Index futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010	248.0	992,224	4,001	1,867
2011	245.5	1,845,116	7,516	1,520
2012	245.5	1,560,515	6,356	2,276
2013	244.0	2,252,621	9,232	3,608
2014	247.0	3,429,393	13,884	3,588
2014 Jan	21.0	225,091	10,719	4,468
Feb	19.0	225,684	11,878	4,502
Mar	21.0	280,553	13,360	4,200
Apr	20.0	243,224	12,161	4,192
May	20.0	199,479	9,974	3,241
Jun	20.0	183,528	9,176	2,772
Jul	22.0	225,648	10,257	3,248
Aug	21.0	251,255	11,965	4,454
Sep	21.0	302,858	14,422	4,703
Oct	21.0	341,755	16,274	3,788
Nov	20.0	326,728	16,336	4,974
Dec	21.0	623,590	29,695	3,588
2014 Highest (date)		51,414 (05/12)		16,436 (11/12)

HSI Dividend Point Index Futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010 *	43.0	2,123	49	1,270
2011	245.5	11,196	46	4,460
2012	245.5	20,793	85	1,969
2013	244.0	11,214	46	4,599
2014	247.0	15,658	63	3,480
2014 Jan	21.0	1,753	83	6,203
Feb	19.0	4,050	213	7,103
Mar	21.0	2,130	101	6,733
Apr	20.0	850	43	6,183
May	20.0	1,301	65	6,383
Jun	20.0	100	5	6,483
Jul	22.0	2,500	114	8,983
Aug	21.0	2,754	131	6,929
Sep	21.0	150	7	6,979
Oct	21.0	70	3	6,979
Nov	20.0	0	0	6,979
Dec	21.0	0	0	3,480
2014 Highest (date)		1,451 (18/08)		8,983 (13/08)

* Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

HSCEI Dividend Point Index Futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010 *	43.0	4,667	109	1,138
2011	245.5	53,054	216	34,270
2012	245.5	184,786	753	48,879
2013	244.0	156,496	641	51,075
2014	247.0	240,572	974	48,174
2014 Jan	21.0	39,700	1,890	72,206
Feb	19.0	8,302	437	77,161
Mar	21.0	53,163	2,532	88,404
Apr	20.0	20,802	1,040	87,004
May	20.0	11,129	556	86,704
Jun	20.0	12,530	627	82,598
Jul	22.0	23,300	1,059	84,598
Aug	21.0	13,223	630	75,693
Sep	21.0	8,382	399	77,187
Oct	21.0	11,780	561	84,767
Nov	20.0	15,891	795	83,927
Dec	21.0	22,370	1,065	48,174
2014 Highest (date)		18,100 (09/12)		91,704 (17/04)

* Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Flexible Hang Seng Index Options – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Volume (contracts)				Period end
		Call	Put	Total volume	Average	open
					daily volume	interest (contracts)
2010 *	223.0	3,610	7,612	11,222	50	500
2011	245.5	2,750	6,510	9,260	38	7,510
2012	245.5	5,296	8,887	14,183	58	9,468
2013	244.0	8,458	739	9,197	38	506
2014	247.0	100	250	350	1	50
2014 Jan	21.0	0	0	0	0	506
Feb	19.0	0	150	150	8	506
Mar	21.0	0	0	0	0	200
Apr	20.0	0	0	0	0	200
May	20.0	0	0	0	0	200
Jun	20.0	0	0	0	0	0
Jul	22.0	100	100	200	9	50
Aug	21.0	0	0	0	0	50
Sep	21.0	0	0	0	0	50
Oct	21.0	0	0	0	0	50
Nov	20.0	0	0	0	0	50
Dec	21.0	0	0	0	0	50
2014 Highest (date)				200 (30/07)		656 (27/02)

* Trading in Flexible Hang Seng Index Options commenced on 08 Feb 2010

Flexible H-shares Index Options – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Volume (contracts)				Period end
		Call	Put	Total volume	Average	open
					daily volume	interest (contracts)
2010 *	223.0	1,350	1,182	2,532	11	0
2011	245.5	16,840	6,670	23,510	96	3,500
2012	245.5	3,556	7,615	11,171	46	9,821
2013	244.0	11,174	19,615	30,789	126	25,099
2014	247.0	9,920	26,701	36,621	148	25,440
2014 Jan	21.0	1,650	1,800	3,450	164	28,349
Feb	19.0	0	0	0	0	28,349
Mar	21.0	0	800	800	38	20,334
Apr	20.0	0	0	0	0	20,334
May	20.0	0	3,950	3,950	198	24,284
Jun	20.0	3,020	6,090	9,110	456	29,010
Jul	22.0	1,600	8,300	9,900	450	38,175
Aug	21.0	1,000	4,961	5,961	284	36,940
Sep	21.0	0	0	0	0	36,940
Oct	21.0	0	0	0	0	36,940
Nov	20.0	0	700	700	35	37,640
Dec	21.0	2,650	100	2,750	131	25,440
2014 Highest (date)				5,040 (16/06)		40,290 (29/12)

* Trading in Flexible H-shares Index Options commenced on 08 Feb 2010

HSI Volatility Index Futures – trading statistics, 2012 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2012 *	214.5	1,526	7	8
2013	244.0	978	4	21
2014	247.0	475	2	2
2014 Jan	21.0	68	3	19
Feb	19.0	29	2	15
Mar	21.0	56	3	12
Apr	20.0	31	2	0
May	20.0	43	2	42
Jun	20.0	110	6	57
Jul	22.0	59	3	6
Aug	21.0	23	1	13
Sep	21.0	25	1	14
Oct	21.0	15	1	0
Nov	20.0	2	0	2
Dec	21.0	14	1	2
2014 Highest (date)		65 (30/06)		58 (26/06)

* Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

CES China 120 Index Futures – trading statistics, 2013 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2013 *	96.0	50,213	523	203
2014	247.0	40,283	163	93
2014 Jan	21.0	4,916	234	194
Feb	19.0	2,895	152	163
Mar	21.0	2,013	96	334
Apr	20.0	2,750	138	395
May	20.0	2,723	136	512
Jun	20.0	3,295	165	412
Jul	22.0	4,105	187	87
Aug	21.0	4,023	192	103
Sep	21.0	2,807	134	45
Oct	21.0	3,011	143	87
Nov	20.0	3,313	166	111
Dec	21.0	4,432	211	93
2014 Highest (date)		860 (07/11)		1,178 (27/05)

* Trading in CES China 120 Index Futures commenced on 12 Aug 2013

IBOVESPA Futures – trading statistics, 2012 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2012 *	185.5	7	0	0
2013	244.0	0	0	0
2014	247.0	0	0	0
2014 Jan	21.0	0	0	0
Feb	19.0	0	0	0
Mar	21.0	0	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	21.0	0	0	0
Oct	21.0	0	0	0
Nov	20.0	0	0	0
Dec	21.0	0	0	0
2014 Highest (date)		0		0
		–		–

* Trading in IBOVESPA Futures commenced on 30 Mar 2012

MICEX Index Futures – trading statistics, 2012 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2012 *	185.5	8	0	0
2013	244.0	0	0	0
2014	247.0	0	0	0
2014 Jan	21.0	0	0	0
Feb	19.0	0	0	0
Mar	21.0	0	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	21.0	0	0	0
Oct	21.0	0	0	0
Nov	20.0	0	0	0
Dec	21.0	0	0	0
2014 Highest (date)		0		0
		–		–

* Trading in MICEX Index Futures commenced on 30 Mar 2012

S&P BSE Sensex Index Futures – trading statistics, 2012 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2012 *	185.5	190	1	0
2013	244.0	0	0	0
2014	247.0	0	0	0
2014 Jan	21.0	0	0	0
Feb	19.0	0	0	0
Mar	21.0	0	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	21.0	0	0	0
Oct	21.0	0	0	0
Nov	20.0	0	0	0
Dec	21.0	0	0	0
2014 Highest (date)		0		0
		–		–

* Trading in S&P BSE Sensex Index Futures commenced on 30 Mar 2012

FTSE/JSE Top40 Futures – trading statistics, 2012 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2012 *	185.5	0	0	0
2013	244.0	0	0	0
2014	247.0	0	0	0
2014 Jan	21.0	0	0	0
Feb	19.0	0	0	0
Mar	21.0	0	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	21.0	0	0	0
Oct	21.0	0	0	0
Nov	20.0	0	0	0
Dec	21.0	0	0	0
2014 Highest (date)		0		0
		–		–

* Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

Three-month HIBOR futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010	248.0	1,055	4	267
2011	245.5	414	2	24
2012	245.5	150	1	0
2013	244.0	2	0	1
2014	247.0	35	0	5
2014 Jan	21.0	0	0	1
Feb	19.0	0	0	1
Mar	21.0	10	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	15	1	15
Jul	22.0	10	0	5
Aug	21.0	0	0	5
Sep	21.0	0	0	5
Oct	21.0	0	0	5
Nov	20.0	0	0	5
Dec	21.0	0	0	5
2014 Highest (date)		10 (07/07)		15 (04/07)

One-month HIBOR futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010	248.0	14	0	0
2011	245.5	245	1	25
2012	245.5	10	0	0
2013	244.0	20	0	0
2014	247.0	0	0	0
2014 Jan	21.0	0	0	0
Feb	19.0	0	0	0
Mar	21.0	0	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	21.0	0	0	0
Oct	21.0	0	0	0
Nov	20.0	0	0	0
Dec	21.0	0	0	0
2014 Highest (date)		0 –		0 –

Three-Year Exchange Fund Note futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010	248.0	0	0	0
2011	245.5	0	0	0
2012	245.5	0	0	0
2013	244.0	25	0	0
2014	247.0	0	0	0
2014 Jan	21.0	0	0	0
Feb	19.0	0	0	0
Mar	21.0	0	0	0
Apr	20.0	0	0	0
May	20.0	0	0	0
Jun	20.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	21.0	0	0	0
Oct	21.0	0	0	0
Nov	20.0	0	0	0
Dec	21.0	0	0	0
2014 Highest (date)		0 –		0 –

Stock futures contracts as at the end of 2014

Underlying Stock	Launch Date	Contract Multiplier
Aluminum Corporation of China Ltd.	2004/06/14	2,000
Bank of China Ltd.	2006/07/03	1,000
Bank of Communications Co., Ltd.	2005/11/07	1,000
BOC Hong Kong (Holdings) Ltd.	2003/03/17	500
Cathay Pacific Airways Ltd.	2001/08/27	1,000
Cheung Kong (Holdings) Ltd.	1995/09/25	1,000
China AMC CSI 300 index ETF	2013/06/10	2,000
China Communications Construction Co. Ltd.	2007/03/19	1,000
China Construction Bank Corporation	2005/12/19	1,000
China Life Insurance Co. Ltd.	2004/06/14	1,000
China Merchants Bank Co., Ltd.	2006/10/27	500
China Mobile Ltd.	1998/06/05	500
China Petroleum & Chemical Corporation	2002/07/22	2,000
China Telecom Corporation Ltd.	2004/06/14	2,000
China Unicom (Hong Kong) Ltd.	2001/08/27	2,000
CITIC Ltd.	1997/05/23	1,000
CLP Holdings Ltd.	1997/10/17	500
CNOOC Ltd.	2002/07/22	1,000
CSOP FTSE China A50 ETF	2013/06/10	5,000
Esprit Holdings Ltd.	2005/07/11	100
FIH Mobile Ltd.	2007/03/19	1,000
Hang Seng Bank Ltd.	1997/09/19	100
Henderson Land Development Co. Ltd.	1997/09/19	1,000
Hong Kong Exchanges and Clearing Ltd.	2001/08/27	100
HSBC Holdings plc	1995/03/31	400
Huaneng Power International, Inc.	2002/07/22	2,000
Hutchison Whampoa Ltd.	1997/09/19	1,000
Industrial and Commercial Bank of China Ltd.	2006/10/27	1,000
iShares FTSE A50 China Index ETF	2013/06/10	5,000
Li & Fung Ltd.	2001/08/27	2,000
MTR Corporation Ltd.	2001/08/27	500
New World Development Co. Ltd.	1997/10/17	1,000
PetroChina Co. Ltd.	2001/08/27	2,000
PICC Property and Casualty Co. Ltd.	2007/03/19	2,000
Ping An Insurance (Group) Co. of China, Ltd.	2005/11/07	500
Power Assets Holdings Ltd.	1998/03/18	500
Sun Hung Kai Properties Ltd.	1997/09/19	1,000
Swire Pacific Ltd. 'A'	1997/05/23	500
The Bank of East Asia, Ltd.	2001/01/08	200
The Hong Kong and China Gas Co. Ltd.	2001/08/27	1,000
The Wharf (Holdings) Ltd.	1997/10/17	1,000

Stock futures contract volume and open interest, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010	248.0	239,259	965	11,514
2011	245.5	444,014	1,809	11,277
2012	245.5	322,715	1,315	19,516
2013	244.0	459,190	1,882	18,409
2014	247.0	427,609	1,731	14,916
2014	Jan	31,661	1,508	19,312
	Feb	28,615	1,506	21,798
	Mar	40,598	1,933	19,695
	Apr	31,168	1,558	19,095
	May	26,200	1,310	15,723
	Jun	23,811	1,191	11,643
	Jul	32,128	1,460	18,739
	Aug	46,919	2,234	25,241
	Sep	44,324	2,111	20,040
	Oct	34,318	1,634	11,114
	Nov	28,982	1,449	11,620
	Dec	58,885	2,804	14,916
2014	Highest (date)	9,815 (25/09)		29,865 (26/09)

Stock futures – trading statistics by underlying stock, 2014

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
Aluminum Corporation of China Ltd.	1,947	0.46	115	0.77
Bank of China Ltd.	26,363	6.17	687	4.61
Bank of Communications Co., Ltd.	8,666	2.03	222	1.49
BOC Hong Kong (Holdings) Ltd.	6,794	1.59	50	0.34
Cathay Pacific Airways Ltd.	636	0.15	36	0.24
Cheung Kong (Holdings) Ltd.	6,935	1.62	89	0.60
China AMC CSI 300 index ETF	14,230	3.33	949	6.36
China Communications Construction Co. Ltd.	5,318	1.24	179	1.20
China Construction Bank Corporation	18,480	4.32	1,140	7.64
China Life Insurance Co. Ltd.	18,735	4.38	727	4.87
China Merchants Bank Co., Ltd.	10,642	2.49	219	1.47
China Mobile Ltd.	34,224	8.00	418	2.80
China Petroleum & Chemical Corp.	7,241	1.69	304	2.04
China Telecom Corporation Ltd.	2,762	0.65	53	0.36
China Unicom (Hong Kong) Ltd.	2,233	0.52	272	1.82
CITIC Ltd.	9,177	2.15	652	4.37
CLP Holdings Ltd.	1,094	0.26	7	0.05
CNOOC Ltd	10,820	2.53	534	3.58
CSOP FTSE China A50 ETF	25,443	5.95	1,725	11.56
Esprit Holdings Ltd.	4,887	1.14	111	0.74
FIH Mobile Ltd.	390	0.09	90	0.60
Hang Seng Bank Ltd.	3,477	0.81	6	0.04
Henderson Land Development Co. Ltd.	2,861	0.67	17	0.11
Hong Kong Exchanges & Clearing Ltd.	56,046	13.11	1,917	12.85
HSBC Holdings plc	22,750	5.32	519	3.48
Huaneng Power International, Inc.	712	0.17	18	0.12
Hutchison Whampoa Ltd.	11,972	2.80	335	2.25
Industrial and Commercial Bank of China Ltd.	12,595	2.95	535	3.59
iShares FTSE A50 China Index ETF	37,833	8.85	1,245	8.35
Li & Fung Ltd.	1,143	0.27	16	0.11
MTR Corporation Ltd.	1,091	0.26	5	0.03
New World Development Co. Ltd.	3,040	0.71	46	0.31
PetroChina Co. Ltd.	7,938	1.86	325	2.18
PICC Property and Casualty Co. Ltd.	1,562	0.37	24	0.16
Ping An Insurance (Group) Co. of China Ltd.	32,085	7.50	1,086	7.28
Power Assets Holdings Ltd.	2,282	0.53	7	0.05
Sun Hung Kai Properties Ltd.	4,694	1.10	138	0.93
Swire Pacific Ltd. – A	421	0.10	3	0.02
The Bank of East Asia, Ltd.	484	0.11	0	0.00
The Hong Kong & China Gas Co. Ltd.	4,419	1.03	51	0.34
The Wharf (Holdings) Ltd.	3,187	0.75	44	0.29

Stock options classes as at the end of 2014

Class	Code	Launch date	Lot size (shares)
iShares FTSE A50 China Index ETF	A50	2010/07/12	5000
Agricultural Bank of China Ltd.	ABC/XAB	2010/07/16	10000
Anhui Conch Cement Co. Ltd.	ACC	2011/11/21	500
AIA Group Ltd.	AIA	2010/10/29	1000
Aluminum Corporation of China Ltd.	ALC	2004/06/14	2000
ChinaAMC CSI 300 Index ETF	AMC	2013/01/28	2000
Bank of China Ltd.	BCL/XBC	2006/07/03	1000
Bank of Communications Co., Ltd.	BCM	2005/11/07	1000
The Bank of East Asia, Ltd.	BEA	2001/01/08	200
Belle International Holdings Ltd.	BIH	2011/11/21	1000
BOC Hong Kong (Holdings) Ltd.	BOC	2003/03/17	500
BYD Company Ltd.	BYD	2009/11/16	500
China Construction Bank Corporation	CCB/XCC	2005/12/19	1000
China Communications Construction Co. Ltd.	CCC	2007/03/19	1000
China Coal Energy Co. Ltd.	CCE	2007/09/03	1000
China COSCO Holdings Co. Ltd.	CCS	2009/11/16	500
China Cinda Asset Management Co., Ltd.	CDA	2014/07/02	5000
China Mobile Ltd.	CHT	1998/05/15	500
China Unicom (Hong Kong) Ltd.	CHU	2001/08/27	2000
CITIC Ltd.	CIT	1995/10/23	1000
Cheung Kong (Holdings) Ltd.	CKH	1995/09/25	1000
China Life Insurance Co. Ltd.	CLI	2004/06/14	1000
CLP Holdings Ltd.	CLP	1995/12/18	500
China Merchants Bank Co., Ltd.	CMB	2006/10/27	500
CNOOC Ltd.	CNC	2002/07/22	1000
China Overseas Land & Investment Ltd.	COL	2009/07/06	2000
Cathay Pacific Airways Ltd.	CPA	2001/08/27	1000
China Petroleum & Chemical Corporation	CPC	2002/07/22	2000
China Pacific Insurance (Group) Co., Ltd.	CPI	2010/12/13	1000
China Railway Construction Corporation Ltd.	CRC	2008/06/10	500
China Railway Group Ltd.	CRG	2008/06/10	1000
China Resources Land Ltd.	CRL	2014/12/08	2000
W.I.S.E. – CSI 300 China Tracker	CS3	2010/07/12	1000
CSOP FTSE China A50 ETF	CSA	2013/01/28	5000
China Shenhua Energy Co. Ltd.	CSE	2008/06/10	500
China CITIC Bank Corporation Ltd.	CTB	2007/09/03	1000
China Telecom Corporation Ltd.	CTC	2004/06/14	2000
Dongfeng Motor Group Co. Ltd.	DFM	2014/02/17	2000
Esprit Holdings Ltd.	ESP	2005/07/11	100
FIH Mobile Ltd.	FIH	2007/03/19	1000
Galaxy Entertainment Group Ltd.	GLX	2012/06/11	1000
Great Wall Motor Co. Ltd.	GWM	2013/08/05	500
Haitong Securities Co., Ltd.	HAI	2014/12/08	2000
Power Assets Holdings Ltd.	HEH	1998/02/16	500
Hong Kong Exchanges and Clearing Ltd.	HEX	2001/08/27	100
Hengan International Group Co. Ltd.	HGN	2014/02/17	500
HSBC Holdings plc	HKB	1995/09/08	400
The Hong Kong and China Gas Co. Ltd.	HKG	2001/08/27	1000
Henderson Land Development Co. Ltd.	HLD	1995/12/18	1000
Huaneng Power International, Inc.	HNP	2002/07/22	2000
Hang Seng Bank Ltd.	HSB	1996/12/09	100
Hutchison Whampoa Ltd.	HWL	1995/12/18	1000
Industrial and Commercial Bank of China Ltd.	ICB/XIC	2006/10/27	1000
Jiangxi Copper Co. Ltd.	JXC	2007/09/03	1000
Kunlun Energy Co. Ltd.	KLE	2014/02/17	2000
Kingsoft Corporation Ltd.	KSO	2014/07/02	1000
Lenovo Group Ltd.	LEN	2013/08/05	2000
Li & Fung Ltd.	LIF	2001/08/27	2000
China Mengniu Dairy Co. Ltd.	MEN	2014/12/08	1000
MGM China Holdings Ltd.	MGM	2013/08/05	400
China Minsheng Banking Corp., Ltd.	MSB	2013/08/05	2500
MTR Corporation Ltd.	MTR	2001/08/27	500
China National Building Material Co. Ltd.	NBM	2011/11/21	2000
New World Development Co. Ltd.	NWD	1996/08/05	1000
Ping An Insurance (Group) Co. of China Ltd.	PAI	2005/11/07	500
PetroChina Company Ltd.	PEC	2001/08/27	2000
PICC Property and Casualty Co. Ltd.	PIC	2007/03/19	2000
The People's Insurance Co. (Group) of China Ltd.	PIN	2013/08/05	5000
GCL-Poly Energy Holdings Ltd.	PLE	2014/07/02	5000
Guangzhou R&F Properties Co., Ltd.	RFP	2009/11/16	400

Stock options classes as at the end of 2014 *(continued)*

Class	Code	Launch date	Lot size (shares)
Sands China Ltd.	SAN	2012/06/11	400
Sun Hung Kai Properties Ltd.	SHK	1995/12/18	1000
Standard Chartered PLC	STC	2012/06/11	50
Swire Pacific Ltd. 'A'	SWA	1995/10/09	500
Tencent Holdings Ltd.	TCH	2009/07/06	100
Tracker Fund of Hong Kong	TRF	2000/03/27	500
The Wharf (Holdings) Ltd.	WHL	1996/08/05	1000
Want Want China Holdings Ltd.	WWC	2014/02/17	1000
Yanzhou Coal Mining Co. Ltd.	YZC	2010/12/13	2000
Zijin Mining Group Co., Ltd.	ZJM	2009/07/06	2000

Stock Options contract value, volume and open interest, 2010-2014

Year/Month	No. of trading days	Contract value (HK\$mil)			Average daily	Contract volume (contracts)			Average daily	Open interest (contracts)		
		Call	Put	Total		Call	Put	Total		Call	Put	Total
2010	248.0	21,539.63	34,043.98	55,583.61	224.13	27,189,335	33,936,312	61,125,647	246,474	1,969,501	3,411,714	5,381,215
2011	245.5	21,190.60	51,291.49	72,482.09	295.24	32,200,985	42,124,083	74,325,068	302,750	2,420,694	2,908,800	5,329,494
2012	245.5	14,673.57	18,357.09	33,030.67	134.54	26,494,564	29,586,981	56,081,545	228,438	1,811,990	2,219,971	4,031,961
2013	244.0	17,734.70	20,998.41	38,733.11	158.74	28,837,660	31,990,315	60,827,975	249,295	2,179,051	2,561,767	4,740,818
2014	247.0	25,788.70	26,539.37	52,328.07	211.85	38,129,818	36,414,043	74,543,861	301,797	3,135,430	3,184,717	6,320,147
2014 Jan	21.0	1,903.94	2,523.34	4,427.28	210.82	2,665,231	3,285,527	5,950,758	283,369	2,538,426	3,061,984	5,600,410
2014 Feb	19.0	2,211.95	2,528.32	4,740.27	249.49	2,737,317	2,674,064	5,411,381	284,810	2,913,206	3,354,186	6,267,392
2014 Mar	21.0	2,268.43	3,061.67	5,330.10	253.81	2,573,607	2,824,415	5,398,022	257,049	2,525,406	2,933,383	5,458,789
2014 Apr	20.0	2,113.95	2,430.83	4,544.78	227.24	2,913,401	2,902,927	5,816,328	290,816	2,609,837	3,194,906	5,804,743
2014 May	20.0	1,699.32	1,847.13	3,546.45	177.32	2,405,516	2,414,980	4,820,496	241,025	2,760,719	3,474,400	6,235,119
2014 Jun	20.0	1,120.21	1,311.73	2,431.94	121.60	2,140,674	2,305,009	4,445,683	222,284	1,927,816	2,840,160	4,767,976
2014 Jul	22.0	2,202.24	1,545.36	3,747.60	170.35	3,417,459	2,875,014	6,292,473	286,022	2,671,720	3,363,451	6,035,171
2014 Aug	21.0	2,200.98	1,840.10	4,041.08	192.43	3,399,526	3,140,793	6,540,319	311,444	2,989,063	3,668,937	6,658,000
2014 Sep	21.0	1,780.30	2,266.66	4,046.96	192.71	3,337,028	3,292,464	6,629,492	315,690	2,756,132	3,260,006	6,016,138
2014 Oct	21.0	1,335.23	1,695.01	3,030.24	144.30	2,753,927	2,736,004	5,489,931	261,425	2,817,087	3,452,936	6,270,023
2014 Nov	20.0	2,047.49	1,810.32	3,857.82	192.89	3,922,919	3,288,120	7,211,039	360,552	3,304,284	3,732,604	7,036,888
2014 Dec	21.0	4,904.65	3,678.89	8,583.55	408.74	5,863,213	4,674,726	10,537,939	501,807	3,135,430	3,184,717	6,320,147

Note: Figures may not add up to total due to rounding.

Options contract value, volume and open interest by class, 2014

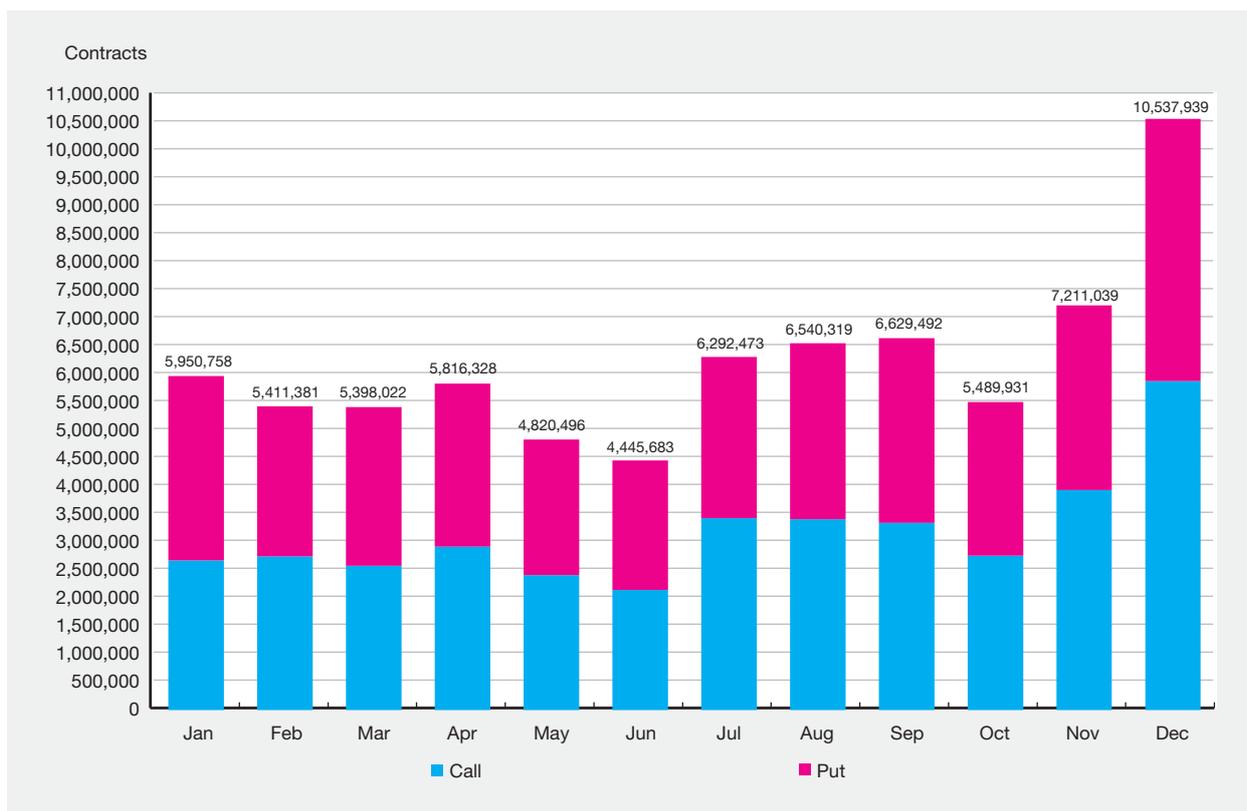
Class	Contract value (HK\$mil)				Contract volume (contracts)				Year-end open interest			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
ABC	126.27	223.14	349.41	0.67	141,736	160,165	301,901	0.40	19,843	17,300	37,143	0.59
AIA	537.75	522.88	1,060.63	2.03	684,622	713,359	1,397,981	1.88	41,889	37,342	79,231	1.25
ANHUI CONCH	244.20	247.99	492.18	0.94	484,088	387,082	871,170	1.17	26,300	28,877	55,177	0.87
CHALCO	17.27	13.79	31.07	0.06	61,752	56,595	118,347	0.16	3,039	2,491	5,530	0.09
BANK OF CHINA	211.59	334.89	546.48	1.04	2,458,063	2,327,060	4,785,123	6.42	266,099	257,722	523,821	8.29
BANKCOMM	38.27	46.23	84.51	0.16	233,696	211,764	445,460	0.60	19,748	10,261	30,009	0.47
BANK OF E ASIA	6.10	4.25	10.36	0.02	41,305	28,188	69,493	0.09	3,436	2,054	5,490	0.09
BELLE INT'L	31.05	42.40	73.45	0.14	97,885	82,486	180,371	0.24	5,439	8,075	13,514	0.21
BOC HONG KONG	57.51	78.01	135.51	0.26	213,923	229,605	443,528	0.59	13,005	15,506	28,511	0.45
BYD COMPANY	57.51	101.17	158.68	0.30	47,602	66,506	114,108	0.15	3,844	5,970	9,814	0.16
CAM CSI300	241.86	194.98	436.84	0.83	109,182	106,565	215,747	0.29	9,800	16,511	26,311	0.42
CATHAY PAC AIR	4.50	5.65	10.15	0.02	7,521	17,106	24,627	0.03	881	787	1,668	0.03
CHEUNG KONG	767.45	583.80	1,351.25	2.58	283,870	269,906	553,776	0.74	10,065	12,099	22,164	0.35
CCB	449.90	610.61	1,060.50	2.03	3,394,633	2,979,810	6,374,443	8.55	398,374	368,622	766,996	12.14
CM BANK	137.01	169.77	306.78	0.59	451,375	517,031	968,406	1.30	49,660	57,565	107,225	1.70
CITIC BANK	122.88	44.50	167.38	0.32	410,921	232,951	643,872	0.86	73,141	31,276	104,417	1.65
CHINA CINDA	11.98	36.60	48.58	0.09	18,122	33,417	51,539	0.07	2,146	1,808	3,954	0.06
CHINA COAL	20.64	31.42	52.06	0.10	128,235	129,319	257,554	0.35	6,284	7,474	13,758	0.22
CHINA COMM CONS	64.92	61.23	126.15	0.24	151,530	181,554	333,084	0.45	35,433	29,235	64,668	1.02
CHINA COSCO	5.45	11.87	17.31	0.03	51,014	90,141	141,155	0.19	7,051	8,207	15,258	0.24
CHINA MOBILE	1,512.44	1,789.00	3,301.44	6.31	1,544,342	1,512,072	3,056,414	4.10	84,131	85,250	169,381	2.68
SINOPEC CORP	337.33	390.20	727.53	1.39	800,337	734,247	1,534,584	2.06	66,070	81,247	147,317	2.33
CHINA LIFE	703.16	674.25	1,377.41	2.63	1,020,643	926,554	1,947,197	2.61	60,426	75,200	135,626	2.15
CHINA RAIL CONS	9.52	9.07	18.59	0.04	47,416	49,166	96,582	0.13	3,559	3,435	6,994	0.11
CHINA RAILWAY	4.35	4.82	9.17	0.02	20,696	18,369	39,065	0.05	1,675	1,577	3,252	0.05
CHINA RES LAND	0.40	0.71	1.11	0.00	324	389	713	0.00	131	243	374	0.01
CHINA SHENHUA	85.28	204.41	289.69	0.55	298,124	288,230	586,354	0.79	14,319	18,609	32,928	0.52
CHINA TELECOM	50.80	47.01	97.82	0.19	121,484	122,650	244,134	0.33	7,933	17,224	25,157	0.40
CHINA OVERSEAS	392.35	605.56	997.91	1.91	336,515	378,699	715,214	0.96	17,663	17,702	35,365	0.56
CHINA UNICOM	110.62	138.43	249.05	0.48	140,129	147,067	287,196	0.39	14,906	24,746	39,652	0.63
CITIC	164.65	173.79	338.44	0.65	300,354	327,550	627,904	0.84	27,347	24,178	51,525	0.82
CLP HOLDINGS	16.85	31.90	48.75	0.09	33,297	55,899	89,196	0.12	1,919	2,810	4,729	0.07
CNOOC	263.63	537.03	800.66	1.53	804,382	909,650	1,714,032	2.30	77,024	106,430	183,454	2.90
CPIC	216.73	215.98	432.71	0.83	230,016	210,349	440,365	0.59	13,295	14,804	28,099	0.44
CSOP A50 ETF	1,143.13	949.85	2,092.97	4.00	611,553	552,523	1,164,076	1.56	78,729	59,937	138,666	2.19
DONGFENG GROUP	18.35	18.66	37.01	0.07	20,727	21,613	42,340	0.06	2,755	1,855	4,610	0.07
ESPRIT HOLDINGS	3.63	7.27	10.90	0.02	79,038	87,890	166,928	0.22	4,545	7,183	11,728	0.19
FIH	5.65	4.42	10.07	0.02	26,424	15,574	41,998	0.06	709	928	1,637	0.03
GALAXY ENT	1,536.23	2,061.13	3,597.36	6.87	806,212	991,479	1,797,691	2.41	30,251	28,223	58,474	0.93
GREATWALL MOTOR	89.03	104.93	193.96	0.37	157,339	193,071	350,410	0.47	11,050	14,379	25,429	0.40
GCL-POLY ENERGY	4.04	5.84	9.89	0.02	7,477	9,653	17,130	0.02	411	975	1,386	0.02
HAITONG SEC	7.87	5.46	13.33	0.03	4,073	2,429	6,502	0.01	1,205	753	1,958	0.03
HANG SENG BANK	11.69	16.15	27.84	0.05	64,422	81,804	146,226	0.20	6,840	6,052	12,892	0.20
HENDERSON LAND	91.15	58.39	149.54	0.29	55,511	49,402	104,913	0.14	2,426	2,192	4,618	0.07
HENGAN INT'L	10.36	18.94	29.31	0.06	13,501	21,819	35,320	0.05	525	920	1,445	0.02
HK & CHINA GAS	18.18	21.53	39.72	0.08	48,701	56,402	104,743	0.14	2,623	4,281	6,904	0.11
HKEX	1,644.07	1,341.33	2,985.40	5.71	3,707,696	3,541,332	7,249,028	9.72	214,941	272,071	487,012	7.71
HSBC HOLDINGS	618.66	1,146.54	1,765.20	3.37	1,274,656	1,474,833	2,749,489	3.69	100,579	164,355	264,934	4.19
HUANENG POWER	30.96	38.86	69.82	0.13	58,088	73,684	131,772	0.18	3,214	4,043	7,257	0.11
HUTCHISON	985.04	825.49	1,810.53	3.46	463,725	412,612	876,337	1.18	14,706	16,929	31,635	0.50
ICBC	289.53	425.80	715.33	1.37	2,495,809	2,340,781	4,836,590	6.49	274,237	307,156	581,393	9.20
JIANGXI COPPER	41.66	59.30	100.97	0.19	105,693	112,628	218,321	0.29	7,770	8,687	16,457	0.26
KINGSOFT	35.41	81.26	116.66	0.22	44,528	72,726	117,254	0.16	5,767	6,543	12,310	0.19
KUNLUN ENERGY	49.72	58.91	108.63	0.21	73,888	56,986	130,874	0.18	18,413	13,911	32,324	0.51
LENOVO GROUP	126.79	130.06	256.84	0.49	211,472	210,208	421,680	0.57	11,095	16,327	27,422	0.43
LI & FUNG	62.00	82.94	144.94	0.28	98,390							

Options contract value, volume and open interest by class, 2014 (continued)

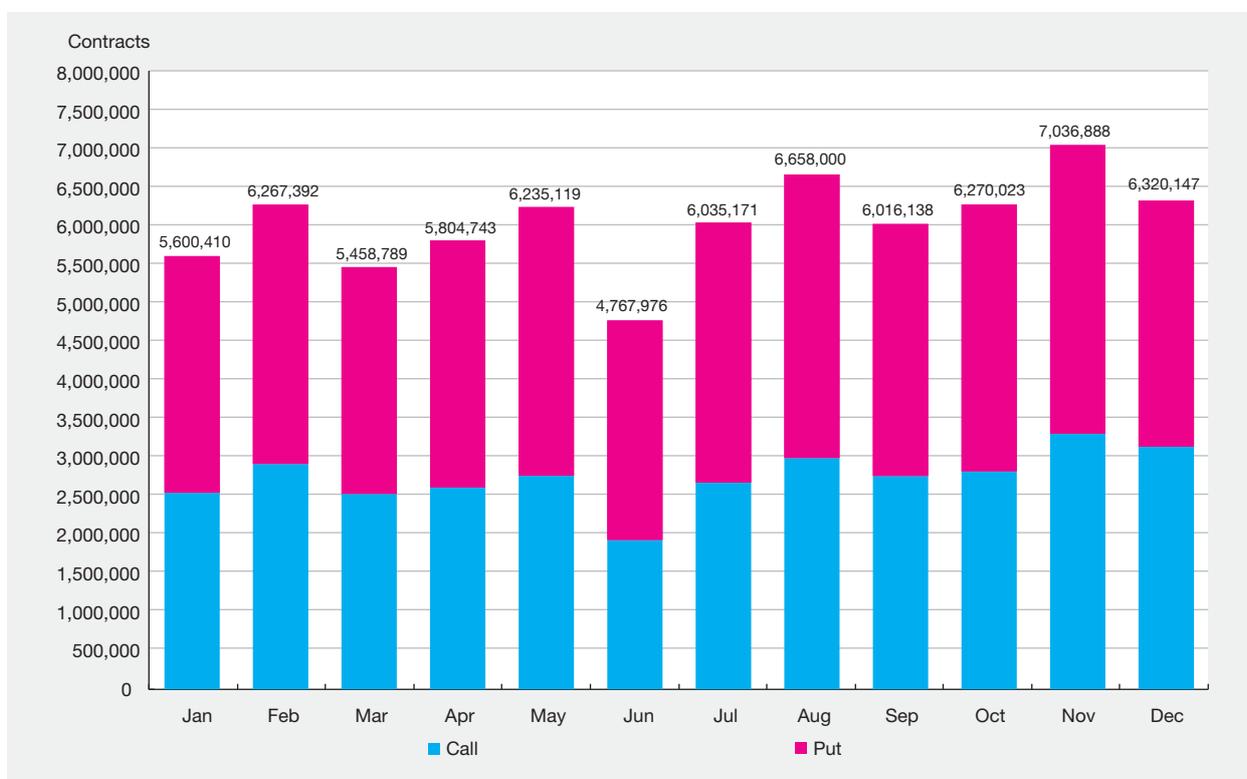
Class	Contract value (HK\$mil)				Contract volume (contracts)				Year-end open interest			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
TENCENT	5,038.51	4,692.07	9,730.58	18.60	5,594,033	5,435,444	11,029,477	14.80	330,704	258,023	588,727	9.32
TRACKER FUND	13.65	20.04	33.69	0.06	46,799	57,749	104,548	0.14	9,067	7,866	16,933	0.27
WANT WANT CHINA	9.63	11.83	21.46	0.04	28,130	38,678	66,808	0.09	778	2,171	2,949	0.05
WHARF HOLDINGS	67.59	119.54	187.13	0.36	54,882	74,872	129,754	0.17	2,328	3,995	6,323	0.10
X ISHARES A50	2,929.77	1,993.59	4,923.35	9.41	1,855,116	1,256,161	3,111,277	4.17	209,538	195,332	404,870	6.41
X WISECSI300ETF	1.10	3.35	4.45	0.01	2,422	1,648	4,070	0.01	91	83	174	0.00
YANZHOU COAL	71.88	102.73	174.61	0.33	154,816	119,645	274,461	0.37	13,328	13,148	26,476	0.42
ZIJIN MINING	8.55	15.98	24.54	0.05	50,000	49,907	99,907	0.13	6,466	3,217	9,683	0.15
Total	25,788.70	26,539.37	52,328.07	100.00	38,129,818	36,414,043	74,543,861	100.00	3,135,430	3,184,717	6,320,147	100.00

Note: Figures may not add up to total due to rounding.

Monthly stock options contract volume, 2014



Month-end stock options open interest, 2014



Gold futures – trading statistics, 2010 – 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010	248.0	5,642	23	85
2011	245.5	3,716	15	0
2012	245.5	2	0	0
2013	244.0	0	0	0
2014	247.0	0	0	0
2014	Jan	21.0	0	0
	Feb	19.0	0	0
	Mar	21.0	0	0
	Apr	20.0	0	0
	May	20.0	0	0
	Jun	20.0	0	0
	Jul	22.0	0	0
	Aug	21.0	0	0
	Sep	21.0	0	0
	Oct	21.0	0	0
	Nov	20.0	0	0
	Dec	21.0	0	0
2014	Highest (date)		0 –	0 –

London Aluminium Mini Futures – trading statistics, 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2014 *	21.0	1,644	78	270
2014	Dec	1,644	78	270
2014	Highest (date)	282 (01/12)		301 (22/12)

* Trading in London Aluminium Mini Futures commenced on 1 Dec 2014

London Zinc Mini Futures – trading statistics, 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2014 *	21.0	2,828	135	445
2014 Dec	21.0	2,828	135	445
2014 Highest (date)		543 (02/12)		450 (30/12)

* Trading in London Zinc Mini Futures commenced on 1 Dec 2014

London Copper Mini Futures – trading statistics, 2014

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2014 *	21.0	4,318	206	1,335
2014 Dec	21.0	4,318	206	1,335
2014 Highest (date)		770 (03/12)		1,412 (11/12)

* Trading in London Copper Mini Futures commenced on 1 Dec 2014

RMB Currency Futures – USD/CNH Futures – trading statistics, 2012 – 2014

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2012 *	70.0	20,277	290	3,673	
2013	244.0	138,708	568	18,701	
2014	247.0	205,049	830	9,747	
2014	Jan	21.0	16,262	774	22,636
	Feb	19.0	27,757	1,461	15,089
	Mar	21.0	31,479	1,499	9,495
	Apr	20.0	11,032	552	11,400
	May	20.0	11,302	565	11,105
	Jun	20.0	11,025	551	10,154
	Jul	22.0	13,903	632	10,516
	Aug	21.0	14,850	707	10,247
	Sep	21.0	14,090	671	11,580
	Oct	21.0	11,502	548	11,056
	Nov	20.0	14,848	742	10,897
	Dec	21.0	26,999	1,286	9,747
2014	Highest (date)		4,608 (09/12)		11,492 (05/12)

* Trading in RMB Currency Futures – USD/CNH Futures commenced on 17 Sep 2012

Record high of futures and options products (up to 31 December 2014)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
Hang Seng Index Futures	271,426	2013/06/25	198,789	2007/06/27
Mini Hang Seng Index Futures	86,812	2011/08/09	21,682	2011/06/17
H-shares Index Futures	389,031	2013/06/25	335,684	2014/12/24
Mini H-shares Index Futures	51,414	2014/12/05	16,436	2014/12/11
HSI Dividend Point Index Futures	3,000	2012/08/01	9,409	2013/12/30
HSCEI Dividend Point Index Futures	20,802	2012/05/24	95,673	2013/11/19
HSI Volatility Index Futures	65	2014/06/30	140	2012/05/16
Hang Seng Index Options	116,835	2011/08/05	560,087	2012/06/27
Mini Hang Seng Index Options	13,917	2012/05/18	32,651	2012/05/29
Flexible Hang Seng Index Options	4,730	2013/01/08	17,878	2012/11/02
H-shares Index Options	115,258	2014/12/05	1,560,676	2014/12/29
Flexible H-shares Index Options	5,770	2011/09/26	40,290	2014/12/29
CES China 120 Index Futures	2,086	2013/08/26	1,178	2014/05/27
IBOVESPA Futures	5	2012/05/21	5	2012/06/14
MICEX Index Futures	5	2012/05/17	6	2012/06/18
S&P BSE Sensex Index Futures	22	2012/04/17	25	2012/06/01
FTSE/JSE Top40 Futures	0	-	0	-
Stock Futures	27,966	2007/03/28	47,050	2013/03/27
Stock Options	865,463	2014/12/05	10,319,511	2014/12/29
3-Month HIBOR Futures ¹	10,514	2002/04/04	80,964	2001/11/15
1-Month HIBOR Futures ²	800	2001/01/09	3,250	2001/04/12
3-Year Exchange Fund Note Futures	250	2003/12/12	981	2002/02/18
RMB Currency Futures – USD/CNH Futures	6,318	2014/03/19	23,887	2014/02/14
Gold Futures	480	2008/10/20	403	2010/11/26
London Aluminium Mini Futures	282	2014/12/01	301	2014/12/22
London Zinc Mini Futures	543	2014/12/02	450	2014/12/30
London Copper Mini Futures	770	2014/12/03	1,412	2014/12/11

¹ The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

² The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

Futures of LME commodities – trading volumes, 2014

Futures	Volume (Lot)		YTD Diff
	2013	2014	
Aluminium High Grade	63,767,903	65,439,689	2.6%
Aluminium Alloy	521,980	275,957	-47.1%
Copper A Grade	40,486,017	38,811,609	-4.1%
Lead	12,931,067	12,872,940	-0.4%
Primary Nickel	13,678,490	18,079,099	32.2%
Tin	2,061,899	2,111,938	2.4%
Special High Grade Zinc	30,270,370	30,323,897	0.2%
North American Special Aluminium Alloy	573,770	770,651	34.3%
LMEX	–	–	–
Steel Mediterranean Billet	71,752	1,404	-98.0%
Cobalt	13,827	12,162	-12.0%
Molybdenum	512	382	-25.4%
LMEmini Copper A Grade	–	–	–
LMEmini Primary Aluminium	–	–	–
LMEmini Special High Grade Zinc	–	–	–
Swaps Primary Aluminium	5,565	8,932	60.5%
Swaps Aluminium Alloy	–	–	–
Swaps Copper	331	1,166	252.3%
Swaps Lead	123	817	564.2%
Swaps Nickel	74	96	29.7%
Swaps Tin	26	19	-26.9%
Swaps Zinc	815	1,558	91.2%
Swaps North American Special Aluminium	–	–	–
Gold	13,304	–	-100.0%
Silver	–	–	–
Total	164,397,825	168,712,316	2.6%

Sizes of lots:

25 tonnes: Aluminium, Copper, Lead and Zinc

20 tonnes: Aluminium Alloy and NASAAC

6 tonnes: Nickel and Molybdenum

5 tonnes: Tin

1 tonne: Cobalt

65 tonnes: Steel Billet

24.75 tonnes: PP and LL

5 tonnes: LMEmini Copper, Aluminium and Zinc

Options of LME commodities – trading volumes, 2014

Options	Volume (Lot)		YTD Diff
	2013	2014	
Aluminium High Grade	2,681,694	3,271,338	22.0%
Aluminium Alloy	–	–	–
Copper A Grade	2,408,984	2,003,739	-16.8%
Lead	216,518	220,491	1.8%
Primary Nickel	285,039	1,240,163	335.1%
Tin	13,227	7,010	-47.0%
Special High Grade Zinc	826,821	1,453,349	75.8%
North American Special Aluminium Alloy	370	7,640	1,964.9%
Total	6,432,653	8,203,730	27.5%

Sizes of lots:

- 25 tonnes: Aluminium, Copper, Lead and Zinc
- 20 tonnes: Aluminium Alloy and NASAAC
- 6 tonnes: Nickel and Molybdenum
- 5 tonnes: Tin
- 1 tonne: Cobalt
- 65 tonnes: Steel Billet
- 24.75 tonnes: PP and LL
- 5 tonnes: LMEmini Copper, Aluminium and Zinc

TAPOs of LME commodities – trading volumes, 2014

TAPOs	Volume (Lot)		YTD Diff
	2013	2014	
Aluminium High Grade	140,542	108,818	-22.6%
Aluminium Alloy	–	–	–
Copper A Grade	50,219	19,689	-60.8%
Lead	6,920	852	-87.7%
Primary Nickel	7,250	43,712	502.9%
Tin	–	–	–
Special High Grade Zinc	64,234	61,845	-3.7%
North American Special Aluminium Alloy	–	750	100.0%
Total	269,165	235,666	-12.4%

Sizes of lots:

- 25 tonnes: Aluminium, Copper, Lead and Zinc
- 20 tonnes: Aluminium Alloy and NASAAC
- 6 tonnes: Nickel and Molybdenum
- 5 tonnes: Tin
- 1 tonne: Cobalt
- 65 tonnes: Steel Billet
- 24.75 tonnes: PP and LL
- 5 tonnes: LMEmini Copper, Aluminium and Zinc

Futures and options of LME commodities – trading volumes, 2014

Futures, Options and TAPOs	Volume (Lot)		YTD Diff
	2013	2014	
Aluminium High Grade	66,590,139	68,819,845	3.3%
Aluminium Alloy	521,980	275,957	-47.1%
Copper A Grade	42,945,220	40,835,037	-4.9%
Lead	13,154,505	13,094,283	-0.5%
Primary Nickel	13,970,779	19,362,974	38.6%
Tin	2,075,126	2,118,948	2.1%
Special High Grade Zinc	31,161,425	31,839,091	2.2%
North American Special Aluminium Alloy	574,140	779,041	35.7%
LMEX	–	–	–
Steel Mediterranean Billet	71,752	1,404	-98.0%
Cobalt	13,827	12,162	-12.0%
Molybdenum	512	382	-25.4%
LMEmini Copper A Grade	–	–	–
LMEmini Primary Aluminium	–	–	–
LMEmini Special High Grade Zinc	–	–	–
Swaps Primary Aluminium	5,565	8,932	60.5%
Swaps Aluminium Alloy	–	–	–
Swaps Copper	331	1,166	252.3%
Swaps Lead	123	817	564.2%
Swaps Nickel	74	96	29.7%
Swaps Tin	26	19	-26.9%
Swaps Zinc	815	1,558	91.2%
Swaps North American Special Aluminium	–	–	–
Gold	13,304	–	-100.0%
Silver	–	–	–
Grand Totals	171,099,643	177,151,712	3.5%

Sizes of lots:

25 tonnes: Aluminium, Copper, Lead and Zinc
 20 tonnes: Aluminium Alloy and NASAAC
 6 tonnes: Nickel and Molybdenum
 5 tonnes: Tin
 1 tonne: Cobalt
 65 tonnes: Steel Billet
 24.75 tonnes: PP and LL
 5 tonnes: LMEmini Copper, Aluminium and Zinc

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year/month	No. of CCASS trades	% of Exchange trades	No. of shares (mil)	% of market turnover	Value of trades (HK\$ mil)	% of market turnover
HKD						
2010	778,854	100.00	140,527	100.00	69,115	100.00
2011	872,796	100.00	162,217	100.00	69,688	100.00
2012	775,182	100.00	137,513	100.00	53,769	100.00
2013	939,557	100.00	141,132	100.00	62,433	100.00
2014	1,059,850	100.00	138,775	100.00	69,213	100.00
2014 Jan	1,104,777	100.00	139,588	100.00	67,891	100.00
Feb	1,075,660	100.00	135,280	100.00	65,267	100.00
Mar	1,175,964	100.00	140,057	100.00	71,270	100.00
Apr	1,052,206	100.00	124,809	100.00	65,345	100.00
May	895,802	100.00	109,985	100.00	55,443	100.00
Jun	837,280	100.00	103,948	100.00	51,378	100.00
Jul	972,717	100.00	128,514	100.00	64,666	100.00
Aug	1,042,539	100.00	151,928	100.00	72,028	100.00
Sep	1,099,215	100.00	164,272	100.00	75,757	100.00
Oct	1,050,748	100.00	148,573	100.00	66,703	100.00
Nov	1,145,328	100.00	156,204	100.00	77,637	100.00
Dec	1,256,911	100.00	159,431	100.00	95,725	100.00
			(Thousand)	(US\$ Thousand)		
USD						
2010	23	91.57	7	78.73	824	97.33
2011	25	88.32	5	70.04	677	93.64
2012	28	98.97	5	94.59	520	99.74
2013	47	100.00	14	100.00	897	100.00
2014	14	94.33	16	94.33	486	94.33
2014 Jan	22	100.00	6	100.00	720	100.00
Feb	14	100.00	17	100.00	609	100.00
Mar	12	100.00	13	100.00	187	100.00
Apr	9	100.00	5	100.00	397	100.00
May	9	100.00	4	100.00	311	100.00
Jun	15	100.00	4	100.00	193	100.00
Jul	10	100.00	7	100.00	283	100.00
Aug	40	100.00	92	100.00	482	100.00
Sep	12	100.00	11	100.00	264	100.00
Oct	15	100.00	6	100.00	290	100.00
Nov	6	100.00	16	100.00	1,744	100.00
Dec	9	100.00	13	100.00	406	100.00
			(Thousand)	(RMB Thousand)		
RMB						
2011	833	100.00	11,203	100.00	48,122	100.00
2012	532	100.00	7,504	100.00	63,519	99.99
2013	850	100.00	14,176	100.00	96,352	100.00
2014	772	100.00	13,596	100.00	109,246	100.00
2014 Jan	595	100.00	6,200	100.00	46,032	100.00
Feb	731	100.00	10,300	100.00	76,476	100.00
Mar	640	100.00	8,147	100.00	63,753	100.00
Apr	740	100.00	11,764	100.00	72,172	100.00
May	454	100.00	5,181	100.00	39,100	100.00
Jun	396	100.00	6,144	100.00	49,078	100.00
Jul	704	100.00	15,584	100.00	106,628	100.00
Aug	760	100.00	20,160	100.00	157,053	100.00
Sep	768	100.00	12,391	100.00	93,519	100.00
Oct	838	100.00	16,900	100.00	104,275	100.00
Nov	1,078	100.00	17,399	100.00	140,900	100.00
Dec	1,541	100.00	31,912	100.00	352,502	100.00

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average Daily Settlement Instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year/month	Number of SIs	SIs by shares HKD	SIs by value (mil)
HKD			
2010	80,149	47,203	187,865
2011	83,760	47,722	210,714
2012	73,156	44,241	178,175
2013	82,849	54,585	215,816
2014	88,601	54,876	204,477
2014 Jan	88,714	51,596	203,173
Feb	88,838	49,238	187,221
Mar	91,867	53,348	209,593
Apr	90,536	45,668	194,991
May	80,843	58,174	195,000
Jun	81,984	51,564	183,174
Jul	84,037	51,182	170,385
Aug	88,554	55,618	198,972
Sep	91,378	66,926	251,133
Oct	86,154	56,153	186,895
Nov	90,338	60,495	205,981
Dec	100,867	58,174	271,684
		(Thousand)	(US\$ Thousand)
USD			
2010	14	36	4,266
2011	14	35	4,381
2012	30	3,165	10,984
2013	89	16,478	23,343
2014	78	12,430	19,510
2014 Jan	95	7,634	23,941
Feb	109	15,858	32,008
Mar	98	12,712	20,397
Apr	77	25,489	37,503
May	73	9,492	16,989
Jun	62	6,332	11,076
Jul	63	5,140	13,142
Aug	90	20,992	21,129
Sep	67	12,667	21,739
Oct	69	19,187	16,953
Nov	61	7,703	8,517
Dec	69	5,731	11,472
		(Thousand)	(RMB Thousand)
RMB			
2011	59	80,777	368,420
2012	61	27,179	225,854
2013	117	46,817	568,373
2014	112	95,573	770,025
2014 Jan	102	31,792	249,598
Feb	106	68,840	722,282
Mar	115	76,354	666,626
Apr	96	70,576	478,706
May	88	55,309	388,850
Jun	85	98,536	671,894
Jul	108	98,219	727,802
Aug	125	115,459	659,853
Sep	111	91,541	678,238
Oct	103	108,754	918,709
Nov	127	128,991	1,097,318
Dec	177	205,930	2,054,306

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year/month	Number of ISIs	ISIs by shares (mil)	ISIs by Value (HK\$ mil)
HKD			
2010	574	117	271
2011	468	124	258
2012	342	99	224
2013	401	124	205
2014	393	268	226
2014 Jan	441	72	195
2014 Feb	373	135	239
2014 Mar	435	369	463
2014 Apr	361	201	195
2014 May	324	35	124
2014 Jun	318	272	247
2014 Jul	406	67	164
2014 Aug	415	56	137
2014 Sep	398	85	198
2014 Oct	362	73	272
2014 Nov	387	86	204
2014 Dec	501	1,886	272
		(Thousand)	(US\$ Thousand)
USD			
2010	0	2	213
2011	0	3	383
2012	0	4	413
2013	0	8	1,002
2014	0	0	13
2014 Jan	0	0	0
2014 Feb	0	1	9
2014 Mar	0	0	0
2014 Apr	0	0	0
2014 May	0	0	0
2014 Jun	0	0	10
2014 Jul	0	0	0
2014 Aug	0	2	3
2014 Sep	0	0	1
2014 Oct	0	0	0
2014 Nov	1	1	100
2014 Dec	0	0	33
		(Thousand)	(RMB Thousand)
RMB			
2011	1	85	345
2012	1	27	121
2013	1	107	512
2014	1	85	347
2014 Jan	1	17	145
2014 Feb	1	76	293
2014 Mar	1	50	264
2014 Apr	0	8	44
2014 May	1	18	115
2014 Jun	1	75	282
2014 Jul	1	329	1,180
2014 Aug	1	28	149
2014 Sep	1	14	162
2014 Oct	1	256	868
2014 Nov	1	22	101
2014 Dec	1	103	483

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily settlement efficiency of CNS stock positions

Year \ month	S day	Settlement Efficiency (%)	S + 1 day
HKD			
2010	99.88		99.99
2011	99.88		99.99
2012	99.91		99.99
2013	99.91		99.99
2014	99.90		99.99
2014 Jan	99.89		99.99
2014 Feb	99.92		99.99
2014 Mar	99.93		99.99
2014 Apr	99.93		99.99
2014 May	99.89		99.99
2014 Jun	99.89		99.99
2014 Jul	99.91		99.99
2014 Aug	99.92		99.99
2014 Sep	99.88		99.99
2014 Oct	99.88		99.99
2014 Nov	99.86		99.99
2014 Dec	99.90		99.99
USD			
2010	98.86		99.75
2011	96.94		99.11
2012	97.01		99.05
2013	98.74		99.80
2014	99.91		99.99
2014 Jan	100.00		100.00
2014 Feb	96.84		100.00
2014 Mar	100.00		100.00
2014 Apr	100.00		100.00
2014 May	100.00		100.00
2014 Jun	87.10		91.73
2014 Jul	100.00		100.00
2014 Aug	100.00		100.00
2014 Sep	100.00		100.00
2014 Oct	97.86		97.86
2014 Nov	97.18		100.00
2014 Dec	100.00		100.00
RMB			
2011	99.89		100.00
2012	99.91		100.00
2013	99.87		100.00
2014	99.68		100.00
2014 Jan	100.00		100.00
2014 Feb	100.00		100.00
2014 Mar	99.48		100.00
2014 Apr	100.00		100.00
2014 May	100.00		100.00
2014 Jun	99.83		100.00
2014 Jul	99.82		100.00
2014 Aug	100.00		100.00
2014 Sep	99.87		100.00
2014 Oct	98.92		100.00
2014 Nov	99.85		100.00
2014 Dec	98.47		99.95

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year/month	No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (mil)	Netting efficiency (%)
HKD				
2010	71,384	95.17	27,266	89.98
2011	66,348	95.94	22,628	92.77
2012	57,279	96.01	17,527	93.40
2013	68,591	96.04	21,438	92.09
2014	76,069	96.14	24,039	91.20
2014 Jan	76,348	96.29	23,495	91.43
2014 Feb	76,716	96.17	23,078	91.37
2014 Mar	79,813	96.38	25,295	90.86
2014 Apr	72,233	96.31	21,710	91.22
2014 May	65,049	96.10	18,937	91.32
2014 Jun	65,184	95.85	19,292	90.61
2014 Jul	75,672	95.85	23,297	90.89
2014 Aug	81,421	95.87	24,755	91.72
2014 Sep	81,218	96.06	27,628	91.49
2014 Oct	74,958	96.18	23,929	91.87
2014 Nov	81,960	96.18	27,695	90.99
2014 Dec	81,387	96.47	28,899	90.65
		(%)	(Thousand)	(%)
USD				
2010	7	67.38	12	7.96
2011	7	65.98	9	7.91
2012	7	69.42	9	6.96
2013	8	69.55	20	9.05
2014	6	47.80	18	4.91
2014 Jan	6	56.11	5	9.69
2014 Feb	7	59.78	28	10.26
2014 Mar	7	51.03	24	2.22
2014 Apr	5	41.31	8	1.95
2014 May	4	42.87	8	5.17
2014 Jun	5	62.63	5	1.38
2014 Jul	6	51.57	13	3.78
2014 Aug	14	50.58	74	11.59
2014 Sep	8	48.74	18	2.81
2014 Oct	5	56.16	11	5.06
2014 Nov	5	41.50	8	2.05
2014 Dec	7	41.66	19	4.98
		(%)	(Thousand)	(%)
RMB				
2011	41	96.02	14,164	21.17
2012	55	92.63	8,230	26.98
2013	122	91.40	12,165	47.09
2014	120	90.32	15,428	39.08
2014 Jan	118	88.99	7,688	37.82
2014 Feb	120	90.21	15,366	26.40
2014 Mar	123	89.24	9,736	37.48
2014 Apr	107	91.30	16,426	31.28
2014 May	93	87.81	4,718	50.89
2014 Jun	97	86.44	7,266	40.85
2014 Jul	124	89.41	20,744	34.56
2014 Aug	123	91.11	20,495	46.88
2014 Sep	118	91.26	14,706	38.85
2014 Oct	116	91.71	17,979	35.04
2014 Nov	139	92.52	21,064	36.86
2014 Dec	166	93.73	28,108	51.19

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily netting efficiency of CNS Trades – in money obligations

Year/month	Money obligations arising from novated contracts (HK\$mil)	Money obligations after netting (HK\$mil)	Funds netting efficiency (%)
HKD			
2010	130,333	14,796	88.65
2011	130,748	14,335	89.04
2012	98,727	10,731	89.13
2013	115,615	12,554	89.14
2014	129,521	12,559	90.30
2014 Jan	132,026	12,520	90.52
2014 Feb	121,796	12,565	89.68
2014 Mar	132,605	12,523	90.56
2014 Apr	120,802	12,727	89.46
2014 May	101,793	10,874	89.32
2014 Jun	94,009	9,036	90.39
2014 Jul	119,617	12,388	89.64
2014 Aug	135,428	10,995	0.92
2014 Sep	142,146	12,906	0.91
2014 Oct	124,937	12,691	0.90
2014 Nov	144,756	13,469	0.91
2014 Dec	181,485	17,825	0.90
	(US\$ Thousand)	(US\$ Thousand)	(%)
USD			
2010	1,623	1,490	8.14
2011	1,330	1,117	16.04
2012	908	825	9.18
2013	1,224	1,112	9.16
2014	526	478	9.09
2014 Jan	522	485	7.09
2014 Feb	1,161	966	16.80
2014 Mar	371	351	5.44
2014 Apr	512	508	0.64
2014 May	602	551	8.55
2014 Jun	383	376	1.98
2014 Jul	465	440	5.40
2014 Aug	637	459	27.95
2014 Sep	316	293	7.28
2014 Oct	556	543	2.45
2014 Nov	594	579	2.52
2014 Dec	227	217	4.42
	(RMB Thousand)	(RMB Thousand)	(%)
RMB			
2011	82,987	60,306	27.33
2012	108,944	66,780	38.70
2013	184,712	85,427	53.75
2014	213,395	100,073	53.10
2014 Jan	95,640	50,279	47.43
2014 Feb	151,189	96,549	36.14
2014 Mar	126,114	61,153	51.51
2014 Apr	139,876	74,502	46.74
2014 May	77,556	29,204	62.34
2014 Jun	97,361	53,668	44.88
2014 Jul	211,115	119,248	43.51
2014 Aug	309,640	132,417	57.24
2014 Sep	182,394	83,662	54.13
2014 Oct	186,511	96,100	48.47
2014 Nov	276,336	124,166	55.07
2014 Dec	732,535	288,609	60.60

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year/month	No. of transactions	No. of shares (mil)	Value of transactions (HK\$mil)
HKD			
2010	1,635	3,386	6,216
2011	1,066	2,256	4,607
2012	795	1,440	3,456
2013	912	1,697	3,752
2014	886	2,360	5,134
2014 Jan	917	1,753	3,721
Feb	799	1,317	3,784
Mar	936	2,412	4,898
Apr	804	1,976	3,226
May	776	1,838	4,127
Jun	748	2,719	4,501
Jul	972	2,423	4,370
Aug	977	31,310	6,930
Sep	851	2,173	5,321
Oct	770	2,215	5,474
Nov	846	2,099	3,455
Dec	1,202	4,122	11,461
		(Thousand)	(US\$ Thousand)
USD			
2010	–	–	–
2011	–	–	–
2012	–	–	–
2013	0	3	3
2014	0	1	2
2014 Jan	0	1	1
Feb	0	1	1
Mar	0	1	1
Apr	0	5	5
May	0	0	0
Jun	0	0	0
Jul	0	0	0
Aug	1	9	14
Sep	0	2	3
Oct	0	0	0
Nov	0	0	0
Dec	0	0	0

Footnote: No transaction in US dollars handled for the years from 2010 to 2012

		(Thousand)	(RMB Thousand)
RMB			
2011	6	11,428	54,259
2012	2	576	1,928
2013	2	63	249
2014	1	1,055	3,451
2014 Jan	2	496	71
Feb	2	35	131
Mar	1	2,414	8,376
Apr	1	182	626
May	2	2,522	8,575
Jun	1	489	1,647
Jul	2	27	95
Aug	1	180	619
Sep	1	969	3,294
Oct	1	3,754	12,741
Nov	2	1,532	5,073
Dec	1	32	110

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Securities under CCASS custody (balances at the end of each month)

Year/month	No. of shares (mil)	% of issued shares of admitted securities	Market value (HK\$mil)	% of market capitalisation of admitted securities
All currencies in HKD equivalent				
2010	3,834,082	71.80	11,294,451	49.57
2011	3,694,236	70.17	9,599,910	50.23
2012	3,663,662	70.30	12,330,062	51.73
2013	3,955,158	70.87	13,878,914	52.68
2014	4,450,067	71.29	14,911,899	54.09
2014 Jan	3,952,203	70.34	13,264,083	52.29
Feb	3,997,750	70.16	13,701,891	52.50
Mar	4,058,318	70.36	13,423,170	52.82
Apr	4,059,827	70.42	13,225,795	52.45
May	4,082,652	70.62	13,692,091	50.72
Jun	4,100,739	70.42	13,911,387	50.86
Jul	4,125,201	70.57	14,894,894	53.02
Aug	4,219,411	71.09	14,984,016	53.07
Sep	4,262,877	71.01	14,144,849	52.56
Oct	4,265,623	70.63	14,698,763	52.96
Nov	4,301,581	70.54	14,879,153	53.14
Dec	4,450,067	71.29	14,911,899	54.09

Footnote: No. of shares and market value of securities in non-HKD currency have been included.

	(Thousand)		(US\$ Thousand)	
USD				
2010	19,692	100.00	2,412,786	100.00
2011	21,051	100.00	2,630,840	100.00
2012	31,963	100.00	3,323,798	100.00
2013	32,691	94.64	3,120,699	99.96
2014	32,518	95.26	3,060,914	99.92
2014 Jan	32,462	94.66	2,979,955	99.95
Feb	32,572	94.61	3,050,203	99.96
Mar	32,608	94.58	3,090,303	99.95
Apr	31,878	94.63	3,006,865	99.94
May	31,568	94.58	3,006,403	99.95
Jun	31,623	94.60	3,038,055	99.95
Jul	31,818	94.61	3,042,999	99.95
Aug	32,240	95.20	3,082,442	99.92
Sep	32,610	95.28	3,075,983	99.93
Oct	32,420	95.25	3,092,068	99.93
Nov	32,592	95.28	3,122,738	99.93
Dec	32,518	95.26	3,060,914	99.92

	(Thousand)		(RMB Thousand)	
RMB				
2011	2,281,308	45.53	8,121,456	45.53
2012	4,046,204	40.55	33,143,882	45.66
2013	3,962,224	30.40	18,929,002	17.20
2014	3,723,315	24.15	20,565,267	11.80
2014 Jan	3,960,529	29.81	20,451,070	18.69
Feb	4,013,493	29.47	20,678,165	18.38
Mar	4,092,293	29.53	19,920,681	17.49
Apr	4,119,797	31.32	20,469,231	18.76
May	3,957,288	28.89	19,670,761	15.34
Jun	4,042,208	29.61	20,375,028	15.86
Jul	4,466,863	31.40	24,348,314	18.24
Aug	4,705,687	30.18	24,750,199	17.11
Sep	4,260,036	24.87	22,356,579	14.05
Oct	4,140,770	24.54	20,861,230	13.99
Nov	3,971,145	24.34	20,361,182	12.55
Dec	3,723,315	24.15	20,565,267	11.80

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Statistics on Exchange participants

Stock Exchange

Year/quarter	No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2010	538 (960)	485 (904)	31 (34)	22 (22)
2011	555 (980)	498 (916)	36 (43)	21 (21)
2012	571 (996)	511 (928)	39 (47)	21 (21)
2013	563 (978)	504 (912)	39 (46)	20 (20)
2014	558 (969)	500 (904)	40 (47)	18 (18)
2014 Q1	561 (975)	503 (909)	38 (46)	20 (20)
2014 Q2	558 (970)	501 (907)	37 (43)	20 (20)
2014 Q3	556 (967)	499 (904)	37 (43)	20 (20)
2014 Q4	558 (969)	500 (904)	40 (47)	18 (18)

Futures Exchange

Year/quarter	No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2010	221 (246)	179 (202)	–	42 (44)
2011	228 (252)	182 (204)	–	46 (48)
2012	234 (258)	185 (207)	–	49 (51)
2013	232 (256)	179 (201)	–	53 (55)
2014	231 (255)	179 (201)	–	52 (54)
2014 Q1	231 (255)	179 (201)	–	52 (54)
2014 Q2	229 (253)	178 (200)	–	51 (53)
2014 Q3	229 (253)	177 (199)	–	52 (54)
2014 Q4	231 (255)	179 (201)	–	52 (54)

Notes:

- The figures in brackets are the number of trading rights held.
- An Exchange Participant is an individual or corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

Distribution of market participants' market shares, 2010 – 2014

Year/Month	Category A (Position 1 to 14)		Category B (Position 15 to 65)		Category C (Position > 65)		Total turnover (HK\$bil)	Average daily turnover (HK\$mil)
	Range (%)	Total (%)	Range (%)	Total (%)	Range (%)	Total (%)		
2010	5.99 – 2.36	51.08	2.28 – 0.25	36.15	0.24 – 0.00	12.77	17,319.79	69,557.39
2011	7.52 – 2.47	53.63	2.27 – 0.22	35.00	0.22 – 0.00	11.37	17,303.74	70,340.41
2012	7.68 – 2.60	57.72	2.28 – 0.21	31.83	0.21 – 0.00	10.45	13,424.01	54,348.22
2013	7.20 – 2.11	55.97	1.87 – 0.22	32.54	0.21 – 0.00	11.49	15,402.14	63,123.52
2014	7.20 – 2.04	54.23	1.94 – 0.23	34.16	0.22 – 0.00	11.61	17,318.63	70,115.91
2014 Jan	6.84 – 1.99	54.30	1.95 – 0.23	33.69	0.23 – 0.00	12.01	1,439.03	68,525.20
2014 Feb	7.69 – 2.13	54.95	2.05 – 0.23	33.58	0.22 – 0.00	11.47	1,252.49	65,920.43
2014 Mar	7.08 – 2.18	54.34	2.06 – 0.22	33.93	0.22 – 0.00	11.73	1,516.66	72,221.96
2014 Apr	7.53 – 2.29	56.74	2.25 – 0.21	32.96	0.20 – 0.00	10.30	1,320.84	66,041.75
2014 May	7.14 – 2.12	57.28	2.11 – 0.22	32.50	0.21 – 0.00	10.22	1,124.01	56,200.50
2014 Jun	7.22 – 2.33	56.58	2.15 – 0.23	32.55	0.22 – 0.00	10.87	1,045.50	52,274.75
2014 Jul	7.18 – 2.11	54.92	1.91 – 0.26	33.48	0.25 – 0.00	11.60	1,435.87	65,266.90
2014 Aug	7.41 – 2.10	53.55	1.95 – 0.25	34.95	0.24 – 0.00	11.50	1,528.86	72,802.94
2014 Sep	7.22 – 2.07	53.44	1.99 – 0.25	35.05	0.24 – 0.00	11.51	1,612.53	76,787.11
2014 Oct	7.45 – 2.08	53.46	1.99 – 0.25	35.26	0.24 – 0.00	11.28	1,412.51	67,262.26
2014 Nov	7.10 – 2.27	54.00	1.82 – 0.26	34.28	0.24 – 0.00	11.72	1,570.42	78,520.86
2014 Dec	6.83 – 1.96	52.62	1.93 – 0.25	36.61	0.23 – 0.00	10.77	2,059.91	98,090.89

Note: The report includes all Exchange Participant firms that had paid Transaction Levy, Investor Compensation Levy (if applicable) and Trading Fee to the Exchange.

HKCC Participants

Year/quarter	Clearing Participants	General Clearing Participants
2010	153	9
2011	156	10
2012	158	9
2013	152	9
2014	152	9
2014 Q1	151	9
2014 Q2	150	9
2014 Q3	150	9
2014 Q4	152	9

SEOCH Participants

Year/quarter	Direct Clearing Participants	General Clearing Participants
2010	56	6
2011	59	7
2012	63	9
2013	62	9
2014	65	9
2014 Q1	62	9
2014 Q2	63	9
2014 Q3	63	9
2014 Q4	65	9

HKSCC Participants

Year/quarter	Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2010	468	7	44
2011	482	8	47
2012	493	9	46
2013	485	9	43
2014	481	10	42
2014 Q1	486	9	43
2014 Q2	481	9	43
2014 Q3	480	9	43
2014 Q4	481	10	42

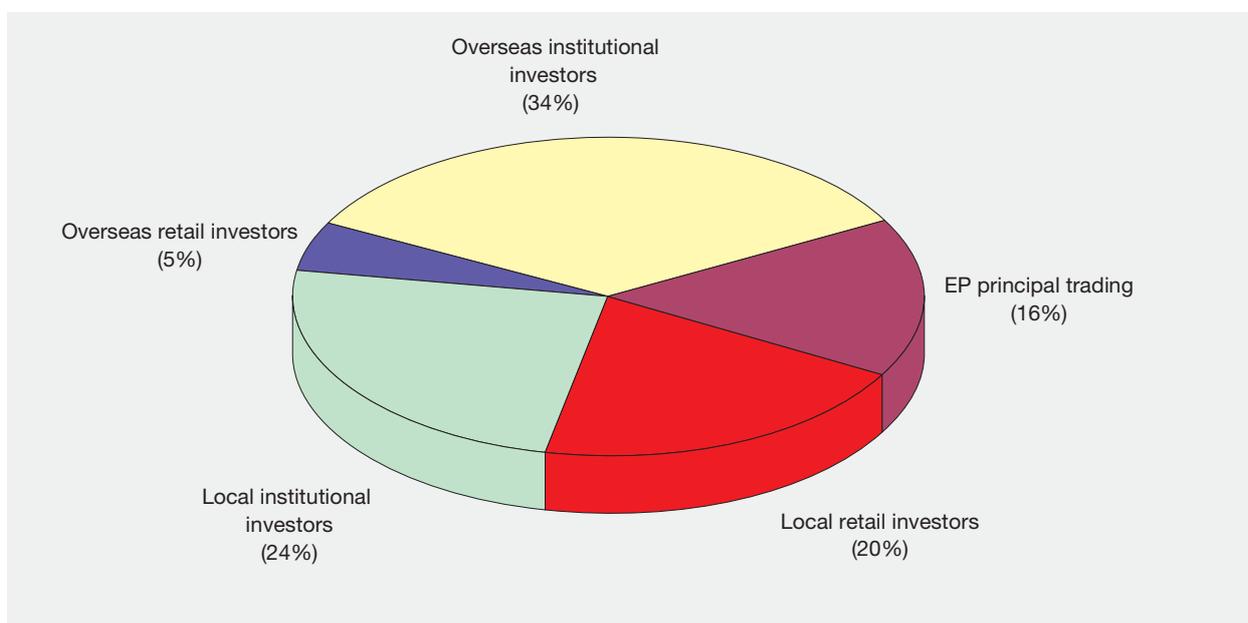
* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledge Participants.

Cash market – distribution of market trading by investor type

The Cash Market Transaction Survey 2013/14 covered trading in the HKEx securities market during the 12-month period from October 2013 to September 2014. The survey found that local investors regained dominance as the main contributors to total market turnover value in 2013/14, with a contribution of 45% in aggregate – 20% from local retail investors and 24% from institutional investors. Overseas investors contributed 39% of the total market turnover value, of which 34% came from institutions and 5% from individuals. The contribution from Exchange Participants' principal trading was 16%.

In 2013/14, retail online trading (i.e. trading where orders are entered directly by retail investors and channelled to the brokers via electronic media such as the Internet) contributed 38% of total retail turnover value or 9% of total market turnover value¹.

Distribution of cash market trading value by investor type (Oct 2013 – Sep 2014)



Note: Numbers may not add up to 100% due to rounding.

Distribution of cash market trading value by investor type (2011/12 – 2013/14)

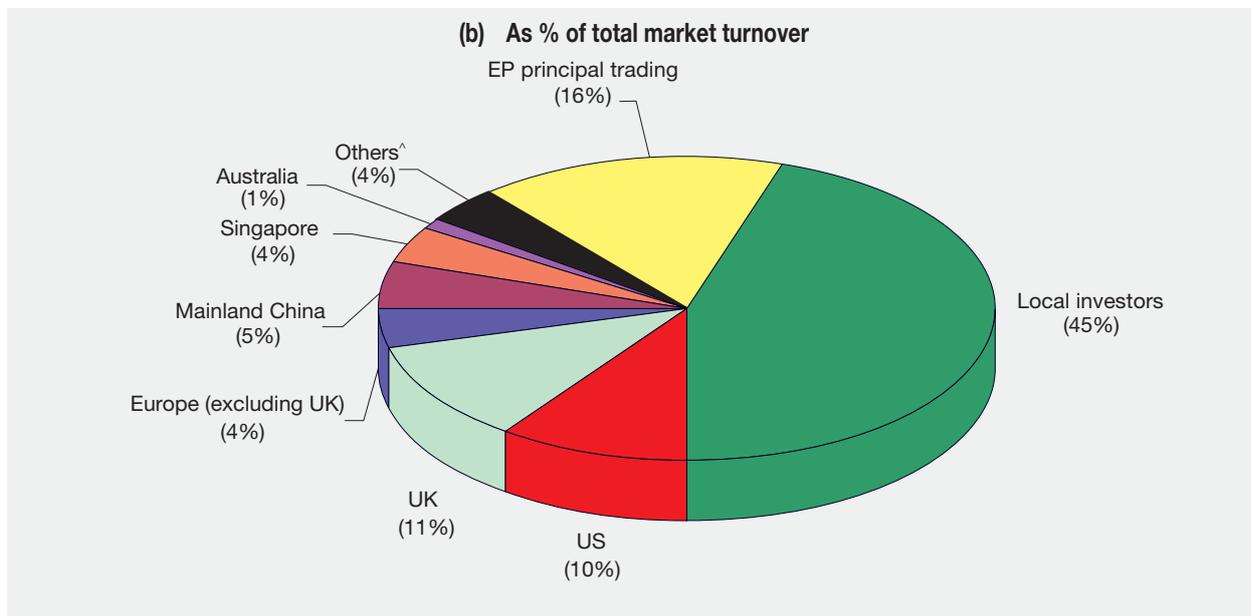
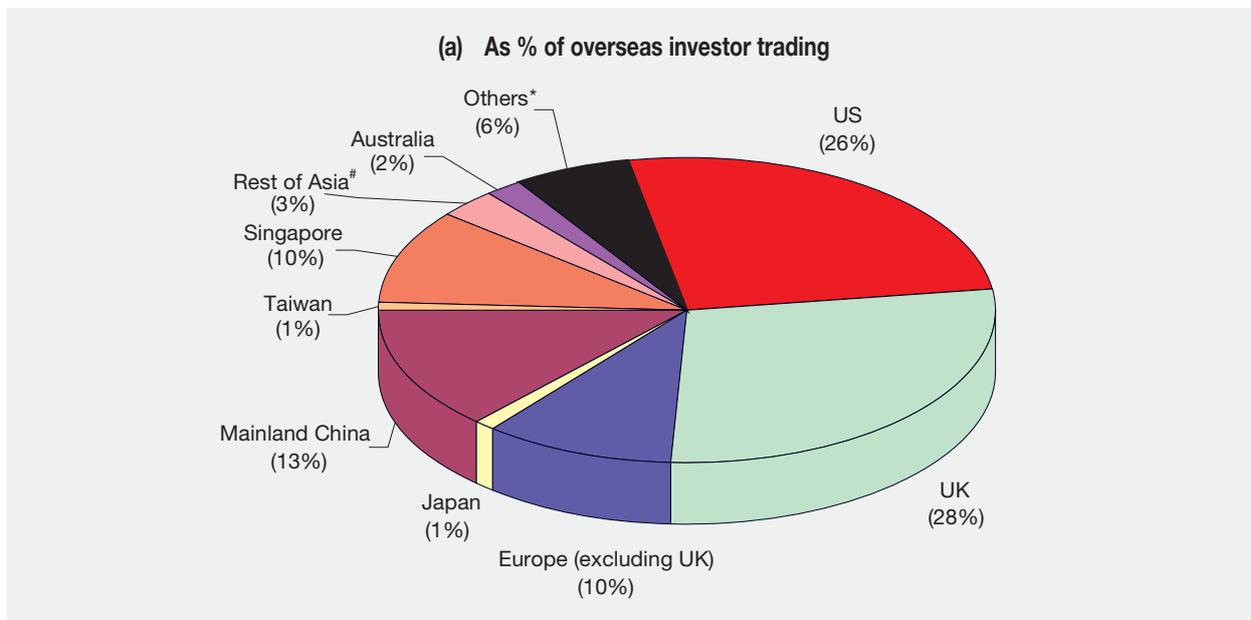
	2011/12	2012/13	2013/14
Exchange Participants' principal trading	15%	16%	16%
Local investor trading	38%	38%	45%
Retail	17%	18%	20%
Institutional	21%	20%	24%
Overseas investor trading	46%	46%	39%
Retail	4%	5%	5%
Institutional	42%	41%	34%
Total	100%	100%	100%

Note: Numbers may not add up to sub-totals or to 100% due to rounding.

¹ Since survey respondents, i.e. the Exchange Participants (EPs), might not be able to identify retail online trading channelled via banks (which might be regarded as their institutional clients), the level of retail online trading might be under-estimated.

In 2013/14, UK investors were the largest contributors among overseas investors, with a contribution of 28% of total overseas investor trading and 11% of total market turnover. They were followed by US investors who contributed 26% to total overseas investor trading and 10% of total market turnover. Investors from Mainland China were the third largest contributors, at 13% of total overseas investor trading and 5% of total market turnover. The aggregate contribution of Asian investors was 29% of total overseas investor trading and 11% of total market turnover. Among Asian investors, Singaporean investors were the second largest contributor of trading, contributing 10% of total overseas investors trading and 4% of total market turnover.

Distribution of overseas investor trading value in cash market by origin (Oct 2013 – Sep 2014)



Reported origins in “Rest of Asia” were Bangladesh, Brunei, Cambodia, India, Indonesia, Kazakhstan, Laos, Macau, Malaysia, Mongolia, Nepal, Pakistan, Philippines, South Korea, Sri Lanka, Thailand and Vietnam.

* Reported origins in “Others” included Anguilla, Bahamas, Bahrain, Belize, Bermuda, Bolivia, Brazil, British Virgin Islands, Canada, Cayman Islands, Cook Islands, Costa Rica, Curacao, Cyprus, Dominica, Gambia, Guatemala, Israel, Kenya, Kuwait, Liberia, Marshall Islands, Mauritius, Mexico, New Caledonia, New Zealand, Oman, Panama, Paraguay, Peru, Qatar, Russia, Samoa, Saudi Arabia, Seychelles, South Africa, St. Kitts & Nevis, Trinidad & Tobago, Turkey, United Arab Emirates, Uruguay, Vanuatu and Venezuela.

^ Comprising investors from Japan, Taiwan, Rest of Asia and Rest of the World.

Note: Numbers may not add up to 100% due to rounding.

Distribution of overseas investor trading value in cash market by origin (2011/12 – 2013/14)

	2011/12	2012/13	2013/14
US	32%	28%	26%
UK & Europe	37%	39%	38%
<i>UK</i>	25%	26%	28%
<i>Europe (excluding UK)</i>	12%	14%	10%
Asia	21%	24%	29%
<i>Japan</i>	2%	1%	1%
<i>Mainland China</i>	8%	11%	13%
<i>Taiwan</i>	1%	1%	1%
<i>Singapore</i>	7%	6%	10%
<i>Rest of Asia</i>	3%	4%	3%
Australia	6%	5%	2%
Others	3%	4%	6%
Total	100%	100%	100%

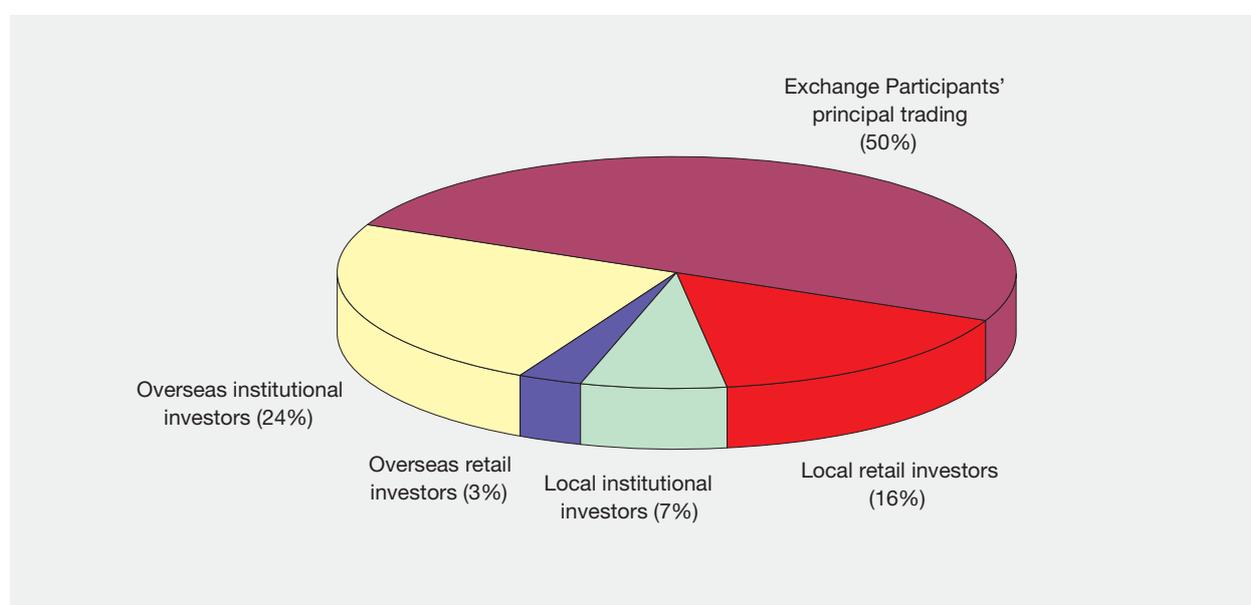
Note: Numbers may not add up to sub-totals or to 100% due to rounding.

Derivatives market – distribution of market trading by investor type

The Derivatives Market Transaction Survey 2013/14 covered trading in the HKEx derivatives (futures and options) market, which comprises predominantly financial futures and options, during the 12-month period from July 2013 to June 2014. The survey findings revealed that Exchange Participants' principal trading contributed 50% of the total contract volume; overseas investors (mainly institutions) contributed 27% and local investors contributed 23%. For stock options, which accounted for 48% of the total market volume during the period, the majority of turnover was Exchange Participants' principal trading (71% of the product's total volume). Exchange Participants' principal trading was also the major contributor to trading in Hang Seng Index (HSI) Options, Mini-HSI options and H-shares Index (HHI) Options (56%, 56% and 49% respectively). For HSI Futures and HHI Futures, overseas institutional investors were the major contributors (51% and 58% respectively). For Mini-HSI futures and Mini-HHI futures, local retail investors were the major contributors (41% each).

In 2013/14, retail online trading (i.e. trading where orders are entered directly by retail investors and channelled to the brokers via electronic media such as the Internet) contributed 67% of total retail investor trading or 13% of total market turnover.

Distribution of derivatives market trading volume by investor type (Jul 2013 – Jun 2014)



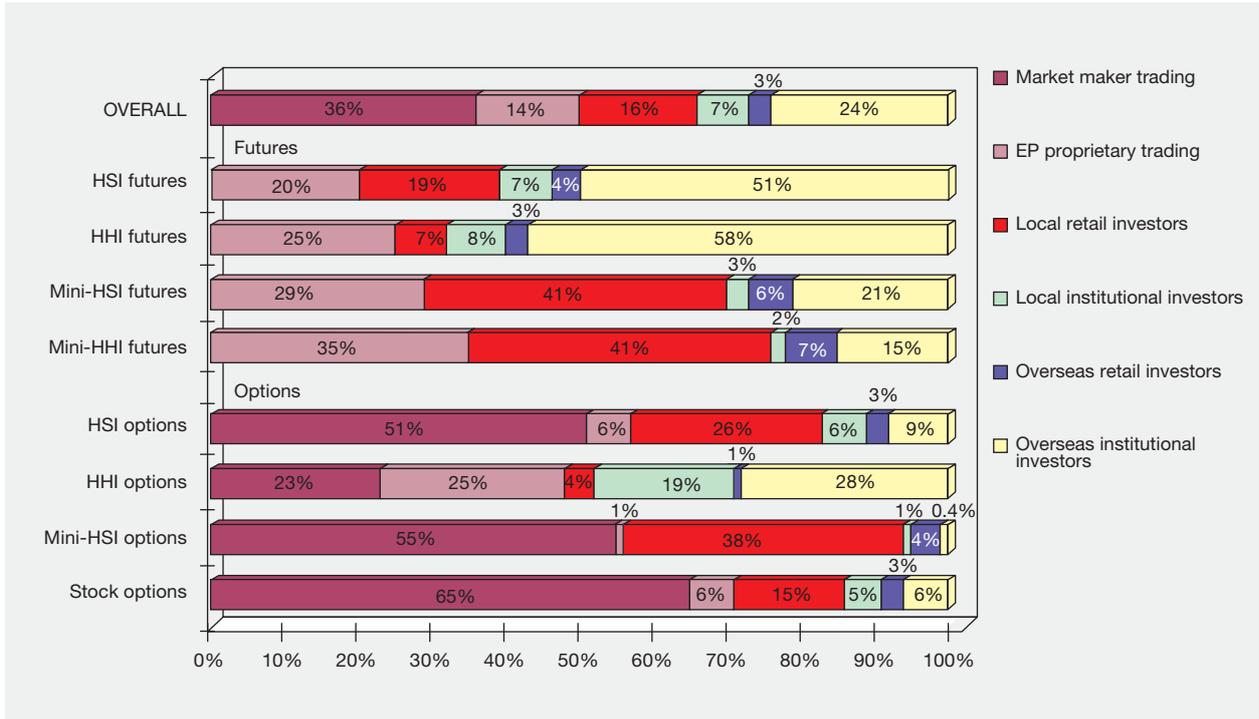
Distribution of derivatives market trading volume by investor type (2011/12 – 2013/14)

	2011/12	2012/13	2013/14
Exchange Participants' principal trading*	50%	49%	50%
Local investor trading	24%	23%	23%
Retail	17%	18%	16%
Institutional	7%	5%	7%
Overseas investor trading	26%	27%	27%
Retail	3%	3%	3%
Institutional	22%	24%	24%
Total	100%	100%	100%

* Exchange Participants' principal trading comprises market maker trading and proprietary trading.

Note: Numbers may not add up to sub-totals or 100% due to rounding.

Distribution of derivatives trading volume by investor type for overall market and each product (Jul 2013 – Jun 2014)

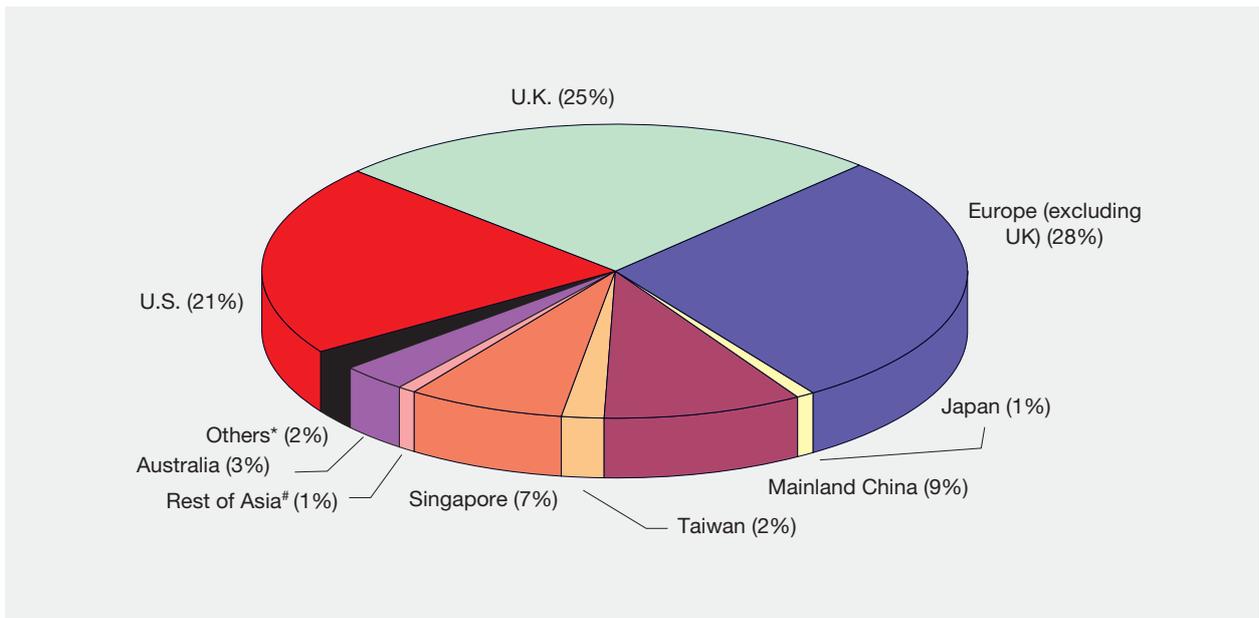


Notes:

- (1) Market maker trading and EP proprietary trading are components of EP principal trading.
- (2) Numbers may not add up to 100% due to rounding.

Among overseas investors, Continental European investors were the largest contributors to overseas investor trading (28%). They were followed by UK investors (25%) and US investors (21%). The aggregate contribution from investors in Asia outside Hong Kong was 20% of total overseas investor trading, mainly from Mainland China and Singapore (9% and 7% respectively).

Distribution of overseas investor trading volume in derivatives by origin (Jul 2013 – Jun 2014)



Reported origins in "Rest of Asia" are India, Indonesia, Macau, Malaysia, Philippines, South Korea and Thailand.

* Reported origins in "Others" are Africa, Bermuda, British Virgin Islands, Canada, Cayman Islands, Cook Islands, Middle East and Samoa.

Note: Numbers may not add up to 100% due to rounding.

Distribution of overseas investor trading volume in derivatives by origin (2011/12 – 2013/14)

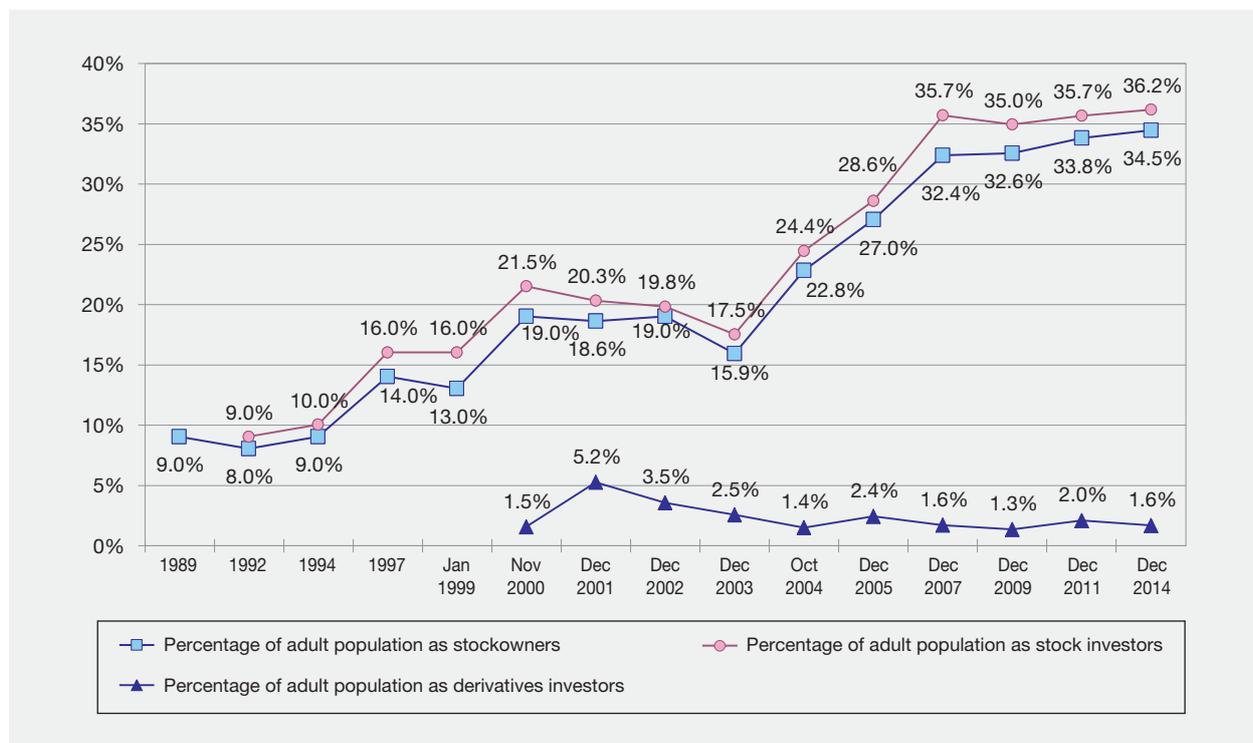
	2011/12	2012/13	2013/14
US	16%	18%	21%
UK & Europe	52%	55%	54%
<i>UK</i>	28%	28%	25%
<i>Europe (excluding UK)</i>	24%	27%	28%
Asia	28%	23%	20%
<i>Japan</i>	2%	2%	1%
<i>Mainland China</i>	12%	10%	9%
<i>Taiwan</i>	1%	2%	2%
<i>Singapore</i>	11%	8%	7%
<i>Rest of Asia</i>	1%	1%	1%
Australia	3%	2%	3%
Others	1%	2%	2%
Total	100%	100%	100%

Note: Numbers may not add up to sub-totals or 100% due to rounding.

Retail investors

According to the findings of the HKEx Retail Investor Survey 2014¹, 34.5% of the adult population (about 2.1 million individuals) were stockowners²; 36.2% (about 2.3 million individuals) were stock investors³; 3.6% (about 223,000 individuals) were warrant or Callable Bull/Bear Contract (CBBC) investors⁴; 1.6% (about 100,000 individuals) were derivatives investors^{5, 6}; and 36.4% (about 2.3 million individuals) were retail investors who were either stock investor or derivatives investor or both.

Retail participation rates in stocks and derivatives on HKEx



Projected number of retail investors by type

('000)

	Dec 2005	Dec 2007	Dec 2009	Dec 2011	Dec 2014
Retail investors	1,631	2,024	2,069	2,154	2,265
Stockowners	1,531	1,834	1,917	2,035	2,147
Stock investors	1,618	2,022	2,059	2,147	2,253
Warrant/CBBC investors	NA	NA	249	208	223
Derivatives investors	135	93	75	122	100

NA: Not available

Note: Projected numbers were based on survey findings and are subject to errors.

¹ Fieldwork of the survey was carried out during November and December 2014. Annotation of "Dec 2014" is made in tables and charts for easy reference only.

² Stockowner: An individual who was, at the time of the interview, holding stocks — including shares, warrants, Callable Bull/Bear Contracts (CBBCs), Exchange Traded Funds (ETFs), Real Estate Investment Trusts (REITs) and other cash market products — listed or traded on HKEx.

³ Stock investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded stocks — including shares, warrants, CBBCs, ETFs, REITs and other cash market products — listed or traded on HKEx.

⁴ Warrant/CBBC investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded warrants or CBBCs on HKEx.

⁵ Derivatives investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded derivatives products (futures and options) on HKEx.

⁶ The achieved sample of derivatives investors in the survey was rather small. The percentages on derivatives investors are subject to relatively large error.

The typical Hong Kong retail stock investor is a 47 year-old person with tertiary or above education and a monthly personal income of about HK\$22,500. The typical Hong Kong retail derivatives investor is a 42 year-old person with tertiary or above education and a monthly personal income of about HK\$35,000.

The median number of stock transactions by stock investors during the 12 months preceding the interview was 6, the same level as in 2011 (including those with zero stock transactions in the period). The average value per stock transaction by stock investors had a median of about HK\$50,000, up from HK\$40,000 in 2011. The median implied total stock transaction value per stock investor⁷ during the 12-month period was about HK\$300,000, up from HK\$200,000 in 2011.

For warrant/CBBC investors, the median number of warrant/CBBC transactions in the 12-month period was 6 (including those with zero warrant/CBBC transactions in the period), down from 10 in 2011. The average transaction value had a median of HK\$13,000, down from HK\$20,000 in 2011. The median implied total warrant/CBBC transaction value per warrant/CBBC investor⁸ during the 12-month period was about HK\$100,000, down from HK\$300,000 in 2011.

The median number of derivatives transactions by derivatives investors during the 12-month period was 6, down from 12 in 2011. The average number of contracts per derivatives transaction by derivatives investors had a median of 2, the same level as in 2011. The median implied total contract volume per derivatives investor⁹ during the 12-month period was 48, up from 40 in 2011¹⁰.

The survey also found that 73% of stock traders¹¹ and 84% of derivatives traders¹² were online traders, i.e. investors who had traded the respective products on HKEx during the 12-month period, either always or sometimes, through electronic media such as the Internet.

⁷ The implied total stock transaction value for the 12-month period for a respondent was deduced from the respondent's average value per transaction and his/her frequency of trading in stocks during the 12-month period. The figure counts both buying and selling transactions and is subject to estimation error. In calculating the average figure per stock investor, stock investors who did not trade stocks during the 12-month period are included.

⁸ The implied total warrant/CBBC transaction value for the 12-month period for a respondent was deduced from the respondent's average value per transaction and his/her frequency of trading in the products during the 12-month period. The figure counts both buying and selling transactions and is subject to estimation error. In calculating the average figure per warrant/CBBC investor, warrant/CBBC investors who did not trade in the products during the 12-month period are included.

⁹ The implied total contract volume during the 12-month period for a respondent was deduced from the respondent's number of derivatives transactions and his/her average number of contracts per derivatives transaction. The figure counts both buying and selling transactions and is subject to estimation error.

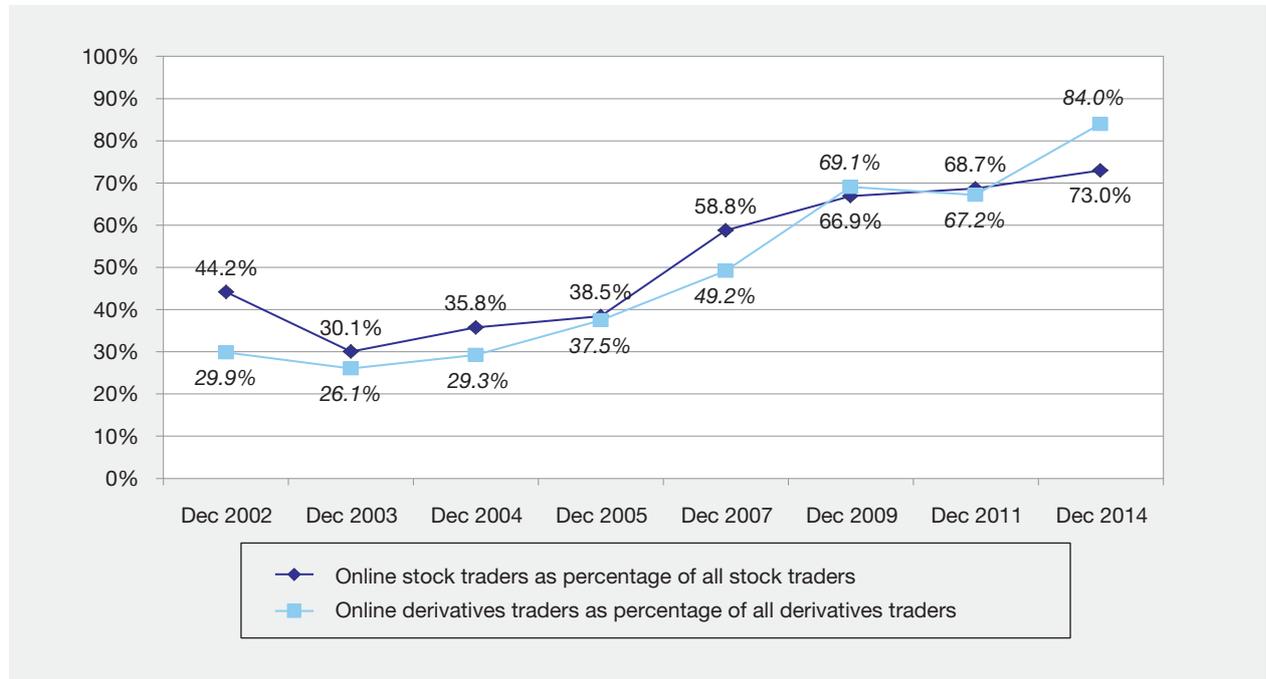
¹⁰ In median terms, the implied total contract volume per investor could be higher in 2014 than in 2011 while the frequency of trading was lower and average transaction size remained similar because the trading behaviour of derivatives investors is not a normal distribution. A larger proportion of investors with a low trading frequency but a big deal size could result in this observation.

¹¹ Stock trader: An individual who, in the 12 months preceding the interview, had traded stocks — including shares, warrants, CBBCs, ETFs, REITs and other cash market products — listed or traded on HKEx.

¹² Reflecting the nature of derivatives trading, all derivatives investors had traded derivatives in the 12 months preceding the interview, i.e. all derivatives investors are derivatives traders.

Online stock traders tended to trade stocks more frequently than non-online stock traders — they had a median of 10 stock transactions in the 12-month period versus 6 for the non-online stock traders. Similarly, online derivatives traders tended to trade derivatives more frequently than non-online derivatives traders — a median of 10 derivatives transactions for online derivatives traders versus 4 transactions for non-online derivatives traders.

Proportions of stock/derivatives traders as online traders



Among the stock traders, 24% traded mainly through broker firms and 75% mainly through banks (about 1% used the service of broker firms and banks to a similar extent). Among the derivatives traders, 72% traded mainly through broker firms and 28% mainly through banks.

HONG KONG SECURITIES AND DERIVATIVES MARKETS HISTORICAL EVENTS

- 1891 “The Association of Stockbrokers in Hong Kong”, the first formal stock exchange in Hong Kong was formed.
- 1914 The name of the Association of Stockbrokers in Hong Kong was changed to “The Hong Kong Stock Exchange”.
- 1921 A second stock exchange, “The Hong Kong Stockbrokers’ Association”, was incorporated.
- 1947 The Hong Kong Stock Exchange and the Hong Kong Stockbrokers’ Association were merged to form a joint exchange under the name of “The Hong Kong Stock Exchange Ltd”.
- 1969 Dec The Far East Exchange Ltd commenced business on 17 December.
- 1970 Feb The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
- 1971 Mar The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
- 1972 Jan The Kowloon Stock Exchange Ltd commenced business on 5 January.
- 1973 Jan The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
- A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
- Feb The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
- The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
- 1974 Feb The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
- Apr The Federation of Share Registrars was established.
- Jul The Hong Kong Federation of Stock Exchange was established.
- Aug Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.
- Oct Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.

- 1975 Aug The Securities Commission approved the Code on Takeovers and Mergers.
- Dec The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares – other than on a pro-rata basis to existing shareholders – without the prior approval of shareholders.
- 1976 The Commodities Trading Ordinance was passed by the Legislative Council.
- Aug Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
- Dec On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
- 1977 May Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
- On 9 May, The HKCE commenced the trading of its first commodity product – cotton futures.
- Nov On 15 November, The HKCE commenced trading of sugar futures.
- Dec An Insider Dealing Tribunal was set up.
- 1978 Mar Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
- 1979 Aug A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
- Nov The HKCE commenced trading of soybean futures.
- 1980 Jul The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
- Aug The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
On 19 August, The HKCE commenced trading of gold futures.
- 1981 Jan The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
- Jul Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
- Oct The first committee members of the SEHK were elected.
- 1982 A Financial Futures Working Party was formed to study the introduction of financial futures.
- 1983 Mar The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.

- 1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
- 1985 May On 7 May, the HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).
- Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.
- The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the SEHK was removed.
- 1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
- The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
- The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
- The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
- Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
- Apr The SEHK commenced trading on 2 April.
- May On 6 May, HKFE commenced the trading of its first financial product – Hang Seng Index (HSI) Futures.
- Sep The SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
- Oct The grand opening of the SEHK took place on 6 October.
- 1987 Apr On 8 April, the SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.
- Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.
- The General Committee of the SEHK unanimously resolved that trading on the SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.
- The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.

- The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK\$4 billion of which HK\$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK\$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.
- Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK\$500,000 to HK\$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.
- 1988 Jan The new Management Sub-committee of the SEHK was formed on 2 January to take up the duties of the General Committee.
- Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.
- Jul An amendment to the Articles of Association of the SEHK, which allowed the SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.
- Sep The SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.
- Oct The first 22-member Council of the SEHK was formed on 18 October.
- Nov The first Nominating Committee of the SEHK was formed on 7 November.
- 1989 The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, the HKFE Clearing Corporation Limited (HKCC).
- Feb The All Ordinaries Index was launched by the SEHK on 1 February.
- Mar The SEHK announced the formation of the HKSCC, a company limited by guarantee.
- Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.
- May The new Securities and Futures Commission (SFC) was formally established on 1 May.
- Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
- Oct The Council of the SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
- Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.

- 1990 HKFE introduced its first interest rate futures – futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).
- Feb The minimum brokerage for each securities transaction traded on the SEHK was raised from HK\$25 to HK\$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
- Apr On 2 April, the Council of the SEHK resolved that Council members on the Board of the HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of the HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
- Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
- 1991 HKFE introduced three futures based on sub-indices of the HSI.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
- On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
- Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.
- Nov The Council of the SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total – 18 broker members, 11 lay members and the chief executives of the SEHK and the HKSCC.
- On 25 November, the SFC and the SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the SEHK effective 31 December.
- 1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.
- May On 11 May, changes made to the Listing Rules of the SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.
- Jun The settlement period for members of the SEHK was changed from T+1 to T+2 on 8 June.
- On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.

- Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.
- The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK\$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK\$2 million.
- On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.
- On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.
- 1993 Mar On 5 March, HKFE introduced its first options product – Hang Seng Index Options.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).
- Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the SEHK signed a Memorandum of Regulatory Co-operation in Beijing.
- Jul Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
- Aug On 16 August, the Special Levy payable on every transaction recorded on the SEHK and the HKFE was suspended.
- Nov On 1 November, the SEHK launched the Automatic Order Matching and Execution System (AMS).
- 1994 Jan On 3 January, the SEHK launched a pilot scheme for regulated short-selling of shares.
- Mar Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.
- Jul With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.
- 1995 Mar On 31 March, HKFE introduced its first single-stock product – stock futures on two stocks.
- Sep On 8 September, the SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
- Nov On 3 November, HKFE introduced its first currency futures – Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.
- 1996 Jan On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.

- 1997 Sep HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.
- Nov On 28 November, the Hong Kong Securities Institute was incorporated.
- 1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
- May On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
- 1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
- Sep HKFE suspended the trading of gold futures, the last exchange-traded commodity product.
- Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
- On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
- 2000 Mar On 6 March, the SEHK, the HKFE and the HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEx). Mr Charles Lee was the first Chairman of HKEx. Mr Kwong Ki-chi was appointed the Chief Executive of HKEx.
- On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
- Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
- May On 31 May, the SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.
- Jun On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system – Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.
- On 27 June, shares of the HKEx were listed by introduction on the SEHK with a stock code of “388”.
- Sep On 15 September, HKEx announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.
- Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEx's iIPO.
- On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.

- 2001 Feb On 23 February, HKEx introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
- Aug On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.
- Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).
- On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.
- 2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from \$1.0 to \$0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from \$0.5 to \$0.1 per contract per side.
- On 25 March, HKEx introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.
- May On 16 May, HKEx introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.
- Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.
- Sep On 16 September, HKMA announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.
- Dec On 9 December, HKEx introduced the second phase of CCASS/3.
- 2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.
- Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.
- May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEx.
- On 26 May, HKEx introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.
- Nov On 17 November, HKEx opened its first Mainland representative office in Beijing.
- Dec On 8 December, HKEx introduced H-shares Index futures.

- 2004 Apr On 6 April, HKEx introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEx markets.
- Jun On 14 June, HKEx introduced H-shares Index options.
- 2005 May On 23 May, HKEx introduced FTSE/Xinhua China 25 Index futures and options.
- Oct On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds \$1.4 billion, and subsequently reinstated when the NAV of the ICF falls below \$1 billion.
- Nov On 2 November, legislation was passed to abolish the estate duty.
- On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on the SEHK.
- 2006 Jan On 16 January, renovated Trading Hall opened for trading.
- Apr On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEx.
- Jun On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
- On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on the SEHK.
- Jul On 3 July, HKEx introduced Bank of China Ltd Futures and Options.
- On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
- Oct On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US\$19.1 billion) in world stock market history and the funds raised by H shares was also an all-time high.
- Dec On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.
- 2007 Jan On 1 January, the Securities & Futures Commission's (SFC's) Guidelines for Sponsors and Compliance Advisers became effective, marking the launch of a new sponsor regulatory regime.
- Mar On 12 March, the first batch of 19 derivative warrants on the iShares FTSE/Xinhua A50 China tracker were listed on HKEx. This was the first time that derivative warrants were issued on Mainland A-share assets.
- Apr On 10 April, the SFC entered into a Memorandum of Understanding (MOU) with the China Banking Regulatory Commission (CBRC) for cooperation and information sharing with respect to Hong Kong licensed intermediaries who provide services to Mainland commercial banks conducting overseas wealth management business on behalf of their Mainland clients. This served as regulatory support for the Mainland Qualified Domestic Institutional Investors (QDII) business (banking side) in Hong Kong.

- Jun On 25 June, Phase 1 of the HKEx Electronic Disclosure Project commenced and lasted for 6 months. Under this Phase, it is optional for a Main Board-listed issuer to make a paid newspaper announcement as long as it publishes a notification in newspapers about the full announcement posted on its own website and the HKEx website. Those without their own websites must publish the full announcements in newspapers as well as on the HKEx website.
- Dec On 11 December, the Hong Kong Monetary Authority (HKMA) launched the electronic trading platform (ETP) for Exchange Fund Bills and Notes (EFBNs). The ETP provides greater flexibility to facilitate trading in EFBNs.
- On 25 December, Phase 2 of the HKEx Electronic Disclosure Project commenced. Under Phase 2, Main Board issuers publishing announcements on their own websites are no longer required to publish notifications in newspapers. After 6 months, all issuers must have their own websites on which they must publish their announcements.
- 2008 Feb On 4 February, the HKExnews.com.hk website was launched. HKExnews is a dedicated website which mainly disseminates issuer information generated directly by the listed issuers and/or their major shareholders and directors as well as regulatory information generated by HKEx about listed companies.
- Mar On 31 March, HKEx launched the Mini-Hang Seng China Enterprises Index (Mini H-shares Index) futures.
- Apr On 7 April, HKEx introduced 5-digit stock codes in its securities market.
- May On 26 May, the closing auction session for HKEx's securities market commenced. The closing prices of securities are determined by an auction mechanism similar to that already in place in the pre-opening session. The trading hours of the securities market on a normal trading day as well as a half-day trading day are extended by 10 minutes.
- Jul On 1 July, the revised Listing Rules of the Growth Enterprise Market (GEM) and the Main Board of HKEx relating to the development of GEM became effective. GEM was re-positioned as a second board and a stepping stone towards the Main Board. To encourage listing transfers from GEM to the Main Board, the transfer process was streamlined and the initial listing fees for such transfers were halved.
- On 31 July, the first gold Exchange Traded Fund (ETF) commenced trading on the Main Board of HKEx.
- Oct On 20 October, HKEx reintroduced trading in gold futures on its Hong Kong Futures Exchange (HKFE) which had formerly listed the product in the 1990s.
- 2009 Mar On 23 March, in light of the price volatility during the Closing Auction Session (CAS) since its introduction on 26 May 2008, the CAS in the securities market was suspended to maintain public confidence in the orderliness, fairness and transparency of the market.
- Apr On 1 April, the Listing Rules amendments on the revised blackout period for share trading by listed company directors came into effect. The blackout period before the announcement of annual results was extended to 60 days instead of the originally proposed 4 months. The blackout period before interim results announcement was not extended.
- Nov On 18 November, the first 4 A-share industry sector ETFs in Hong Kong were listed on the SEHK.

- Dec On 18 December, HKEx published the consultation conclusions on accelerating rights issues and open offers. The minimum notice period for book closure for rights issues would be reduced to six business days and that for open offers would remain unchanged.
- On 29 December, HKEx published its consultation conclusions on CER Futures in which HKEx considers that there is no imminent need for a CER futures market in Hong Kong.
- 2010 Apr On 26 April, Hong Kong Securities Clearing Co Ltd (HKSCC) admitted over-the-counter (OTC) structured products authorised by the SFC into the Central Clearing and Settlement System (CCASS) of HKEx's securities market, thereby broadening asset classes in its eligible securities.
- Jun On 3 June, new Listing Rules for mineral companies came into effect to ensure the provision of material, relevant and reliable information about these companies to investors, and to align with global standards.
- Jul On 19 July, the People's Bank of China (PBOC) and the HKMA signed a supplementary Memorandum of Co-operation on the expansion of the RMB trade settlement scheme. On the same day, the PBOC and Bank of China (Hong Kong) Ltd (the RMB clearing bank in Hong Kong) signed a revised settlement agreement which allows any corporation, including financial institutions, in Hong Kong to open RMB bank accounts. These agreements are major steps towards the launch of RMB investment products in Hong Kong.
- Oct On 1 October, a 25% reduction in the SFC's levy payable in respect of trading in securities, futures and options contracts took effect.
- On 22 October, Asian Development Bank's (ADB) RMB1.2 billion 10-year bond was listed as a selectively marketed security on the SEHK. It was the first RMB-denominated bond listed and traded in RMB on the SEHK.
- On 29 October, AIA Group Ltd was listed on the SEHK. Its IPO, raising HK\$159.1 billion, was the largest in Hong Kong's market history and the third largest in the world. On the same day, options on AIA were introduced for trading in the stock options market.
- Dec On 8 December, the Hong Kong depositary receipts (HDRs) of Vale S.A., a Brazilian mining company, was listed on the SEHK as the first HDR listed in Hong Kong.
- On 15 December, amendments to the Listing Rules came into effect for HKEx to adopt a framework for accepting Mainland accounting and auditing standards and Mainland audit firms for Mainland-incorporated companies listed in Hong Kong. The framework also provides reciprocally for the use of Hong Kong financial reporting standards and Hong Kong audit firms by Hong Kong companies listed in the Mainland.
- 2011 Mar On 7 March, HKEx extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.
- Apr On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.
- May On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).

- Jun On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC.
- On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.
- On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorized funds and investment-linked assurance schemes marketed in Hong Kong.
- Jul On 25 July, HKEx implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS) to reduce the overnight credit risks faced by Hong Kong Securities Clearing Co Ltd (HKSCC) and its participants.
- On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK\$10 billion were listed on the SEHK.
- Aug On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included: (i) introducing Mainland exchange-traded funds (ETFs) on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between Hong Kong and the Mainland; and (iii) the RMB Qualified Foreign Institutional Investor (RQFII) scheme.
- Oct On 24 October, HKEx's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.
- On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.
- Nov On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.
- On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.
- Dec On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).
- On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.
- 2012 Feb On 20 February, HKEx launched the HSI Volatility Index (VHSI) futures.
- Mar On 5 March, HKEx further extended the trading hours of the securities market by 30 minutes, with the lunch break shortened to one hour (12:00-13:00). The trading hours of the derivatives market were adjusted accordingly.
- On 30 March, HKEx and four other founding members of the BRICS Exchange Alliance – BM&FBOVESPSA, MICEX-RTS, BSE Ltd and Johannesburg Stock Exchange (JSE) – began cross-listing of benchmark equity index derivatives on each other's trading platforms.

- Jun On 18 June, after market consultation, the Securities and Futures (Short Position Reporting) Rules took effect. The SFC began to publish on a weekly basis the aggregated short positions of specified shares on 7 September.
- Jul On 17 July, the ChinaAMC CSI 300 Index ETF was listed as the first RQFII A-share ETF on the SEHK, the first physical ETF outside Mainland China and the first A-share ETF outside Mainland China to trade in RMB.
- Aug From 1 August, the HKMA allowed banks in Hong Kong to open RMB accounts for non-Hong Kong residents and offer them a full range of RMB services to further promote the development of offshore RMB businesses in Hong Kong.
- On 6 August, HKEx extended the coverage of its RMB Equity Trading Support Facility (TSF) beyond RMB-traded shares to equity-related ETFs and real estate investment trusts (REITs) traded in RMB.
- Sep On 17 September, HKEx launched RMB currency futures, the first exchange-traded deliverable RMB futures in the world.
- Oct On 12 October, the Harvest MSCI China A Index ETF was listed as the first dual-counter (DC) security on the SEHK, tradable both in RMB and in Hong Kong dollar.
- On 22 October, the E Fund HSCEI ETF and ChinaAMC HSI ETF were listed on the Shanghai Stock Exchange (SHSE) and Shenzhen Stock Exchange (SZSE) respectively as the first cross-border (Mainland China and Hong Kong) ETFs in the Mainland market.
- On 29 October, the RMB-traded shares of Hopewell Highway Infrastructure Ltd were listed on the SEHK by way of placing — the first RMB-traded equity security outside Mainland China.
- On 30 October, China Exchanges Services Company Ltd (CESC), a joint venture (JV) formed by HKEx, SHSE and SZSE for the development of financial products and related services, officially commenced operations. It was formed pursuant to a JV agreement signed in June in the year.
- Nov On 5 November, HKEx implemented risk management reform measures for its clearing houses. The measures include: (1) introducing a standard margin system and a Dynamic Guarantee Fund (DGF) at Hong Kong Securities Clearing Co Ltd (HKSCC); and (2) revising certain assumptions in stress testing and collateral assumptions of the HKEx clearing houses.
- On 30 November, the SEHK published consultation conclusions on changes to the Listing Rules, effective 1 January 2013, to complement the introduction of a statutory obligation for listed companies to disclose inside information.
- Dec On 6 December, HKEx completed its acquisition of the entire issued share capital of LME Holdings Ltd (LMEH) after obtaining approval from LMEH shareholders in July and lately from the UK Financial Services Authority (FSA).
- On 19 December, the H shares of China International Marine Containers (Group) Ltd were listed on the SEHK as the first case in which Mainland-listed B shares are converted into H shares to list in Hong Kong.
- 2013 Apr On 8 April, HKEx launched After-Hours Futures Trading (AHFT, from 5:00 pm to 11:00 pm) for the HSI and the H-shares Index futures.

- Aug On 12 August, HKEx launched CES China 120 Index futures, the world's first exchange-listed derivatives product to provide exposure to leading China stocks from the Mainland and Hong Kong markets through a single exchange-traded futures contract.
- Nov On 25 November, OTC Clearing Hong Kong Ltd (OTC Clear) completed its soft launch.
- On 25 November, RMB10 billion of sovereign bonds issued by the Ministry of Finance (MOF) were listed on the SEHK, and for the first time the MOF has used the SEHK's facilities for a public offering of its bonds.
- Dec On 27 December, HKEx announced that Hong Kong Securities Clearing Co Ltd (HKSCC) had admitted China Securities Depository and Clearing Corporation Ltd (ChinaClear) as a Clearing Agency Participant to the Central Clearing and Settlement System (CCASS).
- 2014 Jan On 6 January, HKEx launched after-hours trading of Mini Hang Seng Index (Mini HSI) futures and Mini H-shares Index (Mini HHI) futures and extended the Block Trading Facility (BTF) from day session to After-Hours Futures Trading (AHFT) session.
- Mar On 3 March, amendments to the SEHK Listing Rules on calculation of annual listing fees for Hong Kong-incorporated issuers came into effect. The amendments were made to align the Listing Rules following the abolition of the concept of nominal (par) value under the new Companies Ordinance.
- On 3 March, HKEx rolled out the Mainland Market Data Hub (MMDH) in Shanghai.
- Apr On 10 April, the China Securities Regulatory Commission (CSRC) and the Hong Kong SFC announced their approval in principle the development of Shanghai-Hong Kong Stock Connect, a pilot programme for establishing mutual stock market access (subject to investment quota) between Shanghai and Hong Kong.
- Jun On 17 June, RHB Asset Management (a Malaysian fund company) launched the RHB-OSK Islamic Regional Balanced Fund, the first actively managed Islamic fund in Hong Kong.
- Sep On 19 September, the first Islamic bond (sukuk) of the Hong Kong Government, which had an issuance size of US\$1 billion (HK\$7.8 billion) and a tenor of five years, was listed on the SEHK.
- Nov On 3 November, the close of AHFT of stock index futures and RMB currency futures has been extended from 11:00 pm to 11:45 pm. The close of the AHFT clearing session was accordingly extended from 11:45 pm to 12:30 am.
- On 17 November, Shanghai-Hong Kong Stock Connect was formally launched.
- On 17 November, the HKMA lifted the currency conversion limits on RMB for transactions conducted by AIs with Hong Kong residents, including the conversion limit of RMB20,000 per person per day.
- Dec On 1 December, HKEx launched London Aluminium Mini Futures (LRA), London Zinc Mini Futures (LRZ) and London Copper Mini Futures (LRC), its first Asia Commodity contracts.
- On 1 December, HKEx launched the New Securities Trading Device (NSTD), a vendor-developed front-end trading device, to replace the legacy AMS Terminals and Multi-Workstation System (MWS).

List of listed companies on Main Board

End of 2014

Code	Company name	Incorporation	Listing date
01127	1010 Printing Group Ltd.	Bermuda	2011/07/25
01003	21 Holdings Ltd.	Bermuda	1994/02/21
01361	361 Degrees International Ltd.	Cayman Islands	2009/06/30
00800	A8 New Media Group Ltd.	Cayman Islands	2008/06/12
02018	AAC Technologies Holdings Inc.	Cayman Islands	2005/08/09
00030	ABC Communications (Holdings) Ltd.	Bermuda	1991/10/09
01096	Active Group Holdings Ltd.	Cayman Islands	2011/09/28
03344	Addchance Holdings Ltd.	Cayman Islands	2005/10/05
03355	Advanced Semiconductor Manufacturing Corporation Ltd. -H Shares	PRC	2006/04/07
00900	Aeon Credit Service (Asia) Co. Ltd.	Hong Kong	1995/09/14
00984	AEON Stores (Hong Kong) Co., Ltd.	Hong Kong	1994/02/04
03383	Agile Property Holdings Ltd.	Cayman Islands	2005/12/15
01288	Agricultural Bank of China Ltd. – H Shares	PRC	2010/07/16
01131	Agritrade Resources Ltd.	Bermuda	1997/03/19
01299	AIA Group Ltd.	Hong Kong	2010/10/29
00753	Air China Ltd. – H Shares	PRC	2004/12/15
00538	Ajisen (China) Holdings Ltd.	Cayman Islands	2007/03/30
01639	AKM Industrial Co. Ltd.	Hong Kong	2004/08/18
00328	Alco Holdings Ltd.	Bermuda	1992/11/27
00241	Alibaba Health Information Technology Ltd.	Bermuda	1972/07/06
01060	Alibaba Pictures Group Ltd.	Bermuda	1994/05/12
00684	Allan International Holdings Ltd.	Bermuda	1992/11/10
01312	Allied Cement Holdings Ltd.	Cayman Islands	2012/01/18
00373	Allied Group Ltd.	Hong Kong	1973/01/12
00056	Allied Properties (HK) Ltd.	Hong Kong	n.a.
00833	Alltronics Holdings Ltd.	Cayman Islands	2005/07/15
02600	Aluminum Corporation of China Ltd. – H Shares	PRC	2001/12/12
00959	Amax International Holdings Ltd.	Bermuda	1997/10/03
00090	Amber Energy Ltd.	Cayman Islands	2009/07/10
00630	AMCO United Holding Ltd.	Bermuda	1996/11/28
00077	AMS Public Transport Holdings Ltd.	Cayman Islands	2004/04/15
02300	AMVIG Holdings Ltd.	Cayman Islands	2004/03/26
00347	Angang Steel Co. Ltd. – H Shares	PRC	1997/07/24
00914	Anhui Conch Cement Co. Ltd. – H Shares	PRC	1997/10/21
00995	Anhui Expressway Co. Ltd. – H Shares	PRC	1996/11/13
00839	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	PRC	2007/12/24
02020	ANTA Sports Products Ltd.	Cayman Islands	2007/07/10
03337	Anton Oilfield Services Group	Cayman Islands	2007/12/14
00922	Anxian Yuan China Holdings Ltd.	Bermuda	1999/12/21
01149	Anxin-China Holdings Ltd.	Cayman Islands	2003/11/24
01104	APAC Resources Ltd.	Bermuda	1998/12/29
00519	Applied Development Holdings Ltd.	Bermuda	1986/03/24
01045	APT Satellite Holdings Ltd.	Bermuda	1996/12/18
00645	Ares Asia Ltd.	Bermuda	1993/12/22
00565	Art Textile Technology International Co. Ltd.	Cayman Islands	2003/09/10
03313	ArtGo Mining Holdings Ltd.	Cayman Islands	2013/12/30
00789	Artini China Co. Ltd.	Bermuda	2008/05/16
01120	Arts Optical International Holdings Ltd.	Bermuda	1996/11/08
00841	Asia Cassava Resources Holdings Ltd.	Cayman Islands	2009/03/23
00743	Asia Cement (China) Holdings Corporation	Cayman Islands	2008/05/20
00835	Asia Coal Ltd.	Bermuda	1997/12/18
00104	Asia Commercial Holdings Ltd.	Bermuda	1987/07/29
00351	Asia Energy Logistics Group Ltd.	Hong Kong	1992/08/18
00662	Asia Financial Holdings Ltd.	Bermuda	1990/12/18
00214	Asia Orient Holdings Ltd.	Bermuda	1986/01/06
00899	Asia Resources Holdings Ltd.	Bermuda	1997/10/24
01135	Asia Satellite Telecommunications Holdings Ltd.	Bermuda	1996/06/19
00292	Asia Standard Hotel Group Ltd.	Bermuda	1988/09/22
00129	Asia Standard International Group Ltd.	Bermuda	1973/01/24
00679	Asia Tele-Net And Technology Corporation Ltd.	Bermuda	1991/01/31
00073	Asian Citrus Holdings Ltd.	Bermuda	2009/11/26
00522	ASM Pacific Technology Ltd.	Cayman Islands	1989/01/03
01803	ASR Logistics Holdings Ltd.	Cayman Islands	2012/01/16
00105	Associated International Hotels Ltd.	Hong Kong	1985/10/24
00477	AUPU Group Holding Co. Ltd.	Cayman Islands	2006/12/08
01717	Ausnutria Dairy Corporation Ltd.	Cayman Islands	2009/10/08
06118	Austar Lifesciences Ltd.	Cayman Islands	2014/11/07
00720	Auto Italia Holdings Ltd.	Bermuda	1991/07/16
00771	Automated Systems Holdings Ltd.	Bermuda	1997/11/05
00595	AV Concept Holdings Ltd.	Cayman Islands	1996/04/24
00232	AVIC International Holding (HK) Ltd.	Bermuda	1991/12/12
00161	AVIC International Holdings Ltd. – H Shares	PRC	1997/09/29
00260	AVIC Joy Holdings (HK) Ltd.	Hong Kong	n.a.
02357	AviChina Industry & Technology Co. Ltd. – H Shares	PRC	2003/10/30
01397	Baguio Green Group Ltd.	Cayman Islands	2014/05/22
01958	BAIC Motor Corporation Ltd. – H Shares	PRC	2014/12/19
02100	BAIOO Family Interactive Ltd.	Cayman Islands	2014/04/10
03988	Bank of China Ltd. – H Shares	PRC	2006/06/01
01963	Bank of Chongqing Co., Ltd. – H Shares	PRC	2013/11/06
03328	Bank of Communications Co., Ltd. – H Shares	PRC	2005/06/23
00023	Bank of East Asia, Ltd., The	Hong Kong	n.a.
01121	Baofeng Modern International Holdings Co. Ltd.	Cayman Islands	2011/01/28
01293	Baoxin Auto Group Ltd.	Cayman Islands	2011/12/14
02355	Baoye Group Co. Ltd. – H Shares	PRC	2003/06/30
00483	Bauhaus International (Holdings) Ltd.	Cayman Islands	2005/05/12
01338	BaWang International (Group) Holding Ltd.	Cayman Islands	2009/07/03
01035	BBi Life Science Corporation	Cayman Islands	2014/12/30
02009	BBMG Corporation – H Shares	PRC	2009/07/29

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00706	Beautiful China Holdings Co. Ltd.	Bermuda	1998/07/22
00694	Beijing Capital International Airport Co. Ltd. – H Shares	PRC	2000/02/01
02868	Beijing Capital Land Ltd. – H Shares	PRC	2003/06/19
00154	Beijing Development (Hong Kong) Ltd.	Hong Kong	n.a.
06188	Beijing Digital Telecom Co., Ltd. – H Shares	PRC	2014/07/08
00392	Beijing Enterprises Holdings Ltd.	Hong Kong	1997/05/29
00371	Beijing Enterprises Water Group Ltd.	Bermuda	1993/04/19
00187	Beijing Jingcheng Machinery Electric Co. Ltd. – H Shares	PRC	1993/08/06
00814	Beijing Jingkelong Co. Ltd. – H Shares	PRC	2008/02/26
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	PRC	2011/12/22
01000	Beijing Media Corporation Ltd. – H Shares	PRC	2004/12/22
00588	Beijing North Star Co. Ltd. – H Shares	PRC	1997/05/14
00925	Beijing Properties (Holdings) Ltd.	Bermuda	1998/01/15
01599	Beijing Urban Construction Design & Dev. Group Co., Ltd.-H Sh	PRC	2014/07/08
02339	BeijingWest Industries International Ltd.	Cayman Islands	2003/10/10
00761	Bel Global Resources Holdings Ltd.	Bermuda	1997/03/07
01880	Belle International Holdings Ltd.	Cayman Islands	2007/05/23
02326	BEP International Holdings Ltd.	Bermuda	2003/03/03
02111	Best Pacific International Holdings Ltd.	Cayman Islands	2014/05/23
00718	Bestway International Holdings Ltd.	Bermuda	1995/10/02
00926	Besunyen Holdings Co. Ltd.	Cayman Islands	2010/09/29
02299	Billion Industrial Holdings Ltd.	Cayman Islands	2011/05/18
02886	Binhai Investment Co. Ltd.	Bermuda	2000/03/16
01112	Biostime International Holdings Ltd.	Cayman Islands	2010/12/17
02309	Birmingham International Holdings Ltd.	Cayman Islands	2002/11/12
00963	Bloomage BioTechnology Corporation Ltd.	Cayman Islands	2008/10/03
06828	Blue Sky Power Holdings Ltd.	Bermuda	2011/07/12
02388	BOC Hong Kong (Holdings) Ltd.	Hong Kong	2002/07/25
01685	Boer Power Holdings Ltd.	Cayman Islands	2010/10/20
01190	Bolina Holding Co., Ltd.	Cayman Islands	2012/07/13
00653	Bonjour Holdings Ltd.	Cayman Islands	2003/07/16
01698	Boshiwa International Holding Ltd.	Cayman Islands	2010/09/29
03998	Bosideng International Holdings Ltd.	Cayman Islands	2007/10/11
00592	Bossini International Holdings Ltd.	Bermuda	1993/11/02
00434	Boyaa Interactive International Ltd.	Cayman Islands	2013/11/12
01428	Bright Smart Securities & Commodities Group Ltd.	Cayman Islands	2010/08/25
00933	Brightoil Petroleum (Holdings) Ltd.	Bermuda	1995/11/16
01114	Brilliance China Automotive Holdings Ltd.	Bermuda	1999/10/22
01008	Brilliant Circle Holdings International Ltd.	Cayman Islands	2009/03/30
01253	Broad Greenstate International Co. Ltd.	Cayman Islands	2014/07/21
00159	Brockman Mining Ltd.	Bermuda	1985/07/05
00240	Build King Holdings Ltd.	Bermuda	n.a.
00024	Burwill Holdings Ltd.	Bermuda	1983/09/15
01211	BYD Co. Ltd. – H Shares	PRC	2002/07/31
00285	BYD Electronic (International) Co. Ltd.	Hong Kong	2007/12/20
01224	C C Land Holdings Ltd.	Bermuda	1999/04/30
01182	C Y Foundation Group Ltd.	Bermuda	1994/10/17
00043	C. P. Pokphand Co. Ltd.	Bermuda	1988/04/28
01028	C.banner International Holdings Ltd.	Bermuda	2011/09/23
00121	C.P. Lotus Corporation	Cayman Islands	1981/03/27
02112	CAA Resources Ltd.	Cayman Islands	2013/07/03
02030	Cabbeen Fashion Ltd.	Cayman Islands	2013/10/28
00341	Cafe de Coral Holdings Ltd.	Bermuda	1986/07/16
01381	Canvest Environmental Protection Group Co. Ltd.	Cayman Islands	2014/12/29
01075	Capinfo Co. Ltd. – H Shares	PRC	2001/12/21
03989	Capital Environment Holdings Ltd.	Cayman Islands	2006/07/13
00193	Capital Estate Ltd.	Hong Kong	1972/09/11
02324	Capital VC Ltd.	Cayman Islands	2003/10/27
00469	Capxon International Electronic Co. Ltd.	Cayman Islands	2007/05/07
00699	CAR Inc.	Cayman Islands	2014/09/19
00996	Carnival Group International Holdings Ltd.	Bermuda	1994/02/17
00837	Carpenter Tan Holdings Ltd.	Cayman Islands	2009/12/29
00126	Carrianna Group Holdings Co. Ltd.	Bermuda	1991/11/01
00643	Carry Wealth Holdings Ltd.	Bermuda	2000/03/13
02223	Casablanca Group Ltd.	Cayman Islands	2012/11/23
00510	CASH Financial Services Group Ltd.	Bermuda	2008/03/03
00293	Cathay Pacific Airways Ltd.	Hong Kong	1986/05/15
00138	CCT Fortis Holdings Ltd.	Bermuda	1991/11/11
00261	CCT Land Holdings Ltd.	Bermuda	1991/12/30
00759	CEC International Holdings Ltd.	Bermuda	1999/11/15
02228	CECEP COSTIN New Materials Group Ltd.	Cayman Islands	2010/06/21
01049	Celestial Asia Securities Holdings Ltd.	Bermuda	1994/04/27
00542	Generic (Holdings) Ltd.	Cayman Islands	1989/11/23
00832	Central China Real Estate Ltd.	Cayman Islands	2008/06/06
01375	Central China Securities Co., Ltd. – H Shares	PRC	2014/06/25
01155	Centron Telecom International Holding Ltd.	Cayman Islands	2007/07/05
00355	Century City International Holdings Ltd.	Bermuda	1981/09/07
00162	Century Ginwa Retail Holdings Ltd.	Bermuda	2000/10/23
00079	Century Legend (Holdings) Ltd.	Bermuda	1993/07/08
01450	Century Sage Scientific Holdings Ltd.	Cayman Islands	2014/07/07
00509	Century Sunshine Group Holdings Ltd.	Cayman Islands	2004/02/17
01811	CGN Meiya Power Holdings Co., Ltd.	Bermuda	2014/10/03
01164	CGN Mining Co. Ltd.	Cayman Islands	2003/08/04
01816	CGN Power Co., Ltd. – H Shares	PRC	2014/12/10
00092	Champion Technology Holdings Ltd.	Bermuda	1992/08/19
00264	Chanco International Group Ltd.	Cayman Islands	2003/03/12

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
01292	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	PRC	2006/02/23
01039	Changfeng Axle (China) Co. Ltd.	Cayman Islands	2010/09/24
02229	Changgang Dunxin Enterprise Co. Ltd.	Cayman Islands	2014/06/26
00954	Changmao Biochemical Engineering Co. Ltd. – H Shares	PRC	2002/06/28
01006	Changshouhua Food Co. Ltd.	Cayman Islands	2009/12/18
01588	Chanjet Information Technology Co. Ltd. – H Shares	PRC	2014/06/26
00682	Chaoda Modern Agriculture (Holdings) Ltd.	Cayman Islands	2000/12/15
00951	Chaowei Power Holdings Ltd.	Cayman Islands	2010/07/07
00147	Chaoyue Group Ltd.	Bermuda	1988/06/06
00057	Chen Hsong Holdings Ltd.	Bermuda	1991/10/22
01202	Chengdu PUTIAN Telecommunications Cable Co. Ltd. – H Shares	PRC	1994/12/13
00131	Cheuk Nang (Holdings) Ltd.	Hong Kong	n.a.
00001	Cheung Kong (Holdings) Ltd.	Hong Kong	1972/11/01
01038	Cheung Kong Infrastructure Holdings Ltd.	Bermuda	1996/07/17
00009	Cheung Wo International Holdings Ltd.	Bermuda	2001/09/12
00025	Chevalier International Holdings Ltd.	Bermuda	1984/12/05
00449	Chigo Holding Ltd.	Cayman Islands	2009/07/13
00976	Chiho-Tiande Group Ltd.	Cayman Islands	2010/07/12
00031	China Aerospace International Holdings Ltd.	Hong Kong	1981/08/25
00606	China Agri-Industries Holdings Ltd.	Hong Kong	2007/03/21
00149	China Agri-Products Exchange Ltd.	Bermuda	1973/03/12
01069	China Agroforestry Low-Carbon Holdings Ltd.	Cayman Islands	2009/10/08
01073	China Agrotech Holdings Ltd.	Cayman Islands	2002/01/14
01848	China Aircraft Leasing Group Holdings Ltd.	Cayman Islands	2014/07/11
00633	China All Access (Holdings) Ltd.	Cayman Islands	2009/09/16
06898	China Aluminum Cans Holdings Ltd.	Cayman Islands	2013/07/12
02068	China Aluminum International Engineering Corp. Ltd.-H shares	PRC	2012/07/06
00940	China Animal Healthcare Ltd.	Bermuda	2010/12/21
03883	China Aoyuan Property Group Ltd.	Cayman Islands	2007/10/09
00170	China Assets (Holdings) Ltd.	Hong Kong	1992/04/15
00569	China Automation Group Ltd.	Cayman Islands	2007/07/12
00048	China Automotive Interior Decoration Holdings Ltd.	Cayman Islands	2010/09/29
00370	China Best Group Holding Ltd.	Bermuda	1996/03/26
00274	China Billion Resources Ltd.	Cayman Islands	2000/12/18
03983	China BlueChemical Ltd. – H Shares	PRC	2006/09/29
00217	China Chengtong Development Group Ltd.	Hong Kong	n.a.
01259	China Child Care Corporation Ltd.	Cayman Islands	2011/07/15
02371	China Chuanglian Education Group Ltd.	Cayman Islands	2004/11/18
01359	China Cinda Asset Management Co., Ltd. – H Shares	PRC	2013/12/12
00998	China CITIC Bank Corporation Ltd. – H Shares	PRC	2007/04/27
02349	China City Infrastructure Group Ltd.	Cayman Islands	2003/06/25
01522	China City Railway Transportation Technology Hldgs Co. Ltd.	Cayman Islands	2012/05/16
06199	China CNR Corporation Ltd. – H Shares	PRC	2014/05/22
01898	China Coal Energy Co. Ltd. – H Shares	PRC	2006/12/19
01800	China Communications Construction Co. Ltd. – H Shares	PRC	2006/12/15
00552	China Communications Services Corporation Ltd. – H Shares	PRC	2006/12/08
00586	China Conch Venture Holdings Ltd.	Cayman Islands	2013/12/19
00939	China Construction Bank Corporation – H Shares	PRC	2005/10/27
01919	China COSCO Holdings Co. Ltd. – H Shares	PRC	2005/06/30
01678	China Creative Home Group Ltd.	Cayman Islands	2013/12/20
00904	China Culiangwang Beverages Holdings Ltd.	Bermuda	2004/01/13
01798	China Datang Corporation Renewable Power Co., Ltd. -H Shares	PRC	2010/12/17
00661	China Daye Non-Ferrous Metals Mining Ltd.	Bermuda	1990/11/21
01062	China Development Bank International Investment Ltd.	Cayman Islands	1994/05/10
03818	China Dongxiang (Group) Co., Ltd.	Cayman Islands	2007/10/10
00871	China Dredging Environment Protection Holdings Ltd.	Cayman Islands	2011/06/20
00476	China Dynamics (Holdings) Ltd.	Bermuda	1996/05/24
00670	China Eastern Airlines Corporation Ltd. – H Shares	PRC	1997/02/05
00085	China Electronics Corporation Holdings Co. Ltd.	Bermuda	1997/07/25
01185	China Energin International (Holdings) Ltd.	Cayman Islands	1997/08/11
00228	China Energy Development Holdings Ltd.	Cayman Islands	2002/02/18
00986	China Environmental Energy Investment Ltd.	Bermuda	1994/02/02
01130	China Environmental Resources Group Ltd.	Cayman Islands	1997/06/03
00646	China Environmental Technology Holdings Ltd.	Cayman Islands	2002/03/28
06818	China Everbright Bank Co. Ltd. – H Shares	PRC	2013/12/20
00257	China Everbright International Ltd.	Hong Kong	n.a.
00165	China Everbright Ltd.	Hong Kong	1973/02/26
03777	China Fiber Optic Network System Group Ltd.	Cayman Islands	2011/07/14
00721	China Financial International Investments Ltd.	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Cayman Islands	2002/10/28
00605	China Financial Services Holdings Ltd.	Hong Kong	1993/04/07
00445	China Fire Safety Enterprise Group Ltd.	Cayman Islands	2002/09/30
02121	China First Chemical Holdings Ltd.	Cayman Islands	2011/12/09
03318	China Flavors and Fragrances Co. Ltd.	Cayman Islands	2005/12/09
00506	China Foods Ltd.	Bermuda	1988/10/07
00572	China For You Group Co. Ltd.	Cayman Islands	2003/07/02
02399	China Fordoo Holdings Ltd.	Cayman Islands	2014/07/16
00930	China Forestry Holdings Co., Ltd.	Cayman Islands	2009/12/03
00290	China Fortune Financial Group Ltd.	Cayman Islands	2001/05/03
00110	China Fortune Holdings Ltd.	Bermuda	2004/01/26
06881	China Galaxy Securities Co., Ltd. – H Shares	PRC	2013/05/22
00384	China Gas Holdings Ltd.	Bermuda	1995/10/20
03300	China Glass Holdings Ltd.	Bermuda	2005/06/23
02099	China Gold International Resources Corp. Ltd.	Canada	2010/12/01
00512	China Grand Pharmaceutical and Healthcare Holdings Ltd.	Bermuda	1995/12/19
00359	China Haisheng Juice Holdings Co., Ltd.	Cayman Islands	2005/11/04

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
03788	China Hanking Holdings Ltd.	Cayman Islands	2011/09/30
03836	China Harmony Auto Holding Ltd.	Cayman Islands	2013/06/13
00673	China HealthCare Holdings Ltd.	Bermuda	1996/10/14
00591	China High Precision Automation Group Ltd.	Cayman Islands	2009/11/13
00658	China High Speed Transmission Equipment Group Co., Ltd.	Cayman Islands	2007/07/04
01378	China Hongqiao Group Ltd.	Cayman Islands	2011/03/24
00692	China Household Holdings Ltd.	Bermuda	2000/01/19
01290	China Huirong Financial Holdings Ltd.	Cayman Islands	2013/10/28
06863	China Huishan Dairy Holdings Co. Ltd.	Cayman Islands	2013/09/27
01886	China Huiyuan Juice Group Ltd.	Cayman Islands	2007/02/23
00600	China Infrastructure Investment Ltd.	Cayman Islands	1993/10/05
01217	China Innovation Investment Ltd.	Cayman Islands	2002/08/28
02039	China Int'l Marine Containers (Group) Co., Ltd. – H Shares	PRC	2012/12/19
01226	China Investment and Finance Group Ltd.	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Bermuda	2000/12/12
00612	China Investment Fund Co. Ltd.	Cayman Islands	2002/01/02
00132	China Investments Holdings Ltd.	Bermuda	1973/05/18
01900	China ITS (Holdings) Co., Ltd.	Cayman Islands	2010/07/15
00139	China Jinhai International Group Ltd.	Bermuda	1994/03/15
00419	China Jiuhao Health Industry Corporation Ltd.	Cayman Islands	1987/07/08
00834	China Kangda Food Co. Ltd.	Bermuda	2008/12/22
01380	China Kingstone Mining Holdings Ltd.	Cayman Islands	2011/03/18
02128	China Lesso Group Holdings Ltd.	Cayman Islands	2010/06/23
02628	China Life Insurance Co. Ltd. – H Shares	PRC	2003/12/18
01234	China Lilang Ltd.	Cayman Islands	2009/09/25
00931	China LNG Group Ltd.	Cayman Islands	2001/10/24
00916	China Longyuan Power Group Corporation Ltd. – H Shares	PRC	2009/12/10
01371	China LotSynergy Holdings Ltd.	Bermuda	2001/10/26
02023	China Ludao Technology Co. Ltd.	Cayman Islands	2013/10/11
00067	China Lumena New Materials Corp.	Cayman Islands	2009/06/16
01829	China Machinery Engineering Corporation – H Shares	PRC	2012/12/21
01317	China Maple Leaf Educational Systems Ltd.	Cayman Islands	2014/11/28
00867	China Medical System Holdings Ltd.	Cayman Islands	2010/09/28
01268	China MeiDong Auto Holdings Ltd.	Cayman Islands	2013/12/05
02319	China Mengniu Dairy Co. Ltd.	Cayman Islands	2004/06/10
03968	China Merchants Bank Co., Ltd. – H Shares	PRC	2006/09/22
00133	China Merchants China Direct Investments Ltd.	Hong Kong	1993/07/22
00144	China Merchants Holdings (International) Co. Ltd.	Hong Kong	1992/07/15
00978	China Merchants Land Ltd.	Cayman Islands	1997/10/16
00319	China Metal International Holdings Inc.	Cayman Islands	2004/12/31
00773	China Metal Recycling (Holdings) Ltd.	Cayman Islands	2009/06/22
01636	China Metal Resources Utilization Ltd.	Cayman Islands	2014/02/21
00340	China Mining Resources Group Ltd.	Bermuda	1997/03/26
01988	China Minsheng Banking Corp., Ltd. – H Shares	PRC	2009/11/26
00941	China Mobile Ltd.	Hong Kong	1997/10/23
01117	China Modern Dairy Holdings Ltd.	Cayman Islands	2010/11/26
03993	China Molybdenum Co., Ltd. – H Shares	PRC	2007/04/26
00026	China Motor Bus Co., Ltd.	Hong Kong	n.a.
03323	China National Building Material Co. Ltd. – H Shares	PRC	2006/03/23
00745	China National Culture Group Ltd.	Cayman Islands	2004/10/13
01893	China National Materials Co. Ltd. – H Shares	PRC	2007/12/20
01321	China New City Commercial Development Ltd.	Cayman Islands	2014/07/10
00080	China New Economy Fund Ltd.	Cayman Islands	2011/01/06
01041	China New Energy Power Group Ltd.	Bermuda	1996/12/27
01278	China New Town Development Co. Ltd.	British Virgin Islands	2010/10/22
02889	China Nickel Resources Holdings Co. Ltd.	Cayman Islands	2005/05/19
01258	China Nonferrous Mining Corporation Ltd.	Hong Kong	2012/06/29
01011	China NT Pharma Group Co. Ltd.	Cayman Islands	2011/04/20
00611	China Nuclear Industry 23 International Corporation Ltd.	Bermuda	1993/02/12
00651	China Ocean Shipbuilding Industry Group Ltd.	Bermuda	1998/07/23
00603	China Oil And Gas Group Ltd.	Bermuda	1993/05/28
02883	China Oilfield Services Ltd. – H Shares	PRC	2002/11/20
00581	China Oriental Group Co. Ltd.	Bermuda	2004/03/02
01146	China Outfitters Holdings Ltd.	Cayman Islands	2011/12/09
00081	China Overseas Grand Oceans Group Ltd.	Hong Kong	1984/04/26
00688	China Overseas Land & Investment Ltd.	Hong Kong	1992/08/20
02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	PRC	2009/12/23
01439	China Packaging Holdings Development Ltd.	Cayman Islands	2014/01/13
00386	China Petroleum & Chemical Corporation – H Shares	PRC	2000/10/19
01345	China Pioneer Pharma Holdings Ltd.	Cayman Islands	2013/11/05
00380	China Pipe Group Ltd.	Bermuda	2000/12/21
02133	China Polymetallic Mining Ltd.	Cayman Islands	2011/12/14
02380	China Power International Development Ltd.	Hong Kong	2004/10/15
00735	China Power New Energy Development Co. Ltd.	Bermuda	1999/11/04
01194	China Precious Metal Resources Holdings Co., Ltd.	Cayman Islands	2004/10/12
01838	China Properties Group Ltd.	Cayman Islands	2007/02/23
00736	China Properties Investment Holdings Ltd.	Bermuda	1992/09/30
01094	China Public Procurement Ltd.	Bermuda	2002/07/03
01699	China Putian Food Holding Ltd.	Cayman Islands	2012/07/13
00866	China Qinfa Group Ltd.	Cayman Islands	2009/07/03
01186	China Railway Construction Corporation Ltd. – H Shares	PRC	2008/03/13
00390	China Railway Group Ltd. – H Shares	PRC	2007/12/07
00769	China Rare Earth Holdings Ltd.	Cayman Islands	1999/10/15
00987	China Renewable Energy Investment Ltd.	Cayman Islands	1994/01/27
00648	China Renji Medical Group Ltd.	Hong Kong	1990/10/29
00269	China Resources and Transportation Group Ltd.	Cayman Islands	1973/03/19

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
01313	China Resources Cement Holdings Ltd.	Cayman Islands	2009/10/06
00291	China Resources Enterprise, Ltd.	Hong Kong	n.a.
01193	China Resources Gas Group Ltd.	Bermuda	1994/11/07
01109	China Resources Land Ltd.	Cayman Islands	1996/11/08
00836	China Resources Power Holdings Co. Ltd.	Hong Kong	2003/11/12
01101	China Rongsheng Heavy Industries Group Holdings Ltd.	Cayman Islands	2010/11/19
00527	China Ruifeng Renewable Energy Holdings Ltd.	Cayman Islands	2006/06/09
01365	China Rundong Auto Group Ltd.	Cayman Islands	2014/08/12
00153	China Saite Group Co. Ltd.	Cayman Islands	2013/11/01
00910	China Sandi Holdings Ltd.	Bermuda	1998/12/01
02198	China Sanjiang Fine Chemicals Co. Ltd.	Cayman Islands	2010/09/16
01966	China SCE Property Holdings Ltd.	Cayman Islands	2010/02/05
00245	China Seven Star Holdings Ltd.	Hong Kong	1972/08/25
00691	China Shanshui Cement Group Ltd.	Cayman Islands	2008/07/04
01432	China Shengmu Organic Milk Ltd.	Cayman Islands	2014/07/15
01088	China Shenhua Energy Co. Ltd. – H Shares	PRC	2005/06/15
02877	China Shineway Pharmaceutical Group Ltd.	Cayman Islands	2004/12/02
02866	China Shipping Container Lines Co. Ltd. – H Shares	PRC	2004/06/16
01138	China Shipping Development Co. Ltd. – H Shares	PRC	1994/11/11
00815	China Silver Group Ltd.	Cayman Islands	2012/12/28
00750	China Singyes Solar Technologies Holdings Ltd.	Bermuda	2009/01/13
00155	China Solar Energy Holdings Ltd.	Bermuda	1988/09/05
01668	China South City Holdings Ltd.	Hong Kong	2009/09/30
01055	China Southern Airlines Co. Ltd. – H Shares	PRC	1997/07/31
00326	China Star Entertainment Ltd.	Bermuda	1992/11/12
03838	China Starch Holdings Ltd.	Cayman Islands	2007/09/27
03311	China State Construction International Holdings Ltd.	Cayman Islands	2005/07/08
00235	China Strategic Holdings Ltd.	Hong Kong	1972/12/04
03623	China Success Finance Group Holdings Ltd.	Cayman Islands	2013/11/13
02002	China Sunshine Paper Holdings Co. Ltd.	Cayman Islands	2007/12/12
00956	China Suntien Green Energy Corporation Ltd. – H Shares	PRC	2010/10/13
00873	China Taifeng Beddings Holdings Ltd.	Cayman Islands	2010/06/11
00966	China Taiping Insurance Holdings Co. Ltd.	Hong Kong	2000/06/29
00728	China Telecom Corporation Ltd. – H Shares	PRC	2002/11/15
01600	China Tian Lun Gas Holdings Ltd.	Cayman Islands	2010/11/10
01252	China Tianrui Group Cement Co. Ltd.	Cayman Islands	2011/12/23
00756	China Tianyi Holdings Ltd.	Cayman Islands	2008/07/10
03398	China Ting Group Holdings Ltd.	Cayman Islands	2005/12/15
02188	China Titans Energy Technology Group Co., Ltd.	Cayman Islands	2010/05/28
00389	China Tontine Wines Group Ltd.	Bermuda	2009/11/19
00570	China Traditional Chinese Medicine Co. Ltd.	Hong Kong	1993/04/07
00308	China Travel International Investment Hong Kong Ltd.	Hong Kong	1992/11/11
00209	China Tycoon Beverage Holdings Ltd.	Bermuda	2002/03/06
00762	China Unicom (Hong Kong) Ltd.	Hong Kong	2000/06/22
02330	China Uptown Group Co. Ltd.	Cayman Islands	2003/01/29
06168	China U-Ton Holdings Ltd.	Cayman Islands	2012/06/12
00893	China Vanadium Titano-Magnetite Mining Co. Ltd.	Cayman Islands	2009/10/08
02202	China Vanke Co., Ltd. – H Shares	PRC	2014/06/25
06166	China VAST Industrial Urban Development Co. Ltd.	Cayman Islands	2014/08/25
01269	China Vehicle Components Technology Holdings Ltd.	Cayman Islands	2011/11/23
00855	China Water Affairs Group Ltd.	Bermuda	1999/10/11
01129	China Water Industry Group Ltd.	Cayman Islands	2002/01/17
03778	China Weaving Materials Holdings Ltd.	Cayman Islands	2011/12/22
00182	China WindPower Group Ltd.	Bermuda	1991/11/27
01866	China XLX Fertiliser Ltd.	Singapore	2009/12/08
03669	China Yongda Automobiles Services Holdings Ltd.	Cayman Islands	2012/07/12
00263	China Yunnan Tin Minerals Group Co. Ltd.	Hong Kong	1988/04/06
01068	China Yurun Food Group Ltd.	Bermuda	2005/10/03
00362	China Zenith Chemical Group Ltd.	Cayman Islands	2001/05/02
01728	China ZhengTong Auto Services Holdings Ltd.	Cayman Islands	2010/12/10
02623	China Zhongsheng Resources Holdings Ltd.	Cayman Islands	2012/04/27
01333	China Zhongwang Holdings Ltd.	Cayman Islands	2009/05/08
01123	China-Hongkong Photo Products Holdings Ltd.	Bermuda	1994/09/19
03668	Chinalco Mining Corporation International	Cayman Islands	2013/01/31
00354	Chinasoft International Ltd.	Cayman Islands	2003/06/20
00127	Chinese Estates Holdings Ltd.	Bermuda	n.a.
00681	Chinese People Holdings Co. Ltd.	Bermuda	1997/04/24
00997	Chinlink International Holdings Ltd.	Bermuda	2000/03/10
00385	Chinney Alliance Group Ltd.	Bermuda	1993/10/15
00216	Chinney Investments, Ltd.	Hong Kong	1973/01/31
01111	Chong Hing Bank Ltd.	Hong Kong	1994/07/11
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	PRC	1997/10/17
02722	Chongqing Machinery & Electric Co., Ltd. – H Shares	PRC	2008/06/13
03618	Chongqing Rural Commercial Bank Co., Ltd. – H Shares	PRC	2010/12/16
00116	Chow Sang Sang Holdings International Ltd.	Bermuda	1973/04/06
01929	Chow Tai Fook Jewellery Group Ltd.	Cayman Islands	2011/12/15
01210	Christine International Holdings Ltd.	Cayman Islands	2012/02/23
00641	CHTC Fong's Industries Co. Ltd.	Bermuda	1990/10/12
01938	Chu Kong Petroleum and Natural Gas Steel Pipe Holdings Ltd.	Cayman Islands	2010/02/10
00560	Chu Kong Shipping Enterprises (Group) Co., Ltd.	Hong Kong	1997/05/23
00298	Chuang's China Investments Ltd.	Bermuda	n.a.
00367	Chuang's Consortium International Ltd.	Bermuda	1987/01/16
02277	Chun Sing Engineering Holdings Ltd.	Cayman Islands	2014/12/29
00711	Chun Wo Development Holdings Ltd.	Bermuda	1993/02/12
00378	CIAM Group Ltd.	Bermuda	1986/09/10
00884	CIFI Holdings (Group) Co. Ltd.	Cayman Islands	2012/11/23

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00479	CIL Holdings Ltd.	Bermuda	1993/07/21
03899	CIMC Enric Holdings Ltd.	Cayman Islands	2006/07/20
00111	Cinda International Holdings Ltd.	Bermuda	2000/08/01
00550	Cinderella Media Group Ltd.	Bermuda	2007/07/23
01091	CITIC Dameng Holdings Ltd.	Bermuda	2010/11/18
00267	CITIC Ltd.	Hong Kong	1986/02/26
01205	CITIC Resources Holdings Ltd.	Bermuda	1997/09/08
06030	CITIC Securities Co. Ltd. – H Shares	PRC	2011/10/06
01883	CITIC Telecom International Holdings Ltd.	Hong Kong	2007/04/03
00557	City e-Solutions Ltd.	Cayman Islands	1989/12/29
00256	Citychamp Watch & Jewellery Group Ltd.	Cayman Islands	1991/12/10
00775	CK Life Sciences Int'l., (Holdings) Inc.	Cayman Islands	2002/07/16
00100	Clear Media Ltd.	Bermuda	2001/12/19
00002	CLP Holdings Ltd.	Hong Kong	n.a.
00471	CMMB Vision Holdings Ltd.	Cayman Islands	2005/10/10
02302	CNNC International Ltd.	Cayman Islands	2003/01/06
00883	CNOOC Ltd.	Hong Kong	2001/02/28
01240	CNQC International Holdings Ltd.	Cayman Islands	2012/10/18
00701	CNT Group Ltd.	Bermuda	1991/05/21
06388	Coach, Inc. -RS	USA	2011/12/01
01124	Coastal Greenland Ltd.	Bermuda	1997/10/16
00207	COFCO Land Holdings Ltd.	Bermuda	1973/03/06
00400	Cogobuy Group	Cayman Islands	2014/07/18
00383	COL Capital Ltd.	Bermuda	1991/08/01
01778	Colour Life Services Group Co., Ltd.	Cayman Islands	2014/06/30
02342	Comba Telecom Systems Holdings Ltd.	Cayman Islands	2003/07/15
00794	Come Sure Group (Holdings) Ltd.	Cayman Islands	2009/02/26
00286	Common Splendor International Health Industry Group Ltd.	Bermuda	1990/09/07
00046	Computer And Technologies Holdings Ltd.	Bermuda	1998/05/18
00320	Computime Group Ltd.	Cayman Islands	2006/10/09
00712	Comtec Solar Systems Group Ltd.	Cayman Islands	2009/10/30
01681	Consun Pharmaceutical Group Ltd.	Cayman Islands	2013/12/19
00513	Continental Holdings Ltd.	Hong Kong	1988/11/08
00831	Convenience Retail Asia Ltd.	Cayman Islands	2001/01/18
01019	Convoy Financial Holdings Ltd.	Cayman Islands	2010/07/13
02369	Coolpad Group Ltd.	Cayman Islands	2004/12/09
00707	Co-Prosperity Holdings Ltd.	Cayman Islands	2006/03/30
00517	COSCO International Holdings Ltd.	Bermuda	1992/02/11
01199	COSCO Pacific Ltd.	Bermuda	1994/12/19
01043	Coslight Technology International Group Ltd.	Bermuda	1999/11/17
02298	Cosmo Lady (China) Holdings Co. Ltd.	Cayman Islands	2014/06/26
00120	Cosmopolitan International Holdings Ltd.	Cayman Islands	n.a.
00118	Cosmos Machinery Enterprises Ltd.	Hong Kong	1988/12/12
02007	Country Garden Holdings Co. Ltd.	Cayman Islands	2007/04/20
01145	Courage Marine Group Ltd.	Bermuda	2011/06/24
00906	CPMC Holdings Ltd.	Hong Kong	2009/11/16
00122	Crocodile Garments Ltd.	Hong Kong	n.a.
00032	Cross-Harbour (Holdings) Ltd., The	Hong Kong	1974/07/30
00727	Crown International Corporation Ltd.	Hong Kong	1998/01/16
00497	CSI Properties Ltd.	Bermuda	1992/04/15
01093	CSPC Pharmaceutical Group Ltd.	Hong Kong	1994/06/21
01766	CSR Corporation Ltd. – H Shares	PRC	2008/08/21
00985	CST Mining Group Ltd.	Cayman Islands	1994/02/02
01363	CT Environmental Group Ltd.	Cayman Islands	2013/09/25
00674	Culture Landmark Investment Ltd.	Bermuda	1991/01/25
00343	Culturecom Holdings Ltd.	Bermuda	1986/08/12
01322	CW Group Holdings Ltd.	Cayman Islands	2012/04/13
00875	Cypress Jade Agricultural Holdings Ltd.	Bermuda	1998/07/03
01090	Da Ming International Holdings Ltd.	Cayman Islands	2010/12/01
03999	DaChan Food (Asia) Ltd.	Cayman Islands	2007/10/04
01828	Dah Chong Hong Holdings Ltd.	Hong Kong	2007/10/17
02356	Dah Sing Banking Group Ltd.	Hong Kong	2004/06/30
00440	Dah Sing Financial Holdings Ltd.	Hong Kong	1987/11/05
00544	Daido Group Ltd.	Bermuda	1989/12/14
00567	Daisho Microline Holdings Ltd.	Bermuda	1990/01/31
01037	Daiwa Associate Holdings Ltd.	Bermuda	1994/04/14
02880	Dalian Port (PDA) Co. Ltd. – H Shares	PRC	2006/04/28
03699	Dalian Wanda Commercial Properties Co., Ltd. – H Shares	PRC	2014/12/23
00271	Dan Form Holdings Co. Ltd.	Hong Kong	1973/02/28
00210	Daphne International Holdings Ltd.	Cayman Islands	1995/11/03
01007	Daqing Dairy Holdings Ltd.	Cayman Islands	2010/10/28
00991	Datang International Power Generation Co., Ltd. – H Shares	PRC	1997/03/21
00889	Datronix Holdings Ltd.	Bermuda	2001/06/22
02348	Dawnrays Pharmaceutical (Holdings) Ltd.	Cayman Islands	2003/07/11
03335	DBA Telecommunication (Asia) Holdings Ltd.	Cayman Islands	2006/05/11
01163	Dejin Resources Group Co. Ltd.	Bermuda	1999/11/11
00262	Deson Development International Holdings Ltd.	Bermuda	1997/06/10
00065	DeTeam Co. Ltd.	Cayman Islands	2001/08/30
00113	Dickson Concepts (International) Ltd.	Bermuda	1973/03/15
00861	Digital China Holdings Ltd.	Bermuda	2001/06/01
00547	Digital Domain Holdings Ltd.	Bermuda	1992/07/06
00705	Ding He Mining Holdings Ltd.	Hong Kong	2008/12/22
00508	Dingyi Group Investment Ltd.	Bermuda	1988/10/26
01072	Dongfang Electric Corporation Ltd. – H Shares	PRC	1994/06/06
00489	Dongfeng Motor Group Co. Ltd. – H Shares	PRC	2005/12/07
00895	Dongjiang Environmental Co. Ltd. – H Shares	PRC	2003/01/29

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00386	Dongpeng Holdings Co. Ltd.	Cayman Islands	2013/12/09
00695	Dongwu Cement International Ltd.	Cayman Islands	2012/06/13
00189	Dongyue Group Ltd.	Cayman Islands	2007/12/10
02266	Dorsett Hospitality International Ltd.	Cayman Islands	2010/10/11
00668	Dowell Property Holdings Ltd.	Hong Kong	1990/12/12
00935	Dragon Crown Group Holdings Ltd.	Cayman Islands	2011/06/10
00329	Dragonite International Ltd.	Cayman Islands	2001/05/09
01126	Dream International Ltd.	Hong Kong	2002/02/07
00356	DT Capital Ltd.	Cayman Islands	2002/06/07
01330	Dynagreen Environmental Protection Group Co., Ltd. – H Share	PRC	2014/06/19
06889	DYNAM JAPAN HOLDINGS Co., Ltd.	Japan	2012/08/06
00029	Dynamic Holdings Ltd.	Bermuda	n.a.
00828	Dynasty Fine Wines Group Ltd.	Cayman Islands	2005/01/26
00599	E. Bon Holdings Ltd.	Cayman Islands	2000/04/12
00936	Eagle Legend Asia Ltd.	Cayman Islands	2010/07/19
02368	Eagle Nice (International) Holdings Ltd.	Cayman Islands	2003/08/22
00901	Eagle Ride Investment Holdings Ltd.	Cayman Islands	2002/02/28
00339	Earnest Investments Holdings Ltd.	Bermuda	2000/07/26
06128	Earthasia International Holdings Ltd.	Cayman Islands	2014/06/25
00616	Easyknit Enterprises Holdings Ltd.	Bermuda	1991/09/16
01218	Easyknit International Holdings Ltd.	Bermuda	1995/01/30
02341	EcoGreen Fine Chemicals Group Ltd.	Cayman Islands	2004/03/09
01390	econtext Asia Ltd.	Hong Kong	2013/12/19
00943	eForce Holdings Ltd.	Bermuda	1997/06/25
06882	EGL Holdings Co. Ltd.	Cayman Islands	2014/11/28
00524	e-Kong Group Ltd.	Bermuda	1989/04/21
01151	Elec & Eltek International Co. Ltd.	Singapore	2011/07/08
00907	Elegance Optical International Holdings Ltd.	Bermuda	1996/04/11
01395	ELL Environmental Holdings Ltd.	Cayman Islands	2014/09/26
01388	Embry Holdings Ltd.	Cayman Islands	2006/12/18
00717	Emperor Capital Group Ltd.	Bermuda	2007/04/24
00296	Emperor Entertainment Hotel Ltd.	Bermuda	n.a.
00163	Emperor International Holdings Ltd.	Bermuda	1972/11/14
00887	Emperor Watch & Jewellery Ltd.	Hong Kong	2008/07/21
00622	Enerchina Holdings Ltd.	Bermuda	1991/09/16
00353	Energy International Investments Holdings Ltd.	Cayman Islands	2001/07/26
00128	ENM Holdings Ltd.	Hong Kong	n.a.
02688	ENN Energy Holdings Ltd.	Cayman Islands	2002/06/03
01808	Enterprise Development Holdings Ltd.	Cayman Islands	2007/01/11
01102	Enviro Energy International Holdings Ltd.	Cayman Islands	2007/06/06
00689	EPI (Holdings) Ltd.	Bermuda	1991/04/15
01884	eprint Group Ltd.	Cayman Islands	2013/12/03
01856	Ernest Borel Holdings Ltd.	Cayman Islands	2014/07/11
00330	Esprit Holdings Ltd.	Bermuda	1993/12/09
01061	Essex Bio-Technology Ltd.	Cayman Islands	2001/06/27
00571	eSun Holdings Ltd.	Bermuda	n.a.
00764	Eternity Investment Ltd.	Bermuda	2000/02/15
00838	EVA Precision Industrial Holdings Ltd.	Cayman Islands	2005/05/11
00202	EverChina Int'l Holdings Co. Ltd.	Hong Kong	n.a.
03333	Evergrande Real Estate Group Ltd.	Cayman Islands	2009/11/05
00238	Evergreen International Holdings Ltd.	Cayman Islands	2010/11/04
02308	EVOG Intelligent Technology Co. Ltd. – H Shares	PRC	2003/10/10
01372	Excel Development (Holdings) Ltd.	Cayman Islands	2013/12/11
00858	Extrawell Pharmaceutical Holdings Ltd.	Bermuda	1999/03/10
00117	EYANG Holdings (Group) Co., Ltd.	Cayman Islands	2007/12/21
00052	Fairwood Holdings Ltd.	Bermuda	1991/10/09
01777	Fantasia Holdings Group Co., Ltd.	Cayman Islands	2009/11/25
00035	Far East Consortium International Ltd.	Cayman Islands	1972/09/21
00830	Far East Global Group Ltd.	Cayman Islands	2010/03/30
00036	Far East Holdings International Ltd.	Hong Kong	1973/02/12
03360	Far East Horizon Ltd.	Hong Kong	2011/03/30
00037	Far East Hotels & Entertainment Ltd.	Hong Kong	1979/07/03
06288	Fast Retailing Co., Ltd. – DR	Japan	2014/03/05
00729	FDG Electric Vehicles Ltd.	Bermuda	1991/10/03
01738	Feishang Anthracite Resources Ltd.	British Virgin Islands	2014/01/22
01022	Feiyu Technology International Co. Ltd.	Cayman Islands	2014/12/05
02038	FIH Mobile Ltd.	Cayman Islands	2005/02/03
00865	First Mobile Group Holdings Ltd.	Cayman Islands	2000/12/29
00142	First Pacific Co. Ltd.	Bermuda	1988/09/12
00227	First Shanghai Investments Ltd.	Hong Kong	1972/08/24
00038	First Tractor Co Ltd. – H Shares	PRC	1997/06/23
02662	Fittec International Group Ltd.	Cayman Islands	2005/12/14
01998	Flyke International Holdings Ltd.	Cayman Islands	2010/03/29
02310	Forebase International Holdings Ltd.	Hong Kong	2003/07/04
00885	Forefront Group Ltd.	Cayman Islands	2001/07/12
00484	Forgame Holdings Ltd.	Cayman Islands	2013/10/03
00352	Fortune Sun (China) Holdings Ltd.	Cayman Islands	2006/07/05
00656	Fosun International Ltd.	Hong Kong	2007/07/16
00418	Founder Holdings Ltd.	Bermuda	1995/12/21
00420	Fountain Set (Holdings) Ltd.	Hong Kong	1988/04/20
00374	Four Seas Mercantile Holdings Ltd.	Cayman Islands	1993/08/25
00817	Franshion Properties (China) Ltd.	Hong Kong	2007/08/17
00279	Freeman Financial Corporation Ltd.	Cayman Islands	1988/05/11
06888	Freetech Road Recycling Technology (Holdings) Ltd.	Cayman Islands	2013/06/26
00500	Frontier Services Group Ltd.	Bermuda	1988/07/29
01175	FU JI Food and Catering Services Holdings Ltd.	Cayman Islands	2004/12/17

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
01448	Fu Shou Yuan International Group Ltd.	Cayman Islands	2013/12/19
00546	Fufeng Group Ltd.	Cayman Islands	2007/02/08
01819	Fuguiniao Co., Ltd. – H Shares	PRC	2013/12/20
00181	Fujian Holdings Ltd.	Hong Kong	1973/02/22
01353	Fujian Nuoqi Co., Ltd. – H Shares	PRC	2014/01/09
00927	Fujikon Industrial Holdings Ltd.	Bermuda	2000/04/11
00607	Fullshare Holdings Ltd.	Cayman Islands	2002/12/18
01443	Fulum Group Holdings Ltd.	Cayman Islands	2014/11/13
00465	Futong Technology Development Holdings Ltd.	Cayman Islands	2009/12/04
00703	Future Bright Holdings Ltd.	Bermuda	2002/08/15
01030	Future Land Development Holdings Ltd.	Cayman Islands	2012/11/29
00027	Galaxy Entertainment Group Ltd.	Hong Kong	1991/10/07
00451	GCL New Energy Holdings Ltd.	Bermuda	1992/03/25
03800	GCL-Poly Energy Holdings Ltd.	Cayman Islands	2007/11/13
00175	Geely Automobile Holdings Ltd.	Cayman Islands	1973/02/23
00535	Gemdale Properties and Investment Corporation Ltd.	Bermuda	1989/10/16
00174	Gemini Investments (Holdings) Ltd.	Hong Kong	1988/10/05
00678	Genting Hong Kong Ltd.	Bermuda	2000/11/30
02389	Genvon Group Ltd.	Cayman Islands	2002/04/26
00064	Get Nice Holdings Ltd.	Cayman Islands	2002/06/06
00709	Giordano International Ltd.	Bermuda	1991/06/19
00805	Glencore plc	Jersey	2011/05/25
00809	Global Bio-chem Technology Group Co. Ltd.	Cayman Islands	2001/03/16
00787	Global Brands Group Holding Ltd.	Bermuda	2014/07/09
01669	Global International Credit Group Ltd.	Cayman Islands	2014/12/12
03889	Global Sweeteners Holdings Ltd.	Cayman Islands	2007/09/20
00143	Global Tech (Holdings) Ltd.	Cayman Islands	1999/04/09
00845	Glorious Property Holdings Ltd.	Cayman Islands	2009/10/02
00393	Glorious Sun Enterprises Ltd.	Bermuda	1996/09/17
00040	Gold Peak Industries (Holdings) Ltd.	Hong Kong	1984/07/02
00172	Goldbond Group Holdings Ltd.	Hong Kong	n.a.
03308	Golden Eagle Retail Group Ltd.	Cayman Islands	2006/03/21
00801	Golden Meditech Holdings Ltd.	Cayman Islands	2001/12/28
00677	Golden Resources Development International Ltd.	Bermuda	1991/01/28
02123	Golden Shield Holdings (Industrial) Ltd.	Bermuda	2011/07/14
01232	Golden Wheel Tiandi Holdings Co. Ltd.	Cayman Islands	2013/01/16
00530	Goldin Financial Holdings Ltd.	Bermuda	1992/10/08
00283	Goldin Properties Holdings Ltd.	Hong Kong	n.a.
00533	Goldlion Holdings Ltd.	Hong Kong	1992/09/18
03315	Goldpac Group Ltd.	Hong Kong	2013/12/04
01118	Golik Holdings Ltd.	Bermuda	1994/07/15
00493	GOME Electrical Appliances Holding Ltd.	Bermuda	1992/04/15
00109	Good Fellow Resources Holdings Ltd.	Bermuda	1998/01/12
02398	Good Friend International Holdings Inc.	Cayman Islands	2006/01/11
01086	Goodbaby International Holdings Ltd.	Cayman Islands	2010/11/24
00108	GR Properties Ltd.	Hong Kong	1973/02/09
00844	Grand Concord International Holdings Ltd.	British Virgin Islands	2011/11/24
00115	Grand Field Group Holdings Ltd.	Bermuda	n.a.
01160	Grand Investment International Ltd.	Bermuda	2004/04/02
01271	Grand Ming Group Holdings Ltd.	Cayman Islands	2013/08/09
00186	Grande Holdings Ltd., The	Bermuda	1987/07/09
00141	Great China Holdings Ltd.	Hong Kong	1973/03/07
00021	Great China Properties Holdings Ltd.	Hong Kong	n.a.
00041	Great Eagle Holdings Ltd.	Bermuda	n.a.
03683	Great Harvest Maeta Group Holdings Ltd.	Cayman Islands	2010/10/11
02333	Great Wall Motor Co. Ltd. – H Shares	PRC	2003/12/15
00431	Greater China Holdings Ltd.	Bermuda	1992/09/16
00468	Greatview Aseptic Packaging Co. Ltd.	Cayman Islands	2010/12/09
00979	Green Energy Group Ltd.	Bermuda	1997/06/26
02700	Green International Holdings Ltd.	Cayman Islands	2006/09/29
00094	Greenheart Group Ltd.	Bermuda	1988/06/21
00337	Greenland Hong Kong Holdings Ltd.	Cayman Islands	2006/10/10
01318	Greens Holdings Ltd.	Cayman Islands	2009/11/06
03900	Greentown China Holdings Ltd.	Cayman Islands	2006/07/13
01051	G-Resources Group Ltd.	Bermuda	1994/04/29
00989	Ground Properties Co. Ltd.	Bermuda	1997/10/20
00601	Group Sense (International) Ltd.	Bermuda	1993/01/28
00270	Guangdong Investment Ltd.	Hong Kong	n.a.
00124	Guangdong Land Holdings Ltd.	Bermuda	1997/08/08
01058	Guangdong Tannery Ltd.	Hong Kong	1996/12/16
03399	Guangdong Yueyun Transportation Co. Ltd. – H Shares	PRC	2005/10/26
01203	Guangnan (Holdings) Ltd.	Hong Kong	1994/12/09
00525	Guangshen Railway Co. Ltd. – H Shares	PRC	1996/05/14
02238	Guangzhou Automobile Group Co., Ltd. – H Shares	PRC	2010/08/30
00874	Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.- H Shares	PRC	1997/10/30
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	PRC	2005/07/14
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	PRC	1993/08/06
00559	Guocang Group Ltd.	Bermuda	1997/01/03
00053	Guoco Group Ltd.	Bermuda	1983/05/03
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	PRC	2011/12/30
02329	Guorui Properties Ltd.	Cayman Islands	2014/07/07
01788	Guotai Junan International Holdings Ltd.	Hong Kong	2010/07/08
00657	G-Vision International (Holdings) Ltd.	Bermuda	1992/10/30
02255	Haichang Holdings Ltd.	Cayman Islands	2014/03/13
01169	Haier Electronics Group Co., Ltd.	Bermuda	1997/12/23
00357	Hainan Meilan International Airport Co. Ltd. – H Shares	PRC	2002/11/18

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
01882	Haitian International Holdings Ltd.	Cayman Islands	2006/12/22
00665	Haitong International Securities Group Ltd.	Bermuda	1996/08/06
06837	Haitong Securities Co., Ltd. – H Shares	PRC	2012/04/27
01367	Hanbo Enterprises Holdings Ltd.	Cayman Islands	2014/07/11
00566	Hanergy Thin Film Power Group Ltd.	Bermuda	1996/01/29
00911	Hang Fat Ginseng Holdings Co. Ltd.	Cayman Islands	2014/06/27
00010	Hang Lung Group Ltd.	Hong Kong	1972/10/12
00101	Hang Lung Properties Ltd.	Hong Kong	n.a.
00011	Hang Seng Bank Ltd.	Hong Kong	1972/06/20
03903	Hanhua Financial Holding Co., Ltd. – H Shares	PRC	2014/06/19
00896	Hanison Construction Holdings Ltd.	Cayman Islands	2002/01/10
00275	Hanny Holdings Ltd.	Bermuda	1991/12/16
00554	Hans Energy Co. Ltd.	Cayman Islands	1997/05/28
00474	Hao Tian Development Group Ltd.	Cayman Islands	2006/06/06
06138	Harbin Bank Co., Ltd. – H Shares	PRC	2014/03/31
01133	Harbin Electric Co. Ltd. – H Shares	PRC	1994/12/16
00051	Harbour Centre Development Ltd.	Hong Kong	n.a.
00033	Harmonic Strait Financial Holdings Ltd.	Cayman Islands	2007/11/19
00428	Harmony Asset Ltd.	Cayman Islands	1993/12/14
02280	HC International, Inc.	Cayman Islands	2003/12/17
00097	Henderson Investment Ltd.	Hong Kong	1972/11/06
00012	Henderson Land Development Co. Ltd.	Hong Kong	1981/07/23
00185	Heng Fai Enterprises Ltd.	Hong Kong	1972/12/20
00197	Heng Tai Consumables Group Ltd.	Cayman Islands	2001/12/03
01044	Hengan International Group Co. Ltd.	Cayman Islands	1998/12/08
03389	Hengdeli Holdings Ltd.	Cayman Islands	2005/09/26
01370	Hengshi Mining Investments Ltd.	Cayman Islands	2013/11/28
01085	Hengxin Technology Ltd.	Singapore	2010/12/23
02303	Hengxing Gold Holding Co. Ltd.	Cayman Islands	2014/05/29
00859	Henry Group Holdings Ltd.	Bermuda	2000/06/15
00114	Herald Holdings Ltd.	Bermuda	n.a.
00412	Heritage International Holdings Ltd.	Bermuda	1992/04/15
00818	Hi Sun Technology (China) Ltd.	Bermuda	1997/12/22
01393	Hidili Industry International Development Ltd.	Cayman Islands	2007/09/21
00608	High Fashion International Ltd.	Bermuda	1992/08/04
01682	Highlight China IoT International Ltd.	Bermuda	2010/10/05
01623	Hilong Holding Ltd.	Cayman Islands	2011/04/21
06893	Hin Sang Group (International) Holding Co. Ltd.	Cayman Islands	2014/10/16
00396	Hing Lee (HK) Holdings Ltd.	Bermuda	2009/06/22
00921	Hisense Kelon Electrical Holdings Co. Ltd. – H Shares	PRC	1996/07/23
02638	HK Electric Investments Ltd.	Cayman Islands	2014/01/29
00190	HKC (Holdings) Ltd.	Bermuda	1987/05/29
00248	HKC International Holdings Ltd.	Cayman Islands	2001/11/09
00480	HKR International Ltd.	Cayman Islands	1988/03/11
06823	HKT Ltd.	Cayman Islands	2011/11/29
01087	HL Technology Group Ltd.	Cayman Islands	2010/11/16
00007	Hoifu Energy Group Ltd.	Bermuda	2000/09/08
00160	Hon Kwok Land Investment Co., Ltd.	Hong Kong	n.a.
00044	Hong Kong Aircraft Engineering Co. Ltd.	Hong Kong	n.a.
00003	Hong Kong and China Gas Co. Ltd., The	Hong Kong	n.a.
00145	Hong Kong Building and Loan Agency Ltd., The	Hong Kong	1972/07/01
00423	Hong Kong Economic Times Holdings Ltd.	Cayman Islands	2005/08/03
01082	Hong Kong Education (Int'l) Investments Ltd.	Cayman Islands	2011/07/04
00388	Hong Kong Exchanges and Clearing Ltd.	Hong Kong	2000/06/27
00050	Hong Kong Ferry (Holdings) Co. Ltd.	Hong Kong	n.a.
01273	Hong Kong Finance Group Ltd.	Cayman Islands	2013/10/02
00060	Hong Kong Food Investment Holdings Ltd.	Hong Kong	1992/12/16
02882	Hong Kong Resources Holdings Co. Ltd.	Bermuda	2003/06/30
01137	Hong Kong Television Network Ltd.	Hong Kong	1997/08/04
00196	Honghua Group Ltd.	Cayman Islands	2008/03/07
00045	Hongkong and Shanghai Hotels, Ltd., The	Hong Kong	n.a.
00655	Hongkong Chinese Ltd.	Bermuda	1992/10/12
02226	Honworld Group Ltd.	Cayman Islands	2014/01/28
02320	Hop Fung Group Holdings Ltd.	Cayman Islands	2003/09/24
00047	Hop Hing Group Holdings Ltd.	Cayman Islands	1988/11/16
00733	Hopefluent Group Holdings Ltd.	Cayman Islands	2004/07/15
00737	Hopewell Highway Infrastructure Ltd.	Cayman Islands	2003/08/06
00054	Hopewell Holdings Ltd.	Hong Kong	1972/08/21
00754	Hopson Development Holdings Ltd.	Bermuda	1998/05/27
02200	Hosa International Ltd.	Cayman Islands	2011/12/16
00005	HSBC Holdings plc	England	n.a.
00404	Hsin Chong Construction Group Ltd.	Bermuda	1991/08/14
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	Cayman Islands	2002/12/10
01347	Hua Hong Semiconductor Ltd.	Hong Kong	2014/10/15
00969	Hua Lien International (Holding) Co. Ltd.	Cayman Islands	2000/02/02
00336	Huabao International Holdings Ltd.	Bermuda	1992/01/22
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	PRC	2012/06/28
01071	Huadian Power International Corporation Ltd. – H Shares	PRC	1999/06/30
00377	Huajun Holdings Ltd.	Bermuda	1993/04/19
00902	Huaneng Power International, Inc. – H Shares	PRC	1998/01/21
00958	Huaneng Renewables Corporation Ltd. – H Shares	PRC	2011/06/10
01689	Huaxi Holdings Co. Ltd.	Cayman Islands	2013/12/06
01823	HUAYU Expressway Group Ltd.	Cayman Islands	2009/12/23
01673	Huazhang Technology Holding Ltd.	Cayman Islands	2013/05/16
06830	Huazhong Holdings Co. Ltd.	Cayman Islands	2012/01/12
01303	Huilil Resources (Group) Ltd.	Cayman Islands	2012/01/12

List of listed companies on Main Board *(continued)*

End of 2014

Code	Company name	Incorporation	Listing date
03698	Huishang Bank Corporation Ltd. – H shares	PRC	2013/11/12
01340	Huisheng International Holdings Ltd.	Cayman Islands	2014/02/28
01280	Huiyin Household Appliances (Holdings) Co., Ltd.	Cayman Islands	2010/03/25
02626	Hunan Nonferrous Metals Corporation Ltd. – H Shares	PRC	2006/03/31
01446	Hung Fook Tong Group Holdings Ltd.	Cayman Islands	2014/07/04
00450	Hung Hing Printing Group Ltd.	Hong Kong	1992/03/16
00704	Huscoke Resources Holdings Ltd.	Bermuda	1991/05/27
00715	Hutchison Harbour Ring Ltd.	Bermuda	1991/07/05
00215	Hutchison Telecommunications Hong Kong Holdings Ltd.	Cayman Islands	2009/05/08
00013	Hutchison Whampoa Ltd.	Hong Kong	1978/01/03
01188	Hybrid Kinetic Group Ltd.	Bermuda	1995/04/06
01396	Hydoo International Holding Ltd.	Cayman Islands	2013/10/31
00014	Hysan Development Co. Ltd.	Hong Kong	1981/09/18
00999	I.T Ltd.	Bermuda	2005/03/04
01097	i-CABLE Communications Ltd.	Hong Kong	1999/11/24
00167	IDT International Ltd.	Bermuda	1988/10/21
00585	Imagi International Holdings Ltd.	Bermuda	1997/03/10
01076	Imperial Pacific International Holdings Ltd.	Bermuda	2002/02/11
01398	Industrial and Commercial Bank of China Ltd. – H Shares	PRC	2006/10/27
00640	Infinity Chemical Holdings Co. Ltd.	Cayman Islands	2010/08/12
01152	Infinity Financial Group (Holdings) Ltd.	Bermuda	2011/10/28
03948	Inner Mongolia Yitai Coal Co., Ltd. – H Shares	PRC	2012/07/12
00596	Inspur International Ltd.	Cayman Islands	2004/04/29
00923	Integrated Waste Solutions Group Holdings Ltd.	Cayman Islands	2010/03/31
01328	International Elite Ltd.	Cayman Islands	2007/10/16
01009	International Entertainment Corporation	Cayman Islands	2000/07/31
01373	International Housewares Retail Co. Ltd.	Cayman Islands	2013/09/25
00091	International Standard Resources Holdings Ltd.	Hong Kong	1981/06/29
01833	Intime Retail (Group) Co. Ltd.	Cayman Islands	2007/03/20
00982	iOne Holdings Ltd.	Bermuda	2008/07/14
00929	IPE Group Ltd.	Cayman Islands	2004/11/01
01029	IRC Ltd.	Hong Kong	2010/10/21
00438	IRICO Group Electronics Co. Ltd. – H Shares	PRC	2004/12/20
00372	ITC Corporation Ltd.	Bermuda	1992/02/13
00199	ITC Properties Group Ltd.	Bermuda	1994/03/18
00776	JF Household Furnishings Ltd.	Cayman Islands	2005/10/13
01626	Jia Yao Holdings Ltd.	Cayman Islands	2014/06/27
00602	Jiahua Stores Holdings Ltd.	Cayman Islands	2007/05/21
01366	Jiangnan Group Ltd.	Cayman Islands	2012/04/20
00177	Jiangsu Expressway Co. Ltd. – H Shares	PRC	1997/06/27
00358	Jiangxi Copper Co. Ltd. – H Shares	PRC	1997/06/12
01285	Jiashili Group Ltd.	Cayman Islands	2014/09/25
00549	Jilin Qifeng Chemical Fiber Co., Ltd. – H Shares	PRC	2006/06/21
01239	Jin Bao Bao Holdings Ltd.	Cayman Islands	2011/11/18
01250	Jin Cai Holdings Co. Ltd.	Cayman Islands	2013/07/05
02362	Jinchuan Group International Resources Co. Ltd.	Cayman Islands	2003/10/27
01862	Jingrui Holdings Ltd.	Cayman Islands	2013/10/31
00350	Jingwei Textile Machinery Co. Ltd. – H Shares	PRC	1996/02/02
00872	Jinheng Automotive Safety Technology Holdings Ltd.	Cayman Islands	2004/12/09
00137	Jinhui Holdings Co. Ltd.	Hong Kong	1991/12/06
06139	Jinmao (China) Investments Holdings Ltd.	Cayman Islands	2014/07/02
02211	Jintian Pharmaceutical Group Ltd.	Cayman Islands	2013/12/12
02358	Jiu Rong Holdings Ltd.	Cayman Islands	2004/07/15
00472	JLF Investment Co. Ltd.	Bermuda	1988/01/29
00179	Johnson Electric Holdings Ltd.	Bermuda	1984/07/11
02028	Jolimark Holdings Ltd.	Cayman Islands	2005/06/29
00647	Joyce Boutique Holdings Ltd.	Bermuda	1990/10/16
03336	Ju Teng International Holdings Ltd.	Cayman Islands	2005/11/03
01329	Juda International Holdings Ltd.	Cayman Islands	2012/04/02
00397	Jun Yang Solar Power Investments Ltd.	Bermuda	1993/10/13
00758	Junefield Department Store Group Ltd.	Bermuda	1999/12/03
03303	Jutal Offshore Oil Services Ltd.	Cayman Islands	2006/09/21
00675	K & P International Holdings Ltd.	Bermuda	1997/01/02
00173	K. Wah International Holdings Ltd.	Bermuda	1987/02/06
00822	Ka Shui International Holdings Ltd.	Cayman Islands	2007/06/27
00180	Kader Holdings Co. Ltd.	Bermuda	1985/05/24
01281	Kai Shi China Holdings Co. Ltd.	Cayman Islands	2012/01/12
01215	Kai Yuan Holdings Ltd.	Bermuda	1997/01/17
01638	Kaisa Group Holdings Ltd.	Cayman Islands	2009/12/09
02307	Kam Hing International Holdings Ltd.	Cayman Islands	2004/09/23
06136	Kangda International Environmental Co. Ltd.	Cayman Islands	2014/07/04
01059	Kantone Holdings Ltd.	Cayman Islands	1997/01/23
01050	Karrie International Holdings Ltd.	Bermuda	1996/12/16
00496	Kasen International Holdings Ltd.	Cayman Islands	2005/10/20
00847	KAZ Minerals PLC	England	2011/06/29
00184	Keck Seng Investments (Hong Kong) Ltd.	Hong Kong	1973/02/24
02011	KEE Holdings Co. Ltd.	Cayman Islands	2011/01/12
00464	Kenford Group Holdings Ltd.	Cayman Islands	2005/06/16
00636	Kerry Logistics Network Ltd.	Bermuda	2013/12/19
00683	Kerry Properties Ltd.	Bermuda	1996/08/05
03816	KFM Kingdom Holdings Ltd.	Cayman Islands	2012/10/15
00638	Kin Yat Holdings Ltd.	Bermuda	1997/05/01
01277	Kinetic Mines and Energy Ltd.	Cayman Islands	2012/03/23
00280	King Fook Holdings Ltd.	Hong Kong	1988/03/28
00663	King Stone Energy Group Ltd.	Hong Kong	1990/11/30
01421	Kingbo Strike Ltd.	Cayman Islands	2013/12/30

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00148	Kingboard Chemical Holdings Ltd.	Cayman Islands	1993/10/08
01888	Kingboard Laminates Holdings Ltd.	Cayman Islands	2006/12/07
00268	Kingdee International Software Group Co. Ltd.	Cayman Islands	2005/07/20
00528	Kingdom Holdings Ltd.	Cayman Islands	2006/12/12
01170	Kingmaker Footwear Holdings Ltd.	Bermuda	1994/09/29
03888	Kingsoft Corporation Ltd.	Cayman Islands	2007/10/09
01031	Kingston Financial Group Ltd.	Bermuda	1996/07/23
01195	Kingwell Group Ltd.	Cayman Islands	2003/01/20
01110	Kingworld Medicines Group Ltd.	Cayman Islands	2010/11/25
01201	Kith Holdings Ltd.	Bermuda	1998/06/23
00381	Kiu Hung Energy Holdings Ltd.	Cayman Islands	2001/01/22
00827	Ko Yo Chemical (Group) Ltd.	Cayman Islands	2003/07/10
00295	Kong Sun Holdings Ltd.	Hong Kong	1970/11/28
03709	Koradior Holdings Ltd.	Cayman Islands	2014/06/27
00034	Kowloon Development Co. Ltd.	Hong Kong	1995/07/04
00439	KuangChi Science Ltd.	Bermuda	1992/03/11
00135	Kunlun Energy Co. Ltd.	Bermuda	1973/03/13
01813	KWG Property Holding Ltd.	Cayman Islands	2007/07/03
00306	Kwoon Chung Bus Holdings Ltd.	Bermuda	1996/09/25
00558	L.K. Technology Holdings Ltd.	Cayman Islands	2006/10/16
01262	Labixiaoxin Snacks Group Ltd.	Bermuda	2011/12/09
01125	Lai Fung Holdings Ltd.	Cayman Islands	1997/11/28
00488	Lai Sun Development Co. Ltd.	Hong Kong	1988/03/11
00191	Lai Sun Garment (International) Ltd.	Hong Kong	1987/12/03
00411	Lam Soon (Hong Kong) Ltd.	Hong Kong	1972/10/31
00582	Landing International Development Ltd.	Bermuda	2002/04/30
00106	Landsea Green Properties Co., Ltd.	Bermuda	1986/03/24
01270	Langham Hospitality Investments Ltd.	Cayman Islands	2013/05/30
00503	Lansen Pharmaceutical Holdings Ltd.	Cayman Islands	2010/05/07
02488	Launch Tech Co. Ltd. – H Shares	PRC	2002/10/07
00738	Le Saunda Holdings Ltd.	Bermuda	1992/12/11
00746	Lee & Man Chemical Co. Ltd.	Cayman Islands	2002/01/16
01488	Lee & Man Handbags Holding Ltd.	Cayman Islands	2011/06/27
02314	Lee & Man Paper Manufacturing Ltd.	Cayman Islands	2003/09/26
00068	Lee Hing Development Ltd.	Hong Kong	1972/11/09
00637	Lee Kee Holdings Ltd.	Cayman Islands	2006/10/04
00387	Leeport (Holdings) Ltd.	Bermuda	2003/07/10
00950	Lee's Pharmaceutical Holdings Ltd.	Cayman Islands	2002/07/15
01355	Legend Strategy International Holdings Group Co. Ltd.	Cayman Islands	2011/07/15
00992	Lenovo Group Ltd.	Hong Kong	1994/02/14
00842	Leoch International Technology Ltd.	Cayman Islands	2010/11/16
01225	Lerado Group (Holding) Co. Ltd.	Bermuda	1998/12/18
00494	Li & Fung Ltd.	Bermuda	1992/07/01
02331	Li Ning Co. Ltd.	Cayman Islands	2004/06/28
00980	Lianhua Supermarket Holdings Co Ltd – H Shares	PRC	2003/06/27
01212	Lifestyle International Holdings Ltd.	Cayman Islands	2004/04/15
02183	Lifestyle Properties Development Ltd.	Cayman Islands	2013/09/12
01302	LifeTech Scientific Corporation	Cayman Islands	2011/11/10
02005	Lijun International Pharmaceutical (Holding) Co. Ltd.	Cayman Islands	2005/12/20
03330	Lingbao Gold Co. Ltd. – H Shares	PRC	2006/01/12
00915	Linmark Group Ltd.	Bermuda	2002/05/10
00156	Lippo China Resources Ltd.	Hong Kong	n.a.
00226	Lippo Ltd.	Hong Kong	n.a.
00526	Lisi Group (Holdings) Ltd.	Bermuda	1995/10/11
00194	Liu Chong Hing Investment Ltd.	Hong Kong	1972/11/13
01513	Livzon Pharmaceutical Group Inc. – H Shares	PRC	2014/01/16
00973	L'Occitane International S.A.	Luxembourg	2010/05/07
03380	Logan Property Holdings Co. Ltd.	Cayman Islands	2013/12/20
00960	Longfor Properties Co. Ltd.	Cayman Islands	2009/11/19
02898	Longrun Tea Group Co. Ltd.	Cayman Islands	2002/09/05
03339	Lonking Holdings Ltd.	Cayman Islands	2005/11/17
00988	Loudong General Nice Resources (China) Holdings Ltd.	Bermuda	1994/01/21
00577	Louis XIII Holdings Ltd.	Bermuda	1996/04/25
00195	L'sea Resources International Holdings Ltd.	Cayman Islands	2008/11/12
00112	LT Commercial Real Estate Ltd.	Hong Kong	1972/12/06
00311	Luen Thai Holdings Ltd.	Cayman Islands	2004/07/15
00590	Luk Fook Holdings (International) Ltd.	Bermuda	1997/05/06
00366	Luks Group (Vietnam Holdings) Co., Ltd.	Bermuda	1987/01/07
00348	Lung Cheong International Holdings Ltd.	Cayman Islands	1997/09/30
00255	Lung Kee (Bermuda) Holdings Ltd.	Bermuda	1993/03/05
01108	Luoyang Glass Co. Ltd. – H Shares	PRC	1994/07/08
02186	Luye Pharma Group Ltd.	Bermuda	2014/07/09
00095	LVGEM (China) Real Estate Investment Co. Ltd.	Cayman Islands	2005/12/02
00323	Maanshan Iron & Steel Co. Ltd. – H Shares	PRC	1993/11/03
01680	Macau Legend Development Ltd.	Cayman Islands	2013/07/05
00231	Madex International (Holdings) Ltd.	Bermuda	n.a.
00201	Magnificent Estates Ltd.	Hong Kong	1972/11/17
02080	Magnum Entertainment Group Holdings Ltd.	Cayman Islands	2014/01/23
01100	Mainland Headwear Holdings Ltd.	Bermuda	2000/12/13
00938	Man Sang International Ltd.	Bermuda	1997/09/26
01466	Man Sang Jewellery Holdings Ltd.	Cayman Islands	2014/10/17
01999	Man Wah Holdings Ltd.	Bermuda	2010/04/09
00894	Man Yue Technology Holdings Ltd.	Bermuda	1997/03/05
00945	Manulife Financial Corporation	Canada	1999/09/27
00848	Maoye International Holdings Ltd.	Cayman Islands	2008/05/05
00136	Mascotte Holdings Ltd.	Bermuda	1997/09/25

List of listed companies on Main Board *(continued)*

End of 2014

Code	Company name	Incorporation	Listing date
00905	Mastermind Capital Ltd.	Cayman Islands	1998/07/17
01005	Matrix Holdings Ltd.	Bermuda	1994/02/18
01116	Mayer Holdings Ltd.	Cayman Islands	2004/06/21
00685	Media Chinese International Ltd.	Bermuda	1991/03/22
01360	Mega Expo Holdings Ltd.	Cayman Islands	2013/11/06
00391	Mei Ah Entertainment Group Ltd.	Bermuda	1993/10/18
00953	Meike International Holdings Ltd.	Cayman Islands	2010/02/01
00158	Melbourne Enterprises Ltd.	Hong Kong	1972/08/29
06883	Melco Crown Entertainment Ltd.	Cayman Islands	2011/12/07
00200	Melco International Development Ltd.	Hong Kong	n.a.
01237	Merry Garden Holdings Ltd.	Cayman Islands	2012/07/06
01618	Metallurgical Corporation of China Ltd. – H Shares	PRC	2009/09/24
00022	Mexan Ltd.	Bermuda	1972/12/14
02282	MGM China Holdings Ltd.	Cayman Islands	2011/06/03
00853	MicroPort Scientific Corporation	Cayman Islands	2010/09/24
01021	Midas Holdings Ltd.	Singapore	2010/10/06
01172	Midas International Holdings Ltd.	Cayman Islands	1996/06/12
01200	Midland Holdings Ltd.	Bermuda	1995/06/08
00459	Midland IC&I Ltd.	Cayman Islands	2001/03/15
01555	MIE Holdings Corporation	Cayman Islands	2010/12/14
01247	Miko International Holdings Ltd.	Cayman Islands	2014/01/15
01150	Milan Station Holdings Ltd.	Cayman Islands	2011/05/23
00222	Min Xin Holdings Ltd.	Hong Kong	1982/06/28
03828	Ming Fai International Holdings Ltd.	Cayman Islands	2007/11/02
00860	Ming Fung Jewellery Group Ltd.	Cayman Islands	2002/09/03
00846	Mingfa Group (International) Co. Ltd.	Cayman Islands	2009/11/13
00233	Mingyuan Medicare Development Co. Ltd.	Bermuda	1986/03/19
00230	Minmetals Land Ltd.	Bermuda	1991/12/20
00425	Minth Group Ltd.	Cayman Islands	2005/12/01
00071	Miramar Hotel & Investment Co. Ltd.	Hong Kong	n.a.
01141	Mission Capital Holdings Ltd.	Bermuda	1998/03/12
01208	MMG Ltd.	Hong Kong	1994/12/15
00947	MOBI Development Co., Ltd.	Cayman Islands	2009/12/17
01213	Mobicon Group Ltd.	Bermuda	2001/05/07
00919	Modern Beauty Salon Holdings Ltd.	Cayman Islands	2006/02/09
01107	Modern Land (China) Co., Ltd.	Cayman Islands	2013/07/12
00072	Modern Media Holdings Ltd.	Cayman Islands	2009/09/09
00130	Moiselle International Holdings Ltd.	Cayman Islands	2002/02/11
00276	Mongolia Energy Corporation Ltd.	Bermuda	1972/08/23
00975	Mongolian Mining Corporation	Cayman Islands	2010/10/13
00066	MTR Corporation Ltd.	Hong Kong	2000/10/05
00898	Multifield International Holdings Ltd.	Bermuda	1998/07/31
03918	NagaCorp Ltd.	Cayman Islands	2006/10/19
00680	Nan Hai Corporation Ltd.	Bermuda	1991/02/13
01229	Nan Nan Resources Enterprise Ltd.	Bermuda	1995/04/13
00553	Nanjing Panda Electronics Co. Ltd. – H Shares	PRC	1996/05/02
01708	Nanjing Sample Technology Co. Ltd. – H Shares	PRC	2004/06/09
03332	Nanjing Sinolife United Co. Ltd. – H Shares	PRC	2014/01/15
00212	Nanyang Holdings Ltd.	Bermuda	1954/09/15
01236	National Agricultural Holdings Ltd.	Cayman Islands	1999/12/17
00213	National Electronics Holdings Ltd.	Bermuda	1985/02/04
01227	National Investments Fund Ltd.	Cayman Islands	2002/09/27
00254	National United Resources Holdings Ltd.	Hong Kong	1972/11/16
00157	Natural Beauty Bio-Technology Ltd.	Cayman Islands	2002/03/28
00462	Natural Dairy (NZ) Holdings Ltd.	Cayman Islands	2005/06/10
02083	Nature Home Holding Co. Ltd.	Cayman Islands	2011/05/26
01868	Neo-Neon Holdings Ltd.	Cayman Islands	2006/12/15
00070	Neptune Group Ltd.	Hong Kong	n.a.
00777	NetDragon Websoft Inc.	Cayman Islands	2008/06/24
00234	New Century Group Hong Kong Ltd.	Bermuda	1972/11/24
01336	New China Life Insurance Co. Ltd. – H Shares	PRC	2011/12/15
00456	New City Development Group Ltd.	Cayman Islands	1993/07/14
02221	New Concepts Holdings Ltd.	Cayman Islands	2014/09/19
00360	New Focus Auto Tech Holdings Ltd.	Cayman Islands	2005/02/28
00708	New Media Group Holdings Ltd.	Hong Kong	2008/02/12
00166	New Times Energy Corporation Ltd.	Bermuda	1998/10/13
00917	New World China Land Ltd.	Cayman Islands	1999/07/16
00825	New World Department Store China Ltd.	Cayman Islands	2007/07/12
00017	New World Development Co. Ltd.	Hong Kong	1972/11/23
00055	Newway Group Holdings Ltd.	Bermuda	1992/09/10
00342	NewOcean Energy Holdings Ltd.	Bermuda	1993/03/03
01231	Newton Resources Ltd.	Cayman Islands	2011/07/04
01323	Newtree Group Holdings Ltd.	Cayman Islands	2011/01/13
00282	Next Media Ltd.	Hong Kong	n.a.
01316	Nexteer Automotive Group Ltd.	Cayman Islands	2013/10/07
01462	Nga Chun Holdings Co. Ltd.	Cayman Islands	2014/10/16
01047	Ngai Hing Hong Co. Ltd.	Bermuda	1994/04/25
01246	Ngai Shun Holdings Ltd.	Cayman Islands	2013/10/16
02689	Nine Dragons Paper (Holdings) Ltd.	Bermuda	2006/03/03
01438	Nirvana Asia Ltd.	Cayman Islands	2014/12/17
02322	Noble Century Investment Holdings Ltd.	Bermuda	2003/04/09
00061	North Asia Resources Holdings Ltd.	Bermuda	1999/07/06
00433	North Mining Shares Co. Ltd.	Bermuda	n.a.
00042	Northeast Electric Development Co. Ltd. – H Shares	PRC	1995/07/06
01048	Novo Group Ltd.	Singapore	2010/12/06
02222	NVC Lighting Holding Ltd.	Cayman Islands	2010/05/20

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00659	NWS Holdings Ltd.	Bermuda	1997/04/25
01319	Oi Wah Pawnshop Credit Holdings Ltd.	Cayman Islands	2013/03/12
06123	On Time Logistics Holdings Ltd.	Cayman Islands	2014/07/11
00426	One Media Group Ltd.	Cayman Islands	2005/10/18
00877	O-Net Communications (Group) Ltd.	Cayman Islands	2010/04/29
01140	OP Financial Investments Ltd.	Cayman Islands	2003/03/20
00810	Opes Asia Development Ltd.	Bermuda	2002/02/28
00798	Optics Valley Union Holding Co. Ltd.	Cayman Islands	2014/03/28
01132	Orange Sky Golden Harvest Entertainment (Holdings) Ltd.	Bermuda	1994/11/23
00316	Orient Overseas (International) Ltd.	Bermuda	1992/07/31
00265	Orient Victory China Holdings Ltd.	Cayman Islands	n.a.
00430	Oriental Explorer Holdings Ltd.	Bermuda	1993/03/30
00018	Oriental Press Group Ltd.	Hong Kong	1987/08/18
00398	Oriental Watch Holdings Ltd.	Bermuda	1993/10/12
06880	OTO Holdings Ltd.	Cayman Islands	2011/12/13
06899	Ourgame International Holdings Ltd.	Cayman Islands	2014/06/30
03366	Overseas Chinese Town (Asia) Holdings Ltd.	Cayman Islands	2005/11/02
02014	Ozner Water International Holding Ltd.	Cayman Islands	2014/06/17
01174	Pacific Andes International Holdings Ltd.	Bermuda	1994/10/03
02343	Pacific Basin Shipping Ltd.	Bermuda	2004/07/14
00432	Pacific Century Premium Developments Ltd.	Bermuda	1993/09/20
00543	Pacific Online Ltd.	Cayman Islands	2007/12/18
00767	Pacific Plywood Holdings Ltd.	Bermuda	1995/11/20
01382	Pacific Textiles Holdings Ltd.	Cayman Islands	2007/05/18
01010	PacMOS Technologies Holdings Ltd.	Bermuda	1994/02/18
00239	Pak Fah Yeow International Ltd.	Bermuda	1991/12/18
02668	Pak Tak International Ltd.	Bermuda	2001/12/06
00495	Paladin Ltd.	Bermuda	1988/07/20
00617	Paliburg Holdings Ltd.	Bermuda	1993/12/17
00556	Pan Asia Environmental Protection Group Ltd.	Cayman Islands	2007/12/21
02078	PanAsialum Holdings Co. Ltd.	Cayman Islands	2013/02/05
01180	Paradise Entertainment Ltd.	Bermuda	1997/01/20
03368	Parkson Retail Group Ltd.	Cayman Islands	2005/11/30
00327	PAX Global Technology Ltd.	Bermuda	2010/12/20
01263	PC Partner Group Ltd.	Cayman Islands	2012/01/12
00008	PCCW Ltd.	Hong Kong	1994/10/18
00402	Peace Map Holding Ltd.	Cayman Islands	2006/03/14
01968	Peak Sport Products Co., Ltd.	Cayman Islands	2009/09/29
00632	Pearl Oriental Oil Ltd.	Bermuda	1993/04/30
01187	Pearl River Tyre (Holdings) Ltd.	Bermuda	1999/06/08
00676	Pegasus International Holdings Ltd.	Bermuda	1996/10/11
00618	Peking University Resources (Holdings) Co. Ltd.	Bermuda	1991/10/07
01822	Perception Digital Holdings Ltd.	Cayman Islands	2009/12/16
00725	Perennial International Ltd.	Bermuda	1996/12/30
01830	Perfect Shape (PRC) Holdings Ltd.	Cayman Islands	2012/02/10
00765	Perfectech International Holdings Ltd.	Bermuda	1992/10/23
00850	PetroAsian Energy Holdings Ltd.	Cayman Islands	2002/11/13
00857	PetroChina Co. Ltd. – H Shares	PRC	2000/04/07
02178	Petro-king Oilfield Services Ltd.	British Virgin Islands	2013/03/06
01515	Phoenix Healthcare Group Co. Ltd.	Cayman Islands	2013/11/29
02008	Phoenix Satellite Television Holdings Ltd.	Cayman Islands	2000/06/30
02328	PICC Property and Casualty Co. Ltd. – H Shares	PRC	2003/11/06
00752	Pico Far East Holdings Ltd.	Cayman Islands	1992/09/28
01079	Pine Technology Holdings Ltd.	Bermuda	1999/11/26
02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	PRC	2004/06/24
00364	Ping Shan Tea Group Ltd.	Cayman Islands	2002/08/30
00224	Pioneer Global Group Ltd.	Bermuda	n.a.
00635	Playmates Holdings Ltd.	Bermuda	1994/01/06
00869	Playmates Toys Ltd.	Bermuda	2008/02/01
00379	PME Group Ltd.	Cayman Islands	2002/11/13
00221	PNG Resources Holdings Ltd.	Bermuda	2002/11/07
00225	Pokfulam Development Co. Ltd.	Hong Kong	1972/12/19
03636	Poly Culture Group Corporation Ltd. – H Shares	PRC	2014/03/06
00119	Poly Property Group Co., Ltd.	Hong Kong	1973/08/30
00208	Polytec Asset Holdings Ltd.	Cayman Islands	1998/09/09
00589	Ports Design Ltd.	Bermuda	2003/10/31
03813	Pou Sheng International (Holdings) Ltd.	Bermuda	2008/06/06
00006	Power Assets Holdings Ltd.	Hong Kong	n.a.
01238	Powerlong Real Estate Holdings Ltd.	Cayman Islands	2009/10/14
01913	PRADA S.p.A.	Italy	2011/06/24
00803	Prosperity International Holdings (H.K.) Ltd.	Bermuda	2001/08/02
00310	Prosperity Investment Holdings Ltd.	Bermuda	1992/12/21
00334	Proview International Holdings Ltd.	Bermuda	1997/06/18
02378	Prudential plc	England	2010/05/25
00626	Public Financial Holdings Ltd.	Bermuda	1991/10/03
01358	PW Medtech Group Ltd.	Cayman Islands	2013/11/08
00498	PYI Corporation Ltd.	Bermuda	1993/09/21
00516	Pyxis Group Ltd.	Bermuda	1992/09/03
01478	Q Technology (Group) Co. Ltd.	Cayman Islands	2014/12/02
00499	Qingdao Holdings International Ltd.	Bermuda	1988/07/22
06198	Qingdao Port International Co., Ltd. – H Shares	PRC	2014/06/06
01122	Qingling Motors Co. Ltd. – H Shares	PRC	1994/08/17
03369	Qinhuangdao Port Co., Ltd. – H Shares	PRC	2013/12/12
00243	QPL International Holdings Ltd.	Bermuda	1987/01/20
01332	Qualipak International Holdings Ltd.	Bermuda	2012/07/12
01348	Quali-Smart Holdings Ltd.	Cayman Islands	2013/01/23

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00952	Quam Ltd.	Bermuda	1997/09/24
03868	Qunxing Paper Holdings Co. Ltd.	Cayman Islands	2007/10/02
00229	Raymond Industrial Ltd.	Hong Kong	1984/11/22
00802	RCG Holdings Ltd.	Bermuda	2009/02/10
00246	Real Gold Mining Ltd.	Cayman Islands	2009/02/23
02010	Real Nutraceutical Group Ltd.	Cayman Islands	2010/02/19
01196	Realord Group Holdings Ltd.	Bermuda	1997/01/20
01622	Redco Properties Group Ltd.	Cayman Islands	2014/01/30
00078	Regal Hotels International Holdings Ltd.	Bermuda	n.a.
00575	Regent Pacific Group Ltd.	Cayman Islands	1997/05/19
01387	Renhe Commercial Holdings Co. Ltd.	Cayman Islands	2008/10/22
03628	RENHENG Enterprise Holdings Ltd.	Cayman Islands	2011/11/18
00376	ReOrient Group Ltd.	Hong Kong	1987/07/17
00164	REX Global Entertainment Holdings Ltd.	Bermuda	1973/01/26
00555	REXLot Holdings Ltd.	Bermuda	2000/09/28
00183	Richfield Group Holdings Ltd.	Cayman Islands	2007/09/05
00313	Richly Field China Development Ltd.	Bermuda	1993/01/05
01004	Rising Development Holdings Ltd.	Bermuda	1997/10/09
00281	Rivera (Holdings) Ltd.	Hong Kong	1973/01/23
01098	Road King Infrastructure Ltd.	Bermuda	1996/07/04
00888	RoadShow Holdings Ltd.	Bermuda	2001/06/28
00578	Rosan Resources Holdings Ltd.	Bermuda	1997/05/15
01189	Rosedale Hotel Holdings Ltd.	Bermuda	1997/10/06
01198	Royale Furniture Holdings Ltd.	Cayman Islands	2002/05/15
02288	Rykadan Capital Ltd.	Cayman Islands	2009/08/21
00251	S E A Holdings Ltd.	Bermuda	1973/10/03
01255	S. Culture International Holdings Ltd.	Cayman Islands	2013/07/11
01184	S.A.S. Dragon Holdings Ltd.	Bermuda	1994/10/17
00178	Sa Sa International Holdings Ltd.	Cayman Islands	1997/06/13
00237	Safety Godown Co. Ltd.	Hong Kong	1973/01/09
03822	Sam Woo Construction Group Ltd.	Cayman Islands	2014/10/16
00531	Samson Holding Ltd.	Cayman Islands	2005/11/17
00731	Samson Paper Holdings Ltd.	Bermuda	1995/12/01
01910	Samsonite International S.A.	Luxembourg	2011/06/16
00236	San Miguel Brewery Hong Kong Ltd.	Hong Kong	n.a.
00482	Sandmartin International Holdings Ltd.	Bermuda	2005/05/12
01928	Sands China Ltd.	Cayman Islands	2009/11/30
00631	Sany Heavy Equipment International Holdings Co. Ltd.	Cayman Islands	2009/11/25
01768	Sateri Holdings Ltd.	Bermuda	2010/12/08
00583	SCMP Group Ltd.	Bermuda	1990/06/29
01399	Scud Group Ltd.	Cayman Islands	2006/12/21
00491	See Corporation Ltd.	Bermuda	1992/06/10
00205	SEEC Media Group Ltd.	Cayman Islands	1993/01/05
00981	Semiconductor Manufacturing International Corporation	Cayman Islands	2004/03/18
01812	Shandong Chenming Paper Holdings Ltd. – H Shares	PRC	2008/06/18
00568	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	PRC	2007/02/07
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	PRC	2004/02/27
00719	Shandong Xinhua Pharmaceutical Co. Ltd. – H Shares	PRC	1996/12/31
02727	Shanghai Electric Group Co. Ltd. – H Shares	PRC	2005/04/28
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares	PRC	2012/10/30
01385	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	PRC	2000/08/04
01349	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. – H Shares	PRC	2002/08/13
00363	Shanghai Industrial Holdings Ltd.	Hong Kong	1996/05/30
00563	Shanghai Industrial Urban Development Group Ltd.	Bermuda	1993/09/10
00770	Shanghai International Shanghai Growth Investment Ltd.	Cayman Islands	1993/11/30
02006	Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd. – H Shares	PRC	2006/12/15
06116	Shanghai La Chapelle Fashion Co., Ltd. – H Shares	PRC	2014/10/09
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	PRC	2011/05/20
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	PRC	2006/04/27
01103	Shanghai Tonva Petrochemical Co., Ltd. – H Shares	PRC	2005/07/13
00755	Shanghai Zendai Property Ltd.	Bermuda	1992/10/27
00069	Shangri-La Asia Ltd.	Bermuda	1993/06/17
01335	Sheen Tai Holdings Group Co. Ltd.	Cayman Islands	2012/07/13
00851	Sheng Yuan Holdings Ltd.	Bermuda	1998/12/02
02066	Shengjing Bank Co., Ltd. – H Shares	PRC	2014/12/29
01080	Shengli Oil & Gas Pipe Holdings Ltd.	Cayman Islands	2009/12/18
00829	Shenguan Holdings (Group) Ltd.	Cayman Islands	2009/10/13
00300	Shenji Group Kunming Machine Tool Co. Ltd. – H Shares	PRC	1993/12/07
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	PRC	1999/12/16
00218	Shenyin Wanguo (H.K.) Ltd.	Hong Kong	n.a.
00548	Shenzhen Expressway Co. Ltd. – H Shares	PRC	1997/03/12
00152	Shenzhen International Holdings Ltd.	Bermuda	1972/09/25
00604	Shenzhen Investment Ltd.	Hong Kong	1997/03/07
02313	Shenzhou International Group Holdings Ltd.	Cayman Islands	2005/11/24
01831	ShiFang Holding Ltd.	Cayman Islands	2010/12/03
00485	Shihua Development Co. Ltd.	Bermuda	1988/04/12
00813	Shimao Property Holdings Ltd.	Cayman Islands	2006/07/05
02728	Shinhint Acoustic Link Holdings Ltd.	Cayman Islands	2005/07/14
00312	Shirble Department Store Holdings (China) Ltd.	Cayman Islands	2010/11/17
00666	SHK Hong Kong Industries Ltd.	Hong Kong	1990/12/21
00103	Shougang Concord Century Holdings Ltd.	Hong Kong	1992/04/09
00730	Shougang Concord Grand (Group) Ltd.	Bermuda	1991/08/08
00697	Shougang Concord International Enterprises Co. Ltd.	Hong Kong	1991/04/30
00521	Shougang Concord Technology Holdings Ltd.	Hong Kong	1988/12/23
00639	Shougang Fushan Resources Group Ltd.	Hong Kong	1990/10/02
01241	Shuanghua Holdings Ltd.	Cayman Islands	2011/06/30

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00272	Shui On Land Ltd.	Cayman Islands	2006/10/04
00650	Shun Cheong Holdings Ltd.	Bermuda	1992/10/07
00253	Shun Ho Resources Holdings Ltd.	Hong Kong	n.a.
00219	Shun Ho Technology Holdings Ltd.	Hong Kong	1973/05/10
00242	Shun Tak Holdings Ltd.	Hong Kong	1973/01/25
01165	Shunfeng International Clean Energy Ltd.	Cayman Islands	2011/07/13
01142	Siberian Mining Group Co. Ltd.	Cayman Islands	2002/11/08
00107	Sichuan Expressway Co. Ltd. – H Shares	PRC	1997/10/07
00460	Sihuan Pharmaceutical Holdings Group Ltd.	Bermuda	2010/10/28
01863	Sijia Group Co. Ltd.	Cayman Islands	2010/04/29
00886	Silver Base Group Holdings Ltd.	Cayman Islands	2009/04/08
00171	Silver Grant International Industries Ltd.	Hong Kong	n.a.
01616	Silverman Holdings Ltd.	Cayman Islands	2012/07/12
02000	SIM Technology Group Ltd.	Bermuda	2005/06/30
00993	Simsen International Corporation Ltd.	Bermuda	1994/02/16
00244	Sincere Co. Ltd., The	Hong Kong	n.a.
00444	Sincere Watch (Hong Kong) Ltd.	Cayman Islands	2005/10/17
01105	Sing Tao News Corporation Ltd.	Bermuda	1996/07/10
00716	Singamas Container Holdings Ltd.	Hong Kong	1993/07/08
01177	Sino Biopharmaceutical Ltd.	Cayman Islands	2003/12/08
00628	Sino Credit Holdings Ltd.	Bermuda	2002/07/24
00039	Sino Distillery Group Ltd.	Cayman Islands	2001/01/16
00361	Sino Golf Holdings Ltd.	Bermuda	2000/12/20
01106	Sino Haijing Holdings Ltd.	Cayman Islands	2003/06/25
01663	Sino Harbour Property Group Ltd.	Bermuda	2011/07/22
01221	Sino Hotels (Holdings) Ltd.	Cayman Islands	1995/03/08
00083	Sino Land Co. Ltd.	Hong Kong	1981/04/08
00702	Sino Oil and Gas Holdings Ltd.	Bermuda	2000/02/09
00766	Sino Prosper (Group) Holdings Ltd.	Cayman Islands	2002/05/15
00223	Sino Resources Group Ltd.	Cayman Islands	2002/04/10
00299	SinoCom Software Group Ltd.	Cayman Islands	2004/04/30
00297	Sinofert Holdings Ltd.	Bermuda	1996/09/30
01159	Sinogreen Energy International Group Ltd.	Bermuda	1998/03/13
00250	Sino-i Technology Ltd.	Hong Kong	n.a.
01168	Sinolink Worldwide Holdings Ltd.	Bermuda	1998/06/08
01418	Sinomax Group Ltd.	Cayman Islands	2014/07/10
00623	SinoMedia Holding Ltd.	Hong Kong	2008/07/08
03377	Sino-Ocean Land Holdings Ltd.	Hong Kong	2007/09/28
02386	SINOPEC Engineering (Group) Co., Ltd. – H Shares	PRC	2013/05/23
00934	Sinopec Kantons Holdings Ltd.	Bermuda	1999/06/25
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	PRC	1993/07/26
01033	Sinopec Yizheng Chemical Fibre Co. Ltd. – H Shares	PRC	1994/03/29
01099	Sinopharm Group Co. Ltd. – H Shares	PRC	2009/09/23
01020	Sinoref Holdings Ltd.	Cayman Islands	2010/07/07
01297	Sinosoft Technology Group Ltd.	Cayman Islands	2013/07/09
00724	Sino-Tech International Holdings Ltd.	Bermuda	2000/07/03
00598	Sinotrans Ltd. – H Shares	PRC	2003/02/13
00368	Sinotrans Shipping Ltd.	Hong Kong	2007/11/23
03808	Sinotruk (Hong Kong) Ltd.	Hong Kong	2007/11/28
00529	SiS International Holdings Ltd.	Bermuda	1992/08/18
01308	SITC International Holdings Co. Ltd.	Cayman Islands	2010/10/06
01023	Sitoy Group Holdings Ltd.	Cayman Islands	2011/12/06
00880	SJM Holdings Ltd.	Hong Kong	2008/07/16
00059	Skyfame Realty (Holdings) Ltd.	Bermuda	1993/11/16
00593	SkyOcean International Holdings Ltd.	Bermuda	1993/07/27
00751	Skyworth Digital Holdings Ltd.	Bermuda	2000/04/07
00395	Smartac Group China Holdings Ltd.	Cayman Islands	2002/10/28
00315	SmarTone Telecommunications Holdings Ltd.	Bermuda	1996/10/31
02366	SMI Culture Group Holdings Ltd.	Cayman Islands	2004/06/30
00198	SMI Holdings Group Ltd.	Bermuda	1973/02/07
00983	SOCAM Development Ltd.	Bermuda	1997/02/03
00410	SOHO China Ltd.	Cayman Islands	2007/10/08
00757	Solargiga Energy Holdings Ltd.	Cayman Islands	2008/03/31
01166	Solartech International Holdings Ltd.	Bermuda	1996/12/12
02878	Solomon Systech (International) Ltd.	Cayman Islands	2004/04/08
00967	Sound Global Ltd.	Singapore	2010/09/30
00878	Soundwill Holdings Ltd.	Bermuda	1997/03/21
00413	South China (China) Ltd.	Cayman Islands	1987/06/16
00619	South China Financial Holdings Ltd.	Hong Kong	1993/07/16
00726	South East Group Ltd.	Bermuda	1991/07/25
00076	South Sea Petroleum Holdings Ltd.	Hong Kong	1984/09/24
01908	South West Eco Development Ltd.	Cayman Islands	2012/12/14
00252	Southeast Asia Properties & Finance Ltd.	Hong Kong	n.a.
01878	SouthGobi Resources Ltd.	Canada	2010/01/29
00970	Sparkle Roll Group Ltd.	Bermuda	1997/09/18
00540	Speedy Global Holdings Ltd.	Cayman Islands	2013/01/15
01700	Springland International Holdings Ltd.	Cayman Islands	2010/10/21
01251	SPT Energy Group Inc.	Cayman Islands	2011/12/23
01207	SRE Group Ltd.	Bermuda	1999/12/10
02888	Standard Chartered PLC	England	2002/10/31
00403	Starlite Holdings Ltd.	Bermuda	1993/03/03
01836	Stella International Holdings Ltd.	Cayman Islands	2007/07/06
00084	Stelux Holdings International Ltd.	Bermuda	1972/10/02
00852	Strong Petrochemical Holdings Ltd.	Cayman Islands	2009/01/12
00211	Styland Holdings Ltd.	Bermuda	1991/12/05
00487	Success Universe Group Ltd.	Bermuda	1988/05/03

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00912	Suga International Holdings Ltd.	Bermuda	2002/09/18
00102	Summit Ascent Holdings Ltd.	Bermuda	1994/01/10
01089	Sumpo Food Holdings Ltd.	Cayman Islands	2011/01/11
06808	Sun Art Retail Group Ltd.	Hong Kong	2011/07/27
01383	Sun Century Group Ltd.	Cayman Islands	2007/02/22
00365	Sun East Technology (Holdings) Ltd.	Bermuda	2000/10/16
00125	Sun Hing Vision Group Holdings Ltd.	Bermuda	1999/05/25
00086	Sun Hung Kai & Co. Ltd.	Hong Kong	1983/10/03
00016	Sun Hung Kai Properties Ltd.	Hong Kong	1972/09/08
00580	Sun.King Power Electronics Group Ltd.	Cayman Islands	2010/10/13
01918	Sunac China Holdings Ltd.	Cayman Islands	2010/10/07
01063	Suncorp Technologies Ltd.	Bermuda	1994/05/10
01771	Sunfonda Group Holdings Ltd.	Cayman Islands	2014/05/15
02336	Sunlink International Holdings Ltd.	Cayman Islands	2003/03/03
02382	Sunny Optical Technology (Group) Co. Ltd.	Cayman Islands	2007/06/15
02608	Sunshine 100 China Holdings Ltd.	Cayman Islands	2014/03/13
02012	Sunshine Oilsands Ltd.	Canada	2012/03/01
00188	Sunwah Kingsway Capital Holdings Ltd.	Bermuda	2000/09/15
00058	Sunway International Holdings Ltd.	Bermuda	1999/09/03
01228	Superb Summit International Group Ltd.	Cayman Islands	2001/09/18
00723	Sustainable Forest Holdings Ltd.	Bermuda	1991/07/18
00019	Swire Pacific Ltd.	Hong Kong	n.a.
01972	Swire Properties Ltd.	Hong Kong	2012/01/18
01223	Symphony Holdings Ltd.	Bermuda	1995/03/01
02340	Synergis Holdings Ltd.	Bermuda	2003/10/09
01613	Synertone Communication Corporation	Cayman Islands	2012/04/18
01991	Ta Yang Group Holdings Ltd.	Cayman Islands	2007/06/08
00928	Tack Fiori International Group Ltd.	Cayman Islands	2002/04/29
00088	Tai Cheung Holdings Ltd.	Bermuda	1972/12/11
00146	Tai Ping Carpets International Ltd.	Bermuda	n.a.
00089	Tai Sang Land Development Ltd.	Hong Kong	1973/02/21
00918	Takson Holdings Ltd.	Bermuda	1997/10/15
00760	Talent Property Group Ltd.	Bermuda	1995/08/08
00693	Tan Chong International Ltd.	Bermuda	1998/07/07
01181	Tang Palace (China) Holdings Ltd.	Cayman Islands	2011/04/19
00812	Tanrich Financial Holdings Ltd.	Bermuda	2002/01/30
00573	Tao Heung Holdings Ltd.	Cayman Islands	2007/06/29
00621	Taung Gold International Ltd.	Bermuda	1995/12/18
00515	TC Orient Lighting Holdings Ltd.	Cayman Islands	2006/06/23
01136	TCC International Holdings Ltd.	Cayman Islands	1997/10/06
02618	TCL Communication Technology Holdings Ltd.	Cayman Islands	2004/09/27
01070	TCL Multimedia Technology Holdings Ltd.	Cayman Islands	1999/11/26
03823	Tech Pro Technology Development Ltd.	Cayman Islands	2007/09/06
01298	Techcomp (Holdings) Ltd.	Bermuda	2011/12/21
01206	Technovator International Ltd.	Singapore	2011/10/27
00669	Techtronic Industries Co. Ltd.	Hong Kong	1990/12/17
01143	Telefield International (Holdings) Ltd.	Cayman Islands	2011/01/27
00511	Television Broadcasts Ltd.	Hong Kong	1988/11/23
00700	Tencent Holdings Ltd.	Cayman Islands	2004/06/16
06868	Tenfu (Cayman) Holdings Co. Ltd.	Cayman Islands	2011/09/26
01219	Tenwow International Holdings Ltd.	Cayman Islands	2013/09/17
00093	Termbray Industries International (Holdings) Ltd.	Bermuda	1991/10/23
00277	Tern Properties Co. Ltd.	Hong Kong	n.a.
02678	Texhong Textile Group Ltd.	Cayman Islands	2004/12/09
00321	Texwinca Holdings Ltd.	Bermuda	1992/08/06
01339	The People's Insurance Co. (Group) of China Ltd. – H Shares	PRC	2012/12/07
00990	Theme International Holdings Ltd.	Bermuda	1994/01/25
00028	Tian An China Investments Co. Ltd.	Hong Kong	1987/03/18
01980	Tian Ge Interactive Holdings Ltd.	Cayman Islands	2014/07/09
02118	Tian Shan Development (Holding) Ltd.	Cayman Islands	2010/07/15
00266	Tian Teck Land Ltd.	Hong Kong	n.a.
00455	Tianda Pharmaceuticals Ltd.	Cayman Islands	1992/03/30
00609	Tiande Chemical Holdings Ltd.	Cayman Islands	2006/10/27
00826	Tiangong International Co. Ltd.	Cayman Islands	2007/07/26
01619	Tianhe Chemicals Group Ltd.	British Virgin Islands	2014/06/20
01065	Tianjin Capital Environmental Protection Group Co. Ltd.-H Sh	PRC	1994/05/17
00882	Tianjin Development Holdings Ltd.	Hong Kong	1997/12/10
01265	Tianjin Jinran Public Utilities Co. Ltd. – H Shares	PRC	2004/01/09
03382	Tianjin Port Development Holdings Ltd.	Cayman Islands	2006/05/24
00819	Tianneng Power International Ltd.	Cayman Islands	2007/06/11
01115	Tibet 5100 Water Resources Holdings Ltd.	Cayman Islands	2011/06/30
02033	Time Watch Investments Ltd.	Cayman Islands	2013/02/05
01233	Times Property Holdings Ltd.	Cayman Islands	2013/12/11
00322	Tingyi (Cayman Islands) Holding Corp.	Cayman Islands	1996/02/05
01192	Titan Petrochemicals Group Ltd.	Bermuda	1998/06/17
02283	TK Group (Holdings) Ltd.	Cayman Islands	2013/12/20
02383	TOM Group Ltd.	Cayman Islands	2004/08/04
00258	Tomson Group Ltd.	Cayman Islands	n.a.
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	PRC	2000/10/31
00698	Tongda Group Holdings Ltd.	Cayman Islands	2000/12/22
01249	Tonly Electronics Holdings Ltd.	Cayman Islands	2013/08/15
00333	Top Form International Ltd.	Bermuda	1991/12/16
03688	Top Spring International Holdings Ltd.	Cayman Islands	2011/03/23
02323	Topsearch International (Holdings) Ltd.	Bermuda	2002/06/21
03886	Town Health International Medical Group Ltd.	Bermuda	2000/10/18
01083	Towngas China Co. Ltd.	Cayman Islands	2005/12/08

List of listed companies on Main Board *(continued)*

End of 2014

Code	Company name	Incorporation	Listing date
00903	TPV Technology Ltd.	Bermuda	1999/10/08
00536	Tradelink Electronic Commerce Ltd.	Hong Kong	2005/10/28
00062	Transport International Holdings Ltd.	Bermuda	n.a.
01235	Travel Expert (Asia) Enterprises Ltd.	Cayman Islands	2011/09/29
00696	TravelSky Technology Ltd. – H Shares	PRC	2001/02/07
01300	Trigiant Group Ltd.	Cayman Islands	2012/03/19
00891	Trinity Ltd.	Bermuda	2009/11/03
00458	Tristate Holdings Ltd.	Bermuda	1988/01/06
02468	Trony Solar Holdings Co. Ltd.	Cayman Islands	2010/10/07
00732	Truly International Holdings Ltd.	Cayman Islands	1991/07/29
00206	TSC Group Holdings Ltd.	Cayman Islands	2005/11/28
00417	Tse Sui Luen Jewellery (International) Ltd.	Bermuda	1987/06/30
00247	Tsim Sha Tsui Properties Ltd.	Hong Kong	1972/07/20
00168	Tsingtao Brewery Co. Ltd. – H Shares	PRC	1993/07/15
01314	Tsui Wah Holdings Ltd.	Cayman Islands	2012/11/26
00518	Tungtex (Holdings) Co. Ltd.	Hong Kong	1988/12/15
00687	Tysan Holdings Ltd.	Bermuda	1991/03/26
00768	UBA Investments Ltd.	Cayman Islands	2000/01/18
00620	UDL Holdings Ltd.	Bermuda	1991/09/30
02327	U-Home Group Holdings Ltd.	Bermuda	2003/10/14
00690	Uni-Bio Science Group Ltd.	Cayman Islands	2001/11/12
00220	Uni-President China Holdings Ltd.	Cayman Islands	2007/12/17
00486	United Company RUSAL Plc	Jersey	2010/01/27
00467	United Energy Group Ltd.	Bermuda	1992/04/08
00399	United Gene High-Tech Group Ltd.	Bermuda	2000/08/23
03933	United Laboratories International Holdings Ltd., The	Cayman Islands	2007/06/15
00176	United Pacific Industries Ltd.	Bermuda	1994/04/28
00686	United Photovoltaics Group Ltd.	Bermuda	2000/04/13
00913	Unity Investments Holdings Ltd.	Cayman Islands	1999/10/27
01026	Universal Technologies Holdings Ltd.	Cayman Islands	2001/10/26
01046	Universe International Holdings Ltd.	Bermuda	1999/07/20
00307	Up Energy Development Group Ltd.	Bermuda	1992/12/02
00335	Upbest Group Ltd.	Cayman Islands	2000/10/18
00627	U-RIGHT International Holdings Ltd.	Bermuda	2000/11/13
01002	V.S. International Group Ltd.	Cayman Islands	2002/02/08
00082	V1 Group Ltd.	Bermuda	1991/10/25
06210	Vale S.A. – DR	Brazil	2010/12/08
00821	Value Convergence Holdings Ltd.	Hong Kong	2001/04/09
00806	Value Partners Group Ltd.	Cayman Islands	2007/11/22
01001	Van Shung Chong Holdings Ltd.	Bermuda	1994/02/18
01036	Vanke Property (Overseas) Ltd.	Cayman Islands	1996/11/08
00015	Vantage International (Holdings) Ltd.	Bermuda	2000/09/08
00710	Varitronix International Ltd.	Bermuda	1991/07/01
02317	Vedan International (Holdings) Ltd	Cayman Islands	2003/06/27
01173	Veeko International Holdings Ltd.	Cayman Islands	1999/04/19
00539	Victory City International Holdings Ltd.	Bermuda	1996/05/13
01139	Victory Group Ltd.	Bermuda	1998/02/16
00422	Vietnam Manufacturing and Export Processing (Holdings) Ltd.	Cayman Islands	2007/12/20
03331	Vinda International Holdings Ltd.	Cayman Islands	2007/07/10
01315	Vision Fame International Holding Ltd.	Cayman Islands	2012/01/18
00862	Vision Values Holdings Ltd.	Cayman Islands	1998/10/14
00345	Vitasoy International Holdings Ltd.	Hong Kong	1994/03/30
01178	Vitop Bioenergy Holdings Ltd.	Cayman Islands	2003/02/10
00318	Vongroup Ltd.	Cayman Islands	2001/10/09
00856	VST Holdings Ltd.	Cayman Islands	2002/05/09
00303	VTech Holdings Ltd.	Bermuda	1992/11/05
00278	Wah Ha Realty Co. Ltd.	Hong Kong	1972/04/24
01305	Wai Chi Holdings Co. Ltd.	Cayman Islands	2014/11/18
01013	Wai Chun Group Holdings Ltd.	Bermuda	1997/02/03
00660	Wai Chun Mining Industry Group Co. Ltd.	Cayman Islands	1993/02/05
00610	Wai Kee Holdings Ltd.	Bermuda	1992/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	Bermuda	1997/10/31
01386	Walker Group Holdings Ltd.	Cayman Islands	2007/06/07
00169	Wanda Hotel Development Co. Ltd.	Bermuda	2002/06/04
01222	Wang On Group Ltd.	Bermuda	1995/02/28
01400	Wang Tai Holdings Ltd.	Cayman Islands	2014/04/25
03939	Wanguo International Mining Group Ltd.	Cayman Islands	2012/07/10
00401	Wanjia Group Holdings Ltd.	Cayman Islands	2013/10/11
00151	Want Want China Holdings Ltd.	Cayman Islands	2008/03/26
03393	Wasion Group Holdings Ltd.	Cayman Islands	2005/12/19
01161	Water Oasis Group Ltd.	Cayman Islands	2002/03/11
02338	Weichai Power Co. Ltd. – H Shares	PRC	2004/03/11
02698	Weiqiao Textile Co. Ltd. – H Shares	PRC	2003/09/24
00382	Welling Holding Ltd.	Hong Kong	1993/12/20
02233	West China Cement Ltd.	Jersey	2010/08/23
00288	WH Group Ltd.	Cayman Islands	2014/08/05
00004	Wharf (Holdings) Ltd., The	Hong Kong	n.a.
00020	Wheelock and Co. Ltd.	Hong Kong	n.a.
00854	Willas-Array Electronics (Holdings) Ltd.	Bermuda	2013/12/06
00273	Willie International Holdings Ltd.	Hong Kong	n.a.
03322	Win Hanverky Holdings Ltd.	Cayman Islands	2006/09/06
00287	Winfair Investment Co. Ltd.	Hong Kong	n.a.
00063	Winfoong International Ltd.	Bermuda	1986/02/26
00864	Wing Lee Property Investments Ltd.	Bermuda	2013/03/19
00289	Wing On Company International Ltd.	Bermuda	n.a.
00876	Wing Tai Investment Holdings Ltd.	Bermuda	1997/04/10

List of listed companies on Main Board (continued)

End of 2014

Code	Company name	Incorporation	Listing date
00369	Wing Tai Properties Ltd.	Bermuda	1973/02/07
06838	Winox Holdings Ltd.	Cayman Islands	2011/07/20
01733	Winsway Enterprises Holdings Ltd.	British Virgin Islands	2010/10/11
01661	Wisdom Holdings Group	Cayman Islands	2013/07/11
02236	Wison Engineering Services Co. Ltd.	Cayman Islands	2012/12/28
01260	Wonderful Sky Financial Group Holdings Ltd.	Cayman Islands	2012/03/30
00099	Wong's International Holdings Ltd.	Bermuda	1983/12/15
00532	Wong's Kong King International (Holdings) Ltd.	Bermuda	1989/07/10
00713	World Houseware (Holdings) Ltd.	Cayman Islands	1993/04/15
01282	World Wide Touch Technology (Holdings) Ltd.	Cayman Islands	2010/12/15
00305	Wuling Motors Holdings Ltd.	Bermuda	1992/11/23
01025	Wumart Stores, Inc. – H Shares	PRC	2003/11/21
01289	Wuxi Sunlit Science and Technology Co. Ltd. – H Shares	PRC	2014/11/11
01889	Wuyi International Pharmaceutical Co. Ltd.	Cayman Islands	2007/02/01
01369	Wuzhou International Holdings Ltd.	Cayman Islands	2013/06/13
01128	Wynn Macau, Ltd.	Cayman Islands	2009/10/09
00520	Xiabuxiabu Catering Management (China) Holdings Co., Ltd.	Cayman Islands	2014/12/17
03378	Xiamen International Port Co. Ltd. – H Shares	PRC	2005/12/19
03666	Xiao Nan Guo Restaurants Holdings Ltd.	Cayman Islands	2012/07/04
03663	Xiezhong International Holdings Ltd.	Cayman Islands	2012/06/18
01148	Xinchen China Power Holdings Ltd.	Cayman Islands	2013/03/13
01899	Xingda International Holdings Ltd.	Cayman Islands	2006/12/21
00098	Xingfa Aluminium Holdings Ltd.	Cayman Islands	2008/03/31
00505	Xingye Copper International Group Ltd.	Cayman Islands	2007/12/27
00309	Xinhua News Media Holdings Ltd.	Cayman Islands	2003/07/25
00811	Xinhua Winshare Publishing and Media Co., Ltd. – H Shares	PRC	2007/05/30
02208	Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares	PRC	2010/10/08
00840	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	PRC	2008/01/24
03833	Xinjiang Xinxin Mining Industry Co., Ltd. – H Shares	PRC	2007/10/12
00868	Xinyi Glass Holdings Ltd.	Cayman Islands	2005/02/03
00968	Xinyi Solar Holdings Ltd.	Cayman Islands	2013/12/12
02088	Xiwang Property Holdings Co. Ltd.	Bermuda	2005/12/09
01266	Xiwang Special Steel Co. Ltd.	Hong Kong	2012/02/23
01368	Xtep International Holdings Ltd.	Cayman Islands	2008/06/03
00075	Y. T. Realty Group Ltd.	Bermuda	1984/11/12
01480	Yan Tat Group Holdings Ltd.	Cayman Islands	2014/12/08
00346	Yanchang Petroleum International Ltd.	Bermuda	2001/04/19
06869	Yangtze Optical Fibre and Cable Joint Stock Ltd. Co. – H Shs	PRC	2014/12/10
00294	Yangtzekiang Garment Ltd.	Hong Kong	n.a.
02218	Yantai North Andre Juice Co. Ltd. – H Shares	PRC	2003/04/22
01171	Yanzhou Coal Mining Co. Ltd. – H Shares	PRC	1998/04/01
01230	Yashili International Holdings Ltd.	Cayman Islands	2010/11/01
00406	Yau Lee Holdings Ltd.	Bermuda	1991/08/29
00259	Yeebo (International Holdings) Ltd.	Bermuda	1993/09/01
02393	Yestar International Holdings Co. Ltd.	Cayman Islands	2013/10/11
00375	YGM Trading Ltd.	Hong Kong	1988/01/25
02213	Yi Hua Department Store Holdings Ltd.	Cayman Islands	2013/12/11
03639	Yida China Holdings Ltd.	Cayman Islands	2014/06/27
02168	Yingde Gases Group Co. Ltd.	Cayman Islands	2009/10/08
00408	Yip's Chemical Holdings Ltd.	Cayman Islands	1991/08/22
03608	Yongsheng Advanced Materials Co. Ltd.	Cayman Islands	2013/11/27
02788	Yorkey Optical International (Cayman) Ltd.	Cayman Islands	2006/02/10
02268	Youyuan International Holdings Ltd.	Cayman Islands	2010/05/27
00332	Yuan Heng Gas Holdings Ltd.	Bermuda	1992/09/25
02789	Yuanda China Holdings Ltd.	Cayman Islands	2011/05/17
01431	YuanShengTai Dairy Farm Ltd.	Bermuda	2013/11/26
00629	Yue Da Mining Holdings Ltd.	Cayman Islands	2001/11/29
00551	Yue Yuen Industrial (Holdings) Ltd.	Bermuda	1992/07/02
01191	Yueshou Environmental Holdings Ltd.	Bermuda	1994/10/26
00123	Yuexiu Property Co. Ltd.	Hong Kong	1992/12/15
01052	Yuexiu Transport Infrastructure Ltd.	Bermuda	1997/01/30
00613	Yugang International Ltd.	Bermuda	1993/11/12
00096	Yusei Holdings Ltd.	Cayman Islands	2005/10/13
01628	Yuzhou Properties Co. Ltd.	Cayman Islands	2009/11/02
02098	Zall Development Group Ltd.	Cayman Islands	2011/07/13
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	PRC	2006/12/08
00576	Zhejiang Expressway Co. Ltd. – H Shares	PRC	1997/05/15
01057	Zhejiang Shibao Co. Ltd. – H Shares	PRC	2006/05/16
03363	Zhengye International Holdings Co. Ltd.	Bermuda	2011/06/03
00564	Zhengzhou Coal Mining Machinery Group Co. Ltd. – H Shares	PRC	2012/12/05
01220	Zhidao International (Holdings) Ltd.	Bermuda	1997/09/23
00672	Zhong An Real Estate Ltd.	Cayman Islands	2007/11/13
00475	Zhong Fa Zhan Holdings Ltd.	Cayman Islands	2007/04/17
01064	Zhong Hua International Holdings Ltd.	Bermuda	1997/10/13
00909	Zhongda International Holdings Ltd.	Bermuda	2001/11/01
00881	Zhongsheng Group Holdings Ltd.	Cayman Islands	2010/03/26
02379	Zhongtian International Ltd.	Cayman Islands	2004/09/22
03633	Zhongyu Gas Holdings Ltd.	Cayman Islands	2001/06/05
01176	Zhuguang Holdings Group Co. Ltd.	Bermuda	1996/12/09
00908	Zhuhai Holdings Investment Group Ltd.	Bermuda	1998/05/26
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	PRC	2006/12/20
02899	Zijin Mining Group Co., Ltd. – H Shares	PRC	2003/12/23
00948	Z-Obee Holdings Ltd.	Bermuda	2010/03/01
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd-H Sh	PRC	2010/12/23
00763	ZTE Corporation – H Shares	PRC	2004/12/09

n.a. Information is not available

List of listed companies on GEM

End of 2014

Code	Company name	Incorporation	Listing date
08131	abc Multiactive Ltd.	Bermuda	2001/01/31
08061	AcrossAsia Ltd.	Cayman Islands	2000/07/13
08210	Advanced Card Systems Holdings Ltd.	Cayman Islands	2003/11/10
08279	AGTech Holdings Ltd.	Bermuda	2004/01/19
08295	Asian Capital Holdings Ltd.	Cayman Islands	2010/06/18
08025	Asian Capital Resources (Holdings) Ltd.	Cayman Islands	1999/12/16
08148	Aurum Pacific (China) Group Ltd.	Cayman Islands	2004/01/09
08216	Bamboos Health Care Holdings Ltd.	Cayman Islands	2014/07/08
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. - H Shs	PRC	2000/07/27
08138	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	Hong Kong	2013/05/07
08220	Bingo Group Holdings Ltd.	Cayman Islands	2002/11/12
08247	Biosino Bio-Technology and Science Incorporation - H Shares	PRC	2006/02/27
08219	Branding China Group Ltd.	Cayman Islands	2012/04/27
08312	Brilliance Worldwide Holdings Ltd.	Cayman Islands	2010/11/25
08320	C Cheng Holdings Ltd.	Cayman Islands	2013/12/20
08239	Capital Finance Holdings Ltd.	Bermuda	2002/11/15
08235	CCID Consulting Co. Ltd. - H Shares	PRC	2002/12/12
08212	Celebrate International Holdings Ltd.	Cayman Islands	2002/05/14
08020	Chanceton Financial Group Ltd.	Cayman Islands	2011/10/12
08016	Changhong Jiahua Holdings Ltd.	Bermuda	2000/01/24
08087	China 33 Media Group Ltd.	Cayman Islands	2011/02/28
08078	China 3D Digital Entertainment Ltd.	Bermuda	2000/12/19
08186	China Asean Resources Ltd.	Bermuda	2001/12/31
08090	China Assurance Finance Group Ltd.	Cayman Islands	2012/01/06
08255	China Binary Sale Technology Ltd.	Cayman Islands	2013/12/04
08129	China Bio Cassava Holdings Ltd.	Cayman Islands	2001/05/18
08158	China Bio-Med Regeneration Technology Ltd.	Cayman Islands	2001/07/18
08270	China CBM Group Co. Ltd.	Bermuda	2003/08/12
08206	China Communication Telecom Services Co. Ltd.	Cayman Islands	2002/11/15
08175	China Digital Culture (Group) Ltd.	Bermuda	2003/02/25
08166	China Eco-Farming Ltd.	Bermuda	2002/02/05
08055	China E-Learning Group Ltd.	Cayman Islands	2001/12/05
08116	China Fortune Investments (Holding) Ltd.	Cayman Islands	2000/07/25
08128	China Ground Source Energy Industry Group Ltd.	Cayman Islands	2001/11/30
08178	China Information Technology Development Ltd.	Cayman Islands	2001/12/11
08083	China Innovationpay Group Ltd.	Bermuda	2000/04/14
08081	China Mobile Games and Cultural Investment Ltd.	Cayman Islands	2000/06/19
08250	China Natural Investment Co. Ltd.	Cayman Islands	2004/06/18
08071	China Netcom Technology Holdings Ltd.	Cayman Islands	2001/03/02
08306	China Nonferrous Metals Co. Ltd.	Bermuda	2005/02/28
08132	China Oil Gangran Energy Group Holdings Ltd.	Cayman Islands	2011/05/18
08117	China Primary Energy Holdings Ltd.	Cayman Islands	2001/12/13
08325	China Smartpay Group Holdings Ltd.	Cayman Islands	2009/08/28
08172	China Star Cultural Media Group Ltd.	Bermuda	2002/03/26
08111	China Technology Solar Power Holdings Ltd.	Cayman Islands	2001/01/03
08171	China Trends Holdings Ltd.	Cayman Islands	2002/07/31
08156	China Vanguard Group Ltd.	Cayman Islands	2002/11/12
08099	China Wood Optimization (Holding) Ltd.	Cayman Islands	2014/01/06
08009	Chinese Energy Holdings Ltd.	Hong Kong	2000/03/31
08272	Chinese Food and Beverage Group Ltd.	Cayman Islands	2003/06/27
08089	Chinese Strategic Holdings Ltd.	Bermuda	2000/05/18
08233	CIG Yangtze Ports PLC	Cayman Islands	2005/09/16
08098	CL Group (Holdings) Ltd.	Cayman Islands	2011/03/08
08356	CNC Holdings Ltd.	Cayman Islands	2010/08/30
08153	Code Agriculture (Holdings) Ltd.	Bermuda	2001/03/30
08190	Combest Holdings Ltd.	Cayman Islands	2002/02/08
08109	Creative Energy Solutions Holdings Ltd.	Bermuda	2002/01/31
08207	Credit China Holdings Ltd.	Cayman Islands	2010/11/19
08088	Crosby Capital Ltd.	Cayman Islands	2000/04/17
08243	Dahe Media Co. Ltd. - H Shares	PRC	2003/11/13
08056	Differ Group Holding Co. Ltd.	Cayman Islands	2013/12/09
08007	DIGITALHONGKONG.COM	Cayman Islands	2000/04/17
08337	Directel Holdings Ltd.	Cayman Islands	2010/06/02
08086	DX.com Holdings Ltd.	Cayman Islands	2000/08/02
08222	E Lighting Group Holdings Ltd.	Cayman Islands	2014/09/29
08218	Echo International Holdings Group Ltd.	Cayman Islands	2013/10/11
08169	Eco-Tek Holdings Ltd.	Cayman Islands	2001/12/05
08176	EDS Wellness Holdings Ltd.	Bermuda	2002/02/19
08213	Epicurean and Co., Ltd.	Cayman Islands	2003/03/18
08031	ETS Group Ltd.	Cayman Islands	2012/01/09
08022	Evershine Group Holdings Ltd.	Hong Kong	2001/08/13
08317	Finet Group Ltd.	Bermuda	2005/01/07
08018	Finsoft Corporation	Cayman Islands	2013/09/26
08123	First China Financial Network Holdings Ltd.	Cayman Islands	2002/01/11
08215	First Credit Finance Group Ltd.	Cayman Islands	2011/12/13
08030	Flying Financial Service Holdings Ltd.	Cayman Islands	2012/05/07
08112	Focus Media Network Ltd.	Cayman Islands	2011/07/28
08126	G.A. Holdings Ltd.	Cayman Islands	2002/06/17
08310	Gamma Logistics Corporation	Cayman Islands	2013/08/22
08179	Gayety Holdings Ltd.	Cayman Islands	2011/07/08
08100	GET Holdings Ltd.	Bermuda	2001/12/31
08271	Global Digital Creations Holdings Ltd.	Bermuda	2003/08/04
08192	Global Energy Resources International Group Ltd.	Bermuda	2002/11/29
08060	Global Link Communications Holdings Ltd.	Cayman Islands	2002/11/13
08059	Glory Flame Holdings Ltd.	Cayman Islands	2014/08/15
08159	Glory Mark Hi-Tech (Holdings) Ltd.	Cayman Islands	2002/01/04

List of listed companies on GEM (continued)

End of 2014

Code	Company name	Incorporation	Listing date
08266	Gold Tat Group International Ltd.	Cayman Islands	2003/05/09
08036	Goldenmars Technology Holdings Ltd.	Cayman Islands	2013/09/09
08108	Grand Peace Group Holdings Ltd.	Bermuda	2000/07/14
08299	Grand T G Gold Holdings Ltd.	Cayman Islands	2004/09/23
08003	Great World Company Holdings Ltd.	Cayman Islands	1999/12/02
08193	GreaterChina Professional Services Ltd.	Cayman Islands	2011/05/31
08261	Haitian Hydropower International Ltd.	Cayman Islands	2012/07/06
08019	Hao Wen Holdings Ltd.	Cayman Islands	2001/07/20
08046	Heng Xin China Holdings Ltd.	Bermuda	2003/04/16
08137	Honbridge Holdings Ltd.	Cayman Islands	2002/01/08
08048	Hong Kong Jewellery Holding Ltd.	Bermuda	2000/06/30
08085	Hong Kong Life Sciences and Technologies Group Ltd.	Cayman Islands	2002/03/07
08191	Hong Wei (Asia) Holdings Co. Ltd.	Hong Kong	2014/01/08
08143	Hua Xia Healthcare Holdings Ltd.	Cayman Islands	2002/05/10
08276	Huazhang Technology Holding Ltd.	Cayman Islands	2013/05/16
08002	IGG Inc	Cayman Islands	2013/10/18
08202	Inno-Tech Holdings Ltd.	Bermuda	2002/08/12
08092	ITE (Holdings) Ltd.	Cayman Islands	2001/02/21
08326	JC Group Holdings Ltd.	Cayman Islands	2013/11/21
08101	Jia Meng Holdings Ltd.	Cayman Islands	2013/10/15
08165	Jian ePayment Systems Ltd.	Cayman Islands	2001/12/10
08045	Jiangsu Nandasoft Technology Co. Ltd. - H Shares	PRC	2001/04/24
08049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs	PRC	2001/05/24
08203	Kaisun Energy Group Ltd.	Cayman Islands	2004/01/20
08125	Kate China Holdings Ltd.	Hong Kong	2014/07/18
08315	King Force Security Holdings Ltd.	Cayman Islands	2014/08/20
08181	Kong Shum Union Property Management (Holding) Ltd.	Cayman Islands	2013/10/11
08170	KSL Holdings Ltd.	Cayman Islands	2014/12/05
08077	KVB Kunlun Financial Group Ltd.	Cayman Islands	2013/07/03
08195	L & A International Holdings Ltd.	Cayman Islands	2014/10/10
08351	Larry Jewelry International Co. Ltd.	Bermuda	2009/10/07
08267	Linekong Interactive Co., Ltd.	Cayman Islands	2014/12/30
08237	Link Holdings Ltd.	Cayman Islands	2014/07/07
08162	Loco Hong Kong Holdings Ltd.	Hong Kong	2014/08/05
08017	Long Success International (Holdings) Ltd.	Bermuda	2000/08/17
08041	Luxey International (Holdings) Ltd.	Cayman Islands	2000/07/07
08209	Major Holdings Ltd.	Cayman Islands	2014/01/10
08146	Mastercraft International Holdings Ltd.	Cayman Islands	2012/07/20
08075	Media Asia Group Holdings Ltd.	Bermuda	2001/05/31
08307	Medicskin Holdings Ltd.	Cayman Islands	2014/12/18
08242	Megalogic Technology Holdings Ltd.	Cayman Islands	2012/01/19
08198	MelcoLot Ltd.	Cayman Islands	2002/05/17
08163	Merdeka Mobile Group Ltd.	Cayman Islands	2002/03/07
08147	Millennium Pacific Group Holdings Ltd.	Cayman Islands	2014/07/18
08228	National Arts Entertainment and Culture Group Ltd.	Bermuda	2002/10/17
08167	Neo Telemedia Ltd.	Cayman Islands	2002/08/06
08256	Netel Technology (Holdings) Ltd.	Cayman Islands	2002/12/20
08180	New Ray Medicine International Holding Ltd.	Bermuda	2013/10/25
08068	New Universe International Group Ltd.	Cayman Islands	2000/05/18
08249	Ningbo WanHao Holdings Co. Ltd. - H Shares	PRC	2003/11/14
08246	Noble House (China) Holdings Ltd.	Cayman Islands	2011/12/30
08080	North Asia Strategic Holdings Ltd.	Bermuda	2000/04/20
08197	Northeast Tiger Pharmaceutical Co. Ltd. - H Shares	PRC	2002/02/28
08001	Orient Securities International Holdings Ltd.	Cayman Islands	2014/01/15
08120	Oriental Unicorn Agricultural Group Ltd.	Bermuda	2001/04/10
08173	Pan Asia Mining Ltd.	Cayman Islands	2002/05/09
08039	Pegasus Entertainment Holdings Ltd.	Cayman Islands	2012/10/31
08311	Perfect Optronics Ltd.	Cayman Islands	2014/02/07
08066	Phoenitron Holdings Ltd.	Cayman Islands	2001/12/20
08053	Pizu Group Holdings Ltd.	Cayman Islands	2004/08/06
08011	Polyard Petroleum International Group Ltd.	Cayman Islands	2002/07/12
08236	Powerleader Science & Technology Group Ltd. - H Shares	PRC	2002/12/12
08265	Powerwell Pacific Holdings Ltd.	Bermuda	2011/01/26
08201	PPS International (Holdings) Ltd.	Cayman Islands	2013/06/17
08026	Prosten Technology Holdings Ltd.	Cayman Islands	2000/03/28
08185	RM Group Holdings Ltd.	Cayman Islands	2013/10/11
08072	Roma Group Ltd.	Cayman Islands	2013/02/25
08037	Rui Kang Pharmaceutical Group Investments Ltd.	Bermuda	2004/06/17
08096	Ruifeng Petroleum Chemical Holdings Ltd.	Cayman Islands	2002/02/27
08309	Runway Global Holdings Co. Ltd.	Cayman Islands	2013/12/03
08082	Sage International Group Ltd.	Bermuda	2001/11/02
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. - H Shares	PRC	2004/07/13
08200	Sau San Tong Holdings Ltd.	Cayman Islands	2003/11/19
08363	SDM Group Holdings Ltd.	Cayman Islands	2014/10/14
08150	Seamless Green China (Holdings) Ltd.	Bermuda	2001/08/10
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	PRC	2003/07/03
08058	Shandong Luoxin Pharmaceutical Group Stock Co., Ltd. - H Shs	PRC	2005/12/09
08205	Shanghai Jiada Withub Information Industrial Co Ltd - H Shs	PRC	2002/07/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. - H Shares	PRC	2004/06/30
08286	Shanxi Changcheng Microlight Equipment Co. Ltd. - H Shares	PRC	2004/05/18
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. - H Shares	PRC	2004/07/07
08329	Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares	PRC	2005/09/12
08076	Sing Lee Software (Group) Ltd.	Bermuda	2001/09/05
08010	Sing Pao Media Enterprises Ltd.	Cayman Islands	2000/06/01
08006	Sino Splendid Holdings Ltd.	Cayman Islands	2000/03/09
08296	Sino-Life Group Ltd.	Cayman Islands	2009/09/09

List of listed companies on GEM (continued)

End of 2014

Code	Company name	Incorporation	Listing date
08047	Sky Forever Supply Chain Management Group Ltd.	Bermuda	2001/11/01
08155	South China Land Ltd.	Cayman Islands	2002/07/18
08029	Sun International Resources Ltd.	Cayman Islands	2000/12/14
08008	Sunevision Holdings Ltd.	Cayman Islands	2000/03/17
08226	Sunrise (China) Technology Group Ltd.	Cayman Islands	2002/07/19
08103	Tai Shing International (Holdings) Ltd.	Cayman Islands	2000/09/08
08336	Telecom Digital Holdings Ltd.	Cayman Islands	2014/05/30
08145	Telecom Service One Holdings Ltd.	Cayman Islands	2013/05/30
08051	TeleEye Holdings Ltd.	Cayman Islands	2001/05/08
08119	Thiz Technology Group Ltd.	Cayman Islands	2001/07/27
08348	Tianjin Binhai Teda Logistics (Group) Corporation Ltd.-H Shs	PRC	2008/04/30
08189	Tianjin TEDA Biomedical Engineering Co. Ltd. - H Shares	PRC	2002/06/18
08028	Timeless Software Ltd.	Hong Kong	1999/11/25
08107	U Banquet Group Holding Ltd.	Cayman Islands	2013/12/10
08168	UKF (Holdings) Ltd.	Cayman Islands	2012/08/24
08079	Unlimited Creativity Holdings Ltd.	Bermuda	2001/10/15
08225	Venturepharm Laboratories Ltd.	Cayman Islands	2003/07/10
08340	Vinco Financial Group Ltd.	Cayman Islands	2008/05/20
08032	Viva China Holdings Ltd.	Cayman Islands	2000/04/06
08033	Vodatel Networks Holdings Ltd.	Bermuda	2000/02/25
08269	Wealth Glory Holdings Ltd.	Cayman Islands	2010/10/14
08063	Well Way Group Ltd.	Cayman Islands	2000/12/07
08021	WLS Holdings Ltd.	Bermuda	2001/12/07
08227	Xi'an Haitian Antenna Technologies Co. Ltd. - H Shares	PRC	2003/11/05
08050	Yunbo Digital Synergy Group Ltd.	Cayman Islands	2000/07/24
08005	Yuxing InfoTech Investment Holdings Ltd.	Bermuda	2000/01/31
08260	Zebra Strategic Holdings Ltd.	Cayman Islands	2013/04/10
08106	Zheda Lande Scitech Ltd. - H Shares	PRC	2002/05/03
08273	Zhejiang Prospect Co. Ltd. - H Shares	PRC	2004/02/18
08211	Zhejiang Yonglong Enterprises Co. Ltd. - H Shares	PRC	2002/11/08
08130	Zhi Cheng Holdings Ltd.	Bermuda	2002/08/26
08135	ZMFY Automobile Glass Services Ltd.	Cayman Islands	2013/09/03

List of exchange traded funds

End of 2014

Code	Name	Listing date
02819	ABF Hong Kong Bond Index Fund	2005/06/21
02821	ABF Pan Asia Bond Index Fund	2005/07/07
03145	BMO Asia High Dividend ETF	2014/11/13
03141	BMO Asia USD Investment Grade Bond ETF	2014/11/13
03143	BMO Hong Kong Banks ETF	2014/11/13
02832	Bosera FTSE China A50 Index ETF	2013/12/09
82832	Bosera FTSE China A50 Index ETF	2013/12/09
03180	ChinaAMC CES China A80 Index ETF	2013/08/26
83180	ChinaAMC CES China A80 Index ETF	2013/08/26
03188	ChinaAMC CSI 300 Index ETF	2012/07/17
83188	ChinaAMC CSI 300 Index ETF	2012/07/17
03051	CICC-SZSE 100 Index Tracker	2010/01/29
02842	CMS CSI Overseas Mainland Enterprises ETF	2011/11/01
03008	C-Shares CSI 300 Index ETF	2013/07/08
83008	C-Shares CSI 300 Index ETF	2013/07/08
03107	C-Shares CSI Consumer Staples Index ETF	2014/05/12
83107	C-Shares CSI Consumer Staples Index ETF	2014/05/12
03132	C-Shares CSI Healthcare Index ETF	2014/05/12
83132	C-Shares CSI Healthcare Index ETF	2014/05/12
03137	CSOP CES China A80 ETF	2013/09/23
83137	CSOP CES China A80 ETF	2013/09/23
03199	CSOP China 5-Year Treasury Bond ETF	2014/02/19
83199	CSOP China 5-Year Treasury Bond ETF	2014/02/19
02822	CSOP FTSE China A50 ETF	2012/08/28
82822	CSOP FTSE China A50 ETF	2012/08/28
03071	Da Cheng CSI China Mainland Consumer Tracker	2010/07/15
03015	db x-trackers CNX NIFTY UCITS ETF	2009/07/08
03061	db x-trackers CSI300 BANKS UCITS ETF	2010/03/25
03025	db x-trackers CSI300 CONSUMER DISCRETIONARY UCITS ETF	2010/03/25
03017	db x-trackers CSI300 ENERGY UCITS ETF	2010/03/25
02844	db x-trackers CSI300 FINANCIALS UCITS ETF	2010/03/25
03057	db x-trackers CSI300 HEALTH CARE UCITS ETF	2010/03/25
03005	db x-trackers CSI300 INDUSTRIALS UCITS ETF	2010/03/25
03062	db x-trackers CSI300 MATERIALS UCITS ETF	2010/03/25
02816	db x-trackers CSI300 REAL ESTATE UCITS ETF	2010/03/25
03063	db x-trackers CSI300 TRANSPORTATION UCITS ETF	2010/03/25
03049	db x-trackers CSI300 UCITS ETF	2010/03/25
03052	db x-trackers CSI300 UTILITIES UCITS ETF	2010/03/25
03007	db x-trackers FTSE China 50 UCITS ETF (DR)	2009/07/08
03087	db x-trackers FTSE VIETNAM UCITS ETF	2009/07/08
03026	db x-trackers II AUSTRALIAN DOLLAR CASH UCITS ETF	2012/01/12
03013	db x-trackers MSCI AC Asia Ex Jap H Div Yield Idx UCITS ETF	2013/04/11
03105	db x-trackers MSCI Bangladesh IM Index UCITS ETF	2013/04/11
03048	db x-trackers MSCI BRAZIL INDEX UCITS ETF (DR)	2010/02/24
03055	db x-trackers MSCI CHINA INDEX UCITS ETF (DR)	2012/01/12
03035	db x-trackers MSCI EM ASIA INDEX UCITS ETF	2010/02/24
03009	db x-trackers MSCI EMERGING MARKETS INDEX UCITS ETF	2010/02/24
03045	db x-trackers MSCI INDIA INDEX UCITS ETF	2012/01/12
03099	db x-trackers MSCI INDONESIA INDEX UCITS ETF	2012/01/12
02848	db x-trackers MSCI KOREA INDEX UCITS ETF (DR)	2009/07/08
03082	db x-trackers MSCI MALAYSIA INDEX UCITS ETF (DR)	2012/01/12
03043	db x-trackers MSCI PACIFIC EX JAPAN INDEX UCITS ETF (DR)	2010/02/24
03106	db x-trackers MSCI Pakistan IM Index UCITS ETF	2013/04/11

List of exchange traded funds (continued)

End of 2014

Code	Name	Listing date
03016	db x-trackers MSCI Philippines IM Index UCITS ETF (DR)	2013/04/11
03027	db x-trackers MSCI RUSSIA CAPPED INDEX UCITS ETF	2010/02/24
03065	db x-trackers MSCI SINGAPORE IM INDEX UCITS ETF (DR)	2013/04/11
03036	db x-trackers MSCI TAIWAN INDEX UCITS ETF (DR)	2009/06/30
03092	db x-trackers MSCI THAILAND INDEX UCITS ETF (DR)	2012/01/12
03020	db x-trackers MSCI USA INDEX UCITS ETF	2009/07/08
03019	db x-trackers MSCI WORLD INDEX UCITS ETF	2010/02/24
03011	db x-trackers US DOLLAR CASH UCITS ETF	2009/08/27
03120	E Fund CES China 120 Index ETF	2013/10/21
83120	E Fund CES China 120 Index ETF	2013/10/21
02808	E Fund Citi Chinese Government Bond 5-10 Years Index ETF	2014/03/14
82808	E Fund Citi Chinese Government Bond 5-10 Years Index ETF	2014/03/14
03100	E Fund CSI 100 A-Share Index ETF	2012/08/27
83100	E Fund CSI 100 A-Share Index ETF	2012/08/27
02830	ETFS Physical Gold ETF	2012/11/28
03119	ETFS Physical Platinum ETF	2012/11/28
03117	ETFS Physical Silver ETF	2012/11/28
02811	Haitong CSI300 Index ETF	2014/03/07
82811	Haitong CSI300 Index ETF	2014/03/07
03128	Hang Seng China A Industry Top Index ETF	2013/11/26
83128	Hang Seng China A Industry Top Index ETF	2013/11/26
02838	Hang Seng FTSE China 50 Index ETF	2005/06/08
02828	Hang Seng H-Share Index ETF	2003/12/10
02833	Hang Seng Index ETF	2004/09/21
83168	Hang Seng RMB Gold ETF	2012/02/14
03136	Harvest MSCI China A 50 Index ETF	2013/06/06
83136	Harvest MSCI China A 50 Index ETF	2013/06/06
03118	Harvest MSCI China A Index ETF	2012/10/12
83118	Harvest MSCI China A Index ETF	2012/10/12
03127	Horizons CSI 300 ETF	2014/09/26
83127	Horizons CSI 300 ETF	2014/09/26
03110	Horizons Hang Seng High Dividend Yield ETF	2013/06/17
02835	Horizons KOSPI 200 ETF	2011/01/17
03040	Horizons MSCI China ETF	2013/06/17
03076	Horizons S&P Asia ex JANZ Energy ETF	2012/01/27
03064	Horizons S&P Asia ex JANZ Financials ETF	2012/01/27
03075	Horizons S&P Asia ex JANZ Industrials ETF	2012/01/27
03066	Horizons S&P Asia ex JANZ IT ETF	2012/01/27
03078	Horizons S&P Asia ex JANZ Materials ETF	2012/01/27
03054	Horizons S&P Emerging Asia Consumer ETF	2012/01/27
03056	Horizons S&P Global Consumer Brands ETF	2012/01/27
02846	iShares CSI 300 A-Share Index ETF	2009/11/18
03001	iShares CSI A-Share Consumer Discretionary Index ETF	2010/07/20
02841	iShares CSI A-Share Consumer Staples Index ETF	2010/07/20
03050	iShares CSI A-Share Energy Index ETF	2009/11/18
02829	iShares CSI A-Share Financials Index ETF	2009/11/18
03006	iShares CSI A-Share Infrastructure Index ETF	2009/11/18
03039	iShares CSI A-Share Materials Index ETF	2009/11/18
02823	iShares FTSE A50 China Index ETF	2004/11/18
03010	iShares MSCI Asia APEX 50 Index ETF	2009/04/23
03032	iShares MSCI Asia APEX Mid Cap Index ETF	2009/04/23
03004	iShares MSCI Asia APEX Small Cap Index ETF	2009/04/23
02801	iShares MSCI China Index ETF	2001/11/28

List of exchange traded funds (continued)

End of 2014

Code	Name	Listing date
02802	iShares MSCI Emerging Asia Index ETF	2009/04/23
04362	iShares MSCI South Korea Index Fund #	n.a.
04363	iShares MSCI Taiwan Index Fund #	n.a.
03139	iShares RMB Bond Index ETF	2013/06/18
83139	iShares RMB Bond Index ETF	2013/06/18
02836	iShares S&P BSE SENSEX India Index ETF	2006/11/02
02824	Lippo Select HK & Mainland Property ETF	2012/09/26
03070	Ping An of China CSI HK Dividend ETF	2012/02/15
03072	Ping An of China CSI HK Mid Cap Select ETF	2012/02/15
02818	Ping An of China CSI RAFI A-Share 50 ETF	2010/05/07
03098	Ping An of China CSI RAFI HK50 ETF	2012/02/15
03002	Polaris Taiwan Top 50 Tracker Fund (H.K.)	2009/08/19
03073	SPDR FTSE Greater China ETF	2010/09/20
02840	SPDR Gold Trust	2008/07/31
02800	Tracker Fund of Hong Kong	1999/11/12
03046	Value China ETF	2009/12/15
03081	Value Gold ETF	2010/11/03
83081	Value Gold ETF	2010/11/03
03084	Value Japan ETF	2012/05/28
03041	Value Korea ETF	2012/05/28
03060	Value Taiwan ETF	2012/05/28
03085	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	2014/06/13
02805	Vanguard FTSE Asia ex Japan Index ETF	2013/05/15
03101	Vanguard FTSE Developed Europe Index ETF	2014/06/13
03126	Vanguard FTSE Japan Index ETF	2014/06/13
02827	W.I.S.E - CSI 300 China Tracker	2007/07/17
02825	W.I.S.E. - CSI HK 100 Tracker	2008/05/15
02817	W.I.S.E. - CSI HK Listed Mainland Consumption Tracker	2011/01/11
02839	W.I.S.E. - CSI HK Listed Mainland Real Estate Tracker	2011/01/11
03024	W.I.S.E. - SSE 50 China Tracker	2009/04/15
03091	XIE Shares India (CNX Nifty) ETF	2012/02/21
03031	XIE Shares Indonesia (LQ45) ETF	2012/02/21
03090	XIE Shares Korea (KOSPI 200) ETF	2012/02/16
03029	XIE Shares Malaysia (FTSE Bursa Malaysia KLCI) ETF	2012/02/16
03037	XIE Shares Philippines (PSEi) ETF	2012/02/21
03089	XIE Shares Taiwan (TAIEX) ETF	2012/02/16
03069	XIE Shares Thailand (SET50) ETF	2012/02/16

Under the pilot programme for trading only stock

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average book value ratio

$$\text{Average book value ratio} = \frac{\text{Total market capitalisation}}{\text{Total net assets of all listed stocks}}$$

3. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

5. China-controlled company (Red Chip)

A company is deemed to be China-controlled company (Red Chip), if

- (1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or
- (2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

6. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

Technical notes to tables *(continued)*

7. H shares

Shares issued by a PRC issuer under PRC law and listed on the SEHK, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

8. Listed company

A company in respect of which all or some of the equity securities are listed on the SEHK.

9. Number of listed securities

The total number of ordinary shares, preferred ordinary/preference shares, warrants, CBBC, ELI, debt securities and unit trust/mutual fund listed on the SEHK.

10. Open interest (options)

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

11. Premium (options)

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

12. Total market capitalisation

The aggregate capitalisation of all listed companies which are regarded as domestic companies and foreign companies listed on the SEHK only except those under suspension for over one year.

13. Trading volume and value

Figures of trading volume and value are counted on a one-way basis.

Acronyms

AMS	Automatic Order Matching and Execution System
AMS/3	Automatic Order Matching and Execution System/Third Generation
CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
EW	Equity Warrant
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKATS	Hong Kong Futures Automated Trading System
HKCC	HKFE Clearing Corporation Ltd
HKCE	Hong Kong Commodity Exchange Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKFE	Hong Kong Futures Exchange Ltd
HKMA	Hong Kong Monetary Authority
HKSCC	Hong Kong Securities Clearing Co Ltd
HSCCI	Hang Seng China-Affiliated Corporations Index
HSCEI	Hang Seng China Enterprises Index
HSI	Hang Seng Index
HSICS	Hang Seng Industry Classification System
iIPO	Internet Initial Public Offering
IPO	Initial public offering
ISI	Investor settlement instruction
LME	London Metal Exchange
LMEX	London Metal Exchange Index
NASDAQ	National Association of Securities Dealer Automated Quotations
ORS	Order Routing System
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEHK	Stock Exchange of Hong Kong Ltd, The
SEOCH	SEHK Options Clearing House Ltd, The
SFC	Securities and Futures Commission, The
SFO	Securities and Futures Ordinance
SI	Settlement instruction
SS	Stapled securities
TAPOs	Traded Average Price Options
TOPS	Traded OPtions System