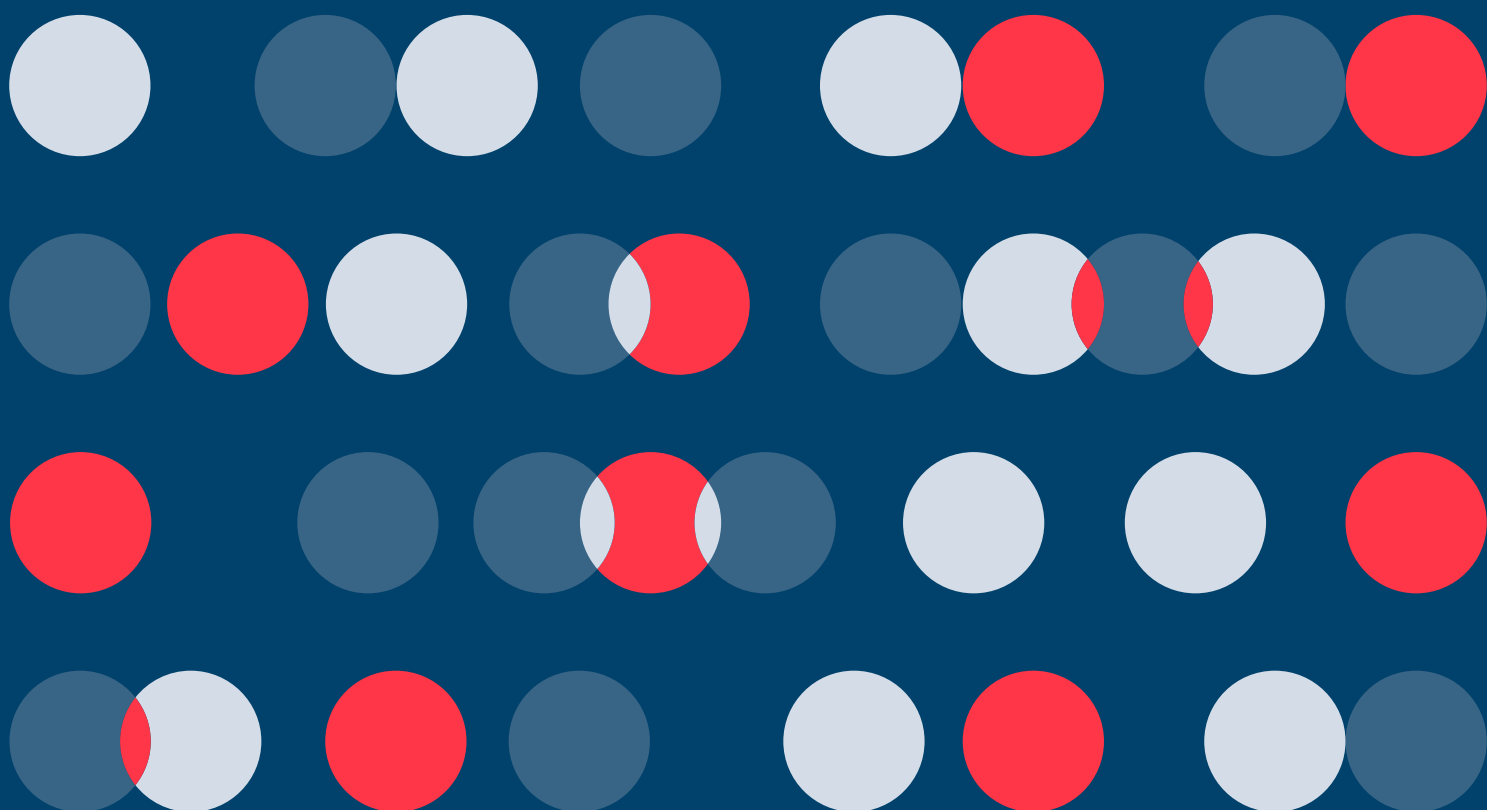


HKEX Fact Book 2019



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Market Performance in 2019

| | 2018 | 2019 | Change (%) |
|-------------------------------------------------------|------------------------|------------------------|------------|
| Stock Market | | | |
| No. of listed companies | 2,315 | 2,449 | 5.79 |
| Main Board | 1,926 | 2,071 | 7.53 |
| GEM | 389 | 378 | (2.83) |
| No. of listed securities | 13,290 | 12,795 | (3.72) |
| Main Board | 12,900 | 12,416 | (3.75) |
| GEM | 390 | 379 | (2.82) |
| No. of new listed companies ⁽¹⁾ | 218 | 183 | (16.06) |
| Main Board | 143 | 168 | 17.48 |
| Transfer of listing from GEM | 10 | 20 | 100.00 |
| GEM | 75 | 15 | (80.00) |
| Total market capitalisation (HK\$mil) | 29,909,421.59 | 38,165,037.41 | 27.60 |
| Main Board | 29,723,238.27 | 38,058,338.10 | 28.04 |
| GEM | 186,183.32 | 106,699.32 | (42.69) |
| Total turnover value (HK\$mil) ⁽²⁾ | 26,422,762.07 | 21,440,049.16 | (18.86) |
| Average daily | 107,409.60 | 87,154.67 | (18.86) |
| Main Board | 106,891.36 | 86,951.98 | (18.65) |
| GEM | 518.24 | 202.69 | (60.89) |
| Highest | 284,101.95 (23/03) | 168,963.05 (25/02) | |
| Lowest | 47,279.01 (28/12) | 53,282.74 (27/09) | |
| Equity funds raised (HK\$bil) | 544.13 | 454.25 | (16.52) |
| IPO | 288.01 | 314.24 | 9.11 |
| Main Board | 282.95 | 313.27 | 10.72 |
| GEM | 5.06 | 0.97 | (80.84) |
| Post-IPO | 256.13 | 140.01 | (45.34) |
| Main Board | 250.27 | 136.70 | (45.38) |
| GEM | 5.86 | 3.31 | (43.54) |
| Mainland Enterprises | | | |
| No. of Mainland enterprises | 1,146 | 1,241 | 8.29 |
| Main Board | 1,042 | 1,144 | 9.79 |
| H shares | 243 | 262 | 7.82 |
| Red chips | 159 | 168 | 5.66 |
| Mainland private enterprises | 640 | 714 | 11.56 |
| GEM | 104 | 97 | (6.73) |
| H shares | 24 | 22 | (8.33) |
| Red chips | 5 | 5 | 0.00 |
| Mainland private enterprises | 75 | 70 | (6.67) |
| No. of new listed H-shares ⁽¹⁾ | 16 | 22 | 37.50 |
| Main Board | 16 | 22 | 37.50 |
| Transfer of listing from GEM | 0 | 1 | - |
| GEM | 0 | 0 | - |
| Market capitalisation (HK\$mil) (% of market total) | 20,193,124.67 (67.51%) | 27,953,439.97 (73.24%) | 38.43 |
| Main Board | 20,150,482.55 (67.79%) | 27,929,683.12 (73.39%) | 38.61 |
| H shares | 5,937,289.44 | 6,423,518.74 | 8.19 |
| Red chips | 5,374,871.03 | 5,443,942.64 | 1.29 |
| Mainland private enterprises | 8,838,322.09 | 16,062,221.74 | 81.73 |
| GEM | 42,642.12 (22.90%) | 23,756.86 (22.27%) | (44.29) |
| H shares | 4,717.05 | 2,995.05 | (36.51) |
| Red chips | 2,349.70 | 2,236.16 | (4.83) |
| Mainland private enterprises | 35,575.38 | 18,525.65 | (47.93) |
| Total turnover value (HK\$mil) (% of equity turnover) | 15,480,453.14 (79.55%) | 12,330,130.39 (79.05%) | (20.35) |

| | 2018 | 2019 | Change (%) |
|----------------------------------------------------------------------------|----------------------|----------------------|------------|
| Stock price indices | | | |
| Hang Seng Index (31 July 1964 = 100) | 25845.70 | 28189.75 | 9.07 |
| HSI – Finance | 35808.22 | 39114.61 | 9.23 |
| HSI – Utilities | 57792.61 | 56584.49 | (2.09) |
| HSI – Properties | 37143.40 | 40190.08 | 8.20 |
| HSI – Commerce & Industry | 14656.68 | 16234.26 | 10.76 |
| Hang Seng China Enterprises Index (3 January 2000 = 2000) | 10124.75 | 11168.06 | 10.30 |
| Hang Seng China – Affiliated Corporations Index (3 January 2000 = 2000) | 4169.04 | 4537.76 | 8.84 |
| S&P/HKEX LargeCap Index (28 February 2003 = 10000) | 33622.78 | 37103.19 | 10.35 |
| S&P/HKEX GEM Index (28 February 2003 = 1000) | 146.01 | 79.44 | (45.59) |
| Equity warrants | | | |
| No. of newly issued | 1 | 2 | 100.00 |
| Main Board | 1 | 2 | 100.00 |
| GEM | 0 | 0 | – |
| Total premium of newly issued equity warrants (HK\$mil) | 0.00 | 0.00 | – |
| Main Board | 0.00 | 0.00 | – |
| GEM | 0.00 | 0.00 | – |
| No. of equity warrants | 3 | 3 | 0.00 |
| Main Board | 2 | 2 | 0.00 |
| GEM | 1 | 1 | 0.00 |
| Total turnover value (HK\$mil) | 21.92 | 8.84 | (59.66) |
| Main Board | 21.83 | 8.81 | (59.64) |
| GEM | 0.09 | 0.03 | (64.33) |
| Debt securities | | | |
| No. of debt securities | 1,195 | 1,388 | 16.15 |
| RMB bonds | 76 | 80 | 5.26 |
| Total turnover value (HK\$mil) | 48,393.92 | 60,691.67 | 25.41 |
| No. of newly listed debt securities | 303 | 420 | 38.61 |
| RMB bonds | 15 | 16 | 6.67 |
| Total fund raised (HK\$bil) | 1,053.83 | 1,401.91 | 33.03 |
| Aggregated principal amount of new listed RMB bonds (RMB bil) | 24.46 | 39.67 | 62.18 |
| iBond turnover value (HK\$mil) (% of debt securities) | 4,233.66 (8.75%) | 1,453.84 (2.4%) | (65.66) |
| Unit trusts and mutual funds | | | |
| No. of listed units trusts and mutual funds | 149 | 148 | (0.67) |
| ETFs | 115 | 111 | (3.48) |
| L&I Products | 22 | 24 | 9.09 |
| REITs | 11 | 12 | 9.09 |
| Total turnover value (HK\$mil) (% of Main Board total) | 1,209,932.94 (4.60%) | 1,367,711.21 (6.39%) | 13.04 |
| ETFs | 987,251.32 | 1,076,531.15 | 9.04 |
| L&I Products | 109,851.98 | 141,423.64 | 28.74 |
| REITs | 112,576.01 | 149,654.52 | 32.94 |
| Average daily turnover value (HK\$mil) | 4,918.43 | 5,559.80 | 13.04 |
| ETFs | 4,013.22 | 4,376.14 | 9.04 |
| L&I Products | 446.55 | 574.89 | 28.74 |
| REITs | 457.63 | 608.35 | 32.94 |
| No. of new listed unit trusts and mutual funds | 15 | 13 | (13.33) |
| ETFs | 15 | 13 | (13.33) |
| Multiple-counter ETFs | 9 | 7 | (22.22) |
| Trading value in RMB | | | |
| % of total multiple-counter ETFs | 1.33 | 1.56 | |
| Trading value of A-share ETFs (HK\$bil) (% of ETFs) | 430.04 (43.56%) | 544.15 (50.55%) | 26.53 |

| | 2018 | 2019 | Change (%) |
|--------------------------------------------------------|-----------------------|-----------------------|------------|
| Structured products | | | |
| Derivatives warrants (DWs) | | | |
| No. of listed DWs | 6,763 | 4,571 | (32.41) |
| No. of new listed DWs | 11,794 | 8,939 | (24.21) |
| Total notional value of new listed DWs (HK\$bil) | 252.96 | 161.19 | (36.28) |
| Total turnover value (HK\$mil) (% of Main Board total) | 3,866,143.16 (14.70%) | 2,418,136.05 (11.30%) | (37.45) |
| Inline warrants (IWs) ⁽²⁾ | | | |
| No. of listed IWs | n.a. | 922 | n.a. |
| No. of new listed IWs | n.a. | 923 | n.a. |
| Total notional value of new listed IWs (HK\$bil) | n.a. | 11.40 | n.a. |
| Total turnover value (HK\$mil) (% of Main Board total) | n.a. | 159.76 (0.00%) | n.a. |
| Callable bull/bear contracts (CBBCs) | | | |
| No. of listed CBBCs | 2,847 | 3,298 | 15.84 |
| No. of new listed CBBCs | 26,678 | 24,732 | (7.29) |
| Total notional value of new listed CBBCs (HK\$bil) | 856.40 | 878.48 | 2.58 |
| Total turnover value (HK\$mil) (% of Main Board total) | 1,837,313.96 (6.99%) | 1,995,445.69 (9.33%) | 8.61 |
| RMB-traded securities products | | | |
| No. of RMB-traded securities | 131 | 126 | (3.82) |
| Equities | 2 | 1 | (50.00) |
| Debt securities | 76 | 80 | 5.26 |
| DWs | 0 | 0 | - |
| ETFs | 52 | 44 | (15.38) |
| REITs | 1 | 1 | 0.00 |
| No. of multiple-counter securities | 52 | 44 | (15.38) |
| Equities | 1 | 1 | 0.00 |
| ETFs | 51 | 43 | (15.69) |
| Total turnover value (RMB mil) | 11,822.43 | 11,687.23 | (1.14) |
| Equities (% of total) | 245.95 (2.08%) | 67.52 (0.58%) | (72.55) |
| DWs (% of total) | 0.00 (0.00%) | 0.00 (0.00%) | - |
| ETFs (% of total) | 8,091.59 (68.44%) | 8,814.72 (75.42%) | 8.94 |
| REITs (% of total) | 2,867.85 (24.26%) | 2,452.28 (20.98%) | (14.49) |
| Debt securities (% of total) | 617.04 (5.22%) | 352.71 (3.02%) | (42.84) |
| Trading-only market | | | |
| No. of ETFs | 0 | 0 | - |
| No. of NASDAQ stocks | 6 | 6 | 0.00 |
| Total turnover value (HK\$mil) | 4.81 | 2.98 | (38.04) |
| Shanghai-Hong Kong Stock Connect | | | |
| Southbound trading | | | |
| Number of eligible stocks | 323 | 328 | |
| Total trading value (HK\$mil) | 1,822,098.37 | 1,562,555.25 | (14.24) |
| Average daily | 8,170.84 | 6,793.72 | (16.85) |
| Northbound trading | | | |
| Number of eligible stocks | 857 | 916 | |
| Both buy and sell | 577 | 581 | |
| Sell only | 280 | 335 | |
| Margin trading | 355 | 475 | |
| Total trading value (RMB mil) | 2,662,308.21 | 4,991,366.36 | 87.48 |
| Average daily | 11,625.80 | 21,330.63 | 83.48 |

| | 2018 | 2019 | Change (%) |
|---------------------------------------------------------|---------------------|---------------------|------------|
| Shenzhen-Hong Kong Stock Connect | | | |
| Southbound trading | | | |
| Number of eligible stocks | 479 | 476 | |
| Total trading value (HK\$mil) | 1,011,556.40 | 918,867.31 | (9.16) |
| Average daily | 4,536.13 | 3,995.08 | (11.93) |
| Northbound trading | | | |
| Number of eligible stocks | 1,132 | 1,238 | |
| Both buy and sell | 859 | 708 | |
| Sell only | 273 | 530 | |
| Margin trading | 373 | 583 | |
| Total trading value (RMB mil) | 2,011,528.51 | 4,765,824.42 | 136.93 |
| Average daily | 8,783.97 | 20,366.77 | 131.86 |
| Derivatives market | | | |
| No. of stock futures classes (as at the year end) | 78 | 80 | 2.56 |
| No. of stock options classes (as at the year end) | 96 | 96 | 0.00 |
| Total contract volume | 296,183,076 | 262,885,798 | (11.24) |
| Average daily | 1,203,996 | 1,068,641 | (11.24) |
| All futures products | 128,847,222 | 115,759,091 | (10.16) |
| All options products | 167,335,854 | 147,126,707 | (12.08) |
| Top three contract volume contributor in futures market | | | |
| HSI Futures | | | |
| Contract volume (% of futures total) | 57,668,346 (44.76%) | 51,317,694 (44.33%) | (11.01) |
| Open Interest | 133,924 | 121,237 | (9.47) |
| Hang Seng China Enterprises Index Futures | | | |
| Contract volume (% of futures total) | 37,451,281 (29.07%) | 34,151,099 (29.50%) | (8.81) |
| Open Interest | 428,692 | 325,907 | (23.98) |
| Mini-HSI Futures | | | |
| Contract volume (% of futures total) | 24,664,381 (19.14%) | 22,170,547 (19.15%) | (10.11) |
| Open Interest | 12,587 | 10,635 | (15.51) |
| Key index options products | | | |
| Hang Seng China Enterprises Index Options | | | |
| Contract volume (% of options total) | 24,258,084 (14.50%) | 21,563,408 (14.66%) | (11.11) |
| Open Interest | 2,309,038 | 2,088,866 | (9.54) |
| HSI Options | | | |
| Contract volume (% of options total) | 12,716,495 (7.60%) | 12,466,854 (8.47%) | (1.96) |
| Open Interest | 279,856 | 210,101 | (24.93) |
| Mini-HSI Options | | | |
| Contract volume (% of options total) | 2,461,296 (1.47%) | 3,343,429 (2.27%) | 35.84 |
| Open Interest | 18,777 | 17,983 | (4.23) |
| Commodity market | | | |
| LME futures and options contract volume (mil lots) | 185.0 | 176.0 | (4.86) |
| In value (US\$tril) | 15.7 | 13.5 | (14.01) |
| Tonnes of material (bil) | 4.1 | 3.9 | (4.88) |
| Average daily | 730,498 | 696,567 | (4.64) |

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Trading in inline warrants commenced on 18 July 2019.

Major Events of the Hong Kong Securities and Derivatives Markets 2019

| Month | Event |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| January | <p>On 2 January, HKEX enhanced the Designated Specialist (DS) programme for its Exchange Traded Products (ETPs), which include Exchange Traded Funds (ETFs) and Leveraged and Inverse (L&I) Products, to permit global liquidity providers that are not its Securities Market Makers (SMMs) to participate in ETP market making activities.</p> <p>On 17 January, Bloomberg was welcomed as the second trading platform for Bond Connect under Bond Connect Company Limited (BCCL).</p> <p>On 18 January, the SEHK published Consultation Conclusions on Review Structure in relation to Listing Committee Decisions. The amended Listing Rules for the new review structure took effect on 6 July, and a Listing Review Committee was established as an independent and final review body for decisions made by the Listing Committee on both disciplinary and non-disciplinary matters.</p> <p>On 28 January, the SFC, HKEX and the Federation of Share Registrars Limited (FSR) jointly issued a consultation paper proposing a revised operational model for implementing an uncertificated securities market in Hong Kong. In an uncertificated, or paperless, securities market, investors could hold and transfer securities in their own name without share certificates or other paper documents. The consultation period ended on 27 April 2019.</p> |
| February | <p>On 1 February, the SEHK published Consultation Conclusions on Proposed Changes to Documentary Requirements relating to Listed Issuers and Other Minor Rule Amendments to simplify and streamline the administrative procedures involved in the submission and collection of documents to enhance procedural efficiency. The Listing Rule amendments became effective on 1 March.</p> <p>On 21 February, HKEX announced the establishment of an International Advisory Council which comprises experts in economics, business and finance from around the world who will act as advisors to the Board of Directors of HKEX.</p> <p>On 22 February, BCCL launched its Primary Market Information Platform which is the first English-language portal for the dissemination of Chinese primary bond market information.</p> <p>On 28 February, HKEX announced its Strategic Plan 2019-2021, which sets out its vision and strategic objectives for the next three years. HKEX Group's vision is to be the global markets leader in the Asian time zone – Connecting China, Connecting the World.</p> |
| March | <p>On 6 March, the Government appointed Mary Ma and reappointed Anita Fung, Rafael Gil-Tienda, and Stephen Yiu to HKEX's Board of Directors. The two-year terms of the four directors will begin at the conclusion of HKEX's Annual General Meeting (AGM) on 24 April 2019, and will end at the conclusion of the AGM in 2021.</p> |

| Month | Event |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>On 11 March, HKEX signed a licence agreement with MSCI Inc. to introduce futures contracts on the MSCI China A Index, subject to regulatory approval and market conditions. The MSCI China A Index comprises the large and mid-cap portion of the A-share market accessible via Stock Connect and included in the MSCI Emerging Markets Index.</p> <p>On 11 March, the London Metal Exchange (LME) launched seven new cash-settled futures products: 1. Regional hot-rolled coil (HRC) futures: LME Steel HRC N. America (Platts); 2. Regional hot-rolled coil (HRC) futures: LME Steel HRC FOB China (Argus); 3. LME Alumina (CRU/Fastmarkets MB); 4. LME Aluminium Premium Duty Paid US Midwest (Platts); 5. LME Aluminium Premium Duty Unpaid European (Fastmarkets MB); 6. LME Cobalt (Fastmarkets MB); and 7. LME Molybdenum (Platts).</p> |
| April | <p>On 4 April, the SFC released consultation conclusions on proposed Guidelines for Securities Margin Financing Activities. The responses generally supported the proposed guidelines under which the maximum total margin loans-to-capital multiple brokers can adopt is five times to avoid excessive leverage. The guidelines were gazetted the same day and took effect on 4 October 2019.</p> <p>On 12 April, HKEX welcomed over 75 senior management from Mainland securities houses, insurers, funds, futures companies and proprietary trading groups to Shanghai for a roundtable discussion on how HKEX can help facilitate the internationalization of China's capital market.</p> <p>On 26 April, the HKMA and the SFC issued a joint consultation on further enhancements to the over-the-counter (OTC) derivatives regulatory regime in Hong Kong. To align with global standards, the regulators propose that transactions to be submitted to the Hong Kong Trade Repository for the reporting obligation would be required to be identified by unique transaction identifiers with the structure and format as set out in the Technical Guidance issued by the Committee on Payments and Market Infrastructures and the International Organisation of Securities Commissions (IOSCO). The regulators also propose to remove 17 jurisdictions from the list of designated jurisdictions for the masking relief of the reporting obligation in view of the recent clarification by the Financial Stability Board, and to update the list of Financial Services Providers under the OTC derivatives clearing regime. These proposals ensure that the Hong Kong reporting and clearing regimes keep up with international developments and remain relevant and appropriate.</p> <p>On 29 April, BCCL launched the Negotiable Certificate of Deposit (NCD) primary subscription service.</p> |
| May | <p>On 5 May, Fusion Bank Limited (formerly known as Infinium Limited), where HKEX is a minority shareholder of the consortium, was granted a virtual banking licence by the Hong Kong Monetary Authority. Fusion Bank Limited aims to leverage its advanced technology and new applications of Fintech to promote financial inclusion in Hong Kong, and to bring customers a brand-new, efficient and secure virtual banking experience, enabling them to enjoy better and more convenient financial services.</p> |

| Month | Event |
|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>On 6 May, HKEX upgraded the Hong Kong Futures Automated Trading System (HKATS) and the Derivatives Clearing and Settlement System (DCASS) to a new version, which allow market participants to access HKATS & DCASS with direct connection to HKEX's Central Gateway and web based frontends; capability to support new business initiatives such as multiple expiration contracts, extension of After-Hour Trading hours till 3:00 a.m. etc.</p> <p>On 6-9 May, HKEX hosted the 7th LME Asia Week in HK, with more than 2,000 metals professionals and media attended. LME Asia Pacific Lunch, HKEX Commodities Business Strategic Plan Briefing and LME Professional Training Course were hosted throughout the week in addition to the traditional Chairman's Cocktail, LME Asia Metals Seminar and LME Asia Dinner.</p> <p>On 17 May, the SEHK published a Consultation Paper on Review of the Environmental, Social and Governance (ESG) Reporting Guide and related Listing Rules with an aim to support and improve issuers' governance and disclosure of ESG activities and metrics. The SEHK also launched an e-training course on "ESG Governance and Reporting" to explain the board's leadership role in ESG matters.</p> <p>On 23 May, Hong Kong saw a concurrent bond offering to both retail and institutional investors. The bond was issued by Agricultural Development Bank of China with HKEX's Central Clearing and Settlement System (CCASS) as the only designated subscription channel for the retail tranche. This is the latest step in HKEX's continuing development of its fixed-income markets. The one-year yuan-denominated bond was issued by the Agricultural Development Bank of China, raising RMB 2 billion. The issue to public investors accounted for RMB 200 million and was done through HKEX's CCASS.</p> <p>On 24 May, the SEHK published Consultation Conclusions on Proposal relating to Listed Issuers with Disclaimer or Adverse Audit Opinion on Financial Statements. The SEHK implemented the consultation proposals with modifications to improve the quality and reliability of financial information of issuers to safeguard market quality. The new Listing Rules apply to issuer's preliminary annual results announcements for financial years commenced on or after 1 September.</p> <p>On 28 May, the first two-time Inverse Product — the CSOP Hang Seng Index Daily (-2x) Inverse Product — was listed on HKEX.</p> |
| June | <p>On 17 June, HKEX extended its after-hours trading session (T+1 Session) by two hours till 3 a.m. to provide investors with greater flexibility to capture investment opportunities during the US market hours and effect timely risk-management actions.</p> <p>On 18 June, the first active Exchange Traded Fund (ETF) — ICBC CICC USD Money Market ETF — was listed on HKEX. Active ETFs are different from traditional ETFs in that they are typically managed by fund managers, who have the discretion to select securities, with the aim of outperforming market indices.</p> |

| Month | Event |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>On 21 June, the HKMA issued guidance regarding the currency conversion arrangement under the Northbound trading of Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect. With the support of the People's Bank of China, investors under the Northbound trading of Stock Connect may, for the first time, obtain RMB and conduct the related foreign exchange hedging based on the onshore exchange rate through the relevant Hong Kong banks.</p> <p>On 28 June, the HKMA and the SFC issued joint consultation conclusions on the annual update to the list of Financial Services Providers (FSP List) under the clearing obligation for OTC derivative transactions. The proposals would be implemented with some fine tuning.</p> |
| July | <p>On 2 July, HKEX announced the completion of its acquisition of 51% equity interest in Shenzhen Ronghui Tongjin Technology Co Ltd (Ronghui Tongjin), a Shenzhen-based technology firm specialising in financial markets. Ronghui Tongjin was later renamed as Bay Connect Company Limited (Bay Connect)</p> <p>On 18 July, HKEX launched a new product type being the first in Asia, Inline Warrants, which complement HKEX's strategic plan in developing Hong Kong into a trading hub for structured products in the Asia Pacific time zone and offer an alternative investment product to serve different trading strategies.</p> <p>On 26 July, the SEHK, the Shanghai Stock Exchange (SSE) and the Shenzhen Stock Exchange (SZSE) announced their agreement on Stock Connect inclusion arrangements for companies with A shares listed on the SSE STAR Market, and H shares listed in Hong Kong. The arrangement will allow for A+H companies listed on the STAR Market to be eligible for trading via Stock Connect. The corresponding H-shares in these companies will be included in Southbound trading of Stock Connect when the Northbound arrangements take effect. The inclusion of eligible constituent stocks of the Hang Seng Composite Indexes to Southbound trading will not be affected.</p> <p>On 26 July, the SEHK published Consultation Conclusions on Backdoor Listing, Continuing Listing Criteria and Other Listing Rule Amendments. The SEHK implemented the consultation proposals with modifications to enhance both the reverse takeover Rules and the continuing listing criteria, helping to address evolving market practices in backdoor listing and improve the regulation of shell activities. The Listing Rule amendments became effective on 1 October.</p> |
| August | <p>On 2 August, the SEHK published a Consultation Paper on Codification of General Waivers and Principles relating to IPOs and Listed Issuers and Minor Rule Amendments. The SEHK conducted this exercise to address market comments to consolidate its guidance materials and codify into the Listing Rules certain requirements set out in the guidance materials.</p> <p>On 2 August, the SEHK, the SSE and the SZSE reached a consensus on the criteria for Hong Kong listed companies with weighted voting rights (WVR) to be included for the first time in Southbound Trading of Stock Connect. Southbound Trading of the first two WVR stocks began on 28 October 2019.</p> |

| Month | Event |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>On 5 August, HKEX introduced six US dollar-denominated London Metal Mini Futures contracts on aluminum, zinc, copper, nickel, tin and lead. At the same time, the HKFE announced the reintroduction of a reciprocal membership arrangement (RMA) in conjunction with the London Metal Exchange (LME). Under the agreement, the LME and HKFE will waive their respective first year's annual subscription and application processing fees for new applicants who already hold a membership or participant at either one of the exchanges.</p> <p>On 5 August, HKEX successfully launched the Orion Trading Platform – China Stock Connect (OTP-CSC). The OTP-CSC aims to offer a scalable, flexible and high performing trading platform based on open systems technology to meet the evolving business needs and future volume growth of Northbound Trading under Stock Connect.</p> <p>On 16 August, HKEX published a consultation paper on proposed enhancements to the Pre-opening Session (POS) and Volatility Control Mechanism (VCM) in the securities market. The two proposals aim to boost market liquidity and to enhance global competitiveness of the Hong Kong market.</p> <p>On 23 August, T+3 settlement cycle was made available for Bond Connect trades, enabling global investors to choose from T+0, T+1, T+2, or T+3 settlement cycles.</p> |
| September | <p>On 4 September, HKEX announced that it has signed a letter of intent to acquire a minority stake in a Beijing-based data technology company, Huakong TsingJiao Information Science (Beijing) Limited (TsingJiao). The proposal is part of HKEX's strategic focus on developing its own data and technology offering.</p> <p>On 16 September, HKEX introduced Weekly Hang Seng Index Options and Weekly Hang Seng China Enterprises Index Options contracts. The two options, which are plain vanilla option contracts that expire every week, will help satisfy the trading needs of investors and provide new tools for optimising their risk management capabilities.</p> <p>On 23 September, along with support from HKEX, the Hong Kong Green Finance Association (HKGFA) published the Green Bonds Guide, which laid out the core principles for green bond and provided guidance on the listing of green bonds in Hong Kong.</p> <p>On 30 September, stock futures and options on the shares of Budweiser Brewing Company APAC Limited were introduced on the same date as the listing of the shares of the company on the Stock Exchange of Hong Kong.</p> |
| October | <p>On 8 October, the Closing Auction Session (CAS) for the HKEX securities market was expanded to cover all equities and funds. The list of CAS securities now covers all equities (including depository receipts, investment companies, preference shares and stapled securities) and funds including exchange traded funds (ETFs) and real estate investment trusts (REITs). Meanwhile, structured products, leveraged and inverse products, equity warrants, rights and debt securities are not included in CAS.</p> |

| Month | Event |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>On 18 October, the SEHK amended the GEM Listing Rules to reflect the unwinding of the authority delegated to the Listing Department by the GEM Listing Committee to approve GEM listing applications. This follows the Consultation Conclusions on Review of the Growth Enterprise Market (GEM) and Changes to the GEM and Main Board Listing Rules published on 15 December 2017. The amended GEM Listing Rules became effective on 1 January 2020 and new GEM listing applications (including any renewed applications) that are submitted on or after this date are processed under the amended Listing Rules.</p> |
| | <p>On 28 October, HKEX hosted the China Reception during LME Week in London to provide more opportunities for the industry to share information and exchange ideas. The reception attracted more than 300 clients to attend. HKEX Chief Executive Charles Li made the opening remarks to welcome the guests.</p> |
| | <p>On 29 October, the SEHK enhanced guidance for overseas companies seeking to list in Hong Kong as part of the strategic focus to improve access to the Hong Kong markets and to facilitate the application process, including (a) publication of alternative procedures for U.S. ‘Domestic Issuers’ that are not ‘Reporting Issuers’ within the meaning of Regulation S under United States Securities Act of 1933 (“Regulation S”) with an offering of a security subject to Regulation S; (b) publication of a standardised template for issuers from a jurisdiction new to listing in Hong Kong; and (c) simplification of CCASS information materials and introduction of Frequently Asked Questions on HKEX website.</p> |
| November | <p>On 4 November, HKEX launched Indian Rupee (INR) Currency Futures. The two new contracts involved are the INR vs US Dollar (INR/USD) Futures and the INR vs Renminbi traded in Hong Kong (INR/CNH) Futures. The latter is the first of its kind globally.</p> <p>On 26 November, Alibaba Group was listed on the Main Board of the SEHK, which marked the first secondary listing under the new Listing Regime (Listing Rules Chapter 19C). Stock futures and options on the shares of Alibaba Group Holding Limited were introduced on the same date.</p> <p>On 29 November, HKEX hosted the 2019 HKEX Mainland Council in Shenzhen and welcomed more than 80 senior management members from leading mainland institutions to discuss the recent developments of HK financial market.</p> |
| December | <p>On 6 December, the SEHK published a Consultation Paper on Review of Chapter 37 – Debt Issues to Professional Investor Only to seek market feedback on its proposals to review and enhance the SEHK’s listing regime for debt issues to professional investors only.</p> <p>On 9 December, BCCL launched an E-Filing System for enhanced transparency and efficiency in the investor onboarding process.</p> <p>On 13 December, HKEX published its consultation conclusions on the proposed enhancements to the Pre-Opening Session (POS) and Volatility Control Mechanism (VCM) in its securities market. HKEX concluded that there were substantial market support for the proposed enhancements and would proceed with the implementation of the two initiatives.</p> |

| Month | Event |
|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>On 16 December, OTC Clearing Hong Kong Limited (OTC Clear) has received a license from the Financial Services Agency of Japan to become a Foreign Financial Instruments Clearing Organisation, allowing it to offer clearing services to Japanese banks, which have shown strong demand in clearing cross currency swaps with OTC Clear. When clearing cross currency swaps with HKEX, banks could significantly reduce their capital costs.</p> |
| | <p>On 18 December, the SEHK published Consultation Conclusions on Review of the Environmental, Social and Governance Reporting Guide and related Listing Rules and the findings of its latest review of listed issuers' ESG disclosures. With the strong support received for the consultation proposals to enhance the ESG reporting framework, the SEHK will implement the consultation proposals, with modifications, reflecting comments received. The changes will be effective for financial years commencing on or after 1 July 2020.</p> |
| | <p>On 18 December, the SFC released consultation conclusions on proposals to impose margin requirements for non-centrally cleared over-the-counter (OTC) derivatives. The proposals will be adopted with some amendments and clarifications. A licensed corporation which is a contracting party to a non-centrally cleared OTC derivative transaction entered into with an authorised institution, a licensed corporation or another defined entity would be required to exchange margin with the counterparty if the notional amount of their outstanding non-centrally cleared OTC derivatives exceeds specified thresholds. The initial margin requirements will be phased in starting from 1 September 2020, which is also the date the variation margin will take effect.</p> |
| | <p>On 20 December, the SFC and the China Securities Regulatory Commission (CSRC) jointly announced that the investor identification regime for Southbound trading under Stock Connect was scheduled for implementation on 13 January 2020. After the regime is implemented, Southbound investor identification information would be available to facilitate surveillance and monitoring for the orderly operation of the Stock Connect programme.</p> |

Stock market highlights – Main Board

| | Year | | |
|----------------------------------------------------|---------------|---------------|---------------|
| | 2017 | 2018 | 2019 |
| Listed securities (as at year end) | | | |
| No. of listed companies | 1,794 | 1,926 | 2,071 |
| No. of listed securities | 12,478 | 12,900 | 12,416 |
| No. of new listed companies ⁽¹⁾ | 94 | 143 | 168 |
| New listing companies | 81 | 133 | 148 |
| Transfer of listing from GEM | 13 | 10 | 20 |
| Total market capitalisation (HK\$mil) | 33,717,995.17 | 29,723,238.27 | 38,058,338.10 |
| Equity funds raised (HK\$mil) | 567,401.46 | 533,216.29 | 449,970.18 |
| Trading | | | |
| No. of trading days | 246 | 246 | 246 |
| Total turnover value (HK\$mil) ⁽²⁾ | | | |
| Annual | 21,560,099.47 | 26,295,274.58 | 21,390,188.28 |
| Average daily | 87,642.68 | 106,891.36 | 86,951.98 |
| Total turnover volume (mil. shs) | | | |
| Annual | 43,805,184.04 | 55,311,909.01 | 60,007,451.66 |
| Average daily | 178,069.85 | 224,845.16 | 243,932.73 |
| Total number of trades (deals) | | | |
| Annual | 300,296,509 | 388,181,359 | 354,988,721 |
| Average daily | 1,220,718 | 1,577,973 | 1,443,044 |
| Stock price indices | | | |
| S&P/HKEX LargeCap Index (28 February 2003 = 10000) | | | |
| High | 38939.55 | 43382.63 | 38698.57 |
| Low | 28044.77 | 31673.89 | 32635.85 |
| Year-end | 38884.61 | 33622.78 | 37103.19 |
| Hang Seng Index (31 July 1964 = 100) | | | |
| High | 30003.49 | 33154.12 | 30157.49 |
| Low | 22134.47 | 24585.53 | 25064.36 |
| Year-end | 29919.15 | 25845.70 | 28189.75 |
| Market ratios ⁽³⁾ | | | |
| Average dividend yield (%) | 2.79 | 3.34 | 2.73 |
| Average P/E ratio (times) | 16.34 | 10.45 | 13.28 |
| Annual turnover velocity (%) | 47.61 | 65.04 | 40.85 |
| Number of Exchange participants | 654 | 673 | 704 |

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Calculations are based on year-end figures of all listed stocks.

Stock market highlights – GEM

| | Year | | |
|-----------------------------------------------|------------|------------|------------|
| | 2017 | 2018 | 2019 |
| Listed securities (as at year end) | | | |
| No. of listed companies | 324 | 389 | 378 |
| No. of listed securities | 325 | 390 | 379 |
| No. of new listed companies | 80 | 75 | 15 |
| Total market capitalisation (HK\$mil) | 280,841.44 | 186,183.32 | 106,699.32 |
| Equity funds raised (HK\$mil) | 13,984.10 | 10,918.54 | 4,277.10 |
| Trading | | | |
| No. of trading days | 246 | 246 | 246 |
| Total turnover value (HK\$mil) ⁽¹⁾ | | | |
| Annual | 149,061.01 | 127,487.49 | 49,860.88 |
| Average daily | 605.94 | 518.24 | 202.69 |
| Total turnover volume (mil. shs) | | | |
| Annual | 477,690.71 | 334,890.26 | 190,020.19 |
| Average daily | 1,941.83 | 1,361.34 | 772.44 |
| Total number of trades (deals) | | | |
| Annual | 4,785,031 | 4,629,493 | 2,418,371 |
| Average daily | 19,451 | 18,819 | 9,831 |
| Stock price indices | | | |
| S&P/HKEX GEM Index (28 February 2003 = 1000) | | | |
| High | 366.25 | 263.65 | 141.52 |
| Low | 254.65 | 140.05 | 76.56 |
| Year-end | 263.81 | 146.01 | 79.44 |
| Market ratios⁽²⁾ | | | |
| Average dividend yield (%) | 0.38 | 0.45 | 1.79 |
| Average P/E ratio (times) | 36.65 | 28.16 | 22.04 |
| Annual turnover velocity (%) | 53.08 | 68.47 | 46.73 |

Notes:

(1) Turnover values have been adjusted for late reported and rejected sales.

(2) Calculations are based on year-end figures of GEM stocks

Stock market highlights – Main Board and GEM

| | Year | | | | | | | | | |
|-----------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 |
| Listed securities (as at year end) | | | | | | | | | | |
| No. of listed companies | 1,413 | 1,496 | 1,547 | 1,643 | 1,752 | 1,866 | 1,973 | 2,118 | 2,315 | 2,449 |
| No. of listed securities | 7,900 | 6,723 | 6,903 | 8,522 | 9,060 | 9,015 | 8,591 | 12,803 | 13,290 | 12,795 |
| No. of new listed companies ⁽¹⁾ | 113 | 101 | 64 | 110 | 122 | 138 | 126 | 174 | 218 | 183 |
| New listing Companies | 101 | 89 | 62 | 102 | 115 | 124 | 120 | 161 | 208 | 163 |
| Transfer of listing from GEM | 12 | 12 | 2 | 8 | 7 | 14 | 6 | 13 | 10 | 20 |
| Total market capitalisation (HK\$bil) | 21,076.96 | 17,537.26 | 21,950.13 | 24,042.81 | 25,071.83 | 24,683.73 | 24,761.31 | 33,998.84 | 29,909.42 | 38,165.04 |
| Equity funds raised (HK\$bil) | 858.72 | 490.39 | 305.36 | 378.86 | 942.72 | 1,115.64 | 490.05 | 581.39 | 544.13 | 454.25 |
| Initial public offers | 449.48 | 259.79 | 90.04 | 168.96 | 232.52 | 263.09 | 195.32 | 128.54 | 288.01 | 314.24 |
| Secondary market | 409.24 | 230.60 | 215.32 | 209.90 | 710.20 | 852.55 | 294.74 | 452.85 | 256.13 | 140.01 |
| Trading | | | | | | | | | | |
| No. of trading days | 249 | 246 | 247 | 244 | 247 | 247 | 245 | 246 | 246 | 246 |
| Total turnover value (HK\$bil) ⁽²⁾ | | | | | | | | | | |
| Annual | 17,210.08 | 17,154.07 | 13,301.05 | 15,264.63 | 17,155.73 | 26,090.62 | 16,396.42 | 21,709.16 | 26,422.76 | 21,440.05 |
| Average daily | 69.12 | 69.73 | 53.85 | 62.56 | 69.46 | 105.63 | 66.92 | 88.25 | 107.41 | 87.15 |
| Total turnover volume (bil. shs) | | | | | | | | | | |
| Annual | 34,991.18 | 39,907.28 | 33,967.55 | 34,439.74 | 34,286.67 | 53,693.91 | 45,611.90 | 44,282.87 | 55,646.80 | 60,197.47 |
| Average daily | 140.53 | 162.22 | 137.52 | 141.15 | 138.81 | 217.38 | 186.17 | 180.01 | 226.21 | 244.71 |
| Total number of trades (deals) | | | | | | | | | | |
| Annual | 193,940,737 | 214,853,621 | 191,608,513 | 229,470,737 | 262,437,450 | 355,749,145 | 264,849,916 | 305,081,540 | 392,810,852 | 357,407,092 |
| Average daily | 778,878 | 873,389 | 775,743 | 940,454 | 1,062,500 | 1,440,280 | 1,081,020 | 1,240,169 | 1,596,792 | 1,452,874 |
| Trading by products (HK\$bil) | | | | | | | | | | |
| Equities | 12,411.31 | 12,068.96 | 9,529.07 | 11,221.86 | 12,636.75 | 17,482.24 | 11,173.11 | 16,202.48 | 19,460.96 | 15,597.90 |
| Equity warrants | 1.81 | 0.93 | 0.08 | 0.08 | 3.06 | 3.98 | 0.35 | 0.20 | 0.02 | 0.01 |
| Derivative warrants | 2,692.46 | 2,629.89 | 1,646.44 | 1,783.31 | 2,044.51 | 4,504.08 | 2,727.00 | 3,007.66 | 3,866.14 | 2,418.14 |
| Inline warrants | - | - | - | - | - | - | - | - | - | 0.16 |
| CBBCs | 1,455.40 | 1,852.14 | 1,533.15 | 1,269.23 | 1,229.96 | 1,836.85 | 1,371.57 | 1,189.17 | 1,837.31 | 1,995.45 |
| ELIs | - | - | - | - | - | - | - | - | - | - |
| Debt securities | 0.00 | 0.84 | 2.77 | 4.46 | 6.09 | 9.38 | 21.28 | 60.50 | 48.39 | 60.69 |
| Unit trusts and mutual funds | 649.09 | 601.32 | 589.54 | 985.69 | 1,235.36 | 2,254.09 | 1,103.11 | 1,249.16 | 1,209.93 | 1,367.71 |
| ETFs | 604.46 | 545.30 | 522.08 | 903.13 | 1,167.68 | 2,170.96 | 1,011.41 | 1,065.38 | 987.25 | 1,076.53 |
| L&I Products | - | - | - | - | - | - | 0.51 | 94.09 | 109.85 | 141.42 |
| REITs | 43.89 | 55.52 | 67.15 | 82.36 | 67.42 | 82.03 | 91.09 | 89.41 | 112.58 | 149.65 |
| Others | 0.74 | 0.50 | 0.31 | 0.20 | 0.27 | 1.10 | 0.11 | 0.28 | 0.25 | 0.10 |
| Market ratios ⁽³⁾ | | | | | | | | | | |
| Average dividend yield (%) | 2.30 | 3.30 | 2.80 | 2.98 | 3.42 | 3.42 | 3.21 | 2.77 | 3.32 | 2.73 |
| Average P/E ratio (times) | 16.72 | 9.71 | 10.52 | 11.28 | 10.94 | 9.99 | 10.64 | 16.42 | 10.50 | 13.30 |
| Annual turnover velocity (%) | 58.89 | 68.82 | 43.41 | 46.67 | 50.40 | 70.82 | 45.12 | 47.66 | 65.07 | 40.87 |

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Calculations are based on year-end figures of all listed stocks.

Market highlights – Derivatives market highlights

| | Year | | |
|-----------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| | 2017 | 2018 | 2019 |
| All futures and options products (excluding stock options) | | | |
| No. of trading days | 247 | 246 | 246 |
| Contract volume | 109,006,169 | 168,903,975 | 154,071,904 |
| Equity index products | 107,930,810 | 165,867,271 | 150,490,751 |
| Equity products | 121,532 | 863,027 | 917,358 |
| Bond Futures * | 8,539 | - | - |
| Interest rates products | 588 | 759 | 270 |
| RMB Currency products | 757,625 | 1,809,962 | 1,993,701 |
| INR Currency Futures † | - | - | 11,136 |
| Gold Futures # | 166,135 | 351,706 | 573,173 |
| Iron Ore Futures ^ | 18,230 | 9,517 | 55,944 |
| London Metal Mini Futures | 2,710 | 1,733 | 29,571 |
| Average daily | 441,321 | 686,602 | 626,309 |
| Open interest at the year end | 2,851,073 | 3,381,251 | 2,996,013 |
| Equity index products | 2,815,844 | 3,321,233 | 2,939,047 |
| Equity products | 5,555 | 20,476 | 27,277 |
| Bond Futures * | 0 | - | - |
| Interest rates products | 470 | 272 | 60 |
| RMB Currency products | 28,331 | 37,909 | 26,279 |
| INR Currency Futures † | - | - | 175 |
| Gold Futures # | 422 | 236 | 1,137 |
| Iron Ore Futures ^ | 424 | 1,124 | 1,685 |
| London Metal Mini Futures | 27 | 1 | 353 |
| * Trading in Bond Futures commenced on 10 Apr 2017 and suspended with effect from 08 Dec 2017 | | | |
| # Trading in Gold Futures commenced on 10 Jul 2017 | | | |
| ^ Trading in Iron Ore Futures commenced on 13 Nov 2017 | | | |
| † Trading in INR Currency Futures commenced on 4 Nov 2019 | | | |
| Stock options | | | |
| No. of stock options classes at the year end | 86 | 96 | 96 |
| No. of stock options series at the year end | 31,186 | 36,474 | 34,652 |
| No. of newly introduced stock options classes | 3 | 13 | 2 |
| No. of trading days | 247 | 246 | 246 |
| Contract volume | | | |
| Annual total | 105,839,179 | 127,279,101 | 108,813,894 |
| Average daily | 428,499 | 517,395 | 442,333 |
| Premium (HK\$mil) | | | |
| Annual total | 62,024 | 105,598 | 80,588 |
| Average daily | 251 | 429 | 328 |
| Number of trades | | | |
| Annual total | 3,917,966 | 5,107,002 | 4,588,509 |
| Average daily | 15,862 | 20,760 | 18,652 |
| Open interest at the year end | 8,304,697 | 7,213,486 | 6,702,538 |
| Contracts exercised | 9,615,449 | 11,859,388 | 10,661,047 |
| Average premium per contract (HK\$) | 586 | 830 | 741 |
| Average contract per trade (contracts) | 27 | 25 | 24 |
| No. of market makers | 28 | 28 | 28 |
| Put volume/call volume | 0.80 | 0.98 | 0.98 |
| Average daily contract volume/open interest | 5.2% | 7.2% | 6.6% |
| All futures and options products | | | |
| Contract volume | 214,845,348 | 296,183,076 | 262,885,798 |
| Open interest at the year end | 11,155,770 | 10,594,737 | 9,698,551 |

Market highlights – Clearing and Settlement

| | Year | | |
|----------------------------------------------------------------------------|-------------|-------------|-------------|
| | 2017 | 2018 | 2019 |
| CCASS Statistics | | | |
| Average Daily Exchange Trades Handled by CCASS | | | |
| – Number of trades | 1,240,145 | 1,596,771 | 1,452,847 |
| – Value of trades (HK\$bil) | 88.0 | 107.2 | 86.9 |
| – Share quantity Involved (bil. shs) | 180.0 | 226.2 | 244.7 |
| Average Daily Settlement Instructions (“SIs”) Settled by CCASS | | | |
| – Number of SIs | 92,459 | 103,033 | 94,951 |
| – Value of SIs (HK\$bil) | 220.5 | 266.2 | 238.4 |
| – Share quantity involved (bil. shs) | 48.0 | 50.3 | 44.8 |
| Average Daily Investor SIs (“ISIs”) Settled by CCASS | | | |
| – Number of ISIs | 337 | 305 | 233 |
| – Value of ISIs (HK\$mil) | 271.6 | 211.7 | 158.3 |
| – Share quantity involved (mil. shs) | 182.3 | 133.3 | 150.1 |
| Average Daily Settlement Efficiency of CNS Stock Positions | | | |
| on Due Day (T+2) (%) | 99.91 | 99.90 | 99.92 |
| Average Daily Settlement Efficiency of CNS Stock Positions | | | |
| on the Day following the Due Day (T+3) (%) | 99.99 | 99.99 | 99.99 |
| Average Daily Buy-ins Executed on T+3 | | | |
| – Number of brokers involved | 3 | 4 | 3 |
| – Number of buy-ins | 4 | 4 | 3 |
| – Value of buy-ins (HK\$mil) | 2.0 | 4.3 | 1.5 |
| Shares Deposited in the CCASS Depository | | | |
| – Number of shares (bil. shs) | 6,404.2 | 6,644.4 | 6,500.6 |
| – Percentage of total issued shares of the admitted securities | 75.30 | 76.15 | 75.41 |
| – Value of shares (HK\$bil) | 21,768.7 | 18,815.1 | 22,828.2 |
| – Percentage of the total market capitalisation of the admitted securities | 58.70 | 58.78 | 56.79 |
| DCASS Statistics | | | |
| | 2017 | 2018 | 2019 |
| Open Interest as at the year end (contracts) | | | |
| – Equity Index Futures | 475,151 | 708,430 | 604,384 |
| – Stock Futures | 5,555 | 20,476 | 27,277 |
| – 5-Year MOF T-Bond Futures [^] | - | - | - |
| – Interest Rates Futures | 470 | 272 | 60 |
| – RMB Currency Futures | 25,218 | 32,284 | 24,636 |
| – INR Currency products [*] | - | - | 175 |
| – Gold Futures ⁺ | 422 | 236 | 1,137 |
| – Iron Ore Futures ^{^^} | 424 | 1,124 | 1,685 |
| – London Metal Mini Futures | 27 | 1 | 353 |
| – Equity Index Options | 2,340,693 | 2,612,803 | 2,334,663 |
| – Stock Options | 8,304,697 | 7,213,486 | 6,702,538 |
| – RMB Currency Options [#] | 3,113 | 5,625 | 1,643 |
| Cumulative Stock Options Exercised | | | |
| – Share quantity involved (mil. shs) | 9,184 | 10,683 | 11,811 |
| – Value of shares (HK\$bil) | 205 | 282 | 242 |

[^] Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017 and suspended with effect from 08 Dec 2017

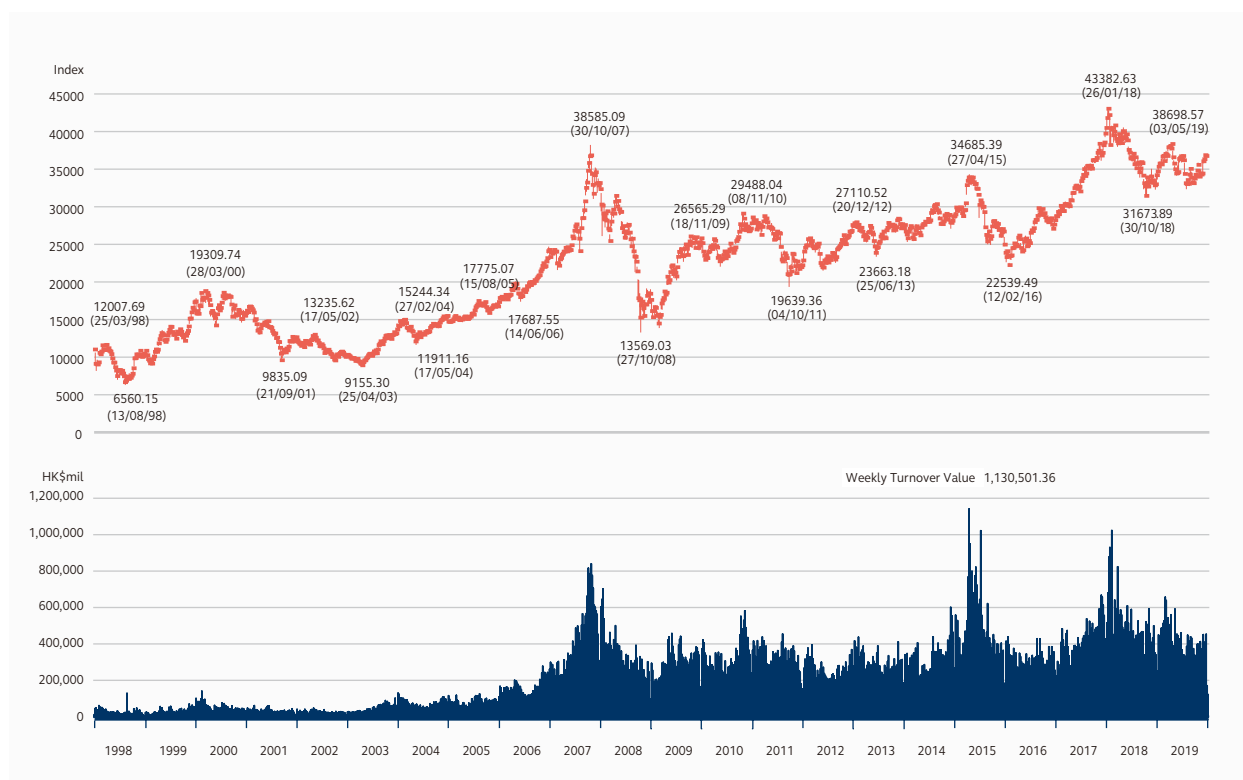
⁺ Trading in Gold Futures commenced on 10 Jul 2017

^{^^} Trading in Iron Ore Futures commenced on 13 Nov 2017

[#] Trading in RMB Currency Options commenced on 20 Mar 2017

^{*} Trading in INR Currency products commenced on 4 Nov 2019

S&P/HKEX LargeCap Index, weekly movement 1998-2019



Hang Seng Index, monthly movement 1964-2019



S&P/HKEX LargeCap Index, daily closing (2019)

28 February 2003 = 10000

| Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-------------|-----------------|----------|----------|----------|-----------------|----------|----------|----------|----------|----------|----------|----------|
| 1 | - | 36079.60 | 37105.50 | 37690.28 | - | - | - | 35542.84 | - | - | 35137.00 | - |
| 2 | 32726.36 | - | - | 37798.97 | 38534.21 | - | 37215.46 | 34700.40 | 33224.26 | 33661.21 | - | 34735.87 |
| 3 | 32635.85 | - | - | 38358.55 | 38698.57 | 34715.86 | 37125.56 | - | 33111.26 | 33791.17 | - | 34652.59 |
| 4 | 33330.84 | 36154.46 | 37315.43 | 38245.96 | - | 34498.47 | 37066.99 | - | 34347.21 | 33453.28 | 35796.97 | 34210.04 |
| 5 | - | - | 37440.69 | - | - | 34726.43 | 37064.52 | 33647.54 | 34353.89 | - | 35980.20 | 34387.31 |
| 6 | - | - | 37477.50 | - | 37637.48 | 34803.06 | - | 33414.04 | 34611.00 | - | 35995.57 | 34753.77 |
| 7 | 33619.74 | - | 37130.04 | - | 37840.62 | - | - | 33470.39 | - | - | 36239.39 | - |
| 8 | 33580.56 | 36094.38 | 36413.56 | 38408.48 | 37445.03 | - | 36509.70 | 33653.40 | - | 33597.38 | 35913.18 | - |
| 9 | 34313.77 | - | - | 38481.81 | 36607.57 | - | 36246.35 | 33444.49 | 34589.53 | 33301.48 | - | 34762.28 |
| 10 | 34322.03 | - | - | 38483.85 | 36919.11 | 35607.30 | 36327.53 | - | 34524.02 | 33353.88 | - | 34675.26 |
| 11 | 34522.20 | 36363.92 | 36796.05 | 38187.76 | - | 35861.12 | 36567.53 | - | 35118.54 | 34068.85 | 34933.55 | 34984.27 |
| 12 | - | 36372.29 | 37321.91 | 38295.76 | - | 35269.95 | 36651.71 | 33376.62 | 35024.08 | - | 35247.46 | 35413.91 |
| 13 | - | 36750.31 | 37191.91 | - | - | 35183.98 | - | 32681.49 | 35359.99 | - | 34627.49 | 36386.23 |
| 14 | 33992.42 | 36658.77 | 37223.87 | - | 36318.57 | 34953.79 | - | 32763.65 | - | 34334.00 | 34240.65 | - |
| 15 | 34664.69 | 35953.12 | 37381.29 | 38209.01 | 36552.81 | - | 36756.99 | 33047.26 | - | 34337.18 | 34328.22 | - |
| 16 | 34733.85 | - | - | 38695.47 | 36434.47 | - | 36804.88 | 33333.89 | 35032.29 | 34530.62 | - | 36159.41 |
| 17 | 34613.02 | - | - | 38670.58 | 36074.16 | 35102.01 | 36782.01 | - | 34623.51 | 34715.94 | - | 36659.44 |
| 18 | 35022.75 | 36547.34 | 37814.09 | 38454.42 | - | 35426.08 | 36606.76 | - | 34633.92 | 34579.71 | 34849.65 | 36747.93 |
| 19 | - | 36365.39 | 37813.96 | - | - | 36327.93 | 37078.81 | 34067.98 | 34184.16 | - | 35303.11 | 36622.09 |
| 20 | - | 36720.15 | 37574.21 | - | 35856.94 | 36763.33 | - | 33957.17 | 34190.43 | - | 35085.91 | 36675.53 |
| 21 | 35171.63 | 36839.50 | 37201.68 | - | 35706.29 | 36698.45 | - | 33927.59 | - | 34658.32 | 34457.26 | - |
| 22 | 34937.66 | 37018.09 | 37267.73 | - | 35803.36 | - | 36534.55 | 33651.23 | - | 34651.35 | 34757.63 | - |
| 23 | 34892.99 | - | - | 38535.24 | 35191.33 | - | 36587.74 | 33878.99 | 33952.47 | 34368.92 | - | 36686.89 |
| 24 | 35011.15 | - | - | 38360.34 | 35324.33 | 36752.21 | 36686.20 | - | 34022.60 | 34600.87 | - | 36684.20 |
| 25 | 35657.72 | 37295.47 | 36503.31 | 37984.29 | - | 36265.69 | 36768.86 | - | 33598.89 | 34387.82 | 35268.38 | - |
| 26 | - | 37054.74 | 36535.75 | 38085.69 | - | 36318.62 | 36518.00 | 33363.22 | 33700.45 | - | 35194.95 | - |
| 27 | - | 37080.04 | 36776.98 | - | 35232.11 | 36907.81 | - | 33284.85 | 33565.06 | - | 35320.33 | 37199.22 |
| 28 | 35682.84 | 36930.87 | 36778.00 | - | 35342.99 | 36851.92 | - | 33224.54 | - | 34872.69 | 35309.25 | - |
| 29 | 35599.19 | - | 37102.74 | 38456.17 | 35119.95 | - | 36178.52 | 33304.32 | - | 34668.66 | 34601.67 | - |
| 30 | 35730.91 | - | - | 38247.47 | 35001.00 | - | 36230.65 | 33361.18 | 33711.41 | 34518.76 | - | 37306.83 |
| 31 | 36088.22 | - | - | - | 34751.27 | - | 35736.43 | - | - | 34850.62 | - | 37103.19 |
| High | 36088.22 | 37295.47 | 37814.09 | 38695.47 | 38698.57 | 36907.81 | 37215.46 | 35542.84 | 35359.99 | 34872.69 | 36239.39 | 37306.83 |
| Low | 32635.85 | 35953.12 | 36413.56 | 37690.28 | 34751.27 | 34498.47 | 35736.43 | 32681.49 | 33111.26 | 33301.48 | 34240.65 | 34210.04 |
| Avg | 34584.11 | 36604.61 | 37150.77 | 38297.37 | 36304.39 | 35738.63 | 36638.44 | 33595.32 | 34260.90 | 34252.51 | 35170.85 | 35840.31 |

S&P/HKEX LargeCap Index, daily movement (2019)



Hang Seng Index, daily closing (2019)

31 July 1964 = 100

| Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-------------|-----------------|----------|----------|-----------------|----------|----------|----------|----------|----------|----------|----------|----------|
| 1 | - | 27930.74 | 28812.17 | 29562.02 | - | - | - | 27565.70 | - | - | 27100.76 | - |
| 2 | 25130.35 | - | - | 29624.67 | 29944.18 | - | 28875.56 | 26918.58 | 25626.55 | 26042.69 | - | 26444.72 |
| 3 | 25064.36 | - | - | 29986.39 | 30081.55 | 26893.86 | 28855.14 | - | 25527.85 | 26110.31 | - | 26391.30 |
| 4 | 25626.03 | 27990.21 | 28959.59 | 29936.32 | - | 26761.52 | 28795.77 | - | 26523.23 | 25821.03 | 27547.30 | 26062.56 |
| 5 | - | - | 28961.60 | - | - | 26895.44 | 28774.83 | 26151.32 | 26515.53 | - | 27683.40 | 26217.04 |
| 6 | - | - | 29037.60 | - | 29209.82 | 26965.28 | - | 25976.24 | 26690.76 | - | 27688.64 | 26498.37 |
| 7 | 25835.70 | - | 28779.45 | - | 29363.02 | - | - | 25997.03 | - | - | 27847.23 | - |
| 8 | 25875.45 | 27946.32 | 28228.42 | 30077.15 | 29003.20 | - | 28331.69 | 26120.77 | - | 25893.40 | 27651.14 | - |
| 9 | 26462.32 | - | - | 30157.49 | 28311.07 | - | 28116.28 | 25939.30 | 26681.40 | 25682.81 | - | 26494.73 |
| 10 | 26521.43 | - | - | 30119.56 | 28550.24 | 27578.64 | 28204.69 | - | 26683.68 | 25707.93 | - | 26436.62 |
| 11 | 26667.27 | 28143.84 | 28503.30 | 29839.45 | - | 27789.34 | 28431.80 | - | 27159.06 | 26308.44 | 26926.55 | 26645.43 |
| 12 | - | 28171.33 | 28920.87 | 29909.76 | - | 27308.46 | 28471.62 | 25824.72 | 27087.63 | - | 27065.28 | 26994.14 |
| 13 | - | 28497.59 | 28807.45 | - | - | 27294.71 | - | 25281.30 | 27352.69 | - | 26571.46 | 27687.76 |
| 14 | 26298.33 | 28432.05 | 28851.39 | - | 28122.02 | 27118.35 | - | 25302.28 | - | 26521.85 | 26323.69 | - |
| 15 | 26830.29 | 27900.84 | 29012.26 | 29810.72 | 28268.71 | - | 28554.88 | 25495.46 | - | 26503.93 | 26326.66 | - |
| 16 | 26902.10 | - | - | 30129.87 | 28275.07 | - | 28619.62 | 25734.22 | 27124.55 | 26664.28 | - | 27508.09 |
| 17 | 26755.63 | - | - | 30124.68 | 27946.46 | 27227.16 | 28593.17 | - | 26790.24 | 26848.49 | - | 27843.71 |
| 18 | 27090.81 | 28347.01 | 29409.01 | 29963.26 | - | 27498.77 | 28461.66 | - | 26754.12 | 26719.58 | 26681.09 | 27884.21 |
| 19 | - | 28228.13 | 29466.28 | - | - | 28202.14 | 28765.40 | 26291.84 | 26468.95 | - | 27093.80 | 27800.49 |
| 20 | - | 28514.05 | 29320.97 | - | 27787.61 | 28550.43 | - | 26231.54 | 26435.67 | - | 26889.61 | 27871.35 |
| 21 | 27196.54 | 28629.92 | 29071.56 | - | 27657.24 | 28473.71 | - | 26270.04 | - | 26725.68 | 26466.88 | - |
| 22 | 27005.45 | 28816.30 | 29113.36 | - | 27705.94 | - | 28371.26 | 26048.72 | - | 26786.20 | 26595.08 | - |
| 23 | 27008.20 | - | - | 29963.24 | 27267.13 | - | 28466.48 | 26179.33 | 26222.40 | 26566.73 | - | 27906.41 |
| 24 | 27120.98 | - | - | 29805.83 | 27353.93 | 28513.00 | 28524.04 | - | 26281.00 | 26797.95 | - | 27864.21 |
| 25 | 27569.19 | 28959.30 | 28523.35 | 29549.80 | - | 28185.98 | 28594.30 | - | 25945.35 | 26667.39 | 26993.04 | - |
| 26 | - | 28772.06 | 28566.91 | 29605.01 | - | 28221.98 | 28397.74 | 25680.33 | 26041.93 | - | 26913.92 | - |
| 27 | - | 28757.44 | 28728.25 | - | 27288.09 | 28621.42 | - | 25664.07 | 25954.81 | - | 26954.00 | 28225.42 |
| 28 | 27576.96 | 28633.18 | 28775.21 | - | 27390.81 | 28542.62 | - | 25615.48 | - | 26891.26 | 26893.73 | - |
| 29 | 27531.68 | - | 29051.36 | 29892.81 | 27235.71 | - | 28106.41 | 25703.50 | - | 26786.76 | 26346.49 | - |
| 30 | 27642.85 | - | - | 29699.11 | 27114.88 | - | 28146.50 | 25724.73 | 26092.27 | 26667.71 | - | 28319.39 |
| 31 | 27942.47 | - | - | - | 26901.09 | - | 27777.75 | - | - | 26906.72 | - | 28189.75 |
| High | 27942.47 | 28959.30 | 29466.28 | 30157.49 | 30081.55 | 28621.42 | 28875.56 | 27565.70 | 27352.69 | 26906.72 | 27847.23 | 28319.39 |
| Low | 25064.36 | 27900.84 | 28228.42 | 29549.80 | 26901.09 | 26761.52 | 27777.75 | 25281.30 | 25527.85 | 25682.81 | 26323.69 | 26062.56 |
| Avg | 26711.56 | 28392.37 | 28900.02 | 29881.95 | 28132.27 | 27718.04 | 28465.30 | 25987.11 | 26474.27 | 26458.15 | 26979.04 | 27264.29 |

Note: HSI is compiled by Hang Seng Indexes Company Limited

Hang Seng Index, daily movement (2019)



HSI Volatility Index, daily closing (2019)

| Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-------------|--------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------------|
| 1 | - | 18.24 | 17.10 | 15.61 | - | - | - | 16.45 | - | - | 15.36 | - |
| 2 | 26.93 | - | - | 15.17 | 14.33 | - | 15.25 | 19.94 | 23.89 | 20.59 | - | 17.04 |
| 3 | 25.17 | - | - | 15.45 | 14.87 | 20.93 | 14.81 | - | 23.27 | 20.14 | - | 16.71 |
| 4 | 22.96 | 18.58 | 17.63 | 15.36 | - | 19.72 | 14.25 | - | 21.30 | 20.70 | 15.99 | 17.40 |
| 5 | - | - | 17.55 | - | - | 17.60 | 14.70 | 22.27 | 21.30 | - | 15.52 | 16.06 |
| 6 | - | - | 17.41 | - | 18.29 | 17.03 | - | 23.35 | 20.29 | - | 15.19 | 16.06 |
| 7 | 22.18 | - | 17.51 | - | 17.76 | - | - | 21.32 | - | - | 14.74 | - |
| 8 | 21.11 | 18.31 | 18.93 | 16.14 | 19.52 | - | 15.58 | 20.75 | - | 20.75 | 15.12 | - |
| 9 | 20.43 | - | - | 15.50 | 23.54 | - | 15.83 | 20.75 | 19.47 | 21.09 | - | 16.00 |
| 10 | 20.44 | - | - | 15.64 | 21.07 | 16.94 | 15.52 | - | 18.76 | 20.91 | - | 16.03 |
| 11 | 19.64 | 17.66 | 17.78 | 15.86 | - | 16.99 | 14.69 | - | 18.65 | 20.04 | 18.31 | 15.90 |
| 12 | - | 17.11 | 17.33 | 15.02 | - | 18.18 | 14.21 | 19.60 | 18.34 | - | 17.25 | 15.88 |
| 13 | - | 17.10 | 17.58 | - | - | 18.16 | - | 23.36 | 18.09 | - | 19.97 | 15.51 |
| 14 | 20.26 | 17.00 | 16.45 | - | 20.90 | 18.07 | - | 23.65 | - | 18.87 | 20.04 | - |
| 15 | 19.48 | 18.13 | 15.30 | 16.05 | 18.75 | - | 14.78 | 23.57 | - | 17.99 | 19.19 | - |
| 16 | 19.20 | - | - | 15.35 | 18.26 | - | 14.60 | 24.25 | 18.78 | 18.17 | - | 14.62 |
| 17 | 19.30 | - | - | 15.31 | 18.98 | 17.83 | 14.59 | - | 18.99 | 17.60 | - | 14.25 |
| 18 | 18.63 | 18.11 | 15.98 | 15.19 | - | 18.06 | 15.72 | - | 19.05 | 17.29 | 17.84 | 14.16 |
| 19 | - | 18.28 | 16.14 | - | - | 17.76 | 15.18 | 22.92 | 18.73 | - | 17.16 | 13.73 |
| 20 | - | 18.32 | 16.37 | - | 18.81 | 17.43 | - | 21.17 | 18.57 | - | 17.22 | 13.66 |
| 21 | 19.13 | 17.71 | 16.56 | - | 18.21 | 17.40 | - | 20.94 | - | 16.72 | 18.17 | - |
| 22 | 19.57 | 17.32 | 15.86 | - | 18.53 | - | 17.67 | 20.44 | - | 16.23 | 17.38 | - |
| 23 | 18.80 | - | - | 15.74 | 19.68 | - | 16.66 | 20.37 | 19.31 | 16.32 | - | 12.93 |
| 24 | 18.25 | - | - | 15.14 | 19.11 | 17.54 | 16.68 | - | 18.65 | 16.10 | - | 13.18 |
| 25 | 17.77 | 18.01 | 18.14 | 15.48 | - | 18.03 | 17.44 | - | 20.42 | 15.62 | 17.59 | - |
| 26 | - | 18.55 | 16.89 | 14.74 | - | 18.63 | 18.08 | 23.97 | 19.98 | - | 17.52 | - |
| 27 | - | 19.83 | 15.77 | - | 19.42 | 17.98 | - | 24.05 | 19.88 | - | 17.17 | 14.66 |
| 28 | 18.59 | 18.93 | 15.43 | - | 18.75 | 17.48 | - | 24.09 | - | 15.90 | 16.85 | - |
| 29 | 18.50 | - | 14.73 | 14.84 | 19.50 | - | 18.72 | 23.69 | - | 16.05 | 17.77 | - |
| 30 | 18.45 | - | - | 14.47 | 19.49 | - | 17.28 | 24.15 | 20.25 | 16.39 | - | 15.07 |
| 31 | 18.01 | - | - | - | 20.85 | - | 17.31 | - | - | 15.18 | - | 15.61 |
| High | 26.93 | 19.83 | 18.93 | 16.14 | 23.54 | 20.93 | 18.72 | 24.25 | 23.89 | 21.09 | 20.04 | 17.40 |
| Low | 17.77 | 17.00 | 14.73 | 14.47 | 14.33 | 16.94 | 14.21 | 16.45 | 18.09 | 15.18 | 14.74 | 12.93 |
| Avg | 20.13 | 18.07 | 16.78 | 15.37 | 18.98 | 17.99 | 15.89 | 22.05 | 19.81 | 18.03 | 17.21 | 15.22 |

Note: VHSI is compiled by Hang Seng Indexes Company Limited

HSI Volatility Index, daily movement (2019)



Hang Seng China Enterprises Index, daily closing (2019)

3 January 2000 = 2000

| Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-------------|----------------|----------|----------|-----------------|----------|----------|----------|----------|----------|----------|----------|----------|
| 1 | - | 11063.94 | 11506.94 | 11557.63 | - | - | - | 10621.57 | - | - | 10622.50 | - |
| 2 | 9833.69 | - | - | 11546.66 | 11556.72 | - | 10981.23 | 10348.63 | 10103.36 | 10182.97 | - | 10363.91 |
| 3 | 9835.45 | - | - | 11705.16 | 11575.05 | 10430.64 | 10922.41 | - | 10035.78 | 10220.98 | - | 10355.92 |
| 4 | 10029.65 | 11036.42 | 11575.53 | 11692.37 | - | 10341.12 | 10913.39 | - | 10288.19 | 10147.33 | 10813.47 | 10254.09 |
| 5 | - | - | 11582.61 | - | - | 10345.05 | 10895.10 | 10081.64 | 10384.14 | - | 10877.63 | 10321.83 |
| 6 | - | - | 11592.03 | - | 11233.66 | 10334.32 | - | 10012.24 | 10430.67 | - | 10860.86 | 10407.18 |
| 7 | 10123.85 | - | 11460.08 | - | 11261.05 | - | - | 9993.34 | - | - | 10935.89 | - |
| 8 | 10133.74 | 10956.58 | 11156.82 | 11793.65 | 11097.37 | - | 10725.20 | 10041.62 | - | 10201.34 | 10882.30 | - |
| 9 | 10358.21 | - | - | 11815.00 | 10845.06 | - | 10650.63 | 9993.84 | 10417.39 | 10169.33 | - | 10408.05 |
| 10 | 10394.59 | - | - | 11764.00 | 10931.05 | 10526.92 | 10701.92 | - | 10403.34 | 10218.49 | - | 10395.27 |
| 11 | 10454.95 | 11016.93 | 11276.91 | 11618.04 | - | 10620.13 | 10784.01 | - | 10565.01 | 10452.58 | 10613.63 | 10502.53 |
| 12 | - | 11044.65 | 11466.14 | 11659.84 | - | 10494.78 | 10788.34 | 9997.94 | 10592.37 | - | 10691.09 | 10614.76 |
| 13 | - | 11199.10 | 11405.82 | - | - | 10472.44 | - | 9846.64 | 10687.75 | - | 10519.12 | 10838.11 |
| 14 | 10292.44 | 11170.35 | 11447.27 | - | 10764.02 | 10419.87 | - | 9866.18 | - | 10507.85 | 10427.38 | - |
| 15 | 10502.62 | 10937.33 | 11508.17 | 11631.86 | 10804.14 | - | 10838.99 | 9903.41 | - | 10500.17 | 10424.80 | - |
| 16 | 10555.52 | - | - | 11821.18 | 10811.62 | - | 10865.52 | 9964.30 | 10628.25 | 10532.17 | - | 10778.89 |
| 17 | 10512.15 | - | - | 11848.98 | 10688.75 | 10428.52 | 10847.91 | - | 10502.37 | 10588.17 | - | 10964.99 |
| 18 | 10636.97 | 11149.02 | 11674.83 | 11768.63 | - | 10507.65 | 10784.55 | - | 10478.50 | 10540.04 | 10556.56 | 11024.66 |
| 19 | - | 11116.92 | 11686.66 | - | - | 10764.70 | 10909.41 | 10109.15 | 10385.35 | - | 10696.56 | 10985.89 |
| 20 | - | 11229.43 | 11624.98 | - | 10633.42 | 10922.39 | - | 10132.77 | 10375.65 | - | 10619.51 | 11030.23 |
| 21 | 10713.05 | 11305.98 | 11544.09 | - | 10634.62 | 10921.47 | - | 10177.29 | - | 10543.41 | 10450.22 | - |
| 22 | 10613.48 | 11427.29 | 11517.48 | - | 10604.55 | - | 10770.31 | 10122.90 | - | 10526.86 | 10506.17 | - |
| 23 | 10631.03 | - | - | 11731.02 | 10401.11 | - | 10809.92 | 10194.73 | 10287.92 | 10451.70 | - | 11052.68 |
| 24 | 10691.00 | - | - | 11663.78 | 10445.54 | 10952.94 | 10858.88 | - | 10271.03 | 10532.85 | - | 11035.98 |
| 25 | 10873.67 | 11631.03 | 11232.07 | 11502.04 | - | 10742.41 | 10930.36 | - | 10168.78 | 10466.30 | 10628.88 | - |
| 26 | - | 11543.95 | 11231.73 | 11510.87 | - | 10766.06 | 10853.17 | 10013.51 | 10212.27 | - | 10617.37 | - |
| 27 | - | 11457.27 | 11305.36 | - | 10402.76 | 10897.13 | - | 9996.19 | 10148.77 | - | 10620.11 | 11194.55 |
| 28 | 10882.50 | 11367.45 | 11294.81 | - | 10416.55 | 10881.85 | - | 9980.73 | - | 10569.82 | 10561.87 | - |
| 29 | 10867.42 | - | 11379.62 | 11638.18 | 10390.45 | - | 10780.59 | 9992.64 | - | 10547.00 | 10301.82 | - |
| 30 | 10897.13 | - | - | 11542.25 | 10450.09 | - | 10818.35 | 10083.20 | 10200.97 | 10479.09 | - | 11225.31 |
| 31 | 11035.73 | - | - | - | 10387.17 | - | 10675.31 | - | - | 10533.24 | - | 11168.06 |
| High | 11035.73 | 11631.03 | 11686.66 | 11848.98 | 11575.05 | 10952.94 | 10981.23 | 10621.57 | 10687.75 | 10588.17 | 10935.89 | 11225.31 |
| Low | 9833.69 | 10937.33 | 11156.82 | 11502.04 | 10387.17 | 10334.32 | 10650.63 | 9846.64 | 10035.78 | 10147.33 | 10301.82 | 10254.09 |
| Avg | 10494.04 | 11214.92 | 11450.95 | 11674.27 | 10777.85 | 10619.49 | 10822.98 | 10067.02 | 10360.37 | 10424.37 | 10629.89 | 10746.14 |

Note: HSCEI is compiled by Hang Seng Indexes Company Limited

Hang Seng China Enterprises Index, daily movement (2019)



Hang Seng China-Affiliated Corporations Index, daily closing (2019)

3 January 2000 = 2000

| Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-------------|---------|---------|---------|----------------|---------|---------|---------|----------------|---------|---------|---------|---------|
| 1 | - | 4405.30 | 4539.04 | 4723.60 | - | - | - | 4314.77 | - | - | 4318.71 | - |
| 2 | 4010.57 | - | - | 4708.42 | 4619.49 | - | 4504.49 | 4205.62 | 4152.62 | 4147.62 | - | 4207.46 |
| 3 | 4026.81 | - | - | 4742.23 | 4619.96 | 4314.53 | 4500.48 | - | 4113.63 | 4167.77 | - | 4236.80 |
| 4 | 4106.36 | 4409.61 | 4561.33 | 4728.88 | - | 4264.78 | 4510.84 | - | 4200.86 | 4145.78 | 4396.14 | 4194.05 |
| 5 | - | - | 4545.87 | - | - | 4266.17 | 4519.49 | 4102.22 | 4225.68 | - | 4431.51 | 4235.48 |
| 6 | - | - | 4553.72 | - | 4503.99 | 4273.92 | - | 4067.66 | 4230.34 | - | 4398.68 | 4242.82 |
| 7 | 4132.22 | - | 4505.77 | - | 4521.52 | - | - | 4083.13 | - | - | 4429.14 | - |
| 8 | 4151.54 | 4370.56 | 4408.40 | 4737.07 | 4468.03 | - | 4447.67 | 4117.06 | - | 4174.02 | 4400.24 | - |
| 9 | 4230.01 | - | - | 4767.08 | 4374.76 | - | 4413.19 | 4109.42 | 4227.18 | 4164.52 | - | 4254.07 |
| 10 | 4242.57 | - | - | 4744.99 | 4429.23 | 4353.97 | 4455.36 | - | 4242.50 | 4202.01 | - | 4254.00 |
| 11 | 4275.14 | 4397.20 | 4458.88 | 4671.01 | - | 4364.03 | 4493.81 | - | 4279.38 | 4306.10 | 4297.24 | 4287.63 |
| 12 | - | 4408.29 | 4505.87 | 4683.69 | - | 4308.60 | 4456.47 | 4088.46 | 4279.95 | - | 4340.41 | 4326.69 |
| 13 | - | 4502.36 | 4488.23 | - | - | 4323.88 | - | 4000.10 | 4312.06 | - | 4276.52 | 4394.22 |
| 14 | 4230.47 | 4527.06 | 4513.25 | - | 4350.06 | 4295.75 | - | 3987.18 | - | 4302.77 | 4239.22 | - |
| 15 | 4325.91 | 4451.98 | 4550.96 | 4679.72 | 4365.50 | - | 4464.68 | 4024.34 | - | 4281.95 | 4236.26 | - |
| 16 | 4337.45 | - | - | 4696.51 | 4392.36 | - | 4464.66 | 4077.64 | 4334.71 | 4294.59 | - | 4392.62 |
| 17 | 4287.00 | - | - | 4677.85 | 4345.47 | 4282.10 | 4447.48 | - | 4289.98 | 4334.38 | - | 4424.31 |
| 18 | 4338.00 | 4511.70 | 4680.80 | 4657.41 | - | 4284.16 | 4427.22 | - | 4308.58 | 4311.35 | 4270.27 | 4446.45 |
| 19 | - | 4478.93 | 4666.97 | - | - | 4366.82 | 4454.56 | 4158.76 | 4263.82 | - | 4320.16 | 4414.51 |
| 20 | - | 4518.29 | 4644.03 | - | 4326.07 | 4416.34 | - | 4186.85 | 4255.95 | - | 4302.07 | 4443.53 |
| 21 | 4322.13 | 4526.90 | 4589.30 | - | 4301.95 | 4416.42 | - | 4225.79 | - | 4338.11 | 4237.53 | - |
| 22 | 4290.92 | 4584.13 | 4546.08 | - | 4302.33 | - | 4397.43 | 4194.95 | - | 4288.51 | 4263.74 | - |
| 23 | 4301.14 | - | - | 4640.76 | 4255.55 | - | 4409.21 | 4209.81 | 4212.33 | 4241.19 | - | 4451.08 |
| 24 | 4335.24 | - | - | 4645.46 | 4272.86 | 4426.14 | 4423.76 | - | 4208.71 | 4268.59 | - | 4444.10 |
| 25 | 4358.46 | 4559.71 | 4477.20 | 4584.66 | - | 4396.67 | 4445.52 | - | 4159.33 | 4287.75 | 4288.40 | - |
| 26 | - | 4530.12 | 4493.77 | 4582.40 | - | 4394.25 | 4407.92 | 4107.12 | 4155.92 | - | 4267.94 | - |
| 27 | - | 4501.57 | 4537.80 | - | 4268.01 | 4449.31 | - | 4126.64 | 4130.22 | - | 4281.72 | 4512.28 |
| 28 | 4338.78 | 4457.60 | 4571.52 | - | 4281.46 | 4446.05 | - | 4158.78 | - | 4314.17 | 4256.24 | - |
| 29 | 4323.18 | - | 4679.15 | 4620.34 | 4278.35 | - | 4380.97 | 4135.31 | - | 4298.91 | 4162.16 | - |
| 30 | 4341.50 | - | - | 4588.58 | 4295.22 | - | 4394.16 | 4155.88 | 4147.85 | 4247.38 | - | 4525.39 |
| 31 | 4387.09 | - | - | - | 4285.86 | - | 4340.77 | - | - | 4264.52 | - | 4537.76 |
| High | 4387.09 | 4584.13 | 4680.80 | 4767.08 | 4619.96 | 4449.31 | 4519.49 | 4314.77 | 4334.71 | 4338.11 | 4431.51 | 4537.76 |
| Low | 4010.57 | 4370.56 | 4408.40 | 4582.40 | 4255.55 | 4264.78 | 4340.77 | 3987.18 | 4113.63 | 4145.78 | 4162.16 | 4194.05 |
| Avg | 4258.75 | 4478.90 | 4548.47 | 4677.93 | 4374.19 | 4349.68 | 4443.64 | 4128.98 | 4225.31 | 4256.29 | 4305.44 | 4361.26 |

Note: HSCCI is compiled by Hang Seng Indexes Company Limited

Hang Seng China-Affiliated Corporations Index, daily movement (2019)



Number of securities by type, 2015-2019

| Type | Year | | | | |
|-------------------------------------------------|--------------|--------------|---------------|---------------|---------------|
| | 2015 | 2016 | 2017 | 2018 | 2019 |
| Ordinary shares | 1,645 | 1,714 | 1,795 | 1,927 | 2,072 |
| Preference shares | 8 | 10 | 16 | 17 | 15 |
| Equity warrants | 12 | 8 | 7 | 2 | 2 |
| Derivative warrants | 4,590 | 3,705 | 6,094 | 6,763 | 4,571 |
| Inline Warrants | - | - | - | - | 922 |
| Equity linked instruments | 0 | 0 | 0 | 0 | 0 |
| Callable bull/bear contracts | 1,630 | 1,844 | 3,374 | 2,847 | 3,298 |
| Unit trusts/Mutual funds | 145 | 157 | 145 | 149 | 148 |
| – Exchange Traded Funds (ETFs) | 133 | 133 | 106 | 115 | 111 |
| – Leveraged and Inverse Products (L&I products) | - | 12 | 27 | 22 | 24 |
| – Real Estate Investment Trusts (REITs) | 11 | 11 | 11 | 11 | 12 |
| – Others | 1 | 1 | 1 | 1 | 1 |
| Debt securities | 762 | 892 | 1,047 | 1,195 | 1,388 |
| Total | 8,792 | 8,330 | 12,478 | 12,900 | 12,416 |

Number of newly listed securities, 2015-2019

| Type | Year | | | | |
|-------------------------------------------------|---------------|---------------|---------------|---------------|---------------|
| | 2015 | 2016 | 2017 | 2018 | 2019 |
| Ordinary shares * | 104 | 81 | 94 | 143 | 168 |
| – Newly listed companies | 90 | 75 | 81 | 133 | 148 |
| – Transfer of listing from GEM | 14 | 6 | 13 | 10 | 20 |
| Preference shares | 2 | 3 | 7 | 1 | 1 |
| Equity warrants | 7 | 5 | 4 | 1 | 2 |
| Derivative warrants | 6,336 | 4,875 | 7,989 | 11,794 | 8,939 |
| Inline Warrants | - | - | - | - | 923 |
| Equity link instruments | 0 | 0 | 0 | 0 | 0 |
| Callable bull/bear contracts | 11,213 | 8,896 | 13,235 | 26,678 | 24,732 |
| Unit trusts/Mutual funds | 14 | 34 | 22 | 15 | 13 |
| – Exchange Traded Funds (ETFs) | 14 | 22 | 3 | 15 | 8 |
| – Leveraged and Inverse Products (L&I products) | - | 12 | 19 | 0 | 4 |
| – Real Estate Investment Trusts (REITs) | 0 | 0 | 0 | 0 | 1 |
| – Others | 0 | 0 | 0 | 0 | 0 |
| Debt securities | 177 | 211 | 316 | 303 | 420 |
| Total | 17,853 | 14,105 | 21,667 | 38,935 | 35,198 |

* Includes the number of companies for transfer of listing from GEM

Number of delisted securities, 2015-2019

| Type | Year | | | | |
|-------------------------------------------------|---------------|---------------|---------------|---------------|---------------|
| | 2015 | 2016 | 2017 | 2018 | 2019 |
| Ordinary shares | 8 | 12 | 13 | 11 | 23 |
| Preference shares | 0 | 1 | 1 | 0 | 3 |
| Equity warrants | 5 | 9 | 5 | 6 | 2 |
| Derivative warrants | 6,684 | 5,760 | 5,600 | 11,125 | 11,131 |
| Inline Warrants | - | - | - | - | 1 |
| Equity linked instruments | 0 | 0 | 0 | 0 | 0 |
| Callable bull/bear contracts | 11,162 | 8,682 | 11,705 | 27,205 | 24,281 |
| Unit trusts/mutual funds | 3 | 22 | 34 | 11 | 14 |
| – Exchange Traded Funds (ETFs) | 3 | 22 | 30 | 6 | 12 |
| – Leveraged and Inverse Products (L&I products) | - | 0 | 4 | 5 | 2 |
| – Real Estate Investment Trusts (REITs) | 0 | 0 | 0 | 0 | 0 |
| – Others | 0 | 0 | 0 | 0 | 0 |
| Debt securities | 55 | 81 | 161 | 155 | 227 |
| Total | 17,917 | 14,567 | 17,519 | 38,513 | 35,682 |

Total market capitalisation by Hang Seng Industry Classification System

HK\$mil

| Industry Classification * | 2019 | | | | | | | | | | | |
|---------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
| Energy | 1,199,568.08 | 1,233,002.92 | 1,207,224.90 | 1,178,697.63 | 1,058,211.94 | 1,087,935.56 | 1,049,543.82 | 959,549.31 | 986,590.85 | 967,628.23 | 933,007.23 | 1,030,961.91 |
| Materials | 509,700.56 | 545,520.61 | 541,143.33 | 537,923.06 | 483,085.61 | 498,269.06 | 489,137.39 | 456,566.55 | 445,329.39 | 451,361.87 | 437,956.36 | 483,803.86 |
| Industrials | 1,093,987.39 | 1,140,224.14 | 1,126,130.35 | 1,116,790.32 | 982,057.71 | 994,065.39 | 979,674.08 | 952,957.66 | 1,039,958.29 | 1,082,594.48 | 1,098,265.21 | 1,195,631.05 |
| Consumer Discretionary ^ | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | 3,710,386.41 | 4,035,994.15 | 3,903,550.23 | 4,121,411.41 |
| Consumer Staples ^ | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | 1,475,971.57 | 1,521,109.96 | 1,579,068.27 | 1,611,209.88 |
| Healthcare ^ | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | 1,321,360.93 | 1,465,699.48 | 1,459,183.18 | 1,527,534.95 |
| Telecommunications | 2,277,455.98 | 2,310,976.35 | 2,283,465.97 | 2,161,798.72 | 1,973,539.34 | 2,055,501.04 | 1,931,585.73 | 1,880,463.33 | 1,890,217.82 | 1,846,740.93 | 1,698,410.78 | 1,854,657.43 |
| Utilities | 1,733,613.35 | 1,792,004.39 | 1,860,734.45 | 1,818,247.55 | 1,807,656.22 | 1,843,366.43 | 1,862,630.08 | 1,747,700.02 | 1,719,163.78 | 1,800,953.75 | 1,717,738.13 | 1,757,442.04 |
| Financials | 8,667,311.81 | 8,989,955.55 | 8,842,403.22 | 9,188,086.11 | 8,413,532.98 | 8,821,642.86 | 8,481,820.78 | 7,843,436.80 | 7,989,562.27 | 8,251,973.94 | 8,160,664.59 | 8,625,374.14 |
| Properties & Construction | 5,024,220.84 | 5,081,120.34 | 5,526,611.63 | 5,440,702.90 | 4,974,085.83 | 5,256,653.45 | 5,031,451.76 | 4,603,745.33 | 4,658,645.44 | 4,923,091.82 | 4,933,594.45 | 5,408,826.36 |
| Information Technology | 4,611,333.81 | 4,681,473.13 | 4,888,302.03 | 5,210,697.00 | 4,457,359.67 | 4,768,698.72 | 4,888,185.11 | 4,447,639.02 | 4,440,011.02 | 4,459,585.72 | 8,834,751.44 | 9,547,306.13 |
| Conglomerates | 1,022,949.54 | 1,060,787.31 | 1,068,426.53 | 1,044,903.73 | 922,963.33 | 971,294.98 | 915,765.26 | 836,784.36 | 844,229.62 | 874,521.47 | 847,479.67 | 894,178.93 |
| Consumer Goods ^ | 3,365,633.59 | 3,542,991.77 | 3,747,381.80 | 3,826,018.70 | 3,468,734.63 | 3,780,209.60 | 3,829,248.14 | 3,812,323.79 | n.a. | n.a. | n.a. | n.a. |
| Consumer Services ^ | 2,365,579.91 | 2,496,018.98 | 2,555,998.11 | 2,656,385.33 | 2,351,071.35 | 2,514,018.55 | 2,498,005.72 | 2,337,632.71 | n.a. | n.a. | n.a. | n.a. |
| Total | 31,871,354.86 | 32,874,075.49 | 33,647,822.31 | 34,180,251.04 | 30,892,298.62 | 32,591,655.65 | 31,957,047.85 | 29,878,798.88 | 30,521,427.39 | 31,681,255.79 | 35,603,669.54 | 38,058,338.10 |

Note: Month-end figures.

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Market capitalisation trends by Hang Seng Industry Classification System, 2015-2019

HK\$mil

| Industry Classification * | 2015 | | 2016 | | 2017 | | 2018 | | 2019 | |
|---------------------------|----------------------|------------------|----------------------|------------------|----------------------|------------------|----------------------|------------------|----------------------|------------------|
| Energy | 863,905.93 | (3.54%) | 991,645.57 | (4.06%) | 1,126,395.36 | (3.34%) | 1,104,391.73 | (3.72%) | 1,030,961.91 | (2.71%) |
| Materials | 430,567.63 | (1.76%) | 504,699.55 | (2.06%) | 670,690.26 | (1.99%) | 481,542.81 | (1.62%) | 483,803.86 | (1.27%) |
| Industrials | 1,140,245.89 | (4.67%) | 879,441.65 | (3.60%) | 1,252,367.74 | (3.71%) | 1,029,284.28 | (3.46%) | 1,195,631.05 | (3.14%) |
| Consumer Discretionary ^ | n.a. | - | n.a. | - | n.a. | - | n.a. | - | 4,121,411.41 | (10.83%) |
| Consumer Staples ^ | n.a. | - | n.a. | - | n.a. | - | n.a. | - | 1,611,209.88 | (4.23%) |
| Healthcare ^ | n.a. | - | n.a. | - | n.a. | - | n.a. | - | 1,527,534.95 | (4.01%) |
| Telecommunications | 2,257,393.20 | (9.24%) | 2,124,893.20 | (8.69%) | 2,178,878.31 | (6.46%) | 2,098,329.50 | (7.06%) | 1,854,657.43 | (4.87%) |
| Utilities | 1,459,610.16 | (5.98%) | 1,356,818.08 | (5.55%) | 1,647,343.55 | (4.89%) | 1,684,409.69 | (5.67%) | 1,757,442.04 | (4.62%) |
| Financials | 7,180,570.15 | (29.40%) | 7,229,788.27 | (29.57%) | 9,549,225.13 | (28.32%) | 8,113,476.14 | (27.30%) | 8,625,374.14 | (22.66%) |
| Properties & Construction | 3,519,996.21 | (14.41%) | 3,420,399.56 | (13.99%) | 5,099,505.48 | (15.12%) | 4,603,544.21 | (15.49%) | 5,408,826.36 | (14.21%) |
| Information Technology | 2,101,788.22 | (8.60%) | 2,488,198.39 | (10.18%) | 4,912,952.91 | (14.57%) | 4,260,114.99 | (14.33%) | 9,547,306.13 | (25.09%) |
| Conglomerates | 1,150,526.51 | (4.71%) | 980,852.56 | (4.01%) | 1,100,117.23 | (3.26%) | 995,001.15 | (3.35%) | 894,178.93 | (2.35%) |
| Consumer Goods ^ | 2,793,120.90 | (11.44%) | 2,744,318.81 | (11.22%) | 3,924,645.30 | (11.64%) | 3,163,753.44 | (10.64%) | n.a. | - |
| Consumer Services ^ | 1,527,830.15 | (6.26%) | 1,729,378.86 | (7.07%) | 2,255,873.90 | (6.69%) | 2,189,390.33 | (7.37%) | n.a. | - |
| Equity total | 24,425,554.96 | (100.00%) | 24,450,434.51 | (100.00%) | 33,717,995.17 | (100.00%) | 29,723,238.27 | (100.00%) | 38,058,338.10 | (100.00%) |

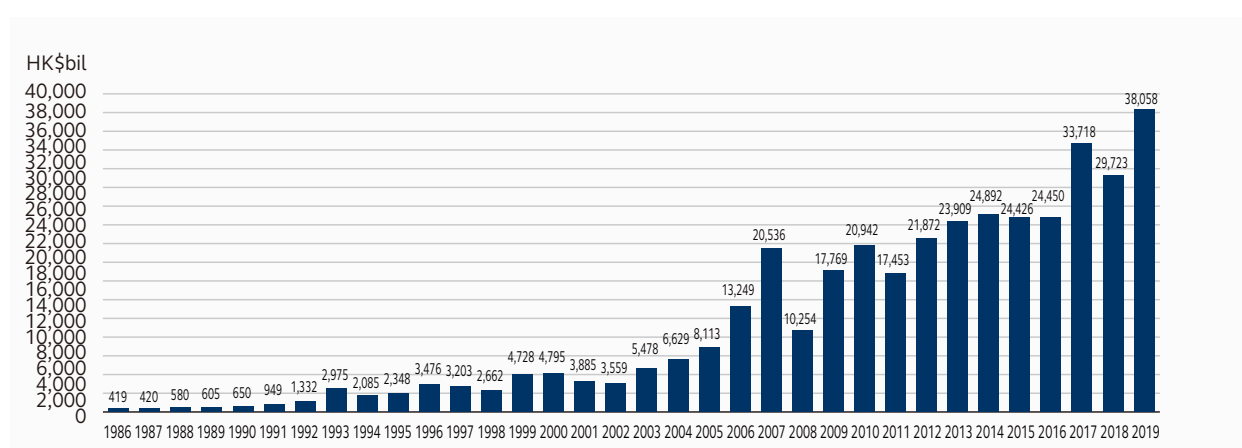
() % of equity market total

Note: Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Market capitalisation, 1986-2019



50 leading companies in market capitalisation

End of 2019

| Rank | Code | Company | Issued capital (shares) | Closing price (HK\$) | Market capitalisation (HK\$mil) | % of equity total |
|--------------|-------|---------------------------------------------------------|-------------------------|----------------------|---------------------------------|-------------------|
| 1 | 09988 | Alibaba Group Holding Ltd. – SW | 21,461,784,264 | 207.200 | 4,446,881.70 | 11.68 |
| 2 | 00700 | Tencent Holdings Ltd. | 9,552,377,306 | 375.600 | 3,587,872.92 | 9.43 |
| 3 | 00939 | China Construction Bank Corporation – H Shares | 240,417,319,880 | 6.730 | 1,618,008.56 | 4.25 |
| 4 | 00941 | China Mobile Ltd. | 20,475,482,897 | 65.500 | 1,341,144.13 | 3.52 |
| 5 | 00005 | HSBC Holdings plc | 20,637,854,696 | 60.850 | 1,255,813.46 | 3.30 |
| 6 | 01299 | ALA Group Ltd. | 12,088,801,205 | 81.800 | 988,863.94 | 2.60 |
| 7 | 02318 | Ping An Insurance (Group) Co. of China, Ltd. – H Shares | 7,447,576,912 | 92.100 | 685,921.83 | 1.80 |
| 8 | 03690 | Meituan Dianping – W | 5,807,342,959 | 101.900 | 591,768.25 | 1.55 |
| 9 | 00883 | CNOOC Ltd. | 44,647,455,984 | 12.960 | 578,631.03 | 1.52 |
| 10 | 01398 | Industrial and Commercial Bank of China Ltd. – H Shares | 86,794,044,550 | 6.000 | 520,764.27 | 1.37 |
| 11 | 02378 | Prudential plc * | 2,601,159,949 | 147.700 | – | 384,191.32 N.A. |
| 12 | 01876 | Budweiser Brewing Co. APAC Ltd. | 13,243,397,000 | 26.300 | 348,301.34 | 0.92 |
| 13 | 00016 | Sun Hung Kai Properties Ltd. | 2,897,780,274 | 119.300 | 345,705.19 | 0.91 |
| 14 | 01928 | Sands China Ltd. | 8,087,820,616 | 41.650 | 336,857.73 | 0.89 |
| 15 | 00688 | China Overseas Land & Investment Ltd. | 10,956,201,535 | 30.350 | 332,520.72 | 0.87 |
| 16 | 00388 | Hong Kong Exchanges and Clearing Ltd. | 1,261,201,319 | 253.000 | 319,083.93 | 0.84 |
| 17 | 00011 | Hang Seng Bank Ltd. | 1,911,842,736 | 161.000 | 307,806.68 | 0.81 |
| 18 | 00945 | Manulife Financial Corporation * | 1,946,436,687 | 155.800 | – | 303,254.84 N.A. |
| 19 | 00267 | CITIC Ltd. | 29,090,262,630 | 10.420 | 303,120.54 | 0.80 |
| 20 | 00001 | CK Hutchison Holdings Ltd. | 3,856,240,500 | 74.300 | 286,518.67 | 0.75 |
| 21 | 02388 | BOC Hong Kong (Holdings) Ltd. | 10,572,780,266 | 27.050 | 285,993.71 | 0.75 |
| 22 | 03333 | China Evergrande Group | 13,178,570,900 | 21.600 | 284,657.13 | 0.75 |
| 23 | 00066 | MTR Corporation Ltd. | 6,157,841,411 | 46.050 | 283,568.60 | 0.75 |
| 24 | 03988 | Bank of China Ltd. – H Shares | 83,622,276,395 | 3.330 | 278,462.18 | 0.73 |
| 25 | 01109 | China Resources Land Ltd. | 7,130,939,579 | 38.800 | 276,680.46 | 0.73 |
| 26 | 02007 | Country Garden Holdings Co. Ltd. | 21,844,661,996 | 12.480 | 272,621.38 | 0.72 |
| 27 | 01810 | Xiaomi Corporation – W | 24,022,503,349 | 10.780 | 258,962.59 | 0.68 |
| 28 | 00003 | Hong Kong and China Gas Co. Ltd., The | 16,925,052,244 | 15.220 | 257,599.30 | 0.68 |
| 29 | 00027 | Galaxy Entertainment Group Ltd. | 4,333,333,972 | 57.400 | 248,733.37 | 0.65 |
| 30 | 02888 | Standard Chartered PLC | 3,196,211,847 | 72.500 | 231,725.36 | 0.61 |
| 31 | 00762 | China Unicom (Hong Kong) Ltd. | 30,598,124,345 | 7.340 | 224,590.23 | 0.59 |
| 32 | 00960 | Longfor Group Holdings Ltd. | 5,977,500,605 | 36.500 | 218,178.77 | 0.57 |
| 33 | 01113 | CK Asset Holdings Ltd. | 3,693,400,500 | 56.250 | 207,753.78 | 0.55 |
| 34 | 00002 | CLP Holdings Ltd. | 2,526,450,570 | 81.900 | 206,916.30 | 0.54 |
| 35 | 01918 | Sunac China Holdings Ltd. | 4,443,155,629 | 46.550 | 206,828.89 | 0.54 |
| 36 | 03328 | Bank of Communications Co., Ltd. – H Shares | 35,011,862,630 | 5.540 | 193,965.72 | 0.51 |
| 37 | 02020 | ANTA Sports Products Ltd. | 2,701,887,000 | 69.750 | 188,456.62 | 0.50 |
| 38 | 00012 | Henderson Land Development Co. Ltd. | 4,841,387,003 | 38.250 | 185,183.05 | 0.49 |
| 39 | 03968 | China Merchants Bank Co., Ltd. – H Shares | 4,590,901,172 | 40.050 | 183,865.59 | 0.48 |
| 40 | 02313 | Shenzhen International Group Holdings Ltd. | 1,503,222,397 | 113.900 | 171,217.03 | 0.45 |
| 41 | 06862 | Haidilao International Holding Ltd. | 5,300,000,000 | 31.300 | 165,890.00 | 0.44 |
| 42 | 02628 | China Life Insurance Co. Ltd. – H Shares | 7,441,175,000 | 21.650 | 161,101.44 | 0.42 |
| 43 | 00384 | China Gas Holdings Ltd. | 5,218,562,972 | 29.200 | 152,382.04 | 0.40 |
| 44 | 01972 | Swire Properties Ltd. | 5,850,000,000 | 25.850 | 151,222.50 | 0.40 |
| 45 | 03692 | Hansoh Pharmaceutical Group Co. Ltd. | 5,788,611,200 | 25.900 | 149,925.03 | 0.39 |
| 46 | 02382 | Sunny Optical Technology (Group) Co. Ltd. | 1,096,849,700 | 134.900 | 147,965.02 | 0.39 |
| 47 | 01038 | CK Infrastructure Holdings Ltd. | 2,650,676,042 | 55.450 | 146,979.99 | 0.39 |
| 48 | 01997 | Wharf Real Estate Investment Co. Ltd. | 3,036,227,327 | 47.550 | 144,372.61 | 0.38 |
| 49 | 00291 | China Resources Beer (Holdings) Co. Ltd. | 3,244,176,905 | 43.100 | 139,824.02 | 0.37 |
| 50 | 00175 | Geely Automobile Holdings Ltd. | 9,166,997,540 | 15.240 | 139,705.04 | 0.37 |
| Total | | | | | 24,360,912.63 | 64.01 |
| Equity total | | | | | 38,058,338.10 | 100.00 |

* Figures is not included in Total

20 Stocks with largest price changes (increase)

| Rank | Stock Code | Stock Name | Closing price (HK\$) | | % up |
|------|------------|-----------------|-------------------------|--------|--------|
| | | | 2018 | 2019 | |
| 1 | 00784 | LING YUI | 0.370 | 2.180 | 489.19 |
| 2 | 01858 | CHUNLI MEDICAL | 10.475 A | 45.850 | 337.71 |
| 3 | 01735 | CENTRAL HOLDING | 2.140 | 9.330 | 335.98 |
| 4 | 00720 | AUTO ITALIA | 0.058 | 0.236 | 306.90 |
| 5 | 02680 | INNOVAX HLDGS | 0.940 | 3.680 | 291.49 |
| 6 | 01268 | MEIDONG AUTO | 2.960 | 10.220 | 245.27 |
| 7 | 02011 | KEE | 0.610 | 2.080 | 240.98 |
| 8 | 01755 | S-ENJOY SERVICE | 3.850 | 12.180 | 216.36 |
| 9 | 02798 | PER ENERGY | 0.680 | 2.120 | 211.76 |
| 10 | 00809 | GLOBAL BIO-CHEM | 0.049 | 0.150 | 206.12 |
| 11 | 02222 | NVC LIGHTING | 0.093 A | 0.280 | 201.08 |
| 12 | 01995 | EVERSUNSHINE LS | 1.780 | 5.280 | 196.63 |
| 13 | 01478 | Q TECH | 4.450 | 12.900 | 189.89 |
| 14 | 02168 | KAISA PROSPER | 7.430 | 21.000 | 182.64 |
| 15 | 02331 | LI NING | 8.400 | 23.350 | 177.98 |
| 16 | 01195 | KINGWELL GROUP | 0.063 | 0.170 | 169.84 |
| 17 | 03319 | A-LIVING | 10.462 A | 26.900 | 157.12 |
| 18 | 03883 | CHINA AOYUAN | 4.970 | 12.700 | 155.53 |
| 19 | 02128 | CHINA LESSO | 3.920 | 9.990 | 154.85 |
| 20 | 00982 | HJ CAPITAL INTL | 0.097 | 0.244 | 151.55 |

Note: (A) Adjusted

20 Stocks with largest price changes (decrease)

| Rank | Stock Code | Stock Name | Closing Prices (HK\$) | | % down |
|------|------------|-----------------|--------------------------|-------|--------|
| | | | 2018 | 2019 | |
| 1 | 01269 | FIRST CAP GP | 4.450 | 0.270 | 93.93 |
| 2 | 01466 | AFFLUENTPARTNER | 1.160 | 0.073 | 93.71 |
| 3 | 01573 | SOUTHERN ENERGY | 10.500 | 0.880 | 91.62 |
| 4 | 00361 | SINO GOLF HOLD | 0.250 | 0.021 | 91.60 |
| 5 | 01803 | BJ SPORTS & ENT | 2.800 | 0.250 | 91.07 |
| 6 | 00607 | FULLSHARE | 1.800 | 0.180 | 90.00 |
| 7 | 00996 | CARNIVAL GROUP | 0.145 | 0.015 | 89.66 |
| 8 | 01822 | HONGDA FIN | 0.110 | 0.013 | 88.18 |
| 9 | 02221 | NEW CONCEPTS | 3.490 | 0.420 | 87.97 |
| 10 | 00651 | CHI OCEAN IND | 1.160 A | 0.141 | 87.84 |
| 11 | 02268 | YOUYUAN HLDGS | 2.020 | 0.260 | 87.13 |
| 12 | 02662 | CAMSING INTL | 8.930 | 1.160 | 87.01 |
| 13 | 00231 | PING AN SEC GP | 0.237 | 0.032 | 86.50 |
| 14 | 01007 | LONGHUI INTL | 0.107 | 0.015 | 85.98 |
| 15 | 00456 | NEW CITY DEV | 0.900 | 0.133 | 85.22 |
| 16 | 02112 | CAA RESOURCES | 1.450 | 0.221 | 84.76 |
| 17 | 01365 | RUNDONG AUTO | 2.970 | 0.460 | 84.51 |
| 18 | 00395 | SMARTAC GP CH | 0.900 | 0.150 | 83.33 |
| 19 | 01400 | MOODY TECH HLDG | 0.540 A | 0.091 | 83.15 |
| 20 | 01462 | GOLD-FIN HLDG | 0.425 | 0.072 | 83.06 |

Note: (A) Adjusted

Trading value and volume, 2015-2019

| Year/Month | No. of trading days | Value (HK\$mil) | | Volume (mil of shares) | | Deals | | |
|------------|---------------------|-----------------|---------------|------------------------|---------------|-------------|---------------|-----------|
| | | Total | Average daily | Total | Average daily | Total | Average daily | |
| 2015 | 247 | 25,835,958.29 | 104,599.02 | 53,091,013.41 | 214,943.37 | 349,425,144 | 1,414,677 | |
| 2016 | 245 | 16,279,975.49 | 66,448.88 | 45,177,508.51 | 184,397.99 | 261,400,789 | 1,066,942 | |
| 2017 | 246 | 21,560,099.47 | 87,642.68 | 43,805,184.04 | 178,069.85 | 300,296,509 | 1,220,718 | |
| 2018 | 246 | 26,295,274.58 | 106,891.36 | 55,311,909.01 | 224,845.16 | 388,181,359 | 1,577,973 | |
| 2019 | 246 | 21,390,188.29 | 86,951.98 | 60,007,451.66 | 243,932.73 | 354,988,721 | 1,443,044 | |
| 2019 | Jan | 22 | 1,938,184.87 | 88,099.31 | 7,444,878.56 | 338,403.57 | 33,505,249 | 1,522,966 |
| | Feb | 17 | 1,793,783.53 | 105,516.68 | 6,080,455.71 | 357,673.87 | 28,900,266 | 1,700,016 |
| | Mar | 21 | 2,316,022.26 | 110,286.77 | 6,872,360.99 | 327,255.29 | 35,606,347 | 1,695,540 |
| | Apr | 19 | 1,973,677.02 | 103,877.74 | 4,959,649.39 | 261,034.18 | 31,027,877 | 1,633,046 |
| | May | 21 | 2,041,271.97 | 97,203.43 | 5,527,537.33 | 263,216.06 | 34,157,699 | 1,626,557 |
| | Jun | 19 | 1,561,018.88 | 82,158.89 | 4,265,490.25 | 224,499.49 | 26,194,273 | 1,378,646 |
| | Jul | 22 | 1,508,622.32 | 68,573.74 | 5,093,514.15 | 231,523.37 | 26,964,233 | 1,225,647 |
| | Aug | 22 | 1,897,804.40 | 86,263.84 | 4,282,752.68 | 194,670.58 | 32,675,948 | 1,485,270 |
| | Sep | 21 | 1,589,333.77 | 75,682.56 | 4,051,586.11 | 192,932.67 | 27,688,649 | 1,318,507 |
| | Oct | 21 | 1,589,877.03 | 75,708.43 | 4,317,318.06 | 205,586.57 | 26,505,047 | 1,262,145 |
| | Nov | 21 | 1,667,669.30 | 79,412.82 | 3,804,375.02 | 181,160.72 | 27,712,160 | 1,319,627 |
| | Dec | 20 | 1,512,922.93 | 75,646.15 | 3,307,533.42 | 165,376.67 | 24,050,973 | 1,202,549 |

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value and volume of depositary receipts, 2015-2019

| Year/Month | No. of trading days | No. of listed companies | No. of issues | Value (HK\$thousand) | | Volume (thousands of shares) | | Deals | |
|------------|---------------------|-------------------------|---------------|----------------------|---------------|------------------------------|---------------|-------|---------------|
| | | | | Total | Average daily | Total | Average daily | Total | Average daily |
| 2015 | 247 | 3 | 4 | 268,288.54 | 1,086.19 | 7,746.53 | 31.36 | 7,518 | 30 |
| 2016 | 245 | 2 | 2 | 119,050.48 | 485.92 | 4,478.40 | 18.28 | 4,510 | 18 |
| 2017 | 246 | 2 | 2 | 116,360.88 | 473.01 | 4,451.21 | 18.09 | 3,518 | 14 |
| 2018 | 246 | 1 | 1 | 108,307.21 | 440.27 | 3,092.55 | 12.57 | 3,424 | 14 |
| 2019 | 246 | 1 | 1 | 94,801.47 | 385.37 | 2,208.60 | 8.98 | 2,947 | 12 |
| 2019 | Jan | 22 | 1 | 10,774.63 | 489.76 | 292.00 | 13.27 | 357 | 16 |
| | Feb | 17 | 1 | 6,349.82 | 373.52 | 180.95 | 10.64 | 258 | 15 |
| | Mar | 21 | 1 | 5,898.38 | 280.88 | 158.45 | 7.55 | 225 | 11 |
| | Apr | 19 | 1 | 15,125.12 | 796.06 | 368.20 | 19.38 | 406 | 21 |
| | May | 21 | 1 | 8,130.47 | 387.17 | 179.62 | 8.55 | 164 | 8 |
| | Jun | 19 | 1 | 6,018.39 | 316.76 | 128.38 | 6.76 | 153 | 8 |
| | Jul | 22 | 1 | 5,813.73 | 264.26 | 119.30 | 5.42 | 166 | 8 |
| | Aug | 22 | 1 | 4,072.53 | 185.12 | 88.20 | 4.01 | 186 | 8 |
| | Sep | 21 | 1 | 12,682.92 | 603.95 | 272.64 | 12.98 | 217 | 10 |
| | Oct | 21 | 1 | 12,630.99 | 601.48 | 266.50 | 12.69 | 509 | 24 |
| | Nov | 21 | 1 | 3,143.54 | 149.69 | 65.40 | 3.11 | 126 | 6 |
| | Dec | 20 | 1 | 4,160.97 | 208.05 | 88.96 | 4.45 | 180 | 9 |

Trading value by type of securities, 2019

| Month | Equities | | Warrants | ELI | CBBC | Unit trusts | Debt securities | iShare | Market total |
|-------|---------------|---------------|--------------|---------|--------------|--------------|-----------------|---------|---------------|
| | Mil of shares | HK\$mil | HK\$mil | HK\$mil | HK\$mil | HK\$mil | HK\$mil | HK\$mil | HK\$mil |
| Jan | 216,434.73 | 1,278,372.64 | 349,272.62 | 0.00 | 221,648.87 | 81,975.76 | 6,914.98 | 0.00 | 1,938,184.87 |
| Feb | 226,170.40 | 1,226,122.82 | 288,928.77 | 0.00 | 176,920.71 | 96,860.54 | 4,950.68 | 0.00 | 1,793,783.53 |
| Mar | 308,187.25 | 1,605,914.72 | 371,552.84 | 0.00 | 198,893.77 | 133,952.07 | 5,708.88 | 0.00 | 2,316,022.26 |
| Apr | 248,905.06 | 1,453,514.23 | 236,988.08 | 0.00 | 160,843.11 | 117,897.12 | 4,434.48 | 0.00 | 1,973,677.02 |
| May | 218,958.76 | 1,474,328.86 | 220,835.13 | 0.00 | 189,193.14 | 150,443.36 | 6,471.48 | 0.00 | 2,041,271.97 |
| Jun | 182,358.94 | 1,145,166.50 | 156,993.60 | 0.00 | 142,968.93 | 110,228.86 | 5,661.00 | 0.00 | 1,561,018.88 |
| Jul | 225,883.84 | 1,083,330.44 | 148,532.52 | 0.00 | 163,553.58 | 108,269.98 | 4,935.79 | 0.00 | 1,508,622.32 |
| Aug | 216,883.91 | 1,422,201.80 | 152,620.60 | 0.00 | 170,562.49 | 147,995.12 | 4,424.40 | 0.00 | 1,897,804.40 |
| Sep | 210,322.43 | 1,193,848.33 | 133,448.18 | 0.00 | 147,849.27 | 110,253.39 | 3,934.60 | 0.00 | 1,589,333.77 |
| Oct | 173,295.07 | 1,196,706.64 | 127,085.76 | 0.00 | 155,153.27 | 107,053.12 | 3,878.24 | 0.00 | 1,589,877.03 |
| Nov | 204,208.82 | 1,294,846.69 | 119,555.95 | 0.00 | 140,428.14 | 108,405.70 | 4,432.82 | 0.00 | 1,667,669.30 |
| Dec | 185,906.44 | 1,173,681.43 | 112,490.58 | 0.00 | 127,430.41 | 94,376.20 | 4,944.31 | 0.00 | 1,512,922.93 |
| Total | 2,617,515.67 | 15,548,035.10 | 2,418,304.61 | 0.00 | 1,995,445.69 | 1,367,711.21 | 60,691.67 | 0.00 | 21,390,188.29 |

Notes:

1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.

Trading value by Hang Seng Industry Classification System, 2019

| Industry Classification * | No. of issue # | Equity turnover | | Annual Turnover velocity (%) |
|---------------------------|----------------|-----------------|------------|------------------------------|
| | | HK\$mil | % of total | |
| Energy | 72 | 556,526.93 | 3.58 | 53.98 |
| Materials | 134 | 271,008.52 | 1.74 | 56.02 |
| Industrials | 243 | 676,650.72 | 4.35 | 56.59 |
| Consumer Discretionary ^ | 511 | 517,172.57 | 3.33 | 12.55 |
| Consumer Staples ^ | 105 | 194,234.27 | 1.25 | 12.06 |
| Healthcare ^ | 128 | 308,719.03 | 1.99 | 20.21 |
| Telecommunications | 14 | 701,144.76 | 4.51 | 37.80 |
| Utilities | 79 | 612,713.20 | 3.94 | 34.86 |
| Financials | 198 | 4,293,988.96 | 27.62 | 49.78 |
| Properties & Construction | 404 | 2,096,326.79 | 13.48 | 38.76 |
| Information Technology | 158 | 2,789,887.90 | 17.94 | 29.22 |
| Conglomerates | 25 | 239,527.28 | 1.54 | 26.79 |
| Consumer Goods ^ | n.a. | 1,639,291.66 | 10.54 | - |
| Consumer Services ^ | n.a. | 650,839.55 | 4.19 | - |
| Sub-total | 2,071 | 15,548,032.12 | 100.00 | 40.85 |
| NASDAQ stocks | 6 | 2.98 | 0.00 | n.a. |
| Equity total | 2,077 | 15,548,035.10 | 100.00 | n.a. |

Notes:

- (1) Equity turnover values have been adjusted for late reported and rejected sales.
- (2) Figures may not add up to total due to rounding.

Year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

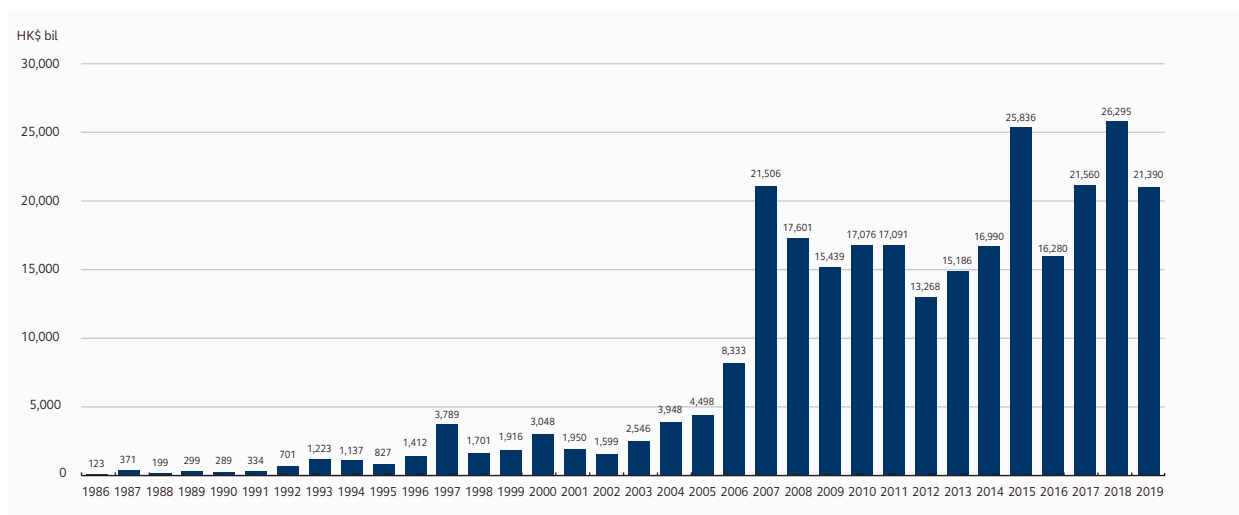
20 most active stocks (in dollars)

| Rank | Stock Code | Stock Name | Turnover (HK\$mil) | % of equity total |
|------|------------|---------------|--------------------|-------------------|
| 1 | 00700 | TENCENT | 1,432,681.76 | 9.21 |
| 2 | 02318 | PING AN | 631,112.70 | 4.06 |
| 3 | 00939 | CCB | 536,988.48 | 3.45 |
| 4 | 01299 | AIA | 428,748.80 | 2.76 |
| 5 | 03690 | MEITUAN-W | 325,215.56 | 2.09 |
| 6 | 00388 | HKEX | 304,271.68 | 1.96 |
| 7 | 01398 | ICBC | 298,605.15 | 1.92 |
| 8 | 00005 | HSBC HOLDINGS | 294,958.71 | 1.90 |
| 9 | 00941 | CHINA MOBILE | 290,130.24 | 1.87 |
| 10 | 00788 | CHINA TOWER | 246,489.90 | 1.59 |
| 11 | 01810 | XIAOMI-W | 232,506.50 | 1.50 |
| 12 | 03988 | BANK OF CHINA | 215,683.36 | 1.39 |
| 13 | 00175 | GEELY AUTO | 203,716.59 | 1.31 |
| 14 | 02628 | CHINA LIFE | 199,797.41 | 1.29 |
| 15 | 02382 | SUNNY OPTICAL | 188,183.95 | 1.21 |
| 16 | 03968 | CM BANK | 171,236.05 | 1.10 |
| 17 | 01918 | SUNAC | 159,245.92 | 1.02 |
| 18 | 00883 | CNOOC | 153,283.95 | 0.99 |
| 19 | 00016 | SHK PPT | 146,210.48 | 0.94 |
| 20 | 00027 | GALAXY ENT | 145,591.93 | 0.94 |
| | | Total | 6,604,659.14 | 42.48 |
| | | Equity total | 15,548,035.10 | 100.00 |

20 most active stocks (in shares)

| Rank | Stock Code | Stock Name | Turnover (mil of shares) | % of equity total |
|------|------------|-----------------|--------------------------|-------------------|
| 1 | 00788 | CHINA TOWER | 133,352.99 | 5.09 |
| 2 | 00939 | CCB | 83,686.61 | 3.20 |
| 3 | 00996 | CARNIVAL GROUP | 76,901.68 | 2.94 |
| 4 | 03988 | BANK OF CHINA | 63,835.38 | 2.44 |
| 5 | 01398 | ICBC | 53,083.53 | 2.03 |
| 6 | 00885 | RENTIAN TECH | 38,775.60 | 1.48 |
| 7 | 01288 | ABC | 27,804.42 | 1.06 |
| 8 | 03800 | GCL-POLY ENERGY | 27,126.99 | 1.04 |
| 9 | 01076 | IMPERIAL PAC | 25,751.28 | 0.98 |
| 10 | 00386 | SINOPEC CORP | 23,714.08 | 0.91 |
| 11 | 00136 | HENG TEN NET | 23,396.93 | 0.89 |
| 12 | 01810 | XIAOMI-W | 23,225.98 | 0.89 |
| 13 | 00381 | KIU HUNG INT'L | 23,140.27 | 0.88 |
| 14 | 00857 | PETROCHINA | 21,306.17 | 0.81 |
| 15 | 03313 | ARTGO HOLDINGS | 16,998.17 | 0.65 |
| 16 | 00607 | FULLSHARE | 15,614.20 | 0.60 |
| 17 | 00729 | FDG EVEHICLES | 14,794.43 | 0.57 |
| 18 | 00175 | GEELY AUTO | 14,713.45 | 0.56 |
| 19 | 00395 | SMARTAC GP CH | 14,690.48 | 0.56 |
| 20 | 00493 | GOME RETAIL | 14,355.94 | 0.55 |
| | | Total | 736,268.57 | 28.13 |
| | | Equity total | 2,617,515.67 | 100.00 |

Trading value, 1986-2019



Market capitalisation and trading value of listed companies by incorporation place

End of 2019

| Country | No. of listed companies ⁽¹⁾ | Market capitalisation (HK\$mil) ⁽²⁾ | % of total | Turnover (HK\$mil) | % of equity total |
|------------------------------------|----------------------------------------|------------------------------------------------|---------------|----------------------|-------------------|
| Australia | 3 | 996.15 | 0.00 | 324.71 | 0.00 |
| Bermuda | 471 | 2,083,567.18 | 5.47 | 608,097.67 | 3.91 |
| British Virgin Islands | 11 | 34,972.50 | 0.09 | 5,726.22 | 0.04 |
| Canada | 5 | 3,335.74 | 0.01 | 2,179.25 | 0.01 |
| Cayman Islands | 1,084 | 18,430,870.91 | 48.43 | 6,268,952.27 | 40.32 |
| England | 3 | 1,487,538.82 | 3.91 | 311,369.44 | 2.00 |
| Hong Kong | 209 | 9,314,297.43 | 24.47 | 3,284,497.03 | 21.12 |
| Israel | 1 | 1,826.10 | 0.00 | 190.97 | 0.00 |
| Italy | 1 | 82,394.13 | 0.22 | 9,597.57 | 0.06 |
| Japan | 3 | 8,299.99 | 0.02 | 794.88 | 0.01 |
| Jersey | 2 | 64,994.29 | 0.17 | 13,791.19 | 0.09 |
| Luxembourg | 2 | 54,050.94 | 0.14 | 28,182.75 | 0.18 |
| PRC | 262 | 6,423,518.74 | 16.88 | 4,999,266.81 | 32.15 |
| Singapore | 14 | 67,675.16 | 0.18 | 15,061.37 | 0.10 |
| USA – Nasdaq stocks ⁽³⁾ | 6 | – | – | 2.98 | 0.00 |
| Total | 2,071 | 38,058,338.10 | 100.00 | 15,548,035.10 | 100.00 |

Notes:

- (1) Total no. of listed companies are excluded the NASDAQ Stocks.
- (2) Foreign companies not solely listed in Hong Kong and stock suspended over 1 year are excluded.
- (3) NASDAQ stocks are quoted on the Exchange for trading only.

Statistics on Hang Seng Index Stocks, 2019

End of 2019

| Sector | Stock code | Stock name | Turnover (HK\$mil) | % of equity total + REIT total | Market capitalisation (HK\$mil) | % of equity total | Closing price (HK\$) | Change* (%) | P/E ratio (times) |
|-------------------------------------|------------|-----------------|--------------------|--------------------------------|---------------------------------|-------------------|----------------------|-------------|-------------------|
| Finance (11) | | | | | | | | | |
| | 00939 | CCB | 536,988.48 | 3.42 | 1,618,008.56 | 4.25 | 6.730 | 4.18 | 5.76 |
| | 00005 | HSBC HOLDINGS | 294,958.71 | 1.88 | 1,255,813.46 | 3.30 | 60.850 | -6.10 | 12.31 |
| | 01299 | AIA | 428,748.80 | 2.73 | 988,863.94 | 2.60 | 81.800 | 26.00 | 43.18 |
| | 02318 | PING AN | 631,112.70 | 4.02 | 685,921.83 | 1.80 | 92.100 | 33.19 | 13.10 |
| | 01398 | ICBC | 298,605.15 | 1.90 | 520,764.27 | 1.37 | 6.000 | 7.33 | 6.27 |
| | 00388 | HKEX | 304,271.68 | 1.94 | 319,083.93 | 0.84 | 253.000 | 11.65 | 33.75 |
| | 00011 | HANG SENG BANK | 75,039.41 | 0.48 | 307,806.68 | 0.81 | 161.000 | -8.42 | 12.90 |
| | 02388 | BOC HONG KONG | 85,464.83 | 0.54 | 285,993.71 | 0.75 | 27.050 | -7.04 | 8.94 |
| | 03988 | BANK OF CHINA | 215,683.36 | 1.37 | 278,462.18 | 0.73 | 3.330 | -1.48 | 4.85 |
| | 03328 | BANKCOMM | 33,952.00 | 0.22 | 193,965.72 | 0.51 | 5.540 | -9.33 | 4.96 |
| | 02628 | CHINA LIFE | 199,797.41 | 1.27 | 161,101.44 | 0.42 | 21.650 | 30.11 | 47.51 |
| Utilities (4) | | | | | | | | | |
| | 00003 | HK & CHINA GAS | 70,732.71 | 0.45 | 257,599.30 | 0.68 | 15.220 | 3.35 | 27.66 |
| | 00002 | CLP HOLDINGS | 65,674.05 | 0.42 | 206,916.30 | 0.54 | 81.900 | -7.46 | 15.27 |
| | 01038 | CKI HOLDINGS | 27,602.14 | 0.18 | 146,979.99 | 0.39 | 55.450 | -6.49 | 13.38 |
| | 00006 | POWER ASSETS | 47,916.16 | 0.31 | 121,652.91 | 0.32 | 57.000 | 4.59 | 15.93 |
| Properties (11) | | | | | | | | | |
| | 00016 | SHK PPT | 146,210.48 | 0.93 | 345,705.19 | 0.91 | 119.300 | 6.90 | 7.70 |
| | 00688 | CHINA OVERSEAS | 92,129.10 | 0.59 | 332,520.72 | 0.87 | 30.350 | 12.83 | 7.41 |
| | 01109 | CHINA RES LAND | 98,664.26 | 0.63 | 276,680.46 | 0.73 | 38.800 | 28.90 | 9.49 |
| | 02007 | COUNTRY GARDEN | 93,902.57 | 0.60 | 272,621.38 | 0.72 | 12.480 | 30.95 | 6.62 |
| | 01113 | CK ASSET | 89,139.45 | 0.57 | 207,753.78 | 0.55 | 56.250 | -1.83 | 5.18 |
| | 00012 | HENDERSON LAND | 57,459.60 | 0.37 | 185,183.05 | 0.49 | 38.250 | 7.88 | 5.94 |
| | 01997 | WHARF REIC | 42,522.83 | 0.27 | 144,372.61 | 0.38 | 47.550 | 1.49 | 8.01 |
| | 00017 | NEW WORLD DEV | 50,901.63 | 0.32 | 109,217.91 | 0.29 | 10.680 | 3.09 | 6.01 |
| | 00083 | SINO LAND | 18,435.19 | 0.12 | 78,775.22 | 0.21 | 11.320 | -15.65 | 10.97 |
| | 00101 | HANG LUNG PPT | 25,801.80 | 0.16 | 76,910.99 | 0.20 | 17.100 | 14.61 | 9.52 |
| | 00823 | LINK REIT | 122,183.48 | 0.78 | n.a. | n.a. | 82.500 | 4.04 | n.a. |
| Commerce & Industry (24) | | | | | | | | | |
| | 00700 | TENCENT | 1,432,681.76 | 9.13 | 3,587,872.92 | 9.43 | 375.600 | 19.62 | 38.43 |
| | 00941 | CHINA MOBILE | 290,130.24 | 1.85 | 1,341,144.13 | 3.52 | 65.500 | -13.07 | 9.71 |
| | 00883 | CNOOC | 153,283.95 | 0.98 | 578,631.03 | 1.52 | 12.960 | 7.11 | 9.37 |
| | 01928 | SANDS CHINA LTD | 109,884.94 | 0.70 | 336,857.73 | 0.89 | 41.650 | 21.43 | 23.01 |
| | 00267 | CITIC | 33,086.12 | 0.21 | 303,120.54 | 0.80 | 10.420 | -15.15 | 6.03 |
| | 00001 | CKH HOLDINGS | 105,580.54 | 0.67 | 286,518.67 | 0.75 | 74.300 | -1.20 | 7.35 |
| | 00066 | MTR CORPORATION | 51,220.32 | 0.33 | 283,568.60 | 0.75 | 46.050 | 11.77 | 17.42 |
| | 00027 | GALAXY ENT | 145,591.93 | 0.93 | 248,733.37 | 0.65 | 57.400 | 17.31 | 18.35 |
| | 00762 | CHINA UNICOM | 68,933.71 | 0.44 | 224,590.23 | 0.59 | 7.340 | -12.20 | 18.82 |
| | 02313 | SHENZHOU INTL | 63,706.39 | 0.41 | 171,217.03 | 0.45 | 113.900 | 28.34 | 32.29 |
| | 02382 | SUNNY OPTICAL | 188,183.95 | 1.20 | 147,965.02 | 0.39 | 134.900 | 93.82 | 50.63 |
| | 00175 | GEELY AUTO | 203,716.59 | 1.30 | 139,705.04 | 0.37 | 15.240 | 10.43 | 9.29 |
| | 01177 | SINO BIOPHARM | 115,201.70 | 0.73 | 137,212.52 | 0.36 | 10.900 | 111.24 | 12.79 |
| | 02319 | MENGNU DAIRY | 69,345.79 | 0.44 | 123,943.68 | 0.33 | 31.500 | 29.10 | 34.59 |
| | 00386 | SINOPEC CORP | 128,700.64 | 0.82 | 119,658.03 | 0.31 | 4.690 | -16.10 | 7.87 |
| | 00288 | WH GROUP | 101,054.78 | 0.64 | 118,489.96 | 0.31 | 8.050 | 33.50 | 16.05 |
| | 00669 | TECHTRONIC IND | 53,872.31 | 0.34 | 116,296.94 | 0.31 | 63.550 | 52.76 | 27.01 |
| | 01093 | CSPC PHARMA | 135,932.15 | 0.87 | 115,871.17 | 0.30 | 18.580 | 64.42 | 31.73 |
| | 00151 | WANT WANT CHINA | 18,642.90 | 0.12 | 90,382.54 | 0.24 | 7.280 | 33.65 | 23.05 |
| | 00857 | PETROCHINA | 94,855.20 | 0.60 | 82,496.70 | 0.22 | 3.910 | -19.13 | 11.61 |
| | 02018 | AAC TECH | 122,105.11 | 0.78 | 82,178.00 | 0.22 | 68.000 | 49.61 | 18.67 |
| | 01044 | HENGAN INT'L | 46,889.82 | 0.30 | 66,027.10 | 0.17 | 55.500 | -2.63 | 15.07 |
| | 00019 | SWIRE PACIFIC A | 32,438.24 | 0.21 | 65,536.91 | 0.17 | 72.400 | -12.45 | 4.60 |
| | 01088 | CHINA SHENHUA | 69,437.90 | 0.44 | 55,328.92 | 0.15 | 16.280 | -5.13 | 6.26 |
| | Total (50) | | 7,988,374.97 | 50.89 | 18,202,022.29 | 47.83 | | | |
| | Total ** | | 15,697,689.62 | 100.00 | 38,058,338.10 | 100.00 | | | |

Notes:

* As compared with previous year.

** Total Turnover is the sum of equity total and REIT total whereas Total Market capitalisation includes equities only.

() The number of constituent stocks.

Statistics on Hang Seng China Enterprises Index stocks, 2019

End of 2019

| Stock code | Stock name | Turnover (HK\$mil) | % of equity total | Market capitalisation (HK\$mil) | % of equity total | Closing price (HK\$) | Change* (%) | P/E ratio (times) |
|------------|-----------------|--------------------|-------------------|---------------------------------|-------------------|----------------------|-------------|-------------------|
| 00700 | TENCENT | 1,432,681.76 | 9.21 | 3,587,872.92 | 9.43 | 375.600 | 19.62 | 38.43 |
| 00939 | CCB | 536,988.48 | 3.45 | 1,618,008.56 | 4.25 | 6.730 | 4.18 | 5.76 |
| 00941 | CHINA MOBILE | 290,130.24 | 1.87 | 1,341,144.13 | 3.52 | 65.500 | -13.07 | 9.71 |
| 02318 | PING AN | 631,112.70 | 4.06 | 685,921.83 | 1.80 | 92.100 | 33.19 | 13.10 |
| 00883 | CNOOC | 153,283.95 | 0.99 | 578,631.03 | 1.52 | 12.960 | 7.11 | 9.37 |
| 01398 | ICBC | 298,605.15 | 1.92 | 520,764.27 | 1.37 | 6.000 | 7.33 | 6.27 |
| 00267 | CITIC | 33,086.12 | 0.21 | 303,120.54 | 0.80 | 10.420 | -15.15 | 6.03 |
| 03988 | BANK OF CHINA | 215,683.36 | 1.39 | 278,462.18 | 0.73 | 3.330 | -1.48 | 4.85 |
| 01109 | CHINA RES LAND | 98,664.26 | 0.63 | 276,680.46 | 0.73 | 38.800 | 28.90 | 9.49 |
| 02007 | COUNTRY GARDEN | 93,902.57 | 0.60 | 272,621.38 | 0.72 | 12.480 | 30.95 | 6.62 |
| 00960 | LONGFOR GROUP | 42,652.47 | 0.27 | 218,178.77 | 0.57 | 36.500 | 55.98 | 11.25 |
| 01918 | SUNAC | 159,245.92 | 1.02 | 206,828.89 | 0.54 | 46.550 | 82.55 | 10.54 |
| 03328 | BANKCOMM | 33,952.00 | 0.22 | 193,965.72 | 0.51 | 5.540 | -9.33 | 4.96 |
| 02020 | ANTA SPORTS | 87,478.57 | 0.56 | 188,456.62 | 0.50 | 69.750 | 85.75 | 38.89 |
| 03968 | CM BANK | 171,236.05 | 1.10 | 183,865.59 | 0.48 | 40.050 | 39.55 | 10.92 |
| 02313 | SHENZHOU INTL | 63,706.39 | 0.41 | 171,217.03 | 0.45 | 113.900 | 28.34 | 32.29 |
| 02628 | CHINA LIFE | 199,797.41 | 1.29 | 161,101.44 | 0.42 | 21.650 | 30.11 | 47.51 |
| 00384 | CHINA GAS HOLD | 57,606.37 | 0.37 | 152,382.04 | 0.40 | 29.200 | 4.66 | 17.93 |
| 00291 | CHINA RES BEER | 55,957.35 | 0.36 | 139,824.02 | 0.37 | 43.100 | 57.59 | 122.37 |
| 00175 | GEELY AUTO | 203,716.59 | 1.31 | 139,705.04 | 0.37 | 15.240 | 10.43 | 9.29 |
| 01177 | SINO BIOPHARM | 115,201.70 | 0.74 | 137,212.52 | 0.36 | 10.900 | 111.24 | 12.79 |
| 00386 | SINOPEC CORP | 128,700.64 | 0.83 | 119,658.03 | 0.31 | 4.690 | -16.10 | 7.87 |
| 01093 | CSPC PHARMA | 135,932.15 | 0.87 | 115,871.17 | 0.30 | 18.580 | 64.42 | 31.73 |
| 00270 | GUANGDONG INV | 30,684.50 | 0.20 | 106,566.49 | 0.28 | 16.300 | 7.66 | 21.25 |
| 01288 | ABC | 94,156.78 | 0.61 | 105,434.16 | 0.28 | 3.430 | 0.00 | 4.99 |
| 01658 | PSBC | 36,500.50 | 0.23 | 105,237.69 | 0.28 | 5.300 | 28.33 | 7.31 |
| 00656 | FOSUN INTL | 30,163.92 | 0.19 | 96,986.47 | 0.25 | 11.360 | -0.35 | 6.20 |
| 02688 | ENN ENERGY | 46,208.48 | 0.30 | 95,834.08 | 0.25 | 85.150 | 22.61 | 28.34 |
| 01193 | CHINA RES GAS | 26,854.24 | 0.17 | 95,187.75 | 0.25 | 42.800 | 38.06 | 20.95 |
| 00151 | WANT WANT CHINA | 18,642.90 | 0.12 | 90,382.54 | 0.24 | 7.280 | 33.65 | 23.05 |
| 02601 | CPIC | 110,182.35 | 0.71 | 85,201.71 | 0.22 | 30.700 | 21.10 | 13.22 |
| 00857 | PETROCHINA | 94,855.20 | 0.61 | 82,496.70 | 0.22 | 3.910 | -19.13 | 11.61 |
| 00788 | CHINA TOWER | 246,489.90 | 1.59 | 80,261.83 | 0.21 | 1.720 | 16.22 | 82.30 |
| 00914 | CONCH CEMENT | 83,786.78 | 0.54 | 73,817.28 | 0.19 | 56.800 | 49.47 | 8.60 |
| 00998 | CITIC BANK | 37,188.15 | 0.24 | 69,499.70 | 0.18 | 4.670 | -1.89 | 4.54 |
| 00966 | CHINA TAIPING | 48,352.72 | 0.31 | 69,436.44 | 0.18 | 19.320 | -10.14 | 10.48 |
| 01044 | HENGAN INT'L | 46,889.82 | 0.30 | 66,027.10 | 0.17 | 55.500 | -2.63 | 15.07 |
| 02328 | PICC P&C | 65,993.95 | 0.42 | 64,784.37 | 0.17 | 9.390 | 17.23 | 11.51 |
| 01088 | CHINA SHENHUA | 69,437.90 | 0.45 | 55,328.92 | 0.15 | 16.280 | -5.13 | 6.26 |
| 02202 | CHINA VANKE | 51,147.30 | 0.33 | 52,466.72 | 0.14 | 33.250 | 25.00 | 9.30 |
| 01988 | MINSHENG BANK | 26,436.04 | 0.17 | 49,006.54 | 0.13 | 5.890 | 9.07 | 4.44 |
| 00728 | CHINA TELECOM | 42,941.53 | 0.28 | 44,546.49 | 0.12 | 3.210 | -19.75 | 10.48 |
| 06030 | CITIC SEC | 93,705.98 | 0.60 | 40,508.67 | 0.11 | 17.780 | 31.70 | 19.57 |
| 01211 | BYD COMPANY | 57,876.02 | 0.37 | 35,547.75 | 0.09 | 38.850 | -22.22 | 35.75 |
| 01336 | NCI | 78,988.40 | 0.51 | 34,642.59 | 0.09 | 33.500 | 7.72 | 11.28 |
| 01099 | SINOPHARM | 41,256.30 | 0.27 | 33,935.47 | 0.09 | 28.450 | -13.53 | 12.35 |
| 06837 | HAITONG SEC | 37,844.52 | 0.24 | 31,402.13 | 0.08 | 9.210 | 22.80 | 17.40 |
| 01339 | PICC GROUP | 36,024.06 | 0.23 | 28,273.00 | 0.07 | 3.240 | 2.86 | 9.12 |
| 01800 | CHINA COMM CONS | 25,729.89 | 0.17 | 28,114.63 | 0.07 | 6.350 | -14.19 | 4.70 |
| 01766 | CRRC | 26,857.99 | 0.17 | 24,827.66 | 0.07 | 5.680 | -25.65 | 12.36 |
| | | 6,844,518.35 | 44.02 | 13,337,249.03 | 35.04 | | | |
| | Equity total | 15,548,035.10 | 100.00 | 38,058,338.10 | 100.00 | | | |

* As compared with previous year.

Statistics on Hang Seng China-Affiliated Corporations Index stocks, 2019

End of 2019

| Stock code | Stock name | Turnover (HK\$mil) | % of equity total | Market capitalisation (HK\$mil) | % of equity total | Closing price (HK\$) | Change* (%) | P/E ratio (times) |
|--------------|-----------------|--------------------|-------------------|---------------------------------|-------------------|----------------------|-------------|-------------------|
| 00941 | CHINA MOBILE | 290,130.24 | 1.87 | 1,341,144.13 | 3.52 | 65.500 | -13.07 | 9.71 |
| 00883 | CNOOC | 153,283.95 | 0.99 | 578,631.03 | 1.52 | 12.960 | 7.11 | 9.37 |
| 00688 | CHINA OVERSEAS | 92,129.10 | 0.59 | 332,520.72 | 0.87 | 30.350 | 12.83 | 7.41 |
| 00267 | CITIC | 33,086.12 | 0.21 | 303,120.54 | 0.80 | 10.420 | -15.15 | 6.03 |
| 01109 | CHINA RES LAND | 98,664.26 | 0.63 | 276,680.46 | 0.73 | 38.800 | 28.90 | 9.49 |
| 00762 | CHINA UNICOM | 68,933.71 | 0.44 | 224,590.23 | 0.59 | 7.340 | -12.20 | 18.82 |
| 00291 | CHINA RES BEER | 55,957.35 | 0.36 | 139,824.02 | 0.37 | 43.100 | 57.59 | 122.37 |
| 02319 | MENGNU DAIRY | 69,345.79 | 0.45 | 123,943.68 | 0.33 | 31.500 | 29.10 | 34.59 |
| 00270 | GUANGDONG INV | 30,684.50 | 0.20 | 106,566.49 | 0.28 | 16.300 | 7.66 | 21.25 |
| 01193 | CHINA RES GAS | 26,854.24 | 0.17 | 95,187.75 | 0.25 | 42.800 | 38.06 | 20.95 |
| 00817 | CHINA JINMAO | 47,390.32 | 0.30 | 71,419.51 | 0.19 | 6.070 | 72.44 | 11.47 |
| 00966 | CHINA TAIPING | 48,352.72 | 0.31 | 69,436.44 | 0.18 | 19.320 | -10.14 | 10.48 |
| 01313 | CHINARES CEMENT | 31,045.61 | 0.20 | 69,270.74 | 0.18 | 9.920 | 40.71 | 8.42 |
| 00981 | SMIC | 62,245.97 | 0.40 | 60,373.79 | 0.16 | 11.940 | 74.31 | 51.03 |
| 00135 | KUNLUN ENERGY | 34,767.42 | 0.22 | 59,572.56 | 0.16 | 6.880 | -17.11 | 10.25 |
| 00836 | CHINA RES POWER | 27,030.06 | 0.17 | 52,626.25 | 0.14 | 10.940 | -27.36 | 13.24 |
| 00144 | CHINA MER PORT | 12,478.54 | 0.08 | 45,457.13 | 0.12 | 13.180 | -6.52 | 6.00 |
| 03320 | CHINARES PHARMA | 6,646.86 | 0.04 | 45,436.98 | 0.12 | 7.230 | -29.26 | 11.25 |
| 00392 | BEIJING ENT | 13,701.50 | 0.09 | 45,118.40 | 0.12 | 35.750 | -13.86 | 5.95 |
| 01114 | BRILLIANCE CHI | 32,033.63 | 0.21 | 40,765.78 | 0.11 | 8.080 | 52.71 | 5.99 |
| 00371 | BJ ENT WATER | 16,704.70 | 0.11 | 39,483.51 | 0.10 | 3.940 | -1.25 | 8.22 |
| 00257 | CHINA EB INT'L | 18,921.49 | 0.12 | 38,393.60 | 0.10 | 6.250 | -10.97 | 7.29 |
| 00152 | SHENZHEN INT'L | 11,223.58 | 0.07 | 36,986.70 | 0.10 | 17.120 | 18.71 | 8.43 |
| 00165 | CHINA EB LTD | 11,831.08 | 0.08 | 24,537.29 | 0.06 | 14.560 | 4.90 | 7.91 |
| 01199 | COSCO SHIP PORT | 4,699.30 | 0.03 | 20,173.30 | 0.05 | 6.380 | -17.14 | 7.73 |
| | | 1,298,142.03 | 8.35 | 4,241,261.03 | 11.14 | | | |
| Equity total | | 15,548,035.10 | 100.00 | 38,058,338.10 | 100.00 | | | |

* As compared with previous year.

Statistics on China enterprises (H shares), 2015-2019

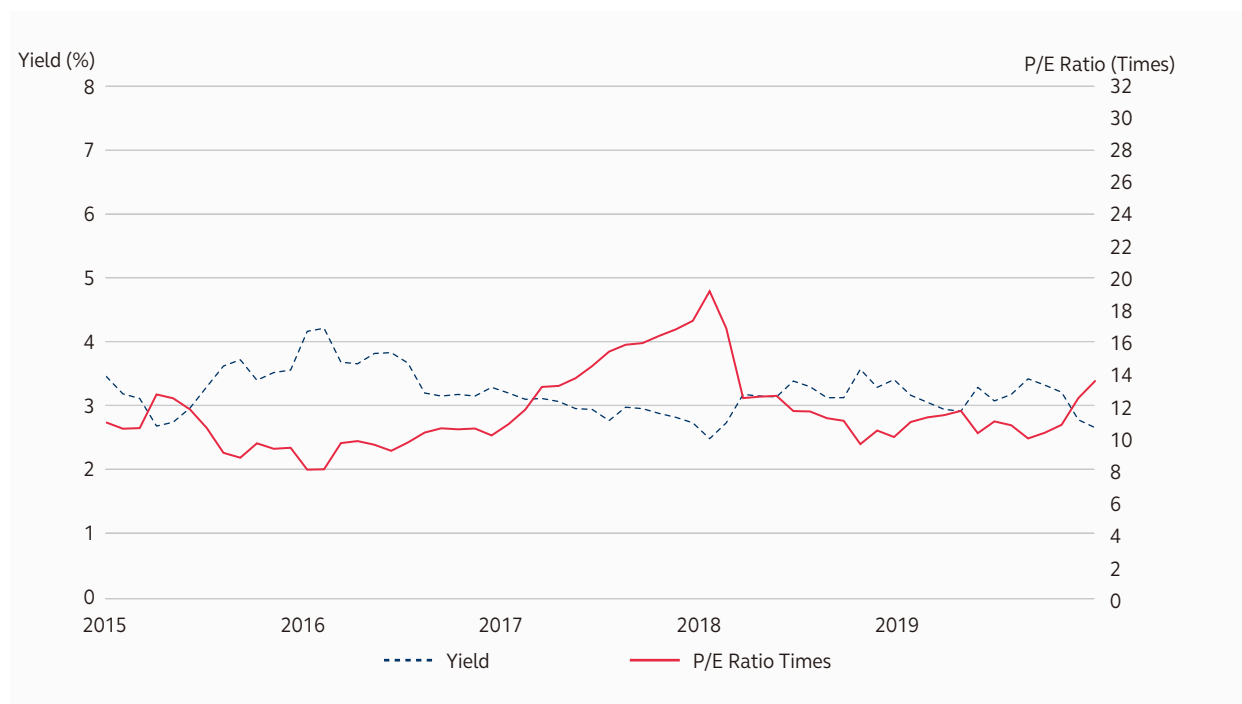
| Year/Month | No. of issues | Turnover | | | | Market capitalisation | |
|------------|---------------|--------------|-------------------|-----------------|-------------------|-----------------------|-------------------|
| | | Shares (mil) | % of equity total | Value (HK\$mil) | % of equity total | HK\$mil | % of equity total |
| 2015 | 206 | 870,624.56 | 15.98 | 6,882,112.86 | 39.95 | 5,157,109.86 | 21.11 |
| 2016 | 218 | 651,424.90 | 19.91 | 3,983,132.61 | 36.02 | 5,316,159.06 | 21.74 |
| 2017 | 228 | 760,526.96 | 20.91 | 5,571,571.42 | 34.71 | 6,758,944.23 | 20.05 |
| 2018 | 243 | 853,428.61 | 29.07 | 6,636,842.92 | 34.33 | 5,937,289.44 | 19.98 |
| 2019 | 262 | 756,694.68 | 28.91 | 4,994,786.05 | 32.12 | 6,423,518.74 | 16.88 |
| 2019 Jan | 244 | 67,664.69 | 31.26 | 434,657.34 | 34.00 | 6,445,410.48 | 20.22 |
| 2019 Feb | 244 | 70,816.88 | 31.31 | 463,282.30 | 37.78 | 6,679,867.90 | 20.32 |
| 2019 Mar | 246 | 75,575.92 | 24.52 | 553,166.82 | 34.45 | 6,587,860.23 | 19.58 |
| 2019 Apr | 248 | 74,498.75 | 29.93 | 524,126.13 | 36.06 | 6,735,969.80 | 19.71 |
| 2019 May | 248 | 81,194.17 | 37.08 | 510,129.96 | 34.60 | 6,097,325.13 | 19.74 |
| 2019 Jun | 250 | 62,411.23 | 34.22 | 396,927.17 | 34.66 | 6,370,935.55 | 19.55 |
| 2019 Jul | 253 | 47,466.49 | 21.01 | 325,981.03 | 30.09 | 6,115,626.48 | 19.14 |
| 2019 Aug | 252 | 67,522.92 | 31.13 | 417,483.87 | 29.35 | 5,774,861.02 | 19.33 |
| 2019 Sep | 253 | 55,923.15 | 26.59 | 359,334.62 | 30.10 | 5,858,893.35 | 19.20 |
| 2019 Oct | 256 | 50,189.94 | 28.96 | 330,050.49 | 27.58 | 6,055,983.65 | 19.12 |
| 2019 Nov | 258 | 51,744.77 | 25.34 | 345,886.67 | 26.71 | 5,960,334.05 | 16.74 |
| 2019 Dec | 262 | 51,685.79 | 27.80 | 333,759.63 | 28.44 | 6,423,518.74 | 16.88 |

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on red chips stocks, 2015-2019

| Year/Month | No. of issues | Turnover | | | | Market capitalisation | |
|------------|---------------|--------------|-------------------|-----------------|-------------------|-----------------------|-------------------|
| | | Shares (mil) | % of equity total | Value (HK\$mil) | % of equity total | HK\$mil | % of equity total |
| 2015 | 140 | 400,840.46 | 7.36 | 2,415,551.02 | 14.02 | 5,137,712.98 | 21.03 |
| 2016 | 147 | 264,116.10 | 8.07 | 1,564,516.57 | 14.15 | 4,898,947.36 | 20.04 |
| 2017 | 153 | 280,803.63 | 7.72 | 1,915,957.59 | 11.93 | 5,726,457.33 | 16.98 |
| 2018 | 159 | 260,101.99 | 8.86 | 2,168,499.87 | 11.22 | 5,374,871.03 | 18.08 |
| 2019 | 168 | 225,788.40 | 8.63 | 1,756,638.29 | 11.30 | 5,443,942.64 | 14.30 |
| 2019 Jan | 168 | 17,657.40 | 8.16 | 157,519.46 | 12.32 | 5,774,808.74 | 18.12 |
| 2019 Feb | 168 | 20,989.67 | 9.28 | 143,991.63 | 11.74 | 5,929,388.37 | 18.04 |
| 2019 Mar | 168 | 27,666.91 | 8.98 | 188,847.19 | 11.76 | 6,045,663.45 | 17.97 |
| 2019 Apr | 168 | 21,864.95 | 8.78 | 172,380.54 | 11.86 | 5,928,468.17 | 17.34 |
| 2019 May | 169 | 18,850.23 | 8.61 | 154,620.77 | 10.49 | 5,400,309.27 | 17.48 |
| 2019 Jun | 171 | 14,116.69 | 7.74 | 123,627.07 | 10.80 | 5,594,037.38 | 17.16 |
| 2019 Jul | 171 | 16,038.74 | 7.10 | 131,877.95 | 12.17 | 5,405,210.55 | 16.91 |
| 2019 Aug | 170 | 20,984.48 | 9.68 | 171,923.04 | 12.09 | 5,102,543.42 | 17.08 |
| 2019 Sep | 169 | 16,884.23 | 8.03 | 130,274.16 | 10.91 | 5,127,406.49 | 16.80 |
| 2019 Oct | 170 | 16,457.21 | 9.50 | 130,408.73 | 10.90 | 5,180,469.94 | 16.35 |
| 2019 Nov | 168 | 17,538.76 | 8.59 | 129,407.48 | 9.99 | 4,997,377.51 | 14.04 |
| 2019 Dec | 168 | 16,739.13 | 9.00 | 121,760.25 | 10.37 | 5,443,942.64 | 14.30 |

Average dividend yields and average price earnings ratios movement, 2015-2019



Average dividend yields and average price earning ratios of HSI, HSCEI and HSCCI stocks*, 2015-2019

| Year/Month | Average dividend yields (%) | | | Average price earning ratios (Times) | | |
|------------|-----------------------------|-------|-------|--------------------------------------|-------|-------|
| | HSI | HSCEI | HSCCI | HSI | HSCEI | HSCCI |
| 2015 | 4.15 | 4.30 | 2.75 | 8.87 | 7.07 | 10.14 |
| 2016 | 3.77 | 3.97 | 5.52 | 10.86 | 7.39 | 10.85 |
| 2017 | 2.98 | 3.47 | 2.35 | 16.01 | 9.72 | 16.23 |
| 2018 | 4.10 | 4.51 | 3.61 | 10.81 | 8.95 | 11.64 |
| 2019 | 3.52 | 3.43 | 3.38 | 11.25 | 9.53 | 10.51 |
| 2019 Jan | 3.80 | 4.13 | 3.43 | 11.64 | 9.70 | 12.25 |
| 2019 Feb | 3.73 | 4.01 | 3.38 | 11.66 | 9.99 | 12.49 |
| 2019 Mar | 3.40 | 3.55 | 3.00 | 11.56 | 9.67 | 11.15 |
| 2019 Apr | 3.34 | 3.54 | 3.02 | 11.47 | 9.62 | 10.85 |
| 2019 May | 3.66 | 3.88 | 3.23 | 10.39 | 8.66 | 10.17 |
| 2019 Jun | 3.45 | 3.66 | 3.20 | 11.33 | 9.15 | 10.44 |
| 2019 Jul | 3.55 | 3.73 | 3.47 | 11.02 | 8.98 | 10.19 |
| 2019 Aug | 3.82 | 3.95 | 3.63 | 10.21 | 8.48 | 9.75 |
| 2019 Sep | 3.82 | 3.84 | 3.65 | 10.29 | 8.56 | 9.72 |
| 2019 Oct | 3.71 | 3.72 | 3.55 | 10.61 | 8.84 | 10.00 |
| 2019 Nov | 3.78 | 3.80 | 3.64 | 10.39 | 8.64 | 9.76 |
| 2019 Dec | 3.52 | 3.43 | 3.38 | 11.25 | 9.53 | 10.51 |

* Month-end or year-end figures

Average dividend yields of all listed stocks by Hang Seng Industry Classification System*, 2015-2019

(%)

| Year/Month | All listed stocks | Energy | Materials | Industrials | Consumer Discretionary [^] | Consumer Staples [^] | Healthcare [^] | Telecommunications | Utilities | Financials | Properties & Construction | Information Technology | Conglomerates | Consumer Goods [^] | Consumer Services [^] | |
|------------|-------------------|--------|-----------|-------------|-------------------------------------|-------------------------------|-------------------------|--------------------|-----------|------------|---------------------------|------------------------|---------------|-----------------------------|--------------------------------|------|
| 2015 | 3.46 | 5.18 | 2.39 | 2.33 | n.a. | n.a. | n.a. | 3.30 | 2.89 | 4.54 | 3.57 | 0.94 | 3.07 | 3.40 | 2.91 | |
| 2016 | 3.24 | 2.60 | 1.76 | 2.81 | n.a. | n.a. | n.a. | 3.27 | 3.46 | 4.44 | 3.78 | 0.71 | 3.14 | 2.34 | 3.12 | |
| 2017 | 2.79 | 4.01 | 2.27 | 2.57 | n.a. | n.a. | n.a. | 6.20 | 3.91 | 3.34 | 2.86 | 0.40 | 3.14 | 2.16 | 1.99 | |
| 2018 | 3.34 | 5.39 | 3.47 | 3.43 | n.a. | n.a. | n.a. | 3.96 | 3.83 | 4.41 | 3.94 | 0.58 | 3.57 | 2.62 | 2.28 | |
| 2019 | 2.73 | 5.77 | 3.51 | 3.85 | 2.54 | 1.69 | 0.80 | 4.33 | 3.16 | 4.13 | 4.10 | 0.23 | 4.30 | n.a. | n.a. | |
| 2019 | Jan | 3.14 | 4.97 | 3.28 | 3.21 | n.a. | n.a. | n.a. | 3.65 | 3.73 | 4.13 | 3.61 | 0.53 | 3.47 | 2.46 | 2.45 |
| | Feb | 3.05 | 4.83 | 3.06 | 3.07 | n.a. | n.a. | n.a. | 3.61 | 3.62 | 4.01 | 3.57 | 0.53 | 3.34 | 2.32 | 2.34 |
| | Mar | 2.96 | 4.70 | 3.23 | 3.25 | n.a. | n.a. | n.a. | 3.78 | 2.87 | 4.00 | 3.32 | 0.50 | 3.58 | 2.28 | 2.38 |
| | Apr | 2.94 | 4.82 | 3.40 | 3.22 | n.a. | n.a. | n.a. | 3.99 | 2.95 | 3.91 | 3.38 | 0.48 | 3.66 | 2.24 | 2.28 |
| | May | 3.24 | 5.36 | 3.78 | 3.67 | n.a. | n.a. | n.a. | 4.38 | 2.97 | 4.26 | 3.69 | 0.56 | 3.99 | 2.46 | 2.58 |
| | Jun | 3.07 | 5.21 | 3.65 | 3.62 | n.a. | n.a. | n.a. | 4.21 | 2.93 | 4.02 | 3.59 | 0.52 | 3.80 | 2.21 | 2.41 |
| | Jul | 3.15 | 5.40 | 3.71 | 3.71 | n.a. | n.a. | n.a. | 4.48 | 2.89 | 4.18 | 3.75 | 0.51 | 4.03 | 2.28 | 2.44 |
| | Aug | 3.35 | 5.86 | 3.96 | 3.72 | n.a. | n.a. | n.a. | 4.28 | 3.16 | 4.53 | 4.25 | 0.53 | 4.67 | 2.32 | 2.23 |
| | Sep | 3.27 | 5.92 | 3.80 | 3.59 | 2.71 | 1.84 | 0.89 | 4.25 | 3.22 | 4.44 | 4.22 | 0.49 | 4.55 | n.a. | n.a. |
| | Oct | 3.18 | 6.04 | 3.75 | 3.45 | 2.60 | 1.79 | 0.84 | 4.35 | 3.08 | 4.31 | 4.03 | 0.49 | 4.40 | n.a. | n.a. |
| | Nov | 2.83 | 6.38 | 3.86 | 3.41 | 2.67 | 1.73 | 0.84 | 4.73 | 3.24 | 4.36 | 4.03 | 0.24 | 4.54 | n.a. | n.a. |
| | Dec | 2.73 | 5.77 | 3.51 | 3.85 | 2.54 | 1.69 | 0.80 | 4.33 | 3.16 | 4.13 | 4.10 | 0.23 | 4.30 | n.a. | n.a. |

Note: Month-end or year-end figures.

* Industry Classification is provided by Hang Seng Indexes Company Limited

New Hang Seng Industry Classification System took effect on 9 September 2013

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Average price earnings ratios of all listed stocks by Hang Seng Industry Classification System*, 2015-2019

(Times)

| Year/Month | All listed stocks | Energy | Materials | Industrials | Consumer Discretionary [^] | Consumer Staples [^] | Healthcare [^] | Telecommunications | Utilities | Financials | Properties & Construction | Information Technology | Conglomerates | Consumer Goods [^] | Consumer Services [^] | |
|------------|-------------------|--------|-----------|-------------|-------------------------------------|-------------------------------|-------------------------|--------------------|-----------|------------|---------------------------|------------------------|---------------|-----------------------------|--------------------------------|-------|
| 2015 | 9.90 | 6.59 | 12.70 | 16.58 | n.a. | n.a. | n.a. | 13.54 | 8.49 | 8.26 | 7.21 | 30.79 | 6.61 | 15.99 | 12.73 | |
| 2016 | 10.53 | 20.74 | 16.75 | 13.89 | n.a. | n.a. | n.a. | 13.59 | 13.10 | 7.58 | 8.26 | 36.41 | 4.26 | 17.95 | 16.84 | |
| 2017 | 16.34 | 29.02 | 15.22 | 17.17 | n.a. | n.a. | n.a. | 15.74 | 16.76 | 12.42 | 11.35 | 56.74 | 9.99 | 23.60 | 25.29 | |
| 2018 | 10.45 | 12.96 | 6.90 | 10.05 | n.a. | n.a. | n.a. | 12.80 | 15.22 | 8.29 | 6.37 | 30.32 | 6.90 | 15.03 | 19.12 | |
| 2019 | 13.28 | 9.07 | 6.87 | 11.82 | 17.12 | 28.52 | 31.04 | 11.31 | 14.74 | 9.46 | 7.34 | 61.20 | 6.10 | n.a. | n.a. | |
| 2019 | Jan | 11.21 | 14.08 | 7.30 | 10.70 | n.a. | n.a. | n.a. | 13.90 | 15.67 | 8.86 | 6.95 | 32.84 | 7.10 | 15.98 | 20.65 |
| | Feb | 11.44 | 14.47 | 7.78 | 11.19 | n.a. | n.a. | n.a. | 14.24 | 16.15 | 8.96 | 7.00 | 34.57 | 7.36 | 16.56 | 20.87 |
| | Mar | 11.56 | 10.66 | 7.49 | 11.02 | n.a. | n.a. | n.a. | 13.85 | 15.89 | 9.59 | 7.23 | 30.93 | 6.79 | 17.46 | 20.70 |
| | Apr | 11.77 | 10.42 | 7.19 | 11.67 | n.a. | n.a. | n.a. | 13.14 | 15.67 | 9.99 | 7.12 | 33.13 | 6.65 | 17.39 | 22.07 |
| | May | 10.64 | 9.35 | 6.46 | 10.28 | n.a. | n.a. | n.a. | 11.98 | 15.55 | 9.15 | 6.50 | 27.56 | 6.23 | 15.77 | 18.83 |
| | Jun | 11.24 | 9.61 | 6.70 | 10.38 | n.a. | n.a. | n.a. | 12.48 | 15.55 | 9.59 | 6.94 | 29.60 | 6.54 | 17.15 | 20.08 |
| | Jul | 11.04 | 9.23 | 6.57 | 10.27 | n.a. | n.a. | n.a. | 11.73 | 15.67 | 9.23 | 6.65 | 30.22 | 6.17 | 17.68 | 19.95 |
| | Aug | 10.37 | 8.44 | 6.14 | 9.99 | n.a. | n.a. | n.a. | 11.42 | 14.73 | 8.55 | 6.17 | 27.49 | 5.63 | 17.61 | 18.66 |
| | Sep | 10.66 | 8.68 | 6.33 | 10.31 | 15.42 | 26.12 | 26.88 | 11.51 | 14.42 | 8.78 | 6.33 | 28.44 | 5.76 | n.a. | n.a. |
| | Oct | 11.06 | 8.51 | 6.42 | 10.73 | 16.81 | 26.93 | 29.81 | 11.26 | 15.11 | 9.06 | 6.68 | 28.57 | 5.97 | n.a. | n.a. |
| | Nov | 12.42 | 8.21 | 6.23 | 10.86 | 16.23 | 27.95 | 29.66 | 10.35 | 14.41 | 8.95 | 6.69 | 56.66 | 5.78 | n.a. | n.a. |
| | Dec | 13.28 | 9.07 | 6.87 | 11.82 | 17.12 | 28.52 | 31.04 | 11.31 | 14.74 | 9.46 | 7.34 | 61.20 | 6.10 | n.a. | n.a. |

Note: Month-end or year-end figures.

* Industry Classification is provided by Hang Seng Indexes Company Limited

New Hang Seng Industry Classification System took effect on 9 September 2013

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Cash dividend payout by Hang Seng Industry Classification System*, 2015-2019

| Year/Month | No. of companies declared | No. of companies paid | Energy (HK\$mil) | Materials (HK\$mil) | Industrials (HK\$mil) | Consumer Goods (HK\$mil) | Consumer Services (HK\$mil) | Consumer Discretionary (HK\$mil) | Consumer Staples (HK\$mil) | Healthcare (HK\$mil) | Telecommunications (HK\$mil) | Utilities (HK\$mil) | Financials (HK\$mil) | Properties & Construction (HK\$mil) | Information Technology (HK\$mil) | Conglomerates (HK\$mil) | Total dividend payout (HK\$mil) |
|------------|---------------------------|-----------------------|------------------|---------------------|-----------------------|--------------------------|-----------------------------|----------------------------------|----------------------------|----------------------|------------------------------|---------------------|----------------------|-------------------------------------|----------------------------------|-------------------------|---------------------------------|
| 2015 | 1,556 | 817 | 44,656.34 | 8,107.85 | 24,896.67 | 85,817.02 | 32,574.54 | n.a. | n.a. | n.a. | 78,977.29 | 41,926.27 | 321,670.95 | 126,602.52 | 13,729.45 | 37,103.68 | 816,062.58 |
| 2016 | 1,644 | 810 | 26,078.33 | 6,803.04 | 28,975.29 | 53,013.13 | 40,070.43 | n.a. | n.a. | n.a. | 69,540.40 | 47,160.01 | 318,548.00 | 137,952.43 | 19,235.52 | 30,765.44 | 778,142.01 |
| 2017 | 1,709 | 849 | 45,146.40 | 8,753.74 | 32,579.36 | 65,928.51 | 41,857.75 | n.a. | n.a. | n.a. | 135,050.53 | 74,538.82 | 315,143.80 | 147,708.02 | 17,037.87 | 34,520.12 | 918,264.92 |
| 2018 | 1,829 | 890 | 59,562.85 | 20,216.91 | 35,532.14 | 74,040.52 | 33,715.27 | n.a. | n.a. | n.a. | 82,857.03 | 64,530.32 | 358,476.51 | 188,247.61 | 23,105.05 | 37,406.55 | 977,690.77 |
| 2019 | 1,947 | 973 | 58,428.68 | 17,831.84 | 35,307.46 | 52,958.34 | 23,192.12 | 27,915.68 | 6,741.71 | 3,580.72 | 80,386.98 | 55,370.56 | 353,092.90 | 196,244.55 | 21,968.97 | 37,968.48 | 970,989.01 |
| 2018 Jan | 12 | 59 | - | 2,145.36 | 28.52 | 1,840.39 | 676.67 | n.a. | n.a. | n.a. | 301.70 | 405.72 | 25.68 | 3,822.57 | 18.40 | 18.93 | 9,283.94 |
| Feb | 132 | 8 | - | - | - | - | 151.94 | n.a. | n.a. | n.a. | - | 193.07 | 43.14 | 322.86 | - | - | 711.01 |
| Mar | 1,363 | 15 | - | - | 54.73 | 34.07 | 186.93 | n.a. | n.a. | n.a. | 201.82 | 3,006.48 | 6,896.66 | 4,891.37 | 86.07 | - | 15,358.12 |
| Apr | 23 | 36 | - | - | 303.24 | 3,722.31 | 2,324.68 | n.a. | n.a. | n.a. | - | 1,777.84 | 39,381.37 | 9,887.30 | 238.84 | 1,132.57 | 58,768.14 |
| May | 51 | 67 | - | 780.75 | 497.97 | 4,802.76 | 2,140.24 | n.a. | n.a. | n.a. | 7,515.42 | 9,291.64 | 4,966.31 | 18,838.97 | 10,291.94 | 11,572.20 | 70,698.19 |
| Jun | 299 | 281 | 9,020.23 | 3,500.16 | 9,907.02 | 18,194.38 | 6,830.48 | n.a. | n.a. | n.a. | 35,706.83 | 13,283.37 | 56,346.67 | 22,042.15 | 2,304.18 | 8,183.59 | 185,319.06 |
| Jul | 41 | 274 | 20,258.84 | 5,935.24 | 13,545.38 | 9,635.99 | 9,782.09 | n.a. | n.a. | n.a. | 1,812.92 | 7,052.82 | 165,609.59 | 56,712.93 | 5,525.79 | 3,798.12 | 299,669.70 |
| Aug | 1,482 | 137 | 6,034.72 | 2,742.01 | 3,712.20 | 14,294.77 | 771.80 | n.a. | n.a. | n.a. | - | 3,874.57 | 15,582.67 | 9,982.75 | 579.21 | - | 57,574.69 |
| Sep | 75 | 210 | 3,428.02 | 851.30 | 3,134.95 | 433.67 | 327.30 | 9,600.87 | 3,469.58 | 1,560.92 | 33,862.37 | 7,277.93 | 29,050.58 | 22,926.94 | 899.12 | 4,695.84 | 121,519.39 |
| Oct | 28 | 180 | 15,559.24 | 1,029.89 | 2,368.04 | n.a. | n.a. | 9,904.95 | 3,105.35 | 507.04 | 750.55 | 7,438.25 | 18,181.65 | 14,950.33 | 267.22 | 7,298.35 | 81,360.86 |
| Nov | 342 | 39 | 1,961.88 | 833.97 | 1,664.53 | n.a. | n.a. | 851.72 | 20.75 | 910.82 | - | 177.22 | 16,102.69 | 21,538.84 | 384.21 | - | 44,446.62 |
| Dec | 25 | 77 | 2,165.76 | 13.18 | 90.88 | n.a. | n.a. | 7,558.14 | 146.02 | 601.94 | 235.38 | 1,591.66 | 905.89 | 10,327.56 | 1,373.99 | 1,268.88 | 26,279.28 |

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited

^ With effect from 9 September 2019, 'Consumer Goods' and 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries.

Short selling transactions by designated stock, 2019

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|------------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 01361 | 361 DEGREES | 40,839,000 | 583,990,582 | 6.99 | 65,330,690 | 908,470,287 | 7.19 |
| 01530 | 3SBIO | 308,319,000 | 1,949,044,499 | 15.82 | 4,018,734,785 | 24,884,394,807 | 16.15 |
| 02051 | 51 CREDIT CARD | 29,344,500 | 563,120,549 | 5.21 | 89,823,130 | 1,679,365,699 | 5.35 |
| 03011 | A ICBCCICUSD | 240 | 11,200 | 2.14 | 1,879,728 | 88,309,923 | 2.13 |
| 02018 | AAC TECH | 488,082,000 | 2,525,221,744 | 19.33 | 23,068,054,900 | 122,105,105,833 | 18.89 |
| 02686 | AAG ENERGY | 480,000 | 163,990,723 | 0.29 | 706,360 | 229,412,796 | 0.31 |
| 01288 | ABC | 6,626,142,000 | 27,804,415,839 | 23.83 | 22,431,188,740 | 94,156,784,146 | 23.82 |
| 02821 | ABF PAIF | 1,410 | 1,704,270 | 0.08 | 1,255,727 | 1,536,271,426 | 0.08 |
| 03383 | AGILE GROUP | 323,480,000 | 1,670,612,355 | 19.36 | 3,421,386,540 | 17,755,216,065 | 19.27 |
| 01131 | AGRITRADE RES | 178,200,000 | 2,395,138,529 | 7.44 | 191,056,600 | 2,438,320,248 | 7.84 |
| 08279 | AGTECH HOLDINGS | 3,992,000 | 630,124,436 | 0.63 | 1,698,900 | 254,285,590 | 0.67 |
| 01299 | AIA | 893,047,400 | 5,569,354,574 | 16.04 | 68,383,702,850 | 428,748,803,649 | 15.95 |
| 00286 | AIDIGONG | 14,672,000 | 1,918,404,755 | 0.76 | 8,445,900 | 1,050,166,050 | 0.80 |
| 00753 | AIR CHINA | 559,950,000 | 4,058,080,529 | 13.80 | 4,292,695,260 | 31,397,951,652 | 13.67 |
| 00538 | AJISEN (CHINA) | 12,381,000 | 330,882,776 | 3.74 | 35,817,170 | 941,583,680 | 3.80 |
| 01789 | AK MEDICAL | 29,438,000 | 756,743,838 | 3.89 | 187,032,700 | 4,870,829,142 | 3.84 |
| 00241 | ALI HEALTH | 430,292,000 | 3,009,405,582 | 14.30 | 3,342,816,740 | 24,111,015,904 | 13.86 |
| 01060 | ALI PICTURES | 956,040,000 | 7,676,324,196 | 12.45 | 1,360,849,900 | 11,175,740,401 | 12.18 |
| 03319 | A-LIVING | 48,216,500 | 1,004,239,489 | 4.80 | 920,220,331 | 17,850,248,004 | 5.16 |
| 00373 | ALLIED GROUP | 10,000 | 11,245,611 | 0.09 | 392,000 | 438,014,537 | 0.09 |
| 00056 | ALLIED PPT (HK) | 328,000 | 223,990,716 | 0.15 | 562,060 | 383,023,327 | 0.15 |
| 02843 | AMUNDI A50 | 13,000 | 11,866,253 | 0.11 | 200,700 | 181,730,780 | 0.11 |
| 82843 | AMUNDI A50-R | 70,200 | 4,869,037 | 1.44 | 1,026,378 | 72,004,238 | 1.43 |
| 83012 | AMUNDI HK35-R | 2,500 | 4,033,500 | 0.06 | 56,344 | 83,310,710 | 0.07 |
| 00347 | ANGANG STEEL | 554,616,000 | 3,125,300,661 | 17.75 | 2,205,653,280 | 12,451,008,311 | 17.71 |
| 00995 | ANHUIEXPRESSWAY | 5,650,000 | 169,415,444 | 3.33 | 27,375,160 | 814,946,421 | 3.36 |
| 02020 | ANTA SPORTS | 187,154,000 | 1,552,101,661 | 12.06 | 10,125,534,250 | 87,478,565,964 | 11.57 |
| 03337 | ANTON OILFIELD | 245,358,000 | 5,998,006,184 | 4.09 | 217,541,240 | 5,509,608,189 | 3.95 |
| 03662 | AOYUAN HEALTHY | 5,570,000 | 1,022,885,844 | 0.54 | 30,801,930 | 5,164,980,952 | 0.60 |
| 00519 | APPLIED DEV | 160,000 | 828,531,113 | 0.02 | 74,650 | 236,670,524 | 0.03 |
| 01045 | APT SATELLITE | 852,000 | 80,288,568 | 1.06 | 2,635,560 | 243,832,073 | 1.08 |
| 03313 | ARTGO HOLDINGS | 107,370,000 | 16,998,171,778 | 0.63 | 532,869,110 | 11,639,548,707 | 4.58 |
| 01672 | ASCLETIS-B | 24,158,000 | 450,258,190 | 5.37 | 98,467,540 | 2,119,687,923 | 4.65 |
| 00743 | ASIA CEMENT CH | 30,255,000 | 564,292,866 | 5.36 | 295,521,275 | 5,576,372,126 | 5.30 |
| 00129 | ASIA STANDARD | 122,000 | 51,244,981 | 0.24 | 176,000 | 74,858,618 | 0.24 |
| 01675 | ASIAINFO TECH | 236,800 | 97,822,234 | 0.24 | 1,945,888 | 837,672,036 | 0.23 |
| 00522 | ASM PACIFIC | 58,122,000 | 324,049,910 | 17.94 | 5,130,280,085 | 29,237,522,963 | 17.55 |
| 00707 | ATV HOLDINGS | 14,618,000 | 5,393,658,425 | 0.27 | 3,411,046 | 628,218,543 | 0.54 |
| 01717 | AUSNUTRIA | 93,135,000 | 817,752,164 | 11.39 | 1,126,300,980 | 9,676,358,258 | 11.64 |
| 00161 | AVIC IHL | 4,734,000 | 347,568,323 | 1.36 | 33,677,460 | 2,228,557,079 | 1.51 |
| 02357 | AVICHINA | 269,381,000 | 2,439,635,115 | 11.04 | 1,226,096,520 | 10,896,716,992 | 11.25 |
| 09988 | BABA-SW | 92,657,300 | 455,831,990 | 20.33 | 18,418,712,590 | 89,901,651,988 | 20.49 |
| 01761 | BABYTREE GROUP | 535,000 | 178,172,980 | 0.30 | 1,537,300 | 690,452,563 | 0.22 |
| 01958 | BAIC MOTOR | 299,189,500 | 2,129,385,314 | 14.05 | 1,471,125,050 | 10,579,238,791 | 13.91 |
| 00874 | BAIYUNSHAN PH | 39,158,000 | 447,719,840 | 8.75 | 1,282,302,000 | 13,978,837,013 | 9.17 |
| 03988 | BANK OF CHINA | 18,599,138,000 | 63,835,383,724 | 29.14 | 62,973,844,730 | 215,683,363,571 | 29.20 |
| 00023 | BANK OF E ASIA | 91,573,000 | 303,745,389 | 30.15 | 2,006,883,164 | 6,789,021,542 | 29.56 |
| 02139 | BANK OF GANSU | 116,000 | 251,384,900 | 0.05 | 213,010 | 473,662,283 | 0.04 |
| 01578 | BANK OF TIANJIN | 1,500 | 104,551,049 | 0.00 | 5,525 | 386,465,453 | 0.00 |
| 03328 | BANKCOMM | 1,027,342,000 | 5,680,197,885 | 18.09 | 6,223,395,050 | 33,951,995,141 | 18.33 |
| 06190 | BANKOFJIJIANG | 200 | 64,806,950 | 0.00 | 2,112 | 679,274,786 | 0.00 |
| 06196 | BANKOFZHENGZHOU | 1,595,000 | 345,104,301 | 0.46 | 4,337,820 | 981,133,543 | 0.44 |
| 00737 | BAY AREA DEV | 816,500 | 96,624,851 | 0.85 | 3,288,775 | 385,809,990 | 0.85 |
| 02009 | BBMG | 230,899,000 | 2,067,154,686 | 11.17 | 587,294,760 | 5,205,073,540 | 11.28 |
| 01963 | BCQ | 6,288,500 | 202,375,767 | 3.11 | 29,322,295 | 967,359,541 | 3.03 |
| 01250 | BE CLEAN ENERGY | 112,500,000 | 5,139,891,047 | 2.19 | 12,859,640 | 552,566,798 | 2.33 |
| 06160 | BEIGENE | 874,000 | 17,918,406 | 4.88 | 84,931,350 | 1,662,068,762 | 5.11 |
| 00694 | BEIJING AIRPORT | 266,290,000 | 2,066,278,885 | 12.89 | 1,885,431,120 | 14,522,572,851 | 12.98 |
| 00392 | BEIJING ENT | 15,319,500 | 345,369,981 | 4.44 | 610,563,025 | 13,701,502,855 | 4.46 |
| 00588 | BEIJING N STAR | 14,754,000 | 576,376,540 | 2.56 | 40,847,460 | 1,515,440,889 | 2.70 |
| 03358 | BESTWAY GLOBAL | 469,500 | 201,827,100 | 0.23 | 1,524,865 | 679,455,644 | 0.22 |
| 06828 | BG BLUE SKY | 148,216,000 | 6,836,077,889 | 2.17 | 37,023,488 | 1,733,969,396 | 2.14 |
| 02299 | BILLION IND | 88,000 | 43,354,235 | 0.20 | 411,500 | 273,822,209 | 0.15 |
| 02868 | BJ CAPITAL LAND | 119,766,000 | 974,860,352 | 12.29 | 331,651,880 | 2,769,143,792 | 11.98 |
| 02389 | BJ ENT M&H | 1,206,000 | 1,005,996,476 | 0.12 | 283,344 | 245,317,736 | 0.12 |
| 00371 | BJ ENT WATER | 495,228,000 | 3,867,333,979 | 12.81 | 2,136,229,820 | 16,704,697,437 | 12.79 |
| 01803 | BJ SPORTS & ENT | 50,060,000 | 3,690,274,093 | 1.36 | 54,165,278 | 2,225,253,098 | 2.43 |
| 03121 | BMO AP REAL EST | 59,000 | 2,434,962 | 2.42 | 645,094 | 26,315,633 | 2.45 |
| 03145 | BMO ASIA HIGHDV | 551,200 | 2,180,809 | 25.28 | 5,506,028 | 21,213,240 | 25.96 |
| 03141 | BMO ASIA IGB | 3,165,400 | 19,901,677 | 15.91 | 49,867,500 | 313,490,482 | 15.91 |
| 09141 | BMO ASIA IGB-U | 269,000 | 1,157,800 | 23.23 | 4,170,677 | 18,181,626 | 22.94 |
| 03165 | BMO EU QLT Y HDG | 1,000 | 7,211,817 | 0.01 | 12,520 | 82,751,272 | 0.02 |
| 03143 | BMO HK BANKS | 63,800 | 16,559,900 | 0.39 | 532,860 | 139,876,007 | 0.38 |
| 03086 | BMO NASDAQ100 | 13,400 | 4,608,257 | 0.29 | 184,108 | 71,489,777 | 0.26 |
| 02588 | BOC AVIATION | 27,132,200 | 208,508,554 | 13.01 | 1,809,965,770 | 14,052,413,912 | 12.88 |
| 02388 | BOC HONG KONG | 344,004,000 | 2,876,584,174 | 11.96 | 10,233,643,325 | 85,464,829,023 | 11.97 |
| 00710 | BOE VARITRONIX | 6,097,000 | 200,249,482 | 3.04 | 16,036,300 | 507,578,745 | 3.16 |
| 03998 | BOSIDENG | 879,300,000 | 8,183,478,547 | 10.74 | 2,575,721,980 | 22,002,784,475 | 11.71 |
| 01114 | BRILLIANCE CHI | 771,810,000 | 3,995,217,023 | 19.32 | 6,091,670,180 | 32,033,625,870 | 19.02 |
| 01876 | BUD APAC | 188,688,400 | 1,286,176,581 | 14.67 | 5,631,590,650 | 38,005,788,616 | 14.82 |
| 01211 | BYD COMPANY | 381,829,000 | 1,270,054,801 | 30.06 | 17,376,225,275 | 57,876,021,791 | 30.02 |
| 00285 | BYD ELECTRONIC | 431,200,500 | 2,778,023,543 | 15.52 | 4,818,617,900 | 32,432,607,617 | 14.86 |
| 01224 | C C LAND | 3,953,000 | 335,738,376 | 1.18 | 7,038,400 | 579,216,491 | 1.22 |
| 00721 | C FIN INT INV | 14,750,000 | 1,028,681,702 | 1.43 | 2,205,240 | 140,907,115 | 1.57 |
| 01004 | C SMARTERENERGY | 388,000 | 305,821,174 | 0.13 | 185,270 | 136,642,817 | 0.14 |
| 00658 | C TRANSMISSION | 58,989,000 | 446,971,719 | 13.20 | 345,080,510 | 2,500,850,246 | 13.80 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 01908 | C&D INTL GROUP | 8,554,000 | 307,695,147 | 2.78 | 75,854,590 | 2,698,965,917 | 2.81 |
| 00043 | C.P. POKPHAND | 113,372,000 | 1,544,675,031 | 7.34 | 73,027,860 | 1,032,111,406 | 7.08 |
| 00341 | CAFE DE CORAL H | 13,128,000 | 222,719,723 | 5.89 | 278,586,580 | 4,844,992,095 | 5.75 |
| 01848 | CALC | 7,368,500 | 186,056,684 | 3.96 | 63,571,670 | 1,591,542,732 | 3.99 |
| 03188 | CAM CSI300 | 2,331,907,000 | 4,745,619,197 | 49.14 | 102,123,010,990 | 207,711,099,094 | 49.17 |
| 83188 | CAM CSI300-R | 40,805,600 | 72,026,515 | 56.65 | 1,781,398,579 | 3,123,235,915 | 57.04 |
| 03197 | CAM MSCI AINC | 80,200 | 9,332,000 | 0.86 | 878,342 | 92,851,592 | 0.95 |
| 83197 | CAM MSCI AINC-R | 10,600 | 2,348,000 | 0.45 | 112,904 | 23,743,175 | 0.48 |
| 03157 | CAM SCSMALLCAP | 600 | 152,400 | 0.39 | 13,480 | 3,191,422 | 0.42 |
| 02662 | CAMSING INTL | 36,558,000 | 5,848,914,673 | 0.63 | 195,475,740 | 6,354,340,263 | 3.08 |
| 06185 | CANSINOBIO-B | 1,393,000 | 97,546,715 | 1.43 | 61,120,700 | 3,686,620,649 | 1.66 |
| 01381 | CANVEST ENV | 25,115,000 | 374,797,970 | 6.70 | 92,618,830 | 1,389,785,070 | 6.66 |
| 00699 | CAR INC | 105,585,000 | 663,451,202 | 15.91 | 662,777,470 | 4,065,723,910 | 16.30 |
| 00996 | CARNIVAL GROUP | 553,270,000 | 76,901,679,753 | 0.72 | 29,548,070 | 3,036,101,260 | 0.97 |
| 00293 | CATHAY PAC AIR | 169,858,000 | 789,415,891 | 21.52 | 1,808,131,710 | 9,035,385,072 | 20.01 |
| 01375 | CC SECURITIES | 31,075,000 | 829,482,430 | 3.75 | 61,126,560 | 1,669,792,543 | 3.66 |
| 00939 | CCB | 20,735,577,000 | 83,686,610,327 | 24.78 | 132,699,452,820 | 536,988,482,377 | 24.71 |
| 01606 | CDB LEASING | 108,000 | 118,572,900 | 0.09 | 158,580 | 187,237,396 | 0.08 |
| 06818 | CEB BANK | 155,242,000 | 1,987,273,541 | 7.81 | 563,954,090 | 7,215,333,449 | 7.82 |
| 01257 | CEB GREENTECH | 28,873,000 | 411,145,524 | 7.02 | 160,601,690 | 2,215,343,975 | 7.25 |
| 00832 | CENTRAL CHINA | 6,723,000 | 280,528,096 | 2.40 | 23,916,930 | 1,046,268,868 | 2.29 |
| 00798 | CEOVU | 2,332,000 | 434,841,112 | 0.54 | 1,147,060 | 215,304,214 | 0.53 |
| 06098 | CG SERVICES | 199,804,000 | 2,036,184,250 | 9.81 | 3,522,680,760 | 34,000,208,799 | 10.36 |
| 01811 | CGN NEW ENERGY | 15,798,000 | 587,228,462 | 2.69 | 17,378,640 | 637,945,190 | 2.72 |
| 01816 | CGN POWER | 1,144,888,000 | 7,969,079,126 | 14.37 | 2,412,640,500 | 16,778,032,114 | 14.38 |
| 06881 | CGS | 659,757,000 | 5,554,334,507 | 11.88 | 3,132,487,570 | 26,142,166,679 | 11.98 |
| 03996 | CH ENERGY ENG | 2,228,000 | 520,579,578 | 0.43 | 2,035,180 | 475,744,092 | 0.43 |
| 01117 | CH MODERN D | 47,678,000 | 1,079,610,502 | 4.42 | 55,466,220 | 1,224,880,586 | 4.53 |
| 00081 | CH OVS G OCEANS | 35,998,000 | 1,662,895,855 | 2.16 | 137,584,350 | 6,460,980,207 | 2.13 |
| 02779 | CH XINHUA EDU | 5,978,000 | 426,698,119 | 1.40 | 15,830,360 | 1,126,350,801 | 1.41 |
| 02600 | CHALCO | 892,318,000 | 4,515,487,875 | 19.76 | 2,505,141,840 | 12,658,257,348 | 19.79 |
| 02778 | CHAMPION REIT | 102,196,000 | 1,271,512,585 | 8.04 | 574,042,070 | 7,235,802,898 | 7.93 |
| 00951 | CHAOWEI POWER | 7,590,000 | 221,390,641 | 3.43 | 23,479,850 | 685,871,383 | 3.42 |
| 01812 | CHENMING PAPER | 15,427,000 | 334,545,661 | 4.61 | 64,523,500 | 1,362,042,891 | 4.74 |
| 00025 | CHEVALIER INT'L | 2,000 | 3,762,542 | 0.05 | 22,200 | 42,900,206 | 0.05 |
| 00978 | CHI MER LAND | 3,396,000 | 549,549,539 | 0.62 | 4,357,440 | 666,144,352 | 0.65 |
| 00815 | CHI SILVER GP | 1,906,000 | 2,157,914,208 | 0.09 | 1,422,680 | 2,028,039,246 | 0.07 |
| 00976 | CHIHU ENV | 25,578,000 | 840,192,567 | 3.04 | 24,610,890 | 988,531,456 | 2.49 |
| 00031 | CHINA AEROSPACE | 3,262,000 | 717,526,775 | 0.45 | 1,771,530 | 382,413,648 | 0.46 |
| 00606 | CHINA AGRI | 148,168,000 | 1,853,471,510 | 7.99 | 383,609,840 | 5,889,289,302 | 6.51 |
| 01566 | CHINA ANIMATION | 10,924,000 | 429,759,521 | 2.54 | 25,949,000 | 903,181,445 | 2.87 |
| 03883 | CHINA AOYUAN | 272,556,000 | 2,743,051,590 | 9.94 | 2,501,020,050 | 25,061,591,097 | 9.98 |
| 00526 | CHINA AUTO NR | 7,766,000 | 1,757,952,250 | 0.44 | 5,953,200 | 1,370,127,235 | 0.43 |
| 03983 | CHINA BLUECHEM | 40,356,000 | 920,545,371 | 4.38 | 90,858,480 | 2,149,784,962 | 4.23 |
| 01359 | CHINA CINDA | 1,060,271,000 | 8,394,462,052 | 12.63 | 1,973,397,110 | 15,810,820,699 | 12.48 |
| 01898 | CHINA COAL | 149,143,000 | 1,373,355,766 | 10.86 | 481,671,250 | 4,432,898,660 | 10.87 |
| 01800 | CHINA COMM CONS | 565,675,000 | 3,618,077,519 | 15.63 | 3,974,834,350 | 25,729,894,134 | 15.45 |
| 03969 | CHINA CRSC | 191,587,000 | 1,456,502,496 | 13.15 | 1,031,618,870 | 8,074,346,416 | 12.78 |
| 01387 | CHINA DILI | 97,155,400 | 2,140,014,902 | 4.54 | 55,851,696 | 872,649,563 | 6.40 |
| 03818 | CHINA DONGXIANG | 70,731,000 | 1,189,392,921 | 5.95 | 70,751,770 | 1,168,884,512 | 6.05 |
| 00670 | CHINA EAST AIR | 387,746,000 | 2,750,529,282 | 14.10 | 1,714,432,820 | 12,778,809,645 | 13.42 |
| 00667 | CHINA EAST EDU | 15,064,500 | 789,203,986 | 1.91 | 231,162,010 | 10,650,576,765 | 2.17 |
| 00257 | CHINA EB INT'L | 333,506,000 | 2,683,056,101 | 12.43 | 2,389,405,250 | 18,921,487,604 | 12.63 |
| 00165 | CHINA EB LTD | 97,352,000 | 972,127,222 | 10.01 | 1,188,268,240 | 11,831,077,276 | 10.04 |
| 00839 | CHINA EDU GROUP | 187,543,000 | 1,038,471,456 | 18.06 | 2,204,254,920 | 12,100,330,158 | 18.22 |
| 00506 | CHINA FOODS | 24,814,000 | 526,393,381 | 4.71 | 78,043,680 | 1,663,349,549 | 4.69 |
| 00384 | CHINA GAS HOLD | 323,938,600 | 2,027,107,451 | 15.98 | 9,106,127,180 | 57,606,365,218 | 15.81 |
| 02799 | CHINA HUARONG | 1,096,259,000 | 9,154,663,546 | 11.97 | 1,566,395,950 | 13,060,880,085 | 11.99 |
| 00817 | CHINA JINMAO | 1,310,846,000 | 9,920,103,099 | 13.21 | 6,159,328,040 | 47,390,320,665 | 13.00 |
| 01890 | CHINA KEPEI | 1,610,000 | 426,174,082 | 0.38 | 5,910,700 | 1,495,793,759 | 0.40 |
| 02128 | CHINA LESSO | 93,957,000 | 1,524,012,777 | 6.17 | 739,501,450 | 10,275,779,120 | 7.20 |
| 02628 | CHINA LIFE | 1,732,737,000 | 10,015,916,223 | 17.30 | 34,859,955,600 | 199,797,407,010 | 17.45 |
| 01234 | CHINA LILANG | 10,746,000 | 275,757,281 | 3.90 | 77,190,370 | 2,044,861,809 | 3.77 |
| 00772 | CHINA LIT | 108,832,800 | 623,068,189 | 17.47 | 3,457,695,640 | 20,687,720,278 | 16.71 |
| 00931 | CHINA LNG | 20,152,000 | 542,839,711 | 3.71 | 13,600,910 | 425,366,568 | 3.20 |
| 00916 | CHINA LONGYUAN | 311,195,000 | 2,828,049,625 | 11.00 | 1,528,861,690 | 13,971,365,157 | 10.94 |
| 00383 | CHINA MED&HCARE | 38,160,000 | 1,669,143,757 | 2.29 | 6,220,320 | 293,808,375 | 2.12 |
| 00144 | CHINA MER PORT | 85,368,000 | 894,978,876 | 9.54 | 1,233,720,080 | 12,478,540,570 | 9.89 |
| 00133 | CHINA MERCHANTS | 2,000 | 24,804,738 | 0.01 | 19,960 | 260,320,725 | 0.01 |
| 00941 | CHINA MOBILE | 529,360,000 | 4,055,324,061 | 13.05 | 37,609,085,975 | 290,130,238,791 | 12.96 |
| 00026 | CHINA MOTOR BUS | 3,800 | 1,762,219 | 0.22 | 397,770 | 197,975,025 | 0.20 |
| 00715 | CHINA OCEANWIDE | 696,000 | 194,102,950 | 0.36 | 290,220 | 80,972,105 | 0.36 |
| 00603 | CHINA OIL & GAS | 115,040,000 | 1,362,328,995 | 8.44 | 32,235,600 | 463,857,348 | 6.95 |
| 02883 | CHINA OILFIELD | 266,802,000 | 2,246,352,799 | 11.88 | 2,189,652,340 | 19,895,202,447 | 11.01 |
| 00581 | CHINA ORIENTAL | 210,234,000 | 1,726,847,777 | 12.17 | 764,985,620 | 6,759,323,943 | 11.32 |
| 00688 | CHINA OVERSEAS | 671,810,000 | 3,346,486,564 | 20.08 | 18,592,732,200 | 92,129,100,055 | 20.18 |
| 02669 | CHINA OVS PPT | 164,005,000 | 2,126,981,855 | 7.71 | 628,567,850 | 8,099,513,017 | 7.76 |
| 02380 | CHINA POWER | 286,251,000 | 3,498,500,206 | 8.18 | 536,305,010 | 6,532,027,605 | 8.21 |
| 01186 | CHINA RAIL CONS | 508,943,000 | 2,857,449,178 | 17.81 | 5,016,035,140 | 27,641,565,329 | 18.15 |
| 00390 | CHINA RAILWAY | 637,085,000 | 4,548,235,295 | 14.01 | 3,866,576,820 | 27,317,953,007 | 14.15 |
| 01508 | CHINA RE | 159,514,000 | 1,718,049,489 | 9.28 | 235,775,970 | 2,502,287,719 | 9.42 |
| 00291 | CHINA RES BEER | 203,134,000 | 1,552,580,984 | 13.08 | 7,156,212,500 | 55,957,351,770 | 12.79 |
| 01193 | CHINA RES GAS | 130,934,000 | 709,947,101 | 18.44 | 4,928,071,700 | 26,854,239,029 | 18.35 |
| 01109 | CHINA RES LAND | 675,448,000 | 2,989,557,082 | 22.59 | 22,276,106,050 | 98,664,258,291 | 22.58 |
| 00836 | CHINA RES POWER | 202,474,000 | 2,244,019,206 | 9.02 | 2,445,554,900 | 27,030,064,155 | 9.05 |
| 01907 | CHINA RISUN GP | 1,804,000 | 474,977,948 | 0.38 | 4,907,860 | 1,334,447,510 | 0.37 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 02198 | CHINA SANJIANG | 19,507,000 | 335,867,454 | 5.81 | 30,782,320 | 585,931,496 | 5.25 |
| 01966 | CHINA SCE GROUP | 128,149,000 | 2,587,632,398 | 4.95 | 486,760,010 | 9,630,722,706 | 5.05 |
| 00412 | CHINA SDHS FIN | 93,360,000 | 6,387,637,527 | 1.46 | 26,862,132 | 1,775,423,314 | 1.51 |
| 01432 | CHINA SHENGMU | 2,956,000 | 557,746,976 | 0.53 | 1,163,095 | 200,894,176 | 0.58 |
| 01088 | CHINA SHENHUA | 579,820,500 | 4,102,801,591 | 14.13 | 9,718,607,165 | 69,437,901,692 | 14.00 |
| 01055 | CHINA SOUTH AIR | 637,758,000 | 4,088,597,685 | 15.60 | 3,580,470,700 | 22,931,169,456 | 15.61 |
| 00326 | CHINA STAR ENT | 15,950,000 | 879,449,938 | 1.81 | 11,547,400 | 642,543,587 | 1.80 |
| 03311 | CHINA STATE CON | 317,586,000 | 1,757,149,516 | 18.07 | 2,388,614,740 | 13,116,456,915 | 18.21 |
| 00235 | CHINA STRATEGIC | 38,620,000 | 2,667,671,254 | 1.45 | 1,615,355 | 147,997,207 | 1.09 |
| 00956 | CHINA SUNTIEN | 29,560,000 | 1,165,115,730 | 2.54 | 63,694,570 | 2,539,804,045 | 2.51 |
| 00966 | CHINA TAIPING | 183,729,200 | 2,315,079,286 | 7.94 | 3,929,062,434 | 48,352,718,255 | 8.13 |
| 00728 | CHINA TELECOM | 1,530,514,000 | 11,476,592,351 | 13.34 | 5,885,159,360 | 42,941,533,914 | 13.71 |
| 01252 | CHINA TIANRUI | 658,000 | 191,901,523 | 0.34 | 4,466,470 | 1,291,760,783 | 0.35 |
| 00788 | CHINA TOWER | 24,003,928,000 | 133,352,987,096 | 18.00 | 44,146,392,140 | 246,489,901,592 | 17.91 |
| 00308 | CHINA TRAVEL HK | 311,834,000 | 2,760,658,040 | 11.30 | 567,376,380 | 4,716,703,241 | 12.03 |
| 08265 | CHINA TRUSTFUL | 28,000 | 3,073,549,900 | 0.00 | 17,920 | 430,012,461 | 0.00 |
| 00762 | CHINA UNICOM | 1,005,746,000 | 8,118,241,336 | 12.39 | 8,498,413,900 | 68,933,708,144 | 12.33 |
| 06168 | CHINA U-TON | 2,855,000 | 943,250,388 | 0.30 | 1,495,900 | 460,508,483 | 0.32 |
| 02202 | CHINA VANKE | 334,312,100 | 1,712,217,538 | 19.53 | 10,024,441,665 | 51,147,304,052 | 19.60 |
| 06166 | CHINA VAST | 1,740,000 | 121,762,260 | 1.43 | 5,291,630 | 376,672,447 | 1.40 |
| 00855 | CHINA WATER | 83,108,000 | 621,074,343 | 13.38 | 546,536,980 | 4,392,589,247 | 12.44 |
| 01866 | CHINA XLX FERT | 130,000 | 104,924,996 | 0.12 | 372,700 | 249,521,458 | 0.15 |
| 08083 | CHINA YOUZAN | 376,220,000 | 6,950,558,010 | 5.41 | 204,975,900 | 3,888,066,340 | 5.27 |
| 01333 | CHINA ZHONGWANG | 99,070,000 | 914,973,881 | 10.83 | 338,476,544 | 3,296,889,487 | 10.27 |
| 00552 | CHINACOMSERVICE | 245,114,000 | 3,337,431,198 | 7.34 | 1,486,473,420 | 20,456,726,175 | 7.27 |
| 02099 | CHINAGOLDINTL | 3,449,400 | 112,571,348 | 3.06 | 29,505,797 | 1,009,755,316 | 2.92 |
| 00512 | CHINAGRANDPHARM | 36,948,000 | 406,460,430 | 9.09 | 166,950,360 | 1,822,462,016 | 9.16 |
| 01378 | CHINAHONGQIAO | 266,814,000 | 1,988,206,114 | 13.42 | 1,455,254,585 | 10,657,841,211 | 13.65 |
| 08047 | CHINAOCEAN FISH | 3,104,000 | 749,716,904 | 0.41 | 1,329,760 | 274,491,869 | 0.48 |
| 01313 | CHINARES CEMENT | 540,772,000 | 3,914,926,410 | 13.81 | 4,222,794,240 | 31,045,607,041 | 13.60 |
| 03320 | CHINARES PHARMA | 82,025,000 | 768,732,791 | 10.67 | 699,909,855 | 6,646,856,577 | 10.53 |
| 00354 | CHINASOFT INT'L | 602,058,000 | 4,229,339,346 | 14.24 | 2,384,696,340 | 17,228,534,030 | 13.84 |
| 01668 | CHINASOUTH CITY | 137,906,000 | 3,167,577,561 | 4.35 | 150,830,280 | 3,582,396,577 | 4.21 |
| 00127 | CHINESE EST H | 4,291,500 | 71,017,508 | 6.04 | 28,725,750 | 524,071,620 | 5.48 |
| 01258 | CHINFMINING | 2,000 | 19,617,131 | 0.01 | 3,330 | 33,967,887 | 0.01 |
| 01111 | CHONG HING BANK | 80,000 | 13,605,710 | 0.59 | 1,132,600 | 187,558,039 | 0.60 |
| 08207 | CHONGSING HLDGS | 146,432,000 | 7,908,866,162 | 1.85 | 8,693,072 | 349,467,701 | 2.49 |
| 00116 | CHOW SANG SANG | 10,215,000 | 240,930,599 | 4.24 | 92,904,880 | 2,398,403,895 | 3.87 |
| 01929 | CHOW TAI FOOK | 173,422,200 | 1,500,279,346 | 11.56 | 1,255,637,624 | 10,934,207,184 | 11.48 |
| 00367 | CHUANG'S INT'L | 36,000 | 68,748,580 | 0.05 | 58,560 | 115,128,022 | 0.05 |
| 03908 | CICC | 288,878,000 | 2,624,077,507 | 11.01 | 4,754,787,736 | 42,317,039,541 | 11.24 |
| 03186 | CICCKRANECNET | 550 | 574,900 | 0.10 | 31,519 | 34,211,399 | 0.09 |
| 83186 | CICCKRANECNET-R | 1,950 | 139,650 | 1.40 | 103,068 | 8,146,175 | 1.27 |
| 09186 | CICCKRANECNET-U | 3,100 | 55,650 | 5.57 | 177,488 | 3,366,130 | 5.27 |
| 00884 | CIFI HOLD GP | 702,042,000 | 5,067,250,024 | 13.85 | 3,539,322,900 | 26,167,418,018 | 13.53 |
| 02039 | CIMC | 35,541,900 | 417,871,907 | 8.51 | 268,013,210 | 3,469,760,892 | 7.72 |
| 03899 | CIMC ENRIC | 31,470,000 | 702,844,396 | 4.48 | 178,555,720 | 3,979,413,482 | 4.49 |
| 00445 | CIMC-TIANDA | 2,660,000 | 920,955,682 | 0.29 | 689,560 | 234,495,318 | 0.29 |
| 06058 | CISI FIN | 16,000 | 165,289,398 | 0.01 | 10,960 | 71,635,403 | 0.02 |
| 00267 | CITIC | 422,435,000 | 3,028,937,819 | 13.95 | 4,583,448,280 | 33,086,116,150 | 13.85 |
| 00998 | CITIC BANK | 785,578,000 | 7,945,459,330 | 9.89 | 3,738,899,840 | 37,188,153,531 | 10.05 |
| 01205 | CITIC RESOURCES | 15,684,000 | 815,379,463 | 1.92 | 9,770,550 | 499,630,626 | 1.96 |
| 06030 | CITIC SEC | 1,046,657,000 | 5,695,417,320 | 18.38 | 17,849,392,490 | 93,705,982,311 | 19.05 |
| 01883 | CITIC TELECOM | 53,395,000 | 1,558,686,972 | 3.43 | 161,099,450 | 4,775,241,451 | 3.37 |
| 00256 | CITYCHAMP | 26,544,000 | 743,235,521 | 3.57 | 44,968,980 | 1,255,096,258 | 3.58 |
| 01113 | CK ASSET | 209,571,000 | 1,508,987,121 | 13.89 | 12,322,683,375 | 89,139,453,438 | 13.82 |
| 00001 | CKH HOLDINGS | 165,977,000 | 1,396,453,826 | 11.89 | 12,405,117,000 | 105,580,541,461 | 11.75 |
| 01038 | CKI HOLDINGS | 59,545,500 | 466,084,131 | 12.78 | 3,548,032,150 | 27,602,140,682 | 12.85 |
| 00775 | CKLIFE SCIENCES | 1,090,000 | 3,721,385,332 | 0.03 | 455,280 | 3,465,796,252 | 0.01 |
| 00002 | CLP HOLDINGS | 121,489,000 | 764,378,614 | 15.89 | 10,344,803,875 | 65,674,049,103 | 15.75 |
| 03968 | CM BANK | 839,865,500 | 4,639,471,080 | 18.10 | 30,984,754,250 | 171,236,049,318 | 18.09 |
| 01141 | CMBC CAPITAL | 113,080,000 | 3,119,374,158 | 3.63 | 24,062,060 | 668,184,095 | 3.60 |
| 01829 | CMEC | 15,621,000 | 392,771,918 | 3.98 | 56,760,220 | 1,450,211,102 | 3.91 |
| 03309 | C-MER EYE | 13,988,000 | 467,354,026 | 2.99 | 69,966,140 | 2,411,762,631 | 2.90 |
| 03993 | CMOC | 1,502,229,000 | 7,896,184,498 | 19.02 | 4,255,304,250 | 22,776,288,702 | 18.68 |
| 01636 | CMRU | 14,180,000 | 136,688,718 | 10.37 | 51,274,560 | 519,775,151 | 9.86 |
| 00867 | CMS | 121,272,000 | 1,663,752,236 | 7.29 | 1,072,778,860 | 14,160,618,568 | 7.58 |
| 06099 | CMSC | 13,122,200 | 225,692,851 | 5.81 | 127,314,736 | 2,345,491,375 | 5.43 |
| 00245 | CN MINSHENG FIN | 4,690,000 | 3,334,153,183 | 0.14 | 518,380 | 365,888,469 | 0.14 |
| 03323 | CNBM | 1,274,910,000 | 8,204,548,449 | 15.54 | 8,596,726,060 | 55,671,141,887 | 15.44 |
| 01589 | CNLP | 17,550,000 | 643,051,635 | 2.73 | 49,545,930 | 1,569,628,262 | 3.16 |
| 00883 | CNOOC | 2,554,152,000 | 12,008,498,045 | 21.27 | 33,060,129,620 | 153,283,953,745 | 21.57 |
| 01610 | COFCO MEAT | 536,012,000 | 5,617,586,936 | 9.54 | 1,423,421,460 | 14,868,246,288 | 9.57 |
| 00906 | COFCO PACKAGING | 37,385,000 | 409,288,065 | 9.13 | 122,343,370 | 1,332,955,899 | 9.18 |
| 00400 | COGOBUY | 18,787,000 | 378,885,208 | 4.96 | 36,802,340 | 841,128,120 | 4.38 |
| 01778 | COLOUR LIFE | 68,601,000 | 775,856,393 | 8.84 | 324,038,160 | 3,764,922,939 | 8.61 |
| 02342 | COMBA | 357,606,000 | 5,691,316,435 | 6.28 | 671,079,320 | 10,715,596,937 | 6.26 |
| 00317 | COMEC | 26,948,000 | 350,027,712 | 7.70 | 203,448,060 | 2,622,881,796 | 7.76 |
| 03171 | COMSTAGE DAX | 250 | 43,900 | 0.57 | 26,687 | 4,583,795 | 0.58 |
| 00914 | CONCH CEMENT | 357,465,000 | 1,824,147,931 | 19.60 | 16,311,191,425 | 83,786,780,962 | 19.47 |
| 00586 | CONCH VENTURE | 97,269,500 | 949,997,475 | 10.24 | 2,669,293,300 | 26,203,633,916 | 10.19 |
| 00182 | CONCORD NE | 7,480,000 | 1,696,648,228 | 0.44 | 2,783,700 | 639,239,640 | 0.44 |
| 01681 | CONSUN PHARMA | 16,228,000 | 298,457,777 | 5.44 | 79,553,040 | 1,506,490,127 | 5.28 |
| 02866 | COSCO SHIP DEV | 100,830,000 | 1,881,265,425 | 5.36 | 94,143,730 | 1,842,518,821 | 5.11 |
| 01138 | COSCO SHIP ENGY | 166,172,000 | 1,486,808,585 | 11.18 | 642,237,460 | 6,099,729,005 | 10.53 |
| 01919 | COSCO SHIP HOLD | 179,172,500 | 1,479,839,477 | 12.11 | 561,945,905 | 4,723,745,061 | 11.90 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|------------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 00517 | COSCO SHIP INTL | 2,620,000 | 142,137,868 | 1.84 | 5,934,820 | 325,378,251 | 1.82 |
| 01199 | COSCO SHIP PORT | 73,466,000 | 653,213,944 | 11.25 | 522,850,600 | 4,699,298,932 | 11.13 |
| 02298 | COSMO LADY | 9,710,000 | 194,587,746 | 4.99 | 17,008,310 | 385,370,833 | 4.41 |
| 00120 | COSMOPOL INT'L | 5,442,000 | 290,315,817 | 1.87 | 7,619,420 | 410,483,804 | 1.86 |
| 02007 | COUNTRY GARDEN | 2,720,547,000 | 8,491,411,644 | 32.04 | 30,078,268,030 | 93,902,572,200 | 32.03 |
| 00735 | CP CLEAN ENERGY | 7,727,500 | 340,768,784 | 2.27 | 37,186,745 | 1,636,816,454 | 2.27 |
| 02601 | CPIC | 704,042,000 | 3,644,406,339 | 19.32 | 21,586,953,720 | 110,182,350,639 | 19.59 |
| 03618 | CQRC BANK | 177,066,000 | 1,999,592,646 | 8.86 | 763,292,590 | 8,626,811,213 | 8.85 |
| 00831 | CR ASIA | 12,000 | 70,523,078 | 0.02 | 43,660 | 263,363,888 | 0.02 |
| 01911 | CR HOLDINGS | 2,493,400 | 44,946,197 | 5.55 | 42,877,981 | 805,838,580 | 5.32 |
| 01515 | CR MEDICAL | 46,986,500 | 507,619,557 | 9.26 | 251,960,760 | 2,688,202,246 | 9.37 |
| 00032 | CROSS-HAR(HOLD) | 344,000 | 60,419,134 | 0.57 | 3,866,140 | 668,720,187 | 0.58 |
| 01766 | CRRC | 449,790,000 | 3,993,564,315 | 11.26 | 3,016,989,900 | 26,857,987,859 | 11.23 |
| 03898 | CRRC TIMES ELEC | 63,368,400 | 508,413,498 | 12.46 | 2,413,373,920 | 19,317,215,750 | 12.49 |
| 02232 | CRYSTAL INTL | 14,008,000 | 270,867,164 | 5.17 | 50,937,230 | 973,977,610 | 5.23 |
| 06066 | CSC | 136,012,000 | 2,069,723,073 | 6.57 | 869,777,745 | 13,453,290,822 | 6.47 |
| 00497 | CSI PROPERTIES | 12,200,000 | 1,369,647,840 | 0.89 | 3,764,250 | 494,684,375 | 0.76 |
| 03129 | CSOP 300SMART | 1,000 | 36,600 | 2.73 | 15,300 | 546,776 | 2.80 |
| 83129 | CSOP 300SMART-R | 1,000 | 29,200 | 3.42 | 15,192 | 430,241 | 3.53 |
| 02822 | CSOP A50 ETF | 5,740,506,200 | 12,234,229,797 | 46.92 | 82,393,309,812 | 175,525,461,739 | 46.94 |
| 82822 | CSOP A50 ETF-R | 174,690,800 | 299,056,182 | 58.41 | 2,500,136,365 | 4,263,671,080 | 58.64 |
| 03147 | CSOP CHINEXT | 30,353,000 | 272,269,128 | 11.15 | 209,158,906 | 1,810,531,788 | 11.55 |
| 83147 | CSOP CHINEXT-R | 2,284,000 | 12,197,800 | 18.72 | 15,567,618 | 80,358,839 | 19.37 |
| 03199 | CSOP CTPBBOND | 7,000 | 487,078 | 1.44 | 849,100 | 57,297,763 | 1.48 |
| 83199 | CSOP CTPBBOND-R | 6,140 | 382,520 | 1.61 | 738,826 | 44,543,399 | 1.66 |
| 03053 | CSOP HKD MM | 413,000 | 1,702,000 | 24.27 | 418,453,850 | 1,725,145,250 | 24.26 |
| 83053 | CSOP HKD MM-R | 40,000 | 189,000 | 21.16 | 39,934,214 | 189,678,862 | 21.05 |
| 03149 | CSOP MSCI | 1,600 | 1,445,600 | 0.11 | 21,280 | 17,507,258 | 0.12 |
| 03096 | CSOP USD MM | 22,000 | 39,000 | 56.41 | 17,371,800 | 30,792,500 | 56.42 |
| 09096 | CSOP USD MM-U | 71,000 | 121,000 | 58.68 | 56,100,532 | 95,563,217 | 58.71 |
| 01093 | CSPC PHARMA | 1,088,516,000 | 9,092,105,396 | 11.97 | 16,796,657,900 | 135,932,153,962 | 12.36 |
| 02616 | CSTONE PHARMA-B | 7,989,500 | 241,987,302 | 3.30 | 91,417,415 | 3,207,308,650 | 2.85 |
| 01363 | CTEG | 30,110,000 | 841,677,732 | 3.58 | 11,219,760 | 316,063,248 | 3.55 |
| 06055 | CTIHK | 10,299,000 | 2,715,192,307 | 0.38 | 222,700,490 | 42,951,526,828 | 0.52 |
| 02016 | CZBANK | 9,000 | 16,619,950 | 0.05 | 39,040 | 70,171,216 | 0.06 |
| 00440 | DAH SING | 4,008,400 | 90,282,719 | 4.44 | 138,467,760 | 3,155,442,115 | 4.39 |
| 02356 | DAH SING BANKING | 7,032,800 | 106,017,708 | 6.63 | 92,124,972 | 1,329,301,906 | 6.93 |
| 03799 | DALI FOODS | 218,467,500 | 1,578,658,125 | 13.84 | 1,159,780,275 | 8,426,694,572 | 13.76 |
| 02880 | DALIAN PORT | 40,504,000 | 1,292,099,954 | 3.13 | 43,086,620 | 1,327,194,967 | 3.25 |
| 00991 | DATANG POWER | 127,284,000 | 1,235,064,749 | 10.31 | 239,935,300 | 2,302,018,845 | 10.42 |
| 01798 | DATANG RENEW | 14,168,000 | 536,661,291 | 2.64 | 11,559,340 | 472,596,437 | 2.45 |
| 02348 | DAWN RAYS PHARMA | 67,000 | 147,665,942 | 0.05 | 101,550 | 214,496,677 | 0.05 |
| 00861 | DC HOLDINGS | 29,117,000 | 632,055,257 | 4.61 | 120,039,000 | 2,557,975,929 | 4.69 |
| 01828 | DCH HOLDINGS | 14,242,000 | 649,296,435 | 2.19 | 40,081,120 | 2,059,797,934 | 1.95 |
| 02019 | DEXIN CHINA | 5,150,000 | 350,201,996 | 1.47 | 16,532,670 | 1,093,301,974 | 1.51 |
| 03958 | DFZQ | 35,107,600 | 697,809,402 | 5.03 | 185,619,236 | 3,980,972,002 | 4.66 |
| 06878 | DIFFER GROUP | 6,850,000 | 2,330,955,126 | 0.29 | 3,167,060 | 1,038,839,654 | 0.30 |
| 00547 | DIGITAL DOMAIN | 411,910,000 | 11,011,505,384 | 3.74 | 48,672,590 | 1,410,490,347 | 3.45 |
| 00508 | DINGYI GP INV | 77,080,000 | 3,661,365,113 | 2.11 | 34,508,135 | 1,703,353,004 | 2.03 |
| 01072 | DONGFANG ELEC | 17,222,600 | 387,831,789 | 4.44 | 93,852,102 | 2,210,560,726 | 4.25 |
| 00489 | DONGFENG GROUP | 625,434,000 | 3,138,696,318 | 19.93 | 4,645,067,520 | 23,159,368,257 | 20.06 |
| 00895 | DONGJIANG ENV | 2,326,400 | 74,970,711 | 3.10 | 17,894,950 | 567,502,136 | 3.15 |
| 00189 | DONGYUE GROUP | 116,538,000 | 1,610,287,203 | 7.24 | 567,081,010 | 8,173,601,012 | 6.94 |
| 01330 | DYNAGREEN ENV | 5,667,000 | 230,403,068 | 2.46 | 19,638,270 | 821,570,599 | 2.39 |
| 06889 | DYNAM JAPAN | 404,200 | 64,881,575 | 0.62 | 4,132,664 | 666,532,153 | 0.62 |
| 00029 | DYNAMIC HOLD | 54,000 | 25,273,708 | 0.21 | 626,520 | 263,043,639 | 0.24 |
| 06178 | EB SECURITIES | 22,144,000 | 414,048,028 | 5.35 | 152,476,122 | 3,065,830,111 | 4.97 |
| 03709 | EKA FASHION | 398,000 | 68,605,150 | 0.58 | 3,361,850 | 601,459,889 | 0.56 |
| 03100 | EFUND CSI100 | 4,000 | 1,578,200 | 0.25 | 177,275 | 74,625,705 | 0.24 |
| 02048 | E-HOUSE ENT | 17,693,700 | 317,421,012 | 5.57 | 176,464,467 | 3,122,944,942 | 5.65 |
| 00717 | EMPEROR CAPITAL | 7,710,000 | 1,269,109,151 | 0.61 | 2,760,666 | 423,400,700 | 0.65 |
| 00163 | EMPEROR INT'L | 3,848,000 | 171,204,243 | 2.25 | 7,495,340 | 349,919,522 | 2.14 |
| 02688 | ENN ENERGY | 79,760,000 | 585,258,533 | 13.63 | 6,262,761,365 | 46,208,477,748 | 13.55 |
| 00689 | EPI (HOLDINGS) | 22,245,000 | 2,252,160,649 | 0.99 | 3,906,300 | 347,239,136 | 1.12 |
| 00330 | ESPRIT HOLDINGS | 47,815,700 | 546,189,204 | 8.75 | 78,699,015 | 874,821,147 | 9.00 |
| 01061 | ESSEX BIO-TECH | 4,082,000 | 113,676,931 | 3.59 | 25,389,460 | 709,530,504 | 3.58 |
| 00202 | EVERCHINA INT'L | 785,000 | 396,271,809 | 0.20 | 155,830 | 85,188,900 | 0.18 |
| 00708 | EVERG HEALTH | 11,425,000 | 440,116,034 | 2.60 | 118,847,100 | 5,134,354,502 | 2.31 |
| 03333 | EVERGRANDE | 982,163,000 | 3,959,748,663 | 24.80 | 21,207,426,200 | 86,961,447,633 | 24.39 |
| 01995 | EVERSUNSHINE LS | 38,296,000 | 984,812,419 | 3.89 | 180,375,880 | 4,067,868,380 | 4.43 |
| 03097 | F GX OIL | 14,000 | 1,891,000 | 0.74 | 62,160 | 8,862,886 | 0.70 |
| 00052 | FAIRWOOD HOLD | 2,864,000 | 47,137,541 | 6.08 | 64,617,080 | 1,169,334,269 | 5.53 |
| 01777 | FANTASIA | 92,962,500 | 1,398,315,991 | 6.65 | 116,003,820 | 1,834,757,364 | 6.32 |
| 00729 | FDG EVEHICLES | 77,410,000 | 14,794,426,711 | 0.52 | 4,507,000 | 863,812,881 | 0.52 |
| 00378 | FDG KINETIC | 2,274,000 | 1,780,650,760 | 0.13 | 295,414 | 235,608,846 | 0.13 |
| 00035 | FE CONSORT INTL | 13,076,000 | 253,319,115 | 5.16 | 46,578,950 | 909,947,734 | 5.12 |
| 03360 | FE HORIZON | 94,961,000 | 951,506,440 | 9.98 | 738,483,900 | 7,349,769,611 | 10.05 |
| 07388 | FI CSOP HSCEI | 88,321,800 | 197,474,300 | 44.73 | 516,521,363 | 1,150,430,122 | 44.90 |
| 07300 | FI CSOP HSI | 2,775,737,700 | 6,772,770,503 | 40.98 | 14,936,834,324 | 36,659,298,760 | 40.75 |
| 07302 | FI EFUND YT HSI | 153,300 | 8,155,301 | 1.88 | 711,852 | 38,350,900 | 1.86 |
| 07362 | FI GX HSCEI | 131,300 | 1,549,500 | 8.47 | 770,610 | 9,387,949 | 8.21 |
| 07336 | FI GX HSI | 479,100 | 9,945,575 | 4.82 | 2,749,054 | 56,138,454 | 4.90 |
| 07588 | FI2 CSOP HSCEI | 15,552,900 | 74,524,400 | 20.87 | 94,065,744 | 450,139,932 | 20.90 |
| 07500 | FI2 CSOP HSI | 3,789,160,700 | 8,466,942,551 | 44.75 | 26,746,008,392 | 60,258,607,464 | 44.39 |
| 07522 | FI2CAMDXNNDQ100 | 5,331,500 | 40,825,099 | 13.06 | 56,960,996 | 446,542,892 | 12.76 |
| 07568 | FI2CSOPNASDAQ | 5,010,600 | 16,646,900 | 30.10 | 37,641,119 | 124,500,190 | 30.23 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 07341 | FICAMDXNHSCEI | 85,000 | 8,067,799 | 1.05 | 541,498 | 51,898,959 | 1.04 |
| 07321 | FICAMDXNHSI | 2,454,700 | 43,394,112 | 5.66 | 15,043,406 | 260,869,403 | 5.77 |
| 07331 | FICAMDXNNDQ100 | 3,695,300 | 89,275,400 | 4.14 | 39,449,534 | 854,538,861 | 4.62 |
| 02038 | FIH | 642,092,000 | 7,387,951,628 | 8.69 | 726,481,910 | 8,764,453,115 | 8.29 |
| 06860 | FINGERTANGO | 1,462,000 | 286,475,349 | 0.51 | 2,049,470 | 374,376,599 | 0.55 |
| 00142 | FIRST PACIFIC | 82,592,000 | 1,056,303,742 | 7.82 | 255,637,060 | 3,262,830,298 | 7.83 |
| 07328 | FISAMSUNGHSCFI | 4,371,800 | 15,810,200 | 27.65 | 20,954,006 | 76,538,732 | 27.38 |
| 07312 | FISAMSUNGHSI | 7,900,400 | 37,175,600 | 21.25 | 35,974,568 | 170,602,426 | 21.09 |
| 06088 | FIT HON TENG | 70,560,000 | 1,588,218,141 | 4.44 | 228,348,930 | 5,530,232,326 | 4.13 |
| 07288 | FL2 CSOP HSCEI | 121,282,400 | 314,770,800 | 38.53 | 969,202,298 | 2,504,974,380 | 38.69 |
| 07200 | FL2 CSOP HSI | 2,059,080,600 | 4,000,857,540 | 51.47 | 19,065,744,072 | 37,122,288,003 | 51.36 |
| 07230 | FL2 GX HSCEI | 407,700 | 5,100,800 | 7.99 | 3,402,889 | 43,434,132 | 7.83 |
| 07231 | FL2 GX HSI | 249,300 | 1,973,900 | 12.63 | 2,475,569 | 20,277,265 | 12.21 |
| 07267 | FL2CAMDXNHSCEI | 238,300 | 3,591,600 | 6.63 | 2,366,332 | 31,841,460 | 7.43 |
| 07221 | FL2CAMDXNHSI | 174,200 | 5,390,900 | 3.23 | 1,707,229 | 54,621,756 | 3.13 |
| 07261 | FL2CAMDXNNDQ100 | 2,300 | 3,120,900 | 0.07 | 70,070 | 94,693,580 | 0.07 |
| 07242 | FL2EFUND YTHSI | 290,600 | 2,637,300 | 11.02 | 2,040,303 | 17,046,177 | 11.97 |
| 07228 | FL2SAMSUNGHSCFI | 16,164,200 | 54,534,400 | 29.64 | 110,017,486 | 368,227,898 | 29.88 |
| 07205 | FL2SAMSUNGHSI | 4,848,200 | 23,263,000 | 20.84 | 37,557,422 | 184,177,622 | 20.39 |
| 06865 | FLAT GLASS | 11,964,000 | 1,081,227,160 | 1.11 | 49,106,460 | 3,959,193,313 | 1.24 |
| 02399 | FORDOO | 283,000 | 135,909,251 | 0.21 | 1,689,010 | 947,493,391 | 0.18 |
| 00778 | FORTUNE REIT | 46,302,000 | 785,100,190 | 5.90 | 458,295,980 | 7,664,630,979 | 5.98 |
| 00656 | FOSUN INTL | 222,115,000 | 2,756,220,540 | 8.06 | 2,380,124,425 | 30,163,916,012 | 7.89 |
| 02196 | FOSUN PHARMA | 104,684,500 | 816,705,622 | 12.82 | 2,513,323,460 | 19,657,910,030 | 12.79 |
| 01992 | FOSUN TOURISM | 2,781,200 | 42,542,147 | 6.54 | 27,121,332 | 516,122,958 | 5.25 |
| 01521 | FRONTAGE | 6,412,000 | 1,251,770,573 | 0.51 | 28,869,320 | 4,980,964,231 | 0.58 |
| 00500 | FRONTIER SER | 323,000 | 63,716,360 | 0.51 | 385,390 | 61,291,264 | 0.63 |
| 01448 | FU SHOU YUAN | 54,016,000 | 801,999,233 | 6.74 | 362,456,960 | 5,407,737,328 | 6.70 |
| 01349 | FUDANZHANGJIANG | 1,094,000 | 148,127,865 | 0.74 | 6,169,990 | 951,618,492 | 0.65 |
| 00546 | FUFENG GROUP | 33,836,000 | 657,491,383 | 5.15 | 132,793,890 | 2,547,067,076 | 5.21 |
| 00607 | FULLSHARE | 1,443,367,500 | 15,614,195,516 | 9.24 | 909,653,720 | 7,480,476,474 | 12.16 |
| 00627 | FULLSUN INT'L | 10,980,000 | 3,456,751,230 | 0.32 | 1,902,985 | 704,684,366 | 0.27 |
| 03606 | FUYAO GLASS | 48,798,000 | 334,437,852 | 14.59 | 1,191,584,336 | 8,201,314,688 | 14.53 |
| 02238 | GAC GROUP | 1,534,272,000 | 7,065,528,005 | 21.71 | 12,834,643,000 | 59,946,402,392 | 21.41 |
| 00027 | GALAXY ENT | 705,887,000 | 2,775,419,120 | 25.43 | 37,111,248,900 | 145,591,932,221 | 25.49 |
| 01772 | GANFENGLITHIUM | 9,078,600 | 268,043,402 | 3.39 | 117,774,822 | 3,969,120,023 | 2.97 |
| 00468 | GAPACK | 12,455,000 | 290,759,682 | 4.28 | 47,951,420 | 1,208,595,538 | 3.97 |
| 00451 | GCL NEWENERGY | 151,396,000 | 4,234,630,218 | 3.58 | 35,733,906 | 1,122,369,305 | 3.18 |
| 03800 | GCL-POLY ENERGY | 2,485,493,000 | 27,126,991,385 | 9.16 | 1,105,230,082 | 12,620,330,206 | 8.76 |
| 00175 | GEELY AUTO | 2,931,489,000 | 14,713,451,045 | 19.92 | 40,810,329,980 | 203,716,594,329 | 20.03 |
| 00535 | GEMDALE PPT | 75,498,000 | 5,490,850,136 | 1.37 | 69,086,060 | 4,961,677,797 | 1.39 |
| 01548 | GEMSCRIPT BIO | 222,866,000 | 1,544,079,801 | 14.43 | 3,723,901,760 | 26,712,933,582 | 13.94 |
| 00678 | GENTING HK | 32,359,000 | 1,293,316,086 | 2.50 | 37,763,850 | 1,573,124,081 | 2.40 |
| 00064 | GET NICE | 1,614,000 | 1,682,668,232 | 0.10 | 478,196 | 471,156,003 | 0.10 |
| 01776 | GF SEC | 229,120,000 | 1,944,873,613 | 11.78 | 2,375,627,426 | 19,997,369,326 | 11.88 |
| 00709 | GIORDANO INT'L | 35,290,000 | 482,731,165 | 7.31 | 114,855,420 | 1,560,400,663 | 7.36 |
| 00787 | GLOBAL BRANDS | 445,286,200 | 6,764,164,720 | 6.58 | 92,993,142 | 1,556,945,622 | 5.97 |
| 01282 | GLORY SUN FIN | 205,808,000 | 4,267,965,974 | 4.82 | 72,364,344 | 1,426,611,183 | 5.07 |
| 03308 | GOLDEN EAGLE | 1,689,000 | 45,668,488 | 3.70 | 14,709,260 | 402,258,470 | 3.66 |
| 01462 | GOLD-FIN HLDG | 1,414,000 | 3,052,993,671 | 0.05 | 587,950 | 460,852,134 | 0.13 |
| 00530 | GOLDIN FIN HOLD | 41,142,000 | 1,939,578,838 | 2.12 | 103,214,500 | 5,038,871,059 | 2.05 |
| 02208 | GOLDWIND | 221,483,200 | 1,508,915,597 | 14.68 | 2,051,914,582 | 13,853,935,900 | 14.81 |
| 00493 | GOME RETAIL | 1,874,980,000 | 14,355,943,210 | 13.06 | 1,418,355,120 | 10,911,673,712 | 13.00 |
| 01086 | GOODBABY INTL | 69,090,000 | 676,281,256 | 10.22 | 135,007,170 | 1,220,639,323 | 11.06 |
| 01293 | GRAND BAOXIN | 106,898,500 | 1,288,690,434 | 8.30 | 250,822,065 | 2,883,095,449 | 8.70 |
| 00041 | GREAT EAGLE H | 7,534,000 | 60,129,366 | 12.53 | 219,891,150 | 1,833,321,183 | 11.99 |
| 03683 | GREAT HARVEST | 7,010,000 | 385,477,500 | 1.82 | 11,408,100 | 655,590,817 | 1.74 |
| 02333 | GREATWALL MOTOR | 2,561,674,500 | 8,363,622,006 | 30.63 | 14,901,046,355 | 48,402,189,858 | 30.79 |
| 00337 | GREENLAND HK | 17,791,000 | 548,777,294 | 3.24 | 51,084,660 | 1,590,764,384 | 3.21 |
| 03900 | GREENTOWN CHINA | 172,701,000 | 1,472,133,097 | 11.73 | 1,104,595,615 | 9,719,456,194 | 11.36 |
| 02869 | GREENTOWN SER | 186,286,000 | 1,291,842,298 | 14.42 | 1,325,565,360 | 9,419,450,552 | 14.07 |
| 01051 | G-RESOURCES | 23,802,000 | 5,989,115,820 | 0.40 | 1,614,972 | 408,289,460 | 0.40 |
| 00989 | GROUND INTL DEV | 6,935,000 | 2,160,437,129 | 0.32 | 1,800,360 | 468,973,554 | 0.38 |
| 02611 | GTJA | 70,027,800 | 421,915,896 | 16.60 | 1,058,055,464 | 6,325,417,833 | 16.73 |
| 00270 | GUANGDONG INV | 149,672,000 | 1,959,568,431 | 7.64 | 2,385,771,560 | 30,684,503,582 | 7.78 |
| 00525 | GUANGSHEN RAIL | 70,192,000 | 689,202,356 | 10.18 | 197,962,040 | 1,977,426,843 | 10.01 |
| 00053 | GUOCO GROUP | 180,000 | 5,337,807 | 3.37 | 20,595,850 | 610,358,804 | 3.37 |
| 02329 | GUORUI PPT | 45,547,000 | 1,178,802,876 | 3.86 | 70,580,130 | 1,819,134,630 | 3.88 |
| 01788 | GUOTAI JUNAN I | 399,477,000 | 3,091,146,508 | 12.92 | 591,846,450 | 4,558,120,583 | 12.98 |
| 02820 | GX CN BIOTECH | 7,531,950 | 16,395,250 | 45.94 | 445,669,408 | 996,380,023 | 44.73 |
| 09820 | GX CN BIOTECH-U | 3,604,200 | 11,194,480 | 32.20 | 212,912,439 | 680,985,342 | 31.27 |
| 02826 | GX CN CLOUD | 4,141,900 | 9,290,700 | 44.58 | 245,759,971 | 560,861,928 | 43.82 |
| 09826 | GX CN CLOUD-U | 1,140,750 | 4,127,625 | 27.64 | 67,615,945 | 252,697,515 | 26.76 |
| 03110 | GX HS HIGH DIV | 114,200 | 3,012,370 | 3.79 | 3,476,025 | 85,409,396 | 4.07 |
| 03040 | GX MSCI CHINA | 160,900 | 18,908,047 | 0.85 | 4,808,000 | 600,828,289 | 0.80 |
| 01112 | H&H INTL HLDG | 45,835,000 | 238,826,750 | 19.19 | 1,887,112,875 | 9,791,107,152 | 19.27 |
| 02255 | HAICHANG HLDG | 19,387,000 | 317,484,225 | 6.11 | 22,803,540 | 392,234,158 | 5.81 |
| 06862 | HAIDILAO | 177,457,000 | 935,814,773 | 18.96 | 5,504,534,880 | 28,355,778,034 | 19.41 |
| 01169 | HAIER ELEC | 216,776,000 | 1,270,358,372 | 17.06 | 4,723,731,860 | 27,403,467,390 | 17.24 |
| 08227 | HAITIAN ANTENNA | 91,000 | 254,071,456 | 0.04 | 175,220 | 905,999,504 | 0.02 |
| 01882 | HAITIAN INT'L | 58,859,000 | 361,446,788 | 16.28 | 982,291,930 | 6,143,455,208 | 15.99 |
| 00665 | HAITONG INT'L | 413,830,000 | 3,133,860,033 | 13.21 | 1,120,630,720 | 8,429,121,748 | 13.29 |
| 06837 | HAITONG SEC | 649,135,600 | 4,146,934,249 | 15.65 | 6,030,360,780 | 37,844,515,479 | 15.93 |
| 00010 | HANG LUNG GROUP | 58,066,000 | 514,960,607 | 11.28 | 1,277,832,530 | 10,712,633,098 | 11.93 |
| 00101 | HANG LUNG PPT | 258,809,000 | 1,449,760,111 | 17.85 | 4,638,752,090 | 25,801,800,193 | 17.98 |
| 00011 | HANG SENG BANK | 91,416,800 | 420,929,724 | 21.72 | 16,046,217,990 | 75,039,407,871 | 21.38 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|------------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 03692 | HANSOH PHARMA | 29,030,000 | 800,503,496 | 3.63 | 708,454,600 | 17,951,912,323 | 3.95 |
| 06826 | HAOHA BIOTEC | 2,949,100 | 38,724,914 | 7.62 | 135,906,315 | 1,757,789,401 | 7.73 |
| 06138 | HARBIN BANK | 115,000 | 50,117,000 | 0.23 | 204,090 | 85,738,310 | 0.24 |
| 01133 | HARBIN ELECTRIC | 70,084,000 | 1,015,774,500 | 6.90 | 257,158,260 | 3,591,634,103 | 7.16 |
| 00051 | HARBOUR CENTRE | 3,000 | 2,636,486 | 0.11 | 42,900 | 37,104,352 | 0.12 |
| 03836 | HARMONY AUTO | 33,719,500 | 547,243,372 | 6.16 | 90,589,830 | 1,581,595,441 | 5.73 |
| 02280 | HC GROUP | 57,749,500 | 627,228,965 | 9.21 | 185,411,115 | 2,012,586,839 | 9.21 |
| 01727 | HEBEI CONS | 10,923,000 | 751,066,725 | 1.45 | 58,325,735 | 4,371,088,435 | 1.33 |
| 01558 | HEC PHARM | 35,525,000 | 546,676,373 | 6.50 | 1,311,772,310 | 20,807,647,840 | 6.30 |
| 00012 | HENDERSON LAND | 250,686,000 | 1,374,710,654 | 18.24 | 10,275,634,000 | 57,459,601,764 | 17.88 |
| 01044 | HENGAN INT'L | 184,487,500 | 802,440,069 | 22.99 | 10,913,130,025 | 46,889,822,777 | 23.27 |
| 00136 | HENG TEN NET | 2,381,068,000 | 23,396,926,120 | 10.18 | 419,745,836 | 4,062,023,559 | 10.33 |
| 02303 | HENGXING GOLD | 3,150,000 | 129,825,564 | 2.43 | 18,246,520 | 861,335,951 | 2.12 |
| 03118 | HGI MSCI CN A | 7,600 | 1,909,780 | 0.40 | 110,246 | 27,587,919 | 0.40 |
| 83118 | HGI MSCI CN A-R | 40,800 | 1,942,198 | 2.10 | 555,329 | 27,710,290 | 2.00 |
| 00818 | HI SUN TECH | 6,891,000 | 447,031,399 | 1.54 | 8,790,000 | 576,592,205 | 1.52 |
| 00921 | HISENSE HA | 34,558,000 | 570,204,954 | 6.06 | 306,982,010 | 5,152,405,137 | 5.96 |
| 00003 | HK & CHINA GAS | 864,510,000 | 4,210,868,963 | 20.53 | 14,380,131,060 | 70,732,710,557 | 20.33 |
| 02322 | HK CHAOSHANG GP | 1,424,000 | 563,850,000 | 0.25 | 963,840 | 342,891,655 | 0.28 |
| 00050 | HK FERRY (HOLD) | 5,000 | 11,710,811 | 0.04 | 38,450 | 93,800,336 | 0.04 |
| 00007 | HK FINANCE INV | 18,310,000 | 1,641,369,770 | 1.12 | 15,547,540 | 1,457,385,730 | 1.07 |
| 00045 | HK&S HOTELS | 21,463,500 | 117,091,380 | 18.33 | 187,838,345 | 1,046,599,750 | 17.95 |
| 01310 | HKBN | 39,420,500 | 547,907,511 | 7.19 | 542,101,700 | 7,372,886,367 | 7.35 |
| 02638 | HKELECTRIC-SS | 126,697,000 | 1,079,401,953 | 11.74 | 989,689,590 | 8,428,753,315 | 11.74 |
| 00388 | HKEX | 190,916,700 | 1,198,686,024 | 15.93 | 48,280,043,260 | 304,271,680,960 | 15.87 |
| 00480 | HKR INT'L | 12,875,200 | 156,625,378 | 8.22 | 49,812,096 | 621,182,657 | 8.02 |
| 06823 | HKT-SS | 152,488,000 | 1,875,727,638 | 8.13 | 1,861,538,140 | 22,815,512,653 | 8.16 |
| 01137 | HKTV | 35,691,000 | 718,447,865 | 4.97 | 126,704,310 | 2,638,205,301 | 4.80 |
| 00958 | HN RENEWABLES | 392,820,000 | 6,238,100,063 | 6.30 | 942,904,420 | 15,879,449,441 | 5.94 |
| 08137 | HONBRIDGE | 25,758,000 | 624,406,484 | 4.13 | 20,934,970 | 476,619,876 | 4.39 |
| 00196 | HONGHUA GROUP | 25,179,000 | 1,302,122,715 | 1.93 | 14,934,975 | 749,509,455 | 1.99 |
| 06858 | HONMAGOLF | 1,024,500 | 170,497,651 | 0.60 | 8,461,970 | 1,274,585,295 | 0.66 |
| 02226 | HONWORLD GP | 895,000 | 149,322,291 | 0.60 | 3,685,770 | 629,332,597 | 0.59 |
| 01765 | HOPE EDU | 103,010,000 | 3,098,430,076 | 3.32 | 127,706,100 | 3,702,288,257 | 3.45 |
| 00054 | HOPEWELL HOLD | 6,004,000 | 193,597,513 | 3.10 | 217,581,200 | 7,194,925,024 | 3.02 |
| 00754 | HOPEWORLD HOLD | 8,442,000 | 303,882,775 | 2.78 | 66,085,680 | 2,393,195,814 | 2.76 |
| 03128 | HS AINDTOPETF | 3,300 | 866,013 | 0.38 | 151,035 | 46,586,231 | 0.32 |
| 83128 | HS AINDTOPETF-R | 4,000 | 464,400 | 0.86 | 209,720 | 24,734,753 | 0.85 |
| 02833 | HS HSI ETF | 801,000 | 36,136,159 | 2.22 | 22,265,970 | 1,035,142,093 | 2.15 |
| 82833 | HS HSI ETF-R | 2,848,700 | 16,651,800 | 17.11 | 78,119,020 | 472,894,404 | 16.52 |
| 00005 | HSBC HOLDINGS | 1,164,016,800 | 4,725,637,417 | 24.63 | 72,024,951,780 | 294,958,706,199 | 24.42 |
| 02828 | HSCEI ETF | 236,727,800 | 735,411,188 | 32.19 | 25,665,759,140 | 79,868,821,896 | 32.19 |
| 82828 | HSCEI ETF-R | 527,200 | 2,147,975 | 24.54 | 55,375,506 | 231,219,124 | 23.95 |
| 82811 | HT CSI300 ETF-R | 12,800 | 153,400 | 8.34 | 199,114 | 2,295,615 | 8.67 |
| 06886 | HTSC | 347,255,800 | 2,497,447,035 | 13.90 | 4,734,346,548 | 34,486,618,190 | 13.73 |
| 01347 | HUA HONG SEMI | 236,623,000 | 1,589,057,361 | 14.89 | 3,740,281,070 | 25,804,997,294 | 14.49 |
| 02552 | HUA MEDICINE-B | 1,483,000 | 101,680,137 | 1.46 | 9,888,540 | 692,554,690 | 1.43 |
| 00336 | HUABAO INTL | 35,802,000 | 654,664,524 | 5.47 | 118,048,850 | 2,193,776,792 | 5.38 |
| 00816 | HUADIAN FUXIN | 25,846,000 | 1,008,653,795 | 2.56 | 41,202,900 | 1,572,326,721 | 2.62 |
| 01071 | HUADIAN POWER | 200,990,000 | 1,671,107,207 | 12.03 | 643,832,340 | 5,441,894,083 | 11.83 |
| 00902 | HUANENG POWER | 798,574,000 | 6,348,358,937 | 12.58 | 3,662,367,180 | 28,492,253,385 | 12.85 |
| 01003 | HUANXI MEDIA | 8,370,000 | 378,664,500 | 2.21 | 12,589,600 | 571,301,149 | 2.20 |
| 00419 | HUAYI TENCENT | 86,120,000 | 2,852,016,839 | 3.02 | 14,476,170 | 521,109,566 | 2.78 |
| 01673 | HUAZHANG TECH | 68,000 | 75,919,000 | 0.09 | 254,000 | 298,874,870 | 0.08 |
| 87001 | HUI XIAN REIT | 522,000 | 742,875,171 | 0.07 | 1,921,551 | 2,754,394,195 | 0.07 |
| 01806 | HUIFU PAYMENT | 15,146,800 | 346,261,615 | 4.37 | 54,129,024 | 1,197,308,574 | 4.52 |
| 03698 | HUIZHANG BANK | 312,000 | 64,533,659 | 0.48 | 952,530 | 200,879,911 | 0.47 |
| 00215 | HUTCHTEL HK | 164,188,000 | 1,579,527,269 | 10.39 | 389,108,160 | 3,720,047,130 | 10.46 |
| 01188 | HYBRID KINETIC | 6,530,000 | 4,332,528,934 | 0.15 | 313,638 | 152,046,778 | 0.21 |
| 00014 | HYSAN DEV | 63,371,000 | 449,103,118 | 14.11 | 2,057,604,550 | 15,397,553,918 | 13.36 |
| 00999 | I.T | 3,622,000 | 87,234,224 | 4.15 | 10,018,260 | 250,006,707 | 4.01 |
| 00147 | IB SETTLEMENT | 45,000 | 85,283,785 | 0.05 | 5,750 | 13,884,675 | 0.04 |
| 01398 | ICBC | 15,365,710,000 | 53,083,534,918 | 28.95 | 86,210,869,890 | 298,605,150,413 | 28.87 |
| 01119 | IDREAMSKY | 7,371,600 | 496,648,252 | 1.48 | 33,982,476 | 2,766,314,505 | 1.23 |
| 00799 | IGG | 203,503,000 | 1,715,751,363 | 11.86 | 1,593,506,060 | 13,533,976,166 | 11.77 |
| 01970 | IMAX CHINA | 9,141,000 | 93,955,270 | 9.73 | 176,998,279 | 1,835,395,119 | 9.64 |
| 01076 | IMPERIAL PAC | 502,860,000 | 25,751,276,893 | 1.95 | 87,139,620 | 4,134,962,269 | 2.11 |
| 01286 | IMPRO PRECISION | 1,296,000 | 697,983,801 | 0.19 | 3,726,440 | 2,718,565,929 | 0.14 |
| 03700 | INKE | 35,586,000 | 1,203,479,466 | 2.96 | 70,941,110 | 2,215,774,905 | 3.20 |
| 01801 | INNOVENT BIO-B | 73,902,000 | 868,867,667 | 8.51 | 1,974,032,250 | 22,320,898,703 | 8.84 |
| 00596 | INSPUR INT'L | 30,376,000 | 438,219,566 | 6.93 | 114,588,020 | 1,722,787,018 | 6.65 |
| 02823 | ISHARES A50 | 5,144,090,000 | 10,409,421,356 | 49.42 | 72,995,193,910 | 148,567,594,350 | 49.13 |
| 82823 | ISHARES A50-R | 25,735,000 | 29,334,453 | 87.73 | 348,268,912 | 399,082,904 | 87.27 |
| 03010 | ISHARES AXJ | 164,700 | 14,404,469 | 1.14 | 8,590,140 | 740,600,553 | 1.16 |
| 83010 | ISHARES AXJ-R | 25,000 | 5,585,900 | 0.45 | 1,263,966 | 283,888,310 | 0.45 |
| 09010 | ISHARES AXJ-U | 56,200 | 12,725,408 | 0.44 | 2,905,113 | 674,486,656 | 0.43 |
| 02801 | ISHARES CHINA | 679,800 | 55,518,758 | 1.22 | 16,121,780 | 1,395,321,832 | 1.16 |
| 02802 | ISHARES EM ASIA | 10,000 | 2,164,217 | 0.46 | 523,800 | 110,882,473 | 0.47 |
| 02836 | ISHARES INDIA | 6,000 | 12,487,391 | 0.05 | 154,480 | 317,181,286 | 0.05 |
| 82836 | ISHARES INDIA-R | 11,000 | 1,762,800 | 0.62 | 280,494 | 44,603,526 | 0.63 |
| 09836 | ISHARES INDIA-U | 14,000 | 389,400 | 3.60 | 369,025 | 9,830,944 | 3.75 |
| 02846 | ISHARES CSI300 | 15,200 | 5,611,377 | 0.27 | 417,200 | 155,508,551 | 0.27 |
| 82846 | ISHARES CSI300-R | 4,500 | 412,000 | 1.09 | 110,643 | 11,394,355 | 0.97 |
| 09846 | ISHARES CSI300-U | 20,000 | 411,900 | 4.86 | 520,168 | 11,262,126 | 4.62 |
| 03146 | ISHARES DAX | 9,400 | 767,600 | 1.22 | 873,350 | 53,538,645 | 1.63 |
| 83146 | ISHARES DAX-R | 9,900 | 256,800 | 3.86 | 914,247 | 20,335,552 | 4.50 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 09146 | ISHARESDAX-U | 3,400 | 138,200 | 2.46 | 319,446 | 10,431,544 | 3.06 |
| 03155 | ISHARESE50 | 28,300 | 805,052 | 3.52 | 1,393,700 | 54,463,321 | 2.56 |
| 83155 | ISHARESE50-R | 4,600 | 387,200 | 1.19 | 429,202 | 34,410,347 | 1.25 |
| 09155 | ISHARESE50-U | 200 | 170,600 | 0.12 | 19,769 | 13,139,958 | 0.15 |
| 02847 | ISHARESF5100 | 9,500 | 707,030 | 1.34 | 478,200 | 40,866,711 | 1.17 |
| 82847 | ISHARESF5100-R | 2,500 | 172,600 | 1.45 | 222,157 | 13,457,527 | 1.65 |
| 09847 | ISHARESF5100-U | 2,500 | 121,020 | 2.07 | 240,872 | 8,648,998 | 2.78 |
| 03115 | ISHARESHSI | 126,520 | 2,267,180 | 5.58 | 12,278,656 | 225,511,518 | 5.44 |
| 83115 | ISHARESHSI-R | 26,800 | 1,000,310 | 2.68 | 2,624,428 | 100,202,693 | 2.62 |
| 09115 | ISHARESHSI-U | 19,450 | 252,060 | 7.72 | 1,880,830 | 25,234,168 | 7.45 |
| 03170 | ISHARESKS200 | 10,400 | 456,850 | 2.28 | 989,000 | 38,190,325 | 2.59 |
| 83170 | ISHARESKS200-R | 23,200 | 273,280 | 8.49 | 1,784,379 | 23,266,736 | 7.67 |
| 09170 | ISHARESKS200-U | 12,200 | 77,595 | 15.72 | 1,151,404 | 7,211,417 | 15.97 |
| 03074 | ISHAREMSCITW | 16,400 | 589,250 | 2.78 | 1,754,880 | 64,665,223 | 2.71 |
| 83074 | ISHAREMSCITW-R | 29,900 | 238,170 | 12.55 | 3,213,023 | 25,206,150 | 12.75 |
| 09074 | ISHAREMSCITW-U | 24,000 | 3,777,779 | 0.64 | 2,500,144 | 376,303,751 | 0.66 |
| 02834 | ISHARESD100 | 15,200 | 921,478 | 1.65 | 1,504,880 | 94,103,528 | 1.60 |
| 82834 | ISHARESD100-R | 9,600 | 158,790 | 6.05 | 1,354,227 | 22,234,496 | 6.09 |
| 09834 | ISHARESD100-U | 3,000 | 151,300 | 1.98 | 418,205 | 20,537,395 | 2.04 |
| 02633 | JACOBSON PHARMA | 278,000 | 424,579,999 | 0.07 | 430,580 | 607,657,299 | 0.07 |
| 01366 | JIANGNAN GP | 174,000 | 953,963,116 | 0.02 | 61,660 | 325,276,758 | 0.02 |
| 00177 | JIANGSU EXPRESS | 60,186,000 | 645,376,664 | 9.33 | 655,295,480 | 6,968,896,269 | 9.40 |
| 01916 | JIANGXI BANK | 11,885,000 | 195,540,254 | 6.08 | 68,333,495 | 1,085,600,278 | 6.29 |
| 00358 | JIANGXI COPPER | 239,280,000 | 1,084,513,161 | 22.06 | 2,419,324,570 | 10,788,403,369 | 22.43 |
| 02768 | JIAYUAN INTL | 234,268,000 | 2,796,880,218 | 8.38 | 949,954,180 | 11,003,553,885 | 8.63 |
| 02362 | JINCHUAN INTL | 90,820,000 | 1,918,665,545 | 4.73 | 61,882,200 | 1,308,591,364 | 4.73 |
| 01862 | JINGRUI HLDGS | 8,798,000 | 572,698,335 | 1.54 | 21,264,190 | 1,396,622,446 | 1.52 |
| 02006 | JINJIANGCAPITAL | 58,422,000 | 1,378,739,245 | 4.24 | 96,730,160 | 2,189,528,468 | 4.42 |
| 06122 | JIUTAI RCB | 512,000 | 227,999,890 | 0.22 | 1,589,760 | 761,003,240 | 0.21 |
| 03306 | JNBY | 14,535,500 | 198,638,151 | 7.32 | 186,477,385 | 2,561,081,142 | 7.28 |
| 00579 | JNCEC | 17,308,000 | 452,075,792 | 3.83 | 26,157,660 | 682,787,932 | 3.83 |
| 00179 | JOHNSON ELEC H | 17,956,500 | 191,860,982 | 9.36 | 284,767,220 | 3,148,639,993 | 9.04 |
| 00207 | JOY CITY PPT | 17,404,000 | 876,572,939 | 1.99 | 16,202,880 | 830,667,757 | 1.95 |
| 03336 | JU TENG INTL | 2,974,000 | 142,136,899 | 2.09 | 6,102,940 | 287,013,064 | 2.13 |
| 01877 | JUNSHI BIO-B | 5,538,200 | 89,909,914 | 6.16 | 160,125,610 | 2,474,462,971 | 6.47 |
| 01951 | JXR | 37,226,000 | 1,398,381,893 | 2.66 | 442,303,280 | 15,128,565,868 | 2.92 |
| 00173 | K. WAH INT'L | 21,515,000 | 603,851,632 | 3.56 | 93,273,420 | 2,649,995,879 | 3.52 |
| 01638 | KAISA GROUP | 347,823,000 | 3,724,098,689 | 9.34 | 1,149,075,030 | 12,246,165,453 | 9.38 |
| 00496 | KASEN | 84,657,000 | 1,250,782,767 | 6.77 | 432,420,510 | 4,852,109,010 | 8.91 |
| 01888 | KB LAMINATES | 177,343,500 | 1,735,274,065 | 10.22 | 1,398,150,785 | 13,857,688,519 | 10.09 |
| 00636 | KERRY LOG NET | 22,971,000 | 227,133,742 | 10.11 | 303,386,330 | 2,976,086,518 | 10.19 |
| 00683 | KERRY PPT | 57,751,500 | 427,256,148 | 13.52 | 1,720,818,675 | 12,357,115,303 | 13.93 |
| 00148 | KINGBOARD HLDG | 48,449,500 | 473,736,743 | 10.23 | 1,111,783,520 | 10,867,302,793 | 10.23 |
| 00268 | KINGDEE INT'L | 788,163,000 | 4,999,645,926 | 15.76 | 6,447,945,980 | 41,462,172,037 | 15.55 |
| 03888 | KINGSOFT | 674,170,000 | 3,139,721,064 | 21.47 | 11,723,962,890 | 55,128,665,233 | 21.27 |
| 01031 | KINGSTON FIN | 1,966,000 | 122,780,614 | 1.60 | 3,479,740 | 217,779,011 | 1.60 |
| 01797 | KOOLEARN | 73,463,000 | 713,329,324 | 10.30 | 1,281,627,820 | 10,766,138,254 | 11.90 |
| 00034 | KOWLOON DEV | 249,000 | 44,160,387 | 0.56 | 2,532,380 | 423,944,594 | 0.60 |
| 00439 | KUANGCHI | 15,955,000 | 1,068,377,077 | 1.49 | 9,737,040 | 634,000,952 | 1.54 |
| 00135 | KUNLUN ENERGY | 672,290,000 | 4,638,206,016 | 14.49 | 5,027,635,640 | 34,767,424,037 | 14.46 |
| 01813 | KWG GROUP | 366,972,500 | 2,312,977,935 | 15.87 | 2,984,806,445 | 18,611,467,470 | 16.04 |
| 00746 | L & M CHEMICAL | 12,000 | 32,342,655 | 0.04 | 65,400 | 148,101,756 | 0.04 |
| 06116 | LA CHAPELLE | 465,000 | 272,235,310 | 0.17 | 2,220,700 | 621,709,829 | 0.36 |
| 00488 | LA SUN DEV | 6,376,500 | 51,977,880 | 12.27 | 78,684,540 | 600,687,710 | 13.10 |
| 00106 | LANDSEA PPT | 1,796,000 | 170,814,221 | 1.05 | 1,514,480 | 160,997,922 | 0.94 |
| 01270 | LANGHAM-SS | 5,464,500 | 197,445,397 | 2.77 | 14,459,340 | 534,734,007 | 2.70 |
| 02488 | LAUNCH TECH | 486,000 | 48,554,800 | 1.00 | 3,248,365 | 265,770,664 | 1.22 |
| 02314 | LEE & MAN PAPER | 192,048,000 | 1,169,081,405 | 16.43 | 1,043,750,670 | 6,469,136,616 | 16.13 |
| 00950 | LEE'S PHARM | 12,102,500 | 136,075,886 | 8.89 | 58,850,440 | 690,525,966 | 8.52 |
| 03396 | LEGENDHOLDING | 24,916,200 | 226,685,980 | 10.99 | 479,759,454 | 4,520,538,887 | 10.61 |
| 00992 | LENOVO GROUP | 2,003,194,000 | 10,383,058,839 | 19.29 | 12,107,434,380 | 62,788,057,544 | 19.28 |
| 00112 | LERTHAI GROUP | 140,000 | 32,181,014 | 0.44 | 1,148,020 | 229,073,506 | 0.50 |
| 01089 | LEYOU TECH H | 56,705,000 | 884,097,161 | 6.41 | 135,247,000 | 2,131,770,363 | 6.34 |
| 00494 | LI & FUNG | 621,510,000 | 6,269,482,872 | 9.91 | 731,391,180 | 7,013,796,584 | 10.43 |
| 02331 | LI NING | 242,739,000 | 3,714,822,272 | 6.53 | 3,694,259,270 | 61,343,879,304 | 6.02 |
| 02136 | LIFESTYLE CHI | 5,729,000 | 132,588,288 | 4.32 | 15,634,890 | 354,000,066 | 4.42 |
| 01212 | LIFESTYLE INT'L | 49,402,000 | 374,400,660 | 13.19 | 513,155,790 | 4,023,031,397 | 12.76 |
| 01302 | LIFETECH SCI | 87,534,000 | 1,211,940,367 | 7.22 | 130,766,780 | 1,824,564,371 | 7.17 |
| 00823 | LINK REIT-100 | 203,304,000 | 1,389,557,435 | 14.63 | 18,264,078,075 | 122,183,484,268 | 14.95 |
| 02824 | LIPPO HK&M PROP | 14,200 | 116,400 | 12.20 | 1,172,225 | 9,536,565 | 12.29 |
| 00194 | LIU CHONG HING | 2,178,000 | 21,987,933 | 9.91 | 27,086,200 | 269,902,179 | 10.04 |
| 01513 | LIVZON PHARMA | 15,378,500 | 246,512,245 | 6.24 | 369,239,517 | 5,822,763,028 | 6.34 |
| 00973 | L'OCCITANE | 8,775,750 | 178,304,808 | 4.92 | 136,800,489 | 2,822,319,198 | 4.85 |
| 03380 | LOGAN PPT | 204,106,000 | 1,425,724,062 | 14.32 | 2,373,412,400 | 16,750,906,908 | 14.17 |
| 00960 | LONGFOR GROUP | 191,213,500 | 1,546,509,346 | 12.36 | 5,442,569,450 | 42,652,473,854 | 12.76 |
| 03339 | LONKING | 218,111,000 | 2,801,199,122 | 7.79 | 480,481,890 | 6,499,531,292 | 7.39 |
| 00590 | LUK FOOK HOLD | 29,606,000 | 144,794,644 | 20.45 | 674,546,840 | 3,314,266,607 | 20.35 |
| 02186 | LUYE PHARMA | 354,473,000 | 3,042,621,351 | 11.65 | 2,146,134,380 | 18,634,799,965 | 11.52 |
| 00095 | LVGEM CHINA | 39,728,000 | 1,319,534,913 | 3.01 | 104,935,880 | 3,462,885,560 | 3.03 |
| 00323 | MAANSHAN IRON | 837,640,000 | 4,044,216,707 | 20.71 | 2,790,237,520 | 13,609,624,394 | 20.50 |
| 01680 | MACAU LEGEND | 46,368,000 | 613,604,394 | 7.56 | 51,307,150 | 689,379,920 | 7.44 |
| 08057 | MADISON HLDG | 6,136,000 | 2,405,994,399 | 0.26 | 4,499,000 | 1,502,284,769 | 0.30 |
| 01999 | MAN WAH HLDGS | 378,210,000 | 2,597,064,780 | 14.56 | 1,512,583,188 | 10,872,672,199 | 13.91 |
| 01896 | MAOYAN ENT | 9,477,600 | 375,506,331 | 2.52 | 118,899,320 | 5,152,253,131 | 2.31 |
| 01317 | MAPLELEAF EDU | 303,554,000 | 3,352,421,813 | 9.05 | 941,461,480 | 10,673,897,037 | 8.82 |
| 00273 | MASON GP HOLD | 184,280,000 | 6,114,565,415 | 3.01 | 22,449,420 | 758,772,896 | 2.96 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 01618 | MCC | 81,621,000 | 1,129,175,523 | 7.23 | 165,234,950 | 2,257,661,099 | 7.32 |
| 01268 | MEIDONG AUTO | 9,744,000 | 199,312,698 | 4.89 | 60,400,040 | 1,198,923,943 | 5.04 |
| 02327 | MEILLEUREHEALTH | 9,996,000 | 5,018,007,427 | 0.20 | 5,341,680 | 4,380,371,144 | 0.12 |
| 03690 | MEITUAN-W | 791,189,700 | 4,491,786,095 | 17.61 | 54,736,080,180 | 325,215,564,694 | 16.83 |
| 00200 | MELCO INT'L DEV | 97,575,000 | 751,733,282 | 12.98 | 1,790,930,600 | 13,958,006,169 | 12.83 |
| 02319 | MENGNU DAIRY | 415,220,000 | 2,398,960,604 | 17.31 | 12,103,012,300 | 69,345,785,581 | 17.45 |
| 02282 | MGM CHINA | 345,696,000 | 1,524,931,027 | 22.67 | 4,727,466,656 | 20,687,905,941 | 22.85 |
| 00853 | MICROPORT | 189,909,000 | 967,442,366 | 19.63 | 1,325,041,590 | 6,905,426,465 | 19.19 |
| 03990 | MIDEA REAL EST | 6,826,400 | 326,198,035 | 2.09 | 139,821,366 | 6,649,375,183 | 2.10 |
| 01106 | MING LAM HLDGS | 24,700,000 | 10,008,720,546 | 0.25 | 2,040,840 | 504,805,044 | 0.40 |
| 00230 | MINMETALS LAND | 21,872,000 | 185,462,993 | 11.79 | 26,964,600 | 239,201,806 | 11.27 |
| 01988 | MINSHENG BANK | 1,118,072,000 | 4,680,813,510 | 23.89 | 6,245,818,625 | 26,436,043,203 | 23.63 |
| 01569 | MINSHENG EDU | 17,006,000 | 965,264,634 | 1.76 | 25,177,700 | 1,509,967,990 | 1.67 |
| 00425 | MINTH GROUP | 174,598,000 | 903,820,105 | 19.32 | 4,398,621,340 | 22,705,184,634 | 19.37 |
| 00071 | MIRAMAR HOTEL | 43,000 | 15,977,075 | 0.27 | 695,680 | 255,471,279 | 0.27 |
| 01208 | MMG | 786,900,000 | 4,271,547,674 | 18.42 | 1,954,477,720 | 10,548,567,226 | 18.53 |
| 01860 | MOBVISTA | 2,296,000 | 235,880,158 | 0.97 | 8,228,750 | 941,299,465 | 0.87 |
| 01107 | MODERN LAND | 1,926,000 | 171,464,028 | 1.12 | 2,155,940 | 199,200,023 | 1.08 |
| 00066 | MTR CORPORATION | 233,724,000 | 1,093,570,505 | 21.37 | 11,007,066,275 | 51,220,319,630 | 21.49 |
| 03918 | NAGACORP | 63,628,000 | 1,119,225,937 | 5.69 | 688,015,140 | 12,190,882,428 | 5.64 |
| 00680 | NAN HAI CORP | 114,450,000 | 3,388,933,211 | 3.38 | 14,002,650 | 405,786,341 | 3.45 |
| 01617 | NANFANG COMM | 3,872,000 | 187,524,831 | 2.06 | 18,260,960 | 903,188,435 | 2.02 |
| 01837 | NATURAL FOOD IH | 756,000 | 1,330,551,946 | 0.06 | 1,129,420 | 2,301,962,787 | 0.05 |
| 02083 | NATURE HOME | 482,000 | 310,835,784 | 0.16 | 756,240 | 432,189,189 | 0.17 |
| 01518 | NC HEALTHCARE | 3,500 | 4,582,593 | 0.08 | 12,375 | 19,466,905 | 0.06 |
| 01336 | NCI | 250,951,300 | 2,214,245,051 | 11.33 | 9,193,937,520 | 78,988,402,245 | 11.64 |
| 02689 | ND PAPER | 503,459,000 | 2,449,754,127 | 20.55 | 3,610,490,140 | 17,928,146,764 | 20.14 |
| 00777 | NETDRAGON | 12,931,000 | 204,368,608 | 6.33 | 254,337,400 | 3,992,307,735 | 6.37 |
| 00456 | NEW CITY DEV | 3,160,000 | 2,520,449,160 | 0.13 | 760,420 | 672,986,781 | 0.11 |
| 02001 | NEW HIGHER EDU | 141,087,000 | 1,675,183,757 | 8.42 | 517,397,030 | 5,886,023,778 | 8.79 |
| 00472 | NEW SILKROAD | 100,000 | 76,152,724 | 0.13 | 55,250 | 36,981,873 | 0.15 |
| 00017 | NEW WORLD DEV | 731,175,000 | 4,503,349,206 | 16.24 | 8,231,155,350 | 50,901,625,499 | 16.17 |
| 00342 | NEWOCEAN ENERGY | 49,306,000 | 363,785,615 | 13.55 | 82,553,080 | 660,998,420 | 12.49 |
| 01316 | NEXTEER | 160,726,000 | 1,127,217,316 | 14.26 | 1,449,949,990 | 10,011,712,015 | 14.48 |
| 03072 | NIKKOAM INET | 118,490 | 271,890 | 43.58 | 9,398,228 | 21,964,325 | 42.79 |
| 09072 | NIKKOAM INET-U | 38,890 | 183,820 | 21.16 | 3,071,533 | 15,071,608 | 20.38 |
| 01475 | NISSIN FOODS | 27,203,000 | 845,507,797 | 3.22 | 173,926,230 | 4,782,174,501 | 3.64 |
| 01360 | NOVA GROUP | 95,385,000 | 1,794,590,828 | 5.32 | 311,826,300 | 6,024,563,513 | 5.18 |
| 02222 | NVC LIGHTING | 42,879,000 | 1,454,879,055 | 2.95 | 16,087,258 | 968,943,723 | 1.66 |
| 00659 | NWS HOLDINGS | 85,277,000 | 814,386,713 | 10.47 | 1,286,229,260 | 12,487,096,281 | 10.30 |
| 00877 | O-NET TECH GP | 17,645,000 | 301,328,576 | 5.86 | 73,938,000 | 1,271,460,433 | 5.82 |
| 00316 | OIL | 3,000 | 2,439,315 | 0.12 | 124,850 | 117,742,750 | 0.11 |
| 01140 | OP FINANCIAL | 19,012,000 | 375,992,168 | 5.06 | 41,254,080 | 781,922,443 | 5.28 |
| 00622 | OSHDORI | 60,393,000 | 2,131,839,975 | 2.83 | 53,334,285 | 1,910,380,388 | 2.79 |
| 02014 | OSZNER WATER | 4,785,000 | 291,302,825 | 1.64 | 6,249,070 | 388,028,040 | 1.61 |
| 03023 | PA AI&ROBOTICS | 1,000 | 77,800 | 1.29 | 75,875 | 6,594,580 | 1.15 |
| 01833 | PA GOODDOCTOR | 128,781,900 | 880,966,885 | 14.62 | 6,050,825,035 | 41,970,961,777 | 14.42 |
| 03166 | PA QLTLY FACTOR | 500 | 27,200 | 1.84 | 15,175 | 865,845 | 1.75 |
| 02343 | PACIFIC BASIN | 576,488,000 | 3,789,638,013 | 15.21 | 933,511,150 | 6,122,165,633 | 15.25 |
| 01382 | PACIFICETILES | 30,302,000 | 455,232,607 | 6.66 | 185,053,890 | 2,856,872,230 | 6.48 |
| 01561 | PAN ASIA DATA H | 12,000 | 158,476,468 | 0.01 | 34,680 | 526,634,067 | 0.01 |
| 00686 | PANDA GREEN | 108,224,000 | 2,009,839,075 | 5.38 | 32,943,774 | 612,796,353 | 5.38 |
| 00327 | PAX GLOBAL | 8,960,000 | 321,363,783 | 2.79 | 30,311,730 | 1,100,934,400 | 2.75 |
| 00008 | PCCW | 364,044,000 | 2,215,406,063 | 16.43 | 1,660,914,150 | 10,178,756,331 | 16.32 |
| 00857 | PETROCHINA | 2,994,146,000 | 21,306,169,824 | 14.05 | 13,498,879,380 | 94,855,201,635 | 14.23 |
| 02008 | PHOENIX TV | 90,000 | 245,674,836 | 0.04 | 61,140 | 202,941,107 | 0.03 |
| 01339 | PICC GROUP | 940,095,000 | 10,891,982,595 | 8.63 | 3,065,534,910 | 36,024,064,296 | 8.51 |
| 02328 | PICC P&C | 1,293,584,000 | 7,423,253,172 | 17.43 | 11,551,055,920 | 65,993,950,363 | 17.50 |
| 00752 | PICO FAR EAST | 294,000 | 142,900,339 | 0.21 | 806,160 | 367,379,078 | 0.22 |
| 02318 | PING AN | 1,888,525,000 | 7,250,268,394 | 26.05 | 165,306,470,125 | 631,112,698,894 | 26.19 |
| 00119 | POLY PROPERTY | 41,970,000 | 1,285,468,775 | 3.26 | 124,286,430 | 3,787,646,280 | 3.28 |
| 00208 | POLYTEC ASSET | 5,000 | 266,934,695 | 0.00 | 4,950 | 270,115,257 | 0.00 |
| 03813 | POU SHENG INT'L | 22,862,000 | 642,928,780 | 3.56 | 54,027,440 | 1,461,599,854 | 3.70 |
| 00006 | POWER ASSETS | 144,016,000 | 879,919,052 | 16.37 | 7,861,101,425 | 47,916,164,798 | 16.41 |
| 01238 | POWERLONG | 69,682,000 | 1,356,845,727 | 5.14 | 340,664,320 | 6,494,885,760 | 5.25 |
| 02803 | PP BEDROCK | 10,000 | 20,321,000 | 0.05 | 74,245 | 146,437,875 | 0.05 |
| 03173 | PP CN NEWECON | 247,500 | 24,820,031 | 1.00 | 1,844,335 | 182,113,524 | 1.01 |
| 01913 | PRADA | 90,002,000 | 393,639,806 | 22.86 | 2,170,731,560 | 9,597,568,113 | 22.62 |
| 09077 | PREMIA UST-U | 1,170 | 38,815 | 3.01 | 4,586,244 | 151,597,241 | 3.03 |
| 02804 | PREMIA VIET | 16,800 | 253,400 | 6.63 | 1,318,499 | 19,992,011 | 6.60 |
| 09804 | PREMIA VIET-U | 7,500 | 407,350 | 1.84 | 598,232 | 32,468,455 | 1.84 |
| 01809 | PRINX CHENGSHAN | 88,000 | 94,505,000 | 0.09 | 574,475 | 672,367,234 | 0.09 |
| 00808 | PROSPERITY REIT | 5,574,000 | 448,648,520 | 1.24 | 17,583,700 | 1,444,489,700 | 1.22 |
| 01658 | PSBC | 1,705,113,000 | 7,712,811,697 | 22.11 | 8,091,729,020 | 36,500,502,104 | 22.17 |
| 01720 | PUDIANG COMM | 80,000 | 204,938,000 | 0.04 | 201,400 | 508,970,040 | 0.04 |
| 01478 | Q TECH | 87,917,000 | 1,807,698,876 | 4.86 | 725,703,190 | 14,967,392,660 | 4.85 |
| 03369 | QHD PORT | 290,000 | 124,988,925 | 0.23 | 501,115 | 215,154,973 | 0.23 |
| 06198 | QINGDAO PORT | 15,555,000 | 284,786,552 | 5.46 | 81,631,290 | 1,493,196,651 | 5.47 |
| 01122 | QINGLING MOTORS | 970,000 | 209,311,306 | 0.46 | 2,001,980 | 425,339,387 | 0.47 |
| 02777 | R&F PROPERTIES | 389,510,400 | 1,905,427,795 | 20.44 | 5,566,237,112 | 27,249,247,907 | 20.43 |
| 01337 | RAZER | 280,461,000 | 2,538,738,378 | 11.05 | 447,327,930 | 4,101,794,688 | 10.91 |
| 01196 | REALORD GROUP | 7,584,000 | 235,542,060 | 3.22 | 35,135,740 | 1,121,457,438 | 3.13 |
| 01622 | REDCO GROUP | 65,096,000 | 839,498,500 | 7.75 | 229,720,220 | 3,102,898,619 | 7.40 |
| 00078 | REGAL INT'L | 1,240,000 | 74,175,769 | 1.67 | 5,468,500 | 339,226,366 | 1.61 |
| 01881 | REGAL REIT | 8,256,000 | 288,316,357 | 2.86 | 15,595,510 | 625,184,963 | 2.49 |
| 02199 | REGINA MIRACLE | 5,595,000 | 98,654,843 | 5.67 | 26,232,480 | 531,938,164 | 4.93 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 01098 | ROAD KING INFRA | 3,187,000 | 118,144,061 | 2.70 | 49,685,240 | 1,836,625,381 | 2.71 |
| 03301 | ROSHINECHINA | 61,198,000 | 915,984,646 | 6.68 | 647,511,885 | 9,581,015,610 | 6.76 |
| 01528 | RS MACALLINE | 22,329,400 | 500,328,515 | 4.46 | 158,927,546 | 3,518,561,971 | 4.52 |
| 01996 | RSUN PPT | 11,250,000 | 846,197,703 | 1.33 | 28,553,150 | 2,157,615,568 | 1.32 |
| 00486 | RUSAL | 71,264,000 | 2,563,272,983 | 2.78 | 234,702,470 | 8,598,332,996 | 2.73 |
| 00178 | SA SA INT'L | 381,434,000 | 1,911,426,933 | 19.96 | 884,080,060 | 4,275,436,707 | 20.68 |
| 01708 | SAMPLE TECH | 17,000 | 40,334,785 | 0.04 | 128,710 | 272,055,140 | 0.05 |
| 01910 | SAMSONITE | 211,303,500 | 1,345,186,554 | 15.71 | 3,910,065,180 | 25,360,431,520 | 15.42 |
| 01928 | SANDS CHINA LTD | 736,204,800 | 2,900,154,785 | 25.39 | 27,947,968,060 | 109,884,936,075 | 25.43 |
| 02183 | SANSHENG HLDG | 240,000 | 63,502,766 | 0.38 | 2,532,640 | 678,763,576 | 0.37 |
| 00631 | SANY INT'L | 70,385,000 | 1,435,208,093 | 4.90 | 243,471,030 | 4,868,466,530 | 5.00 |
| 01787 | SD GOLD | 28,281,750 | 1,017,600,556 | 2.78 | 515,650,235 | 18,833,704,490 | 2.74 |
| 00251 | SEA HOLDINGS | 332,000 | 20,440,827 | 1.62 | 2,670,300 | 177,259,052 | 1.51 |
| 01030 | SEAZEN | 862,116,000 | 6,705,174,465 | 12.86 | 6,340,123,780 | 51,410,552,863 | 12.33 |
| 01755 | S-ENJOY SERVICE | 11,359,000 | 956,519,767 | 1.19 | 99,691,830 | 6,741,458,406 | 1.48 |
| 02727 | SH ELECTRIC | 135,196,000 | 1,273,081,085 | 10.62 | 371,325,980 | 3,503,811,116 | 10.60 |
| 00563 | SH IND URBAN | 21,444,000 | 1,001,893,968 | 2.14 | 27,448,120 | 1,250,623,680 | 2.19 |
| 02607 | SH PHARMA | 75,934,200 | 809,177,882 | 9.38 | 1,174,292,792 | 12,726,460,827 | 9.23 |
| 01385 | SHANGHAI FUDAN | 20,464,000 | 255,328,362 | 8.01 | 160,722,400 | 2,407,455,945 | 6.68 |
| 00363 | SHANGHAI IND H | 12,649,000 | 289,600,491 | 4.37 | 201,021,400 | 4,711,640,274 | 4.27 |
| 00338 | SHANGHAI PECHEM | 471,704,000 | 3,404,368,082 | 13.86 | 1,483,396,580 | 10,382,308,486 | 14.29 |
| 00755 | SHANGHAI ZENDAI | 520,000 | 601,964,044 | 0.09 | 62,405 | 61,710,703 | 0.10 |
| 00069 | SHANGRI-LA ASIA | 226,696,000 | 1,069,917,057 | 21.19 | 1,975,903,380 | 9,947,608,149 | 19.86 |
| 00691 | SHANSHUI CEMENT | 804,000 | 229,286,964 | 0.35 | 2,417,140 | 643,000,351 | 0.38 |
| 02066 | SHENGJINGBANK | 1,662,000 | 148,022,510 | 1.12 | 8,026,850 | 707,449,138 | 1.13 |
| 06069 | SHENGYE CAPITAL | 3,334,000 | 90,611,982 | 3.68 | 22,791,680 | 619,587,012 | 3.68 |
| 08469 | SHENGYE CAPITAL | 4,358,000 | 221,772,034 | 1.97 | 31,569,980 | 1,648,303,092 | 1.92 |
| 00152 | SHENZHEN INT'L | 58,929,000 | 712,696,500 | 8.27 | 905,160,170 | 11,223,582,874 | 8.06 |
| 00604 | SHENZHEN INVEST | 160,696,000 | 1,520,473,651 | 10.57 | 475,459,900 | 4,465,715,667 | 10.65 |
| 00548 | SHENZHENEXPRESS | 105,732,000 | 885,196,450 | 11.94 | 1,056,488,700 | 8,644,209,265 | 12.22 |
| 02313 | SHENZHOU INTL | 74,865,500 | 620,619,860 | 12.06 | 7,701,907,300 | 63,706,389,490 | 12.09 |
| 00813 | SHIMAO PROPERTY | 168,348,500 | 1,395,175,792 | 12.07 | 3,872,682,945 | 32,113,595,077 | 12.06 |
| 02877 | SHINWAY PHARM | 26,802,000 | 318,409,089 | 8.42 | 199,343,950 | 2,438,194,011 | 8.18 |
| 00016 | SHK PPT | 200,455,000 | 1,195,243,611 | 16.77 | 24,314,464,150 | 146,210,482,560 | 16.63 |
| 00697 | SHOUGANG INT'L | 128,534,000 | 4,779,716,965 | 2.69 | 40,954,500 | 1,504,167,325 | 2.72 |
| 00639 | SHOUGANG RES | 39,272,000 | 757,575,233 | 5.18 | 67,572,580 | 1,319,388,204 | 5.12 |
| 00272 | SHUI ON LAND | 94,565,000 | 1,644,286,742 | 5.75 | 163,896,920 | 2,922,777,092 | 5.61 |
| 00242 | SHUN TAK HOLD | 52,274,000 | 1,499,028,072 | 3.49 | 161,978,900 | 4,827,998,032 | 3.35 |
| 00107 | SICHUAN EXPRESS | 3,864,000 | 242,798,418 | 1.59 | 9,579,300 | 594,714,336 | 1.61 |
| 00460 | SIHUAN PHARM | 447,976,000 | 4,064,093,965 | 11.02 | 604,323,980 | 5,627,131,566 | 10.74 |
| 00807 | SIENVIRONMENT | 2,049,000 | 189,370,330 | 1.08 | 2,967,890 | 314,045,581 | 0.95 |
| 00171 | SILVER GRANT | 18,702,000 | 273,338,069 | 6.84 | 29,111,980 | 419,321,013 | 6.94 |
| 00716 | SINGAMAS CONT | 36,280,000 | 901,580,182 | 4.02 | 40,757,480 | 1,066,521,916 | 3.82 |
| 08293 | SINGASIA HLDG | 4,225,000 | 5,856,494,750 | 0.07 | 7,786,870 | 1,349,744,756 | 0.58 |
| 01177 | SINO BIOPHARM | 1,919,640,000 | 13,544,658,630 | 14.17 | 16,566,303,430 | 115,201,698,117 | 14.38 |
| 00365 | SINO ICT | 14,000 | 183,551,492 | 0.01 | 22,740 | 350,540,967 | 0.01 |
| 00083 | SINO LAND | 191,126,000 | 1,441,357,942 | 13.26 | 2,455,985,120 | 18,435,191,631 | 13.32 |
| 00297 | SINOFERT | 57,798,000 | 1,083,369,984 | 5.34 | 53,358,360 | 985,229,999 | 5.42 |
| 01168 | SINOLINK HOLD | 11,654,000 | 1,140,869,009 | 1.02 | 5,942,810 | 662,374,184 | 0.90 |
| 03377 | SINO-OCEAN GP | 405,544,000 | 2,070,682,782 | 19.59 | 1,368,773,290 | 6,992,025,827 | 19.58 |
| 00386 | SINOPEC CORP | 4,110,228,000 | 23,714,081,803 | 17.33 | 22,785,596,680 | 128,700,638,480 | 17.70 |
| 00934 | SINOPEC KANTONS | 35,106,000 | 533,774,570 | 6.58 | 120,634,800 | 1,787,747,068 | 6.75 |
| 02386 | SINOPEC SEG | 56,436,000 | 826,467,286 | 6.83 | 357,453,235 | 5,018,706,409 | 7.12 |
| 01033 | SINOPEC SSC | 51,342,000 | 1,328,192,995 | 3.87 | 46,991,020 | 1,230,646,129 | 3.82 |
| 01099 | SINOPHARM | 176,341,600 | 1,393,693,240 | 12.65 | 5,272,862,820 | 41,256,295,617 | 12.78 |
| 01297 | SINOSOFT TECH | 514,000 | 147,885,504 | 0.35 | 1,131,290 | 323,368,589 | 0.35 |
| 00598 | SINOTRANS | 160,275,000 | 1,638,255,194 | 9.78 | 475,651,390 | 4,888,325,385 | 9.73 |
| 03808 | SINOTRUK | 165,074,500 | 1,198,750,897 | 13.77 | 2,381,202,310 | 16,835,114,168 | 14.14 |
| 01308 | SITC | 32,929,000 | 484,434,929 | 6.80 | 264,743,950 | 3,923,891,948 | 6.75 |
| 00880 | SJM HOLDINGS | 532,967,000 | 3,128,342,138 | 17.04 | 4,559,439,820 | 26,895,808,007 | 16.95 |
| 00751 | SKYWORTH GROUP | 365,486,000 | 4,032,808,071 | 9.06 | 855,085,660 | 9,555,472,908 | 8.95 |
| 00315 | SMARTONE TELE | 9,287,500 | 210,441,823 | 4.41 | 65,895,525 | 1,592,747,373 | 4.14 |
| 00981 | SMIC | 973,628,500 | 6,832,260,732 | 14.25 | 8,762,051,340 | 62,245,972,029 | 14.08 |
| 00410 | SOHO CHINA | 102,271,000 | 1,205,281,603 | 8.49 | 282,482,455 | 3,340,329,975 | 8.46 |
| 01166 | SOLARTECH INT'L | 680,000 | 803,111,567 | 0.08 | 46,360 | 65,917,505 | 0.07 |
| 01573 | SOUTHERN ENERGY | 27,846,000 | 11,026,136,407 | 0.25 | 72,310,400 | 17,145,857,149 | 0.42 |
| 02840 | SPDR GOLD TRUST | 88,330 | 8,967,323 | 0.99 | 97,179,070 | 9,516,020,688 | 1.02 |
| 03073 | SPDR GREATCHINA | 6,400 | 596,746 | 1.07 | 236,520 | 24,185,590 | 0.98 |
| 01426 | SPRING REIT | 11,706,000 | 145,668,123 | 8.04 | 41,478,840 | 504,288,516 | 8.23 |
| 01700 | SPRINGLAND | 311,000 | 332,862,270 | 0.09 | 500,990 | 574,057,690 | 0.09 |
| 02005 | SSY GROUP | 146,670,000 | 1,101,007,119 | 13.32 | 962,294,500 | 7,298,131,201 | 13.19 |
| 02888 | STANCHART | 32,830,500 | 243,596,337 | 13.48 | 2,164,564,000 | 16,214,393,536 | 13.35 |
| 01836 | STELLA HOLDINGS | 8,847,000 | 184,131,119 | 4.80 | 107,688,335 | 2,308,770,095 | 4.66 |
| 00102 | SUMMIT ASCENT | 18,654,000 | 1,455,856,314 | 1.28 | 26,510,220 | 2,015,869,815 | 1.32 |
| 00086 | SUN HUNG KAI CO | 3,657,000 | 230,555,616 | 1.59 | 13,696,140 | 857,084,910 | 1.60 |
| 00580 | SUN.KING ELEC | 40,000 | 133,241,189 | 0.03 | 44,980 | 150,286,282 | 0.03 |
| 01918 | SUNAC | 493,101,000 | 4,504,002,567 | 10.95 | 17,021,601,300 | 159,245,918,787 | 10.69 |
| 06808 | SUNART RETAIL | 320,314,000 | 2,318,251,868 | 13.82 | 2,460,983,010 | 17,887,470,268 | 13.76 |
| 01383 | SUNCITY GROUP | 112,940,000 | 2,507,257,418 | 4.50 | 206,117,600 | 4,412,880,071 | 4.67 |
| 01568 | SUNDART HLDGS | 2,028,000 | 270,181,075 | 0.75 | 7,729,840 | 1,030,977,703 | 0.75 |
| 01686 | SUNEVISION | 21,339,000 | 281,610,021 | 7.58 | 132,291,280 | 1,703,963,343 | 7.76 |
| 00435 | SUNLIGHT REIT | 2,803,000 | 292,395,469 | 0.96 | 15,554,520 | 1,610,224,554 | 0.97 |
| 02382 | SUNNY OPTICAL | 396,595,600 | 1,968,102,502 | 20.15 | 38,137,450,905 | 188,183,952,294 | 20.27 |
| 02608 | SUNSHINE 100 | 12,753,000 | 959,221,188 | 1.33 | 19,752,430 | 1,476,141,499 | 1.34 |
| 06806 | SWHY | 4,100,000 | 1,069,691,363 | 0.38 | 8,914,768 | 3,232,039,170 | 0.28 |
| 00019 | SWIRE PACIFIC A | 57,315,500 | 385,806,468 | 14.86 | 4,943,629,250 | 32,438,235,513 | 15.24 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|------------|-----------------|----------------------------------|-------------------------|------------|----------------------------|-----------------------------|------------|
| 01972 | SWIREPROPERTIES | 95,066,600 | 725,716,649 | 13.10 | 2,628,503,900 | 20,256,323,605 | 12.98 |
| 01223 | SYMPHONY HOLD | 2,120,000 | 367,576,252 | 0.58 | 1,950,900 | 350,891,616 | 0.56 |
| 00088 | TAI CHEUNG HOLD | 310,000 | 38,080,106 | 0.81 | 1,949,280 | 273,586,476 | 0.71 |
| 06100 | TALENT LIEPIN | 9,377,400 | 99,327,809 | 9.44 | 207,416,208 | 2,073,385,895 | 10.00 |
| 01070 | TCL ELECTRONICS | 34,753,000 | 723,979,105 | 4.80 | 141,683,030 | 2,835,273,954 | 5.00 |
| 00669 | TECHTRONIC IND | 154,849,500 | 995,846,823 | 15.55 | 8,385,027,075 | 53,872,310,306 | 15.56 |
| 00700 | TENCENT | 558,344,800 | 4,144,752,100 | 13.47 | 194,214,484,880 | 1,432,681,763,496 | 13.56 |
| 06868 | TENFU | 58,000 | 138,689,266 | 0.04 | 313,420 | 702,422,379 | 0.04 |
| 02678 | TEXHONG TEXTILE | 9,598,000 | 148,794,903 | 6.45 | 81,190,760 | 1,358,233,280 | 5.98 |
| 00321 | TEXWINCA HOLD | 9,762,000 | 357,675,440 | 2.73 | 22,918,200 | 821,686,408 | 2.79 |
| 00028 | TIAN AN | 35,000 | 73,185,233 | 0.05 | 127,860 | 292,569,089 | 0.04 |
| 01600 | TIAN LUN GAS | 26,740,000 | 393,558,174 | 6.79 | 215,366,075 | 3,229,006,254 | 6.67 |
| 02118 | TIAN SHAN DEV | 2,000 | 45,891,955 | 0.00 | 4,760 | 109,881,605 | 0.00 |
| 01980 | TIANGE | 28,179,000 | 371,142,524 | 7.59 | 67,801,060 | 962,665,233 | 7.04 |
| 00826 | TIANGONG INT'L | 12,158,000 | 1,160,185,552 | 1.05 | 21,988,340 | 2,624,962,790 | 0.84 |
| 01065 | TIANJIN CAPITAL | 58,000 | 162,032,601 | 0.04 | 176,580 | 492,714,643 | 0.04 |
| 00882 | TIANJIN DEV | 3,148,000 | 108,838,605 | 2.89 | 7,745,420 | 277,307,152 | 2.79 |
| 03382 | TIANJINPORT DEV | 13,934,000 | 510,944,685 | 2.73 | 11,742,340 | 426,982,265 | 2.75 |
| 01773 | TIANLI EDU | 53,225,000 | 1,010,251,534 | 5.27 | 165,602,560 | 2,834,401,867 | 5.84 |
| 00819 | TIANNENG POWER | 100,252,000 | 612,958,306 | 16.36 | 631,058,900 | 4,012,557,595 | 15.73 |
| 01115 | TIBET WATER | 71,951,000 | 1,497,770,968 | 4.80 | 118,830,830 | 1,424,764,750 | 8.34 |
| 01233 | TIMES CHINA | 100,930,000 | 1,686,346,153 | 5.99 | 1,340,760,350 | 23,249,838,008 | 5.77 |
| 00322 | TINGYI | 232,524,000 | 1,493,939,472 | 15.56 | 2,743,745,080 | 17,412,058,926 | 15.76 |
| 02283 | TK GROUP HLDG | 840,000 | 88,834,917 | 0.95 | 3,185,340 | 356,015,837 | 0.89 |
| 02383 | TOM GROUP | 176,000 | 90,193,372 | 0.20 | 305,920 | 145,301,213 | 0.21 |
| 00258 | TOMSON GROUP | 4,612,000 | 253,315,063 | 1.82 | 12,139,500 | 701,575,017 | 1.73 |
| 01666 | TONG REN TANG | 79,231,000 | 455,242,641 | 17.40 | 683,941,210 | 3,986,006,339 | 17.16 |
| 00780 | TONGCHENG-ELONG | 96,128,400 | 852,598,150 | 11.27 | 1,377,938,800 | 12,449,613,677 | 11.07 |
| 00698 | TONGDA GROUP | 708,930,000 | 11,046,532,655 | 6.42 | 574,379,800 | 8,984,165,211 | 6.39 |
| 00952 | TONGHAI FIN | 10,000 | 161,618,756 | 0.01 | 6,000 | 70,663,155 | 0.01 |
| 03613 | TONGRENTANGCM | 12,594,000 | 210,146,867 | 5.99 | 162,218,970 | 2,669,426,575 | 6.08 |
| 01083 | TOWNGAS CHINA | 47,450,000 | 379,735,192 | 12.50 | 279,512,930 | 2,243,826,585 | 12.46 |
| 00903 | TPV TECHNOLOGY | 3,226,000 | 1,460,295,602 | 0.22 | 11,973,280 | 3,455,492,980 | 0.35 |
| 02800 | TRACKER FUND | 8,417,085,500 | 15,221,500,979 | 55.30 | 235,098,660,725 | 423,891,556,078 | 55.46 |
| 00570 | TRAD CHI MED | 286,748,000 | 3,512,937,688 | 8.16 | 1,192,439,300 | 14,762,533,854 | 8.08 |
| 00062 | TRANSPORT INT'L | 249,200 | 21,862,495 | 1.14 | 5,519,436 | 478,675,403 | 1.15 |
| 00696 | TRAVELSKY TECH | 89,894,000 | 735,763,577 | 12.22 | 1,609,406,430 | 13,111,994,674 | 12.27 |
| 03007 | TRFXIC50 | 19,540 | 59,970 | 32.58 | 6,204,930 | 18,405,718 | 33.71 |
| 01300 | TRIGIANT | 656,000 | 455,246,368 | 0.14 | 915,240 | 632,871,838 | 0.14 |
| 03048 | TRMSCIBRAZIL | 8,300 | 356,600 | 2.33 | 330,929 | 14,285,015 | 2.32 |
| 03055 | TRMSCICHINA | 1,050 | 156,100 | 0.67 | 142,285 | 20,905,985 | 0.68 |
| 03036 | TRMSCITAIWAN | 1,375 | 63,800 | 2.16 | 282,550 | 14,463,083 | 1.95 |
| 00732 | TRULY INT'L | 173,044,000 | 2,388,852,573 | 7.24 | 223,698,980 | 2,998,583,348 | 7.46 |
| 01986 | TSAKER CHEM | 6,884,000 | 1,812,125,374 | 0.38 | 11,307,065 | 4,286,307,271 | 0.26 |
| 00168 | TSINGTAO BREW | 101,158,000 | 597,467,887 | 16.93 | 4,704,271,600 | 27,126,579,874 | 17.34 |
| 00511 | TVB | 11,283,700 | 87,673,453 | 12.87 | 152,370,268 | 1,222,707,720 | 12.46 |
| 00687 | TYSAN HOLDINGS | 2,838,000 | 740,111,003 | 0.38 | 7,088,320 | 1,832,351,192 | 0.39 |
| 01599 | UCD | 194,000 | 150,063,350 | 0.13 | 606,890 | 391,778,144 | 0.15 |
| 02666 | UNI MEDICAL | 73,872,000 | 845,494,672 | 8.74 | 451,048,220 | 5,231,988,396 | 8.62 |
| 03933 | UNITED LAB | 80,402,000 | 862,252,599 | 9.32 | 371,566,900 | 3,974,736,832 | 9.35 |
| 00467 | UNITEDENERGY GP | 82,374,000 | 1,037,240,490 | 7.94 | 118,336,680 | 1,485,169,371 | 7.97 |
| 00220 | U-PRESID CHINA | 145,861,000 | 1,069,157,780 | 13.64 | 1,156,885,060 | 8,566,239,138 | 13.51 |
| 03095 | VALUE A SHARE | 8,000 | 3,899,137 | 0.21 | 63,585 | 29,206,010 | 0.22 |
| 83095 | VALUE A SHARE-R | 244,000 | 3,324,500 | 7.34 | 1,920,412 | 24,989,084 | 7.69 |
| 03041 | VALUE KOREA ETF | 1,000 | 103,000 | 0.97 | 26,150 | 2,650,875 | 0.99 |
| 00806 | VALUE PARTNERS | 153,886,000 | 908,908,001 | 16.93 | 768,931,140 | 4,609,332,960 | 16.68 |
| 03060 | VALUE TW ETF | 1,500 | 173,700 | 0.86 | 64,300 | 7,214,305 | 0.89 |
| 03081 | VALUEGOLD ETF | 3,283,000 | 22,780,458 | 14.41 | 115,576,630 | 796,659,602 | 14.51 |
| 83081 | VALUEGOLD ETF-R | 33,700 | 315,000 | 10.70 | 1,224,352 | 11,103,131 | 11.03 |
| 09081 | VALUEGOLD ETF-U | 7,300 | 82,410 | 8.86 | 243,990 | 2,912,044 | 8.38 |
| 02805 | VANGUARDASXJP | 61,200 | 3,914,923 | 1.56 | 1,371,590 | 89,532,302 | 1.53 |
| 82805 | VANGUARDASXJP-R | 6,300 | 113,500 | 5.55 | 141,247 | 2,527,162 | 5.59 |
| 09805 | VANGUARDASXJP-U | 3,000 | 141,800 | 2.12 | 70,123 | 3,304,050 | 2.12 |
| 83085 | VANGUARDAXJHY-R | 14,400 | 94,900 | 15.17 | 302,216 | 1,987,884 | 15.20 |
| 09085 | VANGUARDAXJHY-U | 1,800 | 647,300 | 0.28 | 38,983 | 13,820,101 | 0.28 |
| 03169 | VANGUARDCHINA | 217,700 | 10,013,413 | 2.17 | 1,878,938 | 88,133,661 | 2.13 |
| 83169 | VANGUARDCHINA-R | 10,000 | 827,400 | 1.21 | 86,819 | 7,241,713 | 1.20 |
| 09169 | VANGUARDCHINA-U | 6,000 | 532,500 | 1.13 | 55,462 | 4,564,733 | 1.22 |
| 03101 | VANGUARDDVEUR | 21,900 | 4,478,673 | 0.49 | 386,990 | 82,304,060 | 0.47 |
| 83101 | VANGUARDDVEUR-R | 4,700 | 305,100 | 1.54 | 78,693 | 5,490,546 | 1.43 |
| 09101 | VANGUARDDVEUR-U | 3,000 | 334,278 | 0.90 | 56,416 | 6,075,780 | 0.93 |
| 03126 | VANGUARDJAPAN | 6,600 | 1,590,190 | 0.42 | 155,015 | 39,222,047 | 0.40 |
| 83126 | VANGUARDJAPAN-R | 500 | 172,200 | 0.29 | 11,380 | 4,120,955 | 0.28 |
| 09126 | VANGUARDJAPAN-U | 5,500 | 100,400 | 5.48 | 137,815 | 2,422,299 | 5.69 |
| 03140 | VANGUARDSP500 | 128,700 | 19,062,167 | 0.68 | 2,818,347 | 395,504,312 | 0.71 |
| 83140 | VANGUARDSP500-R | 513,600 | 5,309,500 | 9.67 | 10,580,818 | 111,910,057 | 9.45 |
| 09140 | VANGUARDSP500-U | 34,300 | 2,589,782 | 1.32 | 659,880 | 52,425,900 | 1.26 |
| 02003 | VCREDIT | 2,418,600 | 132,520,550 | 1.83 | 19,108,044 | 1,080,122,540 | 1.77 |
| 03331 | VINDA INT'L | 2,992,000 | 103,994,321 | 2.88 | 42,313,220 | 1,492,843,569 | 2.83 |
| 01565 | VIRSCEND EDU | 25,420,000 | 2,347,964,932 | 1.08 | 44,738,070 | 3,657,235,599 | 1.22 |
| 00345 | VITASOY INT'L | 182,608,000 | 805,503,978 | 22.67 | 6,501,381,000 | 28,995,032,409 | 22.42 |
| 01873 | VIVA BIOTECH | 13,588,000 | 837,338,738 | 1.62 | 59,872,335 | 3,774,951,876 | 1.59 |
| 08032 | VIVA CHINA | 4,048,000 | 671,696,859 | 0.60 | 2,607,320 | 397,375,652 | 0.66 |
| 01608 | VPOWER GROUP | 3,524,000 | 185,067,633 | 1.90 | 9,102,980 | 490,739,709 | 1.85 |
| 00856 | VSTECs | 20,198,000 | 368,616,616 | 5.48 | 83,370,080 | 1,501,937,614 | 5.55 |
| 00303 | VTECH HOLDINGS | 11,180,500 | 99,650,132 | 11.22 | 812,305,965 | 7,249,159,477 | 11.21 |

Short selling transactions by designated stock, 2019 (continued)

| Stock code | Name | Short selling turnover* (shares) | Total turnover (shares) | % of total | Short selling Value (HK\$) | Total turnover Value (HK\$) | % of total |
|--------------|------------------|----------------------------------|--------------------------|--------------|----------------------------|-----------------------------|--------------|
| 01243 | WANG ON PPT | 1,648,000 | 343,044,330 | 0.48 | 1,932,360 | 355,111,924 | 0.54 |
| 00151 | WANT WANT CHINA | 664,819,000 | 2,954,491,204 | 22.50 | 4,213,146,080 | 18,642,904,436 | 22.60 |
| 03393 | WASION HOLDINGS | 6,060,000 | 202,221,339 | 3.00 | 21,168,100 | 683,475,113 | 3.10 |
| 01129 | WATER INDUSTRY | 2,872,000 | 369,056,355 | 0.78 | 2,567,660 | 251,133,945 | 1.02 |
| 00860 | WE SOLUTIONS | 57,064,000 | 2,153,511,707 | 2.65 | 26,627,080 | 1,006,855,629 | 2.64 |
| 02338 | WEICHAI POWER | 302,352,000 | 2,420,264,153 | 12.49 | 3,555,212,360 | 29,579,045,419 | 12.02 |
| 01066 | WEIGAO GROUP | 186,596,000 | 1,996,070,912 | 9.35 | 1,476,673,440 | 15,379,733,010 | 9.60 |
| 02013 | WEIMOB INC | 116,634,000 | 2,502,672,718 | 4.66 | 443,843,730 | 10,417,044,691 | 4.26 |
| 02698 | WEIQIAO TEXTILE | 2,113,000 | 162,142,387 | 1.30 | 5,723,525 | 417,587,917 | 1.37 |
| 02233 | WESTCHINACEMENT | 107,456,000 | 4,297,432,650 | 2.50 | 125,906,200 | 5,192,854,080 | 2.42 |
| 00288 | WH GROUP | 1,099,652,500 | 13,032,372,779 | 8.44 | 8,472,505,955 | 101,054,775,543 | 8.38 |
| 00004 | WHARF HOLDINGS | 210,785,000 | 1,028,574,570 | 20.49 | 4,163,564,640 | 20,311,059,757 | 20.50 |
| 01997 | WHARF REIC | 174,075,000 | 866,879,908 | 20.08 | 8,276,290,850 | 42,522,830,170 | 19.46 |
| 00020 | WHEELLOCK | 41,213,000 | 278,507,490 | 14.80 | 2,090,057,350 | 14,175,828,866 | 14.74 |
| 00369 | X TRMSCIINDO | 78,000 | 37,435,910 | 0.21 | 404,900 | 197,829,205 | 0.20 |
| 06068 | WISDOM EDU INTL | 31,114,000 | 558,591,936 | 5.57 | 112,827,480 | 2,040,026,068 | 5.53 |
| 02827 | WISE CSI300 ETF | 238,800 | 37,407,020 | 0.64 | 8,205,770 | 1,299,040,595 | 0.63 |
| 02359 | WUXI APPTec | 41,894,300 | 214,145,954 | 19.56 | 3,537,130,505 | 18,013,133,138 | 19.64 |
| 02269 | WUXI BIO | 133,639,500 | 1,155,720,584 | 11.56 | 10,312,009,950 | 90,238,220,111 | 11.43 |
| 01128 | WYNN MACAU | 477,945,200 | 2,724,550,494 | 17.54 | 8,511,255,872 | 48,633,078,705 | 17.50 |
| 03099 | X TRMSCIINDO | 2,050 | 271,300 | 0.76 | 255,477 | 32,815,953 | 0.78 |
| 03027 | X TRMSCIRUSSIA | 1,875 | 1,602,250 | 0.12 | 44,406 | 35,837,190 | 0.12 |
| 03019 | X TRMSCI WORLD | 4,125 | 642,761 | 0.64 | 203,893 | 31,107,357 | 0.66 |
| 07322 | XI GX S&P500 | 5,073,100 | 33,495,101 | 15.15 | 53,197,234 | 368,651,867 | 14.43 |
| 00520 | XIABUXIABU | 78,638,500 | 379,475,024 | 20.72 | 919,597,225 | 4,339,845,168 | 21.19 |
| 01810 | XIAOMI-W | 4,151,022,800 | 23,225,976,195 | 17.87 | 41,373,603,382 | 232,506,495,294 | 17.79 |
| 01571 | XIN POINT HOLD | 19,000 | 205,208,000 | 0.01 | 51,630 | 448,924,405 | 0.01 |
| 01899 | XINGDA INT'L | 8,466,000 | 214,353,176 | 3.95 | 18,049,550 | 467,139,795 | 3.86 |
| 00811 | XINHUA WINSHARE | 2,428,000 | 188,941,674 | 1.29 | 13,557,540 | 1,072,383,189 | 1.26 |
| 03868 | XINYI ENERGY | 20,720,000 | 865,189,035 | 2.39 | 40,603,360 | 1,800,922,080 | 2.25 |
| 00868 | XINYI GLASS | 333,094,000 | 2,055,334,767 | 16.21 | 2,853,852,600 | 17,992,493,746 | 15.86 |
| 00968 | XINYI SOLAR | 800,602,000 | 7,192,592,832 | 11.13 | 3,231,830,200 | 29,433,307,022 | 10.98 |
| 01266 | XIWANG STEEL | 8,807,000 | 881,446,645 | 1.00 | 12,369,950 | 970,280,017 | 1.27 |
| 07210 | XL2 XIE CHIMER | 74,800 | 520,400 | 14.37 | 845,152 | 5,564,336 | 15.19 |
| 01368 | XTEP INT'L | 207,845,500 | 2,343,471,988 | 8.87 | 998,281,260 | 11,536,389,848 | 8.65 |
| 00075 | Y.T. REALTY | 2,000 | 12,744,131 | 0.02 | 4,560 | 29,586,378 | 0.02 |
| 01585 | YADEA | 88,256,000 | 1,648,888,939 | 5.35 | 177,089,640 | 3,338,514,549 | 5.30 |
| 01171 | YANZHOU COAL | 580,874,000 | 3,607,348,098 | 16.10 | 4,291,102,740 | 27,279,149,074 | 15.73 |
| 01230 | YASHILI INT'L | 5,386,000 | 266,928,223 | 2.60 | 6,635,780 | 222,684,319 | 2.98 |
| 02393 | YESTAR HEALTH | 22,500 | 118,087,366 | 0.02 | 40,475 | 160,119,605 | 0.03 |
| 01579 | YIHAI INTL | 62,664,000 | 508,958,692 | 12.31 | 2,320,697,930 | 19,898,259,003 | 11.66 |
| 03948 | YITAI COAL | 5,800 | 13,576,449 | 0.04 | 34,108 | 82,712,737 | 0.04 |
| 02858 | YIXIN | 84,372,000 | 1,091,221,679 | 7.73 | 160,151,785 | 2,123,710,608 | 7.54 |
| 06869 | YOFC | 43,988,000 | 612,048,064 | 7.19 | 876,946,190 | 12,403,292,880 | 7.07 |
| 03669 | YONGDA AUTO | 90,854,500 | 960,925,652 | 9.45 | 583,142,595 | 6,185,612,932 | 9.43 |
| 02268 | YOUYUAN HLDGS | 20,940,000 | 746,393,565 | 2.81 | 47,840,030 | 1,536,967,435 | 3.11 |
| 00332 | YUANHENG GAS | 4,652,000 | 215,291,558 | 2.16 | 2,559,040 | 117,325,338 | 2.18 |
| 00551 | YUE YUEN IND | 77,171,500 | 498,350,699 | 15.49 | 1,779,701,395 | 11,759,670,855 | 15.13 |
| 00123 | YUEXIU PROPERTY | 232,312,000 | 6,155,979,172 | 3.77 | 403,460,160 | 10,793,191,953 | 3.74 |
| 00405 | YUEXIU REIT | 71,874,000 | 928,493,457 | 7.74 | 384,125,450 | 4,935,752,284 | 7.78 |
| 01052 | YUEXIU TRANSPORT | 66,388,000 | 622,813,941 | 10.66 | 419,048,620 | 4,044,439,061 | 10.36 |
| 06169 | YUHUA EDU | 468,380,000 | 3,016,597,456 | 15.53 | 1,801,739,780 | 11,789,556,795 | 15.28 |
| 00376 | YUNFENG FIN | 1,834,000 | 159,073,881 | 1.15 | 7,488,620 | 713,307,008 | 1.05 |
| 01068 | YURUN FOOD | 34,419,000 | 1,682,098,072 | 2.05 | 36,448,050 | 2,380,870,979 | 1.53 |
| 01628 | YUZHOU PPT | 191,406,000 | 2,126,900,197 | 9.00 | 708,103,370 | 8,007,592,252 | 8.84 |
| 06060 | ZAL ONLINE | 103,983,000 | 750,545,300 | 13.85 | 2,480,008,727 | 18,558,892,220 | 13.36 |
| 02098 | ZALL SMARTCOM | 34,079,000 | 4,344,983,809 | 0.78 | 80,949,870 | 5,690,124,408 | 1.42 |
| 01660 | ZHAOBANGJI PPT | 2,456,000 | 129,430,769 | 1.90 | 16,928,320 | 451,251,559 | 3.75 |
| 01818 | ZHAOJIN MINING | 287,393,000 | 1,927,657,761 | 14.91 | 2,463,196,860 | 16,724,841,559 | 14.73 |
| 00576 | ZHEJIANG EXPRESS | 88,730,000 | 1,120,264,390 | 7.92 | 707,715,840 | 8,583,153,690 | 8.25 |
| 08283 | ZHENG LI HLDG | 5,680,000 | 358,692,006 | 1.58 | 14,688,300 | 538,838,662 | 2.73 |
| 01728 | ZHENG TONG AUTO | 265,483,500 | 1,874,983,918 | 14.16 | 817,172,125 | 5,987,111,845 | 13.65 |
| 06158 | ZHENRO PPT | 99,253,000 | 2,477,708,536 | 4.01 | 493,975,400 | 12,638,068,851 | 3.91 |
| 00881 | ZHONGSHENG HLDG | 225,133,000 | 974,695,976 | 23.10 | 4,833,564,225 | 20,868,076,259 | 23.16 |
| 03633 | ZHONGYU GAS | 31,240,000 | 329,008,068 | 9.50 | 235,564,710 | 2,521,759,392 | 9.34 |
| 01458 | ZHOU HEI YA | 92,419,000 | 668,950,339 | 13.82 | 372,382,845 | 2,771,530,906 | 13.44 |
| 01176 | ZHUGUANG HOLD | 24,432,000 | 567,481,203 | 4.31 | 27,810,540 | 650,542,997 | 4.27 |
| 02899 | ZIJIN MINING | 917,792,000 | 7,037,252,725 | 13.04 | 2,845,242,240 | 21,587,719,157 | 13.18 |
| 01157 | ZOOMLION | 340,272,200 | 2,854,530,055 | 11.92 | 1,827,801,952 | 14,337,542,665 | 12.75 |
| 00763 | ZTE | 333,028,600 | 3,174,328,373 | 10.49 | 7,283,663,710 | 68,970,524,382 | 10.56 |
| 01216 | ZYBANK | 452,000 | 909,580,950 | 0.05 | 531,130 | 1,148,973,922 | 0.05 |
| Total | | 267,587,354,005 | 1,964,464,354,738 | 13.62 | 3,099,585,299,356 | 16,564,508,653,037 | 18.71 |

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Monthly short selling transactions, 2019

| Month | No. of designated securities (month-end figure) | Number of shares* | Value of transactions* (HK\$) | No. of designated securities recording short selling |
|--------------|----------------------------------------------------|------------------------|----------------------------------|------------------------------------------------------|
| Jan | 931 | 23,757,199,420 | 270,538,666,783 | 735 |
| Feb | 913 | 19,859,760,790 | 238,125,718,122 | 722 |
| Mar | 915 | 26,673,051,530 | 322,928,411,422 | 711 |
| Apr | 916 | 23,634,862,600 | 267,261,397,186 | 708 |
| May | 921 | 28,359,649,850 | 306,796,291,950 | 728 |
| Jun | 923 | 21,362,324,405 | 235,755,056,361 | 720 |
| Jul | 928 | 18,019,300,875 | 212,220,151,430 | 715 |
| Aug | 937 | 27,562,841,825 | 317,086,263,905 | 748 |
| Sep | 941 | 21,362,983,640 | 246,523,946,427 | 713 |
| Oct | 942 | 19,753,340,510 | 229,063,727,759 | 716 |
| Nov | 908 | 20,237,245,390 | 242,237,453,707 | 720 |
| Dec | 902 | 17,004,793,170 | 211,048,214,304 | 678 |
| Total | | 267,587,354,005 | 3,099,585,299,356 | |

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Newly listed companies

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|---------|--------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------------------------|-------------------------------|--------------------------------------------------|----------------------------------------------------------------------|
| 06058 # | China Industrial Securities Int'l Financial Group Ltd. | Transfer of Listing Financials - Other Financials | n.a. | n.a. | n.a. | 2019/01/03 n.a. | + n.a. |
| 01845 | Weigang Environmental Technology Holding Group Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 31,248,000 (a) 281,223,000 (b) | 0.88 0.88 | 27.50 247.48 | 2019/01/03 2.35 | BOCI Asia Ltd. |
| 01743 | Zhejiang Cangnan Instrument Group Co. Ltd. - H Shares | Offer for subscription Offer for placing Industrials - Industrial Engineering | 1,729,800 (a) 16,171,367 (b) | 15.80 15.80 | 27.33 255.51 | 2019/01/04 3.32 | ABCI Capital Ltd. |
| 01841 # | A.Plus Group Holdings Ltd. | Transfer of Listing Industrials - Commercial & Professional Services | n.a. | n.a. | n.a. | 2019/01/11 n.a. | + n.a. |
| 02360 | Best Mart 360 Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Retailers | 25,000,000 (a) 225,000,000 (b) | 1.00 1.00 | 25.00 225.00 | 2019/01/11 10.92 | Lego Corporate Finance Ltd. |
| 02885 | Peiport Holdings Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 20,000,000 (a) 80,000,000 (b) | 1.25 1.25 | 25.00 100.00 | 2019/01/11 6.13 | Guotai Junan Capital Ltd. |
| 01767 | TS Wonders Holding Ltd. | Offer for subscription Offer for sale Offer for placing Consumer Goods - Food & Beverages | 50,000,000 (a) 50,000,000 (b) 150,000,000 (b) | 0.50 0.50 0.50 | 25.00 25.00 75.00 | 2019/01/14 16.886 | Vinco Capital Ltd. |
| 01785 | Chengdu Expressway Co., Ltd. - H Shares | Offer for subscription Offer for placing Industrials - Industrial Transportation | 8,058,000 (a) 448,044,000 (b) | 2.20 2.20 | 17.73 985.70 | 2019/01/15 0.20 | CLSA Capital Markets Ltd. |
| 06162 | China Tianrui Automotive Interiors Co., Ltd. | Offer for subscription Offer for placing Consumer Goods - Automobiles | 50,000,000 (a) 450,000,000 (b) | 0.25 0.25 | 12.50 112.50 | 2019/01/15 7.15 | Innovax Capital Ltd. |
| 02013 | Weimob Inc. | Offer for subscription Offer for sale Offer for placing Consumer Services - Media & Entertainment | 23,877,000 (a) 676,000 (b) 277,823,000 (b) | 2.80 2.80 2.80 | 66.86 1.89 777.90 | 2019/01/15 0.79 | Deutsche Securities Asia Ltd. Haitong International Capital Ltd. |
| 01851 | China Gingko Education Group Co. Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 12,500,000 (a) 112,500,000 (b) | 1.44 1.44 | 18.00 162.00 | 2019/01/18 2.62 | China Securities (International) Corporate Finance Co. Ltd. |
| 01890 | China Kepei Education Group Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 35,336,000 (a) 318,796,000 (b) | 2.48 2.48 | 87.63 790.61 | 2019/01/25 1.64 | Citigroup Global Markets Asia Ltd. CCB International Capital Ltd. |
| 01896 | Maoyan Entertainment | Offer for subscription Offer for placing Consumer Services - Media & Entertainment | 13,237,800 (a) 119,139,200 (b) | 14.80 14.80 | 195.92 1,763.26 | 2019/02/04 2.32 | Morgan Stanley Asia Ltd. Merrill Lynch Far East Ltd. |
| 01850 # | WINDMILL Group Ltd. | Transfer of Listing Properties & Construction - Construction | n.a. | n.a. | n.a. | 2019/02/14 n.a. | + n.a. |
| 01703 | Palace Banquet Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 60,000,000 (a) 240,000,000 (b) | 0.50 0.50 | 30.00 120.00 | 2019/02/15 17.68 | Shenwan Hongyuan Capital (H.K.) Ltd. |
| 01827 # | Miricor Enterprises Holdings Ltd. | Transfer of Listing Consumer Services - Healthcare Services | n.a. | n.a. | n.a. | 2019/02/18 n.a. | + n.a. |
| 02616 | CStone Pharmaceuticals - B | Offer for subscription Offer for placing Consumer Goods - Healthcare | 18,640,000 (a) 195,715,000 (b) | 12.00 12.00 | 223.68 2,348.58 | 2019/02/26 7.20 | Goldman Sachs (Asia) L.L.C. Morgan Stanley Asia Ltd. |
| 02019 | Dexin China Holdings Co. Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 16,906,000 (a) 584,435,000 (b) | 2.80 2.80 | 47.34 1,636.42 | 2019/02/26 0.32 | CCB International Capital Ltd. |
| 01793 | Wecon Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 60,000,000 (a) 140,000,000 (b) | 0.625 0.625 | 37.50 87.50 | 2019/02/27 16.23 | VMS Securities Ltd. |
| 01872 | Guan Chao Holdings Ltd. | Offer for subscription Offer for sale Offer for placing Consumer Goods - Automobiles | 90,000,000 (a) 20,000,000 (b) 115,000,000 (b) | 0.43 0.43 0.43 | 38.70 8.60 49.45 | 2019/02/28 72.47 | Titan Financial Services Ltd. |
| 01025 | KNT Holdings Ltd. | Offer for subscription Offer for sale Offer for placing Consumer Goods - Textiles, Clothing & Personal Care | 39,000,000 (a) 26,000,000 (b) 65,000,000 (b) | 0.98 0.98 0.98 | 38.22 25.48 63.70 | 2019/02/28 19.30 | Innovax Capital Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|---------|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------------------------|-------------------------------|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| 02108 | K2 F&B Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 60,000,000 (a) 140,000,000 (b) | 0.75 0.75 | 45.00 105.00 | 2019/03/06 16.40 | HeungKong Capital Ltd. |
| 01902 | Yincheng International Holding Co., Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 14,486,000 (a) 369,690,000 (b) | 2.38 2.38 | 34.48 879.86 | 2019/03/06 0.41 | ICBC International Capital Ltd. |
| 00150 # | Hypebeast Ltd. | Transfer of Listing Consumer Services - Media & Entertainment | n.a. | n.a. | n.a. | 2019/03/08 n.a. | + n.a. |
| 01158 | Zhejiang New Century Hotel Management Co., Ltd. - H Shares | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 7,000,000 (a) 63,000,000 (b) | 16.50 16.50 | 115.50 1,039.50 | 2019/03/11 1.45 | China Galaxy International Securities (Hong Kong) Co., Ltd. BOCOM International (Asia) Ltd. |
| 01917 | Doumob | Offer for subscription Offer for placing Consumer Services - Media & Entertainment | 98,900,000 (a) 98,900,000 (b) | 0.41 0.41 | 40.55 40.55 | 2019/03/14 441.70 | China Securities (International) Corporate Finance Co. Ltd. |
| 03316 | Binjiang Service Group Co. Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 6,670,000 (a) 69,737,000 (b) | 6.96 6.96 | 46.42 485.37 | 2019/03/15 7.14 | CLSA Capital Markets Ltd. |
| 01907 | China Risun Group Ltd. | Offer for subscription Offer for placing Materials - Basic Materials | 60,000,000 (a) 630,000,000 (b) | 2.80 2.80 | 168.00 1,764.00 | 2019/03/15 1.19 | Guotai Junan Capital Ltd. |
| 01891 | Heng Hup Holdings Ltd. | Offer for subscription Offer for placing Materials - Diversified Metals & Minerals | 125,000,000 (a) 125,000,000 (b) | 0.50 0.50 | 62.50 62.50 | 2019/03/15 206.56 | Shenwan Hongyuan Capital (H.K.) Ltd. |
| 01563 | International Alliance Financial Leasing Co., Ltd. | Offer for subscription Offer for placing Financials - Other Financials | 49,500,000 (a) 445,500,000 (b) | 0.85 0.85 | 42.08 378.68 | 2019/03/15 1.13 | Cinda International Capital Ltd. |
| 03662 | Aoyuan Healthy Life Group Co. Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 17,500,000 (a) 183,750,000 (b) | 3.66 3.66 | 64.05 672.53 | 2019/03/18 6.24 | Guotai Junan Capital Ltd. Zhongtai International Capital Ltd. |
| 02682 | Yun Lee Marine Group Holdings Ltd. | Offer for subscription Offer for sale Offer for placing Industrials - Industrial Transportation | 176,068,000 (a) 62,500,000 (b) 11,432,000 (b) | 0.50 0.50 0.50 | 88.03 31.25 5.72 | 2019/03/18 0.783 | Messis Capital Ltd. |
| 01897 | Million Hope Industries Holdings Ltd. | Introduction Properties & Construction - Construction | n.a. | n.a. | n.a. n.a. | 2019/03/19 | VMS Securities Ltd. |
| 01854 # | Goal Forward Holdings Ltd. | Transfer of Listing Consumer Goods - Agricultural Products | n.a. | n.a. | n.a. | 2019/03/21 n.a. | + n.a. |
| 06819 | IntelliCentrics Global Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 4,736,500 (a) 83,805,000 (b) | 5.35 5.35 | 25.34 448.36 | 2019/03/27 0.59 | CLSA Capital Markets Ltd. |
| 01865 | Pipeline Engineering Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 69,000,000 (a) 161,000,000 (b) | 0.55 0.55 | 37.95 88.55 | 2019/03/27 25.97 | Fortune Financial Capital Ltd. |
| 06185 | CanSino Biologics Inc. - B - H Shares | Offer for subscription Offer for placing Consumer Goods - Healthcare | 22,899,600 (a) 38,799,400 (b) | 22.00 22.00 | 503.79 853.59 | 2019/03/28 90.83 | Morgan Stanley Asia Ltd. CLSA Capital Markets Ltd. |
| 01797 | Koolearn Technology Holding Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 16,452,000 (a) 170,437,500 (b) | 10.20 10.20 | 167.81 1,738.46 | 2019/03/28 9.18 | Citigroup Global Markets Asia Ltd. Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd. |
| 02718 | Shanghai Dongzheng Automotive Finance Co., Ltd. - H Shares | Offer for subscription Offer for placing Financials - Other Financials | 5,909,000 (a) 533,742,400 (b) | 3.06 3.06 | 18.08 1,633.25 | 2019/04/03 0.11 | China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd. |
| 02660 | Zengame Technology Holding Ltd. | Offer for subscription Offer for placing Information Technology - Software & Services | 54,000,000 (a) 147,672,000 (b) | 1.23 1.23 | 66.42 181.64 | 2019/04/16 47.48 | Guotai Junan Capital Ltd. |
| 03321 | Wai Hung Group Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 25,000,000 (a) 100,000,000 (b) | 1.40 1.40 | 35.00 140.00 | 2019/04/23 7.93 | Red Sun Capital Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|--------|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------------------------|-------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 01545 | Design Capital Ltd. | Offer for subscription Offer for placing Consumer Goods - Household Goods & Electronics | 200,000,000 (a) 300,000,000 (b) | 0.30 0.30 | 60.00 90.00 | 2019/04/25 55.90 | Southwest Securities (HK) Capital Ltd. |
| 01906 | Bonny International Holding Ltd. | Offer for subscription Offer for placing Consumer Goods - Textiles, Clothing & Personal Care | 120,000,000 (a) 180,000,000 (b) | 0.58 0.58 | 69.60 104.40 | 2019/04/26 95.94 | Innovax Capital Ltd. |
| 06806 | Shenwan Hongyuan Group Co., Ltd. - H Shares | Offer for subscription Offer for placing Financials - Other Financials | 150,240,000 (a) 2,353,760,000 (b) | 3.63 3.63 | 545.37 8,544.15 | 2019/04/26 3.78 | Shenwan Hongyuan Capital (H.K.) Ltd. Goldman Sachs (Asia) L.L.C. ICBC International Capital Ltd. ABCI Capital Ltd. |
| 01780 | B & D Strategic Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 31,000,000 (a) 124,000,000 (b) | 0.84 0.84 | 26.04 104.16 | 2019/04/30 11.25 | Grande Capital Ltd. |
| 01753 | Duiba Group Ltd. | Offer for subscription Offer for placing Consumer Services - Media & Entertainment | 11,111,200 (a) 100,000,000 (b) | 6.00 6.00 | 66.67 600.00 | 2019/05/07 7.69 | CMB International Capital Ltd. HSBC Corporate Finance (Hong Kong) Ltd. |
| 01857 | China Everbright Water Ltd. | Offer for subscription Offer for placing Utilities - Utilities | 10,398,000 (a) 93,572,000 (b) | 2.99 2.99 | 31.09 279.78 | 2019/05/08 2.43 | China International Capital Corporation Hong Kong Securities Ltd. China Everbright Capital Ltd. |
| 01867* | LKS Holding Group Ltd. | Transfer of Listing Properties & Construction - Construction | n.a. | n.a. | n.a. | 2019/05/09 n.a. | + n.a. |
| 01873 | Viva Biotech Holdings | Offer for subscription Offer for placing Consumer Goods - Healthcare | 172,500,000 (a) 179,781,000 (b) | 4.41 4.41 | 760.73 792.83 | 2019/05/09 106.92 | China International Capital Corporation Hong Kong Securities Ltd. |
| 01903 | JBB Builders International Ltd. | Offer for subscription Offer for placing Industrials - Industrial Transportation | 62,500,000 (a) 62,500,000 (b) | 1.18 1.18 | 73.75 73.75 | 2019/05/10 1.20 | Alliance Capital Partners Ltd. |
| 01832 | S.A.I. Leisure Group Co. Ltd. | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 9,000,000 (a) 81,000,000 (b) | 3.54 3.54 | 31.86 286.74 | 2019/05/16 1.17 | BOCOM International (Asia) Ltd. |
| 02346 | Universal Star (Holdings) Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 25,000,000 (a) 100,000,000 (b) | 1.00 1.00 | 25.00 100.00 | 2019/05/16 11.38 | Southwest Securities (HK) Capital Ltd. |
| 02230 | Medialink Group Ltd. | Offer for subscription Offer for placing Consumer Services - Media & Entertainment | 149,400,000 (a) 348,600,000 (b) | 0.45 0.45 | 67.23 156.87 | 2019/05/21 15.77 | Guotai Junan Capital Ltd. |
| 01817 | Mulsanne Group Holding Ltd. | Offer for subscription Offer for sale Offer for placing Consumer Goods - Textiles, Clothing & Personal Care | 9,559,000 (a) 695,500 (b) 190,441,000 (b) | 4.39 4.39 4.39 | 41.96 3.05 836.04 | 2019/05/27 0.48 | Credit Suisse (Hong Kong) Ltd. Citigroup Global Markets Asia Ltd. CMB International Capital Ltd. |
| 02060 | Pujiang International Group Ltd. | Offer for subscription Offer for sale Offer for placing Properties & Construction - Construction | 10,983,000 (a) 40,000,000 (b) 200,061,000 (b) | 2.68 2.68 2.68 | 29.43 107.20 536.16 | 2019/05/28 0.46 | Haitong International Capital Ltd. |
| 03868 | Xinyi Energy Holdings Ltd. | Offer for subscription Offer for placing Utilities - Utilities | 188,300,000 (a) 1,819,438,471 (b) | 1.94 1.94 | 365.30 3,529.71 | 2019/05/28 1.07 | BNP Paribas Securities (Asia) Ltd. |
| 01521 | Frontage Holdings Corporation | Offer for subscription Offer for placing Consumer Goods - Healthcare | 200,764,000 (a) 301,146,000 (b) | 3.20 3.20 | 642.44 963.67 | 2019/05/30 91.70 | Merrill Lynch Far East Ltd. Goldman Sachs (Asia) L.L.C. |
| 02181 | Mabpharm Ltd. - B | Offer for subscription Offer for placing Consumer Goods - Healthcare | 78,358,000 (a) 705,222,000 (b) | 1.50 1.50 | 117.54 1,057.83 | 2019/05/31 1.46 | China International Capital Corporation Hong Kong Securities Ltd. |
| 01905 | Haitong UniTrust International Leasing Co., Ltd. - H Shares | Offer for subscription Offer for placing Financials - Other Financials | 7,698,000 (a) 1,227,602,000 (b) | 1.88 1.88 | 14.47 2,307.89 | 2019/06/03 0.062 | Haitong International Capital Ltd. China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd. CMB International Capital Ltd. |
| 00667 | China East Education Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 33,171,000 (a) 407,523,000 (b) | 11.25 11.25 | 373.17 4,584.63 | 2019/06/12 0.761 | BNP Paribas Securities (Asia) Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|--------|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------------------------|-------------------------------|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| 06055 | China Tobacco International (HK) Co. Ltd. | Offer for subscription Offer for placing Consumer Goods - Food & Beverages | 83,335,000 (a) 108,335,000 (b) | 4.88 4.88 | 406.67 528.67 | 2019/06/12 102.04 | China International Capital Corporation Hong Kong Securities Ltd. China Merchants Securities (HK) Co., Ltd. |
| 02189 | Kato (Hong Kong) Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 100,000,000 (a) 150,000,000 (b) | 0.60 0.60 | 60.00 90.00 | 2019/06/13 80.37 | VMS Securities Ltd. |
| 06811 | Tai Hing Group Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 75,000,000 (a) 175,000,000 (b) | 3.00 3.00 | 225.00 525.00 | 2019/06/13 15.51 | BOCOM International (Asia) Ltd. |
| 03692 | Hansoh Pharmaceutical Group Co. Ltd. | Offer for subscription Offer for placing Consumer Goods - Healthcare | 38,590,000 (a) 595,382,000 (b) | 14.26 14.26 | 550.29 8,490.15 | 2019/06/14 11.77 | Morgan Stanley Asia Ltd. Citigroup Global Markets Asia Ltd. |
| 03877 | CSSC (Hong Kong) Shipping Co. Ltd. | Offer for subscription Offer for placing Financials - Other Financials | 17,544,000 (a) 1,516,476,000 (b) | 1.34 1.34 | 23.51 2,032.08 | 2019/06/17 0.114 | China International Capital Corporation Hong Kong Securities Ltd. |
| 01935 | JH Educational Technology INC. | Offer for subscription Offer for placing Consumer Services - Support Services | 18,446,000 (a) 382,384,000 (b) | 1.50 1.50 | 27.67 573.58 | 2019/06/18 0.46 | Macquarie Capital Ltd. |
| 06117 | Rizhao Port Jurong Co., Ltd. - H Shares | Offer for subscription Offer for placing Industrials - Industrial Transportation | 9,528,000 (a) 450,472,000 (b) | 1.50 1.50 | 14.29 675.71 | 2019/06/19 0.24 | China Securities (International) Corporate Finance Co. Ltd. |
| 01861 | Precious Dragon Technology Holdings Ltd. | Introduction Materials - Basic Materials | n.a. | n.a. | n.a. | 2019/06/21 n.a. | China Tonghai Capital Ltd. |
| 01769 | Scholar Education Group | Offer for subscription Offer for placing Consumer Services - Support Services | 12,490,000 (a) 112,410,000 (b) | 3.68 3.68 | 45.96 413.67 | 2019/06/21 1.57 | CLSA Capital Markets Ltd. |
| 01951 | Jinxin Fertility Group Ltd. | Offer for subscription Offer for placing Consumer Goods - Healthcare | 142,852,000 (a) 267,840,000 (b) | 8.54 8.54 | 1,219.96 2,287.35 | 2019/06/25 99.26 | Morgan Stanley Asia Ltd. CLSA Capital Markets Ltd. |
| 01849 | AM Group Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Media & Entertainment | 40,000,000 (a) 160,000,000 (b) | 0.65 0.65 | 26.00 104.00 | 2019/06/26 9.03 | Altus Capital Ltd. |
| 01909* | Fire Rock Holdings Ltd. | Transfer of Listing Information Technology - Software & Services | n.a. | n.a. | n.a. n.a. | 2019/06/27 | + n.a. |
| 01901 | Feiyang International Holdings Group Ltd. | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 37,500,000 (a) 87,500,000 (b) | 1.05 1.05 | 39.38 91.88 | 2019/06/28 19.14 | Giraffe Capital Ltd. |
| 01842 | Grown Up Group Investment Holdings Ltd. | Offer for subscription Offer for placing Consumer Goods - Textiles, Clothing & Personal Care | 25,000,000 (a) 225,000,000 (b) | 0.50 0.50 | 12.50 112.50 | 2019/06/28 8.29 | Dakin Capital Ltd. |
| 01286 | Impro Precision Industries Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 33,330,000 (a) 349,965,000 (b) | 3.00 3.00 | 99.99 1,049.90 | 2019/06/28 2.72 | Morgan Stanley Asia Ltd. BOCI Asia Ltd. |
| 01930 | Shinelong Automotive Lightweight Application Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 16,500,000 (a) 148,500,000 (b) | 0.88 0.88 | 14.52 130.68 | 2019/06/28 6.01 | Ballas Capital Ltd. |
| 01943 | Silver Tide Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 50,000,000 (a) 200,000,000 (b) | 0.50 0.50 | 25.00 100.00 | 2019/06/28 3.23 | Grande Capital Ltd. |
| 01701 | Tu Yi Holding Co. Ltd. | Offer for subscription Offer for placing Consumer Services - Hotels, Casinos, Restaurants & Leisure Facilities | 50,000,000 (a) 200,000,000 (b) | 0.52 0.52 | 26.00 104.00 | 2019/06/28 6.20 | Innovax Capital Ltd. |
| 03798 | Homeland Interactive Technology Ltd. | Offer for subscription Offer for sale Offer for placing Information Technology - Software & Services | 31,400,000 (a) 19,468,000 (b) 282,600,000 (b) | 1.35 1.35 1.35 | 42.39 26.28 381.51 | 2019/07/04 2.77 | China Everbright Capital Ltd. |
| 00924 | Khoon Group Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 25,000,000 (a) 225,000,000 (b) | 0.50 0.50 | 12.50 112.50 | 2019/07/05 13.36 | Kingsway Capital Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|---------|---------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------|-------------------------------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 02180 | ManpowerGroup Greater China Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 5,000,000 (a) 52,500,000 (b) | 9.90 9.90 | 49.50 519.75 | 2019/07/10 4.67 | Huatai Financial Holdings (Hong Kong) Ltd. |
| 01839 | CIMC Vehicles (Group) Co., Ltd. - H Shares | Offer for subscription Offer for placing Industrials - Industrial Engineering | 36,542,500 (a) 228,457,500 (b) | 6.38 6.38 | 233.14 1,457.56 | 2019/07/11 2.74 | Haitong International Capital Ltd. |
| 01977 | Analogue Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 70,000,000 (a) 280,000,000 (b) | 1.20 1.20 | 84.00 336.00 | 2019/07/12 7.59 | BOCOM International (Asia) Ltd. |
| 06093 | Hevol Services Group Co., Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 10,000,000 (a) 90,000,000 (b) | 1.28 1.28 | 12.80 115.20 | 2019/07/12 5.31 | Southwest Securities (HK) Capital Ltd. |
| 01931 | IVD Medical Holding Ltd. | Offer for subscription Offer for placing Consumer Goods - Healthcare | 33,340,000 (a) 300,060,000 (b) | 3.07 3.07 | 102.35 921.18 | 2019/07/12 2.212 | BOCI Asia Ltd. |
| 01912 | Contel Technology Co. Ltd. | Offer for subscription Offer for placing Information Technology - Semiconductors | 60,000,000 (a) 140,000,000 (b) | 0.65 0.65 | 39.00 91.00 | 2019/07/16 39.90 | Alliance Capital Partners Ltd. |
| 00382 | Edvantage Group Holdings Ltd. | Offer for subscription Offer for placing Consumer Services - Support Services | 25,000,000 (a) 243,362,000 (b) | 2.85 2.85 | 71.25 693.58 | 2019/07/16 2.42 | BNP Paribas Securities (Asia) Ltd. |
| 01134 | Kelfred Holdings Ltd. | Offer for subscription Offer for placing Consumer Goods - Textiles, Clothing & Personal Care | 25,000,000 (a) 100,000,000 (b) | 1.00 1.00 | 25.00 100.00 | 2019/07/16 29.68 | Cinda International Capital Ltd. |
| 06805 | Kimou Environmental Holding Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 28,000,000 (a) 252,000,000 (b) | 1.33 1.33 | 37.24 335.16 | 2019/07/16 1.96 | Shenwan Hongyuan Capital (H.K.) Ltd. |
| 01949 | Platt Nera International Ltd. | Offer for subscription Offer for placing Information Technology - Software & Services | 10,000,000 (a) 90,000,000 (b) | 1.25 1.25 | 12.50 112.50 | 2019/07/16 13.67 | Altus Capital Ltd. |
| 02772 | Zhongliang Holdings Group Co. Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 53,000,000 (a) 528,791,500 (b) | 5.55 5.55 | 294.15 2,934.79 | 2019/07/16 1.01 | CCB International Capital Ltd. |
| 01915 # | Yangzhou Guangling District Taihe Rural Micro-fin Co. - H shs | Transfer of Listing Financials - Other Financials | n.a. | n.a. | n.a. | 2019/07/17 n.a. | + n.a. |
| 02558 | Jinshang Bank Co., Ltd. - H Shares | Offer for subscription Offer for placing Financials - Banks | 15,196,000 (a) 955,454,000 (b) | 3.82 3.82 | 58.05 3,649.83 | 2019/07/18 0.18 | CCB International Capital Ltd. China International Capital Corporation Hong Kong Securities Ltd. CMB International Capital Ltd. |
| 01920 | Hands Form Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 195,000,000 (a) 455,000,000 (b) | 0.20 0.20 | 39.00 91.00 | 2019/08/16 15.14 | Grande Capital Ltd. |
| 01732 # | XiangXing International Holding Ltd. | Transfer of Listing Industrials - Industrial Transportation | n.a. | n.a. | n.a. | 2019/09/06 n.a. | + n.a. |
| 03928 | S&T Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 12,000,000 (a) 108,000,000 (b) | 1.07 1.07 | 12.84 115.56 | 2019/09/19 7.21 | Grande Capital Ltd. |
| 02696 | Shanghai Henlius Biotech, Inc. - B - H Shares | Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology | 6,469,600 (a) 62,592,200 (b) | 49.60 49.60 | 320.89 3,104.57 | 2019/09/25 3.89 | China International Capital Corporation Hong Kong Securities Ltd. Merrill Lynch Far East Ltd. CMB International Capital Ltd. Fosun Hani Securities Ltd. Citigroup Global Markets Asia Ltd. |
| 01876 | Budweiser Brewing Co. APAC Ltd. | Offer for subscription Offer for placing Consumer Staples - Food & Beverages | 72,586,000 (a) 1,596,873,000 (b) | 27.00 27.00 | 1,959.82 43,115.57 | 2019/09/30 3.64 | J.P. Morgan Securities (Far East) Ltd. Morgan Stanley Asia Ltd. |
| 03938 | LFG Investment Holdings Ltd. | Offer for subscription Offer for placing Financials - Other Financials | 21,600,000 (a) 50,400,000 (b) | 1.68 1.68 | 36.29 84.67 | 2019/09/30 28.42 | Lego Corporate Finance Ltd. TUS Corporate Finance Ltd. |
| 01960 | TBK & Sons Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 25,000,000 (a) 225,000,000 (b) | 0.50 0.50 | 12.50 112.50 | 2019/09/30 9.60 | Red Sun Capital Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|---------|--------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--------------------------|--------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| 06820 | FriendTimes Inc. | Offer for subscription Offer for placing Information Technology - Software & Services | 33,000,000 (a) 297,000,000 (b) | 1.52 1.52 | 50.16 451.44 | 2019/10/08 7.95 | Guotai Junan Capital Ltd. |
| 03601 | 360 Ludashi Holdings Ltd. | Offer for subscription Offer for placing Information Technology - Software & Services | 30,000,000 (a) 39,000,000 (b) | 2.70 2.70 | 81.00 105.30 | 2019/10/10 277.36 | Guosen Securities (HK) Capital Co., Ltd. |
| 06110 | Topsports International Holdings Ltd. | Offer for subscription Offer for sale Offer for placing Consumer Discretionary - Specialty Retail | 93,020,000 (a) 129,527,000 (b) 837,164,000 (b) | 8.50 8.50 8.50 | 790.67 1,100.98 7,115.89 | 2019/10/10 2.39 | Merrill Lynch Far East Ltd. Morgan Stanley Asia Ltd. |
| 01895 | Xinyuan Property Management Service (Cayman) Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 12,500,000 (a) 112,500,000 (b) | 2.08 2.08 | 26.00 234.00 | 2019/10/11 6.01 | Orient Capital (Hong Kong) Ltd. |
| 01846 | EuroEyes International Eye Clinic Ltd. | Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services | 23,802,000 (a) 67,432,000 (b) | 7.50 7.50 | 178.52 505.74 | 2019/10/15 42.72 | BOCI Asia Ltd. |
| 01582 | CR Construction Group Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 13,885,000 (a) 124,965,000 (b) | 1.00 1.00 | 13.89 124.97 | 2019/10/16 9.87 | First Shanghai Capital Ltd. |
| 01955 | Hong Kong Johnson Holdings Co., Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Support Services | 50,000,000 (a) 75,000,000 (b) | 1.00 1.00 | 50.00 75.00 | 2019/10/16 50.03 | Southwest Securities (HK) Capital Ltd. |
| 01084 | Green Future Food Hydrocolloid Marine Science Co. Ltd. | Offer for subscription Offer for placing Consumer Staples - Food & Beverages | 20,000,000 (a) 180,000,000 (b) | 1.16 1.16 | 23.20 208.80 | 2019/10/17 1.59 | Essence Corporate Finance (Hong Kong) Ltd. |
| 01283 | Accel Group Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 80,000,000 (a) 120,000,000 (b) | 0.73 0.73 | 58.40 87.60 | 2019/10/18 81.62 | Ample Capital Ltd. |
| 01959 | Centenary United Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Specialty Retail | 37,500,000 (a) 87,500,000 (b) | 1.08 1.08 | 40.50 94.50 | 2019/10/18 22.16 | Fortune Financial Capital Ltd. |
| 01967 | Confidence Intelligence Holdings Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 6,250,000 (a) 56,250,000 (b) | 2.00 2.00 | 12.50 112.50 | 2019/10/18 12.88 | Dakin Capital Ltd. |
| 02606 | Sichuan Languang Justbon Services Group Co., Ltd. - H Shares | Offer for subscription Offer for placing Properties & Construction - Properties | 17,166,500 (a) 32,187,200 (b) | 37.00 37.00 | 635.16 1,190.93 | 2019/10/18 52.89 | Huatai Financial Holdings (Hong Kong) Ltd. ABCI Capital Ltd. |
| 01843 | Snack Empire Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Travel & Leisure | 20,000,000 (a) 180,000,000 (b) | 0.65 0.65 | 13.00 117.00 | 2019/10/23 13.68 | Lego Corporate Finance Ltd. |
| 01871 | China Oriented International Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Support Services | 50,000,000 (a) 50,000,000 (b) | 1.28 1.28 | 64.00 64.00 | 2019/10/24 380.15 | Cinda International Capital Ltd. |
| 01853 | Jilin Province Chun Cheng Heating Co. Ltd. - H Shares | Offer for subscription Offer for placing Utilities - Utilities | 46,680,000 (a) 70,020,000 (b) | 2.35 2.35 | 109.70 164.55 | 2019/10/24 98.25 | BOCI Asia Ltd. |
| 06069 # | Sheng Ye Capital Ltd. | Transfer of Listing Financials - Other Financials | n.a. | n.a. | n.a. | 2019/10/24 n.a. | + n.a. |
| 01692 | Town Ray Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics | 50,000,000 (a) 50,000,000 (b) | 1.30 1.30 | 65.00 65.00 | 2019/10/25 274.49 | Alliance Capital Partners Ltd. |
| 06855 | Ascentage Pharma Group International - B | Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology | 6,090,500 (a) 7,917,500 (b) | 34.20 34.20 | 208.30 270.78 | 2019/10/28 751.99 | Merrill Lynch Far East Ltd. Citigroup Global Markets Asia Ltd. |
| 00302 | CMGE Technology Group Ltd. | Offer for subscription Offer for placing Information Technology - Software & Services | 230,500,000 (a) 299,650,000 (b) | 2.83 2.83 | 652.32 848.01 | 2019/10/31 543.83 | China International Capital Corporation Hong Kong Securities Ltd. BNP Paribas Securities (Asia) Ltd. |
| 01847 | YCIH Green High-Performance Concrete Co. Ltd. - H Shares | Offer for subscription Offer for placing Properties & Construction - Construction | 40,166,000 (a) 93,716,000 (b) | 3.36 3.36 | 134.96 314.89 | 2019/10/31 18.46 | China International Capital Corporation Hong Kong Securities Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mill) | Listing date/ subscription rate (times) | Sponsor |
|---------|------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--------------------------|--------------------------------|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| 01821 | ESR Cayman Ltd. | Offer for subscription Offer for sale Offer for placing Financials - Other Financials | 39,850,000 (a) 557,046,600 (b) 240,290,000 (b) | 16.80 16.80 16.80 | 669.48 9,358.38 4,036.87 | 2019/11/01 3.22 | Deutsche Securities Asia Ltd. CLSA Capital Markets Ltd. |
| 02163 | Changsha Broad Homes Industrial Group Co., Ltd. - H Shares | Offer for subscription Offer for placing Industrials - Industrial Engineering | 12,187,200 (a) 109,848,200 (b) | 9.68 9.68 | 117.97 1,063.33 | 2019/11/06 1.38 | China International Capital Corporation Hong Kong Securities Ltd. China Securities (International) Corporate Finance Co. Ltd. |
| 01922 | Yincheng Life Service CO., Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 33,340,000 (a) 33,812,000 (b) | 2.18 2.18 | 72.68 73.71 | 2019/11/06 382.88 | ICBC International Capital Ltd. |
| 01870 | Acme International Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 26,000,000 (a) 104,000,000 (b) | 0.97 0.97 | 25.22 100.88 | 2019/11/08 20.13 | Dongxing Securities (Hong Kong) Co. Ltd. |
| 01987 | Beng Soon Machinery Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 75,000,000 (a) 175,000,000 (b) | 0.50 0.50 | 37.50 87.50 | 2019/11/08 26.41 | Honestum International Ltd. |
| 01921 | Dalipal Holdings Ltd. | Offer for subscription Offer for placing Energy - Oil & Gas | 30,000,000 (a) 270,000,000 (b) | 1.59 1.59 | 47.70 429.30 | 2019/11/08 2.53 | CMBC International Capital Ltd. |
| 01501 | Shanghai Kindly Medical Instruments Co., Ltd. - H Shares | Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services | 20,000,000 (a) 26,000,000 (b) | 20.80 20.80 | 416.00 540.80 | 2019/11/08 267.97 | BOCOM International (Asia) Ltd. |
| 01875 | TOT BIOPHARM International Co. Ltd. - B | Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology | 9,000,000 (a) 81,000,000 (b) | 6.55 6.55 | 58.95 530.55 | 2019/11/08 12.95 | ICBC International Capital Ltd. |
| 03603 | Xinji Shaxi Group Co., Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 37,500,000 (a) 337,500,000 (b) | 1.00 1.00 | 37.50 337.50 | 2019/11/08 4.58 | RaffAello Capital Ltd. |
| 01427 | China Tianbao Group Development Co. Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 15,850,000 (a) 193,606,000 (b) | 2.50 2.50 | 39.63 484.02 | 2019/11/11 0.79 | ABCI Capital Ltd. Guotai Junan Capital Ltd. |
| 01640 | Ruicheng (China) Media Group Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment | 30,000,000 (a) 70,000,000 (b) | 1.25 1.25 | 37.50 87.50 | 2019/11/12 19.47 | Dongxing Securities (Hong Kong) Co. Ltd. |
| 03681 | SinoMab BioScience Ltd. - B | Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology | 18,213,000 (a) 163,916,400 (b) | 7.60 7.60 | 138.42 1,245.76 | 2019/11/12 12.87 | China International Capital Corporation Hong Kong Securities Ltd. Orient Capital (Hong Kong) Ltd. |
| 06186 | China Feihe Ltd. | Offer for subscription Offer for sale Offer for placing Consumer Staples - Food & Beverages | 89,334,000 (a) 309,000 (b) 804,006,000 (b) | 7.50 7.50 7.50 | 670.01 2.32 6,030.05 | 2019/11/13 13.14 | J.P. Morgan Securities (Far East) Ltd. China Merchants Securities (HK) Co. Ltd. CCB International Capital Ltd. |
| 06908 # | HongGuang Lighting Holdings Co. Ltd. | Transfer of Listing Industrials - Industrial Engineering | n.a. | n.a. | n.a. | 2019/11/13 n.a. | + n.a. |
| 01346 | Lever Style Corporation | Offer for subscription Offer for sale Offer for placing Consumer Discretionary - Textiles & Clothing | 51,840,000 (a) 12,800,000 (b) 108,160,000 (b) | 0.85 0.85 0.85 | 44.06 10.88 91.94 | 2019/11/13 31.20 | Altus Capital Ltd. |
| 01401 | Sprocomm Intelligence Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 50,000,000 (a) 200,000,000 (b) | 0.50 0.50 | 25.00 100.00 | 2019/11/13 74.00 | Dakin Capital Ltd. |
| 01747 | Home Control International Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics | 37,500,000 (a) 87,500,000 (b) | 1.02 1.02 | 38.25 89.25 | 2019/11/14 16.14 | Mason Global Capital Ltd. |
| 03348 | China PengFei Group Ltd. | Offer for subscription Offer for placing Industrials - Industrial Engineering | 37,500,000 (a) 87,500,000 (b) | 1.58 1.58 | 59.25 138.25 | 2019/11/15 27.72 | Essence Corporate Finance (Hong Kong) Ltd. |
| 02103 | Sinic Holdings (Group) Co. Ltd. | Offer for subscription Offer for sale Offer for placing Properties & Construction - Properties | 37,569,000 (a) 40,775,000 (b) 491,843,000 (b) | 3.98 3.98 3.98 | 149.52 162.28 1,957.54 | 2019/11/15 0.71 | ABCI Capital Ltd. Huatai Financial Holdings (Hong Kong) Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mil) | Listing date/ subscription rate (times) | Sponsor |
|---------|-------------------------------------------------|----------------------------------------------------------------------------------------------------------|------------------------------------|--------------------------|------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 01792 * | CMON Ltd. | Transfer of Listing Consumer Discretionary - Household Goods & Electronics | n.a. | n.a. | n.a. | 2019/11/19 n.a. | + n.a. |
| 02296 | Huarchi Global Group Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 100,000,000 (a) 400,000,000 (b) | 0.25 0.25 | 25.00 100.00 | 2019/11/21 61.00 | Ample Capital Ltd. |
| 01756 | Huali University Group Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Support Services | 30,000,000 (a) 270,000,000 (b) | 3.26 3.26 | 97.80 880.20 | 2019/11/25 3.72 | China Securities (International) Corporate Finance Co. Ltd. |
| 09988 | Alibaba Group Holding Ltd. - SW | Offer for subscription Offer for placing Information Technology - Software & Services | 50,000,000 (a) 525,000,000 (b) | 176.00 176.00 | 8,800.00 92,400.00 | 2019/11/26 42.44 | China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd. |
| 01425 | Justin Allen Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Textiles & Clothing | 31,250,000 (a) 281,250,000 (b) | 0.40 0.40 | 12.50 112.50 | 2019/11/28 13.96 | Guotai Junan Capital Ltd. |
| 03759 | Pharmaron Beijing Co., Ltd. - H Shares | Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology | 58,268,100 (a) 75,748,400 (b) | 39.50 39.50 | 2,301.59 2,992.06 | 2019/11/28 114.23 | Goldman Sachs (Asia) L.L.C. CLSA Capital Markets Ltd. Orient Capital (Hong Kong) Ltd. |
| 02231 | JY Grandmark Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 40,000,000 (a) 406,173,000 (b) | 3.16 3.16 | 126.40 1,283.51 | 2019/12/05 1.89 | DBS Asia Capital Ltd. |
| 02500 | Venus Medtech (Hangzhou) Inc. - B - H Shares | Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services | 39,269,000 (a) 51,049,000 (b) | 33.00 33.00 | 1,295.88 1,684.62 | 2019/12/10 312.42 | Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd. China Merchants Securities (HK) Co., Ltd. |
| 09966 | Alphamab Oncology - B | Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology | 89,702,000 (a) 116,611,000 (b) | 10.20 10.20 | 914.96 1,189.43 | 2019/12/12 192.10 | Morgan Stanley Asia Ltd. CLSA Capital Markets Ltd. Jefferies Hong Kong Ltd. |
| 03860 * | Speed Apparel Holding Ltd. | Transfer of Listing Consumer Discretionary - Textiles & Clothing | n.a. | n.a. | n.a. | 2019/12/12 n.a. | + n.a. |
| 02400 | XD Inc. | Offer for subscription Offer for placing Information Technology - Software & Services | 6,360,000 (a) 61,300,000 (b) | 11.10 11.10 | 70.60 680.43 | 2019/12/12 11.19 | CLSA Capital Markets Ltd. |
| 01593 | Chen Lin Education Group Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Support Services | 31,798,000 (a) 218,202,000 (b) | 2.20 2.20 | 69.96 480.04 | 2019/12/13 1.73 | First Shanghai Capital Ltd. |
| 06919 | Renrui Human Resources Technology Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Support Services | 3,141,400 (a) 37,988,700 (b) | 26.60 26.60 | 83.56 1,010.50 | 2019/12/13 0.83 | BNP Paribas Securities (Asia) Ltd. |
| 03680 | Suoxinda Holdings Ltd. | Offer for subscription Offer for placing Information Technology - Software & Services | 30,000,000 (a) 70,000,000 (b) | 1.50 1.50 | 45.00 105.00 | 2019/12/13 15.16 | Essence Corporate Finance (Hong Kong) Ltd. |
| 06966 * | China Wan Tong Yuan (Holdings) Ltd. | Transfer of Listing Consumer Discretionary - Support Services | n.a. | n.a. | n.a. | 2019/12/17 n.a. | + n.a. |
| 01402 * | i-Control Holdings Ltd. | Transfer of Listing Information Technology - Software & Services | n.a. | n.a. | n.a. | 2019/12/18 n.a. | + n.a. |
| 01691 | JS Global Lifestyle Co. Ltd. | Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics | 49,983,000 (a) 524,821,500 (b) | 5.20 5.20 | 259.91 2,729.07 | 2019/12/18 2.68 | Credit Suisse (Hong Kong) Ltd. Morgan Stanley Asia Ltd. ICBC International Capital Ltd. |
| 01553 | Maike Tube Industry Holdings Ltd. | Offer for subscription Offer for placing Materials - Diversified Metals & Minerals | 9,840,000 (a) 88,560,000 (b) | 2.28 2.28 | 22.44 201.92 | 2019/12/18 8.27 | Fortune Financial Capital Ltd. |
| 06193 | Tailam Tech Construction Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Construction | 15,800,000 (a) 63,200,000 (b) | 1.30 1.30 | 20.54 82.16 | 2019/12/18 41.99 | South China Capital Ltd. |
| 01529 * | Goal Rise Logistics (China) Holdings Ltd. | Transfer of Listing Industrials - Industrial Transportation | n.a. | n.a. | n.a. | 2019/12/19 n.a. | + n.a. |
| 06049 | Poly Property Development Co., Ltd. - H Shares | Offer for subscription Offer for placing Properties & Construction - Properties | 65,333,600 (a) 87,999,800 (b) | 35.10 35.10 | 2,293.21 3,088.79 | 2019/12/19 222.68 | GF Capital (Hong Kong) Ltd. Huatai Financial Holdings (Hong Kong) Ltd. ABCI Capital Ltd. |

Newly listed companies (continued)

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mil) | Listing date/ subscription rate (times) | Sponsor |
|--------------|-----------------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------|--------------------------|------------------------------|--------------------------------------------------|----------------------------------------------------------------------------------|
| 09928 | Times Neighborhood Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 16,182,000 (a) 145,638,000 (b) | 5.15 5.15 | 83.34 750.04 | 2019/12/19 10.61 | Haitong International Capital Ltd. |
| 06928 # | TOMO Holdings Ltd. | Transfer of Listing Consumer Discretionary - Automobiles | n.a. | n.a. | n.a. | 2019/12/23 n.a. | + n.a. |
| 01410 # | Edvance International Holdings Ltd. | Transfer of Listing Information Technology - Software & Services | n.a. | n.a. | n.a. | 2019/12/24 n.a. | + n.a. |
| 06199 | Bank of Guizhou Co., Ltd. - H Shares | Offer for subscription Offer for placing Financials - Banks | 55,873,000 (a) 2,144,127,000 (b) | 2.48 2.48 | 138.57 5,317.43 | 2019/12/30 0.25 | ABCi Capital Ltd. CCB International Capital Ltd. CLSA Capital Markets Ltd. |
| 09900 # | Gain Plus Holdings Ltd. | Transfer of Listing Properties & Construction - Construction | n.a. | n.a. | n.a. | 2019/12/30 n.a. | + n.a. |
| 09909 | Powerlong Commercial Management Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction - Properties | 60,000,000 (a) 112,500,000 (b) | 9.50 9.50 | 570.00 1,068.75 | 2019/12/30 53.24 | ABCi Capital Ltd. |
| 09911 | Newborn Town Inc. | Offer for subscription Offer for sale Offer for placing Information Technology - Software & Services | 68,000,000 (a) 20,400,000 (b) 68,000,000 (b) | 1.68 1.68 1.68 | 114.24 34.27 114.24 | 2019/12/31 1,441.83 | CMBC International Capital Ltd. |
| 01542 | Taizhou Water Group Co., Ltd. - H Shares | Offer for subscription Offer for placing Utilities - Utilities | 5,000,000 (a) 45,000,000 (b) | 4.22 4.22 | 21.10 189.90 | 2019/12/31 1.46 | Sinolink Securities (Hong Kong) Co., Ltd. |
| Total | | | | | 313,271.46 | | |

(a) Hong Kong offers

(b) International offers

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2019.

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

* Effective date of the transfer of listing from GEM to Main Board

Withdrawal of listed companies

| Code | Company | Last trading date | Delisted date |
|-------|--------------------------------------------------------------|-------------------|---------------|
| 00368 | Sinotrans Shipping Ltd. | 2018/12/14 | 2019/01/16 |
| 03355 | Advanced Semiconductor Manufacturing Corporation Ltd. –H Shs | 2019/01/17 | 2019/01/25 |
| 03777 | China Fiber Optic Network System Group Ltd. | 2016/10/12 | 2019/02/14 |
| 00873 | China Taifeng Beddings Holdings Ltd. | 2015/02/26 | 2019/02/21 |
| 00909 | Zhongda International Holdings Ltd. | 2011/09/02 | 2019/03/08 |
| 00054 | Hopewell Holdings Ltd. | 2019/04/17 | 2019/05/03 |
| 00566 | Hanergy Thin Film Power Group Ltd. | 2015/05/20 | 2019/06/11 |
| 00835 | Asia Coal Ltd. | 2017/09/29 | 2019/06/18 |
| 01197 | China Hengshi Foundation Co. Ltd. | 2019/06/25 | 2019/07/05 |
| 00735 | China Power Clean Energy Development Co. Ltd. | 2019/07/16 | 2019/08/21 |
| 00300 | Shenji Group Kunming Machine Tool Co. Ltd. – H Shares | 2017/03/22 | 2019/08/26 |
| 01135 | Asia Satellite Telecommunications Holdings Ltd. | 2019/08/26 | 2019/09/05 |
| 01174 | Pacific Andes International Holdings Ltd. | 2015/11/25 | 2019/09/26 |
| 02229 | Changgang Dunxin Enterprise Co. Ltd. | 2016/01/19 | 2019/10/16 |
| 00569 | China Automation Group Ltd. | 2019/10/10 | 2019/10/29 |
| 00121 | C.P. Lotus Corporation | 2019/10/08 | 2019/10/29 |
| 00903 | TPV Technology Ltd. | 2019/11/04 | 2019/11/15 |
| 01236 | National Agricultural Holdings Ltd. | 2017/03/27 | 2019/11/22 |
| 01819 | Fuguiniao Co., Ltd. – H Shares | 2016/08/31 | 2019/11/25 |
| 00692 | Shenzhou Space Park Group Ltd. | 2017/06/21 | 2019/12/10 |
| 06863 | China Huishan Dairy Holdings Co. Ltd. | 2017/03/24 | 2019/12/23 |
| 01048 | Yorkshine Holdings Ltd. | 2017/07/31 | 2019/12/27 |
| 00404 | Hsin Chong Group Holdings Ltd. | 2017/03/31 | 2019/12/31 |

Company name changes

| Code | Former name | New name | Effective date | Adoption date |
|-------------|-------------------------------------------------------------|------------------------------------------------------------|----------------|---------------|
| 01573 | China Unienergy Group Ltd. | Southern Energy Holdings Group Ltd. | 2018/12/03 | 2019/01/11 |
| 00629 | Yue Da Mining Holdings Ltd. | Yue Da International Holdings Ltd. | 2018/12/05 | 2019/01/11 |
| 00704 | Huscoke Resources Holdings Ltd. | Huscoke Holdings Ltd. | 2018/11/29 | 2019/01/21 |
| 01106 | Sino Haijing Holdings Ltd. | Ming Lam Holdings Ltd. | 2019/01/07 | 2019/02/08 |
| 00139 | China Soft Power Technology Holdings Ltd. | Central Wealth Group Holdings Ltd. | 2019/01/14 | 2019/02/11 |
| 00206 | TSC Group Holdings Ltd. | CMIC Ocean En-Tech Holding Co., Ltd. | 2019/01/11 | 2019/02/18 |
| 00112 | LT Commercial Real Estate Ltd. | Lerthai Group Ltd. | 2019/02/15 | 2019/02/21 |
| 02113 | CHerish Holdings Ltd. | Century Group International Holdings Ltd. | 2019/01/31 | 2019/03/08 |
| 01629 | Mengke Holdings Ltd. | Champion Alliance International Holdings Ltd. | 2019/02/20 | 2019/03/20 |
| 00261 | CCT Land Holdings Ltd. | Greater Bay Area Investments Group Holdings Ltd. | 2019/02/28 | 2019/03/29 |
| 02203 | Top Dynamic International Holdings Ltd. | Brainhole Technology Ltd. | 2019/02/21 | 2019/04/09 |
| 00830 | Far East Global Group Ltd. | China State Construction Development Holdings Ltd. | 2019/03/20 | 2019/04/23 |
| 00622 | Enerchina Holdings Ltd. * | Oshidori International Holdings Ltd. | 2019/03/27 | 2019/04/29 |
| 01682 | Hua Long Jin Kong Co. Ltd. | Hang Pin Living Technology Co. Ltd. | 2019/03/12 | 2019/05/08 |
| 00171 | Silver Grant International Industries Ltd. | Silver Grant International Holdings Group Ltd. | 2019/05/24 | 2019/05/29 |
| 01282 | China Goldjoy Group Ltd. | Glory Sun Financial Group Ltd. | 2019/05/02 | 2019/05/31 |
| 01360 | Mega Expo Holdings Ltd. | NOVA Group Holdings Ltd. | 2019/05/15 | 2019/06/13 |
| 00737/80737 | Hopewell Highway Infrastructure Ltd. | Shenzhen Investment Holdings Bay Area Development Co. Ltd. | 2019/04/30 | 2019/06/19 |
| 01387 | Renhe Commercial Holdings Co. Ltd. | China Dili Group | 2019/05/29 | 2019/06/19 |
| 02239 | SMIT Holdings Ltd. # | SMIT Holdings Ltd. | 2019/05/16 | 2019/06/19 |
| 00863 | Branding China Group Ltd. | BC Technology Group Ltd. | 2019/05/16 | 2019/06/21 |
| 01259 | China Child Care Corporation Ltd. | Future Development Holdings Ltd. | 2019/05/02 | 2019/06/26 |
| 01160 | Grand Investment International Ltd. | Youth Champ Financial Group Holdings Ltd. | 2019/05/21 | 2019/06/27 |
| 00464 | Kenford Group Holdings Ltd. | China Overseas Nuoxin International Holdings Ltd. | 2019/05/31 | 2019/07/03 |
| 03709 | Koradior Holdings Ltd. | EEKA Fashion Holdings Ltd. | 2019/06/26 | 2019/07/03 |
| 01983 | Luzhou City Commercial Bank Co., Ltd. – H Shares | Luzhou Bank Co., Ltd. – H Shares | 2019/06/28 | 2019/07/04 |
| 00299 | New Sports Group Ltd. | Glory Sun Land Group Ltd. | 2019/06/04 | 2019/07/04 |
| 01755 | Xinchengyue Holdings Ltd. | S-Enjoy Service Group Co., Ltd. | 2019/05/21 | 2019/07/04 |
| 00672 | Zhong An Real Estate Ltd. | Zhong An Group Ltd. | 2019/06/06 | 2019/07/08 |
| 02133 | China Polymetallic Mining Ltd. | Greenway Mining Group Ltd. | 2019/06/05 | 2019/07/09 |
| 00141 | Great China Holdings Ltd. | Sky Chinafortune Holdings Group Ltd. | 2019/06/28 | 2019/07/09 |
| 00751 | Skyworth Digital Holdings Ltd. | Skyworth Group Ltd. | 2019/06/11 | 2019/07/11 |
| 01328 | International Elite Ltd. | Goldstream Investment Ltd. | 2019/06/04 | 2019/07/12 |
| 00185 | ZH International Holdings Ltd. | Zensun Enterprises Ltd. | 2019/07/08 | 2019/07/15 |
| 01626 | Tourism International Holdings Ltd. | Jia Yao Holdings Ltd. | 2019/06/04 | 2019/07/16 |
| 01073 | China Agrotech Holdings Ltd. | Da Yu Financial Holdings Ltd. | 2019/06/14 | 2019/07/17 |
| 02168 | Kaisa Property Holdings Ltd. | Kaisa Prosperity Holdings Ltd. | 2019/06/24 | 2019/07/18 |
| 00033 | Asia Investment Finance Group Ltd. | China Cloud Copper Co. Ltd. | 2019/06/20 | 2019/07/25 |
| 00613 | Yugang International Ltd. | Planetree International Development Ltd. | 2019/06/13 | 2019/07/26 |
| 00994 | Win Win Way Construction Holdings Ltd. | CT Vision (International) Holdings Ltd. | 2019/06/19 | 2019/08/05 |
| 00526 | Lisi Group (Holdings) Ltd. | China Automobile New Retail (Holdings) Ltd. | 2019/07/02 | 2019/08/07 |
| 06133 | Vital Mobile Holdings Ltd. | Vital Innovations Holdings Ltd. | 2019/07/10 | 2019/08/08 |
| 00090 | Amber Energy Ltd. | Puxing Clean Energy Ltd. | 2019/07/11 | 2019/08/09 |
| 00687 | Hong Kong Int'l Construction Inv Management Group Co., Ltd. | Tysan Holdings Ltd. | 2019/06/19 | 2019/08/15 |
| 02006 | Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd. – H Shares | Shanghai Jin Jiang Capital Co. Ltd. – H Shares | 2019/07/15 | 2019/08/16 |
| 02322 | Noble Century Investment Holdings Ltd. | Hong Kong ChaoShang Group Ltd. | 2019/07/22 | 2019/08/27 |
| 01327 | Time2U International Holding Ltd. | Luxu Group Ltd. | 2019/07/29 | 2019/09/04 |
| 01741 | Shing Chi Holdings Ltd. | Ri Ying Holdings Ltd. | 2019/08/27 | 2019/09/18 |
| 01683 | Royal China International Holdings Ltd. | Hope Life International Holdings Ltd. | 2019/08/26 | 2019/09/23 |
| 00789 | Primeview Holdings Ltd. | Artini Holdings Ltd. | 2019/08/28 | 2019/09/27 |
| 00660 | Wai Chun Mining Industry Group Co. Ltd. | Wai Chun Bio-Technology Ltd. | 2019/09/03 | 2019/10/04 |
| 01259 | Future Development Holdings Ltd. * | Prosperous Future Holdings Ltd. | 2019/09/11 | 2019/10/15 |
| 00475 | Zhong Fa Zhan Holdings Ltd. * | Central Development Holdings Ltd. | 2019/09/05 | 2019/10/16 |
| 02225 | Kakiko Group Ltd. | Jinhai International Group Holdings Ltd. | 2019/09/19 | 2019/10/21 |
| 01194 | Munsun Capital Group Ltd. | Bay Area Gold Group Ltd. | 2019/10/07 | 2019/10/29 |
| 00076 | South Sea Petroleum Holdings Ltd. | Elate Holdings Ltd. | 2019/10/23 | 2019/11/01 |
| 01611 | Pantronics Holdings Ltd. | Huobi Technology Holdings Ltd. | 2019/10/11 | 2019/11/18 |
| 01735 | Wang Yang Holdings Ltd. | Central Holding Group Co. Ltd. | 2019/10/25 | 2019/11/19 |
| 01143 | China Healthcare Enterprise Group Ltd. | Link-Asia International Co. Ltd. | 2019/10/30 | 2019/11/20 |
| 00357 | Regal International Airport Group Co. Ltd. – H Shares | Hainan Meilan International Airport Co. Ltd. – H Shares | 2019/10/17 | 2019/11/20 |
| 01030 | Future Land Development Holdings Ltd. * | Seazen Group Ltd. | 2019/10/31 | 2019/11/22 |
| 00106 | Landsea Green Group Co., Ltd. | Landsea Green Properties Co., Ltd. | 2019/10/08 | 2019/11/26 |
| 00723 | Sustainable Forest Holdings Ltd. | Reliance Global Holdings Ltd. | 2019/11/14 | 2019/12/10 |
| 00286 | Common Splendor International Health Industry Group Ltd. | Aidigong Maternal & Child Health Ltd. | 2019/11/05 | 2019/12/12 |
| 06877 | KVB Kunlun Financial Group Ltd. | CLSA Premium Ltd. | 2019/10/31 | 2019/12/13 |
| 01468 | UKF (Holdings) Ltd. | Kingkey Financial International (Holdings) Ltd. | 2019/11/06 | 2019/12/13 |
| 00959 | Amax International Holdings Ltd. | Century Entertainment International Holdings Ltd. | 2019/10/14 | 2019/12/16 |
| 00726 | China Minsheng DIT Group Ltd. | DIT Group Ltd. | 2019/11/07 | 2019/12/17 |
| 01036 | Vanke Property (Overseas) Ltd. | Vanke Overseas Investment Holding Co. Ltd. | 2019/11/01 | 2019/12/17 |
| 01561 | Manfield Chemical Holdings Ltd. | Pan Asia Data Holdings Inc. | 2019/11/19 | 2019/12/20 |
| 00264 | Ascent International Holdings Ltd. | China International Development Corporation Ltd. | 2019/11/26 | 2019/12/30 |

* Change of English name only

Change of Chinese name only

Investment companies as at the end of 2019

| Code | Name | Classification | Incorporation | Listing date |
|-------|--------------------------------------------------------|----------------|----------------|--------------|
| 02324 | Capital VC Ltd. | Financials | Cayman Islands | 2003/10/27 |
| 01062 | China Development Bank International Investment Ltd. | Financials | Cayman Islands | 1994/05/10 |
| 00612 | China Ding Yi Feng Holdings Ltd. | Financials | Cayman Islands | 2002/01/02 |
| 00721 | China Financial International Investments Ltd. | Financials | Bermuda | 2001/06/08 |
| 02312 | China Financial Leasing Group Ltd. | Financials | Cayman Islands | 2002/10/28 |
| 01217 | China Innovation Investment Ltd. | Financials | Cayman Islands | 2002/08/28 |
| 00810 | China Internet Investment Finance Holdings Ltd. | Financials | Bermuda | 2002/02/28 |
| 01226 | China Investment and Finance Group Ltd. | Financials | Cayman Islands | 2002/09/19 |
| 00204 | China Investment Development Ltd. | Financials | Bermuda | 2000/12/12 |
| 00133 | China Merchants China Direct Investments Ltd. | Financials | Hong Kong | 1993/07/22 |
| 00080 | China New Economy Fund Ltd. | Financials | Cayman Islands | 2011/01/06 |
| 00428 | Cocoon Holdings Ltd. | Financials | Bermuda | 1993/12/14 |
| 00339 | Core Economy Investment Group Ltd. | Financials | Bermuda | 2000/07/26 |
| 00356 | DT Capital Ltd. | Financials | Cayman Islands | 2002/06/07 |
| 00901 | Eagle Ride Investment Holdings Ltd. | Financials | Cayman Islands | 2002/02/28 |
| 00905 | Global Mastermind Capital Ltd. | Financials | Bermuda | 1998/07/17 |
| 01227 | National Investments Fund Ltd. | Financials | Cayman Islands | 2002/09/27 |
| 01140 | OP Financial Ltd. | Financials | Cayman Islands | 2003/03/20 |
| 00310 | Prosperity Investment Holdings Ltd. | Financials | Bermuda | 1992/12/21 |
| 00770 | Shanghai International Shanghai Growth Investment Ltd. | Financials | Cayman Islands | 1993/11/30 |
| 00666 | SHK Hong Kong Industries Ltd. | Financials | Hong Kong | 1990/12/21 |
| 00768 | UBA Investments Ltd. | Financials | Cayman Islands | 2000/01/18 |
| 00913 | Unity Investments Holdings Ltd. | Financials | Cayman Islands | 1999/10/27 |
| 01160 | Youth Champ Financial Group Holdings Ltd. | Financials | Bermuda | 2004/04/02 |

Secondary listing stocks as at the end of 2019

| Code | Name | Classification | Incorporation | Listing date | Primary list Exchange |
|-------|---------------------------------|------------------------|----------------|--------------|-----------------------|
| 00945 | Manulife Financial Corporation | Financials | Canada | 1999/09/27 | Toronto |
| 01878 | SouthGobi Resources Ltd. | Energy | Canada | 2010/01/29 | Toronto |
| 01021 | Midas Holdings Ltd. | Materials | Singapore | 2010/10/06 | Singapore |
| 06288 | Fast Retailing Co., Ltd. – DR | Consumer Discretionary | Japan | 2014/03/05 | Tokyo |
| 09988 | Alibaba Group Holding Ltd. – SW | Information Technology | Cayman Islands | 2019/11/26 | New York |

Reorganisations/demergers

| Code | Company | Particulars | Proposed date Completed/EGM date |
|-------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|
| 03883 | China Aoyuan Group Ltd. (China Aoyuan) | China Aoyuan proposed spin-off and separate listing of Aoyuan Healthy Life Group Co. Ltd. (Aoyuan Healthy) by way of new issue and placing. Shareholders of China Aoyuan entitled to participate in the preferential offer 1 Aoyuan Healthy share for 85 China Aoyuan shares at a price of HK\$3.66 per share. The shares of Aoyuan Healthy would be listed on the SEHK. | 2018/08/31 2019/03/18 |
| 00896 | Hanison Construction Holdings Ltd. (Hanison) | Hanison proposed spin-off and separate listing of Million Hope Industries Holdings Ltd. (Million Hope) by way of introduction. Shareholders of Hanison entitled to receive distribution in specie of 2 Million Hope shares for 5 Hanison shares. The shares of Million Hope would be listed on the SEHK. | 2018/09/19 2019/03/19 |
| 01093 | CSPC Pharmaceutical Group Ltd. (CSPC Pharma) | CSPC Pharma proposed spin-off and separate listing of CSPC Innovation Pharmaceutical Co., Ltd. (CSPC Innovation). The A shares of CSPC Innovation would be listed on the Shenzhen Stock Exchange. | 2017/12/21 2019/03/22 |
| 01728 | China ZhengTong Auto Services Holdings Ltd. (China ZhengTong) | China ZhengTong proposed spin-off and separate listing of Shanghai Dongzheng Automotive Finance Co., Ltd. (Shanghai Dongzheng) by way of new issue and placing. Shareholders of China ZhengTong entitled to participate in the preferential offer of 1 Shanghai Dongzheng H share for 92 China ZhengTong shares at a price of HK\$3.06 per H share. The H shares of Shanghai Dongzheng would be listed on the SEHK. | 2018/11/21 2019/04/03 |
| 00257 | China Everbright International Ltd. (China Everbright Int'l) | China Everbright Int'l proposed spin-off and separate listing of China Everbright Water Ltd. (CE Water) by way of new issue and placing. The shares of CE Water would be listed on the SEHK. | 2018/08/03 2019/05/08 |
| 00968 | Xinyi Solar Holdings Ltd. (Xinyi Solar) | Xinyi Solar proposed spin-off and separate listing of Xinyi Energy Holdings Ltd. (Xinyi Energy) by way of new issue and placing. Shareholders of Xinyi Solar entitled to participate in the preferential offer of 1 Xinyi Energy for 10 Xinyi Solar shares at a price of HK\$1.94 per share. The shares of Xinyi Energy would be listed on the SEHK. | 2019/03/15 2019/05/28 |
| 06837 | Haitong Securities Co., Ltd. – H Shares (Haitong Securities) | Haitong Securities proposed spin-off and separate listing of Haitong UniTrust International Leasing Co., Ltd. (Haitong UniTrust) by way of new issue and placing. Shareholders of Haitong Securities entitled to participate in the preferential offer of 1 Haitong UniTrust H share for 28 Haitong Securities H shares at a price of HK\$1.88 per H share. The H shares of Haitong UniTrust would be listed on the SEHK. | 2017/03/29 2019/06/03 |
| 06898 | China Aluminum Cans Holdings Ltd. (China Aluminum) | China Aluminum proposed spin-off and separate listing of Precious Dragon Technology Holdings Ltd. (Precious Dragon) by way of introduction. Shareholders of China Aluminum entitled to receive distribution in specie of 1 Precious Dragon share for 4 China Aluminum shares. The shares of Precious Dragon would be listed on the SEHK. | 2018/09/28 2019/06/21 |
| 02039 | China Int'l Marine Containers (Group) Co., Ltd. – H Shares (China Int'l Marine) | China Int'l Marine proposed spin-off and separate listing of CIMC Vehicles (Group) Co., Ltd. (CIMC Vehicles) by way of new issue and placing. Shareholders of China Int'l Marine entitled to participate in the preferential offer 1 CIMC Vehicles H share for 103 China Int'l Marine H shares at a price of HK\$6.38 per H share. The H shares of CIMC Vehicles would be listed on the SEHK. | 2018/12/21 2019/07/11 |
| 06886 | Huatai Securities Co., Ltd. – H Shares (Huatai Securities) | Huatai Securities proposed spin-off and separate listing of AssetMark Financial Holdings, Inc. (AssetMark) by way of new issue and placing. The shares of AssetMark would be listed on the New York Stock Exchange. | 2018/08/30 2019/07/18 |
| 06899 | Ourgame International Holdings Ltd. (Ourgame Int'l) | Ourgame Int'l proposed spin-off of the entire Esports business and WPT Business by way of transaction merger, thereby effectively resulting in the Merger Businesses becoming separately listed on the Nasdaq. | 2019/06/19 2019/08/09 |
| 00647 | Joyce Boutique Holdings Ltd. (Joyce Boutique Holdings) | By a scheme of arrangement, Joyce Boutique Holdings became a wholly-owned subsidiary of Joyce Boutique Group Ltd. (Joyce Boutique Group). Shareholders received 1 Joyce Boutique Group share in exchange for 1 Joyce Boutique Holdings share. The listing of the shares of Joyce Boutique Holdings would be withdrawn and the shares of Joyce Boutique Group would be listed on the Stock Exchange by way of introduction. | 2019/07/22 2019/08/27 |
| 00656 | Fosun International Ltd. (Fosun Int'l) | Fosun Int'l and Fosun Pharma proposed spin-off and separate listing of Shanghai Henlius Biotech, Inc. (Henlius) by way of new issue and placing. Shareholders of Fosun Int'l and Fosun Pharma entitled to participate in the preferential offer 1 Henlius H share for 2,041 Fosun Int'l shares and 1 Henlius H share for 132 Fosun Pharma H shares at a price of HK\$49.60 per H share. The H shares of Henlius would be listed on the SEHK. | 2018/09/27 2019/09/25 |
| 02196 | Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares (Fosun Pharm) | | |
| 02378 | Prudential plc (Prudential) | Prudential proposed demerger and separate listing of M&G plc (M&G). Shareholders of Prudential entitled to receive distribution in specie of 1 M&G share for 1 Prudential share (with share sale option). The shares of M&G would be listed on the London Stock Exchange. | 2019/09/25 2019/10/21 |
| 03888 | Kingsoft Corporation Ltd. (Kingsoft) | Kingsoft proposed spin-off and separate listing of Beijing Kingsoft Office Software, Inc. (Beijing Office Software). The A shares of Beijing Office Software would be listed on the Shenzhen Stock Exchange. | 2017/05/08 2019/11/18 |
| 01233 | Times China Holdings Ltd. (Times China) | Times China proposed spin-off and separate listing of Times Neighborhood Holdings Ltd. (Times Neighborhood) by way of new issue and placing. Shareholders of Times China entitled to participate in the preferential offer 1 Times Neighborhood share for 24 Times China shares at a price of HK\$5.15 per share; distribution of 1 Times Neighborhood share for every 2.6 Times China shares. The shares of Times Neighborhood would be listed on the SEHK. | 2019/09/09 2019/12/19 |
| 01238 | Powerlong Real Estate Holdings Ltd. (Powerlong Real Estate) | Powerlong Real Estate proposed spin-off and separate listing of Powerlong Commercial Management Holdings Ltd. (Powerlong CM) by way of new issue and placing. Shareholders of Powerlong Real Estate entitled to participate in the preferential offer 1 Powerlong CM share for 100 Powerlong Real Estate shares at a price of HK\$9.50 per share. The shares of Powerlong CM would be listed on the SEHK. | 2019/08/20 2019/12/30 |

Privatisations by major shareholder

| Code | Company | Particulars | Last trading date | Delisted date |
|-------|---------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------|---------------|
| 00368 | Sinotrans Shipping Ltd. | Cash offer HK\$2.70 for each share | 2018/12/14 | 2019/01/16 |
| 03355 | Advanced Semiconductor Manufacturing Corporation Ltd. – H Shs | Cash offer HK\$1.50 for each H share | 2019/01/17 | 2019/01/25 |
| 00054 | Hopewell Holdings Ltd. | Cash offer HK\$38.80 for each share | 2019/04/17 | 2019/05/03 |
| 00566 | Hanergy Thin Film Power Group Ltd. | Stock replacement 1 China Common Rich Renewable Energy Investments Ltd. share for 1 | 2015/05/20 | 2019/06/11 |
| 01197 | China Hengshi Foundation Co. Ltd. | Cash offer HK\$2.50 for each share | 2019/06/25 | 2019/07/05 |
| 00735 | China Power Clean Energy Development Co. Ltd. | Cash offer HK\$5.45 or 6 China Power New Energy Ltd. shares for each share | 2019/07/16 | 2019/08/21 |
| 01135 | Asia Satellite Telecommunications Holdings Ltd. | Cash offer HK\$10.22 for each share | 2019/08/26 | 2019/09/05 |
| 00569 | China Automation Group Ltd. | Cash offer HK\$1.50 for each share | 2019/10/10 | 2019/10/29 |
| 00121 | C.P. Lotus Corporation | Cash offer HK\$0.11 for each ordinary share or convertible preference share | 2019/10/08 | 2019/10/29 |
| 00903 | TPV Technology Ltd. | Cash offer HK\$3.86 for each share | 2019/11/04 | 2019/11/15 |

Change of domicile

| Code | Former company | New company | Place of incorporation | Effective date |
|-------|--------------------------------|--------------------------------|------------------------|----------------|
| 01831 | ShiFang Holding Ltd. | ShiFang Holding Ltd. | Bermuda | 2019/03/19 |
| 00428 | Cocoon Holdings Ltd. | Cocoon Holdings Ltd. | Bermuda | 2019/04/02 |
| 01400 | Moody Technology Holdings Ltd. | Moody Technology Holdings Ltd. | Bermuda | 2019/05/24 |
| 00647 | Joyce Boutique Holdings Ltd. | Joyce Boutique Group Ltd. | Hong Kong | 2019/08/27 |

Companies under suspension as at the end of 2019

| Code | Stock | Last closing price | | Date of suspension |
|-------|--------------------------------------------------------|--------------------|--------------|--------------------|
| | | (HK\$) | (Date) | |
| 00073 | Asian Citrus Holdings Ltd. | 0.600 | (2016/09/28) | 2016/09/29 |
| 02031 | Ausupreme International Holdings Ltd. | 0.430 | (2019/10/11) | 2019/10/14 |
| 00416 | Bank of Jinzhou Co., Ltd. – H Shares | 2.430 | (2019/12/24) | 2019/12/27 |
| 00364 | Blockchain Group Co. Ltd. | 0.091 | (2018/11/19) | 2018/11/19 |
| 01190 | Bolina Holding Co., Ltd. | 0.088 | (2018/09/14) | 2018/09/17 |
| 01698 | Boshiwa International Holding Ltd. | 1.680 | (2012/03/15) | 2012/03/15 |
| 00933 | Brightoil Petroleum (Holdings) Ltd. | 1.500 | (2017/09/29) | 2017/10/03 |
| 00024 | Burwill Holdings Ltd. | 0.071 | (2019/08/16) | 2019/08/19 |
| 02662 | Camsing International Holding Ltd. | 1.160 | (2019/07/18) | 2019/07/19 |
| 02228 | CECEP COSTIN New Materials Group Ltd. | 0.600 | (2016/08/15) | 2016/08/15 |
| 01155 | Centron Telecom International Holding Ltd. | 0.290 | (2018/03/29) | 2018/04/03 |
| 00940 | China Animal Healthcare Ltd. | 5.200 | (2015/03/27) | 2015/03/30 |
| 00164 | China Baoli Technologies Holdings Ltd. | 0.099 | (2019/06/28) | 2019/07/02 |
| 01969 | China Chunlai Education Group Co., Ltd. | 1.540 | (2019/11/29) | 2019/12/02 |
| 00612 | China Ding Yi Feng Holdings Ltd. | 23.100 | (2019/03/07) | 2019/03/08 |
| 02121 | China First Chemical Holdings Ltd. | 0.790 | (2018/08/30) | 2018/08/31 |
| 00591 | China High Precision Automation Group Ltd. | 1.240 | (2012/08/21) | 2012/08/22 |
| 01886 | China Huiyuan Juice Group Ltd. | 2.020 | (2018/03/29) | 2018/04/03 |
| 00204 | China Investment Development Ltd. | 0.146 | (2019/11/29) | 2019/12/02 |
| 01863 | China Longevity Group Co. Ltd. | 1.370 | (2013/02/14) | 2013/02/14 |
| 00067 | China Lumena New Materials Corp. | 1.250 | (2014/03/25) | 2014/03/25 |
| 00155 | China Solar Energy Holdings Ltd. | 0.180 | (2013/08/16) | 2013/08/16 |
| 00648 | China Wah Yan Healthcare Ltd. | 0.380 | (2017/11/24) | 2017/11/27 |
| 01019 | Convoy Global Holdings Ltd. | 0.167 | (2017/12/07) | 2017/12/07 |
| 01363 | CT Environmental Group Ltd. | 0.340 | (2019/03/29) | 2019/04/01 |
| 01322 | CW Group Holdings Ltd. | 0.231 | (2018/07/11) | 2018/07/11 |
| 00521 | CWT International Ltd. | 0.132 | (2019/04/10) | 2019/04/10 |
| 01828 | Dah Chong Hong Holdings Ltd. | 3.680 | (2019/12/24) | 2019/12/27 |
| 03335 | DBA Telecommunication (Asia) Holdings Ltd. | 2.030 | (2013/06/05) | 2013/06/06 |
| 00705 | Ding He Mining Holdings Ltd. | 0.032 | (2018/03/29) | 2018/04/03 |
| 01998 | Flyke International Holdings Ltd. | 0.380 | (2014/03/28) | 2014/03/31 |
| 01353 | Fujian Nuoqi Co., Ltd. – H Shares | 1.000 | (2014/07/23) | 2014/07/23 |
| 00172 | Goldbond Group Holdings Ltd. | 0.080 | (2019/06/27) | 2019/06/28 |
| 02123 | Golden Shield Holdings (Industrial) Ltd. | 0.224 | (2014/03/31) | 2014/04/01 |
| 01462 | Gold-Finance Holdings Ltd. | 0.072 | (2019/05/03) | 2019/05/06 |
| 01318 | Greens Holdings Ltd. | 0.630 | (2015/06/01) | 2015/06/02 |
| 01659 | Haitian Energy International Ltd. | 0.135 | (2019/02/22) | 2019/02/25 |
| 01509 | Harmonicare Medical Holdings Ltd. | 2.040 | (2019/03/29) | 2019/04/01 |
| 02882 | Hong Kong Resources Holdings Co. Ltd. | 0.123 | (2019/09/27) | 2019/09/30 |
| 02200 | Hosa International Ltd. | 0.290 | (2018/08/31) | 2018/09/03 |
| 00587 | Hua Han Health Industry Holdings Ltd. | 0.530 | (2016/09/26) | 2016/09/27 |
| 01649 | Inner Mongolia Energy Engineering Co., Ltd. – H Shares | 1.190 | (2019/03/15) | 2019/03/18 |
| 01225 | Lerado Financial Group Co. Ltd. | 0.127 | (2017/06/06) | 2017/06/06 |
| 02898 | Longrun Tea Group Co. Ltd. | 0.380 | (2017/06/14) | 2017/06/15 |
| 00275 | Master Glory Group Ltd. | 0.260 | (2019/06/28) | 2019/07/02 |
| 01021 | Midas Holdings Ltd. | 3.000 | (2018/02/07) | 2018/02/08 |
| 00846 | Mingfa Group (International) Co. Ltd. | 1.890 | (2016/03/31) | 2016/04/01 |
| 00233 | Mingyuan Medicare Development Co. Ltd. | 0.230 | (2015/03/31) | 2015/04/01 |
| 00254 | National United Resources Holdings Ltd. | 0.142 | (2016/07/29) | 2016/08/01 |
| 00462 | Natural Dairy (NZ) Holdings Ltd. | 2.200 | (2010/09/06) | 2010/09/07 |
| 06108 | New Ray Medicine International Holding Ltd. | 0.425 | (2017/10/04) | 2017/10/06 |
| 02889 | Nickel Resources International Holdings Co. Ltd. | 0.244 | (2015/03/31) | 2015/04/01 |
| 00402 | Peace Map Holding Ltd. | 0.030 | (2018/08/10) | 2018/08/13 |
| 00246 | Real Gold Mining Ltd. | 8.860 | (2011/05/26) | 2011/05/27 |
| 02010 | Real Nutraceutical Group Ltd. | 0.200 | (2018/07/03) | 2018/07/04 |

Companies under suspension as at the end of 2019 (continued)

| Code | Stock | Last closing price | | Date of suspension |
|-------|-------------------------------------------------------|--------------------|--------------|--------------------|
| | | (HK\$) | (Date) | |
| 00723 | Reliance Global Holdings Ltd. | 0.024 | (2018/10/09) | 2018/10/10 |
| 00555 | REXLot Holdings Ltd. | 0.021 | (2019/03/29) | 2019/04/01 |
| 00198 | SMI Holdings Group Ltd. | 2.340 | (2018/08/31) | 2018/09/03 |
| 00967 | Sound Global Ltd. | 2.980 | (2016/04/12) | 2016/04/13 |
| 00918 | State Energy Group International Assets Holdings Ltd. | 0.201 | (2019/01/31) | 2019/02/01 |
| 01228 | Superb Summit International Group Ltd. | 1.460 | (2014/11/20) | 2014/11/20 |
| 03823 | Tech Pro Technology Development Ltd. | 0.068 | (2017/11/08) | 2017/11/09 |
| 01219 | Tenwow International Holdings Ltd. | 0.380 | (2018/08/10) | 2018/08/13 |
| 01619 | Tianhe Chemicals Group Ltd. | 1.170 | (2015/03/26) | 2015/03/26 |
| 00850 | Tou Rong Chang Fu Group Ltd. | 0.118 | (2018/06/29) | 2018/07/03 |
| 03886 | Town Health International Medical Group Ltd. | 0.690 | (2017/11/24) | 2017/11/27 |
| 00307 | Up Energy Development Group Ltd. | 0.129 | (2016/06/29) | 2016/06/30 |
| 01139 | Victory Group Ltd. | 0.238 | (2018/01/22) | 2018/01/23 |
| 01315 | Vision Fame International Holding Ltd. | 0.165 | (2018/11/30) | 2018/12/03 |
| 00209 | Winshine Science Co. Ltd. | 0.021 | (2019/03/29) | 2019/04/01 |
| 01369 | Wuzhou International Holdings Ltd. | 0.040 | (2018/08/31) | 2018/09/03 |
| 02268 | Youyuan International Holdings Ltd. | 0.260 | (2019/08/19) | 2019/08/19 |
| 01220 | Zhidao International (Holdings) Ltd. | 0.082 | (2019/07/10) | 2019/07/11 |

Takeovers and mergers

| Code | Company | Proposed date Offer closing date |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| 01707 | Geotech Holdings Ltd. Mandatory unconditional cash offer was made by Star Merit Global Ltd. at a price of HK\$0.378 for each share | 2018/12/20 2019/01/10 |
| 02863 | Golden Faith Group Holdings Ltd. Mandatory unconditional cash offer was made by Greatly Success Investment Trading Ltd. at a price of HK\$0.5038 for each share | 2018/12/28 2019/01/18 |
| 01626 | Tourism International Holdings Ltd. (Name changed to Jia Yao Holdings Ltd.) Mandatory unconditional cash offer was made by Spearhead Leader Ltd. at a price of HK\$1.65 for each share, HK\$81,482 for every HK\$100,000 face value of the convertible notes | 2019/01/25 2019/02/15 |
| 00281 | Rivera (Holdings) Ltd. Voluntary conditional cash offer was made by Step Famous Investment Ltd. at a price of HK\$0.55 for each share | 2019/01/11 2019/02/25 |
| 01200 | Midland Holdings Ltd. Voluntary conditional cash partial offer was made by Sunluck Services Ltd. to acquire 57,443,680 shares at a price of HK\$2.00 for each share | 2019/01/18 2019/03/08 |
| 01499 | LEAP Holdings Group Ltd. Mandatory unconditional cash offer was made by OKC Holdings Corporation at a price of HK\$0.1585 for each share | 2019/03/20 2019/04/10 |
| 00994 | Win Win Way Construction Holdings Ltd. (Name changed to CT Vision (International) Holdings Ltd.) Mandatory unconditional cash offer was made by CT Vision Investment Ltd. at a price of HK\$0.84 for each share | 2019/04/03 2019/04/24 |
| 00613 | Yugang International Ltd. (Name changed to Planetree International Development Ltd.) Mandatory conditional cash offer was made by Future Capital Group Ltd. at a price of HK\$0.175 for each share | 2019/03/28 2019/04/29 |
| 02700 | Green International Holdings Ltd. Mandatory unconditional cash offer was made by Jumbo Faith International Ltd. at a price of HK\$0.212 for each consolidated share, HK\$0.001 for each adjusted share option, HK\$0.1767 for every HK\$1 face value of the Adjusted Qianhai 2016 convertible bonds, HK\$0.3029 for every HK\$1 face value of the Adjusted 3rd Ample Reach convertible bonds, HK\$0.3118 for every HK\$1 face value of the Adjusted HK Yinger convertible bonds, HK\$0.3118 for every HK\$1 face value of the Adjusted Zheyin Tianqin convertible bonds | 2019/04/10 2019/05/02 |
| 00687 | Hong Kong Int'l Construction Inv Management Group Co., Ltd. (Name changed to Tysan Holdings Ltd.) Mandatory unconditional cash offer was made by Times Holdings II Ltd. at a price of HK\$3.00 for each share, HK\$1.25 for each share option with exercise price of HK\$1.75 per share, HK\$1.10 for each share option with exercise price of HK\$1.90 per share | 2019/04/11 2019/05/02 |
| 00911 | Qianhai Health Holdings Ltd. Mandatory conditional cash offer was made by Explorer Rosy Ltd. at a price of HK\$0.25 for each share | 2019/04/11 2019/05/16 |
| 00299 | New Sports Group Ltd. (Name changed to Glory Sun Land Group Ltd.) Mandatory unconditional securities exchange offer was made by Hong Kong Bao Xin Asset Management Ltd., an indirect wholly-owned subsidiary of China Goldjoy Group Ltd. at 1 new China Goldjoy Group Ltd. share for 1 share, with cash alternative offer HK\$0.435 for each share | 2019/05/03 2019/05/24 |
| 00264 | Ascent International Holdings Ltd. (Name changed to China International Development Corporation Ltd.) Mandatory unconditional cash offer was made by Waterfront Holding Group Co., Ltd. at a price of HK\$1.0975 for each share | 2019/05/28 2019/06/18 |
| 02225 | Kakiko Group Ltd. (Name changed to Jinhai International Group Holdings Ltd.) Mandatory unconditional cash offer was made by Full Fortune International Co., Ltd at a price of HK\$0.4065 for each share | 2019/06/28 2019/07/19 |
| 00632 | Pearl Oriental Oil Ltd. Mandatory unconditional cash offer was made by Xin Hua Petroleum (Hong Kong) Ltd. at a price of HK\$0.844 for each share (equivalent to HK\$0.0422 in cash per share prior to share consolidation) | 2019/07/15 2019/08/05 |
| 00063 | China Graphene Group Ltd. Mandatory unconditional cash offer was made by Zhonghan International Holdings Group Ltd. at a price of HK\$0.166 for each share | 2019/07/12 2019/08/09 |
| 00244 | The Sincere Co. Ltd. Mandatory unconditional cash offer was made by Win Dynamic Ltd. at a price of HK\$0.26 for each share, HK\$0.001 for each share option | 2019/08/05 2019/08/26 |
| 01726 | HKE Holdings Ltd. Mandatory unconditional cash offer was made by Eagle Fortitude Ltd. at a price of HK\$0.48 for each share | 2019/08/07 2019/08/28 |
| 01198 | Royale Furniture Holdings Ltd. Mandatory unconditional cash offer was made by Science City (HK) Invest Co. Ltd., Mr. Tse Kam Pang, Leading Star Global Ltd., Crisana International Inc. and Charming Future Holdings Ltd. at a price of HK\$1.18 for each share | 2019/08/08 2019/08/29 |
| 00726 | China Minsheng DIT Group Ltd. (Name changed to DIT Group Ltd.) Mandatory unconditional cash offer was made by Joy Bright Investments Ltd. at a price of HK\$0.1465 for each share | 2019/08/12 2019/09/02 |
| 00834 | China Kangda Food Co. Ltd. Mandatory unconditional cash offer was made by Zenith Hope Ltd. at a price of HK\$0.80 for each share | 2019/08/16 2019/09/10 |

Takeovers and mergers (continued)

| Code | Company | Proposed date Offer closing date |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| 02011 | China Apex Group Ltd. Mandatory unconditional cash offer was made by China Sun Corporation, Central Eagle Ltd. and Golden Diamond Inc. at a price of HK\$1.677 for each share | 2019/08/30 2019/09/20 |
| 01735 | Wang Yang Holdings Ltd. (Name changed to Central Holding Group Co. Ltd.) Mandatory unconditional cash offer was made by Central Culture Resource Group Ltd. at a price of HK\$2.1213 for each share | 2019/09/13 2019/10/04 |
| 01396 | Hydoo International Holding Ltd. Mandatory unconditional cash offer was made by China Guangdong – Hong Kong Greater Bay Area Holdings Ltd. at a price of HK\$0.305 for each share | 2019/09/20 2019/10/11 |
| 02880 | Dalian Port (PDA) Co. Ltd. – H Shares Mandatory unconditional cash offer was made by Broadford Global Ltd. at a price of HK\$1.0127 for each share | 2019/10/05 2019/10/28 |
| 00365 | Sino ICT Holdings Ltd. Mandatory unconditional cash offer was made by UNIC Capital Management Co., Ltd. and Sino Xin Ding Ltd. at a price of HK\$1.01 for each share | 2019/10/14 2019/11/04 |
| 00323 | Maanshan Iron & Steel Co. Ltd. – H Shares Mandatory unconditional cash offer was made by Baosteel Hong Kong Investment Co. Ltd. at a price of HK\$2.97 for each H share | 2019/09/30 2019/11/11 |
| 02310 | Forebase International Holdings Ltd. Mandatory unconditional cash offer was made by Great Match International Ltd. and Rich Vision Developments Ltd. at a price of HK\$0.3194 for each share, HK\$0.0001 for each share option | 2019/10/08 2019/11/19 |
| 00091 | International Standard Resources Holdings Ltd. Mandatory unconditional cash offer was made by Century Gold Millennium International Holdings Group Ltd. at a price of HK\$0.102 for each share, HK\$0.062 for each warrant option | 2019/11/12 2019/12/11 |
| 01070 | TCL Electronics Holdings Ltd. Mandatory unconditional cash offer was made by T.C.L Industries Holdings (H.K.) Ltd., a wholly-owned subsidiary of TCL Industries Holdings Co., Ltd. at a price of HK\$3.23 for each share | 2019/11/22 2019/12/13 |
| 01249 | Tonly Electronics Holdings Ltd. Mandatory conditional cash offer was made by T.C.L. Industries Holdings (H.K.) Ltd, a wholly-owned subsidiary of TCL Industries Holdings Co., Ltd. at a price of HK\$5.89 for each share, HK\$1.84 for each option with exercise price of HK\$4.05, HK\$0.0001 for each option with exercise price of HK\$7.84 and HK\$9.60 | 2019/11/22 2019/12/27 |

Share subdivisions and share consolidations

| Code | Stock | Particulars | New par value (HK\$) | Effective date |
|-------|-------------------------------------------------------------------------------|-------------------------|-------------------------|-------------------|
| 00223 | Elife Holdings Ltd. | Consolidation 2 into 1 | 0.0200 | 2019/01/08 |
| 01094 | China Public Procurement Ltd. | Consolidation 10 into 1 | 0.1000 * | 2019/02/21 |
| 01239 | Teamway International Group Holdings Ltd. | Consolidation 10 into 1 | 0.0100 | 2019/02/22 |
| 00803 | Prosperity International Holdings (H.K.) Ltd. | Consolidation 10 into 1 | 0.1000 | 2019/02/25 |
| 02700 | Green International Holdings Ltd. | Consolidation 4 into 1 | 0.0400 | 2019/03/04 |
| 01227 | National Investments Fund Ltd. | Consolidation 10 into 1 | 0.1000 | 2019/03/06 |
| 00193 | Capital Estate Ltd. | Consolidation 20 into 1 | n.a. | 2019/03/13 |
| 00204 | China Investment Development Ltd. | Consolidation 10 into 1 | 0.0100 | 2019/03/21 |
| 00263 | GT Group Holdings Ltd. | Consolidation 10 into 1 | n.a. | 2019/03/29 |
| 00787 | Global Brands Group Holding Ltd. | Consolidation 10 into 1 | 0.1250 | 2019/04/09 |
| 01831 | ShiFang Holding Ltd. | Consolidation 4 into 1 | 0.0100 * | 2019/04/10 |
| 00275 | Master Glory Group Ltd. | Consolidation 20 into 1 | 0.1000 * | 2019/04/24 |
| 00875 | China Finance Investment Holdings Ltd. | Consolidation 20 into 1 | 0.0100 * | 2019/04/25 |
| 01178 | Huiyin Holdings Group Ltd. | Consolidation 20 into 1 | 0.5000 | 2019/04/29 |
| 00724 | Ruixin International Holdings Ltd. | Consolidation 20 into 1 | 0.2000 | 2019/05/23 |
| 01387 | China Dili Group (Formerly: Renhe Commercial Holdings Co. Ltd.) | Consolidation 10 into 1 | 0.1000 | 2019/05/27 |
| 01371 | China LotSynergy Holdings Ltd. | Consolidation 10 into 1 | 0.0250 | 2019/06/14 |
| 01400 | Moody Technology Holdings Ltd. | Consolidation 10 into 1 | 0.0100 * | 2019/06/19 |
| 00539 | Victory City International Holdings Ltd. | Consolidation 10 into 1 | 0.1000 | 2019/06/24 |
| 01102 | Enviro Energy International Holdings Ltd. | Consolidation 20 into 1 | 0.0500 | 2019/07/02 |
| 00632 | Pearl Oriental Oil Ltd. | Consolidation 20 into 1 | 0.2000 | 2019/07/10 |
| 00033 | China Cloud Copper Co. Ltd. (Formerly: Asia Investment Finance Group Ltd.) | Consolidation 10 into 1 | 0.0010 * | 2019/07/24 |
| 01073 | Da Yu Financial Holdings Ltd. (Formerly: China Agrotech Holdings Ltd.) | Consolidation 10 into 1 | 0.1000 * | 2019/07/25 |
| 01332 | China Touyun Tech Group Ltd. | Consolidation 4 into 1 | 0.0400 | 2019/08/12 |
| 00351 | Asia Energy Logistics Group Ltd. | Consolidation 5 into 1 | n.a. | 2019/08/19 |
| 00975 | Mongolian Mining Corporation | Consolidation 10 into 1 | USD 0.1000 | 2019/08/26 |
| 00712 | Comtec Solar Systems Group Ltd. | Consolidation 4 into 1 | 0.0040 | 2019/08/28 |
| 00729 | FDG Electric Vehicles Ltd. | Consolidation 20 into 1 | 0.2000 | 2019/09/05 |
| 02399 | China Fordoo Holdings Ltd. | Split 1 into 4 | 0.0030 | 2019/10/17 |
| 01660 | Zhaobangji Properties Holdings Ltd. | Split 1 into 5 | 0.0020 | 2019/10/17 |
| 01237 | China Environmental Technology and Bioenergy Holdings Ltd. | Consolidation 5 into 1 | 0.0500 | 2019/10/22 |
| 00651 | China Ocean Industry Group Ltd. | Consolidation 40 into 1 | 0.0400 * | 2019/10/29 |
| 00471 | CMMB Vision Holdings Ltd. | Consolidation 20 into 1 | 0.2000 | 2019/11/12 |
| 00048 | China Automotive Interior Decoration Holdings Ltd. | Consolidation 5 into 1 | 0.5000 | 2019/12/27 |

* New par value after capital reorganisation

Bonus issues/bonus warrants

| Code | Stock | Particulars | Ex-date |
|-------|-------------------------------------------------------------|-------------|------------|
| 01858 | Beijing Chunlizhengda Medical Instruments Co., Ltd. – H Shs | 1 for 1 | 2019/02/22 |
| 00347 | Angang Steel Co. Ltd. – H Shares | 3 for 10 | 2019/05/30 |
| 00012 | Henderson Land Development Co. Ltd. | 1 for 10 | 2019/05/30 |
| 00003 | Hong Kong and China Gas Co. Ltd., The | 1 for 10 | 2019/05/30 |
| 00096 | Yusei Holdings Ltd. | 1 for 5 | 2019/06/04 |
| 02039 | China Int'l Marine Containers (Group) Co., Ltd. – H Shares | 2 for 10 | 2019/06/05 |
| 02359 | WuXi AppTec Co., Ltd. – H Shares | 4 for 10 | 2019/06/17 |
| 06122 | Jilin Jiutai Rural Commercial Bank Corporation Ltd. – H Shs | 5 for 100 | 2019/06/24 |
| 02488 | Launch Tech Co. Ltd. – H Shares | 2 for 10 | 2019/06/28 |
| 01513 | Livzon Pharmaceutical Group Inc. – H Shares | 3 for 10 | 2019/07/03 |
| 01787 | Shandong Gold Mining Co., Ltd. – H Shares | 4 for 10 | 2019/07/03 |
| 00091 | International Standard Resources Holdings Ltd. | 1 wt for 5 | 2019/09/23 |
| 00211 | Styland Holdings Ltd. | 1 wt for 5 | 2019/11/04 |

Rights issues/open offers

| Code | Stock | Particulars | Funds raised (HK\$mil) | Ex-date payment date |
|--------------|-----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------|--------------------------|
| 00206 | CMIC Ocean En-Tech Holding Co., Ltd. (Formerly: TSC Group Holdings Ltd.) | Rts 1 for 1 @\$0.45 | 662.92 | 2019/01/02 2019/01/25 |
| 00328 | Alco Holdings Ltd. | Rts 1 for 4 @\$0.87 | 125.84 | 2019/01/16 2019/02/13 |
| 02286 | Chen Xing Development Holdings Ltd. | Rts 1 for 5 @\$1.50 | 150.00 | 2019/01/29 2019/02/27 |
| 00539 | Victory City International Holdings Ltd. | Rts 1 for 2 @\$0.098 | 253.79 | 2019/03/07 2019/04/10 |
| 02309 | Birmingham Sports Holdings Ltd. | Rts 1 for 2 @\$0.05 | 295.17 | 2019/03/18 2019/04/11 |
| 00812 | Southwest Securities International Securities Ltd. | Rts 1 for 2 @\$0.131 | 159.90 | 2019/03/19 2019/04/12 |
| 01104 | APAC Resources Ltd. | Rts 1 for 2 @\$1.10 | 446.93 | 2019/03/20 2019/04/15 |
| 02208 | Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares | Rts 1.9 for 10 @\$8.21 | 1,014.03 | 2019/03/26 2019/04/23 |
| 00803 | Prosperity International Holdings (H.K.) Ltd. | Rts 2 for 5 @\$0.13 | 9.01 | 2019/04/15 2019/05/16 |
| 01097 | i-CABLE Communications Ltd. | Rts 3 for 4 @\$0.10 | 92.86 | 2019/04/29 2019/05/24 |
| 01026 | Universal Technologies Holdings Ltd. | Rts 2 for 1 @\$0.23 | 415.36 | 2019/05/02 2019/05/28 |
| 00990 | Theme International Holdings Ltd. | Rts 1 for 2 @\$0.10 | 394.71 | 2019/05/09 2019/06/04 |
| 00244 | Sincere Co. Ltd., The | Open offer 3 for 5 @\$0.26 (except for the Sincere Co.) | 102.72 | 2019/06/25 2019/07/19 |
| 01073 | Da Yu Financial Holdings Ltd. (Formerly: China Agrotech Holdings Ltd.) | Preferential Offering 3 offer shs for 2 shs @\$0.52 (after consolidation 10 into 1) | 15.88 | 2019/06/24 2019/07/19 |
| 00091 | International Standard Resources Holdings Ltd. | Rts 1 for 2 @\$0.12 | 28.75 | 2019/08/01 2019/09/02 |
| 00428 | Cocoon Holdings Ltd. | Rts 2 for 1 @\$0.28 | 67.15 | 2019/09/16 2019/10/11 |
| 01366 | Jiangnan Group Ltd. | Rts 1 for 2 @\$0.28 | 571.04 | 2019/09/19 2019/10/15 |
| 01869 | Li Bao Ge Group Ltd. | Rts 1 for 4 @\$0.238 | 47.60 | 2019/11/11 2019/12/09 |
| Total | | | 4,853.66 | |

Notes: Figures may not add up to total due to rounding.

Placings

| Code | Company | New shares placed | Placing price (HK\$) | Funds raised (HK\$mil) | Allotment date |
|-------|----------------------------------------------------------------------------------|-------------------|----------------------|------------------------|----------------|
| 01176 | Zhuguang Holdings Group Co. Ltd. | 770,000,000 | 1.300 | 1,001.00 | 2019/01/02 |
| 00768 | UBA Investments Ltd. | 211,954,000 | 0.082 | 17.38 | 2019/01/04 |
| 00476 | China Dynamics (Holdings) Ltd. | 330,000,000 | 0.100 | 33.00 | 2019/01/08 |
| 00061 | Green Leader Holdings Group Ltd. | 1,462,200,000 | 0.040 | 58.49 | 2019/01/09 |
| 01087 | InvesTech Holdings Ltd. | 234,000,000 | 0.160 | 37.44 | 2019/01/11 |
| 00204 | China Investment Development Ltd. | 1,760,000,000 | 0.018 | 31.68 | 2019/01/17 |
| 00471 | CMMB Vision Holdings Ltd. | 66,081,535 | 0.108 | 7.14 | 2019/01/21 |
| 06098 | Country Garden Services Holdings Co. Ltd. | 168,761,000 | 11.610 | 1,959.32 | 2019/01/24 |
| 01239 | Teamway International Group Holdings Ltd. | 550,000,000 | 0.020 | 11.00 | 2019/02/04 |
| 00265 | Orient Victory Travel Group Co. Ltd. | 156,460,000 | 0.145 | 22.69 | 2019/02/08 |
| 00218 | Shenwan Hongyuan (H.K.) Ltd. | 765,000,000 | 2.068 | 1,582.02 | 2019/02/18 |
| 00515 | TC Orient Lighting Holdings Ltd. | 453,039,495 | 0.100 | 45.30 | 2019/02/21 |
| 00339 | Core Economy Investment Group Ltd. | 27,800,000 | 0.400 | 11.12 | 2019/02/25 |
| 00872 | TUS International Ltd. | 671,425,871 | 0.608 | 408.50 | 2019/02/27 |
| 01060 | Alibaba Pictures Group Ltd. | 1,000,000,000 | 1.250 | 1,250.00 | 2019/03/05 |
| 01121 | Baofeng Modern International Holdings Co. Ltd. | 182,800,000 | 0.270 | 49.36 | 2019/03/08 |
| 00153 | China Saite Group Co. Ltd. | 260,000,000 | 0.500 | 130.00 | 2019/03/13 |
| 00080 | China New Economy Fund Ltd. | 220,000,000 | 0.100 | 22.00 | 2019/03/14 |
| 00968 | Xinyi Solar Holdings Ltd. | 380,000,000 | 3.470 | 1,318.60 | 2019/03/14 |
| 00371 | Beijing Enterprises Water Group Ltd. | 598,397,150 | 4.290 | 2,567.12 | 2019/03/18 |
| 03344 | GTI Holdings Ltd. | 177,208,000 | 0.180 | 31.90 | 2019/03/18 |
| 01656 | ISDN Holdings Ltd. | 26,987,295 | 1.160 | 31.31 | 2019/03/18 |
| 01003 | Huanxi Media Group Ltd. | 236,600,000 | 1.651 | 390.56 | 2019/03/19 |
| 00572 | Future World Financial Holdings Ltd. | 900,000,000 | 0.064 | 57.60 | 2019/03/21 |
| 00686 | Panda Green Energy Group Ltd. | 5,721,193,467 | 0.300 | 1,716.36 | 2019/03/21 |
| 01555 | MIE Holdings Corporation | 112,000,000 | 0.100 | 11.20 | 2019/03/25 |
| 00058 | Sunway International Holdings Ltd. | 124,800,000 | 0.136 | 16.97 | 2019/03/27 |
| 00633 | China All Access (Holdings) Ltd. | 30,182,000 | 0.480 | 14.49 | 2019/03/29 |
| 01096 | Sino Energy International Holdings Group Ltd. | 77,335,826 | 0.257 | 19.84 | 2019/03/29 |
| 01583 | Qinqin Foodstuffs Group (Cayman) Co. Ltd. | 104,400,000 | 2.200 | 229.68 | 2019/04/01 |
| 01421 | Kingbo Strike Ltd. | 204,680,000 | 0.183 | 37.46 | 2019/04/02 |
| 02700 | Green International Holdings Ltd. | 754,716,981 | 0.212 | 160.00 | 2019/04/03 |
| 02202 | China Vanke Co., Ltd. – H Shares | 262,991,000 | 29.680 | 7,805.57 | 2019/04/04 |
| 00697 | Shougang Concord International Enterprises Co. Ltd. | 3,384,043,134 | 0.250 | 846.01 | 2019/04/09 |
| 00123 | Yuexiu Property Co. Ltd. | 3,080,973,807 | 2.000 | 6,161.95 | 2019/04/10 |
| 00729 | FDG Electric Vehicles Ltd. | 833,330,000 | 0.048 | 40.00 | 2019/04/11 |
| 02327 | Meilleure Health International Industry Group Ltd. | 360,000,000 | 0.910 | 327.60 | 2019/04/11 |
| 03301 | Ronshine China Holdings Ltd. | 108,000,000 | 10.950 | 1,182.60 | 2019/04/11 |
| 01368 | Xtep International Holdings Ltd. | 247,078,000 | 5.560 | 1,373.75 | 2019/04/11 |
| 00039 | China Beidahuang Industry Group Holdings Ltd. | 624,867,599 | 0.185 | 115.60 | 2019/04/12 |
| 00547 | Digital Domain Holdings Ltd. | 5,323,600,000 | 0.104 | 553.65 | 2019/04/12 |
| 01227 | National Investments Fund Ltd. | 482,220,000 | 0.180 | 86.80 | 2019/04/16 |
| 02001 | China New Higher Education Group Ltd. | 110,000,000 | 3.570 | 392.70 | 2019/04/17 |
| 02611 | Guotai Junan Securities Co., Ltd. – H Shares | 194,000,000 | 16.340 | 3,169.96 | 2019/04/17 |
| 01483 | U Banquet Group Holding Ltd. | 30,000,000 | 1.700 | 51.00 | 2019/04/24 |
| 01908 | C&D International Investment Group Ltd. | 55,000,000 | 9.000 | 495.00 | 2019/04/26 |
| 01323 | Newtree Group Holdings Ltd. | 84,500,000 | 0.530 | 44.79 | 2019/04/29 |
| 01233 | Times China Holdings Ltd. | 108,000,000 | 14.540 | 1,570.32 | 2019/04/29 |
| 00512 | China Grand Pharmaceutical and Healthcare Holdings Ltd. | 20,523,198 | 5.000 | 102.62 | 2019/05/03 |
| 00476 | China Dynamics (Holdings) Ltd. | 670,000,000 | 0.110 | 73.70 | 2019/05/06 |
| 01089 | Leyou Technologies Holdings Ltd. | 30,500,000 | 2.350 | 71.68 | 2019/05/14 |
| 03395 | Persta Resources Inc. | 23,600,000 | 1.500 | 35.40 | 2019/05/14 |
| 00810 | China Internet Investment Finance Holdings Ltd. | 130,800,000 | 0.155 | 20.27 | 2019/05/15 |
| 00860 | WE Solutions Ltd. | 400,000,000 | 0.510 | 204.00 | 2019/05/15 |
| 01572 | China Art Financial Holdings Ltd. | 78,000,000 | 1.300 | 101.40 | 2019/05/16 |
| 01690 | Lap Kei Engineering (Holdings) Ltd. | 50,000,000 | 0.155 | 7.75 | 2019/05/20 |
| 00932 | Shunten International (Holdings) Ltd. | 23,391,813 | 0.428 | 10.00 | 2019/05/20 |
| 01555 | MIE Holdings Corporation | 160,000,000 | 0.100 | 16.00 | 2019/05/22 |
| 00863 | BC Technology Group Ltd. | 21,891,860 | 5.000 | 109.46 | 2019/06/04 |
| 00633 | China All Access (Holdings) Ltd. | 78,000,000 | 0.480 | 37.44 | 2019/06/06 |
| 00863 | BC Technology Group Ltd. | 200,000 | 5.000 | 1.00 | 2019/06/11 |
| 01096 | Sino Energy International Holdings Group Ltd. | 243,000,000 | 0.200 | 48.60 | 2019/06/13 |
| 01580 | Da Sen Holdings Group Ltd. | 78,000,000 | 0.410 | 31.98 | 2019/06/17 |
| 01707 | Geotech Holdings Ltd. | 280,000,000 | 0.320 | 89.60 | 2019/06/17 |
| 03800 | GCL-Poly Energy Holdings Ltd. | 1,511,000,000 | 0.450 | 679.95 | 2019/06/18 |
| 02882 | Hong Kong Resources Holdings Co. Ltd. | 210,000,000 | 0.120 | 25.20 | 2019/06/18 |
| 00265 | Orient Victory Travel Group Co. Ltd. | 15,690,000 | 0.129 | 2.02 | 2019/06/19 |
| 01026 | Universal Technologies Holdings Ltd. | 1,586,400,000 | 0.230 | 364.87 | 2019/06/19 |
| 04618 | Guangzhou Rural Comm USD 5.90% Non-Cum Perp Offshore Pref Sh | 71,500,000 | 156.000 | 11,154.00 | 2019/06/21 |
| 00299 | Glory Sun Land Group Ltd. | 379,000,000 | 0.450 | 170.55 | 2019/06/24 |
| 02020 | ANTA Sports Products Ltd. | 15,842,000 | 49.110 | 778.00 | 2019/06/28 |
| 01559 | Kwan On Holdings Ltd. | 220,000,000 | 0.485 | 106.70 | 2019/07/02 |
| 01555 | MIE Holdings Corporation | 58,823,530 | 0.170 | 10.00 | 2019/07/04 |
| 00863 | BC Technology Group Ltd. | 784,500 | 5.000 | 3.92 | 2019/07/05 |
| 00632 | Pearl Oriental Oil Ltd. | 9,000,000,000 | 0.020 | 180.00 | 2019/07/08 |
| 00241 | Alibaba Health Information Technology Ltd. | 302,976,000 | 7.500 | 2,272.32 | 2019/07/12 |
| 01259 | Prosperous Future Holdings Ltd. | 220,000,000 | 0.136 | 29.92 | 2019/07/15 |
| 00860 | WE Solutions Ltd. | 382,352,000 | 0.510 | 195.00 | 2019/07/15 |
| 00712 | Comtec Solar Systems Group Ltd. | 145,454,546 | 0.055 | 8.00 | 2019/07/17 |
| 01561 | Manfield Chemical Holdings Ltd. (Name changed to Pan Asia Data Holdings Inc.) | 45,614,035 | 2.850 | 130.00 | 2019/07/17 |
| 00033 | China Cloud Copper Co. Ltd. | 50,800,000 | 0.100 | 5.08 | 2019/07/22 |
| 00979 | Green Energy Group Ltd. | 189,380,000 | 0.120 | 22.73 | 2019/07/24 |
| 01073 | Da Yu Financial Holdings Ltd. | 1,008,622,200 | 0.520 | 524.48 | 2019/07/25 |
| 00547 | Digital Domain Holdings Ltd. | 2,000,000,000 | 0.104 | 208.00 | 2019/07/25 |
| 01094 | China Public Procurement Ltd. | 34,897,000 | 0.337 | 11.76 | 2019/07/26 |
| 01609 | Chong Kin Group Holdings Ltd. | 60,000,000 | 3.500 | 210.00 | 2019/07/26 |

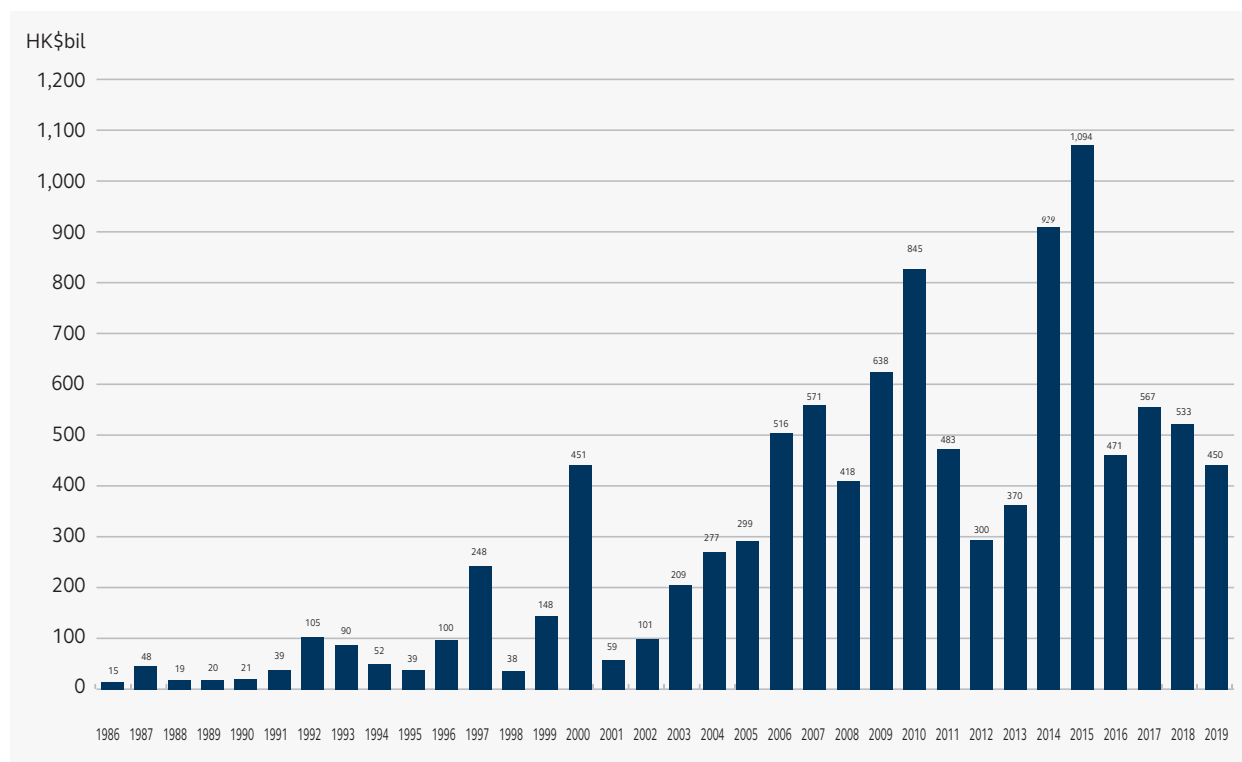
Placings (continued)

| Code | Company | New shares placed | Placing price (HK\$) | Funds raised (HK\$mil) | Allotment date |
|--------------|---------------------------------------------------------------------------------------------------------------------|-------------------|----------------------|------------------------|----------------|
| 01778 | Colour Life Services Group Co., Ltd. | 71,149,000 | 5.220 | 371.40 | 2019/07/29 |
| 02371 | China Chuanglian Education Financial Group Ltd. | 993,700,000 | 0.040 | 39.75 | 2019/07/30 |
| 06158 | Zhenro Properties Group Ltd. | 244,756,000 | 4.950 | 1,211.54 | 2019/07/30 |
| 01198 | Royale Furniture Holdings Ltd. | 433,093,554 | 1.020 | 441.76 | 2019/08/02 |
| 00279 | Freeman FinTech Corporation Ltd. | 300,000,000 | 0.145 | 43.50 | 2019/08/05 |
| 00817 | China Jinmao Holdings Group Ltd. | 169,494,061 | 4.811 | 815.37 | 2019/08/06 |
| 00690 | Uni-Bio Science Group Ltd. | 215,800,000 | 0.139 | 30.00 | 2019/08/06 |
| 02013 | Weimob Inc. | 255,000,000 | 4.600 | 1,173.00 | 2019/08/06 |
| 00021 | Great China Properties Holdings Ltd. | 662,535,000 | 0.122 | 80.83 | 2019/08/12 |
| 00153 | China Saite Group Co. Ltd. | 430,000,000 | 0.380 | 163.40 | 2019/08/15 |
| 00185 | Zensun Enterprises Ltd. | 4,117,879,979 | 0.380 | 1,564.79 | 2019/08/15 |
| 00673 | China Health Group Ltd. | 46,000,000 | 0.100 | 4.60 | 2019/08/19 |
| 00712 | Comtec Solar Systems Group Ltd. | 270,000,000 | 0.055 | 14.85 | 2019/08/19 |
| 00102 | Summit Ascent Holdings Ltd. | 300,000,000 | 1.010 | 303.00 | 2019/08/19 |
| 01201 | Tesson Holdings Ltd. | 10,170,000 | 0.500 | 5.09 | 2019/08/19 |
| 00809 | Global Bio-chem Technology Group Co. Ltd. | 1,279,799,672 | 0.100 | 127.98 | 2019/08/20 |
| 02728 | Yuhua Energy Holdings Ltd. | 540,000,000 | 0.125 | 67.50 | 2019/08/21 |
| 01778 | Colour Life Services Group Co., Ltd. | 22,956,000 | 5.220 | 119.83 | 2019/08/28 |
| 00620 | DTXS Silk Road Investment Holdings Co. Ltd. | 111,187,538 | 5.387 | 599.00 | 2019/08/28 |
| 00670 | China Eastern Airlines Corporation Ltd. – H Shares | 517,677,777 | 4.290 | 2,220.84 | 2019/08/29 |
| 01815 | CSMall Group Ltd. | 100,000,000 | 1.500 | 150.00 | 2019/08/30 |
| 00401 | Wanjia Group Holdings Ltd. | 155,617,260 | 0.054 | 8.40 | 2019/09/12 |
| 00729 | FDG Electric Vehicles Ltd. | 204,316,184 | 0.540 | 110.33 | 2019/09/13 |
| 00286 | Common Splendor International Health Industry Group Ltd. (Name changed to Aidigong Maternal & Child Health Ltd.) | 734,660,000 | 0.400 | 293.86 | 2019/09/17 |
| 01117 | China Modern Dairy Holdings Ltd. | 276,228,409 | 1.354 | 373.88 | 2019/09/20 |
| 02113 | Century Group International Holdings Ltd. | 37,000,000 | 0.222 | 8.21 | 2019/09/27 |
| 01159 | Starlight Culture Entertainment Group Ltd. | 78,000,000 | 2.500 | 195.00 | 2019/09/30 |
| 02623 | Add New Energy Investment Holdings Group Ltd. | 447,220,000 | 0.090 | 40.25 | 2019/10/02 |
| 01962 | Evergreen Products Group Ltd. | 33,180,000 | 1.550 | 51.43 | 2019/10/03 |
| 01801 | Innovent Biologics, Inc. – B | 97,000,000 | 24.600 | 2,386.20 | 2019/10/18 |
| 01239 | Teamway International Group Holdings Ltd. | 226,400,000 | 0.064 | 14.49 | 2019/10/18 |
| 00471 | CMMB Vision Holdings Ltd. | 630,266,440 | 0.035 | 22.06 | 2019/10/21 |
| 01238 | Powerlong Real Estate Holdings Ltd. | 146,600,000 | 5.400 | 791.64 | 2019/10/23 |
| 03908 | China International Capital Corporation Ltd. – H Shares | 176,000,000 | 14.400 | 2,534.40 | 2019/10/24 |
| 01679 | Risecomm Group Holdings Ltd. | 147,500,000 | 0.400 | 59.00 | 2019/10/31 |
| 00245 | China Minsheng Financial Holding Corporation Ltd. | 5,785,740,000 | 0.085 | 491.79 | 2019/11/01 |
| 01109 | China Resources Land Ltd. | 200,000,000 | 33.650 | 6,730.00 | 2019/11/01 |
| 01468 | Kingkey Financial International (Holdings) Ltd. | 234,140,000 | 0.205 | 48.00 | 2019/11/07 |
| 02269 | WuXi Biologics (Cayman) Inc. | 46,500,000 | 85.000 | 3,952.50 | 2019/11/08 |
| 00633 | China All Access (Holdings) Ltd. | 30,000,000 | 0.280 | 8.40 | 2019/11/11 |
| 01323 | Newtree Group Holdings Ltd. | 152,800,000 | 0.460 | 70.29 | 2019/11/11 |
| 00729 | FDG Electric Vehicles Ltd. | 280,000,000 | 0.220 | 61.60 | 2019/11/13 |
| 01962 | Evergreen Products Group Ltd. | 12,902,000 | 1.550 | 20.00 | 2019/11/15 |
| 02728 | Yuhua Energy Holdings Ltd. | 78,000,000 | 0.122 | 9.52 | 2019/11/22 |
| 00750 | China Singyes Solar Technologies Holdings Ltd. | 1,687,008,585 | 0.920 | 1,552.05 | 2019/11/28 |
| 02066 | Shengjing Bank Co., Ltd. – H Shares | 800,000,000 | 6.818 | 5,454.55 | 2019/11/28 |
| 03836 | China Harmony New Energy Auto Holding Ltd. | 52,000,000 | 3.000 | 156.00 | 2019/12/02 |
| 01993 | Asiaray Media Group Ltd. | 35,675,676 | 4.100 | 146.27 | 2019/12/04 |
| 00274 | China Billion Resources Ltd. | 2,100,000,000 | 0.018 | 37.80 | 2019/12/04 |
| 00697 | Shougang Concord International Enterprises Co. Ltd. | 1,500,000,000 | 0.300 | 450.00 | 2019/12/11 |
| 00922 | Anxian Yuan China Holdings Ltd. | 148,000,000 | 0.150 | 22.20 | 2019/12/17 |
| 01282 | Glory Sun Financial Group Ltd. | 2,400,000,000 | 0.250 | 600.00 | 2019/12/17 |
| 03990 | Midea Real Estate Holding Ltd. | 40,000,000 | 19.100 | 764.00 | 2019/12/18 |
| 00810 | China Internet Investment Finance Holdings Ltd. | 156,900,000 | 0.105 | 16.47 | 2019/12/19 |
| 02369 | Coolpad Group Ltd. | 800,000,000 | 0.215 | 172.00 | 2019/12/19 |
| 02322 | Hong Kong ChaoShang Group Ltd. | 440,000,000 | 0.500 | 220.00 | 2019/12/27 |
| 02777 | Guangzhou R&F Properties Co., Ltd. – H Shares | 273,000,000 | 13.680 | 3,734.64 | 2019/12/30 |
| 01478 | Q Technology (Group) Co. Ltd. | 15,000,000 | 13.680 | 205.20 | 2019/12/30 |
| 01142 | Siberian Mining Group Co. Ltd. | 241,695,104 | 0.200 | 48.34 | 2019/12/30 |
| 00286 | Aidigong Maternal & Child Health Ltd. | 100,000,000 | 0.500 | 50.00 | 2019/12/31 |
| Total | | | | 97,009.98 | |

Funds raised by classification, 2015-2019

| | | HK\$bil | | | | | |
|-----------------------------------------------|------------------------------|---------|-----------------|---------------|---------------|---------------|---------------|
| Type | Listing method | 2015 | 2016 | 2017 | 2018 | 2019 | |
| Equities | Offer for subscription | 32.24 | 12.17 | 19.08 | 23.52 | 35.38 | |
| | Offer for sale | 15.81 | 8.69 | 6.15 | 15.53 | 10.90 | |
| | Offer for placing | 212.30 | 169.87 | 97.37 | 243.89 | 267.00 | |
| | Placing | 428.50 | 147.10 | 335.32 | 137.66 | 97.01 | |
| | Rights issue | 99.17 | 45.86 | 50.18 | 30.77 | 4.74 | |
| | Open offer | 17.34 | 7.90 | 6.30 | 1.42 | 0.12 | |
| | Consideration issue | 264.76 | 71.20 | 36.02 | 65.82 | 19.95 | |
| | Warrants exercised | 9.80 | 1.27 | 1.93 | 1.04 | 0.01 | |
| | Share option scheme | 13.63 | 7.10 | 15.06 | 13.55 | 14.87 | |
| | Equities funds raised | | 1,093.55 | 471.17 | 567.40 | 533.22 | 449.97 |
| | Debt securities | | 750.76 | 774.61 | 1,190.67 | 1,053.83 | 1,401.91 |
| Unit trusts/Mutual funds | | - | - | - | - | 2.57 | |
| Derivative warrants – premium | | 182.37 | 105.84 | 156.96 | 252.96 | 161.19 | |
| Inline warrants – premium | | - | - | - | - | 11.40 | |
| Equity warrants – premium | | - | - | - | - | - | |
| Equity linked instruments – premium | | - | - | - | - | - | |
| Callable bull/bear contracts – premium | | 483.53 | 363.74 | 433.47 | 856.40 | 878.48 | |

Equity funds raised, 1986-2019



Funds raised by Mainland enterprises H shares, 2015-2019

| | | HK\$bil | | | | | |
|-----------------|------------------------------|---------|---------------|---------------|---------------|---------------|--------------|
| Type | Listing method | 2015 | 2016 | 2017 | 2018 | 2019 | |
| Equities | Offer for subscription | 23.67 | 6.39 | 4.93 | 6.91 | 9.33 | |
| | Offer for sale | 4.28 | 3.39 | 2.50 | - | - | |
| | Offer for placing | 181.42 | 121.03 | 54.03 | 101.97 | 41.05 | |
| | Placing | 103.59 | 19.80 | 39.51 | 41.78 | 24.92 | |
| | Rights issue | 0.24 | - | - | 0.20 | 1.01 | |
| | Open offer | - | - | - | - | - | |
| | Consideration issue | 17.18 | - | - | 5.68 | - | |
| | Warrants exercised | - | - | - | - | - | |
| | Share option scheme | - | - | - | - | - | |
| | Equities funds raised | | 330.39 | 150.60 | 100.97 | 156.54 | 76.32 |

Funds raised by Red Chip stocks, 2015-2019

| | | HK\$bil | | | | | |
|-----------------|------------------------------|---------|---------------|--------------|---------------|--------------|--------------|
| Type | Listing method | 2015 | 2016 | 2017 | 2018 | 2019 | |
| Equities | Offer for subscription | 1.38 | 2.10 | - | 0.05 | 0.48 | |
| | Offer for sale | - | 4.37 | - | - | - | |
| | Offer for placing | 2.27 | 19.29 | - | 0.11 | 2.97 | |
| | Placing | 107.21 | 10.70 | 96.19 | 22.90 | 20.15 | |
| | Rights issue | 15.62 | 13.48 | 21.69 | 20.38 | 0.82 | |
| | Open offer | 0.32 | - | 3.35 | - | - | |
| | Consideration issue | 14.45 | 31.97 | 3.24 | 5.24 | 0.17 | |
| | Warrants exercised | 0.87 | - | 0.00 | - | - | |
| | Share option scheme | 2.67 | 0.66 | 1.11 | 1.07 | 1.00 | |
| | Equities funds raised | | 144.79 | 82.57 | 125.58 | 49.75 | 25.58 |

Newly listed equity warrants, 2019

| Code | Warrant | Subscription price per unit (HK\$) | Subscription period | Initial issued amount (HK\$) | Listing date |
|-------|--------------------------------------------------------------|------------------------------------|-------------------------|------------------------------|--------------|
| 01807 | International Standard Resources Holdings Ltd. Warrants 2020 | 0.040 | 2019/10/16 – 2020/10/15 | 5,750,215 | 2019/10/17 |
| 01435 | Styland Holdings Ltd. Warrants 2020 | 0.010 | 2019/11/18 – 2020/11/17 | 11,760,964 | 2019/11/19 |

List of expired equity warrants, 2019

| Code | Warrant | Last trading date | Delisted date |
|-------|--------------------------------------|-------------------|---------------|
| 01537 | Symphony Holdings Ltd. Warrants 2019 | 2019/07/02 | 2019/07/08 |
| 01595 | Styland Holdings Ltd. Warrants 2019 | 2019/10/31 | 2019/11/06 |

Market value and trading for equity warrants, 2015-2019

| Year/Month | Number * | Market value * (HK\$mil) | Turnover value (HK\$mil) | (%) of market total |
|------------|----------|-----------------------------|-----------------------------|------------------------|
| 2015 | 12 | 1,836.69 | 3,936.11 | 0.02 |
| 2016 | 8 | 847.55 | 311.39 | 0.00 |
| 2017 | 7 | 236.46 | 196.28 | 0.00 |
| 2018 | 2 | 71.12 | 21.83 | 0.00 |
| 2019 | 2 | 55.92 | 8.81 | 0.00 |
| 2019 Jan | 2 | 66.11 | 0.17 | 0.00 |
| 2019 Feb | 2 | 60.07 | 0.19 | 0.00 |
| 2019 Mar | 2 | 43.87 | 0.56 | 0.00 |
| 2019 Apr | 2 | 71.44 | 0.77 | 0.00 |
| 2019 May | 2 | 69.68 | 1.28 | 0.00 |
| 2019 Jun | 2 | 46.47 | 0.16 | 0.00 |
| 2019 Jul | 1 | 26.21 | 0.15 | 0.00 |
| 2019 Aug | 1 | 21.27 | 0.31 | 0.00 |
| 2019 Sep | 1 | 17.92 | 0.36 | 0.00 |
| 2019 Oct | 2 | 52.57 | 2.48 | 0.00 |
| 2019 Nov | 2 | 51.97 | 1.61 | 0.00 |
| 2019 Dec | 2 | 55.92 | 0.76 | 0.00 |

* Month-end or year-end figures.

Number of newly listed derivative warrants by underlying assets, 2019

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-------|-----------------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| Index | | Hang Seng China Enterprises Index | 2 | 4 | 8 | 5 | 3 | 9 | 0 | 12 | 4 | 2 | 1 | 2 | 52 |
| | | Hang Seng Index | 64 | 67 | 52 | 37 | 51 | 42 | 23 | 77 | 35 | 26 | 33 | 58 | 565 |
| | | Nikkei 225 Stock Average Index | 5 | 0 | 0 | 1 | 0 | 5 | 0 | 5 | 0 | 5 | 0 | 0 | 21 |
| Stock | | | | | | | | | | | | | | | |
| | 01530 | 3SBIO | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 02018 | AAC TECH | 17 | 7 | 11 | 7 | 20 | 2 | 2 | 10 | 10 | 9 | 12 | 32 | 139 |
| | 01288 | ABC | 8 | 4 | 5 | 6 | 6 | 3 | 0 | 6 | 1 | 4 | 2 | 0 | 45 |
| | 03383 | AGILE GROUP | 0 | 0 | 3 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 6 |
| | 01299 | AIA | 34 | 31 | 14 | 17 | 34 | 22 | 27 | 40 | 13 | 13 | 19 | 26 | 290 |
| | 00753 | AIR CHINA | 0 | 0 | 4 | 3 | 1 | 0 | 0 | 0 | 1 | 0 | 3 | 0 | 12 |
| | 00241 | ALI HEALTH | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 |
| | 01060 | ALI PICTURES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| | 03319 | A-LIVING | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 3 | 3 | 0 | 9 |
| | 00347 | ANGANG STEEL | 2 | 0 | 3 | 1 | 1 | 1 | 0 | 2 | 0 | 1 | 2 | 0 | 13 |
| | 02020 | ANTA SPORTS | 2 | 3 | 5 | 0 | 0 | 0 | 1 | 1 | 1 | 2 | 3 | 0 | 18 |
| | 00522 | ASM PACIFIC | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 6 |
| | 02357 | AVICHINA | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 1 | 6 |
| | - | ALIBABA GROUP | - | - | - | - | - | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 09988 | BABA-SW | - | - | - | - | - | - | - | - | - | - | 24 | 41 | 65 |
| | 01958 | BAIC MOTOR | 1 | 2 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| | 00874 | BAIYUNSHAN PH | 2 | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 10 |
| | 03988 | BANK OF CHINA | 6 | 7 | 17 | 7 | 8 | 7 | 3 | 8 | 0 | 6 | 3 | 2 | 74 |
| | 00023 | BANK OF E ASIA | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 3 |
| | 03328 | BANKCOMM | 8 | 7 | 3 | 1 | 3 | 2 | 3 | 1 | 0 | 3 | 0 | 0 | 31 |
| | 02009 | BBMG | 2 | 2 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 0 | 9 |
| | 00694 | BEIJING AIRPORT | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 |
| | 00371 | BJ ENT WATER | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| | 02388 | BOC HONG KONG | 10 | 7 | 7 | 4 | 0 | 4 | 3 | 13 | 2 | 3 | 3 | 4 | 60 |
| | 03998 | BOSIDENG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 3 |
| | 01114 | BRILLIANCE CHI | 3 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 2 | 1 | 0 | 1 | 10 |
| | 01876 | BUD APAC | - | - | - | - | - | - | - | - | 17 | 27 | 7 | 3 | 54 |
| | 01211 | BYD COMPANY | 24 | 4 | 7 | 19 | 8 | 3 | 8 | 10 | 8 | 3 | 2 | 8 | 104 |
| | 00285 | BYD ELECTRONIC | 2 | 0 | 1 | 3 | 2 | 1 | 0 | 2 | 3 | 0 | 3 | 2 | 19 |
| | 03188 | CAM CSI300 | 5 | 4 | 16 | 6 | 2 | 1 | 5 | 1 | 6 | 1 | 2 | 5 | 54 |
| | 00293 | CATHAY PAC AIR | 2 | 2 | 2 | 3 | 1 | 1 | 1 | 7 | 0 | 0 | 0 | 0 | 19 |
| | 00939 | CCB | 15 | 15 | 24 | 20 | 24 | 7 | 7 | 14 | 6 | 15 | 5 | 10 | 162 |
| | 06098 | CG SERVICES | 0 | 0 | 2 | 1 | 0 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 11 |
| | 01816 | CGN POWER | 3 | 3 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 2 | 1 | 0 | 11 |
| | 06881 | CGS | 3 | 7 | 8 | 1 | 0 | 4 | 2 | 0 | 0 | 3 | 0 | 0 | 28 |
| | 02600 | CHALCO | 5 | 0 | 7 | 3 | 1 | 0 | 0 | 3 | 1 | 0 | 0 | 1 | 21 |
| | 00606 | CHINA AGRI | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 03883 | CHINA AOYUAN | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 1 | 4 |
| | 01359 | CHINA CINDA | 3 | 1 | 5 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 13 |
| | 01898 | CHINA COAL | 1 | 0 | 3 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 1 | 8 |
| | 01800 | CHINA COMM CONS | 3 | 1 | 2 | 3 | 4 | 0 | 0 | 4 | 4 | 0 | 1 | 3 | 25 |
| | 03969 | CHINA CRSC | 0 | 0 | 0 | 0 | 0 | 1 | 4 | 0 | 0 | 0 | 0 | 0 | 5 |
| | 00670 | CHINA EAST AIR | 2 | 0 | 3 | 3 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 2 | 12 |
| | 00257 | CHINA EB INT'L | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| | 00165 | CHINA EB LTD | 0 | 0 | 3 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 2 | 0 | 9 |
| | 00839 | CHINA EDU GROUP | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 00384 | CHINA GAS HOLD | 1 | 1 | 2 | 3 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 12 |
| | 02799 | CHINA HUARONG | 2 | 2 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 7 |
| | 02628 | CHINA LIFE | 15 | 18 | 44 | 6 | 16 | 5 | 8 | 12 | 5 | 7 | 12 | 19 | 167 |
| | 00772 | CHINA LIT | 1 | 0 | 4 | 2 | 0 | 2 | 3 | 2 | 0 | 5 | 1 | 2 | 22 |
| | 00916 | CHINA LONGYUAN | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 3 |
| | 00144 | CHINA MER PORT | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 5 |
| | 00941 | CHINA MOBILE | 27 | 6 | 26 | 22 | 18 | 19 | 5 | 16 | 3 | 7 | 14 | 23 | 186 |
| | 02883 | CHINA OILFIELD | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 1 | 5 |
| | 00688 | CHINA OVERSEAS | 4 | 5 | 6 | 2 | 1 | 3 | 0 | 2 | 1 | 0 | 0 | 5 | 29 |
| | 01186 | CHINA RAIL CONS | 3 | 1 | 1 | 3 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 11 |
| | 00390 | CHINA RAILWAY | 1 | 0 | 3 | 3 | 3 | 2 | 1 | 3 | 1 | 2 | 1 | 0 | 20 |
| | 01508 | CHINA RE | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 |
| | 00291 | CHINA RES BEER | 3 | 0 | 4 | 1 | 1 | 0 | 2 | 0 | 3 | 0 | 1 | 0 | 15 |
| | 01193 | CHINA RES GAS | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 01109 | CHINA RES LAND | 8 | 1 | 5 | 1 | 0 | 1 | 1 | 0 | 2 | 3 | 0 | 1 | 23 |
| | 00836 | CHINA RES POWER | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 5 |
| | 01088 | CHINA SHENHUA | 4 | 0 | 1 | 3 | 3 | 1 | 0 | 2 | 1 | 2 | 0 | 3 | 20 |
| | 01055 | CHINA SOUTH AIR | 2 | 3 | 2 | 3 | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 14 |
| | 03311 | CHINA STATE CON | 1 | 0 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 7 |
| | 00966 | CHINA TAIPING | 4 | 1 | 2 | 2 | 3 | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 17 |
| | 00728 | CHINA TELECOM | 5 | 2 | 1 | 3 | 2 | 2 | 1 | 3 | 2 | 2 | 4 | 2 | 29 |
| | 00788 | CHINA TOWER | 22 | 31 | 8 | 29 | 21 | 8 | 15 | 14 | 7 | 18 | 2 | 7 | 182 |
| | 00762 | CHINA UNICOM | 10 | 2 | 10 | 0 | 6 | 5 | 3 | 5 | 1 | 2 | 1 | 7 | 52 |
| | 02202 | CHINA VANKE | 1 | 3 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 10 |
| | 00552 | CHINACOMSERVICE | 2 | 3 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 9 |
| | 01313 | CHINARES CEMENT | 2 | 0 | 3 | 1 | 1 | 1 | 0 | 1 | 1 | 0 | 2 | 0 | 12 |
| | 00354 | CHINASOFT INT'L | 0 | 1 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 6 |
| | 01929 | CHOW TAI FOOK | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 03908 | CICC | 0 | 3 | 3 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 3 | 14 |
| | 00267 | CITIC | 1 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 7 |
| | 00998 | CITIC BANK | 6 | 5 | 5 | 2 | 4 | 2 | 0 | 3 | 0 | 0 | 1 | 2 | 30 |
| | 06030 | CITIC SEC | 6 | 11 | 23 | 1 | 4 | 5 | 3 | 6 | 3 | 1 | 6 | 5 | 74 |
| | 01113 | CK ASSET | 17 | 3 | 3 | 2 | 4 | 3 | 2 | 9 | 1 | 6 | 0 | 7 | 57 |

Number of newly listed derivative warrants by underlying assets, 2019 (continued)

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-----------------|------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| 00001 | CKH HOLDINGS | 8 | 3 | 5 | 8 | 3 | 7 | 2 | 9 | 3 | 1 | 1 | 2 | 52 | |
| 01038 | CKI HOLDINGS | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 2 | 9 | |
| 00002 | CLP HOLDINGS | 0 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 9 | |
| 03968 | CM BANK | 9 | 6 | 12 | 9 | 1 | 4 | 8 | 6 | 4 | 3 | 4 | 6 | 72 | |
| 03993 | CMOC | 1 | 2 | 3 | 1 | 5 | 1 | 1 | 2 | 1 | 1 | 3 | 2 | 23 | |
| 00867 | CMS | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 6 | |
| 03323 | CNBM | 3 | 2 | 6 | 9 | 0 | 0 | 1 | 4 | 3 | 1 | 0 | 3 | 32 | |
| 00883 | CNOOC | 8 | 4 | 4 | 3 | 3 | 3 | 2 | 10 | 7 | 3 | 2 | 11 | 60 | |
| 00914 | CONCH CEMENT | 8 | 4 | 3 | 9 | 0 | 1 | 1 | 5 | 0 | 1 | 3 | 2 | 37 | |
| 00586 | CONCH VENTURE | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | |
| 01919 | COSCO SHIP HOLD | 0 | 1 | 2 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 7 | |
| 02007 | COUNTRY GARDEN | 5 | 8 | 9 | 9 | 3 | 4 | 3 | 1 | 2 | 3 | 3 | 4 | 54 | |
| 02601 | CPIC | 5 | 3 | 4 | 9 | 0 | 5 | 3 | 2 | 0 | 4 | 1 | 3 | 39 | |
| 01766 | CRRC | 4 | 0 | 4 | 1 | 3 | 1 | 2 | 1 | 1 | 1 | 0 | 0 | 18 | |
| 03898 | CRRC TIMES ELEC | 0 | 0 | 3 | 0 | 2 | 1 | 1 | 0 | 4 | 0 | 0 | 2 | 13 | |
| 02822 | CSOP A50 ETF | 10 | 7 | 34 | 10 | 5 | 2 | 5 | 7 | 8 | 4 | 1 | 11 | 104 | |
| 01093 | CSPC PHARMA | 16 | 4 | 12 | 7 | 1 | 8 | 7 | 3 | 14 | 11 | 23 | 8 | 114 | |
| 00489 | DONGFENG GROUP | 1 | 2 | 2 | 0 | 3 | 1 | 2 | 0 | 2 | 0 | 0 | 0 | 13 | |
| 03333 | EVERGRANDE | 7 | 6 | 8 | 2 | 3 | 7 | 5 | 10 | 1 | 1 | 2 | 5 | 57 | |
| 00778 | FORTUNE REIT | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 | |
| 00656 | FOSUN INTL | 3 | 1 | 3 | 2 | 0 | 2 | 1 | 2 | 3 | 0 | 1 | 0 | 18 | |
| 02196 | FOSUN PHARMA | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | |
| 03606 | FUYAO GLASS | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | |
| 02238 | GAC GROUP | 3 | 2 | 3 | 1 | 1 | 4 | 0 | 0 | 1 | 1 | 2 | 2 | 20 | |
| 00027 | GALAXY ENT | 20 | 13 | 8 | 21 | 22 | 9 | 14 | 10 | 8 | 12 | 7 | 19 | 163 | |
| 03800 | GCL-POLY ENERGY | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| 00175 | GEELY AUTO | 43 | 14 | 22 | 33 | 16 | 5 | 18 | 7 | 12 | 10 | 12 | 6 | 198 | |
| 01548 | GENSCRIPT BIO | 3 | 4 | 0 | 5 | 0 | 1 | 0 | 2 | 1 | 1 | 1 | 3 | 21 | |
| 01776 | GF SEC | 0 | 0 | 2 | 0 | 1 | 2 | 0 | 0 | 1 | 2 | 0 | 2 | 10 | |
| 02208 | GOLDWIND | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| 02333 | GREATWALL MOTOR | 10 | 7 | 1 | 8 | 2 | 2 | 2 | 3 | 0 | 1 | 1 | 3 | 40 | |
| 00270 | GUANGDONG INV | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 3 | |
| 06862 | HAIDILAO | 0 | 6 | 0 | 4 | 0 | 0 | 2 | 0 | 3 | 1 | 4 | 2 | 22 | |
| 01169 | HAIER ELEC | 2 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 7 | |
| 00665 | HAITONG INT'L | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | |
| 06837 | HAITONG SEC | 1 | 6 | 16 | 1 | 6 | 2 | 1 | 4 | 3 | 0 | 3 | 1 | 44 | |
| 00101 | HANG LUNG PPT | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | |
| 00011 | HANG SENG BANK | 8 | 1 | 0 | 3 | 1 | 2 | 6 | 2 | 8 | 7 | 2 | 2 | 42 | |
| 00012 | HENDERSON LAND | 6 | 3 | 1 | 5 | 0 | 2 | 1 | 3 | 5 | 1 | 1 | 0 | 28 | |
| 01044 | HENGAN INT'L | 3 | 0 | 0 | 4 | 0 | 1 | 2 | 0 | 1 | 3 | 1 | 0 | 15 | |
| 00003 | HK & CHINA GAS | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 2 | 2 | 0 | 2 | 0 | 9 | |
| 00388 | HKEX | 29 | 50 | 53 | 21 | 22 | 31 | 22 | 32 | 40 | 17 | 12 | 18 | 347 | |
| 00958 | HN RENEWABLES | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | |
| 00005 | HSBC HOLDINGS | 17 | 23 | 15 | 20 | 19 | 12 | 9 | 40 | 6 | 9 | 8 | 14 | 192 | |
| 02828 | HSCEI ETF | 1 | 0 | 4 | 1 | 2 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 11 | |
| 06886 | HTSC | 0 | 4 | 3 | 0 | 1 | 1 | 0 | 1 | 4 | 1 | 0 | 1 | 16 | |
| 01347 | HUA HONG SEMI | 3 | 0 | 4 | 1 | 0 | 3 | 1 | 0 | 2 | 0 | 4 | 1 | 19 | |
| 00902 | HUANENG POWER | 4 | 0 | 1 | 3 | 7 | 0 | 0 | 1 | 0 | 3 | 0 | 1 | 20 | |
| 01398 | ICBC | 16 | 8 | 17 | 11 | 13 | 4 | 8 | 12 | 6 | 8 | 4 | 10 | 117 | |
| 00799 | IGG | 3 | 0 | 3 | 0 | 0 | 2 | 1 | 1 | 3 | 0 | 1 | 0 | 14 | |
| 02823 | ISHARES A50 | 12 | 4 | 24 | 5 | 3 | 2 | 2 | 5 | 3 | 2 | 2 | 3 | 67 | |
| 00358 | JIANGXI COPPER | 7 | 2 | 5 | 1 | 1 | 0 | 1 | 2 | 1 | 0 | 0 | 0 | 20 | |
| 01951 | JXR | - | - | - | - | - | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 3 | |
| 01888 | KB LAMINATES | 0 | 2 | 0 | 0 | 0 | 0 | 2 | 0 | 2 | 0 | 3 | 0 | 9 | |
| 00148 | KINGBOARD HLDG | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 6 | |
| 00268 | KINGDEE INT'L | 4 | 2 | 7 | 0 | 2 | 0 | 3 | 1 | 3 | 0 | 0 | 0 | 22 | |
| 03888 | KINGSOFT | 8 | 2 | 10 | 6 | 2 | 5 | 3 | 3 | 6 | 4 | 5 | 4 | 58 | |
| 00135 | KUNLUN ENERGY | 5 | 1 | 1 | 2 | 1 | 2 | 2 | 1 | 1 | 1 | 1 | 0 | 18 | |
| 00992 | LENOVO GROUP | 9 | 13 | 13 | 6 | 5 | 7 | 4 | 5 | 5 | 1 | 7 | 5 | 80 | |
| 00494 | LI & FUNG | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 2 | |
| 02331 | LI NING | 1 | 1 | 2 | 0 | 0 | 1 | 2 | 5 | 1 | 4 | 0 | 0 | 17 | |
| 00823 | LINK REIT | 11 | 5 | 5 | 2 | 6 | 7 | 4 | 8 | 6 | 6 | 6 | 5 | 71 | |
| 03380 | LOGAN PPT | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| 00960 | LONGFOR GROUP | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | |
| 02186 | LUYE PHARMA | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 3 | |
| 00323 | MAANSHAN IRON | 2 | 1 | 4 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 10 | |
| 01317 | MAPLELEAF EDU | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |
| 01357 | MEITU | 2 | 6 | 0 | 0 | 2 | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 15 | |
| 03690 | MEITUAN-W | 16 | 13 | 4 | 0 | 8 | 3 | 8 | 9 | 23 | 59 | 32 | 44 | 219 | |
| 00200 | MELCO INT'L DEV | 1 | 2 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 2 | 0 | 9 | |
| 02319 | MENGNIU DAIRY | 2 | 2 | 2 | 3 | 1 | 0 | 3 | 2 | 5 | 2 | 1 | 0 | 23 | |
| 02282 | MGM CHINA | 1 | 1 | 3 | 1 | 2 | 2 | 2 | 1 | 1 | 0 | 0 | 1 | 15 | |
| 01988 | MINSHENG BANK | 8 | 1 | 4 | 4 | 2 | 4 | 0 | 3 | 1 | 1 | 0 | 3 | 31 | |
| 00066 | MTR CORPORATION | 6 | 1 | 9 | 0 | 0 | 4 | 11 | 9 | 10 | 7 | 4 | 4 | 65 | |
| 03918 | NAGACORP | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | |
| 01336 | NCI | 8 | 3 | 9 | 8 | 2 | 4 | 4 | 4 | 2 | 5 | 2 | 8 | 59 | |
| 02689 | ND PAPER | 4 | 1 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 2 | 12 | |
| 00017 | NEW WORLD DEV | 1 | 6 | 0 | 2 | 0 | 2 | 0 | 5 | 3 | 3 | 0 | 1 | 23 | |
| 01316 | NEXTEER | 0 | 0 | 1 | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 6 | |
| 01833 | PA GOODDOCTOR | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 5 | 15 | |
| 00857 | PETROCHINA | 14 | 4 | 10 | 4 | 17 | 8 | 2 | 9 | 6 | 4 | 1 | 8 | 87 | |
| 01339 | PICC GROUP | 3 | 1 | 11 | 3 | 7 | 1 | 4 | 1 | 0 | 2 | 2 | 1 | 36 | |
| 02328 | PICC P&C | 3 | 3 | 4 | 2 | 1 | 0 | 2 | 1 | 5 | 1 | 1 | 1 | 24 | |
| 02318 | PING AN | 64 | 42 | 68 | 44 | 46 | 28 | 23 | 42 | 22 | 17 | 25 | 39 | 460 | |
| 00119 | POLY PROPERTY | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 3 | |
| 00006 | POWER ASSETS | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 5 | |
| 01658 | PSBC | 2 | 0 | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 2 | 2 | 1 | 13 | |

Number of newly listed derivative warrants by underlying assets, 2019 *(continued)*

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-------|------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|--------------|
| | 02777 | R&F PROPERTIES | 5 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 9 |
| | 01337 | RAZER | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 01910 | SAMSONITE | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 01928 | SANDS CHINA LTD | 18 | 14 | 2 | 8 | 13 | 11 | 7 | 2 | 6 | 7 | 3 | 8 | 99 |
| | 01787 | SD GOLD | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| | 02727 | SH ELECTRIC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 02607 | SH PHARMA | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| | 00338 | SHANGHAI PEICHEM | 1 | 0 | 2 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 6 |
| | 00152 | SHENZHEN INT'L | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 6 |
| | 02313 | SHENZHOU INTL | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 5 |
| | 00813 | SHIMAO PROPERTY | 1 | 0 | 2 | 3 | 0 | 1 | 1 | 1 | 2 | 1 | 2 | 0 | 14 |
| | 00016 | SHK PPT | 21 | 13 | 1 | 9 | 3 | 12 | 9 | 25 | 13 | 5 | 4 | 8 | 123 |
| | 01177 | SINO BIOPHARM | 4 | 0 | 1 | 2 | 1 | 2 | 2 | 5 | 10 | 0 | 2 | 5 | 34 |
| | 00083 | SINO LAND | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| | 00386 | SINOPEC CORP | 16 | 4 | 9 | 5 | 9 | 8 | 2 | 7 | 6 | 2 | 1 | 4 | 73 |
| | 01099 | SINOPHARM | 1 | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 3 | 0 | 0 | 1 | 10 |
| | 00880 | SJM HOLDINGS | 3 | 5 | 8 | 2 | 2 | 4 | 2 | 2 | 2 | 1 | 2 | 3 | 36 |
| | 00981 | SMIC | 0 | 4 | 4 | 0 | 2 | 1 | 0 | 2 | 4 | 4 | 0 | 6 | 27 |
| | 02840 | SPDR GOLD TRUST | 1 | 0 | 0 | 1 | 0 | 1 | 5 | 3 | 4 | 7 | 1 | 0 | 23 |
| | 02888 | STANCHART | 3 | 0 | 1 | 2 | 2 | 0 | 0 | 2 | 2 | 2 | 0 | 0 | 14 |
| | 01918 | SUNAC | 9 | 11 | 14 | 15 | 1 | 3 | 2 | 8 | 2 | 6 | 4 | 15 | 90 |
| | 06808 | SUNART RETAIL | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 02382 | SUNNY OPTICAL | 8 | 22 | 9 | 7 | 16 | 5 | 6 | 23 | 24 | 1 | 13 | 27 | 161 |
| | 00019 | SWIRE PACIFIC A | 4 | 0 | 1 | 2 | 0 | 0 | 0 | 5 | 0 | 4 | 1 | 1 | 18 |
| | 01972 | SWIREPROPERTIES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| | 00669 | TECHTRONIC IND | 1 | 0 | 4 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 0 | 9 |
| | 00700 | TENCENT | 88 | 60 | 85 | 86 | 101 | 68 | 44 | 75 | 59 | 45 | 50 | 100 | 861 |
| | 00322 | TINGYI | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 4 |
| | 01083 | TOWNGAS CHINA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| | 02800 | TRACKER FUND | 2 | 0 | 4 | 0 | 1 | 0 | 3 | 5 | 0 | 0 | 2 | 0 | 17 |
| | 00696 | TRAVELSKY TECH | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 00168 | TSINGTAO BREW | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 1 | 5 |
| | 00345 | VITASOY INT'L | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 0 | 0 | 1 | 2 | 0 | 10 |
| | 00151 | WANT WANT CHINA | 1 | 1 | 1 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 6 |
| | 02338 | WEICHAI POWER | 1 | 1 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 7 |
| | 01066 | WEIGAO GROUP | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 3 |
| | 00288 | WH GROUP | 0 | 1 | 5 | 5 | 7 | 2 | 0 | 1 | 2 | 4 | 4 | 4 | 35 |
| | 00004 | WHARF HOLDINGS | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 6 |
| | 01997 | WHARF REIC | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 6 |
| | 02269 | WUXI BIO | 0 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 1 | 8 |
| | 01128 | WYNN MACAU | 2 | 4 | 0 | 5 | 4 | 5 | 1 | 0 | 1 | 3 | 1 | 3 | 29 |
| | 01810 | XIAOMI-W | 44 | 16 | 21 | 11 | 28 | 15 | 18 | 17 | 17 | 14 | 6 | 52 | 259 |
| | 00868 | XINYI GLASS | 1 | 1 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 6 |
| | 00968 | XINYI SOLAR | 1 | 0 | 1 | 3 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 8 |
| | 01171 | YANZHOU COAL | 6 | 1 | 0 | 2 | 1 | 3 | 1 | 1 | 4 | 0 | 0 | 0 | 19 |
| | 01579 | YIHAI INTL | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 3 | 0 | 0 | 6 |
| | 00123 | YUEXIU PROPERTY | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 06060 | ZA ONLINE | 7 | 2 | 4 | 3 | 3 | 2 | 3 | 3 | 3 | 5 | 6 | 7 | 48 |
| | 01818 | ZHAOJIN MINING | 1 | 0 | 1 | 0 | 1 | 1 | 2 | 5 | 1 | 0 | 1 | 1 | 14 |
| | 00881 | ZHONGSHENG HLDG | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 2 | 0 | 5 |
| | 02899 | ZIJIN MINING | 1 | 1 | 12 | 2 | 3 | 3 | 3 | 0 | 3 | 5 | 0 | 3 | 36 |
| | 01157 | ZOOMLION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 2 |
| | 00763 | ZTE | 0 | 5 | 4 | 4 | 2 | 4 | 1 | 0 | 1 | 3 | 5 | 1 | 30 |
| Currency | | EUR/USD | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 4 |
| | | USD/CNH | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 6 |
| | | USD/JPY | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 4 |
| | | GBP/USD | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | AUD/USD | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Mineral | | Gold | 0 | 0 | 0 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 6 |
| | | Oil | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 2 |
| | | Total | | | | | | | | | | | | | 8,939 |

Number of expired listed derivative warrants by underlying assets, 2019

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-------|-----------------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| Index | | Hang Seng China Enterprises Index | 7 | 0 | 23 | 2 | 4 | 16 | 7 | 0 | 5 | 1 | 0 | 8 | 73 |
| | | Hang Seng Index | 62 | 57 | 76 | 80 | 73 | 46 | 38 | 42 | 83 | 36 | 41 | 65 | 699 |
| | | Nikkei 225 Stock Average Index | 0 | 0 | 4 | 0 | 0 | 5 | 0 | 2 | 5 | 0 | 1 | 4 | 21 |
| Stock | | | | | | | | | | | | | | | |
| | 01530 | 3SBIO | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| | 02018 | AAC TECH | 9 | 11 | 14 | 11 | 17 | 9 | 17 | 8 | 6 | 10 | 10 | 17 | 139 |
| | 01288 | ABC | 9 | 2 | 8 | 4 | 4 | 2 | 12 | 6 | 2 | 5 | 2 | 6 | 62 |
| | 03383 | AGILE GROUP | 1 | 0 | 0 | 2 | 0 | 5 | 4 | 1 | 1 | 1 | 1 | 3 | 19 |
| | 01299 | AIA | 17 | 22 | 27 | 31 | 18 | 21 | 24 | 22 | 23 | 26 | 34 | 32 | 297 |
| | 00753 | AIR CHINA | 3 | 2 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 6 | 1 | 2 | 18 |
| | 00241 | ALI HEALTH | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 1 | 6 |
| | 01060 | ALI PICTURES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| | - | ALIBABA GROUP | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| | 03319 | A-LIVING | 0 | 1 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 7 |
| | 00347 | ANGANG STEEL | 4 | 0 | 2 | 0 | 0 | 4 | 1 | 0 | 1 | 0 | 1 | 1 | 14 |
| | 02020 | ANTA SPORTS | 2 | 1 | 0 | 3 | 1 | 1 | 0 | 3 | 3 | 1 | 1 | 1 | 17 |
| | 00522 | ASM PACIFIC | 0 | 0 | 0 | 2 | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 0 | 7 |
| | 02357 | AVICHINA | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 3 |
| | 01958 | BAIC MOTOR | 0 | 2 | 2 | 1 | 2 | 4 | 2 | 1 | 0 | 3 | 0 | 0 | 17 |
| | 00874 | BAIYUNSHAN PH | 1 | 0 | 2 | 0 | 1 | 2 | 0 | 1 | 1 | 1 | 1 | 1 | 11 |
| | 03988 | BANK OF CHINA | 17 | 8 | 8 | 17 | 8 | 3 | 3 | 11 | 10 | 5 | 9 | 7 | 106 |
| | 00023 | BANK OF E ASIA | 1 | 0 | 1 | 0 | 1 | 1 | 3 | 0 | 0 | 1 | 1 | 1 | 10 |
| | 03328 | BANKCOMM | 2 | 2 | 0 | 0 | 1 | 2 | 7 | 6 | 4 | 3 | 1 | 2 | 30 |
| | 02009 | BBMG | 5 | 1 | 1 | 4 | 1 | 0 | 1 | 1 | 0 | 2 | 1 | 2 | 19 |
| | 00694 | BEIJING AIRPORT | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 00371 | BJ ENT WATER | 0 | 0 | 2 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 7 |
| | 02588 | BOC AVIATION | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 02388 | BOC HONG KONG | 12 | 2 | 7 | 4 | 12 | 6 | 5 | 6 | 4 | 9 | 1 | 5 | 73 |
| | 01114 | BRILLIANCE CHI | 5 | 2 | 0 | 2 | 1 | 2 | 3 | 0 | 2 | 1 | 2 | 3 | 23 |
| | 01211 | BYD COMPANY | 18 | 20 | 11 | 11 | 22 | 9 | 23 | 12 | 4 | 9 | 10 | 9 | 158 |
| | 00285 | BYD ELECTRONIC | 2 | 2 | 4 | 0 | 1 | 0 | 7 | 1 | 0 | 0 | 6 | 1 | 24 |
| | 03188 | CAM CSI300 | 7 | 5 | 2 | 6 | 2 | 4 | 1 | 1 | 15 | 13 | 5 | 4 | 65 |
| | 00699 | CAR INC | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 |
| | 00293 | CATHAY PAC AIR | 2 | 2 | 0 | 0 | 1 | 1 | 1 | 1 | 2 | 3 | 2 | 2 | 17 |
| | 00939 | CCB | 34 | 15 | 20 | 21 | 23 | 7 | 15 | 25 | 14 | 18 | 15 | 20 | 227 |
| | 06098 | CG SERVICES | 0 | 0 | 0 | 0 | 3 | 0 | 1 | 0 | 2 | 1 | 0 | 1 | 8 |
| | 01816 | CGN POWER | 2 | 0 | 2 | 5 | 2 | 1 | 2 | 0 | 0 | 1 | 1 | 3 | 19 |
| | 06881 | CGS | 8 | 1 | 3 | 2 | 5 | 2 | 0 | 7 | 7 | 2 | 0 | 3 | 40 |
| | 02600 | CHALCO | 5 | 1 | 2 | 1 | 2 | 2 | 5 | 2 | 4 | 3 | 1 | 1 | 29 |
| | 00606 | CHINA AGRI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| | 01359 | CHINA CINDA | 4 | 3 | 3 | 2 | 3 | 1 | 3 | 3 | 2 | 3 | 0 | 1 | 28 |
| | 01898 | CHINA COAL | 3 | 2 | 0 | 2 | 2 | 1 | 1 | 2 | 2 | 0 | 0 | 1 | 16 |
| | 01800 | CHINA COMM CONS | 5 | 5 | 2 | 2 | 6 | 1 | 1 | 2 | 1 | 9 | 3 | 3 | 40 |
| | 03969 | CHINA CRSC | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 00670 | CHINA EAST AIR | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 6 |
| | 00257 | CHINA EB INT'L | 1 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| | 00165 | CHINA EB LTD | 1 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 5 |
| | 00839 | CHINA EDU GROUP | 0 | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 5 |
| | 00384 | CHINA GAS HOLD | 3 | 3 | 4 | 1 | 1 | 3 | 3 | 0 | 2 | 0 | 1 | 1 | 22 |
| | 02799 | CHINA HUARONG | 2 | 2 | 3 | 1 | 1 | 1 | 1 | 1 | 1 | 4 | 0 | 1 | 18 |
| | 02628 | CHINA LIFE | 16 | 13 | 5 | 21 | 20 | 13 | 24 | 13 | 36 | 19 | 20 | 24 | 224 |
| | 00772 | CHINA LIT | 0 | 1 | 6 | 1 | 5 | 1 | 6 | 6 | 6 | 4 | 5 | 1 | 42 |
| | 00916 | CHINA LONGYUAN | 0 | 0 | 0 | 0 | 1 | 4 | 1 | 0 | 0 | 1 | 0 | 1 | 8 |
| | 00144 | CHINA MER PORT | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 5 |
| | 00941 | CHINA MOBILE | 21 | 18 | 11 | 24 | 16 | 16 | 22 | 11 | 15 | 21 | 21 | 20 | 216 |
| | 02883 | CHINA OILFIELD | 2 | 0 | 0 | 2 | 1 | 0 | 0 | 1 | 0 | 3 | 2 | 3 | 14 |
| | 00688 | CHINA OVERSEAS | 6 | 3 | 1 | 3 | 7 | 4 | 8 | 2 | 1 | 6 | 3 | 4 | 48 |
| | 01186 | CHINA RAIL CONS | 4 | 2 | 2 | 2 | 2 | 0 | 0 | 1 | 2 | 1 | 0 | 3 | 19 |
| | 00390 | CHINA RAILWAY | 5 | 3 | 4 | 2 | 2 | 3 | 1 | 4 | 3 | 3 | 2 | 4 | 36 |
| | 01508 | CHINA RE | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 4 |
| | 00291 | CHINA RES BEER | 1 | 3 | 0 | 2 | 2 | 0 | 1 | 1 | 1 | 2 | 2 | 2 | 17 |
| | 01109 | CHINA RES LAND | 2 | 2 | 9 | 4 | 0 | 1 | 3 | 5 | 1 | 5 | 1 | 2 | 35 |
| | 00836 | CHINA RES POWER | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 3 |
| | 01088 | CHINA SHENHUA | 10 | 6 | 1 | 5 | 3 | 0 | 3 | 5 | 1 | 1 | 5 | 2 | 42 |
| | 01055 | CHINA SOUTH AIR | 2 | 2 | 1 | 1 | 3 | 3 | 0 | 4 | 1 | 0 | 1 | 0 | 18 |
| | 03311 | CHINA STATE CON | 1 | 0 | 0 | 0 | 2 | 3 | 0 | 1 | 2 | 1 | 0 | 1 | 11 |
| | 00966 | CHINA TAIPING | 2 | 3 | 1 | 0 | 1 | 2 | 6 | 3 | 1 | 1 | 5 | 0 | 25 |
| | 00728 | CHINA TELECOM | 7 | 2 | 0 | 7 | 1 | 1 | 9 | 1 | 1 | 3 | 2 | 2 | 36 |
| | 00788 | CHINA TOWER | 2 | 3 | 6 | 1 | 2 | 4 | 7 | 12 | 27 | 37 | 20 | 29 | 150 |
| | 00308 | CHINA TRAVEL HK | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | 00762 | CHINA UNICOM | 3 | 10 | 7 | 3 | 2 | 9 | 10 | 5 | 2 | 1 | 3 | 4 | 59 |
| | 02202 | CHINA VANKE | 5 | 2 | 1 | 3 | 3 | 1 | 1 | 0 | 1 | 3 | 1 | 1 | 22 |
| | 00552 | CHINACOMSERVICE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 4 |
| | 01313 | CHINARES CEMENT | 5 | 3 | 0 | 3 | 1 | 1 | 4 | 2 | 2 | 3 | 1 | 5 | 30 |
| | 03320 | CHINARES PHARMA | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| | 00354 | CHINASOFT INT'L | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 2 | 6 |
| | 01929 | CHOW TAI FOOK | 1 | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 5 |
| | 03908 | CICC | 2 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 1 | 3 | 1 | 4 | 15 |
| | 00884 | CIFI HOLD GP | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 00267 | CITIC | 3 | 0 | 0 | 0 | 4 | 1 | 0 | 1 | 0 | 0 | 0 | 4 | 13 |
| | 00998 | CITIC BANK | 7 | 5 | 0 | 2 | 2 | 3 | 5 | 4 | 3 | 4 | 3 | 2 | 40 |
| | 06030 | CITIC SEC | 11 | 5 | 4 | 5 | 3 | 0 | 4 | 5 | 6 | 11 | 8 | 14 | 76 |
| | 01113 | CK ASSET | 8 | 7 | 4 | 0 | 1 | 8 | 14 | 6 | 2 | 5 | 4 | 4 | 63 |

Number of expired listed derivative warrants by underlying assets, 2019 (continued)

| Underlying Type | | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|--|-------|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| | | 00001 | CKH HOLDINGS | 6 | 5 | 4 | 10 | 5 | 7 | 11 | 4 | 2 | 5 | 5 | 6 | 70 |
| | | 01038 | CKI HOLDINGS | 1 | 1 | 2 | 0 | 1 | 0 | 2 | 1 | 2 | 1 | 0 | 1 | 12 |
| | | 00002 | CLP HOLDINGS | 1 | 1 | 1 | 0 | 0 | 1 | 3 | 1 | 2 | 0 | 1 | 0 | 11 |
| | | 03968 | CM BANK | 4 | 6 | 5 | 5 | 8 | 3 | 11 | 5 | 4 | 11 | 10 | 4 | 76 |
| | | 03993 | CMOC | 6 | 3 | 3 | 2 | 4 | 1 | 1 | 1 | 3 | 3 | 4 | 0 | 31 |
| | | 00867 | CMS | 1 | 2 | 2 | 0 | 0 | 1 | 1 | 0 | 2 | 1 | 0 | 1 | 11 |
| | | 03323 | CNBM | 4 | 8 | 3 | 5 | 2 | 1 | 6 | 3 | 3 | 5 | 5 | 3 | 48 |
| | | 00883 | CNOOC | 11 | 4 | 12 | 12 | 3 | 6 | 3 | 3 | 22 | 18 | 7 | 16 | 117 |
| | | 00914 | CONCH CEMENT | 7 | 2 | 5 | 7 | 4 | 3 | 2 | 5 | 2 | 7 | 5 | 1 | 50 |
| | | 00586 | CONCH VENTURE | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 4 |
| | | 02866 | COSCO SHIP DEV | 0 | 2 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| | | 01919 | COSCO SHIP HOLD | 0 | 1 | 1 | 1 | 3 | 1 | 0 | 2 | 0 | 1 | 2 | 2 | 14 |
| | | 01199 | COSCO SHIP PORT | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 02007 | COUNTRY GARDEN | 18 | 2 | 5 | 16 | 4 | 6 | 10 | 1 | 1 | 14 | 4 | 8 | 89 |
| | | 02601 | CPIC | 6 | 3 | 5 | 2 | 3 | 2 | 9 | 2 | 6 | 6 | 2 | 3 | 49 |
| | | 01515 | CR MEDICAL | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 4 |
| | | 01766 | CRRC | 1 | 1 | 0 | 1 | 4 | 2 | 1 | 1 | 1 | 0 | 0 | 3 | 15 |
| | | 03898 | CRRC TIMES ELEC | 0 | 1 | 0 | 0 | 2 | 1 | 0 | 0 | 2 | 1 | 1 | 1 | 9 |
| | | 02822 | CSOP A50 ETF | 15 | 9 | 5 | 13 | 9 | 16 | 9 | 2 | 14 | 11 | 12 | 13 | 128 |
| | | 01093 | CSPC PHARMA | 9 | 8 | 13 | 9 | 2 | 8 | 9 | 9 | 7 | 8 | 10 | 11 | 103 |
| | | 00991 | DATANG POWER | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| | | 00489 | DONGFENG GROUP | 2 | 1 | 2 | 2 | 5 | 2 | 4 | 2 | 1 | 0 | 3 | 1 | 25 |
| | | 03333 | EVERGRANDE | 8 | 2 | 8 | 8 | 3 | 4 | 12 | 4 | 6 | 5 | 7 | 4 | 71 |
| | | 02038 | FIH | 0 | 0 | 2 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 5 |
| | | 00656 | FOSUN INTL | 2 | 0 | 1 | 2 | 2 | 0 | 2 | 1 | 4 | 4 | 2 | 2 | 22 |
| | | 02196 | FOSUN PHARMA | 0 | 3 | 2 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 10 |
| | | 02238 | GAC GROUP | 3 | 4 | 2 | 5 | 2 | 0 | 1 | 2 | 1 | 1 | 2 | 4 | 27 |
| | | 00027 | GALAXY ENT | 28 | 21 | 23 | 26 | 28 | 19 | 19 | 12 | 9 | 17 | 16 | 18 | 236 |
| | | 03800 | GCL-POLY ENERGY | 0 | 0 | 0 | 2 | 0 | 1 | 3 | 0 | 0 | 1 | 0 | 0 | 7 |
| | | 00175 | GEEPLY AUTO | 25 | 20 | 22 | 25 | 16 | 20 | 27 | 10 | 21 | 24 | 26 | 20 | 256 |
| | | 01548 | GENSCRIPT BIO | 9 | 8 | 3 | 1 | 2 | 3 | 4 | 2 | 1 | 4 | 1 | 1 | 39 |
| | | 01776 | GF SEC | 1 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 4 | 1 | 2 | 12 |
| | | 02208 | GOLDWIND | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 4 |
| | | 00493 | GOME RETAIL | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 01086 | GOODBABY INTL | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 02333 | GREATWALL MOTOR | 11 | 7 | 3 | 14 | 2 | 6 | 7 | 7 | 3 | 6 | 2 | 6 | 74 |
| | | 03900 | GREENTOWN CHINA | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| | | 02611 | GTJA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| | | 00270 | GUANGDONG INV | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 3 |
| | | 00525 | GUANGSHEN RAIL | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 01788 | GUOTAI JUNAN I | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 3 |
| | | 06862 | HAIDILAO | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 1 | 2 | 2 | 2 | 10 |
| | | 01169 | HAIER ELEC | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 3 | 1 | 1 | 0 | 2 | 10 |
| | | 00665 | HAITONG INT'L | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 |
| | | 06837 | HAITONG SEC | 10 | 2 | 1 | 7 | 5 | 2 | 1 | 5 | 5 | 8 | 4 | 9 | 59 |
| | | 00101 | HANG LUNG PPT | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 00011 | HANG SENG BANK | 2 | 0 | 6 | 5 | 2 | 6 | 10 | 0 | 8 | 6 | 7 | 5 | 57 |
| | | 00012 | HENDERSON LAND | 4 | 1 | 1 | 1 | 4 | 2 | 10 | 1 | 1 | 4 | 1 | 3 | 33 |
| | | 01044 | HENGAN INT'L | 0 | 1 | 3 | 1 | 0 | 4 | 3 | 0 | 1 | 3 | 2 | 1 | 19 |
| | | 00003 | HK & CHINA GAS | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| | | 00388 | HKEX | 36 | 13 | 49 | 19 | 3 | 59 | 40 | 15 | 60 | 33 | 24 | 50 | 401 |
| | | 06823 | HKT-SS | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 00958 | HN RENEWABLES | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 2 | 0 | 5 |
| | | 00005 | HSBC HOLDINGS | 36 | 17 | 32 | 44 | 22 | 28 | 17 | 22 | 14 | 13 | 18 | 20 | 283 |
| | | 02828 | HSCEI ETF | 2 | 1 | 3 | 5 | 4 | 3 | 0 | 0 | 5 | 0 | 1 | 1 | 25 |
| | | 06886 | HTSC | 1 | 0 | 0 | 2 | 4 | 2 | 0 | 0 | 2 | 5 | 4 | 3 | 23 |
| | | 01347 | HUA HONG SEMI | 3 | 1 | 3 | 3 | 2 | 0 | 3 | 1 | 4 | 2 | 1 | 2 | 25 |
| | | 00816 | HUADIAN FUXIN | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 |
| | | 00902 | HUANENG POWER | 2 | 0 | 2 | 0 | 3 | 0 | 3 | 2 | 3 | 4 | 4 | 0 | 23 |
| | | 01398 | ICBC | 23 | 10 | 6 | 12 | 20 | 5 | 10 | 14 | 14 | 12 | 10 | 10 | 146 |
| | | 00799 | IGG | 2 | 4 | 1 | 0 | 1 | 4 | 2 | 1 | 1 | 1 | 1 | 2 | 20 |
| | | 02823 | ISHARES A50 | 13 | 9 | 9 | 4 | 3 | 8 | 5 | 8 | 12 | 7 | 5 | 3 | 86 |
| | | 00358 | JIANGXI COPPER | 7 | 3 | 4 | 0 | 0 | 2 | 0 | 3 | 3 | 4 | 2 | 1 | 29 |
| | | 01638 | KAISA GROUP | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 01888 | KB LAMINATES | 1 | 2 | 1 | 0 | 0 | 0 | 1 | 1 | 4 | 0 | 0 | 1 | 11 |
| | | 00148 | KINGBOARD HLDG | 0 | 2 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 2 | 1 | 0 | 9 |
| | | 00268 | KINGDEE INT'L | 7 | 2 | 4 | 3 | 4 | 5 | 4 | 2 | 2 | 3 | 5 | 2 | 43 |
| | | 03888 | KINGSOFT | 7 | 13 | 7 | 9 | 8 | 9 | 2 | 2 | 3 | 9 | 8 | 3 | 80 |
| | | 00135 | KUNLUN ENERGY | 3 | 3 | 5 | 5 | 2 | 3 | 4 | 0 | 0 | 0 | 1 | 2 | 28 |
| | | 02314 | LEE & MAN PAPER | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 5 |
| | | 00992 | LENOVO GROUP | 6 | 4 | 12 | 12 | 8 | 11 | 5 | 8 | 16 | 5 | 1 | 10 | 98 |
| | | 00494 | LI & FUNG | 4 | 0 | 3 | 1 | 2 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 12 |
| | | 02331 | LI NING | 0 | 0 | 4 | 2 | 0 | 3 | 0 | 4 | 1 | 1 | 0 | 1 | 16 |
| | | 00823 | LINK REIT | 5 | 5 | 4 | 0 | 6 | 5 | 11 | 5 | 4 | 6 | 0 | 6 | 57 |
| | | 03380 | LOGAN PPT | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| | | 00960 | LONGFOR GROUP | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 02186 | LUYE PHARMA | 1 | 0 | 0 | 1 | 2 | 2 | 0 | 0 | 1 | 1 | 0 | 1 | 9 |
| | | 00323 | MAANSHAN IRON | 0 | 2 | 1 | 2 | 2 | 2 | 2 | 1 | 3 | 0 | 0 | 1 | 16 |
| | | 01317 | MAPLELEAF EDU | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 |
| | | 01357 | MEITU | 4 | 2 | 12 | 2 | 4 | 6 | 6 | 3 | 0 | 1 | 2 | 2 | 44 |
| | | 03690 | MEITUAN-W | 0 | 0 | 20 | 19 | 16 | 18 | 10 | 7 | 12 | 9 | 5 | 14 | 130 |
| | | 00200 | MELCO INT'L DEV | 1 | 1 | 1 | 1 | 0 | 2 | 2 | 1 | 0 | 4 | 0 | 1 | 14 |
| | | 02319 | MENGNIU DAIRY | 12 | 0 | 1 | 4 | 4 | 0 | 3 | 2 | 3 | 4 | 1 | 1 | 35 |
| | | 02282 | MGM CHINA | 3 | 3 | 2 | 0 | 1 | 4 | 4 | 0 | 3 | 2 | 1 | 0 | 23 |
| | | 01988 | MINSHENG BANK | 4 | 4 | 3 | 2 | 6 | 3 | 8 | 6 | 5 | 2 | 4 | 3 | 50 |
| | | 01208 | MMG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| | | 00066 | MTR CORPORATION | 3 | 5 | 4 | 4 | 0 | 4 | 3 | 1 | 2 | 8 | 3 | 8 | 45 |

Number of expired listed derivative warrants by underlying assets, 2019 (continued)

| Underlying Type | | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|--|--------------|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|---------------|
| | | 03918 | NAGACORP | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 3 |
| | | 01336 | NCI | 6 | 3 | 2 | 5 | 2 | 5 | 3 | 7 | 6 | 11 | 3 | 5 | 58 |
| | | 02689 | ND PAPER | 2 | 4 | 1 | 3 | 2 | 0 | 3 | 0 | 1 | 4 | 0 | 0 | 20 |
| | | 00017 | NEW WORLD DEV | 5 | 2 | 1 | 1 | 3 | 2 | 0 | 3 | 2 | 0 | 0 | 5 | 24 |
| | | 01316 | NEXTEER | 1 | 2 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 2 | 1 | 0 | 9 |
| | | 00008 | PCCW | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 00857 | PETROCHINA | 15 | 11 | 11 | 10 | 16 | 13 | 16 | 7 | 4 | 4 | 7 | 15 | 129 |
| | | 01339 | PICC GROUP | 9 | 2 | 2 | 3 | 8 | 0 | 10 | 3 | 8 | 4 | 2 | 5 | 56 |
| | | 02328 | PICC P&C | 6 | 4 | 6 | 4 | 4 | 1 | 4 | 1 | 1 | 1 | 0 | 4 | 36 |
| | | 02318 | PING AN | 72 | 32 | 50 | 68 | 52 | 28 | 54 | 36 | 38 | 63 | 34 | 34 | 561 |
| | | 00119 | POLY PROPERTY | 0 | 2 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 6 |
| | | 00006 | POWER ASSETS | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 4 |
| | | 01658 | PSBC | 4 | 1 | 2 | 2 | 2 | 1 | 2 | 2 | 2 | 1 | 1 | 0 | 20 |
| | | 02777 | R&F PROPERTIES | 2 | 0 | 2 | 0 | 0 | 2 | 2 | 1 | 1 | 0 | 2 | 1 | 13 |
| | | 01337 | RAZER | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 1 | 5 |
| | | 00178 | SA SA INT'L | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 01910 | SAMSONITE | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 4 |
| | | 01928 | SANDS CHINA LTD | 17 | 10 | 14 | 16 | 8 | 13 | 15 | 12 | 8 | 9 | 9 | 9 | 140 |
| | | 02727 | SH ELECTRIC | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 |
| | | 02607 | SH PHARMA | 0 | 0 | 0 | 2 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 4 |
| | | 00338 | SHANGHAI PECEM | 1 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 2 | 1 | 0 | 8 |
| | | 00152 | SHENZHEN INT'L | 2 | 2 | 0 | 1 | 1 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 9 |
| | | 00604 | SHENZHEN INVEST | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 02313 | SHENZHOU INTL | 0 | 1 | 2 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 7 |
| | | 00813 | SHIMAO PROPERTY | 1 | 1 | 1 | 0 | 1 | 1 | 0 | 2 | 1 | 1 | 3 | 2 | 14 |
| | | 00016 | SHK PPT | 7 | 5 | 1 | 13 | 12 | 7 | 12 | 15 | 7 | 8 | 3 | 13 | 103 |
| | | 00460 | SIHUAN PHARM | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| | | 01177 | SINO BIOPHARM | 5 | 1 | 2 | 1 | 4 | 3 | 6 | 5 | 3 | 4 | 0 | 1 | 35 |
| | | 00386 | SINOPEC CORP | 11 | 9 | 5 | 17 | 10 | 6 | 19 | 6 | 0 | 9 | 7 | 7 | 106 |
| | | 02386 | SINOPEC SEG | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 |
| | | 01099 | SINOPHARM | 2 | 0 | 0 | 0 | 3 | 0 | 1 | 0 | 1 | 0 | 1 | 1 | 9 |
| | | 00880 | SJM HOLDINGS | 4 | 0 | 3 | 3 | 3 | 1 | 4 | 2 | 2 | 4 | 2 | 10 | 38 |
| | | 00751 | SKYWORTH GROUP | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 3 |
| | | 00981 | SMIC | 10 | 3 | 4 | 5 | 1 | 1 | 1 | 0 | 2 | 1 | 1 | 7 | 36 |
| | | 02840 | SPDR GOLD TRUST | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 5 |
| | | 02888 | STANCHART | 3 | 2 | 3 | 5 | 1 | 1 | 4 | 0 | 3 | 1 | 1 | 1 | 25 |
| | | 01918 | SUNAC | 10 | 12 | 1 | 8 | 11 | 2 | 7 | 8 | 8 | 18 | 8 | 3 | 96 |
| | | 06808 | SUNART RETAIL | 2 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 5 |
| | | 02382 | SUNNY OPTICAL | 20 | 12 | 15 | 14 | 16 | 7 | 18 | 7 | 17 | 14 | 9 | 22 | 171 |
| | | 00019 | SWIRE PACIFIC A | 0 | 1 | 0 | 3 | 0 | 0 | 1 | 2 | 0 | 2 | 1 | 1 | 11 |
| | | 00669 | TECHTRONIC IND | 0 | 2 | 1 | 1 | 0 | 1 | 1 | 0 | 2 | 1 | 0 | 1 | 10 |
| | | 00700 | TENCENT | 108 | 100 | 125 | 148 | 95 | 141 | 83 | 48 | 115 | 71 | 68 | 91 | 1193 |
| | | 00819 | TIANNENG POWER | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 00322 | TINGYI | 3 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 2 | 1 | 1 | 10 |
| | | 00698 | TONGDA GROUP | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| | | 01083 | TOWNGAS CHINA | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 |
| | | 02800 | TRACKER FUND | 7 | 0 | 0 | 0 | 1 | 6 | 3 | 3 | 1 | 5 | 1 | 1 | 28 |
| | | 00696 | TRAVELSKY TECH | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 3 |
| | | 00168 | TSINGTAO BREW | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| | | 00345 | VITASOY INT'L | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 |
| | | 00151 | WANT WANT CHINA | 1 | 1 | 2 | 2 | 1 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 11 |
| | | 02338 | WEICHAI POWER | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 2 | 0 | 0 | 2 | 1 | 8 |
| | | 01066 | WEIGAO GROUP | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 00288 | WH GROUP | 4 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 3 | 7 | 9 | 6 | 32 |
| | | 00004 | WHARF HOLDINGS | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 1 | 1 | 2 | 1 | 0 | 9 |
| | | 01997 | WHARF REIC | 0 | 0 | 2 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0 | 1 | 9 |
| | | 02269 | WUXI BIO | 4 | 2 | 1 | 2 | 0 | 1 | 0 | 0 | 2 | 0 | 2 | 2 | 16 |
| | | 01128 | WYNN MACAU | 7 | 10 | 6 | 8 | 3 | 2 | 4 | 3 | 1 | 1 | 7 | 3 | 55 |
| | | 01810 | XIAOMI-W | 55 | 23 | 21 | 34 | 18 | 27 | 32 | 11 | 30 | 18 | 14 | 22 | 305 |
| | | 00868 | XINYI GLASS | 2 | 1 | 2 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 10 |
| | | 00968 | XINYI SOLAR | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 2 | 1 | 1 | 1 | 8 |
| | | 01171 | YANZHOU COAL | 13 | 0 | 1 | 1 | 5 | 0 | 3 | 4 | 5 | 5 | 3 | 2 | 42 |
| | | 01579 | YIHAI INTL | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 06869 | YOFC | 1 | 1 | 2 | 2 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 9 |
| | | 03669 | YONGDA AUTO | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 3 |
| | | 00551 | YUE YUEN IND | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 00123 | YUEXIU PROPERTY | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 |
| | | 06169 | YUHUA EDU | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 2 |
| | | 06060 | ZA ONLINE | 6 | 11 | 9 | 14 | 4 | 5 | 12 | 4 | 6 | 1 | 2 | 4 | 78 |
| | | 02098 | ZALL SMARTCOM | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | 01818 | ZHAOJIN MINING | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 1 | 6 |
| | | 01728 | ZHENGTONGAUTO | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| | | 00881 | ZHONGSHENG HLDG | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 3 |
| | | 02899 | ZIJIN MINING | 3 | 1 | 2 | 0 | 1 | 1 | 2 | 2 | 2 | 4 | 7 | 6 | 31 |
| | | 01157 | ZOOMLION | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 3 |
| | | 00763 | ZTE | 4 | 4 | 0 | 1 | 1 | 3 | 2 | 3 | 4 | 2 | 5 | 5 | 34 |
| Currency | | EUR/USD | | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 6 |
| | | USD/CNH | | 0 | 0 | 3 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 7 |
| | | USD/JPY | | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 6 |
| | | GBP/USD | | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 6 |
| | | AUD/USD | | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 4 |
| Mineral | | Gold | | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 6 |
| | | Oil | | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 4 |
| | | Total | | | | | | | | | | | | | | 11,131 |

Market value and trading for derivative warrants, 2015-2019

| Year/Month | Number * | Market value * (HK\$mil) | Turnover value (HK\$mil) | (%) of market total | |
|------------|----------|-----------------------------|-----------------------------|------------------------|-------|
| 2015 | 4,590 | 60,433.79 | 4,504,078.89 | 17.43 | |
| 2016 | 3,705 | 42,844.32 | 2,726,995.81 | 16.75 | |
| 2017 | 6,094 | 165,919.23 | 3,007,655.35 | 13.95 | |
| 2018 | 6,763 | 70,515.61 | 3,866,143.16 | 14.70 | |
| 2019 | 4,571 | 61,435.12 | 2,418,136.05 | 11.30 | |
| 2019 | Jan | 6,652 | 92,692.94 | 349,272.45 | 18.02 |
| | Feb | 6,630 | 112,102.72 | 288,928.59 | 16.11 |
| | Mar | 6,724 | 110,213.51 | 371,552.27 | 16.04 |
| | Apr | 6,416 | 110,556.69 | 236,987.31 | 12.01 |
| | May | 6,285 | 61,121.22 | 220,833.85 | 10.82 |
| | Jun | 5,981 | 72,589.26 | 156,993.43 | 10.06 |
| | Jul | 5,473 | 57,511.29 | 148,495.08 | 9.84 |
| | Aug | 5,626 | 55,893.05 | 152,596.59 | 8.04 |
| | Sep | 5,295 | 49,285.74 | 133,428.40 | 8.40 |
| | Oct | 4,922 | 49,360.29 | 127,055.94 | 7.99 |
| | Nov | 4,703 | 45,315.22 | 119,534.14 | 7.17 |
| | Dec | 4,571 | 61,435.12 | 112,458.02 | 7.43 |

* Month-end or year-end figures.

Number of newly listed inline warrants by underlying assets, 2019

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-------|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------------|
| Index | | Hang Seng Index | - | - | - | - | - | - | 52 | 81 | 78 | 98 | 66 | 98 | 473 |
| Stock | 01299 | AIA | - | - | - | - | - | - | 13 | 13 | 8 | 16 | 10 | 14 | 74 |
| | 00939 | CCB | - | - | - | - | - | - | 11 | 10 | 7 | 12 | 7 | 10 | 57 |
| | 00941 | CHINA MOBILE | - | - | - | - | - | - | 13 | 18 | 8 | 17 | 15 | 0 | 71 |
| | 00388 | HKEX | - | - | - | - | - | - | 0 | 0 | 0 | 0 | 18 | 24 | 42 |
| | 02318 | PING AN | - | - | - | - | - | - | 17 | 14 | 11 | 21 | 10 | 16 | 89 |
| | 00700 | TENCENT | - | - | - | - | - | - | 23 | 22 | 13 | 25 | 17 | 17 | 117 |
| | | Total | | | | | | | | | | | | | 923 |

Number of expired inline warrants by underlying assets, 2019

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|------|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----------|
| Index | | Hang Seng Index | - | - | - | - | - | - | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| | | Total | | | | | | | | | | | | | 1 |

Market value and trading for inline warrants, 2019

| Year/Month | Number * | Market value * (HK\$mil) | Turnover value (HK\$mil) | (%) of market total |
|-------------------|----------|-----------------------------|-----------------------------|------------------------|
| 2019 [#] | 922 | 6,216.69 | 159.76 | 0.00 |
| 2019 | | | | |
| Jul | 129 | 1,472.47 | 37.29 | 0.00 |
| Aug | 287 | 2,443.53 | 23.69 | 0.00 |
| Sep | 412 | 3,016.30 | 19.43 | 0.00 |
| Oct | 601 | 4,079.41 | 27.34 | 0.00 |
| Nov | 743 | 5,288.63 | 20.20 | 0.00 |
| Dec | 922 | 6,216.69 | 31.81 | 0.00 |

* Month-end or year-end figures.

Trading in Inline Warrants commenced on 18 July 2019

Number of newly listed callable bull/bear contracts by underlying assets, 2019

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-------|-----------------------------------|-------|-----|-------|-----|-------|-----|-------|-------|-------|-------|-------|-------|---------------|
| Index | | | | | | | | | | | | | | | |
| | | Hang Seng China Enterprises Index | 31 | 34 | 40 | 17 | 22 | 8 | 12 | 21 | 15 | 11 | 9 | 12 | 232 |
| | | Hang Seng Index | 1,117 | 928 | 1,021 | 937 | 1,093 | 976 | 1,044 | 1,664 | 1,415 | 1,049 | 1,307 | 1,156 | 13,707 |
| Stock | | | | | | | | | | | | | | | |
| | 02018 | AAC TECH | 18 | 16 | 0 | 0 | 10 | 2 | 4 | 7 | 27 | 17 | 29 | 0 | 130 |
| | 01288 | ABC | 0 | 8 | 5 | 3 | 5 | 0 | 0 | 4 | 3 | 4 | 8 | 0 | 40 |
| | 01299 | AIA | 48 | 47 | 41 | 39 | 31 | 39 | 55 | 62 | 30 | 39 | 31 | 35 | 497 |
| | 03988 | BANK OF CHINA | 8 | 7 | 12 | 8 | 5 | 4 | 2 | 12 | 5 | 6 | 2 | 3 | 74 |
| | 03188 | CAM CSI300 | 0 | 0 | 0 | 0 | 7 | 5 | 0 | 4 | 3 | 1 | 2 | 1 | 23 |
| | 00939 | CCB | 23 | 20 | 28 | 28 | 26 | 12 | 10 | 20 | 14 | 14 | 8 | 14 | 217 |
| | 02628 | CHINA LIFE | 32 | 24 | 54 | 19 | 20 | 11 | 12 | 15 | 8 | 16 | 14 | 14 | 239 |
| | 00941 | CHINA MOBILE | 43 | 10 | 33 | 28 | 20 | 17 | 7 | 23 | 6 | 6 | 8 | 15 | 216 |
| | 00788 | CHINA TOWER | 0 | 0 | 0 | 0 | 51 | 12 | 10 | 9 | 1 | 2 | 6 | 7 | 98 |
| | 06030 | CITIC SEC | 0 | 0 | 0 | 0 | 6 | 2 | 4 | 3 | 0 | 0 | 0 | 0 | 15 |
| | 00001 | CKH HOLDINGS | 0 | 23 | 12 | 6 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 52 |
| | 03968 | CM BANK | 14 | 21 | 24 | 22 | 4 | 7 | 4 | 7 | 6 | 5 | 6 | 6 | 126 |
| | 00883 | CNOOC | 15 | 11 | 6 | 8 | 2 | 14 | 3 | 6 | 17 | 3 | 6 | 5 | 96 |
| | 00914 | CONCH CEMENT | 6 | 12 | 5 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 |
| | 02007 | COUNTRY GARDEN | 4 | 22 | 0 | 0 | 8 | 5 | 5 | 5 | 0 | 0 | 0 | 0 | 49 |
| | 02601 | CPIC | 6 | 10 | 0 | 0 | 0 | 0 | 0 | 6 | 2 | 3 | 1 | 2 | 30 |
| | 02822 | CSOP A50 ETF | 0 | 40 | 73 | 62 | 38 | 30 | 24 | 21 | 19 | 23 | 20 | 15 | 365 |
| | 01093 | CSPC PHARMA | 17 | 8 | 8 | 12 | 4 | 8 | 8 | 7 | 0 | 0 | 22 | 16 | 110 |
| | 03333 | EVERGRANDE | 2 | 3 | 8 | 2 | 3 | 2 | 0 | 3 | 0 | 0 | 0 | 0 | 23 |
| | 00027 | GALAXY ENT | 35 | 58 | 38 | 54 | 41 | 20 | 24 | 37 | 23 | 15 | 17 | 26 | 388 |
| | 00175 | GEELY AUTO | 72 | 28 | 28 | 50 | 34 | 11 | 15 | 12 | 20 | 13 | 16 | 10 | 309 |
| | 00388 | HKEX | 87 | 100 | 150 | 86 | 121 | 101 | 46 | 114 | 86 | 55 | 46 | 47 | 1,039 |
| | 00005 | HSBC HOLDINGS | 16 | 29 | 13 | 15 | 27 | 15 | 4 | 33 | 23 | 14 | 10 | 10 | 209 |
| | 02828 | HSCEI ETF | 1 | 1 | 4 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 |
| | 01398 | ICBC | 18 | 11 | 16 | 20 | 15 | 3 | 8 | 21 | 14 | 7 | 7 | 4 | 144 |
| | 02823 | ISHARES A50 | 0 | 26 | 42 | 23 | 12 | 7 | 5 | 11 | 7 | 6 | 3 | 2 | 144 |
| | 00823 | LINK REIT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 2 | 8 |
| | 03690 | MEITUAN-W | 0 | 14 | 15 | 3 | 9 | 0 | 0 | 13 | 18 | 112 | 106 | 92 | 382 |
| | 00857 | PETROCHINA | 16 | 9 | 5 | 5 | 8 | 11 | 4 | 10 | 0 | 0 | 0 | 0 | 68 |
| | 02318 | PING AN | 135 | 75 | 158 | 113 | 99 | 76 | 58 | 67 | 69 | 45 | 45 | 52 | 992 |
| | 01928 | SANDS CHINA LTD | 11 | 16 | 7 | 14 | 8 | 0 | 0 | 11 | 7 | 7 | 19 | 0 | 100 |
| | 00016 | SHK PPT | 0 | 23 | 1 | 15 | 1 | 15 | 7 | 29 | 9 | 10 | 5 | 7 | 122 |
| | 01177 | SINO BIOPHARM | 5 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 7 | 30 |
| | 00386 | SINOPEC CORP | 18 | 11 | 7 | 4 | 4 | 11 | 2 | 8 | 7 | 1 | 5 | 2 | 80 |
| | 01918 | SUNAC | 6 | 10 | 22 | 11 | 3 | 6 | 3 | 9 | 6 | 12 | 6 | 16 | 110 |
| | 02382 | SUNNY OPTICAL | 23 | 32 | 23 | 15 | 29 | 9 | 13 | 19 | 30 | 6 | 24 | 23 | 246 |
| | 00700 | TENCENT | 400 | 270 | 372 | 327 | 489 | 317 | 260 | 352 | 285 | 206 | 191 | 305 | 3,774 |
| | 02800 | TRACKER FUND | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 4 |
| | 00288 | WH GROUP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 5 | 1 | 9 | 0 | 20 |
| | 01810 | XIAOMI-W | 0 | 0 | 0 | 0 | 39 | 15 | 15 | 10 | 18 | 11 | 14 | 59 | 181 |
| Total | | | | | | | | | | | | | | | 24,732 |

Number of expired callable bull/bear contracts by underlying assets, 2019

| Underlying Type | Code | Underlying | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|-----------------|-------|-----------------------------------|-------|-----|-----|-----|-------|-------|-----|-------|-------|-------|-------|-------|---------------|
| Index | | | | | | | | | | | | | | | |
| | | Hang Seng China Enterprises Index | 29 | 23 | 30 | 19 | 28 | 13 | 6 | 25 | 18 | 13 | 14 | 31 | 249 |
| | | Hang Seng Index | 1,144 | 656 | 862 | 774 | 1,097 | 1,002 | 974 | 1,750 | 1,340 | 1,098 | 1,347 | 1,288 | 13,332 |
| Stock | | | | | | | | | | | | | | | |
| | 02018 | AAC TECH | 9 | 14 | 2 | 3 | 12 | 0 | 5 | 19 | 9 | 12 | 18 | 15 | 118 |
| | 01288 | ABC | 2 | 1 | 5 | 4 | 4 | 6 | 2 | 7 | 1 | 5 | 3 | 3 | 43 |
| | 01299 | AIA | 42 | 35 | 45 | 26 | 46 | 49 | 53 | 62 | 31 | 42 | 41 | 29 | 501 |
| | 03988 | BANK OF CHINA | 2 | 2 | 9 | 8 | 17 | 0 | 3 | 19 | 6 | 3 | 3 | 5 | 77 |
| | 01211 | BYD COMPANY | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 03188 | CAM CSI300 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 4 | 1 | 2 | 2 | 2 | 13 |
| | 00939 | CCB | 15 | 9 | 28 | 25 | 48 | 9 | 18 | 31 | 9 | 8 | 15 | 13 | 228 |
| | 02628 | CHINA LIFE | 18 | 18 | 41 | 14 | 37 | 5 | 15 | 24 | 16 | 15 | 12 | 18 | 233 |
| | 00941 | CHINA MOBILE | 26 | 17 | 33 | 38 | 27 | 8 | 15 | 25 | 8 | 12 | 13 | 17 | 239 |
| | 00688 | CHINA OVERSEAS | 1 | 0 | 5 | 1 | 1 | 1 | 0 | 3 | 0 | 1 | 1 | 1 | 15 |
| | 00788 | CHINA TOWER | 0 | 0 | 0 | 0 | 29 | 6 | 3 | 16 | 1 | 9 | 7 | 2 | 73 |
| | 06030 | CITIC SEC | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 8 | 0 | 0 | 0 | 1 | 10 |
| | 00001 | CKH HOLDINGS | 0 | 6 | 2 | 4 | 22 | 0 | 0 | 8 | 1 | 2 | 2 | 3 | 50 |
| | 03968 | CM BANK | 0 | 9 | 17 | 14 | 12 | 8 | 4 | 13 | 5 | 8 | 13 | 16 | 119 |
| | 00883 | CNOOC | 4 | 8 | 11 | 11 | 10 | 11 | 8 | 16 | 6 | 7 | 5 | 5 | 102 |
| | 00914 | CONCH CEMENT | 2 | 4 | 5 | 6 | 3 | 2 | 2 | 4 | 2 | 2 | 3 | 1 | 36 |
| | 02007 | COUNTRY GARDEN | 3 | 3 | 6 | 7 | 6 | 3 | 10 | 16 | 0 | 2 | 3 | 4 | 63 |
| | 02601 | CPIC | 0 | 4 | 0 | 0 | 2 | 1 | 0 | 0 | 6 | 1 | 1 | 5 | 20 |
| | 02822 | CSOP A50 ETF | 4 | 14 | 42 | 36 | 71 | 22 | 14 | 37 | 17 | 35 | 35 | 15 | 342 |
| | 01093 | CSPC PHARMA | 3 | 3 | 4 | 9 | 13 | 6 | 7 | 13 | 1 | 1 | 8 | 14 | 82 |
| | 03333 | EVERGRANDE | 2 | 0 | 6 | 4 | 8 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 29 |
| | 00027 | GALAXY ENT | 35 | 56 | 41 | 49 | 62 | 26 | 31 | 47 | 18 | 20 | 29 | 26 | 440 |
| | 00175 | GEELY AUTO | 49 | 22 | 24 | 50 | 41 | 5 | 24 | 21 | 15 | 12 | 17 | 16 | 296 |
| | 00388 | HKEX | 71 | 87 | 123 | 76 | 159 | 110 | 66 | 122 | 76 | 48 | 52 | 64 | 1,054 |
| | 00005 | HSBC HOLDINGS | 21 | 28 | 15 | 19 | 32 | 20 | 19 | 40 | 17 | 15 | 14 | 15 | 255 |
| | 02828 | HSCEI ETF | 0 | 2 | 2 | 1 | 5 | 0 | 2 | 3 | 0 | 0 | 1 | 2 | 18 |
| | 01398 | ICBC | 9 | 8 | 16 | 15 | 29 | 5 | 14 | 22 | 6 | 6 | 8 | 5 | 143 |
| | 02823 | ISHARES A50 | 2 | 8 | 21 | 16 | 27 | 7 | 4 | 15 | 9 | 11 | 17 | 3 | 140 |
| | 03690 | MEITUAN-W | 0 | 3 | 14 | 0 | 2 | 2 | 0 | 2 | 9 | 46 | 67 | 71 | 216 |
| | 01336 | NCI | 3 | 2 | 2 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| | 00857 | PETROCHINA | 5 | 4 | 12 | 7 | 15 | 7 | 11 | 12 | 1 | 1 | 6 | 3 | 84 |
| | 02318 | PING AN | 78 | 82 | 110 | 77 | 133 | 58 | 92 | 98 | 51 | 72 | 64 | 70 | 985 |
| | 01928 | SANDS CHINA LTD | 4 | 8 | 7 | 10 | 20 | 7 | 4 | 10 | 10 | 9 | 12 | 7 | 108 |
| | 00016 | SHK PPT | 0 | 1 | 1 | 11 | 3 | 11 | 5 | 28 | 7 | 10 | 10 | 4 | 91 |
| | 01177 | SINO BIOPHARM | 1 | 0 | 2 | 2 | 0 | 0 | 5 | 2 | 0 | 1 | 6 | 6 | 25 |
| | 00386 | SINOPEC CORP | 3 | 1 | 12 | 9 | 8 | 6 | 6 | 11 | 5 | 2 | 10 | 5 | 78 |
| | 01918 | SUNAC | 6 | 3 | 9 | 11 | 12 | 3 | 3 | 11 | 6 | 5 | 6 | 12 | 87 |
| | 02382 | SUNNY OPTICAL | 17 | 26 | 17 | 18 | 32 | 9 | 12 | 18 | 20 | 5 | 29 | 24 | 227 |
| | 00700 | TENCENT | 380 | 267 | 406 | 329 | 519 | 289 | 276 | 422 | 252 | 228 | 242 | 301 | 3,911 |
| | 02800 | TRACKER FUND | 0 | 0 | 1 | 0 | 2 | 2 | 0 | 1 | 0 | 0 | 1 | 1 | 8 |
| | 00288 | WH GROUP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 2 | 2 | 7 |
| | 02269 | WUXI BIO | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| | 01810 | XIAOMI-W | 0 | 0 | 0 | 0 | 17 | 11 | 12 | 16 | 9 | 11 | 11 | 32 | 119 |
| Total | | | | | | | | | | | | | | | 24,281 |

Market value and trading for Callable Bull/Bear Contracts, 2015-2019

| Year/Month | Number * | Market value * (HK\$mil) | Turnover value (HK\$mil) | (%) of market total | |
|------------|----------|-----------------------------|-----------------------------|------------------------|-------|
| 2015 | 1,630 | 56,424.40 | 1,836,853.88 | 7.11 | |
| 2016 | 1,844 | 46,982.16 | 1,371,572.74 | 8.42 | |
| 2017 | 3,374 | 114,271.35 | 1,189,171.77 | 5.52 | |
| 2018 | 2,847 | 66,272.76 | 1,837,313.96 | 6.99 | |
| 2019 | 3,298 | 86,307.46 | 1,995,445.69 | 9.33 | |
| 2019 | Jan | 3,082 | 78,994.36 | 221,648.87 | 11.44 |
| | Feb | 3,616 | 96,576.12 | 176,920.71 | 9.86 |
| | Mar | 3,899 | 107,176.42 | 198,893.77 | 8.59 |
| | Apr | 4,145 | 126,541.41 | 160,843.11 | 8.15 |
| | May | 3,845 | 106,278.92 | 189,193.14 | 9.27 |
| | Jun | 3,884 | 109,546.95 | 142,968.93 | 9.16 |
| | Jul | 3,827 | 100,326.49 | 163,553.58 | 10.84 |
| | Aug | 3,476 | 98,672.37 | 170,562.49 | 8.99 |
| | Sep | 3,684 | 92,124.47 | 147,849.27 | 9.30 |
| | Oct | 3,624 | 83,699.51 | 155,153.27 | 9.76 |
| | Nov | 3,490 | 75,680.60 | 140,428.14 | 8.42 |
| | Dec | 3,298 | 86,307.46 | 127,430.41 | 8.42 |

* Month-end or year-end figures

Newly listed debt securities

| Code | Issuer/company | Name of issue | Issue price | Maturity date | Listing date |
|---------|-------------------------------------------------------------------------------------------|------------------------------------------------|-------------|---------------|--------------|
| 05512 | Shandong Commercial Group Co., Ltd. | 8.50% Bonds 2021 | 98.711 | 2021/12/31 | 2019/01/02 |
| 05499 | CIFI Holdings (Group) Co. Ltd. | 7.625% Senior Notes 2021 | 99.970 | 2021/03/02 | 2019/01/03 |
| 05341 # | Logan Property Holdings Co. Ltd. | 5.75% Senior Notes 2022 | 92.341 | 2022/01/03 | 2019/01/10 |
| 05515 | China Development Bank HK Branch | Floating Rate Notes 2021 | 100.000 | 2021/01/11 | 2019/01/14 |
| 05019 # | FWD Group Ltd. | Zero Coupon Subordinated Perpetual Cap Sec | 66.842 | - | 2019/01/14 |
| 05518 | Guotai Junan International Holdings Ltd. | 4.25% Notes 2022 | 99.822 | 2022/01/14 | 2019/01/15 |
| 05522 | Zhenro Properties Group Ltd. | 8.60% Senior Notes 2020 | 98.023 | 2020/01/13 | 2019/01/16 |
| 05524 | Dah Sing Bank, Ltd. | Tier 2 Dated Subordinated Notes 2029 | 99.559 | 2029/01/15 | 2019/01/16 |
| 05526 | China SCE Group Holdings Ltd. | 8.75% Senior Notes 2021 | 100.000 | 2021/01/15 | 2019/01/16 |
| 05529 | Coastal Emerald Ltd. | 5.95% Guaranteed Bonds 2020 | 100.000 | 2020/01/13 | 2019/01/16 |
| 05530 | Shanghai Commercial Bank Ltd. | Tier 2 Subordinated Notes 2029 | 99.821 | 2029/01/17 | 2019/01/18 |
| 04236 | The Govt of the HKSAR of the PRC | 1.97% Bonds 2029 | 98.570 | 2029/01/17 | 2019/01/18 |
| 05532 | China YuHua Education Corporation Ltd. | 3.00% Convertible Bonds 2020 | 100.000 | 2020/01/16 | 2019/01/21 |
| 05533 | Bocom Leasing Management Hong Kong Co. Ltd. | 4.00% Notes 2022 | 99.403 | 2022/01/22 | 2019/01/23 |
| 05536 | Bocom Leasing Management Hong Kong Co. Ltd. | 4.375% Notes 2024 | 99.446 | 2024/01/22 | 2019/01/23 |
| 05561 | Yuzhou Properties Co. Ltd. | 8.625% Senior Notes 2022B | 100.000 | 2022/01/23 | 2019/01/24 |
| 05124 # | Zhenro Properties Group Ltd. | 10.50% Senior Notes 2020 | 99.822 | 2020/06/28 | 2019/01/24 |
| 05545 | Greenland Global Investment Ltd. | 7.875% Notes 2020 | 99.816 | 2020/10/24 | 2019/01/25 |
| 05546 | CMB Wing Lung Bank Ltd. | 6.50% Undated Non-Cum Sub Add Tier 1 CapSec | 100.000 | - | 2019/01/25 |
| 05562 | Lenovo Group Ltd. | 3.375% Convertible Bonds 2024 | 100.000 | 2024/01/24 | 2019/01/25 |
| 05563 | China Development Bank HK Branch | 0.375% Notes 2022B | 99.727 | 2022/01/24 | 2019/01/25 |
| 05573 | China Development Bank HK Branch | Floating Rate Notes 2022C | 100.000 | 2022/01/24 | 2019/01/25 |
| 05579 | China Development Bank HK Branch | Floating Rate Notes 2024B | 100.000 | 2024/01/24 | 2019/01/25 |
| 05588 | China Development Bank HK Branch | Floating Rate Notes 2029 | 100.000 | 2029/01/24 | 2019/01/25 |
| 05704 | Nuoxi Capital Ltd. | 7.50% Guaranteed Bonds 2022 | 100.000 | 2022/01/28 | 2019/01/29 |
| 05589 | Johnson Electric Holdings Ltd. | 4.125% Notes 2024 | 99.402 | 2024/07/30 | 2019/01/31 |
| 05705 | Yankuang Group (Cayman) Ltd. | 6.00% Bonds 2022 | 100.000 | 2022/01/30 | 2019/01/31 |
| 05712 | Sino-Ocean Land Treasure IV Ltd. | 5.25% Guaranteed Notes 2022 | 99.495 | 2022/04/30 | 2019/01/31 |
| 05706 | Celestial Miles Ltd. | Guaranteed Senior Perpetual Capital Securities | 100.000 | - | 2019/02/01 |
| 05706 # | Celestial Miles Ltd. | Guaranteed Senior Perpetual Capital Securities | 100.400 | - | 2019/02/01 |
| 05717 | Jingrui Holdings Ltd. | 13.00% Senior Notes 2020 | 100.000 | 2020/07/31 | 2019/02/01 |
| 05724 | Hong Kong Mortgage Corporation Ltd., The | Floating Rate Notes 2022 | 100.000 | 2022/01/31 | 2019/02/01 |
| 05722 | CMOC Capital Ltd. | 5.48% Guaranteed Bonds 2022 | 100.000 | 2022/02/01 | 2019/02/04 |
| 05725 | Sands China Ltd. | 4.60% Senior Notes 2023B | 100.000 | 2023/08/08 | 2019/02/04 |
| 05727 | Sands China Ltd. | 5.125% Senior Notes 2025B | 100.000 | 2025/08/08 | 2019/02/04 |
| 05733 | Sands China Ltd. | 5.40% Senior Notes 2028B | 100.000 | 2028/08/08 | 2019/02/04 |
| 05719 | Yuzhou Properties Co. Ltd. | 8.50% Senior Notes 2023 | 100.000 | 2023/02/04 | 2019/02/08 |
| 05736 | Champion Sincerity Holdings Ltd. | Senior Perpetual Cap Sec A | 100.000 | - | 2019/02/11 |
| 05737 | Champion Sincerity Holdings Ltd. | Senior Perpetual Cap Sec B | 100.000 | - | 2019/02/11 |
| 05749 | Towngas (Finance) Ltd. | Perpetual Subordinated Guaranteed Cap Sec B | 100.000 | - | 2019/02/13 |
| 05751 | Chengdu Economic & Technological Development Zone State-owned Assets Investment Co., Ltd. | 7.50% Senior Bonds 2022 | 100.000 | 2022/02/12 | 2019/02/13 |
| 05758 | Hong Kong Mortgage Corporation Ltd., The | Floating Rate Notes 2024 | 100.000 | 2024/02/02 | 2019/02/13 |
| 05122 # | Greenland Global Investment Ltd. | Floating Rate Notes 2021 | 100.020 | 2021/09/26 | 2019/02/13 |
| 05529 # | Coastal Emerald Ltd. | 5.95% Guaranteed Bonds 2020 | 99.994 | 2020/01/13 | 2019/02/20 |
| 05117 # | Qingdao Jiaozhou Bay Development Co., Ltd. | 6.50% Bonds 2021 | 98.441 | 2021/06/14 | 2019/02/20 |

Newly listed debt securities (continued)

| Code | Issuer/company | Name of issue | Issue price | Maturity date | Listing date |
|---------|----------------------------------------------|-------------------------------------------|-------------|---------------|--------------|
| 04075 | Hong Kong Monetary Authority, The | 1.54% Exchange Fund Notes 2021 | 100.120 | 2021/02/22 | 2019/02/21 |
| 05762 | Zhenro Properties Group Ltd. | 9.80% Senior Notes 2021 | 97.965 | 2021/08/20 | 2019/02/21 |
| 05761 | Airport Authority | 3.45% Notes 2029 | 99.857 | 2029/02/21 | 2019/02/22 |
| 05764 | Times China Holdings Ltd. | 7.625% Senior Notes 2022 | 100.000 | 2022/02/21 | 2019/02/22 |
| 05770 | Jiangxi Railway Investment Group Corporation | 4.85% Bonds 2022 | 100.000 | 2022/02/21 | 2019/02/22 |
| 05773 | China Cinda Finance (2017) I Ltd. | 3.75% Guaranteed Senior Notes 2022 | 99.772 | 2022/02/21 | 2019/02/22 |
| 05775 | China Cinda Finance (2017) I Ltd. | 4.00% Guaranteed Senior Notes 2024 | 99.218 | 2024/02/21 | 2019/02/22 |
| 05783 | China Cinda Finance (2017) I Ltd. | 4.75% Guaranteed Senior Notes 2029 | 99.913 | 2029/02/21 | 2019/02/22 |
| 05766 | Series 2019-1 WST Trust | Senior Class A Mortgage Backed FRN 2050 | 100.000 | 2050/08/18 | 2019/02/22 |
| 05807 | SHK Ppt (Cap Market) Ltd. | 3.75% Guaranteed Notes 2029 | 99.176 | 2029/02/25 | 2019/02/26 |
| 05785 | GLP China Holdings Ltd. | 4.974% Notes 2024 | 100.000 | 2024/02/26 | 2019/02/27 |
| 05786 | China Resources Land Ltd. | 4.125% Notes 2029 | 99.006 | 2029/02/26 | 2019/02/27 |
| 05787 | China Resources Land Ltd. | 3.75% Notes 2024 | 99.641 | 2024/08/26 | 2019/02/27 |
| 05798 | Yuzhou Properties Co. Ltd. | 8.50% Senior Notes 2024 | 100.000 | 2024/02/26 | 2019/02/27 |
| 05810 | Hong Kong Mortgage Corporation Ltd., The | Floating Rate Notes 2024 B | 100.000 | 2024/02/22 | 2019/02/27 |
| 05802 | China Construction Bank Corporation | 4.25% Tier 2 Dated Cap Bonds 2029 | 99.573 | 2029/02/27 | 2019/02/28 |
| 05814 | ENN Clean Energy Int'l Invest Ltd. | 7.50% Guaranteed Senior Notes 2021 | 98.464 | 2021/02/27 | 2019/02/28 |
| 05834 | Guorui Properties Ltd. | 13.50% Senior Notes 2022 | 97.000 | 2022/02/27 | 2019/02/28 |
| 05765 | CIFI Holdings (Group) Co. Ltd. | 7.625% Senior Notes 2023 | 100.000 | 2023/02/28 | 2019/03/01 |
| 05818 | E-House (China) Enterprise Holdings Ltd. | 7.75% Senior Notes 2021 | 98.424 | 2021/02/28 | 2019/03/01 |
| 05831 | China CITIC Bank Int'l Ltd. | Tier 2 Subordinated Notes 2029 | 99.586 | 2029/02/28 | 2019/03/01 |
| 05811 | KWG Group Holdings Ltd. | 7.875% Senior Notes 2023 | 100.000 | 2023/09/01 | 2019/03/04 |
| 05813 | Henderson Land MTN Ltd. | 3.875% Guaranteed Notes 2029 | 98.468 | 2029/03/01 | 2019/03/04 |
| 05835 | Wanda Properties Global Co. Ltd. | 6.25% Guaranteed Bonds 2020 | 100.001 | 2020/02/27 | 2019/03/04 |
| 05851 | Zhaojin Mining International Finance Ltd. | 5.50% Guaranteed Notes 2022 | 100.000 | 2022/03/01 | 2019/03/04 |
| 05837 | Redsun Properties Group Ltd. | 11.50% Senior Notes 2021 | 98.065 | 2021/03/04 | 2019/03/05 |
| 05185 | Bi Hai Co., Ltd. | 6.25% Guaranteed Notes 2022 | 100.000 | 2022/03/05 | 2019/03/06 |
| 05840 | ICBCIL Finance Co. Ltd. | 3.65% Notes 2022 | 99.811 | 2022/03/05 | 2019/03/06 |
| 05841 | ICBCIL Finance Co. Ltd. | 3.75% Notes 2024 | 99.334 | 2024/03/05 | 2019/03/06 |
| 85729 # | Far East Horizon Ltd. | 4.90% CNY Notes 2021 | 100.411 | 2021/02/27 | 2019/03/07 |
| 04237 | The Govt of the HKSAR of the PRC | 2.02% Bonds 2034 | 98.880 | 2034/03/07 | 2019/03/08 |
| 05852 | Vanke Real Estate (Hong Kong) Co. Ltd. | 4.20% Notes 2024 | 99.919 | 2024/06/07 | 2019/03/08 |
| 05856 | NWD Finance (BVI) Ltd. | 6.25% Guaranteed Senior Perpetual Cap Sec | 100.000 | - | 2019/03/08 |
| 05857 | Tuspark Forward Ltd. | 7.90% Guaranteed Bonds 2021 | 100.000 | 2021/03/07 | 2019/03/08 |
| 05861 | AMTD Group Co. Ltd. | 5.00% Notes Mar 2022 | 100.000 | 2022/03/08 | 2019/03/11 |
| 05876 | AMTD Group Co. Ltd. | 5.00% Notes Sep 2022 | 100.000 | 2022/09/08 | 2019/03/11 |
| 05878 | Zhenro Properties Group Ltd. | 9.15% Senior Notes 2022 | 97.968 | 2022/03/08 | 2019/03/11 |
| 05853 | Guotai Junan Holdings Ltd. | 3.875% Guaranteed Bonds 2022 | 99.605 | 2022/03/11 | 2019/03/12 |
| 05877 | CDBL Funding 2 | 3.75% Guaranteed Notes 2022 | 99.899 | 2022/03/11 | 2019/03/12 |
| 05883 | Guotai Junan Securities Co., Ltd. | Floating Rate Bonds 2022 | 100.000 | 2022/03/12 | 2019/03/13 |
| 05888 | Greenland Global Investment Ltd. | 7.25% Notes 2022 | 100.000 | 2022/03/12 | 2019/03/13 |
| 05889 | Xinhu (BVI) 2018 Holding Co. Ltd. | 11.00% Guaranteed Senior Notes 2022 | 100.000 | 2022/03/14 | 2019/03/15 |
| 05876 # | AMTD Group Co. Ltd. | 5.00% Notes Sep 2022 | 100.000 | 2022/09/08 | 2019/03/15 |
| 05834 # | Guorui Properties Ltd. | 13.50% Senior Notes 2022 | 97.000 | 2022/02/27 | 2019/03/18 |
| 05898 | Chongqing Energy Investment Group Co., Ltd. | 5.625% Bonds 2022 | 99.579 | 2022/03/18 | 2019/03/19 |
| 05905 | Hong Kong Mortgage Corporation Ltd., The | Floating Rate Notes 2024 | 100.000 | 2024/03/14 | 2019/03/19 |

Newly listed debt securities (continued)

| Code | Issuer/company | Name of issue | Issue price | Maturity date | Listing date |
|---------|-------------------------------------------------------------------------------------------|-----------------------------------------|-------------|---------------|--------------|
| 05901 | Joy Treasure Assets Holdings Inc. | 3.875% Bonds 2024 | 99.802 | 2024/03/20 | 2019/03/21 |
| 05903 | Joy Treasure Assets Holdings Inc. | 4.50% Bonds 2029 | 99.079 | 2029/03/20 | 2019/03/21 |
| 05906 | Sunny Express Enterprises Corp. | 3.50% Guaranteed Notes 2022 | 99.955 | 2022/03/21 | 2019/03/22 |
| 05907 | Xinjiang Financial Investment Co., Ltd. | 7.50% Bonds 2022 | 100.000 | 2022/03/21 | 2019/03/22 |
| 05912 | Bank of Communications Co., Ltd. HK Branch | Floating Rate Notes 2022 | 100.000 | 2022/03/21 | 2019/03/22 |
| 05914 | Bank of Communications Co., Ltd. HK Branch | 2.85% Notes 2024 | 100.000 | 2024/03/21 | 2019/03/22 |
| 05915 | Hong Kong Mortgage Corporation Ltd., The | 2.09% Notes 2021 | 100.000 | 2021/03/21 | 2019/03/22 |
| 85741 | Bank of Communications Co., Ltd. HK Branch | 3.40% CNY Notes 2021 | 100.000 | 2021/03/21 | 2019/03/22 |
| 05811 # | KWG Group Holdings Ltd. | 7.875% Senior Notes 2023 | 103.181 | 2023/09/01 | 2019/03/25 |
| 05916 | Poly Real Estate Finance Ltd. | 3.875% Guaranteed Bonds 2024 | 99.448 | 2024/03/25 | 2019/03/26 |
| 05919 | Zhongtai Int'l Finance (BVI) Co. Ltd. | 5.40% Guaranteed Bonds 2020 | 100.000 | 2020/03/24 | 2019/03/27 |
| 05751 # | Chengdu Economic & Technological Development Zone State-owned Assets Investment Co., Ltd. | 7.50% Senior Bonds 2022 | 100.242 | 2022/02/12 | 2019/03/27 |
| 05922 | Huayuan Property Co., Ltd. | 8.50% Senior Notes 2021 | 100.000 | 2021/09/27 | 2019/03/28 |
| 05925 | CIFI Holdings (Group) Co. Ltd. | 6.55% Senior Notes 2024 | 100.000 | 2024/03/28 | 2019/03/29 |
| 05926 | China Education Group Holdings Ltd. | 2.00% Conv. Bonds 2024 | 100.000 | 2024/03/28 | 2019/03/29 |
| 05152 # | Granda Century Ltd. | 7.50% Guaranteed Bonds 2021 | 97.978 | 2021/09/11 | 2019/04/02 |
| 05937 | China Bright (Hong Kong) Ltd. | 6.50% Guaranteed Bonds 2022 | 100.000 | 2022/04/02 | 2019/04/03 |
| 05928 | Zhenro Properties Group Ltd. | 8.65% Senior Notes 2023 | 99.917 | 2023/01/21 | 2019/04/04 |
| 05931 | Vertex Capital Investment Ltd. | 4.75% Guaranteed Bonds 2024 | 100.000 | 2024/04/03 | 2019/04/04 |
| 05932 | Mei Nian Investment Ltd. | 7.75% Guaranteed Senior Notes 2021 | 98.647 | 2021/04/03 | 2019/04/04 |
| 05936 | Link 2019 CB Ltd. | 1.60% Guaranteed Green Conv. Bonds 2024 | 100.000 | 2024/04/03 | 2019/04/04 |
| 05938 | Hong Kong Mortgage Corporation Ltd. | 1.76% Notes 2020, The | 100.000 | 2020/10/04 | 2019/04/08 |
| 05940 | Power Best Global Investments Ltd. | 13.00% Senior Notes 2021 | 100.000 | 2021/04/04 | 2019/04/08 |
| 05943 | Jingrui Holdings Ltd. | 10.875% Senior Notes 2021 | 99.308 | 2021/10/04 | 2019/04/08 |
| 05947 | Caiyun International Investment Ltd. | 5.50% Guaranteed Bonds 2022 | 99.727 | 2022/04/08 | 2019/04/09 |
| 05944 | AIA Group Ltd. | 3.60% Notes 2029 | 99.493 | 2029/04/09 | 2019/04/10 |
| 05948 | China SCE Group Holdings Ltd. | 7.375% Senior Notes 2024 | 100.000 | 2024/04/09 | 2019/04/10 |
| 05953 | Jisco SR Pearl Ltd. | 7.00% Guaranteed Bonds 2022 | 99.205 | 2022/04/10 | 2019/04/11 |
| 05952 | Xinjiang Transportation Construction Investment Holding Co. Ltd. | 7.80% Bonds 2022 | 100.000 | 2022/04/11 | 2019/04/12 |
| 05957 | Tencent Holdings Ltd. | 3.28% Senior Notes 2024 | 99.991 | 2024/04/11 | 2019/04/12 |
| 05960 | Tencent Holdings Ltd. | Floating Rate Notes 2024B | 100.000 | 2024/04/11 | 2019/04/12 |
| 05961 | Tencent Holdings Ltd. | 3.575% Senior Notes 2026 | 99.994 | 2026/04/11 | 2019/04/12 |
| 05962 | Tencent Holdings Ltd. | 3.975% Senior Notes 2029 | 99.967 | 2029/04/11 | 2019/04/12 |
| 05963 | Tencent Holdings Ltd. | 4.525% Senior Notes 2049 | 99.967 | 2049/04/11 | 2019/04/12 |
| 05964 | Redsun Properties Group Ltd. | 9.95% Senior Notes 2022 | 97.071 | 2022/04/11 | 2019/04/12 |
| 04234 # | The Govt of the HKSAR of the PRC | 1.16% Bonds 2022 | 98.030 | 2022/05/18 | 2019/04/12 |
| 05991 | Hong Kong Mortgage Corporation Ltd., The | 1.89% Notes 2021 | 100.000 | 2021/04/16 | 2019/04/17 |
| 05996 | Nuoxi Capital Ltd. | 7.45% Guaranteed Bonds 2022 | 100.000 | 2022/04/16 | 2019/04/17 |
| 05983 | Southwest Securities Int'l Securities Ltd. | 6.90% Bonds 2021 | 100.000 | 2021/04/17 | 2019/04/18 |
| 05965 | Bank of China Ltd., Luxembourg Branch | Floating Rate Notes 2022 C | 100.000 | 2022/04/17 | 2019/04/18 |
| 05967 | Bank of China Ltd., Frankfurt Branch | 0.25% Notes 2022 D | 99.803 | 2022/04/17 | 2019/04/18 |
| 05968 | Bank of China Ltd., acting through its Sydney branch | Floating Rate Notes 2022 B | 100.000 | 2022/10/17 | 2019/04/18 |
| 05969 | Bank of China Ltd., Hong Kong Branch | 2.45% Notes 2021D | 100.000 | 2021/04/17 | 2019/04/18 |
| 05974 | Bank of China Ltd., Hong Kong Branch | 3.125% Notes 2024 | 99.844 | 2024/04/17 | 2019/04/18 |
| 05982 | Bank of China Ltd., Hong Kong Branch | 3.625% Notes 2029 | 99.576 | 2029/04/17 | 2019/04/18 |

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|---------|-------------------------------------------------------------|--------------------------------------|-------------|---------------|--------------|
| 05990 | Xihui Haiwai I Investment Holdings Co., Ltd. | 4.50% Guaranteed Bonds 2022 | 99.723 | 2022/04/17 | 2019/04/18 |
| 06000 | IFC Development (Corporate Treasury) Ltd. | 3.625% Guaranteed Notes 2029 | 98.933 | 2029/04/17 | 2019/04/18 |
| 85742 | Bank of China Ltd., Macau Branch | 3.10% CNY Notes 2020 C | 100.000 | 2020/04/17 | 2019/04/18 |
| 85743 | Bank of China Ltd., Macau Branch | 3.30% CNY Notes 2022 E | 100.000 | 2022/04/17 | 2019/04/18 |
| 06007 | Hong Kong Mortgage Corporation Ltd., The | 1.82% Notes 2020 | 100.000 | 2020/04/18 | 2019/04/23 |
| 05998 | Yuyao Econ Dev Zone Construction Invest & Dev | 6.00% Guaranteed Bonds 2022 | 100.000 | 2022/04/23 | 2019/04/24 |
| 05946 | Huaibei City Construction Investment Holding Group Co. Ltd. | 5.00% Credit Enhanced Bonds 2022 | 100.000 | 2022/04/23 | 2019/04/24 |
| 06009 | Huai'an Water Conservancy Holding Group Co., Ltd. | 6.20% Notes 2022 | 100.000 | 2022/04/24 | 2019/04/25 |
| 05493 # | Chengdu Communications Investment Group Corporation Ltd. | 5.125% Bonds 2021 | 100.954 | 2021/12/20 | 2019/04/25 |
| 06003 | Intellect Aim Management Ltd. | 1.875% Guar Exchangeable B2020 | 100.000 | 2020/04/27 | 2019/05/02 |
| 06014 | CICC Hong Kong Finance 2016 MTN Ltd. | Floating Rate Notes 2022 | 100.000 | 2022/05/03 | 2019/05/06 |
| 06016 | CICC Hong Kong Finance 2016 MTN Ltd. | 3.375% Notes 2022 B | 99.822 | 2022/05/03 | 2019/05/06 |
| 05495 # | Yichang High-Tech Investment Development Co., Ltd. | 7.50% Notes 2021 | 100.704 | 2021/12/20 | 2019/05/07 |
| 06011 | Chengdu Tianfu New Area Invest Group Co., Ltd. | 4.65% Bonds 2024 | 100.000 | 2024/05/07 | 2019/05/08 |
| 06017 | CAR Inc. | 8.875% Senior Notes 2022 | 100.000 | 2022/05/10 | 2019/05/14 |
| 04497 # | AVIC Int'l Finance & Investment Ltd. | 4.375% Guaranteed Bonds 2021 | 99.783 | 2021/05/23 | 2019/05/14 |
| 05152 # | Granda Century Ltd. | 7.50% Guaranteed Bonds 2021 | 98.066 | 2021/09/11 | 2019/05/14 |
| 04302 | Hong Kong Mortgage Corporation Ltd., The | 1.96% Notes 2020 | 100.000 | 2020/05/15 | 2019/05/16 |
| 04303 | CCBL (Cayman) 1 Corporation Ltd. | 3.50% Guaranteed Notes 2024 | 99.773 | 2024/05/16 | 2019/05/17 |
| 04319 | CCBL (Cayman) 1 Corporation Ltd. | 3.875% Guaranteed Notes 2029 | 99.011 | 2029/05/16 | 2019/05/17 |
| 06026 | MGM China Holdings Ltd. | 5.375% Senior Notes 2024 | 100.000 | 2024/05/15 | 2019/05/17 |
| 06028 | MGM China Holdings Ltd. | 5.875% Senior Notes 2026 | 100.000 | 2026/05/15 | 2019/05/17 |
| 04221 # | The Govt of the HKSAR of the PRC | 2.22% Bonds 2024 | 101.950 | 2024/08/07 | 2019/05/17 |
| 05818 # | E-House (China) Enterprise Holdings Ltd. | 7.75% Senior Notes 2021 | 98.763 | 44255.000 | 43602.000 |
| 04325 | Hong Kong Mortgage Corporation Ltd., The | 1.98% Notes 2021 | 100.000 | 2021/05/17 | 2019/05/21 |
| 04526 | NWD (MTN) Ltd. | 3.80% Notes 2029 | 100.000 | 2029/05/21 | 2019/05/21 |
| 04527 | Rongshi International Finance Ltd. | 3.25% Notes 2024 | 99.812 | 2024/05/21 | 2019/05/22 |
| 04528 | Rongshi International Finance Ltd. | 3.75% Notes 2029 | 99.488 | 2029/05/21 | 2019/05/22 |
| 04076 | Hong Kong Monetary Authority, The | 1.70% Exchange Fund Notes 2021 | 99.870 | 2021/05/24 | 2019/05/23 |
| 04329 | Liuzhou Dongtong Investment & Development Co., Ltd. | 7.00% Bonds 2022 | 100.000 | 2022/05/22 | 2019/05/23 |
| 04530 | Pioneer Reward Ltd. | 3.375% Guaranteed Bonds 2022 | 99.839 | 2022/05/23 | 2019/05/24 |
| 04532 | Oceanwide Holdings International Development III Co., Ltd. | 14.50% Guar Senior Notes 2021 | 100.000 | 2021/05/23 | 2019/05/24 |
| 04531 | Zhuzhou City Construction Dev Group Co., Ltd. | 5.70% Bonds 2022 | 100.000 | 2022/05/24 | 2019/05/27 |
| 04238 | The Govt of the HKSAR of the PRC | 2.50% Green Bond 2024 | 99.743 | 2024/05/28 | 2019/05/29 |
| 04533 | China Huadian Overseas Development Management Co. Ltd. | Senior Guar Perp Sec | 100.000 | - | 2019/05/30 |
| 04535 | Pingxiang Urban Construction Investment Developing Co. | 4.95% Bonds 2022 | 100.000 | 2022/04/29 | 2019/05/30 |
| 04539 | SDG Finance I Ltd. | 5.30% Perpetual Capital Securities | 100.000 | - | 2019/05/30 |
| 04541 | Lee & Man Paper Manufacturing Ltd. | 5.50% Senior Perp Capital Securities | 100.000 | - | 2019/05/30 |
| 04543 | Huarong Finance 2019 Co., Ltd. | 3.375% Guaranteed Notes 2022 | 99.771 | 2022/05/29 | 2019/05/30 |
| 04549 | Huarong Finance 2019 Co., Ltd. | 3.75% Guaranteed Notes 2024 | 99.883 | 2024/05/29 | 2019/05/30 |
| 04551 | Huarong Finance 2019 Co., Ltd. | 4.50% Guaranteed Notes 2029 | 99.737 | 2029/05/29 | 2019/05/30 |
| 85744 | Agricultural Development Bank of China | 3.08% Fixed Rate CNY B2020 | 100.000 | 2020/05/29 | 2019/05/30 |
| 85901 | Agricultural Development Bank of China | 3.23% CNY Bonds 2022 | 100.000 | 2022/05/29 | 2019/05/30 |
| 04542 | Chouzhou International Investment Ltd. | 4.50% Guar Bonds 2022 | 99.723 | 2022/05/30 | 2019/05/31 |

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| Code | Issuer/company | Name of issue | Issue price | Maturity date | Listing date |
|---------|------------------------------------------------------------------------------|----------------------------------------------|-------------|---------------|--------------|
| 04559 | Horse Gallop Finance Ltd. | 3.25% Guaranteed Notes 2022 | 99.861 | 2022/05/30 | 2019/05/31 |
| 04536 | Soar Wise Ltd. | 3.50% Guaranteed Notes 2022 | 99.989 | 2022/05/31 | 2019/06/03 |
| 04553 | China Development Bank | Floating Rate Notes 2021 | 100.000 | 2021/05/31 | 2019/06/03 |
| 04562 | Chongqing Nan'an Urban Construction & Dev (Group) Co., Ltd. | 4.66% Bonds 2024 | 99.384 | 2024/06/04 | 2019/06/05 |
| 05489 # | Shaoxing City Investment Group Ltd. | 5.875% Bonds 2021 | 99.795 | 2021/12/18 | 2019/06/05 |
| 04560 | Henderson Land MTN Ltd. | Fixed Rate Notes 2021 | 100.000 | 2021/06/05 | 2019/06/06 |
| 04565 | Xi Yang Overseas Ltd. | 4.30% Guaranteed Bonds 2024 | 99.423 | 2024/06/05 | 2019/06/06 |
| 05457 # | Yunnan Energy Investment Overseas Finance Co. Ltd. | 6.25% Guaranteed Bonds 2021 | 101.834 | 2021/11/29 | 2019/06/06 |
| 04566 | Perfect Point Ventures Ltd. | 6.35% Guaranteed Notes 2022 | 100.000 | 2022/06/06 | 2019/06/10 |
| 04567 | Xinjiang Zhongtai (Group) Co., Ltd. | 7.00% Bonds 2022 | 99.337 | 2022/06/06 | 2019/06/10 |
| 04573 | Hong Kong Mortgage Corporation Ltd., The | 2.00% Notes 2020 | 100.000 | 2020/06/11 | 2019/06/12 |
| 04569 | Xinhu (BVI) 2018 Holding Co. Ltd. | 11.00% Guaranteed Senior N2022 | 100.000 | 2022/06/12 | 2019/06/13 |
| 04572 | UHI Capital Ltd. | 3.00% Guaranteed Bonds 2024 | 99.169 | 2024/06/12 | 2019/06/13 |
| 04578 | Gemdale Ever Prosperity Investment Ltd. | 5.60% Guaranteed Bonds 2022 | 100.000 | 2022/06/14 | 2019/06/17 |
| 04595 | Hong Kong Mortgage Corporation Ltd., The | 1.88% Notes 2020 | 100.000 | 2020/06/15 | 2019/06/17 |
| 04585 | Haimen Zhongnan Investment Development (Int'l) Co., Ltd. | 10.875% Guar Senior Notes 2022 | 99.853 | 2022/06/18 | 2019/06/19 |
| 04587 | Shanghai Port Group (BVI) Development Co., Ltd. | 2.875% Guar Bonds 2024 | 99.737 | 2024/06/18 | 2019/06/19 |
| 04591 | Shanghai Port Group (BVI) Development Co., Ltd. | 3.375% Guar Bonds 2029 | 99.295 | 2029/06/18 | 2019/06/19 |
| 04599 | Tuspark Forward Ltd. | 6.95% Guaranteed Bonds 2022 | 98.940 | 2022/06/18 | 2019/06/19 |
| 05456 # | Guangsha Holding Group Co., Ltd. | 8.50% Guaranteed Senior Notes 2020 | 100.000 | 2020/11/26 | 2019/06/19 |
| 04596 | Zhenro Properties Group Ltd. | Senior Perpetual Capital Sec | 100.000 | - | 2019/06/20 |
| 05079 | China Merchants Bank Co., Ltd. London Branch | Floating Rate Notes 2022 | 100.000 | 2022/06/19 | 2019/06/20 |
| 05292 | Jinke Property Group Co., Ltd. | 8.375% Notes 2021 | 98.879 | 2021/06/20 | 2019/06/21 |
| 05007 | DianJian Haiyu Ltd. | 3.00% Guaranteed Notes 2022 | 99.778 | 2022/06/20 | 2019/06/21 |
| 05016 | DianJian Haiyu Ltd. | 4.30% Senior Guaranteed Perpetual Securities | 100.000 | - | 2019/06/21 |
| 05064 | VCREDIT Holdings Ltd. | 11.00% Senior Notes 2021 | 98.054 | 2021/06/20 | 2019/06/21 |
| 05289 | Franshion Brilliant Ltd. | 4.00% Guaranteed Senior Notes 2024 | 101.564 | 2024/06/21 | 2019/06/24 |
| 05297 | Mudanjiang City Investment Group Co., Ltd. | 8.00% Notes 2022 | 100.000 | 2022/06/21 | 2019/06/24 |
| 05298 | China Everbright Bank Co., Ltd., HK Branch | Floating Rate Notes 2022 | 100.000 | 2022/06/24 | 2019/06/25 |
| 05365 | Nuoxi Capital Ltd. | 7.875% Guaranteed Bonds 2021 | 100.000 | 2021/06/24 | 2019/06/25 |
| 86655 | Ministry of Finance of the PRC | 2.95% CNY Bonds 2021 | 100.000 | 2021/06/24 | 2019/06/25 |
| 86656 | Ministry of Finance of the PRC | 3.03% CNY Bonds 2024 | 100.000 | 2024/06/24 | 2019/06/25 |
| 05309 | Greenland Global Investment Ltd. | 6.75% Notes 2022 | 99.866 | 2022/06/25 | 2019/06/26 |
| 05572 | Xiangyu Investment (BVI) Co., Ltd. | 6.90% Guaranteed Bonds 2022 | 99.468 | 2022/06/25 | 2019/06/26 |
| 05534 | Kunming Dianchi Investment Co., Ltd. | 6.60% Notes 2022 | 99.732 | 2022/06/26 | 2019/06/27 |
| 05578 | China Logistics Property Holdings Co., Ltd. | 6.95% Conv Bonds 2024 | 100.000 | 2024/06/26 | 2019/06/27 |
| 05584 | Zhangzhou Transportation Development Group Co., Ltd. | 6.50% Bonds 2022 | 100.000 | 2022/06/26 | 2019/06/27 |
| 05940 # | Power Best Global Investments Ltd. | 13.00% Senior Notes 2021 | 101.508 | 2021/04/04 | 2019/06/27 |
| 05513 | Yangzhou Economic and Technological Development Zone Development Corporation | 5.50% Bonds 2022 | 100.000 | 2022/06/27 | 2019/06/28 |
| 05569 | Kunming Traffic Investment Co., Ltd. | 6.20% Notes 2022 | 100.000 | 2022/06/27 | 2019/06/28 |
| 05570 | Xinjiang Transportation Construction Invest Holding Co. Ltd. | 7.50% Bonds 2022 | 100.000 | 2022/06/27 | 2019/06/28 |
| 05575 | Sichuan Railway Investment Group Co., Ltd. | 3.80% Bonds 2022 | 100.000 | 2022/06/27 | 2019/06/28 |
| 05581 | CRCC Chengan Ltd. | Guaranteed Perpetual Securities | 100.000 | - | 2019/06/28 |
| 05585 | Liang Shan International Development Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/06/27 | 2019/06/28 |

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|---------|-----------------------------------------------------------------|--------------------------------------------------------------------|-------------|---------------|--------------|
| 05594 | CELESTIAL DYNASTY LTD. | 4.25% Guaranteed Senior Notes 2029 | 99.718 | 2029/06/27 | 2019/06/28 |
| 05595 | Chongqing Casin Group Co., Ltd. | 4.00% Credit Enhanced Bonds 2022 | 100.000 | 2022/04/28 | 2019/07/02 |
| 05596 | Zhongyuan Sincere Investment Co. Ltd. | 4.25% Guaranteed Bonds 2024 | 99.777 | 2024/06/28 | 2019/07/02 |
| 05935 | Shaanxi Xixian New Area Qinhan New City Dev & Cons Gp Co Ltd | 7.50% Bonds 2022 | 100.000 | 2022/06/28 | 2019/07/02 |
| 05951 | Qingdao Jiaozhou Bay Development Group Co., Ltd. | 5.80% Bonds 2022 | 100.000 | 2022/06/28 | 2019/07/02 |
| 05977 | CGNPC International Ltd. | 2.75% Guaranteed Notes 2024 | 99.689 | 2024/07/02 | 2019/07/03 |
| 05985 | Xin Fu (BVI) Co., Ltd. | 6.90% Guaranteed Bond 2022 | 99.468 | 2022/06/28 | 2019/07/02 |
| 05978 | Yuzhou Properties Co. Ltd. | 5.50% Senior Notes 2020 | 100.000 | 2020/06/30 | 2019/07/03 |
| 05976 | Huafa 2019 I Co. Ltd. | 4.25% Guaranteed Bonds 2024 | 100.000 | 2024/07/03 | 2019/07/04 |
| 05987 | Redsun Properties Group Ltd. | 10.50% Senior Notes 2022 | 96.274 | 2022/10/03 | 2019/07/04 |
| 05970 | CMB International Leasing Management Ltd. | 3.00% Notes 2024 | 99.444 | 2024/07/03 | 2019/07/04 |
| 05971 | CMB International Leasing Management Ltd. | 3.625% Notes 2029 | 99.461 | 2029/07/03 | 2019/07/04 |
| 05986 | Standard Chartered PLC | Fixed Rate Resetting Perpetual Subordinated Contingent Conv Sec | 100.000 | - | 2019/07/04 |
| 05008 # | KWG Property Holding Ltd. | 5.875% Senior Notes 2024 | 91.490 | 2024/11/10 | 2019/07/04 |
| 05706 # | Celestial Miles Ltd. | Guaranteed Senior Perpetual Capital Securities | 104.000 | - | 2019/07/04 |
| 05705 # | Yankuang Group (Cayman) Ltd. | 6.00% Bonds 2022 | 101.230 | 2022/01/30 | 2019/07/05 |
| 05997 | New Momentum International Ltd. | 9.50% Guaranteed Bonds 2021 | 100.000 | 2021/07/05 | 2019/07/08 |
| 05999 | Gansu Provincial Highway Aviation Tourism Invest Gp Co.,Ltd. | 3.875% Bonds 2022 | 99.790 | 2022/07/05 | 2019/07/08 |
| 04403 | FWD Group Ltd. | 5.75% Subordinated Notes 2024 | 100.000 | 2024/07/09 | 2019/07/10 |
| 05993 | Luye Pharma Group Ltd. | 1.50% Convertible Bonds 2024 | 100.000 | 2024/07/09 | 2019/07/10 |
| 06002 | Guohui International (BVI) Co., Ltd. | 4.37% Guaranteed Bonds 2022 | 100.000 | 2022/07/09 | 2019/07/10 |
| 06005 | Taiyuan State-owned Investment Group Co. Ltd. | 6.20% Bonds 2022 | 100.000 | 2022/07/09 | 2019/07/10 |
| 06001 | Tongfang Aqua Ltd. | 6.80% Guaranteed Bonds 2022 | 99.548 | 2022/01/10 | 2019/07/11 |
| 05830 # | Yuzhou Properties Co. Ltd. | 6.00% Senior Notes 2023 | 93.872 | 2023/10/25 | 2019/07/11 |
| 06024 | DaFa Properties Group Ltd. | 12.875% Senior Notes 2021 | 98.095 | 2021/07/11 | 2019/07/12 |
| 04418 | Jiayuan International Group Ltd. | 13.75% Senior Notes 2022 | 100.000 | 2022/03/11 | 2019/07/12 |
| 06004 | Pingdingshan Tianan Coal Mining Co., Ltd. | 6.25% Bonds 2020 | 100.000 | 2020/07/09 | 2019/07/12 |
| 04419 | Chong Hing Bank Ltd. | 5.70% Undated Non-Cumulative Subordinated Additional Tier 1 CapSec | 100.000 | - | 2019/07/16 |
| 04437 | China Overseas Finance (Cayman) VIII Ltd. | 2.90% Guaranteed Notes 2025 | 100.000 | 2025/01/15 | 2019/07/16 |
| 04438 | China Overseas Finance (Cayman) VIII Ltd. | 3.45% Guaranteed Notes 2029 | 99.849 | 2029/07/15 | 2019/07/16 |
| 04471 | LS Finance (2017) Ltd. | 4.875% Guaranteed Bonds 2024 | 100.000 | 2024/07/15 | 2019/07/16 |
| 04472 | Eastern Creation II Invest Hldgs Ltd. | 2.80% Guaranteed Notes 2022 | 99.920 | 2022/07/15 | 2019/07/16 |
| 04329 # | Liuzhou Dongtong Investment & Development Co., Ltd. | 7.00% Bonds 2022 | 100.000 | 2022/05/22 | 2019/07/16 |
| 05925 # | CIFI Holdings (Group) Co. Ltd. | 6.55% Senior Notes 2024 | 98.896 | 2024/03/28 | 2019/07/16 |
| 04487 | Bluestar Finance Holdings Ltd. | 3.375% Senior Guar Notes 2024 | 99.717 | 2024/07/16 | 2019/07/17 |
| 04499 | Times China Holdings Ltd. | 6.75% Senior Notes 2023 | 100.000 | 2023/07/16 | 2019/07/17 |
| 05409 | Dawn Victor Ltd. | 8.45% Guaranteed Bonds 2020 | 100.000 | 2020/07/14 | 2019/07/18 |
| 05412 | Greenland Hong Kong Holdings Ltd. | 6.00% Bonds 2021 | 100.000 | 2021/07/17 | 2019/07/18 |
| 05201 | Chengdu Airport Xingcheng Invest Group Co., Ltd. | 6.50% Bonds 2022 | 100.000 | 2022/07/18 | 2019/07/19 |
| 05366 | China Mengniu Dairy Co. Ltd. | 3.00% Bonds 2024 | 99.572 | 2024/07/18 | 2019/07/19 |
| 05088 | Chongqing Hechuan City Construction Investment (Group) Co. Ltd. | 6.30% Bonds 2022 | 100.000 | 2022/07/18 | 2019/07/19 |
| 05381 | GET International Investment Holdings Ltd. | 3.75% Guaranteed Bonds 2022 | 100.000 | 2022/07/18 | 2019/07/19 |
| 05418 | NWD (MTN) Ltd. | 4.125% Guaranteed Notes 2029 | 98.718 | 2029/07/18 | 2019/07/19 |

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|---------|--------------------------------------------------------------|-------------------------------------------|-------------|---------------|--------------|
| 05372 | China SCE Group Holdings Ltd. | 7.25% Senior Notes 2023 | 99.954 | 2023/04/19 | 2019/07/22 |
| 04484 | Haitong International Securities Group Ltd. | 3.375% Bonds 2024 | 99.808 | 2024/07/19 | 2019/07/22 |
| 04472 # | Eastern Creation II Invest Hldgs Ltd. | 2.80% Guaranteed Notes 2022 | 100.008 | 2022/07/15 | 2019/07/24 |
| 05447 | Franshion Brilliant Ltd. | 4.25% Guaranteed Senior Notes 2029 | 99.340 | 2029/07/23 | 2019/07/24 |
| 85902 | CIFI Holdings (Group) Co. Ltd. | 6.70% Senior Notes 2022 | 100.000 | 2022/04/23 | 2019/07/24 |
| 04403 # | FWD Group Ltd. | 5.75% Subordinated Notes 2024 | 101.070 | 2024/07/09 | 2019/07/24 |
| 05856 # | NWD Finance (BVI) Ltd. | 6.25% Guaranteed Senior Perpetual Cap Sec | 101.389 | - | 2019/07/25 |
| 05599 | Wanda Group Overseas Ltd. | 7.50 Guaranteed Bonds 2022 | 99.015 | 2022/07/24 | 2019/07/25 |
| 05606 | Xingsheng (BVI) Co. Ltd. | 3.375% Guaranteed Bonds 2022 | 99.949 | 2022/07/25 | 2019/07/26 |
| 05603 | Jingrui Holdings Ltd. | 12.00% Senior Notes 2021 | 100.000 | 2022/07/25 | 2019/07/26 |
| 05704 # | Nuoxi Capital Ltd. | 7.50% Guaranteed Bonds 2022 | 99.987 | 2022/01/28 | 2019/07/26 |
| 05607 | KWG Group Holdings Ltd. | 7.40% Senior Notes 2024 | 99.819 | 2024/03/05 | 2019/07/30 |
| 05608 | Dalian Deta Holdings Co., Ltd. | 5.95% Notes 2022 | 99.865 | 2022/07/29 | 2019/07/30 |
| 05609 | Agricultural Bank of China Ltd., HK Branch | Floating Rate Notes 2022 | 100.000 | 2022/07/30 | 2019/07/31 |
| 04403 # | FWD Group Ltd. | 5.75% Subordinated Notes 2024 | 101.600 | 2024/07/09 | 2019/07/31 |
| 05615 | Bright Scholar Education Holdings Ltd. | 7.45% Senior Notes 2022 | 100.000 | 2022/07/31 | 2019/08/01 |
| 05617 | China Great Wall Int'l Hldgs III Ltd. | 3.125% Guaranteed Bonds 2024 | 99.977 | 2024/07/31 | 2019/08/01 |
| 05620 | China Great Wall Int'l Hldgs III Ltd. | Unsubordinated Guar Perpetual Sec | 100.000 | - | 2019/08/01 |
| 05622 | Honghua Group Ltd. | 6.375% Senior Notes 2022 | 100.000 | 2022/08/01 | 2019/08/02 |
| 05623 | Sino-Ocean Land Treasure IV Ltd. | 4.75% Guaranteed Notes 2029 | 98.554 | 2029/08/05 | 2019/08/06 |
| 05624 | Dexin China Holdings Co. Ltd. | 12.875% Senior Notes 2021 | 98.095 | 2021/08/06 | 2019/08/07 |
| 05625 | Meilun (BVI) Ltd. | 9.00% Senior Notes 2022 | 100.000 | 2022/04/28 | 2019/08/07 |
| 05627 | Sinopec Group Overseas Dev. (2018) Ltd. | 2.50% Senior Notes 2024 | 99.762 | 2024/08/08 | 2019/08/09 |
| 05632 | Sinopec Group Overseas Dev. (2018) Ltd. | 2.95% Senior Notes 2029 | 99.596 | 2029/08/08 | 2019/08/09 |
| 05635 | Sinopec Group Overseas Dev. (2018) Ltd. | 3.68% Senior Notes 2049 | 100.000 | 2049/08/08 | 2019/08/09 |
| 05460 # | Haichuan Int'l Investment Co., Ltd. | 7.50% Guaranteed Bonds 2021 | 100.648 | 2021/11/29 | 2019/08/15 |
| 05642 | Changde Economic Construction Investment Group Co., Ltd. | 6.60% Bonds 2022 | 100.000 | 2022/08/15 | 2019/08/16 |
| 05643 | Dongxing Voyage Co. Ltd. | 3.25% Guaranteed Bonds 2024 | 99.470 | 2024/08/15 | 2019/08/16 |
| 04569 # | Xinhu (BVI) 2018 Holding Co. Ltd. | 11.00% Guaranteed Senior N2022 | 100.000 | 2022/06/12 | 2019/08/19 |
| 05878 # | Zhenro Properties Group Ltd. | 9.15% Senior Notes 2022 | 101.341 | 2022/03/08 | 2019/08/20 |
| 05645 | DFZQ | Floating Rate Bonds 2022 A | 100.000 | 2022/08/20 | 2019/08/21 |
| 05646 | DFZQ | 0.625% Senior Bonds 2022 B | 99.654 | 2022/08/20 | 2019/08/21 |
| 04077 | Hong Kong Monetary Authority, The | 1.48% Exchange Fund Notes 2021 | 99.760 | 2021/08/23 | 2019/08/22 |
| 05657 | Hanhui International Ltd. | 4.37% Guaranteed Bonds 2022 | 100.000 | 2022/08/22 | 2019/08/23 |
| 05658 | Qingdao Jimo District Urban Development Investment Co., Ltd. | 4.90% Bonds 2022 | 100.000 | 2022/08/22 | 2019/08/23 |
| 05707 | Tibet Financial Leasing Co. Ltd. | 7.80% Bonds 2022 | 100.000 | 2022/08/23 | 2019/08/26 |
| 05700 | Fujian Zhanglong Group Co., Ltd. | 5.875% Notes 2022 | 99.257 | 2022/08/26 | 2019/08/27 |
| 04585 # | Haimen Zhongnan Investment Development (Int'l) Co., Ltd. | 10.875% Guar Senior Notes 2022 | 100.500 | 2022/06/18 | 2019/08/27 |
| 05480 # | Zhuji Development Ltd. | 7.00% Guaranteed Bonds 2021 | 104.810 | 2021/12/11 | 2019/08/29 |
| 05713 | China Industrial Securities Int'l Financial Group Ltd. | 5.00% Guaranteed Bonds 2020 | 100.000 | 2020/08/28 | 2019/09/02 |
| 05716 | Tianjin Lingang Investment Holding Co., Ltd. | 8.00% Notes 2022 | 100.000 | 2022/08/30 | 2019/09/02 |
| 05714 | Chengdu Econ & Tech Dev Zone Constr & Dev | 6.40% Bonds 2022 | 100.000 | 2022/09/03 | 2019/09/04 |
| 05720 | Hysan (MTN) Ltd. | 2.82% Guaranteed Notes 2029 | 99.577 | 2029/09/04 | 2019/09/05 |
| 04499 # | Times China Holdings Ltd. | 6.75% Senior Notes 2023 | 100.000 | 2023/07/16 | 2019/09/05 |
| 05079 # | China Merchants Bank Co., Ltd. London Branch | Floating Rate Notes 2022 | 100.120 | 2022/06/19 | 2019/09/05 |

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|---------|-----------------------------------------------------------|------------------------------------------------|-------------|---------------|--------------|
| 05723 | Suzhou City Cons Inv Gp(H) Co Ltd | 4.60% Credit Enhanced Bonds 2022 | 100.000 | 2022/09/05 | 2019/09/06 |
| 05728 | Bocom Leasing Management Hong Kong Co. Ltd. | 2.625% Notes 2024 A | 99.374 | 2024/09/05 | 2019/09/06 |
| 05729 | Bocom Leasing Management Hong Kong Co. Ltd. | Floating Rate Notes 2024 B | 100.000 | 2024/09/05 | 2019/09/06 |
| 05732 | Logan Property Holdings Co. Ltd. | 6.90% Senior Notes 2024 | 100.000 | 2024/06/09 | 2019/09/10 |
| 05730 | Zhangzhou Jiulongjiang Group Co., Ltd. | 5.60% Bonds 2022 | 100.000 | 2022/09/10 | 2019/09/11 |
| 05734 | Shanghai Port Group (BVI) Dev Co., Ltd. | 2.40% Guar Bonds 2024 | 99.794 | 2024/09/11 | 2019/09/12 |
| 05741 | Shanghai Port Group (BVI) Dev Co., Ltd. | 2.85% Guar Bonds 2029 | 99.577 | 2029/09/11 | 2019/09/12 |
| 05745 | Chinalco Capital Holdings Ltd. | Senior Guaranteed Perpetual Securities | 100.000 | - | 2019/09/12 |
| 04303 # | CCBL (Cayman) 1 Corporation Ltd. | 3.50% Guaranteed Notes 2024 | 103.595 | 2024/05/16 | 2019/09/12 |
| 05744 | Chang Development International Ltd. | 3.90% Guaranteed Bonds 2022 | 100.000 | 2022/09/12 | 2019/09/13 |
| 05750 | Industrial and Commercial Bank of China (Macau) Ltd. | 2.875% Subordinated Notes 2029 | 99.226 | 2029/09/12 | 2019/09/13 |
| 05781 | FEC Finance Ltd. | Senior Guaranteed Perpetual Capital Notes | 100.000 | - | 2019/09/13 |
| 04237 # | The Govt of the HKSAR of the PRC | 2.02% Bonds 2034 | 106.300 | 2034/03/07 | 2019/09/13 |
| 05793 | FWD Group Ltd. | Capital Securities | 100.000 | - | 2019/09/16 |
| 05747 | Wharf REIC Finance (BVI) Ltd. | 2.50% Guaranteed Notes 2024 | 99.697 | 2024/09/16 | 2019/09/17 |
| 05781 # | FEC Finance Ltd. | Senior Guaranteed Perpetual Capital Notes | 102.423 | - | 2019/09/17 |
| 06008 | Industrial and Commercial Bank of China Ltd., HK Branch | Floating Rate Notes 2024 | 100.000 | 2024/09/16 | 2019/09/17 |
| 06010 | Industrial and Commercial Bank of China Ltd., HK Branch | Floating Rate Notes 2022 B | 100.000 | 2022/09/16 | 2019/09/17 |
| 06012 | Industrial and Commercial Bank of China Ltd., HK Branch | 2.20% Notes 2021 | 100.000 | 2021/09/16 | 2019/09/17 |
| 06013 | Industrial and Commercial Bank of China Ltd., HK Branch | 2.25% Notes 2022 A | 99.810 | 2022/09/16 | 2019/09/17 |
| 85906 | Industrial and Commercial Bank of China Ltd., HK Branch | 3.10% CNY Notes 2020 | 100.000 | 2020/09/16 | 2019/09/17 |
| 05880 | Guangxi Communications Investment Group Corporation. Ltd | 3.50% Bonds 2022 | 99.437 | 2022/09/17 | 2019/09/18 |
| 05992 | Shuifa Int'l Holdings (BVI) Co., Ltd. | 4.15% Guaranteed Bonds 2022 | 100.000 | 2022/09/17 | 2019/09/18 |
| 06015 | WuXi AppTec Co., Ltd. | Zero Coupon Convertible Bonds 2024 | 100.000 | 2024/09/17 | 2019/09/18 |
| 05959 | Changde Urban Construction and Investment Group Co., Ltd. | 5.80% Bonds 2022 | 100.000 | 2022/09/18 | 2019/09/19 |
| 06018 | Zhaobing Investment (BVI) Ltd. | 5.875% Guaranteed Bonds 2022 | 99.661 | 2022/09/18 | 2019/09/19 |
| 06006 | Huai'an Traffic Holding Co., Ltd. | 6.00% Bonds 2022 | 99.460 | 2022/09/19 | 2019/09/20 |
| 06020 | Bank of East Asia, Ltd., The | Additional Tier 1 Undated Capital Securities C | 100.000 | - | 2019/09/20 |
| 06022 | Wuhan Metro Group Co., Ltd. | 2.96% Notes 2024 | 99.912 | 2024/09/24 | 2019/09/25 |
| 06027 | Joy Treasure Assets Holdings Inc. | 2.875% Bonds 2024 | 99.405 | 2024/09/24 | 2019/09/25 |
| 40000 | Joy Treasure Assets Holdings Inc. | 3.50% Bonds 2029 | 98.769 | 2029/09/24 | 2019/09/25 |
| 06021 | Pterosaur Capital Co., Ltd. | 1.00% Guaranteed Bonds 2024 | 99.927 | 2024/09/25 | 2019/09/26 |
| 40002 | Xi'an Qujiang Culture Holdings Co., Ltd. | 6.00% Bonds 2022 | 100.000 | 2022/09/25 | 2019/09/26 |
| 40003 | Shandong Iron and Steel Xinheng International Co. Ltd. | 6.85% Guaranteed Bonds 2022 | 100.000 | 2022/09/25 | 2019/09/26 |
| 40004 | China Logistics Property Holdings Co., Ltd. | 8.75% Senior Notes 2021 | 100.000 | 2021/09/25 | 2019/09/26 |
| 40001 | Hilong Holding Ltd. | 8.25% Senior Notes 2022 | 99.480 | 2022/09/26 | 2019/09/27 |
| 40005 | Zhongliang Holdings Group Co. Ltd. | 11.50% Senior Notes 2021 | 99.134 | 2021/09/26 | 2019/09/27 |
| 40006 | Greenland Global Investment Ltd. | 5.75% Notes 2022 | 99.728 | 2022/09/26 | 2019/09/27 |
| 40007 | Greenland Global Investment Ltd. | 6.75% Notes 2023 | 99.655 | 2023/09/26 | 2019/09/27 |
| 40009 | Shanghai (HK) Int'l Investments Ltd. | 4.10% Guaranteed Bonds 2022 | 100.000 | 2022/09/27 | 2019/09/30 |
| 40010 | Green Fortune Capital Ltd. | 8.00% Guaranteed Bonds 2021 | 100.000 | 2021/09/27 | 2019/09/30 |
| 86655 # | Ministry of Finance of the PRC | 2.95% CNY Bonds 2021 | 100.250 | 2021/06/24 | 2019/09/30 |
| 86656 # | Ministry of Finance of the PRC | 3.03% CNY Bonds 2024 | 100.790 | 2024/06/24 | 2019/09/30 |

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|---------|-------------------------------------------------------------|------------------------------------------------|-------------|---------------|--------------|
| 40013 | Guangxi Liuzhou Dongcheng Inv Dev Group Co. Ltd. | 7.00% Bonds 2022 | 100.000 | 2022/09/30 | 2019/10/02 |
| 40015 | CNOOC Finance (2013) Ltd. | 2.875% Guaranteed Notes 2029 | 99.440 | 2029/09/30 | 2019/10/02 |
| 40016 | CNOOC Finance (2013) Ltd. | 3.30% Guaranteed Notes 2049 | 100.000 | 2049/09/30 | 2019/10/02 |
| 40008 | Zhenro Properties Group Ltd. | 8.70% Senior Notes 2022 | 99.163 | 2022/08/03 | 2019/10/04 |
| 40012 | Zensun Enterprises Ltd. | 12.80% Senior Notes 2021 | 98.808 | 2021/10/03 | 2019/10/04 |
| 40011 | Haiguo Xintai Capital Ltd. | 4.30% Guaranteed Bonds 2022 | 100.000 | 2022/10/08 | 2019/10/09 |
| 40017 | Hongkong International (Qingdao) Co. Ltd. | 4.00% Bonds 2024 | 100.000 | 2024/10/08 | 2019/10/09 |
| 40019 | Hongkong Ideal Investment Ltd. | 14.75% Guaranteed Senior Notes 2022 | 100.000 | 2022/10/08 | 2019/10/09 |
| 40014 | Zhengzhou Real Estate Group Co. Ltd. | 3.95% Bonds 2022 | 100.000 | 2022/10/09 | 2019/10/10 |
| 40018 | Jinan Hi-tech Holding Group Co., Ltd. | 4.50% Bonds 2022 | 100.000 | 2022/10/09 | 2019/10/10 |
| 05713 # | China Industrial Securities Int'l Financial Group Ltd. | 5.00% Guaranteed Bonds 2020 | 100.080 | 2020/08/28 | 2019/10/10 |
| 40005 # | Zhongliang Holdings Group Co. Ltd. | 11.50% Senior Notes 2021 | 99.561 | 2021/09/26 | 2019/10/11 |
| 40020 | Bank of China Ltd., Macau Branch | Zero Coupon Notes 2021 | 99.970 | 2021/10/16 | 2019/10/17 |
| 85907 | Bank of China Ltd., Macau Branch | 3.15% CNY Notes 2021 | 100.000 | 2021/10/16 | 2019/10/17 |
| 40021 | Bank of China Ltd., Macau Branch | Floating Rate Notes 2022 | 100.000 | 2022/10/17 | 2019/10/18 |
| 40022 | SDSC International Development Ltd. | 5.90% Guaranteed Bonds 2022 | 99.729 | 2022/10/17 | 2019/10/18 |
| 40025 | Oriental Capital Co. Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/10/17 | 2019/10/18 |
| 40026 | Kunming Municipal Urban Construction Inv. & Dev. Co., Ltd. | 5.80% Notes 2022 | 99.458 | 2022/10/17 | 2019/10/18 |
| 04215 # | The Government of the HKSAR of the PRC | 1.10% Bonds 2023 | 98.450 | 2023/01/17 | 2019/10/21 |
| 40023 | Jiayuan International Group Ltd. | 13.75% Senior Notes 2023 | 100.000 | 2023/02/18 | 2019/10/21 |
| 40024 | E-House (China) Enterprise Holdings Ltd. | 7.625% Senior Notes 2022 | 98.339 | 2022/04/18 | 2019/10/21 |
| 05498 # | Wuhan Financial Holdings (Group) Co., Ltd. | 5.80% Notes 2021 | 103.658 | 2021/12/27 | 2019/10/21 |
| 40027 | China Construction Bank Corporation, HK Branch | Floating Rate Notes 2022 | 100.000 | 2022/10/22 | 2019/10/23 |
| 40028 | Soar Wise Ltd. | Guaranteed Perpetual Capital Notes | 100.000 | - | 2019/10/24 |
| 40030 | Sunny Express Enterprises Corp. | 3.00% Guaranteed Notes 2029 | 99.001 | 2029/10/23 | 2019/10/24 |
| 40031 | Sunny Express Enterprises Corp. | Senior Guaranteed Perpetual Securities | 100.000 | - | 2019/10/24 |
| 40029 | Health and Happiness (H&H) International Holdings Ltd. | 5.625% Senior Notes 2024 | 100.000 | 2024/10/24 | 2019/10/25 |
| 40032 | CITIC Securities Finance MTN Co., Ltd. | 2.75% Guaranteed Notes 2022 | 99.749 | 2022/10/24 | 2019/10/25 |
| 40033 | CITIC Securities Finance MTN Co., Ltd. | 2.875% Guaranteed Notes 2024 | 99.460 | 2024/10/24 | 2019/10/25 |
| 40034 | Suqian Economic Development (BVI) Co., Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/10/24 | 2019/10/25 |
| 40035 | State Elite Global Ltd. | Floating Rate Notes 2024 | 100.000 | 2024/10/24 | 2019/10/25 |
| 40036 | Boxinyuan International Co. Ltd. | 5.65% Guaranteed Notes 2022 | 100.000 | 2022/10/28 | 2019/10/29 |
| 40037 | Avenue International Holding Ltd. | 3.25% Notes 2020 | 99.783 | 2022/10/25 | 2019/10/29 |
| 40038 | Jiangsu Zhongguancun Holding Group (International) Co. Ltd. | 6.50% Guaranteed Bonds 2022 | 100.000 | 2022/10/28 | 2019/10/29 |
| 40040 | PCGI Intermediate Ltd. | 4.75% Guaranteed Notes 2024 | 100.000 | 2024/10/28 | 2019/10/29 |
| 40041 | Rugao Economic and Trade Development Company | 6.00% Bonds 2022 | 100.000 | 2022/10/28 | 2019/10/29 |
| 40039 | Mianyang Investment Holding (Gp) Co. Ltd. | 5.95% Bonds 2022 | 100.000 | 2022/10/30 | 2019/10/31 |
| 40042 | Huayi Finance I Ltd. | 3.00% Guaranteed Notes 2024 | 99.558 | 2024/10/30 | 2019/10/31 |
| 40043 | Yuzhou Properties Co. Ltd. | 8.375% Senior Notes 2024 | 100.000 | 2024/10/30 | 2019/10/31 |
| 40045 | Redsun Properties Group Ltd. | 13.00% Senior Notes 2021 | 100.000 | 2021/10/30 | 2019/10/31 |
| 05814 # | ENN Clean Energy Int'l Invest Ltd. | 7.50% Guaranteed Senior Notes 2021 | 102.492 | 2021/02/27 | 2019/10/31 |
| 05856 # | NWD Finance (BVI) Ltd. | 6.25% Guaranteed Senior Perpetual Cap Sec | 101.412 | - | 2019/11/04 |
| 85908 | CNI Capital Ltd. | 3.97% Guaranteed CNY Bonds 2022 | 100.000 | 2022/11/01 | 2019/11/04 |
| 40044 | CLP Power HK Finance Ltd. | Perpetual Subordinated Guar.Capital Securities | 99.767 | - | 2019/11/07 |
| 40047 | Zhenro Properties Group Ltd. | 9.15% Senior Notes 2023 | 100.000 | 2023/05/06 | 2019/11/07 |
| 85909 | Agricultural Development Bank of China | 3.40% CNY Bonds 2024 | 100.000 | 2024/11/06 | 2019/11/07 |

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|---------|---------------------------------------------------------------------------------------|------------------------------------------------|-------------|---------------|--------------|
| 85910 | Agricultural Development Bank of China | 3.18% CNY Bonds 2022 | 100.000 | 2022/11/06 | 2019/11/07 |
| 40046 | CIFI Holdings (Group) Co. Ltd. | 6.45% Senior Notes 2024 | 100.000 | 2024/11/07 | 2019/11/08 |
| 40053 | Hongkong International (Qingdao) Co. Ltd. | 3.90% Bonds 2022 | 100.000 | 2022/11/11 | 2019/11/12 |
| 40055 | Vanke Real Estate (Hong Kong) Co. Ltd. | 3.15% Notes 2025 | 99.865 | 2025/05/12 | 2019/11/13 |
| 40056 | Vanke Real Estate (Hong Kong) Co. Ltd. | 3.50% Notes 2029 | 99.341 | 2029/11/12 | 2019/11/13 |
| 40048 | Sinopec Group Overseas Development (2018) Ltd. | 2.50% Senior Notes 2024 | 99.860 | 2024/11/12 | 2019/11/13 |
| 40049 | Sinopec Group Overseas Development (2018) Ltd. | 2.95% Senior Notes 2029 | 99.519 | 2024/11/12 | 2019/11/13 |
| 40050 | Sinopec Group Overseas Development (2018) Ltd. | 3.44% Senior Notes 2049 | 100.000 | 2024/11/12 | 2019/11/13 |
| 40052 | Greentown China Holdings Ltd. | 4.55% Senior Notes 2020 | 100.000 | 2020/11/10 | 2019/11/13 |
| 40051 | Greenland Global Investment Ltd. | 5.60% Notes 2022 | 100.000 | 2022/11/13 | 2019/11/14 |
| 40058 | Phoenix Charm International Investment Ltd. | 6.50% Guaranteed Bonds 2022 | 100.000 | 2022/03/13 | 2019/11/14 |
| 04221 # | The Govt of the HKSAR of the PRC | 2.22% Bonds 2024 | 102.420 | 2024/08/07 | 2019/11/14 |
| 06024 # | DaFa Properties Group Ltd. | 12.875% Senior Notes 2021 | 98.335 | 2021/07/11 | 2019/11/14 |
| 05964 # | Redsun Properties Group Ltd. | 9.95% Senior Notes 2022 | 94.416 | 2022/04/11 | 2019/11/14 |
| 40059 | Huarong Finance 2019 Co., Ltd. | 3.25% Guaranteed Notes 2024 | 99.776 | 2024/11/13 | 2019/11/14 |
| 40060 | Huarong Finance 2019 Co., Ltd. | 3.875% Guaranteed Notes 2029 | 99.827 | 2029/11/13 | 2019/11/14 |
| 40054 | Guangzhou Metro Investment Finance (BVI) Ltd. | 2.609% Guaranteed Notes 2024 | 100.000 | 2024/11/14 | 2019/11/15 |
| 40061 | Chengdu Jiaozhi Financial Holding Group Co., Ltd. | 3.24% Notes 2022 | 100.000 | 2022/11/14 | 2019/11/15 |
| 40062 | Central Plaza Development Ltd. | Subordinated Guaranteed Perpetual Securities | 100.000 | - | 2019/11/15 |
| 40063 | Zhengzhou Urban Construction Inv. Group Co. Ltd. | 3.80% Notes 2022 | 100.000 | 2022/11/14 | 2019/11/15 |
| 40064 | Changsha Pilot Investment Holdings Co., Ltd. | 3.80% Notes 2022 | 100.000 | 2022/11/14 | 2019/11/15 |
| 40065 | Sun Hung Kai & Co. (BVI) Ltd. | 5.75% Notes 2024 | 100.000 | 2024/11/15 | 2019/11/18 |
| 40066 | City Development Company of Lan Zhou | 4.15% Guaranteed Notes 2022 | 100.000 | 2022/11/15 | 2019/11/18 |
| 40046 # | CIFI Holdings (Group) Co. Ltd. | 6.45% Senior Notes 2024 | 100.000 | 2024/11/07 | 2019/11/18 |
| 40057 | Haitong International Securities Group Ltd. | 3.125% Bonds 2025 | 99.415 | 2025/05/18 | 2019/11/19 |
| 40067 | XI HAI AN 2019 Ltd. | 3.90% Guaranteed Bonds 2022 | 100.000 | 2022/11/18 | 2019/11/19 |
| 85911 | Zhenro Properties Group Ltd. | 8.00% Senior CNY Notes 2020 | 100.000 | 2020/11/16 | 2019/11/19 |
| 05624 # | Dexin China Holdings Co. Ltd. | 12.875% Senior Notes 2021 | 98.464 | 2021/08/06 | 2019/11/19 |
| 40068 | ICBCIL Finance Co. Ltd. | Floating Rate Notes 2024 | 100.000 | 2024/11/20 | 2019/11/21 |
| 40069 | Nanyang Commercial Bank, Ltd. | Tier 2 Subordinated Notes 2029 | 99.689 | 2029/11/20 | 2019/11/21 |
| 04078 | Hong Kong Monetary Authority, The | 1.63% Notes 2021 | 99.780 | 2021/11/22 | 2019/11/21 |
| 40070 | Chalieco Hong Kong Corporation Ltd. | Senior Guaranteed Perpetual Capital Securities | 100.000 | - | 2019/11/22 |
| 40071 | Phoenix Charm International Investment Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/11/22 | 2019/11/25 |
| 40072 | Zhenjiang Cultural Tourism Industry Group Co., Ltd. | 7.50% Bonds 2022 | 100.000 | 2022/11/22 | 2019/11/25 |
| 40073 | Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd | 3.50% Credit Enhance Bonds 2022 | 100.000 | 2022/11/22 | 2019/11/25 |
| 40074 | PCGI Intermediate Holdings (II) Ltd. | 5.50% Guaranteed Notes 2024 | 100.000 | 2024/11/22 | 2019/11/25 |
| 85913 | Eastern Creation II Investment Holdings Ltd. | 3.40% Guaranteed CNY Notes 2022 | 100.000 | 2022/11/26 | 2019/11/27 |
| 40075 | AAC Technologies Holdings Inc. | 3.00% Notes 2024 | 99.581 | 2024/11/27 | 2019/11/28 |
| 40076 | China Overseas Finance (Cayman) VIII Ltd. | 3.05% Guaranteed Notes 2029 | 99.173 | 2029/11/27 | 2019/11/28 |
| 40079 | Yuzhou Properties Co. Ltd. | 8.30% Senior Notes 2025 | 100.000 | 2025/05/27 | 2019/11/28 |
| 40080 | Zhuhai Da Heng Qin Group Co., Ltd. | 3.80% Bonds 2022 | 100.000 | 2022/11/27 | 2019/11/28 |
| 85915 | Zhuhai Da Heng Qin Group Co., Ltd. | 4.60% CNY Bonds 2021 | 100.000 | 2021/11/27 | 2019/11/28 |
| 86655 # | Ministry of Finance of the PRC | 2.95% CNY Bonds 2021 | 100.340 | 2021/06/24 | 2019/12/02 |
| 86656 # | Ministry of Finance of the PRC | 3.03% CNY Bonds 2024 | 100.980 | 2024/06/24 | 2019/12/02 |
| 40078 | Zhongyuan Asset Management Co., Ltd. | 4.20% Notes 2022 | 100.000 | 2022/11/29 | 2019/12/02 |
| 40077 | Anton Oilfield Services Group | 7.50% Senior Notes 2022 | 99.015 | 2022/12/02 | 2019/12/03 |

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|---------|-------------------------------------------------------------------------|------------------------------------------------------|-------------|---------------|--------------|
| 40081 | Gaoxin International Investment Co., Ltd. | 6.00% Bonds 2022 | 100.000 | 2022/12/02 | 2019/12/03 |
| 40083 | China State Construction Finance (Cayman) III Ltd. | Subordinated Guaranteed Perpetual Capital Securities | 99.794 | - | 2019/12/04 |
| 04418 # | Jiayuan International Group Ltd. | 13.75% Senior Notes 2022 | 100.000 | 2022/03/11 | 2019/12/04 |
| 40023 # | Jiayuan International Group Ltd. | 13.75% Senior Notes 2023 | 100.000 | 2023/02/18 | 2019/12/04 |
| 40084 | Yichun Development Investment HaiTong Co., Ltd. | 4.20% Credit Enhanced Bonds 2022 | 100.000 | 2022/12/03 | 2019/12/04 |
| 06755 | Ministry of Finance of the PRC | 1.875% Bonds 2022B | 99.843 | 2022/12/03 | 2019/12/04 |
| 06756 | Ministry of Finance of the PRC | 1.95% Bonds 2024 | 99.782 | 2024/12/03 | 2019/12/04 |
| 06757 | Ministry of Finance of the PRC | 2.125% Bonds 2029 | 98.993 | 2029/12/03 | 2019/12/04 |
| 06758 | Ministry of Finance of the PRC | 2.75% Bonds 2039 | 98.019 | 2039/12/03 | 2019/12/04 |
| 40082 | Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd. | 4.18% Notes 2022 | 100.000 | 2022/12/04 | 2019/12/05 |
| 40087 | LinJiang Investment and Development (BVI) Co., Ltd | 5.30% Guaranteed Notes 2022 | 100.000 | 2022/12/04 | 2019/12/05 |
| 40085 | Bengbu Gaoxin Investment Group Co., Ltd. | 3.60% Credit Enhanced Bonds 2022 | 100.000 | 2022/12/05 | 2019/12/06 |
| 40086 | Jiangxi Provincial Water Conservancy Investment Group (China) Ltd. | 3.40% Guaranteed Green Bonds 2022 | 100.000 | 2022/12/05 | 2019/12/06 |
| 40088 | Wanda Properties Overseas Ltd. | 6.95% Guaranteed Bonds 2022 | 98.875 | 2022/12/05 | 2019/12/06 |
| 40089 | Amipeace Ltd. | 2.50% Notes 2024 | 99.594 | 2024/12/05 | 2019/12/06 |
| 40071 # | Phoenix Charm International Investment Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/11/22 | 2019/12/09 |
| 40090 | Tianfeng Securities Co., Ltd. | 4.30% Notes 2022 | 100.000 | 2022/12/09 | 2019/12/10 |
| 40091 | China Resources Land Ltd. | Subordinated Perpetual Capital Securities | 100.000 | 1900/01/00 | 2019/12/10 |
| 40092 | Bocom Leasing Management Hong Kong Co. Ltd. | Floating Rate Notes 2024 | 100.000 | 2024/12/10 | 2019/12/11 |
| 40093 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited | 2.40% Guaranteed Bonds 2022 | 99.856 | 2022/12/10 | 2019/12/11 |
| 40094 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited | 2.60% Guaranteed Bonds 2024 | 99.856 | 2024/12/10 | 2019/12/11 |
| 40095 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited | 3.00% Guaranteed Bonds 2029 | 99.717 | 2029/12/10 | 2019/12/11 |
| 40097 | PB Issuer (No.5) Ltd. | 3.00% Guaranteed Convertible Bonds 2025 | 100.000 | 2025/12/10 | 2019/12/11 |
| 40098 | Guofa Overseas Investment Co. Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/12/10 | 2019/12/11 |
| 40096 | China Everbright Bank Co., Ltd., HK Branch | Floating Rate Notes 2022 | 100.000 | 2022/12/11 | 2019/12/12 |
| 40099 | Xingang International Holding Ltd. | 7.00% Guaranteed Bonds 2022 | 100.000 | 2022/12/11 | 2019/12/12 |
| 40100 | Kunming Rail Transit Group Co., Ltd. | 3.50 Bonds 2022 | 100.000 | 2022/12/12 | 2019/12/13 |
| 40101 | Kunming Rail Transit Group Co., Ltd. | 3.90 Bonds 2024 | 100.000 | 2024/12/12 | 2019/12/13 |
| 40102 | Wynn Macau, Ltd. | 5.125% Senior Notes 2029 | 100.000 | 2029/12/15 | 2019/12/18 |
| 40103 | Tianjin State-owned Capital Investment and Management Co., Ltd. | Zero Coupon Bonds 2024 | 100.000 | 2024/12/17 | 2019/12/18 |
| 40104 | Tianjin State-owned Capital Investment and Management Co., Ltd. | 0.15% Bonds 2026 | 100.000 | 2026/12/17 | 2019/12/18 |
| 40105 | Tianjin State-owned Capital Investment and Management Co., Ltd. | 1.55% Bonds 2029 | 100.000 | 2029/12/17 | 2019/12/18 |
| 40106 | Tianjin State-owned Capital Investment and Management Co., Ltd. | 1.60% Bonds 2039 | 100.000 | 2039/12/17 | 2019/12/18 |
| 40107 | Bosideng International Holdings Ltd. | 1.00% Convertible Bonds 2024 | 100.000 | 2024/12/17 | 2019/12/18 |
| 40108 | HBIS Group Hong Kong Co., Ltd. | 3.75% Guaranteed Notes 2022 | 99.300 | 2022/12/18 | 2019/12/19 |
| 40012 # | Zensun Enterprises Ltd. | 12.80% Senior Notes 2021 | 99.641 | 2021/10/03 | 2019/12/20 |
| 05512 # | Shandong Commercial Group Co., Ltd. | 8.50% Bonds 2021 | 101.130 | 2021/12/31 | 2019/12/23 |
| 05599 # | Wanda Group Overseas Ltd. | 7.50 Guaranteed Bonds 2022 | 98.105 | 2022/07/24 | 2019/12/23 |
| 40109 | China YuHua Education Corporation Ltd. | 0.90% Convertible Bonds 2024 | 100.000 | 2024/12/27 | 2019/12/30 |

Further issue

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|-------|--------------------------------------------------------------|-------------------|---------------|---------------|
| 05599 | Bluestar Finance Holdings Ltd. Senior Guar. Perpetual Sec | 2019/01/02 | 2019/01/08 | - |
| 05880 | China Shanshui Cement Group Ltd. 7.50% Senior Notes 2020 | 2019/01/04 | 2019/01/10 | 2020/03/10 |
| 05603 | ZHIYUAN Group (BVI) Co., Ltd. 6.20% Guaranteed Bonds 2019 | 2019/01/08 | 2019/01/14 | 2019/01/11 |
| 06006 | KWG Group Holdings Ltd. 8.975% Senior Notes 2019 | 2019/01/09 | 2019/01/15 | 2019/01/14 |
| 05088 | Jiayuan International Group Ltd. 8.125% Senior Secured N2019 | 2019/01/14 | 2019/01/18 | 2019/01/17 |
| 05606 | Blue Sky Fliers Co. Ltd. 6.90% Guaranteed Notes 2019 | 2019/01/16 | 2019/01/22 | 2019/01/20 |
| 05607 | Hsin Chong Group Holdings Ltd. 8.50% Senior Notes 2019 | 2019/01/17 | 2019/01/23 | 2019/01/22 |
| 06010 | Bank of China Ltd. 3.125% Notes 2019 | 2019/01/18 | 2019/01/24 | 2019/01/23 |
| 06012 | Ch Overseas Grand Oceans Finance II 5.125% Guar. Notes 2019 | 2019/01/18 | 2019/01/24 | 2019/01/23 |
| 06013 | Wharf Finance Ltd. 3.5% Guaranteed Notes 2019 | 2019/01/18 | 2019/01/24 | 2019/01/23 |
| 04403 | Tianfang Jincheng (BVI) Ltd. 5.50% Bonds 2019 | 2019/01/21 | 2019/01/25 | 2019/01/24 |
| 05609 | Bank of Communications Co., Ltd. HK Branch 2.25% Notes 2019 | 2019/01/22 | 2019/01/28 | 2019/01/25 |
| 05608 | CNMC Int'l Capitals Co. Ltd. 2.375% Credit Enhanced B2019 | 2019/01/23 | 2019/01/29 | 2019/01/26 |
| 06020 | China Shipping Overseas Fin 4.25% Credit Enhanced Bonds 2019 | 2019/01/23 | 2019/01/29 | 2019/01/28 |
| 05366 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019, The | 2019/01/29 | 2019/02/04 | 2019/02/01 |
| 04418 | Zhongtai International Finance (BVI) Co. Ltd. 4.10% B2019 | 2019/01/30 | 2019/02/08 | 2019/02/04 |
| 04419 | China Logistics Property Hldgs Co., Ltd. 9.00% Senior N2019 | 2019/01/30 | 2019/02/08 | 2019/02/04 |
| 06018 | Towngas (Finance) Ltd. Perpetual Subordinated Guar. Cap Sec | 2019/02/01 | 2019/02/12 | - |
| 06015 | Moon Wise Global Ltd. Subordinated Perpetual Capital Sec | 2019/02/08 | 2019/02/14 | - |
| 06021 | Dah Sing Bank Ltd. Tier 2 Subordinated Notes 2024 | 2019/02/08 | 2019/02/14 | 2024/01/29 |
| 06022 | Haitian International Holdings Ltd. 2% Conv. Bonds 2019 | 2019/02/08 | 2019/02/14 | 2019/02/13 |
| 05372 | China Singyes Solar Technologies Holdings 7.95% Senior N2019 | 2019/02/12 | 2019/02/18 | 2019/02/15 |
| 85948 | Central Plaza Development Ltd. 6.875% Guar. CNY Notes 2019 | 2019/02/13 | 2019/02/19 | 2019/02/17 |
| 04067 | Hong Kong Monetary Authority 0.99% Exchange Fund Notes 2019 | 2019/02/15 | 2019/02/20 | 2019/02/20 |
| 04219 | The Govt of the HKSAR of the PRC 1.47% Bonds 2019 | 2019/02/15 | 2019/02/20 | 2019/02/20 |
| 05381 | Hong Kong Mortgage Corporation Ltd. 1.48% Notes 2019, The | 2019/02/19 | 2019/02/25 | 2019/02/22 |
| 05959 | Societe Generale Callable Zero Coupon Notes Jun 2043 A | 2019/02/20 | 2019/02/26 | 2043/06/18 |
| 05700 | China Resources Land Ltd. 4.375% Notes 2019 | 2019/02/22 | 2019/02/28 | 2019/02/27 |
| 04437 | Guorui Properties Ltd. 10.20% Senior Notes 2019 | 2019/02/26 | 2019/03/04 | 2019/03/01 |
| 05615 | Bank of China Ltd., Hong Kong Branch 1.875% Notes 2019A | 2019/02/26 | 2019/03/04 | 2019/03/01 |
| 05617 | Bank of China Ltd., Hong Kong Branch FR Notes 2019B | 2019/02/26 | 2019/03/04 | 2019/03/01 |
| 04438 | Coastal Emerald Ltd. 3.90% Guaranteed Bonds 2019 | 2019/02/27 | 2019/03/05 | 2019/03/03 |
| 06027 | SHK Ppt (Capital Market) Ltd. Instruments 2024 | 2019/02/28 | 2019/03/06 | 2024/02/25 |
| 85730 | Bank of China Ltd., Macau Branch 4.45% CNY Notes 2019C | 2019/02/28 | 2019/03/06 | 2019/03/05 |
| 05707 | AIA Group Ltd. 2.25% Notes 2019 | 2019/03/06 | 2019/03/12 | 2019/03/11 |
| 05781 | Sino-Ocean Land Treasure Fin I Ltd. 4.625% Guar. Notes 2019 | 2019/03/06 | 2019/03/12 | 2019/07/30 |
| 05713 | Franshion Brilliant Ltd. 5.75% Guaranteed Senior Notes 2019 | 2019/03/14 | 2019/03/20 | 2019/03/19 |
| 05714 | Eastern Creation Inv. Holdings Ltd. 3.625% Guar. Notes 2019 | 2019/03/15 | 2019/03/21 | 2019/03/20 |
| 05620 | Azure Orbit III Int'l Finance Ltd. 2.125% Guar. Notes 2019 | 2019/03/18 | 2019/03/22 | 2019/03/21 |
| 05622 | AMTD Group Co. Ltd. 5.00% Notes 2019 | 2019/03/18 | 2019/03/22 | 2019/03/21 |
| 01077 | Cable & Wireless Int'l Finance B.V. 8.625% Bonds 100 | 2019/03/20 | 2019/03/26 | 2019/03/25 |
| 01078 | Cable & Wireless Int'l Finance B.V. 8.625% Bonds 1000 | 2019/03/20 | 2019/03/26 | 2019/03/25 |
| 04049 | Hong Kong Monetary Authority 1.35% Exchange Fund Notes 2019 | 2019/03/20 | 2019/03/25 | 2019/03/25 |
| 05623 | Ch Oceanwide Int'l Fin Management Co., Ltd. 8.50% Bonds 2019 | 2019/03/20 | 2019/03/26 | 2019/03/24 |
| 05409 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019, The | 2019/03/22 | 2019/03/28 | 2019/03/27 |
| 05412 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019B, The | 2019/03/25 | 2019/03/29 | 2019/03/28 |

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|-------|--------------------------------------------------------------|-------------------|---------------|---------------|
| 05716 | ASM Pacific Technology Ltd. 2.00% Convertible Bonds 2019 | 2019/03/25 | 2019/03/29 | 2019/03/28 |
| 05624 | Yunnan Provincial Invest Hldgs Group Co., Ltd. 3.375% N2019 | 2019/03/27 | 2019/04/02 | 2019/04/01 |
| 05418 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019, The | 2019/04/02 | 2019/04/09 | 2019/04/08 |
| 05720 | Sinopec Gp Overseas Dev (2014) Ltd. 2.75% Senior Notes 2019 | 2019/04/04 | 2019/04/11 | 2019/04/10 |
| 05723 | Sinopec Gp Overseas Dev (2014) Ltd. Senior FR Notes 2019 | 2019/04/04 | 2019/04/11 | 2019/04/10 |
| 05625 | Vanke Real Estate (Hong Kong) Co. Ltd. 2.50% Guar. Notes2019 | 2019/04/10 | 2019/04/16 | 2019/04/13 |
| 05793 | Yingde Gases Investment Ltd. 7.25% Senior Notes 2020 | 2019/04/11 | 2019/04/17 | 2020/02/28 |
| 04471 | Vertex Capital Investment Ltd. 4.15% Guaranteed Bonds 2019 | 2019/04/16 | 2019/04/24 | 2019/04/22 |
| 04472 | Xinjiang Transportation Construction Invest Hldg 6.25% B2019 | 2019/04/16 | 2019/04/24 | 2019/04/22 |
| 05627 | Sunshine Life Insurance Corporation Ltd. 2.50% Notes 2019 | 2019/04/16 | 2019/04/24 | 2019/04/20 |
| 05447 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019B, The | 2019/04/18 | 2019/04/26 | 2019/04/25 |
| 05729 | Azure Orbit II Int'l Finance Ltd. 3.375% Guar. Notes 2019 | 2019/04/18 | 2019/04/26 | 2019/04/25 |
| 05730 | Poly Real Estate Finance Ltd. 5.25% Guar. Bonds 2019 | 2019/04/18 | 2019/04/26 | 2019/04/25 |
| 05632 | Yunnan Energy Invest Finance Co. Ltd. 3.00% Guar. Bonds 2019 | 2019/04/23 | 2019/04/29 | 2019/04/26 |
| 05732 | Sinochem Offshore Cap Co. Ltd. 3.25% Senior Guar. Notes 2019 | 2019/04/24 | 2019/04/30 | 2019/04/29 |
| 04484 | Hong Seng Ltd. 8.50% Guaranteed Senior Notes 2019 | 2019/04/26 | 2019/05/03 | 2019/05/01 |
| 05734 | Tencent Holdings Ltd. 3.375% Senior Notes 2019 | 2019/04/26 | 2019/05/03 | 2019/05/02 |
| 05635 | Sinopec Grp Overseas Dev (2016) Ltd. 2.125% Senior Notes2019 | 2019/04/29 | 2019/05/06 | 2019/05/03 |
| 05728 | Ch CITIC Bank Undated Non-Cum Sub. Additional Tier 1 CapSec | 2019/04/29 | 2019/05/06 | - |
| 05642 | CALC Bond 1 Ltd. 5.90% Guaranteed Bonds 2019 | 2019/04/30 | 2019/05/07 | 2019/05/06 |
| 05741 | State Grid Overseas Invest(2014)Ltd. 2.75% Senior Guar.N2019 | 2019/05/02 | 2019/05/08 | 2019/05/07 |
| 05744 | Lenovo Group Ltd. 4.7% Notes 2019 | 2019/05/03 | 2019/05/09 | 2019/05/08 |
| 05745 | China Overseas Fin (Cayman) VI Ltd. 4.25% Guar. Notes 2019 | 2019/05/03 | 2019/05/09 | 2019/05/08 |
| 06008 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019, The | 2019/05/03 | 2019/05/09 | 2019/05/08 |
| 04499 | Southwest Securities Int'l Securities Ltd. 6.00% Bonds 2019 | 2019/05/07 | 2019/05/14 | 2019/05/10 |
| 05201 | Hong Kong Mortgage Corporation Ltd. FR Notes 2019B, The | 2019/05/07 | 2019/05/14 | 2019/05/10 |
| 04487 | Southwest Securities Int'l Securities Ltd. 6.75% Bonds 2019 | 2019/05/08 | 2019/05/15 | 2019/05/13 |
| 05643 | Rail Transit Int'l Investment Co. Ltd. 2.50% Guar. Bonds2019 | 2019/05/08 | 2019/05/15 | 2019/05/13 |
| 05747 | China Cinda Finance (2014) Ltd. 4% Guar. Senior Notes 2019 | 2019/05/08 | 2019/05/15 | 2019/05/14 |
| 05750 | CNPC General Capital Ltd. 2.75% Guar. Senior Notes 2019 | 2019/05/08 | 2019/05/15 | 2019/05/14 |
| 85963 | The Export-Import Bank of China 3.65% CNY Bonds 2019 | 2019/05/08 | 2019/05/15 | 2019/05/14 |
| 05657 | Agricultural Bank of China Ltd., NY Branch FR Notes 2019 A | 2019/05/10 | 2019/05/17 | 2019/05/16 |
| 05658 | Agricultural Bank of China Ltd., NY Branch 1.875% Notes2019B | 2019/05/10 | 2019/05/17 | 2019/05/16 |
| 05992 | China CITIC Bank Int'l Ltd. Tier 2 Subordinated Notes 2024 | 2019/05/14 | 2019/05/20 | 2024/05/07 |
| 04307 | Kowloon-Canton Railway Corporation 5.125% Notes 2019 | 2019/05/15 | 2019/05/21 | 2019/05/20 |
| 05645 | CICC Hong Kong Finance 2016 MTN Ltd. 2.75% Guar. Notes 2019 | 2019/05/15 | 2019/05/21 | 2019/05/18 |
| 05646 | ICBCIL Finance Co. Ltd. 2.375% Notes 2019 | 2019/05/15 | 2019/05/21 | 2019/05/19 |
| 05949 | IFC Development (Corp Treasury) Ltd. 2.375% Guar. Notes 2019 | 2019/05/16 | 2019/05/22 | 2019/05/21 |
| 04068 | Hong Kong Monetary Authority 0.80% Exchange Fund Notes 2019 | 2019/05/17 | 2019/05/22 | 2019/05/22 |
| 05103 | Greenland Global Investment Ltd. 6.75% Notes 2019 | 2019/05/17 | 2019/05/23 | 2019/05/22 |
| 05754 | Guotai Junan Fin Hldgs (BVI) Ltd.3.625%Credit Enhanced B2019 | 2019/05/17 | 2019/05/23 | 2019/05/22 |
| 86617 | Ministry of Finance of the PRC 3.25% CNY Bonds 2019 | 2019/05/17 | 2019/05/22 | 2019/05/22 |
| 05102 | Top Yield Ventures Ltd. 9.75 Senior Notes 2019 | 2019/05/20 | 2019/05/24 | 2019/05/23 |
| 05653 | ICBC, HK Branch 1.875% Notes 2019 | 2019/05/20 | 2019/05/24 | 2019/05/23 |
| 05035 | Oceanwide Holdings Int'l 2017 Co. 8.50% Guar. Senior N2019 | 2019/05/23 | 2019/05/29 | 2019/05/28 |
| 05655 | CCBL (Cayman) 1 Corporation Ltd. 2.375% Guar. Notes 2019 | 2019/05/28 | 2019/06/03 | 2019/05/31 |

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|-------|----------------------------------------------------------------|-------------------|---------------|---------------|
| 05663 | Huarong Finance II Co., Ltd. 2.75% Guaranteed Notes 2019 | 2019/05/29 | 2019/06/04 | 2019/06/03 |
| 05666 | Midea Investment Development Co. Ltd. 2.375% Guar Notes 2019 | 2019/05/29 | 2019/06/04 | 2019/06/03 |
| 05759 | Vanke Real Estate (Hong Kong) Co. Ltd. 4.50% Guar. Notes 2019 | 2019/05/30 | 2019/06/05 | 2019/06/04 |
| 04190 | Hong Kong Monetary Authority 2.64% Exchange Fund Notes 2019 | 2019/06/04 | 2019/06/10 | 2019/06/10 |
| 05668 | CSCEC Finance (Cayman) II Ltd. 2.25% Guaranteed Notes 2019 | 2019/06/11 | 2019/06/17 | 2019/06/14 |
| 05673 | Tianjin Infrastructure Construction & Investment 2.75% B2019 | 2019/06/12 | 2019/06/18 | 2019/06/15 |
| 05675 | Xuzhou Econ & Tech Dev Zone Int'l Invest 4.50% Guar. N2019 | 2019/06/12 | 2019/06/18 | 2019/06/16 |
| 05676 | Hua Han Health Industry Holdings Ltd. 7.00% Senior Notes 2019 | 2019/06/12 | 2019/06/18 | 2019/06/16 |
| 04231 | The Govt of the HKSAR of the PRC iBonds 2019 | 2019/06/17 | 2019/06/20 | 2019/06/20 |
| 04052 | Hong Kong Monetary Authority 1.34% Exchange Fund Notes 2019 | 2019/06/19 | 2019/06/24 | 2019/06/24 |
| 05678 | China Development Bank 1.625% Notes 2019 | 2019/06/19 | 2019/06/25 | 2019/06/22 |
| 05121 | Greenland Hong Kong Holdings Ltd. 7.875% Bonds 2019 | 2019/06/20 | 2019/06/26 | 2019/06/25 |
| 05679 | Xihui Haiwai I Invest Hldgs Co., Ltd. 3.25% Guar. Bonds 2019 | 2019/06/24 | 2019/06/28 | 2019/06/27 |
| 05680 | Hanrui Overseas Investment Co., Ltd. 4.90% Guar. Bonds 2019 | 2019/06/25 | 2019/07/02 | 2019/06/28 |
| 86603 | Ministry of Finance of the PRC 2.65% CNY Bonds 2019 | 2019/06/25 | 2019/06/28 | 2019/06/29 |
| 05767 | China Construction Bank (Asia) Corp Ltd. 3.25% Notes 2019 | 2019/06/26 | 2019/07/03 | 2019/07/02 |
| 05702 | Chalieco HK Corporation Ltd. Senior Guar. Perpetual Cap Sec | 2019/06/27 | 2019/07/04 | - |
| 05768 | Greenland Global Investment Ltd. 4.375% Guar. Bonds 2019 | 2019/06/27 | 2019/07/04 | 2019/07/03 |
| 86637 | Ministry of Finance of the PRC 2.90% CNY Bonds 2019 | 2019/06/28 | 2019/07/04 | 2019/07/04 |
| 04313 | Mulpha SPV Ltd. 6.00% Notes 2019 | 2019/07/03 | 2019/07/09 | 2019/09/06 |
| 05681 | China Development Bank Floating Rate Notes 2019 | 2019/07/03 | 2019/07/09 | 2019/07/08 |
| 05235 | Bank of China Ltd., Hong Kong Branch FR Notes 2019 | 2019/07/08 | 2019/07/12 | 2019/07/11 |
| 05544 | Boom Up Investments Ltd. 3.25% Credit Enhanced Bonds 2020 | 2019/07/08 | 2019/07/12 | 2020/07/23 |
| 05771 | HK Science & Technology Parks Corp 2.12% Guar. Notes 2019 | 2019/07/08 | 2019/07/12 | 2019/07/11 |
| 05682 | Caiyun International Investment Ltd. 3.125% Guar. Bonds 2019 | 2019/07/09 | 2019/07/15 | 2019/07/12 |
| 05541 | China Overseas Land Int'l (Cayman) Ltd. 1.75% Guar. N2019 | 2019/07/10 | 2019/07/16 | 2019/07/15 |
| 05683 | Xiangyu Investment (BVI) Co., Ltd. 4.75% Guar. Bonds 2019 | 2019/07/10 | 2019/07/16 | 2019/07/14 |
| 05774 | Huarong Finance Co., Ltd. 4.00% Guaranteed Notes 2019 | 2019/07/12 | 2019/07/18 | 2019/07/17 |
| 02581 | The Govt of the HKSAR of the PRC 5.125% Notes due 2019 | 2019/07/18 | 2019/07/23 | 2019/07/23 |
| 05690 | Kunlun Energy Co. Ltd. 1.625% USD Settled Conv. Bonds 2019 | 2019/07/22 | 2019/07/26 | 2019/07/25 |
| 04593 | Agile Group Holdings Ltd. Perpetual Subordinated Cap Sec | 2019/07/23 | 2019/07/29 | - |
| 05549 | Eastern Creation II Investment Holdings Ltd. 1.50% Notes 2019 | 2019/07/24 | 2019/07/30 | 2019/07/29 |
| 05691 | Greenland Hong Kong Holdings Ltd. 3.875% Notes 2019 | 2019/07/24 | 2019/07/30 | 2019/07/28 |
| 05777 | Shine Power Int'l Ltd. Zero Coupon Guar. Exchangeable B2019 | 2019/07/24 | 2019/07/30 | 2019/07/28 |
| 05779 | The Export-Import Bank of China 2.50% Senior Notes 2019 | 2019/07/26 | 2019/08/01 | 2019/07/31 |
| 05788 | Asia View Ltd. 1.50% Guaranteed Exchangeable Bonds 2019 | 2019/08/05 | 2019/08/09 | 2019/08/08 |
| 05790 | Ch Singyes Solar Tech Holdings Ltd. 5% USD Settled Conv. B2019 | 2019/08/05 | 2019/08/09 | 2019/08/08 |
| 05525 | PB Issuer (No.4) Ltd. 3.25% Guar. Convertible Bonds 2021 | 2019/08/06 | 2019/08/12 | 2021/07/03 |
| 05695 | RKI Overseas Finance 2016 (A) Ltd. 5.00% Guar. Senior N2019 | 2019/08/06 | 2019/08/12 | 2019/08/09 |
| 05778 | CRCC Yupeng Ltd. Senior Guaranteed Perpetual Securities | 2019/08/06 | 2019/08/12 | - |
| 05523 | CIFI Holdings (Group) Co. Ltd. 7.75% Senior Notes 2020 | 2019/08/07 | 2019/08/13 | 2020/06/05 |
| 05697 | Silver Sparkle Ltd. 7.875% Guaranteed Senior Notes 2019 | 2019/08/07 | 2019/08/13 | 2019/08/10 |
| 05789 | Airvessel Finance Holding Ltd. 3.25% Guaranteed Notes 2019 | 2019/08/07 | 2019/08/13 | 2019/08/11 |
| 05698 | Bank of Communications Co., Ltd. HK Branch FR Notes 2019 | 2019/08/13 | 2019/08/19 | 2019/08/16 |
| 04311 | Swire Pacific MTN Financing Ltd. 5.50% Guaranteed Notes 2019 | 2019/08/14 | 2019/08/20 | 2019/08/19 |
| 05539 | China SCE Group Holdings Ltd. 10.00% Senior Notes 2020 | 2019/08/14 | 2019/08/20 | 2020/07/02 |

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|-------|---------------------------------------------------------------|-------------------|---------------|---------------|
| 05791 | Far East Horizon Ltd. 6.125% Notes 2019 | 2019/08/14 | 2019/08/20 | 2019/08/19 |
| 04069 | Hong Kong Monetary Authority 0.77% Exchange Fund Notes 2019 | 2019/08/16 | 2019/08/21 | 2019/08/21 |
| 05605 | Logan Property Holdings Co. Ltd. 7.70% Senior Notes 2020 | 2019/08/20 | 2019/08/26 | 2020/01/19 |
| 05148 | Tianjin Lingang Investment Holding Co., Ltd. 6.75% Notes2019 | 2019/08/21 | 2019/08/27 | 2019/08/26 |
| 05792 | Ch Construction Bk (Asia) Corp Ltd.Tier 2 Subordinated N2024 | 2019/08/23 | 2019/08/29 | 2024/08/20 |
| 05825 | China Oil And Gas Group Ltd. 5.00% Senior Notes 2020 | 2019/08/26 | 2019/08/30 | 2020/05/07 |
| 05795 | Charming Light Investments Ltd. 3.75% Guaranteed Notes 2019 | 2019/08/29 | 2019/09/04 | 2019/09/03 |
| 04308 | Greenland Global Investment Ltd. 3.50% Notes 2019 | 2019/09/03 | 2019/09/09 | 2019/09/06 |
| 05800 | West China Cement Ltd. 6.50% Senior Notes 2019 | 2019/09/06 | 2019/09/12 | 2019/09/11 |
| 05801 | Haitong International Finance 2014 Ltd. 3.99% Guar.Bonds2019 | 2019/09/06 | 2019/09/12 | 2019/09/11 |
| 04500 | China Everbright Bank Co., Ltd., HK Branch 2.00% Notes 2019 | 2019/09/11 | 2019/09/17 | 2019/09/15 |
| 04312 | Henson Finance Ltd. 5.50% Guaranteed Notes 2019 | 2019/09/12 | 2019/09/18 | 2019/09/17 |
| 05799 | Ch Taiping Ins Hldgs Co. Ltd. Perpetual Subordinated CapSec | 2019/09/12 | 2019/09/18 | - |
| 04223 | Hong Kong Sukuk 2014 Ltd. Trust Certificates 2019 | 2019/09/13 | 2019/09/19 | 2019/09/18 |
| 04509 | Industrial Bank Co., Ltd. Hong Kong Branch 2.00% Notes 2019 | 2019/09/18 | 2019/09/24 | 2019/09/21 |
| 05797 | ICBC (Macau) Ltd. Subordinated Notes 2024 | 2019/09/20 | 2019/09/26 | 2024/09/10 |
| 05805 | Honghua Group Ltd. 7.45% Senior Notes 2019 | 2019/09/20 | 2019/09/26 | 2019/09/25 |
| 05868 | Sino-Ocean Land Treasure Fin II Ltd. 4.45% Guar. Notes 2020 | 2019/09/23 | 2019/09/27 | 2020/02/04 |
| 04055 | Hong Kong Monetary Authority 1.49% Exchange Fund Notes 2019 | 2019/09/25 | 2019/09/30 | 2019/09/30 |
| 04521 | Sinopec Gp Overseas Dev (2016) Ltd. 1.75% Senior N2019 | 2019/09/25 | 2019/10/02 | 2019/09/29 |
| 04538 | Haichuan Int'l Investment Co., Ltd. 5.35% Guar. Bonds 2019 | 2019/09/25 | 2019/10/02 | 2019/09/29 |
| 04540 | ICBCIL Finance Co. Ltd. 2.125% Notes 2019 | 2019/09/25 | 2019/10/02 | 2019/09/29 |
| 04550 | Bluestar Finance Holdings Ltd. 3.125% Senior Guar Notes 2019 | 2019/09/25 | 2019/10/02 | 2019/09/30 |
| 04564 | China Construction Bank Corp, HK Branch 1.75% FR N2019 | 2019/09/25 | 2019/10/02 | 2019/09/30 |
| 05288 | Green Fortune Capital Ltd. 8.75% Guaranteed Bonds 2019 | 2019/09/25 | 2019/10/02 | 2019/09/29 |
| 05804 | Chong Hing Bank Undated Non-Cum Sub Additional Tier 1 CapSec | 2019/09/30 | 2019/10/08 | - |
| 05806 | Far East Horizon Ltd. 4.25% Notes 2019 | 2019/10/02 | 2019/10/09 | 2019/10/08 |
| 05812 | Huatai Int'l Finance I Ltd. 3.625% Credit Enhanced Bonds2019 | 2019/10/02 | 2019/10/09 | 2019/10/08 |
| 05808 | Bank of Communications Co., Ltd. Tier 2 Capital Bonds 2024 | 2019/10/08 | 2019/10/14 | 2024/10/03 |
| 05191 | Hongkong International (Qingdao) Co. Ltd. 5.30% Bonds 2019 | 2019/10/16 | 2019/10/22 | 2019/10/21 |
| 05710 | Zhuzhou City Construction Dev Group Co., Ltd. 2.98% B2019 | 2019/10/16 | 2019/10/22 | 2019/10/19 |
| 05735 | Tuspark Forward Ltd. 4.30% Guaranteed Bonds 2019 | 2019/10/16 | 2019/10/22 | 2019/10/19 |
| 05817 | ENN Energy Holdings Ltd. 3.25% Bonds 2019 | 2019/10/18 | 2019/10/24 | 2019/10/23 |
| 05784 | Huai'an Traffic Holding Co., Ltd. 4.95% Bonds 2019 | 2019/10/22 | 2019/10/28 | 2019/10/25 |
| 05934 | China Great Wall Int'l Hldgs III Ltd. 2.25% Guar. Notes 2019 | 2019/10/23 | 2019/10/29 | 2019/10/27 |
| 05816 | Dianjian Haixing Ltd. Senior Guaranteed Perpetual Securities | 2019/10/24 | 2019/10/30 | - |
| 05820 | CITIC Securities Finance MTN Co.,Ltd. 3.50% Guar. Notes 2019 | 2019/10/25 | 2019/10/31 | 2019/10/30 |
| 05815 | Korean Reinsurance Co. Subordinated Capital Securities | 2019/10/28 | 2019/11/01 | - |
| 05703 | Azure Nova International Finance Ltd. 2.25% Guar. Notes 2019 | 2019/10/29 | 2019/11/04 | 2019/11/01 |
| 05822 | King Power Capital Ltd. 3.875% Guaranteed Notes 2019 | 2019/10/30 | 2019/11/05 | 2019/11/03 |
| 05864 | China Development Bank HK Branch 0.125% Notes 2019 | 2019/10/30 | 2019/11/05 | 2019/11/03 |
| 05921 | Guangxi Comm Investment Group Corporation. Ltd 3.00% B2019 | 2019/10/30 | 2019/11/05 | 2019/11/04 |
| 05824 | New World China Land Ltd. 5.375% Notes 2019 | 2019/11/01 | 2019/11/07 | 2019/11/06 |
| 05939 | Wuhan Metro Group Co., Ltd. 2.375% Notes 2019 | 2019/11/05 | 2019/11/11 | 2019/11/08 |
| 05956 | Jiangsu Zhongguancun Hldg Group (Int'l) 5.70% Guar. Bonds2019 | 2019/11/05 | 2019/11/11 | 2019/11/08 |
| 05302 | Suqian Economic Development (BVI) Co. 5.375% Guar. B2019 | 2019/11/06 | 2019/11/12 | 2019/11/10 |

Withdrawal of listed debt securities (continued)

| Code | Stock name | Last trading date | Delisted date | Maturity date |
|-------|--------------------------------------------------------------|-------------------|---------------|---------------|
| 85725 | CNI Capital Ltd. 4.30% Guaranteed CNY Bonds 2019 | 2019/11/06 | 2019/11/12 | 2019/11/11 |
| 05827 | SDSC Int'l Finance Ltd. 3.625% Credit Enhanced Bonds 2019 | 2019/11/07 | 2019/11/13 | 2019/11/12 |
| 05740 | CLP Power HK Finance Ltd. Perpetual Subordinated Guar.CapSec | 2019/11/12 | 2019/11/18 | - |
| 05304 | Gansu Provincial Highway Aviation Tourism Invest 3.00% B2019 | 2019/11/13 | 2019/11/19 | 2019/11/18 |
| 05829 | Double Rosy Ltd. 3.625% Guaranteed Notes 2019 | 2019/11/13 | 2019/11/19 | 2019/11/18 |
| 86000 | China Construction Bank (Asia) Corp Ltd.3.75% CNY Notes 2019 | 2019/11/13 | 2019/11/19 | 2019/11/18 |
| 04070 | Hong Kong Monetary Authority 1.04% Exchange Fund Notes 2019 | 2019/11/15 | 2019/11/20 | 2019/11/20 |
| 85998 | China Construction Bank Corp Tier 2 Dated Capital CNY B2024 | 2019/11/15 | 2019/11/21 | 2024/11/12 |
| 86623 | Ministry of Finance of the PRC 3.00% CNY Bonds 2019 | 2019/11/18 | 2019/11/21 | 2019/11/21 |
| 05305 | Oriental Capital Co. Ltd. 5.15% Guaranteed Bonds 2019 | 2019/11/19 | 2019/11/25 | 2019/11/22 |
| 05306 | Huarong Finance II Co., Ltd. 2.875% Guaranteed Notes 2019 | 2019/11/19 | 2019/11/25 | 2019/11/22 |
| 05522 | Zhenro Properties Group Ltd. 8.60% Senior Notes 2020 | 2019/11/19 | 2019/11/23 | 2020/01/13 |
| 05836 | CNPC General Capital Ltd. 2.70% Guaranteed Notes 2019 | 2019/11/20 | 2019/11/26 | 2019/11/25 |
| 05838 | Amipeace Ltd. 3.125% Guaranteed Notes 2019 | 2019/11/21 | 2019/11/27 | 2019/11/26 |
| 05839 | HC Group Inc. 5.00% Convertible Bonds 2019 | 2019/11/22 | 2019/11/28 | 2019/11/27 |
| 05590 | Alibaba Group Holding Ltd. 2.50% Senior Notes 2019B | 2019/11/25 | 2019/11/29 | 2019/11/28 |
| 05833 | Bank of East Asia, Ltd. Tier 2 Cap Dated Subordinated N2024 | 2019/11/25 | 2019/11/29 | 2024/11/20 |
| 05842 | Alibaba Group Holding Ltd. 2.50% Senior Notes 2019 | 2019/11/25 | 2019/11/29 | 2019/11/28 |
| 05312 | CMBLEMTN 1 Ltd. 2.625% Guaranteed Notes 2019 | 2019/11/26 | 2019/12/02 | 2019/11/29 |
| 05315 | Changsha Pilot Investment Holdings Co., Ltd. 3.10% Notes2019 | 2019/11/27 | 2019/12/03 | 2019/12/02 |
| 05318 | Horsepower Finance Ltd. 2.15% Guaranteed Notes 2019 | 2019/11/27 | 2019/12/03 | 2019/12/02 |
| 05319 | Zhejiang Cultural Tourism Industry Group Co Ltd 4.95% B2019 | 2019/11/27 | 2019/12/03 | 2019/12/01 |
| 05847 | Huayi Finance I Ltd. 4.00% Guaranteed Notes 2019 | 2019/11/27 | 2019/12/03 | 2019/12/02 |
| 05849 | CDBL Funding 1 3.25% Guaranteed Notes 2019 | 2019/11/27 | 2019/12/03 | 2019/12/02 |
| 86650 | Ministry of Finance of the PRC 3.90% CNY Bonds 2019 | 2019/11/29 | 2019/12/04 | 2019/12/04 |
| 05317 | Shaanxi Xixian New Area Fengxi New City Dev & Cons5.75%B2019 | 2019/12/02 | 2019/12/06 | 2019/12/05 |
| 04196 | Hong Kong Monetary Authority 2.16% Exchange Fund Notes 2019 | 2019/12/04 | 2019/12/09 | 2019/12/09 |
| 05322 | Inventive Global Investments Ltd. 2.375% Fixed Rate N2019 | 2019/12/04 | 2019/12/10 | 2019/12/07 |
| 05848 | Central Plaza Development Ltd.7.125% Senior Perpetual CapSec | 2019/12/05 | 2019/12/11 | - |
| 05854 | Chinalco Finance Holdings Ltd. 3.625% Credit Enhanced B2019 | 2019/12/06 | 2019/12/12 | 2019/12/11 |
| 86642 | Ministry of Finance of the PRC 3.40% CNY Bonds 2019 | 2019/12/09 | 2019/12/12 | 2019/12/12 |
| 05326 | Yunnan Energy Investment Overseas Fin 3.50% Guar. B2019 | 2019/12/10 | 2019/12/16 | 2019/12/13 |
| 04456 | Lvqi Holdings Co., Ltd. 8.60% Senior Bonds 2020 | 2019/12/11 | 2019/12/17 | 2020/04/03 |
| 05328 | Changde Urban Construction and Investment Group 3.70% B2019 | 2019/12/11 | 2019/12/17 | 2019/12/15 |
| 05329 | Xiangxing Overseas Investment Co. Ltd. 5.95% Guar Bonds2019 | 2019/12/11 | 2019/12/17 | 2019/12/16 |
| 05330 | Xingang International Holding Ltd. 5.80% Guar. Bonds 2019 | 2019/12/11 | 2019/12/17 | 2019/12/16 |
| 05331 | Fujian Zhanglong Group Co., Ltd. 4.50% Notes 2019 | 2019/12/11 | 2019/12/17 | 2019/12/16 |
| 05332 | Phoenix Charm International Investment Ltd. 5.50% Bonds 2019 | 2019/12/11 | 2019/12/17 | 2019/12/16 |
| 05335 | Gaoxin International Investment Co., Ltd. 5.90% Bonds 2019 | 2019/12/17 | 2019/12/23 | 2019/12/20 |
| 05336 | Peixian State-Owned Assets Management Co. Ltd. 6.10% B2019 | 2019/12/17 | 2019/12/23 | 2019/12/20 |
| 04058 | Hong Kong Monetary Authority 1.32% Exchange Fund Notes 2019 | 2019/12/18 | 2019/12/23 | 2019/12/23 |
| 05334 | Vanke Real Estate (Hong Kong) Co. Ltd. 3.95% Guar. Notes2019 | 2019/12/18 | 2019/12/24 | 2019/12/23 |
| 05337 | Greenland Global Investment Ltd. 4.375% Guar. Notes 2019 | 2019/12/18 | 2019/12/24 | 2019/12/22 |
| 05339 | Mudajaya Ventures Ltd. 7.00% Notes 2019 | 2019/12/23 | 2019/12/31 | 2019/12/28 |

Debt securities trading by type of issue, 2015-2019

HK\$ mil

| Year/month | No. of issues | Bank | State | State corporation | Supranational | Other Corporation | Total |
|------------|---------------|----------|-----------|-------------------|---------------|-------------------|-----------|
| 2015 | 762 | 0.00 | 9,380.57 | 0.00 | 0.00 | 0.00 | 9,380.57 |
| 2016* | 892 | 66.10 | 10,422.67 | 7,402.91 | 0.00 | 3,386.57 | 21,278.25 |
| 2017 | 1,047 | 1246.98 | 6,478.46 | 3,480.09 | 7.07 | 17,958.70 | 60,496.31 |
| 2018 | 1,195 | 1,141.38 | 5,537.27 | 29,369.62 | 0.00 | 12,345.65 | 48,393.92 |
| 2019 | 1,388 | 441.14 | 2,843.48 | 28,151.59 | 0.00 | 29,255.47 | 60,691.67 |
| 2019 Jan | 1,206 | 84.37 | 309.31 | 3,523.82 | 0.00 | 2,997.48 | 6,914.98 |
| Feb | 1,223 | 69.17 | 307.73 | 2,933.68 | 0.00 | 1,640.11 | 4,950.68 |
| Mar | 1,243 | 16.70 | 367.36 | 3,199.03 | 0.00 | 2,125.79 | 5,708.88 |
| Apr | 1,265 | 31.82 | 361.97 | 1,504.74 | 0.00 | 2,535.96 | 4,434.48 |
| May | 1,266 | 21.76 | 493.39 | 3,396.84 | 0.00 | 2,559.49 | 6,471.48 |
| Jun | 1,291 | 110.43 | 240.40 | 2,850.97 | 0.00 | 2,459.19 | 5,661.00 |
| Jul | 1,317 | 1.36 | 246.04 | 1,717.23 | 0.00 | 2,971.17 | 4,935.79 |
| Aug | 1,318 | 21.52 | 155.26 | 1,151.97 | 0.00 | 3,095.65 | 4,424.40 |
| Sep | 1,347 | 40.75 | 102.37 | 1,693.40 | 0.00 | 2,098.08 | 3,934.60 |
| Oct | 1,365 | 19.92 | 121.49 | 1,810.07 | 0.00 | 1,926.75 | 3,878.24 |
| Nov | 1,379 | 17.73 | 59.95 | 2,013.81 | 0.00 | 2,341.32 | 4,432.82 |
| Dec | 1,388 | 5.62 | 78.20 | 2,356.00 | 0.00 | 2,504.49 | 4,944.31 |

*Figures have been adjusted following the revised classification of debt securities

Nominal value of listed debt securities as at the end of 2019

| Major group | No. of issuers | No. of issues | Nominal amount (HK\$mil) |
|-------------------|----------------|---------------|--------------------------|
| Bank | 11 | 39 | 163,035.54 |
| State | 8 | 96 | 336,499.46 |
| State corporation | 341 | 759 | 3,036,649.61 |
| Supranational | 1 | 1 | 1,320.84 |
| Other Corporation | 166 | 493 | 1,667,478.56 |
| Total | 527 | 1,388 | 5,204,984.02 |

Listed debt securities, 2015 - 2019

| Country | 2015 | | 2016 | | 2017 | | 2018 | | 2019 | |
|---------------|---------------|--------------------------|---------------|--------------------------|---------------|--------------------------|---------------|--------------------------|---------------|--------------------------|
| | No. of issues | Nominal amount (HK\$mil) | No. of issues | Nominal amount (HK\$mil) | No. of issues | Nominal amount (HK\$mil) | No. of issues | Nominal amount (HK\$mil) | No. of issues | Nominal amount (HK\$mil) |
| Hong Kong | 645 | 2,261,080.64 | 734 | 2,647,152.20 | 831 | 3,133,897.88 | 913 | 3,476,370.35 | 1034 | 3,878,256.22 |
| PRC | 90 | 330,698.02 | 129 | 465,699.20 | 186 | 741,778.73 | 257 | 961,935.70 | 330 | 1,147,535.80 |
| Foreign | 26 | 148,687.04 | 28 | 144,397.04 | 29 | 156,101.63 | 24 | 149,049.63 | 23 | 177,871.17 |
| Supranational | 1 | 1,406.10 | 1 | 1,406.10 | 1 | 1,414.20 | 1 | 1,349.88 | 1 | 1,320.84 |
| Total | 762 | 2,741,871.80 | 892 | 3,258,654.54 | 1047 | 4,033,192.43 | 1195 | 4,588,705.55 | 1,388 | 5,204,984.02 |

Newly listed unit trusts and mutual funds

| Code | Unit trust/mutual fund | Fund manager | Issue price (HK\$) | No. of unit offer | Amount raised (HK\$mil) | Subscription rate (times) | Listing date |
|-------------|--------------------------------------------------------------|----------------------------------------------------------------------------|--------------------|-------------------|-------------------------|---------------------------|--------------|
| 03096/09096 | CSOP US Dollar Money Market ETF | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2019/01/25 |
| 07500 | CSOP HSI Daily (-2x) Inverse Product | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2019/05/28 |
| 03011/09011 | ICBC CICC USD Money Market ETF | China International Capital Corporation Hong Kong Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2019/06/18 |
| 07588 | CSOP HSCEI Daily (-2x) Inverse Product | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2019/06/25 |
| 02804/09804 | Premia MSCI Vietnam ETF | Premia Partners Company Limited | n.a. | n.a. | n.a. | n.a. | 2019/07/18 |
| 03077/09077 | Premia US Treasury Floating Rate ETF | Premia Partners Company Limited | n.a. | n.a. | n.a. | n.a. | 2019/07/18 |
| 02820/09820 | Mirae Asset Horizons China Biotech ETF | Mirae Asset Global Investments (Hong Kong) Limited | n.a. | n.a. | n.a. | n.a. | 2019/07/25 |
| 02826/09826 | Mirae Asset Horizons China Cloud Computing ETF | Mirae Asset Global Investments (Hong Kong) Limited | n.a. | n.a. | n.a. | n.a. | 2019/07/25 |
| 07522 | ChinaAMC Direxion NASDAQ-100 Daily (-2x) Inverse Product | China Asset Management (Hong Kong) Limited | n.a. | n.a. | n.a. | n.a. | 2019/09/06 |
| 07568 | CSOP NASDAQ-100 Index Daily (-2x) Inverse Product | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2019/09/19 |
| 09078 | Premia US Treasury Floating Rate ETF-Accumulating Unit Class | Premia Partners Company Limited | n.a. | n.a. | n.a. | n.a. | 2019/09/27 |
| 03072/09072 | Nikko AM Global Internet ETF | Nikko Asset Management Hong Kong Limited | n.a. | n.a. | n.a. | n.a. | 2019/10/24 |
| 01503 | China Merchants Commercial Real Estate Investment Trust | China Merchants Land Asset Management Co., Ltd. | 3.42 | 750,000,000 | 2,565.00 | 1.43 | 2019/12/10 |

Withdrawal of listed unit trusts and mutual funds

| Code | Unit trust/mutual fund | Last trading date | Delisted date |
|-------------|-------------------------------------------------------------|-------------------|---------------|
| 03056 | Mirae Asset Horizons S&P Global Consumer Brands ETF | 2018/10/15 | 2019/01/07 |
| 03137/83137 | CSOP CES China A80 ETF | 2018/12/18 | 2019/05/31 |
| 02808/82808 | E Fund FTSE Chinese Government Bond 5-10 Years Index ETF | 2018/12/27 | 2019/05/31 |
| 07388 | CSOP HSCEI Daily (-1x) Inverse Product | 2019/06/24 | 2019/06/25 |
| 03116 | XIE Shares FTSE Gold Miners ETF | 2019/05/03 | 2019/07/05 |
| 03161 | XIE Shares FTSE Chimerica ETF | 2019/05/03 | 2019/07/05 |
| 07210 | XIE Shares Chimerica FTSE N Sh Daily (2x) Leveraged Product | 2019/05/03 | 2019/07/05 |
| 03135 | CSOP WTI Oil Annual Roll December Futures ER ETF | 2019/08/05 | 2019/10/08 |
| 03120/83120 | E Fund CES China 120 Index ETF | 2018/05/28 | 2019/11/04 |
| 03136/83136 | Harvest MSCI China A 50 Index ETF | 2019/06/28 | 2019/11/21 |
| 03156/83156 | GFI MSCI China A International ETF | 2018/09/26 | 2019/11/29 |
| 03150/83150 | Harvest CSI Smallcap 500 Index ETF | 2017/11/20 | 2019/12/09 |
| 03129/83129 | CSOP China CSI 300 Smart ETF | 2019/11/22 | 2019/12/27 |
| 03008/83008 | C-Shares CSI 300 Index ETF | 2018/01/15 | 2019/12/31 |

Individual performance on unit trusts and mutual funds as at the end of 2019

| Code | Name | Closing price [#] | | Change (%) | Turnover value (HK\$mil) * | Market value # (HK\$mil) |
|-------------------------------------|-----------------|----------------------------|-------------|------------|----------------------------|--------------------------|
| | | 2019 (HK\$) | 2018 (HK\$) | | | |
| Exchange Traded Funds (ETFs) | | | | | | |
| 03011 | A ICBCCCICUSD | 7,886.50 | - | - | 88.31 | 1,851.00 |
| 09011 | A ICBCCCICUSD-U | US\$ 1,012.95 | US\$ - | - | 45.85 | - |
| 02819 | ABF HK IDX ETF | 98.95 | 96.20 | 2.86 | 24.19 | 2,394.19 |
| 02821 | ABF PAIF | US\$ 119.40 | US\$ 113.70 | 5.01 | 1,536.27 | 28,703.74 |
| 02843 | AMUNDI A50 | 16.04 | 11.90 | 34.79 | 181.73 | 569.42 |
| 82843 | AMUNDI A50-R | RMB 14.38 | RMB 10.42 | 38.00 | 72.00 | - |
| 03012 | AMUNDI HK35 | 20.60 | 19.50 | 5.64 | 80.50 | 92.70 |
| 83012 | AMUNDI HK35-R | RMB 18.56 | RMB 17.08 | 8.67 | 83.31 | - |
| 03121 | BMO AP REAL EST | 11.26 | 10.10 | 11.49 | 26.32 | 25.90 |
| 03145 | BMO ASIA HIGHDV | 10.38 | 8.88 | 16.89 | 21.21 | 624.88 |
| 03141 | BMO ASIA IGB | 16.04 | 15.00 | 6.93 | 313.49 | 1,482.10 |
| 09141 | BMO ASIA IGB-U | US\$ 2.06 | US\$ - | - | 18.18 | - |
| 03165 | BMO EU QLTY HDG | 12.84 | 9.74 | 31.83 | 82.75 | 51.36 |
| 03143 | BMO HK BANKS | 8.33 | 8.02 | 3.87 | 139.88 | 28.32 |
| 03160 | BMO JAPAN HDG | 11.60 | 9.74 | 19.10 | 12.34 | 346.84 |
| 03086 | BMO NASDAQ100 | 17.60 | 12.90 | 36.43 | 71.49 | 70.40 |
| 09086 | BMO NASDAQ100-U | US\$ 2.27 | US\$ - | - | 1.81 | - |
| 02832 | BOSERA FA50 | 7.73 | 6.08 | 27.14 | 1.07 | 11.60 |
| 82832 | BOSERA FA50-R | RMB 6.95 | RMB 5.32 | 30.64 | 0.69 | - |
| 03180 | CAM CES A80 | 15.30 | 15.30 | - | - | 4.59 |
| 83180 | CAM CES A80-R | RMB 13.02 | RMB 13.02 | - | - | - |
| 03188 | CAM CSI300 | 46.40 | 35.25 | 31.63 | 207,711.10 | 19,216.56 |
| 83188 | CAM CSI300-R | RMB 41.55 | RMB 30.95 | 34.25 | 3,123.24 | - |
| 02813 | CAM CTPB BOND | 116.25 | 117.45 | -1.02 | 31.88 | 46.50 |
| 82813 | CAM CTPB BOND-R | RMB 103.75 | RMB 102.75 | 0.97 | 40.70 | - |
| 03197 | CAM MSCI AINC | 10.56 | 8.03 | 31.51 | 92.85 | 42.24 |
| 83197 | CAM MSCI AINC-R | RMB 9.55 | RMB 7.04 | 35.65 | 23.74 | - |
| 03157 | CAM SCSMALLCAP | 21.15 | 21.60 | -2.08 | 3.19 | 42.30 |
| 03079 | CICC CGB | 118.75 | 117.50 | 1.06 | 2.26 | 83.13 |
| 83079 | CICC CGB-R | RMB 106.40 | RMB 103.30 | 3.00 | 1.32 | - |
| 03186 | CICCKRANECNET | 65.20 | 51.35 | 26.97 | 34.21 | 48.90 |
| 83186 | CICCKRANECNET-R | RMB 58.45 | RMB 45.15 | 29.46 | 8.15 | - |
| 09186 | CICCKRANECNET-U | US\$ 8.40 | US\$ 6.55 | 28.24 | 3.37 | - |
| 03093 | CICCSELECT100 | 11.22 | 8.87 | 26.49 | 2.80 | 84.15 |
| 83093 | CICCSELECT100-R | RMB 10.06 | RMB 7.79 | 29.14 | 0.82 | - |
| 03171 | COMSTAGE DAX | 112.70 | 93.80 | 20.15 | 4.58 | 141.87 |
| 03177 | COMSTAGE DIVDAX | 157.00 | 131.90 | 19.03 | 6.75 | 84.20 |
| 02822 | CSOP A50 ETF | 15.22 | 11.46 | 32.81 | 175,525.46 | 17,343.19 |
| 82822 | CSOP A50 ETF-R | RMB 13.66 | RMB 10.08 | 35.52 | 4,263.67 | - |
| 03147 | CSOP CHINEXT | 7.07 | 5.09 | 38.90 | 1,810.53 | 481.47 |
| 83147 | CSOP CHINEXT-R | RMB 6.33 | RMB 4.46 | 41.93 | 80.36 | - |
| 03199 | CSOP CTPBBOND | 115.15 | 118.50 | -2.83 | 57.30 | 187.69 |
| 83199 | CSOP CTPBBOND-R | RMB 103.15 | RMB 104.20 | -1.01 | 44.54 | - |
| 09199 | CSOP CTPBBOND-U | US\$ 14.72 | US\$ 14.72 | - | 0.23 | - |
| 83053 | CSOP HKD MM | 1,023.35 | 1,006.40 | 1.68 | 1,725.15 | 2,575.77 |
| 83053 | CSOP HKD MM-R | RMB 919.50 | RMB 884.65 | 3.94 | 189.68 | - |
| 03149 | CSOP MSCI | 12.76 | 10.00 | 27.60 | 17.51 | 15.31 |
| 83149 | CSOP MSCI-R | RMB 11.40 | RMB 8.83 | 29.11 | 7.72 | - |
| 03122 | CSOP RMB MM | 167.80 | 172.05 | -2.47 | 2.87 | 47.82 |
| 83122 | CSOP RMB MM-R | RMB 150.55 | RMB 151.60 | -0.69 | 4.79 | - |
| 03096 | CSOP USD MM | 794.15 | - | - | 30.79 | 729.82 |
| 09096 | CSOP USD MM-U | US\$ 101.95 | US\$ - | - | 95.56 | - |
| 03100 | EFUND CSI100 | 47.40 | 36.50 | 29.86 | 74.63 | 47.40 |
| 83100 | EFUND CSI100-R | RMB 42.40 | RMB 32.10 | 32.09 | 34.75 | - |
| 03097 | F GX OIL | 4.91 | 3.95 | 24.30 | 8.86 | 35.60 |
| 03175 | F SAMSUNG OIL | 12.82 | 9.89 | 29.63 | 215.58 | 57.69 |
| 02820 | GX CN BIOTECH | 65.65 | - | - | 996.38 | 1,152.16 |
| 09820 | GX CN BIOTECH-U | US\$ 8.44 | US\$ - | - | 680.99 | - |
| 02826 | GX CN CLOUD | 64.65 | - | - | 560.86 | 497.81 |
| 09826 | GX CN CLOUD-U | US\$ 8.33 | US\$ - | - | 252.70 | - |
| 03127 | GX CSI300 | 15.78 | 11.76 | 34.18 | 3.39 | 126.24 |
| 83127 | GX CSI300-R | RMB 14.12 | RMB 10.32 | 36.82 | 2.70 | - |
| 03110 | GX HS HIGH DIV | 29.10 | 27.05 | 7.58 | 85.41 | 162.96 |
| 03040 | GX MSCI CHINA | 34.60 | 28.45 | 21.62 | 600.83 | 1,204.08 |
| 03118 | HGI MSCI CN A | 15.28 | 11.56 | 32.18 | 27.59 | 85.57 |
| 83118 | HGI MSCI CN A-R | RMB 13.82 | RMB 10.24 | 34.96 | 27.71 | - |
| 03128 | HS AINDTOPETF | 56.60 | 43.10 | 31.32 | 46.59 | 307.49 |
| 83128 | HS AINDTOPETF-R | RMB 50.45 | RMB 37.70 | 33.82 | 24.73 | - |
| 02838 | HS FCI50 ETF | 196.30 | 177.00 | 10.90 | 26.65 | 161.20 |
| 02833 | HS HSI ETF | 28.60 | 26.30 | 8.75 | 1,035.14 | 52,510.62 |
| 82833 | HS HSI ETF-R | RMB 25.75 | RMB 23.05 | 11.71 | 472.89 | - |
| 83168 | HS RMB GOLD ETF | RMB 26.70 | RMB 23.20 | 15.09 | 36.27 | 57.40 |
| 02828 | HSCEI ETF | 113.40 | 102.40 | 10.74 | 79,868.82 | 30,548.82 |
| 82828 | HSCEI ETF-R | RMB 101.60 | RMB 90.10 | 12.76 | 231.22 | - |
| 02811 | HT CSI300 ETF | 16.28 | 12.30 | 32.36 | 18.45 | 480.26 |
| 82811 | HT CSI300 ETF-R | RMB 14.58 | RMB 10.98 | 32.79 | 2.30 | - |
| 03167 | ICBCCSOPCHINA | 73.95 | 57.75 | 28.05 | 1,038.96 | 924.38 |
| 83167 | ICBCCSOPCHINA-R | RMB 66.20 | RMB 50.55 | 30.96 | 6.00 | - |
| 09167 | ICBCCSOPCHINA-U | US\$ 9.50 | US\$ 7.34 | 29.43 | 1.22 | - |
| 02823 | ISHARES A50 | 15.32 | 11.40 | 34.39 | 148,567.59 | 22,796.16 |
| 82823 | ISHARES A50-R | RMB 13.74 | RMB 10.00 | 37.40 | 399.08 | - |
| 03010 | ISHARES AXJ | 53.90 | 47.45 | 13.59 | 740.60 | 2,979.59 |
| 83010 | ISHARES AXJ-R | RMB 48.30 | RMB 41.50 | 16.39 | 283.89 | - |
| 09010 | ISHARES AXJ-U | US\$ 6.93 | US\$ 6.03 | 14.93 | 674.49 | - |
| 02801 | ISHARES CHINA | 27.10 | 22.60 | 19.91 | 1,395.32 | 6,048.68 |
| 02802 | ISHARES EM ASIA | 54.90 | 47.40 | 15.82 | 110.88 | 208.62 |
| 02836 | ISHARES INDIA | 26.35 | 23.85 | 10.48 | 317.18 | 859.01 |
| 82836 | ISHARES INDIA-R | RMB 23.60 | RMB 20.95 | 12.65 | 44.60 | - |
| 09836 | ISHARES INDIA-U | US\$ 3.39 | US\$ 3.03 | 11.88 | 9.83 | - |
| 02846 | ISHARESCSI300 | 29.30 | 22.30 | 31.39 | 155.51 | 227.08 |
| 82846 | ISHARESCSI300-R | RMB 26.30 | RMB 19.60 | 34.18 | 11.39 | - |
| 09846 | ISHARESCSI300-U | US\$ 3.77 | US\$ 2.83 | 33.22 | 11.26 | - |
| 03146 | ISHARESDAX | 101.50 | 85.70 | 18.44 | 53.54 | 22.33 |
| 83146 | ISHARESDAX-R | RMB 91.30 | RMB 75.00 | 21.73 | 20.34 | - |
| 09146 | ISHARESDAX-U | US\$ 12.98 | US\$ 10.90 | 19.08 | 10.43 | - |
| 03155 | ISHARESESS50 | 106.40 | 87.30 | 21.88 | 54.46 | 31.92 |
| 83155 | ISHARESESS50-R | RMB 95.45 | RMB 76.70 | 24.45 | 34.41 | - |

Individual performance on unit trusts and mutual funds as at the end of 2019

(continued)

| Code | Name | Closing price [#] | | Change (%) | Turnover value (HK\$mil) * | Market value [#] (HK\$mil) |
|-------|-----------------|----------------------------|-------------|------------|----------------------------|-------------------------------------|
| | | 2019 (HK\$) | 2018 (HK\$) | | | |
| 09155 | ISHARESE50-U | US\$ 13.56 | US\$ 11.20 | 21.07 | 13.14 | - |
| 02847 | ISHARESFS100 | 97.50 | 84.20 | 15.80 | 40.87 | 23.40 |
| 82847 | ISHARESFS100-R | RMB 87.80 | RMB 73.70 | 19.13 | 13.46 | - |
| 09847 | ISHARESFS100-U | US\$ 12.46 | US\$ 10.70 | 16.45 | 8.65 | - |
| 03115 | ISHARESHSI | 101.20 | 92.50 | 9.41 | 225.51 | 298.54 |
| 83115 | ISHARESHSI-R | RMB 90.80 | RMB 81.20 | 11.82 | 100.20 | - |
| 09115 | ISHARESHSI-U | US\$ 12.96 | US\$ 11.80 | 9.83 | 25.23 | - |
| 03170 | ISHARESKS200 | 98.10 | 92.30 | 6.28 | 38.19 | 41.20 |
| 83170 | ISHARESKS200-R | RMB 88.35 | RMB 81.30 | 8.67 | 23.27 | - |
| 09170 | ISHARESKS200-U | US\$ 12.66 | US\$ 11.90 | 6.39 | 7.21 | - |
| 03074 | ISHAREMSCITW | 125.60 | 96.30 | 30.43 | 64.67 | 504.91 |
| 83074 | ISHAREMSCITW-R | RMB 112.40 | RMB 84.30 | 33.33 | 25.21 | - |
| 09074 | ISHAREMSCITW-U | US\$ 15.96 | US\$ 12.20 | 30.82 | 376.30 | - |
| 02834 | ISHARESD100 | 162.90 | 119.60 | 36.20 | 94.10 | 101.00 |
| 82834 | ISHARESD100-R | RMB 146.40 | RMB 104.20 | 40.50 | 22.23 | - |
| 09834 | ISHARESD100-U | US\$ 21.00 | US\$ 15.10 | 39.07 | 20.54 | - |
| 02824 | LIPPO HK&M PROP | 88.85 | 69.10 | 28.58 | 9.54 | 157.71 |
| 03072 | NIKKOAM INET | 88.10 | - | - | 21.96 | 173.65 |
| 09072 | NIKKOAM INET-U | US\$ 11.34 | US\$ - | - | 15.07 | - |
| 03023 | PA AI&ROBOTICS | 90.40 | 72.70 | 24.35 | 6.59 | 35.26 |
| 03163 | PA MULTI-FACTOR | 33.75 | 28.65 | 17.80 | 0.20 | 37.13 |
| 03166 | PA QLT Y FACTOR | 35.40 | 28.95 | 22.28 | 0.87 | 31.86 |
| 03198 | PING AN 5HANDL | 86.75 | 77.60 | 11.79 | 1.80 | 39.04 |
| 03080 | PING AN CGB | 121.90 | 118.70 | 2.70 | 5.27 | 274.28 |
| 03070 | PING AN HKDIV | 28.85 | 27.55 | 4.72 | 25.54 | 862.33 |
| 02803 | PP BEDROCK | 7.39 | 6.26 | 18.05 | 146.44 | 539.47 |
| 09803 | PP BEDROCK-U | US\$ - | US\$ - | - | - | - |
| 03173 | PP CN NEWECON | 7.78 | 5.54 | 40.43 | 182.11 | 750.77 |
| 09173 | PP CN NEWECON-U | US\$ 1.00 | US\$ - | - | 0.22 | - |
| 03077 | PREMIA UST | 3,908.00 | - | - | 14.62 | 148.50 |
| 09078 | PREMIA UST A-U | US\$ 504.15 | US\$ - | - | 23.62 | 430.31 |
| 09077 | PREMIA UST-U | US\$ 501.95 | US\$ - | - | 151.60 | - |
| 02804 | PREMIA VIET | 75.95 | - | - | 19.99 | 189.88 |
| 09804 | PREMIA VIET-U | US\$ 9.76 | US\$ - | - | 32.47 | - |
| 03181 | PREMIAASIATEC | 84.05 | 60.75 | 38.35 | 18.47 | 54.63 |
| 09181 | PREMIAASIATEC-U | US\$ 10.80 | US\$ 7.76 | 39.18 | 13.90 | - |
| 02810 | PREMIAEMASEAN | 80.45 | 76.45 | 5.23 | 14.61 | 362.03 |
| 09810 | PREMIAEMASEAN-U | US\$ 10.30 | US\$ 9.76 | 5.53 | 7.67 | - |
| 02812 | SAMSUNGCDRAGON | 12.70 | 9.90 | 28.28 | 119.71 | 114.30 |
| 02840 | SPDR GOLD TRUST | 1,116.00 | 946.50 | 17.91 | 9,516.02 | 341,049.60 |
| 03073 | SPDR GREATCHINA | 44.20 | 37.70 | 17.24 | 24.19 | 848.64 |
| 02800 | TRACKER FUND | 28.45 | 26.05 | 9.21 | 423,891.56 | 88,735.34 |
| 03007 | TRFXIC50 | 314.60 | 276.00 | 13.99 | 18.41 | 1,022.21 |
| 03048 | TRMSCIBRAZIL | 44.65 | 36.15 | 23.51 | 14.29 | 61.47 |
| 03055 | TRMSCICHINA | 148.30 | 121.10 | 22.46 | 20.91 | 13.35 |
| 02848 | TRMSCIKOREA | 554.50 | 504.50 | 9.91 | 53.62 | 691.60 |
| 03082 | TRMSCIMALAY | 91.55 | 94.00 | -2.61 | 10.41 | 8.79 |
| 03043 | TRMSCIPACEJ | 50.50 | 42.95 | 17.58 | 4.20 | 24.24 |
| 03016 | TRMSCIPHIL | 14.90 | 13.80 | 7.97 | 15.13 | 11.85 |
| 03065 | TRMSCISG | 11.88 | 10.34 | 14.89 | 4.66 | 14.02 |
| 03036 | TRMSCITAIWAN | 271.20 | 202.40 | 33.99 | 14.46 | 996.19 |
| 03092 | TRMSCITHAI | 207.80 | 193.40 | 7.45 | 104.01 | 22.65 |
| 03095 | VALUE A SHARE | 7.60 | 6.33 | 20.06 | 29.21 | 102.60 |
| 83095 | VALUE A SHARE-R | RMB 6.80 | RMB 5.55 | 22.52 | 24.99 | - |
| 03046 | VALUE CHINA ETF | 39.00 | 36.85 | 5.83 | 80.41 | 156.00 |
| 03084 | VALUE JAPAN ETF | 13.64 | 12.68 | 7.57 | 5.89 | 52.51 |
| 03041 | VALUE KOREA ETF | 25.80 | 26.90 | -4.09 | 2.65 | 23.22 |
| 03060 | VALUE TW ETF | 45.95 | 39.95 | 15.02 | 7.21 | 34.46 |
| 03081 | VALUEGOLD ETF | 36.60 | 30.95 | 18.26 | 796.66 | 1,098.00 |
| 83081 | VALUEGOLD ETF-R | RMB 32.70 | RMB 27.15 | 20.44 | 11.10 | - |
| 09081 | VALUEGOLD ETF-U | US\$ 4.69 | US\$ 3.95 | 18.73 | 2.91 | - |
| 02805 | VANGUARDASXJP | 24.25 | 21.40 | 13.32 | 89.53 | 417.10 |
| 82805 | VANGUARDASXJP-R | RMB 21.80 | RMB 18.80 | 15.96 | 2.53 | - |
| 09805 | VANGUARDASXJP-U | US\$ 3.11 | US\$ 2.72 | 14.34 | 3.30 | - |
| 03085 | VANGUARDAXJHY | 22.40 | 20.15 | 11.17 | 65.67 | 322.56 |
| 83085 | VANGUARDAXJHY-R | RMB 20.00 | RMB 17.74 | 12.74 | 1.99 | - |
| 09085 | VANGUARDAXJHY-U | US\$ 2.86 | US\$ 2.57 | 11.28 | 13.82 | - |
| 03169 | VANGUARDCHINA | 9.47 | 7.65 | 23.79 | 88.13 | 194.14 |
| 83169 | VANGUARDCHINA-R | RMB 8.46 | RMB 6.64 | 27.41 | 7.24 | - |
| 09169 | VANGUARDCHINA-U | US\$ 1.21 | US\$ 0.97 | 24.74 | 4.56 | - |
| 03101 | VANGUARDDVEUR | 19.66 | 16.66 | 18.01 | 82.30 | 224.12 |
| 83101 | VANGUARDDVEUR-R | RMB 17.66 | RMB 14.56 | 21.29 | 5.49 | - |
| 09101 | VANGUARDDVEUR-U | US\$ 2.52 | US\$ 2.11 | 19.43 | 6.08 | - |
| 03126 | VANGUARDJAPAN | 26.25 | 22.75 | 15.38 | 39.22 | 107.63 |
| 83126 | VANGUARDJAPAN-R | RMB 23.70 | RMB 19.98 | 18.62 | 4.12 | - |
| 09126 | VANGUARDJAPAN-U | US\$ 3.40 | US\$ 2.90 | 17.24 | 2.42 | - |
| 03140 | VANGUARDSP500 | 23.20 | 17.96 | 29.18 | 395.50 | 1,032.40 |
| 83140 | VANGUARDSP500-R | RMB 20.80 | RMB 15.74 | 32.15 | 111.91 | - |
| 09140 | VANGUARDSP500-U | US\$ 2.98 | US\$ 2.29 | 30.13 | 52.43 | - |
| 02827 | WISE CSI300 ETF | 36.90 | 27.95 | 32.02 | 1,299.04 | 1,933.56 |
| 03182 | WISE NEW ECON50 | 11.18 | 8.85 | 26.33 | 0.83 | 17.44 |
| 03024 | WISE SSE50ETF | 27.60 | 21.35 | 29.27 | 19.43 | 77.28 |
| 02825 | WISECSIHK100ETF | 26.60 | 23.40 | 13.68 | 0.99 | 1,243.03 |
| 03049 | X TRCSI300 | 8.56 | 6.21 | 37.84 | 119.18 | 247.28 |
| 03087 | X TRFTSEVIET | 238.60 | 230.00 | 3.74 | 270.60 | 2,136.30 |
| 03099 | X TRMSCIINDO | 125.80 | 117.40 | 7.16 | 32.82 | 19.25 |
| 03027 | X TRMSCIRUSSIA | 27.50 | 19.58 | 40.45 | 35.84 | 111.50 |
| 03020 | X TRMSCIUSA | 692.00 | 527.50 | 31.18 | 37.12 | 22,970.62 |
| 03019 | X TRMSCIWORLD | 53.35 | 41.70 | 27.94 | 31.11 | 210.92 |
| 03015 | X TRNIFTY50 | 1,312.00 | 1,206.00 | 8.79 | 21.26 | 1,133.54 |

Individual performance on unit trusts and mutual funds as at the end of 2019

(continued)

| Code | Name | Closing price [#] | | Change (%) | Turnover value (HK\$mil) * | Market value # (HK\$mil) |
|-------------------------------------------------|------------------|----------------------------|-------------|------------|----------------------------|--------------------------|
| | | 2019 (HK\$) | 2018 (HK\$) | | | |
| Leveraged and Inverse Products (L&I) | | | | | | |
| 07300 | FI CSOP HSI | 5.20 | 5.93 | -12.31 | 36,659.30 | 1,593.28 |
| 07302 | FI EFUND YT HSI | 4.45 | 5.22 | -14.75 | 38.35 | 44.50 |
| 07362 | FI GX HSCEI | 5.66 | 6.64 | -14.76 | 9.39 | 34.53 |
| 07336 | FI GX HSI | 5.40 | 6.13 | -11.91 | 56.14 | 66.96 |
| 07588 | FI2 CSOP HSCEI | 5.22 | - | - | 450.14 | 100.22 |
| 07500 | FI2 CSOP HSI | 6.25 | - | - | 60,258.61 | 3,730.00 |
| 07522 | FI2CAMDXNNDQ100 | 9.37 | - | - | 446.54 | 93.70 |
| 07568 | FI2CSOPNASDAQ | 6.34 | - | - | 124.50 | 65.94 |
| 07341 | FICAMDXNHSCEI | 5.98 | 7.04 | -15.06 | 51.90 | 27.51 |
| 07321 | FICAMDXNHSI | 5.70 | 6.55 | -12.98 | 260.87 | 75.24 |
| 07331 | FICAMDXNNDQ100 | 7.70 | 10.98 | -29.87 | 854.54 | 237.93 |
| 07328 | FISAMSUNGHHSCEI | 4.61 | 5.30 | -13.02 | 76.54 | 78.83 |
| 07312 | FISAMSUNGHSI | 4.35 | 4.96 | -12.30 | 170.60 | 77.00 |
| 07288 | FL2 CSOP HSCEI | 8.57 | 7.09 | 20.87 | 2,504.97 | 191.97 |
| 07200 | FL2 CSOP HSI | 10.16 | 8.58 | 18.41 | 37,122.29 | 325.12 |
| 07230 | FL2 GX HSCEI | 8.85 | 7.35 | 20.41 | 43.43 | 35.40 |
| 07231 | FL2 GX HSI | 10.66 | 8.98 | 18.71 | 20.28 | 82.08 |
| 07267 | FL2CAMDXNHSCEI | 9.24 | 7.77 | 18.92 | 31.84 | 50.82 |
| 07221 | FL2CAMDXNHSI | 11.00 | 9.45 | 16.40 | 54.62 | 35.20 |
| 07261 | FL2CAMDXNNDQ100 | 40.10 | 22.90 | 75.11 | 94.69 | 80.20 |
| 07242 | FL2EFUND YT HSI | 6.21 | 5.33 | 16.51 | 17.05 | 64.58 |
| 07228 | FL2SAMSUNGHHSCEI | 7.20 | 5.96 | 20.81 | 368.23 | 216.00 |
| 07205 | FL2SAMSUNGHSI | 8.49 | 7.16 | 18.58 | 184.18 | 114.62 |
| 07322 | XI GX S&P500 | 9.52 | 12.58 | -24.32 | 368.65 | 121.38 |
| Real Estates Investment Trusts (REITs) | | | | | | |
| 02778 | CHAMPION REIT | 5.15 | 5.36 | -3.92 | 7,235.80 | 30,244.86 |
| 01503 | CMC REIT | 3.33 | - | - | 683.76 | 3,755.64 |
| 00778 | FORTUNE REIT | 9.09 | 8.99 | 1.11 | 7,664.63 | 17,612.26 |
| 87001 | HUI XIAN REIT | RMB 3.28 | RMB 3.19 | 2.82 | 2,754.39 | 21,229.49 |
| 00823 | LINK REIT | 82.50 | 79.30 | 4.04 | 122,183.48 | 172,105.18 |
| 01275 | NEW CENT REIT | 1.60 | 1.72 | -6.98 | 12.50 | 1,553.81 |
| 00808 | PROSPERITY REIT | 3.05 | 3.00 | 1.67 | 1,444.49 | 4,583.00 |
| 01881 | REGAL REIT | 2.02 | 2.23 | -9.42 | 625.18 | 6,580.01 |
| 00625 | RREEF CCT REIT | 4.35 | 4.35 | - | - | 2,019.10 |
| 01426 | SPRING REIT | 3.22 | 3.46 | -6.94 | 504.29 | 4,140.32 |
| 00435 | SUNLIGHT REIT | 5.04 | 5.02 | 0.40 | 1,610.22 | 8,348.20 |
| 00405 | YUEXIU REIT | 5.28 | 5.02 | 5.18 | 4,935.75 | 16,536.20 |
| Others | | | | | | |
| 00820 | HSBCDRAGON FUND | 10.94 | 8.10 | 35.06 | 101.89 | 752.14 |
| Total | | | | | 1,366,477.42 | 968,499.79 |

Notes: * Traded in foreign currency securities have been converted in HKD

Year end figures

n.a. Closing price is not available.

Market value for unit trusts and mutual funds, 2015-2019

| Year/ Month | Exchange Traded Funds (ETFs) | | Leveraged and Inverse Products (L&I Products) | | Real Estate Investment Trusts (REITs) | | Others | | Total | | |
|----------------|---------------------------------|--------------|--------------------------------------------------|--------------|------------------------------------------|--------------|---------------|--------------|---------------|--------------|------------|
| | No. of issues | Market value | No. of issues | Market value | No. of issues | Market value | No. of issues | Market value | No. of issues | Market value | |
| | | (HK\$mil) | | (HK\$mil) | | (HK\$mil) | | (HK\$mil) | | | |
| 2015 | 133 | 477,142.97 | - | - | 11 | 199,652.72 | 1 | 2,236.60 | 145 | 679,032.29 | |
| 2016 | 133 | 561,308.69 | 12 | 517.17 | 11 | 210,386.83 | 1 | 1,134.67 | 157 | 773,347.35 | |
| 2017 | 106 | 632,535.18 | 27 | 3,442.75 | 11 | 276,508.56 | 1 | 1,162.33 | 145 | 913,648.81 | |
| 2018 | 115 | 551,375.79 | 22 | 5,252.56 | 11 | 280,106.35 | 1 | 556.89 | 149 | 837,291.59 | |
| 2019 | 111 | 671,496.58 | 24 | 7,543.00 | 12 | 288,708.06 | 1 | 752.14 | 148 | 968,499.79 | |
| 2019 | Jan | 115 | 592,081.51 | 22 | 6,400.37 | 11 | 303,629.31 | 1 | 587.14 | 149 | 902,698.32 |
| | Feb | 115 | 613,836.03 | 22 | 6,901.00 | 11 | 312,218.32 | 1 | 651.76 | 149 | 933,607.12 |
| | Mar | 115 | 596,384.72 | 22 | 6,808.44 | 11 | 323,936.88 | 1 | 675.83 | 149 | 927,805.86 |
| | Apr | 115 | 593,113.14 | 22 | 6,970.67 | 11 | 321,114.35 | 1 | 688.89 | 149 | 921,887.06 |
| | May | 113 | 542,983.32 | 23 | 7,610.76 | 11 | 322,903.72 | 1 | 608.45 | 148 | 874,106.25 |
| | Jun | 114 | 582,193.93 | 23 | 7,296.53 | 11 | 331,090.25 | 1 | 639.39 | 149 | 921,220.10 |
| | Jul | 116 | 599,124.63 | 22 | 7,384.15 | 11 | 315,848.27 | 1 | 653.14 | 150 | 923,010.19 |
| | Aug | 116 | 612,080.24 | 22 | 6,641.72 | 11 | 296,667.40 | 1 | 639.39 | 150 | 916,028.75 |
| | Sep | 117 | 631,343.22 | 24 | 7,589.72 | 11 | 292,015.66 | 1 | 639.39 | 153 | 931,587.98 |
| | Oct | 117 | 654,453.93 | 24 | 7,949.14 | 11 | 292,215.42 | 1 | 661.39 | 153 | 955,279.88 |
| | Nov | 114 | 640,888.92 | 24 | 8,029.14 | 11 | 278,994.28 | 1 | 655.20 | 150 | 928,567.55 |
| | Dec | 111 | 671,496.58 | 24 | 7,543.00 | 12 | 288,708.06 | 1 | 752.14 | 148 | 968,499.79 |

Trading value for unit trusts and mutual funds, 2015-2019

| Year/ Month | No. of trading days | Exchange Traded Funds (ETFs) | | Leveraged and Inverse Products (L&I products) | | Real Estate Investment Trusts (REITs) | | Others | | Total | | |
|----------------|---------------------------|---------------------------------|------------------|-----------------------------------------------------|------------------|---------------------------------------------|------------------|-------------------|------------------|-------------------|------------------|----------|
| | | Turnover value | Average daily | Turnover value | Average daily | Turnover value | Average daily | Turnover value | Average daily | Turnover value | Average daily | |
| | | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | (HK\$mil) | |
| 2015 | 247 | 2,170,959.42 | 8,789.31 | - | - | 82,027.60 | 332.10 | 1,103.51 | 4.47 | 2,254,090.52 | 9,125.87 | |
| 2016 | 245 | 1,011,412.89 | 4,128.22 | 505.32 | 2.06 | 91,086.25 | 371.78 | 109.31 | 0.45 | 1,103,113.77 | 4,502.51 | |
| 2017 | 246 | 1,065,380.88 | 4,330.82 | 94,095.00 | 382.50 | 89,410.35 | 363.46 | 277.34 | 1.13 | 1,249,163.57 | 5,077.90 | |
| 2018 | 246 | 987,251.32 | 4,013.22 | 109,851.98 | 446.55 | 112,576.01 | 457.63 | 253.62 | 1.03 | 1,209,932.94 | 4,918.43 | |
| 2019 | 246 | 1,076,531.15 | 4,376.14 | 141,423.64 | 574.89 | 149,654.52 | 608.35 | 101.89 | 0.41 | 1,367,711.21 | 5,559.80 | |
| 2019 | Jan | 22 | 61,936.04 | 2,815.27 | 8,019.41 | 364.52 | 12,014.44 | 546.11 | 5.86 | 0.27 | 81,975.76 | 3,726.17 |
| | Feb | 17 | 82,122.28 | 4,830.72 | 4,482.78 | 263.69 | 10,248.84 | 602.87 | 6.64 | 0.39 | 96,860.54 | 5,697.68 |
| | Mar | 21 | 116,923.52 | 5,567.79 | 5,317.72 | 253.22 | 11,704.42 | 557.35 | 6.41 | 0.31 | 133,952.07 | 6,378.67 |
| | Apr | 19 | 102,432.46 | 5,391.18 | 6,687.37 | 351.97 | 8,761.67 | 461.14 | 15.61 | 0.82 | 117,897.12 | 6,205.11 |
| | May | 21 | 129,164.12 | 6,150.67 | 9,322.09 | 443.91 | 11,952.97 | 569.19 | 4.18 | 0.20 | 150,443.36 | 7,163.97 |
| | Jun | 19 | 88,591.25 | 4,662.70 | 11,250.61 | 592.14 | 10,383.43 | 546.50 | 3.57 | 0.19 | 110,228.86 | 5,801.52 |
| | Jul | 22 | 86,530.32 | 3,933.20 | 10,012.35 | 455.11 | 11,716.94 | 532.59 | 10.36 | 0.47 | 108,269.98 | 4,921.36 |
| | Aug | 22 | 104,892.93 | 4,767.86 | 24,159.45 | 1,098.16 | 18,938.29 | 860.83 | 4.45 | 0.20 | 147,995.12 | 6,727.05 |
| | Sep | 21 | 79,210.54 | 3,771.93 | 16,538.60 | 787.55 | 14,497.78 | 690.37 | 6.47 | 0.31 | 110,253.39 | 5,250.16 |
| | Oct | 21 | 73,963.56 | 3,522.07 | 17,948.97 | 854.71 | 15,121.17 | 720.06 | 19.42 | 0.92 | 107,053.12 | 5,097.77 |
| | Nov | 21 | 78,644.89 | 3,744.99 | 17,070.50 | 812.88 | 12,686.27 | 604.11 | 4.04 | 0.19 | 108,405.70 | 5,162.18 |
| | Dec | 20 | 72,119.22 | 3,605.96 | 10,613.79 | 530.69 | 11,628.30 | 581.41 | 14.89 | 0.74 | 94,376.20 | 4,718.81 |

Notes: Trading in foreign currency unit trusts have been converted in HKD

Trading value and volume for NASDAQ stocks, 2015-2019

| Year/month | No. of issues | No. of trading days | Value (HK\$) | | Volume (shares) | | Deals | | |
|------------|---------------|---------------------|--------------|---------------|-----------------|---------------|-------|---------------|----|
| | | | Total | Average daily | Total | Average daily | Total | Average daily | |
| | | | 2015 | 6 | 247 | 695,040 | 2,814 | 4,190 | 17 |
| 2016 | 6 | 245 | 466,880 | 1,906 | 4,120 | 17 | 21 | 0 | |
| 2017 | 6 | 246 | 214,950 | 874 | 970 | 4 | 10 | 0 | |
| 2018 | 6 | 246 | 4,809,098 | 19,549 | 14,590 | 59 | 34 | 0 | |
| 2019 | 6 | 246 | 2,979,800 | 12,113 | 7,630 | 31 | 8 | 0 | |
| 2019 | Jan | 6 | 22 | - | - | - | - | - | - |
| | Feb | 6 | 17 | - | - | - | - | - | - |
| | Mar | 6 | 21 | 2,872,000 | 136,762 | 7,350 | 350 | 5 | 0 |
| | Apr | 6 | 19 | 40,000 | 2,105 | 100 | 5 | 1 | 0 |
| | May | 6 | 21 | 27,800 | 1,324 | 100 | 5 | 1 | 0 |
| | Jun | 6 | 19 | - | - | - | - | - | - |
| | Jul | 6 | 22 | - | - | - | - | - | - |
| | Aug | 6 | 22 | - | - | - | - | - | - |
| | Sep | 6 | 21 | - | - | - | - | - | - |
| | Oct | 6 | 21 | - | - | - | - | - | - |
| | Nov | 6 | 21 | 40,000 | 1,905 | 80 | 4 | 1 | 0 |
| | Dec | 6 | 20 | - | - | - | - | - | - |

Trading value and volume for iShares, 2015-2019

| Year/month | No. of issues | No. of trading days | Value (HK\$) | | Volume (shares) | | Deals | | |
|------------|---------------|---------------------|--------------|---------------|-----------------|---------------|-------|---------------|---|
| | | | Total | Average daily | Total | Average daily | Total | Average daily | |
| | | | 2015 | 2 | 247 | 93,436 | 378 | 1,100 | 4 |
| 2016 | 2 | 245 | - | - | - | - | - | - | |
| 2017 | 2 | 230 | 365,865 | 1,591 | 850 | 4 | 10 | 0 | |
| 2018 | - | - | - | - | - | - | - | - | |
| 2019 | - | - | - | - | - | - | - | - | |
| 2019 | Jan | - | - | - | - | - | - | - | - |
| | Feb | - | - | - | - | - | - | - | - |
| | Mar | - | - | - | - | - | - | - | - |
| | Apr | - | - | - | - | - | - | - | - |
| | May | - | - | - | - | - | - | - | - |
| | Jun | - | - | - | - | - | - | - | - |
| | Jul | - | - | - | - | - | - | - | - |
| | Aug | - | - | - | - | - | - | - | - |
| | Sep | - | - | - | - | - | - | - | - |
| | Oct | - | - | - | - | - | - | - | - |
| | Nov | - | - | - | - | - | - | - | - |
| | Dec | - | - | - | - | - | - | - | - |

Note: The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Turnover value on RMB listed securities products by type, 2015-2019

| | Equities | ETFs | | | | | | | | | | | Total | | |
|------|----------|-------|-----------|-------------|-----------|------------|-----------|--------------|-----------|----------|-----------------|---------------------|---------------|----------------------|----------|
| | | Gold | | Stock Index | | Bond Index | | Money Market | | REITs | Debt securities | Derivative warrants | (in RMB mil.) | (in HKD mil. Equiv.) | |
| | | RQFII | Non-RQFII | RQFII | Non-RQFII | RQFII | Non-RQFII | RQFII | Non-RQFII | | | | | | |
| 2015 | 100.02 | - | 9.98 | 32,940.15 | 32.26 | 859.49 | 23.20 | - | - | 1,945.09 | 15.10 | 4.37 | 35,929.65 | 44,049.72 | |
| 2016 | 59.07 | - | 18.93 | 8,664.35 | 309.43 | 138.22 | 8.72 | - | - | 2,331.38 | 352.89 | - | 11,883.00 | 13,795.04 | |
| 2017 | 51.95 | - | 10.11 | 5,388.46 | 751.17 | 140.87 | - | - | - | 2,751.78 | 2,565.73 | - | 11,660.07 | 13,279.43 | |
| 2018 | 245.95 | - | 10.52 | 6,505.62 | 1,411.14 | 52.85 | 62.91 | - | 48.55 | 2,867.85 | 617.04 | - | 11,822.43 | 13,860.02 | |
| 2019 | 67.52 | - | 42.66 | 7,185.93 | 1,337.02 | 43.48 | 37.49 | 0.34 | 167.80 | 2,452.28 | 352.71 | - | 11,687.23 | 13,158.92 | |
| 2019 | Jan | 7.32 | - | 1.08 | 431.71 | 144.75 | 5.00 | 0.23 | - | 7.81 | 181.83 | 18.89 | - | 798.60 | 911.96 |
| | Feb | 5.48 | - | 1.22 | 530.63 | 90.19 | 2.54 | 2.94 | - | - | 235.63 | 39.51 | - | 908.14 | 1,045.65 |
| | Mar | 2.45 | - | 0.85 | 796.56 | 207.15 | 5.25 | 1.45 | - | 59.52 | 240.56 | 19.14 | - | 1,332.94 | 1,542.85 |
| | Apr | 1.57 | - | 0.11 | 836.32 | 96.20 | 7.66 | 1.60 | - | 3.46 | 152.84 | 12.26 | - | 1,112.02 | 1,284.51 |
| | May | 2.76 | - | 0.11 | 1,032.37 | 126.21 | 0.72 | 1.03 | - | 17.64 | 228.62 | 96.26 | - | 1,505.72 | 1,710.52 |
| | Jun | 32.98 | - | 1.85 | 614.02 | 87.57 | 1.38 | 6.00 | - | 5.38 | 212.25 | 48.69 | - | 1,010.11 | 1,133.11 |
| | Jul | 2.66 | - | 10.87 | 476.97 | 139.69 | 4.06 | 15.81 | - | 13.40 | 205.30 | 1.00 | - | 869.76 | 977.15 |
| | Aug | 3.04 | - | 11.58 | 709.07 | 68.77 | 1.85 | 2.39 | - | 10.08 | 331.79 | 1.01 | - | 1,139.58 | 1,257.50 |
| | Sep | 1.78 | - | 1.97 | 556.21 | 61.79 | 1.94 | 0.90 | - | - | 145.86 | 12.27 | - | 782.71 | 850.61 |
| | Oct | 2.68 | - | 7.27 | 418.74 | 141.47 | 6.67 | 4.71 | 0.05 | 18.45 | 196.44 | 10.20 | - | 806.68 | 880.31 |
| | Nov | 3.08 | - | 5.16 | 462.53 | 105.89 | 3.40 | 0.26 | 0.25 | 23.78 | 120.70 | 85.04 | - | 810.08 | 892.74 |
| | Dec | 1.72 | - | 0.59 | 320.81 | 67.35 | 3.02 | 0.16 | 0.04 | 8.28 | 200.46 | 8.45 | - | 610.87 | 671.99 |

RMB-denominated ETFs tracking A-share indices issued under RMB Qualified Foreign Institutional Investors (RQFII) quota (RQFII A-share ETFs)

List of listed RMB securities products

End of 2019

| Code | Securities | Type | Listing date | First trading date |
|-------|------------------------------------------------------------------|-----------------|--------------|--------------------|
| 86001 | Asian Development Bank 2.85% CNY Notes 2020 | Debt securities | 2010/10/22 | 2010/10/22 |
| 87001 | Hui Xian Real Estate Investment Trust | REIT | 2011/04/29 | 2011/04/29 |
| 83168 | Hang Seng RMB Gold ETF | ETF | 2012/02/14 | 2012/02/14 |
| 86604 | Ministry of Finance of the PRC 3.1% CNY Bonds 2022 | Debt securities | 2012/07/03 | 2012/07/03 |
| 86605 | Ministry of Finance of the PRC 3.48% CNY Bonds 2027 | Debt securities | 2012/07/03 | 2012/07/03 |
| 83188 | *# ChinaAMC CSI 300 Index ETF | ETF | 2012/07/17 | 2012/07/17 |
| 85904 | China Development Bank 4.20% CNY Bonds 2027 | Debt securities | 2012/08/03 | 2012/08/03 |
| 85905 | China Development Bank 4.30% CNY Bonds 2032 | Debt securities | 2012/08/03 | 2012/08/03 |
| 83100 | *# E Fund CSI 100 A-Share Index ETF | ETF | 2012/08/27 | 2012/08/27 |
| 82822 | *# CSOP FTSE China A50 ETF | ETF | 2012/08/28 | 2012/08/28 |
| 83118 | *# Harvest MSCI China A Index ETF | ETF | 2012/10/12 | 2012/10/12 |
| 80737 | *^ Shenzhen Investment Holdings Bay Area Development Co. Ltd. | Equity | 2003/08/06 | 2012/10/29 |
| 85912 | Oversea-Chinese Banking Corporation Ltd. 3.5% CNY Notes 2020 | Debt securities | 2013/02/06 | 2013/02/06 |
| 86609 | Ministry of Finance of the PRC 3.09% CNY Bonds 2020 | Debt securities | 2013/06/28 | 2013/06/28 |
| 86610 | Ministry of Finance of the PRC 3.16% CNY Bonds 2023 | Debt securities | 2013/06/28 | 2013/06/28 |
| 86611 | Ministry of Finance of the PRC 3.60% CNY Bonds 2028 | Debt securities | 2013/06/28 | 2013/06/28 |
| 86612 | Ministry of Finance of the PRC 3.95% CNY Bonds 2043 | Debt securities | 2013/06/28 | 2013/06/28 |
| 85928 | Swire Pacific MTN Financing Ltd. 3.9% Guar. CNY Notes 2023 | Debt securities | 2013/07/23 | 2013/07/23 |
| 85930 | Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Aug 2023 | Debt securities | 2013/08/22 | 2013/08/22 |
| 83180 | *# ChinaAMC CES China A80 Index ETF | ETF | 2013/08/26 | 2013/08/26 |
| 85931 | Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Sep 2023 | Debt securities | 2013/09/10 | 2013/09/10 |
| 85934 | China Development Bank 4.5% CNY Notes 2028 | Debt securities | 2013/11/14 | 2013/11/14 |
| 83128 | *# Hang Seng China A Industry Top Index ETF | ETF | 2013/11/26 | 2013/11/26 |
| 83081 | ** Value Gold ETF | ETF | 2010/11/03 | 2013/11/29 |
| 82832 | *# Boser FTSE China A50 Index ETF | ETF | 2013/12/09 | 2013/12/09 |
| 83199 | **# CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF | ETF | 2014/02/19 | 2014/02/19 |
| 82811 | *# Haitong CSI300 Index ETF | ETF | 2014/03/07 | 2014/03/07 |
| 85955 | ICBC, Sydney Branch 4.2% CNY Notes 2024 | Debt securities | 2014/03/20 | 2014/03/20 |
| 85964 | The Export-Import Bank of China 4.40% CNY Bonds 2024 | Debt securities | 2014/05/15 | 2014/05/15 |
| 86618 | Ministry of Finance of the PRC 3.80% CNY Bonds 2021 | Debt securities | 2014/05/23 | 2014/05/23 |
| 86619 | Ministry of Finance of the PRC 4.00% CNY Bonds 2024 | Debt securities | 2014/05/23 | 2014/05/23 |
| 86620 | Ministry of Finance of the PRC 4.29% CNY Bonds 2029 | Debt securities | 2014/05/23 | 2014/05/23 |
| 86621 | Ministry of Finance of the PRC 4.50% CNY Bonds 2034 | Debt securities | 2014/05/23 | 2014/05/23 |
| 85989 | China Development Bank 4.35% CNY Notes 2024 | Debt securities | 2014/08/07 | 2014/08/07 |
| 85990 | Sinochem Offshore Cap Co. Ltd. 4.00% Senior Guar. CNY N2021 | Debt securities | 2014/09/11 | 2014/09/11 |
| 85993 | Charter Style International Ltd. 5.80% Guar. CNY Bonds 2024 | Debt securities | 2014/09/16 | 2014/09/16 |
| 83127 | *# Global X CSI 300 ETF | ETF | 2014/09/26 | 2014/09/26 |
| 85700 | China Construction Bank (Asia) Corp Ltd. 3.95% CNY Notes 2021 | Debt securities | 2014/11/19 | 2014/11/19 |
| 85701 | China Construction Bank (Asia) Corp Ltd. 4.08% CNY Notes 2024 | Debt securities | 2014/11/19 | 2014/11/19 |
| 86624 | Ministry of Finance of the PRC 3.38% CNY Bonds 2024 | Debt securities | 2014/11/24 | 2014/11/24 |
| 83122 | *# CSOP RMB Money Market ETF | ETF | 2015/01/20 | 2015/01/20 |
| 83095 | *# Value China A-Share ETF | ETF | 2015/03/24 | 2015/03/24 |
| 83147 | *# CSOP SZSE ChiNext ETF | ETF | 2015/05/15 | 2015/05/15 |
| 86627 | Ministry of Finance of the PRC 3.00% CNY Bonds 2020 | Debt securities | 2015/05/22 | 2015/05/22 |
| 86628 | Ministry of Finance of the PRC 3.36% CNY Bonds 2022 | Debt securities | 2015/05/22 | 2015/05/22 |
| 86629 | Ministry of Finance of the PRC 3.39% CNY Bonds 2025 | Debt securities | 2015/05/22 | 2015/05/22 |
| 86630 | Ministry of Finance of the PRC 3.60% CNY Bonds 2030 | Debt securities | 2015/05/22 | 2015/05/22 |
| 86631 | Ministry of Finance of the PRC 4.10% CNY Bonds 2045 | Debt securities | 2015/05/22 | 2015/05/22 |
| 85717 | Lenovo Group Ltd. 4.95% CNY Notes 2020 | Debt securities | 2015/06/10 | 2015/06/10 |
| 82828 | * Hang Seng China Enterprises Index ETF | ETF | 2003/12/10 | 2015/09/04 |
| 82833 | * Hang Seng Index ETF | ETF | 2004/09/21 | 2015/09/04 |
| 83149 | *# CSOP MSCI China A Inclusion Index ETF | ETF | 2015/11/26 | 2015/11/26 |
| 86634 | Ministry of Finance of the PRC 3.31% CNY Bonds 2025 | Debt securities | 2015/12/01 | 2015/12/01 |
| 86633 | Ministry of Finance of the PRC 3.40% CNY Bonds 2020 | Debt securities | 2015/12/01 | 2015/12/01 |
| 86635 | Ministry of Finance of the PRC 4.00% CNY Bonds 2035 | Debt securities | 2015/12/01 | 2015/12/01 |
| 85723 | Charming Light Investments Ltd. 5.50% CNY Notes 2025 | Debt securities | 2015/12/30 | 2015/12/30 |
| 83012 | * AMUNDI Hang Seng HK 35 Index ETF | ETF | 2016/04/12 | 2016/04/12 |
| 83170 | ** iShares Core KOSPI 200 Index ETF | ETF | 2016/06/29 | 2016/06/29 |
| 83074 | ** iShares Core MSCI Taiwan Index ETF | ETF | 2016/06/29 | 2016/06/29 |
| 83146 | ** iShares DAX Index ETF | ETF | 2016/06/29 | 2016/06/29 |
| 83155 | ** iShares EURO STOXX 50 Index ETF | ETF | 2016/06/29 | 2016/06/29 |
| 82847 | ** iShares FTSE 100 Index ETF | ETF | 2016/06/29 | 2016/06/29 |
| 82834 | ** iShares NASDAQ 100 Index ETF | ETF | 2016/06/29 | 2016/06/29 |
| 86638 | Ministry of Finance of the PRC 3.25% CNY Bonds 2021 | Debt securities | 2016/07/05 | 2016/07/05 |
| 86639 | Ministry of Finance of the PRC 3.30% CNY Bonds 2023 | Debt securities | 2016/07/05 | 2016/07/05 |
| 86640 | Ministry of Finance of the PRC 3.38% CNY Bonds 2026 | Debt securities | 2016/07/05 | 2016/07/05 |
| 86641 | Ministry of Finance of the PRC 3.90% CNY Bonds 2036 | Debt securities | 2016/07/05 | 2016/07/05 |
| 83010 | ** iShares Core MSCI AC Asia ex Japan Index ETF | ETF | 2009/04/23 | 2016/10/14 |
| 82836 | ** iShares Core S&P BSE SENSEX India Index ETF | ETF | 2006/11/02 | 2016/10/14 |
| 82843 | *# AMUNDI FTSE China A50 Index ETF | ETF | 2016/10/31 | 2016/10/31 |
| 83115 | ** iShares Core Hang Seng Index ETF | ETF | 2016/11/23 | 2016/11/23 |
| 86643 | Ministry of Finance of the PRC 3.55% CNY Bonds 2021 | Debt securities | 2016/12/13 | 2016/12/13 |
| 86644 | Ministry of Finance of the PRC 3.85% CNY Bonds 2026 | Debt securities | 2016/12/13 | 2016/12/13 |
| 86645 | Ministry of Finance of the PRC 4.15% CNY Bonds 2031 | Debt securities | 2016/12/13 | 2016/12/13 |
| 86646 | Ministry of Finance of the PRC 4.40% CNY Bonds 2046 | Debt securities | 2016/12/13 | 2016/12/13 |
| 82846 | ** iShares Core CSI 300 Index ETF | ETF | 2009/11/18 | 2017/02/28 |
| 85726 | Bank of China Ltd., Johannesburg Branch 4.88% CNY Notes 2020 | Debt securities | 2017/04/21 | 2017/04/21 |
| 86648 | Ministry of Finance of the PRC 3.99% CNY Bonds 2020 | Debt securities | 2017/06/27 | 2017/06/27 |
| 86649 | Ministry of Finance of the PRC 4.10% CNY Bonds 2022 | Debt securities | 2017/06/27 | 2017/06/27 |
| 82823 | *# iShares FTSE A50 China Index ETF | ETF | 2004/11/18 | 2017/07/07 |
| 85727 | Hong Kong Mortgage Corporation Ltd. 4.05% CNY Notes 2020, 2020 | Debt securities | 2017/11/07 | 2017/11/07 |
| 86651 | Ministry of Finance of the PRC 4.10% CNY Bonds 2022 | Debt securities | 2017/12/05 | 2017/12/05 |
| 86652 | Ministry of Finance of the PRC 4.15% CNY Bonds 2027 | Debt securities | 2017/12/05 | 2017/12/05 |
| 83197 | *# ChinaAMC MSCI China A Inclusion Index ETF | ETF | 2018/02/07 | 2018/02/07 |
| 85728 | Sinochem Offshore Cap Co Ltd 4.40% Senior Guar CNY N2021 | Debt securities | 2018/02/15 | 2018/02/15 |
| 85729 | Far East Horizon Ltd. 4.90% CNY Notes 2021 | Debt securities | 2018/02/28 | 2018/02/28 |
| 85731 | Bank of China Ltd., Macau Branch 4.65% CNY Notes 2021B | Debt securities | 2018/03/06 | 2018/03/06 |

List of listed RMB securities products (continued)

End of 2019

| Code | Securities | Type | Listing date | First trading date |
|-----------|---------------------------------------------------------------|-----------------|--------------|--------------------|
| 85732 | Franshion Brilliant Ltd. 5.20% Guar. Senior CNY Notes 2021 | Debt securities | 2018/03/09 | 2018/03/09 |
| 85733 | Greenland Global Investment Ltd. 7.125% CNY Notes 2021 | Debt securities | 2018/03/21 | 2018/03/21 |
| 85734 | Beijing Capital Polaris Invest Co. Ltd. 5.20% Guar CNY N2020 | Debt securities | 2018/03/27 | 2018/03/27 |
| 83167 **# | ICBC CSOP S&P New China Sectors ETF | ETF | 2016/12/08 | 2018/04/03 |
| 85735 | CAR Inc. 6.50% Senior CNY Notes 2021 | Debt securities | 2018/04/06 | 2018/04/06 |
| 83085 ** | Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF | ETF | 2014/06/13 | 2018/04/09 |
| 82805 ** | Vanguard FTSE Asia ex Japan Index ETF | ETF | 2013/05/15 | 2018/04/09 |
| 83101 ** | Vanguard FTSE Developed Europe Index ETF | ETF | 2014/06/13 | 2018/04/09 |
| 83126 ** | Vanguard FTSE Japan Index ETF | ETF | 2014/06/13 | 2018/04/09 |
| 83140 ** | Vanguard S&P 500 Index ETF | ETF | 2015/05/21 | 2018/04/09 |
| 83186 ** | CICC KraneShares CSI China Internet Index ETF | ETF | 2018/04/10 | 2018/04/10 |
| 83169 ** | Vanguard Total China Index ETF | ETF | 2018/05/10 | 2018/05/10 |
| 82813 * | ChinaAMC Bloomberg Barclays ChinaTreasury+Policy Bk B Idx ETF | ETF | 2018/06/06 | 2018/06/06 |
| 86653 | Ministry of Finance of the PRC 3.65% CNY Bonds 2020 | Debt securities | 2018/07/10 | 2018/07/10 |
| 86654 | Ministry of Finance of the PRC 3.80% CNY Bonds 2023B | Debt securities | 2018/07/10 | 2018/07/10 |
| 83053 * | CSOP Hong Kong Dollar Money Market ETF | ETF | 2018/07/18 | 2018/07/18 |
| 85736 | CIFI Holdings (Group) Co. Ltd. 7.75% Senior CNY Notes 2020 | Debt securities | 2018/09/21 | 2018/09/21 |
| 85737 | Agricultural Development Bank of China 4.16% CNY Bonds 2020 | Debt securities | 2018/09/28 | 2018/09/28 |
| 85738 | Sinopec Century Bright Capital Invest 4.50% CNY Notes 2021 | Debt securities | 2018/11/01 | 2018/11/01 |
| 85739 | Bank of China Ltd., Hong Kong Branch 4.45% CNY Notes 2021 | Debt securities | 2018/11/21 | 2018/11/21 |
| 83093 ** | CICC CSI Select 100 ETF | ETF | 2018/12/05 | 2018/12/05 |
| 85740 | Huzhou Communications Invest Group Co., Ltd. 5.90% CNY N2021 | Debt securities | 2018/12/11 | 2018/12/11 |
| 83079 * | CICC Bloomberg Barclays China Treasury 1-10 Years ETF | ETF | 2018/12/12 | 2018/12/12 |
| 85741 | Bank of Communications Co., Ltd. HK Branch 3.40% CNY N2021 | Debt securities | 2019/03/22 | 2019/03/22 |
| 85742 | Bank of China Ltd., Macau Branch 3.10% CNY Notes 2020 C | Debt securities | 2019/04/18 | 2019/04/18 |
| 85743 | Bank of China Ltd., Macau Branch 3.30% CNY Notes 2022 E | Debt securities | 2019/04/18 | 2019/04/18 |
| 85744 | Agricultural Development Bank of China 3.08% FR CNY B2020 | Debt securities | 2019/05/30 | 2019/05/30 |
| 85901 | Agricultural Development Bank of China 3.23% CNY Bonds 2022 | Debt securities | 2019/05/30 | 2019/05/30 |
| 86655 | Ministry of Finance of the PRC 2.95% CNY Bonds 2021 | Debt securities | 2019/06/25 | 2019/06/25 |
| 86656 | Ministry of Finance of the PRC 3.03% CNY Bonds 2024 | Debt securities | 2019/06/25 | 2019/06/25 |
| 85902 | CIFI Holdings (Group) Co. Ltd. 6.70% Senior Notes 2022 | Debt securities | 2019/07/24 | 2019/07/24 |
| 85906 | ICBC, HK Branch 3.10% CNY Notes 2020 | Debt securities | 2019/09/17 | 2019/09/17 |
| 85907 | Bank of China Ltd., Macau Branch 3.15% CNY Notes 2021 | Debt securities | 2019/10/17 | 2019/10/17 |
| 85908 | CNI Capital Ltd. 3.97% Guaranteed CNY Bonds 2022 | Debt securities | 2019/11/04 | 2019/11/04 |
| 85910 | Agricultural Development Bank of China 3.18% CNY Bonds 2022 | Debt securities | 2019/11/07 | 2019/11/07 |
| 85909 | Agricultural Development Bank of China 3.40% CNY Bonds 2024 | Debt securities | 2019/11/07 | 2019/11/07 |
| 85911 | Zhenro Properties Group Ltd. 8.00% Senior CNY Notes 2020 | Debt securities | 2019/11/19 | 2019/11/19 |
| 85913 | Eastern Creation II Inv. Holdings Ltd. 3.40% Guar. CNY N2022 | Debt securities | 2019/11/27 | 2019/11/27 |
| 85915 | Zhuhai Da Heng Qin Group Co., Ltd. 4.60% CNY Bonds 2021 | Debt securities | 2019/11/28 | 2019/11/28 |

* Securities traded in multiple counters for RMB and HKD

** Securities traded in multiple counters for RMB, HKD and USD

RQFII A-share ETF

^ First listed on 6 August 2003 and traded in HKD on counter 737

Trading value and number of deal, 2015-2019

| Year/Month | | No. of trading day | Southbound | | | | | | | |
|------------|-----|--------------------|----------------|---------------|----------------|---------------|-----------------|---------------|------------|---------------|
| | | | Trading Value | | | | Number of deals | | | |
| | | | Buy | | Sell | | Buy | | Sell | |
| | | | Total (HK mil) | Average daily | Total (HK mil) | Average daily | Total | Average daily | Total | Average daily |
| 2015 | | 229 | 452,438.92 | 1,975.72 | 325,261.90 | 1,420.36 | 6,850,305 | 29,914 | 4,840,021 | 21,135 |
| 2016 | | 228 | 533,016.40 | 2,337.79 | 293,759.44 | 1,288.42 | 8,371,997 | 36,719 | 5,221,326 | 22,900 |
| 2017 | | 230 | 975,579.57 | 4,241.65 | 748,825.80 | 3,255.76 | 13,185,960 | 57,330 | 10,632,138 | 46,226 |
| 2018 | | 223 | 917,637.92 | 4,114.97 | 904,460.45 | 4,055.88 | 12,221,318 | 54,804 | 12,820,915 | 57,492 |
| 2019 | | 230 | 856,045.40 | 3,721.94 | 706,509.85 | 3,071.78 | 13,393,410 | 58,232 | 12,077,917 | 52,512 |
| 2019 | Jan | 21 | 52,008.76 | 2,476.61 | 55,601.54 | 2,647.69 | 892,559 | 42,502 | 1,212,743 | 57,749 |
| | Feb | 14 | 56,608.38 | 4,043.46 | 70,136.61 | 5,009.76 | 871,289 | 62,234 | 1,029,554 | 73,539 |
| | Mar | 21 | 100,533.51 | 4,787.31 | 85,915.68 | 4,091.22 | 1,462,145 | 69,625 | 1,378,821 | 65,658 |
| | Apr | 17 | 74,536.94 | 4,384.53 | 67,106.96 | 3,947.47 | 1,173,338 | 69,019 | 1,078,079 | 63,416 |
| | May | 19 | 70,932.01 | 3,733.26 | 54,359.03 | 2,861.00 | 1,152,351 | 60,650 | 964,908 | 50,784 |
| | Jun | 19 | 59,306.16 | 3,121.38 | 43,192.67 | 2,273.30 | 936,797 | 49,305 | 840,061 | 44,213 |
| | Jul | 22 | 54,600.97 | 2,481.86 | 43,467.39 | 1,975.79 | 1,005,272 | 45,694 | 802,022 | 36,455 |
| | Aug | 22 | 92,754.96 | 4,216.13 | 50,352.45 | 2,288.75 | 1,627,234 | 73,965 | 966,230 | 43,919 |
| | Sep | 16 | 65,657.21 | 4,103.58 | 59,437.19 | 3,714.82 | 1,048,260 | 65,516 | 946,817 | 59,176 |
| | Oct | 18 | 69,833.71 | 3,879.65 | 58,037.50 | 3,224.31 | 967,595 | 53,755 | 873,830 | 48,546 |
| | Nov | 21 | 75,545.46 | 3,597.40 | 58,408.29 | 2,781.35 | 1,124,194 | 53,533 | 969,807 | 46,181 |
| | Dec | 20 | 83,727.34 | 4,186.37 | 60,494.54 | 3,024.73 | 1,132,376 | 56,618 | 1,015,045 | 50,752 |

| Year/Month | | No. of trading day | Northbound | | | | | | | |
|------------|-----|--------------------|-----------------|---------------|-----------------|---------------|-----------------|---------------|-------------|---------------|
| | | | Trading Value | | | | Number of deals | | | |
| | | | Buy | | Sell | | Buy | | Sell | |
| | | | Total (RMB mil) | Average daily | Total (RMB mil) | Average daily | Total | Average daily | Total | Average daily |
| 2015 | | 231 | 744,796.27 | 3,224.23 | 726,267.71 | 3,144.02 | 20,928,419 | 90,599 | 21,946,861 | 95,008 |
| 2016 | | 232 | 395,391.98 | 1,704.28 | 349,880.94 | 1,508.11 | 16,976,916 | 73,176 | 14,608,276 | 62,966 |
| 2017 | | 235 | 688,797.60 | 2,931.05 | 625,824.21 | 2,663.08 | 25,915,638 | 110,279 | 24,897,640 | 105,947 |
| 2018 | | 229 | 1,421,701.24 | 6,208.30 | 1,240,606.97 | 5,417.50 | 66,813,780 | 291,763 | 61,117,686 | 266,889 |
| 2019 | | 234 | 2,573,999.74 | 11,000.00 | 2,417,366.63 | 10,330.63 | 143,714,802 | 614,165 | 139,642,992 | 596,764 |
| 2019 | Jan | 22 | 151,018.20 | 6,864.46 | 116,997.18 | 5,318.05 | 9,596,331 | 436,196 | 7,755,426 | 352,519 |
| | Feb | 15 | 177,249.93 | 11,816.66 | 147,784.29 | 9,852.29 | 9,485,152 | 632,343 | 7,670,821 | 511,388 |
| | Mar | 21 | 305,460.07 | 14,545.72 | 304,516.70 | 14,500.80 | 14,065,239 | 669,773 | 14,663,187 | 698,247 |
| | Apr | 18 | 291,660.85 | 16,203.38 | 297,713.50 | 16,539.64 | 13,553,713 | 752,984 | 14,225,716 | 790,317 |
| | May | 18 | 214,732.78 | 11,929.60 | 248,656.52 | 13,814.25 | 11,164,238 | 620,235 | 13,436,132 | 746,451 |
| | Jun | 18 | 200,495.15 | 11,138.62 | 174,213.29 | 9,678.52 | 11,618,179 | 645,454 | 10,568,575 | 587,143 |
| | Jul | 22 | 173,902.30 | 7,904.65 | 172,473.43 | 7,839.70 | 11,171,295 | 507,786 | 11,403,484 | 518,340 |
| | Aug | 22 | 219,135.10 | 9,960.69 | 222,228.05 | 10,101.27 | 14,132,590 | 642,390 | 14,736,770 | 669,853 |
| | Sep | 20 | 240,578.94 | 12,028.95 | 204,954.63 | 10,247.73 | 13,661,548 | 683,077 | 12,255,853 | 612,792 |
| | Oct | 18 | 169,198.10 | 9,399.89 | 158,318.50 | 8,795.47 | 9,698,626 | 538,812 | 9,768,039 | 542,668 |
| | Nov | 21 | 219,812.48 | 10,467.26 | 196,762.73 | 9,369.65 | 13,047,435 | 621,306 | 12,132,704 | 577,747 |
| | Dec | 19 | 210,755.85 | 11,092.41 | 172,747.81 | 9,091.99 | 12,520,456 | 658,971 | 11,026,285 | 580,330 |

20 most active stocks (northbound) (in dollars)

| Rank | Stock Code | Stock Name | Buy Trades (RMB mil) | Sell Trades (RMB mil) | Turnover (Buy + Sell Trades) (RMB mil) | % of Northbound Total |
|------|------------|-----------------------------------------|-------------------------|--------------------------|----------------------------------------------|-----------------------------|
| 1 | 600519 | KWEICHOW MOUTAI | 157,388.95 | 159,944.54 | 317,333.49 | 6.36 |
| 2 | 601318 | PING AN INSURANCE | 131,826.59 | 124,116.91 | 255,943.50 | 5.13 |
| 3 | 600036 | CHINA MERCHANTS BANK | 67,810.38 | 56,661.23 | 124,471.61 | 2.49 |
| 4 | 600276 | JIANGSU HENGRUI MEDICINE | 60,885.72 | 60,558.56 | 121,444.28 | 2.43 |
| 5 | 600887 | INNER MONGOLIA YILI INDUSTRIAL | 46,864.42 | 51,918.50 | 98,782.92 | 1.98 |
| 6 | 600030 | CITIC SECURITIES | 46,453.57 | 45,751.19 | 92,204.76 | 1.85 |
| 7 | 601166 | INDUSTRIAL BANK | 39,338.77 | 36,306.92 | 75,645.69 | 1.52 |
| 8 | 600585 | ANHUI CONCH CEMENT | 40,131.62 | 34,803.25 | 74,934.87 | 1.50 |
| 9 | 601888 | CHINA INTERNATIONAL TRAVEL SERVICE | 37,332.04 | 36,932.60 | 74,264.63 | 1.49 |
| 10 | 600031 | SANY HEAVY INDUSTRY | 30,631.02 | 29,057.16 | 59,688.18 | 1.20 |
| 11 | 600009 | SHANGHAI INTERNATIONAL AIRPORT | 24,994.81 | 30,589.71 | 55,584.52 | 1.11 |
| 12 | 600048 | POLY DEVELOPMENTS AND HOLDINGS GROUP | 28,090.42 | 25,953.21 | 54,043.63 | 1.08 |
| 13 | 601398 | INDUSTRIAL AND COMMERCIAL BANK OF CHINA | 24,928.85 | 22,225.58 | 47,154.43 | 0.94 |
| 14 | 601012 | LONGI GREEN ENERGY TECHNOLOGY | 22,166.20 | 21,330.75 | 43,496.95 | 0.87 |
| 15 | 601601 | CHINA PACIFIC INSURANCE | 22,564.37 | 20,748.53 | 43,312.90 | 0.87 |
| 16 | 601668 | CHINA STATE CONSTRUCTION ENGINEERING | 22,128.03 | 20,280.95 | 42,408.98 | 0.85 |
| 17 | 601288 | AGRICULTURAL BANK OF CHINA | 21,979.59 | 20,136.94 | 42,116.53 | 0.84 |
| 18 | 600900 | CHINA YANGTZE POWER | 21,984.71 | 19,597.51 | 41,582.21 | 0.83 |
| 19 | 600183 | SHENGYI TECHNOLOGY | 21,043.22 | 20,197.89 | 41,241.11 | 0.83 |
| 20 | 600104 | SAIC MOTOR | 21,639.34 | 19,209.79 | 40,849.13 | 0.82 |
| | | Total | 890,182.62 | 856,321.72 | 1,746,504.32 | 34.99 |
| | | Northbound Total | 2,573,999.74 | 2,417,366.63 | 4,991,366.36 | 100.00 |

20 most active stocks (southbound) (in dollars)

| Rank | Stock Code | Stock Name | Buy Trades (HK\$mil) | Sell Trades (HK\$mil) | Turnover (Buy + Sell Trades) (HK\$mil) | % of Southbound Total |
|------|------------|------------------|-------------------------|--------------------------|----------------------------------------------|-----------------------------|
| 1 | 00939 | CCB | 91,360.52 | 61,890.22 | 153,250.74 | 9.81 |
| 2 | 00700 | TENCENT | 62,861.90 | 46,650.89 | 109,512.79 | 7.01 |
| 3 | 01398 | ICBC | 47,002.28 | 15,186.38 | 62,188.66 | 3.98 |
| 4 | 01918 | SUNAC | 24,652.45 | 31,877.06 | 56,529.51 | 3.62 |
| 5 | 02318 | PING AN | 25,698.97 | 19,915.04 | 45,614.00 | 2.92 |
| 6 | 00175 | GEELY AUTO | 15,073.21 | 18,464.83 | 33,538.05 | 2.15 |
| 7 | 03968 | CM BANK | 7,807.85 | 18,939.63 | 26,747.49 | 1.71 |
| 8 | 01336 | NCI | 13,508.43 | 12,308.94 | 25,817.37 | 1.65 |
| 9 | 02382 | SUNNY OPTICAL | 14,423.32 | 11,280.28 | 25,703.60 | 1.64 |
| 10 | 02601 | CPIC | 16,421.21 | 9,090.86 | 25,512.06 | 1.63 |
| 11 | 00288 | WH GROUP | 15,215.05 | 9,335.47 | 24,550.52 | 1.57 |
| 12 | 03988 | BANK OF CHINA | 12,582.56 | 8,517.42 | 21,099.97 | 1.35 |
| 13 | 01177 | SINO BIOPHARM | 11,414.26 | 7,639.72 | 19,053.98 | 1.22 |
| 14 | 00981 | SMIC | 9,958.71 | 8,584.83 | 18,543.54 | 1.19 |
| 15 | 01088 | CHINA SHENHUA | 10,704.33 | 7,329.43 | 18,033.76 | 1.15 |
| 16 | 00966 | CHINA TAIPING | 10,174.27 | 7,456.22 | 17,630.49 | 1.13 |
| 17 | 06030 | CITIC SEC | 9,557.74 | 7,817.47 | 17,375.21 | 1.11 |
| 18 | 01093 | CSPC PHARMA | 7,196.43 | 9,167.51 | 16,363.94 | 1.05 |
| 19 | 01288 | ABC | 9,708.48 | 5,853.84 | 15,562.32 | 1.00 |
| 20 | 00388 | HKEX | 8,877.41 | 6,468.49 | 15,345.90 | 0.98 |
| | | Total | 424,199.37 | 323,774.53 | 747,973.90 | 47.87 |
| | | Southbound Total | 856,045.40 | 706,509.85 | 1,562,555.25 | 100.00 |

Trading value and number of deal, 2016-2019

| Year/Month | | No. of trading day | Southbound | | | | | | | |
|------------|-----|--------------------|----------------|---------------|----------------|---------------|-----------------|---------------|-----------|---------------|
| | | | Trading Value | | | | Number of deals | | | |
| | | | Buy | | Sell | | Buy | | Sell | |
| | | | Total (HK mil) | Average daily | Total (HK mil) | Average daily | Total | Average daily | Total | Average daily |
| 2016 | | 18 | 7,942.88 | 441.27 | 1,221.49 | 67.86 | 228,734 | 12,707 | 35,990 | 1,999 |
| 2017 | | 230 | 324,244.36 | 1,409.76 | 211,056.03 | 917.63 | 5,816,670 | 25,289 | 3,702,910 | 16,099 |
| 2018 | | 223 | 540,537.31 | 2,423.93 | 471,019.09 | 2,112.19 | 9,919,024 | 44,479 | 8,886,108 | 39,848 |
| 2019 | | 230 | 509,334.27 | 2,214.50 | 409,533.04 | 1,780.58 | 11,519,463 | 50,084 | 9,987,045 | 43,421 |
| 2019 | Jan | 21 | 32,741.37 | 1,559.11 | 32,476.34 | 1,546.49 | 849,989 | 40,475 | 1,009,023 | 48,048 |
| | Feb | 14 | 39,837.43 | 2,845.53 | 35,078.66 | 2,505.62 | 842,072 | 60,148 | 848,347 | 60,596 |
| | Mar | 21 | 54,597.17 | 2,599.87 | 48,574.44 | 2,313.07 | 1,179,253 | 56,154 | 1,074,519 | 51,167 |
| | Apr | 17 | 44,013.15 | 2,589.01 | 38,139.26 | 2,243.49 | 892,010 | 52,471 | 868,214 | 51,071 |
| | May | 19 | 37,703.13 | 1,984.38 | 31,319.04 | 1,648.37 | 927,816 | 48,832 | 741,475 | 39,025 |
| | Jun | 19 | 32,531.26 | 1,712.17 | 22,168.34 | 1,166.75 | 743,969 | 39,156 | 571,176 | 30,061 |
| | Jul | 22 | 36,957.50 | 1,679.89 | 28,403.33 | 1,291.06 | 903,878 | 41,085 | 743,423 | 33,791 |
| | Aug | 22 | 48,678.07 | 2,212.64 | 32,439.98 | 1,474.54 | 1,201,707 | 54,623 | 813,274 | 36,967 |
| | Sep | 16 | 35,813.81 | 2,238.36 | 32,386.63 | 2,024.16 | 897,514 | 56,094 | 773,493 | 48,343 |
| | Oct | 18 | 37,320.45 | 2,073.36 | 30,075.33 | 1,670.85 | 845,295 | 46,960 | 729,739 | 40,541 |
| | Nov | 21 | 50,136.40 | 2,387.45 | 37,583.36 | 1,789.68 | 1,052,686 | 50,127 | 857,022 | 40,810 |
| | Dec | 20 | 59,004.51 | 2,950.23 | 40,888.34 | 2,044.42 | 1,183,274 | 59,163 | 957,340 | 47,867 |

| Year/Month | | No. of trading day | Northbound | | | | | | | |
|------------|-----|--------------------|-----------------|---------------|-----------------|---------------|-----------------|---------------|-------------|---------------|
| | | | Trading Value | | | | Number of deals | | | |
| | | | Buy | | Sell | | Buy | | Sell | |
| | | | Total (RMB mil) | Average daily | Total (RMB mil) | Average daily | Total | Average daily | Total | Average daily |
| 2016 | | 17 | 20,679.36 | 1,216.43 | 5,511.07 | 324.18 | 837,645 | 49,273 | 271,263 | 15,956 |
| 2017 | | 235 | 543,734.78 | 2,313.77 | 406,970.40 | 1,731.79 | 22,230,517 | 94,598 | 17,861,302 | 76,006 |
| 2018 | | 229 | 1,062,326.08 | 4,638.98 | 949,202.44 | 4,144.99 | 58,658,290 | 256,149 | 56,116,218 | 245,048 |
| 2019 | | 234 | 2,480,467.03 | 10,600.29 | 2,285,357.39 | 9,766.48 | 137,004,088 | 585,487 | 132,942,399 | 568,129 |
| 2019 | Jan | 22 | 118,408.51 | 5,382.20 | 91,741.46 | 4,170.07 | 8,350,734 | 379,578 | 6,852,253 | 311,466 |
| | Feb | 15 | 153,978.88 | 10,265.26 | 123,053.03 | 8,203.54 | 8,612,282 | 574,152 | 6,775,056 | 451,670 |
| | Mar | 21 | 273,716.16 | 13,034.10 | 270,303.26 | 12,871.58 | 13,295,913 | 633,138 | 13,271,182 | 631,961 |
| | Apr | 18 | 257,243.40 | 14,291.30 | 269,187.77 | 14,954.88 | 12,047,994 | 669,333 | 13,016,416 | 723,134 |
| | May | 18 | 192,656.60 | 10,703.14 | 212,406.58 | 11,800.37 | 10,513,277 | 584,070 | 12,510,619 | 695,034 |
| | Jun | 18 | 169,966.96 | 9,442.61 | 153,645.74 | 8,535.87 | 10,484,652 | 582,480 | 10,035,207 | 557,511 |
| | Jul | 22 | 183,263.72 | 8,330.17 | 172,667.92 | 7,848.54 | 11,081,779 | 503,717 | 11,474,302 | 521,559 |
| | Aug | 22 | 222,018.83 | 10,091.76 | 205,719.73 | 9,350.90 | 13,717,876 | 623,539 | 13,738,156 | 624,461 |
| | Sep | 20 | 239,217.12 | 11,960.86 | 210,178.98 | 10,508.95 | 13,056,145 | 652,807 | 12,080,707 | 604,035 |
| | Oct | 18 | 186,341.52 | 10,352.31 | 165,171.66 | 9,176.20 | 9,858,491 | 547,693 | 9,715,097 | 539,727 |
| | Nov | 21 | 244,339.61 | 11,635.22 | 206,951.34 | 9,854.83 | 12,875,671 | 613,127 | 12,039,042 | 573,287 |
| | Dec | 19 | 239,315.73 | 12,595.56 | 204,329.92 | 10,754.21 | 13,109,274 | 689,961 | 11,434,362 | 601,808 |

20 most active stocks (northbound) (in dollars)

| Rank | Stock Code | Stock Name | Buy Trades (RMB mil) | Sell Trades (RMB mil) | Turnover (Buy + Sell Trades) (RMB mil) | % of Northbound Total |
|------------------|------------|----------------------------------------|-------------------------|--------------------------|----------------------------------------------|-----------------------------|
| 1 | 000858 | WULIANGYE YIBIN | 109,495.58 | 110,950.35 | 220,445.93 | 4.63 |
| 2 | 000651 | GREE ELECTRIC APPLIANCES INC OF ZHUHAI | 85,920.39 | 65,215.37 | 151,135.76 | 3.17 |
| 3 | 000333 | MIDEA GROUP | 71,995.41 | 61,137.98 | 133,133.39 | 2.79 |
| 4 | 002415 | HANGZHOU HIKVISION DIGITAL TECHNOLOGY | 58,297.78 | 64,139.41 | 122,437.18 | 2.57 |
| 5 | 000001 | PING AN BANK | 50,425.55 | 35,547.14 | 85,972.69 | 1.80 |
| 6 | 000002 | CHINA VANKE | 42,258.01 | 35,195.80 | 77,453.81 | 1.63 |
| 7 | 002714 | MUYUAN FOODS | 37,433.32 | 36,143.16 | 73,576.49 | 1.54 |
| 8 | 002475 | LUXSHARE PRECISION INDUSTRY | 38,711.12 | 34,662.18 | 73,373.30 | 1.54 |
| 9 | 002304 | JIANGSU YANGHE BREWERY JOINT-STOCK | 35,919.05 | 33,800.98 | 69,720.03 | 1.46 |
| 10 | 300498 | WENS FOODSTUFF GROUP | 33,339.13 | 27,341.85 | 60,680.98 | 1.27 |
| 11 | 000063 | ZTE CORPORATION | 25,172.34 | 24,030.70 | 49,203.04 | 1.03 |
| 12 | 000568 | LUZHOU LAOJIAO | 24,175.25 | 24,818.33 | 48,993.58 | 1.03 |
| 13 | 300059 | EAST MONEY INFORMATION | 20,936.29 | 19,842.93 | 40,779.22 | 0.86 |
| 14 | 002241 | GOERTEK INC | 20,433.85 | 19,782.97 | 40,216.82 | 0.84 |
| 15 | 000725 | BOE TECHNOLOGY GROUP | 20,008.92 | 16,525.08 | 36,534.01 | 0.77 |
| 16 | 000338 | WEICHAI POWER | 18,208.64 | 17,824.61 | 36,033.26 | 0.76 |
| 17 | 002008 | HAN'S LASER TECHNOLOGY INDUSTRY GROUP | 14,078.77 | 17,820.04 | 31,898.82 | 0.67 |
| 18 | 300136 | SHENZHEN SUNWAY COMMUNICATION | 15,065.44 | 15,049.43 | 30,114.87 | 0.63 |
| 19 | 300015 | AIER EYE HOSPITAL GROUP | 15,530.06 | 13,726.58 | 29,256.64 | 0.61 |
| 20 | 000876 | NEW HOPE LIUHE | 14,977.10 | 14,211.09 | 29,188.19 | 0.61 |
| Total | | | 752,382.00 | 687,765.99 | 1,440,147.99 | 30.22 |
| Northbound Total | | | 2,480,467.03 | 2,285,357.39 | 4,765,824.42 | 100.00 |

20 most active stocks (southbound) (in dollars)

| Rank | Stock Code | Stock Name | Buy Trades (HK\$mil) | Sell Trades (HK\$mil) | Turnover (Buy + Sell Trades) (HK\$mil) | % of Southbound Total |
|------------------|------------|---------------|-------------------------|--------------------------|----------------------------------------------|-----------------------------|
| 1 | 00700 | TENCENT | 27,889.61 | 20,739.56 | 48,629.17 | 5.29 |
| 2 | 00763 | ZTE | 15,309.94 | 13,541.77 | 28,851.71 | 3.14 |
| 3 | 01918 | SUNAC | 11,488.17 | 12,286.67 | 23,774.84 | 2.59 |
| 4 | 00939 | CCB | 11,099.00 | 4,899.51 | 15,998.52 | 1.74 |
| 5 | 02382 | SUNNY OPTICAL | 7,924.86 | 6,671.06 | 14,595.92 | 1.59 |
| 6 | 00175 | GEELY AUTO | 8,196.88 | 5,391.91 | 13,588.79 | 1.48 |
| 7 | 01336 | NCI | 7,045.05 | 6,439.35 | 13,484.40 | 1.47 |
| 8 | 01177 | SINO BIOPHARM | 6,815.68 | 5,483.38 | 12,299.06 | 1.34 |
| 9 | 02318 | PING AN | 6,251.63 | 5,590.76 | 11,842.39 | 1.29 |
| 10 | 03690 | MEITUAN-W | 8,826.34 | 2,392.22 | 11,218.56 | 1.22 |
| 11 | 01093 | CSPC PHARMA | 6,035.65 | 4,892.56 | 10,928.21 | 1.19 |
| 12 | 00981 | SMIC | 5,386.65 | 4,741.10 | 10,127.75 | 1.10 |
| 13 | 01398 | ICBC | 6,116.12 | 3,907.04 | 10,023.15 | 1.09 |
| 14 | 01558 | HEC PHARM | 5,213.26 | 4,638.97 | 9,852.23 | 1.07 |
| 15 | 02601 | CPIC | 5,222.62 | 3,847.97 | 9,070.59 | 0.99 |
| 16 | 00288 | WH GROUP | 5,014.94 | 3,979.01 | 8,993.96 | 0.98 |
| 17 | 00268 | KINGDEE INT'L | 3,765.98 | 4,480.93 | 8,246.92 | 0.90 |
| 18 | 06030 | CITIC SEC | 4,267.44 | 3,715.55 | 7,982.99 | 0.87 |
| 19 | 00788 | CHINA TOWER | 4,232.81 | 3,580.14 | 7,812.95 | 0.85 |
| 20 | 00388 | HKEX | 4,678.83 | 3,122.18 | 7,801.00 | 0.85 |
| Total | | | 160,781.44 | 124,341.65 | 285,123.09 | 31.03 |
| Southbound Total | | | 509,334.27 | 409,533.04 | 918,867.31 | 100.00 |

Stock trading value, 1970-2019

HK\$mil

| Year | Whole year value | No. of trading days | Average daily | High day (*) | | Low day (*) | |
|--------------------|------------------|----------------------|---------------|--------------|-------|-------------|-------|
| | | | | Value | Date | Value | Date |
| 1970 | 6,056.13 | 294 | 20.60 | 56.69 | 03/10 | 6.15 | 06/09 |
| 1971 | 14,806.21 | 276 | 53.65 | 211.39 | 09/20 | 9.12 | 03/01 |
| 1972 | 42,907.54 | 247 | 173.71 | 659.34 | 11/10 | 21.48 | 02/02 |
| 1973 | 48,880.44 | 245 | 199.51 | 704.69 | 02/08 | 35.39 | 08/29 |
| 1974 | 11,436.70 | 245 | 46.68 | 219.33 | 06/17 | 10.91 | 12/05 |
| 1975 | 10,359.91 | 246 | 42.11 | 167.46 | 03/06 | 9.00 | 01/06 |
| 1976 | 13,183.31 | 248 | 53.16 | 223.42 | 02/26 | 9.72 | 07/26 |
| 1977 | 6,132.43 | 246 | 24.93 | 67.47 | 04/18 | 8.54 | 10/10 |
| 1978 | 27,445.86 | 244 | 112.48 | 410.91 | 08/18 | 9.39 | 02/10 |
| 1979 | 25,632.21 | 246 | 104.20 | 407.69 | 09/26 | 18.39 | 01/02 |
| 1980 | 95,670.54 | 246 | 388.90 | 1,424.95 | 11/03 | 47.58 | 04/09 |
| 1981 | 105,970.90 | 244 | 434.31 | 991.92 | 06/12 | 132.90 | 10/26 |
| 1982 | 46,221.25 | 247 | 187.13 | 2,064.18 | 04/26 | 68.68 | 12/23 |
| 1983 | 37,166.26 | 247 | 150.47 | 589.22 | 07/15 | 40.37 | 01/04 |
| 1984 | 48,808.70 | 248 | 196.81 | 1,264.27 | 01/13 | 38.50 | 01/03 |
| 1985 | 75,821.03 | 247 | 306.97 | 3,308.08 | 02/01 | 116.04 | 12/23 |
| 1986 | 123,128.35 | 247 | 498.50 | 1,721.13 | 10/08 | 124.62 | 02/05 |
| 1987 | 371,406.46 | 246 | 1,509.78 | 5,407.55 | 10/02 | 401.58 | 04/21 |
| 1988 | 199,480.68 | 248 | 804.36 | 1,889.48 | 06/15 | 230.00 | 09/19 |
| 1989 | 299,146.70 | 246 | 1,216.04 | 3,673.60 | 05/23 | 290.01 | 07/18 |
| 1990 | 288,714.90 | 248 | 1,164.17 | 3,280.82 | 08/07 | 338.36 | 12/28 |
| 1991 | 334,103.92 | 248 | 1,347.19 | 3,389.14 | 07/05 | 241.35 | 01/02 |
| 1992 | 700,577.50 | 250 | 2,802.31 | 6,420.47 | 05/25 | 965.50 | 01/03 |
| 1993 | 1,222,675.13 | 249 | 4,910.34 | 12,909.44 | 12/10 | 1,019.78 | 01/04 |
| 1994 | 1,137,414.00 | 248 | 4,586.35 | 17,204.66 | 01/07 | 1,313.55 | 07/04 |
| 1995 | 826,800.60 | 247 | 3,347.37 | 9,924.41 | 05/12 | 1,385.27 | 05/01 |
| 1996 | 1,412,242.38 | 249 | 5,671.66 | 13,803.84 | 12/06 | 2,734.90 | 05/27 |
| 1997 | 3,788,959.79 | 245 | 15,465.14 | 46,096.84 | 08/29 | 3,253.55 | 12/29 |
| 1998 | 1,701,112.01 | 247 | 6,887.09 | 79,002.61 | 08/28 | 1,221.90 | 12/28 |
| 1999 | 1,915,940.58 | 247 | 7,756.84 | 17,387.59 | 11/16 | 2,100.34 | 02/22 |
| 2000 | 3,047,565.32 | 247 | 12,338.32 | 35,746.19 | 02/15 | 4,565.80 | 12/27 |
| 2001 | 1,950,086.74 | 243 | 8,025.05 | 17,752.03 | 04/19 | 2,906.48 | 12/27 |
| 2002 | 1,599,074.57 | 247 | 6,473.99 | 12,982.41 | 05/02 | 2,734.31 | 01/02 |
| 2003 | 2,545,675.94 | 248 | 10,264.82 | 34,464.21 | 12/16 | 2,136.95 | 01/02 |
| 2004 | 3,948,350.84 | 249 | 15,856.83 | 38,758.84 | 01/13 | 7,378.09 | 08/17 |
| 2005 | 4,498,095.11 | 247 | 18,210.91 | 34,617.04 | 03/02 | 9,835.58 | 05/24 |
| 2006 | 8,332,633.24 | 247 | 33,735.36 | 76,008.14 | 10/27 | 16,451.20 | 06/19 |
| 2007 | 21,506,271.44 | 246 | 87,423.87 | 209,808.30 | 10/03 | 35,013.62 | 03/15 |
| 2008 | 17,600,713.87 | 245 | 71,839.65 | 156,353.59 | 01/23 | 21,951.90 | 12/29 |
| 2009 | 15,439,486.88 | 249 | 62,005.97 | 146,624.25 | 05/13 | 28,531.97 | 03/12 |
| 2010 | 17,076,412.15 | 249 | 68,579.97 | 138,533.28 | 10/14 | 33,995.19 | 02/18 |
| 2011 | 17,091,116.87 | 246 | 69,476.08 | 124,773.84 | 08/05 | 24,466.29 | 12/30 |
| 2012 | 13,267,508.72 | 247 | 53,714.61 | 127,100.48 | 03/06 | 32,641.54 | 01/03 |
| 2013 | 15,185,792.16 | 244 | 62,236.85 | 128,156.37 | 02/04 | 38,487.85 | 07/22 |
| 2014 | 16,990,272.09 | 247 | 68,786.53 | 147,757.45 | 12/05 | 39,200.50 | 05/26 |
| 2015 | 25,835,958.29 | 247 | 104,599.02 | 291,528.73 | 04/09 | 37,862.23 | 12/29 |
| 2016 | 16,279,975.49 | 245 | 66,448.88 | 116,801.74 | 09/09 | 43,757.44 | 05/23 |
| 2017 | 21,560,099.47 | 246 | 87,642.68 | 171,931.66 | 11/30 | 49,248.76 | 02/02 |
| 2018 | 26,295,274.58 | 246 | 106,891.36 | 283,592.75 | 03/23 | 46,958.83 | 12/28 |
| 2019 | 21,390,188.29 | 246 | 86,951.98 | 168,754.50 | 02/25 | 53,106.07 | 09/27 |
| Records since 1970 | | | | | | | |
| High year : 2015 | | High day: 2015/04/09 | | | | | |
| Low year : 1970 | | Low day: 1970/06/09 | | | | | |

(*) Only full trading days are included for the records.

Market turnover values since 1993 were adjusted for late reported and rejected sales.

Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976-2019)

| Year | No. of listed companies | No. of listed securities | Equities funds raised* (HK\$mil) | Market capitalisation (HK\$mil) |
|------|-------------------------|--------------------------|----------------------------------|---------------------------------|
| 1976 | 295 | 319 | n.a. | 56,675 |
| 1977 | 284 | 315 | n.a. | 51,278 |
| 1978 | 265 | 298 | n.a. | 65,939 |
| 1979 | 262 | 298 | n.a. | 112,809 |
| 1980 | 262 | 309 | n.a. | 209,753 |
| 1981 | 269 | 335 | n.a. | 232,331 |
| 1982 | 273 | 342 | n.a. | 131,640 |
| 1983 | 277 | 351 | n.a. | 142,094 |
| 1984 | 278 | 348 | n.a. | 184,642 |
| 1985 | 279 | 340 | n.a. | 269,511 |
| 1986 | 253 | 335 | 14,707 | 419,281 |
| 1987 | 276 | 412 | 48,082 | 419,612 |
| 1988 | 304 | 479 | 18,506 | 580,378 |
| 1989 | 298 | 479 | 20,345 | 605,010 |
| 1990 | 299 | 520 | 21,233 | 650,410 |
| 1991 | 357 | 597 | 39,457 | 949,172 |
| 1992 | 413 | 749 | 105,113 | 1,332,184 |
| 1993 | 477 | 891 | 90,005 | 2,975,379 |
| 1994 | 529 | 1,006 | 51,861 | 2,085,182 |
| 1995 | 542 | 1,033 | 39,202 | 2,348,310 |
| 1996 | 583 | 1,272 | 100,018 | 3,475,965 |
| 1997 | 658 | 1,533 | 247,577 | 3,202,630 |
| 1998 | 680 | 1,246 | 38,257 | 2,661,713 |
| 1999 | 701 | 1,205 | 148,120 | 4,727,527 |
| 2000 | 736 | 1,294 | 451,281 | 4,795,150 |
| 2001 | 756 | 1,075 | 58,593 | 3,885,342 |
| 2002 | 812 | 1,416 | 101,413 | 3,559,099 |
| 2003 | 852 | 1,598 | 209,116 | 5,477,670 |
| 2004 | 892 | 1,971 | 276,521 | 6,629,177 |
| 2005 | 934 | 2,448 | 298,660 | 8,113,333 |
| 2006 | 975 | 3,184 | 516,025 | 13,248,821 |
| 2007 | 1,048 | 5,896 | 571,078 | 20,536,463 |
| 2008 | 1,087 | 5,654 | 418,188 | 10,253,589 |
| 2009 | 1,145 | 6,441 | 637,734 | 17,769,271 |
| 2010 | 1,244 | 7,730 | 845,486 | 20,942,284 |
| 2011 | 1,326 | 6,551 | 482,834 | 17,452,667 |
| 2012 | 1,368 | 6,723 | 300,231 | 21,871,730 |
| 2013 | 1,451 | 8,330 | 369,822 | 23,908,804 |
| 2014 | 1,548 | 8,856 | 929,399 | 24,892,419 |
| 2015 | 1,644 | 8,792 | 1,093,551 | 24,425,555 |
| 2016 | 1,713 | 8,330 | 471,172 | 24,450,435 |
| 2017 | 1,794 | 12,478 | 567,401 | 33,717,995 |
| 2018 | 1,926 | 12,900 | 533,216 | 29,723,238 |
| 2019 | 2,071 | 12,416 | 449,970 | 38,058,338 |

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Highest turnover records Main Board

| Year | | |
|------|-----------------|------|
| Rank | Value (HK\$mil) | Year |
| 1 | 26,295,274.58 | 2018 |
| 2 | 25,835,958.29 | 2015 |
| 3 | 21,560,099.47 | 2017 |
| 4 | 21,506,271.44 | 2007 |
| 5 | 21,390,188.29 | 2019 |
| 6 | 17,600,713.87 | 2008 |
| 7 | 17,091,116.87 | 2011 |
| 8 | 17,076,412.14 | 2010 |
| 9 | 16,990,272.09 | 2014 |
| 10 | 16,279,975.49 | 2016 |

| Quarter | | |
|---------|-----------------|--------------|
| Rank | Value (HK\$mil) | Quarter/Year |
| 1 | 9,762,437.26 | 2/2015 |
| 2 | 8,869,379.62 | 1/2018 |
| 3 | 8,306,624.27 | 4/2007 |
| 4 | 6,500,307.96 | 4/2017 |
| 5 | 6,369,437.22 | 2/2018 |
| 6 | 6,343,886.31 | 3/2015 |
| 7 | 6,102,056.58 | 3/2007 |
| 8 | 6,047,990.67 | 1/2019 |
| 9 | 5,930,454.10 | 3/2017 |
| 10 | 5,901,036.22 | 1/2008 |

| Month | | |
|-------|-----------------|------------|
| Rank | Value (HK\$mil) | Month/Year |
| 1 | 3,764,713.11 | 04/2015 |
| 2 | 3,525,010.54 | 01/2018 |
| 3 | 3,473,846.35 | 10/2007 |
| 4 | 3,096,229.47 | 06/2015 |
| 5 | 2,993,364.29 | 11/2007 |
| 6 | 2,901,494.69 | 05/2015 |
| 7 | 2,750,878.38 | 03/2018 |
| 8 | 2,746,953.45 | 07/2015 |
| 9 | 2,623,012.43 | 01/2008 |
| 10 | 2,622,252.96 | 11/2017 |

| Day | | |
|------|-----------------|------------|
| Rank | Value (HK\$mil) | Date |
| 1 | 291,528.73 | 2015/04/09 |
| 2 | 283,592.75 | 2018/03/23 |
| 3 | 263,636.12 | 2015/04/13 |
| 4 | 258,017.56 | 2018/02/06 |
| 5 | 250,031.84 | 2015/04/08 |
| 6 | 237,596.69 | 2015/04/14 |
| 7 | 235,970.30 | 2015/07/08 |
| 8 | 221,144.86 | 2015/04/10 |
| 9 | 219,086.72 | 2018/02/09 |
| 10 | 218,337.16 | 2018/02/07 |

Main Board and GEM

| Year | | |
|------|-----------------|------|
| Rank | Value (HK\$mil) | Year |
| 1 | 26,422,762.07 | 2018 |
| 2 | 26,090,621.56 | 2015 |
| 3 | 21,709,160.48 | 2017 |
| 4 | 21,665,529.92 | 2007 |
| 5 | 21,440,049.17 | 2019 |
| 6 | 17,652,800.86 | 2008 |
| 7 | 17,210,077.74 | 2010 |
| 8 | 17,155,730.33 | 2014 |
| 9 | 17,154,074.38 | 2011 |
| 10 | 16,396,424.98 | 2016 |

| Quarter | | |
|---------|-----------------|--------------|
| Rank | Value (HK\$mil) | Quarter/Year |
| 1 | 9,894,068.82 | 2/2015 |
| 2 | 8,909,838.11 | 1/2018 |
| 3 | 8,339,767.42 | 4/2007 |
| 4 | 6,544,565.64 | 4/2017 |
| 5 | 6,410,882.11 | 2/2018 |
| 6 | 6,395,260.35 | 3/2015 |
| 7 | 6,156,615.82 | 3/2007 |
| 8 | 6,063,241.38 | 1/2019 |
| 9 | 5,964,717.45 | 3/2017 |
| 10 | 5,922,420.08 | 1/2008 |

| Month | | |
|-------|-----------------|------------|
| Rank | Value (HK\$mil) | Month/Year |
| 1 | 3,801,844.34 | 04/2015 |
| 2 | 3,540,695.52 | 01/2018 |
| 3 | 3,487,325.59 | 10/2007 |
| 4 | 3,143,397.12 | 06/2015 |
| 5 | 3,007,223.67 | 11/2007 |
| 6 | 2,948,827.35 | 05/2015 |
| 7 | 2,773,195.27 | 07/2015 |
| 8 | 2,763,555.99 | 03/2018 |
| 9 | 2,639,017.21 | 11/2017 |
| 10 | 2,629,311.19 | 01/2008 |

| Day | | |
|------|-----------------|------------|
| Rank | Value (HK\$mil) | Date |
| 1 | 293,910.76 | 2015/04/09 |
| 2 | 284,101.95 | 2018/03/23 |
| 3 | 266,098.63 | 2015/04/13 |
| 4 | 258,799.62 | 2018/02/06 |
| 5 | 252,396.05 | 2015/04/08 |
| 6 | 239,903.34 | 2015/04/14 |
| 7 | 237,429.14 | 2015/07/08 |
| 8 | 222,888.83 | 2015/04/10 |
| 9 | 219,595.29 | 2018/02/09 |
| 10 | 218,890.88 | 2018/02/07 |

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.
Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

10 largest day-to-day fluctuations in stock price indices

S&P/HKEX LargeCap Index 1998-2019

| Rank | % up | Date | Closing index |
|------|-------|------------|---------------|
| 1 | 15.04 | 1998/02/02 | 11001.95 |
| 2 | 14.29 | 2008/10/28 | 15507.37 |
| 3 | 12.64 | 2008/10/30 | 17632.61 |
| 4 | 10.97 | 2008/01/23 | 29340.77 |
| 5 | 10.09 | 2008/10/13 | 19931.74 |
| 6 | 9.95 | 1998/10/16 | 10078.68 |
| 7 | 9.29 | 2008/09/19 | 23629.69 |
| 8 | 9.20 | 1998/09/07 | 8013.39 |
| 9 | 8.75 | 1998/08/14 | 7133.91 |
| 10 | 8.28 | 2008/12/08 | 18707.83 |

28 February 2003 = 10000

| Rank | % down | Date | Closing index |
|------|--------|------------|---------------|
| 1 | 12.59 | 2008/10/27 | 13569.03 |
| 2 | 9.82 | 1998/01/12 | 8439.81 |
| 3 | 8.87 | 2001/09/12 | 10522.63 |
| 4 | 8.70 | 2000/04/17 | 15693.12 |
| 5 | 8.67 | 2008/01/22 | 26440.70 |
| 6 | 8.19 | 2008/10/24 | 15523.90 |
| 7 | 8.14 | 2008/10/08 | 18980.80 |
| 8 | 7.53 | 1998/08/31 | 7089.75 |
| 9 | 7.49 | 2008/10/10 | 18104.89 |
| 10 | 6.91 | 2000/01/05 | 16613.10 |

| Rank | Points up | Date | Closing index |
|------|-----------|------------|---------------|
| 1 | 2900.07 | 2008/01/23 | 29340.77 |
| 2 | 2009.16 | 2008/09/19 | 23629.69 |
| 3 | 1978.01 | 2008/10/30 | 17632.61 |
| 4 | 1938.34 | 2008/10/28 | 15507.37 |
| 5 | 1907.46 | 2008/01/25 | 30474.42 |
| 6 | 1826.85 | 2008/10/13 | 19931.74 |
| 7 | 1690.88 | 2007/11/14 | 35076.20 |
| 8 | 1594.62 | 2008/03/25 | 27333.87 |
| 9 | 1478.44 | 2007/08/20 | 25881.95 |
| 10 | 1438.53 | 1998/02/02 | 11001.95 |

| Rank | Points down | Date | Closing index |
|------|-------------|------------|---------------|
| 1 | 2509.29 | 2008/01/22 | 26440.70 |
| 2 | 2199.35 | 2018/02/06 | 39865.67 |
| 3 | 1954.87 | 2008/10/27 | 13569.03 |
| 4 | 1916.47 | 2007/11/05 | 35248.33 |
| 5 | 1791.06 | 2015/07/08 | 28851.92 |
| 6 | 1703.15 | 2008/01/16 | 29740.34 |
| 7 | 1682.08 | 2008/10/08 | 18980.80 |
| 8 | 1654.93 | 2008/01/21 | 28949.99 |
| 9 | 1592.80 | 2008/02/06 | 28621.00 |
| 10 | 1495.17 | 2000/04/17 | 15693.12 |

Hang Seng Index 1970-2019

| Rank | % up | Date | Closing index |
|------|-------|------------|---------------|
| 1 | 18.82 | 1997/10/29 | 10765.30 |
| 2 | 14.41 | 1973/02/09 | 1449.91 |
| 3 | 14.35 | 2008/10/28 | 12596.29 |
| 4 | 14.33 | 1998/02/02 | 10578.60 |
| 5 | 13.36 | 1974/11/27 | 185.17 |
| 6 | 13.20 | 1973/02/02 | 1098.86 |
| 7 | 13.20 | 1973/04/11 | 926.45 |
| 8 | 12.82 | 2008/10/30 | 14329.85 |
| 9 | 12.35 | 1975/01/27 | 209.62 |
| 10 | 11.71 | 1973/07/18 | 602.34 |

31 July 1964 = 100

| Rank | % down | Date | Closing index |
|------|--------|------------|---------------|
| 1 | 33.33 | 1987/10/26 | 2241.69 |
| 2 | 21.75 | 1989/06/05 | 2093.61 |
| 3 | 13.70 | 1997/10/28 | 9059.89 |
| 4 | 13.31 | 1973/03/26 | 1229.28 |
| 5 | 12.70 | 2008/10/27 | 11015.84 |
| 6 | 11.48 | 1973/04/10 | 818.39 |
| 7 | 11.43 | 1972/11/13 | 672.62 |
| 8 | 11.12 | 1987/10/19 | 3362.39 |
| 9 | 10.78 | 1989/05/22 | 2806.57 |
| 10 | 10.41 | 1997/10/23 | 10426.30 |

| Rank | Points up | Date | Closing index |
|------|-----------|------------|---------------|
| 1 | 2332.54 | 2008/01/23 | 24090.17 |
| 2 | 1705.41 | 1997/10/29 | 10765.30 |
| 3 | 1695.27 | 2008/09/19 | 19327.73 |
| 4 | 1627.78 | 2008/10/30 | 14329.85 |
| 5 | 1583.10 | 2008/01/25 | 25122.37 |
| 6 | 1580.45 | 2008/10/28 | 12596.29 |
| 7 | 1515.29 | 2008/10/13 | 16312.16 |
| 8 | 1362.66 | 2007/11/14 | 29166.01 |
| 9 | 1356.30 | 2008/03/25 | 22464.52 |
| 10 | 1326.24 | 1998/02/02 | 10578.60 |

| Rank | Points down | Date | Closing index |
|------|-------------|------------|---------------|
| 1 | 2061.23 | 2008/01/22 | 21757.63 |
| 2 | 1649.80 | 2018/02/06 | 30595.42 |
| 3 | 1602.54 | 2008/10/27 | 11015.84 |
| 4 | 1526.02 | 2007/11/05 | 28942.32 |
| 5 | 1458.75 | 2015/07/08 | 23516.56 |
| 6 | 1438.31 | 1997/10/28 | 9059.89 |
| 7 | 1386.93 | 2008/01/16 | 24450.85 |
| 8 | 1383.01 | 2008/01/21 | 23818.86 |
| 9 | 1380.39 | 2000/04/17 | 14762.37 |
| 10 | 1372.03 | 2008/10/08 | 15431.73 |

World stock market indices (2015-2019)

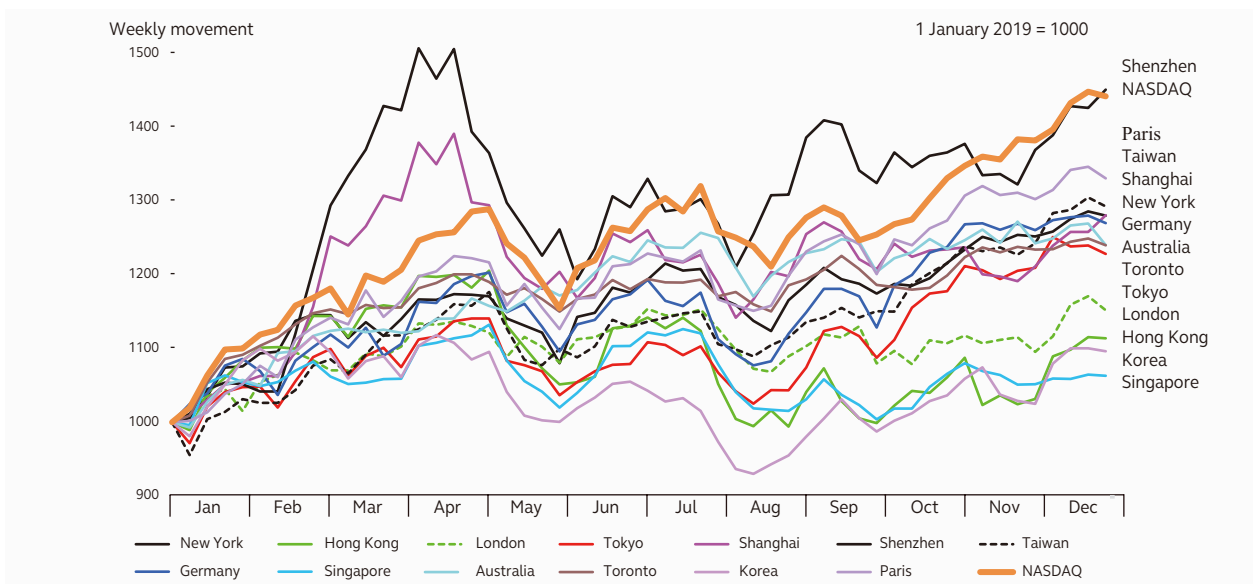
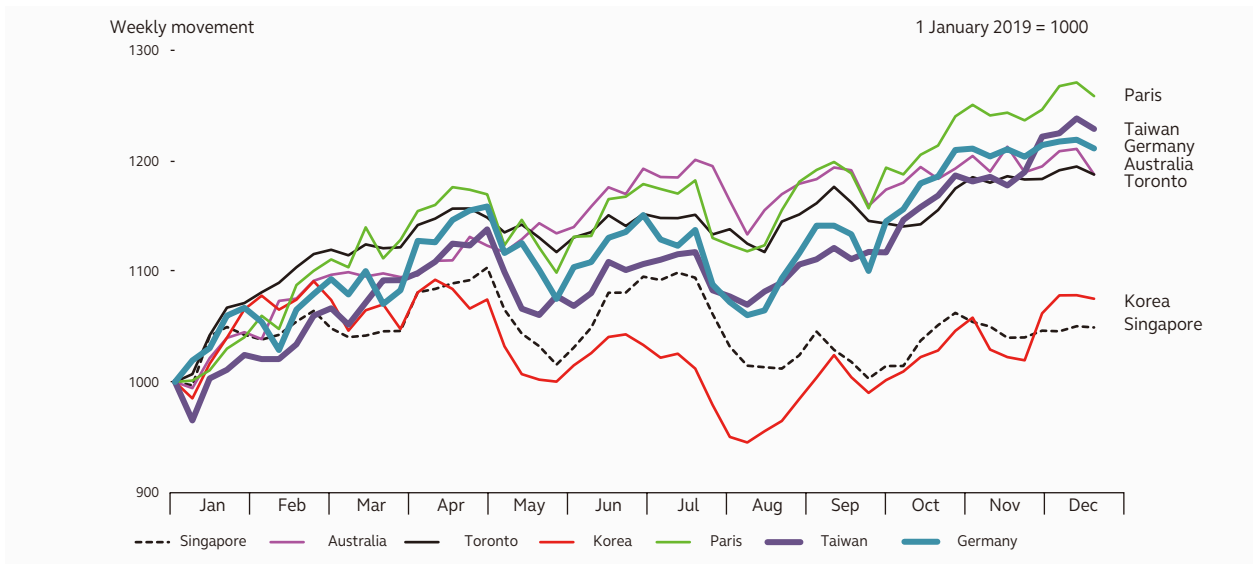
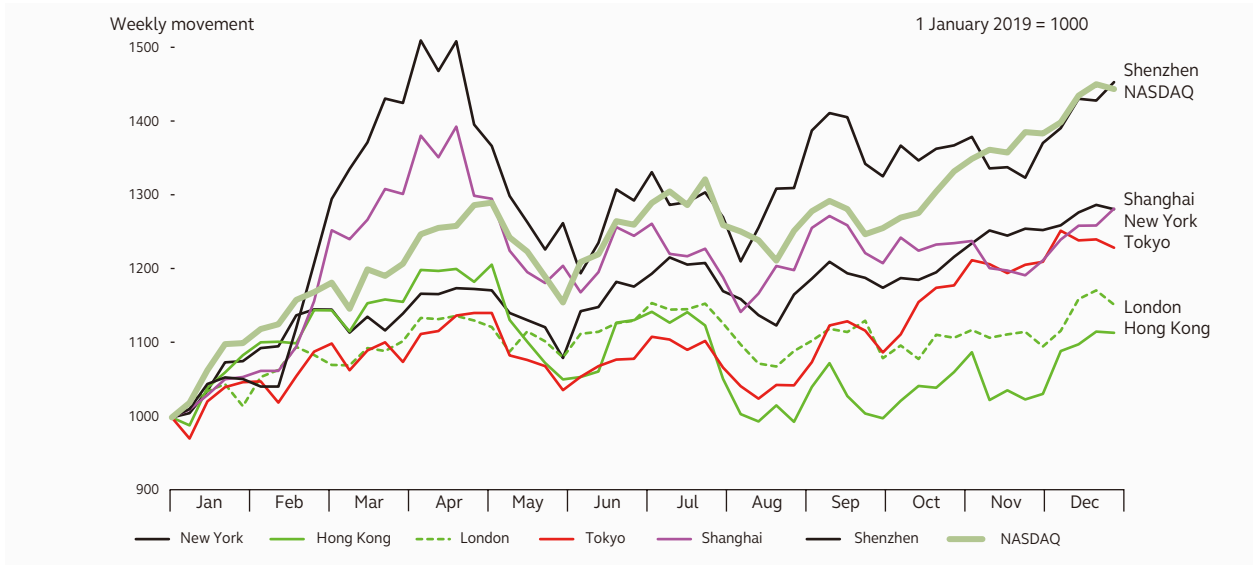
| Year/ Month | AUSTRALIA All Ordinaries Index | GERMANY DAX Kursindex | HONG KONG Hang Seng Index | KOREA KOSPI Index | LONDON FTSE 100 Index | NASDAQ Nasdaq Composite Index | NEW YORK DJIA Index |
|----------------|--------------------------------------|-----------------------------|---------------------------------|-------------------------|-----------------------------|-------------------------------------|---------------------------|
| 2015 | 5344.56 | 5390.31 | 21914.40 | 1961.31 | 6242.32 | 5007.41 | 17425.03 |
| 2016 | 5719.14 | 5588.27 | 22000.56 | 2026.46 | 7142.83 | 5383.12 | 19762.60 |
| 2017 | 6167.30 | 6122.26 | 29919.15 | 2467.49 | 7687.77 | 6903.39 | 24719.22 |
| 2018 | 5709.40 | 4862.74 | 25845.70 | 2041.04 | 6728.13 | 6635.28 | 23327.46 |
| 2019 | 6802.40 | 5909.66 | 28189.75 | 2197.67 | 7542.44 | 8972.60 | 28538.44 |
| 2019 | | | | | | | |
| Jan | 5937.30 | 5128.61 | 27942.47 | 2204.85 | 6968.85 | 7281.74 | 24999.67 |
| Feb | 6252.70 | 5284.27 | 28633.18 | 2195.44 | 7074.73 | 7532.53 | 25916.00 |
| Mar | 6261.70 | 5274.00 | 29051.36 | 2140.67 | 7279.19 | 7729.32 | 25928.68 |
| Apr | 6418.40 | 5626.34 | 29699.11 | 2203.59 | 7418.22 | 8095.39 | 26592.91 |
| May | 6491.80 | 5235.06 | 26901.09 | 2041.74 | 7161.71 | 7453.15 | 24815.04 |
| Jun | 6699.20 | 5535.26 | 28542.62 | 2130.62 | 7425.63 | 8006.24 | 26599.96 |
| Jul | 6896.70 | 5441.61 | 27777.75 | 2024.55 | 7586.78 | 8175.42 | 26864.27 |
| Aug | 6698.20 | 5327.67 | 25724.73 | 1967.79 | 7207.18 | 7962.88 | 26403.28 |
| Sep | 6800.60 | 5545.79 | 26092.27 | 2063.05 | 7408.21 | 7999.34 | 26916.83 |
| Oct | 6772.90 | 5741.56 | 26906.72 | 2083.48 | 7248.38 | 8292.36 | 27046.23 |
| Nov | 6948.00 | 5906.48 | 26346.49 | 2087.96 | 7346.53 | 8665.47 | 28051.41 |
| Dec | 6802.40 | 5909.66 | 28189.75 | 2197.67 | 7542.44 | 8972.60 | 28538.44 |

Note: Month-end or year-end figures.

| Year/ Month | PARIS CAC 40 Index | SHANGHAI A-Share Index | SHENZHEN A-Share Index | SINGAPORE Straits Times Index | TAIWAN Weighted Index | TOKYO Nikkei 225 Index | TORONTO S&P/TSX Composite Index |
|----------------|--------------------------|------------------------------|------------------------------|-------------------------------------|-----------------------------|------------------------------|------------------------------------------|
| 2015 | 4637.06 | 3704.29 | 2415.50 | 2882.73 | 8338.06 | 19033.71 | 13009.95 |
| 2016 | 4862.31 | 3249.59 | 2059.91 | 2880.76 | 9253.50 | 19114.37 | 15287.59 |
| 2017 | 5312.56 | 3463.48 | 1986.42 | 3402.92 | 10642.86 | 22764.94 | 16209.13 |
| 2018 | 4730.69 | 2611.38 | 1325.66 | 3068.76 | 9727.41 | 20014.77 | 14322.86 |
| 2019 | 5978.06 | 3195.98 | 1802.29 | 3222.83 | 11997.14 | 23656.62 | 17063.43 |
| 2019 | | | | | | | |
| Jan | 4992.72 | 2706.59 | 1332.77 | 3190.17 | 9932.26 | 20773.49 | 15540.60 |
| Feb | 5240.53 | 3080.11 | 1616.99 | 3212.69 | 10389.17 | 21385.16 | 15999.01 |
| Mar | 5350.53 | 3237.20 | 1772.83 | 3212.88 | 10641.04 | 21205.81 | 16102.09 |
| Apr | 5586.41 | 3224.02 | 1711.55 | 3400.20 | 10967.73 | 22258.73 | 16580.73 |
| May | 5207.63 | 3036.03 | 1602.03 | 3117.76 | 10498.49 | 20601.19 | 16037.49 |
| Jun | 5538.97 | 3119.99 | 1633.99 | 3321.61 | 10730.83 | 21275.92 | 16382.20 |
| Jul | 5518.90 | 3071.73 | 1643.26 | 3300.75 | 10823.81 | 21521.53 | 16406.56 |
| Aug | 5480.48 | 3023.71 | 1651.74 | 3106.52 | 10618.05 | 20704.37 | 16442.07 |
| Sep | 5677.79 | 3043.35 | 1668.45 | 3119.99 | 10829.68 | 21755.84 | 16658.63 |
| Oct | 5729.86 | 3068.72 | 1690.63 | 3229.88 | 11358.71 | 22927.04 | 16483.16 |
| Nov | 5905.17 | 3009.43 | 1666.48 | 3193.92 | 11489.57 | 23293.91 | 17040.20 |
| Dec | 5978.06 | 3195.98 | 1802.29 | 3222.83 | 11997.14 | 23656.62 | 17063.43 |

Note: Month-end or year-end figures.

World stock market indices (2019)



S&P/HKEX GEM Index, daily closing (2019)

28 February 2003 = 1000

| Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-------------|---------------|--------|--------|--------|--------|--------|--------|-------|-------|-------|-------|--------------|
| 1 | - | 134.09 | 135.38 | 129.54 | - | - | - | 95.53 | - | - | 82.04 | - |
| 2 | 140.92 | - | - | 128.71 | 125.25 | - | 101.71 | 94.34 | 90.58 | 90.01 | - | 77.23 |
| 3 | 140.74 | - | - | 130.52 | 125.00 | 105.92 | 100.72 | - | 89.89 | 90.13 | - | 77.62 |
| 4 | 141.52 | 135.36 | 134.55 | 130.39 | - | 104.36 | 100.36 | - | 90.93 | 89.71 | 82.06 | 77.72 |
| 5 | - | - | 133.64 | - | - | 104.62 | 99.84 | 93.28 | 90.86 | - | 82.24 | 76.97 |
| 6 | - | - | 133.60 | - | 121.14 | 105.37 | - | 92.32 | 90.61 | - | 82.46 | 76.56 |
| 7 | 141.26 | - | 131.04 | - | 120.95 | - | - | 92.48 | - | - | 83.98 | - |
| 8 | 141.45 | 135.62 | 130.13 | 132.33 | 119.26 | - | 98.27 | 93.14 | - | 88.67 | 84.80 | - |
| 9 | 141.32 | - | - | 132.79 | 117.25 | - | 97.66 | 92.82 | 89.43 | 86.58 | - | 77.15 |
| 10 | 140.72 | - | - | 132.24 | 118.15 | 105.65 | 98.34 | - | 87.99 | 86.52 | - | 76.58 |
| 11 | 140.40 | 134.90 | 130.29 | 131.76 | - | 106.47 | 97.85 | - | 88.15 | 86.54 | 83.45 | 76.78 |
| 12 | - | 135.17 | 130.32 | 130.67 | - | 104.92 | 98.31 | 91.94 | 89.90 | - | 82.62 | 77.24 |
| 13 | - | 135.44 | 129.65 | - | - | 104.18 | - | 90.25 | 90.33 | - | 81.92 | 78.25 |
| 14 | 139.86 | 135.76 | 129.71 | - | 115.57 | 105.07 | - | 90.67 | - | 85.49 | 81.17 | - |
| 15 | 139.83 | 135.06 | 128.50 | 129.93 | 117.10 | - | 96.94 | 90.41 | - | 84.79 | 80.91 | - |
| 16 | 138.38 | - | - | 129.20 | 116.37 | - | 97.21 | 90.71 | 89.48 | 83.91 | - | 77.79 |
| 17 | 138.11 | - | - | 129.00 | 114.47 | 105.01 | 96.63 | - | 89.46 | 84.08 | - | 77.59 |
| 18 | 136.00 | 135.53 | 128.52 | 128.06 | - | 104.87 | 96.32 | - | 90.11 | 84.08 | 80.97 | 77.75 |
| 19 | - | 134.47 | 131.31 | - | - | 104.62 | 95.98 | 92.58 | 90.12 | - | 81.00 | 79.05 |
| 20 | - | 134.18 | 130.67 | - | 111.83 | 104.78 | - | 94.33 | 89.41 | - | 81.00 | 78.08 |
| 21 | 135.36 | 133.96 | 130.50 | - | 111.09 | 104.15 | - | 94.54 | - | 84.99 | 81.30 | - |
| 22 | 135.22 | 133.79 | 129.91 | - | 110.40 | - | 95.44 | 94.76 | - | 85.17 | 81.07 | - |
| 23 | 134.71 | - | - | 128.59 | 109.24 | - | 95.58 | 95.20 | 90.24 | 83.14 | - | 77.72 |
| 24 | 134.04 | - | - | 126.51 | 108.46 | 104.37 | 96.08 | - | 89.69 | 82.51 | - | 77.19 |
| 25 | 134.53 | 135.70 | 130.43 | 124.78 | - | 97.95 | 96.15 | - | 88.96 | 83.02 | 81.16 | - |
| 26 | - | 133.89 | 130.58 | 125.14 | - | 99.01 | 97.18 | 93.47 | 89.38 | - | 81.11 | - |
| 27 | - | 134.19 | 129.65 | - | 107.15 | 100.39 | - | 92.81 | 89.63 | - | 80.23 | 77.84 |
| 28 | 136.51 | 134.50 | 131.17 | - | 107.63 | 101.52 | - | 92.23 | - | 83.67 | 79.83 | - |
| 29 | 135.29 | - | 130.47 | 124.53 | 107.90 | - | 96.05 | 92.14 | - | 83.77 | 78.35 | - |
| 30 | 136.16 | - | - | 125.64 | 109.13 | - | 96.52 | 91.47 | 90.50 | 82.33 | - | 78.35 |
| 31 | 136.14 | - | - | - | 108.45 | - | 96.61 | - | - | 82.92 | - | 79.44 |
| High | 141.52 | 135.76 | 135.38 | 132.79 | 125.25 | 106.47 | 101.71 | 95.53 | 90.93 | 90.13 | 84.80 | 79.44 |
| Low | 134.04 | 133.79 | 128.50 | 124.53 | 107.15 | 97.95 | 95.44 | 90.25 | 87.99 | 82.33 | 78.35 | 76.56 |
| Avg | 138.11 | 134.80 | 130.95 | 128.96 | 114.37 | 103.85 | 97.53 | 92.79 | 89.79 | 85.33 | 81.60 | 77.65 |

Note: S&P/HKEX GEM Index is compiled by Standard & Pooors

S&P/HKEX GEM Index, daily movement (2019)



Number of securities by type on GEM, 2015-2019

| Type | Year | | | | |
|-----------------------|------------|------------|------------|------------|------------|
| | 2015 | 2016 | 2017 | 2018 | 2019 |
| Ordinary shares | 222 | 260 | 324 | 389 | 378 |
| Preference shares | 0 | 0 | 0 | 0 | 0 |
| Warrants | 1 | 1 | 1 | 1 | 1 |
| – Equity warrants | 1 | 1 | 1 | 1 | 1 |
| – Derivative warrants | 0 | 0 | 0 | 0 | 0 |
| Total | 223 | 261 | 325 | 390 | 379 |

Number of newly listed securities on GEM, 2015-2019

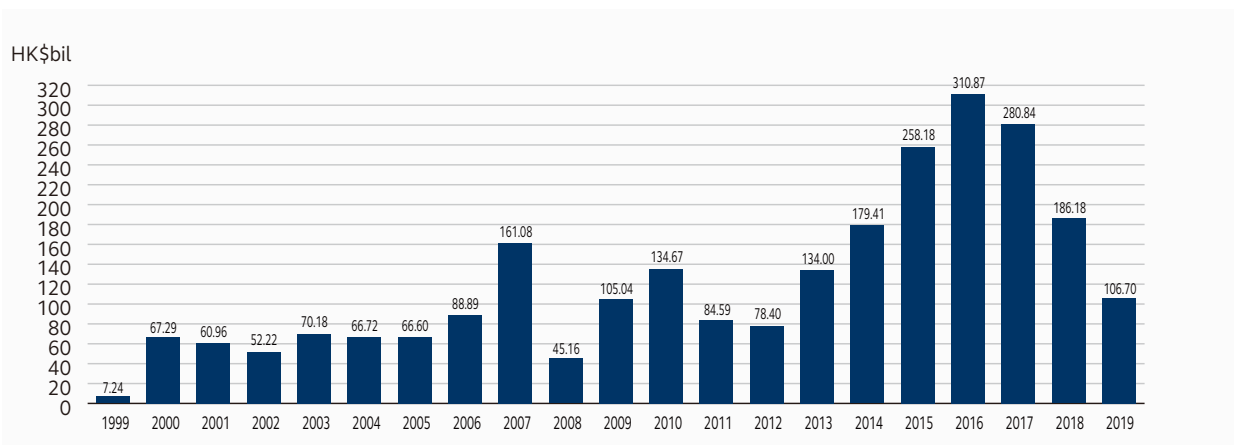
| Type | Year | | | | |
|-----------------------|-----------|-----------|-----------|-----------|-----------|
| | 2015 | 2016 | 2017 | 2018 | 2019 |
| Ordinary shares | 34 | 45 | 80 | 75 | 15 |
| Preference shares | 0 | 0 | 0 | 0 | 0 |
| Warrants | 1 | 1 | 0 | 0 | 0 |
| – Equity warrants | 1 | 1 | 0 | 0 | 0 |
| – Derivative warrants | 0 | 0 | 0 | 0 | 0 |
| Total | 35 | 46 | 80 | 75 | 15 |

Number of delisted securities from GEM, 2015-2019

| Type | Year | | | | |
|--------------------------------------|-----------|----------|-----------|-----------|-----------|
| | 2015 | 2016 | 2017 | 2018 | 2019 |
| Ordinary shares | 16 | 7 | 16 | 10 | 26 |
| – Withdrawal of listing | 2 | 1 | 3 | 0 | 6 |
| – Transfer of listing on Main Board* | 14 | 6 | 13 | 10 | 20 |
| Warrants – equity | 0 | 1 | 0 | 0 | 0 |
| Total | 16 | 8 | 16 | 10 | 26 |

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

Market capitalisation of GEM, 1999-2019



Market capitalisation of GEM by Hang Seng Industry Classification System, 2019

HK\$mil

| Industry Classification * | 2019 | | | | | | | | | | | |
|---------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
| Energy | 1,908.39 | 2,041.73 | 2,042.30 | 2,026.86 | 1,764.82 | 1,693.59 | 1,565.45 | 1,426.83 | 1,259.14 | 1,173.95 | 1,155.88 | 1,150.19 |
| Materials | 4,532.47 | 4,425.22 | 4,225.25 | 4,053.26 | 3,836.51 | 3,357.55 | 3,779.64 | 3,624.82 | 3,058.32 | 1,715.32 | 1,502.00 | 1,542.59 |
| Industrials | 19,810.54 | 21,703.13 | 20,504.99 | 18,756.16 | 16,464.62 | 16,398.21 | 15,696.44 | 14,219.13 | 14,147.99 | 13,260.92 | 12,378.66 | 12,873.24 |
| Consumer Discretionary ^ | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | 38,030.75 | 38,916.86 | 36,398.78 | 35,853.20 |
| Consumer Staples ^ | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | 5,147.49 | 4,845.71 | 4,297.50 | 4,155.65 |
| Healthcare ^ | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | n.a. | 5,585.08 | 5,915.38 | 5,726.80 | 5,828.78 |
| Telecommunications | 497.03 | 517.04 | 505.18 | 438.29 | 406.30 | 427.44 | 307.93 | 373.45 | 418.71 | 323.31 | 232.52 | 213.88 |
| Utilities | 1,039.88 | 1,004.52 | 1,120.36 | 1,234.04 | 969.18 | 862.68 | 875.27 | 816.83 | 752.97 | 692.98 | 580.42 | 583.42 |
| Financials | 15,339.97 | 16,038.10 | 14,754.83 | 15,572.98 | 13,673.58 | 13,491.45 | 13,628.89 | 13,015.84 | 13,577.52 | 6,987.71 | 7,344.03 | 7,257.03 |
| Properties & Construction | 24,484.10 | 24,203.55 | 23,610.89 | 23,609.38 | 20,851.10 | 19,812.96 | 18,430.23 | 16,592.80 | 19,461.27 | 17,385.07 | 17,584.53 | 17,749.96 |
| Information Technology | 27,698.26 | 28,220.14 | 27,919.44 | 28,647.56 | 26,068.31 | 23,130.83 | 22,418.21 | 21,824.32 | 19,710.72 | 18,631.60 | 18,409.97 | 19,379.54 |
| Conglomerates | 274.07 | 273.78 | 310.65 | 285.70 | 264.82 | 215.43 | 210.70 | 272.81 | 117.41 | 120.21 | 120.21 | 111.82 |
| Consumer Goods ^ | 25,367.64 | 24,832.44 | 24,773.54 | 24,961.41 | 20,815.13 | 21,028.60 | 18,563.36 | 17,841.30 | n.a. | n.a. | n.a. | n.a. |
| Consumer Services ^ | 48,659.93 | 47,236.16 | 47,122.90 | 44,549.65 | 42,079.38 | 34,573.43 | 33,173.90 | 30,530.83 | n.a. | n.a. | n.a. | n.a. |
| Total | 169,612.27 | 170,495.82 | 166,890.33 | 164,135.28 | 147,193.74 | 134,992.16 | 128,650.02 | 120,538.96 | 121,267.37 | 109,969.02 | 105,731.29 | 106,699.32 |

Note: Month-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Market capitalisation trends on GEM by Hang Seng Industry Classification System, 2015-2019

HK\$mil

| Industry Classification * | 2015 | | 2016 | | 2017 | | 2018 | | 2019 | |
|---------------------------|-------------------|------------------|-------------------|------------------|-------------------|------------------|-------------------|------------------|-------------------|------------------|
| Energy | 1,641.55 | (0.64%) | 1,347.60 | (0.43%) | 1,471.69 | (0.52%) | 1,720.02 | (0.92%) | 1,150.19 | (1.08%) |
| Materials | 8,564.68 | (3.32%) | 4,878.76 | (1.57%) | 5,031.41 | (1.79%) | 4,708.81 | (2.53%) | 1,542.59 | (1.45%) |
| Industrials | 21,072.97 | (8.16%) | 26,629.77 | (8.57%) | 42,680.61 | (15.20%) | 20,307.90 | (10.91%) | 12,873.24 | (12.06%) |
| Consumer Discretionary ^ | n.a. | - | n.a. | - | n.a. | - | n.a. | - | 35,853.20 | (33.60%) |
| Consumer Staples ^ | n.a. | - | n.a. | - | n.a. | - | n.a. | - | 4,155.65 | (3.89%) |
| Healthcare ^ | n.a. | - | n.a. | - | n.a. | - | n.a. | - | 5,828.78 | (5.46%) |
| Telecommunications | 8,459.78 | (3.28%) | 2,994.10 | (0.96%) | 1,078.79 | (0.38%) | 489.46 | (0.26%) | 213.88 | (0.20%) |
| Utilities | 3,409.32 | (1.32%) | 5,134.06 | (1.65%) | 5,832.47 | (2.08%) | 1,227.36 | (0.66%) | 583.42 | (0.55%) |
| Financials | 32,675.84 | (12.66%) | 44,936.10 | (14.45%) | 42,377.61 | (15.09%) | 20,615.57 | (11.07%) | 7,257.03 | (6.80%) |
| Properties & Construction | 17,957.06 | (6.96%) | 58,161.26 | (18.71%) | 18,263.10 | (6.50%) | 26,956.95 | (14.48%) | 17,749.96 | (16.64%) |
| Information Technology | 57,268.83 | (22.18%) | 45,638.54 | (14.68%) | 50,442.88 | (17.96%) | 29,105.84 | (15.63%) | 19,379.54 | (18.16%) |
| Conglomerates | 110.47 | (0.04%) | 88.45 | (0.03%) | 109.30 | (0.04%) | 313.13 | (0.17%) | 111.82 | (0.10%) |
| Consumer Goods ^ | 49,520.07 | (19.18%) | 49,218.36 | (15.83%) | 40,499.17 | (14.42%) | 28,332.16 | (15.22%) | n.a. | - |
| Consumer Services ^ | 57,495.16 | (22.27%) | 71,844.86 | (23.11%) | 73,054.41 | (26.01%) | 52,406.12 | (28.15%) | n.a. | - |
| Equity total | 258,175.74 | (100.00%) | 310,871.86 | (100.00%) | 280,841.44 | (100.00%) | 186,183.32 | (100.00%) | 106,699.32 | (100.00%) |

() % of equity market total

Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

50 leading companies in market capitalisation on GEM

End of 2019

| Rank | Code | Company | Issued capital (shares) | Closing price (HK\$) | Market capitalisation (HK\$mil) | % of market total |
|------|-------|-------------------------------------------------------------|----------------------------|-------------------------|------------------------------------|----------------------|
| 1 | 08083 | China Youzan Ltd. | 15,465,807,617 | 0.495 | 7,655.57 | 7.17 |
| 2 | 08137 | Honbridge Holdings Ltd. | 9,854,533,606 | 0.500 | 4,927.27 | 4.62 |
| 3 | 08032 | Viva China Holdings Ltd. | 8,864,332,726 | 0.500 | 4,432.17 | 4.15 |
| 4 | 08279 | AGTech Holdings Ltd. | 11,672,342,235 | 0.365 | 4,260.40 | 3.99 |
| 5 | 08619 | WAC Holdings Ltd. | 960,000,000 | 3.000 | 2,880.00 | 2.70 |
| 6 | 08295 | ZZ Capital International Ltd. | 3,550,496,836 | 0.790 | 2,804.89 | 2.63 |
| 7 | 08516 | Grand Talents Group Holdings Ltd. | 480,000,000 | 4.080 | 1,958.40 | 1.84 |
| 8 | 08167 | Neo Telemedia Ltd. | 9,522,184,345 | 0.170 | 1,618.77 | 1.52 |
| 9 | 08176 | SuperRobotics Ltd. | 506,219,666 | 2.900 | 1,468.04 | 1.38 |
| 10 | 08053 | Pizu Group Holdings Ltd. | 3,558,724,852 | 0.410 | 1,459.08 | 1.37 |
| 11 | 08170 | China All Nation International Holdings Group Ltd. | 411,200,000 | 3.150 | 1,295.28 | 1.21 |
| 12 | 08037 | China Biotech Services Holdings Ltd. | 969,806,150 | 1.280 | 1,241.35 | 1.16 |
| 13 | 08227 | Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares | 645,764,706 | 1.890 | 1,220.50 | 1.14 |
| 14 | 08057 | Madison Holdings Group Ltd. | 5,192,726,898 | 0.210 | 1,090.47 | 1.02 |
| 15 | 08418 | Optima Automobile Group Holdings Ltd. | 850,000,000 | 1.270 | 1,079.50 | 1.01 |
| 16 | 08016 | Changhong Jiahua Holdings Ltd. | 1,454,652,000 | 0.730 | 1,061.90 | 1.00 |
| 17 | 08491 | Cool Link (Holdings) Ltd. | 600,000,000 | 1.750 | 1,050.00 | 0.98 |
| 18 | 08026 | China Brilliant Global Ltd. | 1,450,963,725 | 0.720 | 1,044.69 | 0.98 |
| 19 | 08156 | Sinopharm Tech Holdings Ltd. | 4,108,855,068 | 0.249 | 1,023.10 | 0.96 |
| 20 | 08246 | Zhonghua Gas Holdings Ltd. | 3,585,032,000 | 0.285 | 1,021.73 | 0.96 |
| 21 | 08047 | China Ocean Fishing Holdings Ltd. | 4,225,960,179 | 0.240 | 1,014.23 | 0.95 |
| 22 | 08039 | KNK Holdings Ltd. | 418,000,000 | 2.280 | 953.04 | 0.89 |
| 23 | 08308 | Gudou Holdings Ltd. | 980,000,000 | 0.920 | 901.60 | 0.84 |
| 24 | 08328 | Xinyi Automobile Glass Hong Kong Enterprises Ltd. | 648,135,553 | 1.380 | 894.43 | 0.84 |
| 25 | 08228 | National Arts Entertainment and Culture Group Ltd. | 4,948,170,452 | 0.180 | 890.67 | 0.83 |
| 26 | 08283 | Zheng Li Holdings Ltd. | 2,000,000,000 | 0.405 | 810.00 | 0.76 |
| 27 | 08082 | Sun Entertainment Group Ltd. | 1,250,798,007 | 0.640 | 800.51 | 0.75 |
| 28 | 08480 | Furniweb Holdings Ltd. | 560,000,000 | 1.420 | 795.20 | 0.75 |
| 29 | 08140 | BOSA Technology Holdings Ltd. | 800,000,000 | 0.970 | 776.00 | 0.73 |
| 30 | 08627 | Orange Tour Cultural Holding Ltd. | 800,000,000 | 0.920 | 736.00 | 0.69 |
| 31 | 08313 | ZACD Group Ltd. | 2,000,000,000 | 0.345 | 690.00 | 0.65 |
| 32 | 08487 | ISP Global Ltd. | 800,000,000 | 0.850 | 680.00 | 0.64 |
| 33 | 08366 | Zhejiang United Investment Holdings Group Ltd. | 1,577,200,000 | 0.410 | 646.65 | 0.61 |
| 34 | 08005 | Yuxing InfoTech Investment Holdings Ltd. | 2,073,088,800 | 0.310 | 642.66 | 0.60 |
| 35 | 08413 | Asia Grocery Distribution Ltd. | 1,162,000,000 | 0.550 | 639.10 | 0.60 |
| 36 | 08130 | Dadi International Group Ltd. | 3,564,945,946 | 0.174 | 620.30 | 0.58 |
| 37 | 08029 | Sun International Group Ltd. | 2,171,732,000 | 0.285 | 618.94 | 0.58 |
| 38 | 08095 | Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs | 678,720,000 | 0.780 | 529.40 | 0.50 |
| 39 | 08612 | World Super Holdings Ltd. | 600,000,000 | 0.880 | 528.00 | 0.49 |
| 40 | 08310 | Dafeng Port Heshun Technology Co. Ltd. | 1,288,000,000 | 0.405 | 521.64 | 0.49 |
| 41 | 08041 | Luxey International (Holdings) Ltd. | 6,828,772,313 | 0.075 | 512.16 | 0.48 |
| 42 | 08162 | Loco Hong Kong Holdings Ltd. | 576,170,000 | 0.880 | 507.03 | 0.48 |
| 43 | 08206 | Shentong Robot Education Group Co. Ltd. | 1,895,697,017 | 0.265 | 502.36 | 0.47 |
| 44 | 08178 | China Information Technology Development Ltd. | 5,722,159,908 | 0.084 | 480.66 | 0.45 |
| 45 | 08425 | Hing Ming Holdings Ltd. | 400,000,000 | 1.160 | 464.00 | 0.43 |
| 46 | 08441 | IWS Group Holdings Ltd. | 800,000,000 | 0.560 | 448.00 | 0.42 |
| 47 | 08050 | Quantum Thinking Ltd. | 1,356,250,000 | 0.320 | 434.00 | 0.41 |
| 48 | 08105 | Kingsley Edugroup Ltd. | 800,000,000 | 0.540 | 432.00 | 0.40 |
| 49 | 08171 | China Trends Holdings Ltd. | 42,716,118,022 | 0.010 | 427.16 | 0.40 |
| 50 | 08069 | WWPKG Holdings Co. Ltd. | 400,000,000 | 1.060 | 424.00 | 0.40 |
| | | Total | | | 63,912.86 | 59.90 |
| | | Equity total | | | 106,699.32 | 100.00 |

Trading value and volume for GEM, 2015-2019

| Year/Month | No. of trading days | Value (HK\$mil) | | Volume (mil of shares) | | Deals | | |
|------------|---------------------|-----------------|---------------|------------------------|---------------|-----------|---------------|--------|
| | | Total | Average daily | Total | Average daily | Total | Average daily | |
| 2015 | 247 | 254,663.27 | 1,031.03 | 602,894.91 | 2,440.87 | 6,324,001 | 25,603 | |
| 2016 | 245 | 116,449.49 | 475.30 | 434,388.56 | 1,773.01 | 3,449,127 | 14,078 | |
| 2017 | 246 | 149,061.01 | 605.94 | 477,690.71 | 1,941.83 | 4,785,031 | 19,451 | |
| 2018 | 246 | 127,487.49 | 518.24 | 334,890.26 | 1,361.34 | 4,629,493 | 18,819 | |
| 2019 | 246 | 49,860.88 | 202.69 | 190,020.19 | 772.44 | 2,418,371 | 9,831 | |
| 2019 | Jan | 22 | 6,048.14 | 274.92 | 18,536.34 | 842.56 | 279,480 | 12,704 |
| | Feb | 17 | 3,134.32 | 184.37 | 9,795.82 | 576.22 | 156,696 | 9,217 |
| | Mar | 21 | 6,068.25 | 288.96 | 22,474.41 | 1,070.21 | 269,956 | 12,855 |
| | Apr | 19 | 5,279.89 | 277.89 | 15,518.40 | 816.76 | 211,747 | 11,145 |
| | May | 21 | 4,222.93 | 201.09 | 16,810.73 | 800.51 | 211,381 | 10,066 |
| | Jun | 19 | 4,591.34 | 241.65 | 21,807.21 | 1,147.75 | 242,812 | 12,780 |
| | Jul | 22 | 3,675.22 | 167.06 | 17,352.87 | 788.77 | 186,047 | 8,457 |
| | Aug | 22 | 2,845.87 | 129.36 | 10,450.90 | 475.04 | 127,263 | 5,785 |
| | Sep | 21 | 3,317.72 | 157.99 | 14,132.46 | 672.97 | 165,611 | 7,886 |
| | Oct | 21 | 3,900.53 | 185.74 | 15,473.71 | 736.84 | 202,043 | 9,621 |
| | Nov | 21 | 3,338.77 | 158.99 | 12,458.94 | 593.28 | 178,121 | 8,482 |
| | Dec | 20 | 3,437.90 | 171.90 | 15,208.39 | 760.42 | 187,214 | 9,361 |

Trading value for GEM by Hang Seng Industry Classification System, 2019

| Industry Classification * | No. of issue # | Equity turnover | | Annual turnover velocity (%) |
|---------------------------|----------------|-----------------|------------|------------------------------|
| | | HK\$mil | % of total | |
| Energy | 9 | 686.53 | 1.38 | 59.69 |
| Materials | 12 | 2,725.30 | 5.47 | 176.67 |
| Industrials | 45 | 3,029.61 | 6.08 | 23.53 |
| Consumer Discretionary ^ | 126 | 4,712.14 | 9.45 | 13.14 |
| Consumer Staples ^ | 18 | 614.44 | 1.23 | 14.79 |
| Healthcare ^ | 17 | 484.80 | 0.97 | 8.32 |
| Telecommunications | 2 | 430.59 | 0.86 | 201.32 |
| Utilities | 3 | 190.39 | 0.38 | 32.63 |
| Financials | 31 | 5,180.45 | 10.39 | 71.39 |
| Properties & Construction | 51 | 6,199.76 | 12.43 | 34.93 |
| Information Technology | 63 | 9,104.44 | 18.26 | 46.98 |
| Conglomerates | 1 | 307.88 | 0.62 | 275.33 |
| Consumer Goods ^ | n.a. | 7,262.46 | 14.57 | - |
| Consumer Services ^ | n.a. | 8,932.07 | 17.91 | - |
| Total | 378 | 49,860.85 | 100.00 | 46.73 |

Notes:

- Equity turnover values have been adjusted for late reported and rejected sales.
- Figures may not add up to total due to rounding.

Year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

20 most active GEM stocks (in dollars)

| Rank | Stock Code | Stock Name | Turnover (HK\$mil) | % of market total |
|------|------------|-----------------|--------------------|-------------------|
| 1 | 08083 | CHINA YOUZAN | 3,888.07 | 7.80 |
| 2 | 08469 | SHENGYE CAPITAL | 1,648.30 | 3.31 |
| 3 | 08057 | MADISON HLDG | 1,502.28 | 3.01 |
| 4 | 08293 | SINGASIA HLDG | 1,349.74 | 2.71 |
| 5 | 08436 | TAKBO GROUP | 1,061.42 | 2.13 |
| 6 | 08621 | METROPOLIS CAP | 993.38 | 1.99 |
| 7 | 08022 | EVERSHINE GP | 958.56 | 1.92 |
| 8 | 08227 | HAITIAN ANTENNA | 906.00 | 1.82 |
| 9 | 08228 | NATIONAL ARTS | 805.97 | 1.62 |
| 10 | 08156 | SINOPHARM TECH | 802.46 | 1.61 |
| 11 | 08418 | OPTIMA AUTO | 692.03 | 1.39 |
| 12 | 08139 | CHANGAN RH | 656.09 | 1.32 |
| 13 | 08537 | DOMINATE GP | 611.98 | 1.23 |
| 14 | 08635 | NOVACON TECH | 600.20 | 1.20 |
| 15 | 08259 | HON CORP | 599.97 | 1.20 |
| 16 | 08162 | LOCO HK | 573.07 | 1.15 |
| 17 | 08491 | COOL LINK | 571.93 | 1.15 |
| 18 | 08202 | INNO-TECH HOLD | 567.58 | 1.14 |
| 19 | 08283 | ZHENG LI HLDG | 538.84 | 1.08 |
| 20 | 08480 | FURNIWEB | 527.30 | 1.06 |
| | | Total | 19,855.18 | 39.82 |
| | | Equity total | 49,860.85 | 100.00 |

20 most active GEM stocks (in shares)

| Rank | Stock Code | Stock Name | Turnover (mil of shares) | % of market total |
|--------------|------------|-----------------|--------------------------|-------------------|
| 1 | 08153 | CODE AGRI | 13,163.57 | 6.93 |
| 2 | 08207 | CHONGSING HLDGS | 7,908.87 | 4.16 |
| 3 | 08083 | CHINA YOUZAN | 6,950.56 | 3.66 |
| 4 | 08293 | SINGASIA HLDG | 5,856.49 | 3.08 |
| 5 | 08202 | INNO-TECH HOLD | 4,674.62 | 2.46 |
| 6 | 08086 | SINO VISION WW | 4,372.69 | 2.30 |
| 7 | 08179 | FOOD IDEA | 4,066.86 | 2.14 |
| 8 | 08147 | MILLENNIUM PG | 3,566.41 | 1.88 |
| 9 | 08022 | EVERSHINE GP | 3,438.51 | 1.81 |
| 10 | 08021 | WLS HOLDINGS | 3,284.64 | 1.73 |
| 11 | 08228 | NATIONAL ARTS | 3,191.46 | 1.68 |
| 12 | 08265 | CHINA TRUSTFUL | 3,073.55 | 1.62 |
| 13 | 08156 | SINOPHARM TECH | 3,000.29 | 1.58 |
| 14 | 08078 | C CREATIVE DIGI | 2,895.54 | 1.52 |
| 15 | 08048 | YU TAK INT'L | 2,557.43 | 1.35 |
| 16 | 08163 | MERDEKA FIN | 2,471.12 | 1.30 |
| 17 | 08057 | MADISON HLDG | 2,405.99 | 1.27 |
| 18 | 08148 | AURUM PACIFIC | 2,380.87 | 1.25 |
| 19 | 08158 | CRMI | 2,345.16 | 1.23 |
| 20 | 08621 | METROPOLIS CAP | 2,061.75 | 1.09 |
| Total | | | 83,666.38 | 44.03 |
| Equity total | | | 190,014.57 | 100.00 |

20 GEM Stocks with largest price changes (increase)

| Rank | Stock Code | Stock Name | Closing price (HK\$) | | % up |
|------|------------|-----------------|----------------------|-------|--------|
| | | | 2018 | 2019 | |
| 1 | 08295 | ZZ CAP INTL | 0.102 | 0.790 | 674.51 |
| 2 | 08195 | L&A INTL HOLD | 0.035 | 0.170 | 385.71 |
| 3 | 08413 | ASIA GROCERY | 0.168 | 0.550 | 227.38 |
| 4 | 08162 | LOCO HK | 0.285 | 0.880 | 208.77 |
| 5 | 08366 | ZJ UNITED INV | 0.141 | 0.410 | 190.78 |
| 6 | 08516 | GRAND TALENTS | 1.450 | 4.080 | 181.38 |
| 7 | 08109 | KIRIN GROUP | 0.127 | 0.340 | 167.72 |
| 8 | 08619 | WAC HOLDINGS | 1.170 | 3.000 | 156.41 |
| 9 | 08026 | CB GLOBAL | 0.290 | 0.720 | 148.28 |
| 10 | 08475 | K GROUP HLDGS | 0.240 | 0.570 | 137.50 |
| 11 | 08235 | CCID CONSULTING | 0.190 | 0.420 | 121.05 |
| 12 | 08118 | BORTEX GLOBAL | 0.183 | 0.400 | 118.58 |
| 13 | 08376 | FINELANDSERVICE | 0.270 | 0.570 | 111.11 |
| 14 | 08431 | HAO BAI INTL | 0.105 | 0.220 | 109.52 |
| 15 | 08239 | CAPITAL FIN | 0.059 | 0.113 | 91.53 |
| 16 | 08521 | ST INTL HLDGS | 0.250 | 0.465 | 86.00 |
| 17 | 08363 | SDM GROUP | 0.590 | 1.070 | 81.36 |
| 18 | 08076 | SING LEE | 0.096 A | 0.171 | 78.13 |
| 19 | 08041 | LUXEY INT'L | 0.043 | 0.075 | 74.42 |
| 20 | 08069 | WWPKG HOLDINGS | 0.630 | 1.060 | 68.25 |

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

| Rank | Stock Code | Stock Name | Closing Prices (HK\$) | | % down |
|------|------------|-----------------|-----------------------|-------|--------|
| | | | 2018 | 2019 | |
| 1 | 08293 | SINGASIA HLDG | 6.300 | 0.058 | 99.08 |
| 2 | 08265 | CHINA TRUSTFUL | 1.450 | 0.026 | 98.21 |
| 3 | 08022 | EVERSHINE GP | 1.300 | 0.029 | 97.77 |
| 4 | 08259 | HON CORP | 2.050 | 0.112 | 94.54 |
| 5 | 08090 | CHI ASSURANCE | 0.640 | 0.041 | 93.59 |
| 6 | 08232 | CLASSIFIED GP | 1.160 | 0.076 | 93.45 |
| 7 | 08202 | INNO-TECH HOLD | 0.243 | 0.020 | 91.77 |
| 8 | 08460 | BASETROPHY GP | 1.700 | 0.140 | 91.76 |
| 9 | 08341 | AESO HOLDING | 2.700 | 0.241 | 91.07 |
| 10 | 08173 | UNION ASIA ENT | 0.625 A | 0.064 | 89.76 |
| 11 | 08103 | HMVOD | 1.360 | 0.156 | 88.53 |
| 12 | 08423 | CHI HO DEV | 3.600 | 0.430 | 88.06 |
| 13 | 08207 | CHONGSING HLDGS | 0.099 | 0.012 | 87.88 |
| 14 | 08301 | MINGWAH HI TECH | 0.450 | 0.062 | 86.22 |
| 15 | 08078 | C CREATIVE DIGI | 0.600 A | 0.083 | 86.17 |
| 16 | 08158 | CRMI | 1.500 A | 0.208 | 86.13 |
| 17 | 08237 | LINK HOLDINGS | 0.185 | 0.030 | 83.78 |
| 18 | 08042 | KOS INTL | 0.285 | 0.051 | 82.11 |
| 19 | 08030 | FLYING FIN | 0.550 | 0.102 | 81.45 |
| 20 | 08430 | C&N HOLDINGS | 0.430 | 0.080 | 81.40 |

(A) Adjusted

Market capitalisation and trading value of GEM listed companies by incorporation place

End of 2019

| Country | No. of listed companies | Market capitalisation (HK\$mil) | % of equity total | Turnover (HK\$mil) | % of equity total |
|----------------|-------------------------|---------------------------------|-------------------|--------------------|-------------------|
| Bermuda | 43 | 24,232.21 | 22.71 | 9,292.47 | 18.64 |
| Cayman Islands | 302 | 77,153.33 | 72.31 | 36,192.04 | 72.59 |
| Hong Kong | 9 | 1,409.20 | 1.32 | 1,945.68 | 3.90 |
| PRC | 22 | 2,995.05 | 2.81 | 2,092.18 | 4.20 |
| Singapore | 2 | 909.54 | 0.85 | 338.48 | 0.68 |
| Total | 378 | 106,699.32 | 100.00 | 49,860.85 | 100.00 |

Average dividend yields of GEM listed stocks by Hang Seng Industry Classification System*, 2015-2019

(%)

| Year/Month | All listed stocks | Energy | Materials | Industrials | Consumer Discretionary [^] | Consumer Staples [^] | Healthcare [^] | Telecommunications | Utilities | Financials | Properties & Construction | Information Technology | Conglomerates | Consumer Goods [^] | Consumer Services [^] |
|------------|-------------------|--------|-----------|-------------|-------------------------------------|-------------------------------|-------------------------|--------------------|-----------|------------|---------------------------|------------------------|---------------|-----------------------------|--------------------------------|
| 2015 | 0.30 | 0.00 | 0.03 | 0.10 | n.a. | n.a. | n.a. | 0.33 | 0.00 | 0.23 | 0.02 | 0.78 | 0.00 | 0.34 | 0.06 |
| 2016 | 0.25 | 0.00 | 0.00 | 0.06 | n.a. | n.a. | n.a. | 1.75 | 0.00 | 0.09 | 0.03 | 0.91 | 0.00 | 0.37 | 0.08 |
| 2017 | 0.38 | 0.00 | 0.00 | 0.49 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 0.39 | 0.10 | 0.92 | 0.00 | 0.35 | 0.09 |
| 2018 | 0.45 | 0.00 | 0.00 | 0.29 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 1.32 | 0.41 | 0.51 | 0.00 | 0.20 | 0.36 |
| 2019 | 1.79 | 0.00 | 0.00 | 0.34 | 4.36 | 0.18 | 0.76 | 0.00 | 0.00 | 1.03 | 0.58 | 0.36 | 0.00 | n.a. | n.a. |
| 2019 Jan | 0.42 | 0.00 | 0.00 | 0.24 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 1.00 | 0.45 | 0.53 | 0.00 | 0.22 | 0.39 |
| Feb | 0.41 | 0.00 | 0.00 | 0.22 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 1.00 | 0.46 | 0.52 | 0.00 | 0.23 | 0.37 |
| Mar | 0.38 | 0.00 | 0.00 | 0.28 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 0.92 | 0.36 | 0.39 | 0.00 | 0.30 | 0.37 |
| Apr | 1.19 | 0.00 | 0.00 | 0.31 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 0.87 | 0.36 | 0.38 | 0.00 | 0.30 | 3.33 |
| May | 1.33 | 0.00 | 0.00 | 0.32 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 0.98 | 0.41 | 0.42 | 0.00 | 0.36 | 3.56 |
| Jun | 1.49 | 0.00 | 0.00 | 0.32 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 1.01 | 0.50 | 0.48 | 0.00 | 0.41 | 4.40 |
| Jul | 1.55 | 0.00 | 0.00 | 0.27 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 1.00 | 0.54 | 0.50 | 0.00 | 0.46 | 4.58 |
| Aug | 1.66 | 0.00 | 0.00 | 0.34 | n.a. | n.a. | n.a. | 0.00 | 0.00 | 0.98 | 0.64 | 0.51 | 0.00 | 0.54 | 4.95 |
| Sep | 1.65 | 0.00 | 0.00 | 0.35 | 4.09 | 0.15 | 0.79 | 0.00 | 0.00 | 0.94 | 0.54 | 0.57 | 0.00 | n.a. | n.a. |
| Oct | 1.80 | 0.00 | 0.00 | 0.37 | 4.04 | 0.16 | 0.75 | 0.00 | 0.00 | 1.33 | 0.61 | 0.60 | 0.00 | n.a. | n.a. |
| Nov | 1.87 | 0.00 | 0.00 | 0.40 | 4.32 | 0.18 | 0.77 | 0.00 | 0.00 | 1.16 | 0.59 | 0.61 | 0.00 | n.a. | n.a. |
| Dec | 1.79 | 0.00 | 0.00 | 0.34 | 4.36 | 0.18 | 0.76 | 0.00 | 0.00 | 1.03 | 0.58 | 0.36 | 0.00 | n.a. | n.a. |

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Average price earnings ratios of GEM listed stocks by Hang Seng Industry Classification System*, 2015-2019

(Times)

| Year/Month | All GEM stocks | Energy | Materials | Industrials | Consumer Discretionary [^] | Consumer Staples [^] | Healthcare [^] | Telecommunications | Utilities | Financials | Properties & Construction | Information Technology | Conglomerates | Consumer Goods [^] | Consumer Services [^] |
|------------|----------------|--------|-----------|-------------|-------------------------------------|-------------------------------|-------------------------|--------------------|-----------|------------|---------------------------|------------------------|---------------|-----------------------------|--------------------------------|
| 2015 | 76.93 | 0.00 | 63.28 | 126.96 | n.a. | n.a. | n.a. | 93.61 | 59.58 | 50.40 | 282.03 | 62.09 | 0.00 | 56.33 | 146.05 |
| 2016 | 71.31 | 238.75 | 109.19 | 171.33 | n.a. | n.a. | n.a. | 33.13 | 57.96 | 48.38 | 241.28 | 47.97 | 6.18 | 70.87 | 62.78 |
| 2017 | 36.65 | 152.03 | 96.48 | 32.63 | n.a. | n.a. | n.a. | 346.60 | 50.40 | 26.48 | 77.29 | 34.52 | 0.00 | 48.42 | 35.80 |
| 2018 | 28.16 | 78.99 | 98.12 | 13.85 | n.a. | n.a. | n.a. | 0.00 | 15.81 | 11.94 | 40.22 | 38.25 | 32.00 | 33.95 | 52.66 |
| 2019 | 22.04 | 18.01 | 402.72 | 8.73 | 28.19 | 12.18 | 50.28 | 0.00 | 14.81 | 35.34 | 24.02 | 33.96 | 7.21 | n.a. | n.a. |
| 2019 Jan | 26.42 | 87.64 | 94.44 | 13.89 | n.a. | n.a. | n.a. | 0.00 | 13.40 | 9.74 | 36.39 | 36.40 | 28.01 | 30.40 | 48.90 |
| Feb | 26.33 | 93.76 | 92.21 | 15.22 | n.a. | n.a. | n.a. | 0.00 | 12.94 | 10.06 | 37.30 | 36.49 | 27.98 | 28.03 | 47.77 |
| Mar | 27.40 | 47.24 | 232.55 | 12.60 | n.a. | n.a. | n.a. | 0.00 | 31.45 | 22.13 | 37.11 | 36.17 | 20.02 | 40.38 | 28.33 |
| Apr | 26.32 | 46.88 | 223.09 | 11.51 | n.a. | n.a. | n.a. | 0.00 | 34.64 | 23.36 | 38.44 | 39.94 | 18.41 | 28.72 | 27.36 |
| May | 23.60 | 40.82 | 211.16 | 10.11 | n.a. | n.a. | n.a. | 0.00 | 27.20 | 20.51 | 35.20 | 36.34 | 17.07 | 23.79 | 25.57 |
| Jun | 21.77 | 25.84 | 876.54 | 10.36 | n.a. | n.a. | n.a. | 0.00 | 21.90 | 21.22 | 30.12 | 37.40 | 13.89 | 23.27 | 20.59 |
| Jul | 20.91 | 23.89 | 986.73 | 9.92 | n.a. | n.a. | n.a. | 0.00 | 22.22 | 22.13 | 28.69 | 36.29 | 13.58 | 20.20 | 20.11 |
| Aug | 20.08 | 21.77 | 946.31 | 8.98 | n.a. | n.a. | n.a. | 0.00 | 20.74 | 21.14 | 25.83 | 35.42 | 17.58 | 19.42 | 20.31 |
| Sep | 20.90 | 19.72 | 798.42 | 9.12 | 21.40 | 15.07 | 48.18 | 0.00 | 19.11 | 27.37 | 25.07 | 31.76 | 7.57 | n.a. | n.a. |
| Oct | 19.92 | 18.38 | 447.81 | 8.64 | 21.90 | 14.19 | 51.03 | 0.00 | 17.59 | 30.33 | 22.36 | 30.02 | 7.75 | n.a. | n.a. |
| Nov | 20.86 | 18.10 | 392.12 | 8.22 | 26.83 | 12.68 | 49.40 | 0.00 | 14.73 | 31.85 | 22.62 | 29.68 | 7.75 | n.a. | n.a. |
| Dec | 22.04 | 18.01 | 402.72 | 8.73 | 28.19 | 12.18 | 50.28 | 0.00 | 14.81 | 35.34 | 24.02 | 33.96 | 7.21 | n.a. | n.a. |

Note: Month-end or year-end figures

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New Hang Seng Industry Classification System took effect on 9 September 2013.

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Statistics on China enterprises (H shares) for GEM, 2015-2019

| Year/Month | No. of issues | Turnover | | | | Market capitalisation | |
|------------|---------------|--------------|-------------------|-----------------|-------------------|-----------------------|-------------------|
| | | Shares (mil) | % of equity total | Value (HK\$mil) | % of equity total | HK\$mil | % of equity total |
| 2015 | 23 | 6,892.37 | 1.15 | 8,570.80 | 3.37 | 7,529.51 | 2.92 |
| 2016 | 23 | 4,275.97 | 0.99 | 5,715.78 | 4.91 | 6,963.00 | 2.24 |
| 2017 | 24 | 3,064.17 | 0.64 | 7,382.09 | 4.95 | 8,926.84 | 3.18 |
| 2018 | 24 | 1,316.27 | 0.39 | 2,525.23 | 1.98 | 4,717.05 | 2.53 |
| 2019 | 22 | 1,451.38 | 0.76 | 2,092.18 | 4.20 | 2,995.05 | 2.81 |
| 2019 Jan | 24 | 134.90 | 0.73 | 159.82 | 2.64 | 4,895.49 | 2.89 |
| 2019 Feb | 24 | 68.39 | 0.70 | 94.64 | 3.02 | 4,870.10 | 2.86 |
| 2019 Mar | 24 | 180.73 | 0.80 | 246.31 | 4.06 | 4,695.02 | 2.81 |
| 2019 Apr | 24 | 210.88 | 1.36 | 506.47 | 9.59 | 5,418.73 | 3.30 |
| 2019 May | 24 | 188.62 | 1.12 | 210.90 | 4.99 | 5,067.07 | 3.44 |
| 2019 Jun | 24 | 76.11 | 0.35 | 85.54 | 1.86 | 5,147.60 | 3.81 |
| 2019 Jul | 23 | 105.84 | 0.61 | 136.06 | 3.70 | 5,118.06 | 3.98 |
| 2019 Aug | 23 | 74.52 | 0.71 | 108.40 | 3.81 | 4,544.07 | 3.77 |
| 2019 Sep | 22 | 132.54 | 0.94 | 310.96 | 9.37 | 4,598.80 | 3.79 |
| 2019 Oct | 22 | 71.47 | 0.46 | 79.42 | 2.04 | 3,476.81 | 3.16 |
| 2019 Nov | 22 | 84.05 | 0.67 | 101.51 | 3.04 | 3,127.70 | 2.96 |
| 2019 Dec | 22 | 123.33 | 0.81 | 52.15 | 1.52 | 2,995.05 | 2.81 |

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on Red chips stocks for GEM, 2015-2019

| Year/Month | No. of issues | Turnover | | | | Market capitalisation | |
|------------|---------------|--------------|-------------------|-----------------|-------------------|-----------------------|-------------------|
| | | Shares (mil) | % of equity total | Value (HK\$mil) | % of equity total | HK\$mil | % of equity total |
| 2015 | 5 | 6,211.12 | 1.03 | 4,852.06 | 1.91 | 12,987.59 | 5.03 |
| 2016 | 6 | 1,684.95 | 0.39 | 1,620.98 | 1.39 | 13,400.09 | 4.31 |
| 2017 | 6 | 2,648.93 | 0.55 | 1,631.71 | 1.09 | 12,398.68 | 4.41 |
| 2018 | 5 | 1,661.41 | 0.50 | 1,831.96 | 1.44 | 2,349.70 | 1.26 |
| 2019 | 5 | 1,119.19 | 0.59 | 123.08 | 0.25 | 2,236.16 | 2.10 |
| 2019 Jan | 5 | 54.62 | 0.29 | 4.02 | 0.07 | 2,231.91 | 1.32 |
| 2019 Feb | 5 | 76.52 | 0.78 | 10.13 | 0.32 | 2,311.31 | 1.36 |
| 2019 Mar | 5 | 197.15 | 0.88 | 18.24 | 0.30 | 2,133.54 | 1.28 |
| 2019 Apr | 5 | 197.12 | 1.27 | 22.15 | 0.42 | 2,078.65 | 1.27 |
| 2019 May | 5 | 180.02 | 1.07 | 19.52 | 0.46 | 1,605.54 | 1.09 |
| 2019 Jun | 5 | 26.19 | 0.12 | 2.91 | 0.06 | 1,628.44 | 1.21 |
| 2019 Jul | 5 | 27.97 | 0.16 | 3.92 | 0.11 | 1,631.53 | 1.27 |
| 2019 Aug | 5 | 80.19 | 0.77 | 8.67 | 0.30 | 1,457.06 | 1.21 |
| 2019 Sep | 5 | 100.71 | 0.71 | 10.27 | 0.31 | 1,572.72 | 1.30 |
| 2019 Oct | 5 | 30.44 | 0.20 | 4.26 | 0.11 | 1,623.08 | 1.48 |
| 2019 Nov | 5 | 64.67 | 0.52 | 8.58 | 0.26 | 1,904.23 | 1.80 |
| 2019 Dec | 5 | 83.60 | 0.55 | 10.43 | 0.30 | 2,236.16 | 2.10 |

Cash dividend payout of GEM listed companies by Hang Seng Industry Classification System*, 2015-2019

| Year/Month | No. of companies declared | No. of companies paid | Energy (HK\$mil) | Materials (HK\$mil) | Industrials (HK\$mil) | Consumer Goods (HK\$mil) | Consumer Services (HK\$mil) | Consumer Discretionary (HK\$mil) | Consumer Staples (HK\$mil) | Healthcare (HK\$mil) | Telecommunications (HK\$mil) | Utilities (HK\$mil) | Financials (HK\$mil) | Properties & Construction (HK\$mil) | Information Technology (HK\$mil) | Conglomerates (HK\$mil) | Total dividend payout (HK\$mil) |
|------------|---------------------------|-----------------------|------------------|---------------------|-----------------------|--------------------------|-----------------------------|----------------------------------|----------------------------|----------------------|------------------------------|---------------------|----------------------|-------------------------------------|----------------------------------|-------------------------|---------------------------------|
| | | | | | | | | | | | | | | | | | |
| 2015 | 227 | 28 | - | 2.30 | 24.09 | 222.00 | 32.86 | n.a. | n.a. | n.a. | 28.00 | - | 71.10 | 4.00 | 677.99 | - | 1,062.35 |
| 2016 | 255 | 24 | - | - | 31.01 | 184.47 | 46.34 | n.a. | n.a. | n.a. | 52.12 | - | 61.31 | 17.01 | 410.81 | - | 803.07 |
| 2017 | 327 | 33 | - | - | 147.34 | 141.18 | 58.28 | n.a. | n.a. | n.a. | 20.15 | - | 157.09 | 37.37 | 455.58 | - | 1,017.00 |
| 2018 | 389 | 49 | - | - | 36.61 | 215.76 | 216.75 | n.a. | n.a. | n.a. | - | - | 253.41 | 73.51 | 164.08 | - | 960.13 |
| 2019 | 388 | 48 | - | - | 48.91 | 40.28 | 1,375.94 | 55.15 | 7.56 | 3.50 | - | - | 120.07 | 94.12 | 92.69 | - | 1,838.22 |
| 2018 Jan | 35 | 0 | - | - | - | - | - | n.a. | n.a. | n.a. | - | - | - | - | - | - | - |
| 2018 Feb | 128 | 1 | - | - | - | - | - | n.a. | n.a. | n.a. | - | - | 10.26 | - | - | - | 10.26 |
| 2018 Mar | 208 | 3 | - | - | 5.00 | - | - | n.a. | n.a. | n.a. | - | - | 8.00 | 17.79 | - | - | 30.79 |
| 2018 Apr | 17 | 2 | - | - | 5.00 | - | 9.00 | n.a. | n.a. | n.a. | - | - | - | - | - | - | 14.00 |
| 2018 May | 235 | 5 | - | - | - | 19.00 | 1,311.77 | n.a. | n.a. | n.a. | - | - | 35.15 | 2.50 | - | - | 1,368.43 |
| 2018 Jun | 124 | 8 | - | - | 6.24 | - | - | n.a. | n.a. | n.a. | - | - | 18.16 | - | 45.56 | - | 69.96 |
| 2018 Jul | 16 | 8 | - | - | 8.49 | 7.30 | 20.15 | n.a. | n.a. | n.a. | - | - | - | 4.00 | 1.72 | - | 41.66 |
| 2018 Aug | 336 | 9 | - | - | 17.65 | 13.98 | 35.02 | n.a. | n.a. | n.a. | - | - | 27.67 | - | 30.04 | - | 124.36 |
| 2018 Sep | 19 | 12 | - | - | - | n.a. | n.a. | 28.72 | 7.56 | - | - | - | 15.22 | 8.04 | 12.00 | - | 71.54 |
| 2018 Oct | 21 | 4 | - | - | 6.53 | n.a. | n.a. | - | - | 3.50 | - | - | - | 6.20 | 3.36 | - | 19.59 |
| 2018 Nov | 345 | 2 | - | - | - | n.a. | n.a. | - | - | - | - | - | - | 55.59 | - | - | 55.59 |
| 2018 Dec | 15 | 5 | - | - | - | n.a. | n.a. | 26.43 | - | - | - | - | 5.60 | - | - | - | 32.03 |

Notes:

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' and 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries.

Newly listed companies on GEM

Ordinary companies

| Code | Company | Listing method/ Industry Classification * | No. of shares offered | Offer price (HK\$) | Funds raised (HK\$mil) | Listing date/ subscription rate (times) | Sponsor |
|-------|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------|------------------------------|-----------------------------------------------|---------------------------------------------|
| 08631 | Sun Kong Holdings Ltd. | Offer for subscription Offer for placing Energy – Oil & Gas | 30,000,000 70,000,000 | 0.600 0.600 | 18.00 42.00 | 2019/01/08 43.40 | Kingsway Capital Ltd. |
| 08036 | eBroker Group Ltd. | Offer for subscription Offer for placing Information Technology – Software & Services | 115,000,000 115,000,000 | 0.280 0.280 | 32.20 32.20 | 2019/02/19 120.99 | RHB Capital Hong Kong Ltd. |
| 08607 | Narnia (Hong Kong) Group Co. Ltd. | Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care | 20,000,000 180,000,000 | 0.400 0.400 | 8.00 72.00 | 2019/02/26 10.30 | Cinda International Capital Ltd. |
| 08096 | Tasty Concepts Holding Ltd. | Offer for subscription Offer for placing Consumer Services – Hotels, Casinos, Restaurants & Leisure Facilities | 62,500,000 62,500,000 | 0.700 0.700 | 43.75 43.75 | 2019/03/15 146.20 | Frontpage Capital Ltd. |
| 08537 | Dominate Group Holdings Co. Ltd. | Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care | 37,500,000 150,000,000 | 0.300 0.300 | 11.25 45.00 | 2019/03/27 103.74 | South China Capital Ltd. |
| 08635 | Novacon Technology Group Ltd. | Offer for subscription Offer for placing Information Technology – Software & Services | 18,596,000 81,404,000 | 0.530 0.530 | 9.86 43.14 | 2019/05/02 101.30 | Dongxing Securities (Hong Kong) Co. Ltd. |
| 08612 | World Super Holdings Ltd. | Offer for subscription Offer for placing Industrials – Industrial Engineering | 45,000,000 105,000,000 | 0.550 0.550 | 24.75 57.75 | 2019/07/12 28.19 | Grand Moore Capital Ltd. |
| 08668 | Ying Hai Group Holdings Co. Ltd. | Offer for subscription Offer for placing Consumer Discretionary – Travel & Leisure | 120,000,000 180,000,000 | 0.240 0.240 | 28.80 43.20 | 2019/09/26 54.90 | Lego Corporate Finance Ltd. |
| 08418 | Optima Automobile Group Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary – Specialty Retail | 75,000,000 175,000,000 | 0.240 0.240 | 18.00 42.00 | 2019/10/11 28.18 | Orient Capital (Hong Kong) Ltd. |
| 08441 | IWS Group Holdings Ltd. | Offer for subscription Offer for placing Consumer Discretionary – Support Services | 60,000,000 140,000,000 | 0.320 0.320 | 19.20 44.80 | 2019/10/22 41.56 | South China Capital Ltd. |
| 08627 | Orange Tour Cultural Holding Ltd. | Offer for subscription Offer for placing Consumer Discretionary – Media & Entertainment | 60,000,000 140,000,000 | 0.275 0.275 | 16.50 38.50 | 2019/11/14 36.10 | Frontpage Capital Ltd. |
| 08617 | Best Linking Group Holdings Ltd. | Offer for subscription Offer for placing Industrials – Industrial Engineering | 40,000,000 60,000,000 | 0.550 0.550 | 22.00 33.00 | 2019/11/15 62.67 | LY Capital Ltd. |
| 08208 | WMCH Global Investment Ltd. | Offer for subscription Offer for placing Properties & Construction – Construction | 45,000,000 105,000,000 | 0.400 0.400 | 18.00 42.00 | 2019/11/29 30.30 | Titan Financial Services Ltd. |
| 08645 | Nomad Technologies Holdings Ltd. | Offer for subscription Offer for placing Information Technology – Software & Services | 75,000,000 75,000,000 | 0.400 0.400 | 30.00 30.00 | 2019/12/09 148.60 | Pulsar Capital Ltd. |
| 08216 | Maxicity Holdings Ltd. | Offer for subscription Offer for placing Properties & Construction – Construction | 30,000,000 70,000,000 | 0.600 0.600 | 18.00 42.00 | 2019/12/13 24.40 | Grande Capital Ltd. |
| | | | | Total | 969.65 | | |

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2019.

Withdrawal of listed companies from GEM

| Code | Company | Last trading date | Delisted date |
|---------|--------------------------------------------------------------|-------------------|---------------|
| 08407 # | China Industrial Securities Int'l Financial Group Ltd. | 2019/01/02 | 2019/01/03* |
| 08251 # | A.Plus Group Holdings Ltd. | 2019/01/10 | 2019/01/11* |
| 08409 # | WINDMILL Group Ltd. | 2019/02/13 | 2019/02/14* |
| 08358 # | Miricor Enterprises Holdings Ltd. | 2019/02/15 | 2019/02/18* |
| 08359 # | Hypebeast Ltd. | 2019/03/07 | 2019/03/08* |
| 08240 # | Goal Forward Holdings Ltd. | 2019/03/20 | 2019/03/21* |
| 08129 | Cloud Investment Holdings Ltd. | 2018/04/11 | 2019/03/26 |
| 08415 # | LKS Holding Group Ltd. | 2019/05/08 | 2019/05/09* |
| 08345 # | Fire Rock Holdings Ltd. | 2019/06/26 | 2019/06/27* |
| 08046 | Heng Xin China Holdings Ltd. | 2018/11/26 | 2019/07/02 |
| 08312 | Rui Feng Group Holdings Co. Ltd. | 2018/12/07 | 2019/07/09 |
| 08252 # | Yangzhou Guangling District Taihe Rural Micro-fin Co. -H shs | 2019/07/16 | 2019/07/17* |
| 08306 | China Nonferrous Metals Co. Ltd. | 2015/03/31 | 2019/08/16 |
| 08273 | Zhejiang Prospect Co. Ltd. – H Shares | 2018/03/29 | 2019/09/02 |
| 08157 # | XiangXing International Holding Ltd. | 2019/09/05 | 2019/09/06* |
| 08469 # | Sheng Ye Capital Ltd. | 2019/10/23 | 2019/10/24* |
| 08343 # | HongGuang Lighting Holdings Co. Ltd. | 2019/11/12 | 2019/11/13* |
| 08278 # | CMON Ltd. | 2019/11/18 | 2019/11/19* |
| 08183 # | Speed Apparel Holding Ltd. | 2019/12/11 | 2019/12/12* |
| 08199 # | China Wan Tong Yuan (Holdings) Ltd. | 2019/12/16 | 2019/12/17* |
| 08355 # | i-Control Holdings Ltd. | 2019/12/17 | 2019/12/18* |
| 08457 # | Goal Rise Logistics (China) Holdings Ltd. | 2019/12/18 | 2019/12/19* |
| 08463 # | TOMO Holdings Ltd. | 2019/12/20 | 2019/12/23* |
| 08410 # | Edvance International Holdings Ltd. | 2019/12/23 | 2019/12/24* |
| 08522 # | Gain Plus Holdings Ltd. | 2019/12/27 | 2019/12/30* |
| 08182 | China Candy Holdings Ltd. | 2017/12/11 | 2019/12/31 |

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

* Effective date of the transfer of listing from GEM to Main Board

Company name changes – GEM

| Code | Former name | New name | Effective date | Adoption date |
|-------|-------------------------------------------------|---------------------------------------------------------|----------------|---------------|
| 08312 | China Hanya Group Holdings Ltd. | Rui Feng Group Holdings Co. Ltd. | 2018/11/27 | 2019/01/10 |
| 08193 | GreaterChina Professional Services Ltd. | Asia-Pac Financial Investment Co. Ltd. | 2019/01/14 | 2019/02/25 |
| 08170 | KSL Holdings Ltd. | China All Nation International Holdings Group Ltd. | 2019/01/31 | 2019/03/20 |
| 08143 | Hua Xia Healthcare Holdings Ltd. | Good Fellow Healthcare Holdings Ltd. | 2019/02/08 | 2019/03/25 |
| 08213 | New Wisdom Holding Co. Ltd. | StarGlory Holdings Co. Ltd. | 2019/03/15 | 2019/04/26 |
| 08130 | Zhi Cheng Holdings Ltd. | Dadi International Group Ltd. | 2019/05/14 | 2019/05/17 |
| 08078 | HMV Digital China Group Ltd. | China Creative Digital Entertainment Ltd. | 2019/05/29 | 2019/07/04 |
| 08156 | China Vanguard You Champion Holdings Ltd. | Sinopharm Tech Holdings Ltd. | 2019/06/13 | 2019/07/09 |
| 08056 | Dining Concepts Holdings Ltd. | Life Concepts Holdings Ltd. | 2019/06/19 | 2019/08/01 |
| 08082 | Sage International Group Ltd. | Sun Entertainment Group Ltd. | 2019/07/10 | 2019/08/28 |
| 08227 | Xi'an Haitiantian Holdings Co., Ltd. – H Shares | Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares | 2019/07/23 | 2019/09/16 |
| 08287 | Zioncom Holdings Ltd. # | Zioncom Holdings Ltd. | 2019/10/09 | 2019/10/15 |
| 08163 | Merdeka Financial Services Group Ltd. | Merdeka Financial Group Ltd. | 2019/09/23 | 2019/10/24 |

Change of Chinese name only

Reorganisations/demergers on GEM

| Code | Company | Particulars | Proposed date Completed/ EGM date |
|------|---------|-------------|-----------------------------------|
| Nil | | | |

Privatisations by major shareholder on GEM

| Code | Company | Particulars | Last trading date | Delisted date |
|------|---------|-------------|-------------------|---------------|
| Nil | | | | |

Change of domicile of listed companies on GEM

| Code | Former company | New company | Place of incorporation | Effective date |
|-------|--------------------------------------|--------------------------------------|------------------------|----------------|
| 08272 | Chinese Food and Beverage Group Ltd. | Chinese Food and Beverage Group Ltd. | Bermuda | 2019/06/26 |

Companies under suspension on GEM as at the end of 2019

| Code | | Last closing price | | Date of suspension |
|-------|-----------------------------------------------------|--------------------|--------------|--------------------|
| | | (HK\$) | (Date) | |
| 08197 | Baytacare Pharmaceutical Co., Ltd. – H Shares | 0.118 | (2018/09/26) | 2018/09/27 |
| 08212 | Celebrate International Holdings Ltd. | 0.021 | (2019/06/05) | 2019/06/06 |
| 08170 | China All Nation International Holdings Group Ltd. | 3.150 | (2019/10/31) | 2019/11/01 |
| 08090 | China Assurance Finance Group Ltd. | 0.041 | (2019/03/29) | 2019/04/01 |
| 08116 | China Fortune Investments (Holding) Ltd. | 0.024 | (2018/11/02) | 2018/11/05 |
| 08132 | China Oil Gangran Energy Group Holdings Ltd. | 0.156 | (2019/06/28) | 2019/07/02 |
| 08230 | China Yu Tian Holdings Ltd. | 0.280 | (2019/03/28) | 2019/03/29 |
| 08207 | Chong Sing Holdings FinTech Group Ltd. | 0.012 | (2019/07/05) | 2019/07/08 |
| 08190 | Combest Holdings Ltd. | 0.024 | (2019/05/28) | 2019/05/29 |
| 08243 | Dahe Media Co. Ltd. – H Shares | 0.105 | (2019/03/29) | 2019/04/01 |
| 08215 | First Credit Finance Group Ltd. | 0.108 | (2017/11/23) | 2017/11/24 |
| 08192 | Global Token Ltd. | 0.031 | (2019/10/24) | 2019/10/25 |
| 08085 | Hong Kong Life Sciences and Technologies Group Ltd. | 0.010 | (2019/07/04) | 2019/07/05 |
| 08165 | Jian ePayment Systems Ltd. | 0.037 | (2018/05/14) | 2018/05/15 |
| 08256 | Netel Technology (Holdings) Ltd. | 0.044 | (2018/08/07) | 2018/08/08 |
| 08311 | Perfect Optronics Ltd. | 0.210 | (2019/09/25) | 2019/09/26 |
| 08020 | Unitas Holdings Ltd. | 0.047 | (2019/11/14) | 2019/11/15 |

Takeovers and mergers for GEM

| Code | Company | Proposed date Offer closing date |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| 08082 | Sage International Group Ltd. (Name changed to Sun Entertainment Group Ltd.) Mandatory unconditional cash offer was made by Mr. Chau Cheok Wa at a price of HK\$0.38 for each share, HK\$0.001 for each share option with any exercise price | 2019/05/22 2019/06/12 |
| 08287 | Zioncom Holdings Ltd. Mandatory unconditional cash offer was made by Absolute Skill Holdings Ltd. at a price of HK\$0.2773 for each share | 2019/09/20 2019/10/11 |
| 08238 | Winto Group (Holdings) Ltd. Mandatory unconditional cash offer was made by Source Creation International Ltd. at a price of HK\$0.1103 for each share, HK\$0.001 for each share option | 2019/10/16 2019/11/06 |

Share subdivisions and share consolidations on GEM

| Code | Stock | Particulars | New par value (HK\$) | Effective date |
|-------|-----------------------------------------------------------------------------------------------|-------------------------|-------------------------|-------------------|
| 08193 | Asia-Pac Financial Investment Co. Ltd. (Formerly: GreaterChina Professional Services Ltd.) | Consolidation 10 into 1 | 0.1000 | 2019/01/15 |
| 08238 | Winto Group (Holdings) Ltd. | Consolidation 20 into 1 | 0.0200 | 2019/02/20 |
| 08299 | Grand T G Gold Holdings Ltd. | Consolidation 15 into 1 | 0.0600 | 2019/03/06 |
| 08080 | North Asia Strategic Holdings Ltd. | Consolidation 10 into 1 | 0.1000 | 2019/03/26 |
| 08283 | Zheng Li Holdings Ltd. | Split 1 into 4 | 0.0030 | 2019/04/04 |
| 08220 | Bingo Group Holdings Ltd. | Consolidation 4 into 1 | 0.0100 * | 2019/05/02 |
| 08158 | China Regenerative Medicine International Ltd. | Consolidation 20 into 1 | 0.2000 | 2019/05/16 |
| 08186 | IR Resources Ltd. | Consolidation 8 into 1 | 0.4000 | 2019/05/17 |
| 08078 | China Creative Digital Entertainment Ltd. (Formerly: HVM Digital China Group Ltd.) | Consolidation 50 into 1 | 0.0100 * | 2019/05/23 |
| 08120 | China Demeter Financial Investments Ltd. | Consolidation 8 into 1 | 0.0100 * | 2019/05/28 |
| 08147 | Millennium Pacific Group Holdings Ltd. | Consolidation 8 into 1 | 0.0020 | 2019/05/29 |
| 08200 | Sau San Tong Holdings Ltd. | Consolidation 8 into 1 | 0.1600 | 2019/06/11 |
| 08272 | Chinese Food and Beverage Group Ltd. | Consolidation 40 into 1 | 0.0100 * | 2019/07/18 |
| 08163 | Merdeka Financial Group Ltd. (Formerly: Merdeka Financial Services Group Ltd.) | Consolidation 10 into 1 | 0.0100 | 2019/08/19 |
| 08072 | Roma Group Ltd. | Consolidation 20 into 1 | 1.2800 | 2019/08/22 |
| 08179 | Food Idea Holdings Ltd. | Consolidation 10 into 1 | 0.1000 | 2019/09/02 |
| 08269 | Wealth Glory Holdings Ltd. | Consolidation 4 into 1 | 0.2400 | 2019/10/09 |
| 08173 | Union Asia Enterprise Holdings Ltd. (Formerly: AID Partners Technology Holdings Ltd.) | Consolidation 50 into 1 | 0.0001 * | 2019/10/22 |

* New par value after capital reorganisation

Bonus issues/bonus warrants on GEM

| Code | Stock | Particulars | Ex-date |
|------|-------|-------------|---------|
| Nil | | | |

Rights issues/open offers on GEM

| Code | Stock | Particulars | Funds raised (HK\$mil) | Ex-date payment date |
|--------------|-------------------------------------|-----------------------------------------------------------------------------------------------|------------------------|--------------------------|
| 08315 | Greatwalle Inc. | Rts 1 for 2 @\$0.10 | 41.46 | 2019/02/26 2019/03/21 |
| 08076 | Sing Lee Software (Group) Ltd. | Rts 1 for 2 @\$0.06 | 26.34 | 2019/04/15 2019/05/10 |
| 08479 | JTF International Holdings Ltd. | Rts 1 for 2 @\$0.31 | 65.10 | 2019/05/08 2019/05/31 |
| 08179 | Food Idea Holdings Ltd. | Rts 1 for 2 @\$0.0248 | 34.30 | 2019/07/10 2019/08/02 |
| 08173 | Union Asia Enterprise Holdings Ltd. | Preferential Offering 10 reserved shs for 6 shs @\$0.19 (after consolidation 50 into 1) | 21.63 | 2019/10/23 2019/11/07 |
| Total | | | 188.83 | |

Notes: Figures may not add up to total due to rounding.

Placings on GEM

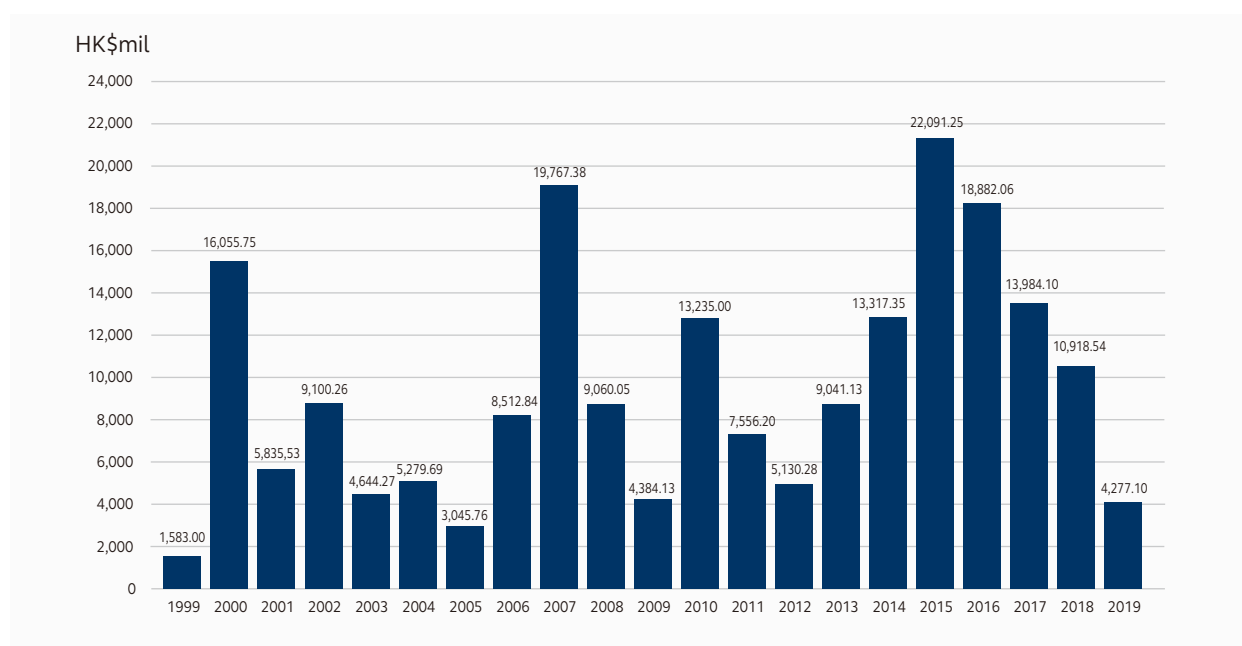
| Code | Company | New shares placed | Placing price (HK\$) | Funds raised (HK\$mil) | Allotment date |
|--------------|------------------------------------------------------------------------------------------|-------------------|----------------------|------------------------|----------------|
| 08156 | China Vanguard You Champion Holdings Ltd. (Name changed Sinopharm Tech Holdings Ltd.) | 168,000,000 | 0.238 | 39.98 | 2019/01/22 |
| 08130 | Zhi Cheng Holdings Ltd. (Name changed Dadi International Group Ltd.) | 324,085,995 | 0.178 | 57.69 | 2019/02/13 |
| 08162 | Loco Hong Kong Holdings Ltd. | 96,000,000 | 0.241 | 23.14 | 2019/02/25 |
| 08228 | National Arts Entertainment and Culture Group Ltd. | 428,769,230 | 0.260 | 111.48 | 2019/03/18 |
| 08089 | Chinese Strategic Holdings Ltd. | 27,518,400 | 0.350 | 9.63 | 2019/03/20 |
| 08132 | China Oil Gangran Energy Group Holdings Ltd. | 22,897,000 | 0.316 | 7.24 | 2019/03/26 |
| 08037 | China Biotech Services Holdings Ltd. | 5,800,000 | 2.000 | 11.60 | 2019/03/29 |
| 08083 | China Youzan Ltd. | 1,404,690,566 | 0.530 | 744.49 | 2019/04/16 |
| 08083 | China Youzan Ltd. | 314,339,622 | 0.530 | 166.60 | 2019/04/23 |
| 08186 | IR Resources Ltd. | 166,200,000 | 0.050 | 8.31 | 2019/04/26 |
| 08366 | Zhejiang United Investment Holdings Group Ltd. | 137,200,000 | 0.365 | 50.08 | 2019/05/08 |
| 08048 | Yu Tak International Holdings Ltd. | 165,000,000 | 0.105 | 17.33 | 2019/05/21 |
| 08495 | 1957 & Co. (Hospitality) Ltd. | 64,000,000 | 0.235 | 15.04 | 2019/05/30 |
| 08265 | China Trustful Group Ltd. | 60,776,000 | 0.530 | 32.21 | 2019/06/24 |
| 08370 | Zhi Sheng Group Holdings Ltd. | 134,000,000 | 0.235 | 31.49 | 2019/06/25 |
| 08147 | Millennium Pacific Group Holdings Ltd. | 74,784,000 | 0.124 | 9.27 | 2019/06/28 |
| 08029 | Sun International Group Ltd. | 780,332,000 | 0.345 | 269.21 | 2019/06/28 |
| 08128 | China Geothermal Industry Development Group Ltd. | 250,000,000 | 0.079 | 19.63 | 2019/07/09 |
| 08005 | Yuxing InfoTech Investment Holdings Ltd. | 270,000,000 | 0.300 | 81.00 | 2019/07/26 |
| 08163 | Merdeka Financial Services Group Ltd. (Name changed Merdeka Financial Group Ltd.) | 408,000,000 | 0.016 | 6.53 | 2019/08/06 |
| 08089 | Chinese Strategic Holdings Ltd. | 46,800,000 | 0.200 | 9.36 | 2019/08/21 |
| 08245 | On Real International Holdings Ltd. | 150,000,000 | 0.022 | 3.30 | 2019/08/30 |
| 08420 | Nexion Technologies Ltd. | 120,000,000 | 0.090 | 10.80 | 2019/09/19 |
| 08153 | Code Agriculture (Holdings) Ltd. | 452,000,000 | 0.019 | 8.59 | 2019/10/11 |
| 08293 | SingAsia Holdings Ltd. | 250,000,000 | 0.052 | 13.00 | 2019/10/25 |
| 08175 | China Digital Culture (Group) Ltd. | 80,000,000 | 0.128 | 10.24 | 2019/10/31 |
| 08166 | China Eco-Farming Ltd. | 155,420,000 | 0.055 | 8.55 | 2019/10/31 |
| 08060 | Global Link Communications Holdings Ltd. | 1,175,000,000 | 0.035 | 40.89 | 2019/11/13 |
| 08173 | Union Asia Enterprise Holdings Ltd. | 113,839,925 | 0.190 | 21.63 | 2019/11/14 |
| 08621 | Metropolis Capital Holdings Ltd. | 160,000,000 | 0.162 | 25.92 | 2019/11/21 |
| 08265 | China Trustful Group Ltd. | 300,000,000 | 0.050 | 15.00 | 2019/11/25 |
| 08125 | Royal Century Resources Holdings Ltd. | 87,000,000 | 0.080 | 6.96 | 2019/11/29 |
| 08128 | China Geothermal Industry Development Group Ltd. | 250,000,000 | 0.080 | 20.00 | 2019/12/09 |
| 08093 | Million Stars Holdings Ltd. | 20,000,000 | 0.250 | 5.00 | 2019/12/09 |
| Total | | | | 1,911.17 | |

Funds raised by classification for GEM, 2015-2019

HK\$mil

| Type | Listing method | 2015 | 2016 | 2017 | 2018 | 2019 |
|----------------------------------|------------------------|------------------|------------------|------------------|------------------|-----------------|
| Equities | Offer for subscription | - | 341.02 | 1,957.72 | 1,507.12 | 318.31 |
| | Offer for sale | 365.55 | 241.59 | 182.40 | 55.82 | - |
| | Offer for placing | 2,375.28 | 4,008.14 | 3,798.16 | 3,497.65 | 651.34 |
| | Placing | 12,230.86 | 6,977.31 | 4,011.08 | 2,641.53 | 1,911.17 |
| | Rights issue | 1,463.38 | 2,886.20 | 2,107.09 | 307.86 | 167.20 |
| | Open offer | 3,651.01 | 608.79 | 347.07 | - | 21.63 |
| | Consideration issue | 1,225.82 | 3,325.41 | 874.28 | 2,737.08 | 1,119.05 |
| | Warrants exercised | 214.34 | 25.92 | 35.45 | 7.20 | 32.12 |
| | Share option scheme | 565.01 | 467.69 | 670.85 | 164.29 | 56.28 |
| Equities funds raised | | 22,091.25 | 18,882.06 | 13,984.10 | 10,918.54 | 4,277.10 |
| Equity warrants – premium | | - | - | - | - | - |

Equity funds raised for GEM, 1999-2019



Funds raised by Mainland enterprises H shares for GEM, 2015-2019

HK\$mil

| Type | Listing method | 2015 | 2016 | 2017 | 2018 | 2019 |
|------------------------------|------------------------|---------------|---------------|--------------|----------|------|
| Equities | Offer for subscription | - | - | 236.35 | - | - |
| | Offer for sale | - | - | - | - | - |
| | Offer for placing | 77.60 | - | 82.34 | - | - |
| | Placing | 239.45 | 189.51 | 157.68 | 72.28 | - |
| | Rights issue | - | - | - | - | - |
| | Open offer | - | - | - | - | - |
| | Consideration issue | 15.64 | 13.20 | 160.00 | - | - |
| | Warrants exercised | - | - | - | - | - |
| | Share option scheme | - | - | - | - | - |
| Equities funds raised | 332.69 | 202.71 | 636.37 | 72.28 | - | |

Funds raised by Red Chip stocks for GEM, 2015-2019

HK\$mil

| Type | Listing method | 2015 | 2016 | 2017 | 2018 | 2019 |
|------------------------------|------------------------|--------------|---------------|----------|--------------|-------|
| Equities | Offer for subscription | - | - | - | - | - |
| | Offer for sale | - | - | - | - | - |
| | Offer for placing | - | - | - | - | - |
| | Placing | 65.00 | 97.44 | - | - | 39.63 |
| | Rights issue | - | - | 115.06 | - | - |
| | Open offer | - | - | - | - | - |
| | Consideration issue | 71.43 | - | - | - | - |
| | Warrants exercised | - | - | - | - | - |
| | Share option scheme | 24.91 | - | - | - | - |
| Equities funds raised | 161.33 | 97.44 | 115.06 | - | 39.63 | |

Newly listed equity warrants on GEM, 2019

| Code | Warrant | Subscription price per unit (HK\$) | Subscription period | Initial issued amount (HK\$) | Listing date |
|------|---------|---------------------------------------|---------------------|---------------------------------|-----------------|
| Nil | | | | | |

List of expired equity warrants for GEM, 2019

| Code | Warrant | Last trading date | Delisted date |
|------|---------|----------------------|------------------|
| Nil | | | |

Market value and trading for equity warrants on GEM, 2015-2019

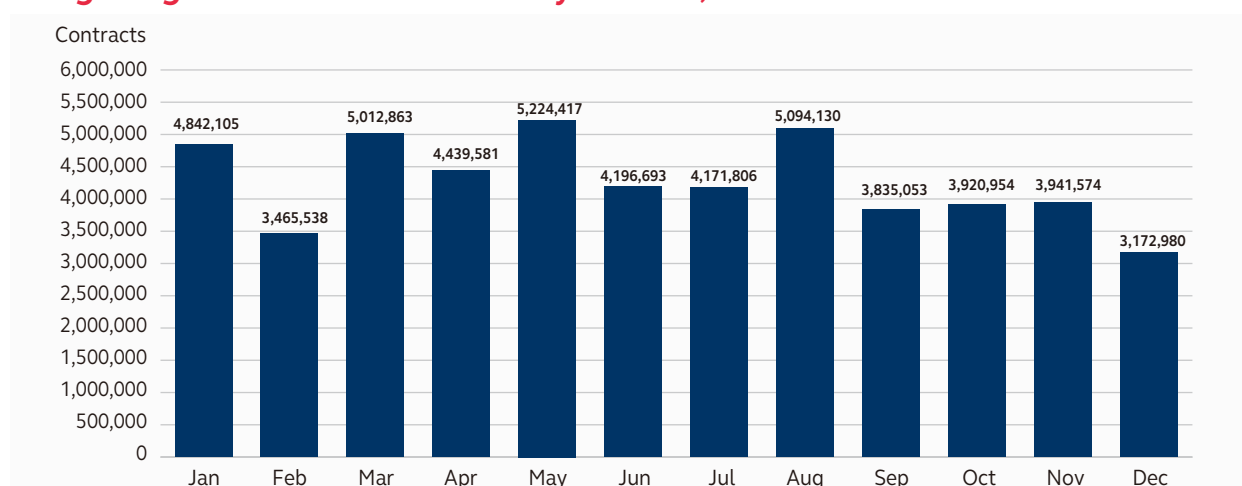
| Year/Month | Number * | Market value * HK\$mil | Turnover value HK\$mil | (%) of market total |
|------------|----------|---------------------------|---------------------------|------------------------|
| 2015 | 1 | 9.86 | 45.68 | 0.02 |
| 2016 | 1 | 62.90 | 42.73 | 0.04 |
| 2017 | 1 | 62.83 | 0.84 | 0.00 |
| 2018 | 1 | 62.83 | 0.09 | 0.00 |
| 2019 | 1 | 62.83 | 0.03 | 0.00 |
| 2019 | Jan | 62.83 | 0.00 | 0.00 |
| | Feb | 62.83 | 0.00 | 0.00 |
| | Mar | 62.83 | 0.02 | 0.00 |
| | Apr | 62.83 | 0.00 | 0.00 |
| | May | 62.83 | 0.00 | 0.00 |
| | Jun | 62.83 | 0.00 | 0.00 |
| | Jul | 62.83 | 0.00 | 0.00 |
| | Aug | 62.83 | 0.00 | 0.00 |
| | Sep | 62.83 | 0.00 | 0.00 |
| | Oct | 62.83 | 0.00 | 0.00 |
| | Nov | 62.83 | 0.00 | 0.00 |
| | Dec | 62.83 | 0.00 | 0.00 |

* Month-end or year-end figures.

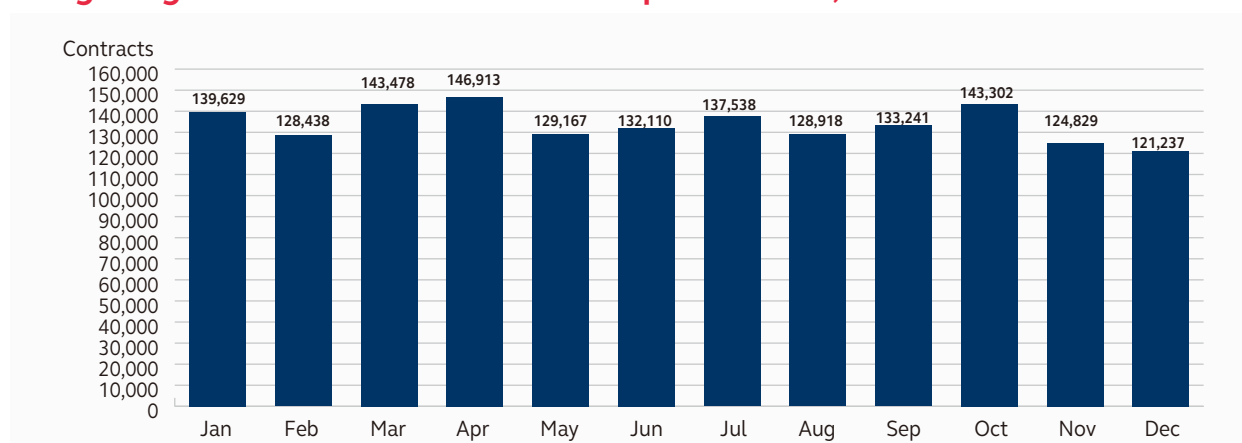
Hang Seng Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|---------------------|--------------|---------------------------|--------------------------|---------------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2015 | 247.0 | 21,239,775 | 85,991 | 97,118 | |
| 2016 | 247.0 | 32,313,994 | 130,826 | 123,004 | |
| 2017 | 247.0 | 31,486,965 | 127,478 | 144,659 | |
| 2018 | 246.0 | 57,668,346 | 234,424 | 133,924 | |
| 2019 | 246.0 | 51,317,694 | 208,609 | 121,237 | |
| 2019 | Jan | 22.0 | 4,842,105 | 220,096 | 139,629 |
| | Feb | 17.0 | 3,465,538 | 203,855 | 128,438 |
| | Mar | 21.0 | 5,012,863 | 238,708 | 143,478 |
| | Apr | 19.0 | 4,439,581 | 233,662 | 146,913 |
| | May | 21.0 | 5,224,417 | 248,782 | 129,167 |
| | Jun | 19.0 | 4,196,693 | 220,879 | 132,110 |
| | Jul | 22.0 | 4,171,806 | 189,628 | 137,538 |
| | Aug | 22.0 | 5,094,130 | 231,551 | 128,918 |
| | Sep | 21.0 | 3,835,053 | 182,622 | 133,241 |
| | Oct | 21.0 | 3,920,954 | 186,712 | 143,302 |
| | Nov | 21.0 | 3,941,574 | 187,694 | 124,829 |
| | Dec | 20.0 | 3,172,980 | 158,649 | 121,237 |
| 2019 | Highest (date) | | 373,902 (25/02) | | 182,037 (26/03) |

Hang Seng Index Futures – monthly volume, 2019



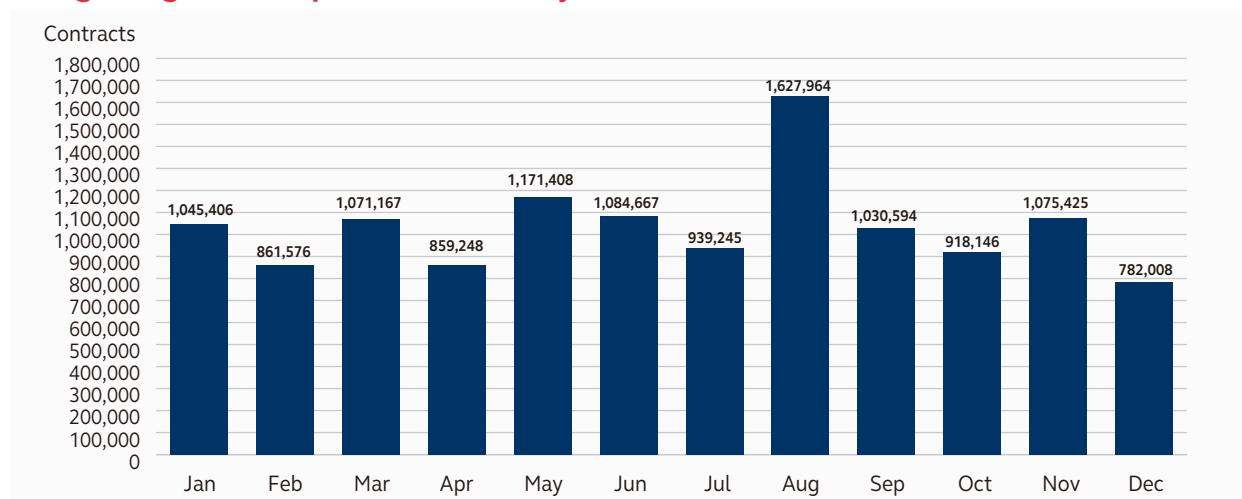
Hang Seng Index Futures – month-end open interest, 2019



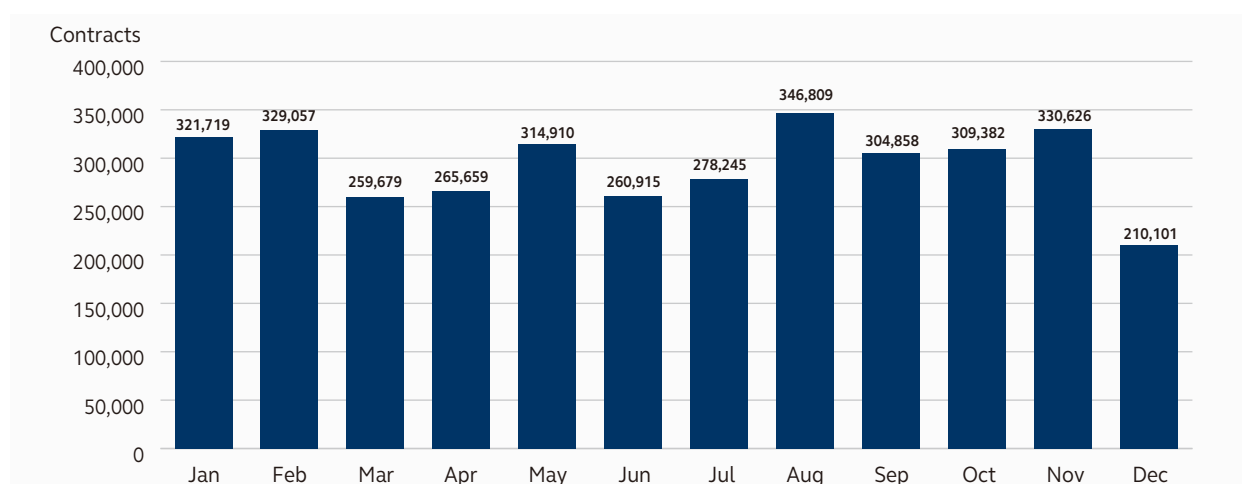
Hang Seng Index Options – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Volume (contracts) | | | Average daily | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|-----------|---------------------------|---------------|--------------------------------------|
| | | Call | Put | Total | | |
| 2015 | 247.0 | 3,524,583 | 3,990,883 | 7,515,466 | 30,427 | 172,564 |
| 2016 | 247.0 | 4,195,192 | 5,158,557 | 9,353,749 | 37,869 | 249,699 |
| 2017 | 247.0 | 4,308,036 | 5,821,289 | 10,129,325 | 41,009 | 344,084 |
| 2018 | 246.0 | 5,946,588 | 6,769,907 | 12,716,495 | 51,693 | 279,856 |
| 2019 | 246.0 | 5,633,523 | 6,833,331 | 12,466,854 | 50,678 | 210,101 |
| 2019 Jan | 22.0 | 451,395 | 594,011 | 1,045,406 | 47,518 | 321,719 |
| 2019 Feb | 17.0 | 370,520 | 491,056 | 861,576 | 50,681 | 329,057 |
| 2019 Mar | 21.0 | 467,752 | 603,415 | 1,071,167 | 51,008 | 259,679 |
| 2019 Apr | 19.0 | 379,985 | 479,263 | 859,248 | 45,224 | 265,659 |
| 2019 May | 21.0 | 561,202 | 610,206 | 1,171,408 | 55,781 | 314,910 |
| 2019 Jun | 19.0 | 491,264 | 593,403 | 1,084,667 | 57,088 | 260,915 |
| 2019 Jul | 22.0 | 409,363 | 529,882 | 939,245 | 42,693 | 278,245 |
| 2019 Aug | 22.0 | 751,130 | 876,834 | 1,627,964 | 73,998 | 346,809 |
| 2019 Sep | 21.0 | 457,560 | 573,034 | 1,030,594 | 49,076 | 304,858 |
| 2019 Oct | 21.0 | 420,758 | 497,388 | 918,146 | 43,721 | 309,382 |
| 2019 Nov | 21.0 | 493,132 | 582,293 | 1,075,425 | 51,211 | 330,626 |
| 2019 Dec | 20.0 | 379,462 | 402,546 | 782,008 | 39,100 | 210,101 |
| 2019 Highest (date) | | | | 133,419 (26/08) | | 491,686 (28/08) |

Hang Seng Index Options – monthly volume, 2019



Hang Seng Index Options – month-end open interest 2019



Weekly Hang Seng Index Options – trading statistics, 2019

| Year/Month | No. of trading days | Volume (contracts) | | | | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|---------|-------------------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | Average daily volume | |
| 2019* | 73.0 | 115,239 | 131,478 | 246,717 | 3,380 | 3,157 |
| 2019 Sep | 11.0 | 3,821 | 5,388 | 9,209 | 837 | 2,806 |
| Oct | 21.0 | 34,700 | 38,943 | 73,643 | 3,507 | 4,001 |
| Nov | 21.0 | 42,889 | 54,919 | 97,808 | 4,658 | 5,695 |
| Dec | 20.0 | 33,829 | 32,228 | 66,057 | 3,303 | 3,157 |
| 2019 Highest (date) | | | | 7,335 (29/11) | | 7,674 (15/11) |

* Trading in Weekly Hang Seng Index Options commenced on 16 September 2019

Mini-Hang Seng Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | Period end open interest |
|---------------------|---------------------|---------------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | |
| 2015 | 247.0 | 10,046,556 | 40,674 | 7,556 |
| 2016 | 247.0 | 12,477,552 | 50,516 | 9,572 |
| 2017 | 247.0 | 11,487,207 | 46,507 | 9,863 |
| 2018 | 246.0 | 24,664,381 | 100,262 | 12,587 |
| 2019 | 246.0 | 22,170,547 | 90,124 | 10,635 |
| 2019 Jan | 22.0 | 2,067,301 | 93,968 | 18,719 |
| Feb | 17.0 | 1,446,625 | 85,096 | 15,223 |
| Mar | 21.0 | 2,127,217 | 101,296 | 15,429 |
| Apr | 19.0 | 1,711,554 | 90,082 | 10,383 |
| May | 21.0 | 2,322,502 | 110,595 | 11,662 |
| Jun | 19.0 | 1,745,444 | 91,865 | 12,239 |
| Jul | 22.0 | 1,630,924 | 74,133 | 11,058 |
| Aug | 22.0 | 2,475,826 | 112,538 | 12,934 |
| Sep | 21.0 | 1,708,407 | 81,353 | 11,387 |
| Oct | 21.0 | 1,786,823 | 85,087 | 12,334 |
| Nov | 21.0 | 1,746,685 | 83,175 | 13,932 |
| Dec | 20.0 | 1,401,239 | 70,062 | 10,635 |
| 2019 Highest (date) | | 171,911 (10/05) | | 21,182 (15/01) |

Mini-Hang Seng Index Options – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Volume (contracts) | | | Average daily volume | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|-----------|--------------------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | | |
| 2015 | 247.0 | 521,643 | 512,170 | 1,033,813 | 4,185 | 4,945 |
| 2016 | 247.0 | 694,850 | 729,529 | 1,424,379 | 5,767 | 11,917 |
| 2017 | 247.0 | 824,116 | 816,765 | 1,640,881 | 6,643 | 9,108 |
| 2018 | 246.0 | 1,205,226 | 1,256,070 | 2,461,296 | 10,005 | 18,777 |
| 2019 | 246.0 | 1,592,629 | 1,750,800 | 3,343,429 | 13,591 | 17,983 |
| 2019 Jan | 22.0 | 125,879 | 147,185 | 273,064 | 12,412 | 20,395 |
| Feb | 17.0 | 95,869 | 110,708 | 206,577 | 12,152 | 19,961 |
| Mar | 21.0 | 129,830 | 145,251 | 275,081 | 13,099 | 18,579 |
| Apr | 19.0 | 110,924 | 116,275 | 227,199 | 11,958 | 17,280 |
| May | 21.0 | 146,523 | 143,968 | 290,491 | 13,833 | 21,064 |
| Jun | 19.0 | 125,722 | 145,744 | 271,466 | 14,288 | 19,892 |
| Jul | 22.0 | 119,264 | 139,925 | 259,189 | 11,781 | 23,647 |
| Aug | 22.0 | 202,224 | 240,072 | 442,296 | 20,104 | 28,989 |
| Sep | 21.0 | 127,303 | 143,049 | 270,352 | 12,874 | 21,794 |
| Oct | 21.0 | 125,365 | 136,469 | 261,834 | 12,468 | 20,616 |
| Nov | 21.0 | 167,024 | 167,945 | 334,969 | 15,951 | 29,488 |
| Dec | 20.0 | 116,702 | 114,209 | 230,911 | 11,546 | 17,983 |
| 2019 Highest (date) | | | | 36,928 (26/08) | | 66,588 (28/08) |

HSI (Gross Total Return Index) Futures - trading statistics, 2018 - 2019

| Year/Month | No. of trading days | Contracts | | Period end open interest |
|---------------------|---------------------|----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | |
| 2018* | 39.0 | 14 | 0 | 9 |
| 2019 | 246.0 | 165 | 1 | 12 |
| 2018 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 0 | 0 | 0 |
| Mar | 21.0 | 27 | 1 | 13 |
| Apr | 19.0 | 14 | 1 | 10 |
| May | 21.0 | 45 | 2 | 13 |
| Jun | 19.0 | 13 | 1 | 9 |
| Jul | 22.0 | 10 | 0 | 8 |
| Aug | 22.0 | 4 | 0 | 2 |
| Sep | 21.0 | 8 | 0 | 0 |
| Oct | 21.0 | 10 | 0 | 10 |
| Nov | 21.0 | 2 | 0 | 2 |
| Dec | 20.0 | 32 | 2 | 12 |
| 2019 Highest (date) | | 15 (27/03) | | 27 (07/05) |

* Trading in HSI (Gross Total Return Index) Futures commenced on 05 Nov 2018

HSI (Net Total Return Index) Futures – trading statistics, 2018 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2018 * | 39.0 | 13 | 0 | 8 |
| 2019 | 246.0 | 120 | 0 | 10 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 0 | 0 | 0 |
| Mar | 21.0 | 25 | 1 | 11 |
| Apr | 19.0 | 6 | 0 | 0 |
| May | 21.0 | 38 | 2 | 7 |
| Jun | 19.0 | 2 | 0 | 2 |
| Jul | 22.0 | 2 | 0 | 2 |
| Aug | 22.0 | 2 | 0 | 2 |
| Sep | 21.0 | 5 | 0 | 5 |
| Oct | 21.0 | 10 | 0 | 10 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 30 | 2 | 10 |
| 2019 Highest (date) | | 29 (29/05) | | 38 (30/05) |

* Trading in HSI (Net Total Return Index) Futures commenced on 05 Nov 2018

Hang Seng China Enterprises Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|---------------------------|----------------------|---------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 33,379,310 | 135,139 | 384,767 |
| 2016 | 247.0 | 33,031,130 | 133,729 | 322,024 |
| 2017 | 247.0 | 28,852,655 | 116,812 | 233,591 |
| 2018 | 246.0 | 37,451,281 | 152,241 | 428,692 |
| 2019 | 246.0 | 34,151,099 | 138,826 | 325,907 |
| 2019 Jan | 22.0 | 3,229,285 | 146,786 | 453,038 |
| Feb | 17.0 | 2,543,032 | 149,590 | 397,320 |
| Mar | 21.0 | 3,119,259 | 148,536 | 383,144 |
| Apr | 19.0 | 2,648,361 | 139,387 | 399,972 |
| May | 21.0 | 3,539,954 | 168,569 | 358,345 |
| Jun | 19.0 | 2,707,123 | 142,480 | 330,487 |
| Jul | 22.0 | 2,419,036 | 109,956 | 322,591 |
| Aug | 22.0 | 3,458,023 | 157,183 | 363,793 |
| Sep | 21.0 | 2,606,309 | 124,110 | 346,178 |
| Oct | 21.0 | 2,585,172 | 123,103 | 345,188 |
| Nov | 21.0 | 2,817,132 | 134,149 | 339,120 |
| Dec | 20.0 | 2,478,413 | 123,921 | 325,907 |
| 2019 Highest (date) | | 477,061 (25/02) | | 532,104 (25/02) |

Hang Seng China Enterprises Index Options – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Volume (contracts) | | | Average daily volume | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|------------|---------------------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | | |
| 2015 | 247.0 | 7,345,514 | 7,958,731 | 15,304,245 | 61,961 | 1,625,661 |
| 2016 | 247.0 | 9,116,891 | 10,358,835 | 19,475,726 | 78,849 | 2,066,340 |
| 2017 | 247.0 | 8,548,769 | 11,229,151 | 19,777,920 | 80,073 | 1,982,347 |
| 2018 | 246.0 | 10,640,068 | 13,618,016 | 24,258,084 | 98,610 | 2,309,038 |
| 2019 | 246.0 | 8,824,306 | 12,739,102 | 21,563,408 | 87,656 | 2,088,866 |
| 2019 Jan | 22.0 | 773,791 | 1,175,070 | 1,948,861 | 88,585 | 2,553,809 |
| Feb | 17.0 | 670,294 | 984,791 | 1,655,085 | 97,358 | 2,748,875 |
| Mar | 21.0 | 979,565 | 1,165,305 | 2,144,870 | 102,137 | 2,579,157 |
| Apr | 19.0 | 591,800 | 827,334 | 1,419,134 | 74,691 | 2,608,656 |
| May | 21.0 | 892,505 | 1,378,761 | 2,271,266 | 108,156 | 2,917,109 |
| Jun | 19.0 | 748,117 | 1,171,700 | 1,919,817 | 101,043 | 2,592,652 |
| Jul | 22.0 | 541,276 | 864,503 | 1,405,779 | 63,899 | 2,595,852 |
| Aug | 22.0 | 770,356 | 1,345,342 | 2,115,698 | 96,168 | 2,864,687 |
| Sep | 21.0 | 680,889 | 1,037,567 | 1,718,456 | 81,831 | 2,609,241 |
| Oct | 21.0 | 792,158 | 1,003,887 | 1,796,045 | 85,526 | 2,668,984 |
| Nov | 21.0 | 697,738 | 985,533 | 1,683,271 | 80,156 | 2,772,250 |
| Dec | 20.0 | 685,817 | 799,309 | 1,485,126 | 74,256 | 2,088,866 |
| 2019 Highest (date) | | | | 175,918 (25/02) | | 3,275,647 (26/06) |

Weekly Hang Seng China Enterprises Index Options – trading statistics, 2019

| Year/Month | No. of trading days | Volume (contracts) | | | Average daily volume | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|--------|-------------------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | | |
| 2019* | 73.0 | 48,974 | 45,229 | 94,203 | 1,290 | 1,592 |
| 2019 Sep | 11.0 | 1,505 | 1,250 | 2,755 | 250 | 1,232 |
| Oct | 21.0 | 14,574 | 9,897 | 24,471 | 1,165 | 3,856 |
| Nov | 21.0 | 22,521 | 19,420 | 41,941 | 1,997 | 4,780 |
| Dec | 20.0 | 10,374 | 14,662 | 25,036 | 1,252 | 1,592 |
| 2019 Highest (date) | | | | 4,318 (18/11) | | 7,162 (08/11) |

* Trading in Weekly Hang Seng China Enterprises Index Options commenced on 16 September 2019

Mini-Hang Seng China Enterprises Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 7,506,543 | 30,391 | 6,088 |
| 2016 | 247.0 | 4,870,262 | 19,718 | 4,399 |
| 2017 | 247.0 | 3,661,193 | 14,823 | 5,162 |
| 2018 | 246.0 | 5,551,632 | 22,568 | 5,292 |
| 2019 | 246.0 | 3,686,219 | 14,985 | 4,214 |
| 2019 Jan | 22.0 | 375,731 | 17,079 | 5,242 |
| Feb | 17.0 | 264,778 | 15,575 | 3,548 |
| Mar | 21.0 | 380,017 | 18,096 | 6,056 |
| Apr | 19.0 | 323,807 | 17,042 | 4,199 |
| May | 21.0 | 370,183 | 17,628 | 6,133 |
| Jun | 19.0 | 290,164 | 15,272 | 5,037 |
| Jul | 22.0 | 262,683 | 11,940 | 5,903 |
| Aug | 22.0 | 394,913 | 17,951 | 4,397 |
| Sep | 21.0 | 271,568 | 12,932 | 3,999 |
| Oct | 21.0 | 279,490 | 13,309 | 3,786 |
| Nov | 21.0 | 250,493 | 11,928 | 5,732 |
| Dec | 20.0 | 222,392 | 11,120 | 4,214 |
| 2019 Highest (date) | | 30,472 (10/05) | | 12,914 (15/08) |

Mini-Hang Seng China Enterprises Index Options – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Volume (contracts) | | | | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|---------|-------------------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | Average daily volume | |
| 2016 * | 81.0 | 91,932 | 105,467 | 197,399 | 2,437 | 3,265 |
| 2017 | 247.0 | 192,320 | 184,923 | 377,243 | 1,527 | 5,154 |
| 2018 | 246.0 | 293,667 | 289,882 | 583,549 | 2,372 | 5,132 |
| 2019 | 246.0 | 286,572 | 296,051 | 582,623 | 2,368 | 12,814 |
| 2019 Jan | 22.0 | 11,608 | 12,863 | 24,471 | 1,112 | 5,474 |
| Feb | 17.0 | 9,473 | 12,119 | 21,592 | 1,270 | 7,615 |
| Mar | 21.0 | 17,568 | 15,579 | 33,147 | 1,578 | 8,776 |
| Apr | 19.0 | 26,611 | 28,411 | 55,022 | 2,896 | 15,774 |
| May | 21.0 | 28,742 | 25,602 | 54,344 | 2,588 | 17,950 |
| Jun | 19.0 | 21,974 | 25,156 | 47,130 | 2,481 | 10,176 |
| Jul | 22.0 | 16,414 | 19,126 | 35,540 | 1,615 | 12,611 |
| Aug | 22.0 | 32,773 | 42,236 | 75,009 | 3,410 | 20,701 |
| Sep | 21.0 | 31,323 | 31,749 | 63,072 | 3,003 | 15,666 |
| Oct | 21.0 | 24,334 | 25,153 | 49,487 | 2,357 | 17,563 |
| Nov | 21.0 | 35,804 | 33,845 | 69,649 | 3,317 | 20,634 |
| Dec | 20.0 | 29,948 | 24,212 | 54,160 | 2,708 | 12,814 |
| 2019 Highest (date) | | | | 8,672 (06/08) | | 34,635 (26/09) |

* Trading in Mini-Hang Seng China Enterprises Index Options commenced on 5 Sep 2016

HSCEI (Gross Total Return Index) Futures – trading statistics, 2018 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2018 * | 39.0 | 2 | 0 | 0 |
| 2019 | 246.0 | 24 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 1 | 0 | 0 |
| Mar | 21.0 | 3 | 0 | 0 |
| Apr | 19.0 | 0 | 0 | 0 |
| May | 21.0 | 10 | 0 | 10 |
| Jun | 19.0 | 0 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 0 | 0 | 0 |
| Sep | 21.0 | 0 | 0 | 0 |
| Oct | 21.0 | 10 | 0 | 10 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 10 (30/10) | | 10 (28/11) |

* Trading in HSCEI (Gross Total Return Index) Futures commenced on 05 Nov 2018

HSCEI (Net Total Return Index) Futures – trading statistics, 2018 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2018 * | 39.0 | 2 | 0 | 0 |
| 2019 | 246.0 | 23 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 0 | 0 | 0 |
| Mar | 21.0 | 3 | 0 | 0 |
| Apr | 19.0 | 0 | 0 | 0 |
| May | 21.0 | 10 | 0 | 10 |
| Jun | 19.0 | 0 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 0 | 0 | 0 |
| Sep | 21.0 | 0 | 0 | 0 |
| Oct | 21.0 | 10 | 0 | 10 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 10 (30/10) | | 10 (28/11) |

* Trading in HSCEI (Net Total Return Index) Futures commenced on 05 Nov 2018

HSI Dividend Point Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|-------------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 9,573 | 39 | 2,581 |
| 2016 | 247.0 | 16,886 | 68 | 4,621 |
| 2017 | 247.0 | 18,243 | 74 | 9,052 |
| 2018 | 246.0 | 12,822 | 52 | 1,850 |
| 2019 | 246.0 | 13,790 | 56 | 1,899 |
| 2019 Jan | 22.0 | 150 | 7 | 1,800 |
| Feb | 17.0 | 3,100 | 182 | 3,349 |
| Mar | 21.0 | 1,800 | 86 | 4,898 |
| Apr | 19.0 | 1,735 | 91 | 6,083 |
| May | 21.0 | 575 | 27 | 5,958 |
| Jun | 19.0 | 1,000 | 53 | 5,783 |
| Jul | 22.0 | 3,975 | 181 | 4,779 |
| Aug | 22.0 | 200 | 9 | 4,879 |
| Sep | 21.0 | 0 | 0 | 4,879 |
| Oct | 21.0 | 0 | 0 | 4,879 |
| Nov | 21.0 | 1,254 | 60 | 5,979 |
| Dec | 20.0 | 1 | 0 | 1,899 |
| 2019 Highest (date) | | 1,700 (24/07) | | 6,108 (29/05) |

HSCEI Dividend Point Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------------------|----------------------|---------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 205,269 | 831 | 75,426 |
| 2016 | 247.0 | 589,188 | 2,385 | 109,112 |
| 2017 | 247.0 | 472,147 | 1,912 | 72,636 |
| 2018 | 246.0 | 413,292 | 1,680 | 125,077 |
| 2019 | 246.0 | 531,215 | 2,159 | 116,422 |
| 2019 Jan | 22.0 | 53,728 | 2,442 | 128,447 |
| Feb | 17.0 | 45,029 | 2,649 | 119,884 |
| Mar | 21.0 | 40,069 | 1,908 | 127,287 |
| Apr | 19.0 | 29,179 | 1,536 | 131,067 |
| May | 21.0 | 87,029 | 4,144 | 123,348 |
| Jun | 19.0 | 17,077 | 899 | 121,605 |
| Jul | 22.0 | 28,415 | 1,292 | 114,362 |
| Aug | 22.0 | 68,564 | 3,117 | 119,342 |
| Sep | 21.0 | 18,710 | 891 | 127,241 |
| Oct | 21.0 | 38,346 | 1,826 | 134,978 |
| Nov | 21.0 | 58,668 | 2,794 | 158,590 |
| Dec | 20.0 | 46,401 | 2,320 | 116,422 |
| 2019 Highest (date) | | 17,000 (20/11) | | 173,381 (23/12) |

Flexible Hang Seng Index Options – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Volume (contracts) | | | Average daily volume | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|-------|--------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | | |
| 2015 | 247.0 | 1,775 | 3,525 | 5,300 | 21 | 2,975 |
| 2016 | 247.0 | 0 | 560 | 560 | 2 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 | 0 | 0 |
| 2018 | 246.0 | 508 | 254 | 762 | 3 | 0 |
| 2019 | 246.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Feb | 17.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Mar | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Apr | 19.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 May | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Jun | 19.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Jul | 22.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Aug | 22.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Sep | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Oct | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Nov | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Dec | 20.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Highest (date) | | | | 0 | | 0 |
| | | | | - | | - |

Flexible Hang Seng China Enterprises Index Options – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Volume (contracts) | | | Average daily volume | Period end open interest (contracts) |
|---------------------|---------------------|--------------------|--------|--------------|----------------------|--------------------------------------|
| | | Call | Put | Total volume | | |
| 2015 | 247.0 | 15,283 | 24,565 | 39,848 | 161 | 16,131 |
| 2016 | 247.0 | 10,696 | 5,920 | 16,616 | 67 | 11,930 |
| 2017 | 247.0 | 10,000 | 0 | 10,000 | 40 | 0 |
| 2018 | 246.0 | 0 | 6,500 | 6,500 | 26 | 0 |
| 2019 | 246.0 | 0 | 150 | 150 | 1 | 150 |
| 2019 Jan | 22.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Feb | 17.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Mar | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Apr | 19.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 May | 21.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Jun | 19.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Jul | 22.0 | 0 | 0 | 0 | 0 | 0 |
| 2019 Aug | 22.0 | 0 | 150 | 150 | 7 | 150 |
| 2019 Sep | 21.0 | 0 | 0 | 0 | 0 | 150 |
| 2019 Oct | 21.0 | 0 | 0 | 0 | 0 | 150 |
| 2019 Nov | 21.0 | 0 | 0 | 0 | 0 | 150 |
| 2019 Dec | 20.0 | 0 | 0 | 0 | 0 | 150 |
| 2019 Highest (date) | | | | 150 | | 150 |
| | | | | (16/08) | | (31/12) |

HSI Volatility Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 464 | 2 | 1 |
| 2016 | 247.0 | 87 | 0 | 0 |
| 2017 | 247.0 | 68 | 0 | 0 |
| 2018 | 246.0 | 1 | 0 | 0 |
| 2019 | 246.0 | 159 | 1 | 5 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 45 | 3 | 23 |
| Mar | 21.0 | 29 | 1 | 37 |
| Apr | 19.0 | 15 | 1 | 4 |
| May | 21.0 | 0 | 0 | 0 |
| Jun | 19.0 | 1 | 0 | 1 |
| Jul | 22.0 | 35 | 2 | 0 |
| Aug | 22.0 | 17 | 1 | 2 |
| Sep | 21.0 | 2 | 0 | 0 |
| Oct | 21.0 | 2 | 0 | 1 |
| Nov | 21.0 | 7 | 0 | 6 |
| Dec | 20.0 | 6 | 0 | 5 |
| 2019 Highest (date) | | 34 (21/02) | | 38 (20/03) |

MSCI AC Asia ex Japan NTR Index Futures – trading statistics, 2018 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2018 * | 139.0 | 5,545 | 40 | 925 |
| 2019 | 246.0 | 237,533 | 966 | 23,864 |
| 2019 Jan | 22.0 | 995 | 45 | 1,435 |
| Feb | 17.0 | 5 | 0 | 1,435 |
| Mar | 21.0 | 1,830 | 87 | 890 |
| Apr | 19.0 | 2,974 | 157 | 3,740 |
| May | 21.0 | 7,320 | 349 | 8,466 |
| Jun | 19.0 | 52,501 | 2,763 | 14,871 |
| Jul | 22.0 | 3,561 | 162 | 14,181 |
| Aug | 22.0 | 5,298 | 241 | 16,634 |
| Sep | 21.0 | 69,369 | 3,303 | 21,588 |
| Oct | 21.0 | 3,803 | 181 | 21,316 |
| Nov | 21.0 | 19,641 | 935 | 14,553 |
| Dec | 20.0 | 70,236 | 3,512 | 23,864 |
| 2019 Highest (date) | | 56,378 (16/12) | | 46,698 (17/09) |

* Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018

CES China 120 Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 27,427 | 111 | 5 |
| 2016 | 247.0 | 642 | 3 | 16 |
| 2017 | 247.0 | 1,511 | 6 | 11 |
| 2018 | 246.0 | 765 | 3 | 3 |
| 2019 | 246.0 | 111 | 0 | 3 |
| 2019 Jan | 22.0 | 7 | 0 | 2 |
| Feb | 17.0 | 10 | 1 | 2 |
| Mar | 21.0 | 57 | 3 | 16 |
| Apr | 19.0 | 4 | 0 | 7 |
| May | 21.0 | 6 | 0 | 0 |
| Jun | 19.0 | 0 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 1 | 0 | 0 |
| Sep | 21.0 | 15 | 1 | 5 |
| Oct | 21.0 | 1 | 0 | 0 |
| Nov | 21.0 | 5 | 0 | 3 |
| Dec | 20.0 | 5 | 0 | 3 |
| 2019 Highest (date) | | 14 (29/03) | | 16 (29/04) |

CES Gaming Top 10 Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 |
| 2018 | 246.0 | 235 | 1 | 0 |
| 2019 | 246.0 | 14 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 4 | 0 | 0 |
| Mar | 21.0 | 4 | 0 | 0 |
| Apr | 19.0 | 0 | 0 | 0 |
| May | 21.0 | 5 | 0 | 1 |
| Jun | 19.0 | 1 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 0 | 0 | 0 |
| Sep | 21.0 | 0 | 0 | 0 |
| Oct | 21.0 | 0 | 0 | 0 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 4 (07/05) | | 1 (31/05) |

* Trading in CES Gaming Top 10 Index Futures commenced on 9 May 2016

Hang Seng Mainland Oil & Gas Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|-----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 6 | 0 | 1 |
| 2017 | 247.0 | 2,637 | 11 | 69 |
| 2018 | 246.0 | 20,973 | 85 | 14 |
| 2019 | 246.0 | 45,120 | 183 | 42 |
| 2019 Jan | 22.0 | 11,495 | 523 | 145 |
| Feb | 17.0 | 7,392 | 435 | 240 |
| Mar | 21.0 | 6,520 | 310 | 73 |
| Apr | 19.0 | 2,720 | 143 | 140 |
| May | 21.0 | 4,022 | 192 | 79 |
| Jun | 19.0 | 4,012 | 211 | 133 |
| Jul | 22.0 | 729 | 33 | 128 |
| Aug | 22.0 | 3,569 | 162 | 176 |
| Sep | 21.0 | 1,474 | 70 | 64 |
| Oct | 21.0 | 1,229 | 59 | 47 |
| Nov | 21.0 | 1,047 | 50 | 27 |
| Dec | 20.0 | 911 | 46 | 42 |
| 2019 Highest (date) | | 911 (11/01) | | 593 (09/08) |

* Trading in Hang Seng Mainland Oil & Gas Index Futures commenced on 9 May 2016

Hang Seng Mainland Banks Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|-----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 285 | 2 | 8 |
| 2017 | 247.0 | 8,067 | 33 | 100 |
| 2018 | 246.0 | 22,516 | 92 | 20 |
| 2019 | 246.0 | 22,255 | 90 | 117 |
| 2019 Jan | 22.0 | 3,791 | 172 | 142 |
| Feb | 17.0 | 2,343 | 138 | 168 |
| Mar | 21.0 | 3,130 | 149 | 90 |
| Apr | 19.0 | 1,917 | 101 | 174 |
| May | 21.0 | 2,074 | 99 | 136 |
| Jun | 19.0 | 1,879 | 99 | 143 |
| Jul | 22.0 | 882 | 40 | 111 |
| Aug | 22.0 | 2,557 | 116 | 321 |
| Sep | 21.0 | 1,395 | 66 | 66 |
| Oct | 21.0 | 941 | 45 | 73 |
| Nov | 21.0 | 670 | 32 | 48 |
| Dec | 20.0 | 676 | 34 | 117 |
| 2019 Highest (date) | | 584 (29/08) | | 548 (24/01) |

* Trading in Hang Seng Mainland Banks Index Futures commenced on 9 May 2016

Hang Seng Mainland Healthcare Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 2 | 0 | 0 |
| 2018 | 246.0 | 62 | 0 | 0 |
| 2019 | 246.0 | 12 | 0 | 0 |
| 2019 Jan | 22.0 | 2 | 0 | 0 |
| Feb | 17.0 | 10 | 1 | 0 |
| Mar | 21.0 | 0 | 0 | 0 |
| Apr | 19.0 | 0 | 0 | 0 |
| May | 21.0 | 0 | 0 | 0 |
| Jun | 19.0 | 0 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 0 | 0 | 0 |
| Sep | 21.0 | 0 | 0 | 0 |
| Oct | 21.0 | 0 | 0 | 0 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 5 (21/02) | | 2 (22/02) |

* Trading in Hang Seng Mainland Healthcare Index Futures commenced on 9 May 2016

Hang Seng Mainland Properties Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 5 | 0 | 0 |
| 2017 | 247.0 | 4,744 | 19 | 8 |
| 2018 | 246.0 | 28,456 | 116 | 29 |
| 2019 | 246.0 | 17,261 | 70 | 17 |
| 2019 Jan | 22.0 | 1,887 | 86 | 45 |
| Feb | 17.0 | 1,910 | 112 | 45 |
| Mar | 21.0 | 2,660 | 127 | 31 |
| Apr | 19.0 | 1,481 | 78 | 35 |
| May | 21.0 | 1,373 | 65 | 41 |
| Jun | 19.0 | 2,170 | 114 | 56 |
| Jul | 22.0 | 858 | 39 | 28 |
| Aug | 22.0 | 1,774 | 81 | 69 |
| Sep | 21.0 | 1,072 | 51 | 94 |
| Oct | 21.0 | 970 | 46 | 54 |
| Nov | 21.0 | 697 | 33 | 10 |
| Dec | 20.0 | 409 | 20 | 17 |
| 2019 Highest (date) | | 265 (22/03) | | 371 (14/02) |

* Trading in Hang Seng Mainland Properties Index Futures commenced on 9 May 2016

Hang Seng IT Hardware Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 2 | 0 | 0 |
| 2018 | 246.0 | 144 | 1 | 0 |
| 2019 | 246.0 | 0 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 0 | 0 | 0 |
| Mar | 21.0 | 0 | 0 | 0 |
| Apr | 19.0 | 0 | 0 | 0 |
| May | 21.0 | 0 | 0 | 0 |
| Jun | 19.0 | 0 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 0 | 0 | 0 |
| Sep | 21.0 | 0 | 0 | 0 |
| Oct | 21.0 | 0 | 0 | 0 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 0 - | | 0 - |

* Trading in Hang Seng IT Hardware Index Futures commenced on 9 May 2016

Hang Seng Software & Services Index Futures – trading statistics, 2016 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2016 * | 164.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 |
| 2018 | 246.0 | 103 | 0 | 0 |
| 2019 | 246.0 | 6 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| Feb | 17.0 | 0 | 0 | 0 |
| Mar | 21.0 | 0 | 0 | 0 |
| Apr | 19.0 | 0 | 0 | 0 |
| May | 21.0 | 3 | 0 | 3 |
| Jun | 19.0 | 3 | 0 | 0 |
| Jul | 22.0 | 0 | 0 | 0 |
| Aug | 22.0 | 0 | 0 | 0 |
| Sep | 21.0 | 0 | 0 | 0 |
| Oct | 21.0 | 0 | 0 | 0 |
| Nov | 21.0 | 0 | 0 | 0 |
| Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 3 (10/06) | | 3 (06/06) |

* Trading in Hang Seng Software & Services Index Futures commenced on 9 May 2016

IBOVESPA Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 0 | 0 | 0 |
| 2016 | 247.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 |
| 2018 | 246.0 | 0 | 0 | 0 |
| 2019 | 246.0 | 0 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| 2019 Feb | 17.0 | 0 | 0 | 0 |
| 2019 Mar | 21.0 | 0 | 0 | 0 |
| 2019 Apr | 19.0 | 0 | 0 | 0 |
| 2019 May | 21.0 | 0 | 0 | 0 |
| 2019 Jun | 19.0 | 0 | 0 | 0 |
| 2019 Jul | 22.0 | 0 | 0 | 0 |
| 2019 Aug | 22.0 | 0 | 0 | 0 |
| 2019 Sep | 21.0 | 0 | 0 | 0 |
| 2019 Oct | 21.0 | 0 | 0 | 0 |
| 2019 Nov | 21.0 | 0 | 0 | 0 |
| 2019 Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 0 | | 0 |
| | | - | | - |

MICEX Index Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 0 | 0 | 0 |
| 2016 | 247.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 |
| 2018 | 246.0 | 0 | 0 | 0 |
| 2019 | 246.0 | 0 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| 2019 Feb | 17.0 | 0 | 0 | 0 |
| 2019 Mar | 21.0 | 0 | 0 | 0 |
| 2019 Apr | 19.0 | 0 | 0 | 0 |
| 2019 May | 21.0 | 0 | 0 | 0 |
| 2019 Jun | 19.0 | 0 | 0 | 0 |
| 2019 Jul | 22.0 | 0 | 0 | 0 |
| 2019 Aug | 22.0 | 0 | 0 | 0 |
| 2019 Sep | 21.0 | 0 | 0 | 0 |
| 2019 Oct | 21.0 | 0 | 0 | 0 |
| 2019 Nov | 21.0 | 0 | 0 | 0 |
| 2019 Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 0 | | 0 |
| | | - | | - |

FTSE/JSE Top40 Futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 0 | 0 | 0 |
| 2016 | 247.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 |
| 2018 | 246.0 | 0 | 0 | 0 |
| 2019 | 246.0 | 0 | 0 | 0 |
| 2019 Jan | 22.0 | 0 | 0 | 0 |
| 2019 Feb | 17.0 | 0 | 0 | 0 |
| 2019 Mar | 21.0 | 0 | 0 | 0 |
| 2019 Apr | 19.0 | 0 | 0 | 0 |
| 2019 May | 21.0 | 0 | 0 | 0 |
| 2019 Jun | 19.0 | 0 | 0 | 0 |
| 2019 Jul | 22.0 | 0 | 0 | 0 |
| 2019 Aug | 22.0 | 0 | 0 | 0 |
| 2019 Sep | 21.0 | 0 | 0 | 0 |
| 2019 Oct | 21.0 | 0 | 0 | 0 |
| 2019 Nov | 21.0 | 0 | 0 | 0 |
| 2019 Dec | 20.0 | 0 | 0 | 0 |
| 2019 Highest (date) | | 0 - | | 0 - |

Three-month HIBOR futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|---------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 90 | 0 | 0 |
| 2016 | 247.0 | 52 | 0 | 40 |
| 2017 | 247.0 | 568 | 2 | 470 |
| 2018 | 246.0 | 592 | 2 | 272 |
| 2019 | 246.0 | 175 | 1 | 60 |
| 2019 Jan | 22.0 | 5 | 0 | 275 |
| 2019 Feb | 17.0 | 0 | 0 | 275 |
| 2019 Mar | 21.0 | 3 | 0 | 113 |
| 2019 Apr | 19.0 | 0 | 0 | 110 |
| 2019 May | 21.0 | 0 | 0 | 110 |
| 2019 Jun | 19.0 | 5 | 0 | 25 |
| 2019 Jul | 22.0 | 60 | 3 | 85 |
| 2019 Aug | 22.0 | 101 | 5 | 170 |
| 2019 Sep | 21.0 | 0 | 0 | 115 |
| 2019 Oct | 21.0 | 0 | 0 | 110 |
| 2019 Nov | 21.0 | 1 | 0 | 111 |
| 2019 Dec | 20.0 | 0 | 0 | 60 |
| 2019 Highest (date) | | 35 (23/08) | | 277 (14/01) |

One-month HIBOR futures – trading statistics, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | |
|---------------------|---------------------|---------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 6 | 0 | 0 |
| 2016 | 247.0 | 0 | 0 | 0 |
| 2017 | 247.0 | 20 | 0 | 0 |
| 2018 | 246.0 | 167 | 1 | 0 |
| 2019 | 246.0 | 95 | 0 | 0 |
| 2019 Jan | 22.0 | 9 | 0 | 2 |
| 2019 Feb | 17.0 | 4 | 0 | 3 |
| 2019 Mar | 21.0 | 2 | 0 | 0 |
| 2019 Apr | 19.0 | 5 | 0 | 0 |
| 2019 May | 21.0 | 12 | 1 | 7 |
| 2019 Jun | 19.0 | 5 | 0 | 0 |
| 2019 Jul | 22.0 | 15 | 1 | 5 |
| 2019 Aug | 22.0 | 35 | 2 | 30 |
| 2019 Sep | 21.0 | 0 | 0 | 15 |
| 2019 Oct | 21.0 | 0 | 0 | 0 |
| 2019 Nov | 21.0 | 7 | 0 | 5 |
| 2019 Dec | 20.0 | 1 | 0 | 0 |
| 2019 Highest (date) | | 20 (08/08) | | 36 (19/08) |

Stock Futures contracts as at the end of 2019

| Underlying Stock | Launch Date | Contract Multiplier |
|----------------------------------------------|-------------|---------------------|
| AAC Technologies Holdings Inc. | 2018/02/05 | 1,000 |
| Agricultural Bank of China Ltd. | 2015/11/30 | 10,000 |
| AIA Group Ltd. | 2018/02/05 | 1,000 |
| Alibaba Group Holding Ltd. | 2019/11/26 | 500 |
| Aluminum Corporation of China Ltd. | 2004/06/14 | 2,000 |
| Anhui Conch Cement Co. Ltd. | 2018/07/03 | 500 |
| Bank of China Ltd. | 2006/07/03 | 1,000 |
| Bank of Communications Co., Ltd. | 2005/11/07 | 1,000 |
| BOC Hong Kong (Holdings) Ltd. | 2003/03/17 | 500 |
| Budweiser Brewing Co. APAC Ltd. | 2019/09/30 | 1,000 |
| Cathay Pacific Airways Ltd. | 2001/08/27 | 1,000 |
| CGN Power Co., Ltd. | 2015/11/30 | 10,000 |
| ChinaAMC CSI 300 Index ETF | 2013/06/10 | 2,000 |
| China Cinda Asset Management Co., Ltd. | 2015/11/30 | 5,000 |
| China CITIC Bank Corporation Ltd. | 2015/11/30 | 20,000 |
| China Coal Energy Co. Ltd. | 2015/12/07 | 1,000 |
| China Communications Construction Co. Ltd. | 2007/03/19 | 1,000 |
| China Construction Bank Corporation | 2005/12/19 | 1,000 |
| China Evergrande Group | 2015/11/30 | 2,000 |
| China Life Insurance Co. Ltd. | 2004/06/14 | 1,000 |
| China Merchants Bank Co., Ltd. | 2006/10/27 | 500 |
| China Minsheng Banking Corp., Ltd. | 2015/12/07 | 10,000 |
| China Mobile Ltd. | 1998/06/05 | 500 |
| China Oilfield Services Ltd. | 2015/12/07 | 10,000 |
| China Overseas Land & Investment Ltd. | 2018/07/03 | 2,000 |
| China Pacific Insurance (Group) Co., Ltd. | 2018/07/03 | 1,000 |
| China Petroleum & Chemical Corporation | 2002/07/22 | 2,000 |
| China Shenhua Energy Co. Ltd. | 2015/11/30 | 500 |
| China Southern Airlines Co. Ltd. | 2015/12/07 | 10,000 |
| China Telecom Corporation Ltd. | 2004/06/14 | 2,000 |
| China Tower Corporation Ltd. | 2018/08/08 | 10,000 |
| China Unicom (Hong Kong) Ltd. | 2001/08/27 | 2,000 |
| CITIC Ltd. | 1997/05/23 | 1,000 |
| CITIC Securities Co. Ltd. | 2015/11/30 | 1,000 |
| CK Hutchison Holdings Ltd. | 1995/09/25 | 500 |
| CLP Holdings Ltd. | 1997/10/17 | 500 |
| CNOOC Ltd. | 2002/07/22 | 1,000 |
| Country Garden Holdings Co. Ltd. | 2018/02/05 | 5,000 |
| CRRC Corporation Ltd. | 2015/11/30 | 10,000 |
| CSOP FTSE China A50 ETF | 2013/06/10 | 5,000 |
| Fosun International Ltd. | 2015/11/30 | 10,000 |
| Galaxy Entertainment Group Ltd. | 2018/07/03 | 1,000 |
| Geely Automobile Holdings Ltd. | 2018/07/03 | 5,000 |
| Great Wall Motor Co. Ltd. | 2015/12/07 | 10,000 |
| Guangzhou Automobile Group Co., Ltd. | 2015/11/30 | 4,000 |
| Haitong Securities Co., Ltd. | 2015/11/30 | 10,000 |
| Hang Seng Bank Ltd. | 1997/09/19 | 100 |
| Hang Seng China Enterprises Index ETF | 2018/04/09 | 5,000 |
| Henderson Land Development Co. Ltd. | 1997/09/19 | 1,000 |
| Hong Kong Exchanges and Clearing Ltd. | 2001/08/27 | 100 |
| HSBC Holdings Plc | 1995/03/31 | 400 |
| Huaneng Power International, Inc. | 2002/07/22 | 2,000 |
| Huatai Securities Co., Ltd. | 2015/12/07 | 10,000 |
| Industrial and Commercial Bank of China Ltd. | 2006/10/27 | 1,000 |

Stock Futures contracts as at the end of 2019 (continued)

| Underlying Stock | Launch Date | Contract Multiplier |
|-------------------------------------------------------|-------------|---------------------|
| iShares FTSE A50 China Index ETF | 2013/06/10 | 5,000 |
| Kingsoft Corporation Ltd. | 2015/12/07 | 1,000 |
| Li & Fung Ltd. | 2001/08/27 | 2,000 |
| Link Real Estate Investment Trust | 2018/07/03 | 1,000 |
| Meituan Dianping | 2018/09/20 | 500 |
| MTR Corporation Ltd. | 2001/08/27 | 500 |
| New China Life Insurance Co. Ltd. | 2015/12/07 | 1,000 |
| New World Development Co. Ltd. | 1997/10/17 | 1,000 |
| Petrochina Co. Ltd. | 2001/08/27 | 2,000 |
| PICC Property and Casualty Co. Ltd. | 2007/03/19 | 2,000 |
| Ping An Insurance (Group) Co. of China, Ltd. | 2005/11/07 | 500 |
| Power Assets Holdings Ltd. | 1998/03/18 | 500 |
| Sands China Ltd. | 2018/07/03 | 400 |
| Semiconductor Manufacturing International Corporation | 2015/12/07 | 5,000 |
| Sun Hung Kai Properties Ltd. | 1997/09/19 | 1,000 |
| Sunac China Holdings Ltd. | 2015/11/30 | 2,000 |
| Sunny Optical Technology (Group) Co. Ltd. | 2018/02/05 | 1,000 |
| Swire Pacific Ltd. 'A' | 1997/05/23 | 500 |
| Tencent Holdings Ltd. | 2015/11/30 | 100 |
| The Bank of East Asia, Ltd. | 2001/01/08 | 200 |
| The Hong Kong and China Gas Co. Ltd. | 2001/08/27 | 1,000 |
| The Wharf (Holdings) Ltd. | 1997/10/17 | 1,000 |
| Tracker Fund of Hong Kong | 2018/04/09 | 50,000 |
| Xiaomi Corporation | 2018/07/09 | 1,000 |
| Yanzhou Coal Mining Co. Ltd. | 2015/12/07 | 2,000 |
| Zijin Mining Group Co., Ltd. | 2015/12/07 | 2,000 |

Stock Futures contract volume and open interest, 2015 – 2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|---------------------|--------------|--------------------------|--------------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2015 | 247.0 | 729,013 | 2,951 | 20,088 | |
| 2016 | 247.0 | 225,978 | 915 | 5,365 | |
| 2017 | 247.0 | 121,532 | 492 | 5,555 | |
| 2018 | 246.0 | 863,027 | 3,508 | 20,476 | |
| 2019 | 246.0 | 917,358 | 3,729 | 27,277 | |
| 2019 | Jan | 22.0 | 128,061 | 5,821 | 23,487 |
| | Feb | 17.0 | 78,584 | 4,623 | 20,737 |
| | Mar | 21.0 | 104,404 | 4,972 | 20,845 |
| | Apr | 19.0 | 83,987 | 4,420 | 21,153 |
| | May | 21.0 | 105,523 | 5,025 | 21,154 |
| | Jun | 19.0 | 80,316 | 4,227 | 16,125 |
| | Jul | 22.0 | 52,462 | 2,385 | 16,104 |
| | Aug | 22.0 | 54,729 | 2,488 | 17,443 |
| | Sep | 21.0 | 61,726 | 2,939 | 17,972 |
| | Oct | 21.0 | 41,617 | 1,982 | 18,687 |
| | Nov | 21.0 | 52,916 | 2,520 | 21,624 |
| | Dec | 20.0 | 73,033 | 3,652 | 27,277 |
| 2019 | Highest (date) | | 19,653 (26/03) | | 31,242 (30/12) |

Stock Futures – trading statistics by underlying stock, 2019

| Underlying Stock | Contracts | | | |
|--------------------------------------------|-----------|------------|--------------------------|------------|
| | Volume | | Period end open Interest | |
| | Total | % of Total | Total | % of Total |
| AAC Technologies Holdings Inc. | 1,105 | 0.12 | 37 | 0.14 |
| Agricultural Bank of China Ltd. | 264 | 0.03 | 8 | 0.03 |
| AIA Group Ltd. | 11,031 | 1.20 | 97 | 0.36 |
| Alibaba Group Holding Limited | 1,806 | 0.20 | 363 | 1.33 |
| Aluminum Corporation of China Ltd. | 0 | 0.00 | 0 | 0.00 |
| Anhui Conch Cement Co. Ltd. | 29,288 | 3.19 | 1,764 | 6.47 |
| Bank of China Ltd. | 2,913 | 0.32 | 480 | 1.76 |
| Bank of Communications Co., Ltd. | 58 | 0.01 | 9 | 0.03 |
| BOC Hong Kong (Holdings) Ltd. | 3,569 | 0.39 | 42 | 0.15 |
| Budweiser Brewing Company APAC Limited | 394 | 0.04 | 1 | 0.00 |
| Cathay Pacific Airways Ltd. | 0 | 0.00 | 0 | 0.00 |
| CGN Power Co., Ltd. | 0 | 0.00 | 0 | 0.00 |
| China Cinda Asset Management Co., Ltd. | 0 | 0.00 | 0 | 0.00 |
| China CITIC Bank Corporation Ltd. | 7 | 0.00 | 0 | 0.00 |
| China Coal Energy Co. Ltd. | 0 | 0.00 | 0 | 0.00 |
| China Communications Construction Co. Ltd. | 878 | 0.10 | 51 | 0.19 |
| China Construction Bank Corporation | 10,097 | 1.10 | 196 | 0.72 |
| China Evergrande Group | 2,826 | 0.31 | 81 | 0.30 |
| China Life Insurance Co. Ltd. | 6,020 | 0.66 | 128 | 0.47 |
| China Merchants Bank Co., Ltd. | 8,246 | 0.90 | 37 | 0.14 |
| China Minsheng Banking Corp., Ltd. | 33,865 | 3.69 | 4,210 | 15.43 |
| China Mobile Ltd. | 24,410 | 2.66 | 89 | 0.33 |
| China Oilfield Services Ltd. | 0 | 0.00 | 0 | 0.00 |
| China Overseas Land & Investment Ltd. | 331 | 0.04 | 1 | 0.00 |
| China Pacific Insurance (Group) Co., Ltd. | 6,676 | 0.73 | 2,007 | 7.36 |
| China Petroleum & Chemical Corporation | 2,739 | 0.30 | 43 | 0.16 |
| China Shenhua Energy Co. Ltd. | 310 | 0.03 | 0 | 0.00 |
| China Southern Airlines Co. Ltd. | 0 | 0.00 | 0 | 0.00 |
| China Telecom Corporation Ltd. | 640 | 0.07 | 17 | 0.06 |
| China Tower Corporation Ltd. | 3,671 | 0.40 | 58 | 0.21 |
| China Unicom (Hong Kong) Ltd. | 772 | 0.08 | 4 | 0.01 |
| ChinaAMC CSI 300 Index ETF | 11,905 | 1.30 | 132 | 0.48 |
| CITIC Ltd. | 329 | 0.04 | 10 | 0.04 |
| CITIC Securities Co. Ltd. | 2,789 | 0.30 | 8 | 0.03 |
| CK Hutchison Holdings Ltd. | 18 | 0.00 | 1 | 0.00 |
| CLP Holdings Ltd. | 813 | 0.09 | 10 | 0.04 |
| CNOOC Ltd. | 4,048 | 0.44 | 9 | 0.03 |
| Country Garden Holdings Co. Ltd. | 3,423 | 0.37 | 42 | 0.15 |
| CRRC Corporation Ltd. | 0 | 0.00 | 0 | 0.00 |
| CSOP FTSE China A50 ETF | 5,395 | 0.59 | 106 | 0.39 |
| Fosun International Ltd. | 0 | 0.00 | 0 | 0.00 |
| Galaxy Entertainment Group Ltd. | 12,063 | 1.31 | 69 | 0.25 |
| Geely Automobile Holdings Ltd. | 4,519 | 0.49 | 50 | 0.18 |
| Great Wall Motor Co. Ltd. | 259 | 0.03 | 3 | 0.01 |
| Guangzhou Automobile Group Co., Ltd. | 0 | 0.00 | 0 | 0.00 |
| Haitong Securities Co., Ltd. | 100 | 0.01 | 1 | 0.00 |
| Hang Seng Bank Ltd. | 11,448 | 1.25 | 31 | 0.11 |
| Hang Seng China Enterprises Index ETF | 0 | 0.00 | 0 | 0.00 |
| Henderson Land Development Co. Ltd. | 691 | 0.08 | 23 | 0.08 |
| Hong Kong Exchanges and Clearing Ltd. | 67,592 | 7.37 | 419 | 1.54 |
| HSBC Holdings Plc | 74,655 | 8.14 | 3,106 | 11.39 |

Stock Futures – trading statistics by underlying stock, 2019 (continued)

| Underlying Stock | Contracts | | | |
|-------------------------------------------------------|-----------|------------|--------------------------|------------|
| | Volume | | Period end open Interest | |
| | Total | % of Total | Total | % of Total |
| Huaneng Power International, Inc. | 0 | 0.00 | 0 | 0.00 |
| Huatai Securities Co., Ltd. | 0 | 0.00 | 0 | 0.00 |
| Industrial and Commercial Bank of China Ltd. | 1,714 | 0.19 | 20 | 0.07 |
| iShares FTSE A50 China Index ETF | 5,158 | 0.56 | 53 | 0.19 |
| Kingsoft Corporation Ltd. | 672 | 0.07 | 10 | 0.04 |
| Li & Fung Ltd. | 0 | 0.00 | 0 | 0.00 |
| Link Real Estate Investment Trust | 1,831 | 0.20 | 58 | 0.21 |
| Meituan Dianping | 22,411 | 2.44 | 950 | 3.48 |
| MTR Corporation Ltd. | 4,897 | 0.53 | 44 | 0.16 |
| New China Life Insurance Co. Ltd. | 11,578 | 1.26 | 2,673 | 9.80 |
| New World Development Co. Ltd. | 987 | 0.11 | 42 | 0.15 |
| PetroChina Co. Ltd. | 6,856 | 0.75 | 188 | 0.69 |
| PICC Property and Casualty Co. Ltd. | 366 | 0.04 | 1 | 0.00 |
| Ping An Insurance (Group) Co. of China, Ltd. | 97,975 | 10.68 | 3,330 | 12.21 |
| Power Assets Holdings Ltd. | 284 | 0.03 | 0 | 0.00 |
| Sands China Ltd. | 3,439 | 0.37 | 144 | 0.53 |
| Semiconductor Manufacturing International Corporation | 0 | 0.00 | 0 | 0.00 |
| Sun Hung Kai Properties Ltd. | 124 | 0.01 | 3 | 0.01 |
| Sunac China Holdings Ltd. | 10,259 | 1.12 | 1,192 | 4.37 |
| Sunny Optical Technology (Group) Co. Ltd. | 8,905 | 0.97 | 11 | 0.04 |
| Swire Pacific Ltd. 'A' | 7 | 0.00 | 2 | 0.01 |
| Tencent Holdings Ltd. | 348,078 | 37.94 | 3,277 | 12.01 |
| The Bank of East Asia, Ltd. | 0 | 0.00 | 0 | 0.00 |
| The Hong Kong and China Gas Co. Ltd. | 380 | 0.04 | 0 | 0.00 |
| The Wharf (Holdings) Ltd. | 211 | 0.02 | 5 | 0.02 |
| Tracker Fund of Hong Kong | 0 | 0.00 | 0 | 0.00 |
| Xiaomi Corporation | 39,263 | 4.28 | 1,531 | 5.61 |
| Yanzhou Coal Mining Co. Ltd. | 0 | 0.00 | 0 | 0.00 |
| Zijin Mining Group Co., Ltd. | 0 | 0.00 | 0 | 0.00 |

Stock Options classes as at the end of 2019

| Class | Code | Launch date | Lot size (shares) |
|---------------------------------------------|------|-------------|-------------------|
| iShares FTSE A50 China Index ETF | A50 | 2010/07/12 | 5,000 |
| AAC Technologies Holdings Inc. | AAC | 2017/04/10 | 1,000 |
| Anhui Conch Cement Co. Ltd. | ACC | 2011/11/21 | 500 |
| AIA Group Ltd. | AIA | 2010/10/29 | 1,000 |
| Air China Ltd. | AIR | 2018/07/16 | 2,000 |
| Alibaba Group Holding Limited | ALB | 2019/11/26 | 500 |
| Aluminum Corporation of China Ltd. | ALC | 2004/06/14 | 2,000 |
| ChinaAMC CSI 300 Index ETF | AMC | 2013/01/28 | 2,000 |
| Bank of Communications Co., Ltd. | BCM | 2005/11/07 | 1,000 |
| The Bank of East Asia, Ltd. | BEA | 2001/01/08 | 200 |
| BOC Hong Kong (Holdings) Ltd. | BOC | 2003/03/17 | 500 |
| Budweiser Brewing Company APAC Limited | BUD | 2019/09/30 | 1,000 |
| BYD Co. Ltd. | BYD | 2009/11/16 | 500 |
| China Communications Construction Co. Ltd. | CCC | 2007/03/19 | 1,000 |
| China Coal Energy Co. Ltd. | CCE | 2007/09/03 | 1,000 |
| China Cinda Asset Management Co., Ltd. | CDA | 2014/07/02 | 5,000 |
| CGN Power Co., Ltd. | CGN | 2015/11/30 | 10,000 |
| China Mobile Ltd. | CHT | 1998/05/15 | 500 |
| China Unicom (Hong Kong) Ltd. | CHU | 2001/08/27 | 2,000 |
| CITIC Ltd. | CIT | 1995/10/23 | 1,000 |
| CK Hutchison Holdings Ltd. | CKH | 1995/09/25 | 500 |
| CK Asset Holdings Ltd. | CKP | 2015/11/30 | 1,000 |
| China Life Insurance Co. Ltd. | CLI | 2004/06/14 | 1,000 |
| CLP Holdings Ltd. | CLP | 1995/12/18 | 500 |
| China Merchants Bank Co., Ltd. | CMB | 2006/10/27 | 500 |
| CNOOC Ltd. | CNC | 2002/07/22 | 1,000 |
| Country Garden Holdings Co. Ltd. | COG | 2018/02/05 | 5,000 |
| China Overseas Land & Investment Ltd. | COL | 2009/07/06 | 2,000 |
| Cathay Pacific Airways Ltd. | CPA | 2001/08/27 | 1,000 |
| China Petroleum & Chemical Corporation | CPC | 2002/07/22 | 2,000 |
| China Pacific Insurance (Group) Co., Ltd. | CPI | 2010/12/13 | 1,000 |
| China Railway Construction Corporation Ltd. | CRC | 2008/06/10 | 500 |
| China Railway Group Ltd. | CRG | 2008/06/10 | 1,000 |
| China Resources Land Ltd. | CRL | 2014/12/08 | 2,000 |
| CSOP FTSE China A50 ETF | CSA | 2013/01/28 | 5,000 |
| China Shenhua Energy Co. Ltd. | CSE | 2008/06/10 | 500 |
| CSPC Pharmaceutical Group Ltd. | CSP | 2018/07/16 | 2,000 |
| China CITIC Bank Corporation Ltd. | CTB | 2007/09/03 | 1,000 |
| China Telecom Corporation Ltd. | CTC | 2004/06/14 | 2,000 |
| CITIC Securities Co. Ltd. | CTS | 2015/06/22 | 1,000 |
| Dongfeng Motor Group Co. Ltd. | DFM | 2014/02/17 | 2,000 |
| China Evergrande Group | EVG | 2018/02/05 | 2,000 |
| Guangzhou Automobile Group Co., Ltd. | GAC | 2018/02/05 | 4,000 |
| Geely Automobile Holdings Ltd. | GAH | 2017/04/10 | 5,000 |
| Galaxy Entertainment Group Ltd. | GLX | 2012/06/11 | 1,000 |
| Great Wall Motor Co. Ltd. | GWV | 2013/08/05 | 500 |
| Haitong Securities Co., Ltd. | HAI | 2014/12/08 | 2,000 |
| Hang Seng China Enterprises Index ETF | HCF | 2015/06/22 | 1,000 |
| Power Assets Holdings Ltd. | HEH | 1998/02/16 | 500 |
| Hong Kong Exchanges and Clearing Ltd. | HEX | 2001/08/27 | 100 |
| Hengan International Group Co. Ltd. | HGN | 2014/02/17 | 500 |
| HSBC Holdings plc | HKB | 1995/09/08 | 400 |
| The Hong Kong and China Gas Co. Ltd. | HKG | 2001/08/27 | 1,000 |
| Henderson Land Development Co. Ltd. | HLD | 1995/12/18 | 1,000 |
| Huaneng Power International, Inc. | HNP | 2002/07/22 | 2,000 |
| Hang Seng Bank Ltd. | HSB | 1996/12/09 | 100 |
| Jiangxi Copper Co. Ltd. | JXC | 2007/09/03 | 1,000 |
| Kunlun Energy Co. Ltd. | KLE | 2014/02/17 | 2,000 |
| Kingsoft Corporation Ltd. | KSO | 2014/07/02 | 1,000 |
| Lenovo Group Ltd. | LEN | 2013/08/05 | 2,000 |
| Li & Fung Ltd. | LIF | 2001/08/27 | 2,000 |
| Link Real Estate Investment Trust | LNK | 2017/04/10 | 1,000 |
| China Mengniu Dairy Co. Ltd. | MEN | 2014/12/08 | 1,000 |
| Meituan Dianping | MET | 2018/09/20 | 500 |
| MGM China Holdings Ltd. | MGM | 2013/08/05 | 400 |
| Xiaomi Corporation | MIU | 2018/07/09 | 1,000 |
| China Minsheng Banking Corp., Ltd. | MSB | 2013/08/05 | 2,500 |
| MTR Corporation Ltd. | MTR | 2001/08/27 | 500 |
| China National Building Material Co. Ltd. | NBM | 2011/11/21 | 2,000 |
| New China Life Insurance Co. Ltd. | NCL | 2015/06/22 | 1,000 |

Stock Options classes as at the end of 2019 (continued)

| Class | Code | Launch date | Lot size (shares) |
|--------------------------------------------------|------|-------------|-------------------|
| New World Development Co. Ltd. | NWD | 1996/08/05 | 1,000 |
| Ping An Insurance (Group) Co. of China, Ltd. | PAI | 2005/11/07 | 500 |
| PetroChina Co. Ltd. | PEC | 2001/08/27 | 2,000 |
| PICC Property and Casualty Co. Ltd. | PIC | 2007/03/19 | 2,000 |
| The People's Insurance Co. (Group) of China Ltd. | PIN | 2013/08/05 | 5,000 |
| Guangzhou R&F Properties Co., Ltd. | RFP | 2009/11/16 | 400 |
| Sands China Ltd. | SAN | 2012/06/11 | 400 |
| Sun Hung Kai Properties Ltd. | SHK | 1995/12/18 | 1,000 |
| Sunny Optical Technology (Group) Co. Ltd. | SNO | 2018/02/05 | 1,000 |
| Sinopharm Group Co. Ltd. | SNP | 2018/07/16 | 800 |
| Standard Chartered PLC | STC | 2012/06/11 | 50 |
| Sunac China Holdings Ltd. | SUN | 2018/02/05 | 2,000 |
| Swire Pacific Ltd. 'A' | SWA | 1995/10/09 | 500 |
| Tencent Holdings Ltd. | TCH | 2009/07/06 | 100 |
| Tracker Fund of Hong Kong | TRF | 2000/03/27 | 500 |
| China Vanke Co., Ltd. | VNK | 2018/07/16 | 1,000 |
| The Wharf (Holdings) Ltd. | WHL | 1996/08/05 | 1,000 |
| Want Want China Holdings Ltd. | WWC | 2014/02/17 | 1,000 |
| Agricultural Bank of China Ltd. | XAB | 2010/07/16 | 10,000 |
| Bank of China Ltd. | XBC | 2006/07/03 | 1,000 |
| China Construction Bank Corporation | XCC | 2005/12/19 | 1,000 |
| Industrial and Commercial Bank of China Ltd. | XIC | 2006/10/27 | 1,000 |
| Postal Savings Bank of China Co., Ltd. | XPB | 2018/07/16 | 5,000 |
| China Tower Corporation Ltd. | XTW | 2018/08/08 | 10,000 |
| Yanzhou Coal Mining Co. Ltd. | YZC | 2010/12/13 | 2,000 |
| Zijin Mining Group Co., Ltd. | ZJM | 2009/07/06 | 2,000 |

Stock Options contract value, volume and open interest, 2015-2019

| Year/Month | No. of trading days | Contract value (HK\$mil) | | | | Contract volume (contracts) | | | | Open interest (contracts) | | |
|------------|---------------------|--------------------------|-----------|------------|---------------|-----------------------------|------------|-------------|---------------|---------------------------|-----------|-----------|
| | | Call | Put | Total | Average daily | Call | Put | Total | Average daily | Call | Put | Total |
| 2015 | 247.0 | 39,278.25 | 41,024.67 | 80,302.92 | 325.11 | 47,844,374 | 44,619,105 | 92,463,479 | 374,346 | 2,456,981 | 2,370,697 | 4,827,678 |
| 2016 | 247.0 | 17,214.60 | 21,485.32 | 38,699.93 | 156.68 | 36,898,251 | 36,683,863 | 73,582,114 | 297,903 | 3,522,728 | 2,804,775 | 6,327,503 |
| 2017 | 247.0 | 37,881.56 | 24,142.13 | 62,023.69 | 251.11 | 58,717,953 | 47,121,226 | 105,839,179 | 428,499 | 4,591,102 | 3,713,595 | 8,304,697 |
| 2018 | 246.0 | 47,958.73 | 57,639.05 | 105,597.78 | 429.26 | 64,179,653 | 63,099,448 | 127,279,101 | 517,395 | 3,539,977 | 3,673,509 | 7,213,486 |
| 2019 | 246.0 | 40,786.16 | 39,801.68 | 80,587.84 | 327.59 | 54,844,249 | 53,969,645 | 108,813,894 | 442,333 | 3,247,627 | 3,454,911 | 6,702,538 |
| 2019 Jan | 22.0 | 3,228.11 | 4,005.21 | 7,233.31 | 328.79 | 4,763,013 | 5,041,699 | 9,804,712 | 445,669 | 4,047,021 | 4,214,777 | 8,261,798 |
| Feb | 17.0 | 3,238.91 | 2,999.08 | 6,237.99 | 366.94 | 4,849,094 | 4,529,557 | 9,378,651 | 551,685 | 4,566,860 | 4,792,993 | 9,359,853 |
| Mar | 21.0 | 6,266.24 | 4,332.58 | 10,598.83 | 504.71 | 6,428,472 | 6,071,912 | 12,500,384 | 595,256 | 4,239,514 | 4,340,269 | 8,579,783 |
| Apr | 19.0 | 4,619.50 | 3,132.72 | 7,752.22 | 408.01 | 4,889,891 | 4,703,918 | 9,593,809 | 504,937 | 4,221,564 | 4,431,903 | 8,653,467 |
| May | 21.0 | 3,784.84 | 5,494.63 | 9,279.48 | 441.88 | 5,704,908 | 6,055,920 | 11,760,828 | 560,039 | 4,561,739 | 4,919,794 | 9,481,533 |
| Jun | 19.0 | 2,651.15 | 2,981.12 | 5,632.27 | 296.44 | 3,753,611 | 3,684,484 | 7,438,095 | 391,479 | 3,828,012 | 4,065,225 | 7,893,237 |
| Jul | 22.0 | 2,151.27 | 2,373.35 | 4,524.62 | 205.66 | 3,406,321 | 3,368,379 | 6,774,700 | 307,941 | 4,020,559 | 4,180,561 | 8,201,120 |
| Aug | 22.0 | 2,707.75 | 3,988.73 | 6,696.48 | 304.39 | 4,849,988 | 5,440,533 | 10,290,521 | 467,751 | 4,661,686 | 4,721,265 | 9,382,951 |
| Sep | 21.0 | 2,609.31 | 2,845.59 | 5,454.90 | 259.76 | 3,973,837 | 3,855,795 | 7,829,632 | 372,840 | 3,768,563 | 3,857,900 | 7,626,463 |
| Oct | 21.0 | 2,838.81 | 2,694.97 | 5,533.77 | 263.51 | 4,235,884 | 3,977,008 | 8,212,892 | 391,090 | 3,941,561 | 4,034,465 | 7,976,026 |
| Nov | 21.0 | 2,687.54 | 2,516.62 | 5,204.17 | 247.82 | 3,735,868 | 3,758,942 | 7,494,810 | 356,896 | 4,015,167 | 4,256,731 | 8,271,898 |
| Dec | 20.0 | 4,002.73 | 2,437.07 | 6,439.80 | 321.99 | 4,253,362 | 3,481,498 | 7,734,860 | 386,743 | 3,247,627 | 3,454,911 | 6,702,538 |

Note: Figures may not add up to total due to rounding.

Options contract value, volume and open interest by class, 2019

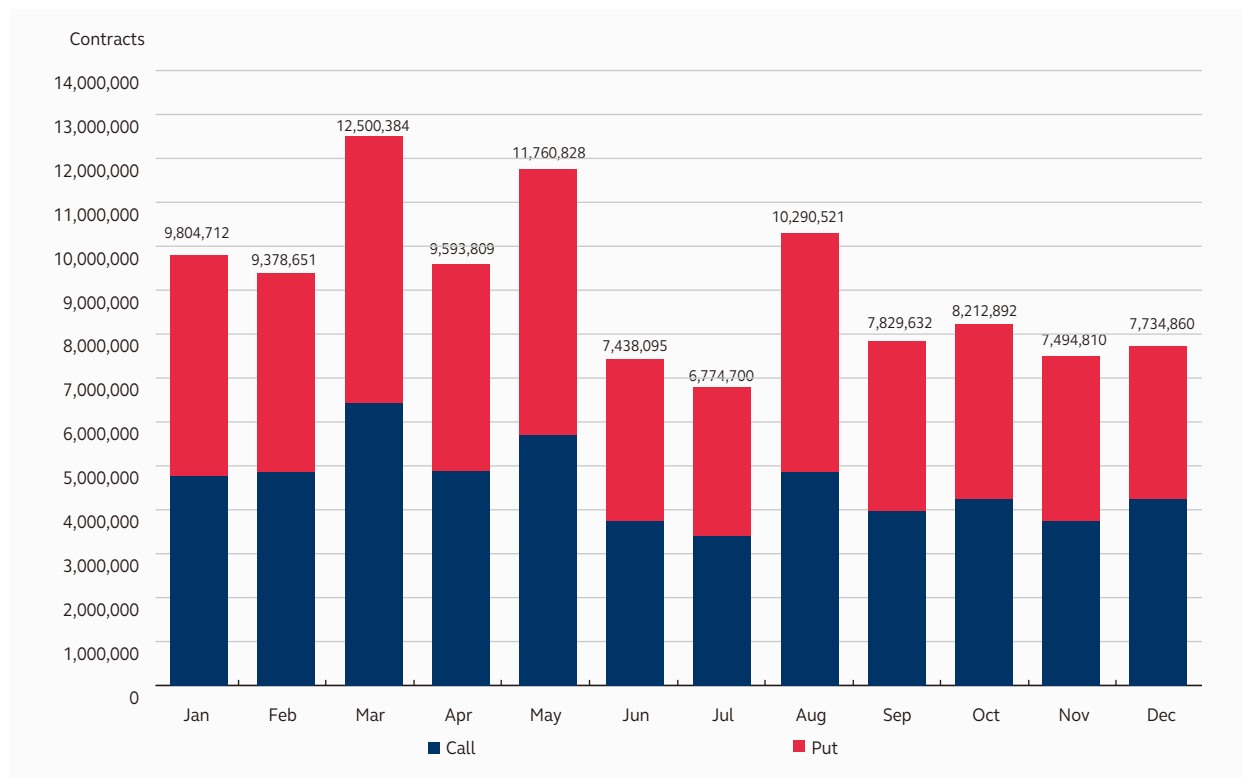
| Class | Contract value (HK\$mil) | | | | Contract volume (contracts) | | | | Year-end open interest | | | |
|-----------------|--------------------------|----------|----------|------------|-----------------------------|-----------|------------|------------|------------------------|---------|---------|------------|
| | Call | Put | Total | % of total | Call | Put | Total | % of total | Call | Put | Total | % of total |
| AAC TECH | 605.18 | 545.59 | 1,150.77 | 1.43 | 402,461 | 341,943 | 744,404 | 0.68 | 8,105 | 12,307 | 20,412 | 0.30 |
| ABC | 102.62 | 152.16 | 254.78 | 0.32 | 184,434 | 192,495 | 376,929 | 0.35 | 12,560 | 16,618 | 29,178 | 0.44 |
| AIA | 1,841.72 | 1,661.66 | 3,503.38 | 4.35 | 1,073,979 | 1,090,748 | 2,164,727 | 1.99 | 36,770 | 39,794 | 76,564 | 1.14 |
| AIR CHINA | 12.71 | 17.09 | 29.80 | 0.04 | 23,608 | 22,556 | 46,164 | 0.04 | 1,210 | 1,366 | 2,576 | 0.04 |
| BABA-SW | 248.71 | 238.09 | 486.80 | 0.60 | 106,654 | 111,687 | 218,341 | 0.20 | 22,801 | 41,777 | 64,578 | 0.96 |
| BANK OF CHINA | 215.14 | 292.16 | 507.30 | 0.63 | 2,812,546 | 2,972,570 | 5,785,116 | 5.32 | 302,548 | 343,167 | 645,715 | 9.63 |
| BANK OF E ASIA | 3.93 | 8.90 | 12.83 | 0.02 | 48,492 | 57,999 | 106,491 | 0.10 | 2,983 | 5,516 | 8,499 | 0.13 |
| BANKCOMM | 20.48 | 23.66 | 44.14 | 0.05 | 156,084 | 199,671 | 355,755 | 0.33 | 15,146 | 18,132 | 33,278 | 0.50 |
| BOC HONG KONG | 139.51 | 136.63 | 276.15 | 0.34 | 461,645 | 481,997 | 943,642 | 0.87 | 12,636 | 23,464 | 36,100 | 0.54 |
| BUD APAC | 22.43 | 14.94 | 37.37 | 0.05 | 39,400 | 26,980 | 66,380 | 0.06 | 5,077 | 7,935 | 13,012 | 0.19 |
| BYD COMPANY | 192.83 | 204.14 | 396.96 | 0.49 | 353,034 | 294,736 | 647,770 | 0.60 | 13,377 | 13,039 | 26,416 | 0.39 |
| CAM CSI300 | 811.88 | 654.60 | 1,466.48 | 1.82 | 420,209 | 401,535 | 821,744 | 0.76 | 11,534 | 16,711 | 28,245 | 0.42 |
| CATHAY PAC AIR | 3.87 | 4.64 | 8.51 | 0.01 | 15,150 | 19,538 | 34,688 | 0.03 | 614 | 1,256 | 1,870 | 0.03 |
| CCB | 806.32 | 948.27 | 1,754.60 | 2.18 | 5,898,298 | 5,696,919 | 11,595,217 | 10.66 | 353,910 | 366,227 | 720,137 | 10.74 |
| CGN POWER | 3.07 | 3.66 | 6.73 | 0.01 | 5,816 | 6,811 | 12,627 | 0.01 | 717 | 1,112 | 1,829 | 0.03 |
| CHALCO | 11.65 | 12.14 | 23.79 | 0.03 | 52,992 | 36,213 | 89,205 | 0.08 | 979 | 2,198 | 3,177 | 0.05 |
| CHINA CINDA | 6.05 | 13.67 | 19.73 | 0.02 | 16,470 | 19,557 | 36,027 | 0.03 | 1,273 | 914 | 2,187 | 0.03 |
| CHINA COAL | 3.25 | 4.26 | 7.52 | 0.01 | 48,318 | 58,278 | 106,596 | 0.10 | 1,360 | 3,038 | 4,398 | 0.07 |
| CHINA COMM CONS | 29.30 | 35.82 | 65.12 | 0.08 | 232,060 | 197,363 | 429,423 | 0.39 | 14,467 | 12,500 | 26,967 | 0.40 |
| CHINA LIFE | 709.53 | 874.59 | 1,584.12 | 1.97 | 1,357,221 | 1,444,322 | 2,801,543 | 2.57 | 60,817 | 79,962 | 140,779 | 2.10 |
| CHINA MOBILE | 771.52 | 999.78 | 1,771.31 | 2.20 | 1,264,949 | 1,114,311 | 2,379,260 | 2.19 | 49,543 | 45,398 | 94,941 | 1.42 |
| CHINA OVERSEAS | 190.97 | 200.82 | 391.79 | 0.49 | 188,413 | 198,899 | 387,312 | 0.36 | 6,235 | 8,286 | 14,521 | 0.22 |
| CHINA RAIL CONS | 12.69 | 8.99 | 21.68 | 0.03 | 109,366 | 75,858 | 185,224 | 0.17 | 6,412 | 5,136 | 11,548 | 0.17 |
| CHINA RAILWAY | 19.46 | 31.30 | 50.77 | 0.06 | 112,214 | 117,815 | 230,029 | 0.21 | 6,583 | 5,302 | 11,885 | 0.18 |
| CHINA RES LAND | 223.29 | 211.17 | 434.46 | 0.54 | 155,679 | 155,226 | 310,905 | 0.29 | 4,352 | 6,792 | 11,144 | 0.17 |
| CHINA SHENHUA | 94.60 | 162.88 | 257.49 | 0.32 | 504,145 | 581,078 | 1,085,223 | 1.00 | 21,749 | 24,636 | 46,385 | 0.69 |
| CHINA TELECOM | 21.43 | 24.54 | 45.98 | 0.06 | 107,074 | 115,790 | 222,864 | 0.20 | 6,945 | 18,710 | 25,655 | 0.38 |
| CHINA TOWER | 409.96 | 392.11 | 802.07 | 1.00 | 739,476 | 741,387 | 1,480,863 | 1.36 | 34,731 | 27,891 | 62,622 | 0.93 |
| CHINA UNICOM | 111.08 | 118.83 | 229.91 | 0.29 | 277,553 | 246,082 | 523,635 | 0.48 | 9,167 | 7,737 | 16,904 | 0.25 |
| CHINA VANKE | 32.98 | 32.81 | 65.79 | 0.08 | 52,691 | 57,594 | 110,285 | 0.10 | 2,749 | 3,262 | 6,011 | 0.09 |
| CITIC | 25.83 | 28.67 | 54.50 | 0.07 | 78,828 | 100,431 | 179,259 | 0.16 | 8,712 | 7,921 | 16,633 | 0.25 |
| CITIC BANK | 13.89 | 21.91 | 35.80 | 0.04 | 152,280 | 144,971 | 297,251 | 0.27 | 10,725 | 11,227 | 21,952 | 0.33 |
| CITIC SEC | 264.66 | 204.12 | 468.78 | 0.58 | 600,243 | 426,994 | 1,027,237 | 0.94 | 24,323 | 22,933 | 47,256 | 0.71 |
| CK ASSET | 186.26 | 222.17 | 408.43 | 0.51 | 185,567 | 182,767 | 368,334 | 0.34 | 7,120 | 7,515 | 14,635 | 0.22 |
| CKH HOLDINGS | 199.16 | 232.74 | 431.90 | 0.54 | 330,672 | 318,437 | 649,109 | 0.60 | 14,732 | 11,592 | 26,324 | 0.39 |
| CLP HOLDINGS | 78.31 | 83.03 | 161.34 | 0.20 | 145,225 | 162,167 | 307,392 | 0.28 | 5,493 | 7,447 | 12,940 | 0.19 |
| CM BANK | 353.64 | 321.24 | 674.88 | 0.84 | 793,404 | 811,860 | 1,605,264 | 1.48 | 37,748 | 27,153 | 64,901 | 0.97 |
| CNBM | 61.74 | 53.75 | 115.49 | 0.14 | 152,811 | 127,901 | 280,712 | 0.26 | 8,560 | 5,442 | 14,002 | 0.21 |
| CNOOC | 391.58 | 471.48 | 863.06 | 1.07 | 1,010,999 | 1,075,090 | 2,086,089 | 1.92 | 76,322 | 80,773 | 157,095 | 2.34 |
| CONCH CEMENT | 191.19 | 166.75 | 357.94 | 0.44 | 351,551 | 333,632 | 685,183 | 0.63 | 11,033 | 9,086 | 20,119 | 0.30 |
| COSCO SHIP HOLD | 0.02 | 0.00 | 0.02 | 0.00 | 390 | 53 | 443 | 0.00 | - | - | - | - |
| COUNTRY GARDEN | 249.85 | 232.54 | 482.38 | 0.60 | 144,062 | 138,020 | 282,082 | 0.26 | 6,100 | 6,595 | 12,695 | 0.19 |
| CPIC | 321.02 | 363.28 | 684.30 | 0.85 | 489,882 | 514,435 | 1,004,317 | 0.92 | 23,135 | 24,450 | 47,585 | 0.71 |
| CSOP A50 ETF | 673.86 | 552.79 | 1,226.65 | 1.52 | 456,590 | 374,909 | 831,499 | 0.76 | 10,703 | 11,734 | 22,437 | 0.33 |
| CSPC PHARMA | 227.95 | 200.99 | 428.94 | 0.53 | 214,877 | 197,974 | 412,851 | 0.38 | 8,629 | 10,021 | 18,650 | 0.28 |
| DONGFENG GROUP | 11.56 | 14.18 | 25.74 | 0.03 | 30,697 | 27,801 | 58,498 | 0.05 | 999 | 1,525 | 2,524 | 0.04 |
| EVERGRANDE | 223.43 | 309.01 | 532.44 | 0.66 | 179,209 | 225,138 | 404,347 | 0.37 | 9,408 | 27,441 | 36,849 | 0.55 |
| GAC GROUP | 25.93 | 32.82 | 58.75 | 0.07 | 28,526 | 27,504 | 56,030 | 0.05 | 1,148 | 872 | 2,020 | 0.03 |
| GALAXY ENT | 552.08 | 529.44 | 1,081.51 | 1.34 | 428,524 | 409,052 | 837,576 | 0.77 | 15,704 | 18,146 | 33,850 | 0.51 |
| GCL-POLY ENERGY | 0.03 | 0.00 | 0.03 | 0.00 | 60 | 30 | 90 | 0.00 | - | - | - | - |
| GEELY AUTO | 856.10 | 894.46 | 1,750.55 | 2.17 | 352,656 | 346,168 | 698,824 | 0.64 | 7,069 | 8,316 | 15,385 | 0.23 |
| GREATWALL MOTOR | 54.97 | 53.39 | 108.36 | 0.13 | 510,215 | 477,901 | 988,116 | 0.91 | 42,768 | 49,399 | 92,167 | 1.38 |
| HAITONG SEC | 134.70 | 93.45 | 228.15 | 0.28 | 241,872 | 178,703 | 420,575 | 0.39 | 9,698 | 25,781 | 35,479 | 0.53 |
| HANG SENG BANK | 97.46 | 125.99 | 223.44 | 0.28 | 374,881 | 406,358 | 781,239 | 0.72 | 28,208 | 33,633 | 61,841 | 0.92 |
| HENDERSON LAND | 112.88 | 138.33 | 251.21 | 0.31 | 148,488 | 170,050 | 318,538 | 0.29 | 8,886 | 6,316 | 15,202 | 0.23 |
| HENGAN INT'L | 75.44 | 72.13 | 147.57 | 0.18 | 93,395 | 94,055 | 187,450 | 0.17 | 3,699 | 3,824 | 7,523 | 0.11 |
| HK & CHINA GAS | 52.9 | | | | | | | | | | | |

Options contract value, volume and open interest by class, 2019 (continued)

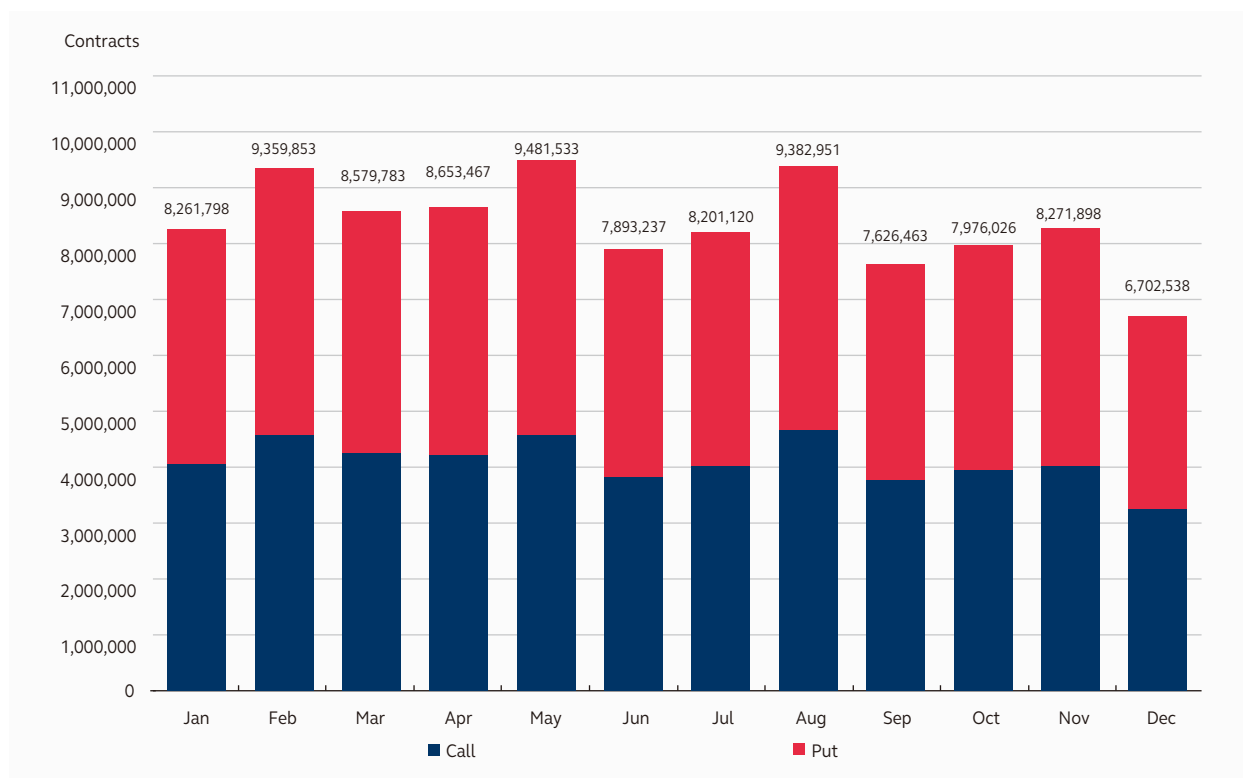
| Class | Contract value (HK\$mil) | | | | Contract volume (contracts) | | | | Year-end open interest | | | |
|-----------------|--------------------------|-----------|-----------|------------|-----------------------------|------------|-------------|------------|------------------------|-----------|-----------|------------|
| | Call | Put | Total | % of total | Call | Put | Total | % of total | Call | Put | Total | % of total |
| HKEX | 1,869.29 | 1,694.18 | 3,563.47 | 4.42 | 2,986,905 | 2,764,025 | 5,750,930 | 5.29 | 109,494 | 110,084 | 219,578 | 3.28 |
| HSBC HOLDINGS | 842.45 | 1,397.56 | 2,240.01 | 2.78 | 1,931,413 | 2,335,307 | 4,266,720 | 3.92 | 143,225 | 176,197 | 319,422 | 4.77 |
| HSCEI ETF | 45.36 | 63.06 | 108.42 | 0.13 | 38,119 | 45,469 | 83,588 | 0.08 | 3,437 | 2,391 | 5,828 | 0.09 |
| HUANENG POWER | 5.18 | 14.31 | 19.49 | 0.02 | 24,298 | 47,185 | 71,483 | 0.07 | 1,430 | 3,441 | 4,871 | 0.07 |
| ICBC | 399.62 | 466.56 | 866.18 | 1.07 | 3,034,009 | 3,126,868 | 6,160,877 | 5.66 | 263,218 | 276,388 | 539,606 | 8.05 |
| ISHARES A50 | 459.37 | 370.96 | 830.33 | 1.03 | 323,861 | 267,807 | 591,668 | 0.54 | 8,309 | 10,286 | 18,595 | 0.28 |
| JIANGXI COPPER | 34.81 | 17.69 | 52.50 | 0.07 | 149,787 | 70,340 | 220,127 | 0.20 | 8,036 | 4,486 | 12,522 | 0.19 |
| KINGSOFT | 267.66 | 189.37 | 457.02 | 0.57 | 344,604 | 299,867 | 644,471 | 0.59 | 21,373 | 12,778 | 34,151 | 0.51 |
| KUNLUN ENERGY | 26.51 | 28.42 | 54.93 | 0.07 | 74,727 | 67,886 | 142,613 | 0.13 | 2,037 | 2,376 | 4,413 | 0.07 |
| LENOVO GROUP | 130.70 | 138.83 | 269.53 | 0.33 | 305,960 | 314,852 | 620,812 | 0.57 | 12,728 | 18,284 | 31,012 | 0.46 |
| LI & FUNG | 1.26 | 1.28 | 2.53 | 0.00 | 9,963 | 8,083 | 18,046 | 0.02 | 1,312 | 601 | 1,913 | 0.03 |
| LINK REIT | 287.36 | 326.56 | 613.93 | 0.76 | 200,644 | 268,348 | 468,992 | 0.43 | 7,048 | 8,907 | 15,955 | 0.24 |
| MEITUAN-W | 1,342.17 | 655.36 | 1,997.53 | 2.48 | 968,715 | 519,864 | 1,488,579 | 1.37 | 62,433 | 52,134 | 114,567 | 1.71 |
| MENGMU DAIRY | 76.39 | 66.76 | 143.15 | 0.18 | 136,569 | 122,297 | 258,866 | 0.24 | 3,903 | 4,160 | 8,063 | 0.12 |
| MGM CHINA | 3.13 | 6.04 | 9.17 | 0.01 | 45,558 | 42,116 | 87,674 | 0.08 | 1,488 | 5,686 | 7,174 | 0.11 |
| MINSHENG BANK | 37.30 | 44.55 | 81.85 | 0.10 | 118,593 | 113,998 | 232,591 | 0.21 | 6,702 | 11,612 | 18,314 | 0.27 |
| MTR CORPORATION | 67.64 | 82.00 | 149.64 | 0.19 | 180,201 | 234,307 | 414,508 | 0.38 | 6,644 | 9,485 | 16,129 | 0.24 |
| NCI | 234.51 | 234.67 | 469.17 | 0.58 | 273,041 | 307,732 | 580,773 | 0.53 | 13,796 | 25,097 | 38,893 | 0.58 |
| NEW WORLD DEV | 56.65 | 51.53 | 108.18 | 0.13 | 180,772 | 181,473 | 362,245 | 0.33 | 5,349 | 7,800 | 13,149 | 0.20 |
| PETROCHINA | 166.77 | 300.03 | 466.80 | 0.58 | 717,387 | 846,869 | 1,564,256 | 1.44 | 54,983 | 66,714 | 121,697 | 1.82 |
| PICC GROUP | 18.30 | 20.83 | 39.13 | 0.05 | 37,291 | 45,255 | 82,546 | 0.08 | 1,933 | 1,727 | 3,660 | 0.05 |
| PICC P&C | 51.78 | 74.81 | 126.59 | 0.16 | 151,694 | 211,739 | 363,433 | 0.33 | 4,701 | 4,585 | 9,286 | 0.14 |
| PING AN | 8,198.03 | 3,812.86 | 12,010.89 | 14.90 | 4,555,761 | 4,047,575 | 8,603,336 | 7.91 | 325,532 | 336,004 | 661,536 | 9.87 |
| POWER ASSETS | 31.06 | 31.36 | 62.42 | 0.08 | 99,198 | 83,080 | 182,278 | 0.17 | 4,026 | 3,806 | 7,832 | 0.12 |
| PSBC | 8.81 | 7.86 | 16.67 | 0.02 | 31,401 | 18,351 | 49,752 | 0.05 | 507 | 1,021 | 1,528 | 0.02 |
| R&F PROPERTIES | 8.07 | 6.60 | 14.66 | 0.02 | 60,983 | 60,195 | 121,178 | 0.11 | 5,642 | 7,399 | 13,041 | 0.19 |
| SANDS CHINA LTD | 204.96 | 246.68 | 451.64 | 0.56 | 561,102 | 617,971 | 1,179,073 | 1.08 | 31,270 | 26,049 | 57,319 | 0.86 |
| SHK PPT | 940.40 | 1,288.99 | 2,229.40 | 2.77 | 420,506 | 584,857 | 1,005,363 | 0.92 | 8,811 | 13,086 | 21,897 | 0.33 |
| SINOPEC CORP | 288.96 | 511.22 | 800.18 | 0.99 | 940,224 | 1,101,810 | 2,042,034 | 1.88 | 80,464 | 95,969 | 176,433 | 2.63 |
| SINOPHARM | 18.47 | 31.22 | 49.69 | 0.06 | 36,689 | 47,951 | 84,640 | 0.08 | 1,297 | 3,797 | 5,094 | 0.08 |
| STANCHART | 2.24 | 3.05 | 5.29 | 0.01 | 49,946 | 50,485 | 100,431 | 0.09 | 1,830 | 851 | 2,681 | 0.04 |
| SUNAC | 376.69 | 340.68 | 717.37 | 0.89 | 147,011 | 170,364 | 317,375 | 0.29 | 8,384 | 12,650 | 21,034 | 0.31 |
| SUNNY OPTICAL | 849.53 | 573.05 | 1,422.58 | 1.77 | 222,495 | 222,704 | 445,199 | 0.41 | 4,840 | 7,082 | 11,922 | 0.18 |
| SWIRE PACIFIC A | 48.28 | 42.74 | 91.02 | 0.11 | 57,358 | 49,950 | 107,308 | 0.10 | 3,027 | 2,756 | 5,783 | 0.09 |
| TENCENT | 9,562.07 | 12,671.01 | 22,233.08 | 27.59 | 8,794,328 | 8,884,527 | 17,678,855 | 16.25 | 458,132 | 424,732 | 882,864 | 13.17 |
| TRACKER FUND | 7.19 | 8.28 | 15.47 | 0.02 | 29,477 | 38,092 | 67,569 | 0.06 | 1,257 | 1,704 | 2,961 | 0.04 |
| WANT WANT CHINA | 8.61 | 8.29 | 16.89 | 0.02 | 42,466 | 42,337 | 84,803 | 0.08 | 4,132 | 4,107 | 8,239 | 0.12 |
| WHARF HOLDINGS | 6.79 | 9.95 | 16.73 | 0.02 | 19,274 | 22,747 | 42,021 | 0.04 | 918 | 1,313 | 2,231 | 0.03 |
| XIAOMI-W | 641.40 | 403.28 | 1,044.68 | 1.30 | 1,535,857 | 986,458 | 2,522,315 | 2.32 | 137,561 | 99,967 | 237,528 | 3.54 |
| YANZHOU COAL | 36.48 | 41.07 | 77.55 | 0.10 | 85,517 | 87,572 | 173,089 | 0.16 | 2,443 | 2,373 | 4,816 | 0.07 |
| ZIJIN MINING | 9.26 | 8.04 | 17.31 | 0.02 | 45,932 | 45,910 | 91,842 | 0.08 | 3,415 | 2,419 | 5,834 | 0.09 |
| Total | 40,786.16 | 39,801.68 | 80,587.84 | 100.00 | 54,844,249 | 53,969,645 | 108,813,894 | 100.00 | 3,247,627 | 3,454,911 | 6,702,538 | 100.00 |

Note: Figures may not add up to total due to rounding.

Monthly Stock Options contract volume, 2019



Month-end Stock Options open interest, 2019



USD Gold Futures - trading statistics, 2017-2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|-------------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2017 * | | 121.0 | 55,372 | 458 | 236 |
| 2018 | | 246.0 | 326,700 | 1,328 | 191 |
| 2019 | | 246.0 | 491,405 | 1,998 | 1,132 |
| 2019 | Jan | 22.0 | 25,801 | 1,173 | 136 |
| | Feb | 17.0 | 28,931 | 1,702 | 199 |
| | Mar | 21.0 | 25,812 | 1,229 | 534 |
| | Apr | 19.0 | 32,288 | 1,699 | 550 |
| | May | 21.0 | 42,498 | 2,024 | 1,055 |
| | Jun | 19.0 | 54,083 | 2,846 | 1,028 |
| | Jul | 22.0 | 41,941 | 1,906 | 1,085 |
| | Aug | 22.0 | 57,733 | 2,624 | 812 |
| | Sep | 21.0 | 44,895 | 2,138 | 891 |
| | Oct | 21.0 | 52,678 | 2,508 | 807 |
| | Nov | 21.0 | 42,073 | 2,003 | 908 |
| | Dec | 20.0 | 42,672 | 2,134 | 1,132 |
| 2019 | Highest (date) | | 5,105 (14/08) | | 1,272 (27/12) |

* Trading in USD Gold Futures commenced on 10 Jul 2017

CNH Gold Futures – trading statistics, 2017–2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|-------------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2017 * | | 121.0 | 110,763 | 915 | 186 |
| 2018 | | 246.0 | 25,006 | 102 | 45 |
| 2019 | | 246.0 | 81,768 | 332 | 5 |
| 2019 | Jan | 22.0 | 4,824 | 219 | 27 |
| | Feb | 17.0 | 5,021 | 295 | 56 |
| | Mar | 21.0 | 6,649 | 317 | 16 |
| | Apr | 19.0 | 6,770 | 356 | 28 |
| | May | 21.0 | 9,296 | 443 | 18 |
| | Jun | 19.0 | 13,086 | 689 | 14 |
| | Jul | 22.0 | 7,426 | 338 | 7 |
| | Aug | 22.0 | 5,764 | 262 | 20 |
| | Sep | 21.0 | 6,073 | 289 | 20 |
| | Oct | 21.0 | 6,064 | 289 | 8 |
| | Nov | 21.0 | 4,073 | 194 | 16 |
| | Dec | 20.0 | 6,722 | 336 | 5 |
| 2019 | Highest (date) | | 1,170 (11/06) | | 141 (18/06) |

* Trading in CNH Gold Futures commenced on 10 Jul 2017

Iron Ore Futures – Monthly Contracts – trading statistics, 2017-2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|-------------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2017 * | | 33.0 | 18,194 | 551 | 405 |
| 2018 | | 246.0 | 9,517 | 39 | 1,124 |
| 2019 | | 246.0 | 55,944 | 227 | 1,685 |
| 2019 | Jan | 22.0 | 982 | 45 | 1,092 |
| | Feb | 17.0 | 1,195 | 70 | 1,146 |
| | Mar | 21.0 | 1,108 | 53 | 851 |
| | Apr | 19.0 | 1,399 | 74 | 797 |
| | May | 21.0 | 1,627 | 77 | 1,161 |
| | Jun | 19.0 | 4,471 | 235 | 556 |
| | Jul | 22.0 | 23,794 | 1,082 | 1,755 |
| | Aug | 22.0 | 12,917 | 587 | 2,035 |
| | Sep | 21.0 | 2,705 | 129 | 2,101 |
| | Oct | 21.0 | 2,566 | 122 | 1,842 |
| | Nov | 21.0 | 2,712 | 129 | 1,865 |
| | Dec | 20.0 | 468 | 23 | 1,685 |
| 2019 | Highest (date) | | 4,370 (31/07) | | 2,256 (20/09) |

* Trading in Iron Ore Futures – Monthly Contracts commenced on 13 Nov 2017

Iron Ore Futures – Quarterly Contracts – trading statistics, 2017-2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|---------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2017 * | | 33.0 | 36 | 1 | 19 |
| 2018 | | 246.0 | 0 | 0 | 0 |
| 2019 | | 246.0 | 0 | 0 | 0 |
| 2019 | Jan | 22.0 | 0 | 0 | 0 |
| | Feb | 17.0 | 0 | 0 | 0 |
| | Mar | 21.0 | 0 | 0 | 0 |
| | Apr | 19.0 | 0 | 0 | 0 |
| | May | 21.0 | 0 | 0 | 0 |
| | Jun | 19.0 | 0 | 0 | 0 |
| | Jul | 22.0 | 0 | 0 | 0 |
| | Aug | 22.0 | 0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 | 0 |
| | Nov | 21.0 | 0 | 0 | 0 |
| | Dec | 20.0 | 0 | 0 | 0 |
| 2019 | Highest (date) | | 0 – | | 0 – |

* Trading in Iron Ore Futures – Quarterly Contracts commenced on 13 Nov 2017

CNH London Aluminium Mini Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|----------------------|--------------------------|---------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2015 | 247.0 | 11,554 | 47 | 75 | |
| 2016 | 247.0 | 1,829 | 7 | 2 | |
| 2017 | 247.0 | 937 | 4 | 20 | |
| 2018 | 246.0 | 635 | 3 | 0 | |
| 2019 | 246.0 | 21 | 0 | 0 | |
| 2019 | Jan | 22.0 | 0 | 0 | 0 |
| | Feb | 17.0 | 0 | 0 | 0 |
| | Mar | 21.0 | 0 | 0 | 0 |
| | Apr | 19.0 | 1 | 0 | 1 |
| | May | 21.0 | 2 | 0 | 1 |
| | Jun | 19.0 | 1 | 0 | 0 |
| | Jul | 22.0 | 4 | 0 | 0 |
| | Aug | 22.0 | 0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 | 0 |
| | Nov | 21.0 | 13 | 1 | 0 |
| | Dec | 20.0 | 0 | 0 | 0 |
| 2019 | Highest (date) | | 5 (06/11) | | 1 (14/11) |

CNH London Zinc Mini Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|----------------------|--------------------------|----------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2015 | 247.0 | 16,654 | 67 | 109 | |
| 2016 | 247.0 | 12,394 | 50 | 17 | |
| 2017 | 247.0 | 483 | 2 | 0 | |
| 2018 | 246.0 | 651 | 3 | 1 | |
| 2019 | 246.0 | 150 | 1 | 1 | |
| 2019 | Jan | 22.0 | 16 | 1 | 3 |
| | Feb | 17.0 | 14 | 1 | 3 |
| | Mar | 21.0 | 24 | 1 | 13 |
| | Apr | 19.0 | 38 | 2 | 3 |
| | May | 21.0 | 20 | 1 | 2 |
| | Jun | 19.0 | 12 | 1 | 1 |
| | Jul | 22.0 | 16 | 1 | 1 |
| | Aug | 22.0 | 5 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 | 0 |
| | Oct | 21.0 | 1 | 0 | 1 |
| | Nov | 21.0 | 3 | 0 | 0 |
| | Dec | 20.0 | 1 | 0 | 1 |
| 2019 | Highest (date) | | 12 (15/04) | | 17 (12/04) |

CNH London Copper Mini Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | |
|------------|--------------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 | 247.0 | 27,388 | 111 | 16 |
| 2016 | 247.0 | 3,354 | 14 | 3 |
| 2017 | 247.0 | 731 | 3 | 2 |
| 2018 | 246.0 | 124 | 1 | 0 |
| 2019 | 246.0 | 2 | 0 | 0 |
| 2019 | Jan | 22.0 | 0 | 0 |
| | Feb | 17.0 | 0 | 0 |
| | Mar | 21.0 | 0 | 0 |
| | Apr | 19.0 | 0 | 0 |
| | May | 21.0 | 0 | 0 |
| | Jun | 19.0 | 0 | 0 |
| | Jul | 22.0 | 2 | 0 |
| | Aug | 22.0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 |
| | Nov | 21.0 | 0 | 0 |
| | Dec | 20.0 | 0 | 0 |
| 2019 | Highest (date) | | 1 (17/07) | 1 (16/07) |

CNH London Nickel Mini Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | |
|------------|--------------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 * | 13.0 | 155 | 12 | 150 |
| 2016 | 247.0 | 11,550 | 47 | 31 |
| 2017 | 247.0 | 325 | 1 | 1 |
| 2018 | 246.0 | 323 | 1 | 0 |
| 2019 | 246.0 | 52 | 0 | 0 |
| 2019 | Jan | 22.0 | 0 | 0 |
| | Feb | 17.0 | 1 | 1 |
| | Mar | 21.0 | 1 | 0 |
| | Apr | 19.0 | 0 | 0 |
| | May | 21.0 | 0 | 0 |
| | Jun | 19.0 | 8 | 0 |
| | Jul | 22.0 | 18 | 4 |
| | Aug | 22.0 | 3 | 5 |
| | Sep | 21.0 | 15 | 0 |
| | Oct | 21.0 | 2 | 2 |
| | Nov | 21.0 | 2 | 2 |
| | Dec | 20.0 | 2 | 0 |
| 2019 | Highest (date) | | 7 (23/07) | 6 (09/08) |

* Trading in London Nickel Mini Futures commenced on 14 Dec 2015

CNH London Tin Mini Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | |
|------------|--------------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 * | 13.0 | 2 | 0 | 0 |
| 2016 | 247.0 | 37 | 0 | 0 |
| 2017 | 247.0 | 0 | 0 | 0 |
| 2018 | 246.0 | 0 | 0 | 0 |
| 2019 | 246.0 | 0 | 0 | 0 |
| 2019 | Jan | 22.0 | 0 | 0 |
| | Feb | 17.0 | 0 | 0 |
| | Mar | 21.0 | 0 | 0 |
| | Apr | 19.0 | 0 | 0 |
| | May | 21.0 | 0 | 0 |
| | Jun | 19.0 | 0 | 0 |
| | Jul | 22.0 | 0 | 0 |
| | Aug | 22.0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 |
| | Nov | 21.0 | 0 | 0 |
| | Dec | 20.0 | 0 | 0 |
| 2019 | Highest (date) | | 0 - | 0 - |

* Trading in London Tin Mini Futures commenced on 14 Dec 2015

CNH London Lead Mini Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | |
|------------|--------------------------|--------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2015 * | 13.0 | 0 | 0 | 0 |
| 2016 | 247.0 | 7 | 0 | 0 |
| 2017 | 247.0 | 234 | 1 | 4 |
| 2018 | 246.0 | 0 | 0 | 0 |
| 2019 | 246.0 | 12 | 0 | 0 |
| 2019 | Jan | 22.0 | 0 | 0 |
| | Feb | 17.0 | 0 | 0 |
| | Mar | 21.0 | 0 | 0 |
| | Apr | 19.0 | 0 | 0 |
| | May | 21.0 | 0 | 0 |
| | Jun | 19.0 | 0 | 0 |
| | Jul | 22.0 | 0 | 0 |
| | Aug | 22.0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 |
| | Oct | 21.0 | 2 | 2 |
| | Nov | 21.0 | 8 | 0 |
| | Dec | 20.0 | 2 | 0 |
| 2019 | Highest (date) | | 2 (18/11) | 2 (15/11) |

* Trading in London Lead Mini Futures commenced on 14 Dec 2015

USD London Aluminium Mini Futures – trading statistics, 2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|-----------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2019* | | 103.0 | 9,246 | 90 | 76 |
| 2019 | Aug | 20.0 | 3,241 | 162 | 42 |
| | Sep | 21.0 | 2,089 | 99 | 120 |
| | Oct | 21.0 | 1,319 | 63 | 107 |
| | Nov | 21.0 | 1,746 | 83 | 160 |
| | Dec | 20.0 | 851 | 43 | 76 |
| 2019 | Highest (date) | | 647 (09/08) | | 193 (18/10) |

* Trading in USD London Aluminium Mini Futures commenced on 05 Aug 2019

USD London Zinc Mini Futures – trading statistics, 2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|---------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2019* | | 103.0 | 0 | 0 | 0 |
| 2019 | Aug | 20.0 | 0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 | 0 |
| | Nov | 21.0 | 0 | 0 | 0 |
| | Dec | 20.0 | 0 | 0 | 0 |
| 2019 | Highest (date) | | 0 – | | 0 – |

* Trading in USD London Zinc Mini Futures commenced on 05 Aug 2019

USD London Copper Mini Futures – trading statistics, 2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|-----------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2019* | | 103.0 | 19,516 | 189 | 262 |
| 2019 | Aug | 20.0 | 5,633 | 282 | 102 |
| | Sep | 21.0 | 5,227 | 249 | 91 |
| | Oct | 21.0 | 3,959 | 189 | 292 |
| | Nov | 21.0 | 2,174 | 104 | 144 |
| | Dec | 20.0 | 2,523 | 126 | 262 |
| 2019 | Highest (date) | | 927 (09/08) | | 385 (08/11) |

* Trading in USD London Copper Mini Futures commenced on 05 Aug 2019

USD London Nickel Mini Futures – trading statistics, 2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|----------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2019* | | 103.0 | 552 | 5 | 14 |
| 2019 | Aug | 20.0 | 37 | 2 | 0 |
| | Sep | 21.0 | 180 | 9 | 24 |
| | Oct | 21.0 | 123 | 6 | 14 |
| | Nov | 21.0 | 116 | 6 | 14 |
| | Dec | 20.0 | 96 | 5 | 14 |
| 2019 | Highest (date) | | 72 (12/11) | | 31 (14/10) |

* Trading in USD London Nickel Mini Futures commenced on 05 Aug 2019

USD London Tin Mini Futures – trading statistics, 2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|----------------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2019* | | 103.0 | 20 | 0 | 0 |
| 2019 | Aug | 20.0 | 10 | 1 | 0 |
| | Sep | 21.0 | 0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 | 0 |
| | Nov | 21.0 | 0 | 0 | 0 |
| | Dec | 20.0 | 10 | 1 | 0 |
| 2019 | Highest (date) | | 10 (12/08) | | 3 (27/12) |

* Trading in USD London Tin Mini Futures commenced on 05 Aug 2019

USD London Lead Mini Futures – trading statistics, 2019

| Year/Month | | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|---------------|----------------------|--------------------------|
| | | | Total volume | Average daily volume | Period end open interest |
| 2019* | | 103.0 | 0 | 0 | 0 |
| 2019 | Aug | 20.0 | 0 | 0 | 0 |
| | Sep | 21.0 | 0 | 0 | 0 |
| | Oct | 21.0 | 0 | 0 | 0 |
| | Nov | 21.0 | 0 | 0 | 0 |
| | Dec | 20.0 | 0 | 0 | 0 |
| 2019 | Highest (date) | | 0 – | | 0 – |

* Trading in USD London Lead Mini Futures commenced on 05 Aug 2019

RMB Currency Futures – USD/CNH Futures – trading statistics, 2015-2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|--------------------------|--------------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2015 | 247.0 | 262,433 | 1,062 | 23,046 | |
| 2016 | 247.0 | 538,594 | 2,181 | 45,635 | |
| 2017 | 247.0 | 732,569 | 2,966 | 24,483 | |
| 2018 | 246.0 | 1,755,130 | 7,135 | 30,797 | |
| 2019 | 246.0 | 1,938,891 | 7,882 | 23,196 | |
| 2019 | Jan | 22.0 | 167,163 | 7,598 | 34,520 |
| | Feb | 17.0 | 161,693 | 9,511 | 37,066 |
| | Mar | 21.0 | 185,533 | 8,835 | 32,865 |
| | Apr | 19.0 | 114,998 | 6,053 | 33,972 |
| | May | 21.0 | 208,398 | 9,924 | 32,841 |
| | Jun | 19.0 | 204,853 | 10,782 | 31,732 |
| | Jul | 22.0 | 116,727 | 5,306 | 28,615 |
| | Aug | 22.0 | 233,556 | 10,616 | 26,219 |
| | Sep | 21.0 | 177,254 | 8,441 | 25,039 |
| | Oct | 21.0 | 129,817 | 6,182 | 25,737 |
| | Nov | 21.0 | 121,131 | 5,768 | 24,978 |
| | Dec | 20.0 | 117,768 | 5,888 | 23,196 |
| 2019 | Highest (date) | | 24,345 (05/08) | | 37,595 (13/02) |

RMB Currency Options – USD/CNH Options – trading statistics, 2017-2019

| Year/Month | No. of trading days | Volume (contracts) | | | | Period end open interest (contracts) | |
|------------|--------------------------|--------------------|--------|-----------------------|----------------------|--------------------------------------|-------|
| | | Call | Put | Total volume | Average daily volume | | |
| 2017 * | 195.0 | 9,011 | 1,462 | 10,473 | 54 | 3,113 | |
| 2018 | 246.0 | 16,571 | 13,496 | 30,067 | 122 | 5,625 | |
| 2019 | 246.0 | 8,110 | 7,319 | 15,429 | 63 | 1,643 | |
| 2019 | Jan | 22.0 | 603 | 1,056 | 1,659 | 75 | 5,489 |
| | Feb | 17.0 | 817 | 571 | 1,388 | 82 | 5,511 |
| | Mar | 21.0 | 420 | 405 | 825 | 39 | 4,029 |
| | Apr | 19.0 | 911 | 378 | 1,289 | 68 | 4,312 |
| | May | 21.0 | 1,512 | 745 | 2,257 | 107 | 4,230 |
| | Jun | 19.0 | 960 | 221 | 1,181 | 62 | 2,990 |
| | Jul | 22.0 | 124 | 581 | 705 | 32 | 3,308 |
| | Aug | 22.0 | 1,270 | 762 | 2,032 | 92 | 4,057 |
| | Sep | 21.0 | 330 | 1,522 | 1,852 | 88 | 4,140 |
| | Oct | 21.0 | 315 | 246 | 561 | 27 | 4,055 |
| | Nov | 21.0 | 320 | 726 | 1,046 | 50 | 3,978 |
| | Dec | 20.0 | 528 | 106 | 634 | 32 | 1,643 |
| 2019 | Highest (date) | | | 510 (06/09) | | 6,112 (11/01) | |

* Trading in RMB Currency Options - USD/CNH Options commenced on 20 Mar 2017

RMB Currency Futures – EUR/CNH Futures – trading statistics, 2016-2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|-----------------------|--------------------------|-----------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2016 * | 149.0 | 952 | 6 | 98 | |
| 2017 | 247.0 | 1,750 | 7 | 67 | |
| 2018 | 246.0 | 8,956 | 36 | 606 | |
| 2019 | 246.0 | 14,656 | 60 | 110 | |
| 2019 | Jan | 22.0 | 2,426 | 110 | 369 |
| | Feb | 17.0 | 2,287 | 135 | 289 |
| | Mar | 21.0 | 2,833 | 135 | 186 |
| | Apr | 19.0 | 2,136 | 112 | 173 |
| | May | 21.0 | 2,128 | 101 | 238 |
| | Jun | 19.0 | 1,063 | 56 | 477 |
| | Jul | 22.0 | 207 | 9 | 280 |
| | Aug | 22.0 | 586 | 27 | 215 |
| | Sep | 21.0 | 345 | 16 | 265 |
| | Oct | 21.0 | 280 | 13 | 159 |
| | Nov | 21.0 | 277 | 13 | 149 |
| | Dec | 20.0 | 88 | 4 | 110 |
| 2019 | Highest (date) | | 251 (21/02) | | 677 (09/01) |

* Trading in RMB Currency Futures - EUR/CNH Futures commenced on 30 May 2016

RMB Currency Futures – JPY/CNH Futures – trading statistics, 2016 - 2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|-----------------------|--------------------------|-----------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2016 * | 149.0 | 390 | 3 | 6 | |
| 2017 | 247.0 | 485 | 2 | 51 | |
| 2018 | 246.0 | 2,291 | 9 | 160 | |
| 2019 | 246.0 | 4,689 | 19 | 190 | |
| 2019 | Jan | 22.0 | 245 | 11 | 117 |
| | Feb | 17.0 | 336 | 20 | 197 |
| | Mar | 21.0 | 166 | 8 | 23 |
| | Apr | 19.0 | 837 | 44 | 27 |
| | May | 21.0 | 1,500 | 71 | 61 |
| | Jun | 19.0 | 202 | 11 | 120 |
| | Jul | 22.0 | 78 | 4 | 61 |
| | Aug | 22.0 | 502 | 23 | 239 |
| | Sep | 21.0 | 312 | 15 | 180 |
| | Oct | 21.0 | 230 | 11 | 170 |
| | Nov | 21.0 | 190 | 9 | 240 |
| | Dec | 20.0 | 91 | 5 | 190 |
| 2019 | Highest (date) | | 192 (07/05) | | 249 (28/08) |

* Trading in RMB Currency Futures - JPY/CNH Futures commenced on 30 May 2016

RMB Currency Futures – AUD/CNH Futures – trading statistics, 2016-2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|-----------------------|--------------------------|-----------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2016 * | 149.0 | 88 | 1 | 8 | |
| 2017 | 247.0 | 409 | 2 | 26 | |
| 2018 | 246.0 | 1,304 | 5 | 9 | |
| 2019 | 246.0 | 8,277 | 34 | 116 | |
| 2019 | Jan | 22.0 | 108 | 5 | 67 |
| | Feb | 17.0 | 458 | 27 | 193 |
| | Mar | 21.0 | 1,949 | 93 | 92 |
| | Apr | 19.0 | 1,799 | 95 | 160 |
| | May | 21.0 | 2,255 | 107 | 215 |
| | Jun | 19.0 | 252 | 13 | 165 |
| | Jul | 22.0 | 186 | 8 | 100 |
| | Aug | 22.0 | 652 | 30 | 125 |
| | Sep | 21.0 | 168 | 8 | 130 |
| | Oct | 21.0 | 196 | 9 | 130 |
| | Nov | 21.0 | 181 | 9 | 107 |
| | Dec | 20.0 | 73 | 4 | 116 |
| 2019 | Highest (date) | | 387 (07/05) | | 243 (17/06) |

* Trading in RMB Currency Futures - AUD/CNH Futures commenced on 30 May 2016

RMB Currency Futures – CNH/USD Futures – trading statistics, 2016-2019

| Year/Month | No. of trading days | Contracts | | | |
|------------|--------------------------|--------------|-------------------------|--------------------------|-------------------------|
| | | Total volume | Average daily volume | Period end open interest | |
| 2016 * | 149.0 | 4,867 | 33 | 1,494 | |
| 2017 | 247.0 | 11,939 | 48 | 591 | |
| 2018 | 246.0 | 12,214 | 50 | 712 | |
| 2019 | 246.0 | 11,759 | 48 | 1,024 | |
| 2019 | Jan | 22.0 | 2,029 | 92 | 604 |
| | Feb | 17.0 | 1,402 | 82 | 1,077 |
| | Mar | 21.0 | 1,346 | 64 | 1,182 |
| | Apr | 19.0 | 1,151 | 61 | 1,032 |
| | May | 21.0 | 1,266 | 60 | 1,147 |
| | Jun | 19.0 | 400 | 21 | 1,096 |
| | Jul | 22.0 | 571 | 26 | 1,431 |
| | Aug | 22.0 | 727 | 33 | 1,393 |
| | Sep | 21.0 | 551 | 26 | 1,160 |
| | Oct | 21.0 | 278 | 13 | 1,139 |
| | Nov | 21.0 | 229 | 11 | 1,093 |
| | Dec | 20.0 | 1,809 | 90 | 1,024 |
| 2019 | Highest (date) | | 1,612 (09/12) | | 1,482 (09/08) |

* Trading in RMB Currency Futures - CNH/USD Futures commenced on 30 May 2016

INR Currency Futures – INR/USD Futures – trading statistics, 2019

| Year/Month | No. of trading days | Contracts | | |
|------------|--------------------------|-----------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2019* | 40.0 | 11,130 | 278 | 175 |
| 2019 | Nov | 7,580 | 379 | 270 |
| | Dec | 3,550 | 178 | 175 |
| 2019 | Highest (date) | 940 (14/11) | | 766 (20/11) |

* Trading in INR Currency Futures - INR/USD Futures commenced on 04 Nov 2019

INR Currency Futures – INR/CNH Futures – trading statistics, 2019

| Year/Month | No. of trading days | Contracts | | |
|------------|--------------------------|---------------------|----------------------|--------------------------|
| | | Total volume | Average daily volume | Period end open interest |
| 2019* | 40.0 | 6 | 0 | 0 |
| 2019 | Nov | 6 | 0 | 0 |
| | Dec | 0 | 0 | 0 |
| 2019 | Highest (date) | 6 (20/11) | | 0 - |

* Trading in INR Currency Futures - INR/CNH Futures commenced on 04 Nov 2019

Record high of futures and options products (up to 31 December 2019)

| Products | Daily Volume | | Open Interest | |
|----------------------------------------------------|--------------|------------|---------------|------------|
| | Contracts | Date | Contracts | Date |
| Hang Seng Index Futures | 422,450 | 2018 10 26 | 206,082 | 2017 06 27 |
| Mini Hang Seng Index Futures | 215,927 | 2018 02 07 | 22,321 | 2018 10 26 |
| HSI (Gross Total Return Index) Futures | 15 | 2019 03 27 | 27 | 2019 05 07 |
| HSI (Net Total Return Index) Futures | 29 | 2019 05 29 | 38 | 2019 05 30 |
| Hang Seng China Enterprises Index Futures | 477,061 | 2019 02 25 | 635,051 | 2018 12 27 |
| Mini-Hang Seng China Enterprises Index Futures | 76,471 | 2018 02 06 | 16,436 | 2014 12 11 |
| HSCEI (Gross Total Return Index) Futures | 10 | 2019 10 30 | 10 | 2019 11 28 |
| HSCEI (Net Total Return Index) Futures | 10 | 2019 10 30 | 10 | 2019 11 28 |
| HSI Dividend Point Index Futures | 3,000 | 2012 08 01 | 13,902 | 2017 12 28 |
| HSCEI Dividend Point Index Futures | 27,501 | 2017 02 14 | 184,626 | 2016 12 13 |
| HSI Volatility Index Futures | 105 | 2015 05 29 | 140 | 2012 05 16 |
| Hang Seng Index Options | 133,419 | 2019 08 26 | 594,941 | 2017 11 28 |
| Weekly Hang Seng Index Options | 7,335 | 2019 11 29 | 7,674 | 2019 11 15 |
| Mini Hang Seng Index Options | 36,928 | 2019 08 26 | 66,588 | 2019 08 28 |
| Flexible Hang Seng Index Options | 4,730 | 2013 01 08 | 17,878 | 2012 11 02 |
| Hang Seng China Enterprises Index Options | 232,994 | 2018 02 09 | 3,749,790 | 2018 11 28 |
| Weekly Hang Seng China Enterprises Index Options | 4,318 | 2019 11 18 | 7,162 | 2019 11 08 |
| Mini-Hang Seng China Enterprises Index Options | 11,728 | 2018 02 09 | 34,635 | 2019 09 26 |
| Flexible Hang Seng China Enterprises Index Options | 5,770 | 2011 09 26 | 44,480 | 2015 06 26 |
| MSCI AC Asia ex Japan NTR Index Futures | 56,378 | 2019 12 16 | 46,698 | 2019 09 17 |
| CES China 120 Index Futures | 2,086 | 2013 08 26 | 1,178 | 2014 05 27 |
| Hang Seng Mainland Oil & Gas Index Futures | 911 | 2019 01 11 | 593 | 2019 08 09 |
| Hang Seng Mainland Banks Index Futures | 584 | 2019 08 29 | 548 | 2019 01 24 |
| Hang Seng Mainland Properties Index Futures | 553 | 2018 06 26 | 371 | 2019 02 14 |
| IBOVESPA Futures | 5 | 2012 05 21 | 5 | 2012 06 14 |
| MICEX Index Futures | 5 | 2012 05 17 | 6 | 2012 06 18 |
| FTSE/JSE Top40 Futures | 0 | 2019 12 31 | 0 | 2019 12 31 |
| Stock Futures | 27,966 | 2007 03 28 | 47,050 | 2013 03 27 |
| Stock Options | 1,221,324 | 2015 04 13 | 13,725,731 | 2018 03 27 |
| 3-month HIBOR Futures ¹ | 10,514 | 2002 04 04 | 80,964 | 2001 11 15 |
| 1-month HIBOR Futures ² | 800 | 2001 01 09 | 3,250 | 2001 04 12 |
| RMB Currency Futures - USD/CNH Futures | 24,345 | 2019 08 05 | 46,711 | 2017 01 04 |
| RMB Currency Options - USD/CNH Options | 1,529 | 2018 08 28 | 10,827 | 2018 09 14 |
| RMB Currency Futures - EUR/CNH Futures | 431 | 2018 08 16 | 1,038 | 2018 09 06 |
| RMB Currency Futures - JPY/CNH Futures | 192 | 2019 05 07 | 491 | 2018 09 10 |
| RMB Currency Futures - AUD/CNH Futures | 387 | 2019 05 07 | 243 | 2019 06 17 |
| RMB Currency Futures - CNH/USD Futures | 1,612 | 2019 12 09 | 1,703 | 2017 01 12 |
| INR Currency Futures - INR/USD Futures | 940 | 2019 11 14 | 766 | 2019 11 20 |
| INR Currency Futures - INR/CNH Futures | 6 | 2019 11 20 | 0 | 2019 12 31 |
| USD Gold Futures | 5,670 | 2018 01 11 | 1,272 | 2019 12 27 |
| CNH Gold Futures | 2,251 | 2017 10 31 | 829 | 2017 10 11 |
| Iron Ore Futures – Monthly Contracts | 4,370 | 2019 07 31 | 2,256 | 2019 09 20 |
| Iron Ore Futures – Quarterly Contracts | 15 | 2017 12 18 | 19 | 2018 03 29 |
| CNH London Aluminium Mini Futures | 544 | 2015 10 20 | 354 | 2015 02 10 |
| CNH London Zinc Mini Futures | 562 | 2015 12 02 | 715 | 2015 12 04 |
| CNH London Copper Mini Futures | 770 | 2014 12 03 | 1,412 | 2014 12 11 |
| CNH London Lead Mini Futures | 21 | 2017 08 18 | 21 | 2017 11 13 |
| CNH London Nickel Mini Futures | 453 | 2016 07 07 | 150 | 2016 01 18 |
| CNH London Tin Mini Futures | 6 | 2016 07 05 | 9 | 2016 07 05 |
| USD London Aluminium Mini Futures | 647 | 2019 08 09 | 193 | 2019 10 18 |
| USD London Zinc Mini Futures | 0 | 2019 12 31 | 0 | 2019 12 31 |
| USD London Copper Mini Futures | 927 | 2019 08 09 | 385 | 2019 11 08 |
| USD London Lead Mini Futures | 0 | 2019 12 31 | 0 | 2019 12 31 |
| USD London Nickel Mini Futures | 72 | 2019 11 12 | 31 | 2019 10 14 |
| USD London Tin Mini Futures | 10 | 2019 08 12 | 3 | 2019 12 27 |

¹ The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

² The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

Futures of LME commodities – trading volumes, 2019

| Futures | Volume (Lot) | | YTD Diff |
|----------------------------------------------------------------|--------------------|--------------------|--------------|
| | 2018 | 2019 | |
| AH Aluminium High Grade | 65,574,126 | 66,082,530 | 0.8% |
| AA Aluminium Alloy | 57,478 | 61,856 | 7.6% |
| CA Copper A Grade | 38,599,069 | 35,641,483 | -7.7% |
| PB Lead | 13,437,086 | 11,918,349 | -11.3% |
| NI Primary Nickel | 24,011,101 | 24,546,323 | 2.2% |
| SN Tin | 1,272,723 | 1,334,196 | 4.8% |
| ZS Special High Grade Zinc | 33,430,054 | 29,803,503 | -10.8% |
| NA North American Special Aluminium Alloy | 257,996 | 217,531 | -15.7% |
| MX LME | - | - | - |
| FM Steel Mediterranean Billet | - | - | - |
| CO Cobalt | 12,932 | 9,595 | -25.8% |
| MO Molybdenum | - | - | - |
| MC LMEmini Copper A Grade | - | - | - |
| MA LMEmini Primary Aluminium | - | - | - |
| MZ LMEmini Special High Grade Zinc | - | - | - |
| OA Primary Aluminium Monthly Average Future | 8,114 | 25,173 | 210.2% |
| OL Aluminium Alloy Monthly Average Future | - | - | - |
| OC Copper Monthly Average Future | 17,235 | 28,054 | 62.8% |
| OP Lead Monthly Average Future | 606 | 819 | 35.1% |
| ON Nickel Monthly Average Future | 198 | 113 | -42.9% |
| OS Tin Monthly Average Future | - | 23 | N/A |
| OZ Zinc Monthly Average Future | 6,355 | 14,459 | 127.5% |
| OM NASAAC Monthly Average Future | - | - | - |
| XG OTC Silver | - | - | - |
| AG Silver | 148,566 | 70,800 | -52.3% |
| XU OTC Gold | - | - | - |
| AE Aluminium Premium Future East Asia | - | - | - |
| AN Aluminium Premium Future Extended US | - | - | - |
| AS Aluminium Premium Future South East Asia | - | - | - |
| AW Aluminium Premium Future Western Europe | - | - | - |
| SC Steel Scrap | 484,843 | 324,550 | -33.1% |
| SR Steel Rebar | 54,297 | 60,250 | 11.0% |
| AU Gold | 732,188 | 269,933 | -63.1% |
| HU LME Steel HRC N. America (Platts) | - | 28,315 | N/A |
| HE LME Steel HRC N. Europe (Platts) | - | - | - |
| HC LME Steel HRC FOB China (Argus) | - | 45,884 | N/A |
| UP LME Aluminium Premium Duty Paid US Midwest (Platts) | - | 939 | N/A |
| AM LME Alumina (CRU/Fastmarkets MB) | - | 920 | N/A |
| EA LME Aluminium Premium Duty Unpaid European (Fastmarkets MB) | - | - | - |
| MD LME Molybdenum (Platts) | - | - | - |
| CB LME Cobalt (Fastmarkets MB) | - | - | - |
| Total | 178,104,967 | 170,485,598 | -4.3% |

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel
5 tonnes: Tin
65 tonnes: Steel
25 tonnes: all other metals
5 tonnes: LMEmini Copper, Aluminium and Zinc
10 tonnes: Steel scrap and rebar

Options of LME commodities – trading volumes, 2019

| Options | Volume (Lot) | | YTD Diff |
|-------------------------------------------|------------------|------------------|---------------|
| | 2018 | 2019 | |
| AH Aluminium High Grade | 3,083,333 | 2,018,103 | -34.5% |
| AA Aluminium Alloy | 120 | - | -100.0% |
| CA Copper A Grade | 1,675,489 | 1,424,598 | -15.0% |
| PB Lead | 209,498 | 332,044 | 58.5% |
| NI Primary Nickel | 606,017 | 718,052 | 18.5% |
| SN Tin | 570 | 560 | -1.8% |
| ZS Special High Grade Zinc | 906,392 | 1,117,435 | 23.3% |
| NA North American Special Aluminium Alloy | 10 | 60 | 500.0% |
| Total | 6,481,429 | 5,610,852 | -13.4% |

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
 6 tonnes: Nickel
 5 tonnes: Tin
 65 tonnes: Steel
 25 tonnes: all other metals
 5 tonnes: LMEmini Copper, Aluminium and Zinc
 10 tonnes: Steel scrap and rebar

TAPOs of LME commodities – trading volumes, 2019

| TAPOs | Volume (Lot) | | YTD Diff |
|-------------------------------------------|----------------|----------------|---------------|
| | 2018 | 2019 | |
| AH Aluminium High Grade | 162,831 | 73,390 | -54.9% |
| AA Aluminium Alloy | - | - | - |
| CA Copper A Grade | 50,056 | 25,367 | -49.3% |
| PB Lead | 2,399 | 13,630 | 468.2% |
| NI Primary Nickel | 7,950 | 14,176 | 78.3% |
| SN Tin | - | 60 | 100.0% |
| ZS Special High Grade Zinc | 6,427 | 8,296 | 29.1% |
| NA North American Special Aluminium Alloy | - | - | - |
| Total | 229,663 | 134,919 | -41.3% |

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
 6 tonnes: Nickel
 5 tonnes: Tin
 65 tonnes: Steel
 25 tonnes: all other metals
 5 tonnes: LMEmini Copper, Aluminium and Zinc
 10 tonnes: Steel scrap and rebar

Futures and options of LME commodities – trading volumes, 2019

| Futures, Options and TAPOs | Volume (Lot) | | YTD Diff |
|----------------------------------------------------------------|--------------------|--------------------|--------------|
| | 2018 | 2019 | |
| AH Aluminium High Grade | 68,820,290 | 68,174,023 | -0.9% |
| AA Aluminium Alloy | 57,598 | 61,856 | 7.4% |
| CA Copper A Grade | 40,324,614 | 37,091,448 | -8.0% |
| PB Lead | 13,648,983 | 12,264,023 | -10.1% |
| NI Primary Nickel | 24,625,068 | 25,278,551 | 2.7% |
| SN Tin | 1,273,293 | 1,334,816 | 4.8% |
| ZS Special High Grade Zinc | 34,342,873 | 30,929,234 | -9.9% |
| NA North American Special Aluminium Alloy | 258,006 | 217,591 | -15.7% |
| MX LME X | - | - | - |
| FM Steel Mediterranean Billet | - | - | - |
| CO Cobalt | 12,932 | 9,595 | -25.8% |
| MO Molybdenum | - | - | - |
| MC LMEmini Copper A Grade | - | - | - |
| MA LMEmini Primary Aluminium | - | - | - |
| MZ LMEmini Special High Grade Zinc | - | - | - |
| OA Primary Aluminium Monthly Average Future | 8,114 | 25,173 | 210.2% |
| OL Aluminium Alloy Monthly Average Future | - | - | - |
| OC Copper Monthly Average Future | 17,235 | 28,054 | 62.8% |
| OP Lead Monthly Average Future | 606 | 819 | 35.1% |
| ON Nickel Monthly Average Future | 198 | 113 | -42.9% |
| OS Tin Monthly Average Future | - | 23 | N/A |
| OZ Zinc Monthly Average Future | 6,355 | 14,459 | 127.5% |
| OM NASAAC Monthly Average Future | - | - | - |
| XG OTC Silver | - | - | - |
| AG Silver | 148,566 | 70,800 | -52.3% |
| XU OTC Gold | - | - | - |
| AE Aluminium Premium Future East Asia | - | - | - |
| AN Aluminium Premium Future Extended US | - | - | - |
| AS Aluminium Premium Future South East Asia | - | - | - |
| AW Aluminium Premium Future Western Europe | - | - | - |
| SC Steel Scrap | 484,843 | 324,550 | -33.1% |
| SR Steel Rebar | 54,297 | 60,250 | 11.0% |
| AU Gold | 732,188 | 269,933 | -63.1% |
| HU LME Steel HRC N. America (Platts) | - | 28,315 | N/A |
| HE LME Steel HRC N. Europe (Platts) | - | - | - |
| HC LME Steel HRC FOB China (Argus) | - | 45,884 | N/A |
| UP LME Aluminium Premium Duty Paid US Midwest (Platts) | - | 939 | N/A |
| AM LME Alumina (CRU/Fastmarkets MB) | - | 920 | N/A |
| EA LME Aluminium Premium Duty Unpaid European (Fastmarkets MB) | - | - | - |
| MD LME Molybdenum (Platts) | - | - | - |
| CB LME Cobalt (Fastmarkets MB) | - | - | - |
| Grand Totals | 184,816,059 | 176,231,369 | -4.6% |

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel
5 tonnes: Tin
65 tonnes: Steel
25 tonnes: all other metals
5 tonnes: LMEmini Copper, Aluminium and Zinc
10 tonnes: Steel scrap and rebar

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

| Year/month | No. of CCASS trades | % of Exchange trades | No. of shares (mil) | % of market turnover | Value of trades (HK\$mil) | % of market turnover |
|------------|---------------------|----------------------|---------------------|----------------------|---------------------------|----------------------|
| HKD | | | | | | |
| 2015 | 1,396,636 | 100.00 | 216,793 | 100.00 | 102,602 | 100.00 |
| 2016 | 1,080,339 | 100.00 | 186,165 | 100.00 | 66,820 | 100.00 |
| 2017 | 1,239,552 | 100.00 | 180,007 | 100.00 | 87,974 | 100.00 |
| 2018 | 1,596,128 | 100.00 | 226,201 | 100.00 | 107,157 | 99.99 |
| 2019 | 1,452,146 | 100.00 | 244,700 | 100.00 | 86,833 | 99.98 |
| 2019 Jan | 1,535,153 | 100.00 | 339,241 | 100.00 | 88,007 | 99.96 |
| Feb | 1,708,612 | 100.00 | 358,243 | 100.00 | 105,338 | 99.99 |
| Mar | 1,707,516 | 100.00 | 328,319 | 100.00 | 110,170 | 100.00 |
| Apr | 1,643,504 | 100.00 | 261,845 | 100.00 | 103,828 | 99.99 |
| May | 1,635,838 | 100.00 | 264,009 | 100.00 | 97,019 | 99.99 |
| Jun | 1,390,696 | 100.00 | 225,641 | 100.00 | 82,023 | 99.99 |
| Jul | 1,233,320 | 100.00 | 232,307 | 100.00 | 68,464 | 99.97 |
| Aug | 1,490,224 | 100.00 | 195,138 | 100.00 | 86,086 | 99.98 |
| Sep | 1,325,506 | 100.00 | 193,601 | 100.00 | 75,597 | 99.99 |
| Oct | 1,271,082 | 100.00 | 206,319 | 100.00 | 75,655 | 99.99 |
| Nov | 1,327,452 | 100.00 | 181,750 | 100.00 | 79,281 | 99.99 |
| Dec | 1,211,259 | 100.00 | 166,133 | 100.00 | 75,529 | 99.98 |
| | | | (Thousand) | (US\$ Thousand) | | |
| USD | | | | | | |
| 2015 | 16 | 100.00 | 16 | 100.00 | 587 | 100.00 |
| 2016 | 20 | 77.44 | 111 | 47.85 | 475 | 29.28 |
| 2017 | 25 | 32.32 | 164 | 17.95 | 1,073 | 2.53 |
| 2018 | 43 | 32.78 | 90 | 10.77 | 415 | 0.79 |
| 2019 | 94 | 37.96 | 178 | 11.57 | 2,152 | 1.83 |
| 2019 Jan | 43 | 22.23 | 111 | 7.39 | 1,249 | 1.23 |
| Feb | 19 | 14.36 | 35 | 2.57 | 894 | 0.65 |
| Mar | 28 | 18.58 | 37 | 2.65 | 373 | 0.26 |
| Apr | 37 | 22.86 | 573 | 12.34 | 4,220 | 2.71 |
| May | 31 | 22.94 | 122 | 8.43 | 2,390 | 1.73 |
| Jun | 26 | 19.63 | 72 | 4.71 | 2,252 | 1.76 |
| Jul | 112 | 34.12 | 150 | 8.74 | 1,313 | 1.16 |
| Aug | 141 | 55.80 | 226 | 17.23 | 6,207 | 3.82 |
| Sep | 315 | 74.34 | 326 | 27.61 | 2,554 | 3.23 |
| Oct | 117 | 56.24 | 135 | 13.84 | 1,103 | 1.46 |
| Nov | 130 | 57.69 | 208 | 17.75 | 1,836 | 2.15 |
| Dec | 99 | 49.72 | 136 | 13.49 | 1,247 | 1.60 |
| | | | (Thousand) | (RMB Thousand) | | |
| RMB | | | | | | |
| 2015 | 1,201 | 100.00 | 11,347 | 100.00 | 145,465 | 100.00 |
| 2016 | 658 | 99.95 | 5,930 | 99.73 | 47,090 | 97.09 |
| 2017 | 568 | 99.48 | 5,024 | 99.73 | 37,283 | 85.43 |
| 2018 | 600 | 99.88 | 5,598 | 99.40 | 46,000 | 95.14 |
| 2019 | 607 | 99.85 | 4,970 | 99.17 | 45,961 | 94.49 |
| 2019 Jan | 437 | 99.82 | 4,355 | 98.36 | 35,396 | 91.33 |
| Feb | 572 | 99.81 | 6,377 | 99.28 | 51,096 | 93.83 |
| Mar | 818 | 99.95 | 6,089 | 99.79 | 62,562 | 98.42 |
| Apr | 624 | 99.84 | 4,780 | 99.39 | 57,883 | 95.58 |
| May | 730 | 99.82 | 6,653 | 99.08 | 67,260 | 92.20 |
| Jun | 675 | 99.76 | 5,541 | 98.25 | 49,012 | 88.83 |
| Jul | 647 | 99.89 | 4,241 | 99.23 | 39,489 | 95.97 |
| Aug | 670 | 99.98 | 6,671 | 99.97 | 51,753 | 99.59 |
| Sep | 554 | 99.84 | 3,710 | 99.49 | 36,688 | 96.37 |
| Oct | 546 | 100.00 | 4,296 | 99.94 | 37,928 | 99.50 |
| Nov | 496 | 99.67 | 3,166 | 97.85 | 34,526 | 88.31 |
| Dec | 519 | 99.83 | 4,007 | 99.33 | 30,121 | 93.10 |

Average Daily Settlement Instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

| Year/month | Number of SIs | SIs by shares (mil) | SIs by value (HK\$mil) |
|------------|---------------|------------------------|---------------------------|
| HKD | | | |
| 2015 | 100,829 | 55,847 | 253,288 |
| 2016 | 83,047 | 46,735 | 181,400 |
| 2017 | 92,287 | 47,970 | 220,093 |
| 2018 | 102,842 | 50,241 | 265,689 |
| 2019 | 94,758 | 44,722 | 237,629 |
| 2019 Jan | 93,910 | 45,983 | 204,367 |
| Feb | 106,730 | 49,981 | 244,734 |
| Mar | 104,264 | 47,817 | 258,621 |
| Apr | 105,562 | 50,409 | 282,795 |
| May | 102,345 | 52,544 | 283,746 |
| Jun | 91,456 | 50,427 | 249,409 |
| Jul | 84,831 | 40,625 | 196,106 |
| Aug | 93,103 | 43,067 | 225,658 |
| Sep | 86,703 | 39,241 | 227,137 |
| Oct | 84,341 | 35,118 | 205,438 |
| Nov | 93,371 | 40,403 | 238,570 |
| Dec | 94,620 | 43,410 | 247,644 |
| | | (Thousand) | (US\$ Thousand) |
| USD | | | |
| 2015 | 70 | 17,637 | 25,573 |
| 2016 | 64 | 10,929 | 38,089 |
| 2017 | 79 | 10,747 | 32,469 |
| 2018 | 100 | 20,313 | 51,441 |
| 2019 | 108 | 26,243 | 78,688 |
| 2019 Jan | 89 | 18,490 | 55,825 |
| Feb | 115 | 59,373 | 93,375 |
| Mar | 123 | 29,633 | 128,800 |
| Apr | 110 | 72,901 | 194,918 |
| May | 110 | 22,975 | 38,602 |
| Jun | 86 | 14,436 | 99,060 |
| Jul | 95 | 23,128 | 49,739 |
| Aug | 143 | 34,776 | 96,951 |
| Sep | 100 | 8,357 | 27,552 |
| Oct | 93 | 8,050 | 38,069 |
| Nov | 108 | 12,330 | 42,574 |
| Dec | 129 | 21,044 | 99,931 |
| | | (Thousand) | (RMB Thousand) |
| RMB | | | |
| 2015 | 130 | 58,063 | 1,001,536 |
| 2016 | 83 | 17,912 | 204,529 |
| 2017 | 93 | 16,334 | 143,363 |
| 2018 | 91 | 16,512 | 136,718 |
| 2019 | 85 | 14,344 | 129,377 |
| 2019 Jan | 83 | 11,235 | 83,812 |
| Feb | 98 | 16,749 | 118,466 |
| Mar | 103 | 19,877 | 144,107 |
| Apr | 96 | 16,635 | 136,042 |
| May | 89 | 19,443 | 198,815 |
| Jun | 87 | 13,769 | 132,183 |
| Jul | 76 | 11,799 | 116,910 |
| Aug | 89 | 21,598 | 201,857 |
| Sep | 77 | 8,189 | 78,176 |
| Oct | 81 | 9,655 | 82,125 |
| Nov | 73 | 11,693 | 89,108 |
| Dec | 77 | 11,781 | 175,067 |

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS (one sided ISIs are counted)

| Year/month | Number of ISIs | ISIs by shares (mil) | ISIs by Value (HK\$mil) |
|------------|----------------|----------------------|-------------------------|
| HKD | | | |
| 2015 | 442 | 134 | 194 |
| 2016 | 276 | 87 | 136 |
| 2017 | 336 | 182 | 264 |
| 2018 | 304 | 133 | 210 |
| 2019 | 232 | 150 | 158 |
| 2019 Jan | 217 | 159 | 108 |
| Feb | 271 | 36 | 116 |
| Mar | 271 | 51 | 106 |
| Apr | 279 | 82 | 125 |
| May | 248 | 27 | 93 |
| Jun | 213 | 958 | 291 |
| Jul | 193 | 18 | 87 |
| Aug | 256 | 35 | 134 |
| Sep | 214 | 189 | 420 |
| Oct | 201 | 211 | 195 |
| Nov | 203 | 33 | 115 |
| Dec | 236 | 42 | 100 |
| | | (Thousand) | (US\$ Thousand) |
| USD | | | |
| 2015 | 0 | 7 | 705 |
| 2016 | 0 | 1 | 2 |
| 2017 | 0 | 6 | 664 |
| 2018 | 0 | 1 | 100 |
| 2019 | 0 | 0 | 2 |
| 2019 Jan | 0 | 1 | 6 |
| Feb | 1 | 1 | 4 |
| Mar | 0 | 0 | 0 |
| Apr | 1 | 1 | 7 |
| May | 0 | 0 | 0 |
| Jun | 0 | 0 | 0 |
| Jul | 0 | 0 | 0 |
| Aug | 0 | 0 | 0 |
| Sep | 0 | 0 | 0 |
| Oct | 0 | 0 | 0 |
| Nov | 0 | 0 | 0 |
| Dec | 0 | 1 | 7 |
| | | (Thousand) | (RMB Thousand) |
| RMB | | | |
| 2015 | 1 | 76 | 320 |
| 2016 | 1 | 214 | 699 |
| 2017 | 1 | 662 | 2,077 |
| 2018 | 1 | 227 | 758 |
| 2019 | 1 | 116 | 437 |
| 2019 Jan | 1 | 295 | 971 |
| Feb | 3 | 529 | 1,841 |
| Mar | 1 | 41 | 166 |
| Apr | 2 | 39 | 243 |
| May | 1 | 88 | 479 |
| Jun | 1 | 67 | 319 |
| Jul | 1 | 148 | 512 |
| Aug | 1 | 86 | 324 |
| Sep | 1 | 24 | 104 |
| Oct | 1 | 35 | 119 |
| Nov | 1 | 47 | 168 |
| Dec | 1 | 59 | 250 |

Average daily settlement efficiency of CNS stock positions

| Year/month | S day | Settlement Efficiency (%) | S + 1 day |
|------------|--------|---------------------------|-----------|
| HKD | | | |
| 2015 | 99.92 | | 99.99 |
| 2016 | 99.91 | | 99.99 |
| 2017 | 99.91 | | 99.99 |
| 2018 | 99.90 | | 99.99 |
| 2019 | 99.92 | | 99.99 |
| 2019 Jan | 99.94 | | 99.99 |
| 2019 Feb | 99.93 | | 99.99 |
| 2019 Mar | 99.88 | | 99.99 |
| 2019 Apr | 99.93 | | 99.99 |
| 2019 May | 99.92 | | 99.99 |
| 2019 Jun | 99.92 | | 99.99 |
| 2019 Jul | 99.91 | | 99.99 |
| 2019 Aug | 99.92 | | 99.99 |
| 2019 Sep | 99.91 | | 99.99 |
| 2019 Oct | 99.94 | | 99.99 |
| 2019 Nov | 99.92 | | 99.99 |
| 2019 Dec | 99.93 | | 99.99 |
| USD | | | |
| 2015 | 98.57 | | 99.23 |
| 2016 | 99.54 | | 100.00 |
| 2017 | 99.38 | | 99.64 |
| 2018 | 98.36 | | 99.21 |
| 2019 | 98.33 | | 99.29 |
| 2019 Jan | 96.98 | | 98.62 |
| 2019 Feb | 97.12 | | 98.10 |
| 2019 Mar | 100.00 | | 100.00 |
| 2019 Apr | 94.48 | | 95.82 |
| 2019 May | 99.72 | | 100.00 |
| 2019 Jun | 100.00 | | 100.00 |
| 2019 Jul | 97.95 | | 99.55 |
| 2019 Aug | 99.44 | | 100.00 |
| 2019 Sep | 97.26 | | 100.00 |
| 2019 Oct | 99.54 | | 100.00 |
| 2019 Nov | 97.66 | | 98.94 |
| 2019 Dec | 99.40 | | 100.00 |
| RMB | | | |
| 2015 | 99.33 | | 99.89 |
| 2016 | 99.73 | | 99.94 |
| 2017 | 99.78 | | 99.95 |
| 2018 | 98.84 | | 99.82 |
| 2019 | 91.03 | | 91.29 |
| 2019 Jan | 94.49 | | 95.05 |
| 2019 Feb | 99.39 | | 99.63 |
| 2019 Mar | 99.36 | | 99.71 |
| 2019 Apr | 98.61 | | 99.07 |
| 2019 May | 99.69 | | 100.00 |
| 2019 Jun | 99.88 | | 99.94 |
| 2019 Jul | 99.77 | | 99.95 |
| 2019 Aug | 99.95 | | 100.00 |
| 2019 Sep | 99.87 | | 100.00 |
| 2019 Oct | 99.89 | | 100.00 |
| 2019 Nov | 99.29 | | 99.94 |
| 2019 Dec | 99.83 | | 100.00 |

Average daily netting efficiency of CNS trades – in stock positions and share quantity

| Year/month | No. of CNS stock positions | Netting efficiency (%) | No. of shares due for settlement (mil) | Netting efficiency (%) |
|------------|----------------------------|------------------------|----------------------------------------|------------------------|
| HKD | | | | |
| 2015 | 88,733 | 96.55 | 32,503 | 92.35 |
| 2016 | 69,913 | 96.55 | 25,185 | 92.94 |
| 2017 | 83,953 | 96.42 | 30,220 | 91.37 |
| 2018 | 89,967 | 97.01 | 30,152 | 93.15 |
| 2019 | 79,752 | 97.07 | 25,436 | 94.54 |
| 2019 Jan | 83,071 | 97.17 | 27,329 | 95.80 |
| Feb | 91,203 | 97.16 | 29,822 | 95.80 |
| Mar | 95,229 | 97.07 | 33,818 | 94.82 |
| Apr | 90,826 | 97.09 | 29,708 | 94.29 |
| May | 82,011 | 97.33 | 27,097 | 94.87 |
| Jun | 75,989 | 97.12 | 25,152 | 94.38 |
| Jul | 73,119 | 96.86 | 22,534 | 95.10 |
| Aug | 74,963 | 97.34 | 23,512 | 93.94 |
| Sep | 72,429 | 97.09 | 22,153 | 93.76 |
| Oct | 71,752 | 97.00 | 20,276 | 95.06 |
| Nov | 75,050 | 97.00 | 22,537 | 93.76 |
| Dec | 74,390 | 96.68 | 22,515 | 93.00 |
| | | (%) | (Thousand) | (%) |
| USD | | | | |
| 2015 | 8 | 52.34 | 24 | 7.07 |
| 2016 | 9 | 49.85 | 59 | 16.44 |
| 2017 | 10 | 57.61 | 167 | 26.82 |
| 2018 | 15 | 62.66 | 144 | 15.70 |
| 2019 | 20 | 71.55 | 193 | 23.49 |
| 2019 Jan | 14 | 68.46 | 165 | 33.33 |
| Feb | 14 | 52.53 | 53 | 4.42 |
| Mar | 15 | 66.09 | 43 | 24.24 |
| Apr | 19 | 59.72 | 164 | 23.52 |
| May | 17 | 59.44 | 196 | 17.48 |
| Jun | 15 | 56.70 | 109 | 7.70 |
| Jul | 17 | 66.60 | 211 | 10.56 |
| Aug | 27 | 84.95 | 202 | 40.51 |
| Sep | 25 | 92.18 | 467 | 19.32 |
| Oct | 27 | 83.31 | 172 | 35.71 |
| Nov | 28 | 85.86 | 317 | 25.57 |
| Dec | 27 | 76.59 | 182 | 34.24 |
| | | (%) | (Thousand) | (%) |
| RMB | | | | |
| 2015 | 149 | 92.04 | 11,369 | 46.83 |
| 2016 | 109 | 90.46 | 7,305 | 33.47 |
| 2017 | 111 | 88.53 | 7,200 | 25.68 |
| 2018 | 122 | 88.02 | 7,091 | 32.70 |
| 2019 | 107 | 88.99 | 6,360 | 33.46 |
| 2019 Jan | 100 | 86.97 | 5,598 | 32.34 |
| Feb | 115 | 88.79 | 8,334 | 32.09 |
| Mar | 121 | 90.67 | 7,025 | 40.95 |
| Apr | 120 | 88.42 | 6,032 | 36.04 |
| May | 109 | 89.66 | 8,221 | 39.36 |
| Jun | 102 | 89.96 | 7,132 | 34.69 |
| Jul | 98 | 89.81 | 5,610 | 29.65 |
| Aug | 123 | 88.91 | 7,504 | 39.22 |
| Sep | 102 | 88.87 | 5,128 | 29.51 |
| Oct | 98 | 88.61 | 6,333 | 26.82 |
| Nov | 103 | 88.22 | 4,279 | 32.16 |
| Dec | 93 | 89.06 | 5,513 | 28.53 |

Average daily netting efficiency of CNS Trades – in money obligations

| Year/month | Money obligations arising from novated contracts (HK\$mil) | Money obligations after netting (HK\$mil) | Funds netting efficiency (%) |
|------------|------------------------------------------------------------|-------------------------------------------|------------------------------|
| HKD | | | |
| 2015 | 195,299 | 17,484 | 91.05 |
| 2016 | 125,157 | 12,762 | 89.80 |
| 2017 | 164,752 | 14,352 | 91.29 |
| 2018 | 203,196 | 18,330 | 90.98 |
| 2019 | 163,886 | 14,714 | 91.02 |
| 2019 Jan | 165,216 | 14,050 | 91.50 |
| 2019 Feb | 210,438 | 15,414 | 92.68 |
| 2019 Mar | 206,932 | 16,615 | 91.97 |
| 2019 Apr | 192,927 | 15,621 | 91.90 |
| 2019 May | 181,220 | 16,351 | 90.98 |
| 2019 Jun | 153,096 | 14,169 | 90.74 |
| 2019 Jul | 127,862 | 10,711 | 91.62 |
| 2019 Aug | 161,731 | 18,178 | 88.76 |
| 2019 Sep | 139,530 | 12,396 | 91.12 |
| 2019 Oct | 137,869 | 12,298 | 91.08 |
| 2019 Nov | 146,985 | 14,673 | 90.02 |
| 2019 Dec | 156,326 | 16,618 | 89.37 |
| | (US\$ Thousand) | (US\$ Thousand) | (%) |
| USD | | | |
| 2015 | 796 | 721 | 9.45 |
| 2016 | 477 | 368 | 22.94 |
| 2017 | 4,611 | 819 | 82.25 |
| 2018 | 731 | 511 | 30.00 |
| 2019 | 2,268 | 1,477 | 34.87 |
| 2019 Jan | 2,989 | 919 | 69.24 |
| 2019 Feb | 401 | 280 | 30.22 |
| 2019 Mar | 562 | 398 | 29.21 |
| 2019 Apr | 1,818 | 1,593 | 12.37 |
| 2019 May | 2,209 | 1,959 | 11.32 |
| 2019 Jun | 1,424 | 1,102 | 22.62 |
| 2019 Jul | 2,140 | 1,706 | 20.26 |
| 2019 Aug | 2,638 | 1,578 | 40.19 |
| 2019 Sep | 4,506 | 3,254 | 27.78 |
| 2019 Oct | 2,013 | 1,084 | 46.13 |
| 2019 Nov | 3,352 | 2,099 | 37.37 |
| 2019 Dec | 2,600 | 1,451 | 44.18 |
| | (RMB Thousand) | (RMB Thousand) | (%) |
| RMB | | | |
| 2015 | 290,955 | 119,848 | 58.81 |
| 2016 | 92,092 | 44,420 | 51.77 |
| 2017 | 72,865 | 39,415 | 45.91 |
| 2018 | 88,516 | 41,763 | 52.82 |
| 2019 | 91,808 | 45,064 | 50.92 |
| 2019 Jan | 70,022 | 36,085 | 48.47 |
| 2019 Feb | 106,427 | 44,445 | 58.24 |
| 2019 Mar | 123,562 | 45,519 | 63.16 |
| 2019 Apr | 115,021 | 52,422 | 54.42 |
| 2019 May | 133,271 | 68,262 | 48.78 |
| 2019 Jun | 97,092 | 52,381 | 46.05 |
| 2019 Jul | 77,674 | 44,103 | 43.22 |
| 2019 Aug | 101,505 | 42,535 | 58.10 |
| 2019 Sep | 72,509 | 41,045 | 43.39 |
| 2019 Oct | 74,453 | 39,617 | 46.79 |
| 2019 Nov | 68,293 | 39,123 | 42.71 |
| 2019 Dec | 65,561 | 35,738 | 45.49 |

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

| Year/month | No. of transactions | No. of shares (mil) | Value of transactions (HK\$mil) |
|------------|---------------------|---------------------|---------------------------------|
| HKD | | | |
| 2015 | 1,099 | 3,500 | 7,105 |
| 2016 | 582 | 3,630 | 4,418 |
| 2017 | 830 | 2,898 | 4,952 |
| 2018 | 753 | 2,671 | 5,703 |
| 2019 | 577 | 1,910 | 10,477 |
| 2019 Jan | 536 | 2,096 | 4,888 |
| 2019 Feb | 549 | 1,242 | 3,143 |
| 2019 Mar | 631 | 1,909 | 16,209 |
| 2019 Apr | 686 | 2,452 | 15,213 |
| 2019 May | 505 | 1,601 | 4,192 |
| 2019 Jun | 517 | 2,701 | 8,884 |
| 2019 Jul | 587 | 2,191 | 7,153 |
| 2019 Aug | 569 | 1,615 | 2,525 |
| 2019 Sep | 524 | 1,593 | 4,617 |
| 2019 Oct | 608 | 1,196 | 3,786 |
| 2019 Nov | 569 | 2,523 | 47,995 |
| 2019 Dec | 648 | 1,788 | 6,646 |
| | | (Thousand) | (US\$ Thousand) |
| USD | | | |
| 2015 | 0 | 1 | 1 |
| 2016 | 0 | 1 | 1 |
| 2017 | 0 | 1 | 1 |
| 2018 | 0 | 5 | 3 |
| 2019 | 0 | 7 | 6 |
| 2019 Jan | 0 | 1 | 0 |
| 2019 Feb | 0 | 0 | 0 |
| 2019 Mar | 0 | 0 | 0 |
| 2019 Apr | 0 | 2 | 1 |
| 2019 May | 0 | 0 | 0 |
| 2019 Jun | 0 | 0 | 0 |
| 2019 Jul | 0 | 5 | 3 |
| 2019 Aug | 0 | 0 | 0 |
| 2019 Sep | 0 | 0 | 0 |
| 2019 Oct | 0 | 0 | 0 |
| 2019 Nov | 0 | 1 | 1 |
| 2019 Dec | 0 | 0 | 0 |
| | | (Thousand) | (RMB Thousand) |
| RMB | | | |
| 2015 | 2 | 106 | 352 |
| 2016 | 2 | 1,641 | 5,446 |
| 2017 | 2 | 474 | 1,462 |
| 2018 | 2 | 769 | 2,513 |
| 2019 | 2 | 1,490 | 4,956 |
| 2019 Jan | 1 | 45 | 149 |
| 2019 Feb | 2 | 46 | 154 |
| 2019 Mar | 2 | 98 | 331 |
| 2019 Apr | 2 | 18,169 | 60,503 |
| 2019 May | 2 | 260 | 859 |
| 2019 Jun | 2 | 32 | 107 |
| 2019 Jul | 1 | 63 | 214 |
| 2019 Aug | 2 | 86 | 280 |
| 2019 Sep | 2 | 239 | 767 |
| 2019 Oct | 2 | 42 | 136 |
| 2019 Nov | 1 | 40 | 131 |
| 2019 Dec | 2 | 64 | 210 |

Securities under CCASS custody (balances at the end of each month)

| Year/month | No. of shares (mil) | % of issued shares of admitted securities | Market value (HK\$mil) | % of market capitalisation of admitted securities |
|-----------------------------------------|------------------------|-------------------------------------------------|---------------------------|---------------------------------------------------------|
| All currencies in HKD equivalent | | | | |
| 2015 | 5,467,209 | 74.50 | 15,071,152 | 56.58 |
| 2016 | 5,878,886 | 74.27 | 15,381,540 | 56.60 |
| 2017 | 6,404,153 | 75.30 | 21,768,651 | 58.70 |
| 2018 | 6,644,422 | 76.15 | 18,815,108 | 58.78 |
| 2019 | 6,500,575 | 75.41 | 22,828,219 | 56.79 |
| 2019 Jan | 6,622,888 | 75.97 | 20,217,356 | 58.67 |
| Feb | 6,665,755 | 75.55 | 20,967,286 | 59.17 |
| Mar | 6,712,975 | 75.97 | 21,734,352 | 60.09 |
| Apr | 6,725,233 | 75.90 | 22,217,592 | 60.39 |
| May | 6,652,095 | 74.85 | 20,045,036 | 60.24 |
| Jun | 6,634,144 | 75.28 | 21,146,811 | 60.29 |
| Jul | 6,611,921 | 75.31 | 20,863,523 | 60.77 |
| Aug | 6,594,980 | 75.42 | 19,627,298 | 60.89 |
| Sep | 6,578,056 | 75.40 | 19,847,283 | 60.46 |
| Oct | 6,516,470 | 75.00 | 20,574,705 | 60.56 |
| Nov | 6,488,431 | 75.13 | 21,288,180 | 56.45 |
| Dec | 6,500,575 | 75.41 | 22,828,219 | 56.79 |

Footnote: No. of shares and market value of securities in non-HKD currency have been included.

| | (Thousand) | | (US\$ Thousand) | |
|------------|------------|-------|-----------------|-------|
| USD | | | | |
| 2015 | 33,480 | 95.67 | 2,991,506 | 99.91 |
| 2016 | 41,389 | 93.10 | 3,752,899 | 99.92 |
| 2017 | 171,548 | 98.39 | 4,636,148 | 99.94 |
| 2018 | 225,176 | 98.25 | 4,741,879 | 99.94 |
| 2019 | 90,425 | 95.90 | 4,475,349 | 99.95 |
| 2019 Jan | 224,746 | 98.25 | 5,044,739 | 99.94 |
| Feb | 225,436 | 98.25 | 5,152,233 | 99.94 |
| Mar | 193,896 | 97.98 | 4,801,288 | 99.94 |
| Apr | 108,279 | 96.46 | 4,221,957 | 99.93 |
| May | 101,000 | 96.21 | 4,097,641 | 99.93 |
| Jun | 86,992 | 95.63 | 4,262,420 | 99.93 |
| Jul | 90,915 | 95.91 | 4,425,376 | 99.94 |
| Aug | 94,673 | 96.06 | 4,367,034 | 99.94 |
| Sep | 100,253 | 96.28 | 4,421,092 | 99.97 |
| Oct | 94,393 | 96.05 | 4,398,478 | 99.97 |
| Nov | 95,482 | 96.11 | 4,394,587 | 99.94 |
| Dec | 90,425 | 95.90 | 4,475,349 | 99.95 |

| | (Thousand) | | (RMB Thousand) | |
|------------|------------|-------|----------------|------|
| RMB | | | | |
| 2015 | 3,320,031 | 26.48 | 15,386,156 | 6.82 |
| 2016 | 3,476,283 | 27.42 | 13,739,195 | 5.74 |
| 2017 | 3,651,177 | 25.13 | 15,395,451 | 6.65 |
| 2018 | 3,902,416 | 24.24 | 15,417,558 | 6.37 |
| 2019 | 4,342,884 | 29.07 | 18,323,210 | 7.95 |
| 2019 Jan | 3,899,304 | 23.78 | 16,176,679 | 6.27 |
| Feb | 3,905,480 | 23.84 | 16,671,893 | 6.31 |
| Mar | 3,900,680 | 23.81 | 16,452,531 | 6.17 |
| Apr | 4,242,902 | 25.90 | 17,781,408 | 6.56 |
| May | 4,280,171 | 26.60 | 17,127,483 | 6.83 |
| Jun | 4,276,961 | 27.73 | 17,982,022 | 7.26 |
| Jul | 4,287,717 | 27.89 | 18,270,882 | 7.50 |
| Aug | 4,278,836 | 28.01 | 17,216,214 | 7.26 |
| Sep | 4,327,691 | 28.33 | 17,401,126 | 7.33 |
| Oct | 4,322,975 | 28.50 | 17,707,305 | 7.40 |
| Nov | 4,340,636 | 28.70 | 17,902,869 | 7.58 |
| Dec | 4,342,884 | 29.07 | 18,323,210 | 7.95 |

Cleared Trade Notional Values for OTC Clearing Hong Kong Limited

| Year/month | Cumulative Cleared Notional Values (in USD)* | | |
|------------|----------------------------------------------|------------------------|--------------------------|
| | Rates Derivatives Contracts | | FX Derivatives Contracts |
| | Interest Rate Swaps | Cross Currency Swaps # | |
| 2015 | 1,813,600,000 | - | 66,000,000 |
| 2016 | 5,373,600,000 | 756,000,000 | 66,000,000 |
| 2017 | 31,200,800,000 | 13,736,800,000 | 66,000,000 |
| 2018 | 105,263,600,000 | 59,297,900,000 | 106,000,000 |
| 2019 | 236,728,500,000 | 125,585,500,000 | 148,100,000 |
| 2019 Jan | 118,939,300,000 | 65,022,100,000 | 126,000,000 |
| Feb | 127,353,700,000 | 69,647,300,000 | 126,000,000 |
| Mar | 139,588,800,000 | 76,413,300,000 | 128,000,000 |
| Apr | 151,009,200,000 | 80,054,700,000 | 128,000,000 |
| May | 160,218,000,000 | 84,403,500,000 | 128,000,000 |
| Jun | 177,642,200,000 | 92,034,500,000 | 128,000,000 |
| Jul | 189,061,300,000 | 97,582,100,000 | 128,000,000 |
| Aug | 197,359,700,000 | 103,195,300,000 | 128,000,000 |
| Sep | 205,560,200,000 | 112,276,800,000 | 128,000,000 |
| Oct | 215,920,600,000 | 120,008,500,000 | 148,000,000 |
| Nov | 228,361,200,000 | 123,701,300,000 | 148,000,000 |
| Dec | 236,728,500,000 | 125,585,500,000 | 148,100,000 |

* Rounded to nearest USD100,000

Clearing service for Cross Currency Swap commenced on 15 August 2016

Distribution of market participants' market shares, 2015-2019

| Year/Month | Category A (Position 1 to 14) | | Category B (Position 15 to 65) | | Category C (Position > 65) | | Total turnover (HK\$bil) # | Average daily turnover (HK\$mil) # | |
|------------|----------------------------------|-------------|-----------------------------------|-------------|-------------------------------|-------------|----------------------------------|------------------------------------------|------------|
| | Range (%) | Total (%) | Range (%) | Total (%) | Range (%) | Total (%) | | | |
| 2015 | 7.35 – 2.08 | 52.31 | 1.89 – 0.25 | 35.30 | 0.25 – 0.00 | 12.39 | 25,936.38 | 105,005.59 | |
| 2016 | 7.97 – 2.15 | 56.55 | 1.91 – 0.25 | 32.94 | 0.24 – 0.00 | 10.51 | 16,108.20 | 65,747.76 | |
| 2017 | 6.41 – 2.30 | 54.64 | 1.93 – 0.25 | 34.88 | 0.24 – 0.00 | 10.48 | 20,812.12 | 84,602.11 | |
| 2018 | 6.13 – 2.22 | 55.65 | 2.00 – 0.26 | 35.67 | 0.25 – 0.00 | 8.68 | 25,341.11 | 103,012.64 | |
| 2019 | 6.89 – 2.54 | 57.97 | 2.48 – 0.24 | 34.04 | 0.22 – 0.00 | 7.99 | 20,525.12 | 83,435.45 | |
| 2019 | Jan | 7.46 – 2.49 | 55.63 | 2.33 – 0.22 | 36.96 | 0.22 – 0.00 | 7.41 | 1,880.56 | 85,479.79 |
| | Feb | 6.54 – 2.38 | 54.92 | 2.22 – 0.23 | 36.82 | 0.23 – 0.00 | 8.26 | 1,720.05 | 101,179.14 |
| | Mar | 6.91 – 2.52 | 55.95 | 2.40 – 0.25 | 36.07 | 0.22 – 0.00 | 7.98 | 2,215.00 | 105,476.01 |
| | Apr | 6.47 – 2.38 | 57.06 | 2.24 – 0.24 | 34.88 | 0.24 – 0.00 | 8.06 | 1,895.01 | 99,737.16 |
| | May | 7.31 – 2.63 | 59.06 | 2.09 – 0.21 | 33.75 | 0.21 – 0.00 | 7.19 | 1,980.75 | 94,321.59 |
| | Jun | 7.53 – 2.79 | 59.42 | 2.53 – 0.21 | 33.34 | 0.20 – 0.00 | 7.24 | 1,517.17 | 79,851.00 |
| | Jul | 7.17 – 2.46 | 57.54 | 2.38 – 0.22 | 34.49 | 0.21 – 0.00 | 7.97 | 1,451.61 | 65,982.35 |
| | Aug | 6.61 – 2.41 | 61.23 | 2.27 – 0.19 | 32.00 | 0.18 – 0.00 | 6.77 | 1,814.79 | 82,490.46 |
| | Sep | 6.57 – 2.55 | 60.59 | 2.53 – 0.18 | 32.44 | 0.17 – 0.00 | 6.97 | 1,528.47 | 72,784.20 |
| | Oct | 6.95 – 2.67 | 61.01 | 2.29 – 0.19 | 32.23 | 0.18 – 0.00 | 6.76 | 1,516.05 | 72,192.70 |
| | Nov | 7.08 – 2.75 | 60.42 | 2.06 – 0.18 | 32.27 | 0.18 – 0.00 | 7.31 | 1,579.30 | 75,204.59 |
| | Dec | 6.45 – 2.56 | 58.35 | 2.17 – 0.20 | 33.92 | 0.19 – 0.00 | 7.73 | 1,426.36 | 71,317.77 |

Includes securities trading and value of shares when stock options were exercised

Note: The report includes all Exchange Participant firms that had paid Transaction Levy, Investor Compensation Levy (if applicable) and Trading Fee to the Exchange.

Statistics on Exchange participants

Stock Exchange

| Year/quarter | No. of trading right holders (no. of trading right held) | Exchange participants – trading | Exchange participants – non trading | Non-Exchange participants | |
|--------------|----------------------------------------------------------|---------------------------------|-------------------------------------|---------------------------|---------|
| 2015 | 566 (975) | 515 (915) | 35 (44) | 16 (16) | |
| 2016 | 608 (1011) | 556 (950) | 36 (45) | 16 (16) | |
| 2017 | 669 (1066) | 622 (1013) | 32 (38) | 15 (15) | |
| 2018 | 688 (1084) | 640 (1028) | 33 (41) | 15 (15) | |
| 2019 | 719 (1110) | 658 (1041) | 46 (54) | 15 (15) | |
| 2019 | Q1 | 695 (1090) | 646 (1034) | 34 (41) | 15 (15) |
| | Q2 | 706 (1101) | 657 (1045) | 34 (41) | 15 (15) |
| | Q3 | 712 (1107) | 655 (1040) | 42 (52) | 15 (15) |
| | Q4 | 719 (1110) | 658 (1041) | 46 (54) | 15 (15) |

Futures Exchange

| Year/quarter | No. of trading right holders (no. of trading right held) | Exchange participants – trading | Exchange participants – non trading | Non-Exchange participants | |
|--------------|----------------------------------------------------------|---------------------------------|-------------------------------------|---------------------------|---------|
| 2015 | 232 (256) | 179 (201) | – | 53 (55) | |
| 2016 | 233 (256) | 180 (201) | – | 53 (55) | |
| 2017 | 238 (261) | 186 (207) | – | 52 (54) | |
| 2018 | 248 (270) | 194 (214) | – | 54 (56) | |
| 2019 | 252 (274) | 195 (215) | – | 57 (59) | |
| 2019 | Q1 | 250 (272) | 195 (215) | – | 55 (57) |
| | Q2 | 250 (272) | 194 (214) | – | 56 (58) |
| | Q3 | 249 (271) | 193 (213) | – | 56 (58) |
| | Q4 | 252 (274) | 195 (215) | – | 57 (59) |

Notes:

- (1) The figures in brackets are the number of trading rights held
- (2) An Exchange Participant is an individual or corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

HKCC Participants

| Year/quarter | Clearing Participants | General Clearing Participants |
|--------------|-----------------------|-------------------------------|
| 2015 | 151 | 9 |
| 2016 | 152 | 9 |
| 2017 | 155 | 9 |
| 2018 | 162 | 9 |
| 2019 | 160 | 9 |
| 2019 Q1 | 163 | 9 |
| 2019 Q2 | 160 | 9 |
| 2019 Q3 | 159 | 9 |
| 2019 Q4 | 160 | 9 |

SEOCH Participants

| Year/quarter | Direct Clearing Participants | General Clearing Participants |
|--------------|------------------------------|-------------------------------|
| 2015 | 69 | 9 |
| 2016 | 65 | 9 |
| 2017 | 62 | 9 |
| 2018 | 63 | 9 |
| 2019 | 66 | 9 |
| 2019 Q1 | 64 | 9 |
| 2019 Q2 | 62 | 9 |
| 2019 Q3 | 64 | 9 |
| 2019 Q4 | 66 | 9 |

HKSCC Participants

| Year/quarter | Direct Clearing Participant | General Clearing Participant | Non-broker Participants * |
|--------------|-----------------------------|------------------------------|---------------------------|
| 2015 | 492 | 10 | 43 |
| 2016 | 533 | 9 | 42 |
| 2017 | 596 | 9 | 44 |
| 2018 | 616 | 9 | 44 |
| 2019 | 638 | 9 | 42 |
| 2019 Q1 | 624 | 9 | 44 |
| 2019 Q2 | 633 | 9 | 44 |
| 2019 Q3 | 638 | 9 | 43 |
| 2019 Q4 | 638 | 9 | 42 |

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

OTC Clear Clearing Members Statistics

| Year/quarter | Clearing Member |
|--------------|-----------------|
| 2015 | 10 |
| 2016 | 13 |
| 2017 | 20 |
| 2018 | 22 |
| 2019 | 22 |
| 2019 Q1 | 22 |
| 2019 Q2 | 22 |
| 2019 Q3 | 22 |
| 2019 Q4 | 22 |

Hong Kong Securities and Derivatives Markets Historical Events

- 1891 “The Association of Stockbrokers in Hong Kong”, the first formal stock exchange in Hong Kong was formed.
- 1914 The name of the Association of Stockbrokers in Hong Kong was changed to “The Hong Kong Stock Exchange”.
- 1921 A second stock exchange, “The Hong Kong Stockbrokers’ Association”, was incorporated.
- 1947 The Hong Kong Stock Exchange and the Hong Kong Stockbrokers’ Association were merged to form a joint exchange under the name of “The Hong Kong Stock Exchange Ltd”.
- 1969 Dec The Far East Exchange Ltd commenced business on 17 December.
- 1970 Feb The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
- 1971 Mar The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
- 1972 Jan The Kowloon Stock Exchange Ltd commenced business on 5 January.
- 1973 Jan The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
- A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
- Feb The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
- The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
- 1974 Feb The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
- Apr The Federation of Share Registrars was established.
- Jul The Hong Kong Federation of Stock Exchange was established.
- Aug Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.

- Oct Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.
- 1975 Aug The Securities Commission approved the Code on Takeovers and Mergers.
- Dec The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares-other than on a pro-rata basis to existing shareholders-without the prior approval of shareholders.
- 1976 The Commodities Trading Ordinance was passed by the Legislative Council.
- Aug Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
- Dec On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
- 1977 May Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
- On 9 May, The HKCE commenced the trading of its first commodity product — cotton futures.
- Nov On 15 November, The HKCE commenced trading of sugar futures.
- Dec An Insider Dealing Tribunal was set up.
- 1978 Mar Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
- 1979 Aug A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
- Nov The HKCE commenced trading of soybean futures.
- 1980 Jul The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
- Aug The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
- On 19 August, The HKCE commenced trading of gold futures.
- 1981 Jan The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
- Jul Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
- Oct The first committee members of the SEHK were elected.

- 1982 A Financial Futures Working Party was formed to study the introduction of financial futures.
- 1983 Mar The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.
- 1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
- 1985 May On 7 May, the HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).
- Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.
- The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the SEHK was removed.
- 1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
- The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
- The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
- The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
- Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
- Apr The SEHK commenced trading on 2 April.
- May On 6 May, HKFE commenced the trading of its first financial product — Hang Seng Index (HSI) Futures.
- Sep The SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
- Oct The grand opening of the SEHK took place on 6 October.
- 1987 Apr On 8 April, the SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.

- Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.
- The General Committee of the SEHK unanimously resolved that trading on the SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.
- The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.
- The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK\$4 billion of which HK\$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK\$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.
- Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK\$500,000 to HK\$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.
- 1988 Jan The new Management Sub-committee of the SEHK was formed on 2 January to take up the duties of the General Committee.
- Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.
- Jul An amendment to the Articles of Association of the SEHK, which allowed the SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.
- Sep The SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.
- Oct The first 22-member Council of the SEHK was formed on 18 October.
- Nov The first Nominating Committee of the SEHK was formed on 7 November.
- 1989 The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, the HKFE Clearing Corporation Limited (HKCC).
- Feb The All Ordinaries Index was launched by the SEHK on 1 February.
- Mar The SEHK announced the formation of the HKSCC, a company limited by guarantee.
- Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.

- May The new Securities and Futures Commission (SFC) was formally established on 1 May.
- Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
- Oct The Council of the SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
- Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.
- 1990 HKFE introduced its first interest rate futures — futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).
- Feb The minimum brokerage for each securities transaction traded on the SEHK was raised from HK\$25 to HK\$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
- Apr On 2 April, the Council of the SEHK resolved that Council members on the Board of the HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of the HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
- Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
- 1991 HKFE introduced three futures based on sub-indices of the HSI.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
- On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
- Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.
- Nov The Council of the SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total-18 broker members, 11 lay members and the chief executives of the SEHK and the HKSCC.
- On 25 November, the SFC and the SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the SEHK effective 31 December.
- 1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.

- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.
- May On 11 May, changes made to the Listing Rules of the SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.
- Jun The settlement period for members of the SEHK was changed from T+1 to T+2 on 8 June.
- On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.
- Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.
- The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK\$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK\$2 million.
- On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.
- On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.
- 1993 Mar On 5 March, HKFE introduced its first options product — Hang Seng Index Options.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).
- Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the SEHK signed a Memorandum of Regulatory Co-operation in Beijing.
- Jul Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
- Aug On 16 August, the Special Levy payable on every transaction recorded on the SEHK and the HKFE was suspended.
- Nov On 1 November, the SEHK launched the Automatic Order Matching and Execution System (AMS).
- 1994 Jan On 3 January, the SEHK launched a pilot scheme for regulated short-selling of shares.
- Mar Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.
- Jul With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.

- 1995 Mar On 31 March, HKFE introduced its first single-stock product — stock futures on two stocks.
- Sep On 8 September, the SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
- Nov On 3 November, HKFE introduced its first currency futures — Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.
- 1996 Jan On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.
- 1997 Sep HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.
- Nov On 28 November, the Hong Kong Securities Institute was incorporated.
- 1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
- May On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
- 1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
- Sep HKFE suspended the trading of gold futures, the last exchange-traded commodity product.
- Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
- On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
- 2000 Mar On 6 March, the SEHK, the HKFE and the HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEX). Mr Charles Lee was the first Chairman of HKEX. Mr Kwong Ki-chi was appointed the Chief Executive of HKEX.
- On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
- Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
- May On 31 May, the SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.

- Jun On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system-Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.
- On 27 June, shares of the HKEX were listed by introduction on the SEHK with a stock code of "388".
- Sep On 15 September, HKEX announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.
- Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEX's iIPO.
- On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.
- 2001 Feb On 23 February, HKEX introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
- Aug On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.
- Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).
- On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.
- 2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from \$1.0 to \$0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from \$0.5 to \$0.1 per contract per side.
- On 25 March, HKEX introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.
- May On 16 May, HKEX introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.
- Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.
- Sep On 16 September, HKMA announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.
- Dec On 9 December, HKEX introduced the second phase of CCASS/3.

- 2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.
- Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.
- May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEX.
- On 26 May, HKEX introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.
- Nov On 17 November, HKEX opened its first Mainland representative office in Beijing.
- Dec On 8 December, HKEX introduced H-shares Index futures.
- 2004 Apr On 6 April, HKEX introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEX markets.
- Jun On 14 June, HKEX introduced H-shares Index options.
- 2005 May On 23 May, HKEX introduced FTSE/Xinhua China 25 Index futures and options.
- Oct On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds \$1.4 billion, and subsequently reinstated when the NAV of the ICF falls below \$1 billion.
- Nov On 2 November, legislation was passed to abolish the estate duty.
- On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on the SEHK.
- 2006 Jan On 16 January, renovated Trading Hall opened for trading.
- Apr On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEX.
- Jun On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
- On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on the SEHK.
- Jul On 3 July, HKEX introduced Bank of China Ltd Futures and Options.

- On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
- Oct On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US\$19.1 billion) in world stock market history and the funds raised by H shares was also an all-time high.
- Dec On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.
- 2007 Jan On 1 January, the Securities & Futures Commission's (SFC's) Guidelines for Sponsors and Compliance Advisers became effective, marking the launch of a new sponsor regulatory regime.
- Mar On 12 March, the first batch of 19 derivative warrants on the iShares FTSE/Xinhua A50 China tracker were listed on HKEX. This was the first time that derivative warrants were issued on Mainland A-share assets.
- Apr On 10 April, the SFC entered into a Memorandum of Understanding (MOU) with the China Banking Regulatory Commission (CBRC) for cooperation and information sharing with respect to Hong Kong licensed intermediaries who provide services to Mainland commercial banks conducting overseas wealth management business on behalf of their Mainland clients. This served as regulatory support for the Mainland Qualified Domestic Institutional Investors (QDII) business (banking side) in Hong Kong.
- Jun On 25 June, Phase 1 of the HKEX Electronic Disclosure Project commenced and lasted for 6 months. Under this Phase, it is optional for a Main Board-listed issuer to make a paid newspaper announcement as long as it publishes a notification in newspapers about the full announcement posted on its own website and the HKEX website. Those without their own websites must publish the full announcements in newspapers as well as on the HKEX website.
- Dec On 11 December, the Hong Kong Monetary Authority (HKMA) launched the electronic trading platform (ETP) for Exchange Fund Bills and Notes (EFBNs). The ETP provides greater flexibility to facilitate trading in EFBNs.
- On 25 December, Phase 2 of the HKEX Electronic Disclosure Project commenced. Under Phase 2, Main Board issuers publishing announcements on their own websites are no longer required to publish notifications in newspapers. After 6 months, all issuers must have their own websites on which they must publish their announcements.
- 2008 Feb On 4 February, the HKEXnews.com.hk website was launched. HKEXnews is a dedicated website which mainly disseminates issuer information generated directly by the listed issuers and/or their major shareholders and directors as well as regulatory information generated by HKEX about listed companies.
- Mar On 31 March, HKEX launched the Mini-Hang Seng China Enterprises Index (Mini H-shares Index) futures.
- Apr On 7 April, HKEX introduced 5-digit stock codes in its securities market.

- May On 26 May, the closing auction session for HKEX's securities market commenced. The closing prices of securities are determined by an auction mechanism similar to that already in place in the pre-opening session. The trading hours of the securities market on a normal trading day as well as a half-day trading day are extended by 10 minutes.
- Jul On 1 July, the revised Listing Rules of the Growth Enterprise Market (GEM) and the Main Board of HKEX relating to the development of GEM became effective. GEM was re-positioned as a second board and a stepping stone towards the Main Board. To encourage listing transfers from GEM to the Main Board, the transfer process was streamlined and the initial listing fees for such transfers were halved.
- On 31 July, the first gold Exchange Traded Fund (ETF) commenced trading on the Main Board of HKEX.
- Oct On 20 October, HKEX reintroduced trading in gold futures on its Hong Kong Futures Exchange (HKFE) which had formerly listed the product in the 1990s.
- 2009 Mar On 23 March, in light of the price volatility during the Closing Auction Session (CAS) since its introduction on 26 May 2008, the CAS in the securities market was suspended to maintain public confidence in the orderliness, fairness and transparency of the market.
- Apr On 1 April, the Listing Rules amendments on the revised blackout period for share trading by listed company directors came into effect. The blackout period before the announcement of annual results was extended to 60 days instead of the originally proposed 4 months. The blackout period before interim results announcement was not extended.
- Nov On 18 November, the first 4 A-share industry sector ETFs in Hong Kong were listed on the SEHK.
- Dec On 18 December, HKEX published the consultation conclusions on accelerating rights issues and open offers. The minimum notice period for book closure for rights issues would be reduced to six business days and that for open offers would remain unchanged.
- On 29 December, HKEX published its consultation conclusions on CER Futures in which HKEX considers that there is no imminent need for a CER futures market in Hong Kong.
- 2010 Apr On 26 April, Hong Kong Securities Clearing Co Ltd (HKSCC) admitted over-the-counter (OTC) structured products authorised by the SFC into the Central Clearing and Settlement System (CCASS) of HKEX's securities market, thereby broadening asset classes in its eligible securities.
- Jun On 3 June, new Listing Rules for mineral companies came into effect to ensure the provision of material, relevant and reliable information about these companies to investors, and to align with global standards.
- Jul On 19 July, the People's Bank of China (PBOC) and the HKMA signed a supplementary Memorandum of Co-operation on the expansion of the RMB trade settlement scheme. On the same day, the PBOC and Bank of China (Hong Kong) Ltd (the RMB clearing bank in Hong Kong) signed a revised settlement agreement which allows any corporation, including financial institutions, in Hong Kong to open RMB bank accounts. These agreements are major steps towards the launch of RMB investment products in Hong Kong.

- Oct On 1 October, a 25% reduction in the SFC's levy payable in respect of trading in securities, futures and options contracts took effect.
- On 22 October, Asian Development Bank's (ADB) RMB1.2 billion 10-year bond was listed as a selectively marketed security on the SEHK. It was the first RMB-denominated bond listed and traded in RMB on the SEHK.
- On 29 October, AIA Group Ltd was listed on the SEHK. Its IPO, raising HK\$159.1 billion, was the largest in Hong Kong's market history and the third largest in the world. On the same day, options on AIA were introduced for trading in the stock options market.
- Dec On 8 December, the Hong Kong depositary receipts (HDRs) of Vale S.A., a Brazilian mining company, was listed on the SEHK as the first HDR listed in Hong Kong.
- On 15 December, amendments to the Listing Rules came into effect for HKEX to adopt a framework for accepting Mainland accounting and auditing standards and Mainland audit firms for Mainland-incorporated companies listed in Hong Kong. The framework also provides reciprocally for the use of Hong Kong financial reporting standards and Hong Kong audit firms by Hong Kong companies listed in the Mainland.
- 2011 Mar On 7 March, HKEX extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.
- Apr On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.
- May On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).
- Jun On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC.
- On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.
- On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorized funds and investment-linked assurance schemes marketed in Hong Kong.
- Jul On 25 July, HKEX implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS) to reduce the overnight credit risks faced by Hong Kong Securities Clearing Co Ltd (HKSCC) and its participants.
- On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK\$10 billion were listed on the SEHK.

- Aug On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included: (i) introducing Mainland exchange-traded funds (ETFs) on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between Hong Kong and the Mainland; and (iii) the RMB Qualified Foreign Institutional Investor (RQFII) scheme.
- Oct On 24 October, HKEX's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.
- On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.
- Nov On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.
- On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.
- Dec On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).
- On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.
- 2012 Feb On 20 February, HKEX launched the HSI Volatility Index (VHSI) futures.
- Mar On 5 March, HKEX further extended the trading hours of the securities market by 30 minutes, with the lunch break shortened to one hour (12:00-13:00). The trading hours of the derivatives market were adjusted accordingly.
- On 30 March, HKEX and four other founding members of the BRICS Exchange Alliance — BM&FBOVESPSA, MICEX-RTS, BSE Ltd and Johannesburg Stock Exchange (JSE) — began cross-listing of benchmark equity index derivatives on each other's trading platforms.
- Jun On 18 June, after market consultation, the Securities and Futures (Short Position Reporting) Rules took effect. The SFC began to publish on a weekly basis the aggregated short positions of specified shares on 7 September.
- Jul On 17 July, the ChinaAMC CSI 300 Index ETF was listed as the first RQFII A-share ETF on the SEHK, the first physical ETF outside Mainland China and the first A-share ETF outside Mainland China to trade in RMB.
- Aug From 1 August, the HKMA allowed banks in Hong Kong to open RMB accounts for non-Hong Kong residents and offer them a full range of RMB services to further promote the development of offshore RMB businesses in Hong Kong.

On 6 August, HKEX extended the coverage of its RMB Equity Trading Support Facility (TSF) beyond RMB-traded shares to equity-related ETFs and real estate investment trusts (REITs) traded in RMB.

Sep On 17 September, HKEX launched RMB currency futures, the first exchange-traded deliverable RMB futures in the world.

Oct On 12 October, the Harvest MSCI China A Index ETF was listed as the first dual-counter (DC) security on the SEHK, tradable both in RMB and in Hong Kong dollar.

On 22 October, the E Fund HSCEI ETF and ChinaAMC HSI ETF were listed on the Shanghai Stock Exchange (SHSE) and Shenzhen Stock Exchange (SZSE) respectively as the first cross-border (Mainland China and Hong Kong) ETFs in the Mainland market.

On 29 October, the RMB-traded shares of Hopewell Highway Infrastructure Ltd were listed on the SEHK by way of placing — the first RMB-traded equity security outside Mainland China.

On 30 October, China Exchanges Services Company Ltd (CESC), a joint venture (JV) formed by HKEX, SHSE and SZSE for the development of financial products and related services, officially commenced operations. It was formed pursuant to a JV agreement signed in June in the year.

Nov On 5 November, HKEX implemented risk management reform measures for its clearing houses. The measures include: (1) introducing a standard margin system and a Dynamic Guarantee Fund (DGF) at Hong Kong Securities Clearing Co Ltd (HKSCC); and (2) revising certain assumptions in stress testing and collateral assumptions of the HKEX clearing houses.

On 30 November, the SEHK published consultation conclusions on changes to the Listing Rules, effective 1 January 2013, to complement the introduction of a statutory obligation for listed companies to disclose inside information.

Dec On 6 December, HKEX completed its acquisition of the entire issued share capital of LME Holdings Ltd (LMEH) after obtaining approval from LMEH shareholders in July and lately from the UK Financial Services Authority (FSA).

On 19 December, the H shares of China International Marine Containers (Group) Ltd were listed on the SEHK as the first case in which Mainland-listed B shares are converted into H shares to list in Hong Kong.

2013 Apr On 8 April, HKEX launched After-Hours Futures Trading (AHFT, from 5:00 pm to 11:00 pm) for the HSI and the H-shares Index futures.

Aug On 12 August, HKEX launched CES China 120 Index futures, the world's first exchange-listed derivatives product to provide exposure to leading China stocks from the Mainland and Hong Kong markets through a single exchange-traded futures contract.

Nov On 25 November, OTC Clearing Hong Kong Ltd (OTC Clear) completed its soft launch.

On 25 November, RMB10 billion of sovereign bonds issued by the Ministry of Finance (MOF) were listed on the SEHK, and for the first time the MOF has used the SEHK's facilities for a public offering of its bonds.

- Dec On 27 December, HKEX announced that Hong Kong Securities Clearing Co Ltd (HKSCC) had admitted China Securities Depository and Clearing Corporation Ltd (ChinaClear) as a Clearing Agency Participant to the Central Clearing and Settlement System (CCASS).
- 2014 Jan On 6 January, HKEX launched after-hours trading of Mini Hang Seng Index (Mini HSI) futures and Mini H-shares Index (Mini HHI) futures and extended the Block Trading Facility (BTF) from day session to After-Hours Futures Trading (AHFT) session.
- Mar On 3 March, amendments to the SEHK Listing Rules on calculation of annual listing fees for Hong Kong-incorporated issuers came into effect. The amendments were made to align the Listing Rules following the abolition of the concept of nominal (par) value under the new Companies Ordinance.
- On 3 March, HKEX rolled out the Mainland Market Data Hub (MMDH) in Shanghai.
- Apr On 10 April, the China Securities Regulatory Commission (CSRC) and the Hong Kong SFC announced their approval in principle the development of Shanghai-Hong Kong Stock Connect, a pilot programme for establishing mutual stock market access (subject to investment quota) between Shanghai and Hong Kong.
- Jun On 17 June, RHB Asset Management (a Malaysian fund company) launched the RHB-OSK Islamic Regional Balanced Fund, the first actively managed Islamic fund in Hong Kong.
- Sep On 19 September, the first Islamic bond (sukuk) of the Hong Kong Government, which had an issuance size of US\$1 billion (HK\$7.8 billion) and a tenor of five years, was listed on the SEHK.
- Nov On 3 November, the close of AHFT of stock index futures and RMB currency futures has been extended from 11:00 pm to 11:45 pm. The close of the AHFT clearing session was accordingly extended from 11:45 pm to 12:30 am.
- On 17 November, Shanghai-Hong Kong Stock Connect was formally launched.
- On 17 November, the HKMA lifted the currency conversion limits on RMB for transactions conducted by AIs with Hong Kong residents, including the conversion limit of RMB20,000 per person per day.
- Dec On 1 December, HKEX launched London Aluminium Mini Futures (LRA), London Zinc Mini Futures (LRZ) and London Copper Mini Futures (LRC), its first Asia Commodity contracts.
- On 1 December, HKEX launched the New Securities Trading Device (NSTD), a vendor-developed front-end trading device, to replace the legacy AMS Terminals and Multi-Workstation System (MWS).
- 2015 Feb On 13 February, the stamp duty waiver for all exchange traded funds (ETFs) listed in Hong Kong took effect.
- Mar On 2 March, HKEX launched short-selling of eligible Shanghai-listed A shares under Shanghai-Hong Kong Stock Connect.

- On 30 March, HKEX rolled out a significant enhancement to its Central Clearing and Settlement System (CCASS) to facilitate trading of A shares through Shanghai-Hong Kong Stock Connect. Investors can now open Special Segregated Accounts (SPSAs) in CCASS via its Custodian Participants or its General Clearing Participants (GCPs) which are not Exchange Participants (EPs). In addition, the pre-trade checking mechanism based on the SPSA Model became effective on 20 April 2015.
- May On 15 May, CSOP SZSE ChiNext ETF was listed on the SEHK as the first exchange traded fund (ETF) in Hong Kong which tracks stocks listed on ChiNext of the Shenzhen Stock Exchange (SZSE). This ETF is a dual-counter stock available for trading in both HK dollar and renminbi (RMB).
- Jul On 1 July, the scheme of Mainland-Hong Kong Mutual Recognition of Funds was officially launched.
- Dec On 14 December, HKEX introduced London Nickel Mini Futures, London Tin Mini Futures and London Lead Mini Futures contracts for trading in its derivatives market.
- On 18 December, the SFC granted authorisation for the first batch of four Mainland funds under the Mainland-Hong Kong Mutual Recognition of Funds (MRF) initiative for public offering in Hong Kong. At the same time, the CSRC approved the first batch of three Hong Kong funds for public offering on the Mainland market under MRF.
- 2016 May On 9 May, HKEX launched seven sector index futures contracts including the CES Gaming Top 10 Index, Hang Seng Mainland Oil & Gas Index, Hang Seng Mainland Banks Index, Hang Seng Mainland Healthcare Index, Hang Seng Mainland Properties Index, Hang Seng IT Hardware Index and Hang Seng Software & Services Index.
- On 30 May, HKEX introduced cash-settled Euro-Renminbi (RMB), Japanese yen-RMB, Australian dollar-RMB and RMB-US dollar futures, with trading of the RMB-US dollar futures in US dollar and the others in RMB.
- Jun On 13 June, the first L&I Products were listed in Hong Kong including the Samsung KOSPI 200 Daily (2x) Leveraged Product, Samsung KOSPI 200 Daily (-1x) Inverse Product, Samsung TOPIX Daily (2x) Leveraged Product and Samsung TOPIX Daily (-1x) Inverse Product.
- Jul On 25 July, HKEX introduced Closing Auction Session (CAS) for its securities market to allow execution at securities' closing prices. Some of the closing times in HKEX's market were extended as a result of the introduction of the CAS.
- Aug On 22 August, HKEX rolled out its Volatility Control Mechanism (VCM) in its securities market. VCM is a measure designed to protect market integrity by preventing extreme price volatility arising from major trading errors and other unusual incidents.
- Sep On 5 September, HKEX introduced Mini-Hang Seng China Enterprises Index Options or Mini H-shares Index Options, to meet the demand from retail investors and give market participants more flexibility by providing a contract one-fifth the size of the H-shares Index Options contract.
- Dec On 5 December, Shenzhen Connect was launched.

- 2017 Mar On 20 March, HKEX introduced Renminbi (RMB) Currency Options. The US dollar-Offshore RMB (USD/CNH) Options contract became the first currency options traded at HKEX.
- Apr On 10 April, HKEX introduced 5-year China Ministry of Finance Treasury Bond (MOF T-Bond) Futures as a pilot scheme for foreign investors to hedge against interest rate volatility of RMB assets. MOF T-Bond Futures was the offshore markets' first futures on domestic Chinese government bonds. Trading in 5-Year MOF T-Bond Futures was suspended from 8 December 2017.
- Jul On 3 July, Bond Connect was launched, which is a mutual bond market access programme between HKSAR and Mainland China with Northbound trading link initially and Southbound trading link to be added later. The Bond Connect Company Ltd (BCCL) commenced operations on the same day.
- On 10 July, HKEX introduced Offshore Renminbi (CNH) and US dollar (USD) Gold Futures, the first time HKEX launched futures in two currencies. It became the first pair of commodity futures that can be physically delivered in Hong Kong. London Metal Exchange (LME), a wholly-owned subsidiary of HKEX, introduced its own gold futures on the same day. It became the first exchange to offer spot to five-year loco London futures.
- On 24 July, HKEX launched Phase 2 of the Closing Auction Session (CAS) in its securities market. CAS Phase 2 expanded the coverage to include constituents of the Hang Seng Composite SmallCap Index and introduced regulated short-selling orders during the CAS, provided it is at a price not lower than the CAS Reference Price.
- Nov On 6 November, HKEX introduced the first phase of its after-hours trading (AHT) enhancements. The close of the T+1 Session for Hang Seng Index (HSI), Hang Seng China Enterprises Index (HHI), Mini-HSI and Mini-HHI futures was extended from 11:45 pm to 1 am the following day.
- On 13 November, HKEX launched its first ferrous metal product — cash-settled TSI Iron Ore Fines 62% Fe CFR China Futures, or Iron Ore Futures.
- 2018 Feb On 5 February, HKEX introduced its new securities trading system for the Hong Kong market, the Orion Trading Platform-Securities Market (OTP-C), replacing the AMS/3.8 system, an upgraded version of the AMS/3 system rolled out in 2000.
- May On 14 May, HKEX included its three most actively traded equity index options — HSI Options, HSCEI Options and Mini-HSI Options — in the after-hours trading (T+1 Session) of its derivatives (futures and options) market. These are the first options to be available for T+1 Session.
- Jun On 11 June, HKEX introduced MSCI All Country (AC) Asia ex Japan Net Total Return Index (MSCI AxJ) Futures, its first regional stock index futures covering two developed markets (Hong Kong and Singapore) and nine emerging markets (Mainland China, India, Indonesia, Korea, Malaysia, Pakistan, the Philippines, Taiwan and Thailand).
- Jul On 9 July, Xiaomi Corporation became the first company with weighted voting rights (WVR) structure to list in Hong Kong. HKEX introduced stock futures and stock options on its listing day.
- On 18 July, CSOP Hong Kong Dollar Money Market ETF was the first Hong Kong dollar money market exchange traded fund (ETF) listed in Hong Kong.

- Oct On 19 October, Qianhai Mercantile Exchange (QME), the HKEX's commodity spot trading platform in Shenzhen Qianhai in the Mainland, formally commenced business. The first product to trade on the exchange was alumina.
- Nov On 5 November, HKEX introduced the following Total Return Index (TRI) Futures contracts to meet the trading and risk management needs of investors who need tools to replicate the return of HSI/HSCEI on a total return basis: HSI (Gross TRI) Futures; HSI (Net TRI) Futures; HSCEI (Gross TRI) Futures and HSCEI (Net TRI) Futures.
- Dec On 7 December, Ping An Nasdaq 5HANDL ETF became the first multi-asset exchange-traded fund (ETF) listed in Hong Kong.
- 2019 May On 23 May, Hong Kong saw a concurrent bond offering to both retail and institutional investors. The bond was issued by Agricultural Development Bank of China with HKEX's Central Clearing and Settlement System (CCASS) as the only designated subscription channel for the retail tranche.
- On 28 May, the first two-time Inverse Product — the CSOP Hang Seng Index Daily (-2x) Inverse Product — was listed on HKEX.
- Jun On 17 June, HKEX extended its after-hours trading session (T+1 Session) by two hours till 3 a.m.
- On 18 June, the first active Exchange Traded Fund (ETF) — ICBC CICC USD Money Market ETF — was listed on HKEX.
- Jul On 18 July, HKEX launched a new product type being the first in Asia, Inline Warrants.
- Aug On 5 August, HKEX introduced six US dollar-denominated London Metal Mini Futures contracts on aluminum, zinc, copper, nickel, tin and lead.
- Sep On 16 September, HKEX introduced Weekly Hang Seng Index Options and Weekly Hang Seng China Enterprises Index Options contracts.
- Oct On 8 October, the Closing Auction Session (CAS) for the HKEX securities market was expanded to cover all equities and funds. The list of CAS securities now covers all equities (including depository receipts, investment companies, preference shares and stapled securities) and funds including exchange-traded funds (ETFs) and real estate investment trusts (REITs).
- Nov On 4 November, HKEX launched Indian Rupee (INR) Currency Futures. The two new contracts involved are the INR vs US Dollar (INR/USD) Futures and the INR vs Renminbi traded in Hong Kong (INR/CNH) Futures. The latter is the first of its kind globally.
- On 26 November, Alibaba Group was listed on the Main Board of the SEHK, which marked the first secondary listing under the new Listing Regime (Listing Rules Chapter 19C). Stock futures and options on the shares of Alibaba Group Holding Limited were introduced on the same date.

List of listed companies on Main Board

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-----------------------------------------------|------------------------|--------------|
| 03601 | 360 Ludashi Holdings Ltd. | Cayman Islands | 2019/10/10 |
| 01361 | 361 Degrees International Ltd. | Cayman Islands | 2009/06/30 |
| 01530 | 3SBio Inc. | Cayman Islands | 2015/06/11 |
| 02051 | 51 Credit Card Inc. | Cayman Islands | 2018/07/13 |
| 00797 | 7Road Holdings Ltd. | Cayman Islands | 2018/07/18 |
| 01737 | A & S Group (Holdings) Ltd. | Cayman Islands | 2018/03/14 |
| 01841 | A.Plus Group Holdings Ltd. | Cayman Islands | 2016/04/19 |
| 00800 | A8 New Media Group Ltd. | Cayman Islands | 2008/06/12 |
| 02018 | AAC Technologies Holdings Inc. | Cayman Islands | 2005/08/09 |
| 02686 | AAG Energy Holdings Ltd. | Cayman Islands | 2015/06/23 |
| 01615 | AB Builders Group Ltd. | Cayman Islands | 2018/09/10 |
| 01627 | Able Engineering Holdings Ltd. | Cayman Islands | 2017/02/20 |
| 01283 | Accel Group Holdings Ltd. | Cayman Islands | 2019/10/18 |
| 01870 | Acme International Holdings Ltd. | Cayman Islands | 2019/11/08 |
| 02623 | Add New Energy Investment Holdings Group Ltd. | Cayman Islands | 2012/04/27 |
| 00900 | Aeon Credit Service (Asia) Co. Ltd. | Hong Kong | 1995/09/14 |
| 00984 | AEON Stores (Hong Kong) Co., Ltd. | Hong Kong | 1994/02/04 |
| 01757 | Affluent Foundation Holdings Ltd. | Cayman Islands | 2018/06/07 |
| 01466 | Affluent Partners Holdings Ltd. | Cayman Islands | 2014/10/17 |
| 03383 | Agile Group Holdings Ltd. | Cayman Islands | 2005/12/15 |
| 01288 | Agricultural Bank of China Ltd. - H Shares | PRC | 2010/07/16 |
| 01131 | Agritrade Resources Ltd. | Bermuda | 1997/03/19 |
| 01299 | AIA Group Ltd. | Hong Kong | 2010/10/29 |
| 00286 | Aidigong Maternal & Child Health Ltd. | Bermuda | 1990/09/07 |
| 00753 | Air China Ltd. - H Shares | PRC | 2004/12/15 |
| 00538 | Ajisen (China) Holdings Ltd. | Cayman Islands | 2007/03/30 |
| 01789 | AK Medical Holdings Ltd. | Cayman Islands | 2017/12/20 |
| 01639 | AKM Industrial Co. Ltd. | Hong Kong | 2004/08/18 |
| 00328 | Alco Holdings Ltd. | Bermuda | 1992/11/27 |
| 09988 | Alibaba Group Holding Ltd. - SW | Cayman Islands | 2019/11/26 |
| 00241 | Alibaba Health Information Technology Ltd. | Bermuda | 1972/07/06 |
| 01060 | Alibaba Pictures Group Ltd. | Bermuda | 1994/05/12 |
| 03319 | A-Living Services Co., Ltd. - H Shares | PRC | 2018/02/09 |
| 00684 | Allan International Holdings Ltd. | Bermuda | 1992/11/10 |
| 00373 | Allied Group Ltd. | Hong Kong | 1973/01/12 |
| 00056 | Allied Properties (HK) Ltd. | Hong Kong | n.a. |
| 00833 | Alltronics Holdings Ltd. | Cayman Islands | 2005/07/15 |
| 00948 | Alpha Professional Holdings Ltd. | Bermuda | 2010/03/01 |
| 09966 | Alphamab Oncology - B | Cayman Islands | 2019/12/12 |
| 02600 | Aluminum Corporation of China Ltd. - H Shares | PRC | 2001/12/12 |
| 01849 | AM Group Holdings Ltd. | Cayman Islands | 2019/06/26 |
| 00630 | AMCO United Holding Ltd. | Bermuda | 1996/11/28 |
| 00077 | AMS Public Transport Holdings Ltd. | Cayman Islands | 2004/04/15 |
| 02300 | AMVIG Holdings Ltd. | Cayman Islands | 2004/03/26 |
| 01977 | Analogue Holdings Ltd. | Bermuda | 2019/07/12 |
| 01592 | Anchorstone Holdings Ltd. | Cayman Islands | 2018/07/04 |
| 00347 | Angang Steel Co. Ltd. - H Shares | PRC | 1997/07/24 |
| 00914 | Anhui Conch Cement Co. Ltd. - H Shares | PRC | 1997/10/21 |
| 00995 | Anhui Expressway Co. Ltd. - H Shares | PRC | 1996/11/13 |
| 02020 | ANTA Sports Products Ltd. | Cayman Islands | 2007/07/10 |
| 03337 | Anton Oilfield Services Group | Cayman Islands | 2007/12/14 |
| 00922 | Anxian Yuan China Holdings Ltd. | Bermuda | 1999/12/21 |
| 01370 | Aowei Holding Ltd. | Cayman Islands | 2013/11/28 |
| 03662 | Aoyuan Healthy Life Group Co. Ltd. | Cayman Islands | 2019/03/18 |
| 01496 | AP Rentals Holdings Ltd. | Cayman Islands | 2016/04/08 |
| 01104 | APAC Resources Ltd. | Bermuda | 1998/12/29 |
| 06036 | Apex Ace Holding Ltd. | Cayman Islands | 2018/03/16 |
| 00519 | Applied Development Holdings Ltd. | Bermuda | 1986/03/24 |
| 01045 | APT Satellite Holdings Ltd. | Bermuda | 1996/12/18 |
| 00645 | Ares Asia Ltd. | Bermuda | 1993/12/22 |
| 00565 | Art Group Holdings Ltd. | Cayman Islands | 2003/09/10 |
| 03313 | ArtGo Holdings Ltd. | Cayman Islands | 2013/12/30 |
| 00789 | Artini Holdings Ltd. | Bermuda | 2008/05/16 |
| 01120 | Arts Optical International Holdings Ltd. | Bermuda | 1996/11/08 |
| 06855 | Ascentage Pharma Group International - B | Cayman Islands | 2019/10/28 |
| 01672 | Asclepis Pharma Inc. - B | Cayman Islands | 2018/08/01 |
| 00711 | Asia Allied Infrastructure Holdings Ltd. | Bermuda | 1993/02/12 |
| 00841 | Asia Cassava Resources Holdings Ltd. | Cayman Islands | 2009/03/23 |
| 00743 | Asia Cement (China) Holdings Corporation | Cayman Islands | 2008/05/20 |
| 00104 | Asia Commercial Holdings Ltd. | Bermuda | 1987/07/29 |
| 00351 | Asia Energy Logistics Group Ltd. | Hong Kong | 1992/08/18 |
| 00662 | Asia Financial Holdings Ltd. | Bermuda | 1990/12/18 |
| 00214 | Asia Orient Holdings Ltd. | Bermuda | 1986/01/06 |
| 00767 | Asia Pacific Silk Road Investment Co. Ltd. | Bermuda | 1995/11/20 |
| 00899 | Asia Resources Holdings Ltd. | Bermuda | 1997/10/24 |
| 00292 | Asia Standard Hotel Group Ltd. | Bermuda | 1988/09/22 |
| 00129 | Asia Standard International Group Ltd. | Bermuda | 1973/01/24 |
| 00679 | Asia Tele-Net And Technology Corporation Ltd. | Bermuda | 1991/01/31 |
| 00707 | Asia Television Holdings Ltd. | Cayman Islands | 2006/03/30 |
| 01675 | Asialinfo Technologies Ltd. | British Virgin Islands | 2018/12/19 |
| 00073 | Asian Citrus Holdings Ltd. | Bermuda | 2009/11/26 |
| 01993 | Asiaray Media Group Ltd. | Cayman Islands | 2015/01/15 |
| 00271 | Asiasec Properties Ltd. | Hong Kong | 1973/02/28 |
| 00522 | ASM Pacific Technology Ltd. | Cayman Islands | 1989/01/03 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------------------|----------------|--------------|
| 00105 | Associated International Hotels Ltd. | Hong Kong | 1985/10/24 |
| 01717 | Ausnutria Dairy Corporation Ltd. | Cayman Islands | 2009/10/08 |
| 06118 | Austar Lifesciences Ltd. | Cayman Islands | 2014/11/07 |
| 02031 | Ausupreme International Holdings Ltd. | Cayman Islands | 2016/09/12 |
| 00720 | Auto Italia Holdings Ltd. | Bermuda | 1991/07/16 |
| 00771 | Automated Systems Holdings Ltd. | Bermuda | 1997/11/05 |
| 02080 | AUX International Holdings Ltd. | Cayman Islands | 2014/01/23 |
| 00595 | AV Concept Holdings Ltd. | Cayman Islands | 1996/04/24 |
| 00232 | AVIC International Holding (HK) Ltd. | Bermuda | 1991/12/12 |
| 00161 | AVIC International Holdings Ltd. - H Shares | PRC | 1997/09/29 |
| 00260 | AVIC Joy Holdings (HK) Ltd. | Hong Kong | n.a. |
| 02357 | AviChina Industry & Technology Co. Ltd. - H Shares | PRC | 2003/10/30 |
| 01780 | B & D Strategic Holdings Ltd. | Cayman Islands | 2019/04/30 |
| 01705 | B & S International Holdings Ltd. | Cayman Islands | 2018/03/14 |
| 01761 | BabyTree Group | Cayman Islands | 2018/11/27 |
| 01397 | Baguio Green Group Ltd. | Cayman Islands | 2014/05/22 |
| 01958 | BAIC Motor Corporation Ltd. - H Shares | PRC | 2014/12/19 |
| 02100 | BAIOO Family Interactive Ltd. | Cayman Islands | 2014/04/10 |
| 02293 | Bamboos Health Care Holdings Ltd. | Cayman Islands | 2014/07/08 |
| 00030 | Ban Loong Holdings Ltd. | Bermuda | 1991/10/09 |
| 03988 | Bank of China Ltd. - H Shares | PRC | 2006/06/01 |
| 01963 | Bank of Chongqing Co., Ltd. - H Shares | PRC | 2013/11/06 |
| 03328 | Bank of Communications Co., Ltd. - H Shares | PRC | 2005/06/23 |
| 00023 | Bank of East Asia, Ltd., The | Hong Kong | n.a. |
| 02139 | Bank of Gansu Co., Ltd. - H Shares | PRC | 2018/01/18 |
| 06199 | Bank of Guizhou Co., Ltd. - H Shares | PRC | 2019/12/30 |
| 00416 | Bank of Jinzhou Co., Ltd. - H Shares | PRC | 2015/12/07 |
| 06190 | Bank of Jiujiang Co., Ltd. - H Shares | PRC | 2018/07/10 |
| 03866 | Bank of Qingdao Co., Ltd. - H Shares | PRC | 2015/12/03 |
| 01578 | Bank of Tianjin Co., Ltd. - H Shares | PRC | 2016/03/30 |
| 06196 | Bank of Zhengzhou Co., Ltd. - H Shares | PRC | 2015/12/23 |
| 01121 | Baofeng Modern International Holdings Co. Ltd. | Cayman Islands | 2011/01/28 |
| 02355 | Baoye Group Co. Ltd. - H Shares | PRC | 2003/06/30 |
| 00483 | Bauhaus International (Holdings) Ltd. | Cayman Islands | 2005/05/12 |
| 01338 | BaWang International (Group) Holding Ltd. | Cayman Islands | 2009/07/03 |
| 01194 | Bay Area Gold Group Ltd. | Bermuda | 2004/10/12 |
| 01035 | BBi Life Sciences Corporation | Cayman Islands | 2014/12/30 |
| 02009 | BBMG Corporation - H Shares | PRC | 2009/07/29 |
| 00863 | BC Technology Group Ltd. | Cayman Islands | 2012/04/27 |
| 00706 | Beautiful China Holdings Co. Ltd. | Bermuda | 1998/07/22 |
| 06160 | BeiGene, Ltd. | Cayman Islands | 2018/08/08 |
| 01329 | Beijing Capital Grand Ltd. | Cayman Islands | 2012/04/02 |
| 00694 | Beijing Capital International Airport Co. Ltd. - H Shares | PRC | 2000/02/01 |
| 02868 | Beijing Capital Land Ltd. - H Shares | PRC | 2003/06/19 |
| 01858 | Beijing Chunlizhengda Medical Instruments Co., Ltd. - H Shares | PRC | 2015/03/11 |
| 06188 | Beijing Digital Telecom Co., Ltd. - H Shares | PRC | 2014/07/08 |
| 01250 | Beijing Enterprises Clean Energy Group Ltd. | Cayman Islands | 2013/07/05 |
| 00154 | Beijing Enterprises Environment Group Ltd. | Hong Kong | n.a. |
| 00392 | Beijing Enterprises Holdings Ltd. | Hong Kong | 1997/05/29 |
| 02389 | Beijing Enterprises Medical and Health Industry Group Ltd. | Cayman Islands | 2002/04/26 |
| 00371 | Beijing Enterprises Water Group Ltd. | Bermuda | 1993/04/19 |
| 06828 | Beijing Gas Blue Sky Holdings Ltd. | Bermuda | 2011/07/12 |
| 00187 | Beijing Jingcheng Machinery Electric Co. Ltd. - H Shares | PRC | 1993/08/06 |
| 00814 | Beijing Jingkelong Co. Ltd. - H Shares | PRC | 2008/02/26 |
| 00579 | Beijing Jingneng Clean Energy Co., Ltd. - H Shares | PRC | 2011/12/22 |
| 01000 | Beijing Media Corporation Ltd. - H Shares | PRC | 2004/12/22 |
| 00588 | Beijing North Star Co. Ltd. - H Shares | PRC | 1997/05/14 |
| 00925 | Beijing Properties (Holdings) Ltd. | Bermuda | 1998/01/15 |
| 01803 | Beijing Sports and Entertainment Industry Group Ltd. | Cayman Islands | 2012/01/16 |
| 03613 | Beijing Tong Ren Tang Chinese Medicine Co. Ltd. | Hong Kong | 2013/05/07 |
| 01599 | Beijing Urban Construction Design & Dev. Group Co., Ltd.-H Shares | PRC | 2014/07/08 |
| 02339 | BeijingWest Industries International Ltd. | Cayman Islands | 2003/10/10 |
| 01987 | Beng Soon Machinery Holdings Ltd. | Cayman Islands | 2019/11/08 |
| 01488 | Best Food Holding Co. Ltd. | Cayman Islands | 2011/06/27 |
| 02360 | Best Mart 360 Holdings Ltd. | Cayman Islands | 2019/01/11 |
| 02111 | Best Pacific International Holdings Ltd. | Cayman Islands | 2014/05/23 |
| 03358 | Bestway Global Holding Inc. | Cayman Islands | 2017/11/16 |
| 00926 | Besunyen Holdings Co. Ltd. | Cayman Islands | 2010/09/29 |
| 01775 | BEXcellent Group Holdings Ltd. | Cayman Islands | 2018/07/13 |
| 01693 | BGMC International Ltd. | Cayman Islands | 2017/08/09 |
| 01552 | BHCC Holding Ltd. | Cayman Islands | 2017/09/12 |
| 01522 | BI Railway Transportation Technology Holdings Co. Ltd. | Cayman Islands | 2012/05/16 |
| 02299 | Billion Industrial Holdings Ltd. | Cayman Islands | 2011/05/18 |
| 02886 | Binhai Investment Co. Ltd. | Bermuda | 2000/03/16 |
| 03316 | Binjiang Service Group Co. Ltd. | Cayman Islands | 2019/03/15 |
| 02309 | Birmingham Sports Holdings Ltd. | Cayman Islands | 2002/11/12 |
| 00888 | Bison Finance Group Ltd. | Bermuda | 2001/06/28 |
| 01372 | Bisu Technology Group International Ltd. | Cayman Islands | 2013/12/11 |
| 00364 | Blockchain Group Co. Ltd. | Cayman Islands | 2002/08/30 |
| 02588 | BOC Aviation Ltd. | Singapore | 2016/06/01 |
| 02388 | BOC Hong Kong (Holdings) Ltd. | Hong Kong | 2002/07/25 |
| 03329 | BOCOM International Holdings Co. Ltd. | Hong Kong | 2017/05/19 |
| 00710 | BOE Varitronix Ltd. | Bermuda | 1991/07/01 |
| 01685 | Boer Power Holdings Ltd. | Cayman Islands | 2010/10/20 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------------|----------------|--------------|
| 01246 | Boill Healthcare Holdings Ltd. | Cayman Islands | 2013/10/16 |
| 01758 | Bojun Education Co. Ltd. | Cayman Islands | 2018/07/31 |
| 01190 | Bolina Holding Co., Ltd. | Cayman Islands | 2012/07/13 |
| 00653 | Bonjour Holdings Ltd. | Cayman Islands | 2003/07/16 |
| 01906 | Bonny International Holding Ltd. | Cayman Islands | 2019/04/26 |
| 01698 | Boshiwa International Holding Ltd. | Cayman Islands | 2010/09/29 |
| 03998 | Bosideng International Holdings Ltd. | Cayman Islands | 2007/10/11 |
| 00592 | Bossini International Holdings Ltd. | Bermuda | 1993/11/02 |
| 00434 | Boyaa Interactive International Ltd. | Cayman Islands | 2013/11/12 |
| 02203 | Brainhole Technology Ltd. | Cayman Islands | 2015/10/09 |
| 01428 | Bright Smart Securities & Commodities Group Ltd. | Cayman Islands | 2010/08/25 |
| 00933 | Brightoil Petroleum (Holdings) Ltd. | Bermuda | 1995/11/16 |
| 01114 | Brilliance China Automotive Holdings Ltd. | Bermuda | 1999/10/22 |
| 01008 | Brilliant Circle Holdings International Ltd. | Cayman Islands | 2009/03/30 |
| 00159 | Brockman Mining Ltd. | Bermuda | 1985/07/05 |
| 01876 | Budweiser Brewing Co. APAC Ltd. | Cayman Islands | 2019/09/30 |
| 00240 | Build King Holdings Ltd. | Bermuda | n.a. |
| 00024 | Burwill Holdings Ltd. | Bermuda | 1983/09/15 |
| 01211 | BYD Co. Ltd. - H Shares | PRC | 2002/07/31 |
| 00285 | BYD Electronic (International) Co. Ltd. | Hong Kong | 2007/12/20 |
| 01224 | C C Land Holdings Ltd. | Bermuda | 1999/04/30 |
| 01486 | C Cheng Holdings Ltd. | Cayman Islands | 2013/12/20 |
| 01908 | C&D International Investment Group Ltd. | Cayman Islands | 2012/12/14 |
| 00043 | C. P. Pokphand Co. Ltd. | Bermuda | 1988/04/28 |
| 01028 | C.banner International Holdings Ltd. | Bermuda | 2011/09/23 |
| 02112 | CAA Resources Ltd. | Cayman Islands | 2013/07/03 |
| 02030 | Cabbeen Fashion Ltd. | Cayman Islands | 2013/10/28 |
| 00341 | Cafe de Coral Holdings Ltd. | Bermuda | 1986/07/16 |
| 02662 | Camsing International Holding Ltd. | Cayman Islands | 2005/12/14 |
| 06185 | CanSino Biologics Inc. - B - H Shares | PRC | 2019/03/28 |
| 01381 | Canvest Environmental Protection Group Co. Ltd. | Cayman Islands | 2014/12/29 |
| 01075 | Capinfo Co. Ltd. - H Shares | PRC | 2001/12/21 |
| 03989 | Capital Environment Holdings Ltd. | Cayman Islands | 2006/07/13 |
| 00193 | Capital Estate Ltd. | Hong Kong | 1972/09/11 |
| 02324 | Capital VC Ltd. | Cayman Islands | 2003/10/27 |
| 00469 | Capxon International Electronic Co. Ltd. | Cayman Islands | 2007/05/07 |
| 00699 | CAR Inc. | Cayman Islands | 2014/09/19 |
| 00996 | Carnival Group International Holdings Ltd. | Bermuda | 1994/02/17 |
| 00837 | Carpenter Tan Holdings Ltd. | Cayman Islands | 2009/12/29 |
| 00126 | Carrianna Group Holdings Co. Ltd. | Bermuda | 1991/11/01 |
| 00643 | Carry Wealth Holdings Ltd. | Bermuda | 2000/03/13 |
| 02223 | Casablanca Group Ltd. | Cayman Islands | 2012/11/23 |
| 00510 | CASH Financial Services Group Ltd. | Bermuda | 2008/03/03 |
| 00293 | Cathay Pacific Airways Ltd. | Hong Kong | 1986/05/15 |
| 00138 | CCT Fortis Holdings Ltd. | Bermuda | 1991/11/11 |
| 00759 | CEC International Holdings Ltd. | Bermuda | 1999/11/15 |
| 02228 | CECEP COSTIN New Materials Group Ltd. | Cayman Islands | 2010/06/21 |
| 01520 | CEFC Hong Kong Financial Investment Co. Ltd. | Cayman Islands | 2013/12/03 |
| 01049 | Celestial Asia Securities Holdings Ltd. | Bermuda | 1994/04/27 |
| 01959 | Centenary United Holdings Ltd. | Cayman Islands | 2019/10/18 |
| 00832 | Central China Real Estate Ltd. | Cayman Islands | 2008/06/06 |
| 01375 | Central China Securities Co., Ltd. - H Shares | PRC | 2014/06/25 |
| 00475 | Central Development Holdings Ltd. | Cayman Islands | 2007/04/17 |
| 01735 | Central Holding Group Co. Ltd. | Cayman Islands | 2018/03/29 |
| 00139 | Central Wealth Group Holdings Ltd. | Bermuda | 1994/03/15 |
| 01155 | Centron Telecom International Holding Ltd. | Cayman Islands | 2007/07/05 |
| 06090 | Centurion Corporation Ltd. | Singapore | 2017/12/12 |
| 00355 | Century City International Holdings Ltd. | Bermuda | 1981/09/07 |
| 00959 | Century Entertainment International Holdings Ltd. | Bermuda | 1997/10/03 |
| 00162 | Century Ginwa Retail Holdings Ltd. | Bermuda | 2000/10/23 |
| 02113 | Century Group International Holdings Ltd. | Cayman Islands | 2016/10/17 |
| 00079 | Century Legend (Holdings) Ltd. | Bermuda | 1993/07/08 |
| 01450 | Century Sage Scientific Holdings Ltd. | Cayman Islands | 2014/07/07 |
| 00509 | Century Sunshine Group Holdings Ltd. | Cayman Islands | 2004/02/17 |
| 01164 | CGN Mining Co. Ltd. | Cayman Islands | 2003/08/04 |
| 01811 | CGN New Energy Holdings Co., Ltd. | Bermuda | 2014/10/03 |
| 01816 | CGN Power Co., Ltd. - H Shares | PRC | 2014/12/10 |
| 01629 | Champion Alliance International Holdings Ltd. | Cayman Islands | 2016/11/25 |
| 00092 | Champion Technology Holdings Ltd. | Bermuda | 1992/08/19 |
| 01292 | Changan Minsheng APLL Logistics Co., Ltd. - H Shares | PRC | 2006/02/23 |
| 00954 | Changmao Biochemical Engineering Co. Ltd. - H Shares | PRC | 2002/06/28 |
| 02163 | Changsha Broad Homes Industrial Group Co., Ltd. - H Shares | PRC | 2019/11/06 |
| 01006 | Changshouhua Food Co. Ltd. | Cayman Islands | 2009/12/18 |
| 02017 | Chanhigh Holdings Ltd. | Cayman Islands | 2017/03/31 |
| 01588 | Chanjet Information Technology Co. Ltd. - H Shares | PRC | 2014/06/26 |
| 00682 | Chaoda Modern Agriculture (Holdings) Ltd. | Cayman Islands | 2000/12/15 |
| 00951 | Chaowei Power Holdings Ltd. | Cayman Islands | 2010/07/07 |
| 02289 | Charmacy Pharmaceutical Co., Ltd. - H Shares | PRC | 2015/12/14 |
| 00057 | Chen Hsong Holdings Ltd. | Bermuda | 1991/10/22 |
| 01593 | Chen Lin Education Group Holdings Ltd. | Cayman Islands | 2019/12/13 |
| 02286 | Chen Xing Development Holdings Ltd. | Cayman Islands | 2015/07/03 |
| 01785 | Chengdu Expressway Co., Ltd. - H Shares | PRC | 2019/01/15 |
| 01202 | Chengdu PUTIAN Telecommunications Cable Co. Ltd. - H Shares | PRC | 1994/12/13 |
| 00131 | Cheuk Nang (Holdings) Ltd. | Hong Kong | n.a. |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|---------------------------------------------------------------|----------------|--------------|
| 00025 | Chevalier International Holdings Ltd. | Bermuda | 1984/12/05 |
| 03839 | Chia Tai Enterprises International Ltd. | Bermuda | 2015/07/03 |
| 00449 | Chigo Holding Ltd. | Cayman Islands | 2009/07/13 |
| 00976 | Chiho Environmental Group Ltd. | Cayman Islands | 2010/07/12 |
| 01598 | China 21st Century Education Group Ltd. | Cayman Islands | 2018/05/29 |
| 00031 | China Aerospace International Holdings Ltd. | Hong Kong | 1981/08/25 |
| 00606 | China Agri-Industries Holdings Ltd. | Hong Kong | 2007/03/21 |
| 00149 | China Agri-Products Exchange Ltd. | Bermuda | 1973/03/12 |
| 01069 | China Agroforestry Low-Carbon Holdings Ltd. | Cayman Islands | 2009/10/08 |
| 01848 | China Aircraft Leasing Group Holdings Ltd. | Cayman Islands | 2014/07/11 |
| 00633 | China All Access (Holdings) Ltd. | Cayman Islands | 2009/09/16 |
| 06898 | China Aluminum Cans Holdings Ltd. | Cayman Islands | 2013/07/12 |
| 02068 | China Aluminum International Engineering Corp. Ltd.-H shares | PRC | 2012/07/06 |
| 00940 | China Animal Healthcare Ltd. | Bermuda | 2010/12/21 |
| 01566 | China Animation Characters Co. Ltd. | Cayman Islands | 2015/03/12 |
| 03883 | China Aoyuan Group Ltd. | Cayman Islands | 2007/10/09 |
| 02011 | China Apex Group Ltd. | Cayman Islands | 2011/01/12 |
| 01572 | China Art Financial Holdings Ltd. | Cayman Islands | 2016/11/08 |
| 00526 | China Automobile New Retail (Holdings) Ltd. | Bermuda | 1995/10/11 |
| 00048 | China Automotive Interior Decoration Holdings Ltd. | Cayman Islands | 2010/09/29 |
| 03966 | China Baofeng (International) Ltd. | Cayman Islands | 2012/07/20 |
| 00164 | China Baoli Technologies Holdings Ltd. | Bermuda | 1973/01/26 |
| 00039 | China Beidahuang Industry Group Holdings Ltd. | Cayman Islands | 2001/01/16 |
| 00370 | China Best Group Holding Ltd. | Bermuda | 1996/03/26 |
| 03978 | China Beststudy Education Group | Cayman Islands | 2018/12/27 |
| 00274 | China Billion Resources Ltd. | Cayman Islands | 2000/12/18 |
| 03983 | China BlueChemical Ltd. - H Shares | PRC | 2006/09/29 |
| 02377 | China Boqi Environmental (Holding) Co., Ltd. | Cayman Islands | 2018/03/16 |
| 00217 | China Chengtong Development Group Ltd. | Hong Kong | n.a. |
| 02371 | China Chuanglian Education Financial Group Ltd. | Cayman Islands | 2004/11/18 |
| 01969 | China Chunlai Education Group Co., Ltd. | Cayman Islands | 2018/09/13 |
| 01359 | China Cinda Asset Management Co., Ltd. - H Shares | PRC | 2013/12/12 |
| 00998 | China CITIC Bank Corporation Ltd. - H Shares | PRC | 2007/04/27 |
| 02349 | China City Infrastructure Group Ltd. | Cayman Islands | 2003/06/25 |
| 00033 | China Cloud Copper Co. Ltd. | Cayman Islands | 2007/11/19 |
| 01898 | China Coal Energy Co. Ltd. - H Shares | PRC | 2006/12/19 |
| 01800 | China Communications Construction Co. Ltd. - H Shares | PRC | 2006/12/15 |
| 00552 | China Communications Services Corporation Ltd. - H Shares | PRC | 2006/12/08 |
| 00586 | China Conch Venture Holdings Ltd. | Cayman Islands | 2013/12/19 |
| 00939 | China Construction Bank Corporation - H Shares | PRC | 2005/10/27 |
| 01678 | China Creative Global Holdings Ltd. | Cayman Islands | 2013/12/20 |
| 01798 | China Datang Corporation Renewable Power Co., Ltd. -H Shares | PRC | 2010/12/17 |
| 00661 | China Daye Non-Ferrous Metals Mining Ltd. | Bermuda | 1990/11/21 |
| 01606 | China Development Bank Financial Leasing Co., Ltd. - H Shares | PRC | 2016/07/11 |
| 01062 | China Development Bank International Investment Ltd. | Cayman Islands | 1994/05/10 |
| 01387 | China Dili Group | Cayman Islands | 2008/10/22 |
| 00612 | China Ding Yi Feng Holdings Ltd. | Cayman Islands | 2002/01/02 |
| 00334 | China Display Optoelectronics Technology Holdings Ltd. | Bermuda | 1997/06/18 |
| 03818 | China Dongxiang (Group) Co., Ltd. | Cayman Islands | 2007/10/10 |
| 00871 | China Dredging Environment Protection Holdings Ltd. | Cayman Islands | 2011/06/20 |
| 00476 | China Dynamics (Holdings) Ltd. | Bermuda | 1996/05/24 |
| 00667 | China East Education Holdings Ltd. | Cayman Islands | 2019/06/12 |
| 00670 | China Eastern Airlines Corporation Ltd. - H Shares | PRC | 1997/02/05 |
| 00839 | China Education Group Holdings Ltd. | Cayman Islands | 2017/12/15 |
| 00085 | China Electronics Huada Technology Co. Ltd. | Bermuda | 1997/07/25 |
| 00798 | China Electronics Optics Valley Union Holding Co. Ltd. | Cayman Islands | 2014/03/28 |
| 01185 | China Energin International (Holdings) Ltd. | Cayman Islands | 1997/08/11 |
| 00228 | China Energy Development Holdings Ltd. | Cayman Islands | 2002/02/18 |
| 03996 | China Energy Engineering Corporation Ltd. - H Shares | PRC | 2015/12/10 |
| 00986 | China Environmental Energy Investment Ltd. | Bermuda | 1994/02/02 |
| 01130 | China Environmental Resources Group Ltd. | Cayman Islands | 1997/06/03 |
| 01237 | China Environmental Technology and Bioenergy Holdings Ltd. | Cayman Islands | 2012/07/06 |
| 00646 | China Environmental Technology Holdings Ltd. | Cayman Islands | 2002/03/28 |
| 00379 | China Ever Grand Financial Leasing Group Co., Ltd. | Cayman Islands | 2002/11/13 |
| 06818 | China Everbright Bank Co. Ltd. - H Shares | PRC | 2013/12/20 |
| 01257 | China Everbright Greentech Ltd. | Cayman Islands | 2017/05/08 |
| 00257 | China Everbright International Ltd. | Hong Kong | n.a. |
| 00165 | China Everbright Ltd. | Hong Kong | 1973/02/26 |
| 01857 | China Everbright Water Ltd. | Bermuda | 2019/05/08 |
| 03333 | China Evergrande Group | Cayman Islands | 2009/11/05 |
| 00802 | China e-Wallet Payment Group Ltd. | Bermuda | 2009/02/10 |
| 06186 | China Feihe Ltd. | Cayman Islands | 2019/11/13 |
| 00875 | China Finance Investment Holdings Ltd. | Bermuda | 1998/07/03 |
| 00721 | China Financial International Investments Ltd. | Bermuda | 2001/06/08 |
| 02312 | China Financial Leasing Group Ltd. | Cayman Islands | 2002/10/28 |
| 00605 | China Financial Services Holdings Ltd. | Hong Kong | 1993/04/07 |
| 01269 | China First Capital Group Ltd. | Cayman Islands | 2011/11/23 |
| 02121 | China First Chemical Holdings Ltd. | Cayman Islands | 2011/12/09 |
| 03318 | China Flavors and Fragrances Co. Ltd. | Cayman Islands | 2005/12/09 |
| 00506 | China Foods Ltd. | Bermuda | 1988/10/07 |
| 02399 | China Fordoo Holdings Ltd. | Cayman Islands | 2014/07/16 |
| 00290 | China Fortune Financial Group Ltd. | Cayman Islands | 2001/05/03 |
| 00110 | China Fortune Holdings Ltd. | Bermuda | 2004/01/26 |
| 06881 | China Galaxy Securities Co., Ltd. - H Shares | PRC | 2013/05/22 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|------------------------------------------------------------|------------------------|--------------|
| 00384 | China Gas Holdings Ltd. | Bermuda | 1995/10/20 |
| 01191 | China Gem Holdings Ltd. | Bermuda | 1994/10/26 |
| 01851 | China Gingko Education Group Co. Ltd. | Cayman Islands | 2019/01/18 |
| 03300 | China Glass Holdings Ltd. | Bermuda | 2005/06/23 |
| 02099 | China Gold International Resources Corp. Ltd. | Canada | 2010/12/01 |
| 00512 | China Grand Pharmaceutical and Healthcare Holdings Ltd. | Bermuda | 1995/12/19 |
| 00063 | China Graphene Group Ltd. | Bermuda | 1986/02/26 |
| 00904 | China Green (Holdings) Ltd. | Bermuda | 2004/01/13 |
| 06183 | China Greenfresh Group Co., Ltd. | Cayman Islands | 2015/06/18 |
| 01253 | China Greenland Broad Greenstate Group Co. Ltd. | Cayman Islands | 2014/07/21 |
| 00359 | China Haisheng Juice Holdings Co., Ltd. | Cayman Islands | 2005/11/04 |
| 03788 | China Hanking Holdings Ltd. | Cayman Islands | 2011/09/30 |
| 03836 | China Harmony New Energy Auto Holding Ltd. | Cayman Islands | 2013/06/13 |
| 00673 | China Health Group Ltd. | Bermuda | 1996/10/14 |
| 00348 | China Healthwise Holdings Ltd. | Cayman Islands | 1997/09/30 |
| 00591 | China High Precision Automation Group Ltd. | Cayman Islands | 2009/11/13 |
| 00658 | China High Speed Transmission Equipment Group Co., Ltd. | Cayman Islands | 2007/07/04 |
| 01378 | China Hongqiao Group Ltd. | Cayman Islands | 2011/03/24 |
| 02799 | China Huarong Asset Management Co., Ltd. - H Shares | PRC | 2015/10/30 |
| 01101 | China Huarong Energy Co. Ltd. | Cayman Islands | 2010/11/19 |
| 01290 | China Huirong Financial Holdings Ltd. | Cayman Islands | 2013/10/28 |
| 01886 | China Huiyuan Juice Group Ltd. | Cayman Islands | 2007/02/23 |
| 06058 | China Industrial Securities Int'l Financial Group Ltd. | Cayman Islands | 2016/10/20 |
| 01719 | China Infrastructure & Logistics Group Ltd. | Cayman Islands | 2005/09/16 |
| 00600 | China Infrastructure Investment Ltd. | Cayman Islands | 1993/10/05 |
| 01217 | China Innovation Investment Ltd. | Cayman Islands | 2002/08/28 |
| 03908 | China International Capital Corporation Ltd. - H Shares | PRC | 2015/11/09 |
| 00264 | China International Development Corporation Ltd. | Cayman Islands | 2003/03/12 |
| 00810 | China Internet Investment Finance Holdings Ltd. | Bermuda | 2002/02/28 |
| 02039 | China Int'l Marine Containers (Group) Co., Ltd. - H Shares | PRC | 2012/12/19 |
| 01226 | China Investment and Finance Group Ltd. | Cayman Islands | 2002/09/19 |
| 00204 | China Investment Development Ltd. | Bermuda | 2000/12/12 |
| 00132 | China Investments Holdings Ltd. | Bermuda | 1973/05/18 |
| 01763 | China Isotope & Radiation Corporation - H Shares | PRC | 2018/07/06 |
| 01900 | China ITS (Holdings) Co., Ltd. | Cayman Islands | 2010/07/15 |
| 01027 | China Jicheng Holdings Ltd. | Cayman Islands | 2015/02/13 |
| 00817 | China Jinmao Holdings Group Ltd. | Hong Kong | 2007/08/17 |
| 00834 | China Kangda Food Co. Ltd. | Bermuda | 2008/12/22 |
| 01890 | China Kepei Education Group Ltd. | Cayman Islands | 2019/01/25 |
| 01380 | China Kingstone Mining Holdings Ltd. | Bermuda | 2011/03/18 |
| 01586 | China Leon Inspection Holding Ltd. | Cayman Islands | 2016/07/12 |
| 02128 | China Lesso Group Holdings Ltd. | Cayman Islands | 2010/06/23 |
| 02628 | China Life Insurance Co. Ltd. - H Shares | PRC | 2003/12/18 |
| 01234 | China Lilang Ltd. | Cayman Islands | 2009/09/25 |
| 00772 | China Literature Ltd. | Cayman Islands | 2017/11/08 |
| 00931 | China LNG Group Ltd. | Cayman Islands | 2001/10/24 |
| 01589 | China Logistics Property Holdings Co., Ltd. | Cayman Islands | 2016/07/15 |
| 01863 | China Longevity Group Co. Ltd. | Cayman Islands | 2010/04/29 |
| 00916 | China Longyuan Power Group Corporation Ltd. - H Shares | PRC | 2009/12/10 |
| 01371 | China LotSynergy Holdings Ltd. | Bermuda | 2001/10/26 |
| 02023 | China Ludao Technology Co. Ltd. | Cayman Islands | 2013/10/11 |
| 00067 | China Lumena New Materials Corp. | Cayman Islands | 2009/06/16 |
| 01829 | China Machinery Engineering Corporation - H Shares | PRC | 2012/12/21 |
| 01317 | China Maple Leaf Educational Systems Ltd. | Cayman Islands | 2014/11/28 |
| 00383 | China Medical & HealthCare Group Ltd. | Bermuda | 1991/08/01 |
| 00867 | China Medical System Holdings Ltd. | Cayman Islands | 2010/09/28 |
| 01268 | China MeiDong Auto Holdings Ltd. | Cayman Islands | 2013/12/05 |
| 02319 | China Mengniu Dairy Co. Ltd. | Cayman Islands | 2004/06/10 |
| 03968 | China Merchants Bank Co., Ltd. - H Shares | PRC | 2006/09/22 |
| 00133 | China Merchants China Direct Investments Ltd. | Hong Kong | 1993/07/22 |
| 00978 | China Merchants Land Ltd. | Cayman Islands | 1997/10/16 |
| 00144 | China Merchants Port Holdings Co. Ltd. | Hong Kong | 1992/07/15 |
| 06099 | China Merchants Securities Co., Ltd. - H Shares | PRC | 2016/10/07 |
| 01636 | China Metal Resources Utilization Ltd. | Cayman Islands | 2014/02/21 |
| 01988 | China Minsheng Banking Corp., Ltd. - H Shares | PRC | 2009/11/26 |
| 00245 | China Minsheng Financial Holding Corporation Ltd. | Hong Kong | 1972/08/25 |
| 00941 | China Mobile Ltd. | Hong Kong | 1997/10/23 |
| 01117 | China Modern Dairy Holdings Ltd. | Cayman Islands | 2010/11/26 |
| 03993 | China Molybdenum Co., Ltd. - H Shares | PRC | 2007/04/26 |
| 00026 | China Motor Bus Co., Ltd. | Hong Kong | n.a. |
| 03323 | China National Building Material Co. Ltd. - H Shares | PRC | 2006/03/23 |
| 00745 | China National Culture Group Ltd. | Cayman Islands | 2004/10/13 |
| 01321 | China New City Commercial Development Ltd. | Cayman Islands | 2014/07/10 |
| 00080 | China New Economy Fund Ltd. | Cayman Islands | 2011/01/06 |
| 02001 | China New Higher Education Group Ltd. | Cayman Islands | 2017/04/19 |
| 01278 | China New Town Development Co. Ltd. | British Virgin Islands | 2010/10/22 |
| 01258 | China Nonferrous Mining Corporation Ltd. | Hong Kong | 2012/06/29 |
| 01011 | China NT Pharma Group Co. Ltd. | Cayman Islands | 2011/04/20 |
| 00611 | China Nuclear Energy Technology Corporation Ltd. | Bermuda | 1993/02/12 |
| 00651 | China Ocean Industry Group Ltd. | Bermuda | 1998/07/23 |
| 00715 | China Oceanwide Holdings Ltd. | Bermuda | 1991/07/05 |
| 00603 | China Oil And Gas Group Ltd. | Bermuda | 1993/05/28 |
| 02883 | China Oilfield Services Ltd. - H Shares | PRC | 2002/11/20 |
| 00581 | China Oriental Group Co. Ltd. | Bermuda | 2004/03/02 |

List of listed companies on Main Board (continued)

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| Code | Company name | Incorporation | Listing date |
|-------|------------------------------------------------------------------|----------------|--------------|
| 01871 | China Oriented International Holdings Ltd. | Cayman Islands | 2019/10/24 |
| 01146 | China Outfitters Holdings Ltd. | Cayman Islands | 2011/12/09 |
| 00081 | China Overseas Grand Oceans Group Ltd. | Hong Kong | 1984/04/26 |
| 00688 | China Overseas Land & Investment Ltd. | Hong Kong | 1992/08/20 |
| 00464 | China Overseas Nuoxin International Holdings Ltd. | Cayman Islands | 2005/06/16 |
| 02669 | China Overseas Property Holdings Ltd. | Cayman Islands | 2015/10/23 |
| 02601 | China Pacific Insurance (Group) Co., Ltd. - H Shares | PRC | 2009/12/23 |
| 01736 | China Parenting Network Holdings Ltd. | Cayman Islands | 2015/07/08 |
| 01532 | China Partytime Culture Holdings Ltd. | Cayman Islands | 2015/10/16 |
| 03348 | China PengFei Group Ltd. | Cayman Islands | 2019/11/15 |
| 00386 | China Petroleum & Chemical Corporation - H Shares | PRC | 2000/10/19 |
| 01345 | China Pioneer Pharma Holdings Ltd. | Cayman Islands | 2013/11/05 |
| 02380 | China Power International Development Ltd. | Hong Kong | 2004/10/15 |
| 01838 | China Properties Group Ltd. | Cayman Islands | 2007/02/23 |
| 00736 | China Properties Investment Holdings Ltd. | Bermuda | 1992/09/30 |
| 01094 | China Public Procurement Ltd. | Bermuda | 2002/07/03 |
| 01699 | China Putian Food Holding Ltd. | Cayman Islands | 2012/07/13 |
| 00866 | China Qinfa Group Ltd. | Cayman Islands | 2009/07/03 |
| 01186 | China Railway Construction Corporation Ltd. - H Shares | PRC | 2008/03/13 |
| 00390 | China Railway Group Ltd. - H Shares | PRC | 2007/12/07 |
| 03969 | China Railway Signal & Communication Corporation Ltd. - H Shares | PRC | 2015/08/07 |
| 00769 | China Rare Earth Holdings Ltd. | Cayman Islands | 1999/10/15 |
| 01508 | China Reinsurance (Group) Corporation - H Shares | PRC | 2015/10/26 |
| 01911 | China Renaissance Holdings Ltd. | Cayman Islands | 2018/09/27 |
| 00987 | China Renewable Energy Investment Ltd. | Cayman Islands | 1994/01/27 |
| 00269 | China Resources and Transportation Group Ltd. | Cayman Islands | 1973/03/19 |
| 00291 | China Resources Beer (Holdings) Co. Ltd. | Hong Kong | n.a. |
| 01313 | China Resources Cement Holdings Ltd. | Cayman Islands | 2009/10/06 |
| 01193 | China Resources Gas Group Ltd. | Bermuda | 1994/11/07 |
| 01109 | China Resources Land Ltd. | Cayman Islands | 1996/11/08 |
| 01515 | China Resources Medical Holdings Co. Ltd. | Cayman Islands | 2013/11/29 |
| 03320 | China Resources Pharmaceutical Group Ltd. | Hong Kong | 2016/10/28 |
| 00836 | China Resources Power Holdings Co. Ltd. | Hong Kong | 2003/11/12 |
| 01907 | China Risun Group Ltd. | Cayman Islands | 2019/03/15 |
| 03963 | China Rongzhong Financial Holdings Co. Ltd. | Cayman Islands | 2016/01/28 |
| 00527 | China Ruifeng Renewable Energy Holdings Ltd. | Cayman Islands | 2006/06/09 |
| 01365 | China Rundong Auto Group Ltd. | Cayman Islands | 2014/08/12 |
| 00153 | China Saite Group Co. Ltd. | Cayman Islands | 2013/11/01 |
| 00910 | China Sandi Holdings Ltd. | Bermuda | 1998/12/01 |
| 02198 | China Sanjiang Fine Chemicals Co. Ltd. | Cayman Islands | 2010/09/16 |
| 01966 | China SCE Group Holdings Ltd. | Cayman Islands | 2010/02/05 |
| 00412 | China Shandong Hi-Speed Financial Group Ltd. | Bermuda | 1992/04/15 |
| 00691 | China Shanshui Cement Group Ltd. | Cayman Islands | 2008/07/04 |
| 01676 | China Shenghai Food Holdings Co. Ltd. | Cayman Islands | 2017/07/18 |
| 01432 | China Shengmu Organic Milk Ltd. | Cayman Islands | 2014/07/15 |
| 01088 | China Shenhua Energy Co. Ltd. - H Shares | PRC | 2005/06/15 |
| 02877 | China Shineway Pharmaceutical Group Ltd. | Cayman Islands | 2004/12/02 |
| 00974 | China Shun Ke Long Holdings Ltd. | Cayman Islands | 2015/09/10 |
| 00815 | China Silver Group Ltd. | Cayman Islands | 2012/12/28 |
| 00750 | China Singyes Solar Technologies Holdings Ltd. | Bermuda | 2009/01/13 |
| 00485 | China Sinostar Group Co. Ltd. | Bermuda | 1988/04/12 |
| 01004 | China Smarter Energy Group Holdings Ltd. | Bermuda | 1997/10/09 |
| 00155 | China Solar Energy Holdings Ltd. | Bermuda | 1988/09/05 |
| 01668 | China South City Holdings Ltd. | Hong Kong | 2009/09/30 |
| 01055 | China Southern Airlines Co. Ltd. - H Shares | PRC | 1997/07/31 |
| 00326 | China Star Entertainment Ltd. | Bermuda | 1992/11/12 |
| 03838 | China Starch Holdings Ltd. | Cayman Islands | 2007/09/27 |
| 00830 | China State Construction Development Holdings Ltd. | Cayman Islands | 2010/03/30 |
| 03311 | China State Construction International Holdings Ltd. | Cayman Islands | 2005/07/08 |
| 00235 | China Strategic Holdings Ltd. | Hong Kong | 1972/12/04 |
| 03623 | China Success Finance Group Holdings Ltd. | Cayman Islands | 2013/11/13 |
| 02002 | China Sunshine Paper Holdings Co. Ltd. | Cayman Islands | 2007/12/12 |
| 00956 | China Suntien Green Energy Corporation Ltd. - H Shares | PRC | 2010/10/13 |
| 00966 | China Taiping Insurance Holdings Co. Ltd. | Hong Kong | 2000/06/29 |
| 00674 | China Tangshang Holdings Ltd. | Bermuda | 1991/01/25 |
| 00728 | China Telecom Corporation Ltd. - H Shares | PRC | 2002/11/15 |
| 01600 | China Tian Lun Gas Holdings Ltd. | Cayman Islands | 2010/11/10 |
| 00557 | China Tian Yuan Healthcare Group Ltd. | Cayman Islands | 1989/12/29 |
| 01427 | China Tianbao Group Development Co. Ltd. | Cayman Islands | 2019/11/11 |
| 06162 | China Tianrui Automotive Interiors Co., Ltd. | Cayman Islands | 2019/01/15 |
| 01252 | China Tianrui Group Cement Co. Ltd. | Cayman Islands | 2011/12/23 |
| 03398 | China Ting Group Holdings Ltd. | Cayman Islands | 2005/12/15 |
| 02188 | China Titans Energy Technology Group Co., Ltd. | Cayman Islands | 2010/05/28 |
| 06055 | China Tobacco International (HK) Co. Ltd. | Hong Kong | 2019/06/12 |
| 00952 | China Tonghai International Financial Ltd. | Bermuda | 1997/09/24 |
| 00389 | China Tontine Wines Group Ltd. | Bermuda | 2009/11/19 |
| 01332 | China Touyun Tech Group Ltd. | Bermuda | 2012/07/12 |
| 00788 | China Tower Corporation Ltd. - H Shares | PRC | 2018/08/08 |
| 00570 | China Traditional Chinese Medicine Holdings Co. Ltd. | Hong Kong | 1993/04/07 |
| 00308 | China Travel International Investment Hong Kong Ltd. | Hong Kong | 1992/11/11 |
| 00762 | China Unicom (Hong Kong) Ltd. | Hong Kong | 2000/06/22 |
| 02330 | China Uptown Group Co. Ltd. | Cayman Islands | 2003/01/29 |
| 06168 | China U-Ton Holdings Ltd. | Cayman Islands | 2012/06/12 |
| 00893 | China Vanadium Titano-Magnetite Mining Co. Ltd. | Cayman Islands | 2009/10/08 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------------|----------------|--------------|
| 02202 | China Vanke Co., Ltd. - H Shares | PRC | 2014/06/25 |
| 06166 | China VAST Industrial Urban Development Co. Ltd. | Cayman Islands | 2014/08/25 |
| 00648 | China Wah Yan Healthcare Ltd. | Hong Kong | 1990/10/29 |
| 06966 | China Wan Tong Yuan (Holdings) Ltd. | Cayman Islands | 2017/09/27 |
| 00855 | China Water Affairs Group Ltd. | Bermuda | 1999/10/11 |
| 01129 | China Water Industry Group Ltd. | Cayman Islands | 2002/01/17 |
| 03778 | China Weaving Materials Holdings Ltd. | Cayman Islands | 2011/12/22 |
| 01885 | China Wood Optimization (Holding) Ltd. | Cayman Islands | 2014/01/06 |
| 02779 | China Xinhua Education Group Ltd. | Cayman Islands | 2018/03/26 |
| 01866 | China XLX Fertiliser Ltd. | Singapore | 2009/12/08 |
| 03669 | China Yongda Automobiles Services Holdings Ltd. | Cayman Islands | 2012/07/12 |
| 06169 | China YuHua Education Corporation Ltd. | Cayman Islands | 2017/02/28 |
| 01068 | China Yurun Food Group Ltd. | Bermuda | 2005/10/03 |
| 01728 | China ZhengTong Auto Services Holdings Ltd. | Cayman Islands | 2010/12/10 |
| 02016 | China Zheshang Bank Co., Ltd. - H Shares | PRC | 2016/03/30 |
| 01492 | China ZhongDi Dairy Holdings Co. Ltd. | Cayman Islands | 2015/12/02 |
| 01333 | China Zhongwang Holdings Ltd. | Cayman Islands | 2009/05/08 |
| 01123 | China-Hongkong Photo Products Holdings Ltd. | Bermuda | 1994/09/19 |
| 00354 | Chinasoft International Ltd. | Cayman Islands | 2003/06/20 |
| 00127 | Chinese Estates Holdings Ltd. | Bermuda | n.a. |
| 00681 | Chinese People Holdings Co. Ltd. | Bermuda | 1997/04/24 |
| 03728 | Ching Lee Holdings Ltd. | Cayman Islands | 2016/03/29 |
| 00997 | Chinlink International Holdings Ltd. | Bermuda | 2000/03/10 |
| 00385 | Chinney Alliance Group Ltd. | Bermuda | 1993/10/15 |
| 00216 | Chinney Investments, Ltd. | Hong Kong | 1973/01/31 |
| 01556 | Chinney Kin Wing Holdings Ltd. | Bermuda | 2015/11/11 |
| 01111 | Chong Hing Bank Ltd. | Hong Kong | 1994/07/11 |
| 01609 | Chong Kin Group Holdings Ltd. | Cayman Islands | 2016/10/17 |
| 01053 | Chongqing Iron & Steel Co. Ltd. - H Shares | PRC | 1997/10/17 |
| 02722 | Chongqing Machinery & Electric Co., Ltd. - H Shares | PRC | 2008/06/13 |
| 03618 | Chongqing Rural Commercial Bank Co., Ltd. - H Shares | PRC | 2010/12/16 |
| 00116 | Chow Sang Sang Holdings International Ltd. | Bermuda | 1973/04/06 |
| 01929 | Chow Tai Fook Jewellery Group Ltd. | Cayman Islands | 2011/12/15 |
| 01210 | Christine International Holdings Ltd. | Cayman Islands | 2012/02/23 |
| 00641 | CHTC Fong's International Co. Ltd. | Bermuda | 1990/10/12 |
| 01938 | Chu Kong Petroleum and Natural Gas Steel Pipe Holdings Ltd. | Cayman Islands | 2010/02/10 |
| 00560 | Chu Kong Shipping Enterprises (Group) Co., Ltd. | Hong Kong | 1997/05/23 |
| 01420 | Chuan Holdings Ltd. | Cayman Islands | 2016/06/08 |
| 00298 | Chuang's China Investments Ltd. | Bermuda | n.a. |
| 00367 | Chuang's Consortium International Ltd. | Bermuda | 1987/01/16 |
| 00884 | CIFI Holdings (Group) Co. Ltd. | Cayman Islands | 2012/11/23 |
| 00479 | CIL Holdings Ltd. | Bermuda | 1993/07/21 |
| 03899 | CIMC Enric Holdings Ltd. | Cayman Islands | 2006/07/20 |
| 01839 | CIMC Vehicles (Group) Co., Ltd. - H Shares | PRC | 2019/07/11 |
| 00445 | CIMC-TianDa Holdings Co. Ltd. | Cayman Islands | 2002/09/30 |
| 00111 | Cinda International Holdings Ltd. | Bermuda | 2000/08/01 |
| 01091 | CITIC Dameng Holdings Ltd. | Bermuda | 2010/11/18 |
| 00267 | CITIC Ltd. | Hong Kong | 1986/02/26 |
| 01205 | CITIC Resources Holdings Ltd. | Bermuda | 1997/09/08 |
| 06030 | CITIC Securities Co. Ltd. - H Shares | PRC | 2011/10/06 |
| 01883 | CITIC Telecom International Holdings Ltd. | Hong Kong | 2007/04/03 |
| 00256 | Citychamp Watch & Jewellery Group Ltd. | Cayman Islands | 1991/12/10 |
| 01113 | CK Asset Holdings Ltd. | Cayman Islands | 2015/06/03 |
| 00001 | CK Hutchison Holdings Ltd. | Cayman Islands | 1972/11/01 |
| 01038 | CK Infrastructure Holdings Ltd. | Bermuda | 1996/07/17 |
| 00775 | CK Life Sciences Int'l., (Holdings) Inc. | Cayman Islands | 2002/07/16 |
| 00100 | Clear Media Ltd. | Bermuda | 2001/12/19 |
| 03686 | Clifford Modern Living Holdings Ltd. | Cayman Islands | 2016/11/08 |
| 00002 | CLP Holdings Ltd. | Hong Kong | n.a. |
| 06877 | CLSA Premium Ltd. | Cayman Islands | 2013/07/03 |
| 01141 | CMBC Capital Holdings Ltd. | Bermuda | 1998/03/12 |
| 03309 | C-MER Eye Care Holdings Ltd. | Cayman Islands | 2018/01/15 |
| 00302 | CMGE Technology Group Ltd. | Cayman Islands | 2019/10/31 |
| 00206 | CMIC Ocean En-Tech Holding Co., Ltd. | Cayman Islands | 2005/11/28 |
| 00471 | CMMB Vision Holdings Ltd. | Cayman Islands | 2005/10/10 |
| 01792 | CMON Ltd. | Cayman Islands | 2016/12/02 |
| 02302 | CNNC International Ltd. | Cayman Islands | 2003/01/06 |
| 00883 | CNOOC Ltd. | Hong Kong | 2001/02/28 |
| 01240 | CNQC International Holdings Ltd. | Cayman Islands | 2012/10/18 |
| 00701 | CNT Group Ltd. | Bermuda | 1991/05/21 |
| 01124 | Coastal Greenland Ltd. | Bermuda | 1997/10/16 |
| 00428 | Cocoon Holdings Ltd. | Bermuda | 1993/12/14 |
| 01610 | COFCO Meat Holdings Ltd. | Cayman Islands | 2016/11/01 |
| 00400 | Cogobuy Group | Cayman Islands | 2014/07/18 |
| 01778 | Colour Life Services Group Co., Ltd. | Cayman Islands | 2014/06/30 |
| 02342 | Comba Telecom Systems Holdings Ltd. | Cayman Islands | 2003/07/15 |
| 00794 | Come Sure Group (Holdings) Ltd. | Cayman Islands | 2009/02/26 |
| 00046 | Computer And Technologies Holdings Ltd. | Bermuda | 1998/05/18 |
| 00320 | Computime Group Ltd. | Cayman Islands | 2006/10/09 |
| 00712 | Comtec Solar Systems Group Ltd. | Cayman Islands | 2009/10/30 |
| 00182 | Concord New Energy Group Ltd. | Bermuda | 1991/11/27 |
| 01967 | Confidence Intelligence Holdings Ltd. | Cayman Islands | 2019/10/18 |
| 01681 | Consun Pharmaceutical Group Ltd. | Cayman Islands | 2013/12/19 |
| 01912 | Contel Technology Co. Ltd. | Cayman Islands | 2019/07/16 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------------|----------------|--------------|
| 00513 | Continental Holdings Ltd. | Hong Kong | 1988/11/08 |
| 00831 | Convenience Retail Asia Ltd. | Cayman Islands | 2001/01/18 |
| 01019 | Convoy Global Holdings Ltd. | Cayman Islands | 2010/07/13 |
| 02369 | Coolpad Group Ltd. | Cayman Islands | 2004/12/09 |
| 00339 | Core Economy Investment Group Ltd. | Bermuda | 2000/07/26 |
| 02866 | COSCO SHIPPING Development Co., Ltd. - H Shares | PRC | 2004/06/16 |
| 01138 | COSCO SHIPPING Energy Transportation Co., Ltd. - H Shares | PRC | 1994/11/11 |
| 01919 | COSCO SHIPPING Holdings Co., Ltd. - H Shares | PRC | 2005/06/30 |
| 00517 | COSCO SHIPPING International (Hong Kong) Co., Ltd. | Bermuda | 1992/02/11 |
| 01199 | COSCO SHIPPING Ports Ltd. | Bermuda | 1994/12/19 |
| 01043 | Coslight Technology International Group Ltd. | Bermuda | 1999/11/17 |
| 02298 | Cosmo Lady (China) Holdings Co. Ltd. | Cayman Islands | 2014/06/26 |
| 00120 | Cosmopolitan International Holdings Ltd. | Cayman Islands | n.a. |
| 00118 | Cosmos Machinery Enterprises Ltd. | Hong Kong | 1988/12/12 |
| 02007 | Country Garden Holdings Co. Ltd. | Cayman Islands | 2007/04/20 |
| 06098 | Country Garden Services Holdings Co. Ltd. | Cayman Islands | 2018/06/19 |
| 01145 | Courage Investment Group Ltd. | Bermuda | 2011/06/24 |
| 01415 | Cowell e Holdings Inc. | Cayman Islands | 2015/03/31 |
| 01932 | CPM Group Ltd. | Cayman Islands | 2017/07/10 |
| 00906 | CPMC Holdings Ltd. | Hong Kong | 2009/11/16 |
| 01582 | CR Construction Group Holdings Ltd. | Cayman Islands | 2019/10/16 |
| 01786 | CRCC High-Tech Equipment Corporation Ltd. - H Shares | PRC | 2015/12/16 |
| 03992 | Creative Enterprise Holdings Ltd. | Cayman Islands | 2018/12/11 |
| 00122 | Crocodile Garments Ltd. | Hong Kong | n.a. |
| 00032 | Cross-Harbour (Holdings) Ltd., The | Hong Kong | 1974/07/30 |
| 03893 | CROSSTEC Group Holdings Ltd. | Cayman Islands | 2016/09/12 |
| 00727 | Crown International Corporation Ltd. | Hong Kong | 1998/01/16 |
| 01766 | CRRC Corporation Ltd. - H Shares | PRC | 2008/08/21 |
| 02232 | Crystal International Group Ltd. | Cayman Islands | 2017/11/03 |
| 06066 | CSC Financial Co., Ltd. - H Shares | PRC | 2016/12/09 |
| 00497 | CSI Properties Ltd. | Bermuda | 1992/04/15 |
| 01815 | CSMall Group Ltd. | Cayman Islands | 2018/03/13 |
| 01093 | CSPC Pharmaceutical Group Ltd. | Hong Kong | 1994/06/21 |
| 03877 | CSSC (Hong Kong) Shipping Co. Ltd. | Hong Kong | 2019/06/17 |
| 00317 | CSSC Offshore & Marine Engineering (Group) Co. Ltd. - H Shs | PRC | 1993/08/06 |
| 00985 | CST Group Ltd. | Cayman Islands | 1994/02/02 |
| 02616 | CStone Pharmaceuticals - B | Cayman Islands | 2019/02/26 |
| 01363 | CT Environmental Group Ltd. | Cayman Islands | 2013/09/25 |
| 00994 | CT Vision (International) Holdings Ltd. | Cayman Islands | 2017/07/17 |
| 01620 | CTEH Inc. | Cayman Islands | 2018/06/28 |
| 00343 | Culturecom Holdings Ltd. | Bermuda | 1986/08/12 |
| 01322 | CW Group Holdings Ltd. | Cayman Islands | 2012/04/13 |
| 00521 | CWT International Ltd. | Hong Kong | 1988/12/23 |
| 01020 | Cybernaut International Holdings Co. Ltd. | Cayman Islands | 2010/07/07 |
| 01301 | D&G Technology Holding Co. Ltd. | Cayman Islands | 2015/05/27 |
| 01090 | Da Ming International Holdings Ltd. | Cayman Islands | 2010/12/01 |
| 01580 | Da Sen Holdings Group Ltd. | Cayman Islands | 2016/12/19 |
| 01073 | Da Yu Financial Holdings Ltd. | Cayman Islands | 2002/01/14 |
| 03999 | DaChan Food (Asia) Ltd. | Cayman Islands | 2007/10/04 |
| 06111 | DaFa Properties Group Ltd. | Cayman Islands | 2018/10/11 |
| 01826 | Dafy Holdings Ltd. | Cayman Islands | 2015/09/30 |
| 01828 | Dah Chong Hong Holdings Ltd. | Hong Kong | 2007/10/17 |
| 02356 | Dah Sing Banking Group Ltd. | Hong Kong | 2004/06/30 |
| 00440 | Dah Sing Financial Holdings Ltd. | Hong Kong | 1987/11/05 |
| 00544 | Daido Group Ltd. | Bermuda | 1989/12/14 |
| 00567 | Daisho Microline Holdings Ltd. | Bermuda | 1990/01/31 |
| 03799 | Dali Foods Group Co. Ltd. | Cayman Islands | 2015/11/20 |
| 02880 | Dalian Port (PDA) Co. Ltd. - H Shares | PRC | 2006/04/28 |
| 01921 | Dalipal Holdings Ltd. | Cayman Islands | 2019/11/08 |
| 00915 | Daohe Global Group Ltd. | Bermuda | 2002/05/10 |
| 00210 | Daphne International Holdings Ltd. | Cayman Islands | 1995/11/03 |
| 01272 | Datang Environment Industry Group Co., Ltd. - H Shares | PRC | 2016/11/15 |
| 00991 | Datang International Power Generation Co., Ltd. - H Shares | PRC | 1997/03/21 |
| 00889 | Datronix Holdings Ltd. | Bermuda | 2001/06/22 |
| 02348 | Dawnrays Pharmaceutical (Holdings) Ltd. | Cayman Islands | 2003/07/11 |
| 03335 | DBA Telecommunication (Asia) Holdings Ltd. | Cayman Islands | 2006/05/11 |
| 01452 | Denox Environmental & Technology Holdings Ltd. | Cayman Islands | 2015/11/12 |
| 01545 | Design Capital Ltd. | Cayman Islands | 2019/04/25 |
| 00262 | Deson Development International Holdings Ltd. | Bermuda | 1997/06/10 |
| 00559 | DeTai New Energy Group Ltd. | Bermuda | 1997/01/03 |
| 02019 | Dexin China Holdings Co. Ltd. | Cayman Islands | 2019/02/26 |
| 03958 | DFZQ - H Shares | PRC | 2016/07/08 |
| 00113 | Dickson Concepts (International) Ltd. | Bermuda | 1973/03/15 |
| 06878 | Differ Group Holding Co. Ltd. | Cayman Islands | 2013/12/09 |
| 00861 | Digital China Holdings Ltd. | Bermuda | 2001/06/01 |
| 00547 | Digital Domain Holdings Ltd. | Bermuda | 1992/07/06 |
| 02022 | Digital Hollywood Interactive Ltd. | Cayman Islands | 2017/12/15 |
| 00705 | Ding He Mining Holdings Ltd. | Hong Kong | 2008/12/22 |
| 00508 | Dingyi Group Investment Ltd. | Bermuda | 1988/10/26 |
| 00726 | DIT Group Ltd. | Bermuda | 1991/07/25 |
| 01072 | Dongfang Electric Corporation Ltd. - H Shares | PRC | 1994/06/06 |
| 00489 | Dongfeng Motor Group Co. Ltd. - H Shares | PRC | 2005/12/07 |
| 01702 | Dongguang Chemical Ltd. | Cayman Islands | 2017/07/11 |
| 00895 | Dongjiang Environmental Co. Ltd. - H Shares | PRC | 2003/01/29 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|------------------------|--------------|
| 00695 | Dongwu Cement International Ltd. | Cayman Islands | 2012/06/13 |
| 00189 | Dongyue Group Ltd. | Cayman Islands | 2007/12/10 |
| 01917 | Doumob | Cayman Islands | 2019/03/14 |
| 00668 | Doyen International Holdings Ltd. | Hong Kong | 1990/12/12 |
| 00935 | Dragon Crown Group Holdings Ltd. | Cayman Islands | 2011/06/10 |
| 01712 | Dragon Mining Ltd. | Australia | 2018/11/05 |
| 06829 | Dragon Rise Group Holdings Ltd. | Cayman Islands | 2018/02/08 |
| 01126 | Dream International Ltd. | Hong Kong | 2002/02/07 |
| 00593 | DreamEast Group Ltd. | Bermuda | 1993/07/27 |
| 00356 | DT Capital Ltd. | Cayman Islands | 2002/06/07 |
| 00620 | DTXS Silk Road Investment Holdings Co. Ltd. | Bermuda | 1991/09/30 |
| 01753 | Duiba Group Ltd. | Cayman Islands | 2019/05/07 |
| 01330 | Dynagreen Environmental Protection Group Co., Ltd. -H Shares | PRC | 2014/06/19 |
| 06889 | DYNAM JAPAN HOLDINGS Co., Ltd. | Japan | 2012/08/06 |
| 00029 | Dynamic Holdings Ltd. | Bermuda | n.a. |
| 00828 | Dynasty Fine Wines Group Ltd. | Cayman Islands | 2005/01/26 |
| 00599 | E. Bon Holdings Ltd. | Cayman Islands | 2000/04/12 |
| 00936 | Eagle Legend Asia Ltd. | Cayman Islands | 2010/07/19 |
| 02368 | Eagle Nice (International) Holdings Ltd. | Cayman Islands | 2003/08/22 |
| 00901 | Eagle Ride Investment Holdings Ltd. | Cayman Islands | 2002/02/28 |
| 06128 | Earthasia International Holdings Ltd. | Cayman Islands | 2014/06/25 |
| 00221 | Easy One Financial Group Ltd. | Bermuda | 2002/11/07 |
| 01218 | Easyknit International Holdings Ltd. | Bermuda | 1995/01/30 |
| 02341 | EcoGreen International Group Ltd. | Cayman Islands | 2004/03/09 |
| 01733 | E-Commodities Holdings Ltd. | British Virgin Islands | 2010/10/11 |
| 01410 | Edvance International Holdings Ltd. | Cayman Islands | 2017/04/19 |
| 00382 | Edvantage Group Holdings Ltd. | Cayman Islands | 2019/07/16 |
| 03709 | EEKA Fashion Holdings Ltd. | Cayman Islands | 2014/06/27 |
| 00943 | eForce Holdings Ltd. | Bermuda | 1997/06/25 |
| 06882 | EGL Holdings Co. Ltd. | Cayman Islands | 2014/11/28 |
| 02048 | E-House (China) Enterprise Holdings Ltd. | Cayman Islands | 2018/07/20 |
| 00076 | Elate Holdings Ltd. | Hong Kong | 1984/09/24 |
| 01151 | Elec & Eltek International Co. Ltd. | Singapore | 2011/07/08 |
| 00907 | Elegance Optical International Holdings Ltd. | Bermuda | 1996/04/11 |
| 00223 | Elife Holdings Ltd. | Cayman Islands | 2002/04/10 |
| 01395 | ELL Environmental Holdings Ltd. | Cayman Islands | 2014/09/26 |
| 01388 | Embry Holdings Ltd. | Cayman Islands | 2006/12/18 |
| 00616 | Eminence Enterprise Ltd. | Bermuda | 1991/09/16 |
| 00717 | Emperor Capital Group Ltd. | Bermuda | 2007/04/24 |
| 00491 | Emperor Culture Group Ltd. | Bermuda | 1992/06/10 |
| 00296 | Emperor Entertainment Hotel Ltd. | Bermuda | n.a. |
| 00163 | Emperor International Holdings Ltd. | Bermuda | 1972/11/14 |
| 00887 | Emperor Watch & Jewellery Ltd. | Hong Kong | 2008/07/21 |
| 00353 | Energy International Investments Holdings Ltd. | Cayman Islands | 2001/07/26 |
| 00128 | ENM Holdings Ltd. | Hong Kong | n.a. |
| 02688 | ENN Energy Holdings Ltd. | Cayman Islands | 2002/06/03 |
| 01808 | Enterprise Development Holdings Ltd. | Cayman Islands | 2007/01/11 |
| 01102 | Enviro Energy International Holdings Ltd. | Cayman Islands | 2007/06/06 |
| 00689 | EPI (Holdings) Ltd. | Bermuda | 1991/04/15 |
| 01884 | eprint Group Ltd. | Cayman Islands | 2013/12/03 |
| 01856 | Ernest Borel Holdings Ltd. | Cayman Islands | 2014/07/11 |
| 00330 | Esprit Holdings Ltd. | Bermuda | 1993/12/09 |
| 01821 | ESR Cayman Ltd. | Cayman Islands | 2019/11/01 |
| 01061 | Essex Bio-Technology Ltd. | Cayman Islands | 2001/06/27 |
| 00571 | eSun Holdings Ltd. | Bermuda | n.a. |
| 00764 | Eternity Investment Ltd. | Bermuda | 2000/02/15 |
| 01725 | Eternity Technology Holdings Ltd. | Cayman Islands | 2018/08/16 |
| 01846 | EuroEyes International Eye Clinic Ltd. | Cayman Islands | 2019/10/15 |
| 00838 | EVA Precision Industrial Holdings Ltd. | Cayman Islands | 2005/05/11 |
| 01549 | Ever Harvest Group Holdings Ltd. | Cayman Islands | 2016/07/06 |
| 03616 | Ever Reach Group (Holdings) Co. Ltd. | Cayman Islands | 2018/11/12 |
| 01995 | Ever Sunshine Lifestyle Services Group Ltd. | Cayman Islands | 2018/12/17 |
| 03699 | Everbright Grand China Assets Ltd. | Cayman Islands | 2018/01/16 |
| 06178 | Everbright Securities Co. Ltd. - H Shares | PRC | 2016/08/18 |
| 00202 | EverChina Int'l Holdings Co. Ltd. | Hong Kong | n.a. |
| 00708 | Evergrande Health Industry Group Ltd. | Hong Kong | 2008/02/12 |
| 00238 | Evergreen International Holdings Ltd. | Cayman Islands | 2010/11/04 |
| 01962 | Evergreen Products Group Ltd. | Cayman Islands | 2017/07/12 |
| 02308 | EVOC Intelligent Technology Co. Ltd. - H Shares | PRC | 2003/10/10 |
| 00858 | Extrawell Pharmaceutical Holdings Ltd. | Bermuda | 1999/03/10 |
| 00052 | Fairwood Holdings Ltd. | Bermuda | 1991/10/09 |
| 01777 | Fantasia Holdings Group Co., Ltd. | Cayman Islands | 2009/11/25 |
| 00035 | Far East Consortium International Ltd. | Cayman Islands | 1972/09/21 |
| 00036 | Far East Holdings International Ltd. | Hong Kong | 1973/02/12 |
| 03360 | Far East Horizon Ltd. | Hong Kong | 2011/03/30 |
| 00037 | Far East Hotels & Entertainment Ltd. | Hong Kong | 1979/07/03 |
| 06288 | Fast Retailing Co. Ltd. - DR | Japan | 2014/03/05 |
| 00729 | FDG Electric Vehicles Ltd. | Bermuda | 1991/10/03 |
| 00378 | FDG Kinetic Ltd. | Bermuda | 1986/09/10 |
| 01738 | Feishang Anthracite Resources Ltd. | British Virgin Islands | 2014/01/22 |
| 01901 | Feiyang International Holdings Group Ltd. | Cayman Islands | 2019/06/28 |
| 01022 | Feiyu Technology International Co. Ltd. | Cayman Islands | 2014/12/05 |
| 02038 | FIH Mobile Ltd. | Cayman Islands | 2005/02/03 |
| 06860 | FingerTango Inc. | Cayman Islands | 2018/07/12 |

List of listed companies on Main Board (continued)

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| Code | Company name | Incorporation | Listing date |
|-------|----------------------------------------------------|----------------|--------------|
| 01909 | Fire Rock Holdings Ltd. | Cayman Islands | 2016/02/18 |
| 00142 | First Pacific Co. Ltd. | Bermuda | 1988/09/12 |
| 00227 | First Shanghai Investments Ltd. | Hong Kong | 1972/08/24 |
| 00038 | First Tractor Co Ltd. - H Shares | PRC | 1997/06/23 |
| 06088 | FIT Hon Teng Ltd. | Cayman Islands | 2017/07/13 |
| 06865 | Flat Glass Group Co., Ltd. - H Shares | PRC | 2015/11/26 |
| 01998 | Flyke International Holdings Ltd. | Cayman Islands | 2010/03/29 |
| 02310 | Forebase International Holdings Ltd. | Hong Kong | 2003/07/04 |
| 00484 | Forgame Holdings Ltd. | Cayman Islands | 2013/10/03 |
| 00352 | Fortune Sun (China) Holdings Ltd. | Cayman Islands | 2006/07/05 |
| 01039 | Fortunet e-Commerce Group Ltd. | Cayman Islands | 2010/09/24 |
| 00656 | Fosun International Ltd. | Hong Kong | 2007/07/16 |
| 01992 | Fosun Tourism Group | Cayman Islands | 2018/12/14 |
| 00418 | Founder Holdings Ltd. | Bermuda | 1995/12/21 |
| 00420 | Fountain Set (Holdings) Ltd. | Hong Kong | 1988/04/20 |
| 00374 | Four Seas Mercantile Holdings Ltd. | Cayman Islands | 1993/08/25 |
| 00279 | Freeman FinTech Corporation Ltd. | Cayman Islands | 1988/05/11 |
| 06888 | Freetech Road Recycling Technology (Holdings) Ltd. | Cayman Islands | 2013/06/26 |
| 01175 | Fresh Express Delivery Holdings Group Co., Ltd. | Cayman Islands | 2004/12/17 |
| 06820 | FriendTimes Inc. | Cayman Islands | 2019/10/08 |
| 01521 | Frontage Holdings Corporation | Cayman Islands | 2019/05/30 |
| 00500 | Frontier Services Group Ltd. | Bermuda | 1988/07/29 |
| 00331 | FSE Services Group Ltd. | Cayman Islands | 2015/12/10 |
| 01721 | FSM Holdings Ltd. | Cayman Islands | 2018/07/16 |
| 01448 | Fu Shou Yuan International Group Ltd. | Cayman Islands | 2013/12/19 |
| 00546 | Fufeng Group Ltd. | Cayman Islands | 2007/02/08 |
| 00181 | Fujian Holdings Ltd. | Hong Kong | 1973/02/22 |
| 01353 | Fujian Nuoqi Co., Ltd. - H Shares | PRC | 2014/01/09 |
| 00927 | Fujikon Industrial Holdings Ltd. | Bermuda | 2000/04/11 |
| 00607 | Fullshare Holdings Ltd. | Cayman Islands | 2002/12/18 |
| 00627 | Fullsun International Holdings Group Co., Ltd. | Bermuda | 2000/11/13 |
| 01034 | Fullwealth Construction Holdings Co. Ltd. | Cayman Islands | 2018/10/30 |
| 01443 | Fulum Group Holdings Ltd. | Cayman Islands | 2014/11/13 |
| 01652 | Fusen Pharmaceutical Co. Ltd. | Cayman Islands | 2018/07/11 |
| 00465 | Futong Technology Development Holdings Ltd. | Cayman Islands | 2009/12/04 |
| 00703 | Future Bright Holdings Ltd. | Bermuda | 2002/08/15 |
| 02212 | Future Bright Mining Holdings Ltd. | Cayman Islands | 2015/01/09 |
| 00572 | Future World Financial Holdings Ltd. | Cayman Islands | 2003/07/02 |
| 03606 | Fuyao Glass Industry Group Co., Ltd. - H Shares | PRC | 2015/03/31 |
| 06038 | G & M Holdings Ltd. | Cayman Islands | 2017/06/13 |
| 09900 | Gain Plus Holdings Ltd. | Cayman Islands | 2018/02/13 |
| 00027 | Galaxy Entertainment Group Ltd. | Hong Kong | 1991/10/07 |
| 01772 | Ganfeng Lithium Co., Ltd. - H Shares | PRC | 2018/10/11 |
| 00451 | GCL New Energy Holdings Ltd. | Bermuda | 1992/03/25 |
| 03800 | GCL-Poly Energy Holdings Ltd. | Cayman Islands | 2007/11/13 |
| 00175 | Geely Automobile Holdings Ltd. | Cayman Islands | 1973/02/23 |
| 00535 | Gemdale Properties and Investment Corporation Ltd. | Bermuda | 1989/10/16 |
| 06163 | Gemilang International Ltd. | Cayman Islands | 2016/11/11 |
| 00174 | Gemini Investments (Holdings) Ltd. | Hong Kong | 1988/10/05 |
| 02666 | Genertec Universal Medical Group Co. Ltd. | Hong Kong | 2015/07/08 |
| 01548 | Genscript Biotech Corporation | Cayman Islands | 2015/12/30 |
| 00678 | Genting Hong Kong Ltd. | Bermuda | 2000/11/30 |
| 01707 | Geotech Holdings Ltd. | Cayman Islands | 2017/10/12 |
| 01469 | Get Nice Financial Group Ltd. | Cayman Islands | 2016/04/08 |
| 00064 | Get Nice Holdings Ltd. | Cayman Islands | 2002/06/06 |
| 01776 | GF Securities Co., Ltd. - H Shares | PRC | 2015/04/10 |
| 00709 | Giordano International Ltd. | Bermuda | 1991/06/19 |
| 00809 | Global Bio-chem Technology Group Co. Ltd. | Cayman Islands | 2001/03/16 |
| 00787 | Global Brands Group Holding Ltd. | Bermuda | 2014/07/09 |
| 01669 | Global International Credit Group Ltd. | Cayman Islands | 2014/12/12 |
| 00905 | Global Mastermind Capital Ltd. | Bermuda | 1998/07/17 |
| 03889 | Global Sweeteners Holdings Ltd. | Cayman Islands | 2007/09/20 |
| 00845 | Glorious Property Holdings Ltd. | Cayman Islands | 2009/10/02 |
| 00393 | Glorious Sun Enterprises Ltd. | Bermuda | 1996/09/17 |
| 01282 | Glory Sun Financial Group Ltd. | Cayman Islands | 2010/12/15 |
| 00299 | Glory Sun Land Group Ltd. | Cayman Islands | 2004/04/30 |
| 01854 | Goal Forward Holdings Ltd. | Cayman Islands | 2016/10/13 |
| 01529 | Goal Rise Logistics (China) Holdings Ltd. | Cayman Islands | 2017/10/18 |
| 00040 | Gold Peak Industries (Holdings) Ltd. | Hong Kong | 1984/07/02 |
| 00172 | Goldbond Group Holdings Ltd. | Hong Kong | n.a. |
| 03308 | Golden Eagle Retail Group Ltd. | Cayman Islands | 2006/03/21 |
| 02863 | Golden Faith Group Holdings Ltd. | Cayman Islands | 2017/08/11 |
| 00801 | Golden Meditech Holdings Ltd. | Cayman Islands | 2001/12/28 |
| 01783 | Golden Ponder Holdings Ltd. | Cayman Islands | 2018/08/22 |
| 03919 | Golden Power Group Holdings Ltd. | Cayman Islands | 2015/06/05 |
| 00677 | Golden Resources Development International Ltd. | Bermuda | 1991/01/28 |
| 02123 | Golden Shield Holdings (Industrial) Ltd. | Bermuda | 2011/07/14 |
| 06896 | Golden Throat Holdings Group Co. Ltd. | Cayman Islands | 2015/07/15 |
| 01232 | Golden Wheel Tiandi Holdings Co. Ltd. | Cayman Islands | 2013/01/16 |
| 01462 | Gold-Finance Holdings Ltd. | Cayman Islands | 2014/10/16 |
| 00530 | Goldin Financial Holdings Ltd. | Bermuda | 1992/10/08 |
| 00533 | Goldlion Holdings Ltd. | Hong Kong | 1992/09/18 |
| 03315 | Goldpac Group Ltd. | Hong Kong | 2013/12/04 |
| 01328 | Goldstream Investment Ltd. | Cayman Islands | 2007/10/16 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|------------------------|--------------|
| 01118 | Golik Holdings Ltd. | Bermuda | 1994/07/15 |
| 00628 | Gome Finance Technology Co., Ltd. | Bermuda | 2002/07/24 |
| 00493 | GOME Retail Holdings Ltd. | Bermuda | 1992/04/15 |
| 02398 | Good Friend International Holdings Inc. | Cayman Islands | 2006/01/11 |
| 00109 | Good Resources Holdings Ltd. | Bermuda | 1998/01/12 |
| 01086 | Goodbaby International Holdings Ltd. | Cayman Islands | 2010/11/24 |
| 00108 | GR Properties Ltd. | Hong Kong | 1973/02/09 |
| 01293 | Grand Baoxin Auto Group Ltd. | Cayman Islands | 2011/12/14 |
| 00115 | Grand Field Group Holdings Ltd. | Bermuda | n.a. |
| 01271 | Grand Ming Group Holdings Ltd. | Cayman Islands | 2013/08/09 |
| 00065 | Grand Ocean Advanced Resources Co. Ltd. | Cayman Islands | 2001/08/30 |
| 01647 | Grandshores Technology Group Ltd. | Cayman Islands | 2017/03/30 |
| 00021 | Great China Properties Holdings Ltd. | Hong Kong | n.a. |
| 00041 | Great Eagle Holdings Ltd. | Bermuda | n.a. |
| 03683 | Great Harvest Maeta Group Holdings Ltd. | Cayman Islands | 2010/10/11 |
| 00524 | Great Wall Belt & Road Holdings Ltd. | Bermuda | 1989/04/21 |
| 02333 | Great Wall Motor Co. Ltd. - H Shares | PRC | 2003/12/15 |
| 00583 | Great Wall Pan Asia Holdings Ltd. | Bermuda | 1990/06/29 |
| 00261 | Greater Bay Area Investments Group Holdings Ltd. | Bermuda | 1991/12/30 |
| 00431 | Greater China Financial Holdings Ltd. | Bermuda | 1992/09/16 |
| 00844 | Greatime International Holdings Ltd. | British Virgin Islands | 2011/11/24 |
| 00468 | Greatview Aseptic Packaging Co. Ltd. | Cayman Islands | 2010/12/09 |
| 00979 | Green Energy Group Ltd. | Bermuda | 1997/06/26 |
| 01084 | Green Future Food Hydrocolloid Marine Science Co. Ltd. | Cayman Islands | 2019/10/17 |
| 02700 | Green International Holdings Ltd. | Cayman Islands | 2006/09/29 |
| 00061 | Green Leader Holdings Group Ltd. | Bermuda | 1999/07/06 |
| 00094 | Greenheart Group Ltd. | Bermuda | 1988/06/21 |
| 00337 | Greenland Hong Kong Holdings Ltd. | Cayman Islands | 2006/10/10 |
| 01318 | Greens Holdings Ltd. | Cayman Islands | 2009/11/06 |
| 00195 | Greentech Technology International Ltd. | Cayman Islands | 2008/11/12 |
| 03900 | Greentown China Holdings Ltd. | Cayman Islands | 2006/07/13 |
| 02869 | Greentown Service Group Co. Ltd. | Cayman Islands | 2016/07/12 |
| 02133 | Greenway Mining Group Ltd. | Cayman Islands | 2011/12/14 |
| 01051 | G-Resources Group Ltd. | Bermuda | 1994/04/29 |
| 00989 | Ground International Development Ltd. | Bermuda | 1997/10/20 |
| 01842 | Grown Up Group Investment Holdings Ltd. | Cayman Islands | 2019/06/28 |
| 00263 | GT Group Holdings Ltd. | Hong Kong | 1988/04/06 |
| 03344 | GTI Holdings Ltd. | Cayman Islands | 2005/10/05 |
| 01872 | Guan Chao Holdings Ltd. | Cayman Islands | 2019/02/28 |
| 06189 | Guangdong Adway Construction (Group) Holdings Co. Ltd.-H Shs | PRC | 2016/11/25 |
| 00270 | Guangdong Investment Ltd. | Hong Kong | n.a. |
| 01543 | Guangdong Join-Share Financing Guarantee Invest Co Ltd-H Shs | PRC | 2015/12/23 |
| 03689 | Guangdong Kanghua Healthcare Co., Ltd. - H Shares | PRC | 2016/11/08 |
| 00124 | Guangdong Land Holdings Ltd. | Bermuda | 1997/08/08 |
| 01058 | Guangdong Tannery Ltd. | Hong Kong | 1996/12/16 |
| 03399 | Guangdong Yueyun Transportation Co. Ltd. - H Shares | PRC | 2005/10/26 |
| 01203 | Guangnan (Holdings) Ltd. | Hong Kong | 1994/12/09 |
| 00525 | Guangshen Railway Co. Ltd. - H Shares | PRC | 1996/05/14 |
| 02238 | Guangzhou Automobile Group Co., Ltd. - H Shares | PRC | 2010/08/30 |
| 00874 | Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.- H Shs | PRC | 1997/10/30 |
| 02777 | Guangzhou R&F Properties Co., Ltd. - H Shares | PRC | 2005/07/14 |
| 01551 | Guangzhou Rural Commercial Bank Co., Ltd. - H Shares | PRC | 2017/06/20 |
| 00143 | Guoan International Ltd. | Cayman Islands | 1999/04/09 |
| 00053 | Guoco Group Ltd. | Bermuda | 1983/05/03 |
| 01296 | Guodian Technology & Environment Group Corporation Ltd.-H Sh | PRC | 2011/12/30 |
| 01456 | Guolian Securities Co., Ltd. - H Shares | PRC | 2015/07/06 |
| 02329 | Guorui Properties Ltd. | Cayman Islands | 2014/07/07 |
| 01788 | Guotai Junan International Holdings Ltd. | Hong Kong | 2010/07/08 |
| 02611 | Guotai Junan Securities Co., Ltd. - H Shares | PRC | 2017/04/11 |
| 00657 | G-Vision International (Holdings) Ltd. | Bermuda | 1992/10/30 |
| 02255 | Haichang Ocean Park Holdings Ltd. | Cayman Islands | 2014/03/13 |
| 06862 | Haidilao International Holding Ltd. | Cayman Islands | 2018/09/26 |
| 01169 | Haier Electronics Group Co., Ltd. | Bermuda | 1997/12/23 |
| 02278 | Hailan Holdings Ltd. | Cayman Islands | 2016/07/15 |
| 02336 | Hailiang International Holdings Ltd. | Cayman Islands | 2003/03/03 |
| 00357 | Hainan Meilan International Airport Co. Ltd. - H Shares | PRC | 2002/11/18 |
| 01659 | Haitian Energy International Ltd. | Cayman Islands | 2012/07/06 |
| 01882 | Haitian International Holdings Ltd. | Cayman Islands | 2006/12/22 |
| 00665 | Haitong International Securities Group Ltd. | Bermuda | 1996/08/06 |
| 06837 | Haitong Securities Co., Ltd. - H Shares | PRC | 2012/04/27 |
| 01905 | Haitong UniTrust International Leasing Co., Ltd. - H Shares | PRC | 2019/06/03 |
| 01920 | Hands Form Holdings Ltd. | Cayman Islands | 2019/08/16 |
| 00010 | Hang Lung Group Ltd. | Hong Kong | 1972/10/12 |
| 00101 | Hang Lung Properties Ltd. | Hong Kong | n.a. |
| 01682 | Hang Pin Living Technology Co. Ltd. | Bermuda | 2010/10/05 |
| 03626 | Hang Sang (Siu Po) International Holding Co. Ltd. | Cayman Islands | 2016/05/18 |
| 00011 | Hang Seng Bank Ltd. | Hong Kong | 1972/06/20 |
| 01894 | Hang Yick Holdings Co. Ltd. | Cayman Islands | 2018/10/12 |
| 03903 | Hanhua Financial Holding Co., Ltd. - H Shares | PRC | 2014/06/19 |
| 00896 | Hanison Construction Holdings Ltd. | Cayman Islands | 2002/01/10 |
| 00554 | Hans Energy Co. Ltd. | Cayman Islands | 1997/05/28 |
| 03692 | Hansoh Pharmaceutical Group Co. Ltd. | Cayman Islands | 2019/06/14 |
| 00474 | Hao Tian Development Group Ltd. | Cayman Islands | 2006/06/06 |
| 01341 | Hao Tian International Construction Investment Group Ltd. | Cayman Islands | 2015/12/10 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-----------------------------------------------------------|----------------|--------------|
| 06138 | Harbin Bank Co., Ltd. - H Shares | PRC | 2014/03/31 |
| 01133 | Harbin Electric Co. Ltd. - H Shares | PRC | 1994/12/16 |
| 00051 | Harbour Centre Development Ltd. | Hong Kong | n.a. |
| 01509 | Harmonicare Medical Holdings Ltd. | Cayman Islands | 2015/07/07 |
| 02280 | HC Group Inc. | Cayman Islands | 2003/12/17 |
| 01112 | Health and Happiness (H&H) International Holdings Ltd. | Cayman Islands | 2010/12/17 |
| 01727 | Hebei Construction Group Corporation Ltd. - H Shares | PRC | 2017/12/15 |
| 01596 | Hebei Yichen Industrial Group Corporation Ltd. - H Shares | PRC | 2016/12/21 |
| 06885 | Henan Jinma Energy Co. Ltd. - H Shares | PRC | 2017/10/10 |
| 00097 | Henderson Investment Ltd. | Hong Kong | 1972/11/06 |
| 00012 | Henderson Land Development Co. Ltd. | Hong Kong | 1981/07/23 |
| 01891 | Heng Hup Holdings Ltd. | Cayman Islands | 2019/03/15 |
| 00197 | Heng Tai Consumables Group Ltd. | Cayman Islands | 2001/12/03 |
| 01044 | Hengan International Group Co. Ltd. | Cayman Islands | 1998/12/08 |
| 03389 | Hengdeli Holdings Ltd. | Cayman Islands | 2005/09/26 |
| 01476 | Hengtai Securities Co., Ltd. - H Shares | PRC | 2015/10/15 |
| 00136 | HengTen Networks Group Ltd. | Bermuda | 1997/09/25 |
| 01085 | Hengxin Technology Ltd. | Singapore | 2010/12/23 |
| 02303 | Hengxing Gold Holding Co. Ltd. | Cayman Islands | 2014/05/29 |
| 00114 | Herald Holdings Ltd. | Bermuda | n.a. |
| 06093 | Hevol Services Group Co., Ltd. | Cayman Islands | 2019/07/12 |
| 00818 | Hi Sun Technology (China) Ltd. | Bermuda | 1997/12/22 |
| 01393 | Hidili Industry International Development Ltd. | Cayman Islands | 2007/09/21 |
| 00442 | Hifood Group Holdings Co., Ltd. | Cayman Islands | 2015/03/11 |
| 00608 | High Fashion International Ltd. | Bermuda | 1992/08/04 |
| 01623 | Hilong Holding Ltd. | Cayman Islands | 2011/04/21 |
| 06893 | Hin Sang Group (International) Holding Co. Ltd. | Cayman Islands | 2014/10/16 |
| 00396 | Hing Lee (HK) Holdings Ltd. | Bermuda | 2009/06/22 |
| 01968 | Hingtex Holdings Ltd. | Cayman Islands | 2018/07/16 |
| 00921 | Hisense Home Appliances Group Co., Ltd. - H Shares | PRC | 1996/07/23 |
| 00982 | HJ Capital (International) Holdings Co. Ltd. | Bermuda | 2008/07/14 |
| 01723 | HK Asia Holdings Ltd. | Cayman Islands | 2018/09/27 |
| 02638 | HK Electric Investments Ltd. | Cayman Islands | 2014/01/29 |
| 01310 | HKBN Ltd. | Cayman Islands | 2015/03/12 |
| 02323 | HKBridge Financial Holdings Ltd. | Bermuda | 2002/06/21 |
| 00190 | HKC (Holdings) Ltd. | Bermuda | 1987/05/29 |
| 00248 | HKC International Holdings Ltd. | Cayman Islands | 2001/11/09 |
| 01726 | HKE Holdings Ltd. | Cayman Islands | 2018/04/18 |
| 00480 | HKR International Ltd. | Cayman Islands | 1988/03/11 |
| 06823 | HKT Ltd. | Cayman Islands | 2011/11/29 |
| 02086 | HNA Technology Investments Holdings Ltd. | Cayman Islands | 2003/11/10 |
| 03678 | Holly Futures Co., Ltd. - H Shares | PRC | 2015/12/30 |
| 01747 | Home Control International Ltd. | Cayman Islands | 2019/11/14 |
| 03798 | Homeland Interactive Technology Ltd. | Cayman Islands | 2019/07/04 |
| 00160 | Hon Kwok Land Investment Co., Ltd. | Hong Kong | n.a. |
| 00003 | Hong Kong and China Gas Co. Ltd., The | Hong Kong | n.a. |
| 00145 | Hong Kong Building and Loan Agency Ltd., The | Hong Kong | 1972/07/01 |
| 02322 | Hong Kong ChaoShang Group Ltd. | Bermuda | 2003/04/09 |
| 00423 | Hong Kong Economic Times Holdings Ltd. | Cayman Islands | 2005/08/03 |
| 01082 | Hong Kong Education (Int'l) Investments Ltd. | Bermuda | 2011/07/04 |
| 00388 | Hong Kong Exchanges and Clearing Ltd. | Hong Kong | 2000/06/27 |
| 00050 | Hong Kong Ferry (Holdings) Co. Ltd. | Hong Kong | n.a. |
| 01273 | Hong Kong Finance Group Ltd. | Cayman Islands | 2013/10/02 |
| 00007 | Hong Kong Finance Investment Holding Group Ltd. | Bermuda | 2000/09/08 |
| 00060 | Hong Kong Food Investment Holdings Ltd. | Hong Kong | 1992/12/16 |
| 01955 | Hong Kong Johnson Holdings Co., Ltd. | Cayman Islands | 2019/10/16 |
| 02882 | Hong Kong Resources Holdings Co. Ltd. | Bermuda | 2003/06/30 |
| 01001 | Hong Kong Shanghai Alliance Holdings Ltd. | Bermuda | 1994/02/18 |
| 01137 | Hong Kong Television Network Ltd. | Hong Kong | 1997/08/04 |
| 01822 | HongDa Financial Holding Ltd. | Cayman Islands | 2009/12/16 |
| 06908 | HongGuang Lighting Holdings Co. Ltd. | Cayman Islands | 2016/12/30 |
| 00196 | Honghua Group Ltd. | Cayman Islands | 2008/03/07 |
| 00045 | Hongkong and Shanghai Hotels, Ltd., The | Hong Kong | n.a. |
| 00655 | Hongkong Chinese Ltd. | Bermuda | 1992/10/12 |
| 06858 | Honma Golf Ltd. | Cayman Islands | 2016/10/06 |
| 02226 | Honworld Group Ltd. | Cayman Islands | 2014/01/28 |
| 02320 | Hop Fung Group Holdings Ltd. | Cayman Islands | 2003/09/24 |
| 00047 | Hop Hing Group Holdings Ltd. | Cayman Islands | 1988/11/16 |
| 01765 | Hope Education Group Co., Ltd. | Cayman Islands | 2018/08/03 |
| 01683 | Hope Life International Holdings Ltd. | Cayman Islands | 2015/09/08 |
| 00733 | Hopefluent Group Holdings Ltd. | Cayman Islands | 2004/07/15 |
| 00754 | Hopson Development Holdings Ltd. | Bermuda | 1998/05/27 |
| 02200 | Hosa International Ltd. | Cayman Islands | 2011/12/16 |
| 03869 | Hospital Corporation of China Ltd. | Cayman Islands | 2017/03/16 |
| 01742 | HPC Holdings Ltd. | Cayman Islands | 2018/05/11 |
| 00005 | HSBC Holdings plc | England | n.a. |
| 00587 | Hua Han Health Industry Holdings Ltd. | Cayman Islands | 2002/12/10 |
| 01347 | Hua Hong Semiconductor Ltd. | Hong Kong | 2014/10/15 |
| 00969 | Hua Lien International (Holding) Co. Ltd. | Cayman Islands | 2000/02/02 |
| 02552 | Hua Medicine - B | Cayman Islands | 2018/09/14 |
| 03638 | Huabang Financial Holdings Ltd. | Cayman Islands | 2013/09/09 |
| 00336 | Huabao International Holdings Ltd. | Bermuda | 1992/01/22 |
| 00816 | Huadian Fuxin Energy Corporation Ltd. - H Shares | PRC | 2012/06/28 |
| 01071 | Huadian Power International Corporation Ltd. - H Shares | PRC | 1999/06/30 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|---------------------------------------------------------|------------------------|--------------|
| 02738 | Huajin International Holdings Ltd. | Cayman Islands | 2016/04/15 |
| 00377 | Huajin International Group Ltd. | Bermuda | 1993/04/19 |
| 01756 | Huali University Group Ltd. | Cayman Islands | 2019/11/25 |
| 00505 | Huan Yue Interactive Holdings Ltd. | Cayman Islands | 2007/12/27 |
| 00902 | Huaneng Power International, Inc. - H Shares | PRC | 1998/01/21 |
| 00958 | Huaneng Renewables Corporation Ltd. - H Shares | PRC | 2011/06/10 |
| 01003 | Huanxi Media Group Ltd. | Bermuda | 1994/02/21 |
| 02296 | Huarchi Global Group Holdings Ltd. | Cayman Islands | 2019/11/21 |
| 00993 | Huarong International Financial Holdings Ltd. | Bermuda | 1994/02/16 |
| 02277 | Huarong Investment Stock Corporation Ltd. | Cayman Islands | 2014/12/29 |
| 06886 | Huatai Securities Co., Ltd. - H Shares | PRC | 2015/06/01 |
| 01689 | Huaxi Holdings Co. Ltd. | Cayman Islands | 2013/12/06 |
| 00419 | Huayi Tencent Entertainment Co. Ltd. | Cayman Islands | 1987/07/08 |
| 01823 | HUAYU Expressway Group Ltd. | Cayman Islands | 2009/12/23 |
| 01673 | Huazhang Technology Holding Ltd. | Cayman Islands | 2013/05/16 |
| 06830 | Huazhong In-Vehicle Holdings Co. Ltd. | Cayman Islands | 2012/01/12 |
| 01806 | Huifu Payment Ltd. | Cayman Islands | 2018/06/15 |
| 01303 | Huili Resources (Group) Ltd. | Cayman Islands | 2012/01/12 |
| 03698 | Huishang Bank Corporation Ltd. - H shares | PRC | 2013/11/12 |
| 01340 | Huisheng International Holdings Ltd. | Cayman Islands | 2014/02/28 |
| 01178 | Huiyin Holdings Group Ltd. | Cayman Islands | 2003/02/10 |
| 01280 | Huiyin Smart Community Co., Ltd. | Cayman Islands | 2010/03/25 |
| 01419 | Human Health Holdings Ltd. | Cayman Islands | 2016/04/01 |
| 01446 | Hung Fook Tong Group Holdings Ltd. | Cayman Islands | 2014/07/04 |
| 00450 | Hung Hing Printing Group Ltd. | Hong Kong | 1992/03/16 |
| 01611 | Huobi Technology Holdings Ltd. | British Virgin Islands | 2016/11/21 |
| 00704 | Husoke Holdings Ltd. | Bermuda | 1991/05/27 |
| 00215 | Hutchison Telecommunications Hong Kong Holdings Ltd. | Cayman Islands | 2009/05/08 |
| 01188 | Hybrid Kinetic Group Ltd. | Bermuda | 1995/04/06 |
| 01396 | Hydoo International Holding Ltd. | Cayman Islands | 2013/10/31 |
| 00150 | Hypebeast Ltd. | Cayman Islands | 2016/04/11 |
| 00014 | Hysan Development Co. Ltd. | Hong Kong | 1981/09/18 |
| 00999 | I.T Ltd. | Bermuda | 2005/03/04 |
| 01547 | IBI Group Holdings Ltd. | Cayman Islands | 2016/10/14 |
| 02708 | IBO Technology Co. Ltd. | Cayman Islands | 2017/12/28 |
| 01097 | i-CABLE Communications Ltd. | Hong Kong | 1999/11/24 |
| 01460 | ICO Group Ltd. | Cayman Islands | 2015/03/18 |
| 01402 | i-Control Holdings Ltd. | Cayman Islands | 2015/05/27 |
| 00650 | IDG Energy Investment Ltd. | Bermuda | 1992/10/07 |
| 01119 | iDreamSky Technology Holdings Ltd. | Cayman Islands | 2018/12/06 |
| 00167 | IDT International Ltd. | Bermuda | 1988/10/21 |
| 00799 | IGG Inc | Cayman Islands | 2013/10/18 |
| 00585 | Imagi International Holdings Ltd. | Bermuda | 1997/03/10 |
| 01970 | IMAX China Holding, Inc. | Cayman Islands | 2015/10/08 |
| 01076 | Imperial Pacific International Holdings Ltd. | Bermuda | 2002/02/11 |
| 00776 | Imperium Group Global Holdings Ltd. | Cayman Islands | 2005/10/13 |
| 01286 | Impro Precision Industries Ltd. | Cayman Islands | 2019/06/28 |
| 01500 | In Construction Holdings Ltd. | Cayman Islands | 2015/04/16 |
| 01398 | Industrial and Commercial Bank of China Ltd. - H Shares | PRC | 2006/10/27 |
| 00640 | Infinity Development Holdings Co. Ltd. | Cayman Islands | 2010/08/12 |
| 03700 | Inke Ltd. | Cayman Islands | 2018/07/12 |
| 01649 | Inner Mongolia Energy Engineering Co., Ltd. - H Shares | PRC | 2017/07/18 |
| 03948 | Inner Mongolia Yitai Coal Co., Ltd. - H Shares | PRC | 2012/07/12 |
| 00399 | Innovative Pharmaceutical Biotech Ltd. | Bermuda | 2000/08/23 |
| 02680 | Innovax Holdings Ltd. | Cayman Islands | 2018/09/14 |
| 01801 | Innovent Biologics, Inc. - B | Cayman Islands | 2018/10/31 |
| 00596 | Inspur International Ltd. | Cayman Islands | 2004/04/29 |
| 00923 | Integrated Waste Solutions Group Holdings Ltd. | Cayman Islands | 2010/03/31 |
| 06819 | IntelliCentrics Global Holdings Ltd. | Cayman Islands | 2019/03/27 |
| 01563 | International Alliance Financial Leasing Co., Ltd. | Cayman Islands | 2019/03/15 |
| 00147 | International Business Settlement Holdings Ltd. | Bermuda | 1988/06/06 |
| 01009 | International Entertainment Corporation | Cayman Islands | 2000/07/31 |
| 01373 | International Housewares Retail Co. Ltd. | Cayman Islands | 2013/09/25 |
| 00091 | International Standard Resources Holdings Ltd. | Hong Kong | 1981/06/29 |
| 01760 | Intron Technology Holdings Ltd. | Cayman Islands | 2018/07/12 |
| 01087 | InvesTech Holdings Ltd. | Cayman Islands | 2010/11/16 |
| 00929 | IPE Group Ltd. | Cayman Islands | 2004/11/01 |
| 01029 | IRC Ltd. | Hong Kong | 2010/10/21 |
| 00438 | IRICO Group New Energy Co. Ltd. - H Shares | PRC | 2004/12/20 |
| 01656 | ISDN Holdings Ltd. | Singapore | 2017/01/12 |
| 00199 | ITC Properties Group Ltd. | Bermuda | 1994/03/18 |
| 01931 | IVD Medical Holding Ltd. | Cayman Islands | 2019/07/12 |
| 02633 | Jacobson Pharma Corporation Ltd. | Cayman Islands | 2016/09/21 |
| 01903 | JBB Builders International Ltd. | Cayman Islands | 2019/05/10 |
| 01935 | JH Educational Technology INC. | Cayman Islands | 2019/06/18 |
| 01626 | Jia Yao Holdings Ltd. | Cayman Islands | 2014/06/27 |
| 00602 | Jiahua Stores Holdings Ltd. | Cayman Islands | 2007/05/21 |
| 00865 | Jiande International Holdings Ltd. | Cayman Islands | 2000/12/29 |
| 01366 | Jiangnan Group Ltd. | Cayman Islands | 2012/04/20 |
| 00177 | Jiangsu Expressway Co. Ltd. - H Shares | PRC | 1997/06/27 |
| 02116 | Jiangsu Innovative Ecological New Materials Ltd. | Cayman Islands | 2018/03/28 |
| 01916 | Jiangxi Bank Co., Ltd. - H Shares | PRC | 2018/06/26 |
| 00358 | Jiangxi Copper Co. Ltd. - H Shares | PRC | 1997/06/12 |
| 01285 | Jiashili Group Ltd. | Cayman Islands | 2014/09/25 |

List of listed companies on Main Board (continued)

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| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------------|----------------|--------------|
| 02768 | Jiayuan International Group Ltd. | Cayman Islands | 2016/03/08 |
| 06122 | Jilin Jiutai Rural Commercial Bank Corporation Ltd. - H Shs | PRC | 2017/01/12 |
| 01853 | Jilin Province Chuncheng Heating Co. Ltd. - H Shares | PRC | 2019/10/24 |
| 02362 | Jinchuan Group International Resources Co. Ltd. | Cayman Islands | 2003/10/27 |
| 01862 | Jingrui Holdings Ltd. | Cayman Islands | 2013/10/31 |
| 02225 | Jinhai International Group Holdings Ltd. | Cayman Islands | 2017/10/17 |
| 00137 | Jinhui Holdings Co. Ltd. | Hong Kong | 1991/12/06 |
| 06139 | Jinmao (China) Hotel Investments and Management Ltd. | Cayman Islands | 2014/07/02 |
| 02558 | Jinshang Bank Co., Ltd. - H Shares | PRC | 2019/07/18 |
| 01951 | Jinxin Fertility Group Ltd. | Cayman Islands | 2019/06/25 |
| 02358 | Jiu Rong Holdings Ltd. | Cayman Islands | 2004/07/15 |
| 01495 | Jiyi Household International Holdings Ltd. | Cayman Islands | 2015/11/06 |
| 03306 | JNBY Design Ltd. | Cayman Islands | 2016/10/31 |
| 00179 | Johnson Electric Holdings Ltd. | Bermuda | 1984/07/11 |
| 02028 | Jolimark Holdings Ltd. | Cayman Islands | 2005/06/29 |
| 00207 | Joy City Property Ltd. | Bermuda | 1973/03/06 |
| 00647 | Joyce Boutique Group Ltd. | Bermuda | 1990/10/16 |
| 01691 | JS Global Lifestyle Co. Ltd. | Cayman Islands | 2019/12/18 |
| 03336 | Ju Teng International Holdings Ltd. | Cayman Islands | 2005/11/03 |
| 01459 | Jujiang Construction Group Co., Ltd. - H Shares | PRC | 2016/01/12 |
| 01425 | Justin Allen Holdings Ltd. | Cayman Islands | 2019/11/28 |
| 03303 | Jutal Offshore Oil Services Ltd. | Cayman Islands | 2006/09/21 |
| 02231 | JY Grandmark Holdings Ltd. | Cayman Islands | 2019/12/05 |
| 00675 | K & P International Holdings Ltd. | Bermuda | 1997/01/02 |
| 01557 | K. H. Group Holdings Ltd. | Cayman Islands | 2016/03/18 |
| 00173 | K. Wah International Holdings Ltd. | Bermuda | 1987/02/06 |
| 02108 | K2 F&B Holdings Ltd. | Cayman Islands | 2019/03/06 |
| 00822 | Ka Shui International Holdings Ltd. | Cayman Islands | 2007/06/27 |
| 00180 | Kader Holdings Co. Ltd. | Bermuda | 1985/05/24 |
| 01215 | Kai Yuan Holdings Ltd. | Bermuda | 1997/01/17 |
| 01638 | Kaisa Group Holdings Ltd. | Cayman Islands | 2009/12/09 |
| 00876 | Kaisa Health Group Holdings Ltd. | Bermuda | 1997/04/10 |
| 02168 | Kaisa Prosperity Holdings Ltd. | Cayman Islands | 2018/12/06 |
| 02307 | Kam Hing International Holdings Ltd. | Cayman Islands | 2004/09/23 |
| 06136 | Kangda International Environmental Co. Ltd. | Cayman Islands | 2014/07/04 |
| 06890 | KangLi International Holdings Ltd. | Cayman Islands | 2018/11/19 |
| 01059 | Kantone Holdings Ltd. | Cayman Islands | 1997/01/23 |
| 01050 | Karrie International Holdings Ltd. | Bermuda | 1996/12/16 |
| 00496 | Kasen International Holdings Ltd. | Cayman Islands | 2005/10/20 |
| 02189 | Kato (Hong Kong) Holdings Ltd. | Cayman Islands | 2019/06/13 |
| 00184 | Keck Seng Investments (Hong Kong) Ltd. | Hong Kong | 1973/02/24 |
| 01134 | Kelfred Holdings Ltd. | Cayman Islands | 2019/07/16 |
| 00636 | Kerry Logistics Network Ltd. | Bermuda | 2013/12/19 |
| 00683 | Kerry Properties Ltd. | Bermuda | 1996/08/05 |
| 03816 | KFM Kingdom Holdings Ltd. | Cayman Islands | 2012/10/15 |
| 00924 | Khoon Group Ltd. | Cayman Islands | 2019/07/05 |
| 03830 | Kiddieland International Ltd. | Cayman Islands | 2017/09/21 |
| 02122 | Kidsland International Holdings Ltd. | Cayman Islands | 2017/11/10 |
| 06805 | Kimou Environmental Holding Ltd. | Cayman Islands | 2019/07/16 |
| 01722 | Kin Pang Holdings Ltd. | Cayman Islands | 2017/12/15 |
| 01630 | Kin Shing Holdings Ltd. | Cayman Islands | 2017/06/16 |
| 00638 | Kin Yat Holdings Ltd. | Bermuda | 1997/05/01 |
| 03302 | Kinergy Corporation Ltd. | Singapore | 2018/07/18 |
| 01277 | Kinetic Mines and Energy Ltd. | Cayman Islands | 2012/03/23 |
| 00280 | King Fook Holdings Ltd. | Hong Kong | 1988/03/28 |
| 00663 | King Stone Energy Group Ltd. | Hong Kong | 1990/11/30 |
| 01421 | Kingbo Strike Ltd. | Cayman Islands | 2013/12/30 |
| 00148 | Kingboard Holdings Ltd. | Cayman Islands | 1993/10/08 |
| 01888 | Kingboard Laminates Holdings Ltd. | Cayman Islands | 2006/12/07 |
| 00268 | Kingdee International Software Group Co. Ltd. | Cayman Islands | 2005/07/20 |
| 00528 | Kingdom Holdings Ltd. | Cayman Islands | 2006/12/12 |
| 01468 | Kingkey Financial International (Holdings) Ltd. | Cayman Islands | 2012/08/24 |
| 01751 | Kingland Group Holdings Ltd. | Cayman Islands | 2016/12/16 |
| 01170 | Kingmaker Footwear Holdings Ltd. | Bermuda | 1994/09/29 |
| 06822 | King's Flair International (Holdings) Ltd. | Cayman Islands | 2015/01/16 |
| 03888 | Kingsoft Corporation Ltd. | Cayman Islands | 2007/10/09 |
| 01031 | Kingston Financial Group Ltd. | Bermuda | 1996/07/23 |
| 01195 | Kingwell Group Ltd. | Cayman Islands | 2003/01/20 |
| 01110 | Kingworld Medicines Group Ltd. | Cayman Islands | 2010/11/25 |
| 00381 | Kiu Hung International Holdings Ltd. | Cayman Islands | 2001/01/22 |
| 00550 | KK Culture Holdings Ltd. | Bermuda | 2007/07/23 |
| 01025 | KNT Holdings Ltd. | Cayman Islands | 2019/02/28 |
| 00827 | Ko Yo Chemical (Group) Ltd. | Cayman Islands | 2003/07/10 |
| 00295 | Kong Sun Holdings Ltd. | Hong Kong | 1970/11/28 |
| 01797 | Koolearn Technology Holding Ltd. | Cayman Islands | 2019/03/28 |
| 00034 | Kowloon Development Co. Ltd. | Hong Kong | 1995/07/04 |
| 02663 | KPa-BM Holdings Ltd. | Cayman Islands | 2015/10/08 |
| 00439 | KuangChi Science Ltd. | Bermuda | 1992/03/11 |
| 00135 | Kunlun Energy Co. Ltd. | Bermuda | 1973/03/13 |
| 03768 | Kunming Dianchi Water Treatment Co., Ltd. - H Shares | PRC | 2017/04/06 |
| 01559 | Kwan On Holdings Ltd. | Cayman Islands | 2015/03/27 |
| 01813 | KWG Group Holdings Ltd. | Cayman Islands | 2007/07/03 |
| 00306 | Kwoon Chung Bus Holdings Ltd. | Bermuda | 1996/09/25 |
| 00558 | L.K. Technology Holdings Ltd. | Cayman Islands | 2006/10/16 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------|----------------|--------------|
| 01262 | Labixiaoxin Snacks Group Ltd. | Bermuda | 2011/12/09 |
| 01125 | Lai Fung Holdings Ltd. | Cayman Islands | 1997/11/28 |
| 02266 | Lai Si Enterprise Holding Ltd. | Cayman Islands | 2017/02/10 |
| 00488 | Lai Sun Development Co. Ltd. | Hong Kong | 1988/03/11 |
| 00191 | Lai Sun Garment (International) Ltd. | Hong Kong | 1987/12/03 |
| 00411 | Lam Soon (Hong Kong) Ltd. | Hong Kong | 1972/10/31 |
| 01041 | Lamtex Holdings Ltd. | Bermuda | 1996/12/27 |
| 00582 | Landing International Development Ltd. | Bermuda | 2002/04/30 |
| 00106 | Landsea Green Properties Co., Ltd. | Bermuda | 1986/03/24 |
| 01270 | Langham Hospitality Investments Ltd. | Cayman Islands | 2013/05/30 |
| 00503 | Lansen Pharmaceutical Holdings Ltd. | Cayman Islands | 2010/05/07 |
| 01533 | Lanzhou Zhuangyuan Pasture Co., Ltd. - H Shares | PRC | 2015/10/15 |
| 01690 | Lap Kei Engineering (Holdings) Ltd. | Cayman Islands | 2015/09/25 |
| 02488 | Launch Tech Co. Ltd. - H Shares | PRC | 2002/10/07 |
| 00738 | Le Saunda Holdings Ltd. | Bermuda | 1992/12/11 |
| 01499 | LEAP Holdings Group Ltd. | Cayman Islands | 2015/09/02 |
| 00746 | Lee & Man Chemical Co. Ltd. | Cayman Islands | 2002/01/16 |
| 02314 | Lee & Man Paper Manufacturing Ltd. | Cayman Islands | 2003/09/26 |
| 00068 | Lee Hing Development Ltd. | Hong Kong | 1972/11/09 |
| 00637 | Lee Kee Holdings Ltd. | Cayman Islands | 2006/10/04 |
| 00387 | Leepport (Holdings) Ltd. | Bermuda | 2003/07/10 |
| 00950 | Lee's Pharmaceutical Holdings Ltd. | Cayman Islands | 2002/07/15 |
| 01540 | Left Field Printing Group Ltd. | Bermuda | 2018/10/08 |
| 03396 | Legend Holdings Corporation - H Shares | PRC | 2015/06/29 |
| 01355 | Legend Strategy International Holdings Group Co. Ltd. | Cayman Islands | 2011/07/15 |
| 00992 | Lenovo Group Ltd. | Hong Kong | 1994/02/14 |
| 00842 | Leoch International Technology Ltd. | Cayman Islands | 2010/11/16 |
| 01225 | Lerado Financial Group Co. Ltd. | Bermuda | 1998/12/18 |
| 00112 | Lerthai Group Ltd. | Hong Kong | 1972/12/06 |
| 01346 | Lever Style Corporation | Cayman Islands | 2019/11/13 |
| 01089 | Leyou Technologies Holdings Ltd. | Cayman Islands | 2011/01/11 |
| 03938 | LFG Investment Holdings Ltd. | Cayman Islands | 2019/09/30 |
| 01978 | LH Group Ltd. | Cayman Islands | 2018/05/30 |
| 01730 | LHN Ltd. | Singapore | 2017/12/29 |
| 00494 | Li & Fung Ltd. | Bermuda | 1992/07/01 |
| 01869 | Li Bao Ge Group Ltd. | Cayman Islands | 2016/06/30 |
| 02331 | Li Ning Co. Ltd. | Cayman Islands | 2004/06/28 |
| 00980 | Lianhua Supermarket Holdings Co., Ltd. - H Shares | PRC | 2003/06/27 |
| 00928 | Life Healthcare Group Ltd. | Cayman Islands | 2002/04/29 |
| 02136 | Lifestyle China Group Ltd. | Cayman Islands | 2016/07/15 |
| 01212 | Lifestyle International Holdings Ltd. | Cayman Islands | 2004/04/15 |
| 01302 | LifeTech Scientific Corporation | Cayman Islands | 2011/11/10 |
| 00784 | Ling Yui Holdings Ltd. | Cayman Islands | 2017/12/28 |
| 03330 | Lingbao Gold Group Co. Ltd. - H Shares | PRC | 2006/01/12 |
| 01143 | Link-Asia International Co. Ltd. | Cayman Islands | 2011/01/27 |
| 01127 | Lion Rock Group Ltd. | Bermuda | 2011/07/25 |
| 00156 | Lippo China Resources Ltd. | Hong Kong | n.a. |
| 00226 | Lippo Ltd. | Hong Kong | n.a. |
| 00194 | Liu Chong Hing Investment Ltd. | Hong Kong | 1972/11/13 |
| 01513 | Livzon Pharmaceutical Group Inc. - H Shares | PRC | 2014/01/16 |
| 01867 | LKS Holding Group Ltd. | Cayman Islands | 2017/01/12 |
| 00973 | L'Occitane International S.A. | Luxembourg | 2010/05/07 |
| 03380 | Logan Property Holdings Co. Ltd. | Cayman Islands | 2013/12/20 |
| 00960 | Longfor Group Holdings Ltd. | Cayman Islands | 2009/11/19 |
| 01007 | Longhui International Holdings Ltd. | Cayman Islands | 2010/10/28 |
| 01281 | LongiTech Smart Energy Holding Ltd. | Cayman Islands | 2012/01/12 |
| 02898 | Longrun Tea Group Co. Ltd. | Cayman Islands | 2002/09/05 |
| 03339 | Lonking Holdings Ltd. | Cayman Islands | 2005/11/17 |
| 00311 | Luen Thai Holdings Ltd. | Cayman Islands | 2004/07/15 |
| 00590 | Luk Fook Holdings (International) Ltd. | Bermuda | 1997/05/06 |
| 00366 | Luks Group (Vietnam Holdings) Co., Ltd. | Bermuda | 1987/01/07 |
| 00255 | Lung Kee (Bermuda) Holdings Ltd. | Bermuda | 1993/03/05 |
| 01108 | Luoyang Glass Co. Ltd. - H Shares | PRC | 1994/07/08 |
| 01327 | Luxxu Group Ltd. | Cayman Islands | 2015/01/30 |
| 02186 | Luye Pharma Group Ltd. | Bermuda | 2014/07/09 |
| 01461 | LUZHENG FUTURES Co. Ltd. - H shares | PRC | 2015/07/07 |
| 01983 | Luzhou Bank Co., Ltd. - H Shares | PRC | 2018/12/17 |
| 02281 | Luzhou Xinglu Water (Group) Co., Ltd. - H Shares | PRC | 2017/03/31 |
| 00095 | LVGEM (China) Real Estate Investment Co. Ltd. | Cayman Islands | 2005/12/02 |
| 00323 | Maanshan Iron & Steel Co. Ltd. - H Shares | PRC | 1993/11/03 |
| 02181 | Mabpharm Ltd. - B | Cayman Islands | 2019/05/31 |
| 01680 | Macau Legend Development Ltd. | Cayman Islands | 2013/07/05 |
| 00758 | Macrolink Capital Holdings Ltd. | Bermuda | 1999/12/03 |
| 00201 | Magnificent Hotel Investments Ltd. | Hong Kong | 1972/11/17 |
| 01172 | Magnus Concordia Group Ltd. | Cayman Islands | 1996/06/12 |
| 01553 | Maike Tube Industry Holdings Ltd. | Cayman Islands | 2019/12/18 |
| 01100 | Mainland Headwear Holdings Ltd. | Bermuda | 2000/12/13 |
| 01389 | Major Holdings Ltd. | Cayman Islands | 2014/01/10 |
| 02193 | Man King Holdings Ltd. | Cayman Islands | 2015/07/03 |
| 00938 | Man Sang International Ltd. | Bermuda | 1997/09/26 |
| 01746 | Man Shun Group (Holdings) Ltd. | Cayman Islands | 2018/07/11 |
| 01999 | Man Wah Holdings Ltd. | Bermuda | 2010/04/09 |
| 00894 | Man Yue Technology Holdings Ltd. | Bermuda | 1997/03/05 |
| 02180 | ManpowerGroup Greater China Ltd. | Cayman Islands | 2019/07/10 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|----------------------------------------------------|----------------|--------------|
| 00945 | Manulife Financial Corporation | Canada | 1999/09/27 |
| 01896 | Maoyan Entertainment | Cayman Islands | 2019/02/04 |
| 00848 | Maoye International Holdings Ltd. | Cayman Islands | 2008/05/05 |
| 00273 | Mason Group Holdings Ltd. | Hong Kong | n.a. |
| 00275 | Master Glory Group Ltd. | Bermuda | 1991/12/16 |
| 01005 | Matrix Holdings Ltd. | Bermuda | 1994/02/18 |
| 01037 | Maxnerva Technology Services Ltd. | Bermuda | 1994/04/14 |
| 01116 | Mayer Holdings Ltd. | Cayman Islands | 2004/06/21 |
| 01183 | MECOM Power and Construction Ltd. | Cayman Islands | 2018/02/13 |
| 00685 | Media Chinese International Ltd. | Bermuda | 1991/03/22 |
| 02230 | Medialink Group Ltd. | Cayman Islands | 2019/05/21 |
| 00391 | Mei Ah Entertainment Group Ltd. | Bermuda | 1993/10/18 |
| 02327 | Meilleure Health International Industry Group Ltd. | Bermuda | 2003/10/14 |
| 01357 | Meitu, Inc. | Cayman Islands | 2016/12/15 |
| 03690 | Meituan Dianping - W | Cayman Islands | 2018/09/20 |
| 00158 | Melbourne Enterprises Ltd. | Hong Kong | 1972/08/29 |
| 00200 | Melco International Development Ltd. | Hong Kong | n.a. |
| 01618 | Metallurgical Corporation of China Ltd. - H Shares | PRC | 2009/09/24 |
| 00022 | Mexan Ltd. | Bermuda | 1972/12/14 |
| 02282 | MGM China Holdings Ltd. | Cayman Islands | 2011/06/03 |
| 00853 | MicroPort Scientific Corporation | Cayman Islands | 2010/09/24 |
| 01985 | Microware Group Ltd. | Cayman Islands | 2017/03/08 |
| 01021 | Midas Holdings Ltd. | Singapore | 2010/10/06 |
| 03990 | Midea Real Estate Holding Ltd. | Cayman Islands | 2018/10/11 |
| 01200 | Midland Holdings Ltd. | Bermuda | 1995/06/08 |
| 00459 | Midland IC&I Ltd. | Cayman Islands | 2001/03/15 |
| 01555 | MIE Holdings Corporation | Cayman Islands | 2010/12/14 |
| 01715 | Miji International Holdings Ltd. | Cayman Islands | 2018/07/16 |
| 01247 | Miko International Holdings Ltd. | Cayman Islands | 2014/01/15 |
| 01150 | Milan Station Holdings Ltd. | Cayman Islands | 2011/05/23 |
| 01667 | Milestone Builder Holdings Ltd. | Cayman Islands | 2017/04/07 |
| 02892 | Million Cities Holdings Ltd. | Cayman Islands | 2018/12/20 |
| 01897 | Million Hope Industries Holdings Ltd. | Cayman Islands | 2019/03/19 |
| 00222 | Min Xin Holdings Ltd. | Hong Kong | 1982/06/28 |
| 03828 | Ming Fai International Holdings Ltd. | Cayman Islands | 2007/11/02 |
| 01106 | Ming Lam Holdings Ltd. | Cayman Islands | 2003/06/25 |
| 00846 | Mingfa Group (International) Co. Ltd. | Cayman Islands | 2009/11/13 |
| 00233 | Mingyuan Medicare Development Co. Ltd. | Bermuda | 1986/03/19 |
| 00230 | Minmetals Land Ltd. | Bermuda | 1991/12/20 |
| 01632 | Minshang Creative Technology Holdings Ltd. | Cayman Islands | 2016/11/29 |
| 01569 | Minsheng Education Group Co. Ltd. | Cayman Islands | 2017/03/22 |
| 00425 | Minth Group Ltd. | Cayman Islands | 2005/12/01 |
| 00071 | Miramar Hotel & Investment Co. Ltd. | Hong Kong | n.a. |
| 01827 | Miracor Enterprises Holdings Ltd. | Cayman Islands | 2017/01/10 |
| 01208 | MMG Ltd. | Hong Kong | 1994/12/15 |
| 00947 | MOBI Development Co., Ltd. | Cayman Islands | 2009/12/17 |
| 01213 | Mobicon Group Ltd. | Bermuda | 2001/05/07 |
| 01439 | Mobile Internet (China) Holdings Ltd. | Cayman Islands | 2014/01/13 |
| 01860 | Mobvista Inc. | Cayman Islands | 2018/12/12 |
| 00919 | Modern Beauty Salon Holdings Ltd. | Cayman Islands | 2006/02/09 |
| 03600 | Modern Dental Group Ltd. | Cayman Islands | 2015/12/15 |
| 01107 | Modern Land (China) Co., Ltd. | Cayman Islands | 2013/07/12 |
| 00072 | Modern Media Holdings Ltd. | Cayman Islands | 2009/09/09 |
| 00130 | Moiselle International Holdings Ltd. | Cayman Islands | 2002/02/11 |
| 01152 | Momentum Financial Holdings Ltd. | Bermuda | 2011/10/28 |
| 00276 | Mongolia Energy Corporation Ltd. | Bermuda | 1972/08/23 |
| 00975 | Mongolian Mining Corporation | Cayman Islands | 2010/10/13 |
| 01400 | Moody Technology Holdings Ltd. | Bermuda | 2014/04/25 |
| 01575 | Morris Holdings Ltd. | Cayman Islands | 2017/01/12 |
| 01653 | MOS House Group Ltd. | Cayman Islands | 2018/10/19 |
| 01716 | Most Kwai Chung Ltd. | Cayman Islands | 2018/03/28 |
| 01451 | MS Group Holdings Ltd. | Cayman Islands | 2018/06/01 |
| 00066 | MTR Corporation Ltd. | Hong Kong | 2000/10/05 |
| 01817 | Mulsanne Group Holding Ltd. | Cayman Islands | 2019/05/27 |
| 00898 | Multifield International Holdings Ltd. | Bermuda | 1998/07/31 |
| 03918 | NagaCorp Ltd. | Cayman Islands | 2006/10/19 |
| 01982 | Nameson Holdings Ltd. | Cayman Islands | 2016/04/12 |
| 00680 | Nan Hai Corporation Ltd. | Bermuda | 1991/02/13 |
| 01229 | Nan Nan Resources Enterprise Ltd. | Bermuda | 1995/04/13 |
| 01617 | Nanfeng Communication Holdings Ltd. | Cayman Islands | 2016/12/12 |
| 00553 | Nanjing Panda Electronics Co. Ltd. - H Shares | PRC | 1996/05/02 |
| 01708 | Nanjing Sample Technology Co. Ltd. - H Shares | PRC | 2004/06/09 |
| 03332 | Nanjing Sinolife United Co. Ltd. - H Shares | PRC | 2014/01/15 |
| 00212 | Nanyang Holdings Ltd. | Bermuda | 1954/09/15 |
| 00213 | National Electronics Holdings Ltd. | Bermuda | 1985/02/04 |
| 01227 | National Investments Fund Ltd. | Cayman Islands | 2002/09/27 |
| 00254 | National United Resources Holdings Ltd. | Hong Kong | 1972/11/16 |
| 00157 | Natural Beauty Bio-Technology Ltd. | Cayman Islands | 2002/03/28 |
| 00462 | Natural Dairy (NZ) Holdings Ltd. | Cayman Islands | 2005/06/10 |
| 01837 | Natural Food International Holding Ltd. | Cayman Islands | 2018/12/12 |
| 02083 | Nature Home Holding Co. Ltd. | Cayman Islands | 2011/05/26 |
| 01868 | Neo-Neon Holdings Ltd. | Cayman Islands | 2006/12/15 |
| 00777 | NetDragon Websoft Holdings Ltd. | Cayman Islands | 2008/06/24 |
| 00234 | New Century Group Hong Kong Ltd. | Bermuda | 1972/11/24 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|---------------------------------------------------------|------------------------|--------------|
| 01518 | New Century Healthcare Holding Co. Ltd. | Cayman Islands | 2017/01/18 |
| 01336 | New China Life Insurance Co. Ltd. - H Shares | PRC | 2011/12/15 |
| 00456 | New City Development Group Ltd. | Cayman Islands | 1993/07/14 |
| 02221 | New Concepts Holdings Ltd. | Cayman Islands | 2014/09/19 |
| 00360 | New Focus Auto Tech Holdings Ltd. | Cayman Islands | 2005/02/28 |
| 02326 | New Provenance Everlasting Holdings Ltd. | Bermuda | 2003/03/03 |
| 06108 | New Ray Medicine International Holding Ltd. | Bermuda | 2013/10/25 |
| 00472 | New Silkroad Culturaltainment Ltd. | Bermuda | 1988/01/29 |
| 00166 | New Times Energy Corporation Ltd. | Bermuda | 1998/10/13 |
| 00436 | New Universe Environmental Group Ltd. | Cayman Islands | 2000/05/18 |
| 00825 | New World Department Store China Ltd. | Cayman Islands | 2007/07/12 |
| 00017 | New World Development Co. Ltd. | Hong Kong | 1972/11/23 |
| 00055 | Newway Group Holdings Ltd. | Bermuda | 1992/09/10 |
| 09911 | Newborn Town Inc. | Cayman Islands | 2019/12/31 |
| 00342 | NewOcean Energy Holdings Ltd. | Bermuda | 1993/03/03 |
| 01231 | Newton Resources Ltd. | Cayman Islands | 2011/07/04 |
| 01323 | Newtree Group Holdings Ltd. | Cayman Islands | 2011/01/13 |
| 00282 | Next Digital Ltd. | Hong Kong | n.a. |
| 01316 | Nexteer Automotive Group Ltd. | Cayman Islands | 2013/10/07 |
| 01047 | Ngai Hing Hong Co. Ltd. | Bermuda | 1994/04/25 |
| 02889 | Nickel Resources International Holdings Co. Ltd. | Cayman Islands | 2005/05/19 |
| 00186 | Nimble Holdings Co. Ltd. | Bermuda | 1987/07/09 |
| 02689 | Nine Dragons Paper (Holdings) Ltd. | Bermuda | 2006/03/03 |
| 00009 | Nine Express Ltd. | Bermuda | 2001/09/12 |
| 01245 | Niraku GC Holdings, Inc. | Japan | 2015/04/08 |
| 01475 | Nissin Foods Co. Ltd. | Hong Kong | 2017/12/11 |
| 03773 | NNK Group Ltd. | Cayman Islands | 2016/01/07 |
| 00433 | North Mining Shares Co. Ltd. | Bermuda | n.a. |
| 00042 | Northeast Electric Development Co. Ltd. - H Shares | PRC | 1995/07/06 |
| 01360 | NOVA Group Holdings Ltd. | Cayman Islands | 2013/11/06 |
| 02222 | NVC Lighting Holding Ltd. | Cayman Islands | 2010/05/20 |
| 00659 | NWS Holdings Ltd. | Bermuda | 1997/04/25 |
| 00329 | OCI International Holdings Ltd. | Cayman Islands | 2001/05/09 |
| 01319 | Oi Wah Pawnshop Credit Holdings Ltd. | Cayman Islands | 2013/03/12 |
| 01655 | Okura Holdings Ltd. | Hong Kong | 2017/05/15 |
| 00426 | One Media Group Ltd. | Cayman Islands | 2005/10/18 |
| 01933 | OneForce Holdings Ltd. | Cayman Islands | 2018/03/02 |
| 00877 | O-Net Technologies (Group) Ltd. | Cayman Islands | 2010/04/29 |
| 01140 | OP Financial Ltd. | Cayman Islands | 2003/03/20 |
| 01132 | Orange Sky Golden Harvest Entertainment (Holdings) Ltd. | Bermuda | 1994/11/23 |
| 00316 | Orient Overseas (International) Ltd. | Bermuda | 1992/07/31 |
| 00265 | Orient Victory Travel Group Co. Ltd. | Cayman Islands | n.a. |
| 00430 | Oriental Explorer Holdings Ltd. | Bermuda | 1993/03/30 |
| 00018 | Oriental Press Group Ltd. | Hong Kong | 1987/08/18 |
| 00398 | Oriental Watch Holdings Ltd. | Bermuda | 1993/10/12 |
| 00622 | Oshidori International Holdings Ltd. | Bermuda | 1991/09/16 |
| 06899 | Ourgame International Holdings Ltd. | Cayman Islands | 2014/06/30 |
| 03366 | Overseas Chinese Town (Asia) Holdings Ltd. | Cayman Islands | 2005/11/02 |
| 02014 | Ozner Water International Holding Ltd. | Cayman Islands | 2014/06/17 |
| 00574 | Pa Shun International Holdings Ltd. | Cayman Islands | 2015/06/19 |
| 02343 | Pacific Basin Shipping Ltd. | Bermuda | 2004/07/14 |
| 00432 | Pacific Century Premium Developments Ltd. | Bermuda | 1993/09/20 |
| 01820 | Pacific Millennium Packaging Group Corporation | Cayman Islands | 2018/12/21 |
| 00543 | Pacific Online Ltd. | Cayman Islands | 2007/12/18 |
| 01382 | Pacific Textiles Holdings Ltd. | Cayman Islands | 2007/05/18 |
| 01010 | PacRay International Holdings Ltd. | Bermuda | 1994/02/18 |
| 00239 | Pak Fah Yeow International Ltd. | Bermuda | 1991/12/18 |
| 02668 | Pak Tak International Ltd. | Bermuda | 2001/12/06 |
| 01703 | Palace Banquet Holdings Ltd. | Cayman Islands | 2019/02/15 |
| 00495 | Paladin Ltd. | Bermuda | 1988/07/20 |
| 00617 | Paliburg Holdings Ltd. | Bermuda | 1993/12/17 |
| 01561 | Pan Asia Data Holdings Inc. | Cayman Islands | 2015/12/01 |
| 00556 | Pan Asia Environmental Protection Group Ltd. | Cayman Islands | 2007/12/21 |
| 02078 | PanAsialum Holdings Co. Ltd. | Cayman Islands | 2013/02/05 |
| 00686 | Panda Green Energy Group Ltd. | Bermuda | 2000/04/13 |
| 01180 | Paradise Entertainment Ltd. | Bermuda | 1997/01/20 |
| 03368 | Parkson Retail Group Ltd. | Cayman Islands | 2005/11/30 |
| 00327 | PAX Global Technology Ltd. | Bermuda | 2010/12/20 |
| 01263 | PC Partner Group Ltd. | Cayman Islands | 2012/01/12 |
| 00008 | PCCW Ltd. | Hong Kong | 1994/10/18 |
| 00402 | Peace Map Holding Ltd. | Bermuda | 2006/03/14 |
| 00632 | Pearl Oriental Oil Ltd. | Bermuda | 1993/04/30 |
| 00676 | Pegasus International Holdings Ltd. | Bermuda | 1996/10/11 |
| 02885 | Peiport Holdings Ltd. | Cayman Islands | 2019/01/11 |
| 00618 | Peking University Resources (Holdings) Co. Ltd. | Bermuda | 1991/10/07 |
| 01665 | Pentamaster International Ltd. | Cayman Islands | 2018/01/19 |
| 02798 | Perennial Energy Holdings Ltd. | Cayman Islands | 2018/12/12 |
| 00725 | Perennial International Ltd. | Bermuda | 1996/12/30 |
| 03326 | Perfect Group International Holdings Ltd. | Cayman Islands | 2016/01/04 |
| 01830 | Perfect Shape Medical Ltd. | Cayman Islands | 2012/02/10 |
| 00765 | Perfectech International Holdings Ltd. | Bermuda | 1992/10/23 |
| 03395 | Persta Resources Inc. | Canada | 2017/03/10 |
| 00857 | PetroChina Co. Ltd. - H Shares | PRC | 2000/04/07 |
| 02178 | Petro-king Oilfield Services Ltd. | British Virgin Islands | 2013/03/06 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|---------------------------------------------------------|----------------|--------------|
| 03759 | Pharmaron Beijing Co., Ltd. - H Shares | PRC | 2019/11/28 |
| 02008 | Phoenix Media Investment (Holdings) Ltd. | Cayman Islands | 2000/06/30 |
| 02328 | PICC Property and Casualty Co. Ltd. - H Shares | PRC | 2003/11/06 |
| 00752 | Pico Far East Holdings Ltd. | Cayman Islands | 1992/09/28 |
| 01989 | Pine Care Group Ltd. | Cayman Islands | 2017/02/15 |
| 01079 | Pine Technology Holdings Ltd. | Bermuda | 1999/11/26 |
| 00804 | Pinestone Captial Ltd. | Cayman Islands | 2015/06/12 |
| 01833 | Ping An Healthcare and Technology Co. Ltd. | Cayman Islands | 2018/05/04 |
| 02318 | Ping An Insurance (Group) Co. of China, Ltd. - H Shares | PRC | 2004/06/24 |
| 00231 | Ping An Securities Group (Holdings) Ltd. | Bermuda | n.a. |
| 00224 | Pioneer Global Group Ltd. | Bermuda | n.a. |
| 01865 | Pipeline Engineering Holdings Ltd. | Cayman Islands | 2019/03/27 |
| 00613 | Planetree International Development Ltd. | Bermuda | 1993/11/12 |
| 01949 | Platt Nera International Ltd. | Cayman Islands | 2019/07/16 |
| 00635 | Playmates Holdings Ltd. | Bermuda | 1994/01/06 |
| 00869 | Playmates Toys Ltd. | Bermuda | 2008/02/01 |
| 01523 | Plover Bay Technologies Ltd. | Cayman Islands | 2016/07/13 |
| 00225 | Pokfulam Development Co. Ltd. | Hong Kong | 1972/12/19 |
| 03636 | Poly Culture Group Corporation Ltd. - H Shares | PRC | 2014/03/06 |
| 06049 | Poly Property Development Co., Ltd. - H Shares | PRC | 2019/12/19 |
| 00119 | Poly Property Group Co., Ltd. | Hong Kong | 1973/08/30 |
| 00208 | Polytec Asset Holdings Ltd. | Cayman Islands | 1998/09/09 |
| 01658 | Postal Savings Bank of China Co., Ltd. - H Shares | PRC | 2016/09/28 |
| 03813 | Pou Sheng International (Holdings) Ltd. | Bermuda | 2008/06/06 |
| 00006 | Power Assets Holdings Ltd. | Hong Kong | n.a. |
| 00397 | Power Financial Group Ltd. | Bermuda | 1993/10/13 |
| 09909 | Powerlong Commercial Management Holdings Ltd. | Cayman Islands | 2019/12/30 |
| 01238 | Powerlong Real Estate Holdings Ltd. | Cayman Islands | 2009/10/14 |
| 01913 | PRADA S.p.A. | Italy | 2011/06/24 |
| 01861 | Precious Dragon Technology Holdings Ltd. | Cayman Islands | 2019/06/21 |
| 01651 | Precision Tsugami (China) Corporation Ltd. | Cayman Islands | 2017/09/25 |
| 01809 | Prinx Chengshan (Cayman) Holding Ltd. | Cayman Islands | 2018/10/09 |
| 01581 | Progressive Path Group Holdings Ltd. | Cayman Islands | 2016/12/08 |
| 06816 | Prosper Construction Holdings Ltd. | Cayman Islands | 2016/07/20 |
| 01470 | Prosper One International Holdings Co. Ltd. | Cayman Islands | 2015/05/12 |
| 00803 | Prosperity International Holdings (H.K.) Ltd. | Bermuda | 2001/08/02 |
| 00310 | Prosperity Investment Holdings Ltd. | Bermuda | 1992/12/21 |
| 01259 | Prosperous Future Holdings Ltd. | Cayman Islands | 2011/07/15 |
| 01731 | Prosperous Industrial (Holdings) Ltd. | Cayman Islands | 2018/07/13 |
| 02378 | Prudential plc | England | 2010/05/25 |
| 00372 | PT International Development Corporation Ltd. | Bermuda | 1992/02/13 |
| 00626 | Public Financial Holdings Ltd. | Bermuda | 1991/10/03 |
| 02060 | Pujiang International Group Ltd. | Cayman Islands | 2019/05/28 |
| 01498 | PuraPharm Corporation Ltd. | Cayman Islands | 2015/07/08 |
| 01720 | Putian Communication Group Ltd. | Cayman Islands | 2017/11/09 |
| 00090 | Puxing Clean Energy Ltd. | Cayman Islands | 2009/07/10 |
| 01358 | PW Medtech Group Ltd. | Cayman Islands | 2013/11/08 |
| 00498 | PYI Corporation Ltd. | Bermuda | 1993/09/21 |
| 01478 | Q Technology (Group) Co. Ltd. | Cayman Islands | 2014/12/02 |
| 01739 | Qeeka Home (Cayman) Inc. | Cayman Islands | 2018/07/12 |
| 00911 | Qianhai Health Holdings Ltd. | Cayman Islands | 2014/06/27 |
| 01576 | Qilu Expressway Co. Ltd. - H Shares | PRC | 2018/07/19 |
| 00499 | Qingdao Holdings International Ltd. | Bermuda | 1988/07/22 |
| 06198 | Qingdao Port International Co., Ltd. - H Shares | PRC | 2014/06/06 |
| 01122 | Qingling Motors Co. Ltd. - H Shares | PRC | 1994/08/17 |
| 03369 | Qinhuangdao Port Co., Ltd. - H Shares | PRC | 2013/12/12 |
| 01583 | Qinqin Foodstuffs Group (Cayman) Co. Ltd. | Cayman Islands | 2016/07/08 |
| 00243 | QPL International Holdings Ltd. | Bermuda | 1987/01/20 |
| 01348 | Quali-Smart Holdings Ltd. | Cayman Islands | 2013/01/23 |
| 01577 | Quanzhou Huixin Micro-credit Co., Ltd. - H Shares | PRC | 2016/09/30 |
| 00601 | Rare Earth Magnesium Technology Group Holdings Ltd. | Bermuda | 1993/01/28 |
| 00229 | Raymond Industrial Ltd. | Hong Kong | 1984/11/22 |
| 01337 | Razer Inc. | Cayman Islands | 2017/11/13 |
| 00246 | Real Gold Mining Ltd. | Cayman Islands | 2009/02/23 |
| 02010 | Real Nutraceutical Group Ltd. | Cayman Islands | 2010/02/19 |
| 01196 | Realord Group Holdings Ltd. | Bermuda | 1997/01/20 |
| 01528 | Red Star Macalline Group Corporation Ltd. - H Shares | PRC | 2015/06/26 |
| 01622 | Redco Properties Group Ltd. | Cayman Islands | 2014/01/30 |
| 01996 | Redsun Properties Group Ltd. | Cayman Islands | 2018/07/12 |
| 01631 | REF Holdings Ltd. | Cayman Islands | 2015/09/25 |
| 00078 | Regal Hotels International Holdings Ltd. | Bermuda | n.a. |
| 00575 | Regent Pacific Group Ltd. | Cayman Islands | 1997/05/19 |
| 02199 | Regina Miracle International (Holdings) Ltd. | Cayman Islands | 2015/10/08 |
| 00723 | Reliance Global Holdings Ltd. | Bermuda | 1991/07/18 |
| 01750 | REM Group (Holdings) Ltd. | Cayman Islands | 2018/05/11 |
| 03628 | RENHENG Enterprise Holdings Ltd. | Cayman Islands | 2011/11/18 |
| 06919 | Renrui Human Resources Technology Holdings Ltd. | Cayman Islands | 2019/12/13 |
| 00885 | Rentian Technology Holdings Ltd. | Cayman Islands | 2001/07/12 |
| 00555 | REXLot Holdings Ltd. | Bermuda | 2000/09/28 |
| 01741 | Ri Ying Holdings Ltd. | Cayman Islands | 2018/10/16 |
| 00070 | Rich Goldman Holdings Ltd. | Hong Kong | n.a. |
| 00313 | Richly Field China Development Ltd. | Bermuda | 1993/01/05 |
| 01526 | Rici Healthcare Holdings Ltd. | Cayman Islands | 2016/10/06 |
| 01679 | Risecomm Group Holdings Ltd. | Cayman Islands | 2017/06/09 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|---------------------------------------------------------------|----------------|--------------|
| 00281 | Rivera (Holdings) Ltd. | Hong Kong | 1973/01/23 |
| 01417 | Riverine China Holdings Ltd. | Cayman Islands | 2017/12/11 |
| 06117 | Rizhao Port Jurong Co., Ltd. - H Shares | PRC | 2019/06/19 |
| 01098 | Road King Infrastructure Ltd. | Bermuda | 1996/07/04 |
| 03301 | Ronshine China Holdings Ltd. | Cayman Islands | 2016/01/13 |
| 00578 | Rosan Resources Holdings Ltd. | Bermuda | 1997/05/15 |
| 01189 | Rosedale Hotel Holdings Ltd. | Bermuda | 1997/10/06 |
| 03789 | Royal Deluxe Holdings Ltd. | Cayman Islands | 2017/02/08 |
| 01198 | Royale Furniture Holdings Ltd. | Cayman Islands | 2002/05/15 |
| 01640 | Ruicheng (China) Media Group Ltd. | Cayman Islands | 2019/11/12 |
| 02025 | Ruifeng Power Group Co. Ltd. | Cayman Islands | 2018/01/05 |
| 00724 | Ruixin International Holdings Ltd. | Bermuda | 2000/07/03 |
| 02288 | Rykadan Capital Ltd. | Cayman Islands | 2009/08/21 |
| 00251 | S E A Holdings Ltd. | Bermuda | 1973/10/03 |
| 01695 | S&P International Holding Ltd. | Cayman Islands | 2017/07/11 |
| 03928 | S&T Holdings Ltd. | Cayman Islands | 2019/09/19 |
| 01255 | S. Culture International Holdings Ltd. | Cayman Islands | 2013/07/11 |
| 01832 | S.A.I. Leisure Group Co. Ltd. | Cayman Islands | 2019/05/16 |
| 01184 | S.A.S. Dragon Holdings Ltd. | Bermuda | 1994/10/17 |
| 00178 | Sa Sa International Holdings Ltd. | Cayman Islands | 1997/06/13 |
| 00237 | Safety Godown Co. Ltd. | Hong Kong | 1973/01/09 |
| 03822 | Sam Woo Construction Group Ltd. | Cayman Islands | 2014/10/16 |
| 00531 | Samson Holding Ltd. | Cayman Islands | 2005/11/17 |
| 00731 | Samson Paper Holdings Ltd. | Bermuda | 1995/12/01 |
| 01910 | Samsonite International S.A. | Luxembourg | 2011/06/16 |
| 00236 | San Miguel Brewery Hong Kong Ltd. | Hong Kong | n.a. |
| 01889 | Sanai Health Industry Group Co. Ltd. | Cayman Islands | 2007/02/01 |
| 00482 | Sandmartin International Holdings Ltd. | Bermuda | 2005/05/12 |
| 01928 | Sands China Ltd. | Cayman Islands | 2009/11/30 |
| 02183 | Sansheng Holdings (Group) Co. Ltd. | Cayman Islands | 2013/09/12 |
| 00631 | Sany Heavy Equipment International Holdings Co. Ltd. | Cayman Islands | 2009/11/25 |
| 01769 | Scholar Education Group | Cayman Islands | 2019/06/21 |
| 01399 | Scud Group Ltd. | Cayman Islands | 2006/12/21 |
| 01709 | Season Pacific Holdings Ltd. | Cayman Islands | 2015/10/07 |
| 01030 | Seazen Group Ltd. | Cayman Islands | 2012/11/29 |
| 00205 | SEEC Media Group Ltd. | Bermuda | 1993/01/05 |
| 00981 | Semiconductor Manufacturing International Corporation | Cayman Islands | 2004/03/18 |
| 01755 | S-Enjoy Service Group Co., Ltd. | Cayman Islands | 2018/11/06 |
| 01447 | SFK Construction Holdings Ltd. | Bermuda | 2015/12/10 |
| 01367 | SFund International Holdings Ltd. | Cayman Islands | 2014/07/11 |
| 01637 | SH Group (Holdings) Ltd. | Cayman Islands | 2017/01/03 |
| 01812 | Shandong Chenming Paper Holdings Ltd. - H Shares | PRC | 2008/06/18 |
| 01787 | Shandong Gold Mining Co., Ltd. - H Shares | PRC | 2018/09/28 |
| 01697 | Shandong International Trust Co., Ltd. - H Shares | PRC | 2017/12/08 |
| 00568 | Shandong Molong Petroleum Machinery Co. Ltd. - H Shares | PRC | 2007/02/07 |
| 01066 | Shandong Weigao Group Medical Polymer Co. Ltd. - H Shares | PRC | 2004/02/27 |
| 00719 | Shandong Xinhua Pharmaceutical Co. Ltd. - H Shares | PRC | 1996/12/31 |
| 01103 | Shanghai Dasheng Agriculture Finance Technology Co. Ltd.-H Sh | PRC | 2005/07/13 |
| 01635 | Shanghai Dazhong Public Utilities (Group) Co., Ltd. - H Shs | PRC | 2016/12/05 |
| 02718 | Shanghai Dongzheng Automotive Finance Co., Ltd. - H Shares | PRC | 2019/04/03 |
| 02727 | Shanghai Electric Group Co. Ltd. - H Shares | PRC | 2005/04/28 |
| 02196 | Shanghai Fosun Pharmaceutical (Group) Co., Ltd. - H Shares | PRC | 2012/10/30 |
| 01385 | Shanghai Fudan Microelectronics Group Co. Ltd. - H Shares | PRC | 2000/08/04 |
| 01349 | Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs | PRC | 2002/08/13 |
| 06826 | Shanghai Haohai Biological Technology Co., Ltd. - H Shares | PRC | 2015/04/30 |
| 02696 | Shanghai Henlius Biotech, Inc. - B - H Shares | PRC | 2019/09/25 |
| 00363 | Shanghai Industrial Holdings Ltd. | Hong Kong | 1996/05/30 |
| 00563 | Shanghai Industrial Urban Development Group Ltd. | Bermuda | 1993/09/10 |
| 00770 | Shanghai International Shanghai Growth Investment Ltd. | Cayman Islands | 1993/11/30 |
| 02006 | Shanghai Jin Jiang Capital Co. Ltd. - H Shares | PRC | 2006/12/15 |
| 01877 | Shanghai Junshi Biosciences Co., Ltd. - B - H Shares | PRC | 2018/12/24 |
| 01501 | Shanghai Kindly Medical Instruments Co., Ltd. - H Shares | PRC | 2019/11/08 |
| 06116 | Shanghai La Chapelle Fashion Co., Ltd. - H Shares | PRC | 2014/10/09 |
| 02607 | Shanghai Pharmaceuticals Holding Co., Ltd. - H Shares | PRC | 2011/05/20 |
| 02345 | Shanghai Prime Machinery Co. Ltd. - H Shares | PRC | 2006/04/27 |
| 01835 | Shanghai Realway Capital Assets Management Co., Ltd. - H Shs | PRC | 2018/11/13 |
| 00755 | Shanghai Zendai Property Ltd. | Bermuda | 1992/10/27 |
| 00069 | Shangri-La Asia Ltd. | Bermuda | 1993/06/17 |
| 01749 | Shanshan Brand Management Co., Ltd. - H Shares | PRC | 2018/06/27 |
| 00953 | Shaw Brothers Holdings Ltd. | Cayman Islands | 2010/02/01 |
| 01335 | Sheen Tai Holdings Group Co. Ltd. | Cayman Islands | 2012/07/13 |
| 06069 | Sheng Ye Capital Ltd. | Cayman Islands | 2017/07/06 |
| 00851 | Sheng Yuan Holdings Ltd. | Bermuda | 1998/12/02 |
| 02066 | Shengjing Bank Co., Ltd. - H Shares | PRC | 2014/12/29 |
| 01080 | Shengli Oil & Gas Pipe Holdings Ltd. | Cayman Islands | 2009/12/18 |
| 00829 | Shenguan Holdings (Group) Ltd. | Cayman Islands | 2009/10/13 |
| 00218 | Shenwan Hongyuan (H.K.) Ltd. | Hong Kong | n.a. |
| 06806 | Shenwan Hongyuan Group Co., Ltd. - H Shares | PRC | 2019/04/26 |
| 00747 | Shenyang Public Utility Holdings Co. Ltd. - H Shares | PRC | 1999/12/16 |
| 00548 | Shenzhen Expressway Co. Ltd. - H Shares | PRC | 1997/03/12 |
| 00152 | Shenzhen International Holdings Ltd. | Bermuda | 1972/09/25 |
| 00737 | Shenzhen Investment Holdings Bay Area Development Co. Ltd. | Cayman Islands | 2003/08/06 |
| 00604 | Shenzhen Investment Ltd. | Hong Kong | 1997/03/07 |
| 02313 | Shenzhou International Group Holdings Ltd. | Cayman Islands | 2005/11/24 |

List of listed companies on Main Board (continued)

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| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|---------------------|--------------|
| 01633 | Sheung Yue Group Holdings Ltd. | Cayman Islands | 2016/11/11 |
| 01831 | ShiFang Holding Ltd. | Bermuda | 2010/12/03 |
| 00813 | Shimao Property Holdings Ltd. | Cayman Islands | 2006/07/05 |
| 01930 | Shinelong Automotive Lightweight Application Ltd. | Cayman Islands | 2019/06/28 |
| 01587 | Shineroad International Holdings Ltd. | Cayman Islands | 2018/06/27 |
| 00312 | Shirble Department Store Holdings (China) Ltd. | Cayman Islands | 2010/11/17 |
| 00666 | SHK Hong Kong Industries Ltd. | Hong Kong | 1990/12/21 |
| 00103 | Shougang Concord Century Holdings Ltd. | Hong Kong | 1992/04/09 |
| 00730 | Shougang Concord Grand (Group) Ltd. | Bermuda | 1991/08/08 |
| 00697 | Shougang Concord International Enterprises Co. Ltd. | Hong Kong | 1991/04/30 |
| 00639 | Shougang Fushan Resources Group Ltd. | Hong Kong | 1990/10/02 |
| 01706 | Shuang Yun Holdings Ltd. | Cayman Islands | 2017/11/15 |
| 01241 | Shuanghua Holdings Ltd. | Cayman Islands | 2011/06/30 |
| 00272 | Shui On Land Ltd. | Cayman Islands | 2006/10/04 |
| 00253 | Shun Ho Holdings Ltd. | Hong Kong | n.a. |
| 00219 | Shun Ho Property Investments Ltd. | Hong Kong | 1973/05/10 |
| 00242 | Shun Tak Holdings Ltd. | Hong Kong | 1973/01/25 |
| 01591 | Shun Wo Group Holdings Ltd. | Cayman Islands | 2016/09/28 |
| 01165 | Shunfeng International Clean Energy Ltd. | Cayman Islands | 2011/07/13 |
| 00932 | Shunten International (Holdings) Ltd. | Cayman Islands | 2013/10/11 |
| 01142 | Siberian Mining Group Co. Ltd. | Cayman Islands | 2002/11/08 |
| 01713 | Sichuan Energy Investment Development Co., Ltd. - H Shares | PRC | 2018/12/28 |
| 00107 | Sichuan Expressway Co. Ltd. - H Shares | PRC | 1997/10/07 |
| 02606 | Sichuan Languang Justbon Services Group Co., Ltd. - H Shares | PRC | 2019/10/18 |
| 00460 | Sihuan Pharmaceutical Holdings Group Ltd. | Bermuda | 2010/10/28 |
| 00807 | SIIC Environment Holdings Ltd. | Singapore | 2018/03/23 |
| 00988 | Silk Road Logistics Holdings Ltd. | Bermuda | 1994/01/21 |
| 00886 | Silver Base Group Holdings Ltd. | Cayman Islands | 2009/04/08 |
| 00171 | Silver Grant International Holdings Group Ltd. | Hong Kong | n.a. |
| 01943 | Silver Tide Holdings Ltd. | Cayman Islands | 2019/06/28 |
| 02000 | SIM Technology Group Ltd. | Bermuda | 2005/06/30 |
| 00244 | Sincere Co. Ltd., The | Hong Kong | n.a. |
| 00444 | Sincere Watch (Hong Kong) Ltd. | Cayman Islands | 2005/10/17 |
| 06833 | Sinco Pharmaceuticals Holdings Ltd. | Cayman Islands | 2016/03/10 |
| 01105 | Sing Tao News Corporation Ltd. | Bermuda | 1996/07/10 |
| 00716 | Singamas Container Holdings Ltd. | Hong Kong | 1993/07/08 |
| 02103 | Sinic Holdings (Group) Co. Ltd. | Cayman Islands | 2019/11/15 |
| 01177 | Sino Biopharmaceutical Ltd. | Cayman Islands | 2003/12/08 |
| 01096 | Sino Energy International Holdings Group Ltd. | Cayman Islands | 2011/09/28 |
| 01759 | Sino Gas Holdings Group Ltd. | Cayman Islands | 2018/12/28 |
| 00361 | Sino Golf Holdings Ltd. | Bermuda | 2000/12/20 |
| 01663 | Sino Harbour Holdings Group Ltd. | Bermuda | 2011/07/22 |
| 01221 | Sino Hotels (Holdings) Ltd. | Cayman Islands | 1995/03/08 |
| 00365 | Sino ICT Holdings Ltd. | Bermuda | 2000/10/16 |
| 00083 | Sino Land Co. Ltd. | Hong Kong | 1981/04/08 |
| 00702 | Sino Oil and Gas Holdings Ltd. | Bermuda | 2000/02/09 |
| 00766 | Sino Prosper (Group) Holdings Ltd. | Cayman Islands | 2002/05/15 |
| 00297 | Sinofert Holdings Ltd. | Bermuda | 1996/09/30 |
| 00250 | Sino-i Technology Ltd. | Hong Kong | n.a. |
| 01168 | Sinolink Worldwide Holdings Ltd. | Bermuda | 1998/06/08 |
| 03681 | SinoMab BioScience Ltd. - B | Hong Kong | 2019/11/12 |
| 01418 | Sinomax Group Ltd. | Cayman Islands | 2014/07/10 |
| 00623 | SinoMedia Holding Ltd. | Hong Kong | 2008/07/08 |
| 03377 | Sino-Ocean Group Holding Ltd. | Hong Kong | 2007/09/28 |
| 02386 | SINOPEC Engineering (Group) Co., Ltd. - H Shares | PRC | 2013/05/23 |
| 00934 | Sinopec Kantons Holdings Ltd. | Bermuda | 1999/06/25 |
| 01033 | Sinopec Oilfield Service Corporation - H Shares | PRC | 1994/03/29 |
| 00338 | Sinopec Shanghai Petrochemical Co. Ltd. - H Shares | PRC | 1993/07/26 |
| 01099 | Sinopharm Group Co. Ltd. - H Shares | PRC | 2009/09/23 |
| 01297 | Sinosoft Technology Group Ltd. | Cayman Islands | 2013/07/09 |
| 00598 | Sinotrans Ltd. - H Shares | PRC | 2003/02/13 |
| 03808 | Sinotruk (Hong Kong) Ltd. | Hong Kong | 2007/11/28 |
| 00529 | SiS International Holdings Ltd. | Bermuda | 1992/08/18 |
| 01362 | SiS Mobile Holdings Ltd. | Cayman Islands | 2015/01/15 |
| 01696 | Sisram Medical Ltd. | INVALID_CountryCode | 2017/09/19 |
| 01308 | SITC International Holdings Co. Ltd. | Cayman Islands | 2010/10/06 |
| 01023 | Sitoy Group Holdings Ltd. | Cayman Islands | 2011/12/06 |
| 00880 | SJM Holdings Ltd. | Hong Kong | 2008/07/16 |
| 00141 | Sky Chinafortune Holdings Group Ltd. | Hong Kong | 1973/03/07 |
| 03882 | Sky Light Holdings Ltd. | Cayman Islands | 2015/07/02 |
| 00059 | Skyfame Realty (Holdings) Ltd. | Bermuda | 1993/11/16 |
| 00751 | Skyworth Group Ltd. | Bermuda | 2000/04/07 |
| 00395 | Smartac Group China Holdings Ltd. | Cayman Islands | 2002/10/28 |
| 02166 | Smart-Core Holdings Ltd. | Cayman Islands | 2016/10/07 |
| 00315 | SmarTone Telecommunications Holdings Ltd. | Bermuda | 1996/10/31 |
| 02366 | SMI Culture & Travel Group Holdings Ltd. | Bermuda | 2004/06/30 |
| 00198 | SMI Holdings Group Ltd. | Bermuda | 1973/02/07 |
| 02239 | SMIT Holdings Ltd. | Cayman Islands | 2016/03/30 |
| 01843 | Snack Empire Holdings Ltd. | Cayman Islands | 2019/10/23 |
| 00983 | SOCAM Development Ltd. | Bermuda | 1997/02/03 |
| 00380 | Softpower International Ltd. | Bermuda | 2000/12/21 |
| 00410 | SOHO China Ltd. | Cayman Islands | 2007/10/08 |
| 00757 | Solargiga Energy Holdings Ltd. | Cayman Islands | 2008/03/31 |
| 01166 | Solartech International Holdings Ltd. | Bermuda | 1996/12/12 |

List of listed companies on Main Board (continued)

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| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------|------------------------|--------------|
| 02227 | Solis Holdings Ltd. | Cayman Islands | 2017/12/11 |
| 02878 | Solomon Systech (International) Ltd. | Cayman Islands | 2004/04/08 |
| 00967 | Sound Global Ltd. | Singapore | 2010/09/30 |
| 00878 | Soundwill Holdings Ltd. | Bermuda | 1997/03/21 |
| 00619 | South China Financial Holdings Ltd. | Hong Kong | 1993/07/16 |
| 00413 | South China Holdings Co. Ltd. | Cayman Islands | 1987/06/16 |
| 00577 | South Shore Holdings Ltd. | Bermuda | 1996/04/25 |
| 00252 | Southeast Asia Properties & Finance Ltd. | Hong Kong | n.a. |
| 01573 | Southern Energy Holdings Group Ltd. | Cayman Islands | 2016/07/13 |
| 01878 | SouthGobi Resources Ltd. | Canada | 2010/01/29 |
| 00812 | Southwest Securities International Securities Ltd. | Bermuda | 2002/01/30 |
| 02448 | Space Group Holdings Ltd. | Cayman Islands | 2018/01/16 |
| 00970 | Sparkle Roll Group Ltd. | Bermuda | 1997/09/18 |
| 03860 | Speed Apparel Holding Ltd. | Cayman Islands | 2017/05/31 |
| 00540 | Speedy Global Holdings Ltd. | Cayman Islands | 2013/01/15 |
| 01700 | Springland International Holdings Ltd. | Cayman Islands | 2010/10/21 |
| 01401 | Sprocomm Intelligence Ltd. | Cayman Islands | 2019/11/13 |
| 01251 | SPT Energy Group Inc. | Cayman Islands | 2011/12/23 |
| 01207 | SRE Group Ltd. | Bermuda | 1999/12/10 |
| 02005 | SSY Group Ltd. | Cayman Islands | 2005/12/20 |
| 02888 | Standard Chartered PLC | England | 2002/10/31 |
| 01560 | Star Properties Group (Cayman Islands) Ltd. | Cayman Islands | 2016/07/13 |
| 01159 | Starlight Culture Entertainment Group Ltd. | Bermuda | 1998/03/13 |
| 00403 | Starlite Holdings Ltd. | Bermuda | 1993/03/03 |
| 01616 | Starrise Media Holdings Ltd. | Cayman Islands | 2012/07/12 |
| 00918 | State Energy Group International Assets Holdings Ltd. | Bermuda | 1997/10/15 |
| 01836 | Stella International Holdings Ltd. | Cayman Islands | 2007/07/06 |
| 00084 | Stelux Holdings International Ltd. | Bermuda | 1972/10/02 |
| 01825 | Sterling Group Holdings Ltd. | Cayman Islands | 2018/10/19 |
| 02262 | Steve Leung Design Group Ltd. | Cayman Islands | 2018/07/05 |
| 00852 | Strong Petrochemical Holdings Ltd. | Cayman Islands | 2009/01/12 |
| 00211 | Styland Holdings Ltd. | Bermuda | 1991/12/05 |
| 01182 | Success Dragon International Holdings Ltd. | Bermuda | 1994/10/17 |
| 00487 | Success Universe Group Ltd. | Bermuda | 1988/05/03 |
| 01430 | Suchuang Gas Corporation Ltd. | Cayman Islands | 2015/03/11 |
| 00912 | Suga International Holdings Ltd. | Bermuda | 2002/09/18 |
| 00756 | Summi (Group) Holdings Ltd. | Cayman Islands | 2008/07/10 |
| 00102 | Summit Ascent Holdings Ltd. | Bermuda | 1994/01/10 |
| 06808 | Sun Art Retail Group Ltd. | Hong Kong | 2011/07/27 |
| 01781 | Sun Cheong Creative Development Holdings Ltd. | Cayman Islands | 2018/10/04 |
| 01975 | Sun Hing Printing Holdings Ltd. | Cayman Islands | 2017/11/16 |
| 00125 | Sun Hing Vision Group Holdings Ltd. | Bermuda | 1999/05/25 |
| 00086 | Sun Hung Kai & Co. Ltd. | Hong Kong | 1983/10/03 |
| 00016 | Sun Hung Kai Properties Ltd. | Hong Kong | 1972/09/08 |
| 00580 | Sun.King Power Electronics Group Ltd. | Cayman Islands | 2010/10/13 |
| 01918 | Sunac China Holdings Ltd. | Cayman Islands | 2010/10/07 |
| 01383 | Suncity Group Holdings Ltd. | Cayman Islands | 2007/02/22 |
| 01063 | Suncorp Technologies Ltd. | Bermuda | 1994/05/10 |
| 01568 | Sundart Holdings Ltd. | British Virgin Islands | 2015/12/29 |
| 01686 | SUNeVision Holdings Ltd. | Cayman Islands | 2000/03/17 |
| 01771 | Sunfonda Group Holdings Ltd. | Cayman Islands | 2014/05/15 |
| 02382 | Sunny Optical Technology (Group) Co. Ltd. | Cayman Islands | 2007/06/15 |
| 02608 | Sunshine 100 China Holdings Ltd. | Cayman Islands | 2014/03/13 |
| 02012 | Sunshine Oilsands Ltd. | Canada | 2012/03/01 |
| 00188 | Sunwah Kingsway Capital Holdings Ltd. | Bermuda | 2000/09/15 |
| 00058 | Sunway International Holdings Ltd. | Bermuda | 1999/09/03 |
| 03680 | Suoxinda Holdings Ltd. | Cayman Islands | 2019/12/13 |
| 00176 | Superactive Group Co. Ltd. | Bermuda | 1994/04/28 |
| 01228 | Superb Summit International Group Ltd. | Cayman Islands | 2001/09/18 |
| 00019 | Swire Pacific Ltd. | Hong Kong | n.a. |
| 01972 | Swire Properties Ltd. | Hong Kong | 2012/01/18 |
| 01223 | Symphony Holdings Ltd. | Bermuda | 1995/03/01 |
| 02340 | Synergis Holdings Ltd. | Bermuda | 2003/10/09 |
| 01539 | Synergy Group Holdings International Ltd. | Cayman Islands | 2015/03/24 |
| 01613 | Synertone Communication Corporation | Cayman Islands | 2012/04/18 |
| 01991 | Ta Yang Group Holdings Ltd. | Cayman Islands | 2007/06/08 |
| 00088 | Tai Cheung Holdings Ltd. | Bermuda | 1972/12/11 |
| 06811 | Tai Hing Group Holdings Ltd. | Cayman Islands | 2019/06/13 |
| 00146 | Tai Ping Carpets International Ltd. | Bermuda | n.a. |
| 00089 | Tai Sang Land Development Ltd. | Hong Kong | 1973/02/21 |
| 00718 | Tai United Holdings Ltd. | Bermuda | 1995/10/02 |
| 06193 | Tailam Tech Construction Holdings Ltd. | Cayman Islands | 2019/12/18 |
| 01542 | Taizhou Water Group Co., Ltd. - H Shares | PRC | 2019/12/31 |
| 00760 | Talent Property Group Ltd. | Bermuda | 1995/08/08 |
| 00693 | Tan Chong International Ltd. | Bermuda | 1998/07/07 |
| 01181 | Tang Palace (China) Holdings Ltd. | Cayman Islands | 2011/04/19 |
| 03666 | TANSH Global Food Group Co., Ltd | Cayman Islands | 2012/07/04 |
| 00573 | Tao Heung Holdings Ltd. | Cayman Islands | 2007/06/29 |
| 06161 | Target Insurance (Holdings) Ltd. | Hong Kong | 2015/01/15 |
| 00621 | Taung Gold International Ltd. | Bermuda | 1995/12/18 |
| 01960 | TBK & Sons Holdings Ltd. | Cayman Islands | 2019/09/30 |
| 00515 | TC Orient Lighting Holdings Ltd. | Cayman Islands | 2006/06/23 |
| 01070 | TCL Electronics Holdings Ltd. | Cayman Islands | 1999/11/26 |
| 01239 | Teamway International Group Holdings Ltd. | Cayman Islands | 2011/11/18 |

List of listed companies on Main Board (continued)

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| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|------------------------|--------------|
| 03823 | Tech Pro Technology Development Ltd. | Cayman Islands | 2007/09/06 |
| 01206 | Technovator International Ltd. | Singapore | 2011/10/27 |
| 00669 | Techtronic Industries Co. Ltd. | Hong Kong | 1990/12/17 |
| 06033 | Telecom Digital Holdings Ltd. | Cayman Islands | 2014/05/30 |
| 03997 | Telecom Service One Holdings Ltd. | Cayman Islands | 2013/05/30 |
| 00511 | Television Broadcasts Ltd. | Hong Kong | 1988/11/23 |
| 06880 | Tempus Holdings Ltd. | Cayman Islands | 2011/12/13 |
| 01979 | Ten Pao Group Holdings Ltd. | Cayman Islands | 2015/12/11 |
| 00700 | Tencent Holdings Ltd. | Cayman Islands | 2004/06/16 |
| 06868 | Tenfu (Cayman) Holdings Co. Ltd. | Cayman Islands | 2011/09/26 |
| 01219 | Tenwow International Holdings Ltd. | Cayman Islands | 2013/09/17 |
| 00093 | Termbray Industries International (Holdings) Ltd. | Bermuda | 1991/10/23 |
| 00277 | Tern Properties Co. Ltd. | Hong Kong | n.a. |
| 01201 | Tesson Holdings Ltd. | Bermuda | 1998/06/23 |
| 02678 | Texhong Textile Group Ltd. | Cayman Islands | 2004/12/09 |
| 00321 | Texwinca Holdings Ltd. | Bermuda | 1992/08/06 |
| 00542 | TFG International Group Ltd. | Cayman Islands | 1989/11/23 |
| 01339 | The People's Insurance Co. (Group) of China Ltd. - H Shares | PRC | 2012/12/07 |
| 01546 | Thelloy Development Group Ltd. | Cayman Islands | 2015/10/09 |
| 00990 | Theme International Holdings Ltd. | Bermuda | 1994/01/25 |
| 02292 | Thing On Enterprise Ltd. | Cayman Islands | 2018/01/16 |
| 00028 | Tian An China Investments Co. Ltd. | Hong Kong | 1987/03/18 |
| 02182 | Tian Chang Group Holdings Ltd. | Cayman Islands | 2018/03/08 |
| 01980 | Tian Ge Interactive Holdings Ltd. | Cayman Islands | 2014/07/09 |
| 02118 | Tian Shan Development (Holding) Ltd. | Cayman Islands | 2010/07/15 |
| 00266 | Tian Teck Land Ltd. | Hong Kong | n.a. |
| 06119 | Tian Yuan Group Holdings Ltd. | Cayman Islands | 2018/06/01 |
| 00455 | Tianda Pharmaceuticals Ltd. | Cayman Islands | 1992/03/30 |
| 00609 | Tiande Chemical Holdings Ltd. | Cayman Islands | 2006/10/27 |
| 00826 | Tiangong International Co. Ltd. | Cayman Islands | 2007/07/26 |
| 01619 | Tianhe Chemicals Group Ltd. | British Virgin Islands | 2014/06/20 |
| 01065 | Tianjin Capital Environmental Protection Group Co. Ltd.-H Sh | PRC | 1994/05/17 |
| 00882 | Tianjin Development Holdings Ltd. | Hong Kong | 1997/12/10 |
| 01265 | Tianjin Jinran Public Utilities Co. Ltd. - H Shares | PRC | 2004/01/09 |
| 03382 | Tianjin Port Development Holdings Ltd. | Cayman Islands | 2006/05/24 |
| 01671 | Tianjin Tianbao Energy Co., Ltd. - H Shares | PRC | 2018/04/27 |
| 01773 | Tianli Education International Holdings Ltd. | Cayman Islands | 2018/07/12 |
| 00117 | Tianli Holdings Group Ltd. | Cayman Islands | 2007/12/21 |
| 00819 | Tianneng Power International Ltd. | Cayman Islands | 2007/06/11 |
| 06836 | Tianyun International Holdings Ltd. | British Virgin Islands | 2015/07/07 |
| 01115 | Tibet Water Resources Ltd. | Cayman Islands | 2011/06/30 |
| 01790 | TIL Enviro Ltd. | Cayman Islands | 2018/11/29 |
| 01729 | Time Interconnect Technology Ltd. | Cayman Islands | 2018/02/13 |
| 02033 | Time Watch Investments Ltd. | Cayman Islands | 2013/02/05 |
| 01233 | Times China Holdings Ltd. | Cayman Islands | 2013/12/11 |
| 09928 | Times Neighborhood Holdings Ltd. | Cayman Islands | 2019/12/19 |
| 00322 | Tingyi (Cayman Islands) Holding Corp. | Cayman Islands | 1996/02/05 |
| 01192 | Titan Petrochemicals Group Ltd. | Bermuda | 1998/06/17 |
| 02283 | TK Group (Holdings) Ltd. | Cayman Islands | 2013/12/20 |
| 01939 | Tokyo Chuo Auction Holdings Ltd. | Hong Kong | 2018/10/11 |
| 02383 | TOM Group Ltd. | Cayman Islands | 2004/08/04 |
| 06928 | TOMO Holdings Ltd. | Cayman Islands | 2017/07/13 |
| 00258 | Tomson Group Ltd. | Cayman Islands | n.a. |
| 01666 | Tong Ren Tang Technologies Co. Ltd. - H Shares | PRC | 2000/10/31 |
| 00780 | Tongcheng-Elong Holdings Ltd. | Cayman Islands | 2018/11/26 |
| 00698 | Tongda Group Holdings Ltd. | Cayman Islands | 2000/12/22 |
| 02363 | Tongda Hong Tai Holdings Ltd. | Cayman Islands | 2018/03/16 |
| 01312 | Tongfang Kontafarma Holdings Ltd. | Cayman Islands | 2012/01/18 |
| 00340 | Tongguan Gold Group Ltd. | Bermuda | 1997/03/26 |
| 01249 | Tonly Electronics Holdings Ltd. | Cayman Islands | 2013/08/15 |
| 01752 | Top Education Group Ltd. | Australia | 2018/05/11 |
| 00333 | Top Form International Ltd. | Bermuda | 1991/12/16 |
| 03688 | Top Spring International Holdings Ltd. | Cayman Islands | 2011/03/23 |
| 06110 | Topsports International Holdings Ltd. | Cayman Islands | 2019/10/10 |
| 01875 | TOT BIOPHARM International Co. Ltd. - B | Hong Kong | 2019/11/08 |
| 00850 | Tou Rong Chang Fu Group Ltd. | Cayman Islands | 2002/11/13 |
| 03886 | Town Health International Medical Group Ltd. | Bermuda | 2000/10/18 |
| 01692 | Town Ray Holdings Ltd. | Cayman Islands | 2019/10/25 |
| 01083 | Towngas China Co. Ltd. | Cayman Islands | 2005/12/08 |
| 00536 | Tradelink Electronic Commerce Ltd. | Hong Kong | 2005/10/28 |
| 01326 | Transmit Entertainment Ltd. | Cayman Islands | 2012/10/31 |
| 00062 | Transport International Holdings Ltd. | Bermuda | n.a. |
| 01235 | Travel Expert (Asia) Enterprises Ltd. | Cayman Islands | 2011/09/29 |
| 00696 | TravelSky Technology Ltd. - H Shares | PRC | 2001/02/07 |
| 01300 | Trigiant Group Ltd. | Cayman Islands | 2012/03/19 |
| 00891 | Trinity Ltd. | Bermuda | 2009/11/03 |
| 01710 | Trio Industrial Electronics Group Ltd. | Hong Kong | 2017/11/23 |
| 00458 | Tristate Holdings Ltd. | Bermuda | 1988/01/06 |
| 00732 | Truly International Holdings Ltd. | Cayman Islands | 1991/07/29 |
| 01767 | TS Wonders Holding Ltd. | Cayman Islands | 2019/01/14 |
| 01986 | Tsaker Chemical Group Ltd. | Cayman Islands | 2015/07/03 |
| 00417 | Tse Sui Luen Jewellery (International) Ltd. | Bermuda | 1987/06/30 |
| 00247 | Tsim Sha Tsui Properties Ltd. | Hong Kong | 1972/07/20 |
| 00168 | Tsingtao Brewery Co. Ltd. - H Shares | PRC | 1993/07/15 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|-------------------------------------------------------------|----------------|--------------|
| 02119 | Tsit Wing International Holdings Ltd. | Bermuda | 2018/05/11 |
| 01314 | Tsui Wah Holdings Ltd. | Cayman Islands | 2012/11/26 |
| 01701 | Tu Yi Holding Co. Ltd. | Cayman Islands | 2019/06/28 |
| 00518 | Tungtex (Holdings) Co. Ltd. | Hong Kong | 1988/12/15 |
| 00872 | TUS International Ltd. | Cayman Islands | 2004/12/09 |
| 06182 | Twintek Investment Holdings Ltd. | Cayman Islands | 2018/01/17 |
| 00687 | Tysan Holdings Ltd. | Bermuda | 1991/03/26 |
| 01483 | U Banquet Group Holding Ltd. | Cayman Islands | 2013/12/10 |
| 00768 | UBA Investments Ltd. | Cayman Islands | 2000/01/18 |
| 01711 | Ulferts International Ltd. | Hong Kong | 2018/01/29 |
| 00722 | UMP Healthcare Holdings Ltd. | Cayman Islands | 2015/11/27 |
| 00690 | Uni-Bio Science Group Ltd. | Cayman Islands | 2001/11/12 |
| 02138 | Union Medical Healthcare Ltd. | Cayman Islands | 2016/03/11 |
| 00220 | Uni-President China Holdings Ltd. | Cayman Islands | 2007/12/17 |
| 00486 | United Company RUSAL Plc | Jersey | 2010/01/27 |
| 00467 | United Energy Group Ltd. | Bermuda | 1992/04/08 |
| 03933 | United Laboratories International Holdings Ltd., The | Cayman Islands | 2007/06/15 |
| 02337 | United Strength Power Holdings Ltd. | Cayman Islands | 2017/10/16 |
| 00913 | Unity Investments Holdings Ltd. | Cayman Islands | 1999/10/27 |
| 02211 | Universal Health International Group Holding Ltd. | Cayman Islands | 2013/12/12 |
| 02346 | Universal Star (Holdings) Ltd. | Cayman Islands | 2019/05/16 |
| 01026 | Universal Technologies Holdings Ltd. | Cayman Islands | 2001/10/26 |
| 01046 | Universe Entertainment and Culture Group Co. Ltd. | Bermuda | 1999/07/20 |
| 00307 | Up Energy Development Group Ltd. | Bermuda | 1992/12/02 |
| 00335 | Upbest Group Ltd. | Cayman Islands | 2000/10/18 |
| 06113 | UTS Marketing Solutions Holdings Ltd. | Cayman Islands | 2017/07/12 |
| 01002 | V.S. International Group Ltd. | Cayman Islands | 2002/02/08 |
| 00082 | V1 Group Ltd. | Bermuda | 1991/10/25 |
| 00821 | Value Convergence Holdings Ltd. | Hong Kong | 2001/04/09 |
| 00806 | Value Partners Group Ltd. | Cayman Islands | 2007/11/22 |
| 01036 | Vanke Overseas Investment Holding Co. Ltd. | Cayman Islands | 1996/11/08 |
| 00015 | Vantage International (Holdings) Ltd. | Bermuda | 2000/09/08 |
| 02003 | VCREDIT Holdings Ltd. | Cayman Islands | 2018/06/21 |
| 02317 | Vedan International (Holdings) Ltd. | Cayman Islands | 2003/06/27 |
| 01173 | Veeko International Holdings Ltd. | Cayman Islands | 1999/04/19 |
| 02500 | Venus Medtech (Hangzhou) Inc. - B - H Shares | PRC | 2019/12/10 |
| 01386 | Vestate Group Holdings Ltd. | Cayman Islands | 2007/06/07 |
| 01621 | Vico International Holdings Ltd. | Cayman Islands | 2018/03/05 |
| 03878 | Vicon Holdings Ltd. | Cayman Islands | 2017/12/22 |
| 00539 | Victory City International Holdings Ltd. | Bermuda | 1996/05/13 |
| 01139 | Victory Group Ltd. | Bermuda | 1998/02/16 |
| 00422 | Vietnam Manufacturing and Export Processing (Holdings) Ltd. | Cayman Islands | 2007/12/20 |
| 01612 | Vincent Medical Holdings Ltd. | Cayman Islands | 2016/07/13 |
| 03331 | Vinda International Holdings Ltd. | Cayman Islands | 2007/07/10 |
| 01565 | Virscend Education Co. Ltd. | Cayman Islands | 2016/01/15 |
| 01315 | Vision Fame International Holding Ltd. | Cayman Islands | 2012/01/18 |
| 00862 | Vision Values Holdings Ltd. | Cayman Islands | 1998/10/14 |
| 06133 | Vital Innovations Holdings Ltd. | Cayman Islands | 2015/06/26 |
| 00345 | Vitasoy International Holdings Ltd. | Hong Kong | 1994/03/30 |
| 01873 | Viva Biotech Holdings | Cayman Islands | 2019/05/09 |
| 01782 | Vixtel Technologies Holdings Ltd. | Cayman Islands | 2016/12/15 |
| 03738 | Vobile Group Ltd. | Cayman Islands | 2018/01/04 |
| 00318 | Vongroup Ltd. | Cayman Islands | 2001/10/09 |
| 01608 | VPower Group International Holdings Ltd. | Cayman Islands | 2016/11/24 |
| 00856 | VSTECs Holdings Ltd. | Cayman Islands | 2002/05/09 |
| 00303 | VTech Holdings Ltd. | Bermuda | 1992/11/05 |
| 00278 | Wah Ha Realty Co. Ltd. | Hong Kong | 1972/04/24 |
| 02683 | Wah Sun Handbags International Holdings Ltd. | Cayman Islands | 2018/01/22 |
| 01305 | Wai Chi Holdings Co. Ltd. | Cayman Islands | 2014/11/18 |
| 00660 | Wai Chun Bio-Technology Ltd. | Cayman Islands | 1993/02/05 |
| 01013 | Wai Chun Group Holdings Ltd. | Bermuda | 1997/02/03 |
| 03321 | Wai Hung Group Holdings Ltd. | Cayman Islands | 2019/04/23 |
| 00610 | Wai Kee Holdings Ltd. | Bermuda | 1992/08/28 |
| 00897 | Wai Yuen Tong Medicine Holdings Ltd. | Bermuda | 1997/10/31 |
| 01718 | Wan Kei Group Holdings Ltd. | Cayman Islands | 2015/08/11 |
| 00169 | Wanda Hotel Development Co. Ltd. | Bermuda | 2002/06/04 |
| 01222 | Wang On Group Ltd. | Bermuda | 1995/02/28 |
| 01243 | Wang On Properties Ltd. | Bermuda | 2016/04/12 |
| 03939 | Wanguo International Mining Group Ltd. | Cayman Islands | 2012/07/10 |
| 00401 | Wanjia Group Holdings Ltd. | Cayman Islands | 2013/10/11 |
| 01762 | Wanka Online Inc. | Cayman Islands | 2018/12/21 |
| 00151 | Want Want China Holdings Ltd. | Cayman Islands | 2008/03/26 |
| 03393 | Wasion Holdings Ltd. | Cayman Islands | 2005/12/19 |
| 01161 | Water Oasis Group Ltd. | Cayman Islands | 2002/03/11 |
| 02258 | Watts International Maritime Engineering Ltd. | Cayman Islands | 2018/11/19 |
| 00860 | WE Solutions Ltd. | Cayman Islands | 2002/09/03 |
| 03848 | Wealthy Way Group Ltd. | Cayman Islands | 2017/07/21 |
| 01793 | Wecon Holdings Ltd. | Cayman Islands | 2019/02/27 |
| 02338 | Weichai Power Co. Ltd. - H Shares | PRC | 2004/03/11 |
| 01845 | Weigang Environmental Technology Holding Group Ltd. | Cayman Islands | 2019/01/03 |
| 02013 | Weimob Inc. | Cayman Islands | 2019/01/15 |
| 02698 | Weiqiao Textile Co. Ltd. - H Shares | PRC | 2003/09/24 |
| 01570 | Weiyee Holdings Ltd. | Singapore | 2016/04/06 |
| 02120 | Wenzhou Kangning Hospital Co., Ltd. - H Shares | PRC | 2015/11/20 |
| 02233 | West China Cement Ltd. | Jersey | 2010/08/23 |
| 00288 | WH Group Ltd. | Cayman Islands | 2014/08/05 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|------------------------|--------------|
| 00004 | Wharf (Holdings) Ltd., The | Hong Kong | n.a. |
| 01997 | Wharf Real Estate Investment Co. Ltd. | Cayman Islands | 2017/11/23 |
| 00020 | Wheelock and Co. Ltd. | Hong Kong | n.a. |
| 00854 | Willas-Array Electronics (Holdings) Ltd. | Bermuda | 2013/12/06 |
| 03322 | Win Hanverky Holdings Ltd. | Cayman Islands | 2006/09/06 |
| 01850 | WINDMILL Group Ltd. | Cayman Islands | 2017/04/18 |
| 00287 | Winfair Investment Co. Ltd. | Hong Kong | n.a. |
| 00183 | Winfull Group Holdings Ltd. | Cayman Islands | 2007/09/05 |
| 06080 | Wing Chi Holdings Ltd. | Cayman Islands | 2017/10/20 |
| 00864 | Wing Lee Property Investments Ltd. | Bermuda | 2013/03/19 |
| 00289 | Wing On Company International Ltd. | Bermuda | n.a. |
| 00369 | Wing Tai Properties Ltd. | Bermuda | 1973/02/07 |
| 06838 | Winox Holdings Ltd. | Cayman Islands | 2011/07/20 |
| 00209 | Winshine Science Co. Ltd. | Bermuda | 2002/03/06 |
| 06068 | Wisdom Education International Holdings Co. Ltd. | Cayman Islands | 2017/01/26 |
| 01661 | Wisdom Sports Group | Cayman Islands | 2013/07/11 |
| 06100 | Wise Talent Information Technology Co., Ltd. | Cayman Islands | 2018/06/29 |
| 02236 | Wison Engineering Services Co. Ltd. | Cayman Islands | 2012/12/28 |
| 01260 | Wonderful Sky Financial Group Holdings Ltd. | Cayman Islands | 2012/03/30 |
| 00099 | Wong's International Holdings Ltd. | Bermuda | 1983/12/15 |
| 00532 | Wong's Kong King International (Holdings) Ltd. | Bermuda | 1989/07/10 |
| 00713 | World Houseware (Holdings) Ltd. | Cayman Islands | 1993/04/15 |
| 06083 | World-Link Logistics (Asia) Holding Ltd. | Cayman Islands | 2015/12/29 |
| 00305 | Wuling Motors Holdings Ltd. | Bermuda | 1992/11/23 |
| 02359 | WuXi AppTec Co., Ltd. - H Shares | PRC | 2018/12/13 |
| 02269 | WuXi Biologics (Cayman) Inc. | Cayman Islands | 2017/06/13 |
| 01289 | Wuxi Sunlit Science and Technology Co. Ltd. - H Shares | PRC | 2014/11/11 |
| 01369 | Wuzhou International Holdings Ltd. | Cayman Islands | 2013/06/13 |
| 01128 | Wynn Macau, Ltd. | Cayman Islands | 2009/10/09 |
| 02400 | XD Inc. | Cayman Islands | 2019/12/12 |
| 00520 | Xiabuxiabu Catering Management (China) Holdings Co., Ltd. | Cayman Islands | 2014/12/17 |
| 03378 | Xiamen International Port Co. Ltd. - H Shares | PRC | 2005/12/19 |
| 01732 | XiangXing International Holding Ltd. | Cayman Islands | 2017/07/07 |
| 01810 | Xiaomi Corporation - W | Cayman Islands | 2018/07/09 |
| 03663 | Xiezhong International Holdings Ltd. | Cayman Islands | 2012/06/18 |
| 01571 | Xin Point Holdings Ltd. | Cayman Islands | 2017/06/28 |
| 01748 | Xin Yuan Enterprises Group Ltd. | Cayman Islands | 2018/09/26 |
| 01148 | Xinchen China Power Holdings Ltd. | Cayman Islands | 2013/03/13 |
| 01899 | Xingda International Holdings Ltd. | Cayman Islands | 2006/12/21 |
| 00098 | Xingfa Aluminium Holdings Ltd. | Cayman Islands | 2008/03/31 |
| 01990 | Xinghua Port Holdings Ltd. | Singapore | 2018/02/12 |
| 00309 | Xinhua News Media Holdings Ltd. | Cayman Islands | 2003/07/25 |
| 00811 | Xinhua Winshare Publishing and Media Co., Ltd. - H Shares | PRC | 2007/05/30 |
| 03603 | Xinji Shaxi Group Co., Ltd. | Cayman Islands | 2019/11/08 |
| 02208 | Xinjiang Goldwind Science & Technology Co., Ltd. - H Shares | PRC | 2010/10/08 |
| 00840 | Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs | PRC | 2008/01/24 |
| 03833 | Xinjiang Xinxin Mining Industry Co., Ltd. - H Shares | PRC | 2007/10/12 |
| 02699 | Xinming China Holdings Ltd. | Cayman Islands | 2015/07/06 |
| 01799 | Xinte Energy Co., Ltd. - H Shares | PRC | 2015/12/30 |
| 00362 | Xinyang Maojian Group Ltd. | Bermuda | 2001/05/02 |
| 03868 | Xinyi Energy Holdings Ltd. | British Virgin Islands | 2019/05/28 |
| 00868 | Xinyi Glass Holdings Ltd. | Cayman Islands | 2005/02/03 |
| 00968 | Xinyi Solar Holdings Ltd. | Cayman Islands | 2013/12/12 |
| 01895 | Xinyuan Property Management Service (Cayman) Ltd. | Cayman Islands | 2019/10/11 |
| 02088 | Xiwang Property Holdings Co. Ltd. | Bermuda | 2005/12/09 |
| 01266 | Xiwang Special Steel Co. Ltd. | Hong Kong | 2012/02/23 |
| 01368 | Xtep International Holdings Ltd. | Cayman Islands | 2008/06/03 |
| 00075 | Y. T. Realty Group Ltd. | Bermuda | 1984/11/12 |
| 01585 | Yadea Group Holdings Ltd. | Cayman Islands | 2016/05/19 |
| 01480 | Yan Tat Group Holdings Ltd. | Cayman Islands | 2014/12/08 |
| 00346 | Yanchang Petroleum International Ltd. | Bermuda | 2001/04/19 |
| 03668 | Yancoal Australia Ltd | Australia | 2018/12/06 |
| 06869 | Yangtze Optical Fibre and Cable Joint Stock Ltd. Co. - H Shs | PRC | 2014/12/10 |
| 00294 | Yangtzekiang Garment Ltd. | Hong Kong | n.a. |
| 01915 | Yangzhou Guangling District Taihe Rural Micro-fin Co. -H shs | PRC | 2017/05/08 |
| 02218 | Yantai North Andre Juice Co. Ltd. - H Shares | PRC | 2003/04/22 |
| 01171 | Yanzhou Coal Mining Co. Ltd. - H Shares | PRC | 1998/04/01 |
| 01230 | Yashili International Holdings Ltd. | Cayman Islands | 2010/11/01 |
| 03708 | Yat Sing Holdings Ltd. | Cayman Islands | 2015/01/14 |
| 00406 | Yau Lee Holdings Ltd. | Bermuda | 1991/08/29 |
| 01847 | YCIH Green High-Performance Concrete Co. Ltd. - H Shares | PRC | 2019/10/31 |
| 01662 | Yee Hop Holdings Ltd. | Cayman Islands | 2015/12/18 |
| 00259 | Yeebo (International Holdings) Ltd. | Bermuda | 1993/09/01 |
| 02393 | Yestar Healthcare Holdings Co. Ltd. | Cayman Islands | 2013/10/11 |
| 00375 | YGM Trading Ltd. | Hong Kong | 1988/01/25 |
| 02213 | Yi Hua Holdings Ltd. | Cayman Islands | 2013/12/11 |
| 01558 | YiChang HEC ChangJiang Pharmaceutical Co., Ltd. - H Shares | PRC | 2015/12/29 |
| 03639 | Yida China Holdings Ltd. | Cayman Islands | 2014/06/27 |
| 01796 | Yield Go Holdings Ltd. | Cayman Islands | 2018/12/31 |
| 01579 | Yihai International Holding Ltd. | Cayman Islands | 2016/07/13 |
| 01902 | Yincheng International Holding Co., Ltd. | Cayman Islands | 2019/03/06 |
| 01922 | Yincheng Life Service CO., Ltd. | Cayman Islands | 2019/11/06 |
| 00408 | Yip's Chemical Holdings Ltd. | Cayman Islands | 1991/08/22 |
| 02858 | Yixin Group Ltd. | Cayman Islands | 2017/11/16 |
| 03608 | Yongsheng Advanced Materials Co. Ltd. | Cayman Islands | 2013/11/27 |

List of listed companies on Main Board (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|---------------------------------------------------------------|----------------|--------------|
| 02788 | Yorkey Optical International (Cayman) Ltd. | Cayman Islands | 2006/02/10 |
| 01160 | Youth Champ Financial Group Holdings Ltd. | Bermuda | 2004/04/02 |
| 02268 | Youyuan International Holdings Ltd. | Cayman Islands | 2010/05/27 |
| 06123 | YTO Express (International) Holdings Ltd. | Cayman Islands | 2014/07/11 |
| 00332 | Yuan Heng Gas Holdings Ltd. | Bermuda | 1992/09/25 |
| 02789 | Yuanda China Holdings Ltd. | Cayman Islands | 2011/05/17 |
| 01431 | YuanShengTai Dairy Farm Ltd. | Bermuda | 2013/11/26 |
| 00629 | Yue Da International Holdings Ltd. | Cayman Islands | 2001/11/29 |
| 00551 | Yue Yuen Industrial (Holdings) Ltd. | Bermuda | 1992/07/02 |
| 00123 | Yuexiu Property Co. Ltd. | Hong Kong | 1992/12/15 |
| 01052 | Yuexiu Transport Infrastructure Ltd. | Bermuda | 1997/01/30 |
| 02728 | Yuhua Energy Holdings Ltd. | Cayman Islands | 2005/07/14 |
| 01536 | Yuk Wing Group Holdings Ltd. | Cayman Islands | 2017/01/11 |
| 02682 | Yun Lee Marine Group Holdings Ltd. | Cayman Islands | 2019/03/18 |
| 00376 | Yunfeng Financial Group Ltd. | Hong Kong | 1987/07/17 |
| 01298 | Yunnan Energy International Co. Ltd. | Bermuda | 2011/12/21 |
| 06839 | Yunnan Water Investment Co., Ltd. - H Shares | PRC | 2015/05/27 |
| 00096 | Yusei Holdings Ltd. | Cayman Islands | 2005/10/13 |
| 01628 | Yuzhou Properties Co. Ltd. | Cayman Islands | 2009/11/02 |
| 02098 | Zall Smart Commerce Group Ltd. | Cayman Islands | 2011/07/13 |
| 02660 | Zengame Technology Holding Ltd. | Cayman Islands | 2019/04/16 |
| 00185 | Zensun Enterprises Ltd. | Hong Kong | 1972/12/20 |
| 01660 | Zhaobangji Properties Holdings Ltd. | Cayman Islands | 2017/02/10 |
| 01818 | Zhaojin Mining Industry Co. Ltd. - H Shares | PRC | 2006/12/08 |
| 01743 | Zhejiang Cangnan Instrument Group Co. Ltd. - H Shares | PRC | 2019/01/04 |
| 00576 | Zhejiang Expressway Co. Ltd. - H Shares | PRC | 1997/05/15 |
| 01158 | Zhejiang New Century Hotel Management Co., Ltd. - H Shares | PRC | 2019/03/11 |
| 01057 | Zhejiang Shibao Co. Ltd. - H Shares | PRC | 2006/05/16 |
| 01527 | Zhejiang Tengy Environmental Technology Co., Ltd. - H Shares | PRC | 2015/10/12 |
| 03363 | Zhengye International Holdings Co. Ltd. | Bermuda | 2011/06/03 |
| 00564 | Zhengzhou Coal Mining Machinery Group Co. Ltd. - H Shares | PRC | 2012/12/05 |
| 06158 | Zhenro Properties Group Ltd. | Cayman Islands | 2018/01/16 |
| 01220 | Zhidao International (Holdings) Ltd. | Bermuda | 1997/09/23 |
| 00672 | Zhong An Group Ltd. | Cayman Islands | 2007/11/13 |
| 01538 | Zhong Ao Home Group Ltd. | Cayman Islands | 2015/11/25 |
| 01064 | Zhong Hua International Holdings Ltd. | Bermuda | 1997/10/13 |
| 06060 | ZhongAn Online P & C Insurance Co., Ltd. - H Shares | PRC | 2017/09/28 |
| 00859 | Zhongchang International Holdings Group Ltd. | Bermuda | 2000/06/15 |
| 02772 | Zhongliang Holdings Group Co. Ltd. | Cayman Islands | 2019/07/16 |
| 00881 | Zhongsheng Group Holdings Ltd. | Cayman Islands | 2010/03/26 |
| 02379 | Zhongtian International Ltd. | Cayman Islands | 2004/09/22 |
| 03633 | Zhongyu Gas Holdings Ltd. | Cayman Islands | 2001/06/05 |
| 01216 | Zhongyuan Bank Co., Ltd. - H Shares | PRC | 2017/07/19 |
| 03737 | Zhongzhi Pharmaceutical Holdings Ltd. | Cayman Islands | 2015/07/13 |
| 01458 | Zhou Hei Ya International Holdings Co. Ltd. | Cayman Islands | 2016/11/11 |
| 01176 | Zhuguang Holdings Group Co. Ltd. | Bermuda | 1996/12/09 |
| 00908 | Zhuhai Holdings Investment Group Ltd. | Bermuda | 1998/05/26 |
| 03898 | Zhuzhou CRRC Times Electric Co., Ltd. - H Shares | PRC | 2006/12/20 |
| 02899 | Zijin Mining Group Co., Ltd. - H Shares | PRC | 2003/12/23 |
| 01157 | Zoomlion Heavy Industry Science and Technology Co., Ltd.-H Sh | PRC | 2010/12/23 |
| 00763 | ZTE Corporation - H Shares | PRC | 2004/12/09 |
| 06866 | Zuoli Kechuang Micro-finance Co. Ltd. - H Shares | PRC | 2015/01/13 |

n.a. Information is not available

List of listed companies on GEM

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|----------------|--------------|
| 08495 | 1957 & Co. (Hospitality) Ltd. | Cayman Islands | 2017/12/05 |
| 08131 | abc Multiactive Ltd. | Bermuda | 2001/01/31 |
| 08341 | Aeso Holding Ltd. | Cayman Islands | 2017/01/13 |
| 08279 | AGTech Holdings Ltd. | Bermuda | 2004/01/19 |
| 08290 | Ahsay Backup Software Development Co. Ltd. | Cayman Islands | 2015/10/08 |
| 08088 | AID Life Science Holdings Ltd. | Cayman Islands | 2000/04/17 |
| 08360 | AL Group Ltd. | Cayman Islands | 2016/07/12 |
| 08320 | Allied Sustainability & Environmental Consultants Group Ltd. | Cayman Islands | 2016/10/17 |
| 08406 | Alpha Era International Holdings Ltd. | Cayman Islands | 2017/12/07 |
| 08149 | Altus Holdings Ltd. | Cayman Islands | 2016/10/17 |
| 08168 | Amasse Capital Holdings Ltd. | Cayman Islands | 2018/03/22 |
| 08545 | Amuse Group Holding Ltd. | Cayman Islands | 2018/05/31 |
| 08353 | Anacle Systems Ltd. | Singapore | 2016/12/16 |
| 08413 | Asia Grocery Distribution Ltd. | Cayman Islands | 2017/04/13 |
| 08400 | Asia Pioneer Entertainment Holdings Ltd. | Cayman Islands | 2017/11/15 |
| 08025 | Asian Capital Resources (Holdings) Ltd. | Cayman Islands | 1999/12/16 |
| 08193 | Asia-Pac Financial Investment Co. Ltd. | Cayman Islands | 2011/05/31 |
| 08333 | Astrum Financial Holdings Ltd. | Cayman Islands | 2016/07/14 |
| 08043 | Atlinks Group Ltd. | Cayman Islands | 2018/01/19 |
| 08148 | Aurum Pacific (China) Group Ltd. | Cayman Islands | 2004/01/09 |
| 08419 | AV Promotions Holdings Ltd. | Cayman Islands | 2017/12/21 |
| 08151 | Bao Shen Holdings Ltd. | Cayman Islands | 2018/04/23 |
| 08432 | Bar Pacific Group Holdings Ltd. | Cayman Islands | 2017/01/11 |
| 08460 | Basetrophy Group Holdings Ltd. | Cayman Islands | 2017/06/27 |
| 08197 | Baytacare Pharmaceutical Co., Ltd. - H Shares | PRC | 2002/02/28 |
| 08412 | BCI Group Holdings Ltd. | Cayman Islands | 2017/04/07 |
| 08275 | Beaver Group (Holding) Co. Ltd. | Cayman Islands | 2017/10/16 |
| 08095 | Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. - H Shs | PRC | 2000/07/27 |
| 08617 | Best Linking Group Holdings Ltd. | Cayman Islands | 2019/11/15 |
| 08220 | Bingo Group Holdings Ltd. | Cayman Islands | 2002/11/12 |
| 08247 | Biosino Bio-Technology and Science Incorporation - H Shares | PRC | 2006/02/27 |
| 08601 | Boltek Holdings Ltd. | Cayman Islands | 2018/09/13 |
| 08118 | Bortex Global Ltd. | Cayman Islands | 2017/11/16 |
| 08140 | BOSA Technology Holdings Ltd. | Cayman Islands | 2018/07/12 |
| 08525 | Byleasing Holdings Ltd. | Cayman Islands | 2018/07/18 |
| 08430 | C&N Holdings Ltd. | Cayman Islands | 2017/10/18 |
| 08239 | Capital Finance Holdings Ltd. | Bermuda | 2002/11/15 |
| 08428 | CBK Holdings Ltd. | Cayman Islands | 2017/02/15 |
| 08235 | CCID Consulting Co. Ltd. - H Shares | PRC | 2002/12/12 |
| 08212 | Celebrate International Holdings Ltd. | Cayman Islands | 2002/05/14 |
| 08016 | Changhong Jiahua Holdings Ltd. | Bermuda | 2000/01/24 |
| 08423 | Chi Ho Development Holdings Ltd. | Cayman Islands | 2017/03/13 |
| 08087 | China 33 Media Group Ltd. | Cayman Islands | 2011/02/28 |
| 08170 | China All Nation International Holdings Group Ltd. | Cayman Islands | 2014/12/05 |
| 08090 | China Assurance Finance Group Ltd. | Cayman Islands | 2012/01/06 |
| 08255 | China Binary New Fintech Group | Cayman Islands | 2013/12/04 |
| 08037 | China Biotech Services Holdings Ltd. | Bermuda | 2004/06/17 |
| 08026 | China Brilliant Global Ltd. | Cayman Islands | 2000/03/28 |
| 08270 | China CBM Group Co. Ltd. | Bermuda | 2003/08/12 |
| 08078 | China Creative Digital Entertainment Ltd. | Bermuda | 2000/12/19 |
| 08120 | China Demeter Financial Investments Ltd. | Bermuda | 2001/04/10 |
| 08175 | China Digital Culture (Group) Ltd. | Bermuda | 2003/02/25 |
| 08280 | China Digital Video Holdings Ltd. | Cayman Islands | 2016/06/27 |
| 08166 | China Eco-Farming Ltd. | Bermuda | 2002/02/05 |
| 08055 | China E-Information Technology Group Ltd. | Cayman Islands | 2001/12/05 |
| 08116 | China Fortune Investments (Holding) Ltd. | Cayman Islands | 2000/07/25 |
| 08506 | China Futex Holdings Ltd. | Cayman Islands | 2018/01/04 |
| 08128 | China Geothermal Industry Development Group Ltd. | Cayman Islands | 2001/11/30 |
| 08281 | China Golden Classic Group Ltd. | Cayman Islands | 2016/07/08 |
| 08225 | China Health Group Inc. | Cayman Islands | 2003/07/10 |
| 08178 | China Information Technology Development Ltd. | Cayman Islands | 2001/12/11 |
| 08071 | China Netcom Technology Holdings Ltd. | Cayman Islands | 2001/03/02 |
| 08047 | China Ocean Fishing Holdings Ltd. | Bermuda | 2001/11/01 |
| 08132 | China Oil Gangran Energy Group Holdings Ltd. | Cayman Islands | 2011/05/18 |
| 08117 | China Primary Energy Holdings Ltd. | Cayman Islands | 2001/12/13 |
| 08158 | China Regenerative Medicine International Ltd. | Cayman Islands | 2001/07/18 |
| 08073 | China Singyes New Materials Holdings Ltd. | Bermuda | 2017/07/21 |
| 08325 | China Smartpay Group Holdings Ltd. | Cayman Islands | 2009/08/28 |
| 08111 | China Technology Solar Power Holdings Ltd. | Cayman Islands | 2001/01/03 |
| 08171 | China Trends Holdings Ltd. | Cayman Islands | 2002/07/31 |
| 08265 | China Trustful Group Ltd. | Bermuda | 2011/01/26 |
| 08083 | China Youzan Ltd. | Bermuda | 2000/04/14 |
| 08230 | China Yu Tian Holdings Ltd. | Cayman Islands | 2015/12/29 |
| 08009 | Chinese Energy Holdings Ltd. | Hong Kong | 2000/03/31 |
| 08272 | Chinese Food and Beverage Group Ltd. | Cayman Islands | 2003/06/27 |
| 08089 | Chinese Strategic Holdings Ltd. | Bermuda | 2000/05/18 |
| 08207 | Chong Sing Holdings FinTech Group Ltd. | Cayman Islands | 2010/11/19 |
| 08051 | CircuTech International Holdings Ltd. | Cayman Islands | 2001/05/08 |
| 08098 | CL Group (Holdings) Ltd. | Cayman Islands | 2011/03/08 |
| 08232 | Classified Group (Holdings) Ltd. | Cayman Islands | 2016/07/11 |
| 08356 | CNC Holdings Ltd. | Cayman Islands | 2010/08/30 |
| 08153 | Code Agriculture (Holdings) Ltd. | Bermuda | 2001/03/30 |
| 08190 | Combest Holdings Ltd. | Cayman Islands | 2002/02/08 |
| 08491 | Cool Link (Holdings) Ltd. | Cayman Islands | 2017/09/22 |
| 08112 | Cornerstone Financial Holdings Ltd. | Cayman Islands | 2011/07/28 |
| 08368 | Creative China Holdings Ltd. | Cayman Islands | 2015/11/18 |
| 08417 | Dadi Education Holdings Ltd. | Cayman Islands | 2017/02/16 |

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| 08130 | Dadi International Group Ltd. | Bermuda | 2002/08/26 |
| 08310 | Dafeng Port Heshun Technology Co. Ltd. | Cayman Islands | 2013/08/22 |
| 08243 | Dahe Media Co. Ltd. - H Shares | PRC | 2003/11/13 |
| 08040 | DCB Holdings Ltd. | Cayman Islands | 2018/02/14 |
| 08268 | Deson Construction International Holdings Ltd. | Cayman Islands | 2015/01/08 |
| 08337 | Directel Holdings Ltd. | Cayman Islands | 2010/06/02 |
| 08210 | DLC Asia Ltd. | Cayman Islands | 2018/08/27 |
| 08537 | Dominate Group Holdings Co. Ltd. | Cayman Islands | 2019/03/27 |
| 08403 | Dowway Holdings Ltd. | Cayman Islands | 2018/06/12 |
| 08493 | Dragon King Group Holdings Ltd. | Cayman Islands | 2018/01/16 |
| 08222 | E Lighting Group Holdings Ltd. | Cayman Islands | 2014/09/29 |
| 08079 | Easy Repay Finance & Investment Ltd. | Bermuda | 2001/10/15 |
| 08036 | eBroker Group Ltd. | Cayman Islands | 2019/02/19 |
| 08218 | Echo International Holdings Group Ltd. | Cayman Islands | 2013/10/11 |
| 08013 | ECI Technology Holdings Ltd. | Cayman Islands | 2017/03/10 |
| 08169 | Eco-Tek Holdings Ltd. | Cayman Islands | 2001/12/05 |
| 08450 | EDICO Holdings Ltd. | Cayman Islands | 2018/02/02 |
| 08062 | EFT Solutions Holdings Ltd. | Cayman Islands | 2016/12/15 |
| 08609 | Eggriculture Foods Ltd. | Cayman Islands | 2018/09/07 |
| 08101 | EJE (Hong Kong) Holdings Ltd. | Cayman Islands | 2013/10/15 |
| 08391 | Elegance Commercial and Financial Printing Group Ltd. | Cayman Islands | 2018/05/11 |
| 08031 | ETS Group Ltd. | Cayman Islands | 2012/01/09 |
| 08022 | Evershine Group Holdings Ltd. | Hong Kong | 2001/08/13 |
| 08350 | Excalibur Global Financial Holdings Ltd. | Cayman Islands | 2018/01/12 |
| 08319 | Expert Systems Holdings Ltd. | Cayman Islands | 2016/04/12 |
| 08347 | F8 Enterprises (Holdings) Group Ltd. | Cayman Islands | 2017/04/12 |
| 08603 | Fameglow Holdings Ltd. | Cayman Islands | 2018/10/15 |
| 08376 | Fineland Real Estate Services Group Ltd. | Cayman Islands | 2017/11/15 |
| 08317 | Finet Group Ltd. | Bermuda | 2005/01/07 |
| 08018 | Finsoft Financial Investment Holdings Ltd. | Cayman Islands | 2013/09/26 |
| 08215 | First Credit Finance Group Ltd. | Bermuda | 2011/12/13 |
| 08030 | Flying Financial Service Holdings Ltd. | Cayman Islands | 2012/05/07 |
| 08179 | Food Idea Holdings Ltd. | Cayman Islands | 2011/07/08 |
| 08480 | Furniweb Holdings Ltd. | Cayman Islands | 2017/10/16 |
| 08229 | Future Data Group Ltd. | Cayman Islands | 2016/07/08 |
| 08452 | FY Financial (Shenzhen) Co., Ltd. - H Shares | PRC | 2017/05/23 |
| 08126 | G.A. Holdings Ltd. | Cayman Islands | 2002/06/17 |
| 08282 | Gameone Holdings Ltd. | Cayman Islands | 2016/01/13 |
| 08257 | Genes Tech Group Holdings Co. Ltd. | Cayman Islands | 2017/07/14 |
| 08100 | GET Holdings Ltd. | Bermuda | 2001/12/31 |
| 08271 | Global Digital Creations Holdings Ltd. | Bermuda | 2003/08/04 |
| 08060 | Global Link Communications Holdings Ltd. | Cayman Islands | 2002/11/13 |
| 08063 | Global Mastermind Holdings Ltd. | Cayman Islands | 2000/12/07 |
| 08007 | Global Strategic Group Ltd. | Cayman Islands | 2000/04/17 |
| 08192 | Global Token Ltd. | Bermuda | 2002/11/29 |
| 08059 | Glory Flame Holdings Ltd. | Cayman Islands | 2014/08/15 |
| 08159 | Glory Mark Hi-Tech (Holdings) Ltd. | Bermuda | 2002/01/04 |
| 08188 | GME Group Holdings Ltd. | Cayman Islands | 2017/02/22 |
| 08160 | Goldway Education Group Ltd. | Cayman Islands | 2016/12/02 |
| 08143 | Good Fellow Healthcare Holdings Ltd. | Cayman Islands | 2002/05/10 |
| 08146 | Grace Wine Holdings Ltd. | Cayman Islands | 2018/06/27 |
| 08372 | Grand Brilliance Group Holdings Ltd. | Cayman Islands | 2018/03/29 |
| 08108 | Grand Peace Group Holdings Ltd. | Bermuda | 2000/07/14 |
| 08299 | Grand T G Gold Holdings Ltd. | Cayman Islands | 2004/09/23 |
| 08516 | Grand Talents Group Holdings Ltd. | Cayman Islands | 2018/10/15 |
| 08196 | Great Water Holdings Ltd. | Cayman Islands | 2015/12/09 |
| 08003 | Great World Company Holdings Ltd. | Cayman Islands | 1999/12/02 |
| 08315 | Greatwalle Inc. | Cayman Islands | 2014/08/20 |
| 08402 | GT Steel Construction Group Ltd. | Cayman Islands | 2017/11/17 |
| 08308 | Gudou Holdings Ltd. | Cayman Islands | 2016/12/09 |
| 08121 | Guru Online (Holdings) Ltd. | Cayman Islands | 2015/05/29 |
| 08405 | Hang Chi Holdings Ltd. | Cayman Islands | 2017/07/12 |
| 08081 | Hang Tai Yue Group Holdings Ltd. | Bermuda | 2000/06/19 |
| 08331 | HangKan Group Ltd. | Cayman Islands | 2015/12/29 |
| 08219 | Hanvey Group Holdings Ltd. | Cayman Islands | 2018/07/12 |
| 08431 | Hao Bai International (Cayman) Ltd. | Cayman Islands | 2017/05/26 |
| 08019 | Hao Wen Holdings Ltd. | Cayman Islands | 2001/07/20 |
| 08113 | Hi-Level Technology Holdings Ltd. | Cayman Islands | 2016/01/07 |
| 08425 | Hing Ming Holdings Ltd. | Cayman Islands | 2017/03/15 |
| 08416 | HM International Holdings Ltd. | Cayman Islands | 2017/01/11 |
| 08103 | hmvod Ltd. | Cayman Islands | 2000/09/08 |
| 08259 | Hon Corporation Ltd. | Cayman Islands | 2018/11/07 |
| 08137 | Honbridge Holdings Ltd. | Cayman Islands | 2002/01/08 |
| 08085 | Hong Kong Life Sciences and Technologies Group Ltd. | Cayman Islands | 2002/03/07 |
| 08191 | Hong Wei (Asia) Holdings Co. Ltd. | Hong Kong | 2014/01/08 |
| 08622 | Huakang Biomedical Holdings Co. Ltd. | Cayman Islands | 2018/12/13 |
| 08512 | Hyfusin Group Holdings Ltd. | Cayman Islands | 2018/07/19 |
| 08507 | i.century Holding Ltd. | Cayman Islands | 2018/04/16 |
| 08513 | IAG Holdings Ltd. | Cayman Islands | 2018/01/19 |
| 08429 | Icicle Group Holdings Ltd. | Cayman Islands | 2017/12/08 |
| 08136 | IMS Group Holdings Ltd. | Cayman Islands | 2018/01/25 |
| 08446 | In Technical Productions Holdings Ltd. | Cayman Islands | 2017/06/14 |
| 08373 | Indigo Star Holdings Ltd. | Cayman Islands | 2017/11/16 |
| 08202 | Inno-Tech Holdings Ltd. | Bermuda | 2002/08/12 |
| 08186 | IR Resources Ltd. | Bermuda | 2001/12/31 |
| 08487 | ISP Global Ltd. | Cayman Islands | 2018/01/16 |

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| 08092 | ITE (Holdings) Ltd. | Cayman Islands | 2001/02/21 |
| 08441 | IWS Group Holdings Ltd. | Cayman Islands | 2019/10/22 |
| 08035 | Janco Holdings Ltd. | Cayman Islands | 2016/10/07 |
| 08133 | Jete Power Holdings Ltd. | Cayman Islands | 2015/04/30 |
| 08519 | Jia Group Holdings Ltd. | Cayman Islands | 2018/02/08 |
| 08165 | Jian ePayment Systems Ltd. | Cayman Islands | 2001/12/10 |
| 08045 | Jiangsu Nandasoft Technology Co. Ltd. - H Shares | PRC | 2001/04/24 |
| 08049 | Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs | PRC | 2001/05/24 |
| 08187 | Jimu Group Ltd. | Cayman Islands | 2016/05/30 |
| 08527 | JLogo Holdings Ltd. | Cayman Islands | 2018/05/09 |
| 08479 | JTF International Holdings Ltd. | Cayman Islands | 2018/01/17 |
| 08475 | K Group Holdings Ltd. | Cayman Islands | 2018/08/13 |
| 08411 | K W Nelson Interior Design and Contracting Group Ltd. | Cayman Islands | 2016/12/08 |
| 08203 | Kaisun Holdings Ltd. | Cayman Islands | 2004/01/20 |
| 08070 | Keen Ocean International Holding Ltd. | Cayman Islands | 2016/02/24 |
| 08606 | Kinetix Systems Holdings Ltd. | Cayman Islands | 2018/07/16 |
| 08105 | Kingsley Edugroup Ltd. | Cayman Islands | 2018/05/16 |
| 08109 | Kirin Group Holdings Ltd. | Bermuda | 2002/01/31 |
| 08065 | KML Technology Group Ltd. | Cayman Islands | 2017/10/16 |
| 08039 | KNK Holdings Ltd. | Cayman Islands | 2016/12/12 |
| 08226 | KOALA Financial Group Ltd. | Cayman Islands | 2002/07/19 |
| 08042 | KOS International Holdings Ltd. | Cayman Islands | 2018/10/12 |
| 08027 | KPM Holding Ltd. | Cayman Islands | 2015/07/10 |
| 08023 | Kwong Man Kee Group Ltd. | Cayman Islands | 2016/10/13 |
| 08195 | L & A International Holdings Ltd. | Cayman Islands | 2014/10/10 |
| 08455 | Lai Group Holding Co. Ltd. | Cayman Islands | 2017/04/12 |
| 08172 | Lajin Entertainment Network Group Ltd. | Bermuda | 2002/03/26 |
| 08472 | Lapco Holdings Ltd. | Cayman Islands | 2017/07/18 |
| 08351 | Larry Jewelry International Co. Ltd. | Bermuda | 2009/10/07 |
| 08056 | Life Concepts Holdings Ltd. | Cayman Islands | 2016/08/05 |
| 08267 | Linekong Interactive Group Co., Ltd. | Cayman Islands | 2014/12/30 |
| 08237 | Link Holdings Ltd. | Cayman Islands | 2014/07/07 |
| 08383 | Linocraft Holdings Ltd. | Cayman Islands | 2017/09/15 |
| 08162 | Loco Hong Kong Holdings Ltd. | Hong Kong | 2014/08/05 |
| 08198 | Loto Interactive Ltd. | Cayman Islands | 2002/05/17 |
| 08217 | Luen Wong Group Holdings Ltd. | Cayman Islands | 2016/04/12 |
| 08052 | Luk Hing Entertainment Group Holdings Ltd. | Cayman Islands | 2016/11/11 |
| 08470 | Lumina Group Ltd. | Cayman Islands | 2017/10/25 |
| 08041 | Luxey International (Holdings) Ltd. | Cayman Islands | 2000/07/07 |
| 08152 | M&L Holdings Group Ltd. | Cayman Islands | 2017/07/21 |
| 08057 | Madison Holdings Group Ltd. | Cayman Islands | 2015/10/08 |
| 08309 | Man Shing Global Holdings Ltd. | Cayman Islands | 2017/04/13 |
| 08456 | Mansion International Holdings Ltd. | Cayman Islands | 2018/01/26 |
| 08483 | Max Sight Group Holdings Ltd. | Cayman Islands | 2018/02/28 |
| 08216 | Maxicity Holdings Ltd. | Cayman Islands | 2019/12/13 |
| 08075 | Media Asia Group Holdings Ltd. | Bermuda | 2001/05/31 |
| 08307 | Medicskin Holdings Ltd. | Cayman Islands | 2014/12/18 |
| 08161 | MediNet Group Ltd. | Cayman Islands | 2016/05/31 |
| 08349 | MEIGU Technology Holding Group Ltd. | Cayman Islands | 2017/01/13 |
| 08163 | Merdeka Financial Group Ltd. | Cayman Islands | 2002/03/07 |
| 08621 | Metropolis Capital Holdings Ltd. | Cayman Islands | 2018/12/12 |
| 08473 | Mi Ming Mart Holdings Ltd. | Cayman Islands | 2018/02/12 |
| 08147 | Millennium Pacific Group Holdings Ltd. | Cayman Islands | 2014/07/18 |
| 08093 | Million Stars Holdings Ltd. | Cayman Islands | 2015/02/12 |
| 08611 | Mindtell Technology Ltd. | Cayman Islands | 2018/10/22 |
| 08426 | Modern Living Investments Holdings Ltd. | Cayman Islands | 2017/11/10 |
| 08447 | MS Concept Ltd. | Cayman Islands | 2018/04/16 |
| 08297 | My Heart Bodibra Group Ltd. | Cayman Islands | 2017/07/13 |
| 08607 | Narnia (Hong Kong) Group Co. Ltd. | Cayman Islands | 2019/02/26 |
| 08228 | National Arts Entertainment and Culture Group Ltd. | Bermuda | 2002/10/17 |
| 08167 | Neo Telemedia Ltd. | Cayman Islands | 2002/08/06 |
| 08256 | Netel Technology (Holdings) Ltd. | Cayman Islands | 2002/12/20 |
| 08242 | New Western Group Ltd. | Cayman Islands | 2012/01/19 |
| 08420 | Nexion Technologies Ltd. | Cayman Islands | 2017/06/16 |
| 08490 | Niche-Tech Group Ltd. | Cayman Islands | 2018/05/30 |
| 08445 | Noble Engineering Group Holdings Ltd. | Cayman Islands | 2017/09/29 |
| 08645 | Nomad Technologies Holdings Ltd. | Cayman Islands | 2019/12/09 |
| 08080 | North Asia Strategic Holdings Ltd. | Bermuda | 2000/04/20 |
| 08635 | Novacon Technology Group Ltd. | Cayman Islands | 2019/05/02 |
| 08502 | Ocean Line Port Development Ltd. | Cayman Islands | 2018/07/10 |
| 08476 | Ocean One Holding Ltd. | Cayman Islands | 2017/10/19 |
| 08462 | Omnibridge Holdings Ltd. | Cayman Islands | 2017/07/17 |
| 08245 | On Real International Holdings Ltd. | Cayman Islands | 2015/09/30 |
| 08091 | OOH Holdings Ltd. | Cayman Islands | 2017/01/05 |
| 08418 | Optima Automobile Group Holdings Ltd. | Cayman Islands | 2019/10/11 |
| 08627 | Orange Tour Cultural Holding Ltd. | Cayman Islands | 2019/11/14 |
| 08001 | Orient Securities International Holdings Ltd. | Cayman Islands | 2014/01/15 |
| 08613 | Oriental Payment Group Holdings Ltd. | Cayman Islands | 2018/10/16 |
| 08067 | Oriental University City Holdings (H.K.) Ltd. | Hong Kong | 2015/01/16 |
| 08547 | Pacific Legend Group Ltd. | Cayman Islands | 2018/07/18 |
| 08316 | Pak Wing Group (Holdings) Ltd. | Cayman Islands | 2015/08/10 |
| 08311 | Perfect Optronics Ltd. | Cayman Islands | 2014/02/07 |
| 08221 | PF Group Holdings Ltd. | Cayman Islands | 2017/01/06 |
| 08231 | PFC Device Inc. | Cayman Islands | 2016/10/07 |
| 08066 | Phoenitron Holdings Ltd. | Cayman Islands | 2001/12/20 |
| 08053 | Pizu Group Holdings Ltd. | Cayman Islands | 2004/08/06 |

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| 08011 | Polyard Petroleum International Group Ltd. | Cayman Islands | 2002/07/12 |
| 08532 | Polyfair Holdings Ltd. | Cayman Islands | 2018/02/23 |
| 08236 | Powerleader Science & Technology Group Ltd. - H Shares | PRC | 2002/12/12 |
| 08201 | PPS International (Holdings) Ltd. | Cayman Islands | 2013/06/17 |
| 08379 | Prime Intelligence Solutions Group Ltd. | Cayman Islands | 2018/02/14 |
| 08385 | Prosperous Printing Co. Ltd. | Hong Kong | 2017/12/13 |
| 08050 | Quantum Thinking Ltd. | Cayman Islands | 2000/07/24 |
| 08471 | Reach New Holdings Ltd. | Cayman Islands | 2017/07/21 |
| 08357 | Republic Healthcare Ltd. | Cayman Islands | 2018/06/15 |
| 08437 | RMH Holdings Ltd. | Cayman Islands | 2017/10/13 |
| 08072 | Roma Group Ltd. | Cayman Islands | 2013/02/25 |
| 08300 | Royal Catering Group Holdings Co. Ltd. | Cayman Islands | 2016/08/08 |
| 08125 | Royal Century Resources Holdings Ltd. | Hong Kong | 2014/07/18 |
| 08501 | Sanbase Corporation Ltd. | Cayman Islands | 2018/01/04 |
| 08392 | Satu Holdings Ltd. | Cayman Islands | 2017/10/16 |
| 08200 | Sau San Tong Holdings Ltd. | Cayman Islands | 2003/11/19 |
| 08363 | SDM Group Holdings Ltd. | Cayman Islands | 2014/10/14 |
| 08150 | Seamless Green China (Holdings) Ltd. | Bermuda | 2001/08/10 |
| 08442 | SG Group Holdings Ltd. | Cayman Islands | 2017/03/21 |
| 08258 | Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares | PRC | 2003/07/03 |
| 08205 | Shanghai Jiaoda Withub Information Industrial Co Ltd - H Shs | PRC | 2002/07/31 |
| 08115 | Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. - H Shares | PRC | 2004/06/30 |
| 08286 | Shanxi Changcheng Microlight Equipment Co. Ltd. - H Shares | PRC | 2004/05/18 |
| 08377 | Shen You Holdings Ltd. | Cayman Islands | 2017/12/15 |
| 08106 | Shenghua Lande Scitech Ltd. - H Shares | PRC | 2002/05/03 |
| 08481 | Shenglong Splendecor International Ltd. | Cayman Islands | 2017/07/17 |
| 08206 | Shentong Robot Education Group Co. Ltd. | Cayman Islands | 2002/11/15 |
| 08301 | Shenzhen Mingwah Aohan High Technology Corp. Ltd. - H Shares | PRC | 2004/07/07 |
| 08329 | Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares | PRC | 2005/09/12 |
| 08523 | Sheung Moon Holdings Ltd. | Cayman Islands | 2018/02/12 |
| 08181 | Shi Shi Services Ltd. | Cayman Islands | 2013/10/11 |
| 08250 | Silk Road Energy Services Group Ltd. | Cayman Islands | 2004/06/18 |
| 08367 | Simplicity Holding Ltd. | Cayman Islands | 2018/02/26 |
| 08076 | Sing Lee Software (Group) Ltd. | Bermuda | 2001/09/05 |
| 08293 | SingAsia Holdings Ltd. | Cayman Islands | 2016/07/15 |
| 08006 | Sino Splendid Holdings Ltd. | Cayman Islands | 2000/03/09 |
| 08086 | Sino Vision Worldwide Holdings Ltd. | Bermuda | 2000/08/02 |
| 08123 | Sinofortune Financial Holdings Ltd. | Cayman Islands | 2002/01/11 |
| 08296 | Sino-Life Group Ltd. | Cayman Islands | 2009/09/09 |
| 08156 | Sinopharm Tech Holdings Ltd. | Cayman Islands | 2002/11/12 |
| 08427 | SK Target Group Ltd. | Cayman Islands | 2017/07/19 |
| 08285 | Sling Group Holdings Ltd. | Cayman Islands | 2018/01/16 |
| 08485 | Smart Globe Holdings Ltd. | Cayman Islands | 2017/12/28 |
| 08439 | Somerley Capital Holdings Ltd. | Cayman Islands | 2017/03/28 |
| 08155 | South China Assets Holdings Ltd. | Cayman Islands | 2002/07/18 |
| 08521 | ST International Holdings Co. Ltd. | Cayman Islands | 2018/05/16 |
| 08213 | StarGlory Holdings Co. Ltd. | Cayman Islands | 2003/03/18 |
| 08277 | Steed Oriental (Holdings) Co. Ltd. | Cayman Islands | 2015/02/23 |
| 08401 | Stream Ideas Group Ltd. | Cayman Islands | 2018/03/28 |
| 08082 | Sun Entertainment Group Ltd. | Bermuda | 2001/11/02 |
| 08029 | Sun International Group Ltd. | Cayman Islands | 2000/12/14 |
| 08631 | Sun Kong Holdings Ltd. | Cayman Islands | 2019/01/08 |
| 08451 | Sunlight (1977) Holdings Ltd. | Cayman Islands | 2018/04/16 |
| 08262 | Super Strong Holdings Ltd. | Cayman Islands | 2016/03/30 |
| 08176 | SuperRobotics Ltd. | Bermuda | 2002/02/19 |
| 08321 | Tai Kam Holdings Ltd. | Cayman Islands | 2016/10/28 |
| 08142 | Tak Lee Machinery Holdings Ltd. | Cayman Islands | 2017/07/27 |
| 08436 | Takbo Group Holdings Ltd. | Cayman Islands | 2017/10/27 |
| 08371 | Taste.Gourmet Group Ltd. | Cayman Islands | 2018/01/17 |
| 08096 | Tasty Concepts Holding Ltd. | Cayman Islands | 2019/03/15 |
| 08346 | TEM Holdings Ltd. | Cayman Islands | 2016/05/18 |
| 08119 | Thiz Technology Group Ltd. | Cayman Islands | 2001/07/27 |
| 08348 | Tianjin Binhai Teda Logistics (Group) Corporation Ltd.-H Shs | PRC | 2008/04/30 |
| 08189 | Tianjin TEDA Biomedical Engineering Co. Ltd. - H Shares | PRC | 2002/06/18 |
| 08028 | Timeless Software Ltd. | Hong Kong | 1999/11/25 |
| 08536 | TL Natural Gas Holdings Ltd. | Cayman Islands | 2018/05/18 |
| 08305 | Tong Kee (Holding) Ltd. | Cayman Islands | 2018/07/04 |
| 08326 | Tonking New Energy Group Holdings Ltd. | Cayman Islands | 2013/11/21 |
| 08510 | Top Standard Corporation | Cayman Islands | 2018/02/13 |
| 08017 | TradeGo FinTech Ltd. | Cayman Islands | 2018/09/28 |
| 08465 | Transtech Optelecom Science Holdings Ltd. | Cayman Islands | 2017/07/20 |
| 08395 | Tree Holdings Ltd. | Cayman Islands | 2018/01/25 |
| 08173 | Union Asia Enterprise Holdings Ltd. | Cayman Islands | 2002/05/09 |
| 08020 | Unitas Holdings Ltd. | Cayman Islands | 2011/10/12 |
| 08448 | Universe Printshop Holdings Ltd. | Cayman Islands | 2018/03/28 |
| 08365 | VBG International Holdings Ltd. | Cayman Islands | 2017/05/26 |
| 08375 | Vertical International Holdings Ltd. | Cayman Islands | 2017/11/13 |
| 08540 | Victory Securities (Holdings) Co. Ltd. | Cayman Islands | 2018/07/16 |
| 08340 | Vinco Financial Group Ltd. | Cayman Islands | 2008/05/20 |
| 08107 | Vision International Holdings Ltd. | Cayman Islands | 2018/05/04 |
| 08535 | Vistar Holdings Ltd. | Cayman Islands | 2018/02/12 |
| 08032 | Viva China Holdings Ltd. | Cayman Islands | 2000/04/06 |
| 08033 | Vodatel Networks Holdings Ltd. | Bermuda | 2000/02/25 |
| 08619 | WAC Holdings Ltd. | Cayman Islands | 2018/09/17 |
| 08291 | Wan Cheng Metal Packaging Co. Ltd. | Cayman Islands | 2017/07/18 |
| 08482 | Wan Leader International Ltd. | Cayman Islands | 2018/09/05 |

List of listed companies on GEM (continued)

End of 2019

| Code | Company name | Incorporation | Listing date |
|-------|--------------------------------------------------------------|----------------|--------------|
| 08269 | Wealth Glory Holdings Ltd. | Cayman Islands | 2010/10/14 |
| 08509 | Wine's Link International Holdings Ltd. | Cayman Islands | 2018/01/12 |
| 08526 | Wing Fung Group Asia Ltd. | Cayman Islands | 2018/02/27 |
| 08362 | Winning Tower Group Holdings Ltd. | Cayman Islands | 2017/06/30 |
| 08421 | Winson Holdings Hong Kong Ltd. | Cayman Islands | 2017/03/16 |
| 08238 | Winto Group (Holdings) Ltd. | Cayman Islands | 2015/02/16 |
| 08021 | WLS Holdings Ltd. | Bermuda | 2001/12/07 |
| 08208 | WMCH Global Investment Ltd. | Cayman Islands | 2019/11/29 |
| 08612 | World Super Holdings Ltd. | Cayman Islands | 2019/07/12 |
| 08292 | Worldgate Global Logistics Ltd. | Cayman Islands | 2016/07/06 |
| 08422 | WT Group Holdings Ltd. | Cayman Islands | 2017/12/28 |
| 08069 | WWPKG Holdings Co. Ltd. | Cayman Islands | 2017/01/12 |
| 08227 | Xi'an Haitian Antenna Technologies Co., Ltd. - H Shares | PRC | 2003/11/05 |
| 08328 | Xinyi Automobile Glass Hong Kong Enterprises Ltd. | Cayman Islands | 2016/07/11 |
| 08260 | Yin He Holdings Ltd. | Cayman Islands | 2013/04/10 |
| 08668 | Ying Hai Group Holdings Co. Ltd. | Cayman Islands | 2019/09/26 |
| 08241 | Ying Kee Tea House Group Ltd. | Hong Kong | 2018/04/16 |
| 08048 | Yu Tak International Holdings Ltd. | Bermuda | 2000/06/30 |
| 08005 | Yuxing InfoTech Investment Holdings Ltd. | Bermuda | 2000/01/31 |
| 08313 | ZACD Group Ltd. | Singapore | 2018/01/16 |
| 08139 | Zhejiang Chang'an Renheng Technology Co., Ltd. - H Shares | PRC | 2015/01/16 |
| 08249 | Zhejiang RuiYuan Intelligent Control Technology Co. Ltd-H Sh | PRC | 2003/11/14 |
| 08366 | Zhejiang United Investment Holdings Group Ltd. | Cayman Islands | 2015/11/02 |
| 08211 | Zhejiang Yongan Rongtong Holdings Co., Ltd. - H Shares | PRC | 2002/11/08 |
| 08283 | Zheng Li Holdings Ltd. | Cayman Islands | 2016/11/08 |
| 08370 | Zhi Sheng Group Holdings Ltd. | Cayman Islands | 2017/01/20 |
| 08511 | Zhicheng Technology Group Ltd. | Cayman Islands | 2018/04/20 |
| 08246 | Zhonghua Gas Holdings Ltd. | Cayman Islands | 2011/12/30 |
| 08266 | Zhuoxin International Holdings Ltd. | Cayman Islands | 2003/05/09 |
| 08287 | Zioncom Holdings Ltd. | Cayman Islands | 2018/01/18 |
| 08223 | Ziyuanyuan Holdings Group Ltd. | Cayman Islands | 2018/07/09 |
| 08135 | ZMFY Automobile Glass Services Ltd. | Cayman Islands | 2013/09/03 |
| 08295 | ZZ Capital International Ltd. | Cayman Islands | 2010/06/18 |

List of exchange traded funds

End of 2019

| Code | Name | Listing date |
|-------|---------------------------------------------------------------|--------------|
| 02819 | ABF Hong Kong Bond Index Fund | 2005/06/21 |
| 02821 | ABF Pan Asia Bond Index Fund | 2005/07/07 |
| 82843 | AMUNDI FTSE China A50 Index ETF | 2016/10/31 |
| 02843 | AMUNDI FTSE China A50 Index ETF | 2016/10/31 |
| 83012 | AMUNDI Hang Seng HK 35 Index ETF | 2016/04/12 |
| 03012 | AMUNDI Hang Seng HK 35 Index ETF | 2016/04/12 |
| 03145 | BMO Asia High Dividend ETF | 2014/11/13 |
| 09141 | BMO Asia USD Investment Grade Bond ETF | 2014/11/13 |
| 03141 | BMO Asia USD Investment Grade Bond ETF | 2014/11/13 |
| 03143 | BMO Hong Kong Banks ETF | 2014/11/13 |
| 03121 | BMO MSCI Asia Pacific Real Estate ETF | 2016/02/18 |
| 03165 | BMO MSCI Europe Quality Hedged to USD ETF | 2016/02/18 |
| 03160 | BMO MSCI Japan Hedged to USD ETF | 2016/02/18 |
| 09086 | BMO NASDAQ 100 ETF | 2016/02/18 |
| 03086 | BMO NASDAQ 100 ETF | 2016/02/18 |
| 82832 | Bosera FTSE China A50 Index ETF | 2013/12/09 |
| 02832 | Bosera FTSE China A50 Index ETF | 2013/12/09 |
| 02813 | ChinaAMC Bloomberg Barclays ChinaTreasury+Policy Bk B Idx ETF | 2018/06/06 |
| 82813 | ChinaAMC Bloomberg Barclays ChinaTreasury+Policy Bk B Idx ETF | 2018/06/06 |
| 03180 | ChinaAMC CES China A80 Index ETF | 2013/08/26 |
| 83180 | ChinaAMC CES China A80 Index ETF | 2013/08/26 |
| 03188 | ChinaAMC CSI 300 Index ETF | 2012/07/17 |
| 83188 | ChinaAMC CSI 300 Index ETF | 2012/07/17 |
| 03157 | ChinaAMC Hang Seng Stock Connect HK SmallCap Index ETF | 2015/10/26 |
| 83197 | ChinaAMC MSCI China A Inclusion Index ETF | 2018/02/07 |
| 03197 | ChinaAMC MSCI China A Inclusion Index ETF | 2018/02/07 |
| 83079 | CICC Bloomberg Barclays China Treasury 1-10 Years ETF | 2018/12/12 |
| 03079 | CICC Bloomberg Barclays China Treasury 1-10 Years ETF | 2018/12/12 |
| 83093 | CICC CSI Select 100 ETF | 2018/12/05 |
| 03093 | CICC CSI Select 100 ETF | 2018/12/05 |
| 83186 | CICC KraneShares CSI China Internet Index ETF | 2018/04/10 |
| 03186 | CICC KraneShares CSI China Internet Index ETF | 2018/04/10 |
| 09186 | CICC KraneShares CSI China Internet Index ETF | 2018/04/10 |
| 03171 | ComStage 1 DAX UCITS ETF | 2016/05/18 |
| 03177 | ComStage 1 DivDAX UCITS ETF | 2016/05/18 |
| 09199 | CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF | 2014/02/19 |
| 03199 | CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF | 2014/02/19 |
| 83199 | CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF | 2014/02/19 |
| 02822 | CSOP FTSE China A50 ETF | 2012/08/28 |
| 82822 | CSOP FTSE China A50 ETF | 2012/08/28 |
| 83053 | CSOP Hong Kong Dollar Money Market ETF | 2018/07/18 |
| 03053 | CSOP Hong Kong Dollar Money Market ETF | 2018/07/18 |
| 83149 | CSOP MSCI China A Inclusion Index ETF | 2015/11/26 |
| 03149 | CSOP MSCI China A Inclusion Index ETF | 2015/11/26 |
| 83122 | CSOP RMB Money Market ETF | 2015/01/20 |
| 03122 | CSOP RMB Money Market ETF | 2015/01/20 |
| 83147 | CSOP SZSE ChiNext ETF | 2015/05/15 |
| 03147 | CSOP SZSE ChiNext ETF | 2015/05/15 |
| 09096 | CSOP US Dollar Money Market ETF | 2019/01/25 |
| 03096 | CSOP US Dollar Money Market ETF | 2019/01/25 |
| 83100 | E Fund CSI 100 A-Share Index ETF | 2012/08/27 |
| 03100 | E Fund CSI 100 A-Share Index ETF | 2012/08/27 |
| 02820 | Global X China Biotech ETF | 2019/07/25 |
| 09820 | Global X China Biotech ETF | 2019/07/25 |
| 02826 | Global X China Cloud Computing ETF | 2019/07/25 |
| 09826 | Global X China Cloud Computing ETF | 2019/07/25 |
| 03127 | Global X CSI 300 ETF | 2014/09/26 |
| 83127 | Global X CSI 300 ETF | 2014/09/26 |
| 03110 | Global X Hang Seng High Dividend Yield ETF | 2013/06/17 |
| 03040 | Global X MSCI China ETF | 2013/06/17 |
| 03097 | Global X S&P Crude Oil Futures Enhanced ER ETF | 2016/06/16 |
| 02811 | Haitong CSI300 Index ETF | 2014/03/07 |
| 82811 | Haitong CSI300 Index ETF | 2014/03/07 |
| 83128 | Hang Seng China A Industry Top Index ETF | 2013/11/26 |
| 03128 | Hang Seng China A Industry Top Index ETF | 2013/11/26 |
| 02828 | Hang Seng China Enterprises Index ETF | 2003/12/10 |

List of exchange traded funds (continued)

End of 2019

| Code | Name | Listing date |
|-------|------------------------------------------------|--------------|
| 82828 | Hang Seng China Enterprises Index ETF | 2003/12/10 |
| 02838 | Hang Seng FTSE China 50 Index ETF | 2005/06/08 |
| 82833 | Hang Seng Index ETF | 2004/09/21 |
| 02833 | Hang Seng Index ETF | 2004/09/21 |
| 83168 | Hang Seng RMB Gold ETF | 2012/02/14 |
| 03118 | Harvest MSCI China A Index ETF | 2012/10/12 |
| 83118 | Harvest MSCI China A Index ETF | 2012/10/12 |
| 03011 | ICBC CICC USD Money Market ETF | 2019/06/18 |
| 09011 | ICBC CICC USD Money Market ETF | 2019/06/18 |
| 83167 | ICBC CSOP S&P New China Sectors ETF | 2016/12/08 |
| 03167 | ICBC CSOP S&P New China Sectors ETF | 2016/12/08 |
| 09167 | ICBC CSOP S&P New China Sectors ETF | 2016/12/08 |
| 82846 | iShares Core CSI 300 Index ETF | 2009/11/18 |
| 09846 | iShares Core CSI 300 Index ETF | 2009/11/18 |
| 02846 | iShares Core CSI 300 Index ETF | 2009/11/18 |
| 83115 | iShares Core Hang Seng Index ETF | 2016/11/23 |
| 09115 | iShares Core Hang Seng Index ETF | 2016/11/23 |
| 03115 | iShares Core Hang Seng Index ETF | 2016/11/23 |
| 09170 | iShares Core KOSPI 200 Index ETF | 2016/06/29 |
| 83170 | iShares Core KOSPI 200 Index ETF | 2016/06/29 |
| 03170 | iShares Core KOSPI 200 Index ETF | 2016/06/29 |
| 03010 | iShares Core MSCI AC Asia ex Japan Index ETF | 2009/04/23 |
| 83010 | iShares Core MSCI AC Asia ex Japan Index ETF | 2009/04/23 |
| 09010 | iShares Core MSCI AC Asia ex Japan Index ETF | 2009/04/23 |
| 02801 | iShares Core MSCI China Index ETF | 2001/11/28 |
| 03074 | iShares Core MSCI Taiwan Index ETF | 2016/06/29 |
| 83074 | iShares Core MSCI Taiwan Index ETF | 2016/06/29 |
| 09074 | iShares Core MSCI Taiwan Index ETF | 2016/06/29 |
| 02836 | iShares Core S&P BSE SENSEX India Index ETF | 2006/11/02 |
| 09836 | iShares Core S&P BSE SENSEX India Index ETF | 2006/11/02 |
| 82836 | iShares Core S&P BSE SENSEX India Index ETF | 2006/11/02 |
| 83146 | iShares DAX Index ETF | 2016/06/29 |
| 09146 | iShares DAX Index ETF | 2016/06/29 |
| 03146 | iShares DAX Index ETF | 2016/06/29 |
| 83155 | iShares EURO STOXX 50 Index ETF | 2016/06/29 |
| 03155 | iShares EURO STOXX 50 Index ETF | 2016/06/29 |
| 09155 | iShares EURO STOXX 50 Index ETF | 2016/06/29 |
| 02847 | iShares FTSE 100 Index ETF | 2016/06/29 |
| 82847 | iShares FTSE 100 Index ETF | 2016/06/29 |
| 09847 | iShares FTSE 100 Index ETF | 2016/06/29 |
| 82823 | iShares FTSE A50 China Index ETF | 2004/11/18 |
| 02823 | iShares FTSE A50 China Index ETF | 2004/11/18 |
| 02802 | iShares MSCI Emerging Asia Index ETF | 2009/04/23 |
| 82834 | iShares NASDAQ 100 Index ETF | 2016/06/29 |
| 09834 | iShares NASDAQ 100 Index ETF | 2016/06/29 |
| 02834 | iShares NASDAQ 100 Index ETF | 2016/06/29 |
| 02824 | Lippo Select HK & Mainland Property ETF | 2012/09/26 |
| 03072 | Nikko AM Global Internet ETF | 2019/10/24 |
| 09072 | Nikko AM Global Internet ETF | 2019/10/24 |
| 03163 | Ping An MSCI China Multi-Factor ETF | 2018/11/30 |
| 03166 | Ping An MSCI China Quality Factor ETF | 2018/11/30 |
| 03198 | Ping An Nasdaq 5HANDL ETF | 2018/12/07 |
| 03023 | Ping An Nasdaq AI and Robotics ETF | 2018/12/07 |
| 03080 | Ping An of China CSI 5-10Y CGB ETF | 2017/12/29 |
| 03070 | Ping An of China CSI HK Dividend ETF | 2012/02/15 |
| 03181 | Premia Asia Innovative Technology ETF | 2018/08/06 |
| 09181 | Premia Asia Innovative Technology ETF | 2018/08/06 |
| 02803 | Premia CSI Caixin China Bedrock Economy ETF | 2017/10/24 |
| 09803 | Premia CSI Caixin China Bedrock Economy ETF | 2017/10/24 |
| 03173 | Premia CSI Caixin China New Economy ETF | 2017/10/24 |
| 09173 | Premia CSI Caixin China New Economy ETF | 2017/10/24 |
| 09810 | Premia Dow Jones Emerging ASEAN Titans 100 ETF | 2018/08/06 |
| 02810 | Premia Dow Jones Emerging ASEAN Titans 100 ETF | 2018/08/06 |
| 09804 | Premia MSCI Vietnam ETF | 2019/07/18 |
| 02804 | Premia MSCI Vietnam ETF | 2019/07/18 |
| 03077 | Premia US Treasury Floating Rate ETF | 2019/07/18 |

List of exchange traded funds (continued)

End of 2019

| Code | Name | Listing date |
|-------|---------------------------------------------------------------|--------------|
| 09077 | Premia US Treasury Floating Rate ETF | 2019/07/18 |
| 09078 | Premia US Treasury Floating Rate ETF-Accumulating Unit Class | 2019/09/27 |
| 02812 | Samsung CSI China Dragon Internet ETF | 2018/06/20 |
| 03175 | Samsung S&P GSCI Crude Oil ER Futures ETF | 2016/04/29 |
| 03073 | SPDR FTSE Greater China ETF | 2010/09/20 |
| 02840 | SPDR Gold Trust | 2008/07/31 |
| 02800 | Tracker Fund of Hong Kong | 1999/11/12 |
| 03095 | Value China A-Share ETF | 2015/03/24 |
| 83095 | Value China A-Share ETF | 2015/03/24 |
| 03046 | Value China ETF | 2009/12/15 |
| 83081 | Value Gold ETF | 2010/11/03 |
| 09081 | Value Gold ETF | 2010/11/03 |
| 03081 | Value Gold ETF | 2010/11/03 |
| 03084 | Value Japan ETF | 2012/05/28 |
| 03041 | Value Korea ETF | 2012/05/28 |
| 03060 | Value Taiwan ETF | 2012/05/28 |
| 83085 | Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF | 2014/06/13 |
| 03085 | Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF | 2014/06/13 |
| 09085 | Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF | 2014/06/13 |
| 82805 | Vanguard FTSE Asia ex Japan Index ETF | 2013/05/15 |
| 09805 | Vanguard FTSE Asia ex Japan Index ETF | 2013/05/15 |
| 02805 | Vanguard FTSE Asia ex Japan Index ETF | 2013/05/15 |
| 03101 | Vanguard FTSE Developed Europe Index ETF | 2014/06/13 |
| 83101 | Vanguard FTSE Developed Europe Index ETF | 2014/06/13 |
| 09101 | Vanguard FTSE Developed Europe Index ETF | 2014/06/13 |
| 03126 | Vanguard FTSE Japan Index ETF | 2014/06/13 |
| 83126 | Vanguard FTSE Japan Index ETF | 2014/06/13 |
| 09126 | Vanguard FTSE Japan Index ETF | 2014/06/13 |
| 03140 | Vanguard S&P 500 Index ETF | 2015/05/21 |
| 83140 | Vanguard S&P 500 Index ETF | 2015/05/21 |
| 09140 | Vanguard S&P 500 Index ETF | 2015/05/21 |
| 09169 | Vanguard Total China Index ETF | 2018/05/10 |
| 03169 | Vanguard Total China Index ETF | 2018/05/10 |
| 83169 | Vanguard Total China Index ETF | 2018/05/10 |
| 02827 | W.I.S.E. – CSI 300 China Tracker | 2007/07/17 |
| 02825 | W.I.S.E. – CSI HK 100 Tracker | 2008/05/15 |
| 03024 | W.I.S.E. – SSE 50 China Tracker | 2009/04/15 |
| 03182 | W.I.S.E.-Nasdaq Overseas China New Econ Co Top 50 Idx Tracker | 2018/09/12 |
| 03049 | Xtrackers CSI300 Swap UCITS ETF | 2010/03/25 |
| 03007 | Xtrackers FTSE China 50 UCITS ETF | 2009/07/08 |
| 03087 | Xtrackers FTSE Vietnam Swap UCITS ETF | 2009/07/08 |
| 03048 | Xtrackers MSCI Brazil UCITS ETF | 2010/02/24 |
| 03055 | Xtrackers MSCI China UCITS ETF | 2012/01/12 |
| 03099 | Xtrackers MSCI Indonesia Swap UCITS ETF | 2012/01/12 |
| 02848 | Xtrackers MSCI Korea UCITS ETF | 2009/07/08 |
| 03082 | Xtrackers MSCI Malaysia UCITS ETF | 2012/01/12 |
| 03043 | Xtrackers MSCI Pacific ex Japan UCITS ETF | 2010/02/24 |
| 03016 | Xtrackers MSCI Philippines UCITS ETF | 2013/04/11 |
| 03027 | Xtrackers MSCI Russia Capped Swap UCITS ETF | 2010/02/24 |
| 03065 | Xtrackers MSCI Singapore UCITS ETF | 2013/04/11 |
| 03036 | Xtrackers MSCI Taiwan UCITS ETF | 2009/06/30 |
| 03092 | Xtrackers MSCI Thailand UCITS ETF | 2012/01/12 |
| 03020 | Xtrackers MSCI USA Swap UCITS ETF | 2009/07/08 |
| 03019 | Xtrackers MSCI World Swap UCITS ETF | 2010/02/24 |
| 03015 | Xtrackers Nifty 50 Swap UCITS ETF | 2009/07/08 |

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

3. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. China-controlled company (Red Chip)

A company is deemed to be China-controlled company (Red Chip), if

- (1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or
- (2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

Technical notes to tables *(continued)*

5. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

6. H shares

Shares issued by a PRC issuer under PRC law and listed on the SEHK, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

7. Listed company

A company in respect of which all or some of the equity securities are listed on the SEHK.

8. Number of listed securities

The total number of ordinary shares, preferred ordinary/preference shares, warrants, CBBC, ELI, debt securities and unit trust/mutual fund listed on the SEHK.

9. Open interest (options)

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

10. Premium (options)

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

11. Total market capitalisation

The aggregate capitalisation of all listed companies which are regarded as domestic companies and foreign companies listed on the SEHK only except those under suspension for over one year.

12. Trading volume and value

Figures of trading volume and value are counted on a one-way basis.

Acronyms

| | |
|--------------|----------------------------------------------------------------|
| AMS | Automatic Order Matching and Execution System |
| AMS/3 | Automatic Order Matching and Execution System/Third Generation |
| CBBC | Callable Bull/Bear Contract |
| CCASS | Central Clearing and Settlement System |
| CNS | Continuous Net Settlement |
| DCASS | Derivatives Clearing and Settlement System |
| DR | Depository Receipt |
| DW | Derivative Warrant |
| ELI | Equity Linked Instrument |
| ETF | Exchange Traded Fund |
| EW | Equity Warrant |
| HIBOR | Hong Kong Interbank Offered Rate |
| HKATS | Hong Kong Futures Automated Trading System |
| HKCC | HKFE Clearing Corporation Ltd |
| HKCE | Hong Kong Commodity Exchange Ltd |
| HKEX | Hong Kong Exchanges and Clearing Ltd |
| HKFE | Hong Kong Futures Exchange Ltd |
| HKMA | Hong Kong Monetary Authority |
| HKSCC | Hong Kong Securities Clearing Co Ltd |
| HSCCI | Hang Seng China-Affiliated Corporations Index |
| HSCEI | Hang Seng China Enterprises Index |
| HSI | Hang Seng Index |
| HSICS | Hang Seng Industry Classification System |
| iIPO | Internet Initial Public Offering |
| IPO | Initial public offering |
| ISI | Investor settlement instruction |
| L&I Products | Leveraged and Inverse Products |
| LME | London Metal Exchange |
| LMEX | London Metal Exchange Index |
| NASDAQ | National Association of Securities Dealer Automated Quotations |
| ORS | Order Routing System |
| OTC Clear | OTC Clearing Hong Kong Ltd. |
| P/E ratio | Price/earnings ratio |
| REITs | Real Estate Investment Trusts |
| RQFII | RMB Qualified Foreign Institutional Investors |
| S&P | Standard and Poors |
| SEHK | Stock Exchange of Hong Kong Ltd, The |
| SEOCH | SEHK Options Clearing House Ltd, The |
| SFC | Securities and Futures Commission, The |
| SFO | Securities and Futures Ordinance |
| SI | Settlement instruction |
| SS | Stapled securities |
| TAPOs | Traded Average Price Options |
| TOPS | Traded OPtions System |