

Fact Book 2020



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Market Performance in 2020

	2019	2020	Change (%)
Stock Market			
No. of listed companies	2,449	2,538	3.63
Main Board	2,071	2,170	4.78
GEM	378	368	(2.65)
No. of listed securities	12,795	17,041	33.18
Main Board	12,416	16,672	34.28
GEM	379	369	(2.64)
No. of new listed companies ⁽¹⁾	183	154	(15.85)
Main Board	168	146	(13.10)
Transfer of listing from GEM	20	8	(60.00)
GEM	15	8	(46.67)
Total market capitalisation (HK\$mil)	38,165,037.41	47,523,019.19	24.52
Main Board	38,058,338.10	47,392,196.36	24.53
GEM	106,699.32	130,822.84	22.61
Total turnover value (HK\$mil) ⁽²⁾	21,440,049.16	32,110,147.90	49.77
Average daily	87,154.67	129,476.40	48.56
Main Board	86,951.98	129,128.15	48.51
GEM	202.69	348.25	71.82
Highest	168,963.05 (25/02)	267,929.18 (11/11)	
Lowest	53,282.74 (27/09)	70,532.74 (05/05)	
Equity funds raised (HK\$bil)	454.25	746.96	64.44
IPO	314.24	400.14	27.33
Main Board	313.27	399.58	27.55
GEM	0.97	0.55	(42.84)
Post-IPO	140.01	346.82	147.72
Main Board	136.70	343.82	151.51
GEM	3.31	3.00	(9.25)
Mainland Enterprises			
No. of Mainland enterprises	1,241	1,319	6.29
Main Board	1,144	1,227	7.26
H shares	262	272	3.82
Red chips	168	172	2.38
Mainland private enterprises	714	783	9.66
GEM	97	92	(5.15)
H shares	22	19	(13.64)
Red chips	5	4	(20.00)
Mainland private enterprises	70	69	(1.43)
No. of new listed H-shares ⁽¹⁾	22	13	(40.91)
Main Board	22	13	(40.91)
Transfer of listing from GEM	1	0	(100.00)
GEM	0	0	-
Market capitalisation (HK\$mil) (% of market total)	27,953,439.97 (73.24%)	38,072,997.37 (80.11%)	36.20
Main Board	27,929,683.12 (73.39%)	38,051,930.44 (80.29%)	36.24
H shares	6,423,518.74	6,702,123.66	4.34
Red chips	5,443,942.64	4,505,415.11	(17.24)
Mainland private enterprises	16,062,221.74	26,844,391.66	67.13
GEM	23,756.86 (22.27%)	21,066.93 (16.10%)	(11.32)
H shares	2,995.05	2,500.24	(16.52)
Red chips	2,236.16	951.32	(57.46)
Mainland private enterprises	18,525.65	17,615.37	(4.91)
Total turnover value (HK\$mil) (% of equity turnover)	12,330,130.39 (79.05%)	21,770,949.89 (84.68%)	76.57

	2019	2020	Change (%)
Stock price indices			
Hang Seng Index (31 July 1964 = 100)	28189.75	27231.13	(3.40)
HSI – Finance	39114.61	36938.99	(5.56)
HSI – Utilities	56584.49	45760.14	(19.13)
HSI – Properties	40190.08	32127.32	(20.06)
HSI – Commerce & Industry	16234.26	17452.75	7.51
Hang Seng China Enterprises Index (3 January 2000 = 2000)	11168.06	10738.40	(3.85)
Hang Seng China-Affiliated Corporations Index (3 January 2000 = 2000)	4537.76	3799.56	(16.27)
S&P/HKEX LargeCap Index (28 February 2003 = 10000)	37103.19	41899.21	12.93
S&P/HKEX GEM Index (28 February 2003 = 1000)	79.44	133.80	68.43
Equity warrants			
No. of newly issued	2	0	(100.00)
Main Board	2	0	(100.00)
GEM	0	0	-
Total premium of newly issued equity warrants (HK\$mil)	0.00	0.00	-
Main Board	0.00	0.00	-
GEM	0.00	0.00	-
No. of equity warrants	3	1	(66.67)
Main Board	2	-	(100.00)
GEM	1	1	0.00
Total turnover value (HK\$mil)	8.84	15.20	71.86
Main Board	8.81	15.18	72.33
GEM	0.03	0.01	(56.94)
Debt securities			
No. of debt securities	1,388	1,574	13.40
RMB bonds	80	75	(6.25)
Total turnover value (HK\$mil)	60,691.67	65,544.25	8.00
No. of newly listed debt securities	420	430	2.38
RMB bonds	16	12	(25.00)
Total fund raised (HK\$bil)	1,401.91	1,528.72	9.05
Aggregated principal amount of new listed RMB bonds (RMB bil)	39.67	29.53	(25.56)
iBond turnover value (HK\$mil) (% of debt securities)	1,453.84 (2.4%)	3,244.37 (4.9%)	123.16
Unit trusts and mutual funds			
No. of listed units trusts and mutual funds	148	159	7.43
ETFs	111	118	6.31
L&I Products	24	28	16.67
REITs	12	12	-
Total turnover value (HK\$mil) (% of Main Board total)	1,367,711.21 (6.39%)	1,738,615.77 (5.43%)	27.12
ETFs	1,076,531.15	1,215,210.31	12.88
L&I Products	141,423.64	373,472.91	164.08
REITs	149,654.52	149,695.93	0.03
Average daily turnover value (HK\$mil)	5,559.80	7,010.55	26.09
ETFs	4,376.14	4,900.04	11.97
L&I Products	574.89	1,505.94	161.95
REITs	608.35	603.61	(0.78)
No. of new listed unit trusts and mutual funds	13	26	100.00
ETFs	8	18	125.00
Multiple-counter ETFs	7	14	100.00
Trading value in RMB			
% of total multiple-counter ETFs	1.56	2.50	
Trading value of A-share ETFs (HK\$bil) (% of ETFs)	544.15 (50.55%)	421.88 (34.72%)	(22.47)

	2019	2020	Change (%)
Structured products			
Derivatives warrants (DWs)			
No. of listed DWs	4,571	7,507	64.23
No. of new listed DWs	8,939	12,128	35.68
Total notional value of new listed DWs (HK\$bil)	161.19	256.93	59.39
Total turnover value (HK\$mil) (% of Main Board total)	2,418,136.05 (11.30%)	2,260,916.90 (7.06%)	(6.50)
Inline warrants (IWs) ⁽²⁾			
No. of listed IWs	922	507	(45.01)
No. of new listed IWs	923	780	(15.49)
Total notional value of new listed IWs (HK\$bil)	11.40	8.54	(25.07)
Total turnover value (HK\$mil) (% of Main Board total)	159.76 (0.00%)	1,073.76 (0.00%)	572.12
Callable bull/bear contracts (CBBCs)			
No. of listed CBBCs	3,298	4,739	43.69
No. of new listed CBBCs	24,732	38,039	53.80
Total notional value of new listed CBBCs (HK\$bil)	878.48	1,274.15	45.04
Total turnover value (HK\$mil) (% of Main Board total)	1,995,445.69 (9.33%)	2,335,291.78 (7.29%)	17.03
RMB-traded securities products			
No. of RMB-traded securities	126	119	(5.56)
Equities	1	1	-
Debt securities	80	75	(6.25)
DWs	0	0	-
ETFs	44	42	(4.55)
REITs	1	1	0.00
No. of multiple-counter securities	44	42	(4.55)
Equities	1	1	0.00
ETFs	43	41	(4.65)
Total turnover value (RMB mil)	11,687.23	15,502.74	32.65
Equities (% of total)	67.52 (0.58%)	25.37 (0.16%)	(62.43)
DWs (% of total)	0.00 (0.00%)	0.00 (0.00%)	-
ETFs (% of total)	8,814.72 (75.42%)	13,009.69 (83.92%)	47.59
REITs (% of total)	2,452.28 (20.98%)	2,191.10 (14.13%)	(10.65)
Debt securities (% of total)	352.71 (3.02%)	276.58 (1.78%)	(21.58)
Trading-only market			
No. of ETFs	0	0	-
No. of NASDAQ stocks	6	6	0.00
Total turnover value (HK\$mil)	2.98	0.46	(84.52)
Shanghai-Hong Kong Stock Connect			
Southbound trading			
Number of eligible stocks	328	325	
Total trading value (HK\$mil)	1,562,555.25	2,954,642.48	89.09
Average daily	6,793.72	13,073.64	92.44
Northbound trading			
Number of eligible stocks	916	963	
Both buy and sell	581	574	
Sell only	335	389	
Margin trading	475	470	
Total trading value (RMB mil)	4,991,366.36	9,035,858.23	81.03
Average daily	21,330.63	39,116.27	83.38

	2019	2020	Change (%)
Shenzhen-Hong Kong Stock Connect			
Southbound trading			
Number of eligible stocks	476	498	
Total trading value (HK\$mil)	918,867.31	2,553,440.22	177.89
Average daily	3,995.08	11,298.41	182.81
Northbound trading			
Number of eligible stocks	1,238	1,347	
Both buy and sell	708	886	
Sell only	530	461	
Margin trading	583	623	
Total trading value (RMB mil)	4,765,824.42	12,052,736.55	152.90
Average daily	20,366.77	52,176.35	156.18
Derivatives market			
No. of stock futures classes (as at the year end)	80	78	(2.50)
No. of stock options classes (as at the year end)	96	107	11.46
Total contract volume	262,885,798	282,225,200	7.36
Average daily	1,068,641	1,133,435	6.06
All futures products	115,759,091	115,145,978	(0.53)
All options products	147,126,707	167,079,222	13.56
Top three contract volume contributor in futures market			
HSI Futures			
Contract volume (% of futures total)	51,317,694 (44.33%)	41,635,063 (36.16%)	(18.87)
Open Interest	121,237	129,721	7.00
Hang Seng China Enterprises Index Futures			
Contract volume (% of futures total)	34,151,099 (29.50%)	36,256,445 (31.49%)	6.16
Open Interest	325,907	290,518	(10.86)
Mini-HSI Futures			
Contract volume (% of futures total)	22,170,547 (19.15%)	27,902,077 (24.23%)	25.85
Open Interest	10,635	17,370	63.33
Key index options products			
Hang Seng China Enterprises Index Options			
Contract volume (% of options total)	21,563,408 (14.66%)	20,725,642 (12.40%)	(3.89)
Open Interest	2,088,866	1,502,474	(28.07)
HSI Options			
Contract volume (% of options total)	12,466,854 (8.47%)	9,811,797 (5.87%)	(21.30)
Open Interest	210,101	162,843	(22.49)
Mini-HSI Options			
Contract volume (% of options total)	3,343,429 (2.27%)	3,345,582 (2.00%)	0.06
Open Interest	17,983	8,638	(51.97)
Commodity market			
LME futures and options contract volume (mil lots)	176.0	155.0	(11.93)
In value (US\$tril)	13.5	11.6	(14.07)
Tonnes of material (bil)	3.9	3.5	(10.26)
Average daily	696,567	609,902	(12.44)

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Trading in inline warrants commenced on 18 July 2019.

Major Events of the Hong Kong Securities and Derivatives Markets 2020

Month	Event
January	<p>On 31 January, the SEHK published a Consultation Paper on Corporate WVR Beneficiaries to seek public feedback on a proposal to allow corporate entities to benefit from weighted voting rights (WVR), subject to additional conditions and investor safeguards. The proposal built on reforms to the Hong Kong listing regime that were implemented in April 2018, and aimed to enhance global competitiveness and encourage the development of innovative businesses.</p>
February	<p>On 4 February, in light of the global COVID-19 outbreak, the SFC and the SEHK issued a joint statement in relation to listed issuers' results announcements. This was followed by the issuance of a set of Frequently Asked Questions on 28 February 2020 to provide guidance on the publication of preliminary results announcements due on 31 March 2020. The joint statement encouraged listed issuers to consult with the SEHK on the financial information that an issuer may publish if it is unable to obtain agreement from its auditors on its preliminary results, with a view to minimising disruptions to trading while ensuring that the investing public continues to receive sufficient information to make informed investment decisions.</p> <p>On 6 February, HKEX introduced a new China Connect Monthly Throttle Subscription Scheme to allow China Connect Exchange Participants (CCEPs) to subscribe, providing more flexibility on throttle subscription for meeting CCEPs' short-term business needs.</p> <p>On 21 February, the Government appointed Susan Chow and reappointed Laura M Cha and Benjamin Hung to HKEX's Board of Directors. The two-year terms of the three directors would begin at the conclusion of HKEX's Annual General Meeting (AGM) in 2020, and would end at the conclusion of the AGM in 2022.</p> <p>On 26 February, in the Financial Secretary's 2020-21 Budget delivered, the initiatives raised in respect of the financial services industry included the following: (1) Issuing inflation-linked retail bonds and Silver Bonds totalling not less than \$13 billion; (2) Issuing green bonds totalling \$66 billion in next 5 years; (3) Waiving stamp duty on stock transfers paid by the Exchange Traded Fund (ETF) market makers when creating and redeeming ETF units in Hong Kong; and (4) Establishing a limited partnership regime and provide tax concession for carried interest issued by private equity funds to attract them to domicile and operate in Hong Kong.</p>
March	<p>As part of the continuous enhancement to the securities market's testing environments and availability for market participants, HKEX introduced a new "OCG Prod-Lite Testing Environment" and the additional OCG End-to-End testing window of 17:00 to 23:00 on weekdays to facilitate Exchange Participants' testing.</p> <p>On 16 March, the SFC and the SEHK released further guidance for listed issuers with 31 December financial year-end on the publication of their preliminary results and annual reports in light of the COVID-19 outbreak.</p> <p>On 18 March, HKEX announced the latest enhancement in Stock Connect to be launched in the first half of 2020, subject to regulatory approval and market readiness — a new Master Special Segregated Account (Master SPSA) service for fund managers. This optional service would facilitate more efficient pre-trade checking of Northbound sell orders and average pricing execution at the fund manager level.</p>

Month	Event
	<p>On 20 March, in the light of challenges associated with the COVID-19 pandemic, the London Metal Exchange (LME), a subsidiary of HKEX, issued a Member Notice to confirm the commencement of a temporary period of electronic pricing and Ring suspension, with effect from 23 March 2020. This was reportedly the first time suspension of Ring since World War II.</p> <p>On 27 March, the HKMA and the SFC issued a joint consultation on the annual update to the list of Financial Services Providers under the over-the-counter (OTC) derivatives clearing regime. Eight additional entities were proposed to be included on the list.</p> <p>On 27 March, the first iron ore futures exchange traded fund (ETF) on HKEX — SSIF DCE Iron Ore Futures Index ETF (3047/9047) — was listed. The index tracks the performance of iron ore by investing directly in iron ore futures contracts.</p>
April	<p>On 1 April, after consulting with the HKSAR Government, the SFC and the SEHK published a joint statement in relation to general meetings in light of the Prevention and Control of Disease (Prohibition on Group Gathering) Regulation. The statement gave guidance that, as well as annual general meetings, certain extraordinary general meetings and special general meetings of listed issuers were also exempted from the Government’s gathering ban. Despite the exemption, the SFC and the SEHK have determined that it is appropriate for listed issuers to consider the guidelines developed on “adjournment or delay”, “management of physical meetings” and “shareholders communication” when deciding on the timing of their general meetings and the manner in which such meetings are held.</p> <p>On 6 April, trading and settlement services have broadened to support Bond Connect investors to tap the defaulted bond market in China.</p> <p>On 8 April, the SFC, HKEX and the Federation of Share Registrars Limited (FSR) jointly released consultation conclusions on a proposed operational model for implementing an uncertificated securities market (USM) in Hong Kong. The SFC, HKEX and FSR will further develop the model and the regulatory framework to support it with a view to implementing the USM regime from 2022.</p> <p>On 23 April, HKEX announced that Taiwan Futures Exchange has approved the inclusion of Weekly Index Options on HSI and HSCEI in its list of offshore exchanges and contracts for which Taiwan Futures Commission Merchants (FCMs) have trading authorization.</p>
May	<p>On 5 May, the Green and Sustainable Finance Cross-Agency Steering Group (Steering Group), initiated by the HKMA and the SFC, held its inaugural meeting. Other members are the Environment Bureau, the Financial Services and the Treasury Bureau (FSTB), HKEX, the Insurance Authority (IA) and the Mandatory Provident Fund Schemes Authority (MPFA). The Steering Group aims to co-ordinate the management of climate and environmental risks to the financial sector, accelerate the growth of green and sustainable finance in Hong Kong and support the Government’s climate strategies. The Steering Group provides strategic direction, with a focus on regulatory policy and market development, to bolster Hong Kong’s position as a leading green and sustainable finance centre in Asia and globally. It also facilitates regional cooperation, including in the Guangdong-Hong Kong-Macao Greater Bay Area. It has set up two work streams: (1) to study and address cross-sectoral regulatory issues, and (2) to coordinate cross-agency market development efforts.</p>

Month	Event
	<p>On 11 May, following a consultation in 2019, HKEX implemented the first phase enhancements of the Volatility Control Mechanism (VCM) in its securities market. The enhancements included: (1) expanding VCM stock coverage from constituent stocks of the Hang Seng Index and Hang Seng China Enterprises Index to constituent stocks of Hang Seng Composite LargeCap, MidCap and SmallCap Indexes; and (2) applying a tiered structure of triggering thresholds at $\pm 10\%$, $\pm 15\%$, and $\pm 20\%$ to the last traded price five minutes ago respectively for the constituent stocks of the three Hang Seng Composite Indexes.</p>
	<p>On 15 May, the Stamp Duty Ordinance Regulation (Amendment of Schedule 8) 2020 was gazetted and would become effective on 1 August 2020 upon no negative vetting by the Legislative Council on 20 May 2020. The amendments aimed to waive the stamp duty on stock transfers involving the activities of exchange traded fund (ETF) market makers in the course of allotting and redeeming ETF units listed in Hong Kong.</p>
	<p>On 18 May, Hang Seng Indexes Company Limited announced its consultation conclusions regarding the eligibility of weighted voting rights (WVR) companies and secondary-listed companies for inclusion in the Hang Seng Index (HSI) and the Hang Seng China Enterprises Index (HSCEI) and other index-related matters. The existing additional eligibility criteria (i.e. listing history, price volatility and financial performance) on Red-chips and P-chips (Mainland private enterprises) for joining the HSCEI would be removed to align the HSCEI constituent selection criteria for all share classes. In addition, H-share companies with unlisted share capital would become eligible for inclusion in the HSI universe. The changes would be implemented starting from the August 2020 index review.</p>
	<p>On 19 May, Laura M Cha was re-appointed as the Chairman of the Board of Directors of HKEX, with immediate effect until the conclusion of HKEX's annual general meeting to be held in 2022.</p>
	<p>On 23 May, HKEX arranged a Practice Session allowing Exchange Participants in the derivatives market to familiarize themselves with the situation where an unrecoverable issue occurs in specific matching engine partition(s), HKEX will suspend trading for the associated products in the concerned matching engine partition(s) while the trading of products in the remaining matching engine partitions will continue.</p>
	<p>On 27 May, HKEX announced that its wholly owned subsidiary, Hong Kong Futures Exchange Limited (HKFE), has signed a major licensing agreement with MSCI Inc. to license a suite of MSCI indices in Asia and Emerging Markets for the introduction of futures and options contracts in Hong Kong. A total of 37 futures and options contracts would be introduced.</p>
June	<p>On 1 June, HKEX introduced a new spread table and continuous quoting market making obligations for Exchange Traded Products (ETPs).</p> <p>On 2 June, HKFE announced the launch of four additional contract months for the INR/USD Futures contract and INR/CNH Futures contract.</p>

Month	Event
	<p>On 8 June, the enhancements on Block Trade facility in the derivatives market came into effect, with the aim to increase flexibility for Market Participants. The enhancements include: (1) Exchange Participants may now aggregate or combine separate orders when booking a Block Trade if the requirements under Rule 815A(2A) of the Rules, Regulations and Procedures of HKFE are met; (2) Exchange Participants may be allowed to enter orders for Block Trades into HKATS in the next available trading session under certain contingencies related scenarios.</p>
	<p>On 8 June, HKEX introduced the physically settled USD and CNH Silver Futures. USD and CNH Silver Futures were introduced as the second pair of physically settled dual-currency commodities futures products after the gold futures.</p>
	<p>On 9 June, the SFC launched a two-month consultation on proposals to amend the Code on Real Estate Investment Trusts (REITs) to provide Hong Kong REITs with more flexibility in making investments. The proposed changes included allowing REITs to make investments in minority-owned properties and in property development projects in excess of the existing limit of 10 per cent of gross asset value (GAV) subject to unitholders' approval, as well as increasing the borrowing limit for REITs from 45 per cent to 50 per cent of GAV. The deadline for responding to the consultation paper was 10 August 2020.</p>
	<p>On 10 June, the SFC released consultation conclusions on proposals to refine the scope of regulated activities so that the corporate treasury activities of non-financial groups and certain portfolio compression services would not be captured under the over-the-counter (OTC) derivatives licensing regime. The paper also set out the competence and continuous professional training requirements which would apply to those licensed or applying to be licensed under the regime.</p>
	<p>On 17 June, HKEX announced the launch of the HKEX Foundation and its first flagship charity partnership programme, in celebration of its 20th anniversary. The HKEX Foundation aims to drive and inspire change by supporting community projects that address various social and environmental challenges, helping to address global issues at a local level.</p>
	<p>To commemorate HKEX's 20th anniversary, the HKEX Foundation launched its flagship HKEX Charity Partnership Programme, initiating a \$20 million commitment to support long-term projects for community causes under four key themes, namely financial literacy, social diversity and inclusion, poverty relief, and environmental sustainability. The programme is managed by The Community Chest of Hong Kong, a long-standing charity partner of HKEX.</p>
	<p>On 18 June, HKEX announced the plans to launch the HKEX Sustainable and Green Exchange (STAGE). This pioneering new information platform is the first-of-its kind in Asia, and will act as a central hub for data and information on sustainable and green finance investments in the region. STAGE will promote the visibility, transparency, and accessibility of sustainable and green finance across asset class and product type. It will provide investors with access to a comprehensive database of sustainable and green investment options that are available on Hong Kong's securities markets. It will also act as a valuable education and advocacy platform, promoting knowledge sharing and stakeholder engagement in sustainable finance.</p>
	<p>On 27 June, HKEX celebrated the 20th anniversary of its own share listing.</p>

Month	Event
July	<p data-bbox="367 224 1449 302">On 1 July, Bond Connect Service Fee has been reduced by 20 per cent and 33 per cent for bonds with short and long tenor respectively.</p> <p data-bbox="367 347 1449 459">On 3 July, Bond Connect reached its 3rd anniversary. To further enhance Bond Connect scheme, the People's Bank of China announced four new measures including fee reduction, trading hour extension, expansion of trading platforms, and primary market service offering enhancements.</p> <p data-bbox="367 504 1449 705">On 6 July, HKEX launched the first futures contracts as part of its new index licensing agreement with MSCI Inc.. These consisted of 10 MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as Australia, Mainland China, India, Indonesia, Japan, Malaysia, Taiwan and Thailand. HKEX would launch the second tranche of seven USD-denominated MSCI index futures contracts on 20 July 2020.</p> <p data-bbox="367 750 1449 952">On 9 July, HKEX announced the launch of the Master Special Segregated Account (Master SPSA) service, as part of its latest service enhancement to support Stock Connect, HKEX's mutual market access programme with the Shanghai and Shenzhen stock exchanges. This is an optional service that would facilitate more efficient pre-trade checking of Northbound sell orders and average pricing execution at the fund manager level.</p> <p data-bbox="367 996 1449 1332">On 9 July, HKEX announced that its central counterparty (CCP) subsidiary, OTC Clearing Hong Kong Limited (OTC Clear), has become the first clearing house to offer clearing services of Hong Kong Dollar Interest Rate Swap (IRS) contracts benchmarked to the Hong Kong Dollar Overnight Index Average (HONIA) reference rate. The Treasury Markets Association identified HONIA as the alternative reference rate to the Hong Kong Interbank Offered Rate (HIBOR) in 2019, as part of an interest rate benchmark reform. OTC Clear has developed a proxy methodology that simulates a HONIA curve, helping to provide greater transparency to market participants to support the development of the HONIA market. Services would be available to the market in late July.</p> <p data-bbox="367 1377 1449 1713">On 13 July, HKEX shortened the listing cycle of structured products to three trading days from five trading days. Issuers are able to list their structured products, such as Derivative Warrants (DW), Callable Bull/Bear Contracts (CBBC) and Inline Warrants (IW), on the securities market for trading on the third trading day after their submission of term sheets. The new listing cycle enhances market efficiency on product issuance, and helps increase product choices for investors. A shorter listing cycle has been made possible by the adoption of the electronic submission of documents and other streamlined processes. The shorter cycle is applicable to all listed structured products on all eligible underlying assets.</p> <p data-bbox="367 1758 1449 1892">On 14-16 July, HKEX hosted the HKEX Commodities Forum. The three-day webinar provided an in-depth market outlook on ferrous metals, precious metals and base metals. Industry leaders gathered to discuss the development of the metals market and insight into the future of the sector.</p> <p data-bbox="367 1937 1449 2089">On 17 July, HKFE announced that the U.S. Commodity Futures Trading Commission (CFTC) has certified 23 broad-based equity index contracts within the MSCI Asia and Emerging Markets Suite — to be offered and sold to persons in the United States (US). US investors can trade the contracts within the US.</p>

Month	Event
	<p>On 20 July, HKEX launched the second tranche of MSCI futures contracts. These consist of seven MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as Singapore, Vietnam, Hong Kong, Philippines, Indonesia and emerging markets.</p> <p>On 24 July, the SEHK published a Consultation Paper on Proposals to Introduce a Paperless Listing & Subscription Regime, Online Display of Documents and a Reduction of the Types of Documents on Display to propose (1) requiring all listing documents in a defined new listing to be published solely in an electronic format and new listing subscriptions, where applicable, to be made through online electronic channels only; (2) replacing the requirement for certain documents to be physically displayed with a requirement for those documents to be published online (on the HKEX website and on the issuer's website); and (3) with respect to notifiable transactions and connected transactions, reducing the types of documents that are mandatory for an issuer to display.</p> <p>On 27 July, the first Hong Kong-listed A-share Leveraged and Inverse Products (L&I Products) were listed. These are ChinaAMC Direxion CSI 300 Index Daily (2x) Leveraged Product (stock code: 7272), ChinaAMC Direxion CSI 300 Index Daily (-1x) Inverse Product (stock code: 7373), CSOP CSI 300 Index Daily (2x) Leveraged Product (stock code: 7233) and CSOP CSI 300 Index Daily (-1x) Inverse Product (stock code: 7333).</p> <p>On 27 July, Hang Seng Indexes Company Limited launched the Hang Seng TECH Index. The new index tracks the 30 largest technology companies listed in Hong Kong that pass the index's screening criteria. The new index is calculated and disseminated in real-time at two-second intervals.</p>
August	<p>On 3 August, HKEX launched the third tranche of MSCI futures contracts. These consist of eight MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as India, China, Thailand, Malaysia, Philippines, Vietnam, Singapore and New Zealand.</p> <p>On 7 August, the SEHK published a Consultation Paper on the Review of Listing Rules Relating to Disciplinary Powers and Sanctions, to seek comments on a number of proposals and enhancements, with a particular emphasis on strengthening the SEHK's powers to hold accountable, and impose appropriate sanctions on, individuals responsible for misconduct and breaches of the Listing Rules.</p> <p>On 17 August, HKEX launched eight more MSCI futures contracts. These consisted of MSCI index futures contracts denominated in US dollars (USD) tracking net total return in equities in emerging markets and pacific markets.</p>

Month	Event
	<p>On 20 August, HKEX announced that Hong Kong Futures Exchange (HKFE) has expanded its licensing agreement with MSCI Limited to include the broad-based MSCI Taiwan 25/50 Index, and plans to launch US-dollar (USD) denominated MSCI Taiwan 25/50 Index Futures and MSCI Taiwan 25/50 Net Total Return Index Futures on 28 September 2020, subject to regulatory approvals. A pre-open trading session for the existing MSCI Taiwan (USD) Index Futures and MSCI Taiwan Net Total Return (USD) Index Futures contracts would be introduced starting at 8:30am Hong Kong time and the opening of the trading session will be advanced to 8:45am starting on 28 September 2020, subject to regulatory approvals. The 100% trading fee waiver for the MSCI Taiwan (USD) Index Futures and MSCI Taiwan Net Total Return (USD) Index Futures, effective on 17 August 2020, as well as the new opening hours, would also apply to the MSCI Taiwan 25/50 Index Futures after the contracts were launched.</p> <p>On 21 August, the SEHK published Consultation Conclusions on a Review of Chapter 37 – Debt Issues to Professional Investors Only. The enhancements sought to balance the need to safeguard investors whilst maintaining an effective and appropriate listing platform for the continued development of the bond market in Hong Kong. The Listing Rule amendments became effective on 1 November.</p> <p>On 28 August, HKEX issued a circular on the implementation of Sponsored Settlement Member (SSM) model by OTC Clearing Hong Kong Limited (OTC Clear), upon the approval of the SFC. OTC Clear would extend the existing client clearing model by introducing SSM as a new membership category, which was designed exclusively for Authorised Institutions registered under the HKMA to join OTC Clear with separate Notional Exchange Risk Limits from their sponsoring Clearing Member such that the SSM could manage their liquidity exposures with higher flexibility.</p> <p>On 28 August, the SEHK published Consultation Conclusions on the Codification of General Waivers and Principles relating to IPOs and Listed Issuers and Minor Rule Amendments. The SEHK adopted the consultation proposals, with modifications to reflect comments received, and the Listing Rule amendments became effective on 1 October.</p> <p>On 28 August, the SFC authorised two exchange-traded funds (ETFs) to be listed on the Stock Exchange of Hong Kong (SEHK) under a scheme which will facilitate cross-listing of ETFs between markets in Hong Kong and the Mainland. The two ETFs each invest in an ETF approved by the China Securities Regulatory Commission (CSRC) and currently listed on the Shenzhen Stock Exchange (SZSE). On the same day, the CSRC approved two ETFs to be listed on the SZSE under the same scheme. They each invest in an SFC-authorized ETF currently listed on the SEHK.</p>
September	<p>Effective 1 September, the enhanced capital-based position limit (CBPL) policy came into effect to provide higher capital efficiency to the market. HKCC and SEOCH CPs meeting certain criteria and with appropriate business needs are allowed to increase their CBPL by one-third of their existing limits.</p> <p>On 21 September, Bond Connect trading hours have been extended to 20:00 from 16:30 (China Standard Time) for transactions settling T+1 and beyond.</p>

Month	Event
	<p>On 24 September, Bond Connect investors were able to select up to three foreign exchange (FX) settlement banks to conduct their currency conversion and FX risk management needs.</p> <p>On 28 September, HKEX launched two new MSCI Taiwan 25/50 index futures and three non-USD denominated MSCI futures contracts. This completed the current phase of the MSCI futures launch pursuant to HKEX's new index licensing agreement with MSCI Inc. The five MSCI index futures contracts are: (1) MSCI Taiwan 25/50 (USD) Index Futures; (2) MSCI Taiwan 25/50 Net Total Return (USD) Index Futures; (3) MSCI Singapore Free (SGD) Index Futures; (4) MSCI Japan (JPY) Index Futures; and (5) MSCI Japan Net Total Return (JPY) Index Futures.</p> <p>Pre-market opening session was introduced for MSCI Taiwan contracts, starting at 8:30am Hong Kong time and the opening of the trading session was advanced to 8:45am for these contracts.</p> <p>On 29 September, Charles Li informed the Board of Directors of HKEX (the Board) of his wish to retire early from his role as the Chief Executive of HKEX. He would remain in his role as the CE of HKEX and an ex-officio member of the Board until 31 December 2020, and would take up the role of Senior Advisor to the Board for six months, effective on 1 January 2021. The Board announced the appointment of Calvin Tai as Interim Chief Executive of HKEX and ex-officio member of the Board, effective on 1 January 2021, following Mr Li's retirement.</p> <p>On 30 September, HKEX offered a credit of HK\$10,000 to every trading Exchange Participant, recognising the impact of the volatile economic conditions on the Exchange Participants.</p>
October	<p>On 3 October, HKFE announced that the U.S. Commodity Futures Trading Commission (CFTC) has certified two broad-based equity index contracts — MSCI Taiwan 25/50 (USD) Index Futures and MSCI Taiwan 25/50 Net Total Return (USD) Index Futures — to be offered and sold to persons in the United States (US). US investors can trade the contracts within the US.</p> <p>On 14 October, HKFE announced that the U.S. Commodity Futures Trading Commission (CFTC) has certified three broad-based equity index futures — MSCI Singapore Free (SGD) Index Futures — MSCI Japan (JPY) Index Futures and MSCI Japan Net Total Return (JPY) Index Futures, to be offered and sold to persons in the United States (US). US investors can trade the contacts within the US.</p> <p>On 15 October, Haitong MSCI China A ESG ETF authorised under collective investment scheme by the SFC commenced trading on the SEHK and admitted to the list of Designated Securities eligible for short selling. It was the first ESG China A-shares ETF to provide ESG-focused exposure to China's onshore equity market.</p> <p>On 15 October, Samsung S&P High Dividend APAC ex NZ REITs ETF authorised by the SFC under collective investment scheme commenced trading on the Exchange. It was the first REITs ETF listed in Hong Kong.</p>

Month	Event
	<p>On 19 October, following a consultation in 2019, HKEX launched a range of enhancements to the Pre-Opening Session (POS) in its securities market. The enhancements to POS involved adopting relevant auction features from the more-established Closing Auction Session (CAS), with the aim of further improving price discovery and trading liquidity. Key enhancements included: (1) Introduction of a two-stage price limit to curb excessive price movements during the POS, the first-stage price limit will be $\pm 15\%$ against the security's previous closing price; (2) Securities coverage adjusted to align with CAS; (3) Addition of randomised auction matching; (4) Allowing at-auction limit orders throughout the POS; (5) Allowing short selling orders with a price not lower than the previous closing price; and (6) Enhanced market transparency through more market data disclosures.</p>
	<p>On 20 October, Bond Connect Company Limited (BCCL) launched ePrime, an international electronic bond issuance system for book building, pricing, and allocation of offshore securities. Agricultural Development Bank of China (ADBC) and China Development Bank (CDB) completed their offshore RMB bond issuance via ePrime and successfully raised RMB5.7 billion and RMB6.5 billion respectively.</p>
	<p>On 23 October, the first batch of two Exchange Traded Funds (ETFs) in Hong Kong and two ETFs in Shenzhen were listed under the Hong Kong-Mainland ETF Cross-listing Scheme, which facilitates cross-listing of ETFs between markets in Hong Kong and Mainland China. The two ETFs listed in Hong Kong are CSOP Yinhuo CSI 5G Communications Theme ETF (Stock code: 3193) and Hang Seng Harvest CSI 300 Index ETF (Stock code: 3130/83130). Through the Renminbi Qualified Foreign Institutional Investor (RQFII) status, each invests 90 per cent or more of its total net asset value in an ETF approved by the China Securities Regulatory Commission (CSRC) and currently listed on the Shenzhen Stock Exchange (SZSE).</p>
	<p>On 23 October, HKEX announced plans to extend trading and clearing of all MSCI derivatives contracts to cover Hong Kong public holidays. The aim is to support the global trading community in managing their risks and optimising their portfolios. Hang Seng Index and Hang Seng China Enterprises Index derivatives will not be under the scope of holiday trading at this stage. Implementation of holiday trading is subject to further discussion with market participants on their readiness and regulatory approval.</p>
	<p>On 29 October, T+N settlement was electronically available for Bond Connect trading. Bond Connect investors were able to select T+N settlement cycles on the electronic trading platform, without the need for paper submission.</p>
	<p>On 30 October, the SEHK published Consultation Conclusions on Corporate WVR beneficiaries. The SEHK decided to make an addition to grandfathering arrangements for issuers controlled by corporate weighted voting right beneficiaries that seek a secondary listing under Chapter 19C of the Listing Rules and that primary listed on certain exchanges on or before 30 October.</p>
November	<p>On 5 November, HKEX announced that it would introduce futures and options contracts based on the Hang Seng TECH Index on 23 November 2020 and 18 January 2021 respectively, subject to regulatory approval and market readiness. The Hang Seng TECH Index Futures and Options would be the first exchange-traded derivatives contracts to track the index, complementing HKEX's flagship Hang Seng Index and Hang Seng China Enterprise Index products.</p>

Month**Event**

On 16 November, HKEX published a Concept Paper seeking market feedback on its proposal to comprehensively modernise and digitalise Hong Kong's initial public offering (IPO) settlement process. Under the proposal, HKEX would introduce a new web-based service called FINI (Fast Interface for New Issuance) that enables IPO market participants, advisers and regulators to interact digitally and seamlessly on the many steps that comprise the end-to-end IPO settlement process. The introduction of FINI would shorten the time gap between IPO pricing and trading from its current average of more than five business days to as little as one business day, reducing the settlement timeframe by as much as 80%. HKEX's Listing Division, wholly-owned subsidiary Hong Kong Securities Clearing Company (HKSCC, who will manage FINI), and the SFC would use FINI to oversee the settlement process for each IPO. The deadline for responding to the Concept Paper was 15 January 2021.

On 23 November, Hang Seng TECH Index Futures were launched on HKEX's derivatives market. DWs and CBBCs linked to Hang Seng TECH Index were also listed on HKEX's securities market on the same day.

On 24 November, HKEX announced the plan to launch HKEX Synapse in 2022, a new settlement acceleration platform for Stock Connect programme. Using DAML smart contracts, HKEX Synapse would standardise and streamline the post-trade workflows of Northbound Stock Connect, maximising efficiencies for market participants in a transparent, secure and reliable manner, with improved connectivity and enhanced capacity. HKEX Synapse would help institutional investors participating in Northbound Stock Connect to better manage their post-trade operations across different time zones, in particular with regard to adhering to the Mainland securities market's T+0 settlement cycle.

On 27 November, HKEX announced it reached an agreement with Shanghai Stock Exchange (SSE) and Shenzhen Stock Exchange (SZSE) on the Stock Connect inclusion arrangements for eligible pre-revenue biotech companies listed in Hong Kong, as well as for eligible A-shares listed on the SSE's Sci-Tech Innovation Board (STAR Market). Inclusion of eligible biotech companies listed in Hong Kong for Southbound trading was implemented on 28 December 2020. Inclusion of eligible stocks listed on STAR Market for Northbound trading took place on 1 February 2021.

On 27 November, the SEHK published a Consultation Paper on the Main Board Profit Requirement, to propose increasing the profit requirement for a Main Board Listing to enhance the distinction between a Main Board and GEM listing in Hong Kong, offer issuers clearer choice, and investors greater clarity.

On 30 November, HKSCC started using the HKD Real Time Gross Settlement (RTGS) account with the Hong Kong Monetary Authority for settling the HKD central counterparty related payment (ie, CNS related payment and risk management payment) with Clearing Participants (CP). This arrangement improves CPs' liquidity for money settlement and aligns with international standards and practice (Principle 9 of PFMI) on managing credit and liquidity risk exposure.

Month	Event
December	<p>On 1 December, HKEX announced the official launch of the Sustainable and Green Exchange (STAGE), Asia's first multi-asset sustainable investment product platform, supporting fast-growing global demand for sustainable finance. STAGE platform is an online product repository, which at launch featured 29 HKEX-listed sustainable-themed products from leading Asian corporates. Over time, the scope of the product repository would increase across asset class, industry, in Hong Kong and beyond. STAGE allows issuers to provide investors with more information on their sustainable investment products, promoting transparency and facilitating access. Issuers included on STAGE must provide additional voluntary disclosures on their sustainable investment products, such as use of proceeds reports, as well as annual post issuance reports. STAGE also promotes market education, knowledge sharing and stakeholder engagement in sustainable finance.</p> <p>On 1 December, Listing e-forms were launched, covering 17 templates of new applicants, Main Board issuers, GEM Board issuers and Debt Securities issuers forms. The objectives are to (a) standardise the presentation of routine information in order to reduce processing time; (b) reduce the risk of manual input error through the use of data validation within e-Form fields; and (c) assist the Exchange in collecting and analysing data more efficiently.</p> <p>On 4 December, the SFC launched a consultation on proposals to introduce investor identification regime at the trading level for the securities market in Hong Kong. Under the proposed regime, each on-exchange securities order submitted and off-exchange trade reported to SEHK will be tagged with a Broker-Client Assigned Number (BCAN) which is unique to each client. Client identification data would also need to be submitted to a data repository managed by HKEX. Where necessary, this will enable the SFC to map the order or trade to the client identity information stored in the data repository together with the BCAN. The proposal is expected to enhance market surveillance by identifying the originators of orders and trades. In addition, a separate securities transactions reporting regime is proposed for over-the-counter (OTC) securities transactions in ordinary shares and real estate investment trusts listed on SEHK. Unlike the proposed HK Investor ID Regime, OTC securities transactions information will be reported to the SFC directly and will be implemented at a later stage.</p> <p>On 7 December, HKEX introduced a new set of Large-Scale Error Trade (LET) procedures to supplement the existing set of Error Trade procedures in the derivatives market aiming to enhance the HKEX's ability to handle LET in a timely manner.</p> <p>On 11 December, the SEHK published the findings of its latest review of issuers' corporate governance practices and market practitioners' insights entitled "Making inroads into good Corporate Governance and ESG management – Perspectives from industry practitioners". The review provides guidance to issuers on possible improvements to their corporate governance practices. The practitioners insights presents a collection of experiences shared by market practitioners, with an aim to help directors of listing applicants and newly listed companies think holistically about building and integrating corporate governance and ESG considerations into their business strategy to achieve long-term value for their organisations.</p>

Month	Event
	<p>On 17 December, the Green and Sustainable Finance Cross-Agency Steering Group (Steering Group) announced its green and sustainable finance strategy for Hong Kong. The Strategic Plan sets out six key focus areas including (i) Risk Management; (ii) Disclosure; (iii) Capacity Building; (iv) Innovation; (v) Mainland Opportunities; and (vi) Collaboration, for strengthening Hong Kong's financial ecosystem to support a greener and more sustainable future in the longer term. The Steering Group also agreed to implement five near-term action points including (i) mandatory TCFD-aligned disclosures across all relevant sectors no later than 2025; (ii) adopt Common Ground Taxonomy; (iii) support work of IFRS Foundation on sustainability reporting; (iv) promote climate-focused scenario analysis; and (v) establish a platform to act as a focal point for cross-sectoral capacity building and repository of relevant resources. The Steering Group acknowledges that climate change is a global issue which requires a global solution. In addition, other environmental, social and governance factors may also impact businesses.</p>
	<p>On 18 December, the SEHK published Consultation Conclusions on Paperless Listing & Subscription Regime, Online Display of Documents and Reduction of the Types of Documents on Display. The Listing Rule amendments announced enhance the efficiency of the listing process, save issuers' time and cost and minimise paper consumption. Listing Rule amendments relating to the Paperless Listing and Subscription Regime take effect on 5 July 2021 and Listing Rule amendments relating to the Online Display of Documents and the Reduction of Documents on Display take effect on 4 October 2021.</p>
	<p>On 22 December, Hang Seng Indexes Company Limited published a consultation paper to solicit market feedback on the proposals to enhance the Hang Seng Index (HSI) to ensure it remains the most representative and important benchmark of the Hong Kong stock market. The consultation covered various issues, including selection of constituents, number of constituents and constituent weightings. The deadline for responding to the consultation was 24 January 2021.</p>
	<p>On 23 December, HKFE announced that the U.S. Commodity Futures Trading Commission (CFTC) has certified the Hang Seng TECH Index Futures to be offered and sold to persons in the United States (US). US investors can trade the contracts within the US.</p>
	<p>On 23 December, HKEX announced that its central counterparty (CCP) subsidiary, OTC Clearing Hong Kong Limited (OTC Clear), has successfully completed its first client clearing trades for Japanese financial institutions, further expanding the reach of HKEX's fixed-income and currency product offering in the region and internationally. These trades included a US Dollar-Offshore Renminbi Cross Currency Swap (USDCNH CCS) trade by Mizuho Bank and a US Dollar-Hong Kong Dollar (USDHKD CCS) trade by MUFG Bank, with the Hongkong & Shanghai Banking Corporation acting as the clearing broker for both trades. The latest trades underscored the growing client demand for cross currency swaps (CCS), supporting the healthy expansion of OTC Clear's liquidity pool for CCS.</p>
	<p>To support the development of a sustainable and green environment, on 30 December, HKEX announced the cessation of issuing or re-issuing any Exchange Participant Certificates to Exchange Participants, and discontinue making available to an Exchange Participants or other person hard copies of any rule book or rule update effective from 1 January 2021.</p>

Month	Event
	<p>The Orion Risk System (previously known as Next Generation Risk Management System), which was developed based on the new risk models to enhance margin efficiency of the market, was successfully launched internally. The Familiarisation Programme aiming to facilitate HKSCC CPs' understanding on the new risk models and system was prepared and would begin in January 2021.</p>

Stock market highlights – Main Board

	Year		
	2018	2019	2020
Listed securities (as at year end)			
No. of listed companies	1,926	2,071	2,170
No. of listed securities	12,900	12,416	16,672
No. of new listed companies ⁽¹⁾	143	168	146
New listing companies	133	148	138
Transfer of listing from GEM	10	20	8
Total market capitalisation (HK\$mil)	29,723,238.27	38,058,338.10	47,392,196.36
Equity funds raised (HK\$mil)	533,216.29	449,970.18	743,399.85
Trading			
No. of trading days	246	246	248
Total turnover value (HK\$mil) ⁽²⁾			
Annual	26,295,274.58	21,390,188.28	32,023,781.80
Average daily	106,891.36	86,951.98	129,128.15
Total turnover volume (mil. shs)			
Annual	55,311,909.01	60,007,451.66	54,517,842.65
Average daily	224,845.16	243,932.73	219,830.01
Total number of trades (deals)			
Annual	388,181,359	354,988,721	484,012,309
Average daily	1,577,973	1,443,044	1,951,663
Stock price indices			
S&P/HKEX LargeCap Index (28 February 2003 = 10000)			
High	43382.63	38698.57	42919.28
Low	31673.89	32635.85	29222.61
Year-end	33622.78	37103.19	41899.21
Hang Seng Index (31 July 1964 = 100)			
High	33154.12	30157.49	29056.42
Low	24585.53	25064.36	21696.13
Year-end	25845.70	28189.75	27231.13
Market ratios ⁽³⁾			
Average dividend yield (%)	3.34	2.73	1.98
Average P/E ratio (times)	10.45	13.28	17.55
Annual turnover velocity (%)	65.04	40.85	54.06
Number of Exchange participants	673	704	700

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Calculations are based on year-end figures of all listed stocks.

Stock market highlights – GEM

	Year		
	2018	2019	2020
Listed securities (as at year end)			
No. of listed companies	389	378	368
No. of listed securities	390	379	369
No. of new listed companies	75	15	8
Total market capitalisation (HK\$mil)	186,183.32	106,699.32	130,822.84
Equity funds raised (HK\$mil)	10,918.54	4,277.10	3,555.73
Trading			
No. of trading days	246	246	248
Total turnover value (HK\$mil) ⁽¹⁾			
Annual	127,487.49	49,860.88	86,366.10
Average daily	518.24	202.69	348.25
Total turnover volume (mil. shs)			
Annual	334,890.26	190,020.19	197,684.82
Average daily	1,361.34	772.44	797.12
Total number of trades (deals)			
Annual	4,629,493	2,418,371	2,626,527
Average daily	18,819	9,831	10,591
Stock price indices			
S&P/HKEX GEM Index (28 February 2003 = 1000)			
High	263.65	141.52	133.80
Low	140.05	76.56	74.09
Year-end	146.01	79.44	133.80
Market ratios⁽²⁾			
Average dividend yield (%)	0.45	1.79	0.51
Average P/E ratio (times)	28.16	22.04	29.59
Annual turnover velocity (%)	68.47	46.73	66.02

Notes:

(1) Turnover values have been adjusted for late reported and rejected sales.

(2) Calculations are based on year-end figures of GEM stocks.

Stock market highlights – Main Board and GEM

	Year									
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Listed securities (as at year end)										
No. of listed companies	1,496	1,547	1,643	1,752	1,866	1,973	2,118	2,315	2,449	2,538
No. of listed securities	6,723	6,903	8,522	9,060	9,015	8,591	12,803	13,290	12,795	17,041
No. of new listed companies ⁽¹⁾	101	64	110	122	138	126	174	218	183	154
New listing Companies	89	62	102	115	124	120	161	208	163	146
Transfer of listing from GEM	12	2	8	7	14	6	13	10	20	8
Total market capitalisation (HK\$bil)	17,537.26	21,950.13	24,042.81	25,071.83	24,683.73	24,761.31	33,998.84	29,909.42	38,165.04	47,523.02
Equity funds raised (HK\$bil)	490.39	305.36	378.86	942.72	1,115.64	490.05	581.39	544.13	454.25	746.96
Initial public offers	259.79	90.04	168.96	232.52	263.09	195.32	128.54	288.01	314.24	400.14
Secondary market	230.60	215.32	209.90	710.20	852.55	294.74	452.85	256.13	140.01	346.82
Trading										
No. of trading days	246	247	244	247	247	245	246	246	246	248
Total turnover value (HK\$bil) ⁽²⁾										
Annual	17,154.07	13,301.05	15,264.63	17,155.73	26,090.62	16,396.42	21,709.16	26,422.76	21,440.05	32,110.15
Average daily	69.73	53.85	62.56	69.46	105.63	66.92	88.25	107.41	87.15	129.48
Total turnover volume (bil. shs)										
Annual	39,907.28	33,967.55	34,439.74	34,286.67	53,693.91	45,611.90	44,282.87	55,646.80	60,197.47	54,715.53
Average daily	162.22	137.52	141.15	138.81	217.38	186.17	180.01	226.21	244.71	220.63
Total number of trades (deals)										
Annual	214,853,621	191,608,513	229,470,737	262,437,450	355,749,145	264,849,916	305,081,540	392,810,852	357,407,092	486,638,836
Average daily	873,389	775,743	940,454	1,062,500	1,440,280	1,081,020	1,240,169	1,596,792	1,452,874	1,962,253
Trading by products (HK\$bil)										
Equities	12,068.96	9,529.07	11,221.86	12,636.75	17,482.24	11,173.11	16,202.48	19,460.96	15,597.90	25,708.69
Equity warrants	0.93	0.08	0.08	3.06	3.98	0.35	0.20	0.02	0.01	0.02
Derivative warrants	2,629.89	1,646.44	1,783.31	2,044.51	4,504.08	2,727.00	3,007.66	3,866.14	2,418.14	2,260.92
Inline warrants	-	-	-	-	-	-	-	-	0.16	1.07
CBBCs	1,852.14	1,533.15	1,269.23	1,229.96	1,836.85	1,371.57	1,189.17	1,837.31	1,995.45	2,335.29
ELIs	-	-	-	-	-	-	-	-	-	-
Debt securities	0.84	2.77	4.46	6.09	9.38	21.28	60.50	48.39	60.69	65.54
Unit trusts and mutual funds	601.32	589.54	985.69	1,235.36	2,254.09	1,103.11	1,249.16	1,209.93	1,367.71	1,738.62
ETFs	545.30	522.08	903.13	1,167.68	2,170.96	1,011.41	1,065.38	987.25	1,076.53	1,215.21
L&I Products	-	-	-	-	-	0.51	94.09	109.85	141.42	373.47
REITs	55.52	67.15	82.36	67.42	82.03	91.09	89.41	112.58	149.65	149.70
Others	0.50	0.31	0.20	0.27	1.10	0.11	0.28	0.25	0.10	0.24
Market ratios ⁽³⁾										
Average dividend yield (%)	3.30	2.80	2.98	3.42	3.42	3.21	2.77	3.32	2.73	1.97
Average P/E ratio (times)	9.71	10.52	11.28	10.94	9.99	10.64	16.42	10.50	13.30	17.57
Annual turnover velocity (%)	68.82	43.41	46.67	50.40	70.82	45.12	47.66	65.07	40.87	54.10

Notes:

- (1) Figures includes the number of companies for transfer of listing from GEM.
- (2) Turnover values have been adjusted for late reported and rejected sales.
- (3) Calculations are based on year-end figures of all listed stocks.

Market highlights – Derivatives market highlights

	Year		
	2018	2019	2020
All futures and options products (excluding stock options)			
No. of trading days	246	246	249
Contract volume	168,903,975	154,071,904	151,203,540
Equity index products	165,867,271	150,490,751	147,757,174
Equity products	863,027	917,358	1,141,729
Interest rates products	759	270	314
RMB Currency products	1,809,962	1,993,701	1,781,008
INR Currency Futures [†]	–	11,136	169,336
Gold Futures	351,706	573,173	68,682
Silver Futures [‡]	–	–	1,282
Iron Ore Futures	9,517	55,944	97,048
London Metal Mini Futures	1,733	29,571	186,967
Average daily	686,602	626,309	607,243
Open interest at the year end	3,381,251	2,996,013	2,318,939
Equity index products	3,321,233	2,939,047	2,263,490
Equity products	20,476	27,277	22,965
Interest rates products	272	60	15
RMB Currency products	37,909	26,279	30,858
INR Currency Futures [†]	–	175	237
Gold Futures	236	1,137	68
Silver Futures [‡]	–	–	–
Iron Ore Futures	1,124	1,685	1,174
London Metal Mini Futures	1	353	132
[†] Trading in INR Currency Futures commenced on 4 Nov 2019 [‡] Trading in Silver Futures commenced on 8 Jun 2020			
Stock options			
No. of stock options classes at the year end	96	96	107
No. of stock options series at the year end	36,474	34,652	43,798
No. of newly introduced stock options classes	13	2	11
No. of trading days	246	246	249
Contract volume			
Annual total	127,279,101	108,813,894	131,021,660
Average daily	517,395	442,333	526,191
Premium (HK\$mil)			
Annual total	105,598	80,588	165,632
Average daily	429	328	665
Number of trades			
Annual total	5,107,002	4,588,509	6,687,772
Average daily	20,760	18,652	26,859
Open interest at the year end	7,213,486	6,702,538	8,942,852
Contracts exercised	11,859,388	10,661,047	12,179,607
Average premium per contract (HK\$)	830	741	1,264
Average contract per trade (contracts)	25	24	20
No. of market makers	28	28	29
Put volume/call volume	0.98	0.98	0.89
Average daily contract volume/open interest	7.2%	6.6%	5.9%
All futures and options products			
Contract volume	296,183,076	262,885,798	282,225,200
Open interest at the year end	10,594,737	9,698,551	11,261,791

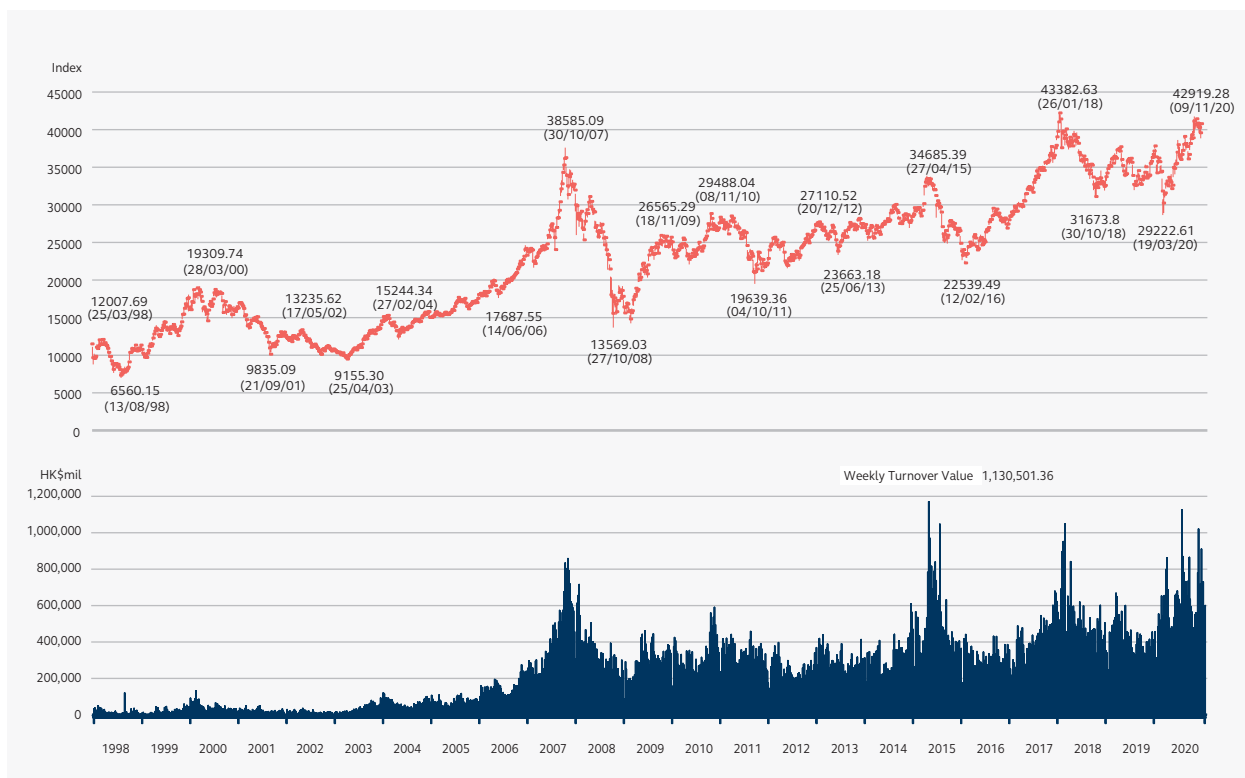
Market highlights – Clearing and Settlement

	Year		
	2018	2019	2020
CCASS Statistics			
Average Daily Exchange Trades Handled by CCASS			
– Number of trades	1,596,771	1,452,847	1,962,225
– Value of trades (HK\$bil)	107.2	86.9	129.2
– Share quantity Involved (bil. shs)	226.2	244.7	220.6
Average Daily Settlement Instructions (“SIs”) Settled by CCASS			
– Number of SIs	103,033	94,951	114,948
– Value of SIs (HK\$bil)	266.2	238.4	346.1
– Share quantity involved (bil. shs)	50.3	44.8	48.6
Average Daily Investor SIs (“ISIs”) Settled by CCASS			
– Number of ISIs	305	233	280
– Value of ISIs (HK\$mil)	211.7	158.3	219.3
– Share quantity involved (mil. shs)	133.3	150.1	65.1
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.90	99.92	99.91
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99	99.99
Average Daily Buy-ins Executed on T+3			
– Number of brokers involved	4	3	3
– Number of buy-ins	4	3	4
– Value of buy-ins (HK\$mil)	4.3	1.5	8.1
Shares Deposited in the CCASS Depository			
– Number of shares (bil. shs)	6,644.4	6,500.6	6,869.8
– Percentage of total issued shares of the admitted securities	76.15	75.41	76.84
– Value of shares (HK\$bil)	18,815.1	22,828.2	30,271.5
– Percentage of the total market capitalisation of the admitted securities	58.78	56.79	60.92
DCASS Statistics			
	2018	2019	2020
Open Interest as at the year end (contracts)			
– Equity Index Futures	708,430	604,384	566,431
– Stock Futures	20,476	27,277	22,965
– Interest Rates Futures	272	60	15
– RMB Currency Futures	32,284	24,636	28,375
– INR Currency products *	–	175	237
– Gold Futures	236	1,137	68
– Silver Futures *	–	–	0
– Iron Ore Futures	1,124	1,685	1,174
– London Metal Mini Futures	1	353	132
– Equity Index Options	2,612,803	2,334,663	1,697,059
– Stock Options	7,213,486	6,702,538	8,942,852
– RMB Currency Options	5,625	1,643	2,483
Cumulative Stock Options Exercised			
– Share quantity involved (mil. shs)	10,683	11,811	12,078
– Value of shares (HK\$bil)	282	242	316

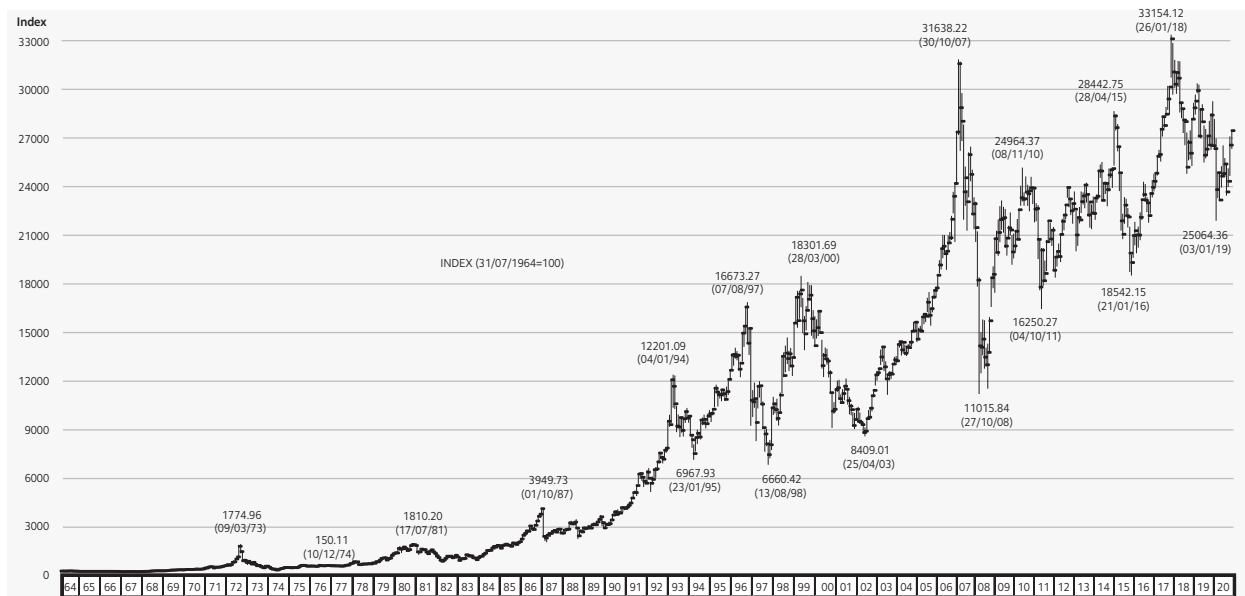
* Trading in INR Currency products commenced on 4 Nov 2019.

* Trading in Silver Futures commenced on 8 Jun 2020.

S&P/HKEX LargeCap Index, weekly movement 1998-2020



Hang Seng Index, monthly movement 1964-2020



S&P/HKEX LargeCap Index, daily closing (2020)

28 February 2003 = 10000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	32006.08	-	34488.99	-	-	40023.32	-	-	41709.28
2	37693.07	-	35363.61	32354.86	-	34794.85	37381.42	-	40072.02	-	40560.00	41292.63
3	37637.15	35221.84	35355.76	32287.81	-	35358.16	37926.39	37074.01	39567.84	-	41077.87	41548.05
4	-	35707.44	35334.61	-	33064.59	35532.16	-	38170.72	39139.16	-	40903.22	41989.44
5	-	35816.19	36148.14	-	33411.98	35836.33	-	38370.18	-	38247.32	42548.80	-
6	37484.61	36767.21	35293.95	32907.16	33815.82	-	39035.52	38244.39	-	38637.11	42231.09	-
7	37661.24	36665.67	-	33541.94	33586.97	-	38421.59	37632.64	38885.30	39260.03	-	41430.38
8	37267.19	-	-	33179.73	34039.58	35681.73	39252.66	-	38739.13	39230.08	-	41276.40
9	37906.03	-	33776.43	33530.41	-	36064.03	39674.95	-	38456.91	39158.01	42919.28	41547.22
10	38102.90	36301.20	34298.44	-	-	36394.11	38964.40	37289.96	38277.50	-	42033.26	41335.56
11	-	36792.10	34058.09	-	34574.25	35843.38	-	37825.45	38742.58	-	40623.38	41658.54
12	-	37153.92	32818.11	-	34185.79	35687.51	-	38130.72	-	40109.74	41086.72	-
13	38660.19	37063.23	32535.12	-	34188.71	-	38826.24	38028.42	-	-	41705.48	-
14	38551.50	37113.02	-	33734.43	33877.85	-	38179.17	38188.13	39029.35	40460.77	-	41183.13
15	38472.18	-	-	33430.78	33753.43	34881.08	38331.32	-	39108.40	39294.57	-	40664.91
16	38536.64	-	31152.55	33407.27	-	35829.80	37197.95	-	39196.95	39817.95	42069.70	41172.33
17	38799.26	37304.70	31403.77	33932.72	-	35953.76	37523.39	38476.88	38546.06	-	41649.82	41696.91
18	-	36800.83	29999.29	-	34051.67	36032.19	-	38972.46	38738.04	-	41733.14	41356.50
19	-	37019.73	29222.61	-	34715.31	36296.78	-	38702.65	-	39925.07	41207.42	-
20	38421.81	37034.81	30865.27	33828.13	34915.35	-	37490.61	38312.58	-	40009.35	41790.65	-
21	37248.75	36604.32	-	33136.86	34743.16	-	38739.82	39018.37	38066.00	40402.44	-	41003.25
22	37826.34	-	-	33401.72	32863.21	36198.43	37637.80	-	37871.03	40454.88	-	40740.58
23	37189.57	-	29490.22	33497.45	-	36930.73	38123.21	-	38084.54	40377.26	42037.97	41098.03
24	37277.40	35832.00	30863.94	33256.96	-	36873.08	37077.53	40158.53	37196.29	-	42135.25	40623.82
25	-	36051.82	32047.71	-	33081.30	-	-	39832.97	36997.12	-	41793.20	-
26	-	35802.31	31891.19	-	33808.57	36398.64	-	39961.22	-	-	42433.18	-
27	-	36061.41	32089.00	33847.98	33568.93	-	36827.18	40123.91	-	40310.78	42578.24	-
28	-	35170.77	-	34280.77	33372.61	-	37320.48	40138.19	37293.87	40779.11	-	39877.27
29	36241.35	-	-	34430.65	33356.45	36093.84	37401.45	-	37115.43	40911.75	-	40604.09
30	35248.03	-	31676.88	-	-	36309.38	37118.31	-	37637.19	39945.68	41334.74	41825.43
31	35075.44	-	32419.21	-	-	-	36931.62	39672.25	-	-	-	41899.21
High	38799.26	37304.70	36148.14	34430.65	34915.35	36930.73	39674.95	40158.53	40072.02	40911.75	42919.28	41989.44
Low	35075.44	35170.77	29222.61	32006.08	32863.21	34488.99	36827.18	37074.01	36997.12	38247.32	40560.00	39877.27
Avg	37565.03	36414.23	32641.09	33368.09	33848.78	35879.95	37971.96	38682.13	38490.18	39851.77	41735.83	41251.50

S&P/HKEX LargeCap Index, daily movement (2020)



Hang Seng Index, daily closing (2020)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	23085.79	-	23732.52	-	-	25184.85	-	-	26567.68
2	28543.52	-	26291.68	23280.06	-	23995.94	25124.19	-	25120.09	-	24460.01	26532.58
3	28451.50	26356.98	26284.82	23236.11	-	24325.62	25373.12	24458.13	25007.60	-	24939.73	26728.50
4	-	26675.98	26222.07	-	23613.80	24366.30	-	24946.63	24695.45	-	24886.14	26835.92
5	-	26786.74	26767.87	-	23868.66	24770.41	-	25102.54	-	23767.78	25695.92	-
6	28226.19	27493.70	26146.67	23749.12	24137.48	-	26339.16	24930.58	-	23980.65	25712.97	-
7	28322.06	27404.27	-	24253.29	23980.63	-	25975.66	24531.62	24589.65	24242.86	-	26506.85
8	28087.92	-	-	23970.37	24230.17	24776.77	26129.18	-	24624.34	24193.35	-	26304.56
9	28561.00	-	25040.46	24300.33	-	25057.22	26210.16	-	24468.93	24119.13	26016.17	26502.84
10	28638.20	27241.34	25392.51	-	-	25049.73	25727.41	24377.43	24313.54	-	26301.48	26410.59
11	-	27583.88	25231.61	-	24602.06	24480.15	-	24890.68	24503.31	-	26226.98	26505.87
12	-	27823.66	24309.07	-	24245.68	24301.38	-	25244.02	-	24649.68	26169.38	-
13	28954.94	27730.00	24032.91	-	24180.30	-	25772.12	25230.67	-	-	26156.86	-
14	28885.14	27815.60	-	24435.40	23829.74	-	25477.89	25183.01	24640.28	24667.09	-	26389.52
15	28773.59	-	-	24145.34	23797.47	23776.95	25481.58	-	24732.76	24158.54	-	26207.29
16	28883.04	-	23063.57	24006.45	-	24344.09	24970.69	-	24725.63	24386.79	26381.67	26460.29
17	29056.42	27959.60	23263.73	24380.00	-	24481.41	25089.17	25347.34	24340.85	-	26415.09	26678.38
18	-	27530.20	22291.82	-	23934.77	24464.94	-	25367.38	24455.41	-	26544.29	26498.60
19	-	27655.81	21709.13	-	24388.13	24643.89	-	25178.91	-	24542.26	26356.97	-
20	28795.91	27609.16	22805.07	24330.02	24399.95	-	25057.99	24791.39	-	24569.54	26451.54	-
21	27985.33	27308.81	-	23793.55	24280.03	-	25635.66	25113.84	23950.69	24754.42	-	26306.68
22	28341.04	-	-	23893.36	22930.14	24511.34	25057.94	-	23716.85	24786.13	-	26119.25
23	27909.12	-	21696.13	23977.32	-	24907.34	25263.00	-	23742.51	24918.78	26486.20	26343.10
24	27949.64	26820.88	22663.49	23831.33	-	24781.58	24705.33	25551.58	23311.07	-	26588.20	26386.56
25	-	26893.23	23527.19	-	22952.24	-	-	25486.22	23235.42	-	26669.75	-
26	-	26696.49	23352.34	-	23384.66	24549.99	-	25491.79	-	-	26819.45	-
27	-	26778.62	23484.28	24280.14	23301.36	-	24603.26	25281.15	-	24787.19	26894.68	-
28	-	26129.93	-	24575.96	23132.76	-	24772.76	25422.06	23476.05	24708.80	-	26314.63
29	27160.63	-	-	24643.59	22961.47	24301.28	24883.14	-	23275.53	24586.60	-	26568.49
30	26449.13	-	23175.11	-	-	24427.19	24710.59	-	23459.05	24107.42	26341.49	27147.11
31	26312.63	-	23603.48	-	-	-	24595.35	25177.05	-	-	-	27231.13
High	29056.42	27959.60	26767.87	24643.59	24602.06	25057.22	26339.16	25551.58	25184.85	24918.78	26894.68	27231.13
Low	26312.63	26129.93	21696.13	23085.79	22930.14	23732.52	24595.35	24377.43	23235.42	23767.78	24460.01	26119.25
Avg	28214.35	27214.74	24107.05	24008.82	23807.58	24478.38	25316.15	25100.19	24253.18	24440.39	26119.76	26524.84

Note: HSI is compiled by Hang Seng Indexes Company Limited.

Hang Seng Index, daily movement (2020)



HSI Volatility Index, daily closing (2020)

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	43.11	-	28.49	-	-	23.69	-	-	19.31
2	15.13	-	28.74	37.50	-	27.74	24.42	-	23.13	-	27.40	18.52
3	15.86	22.98	25.85	35.51	-	26.48	23.70	23.56	22.92	-	25.88	18.15
4	-	21.18	23.67	-	35.96	25.63	-	23.36	25.07	-	24.28	17.38
5	-	21.37	21.80	-	32.51	24.93	-	22.53	-	22.80	21.63	-
6	16.62	20.87	26.42	31.37	29.58	-	26.48	22.05	-	21.49	22.00	-
7	16.04	20.17	-	30.35	28.93	-	27.29	23.13	25.30	21.23	-	18.51
8	16.81	-	-	32.49	27.38	25.83	25.84	-	24.30	21.20	-	18.26
9	15.31	-	39.90	32.82	-	24.75	25.63	-	23.99	20.57	21.38	17.53
10	15.03	20.13	33.87	-	-	23.34	26.40	23.62	22.74	-	20.49	17.56
11	-	18.73	36.67	-	25.58	25.99	-	22.80	21.43	-	20.19	17.74
12	-	18.05	45.47	-	27.86	29.08	-	23.14	-	20.93	19.89	-
13	15.43	17.95	54.18	-	28.53	-	27.09	22.17	-	-	20.09	-
14	15.54	17.39	-	30.13	31.22	-	28.04	21.71	20.19	20.77	-	18.38
15	15.31	-	-	29.90	30.25	32.34	26.09	-	19.46	21.43	-	18.65
16	14.98	-	64.80	30.07	-	28.22	25.95	-	19.60	21.21	20.08	18.17
17	14.44	16.80	55.72	29.09	-	27.56	24.16	21.85	21.24	-	19.87	18.11
18	-	17.76	59.92	-	29.08	27.66	-	21.38	20.42	-	19.37	18.45
19	-	16.98	60.19	-	26.45	26.87	-	21.35	-	21.77	19.32	-
20	15.38	16.98	49.68	28.71	25.65	-	23.46	22.20	-	21.54	19.38	-
21	17.81	18.23	-	32.95	25.16	-	22.34	21.72	22.86	21.52	-	19.43
22	16.91	-	-	31.39	35.28	27.26	23.80	-	23.75	21.86	-	20.75
23	19.10	-	59.99	30.51	-	26.76	23.49	-	22.64	22.05	19.53	19.76
24	18.58	21.60	44.72	30.74	-	26.38	25.67	22.09	23.29	-	19.10	19.19
25	-	21.29	41.58	-	33.53	-	-	22.05	23.49	-	19.48	-
26	-	23.80	44.98	-	31.66	27.34	-	22.34	-	-	19.25	-
27	-	23.70	48.49	28.28	33.50	-	25.62	23.13	-	23.26	18.91	-
28	-	32.67	-	27.57	34.73	-	24.56	23.80	23.53	23.80	-	20.31
29	21.12	-	-	26.72	33.99	28.56	23.78	-	23.59	24.97	-	19.85
30	23.53	-	47.57	-	-	26.44	24.07	-	22.26	27.90	20.23	19.36
31	23.41	-	40.89	-	-	-	23.74	24.36	-	-	-	19.28
High	23.53	32.67	64.80	43.11	35.96	32.34	28.04	24.36	25.30	27.90	27.40	20.75
Low	14.44	16.80	21.80	26.72	25.16	23.34	22.34	21.35	19.46	20.57	18.91	17.38
Avg	17.12	20.43	43.41	31.54	30.34	27.03	25.07	22.59	22.68	22.24	20.85	18.76

Note: VHSI is compiled by Hang Seng Indexes Company Limited.

HSI Volatility Index, daily movement (2020)



Hang Seng China Enterprises Index, daily closing (2020)

3 January 2000 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	9404.98	-	9833.83	-	-	10013.32	-	-	10659.64
2	11320.56	-	10485.17	9526.56	-	9876.25	10056.86	-	10000.96	-	9936.56	10573.79
3	11253.55	10268.12	10485.16	9491.10	-	9967.53	10243.29	10033.20	9940.13	-	10071.41	10586.37
4	-	10435.36	10521.78	-	9599.02	9967.93	-	10203.88	9883.98	-	10099.16	10624.65
5	-	10493.85	10728.07	-	9698.22	10066.25	-	10256.64	-	9447.99	10478.84	-
6	11165.41	10764.34	10456.93	9652.31	9807.76	-	10726.83	10202.41	-	9536.49	10497.80	-
7	11198.75	10705.17	-	9846.92	9764.26	-	10600.41	10063.43	9764.82	9629.29	-	10473.32
8	11079.79	-	-	9681.95	9868.34	10008.88	10748.11	-	9830.39	9624.48	-	10409.59
9	11281.26	-	9984.44	9811.12	-	10121.13	10781.89	-	9728.52	9617.85	10633.07	10488.32
10	11267.48	10654.43	10153.37	-	-	10143.48	10541.26	9990.67	9683.75	-	10586.89	10415.72
11	-	10808.52	10070.47	-	9990.48	9944.60	-	10153.40	9752.50	-	10541.34	10452.50
12	-	10884.69	9725.72	-	9832.10	9832.53	-	10215.91	-	9878.74	10579.47	-
13	11396.76	10835.38	9650.15	-	9835.71	-	10575.88	10244.60	-	-	10545.27	-
14	11355.37	10863.45	-	9847.47	9687.10	-	10405.27	10266.46	9806.64	9920.77	-	10443.12
15	11295.47	-	-	9724.70	9674.57	9655.83	10390.54	-	9829.07	9762.28	-	10399.56
16	11328.38	-	9227.60	9672.60	-	9867.63	10133.92	-	9845.79	9914.90	10578.94	10461.90
17	11419.91	10957.84	9216.17	9815.20	-	9909.63	10203.57	10385.90	9732.15	-	10550.20	10545.73
18	-	10805.15	8800.62	-	9726.43	9916.45	-	10425.42	9803.10	-	10640.05	10483.34
19	-	10908.57	8559.64	-	9883.37	9974.59	-	10313.31	-	9978.16	10555.36	-
20	11331.49	10913.97	9118.67	9824.42	9898.00	-	10295.32	10155.81	-	9988.33	10553.35	-
21	10970.13	10790.84	-	9614.93	9850.07	-	10445.42	10212.21	9640.42	10077.78	-	10401.83
22	11174.70	-	-	9670.20	9426.78	9879.02	10243.51	-	9576.81	10085.18	-	10384.18
23	10952.22	-	8751.76	9703.99	-	9993.48	10325.25	-	9558.78	10125.59	10666.53	10482.52
24	10976.19	10568.33	9184.44	9656.19	-	9936.66	10080.86	10336.95	9371.19	-	10612.58	10430.53
25	-	10579.92	9529.49	-	9465.94	-	-	10291.85	9302.59	-	10557.83	-
26	-	10501.62	9447.56	-	9595.20	9853.18	-	10300.36	-	-	10701.49	-
27	-	10593.11	9504.92	9875.59	9567.43	-	10075.45	10201.94	-	10003.10	10790.30	-
28	-	10302.36	-	9983.69	9551.56	-	10151.89	10182.83	9383.40	9963.36	-	10311.48
29	10618.72	-	-	10040.87	9561.03	9757.69	10184.41	-	9281.52	9955.46	-	10449.17
30	10325.09	-	9402.17	-	-	9758.63	10097.08	-	9397.37	9760.24	10546.47	10662.93
31	10240.51	-	9594.77	-	-	-	10039.96	9991.48	-	-	-	10738.40
High	11419.91	10957.84	10728.07	10040.87	9990.48	10143.48	10781.89	10425.42	10013.32	10125.59	10790.30	10738.40
Low	10240.51	10268.12	8559.64	9404.98	9426.78	9655.83	10039.96	9990.67	9281.52	9447.99	9936.56	10311.48
Avg	11097.59	10681.75	9663.59	9728.67	9714.17	9917.39	10333.95	10210.89	9687.60	9848.33	10510.61	10494.48

Note: HSCEI is compiled by Hang Seng Indexes Company Limited.

Hang Seng China Enterprises Index, daily movement (2020)



Hang Seng China-Affiliated Corporations Index, daily closing (2020)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	3514.79	-	3787.58	-	-	3960.60	-	-	3779.34
2	4579.00	-	4109.85	3591.16	-	3821.49	3995.66	-	3963.73	-	3562.26	3792.60
3	4545.19	4110.84	4107.26	3607.54	-	3852.48	4065.05	4026.58	3945.04	-	3629.36	3784.32
4	-	4196.69	4143.03	-	3706.12	3841.34	-	4047.63	3907.95	-	3604.15	3752.60
5	-	4233.59	4211.20	-	3740.67	3886.14	-	4092.94	-	3567.65	3765.82	-
6	4520.61	4369.36	4109.11	3683.35	3809.68	-	4321.04	4075.86	-	3650.54	3783.98	-
7	4519.67	4326.04	-	3773.63	3778.19	-	4205.32	4013.77	3784.65	3683.15	-	3709.27
8	4500.64	-	-	3721.15	3823.64	3871.98	4268.81	-	3826.30	3672.32	-	3667.20
9	4562.04	-	3871.45	3803.18	-	3933.44	4284.44	-	3781.78	3649.25	3814.70	3672.08
10	4527.45	4303.55	3894.96	-	-	3929.90	4242.78	3977.24	3767.42	-	3920.69	3653.56
11	-	4341.46	3848.65	-	3852.79	3836.09	-	4049.76	3790.59	-	4013.01	3667.94
12	-	4367.24	3708.89	-	3781.45	3794.04	-	4061.50	-	3725.61	3965.81	-
13	4560.72	4362.20	3648.46	-	3785.64	-	4281.49	4132.57	-	-	3903.24	-
14	4557.55	4357.78	-	3793.19	3733.87	-	4235.65	4135.67	3803.40	3682.89	-	3703.79
15	4545.15	-	-	3720.12	3732.08	3704.56	4181.47	-	3828.90	3647.03	-	3665.65
16	4603.30	-	3480.54	3698.56	-	3833.41	3997.06	-	3837.14	3640.86	3887.12	3675.23
17	4596.31	4365.97	3446.07	3763.13	-	3853.56	4040.67	4149.84	3809.70	-	3871.00	3701.73
18	-	4295.19	3275.51	-	3761.08	3858.61	-	4183.71	3808.34	-	3897.22	3702.49
19	-	4343.92	3156.73	-	3822.52	3866.25	-	4129.12	-	3664.82	3890.16	-
20	4576.03	4328.50	3406.52	3769.00	3792.88	-	4054.31	4074.14	-	3677.35	3856.15	-
21	4437.47	4275.40	-	3676.72	3762.16	-	4092.01	4096.70	3744.75	3701.85	-	3659.17
22	4536.68	-	-	3705.11	3602.84	3845.40	4015.24	-	3711.80	3654.87	-	3650.81
23	4414.81	-	3239.36	3726.69	-	3888.01	4046.64	-	3679.13	3677.32	3872.30	3671.97
24	4434.94	4196.05	3415.57	3700.59	-	3871.45	3957.15	4137.80	3626.76	-	3884.99	3709.91
25	-	4180.79	3564.18	-	3629.53	-	-	4094.35	3584.05	-	3852.24	-
26	-	4128.56	3512.74	-	3695.65	3841.14	-	4100.86	-	-	3866.33	-
27	-	4148.24	3534.63	3793.22	3673.02	-	3955.47	4030.03	-	3617.58	3882.81	-
28	-	4033.53	-	3864.10	3646.86	-	3995.07	4073.40	3574.86	3592.92	-	3729.35
29	4281.17	-	-	3863.82	3656.71	3761.97	4021.99	-	3533.93	3594.27	-	3703.99
30	4127.81	-	3485.15	-	-	3756.57	4008.71	-	3589.83	3536.98	3747.19	3763.85
31	4098.62	-	3592.11	-	-	-	4011.91	3977.54	-	-	-	3799.56
High	4603.30	4369.36	4211.20	3864.10	3852.79	3933.44	4321.04	4183.71	3963.73	3725.61	4013.01	3799.56
Low	4098.62	4033.53	3156.73	3514.79	3602.84	3704.56	3955.47	3977.24	3533.93	3536.98	3562.26	3650.81
Avg	4476.26	4263.25	3671.00	3724.69	3739.37	3839.78	4103.54	4079.10	3766.39	3646.51	3831.93	3709.84

Note: HSCCI is compiled by Hang Seng Indexes Company Limited.

Hang Seng China-Affiliated Corporations Index, daily movement (2020)



Number of securities by type, 2016–2020

Type	Year				
	2016	2017	2018	2019	2020
Ordinary shares	1,714	1,795	1,927	2,072	2,171
Preference shares	10	16	17	15	15
Equity warrants	8	7	2	2	0
Derivative warrants	3,705	6,094	6,763	4,571	7,507
Inline Warrants	–	–	–	922	507
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	1,844	3,374	2,847	3,298	4,739
Unit trusts/Mutual funds	157	145	149	148	159
– Exchange Traded Funds (ETFs)	133	106	115	111	118
– Leveraged and Inverse Products (L&I products)	12	27	22	24	28
– Real Estate Investment Trusts (REITs)	11	11	11	12	12
– Others	1	1	1	1	1
Debt securities	892	1,047	1,195	1,388	1,574
Total	8,330	12,478	12,900	12,416	16,672

Number of newly listed securities, 2016–2020

Type	Year				
	2016	2017	2018	2019	2020
Ordinary shares *	81	94	143	168	146
– Newly listed companies	75	81	133	148	138
– Transfer of listing from GEM	6	13	10	20	8
Preference shares	3	7	1	1	2
Equity warrants	5	4	1	2	0
Derivative warrants	4,875	7,989	11,794	8,939	12,128
Inline Warrants	–	–	–	923	780
Equity link instruments	0	0	0	0	0
Callable bull/bear contracts	8,896	13,235	26,678	24,732	38,039
Unit trusts/Mutual funds	34	22	15	13	26
– Exchange Traded Funds (ETFs)	22	3	15	8	18
– Leveraged and Inverse Products (L&I products)	12	19	0	4	8
– Real Estate Investment Trusts (REITs)	0	0	0	1	0
– Others	0	0	0	0	0
Debt securities	211	316	303	420	430
Total	14,105	21,667	38,935	35,198	51,551

* Includes the number of companies for transfer of listing from GEM.

Number of delisted securities, 2016–2020

Type	Year				
	2016	2017	2018	2019	2020
Ordinary shares	12	13	11	23	47
Preference shares	1	1	0	3	2
Equity warrants	9	5	6	2	2
Derivative warrants	5,760	5,600	11,125	11,131	9,192
Inline Warrants	–	–	–	1	1,195
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	8,682	11,705	27,205	24,281	36,598
Unit trusts/mutual funds	22	34	11	14	15
– Exchange Traded Funds (ETFs)	22	30	6	12	11
– Leveraged and Inverse Products (L&I products)	0	4	5	2	4
– Real Estate Investment Trusts (REITs)	0	0	0	0	0
– Others	0	0	0	0	0
Debt securities	81	161	155	227	244
Total	14,567	17,519	38,513	35,682	47,295

Total market capitalisation by Hang Seng Industry Classification System

HK\$mil

Industry Classification *	2020											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	945,733.17	877,887.46	737,009.96	763,214.05	741,023.63	728,354.28	719,034.05	752,582.29	664,658.31	635,495.51	734,784.84	697,444.61
Materials	448,175.92	458,075.80	367,328.20	389,139.28	373,063.23	380,865.31	435,207.65	460,126.99	433,224.71	449,758.42	540,546.88	569,130.29
Industrials	1,097,029.84	1,094,194.93	944,370.60	998,244.44	970,977.77	1,046,133.14	1,175,889.74	1,154,998.61	1,373,863.45	1,432,290.28	1,568,939.91	1,757,339.11
Consumer Discretionary ^	3,812,928.37	3,759,593.69	3,199,046.79	3,558,526.43	3,542,522.53	3,692,728.52	4,125,718.92	4,498,591.11	4,759,580.98	4,791,135.28	5,621,725.37	6,269,970.71
Consumer Staples ^	1,499,145.47	1,536,663.39	1,462,209.81	1,560,759.16	1,546,655.66	1,623,678.61	1,797,856.68	1,786,460.78	1,871,038.66	1,832,872.18	1,981,503.75	2,142,055.41
Healthcare ^	1,567,262.52	1,682,026.33	1,523,866.55	1,817,190.13	1,888,810.77	2,293,768.55	2,628,577.82	2,579,505.48	2,492,602.42	2,549,601.26	2,692,015.37	3,521,638.23
Telecommunications	1,800,694.13	1,742,742.03	1,583,519.74	1,724,141.95	1,509,448.58	1,452,926.89	1,478,785.90	1,544,774.34	1,416,281.82	1,352,469.29	1,335,454.43	1,273,749.63
Utilities	1,718,735.09	1,651,776.33	1,468,033.73	1,599,132.51	1,499,002.44	1,467,650.13	1,491,892.50	1,458,159.37	1,402,369.28	1,415,559.02	1,524,459.35	1,593,056.46
Financials	7,941,294.62	7,927,965.45	7,194,625.78	7,252,927.75	6,790,933.78	7,140,187.12	7,033,423.08	7,083,016.52	6,611,986.94	6,816,811.31	7,777,821.29	7,947,772.03
Properties & Construction	4,842,974.99	5,040,740.40	4,602,656.39	4,877,073.33	4,540,465.29	4,790,711.87	4,888,585.11	4,990,728.29	4,779,847.54	4,656,321.83	5,014,061.76	5,088,946.05
Information Technology	9,383,784.36	9,538,890.85	8,954,986.58	9,686,452.40	9,867,140.75	12,555,973.33	14,091,235.89	15,707,774.22	15,182,795.63	16,717,623.56	16,202,911.35	15,927,636.23
Conglomerates	810,349.68	789,860.37	668,741.47	700,190.13	618,769.25	629,955.78	617,996.92	618,232.78	542,081.26	541,119.06	625,194.46	603,457.60
Total	35,868,108.16	36,100,417.02	32,706,395.63	34,926,991.56	33,888,813.65	37,802,933.53	40,484,204.27	42,634,950.77	41,530,331.01	43,191,056.99	45,619,418.77	47,392,196.36

Note: Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation trends by Hang Seng Industry Classification System, 2016–2020

HK\$mil

Industry Classification *	2016		2017		2018		2019		2020	
Energy	991,645.57	(4.06%)	1,126,395.36	(3.34%)	1,104,391.73	(3.72%)	1,030,961.91	(2.71%)	697,444.61	(1.47%)
Materials	504,699.55	(2.06%)	670,690.26	(1.99%)	481,542.81	(1.62%)	483,803.86	(1.27%)	569,130.29	(1.20%)
Industrials	879,441.65	(3.60%)	1,252,367.74	(3.71%)	1,029,284.28	(3.46%)	1,195,631.05	(3.14%)	1,757,339.11	(3.71%)
Consumer Discretionary ^	n.a.	-	n.a.	-	n.a.	-	4,121,411.41	(10.83%)	6,269,970.71	(13.23%)
Consumer Staples ^	n.a.	-	n.a.	-	n.a.	-	1,611,209.88	(4.23%)	2,142,055.41	(4.52%)
Healthcare ^	n.a.	-	n.a.	-	n.a.	-	1,527,534.95	(4.01%)	3,521,638.23	(7.43%)
Telecommunications	2,124,893.20	(8.69%)	2,178,878.31	(6.46%)	2,098,329.50	(7.06%)	1,854,657.43	(4.87%)	1,273,749.63	(2.69%)
Utilities	1,356,818.08	(5.55%)	1,647,343.55	(4.89%)	1,684,409.69	(5.67%)	1,757,442.04	(4.62%)	1,593,056.46	(3.36%)
Financials	7,229,788.27	(29.57%)	9,549,225.13	(28.32%)	8,113,476.14	(27.30%)	8,625,374.14	(22.66%)	7,947,772.03	(16.77%)
Properties & Construction	3,420,399.56	(13.99%)	5,099,505.48	(15.12%)	4,603,544.21	(15.49%)	5,408,826.36	(14.21%)	5,088,946.05	(10.74%)
Information Technology	2,488,198.39	(10.18%)	4,912,952.91	(14.57%)	4,260,114.99	(14.33%)	9,547,306.13	(25.09%)	15,927,636.23	(33.61%)
Conglomerates	980,852.56	(4.01%)	1,100,117.23	(3.26%)	995,001.15	(3.35%)	894,178.93	(2.35%)	603,457.60	(1.27%)
Consumer Goods ^	2,744,318.81	(11.22%)	3,924,645.30	(11.64%)	3,163,753.44	(10.64%)	n.a.	-	n.a.	-
Consumer Services ^	1,729,378.86	(7.07%)	2,255,873.90	(6.69%)	2,189,390.33	(7.37%)	n.a.	-	n.a.	-
Equity total	24,450,434.51	(100.00%)	33,717,995.17	(100.00%)	29,723,238.27	(100.00%)	38,058,338.10	(100.00%)	47,392,196.36	(100.00%)

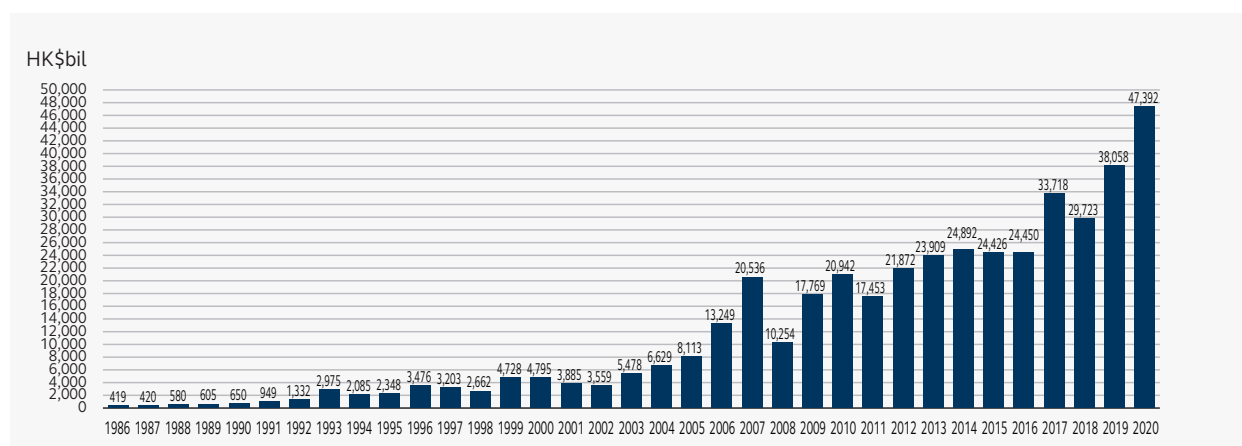
() % of equity market total

Note: Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Market capitalisation, 1986-2020



50 leading companies in market capitalisation

End of 2020

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of equity total
1	00700	Tencent Holdings Ltd.	9,591,667,317	564.000	5,409,700.37	11.41
2	09988	Alibaba Group Holding Ltd. – SW	21,645,092,800	232.600	5,034,648.59	10.62
3	03690	Meituan – W	5,883,964,828	294.600	1,733,416.04	3.66
4	00939	China Construction Bank Corporation – H Shares	240,417,319,880	5.890	1,416,058.01	2.99
5	01299	AIA Group Ltd.	12,094,448,331	95.000	1,148,972.59	2.42
6	09618	JD.com, Inc. – SW	3,129,793,833	342.000	1,070,389.49	2.26
7	00941	China Mobile Ltd.	20,475,482,897	44.200	905,016.34	1.91
8	00005	HSBC Holdings plc	20,693,516,608	40.750	843,260.80	1.78
9	01810	Xiaomi Corporation – W	25,185,794,978	33.200	836,168.39	1.76
10	02318	Ping An Insurance (Group) Co. of China, Ltd. – H Shares	7,447,576,912	95.000	707,519.81	1.49
11	00388	Hong Kong Exchanges and Clearing Ltd.	1,267,836,895	425.000	538,830.68	1.14
12	09999	NetEase, Inc. – S	3,455,117,456	147.600	509,975.34	1.08
13	06618	JD Health International Inc.	3,184,367,111	150.000	477,655.07	1.01
14	01398	Industrial and Commercial Bank of China Ltd. – H Shares	86,794,044,550	5.030	436,574.04	0.92
15	02269	WuXi Biologics (Cayman) Inc.	4,082,176,422	102.800	419,647.74	0.89
16	02378	Prudential plc *	2,609,489,702	143.800	–	375,244.62 N.A.
17	06969	Smoores International Holdings Ltd.	5,860,984,720	59.850	350,779.94	0.74
18	01876	Budweiser Brewing Co. APAC Ltd.	13,243,397,000	25.600	339,030.96	0.72
19	02020	ANTA Sports Products Ltd.	2,703,329,000	122.900	332,239.13	0.70
20	00883	CNOOC Ltd.	44,647,455,984	7.180	320,568.73	0.68
21	06862	Haidilao International Holding Ltd.	5,300,000,000	59.700	316,410.00	0.67
22	00241	Alibaba Health Information Technology Ltd.	13,454,074,542	22.900	308,098.31	0.65
23	00016	Sun Hung Kai Properties Ltd.	2,897,780,274	100.000	289,778.03	0.61
24	09633	Nongfu Spring Co., Ltd. – H Shares	5,034,666,400	54.900	276,403.19	0.58
25	01928	Sands China Ltd.	8,089,888,866	34.050	275,460.72	0.58
26	00960	Longfor Group Holdings Ltd.	6,058,312,105	45.400	275,047.37	0.58
27	00066	MTR Corporation Ltd.	6,180,551,873	43.350	267,926.92	0.57
28	00708	China Evergrande New Energy Vehicle Group Ltd.	8,816,580,000	30.200	266,260.72	0.56
29	00945	Manulife Financial Corporation – S *	1,940,165,685	136.800	–	265,414.67 N.A.
30	00027	Galaxy Entertainment Group Ltd.	4,343,805,013	60.250	261,714.25	0.55
31	00175	Geely Automobile Holdings Ltd.	9,816,176,540	26.500	260,128.68	0.55
32	00011	Hang Seng Bank Ltd.	1,911,842,736	133.700	255,613.37	0.54
33	02388	BOC Hong Kong (Holdings) Ltd.	10,572,780,266	23.500	248,460.34	0.52
34	09901	New Oriental Education & Technology Group Inc. – S	170,165,887	1,392.000	236,870.91	0.50
35	02007	Country Garden Holdings Co. Ltd.	22,035,408,726	10.720	236,219.58	0.50
36	00291	China Resources Beer (Holdings) Co. Ltd.	3,244,176,905	71.400	231,634.23	0.49
37	02313	Shenzhen International Group Holdings Ltd.	1,503,222,397	152.000	228,489.80	0.48
38	01109	China Resources Land Ltd.	7,130,939,579	32.000	228,190.07	0.48
39	03968	China Merchants Bank Co., Ltd. – H Shares	4,590,901,172	49.000	224,954.16	0.47
40	03692	Hansoh Pharmaceutical Group Co. Ltd.	5,918,991,200	37.600	222,554.07	0.47
41	03988	Bank of China Ltd. – H Shares	83,622,276,395	2.650	221,599.03	0.47
42	00001	CK Hutchison Holdings Ltd.	3,856,240,500	54.100	208,622.61	0.44
43	00003	Hong Kong and China Gas Co. Ltd., The	17,771,304,856	11.580	205,791.71	0.43
44	00669	Techtronic Industries Co. Ltd.	1,832,620,441	110.600	202,687.82	0.43
45	03333	China Evergrande Group	13,239,284,900	14.900	197,265.35	0.42
46	02057	ZTO Express (Cayman) Inc. – SW	855,301,115	226.000	193,298.05	0.41
47	09987	Yum China Holdings, Inc. – S	419,106,379	444.600	186,334.70	0.39
48	02382	Sunny Optical Technology (Group) Co. Ltd.	1,096,849,700	169.700	186,135.39	0.39
49	01211	BYD Co. Ltd. – H Shares	915,000,000	203.200	185,928.00	0.39
50	06160	BeiGene, Ltd.	1,182,081,123	157.000	185,586.74	0.39
Total					29,713,916.17	62.70
Equity total					47,392,196.36	100.00

* Figures is not included in Total.

20 Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK\$)		% up
			2019	2020	
1	00305	WULING MOTORS	0.260 A	2.260	769.23
2	01585	YADEA	2.032 A	16.020	688.39
3	03738	VOBILE GROUP	2.240	17.500	681.25
4	00357	MEILAN AIRPORT	5.530	41.100	643.22
5	00235	CHINA STRATEGIC	0.035	0.250	614.29
6	00767	APSR INV	0.058	0.390	572.41
7	00989	GROUND INTL DEV	0.078	0.500	541.03
8	06865	FLAT GLASS	5.150	32.650	533.98
9	00901	GOLDSTONE INV	0.052	0.290	457.69
10	01211	BYD COMPANY	38.850	203.200	423.04
11	01987	BENG SOON MACH	0.590	3.000	408.47
12	01772	GANFENGLITHIUM	19.400	92.600	377.32
13	02798	PER ENERGY	2.120	9.990	371.23
14	02333	GREATWALL MOTOR	5.760	26.600	361.81
15	00853	MICROPORT	9.230	41.950	354.50
16	00776	IMPERIUM GP	1.250	5.630	350.40
17	01415	COWELL	1.394 A	5.840	318.94
18	03800	GCL-POLY ENERGY	0.295	1.230	316.95
19	02013	WEIMOB INC	3.390	13.940	311.21
20	03321	WAI HUNG GROUP	0.970	3.950	307.22

Note: (A) Adjusted

20 Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK\$)		% down
			2019	2020	
1	01636	CMRU	3.510	0.189	94.62
2	01894	HANG YICK HLDGS	3.260	0.188	94.23
3	01360	NOVA GROUP	1.550	0.100	93.55
4	03878	VICON HOLDINGS	3.350	0.260	92.24
5	02699	XINMING CHINA	1.170	0.092	92.14
6	00997	CHINLINK INTL	0.830	0.077	90.72
7	00612	CHINA DYF	23.080	2.150	90.68
8	01076	IMPERIAL PAC	0.103	0.010	90.29
9	01912	CONTEL	1.950	0.198	89.85
10	02014	OZNER WATER	0.840	0.090	89.29
11	01617	NANFANG COMM	4.400	0.480	89.09
12	02346	UNIVERSAL STAR	1.990	0.219	88.99
13	00784	LING YUI	2.180	0.241	88.94
14	00526	CHINA AUTO NR	0.940	0.106	88.72
15	01640	RUICHENG CHINA	2.400	0.290	87.92
16	00007	HK FINANCE INV	0.810	0.101	87.53
17	01616	STARRISE MEDIA	1.350	0.189	86.00
18	01131	AGRITRADE RES	0.580	0.083	85.69
19	01243	WANG ON PPT	0.780	0.114	85.38
20	02680	INNOVAX HLDGS	3.680	0.540	85.33

Note: (A) Adjusted

Trading value and volume, 2016-2020

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2016	245	16,279,975.49	66,448.88	45,177,508.51	184,397.99	261,400,789	1,066,942	
2017	246	21,560,099.47	87,642.68	43,805,184.04	178,069.85	300,296,509	1,220,718	
2018	246	26,295,274.58	106,891.36	55,311,909.01	224,845.16	388,181,359	1,577,973	
2019	246	21,390,188.29	86,951.98	60,007,451.66	243,932.73	354,988,721	1,443,044	
2020	248	32,023,781.80	129,128.15	54,517,842.65	219,830.01	484,012,309	1,951,663	
2020	Jan	20	2,072,063.16	103,603.16	4,170,280.40	208,514.02	30,446,250	1,522,313
	Feb	20	2,296,864.97	114,843.25	4,781,585.50	239,079.27	34,394,390	1,719,720
	Mar	22	3,113,304.15	141,513.83	3,614,456.75	164,293.49	50,218,282	2,282,649
	Apr	19	1,905,987.12	100,315.11	3,483,373.07	183,335.42	32,489,738	1,709,986
	May	20	2,290,407.77	114,520.39	4,020,496.81	201,024.84	35,622,144	1,781,107
	Jun	21	2,629,212.45	125,200.59	4,660,375.66	221,922.65	39,562,847	1,883,945
	Jul	22	3,622,193.23	164,645.15	5,161,552.40	234,616.02	52,335,380	2,378,881
	Aug	21	2,829,492.71	134,737.75	5,265,697.37	250,747.49	43,973,699	2,093,986
	Sep	22	2,689,116.19	122,232.55	5,800,273.07	263,648.78	40,952,806	1,861,491
	Oct	18	2,108,520.85	117,140.05	4,614,160.01	256,342.22	33,058,011	1,836,556
	Nov	21	3,375,750.80	160,750.04	4,853,218.68	231,105.65	46,582,437	2,218,211
	Dec	22	3,090,868.40	140,494.02	4,092,372.94	186,016.95	44,376,325	2,017,106

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value and volume of depositary receipts, 2016-2020

Year/Month	No. of trading days	No. of listed companies	No. of issues	Value (HK\$thousand)		Volume (thousands of shares)		Deals	
				Total	Average daily	Total	Average daily	Total	Average daily
2016	245	2	2	119,050.48	485.92	4,478.40	18.28	4,510	18
2017	246	2	2	116,360.88	473.01	4,451.21	18.09	3,518	14
2018	246	1	1	108,307.21	440.27	3,092.55	12.57	3,424	14
2019	246	1	1	94,801.47	385.37	2,208.60	8.98	2,947	12
2020	248	1	1	78,866.51	318.01	1,749.21	7.05	2,966	12
2020	Jan	20	1	7,695.92	384.80	174.76	8.74	345	17
	Feb	20	1	7,897.37	394.87	198.50	9.93	301	15
	Mar	22	1	7,615.83	346.17	222.30	10.10	395	18
	Apr	19	1	3,836.38	201.91	114.50	6.03	197	10
	May	20	1	4,962.32	248.12	129.00	6.45	237	12
	Jun	21	1	6,686.46	318.40	148.05	7.05	254	12
	Jul	22	1	7,485.10	340.23	174.85	7.95	290	13
	Aug	21	1	1,878.96	89.47	42.30	2.01	98	5
	Sep	22	1	3,544.17	161.10	74.40	3.38	130	6
	Oct	18	1	10,370.40	576.13	198.25	11.01	238	13
	Nov	21	1	11,304.52	538.31	186.81	8.90	314	15
	Dec	22	1	5,589.09	254.05	85.50	3.89	167	8

Trading value by type of securities, 2020

Month	Equities		Warrants	ELI	CBBC	Unit trusts	Debt securities	iShare	Market total
	Mil of shares	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil
Jan	205,659.50	1,568,457.87	190,941.56	0.00	169,242.42	139,023.11	4,398.20	0.00	2,072,063.16
Feb	229,658.22	1,725,782.28	162,089.75	0.00	219,974.49	185,478.67	3,539.77	0.00	2,296,864.97
Mar	324,835.51	2,548,951.39	146,212.08	0.00	200,450.67	210,528.27	7,161.75	0.00	3,113,304.15
Apr	190,435.93	1,453,692.63	133,032.71	0.00	179,251.19	134,931.03	5,079.56	0.00	1,905,987.12
May	221,404.56	1,813,612.83	144,464.96	0.00	186,295.73	140,640.15	5,394.09	0.00	2,290,407.77
Jun	247,426.73	2,076,612.00	188,244.08	0.00	213,310.52	145,822.73	5,223.12	0.00	2,629,212.45
Jul	360,275.89	2,971,162.12	241,514.18	0.00	233,911.44	170,500.09	5,105.40	0.00	3,622,193.23
Aug	280,743.97	2,244,420.37	243,901.39	0.00	209,586.59	126,660.64	4,923.73	0.00	2,829,492.71
Sep	249,493.96	2,086,199.62	242,922.98	0.00	222,972.91	131,739.17	5,281.52	0.00	2,689,116.19
Oct	194,096.75	1,652,037.20	197,001.84	0.00	168,347.85	87,743.49	3,390.47	0.00	2,108,520.85
Nov	284,757.00	2,827,570.76	213,124.00	0.00	187,212.16	138,555.08	9,288.80	0.00	3,375,750.80
Dec	309,819.81	2,653,825.10	158,556.32	0.00	144,735.81	126,993.34	6,757.84	0.00	3,090,868.40
Total	3,098,607.82	25,622,324.16	2,262,005.84	0.00	2,335,291.78	1,738,615.77	65,544.25	0.00	32,023,781.80

Notes:

1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.

Trading value by Hang Seng Industry Classification System, 2020

Industry Classification *	No. of issue #	Equity turnover		Annual Turnover velocity (%)
		HK\$mil	% of total	
Energy	70	619,841.89	2.42	88.87
Materials	131	380,591.61	1.49	66.87
Industrials	251	1,240,805.03	4.84	70.61
Consumer Discretionary	534	3,278,176.32	12.79	52.28
Consumer Staples	107	953,089.79	3.72	44.49
Healthcare	147	2,146,032.83	8.38	60.94
Telecommunications	14	720,996.16	2.81	56.60
Utilities	76	633,189.85	2.47	39.75
Financials	220	4,853,180.24	18.94	61.06
Properties & Construction	450	2,464,766.20	9.62	48.43
Information Technology	163	8,101,533.53	31.62	50.86
Conglomerates	23	230,120.25	0.90	38.13
Sub-total	2,186	25,622,323.70	100.00	54.06
NASDAQ stocks	6	0.46	0.00	n.a.
Equity total	2,192	25,622,324.16	100.00	n.a.

Notes:

- (1) Equity turnover values have been adjusted for late reported and rejected sales.
 - (2) Figures may not add up to total due to rounding.
- # Year-end figures.
- * Industry Classification is provided by Hang Seng Indexes Company Limited.

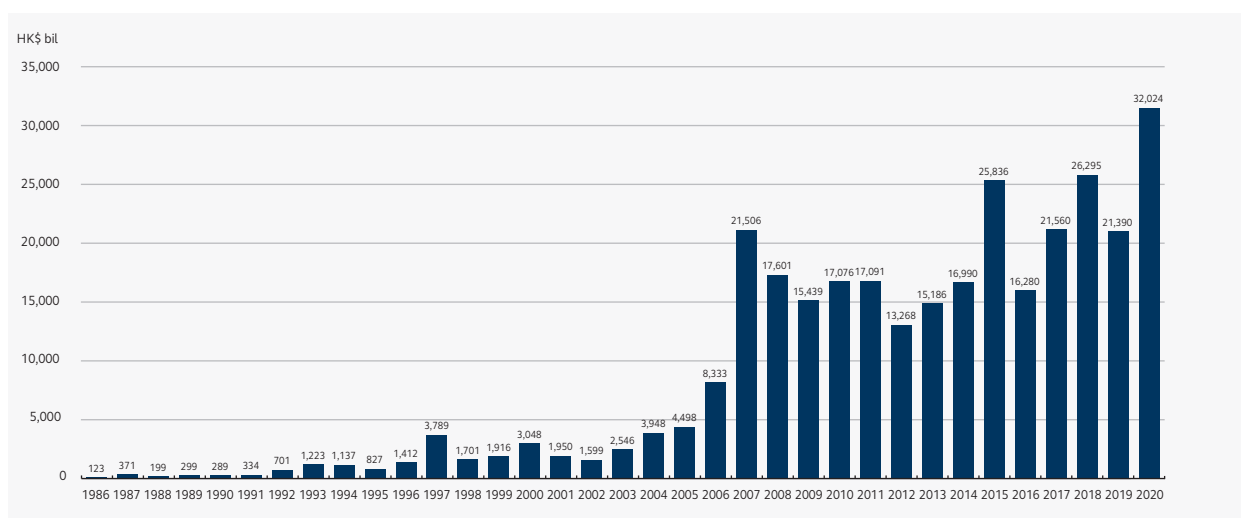
20 most active stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK\$mil)	% of equity total
1	00700	TENCENT	2,478,041.27	9.67
2	09988	BABA-SW	1,488,156.52	5.81
3	03690	MEITUAN-W	1,195,811.89	4.67
4	01810	XIAOMI-W	914,901.06	3.57
5	02318	PING AN	722,781.83	2.82
6	00981	SMIC	620,431.22	2.42
7	01299	AIA	511,273.97	2.00
8	00939	CCB	495,065.59	1.93
9	00388	HKEX	443,799.56	1.73
10	00005	HSBC HOLDINGS	420,510.70	1.64
11	00941	CHINA MOBILE	362,044.21	1.41
12	00175	GEELY AUTO	304,487.47	1.19
13	01398	ICBC	303,918.67	1.19
14	02382	SUNNY OPTICAL	270,541.07	1.06
15	01211	BYD COMPANY	255,458.36	1.00
16	02628	CHINA LIFE	236,891.91	0.92
17	00883	CNOOC	232,445.03	0.91
18	02269	WUXI BIO	232,423.87	0.91
19	09618	JD-SW	224,987.47	0.88
20	03988	BANK OF CHINA	202,400.84	0.79
		Total	11,916,372.50	46.51
		Equity total	25,622,324.16	100.00

20 most active stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of equity total
1	00788	CHINA TOWER	121,358.69	3.92
2	00136	HENG TEN NET	91,643.69	2.96
3	00939	CCB	81,993.32	2.65
4	03800	GCL-POLY ENERGY	75,986.39	2.45
5	03988	BANK OF CHINA	71,459.86	2.31
6	01398	ICBC	61,737.67	1.99
7	01810	XIAOMI-W	52,268.10	1.69
8	00386	SINOPEC CORP	32,686.88	1.05
9	00857	PETROCHINA	31,449.79	1.01
10	00493	GOME RETAIL	31,157.94	1.01
11	00981	SMIC	28,409.43	0.92
12	01288	ABC	28,152.43	0.91
13	00883	CNOOC	27,229.62	0.88
14	01269	FIRST CAP GP	21,703.11	0.70
15	00451	GCL NEWENERGY	20,906.17	0.67
16	01250	BE CLEAN ENERGY	20,831.90	0.67
17	00728	CHINA TELECOM	20,700.96	0.67
18	00607	FULLSHARE	19,363.76	0.62
19	00175	GEELY AUTO	18,860.17	0.61
20	00707	ATV HOLDINGS	16,991.66	0.55
		Total	874,891.54	28.23
		Equity total	3,098,607.82	100.00

Trading value, 1986 - 2020



Market capitalisation and trading value of listed companies by incorporation place

End of 2020

Country	No. of listed companies ⁽¹⁾	Market capitalisation (HK\$mil) ⁽²⁾	% of total	Turnover (HK\$mil)	% of equity total
Australia	3	17,149,791,626.00	0.04	146.78	0.00
Bermuda	463	2,108,558,733,857.00	4.45	882,357.65	3.44
British Virgin Islands	11	64,803,818,047.00	0.14	17,727.71	0.07
Canada	5	4,749,102,462.00	0.01	3,970.64	0.02
Cayman Islands	1,186	28,606,995,321,678.00	60.36	14,083,602.39	54.97
England	3	998,229,350,840.00	2.11	445,277.90	1.74
Hong Kong	205	8,399,896,501,397.00	17.72	3,908,467.19	15.25
Israel	1	1,463,535,036.00	0.00	199.62	0.00
Italy	1	131,011,788,800.00	0.28	8,004.37	0.03
Japan	3	6,360,642,861.00	0.01	692.89	0.00
Jersey	2	6,428,694,118.00	0.01	12,745.75	0.05
Luxembourg	2	48,248,099,586.00	0.10	29,406.85	0.11
PRC	272	6,702,123,662,145.00	14.14	6,188,593.07	24.15
Russia	1	54,846,783,652.00	0.12	1,266.48	0.00
Singapore	11	54,995,835,872.00	0.12	24,609.24	0.10
USA	1	186,334,696,103.00	0.39	15,255.16	0.06
USA – Nasdaq stocks ⁽³⁾	6	-	-	0.46	0.00
Total	2,170	47,392,196,358,080.00	100.00	25,622,324.16	100.00

Notes:

- (1) Total no. of listed companies are excluded the NASDAQ Stocks.
- (2) Foreign companies not solely listed in Hong Kong and stock suspended over 1 year are excluded.
- (3) NASDAQ stocks are quoted on the Exchange for trading only.

Statistics on Hang Seng Index Stocks, 2020

End of 2020

Sector	Stock Code	Stock Name	Turnover (HK\$mil)	% of equity total + REIT total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
Finance (11)									
	00939	CCB	495,065.59	1.92	1,416,058.01	2.99	5.890	-12.48	5.12
	01299	AIA	511,273.97	1.98	1,148,972.59	2.42	95.000	16.14	22.06
	00005	HSBC HOLDINGS	420,510.70	1.63	843,260.80	1.78	40.750	-33.03	17.64
	02318	PING AN	722,781.83	2.80	707,519.81	1.49	95.000	3.15	10.17
	00388	HKEX	443,799.56	1.72	538,830.68	1.14	425.000	67.98	56.74
	01398	ICBC	303,918.67	1.18	436,574.04	0.92	5.030	-16.17	5.44
	00011	HANG SENG BANK	91,534.41	0.36	255,613.37	0.54	133.700	-16.96	10.47
	02388	BOC HONG KONG	76,707.33	0.30	248,460.34	0.52	23.500	-13.12	7.72
	03988	BANK OF CHINA	202,400.84	0.79	221,599.03	0.47	2.650	-20.42	3.96
	03328	BANKCOMM	31,299.25	0.12	143,548.64	0.30	4.100	-25.99	3.74
	02628	CHINA LIFE	236,891.91	0.92	127,244.09	0.27	17.100	-21.02	7.60
Utilities (4)									
	00003	HK & CHINA GAS	67,934.96	0.26	205,791.71	0.43	11.580	-20.11	29.54
	00002	CLP HOLDINGS	68,107.46	0.26	181,146.51	0.38	71.700	-12.45	38.90
	01038	CKI HOLDINGS	25,395.49	0.10	110,400.66	0.23	41.650	-24.89	9.99
	00006	POWER ASSETS	34,774.57	0.13	89,638.99	0.19	42.000	-26.32	12.57
Properties (10)									
	00016	SHK PPT	124,034.03	0.48	289,778.03	0.61	100.000	-16.18	12.32
	02007	COUNTRY GARDEN	65,399.24	0.25	236,219.58	0.50	10.720	-14.10	5.29
	01109	CHINA RES LAND	106,733.10	0.41	228,190.07	0.48	32.000	-17.53	7.13
	00688	CHINA OVERSEAS	110,404.14	0.43	184,685.87	0.39	16.860	-44.45	4.07
	01113	CK ASSET	91,472.89	0.35	146,997.34	0.31	39.800	-29.24	5.05
	00012	HENDERSON LAND	34,837.44	0.14	146,451.96	0.31	30.250	-20.92	8.62
	01997	WHARF REIC	50,652.97	0.20	122,511.77	0.26	40.350	-15.14	31.19
	00017	NEW WORLD DEV	41,653.98	0.16	92,024.28	0.19	36.100	-15.50	84.13
	00101	HANG LUNG PPT	28,636.55	0.11	91,980.00	0.19	20.450	19.59	14.90
	00823	LINK REIT	129,013.45	0.50	n.a.	n.a.	70.600	-14.42	n.a.
Commerce & Industry (27)									
	00700	TENCENT	2,478,041.27	9.62	5,409,700.37	11.41	564.000	50.16	51.67
	09988	BABA-SW	1,488,156.52	5.77	5,034,648.59	10.62	232.600	12.26	-
	03690	MEITUAN-W	1,195,811.89	4.64	1,733,416.04	3.66	294.600	189.11	694.81
	00941	CHINA MOBILE	362,044.21	1.40	905,016.34	1.91	44.200	-32.52	7.70
	01810	XIAOMI-W	914,901.06	3.55	836,168.39	1.76	33.200	207.98	71.88
	02269	WUXI BIO	232,423.87	0.90	419,647.74	0.89	102.800	212.62	346.01
	01876	BUD APAC	68,978.11	0.27	339,030.96	0.72	25.600	-2.66	43.76
	02020	ANTA SPORTS	163,141.86	0.63	332,239.13	0.70	122.900	76.20	56.56
	00883	CNOOC	232,445.03	0.90	320,568.73	0.68	7.180	-44.60	4.78
	01928	SANDS CHINA LTD	141,205.62	0.55	275,460.72	0.58	34.050	-18.25	17.36
	00066	MTR CORPORATION	47,664.46	0.18	267,926.92	0.57	43.350	-5.86	22.32
	00027	GALAXY ENT	189,299.91	0.73	261,714.25	0.55	60.250	6.05	20.01
	00175	GEELY AUTO	304,487.47	1.18	260,128.68	0.55	26.500	73.88	26.90
	02313	SHENZHOU INTL	106,681.75	0.41	228,489.80	0.48	152.000	33.45	41.01
	00001	CKH HOLDINGS	109,357.94	0.42	208,622.61	0.44	54.100	-27.19	5.24
	00669	TECHTRONIC IND	81,720.92	0.32	202,687.82	0.43	110.600	74.04	42.11
	02382	SUNNY OPTICAL	270,541.07	1.05	186,135.39	0.39	169.700	25.80	42.00
	02319	MENGIU DAIRY	88,127.35	0.34	184,730.27	0.39	46.800	48.57	40.64
	00267	CITIC	30,294.94	0.12	159,705.54	0.34	5.490	-47.31	2.96
	01177	SINO BIOPHARM	165,572.03	0.64	141,461.24	0.30	7.500	3.21	47.71
	00762	CHINA UNICOM	67,862.16	0.26	136,161.65	0.29	4.450	-39.37	10.99
	00288	WH GROUP	75,672.30	0.29	95,836.42	0.20	6.500	-19.25	8.37
	01093	CSPC PHARMA	152,912.65	0.59	94,951.99	0.20	7.930	-18.05	23.36
	00386	SINOPEC CORP	118,968.71	0.46	88,531.63	0.19	3.470	-24.12	6.66
	01044	HENGAN INT'L	55,950.08	0.22	65,129.92	0.14	54.900	-1.08	15.34
	02018	AAC TECH	148,264.22	0.58	52,448.90	0.11	43.400	-36.18	21.53
	00857	PETROCHINA	87,272.01	0.34	50,637.36	0.11	2.400	-38.05	8.83
Total (52)			13,893,033.71	53.91	26,504,695.61	55.93			
Total **			25,772,020.09	100.00	47,392,196.36	100.00			

Notes:

* As compared with previous year.

** Total Turnover is the sum of equity total and REIT total whereas Total Market capitalisation includes equities only.

() The number of constituent stocks.

Statistics on Hang Seng China Enterprises Index stocks, 2020

End of 2020

Stock Code	Stock Name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
00700	TENCENT	2,478,041.27	9.67	5,409,700.37	11.41	564.000	50.16	51.67
09988	BABA-SW	1,488,156.52	5.81	5,034,648.59	10.62	232.600	12.26	-
03690	MEITUAN-W	1,195,811.89	4.67	1,733,416.04	3.66	294.600	189.11	694.81
00939	CCB	495,065.59	1.93	1,416,058.01	2.99	5.890	-12.48	5.12
09618	JD-SW	224,987.47	0.88	1,070,389.49	2.26	342.000	n.a.	-
00941	CHINA MOBILE	362,044.21	1.41	905,016.34	1.91	44.200	-32.52	7.70
01810	XIAOMI-W	914,901.06	3.57	836,168.39	1.76	33.200	207.98	71.88
02318	PING AN	722,781.83	2.82	707,519.81	1.49	95.000	3.15	10.17
09999	NTES-S	108,974.98	0.43	509,975.34	1.08	147.600	n.a.	-
06618	JD HEALTH	60,207.79	0.23	477,655.07	1.01	150.000	n.a.	-
01398	ICBC	303,918.67	1.19	436,574.04	0.92	5.030	-16.17	5.44
02020	ANTA SPORTS	163,141.86	0.64	332,239.13	0.70	122.900	76.20	56.56
00883	CNOOC	232,445.03	0.91	320,568.73	0.68	7.180	-44.60	4.78
06862	HAIDILAO	80,418.32	0.31	316,410.00	0.67	59.700	90.73	122.92
00241	ALI HEALTH	180,162.05	0.70	308,098.31	0.65	22.900	154.44	-
00960	LONGFOR GROUP	65,220.57	0.25	275,047.37	0.58	45.400	24.38	13.27
00175	GEELY AUTO	304,487.47	1.19	260,128.68	0.55	26.500	73.88	26.90
02007	COUNTRY GARDEN	65,399.24	0.26	236,219.58	0.50	10.720	-14.10	5.29
00291	CHINA RES BEER	97,916.95	0.38	231,634.23	0.49	71.400	65.66	160.38
02313	SHENZHOU INTL	106,681.75	0.42	228,489.80	0.48	152.000	33.45	41.01
01109	CHINA RES LAND	106,733.10	0.42	228,190.07	0.48	32.000	-17.53	7.13
03968	CM BANK	160,679.80	0.63	224,954.16	0.47	49.000	22.35	12.30
03692	HANSOH PHARMA	42,351.24	0.17	222,554.07	0.47	37.600	45.17	73.73
03988	BANK OF CHINA	202,400.84	0.79	221,599.03	0.47	2.650	-20.42	3.96
03333	EVERGRANDE	100,090.25	0.39	197,265.35	0.42	14.900	-31.02	10.37
02382	SUNNY OPTICAL	270,541.07	1.06	186,135.39	0.39	169.700	25.80	42.00
02319	MENGNUI DAIRY	88,127.35	0.34	184,730.27	0.39	46.800	48.57	40.64
00688	CHINA OVERSEAS	110,404.14	0.43	184,685.87	0.39	16.860	-44.45	4.07
00981	SMIC	620,431.22	2.42	170,182.12	0.36	22.100	85.09	70.83
06186	CHINA FEIHE	117,095.40	0.46	162,229.45	0.34	18.160	98.47	34.43
00384	CHINA GAS HOLD	71,933.45	0.28	160,540.96	0.34	30.800	5.48	17.49
00267	CITIC	30,294.94	0.12	159,705.54	0.34	5.490	-47.31	2.96
03328	BANKCOMM	31,299.25	0.12	143,548.64	0.30	4.100	-25.99	3.74
01177	SINO BIOPHARM	165,572.03	0.65	141,461.24	0.30	7.500	3.21	47.71
00762	CHINA UNICOM	67,862.16	0.26	136,161.65	0.29	4.450	-39.37	10.99
01918	SUNAC	195,004.55	0.76	133,600.28	0.28	28.650	-38.45	4.39
02688	ENN ENERGY	53,073.47	0.21	128,393.67	0.27	113.800	33.65	20.35
02628	CHINA LIFE	236,891.91	0.92	127,244.09	0.27	17.100	-21.02	7.60
01193	CHINA RES GAS	38,140.63	0.15	95,453.03	0.20	41.250	-3.62	17.82
01093	CSPC PHARMA	152,912.65	0.60	94,951.99	0.20	7.930	-18.05	23.36
00270	GUANGDONG INV	34,580.00	0.13	91,267.99	0.19	13.960	-14.36	18.09
00386	SINOPEC CORP	118,968.71	0.46	88,531.63	0.19	3.470	-24.12	6.66
00813	SHIMAO GROUP	59,534.29	0.23	87,381.13	0.18	24.700	-17.98	6.83
01288	ABC	83,707.21	0.33	87,298.26	0.18	2.840	-17.20	4.41
01658	PSBC	45,180.39	0.18	86,970.01	0.18	4.380	-17.36	5.55
02601	CPIC	101,327.05	0.40	84,230.36	0.18	30.350	-1.14	9.07
00586	CONCH VENTURE	42,061.61	0.16	68,039.08	0.14	37.700	10.88	8.89
01044	HENGAN INT'L	55,950.08	0.22	65,129.92	0.14	54.900	-1.08	15.34
00914	CONCH CEMENT	105,063.34	0.41	63,095.58	0.13	48.550	-14.52	6.95
00788	CHINA TOWER	187,803.97	0.73	53,196.80	0.11	1.140	-33.72	34.65
00857	PETROCHINA	87,272.01	0.34	50,637.36	0.11	2.400	-38.05	8.83
		13,434,052.62	52.43	25,175,322.32	53.12			
	Equity Total	25,622,324.16	100.00	47,392,196.36	100.00			

* As compared with previous year.

Statistics on Hang Seng China-Affiliated Corporations Index stocks, 2020

End of 2020

Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
00941	CHINA MOBILE	362,044.21	1.41	905,016.34	1.91	44.200	-32.52	7.70
00883	CNOOC	232,445.03	0.91	320,568.73	0.68	7.180	-44.60	4.78
00291	CHINA RES BEER	97,916.95	0.38	231,634.23	0.49	71.400	65.66	160.38
01109	CHINA RES LAND	106,733.10	0.42	228,190.07	0.48	32.000	-17.53	7.13
02319	MENGIU DAIRY	88,127.35	0.34	184,730.27	0.39	46.800	48.57	40.64
00688	CHINA OVERSEAS	110,404.14	0.43	184,685.87	0.39	16.860	-44.45	4.07
00981	SMIC	620,431.22	2.42	170,182.12	0.36	22.100	85.09	70.83
00267	CITIC	30,294.94	0.12	159,705.54	0.34	5.490	-47.31	2.96
00762	CHINA UNICOM	67,862.16	0.26	136,161.65	0.29	4.450	-39.37	10.99
01193	CHINA RES GAS	38,140.63	0.15	95,453.03	0.20	41.250	-3.62	17.82
00270	GUANGDONG INV	34,580.00	0.13	91,267.99	0.19	13.960	-14.36	18.09
01313	CHINARES CEMENT	29,842.55	0.12	60,472.24	0.13	8.660	-12.70	7.02
00135	KUNLUN ENERGY	32,411.16	0.13	58,013.97	0.12	6.700	-2.62	9.18
03808	SINOTRUK	18,091.80	0.07	54,667.67	0.12	19.800	19.13	15.02
00966	CHINA TAIPING	47,581.83	0.19	50,244.38	0.11	13.980	-27.64	5.69
00817	CHINA JINMAO	33,028.34	0.13	45,467.55	0.10	3.570	-41.19	5.87
00836	CHINA RES POWER	15,614.71	0.06	40,167.21	0.08	8.350	-23.67	6.09
01114	BRILLIANCE CHI	32,447.94	0.13	35,619.60	0.08	7.060	-9.24	4.81
00144	CHINA MER PORT	16,740.89	0.07	34,743.73	0.07	9.490	-28.00	3.83
00392	BEIJING ENT	14,407.15	0.06	31,929.95	0.07	25.300	-29.23	3.96
00371	BJ ENT WATER	11,623.85	0.05	31,267.38	0.07	3.120	-20.81	6.27
00152	SHENZHEN INT'L	11,237.29	0.04	27,481.29	0.06	12.520	-23.61	5.34
00257	EB ENVIRONMENT	15,833.58	0.06	26,906.23	0.06	4.380	-29.92	5.17
03320	CHINARES PHARMA	9,293.12	0.04	25,004.39	0.05	3.980	-44.95	7.61
00165	CHINA EB LTD	9,387.34	0.04	17,492.93	0.04	10.380	-28.71	7.82
		2,086,521.25	8.14	3,247,074.37	6.85			
Equity Total		25,622,324.16	100.00	47,392,196.36	100.00			

* As compared with previous year.

Statistics on China enterprises (H shares), 2016-2020

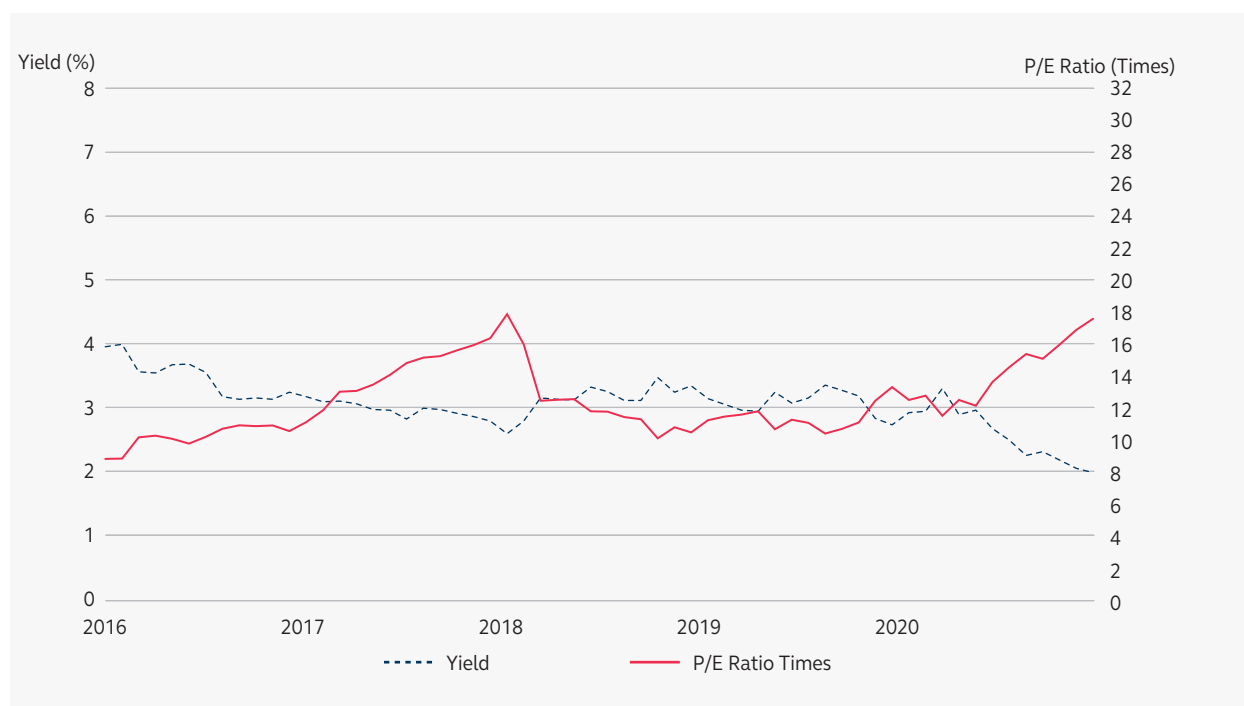
Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2016	218	651,424.90	19.91	3,983,132.61	36.02	5,316,159.06	21.74
2017	228	760,526.96	20.91	5,571,571.42	34.71	6,758,944.23	20.05
2018	243	853,428.61	29.07	6,636,842.92	34.33	5,937,289.44	19.98
2019	262	756,694.68	28.91	4,994,786.05	32.12	6,423,518.74	16.88
2020	272	910,286.49	29.38	6,185,457.07	24.14	6,702,123.66	14.14
2020 Jan	263	56,292.85	27.37	413,122.14	26.34	5,795,271.56	16.16
2020 Feb	262	69,796.74	30.39	472,870.07	27.40	5,914,516.20	16.38
2020 Mar	262	117,542.30	36.19	720,140.36	28.25	5,568,080.31	17.02
2020 Apr	261	61,100.40	32.08	366,306.09	25.20	5,727,358.79	16.40
2020 May	261	62,444.26	28.20	383,566.05	21.15	5,464,745.34	16.13
2020 Jun	261	69,710.05	28.17	447,944.34	21.57	5,539,826.16	14.65
2020 Jul	266	105,097.51	29.17	762,062.46	25.65	5,692,003.39	14.06
2020 Aug	267	71,124.71	25.33	479,488.16	21.36	5,633,603.41	13.21
2020 Sep	267	64,897.31	26.01	450,682.44	21.60	5,512,799.10	13.27
2020 Oct	268	60,088.43	30.96	419,491.47	25.39	5,706,656.59	13.21
2020 Nov	270	93,549.56	32.85	682,676.52	24.14	6,426,612.95	14.09
2020 Dec	272	78,642.36	25.38	587,106.96	22.12	6,702,123.66	14.14

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on Red chips stocks, 2016-2020

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2016	147	264,116.10	8.07	1,564,516.57	14.15	4,898,947.36	20.04
2017	153	280,803.63	7.72	1,915,957.59	11.93	5,726,457.33	16.98
2018	159	260,101.99	8.86	2,168,499.87	11.22	5,374,871.03	18.08
2019	168	225,788.40	8.63	1,756,638.29	11.30	5,443,942.64	14.30
2020	172	325,165.55	10.49	2,634,680.55	10.28	4,505,415.11	9.51
2020 Jan	173	18,609.07	9.05	161,263.14	10.28	5,040,238.52	14.05
2020 Feb	173	21,232.58	9.25	192,122.71	11.13	4,940,676.69	13.69
2020 Mar	173	40,003.19	12.31	264,471.57	10.38	4,343,783.33	13.28
2020 Apr	173	19,655.38	10.32	157,027.93	10.80	4,668,203.67	13.37
2020 May	173	22,067.27	9.97	199,555.67	11.00	4,318,799.18	12.74
2020 Jun	173	26,790.80	10.83	209,464.63	10.09	4,419,842.48	11.69
2020 Jul	173	36,524.55	10.14	375,822.85	12.65	4,639,143.97	11.46
2020 Aug	173	26,378.36	9.40	227,683.19	10.14	4,678,591.03	10.97
2020 Sep	173	23,456.22	9.40	190,227.78	9.12	4,257,989.12	10.25
2020 Oct	172	18,719.71	9.64	130,831.92	7.92	4,166,151.26	9.65
2020 Nov	171	29,532.64	10.37	244,475.14	8.65	4,455,939.64	9.77
2020 Dec	172	42,195.79	13.62	281,734.02	10.62	4,505,415.11	9.51

Average dividend yields and average price earnings ratios movement, 2016 - 2020



Average dividend yields and average price earning ratios of HSI, HSCEI and HSCCI stocks*, 2016-2020

Year/Month	Average dividend yields (%)			Average price earning ratios (Times)			
	HSI	HSCEI	HSCCI	HSI	HSCEI	HSCCI	
2016	3.77	3.97	5.52	10.86	7.39	10.85	
2017	2.98	3.47	2.35	16.01	9.72	16.23	
2018	4.10	4.51	3.61	10.81	8.95	11.64	
2019	3.52	3.43	3.38	11.25	9.53	10.51	
2020	2.90	2.90	4.22	13.96	10.96	8.20	
2020	Jan	3.78	3.74	3.75	10.51	8.73	9.49
	Feb	3.83	3.75	3.81	10.86	8.60	9.30
	Mar	4.41	4.19	4.52	9.46	7.61	7.88
	Apr	3.87	4.02	4.03	9.95	8.02	8.31
	May	4.14	4.20	4.25	9.27	7.64	7.87
	Jun	4.01	4.14	4.21	10.27	7.87	8.03
	Jul	3.99	4.02	4.08	10.34	8.10	8.41
	Aug	3.89	4.04	4.11	10.62	8.06	8.35
	Sep	3.69	3.78	4.36	10.92	8.39	7.82
	Oct	3.59	3.64	4.43	11.37	8.72	7.71
	Nov	3.29	3.37	4.18	12.42	9.42	8.16
	Dec	2.90	2.90	4.22	13.96	10.96	8.20

* Month-end or year-end figures.

Average dividend yields of all listed stocks by Hang Seng Industry Classification System*, 2016-2020

(%)

Year/Month	All listed stocks	Energy	Materials	Industrials	Consumer Discretionary [^]	Consumer Staples [^]	Healthcare [^]	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods [^]	Consumer Services [^]	
2016	3.24	2.60	1.76	2.81	n.a.	n.a.	n.a.	3.27	3.46	4.44	3.78	0.71	3.14	2.34	3.12	
2017	2.79	4.01	2.27	2.57	n.a.	n.a.	n.a.	6.20	3.91	3.34	2.86	0.40	3.14	2.16	1.99	
2018	3.34	5.39	3.47	3.43	n.a.	n.a.	n.a.	3.96	3.83	4.41	3.94	0.58	3.57	2.62	2.28	
2019	2.73	5.77	3.51	3.85	2.54	1.69	0.80	4.33	3.16	4.13	4.10	0.23	4.30	n.a.	n.a.	
2020	1.98	7.44	2.35	2.51	1.17	1.85	0.45	6.62	3.64	3.51	4.27	0.17	5.32	n.a.	n.a.	
2020	Jan	2.92	6.59	3.79	4.20	2.74	1.83	0.78	4.46	3.23	4.50	4.61	0.23	4.75	n.a.	n.a.
	Feb	2.94	7.10	3.74	4.20	2.99	1.98	0.74	4.62	3.36	4.53	4.44	0.22	4.87	n.a.	n.a.
	Mar	3.30	8.39	3.96	4.76	3.21	2.42	0.83	5.33	3.93	5.16	5.05	0.27	5.70	n.a.	n.a.
	Apr	2.89	8.21	3.40	4.56	2.81	2.24	0.67	4.89	3.60	4.35	4.53	0.24	5.34	n.a.	n.a.
	May	2.96	8.45	3.54	4.69	2.69	2.27	0.72	5.59	3.85	4.64	4.87	0.24	6.05	n.a.	n.a.
	Jun	2.67	8.58	3.45	4.37	2.45	2.19	0.59	5.81	3.94	4.56	4.60	0.20	5.94	n.a.	n.a.
	Jul	2.49	8.69	3.02	3.88	2.28	1.98	0.52	5.70	3.88	4.60	4.43	0.17	6.05	n.a.	n.a.
	Aug	2.25	7.00	3.00	3.60	1.68	2.07	0.51	5.46	3.99	4.34	4.29	0.17	5.22	n.a.	n.a.
	Sep	2.31	7.77	3.05	3.10	1.55	1.96	0.53	5.96	4.13	4.65	4.53	0.17	5.95	n.a.	n.a.
	Oct	2.18	8.12	2.94	3.03	1.55	2.00	0.52	6.24	4.10	4.25	4.67	0.16	5.94	n.a.	n.a.
	Nov	2.05	7.02	2.44	2.80	1.33	2.00	0.58	6.32	3.81	3.59	4.32	0.17	5.14	n.a.	n.a.
	Dec	1.98	7.44	2.35	2.51	1.17	1.85	0.45	6.62	3.64	3.51	4.27	0.17	5.32	n.a.	n.a.

Note: Month-end or year-end figures.

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Average price earnings ratios of all listed stocks by Hang Seng Industry Classification System*, 2016-2020

(Times)

Year/Month	All listed stocks	Energy	Materials	Industrials	Consumer Discretionary [^]	Consumer Staples [^]	Healthcare [^]	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods [^]	Consumer Services [^]	
2015	9.90	6.59	12.70	16.58	n.a.	n.a.	n.a.	13.54	8.49	8.26	7.21	30.79	6.61	15.99	12.73	
2016	10.53	20.74	16.75	13.89	n.a.	n.a.	n.a.	13.59	13.10	7.58	8.26	36.41	4.26	17.95	16.84	
2017	16.34	29.02	15.22	17.17	n.a.	n.a.	n.a.	15.74	16.76	12.42	11.35	56.74	9.99	23.60	25.29	
2018	10.45	12.96	6.90	10.05	n.a.	n.a.	n.a.	12.80	15.22	8.29	6.37	30.32	6.90	15.03	19.12	
2019	13.28	9.07	6.87	11.82	17.12	28.52	31.04	11.31	14.74	9.46	7.34	61.20	6.10	n.a.	n.a.	
2020	17.55	5.77	11.67	15.18	30.65	30.99	81.54	8.88	14.15	8.53	8.09	102.61	4.75	n.a.	n.a.	
2020	Jan	12.48	7.97	6.36	10.84	15.89	26.29	31.62	10.98	14.42	8.71	6.55	60.14	5.53	n.a.	n.a.
	Feb	12.74	7.40	6.50	10.86	15.61	23.86	33.96	10.61	15.05	9.00	6.88	61.69	5.39	n.a.	n.a.
	Mar	11.49	6.03	6.57	8.48	13.87	18.92	38.57	11.01	12.95	7.70	6.43	53.91	4.93	n.a.	n.a.
	Apr	12.48	6.37	7.65	8.96	15.82	20.65	42.82	11.98	14.20	7.76	7.08	63.13	5.01	n.a.	n.a.
	May	12.11	6.19	7.34	8.73	15.73	20.47	44.45	10.49	13.29	7.27	6.59	63.98	4.43	n.a.	n.a.
	Jun	13.60	6.02	7.49	9.44	17.05	21.60	54.17	10.10	12.90	7.65	7.05	81.66	4.51	n.a.	n.a.
	Jul	14.52	5.94	8.56	10.62	17.86	23.90	61.91	10.28	13.10	7.54	7.29	91.30	4.43	n.a.	n.a.
	Aug	15.35	6.22	9.16	10.39	19.80	23.73	60.56	10.74	12.80	7.60	7.53	101.50	4.43	n.a.	n.a.
	Sep	15.05	5.50	8.93	11.88	21.39	24.73	57.79	9.86	12.45	7.08	7.42	98.13	3.91	n.a.	n.a.
	Oct	15.94	5.25	9.27	12.39	22.52	26.42	59.04	9.43	12.57	7.30	7.42	108.11	4.25	n.a.	n.a.
	Nov	16.85	6.07	11.14	13.56	26.41	28.56	62.34	9.31	13.54	8.35	7.98	104.75	4.92	n.a.	n.a.
	Dec	17.55	5.77	11.67	15.18	30.65	30.99	81.54	8.88	14.15	8.53	8.09	102.61	4.75	n.a.	n.a.

Note: Month-end or year-end figures.

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Cash dividend payout by Hang Seng Industry Classification System*, 2016 – 2020

Year/Month	No. of companies declared	No. of companies paid	Energy (HK\$mil)	Materials (HK\$mil)	Industrials (HK\$mil)	Consumer Goods [^] (HK\$mil)	Consumer Services [^] (HK\$mil)	Consumer Discretionary [^] (HK\$mil)	Consumer Staples [^] (HK\$mil)	Healthcare [^] (HK\$mil)	Telecommunications (HK\$mil)	Utilities (HK\$mil)	Financials (HK\$mil)	Properties & Construction (HK\$mil)	Information Technology (HK\$mil)	Conglomerates (HK\$mil)	Total dividend payout (HK\$mil)
2016	1,644	810	26,078.33	6,803.04	28,975.29	53,013.13	40,070.43	n.a.	n.a.	n.a.	69,540.40	47,160.01	318,548.00	137,952.43	19,235.52	30,765.44	778,142.01
2017	1,709	849	45,146.40	8,753.74	32,579.36	65,928.51	41,857.75	n.a.	n.a.	n.a.	135,050.53	74,538.82	315,143.80	147,708.02	17,037.87	34,520.12	918,264.92
2018	1,829	890	59,562.85	20,216.91	35,532.14	74,040.52	33,715.27	n.a.	n.a.	n.a.	82,857.03	64,530.32	358,476.51	188,247.61	23,105.05	37,406.55	977,690.77
2019	1,947	973	58,428.68	17,831.84	35,307.46	52,958.34	23,192.12	27,915.68	6,741.71	3,580.72	80,386.98	55,370.56	353,092.90	196,244.55	21,968.97	37,968.48	970,989.01
2020	2,090	913	52,659.10	13,445.55	43,342.80	n.a.	n.a.	61,846.49	26,244.64	13,748.83	84,345.24	58,399.99	279,519.64	235,055.26	26,528.95	32,144.31	927,280.79
2020 Jan	14	56	1,051.11	938.32	887.74	n.a.	n.a.	1,124.77	30.20	167.10	472.18	746.42	22.93	5,976.02	18.40	-	11,435.19
Feb	125	17	-	-	7,809.90	n.a.	n.a.	691.75	-	3.15	-	-	1,357.84	21,467.00	-	-	31,329.64
Mar	1,466	18	-	21.53	4.80	n.a.	n.a.	383.69	34.07	178.61	-	3,006.48	7,661.63	4,900.84	93.39	-	16,285.03
Apr	53	31	1,436.96	67.50	349.07	n.a.	n.a.	4,144.30	210.43	4.98	162.64	1,421.74	4,788.26	9,197.92	219.56	1,134.23	23,137.58
May	52	40	-	688.81	942.23	n.a.	n.a.	1,835.55	329.95	96.11	3,724.34	9,050.75	2,052.81	15,976.40	11,605.89	11,346.96	57,649.81
Jun	321	261	6,847.99	4,054.94	12,647.77	n.a.	n.a.	12,739.39	4,437.34	1,975.39	43,440.49	11,652.16	31,828.76	24,308.73	2,509.57	565.35	157,007.89
Jul	38	279	27,840.36	3,872.09	8,910.92	n.a.	n.a.	14,532.12	10,645.58	5,333.54	1,734.68	11,653.45	146,624.07	59,287.21	5,334.53	2,928.65	298,697.21
Aug	1,581	151	1,544.01	758.94	4,323.83	n.a.	n.a.	8,280.17	317.77	2,357.92	-	3,680.52	55,748.99	29,181.73	1,009.62	8,290.72	115,494.23
Sep	79	178	-	851.65	4,361.00	n.a.	n.a.	6,057.07	3,718.20	1,296.43	33,900.88	9,819.62	18,146.29	15,648.24	2,855.46	2,464.35	99,119.19
Oct	29	140	9,818.37	641.72	2,093.78	n.a.	n.a.	3,992.36	3,412.64	1,505.78	742.16	5,299.59	7,426.12	18,531.26	243.47	1,322.48	55,029.72
Nov	356	39	4,120.31	1,414.22	686.97	n.a.	n.a.	526.90	-	452.57	167.88	450.81	2,628.90	22,100.46	408.55	2,909.03	35,866.58
Dec	26	70	-	135.84	324.79	n.a.	n.a.	7,538.40	3,108.45	377.23	-	1,618.45	1,233.04	8,479.46	2,230.51	1,182.54	26,228.72

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited

^ With effect from 9 September 2019, 'Consumer Goods' and 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries.

Short selling transactions by designated stock, 2020

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
01530	3SBIO	438,946,000	3,564,516,930	12.31	4,314,983,910	33,964,138,353	12.70
02051	51 CREDIT CARD	10,202,500	417,555,010	2.44	12,337,090	344,802,777	3.58
03011	A ICBCCICUSD	284	7,500	3.79	2,245,734	59,273,076	3.79
09011	A ICBCCICUSD-U	3,792	11,222	33.79	29,786,304	88,259,094	33.75
03091	A NIKKOAMGAME	553,060	1,051,741	52.59	46,773,124	91,185,489	51.29
09091	A NIKKOAMGAME-U	242,740	585,410	41.46	20,574,832	51,853,107	39.68
02018	AAC TECH	514,413,000	3,061,008,748	16.81	25,076,354,400	148,264,222,872	16.91
01288	ABC	7,011,150,000	28,152,434,195	24.90	20,894,484,990	83,707,212,938	24.96
02821	ABF PAIF	24,610	194,869	12.63	23,791,675	181,615,999	13.10
03383	AGILE GROUP	280,456,000	1,608,314,069	17.44	2,685,182,860	15,650,941,971	17.16
01131	AGRITRADE RES	235,250,000	13,031,262,186	1.81	50,194,515	2,441,467,747	2.06
01299	AIA	1,188,765,400	6,763,735,397	17.58	90,157,526,270	511,273,970,820	17.63
00286	AIDIGONG	25,634,000	3,255,561,461	0.79	14,006,350	1,822,767,025	0.77
00753	AIR CHINA	720,660,000	5,515,875,673	13.07	4,272,574,860	31,378,493,868	13.62
00538	AJISEN (CHINA)	3,018,000	263,292,184	1.15	5,947,000	370,366,528	1.61
01789	AK MEDICAL	198,850,000	1,902,834,712	10.45	3,684,732,060	35,248,878,087	10.45
09926	AKESO-B	19,039,000	772,931,644	2.46	580,908,500	21,625,765,122	2.69
00241	ALI HEALTH	866,912,000	9,810,350,184	8.84	16,414,027,400	180,162,045,493	9.11
01060	ALI PICTURES	1,422,040,000	11,538,774,381	12.32	1,524,549,500	12,525,357,197	12.17
03319	A-LIVING	160,775,500	1,337,697,246	12.02	5,967,516,155	48,421,731,867	12.32
00373	ALLIED GROUP	200,000	45,106,400	0.44	757,140	279,203,267	0.27
00056	ALLIED PPT (HK)	402,000	159,058,929	0.25	748,280	293,166,735	0.26
09966	ALPHAMAB-B	38,253,000	420,268,156	9.10	649,299,860	7,036,246,769	9.23
02843	AMUNDI A50	37,600	12,816,200	0.29	564,756	190,570,073	0.30
82843	AMUNDI A50-R	7,200	7,201,600	0.10	107,969	108,418,070	0.10
83012	AMUNDI HK35-R	103,000	3,856,500	2.67	1,907,977	69,997,436	2.73
00347	ANGANG STEEL	418,352,000	3,194,464,443	13.10	1,032,816,100	7,890,655,355	13.09
00995	ANHUI EXPRESSWAY	9,562,000	242,749,657	3.94	39,707,820	1,013,282,323	3.92
02020	ANTA SPORTS	364,515,000	2,174,908,408	16.76	28,070,620,850	163,141,858,446	17.21
03337	ANTON OILFIELD	250,820,000	4,184,161,802	5.99	158,070,600	2,333,139,677	6.78
03662	AOYUAN HEALTHY	101,271,000	1,750,503,515	5.79	726,922,500	12,893,745,345	5.64
00860	APOLLO FMG	142,724,000	5,218,088,132	2.74	81,987,020	2,973,032,683	2.76
01045	APT SATELLITE	252,000	234,950,243	0.11	742,220	569,537,569	0.13
03313	ARTGO HOLDINGS	28,450,000	12,545,398,710	0.23	6,484,690	1,711,237,475	0.38
06855	ASCENTAGE-B	9,808,300	117,379,056	8.36	390,669,005	4,206,453,842	9.29
01672	ASCLTIS-B	77,475,000	1,407,912,755	5.50	302,327,430	5,277,310,296	5.73
00743	ASIA CEMENT CH	49,800,500	544,846,538	9.14	421,261,565	4,695,926,363	8.97
01675	ASIAINFO TECH	19,465,200	433,535,882	4.49	227,902,036	5,105,248,209	4.46
00522	ASM PACIFIC	58,080,700	454,860,865	12.77	5,083,502,390	39,970,066,185	12.72
01717	AUSNUTRIA	134,024,000	1,462,592,789	9.16	1,735,878,660	19,095,183,458	9.09
00161	AVIC IHL	5,836,000	103,987,098	5.61	48,629,540	863,322,149	5.63
02357	AVICHINA	544,263,000	5,603,394,092	9.71	2,283,065,200	23,672,672,465	9.64
09988	BABA-SW	1,350,658,800	6,349,566,015	21.27	307,535,361,660	1,488,156,517,271	20.67
01761	BABY TREE GROUP	93,500	158,699,305	0.06	161,475	215,190,642	0.08
01958	BAIC MOTOR	486,939,500	2,830,533,209	17.20	1,595,728,405	9,310,622,376	17.14
02100	BAIOO	1,384,000	1,902,324,129	0.07	1,279,680	2,015,211,432	0.06
00874	BAIYUNSHAN PH	49,908,000	426,601,312	11.70	1,113,022,200	9,510,183,083	11.70
03988	BANK OF CHINA	17,765,032,000	71,459,863,282	24.86	49,922,641,610	202,400,840,979	24.67
00023	BANK OF E ASIA	102,411,800	390,522,397	26.22	1,721,830,528	6,593,869,045	26.11
02139	BANK OF GANSU	9,912,000	2,807,930,428	0.35	9,004,050	2,500,928,317	0.36
06199	BANK OF GUIZHOU	97,000	694,245,050	0.01	227,470	1,657,419,138	0.01
01578	BANK OF TIANJIN	76,000	7,492,051	1.01	251,880	24,249,174	1.04
03328	BANKCOMM	1,183,650,000	6,959,074,574	17.01	5,345,195,800	31,299,245,245	17.08
06196	BANKOFZHENGZHOU	4,790,000	585,214,536	0.82	9,866,510	1,177,284,912	0.84
00737	BAY AREA DEV	2,270,000	66,749,331	3.40	6,498,045	187,170,769	3.47
02009	BBMG	349,249,000	2,666,027,787	13.10	658,256,980	4,889,945,173	13.46
00863	BC TECH GROUP	156,000	59,697,866	0.26	2,682,240	680,535,030	0.39
01963	BCQ	10,782,000	225,816,970	4.77	45,264,100	956,468,752	4.73
01250	BE CLEAN ENERGY	35,180,000	20,831,902,366	0.17	1,527,480	1,355,563,102	0.11
06160	BEIGENE	8,963,700	71,566,217	12.53	1,243,425,015	9,850,588,047	12.62
00694	BEIJING AIRPORT	414,770,000	3,412,169,219	12.16	2,314,137,080	18,936,068,164	12.22
00392	BEIJING ENT	36,533,500	523,932,814	6.97	982,629,475	14,407,151,492	6.82
00588	BEIJING N STAR	20,642,000	504,923,662	4.09	37,485,860	940,667,340	3.99
02868	BJ CAPITAL LAND	104,506,000	1,046,946,806	9.98	203,830,440	1,914,976,577	10.64
00686	BJ ENERGY INTL	54,496,000	1,867,264,669	2.92	12,604,912	457,942,490	2.75
02389	BJ ENT M&H	15,120,000	978,452,444	1.55	2,469,990	115,464,952	2.14
03718	BJ ENT URBAN	22,868,000	4,147,936,949	0.55	53,703,280	5,818,453,400	0.92
00371	BJ ENT WATER	580,528,000	3,597,777,544	16.14	1,863,702,880	11,623,853,849	16.03
03121	BMO AP REAL EST	71,600	3,770,450	1.90	661,291	34,511,946	1.92
03145	BMO ASIA HIGHDV	76,400	5,036,409	1.52	797,624	45,975,817	1.73
03141	BMO ASIA IGB	2,136,200	18,155,988	11.77	34,793,450	294,553,787	11.81
09141	BMO ASIA IGB-U	483,000	8,663,200	5.58	7,931,029	140,505,907	5.64
03143	BMO HK BANKS	1,358,000	7,643,627	17.77	8,348,547	48,998,581	17.04
03160	BMO JAPAN HDG	21,000	4,039,379	0.52	230,370	43,105,490	0.53
03086	BMO NASDAQ100	3,643,600	24,368,486	14.95	72,118,616	506,295,358	14.24
09086	BMO NASDAQ100-U	54,200	153,800	35.24	1,089,065	3,176,536	34.28
02588	BOC AVIATION	65,624,100	437,825,697	14.99	3,464,090,450	23,545,285,902	14.71
02388	BOC HONG KONG	647,825,500	3,246,362,438	19.96	15,129,391,375	76,707,327,270	19.72
00710	BOE VARITRONIX	5,769,000	152,679,893	3.78	14,045,550	381,160,964	3.68
03998	BOSIDENG	1,613,820,000	9,695,992,692	16.64	4,287,998,660	25,862,155,025	16.58
01859	BRIGHT CULTURE	5,400,000	1,672,491,112	0.32	7,743,020	2,013,317,764	0.38
01114	BRILLIANCE CHI	479,514,000	4,542,417,269	10.56	3,387,248,860	32,447,937,883	10.44
02163	BROAD HOMES	1,700,100	331,555,224	0.51	36,978,234	8,032,649,851	0.46
01876	BUD APAC	773,708,500	2,867,804,078	26.98	18,501,513,235	68,978,114,648	26.82
01211	BYD COMPANY	435,322,000	2,664,414,796	16.34	42,309,384,525	255,458,355,118	16.56

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
00285	BYD ELECTRONIC	450,458,500	4,118,822,155	10.94	10,934,134,115	101,744,412,951	10.75
01224	C C LAND	1,222,000	493,744,250	0.25	2,146,500	937,595,483	0.23
00658	C TRANSMISSION	34,228,000	757,304,289	4.52	183,982,810	4,231,223,199	4.35
01908	C&D INTL GROUP	32,530,000	758,282,550	4.29	384,066,450	8,862,195,020	4.33
00043	C.P. POKPHAND	12,488,000	1,420,178,602	0.88	9,627,280	1,054,452,027	0.91
01566	CA CULTURAL	7,381,000	945,179,540	0.78	17,805,900	2,298,705,034	0.77
00341	CAFE DE CORAL H	51,860,000	442,992,283	11.71	824,548,060	7,105,162,053	11.60
01848	CALC	11,133,000	153,719,744	7.24	77,002,330	1,075,842,599	7.16
03188	CAM CSI300	1,311,581,600	4,021,367,455	32.62	63,262,611,308	195,541,281,028	32.35
83188	CAM CSI300-R	45,003,000	128,588,704	35.00	2,193,637,898	6,432,305,775	34.10
03088	CAM HS TECH	37,451,600	116,565,266	32.13	363,927,261	1,125,643,128	32.33
09088	CAM HS TECH-U	109,400	354,400	30.87	1,074,349	3,609,806	29.76
06185	CANSINOBIO-B	43,544,800	417,768,169	10.42	7,332,158,320	70,572,011,022	10.39
01381	CANVEST ENV	17,001,000	282,509,340	6.02	59,368,870	974,786,981	6.09
00699	CAR INC	268,023,000	3,529,190,993	7.59	807,078,800	9,953,821,537	8.11
00293	CATHAY PAC AIR	289,559,000	2,055,818,922	14.08	2,161,100,460	14,402,768,237	15.00
09983	CC NEW LIFE	3,952,000	1,292,970,784	0.31	26,949,190	11,925,853,356	0.23
01375	CC SECURITIES	51,846,000	1,158,168,730	4.48	80,617,120	1,790,469,781	4.50
00939	CCB	21,286,737,000	81,993,319,651	25.96	128,466,056,110	495,065,589,426	25.95
01606	CDB LEASING	500,000	283,682,050	0.18	552,620	300,180,682	0.18
06818	CEB BANK	221,075,000	2,597,014,526	8.51	664,798,580	7,821,108,474	8.50
01257	CEB GREENTECH	103,081,000	866,896,487	11.89	359,744,300	2,956,504,055	12.17
00832	CENTRAL CHINA	33,735,000	732,998,278	4.60	133,759,190	2,970,355,460	4.50
00798	CEOVU	3,732,000	309,905,126	1.20	1,399,780	134,818,453	1.04
06098	CG SERVICES	342,957,000	1,967,733,379	17.43	14,152,641,450	77,214,321,044	18.33
01811	CGN NEW ENERGY	131,200,000	2,689,538,915	4.88	181,238,220	3,646,844,407	4.97
01816	CGN POWER	875,654,000	5,686,109,165	15.40	1,533,224,530	9,956,146,427	15.40
06881	CGS	446,623,000	5,394,351,832	8.28	2,010,720,150	24,874,256,431	8.08
03996	CH ENERGY ENG	2,420,000	183,764,512	1.32	1,947,940	156,116,675	1.25
01117	CH MODERN D	449,771,000	2,854,079,382	15.76	568,155,530	3,387,148,983	16.77
00081	CH OVS G OCEANS	80,453,000	1,388,546,768	5.79	382,317,050	6,791,032,725	5.63
02600	CHALCO	738,902,000	6,582,974,140	11.22	1,559,775,900	13,692,641,761	11.39
02778	CHAMPION REIT	189,518,000	1,021,112,664	18.56	828,590,200	4,405,781,705	18.81
00951	CHAOWEI POWER	2,678,000	455,819,317	0.59	6,953,380	1,449,872,881	0.48
00025	CHEVALIER INT'L	2,000	3,745,656	0.05	22,400	37,357,086	0.06
00978	CHI MER LAND	5,704,000	775,004,250	0.74	6,964,260	943,744,777	0.74
00606	CHINA AGRI	19,080,000	598,341,634	3.19	79,726,330	2,516,089,207	3.17
03883	CHINA AOYUAN	157,272,000	2,050,550,495	7.67	1,475,234,610	18,978,650,019	7.77
00526	CHINA AUTO NR	12,522,000	3,006,587,422	0.42	5,246,506	688,644,709	0.76
03983	CHINA BLUECHEM	56,526,000	1,564,700,201	3.61	69,473,280	1,955,160,462	3.55
01359	CHINA CINDA	861,719,000	7,793,082,614	11.06	1,313,528,990	12,023,837,079	10.92
01898	CHINA COAL	280,176,000	2,786,378,171	10.06	599,632,060	5,915,692,226	10.14
01800	CHINA COMM CONS	995,111,000	5,858,671,327	16.99	4,589,245,280	27,292,277,740	16.82
03969	CHINA CRSC	296,563,000	1,881,655,786	15.76	899,263,230	5,859,640,642	15.35
01387	CHINA DILI	21,182,000	496,152,443	4.27	37,602,040	972,119,883	3.87
03818	CHINA DONGXIANG	266,702,000	4,513,010,876	5.91	264,226,100	4,460,956,918	5.92
00670	CHINA EAST AIR	824,908,000	5,099,998,020	16.17	2,683,092,540	16,484,318,344	16.28
00667	CHINA EAST EDU	118,611,000	851,597,746	13.93	1,897,099,760	13,058,336,351	14.53
00165	CHINA EB LTD	82,100,000	765,539,553	10.72	975,316,220	9,387,337,025	10.39
00839	CHINA EDU GROUP	117,451,000	1,192,459,553	9.85	1,555,510,370	16,227,993,657	9.59
00228	CHINA ENERGY	20,742,000	1,092,704,058	1.90	3,646,092	172,037,569	2.12
06186	CHINA FEIHE	483,215,000	7,691,523,568	6.28	7,789,534,040	117,095,403,798	6.65
00506	CHINA FOODS	12,390,000	349,582,805	3.54	34,441,540	974,247,829	3.54
00384	CHINA GAS HOLD	381,088,600	2,876,488,217	13.25	9,991,883,790	71,933,454,502	13.89
02799	CHINA HUARONG	805,467,000	9,014,744,506	8.93	757,341,190	8,580,165,372	8.83
00817	CHINA JINMAO	820,932,000	6,504,687,973	12.62	4,462,574,460	33,028,339,889	13.51
01890	CHINA KEPEI	34,558,000	974,408,116	3.55	189,739,980	5,092,991,647	3.73
02128	CHINA LESSO	143,451,000	1,497,524,122	9.58	1,710,588,180	17,783,399,215	9.62
02628	CHINA LIFE	1,625,849,000	13,414,626,460	12.12	29,375,512,920	236,891,912,976	12.40
01234	CHINA LILANG	25,995,000	354,836,942	7.33	124,802,460	1,726,789,315	7.23
00772	CHINA LIT	200,283,400	1,430,858,713	14.00	10,004,490,410	68,353,095,495	14.64
00931	CHINA LNG	1,360,000	840,525,396	0.16	593,720	366,473,004	0.16
00916	CHINA LONGYUAN	321,096,000	4,529,189,252	7.09	1,693,140,730	23,472,131,357	7.21
00383	CHINA MED&HCARE	46,130,000	2,807,635,970	1.64	7,289,400	441,457,010	1.65
00144	CHINA MER PORT	143,100,000	1,711,346,463	8.36	1,406,063,100	16,740,889,307	8.40
00133	CHINA MERCHANTS	250,000	27,347,587	0.91	2,149,980	253,113,049	0.85
00941	CHINA MOBILE	914,964,000	6,666,709,685	13.72	50,479,094,440	362,044,208,514	13.94
00026	CHINA MOTOR BUS	16,600	2,757,284	0.60	1,747,260	298,337,730	0.59
00603	CHINA OIL & GAS	5,420,000	584,249,575	0.93	1,474,280	179,161,508	0.82
02883	CHINA OILFIELD	382,330,000	4,433,857,787	8.62	2,694,042,880	30,745,132,541	8.76
00581	CHINA ORIENTAL	110,578,000	1,192,591,844	9.27	248,170,360	2,750,460,941	9.02
00688	CHINA OVERSEAS	631,609,000	4,857,994,310	13.00	15,090,552,830	110,404,143,367	13.67
02669	CHINA OVS PPT	317,205,000	3,259,858,944	9.73	2,022,991,750	22,084,400,873	9.16
02380	CHINA POWER	488,892,000	4,311,557,376	11.34	739,238,630	6,513,938,196	11.35
01186	CHINA RAIL CONS	428,416,500	3,738,208,568	11.46	2,948,269,905	24,560,516,437	12.00
00390	CHINA RAILWAY	528,126,000	4,469,115,812	11.82	2,164,493,740	18,363,533,360	11.79
01508	CHINA RE	627,785,000	6,902,571,281	9.09	507,998,770	5,886,705,566	8.63
00291	CHINA RES BEER	217,218,000	2,124,995,805	10.22	9,930,947,600	97,916,946,281	10.14
01193	CHINA RES GAS	104,650,000	979,739,446	10.68	4,068,220,200	38,140,632,757	10.67
01109	CHINA RES LAND	656,254,000	3,188,810,000	20.58	22,280,746,000	106,733,098,761	20.88
00836	CHINA RES POWER	153,930,000	1,751,774,176	8.79	1,378,298,440	15,614,706,574	8.83
01907	CHINA RISUN GP	11,468,000	755,328,905	1.52	26,267,220	1,757,646,761	1.49
02198	CHINA SANJIANG	7,315,000	613,057,402	1.19	16,736,290	1,276,089,374	1.31
01966	CHINA SCE GROUP	123,145,000	2,469,042,361	4.99	453,489,720	9,361,545,633	4.84
01432	CHINA SHENGMU	120,000	4,217,342,656	0.00	23,979	2,612,176,375	0.00

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
01088	CHINA SHENHUA	965,336,500	6,301,252,888	15.32	13,250,135,830	87,682,981,541	15.11
01055	CHINA SOUTH AIR	931,962,000	6,312,461,069	14.76	3,802,790,340	25,793,329,624	14.74
00326	CHINA STAR ENT	1,390,000	285,340,027	0.49	2,311,500	467,384,706	0.49
03311	CHINA STATE CON	255,484,000	2,187,035,325	11.68	1,448,861,760	11,934,151,923	12.14
00956	CHINA SUNTIEN	223,572,000	3,222,439,263	6.94	470,682,740	6,654,352,885	7.07
00966	CHINA TAIPING	302,833,000	3,472,633,001	8.72	4,179,495,366	47,581,829,462	8.78
00728	CHINA TELECOM	2,440,928,000	20,700,959,083	11.79	6,115,960,700	52,092,010,228	11.74
01252	CHINA TIANRUI	6,000,000	225,520,746	2.66	44,986,170	1,738,986,372	2.59
00788	CHINA TOWER	25,294,336,000	121,358,690,046	20.84	39,066,561,840	187,803,968,837	20.80
00308	CHINA TRAVEL HK	173,094,000	2,054,293,356	8.43	195,505,740	2,353,598,341	8.31
00762	CHINA UNICOM	1,710,390,000	13,123,600,187	13.03	8,960,794,060	67,862,157,996	13.20
02202	CHINA VANKE	365,274,700	2,301,300,528	15.87	9,707,940,760	60,918,197,714	15.94
06166	CHINA VAST	8,844,000	246,461,129	3.59	25,953,920	718,639,743	3.61
00245	CHINA VERED FIN	12,680,000	3,916,933,122	0.32	1,211,310	375,600,833	0.32
00855	CHINA WATER	39,018,000	519,653,838	7.51	234,231,080	3,139,799,163	7.46
08083	CHINA YOUZAN	1,313,088,000	36,353,908,283	3.61	1,924,907,580	47,459,271,405	4.06
01333	CHINA ZHONGWANG	302,312,800	1,911,067,927	15.82	609,577,592	3,605,964,885	16.90
00552	CHINACOMSERVICE	320,416,000	3,286,107,448	9.75	1,655,192,820	17,203,326,795	9.62
02099	CHINAGOLDINTL	4,404,900	227,906,664	1.93	33,488,820	1,699,732,368	1.97
00512	CHINAGRANDPHARM	51,380,000	924,451,966	5.56	346,367,360	5,814,479,601	5.96
01378	CHINAHONGQIAO	154,524,500	2,105,537,193	7.34	761,041,380	11,467,648,396	6.64
01313	CHINARES CEMENT	177,948,000	3,018,306,160	5.90	1,772,063,300	29,842,545,726	5.94
03320	CHINARES PHARMA	219,872,500	1,923,065,619	11.43	997,879,460	9,293,119,918	10.74
00354	CHINASOFT INT'L	382,162,000	6,444,609,538	5.93	2,097,665,620	35,493,703,842	5.91
01668	CHINASOUTHCITY	121,592,000	3,501,655,852	3.47	102,597,200	3,022,970,835	3.39
00127	CHINESE EST H	9,550,500	145,694,850	6.56	47,054,895	701,519,184	6.71
01258	CHINFMINING	14,000	28,674,859	0.05	23,170	53,617,054	0.04
01111	CHONG HING BANK	161,000	11,984,361	1.34	1,631,500	120,815,813	1.35
00116	CHOW SANG SANG	17,952,000	211,746,444	8.48	147,949,220	1,807,269,014	8.19
01929	CHOW TAI FOOK	242,354,000	1,679,196,408	14.43	1,900,132,118	13,239,779,047	14.35
01858	CHUNLI MEDICAL	20,488,700	247,410,341	8.28	863,904,332	11,106,323,599	7.78
03908	CICC	272,102,400	3,495,021,604	7.79	4,285,574,556	56,685,414,028	7.56
03079	CICC CGB	17,780	33,900	52.45	2,273,982	4,250,421	53.50
83079	CICC CGB-R	520	29,220	1.78	65,936	3,488,214	1.89
03186	CICCKRANECNET	253,450	2,574,149	9.85	21,510,547	189,408,734	11.36
83186	CICCKRANECNET-R	125,100	764,450	16.36	10,709,407	62,084,030	17.25
09186	CICCKRANECNET-U	82,400	494,500	16.66	6,890,391	42,862,404	16.08
00884	CIFI HOLD GP	330,918,000	4,466,829,500	7.41	1,991,402,000	26,905,519,546	7.40
02039	CIMC	51,728,100	1,092,162,481	4.74	435,935,160	11,743,413,249	3.71
03899	CIMC ENRIC	94,624,000	947,398,384	9.99	353,495,040	3,615,996,138	9.78
01839	CIMC VEHICLES	2,973,500	259,221,552	1.15	20,766,325	1,617,657,039	1.28
00445	CIMC-TIANDA	7,920,000	4,213,845,000	0.19	1,975,415	991,258,848	0.20
00267	CITIC	600,237,000	4,197,884,547	14.30	4,338,385,940	30,294,935,621	14.32
00998	CITIC BANK	1,036,615,000	9,974,222,192	10.39	3,613,323,480	35,408,291,989	10.20
01205	CITIC RESOURCES	8,056,000	967,009,645	0.83	2,833,134	311,037,445	0.91
06030	CITIC SEC	805,391,000	6,032,832,900	13.35	13,805,749,110	102,510,369,075	13.47
01883	CITIC TELECOM	59,892,000	1,083,771,730	5.53	155,183,260	2,842,085,716	5.46
00256	CITYCHAMP	19,754,000	503,472,684	3.92	31,229,960	801,144,806	3.90
01113	CK ASSET	307,851,500	2,087,833,071	14.75	13,618,234,525	91,472,892,519	14.89
00001	CKH HOLDINGS	211,777,000	2,016,605,255	10.50	11,446,782,500	109,357,944,142	10.47
01038	CKI HOLDINGS	69,467,000	591,738,395	11.74	2,938,714,025	25,395,485,946	11.57
00775	CKLIFE SCIENCES	82,890,000	4,827,225,966	1.72	77,426,680	4,608,034,677	1.68
00100	CLEAR MEDIA	92,000	243,252,762	0.04	655,530	1,610,146,717	0.04
00002	CLP HOLDINGS	196,622,000	895,774,991	21.95	14,967,623,350	68,107,459,530	21.98
03968	CM BANK	653,369,500	4,106,655,961	15.91	25,827,619,300	160,679,798,607	16.07
01141	CMBC CAPITAL	287,770,000	9,081,680,672	3.17	39,077,390	1,197,441,700	3.26
01503	CMC REIT	4,157,000	246,752,848	1.68	10,907,950	679,804,340	1.60
01829	CMEC	26,765,000	592,485,590	4.52	55,772,410	1,214,702,338	4.59
03309	C-MER EYE	50,796,000	1,360,007,836	3.73	308,468,760	8,501,725,988	3.63
00302	CMGE	179,696,000	5,726,408,220	3.14	565,177,900	18,722,242,791	3.02
03993	CMOC	1,287,729,000	10,645,150,131	12.10	4,054,996,950	34,041,731,765	11.91
01636	CMRU	16,680,000	1,901,107,438	0.88	20,784,260	961,687,242	2.16
00867	CMS	131,317,000	1,402,417,935	9.36	1,286,075,620	13,159,495,532	9.77
06099	CMSC	64,717,200	1,166,498,671	5.55	650,616,958	11,911,601,997	5.46
03323	CNBM	695,892,000	9,073,335,353	7.67	6,617,577,400	87,258,424,019	7.58
01589	CNLP	60,943,000	639,622,556	9.53	206,113,720	2,272,809,058	9.07
00883	CNOOC	3,686,973,000	27,229,621,299	13.54	32,200,302,890	232,445,030,116	13.85
01610	COFCO JOYCOME	870,837,000	9,543,978,717	9.12	2,488,433,600	27,163,651,367	9.16
00906	COFCO PACKAGING	12,733,000	441,765,894	2.88	40,525,190	1,397,916,433	2.90
01778	COLOUR LIFE	69,252,000	755,326,738	9.17	262,745,870	2,900,970,178	9.06
02342	COMBA	607,662,000	9,352,878,605	6.50	1,852,133,320	29,160,562,372	6.35
00317	COMEC	26,886,000	1,044,034,191	2.58	229,793,240	9,986,875,662	2.30
00914	CONCH CEMENT	163,326,000	1,918,621,530	8.51	9,017,600,275	105,063,337,571	8.58
00586	CONCH VENTURE	144,074,000	1,183,697,139	12.17	5,132,244,050	42,061,606,301	12.20
00182	CONCORD NE	32,380,000	2,602,348,761	1.24	12,027,150	960,530,796	1.25
01681	CONSUN PHARMA	18,237,000	297,590,560	6.13	66,727,290	1,085,274,887	6.15
02866	COSCO SHIP DEV	189,146,000	5,121,205,272	3.69	182,312,510	5,305,462,573	3.44
01138	COSCO SHIP ENGY	524,086,000	3,853,742,696	13.60	1,879,317,200	14,365,778,633	13.08
01919	COSCO SHIP HOLD	462,866,500	4,348,967,238	10.64	2,058,683,160	20,679,227,315	9.96
00517	COSCO SHIP INTL	7,954,000	272,330,301	2.92	17,885,540	619,300,928	2.89
01199	COSCO SHIP PORT	146,798,000	1,318,558,543	11.13	675,803,300	6,024,912,654	11.22
02298	COSMO LADY	685,000	772,380,532	0.09	738,510	647,580,556	0.11
00120	COSMOPOL INT'L	19,240,000	507,071,277	3.79	29,838,760	733,408,242	4.07
02007	COUNTRY GARDEN	1,651,215,000	6,519,840,922	25.33	16,637,086,520	65,399,235,817	25.44
01415	COWELL	17,026,000	3,015,169,588	0.56	80,620,330	10,573,187,351	0.76

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
02601	CPIC	641,301,600	4,097,136,162	15.65	16,004,593,110	101,327,052,322	15.79
03618	CQRC BANK	183,415,000	2,367,910,252	7.75	597,695,030	7,781,389,143	7.68
00831	CR ASIA	9,346,000	368,117,566	2.54	19,988,500	1,388,777,048	1.44
01911	CR HOLDINGS	2,278,800	73,709,298	3.09	32,772,198	1,079,085,057	3.04
01515	CR MEDICAL	90,591,500	901,660,386	10.05	453,394,495	4,449,104,009	10.19
00032	CROSS-HAR(HOLD)	121,000	29,872,746	0.41	1,612,820	381,300,131	0.42
01766	CRRC	590,540,000	6,759,462,241	8.74	2,206,668,960	24,726,955,977	8.92
03898	CRRC TIMES ELEC	106,347,500	1,037,024,532	10.26	2,925,227,365	28,240,290,111	10.36
02232	CRYSTAL INTL	5,305,500	296,214,469	1.79	12,170,650	631,412,694	1.93
06066	CSC	281,538,500	4,503,455,104	6.25	2,752,796,495	42,954,007,745	6.41
00412	CSFG	23,952,000	15,173,274,299	0.16	8,822,892	4,980,462,625	0.18
00497	CSI PROPERTIES	7,300,000	1,256,871,864	0.58	1,816,300	312,415,195	0.58
02822	CSOP A50 ETF	3,363,022,600	7,287,929,387	46.15	51,586,846,776	111,768,890,679	46.15
82822	CSOP A50 ETF-R	157,320,000	323,338,638	48.65	2,417,530,511	4,981,040,841	48.53
03147	CSOP CHINEXT	36,688,200	302,734,190	12.12	391,447,744	2,974,800,286	13.16
83147	CSOP CHINEXT-R	398,600	10,702,200	3.72	4,067,541	102,349,124	3.97
03193	CSOP CSI 5G	361,400	4,969,400	7.27	3,019,357	41,124,905	7.34
83199	CSOP CTPBBOND-R	20,680	471,286	4.39	2,412,285	54,278,990	4.44
03053	CSOP HKD MM	14,000	824,000	1.70	14,421,700	846,124,303	1.70
83053	CSOP HKD MM-R	10,000	96,000	10.42	10,150,007	97,660,239	10.39
03033	CSOP HS TECH	540,418,600	2,116,390,109	25.53	4,197,790,494	16,481,542,502	25.47
03149	CSOP MSCI	54,800	2,916,000	1.88	822,524	38,856,324	2.12
83149	CSOP MSCI-R	29,200	1,540,600	1.90	349,511	19,933,753	1.75
03122	CSOP RMB MM	500	10,560	4.73	90,485	1,846,194	4.90
83122	CSOP RMB MM-R	200	1,200	16.67	36,312	209,117	17.36
03096	CSOP USD MM	4,000	10,000	40.00	3,173,800	7,937,200	39.99
03005	CSOPCSI500ETF	432,600	11,385,400	3.80	7,828,914	214,709,966	3.65
83005	CSOPCSI500ETF-R	202,800	8,319,200	2.44	3,748,931	165,372,421	2.27
01093	CSPC PHARMA	1,317,312,000	11,554,756,092	11.40	18,352,109,640	152,912,645,330	12.00
03877	CSSC SHIPPING	368,000	251,865,937	0.15	390,660	276,787,383	0.14
02616	CSTONE PHARMA-B	40,532,500	401,339,982	10.10	406,964,335	4,015,744,238	10.13
06055	CTIHK	30,873,000	628,485,438	4.91	498,405,800	10,346,284,095	4.82
02016	CZBANK	1,056,000	430,419,050	0.25	3,996,230	1,680,490,260	0.24
00440	DAH SING	7,682,800	95,851,906	8.02	175,794,864	2,230,476,620	7.88
02356	DAH SING BANKING	15,576,800	184,779,626	8.43	120,760,620	1,404,279,517	8.60
03799	DALI FOODS	175,468,500	1,427,064,598	12.30	881,978,225	7,050,509,740	12.51
02880	DALIAN PORT	5,586,000	1,010,964,469	0.55	4,225,700	781,870,948	0.54
00991	DATANG POWER	301,164,000	2,540,296,637	11.86	328,968,960	2,694,296,727	12.21
01798	DATANG RENEW	47,185,000	1,847,729,582	2.55	48,615,940	1,834,404,165	2.65
00861	DC HOLDINGS	96,803,000	1,374,134,816	7.04	564,478,170	7,861,294,138	7.18
02019	DEXIN CHINA	15,882,000	462,830,903	3.43	47,477,050	1,402,641,916	3.38
03958	DFZQ	46,284,400	816,545,185	5.67	221,183,276	3,998,436,967	5.53
06878	DIFFER GROUP	29,424,000	2,539,319,000	1.16	20,948,020	1,429,308,780	1.47
00547	DIGITAL DOMAIN	24,360,000	5,177,534,202	0.47	1,511,680	322,738,474	0.47
00508	DINGYI GP INV	5,395,000	3,673,191,380	0.15	1,247,775	268,646,756	0.46
00726	DIT GROUP	315,000	2,564,713,155	0.01	314,900	734,690,266	0.04
01072	DONGFANG ELEC	21,892,200	561,489,249	3.90	112,647,594	2,870,560,928	3.92
00489	DONGFENG GROUP	542,112,000	4,931,353,034	10.99	3,386,539,560	31,258,235,989	10.83
00895	DONGJIANG ENV	2,140,600	154,158,739	1.39	13,048,404	845,087,727	1.54
00189	DONGYUE GROUP	81,409,000	1,234,530,400	6.59	309,176,520	4,822,855,226	6.41
00620	DTXS SILK ROAD	516,000	51,700,356	1.00	2,383,640	250,185,832	0.95
01753	DUIBA	36,370,000	1,397,265,793	2.60	112,002,412	4,723,700,577	2.37
01330	DYNA GREEN ENV	5,035,000	383,932,285	1.31	15,628,770	1,283,713,432	1.22
06889	DYNAM JAPAN	3,804,200	75,683,382	5.03	29,870,450	607,287,006	4.92
00029	DYNAMIC HOLD	8,000	20,699,224	0.04	86,920	251,100,183	0.03
00257	EB ENVIRONMENT	386,690,000	3,405,372,091	11.36	1,797,883,940	15,833,575,451	11.35
06178	EB SECURITIES	82,770,200	1,443,457,606	5.73	718,009,870	12,342,632,911	5.82
00382	EDVANTAGE GROUP	7,726,000	844,664,351	0.91	47,408,820	4,828,466,752	0.98
03709	Eeka FASHION	9,075,000	378,293,051	2.40	93,821,520	4,371,095,831	2.15
02048	E-HOUSE ENT	31,790,400	360,500,460	8.82	284,988,351	3,229,107,948	8.83
00163	EMPEROR INT'L	6,280,000	127,014,449	4.94	8,647,800	167,692,260	5.16
02688	ENN ENERGY	74,193,000	596,896,145	12.43	6,699,202,410	53,073,472,607	12.62
00330	ESPRIT HOLDINGS	103,436,400	1,491,833,554	6.93	100,536,549	1,463,855,377	6.87
01821	ESR	113,317,200	1,893,782,445	5.98	2,619,715,698	40,415,923,146	6.48
01061	ESSEX BIO-TECH	4,676,000	115,077,120	4.06	22,902,640	558,217,019	4.10
00708	EVERG VEHICLE	103,327,500	2,063,177,292	5.01	2,368,842,070	47,133,033,777	5.03
03333	EVERGRANDE	1,426,435,000	5,859,184,015	24.35	23,984,671,950	100,090,253,712	23.96
01995	EVERSUNSHINE LS	153,072,000	2,271,315,368	6.74	1,753,867,880	26,776,555,034	6.55
03097	F GX OIL	32,816,500	411,022,002	7.98	71,827,378	837,918,663	8.57
03175	F SAMSUNG OIL	1,322,695,600	7,516,136,647	17.60	5,175,946,214	24,192,259,441	21.40
00052	FAIRWOOD HOLD	4,338,500	55,945,796	7.75	77,557,510	999,846,664	7.76
01777	FANTASIA	84,643,500	1,742,595,854	4.86	125,399,385	2,630,760,696	4.77
00035	FE CONSORT INTL	24,257,000	313,270,806	7.74	63,756,090	845,376,258	7.54
03360	FE HORIZON	251,777,000	1,595,237,425	15.78	1,784,287,310	11,261,846,773	15.84
07300	FI CSOP HSI	424,677,100	1,359,234,413	31.24	2,315,962,998	7,653,350,069	30.26
07302	FI EFUND YT HSI	56,700	2,002,800	2.83	259,532	9,496,808	2.73
07362	FI GX HSCEI	2,874,800	8,149,900	35.27	16,985,239	47,661,686	35.64
07336	FI GX HSI	2,913,400	9,638,100	30.23	16,290,726	55,326,608	29.44
07588	FI2 CSOP HSCEI	86,167,000	273,050,100	31.56	467,500,196	1,558,364,395	30.00
07500	FI2 CSOP HSI	11,706,108,100	33,154,648,747	35.31	79,011,573,910	230,062,954,851	34.34
07522	FI2CAMDXNNDQ100	226,392,200	921,805,562	24.56	1,122,501,797	4,923,960,354	22.80
07568	FI2CSOPNASDAQ	303,955,500	677,774,799	44.85	788,431,403	2,066,728,454	38.15
07341	FICAMDXNHSCEI	5,139,400	16,984,800	30.26	30,287,055	101,625,280	29.80
07331	FICAMDXNNDQ100	7,962,300	85,570,400	9.30	42,473,627	555,761,488	7.64
07321	FICAMHSI	14,545,600	66,133,600	21.99	83,869,941	389,632,072	21.53

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
02038	FIH	439,577,000	4,185,889,131	10.50	441,022,130	4,243,648,208	10.39
01269	FIRST CAP GP	22,040,000	21,703,110,597	0.10	2,982,996	3,814,161,301	0.08
00142	FIRST PACIFIC	88,242,000	1,296,560,767	6.81	175,299,960	2,502,344,411	7.01
07328	FISAMSUNGHSCFI	8,366,000	23,183,000	36.09	39,583,239	110,270,951	35.90
07312	FISAMSUNGHSI	6,537,000	15,979,600	40.91	29,211,360	73,684,378	39.64
06088	FIT HON TENG	404,794,000	4,728,509,666	8.56	1,246,873,390	14,641,742,180	8.52
07288	FL2 CSOP HSCEI	112,429,600	405,147,800	27.75	783,106,498	2,753,548,398	28.44
07200	FL2 CSOP HSI	4,818,041,400	13,886,607,419	34.70	37,312,807,419	105,146,172,848	35.49
07230	FL2 GX HSCEI	3,325,100	11,503,800	28.90	24,753,999	85,151,969	29.07
07231	FL2 GX HSI	3,075,200	7,846,300	39.19	25,218,703	65,136,638	38.72
07267	FL2CAMDXNHSCEI	5,871,000	20,519,200	28.61	42,191,404	147,400,473	28.62
07261	FL2CAMDXNNDQ100	4,848,300	103,246,602	4.70	97,978,527	2,298,571,937	4.26
07221	FL2CAMHSI	4,253,800	27,393,600	15.53	33,019,005	216,457,552	15.25
07299	FL2CSOPGOLD	253,792,000	602,015,609	42.16	2,252,061,317	5,429,542,677	41.48
07266	FL2CSOPNASDAQ	733,700	7,606,600	9.65	7,393,624	81,280,753	9.10
07242	FL2EFUND YT HSI	66,200	1,780,400	3.72	315,642	8,498,514	3.71
07228	FL2SAMSUNGHSCFI	23,784,200	64,198,400	37.05	137,023,571	362,332,640	37.82
07205	FL2SAMSUNGHSI	16,063,600	44,048,800	36.47	106,628,024	289,397,220	36.84
06865	FLAT GLASS	171,949,000	2,610,346,395	6.59	2,948,887,180	36,030,118,915	8.18
02399	FORDOO	321,000	730,851,232	0.04	696,240	1,552,585,687	0.04
00778	FORTUNE REIT	89,570,000	938,093,499	9.55	638,393,040	6,827,796,255	9.35
00656	FOSUN INTL	436,361,000	2,841,504,562	15.36	4,265,363,655	27,763,122,328	15.36
02196	FOSUN PHARMA	167,642,000	1,527,134,241	10.98	5,197,779,485	47,038,029,427	11.05
01992	FOSUN TOURISM	4,097,000	128,734,515	3.18	31,131,644	980,932,215	3.17
06820	FRIENDTIMES	5,090,000	1,549,633,182	0.33	10,169,500	3,945,923,550	0.26
01521	FRONTAGE	133,166,000	1,986,555,230	6.70	544,756,980	8,366,230,855	6.51
01448	FU SHOU YUAN	60,947,000	1,245,583,457	4.89	455,447,670	9,289,935,527	4.90
01349	FUDANZHANGJIANG	8,982,000	285,871,033	3.14	49,375,390	1,637,889,425	3.01
00546	FUFENG GROUP	27,315,000	548,952,352	4.98	73,374,410	1,517,787,874	4.83
00607	FULLSHARE	612,375,000	19,363,758,962	3.16	89,912,122	2,815,378,552	3.19
00627	FULLSUN INT'L	725,000	2,590,902,395	0.03	103,865	244,604,714	0.04
01652	FUSEN PHARM	177,000	175,577,502	0.10	814,110	899,604,001	0.09
03606	FUYAO GLASS	94,426,800	823,900,443	11.46	2,362,481,888	21,162,194,494	11.16
02238	GAC GROUP	913,538,000	8,141,528,787	11.22	6,985,460,620	61,917,115,449	11.28
00027	GALAXY ENT	835,646,000	3,563,419,685	23.45	44,688,059,650	189,299,906,838	23.61
01772	GANFENGLITHIUM	43,414,000	1,200,008,057	3.62	1,875,815,556	47,038,415,914	3.99
00468	GAPACK	19,694,000	525,405,647	3.75	63,776,520	1,592,035,521	4.01
00451	GCL NEWENERGY	346,742,000	20,906,172,430	1.66	44,532,896	4,023,968,829	1.11
03800	GCL-POLY ENERGY	5,073,452,000	75,986,386,205	6.68	2,359,596,319	46,385,931,992	5.09
09698	GDS-SW	381,700	89,054,419	0.43	34,401,080	7,876,359,455	0.44
00175	GEELY AUTO	2,629,820,000	18,860,165,370	13.94	40,271,515,180	304,487,468,159	13.23
00535	GEMDALE PPT	321,412,000	6,345,517,066	5.07	417,155,040	8,044,923,749	5.19
01548	GENSCRIPT BIO	229,704,000	1,967,916,804	11.67	3,354,716,680	28,364,716,317	11.83
00678	GENTING HK	5,155,000	1,860,739,802	0.28	2,887,430	692,980,051	0.42
00064	GET NICE	894,000	1,462,067,317	0.06	181,294	232,547,839	0.08
01776	GF SEC	167,940,200	1,737,314,653	9.67	1,598,282,066	16,598,206,249	9.63
00709	GIORDANO INT'L	118,190,000	759,876,669	15.55	179,924,480	1,084,952,271	16.58
01282	GLORY SUN FIN	225,248,000	6,328,462,270	3.56	83,197,860	1,968,923,362	4.23
03308	GOLDEN EAGLE	1,925,000	74,868,204	2.57	14,120,960	558,722,394	2.53
00530	GOLDIN FIN HOLD	7,128,000	904,664,532	0.79	10,384,100	688,737,762	1.51
02208	GOLDWIND	532,336,600	2,331,878,717	22.83	5,061,958,622	21,210,425,935	23.87
00493	GOME RETAIL	2,186,874,000	31,157,935,926	7.02	2,203,794,700	33,170,461,671	6.64
01086	GOODBABY INTL	17,464,000	969,967,153	1.80	20,599,680	1,012,094,749	2.04
01293	GRAND BAOXIN	17,888,500	424,226,444	4.22	21,897,215	499,849,981	4.38
01271	GRAND MING	4,000	37,967,521	0.01	19,170	191,954,693	0.01
00041	GREAT EAGLE H	5,155,000	71,553,150	7.20	105,863,280	1,481,511,035	7.15
02333	GREATWALL MOTOR	2,092,273,000	9,777,255,233	21.40	19,971,480,250	95,231,479,086	20.97
00337	GREENLAND HK	19,197,000	527,110,359	3.64	50,523,290	1,450,290,065	3.48
03900	GREENTOWN CHINA	235,130,000	2,146,054,113	10.96	2,465,556,610	21,164,295,518	11.65
02869	GREENTOWN SER	324,842,000	2,271,696,392	14.30	3,131,522,220	21,799,586,650	14.37
02611	GTJA	95,489,800	747,207,523	12.78	1,066,745,052	8,589,040,547	12.42
00270	GUANGDONG INV	182,116,000	2,513,992,863	7.24	2,538,558,600	34,579,996,475	7.34
00525	GUANGSHEN RAIL	137,476,000	1,242,734,411	11.06	224,170,320	1,983,055,364	11.30
00053	GUOCO GROUP	33,000	14,778,085	0.22	3,489,100	1,348,919,187	0.26
01456	GUOLIAN SEC	8,432,500	775,501,986	1.09	33,015,970	3,072,154,371	1.07
02329	GUORUI PPT	22,133,000	953,425,876	2.32	25,890,620	1,086,544,620	2.38
01788	GUOTAI JUNAN I	240,909,000	2,655,653,560	9.07	274,450,640	3,023,098,512	9.08
02820	GX CN BIOTECH	3,782,050	24,263,491	15.59	393,004,736	2,305,772,398	17.04
09820	GX CN BIOTECH-U	4,763,750	20,218,850	23.56	497,429,349	1,906,220,930	26.10
02809	GX CN CLN EN	4,013,600	17,009,385	23.60	307,529,702	1,303,339,899	23.60
09809	GX CN CLN EN-U	5,413,300	20,202,481	26.80	389,024,158	1,429,794,128	27.21
02826	GX CN CLOUD	3,916,650	30,871,640	12.69	394,807,400	3,072,272,455	12.85
09826	GX CN CLOUD-U	3,694,550	20,723,090	17.83	358,569,104	2,026,925,024	17.69
02806	GX CN CONSUME	4,989,750	20,512,931	24.32	325,913,891	1,296,230,076	25.14
09806	GX CN CONSUME-U	6,217,500	23,480,000	26.48	398,544,992	1,434,917,771	27.77
02845	GX CN ELE VEH	8,412,950	45,531,473	18.48	673,631,684	3,672,054,970	18.34
09845	GX CN ELE VEH-U	10,782,000	47,817,290	22.55	788,758,796	3,648,049,922	21.62
02807	GX CN ROBO&AI	1,638,350	4,240,850	38.63	91,822,828	238,598,445	38.48
09807	GX CN ROBO&AI-U	2,135,100	4,904,700	43.53	118,697,447	273,400,632	43.42
03191	GX CN SEMICON	5,333,550	15,467,400	34.48	286,412,307	819,653,361	34.94
09191	GX CN SEMICON-U	9,103,800	23,002,832	39.58	489,402,208	1,218,219,556	40.17
03127	GX CSI300	26,000	1,958,800	1.33	434,530	34,426,229	1.26
03040	GX MSCI CHINA	2,150,200	21,223,208	10.13	82,087,185	767,388,409	10.70
01112	H&H INTL HLDG	27,811,500	250,138,159	11.12	906,382,350	8,043,390,825	11.27
02255	HAICHANG HLDG	9,781,000	2,237,703,234	0.44	6,838,980	1,216,588,550	0.56

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK\$)	Total turnover Value (HK\$)	% of total
06862	HAIDILAO	386,249,000	2,022,115,702	19.10	14,990,512,150	80,418,319,127	18.64
01169	HAIER ELEC	209,437,000	1,510,903,779	13.86	6,296,617,130	42,049,040,393	14.97
06690	HAIER SMARTHOME	106,169,200	273,557,433	38.81	2,824,336,180	7,276,525,107	38.81
01882	HAITIAN INT'L	28,115,000	370,440,555	7.59	521,890,740	6,940,697,667	7.52
00665	HAITONG INT'L	390,538,000	3,191,997,634	12.23	788,306,300	6,528,066,317	12.08
06837	HAITONG SEC	847,184,000	6,092,925,161	13.90	6,104,494,532	44,245,336,976	13.80
00010	HANG LUNG GROUP	27,020,000	311,252,707	8.68	494,490,290	5,647,914,203	8.76
00101	HANG LUNG PPT	312,596,000	1,586,971,587	19.70	5,665,600,420	28,636,550,187	19.78
00011	HANG SENG BANK	235,776,900	687,848,962	34.28	31,319,463,620	91,534,408,677	34.22
03692	HANSOH PHARMA	260,906,000	1,291,524,391	20.20	8,504,068,400	42,351,243,904	20.08
06826	HAOHA BIOTEC	5,241,100	67,901,110	7.72	268,161,320	3,403,242,917	7.88
06138	HARBIN BANK	109,000	78,432,000	0.14	136,080	88,572,090	0.15
01133	HARBIN ELECTRIC	29,088,000	621,116,307	4.68	68,809,140	1,545,229,905	4.45
00051	HARBOUR CENTRE	3,000	2,937,236	0.10	22,510	25,106,003	0.09
03836	HARMONY AUTO	81,974,000	906,741,738	9.04	281,749,575	3,234,793,010	8.71
02280	HC GROUP	15,137,000	402,891,700	3.76	29,563,825	591,729,424	5.00
01727	HEBEI CONS	63,729,500	685,733,470	9.29	885,737,135	8,406,343,601	10.54
01558	HEC PHARM	135,607,200	1,008,642,177	13.44	2,347,492,920	22,121,941,330	10.61
00012	HENDERSON LAND	229,563,000	1,106,450,118	20.75	7,144,032,750	34,837,442,500	20.51
01044	HENGAN INT'L	185,002,500	932,180,248	19.85	11,120,314,800	55,950,077,875	19.88
00136	HENG TEN NET	2,419,576,000	91,643,689,849	2.64	592,920,504	22,578,947,793	2.63
02303	HENGXING GOLD	216,000	188,747,236	0.11	676,940	500,047,446	0.14
02696	HENLIUS-B	2,091,400	39,654,718	5.27	93,799,445	1,877,654,022	5.00
09989	HEPALINK	2,443,500	431,266,387	0.57	30,441,490	7,261,330,258	0.42
03118	HGI MSCI CN A	1,000	2,301,000	0.04	15,580	38,867,322	0.04
00818	HI SUN TECH	9,105,000	399,851,276	2.28	9,153,210	404,314,543	2.26
00921	HISENSE HA	34,342,000	351,490,627	9.77	298,624,020	3,248,709,132	9.19
00003	HK & CHINA GAS	1,309,908,000	5,338,328,256	24.54	16,790,034,400	67,934,955,741	24.71
02322	HK CHAOSHANG GP	3,504,000	1,078,971,000	0.32	3,142,280	934,339,200	0.34
00050	HK FERRY (HOLD)	62,000	11,380,561	0.54	383,760	71,068,395	0.54
00045	HK&S HOTELS	8,043,500	104,340,866	7.71	54,008,510	705,210,621	7.66
01310	HKBN	70,184,000	496,953,530	14.12	924,260,530	6,626,487,624	13.95
02638	HKELECTRIC-SS	118,927,000	1,182,928,424	10.05	937,114,935	9,279,034,718	10.10
00388	HKEX	221,244,500	1,412,989,220	15.66	69,115,769,120	443,799,564,264	15.57
00480	HKR INT'L	1,301,600	101,409,936	1.28	4,167,328	323,817,996	1.29
06823	HKI-SS	198,352,000	2,420,654,845	8.19	2,268,086,400	26,957,477,276	8.41
01137	HKTU	116,936,000	2,762,949,456	4.23	926,189,280	19,384,725,728	4.78
00958	HN RENEWABLES	29,374,000	1,036,659,940	2.83	91,122,600	3,225,119,398	2.83
08137	HONBRIDGE	9,390,000	1,062,645,780	0.88	3,837,970	392,420,608	0.98
00196	HONGHUA GROUP	15,450,000	1,424,093,778	1.08	4,234,197	421,559,022	1.00
01765	HOPE EDU	725,446,000	6,342,773,714	11.44	1,818,675,580	14,603,912,718	12.45
00754	HOPSON DEV HOLD	61,436,000	705,897,551	8.70	1,030,977,860	9,825,827,923	10.49
02833	HS HSI ETF	10,938,500	69,430,437	15.75	280,783,953	1,780,848,431	15.77
82833	HS HSI ETF-R	767,400	3,725,600	20.60	19,369,579	93,887,781	20.63
00005	HSBC HOLDINGS	2,438,181,600	10,466,439,757	23.30	99,692,958,700	420,510,696,645	23.71
02828	HSCEI ETF	460,277,800	1,413,004,201	32.57	47,152,571,000	144,389,749,049	32.66
82828	HSCEI ETF-R	459,000	1,261,400	36.39	46,302,040	128,016,318	36.17
03032	HSTECH ETF	90,574,800	197,492,623	45.86	701,422,480	1,530,991,481	45.81
03031	HT CH A ESG	1,396,900	4,203,899	33.23	16,184,097	49,404,994	32.76
83031	HT CH A ESG-R	628,000	2,136,500	29.39	7,182,343	24,881,598	28.87
09031	HT CH A ESG-U	403,800	1,157,000	34.90	4,684,892	13,486,288	34.74
02811	HT CSI300 ETF	7,400	1,930,425	0.38	123,536	34,952,981	0.35
82811	HT CSI300 ETF-R	42,400	423,570	10.01	810,772	7,464,952	10.86
06886	HTSC	188,022,600	2,001,592,082	9.39	2,471,463,812	26,278,839,620	9.40
01347	HUA HONG SEMI	381,344,000	3,554,583,456	10.73	9,810,407,420	94,144,870,743	10.42
02552	HUA MEDICINE-B	12,801,000	305,805,601	4.19	81,318,325	1,903,993,741	4.27
00336	HUABAO INTL	172,890,000	2,081,725,177	8.31	1,330,023,240	15,699,153,567	8.47
00816	HUADIAN FUXIN	110,406,000	3,028,292,883	3.65	175,890,380	6,165,584,257	2.85
01071	HUADIAN POWER	317,378,000	3,091,628,323	10.27	729,720,020	6,970,082,250	10.47
00902	HUANENG POWER	906,822,000	6,524,057,401	13.90	2,790,710,180	20,385,735,588	13.69
01003	HUANXI MEDIA	10,020,000	561,696,486	1.78	13,809,200	813,615,396	1.70
01323	HUASHENG INTL	26,302,000	5,567,645,000	0.47	20,718,060	3,688,701,486	0.56
01179	HUAZHU-S	225,800	10,845,930	2.08	83,399,040	3,666,978,942	2.27
87001	HUI XIAN REIT	6,151,000	941,191,771	0.65	12,707,483	2,414,238,079	0.53
01806	HUIFU PAYMENT	28,848,800	873,390,611	3.30	77,533,732	2,391,867,527	3.24
09968	HUIJING HLDGS	10,914,000	1,031,598,520	1.06	24,652,260	2,147,176,074	1.15
00215	HUTCHTEL HK	3,782,000	726,062,018	0.52	5,149,140	947,716,546	0.54
06078	HYGEIA HEALTH	10,134,000	361,220,750	2.81	498,767,680	13,638,125,500	3.66
00014	HYSAN DEV	77,484,000	489,290,720	15.84	1,938,798,300	12,536,110,415	15.47
00999	I.T	2,464,000	354,612,929	0.69	3,466,220	657,708,391	0.53
00147	IB SETTLEMENT	45,000	73,414,404	0.06	8,650	10,566,217	0.08
01398	ICBC	13,520,223,000	61,737,674,904	21.90	66,693,475,550	303,918,668,399	21.94
03167	ICBCCSOPCHINA	66,050	2,832,923	2.33	6,717,283	223,364,046	3.01
83167	ICBCCSOPCHINA-R	4,200	196,900	2.13	415,860	15,360,729	2.71
09167	ICBCCSOPCHINA-U	3,700	171,000	2.16	354,781	13,302,444	2.67
01119	IDREAMSKY	43,158,000	967,963,133	4.46	181,269,528	4,412,148,795	4.11
00799	IGG	226,985,000	2,539,122,229	8.94	1,473,931,480	18,146,405,688	8.12
01970	IMAX CHINA	10,982,700	99,198,572	11.07	146,892,410	1,308,000,056	11.23
01076	IMPERIAL PAC	20,000,000	9,862,267,116	0.20	1,497,560	278,167,272	0.54
01286	IMPRO PRECISION	1,365,000	137,986,085	0.99	4,384,330	391,767,943	1.12
09969	INNOCARE-B	12,526,000	790,154,159	1.59	153,522,670	9,885,932,740	1.55
01801	INNOVENT BIO	169,145,500	1,669,521,942	10.13	8,544,942,150	84,431,271,958	10.12
00596	INSPUR INT'L	25,150,000	510,544,167	4.93	67,959,660	1,329,589,329	5.11
02823	ISHARES A50	2,929,271,100	6,143,794,172	47.68	44,436,797,961	94,296,145,328	47.12
82823	ISHARES A50-R	28,153,300	50,415,000	55.84	447,813,539	811,131,213	55.21

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
03010	ISHARES AXJ	1,018,900	17,169,398	5.93	53,898,178	905,880,311	5.95
83010	ISHARES AXJ-R	33,400	745,600	4.48	1,720,179	39,326,659	4.37
09010	ISHARES AXJ-U	118,400	1,664,668	7.11	6,255,649	83,756,791	7.47
02801	ISHARES CHINA	5,593,200	52,763,463	10.60	184,532,602	1,579,904,509	11.68
02802	ISHARES EM ASIA	72,200	3,946,592	1.83	3,257,210	211,900,968	1.54
02836	ISHARES INDIA	162,800	23,731,781	0.69	3,291,414	496,627,063	0.66
82836	ISHARES INDIA-R	67,400	3,082,000	2.19	1,351,603	58,621,308	2.31
09836	ISHARES INDIA-U	134,400	1,144,400	11.74	2,741,929	23,848,078	11.50
02846	ISHARES CSI300	301,500	7,914,666	3.81	10,330,300	253,593,320	4.07
82846	ISHARES CSI300-R	124,200	2,041,310	6.08	4,073,048	63,000,592	6.47
09846	ISHARES CSI300-U	114,000	1,278,433	8.92	3,927,294	39,795,425	9.87
03115	ISHARESHSI	94,600	3,895,191	2.43	8,663,162	353,372,855	2.45
83115	ISHARESHSI-R	63,900	839,610	7.61	5,885,857	74,858,354	7.86
09115	ISHARESHSI-U	217,800	1,542,912	14.12	19,876,428	140,118,799	14.19
03067	ISHARESHSTECH	97,366,800	163,428,631	59.58	1,608,035,102	2,700,600,610	59.54
09067	ISHARESHSTECH-U	13,075,800	22,296,020	58.65	213,112,028	366,598,099	58.13
03170	ISHARESKS200	30,700	915,850	3.35	2,908,723	79,129,213	3.68
83170	ISHARESKS200-R	12,000	387,100	3.10	1,036,284	33,234,625	3.12
09170	ISHARESKS200-U	21,900	252,060	8.69	1,839,953	21,808,396	8.44
03022	ISHAREMSCIEM	1,050	46,590	2.25	504,382	23,312,843	2.16
09022	ISHAREMSCIEM-U	500	23,100	2.16	240,463	11,465,433	2.10
03074	ISHAREMSCITW	190,900	2,134,240	8.94	22,416,480	256,330,007	8.75
83074	ISHAREMSCITW-R	82,500	1,065,810	7.74	9,789,863	119,716,828	8.18
09074	ISHAREMSCITW-U	108,000	2,753,703	3.92	12,055,085	333,838,438	3.61
02834	ISHARENSD100	319,700	3,621,609	8.83	59,042,100	629,677,146	9.38
82834	ISHARENSD100-R	196,400	1,845,100	10.64	27,946,308	266,091,040	10.50
09834	ISHARENSD100-U	65,300	890,936	7.33	10,187,907	135,590,266	7.51
09618	JD-SW	125,238,500	757,492,754	16.53	37,703,281,260	224,987,474,514	16.76
01366	JIANGNAN GP	5,628,000	1,335,551,404	0.42	1,860,770	470,756,181	0.40
00177	JIANGSU EXPRESS	114,834,000	1,064,590,113	10.79	1,024,562,840	9,442,631,625	10.85
01916	JIANGXI BANK	4,714,000	239,986,277	1.96	15,626,065	807,813,950	1.93
00358	JIANGXI COPPER	260,866,000	1,889,484,971	13.81	2,395,168,200	18,435,799,589	12.99
02768	JIAYUAN INTL	35,088,000	400,834,139	8.75	109,120,800	1,293,119,005	8.44
02362	JINCHUAN INTL	63,527,000	1,976,330,151	3.21	43,283,170	1,394,297,642	3.10
01862	JINGRUI HLDGS	4,675,000	302,304,684	1.55	11,121,660	659,743,791	1.69
02006	JINJIANGCAPITAL	77,730,000	883,296,880	8.80	103,045,300	1,181,486,978	8.72
06139	JINMAO HOTEL-SS	1,500	68,076,609	0.00	3,255	297,767,253	0.00
09922	JIUMAOJIU	82,837,000	3,041,981,822	2.72	1,391,188,900	40,604,295,288	3.43
06122	JIUTAI RCB	5,000	89,048,597	0.01	12,050	241,724,574	0.00
03306	JNBY	22,981,500	237,962,388	9.66	181,974,215	1,893,271,464	9.61
00579	JNCEC	61,000,000	1,868,912,311	3.26	132,196,540	3,849,904,268	3.43
00179	JOHNSON ELEC H	20,333,500	221,080,960	9.20	300,324,735	3,488,035,376	8.61
00207	JOY CITY PPT	13,972,000	1,429,266,266	0.98	7,295,610	823,973,285	0.89
03336	JU TENG INTL	21,614,000	303,251,941	7.13	53,497,480	705,064,400	7.59
01877	JUNSHI BIO	26,474,800	230,965,753	11.46	1,193,204,620	10,675,397,663	11.18
01951	JXR	372,732,000	3,921,394,704	9.51	4,113,178,500	43,275,013,516	9.50
02231	JY GRANDMARK	229,000	221,536,330	0.10	696,110	698,358,261	0.10
00173	K. WAH INT'L	29,490,000	575,752,947	5.12	105,702,160	2,087,093,463	5.06
01638	KAISA GROUP	339,861,000	4,131,094,018	8.23	1,207,370,860	14,577,646,447	8.28
02168	KAISA PROSPER	4,263,250	217,331,925	1.96	110,009,821	6,410,191,136	1.72
09997	KANGJI MEDICAL	6,403,500	657,041,960	0.97	107,194,105	16,455,410,701	0.65
00496	KASEN	91,851,000	1,637,971,052	5.61	144,300,580	2,262,336,797	6.38
01888	KB LAMINATES	139,445,500	1,664,450,317	8.38	1,308,205,155	15,573,777,751	8.40
01501	KDL MEDICAL	986,200	49,344,322	2.00	47,807,800	2,375,897,295	2.01
00636	KERRY LOG NET	23,941,500	419,303,670	5.71	301,641,585	5,700,542,548	5.29
00683	KERRY PPT	121,403,500	686,394,660	17.69	2,491,115,815	14,246,925,234	17.49
00148	KINGBOARD HLDG	41,676,000	382,261,107	10.90	952,169,070	8,793,540,244	10.83
00268	KINGDEE INT'L	653,035,000	5,422,437,650	12.04	11,186,766,250	88,762,355,031	12.60
03888	KINGSOFT	515,258,000	3,541,539,641	14.55	17,324,369,520	113,620,260,550	15.25
01031	KINGSTON FIN	856,000	88,066,435	0.97	660,840	71,583,959	0.92
09939	KINTOR PHARMA-B	598,000	279,465,960	0.21	6,757,950	4,788,855,076	0.14
01797	KOOLEARN	178,548,500	1,600,069,958	11.16	5,482,248,865	50,556,250,758	10.84
00034	KOWLOON DEV	1,268,000	66,414,529	1.91	10,887,000	576,986,683	1.89
00135	KUNLUN ENERGY	525,006,000	5,922,317,099	8.86	2,933,943,520	32,411,155,698	9.05
01813	KWG GROUP	227,141,500	2,324,474,381	9.77	2,687,277,555	27,549,974,582	9.75
00488	LAI SUN DEV	1,501,800	45,668,904	3.29	13,126,911	363,752,282	3.61
00106	LANDSEA PPT	524,000	132,213,467	0.40	369,040	94,022,476	0.39
01270	LANGHAM-SS	6,870,000	268,830,009	2.56	10,028,180	317,413,752	3.16
02606	LANGUANGJUSTBON	2,646,900	171,789,120	1.54	106,416,615	7,743,844,655	1.37
02314	LEE & MAN PAPER	144,340,000	1,349,226,341	10.70	755,971,450	7,215,845,598	10.48
00950	LEE'S PHARM	16,903,500	303,635,026	5.57	82,932,100	1,568,362,746	5.29
03396	LEGENDHOLDING	151,363,300	971,637,281	15.58	1,569,570,937	10,205,837,301	15.38
00992	LENOVO GROUP	2,155,558,000	12,406,177,096	17.37	11,059,784,900	62,362,967,409	17.73
00112	LERTHAI GROUP	2,000	45,979,774	0.00	9,940	60,055,227	0.02
01089	LEYOU TECH H	98,960,000	2,233,399,216	4.43	271,601,650	6,530,483,710	4.16
00494	LI & FUNG	255,074,000	6,696,217,372	3.81	245,902,280	6,794,280,078	3.62
02331	LI NING	282,065,000	3,611,117,210	7.81	7,912,842,775	99,730,087,874	7.93
01212	LIFESTYLE INT'L	41,945,000	253,663,998	16.54	280,050,185	1,719,775,463	16.28
01302	LIFETECH SCI	315,734,000	5,693,926,102	5.55	812,204,620	14,432,669,462	5.63
00823	LINK REIT	229,442,000	1,905,152,175	12.04	15,579,795,650	129,013,445,167	12.08
02824	LIPPO HK&M PROP	21,600	155,900	13.86	1,789,498	12,224,688	14.64
00194	LIU CHONG HING	452,000	25,849,783	1.75	3,425,600	192,725,840	1.78
01513	LIVZON PHARMA	23,661,900	262,227,190	9.02	790,533,985	8,438,593,307	9.37
00973	L'OCCITANE	15,511,750	128,687,966	12.05	214,400,644	1,876,679,134	11.42
03380	LOGAN GROUP	109,718,000	1,218,688,157	9.00	1,410,539,620	15,550,339,376	9.07

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
00960	LONGFOR GROUP	287,126,000	1,649,174,736	17.41	11,225,209,600	65,220,569,940	17.21
03339	LONKING	162,162,000	2,127,929,415	7.62	381,236,410	5,101,407,273	7.47
00590	LUK FOOK HOLD	12,714,000	156,034,766	8.15	222,386,600	2,729,753,828	8.15
02186	LUYE PHARMA	648,908,500	4,621,870,365	14.04	2,893,412,255	20,965,523,948	13.80
00095	LVGEM CHINA	62,474,000	1,240,652,477	5.04	152,053,440	3,065,449,991	4.96
00323	MAANSHAN IRON	161,284,000	1,382,124,309	11.67	409,111,520	3,227,229,954	12.68
01680	MACAU LEGEND	12,974,000	637,660,473	2.03	12,790,980	645,438,698	1.98
01999	MAN WAH HLDGS	229,027,600	2,835,117,523	8.08	1,819,308,384	24,602,001,222	7.39
01896	MAOYAN ENT	53,233,200	664,115,069	8.02	666,068,732	8,491,473,170	7.84
01317	MAPLELEAF EDU	386,076,000	3,199,438,346	12.07	933,289,620	7,934,204,597	11.76
00273	MASON GP HOLD	2,460,000	1,711,821,586	0.14	127,320	55,064,546	0.23
01618	MCC	268,339,000	2,779,985,132	9.65	369,937,640	3,781,529,617	9.78
01268	MEIDONG AUTO	61,852,000	1,043,120,876	5.93	1,526,259,520	21,013,666,420	7.26
03690	MEITUAN-W	989,003,500	6,801,969,573	14.54	169,381,962,575	1,195,811,891,841	14.16
00200	MELCO INT'L DEV	176,959,000	1,193,127,818	14.83	2,594,040,110	17,975,731,196	14.43
02319	MENGIU DAIRY	561,957,000	2,673,917,120	21.02	18,308,253,650	88,127,353,651	20.77
02282	MGM CHINA	321,480,400	1,956,572,263	16.43	3,275,259,692	19,852,775,789	16.50
00853	MICROPORT	442,967,000	3,635,772,589	12.18	11,964,471,180	94,814,998,096	12.62
03990	MIDEA REAL EST	23,218,800	425,523,702	5.46	460,735,312	8,547,883,177	5.39
00230	MINMETALS LAND	1,298,000	174,587,967	0.74	1,577,300	186,649,456	0.85
01988	MINSHENG BANK	1,824,914,500	7,686,503,174	23.74	9,073,081,695	38,381,882,780	23.64
01569	MINSHENG EDU	13,884,000	1,242,388,359	1.12	16,055,180	1,475,107,395	1.09
00425	MINTH GROUP	165,642,000	1,238,642,790	13.37	4,228,211,880	33,351,695,066	12.68
00071	MIRAMAR HOTEL	418,000	15,488,103	2.70	5,710,360	213,401,422	2.68
01208	MMG	422,240,000	5,347,238,287	7.90	767,326,240	10,713,107,304	7.16
01860	MOBVISTA	10,375,000	551,207,999	1.88	41,332,110	2,282,028,668	1.81
00066	MTR CORPORATION	327,944,500	1,159,853,213	28.27	13,402,412,575	47,664,463,412	28.12
01817	MULSANNE GROUP	5,538,000	280,595,000	1.97	31,196,760	1,587,897,720	1.96
03918	NAGACORP	112,348,000	1,404,284,840	8.00	1,060,738,340	13,589,082,286	7.81
00680	NAN HAI CORP	21,950,000	1,587,541,923	1.38	1,570,250	101,194,198	1.55
01837	NATURAL FOOD IH	4,636,000	815,824,000	0.57	5,790,280	773,204,466	0.75
01336	NCI	253,345,900	2,506,432,882	10.11	7,482,277,455	73,921,087,583	10.12
02689	ND PAPER	522,828,000	3,192,219,275	16.38	4,363,432,890	27,531,102,117	15.85
00777	NETDRAGON	62,704,000	656,056,503	9.56	1,296,225,355	13,744,494,695	9.43
02001	NEW HIGHER EDU	85,042,000	1,631,768,299	5.21	348,421,180	6,905,845,241	5.05
09901	NEW ORIENTAL-S	32,620	3,742,633	0.87	43,830,060	4,972,711,607	0.88
00017	NEW WORLD DEV	514,677,000	2,799,910,965	18.38	7,978,681,863	41,653,984,070	19.15
00342	NEWOCEAN ENERGY	2,650,000	522,083,221	0.51	3,021,500	418,801,953	0.72
01316	NEXTEER	342,879,000	2,398,040,290	14.30	1,870,483,650	13,957,029,903	13.40
03072	NIKKOAM INET	173,850	605,260	28.72	21,217,334	73,869,833	28.72
09072	NIKKOAM INET-U	135,880	606,970	22.39	16,471,284	76,173,960	21.62
01475	NISSIN FOODS	65,017,000	994,035,236	6.54	449,973,250	6,989,192,214	6.44
09633	NONGFU SPRING	20,352,400	1,066,813,354	1.91	921,139,090	41,283,129,252	2.23
01360	NOVA GROUP	1,195,000	1,887,580,158	0.06	1,848,650	470,815,639	0.39
09999	NTE-S	160,418,900	774,610,274	20.71	22,567,443,285	108,974,980,908	20.71
02222	NVC INTL	7,878,000	1,272,774,613	0.62	2,149,794	226,977,364	0.95
00659	NWS HOLDINGS	231,896,000	1,404,992,577	16.51	1,645,628,690	10,239,818,705	16.07
00877	O-NET TECH GP	19,558,000	555,860,250	3.52	95,213,510	3,063,723,135	3.11
00316	OIL	19,000	8,922,777	0.21	1,017,725	418,864,247	0.24
00622	OSHDORI	37,779,000	566,926,313	6.66	33,753,300	470,357,181	7.18
03023	PA AI&ROBOTICS	1,000	231,900	0.43	78,000	20,731,422	0.38
01833	PA GOODDOCTOR	296,178,300	1,743,613,234	16.99	28,965,668,615	164,877,381,894	17.57
02343	PACIFIC BASIN	334,380,000	3,417,827,524	9.78	384,038,850	3,986,415,976	9.63
01382	PACIFIC TEXTILES	65,974,000	834,719,543	7.90	279,349,520	3,581,619,768	7.80
00327	PAX GLOBAL	26,588,000	933,701,424	2.85	135,267,640	4,469,997,071	3.03
00008	PCCW	309,065,000	2,574,646,514	12.00	1,432,606,970	11,835,886,308	12.10
09996	PEIJIA-B	7,344,000	402,687,797	1.82	178,588,050	11,152,338,981	1.60
01665	PENTAMASTER	1,444,000	587,182,435	0.25	2,575,520	988,467,121	0.26
00857	PETROCHINA	5,049,888,000	31,449,786,923	16.06	13,761,445,120	87,272,008,808	15.77
03759	PHARMARON	26,994,600	308,155,858	8.76	2,185,001,485	23,667,646,086	9.23
01339	PICC GROUP	939,022,000	10,409,583,488	9.02	2,492,150,000	27,102,131,529	9.20
02328	PICC P&C	1,204,936,000	9,454,488,719	12.74	7,834,403,660	63,331,869,225	12.37
00752	PICO FAR EAST	2,378,000	319,178,821	0.75	4,133,340	393,785,866	1.05
02318	PING AN	2,437,666,500	8,591,679,047	28.37	206,834,183,600	722,781,828,046	28.62
03080	PING AN CGB	86,100	208,030	41.39	10,520,300	25,484,322	41.28
03070	PING AN HKDIV	1,024,400	3,228,902	31.73	24,767,818	78,739,289	31.46
06049	POLY PPT SER	47,350,200	530,559,614	8.92	3,207,413,270	35,855,307,573	8.95
00119	POLY PROPERTY	83,209,000	1,448,649,428	5.74	213,953,730	3,824,607,388	5.59
00208	POLYTEC ASSET	425,000	342,176,271	0.12	358,500	244,721,081	0.15
03813	POU SHENG INT'L	37,668,000	846,323,026	4.45	69,987,240	1,618,137,777	4.33
00006	POWER ASSETS	119,558,000	748,302,802	15.98	5,581,519,500	34,774,565,789	16.05
01238	POWERLONG	44,721,000	1,058,013,108	4.23	237,453,910	5,431,127,294	4.37
09909	POWERLONG CM	23,599,000	479,422,151	4.92	548,436,205	8,104,409,198	6.77
02803	PP BEDROCK	242,000	19,561,500	1.24	1,895,927	143,178,744	1.32
03173	PP CN NEWCON	37,499,500	96,382,532	38.91	389,216,347	958,677,571	40.60
09173	PP CN NEWCON-U	535,000	1,455,000	36.77	5,360,200	14,255,213	37.60
01913	PRADA	42,558,100	263,891,090	16.13	1,212,706,707	8,004,367,784	15.15
03077	PREMIA UST	15	8,953	0.17	58,256	34,861,804	0.17
02804	PREMIA VIET	55,350	1,202,250	4.60	3,955,156	85,012,264	4.65
09804	PREMIA VIET-U	6,950	541,850	1.28	551,152	37,048,265	1.49
03181	PREMIAASIATEC	12,850	688,900	1.87	1,357,414	67,004,995	2.03
09181	PREMIAASIATEC-U	9,400	380,200	2.47	1,041,170	38,752,069	2.69
02810	PREMIAEMASEAN	10,000	427,364	2.34	716,694	27,264,371	2.63
09810	PREMIAEMASEAN-U	3,500	528,850	0.66	252,411	29,965,145	0.84
01809	PRINX CHENGSHAN	1,191,500	58,616,625	2.03	9,172,315	460,433,231	1.99

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
00808	PROSPERITY REIT	19,765,000	356,370,878	5.55	47,739,140	880,889,168	5.42
02378	PRU	6,000	6,190,683	0.10	643,850	675,952,375	0.10
01658	PSBC	1,860,947,000	10,528,103,910	17.68	8,153,174,650	45,180,391,220	18.05
01478	Q TECH	213,599,000	1,974,077,902	10.82	2,234,791,970	21,015,411,157	10.63
01739	QEEKA HOME	15,000	50,148,500	0.03	36,470	116,876,225	0.03
06198	QINGDAO PORT	8,944,000	260,635,712	3.43	42,850,080	1,253,706,459	3.42
01122	QINGLING MOTORS	2,152,000	373,479,749	0.58	3,201,860	582,954,368	0.55
02777	R&F PROPERTIES	434,931,600	2,785,883,364	15.61	4,497,952,848	29,109,298,052	15.45
01337	RAZER	334,053,000	5,271,447,820	6.34	627,090,260	10,292,189,583	6.09
01196	REALORD GROUP	5,090,000	217,754,406	2.34	22,764,600	997,398,228	2.28
01622	REDCO GROUP	69,944,000	1,403,101,239	4.98	239,072,160	4,890,155,213	4.89
00078	REGAL INT'L	2,920,000	104,186,731	2.80	9,008,620	324,989,365	2.77
01881	REGAL REIT	2,587,000	184,603,759	1.40	3,584,320	251,814,873	1.42
02199	REGINA MIRACLE	2,664,000	111,604,741	2.39	10,840,230	284,256,409	3.81
06919	RENRI HR	7,928,600	141,861,952	5.59	225,348,131	4,528,922,912	4.98
01098	ROAD KING INFRA	8,494,000	140,365,759	6.05	95,101,370	1,603,757,003	5.93
03301	ROSHINECHINA	76,579,000	605,767,270	12.64	537,711,245	4,460,452,413	12.06
01528	RS MACALLINE	10,943,600	244,832,432	4.47	55,455,018	1,270,218,056	4.37
01996	RSUN PPT	46,410,000	1,379,513,584	3.36	117,277,870	3,539,122,724	3.31
00486	RUSAL	35,795,000	1,341,087,719	2.67	109,773,390	4,534,412,408	2.42
00178	SA SA INT'L	146,170,000	1,910,277,573	7.65	202,294,280	2,584,745,709	7.83
01708	SAMPLE TECH	1,196,000	198,001,500	0.60	3,321,175	515,666,392	0.64
01910	SAMSONITE	401,134,500	3,190,320,941	12.57	3,587,714,466	27,530,174,683	13.03
03187	SAMSUNG REITS	400,600	7,524,515	5.32	7,950,848	149,851,375	5.31
09187	SAMSUNG REITS-U	16,600	3,601,800	0.46	313,418	66,988,883	0.47
02812	SAMSUNGCDGN	4,672,000	64,826,383	7.21	86,976,132	1,156,412,872	7.52
09812	SAMSUNGCDGN-U	95,800	144,400	66.34	1,758,170	2,657,545	66.16
01928	SANDS CHINA LTD	782,810,400	4,310,009,569	18.16	26,404,792,520	141,205,616,296	18.70
00631	SANY INT'L	97,240,000	1,440,020,796	6.75	414,897,080	6,160,955,756	6.73
01769	SCHOLAR EDU	6,492,000	380,170,323	1.71	118,336,570	5,701,389,356	2.08
01787	SD GOLD	128,168,500	2,282,988,169	5.61	2,565,638,047	46,175,573,992	5.56
00251	SEA HOLDINGS	222,000	52,365,371	0.42	1,342,120	424,748,316	0.32
01030	SEAZEN	411,968,000	3,008,782,250	13.69	3,192,121,900	22,052,536,737	14.48
01755	S-ENJOY SERVICE	12,874,000	503,163,149	2.56	231,915,230	9,170,428,130	2.53
00750	SFSY ENERGY	3,135,000	1,030,222,565	0.30	1,755,620	641,499,612	0.27
02727	SH ELECTRIC	314,326,000	2,416,502,538	13.01	705,243,480	5,504,896,024	12.81
00563	SH IND URBAN	35,248,000	1,269,390,066	2.78	29,674,620	1,072,153,275	2.77
02607	SH PHARMA	96,089,000	1,003,804,323	9.57	1,321,892,546	13,754,584,104	9.61
01385	SHANGHAI FUDAN	45,842,000	528,173,372	8.68	545,592,640	6,309,157,686	8.65
00363	SHANGHAI IND H	65,140,000	368,817,906	17.66	746,708,440	4,392,699,633	17.00
00338	SHANGHAI PECEHEM	838,152,000	5,029,291,532	16.67	1,454,172,520	9,207,870,951	15.79
00069	SHANGRI-LA ASIA	125,150,000	632,949,887	19.77	812,632,200	4,164,478,994	19.51
00691	SHANSHUI CEMENT	577,000	90,952,210	0.63	1,248,200	209,937,036	0.59
02066	SHENGJINGBANK	500,000	49,660,994	1.01	3,512,570	338,357,745	1.04
06069	SHENGYE CAPITAL	28,879,000	661,844,425	4.36	174,190,205	4,178,943,888	4.17
00152	SHENZHEN INT'L	79,173,500	819,588,924	9.66	1,065,705,210	11,237,291,575	9.48
00604	SHENZHEN INVEST	154,362,000	1,461,773,706	10.56	395,048,180	3,755,256,576	10.52
00548	SHENZHENEXPRESS	194,898,000	1,156,155,750	16.86	1,515,867,360	9,321,226,447	16.26
02313	SHENZHOU INTL	168,167,700	1,005,485,558	16.73	17,129,169,595	106,681,752,858	16.06
00813	SHIMAO GROUP	164,152,000	2,016,819,656	8.14	4,861,250,200	59,534,290,035	8.17
02877	SHINWAY PHARM	12,686,000	202,740,893	6.26	70,662,020	1,159,419,260	6.09
00016	SHK PPT	228,546,500	1,222,810,317	18.69	23,212,001,300	124,034,026,259	18.71
00697	SHOUCHENG	130,172,000	2,393,241,093	5.44	239,360,100	3,430,566,377	6.98
00639	SHOUGANG RES	57,499,200	1,134,711,166	5.07	96,342,600	1,898,841,940	5.07
00272	SHUI ON LAND	533,054,000	3,469,787,943	15.36	651,231,125	4,231,477,191	15.39
00242	SHUN TAK HOLD	200,384,000	1,895,159,201	10.57	573,718,120	5,571,742,657	10.30
00107	SHICHUAN EXPRESS	7,722,000	254,305,084	3.04	15,140,120	484,541,679	3.12
00460	SIHUAN PHARM	332,831,000	5,638,951,989	5.90	295,441,170	4,959,322,578	5.96
00807	SIICENVIRONMENT	3,749,000	211,690,740	1.77	5,182,770	263,062,879	1.97
00171	SILVER GRANT	9,548,000	193,484,943	4.93	10,020,680	196,446,714	5.10
00716	SINGAMAS CONT	15,964,000	1,731,928,425	0.92	8,493,440	870,779,795	0.98
02103	SINIC HOLDINGS	4,833,000	719,217,321	0.67	19,781,810	2,956,784,192	0.67
01177	SINO BIOPHARM	1,960,797,000	16,675,229,062	11.76	19,814,834,080	165,572,033,284	11.97
00083	SINO LAND	319,886,000	1,770,035,513	18.07	3,120,175,680	17,421,478,937	17.91
00297	SINOFERT	34,236,000	1,564,989,780	2.19	25,559,460	1,168,582,410	2.19
03377	SINO-OCEAN GP	409,190,000	2,482,226,544	16.48	739,975,230	4,685,602,077	15.79
00386	SINOPEC CORP	5,453,028,000	32,686,881,462	16.68	19,791,562,640	118,968,708,880	16.64
00934	SINOPEC KANTONS	187,668,000	1,962,115,216	9.56	600,482,260	6,361,794,481	9.44
02386	SINOPEC SEG	267,522,500	1,743,010,040	15.35	884,279,045	5,980,283,424	14.79
01033	SINOPEC SSC	52,678,000	2,066,929,270	2.55	33,047,450	1,319,561,866	2.50
01099	SINOPHARM	328,020,400	2,664,207,080	12.31	6,781,570,332	52,883,465,216	12.82
00598	SINOTRANS	459,505,000	4,109,647,543	11.18	924,460,690	8,435,400,576	10.96
03808	SINOTRUK	93,990,000	1,013,825,342	9.27	1,660,025,790	18,091,797,248	9.18
01308	SITC	86,433,000	1,051,824,397	8.22	972,473,520	11,381,973,890	8.54
00880	SJM HOLDINGS	487,268,000	4,140,706,364	11.77	4,304,278,210	36,819,885,534	11.69
00751	SKYWORTH GROUP	146,920,000	2,433,498,424	6.04	311,147,320	5,422,490,217	5.74
00315	SMARTONE TELE	18,229,500	221,274,832	8.24	85,138,320	1,015,604,403	8.38
00981	SMIC	2,274,498,000	28,409,427,276	8.01	47,188,704,835	620,431,215,750	7.61
06969	SMOORE INTL	92,553,000	2,517,618,442	3.68	4,334,210,550	96,908,175,639	4.47
00410	SOHO CHINA	520,969,000	4,078,343,768	12.77	1,413,219,290	12,051,982,646	11.73
01573	SOUTHERN ENERGY	4,118,000	1,161,863,562	0.35	3,717,400	1,014,389,357	0.37
02840	SPDR GOLD TRUST	842,120	21,138,772	3.98	1,008,091,261	27,559,623,184	3.66
01426	SPRING REIT	581,000	112,730,376	0.52	1,539,700	306,772,373	0.50
02005	SSY GROUP	249,598,000	1,765,615,014	14.14	1,346,934,740	9,819,453,169	13.72
02888	STANCHART	69,247,300	567,386,897	12.20	2,954,375,473	24,091,255,973	12.26

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
01836	STELLA HOLDINGS	21,690,000	227,943,831	9.52	180,374,945	1,916,047,300	9.41
00102	SUMMIT ASCENT	3,458,000	1,695,446,165	0.20	2,667,520	1,418,383,732	0.19
00086	SUN HUNG KAI CO	5,011,000	159,186,127	3.15	15,848,360	503,034,332	3.15
00580	SUN.KING TECH	4,218,000	839,421,393	0.50	7,543,680	1,428,188,683	0.53
01918	SUNAC	622,402,000	5,452,729,264	11.41	22,671,087,500	195,004,554,352	11.63
06808	SUNART RETAIL	767,552,000	4,781,793,515	16.05	7,730,248,665	47,514,536,464	16.27
01383	SUNCITY GROUP	104,500,000	1,923,956,660	5.43	112,468,900	1,994,508,233	5.64
01568	SUNDART HLDGS	212,000	30,239,001	0.70	742,860	100,966,103	0.74
01686	SUNVISION	58,404,000	784,272,604	7.44	336,289,550	4,572,428,323	7.35
00435	SUNLIGHT REIT	13,851,000	345,919,401	4.00	54,348,270	1,358,175,849	4.00
02382	SUNNY OPTICAL	420,011,400	2,136,708,025	19.66	53,467,623,655	270,541,066,854	19.76
02608	SUNSHINE 100	213,000	60,404,642	0.35	284,860	81,627,150	0.35
06806	SWHY	34,832,800	1,334,719,257	2.61	79,661,664	3,035,344,747	2.62
00019	SWIRE PACIFIC A	94,864,500	663,050,528	14.31	4,596,866,900	31,769,665,713	14.47
01972	SWIREPROPERTIES	192,027,600	881,539,628	21.78	4,137,179,936	18,767,996,334	22.04
01223	SYMPHONY HOLD	2,470,000	579,727,066	0.43	2,182,700	523,004,486	0.42
00088	TAI CHEUNG HOLD	919,000	33,672,822	2.73	5,263,950	178,152,784	2.95
01070	TCL ELECTRONICS	148,655,000	2,299,272,911	6.47	863,903,120	12,413,028,993	6.96
00669	TECHTRONIC IND	181,719,000	1,073,538,438	16.93	12,604,094,150	81,720,916,791	15.42
00700	TENCENT	849,662,100	5,257,304,268	16.16	397,665,464,960	2,478,041,270,422	16.05
06868	TENFU	25,000	15,484,000	0.16	138,490	88,211,510	0.16
02678	TEXHONG TEXTILE	9,983,500	146,935,847	6.79	61,813,375	945,066,499	6.54
00321	TEXWINCA HOLD	7,638,000	565,248,713	1.35	10,830,280	739,356,550	1.46
00028	TIAN AN	659,000	104,066,181	0.63	2,421,090	353,140,800	0.69
01600	TIAN LUN GAS	24,464,000	266,299,864	9.19	151,670,070	1,652,566,897	9.18
00266	TIAN TECK LAND	2,000	8,908,328	0.02	14,400	51,454,391	0.03
00882	TIANJIN DEV	2,128,000	168,202,626	1.27	3,802,480	276,281,351	1.38
03382	TIANJINPORT DEV	6,282,000	328,603,314	1.91	4,498,800	203,854,153	2.21
01773	TIANLI EDU	127,314,000	1,996,826,909	6.38	837,797,000	12,576,292,041	6.66
00819	TIANNENG POWER	138,646,000	2,306,397,664	6.01	1,493,575,500	30,137,591,014	4.96
01115	TIBET WATER	210,000	869,065,000	0.02	104,540	446,685,536	0.02
03347	TIGERMED	9,733,100	161,955,438	6.01	1,393,719,830	20,332,130,844	6.85
01233	TIMES CHINA	120,769,000	1,325,135,664	9.11	1,569,737,260	17,320,201,526	9.06
09928	TIMES NEIGHBOR	51,613,000	1,846,433,433	2.80	523,020,660	14,889,649,376	3.51
00322	TINGYI	261,762,000	2,256,275,487	11.60	3,618,624,160	31,051,928,797	11.65
02283	TK GROUP HLDG	544,000	101,131,313	0.54	1,496,200	261,335,487	0.57
02383	TOM GROUP	62,000	45,180,162	0.14	82,940	48,279,574	0.17
01666	TONG REN TANG	59,072,000	718,577,297	8.22	352,895,680	4,352,434,360	8.11
00780	TONGCHENG-ELONG	264,794,400	1,829,748,387	14.47	3,501,183,788	24,410,808,582	14.34
00698	TONGDA GROUP	315,670,000	7,225,072,452	4.37	196,862,300	4,495,195,061	4.38
06100	TONGDAO LIEPIN	4,060,000	123,437,552	3.29	72,234,616	2,137,948,412	3.38
03613	TONGRENTANGCM	42,831,000	376,736,917	11.37	456,692,680	3,848,496,980	11.87
06110	TOPSPORTS	199,841,000	2,958,242,658	6.76	2,116,388,940	29,966,280,632	7.06
01083	TOWNGAS CHINA	137,298,000	945,689,030	14.52	515,154,050	3,571,171,590	14.43
02800	TRACKER FUND	9,489,107,500	20,517,351,302	46.25	245,528,354,325	528,705,312,402	46.44
00570	TRAD CHI MED	448,848,000	3,973,536,622	11.30	1,668,846,260	14,866,336,131	11.23
00062	TRANSPORT INT'L	782,800	25,056,125	3.12	12,757,540	412,599,532	3.09
00696	TRAVELSKY TECH	124,389,000	1,088,723,326	11.43	1,960,360,780	17,145,866,688	11.43
01300	TRIGIANT	12,006,000	679,772,708	1.77	16,348,460	978,600,771	1.67
03048	TRMSCIBRAZIL	8,900	963,350	0.92	201,247	28,289,814	0.71
03055	TRMSCICHINA	850	139,726	0.61	120,610	20,978,246	0.57
02848	TRMSCIKOREA	160	215,155	0.07	68,682	123,363,598	0.06
03082	TRMSCIMALAY	34,290	120,480	28.46	2,606,488	9,700,694	26.87
03065	TRMSCISG	500	984,300	0.05	5,480	9,472,037	0.06
03092	TRMSCITHAI	21,900	545,100	4.02	2,975,906	91,558,896	3.25
00732	TRULY INT'L	81,848,000	1,736,150,528	4.71	79,741,480	1,731,907,296	4.60
00168	TSINGTAO BREW	96,402,000	735,526,857	13.11	5,700,325,100	44,378,239,200	12.84
00511	TVB	10,399,900	84,582,385	12.30	92,164,849	758,289,286	12.15
00687	TYSAN HOLDINGS	242,000	635,871,432	0.04	203,540	458,856,775	0.04
02666	UNI MEDICAL	55,737,000	735,603,934	7.58	279,273,685	3,803,005,493	7.34
03933	UNITED LAB	155,848,000	1,860,379,991	8.38	1,076,653,360	12,929,613,693	8.33
00467	UNITEDENERGY GP	131,942,000	1,183,656,396	11.15	173,756,900	1,552,819,322	11.19
00220	U-PRESID CHINA	93,078,000	811,904,491	11.46	708,173,740	6,286,341,154	11.27
03095	VALUE A SHARE	19,000	6,023,856	0.32	129,110	43,812,245	0.29
83095	VALUE A SHARE-R	62,000	1,183,000	5.24	441,293	8,391,033	5.26
00806	VALUE PARTNERS	104,839,000	1,204,253,557	8.71	407,357,400	4,611,881,612	8.83
03081	VALUEGOLD ETF	4,418,500	43,001,970	10.28	194,254,510	1,843,085,338	10.54
83081	VALUEGOLD ETF-R	244,000	1,437,400	16.98	10,507,046	61,306,298	17.14
09081	VALUEGOLD ETF-U	59,800	421,490	14.19	2,612,546	17,791,831	14.68
02805	VANGUARDASXJP	99,100	5,419,616	1.83	2,488,135	133,099,699	1.87
82805	VANGUARDASXJP-R	145,400	494,000	29.43	3,145,514	11,478,094	27.40
09805	VANGUARDASXJP-U	81,100	422,700	19.19	1,918,212	10,616,606	18.07
03085	VANGUARDAXJHY	67,700	5,401,890	1.25	1,233,400	108,795,558	1.13
83085	VANGUARDAXJHY-R	312,800	1,119,100	27.95	5,812,643	20,463,535	28.40
09085	VANGUARDAXJHY-U	53,900	1,124,500	4.79	1,098,146	20,673,064	5.31
03169	VANGUARDCHINA	2,735,100	20,694,812	13.22	31,131,682	216,836,852	14.36
83169	VANGUARDCHINA-R	383,800	2,924,900	13.12	4,160,501	31,099,379	13.38
09169	VANGUARDCHINA-U	2,409,500	9,212,267	26.16	27,717,331	100,780,703	27.50
03101	VANGUARDVUEUR	68,600	11,248,279	0.61	1,061,449	195,753,908	0.54
83101	VANGUARDVUEUR-R	213,100	1,361,100	15.66	3,438,717	23,288,739	14.77
09101	VANGUARDVUEUR-U	172,100	1,653,600	10.41	3,027,847	29,870,902	10.14
03126	VANGUARDJAPAN	149,600	7,209,656	2.07	3,950,918	180,398,553	2.19
83126	VANGUARDJAPAN-R	276,900	3,720,800	7.44	6,836,445	94,575,948	7.23
09126	VANGUARDJAPAN-U	336,600	2,013,000	16.72	8,561,363	50,966,835	16.80
03140	VANGUARDSP500	10,426,400	79,010,920	13.20	203,077,589	1,689,297,503	12.02

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling Value (HK\$)	Total turnover Value (HK\$)	% of total
83140	VANGUARDSP500-R	1,043,900	5,319,800	19.62	22,394,343	117,972,645	18.98
09140	VANGUARDSP500-U	428,100	4,263,600	10.04	8,159,398	91,267,722	8.94
02003	VCREDIT	309,600	100,738,400	0.31	1,892,058	504,763,473	0.37
02500	VENUS MEDTECH-B	31,044,500	277,352,566	11.19	2,251,319,350	18,612,100,719	12.10
03331	VINDA INT'L	102,674,000	763,055,129	13.46	2,448,283,440	17,725,235,543	13.81
01565	WIRSCEND EDU	55,804,000	1,963,174,709	2.84	121,750,120	4,123,602,780	2.95
00345	VITASOY INT'L	220,448,000	865,964,483	25.46	6,377,781,500	25,348,062,557	25.16
01873	VIVA BIOTECH	237,592,000	3,175,869,334	7.48	1,933,971,455	25,427,398,273	7.61
08032	VIVA CHINA	2,912,000	1,037,296,991	0.28	1,514,240	542,239,443	0.28
01608	VPOWER GROUP	19,708,000	837,393,523	2.35	57,757,700	2,669,094,652	2.16
00856	VSTECs	17,314,000	542,469,377	3.19	77,772,720	2,573,560,549	3.02
00303	VTECH HOLDINGS	28,798,000	204,054,732	14.11	1,579,149,510	11,212,892,210	14.08
01243	WANG ON PPT	5,632,000	9,791,616,806	0.06	2,135,600	1,719,235,358	0.12
00151	WANT WANT CHINA	1,036,574,000	4,622,026,895	22.43	5,877,049,040	26,327,005,956	22.32
03393	WASION HOLDINGS	4,048,000	261,439,037	1.55	9,738,420	664,221,936	1.47
01140	WEALTHKING INV	8,220,000	133,862,437	6.14	8,709,360	132,775,256	6.56
02338	WEICHAI POWER	300,596,000	2,800,737,732	10.73	4,578,888,940	42,493,388,829	10.78
01066	WEIGAO GROUP	417,612,000	4,280,408,328	9.76	6,496,337,320	64,752,868,525	10.03
02013	WEIMOB INC	1,200,311,000	14,635,830,328	8.20	10,269,036,850	125,840,303,530	8.16
02233	WESTCHINACEMENT	281,432,000	6,630,906,670	4.24	376,325,500	9,231,915,274	4.08
00288	WH GROUP	1,008,721,000	10,787,601,380	9.35	7,064,669,150	75,672,297,834	9.34
00004	WHARF HOLDINGS	355,338,000	1,312,333,500	27.08	5,595,115,400	20,719,233,115	27.00
01997	WHARF REIC	461,422,000	1,497,152,663	30.82	15,786,085,850	50,652,973,376	31.17
00020	WHEELLOCK	32,843,000	272,103,698	12.07	1,841,473,200	15,311,501,981	12.03
00289	WING ON CO	4,000	3,473,745	0.12	71,220	65,996,603	0.11
00369	WING TAI PPT	12,000	14,422,886	0.08	43,300	61,335,209	0.07
06068	WISDOM EDU INTL	138,348,000	1,251,084,627	11.06	496,862,220	4,267,742,746	11.64
02827	WISE CSI300 ETF	494,400	47,466,980	1.04	18,918,540	1,880,687,711	1.01
03024	WISE SSE50ETF	285,600	918,500	31.09	9,886,782	28,399,879	34.81
02825	WISECSIHK100ETF	23,600	408,000	5.78	628,180	10,567,616	5.94
02359	WUXI APPTec	93,785,200	392,006,354	23.92	10,410,071,110	43,692,658,070	23.83
02269	WUXI BIO	164,121,500	1,831,033,886	8.96	21,030,864,925	232,423,866,675	9.05
01128	WYNN MACAU	585,796,400	2,959,491,471	19.79	8,196,129,388	41,752,990,856	19.63
03049	X TRCSI300	5,400	16,044,343	0.03	42,387	154,797,222	0.03
03087	X TRFTSEVIET	1,710	1,067,539	0.16	321,779	229,953,655	0.14
03099	X TRMSCIINDO	1,900	201,500	0.94	168,067	19,752,963	0.85
03027	X TRMSCIRUSSIA	202,000	2,381,524	8.48	3,969,359	47,444,868	8.37
03019	X TRMSCIWORLD	13,750	1,377,069	1.00	611,343	68,392,803	0.89
02400	XD INC	36,987,400	742,651,057	4.98	1,366,212,930	23,801,842,468	5.74
07322	XI GX S&P500	1,080,700	27,454,999	3.94	10,030,759	272,462,442	3.68
07552	XI2CSOPHSTECH	7,228,000	14,991,822	48.21	54,140,899	114,090,995	47.45
00520	XIABU XIABU	227,696,500	1,657,673,307	13.74	2,271,387,470	17,376,235,443	13.07
01810	XIAOMI-W	7,117,309,200	52,268,100,978	13.62	121,387,869,260	914,901,061,854	13.27
07373	XICAMDXNCSI300	11,958,100	120,320,400	9.94	81,209,901	870,197,088	9.33
07333	XICSOPCSI300	43,501,500	242,555,402	17.93	321,811,675	1,794,282,086	17.94
01899	XINGDA INT'L	5,629,000	317,265,790	1.77	10,385,670	619,917,410	1.68
00811	XINHUA WINSHARE	5,622,000	201,593,748	2.79	28,432,290	1,038,977,652	2.74
03603	XINJI SHAXI	6,195,000	601,543,477	1.03	14,623,890	1,547,782,198	0.94
03868	XINYI ENERGY	164,182,000	2,984,266,815	5.50	601,534,900	10,454,527,783	5.75
00868	XINYI GLASS	345,138,000	2,916,204,237	11.84	4,186,014,160	37,030,257,802	11.30
00968	XINYI SOLAR	1,146,912,000	10,228,079,467	11.21	10,856,364,740	96,066,241,202	11.30
07272	XL2CAMDXNCSI300	23,596,900	205,488,699	11.48	237,757,558	1,910,865,999	12.44
07233	XL2CSOPCSI300	63,502,200	432,296,199	14.69	556,713,856	3,881,836,484	14.34
07226	XL2CSOPHSTECH	9,043,900	17,978,193	50.30	68,281,862	136,864,046	49.89
01368	XTEP INT'L	210,402,500	1,710,042,112	12.30	622,435,380	5,020,511,606	12.40
01585	YADEA	156,828,000	2,777,786,811	5.65	1,395,448,360	21,006,615,796	6.64
01171	YANZHOU COAL	613,548,000	3,592,227,318	17.08	3,744,735,440	22,050,339,539	16.98
09923	YEAHKA	17,165,200	662,199,067	2.59	651,390,880	22,202,991,990	2.93
01579	YIHAI INTL	95,471,000	851,240,422	11.22	7,958,289,200	67,850,164,955	11.73
02858	YIXIN	88,680,500	2,512,674,308	3.53	171,312,930	5,115,984,902	3.35
06869	YOFC	25,308,000	548,786,594	4.61	360,150,620	8,190,417,788	4.40
03669	YONGDA AUTO	131,136,000	2,345,157,979	5.59	1,149,596,895	21,189,243,163	5.43
01431	YST DAIRY	1,580,000	2,969,625,884	0.05	1,022,830	1,473,106,171	0.07
00551	YUE YUEN IND	140,427,000	1,050,113,864	13.37	1,878,619,460	13,944,036,821	13.47
00123	YUEXIU PROPERTY	162,768,000	4,295,834,586	3.79	247,436,200	6,558,860,671	3.77
00405	YUEXIU REIT	86,690,000	893,878,804	9.70	343,352,060	3,548,720,314	9.68
01052	YUEXIUTRANSPORT	54,338,000	1,012,220,663	5.37	273,317,620	5,254,604,189	5.20
06169	YUHUA EDU	348,520,000	3,102,789,975	11.23	2,338,748,060	21,012,290,406	11.13
09987	YUM CHINA-S	1,446,000	36,753,426	3.93	657,811,110	15,255,159,729	4.31
00376	YUNFENG FIN	7,036,000	269,115,770	2.61	25,119,100	973,339,400	2.58
01068	YURUN FOOD	17,991,000	1,000,065,776	1.80	12,178,830	682,724,842	1.78
01628	YUZHOU GROUP	359,981,000	2,474,955,839	14.54	1,180,559,520	8,413,623,394	14.03
06060	ZA ONLINE	144,217,800	1,576,547,221	9.15	5,334,345,965	59,708,001,901	8.93
09688	ZAI LAB-SB	12,000	3,603,625	0.33	10,843,075	2,502,220,588	0.43
02098	ZALL SMARTCOM	4,511,000	3,178,959,654	0.14	3,216,600	2,332,783,706	0.14
00185	ZENSUN ENT	3,440,000	2,806,489,096	0.12	1,602,850	1,082,271,388	0.15
01660	ZHAOBANGJI PPT	5,816,000	502,711,760	1.16	7,549,840	633,329,078	1.19
01818	ZHAOJIN MINING	427,884,500	2,782,487,605	15.38	3,926,913,395	25,810,532,686	15.21
00576	ZHEJIANGEXPRESS	139,786,000	1,507,335,515	9.27	813,062,200	8,670,418,990	9.38
08283	ZHENG LI HLDG	170,000	214,695,000	0.08	68,425	53,724,330	0.13
01728	ZHENGTONGAUTO	419,272,000	5,359,574,693	7.82	564,140,820	6,383,585,557	8.84
06158	ZHENRO PPT	179,300,000	1,765,814,892	10.15	858,903,910	8,530,152,925	10.07
06958	ZHENRO SERVICES	4,646,000	681,084,190	0.68	20,081,230	3,785,491,889	0.53
02772	ZHONGLIANG HLDG	28,429,500	999,466,094	2.84	150,674,260	5,400,105,936	2.79
00881	ZHONGSHENG HLDG	223,581,500	1,063,724,911	21.02	8,797,478,925	43,986,780,214	20.00

Short selling transactions by designated stock, 2020 (continued)

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK\$)	Total turnover Value (HK\$)	% of total
03633	ZHONGYU GAS	12,940,000	223,870,359	5.78	88,022,800	1,542,346,943	5.71
01458	ZHOU HEI YA	296,388,000	2,637,088,167	11.24	1,822,590,860	15,913,635,926	11.45
01176	ZHUGUANG HOLD	25,440,000	671,627,606	3.79	28,307,540	747,947,265	3.78
02899	ZIJIN MINING	1,251,326,000	12,567,334,935	9.96	5,918,956,580	60,939,976,943	9.71
01157	ZOOMLION	357,801,000	2,892,496,391	12.37	2,442,105,472	20,404,523,131	11.97
00763	ZTE	350,286,400	4,251,694,104	8.24	8,205,822,436	102,611,120,309	8.00
02057	ZTO EXPRESS-SW	365,400	21,522,821	1.70	79,694,090	5,000,277,225	1.59
01216	ZYBANK	256,000	913,484,050	0.03	298,310	1,224,301,709	0.02
Total		309,575,543,411	2,496,152,895,812	12.40	4,377,792,495,936	26,757,575,851,362	16.36

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Monthly short selling transactions, 2020

Month	No. of designated securities (month-end figure)	Number of shares*	Value of transactions* (HK\$)	No. of designated securities recording short selling
Jan	898	20,520,672,528	291,740,826,309	713
Feb	890	26,384,885,094	352,945,880,454	738
Mar	890	36,384,048,129	468,278,165,365	756
Apr	889	20,864,749,670	260,506,210,599	716
May	848	23,776,294,505	347,263,401,482	751
Jun	854	23,932,702,335	359,119,098,026	719
Jul	859	30,362,666,605	470,657,538,502	705
Aug	870	24,749,977,070	365,742,571,201	711
Sep	875	25,610,901,910	361,838,347,416	701
Oct	881	22,347,414,345	287,638,214,111	711
Nov	898	29,875,584,910	443,871,991,166	758
Dec	900	24,765,646,310	368,190,251,304	761
Total		309,575,543,411	4,377,792,495,936	

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Newly listed companies

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
09998	Kwan Yong Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	60,000,000 (a) 140,000,000 (b)	0.65 0.65	39.00 91.00	2020/01/08 48.73	SPDB International Capital Ltd.
09918	Wise Ally International Holdings Ltd.	Offer for subscription Offer for placing Industrials - Industrial Engineering	150,000,000 (a) 350,000,000 (b)	0.25 0.25	37.50 87.50	2020/01/10 35.19	WAG Worldsec Corporate Finance Ltd.
02528	Forward Fashion (International) Holdings Co. Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Specialty Retail	30,000,000 (a) 70,000,000 (b)	2.00 2.00	60.00 140.00	2020/01/13 17.70	China Industrial Securities International Capital Ltd.
01802	Wenye Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	29,704,000 (a) 118,796,000 (b)	1.06 1.06	31.49 125.92	2020/01/14 12.62	Fortune Financial Capital Ltd.
03718	Beijing Enterprises Urban Resources Group Ltd.	Offer for subscription Offer for placing Industrials - Industrial Engineering	90,000,000 (a) 810,000,000 (b)	0.69 0.69	62.10 558.90	2020/01/15 2.70	Haitong International Capital Ltd. DBS Asia Capital Ltd.
01416	CTR Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	105,000,000 (a) 245,000,000 (b)	0.36 0.36	37.80 88.20	2020/01/15 18.17	Grande Capital Ltd.
09922	Jiumaojiu International Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Travel & Leisure	166,700,000 (a) 216,710,000 (b)	6.60 6.60	1,100.22 1,430.29	2020/01/15 637.82	CMB International Capital Ltd.
09919	Activation Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	100,000,000 (a) 100,000,000 (b)	2.02 2.02	202.00 202.00	2020/01/16 113.75	Dongxing Securities (Hong Kong) Co. Ltd.
09968	Huijing Holdings Co., Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	25,354,000 (a) 762,746,000 (b)	1.93 1.93	48.93 1,472.10	2020/01/16 0.32	China Galaxy International Securities (Hong Kong) Co., Ltd.
01925	Kwung's Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	30,000,000 (a) 75,042,000 (b)	1.28 1.28	38.40 96.05	2020/01/16 17.66	China Industrial Securities International Capital Ltd.
01412	Q P Group Holdings Ltd.	Offer for subscription Offer for placing Industrials - Commercial & Professional Services	13,300,000 (a) 119,700,000 (b)	1.18 1.18	15.69 141.25	2020/01/16 6.81	Guotai Junan Capital Ltd.
00301	SANVO Fine Chemicals Group Ltd.	Offer for subscription Offer for sale Offer for placing Materials - Basic Materials	30,000,000 (a) 10,000,000 (b) 60,000,000 (b)	1.30 1.30 1.30	39.00 13.00 78.00	2020/01/16 37.75	VBG Capital Ltd.
01525	Shanghai Gench Education Group Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Support Services	10,000,000 (a) 105,000,000 (b)	6.05 6.05	60.50 635.25	2020/01/16 4.52	Macquarie Capital Ltd.
01740	Values Cultural Investment Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	125,000,000 (a) 162,500,000 (b)	0.50 0.50	62.50 81.25	2020/01/16 1,212.16	Zhongtai International Capital Ltd.
01937	JiaChen Holding Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	50,000,000 (a) 200,000,000 (b)	0.53 0.53	26.50 106.00	2020/01/17 7.63	Dakin Capital Ltd.
01745	Lvji Technology Holdings Inc.	Offer for subscription Offer for sale Offer for placing Consumer Discretionary - Travel & Leisure	141,080,000 (a) 42,400,000 (b) 169,220,000 (b)	2.12 2.12 2.12	299.09 89.89 358.75	2020/01/17 84.66	CCB International Capital Ltd.
09938	Wah Wo Holdings Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	45,000,000 (a) 205,000,000 (b)	0.50 0.50	22.50 102.50	2020/01/17 16.69	First Shanghai Capital Ltd.
09933	GHW International	Offer for subscription Offer for placing Materials - Basic Materials	75,000,000 (a) 175,000,000 (b)	0.51 0.51	38.25 89.25	2020/01/21 21.70	Fortune Financial Capital Ltd.
01442	Infinity Logistics and Transport Ventures Ltd.	Offer for subscription Offer for placing Industrials - Industrial Transportation	50,000,000 (a) 450,000,000 (b)	0.31 0.31	15.50 139.50	2020/01/21 10.46	VBG Capital Ltd.
01601	Zhongguancun Science-Tech Leasing Co., Ltd. - H Shares	Offer for subscription Offer for placing Financials - Other Financials	33,334,000 (a) 300,000,000 (b)	1.52 1.52	50.67 456.00	2020/01/21 5.80	Guotai Junan Capital Ltd.
09929	SEM Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	50,000,000 (a) 450,000,000 (b)	0.25 0.25	12.50 112.50	2020/02/14 5.57	Ample Capital Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
02263	Fu Shek Financial Holdings Ltd.	Offer for subscription Offer for placing Financials - Other Financials	125,000,000 (a) 125,000,000 (b)	0.50 0.50	62.50 62.50	2020/02/19 130.35	Vinco Capital Ltd.
09916	Xingye Wulian Service Group Co. Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	50,000,000 (a) 50,000,000 (b)	1.99 1.99	99.50 99.50	2020/03/09 1,414.06	Zhongtai International Capital Ltd.
01433	Cirtek Holdings Ltd.	Offer for subscription Offer for placing Industrials - Commercial & Professional Services	200,000,000 (a) 300,000,000 (b)	0.25 0.25	50.00 75.00	2020/03/12 74.68	Elstone Capital Ltd.
01343	Wei Yuan Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	26,600,000 (a) 239,400,000 (b)	0.48 0.48	12.77 114.91	2020/03/12 4.79	Grande Capital Ltd.
01950	Sunlight Technology Holdings Ltd.	Offer for subscription Offer for placing Materials - Basic Materials	25,000,000 (a) 225,000,000 (b)	0.52 0.52	13.00 117.00	2020/03/12 12.47	Giraffe Capital Ltd.
09936	Ximei Resources Holding Ltd.	Offer for subscription Offer for placing Materials - Basic Materials	22,500,000 (a) 52,500,000 (b)	2.23 2.23	50.18 117.08	2020/03/12 15.74	Cinda International Capital Ltd.
01859	China Bright Culture Group	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	32,038,000 (a) 367,962,000 (b)	2.26 2.26	72.41 831.59	2020/03/13 0.80	Haitong International Capital Ltd. BOCOM International (Asia) Ltd.
01941	Ye Xing Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	50,000,000 (a) 55,310,000 (b)	1.56 1.56	78.00 86.28	2020/03/13 1,948.62	Alliance Capital Partners Ltd.
01472	Sang Hing Holdings (International) Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	25,000,000 (a) 225,000,000 (b)	0.50 0.50	12.50 112.50	2020/03/17 2.47	Cinda International Capital Ltd.
01961	Jiu Zun Digital Interactive Entertainment Group Holdings Ltd.	Offer for subscription Offer for placing Information Technology - Software & Services	37,800,000 (a) 88,200,000 (b)	1.35 1.35	51.03 119.07	2020/03/17 23.06	Lego Corporate Finance Ltd.
03991*	Changhong Jiahua Holdings Ltd.	Transfer of Listing Information Technology - IT Hardware	n.a.	n.a.	n.a.	2020/03/18 n.a.	+ n.a.
00589	Jianzhong Construction Development Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	62,500,000 (a) 93,750,000 (b)	1.65 1.65	103.13 154.69	2020/03/18 59.04	VMS Securities Ltd.
06918	Kidztech Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	26,520,000 (a) 62,766,000 (b)	1.38 1.38	36.60 86.62	2020/03/18 20.40	CMBC International Capital Ltd.
01657*	SG Group Holdings Ltd.	Transfer of Listing Consumer Discretionary - Textiles & Clothing	n.a.	n.a.	n.a.	2020/03/20 n.a.	+ n.a.
09969	InnoCare Pharma Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	125,162,000 (a) 162,710,000 (b)	8.95 8.95	1,120.20 1,456.25	2020/03/23 298.75	Morgan Stanley Asia Ltd. Goldman Sachs (Asia) L.L.C.
01463	C-Link Squared Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Support Services	20,000,000 (a) 180,000,000 (b)	0.63 0.63	12.60 113.40	2020/03/27 11.15	Grand Moore Capital Ltd.
06063	Lotus Horizon Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	50,000,000 (a) 450,000,000 (b)	0.25 0.25	12.50 112.50	2020/04/15 14.15	Innovax Capital Ltd.
01942	MOG Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Specialty Retail	37,500,000 (a) 87,500,000 (b)	1.00 1.00	37.50 87.50	2020/04/15 40.04	Zhongtai International Capital Ltd.
03390	Tycoon Group Holdings Ltd.	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	19,242,000 (a) 173,178,000 (b)	1.49 1.49	28.67 258.04	2020/04/15 6.79	Shenwan Hongyuan Capital (H.K.) Ltd.
01162*	Lumina Group Ltd.	Transfer of Listing Properties & Construction - Construction	n.a.	n.a.	n.a.	2020/04/20 n.a.	+ n.a.
09926	Akeso, Inc. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	79,748,000 (a) 103,671,000 (b)	16.18 16.18	1,290.32 1,677.40	2020/04/24 639.20	Morgan Stanley Asia Ltd. J.P. Morgan Securities (Far East) Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
01953	Rimbaco Group Global Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	63,000,000 (a) 252,000,000 (b)	0.40 0.40	25.20 100.80	2020/04/28 15.90	Titan Financial Services Ltd.
01376	Raffles Interior Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	25,000,000 (a) 225,000,000 (b)	0.50 0.50	12.50 112.50	2020/05/07 14.17	Kingsway Capital Ltd.
01147	Edensoft Holdings Ltd.	Offer for subscription Offer for placing Information Technology - Software & Services	250,000,000 (a) 250,000,000 (b)	0.25 0.25	62.50 62.50	2020/05/13 115.63	Cinda International Capital Ltd.
01936	Ritamix Global Ltd.	Offer for subscription Offer for placing Consumer Staples - Agricultural Products	12,500,000 (a) 112,500,000 (b)	1.00 1.00	12.50 112.50	2020/05/13 5.33	Messis Capital Ltd.
09983	Central China New Life Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	150,000,000 (a) 195,000,000 (b)	6.85 6.85	1,027.50 1,335.75	2020/05/15 142.52	BNP Paribas Securities (Asia) Ltd.
09996	Peijia Medical Ltd. - B	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	76,256,000 (a) 99,131,000 (b)	15.36 15.36	1,171.29 1,522.65	2020/05/15 1,184.41	Morgan Stanley Asia Ltd. Huatai Financial Holdings (Hong Kong) Ltd.
09939	Kintor Pharmaceutical Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	46,174,000 (a) 46,173,500 (b)	20.15 20.15	930.41 930.40	2020/05/22 551.32	Huatai Financial Holdings (Hong Kong) Ltd.
09978 *	Fineland Real Estate Services Group Ltd.	Transfer of Listing Properties & Construction - Properties	n.a.	n.a.	n.a.	2020/05/28 n.a.	+ n.a.
09923	Yeahka Ltd.	Offer for subscription Offer for placing Information Technology - Software & Services	49,362,400 (a) 60,764,000 (b)	16.64 16.64	821.39 1,011.11	2020/06/01 641.38	CLSA Capital Markets Ltd. Nomura International (Hong Kong) Ltd. ABCI Capital Ltd.
02381	SMC Electric Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Discretionary - Household Goods & Electronics	150,000,000 (a) 225,000,000 (b) 125,000,000 (b)	0.25 0.25 0.25	37.50 56.25 31.25	2020/06/02 37.30	Red Sun Capital Ltd.
01645	Haina Intelligent Equipment International Holdings Ltd.	Offer for subscription Offer for placing Industrials - Industrial Engineering	58,000,000 (a) 64,004,000 (b)	1.38 1.38	80.04 88.33	2020/06/03 168.29	VBG Capital Ltd.
09999	NetEase, Inc. - S	Offer for subscription Offer for placing Information Technology - Software & Services	20,580,000 (a) 176,622,000 (b)	123.00 123.00	2,531.34 21,724.51	2020/06/11 360.53	China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd. J.P. Morgan Securities (Far East) Ltd.
06812 *	Winson Holdings Hong Kong Ltd.	Transfer of Listing Consumer Discretionary - Support Services	n.a.	n.a.	n.a.	2020/06/11 n.a.	+ n.a.
09618	JD.com, Inc. - SW	Offer for subscription Offer for placing Information Technology - Software & Services	15,960,000 (a) 136,952,100 (b)	226.00 226.00	3,606.96 30,951.17	2020/06/18 178.90	Merrill Lynch Far East Ltd. UBS Securities Hong Kong Ltd. CLSA Capital Markets Ltd.
09958	Litian Pictures Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	7,500,000 (a) 67,500,000 (b)	2.56 2.56	19.20 172.80	2020/06/22 11.65	Founder Securities (Hong Kong) Capital Co. Ltd.
06078	Hygeia Healthcare Holdings Co., Ltd.	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	60,000,000 (a) 78,000,000 (b)	18.50 18.50	1,110.00 1,443.00	2020/06/29 608.56	Morgan Stanley Asia Ltd. Haitong International Capital Ltd.
09997	Kangji Medical Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Healthcare - Healthcare Equipment & Services	112,700,000 (a) 33,809,500 (b) 112,697,500 (b)	13.88 13.88 13.88	1,564.28 469.28 1,564.24	2020/06/29 989.22	Goldman Sachs (Asia) L.L.C. CLSA Capital Markets Ltd. Merrill Lynch Far East Ltd.
01650	Hygeia Group Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Support Services	100,000,000 (a) 400,000,000 (b)	0.25 0.25	25.00 100.00	2020/07/03 19.92	Fortune Financial Capital Ltd.
01502	Financial Street Property Co., Ltd. - H Shares	Offer for subscription Offer for placing Properties & Construction - Properties	45,000,000 (a) 58,500,000 (b)	7.36 7.36	331.20 430.56	2020/07/06 146.22	Guotai Junan Capital Ltd.
01971	Redsun Services Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	50,000,000 (a) 65,000,000 (b)	4.15 4.15	207.50 269.75	2020/07/07 111.82	ABCI Capital Ltd.
01957	MBV International Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Textiles & Clothing	47,100,000 (a) 109,900,000 (b)	0.80 0.80	37.68 87.92	2020/07/08 23.50	South China Capital Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
09989	Shenzhen Hepalink Pharmaceutical Group Co., Ltd. - H Shares	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	88,038,000 (a) 132,056,500 (b)	18.40 18.40	1,619.90 2,429.84	2020/07/08 69.21	Goldman Sachs (Asia) L.L.C. Morgan Stanley Asia Ltd.
01163	Adtiger Corporations Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	15,000,000 (a) 157,500,000 (b)	0.86 0.86	12.90 135.45	2020/07/10 6.18	CEB International Capital Corporation Ltd.
09979	Greentown Management Holdings Co. Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	47,756,000 (a) 477,560,000 (b)	2.50 2.50	119.39 1,193.90	2020/07/10 11.20	Credit Suisse (Hong Kong) Ltd. Deutsche Securities Asia Ltd.
06978	Immunotech Biopharm Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	50,000,000 (a) 64,584,000 (b)	11.00 11.00	550.00 710.42	2020/07/10 259.58	CCB International Capital Ltd. Guosun Securities (HK) Capital Co. Ltd.
01477	Ocumension Therapeutics - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	52,965,000 (a) 68,854,500 (b)	14.66 14.66	776.47 1,009.41	2020/07/10 1,895.76	Morgan Stanley Asia Ltd. Goldman Sachs (Asia) L.L.C.
06969	Smooere International Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	212,512,000 (a) 447,992,000 (b)	12.40 12.40	2,635.15 5,555.10	2020/07/10 116.00	CLSA Capital Markets Ltd.
06958	Zhenro Services Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	125,000,000 (a) 162,500,000 (b)	4.55 4.55	568.75 739.38	2020/07/10 102.00	CCB International Capital Ltd.
09906	Honliv Healthcare Management Group Co. Ltd.	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	15,000,000 (a) 135,000,000 (b)	2.10 2.10	31.50 283.50	2020/07/13 14.99	BOCOM International (Asia) Ltd.
09990	Archosaur Games Inc.	Offer for subscription Offer for placing Information Technology - Software & Services	93,700,000 (a) 121,810,000 (b)	11.60 11.60	1,086.92 1,413.00	2020/07/15 123.01	China International Capital Corporation Hong Kong Securities Ltd.
01981	Cathay Media and Education Group Inc.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	120,000,000 (a) 340,000,000 (b)	3.10 3.10	372.00 1,054.00	2020/07/15 34.64	Macquarie Capital Ltd. China Merchants Securities (HK) Co., Ltd.
01156	China New Energy Ltd.	Offer for subscription Offer for placing Energy - Oil & Gas	8,260,000 (a) 74,340,000 (b)	0.98 0.98	8.09 72.85	2020/07/15 9.44	Dongxing Securities (Hong Kong) Co. Ltd.
09986	Dashan Education Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Support Services	20,000,000 (a) 180,000,000 (b)	1.25 1.25	25.00 225.00	2020/07/15 4.09	Alliance Capital Partners Ltd.
06968	Ganglong China Property Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	11,113,000 (a) 419,505,000 (b)	3.93 3.93	43.67 1,648.65	2020/07/15 0.28	WAG Worldsec Corporate Finance Ltd.
06933	Sino-Entertainment Technology Holdings Ltd.	Offer for subscription Offer for placing Information Technology - Software & Services	10,000,000 (a) 90,000,000 (b)	1.63 1.63	16.30 146.70	2020/07/15 5.59	WAG Worldsec Corporate Finance Ltd.
09668	China Bohai Bank Co., Ltd. - H shares	Offer for subscription Offer for placing Financials - Banks	84,710,000 (a) 3,227,290,000 (b)	4.80 4.80	406.61 15,490.99	2020/07/16 0.59	CCB International Capital Ltd. Haitong International Capital Ltd. ABCI Capital Ltd. CLSA Capital Markets Ltd.
09908	JiaXing Gas Group Co., Ltd. - H shares	Offer for subscription Offer for placing Utilities - Utilities	3,334,000 (a) 34,510,500 (b)	10.00 10.00	33.34 345.11	2020/07/16 6.73	BOCOM International (Asia) Ltd. LY Capital Ltd.
09977	Shandong Fengxiang Co., Ltd. - H Shares	Offer for subscription Offer for placing Consumer Staples - Agricultural Products	35,500,000 (a) 319,500,000 (b)	3.33 3.33	118.22 1,063.94	2020/07/16 2.10	Southwest Securities (HK) Capital Ltd.
00368	Superland Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	20,000,000 (a) 180,000,000 (b)	0.63 0.63	12.60 113.40	2020/07/17 10.73	Lego Corporate Finance Ltd.
01449	Leader Education Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Discretionary - Support Services	50,002,000 (a) 9,000,000 (b) 116,665,000 (b)	2.10 2.10 2.10	105.00 18.90 245.00	2020/08/06 27.94	Huatai Financial Holdings (Hong Kong) Ltd.
03347	Hangzhou Tigermed Consulting Co., Ltd. - H Shares	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	23,019,000 (a) 100,105,800 (b)	100.00 100.00	2,301.90 10,010.58	2020/08/07 414.39	Merrill Lynch Far East Ltd. Haitong International Capital Ltd. CLSA Capital Markets Ltd. China International Capital Corporation Hong Kong Securities Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
09913	Chi Kan Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	75,000,000 (a) 175,000,000 (b)	0.52 0.52	39.00 91.00	2020/08/14 19.15	Ample Capital Ltd.
09633	Nongfu Spring Co., Ltd. - H Shares	Offer for subscription Offer for placing Consumer Staples - Food & Beverages	104,822,600 (a) 341,643,800 (b)	21.50 21.50	2,253.69 7,345.34	2020/09/08 1,148.30	China International Capital Corporation Hong Kong Securities Ltd. Morgan Stanley Asia Ltd. Morgan Stanley & Co. International plc
09987	Yum China Holdings, Inc. - S	Offer for subscription Offer for placing Consumer Discretionary - Travel & Leisure	6,915,300 (a) 34,995,400 (b)	412.00 412.00	2,849.10 14,418.10	2020/09/10 52.32	Goldman Sachs (Asia) L.L.C.
01408	Macau E&M Holding Ltd.	Offer for subscription Offer for sale Offer for placing Properties & Construction - Construction	12,500,000 (a) 21,900,000 (b) 90,600,000 (b)	1.00 1.00 1.00	12.50 21.90 90.60	2020/09/11 8.99	Innovax Capital Ltd.
01455	Fourace Industries Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	31,250,000 (a) 281,250,000 (b)	0.40 0.40	12.50 112.50	2020/09/15 7.70	Innovax Capital Ltd.
02101	Fulu Holdings Ltd.	Offer for subscription Offer for placing Information Technology - Software & Services	50,000,000 (a) 50,000,000 (b)	8.90 8.90	445.00 445.00	2020/09/18 1258.51	CMB International Capital Ltd.
01179	Huazhu Group Ltd. - S	Offer for subscription Offer for placing Consumer Discretionary - Travel & Leisure	2,042,300 (a) 21,443,150 (b)	297.00 297.00	606.56 6,368.62	2020/09/22 3.39	Goldman Sachs (Asia) L.L.C. CMB International Capital Ltd.
06988	Joy Spreader Interactive Technology Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	271,850,000 (a) 282,155,000 (b)	2.88 2.88	782.93 812.61	2020/09/23 1632.92	China Securities (International) Corporate Finance Co. Ltd. China Merchants Securities (HK) Co., Ltd. BOCOM International (Asia) Ltd.
00909	Ming Yuan Cloud Group Holdings Ltd.	Offer for subscription Offer for placing Information Technology - Software & Services	138,456,000 (a) 291,878,000 (b)	16.50 16.50	2,284.52 4,815.99	2020/09/25 644.25	China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd.
09688	Zai Lab Ltd. - SB	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	898,000 (a) 11,250,650 (b)	562.00 562.00	504.68 6,322.87	2020/09/28 3.43	J.P. Morgan Securities (Far East) Ltd. Goldman Sachs (Asia) L.L.C. Citigroup Global Markets Asia Ltd.
09991	Baozun Inc. - SW	Offer for subscription Offer for placing Information Technology - Software & Services	4,000,000 (a) 39,833,700 (b)	82.90 82.90	331.60 3,302.21	2020/09/29 3.60	Citigroup Global Markets Asia Ltd. CMB International Capital Ltd. Credit Suisse (Hong Kong) Ltd.
09616	Neusoft Education Technology Co. Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Support Services	83,334,400 (a) 83,332,800 (b)	6.22 6.22	518.34 518.33	2020/09/29 300.07	CLSA Capital Markets Ltd.
01429	Skymission Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	80,000,000 (a) 320,000,000 (b)	0.35 0.35	28.00 112.00	2020/09/29 26.76	Alliance Capital Partners Ltd.
02057	ZTO Express (Cayman) Inc. - SW	Offer for subscription Offer for placing Industrials - Industrial Transportation	2,250,000 (a) 49,500,000 (b)	218.00 218.00	490.50 10,791.00	2020/09/29 6.69	Goldman Sachs (Asia) L.L.C.
02102 #	Tak Lee Machinery Holdings Ltd.	Transfer of Listing Industrials - Industrial Engineering	n.a.	n.a.	n.a.	2020/10/06 n.a.	+ n.a.
06998	JHBP (CY) Holdings Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	59,941,000 (a) 69,742,500 (b)	24.00 24.00	1,438.58 1,673.82	2020/10/07 1,247.52	Goldman Sachs (Asia) L.L.C. J.P. Morgan Securities (Far East) Ltd. Jefferies Hong Kong Ltd.
01952	Everest Medicines Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	31,774,000 (a) 41,305,000 (b)	55.00 55.00	1,747.57 2,271.78	2020/10/09 654.41	Goldman Sachs (Asia) L.L.C. Merrill Lynch Far East Ltd.
09677	Weihai City Commercial Bank Co., Ltd. - H Shares	Offer for subscription Offer for placing Financials - Banks	53,099,000 (a) 955,762,000 (b)	3.35 3.35	177.88 3,201.80	2020/10/12 0.61	Huatai Financial Holdings (Hong Kong) Ltd. China International Capital Corporation Hong Kong Securities Ltd. CMB International Capital Ltd.
02115	Channel Micron Holdings Co. Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	35,000,000 (a) 315,000,000 (b)	0.36 0.36	12.60 113.40	2020/10/15 13.47	Ballas Capital Ltd.
02130	CN Logistics International Holdings Ltd.	Offer for subscription Offer for placing Industrials - Industrial Transportation	16,110,000 (a) 37,590,000 (b)	2.66 2.66	42.85 99.99	2020/10/15 34.31	CMBC International Capital Ltd.
06989	Excellence Commercial Property & Facilities Management Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	150,000,000 (a) 172,490,000 (b)	10.68 10.68	1,602.00 1,842.19	2020/10/19 652.06	Haitong International Capital Ltd. CMB International Capital Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
01597	China Nature Energy Technology Holdings Ltd.	Offer for subscription Offer for placing Industrials - Industrial Engineering	25,000,000 (a) 37,500,000 (b)	2.00 2.00	50.00 75.00	2020/10/20 77.19	Fortune Financial Capital Ltd.
02132	Landrich Holding Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	160,000,000 (a) 240,000,000 (b)	0.325 0.325	52.00 78.00	2020/10/20 59.05	Frontpage Capital Ltd.
02107	First Service Holding Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	100,000,000 (a) 150,000,000 (b)	2.40 2.40	240.00 360.00	2020/10/22 66.69	Haitong International Capital Ltd.
02169	Canggang Railway Ltd.	Offer for subscription Offer for placing Industrials - Industrial Transportation	25,000,000 (a) 225,000,000 (b)	0.95 0.95	23.75 213.75	2020/10/23 12.00	Innovax Capital Ltd.
02096	Simcere Pharmaceutical Group Ltd.	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	130,285,000 (a) 133,239,000 (b)	13.70 13.70	1,784.90 1,825.37	2020/10/27 589.47	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd.
09993	Radiance Holdings (Group) Co. Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	60,000,000 (a) 585,227,000 (b)	4.30 4.30	258.00 2,516.48	2020/10/29 1.72	ABCI Capital Ltd. CLSA Capital Markets Ltd. Haitong International Capital Ltd.
03913	KWG Living Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	115,016,000 (a) 268,368,000 (b)	7.89 7.89	907.48 2,117.42	2020/10/30 44.46	ABCI Capital Ltd. Huatai Financial Holdings (Hong Kong) Ltd.
00873	Shimao Services Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Properties & Construction - Properties	58,824,000 (a) 235,294,000 (b) 305,149,000 (b)	16.60 16.60 16.60	976.48 3,905.88 5,065.47	2020/10/30 13.86	China International Capital Corporation Hong Kong Securities Ltd. Morgan Stanley Asia Ltd.
09698	GDS Holdings Ltd. - SW	Offer for subscription Offer for placing Information Technology - Software & Services	8,000,000 (a) 176,000,000 (b)	80.88 80.88	647.04 14,234.88	2020/11/02 6.42	J.P. Morgan Securities (Far East) Ltd. Merrill Lynch Far East Ltd. China International Capital Corporation Hong Kong Securities Ltd. Haitong International Capital Ltd.
02126	JW (Cayman) Therapeutics Co. Ltd - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	48,846,000 (a) 60,575,500 (b)	23.80 23.80	1,162.53 1,441.70	2020/11/03 449.42	Goldman Sachs (Asia) L.L.C. UBS Securities Hong Kong Ltd.
09963 #	Transtech Optelecom Science Holdings Ltd.	Transfer of Listing Information Technology - IT Hardware	n.a.	n.a.	n.a.	2020/11/05 n.a.	+ n.a.
09901	New Oriental Education & Technology Group Inc. - S	Offer for subscription Offer for placing Consumer Discretionary - Support Services	510,600 (a) 9,275,900 (b)	1,190.00 1,190.00	607.61 11,038.32	2020/11/09 1.55	Credit Suisse (Hong Kong) Ltd. Merrill Lynch Far East Ltd. UBS Securities Hong Kong Ltd.
09995	RemeGen Co., Ltd. - B - H Shares	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	30,615,000 (a) 57,402,500 (b)	52.10 52.10	1,595.04 2,990.67	2020/11/09 53.43	Morgan Stanley Asia Ltd. Huatai Financial Holdings (Hong Kong) Ltd. J.P. Morgan Securities (Far East) Ltd.
01351	Bright Future Technology Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	37,500,000 (a) 87,500,000 (b)	1.10 1.10	41.25 96.25	2020/11/11 31.32	Messis Capital Ltd.
09666	Jinke Smart Services Group Co., Ltd. - H Shares	Offer for subscription Offer for placing Properties & Construction - Properties	17,943,000 (a) 134,905,100 (b)	44.70 44.70	802.05 6,030.26	2020/11/17 11.17	CLSA Capital Markets Ltd. Huatai Financial Holdings (Hong Kong) Ltd.
06900	Sunkwan Properties Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	44,738,000 (a) 528,202,000 (b)	2.28 2.28	102.00 1,204.30	2020/11/17 0.89	ABCI Capital Ltd.
02599	Shinsun Holdings (Group) Co., Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	32,522,000 (a) 610,881,000 (b)	5.59 5.59	181.80 3,414.82	2020/11/18 0.54	CCB International Capital Ltd. ABCI Capital Ltd.
01795	Yadong Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Textiles & Clothing	30,000,000 (a) 120,000,000 (b)	0.84 0.84	25.20 100.80	2020/11/18 18.30	Fortune Financial Capital Ltd.
01516	Sunac Services Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	48,300,000 (a) 745,200,000 (b)	11.60 11.60	560.28 8,644.32	2020/11/19 10.42	HSBC Corporate Finance (Hong Kong) Ltd. Morgan Stanley Asia Ltd.
06996	Antengene Corporation Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	77,077,000 (a) 80,059,000 (b)	18.08 18.08	1,393.55 1,447.47	2020/11/20 264.76	Goldman Sachs (Asia) L.L.C. J.P. Morgan Securities (Far East) Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mill)	Listing date/ subscription rate (times)	Sponsor
06666	Evergrande Property Services Group Ltd.	Offer for subscription Offer for sale Offer for placing Properties & Construction - Properties	162,163,000 (a) 810,811,000 (b) 648,648,000 (b)	8.80 8.80 8.80	1,427.03 7,135.14 5,708.10	2020/12/02 10.71	Huatai Financial Holdings (Hong Kong) Ltd. UBS Securities Hong Kong Ltd. ABCI Capital Ltd. CCB International Capital Ltd. CLSA Capital Markets Ltd. Haitong International Capital Ltd.
02110	Yue Kan Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	166,800,000 (a) 389,200,000 (b)	0.225 0.225	37.53 87.57	2020/12/07 36.79	Red Sun Capital Ltd.
06618	JD Health International Inc.	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	42,009,000 (a) 397,176,000 (b)	70.58 70.58	2,965.00 28,032.68	2020/12/08 421.91	Merrill Lynch Far East Ltd. Haitong International Capital Ltd. UBS Securities Hong Kong Ltd.
01209	China Resources Mixc Lifestyle Services Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	41,250,000 (a) 591,250,000 (b)	22.30 22.30	919.88 13,184.88	2020/12/09 29.78	CCB International Capital Ltd. China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd. Citigroup Global Markets Ltd. Goldman Sachs (Asia) L.L.C.
01153	Jiayuan Services Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	15,000,000 (a) 146,709,000 (b)	3.86 3.86	57.90 566.30	2020/12/09 2.79	Haitong International Capital Ltd. Guotai Junan Capital Ltd.
02142	HBM Holdings Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	55,290,000 (a) 82,931,000 (b)	12.38 12.38	684.49 1,026.69	2020/12/10 78.54	Morgan Stanley Asia Ltd. Merrill Lynch Far East Ltd. CLSA Capital Markets Ltd.
06999	Leading Holdings Group Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	14,298,000 (a) 262,647,000 (b)	5.69 5.69	81.36 1,494.46	2020/12/10 0.57	CCB International Capital Ltd.
02117	Datang Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	25,485,000 (a) 338,059,000 (b)	4.56 4.56	116.21 1,541.55	2020/12/11 0.76	ICBC International Capital Ltd.
09992	Pop Mart International Group Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	63,786,200 (a) 92,286,200 (b)	38.50 38.50	2,455.77 3,553.02	2020/12/11 356.74	Morgan Stanley Asia Ltd. CLSA Capital Markets Ltd.
01481 #	Smart Globe Holdings Ltd.	Transfer of Listing Industrials - Commercial & Professional Services	n.a.	n.a.	n.a.	2020/12/11 n.a.	+ n.a.
06993	Blue Moon Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	373,563,500 (a) 485,631,500 (b)	13.16 13.16	4,916.10 6,390.91	2020/12/16 301.33	China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd. Citigroup Global Markets Ltd. Merrill Lynch Far East Ltd. Merrill Lynch (Asia Pacific) Ltd.
02131	Netjoy Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Discretionary - Media & Entertainment	100,000,000 (a) 28,318,000 (b) 100,000,000 (b)	6.98 6.98 6.98	698.00 197.66 698.00	2020/12/17 114.45	Haitong International Capital Ltd.
06677	Sino-Ocean Service Holding Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	29,600,000 (a) 266,400,000 (b)	5.88 5.88	174.05 1,566.43	2020/12/17 8.91	Citigroup Global Markets Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd.
02148	Vesync Co., Ltd	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	140,500,000 (a) 182,650,000 (b)	5.52 5.52	775.56 1,008.23	2020/12/18 538.00	BNP Paribas Securities (Asia) Ltd. Innovax Capital Ltd.
01167	Jacobio Pharmaceuticals Group Co., Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	48,240,000 (a) 60,044,400 (b)	14.00 14.00	675.36 840.62	2020/12/21 299.59	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
06690	Haier Smart Home Co., Ltd. - H Shares	Introduction Consumer Discretionary - Household Goods & Electronics	n.a.	n.a.	n.a.	2020/12/23 n.a.	China International Capital Corporation Hong Kong Securities Ltd. J.P. Morgan Securities (Far East) Ltd.
02135	Raily Aesthetic Medicine International Holdings Ltd.	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	171,250,000 (a) 205,290,000 (b)	0.40 0.40	68.50 82.12	2020/12/28 386.00	Innovax Capital Ltd.
01940	China Gas Industry Investment Holdings Co. Ltd.	Offer for subscription Offer for placing Energy - Oil & Gas	30,000,000 (a) 270,000,000 (b)	1.37 1.37	41.10 369.90	2020/12/29 10.09	China Everbright Capital Ltd.
02127	Huisen Household International Group Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	300,000,000 (a) 519,090,000 (b)	1.77 1.77	531.00 918.79	2020/12/29 53.40	China Securities (International) Corporate Finance Co. Ltd.

Newly listed companies (continued)

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
01379	Wenling Zhejiang Measure & Cut Tools Trading Ctr Co Ltd-H Sh	Offer for subscription Offer for placing Properties & Construction - Properties	6,000,000 (a) 14,000,000 (b)	6.25 6.25	37.50 87.50	2020/12/30 27.68	Cinda International Capital Ltd.
01945	Zero2IPO Holdings Inc.	Offer for subscription Offer for placing Financials - Other Financials	20,000,000 (a) 26,000,000 (b)	11.00 11.00	220.00 286.00	2020/12/30 1,394	Fortune Financial Capital Ltd.
02156	C&D Property Management Group Co., Ltd.	Introduction Properties & Construction - Properties	n.a.	n.a.	n.a.	2020/12/31 n.a.	China International Capital Corporation Hong Kong Securities Ltd.
Total					399,582.98		

(a) Hong Kong offers

(b) International offers

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2019.

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

+ Effective date of the transfer of listing from GEM to Main Board

Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
01828	Dah Chong Hong Holdings Ltd.	2019/12/24	2020/01/10
00233	Mingyuan Medicare Development Co. Ltd.	2015/03/31	2020/01/23
00940	China Animal Healthcare Ltd.	2015/03/27	2020/01/30
00705	Ding He Mining Holdings Ltd.	2018/03/29	2020/02/05
01021	Midas Holdings Ltd.	2018/02/07	2020/02/05
02889	Nickel Resources International Holdings Co. Ltd.	2015/03/31	2020/02/14
00958	Huaneng Renewables Corporation Ltd. – H Shares	2020/02/17	2020/02/25
03823	Tech Pro Technology Development Ltd.	2017/11/08	2020/03/02
01700	Springland International Holdings Ltd.	2020/02/07	2020/03/03
00606	China Agri-Industries Holdings Ltd.	2020/03/09	2020/03/23
02121	China First Chemical Holdings Ltd.	2018/08/30	2020/03/23
02200	Hosa International Ltd.	2018/08/31	2020/03/23
00246	Real Gold Mining Ltd.	2011/05/26	2020/04/02
00161	AVIC International Holdings Ltd. – H Shares	2020/03/11	2020/04/17
00647	Joyce Boutique Group Ltd.	2020/03/24	2020/04/27
00494	Li & Fung Ltd.	2020/05/15	2020/05/28
01228	Superb Summit International Group Ltd.	2014/11/20	2020/06/04
01035	BBI Life Sciences Corporation	2020/05/12	2020/06/09
01619	Tianhe Chemicals Group Ltd.	2015/03/26	2020/06/11
01318	Greens Holdings Ltd.	2015/06/01	2020/07/06
00020	Wheelock and Co. Ltd.	2020/06/18	2020/07/27
00402	Peace Map Holding Ltd.	2018/08/10	2020/08/03
03966	China Baofeng (International) Ltd.	2020/08/21	2020/09/08
01151	Elec & Eltek International Co. Ltd.	2020/08/06	2020/09/25
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	2020/09/21	2020/09/29
06139	Jinmao Hotel & Jinmao (China) Hotel Inv and Mgt Ltd. – SS	2020/09/22	2020/10/05
01322	CW Group Holdings Ltd.	2018/07/11	2020/10/12
00933	Brightoil Petroleum (Holdings) Ltd.	2017/09/29	2020/10/20
00877	O-Net Technologies (Group) Ltd.	2020/10/05	2020/10/20
00221	Easy One Financial Group Ltd.	2020/09/10	2020/10/21
00801	Golden Meditech Holdings Ltd.	2020/09/23	2020/10/21
00015	Vantage International (Holdings) Ltd.	2020/10/12	2020/10/22
00469	Capxon International Electronic Co. Ltd.	2020/10/05	2020/10/27
01698	Boshiwa International Holding Ltd.	2012/03/15	2020/11/03
02277	Huarong Investment Stock Corporation Ltd.	2020/11/03	2020/11/13
01219	Tenwow International Holdings Ltd.	2018/08/10	2020/11/13
01990	Xinghua Port Holdings Ltd.	2020/09/30	2020/11/20
00056	Allied Properties (HK) Ltd.	2020/08/14	2020/11/27
03335	DBA Telecommunication (Asia) Holdings Ltd.	2013/06/05	2020/11/30
01155	Centron Telecom International Holding Ltd.	2018/03/29	2020/12/01
01006	Changshouhua Food Co. Ltd.	2020/11/20	2020/12/07
01659	Haitian Energy International Ltd.	2019/02/22	2020/12/07
01369	Wuzhou International Holdings Ltd.	2018/08/31	2020/12/08
00198	SMI Holdings Group Ltd.	2018/08/31	2020/12/14
00587	Hua Han Health Industry Holdings Ltd.	2016/09/26	2020/12/16
01169	Haier Electronics Group Co., Ltd.	2020/12/11	2020/12/23
01089	Leyou Technologies Holdings Ltd.	2020/12/14	2020/12/24

Company name changes

Code	Former name	New name	Effective date	Adoption date
00365	Unisplendour Technology (Holdings) Ltd.	Sino ICT Holdings Ltd.	2019/12/04	2020/01/03
02011	KEE Holdings Co. Ltd.	China Apex Group Ltd.	2019/11/22	2020/01/09
01566	China Animation Characters Co. Ltd.	CA Cultural Technology Group Ltd.	2019/11/22	2020/01/20
02222	NVC Lighting Holding Ltd.	NVC International Holdings Ltd.	2019/12/27	2020/01/23
00850	Tou Rong Chang Fu Group Ltd.	Long Well International Holdings Ltd.	2019/12/27	2020/01/31
00632	Pearl Oriental Oil Ltd.	CHK Oil Ltd.	2019/12/20	2020/02/10
01280	Huiyin Smart Community Co., Ltd.	Qidian International Co., Ltd.	2020/01/03	2020/02/17
01499	LEAP Holdings Group Ltd.	OKG Technology Holdings Ltd.	2020/01/09	2020/03/03
02728	Yuhua Energy Holdings Ltd.	Jintai Energy Holdings Ltd.	2020/02/28	2020/03/04
01069	China Agroforestry Low-Carbon Holdings Ltd.	China Bozza Development Holdings Ltd.	2020/01/20	2020/03/05
01189	Rosedale Hotel Holdings Ltd.	Greater Bay Area Dynamic Growth Holding Ltd.	2019/12/23	2020/03/05
00009	Nine Express Ltd.	KEYNE LTD	2020/02/05	2020/03/24
01709	Season Pacific Holdings Ltd.	DL Holdings Group Ltd.	2020/03/03	2020/03/26
00091	International Standard Resources Holdings Ltd.	Golden Century International Holdings Group Ltd.	2020/03/18	2020/03/27
00750	China Singyes Solar Technologies Holdings Ltd.	China Shuifa Singyes Energy Holdings Ltd.	2020/02/12	2020/04/09
01323	Newtree Group Holdings Ltd.	Huasheng International Holding Ltd.	2020/03/27	2020/04/24
06168	China U-Ton Holdings Ltd.	China U-Ton Future Space Industrial Group Holdings Ltd.	2020/03/09	2020/05/06
01826	Dafy Holdings Ltd.	Steering Holdings Ltd.	2020/04/09	2020/05/11
00860	WE Solutions Ltd. *	Apollo Future Mobility Group Ltd.	2020/03/13	2020/05/13
06820	FriendTimes Inc. #	FriendTimes Inc.	2020/03/30	2020/05/20
00697	Shougang Concord International Enterprises Co. Ltd.	Shoucheng Holdings Ltd.	2020/05/28	2020/06/10
03318	China Flavors and Fragrances Co. Ltd.	China Boton Group Co. Ltd.	2020/05/19	2020/06/16
03380	Logan Property Holdings Co. Ltd.	Logan Group Co. Ltd.	2020/05/25	2020/06/18
00090	Puxing Clean Energy Ltd.	Puxing Energy Ltd.	2020/06/05	2020/06/24
00813	Shimao Property Holdings Ltd.	Shimao Group Holdings Ltd.	2020/05/27	2020/06/24
00919	Modern Beauty Salon Holdings Ltd.	Modern Healthcare Technology Holdings Ltd.	2020/05/28	2020/06/26
01039	Fortunet e-Commerce Group Ltd.	Changyou Alliance Group Ltd.	2020/06/03	2020/06/29
00395	Smartac Group China Holdings Ltd.	Smartac International Holdings Ltd.	2020/05/29	2020/06/30
01628	Yuzhou Properties Co. Ltd.	Yuzhou Group Holdings Co. Ltd.	2020/06/05	2020/07/03
01203	Guangnan (Holdings) Ltd.	GDH Guangnan (Holdings) Ltd.	2020/06/22	2020/07/06
01676	China Shenghai Food Holdings Co. Ltd.	China Shenghai Group Ltd.	2020/05/25	2020/07/09
00033	China Cloud Copper Co. Ltd.	Amber Hill Financial Holdings Ltd.	2020/07/03	2020/07/14
03836	China Harmony New Energy Auto Holding Ltd.	China Harmony Auto Holding Ltd.	2020/06/17	2020/07/14
00245	China Minsheng Financial Holding Corporation Ltd.	China Vered Financial Holding Corporation Ltd.	2020/07/13	2020/07/22
00505	Huan Yue Interactive Holdings Ltd.	Xingye Alloy Materials Group Ltd.	2020/06/19	2020/07/23
03708	Yat Sing Holdings Ltd.	China Supply Chain Holdings Ltd.	2020/02/03	2020/07/28
02310	Forebase International Holdings Ltd.	Times Universal Group Holdings Ltd.	2020/07/16	2020/07/29
06049	Poly Property Development Co., Ltd. – H Shares	Poly Property Services Co., Ltd. – H Shares	2020/06/30	2020/07/30
06100	Wise Talent Information Technology Co., Ltd.	Tongdao Liepin Group	2020/06/24	2020/07/31
02323	HKBridge Financial Holdings Ltd.	Renco Holdings Group Ltd.	2020/06/23	2020/08/03
00515	TC Orient Lighting Holdings Ltd.	China Silver Technology Holdings Ltd.	2020/06/24	2020/08/04
01495	Jiyi Household International Holdings Ltd.	Jiyi Holdings Ltd.	2020/06/10	2020/08/06
00572	Future World Financial Holdings Ltd.	Future World Holdings Ltd.	2020/07/03	2020/08/11
00261	Greater Bay Area Investments Group Holdings Ltd.	GBA Holdings Ltd.	2020/07/13	2020/08/18
01849	AM Group Holdings Ltd. #	AM Group Holdings Ltd.	2020/07/14	2020/08/20
00943	eForce Holdings Ltd.	Zhongzheng International Co. Ltd.	2020/07/02	2020/08/24
01198	Royale Furniture Holdings Ltd.	Royale Home Holdings Ltd.	2020/06/10	2020/08/27
00708	Evergrande Health Industry Group Ltd.	China Evergrande New Energy Vehicle Group Ltd.	2020/08/20	2020/09/01
01396	Hydoo International Holding Ltd.	Guangdong – Hong Kong Greater Bay Area Holdings Ltd.	2020/07/14	2020/09/01
01822	HongDa Financial Holding Ltd.	China Wood International Holding Co., Ltd.	2020/06/24	2020/09/04
00063	China Graphene Group Ltd.	China Asia Valley Group Ltd.	2020/07/01	2020/09/08
06116	Shanghai La Chapelle Fashion Co., Ltd. – H Shares	Xinjiang La Chapelle Fashion Co., Ltd. – H Shares	2020/07/08	2020/09/11
01905	Haitong UniTrust International Leasing Co., Ltd. – H Shares	Haitong Unitrust Int'l Financial Leasing Co., Ltd. – H Shs	2020/08/07	2020/09/14
00686	Panda Green Energy Group Ltd.	Beijing Energy International Holding Co., Ltd.	2020/08/07	2020/09/18
00257	China Everbright International Ltd.	China Everbright Environment Group Ltd.	2020/09/10	2020/09/22
00430	Oriental Explorer Holdings Ltd. #	Oriental Explorer Holdings Ltd.	2020/07/28	2020/09/29
00377	Huajun International Group Ltd.	China Huajun Group Ltd.	2020/09/24	2020/10/20
01610	COFCO Meat Holdings Ltd.	COFCO Joycome Foods Ltd.	2020/10/16	2020/10/27
00474	Hao Tian Development Group Ltd.	Aceso Life Science Group Ltd.	2020/09/21	2020/10/29
03690	Meituan Dianping – W	Meituan – W	2020/09/30	2020/10/29
03319	A-Living Services Co., Ltd. – H Shares	A-Living Smart City Services Co., Ltd. – H Shares	2020/10/09	2020/11/05
00580	Sun.King Power Electronics Group Ltd.	Sun.King Technology Group Ltd.	2020/10/13	2020/11/10
00901	Eagle Ride Investment Holdings Ltd.	Goldstone Investment Group Ltd.	2020/10/23	2020/11/23
01399	Scud Group Ltd.	Veson Holdings Ltd.	2020/10/16	2020/11/27
01255	S. Culture International Holdings Ltd.	TATA Health International Holdings Ltd.	2020/06/19	2020/12/02
01140	OP Financial Ltd.	Wealthking Investments Ltd.	2020/11/10	2020/12/21

* Change of English name only

Change of Chinese name only

Investment companies as at the end of 2020

Code	Name	Classification	Incorporation	Listing date
02324	Capital VC Ltd.	Financials	Cayman Islands	2003/10/27
01062	China Development Bank International Investment Ltd.	Financials	Cayman Islands	1994/05/10
00612	China Ding Yi Feng Holdings Ltd.	Financials	Cayman Islands	2002/01/02
00721	China Financial International Investments Ltd.	Financials	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Financials	Cayman Islands	2002/10/28
01217	China Innovation Investment Ltd.	Financials	Cayman Islands	2002/08/28
00810	China Internet Investment Finance Holdings Ltd.	Financials	Bermuda	2002/02/28
01226	China Investment and Finance Group Ltd.	Financials	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Financials	Bermuda	2000/12/12
00133	China Merchants China Direct Investments Ltd.	Financials	Hong Kong	1993/07/22
00080	China New Economy Fund Ltd.	Financials	Cayman Islands	2011/01/06
00428	Cocoon Holdings Ltd.	Financials	Bermuda	1993/12/14
00339	Core Economy Investment Group Ltd.	Financials	Bermuda	2000/07/26
00356	DT Capital Ltd.	Financials	Cayman Islands	2002/06/07
00905	Global Mastermind Capital Ltd.	Financials	Bermuda	1998/07/17
00901	Goldstone Investment Group Ltd.	Financials	Cayman Islands	2002/02/28
01227	National Investments Fund Ltd.	Financials	Cayman Islands	2002/09/27
00310	Prosperity Investment Holdings Ltd.	Financials	Bermuda	1992/12/21
00770	Shanghai International Shanghai Growth Investment Ltd.	Financials	Cayman Islands	1993/11/30
00666	SHK Hong Kong Industries Ltd.	Financials	Hong Kong	1990/12/21
00768	UBA Investments Ltd.	Financials	Cayman Islands	2000/01/18
00913	Unity Investments Holdings Ltd.	Financials	Cayman Islands	1999/10/27
01140	Wealthking Investments Ltd.	Financials	Cayman Islands	2003/03/20
01160	Youth Champ Financial Group Holdings Ltd.	Financials	Bermuda	2004/04/02

Secondary listing stocks as at the end of 2020

Code	Name	Classification	Incorporation	Listing date	Primary list Exchange
00945	Manulife Financial Corporation – S	Financials	Canada	1999/09/27	Toronto
01878	SouthGobi Resources Ltd. – S	Energy	Canada	2010/01/29	Toronto
06288	Fast Retailing Co., Ltd. – DRS	Consumer Discretionary	Japan	2014/03/05	Tokyo
09988	Alibaba Group Holding Ltd. – SW	Information Technology	Cayman Islands	2019/11/26	New York
09999	NetEase, Inc. – S	Information Technology	Cayman Islands	2020/06/11	Nasdaq
09618	JD.com, Inc. – SW	Information Technology	Cayman Islands	2020/06/18	Nasdaq
09987	Yum China Holdings, Inc. – S	Consumer Discretionary	USA	2020/09/10	New York
01179	Huazhu Group Ltd. – S	Consumer Discretionary	Cayman Islands	2020/09/22	Nasdaq
09688	Zai Lab Ltd. – SB	Healthcare	Cayman Islands	2020/09/28	Nasdaq
09991	Baozun Inc. – SW	Information Technology	Cayman Islands	2020/09/29	Nasdaq
02057	ZTO Express (Cayman) Inc. – SW	Industrials	Cayman Islands	2020/09/29	New York
09698	GDS Holdings Ltd. – SW	Information Technology	Cayman Islands	2020/11/02	Nasdaq
09901	New Oriental Education & Technology Group Inc. – S	Consumer Discretionary	Cayman Islands	2020/11/09	New York

Reorganisations/demergers

Code	Company	Particulars	Proposed date Completed/EGM date
03393	Wasion Holdings Ltd. (Wasion Holdings)	Wasion Holdings proposed spin-off and separate listing of Willfar Information Technology Co. Ltd. (Willfar Information) by way of new issue and placing. The A shares of Willfar Information would be listed on the Shanghai Stock Exchange.	2019/04/04 2020/01/21
00771	Automated Systems Holdings Ltd. (Automated Sys)	Automated Sys proposed spin-off and separate listing of Grid Dynamics International, Inc. (GDI) by way of the merger pursuant to merger agreement. The shares of GDI would be listed on the Nasdaq.	2019/11/13 2020/03/05
00189	Dongyue Group Ltd. (Dongyue)	Dongyue proposed spin-off and separate listing of Shandong Dongyue Organosilicone Materials Co., Ltd. (Dongyue Organosilicone) by way of new issue and placing. The A shares of Dongyue Organosilicone would be listed on the Shenzhen Stock Exchange.	2018/03/29 2020/03/12
03888	Kingsoft Corporation Ltd. (Kingsoft)	Kingsoft proposed spin-off and separate listing of Kingsoft Cloud Holdings Ltd. (Kingsoft Cloud) by way of new issue and placing. The ADSs of Kingsoft Cloud would be listed on the Nasdaq.	2020/12/20 2020/05/08
01548	Genscript Biotech Corporation (Genscript Biotech)	Genscript Biotech proposed spin-off and separate listing of Legend Biotech Corporation (Legend Biotech) by way of new issue and placing. The ADSs of Legend Biotech would be listed on the Nasdaq.	2020/03/09 2020/06/05
03900	Greentown China Holdings Ltd. (Greentown China)	Greentown China proposed spin-off and separate listing of Greentown Management Holdings Co. Ltd. (Greentown Management) by way of new issue and placing. Shareholders of Greentown China entitled to participate in the preferential offer 1 Greentown Management share for 53 Greentown China shares at a price of HK\$2.50 per share. The shares of Greentown Management would be listed on the SEHK.	2020/02/28 2020/07/10
01530	3SBio Inc. (3SBio)	3SBio proposed spin-off and separate listing of Sunshine Guojian Pharmaceutical (Shanghai) Co., Ltd. (Sunshine Guojian) by way of new issue and placing. The A shares of Sunshine Guojian would be listed on the Shanghai Stock Exchange.	2019/10/31 2020/07/22
01813	KWG Group Holdings Ltd. (KWG Group)	KWG Group proposed spin-off and separate listing of KWG Living Group Holdings Ltd. (KWG Living) by way of new issue and placing. Shareholders of KWG Group entitled to receive distribution in specie of 1 KWG Living share for 2 KWG Group shares and participate in the preferential offer of 1 KWG Living share for 45 KWG Group shares at a price of HK\$7.89 per share. The shares of KWG Living would be listed on the SEHK.	2020/06/24 2020/10/30
00813	Shimao Group Holdings Ltd. (Shimao Group) (Formerly: Shimao Property Holdings Ltd.)	Shimao Group proposed spin-off and separate listing of Shimao Services Holdings Ltd. (Shimao Services) by way of new issue and placing. Shareholders of Shimao Group entitled to participate in the preferential offer of 1 Shimao Services share for 61 Shimao Group shares at a price of HK\$16.60 per share. The shares of Shimao Services would be listed on the SEHK.	2020/06/29 2020/10/30
01918	Sunac China Holdings Ltd. (Sunac China)	Sunac China proposed spin-off and separate listing of Sunac Services Holdings Ltd. (Sunac Services) by way of new issue and placing. Shareholders of Sunac China entitled to receive distribution in specie 1 Sunac Services share for 31.08 Sunac China shares. The shares of Sunac Services would be listed on the SEHK.	2020/08/06 2020/11/19
00656	Fosun International Ltd. (Fosun Int'l)	Fosun Int'l and Fosun Pharm proposed spin-off and separate listing of Gland Pharma Ltd. (Gland Pharma) by way of new issue and placing.	2020/07/10 2020/11/20
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares (Fosun Pharm)	The shares of Gland Pharma would be listed on Main Board of BSE Ltd. and Main Board of National Stock Exchange of India Ltd.	
03333	China Evergrande Group (China Evergrande)	China Evergrande proposed spin-off and separate listing of Evergrande Property Services Group Ltd. (Ever Services) by way of new issue and placing. Shareholders of China Evergrande entitled to participate in the preferential offer of 1 Ever Services share for 102 China Evergrande shares at a price of HK\$8.80 per share. The shares of Ever Services would be listed on the SEHK.	2020/09/29 2020/12/02
09618	JD.com, Inc. – SW (JD.com)	JD.com proposed spin-off and separate listing of JD Health International Inc. (JD Health) by way of new issue and placing. The shares of JD Health would be listed on the SEHK.	2020/09/25 2020/12/08
03396	Legend Holdings Corporation – H Shares (Legend Holdings)	Legend Holdings proposed spin-off and separate listing of Levima Advanced Materials Corporation (Levima Advanced) by way of new issue and placing. The A shares of Levima Advanced would be listed on the Shenzhen Stock Exchange.	2019/06/10 2020/12/08
01109	China Resources Land Ltd. (China Res Land)	China Res Land proposed spin-off and separate listing of China Resources Mixc Lifestyle Services Ltd. (CR Mixc Lifestyle) by way of new issue and placing. Shareholders of China Res Land entitled to participate in the preferential offer of 1 CR Mixc Lifestyle share for 130 China Res Land shares at a price of HK\$22.30 per share. The shares of CR Mixc Lifestyle would be listed on the SEHK.	2020/08/31 2020/12/09
02768	Jiayuan International Group Ltd. (Jiayuan Int'l)	Jiayuan Int'l proposed spin-off and separate listing of Jiayuan Services Holdings Ltd. (Jiayuan Services) by way of new issue and placing. Shareholders of Jiayuan Int'l entitled to participate in the preferential offer of 1 Jiayuan Services share for 82 Jiayuan Int'l shares at a price of HK\$3.86 per share. The shares of Jiayuan Services would be listed on the SEHK.	2020/06/26 2020/12/09
03377	Sino-Ocean Group Holding Ltd. (Sino-Ocean Group)	Sino-Ocean Group proposed spin-off and separate listing of Sino-Ocean Service Holding Ltd. (Sino-Ocean Service) by way of new issue and placing. Shareholders of Sino-Ocean Group entitled to participate in the preferential offer of 1 Sino-Ocean Service share for 250 Sino-Ocean Group shares at a price of HK\$5.88 per share. The shares of Sino-Ocean Service would be listed on the SEHK.	2020/09/07 2020/12/17
00636	Kerry Logistics Network Ltd. (Kerry Logistics)	Kerry Logistics proposed spin-off and separate listing of Kerry Express (Thailand) Public Co. Ltd. (Kerry Express (Thailand)) by way of new issue and placing. Shareholders of Kerry Logistics entitled to receive special dividend HK\$0.138 per share. The shares of Kerry Express (Thailand) would be listed on The Stock Exchange of Thailand.	2019/05/16 2020/12/24
01908	C&D International Investment Group Ltd. (C&D Int'l)	C&D Int'l proposed spin-off and separate listing of C&D Property Management Group Co., Ltd (C&D Property) by way of introduction. Shareholders of C&D Int'l entitled to receive 1 sh of C&D Property for 1 sh. The shares of C&D Property would be listed on the SEHK.	2020/09/30 2020/12/31

Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
01828	Dah Chong Hong Holdings Ltd.	Cash offer HK\$3.70 for each share	2019/12/24	2020/01/10
01700	Springland International Holdings Ltd.	Cash offer HK\$2.30 for each share	2020/02/07	2020/03/03
00606	China Agri-Industries Holdings Ltd.	Cash offer HK\$4.25 for each share, HK\$4.25 minus the exercise price for each option	2020/03/09	2020/03/23
00647	Joyce Boutique Group Ltd.	Cash offer HK\$0.28 for each share	2020/03/24	2020/04/27
00494	Li & Fung Ltd.	Cash offer HK\$1.25 for each share	2020/05/15	2020/05/28
01035	BBI Life Sciences Corporation	Cash offer HK\$3.50 for each share	2020/05/12	2020/06/09
00020	Wheelock and Co. Ltd.	Sp Div by way of a Distribution in specie 1 Wharf Real Estate Investment Co. Ltd. share and 1 The Wharf (Holdings) Ltd. share for 1 and subsequent cash offer HK\$12.00 for each share	2020/06/18	2020/07/27
03966	China Baofeng (International) Ltd.	Cash offer HK\$2.60 for each share	2020/08/21	2020/09/08
01151	Elec & Eltek International Co. Ltd.	Cash offer HK\$17.76 (equivalent to US\$2.29) for each share	2020/08/06	2020/09/25
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	Cash offer HK\$2.50 per H Share and RMB2.29995 per Domestic Share	2020/09/21	2020/09/29
06139	Jinmao Hotel & Jinmao (China) Hotel Inv and Mgt Ltd. – SS	Cash offer HK\$4.80 for each share stapled unit	2020/09/22	2020/10/05
00877	O-Net Technologies (Group) Ltd.	Cash offer HK\$6.50 for each share	2020/10/05	2020/10/20
00221	Easy One Financial Group Ltd.	Cash offer HK\$0.30 for each share and 8 Wang On Group Ltd shares for each share	2020/09/10	2020/10/21
00801	Golden Meditech Holdings Ltd.	Cash offer HK\$0.88 for each share	2020/09/23	2020/10/21
00015	Vantage International (Holdings) Ltd.	Cash offer HK\$0.90 for each share	2020/10/12	2020/10/22
00469	Capxon International Electronic Co. Ltd.	Cash offer HK\$0.60 for each share	2020/10/05	2020/10/27
02277	Huarong Investment Stock Corporation Ltd.	Share exchange 2.82 Huarong International Financial Holdings Ltd. shares for 1 share	2020/11/03	2020/11/13
00056	Allied Properties (HK) Ltd.	Cash offer HK\$0.42 for each share and Sp Div HK\$1.50 for each share	2020/08/14	2020/11/27
01006	Changshouhua Food Co. Ltd.	Cash offer HK\$4.19 for each share	2020/11/20	2020/12/07
01169	Haier Electronics Group Co., Ltd.	1.60 new Haier Smart Home Co., Ltd. H shares and cash offer HK\$1.95 for each share	2020/12/11	2020/12/23
01089	Leyou Technologies Holdings Ltd.	Cash offer HK\$3.3219 for each share, HK\$3.3219 minus the relevant exercise price for each option	2020/12/14	2020/12/24

Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
00381	Kiu Hung International Holdings Ltd.	Kiu Hung International Holdings Ltd.	Bermuda	2020/08/27
00486	United Company RUSAL Plc	United Company RUSAL Plc	Russia	2020/09/25

Companies under suspension as at the end of 2020

Code	Stock	Last closing price		Date of suspension
		(HK\$)	(Date)	
01131	Agritrade Resources Ltd.	0.083	(2020/06/30)	2020/07/02
02031	Ausupreme International Holdings Ltd.	0.300	(2020/03/16)	2020/03/16
00706	Beautiful China Holdings Co. Ltd.	0.013	(2020/08/31)	2020/09/01
00364	Blockchain Group Co. Ltd.	0.091	(2018/11/19)	2018/11/19
01190	Bolina Holding Co., Ltd.	0.088	(2018/09/14)	2018/09/17
00024	Burwill Holdings Ltd.	0.071	(2019/08/16)	2019/08/19
02228	CECEP COSTIN New Materials Group Ltd.	0.600	(2016/08/15)	2016/08/15
00449	Chigo Holding Ltd.	0.043	(2020/05/14)	2020/05/15
00164	China Baoli Technologies Holdings Ltd.	0.099	(2019/06/28)	2019/07/02
01678	China Creative Global Holdings Ltd.	0.040	(2020/08/31)	2020/09/01
06183	China Greenfresh Group Co., Ltd.	0.081	(2020/08/31)	2020/09/01
00591	China High Precision Automation Group Ltd.	1.240	(2012/08/21)	2012/08/22
01863	China Longevity Group Co. Ltd.	1.370	(2013/02/14)	2013/02/14
00067	China Lumena New Materials Corp.	1.250	(2014/03/25)	2014/03/25
00153	China Saite Group Co. Ltd.	0.104	(2020/06/19)	2020/06/22
00155	China Solar Energy Holdings Ltd.	0.180	(2013/08/16)	2013/08/16
00557	China Tian Yuan Healthcare Group Ltd.	1.700	(2020/08/21)	2020/08/24
00648	China Wah Yan Healthcare Ltd.	0.380	(2017/11/24)	2017/11/27
00479	CIL Holdings Ltd.	0.010	(2020/09/30)	2020/10/05
00100	Clear Media Ltd.	7.120	(2020/07/13)	2020/07/14
01792	CMON Ltd.	0.074	(2020/03/31)	2020/04/01
01043	Coslight Technology International Group Ltd.	0.520	(2020/08/31)	2020/09/01
01363	CT Environmental Group Ltd.	0.340	(2019/03/29)	2019/04/01
00238	Evergreen International Holdings Ltd.	0.255	(2020/03/27)	2020/03/30
00729	FDG Electric Vehicles Ltd.	0.190	(2020/06/30)	2020/07/02
01998	Flyke International Holdings Ltd.	0.380	(2014/03/28)	2014/03/31
00279	Freeman FinTech Corporation Ltd.	0.090	(2020/02/28)	2020/02/28
01353	Fujian Nuoqi Co., Ltd. – H Shares	1.000	(2014/07/23)	2014/07/23
00172	Goldbond Group Holdings Ltd.	0.080	(2019/06/27)	2019/06/28
02123	Golden Shield Holdings (Industrial) Ltd.	0.224	(2014/03/31)	2014/04/01
01462	Gold-Finance Holdings Ltd.	0.072	(2019/05/03)	2019/05/06
00109	Good Resources Holdings Ltd.	0.085	(2020/09/22)	2020/09/23
01509	Harmonicare Medical Holdings Ltd.	2.040	(2019/03/29)	2019/04/01
01178	Huiyin Holdings Group Ltd.	0.119	(2020/09/30)	2020/10/05
01649	Inner Mongolia Energy Engineering Co., Ltd. – H Shares	1.190	(2019/03/15)	2019/03/18
01041	Lamtex Holdings Ltd.	0.043	(2020/07/31)	2020/08/03
01225	Lerado Financial Group Co. Ltd.	0.127	(2017/06/06)	2017/06/06
00850	Long Well International Holdings Ltd.	0.118	(2018/06/29)	2018/07/03
02898	Longrun Tea Group Co. Ltd.	0.380	(2017/06/14)	2017/06/15
00275	Master Glory Group Ltd.	0.260	(2019/06/28)	2019/07/02
02662	MH Development Ltd.	1.160	(2019/07/18)	2019/07/19
01106	Ming Lam Holdings Ltd.	0.010	(2020/03/31)	2020/04/01
00254	National United Resources Holdings Ltd.	0.142	(2016/07/29)	2016/08/01
00157	Natural Beauty Bio-Technology Ltd.	0.650	(2020/03/24)	2020/03/25
00462	Natural Dairy (NZ) Holdings Ltd.	2.200	(2010/09/06)	2010/09/07
06108	New Ray Medicine International Holding Ltd.	0.425	(2017/10/04)	2017/10/06
00723	Reliance Global Holdings Ltd.	0.024	(2018/10/09)	2018/10/10
00885	Rentian Technology Holdings Ltd.	0.010	(2020/10/21)	2020/10/22
00555	REXLot Holdings Ltd.	0.021	(2019/03/29)	2019/04/01
00731	Samson Paper Holdings Ltd.	0.365	(2020/06/30)	2020/07/02
01889	Sanai Health Industry Group Co. Ltd.	0.090	(2020/01/23)	2020/01/24
01096	Sino Energy International Holdings Group Ltd.	0.026	(2020/08/31)	2020/09/01
02366	SMI Culture & Travel Group Holdings Ltd.	0.059	(2020/08/31)	2020/09/01
00967	Sound Global Ltd.	2.980	(2016/04/12)	2016/04/13
01573	Southern Energy Holdings Group Ltd.	0.680	(2020/03/31)	2020/04/01

Companies under suspension as at the end of 2020 (continued)

Code	Stock	Last closing price		Date of suspension
		(HK\$)	(Date)	
01878	SouthGobi Resources Ltd. – S	0.500	(2020/08/14)	2020/08/17
01781	Sun Cheong Creative Development Holdings Ltd.	0.183	(2020/06/30)	2020/07/02
03886	Town Health International Medical Group Ltd.	0.690	(2017/11/24)	2017/11/27
00307	Up Energy Development Group Ltd.	0.129	(2016/06/29)	2016/06/30
01139	Victory Group Ltd.	0.238	(2018/01/22)	2018/01/23
02213	Yi Hua Holdings Ltd.	0.101	(2020/08/18)	2020/08/18
02268	Youyuan International Holdings Ltd.	0.260	(2019/08/19)	2019/08/19
01220	Zhidao International (Holdings) Ltd.	0.082	(2019/07/10)	2019/07/11

Takeovers and mergers

Code	Company	Proposed date Offer closing date
00767	Asia Pacific Silk Road Investment Co. Ltd. Mandatory unconditional cash offer was made by Success Seven Ltd. at a price of HK\$0.05775 for each share	2019/12/12 2020/01/02
00491	Emperor Culture Group Ltd. Mandatory unconditional cash offer was made by Emperor Culture Group Holdings Ltd. at a price of HK\$0.08 for each share	2019/12/20 2020/01/10
00149	China Agri-Products Exchange Ltd. Voluntary conditional partial cash offer was made by Goal Success Investments Ltd, an indirect wholly owned subsidiary of Wai Yuen Tong Medicine Holdings Ltd. at a price of HK\$0.091 for each share, HK\$0.2275 for each HK\$1 face value of the Convertible Notes	2020/01/07 2020/02/12
01721	FSM Holdings Ltd. Mandatory unconditional cash offer was made by Luxuriant East Ltd. at a price of HK\$0.42 for each share	2020/01/23 2020/02/13
00595	AV Concept Holdings Ltd. Voluntary conditional cash offer was made by B.K.S. Co. Ltd. at a price of HK\$0.350 for each share	2020/02/21 2020/03/16
00958	Huaneng Renewables Corporation Ltd. – H Shares Voluntary conditional cash offer was made by China Huaneng Group Co., Ltd. at a price of HK\$3.17 for each H share	2019/11/21 2020/03/18
01709	Season Pacific Holdings Ltd. (Name changed to DL Holdings Group Ltd.) Mandatory unconditional cash offer was made by Da Wolf Investments I Ltd. at a price of HK\$0.55 for each share	2020/02/27 2020/03/19
01989	Pine Care Group Ltd. Mandatory unconditional cash offer was made by Mr. Tang Yiu Sing at a price of HK\$1.647 for each share	2020/02/28 2020/03/20
01850	WINDMILL Group Ltd. Mandatory unconditional cash offer was made by Standard Dynamic Enterprises Ltd. at a price of HK\$0.268 for each share	2020/03/03 2020/03/24
00161	AVIC International Holdings Ltd. – H Shares Voluntary conditional cash offer was made by AVIC International Holding Corporation at a price of HK\$9.00 for each H share	2020/01/08 2020/04/03
00776	Imperium Group Global Holdings Ltd. Mandatory unconditional cash offer was made by Diamond State Holdings Ltd. at a price of HK\$1.04 for each share, HK\$0.0001 for each share option	2020/03/26 2020/04/16
00653	Bonjour Holdings Ltd. Mandatory unconditional cash offer was made by Mr. Chen Jianwen at a price of HK\$0.1147 for each share	2020/04/17 2020/05/08
00908	Zhuhai Holdings Investment Group Ltd. Mandatory unconditional cash offer was made by Longway Services Group Ltd. at a price of HK\$1.21 for each share	2020/04/17 2020/05/08
00859	Zhongchang International Holdings Group Ltd. Mandatory unconditional cash offer was made by Glory Rank Investment Ltd. at a price of HK\$0.5425 for each share	2020/04/29 2020/05/27
01125	Lai Fung Holdings Ltd. Voluntary conditional cash offer was made by Holy Unicorn Ltd., a wolly-owned subsidiary of Lai Sun Development Co. Ltd. at a price of HK\$8.99 for each share; HK\$8.99 minus the exercise price for each option; if the exercise price equal to or greater than HK\$8.99, HK\$0.01 for every 100 options	2020/04/24 2020/05/28
00733	Hopefluent Group Holdings Ltd. Mandatory unconditional cash offer was made by China-net Holding Ltd. and Country Garden Property Services HK Holdings Co. Ltd. at a price of HK\$1.50 for each share	2020/05/27 2020/06/17
00905	Global Mastermind Capital Ltd. Mandatory unconditional cash offer was made by China Lead Investment Holdings Ltd. at a price of HK\$0.09 for each share, HK\$0.001 for each share option	2020/06/19 2020/07/10
00100	Clear Media Ltd. Voluntary conditional cash offer was made by Ever Harmonic Global Ltd. at a price of HK\$7.12 for each share, HK\$0.00001 for each share option	2020/04/27 2020/07/13
00592	Bossini International Holdings Ltd. Mandatory unconditional cash offer was made by Dragon Leap Developments Ltd., an 80%-owned subsidiary of Viva China Holdings Ltd. at a price of HK\$0.043 for each share, HK\$0.0001 for each share option	2020/07/24 2020/08/14
00985	CST Group Ltd. Voluntary conditional cash offer was made by Atlas Keen Ltd. at a price of HK\$0.028 for each share	2020/08/28 2020/09/25
01990	Xinghua Port Holdings Ltd. Voluntary conditional cash offer was made by Zhuhai Port (Hong Kong) Co., Ltd. at a price of HK\$2.597 for each share	2020/08/26 2020/09/30
00008	PCCW Ltd. Voluntary conditional cash partial offer was made by Trade Champion Ltd., ultimate beneficial owner is Li Tzar Kai, Richard at a price of HK\$5.20 per share to acquire 154,592,765 shares	2020/09/02 2020/10/19
01559	Kwan On Holdings Ltd. Mandatory conditional cash offer was made by Sino Coronet Group Ltd. at a price of HK\$0.22 for each share	2020/09/30 2020/11/04
00064	Get Nice Holdings Ltd. Mandatory conditional cash offer was made by Honeylink Agents Ltd. at a price of HK\$0.17 for each share, HK\$0.0001 for each option	2020/10/23 2020/11/20
00032	Cross-Harbour (Holdings) Ltd., The Voluntary conditional cash offer was made by Rose Dynamics Ltd. at a price of HK\$14.00 for each share	2020/10/23 2020/11/23

Takeovers and mergers (continued)

Code	Company	Proposed date Offer closing date
01680	Macau Legend Development Ltd. Mandatory unconditional cash offer was made by Perfect Achiever Group Ltd. at a price of HK\$1.05 for each share	2020/11/03 2020/11/24
02858	Yixin Group Ltd. Mandatory unconditional cash offer was made by Tencent Mobility Ltd. and Hammer Capital Offerco 1 Ltd. at a price of HK\$1.9088 for each share, HK\$1.8980 for each share option	2020/11/11 2020/12/02
01431	YuanShengTai Dairy Farm Ltd. Voluntary conditional cash offer was made by China Feihe Ltd. at a price of HK\$0.63 for each share, HK\$0.39 for each option with exercise price of HK\$0.24, HK\$0.04 for each option with exercise price of HK\$0.59, HK\$0.0001 for each option with exercise price of HK\$1.462	2020/10/30 2020/12/02
01469	Get Nice Financial Group Ltd. Mandatory unconditional cash offer was made by Honeylink Agents Ltd. at a price of HK\$0.4726 for each share	2020/11/26 2020/12/17
06808	Sun Art Retail Group Ltd. Mandatory unconditional cash offer was made by Taobao China Holding Ltd. at a price of HK\$8.10 for each share	2020/11/27 2020/12/18
02300	AMVIG Holdings Ltd. Mandatory conditional cash offer was made by Golden Vision Buyout Fund I SP at a price of HK\$2.18 for each share, HK\$0.001 for each option with exercise price HK\$3.64	2020/11/18 2020/12/23
01667	Milestone Builder Holdings Ltd. Mandatory unconditional cash offer was made by Smart Excel Group Ltd. at a price of HK\$0.28125 for each share	2020/12/04 2020/12/28
00459	Midland IC&I Ltd. Mandatory conditional cash offer was made by Wealth Builder Holdings Ltd. at a price of HK\$0.09587 for each share	2020/11/23 2020/12/28
00174	Gemini Investments (Holdings) Ltd. Mandatory unconditional cash offer was made by Estate Spring International Ltd. at a price of HK\$1.10 for each share, HK\$0.00001 for each option with exercise price of HK\$1.40, HK\$0.14 for each option with exercise price of HK\$0.96, HK\$0.00001 for each option with exercise price of HK\$1.27	2020/12/10 2020/12/31

Share subdivisions and share consolidations

Code	Stock	Particulars	New par value (HK\$)	Effective date
01280	Qidian International Co., Ltd. (Formerly: Huiyin Smart Community Co., Ltd.)	Consolidation 20 into 1	USD 0.0200	2020/01/07
00736	China Properties Investment Holdings Ltd.	Consolidation 40 into 1	0.400	2020/01/08
00616	Eminence Enterprise Ltd.	Consolidation 20 into 1	0.200	2020/01/08
00401	Wanjia Group Holdings Ltd.	Consolidation 5 into 1	0.050	2020/01/20
00067	China Lumena New Materials Corp.	Consolidation 40 into 1	USD 0.0004	2020/02/17
00913	Unity Investments Holdings Ltd.	Consolidation 10 into 1	0.001 *	2020/02/20
02012	Sunshine Oilsands Ltd.	Consolidation 50 into 1	n.a.	2020/02/26
00276	Mongolia Energy Corporation Ltd.	Consolidation 10 into 1	0.020 *	2020/03/04
00274	China Billion Resources Ltd.	Consolidation 20 into 1	0.010 *	2020/03/16
01150	Milan Station Holdings Ltd.	Consolidation 4 into 1	0.040	2020/03/24
01613	Synertone Communication Corporation	Consolidation 5 into 1	0.250	2020/03/24
00379	China Ever Grand Financial Leasing Group Co., Ltd.	Consolidation 10 into 1	0.100	2020/03/26
01063	Suncorp Technologies Ltd.	Consolidation 20 into 1	0.006	2020/03/26
00697	Shoucheng Holdings Ltd. (Formerly: Shougang Concord International Enterprises Co. Ltd.)	Consolidation 5 into 1	n.a.	2020/03/30
01327	Luxxu Group Ltd.	Consolidation 10 into 1	0.100	2020/03/31
00080	China New Economy Fund Ltd.	Consolidation 4 into 1	0.040	2020/04/07
01142	Siberian Mining Group Co. Ltd.	Consolidation 10 into 1	2.000	2020/04/24
00205	SEEC Media Group Ltd.	Consolidation 20 into 1	0.010 *	2020/05/04
00243	QPL International Holdings Ltd.	Consolidation 12 into 1	0.010 *	2020/05/05
02133	Greenway Mining Group Ltd.	Consolidation 10 into 1	0.000	2020/05/12
00613	Planetree International Development Ltd.	Consolidation 10 into 1	0.100	2020/05/12
00745	China National Culture Group Ltd.	Consolidation 20 into 1	0.400	2020/05/21
01676	China Shenghai Group Ltd. (Formerly: China Shenghai Food Holdings Co. Ltd.)	Consolidation 10 into 1	0.100	2020/05/27
01143	Link-Asia International MedTech Group Ltd.	Consolidation 20 into 1	0.020	2020/05/29
00017	New World Development Co. Ltd.	Consolidation 4 into 1	n.a.	2020/06/23
01822	China Wood International Holding Co., Ltd. (Formerly: HongDa Financial Holding Ltd.)	Consolidation 20 into 1	0.200	2020/06/26
01192	Titan Petrochemicals Group Ltd.	Consolidation 4 into 1	0.010 *	2020/06/30
00572	Future World Holdings Ltd. (Formerly: Future World Financial Holdings Ltd.)	Consolidation 20 into 1	0.020	2020/07/03
01027	China Jicheng Holdings Ltd.	Consolidation 20 into 1	0.032	2020/07/06
02324	Capital VC Ltd.	Consolidation 10 into 1	0.250	2020/07/14
01239	Teamway International Group Holdings Ltd.	Consolidation 4 into 1	0.040	2020/07/29
01226	China Investment and Finance Group Ltd.	Consolidation 10 into 1	0.001 *	2020/07/30
01735	Central Holding Group Co. Ltd.	Split 1 into 4	0.003	2020/08/05
00061	Green Leader Holdings Group Ltd.	Consolidation 20 into 1	0.200	2020/08/07
01194	Bay Area Gold Group Ltd.	Consolidation 20 into 1	0.001 *	2020/08/10
00237	Safety Godown Co. Ltd.	Split 1 into 3	n.a.	2020/08/18
01909	Fire Rock Holdings Ltd.	Split 1 into 3	0.003	2020/08/19
00145	Hong Kong Building and Loan Agency Ltd., The	Consolidation 10 into 1	n.a.	2020/08/26
00370	China Best Group Holding Ltd.	Consolidation 5 into 1	0.100 *	2020/09/02
01653	MOS House Group Ltd.	Consolidation 10 into 1	0.100	2020/09/04
01466	Affluent Partners Holdings Ltd.	Consolidation 10 into 1	0.020	2020/09/07
00373	Allied Group Ltd.	Split 1 into 20	n.a.	2020/09/07
00510	CASH Financial Services Group Ltd.	Consolidation 20 into 1	0.400	2020/09/07
01049	Celestial Asia Securities Holdings Ltd.	Consolidation 20 into 1	0.200	2020/09/07
00789	Artini Holdings Ltd.	Consolidation 5 into 1	0.050	2020/09/11
01246	Boill Healthcare Holdings Ltd.	Consolidation 10 into 1	0.250	2020/09/16
00485	China Sinostar Group Co. Ltd.	Consolidation 20 into 1	0.010 *	2020/09/17
00381	Kiu Hung International Holdings Ltd.	Consolidation 20 into 1	0.010 *	2020/09/17
00928	Life Healthcare Group Ltd.	Consolidation 5 into 1	0.050	2020/09/23
00348	China Healthwise Holdings Ltd.	Consolidation 10 into 1	1.000	2020/09/25
00211	Styland Holdings Ltd.	Consolidation 10 into 1	0.100	2020/09/28
00048	China Automotive Interior Decoration Holdings Ltd.	Consolidation 5 into 1	0.025	2020/09/30
00726	DIT Group Ltd.	Consolidation 4 into 1	0.400	2020/10/06
00290	China Fortune Financial Group Ltd.	Consolidation 10 into 1	0.100	2020/10/20
02330	China Uptown Group Co. Ltd.	Consolidation 10 into 1	1.000	2020/10/20
00498	PYI Corporation Ltd.	Consolidation 5 into 1	0.100 *	2020/10/30
00996	Carnival Group International Holdings Ltd.	Consolidation 25 into 1	0.010 *	2020/11/03
02269	WuXi Biologics (Cayman) Inc.	Split 1 into 3	USD 0.00000833	2020/11/16
00704	Huscoke Holdings Ltd.	Consolidation 10 into 1	0.100	2020/11/23
00058	Sunway International Holdings Ltd.	Consolidation 5 into 1	0.100 *	2020/11/23
03893	CROSSTEC Group Holdings Ltd.	Consolidation 4 into 1	0.040	2020/11/24
00188	Sunwah Kingsway Capital Holdings Ltd.	Consolidation 10 into 1	0.100	2020/11/26
00660	Wai Chun Bio-Technology Ltd.	Consolidation 10 into 1	0.025	2020/11/27
01013	Wai Chun Group Holdings Ltd.	Consolidation 10 into 1	0.100	2020/11/27
00033	Amber Hill Financial Holdings Ltd. (Formerly: China Cloud Copper Co. Ltd.)	Consolidation 10 into 1	0.010	2020/12/01
01460	ICO Group Ltd.	Consolidation 10 into 1	0.025	2020/12/08
02211	Universal Health International Group Holding Ltd.	Consolidation 10 into 1	USD 0.0100	2020/12/14

* New par value after capital reorganisation

Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
01858	Beijing Chunlizhengda Medical Instruments Co., Ltd. – H Shs	15 for 10	2020/04/20
06196	Bank of Zhengzhou Co., Ltd. – H Shares	1 for 10	2020/05/26
02359	WuXi AppTec Co., Ltd. – H Shares	4 for 10	2020/05/26
00003	Hong Kong and China Gas Co. Ltd., The	1 for 20	2020/06/09
01558	YiChang HEC ChangJiang Pharmaceutical Co., Ltd. – H Shares	1 for 1	2020/06/09
01093	CSPC Pharmaceutical Group Ltd.	1 for 5	2020/06/18
06122	Jilin Jiutai Rural Commercial Bank Corporation Ltd. – H Shs	5 for 100	2020/06/22
01528	Red Star Macalline Group Corporation Ltd. – H Shares	1 for 10	2020/06/24
01787	Shandong Gold Mining Co., Ltd. – H Shares	4 for 10	2020/06/29
01177	Sino Biopharmaceutical Ltd.	1 for 2	2020/07/20
01271	Grand Ming Group Holdings Ltd.	1 for 1	2020/07/29
01093	CSPC Pharmaceutical Group Ltd.	3 for 5	2020/10/15

Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
02868	Beijing Capital Land Ltd. – H Shares	Rts 5 for 10 @\$1.87	954.41	2019/12/17 2020/01/15
00070	Rich Goldman Holdings Ltd.	Open offer 9 for 5 @\$0.12	149.57	2019/12/23 2020/01/20
00616	Eminence Enterprise Ltd.	Rts 4 for 1 @\$0.483 (after consolidation 20 into 1)	359.92	2020/01/09 2020/02/03
01172	Magnus Concordia Group Ltd.	Rts 1 for 2 @\$0.11	198.68	2020/01/08 2020/02/04
00907	Elegance Optical International Holdings Ltd.	Rts 1 for 2 @\$0.14	28.31	2020/01/14 2020/02/10
02133	Greenway Mining Group Ltd.	Rts 1 for 4 @\$0.055	49.22	2020/01/14 2020/02/17
00401	Wanjia Group Holdings Ltd.	Rts 2 for 1 @\$0.192 (after consolidation 5 into 1)	71.71	2020/01/21 2020/02/17
01498	PuraPharm Corporation Ltd.	Rts 1 for 2 @\$0.80	105.30	2020/01/29 2020/02/21
00729	FDG Electric Vehicles Ltd.	Rts 1 for 2 @\$0.20	111.06	2020/01/29 2020/02/24
00471	CMMB Vision Holdings Ltd.	Rts 1 for 2 @\$0.65	24.69	2020/02/07 2020/03/03
01788	Guotai Junan International Holdings Ltd.	Rts 1 for 3 @\$1.45	2,782.87	2020/01/30 2020/03/09
00305	Wuling Motors Holdings Ltd.	Rts 1 for 2 @\$0.20	205.01	2020/02/13 2020/03/09
00645	Ares Asia Ltd.	Rts 1 for 2 @\$0.335	57.30	2020/02/21 2020/03/17
01400	Moody Technology Holdings Ltd.	Rts 1 for 2 @\$0.139	11.03	2020/03/12 2020/04/21
00080	China New Economy Fund Ltd.	Rts 1 for 1 @\$0.16 (after consolidation 4 into 1)	40.49	2020/04/08 2020/05/07
00067	China Lumena New Materials Corp.	Preferential offer 1 sh for 1 sh @\$0.24 (after consolidation 40 into 1)	33.62	2020/03/30 2020/05/29
01049	Celestial Asia Securities Holdings Ltd.	Open offer 2 for 1 @\$0.06	46.99	2020/06/04 2020/06/30
02310	Times Universal Group Holdings Ltd. (Formerly: Forebase International Holdings Ltd.)	Rts 1 for 2 @\$0.145	52.82	2020/06/04 2020/07/03
00922	Anxian Yuan China Holdings Ltd.	Rts 3 for 2 @\$0.10	133.28	2020/06/16 2020/07/22
01822	China Wood International Holding Co., Ltd. (Formerly: HongDa Financial Holding Ltd.)	Rts 1 for 5 @\$0.20 (after consolidation 20 into 1)	0.41	2020/06/29 2020/07/23
00572	Future World Holdings Ltd. (Formerly: Future World Financial Holdings Ltd.)	Rts 1 for 2 @\$0.18 (after consolidation 20 into 1)	56.72	2020/07/06 2020/07/29
00293	Cathay Pacific Airways Ltd.	Rts 7 for 11 @\$4.68	11,715.70	2020/07/15 2020/08/05
00539	Victory City International Holdings Ltd.	Rts 2 for 1 @\$0.14	217.54	2020/07/14 2020/08/06
06099	China Merchants Securities Co., Ltd. – H Shares	Rts 3 for 10 @\$8.185	2,407.38	2020/07/17 2020/08/11
01239	Teamway International Group Holdings Ltd.	Rts 2 for 1 @\$0.16 (after consolidation 4 into 1)	50.00	2020/07/30 2020/08/24
01270	Langham Hospitality Inv and Langham Hospitality Inv Ltd. -SS	Rts 1 for 2 @\$0.95	1,019.11	2020/08/12 2020/09/04
00102	Summit Ascent Holdings Ltd.	Rts 3 for 2 @\$0.60	1,623.40	2020/09/09 2020/10/06
01150	Milan Station Holdings Ltd.	Rts 5 for 2 @\$0.11	55.94	2020/10/29 2020/11/23
00632	CHK Oil Ltd. (Formerly: Pearl Oriental Oil Ltd.)	Rts 3 for 8 @\$0.20	45.92	2020/11/02 2020/12/02
00145	Hong Kong Building and Loan Agency Ltd., The	Rts 1 for 3 @\$0.18	23.55	2020/11/11 2020/12/04
02700	Green International Holdings Ltd.	Rts 1 for 1 @\$0.06	98.98	2020/11/13 2020/12/08
01145	Courage Investment Group Ltd.	Open offer 1 for 1 @\$0.13	71.35	2020/11/23 2020/12/18
00033	Amber Hill Financial Holdings Ltd. (Formerly: China Cloud Copper Co. Ltd.)	Rts 3 for 1 @\$0.71 (after consolidation 10 into 1)	83.96	2020/12/02 2020/12/29
Total			22,886.24	

Notes: Figures may not add up to total due to rounding.

Placings

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$mil)	Allotment date
06160	BeiGene, Ltd.	206,635,013	104.776	21,650.39	2020/01/02
00527	China Ruifeng Renewable Energy Holdings Ltd.	180,000,000	0.250	45.00	2020/01/03
01483	U Banquet Group Holding Ltd.	117,000,000	1.530	179.01	2020/01/07
06188	Beijing Digital Telecom Co., Ltd. – H Shares	65,793,400	3.250	213.83	2020/01/08
06183	China Greenfresh Group Co., Ltd.	233,511,246	0.287	67.02	2020/01/09
00223	Elife Holdings Ltd.	53,191,489	0.188	10.00	2020/01/16
00697	Shougang Concord International Enterprises Co. Ltd. (Name changed to Shoucheng Holdings Ltd.)	93,333,333	0.300	28.00	2020/01/17
01918	Sunac China Holdings Ltd.	186,920,000	42.800	8,000.18	2020/01/17
01762	Wanka Online Inc.	25,789,000	1.900	49.00	2020/01/20
01030	Seazen Group Ltd.	311,000,000	8.780	2,730.58	2020/01/21
00379	China Ever Grand Financial Leasing Group Co., Ltd.	2,383,830,000	0.014	33.37	2020/01/22
02312	China Financial Leasing Group Ltd.	321,000,000	0.100	32.10	2020/01/23
01323	Newtree Group Holdings Ltd. (Name changed to Huasheng International Holding Ltd.)	160,000,000	0.440	70.40	2020/01/23
01099	Sinopharm Group Co. Ltd. – H Shares	149,000,000	27.300	4,067.70	2020/01/23
00813	Shimao Property Holdings Ltd. (Name changed to Shimao Group Holdings Ltd.)	158,000,000	29.580	4,673.64	2020/01/31
01808	Enterprise Development Holdings Ltd.	105,301,796	0.249	26.22	2020/02/04
01400	Moody Technology Holdings Ltd.	37,200,000	0.086	3.20	2020/02/04
00082	V1 Group Ltd.	75,000,000	0.200	15.00	2020/02/04
00863	BC Technology Group Ltd.	19,000,000	6.500	123.50	2020/02/12
01237	China Environmental Technology and Bioenergy Holdings Ltd.	123,533,400	0.120	14.82	2020/02/14
00686	Panda Green Energy Group Ltd. (Name changed to Beijing Energy International Holding Co., Ltd.)	7,176,943,498	0.250	1,794.24	2020/02/18
01143	Link-Asia International MedTech Group Ltd.	1,189,060,000	0.035	41.62	2020/02/19
01613	Synertone Communication Corporation	860,000,000	0.100	86.00	2020/02/19
01801	Innovent Biologics, Inc.	78,000,000	30.200	2,355.60	2020/02/20
00863	BC Technology Group Ltd.	1,023,000	6.500	6.65	2020/02/21
00697	Shougang Concord International Enterprises Co. Ltd. (Name changed to Shoucheng Holdings Ltd.)	1,500,000,000	0.300	450.00	2020/02/21
01137	Hong Kong Television Network Ltd.	90,000,000	5.150	463.50	2020/02/24
00777	NetDragon Websoft Holdings Ltd.	33,000,000	23.700	782.10	2020/02/25
02031	Ausupreme International Holdings Ltd.	12,000,000	0.400	4.80	2020/02/26
00712	Comtec Solar Systems Group Ltd.	104,885,179	0.100	10.49	2020/02/28
01789	AK Medical Holdings Ltd.	53,500,000	15.000	802.50	2020/03/04
04619	Bank of China Ltd. USD 3.60% Non-Cum Perp Offshore Pref Sh	197,865,300	111.167	21,996.00	2020/03/05
00863	BC Technology Group Ltd.	23,077,000	6.500	150.00	2020/03/16
00612	China Ding Yi Feng Holdings Ltd.	66,368,000	2.750	182.51	2020/03/17
01332	China Touyun Tech Group Ltd.	135,135,135	0.370	50.00	2020/03/26
00058	Sunway International Holdings Ltd.	149,063,676	0.100	14.91	2020/03/30
01559	Kwan On Holdings Ltd.	264,000,000	0.198	52.17	2020/03/31
01055	China Southern Airlines Co. Ltd. – H Shares	608,695,652	5.750	3,500.00	2020/04/15
00948	Alpha Professional Holdings Ltd.	50,000,000	1.100	55.00	2020/04/16
03893	CROSSTEC Group Holdings Ltd.	480,000,000	0.023	11.18	2020/04/17
00174	Gemini Investments (Holdings) Ltd.	90,278,000	1.000	90.28	2020/04/17
00033	China Cloud Copper Co. Ltd. (Name changed to Amber Hill Financial Holdings Ltd.)	181,463,440	0.100	18.15	2020/04/20
01332	China Touyun Tech Group Ltd.	135,135,135	0.370	50.00	2020/04/20
00582	Landing International Development Ltd.	586,978,800	0.235	137.94	2020/04/22
00871	China Dredging Environment Protection Holdings Ltd.	191,917,000	0.040	7.68	2020/04/24
00875	China Finance Investment Holdings Ltd.	200,000,000	0.650	130.00	2020/04/27
01064	Zhong Hua International Holdings Ltd.	108,000,000	0.150	16.20	2020/04/27
00809	Global Bio-chem Technology Group Co. Ltd.	1,228,607,685	0.108	132.69	2020/04/29
03692	Hansoh Pharmaceutical Group Co. Ltd.	130,380,000	26.750	3,487.67	2020/04/29
00813	Shimao Property Holdings Ltd. (Name changed to Shimao Group Holdings Ltd.)	78,204,000	29.730	2,325.00	2020/05/05
02869	Greentown Service Group Co. Ltd.	155,208,702	8.383	1,301.16	2020/05/07
00456	New City Development Group Ltd.	712,328,767	0.073	52.00	2020/05/07
02342	Comba Telecom Systems Holdings Ltd.	230,000,000	3.050	701.50	2020/05/08
01282	Glory Sun Financial Group Ltd.	1,600,000,000	0.250	400.00	2020/05/08
02138	Union Medical Healthcare Ltd.	8,078,927	4.200	33.93	2020/05/08
00061	Green Leader Holdings Group Ltd.	402,351,843	0.019	7.64	2020/05/13
00061	Green Leader Holdings Group Ltd.	333,693,095	0.021	7.01	2020/05/13
00061	Green Leader Holdings Group Ltd.	1,015,931,132	0.021	21.54	2020/05/13
01561	Pan Asia Data Holdings Inc.	11,432,000	3.500	40.01	2020/05/13
01059	Kantone Holdings Ltd.	19,731,000	0.320	6.31	2020/05/18
02280	HC Group Inc.	200,000,000	1.200	240.00	2020/05/20
01561	Pan Asia Data Holdings Inc.	2,856,000	3.500	10.00	2020/05/22
01193	China Resources Gas Group Ltd.	90,000,000	40.810	3,672.90	2020/05/25
00339	Core Economy Investment Group Ltd.	33,400,000	0.170	5.68	2020/05/26
03900	Greentown China Holdings Ltd.	323,000,000	9.500	3,068.50	2020/05/26
03313	ArtGo Holdings Ltd.	203,870,000	0.090	18.35	2020/05/27
00174	Gemini Investments (Holdings) Ltd.	90,278,000	1.000	90.28	2020/05/27
00185	Zensun Enterprises Ltd.	1,235,360,000	0.330	407.67	2020/05/27
01280	Qidian International Co., Ltd.	25,379,600	1.090	27.66	2020/05/28
00263	GT Group Holdings Ltd.	28,038,335	0.200	5.61	2020/05/29
01466	Affluent Partners Holdings Ltd.	369,900,000	0.025	9.25	2020/06/01
01748	Xin Yuan Enterprises Group Ltd.	40,000,000	1.092	43.68	2020/06/03
00175	Geely Automobile Holdings Ltd.	600,000,000	10.800	6,480.00	2020/06/05
00913	Unity Investments Holdings Ltd.	37,240,000	0.150	5.59	2020/06/05
01268	China MeiDong Auto Holdings Ltd.	81,000,000	15.840	1,283.04	2020/06/10
02869	Greentown Service Group Co. Ltd.	266,600,000	10.180	2,713.99	2020/06/10
01569	Minsheng Education Group Co. Ltd.	200,000,000	1.220	244.00	2020/06/10
02202	China Vanke Co., Ltd. – H Shares	315,589,200	25.000	7,889.73	2020/06/11
01653	MOS House Group Ltd.	400,000,000	0.040	16.00	2020/06/11
06878	Differ Group Holding Co. Ltd.	265,000,000	0.380	100.70	2020/06/12

Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$mil)	Allotment date
00884	CIFI Holdings (Group) Co. Ltd.	185,000,000	6.280	1,161.80	2020/06/15
00970	Sparkle Roll Group Ltd.	318,500,000	0.157	50.00	2020/06/15
01995	Ever Sunshine Lifestyle Services Group Ltd.	134,000,000	11.780	1,578.52	2020/06/16
01396	Hydoo International Holding Ltd. (Name changed to Guangdong – Hong Kong Greater Bay Area Holdings Ltd.)	522,510,000	0.450	235.13	2020/06/19
01538	Zhong Ao Home Group Ltd.	36,928,000	0.980	36.19	2020/06/19
03669	China Yongda Automobiles Services Holdings Ltd.	120,000,000	8.290	994.80	2020/06/23
02168	Kaisa Prosperity Holdings Ltd.	14,000,000	32.550	455.70	2020/06/23
03800	GCL-Poly Energy Holdings Ltd.	1,300,000,000	0.203	263.90	2020/06/24
06093	Hevol Services Group Co., Ltd.	80,000,000	1.280	102.40	2020/06/24
02221	New Concepts Holdings Ltd.	49,768,000	0.221	11.00	2020/06/26
03309	C-MER Eye Care Holdings Ltd.	68,000,000	5.800	394.40	2020/06/30
02369	Coolpad Group Ltd.	200,000,000	0.130	26.00	2020/06/30
00853	MicroPort Scientific Corporation	65,958,000	23.500	1,550.01	2020/07/02
02221	New Concepts Holdings Ltd.	50,922,000	0.202	10.29	2020/07/02
02400	XD Inc.	26,094,200	29.900	780.22	2020/07/03
00863	BC Technology Group Ltd.	1,051,213	7.420	7.80	2020/07/06
00938	Man Sang International Ltd.	141,104,000	0.350	49.39	2020/07/07
02269	WuXi Biologics (Cayman) Inc.	45,000,000	137.000	6,165.00	2020/07/08
01831	ShiFang Holding Ltd.	151,519,806	0.101	15.30	2020/07/10
01873	Viva Biotech Holdings	130,000,000	8.150	1,059.50	2020/07/10
00351	Asia Energy Logistics Group Ltd.	1,100,000,000	0.160	176.00	2020/07/13
00817	China Jinmao Holdings Group Ltd.	602,340,000	5.700	3,433.34	2020/07/13
00471	CMMB Vision Holdings Ltd.	45,412,879	0.500	22.71	2020/07/13
00994	CT Vision (International) Holdings Ltd.	77,000,000	0.800	61.60	2020/07/14
06855	Ascentage Pharma Group International – B	15,000,000	46.800	702.00	2020/07/15
06160	BeiGene, Ltd.	145,838,979	110.289	16,084.39	2020/07/15
00205	SEEC Media Group Ltd.	63,730,000	0.110	7.01	2020/07/15
01895	Xinyuan Property Management Service (Cayman) Ltd.	50,000,000	2.600	130.00	2020/07/15
09928	Times Neighborhood Holdings Ltd.	77,000,000	10.220	786.94	2020/07/20
00994	CT Vision (International) Holdings Ltd.	11,830,000	0.800	9.46	2020/07/21
01332	China Touyun Tech Group Ltd.	54,054,054	0.370	20.00	2020/07/22
01608	VPower Group International Holdings Ltd.	83,000,000	3.750	311.25	2020/07/23
01728	China ZhengTong Auto Services Holdings Ltd.	245,222,000	1.090	267.29	2020/07/27
00145	Hong Kong Building and Loan Agency Ltd., The	200,000,000	0.040	8.00	2020/07/27
00907	Elegance Optical International Holdings Ltd.	121,310,000	0.100	12.13	2020/07/29
01801	Innovent Biologics, Inc.	56,200,000	50.000	2,810.00	2020/07/30
09922	Jiumaojiu International Holdings Ltd.	70,000,000	11.990	839.30	2020/07/30
01709	DL Holdings Group Ltd.	75,500,000	0.800	60.40	2020/07/31
03313	ArtGo Holdings Ltd.	450,000,000	0.090	40.50	2020/08/03
02728	Jintai Energy Holdings Ltd.	742,503,480	0.128	95.04	2020/08/03
00033	Amber Hill Financial Holdings Ltd.	223,852,128	0.105	23.50	2020/08/04
00433	North Mining Shares Co. Ltd.	1,875,000,000	0.016	30.00	2020/08/05
02359	WuXi AppTec Co., Ltd. – H Shares	68,205,400	108.000	7,366.18	2020/08/05
00512	China Grand Pharmaceutical and Healthcare Holdings Ltd.	172,000,000	5.900	1,014.80	2020/08/10
01327	Luxxu Group Ltd.	69,120,000	0.100	6.91	2020/08/10
00241	Alibaba Health Information Technology Ltd.	498,753,118	20.050	10,000.00	2020/08/12
01492	China ZhongDi Dairy Holdings Co. Ltd.	432,641,522	0.470	203.34	2020/08/12
01765	Hope Education Group Co., Ltd.	465,000,000	2.550	1,185.75	2020/08/13
00474	Hao Tian Development Group Ltd. (Name changed to Aceso Life Science Group Ltd.)	400,000,000	0.250	100.00	2020/08/14
01082	Hong Kong Education (Int'l) Investments Ltd.	33,500,000	0.900	30.15	2020/08/14
00928	Life Healthcare Group Ltd.	1,070,840,000	0.028	29.98	2020/08/17
06068	Wisdom Education International Holdings Co. Ltd.	130,000,000	4.240	551.20	2020/08/18
00745	China National Culture Group Ltd.	98,144,100	0.065	6.38	2020/08/19
00839	China Education Group Holdings Ltd.	130,000,000	15.500	2,015.00	2020/08/20
01667	Milestone Builder Holdings Ltd.	160,000,000	0.125	20.00	2020/08/20
01360	NOVA Group Holdings Ltd.	292,500,000	0.130	38.03	2020/08/20
06168	China U-Ton Future Space Industrial Group Holdings Ltd.	417,269,077	0.310	129.35	2020/08/21
01094	China Public Procurement Ltd.	34,897,000	0.142	4.96	2020/08/24
02337	United Strength Power Holdings Ltd.	40,000,000	5.000	200.00	2020/08/24
01063	Suncorp Technologies Ltd.	152,150,000	0.090	13.69	2020/08/25
00821	Value Convergence Holdings Ltd.	246,000,000	0.200	49.20	2020/08/25
00482	Sandmartin International Holdings Ltd.	100,000,000	0.100	10.00	2020/08/27
00554	Hans Energy Co. Ltd.	175,000,000	0.321	56.25	2020/08/28
00438	IRICO Group New Energy Co. Ltd. – H Shares	1,294,092,000	1.120	1,449.38	2020/08/28
00268	Kingdee International Software Group Co. Ltd.	133,280,000	17.820	2,375.05	2020/08/28
02051	51 Credit Card Inc.	166,666,666	0.600	100.00	2020/08/31
00817	China Jinmao Holdings Group Ltd.	349,450,000	5.700	1,991.87	2020/08/31
00309	Xinhua News Media Holdings Ltd.	129,000,000	0.088	11.38	2020/09/01
01675	AsiaInfo Technologies Ltd.	182,259,893	7.600	1,385.18	2020/09/02
01539	Synergy Group Holdings International Ltd.	110,000,000	0.166	18.26	2020/09/02
00567	Daisho Microline Holdings Ltd.	115,200,000	0.158	18.20	2020/09/10
02500	Venus Medtech (Hangzhou) Inc. – B – H Shares	18,500,000	64.190	1,187.52	2020/09/10
00968	Xinyi Solar Holdings Ltd.	282,000,000	9.440	2,662.08	2020/09/10
01962	Evergreen Products Group Ltd.	25,000,000	1.430	35.75	2020/09/11
03313	ArtGo Holdings Ltd.	222,000,000	0.100	22.20	2020/09/16
01280	Qidian International Co., Ltd.	23,755,306	1.290	30.64	2020/09/16
00351	Asia Energy Logistics Group Ltd.	99,000,000	0.250	24.75	2020/09/17
01003	Huanxi Media Group Ltd.	346,626,954	1.480	513.01	2020/09/21
06069	Sheng Ye Capital Ltd.	55,500,000	7.000	388.50	2020/09/21
03868	Xinyi Energy Holdings Ltd.	357,520,000	2.500	893.80	2020/09/21
00206	CMIC Ocean En-Tech Holding Co., Ltd.	174,394,797	0.277	48.26	2020/09/22
01102	Enviro Energy International Holdings Ltd.	90,000,000	0.229	20.59	2020/09/22
01280	Qidian International Co., Ltd.	6,700,214	1.290	8.64	2020/09/22
00708	China Evergrande New Energy Vehicle Group Ltd.	176,580,000	22.650	3,999.54	2020/09/23
01772	Ganfeng Lithium Co., Ltd. – H Shares	40,037,000	36.350	1,455.34	2020/09/23

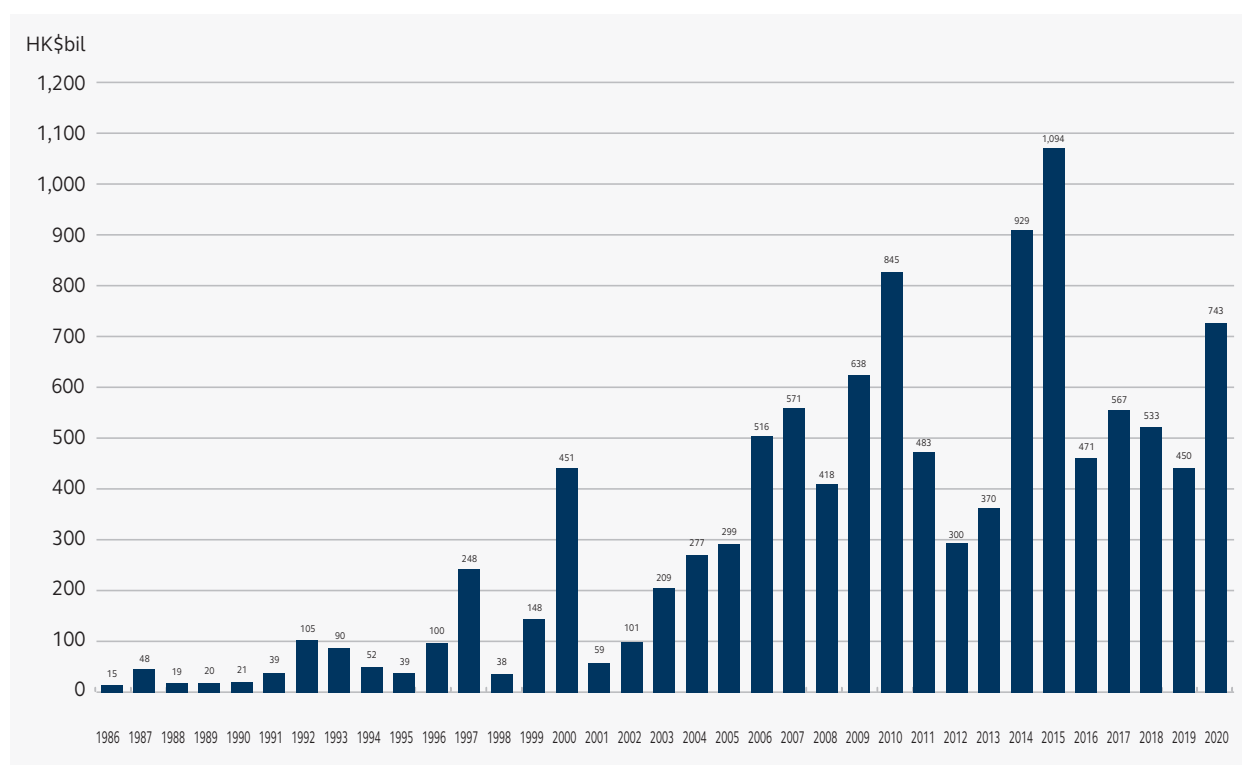
Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$mil)	Allotment date
02886	Binhai Investment Co. Ltd.	177,676,183	1.330	236.31	2020/09/24
04620	ICBC USD 3.58% Non-Cum Perpetual Offshore Pref Shs	145,000,000	156.000	22,620.00	2020/09/24
01460	ICO Group Ltd.	839,000,000	0.028	23.49	2020/09/24
01226	China Investment and Finance Group Ltd.	45,150,000	0.150	6.77	2020/09/25
02001	China New Higher Education Group Ltd.	44,000,000	5.350	235.40	2020/09/25
01341	Hao Tian International Construction Investment Group Ltd.	234,296,000	0.285	66.77	2020/09/25
02138	Union Medical Healthcare Ltd.	4,805,410	4.250	20.42	2020/09/28
01400	Moody Technology Holdings Ltd.	60,504,216	0.143	8.65	2020/09/30
00474	Hao Tian Development Group Ltd. (Name changed to Aceso Life Science Group Ltd.)	200,000,000	0.250	50.00	2020/10/05
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	257,000,000	9.820	2,523.74	2020/10/05
00381	Kiu Hung International Holdings Ltd.	101,900,000	0.200	20.38	2020/10/05
00612	China Ding Yi Feng Holdings Ltd.	24,000,000	2.310	55.44	2020/10/06
01691	JS Global Lifestyle Co. Ltd.	76,458,000	14.120	1,079.59	2020/10/06
01703	Palace Banquet Holdings Ltd.	150,000,000	0.250	37.50	2020/10/06
02138	Union Medical Healthcare Ltd.	10,000,000	4.250	42.50	2020/10/07
02616	CStone Pharmaceuticals – B	115,928,803	13.370	1,549.97	2020/10/09
01833	Ping An Healthcare and Technology Co. Ltd.	80,000,000	98.200	7,856.00	2020/10/09
00210	Daphne International Holdings Ltd.	164,914,238	0.182	29.96	2020/10/14
00329	OCI International Holdings Ltd.	440,000,000	0.650	286.00	2020/10/14
03333	China Evergrande Group	260,650,000	16.500	4,300.73	2020/10/16
01368	Xtep International Holdings Ltd.	5,000,000	2.480	12.40	2020/10/23
00994	CT Vision (International) Holdings Ltd.	60,000,000	0.800	48.00	2020/10/28
01745	Lvji Technology Holdings Inc.	53,350,000	0.900	48.02	2020/10/28
00630	AMCO United Holding Ltd.	370,000,000	0.100	37.00	2020/10/29
00376	Yunfeng Financial Group Ltd.	644,665,279	3.170	2,043.59	2020/10/29
01323	Huasheng International Holding Ltd.	130,000,000	0.640	83.20	2020/11/03
02048	E-House (China) Enterprise Holdings Ltd.	118,300,000	7.000	828.10	2020/11/04
00544	Daido Group Ltd.	468,800,000	0.025	11.63	2020/11/06
02369	Coolpad Group Ltd.	500,000,000	0.180	90.00	2020/11/13
01808	Enterprise Development Holdings Ltd.	126,362,155	0.168	21.23	2020/11/17
01683	Hope Life International Holdings Ltd.	100,000,000	0.950	95.00	2020/11/20
01027	China Jicheng Holdings Ltd.	37,860,000	0.200	7.57	2020/11/26
00274	China Billion Resources Ltd.	139,500,000	0.099	13.84	2020/11/27
01378	China Hongqiao Group Ltd.	307,500,000	6.300	1,937.25	2020/12/01
00302	CMGE Technology Group Ltd.	180,000,000	3.020	543.60	2020/12/04
09909	Powerlong Commercial Management Holdings Ltd.	11,250,000	24.300	273.38	2020/12/04
01810	Xiaomi Corporation – W	1,000,000,000	23.700	23,700.00	2020/12/09
00235	China Strategic Holdings Ltd.	3,397,540,000	0.060	203.85	2020/12/11
01302	LifeTech Scientific Corporation	287,320,000	3.237	930.00	2020/12/11
00960	Longfor Group Holdings Ltd.	50,000,000	47.000	2,350.00	2020/12/11
00223	Elife Holdings Ltd.	111,702,127	0.188	21.00	2020/12/15
01862	Jingrui Holdings Ltd.	138,619,000	2.200	304.96	2020/12/15
00860	Apollo Future Mobility Group Ltd.	31,388,000	0.460	14.44	2020/12/16
02212	Future Bright Mining Holdings Ltd.	518,580,000	0.029	15.04	2020/12/16
09923	Yeahka Ltd.	20,795,052	37.880	787.72	2020/12/17
06098	Country Garden Services Holdings Co. Ltd.	173,000,000	45.000	7,785.00	2020/12/18
00185	Zensun Enterprises Ltd.	1,526,090,000	0.460	702.00	2020/12/18
00988	Silk Road Logistics Holdings Ltd.	285,714,285	0.028	8.00	2020/12/21
00082	V1 Group Ltd.	215,180,180	0.222	47.77	2020/12/21
02309	Birmingham Sports Holdings Ltd.	516,400,000	0.135	69.71	2020/12/22
03395	Persta Resources Inc.	60,000,000	0.300	18.00	2020/12/22
00860	Apollo Future Mobility Group Ltd.	58,188,000	0.460	26.77	2020/12/23
00204	China Investment Development Ltd.	211,600,000	0.101	21.37	2020/12/23
01797	Koolearn Technology Holding Ltd.	59,432,000	30.000	1,782.96	2020/12/24
00968	Xinyi Solar Holdings Ltd.	300,000,000	13.000	3,900.00	2020/12/28
00797	7Road Holdings Ltd.	133,700,000	2.590	346.28	2020/12/29
02139	Bank of Gansu Co., Ltd. – H Shares	1,250,000,000	1.490	1,862.50	2020/12/29
01609	Chong Kin Group Holdings Ltd.	31,860,781	2.300	73.28	2020/12/29
01583	Qinqin Foodstuffs Group (Cayman) Co. Ltd.	80,000,000	2.200	176.00	2020/12/29
03738	Vobile Group Ltd.	28,901,734	13.840	400.00	2020/12/29
00860	Apollo Future Mobility Group Ltd.	171,740,000	0.460	79.00	2020/12/30
02330	China Uptown Group Co. Ltd.	72,000,000	1.000	72.00	2020/12/30
01773	Tianli Education International Holdings Ltd.	91,000,000	7.720	702.52	2020/12/30
00185	Zensun Enterprises Ltd.	6,077,716,750	0.460	2,795.75	2020/12/30
00860	Apollo Future Mobility Group Ltd.	18,852,000	0.460	8.67	2020/12/31
Total				289,038.87	

Funds raised by classification, 2016 – 2020

		HK\$bil				
Type	Listing method	2016	2017	2018	2019	2020
Equities	Offer for subscription	12.17	19.08	23.52	35.38	74.37
	Offer for sale	8.69	6.15	15.53	10.90	11.91
	Offer for placing	169.87	97.37	243.89	267.00	313.30
	Placing	147.10	335.32	137.66	97.01	289.04
	Rights issue	45.86	50.18	30.77	4.74	22.58
	Open offer	7.90	6.30	1.42	0.12	0.30
	Consideration issue	71.20	36.02	65.82	19.95	17.15
	Warrants exercised	1.27	1.93	1.04	0.01	0.67
	Share option scheme	7.10	15.06	13.55	14.87	14.07
	Equities funds raised		471.17	567.40	533.22	449.97
Debt securities		774.61	1,190.67	1,053.83	1,401.91	1,528.72
Unit trusts/Mutual funds		-	-	-	2.57	-
Derivative warrants – premium		105.84	156.96	252.96	161.19	256.93
Inline warrants – premium		-	-	-	11.40	8.54
Equity warrants – premium		-	-	-	-	-
Equity linked instruments – premium		-	-	-	-	-
Callable bull/bear contracts – premium		363.74	433.47	856.40	878.48	1,274.15

Equity funds raised, 1986-2020



Funds raised by Mainland enterprises H shares, 2016 – 2020

		HK\$bil				
Type	Listing method	2016	2017	2018	2019	2020
Equities	Offer for subscription	6.39	4.93	6.91	9.33	9.73
	Offer for sale	3.39	2.50	–	–	–
	Offer for placing	121.03	54.03	101.97	41.05	49.88
	Placing	19.80	39.51	41.78	24.92	31.52
	Rights issue	–	–	0.20	1.01	3.36
	Open offer	–	–	–	–	–
	Consideration issue	–	–	5.68	–	–
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised		150.60	100.97	156.54	76.32

Funds raised by Red Chip stocks, 2016 – 2020

		HK\$bil				
Type	Listing method	2016	2017	2018	2019	2020
Equities	Offer for subscription	2.10	–	0.05	0.48	0.92
	Offer for sale	4.37	–	–	–	–
	Offer for placing	19.29	–	0.11	2.97	13.18
	Placing	10.70	96.19	22.90	20.15	10.00
	Rights issue	13.48	21.69	20.38	0.82	2.99
	Open offer	–	3.35	–	–	–
	Consideration issue	31.97	3.24	5.24	0.17	0.56
	Warrants exercised	–	0.00	–	–	0.14
	Share option scheme	0.66	1.11	1.07	1.00	1.07
	Equities funds raised		82.57	125.58	49.75	25.58

Newly listed equity warrants, 2020

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
NIL					

List of expired equity warrants, 2020

Code	Warrant	Last trading date	Delisted date
01807	Golden Century International Holdings Group Ltd. Wts 2020	2020/10/12	2020/10/16
01435	Styland Holdings Ltd. Warrants 2020	2020/11/12	2020/11/18

Market value and trading for equity warrants, 2016 - 2020

Year/Month	Number *	Market value * (HK\$mil)	Turnover value (HK\$mil)	(%) of market total
2016	8	847.55	311.39	0.00
2017	7	236.46	196.28	0.00
2018	2	71.12	21.83	0.00
2019	2	55.92	8.81	0.00
2020	0	0.00	15.18	0.00
2020 Jan	2	52.64	0.65	0.00
2020 Feb	2	41.69	0.14	0.00
2020 Mar	2	40.00	0.17	0.00
2020 Apr	2	45.90	5.47	0.00
2020 May	2	41.37	1.85	0.00
2020 Jun	2	36.29	0.04	0.00
2020 Jul	2	33.54	0.70	0.00
2020 Aug	2	32.40	4.49	0.00
2020 Sep	2	23.08	0.21	0.00
2020 Oct	1	9.93	1.08	0.00
2020 Nov	0	0.00	0.39	0.00
2020 Dec	0	0.00	0.00	0.00

* Month-end or year-end figures.

Number of newly listed derivative warrants by underlying assets, 2020

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng China Enterprises Index	6	4	16	2	0	5	2	2	6	0	11	9	63
		Hang Seng Index	56	56	136	26	28	44	46	29	62	35	56	50	624
		Hang Seng TECH Index	-	-	-	-	-	-	0	0	0	0	49	8	57
		Nikkei 225 Stock Average Index	0	0	8	0	2	2	0	0	4	0	2	0	18
		Nasdaq-100 Index	0	0	0	0	0	0	0	2	10	0	3	11	26
		S&P 500 Index	0	0	0	0	0	0	0	0	6	0	0	5	11
		Dow Jones Industrial Average Index	0	0	0	0	0	0	0	0	0	0	4	3	7
Stock															
	02018	AAC TECH	14	6	20	14	14	3	23	13	7	10	5	9	138
	01288	ABC	3	3	3	1	0	0	1	1	6	1	1	2	22
	03383	AGILE GROUP	1	0	0	0	0	0	0	0	0	0	0	1	2
	01299	AIA	20	20	35	16	19	14	13	22	18	7	24	31	239
	00753	AIR CHINA	0	1	2	1	2	0	0	0	1	0	3	1	11
	01789	AK MEDICAL	0	0	0	0	0	0	0	2	2	0	3	1	8
	00241	ALI HEALTH	2	4	2	4	6	5	9	5	4	9	1	34	85
	03319	A-LIVING	1	2	0	1	3	1	1	0	3	0	0	4	16
	02020	ANTA SPORTS	2	1	2	1	5	0	1	5	0	1	7	6	31
	00522	ASM PACIFIC	2	0	3	0	0	0	3	1	1	0	0	0	10
	02357	AVICHINA	1	0	0	0	1	1	3	2	0	1	0	0	9
	09988	BABA-SW	128	35	107	50	49	43	142	68	73	80	115	84	974
	01958	BAIC MOTOR	2	1	0	0	1	1	0	0	0	1	1	2	9
	00874	BAIYUNSHAN PH	2	1	0	2	0	0	0	0	0	0	0	0	5
	03988	BANK OF CHINA	2	5	7	2	4	1	10	0	6	10	1	9	57
	03328	BANKCOMM	0	1	1	0	0	0	2	0	2	0	1	1	8
	02009	BBMG	0	1	1	0	2	0	0	0	0	0	0	0	4
	06160	BEIGENE	0	0	0	0	0	1	0	1	0	1	0	1	4
	00694	BEIJING AIRPORT	0	0	0	1	1	0	0	0	0	1	0	2	5
	02388	BOC HONG KONG	2	2	6	3	2	2	1	1	0	7	3	3	32
	03998	BOSIDENG	0	1	1	0	0	0	0	0	0	1	0	1	4
	01114	BRILLIANCE CHI	2	0	3	1	0	0	4	0	0	0	1	0	11
	01876	BUD APAC	13	7	4	4	3	4	1	6	2	2	8	8	62
	01211	BYD COMPANY	21	11	4	4	5	13	29	6	41	33	57	19	243
	00285	BYD ELECTRONIC	3	1	3	1	3	1	6	4	8	5	1	9	45
	03188	CAM CS1300	3	1	1	0	1	2	12	2	0	0	4	0	26
	00293	CATHAY PAC AIR	1	0	0	0	0	1	2	3	0	1	2	1	11
	00939	CCB	7	14	6	14	6	12	13	13	22	20	11	15	153
	06098	CG SERVICES	2	5	0	2	5	1	6	1	1	1	1	4	29
	01816	CGN POWER	1	0	0	0	0	1	0	1	0	1	0	0	4
	06881	CGS	3	1	2	1	1	0	5	0	0	0	2	0	15
	02600	CHALCO	1	1	2	2	0	0	4	1	0	0	4	2	17
	03883	CHINA AOYUAN	0	0	1	1	0	0	3	1	0	1	0	1	8
	01359	CHINA CINDA	0	0	0	0	0	0	0	0	0	0	0	1	1
	01800	CHINA COMM CONS	2	1	1	1	3	1	2	0	1	1	1	0	14
	03969	CHINA CRSC	0	1	0	0	0	0	0	1	0	0	0	1	3
	00670	CHINA EAST AIR	0	1	2	1	2	0	0	0	0	0	0	1	7
	00165	CHINA EB LTD	2	0	1	1	0	0	2	1	1	1	0	0	9
	06186	CHINA FEIHE	0	0	0	0	3	0	0	4	4	1	0	2	14
	00384	CHINA GAS HOLD	1	0	1	0	1	0	1	0	3	0	1	1	9
	02799	CHINA HUARONG	0	1	0	0	0	0	0	0	1	0	0	0	2
	02628	CHINA LIFE	20	20	51	8	14	8	37	3	13	9	11	16	210
	00772	CHINA LIT	4	0	4	0	7	4	4	1	1	2	2	1	30
	00916	CHINA LONGYUAN	2	0	1	0	0	0	0	2	0	1	3	0	9
	00144	CHINA MER PORT	0	0	1	0	0	0	0	0	0	0	0	0	1
	00941	CHINA MOBILE	24	4	30	15	15	18	24	14	20	14	10	4	192
	02883	CHINA OILFIELD	1	0	2	2	0	1	0	2	1	0	3	0	12
	00688	CHINA OVERSEAS	4	0	2	2	0	2	1	1	6	0	0	2	20
	02669	CHINA OVS PPT	0	1	0	0	1	0	0	1	0	0	1	0	4
	01186	CHINA RAIL CONS	0	1	0	0	2	0	2	4	1	1	0	0	11
	00390	CHINA RAILWAY	0	0	1	0	0	1	1	2	1	0	1	1	8
	00291	CHINA RES BEER	2	1	1	1	0	3	3	0	0	3	1	6	21
	01193	CHINA RES GAS	0	0	0	0	1	0	0	0	0	1	0	0	2
	01109	CHINA RES LAND	1	2	1	0	3	1	0	2	2	0	0	5	17
	00836	CHINA RES POWER	0	2	1	1	0	0	1	0	1	1	0	2	9
	01088	CHINA SHENHUA	1	3	2	0	2	0	0	1	0	1	3	5	18
	01055	CHINA SOUTH AIR	1	2	1	1	1	1	1	1	3	0	0	3	15
	03311	CHINA STATE CON	1	0	0	0	1	0	0	1	1	0	0	0	4
	00966	CHINA TAIPING	0	2	3	0	1	0	1	0	2	0	0	1	10
	00728	CHINA TELECOM	0	0	3	0	0	1	2	2	0	2	0	0	10
	00788	CHINA TOWER	6	4	20	5	7	8	11	16	18	17	5	4	121
	00762	CHINA UNICOM	2	0	6	4	2	1	3	10	4	4	0	0	36
	02202	CHINA VANKE	1	1	1	1	0	0	1	0	3	0	1	0	9
	00552	CHINACOMSERVICE	2	0	0	0	4	1	2	0	0	3	1	0	13
	01313	CHINARES CEMENT	0	1	3	0	0	0	0	1	2	1	2	1	11
	03320	CHINARES PHARMA	0	0	0	0	0	0	0	0	0	1	0	0	1
	00354	CHINASOFT INT'L	2	0	1	0	0	0	3	0	0	1	1	2	10
	01929	CHOW TAI FOOK	1	0	0	0	0	0	0	0	1	1	0	1	4
	03908	CICC	0	1	2	1	1	4	4	0	1	0	2	0	16
	00267	CITIC	0	3	0	1	1	1	0	0	1	1	1	2	11
	00998	CITIC BANK	0	1	1	0	1	1	0	0	2	1	1	1	9
	06030	CITIC SEC	10	5	3	4	5	6	18	4	6	6	1	11	79
	01113	CK ASSET	4	3	10	1	3	3	2	6	6	4	4	5	51
	00001	CKH HOLDINGS	5	2	11	6	3	1	2	3	2	2	6	3	46

Number of newly listed derivative warrants by underlying assets, 2020 (continued)

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	01038	CKI HOLDINGS	0	0	3	1	1	0	0	1	3	0	0	0	9
	00002	CLP HOLDINGS	2	0	0	1	0	0	1	0	1	0	1	0	6
	03968	CM BANK	3	1	5	1	7	2	4	3	2	6	5	6	45
	03993	CMOC	1	2	3	0	2	0	3	3	1	3	3	5	26
	00867	CMS	0	0	2	0	0	0	1	1	0	0	0	0	4
	03323	CNBM	9	1	4	1	2	2	4	8	4	1	5	7	48
	00883	CNOOC	17	1	35	9	7	5	3	4	17	6	9	10	123
	02342	COMBA	0	0	0	0	0	0	0	3	3	0	0	1	7
	00914	CONCH CEMENT	3	0	5	2	4	4	3	2	1	3	0	9	36
	01138	COSCO SHIP ENGY	0	0	0	1	1	0	0	1	0	0	0	0	3
	01919	COSCO SHIP HOLD	0	0	0	0	0	0	0	0	0	0	0	1	1
	02007	COUNTRY GARDEN	5	5	6	1	0	3	4	1	6	3	1	3	38
	02601	CPIC	3	1	7	0	2	1	4	0	2	1	3	6	30
	01766	CRRC	0	1	4	1	1	0	1	0	1	0	1	0	10
	03898	CRRC TIMES ELEC	0	0	3	1	0	0	5	0	2	1	0	0	12
	06066	CSC	0	1	1	0	0	2	2	2	1	1	0	2	12
	02822	CSOP A50 ETF	1	10	6	5	6	2	16	0	0	2	9	6	63
	01093	CSPC PHARMA	4	3	6	6	3	3	12	5	5	7	8	12	74
	00489	DONGFENG GROUP	0	1	0	1	0	1	0	1	0	3	8	13	28
	00257	EB ENVIRONMENT	1	2	2	1	0	0	0	2	0	0	0	0	8
	00708	EVERG VEHICLE	0	0	0	0	0	0	0	0	2	1	0	0	3
	03333	EVERGRANDE	8	4	8	5	4	7	5	3	5	4	0	2	55
	01995	EVERSUNSHINE LS	0	0	0	0	0	0	0	1	0	0	0	0	1
	00778	FORTUNE REIT	0	0	0	0	0	0	0	0	1	0	0	0	1
	00656	FOSUN INTL	1	2	2	1	1	2	1	0	1	3	2	1	17
	02196	FOSUN PHARMA	1	2	1	2	1	0	3	0	1	1	2	4	18
	03606	FUYAO GLASS	1	0	0	0	0	0	0	0	0	0	0	0	1
	02238	GAC GROUP	2	0	0	1	1	1	3	0	0	2	2	4	16
	00027	GALAXY ENT	9	19	20	20	17	14	5	24	15	14	12	15	184
	01772	GANFENGLITHIUM	0	0	0	0	0	0	0	0	0	0	5	8	13
	00175	GEELY AUTO	8	16	12	5	8	9	46	10	25	6	62	22	229
	01548	GENSCRIPT BIO	1	0	7	0	3	0	1	3	3	1	3	1	23
	01776	GF SEC	0	0	0	1	0	1	1	1	0	0	2	0	6
	02208	GOLDWIND	0	0	1	0	0	0	0	2	0	1	0	0	4
	02333	GREATWALL MOTOR	2	1	1	1	1	0	3	4	2	7	5	15	42
	02869	GREENTOWN SER	0	1	0	0	0	2	0	0	1	1	0	2	7
	00270	GUANGDONG INV	1	0	0	0	0	1	1	1	0	1	0	1	6
	06862	H Aidilao	0	2	1	0	4	0	1	5	4	2	0	2	21
	06837	HAITONG SEC	4	1	0	0	2	1	5	1	1	0	2	2	19
	00101	HANG LUNG PPT	0	1	0	0	0	0	0	0	1	0	0	0	2
	00011	HANG SENG BANK	1	1	10	5	0	5	0	3	2	1	3	6	37
	03692	HANSOH PHARMA	0	2	0	1	1	1	1	0	1	2	1	0	10
	01558	HEC PHARM	0	1	1	2	0	3	2	1	0	0	0	0	10
	00012	HENDERSON LAND	4	1	2	0	2	0	0	0	2	0	0	0	11
	01044	HENGAN INT'L	1	3	0	1	0	1	4	1	2	0	2	3	18
	00003	HK & CHINA GAS	1	0	1	0	0	1	2	0	1	0	0	1	7
	00388	HKEX	33	14	42	16	32	74	102	28	20	21	25	43	450
	00005	HSBC HOLDINGS	8	27	48	43	27	22	21	21	51	15	62	35	380
	06886	HTSC	3	2	0	2	0	1	2	0	1	0	2	0	13
	01347	HUA HONG SEMI	6	0	1	1	2	6	9	2	0	0	0	7	34
	00902	HUANENG POWER	1	2	2	1	0	0	2	1	1	1	0	2	13
	01398	ICBC	6	8	6	8	7	1	18	11	18	19	3	14	119
	00799	IGG	0	0	0	0	0	0	0	0	0	0	2	2	4
	01801	INNOVENT BIO	0	0	0	0	0	1	4	4	2	3	3	8	25
	02823	ISHARES A50	5	5	6	3	5	1	9	0	1	1	5	1	42
	09618	JD-SW	-	-	-	-	-	76	39	38	24	8	49	39	273
	00358	JIANGXI COPPER	2	1	4	2	1	2	5	0	1	1	2	7	28
	09922	JIUMAOJIU	0	0	0	0	0	0	0	0	3	2	1	5	11
	01951	JXR	0	3	2	0	0	0	4	0	2	0	1	5	17
	01888	KB LAMINATES	1	0	2	2	0	1	0	0	2	0	0	2	10
	00636	KERRY LOG NET	0	0	0	0	0	0	0	0	0	1	0	0	1
	00148	KINGBOARD HLDG	0	0	1	0	0	0	1	0	0	0	1	0	3
	00268	KINGDEE INT'L	2	3	1	2	3	3	5	2	1	2	1	4	29
	03888	KINGSOFT	6	2	0	0	3	3	8	3	1	1	3	3	33
	01797	KOOLEARN	0	0	0	0	3	0	3	1	0	1	1	0	9
	00135	KUNLUN ENERGY	2	3	6	1	3	2	4	1	1	0	1	7	31
	00992	LENOVO GROUP	2	3	8	3	5	0	5	4	1	6	4	14	55
	02331	LI NING	1	6	2	0	5	3	1	2	3	2	2	4	31
	00823	LINK REIT	1	2	7	3	5	10	1	1	1	3	7	6	47
	00960	LONGFOR GROUP	0	0	0	0	3	0	0	1	0	1	0	0	5
	03339	LONKING	0	0	0	0	0	0	0	1	0	0	0	0	1
	02186	LUYE PHARMA	3	1	1	2	0	2	0	0	2	1	0	3	15
	00323	MAANSHAN IRON	0	1	0	0	0	0	0	0	0	0	0	0	1
	01896	MAOYAN ENT	0	0	0	0	0	0	0	0	0	0	1	0	1
	01268	MEIDONG AUTO	0	0	0	0	0	0	0	1	0	2	0	2	5
	03690	MEITUAN-W	32	52	64	20	82	140	69	90	53	25	87	48	762
	00200	MELCO INT'L DEV	1	1	3	0	1	0	0	1	1	2	1	0	11
	02319	MENGNIU DAIRY	3	0	3	0	2	1	3	2	5	1	1	1	22
	02282	MGM CHINA	1	0	1	0	0	1	1	1	0	0	0	1	6
	00853	MICROPORT	0	0	1	1	1	0	4	0	2	4	2	4	19
	01988	MINSHENG BANK	0	0	1	0	1	0	0	1	2	1	0	0	6
	00066	MTR CORPORATION	11	2	6	3	1	4	1	4	2	0	2	1	37
	03918	NAGACORP	1	0	0	0	0	1	1	1	0	0	0	0	4
	01336	NCI	2	1	7	2	4	2	6	3	3	1	3	6	40
	02689	ND PAPER	1	1	1	1	1	1	0	1	6	1	0	3	17
	00017	NEW WORLD DEV	1	3	1	2	0	0	0	1	0	0	3	0	11

Number of newly listed derivative warrants by underlying assets, 2020 (continued)

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	01316	NEXTEER	0	0	1	0	1	0	0	0	0	0	0	0	2
	09999	NTES-S	-	-	-	-	-	64	47	26	16	12	8	21	194
	01833	PA GOODDOCTOR	13	7	2	17	15	4	5	13	5	15	3	16	115
	00857	PETROCHINA	8	7	22	2	3	0	6	8	6	8	3	7	80
	03759	PHARMARON	0	0	0	0	0	0	0	1	1	0	1	0	3
	01339	PICC GROUP	1	4	4	0	2	0	4	5	2	1	0	4	27
	02328	PICC P&C	0	1	1	1	0	0	3	1	2	1	0	2	12
	02318	PING AN	54	36	63	30	29	20	38	19	21	19	32	54	415
	06049	POLY PPT SER	0	0	0	0	0	0	0	0	1	0	1	0	2
	00119	POLY PROPERTY	0	0	0	0	0	0	0	1	0	0	0	1	2
	00006	POWER ASSETS	1	0	0	0	0	0	1	0	0	0	0	0	2
	01658	PSBC	0	0	1	1	1	0	3	2	7	1	2	3	21
	02777	R&F PROPERTIES	3	1	2	0	1	0	0	1	0	0	1	0	9
	01337	RAZER	0	0	1	0	1	0	0	0	0	0	1	3	6
	01910	SAMSONITE	1	0	0	0	0	0	0	0	0	0	0	0	1
	01928	SANDS CHINA LTD	9	17	13	11	9	4	12	10	9	16	9	15	134
	01787	SD GOLD	2	0	3	0	3	1	7	1	1	3	0	5	26
	02727	SH ELECTRIC	0	0	0	0	0	0	0	0	0	0	0	1	1
	02607	SH PHARMA	0	1	0	0	0	0	0	0	1	0	0	0	2
	00338	SHANGHAI PEICHEM	0	0	1	1	0	0	0	0	0	0	0	0	2
	00152	SHENZHEN INT'L	2	0	1	0	0	1	1	0	0	2	1	0	8
	00548	SHENZHENEXPRESS	0	0	0	1	0	0	0	0	0	0	1	0	2
	02313	SHENZHOU INTL	2	0	0	0	1	0	1	3	1	0	0	1	9
	00813	SHIMAO GROUP	1	0	1	1	1	0	1	0	2	0	0	2	9
	00016	SHK PPT	9	3	7	3	15	8	2	2	1	4	5	4	63
	01177	SINO BIOPHARM	6	3	4	3	2	9	3	2	1	3	1	13	50
	00386	SINOPEC CORP	9	6	11	4	4	5	4	6	10	7	6	8	80
	01099	SINOPHARM	1	2	3	0	1	3	1	1	2	4	0	3	21
	00880	SJM HOLDINGS	4	0	0	0	0	3	3	2	2	1	1	2	18
	00981	SMIC	13	9	7	4	24	24	39	20	23	10	12	8	193
	02840	SPDR GOLD TRUST	4	3	9	2	3	0	13	3	0	2	8	6	53
	02005	SSY GROUP	0	1	1	0	0	0	0	0	0	0	0	0	2
	02888	STANCHART	0	0	0	1	1	0	0	1	1	1	1	1	7
	01918	SUNAC	10	6	8	9	7	3	7	5	10	2	0	3	70
	06808	SUNART RETAIL	0	1	1	2	1	0	1	2	3	3	0	0	14
	02382	SUNNY OPTICAL	9	13	25	13	10	1	18	11	7	12	24	18	161
	00019	SWIRE PACIFIC A	0	1	1	3	0	1	1	3	2	0	1	3	16
	01972	SWIREPROPERTIES	0	2	0	0	0	1	0	0	0	0	0	0	3
	00669	TECHTRONIC IND	0	2	2	1	0	1	0	3	2	0	1	1	13
	00700	TENCENT	88	72	95	63	101	102	157	60	64	59	82	89	1032
	00322	TINGYI	0	1	0	0	0	0	0	1	0	1	0	1	4
	00780	TONGCHENG-ELONG	0	0	0	0	0	0	0	0	0	0	1	0	1
	02800	TRACKER FUND	0	0	1	0	0	0	0	0	0	0	0	0	1
	00696	TRAVELSKY TECH	1	0	0	1	1	0	0	0	1	0	0	1	5
	00168	TSINGTAO BREW	0	0	2	1	1	1	3	0	0	0	2	1	11
	03331	VINDA INT'L	0	1	0	0	1	0	1	0	1	1	0	0	5
	00345	VITASOY INT'L	0	0	2	1	0	1	1	1	0	0	1	0	7
	00151	WANT WANT CHINA	0	0	0	0	0	0	0	1	0	0	0	1	2
	02338	WEICHAI POWER	0	0	0	0	0	0	1	0	0	1	0	0	2
	01066	WEIGAO GROUP	0	0	1	1	2	2	4	1	0	0	0	1	12
	02013	WEIMOB INC	0	0	0	0	0	0	0	3	7	0	0	9	19
	00288	WH GROUP	3	0	1	1	1	3	0	1	0	0	1	1	12
	00004	WHARF HOLDINGS	1	0	1	0	0	0	0	1	0	0	1	0	4
	01997	WHARF REIC	1	1	0	0	0	0	0	1	0	0	0	0	3
	02359	WUXI APPTEC	0	0	0	0	0	0	1	0	0	0	1	0	2
	02269	WUXI BIO	1	2	1	0	0	0	3	7	3	3	0	10	30
	01128	WYNN MACAU	3	0	2	0	1	1	1	0	1	1	0	1	11
	01810	XIAOMI-W	88	55	24	17	43	25	101	40	106	15	46	85	645
	00868	XINYI GLASS	0	0	0	0	0	1	1	1	1	2	0	4	10
	00968	XINYI SOLAR	2	0	0	1	2	1	1	4	1	7	1	5	25
	01171	YANZHOU COAL	3	3	0	0	1	1	0	0	1	2	1	1	13
	01579	YIHAI INTL	0	0	1	1	1	1	4	2	1	0	1	4	16
	03669	YONGDA AUTO	0	0	0	0	0	0	0	0	0	1	0	0	1
	00123	YUEXIU PROPERTY	0	0	0	0	0	0	0	1	0	0	0	0	1
	06169	YUHUA EDU	0	2	0	0	1	0	2	0	0	0	0	0	5
	06060	ZA ONLINE	4	3	7	2	3	0	12	5	13	5	5	12	71
	01818	ZHAOJIN MINING	3	3	1	0	2	0	3	1	2	0	1	3	19
	00881	ZHONGSHENG HLDG	0	0	1	0	1	1	1	2	1	2	0	0	9
	01458	ZHOU HEI YA	0	0	0	0	0	0	0	0	0	0	1	0	1
	02899	ZIJIN MINING	6	2	1	3	8	1	9	2	4	3	6	7	52
	01157	ZOOMLION	0	0	0	0	0	0	3	0	0	0	0	0	3
	00763	ZTE	7	9	6	1	9	17	4	0	6	2	7	2	70
Currency		EUR/USD	0	2	0	0	0	0	0	0	0	0	2	0	4
		USD/CNH	0	2	0	0	0	0	0	0	0	0	0	2	4
		USD/JPY	0	2	0	0	0	0	0	0	0	0	0	2	4
		GBP/USD	0	2	0	0	0	0	0	0	0	0	2	0	4
Mineral		Gold	2	0	0	1	0	0	2	2	0	0	0	0	7
		Oil Futures	2	0	2	0	0	0	0	0	0	0	2	0	6
		Total													12,128

Number of expired listed derivative warrants by underlying assets, 2020

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index		Hang Seng China Enterprises Index	0	4	17	5	2	9	1	1	14	8	2	6	69
		Hang Seng Index	20	54	43	23	46	62	57	20	112	55	34	62	588
		Nikkei 225 Stock Average Index	0	0	7	0	0	5	0	0	7	0	1	2	22
Stock															
	01530	3SBIO	1	0	0	0	0	0	0	0	0	0	0	0	1
	02018	AAC TECH	9	4	8	9	9	9	19	5	16	19	9	9	125
	01288	ABC	7	0	6	4	4	0	1	1	1	2	0	3	29
	03383	AGILE GROUP	2	0	0	0	0	0	1	0	0	0	0	0	3
	01299	AIA	12	20	23	16	8	38	10	5	27	30	9	20	218
	00753	AIR CHINA	1	0	0	0	2	1	0	0	0	1	0	3	8
	00241	ALI HEALTH	0	0	0	0	0	0	2	3	3	7	6	5	26
	01060	ALI PICTURES	0	0	0	0	0	1	0	0	0	0	0	0	1
	-	ALIBABA GROUP	2	0	0	0	0	0	0	0	0	0	0	0	2
	03319	A-LIVING	2	0	0	2	2	0	3	0	2	0	2	4	17
	00347	ANGANG STEEL	3	2	1	1	3	0	0	0	0	0	0	0	10
	02020	ANTA SPORTS	0	1	1	1	0	1	2	1	1	2	1	4	15
	00522	ASM PACIFIC	1	0	0	3	1	0	1	1	1	0	2	0	10
	02357	AVICHINA	2	0	0	0	0	0	3	0	0	2	0	2	9
	09988	BABA-SW	0	0	0	0	26	68	94	29	72	79	38	57	463
	01958	BAIC MOTOR	1	0	0	0	1	3	0	0	0	0	1	1	7
	00874	BAIYUNSHAN PH	0	0	3	0	0	1	1	1	2	1	0	0	9
	03988	BANK OF CHINA	11	6	4	3	2	0	0	7	5	5	3	5	51
	00023	BANK OF E ASIA	0	0	1	1	0	0	1	0	0	0	0	0	3
	03328	BANKCOMM	6	0	1	2	1	0	0	0	2	1	0	0	13
	02009	BBMG	0	1	0	0	2	0	0	0	1	0	2	0	6
	06160	BEIGENE	0	0	0	0	0	0	0	0	0	0	0	1	1
	00694	BEIJING AIRPORT	0	0	0	1	0	0	0	1	0	1	0	0	3
	00371	BJ ENT WATER	0	0	0	0	0	0	0	0	0	0	1	0	1
	02388	BOC HONG KONG	6	8	7	7	3	2	5	0	1	4	1	6	50
	03998	BOSIDENG	0	0	0	0	1	2	0	1	1	0	0	0	5
	01114	BRILLIANCE CHI	0	1	1	0	1	1	1	2	1	1	0	0	9
	01876	BUD APAC	0	0	24	17	6	4	8	4	4	6	2	6	81
	01211	BYD COMPANY	5	8	10	3	3	5	9	12	2	7	1	4	69
	00285	BYD ELECTRONIC	2	1	2	2	0	0	7	3	1	1	5	0	24
	03188	CAM CSI300	5	3	1	1	4	1	0	5	2	1	2	2	27
	00699	CAR INC	0	1	0	0	0	0	0	2	0	0	0	0	3
	00293	CATHAY PAC AIR	1	1	4	2	1	0	2	0	0	3	1	1	16
	00939	CCB	9	12	4	9	6	5	14	16	6	12	16	10	119
	06098	CG SERVICES	3	0	1	0	1	2	2	1	2	0	6	1	19
	01816	CGN POWER	0	0	0	1	0	1	0	0	1	1	0	0	4
	06881	CGS	2	0	0	3	0	0	2	3	0	2	0	1	13
	02600	CHALCO	1	1	2	1	0	1	2	1	1	1	1	0	12
	00606	CHINA AGRI	0	1	0	0	0	0	0	0	0	0	0	0	1
	03883	CHINA AOYUAN	1	0	0	0	2	0	0	1	0	2	0	0	6
	01359	CHINA CINDA	0	0	0	0	0	2	0	0	0	0	0	0	2
	01898	CHINA COAL	1	1	0	0	0	2	0	0	0	0	0	0	4
	01800	CHINA COMM CONS	2	0	3	0	1	0	1	4	2	1	1	5	20
	03969	CHINA CRSC	3	0	0	1	0	1	0	0	1	0	0	0	6
	00670	CHINA EAST AIR	1	0	3	0	0	3	2	1	2	1	1	0	14
	00165	CHINA EB LTD	4	1	0	0	2	1	1	1	0	1	1	0	12
	06186	CHINA FEIHE	0	0	0	0	0	0	0	0	0	0	3	0	3
	00384	CHINA GAS HOLD	2	0	2	0	0	0	1	1	0	1	0	0	7
	02799	CHINA HUARONG	0	0	0	0	0	1	0	0	0	0	0	0	1
	02628	CHINA LIFE	11	12	8	17	12	15	16	3	31	19	14	31	189
	00772	CHINA LIT	1	1	1	5	1	1	8	0	1	1	5	0	25
	00144	CHINA MER PORT	1	1	0	0	1	1	0	0	0	0	0	0	4
	00941	CHINA MOBILE	8	14	10	7	8	19	26	7	19	32	17	16	183
	02883	CHINA OILFIELD	1	0	0	0	2	0	3	0	1	0	0	1	8
	00688	CHINA OVERSEAS	4	2	2	0	2	0	1	1	0	3	5	4	24
	02669	CHINA OVS PPT	0	0	0	0	0	0	0	0	0	0	1	0	1
	01186	CHINA RAIL CONS	5	0	0	0	0	0	0	2	3	1	0	1	12
	00390	CHINA RAILWAY	0	0	1	1	1	1	1	0	0	1	0	2	8
	01508	CHINA RE	0	0	0	0	0	1	0	0	0	0	0	0	1
	00291	CHINA RES BEER	1	1	2	2	0	0	2	0	2	0	1	3	14
	01193	CHINA RES GAS	0	0	0	0	0	1	0	0	0	0	0	0	1
	01109	CHINA RES LAND	2	0	1	0	4	1	1	0	2	0	2	3	16
	00836	CHINA RES POWER	0	1	0	1	1	0	1	0	0	0	1	1	6
	01088	CHINA SHENHUA	3	0	1	2	2	1	0	0	2	0	2	3	16
	01055	CHINA SOUTH AIR	4	0	0	0	3	1	0	0	0	3	0	1	12
	03311	CHINA STATE CON	1	0	0	3	0	0	1	0	0	0	0	0	5
	00966	CHINA TAIPING	1	0	0	2	1	0	1	2	1	2	1	0	11
	00728	CHINA TELECOM	3	2	0	2	0	2	1	0	2	3	0	1	16
	00788	CHINA TOWER	18	9	4	8	6	10	10	0	0	10	6	5	86
	00762	CHINA UNICOM	4	0	4	4	2	2	3	1	2	6	4	6	38
	02202	CHINA VANKE	0	0	2	1	0	0	1	1	1	1	1	0	8
	00552	CHINACOMSERVICE	1	1	1	0	1	0	1	1	1	0	0	2	9
	01313	CHINARES CEMENT	2	1	1	0	0	0	2	0	2	1	0	1	10
	00354	CHINASOFT INT'L	0	0	0	0	1	0	1	0	1	1	0	0	4
	01929	CHOW TAI FOOK	0	0	0	1	0	0	0	0	1	0	0	0	2
	03908	CICC	0	2	0	0	0	0	3	1	1	1	0	1	9
	00267	CITIC	4	0	2	0	0	0	0	0	0	0	0	1	7
	00998	CITIC BANK	1	2	1	0	1	1	1	1	1	0	1	2	12
	06030	CITIC SEC	11	1	8	9	0	2	9	7	7	8	2	7	71

Number of expired listed derivative warrants by underlying assets, 2020 (continued)

Underlying Type		Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
		01113	CK ASSET	2	5	4	6	0	10	3	2	6	5	0	9	52
		00001	CKH HOLDINGS	2	6	7	1	0	3	4	4	5	6	2	2	42
		01038	CKI HOLDINGS	0	2	0	0	0	1	2	0	1	1	2	1	10
		00002	CLP HOLDINGS	2	0	0	2	2	0	0	0	0	2	0	0	8
		03968	CM BANK	13	1	2	1	7	2	5	2	1	4	7	3	48
		03993	CMOC	3	2	1	3	0	0	2	2	3	3	1	0	20
		00867	CMS	3	0	2	0	0	2	0	0	1	1	0	0	9
		03323	CNBM	3	0	3	0	0	3	3	1	10	6	3	0	32
		00883	CNOOC	9	6	6	9	3	6	4	1	13	17	19	16	109
		00914	CONCH CEMENT	4	2	2	0	2	1	3	2	4	1	4	3	28
		02866	COSCO SHIP DEV	1	0	0	0	0	1	0	0	0	0	0	0	2
		01138	COSCO SHIP ENGY	0	0	0	0	0	0	0	0	0	0	0	1	1
		01919	COSCO SHIP HOLD	0	1	0	0	1	0	0	0	0	0	0	0	2
		02007	COUNTRY GARDEN	4	7	8	8	2	3	6	7	1	5	3	3	57
		02601	CPIC	3	1	5	2	0	0	0	3	5	1	3	4	27
		01766	CRRC	3	0	0	0	0	0	2	1	0	1	3	3	13
		03898	CRRC TIMES ELEC	1	0	2	0	2	2	1	0	1	1	1	2	13
		02822	CSOP A50 ETF	8	4	1	6	3	15	9	3	3	1	8	4	65
		01093	CSPC PHARMA	7	10	9	11	9	12	10	5	8	4	2	7	94
		00489	DONGFENG GROUP	2	0	0	0	0	3	0	0	0	0	1	0	6
		00257	EB ENVIRONMENT	0	0	0	0	0	3	0	1	0	0	0	3	7
		00330	ESPRIT HOLDINGS	0	0	0	0	0	0	1	0	0	0	0	0	1
		03333	EVERGRANDE	4	6	4	10	3	0	2	5	9	5	3	6	57
		02038	FIH	0	0	1	0	0	0	0	0	0	0	0	0	1
		00778	FORTUNE REIT	0	0	0	0	0	0	1	0	0	0	0	0	1
		00656	FOSUN INTL	2	0	1	2	2	0	0	2	1	1	0	2	13
		02196	FOSUN PHARMA	0	0	0	1	1	0	0	1	0	2	0	2	7
		03606	FUYAO GLASS	1	0	0	0	0	0	1	0	0	0	0	0	2
		02238	GAC GROUP	2	0	1	6	1	1	0	3	0	4	1	1	20
		00027	GALAXY ENT	14	7	2	12	9	18	16	9	13	18	16	34	168
		03800	GCL-POLY ENERGY	0	0	0	0	0	0	0	0	0	0	0	2	2
		00175	GEELY AUTO	11	11	7	14	14	7	5	14	4	7	10	22	126
		01548	GENSCRIPT BIO	0	1	1	0	3	2	1	0	3	2	4	3	20
		01776	GF SEC	1	0	0	1	1	1	0	1	0	1	2	0	8
		02208	GOLDWIND	0	2	0	0	1	0	0	0	0	0	0	1	4
		02333	GREATWALL MOTOR	5	0	2	5	4	2	2	0	0	0	0	0	20
		02869	GREENTOWN SER	0	0	0	0	0	0	0	1	0	0	0	1	2
		00270	GUANGDONG INV	0	0	1	0	1	0	0	0	0	0	1	0	3
		01112	H&H INTL HLDG	0	0	1	0	0	0	0	0	0	0	0	0	1
		06862	HAIDILAO	0	1	4	2	1	2	1	0	1	1	3	1	17
		01169	HAIER ELEC	0	0	0	1	1	0	0	0	0	0	0	0	2
		06837	HAITONG SEC	2	0	0	1	1	1	3	3	2	0	1	2	16
		00101	HANG LUNG PPT	0	0	0	0	0	0	1	0	0	1	0	0	2
		00011	HANG SENG BANK	3	4	2	5	5	1	6	4	4	5	3	3	45
		03692	HANSOH PHARMA	0	0	0	0	0	0	0	1	0	2	0	2	5
		01558	HEC PHARM	0	0	0	0	0	0	0	0	0	0	0	1	1
		00012	HENDERSON LAND	3	3	3	1	3	1	2	0	0	2	2	0	20
		01044	HENGAN INT'L	1	1	1	0	2	0	3	1	0	1	0	2	12
		00003	HK & CHINA GAS	0	0	2	1	0	0	2	1	2	1	0	0	9
		00388	HKEX	16	21	30	23	21	45	19	11	27	21	43	79	356
		00005	HSBC HOLDINGS	11	11	25	12	7	23	10	12	41	39	30	38	259
		02828	HSCEI ETF	0	2	1	0	0	0	0	0	0	0	0	0	3
		06886	HTSC	0	0	2	1	1	1	2	1	2	0	4	2	16
		01347	HUA HONG SEMI	1	0	0	3	1	2	3	3	3	0	3	4	23
		00816	HUADIAN FUXIN	0	0	0	0	1	0	0	0	0	0	0	0	1
		00902	HUANENG POWER	1	1	1	2	0	0	2	1	1	1	1	0	11
		01398	ICBC	6	11	5	6	7	2	6	16	7	10	7	5	88
		00799	IGG	2	1	1	0	1	1	0	0	1	1	0	0	8
		02823	ISHARES A50	4	0	8	5	1	4	9	2	2	1	10	4	50
		09618	JD-SW	0	0	0	0	0	0	0	0	0	0	3	56	59
		00358	JIANGXI COPPER	0	0	0	3	2	1	4	0	2	0	1	3	16
		01951	JXR	0	0	0	0	1	2	0	0	1	1	3	1	9
		01888	KB LAMINATES	0	2	1	0	3	1	1	0	1	0	0	3	12
		00148	KINGBOARD HLDG	0	0	1	0	1	0	0	0	0	0	1	0	3
		00268	KINGDEE INT'L	0	2	0	2	5	0	0	2	4	1	3	1	20
		03888	KINGSOFT	5	1	7	6	5	1	3	5	3	0	1	2	39
		00135	KUNLUN ENERGY	2	0	3	2	2	1	2	3	2	5	1	1	24
		00992	LENOVO GROUP	5	2	5	5	2	3	2	3	3	8	2	4	44
		00494	LI & FUNG	0	0	0	0	2	0	0	0	0	0	0	0	2
		02331	LI NING	2	1	6	1	3	1	3	5	2	1	4	4	33
		00823	LINK REIT	5	5	2	6	6	2	1	14	5	2	1	9	58
		03380	LOGAN GROUP	0	0	1	0	0	1	0	0	0	0	0	0	2
		00960	LONGFOR GROUP	0	0	0	0	0	0	1	0	2	0	1	1	5
		02186	LUYE PHARMA	0	1	1	0	0	0	0	0	0	3	1	2	8
		00323	MAANSHAN IRON	1	0	0	0	1	0	0	0	0	1	0	0	3
		01317	MAPLELEAF EDU	1	0	0	0	0	1	1	0	0	0	0	0	3
		01357	MEITU	4	0	1	1	2	2	2	0	3	3	0	1	19
		03690	MEITUAN-W	14	5	13	54	32	46	55	27	47	32	42	128	495
		00200	MELCO INT'L DEV	1	0	2	0	0	0	0	1	1	2	1	2	10
		02319	MENGNIU DAIRY	4	2	1	3	1	0	0	2	5	2	0	1	21
		02282	MGM CHINA	4	1	1	1	1	0	0	0	2	1	0	0	11
		00853	MICROPORT	0	0	0	0	0	0	0	0	1	1	1	0	3
		01988	MINSHENG BANK	1	1	1	0	1	3	1	1	0	0	0	1	10
		00066	MTR CORPORATION	7	8	8	9	5	7	6	0	2	9	4	3	68
		03918	NAGACORP	0	0	0	0	0	0	0	0	1	0	0	2	3
		01336	NCI	2	4	3	2	7	2	2	1	4	7	3	3	40
		02689	ND PAPER	0	0	1	2	1	1	0	1	2	0	1	0	9

Number of expired listed derivative warrants by underlying assets, 2020 (continued)

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	00017	NEW WORLD DEV	1	1	1	1	1	4	2	1	1	0	1	4	18
	01316	NEXTEER	0	1	0	1	0	0	1	0	0	0	0	1	4
	09999	NTES-S	0	0	0	0	0	0	0	0	0	0	1	39	40
	01833	PA GOODDOCTOR	0	0	0	2	5	4	8	6	7	14	10	18	74
	00857	PETROCHINA	4	8	2	7	4	2	11	6	6	18	5	1	74
	01339	PICC GROUP	1	2	0	1	2	1	0	0	2	1	5	1	16
	02328	PICC P&C	4	0	0	6	0	1	0	0	0	1	0	4	16
	02318	PING AN	29	27	43	28	31	42	38	19	31	43	17	43	391
	00119	POLY PROPERTY	0	0	0	0	0	1	0	0	0	0	0	1	2
	00006	POWER ASSETS	1	0	2	1	0	0	0	1	0	1	0	0	6
	01658	PSBC	1	0	1	0	2	1	1	0	1	1	1	1	10
	02777	R&F PROPERTIES	0	0	0	3	0	0	2	3	0	0	0	0	8
	01337	RAZER	0	0	0	0	0	1	0	0	1	0	0	1	3
	01910	SAMSONITE	0	0	1	0	0	0	0	0	0	0	0	0	1
	01928	SANDS CHINA LTD	6	6	6	6	3	11	6	6	19	15	5	16	105
	01787	SD GOLD	0	0	0	0	1	0	2	0	2	2	2	1	10
	02727	SH ELECTRIC	0	1	0	0	0	0	0	0	0	0	0	0	1
	02607	SH PHARMA	0	0	0	0	1	0	0	0	0	0	1	0	2
	00338	SHANGHAI PECEM	0	0	0	0	1	0	0	0	0	0	2	0	3
	00152	SHENZHEN INT'L	1	1	0	0	0	0	2	0	1	1	1	1	8
	02313	SHENZHOU INTL	0	1	1	0	2	0	1	0	0	0	1	0	6
	00813	SHIMAO GROUP	2	0	1	1	0	1	0	0	1	0	1	1	8
	00016	SHK PPT	7	25	4	9	3	10	10	4	0	2	3	15	92
	01177	SINO BIOPHARM	1	4	11	5	4	2	8	6	6	1	0	9	57
	00083	SINO LAND	0	0	0	0	1	0	1	0	0	0	0	0	2
	00386	SINOPEC CORP	4	4	5	5	1	2	7	8	4	6	6	9	61
	01099	SINOPHARM	0	1	2	2	0	1	0	1	2	1	1	1	12
	00880	SJM HOLDINGS	4	1	1	1	0	2	0	4	2	3	0	1	19
	00981	SMIC	1	1	10	4	4	2	11	5	8	7	7	28	88
	02840	SPDR GOLD TRUST	3	4	0	3	0	3	1	3	9	6	0	8	40
	02005	SSY GROUP	0	0	0	0	0	0	0	1	0	0	0	1	2
	02888	STANCHART	1	1	2	0	1	0	1	0	0	1	0	1	8
	01918	SUNAC	4	6	5	1	8	9	16	4	5	14	4	11	87
	06808	SUNART RETAIL	0	0	0	0	0	1	0	0	2	1	0	1	5
	02382	SUNNY OPTICAL	9	13	21	17	15	14	15	4	15	11	12	23	169
	00019	SWIRE PACIFIC A	0	2	3	4	1	0	1	0	3	1	1	2	18
	01972	SWIREPROPERTIES	0	0	0	1	0	0	0	0	0	0	0	2	3
	00669	TECHTRONIC IND	0	1	0	0	0	0	2	0	0	0	2	1	6
	00700	TENCENT	58	55	73	66	33	81	109	77	91	56	74	93	866
	00322	TINGYI	0	0	1	0	0	0	0	0	0	0	0	1	2
	02800	TRACKER FUND	1	3	2	1	1	0	1	0	0	0	0	1	10
	00696	TRAVELSKY TECH	0	0	0	0	0	0	1	0	0	1	0	0	2
	00168	TSINGTAO BREW	1	0	0	2	0	1	1	0	1	0	1	2	9
	03331	VINDA INT'L	0	0	0	0	0	0	0	1	0	0	1	0	2
	00345	VITASOY INT'L	3	0	0	2	1	0	1	1	2	1	0	1	12
	00151	WANT WANT CHINA	0	0	0	0	0	0	0	0	0	0	0	2	2
	02338	WEICHAI POWER	1	1	0	0	0	1	0	0	0	0	0	0	3
	01066	WEIGAO GROUP	0	1	0	0	0	1	0	2	0	1	1	3	9
	00288	WH GROUP	2	0	0	2	4	3	6	2	1	3	1	2	26
	00004	WHARF HOLDINGS	0	0	2	0	1	1	0	0	1	0	1	0	6
	01997	WHARF REIC	0	2	0	0	0	1	0	0	1	0	0	0	4
	02269	WUXI BIO	0	0	3	0	0	0	3	2	1	1	1	0	11
	01128	WYNN MACAU	1	1	5	1	0	0	2	0	0	3	1	1	15
	01810	XIAOMI-W	30	8	21	14	7	20	52	56	53	39	12	61	373
	00868	XINYI GLASS	1	0	0	0	0	0	0	1	0	1	0	0	3
	00968	XINYI SOLAR	0	0	1	0	0	0	2	0	0	0	1	1	5
	01171	YANZHOU COAL	4	5	2	2	2	0	2	2	1	2	0	1	23
	01579	YIHAI INTL	0	0	0	1	1	1	2	1	0	0	2	1	9
	00123	YUEXIU PROPERTY	0	0	0	0	1	0	0	1	0	0	0	0	2
	06169	YUHUA EDU	2	0	0	0	0	0	0	0	0	0	2	0	4
	06060	ZA ONLINE	3	3	3	7	10	2	1	2	9	6	1	6	53
	01818	ZHAOJIN MINING	1	1	2	0	3	2	3	0	0	1	4	1	18
	00881	ZHONGSHENG HLDG	1	0	0	0	1	0	2	0	0	2	0	0	6
	02899	ZIJIN MINING	5	1	2	1	1	1	6	5	3	4	5	2	36
	00763	ZTE	1	0	3	2	3	3	5	6	12	4	5	14	58
Currency															
		EUR/USD	0	0	0	0	0	0	0	0	0	0	0	2	2
		GBP/USD	0	0	4	0	0	0	0	0	0	0	0	2	6
		USD/CNH	0	0	2	0	0	0	0	0	0	0	0	2	4
		USD/JPY	0	0	2	0	0	0	0	0	0	0	0	2	4
Mineral															
		Gold	0	0	4	0	0	0	0	0	2	0	0	1	7
		Oil Futures	0	0	0	1	1	0	1	1	0	0	2	0	6
		Total													9,192

Market value and trading for derivative warrants, 2016 -2020

Year/Month	Number *	Market value * (HK\$mil)	Turnover value (HK\$mil)	(%) of market total	
2016	3,705	42,844.32	2,726,995.81	16.75	
2017	6,094	165,919.23	3,007,655.35	13.95	
2018	6,763	70,515.61	3,866,143.16	14.70	
2019	4,571	61,435.12	2,418,136.05	11.30	
2020	7,507	198,813.60	2,260,916.90	7.06	
2020	Jan	4,945	55,321.38	190,840.93	9.21
	Feb	5,172	63,250.61	162,029.14	7.05
	Mar	5,747	77,350.74	146,093.87	4.69
	Apr	5,702	75,554.37	132,931.68	6.97
	May	5,984	83,576.13	144,369.62	6.30
	Jun	6,172	131,599.84	188,157.51	7.16
	Jul	6,724	183,019.64	241,415.97	6.66
	Aug	7,019	239,095.10	243,812.39	8.62
	Sep	7,135	161,351.74	242,848.12	9.03
	Oct	6,995	190,036.49	196,943.90	9.34
	Nov	7,460	209,376.32	213,024.65	6.31
	Dec	7,507	198,813.60	158,449.12	5.13

* Month-end or year-end figures.

Number of newly listed inline warrants by underlying assets, 2020

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index		Hang Seng Index	27	35	36	40	30	21	19	12	5	0	0	10	235
Stock															
	01299	AIA	11	5	4	13	15	0	0	9	8	7	3	0	75
	09988	BABA-SW	0	0	0	0	13	26	13	14	8	11	10	7	102
	00939	CCB	10	5	5	7	7	2	10	2	0	0	0	0	48
	00388	HKEX	11	7	0	0	0	0	0	0	0	0	0	0	18
	03690	MEITUAN-W	0	14	7	10	6	8	10	4	8	4	0	3	74
	02318	PING AN	14	17	3	13	8	2	7	5	7	5	3	0	84
	00981	SMIC	0	0	0	0	0	0	0	0	0	0	4	0	4
	00700	TENCENT	20	10	12	15	8	12	12	5	9	9	10	9	131
	01810	XIAOMI-W	0	0	0	0	0	0	0	0	0	0	9	0	9
		Total													780

Number of expired inline warrants by underlying assets, 2020

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index		Hang Seng Index	47	61	61	58	44	51	34	25	46	35	39	44	545
Stock															
	01299	AIA	6	8	7	8	12	6	13	5	8	10	8	12	103
	09988	BABA-SW	0	0	0	0	0	0	0	0	0	0	2	15	17
	00939	CCB	3	8	8	5	5	4	4	9	11	7	10	6	80
	00941	CHINA MOBILE	4	11	16	7	6	1	7	2	1	1	3	3	62
	00388	HKEX	0	0	0	0	0	6	9	7	4	10	5	9	50
	03690	MEITUAN-W	0	0	0	0	0	0	3	0	9	3	5	8	28
	02318	PING AN	3	16	13	9	17	10	12	17	11	11	12	10	141
	00700	TENCENT	10	12	21	14	11	9	14	15	17	15	10	21	169
		Total													1,195

Market value and trading for inline warrants, 2020

Year/Month	Number *	Market value * (HK\$mil)	Turnover value (HK\$mil)	(%) of market total
2019 #	922	6,216.69	159.76	0.00
2020	507	2,455.56	1,073.76	0.00
2019				
Jan	942	6,035.92	99.98	0.00
Feb	919	5,343.59	60.47	0.00
Mar	860	4,959.26	118.04	0.00
Apr	857	4,987.36	95.56	0.00
May	849	4,687.56	93.49	0.00
Jun	833	4,269.03	86.53	0.00
Jul	808	4,030.77	97.51	0.00
Aug	779	4,043.75	84.51	0.00
Sep	717	3,734.06	74.65	0.00
Oct	661	3,229.33	56.86	0.00
Nov	606	3,060.65	98.97	0.00
Dec	507	2,455.56	107.20	0.00

* Month-end or year-end figures.

Trading in Inline Warrants commenced on 18 July 2019

Number of newly listed callable bull/bear contracts by underlying assets, 2020

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	June	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng China Enterprises Index	10	20	50	15	12	16	23	6	11	6	14	9	192
		Hang Seng Index	1386	1585	2697	1471	1383	1660	1861	1330	1220	912	1358	968	17,831
		Hang Seng TECH Index	-	-	-	-	-	-	0	0	0	0	179	109	288
Stock															
	02018	AAC TECH	0	31	41	23	31	25	52	20	0	0	21	15	259
	01299	AIA	42	24	81	35	24	44	27	31	18	7	47	29	409
	00241	ALI HEALTH	0	0	0	0	0	0	0	21	12	8	3	44	88
	02020	ANTA SPORTS	0	0	0	0	10	2	2	7	0	0	0	0	21
	09988	BABA-SW	0	228	325	148	172	190	332	230	230	214	337	216	2,622
	03988	BANK OF CHINA	2	4	3	2	3	1	6	1	4	9	1	4	40
	01876	BUD APAC	0	8	6	9	11	0	0	0	0	0	0	0	34
	01211	BYD COMPANY	0	0	0	0	0	0	0	0	0	0	75	51	126
	03188	CAM CSI300	6	17	6	3	1	1	23	9	0	0	5	5	76
	00939	CCB	8	16	11	10	7	4	13	14	18	18	13	13	145
	02628	CHINA LIFE	24	26	59	13	15	36	59	28	12	12	14	25	323
	00941	CHINA MOBILE	26	14	55	22	13	13	10	11	9	11	16	4	204
	01109	CHINA RES LAND	0	2	5	4	12	0	0	0	0	0	0	0	23
	00788	CHINA TOWER	11	9	26	3	2	1	13	7	18	6	9	2	107
	03968	CM BANK	7	2	14	5	3	3	8	10	0	0	0	0	52
	00883	CNOOC	23	8	58	28	12	16	4	3	7	3	16	0	178
	02601	CPIC	2	4	0	0	0	0	0	0	0	0	0	0	6
	02822	CSOP A50 ETF	20	52	0	0	28	9	39	19	0	0	0	0	167
	01093	CSPC PHARMA	13	6	9	11	15	0	0	17	7	4	17	0	99
	00027	GALAXY ENT	37	60	68	49	29	22	22	36	15	17	22	15	392
	00175	GEELY AUTO	21	29	18	19	20	28	81	21	39	17	65	41	399
	00388	HKEX	96	49	115	43	92	195	340	120	84	52	95	86	1,367
	00005	HSBC HOLDINGS	11	22	73	59	22	27	25	43	61	43	62	36	484
	01398	ICBC	5	11	11	8	2	4	8	7	12	16	6	18	108
	02823	ISHARES A50	5	20	0	0	0	0	0	0	0	0	0	0	25
	09618	JD-SW	-	-	-	-	-	0	0	0	0	0	164	103	267
	00823	LINK REIT	7	4	19	11	9	25	9	11	0	0	0	0	95
	03690	MEITUAN-W	123	113	176	88	200	344	318	323	282	194	419	240	2,820
	09999	NTES-S	-	-	-	-	-	0	0	0	0	0	58	18	76
	01833	PA GOODDOCTOR	0	0	0	0	0	0	0	30	3	4	13	0	50
	02318	PING AN	89	61	167	29	23	32	68	28	21	25	39	45	627
	01928	SANDS CHINA LTD	0	0	0	0	35	19	2	6	8	11	11	0	92
	00016	SHK PPT	9	6	18	10	19	0	0	11	1	1	13	0	88
	01177	SINO BIOPHARM	10	3	4	6	9	0	0	12	0	1	3	14	62
	00386	SINOPEC CORP	7	9	0	0	0	0	0	0	0	0	0	0	16
	00981	SMIC	0	0	0	0	55	72	171	73	67	38	43	6	525
	01918	SUNAC	17	29	41	25	11	21	20	2	14	2	3	5	190
	02382	SUNNY OPTICAL	32	20	71	24	32	22	43	31	11	12	24	24	346
	00700	TENCENT	316	332	497	294	416	409	703	455	361	301	526	286	4,896
	02800	TRACKER FUND	1	0	0	2	0	0	0	0	0	0	0	0	3
	02013	WEIMOB INC	0	0	0	0	0	0	0	0	0	0	0	5	5
	02269	WUXI BIO	0	5	4	5	2	0	3	8	11	13	3	14	68
	01810	XIAOMI-W	145	89	89	33	92	66	200	124	297	129	203	229	1,696
	00763	ZTE	0	0	0	0	13	25	8	6	0	0	0	0	52
Total															38,039

Number of expired callable bull/bear contracts by underlying assets, 2020

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	June	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng China Enterprises Index	13	11	51	10	19	17	24	3	14	6	7	7	182
		Hang Seng Index	1422	1262	2420	1286	1640	1791	1953	1460	1263	975	1202	1066	17,740
		Hang Seng TECH Index	-	-	-	-	-	-	0	0	0	0	41	107	148
Stock															
	02018	AAC TECH	2	10	46	16	28	17	40	27	19	2	14	18	239
	01288	ABC	0	2	7	2	0	0	1	1	0	2	0	0	15
	01299	AIA	55	21	79	27	34	35	35	28	17	17	47	27	422
	00241	ALI HEALTH	0	0	0	0	0	0	0	12	6	4	6	27	55
	02020	ANTA SPORTS	0	0	0	0	0	0	1	0	0	1	3	5	10
	09988	BABA-SW	0	107	250	111	167	172	254	176	246	183	391	316	2,373
	03988	BANK OF CHINA	8	1	11	3	0	0	9	4	6	2	2	3	49
	01876	BUD APAC	0	1	7	4	3	2	2	0	0	0	4	7	30
	01211	BYD COMPANY	0	0	0	0	0	0	0	0	0	0	33	37	70
	03188	CAM CSI300	9	6	11	0	2	1	9	3	2	2	4	5	54
	00939	CCB	21	5	15	7	9	2	30	13	22	7	8	14	153
	02628	CHINA LIFE	31	25	48	5	20	20	50	26	19	10	13	29	296
	00941	CHINA MOBILE	15	14	45	15	16	16	15	5	23	17	23	17	221
	01109	CHINA RES LAND	0	0	6	0	4	0	3	1	0	0	2	4	20
	00788	CHINA TOWER	8	14	29	0	6	6	3	3	16	9	10	11	115
	06030	CITIC SEC	0	0	2	1	1	0	1	0	0	0	0	0	5
	00001	CKH HOLDINGS	2	0	0	0	0	0	0	0	0	0	0	0	2
	03968	CM BANK	6	6	17	2	2	1	7	4	3	2	1	5	56
	00883	CNOOC	18	11	42	11	10	13	11	6	15	9	17	23	186
	00914	CONCH CEMENT	1	0	0	0	0	0	0	0	0	0	0	0	1
	02007	COUNTRY GARDEN	0	0	3	0	0	0	0	0	0	0	0	0	3
	02601	CPIC	8	2	11	0	1	0	0	0	0	0	0	0	22
	02822	CSOP A50 ETF	53	16	37	4	8	7	30	7	3	5	6	2	178
	01093	CSPC PHARMA	9	9	18	7	6	3	10	2	14	9	13	8	108
	03333	EVERGRANDE	1	1	1	0	0	0	0	0	0	0	0	0	3
	00027	GALAXY ENT	41	45	67	29	31	30	24	31	19	17	34	38	406
	00175	GEELY AUTO	32	17	37	5	22	18	65	20	37	18	50	36	357
	00388	HKEX	96	51	127	28	77	134	260	98	120	75	104	120	1,290
	00005	HSBC HOLDINGS	19	20	51	38	20	32	22	39	53	28	54	37	413
	02828	HSCEI ETF	0	1	0	0	0	0	0	0	0	0	0	0	1
	01398	ICBC	16	5	17	3	6	6	13	7	17	5	8	14	117
	02823	ISHARES A50	15	9	12	0	0	1	5	2	0	0	0	0	44
	09618	JD-SW	-	-	-	-	-	-	0	0	0	0	72	102	174
	00823	LINK REIT	8	4	14	3	14	15	9	3	3	3	4	5	85
	03690	MEITUAN-W	130	95	197	56	149	206	285	247	317	198	379	362	2,621
	09999	NTES-S	-	-	-	-	-	0	0	0	0	0	22	20	42
	01833	PA GOODDOCTOR	0	0	0	0	0	0	0	14	4	3	13	1	35
	00857	PETROCHINA	3	5	1	0	0	0	0	0	0	0	0	0	9
	02318	PING AN	120	62	140	20	31	35	70	29	40	27	41	53	668
	01928	SANDS CHINA LTD	5	0	14	0	12	14	5	5	9	12	9	4	89
	00016	SHK PPT	13	6	20	2	19	2	1	3	6	5	6	8	91
	01177	SINO BIOPHARM	5	3	9	2	1	3	2	5	4	5	4	8	51
	00386	SINOPEC CORP	12	4	10	1	0	1	1	0	1	0	0	1	31
	00981	SMIC	0	0	0	0	27	39	137	66	79	29	38	28	443
	01918	SUNAC	24	12	55	17	18	12	23	5	17	12	3	12	210
	02382	SUNNY OPTICAL	34	22	55	16	35	25	37	36	9	10	30	32	341
	00700	TENCENT	286	301	516	249	371	345	532	493	396	336	536	438	4,799
	02800	TRACKER FUND	0	0	3	0	0	0	0	0	1	0	0	1	5
	00288	WH GROUP	1	2	9	0	0	0	0	0	1	0	0	0	13
	02269	WUXI BIO	0	0	6	0	1	0	1	2	9	2	4	3	28
	01810	XIAOMI-W	85	82	118	17	72	70	169	98	228	125	196	170	1,430
	00763	ZTE	0	0	0	0	12	10	8	2	11	0	5	1	49
Total															36,598

Market value and trading for Callable Bull/Bear Contracts, 2016-2020

Year/Month	Number *	Market value * (HK\$mil)	Turnover value (HK\$mil)	(%) of market total	
2016	1,844	46,982.16	1,371,572.74	8.42	
2017	3,374	114,271.35	1,189,171.77	5.52	
2018	2,847	66,272.76	1,837,313.96	6.99	
2019	3,298	86,307.46	1,995,445.69	9.33	
2020	4,739	182,430.04	2,335,291.78	7.29	
2020	Jan	3,182	78,725.50	169,242.42	8.17
	Feb	3,830	91,757.83	219,974.49	9.58
	Mar	4,013	169,920.86	200,450.67	6.44
	Apr	4,523	148,235.15	179,251.19	9.40
	May	4,464	153,858.96	186,295.73	8.13
	Jun	4,695	148,866.64	213,310.52	8.11
	Jul	5,031	167,467.35	233,911.44	6.46
	Aug	5,156	189,103.35	209,586.59	7.41
	Sep	4,940	159,780.09	222,972.91	8.29
	Oct	4,854	173,930.55	168,347.85	7.98
	Nov	5,292	192,837.49	187,212.16	5.55
	Dec	4,739	182,430.04	144,735.81	4.68

* Month-end or year-end figures.

Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40110	NWD (MTN) Ltd.	4.89% Guaranteed Notes 2049	100.0000	2049/12/31	2020/01/02
40112	Yuzhou Properties Co. Ltd.	7.375% Senior Notes 2026	100.0000	2026/01/13	2020/01/14
40117	KWG Group Holdings Ltd.	7.40% Senior Notes 2027	100.0000	2027/01/13	2020/01/14
40111	Golden Wheel Tiandi Holdings Co. Ltd.	12.95% Senior Notes 2022	99.9430	2022/03/14	2020/01/15
40113	Central Plaza Development Ltd.	3.85% Guaranteed Notes 2025	100.0000	2025/07/14	2020/01/15
40114	Logan Property Holdings Co. Ltd.	5.75% Senior Notes 2025	100.0000	2025/01/14	2020/01/15
40115	Sino-Ocean Land Treasure IV Ltd.	4.75% Guaranteed Notes 2030	98.3520	2030/01/14	2020/01/15
40116	Zhenro Properties Group Ltd.	7.875% Senior Notes 2024	99.9450	2024/04/14	2020/01/15
05948 #	China SCE Group Holdings Ltd.	7.375% Senior Notes 2024	103.1810	2024/04/09	2020/01/15
40119	Shengzhou Investment Holdings Co., Ltd.	6.00% Bond 2023	100.0000	2023/01/15	2020/01/16
40118	Zhengzhou Urban Construction Inv. Group Co. Ltd.	3.80% Notes 2025	100.0000	2025/01/16	2020/01/17
40120	CIFI Holdings (Group) Co. Ltd.	6.00% Senior Notes 2025	100.2700	2025/07/16	2020/01/17
40121	Dangdai International Investments Ltd.	10.50% Guaranteed Senior Notes 2023	100.0000	2023/01/16	2020/01/17
40122	Shangrao Investment Holdings International Co. Ltd.	4.30% Guaranteed Bonds 2023	100.0000	2023/01/16	2020/01/17
40123	Bank of China Ltd., Hong Kong Branch	2.375% Notes 2025	99.5980	2025/01/16	2020/01/17
40124	Bank of China Ltd., Hong Kong Branch	Floating Rate Notes 2022	100.0000	2022/01/16	2020/01/17
40125	Bank of China (Luxembourg) S.A.	0.125% Notes 2023	99.7580	2023/01/16	2020/01/17
40126	Redsun Properties Group Ltd.	9.70% Senior Notes 2023	98.5340	2023/04/16	2020/01/17
05732 #	Logan Property Holdings Co. Ltd.	6.90% Senior Notes 2024	104.8930	2024/06/09	2020/01/20
40127	Panda Green Energy Group Ltd.	8.00% Senior Notes 2022	100.0000	2022/01/20	2020/01/21
40128	China Development Bank	1.25% Notes 2023	99.7470	2023/01/21	2020/01/22
40129	China Merchants Securities Co., Ltd.	2.625% Notes 2023	99.9510	2023/01/21	2020/01/22
40023 #	Jiayuan International Group Ltd.	13.75% Senior Notes 2023	100.0000	2023/02/18	2020/01/22
40130	Sun Hung Kai Properties (Capital Market) Ltd.	2.875% Guaranteed Notes 2030	99.4060	2030/01/21	2020/01/22
40131	Bengbu Gaoxin Investment Group Co., Ltd.	4.10% Credit Enhanced Bonds 2023	100.0000	2023/01/21	2020/01/22
40132	China ZhengTong Auto Services Holdings Ltd	12.00% Senior Notes 2022	100.0000	2022/01/21	2020/01/22
40133	Rugao Economic and Trade Development Company	5.95% Bonds 2023	100.0000	2023/01/22	2020/01/23
40134	Bank of Communications Co., Ltd. HK Branch	Floating Rate Notes 2023	100.0000	2023/01/22	2020/01/23
40135	Bank of Communications Co., Ltd. HK Branch	2.25% Notes 2022	100.0000	2022/01/22	2020/01/23
85916	Bank of Communications Co., Ltd. HK Branch	3.15% CNY Notes 2022	100.0000	2022/01/22	2020/01/23
40136	Dexin China Holdings Co. Ltd.	11.875% Senior Notes 2022	97.9000	2022/04/23	2020/01/24
40137	SOCAM Development Ltd.	6.25% Senior Notes 2022	100.0000	2022/01/23	2020/01/24
40139	Wanda Properties Overseas Ltd.	6.875% Guaranteed Bonds 2023	100.0000	2023/07/23	2020/01/24
40130 #	Sun Hung Kai Properties (Capital Market) Ltd.	2.875% Guaranteed Notes 2030	99.9130	2030/01/21	2020/01/30
40138	DaFa Properties Group Ltd.	11.50% Senior Notes 2021	98.8680	2021/01/27	2020/01/30
40141	Swire Pacific MTN Financing (HK) Ltd.	2.875% Guaranteed Notes 2030	98.8670	2030/01/30	2020/01/31
40140	ZGC International Investinent Ltd.	2.875 Bonds 2023	99.8230	2023/02/03	2020/02/04
40142	Series 2020-1 WST Trust	Senior Class A Mortgage Backed FRN 2051	100.0000	2051/08/17	2020/02/05
40023 #	Jiayuan International Group Ltd.	13.75% Senior Notes 2023	100.0000	2023/02/18	2020/02/07
40144	Viva Incubator Investment Management Ltd.	2.50% Guaranteed Convertible Bonds 2025	100.0000	2025/02/11	2020/02/12
40143	Pioneer Reward Ltd.	Floating Rate Guaranteed Bonds 2023	100.0000	2023/02/12	2020/02/13
40145	CSSC Capital 2015 Ltd.	2.50% Guaranteed Bonds 2025	99.6410	2025/02/13	2020/02/14
40146	CSSC Capital 2015 Ltd.	3.00% Guaranteed Bonds 2030	99.6920	2030/02/13	2020/02/14
04236 #	The Govt of the HKSAR of the PRC	1.97% Bonds 2029	104.1500	2029/01/17	2020/02/14
40132 #	China ZhengTong Auto Services Holdings Ltd	12.00% Senior Notes 2022	99.9800	2022/01/21	2020/02/17
85909 #	Agricultural Development Bank of China	3.40% CNY Bonds 2024	101.8350	2024/11/06	2020/02/18

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40150	Sino Biophannaceutical Ltd.	Zero Coupon Convertible Bonds 2025	100.0000	2025/02/17	2020/02/18
40147	CICC Hong Kong Finance 2016 MTN Ltd.	Floating Rate Notes 2023	100.0000	2023/02/18	2020/02/19
40148	Hong Kong Mortgage Corporation Ltd., The	1.90% Notes 2021	100.0000	2021/02/18	2020/02/19
40149	Zhongliang Holdings Group Co. Ltd.	8.75% Senior Notes 2021	99.7680	2021/02/16	2020/02/19
40151	Far East Horizon Ltd.	3.375% Notes 2025	99.8810	2025/02/18	2020/02/19
40154	Chouzhou International Investment Ltd.	4.00% Guaranteed Bonds 2025	99.6410	2025/02/18	2020/02/19
40153	Tongling Development Investment Group Co. Ltd.	3.98% Credit Enhanced Bonds 2023	100.0000	2023/02/19	2020/02/20
04079	Hong Kong Monetary Authority, The	1.52% Exchange Fund Notes 2022	100.1500	2022/02/21	2020/02/21
40152	SF Holding Investment Ltd.	2.875% Guaranteed Notes 2030	98.9350	2030/02/20	2020/02/21
40155	Sinosing Services Pte. Ltd.	2.25% Guaranteed Bonds 2025	99.6530	2025/02/20	2020/02/21
40156	Sinosing Services Pte. Ltd.	2.625% Guaranteed Bonds 2030	99.2770	2030/02/20	2020/02/21
40159	Yuzhou Properties Co. Ltd.	7.70% Senior Notes 2025	100.0000	2025/02/20	2020/02/21
40157	CCCI Treasure Ltd.	Subordinated Guaranteed Perpetual Sec.	100.0000	-	2020/02/24
40158	CCCI Treasure Ltd.	Subordinated Guaranteed Perpetual Sec. B	100.0000	-	2020/02/24
40160	Avenue International Holding Ltd.	3.10% Notes 2021	100.0000	2021/02/18	2020/02/24
40164	Huarong Finance 2019 Co., Ltd.	2.50% Guaranteed Notes 2023	99.7530	2023/02/24	2020/02/25
40165	Huarong Finance 2019 Co., Ltd.	Guaranteed Floating Rate Notes 2023	100.0000	2023/02/24	2020/02/25
40166	Huarong Finance 2019 Co., Ltd.	Guaranteed Floating Rate Notes 2025	100.0000	2025/02/24	2020/02/25
40167	Huarong Finance 2019 Co., Ltd.	3.375% Guaranteed Notes 2030	99.6800	2030/02/24	2020/02/25
05781 #	FEC Finance Ltd.	Senior Guaranteed Perpetual Capital Notes	103.9510	-	2020/02/25
04418 #	Jiayuan International Group Ltd.	13.75% Senior Notes 2022	99.5000	2022/03/11	2020/02/25
40161	CITIC Ltd.	2.45% Notes 2025	99.8500	2025/02/25	2020/02/26
40162	CITIC Ltd.	2.85% Notes 2030	99.7930	2030/02/25	2020/02/26
40163	Hong Kong JY Flower Ltd.	6.10% Guaranteed Bonds 2023	100.0000	2023/02/25	2020/02/26
40168	HK Onlink Technology Co., Ltd.	6.60% Guaranteed Bonds 2021	100.0000	2021/02/24	2020/02/27
40171	BPHL Capital Management Ltd.	5.95% Guaranteed Bonds 2023	100.0000	2023/02/27	2020/02/28
40172	Hong Seng Ltd.	9.875% Guaranteed Senior Notes 2022	97.8610	2022/08/27	2020/02/28
40169	Bocom Leasing Management Hong Kong Co. Ltd.	Floating Rate Notes 2023	100.0000	2023/03/02	2020/03/03
40170	Bocom Leasing Management Hong Kong Co. Ltd.	Floating Rate Notes 2025	100.0000	2025/03/02	2020/03/03
40177	China Overseas Finance (Cayman) VIII Ltd.	2.375% Guaranteed Notes 2025	99.5700	2025/03/02	2020/03/03
40178	China Overseas Finance (Cayman) VIII Ltd.	2.75% Guaranteed Notes 2030	99.2470	2030/03/02	2020/03/03
40179	China Overseas Finance (Cayman) VIII Ltd.	3.125% Guaranteed Notes 2035	99.8570	2035/03/02	2020/03/03
40180	Zhenro Properties Group Ltd.	5.60% Senior Notes 2021	100.0000	2021/02/28	2020/03/03
40173	Elect Global Investments Ltd.	Subordinated Guaranteed Perpetual Capital Securities	100.0000	-	2020/03/04
40174	Greenland Global Investment Ltd.	6.75% Notes 2024	100.0000	2024/03/03	2020/03/04
40175	Minmetals Bounteous Finance (BVI) Ltd.	Senior Guaranteed Perpetual Capital Securities	100.0000	-	2020/03/04
40176	Bank of Communications (Hong Kong) Ltd.	Non-Cumulative Sub Add Tier 1 CapSec	100.0000	-	2020/03/04
40183	Xi'an Qujiang Culture Holdings Co., Ltd.	5.50% Bonds 2023	100.0000	2023/03/04	2020/03/05
40181	Bank of China Ltd., Macau Branch	1.9% Notes 2022	100.0000	2022/03/05	2020/03/06
40182	Bank of China Ltd., Macau Branch	1.95% Notes 2022	100.0000	2022/03/05	2020/03/06
40184	Henderson Land MTN Ltd.	Fixed Rate Notes 2023	100.0000	2023/03/05	2020/03/06
40064 #	Changsha Pilot Investment Holdings Co., Ltd.	3.80% Notes 2022	100.3160	2022/11/14	2020/03/10
40185	JY Grandmark Holdings Ltd.	7.50% Senior Notes 2021	100.0000	2021/03/09	2020/03/11
40186	Haitong International Finance 2015 Ltd.	2.107% Guaranteed Bonds 2025	100.0000	2025/03/12	2020/03/13
40187	Xi'an Aerospace High-Tech Industry Development Co., Ltd.	6.50% Bonds 2023	100.0000	2023/03/12	2020/03/13
40188	MCC Holding (Hong Kong) Corporation Ltd.	Guaranteed Perpetual Securities	100.0000	-	2020/03/13

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
04237 #	The Govt of the HKSAR of the PRC	2.02% Bonds 2034	113.8000	2034/03/07	2020/03/13
40189	Zensun Enterprises Ltd.	12.50% Senior Notes 2022	98.9610	2022/09/13	2020/03/16
05143 #	Tuspark Forward Ltd.	7.95% Guaranteed Bonds 2021	98.4470	2021/08/15	2020/03/16
40194	Huantaihu International Investment Co., Ltd.	4.50% Guaranteed Bonds 2023	100.0000	2023/03/17	2020/03/18
40190	China Cinda (2020) I Management Ltd.	2.00% Guaranteed Senior Notes 2023	99.4460	2023/03/18	2020/03/19
40191	China Cinda (2020) I Management Ltd.	2.50% Guaranteed Senior Notes 2025	99.5060	2025/03/18	2020/03/19
40192	China Cinda (2020) I Management Ltd.	3.00% Guaranteed Senior Notes 2027	98.9720	2027/03/18	2020/03/19
40193	China Cinda (2020) I Management Ltd.	3.125% Guaranteed Senior Notes 2030	98.1600	2030/03/18	2020/03/19
40195	Beijing Capital Polaris Investment Co. Ltd.	2.80% Guaranteed Notes 2023	100.0000	2023/03/18	2020/03/19
40040 #	PCGI Intermediate Ltd.	4.75% Guaranteed Notes 2024	100.0000	2024/10/28	2020/03/19
85919	China Construction Bank Corporation Astana Branch	2.95% CNY Bonds 2022	100.0000	2022/03/19	2020/03/20
40196	Sichuan Gloport Investment Development Group Co. Ltd.	4.60% Guaranteed Notes 2023	100.0000	2023/03/20	2020/03/23
40197	Chenzhou Industry Investment Group Co., Ltd.	2.5% Credit Enhanced Bonds 2023	100.0000	2023/03/30	2020/03/31
40026 #	Kunming Municipal Urban Construction Inv. & Dev. Co., Ltd.	5.80% Notes 2022	99.6300	2022/10/17	2020/04/01
40199	AIA Group Ltd.	3.375% Notes 2030	99.7060	2030/04/07	2020/04/08
40198	IFC Development (Corporate Treasury) Ltd.	2.67% Guaranteed Notes 2030	100.0000	2030/04/08	2020/04/09
40019 #	Hongkong Ideal Investment Ltd.	14.75% Guaranteed Senior Notes 2022	99.9540	2022/10/08	2020/04/15
40200	PETRONAS Capital Ltd.	3.50% Guaranteed Notes 2030	98.7360	2030/04/21	2020/04/22
40201	PETRONAS Capital Ltd.	4.55% Guaranteed Notes 2050	100.0000	2050/04/21	2020/04/22
40202	PETRONAS Capital Ltd.	4.80% Guaranteed Notes 2060	100.0000	2060/04/21	2020/04/22
40025 #	Oriental Capital Co. Ltd.	7.00% Guaranteed Bonds 2022	100.5110	2022/10/17	2020/04/22
40203	Sunny Express Enterprises Corp.	2.625% Guaranteed Notes 2025	99.9490	2025/04/23	2020/04/24
40204	Sunny Express Enterprises Corp.	3.125% Guaranteed Notes 2030	99.7360	2030/04/23	2020/04/24
04215 #	The Govt of the HKSAR of the PRC	1.10% Bonds 2023	101.5000	2023/01/17	2020/04/24
40205	Lenovo Group Ltd.	5.875% Notes 2025	100.0000	2025/04/25	2020/04/27
40206	Hongkong International (Qingdao) Co. Ltd.	3.99% Bonds 2023	100.0000	2023/04/27	2020/04/28
40207	Pingdu State-owned Assets Management Co., Ltd.	5.75% Guaranteed Bonds 2023	100.0000	2023/04/28	2020/04/29
40208	Hong Kong Mortgage Corporation Ltd., The	1.18% Notes 2022	100.0000	2022/04/29	2020/05/04
40209	Xiaomi Best Time International Ltd.	3.375% Senior Notes 2030	98.7450	2030/04/29	2020/05/04
40210	Kingsoft Corporation Ltd.	0.625% Convertible Bonds 2025	100.0000	2025/04/29	2020/05/04
40213	Times China Holdings Ltd.	6.00% Senior Notes 2021	100.0000	2021/05/05	2020/05/07
40211	Wharf REIC Finance (BVI) Ltd.	2.375% Guaranteed Notes 2025	99.6770	2025/05/07	2020/05/08
40212	Wharf REIC Finance (BVI) Ltd.	2.875% Guaranteed Notes 2030	98.8930	2030/05/07	2020/05/08
40214	Shuifa International Holdings (BVI) Co., Ltd	4.30% Guaranteed Bonds 2023	100.0000	2023/05/08	2020/05/11
40215	Honghe Development Group Co. Ltd.	7.00% Bonds 2023	100.0000	2023/05/08	2020/05/11
40216	Amber Treasure Ventures Ltd.	3.50% Credit Enhanced Notes 2022	100.0000	2022/05/08	2020/05/11
40205 #	Lenovo Group Ltd.	5.875% Notes 2025	101.0000	2025/04/25	2020/05/13
40217	Sun Hung Kai Properties (Capital Market) Ltd.	2.75% Guaranteed Notes 2030	99.3760	2030/05/13	2020/05/14
40220	Sinopec Group Overseas Development (2018) Ltd.	2.15% Senior Notes 2025	99.8820	2025/05/13	2020/05/14
40221	Sinopec Group Overseas Development (2018) Ltd.	2.70% Senior Notes 2030	99.4960	2030/05/13	2020/05/14
40222	Sinopec Group Overseas Development (2018) Ltd.	3.35% Senior Notes 2050	100.0000	2050/05/13	2020/05/14
40219	AMTD International Inc.	Senior Perpetual Securities	100.0000	-	2020/05/15
04230 #	The Govt of the HKSAR of the PRC	1.68% Bonds 2026	106.7200	2026/01/21	2020/05/15
40218	Weimob Investment Ltd.	1.50% Guaranteed Convertible Bonds 2025	100.0000	2025/05/15	2020/05/18
40223	NWD (MTN) Ltd.	4.50% Guaranteed Notes 2030	99.9200	2020/05/19	2020/05/20

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Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
04080	Hong Kong Monetary Authority, The	0.33% Exchange Fund Notes 2022	100.0300	2022/05/23	2020/05/22
40224	Zhongsheng Group Holdings Ltd.	Zero Coupon Convertible Bonds 2025	100.0000	2025/05/21	2020/05/22
40225	Zhenro Properties Group Ltd.	8.35% Senior Notes 2024	99.9210	2024/03/10	2020/05/22
40226	Industrial Investment Overseas Ltd.	3.80% Guaranteed Notes 2021	100.0000	2021/05/20	2020/05/22
40229	Hong Kong Mortgage Corporation Ltd., The	0.97% Notes 2023 A	100.0000	2023/05/30	2020/05/27
40231	Xi'an Aerospace High-Tech Industry Development Co., Ltd.	3.95% Credit Enhanced Bonds 2023	100.0000	2023/05/26	2020/05/27
40227	Henderson Land MTN Ltd.	2.375% Notes 2025	99.7050	2025/05/27	2020/05/28
40228	Hong Kong Mortgage Corporation Ltd., The	0.66% Notes 2023	100.0000	2023/05/27	2020/05/28
40230	Jining High Tech Urban Construction Investment Co., Ltd.	5.50% Bonds 2023	100.0000	2023/05/27	2020/05/28
40233	Shouguang City Construction Investment Development Co. Ltd.	3.50% Credit Enhanced Bonds 2023	100.0000	2023/04/28	2020/05/29
40234	Tianjin Lingang Investment Holding Co., Ltd.	3.70% Credit Enhanced Notes 2023	100.0000	2023/05/28	2020/05/29
40235	Hong Kong Mortgage Corporation Ltd., The	1.00% Notes 2023	100.0000	2023/05/30	2020/05/29
40232	The Bank of East Asia, Ltd.	Dated Subordinated Notes 2030	99.5920	2030/05/29	2020/06/01
05642 #	Changde Economic Construction Investment Group Co., Ltd.	6.60% Bonds 2022	100.7400	2022/08/15	2020/06/02
40236	Hysan (MTN) Ltd.	2.875% Guaranteed Notes 2027	99.3100	2027/06/02	2020/06/03
40244	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	4.00% Bonds 2023	100.0000	2023/06/02	2020/06/03
40237	CITIC Securities Finance MTN Co., Ltd.	1.75% Guaranteed Notes 2023	99.9940	2023/06/03	2020/06/04
40238	CITIC Securities Finance MTN Co., Ltd.	2.00% Guaranteed Notes 2025	99.8630	2025/06/03	2020/06/04
40239	Tencent Holdings Ltd.	1.81% Senior Notes 2026	99.9880	2026/01/26	2020/06/04
40240	Tencent Holdings Ltd.	2.39% Senior Notes 2030	99.9730	2030/06/03	2020/06/04
40241	Tencent Holdings Ltd.	3.24% Senior Notes 2050	99.9430	2050/06/03	2020/06/04
40242	Tencent Holdings Ltd.	3.29% Senior Notes 2060	99.9340	2060/06/03	2020/06/04
40243	Jiangsu Yueda Group Co., Ltd.	5.70% Bonds 2023	100.0000	45081.000	43987.000
40245	Blue Bright Ltd.	2.50% Guaranteed Bonds 2025	99.5010	2025/06/04	2020/06/05
40246	Sands China Ltd.	3.80% Senior Notes 2026	99.9010	2026/01/08	2020/06/05
40247	Sands China Ltd.	4.375% Senior Notes 2030	99.5800	2030/06/18	2020/06/05
40248	Hongkong Electric Finance Ltd.	2.25% Notes 2030	99.3700	2030/06/09	2020/06/10
40249	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	4.45% Bonds 2023	100.0000	2023/06/10	2020/06/11
40251	Amber Treasure Ventures Ltd.	2.90% Credit Enhanced Notes 2022	100.0000	2022/06/11	2020/06/12
40227 #	Henderson Land MTN Ltd.	2.375% Notes 2025	100.2610	2025/05/27	2020/06/12
40253	Champion MTN Ltd.	2.95% Guaranteed Notes 2030	98.6000	2030/06/15	2020/06/16
40250	Zhenro Properties Group Ltd.	8.30% Senior Notes 2023	99.9400	2023/09/15	2020/06/16
40252	YONGDA Investment Ltd.	2.25% Guaranteed Bonds 2025	99.4560	2025/06/16	2020/06/17
40254	Greenland Global Investment Ltd.	6.25% Notes 2022	99.7180	2022/12/16	2020/06/17
40255	Zhengzhou Airport Economy Zone Xinggang Inv Group Co., Ltd.	3.40% Notes 2023	100.0000	2023/06/17	2020/06/18
40256	China Mengniu Dairy Co. Ltd.	1.875% Bonds 2025	99.5260	2025/06/17	2020/06/18
40257	China Mengniu Dairy Co. Ltd.	2.50% Bonds 2030	99.5870	2030/06/17	2020/06/18
40022 #	SDSC International Development Ltd.	5.90% Guaranteed Bonds 2022	99.9960	2022/10/17	2020/06/18
40258	MGM China Holdings Ltd.	5.25% Senior Notes 2025	100.0000	2025/06/18	2020/06/19
40264	Changxing Communications Investment Group Co., Ltd.	4.10% Bonds 2023	100.0000	2023/06/18	2020/06/19
04227 #	The Govt of the HKSAR of the PRC	2.13% Bonds 2030	112.9700	2030/07/16	2020/06/19
40259	Wynn Macau, Ltd.	5.50% Senior Notes 2026	100.0000	2026/01/15	2020/06/22

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Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40260	Castle Peak Power Finance Co. Ltd.	2.20% Notes 2030	99.1380	2030/06/22	2020/06/23
40262	NWD Finance (BVI) Ltd.	Guaranteed Senior Perpetual Cap Sec	100.0000	-	2020/06/23
40263	Xianyang Financial Holding Group Co., Ltd	3.80% Credit Enhanced Bonds 2023	100.0000	2023/06/22	2020/06/23
40265	Hong Kong Mortgage Corporation Ltd., The	0.95% Notes 2022	100.0000	2022/06/22	2020/06/23
40261	China Huadian Overseas Development 2018 Ltd.	Senior Guaranteed Perpetual Securities	100.0000	-	2020/06/24
40266	Skyland Mining (BVI) Ltd.	2.80% Guaranteed Bonds 2023	99.8860	2023/06/23	2020/06/24
40268	Hengjian International Investment Ltd.	1.875% Guaranteed Bonds 2025	99.5830	2025/06/23	2020/06/24
40269	CNPC Global Capital Ltd.	1.125% Guaranteed Bonds 2023	99.9880	2023/06/23	2020/06/24
40270	CNPC Global Capital Ltd.	1.35% Guaranteed Bonds 2025	99.8840	2025/06/23	2020/06/24
40271	CNPC Global Capital Ltd.	2.00% Guaranteed Bonds 2030	99.2810	2030/06/23	2020/06/24
40267	Shengzhou Investment Holdings Co., Ltd.	4.08% Bond 2023	100.0000	2023/06/24	2020/06/26
40277	COSL Singapore Capital Ltd.	1.875% Guaranteed Senior Notes 2025	99.8670	2025/06/24	2020/06/26
40278	COSL Singapore Capital Ltd.	2.50% Guaranteed Senior Notes 2030	99.0900	2030/06/24	2020/06/26
40274	Bluestar Finance Holdings Ltd.	Subordinated Guaranteed Perpetual Sec.	100.0000	-	2020/06/26
40284	China Construction Bank Corporation, HK Branch	Tier 2 Dated Capital Bonds 2030	99.8080	2030/06/24	2020/06/26
40286	Jiayuan International Group Ltd.	11.75% Senior Notes 2021	99.7720	2021/06/23	2020/06/26
40275	China Mengniu Dairy Co. Ltd.	1.50% Exchangeable Bonds 2023	100.0000	2023/06/24	2020/06/26
40279	Bank of China Ltd., Hong Kong Branch	1.25% Notes 2025	99.4700	2025/06/24	2020/06/26
40280	Bank of China Ltd., Hong Kong Branch	Floating Rate Notes 2023	100.0000	2023/06/24	2020/06/26
40276	Standard Chartered PLC	Fixed Rate Resetting Perpetual Subordinated Contingent Conv Sec	99.9920	-	2020/06/29
40281	Taiyuan Longcheng Development Investment Group Co., Ltd.	3.70% Notes 2023	100.0000	2023/06/26	2020/06/29
40282	Jingrui Holdings Ltd.	12.00% Senior Notes 2022	99.8780	2022/09/26	2020/06/29
40283	Yincheng International Holding Co., Ltd.	12.00% Senior Notes 2021	98.6440	2021/06/25	2020/06/29
40272	Zhongliang Holdings Group Co. Ltd.	8.75% Senior Notes 2021	99.5360	2021/06/27	2020/06/30
40285	Strategic International Group Ltd.	Zero Coupon Guaranteed Convertible Bonds 2025	100.0000	2025/06/29	2020/06/30
40287	Mega Wisdom Global Ltd.	5.625% Guaranteed Notes 2021	100.0000	2021/06/28	2020/06/30
40262 #	NWD Finance (BVI) Ltd.	Guaranteed Senior Perpetual Cap Sec	100.2000	-	2020/07/02
40289	CLP Power Hong Kong Financing Ltd.	2.125% Notes 2030	98.6120	2030/06/30	2020/07/02
40290	CLP Power Hong Kong Financing Ltd.	2.50% Notes 2035	98.9980	2035/06/30	2020/07/02
40273	Haitong International Securities Group Ltd.	2.125% Bonds 2023	99.8730	2023/07/02	2020/07/03
40288	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	3.00% Credit Enhanced Bonds 2023	100.0000	2023/07/02	2020/07/03
40291	Rugao Economic and Trade Development Company	3.70% Credit Enhanced Bonds 2023	100.0000	2023/07/02	2020/07/03
40292	New Oriental Education & Technology Group Inc.	2.125% Bonds 2025	99.7270	2025/07/02	2020/07/06
40294	Xingchang International Co. Ltd.	5.50% Guaranteed Bonds 2023	100.0000	2023/07/03	2020/07/06
85921	MTR Corporation Ltd.	2.45% Fixed Rate CNY Notes 2023	100.0000	2023/07/03	2020/07/06
40293	Neijiang Investment Holding Group Co. Ltd.	2.80% Credit Enhanced Bonds 2023	100.0000	2023/06/07	2020/07/08
40295	Universe Trek Ltd.	2.50% Guaranteed Convertible Bonds 2025	100.0000	2025/07/08	2020/07/09
40296	Times China Holdings Ltd.	6.75% Senior Notes 2025	100.0000	2025/07/08	2020/07/09
40298	Shanghai Port Group (BVI) Development 2 Co., Ltd.	1.50% Guaranteed Bonds 2025	99.6980	2025/07/13	2020/07/14
40299	Shanghai Port Group (BVI) Development 2 Co., Ltd.	2.375 Guaranteed Bonds 2030	99.6110	2030/07/13	2020/07/14
40300	Greenland China Holdings Ltd.	5.65% Senior Notes 2025	100.0000	2025/07/13	2020/07/14
40301	Bocom Leasing Management Hong Kong Co. Ltd.	1.75% Notes 2023	99.7360	2023/07/14	2020/07/15
40302	Bocom Leasing Management Hong Kong Co. Ltd.	Floating Rate Notes 2025	100.0000	2025/07/14	2020/07/15
40297	Neijiang Investment Holding Group Co. Ltd.	4.50% Guaranteed Bonds 2023	100.0000	2023/07/15	2020/07/16
40303	Overseas Chinese Town (Asia) Holdings Ltd.	Senior Guaranteed Perpetual Capital Securities A	100.0000	-	2020/07/16

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Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40305	Inventive Global Investments Ltd.	1.50% Guaranteed Notes 2023	99.7290	2023/07/15	2020/07/16
06005 #	Taiyuan State-owned Investment Group Co. Ltd.	6.20% Bonds 2022	103.3650	2022/07/09	2020/07/16
40304	ZhongAn Online P & C Insurance Co. Ltd.	3.125% Notes 2025	100.0000	2025/07/16	2020/07/17
40309	HFI International (HK) Ltd.	3.20% Guaranteed Bonds 2025	100.0000	2025/07/16	2020/07/17
40312	Yankuang Group (Cayman) Ltd.	4.00% Guaranteed Bonds 2023	99.4420	2023/07/16	2020/07/17
40306	Hong Kong Mortgage Corporation Ltd., The	0.93% Notes 2023	100.0000	2023/07/17	2020/07/20
40308	Hong Kong Mortgage Corporation Ltd., The	0.91% Notes 2023 B	100.0000	2023/07/17	2020/07/20
40313	Jiu An Finance Co. Ltd.	9.50% Guaranteed Bonds 2023	100.0000	2023/07/17	2020/07/20
40310	Industrial and Commercial Bank of China Ltd., HK Branch	1.00% Notes 2023	99.6380	2023/07/20	2020/07/21
40311	Industrial and Commercial Bank of China Ltd., HK Branch	1.20% Notes 2025	99.3250	2025/07/20	2020/07/21
40314	Bank of Communications Co., Ltd. HK Branch	Floating Rate Notes 2023	100.0000	2023/07/20	2020/07/21
40315	Bank of Communications Co., Ltd. HK Branch	Floating Rate Notes 2025	100.0000	2025/07/20	2020/07/21
40316	CIFI Holdings (Group) Co. Ltd.	5.95% Senior Notes 2025	99.9680	2025/10/20	2020/07/21
40126 #	Redsun Properties Group Ltd.	9.70% Senior Notes 2023	98.8520	2023/04/16	2020/07/22
40286 #	Jiayuan International Group Ltd.	11.75% Senior Notes 2021	99.7670	2021/06/23	2020/07/22
40317	CCBL (Cayman) 1 Corporation Ltd.	1.99% Guaranteed Notes 2025	99.8150	2025/07/21	2020/07/22
40318	Yun-Top International Investment Co. (BVI) Ltd.	5.10% Guaranteed Bonds 2023	100.0000	2023/07/21	2020/07/22
40319	Goodman HK Finance	3.00% Guaranteed Notes 2030	99.6060	2030/07/22	2020/07/23
40320	Greenland Global Investment Ltd.	6.125% Notes 2023	99.2030	2023/04/22	2020/07/23
40321	Greenland Global Investment Ltd.	7.25% Notes 2025	99.0600	2025/01/22	2020/07/23
40322	Huarong Leasing Management Hong Kong Co. Ltd.	2.50% Notes 2021	99.7560	2021/02/21	2020/07/24
40324	SPIC MTN Co. Ltd.	1.625% Guaranteed Notes 2025	99.9140	2025/07/27	2020/07/28
40325	Shanghai Pudong Dev Bank Co., Ltd., HK Branch	Floating Rate Notes 2023	100.0000	2023/07/27	2020/07/28
86657	Ministry of Finance of the PRC	2.10% CNY Bonds 2022	100.0000	2022/07/27	2020/07/28
86658	Ministry of Finance of the PRC	2.20% CNY Bonds 2025	100.0000	2025/07/27	2020/07/28
40323	Horse Gallop Finance Ltd.	1.70% Guaranteed Notes 2025	99.6850	2025/07/28	2020/07/29
40326	Taihu Pearl Oriental Co. Ltd.	3.15% Guaranteed Notes 2023	100.0000	2023/07/30	2020/07/31
40327	Xinzhan Ltd.	6.50% Guaranteed Notes 2021	100.0000	2021/07/29	2020/07/31
40328	DaFa Properties Group Ltd.	12.375% Senior Notes 2022	98.0840	2022/07/30	2020/07/31
40329	Chong Hing Bank Ltd.	Undated Non-Cumulative Subordinated Additional Tier 1 CapSec	100.0000	-	2020/08/04
40331	China Everbright Bank Co., Ltd., HK Branch	Floating Rate Notes 2023	100.0000	2023/08/03	2020/08/04
40108 #	HBIS Group Hong Kong Co., Ltd.	3.75% Guaranteed Notes 2022	99.9150	2022/12/18	2020/08/04
40330	CSCIF Asia Ltd.	1.75% Guaranteed Notes 2025	99.9380	2025/08/04	2020/08/05
40332	China Construction Bank Corporation, HK Branch	1.00% Notes 2023	99.7290	2023/08/04	2020/08/05
40333	China Construction Bank Corporation, HK Branch	1.25% Notes 2025	99.7060	2025/08/04	2020/08/05
40336	Haimen Zhongnan Investment Development (International) Co., Ltd.	9.00% Guaranteed Senior Notes 2021	99.6740	2021/08/03	2020/08/05
40316 #	CIFI Holdings (Group) Co. Ltd.	5.95% Senior Notes 2025	100.7500	2025/10/20	2020/08/06
40334	State Grid Overseas Investment (2016) Ltd.	1.00% Senior Guar Notes 2025	99.3310	2025/08/05	2020/08/06
40335	State Grid Overseas Investment (2016) Ltd.	1.625% Senior Guar Notes 2030	98.6850	2030/08/05	2020/08/06
40105 #	Tianjin State-owned Capital Investment and Management Co., Ltd.	1.55% Bonds 2029	-	2029/12/17	2020/08/10
40106 #	Tianjin State-owned Capital Investment and Management Co., Ltd.	1.60% Bonds 2039	-	2039/12/17	2020/08/10
40337	CICC Hong Kong Finance 2016 MTN Ltd.	1.75% Notes 2023	99.7730	2023/08/10	2020/08/11
40338	KWG Group Holdings Ltd.	5.95% Senior Notes 2025	100.0000	2025/08/10	2020/08/11

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Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40340	Chouzhou International Investment Ltd.	3.15% Guaranteed Bonds 2023	100.0000	2023/08/11	2020/08/12
40345	Central Plaza Development Ltd.	4.50 % Guaranteed Notes 2021	100.0000	2021/08/09	2020/08/12
85922	Agricultural Development Bank of China	2.60% CNY Bonds 2023	100.0000	2023/08/11	2020/08/12
85924	Agricultural Development Bank of China	2.85% CNY Bonds 2025	100.0000	2025/08/11	2020/08/12
40339	Zhongtai International Finance (BVI) Co. Ltd.	3.85% Guaranteed Bonds 2023	100.0000	2023/08/12	2020/08/13
40341	CMB International Leasing Management Ltd.	1.875% Notes 2025	99.7860	2025/08/12	2020/08/13
40342	CMB International Leasing Management Ltd.	2.75% Notes 2030	99.3250	2030/08/12	2020/08/13
40343	Yuzhou Group Holdings Co. Ltd.	7.85% Green Senior Notes 2026	100.0000	2026/08/12	2020/08/13
40171 #	BPHL Capital Management Ltd.	5.95% Guaranteed Bonds 2023	99.8800	2023/02/27	2020/08/13
40344	Huatong International Investment Holdings Co., Ltd.	3.35% Guaranteed Notes 2023	100.0000	2023/08/13	2020/08/14
40249 #	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	4.45% Bonds 2023	99.9960	2023/06/10	2020/08/14
85925	Zhenro Properties Group Ltd.	7.40% CNY Senior Notes 2021	100.0000	2021/08/12	2020/08/17
40024 #	E-House (China) Enterprise Holdings Ltd.	7.625% Senior Notes 2022	100.9900	2022/04/18	2020/08/17
40346	Hangzhou Qiantang New Area Construct & Investment Group Co., Ltd.	3.20% Bonds 2023	100.0000	2023/08/18	2020/08/19
40350	China Great Wall International Holdings V Ltd.	2.375% Guaranteed Bonds 2030	99.7970	2030/08/18	2020/08/19
40347	YUNDA Holding Investment Ltd.	2.25% Guaranteed Notes 2025	99.8640	2025/08/19	2020/08/20
40348	Hanhui Interntional Ltd.	4.00% Guranteed Bonds 2023	100.0000	2023/08/19	2020/08/20
40349	MTR Corporation Ltd.	1.625% Fixed Rate Notes 2030	98.6950	2030/08/19	2020/08/20
85926	CIFI Holdings (Group) Co. Ltd.	5.85% CNY Senior Notes 2023	100.0000	2023/08/19	2020/08/20
04081	Hong Kong Monetary Authority, The	0.10% Exchange Fund Notes 2022	99.8600	2022/08/22	2020/08/21
40351	Yunnan Energy Investment Overseas Finance Co. Ltd.	3.50% Guaranteed Bonds 2023	99.7740	2023/08/20	2020/08/21
40352	Yunnan Energy Investment Overseas Finance Co. Ltd.	Guaranteed Perpetual Capital Securities	99.1710	-	2020/08/21
40355	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	3.02% Notes 2023	100.0000	2023/08/24	2020/08/25
40353	Elect Global Investments Ltd.	Senior Guaranteed Perpetual Capital Securities	100.0000	-	2020/08/26
40354	ICBCIL Finance Co. Ltd.	1.75% Notes 2025	99.9760	2025/08/25	2020/08/26
40356	Overseas Chinese Town (Asia) Holdings Ltd.	Senior Guaranteed Perpetual Capital Securities B	100.0000	-	2020/08/26
40357	Wynn Macau, Ltd.	5.625% Senior Notes 2028	100.0000	2028/08/26	2020/08/27
40259 #	Wynn Macau, Ltd.	5.50% Senior Notes 2026	100.2500	2026/01/15	2020/08/27
40358	Boen Investment Ltd.	3.20% Credit Enhanced Bonds 2023	100.0000	2023/08/27	2020/08/28
40359	Hongkong Electric Finance Ltd.	1.875% Notes 2030	99.2680	2030/08/27	2020/08/28
40360	JIC Zhixin Ltd.	1.50% Guaranteed Bonds 2025	99.9230	2025/08/27	2020/08/28
40361	JIC Zhixin Ltd.	2.125% Guaranteed Bonds 2030	98.9220	2030/08/27	2020/08/28
40362	Guohui International (BVI) Co., Ltd.	3.15% Guaranteed Bonds 2025	100.0000	2025/08/27	2020/08/28
40363	Fuyuan Worldwide Ltd.	7.00% Guaranteed Bonds 2023	100.0000	2023/08/28	2020/08/31
40364	Huangshan Tourism Group Co., Ltd.	0.90% Credit Enhanced Bonds 2025	100.0000	2025/08/31	2020/09/01
40365	Tencent Music Entertainment Group	1.375% Notes 2025	99.9280	2025/09/03	2020/09/04
40366	Tencent Music Entertainment Group	2.00% Notes 2030	99.5950	2030/09/03	2020/09/04
40367	Emperor International Holdings Ltd.	4.50% Notes 2023	100.0000	2023/09/03	2020/09/04
40368	Inventive Global Investments Ltd	1.65% Guaranteed Notes 2025	99.5760	2025/09/03	2020/09/04
40353 #	Elect Global Investments Ltd.	Senior Guaranteed Perpetual Capital Securities	101.6250	-	2020/09/04
40369	ZhongAn Online P & C Insurance Co. Ltd.	3.50% Notes 2026	99.8410	2026/03/08	2020/09/09
40370	China Merchants Bank Co., Ltd., HK Branch	1.20% Fixed Rate Green Notes 2025	99.4640	2025/09/10	2020/09/11
40371	Bank of Communications Co., Ltd. HK Branch	Floating Rate Notes 2023	100.0000	2023/09/10	2020/09/11
40372	Bank of Communications Co., Ltd. HK Branch	1.20% Notes 2025	99.5940	2025/09/10	2020/09/11
40374	Eastern Creation II Investment Holdings Ltd.	1.00% Guaranteed Notes 2023	99.3190	2023/09/10	2020/09/11

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40373	FPC Resources Ltd.	4.375% Guaranteed Bonds 2027	100.0000	2027/09/11	2020/09/14
40375	Zhenro Properties Group Ltd.	7.35% Senior Notes 2025	99.8230	2025/02/05	2020/09/14
40376	Wending Zhongyuan Co. Ltd.	5.20% Guaranteed Bonds 2021	100.0000	2021/09/12	2020/09/15
40377	Yi Bright International Ltd.	3.00% Guaranteed Bonds 2023	100.0000	2023/09/16	2020/09/17
40384	AIA Group Ltd.	3.20% Subordinated Dated Securities 2040	99.8390	2040/09/16	2020/09/17
04237 #	The Govt of the HKSAR of the PRC	2.02% Bonds 2034	115.4200	2034/03/07	2020/09/18
40378	Panther Ventures Ltd.	3.80% Guaranteed Senior Perpetual Cap Sec	100.0000	-	2020/09/18
40379	Guangzhou Metro Investment Finance (BVI) Ltd.	1.507% Guaranteed Notes 2025	100.0000	2025/09/17	2020/09/18
40380	Guangzhou Metro Investment Finance (BVI) Ltd.	2.31% Guaranteed Notes 2030	100.0000	2030/09/17	2020/09/18
40381	Contemporary Ruiding Development Ltd.	1.875% Guaranteed Bonds 2025	99.7150	2025/09/17	2020/09/18
40382	Contemporary Ruiding Development Ltd.	2.625% Guaranteed Bonds 2030	99.1730	2030/09/17	2020/09/18
40383	ENN Energy Holdings Ltd.	2.625% Green Senior Notes 2030	99.1640	2030/09/17	2020/09/18
40385	Logan Group Co. Ltd.	4.25% Senior Notes 2024	100.0000	2024/09/17	2020/09/18
40387	Henan Water Conservancy Investment Group Co., Ltd.	2.80% Bond 2025	100.0000	2025/09/18	2020/09/21
40386	Wanda Group Overseas Ltd.	8.875 Guaranteed Bonds 2023	99.7260	2023/09/21	2020/09/22
85927	Bank of China Ltd., Macau Branch	3.15% CNY Notes 2022	100.0000	2022/09/21	2020/09/22
40389	Times China Holdings Ltd.	6.20% Senior Notes 2026	100.0000	2026/03/22	2020/09/23
40388	AVIC International Finance & Investment Ltd.	3.30% Guaranteed Bonds 2030	98.2340	2030/09/23	2020/09/24
40391	Tangshan International Investment (Hong Kong) Co., Ltd.	7.00% Guaranteed Bonds 2023	100.0000	2023/09/23	2020/09/24
86657 #	Ministry of Finance of the PRC	2.10% CNY Bonds 2022	99.3900	2022/07/27	2020/09/24
86658 #	Ministry of Finance of the PRC	2.20% CNY Bonds 2025	98.2200	2025/07/27	2020/09/24
40390	Talent Yield (Euro) Ltd.	1.00% Guaranteed Green Bonds 2025	99.6370	2025/09/24	2020/09/25
40392	Yancheng High-tech Zone Investment Group Co., Ltd.	2.98% Credit Enhanced Bonds 2021	100.0000	2021/09/23	2020/09/25
40393	Concord New Energy Group Ltd.	10.75% Senior Notes 2023	100.0000	2023/09/24	2020/09/25
40394	Xianjin Industry Investment Co., Ltd.	2.95% Guaranteed Bonds 2023	100.0000	2023/09/24	2020/09/25
40395	Wuhan Jiangxia Agriculture Group Co., Ltd.	3.60% Credit Enhanced Bonds 2023	100.0000	2023/09/24	2020/09/25
40400	Puyang Investment Group Co., Ltd.	2.90% Credit Enhanced Bonds 2023	100.0000	2023/09/25	2020/09/28
40399	China Development Bank Financial Leasing Co., Ltd.	Tier 2 Dated Capital Bonds 2030	99.3270	2030/09/28	2020/09/29
40401	CCBL (Cayman) 1 Corporation Ltd.	1.78% Guaranteed Notes 2025	99.9760	2025/09/28	2020/09/29
40402	CCBL (Cayman) 1 Corporation Ltd.	2.55% Guaranteed Notes 2030	99.9470	2030/09/28	2020/09/29
40396	DianJian Haiyu Ltd.	Senior Guaranteed Perpetual Securities	100.0000	-	2020/09/30
40397	Zhejiang Baron (BVI) Co. Ltd.	2.80% Guaranteed Bonds 2023	100.0000	2023/09/29	2020/09/30
40398	Goldensep Investment Co. Ltd.	1.00% Guaranteed Convertible Bonds 2021	100.0000	2021/09/28	2020/10/05
40403	Huarong Finance 2019 Co., Ltd.	2.125% Guaranteed Notes 2023	99.7570	2023/09/30	2020/10/05
40404	Huarong Finance 2019 Co., Ltd.	3.625% Guaranteed Notes 2030	99.6590	2030/09/30	2020/10/05
40405	Huarong Finance 2019 Co., Ltd.	Subordinated Guaranteed Perpetual Sec.	100.0000	-	2020/10/05
40214 #	Shuifa International Holdings (BVI) Co., Ltd	4.30% Guaranteed Bonds 2023	102.3150	2023/05/08	2020/10/07
40406	Jiayuan International Group Ltd.	12.50% Senior Notes 2023	97.4290	2023/04/08	2020/10/09
40408	CMHI Finance (BVI) Co., Ltd.	Guaranteed Subordinated Perpetual Securities A	100.0000	-	2020/10/12
40409	CMHI Finance (BVI) Co., Ltd.	Guaranteed Subordinated Perpetual Securities B	100.0000	-	2020/10/12
40410	Hong Kong JY Flower Ltd.	5.50% Guaranteed Bonds 2023	100.0000	2023/10/09	2020/10/12
40369 #	ZhongAn Online P & C Insurance Co. Ltd.	3.50% Notes 2026	100.0000	2026/03/08	2020/10/12
40407	Puyang Investment Group Co., Ltd.	4.00% Credit Enhanced Bonds 2023	100.0000	2023/09/13	2020/10/14
40243 #	Jiangsu Yueda Group Co., Ltd.	5.70% Bonds 2023	100.0000	2023/06/04	2020/10/14
40411	Logan Group Co. Ltd.	5.25% Senior Notes 2025	99.7830	2025/10/19	2020/10/20
40412	Hanrui Overseas Investment Co., Ltd.	3.20% Credit Enhanced Guaranteed Bonds 2023	100.0000	2023/10/19	2020/10/20

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40413	Sinic Holdings (Group) Co., Ltd.	9.50% Senior Notes 2021	99.4450	2021/10/18	2020/10/20
40414	Ocean Laurel Co. Ltd.	2.375% Guaranteed Bonds 2025	99.4670	2025/10/20	2020/10/21
06759	Ministry of Finance of the PRC	0.40% Bonds 2023 B	99.9260	2023/10/21	2020/10/22
06760	Ministry of Finance of the PRC	0.55% Bonds 2025	99.7340	2025/10/21	2020/10/22
06761	Ministry of Finance of the PRC	1.20% Bonds 2030	99.7560	2030/10/21	2020/10/22
06762	Ministry of Finance of the PRC	2.25% Bonds 2050	98.7070	2050/10/21	2020/10/22
40415	The Bank of East Asia, Ltd.	Additional Tier 1 Undated Capital Securities	100.0000	-	2020/10/22
40416	Hong Kong Mortgage Corporation Ltd., The	0.67% Notes 2022	100.0000	2022/10/21	2020/10/22
40418	Greenland Global Investment Ltd.	6.75% Notes 2022	99.7200	2022/04/21	2020/10/22
40426	Blossom Joy Ltd.	2.20% Guaranteed Notes 2030	99.8480	2030/10/21	2020/10/22
40427	Blossom Joy Ltd.	Subordinated Guaranteed Perpetual Sec.	100.0000	-	2020/10/22
40328 #	DaFa Properties Group Ltd.	12.375% Senior Notes 2022	98.0360	2022/07/30	2020/10/22
40408 #	CMHI Finance (BVI) Co., Ltd.	Guaranteed Subordinated Perpetual Securities B	100.4190	-	2020/10/22
04240	The Govt of the HKSAR of the PRC	0.51% Bonds 2023	100.7300	2023/10/23	2020/10/23
40417	Taizhou Urban Construction and Investment Development Group Co., Ltd.	2.65% Bonds 2023	100.0000	2023/10/22	2020/10/23
40419	Amipeace Ltd.	1.50% Notes 2025	99.7700	2025/10/22	2020/10/23
40420	Amipeace Ltd.	2.25% Notes 2030	99.4580	2030/10/22	2020/10/23
40421	Weifang Binhai Investment Development Co., Ltd.	5.00% Bonds 2021	100.0000	2021/10/21	2020/10/23
40422	Chailease International Finance Corporation	3.75% Guaranteed Notes 2023	100.0000	2023/10/22	2020/10/23
40423	Agricultural Bank of China Ltd., HK Branch	1.00% Notes 2022	100.0000	2022/10/22	2020/10/23
40424	Agricultural Bank of China Ltd., HK Branch	1.00% Notes 2023	99.7850	2023/10/22	2020/10/23
40425	Agricultural Bank of China Ltd., HK Branch	1.20% Notes 2025	99.4890	2025/10/22	2020/10/23
40428	China Minsheng Banking Corp., Ltd. HK Branch	Floating Rate Notes 2023	100.0000	2023/10/22	2020/10/23
40429	Urumqi Gaoxin Investment and Development Group Co. Ltd.	4.75% Bonds 2023	100.0000	2023/10/23	2020/10/27
40430	China Everbright Ltd.	Senior Perpetual Capital Sec	100.0000	-	2020/10/28
40431	China Development Bank	Zero Coupon Notes 2023	99.9340	2023/10/27	2020/10/28
40432	China Development Bank	1.00% Notes 2025	99.5730	2025/10/27	2020/10/28
40433	China Development Bank	1.625% Notes 2030	99.1220	2030/10/27	2020/10/28
40436	Jiangning Jingkai Overseas Investment Co., Ltd.	3.15% Bonds 2021	100.0000	2021/10/26	2020/10/28
40434	Meituan	2.125% Senior Notes 2025	99.8770	2025/10/28	2020/10/29
40435	Meituan	3.05% Senior Notes 2030	99.8630	2030/10/28	2020/10/29
40437	Peak Re (BVI) Holding Ltd.	Perpetual Subordinated Guaranteed Capital Securities	100.0000	-	2020/10/29
40440	Hubei Science & Technology Investment Group (HK) Co. Ltd.	2.90% Guaranteed Bonds 2025	100.0000	2025/10/28	2020/10/29
40439	Zhongliang Holdings Group Co. Ltd.	9.50% Senior Notes 2022	98.9370	2022/07/29	2020/10/30
40441	Jiaxing City Investment and Development Group Co., Ltd.	2.60% Bonds 2023	100.0000	2023/10/29	2020/10/30
40442	Wens Foodstuff Group Co., Ltd.	2.349% Notes 2025	100.0000	2025/10/29	2020/10/30
40443	Wens Foodstuff Group Co., Ltd.	3.258% Notes 2030	100.0000	2030/10/29	2020/10/30
40445	Sinochem Offshore Capital Co., Ltd.	1.625% Guaranteed Notes 2025	99.8950	2025/10/29	2020/10/30
40446	Sinochem Offshore Capital Co., Ltd.	Guaranteed Subordinated Perpetual Sec.	100.0000	-	2020/10/30
40447	Greentown China Holdings Ltd.	4.70% Notes 2025	100.0000	2025/04/29	2020/10/30
40438	Sino Trendy Investment Ltd	2.95% Guaranteed Bonds 2025	99.7690	2025/10/30	2020/11/02
40296 #	Times China Holdings Ltd.	6.75% Senior Notes 2025	102.6040	2025/07/08	2020/11/02
40444	China SCE Group Holdings Ltd.	7.00% Senior Notes 2025	100.0000	2025/05/02	2020/11/03
40454	Lenovo Group Ltd.	3.421% Notes 2030	100.0000	2030/11/02	2020/11/03

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40450	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	3.25% Bonds 2023	100.0000	2023/11/03	2020/11/04
40448	Yincheng International Holding Co., Ltd.	12.00% Senior Notes 2021	98.9800	2021/11/03	2020/11/05
40449	CDBL Funding 1	1.50% Notes 2023	99.9090	2023/11/04	2020/11/05
40451	Changde Urban Construction and Investment Group Co., Ltd.	3.00% Bonds 2023	100.0000	2023/11/04	2020/11/05
40453	Yancoal International Resources Development Co., Ltd.	3.50% Senior Guaranteed Bonds 2023	100.0000	2023/11/04	2020/11/05
40455	Huafa 2020 I Co. Ltd.	2.80% Guaranteed Bonds 2025	100.0000	2025/11/04	2020/11/05
40456	Mega Wisdom Global Ltd.	5.40% Guaranteed Notes 2021	100.0000	2021/11/03	2020/11/05
40457	Haitian (BVI) International Investment Development Ltd.	2.80% Guaranteed Bonds 2023	100.0000	2023/11/04	2020/11/05
40461	Soar Wise Ltd.	Guaranteed Perpetual Capital Securities	100.0000	-	2020/11/05
40458	Shandong Iron and Steel Xinheng International Co. Ltd.	6.50% Guaranteed Bonds 2023	100.0000	2023/11/05	2020/11/06
40459	Rongshi International Finance Ltd.	1.50% Notes 2025	99.6030	2025/11/05	2020/11/06
40460	Zhou Hei Ya International Holdings Co., Ltd.	1.00% Convertible Bonds 2025	100.0000	2025/11/05	2020/11/06
40462	Industrial Bank Co., Ltd. Hong Kong Branch	1.10% Notes 2022	100.0000	2022/11/06	2020/11/09
40463	Industrial Bank Co., Ltd. Hong Kong Branch	1.125% Notes 2023	99.8060	2023/11/06	2020/11/09
40452	Ease Trade Global Ltd.	4.00% Guaranteed Notes 2025	100.0000	2025/11/10	2020/11/11
40406 #	Jiayuan International Group Ltd.	12.50% Senior Notes 2023	97.4800	2023/04/08	2020/11/13
40230 #	Jining High Tech Urban Construction Investment Co., Ltd.	5.50% Bonds 2023	99.9910	2023/05/27	2020/11/13
40464	CIFI Holdings (Group) Co. Ltd.	5.25% Senior Notes 2026	100.0000	2026/05/13	2020/11/16
40465	KWG Group Holdings Ltd.	6.30% Senior Notes 2026	100.0000	2026/02/13	2020/11/16
04239	The Govt of the HKSAR of the PRC	iBonds 2023	100.0000	2023/11/16	2020/11/17
40467	Joy Treasure Assets Holdings Inc.	1.875% Bonds 2025	99.7200	2025/11/17	2020/11/18
40468	Joy Treasure Assets Holdings Inc.	2.75% Bonds 2030	99.0580	2030/11/17	2020/11/18
85929	MTR Corporation Ltd.	2.83% Fixed Rate CNY Notes 2023	100.0000	2023/11/17	2020/11/18
40466	Hero Asia Investment Ltd.	1.50% Senior Bonds 2023	99.5710	2023/11/18	2020/11/19
40469	Guangxi Financial Investment Group Co., Ltd.	3.60% Guaranteed Bonds 2023	100.0000	2023/11/18	2020/11/19
40472	Hong Kong Mortgage Corporation Ltd., The	Floating Rate Notes 2021	100.0000	2021/11/18	2020/11/19
40475	Bank of Communications Co., Ltd. HK Branch	Undated Additional Tier 1 Capital Bonds	100.0000	-	2020/11/19
04230 #	The Govt of the HKSAR of the PRC	1.68% Bonds 2026	106.5100	2026/01/21	2020/11/20
40471	Yili Holding Investment Ltd.	1.625% Guaranteed Bonds 2025	99.7750	2025/11/19	2020/11/20
40473	Xi'an Qujiang Cultural Industry Investment (Group) Co., Ltd.	5.50% Bonds 2023	100.0000	2023/11/19	2020/11/20
40490	Jingrui Holdings Ltd.	14.50% Senior Notes 2023	100.0000	2023/02/19	2020/11/20
40470	Zhenro Properties Group Ltd.	5.95% Senior Notes 2021	100.0000	2021/11/18	2020/11/23
40476	Omni Ireland Credit Card Securitization T3 DAC	AAA1 Floating Rate Notes 2029 A	100.0000	2029/03/19	2020/11/23
40477	Omni Ireland Credit Card Securitization T3 DAC	AAA2 Floating Rate Notes 2029 B	100.0000	2029/03/19	2020/11/23
40478	Omni Ireland Credit Card Securitization T3 DAC	AAA3 Floating Rate Notes 2029 C	100.0000	2029/03/19	2020/11/23
40479	Omni Ireland Credit Card Securitization T3 DAC	AAA4 Floating Rate Notes 2029 D	100.0000	2029/03/19	2020/11/23
40480	Omni Ireland Credit Card Securitization T3 DAC	AAA5 Floating Rate Notes 2029 E	100.0000	2029/03/19	2020/11/23
40481	Omni Ireland Credit Card Securitization T3 DAC	AAA6 Floating Rate Notes 2029 F	100.0000	2029/03/19	2020/11/23
40482	Omni Ireland Credit Card Securitization T3 DAC	AAA7 Floating Rate Notes 2029 G	100.0000	2029/03/19	2020/11/23
40483	Omni Ireland Credit Card Securitization T3 DAC	AAA9 Floating Rate Notes 2029 I	100.0000	2029/03/19	2020/11/23
40484	Omni Ireland Credit Card Securitization T3 DAC	AAA10 Floating Rate Notes 2029 J	100.0000	2029/03/19	2020/11/23
40485	Omni Ireland Credit Card Securitization T3 DAC	AAA11 Floating Rate Notes 2029 K	100.0000	2029/03/19	2020/11/23
40486	Omni Ireland Credit Card Securitization T3 DAC	AAA12 Floating Rate Notes 2029 L	100.0000	2029/03/19	2020/11/23
40487	Omni Ireland Credit Card Securitization T3 DAC	AAA13 Floating Rate Notes 2029 M	100.0000	2029/03/19	2020/11/23

Newly listed debt securities (continued)

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40488	Omni Ireland Credit Card Securitization T3 DAC	AAA14 Floating Rate Notes 2029 N	100.0000	2029/03/19	2020/11/23
40489	Omni Ireland Credit Card Securitization T3 DAC	AAA8 Floating Rate Notes 2029 H	100.0000	2029/03/19	2020/11/23
04082	Hong Kong Monetary Authority, The	0.11% Exchange Fund Notes 2022	99.9800	2022/11/23	2020/11/24
40439 #	Zhongliang Holdings Group Co. Ltd.	9.50% Senior Notes 2022	99.6030	2022/07/29	2020/11/24
40474	Wuhan Trading Group Co., Ltd.	2.70% Notes 2023	100.0000	2023/11/23	2020/11/24
06763	Ministry of Finance of the PRC	Zero Coupon Bonds 2025	100.7630	2025/11/25	2020/11/26
06764	Ministry of Finance of the PRC	0.25% Bonds 2030	99.3320	2030/11/25	2020/11/26
06765	Ministry of Finance of the PRC	0.625% Bonds 2035	99.4450	2035/11/25	2020/11/26
40491	Rowen International Co., Ltd.	6.80% Guaranteed Bonds 2021	100.0000	2021/11/24	2020/11/26
40492	Longchuang Group Ltd.	2.78% Credit Enhanced Guaranteed Bonds 2021	100.0000	2021/11/24	2020/11/26
40493	China Gezhouba Group (Hong Kong) Overseas Inv Co., Ltd.	Subordinated Guaranteed Perpetual Securities	100.0000	-	2020/11/26
85932	Hong Kong Mortgage Corporation Ltd.	3.005% CNY Notes 2022	100.0000	2022/11/27	2020/11/30
86657 #	Ministry of Finance of the PRC	2.10% CNY Bonds 2022	99.2700	2022/07/27	2020/11/30
86658 #	Ministry of Finance of the PRC	2.20% CNY Bonds 2025	98.0300	2025/07/27	2020/11/30
40494	Jingjiang Port (Group) Co., Ltd.	2.78% Credit Enhanced Bonds 2021	100.0000	2021/11/29	2020/12/01
40495	Shouguang City Construction Investment Development Co., Ltd.	3.50% Credit Enhanced Bonds 2023	100.0000	2023/10/30	2020/12/01
40496	Xi'an Port Industrial Co., Ltd.	5.80 Bonds 2023	100.0000	2023/12/02	2020/12/03
40019 #	Hongkong Ideal Investment Ltd.	14.75% Guaranteed Senior Notes 2022	99.9450	2022/10/08	2020/12/04
40497	Dexin China Holdings Co. Ltd.	9.95% Senior Notes 2022	96.8720	2022/12/03	2020/12/04
40498	VCREDIT Holdings Ltd.	11.00% Senior Notes 2022	93.7020	2022/12/03	2020/12/04
40499	Universe Trek Ltd.	Zero Coupon Guaranteed Convertible Bonds 2025	100.0000	2025/12/04	2020/12/07
40243 #	Jiangsu Yueda Group Co., Ltd.	5.70% Bonds 2023	100.0000	2023/06/04	2020/12/08
40500	Airport Authority	Senior Perpetual Capital Securities A	100.0000	-	2020/12/09
40501	Airport Authority	Senior Perpetual Capital Securities B	100.0000	-	2020/12/09
40502	China Huaneng Group (Hong Kong) Treasury Management Holding Ltd.	Guaranteed Perpetual Securities A	100.0000	-	2020/12/10
40503	China Huaneng Group (Hong Kong) Treasury Management Holding Ltd.	Guaranteed Perpetual Securities B	100.0000	-	2020/12/10
40504	NWD Finance (BVI) Ltd.	Guaranteed Senior Perpetual Cap Sec C	100.0000	-	2020/12/10
40505	Jinan Lixia Holding Group Co., Ltd.	4.50% Bonds 2023	100.0000	2023/12/09	2020/12/10
40506	Jiangsu Zhongguancun Holding Group (International) Co., Ltd.	2.75% Credit Enhanced Bonds 2021	100.0000	2021/12/09	2020/12/11
40507	E-House (China) Enterprise Holdings Ltd.	7.60% Senior Notes 2023	99.3870	2023/06/10	2020/12/11
40508	Logan Group Co. Ltd.	4.85% Senior Notes 2026	100.0000	2026/12/14	2020/12/15
40510	Jinan Hi-tech International (Cayman) Investment Development Co., Ltd.	3.30% Guaranteed Bonds 2023	100.0000	2023/12/14	2020/12/15
40509	Guangzhou Development District Financial Holdings Group Co., Ltd.	2.60% Bonds 2023	100.0000	2023/12/15	2020/12/16
40513	Goucheng International Co., Ltd.	3.50% Guaranteed Bonds 2023	100.0000	2023/12/16	2020/12/17
40090 #	Tianfeng Securities Co., Ltd.	4.30% Notes 2022	100.7610	2022/12/09	2020/12/18
40328 #	DaFa Properties Group Ltd.	12.375% Senior Notes 2022	97.5040	2022/07/30	2020/12/18
40511	Xiaomi Best Time International Ltd.	Zero Coupon Guaranteed Convertible Bonds 2027	105.2500	2027/12/17	2020/12/18
40357 #	Wynn Macau, Ltd.	5.625% Senior Notes 2028	103.0000	2028/08/26	2020/12/23
40512	Panther Ventures Ltd.	3.50% Guaranteed Senior Perpetual Cap Sec A	100.0000	-	2020/12/23
40121 #	Dangdai International Investments Ltd.	10.50% Guaranteed Senior Notes 2023	103.4230	2023/01/16	2020/12/24
40515	Power Best Global Investments Ltd.	11.00% Senior Notes 2022	100.0000	2022/12/24	2020/12/28
40514	Viva Biotech Investment Management Ltd.	1.00% Guaranteed Convertible Bonds 2025	100.0000	2025/12/30	2020/12/31

Further issue

Withdrawal of listed debt securities

Code	Stock name	Last trading date	Delisted date	Maturity date
04203	The Govt of the HKSAR of the PRC 2.93% Bonds 2020	2020/01/08	2020/01/13	2020/01/13
05752	Tencent Holdings Ltd. 3.2% Senior Notes 2020	2020/01/07	2020/01/13	2020/01/10
05529	Coastal Emerald Ltd. 5.95% Guaranteed Bonds 2020	2020/01/08	2020/01/14	2020/01/13
05532	China YuHua Education Corporation Ltd. 3.00% Conv Bonds 2020	2020/01/09	2020/01/15	2020/01/16
05858	Huarong Finance II Co., Ltd. 4.50% Guaranteed Notes 2020	2020/01/13	2020/01/17	2020/01/16
05344	New Rose Investments Ltd. 4.50% Guaranteed Notes 2020	2020/01/15	2020/01/21	2020/01/19
05345	Chang Development International Ltd. 3.625% Guar. Notes 2020	2020/01/15	2020/01/21	2020/01/20
05832	Eastern Creation II Invest Holdings Ltd. 3.25% Guar. N2020	2020/01/15	2020/01/21	2020/01/20
05860	State Elite Global Ltd. 3.125% Notes 2020	2020/01/15	2020/01/21	2020/01/20
05862	China Shenhua Overseas Capital Co. Ltd. 3.125% Bonds 2020	2020/01/15	2020/01/21	2020/01/20
05321	Chalieco HK Corp Ltd. 5.70% Senior Guar. Perpetual CapSec	2020/01/20	2020/01/24	-
05350	Times China Holdings Ltd. 6.25% Senior Notes 2020	2020/01/20	2020/01/24	2020/01/23
05353	Huarong Finance 2017 Co., Ltd. 3.375% Guaranteed Notes 2020	2020/01/21	2020/01/29	2020/01/24
05358	China Development Bank 0.125% Notes 2020	2020/01/21	2020/01/29	2020/01/24
05360	Reward International Investment Ltd. 7.25% Guar Senior N2020	2020/01/22	2020/01/30	2020/01/25
05362	Central Plaza Development Ltd. 3.875% Guar. Notes 2020	2020/01/22	2020/01/30	2020/01/25
05363	Huaxing Investment Holding Co. Ltd. 3.50% Guar. Bonds 2020	2020/01/22	2020/01/30	2020/01/26
05866	Baosteel Financing 2015 Pty Ltd. 3.875% Guar. Bonds 2020	2020/01/22	2020/01/30	2020/01/28
05718	Johnson Electric Holdings Ltd. 1.00% Convertible Bonds 2021	2020/01/24	2020/02/03	2021/04/02
05338	Ch Railway Construction Corp 1.50% USD Settled Conv. B2021	2020/01/29	2020/02/04	2021/12/21
04225	The Govt of the HKSAR of the PRC 1.06% Bonds 2020	2020/01/31	2020/02/05	2020/02/05
05870	CAR Inc. 6.125% Senior Notes 2020	2020/01/30	2020/02/05	2020/02/04
85912	Oversea-Chinese Banking Corporation Ltd. 3.5% CNY Notes 2020	2020/01/31	2020/02/06	2020/02/05
04425	Hong Kong Mortgage Corporation Ltd. FR Notes 2020, The	2020/02/04	2020/02/10	2020/02/07
04315	Fita International Ltd. 7% USD Guaranteed Bonds 2020	2020/02/05	2020/02/11	2020/02/10
04316	Bank of China (Hong Kong) Ltd. 5.55% Subordinated Notes 2020	2020/02/06	2020/02/12	2020/02/11
05871	China Construction Bank (Asia) Corp Ltd. 1.50% Notes 2020	2020/02/06	2020/02/12	2020/02/11
05872	Tencent Holdings Ltd. 2.875% Senior Notes 2020	2020/02/06	2020/02/12	2020/02/11
05874	Hongkong International (Qingdao) Co. Ltd. 4.75% Bonds 2020	2020/02/07	2020/02/13	2020/02/12
05371	Shanghai Pudong Dev Bank Co., Ltd HK Branch 2.375% N2020	2020/02/10	2020/02/14	2020/02/13
05368	Bank of China Ltd., Hong Kong Branch 2.375% Notes 2020A	2020/02/11	2020/02/17	2020/02/14
05370	Bank of China Ltd., Hong Kong Branch FR Notes 2020B	2020/02/11	2020/02/17	2020/02/14
04071	Hong Kong Monetary Authority 1.31% Exchange Fund Notes 2020	2020/02/17	2020/02/20	2020/02/20
05373	ICBC, HK Branch Floating Rate Notes 2020	2020/02/18	2020/02/24	2020/02/21
05376	Bank of Communications Co., Ltd. HK Branch FR Notes 2020	2020/02/18	2020/02/24	2020/02/21
05380	Qinghai Provincial Investment Group Co., Ltd. 7.25% B2020	2020/02/19	2020/02/25	2020/02/22
05835	Wanda Properties Global Co. Ltd. 6.25% Guaranteed Bonds 2020	2020/02/24	2020/02/28	2020/02/27
05382	Xinhu (BVI) Holding Co. Ltd. 6.00% Guar. Senior Notes 2020	2020/02/26	2020/03/03	2020/03/01
05386	DYNAMIC MISSION INVESTMENTS LTD. 7.50% Unsecured & Guar N2020	2020/02/27	2020/03/04	2020/03/03
05387	China Development Bank HK Branch Floating Rate Notes 2020 A	2020/03/03	2020/03/09	2020/03/06
05389	China Development Bank HK Branch Floating Rate Notes 2020 B	2020/03/03	2020/03/09	2020/03/06
05392	China Everbright Bank Co., Ltd., HK Branch 2.50% Notes 2020	2020/03/04	2020/03/10	2020/03/08
05393	Keen Idea Global Ltd. 4.375% Guaranteed Bonds 2020	2020/03/04	2020/03/10	2020/03/08
05395	China Cinda Finance (2017) I Ltd. 3.00% Guar. Senior N2020	2020/03/04	2020/03/10	2020/03/09
05910	Joyous Glory Group Ltd. 5.70% Guaranteed Notes 2020	2020/03/04	2020/03/10	2020/03/07

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05911	Swire Properties MTN Financing Ltd. 2.75% Guar. Notes 2020	2020/03/04	2020/03/10	2020/03/07
05401	Horsepower Finance Ltd. 2.35% Guaranteed Notes 2020	2020/03/10	2020/03/16	2020/03/13
05402	Top Wise Excellence Enterprise Co. 6.00% Guar. Senior N2020	2020/03/11	2020/03/17	2020/03/16
05884	ICBCIL Finance Co. Ltd. 3.25% Notes 2020	2020/03/12	2020/03/18	2020/03/17
05882	PETRONAS Global Sukuk Ltd. Trust Certificate 2020	2020/03/13	2020/03/19	2020/03/18
04318	CLP Power Hong Kong Financing Ltd. 4.75% Notes 2020	2020/03/16	2020/03/20	2020/03/19
05405	Azure Nova International Finance Ltd. 3.00% Guar. Notes 2020	2020/03/18	2020/03/24	2020/03/21
05408	Guorui Properties Ltd. 7.00% Senior Notes 2020	2020/03/18	2020/03/24	2020/03/21
05919	Zhongtai Int'l Finance (BVI) Co. Ltd. 5.40% Guar Bonds 2020	2020/03/19	2020/03/25	2020/03/24
05715	IFC Development (Corp. Treasury) Ltd. 3.4% Guar. Notes 2020	2020/03/23	2020/03/27	2020/03/26
85734	Beijing Capital Polaris Invest Co. Ltd. 5.20% Guar CNY N2020	2020/03/23	2020/03/27	2020/03/26
04453	Shandong Iron and Steel Xinheng Int'l 6.90% Guar. Bonds 2020	2020/03/25	2020/03/31	2020/03/29
05413	Huantaihu International Investment Co Ltd. 5.75% Guar. B2020	2020/03/25	2020/03/31	2020/03/30
05414	Yango (Cayman) Investment Ltd. 12.00% Guar. Senior N2020	2020/04/01	2020/04/07	2020/04/05
05415	ICBCIL Finance Co. Ltd. 3.00% Notes 2020	2020/04/01	2020/04/07	2020/04/05
05417	HBIS Group HK Co., Ltd. 4.25% Guar. Notes 2020	2020/04/02	2020/04/08	2020/04/07
05419	Jingrui Holdings Ltd. 7.75% Senior Notes 2020	2020/04/07	2020/04/15	2020/04/12
05420	Sinopec Gp Overseas Dev (2017) Ltd. 2.375% Senior Notes 2020B	2020/04/07	2020/04/15	2020/04/12
05918	CITIC Ltd. 6.375% Notes 2020	2020/04/07	2020/04/15	2020/04/10
05890	Standard Chartered PLC Perpetual Sub Contingent Conv. Sec	2020/04/09	2020/04/17	-
85742	Bank of China Ltd., Macau Branch 3.10% CNY Notes 2020 C	2020/04/14	2020/04/20	2020/04/17
05426	CITIC Securities Finance MTN Co., Ltd. 2.75% Guar Notes 2020	2020/04/15	2020/04/21	2020/04/20
05428	Yida China Holdings Ltd. 6.95% Senior Notes 2020	2020/04/15	2020/04/21	2020/04/19
05429	Bank of China (Luxembourg) S.A. Floating Rate Notes 2020A	2020/04/15	2020/04/21	2020/04/20
05430	Bank of China Ltd., Dubai Branch Floating Rate Notes 2020B	2020/04/15	2020/04/21	2020/04/20
05435	Shanghai (HK) Int'l Investments Ltd. 3.875% Guar. Bonds 2020	2020/04/15	2020/04/21	2020/04/20
05436	Nuoxi Capital Ltd. 4.575% Guaranteed Bonds 2020	2020/04/15	2020/04/21	2020/04/20
06007	Hong Kong Mortgage Corporation Ltd. 1.82% Notes 2020, The	2020/04/15	2020/04/21	2020/04/18
85726	Bank of China Ltd., Johannesburg Branch 4.88% CNY Notes 2020	2020/04/15	2020/04/21	2020/04/20
05892	Haitong Int'l Finance Holdings 2015 Ltd. 3.50% Guar. B2020	2020/04/16	2020/04/22	2020/04/21
05424	Yancoal Int'l Res Dev Co., Ltd. Senior Guar Perpetual CapSec	2020/04/17	2020/04/23	-
05896	China Cinda Finance (2015) I Ltd. 3.125% Guar. Senior N2020	2020/04/20	2020/04/24	2020/04/23
05439	Huarong Finance 2017 Co., Ltd. Guar Floating Rate Notes 2020	2020/04/22	2020/04/28	2020/04/27
06003	Intellect Aim Management Ltd. 1.875% Guar Exchangeable B2020	2020/04/22	2020/04/28	2020/04/27
04320	Axiata SPV1 (Labuan) Ltd. 5.375% Guaranteed Notes 2020	2020/04/23	2020/04/29	2020/04/28
05500	Sinopec Grp Overseas Dev (2015) Ltd. 2.50% Senior Notes 2020	2020/04/23	2020/04/29	2020/04/28
05893	CCCI Treasure Ltd. Unsubordinated Guar. Perpetual Securities	2020/04/24	2020/05/04	-
04511	State Grid Overseas Invest (2016) Ltd 2.25% Senior Guar N2020	2020/04/27	2020/05/05	2020/05/04
04581	China Minsheng Banking Corp., Ltd. HK Branch 2.50% Notes 2020	2020/04/28	2020/05/06	2020/05/05
05506	CNOOC Finance (2015) Australia Pty Ltd. 2.625% Guar. N2020	2020/04/28	2020/05/06	2020/05/05
04518	SOCAM Development Ltd. 6.25% Senior Notes 2020	2020/05/05	2020/05/11	2020/05/08
05200	Bank of China Ltd., Singapore Branch FR Notes 2020	2020/05/06	2020/05/12	2020/05/11
05510	Kunlun Energy Co. Ltd. 2.875% Senior Notes 2020	2020/05/08	2020/05/14	2020/05/13
04486	Hong Kong Mortgage Corporation Ltd. FR Notes 2020, The	2020/05/11	2020/05/15	2020/05/14
04302	Hong Kong Mortgage Corporation Ltd. 1.96% Notes 2020, The	2020/05/12	2020/05/18	2020/05/15

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Code	Stock name	Last trading date	Delisted date	Maturity date
04490	Hong Kong Mortgage Corporation Ltd. FR Notes 2020B, The	2020/05/12	2020/05/18	2020/05/15
05202	Bank of Communications Co., Ltd. HK Branch FR Notes 2020	2020/05/12	2020/05/18	2020/05/15
04495	Bank of Communications Co., Ltd. HK Branch 2.95% Notes 2020B	2020/05/13	2020/05/19	2020/05/18
04072	Hong Kong Monetary Authority 1.79% Exchange Fund Notes 2020	2020/05/18	2020/05/21	2020/05/21
86627	Ministry of Finance of the PRC 3.00% CNY Bonds 2020	2020/05/18	2020/05/21	2020/05/21
05514	China Construction Bk Corporation Tier 2 Dated Capital B2025	2020/05/18	2020/05/22	2025/05/13
05517	Agile Group Holdings Ltd. 9.00% Senior Notes 2020	2020/05/18	2020/05/22	2020/05/21
05209	Amber Treasure Ventures Ltd. 3.00% Credit Enhanced Notes2020	2020/05/20	2020/05/26	2020/05/25
05520	Beijing State-Owned Assets Management (HK) 3.00% Notes 2020	2020/05/21	2020/05/27	2020/05/26
05459	Greenland Global Investment Ltd. 9.125% Notes 2020	2020/05/22	2020/05/28	2020/05/27
85744	Agricultural Development Bank of China 3.08% FR CNY B2020	2020/05/26	2020/06/01	2020/05/29
05210	MCC Holding (HK) Corporation Ltd. 2.95% Guar. Notes 2020	2020/05/27	2020/06/02	2020/05/31
05212	China Construction Bank Corporation, HK Branch FR Notes 2020	2020/05/27	2020/06/02	2020/05/31
04226	Hong Kong Sukuk 2015 Ltd. Trust Certificates 2020	2020/05/29	2020/06/04	2020/06/03
05756	IFC Development (Corp Treasury) Ltd. 3.4% Guar. Notes 2020 A	2020/06/01	2020/06/05	2020/06/04
05757	IFC Development (Corp Treasury) Ltd. 3.4% Guar. Notes 2020 B	2020/06/01	2020/06/05	2020/06/04
05527	Standard Chartered PLC 0.453% Fixed Rate Bonds 2020	2020/06/02	2020/06/08	2020/06/05
05110	Guorui Properties Ltd. 10.00% Senior Notes 2020	2020/06/03	2020/06/09	2020/06/07
05113	Bank of China Ltd., Hong Kong Branch 2.85% Notes 2020B	2020/06/03	2020/06/09	2020/06/07
85717	Lenovo Group Ltd. 4.95% CNY Notes 2020	2020/06/05	2020/06/11	2020/06/10
04573	Hong Kong Mortgage Corporation Ltd. 2.00% Notes 2020, The	2020/06/08	2020/06/12	2020/06/11
05531	Bluestar Finance Holdings Ltd. 4.375% Senior Guar. Notes 2020	2020/06/08	2020/06/12	2020/06/11
05219	China Merchants Bank Co., Ltd. New York Branch FR Notes 2020	2020/06/09	2020/06/15	2020/06/12
04595	Hong Kong Mortgage Corporation Ltd. 1.88% Notes 2020, The	2020/06/10	2020/06/16	2020/06/15
05216	China Everbright Bank Co., Ltd., HK Branch FR Notes 2020	2020/06/10	2020/06/16	2020/06/13
05215	China Mengniu Dairy Co. Ltd. Zero Coupon Exchangeable B2022	2020/06/11	2020/06/17	2022/06/05
05485	Greenland Hong Kong Holdings Ltd. 9.875% Bonds 2020	2020/06/12	2020/06/18	2020/06/17
04004	Hong Kong Monetary Authority 2.53% Exchange Fund Notes 2020	2020/06/17	2020/06/22	2020/06/22
05120	ICBC (Asia) Ltd. 3.00% Green Bonds 2020	2020/06/17	2020/06/23	2020/06/21
05224	Hilong Holding Ltd. 7.25% Senior Notes 2020	2020/06/17	2020/06/23	2020/06/22
05222	AMTD Group Co. Ltd. 7.625% Senior Perpetual Securities	2020/06/18	2020/06/24	-
86648	Ministry of Finance of the PRC 3.99% CNY Bonds 2020	2020/06/22	2020/06/26	2020/06/26
86609	Ministry of Finance of the PRC 3.09% CNY Bonds 2020	2020/06/23	2020/06/29	2020/06/27
05124	Zhenro Properties Group Ltd. 10.50% Senior Notes 2020	2020/06/23	2020/06/30	2020/06/28
05225	ICBC, HK Branch Floating Rate Notes 2020	2020/06/23	2020/06/30	2020/06/27
05537	Bank of China Ltd. 2.875% Notes 2020	2020/06/24	2020/07/02	2020/06/30
05978	Yuzhou Group Holdings Co. Ltd. 5.50% Senior Notes 2020	2020/06/24	2020/07/02	2020/06/30
05228	Skyland Mining (BVI) Ltd. 3.25% Guaranteed Bonds 2020	2020/06/30	2020/07/07	2020/07/06
86653	Ministry of Finance of the PRC 3.65% CNY Bonds 2020	2020/07/06	2020/07/09	2020/07/09
06004	Pingdingshan Tianan Coal Mining Co., Ltd. 6.25% B2020	2020/07/06	2020/07/10	2020/07/09
05234	Hengjian International Investment Ltd. 2.75% Guar Bonds 2020	2020/07/08	2020/07/14	2020/07/11
05237	Shanghai Pudong Dev Bank Co., Ltd., HK Branch FR Notes 2020	2020/07/08	2020/07/14	2020/07/13
05409	Dawn Victor Ltd. 8.45% Guaranteed Bonds 2020	2020/07/09	2020/07/15	2020/07/14
05540	China Life Insurance Co. Ltd. Core Tier 2 Capital Securities	2020/07/09	2020/07/15	-
05243	Amber Treasure Ventures Ltd. 3.15% Credit Enhanced Notes2020	2020/07/16	2020/07/22	2020/07/21

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05543	YONGDA Investment Ltd. 3.75% Guaranteed Bonds 2020	2020/07/16	2020/07/22	2020/07/21
05547	Zhaohai Investment (BVI) Ltd. 4.00% Guaranteed Bonds 2020	2020/07/20	2020/07/24	2020/07/23
05246	Jiuding Group Finance Co., Ltd. 6.50% Guaranteed Bonds 2020	2020/07/22	2020/07/28	2020/07/25
05247	Yinchuan Tonglian Capital Invest Operation Co. 3.50% B2020	2020/07/22	2020/07/28	2020/07/27
05248	Shandong Energy Australia Pty Ltd. 4.55% Guar. Bonds 2020	2020/07/22	2020/07/28	2020/07/26
05251	Oceanwide Holdings Int'l 2017 Co. 7.75% Guar. Senior N2020	2020/07/22	2020/07/28	2020/07/27
05548	CCBL (Cayman) Corporation Ltd. 3.25% Guaranteed Notes 2020	2020/07/23	2020/07/29	2020/07/28
05240	Wisdom Glory Group Ltd. Senior Perpetual Capital Securities	2020/07/24	2020/07/30	-
05867	Haitong Int'l Finance 2015 Ltd. 4.20% Guar. Bonds 2020	2020/07/24	2020/07/30	2020/07/29
05550	COSL Singapore Capital Ltd. 3.50% Guaranteed Notes 2020	2020/07/27	2020/07/31	2020/07/30
05552	Minmetals Bounteous Finance (BVI) Ltd 3.50% Guar. Bonds 2020	2020/07/27	2020/07/31	2020/07/30
05717	Jingrui Holdings Ltd. 13.00% Senior Notes 2020	2020/07/28	2020/08/03	2020/07/31
05253	CDBL Funding 2 2.625% Guaranteed Notes 2020	2020/07/29	2020/08/04	2020/08/01
05554	China Merchants Finance Co. Ltd. 3.50% Guaranteed Notes 2020	2020/07/29	2020/08/04	2020/08/03
05257	China Logistics Property Hldgs Co., Ltd. 8.00% Senior N2020	2020/08/05	2020/08/11	2020/08/08
05557	Oceanwide Holdings Int'l 2015 Co. 9.625% Guar. Senior N2020	2020/08/06	2020/08/12	2020/08/11
05558	Shanghai Electric Power Finance Ltd. 3.625% Guar. Bonds 2020	2020/08/06	2020/08/12	2020/08/11
05559	Greentown China Holdings Ltd. 5.875% Senior Notes 2020	2020/08/06	2020/08/12	2020/08/11
05144	Nimble City Ltd. 9.00% Guaranteed Bonds 2020	2020/08/12	2020/08/18	2020/08/16
05259	China Huiyuan Juice Group Ltd. 6.50% Senior Notes 2020	2020/08/12	2020/08/18	2020/08/16
05260	Greenland Global Investment Ltd. 4.85% Notes 2020	2020/08/12	2020/08/18	2020/08/17
04073	Hong Kong Monetary Authority 1.78% Exchange Fund Notes 2020	2020/08/17	2020/08/20	2020/08/20
05560	Azure Orbit II Int'l Finance Ltd. 3.125% Guar. Notes 2020	2020/08/18	2020/08/24	2020/08/21
05263	Zhongtai Int'l Bond 2017 (BVI) Co Ltd. 4.25% Guar. B2020	2020/08/19	2020/08/25	2020/08/24
05713	China Industrial Sec Int'l Fin Group Ltd. 5.00% Guar B2020	2020/08/25	2020/08/31	2020/08/28
05264	China Great Wall Int'l Hldgs III Ltd. 2.75% Guar Notes 2020	2020/08/26	2020/09/01	2020/08/31
05241	Strategic Int'l Group Ltd. Zero Coupon Guar Conv. Bonds 2022	2020/09/01	2020/09/07	2022/07/21
05320	The United Laboratories Int'l Holdings Ltd. 4.50% Conv B2021	2020/09/04	2020/09/10	2021/12/05
05268	China Minsheng Banking Corp., Ltd. HK Branch FR Notes 2020	2020/09/08	2020/09/14	2020/09/11
05271	Sinopec Gp Overseas Dev (2017) Ltd. 2.25% Senior Notes 2020	2020/09/09	2020/09/15	2020/09/13
85911	Zhenro Properties Group Ltd. 8.00% CNY Senior Notes 2020	2020/09/10	2020/09/16	2020/11/16
85906	ICBC, HK Branch 3.10% CNY Notes 2020	2020/09/11	2020/09/17	2020/09/16
05564	CSCI Finance (2015) Co. Ltd. 3.125% Credit Enhanced B2020	2020/09/14	2020/09/18	2020/09/17
05277	Inventive Global Investments Ltd. 2.50% Fixed Rate Notes2020	2020/09/16	2020/09/22	2020/09/19
85736	CIFI Holdings (Group) Co. Ltd. 7.75% CNY Senior Notes 2020	2020/09/16	2020/09/22	2020/09/20
05183	Maoye International Holdings Ltd. 13.25% Senior Notes 2020	2020/09/23	2020/09/29	2020/09/27
05284	Eastern Creation II Invest Hldgs Ltd. 2.75% Guar. N2020	2020/09/23	2020/09/29	2020/09/26
85737	Agricultural Development Bank of China 4.16% CNY Bonds 2020	2020/09/23	2020/09/29	2020/09/27
05286	Tianjin Real Estate Group Co., Ltd. 4.50% Bonds 2020	2020/09/24	2020/09/30	2020/09/29
05938	Hong Kong Mortgage Corporation Ltd. 1.76% Notes 2020, The	2020/09/28	2020/10/06	2020/10/04
05973	CNOOC Curtis Funding No.1 Pty Ltd. 2.75% Senior Notes 2020	2020/09/28	2020/10/06	2020/10/03
05290	New Lion Bridge Co., Ltd. 9.75% Guaranteed Senior Notes 2020	2020/10/07	2020/10/13	2020/10/10
04464	Kunzhi Ltd. 6.25% Guaranteed Bonds 2020	2020/10/14	2020/10/20	2020/10/17
05981	Sinopec Gp Overseas Dev (2013) Ltd. 2.625% Senior Notes 2020	2020/10/14	2020/10/20	2020/10/17
86001	Asian Development Bank 2.85% CNY Notes 2020	2020/10/16	2020/10/22	2020/10/21

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05190	Jiayuan International Group Ltd. 12.0% Senior Secured N2020	2020/10/19	2020/10/23	2020/10/22
05293	Yihua Overseas Investment Ltd. 8.50% Senior Notes 2020	2020/10/20	2020/10/27	2020/10/23
05545	Greenland Global Investment Ltd. 7.875% Notes 2020	2020/10/21	2020/10/28	2020/10/24
40037	Huarong Leasing Management HK Co. Ltd. 3.25% Notes 2020	2020/10/21	2020/10/28	2020/10/25
05291	Overseas Chinese Town (Asia) Senior Guar Perpetual Cap Sec	2020/10/22	2020/10/29	-
04328	SHK Ppt (Cap Market) Ltd. 4.00% Guaranteed Instruments 2020	2020/10/28	2020/11/03	2020/11/02
05195	Sinopec Century Bright Capital Invest Ltd. 3.15% Notes 2020	2020/10/28	2020/11/03	2020/10/31
05587	GRAM International Ltd. 2.75% Bonds 2020	2020/10/28	2020/11/03	2020/11/02
04229	The Govt of the HKSAR of the PRC 0.91% Bonds 2020	2020/11/02	2020/11/05	2020/11/05
04327	Chong Hing Bank Ltd. 6.00% Subordinated Notes 2020	2020/10/30	2020/11/05	2020/11/04
85727	Hong Kong Mortgage Corporation Ltd. 4.05% CNY Notes2020, The	2020/11/03	2020/11/09	2020/11/06
04503	Ch Overseas Fin (Cayman) II Ltd. 5.50% Guaranteed Notes 2020	2020/11/05	2020/11/11	2020/11/10
05571	ICBCIL Finance Co. Ltd. 3.20% Notes 2020	2020/11/05	2020/11/11	2020/11/10
40052	Greentown China Holdings Ltd. 4.55% Senior Notes 2020	2020/11/05	2020/11/11	2020/11/10
04501	Sinochem Overseas Capital 4.50% Guaranteed Senior Notes 2020	2020/11/09	2020/11/13	2020/11/12
05010	AVIC International Leasing Co., Ltd. 3.00% Notes 2020	2020/11/11	2020/11/17	2020/11/16
05012	Yunnan Energy Inv Overseas Finance Co Ltd 3.75% Guar. B2020	2020/11/11	2020/11/17	2020/11/14
05017	China Minsheng Banking Corp., Ltd. HK Branch 2.875% N2020 A	2020/11/11	2020/11/17	2020/11/15
05018	China Minsheng Banking Corp., Ltd. HK Branch FR Notes 2020 B	2020/11/11	2020/11/17	2020/11/15
05022	Eastern Air Overseas (HK) Corp Ltd. 2.80% Guar. Bonds 2020	2020/11/11	2020/11/17	2020/11/16
05275	First FZ Bond Ltd. 6.90% Guaranteed Bonds 2020	2020/11/11	2020/11/17	2020/11/16
05574	CSCEC Finance (Cayman) I Ltd. 2.95% Guaranteed Notes 2020	2020/11/16	2020/11/20	2020/11/19
05576	Huarong Finance II Co., Ltd. 3.75% Guaranteed Notes 2020	2020/11/16	2020/11/20	2020/11/19
04074	Hong Kong Monetary Authority 2.08% Exchange Fund Notes 2020	2020/11/18	2020/11/23	2020/11/23
05027	Dangdai Int'l Investments Ltd. 7.25% Guar. Senior Notes 2020	2020/11/17	2020/11/23	2020/11/20
05294	Bank of China Ltd., Macau Branch 3.00% Notes 2020 B	2020/11/17	2020/11/23	2020/11/20
05346	Bank of China Ltd., Hong Kong Branch 3.30% Notes 2020 A	2020/11/17	2020/11/23	2020/11/20
05205	Radiant Access Ltd. 4.60% Guar. Senior Perpetual Capital Sec	2020/11/23	2020/11/27	-
05454	KWG Group Holdings Ltd. 9.85% Senior Notes 2020	2020/11/23	2020/11/27	2020/11/26
05456	Guangsha Holding Group Co., Ltd. 8.50% Guar Senior Notes2020	2020/11/23	2020/11/27	2020/11/26
05458	Times China Holdings Ltd. 10.95% Senior Notes 2020	2020/11/24	2020/11/30	2020/11/27
86633	Ministry of Finance of the PRC 3.40% CNY Bonds 2020	2020/11/25	2020/11/30	2020/11/30
04504	Fubon Bank (Hong Kong) Ltd. 6.125% Fixed Rate Notes 2020	2020/11/25	2020/12/01	2020/11/30
05040	Binhai Investment Co. Ltd. 4.45% Bonds 2020	2020/11/25	2020/12/01	2020/11/30
05044	Yankuang Group (Cayman) Ltd. 4.75% Bonds 2020	2020/11/25	2020/12/01	2020/11/30
05045	Mulpha MTN Ltd. 5.75% Notes 2020	2020/11/26	2020/12/02	2020/12/01
05207	SHK Ppt (Cap Market) Ltd. 4.45% Guar Senior Perpetual CapSec	2020/11/26	2020/12/02	-
05526	China SCE Group Holdings Ltd. 8.75% Senior Notes 2021	2020/11/26	2020/12/02	2021/01/15
05463	Redsun Properties Group Ltd. 13.50% Senior Notes 2020	2020/11/30	2020/12/04	2020/12/03
05586	Guangzhou Metro Invest Finance (BVI) Ltd. 3.375% Guar. N2020	2020/11/30	2020/12/04	2020/12/03
04009	Hong Kong Monetary Authority 2.44% Exchange Fund Notes 2020	2020/12/02	2020/12/07	2020/12/07
05023	Hongkong International (Qingdao) Co. Ltd. 3.75% Bonds 2020	2020/12/01	2020/12/07	2020/12/04
05046	Bank of Communications Co., Ltd. HK Branch FR Notes 2020	2020/12/01	2020/12/07	2020/12/04
05049	China Construction Bank Corporation, HK Branch 2.75% N2020A	2020/12/01	2020/12/07	2020/12/04
05051	China Construction Bank Corporation, HK Branch FR Notes2020B	2020/12/01	2020/12/07	2020/12/04

Withdrawal of listed debt securities (continued)

Code	Stock name	Last trading date	Delisted date	Maturity date
05056	Chouzhou International Investment Ltd. 4.00% Guar Bonds 2020	2020/12/01	2020/12/07	2020/12/04
05052	Anton Oilfield Services Group 9.75% Senior Notes 2020	2020/12/02	2020/12/08	2020/12/05
05057	Gansu Provincial Highway Aviation Tourism Invest 1.875%B2020	2020/12/02	2020/12/08	2020/12/07
05299	Press Metal (Labuan) Ltd. 4.80% Senior Notes 2022	2020/12/02	2020/12/08	2022/10/30
05583	BEA Ltd. Undated Non-Cumulative Sub Additional Tier 1 CapSec	2020/12/07	2020/12/11	-
05597	Unigroup International Holdings Ltd. 6.00% Guar. Bonds 2020	2020/12/07	2020/12/11	2020/12/10
04505	Hongkong Electric Finance Ltd. 4.25% Notes 2020	2020/12/09	2020/12/15	2020/12/14
05061	Haitian (BVI) Int'l Investment Develop 3.875% Guar Bonds2020	2020/12/09	2020/12/15	2020/12/12
05065	Xinxing Industry Investment Co. Ltd. 4.25% Guar. Bonds 2020	2020/12/09	2020/12/15	2020/12/14
05066	China CITIC Bank Corporation Ltd. 2.875% Bonds 2020A	2020/12/09	2020/12/15	2020/12/14
05068	China CITIC Bank Corporation Ltd. Floating Rate Bonds 2020B	2020/12/09	2020/12/15	2020/12/14
05070	Wuhan State-owned Assets Management Ltd Co 3.80% Notes 2020	2020/12/15	2020/12/21	2020/12/18
05072	Yangzhou Urban Construction State-Owned Assets 4.375% N2020	2020/12/16	2020/12/22	2020/12/19
05073	Urumqi Gaoxin Investment and Dev Group Co. Ltd. 5.20% B2020	2020/12/16	2020/12/22	2020/12/20
05074	Huzhou City Investment Development Group Co Ltd 4.875% N2020	2020/12/16	2020/12/22	2020/12/20
05076	Charming Light Investments Ltd. Floating Rate Notes 2020	2020/12/16	2020/12/22	2020/12/21
05184	Zhenro Properties Group Ltd. 12.50% Senior Notes 2021	2020/12/17	2020/12/23	2021/01/02
05081	Hanrui Overseas Investment Co., Ltd. 6.25% Guar. Bonds 2020	2020/12/22	2020/12/29	2020/12/28
05509	Changde Urban Construction and Investment Group 7.20% B2020	2020/12/22	2020/12/29	2020/12/27

Debt securities trading by type of issue, 2016-2020

HK\$mil

Year/month	No. of issues	Bank	State	State corporation	Supranational	Other Corporation	Total
2016*	892	66.10	10,422.67	7402.91	0.00	3,386.57	21,278.25
2017	1,047	1246.98	6,478.46	34805.09	7.07	17,958.70	60,496.31
2018	1,195	1141.38	5,537.27	29369.62	0.00	12,345.65	48,393.92
2019	1,388	441.14	2,843.48	28,151.59	0.00	29,255.47	60,691.67
2020	1,574	804.69	5,152.60	25,879.79	43.73	33,663.45	65,544.25
2020 Jan	1,402	6.04	109.31	1,633.90	0.00	2,648.95	4,398.20
Feb	1,414	1.58	189.86	1,118.78	0.00	2,229.56	3,539.77
Mar	1,421	201.56	420.76	4,361.89	0.00	2,177.53	7,161.75
Apr	1,408	12.05	236.31	2,482.41	0.00	2,348.79	5,079.56
May	1,417	47.31	182.68	2,549.17	0.00	2,614.94	5,394.09
Jun	1,444	213.02	129.89	2,265.05	0.00	2,615.16	5,223.12
Jul	1,467	39.31	128.24	2,206.65	43.73	2,687.47	5,105.40
Aug	1,493	27.44	135.25	2,052.58	0.00	2,708.46	4,923.73
Sep	1,518	34.67	123.16	1,934.01	0.00	3,189.68	5,281.52
Oct	1,556	37.45	39.16	1,038.09	0.00	2,275.78	3,390.47
Nov	1,584	134.10	2,776.83	2,544.60	0.00	3,833.26	9,288.80
Dec	1,574	50.15	681.15	1,692.66	0.00	4,333.88	6,757.84

* Figures have been adjusted following the revised classification of debt securities

Nominal value of listed debt securities as at the end of 2020

Major group	No. of issuers	No. of issues	Nominal amount (HK\$mil)
Bank	11	45	177,940.99
State	8	99	433,767.23
State corporation	378	850	3,215,157.83
Other Corporation	169	580	1,968,275.83
Total	566	1,574	5,795,141.88

Listed debt securities, 2016-2020

Country	2016		2017		2018		2019		2020	
	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)
Hong Kong	734	2,647,152.20	831	3,133,897.88	913	3,476,370.35	1,034	3,878,256.22	1,159	4,199,664.48
PRC	129	465,699.20	186	741,778.73	257	961,935.70	330	1,147,535.80	375	1,329,856.20
Foreign	28	144,397.04	29	156,101.63	24	149,049.63	23	177,871.17	40	265,621.21
Supranational	1	1,406.10	1	1,414.20	1	1,349.88	1	1,320.84	-	-
Total	892	3,258,654.54	1,047	4,033,192.43	1,195	4,588,705.55	1,388	5,204,984.02	1,574	5,795,141.88

Newly listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Fund manager	Issue price (HK\$)	No. of unit offer	Amount raised (HK\$mil)	Subscription rate (times)	Listing date
02806/09806	Global X China Consumer Brand ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/01/17
02809/09809	Global X China Clean Energy ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/01/17
02845/09845	Global X China Electric Vehicle ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/01/17
03005/83005	CSOP CSI 500 ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/03/19
03047/09047	SSIF DCE Iron Ore Futures Index ETF	Shanxi Securities International Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/03/27
07266	CSOP NASDAQ-100 Index Daily (2x) Leveraged Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/05/15
07299	CSOP Gold Futures Daily (2x) Leveraged Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/06/05
03091/09091	NikkoAM E-Games Active ETF	Nikko Asset Management Hong Kong Limited	n.a.	n.a.	n.a.	n.a.	2020/06/16
03022/09022	iShares MSCI Emerging Markets ETF (HK)	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2020/07/22
07233	CSOP CSI 300 Index Daily (2x) Leveraged Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/07/27
07333	CSOP CSI 300 Index Daily (-1x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/07/27
07272	ChinaAMC Direxion CSI 300 Index Daily (2x) Leveraged Product	China Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/07/27
07373	ChinaAMC Direxion CSI 300 Index Daily (-1x) Inverse Product	China Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/07/27
02807/09807	Global X China Robotics and AI ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/08/07
03191/09191	Global X China Semiconductor ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/08/07
03033	CSOP Hang Seng TECH Index ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/08/28
03088/09088	ChinaAMC Hang Seng TECH Index ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/09/03
03032	Hang Seng TECH Index ETF	Hang Seng Investment Management Limited	n.a.	n.a.	n.a.	n.a.	2020/09/04
03067/09067	iShares Hang Seng TECH ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2020/09/17
03187/09187	Samsung S&P High Dividend APAC ex NZ REITs ETF	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2020/10/15
03031/83031/09031	Haitong MSCI China A ESG ETF	Haitong International Asset Management (HK) Limited	n.a.	n.a.	n.a.	n.a.	2020/10/15
03130/83130	Hang Seng Harvest CSI 300 Index ET	Hang Seng Investment Management Limited	n.a.	n.a.	n.a.	n.a.	2020/10/23
03193	CSOP Yinhua CSI 5G Communications Theme ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/10/23
03071	CICC HKD Money Market ETF	China International Capital Corporation Hong Kong Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/12/02
07552	CSOP Hang Seng TECH Index Daily (-2x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/12/10
07226	CSOP Hang Seng TECH Index Daily (2x) Leveraged Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2020/12/10

Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
03146/83146/09146	iShares DAX Index ETF	2019/11/28	2020/01/29
03155/83155/09155	iShares EURO STOXX 50 Index ETF	2019/11/28	2020/01/29
02847/82847/09847	iShares FTSE 100 Index ETF	2019/11/28	2020/01/29
03084	Value Japan ETF	2019/12/16	2020/02/17
03041	Value Korea ETF	2019/12/16	2020/02/17
03060	Value Taiwan ETF	2019/12/16	2020/02/17
03180/83180	ChinaAMC CES China A80 Index ETF	2017/11/09	2020/02/26
03171	ComStage 1 DAX UCITS ETF	2020/02/14	2020/03/13
03177	ComStage 1 DivDAX UCITS ETF	2020/02/14	2020/03/13
03046	Value China ETF	2020/08/26	2020/10/27
07267	ChinaAMC Direxion HSCEI Daily (2x) Leveraged Product	2020/09/01	2020/11/02
07341	ChinaAMC Direxion HSCEI Daily (-1x) Inverse Product	2020/09/01	2020/11/02
07242	E Fund Yuanta Hang Seng Index Daily (2x) Leveraged Product	2020/08/14	2020/11/09
07302	E Fund Yuanta Hang Seng Index Daily (-1x) Inverse Product	2020/08/14	2020/11/09
03095/83095	Value China A-Share ETF	2020/08/26	2020/12/22

Individual performance on unit trusts and mutual funds as at the end of 2020

Code	Name	Closing price #		Change (%)	Turnover value (HK\$mil) *	Market value # (HK\$mil)
		2020 (HK\$)	2019 (HK\$)			
Exchange Traded Funds (ETFs)						
03071	A CICC HKD	1,000.600	-	-	0.01	351.63
03011	A ICBCICCUSD	7,959.400	7,886.500	0.92	59.27	4,141.91
09011	A ICBCICCUSD-U	US\$ 1,025.900	US\$ 1,012.950	1.28	88.26	-
03091	A NIKKOAMGAME	104.150	-	-	91.19	166.02
09091	A NIKKOAMGAME-U	US\$ 13.440	US\$ -	-	51.85	-
02819	ABF HK IDX ETF	101.950	98.950	3.03	26.15	2,327.52
02821	ABF PAIF	US\$ 127.650	US\$ 119.400	6.91	181.62	30,173.46
02843	AMUNDI A50	20.940	16.040	30.55	190.57	303.63
82843	AMUNDI A50-R	RMB 17.560	RMB 14.380	22.11	108.42	-
03012	AMUNDI HK35	21.060	20.600	2.23	66.96	52.65
83012	AMUNDI HK35-R	RMB 17.690	RMB 18.560	-4.69	70.00	-
03121	BMO AP REAL EST	9.745	11.260	-13.45	34.51	8.77
03145	BMO ASIA HIGHDV	10.390	10.380	0.10	45.98	636.91
03141	BMO ASIA IGB	16.560	16.040	3.24	294.55	1,682.50
09141	BMO ASIA IGB-U	US\$ 2.134	US\$ 2.060	3.59	140.51	-
03165	BMO EU QLT Y HDG	13.690	12.840	6.62	75.45	65.71
03143	BMO HK BANKS	6.685	8.330	-19.75	49.00	38.10
03160	BMO JAPAN HDG	12.080	11.600	4.14	43.11	361.19
03086	BMO NASDAQ100	25.880	17.600	47.05	506.30	217.39
09086	BMO NASDAQ100-U	US\$ 3.342	US\$ 2.270	47.22	3.18	-
02832	BOSERA FA50	10.300	7.730	33.25	1.61	15.45
82832	BOSERA FA50-R	RMB 8.640	RMB 6.950	24.32	0.38	-
03188	CAM CSI300	62.760	46.400	35.26	195,541.28	18,602.06
83188	CAM CSI300-R	RMB 52.620	RMB 41.550	26.64	6,432.31	-
02813	CAM CTPB BOND	124.600	116.250	7.18	33.33	43.61
82813	CAM CTPB BOND-R	RMB 104.200	RMB 103.750	0.43	20.13	-
03088	CAM HS TECH	10.600	-	-	1,125.64	404.92
09088	CAM HS TECH-U	US\$ 1.364	US\$ -	-	3.61	-
03197	CAM MSCI AINC	14.670	10.560	38.92	39.00	51.35
83197	CAM MSCI AINC-R	RMB 12.300	RMB 9.550	28.80	9.51	-
03157	CAM SCSMALLCAP	27.100	21.150	28.13	2.33	54.20
03079	CICC CGB	130.200	118.750	9.64	4.25	97.65
83079	CICC CGB-R	RMB 109.100	RMB 106.400	2.54	3.49	-
03186	CICCKRANECNET	104.300	65.200	59.97	189.41	39.11
83186	CICCKRANECNET-R	RMB 87.600	RMB 58.450	49.87	62.08	-
09186	CICCKRANECNET-U	US\$ 13.420	US\$ 8.400	59.76	42.86	-
03093	CICCSELECT100	12.750	11.220	13.64	3.15	57.38
83093	CICCSELECT100-R	RMB 10.690	RMB 10.060	6.26	1.22	-
02822	CSOP A50 ETF	19.730	15.220	29.63	111,768.89	13,623.57
82822	CSOP A50 ETF-R	RMB 16.520	RMB 13.660	20.94	4,981.04	-
03147	CSOP CHINEXT	12.480	7.070	76.52	2,974.80	950.98
83147	CSOP CHINEXT-R	RMB 10.410	RMB 6.330	64.45	102.35	-
03193	CSOP CSI 5G	8.025	-	-	41.12	20.87
03199	CSOP CTPBBOND	123.000	115.150	6.82	47.38	222.63
83199	CSOP CTPBBOND-R	RMB 102.400	RMB 103.150	-0.73	54.28	-
03053	CSOP HKD MM	1,033.300	1,023.350	0.97	846.12	1,997.38
83053	CSOP HKD MM-R	RMB 867.650	RMB 919.500	-5.64	97.66	-
03033	CSOP HS TECH	8.360	-	-	16,481.54	4,985.91
03149	CSOP MSCI	17.230	12.760	35.03	38.86	29.29
83149	CSOP MSCI-R	RMB 14.460	RMB 11.400	26.84	19.93	-
03122	CSOP RMB MM	184.500	167.800	9.95	1.85	63.65
83122	CSOP RMB MM-R	RMB 154.650	RMB 150.550	2.72	0.21	-
03096	CSOP USD MM	794.400	794.150	0.03	7.94	707.02
09096	CSOP USD MM-U	US\$ 102.400	US\$ 101.950	0.44	120.13	-
03005	CSOPCSI500ETF	20.700	-	-	214.71	107.64
83005	CSOPCSI500ETF-R	RMB 17.360	RMB -	-	165.37	-
03100	EFUND CSI300	60.200	47.400	27.00	55.15	48.16
83100	EFUND CSI300-R	RMB 50.480	RMB 42.400	19.06	74.95	-
03097	F GX OIL	2.626	4.910	-46.52	837.92	98.48
03175	F SAMSUNG OIL	3.174	12.820	-75.24	24,192.26	2,988.32
03047	F SSIFIRONORE	14.380	-	-	64.72	133.73
09047	F SSIFIRONORE-U	US\$ 1.906	US\$ -	-	5.82	-
02820	GX CN BIOTECH	119.100	65.650	81.42	2,305.77	2,578.52
09820	GX CN BIOTECH-U	US\$ 15.340	US\$ 8.440	81.75	1,906.22	-
02809	GX CN CLN EN	109.500	-	-	1,303.34	2,042.18
09809	GX CN CLN EN-U	US\$ 14.060	US\$ -	-	1,429.79	-
02826	GX CN CLOUD	117.500	64.650	81.75	3,072.27	1,445.25
09826	GX CN CLOUD-U	US\$ 15.170	US\$ 8.330	82.11	2,026.93	-
02806	GX CN CONSUME	84.800	-	-	1,296.23	848.00
09806	GX CN CONSUME-U	US\$ 10.950	US\$ -	-	1,434.92	-
02845	GX CN ELE VEH	129.850	-	-	3,672.05	3,428.04
09845	GX CN ELE VEH-U	US\$ 16.720	US\$ -	-	3,648.05	-
02807	GX CN ROBO&AI	59.920	-	-	238.60	281.62
09807	GX CN ROBO&AI-U	US\$ 7.710	US\$ -	-	273.40	-
03191	GX CN SEMICON	55.100	-	-	819.65	986.29
09191	GX CN SEMICON-U	US\$ 7.115	US\$ -	-	1,218.22	-
03127	GX CSI300	21.340	15.780	35.23	34.43	53.35
83127	GX CSI300-R	RMB 17.900	RMB 14.120	26.77	14.79	-
03110	GX HS HIGH DIV	25.620	29.100	-11.96	92.85	128.10
03040	GX MSCI CHINA	44.300	34.600	28.03	767.39	965.74
03118	HGI MSCI CN A	21.080	15.280	37.96	38.87	84.32
83118	HGI MSCI CN A-R	RMB 17.850	RMB 13.820	29.16	19.19	-
03128	HS AINDTOPETF	76.200	56.600	34.63	94.02	273.70
83128	HS AINDTOPETF-R	RMB 63.980	RMB 50.450	26.82	55.70	-
03130	HS CSI300	30.720	-	-	30.92	98.30
83130	HS CSI300-R	RMB 25.300	RMB -	-	3.93	-
02838	HS FCI50 ETF	207.400	196.300	5.65	89.60	170.32
02833	HS HSI ETF	27.700	28.600	-3.15	1,780.85	48,383.65
82833	HS HSI ETF-R	RMB 23.200	RMB 25.750	-9.90	93.89	-
83168	HS RMB GOLD ETF	RMB 32.400	RMB 26.700	21.35	55.18	74.33
02828	HSCEI ETF	108.700	113.400	-4.14	144,389.75	25,898.89
82828	HSCEI ETF-R	RMB 91.180	RMB 101.600	-10.26	128.02	-
03032	HSTECH ETF	8.390	-	-	1,530.99	495.01
03031	HT CH A ESG	12.650	-	-	49.40	430.10
83031	HT CH A ESG-R	RMB 10.610	RMB -	-	24.88	-
09031	HT CH A ESG-U	US\$ 1.630	US\$ -	-	13.49	-
02811	HT CSI300 ETF	21.820	16.280	34.03	34.95	240.02

Individual performance on unit trusts and mutual funds as at the end of 2020

(continued)

Code	Name	Closing price #		Change (%)	Turnover value (HK\$mil) *	Market value # (HK\$mil)
		2020 (HK\$)	2019 (HK\$)			
82811	HT CSI300 ETF-R	RMB 18.290	RMB 14.580	25.45	7.46	-
03167	ICBCCSOPCHINA	107.000	73.950	44.69	223.36	2,011.60
83167	ICBCCSOPCHINA-R	RMB 89.640	RMB 66.200	35.41	15.36	-
09167	ICBCCSOPCHINA-U	US\$ 13.780	US\$ 9.500	45.05	13.30	-
02823	ISHARES A50	19.980	15.320	30.42	94,296.15	18,981.00
82823	ISHARES A50-R	RMB 16.740	RMB 13.740	21.83	811.13	-
03010	ISHARES AXJ	65.940	53.900	22.34	905.88	16,532.48
83010	ISHARES AXJ-R	RMB 55.300	RMB 48.300	14.49	39.33	-
09010	ISHARES AXJ-U	US\$ 8.505	US\$ 6.930	22.73	83.76	-
02801	ISHARES CHINA	34.540	27.100	27.45	1,579.90	5,326.02
02802	ISHARES EM ASIA	68.440	54.900	24.66	211.90	260.07
02836	ISHARES INDIA	29.400	26.350	11.57	496.63	723.24
82836	ISHARES INDIA-R	RMB 24.600	RMB 23.600	4.24	58.62	-
09836	ISHARES INDIA-U	US\$ 3.772	US\$ 3.390	11.27	23.85	-
02846	ISHARESCSI300	40.200	29.300	37.20	253.59	291.45
82846	ISHARESCSI300-R	RMB 33.460	RMB 26.300	27.22	63.00	-
09846	ISHARESCSI300-U	US\$ 5.150	US\$ 3.770	36.60	39.80	-
03115	ISHARESHSI	98.000	101.200	-3.16	353.37	254.80
83115	ISHARESHSI-R	RMB 82.240	RMB 90.800	-9.43	74.86	-
09115	ISHARESHSI-U	US\$ 12.650	US\$ 12.960	-2.39	140.12	-
03067	ISHARESHSTECH	17.560	-	-	2,700.60	3,890.42
09067	ISHARESHSTECH-U	US\$ 2.260	US\$ -	-	366.60	-
03170	ISHARESKS200	137.650	98.100	40.32	79.13	49.55
83170	ISHARESKS200-R	RMB 115.400	RMB 88.350	30.62	33.23	-
09170	ISHARESKS200-U	US\$ 17.740	US\$ 12.660	40.13	21.81	-
03022	ISHARESMSCIEM	576.800	-	-	23.31	132.66
09022	ISHARESMSCIEM-U	US\$ 74.400	US\$ -	-	11.47	-
03074	ISHARESMSCITW	166.200	125.600	32.32	256.33	618.26
83074	ISHARESMSCITW-R	RMB 138.900	RMB 112.400	23.58	119.72	-
09074	ISHARESMSCITW-U	US\$ 21.460	US\$ 15.960	34.46	333.84	-
02834	ISHARESN100	240.000	162.900	47.33	629.68	278.40
82834	ISHARESN100-R	RMB 201.600	RMB 146.400	37.70	266.09	-
09834	ISHARESN100-U	US\$ 31.020	US\$ 21.000	47.71	135.59	-
02824	LIPPO HK&M PROP	76.840	88.850	-13.52	12.22	140.23
03072	NIKKOAM INET	153.250	88.100	73.95	73.87	178.84
09072	NIKKOAM INET-U	US\$ 19.750	US\$ 11.340	74.16	76.17	-
03023	PA AIRROBOTICS	130.700	90.400	44.58	20.73	50.97
03163	PA MULTI-FACTOR	42.020	33.750	24.50	0.72	37.82
03166	PA QLTQ FACTOR	47.920	35.400	35.37	0.80	43.13
03198	PING AN 5HANDL	93.560	86.750	7.85	6.80	33.68
03080	PING AN CGB	127.950	121.900	4.96	25.48	318.60
03070	PING AN HKDIV	24.300	28.850	-15.77	78.74	749.66
02803	PP BEDROCK	8.235	7.390	11.43	143.18	559.98
09803	PP BEDROCK-U	US\$ -	US\$ -	-	-	-
03173	PP CN NEWECON	12.120	7.780	55.78	958.68	2,757.30
09173	PP CN NEWECON-U	US\$ 1.560	US\$ 1.000	56.00	14.26	-
03077	PREMIA UST	3,881.700	3,908.000	-0.67	34.86	11.65
09078	PREMIA UST A-U	US\$ 506.700	US\$ 504.150	0.51	66.57	426.54
09077	PREMIA UST-U	US\$ 500.450	US\$ 501.950	-0.30	52.49	-
02804	PREMIA VIET	85.980	75.950	13.21	85.01	199.47
09804	PREMIA VIET-U	US\$ 11.150	US\$ 9.760	14.24	37.05	-
03181	PREMIAASIATEC	134.600	84.050	60.14	67.00	107.68
09181	PREMIAASIATEC-U	US\$ 17.280	US\$ 10.800	60.00	38.75	-
02810	PREMIAEMASEAN	73.560	80.450	-8.56	27.26	301.60
09810	PREMIAEMASEAN-U	US\$ 9.480	US\$ 10.300	-7.96	29.97	-
03187	SAMSUNG REITS	21.320	-	-	149.85	200.41
09187	SAMSUNG REITS-U	US\$ 2.746	US\$ -	-	66.99	-
02812	SAMSUNGCDGN	22.580	12.700	77.80	1,156.41	203.22
09812	SAMSUNGCDGN-U	US\$ 2.904	US\$ -	-	2.66	-
02840	SPDR GOLD TRUST	1,374.000	1,116.000	23.12	27,559.62	562,378.20
03073	SPDR GREATCHINA	53.300	44.200	20.59	216.95	1,183.26
02800	TRACKER FUND	27.400	28.450	-3.69	528,705.31	103,790.99
03007	TRFXIC50	341.600	314.600	8.58	4.54	992.59
03048	TRMSCIBRAZIL	36.100	44.650	-19.15	28.29	28.70
03055	TRMSCICHINA	188.250	148.300	26.94	20.98	16.94
02848	TRMSCIKOREA	790.800	554.500	42.61	123.36	1,079.77
03082	TRMSCIMALAY	93.520	91.550	2.15	9.70	8.98
03043	TRMSCIPACEXJ	53.420	50.500	5.78	20.77	14.50
03016	TRMSCIPHIL	14.470	14.900	-2.89	12.57	11.50
03065	TRMSCISG	11.130	11.880	-6.31	9.47	25.38
03036	TRMSCITAIWAN	367.100	271.200	35.36	18.52	1,795.03
03092	TRMSCITHAI	184.550	207.800	-11.19	91.56	20.12
03081	VALUEGOLD ETF	45.060	36.600	23.11	1,843.09	1,649.20
83081	VALUEGOLD ETF-R	RMB 37.780	RMB 32.700	15.54	61.31	-
09081	VALUEGOLD ETF-U	US\$ 5.825	US\$ 4.690	24.20	17.79	-
02805	VANGUARDASXJP	29.600	24.250	22.06	133.10	583.12
82805	VANGUARDASXJP-R	RMB 24.740	RMB 21.800	13.49	11.48	-
09805	VANGUARDASXJP-U	US\$ 3.806	US\$ 3.110	22.38	10.62	-
03085	VANGUARDAXJHY	23.620	22.400	5.45	108.80	347.21
83085	VANGUARDAXJHY-R	RMB 19.790	RMB 20.000	-1.05	20.46	-
09085	VANGUARDAXJHY-U	US\$ 3.046	US\$ 2.860	6.50	20.67	-
03169	VANGUARDCHINA	12.390	9.470	30.83	216.84	339.49
83169	VANGUARDCHINA-R	RMB 10.340	RMB 8.460	22.22	31.10	-
09169	VANGUARDCHINA-U	US\$ 1.592	US\$ 1.210	31.57	100.78	-
03101	VANGUARDDDVEUR	20.580	19.660	4.68	195.75	944.62
83101	VANGUARDDDVEUR-R	RMB 17.310	RMB 17.660	-1.98	23.29	-
09101	VANGUARDDDVEUR-U	US\$ 2.656	US\$ 2.520	5.40	29.87	-
03126	VANGUARDJAPAN	29.900	26.250	13.90	180.40	209.30
83126	VANGUARDJAPAN-R	RMB 25.040	RMB 23.700	5.65	94.58	-
09126	VANGUARDJAPAN-U	US\$ 3.854	US\$ 3.400	13.35	50.97	-
03140	VANGUARDSP500	26.580	23.200	14.57	1,689.30	1,714.41
83140	VANGUARDSP500-R	RMB 22.300	RMB 20.800	7.21	117.97	-
09140	VANGUARDSP500-U	US\$ 3.428	US\$ 2.980	15.03	91.27	-
02827	WISE CSI300 ETF	50.540	36.900	36.96	1,880.69	2,648.30
03182	WISE NEW ECON50	19.840	11.180	77.46	11.34	15.48

Individual performance on unit trusts and mutual funds as at the end of 2020

(continued)

Code	Name	Closing price #		Change (%)	Turnover value (HK\$mil) *	Market value # (HK\$mil)
		2020 (HK\$)	2019 (HK\$)			
03024	WISE SSE50ETF	35.800	27.600	29.71	28.40	100.24
02825	WISECSIHK100ETF	29.280	26.600	10.08	10.57	1,368.26
03049	X TRCSI300	11.910	8.560	39.14	154.80	282.05
03087	X TRFTSEVIET	276.000	238.600	15.67	229.95	2,820.84
03099	X TRMSCIINDO	114.050	125.800	-9.34	19.75	17.45
03027	X TRMSCIRUSSIA	21.620	27.500	-21.38	47.44	82.69
03020	X TRMSCIUSA	829.400	692.000	19.86	77.19	25,103.33
03019	X TRMSCIWORLD	60.940	53.350	14.23	68.39	115.24
03015	X TRNIFTY50	1,445.500	1,312.000	10.18	8.36	1,009.60
Leveraged and Inverse Products (L&I)						
07300	FI CSOP HSI	4.856	5.200	-6.62	7,653.35	633.22
07362	FI GX HSCEI	5.285	5.660	-6.63	47.66	24.31
07336	FI GX HSI	5.015	5.400	-7.13	55.33	26.58
07588	FI2 CSOP HSCEI	4.310	5.220	-17.43	1,558.36	106.89
07500	FI2 CSOP HSI	5.095	6.250	-18.48	230,062.95	6,244.43
07522	FI2CAMDXNNDQ100	2.912	9.370	-68.92	4,923.96	575.70
07568	FI2CSOPNASDAQ	1.998	6.340	-68.49	2,066.73	578.62
07331	FICAMDXNNDQ100	4.574	7.700	-40.60	555.76	108.40
07321	FICAMHSI	5.045	5.700	-11.49	389.63	15.14
07328	FISAMSUNGHSCIEI	4.252	4.610	-7.77	110.27	15.31
07312	FISAMSUNGHSI	3.990	4.350	-8.28	73.68	14.36
07288	FL2 CSOP HSCEI	7.670	8.570	-10.50	2,753.55	227.03
07200	FL2 CSOP HSI	9.305	10.160	-8.42	105,146.17	863.50
07230	FL2 GX HSCEI	7.770	8.850	-12.20	85.15	20.98
07231	FL2 GX HSI	9.700	10.660	-9.01	65.14	64.99
07261	FL2CAMDXNNDQ100	18.840	10.025	87.93	2,298.57	145.07
07221	FL2CAMHSI	9.130	11.000	-17.00	216.46	7.30
07299	FL2CSOPGOLD	8.765	-	-	5,429.54	2,014.20
07266	FL2CSOPNASDAQ	14.710	-	-	81.28	26.48
07228	FL2SAMSUNGHSCIEI	6.375	7.200	-11.46	362.33	21.04
07205	FL2SAMSUNGHSI	7.650	8.490	-9.89	289.40	59.67
07322	XI GX S&P500	7.710	9.520	-19.01	272.46	48.19
07552	XI2CSOPHSTECH	7.080	-	-	114.09	111.86
07373	XICAMDXNCSI300	6.110	-	-	870.20	147.86
07333	XICSOPCSI300	6.910	-	-	1,794.28	1,243.80
07272	XL2CAMDXNCSI300	12.280	-	-	1,910.87	175.60
07233	XL2CSOPCSI300	10.970	-	-	3,881.84	952.20
07226	XL2CSOPHSTECH	8.170	-	-	136.86	145.43
Real Estates Investment Trusts (REITs)						
02778	CHAMPION REIT	4.530	5.150	-12.04	4,405.78	26,754.83
01503	CMC REIT	2.450	3.330	-26.43	679.80	2,763.16
00778	FORTUNE REIT	7.390	9.090	-18.70	6,827.80	14,446.10
87001	HUI XIAN REIT	RMB 1.810	RMB 3.280	-44.82	2,414.24	12,786.22
00823	LINK REIT	70.600	82.500	-14.42	129,013.45	146,979.52
01275	NEW CENT REIT	1.410	1.600	-11.88	8.49	1,393.09
00808	PROSPERITY REIT	2.420	3.050	-20.66	880.89	3,688.24
01881	REGAL REIT	1.370	2.020	-32.18	251.81	4,462.68
00625	RREEF CCT REIT	4.350	4.350	-	-	2,019.10
01426	SPRING REIT	2.700	3.220	-16.15	306.77	3,944.36
00435	SUNLIGHT REIT	3.770	5.040	-25.20	1,358.18	6,284.09
00405	YUEXIU REIT	3.780	5.280	-28.41	3,548.72	12,223.98
Others						
00820	HSBCDRAGON FUND	15.600	10.940	42.60	236.62	858.02
Total					1,738,267.68	1,194,365.51

Notes: * Traded in foreign currency securities have been converted in HKD

Year end figures

n.a. Closing price is not available.

Market value for unit trusts and mutual funds, 2016 -2020

Year/ Month	Exchange Traded Funds (ETFs)		Leveraged and Inverse Products (L&I Products)		Real Estate Investment Trusts (REITs)		Others		Total		
	No. of issues	Market value	No. of issues	Market value	No. of issues	Market value	No. of issues	Market value	No. of issues	Market value	
		(HK\$mil)		(HK\$mil)		(HK\$mil)		(HK\$mil)			
2016	133	561,308.69	12	517.17	11	210,386.83	1	1,134.67	157	773,347.35	
2017	106	632,535.18	27	3,442.75	11	276,508.56	1	1,162.33	145	913,648.81	
2018	115	551,375.79	22	5,252.56	11	280,106.35	1	556.89	149	837,291.59	
2019	111	671,496.58	24	7,543.00	12	288,708.06	1	752.14	148	968,499.79	
2020	118	941,143.97	28	14,618.17	12	237,745.36	1	858.02	159	1,194,365.51	
2020	Jan	111	657,631.41	24	8,776.85	12	275,836.82	1	721.89	148	942,966.97
	Feb	107	664,921.84	24	9,127.32	12	256,821.62	1	739.77	144	931,610.55
	Mar	107	648,207.86	24	7,476.30	12	226,773.06	1	502.71	144	882,959.93
	Apr	107	697,567.42	24	8,330.25	12	235,995.81	1	529.66	144	942,423.14
	May	107	737,529.69	25	9,203.74	12	200,456.75	1	522.51	145	947,712.69
	Jun	108	798,018.79	26	9,966.12	12	216,522.80	1	596.21	147	1,025,103.93
	Jul	109	896,780.43	30	12,322.28	12	208,205.13	1	682.01	152	1,117,989.84
	Aug	112	936,515.33	30	12,212.47	12	212,045.61	1	715.02	155	1,161,488.42
	Sep	115	911,238.02	30	12,400.13	12	209,681.58	1	713.92	158	1,134,033.64
	Oct	118	916,953.18	30	12,259.61	12	201,413.73	1	762.32	161	1,131,388.84
	Nov	118	914,933.89	26	14,057.62	12	231,423.73	1	816.22	157	1,161,231.47
	Dec	118	941,143.97	28	14,618.17	12	237,745.36	1	858.02	159	1,194,365.51

Trading value for unit trusts and mutual funds, 2016 - 2020

Year/ Month	No. of trading days	Exchange Traded Funds (ETFs)		Leveraged and Inverse Products (L&I products)		Real Estate Investment Trusts (REITs)		Others		Total		
		Turnover value	Average daily	Turnover value	Average daily	Turnover value	Average daily	Turnover value	Average daily	Turnover value	Average daily	
		(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	(HK\$mil)	
2016	245	1,011,412.89	4,128.22	505	2.06	91,086.25	371.78	109.31	0.45	1,103,113.77	4,502.51	
2017	246	1,065,380.88	4,330.82	94,095.00	382.50	89,410.35	363.46	277.34	1.13	1,249,163.57	5,077.90	
2018	246	987,251.32	4,013.22	109,851.98	446.55	112,576.01	457.63	253.62	1.03	1,209,932.94	4,918.43	
2019	246	1,076,531.15	4,376.14	141,423.64	574.89	149,654.52	608.35	101.89	0.41	1,367,711.21	5,559.80	
2020	248	1,215,210.31	4,900.04	373,472.91	1,505.94	149,695.93	603.61	236.62	0.95	1,738,615.77	7,010.55	
2020	Jan	20	109,851.82	5,492.59	16,483.81	824.19	12,660.51	633.03	26.96	1.35	139,023.11	6,951.16
	Feb	20	143,404.50	7,170.23	28,358.71	1,417.94	13,687.07	684.35	28.38	1.42	185,478.67	9,273.93
	Mar	22	134,550.44	6,115.93	52,159.42	2,370.88	23,802.33	1,081.92	16.08	0.73	210,528.27	9,569.47
	Apr	19	88,252.28	4,644.86	36,323.02	1,911.74	10,353.17	544.90	2.56	0.13	134,931.03	7,101.63
	May	20	82,540.73	4,127.04	45,951.97	2,297.60	12,143.88	607.19	3.56	0.18	140,640.15	7,032.01
	Jun	21	89,825.60	4,277.41	39,963.18	1,903.01	16,024.70	763.08	9.25	0.44	145,822.73	6,943.94
	Jul	22	123,037.78	5,592.63	35,932.32	1,633.29	11,489.75	522.26	40.24	1.83	170,500.09	7,750.00
	Aug	21	86,451.91	4,116.76	31,698.02	1,509.43	8,485.96	404.09	24.74	1.18	126,660.64	6,031.46
	Sep	22	93,135.10	4,233.41	28,292.20	1,286.01	10,298.92	468.13	12.96	0.59	131,739.17	5,988.14
	Oct	18	62,622.57	3,479.03	18,472.25	1,026.24	6,628.72	368.26	19.95	1.11	87,743.49	4,874.64
	Nov	21	101,128.82	4,815.66	23,519.62	1,119.98	13,877.07	660.81	29.58	1.41	138,555.08	6,597.86
	Dec	22	100,408.75	4,564.03	16,318.37	741.74	10,243.86	465.63	22.35	1.02	126,993.34	5,772.42

Notes: Trading in foreign currency unit trusts have been converted in HKD.

Trading value and volume for NASDAQ stocks, 2016 – 2020

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
			Total	Average daily	Total	Average daily	Total	Average daily	
2016	6	245	466,880	1,906	4,120	17	21	0	
2017	6	246	214,950	874	970	4	10	0	
2018	6	246	4,809,098	19,549	14,590	59	34	0	
2019	6	246	2,979,800	12,113	7,630	31	8	0	
2020	6	248	461,256	1,860	930	4	11	0	
2020	Jan	6	20	-	-	-	-	-	-
	Feb	6	20	12,500	625	50	3	1	0
	Mar	6	22	-	-	-	-	-	-
	Apr	6	19	-	-	-	-	-	-
	May	6	20	10,000	500	20	1	1	0
	Jun	6	21	-	-	-	-	-	-
	Jul	6	22	25,500	1,159	110	5	2	0
	Aug	6	21	-	-	-	-	-	-
	Sep	6	22	360,240	16,375	560	25	4	0
	Oct	6	18	-	-	-	-	-	-
	Nov	6	21	25,000	1,190	110	5	2	0
	Dec	6	22	28,016	1,273	80	4	1	0

Trading value and volume for iShares, 2016 – 2020

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals	
			Total	Average daily	Total	Average daily	Total	Average daily
2016	2	245	-	-	-	-	-	-
2017	2	230	365,865	1,591	850	4	10	0
2018	-	-	-	-	-	-	-	-
2019	-	-	-	-	-	-	-	-
2020	-	-	-	-	-	-	-	-
2020	Jan	-	-	-	-	-	-	-
	Feb	-	-	-	-	-	-	-
	Mar	-	-	-	-	-	-	-
	Apr	-	-	-	-	-	-	-
	May	-	-	-	-	-	-	-
	Jun	-	-	-	-	-	-	-
	Jul	-	-	-	-	-	-	-
	Aug	-	-	-	-	-	-	-
	Sep	-	-	-	-	-	-	-
	Oct	-	-	-	-	-	-	-
	Nov	-	-	-	-	-	-	-
	Dec	-	-	-	-	-	-	-

Note: The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Turnover value on RMB listed securities products by type, 2016-2020

	Equities	ETFs										Total		
		Gold		Stock Index		Bond Index		Money Market		REITs	Debt securities	(in RMB mil.)	(in HKD mil. Equiv.)	
		RQFII	Non-RQFII	RQFII	Non-RQFII	RQFII	Non-RQFII	RQFII	Non-RQFII					
2016	59.07	-	18.93	8,664.35	309.43	138.22	8.72	-	-	2,331.38	352.89	11,883.00	13,795.04	
2017	51.95	-	10.11	5,388.46	751.17	140.87	-	-	-	2,751.78	2,565.73	11,660.07	13,279.43	
2018	245.95	-	10.52	6,505.62	1,411.14	52.85	62.91	-	48.55	2,867.85	617.04	11,822.43	13,860.02	
2019	67.52	-	42.66	7,185.93	1,337.02	43.48	37.49	0.34	167.80	2,452.28	352.71	11,687.23	13,158.92	
2020	25.37	-	105.05	11,561.13	1,183.15	48.81	21.38	0.18	89.98	2,191.10	276.58	15,502.74	17,204.16	
2020	Jan	4.11	-	6.41	843.12	90.16	6.47	6.51	-	10.99	243.07	17.03	1,227.86	1,363.70
	Feb	4.11	-	7.20	1,432.68	21.33	1.83	0.87	-	2.77	346.60	2.92	1,820.32	2,001.48
	Mar	4.15	-	5.63	1,264.84	343.37	9.65	2.10	-	9.27	634.11	34.76	2,307.89	2,530.05
	Apr	0.96	-	7.13	348.55	85.52	2.74	3.16	0.03	35.78	169.57	20.61	674.04	729.72
	May	1.62	-	3.93	370.12	53.88	1.98	1.38	0.01	16.17	116.30	17.78	583.16	629.33
	Jun	0.97	-	6.21	618.63	122.73	3.06	0.51	0.01	1.89	84.76	13.12	851.89	921.02
	Jul	2.22	-	17.46	2,116.21	109.25	2.74	2.94	-	13.10	191.86	56.03	2,511.82	2,740.78
	Aug	1.75	-	23.51	890.00	60.52	5.92	0.72	-	-	107.20	58.97	1,148.58	1,267.74
	Sep	0.77	-	9.71	1,282.60	71.08	3.92	0.76	-	-	155.58	32.82	1,557.25	1,751.64
	Oct	1.03	-	4.62	534.79	84.16	4.91	0.19	-	-	41.19	10.49	681.37	774.91
	Nov	1.72	-	8.71	848.65	70.16	4.39	1.90	0.11	-	47.04	2.06	984.73	1,141.56
	Dec	1.96	-	4.55	1,010.95	71.00	1.20	0.34	0.03	-	53.82	9.98	1,153.82	1,352.24

RMB-denominated ETFs tracking A-share indices issued under RMB Qualified Foreign Institutional Investors (RQFII) quota (RQFII A-share ETFs).

List of listed RMB securities products

End of 2020

Code	Securities	Type	Listing date	First trading date
87001	Hui Xian Real Estate Investment Trust	REIT	2011/04/29	2011/04/29
83168	Hang Seng RMB Gold ETF	ETF	2012/02/14	2012/02/14
86604	Ministry of Finance of the PRC 3.1% CNY Bonds 2022	Debt securities	2012/07/03	2012/07/03
86605	Ministry of Finance of the PRC 3.48% CNY Bonds 2027	Debt securities	2012/07/03	2012/07/03
83188 **	ChinaAMC CSI 300 Index ETF	ETF	2012/07/17	2012/07/17
85904	China Development Bank 4.20% CNY Bonds 2027	Debt securities	2012/08/03	2012/08/03
85905	China Development Bank 4.30% CNY Bonds 2032	Debt securities	2012/08/03	2012/08/03
83100 **	E Fund CSI 100 A-Share Index ETF	ETF	2012/08/27	2012/08/27
82822 **	CSOP FTSE China A50 ETF	ETF	2012/08/28	2012/08/28
83118 **	Harvest MSCI China A Index ETF	ETF	2012/10/12	2012/10/12
80737 **	Shenzhen Investment Holdings Bay Area Development Co. Ltd.	Equity	2003/08/06	2012/10/29
86610	Ministry of Finance of the PRC 3.16% CNY Bonds 2023	Debt securities	2013/06/28	2013/06/28
86611	Ministry of Finance of the PRC 3.60% CNY Bonds 2028	Debt securities	2013/06/28	2013/06/28
86612	Ministry of Finance of the PRC 3.95% CNY Bonds 2043	Debt securities	2013/06/28	2013/06/28
85928	Swire Pacific MTN Financing Ltd. 3.9% Guar. CNY Notes 2023	Debt securities	2013/07/23	2013/07/23
85930	Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Aug 2023	Debt securities	2013/08/22	2013/08/22
85931	Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Sep 2023	Debt securities	2013/09/10	2013/09/10
85934	China Development Bank 4.5% CNY Notes 2028	Debt securities	2013/11/14	2013/11/14
83128 **	Hang Seng China A Industry Top Index ETF	ETF	2013/11/26	2013/11/26
83081 **	Value Gold ETF	ETF	2010/11/03	2013/11/29
82832 **	Bosera FTSE China A50 Index ETF	ETF	2013/12/09	2013/12/09
83199 **	CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF	ETF	2014/02/19	2014/02/19
82811 **	Haitong CSI300 Index ETF	ETF	2014/03/07	2014/03/07
85955	ICBC, Sydney Branch 4.2% CNY Notes 2024	Debt securities	2014/03/20	2014/03/20
85964	The Export-Import Bank of China 4.40% CNY Bonds 2024	Debt securities	2014/05/15	2014/05/15
86618	Ministry of Finance of the PRC 3.80% CNY Bonds 2021	Debt securities	2014/05/23	2014/05/23
86619	Ministry of Finance of the PRC 4.00% CNY Bonds 2024	Debt securities	2014/05/23	2014/05/23
86620	Ministry of Finance of the PRC 4.29% CNY Bonds 2029	Debt securities	2014/05/23	2014/05/23
86621	Ministry of Finance of the PRC 4.50% CNY Bonds 2034	Debt securities	2014/05/23	2014/05/23
85989	China Development Bank 4.35% CNY Notes 2024	Debt securities	2014/08/07	2014/08/07
85990	Sinochem Offshore Cap Co. Ltd. 4.00% Senior Guar. CNY N2021	Debt securities	2014/09/11	2014/09/11
85993	Charter Style International Ltd. 5.80% Guar. CNY Bonds 2024	Debt securities	2014/09/16	2014/09/16
83127 **	Global X CSI 300 ETF	ETF	2014/09/26	2014/09/26
85700	China Construction Bank (Asia) Corp Ltd.3.95% CNY Notes 2021	Debt securities	2014/11/19	2014/11/19
85701	China Construction Bank (Asia) Corp Ltd.4.08% CNY Notes 2024	Debt securities	2014/11/19	2014/11/19
86624	Ministry of Finance of the PRC 3.38% CNY Bonds 2024	Debt securities	2014/11/24	2014/11/24
83122 **	CSOP RMB Money Market ETF	ETF	2015/01/20	2015/01/20
83147 **	CSOP SZSE ChiNext ETF	ETF	2015/05/15	2015/05/15
86628	Ministry of Finance of the PRC 3.36% CNY Bonds 2022	Debt securities	2015/05/22	2015/05/22
86629	Ministry of Finance of the PRC 3.39% CNY Bonds 2025	Debt securities	2015/05/22	2015/05/22
86630	Ministry of Finance of the PRC 3.60% CNY Bonds 2030	Debt securities	2015/05/22	2015/05/22
86631	Ministry of Finance of the PRC 4.10% CNY Bonds 2045	Debt securities	2015/05/22	2015/05/22
82828 *	Hang Seng China Enterprises Index ETF	ETF	2003/12/10	2015/09/04
82833 *	Hang Seng Index ETF	ETF	2004/09/21	2015/09/04
83149 **	CSOP MSCI China A Inclusion Index ETF	ETF	2015/11/26	2015/11/26
86634	Ministry of Finance of the PRC 3.31% CNY Bonds 2025	Debt securities	2015/12/01	2015/12/01
86635	Ministry of Finance of the PRC 4.00% CNY Bonds 2035	Debt securities	2015/12/01	2015/12/01
85723	Charming Light Investments Ltd. 5.50% CNY Notes 2025	Debt securities	2015/12/30	2015/12/30
83012 *	AMUNDI Hang Seng HK 35 Index ETF	ETF	2016/04/12	2016/04/12
82834 **	iShares NASDAQ 100 ETF	ETF	2016/06/29	2016/06/29
83074 **	iShares Core MSCI Taiwan ETF	ETF	2016/06/29	2016/06/29
83170 **	iShares Core KOSPI 200 ETF	ETF	2016/06/29	2016/06/29
86638	Ministry of Finance of the PRC 3.25% CNY Bonds 2021	Debt securities	2016/07/05	2016/07/05
86639	Ministry of Finance of the PRC 3.30% CNY Bonds 2023	Debt securities	2016/07/05	2016/07/05
86640	Ministry of Finance of the PRC 3.38% CNY Bonds 2026	Debt securities	2016/07/05	2016/07/05
86641	Ministry of Finance of the PRC 3.90% CNY Bonds 2036	Debt securities	2016/07/05	2016/07/05
82836 **	iShares Core S&P BSE SENSEX India ETF	ETF	2006/11/02	2016/10/14
83010 **	iShares Core MSCI Asia ex Japan ETF	ETF	2009/04/23	2016/10/14
82843 **	AMUNDI FTSE China A50 Index ETF	ETF	2016/10/31	2016/10/31
83115 **	iShares Core Hang Seng Index ETF	ETF	2016/11/23	2016/11/23
86643	Ministry of Finance of the PRC 3.55% CNY Bonds 2021	Debt securities	2016/12/13	2016/12/13
86644	Ministry of Finance of the PRC 3.85% CNY Bonds 2026	Debt securities	2016/12/13	2016/12/13
86645	Ministry of Finance of the PRC 4.15% CNY Bonds 2031	Debt securities	2016/12/13	2016/12/13
86646	Ministry of Finance of the PRC 4.40% CNY Bonds 2046	Debt securities	2016/12/13	2016/12/13
82846 **	iShares Core CSI 300 ETF	ETF	2009/11/18	2017/02/28
86649	Ministry of Finance of the PRC 4.10% CNY Bonds 2022	Debt securities	2017/06/27	2017/06/27
82823 **	iShares FTSE A50 China ETF	ETF	2004/11/18	2017/07/07
86651	Ministry of Finance of the PRC 4.10% CNY Bonds 2022	Debt securities	2017/12/05	2017/12/05
86652	Ministry of Finance of the PRC 4.15% CNY Bonds 2027	Debt securities	2017/12/05	2017/12/05
83197 **	ChinaAMC MSCI China A Inclusion Index ETF	ETF	2018/02/07	2018/02/07
85728	Sinochem Offshore Cap Co Ltd 4.40% Senior Guar CNY N2021	Debt securities	2018/02/15	2018/02/15
85729	Far East Horizon Ltd. 4.90% CNY Notes 2021	Debt securities	2018/02/28	2018/02/28
85731	Bank of China Ltd., Macau Branch 4.65% CNY Notes 2021B	Debt securities	2018/03/06	2018/03/06
85732	Franshion Brilliant Ltd. 5.20% CNY Guar. Senior Notes 2021	Debt securities	2018/03/09	2018/03/09
85733	Greenland Global Investment Ltd. 7.125% CNY Notes 2021	Debt securities	2018/03/21	2018/03/21
83167 **	ICBC CSOP S&P New China Sectors ETF	ETF	2016/12/08	2018/04/03
85735	CAR Inc. 6.50% CNY Senior Notes 2021	Debt securities	2018/04/06	2018/04/06
82805 **	Vanguard FTSE Asia ex Japan Index ETF	ETF	2013/05/15	2018/04/09
83085 **	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	ETF	2014/06/13	2018/04/09
83101 **	Vanguard FTSE Developed Europe Index ETF	ETF	2014/06/13	2018/04/09
83126 **	Vanguard FTSE Japan Index ETF	ETF	2014/06/13	2018/04/09
83140 **	Vanguard S&P 500 Index ETF	ETF	2015/05/21	2018/04/09
83186 **	CICC KraneShares CSI China Internet Index ETF	ETF	2018/04/10	2018/04/10
83169 **	Vanguard Total China Index ETF	ETF	2018/05/10	2018/05/10
82813 *	ChinaAMC Bloomberg Barclays China Treasury+Policy Bk B Idx ETF	ETF	2018/06/06	2018/06/06
86654	Ministry of Finance of the PRC 3.80% CNY Bonds 2023B	Debt securities	2018/07/10	2018/07/10

List of listed RMB securities products (continued)

End of 2020

Code	Securities	Type	Listing date	First trading date
83053 *	CSOP Hong Kong Dollar Money Market ETF	ETF	2018/07/18	2018/07/18
85738	Sinopec Century Bright Capital Invest 4.50% CNY Notes 2021	Debt securities	2018/11/01	2018/11/01
85739	Bank of China Ltd., Hong Kong Branch 4.45% CNY Notes 2021	Debt securities	2018/11/21	2018/11/21
83093 **	CICC CSI Select 100 ETF	ETF	2018/12/05	2018/12/05
85740	Huzhou Communications Invest Group Co., Ltd. 5.90% CNY N2021	Debt securities	2018/12/11	2018/12/11
83079 *	CICC Bloomberg Barclays China Treasury 1-10 Years ETF	ETF	2018/12/12	2018/12/12
85741	Bank of Communications Co., Ltd. HK Branch 3.40% CNY N2021	Debt securities	2019/03/22	2019/03/22
85743	Bank of China Ltd., Macau Branch 3.30% CNY Notes 2022 E	Debt securities	2019/04/18	2019/04/18
85901	Agricultural Development Bank of China 3.23% CNY Bonds 2022	Debt securities	2019/05/30	2019/05/30
86655	Ministry of Finance of the PRC 2.95% CNY Bonds 2021	Debt securities	2019/06/25	2019/06/25
86656	Ministry of Finance of the PRC 3.03% CNY Bonds 2024	Debt securities	2019/06/25	2019/06/25
85902	CIFI Holdings (Group) Co. Ltd. 6.70% Senior Notes 2022	Debt securities	2019/07/24	2019/07/24
85907	Bank of China Ltd., Macau Branch 3.15% CNY Notes 2021	Debt securities	2019/10/17	2019/10/17
85908	CNI Capital Ltd. 3.97% Guaranteed CNY Bonds 2022	Debt securities	2019/11/04	2019/11/04
85909	Agricultural Development Bank of China 3.40% CNY Bonds 2024	Debt securities	2019/11/07	2019/11/07
85910	Agricultural Development Bank of China 3.18% CNY Bonds 2022	Debt securities	2019/11/07	2019/11/07
85913	Eastern Creation II Inv. Holdings Ltd. 3.40% Guar. CNY N2022	Debt securities	2019/11/27	2019/11/27
85915	Zhuhai Da Heng Qin Group Co., Ltd. 4.60% CNY Bonds 2021	Debt securities	2019/11/28	2019/11/28
85916	Bank of Communications Co., Ltd. HK Branch 3.15% CNY N2022	Debt securities	2020/01/23	2020/01/23
83005 **	CSOP CSI 500 ETF	ETF	2020/03/19	2020/03/19
85919	China Construction Bank Corp. Astana Branch 2.95% CNY B2022	Debt securities	2020/03/20	2020/03/20
85921	MTR Corporation Ltd. 2.45% Fixed Rate CNY Notes 2023	Debt securities	2020/07/06	2020/07/06
86657	Ministry of Finance of the PRC 2.10% CNY Bonds 2022	Debt securities	2020/07/28	2020/07/28
86658	Ministry of Finance of the PRC 2.20% CNY Bonds 2025	Debt securities	2020/07/28	2020/07/28
85922	Agricultural Development Bank of China 2.60% CNY Bonds 2023	Debt securities	2020/08/12	2020/08/12
85924	Agricultural Development Bank of China 2.85% CNY Bonds 2025	Debt securities	2020/08/12	2020/08/12
85925	Zhenro Properties Group Ltd. 7.40% CNY Senior Notes 2021	Debt securities	2020/08/17	2020/08/17
85926	CIFI Holdings (Group) Co. Ltd. 5.85% CNY Senior Notes 2023	Debt securities	2020/08/20	2020/08/20
85927	Bank of China Ltd., Macau Branch 3.15% CNY Notes 2022	Debt securities	2020/09/22	2020/09/22
83031 **#	Haitong MSCI China A ESG ETF	ETF	2020/10/15	2020/10/15
83130 **	Hang Seng Harvest CSI 300 Index ETF	ETF	2020/10/23	2020/10/23
85929	MTR Corporation Ltd. 2.83% Fixed Rate CNY Notes 2023	Debt securities	2020/11/18	2020/11/18
85932	Hong Kong Mortgage Corporation Ltd. 3.005% CNY Notes 2022, The	Debt securities	2020/11/30	2020/11/30

* Securities traded in multiple counters for RMB and HKD.

** Securities traded in multiple counters for RMB, HKD and USD.

RQFII A-share ETF.

^ First listed on 6 August 2003 and traded in HKD on counter 737.

Trading value and number of deal, 2016 – 2020

Year/Month		No. of trading day	SouthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
			Total (HK mil)	Average daily	Total (HK mil)	Average daily	Total	Average daily	Total	Average daily
2016		228	533,016.40	2,337.79	293,759.44	1,288.42	8,371,997	36,719	5,221,326	22,900
2017		230	975,579.57	4,241.65	748,825.80	3,255.76	13,185,960	57,330	10,632,138	46,226
2018		223	917,637.92	4,114.97	904,460.45	4,055.88	12,221,318	54,804	12,820,915	57,492
2019		230	856,045.40	3,721.94	706,509.85	3,071.78	13,393,410	58,232	12,077,917	52,512
2020		226	1,644,325.57	7,275.78	1,310,316.91	5,797.86	22,303,842	98,689	19,160,497	84,780
2020	Jan	14	73,669.80	5,262.13	60,340.24	4,310.02	1,033,202	73,800	937,558	66,968
	Feb	20	146,256.48	7,312.82	100,216.57	5,010.83	1,860,631	93,031	1,525,495	76,274
	Mar	22	220,622.75	10,028.31	123,499.09	5,613.60	3,043,728	138,351	2,052,287	93,285
	Apr	14	75,924.61	5,423.19	65,165.32	4,654.67	1,206,454	86,175	1,199,527	85,680
	May	18	104,863.28	5,825.74	97,347.10	5,408.17	1,517,440	84,302	1,557,464	86,525
	Jun	18	96,682.72	5,371.26	92,139.55	5,118.86	1,426,159	79,231	1,452,627	80,701
	Jul	22	227,189.05	10,326.77	188,385.74	8,562.99	2,995,462	136,157	2,472,035	112,365
	Aug	21	133,217.09	6,343.67	124,410.40	5,924.30	1,861,525	88,644	1,721,571	81,979
	Sep	20	111,797.21	5,589.86	100,825.56	5,041.28	1,643,348	82,167	1,387,765	69,388
	Oct	14	108,925.45	7,780.39	66,333.38	4,738.10	1,474,649	105,332	1,053,114	75,222
	Nov	21	179,675.07	8,555.96	139,450.56	6,640.50	2,166,109	103,148	1,855,846	88,373
	Dec	22	165,502.08	7,522.82	152,203.40	6,918.34	2,075,135	94,324	1,945,208	88,418

Year/Month		No. of trading day	NorthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
			Total (RMB mil)	Average daily	Total (RMB mil)	Average daily	Total	Average daily	Total	Average daily
2016		232	395,391.98	1,704.28	349,880.94	1,508.11	16,976,916	73,176	14,608,276	62,966
2017		235	688,797.60	2,931.05	625,824.21	2,663.08	25,915,638	110,279	24,897,640	105,947
2018		229	1,421,701.24	6,208.30	1,240,606.97	5,417.50	66,813,780	291,763	61,117,686	266,889
2019		234	2,573,999.74	11,000.00	2,417,366.63	10,330.63	143,714,802	614,165	139,642,992	596,764
2020		231	4,560,685.86	19,743.23	4,475,172.37	19,373.04	213,726,724	925,223	233,370,738	1,010,262
2020	Jan	16	228,713.93	14,294.62	217,465.50	13,591.59	12,072,401	754,525	11,693,705	730,856
	Feb	20	365,631.91	18,281.60	356,833.75	17,841.69	16,688,153	834,407	19,332,786	966,639
	Mar	22	381,051.98	17,320.54	418,787.64	19,035.80	18,418,371	837,198	22,880,561	1,040,025
	Apr	16	232,342.16	14,521.39	208,645.67	13,040.35	12,514,993	782,187	12,327,150	770,446
	May	18	250,789.93	13,932.77	242,466.31	13,470.35	13,917,275	773,181	14,966,560	831,475
	Jun	19	313,230.78	16,485.83	288,807.22	15,200.38	15,930,937	838,470	15,950,747	839,513
	Jul	22	717,058.50	32,593.57	716,357.73	32,561.71	28,040,159	1,274,552	31,293,290	1,422,422
	Aug	21	503,969.48	23,998.55	507,380.79	24,160.99	22,113,948	1,053,045	24,885,535	1,185,025
	Sep	22	390,573.18	17,753.33	418,762.88	19,034.68	18,767,797	853,081	22,521,300	1,023,695
	Oct	13	250,382.53	19,260.19	251,991.92	19,383.99	12,297,773	945,982	13,963,533	1,074,117
	Nov	21	466,109.77	22,195.70	424,939.22	20,235.20	21,697,887	1,033,232	21,405,061	1,019,288
	Dec	21	460,831.70	21,944.37	422,733.74	20,130.18	21,267,030	1,012,715	22,150,510	1,054,786

20 most active stocks (northbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (RMB mil)	Sell Trades (RMB mil)	Turnover (Buy + Sell Trades) (RMB mil)	% of Northbound Total
1	600519	KWEICHOW MOUTAI	220,359.97	217,791.63	438,151.60	4.85
2	601318	PING AN INSURANCE	153,176.04	153,402.55	306,578.59	3.39
3	600276	JIANGSU HENGRUI MEDICINE	106,839.54	101,161.86	208,001.40	2.30
4	600036	CHINA MERCHANTS BANK	84,169.83	81,193.96	165,363.78	1.83
5	601888	CHINA TOURISM GROUP DUTY FREE	72,449.79	83,717.43	156,167.22	1.73
6	600887	INNER MONGOLIA YILI INDUSTRIAL	77,408.71	73,835.07	151,243.78	1.67
7	601012	LONGI GREEN ENERGY TECHNOLOGY	79,915.98	70,121.32	150,037.30	1.66
8	600030	CITIC SECURITIES	59,530.33	58,262.20	117,792.54	1.30
9	600031	SANY HEAVY INDUSTRY	58,039.64	56,195.79	114,235.43	1.26
10	600585	ANHUI CONCH CEMENT	52,408.87	59,486.65	111,895.52	1.24
11	603259	WUXI APPTec	53,643.33	49,686.66	103,329.99	1.14
12	601166	INDUSTRIAL BANK	49,647.80	49,532.97	99,180.77	1.10
13	603288	FOSHAN HAITIAN FLAVOURING AND FOOD	45,074.33	43,108.35	88,182.68	0.98
14	600009	SHANGHAI INTERNATIONAL AIRPORT	44,746.40	42,959.36	87,705.75	0.97
15	600570	HUNDSUN TECHNOLOGIES	45,759.47	40,874.52	86,633.99	0.96
16	603501	WILL SEMICONDUCTOR	44,054.78	39,465.60	83,520.39	0.92
17	600048	POLY DEVELOPMENTS AND HOLDINGS GROUP	38,185.19	35,648.91	73,834.10	0.82
18	600309	WANHUA CHEMICAL	37,909.41	34,844.82	72,754.23	0.81
19	600438	TONGWEI	37,112.07	34,588.87	71,700.94	0.79
20	601899	ZIJIN MINING	35,305.64	34,702.83	70,008.47	0.77
Total			1,395,737.13	1,360,581.33	2,756,318.46	30.50
Northbound Total			4,560,685.86	4,475,172.37	9,035,858.23	100.00

20 most active stocks (southbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (HK\$mil)	Sell Trades (HK\$mil)	Turnover (Buy + Sell Trades) (HK\$mil)	% of Southbound Total
1	00700	TENCENT	201,402.01	131,451.88	332,853.89	11.27
2	00981	SMIC	106,892.29	109,367.90	216,260.19	7.32
3	03690	MEITUAN-W	90,633.95	74,477.71	165,111.66	5.59
4	01810	XIAOMI-W	85,940.52	71,576.02	157,516.54	5.33
5	00939	CCB	89,406.87	19,735.16	109,142.03	3.69
6	01918	SUNAC	30,471.64	32,989.77	63,461.41	2.15
7	02382	SUNNY OPTICAL	26,528.69	22,810.96	49,339.64	1.67
8	01398	ICBC	34,880.74	10,865.29	45,746.02	1.55
9	02318	PING AN	21,149.24	21,045.88	42,195.12	1.43
10	00175	GEELY AUTO	22,882.74	19,034.28	41,917.02	1.42
11	02020	ANTA SPORTS	23,210.25	18,101.57	41,311.82	1.40
12	00388	HKEX	21,068.06	15,434.80	36,502.87	1.24
13	01177	SINO BIOPHARM	17,059.40	19,086.70	36,146.10	1.22
14	03888	KINGSOFT	16,794.24	17,671.89	34,466.13	1.17
15	02601	CPIC	18,191.24	14,140.02	32,331.26	1.09
16	00941	CHINA MOBILE	19,368.52	11,941.79	31,310.31	1.06
17	06186	CHINA FEIHE	17,171.76	11,197.06	28,368.82	0.96
18	00005	HSBC HOLDINGS	20,948.56	7,352.45	28,301.01	0.96
19	01088	CHINA SHENHUA	14,227.40	12,627.61	26,855.01	0.91
20	02269	WUXI BIO	13,092.88	12,961.45	26,054.32	0.88
Total			424,199.37	323,774.53	747,973.90	52.30
Southbound Total			1,644,325.57	1,310,316.91	2,954,642.48	100.00

Trading value and number of deal, 2016–2020

Year/Month		No. of trading day	SouthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
			Total (HK mil)	Average daily	Total (HK mil)	Average daily	Total	Average daily	Total	Average daily
2016		18	7,942.88	441.27	1,221.49	67.86	228,734	12,707	35,990	1,999
2017		230	324,244.36	1,409.76	211,056.03	917.63	5,816,670	25,289	3,702,910	16,099
2018		223	540,537.31	2,423.93	471,019.09	2,112.19	9,919,024	44,479	8,886,108	39,848
2019		230	509,334.27	2,214.50	409,533.04	1,780.58	11,519,463	50,084	9,987,045	43,421
2020		226	1,445,778.45	6,397.25	1,107,661.77	4,901.16	24,450,977	108,190	19,098,161	84,505
2020	Jan	14	54,820.98	3,915.78	40,280.22	2,877.16	1,094,627	78,187	810,484	57,891
	Feb	20	90,178.77	4,508.94	75,520.22	3,776.01	1,630,645	81,532	1,378,866	68,943
	Mar	22	133,963.60	6,089.25	91,347.86	4,152.18	2,780,998	126,409	1,745,596	79,345
	Apr	14	60,331.91	4,309.42	51,610.76	3,686.48	1,282,319	91,594	1,075,533	76,823
	May	18	87,193.00	4,844.06	68,146.20	3,785.90	1,691,068	93,948	1,276,497	70,916
	Jun	18	97,980.76	5,443.38	76,861.89	4,270.11	1,776,180	98,676	1,473,300	81,850
	Jul	22	215,322.80	9,787.40	163,159.78	7,416.35	3,222,442	146,474	2,487,307	113,059
	Aug	21	145,167.73	6,912.75	116,010.99	5,524.33	2,310,253	110,012	1,924,729	91,653
	Sep	20	114,546.77	5,727.34	83,801.51	4,190.08	2,049,806	102,490	1,473,124	73,656
	Oct	14	97,596.23	6,971.16	69,224.83	4,944.63	1,625,974	116,141	1,266,864	90,490
	Nov	21	169,910.04	8,090.95	139,833.50	6,658.74	2,374,385	113,065	2,194,575	104,503
	Dec	22	178,765.86	8,125.72	131,864.00	5,993.82	2,612,280	118,740	1,991,286	90,513

Year/Month		No. of trading day	NorthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
			Total (RMB mil)	Average daily	Total (RMB mil)	Average daily	Total	Average daily	Total	Average daily
2016		17	20,679.36	1,216.43	5,511.07	324.18	837,645	49,273	271,263	15,956
2017		235	543,734.78	2,313.77	406,970.40	1,731.79	22,230,517	94,598	17,861,302	76,006
2018		229	1,062,326.08	4,638.98	949,202.44	4,144.99	58,658,290	256,149	56,116,218	245,048
2019		234	2,480,467.03	10,600.29	2,285,357.39	9,766.48	137,004,088	585,487	132,942,399	568,129
2020		231	6,088,077.63	26,355.31	5,964,658.93	25,821.03	264,568,183	1,145,316	289,643,830	1,253,869
2020	Jan	16	277,129.17	17,320.57	249,986.07	15,624.13	13,306,137	831,633	12,566,449	785,403
	Feb	20	484,517.14	24,225.86	481,731.29	24,086.56	19,277,197	963,859	22,191,997	1,109,599
	Mar	22	516,543.25	23,479.24	546,680.25	24,849.10	21,339,269	969,966	26,735,672	1,215,257
	Apr	16	312,735.75	19,545.98	283,174.52	17,698.41	14,786,559	924,159	14,619,941	913,746
	May	18	360,792.71	20,044.04	339,005.21	18,833.62	18,068,715	1,003,817	18,914,650	1,050,813
	Jun	19	456,750.25	24,039.49	428,494.57	22,552.35	21,213,713	1,116,511	21,393,116	1,125,953
	Jul	22	932,982.74	42,408.31	923,291.19	41,967.78	35,550,970	1,615,953	39,233,363	1,783,334
	Aug	21	676,069.25	32,193.77	674,686.47	32,127.93	28,936,837	1,377,944	32,730,286	1,558,585
	Sep	22	568,679.77	25,849.08	573,263.42	26,057.43	25,964,767	1,180,216	29,786,231	1,353,919
	Oct	13	355,967.63	27,382.13	354,335.47	27,256.57	16,264,259	1,251,096	18,220,056	1,401,542
	Nov	21	592,278.54	28,203.74	575,519.95	27,405.71	24,947,944	1,187,997	27,302,257	1,300,107
	Dec	21	553,631.42	26,363.40	534,490.53	25,451.93	24,911,816	1,186,276	25,949,812	1,235,705

20 most active stocks (northbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (RMB mil)	Sell Trades (RMB mil)	Turnover (Buy + Sell Trades) (RMB mil)	% of Northbound Total
1	000858	WULIANGYE YIBIN	145,998.74	166,012.77	312,011.51	2.59
2	000651	GREE ELECTRIC APPLIANCES INC OF ZHUHAI	143,093.18	128,831.59	271,924.77	2.26
3	300750	CONTEMPORARY AMPEREX TECHNOLOGY	130,750.50	114,354.88	245,105.38	2.03
4	002475	LUXSHARE PRECISION INDUSTRY	116,514.51	111,543.53	228,058.04	1.89
5	300059	EAST MONEY INFORMATION	98,012.89	93,064.31	191,077.19	1.59
6	000725	BOE TECHNOLOGY GROUP	92,606.62	89,249.17	181,855.79	1.51
7	002415	HANGZHOU HIKVISION DIGITAL TECHNOLOGY	65,495.52	77,468.36	142,963.88	1.19
8	002714	MUYUAN FOODS	68,811.81	68,506.31	137,318.12	1.14
9	300760	SHENZHEN MINDRAY BIO-MEDICAL ELECTRONIC	72,287.64	60,264.13	132,551.76	1.10
10	000100	TCL TECHNOLOGY GROUP CORPORATION	66,523.35	65,620.20	132,143.55	1.10
11	002594	BYD	66,981.51	62,219.19	129,200.70	1.07
12	000002	CHINA VANKE	62,986.41	61,543.32	124,529.73	1.03
13	000001	PING AN BANK	60,991.21	54,296.63	115,287.84	0.96
14	002241	GOERTEK INC	57,142.59	56,138.62	113,281.21	0.94
15	300142	WALVAX BIOTECHNOLOGY	49,452.90	51,158.14	100,611.04	0.83
16	000333	MIDEA GROUP	44,092.88	53,356.62	97,449.50	0.81
17	002304	JIANGSU YANGHE BREWERY JOINT-STOCK	42,428.46	52,511.25	94,939.71	0.79
18	000568	LUZHOU LAOJIAO	43,606.76	44,292.36	87,899.12	0.73
19	000661	CHANGCHUN HIGH AND NEW TECHNOLOGY IND	43,804.11	43,864.50	87,668.62	0.73
20	000063	ZTE CORPORATION	43,122.80	44,012.75	87,135.55	0.72
Total			1,514,704.39	1,498,308.63	3,013,013.02	25.00
Northbound Total			6,088,077.63	5,964,658.93	12,052,736.55	100.00

20 most active stocks (southbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (HK\$mil)	Sell Trades (HK\$mil)	Turnover (Buy + Sell Trades) (HK\$mil)	% of Southbound Total
1	00700	TENCENT	131,005.43	71,940.37	202,945.79	7.95
2	03690	MEITUAN-W	81,065.58	55,398.03	136,463.60	5.34
3	00981	SMIC	65,548.23	63,810.24	129,358.47	5.07
4	01810	XIAOMI-W	59,705.11	40,681.70	100,386.81	3.93
5	01211	BYD COMPANY	27,121.70	28,446.51	55,568.21	2.18
6	00763	ZTE	25,828.41	26,485.38	52,313.79	2.05
7	00853	MICROPORT	21,616.50	20,505.40	42,121.90	1.65
8	02013	WEIMOB INC	20,357.97	17,108.86	37,466.83	1.47
9	01918	SUNAC	17,292.90	16,362.94	33,655.84	1.32
10	00175	GEELY AUTO	17,678.53	14,876.72	32,555.25	1.27
11	02382	SUNNY OPTICAL	19,901.71	12,202.24	32,103.95	1.26
12	00388	HKEX	20,047.54	8,924.47	28,972.00	1.13
13	01797	KOOLEARN	13,909.52	13,179.92	27,089.44	1.06
14	06969	SMOORE INTL	17,381.76	7,554.47	24,936.23	0.98
15	02269	WUXI BIO	12,957.76	11,860.81	24,818.58	0.97
16	06186	CHINA FEIHE	14,924.30	9,714.74	24,639.04	0.96
17	01772	GANFENGLITHIUM	12,991.48	11,294.03	24,285.50	0.95
18	00285	BYD ELECTRONIC	11,528.59	11,529.35	23,057.94	0.90
19	01579	YIHAI INTL	14,107.40	8,400.22	22,507.63	0.88
20	01066	WEIGAO GROUP	15,178.45	7,190.45	22,368.90	0.88
Total			620,148.85	457,466.86	1,077,615.71	42.20
Southbound Total			1,445,778.45	1,107,661.77	2,553,440.22	100.00

Stock trading value, 1970-2020

HK\$mil

Year	Whole year value	No. of trading days	Average daily	High day (*)		Low day (*)	
				Value	Date	Value	Date
1970	6,056.13	294	20.60	56.69	03/10	6.15	06/09
1971	14,806.21	276	53.65	211.39	09/20	9.12	03/01
1972	42,907.54	247	173.71	659.34	11/10	21.48	02/02
1973	48,880.44	245	199.51	704.69	02/08	35.39	08/29
1974	11,436.70	245	46.68	219.33	06/17	10.91	12/05
1975	10,359.91	246	42.11	167.46	03/06	9.00	01/06
1976	13,183.31	248	53.16	223.42	02/26	9.72	07/26
1977	6,132.43	246	24.93	67.47	04/18	8.54	10/10
1978	27,445.86	244	112.48	410.91	08/18	9.39	02/10
1979	25,632.21	246	104.20	407.69	09/26	18.39	01/02
1980	95,670.54	246	388.90	1,424.95	11/03	47.58	04/09
1981	105,970.90	244	434.31	991.92	06/12	132.90	10/26
1982	46,221.25	247	187.13	2,064.18	04/26	68.68	12/23
1983	37,166.26	247	150.47	589.22	07/15	40.37	01/04
1984	48,808.70	248	196.81	1,264.27	01/13	38.50	01/03
1985	75,821.03	247	306.97	3,308.08	02/01	116.04	12/23
1986	123,128.35	247	498.50	1,721.13	10/08	124.62	02/05
1987	371,406.46	246	1,509.78	5,407.55	10/02	401.58	04/21
1988	199,480.68	248	804.36	1,889.48	06/15	230.00	09/19
1989	299,146.70	246	1,216.04	3,673.60	05/23	290.01	07/18
1990	288,714.90	248	1,164.17	3,280.82	08/07	338.36	12/28
1991	334,103.92	248	1,347.19	3,389.14	07/05	241.35	01/02
1992	700,577.50	250	2,802.31	6,420.47	05/25	965.50	01/03
1993	1,222,675.13	249	4,910.34	12,909.44	12/10	1,019.78	01/04
1994	1,137,414.00	248	4,586.35	17,204.66	01/07	1,313.55	07/04
1995	826,800.60	247	3,347.37	9,924.41	05/12	1,385.27	05/01
1996	1,412,242.38	249	5,671.66	13,803.84	12/06	2,734.90	05/27
1997	3,788,959.79	245	15,465.14	46,096.84	08/29	3,253.55	12/29
1998	1,701,112.01	247	6,887.09	79,002.61	08/28	1,221.90	12/28
1999	1,915,940.58	247	7,756.84	17,387.59	11/16	2,100.34	02/22
2000	3,047,565.32	247	12,338.32	35,746.19	02/15	4,565.80	12/27
2001	1,950,086.74	243	8,025.05	17,752.03	04/19	2,906.48	12/27
2002	1,599,074.57	247	6,473.99	12,982.41	05/02	2,734.31	01/02
2003	2,545,675.94	248	10,264.82	34,464.21	12/16	2,136.95	01/02
2004	3,948,350.84	249	15,856.83	38,758.84	01/13	7,378.09	08/17
2005	4,498,095.11	247	18,210.91	34,617.04	03/02	9,835.58	05/24
2006	8,332,633.24	247	33,735.36	76,008.14	10/27	16,451.20	06/19
2007	21,506,271.44	246	87,423.87	209,808.30	10/03	35,013.62	03/15
2008	17,600,713.87	245	71,839.65	156,353.59	01/23	21,951.90	12/29
2009	15,439,486.88	249	62,005.97	146,624.25	05/13	28,531.97	03/12
2010	17,076,412.15	249	68,579.97	138,533.28	10/14	33,995.19	02/18
2011	17,091,116.87	246	69,476.08	124,773.84	08/05	24,466.29	12/30
2012	13,267,508.72	247	53,714.61	127,100.48	03/06	32,641.54	01/03
2013	15,185,792.16	244	62,236.85	128,156.37	02/04	38,487.85	07/22
2014	16,990,272.09	247	68,786.53	147,757.45	12/05	39,200.50	05/26
2015	25,835,958.29	247	104,599.02	291,528.73	04/09	37,862.23	12/29
2016	16,279,975.49	245	66,448.88	116,801.74	09/09	43,757.44	05/23
2017	21,560,099.47	246	87,642.68	171,931.66	11/30	49,248.76	02/02
2018	26,295,274.58	246	106,891.36	283,592.75	03/23	46,958.83	12/28
2019	21,390,188.29	246	86,951.98	168,754.50	02/25	53,106.07	09/27
2020	32,023,781.80	248	129,128.15	267,456.85	11/11	70,454.61	05/05

Records since 1970

High year: 2015

High day: 2015/04/09

Low year: 1970

Low day: 1970/06/09

(*) Only full trading days are included for the records.

Market turnover values since 1993 were adjusted for late reported and rejected sales.

Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976-2020)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK\$mil)	Market capitalisation (HK\$mil)
1976	295	319	n.a.	56,675
1977	284	315	n.a.	51,278
1978	265	298	n.a.	65,939
1979	262	298	n.a.	112,809
1980	262	309	n.a.	209,753
1981	269	335	n.a.	232,331
1982	273	342	n.a.	131,640
1983	277	351	n.a.	142,094
1984	278	348	n.a.	184,642
1985	279	340	n.a.	269,511
1986	253	335	14,707	419,281
1987	276	412	48,082	419,612
1988	304	479	18,506	580,378
1989	298	479	20,345	605,010
1990	299	520	21,233	650,410
1991	357	597	39,457	949,172
1992	413	749	105,113	1,332,184
1993	477	891	90,005	2,975,379
1994	529	1,006	51,861	2,085,182
1995	542	1,033	39,202	2,348,310
1996	583	1,272	100,018	3,475,965
1997	658	1,533	247,577	3,202,630
1998	680	1,246	38,257	2,661,713
1999	701	1,205	148,120	4,727,527
2000	736	1,294	451,281	4,795,150
2001	756	1,075	58,593	3,885,342
2002	812	1,416	101,413	3,559,099
2003	852	1,598	209,116	5,477,670
2004	892	1,971	276,521	6,629,177
2005	934	2,448	298,660	8,113,333
2006	975	3,184	516,025	13,248,821
2007	1,048	5,896	571,078	20,536,463
2008	1,087	5,654	418,188	10,253,589
2009	1,145	6,441	637,734	17,769,271
2010	1,244	7,730	845,486	20,942,284
2011	1,326	6,551	482,834	17,452,667
2012	1,368	6,723	300,231	21,871,730
2013	1,451	8,330	369,822	23,908,804
2014	1,548	8,856	929,399	24,892,419
2015	1,644	8,792	1,093,551	24,425,555
2016	1,713	8,330	471,172	24,450,435
2017	1,794	12,478	567,401	33,717,995
2018	1,926	12,900	533,216	29,723,238
2019	2,071	12,416	449,970	38,058,338
2020	2,170	16,672	743,400	47,392,196

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Highest turnover records Main Board

Year		
Rank	Value (HK\$mil)	Year
1	32,023,781.80	2020
2	26,295,274.58	2018
3	25,835,958.29	2015
4	21,560,099.47	2017
5	21,506,271.44	2007
6	21,390,188.29	2019
7	17,600,713.87	2008
8	17,091,116.87	2011
9	17,076,412.14	2010
10	16,990,272.09	2014

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	9,762,437.26	2/2015
2	9,140,802.13	3/2020
3	8,869,379.62	1/2018
4	8,575,140.05	4/2020
5	8,306,624.27	4/2007
6	7,482,232.28	1/2020
7	6,825,607.33	2/2020
8	6,500,307.96	4/2017
9	6,369,437.22	2/2018
10	6,343,886.31	3/2015

Month		
Rank	Value (HK\$mil)	Month/Year
1	3,764,713.11	04/2015
2	3,622,193.23	07/2020
3	3,525,010.54	01/2018
4	3,473,846.35	10/2007
5	3,375,750.80	11/2020
6	3,113,304.15	03/2020
7	3,096,229.47	06/2015
8	3,090,868.40	12/2020
9	2,993,364.29	11/2007
10	2,901,494.69	05/2015

Day		
Rank	Value (HK\$mil)	Date
1	291,528.73	2015/04/09
2	283,592.75	2018/03/23
3	267,456.85	2020/11/11
4	263,636.12	2015/04/13
5	258,017.56	2018/02/06
6	250,796.93	2020/07/06
7	250,031.84	2015/04/08
8	240,686.80	2020/11/30
9	239,954.38	2020/07/07
10	237,596.69	2015/04/14

Main Board and GEM

Year		
Rank	Value (HK\$mil)	Year
1	32,110,147.90	2020
2	26,422,762.07	2018
3	26,090,621.56	2015
4	21,709,160.48	2017
5	21,665,529.92	2007
6	21,440,049.17	2019
7	17,652,800.86	2008
8	17,210,077.74	2010
9	17,155,730.33	2014
10	17,154,074.38	2011

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	9,894,068.82	2/2015
2	9,169,119.59	3/2020
3	8,909,838.11	1/2018
4	8,601,221.26	4/2020
5	8,339,767.42	4/2007
6	7,498,539.15	1/2020
7	6,841,267.89	2/2020
8	6,544,565.64	4/2017
9	6,410,882.11	2/2018
10	6,395,260.35	3/2015

Month		
Rank	Value (HK\$mil)	Month/Year
1	3,801,844.34	04/2015
2	3,632,256.76	07/2020
3	3,540,695.52	01/2018
4	3,487,325.59	10/2007
5	3,387,016.51	11/2020
6	3,143,397.12	06/2015
7	3,117,403.21	03/2020
8	3,098,678.36	12/2020
9	3,007,223.67	11/2007
10	2,948,827.35	05/2015

Day		
Rank	Value (HK\$mil)	Date
1	293,910.76	2015/04/09
2	284,101.95	2018/03/23
3	267,929.18	2020/11/11
4	266,098.63	2015/04/13
5	258,799.62	2018/02/06
6	252,396.05	2015/04/08
7	251,286.87	2020/07/06
8	243,333.48	2020/11/30
9	240,379.36	2020/07/07
10	239,903.34	2015/04/14

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.
 Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

10 largest day-to-day fluctuations in stock price indices

S&P/HKEX LargeCap Index 1998-2020

Rank	% up	Date	Closing index
1	15.04	1998/02/02	11001.95
2	14.29	2008/10/28	15507.37
3	12.64	2008/10/30	17632.61
4	10.97	2008/01/23	29340.77
5	10.09	2008/10/13	19931.74
6	9.95	1998/10/16	10078.68
7	9.29	2008/09/19	23629.69
8	9.20	1998/09/07	8013.39
9	8.75	1998/08/14	7133.91
10	8.28	2008/12/08	18707.83

28 February 2003 = 10000

Rank	% down	Date	Closing index
1	12.59	2008/10/27	13569.03
2	9.82	1998/01/12	8439.81
3	8.87	2001/09/12	10522.63
4	8.70	2000/04/17	15693.12
5	8.67	2008/01/22	26440.70
6	8.19	2008/10/24	15523.90
7	8.14	2008/10/08	18980.80
8	7.53	1998/08/31	7089.75
9	7.49	2008/10/10	18104.89
10	6.91	2000/01/05	16613.10

Rank	Points up	Date	Closing index
1	2900.07	2008/01/23	29340.77
2	2009.16	2008/09/19	23629.69
3	1978.01	2008/10/30	17632.61
4	1938.34	2008/10/28	15507.37
5	1907.46	2008/01/25	30474.42
6	1826.85	2008/10/13	19931.74
7	1690.88	2007/11/14	35076.20
8	1645.58	2020/11/05	42548.80
9	1642.66	2020/03/20	30865.27
10	1594.62	2008/03/25	27333.87

Rank	Points down	Date	Closing index
1	2509.29	2008/01/22	26440.70
2	2199.35	2018/02/06	39865.67
3	1954.87	2008/10/27	13569.03
4	1916.47	2007/11/05	35248.33
5	1879.95	2020/05/22	32863.21
6	1791.06	2015/07/08	28851.92
7	1703.15	2008/01/16	29740.34
8	1682.08	2008/10/08	18980.80
9	1654.93	2008/01/21	28949.99
10	1592.80	2008/02/06	28621.00

Hang Seng Index 1970-2020

Rank	% up	Date	Closing index
1	18.82	1997/10/29	10765.30
2	14.41	1973/02/09	1449.91
3	14.35	2008/10/28	12596.29
4	14.33	1998/02/02	10578.60
5	13.36	1974/11/27	185.17
6	13.20	1973/02/02	1098.86
7	13.20	1973/04/11	926.45
8	12.82	2008/10/30	14329.85
9	12.35	1975/01/27	209.62
10	11.71	1973/07/18	602.34

31 July 1964 = 100

Rank	% down	Date	Closing index
1	33.33	1987/10/26	2241.69
2	21.75	1989/06/05	2093.61
3	13.70	1997/10/28	9059.89
4	13.31	1973/03/26	1229.28
5	12.70	2008/10/27	11015.84
6	11.48	1973/04/10	818.39
7	11.43	1972/11/13	672.62
8	11.12	1987/10/19	3362.39
9	10.78	1989/05/22	2806.57
10	10.41	1997/10/23	10426.30

Rank	Points up	Date	Closing index
1	2332.54	2008/01/23	24090.17
2	1705.41	1997/10/29	10765.30
3	1695.27	2008/09/19	19327.73
4	1627.78	2008/10/30	14329.85
5	1583.10	2008/01/25	25122.37
6	1580.45	2008/10/28	12596.29
7	1515.29	2008/10/13	16312.16
8	1362.66	2007/11/14	29166.01
9	1356.30	2008/03/25	22464.52
10	1326.24	1998/02/02	10578.60

Rank	Points down	Date	Closing index
1	2061.23	2008/01/22	21757.63
2	1649.80	2018/02/06	30595.42
3	1602.54	2008/10/27	11015.84
4	1526.02	2007/11/05	28942.32
5	1458.75	2015/07/08	23516.56
6	1438.31	1997/10/28	9059.89
7	1386.93	2008/01/16	24450.85
8	1383.01	2008/01/21	23818.86
9	1380.39	2000/04/17	14762.37
10	1372.03	2008/10/08	15431.73

World stock market indices (2016–2020)

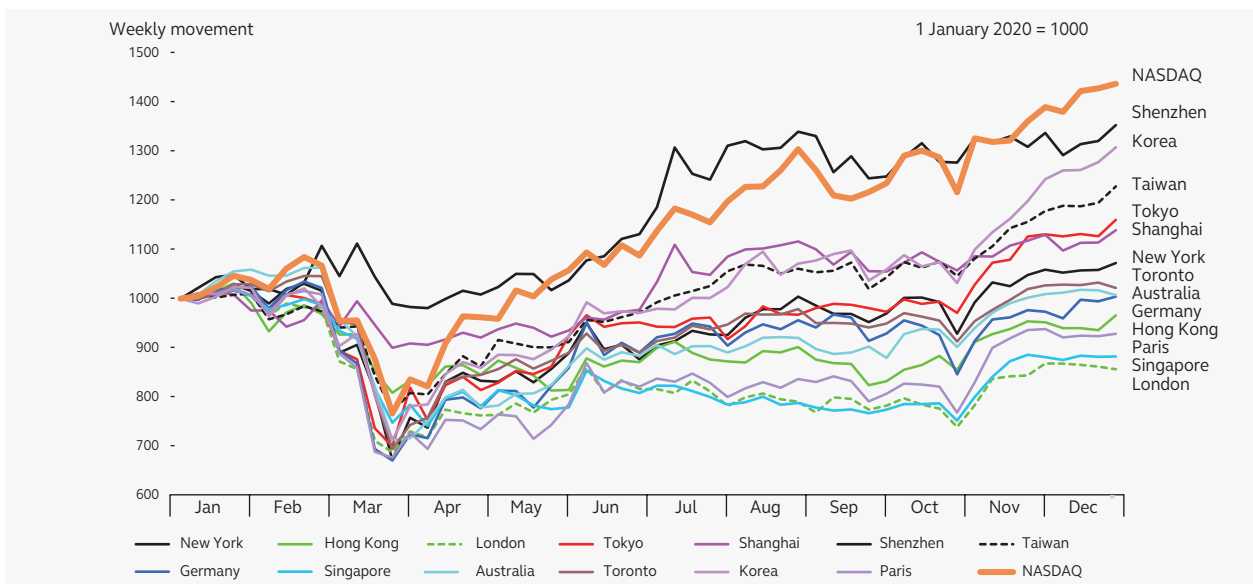
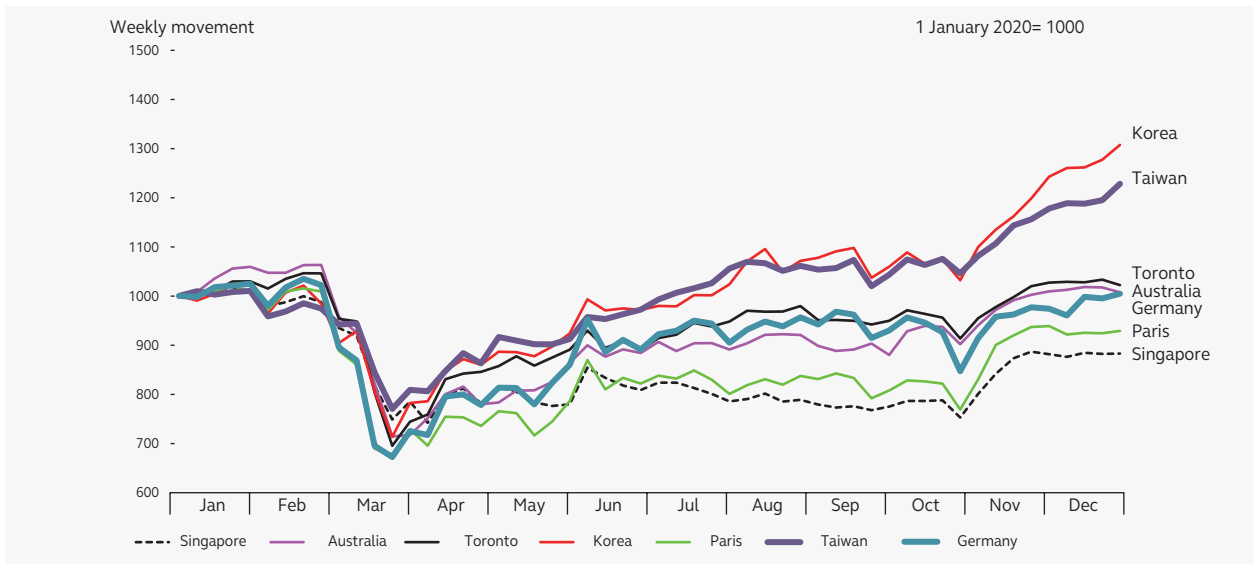
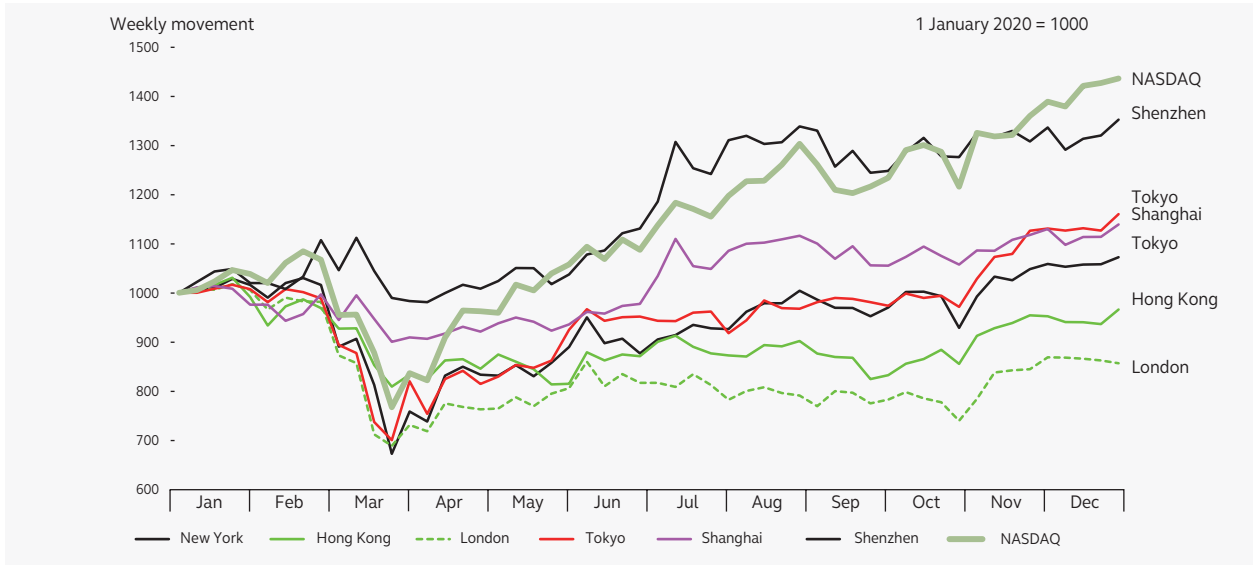
Year/ Month	AUSTRALIA All Ordinaries Index	GERMANY DAX Kursindex	HONG KONG Hang Seng Index	KOREA KOSPI Index	LONDON FTSE 100 Index	NASDAQ Nasdaq Composite Index	NEW YORK DJIA Index
2016	5719.14	5588.27	22000.56	2026.46	7142.83	5383.12	19762.60
2017	6167.30	6122.26	29919.15	2467.49	7687.77	6903.39	24719.22
2018	5709.40	4862.74	25845.70	2041.04	6728.13	6635.28	23327.46
2019	6802.40	5909.66	28189.75	2197.67	7542.44	8972.60	28538.44
2020	6850.60	5935.22	27231.13	2873.47	6460.52	12888.28	30606.48
2020							
Jan	7121.20	5790.54	26312.63	2119.01	7286.01	9150.94	28256.03
Feb	6511.50	5287.76	26129.93	1987.01	6580.61	8567.37	25409.36
Mar	5110.60	4416.97	23603.48	1754.64	5671.96	7700.10	21917.16
Apr	5597.70	4804.60	24643.59	1947.56	5901.21	8889.55	24345.72
May	5872.20	5079.39	22961.47	2029.60	6076.60	9489.87	25383.11
Jun	6001.30	5357.17	24427.19	2108.33	6169.74	10058.77	25812.88
Jul	6058.30	5346.62	24595.35	2249.37	5897.76	10745.28	26428.32
Aug	6245.90	5610.72	25177.05	2326.17	5963.57	11775.46	28430.05
Sep	6009.30	5528.17	23459.05	2327.89	5866.10	11167.51	27781.70
Oct	6133.20	5001.72	24107.42	2267.15	5577.27	10911.59	26501.60
Nov	6742.10	5752.60	26341.49	2591.34	6266.19	12198.74	29638.64
Dec	6850.60	5935.22	27231.13	2873.47	6460.52	12888.28	30606.48

Note: Month-end or year-end figures.

Year/ Month	PARIS CAC 40 Index	SHANGHAI A-Share Index	SHENZHEN A-Share Index	SINGAPORE Straits Times Index	TAIWAN Weighted Index	TOKYO Nikkei 225 Index	TORONTO S&P/TSX Composite Index
2016	4862.31	3249.59	2059.91	2880.76	9253.50	19114.37	15287.59
2017	5312.56	3463.48	1986.42	3402.92	10642.86	22764.94	16209.13
2018	4730.69	2611.38	1325.66	3068.76	9727.41	20014.77	14322.86
2019	5978.06	3195.98	1802.29	3222.83	11997.14	23656.62	17063.43
2020	5551.41	3640.46	2437.58	2843.81	14732.53	27444.17	17433.36
2020							
Jan	5806.34	3118.92	1837.76	3153.73	11495.10	23205.18	17318.49
Feb	5309.90	3018.36	1885.06	3011.08	11292.17	21142.96	16263.05
Mar	4396.12	2882.25	1743.13	2481.23	9708.06	18917.01	13378.75
Apr	4572.18	2997.56	1845.19	2624.23	10992.14	20193.69	14780.74
May	4695.44	2989.86	1869.38	2510.75	10942.16	21877.89	15192.83
Jun	4935.99	3128.45	2067.44	2589.91	11621.24	22288.14	15515.22
Jul	4783.69	3469.43	2362.16	2529.82	12664.80	21710.00	16169.20
Aug	4947.22	3558.98	2402.52	2532.51	12591.45	23139.76	16514.44
Sep	4803.44	3372.60	2249.63	2466.62	12515.61	23185.12	16121.38
Oct	4594.24	3379.53	2300.49	2423.84	12546.34	22977.13	15580.64
Nov	5518.55	3555.23	2354.22	2805.95	13722.89	26433.62	17190.25
Dec	5551.41	3640.46	2437.58	2843.81	14732.53	27444.17	17433.36

Note: Month-end or year-end figures.

World stock market indices (2020)



S&P/HKEX GEM Index, daily closing (2020)

28 February 2003 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	-	-	77.22	-	78.84	-	-	110.46	-	-	116.92
2	79.47	-	85.88	75.98	-	78.77	97.88	-	114.28	-	109.57	119.84
3	79.47	76.26	84.52	76.26	-	77.83	97.62	109.30	112.11	-	107.40	122.65
4	-	77.53	83.85	-	75.29	78.69	-	114.17	108.37	-	109.03	124.82
5	-	80.70	83.84	-	76.12	79.13	-	117.62	-	105.97	112.56	-
6	78.88	82.65	83.06	75.33	76.48	-	97.90	114.66	-	106.67	110.02	-
7	79.53	84.76	-	77.11	77.47	-	100.43	110.39	108.31	109.35	-	127.86
8	78.92	-	-	74.09	77.46	80.70	103.19	-	103.49	110.60	-	129.03
9	78.89	-	80.59	75.34	-	77.27	102.00	-	101.75	109.24	110.65	129.73
10	79.25	86.35	80.37	-	-	79.94	100.98	114.52	100.54	-	102.93	127.75
11	-	83.91	80.36	-	79.41	81.17	-	112.53	102.69	-	100.14	131.12
12	-	86.93	79.55	-	78.28	87.01	-	109.70	-	115.49	104.40	-
13	79.15	84.61	79.23	-	77.34	-	103.65	113.44	-	-	102.94	-
14	78.16	84.58	-	74.84	75.32	-	103.07	111.09	102.93	115.07	-	132.85
15	79.02	-	-	74.41	76.39	88.58	101.97	-	105.69	114.93	-	128.48
16	82.14	-	78.09	74.62	-	89.83	96.43	-	106.91	124.18	109.21	128.84
17	80.87	87.83	77.63	74.92	-	88.02	108.46	108.03	104.98	-	108.69	129.23
18	-	86.80	77.42	-	76.13	89.05	-	108.22	104.75	-	109.49	128.82
19	-	87.14	77.24	-	78.03	88.22	-	109.56	-	119.63	108.15	-
20	81.71	88.39	78.73	76.15	79.77	-	110.80	105.61	-	120.48	109.78	-
21	81.09	87.57	-	74.68	78.35	-	115.99	106.26	101.28	121.56	-	133.26
22	82.28	-	-	74.97	76.26	84.34	111.34	-	101.17	116.46	-	128.10
23	80.79	-	76.86	74.81	-	87.15	112.38	-	100.53	115.38	112.29	129.70
24	81.01	85.45	76.56	75.18	-	86.80	101.26	108.44	96.92	-	111.74	129.56
25	-	86.20	78.11	-	77.62	-	-	107.88	97.77	-	111.51	-
26	-	85.73	81.65	-	78.52	90.43	-	104.22	-	-	118.00	-
27	-	86.41	80.95	75.51	79.10	-	105.48	107.46	-	113.21	119.50	-
28	-	86.00	-	76.93	77.38	-	104.95	106.70	96.04	116.38	-	125.02
29	79.42	-	-	77.84	77.88	92.47	106.23	-	101.06	114.10	-	127.10
30	77.55	-	80.23	-	-	94.88	106.88	-	104.27	110.72	121.31	128.64
31	78.18	-	78.65	-	-	-	109.65	110.03	-	-	-	133.80
High	82.28	88.39	85.88	77.84	79.77	94.88	115.99	117.62	114.28	124.18	121.31	133.80
Low	77.55	76.26	76.56	74.09	75.29	77.27	96.43	104.22	96.04	105.97	100.14	116.92
Avg	79.79	84.79	80.15	75.59	77.43	84.72	104.48	109.99	103.92	114.41	109.97	127.87

Note: S&P/HKEX GEM Index is compiled by Standard & Pools.

S&P/HKEX GEM Index, daily movement (2020)



Number of securities by type on GEM, 2016-2020

Type	Year				
	2016	2017	2018	2019	2020
Ordinary shares	260	324	389	378	368
Preference shares	0	0	0	0	0
Warrants	1	1	1	1	1
– Equity warrants	1	1	1	1	1
– Derivative warrants	0	0	0	0	0
Total	261	325	390	379	369

Number of newly listed securities on GEM, 2016-2020

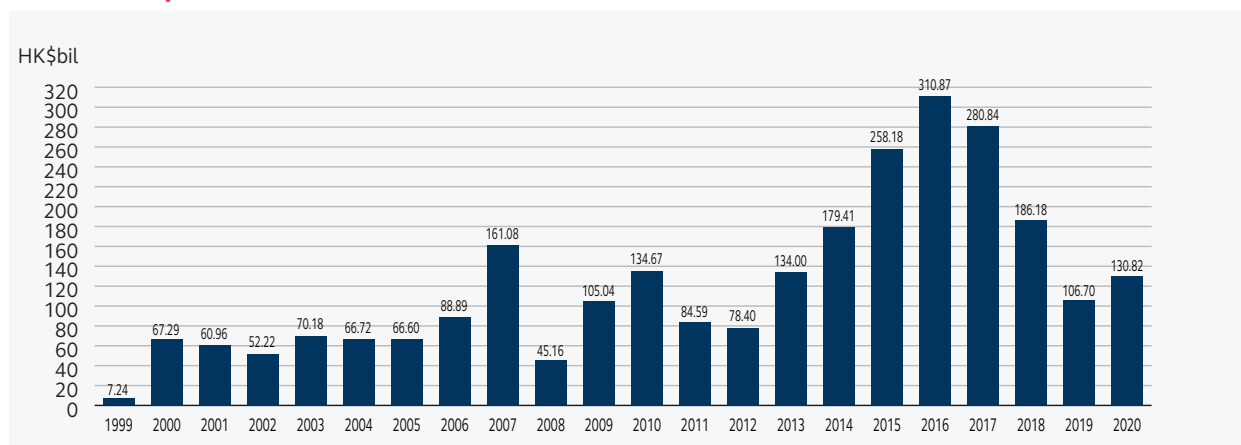
Type	Year				
	2016	2017	2018	2019	2020
Ordinary shares	45	80	75	15	8
Preference shares	0	0	0	0	0
Warrants	1	0	0	0	0
– Equity warrants	1	0	0	0	0
– Derivative warrants	0	0	0	0	0
Total	46	80	75	15	8

Number of delisted securities from GEM, 2016-2020

Type	Year				
	2016	2017	2018	2019	2020
Ordinary shares	7	16	10	26	18
– Withdrawal of listing	1	3	0	6	10
– Transfer of listing on Main Board*	6	13	10	20	8
Warrants – equity	1	0	0	0	0
Total	8	16	10	26	18

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Market capitalisation of GEM, 1999-2020



Market capitalisation of GEM by Hang Seng Industry Classification System, 2020

HK\$mil

Industry Classification *	2020											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,252.05	1,292.69	1,504.58	1,455.18	1,625.31	1,544.04	1,387.04	1,640.35	1,754.52	1,781.12	1,524.20	1,416.11
Materials	1,355.89	1,263.34	977.81	924.75	894.27	855.93	975.03	937.82	725.56	697.78	820.58	848.03
Industrials	11,107.79	12,725.12	10,873.50	11,387.90	9,917.42	9,576.47	10,618.04	10,124.56	10,818.46	9,756.84	10,485.33	12,052.84
Consumer Discretionary	32,888.90	32,684.78	28,277.29	29,280.18	29,776.96	29,515.78	28,494.73	30,297.11	29,348.15	28,791.99	31,243.38	33,375.43
Consumer Staples	3,981.36	3,985.74	3,556.88	3,457.37	3,815.28	2,813.93	2,621.38	3,208.34	2,086.60	2,041.84	2,280.95	4,418.57
Healthcare	8,360.70	6,780.09	6,485.60	6,285.11	6,061.88	5,953.84	6,131.61	6,341.49	5,603.00	5,786.47	6,514.46	6,319.51
Telecommunications	207.01	219.88	215.66	238.85	211.33	180.23	142.76	147.92	73.95	85.04	70.25	55.46
Utilities	595.17	506.88	463.85	425.35	422.14	377.24	377.18	446.95	437.13	446.52	424.02	387.56
Financials	6,767.56	6,461.55	6,381.85	6,282.99	6,186.90	5,824.42	5,268.55	5,751.70	5,494.09	6,877.02	6,656.31	6,419.07
Properties & Construction	17,640.74	18,098.05	15,883.85	17,219.66	15,499.33	15,848.99	15,246.38	15,432.58	15,466.08	15,066.78	15,009.79	15,129.77
Information Technology	20,201.86	22,913.17	20,813.95	20,515.53	21,473.17	30,214.22	37,505.86	37,468.96	35,945.68	40,437.60	45,374.77	50,400.50
Conglomerates	58.71	68.49	89.46	58.71	31.87	28.51	38.58	40.26	0.00	0.00	0.00	0.00
Total	104,417.74	106,999.79	95,524.29	97,531.56	95,915.87	102,733.62	108,807.14	111,838.03	107,753.22	111,769.02	120,404.05	130,822.84

Note: Month-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation trends on GEM by Hang Seng Industry Classification System, 2016-2020

HK\$mil

Industry Classification *	2016		2017		2018		2019		2020	
Energy	1,347.60	(0.43%)	1,471.69	(0.52%)	1,720.02	(0.92%)	1,150.19	(1.08%)	1,416.11	(1.08%)
Materials	4,878.76	(1.57%)	5,031.41	(1.79%)	4,708.81	(2.53%)	1,542.59	(1.45%)	848.03	(0.65%)
Industrials	26,629.77	(8.57%)	42,680.61	(15.20%)	20,307.90	(10.91%)	12,873.24	(12.06%)	12,052.84	(9.21%)
Consumer Discretionary ^	n.a.	-	n.a.	-	n.a.	-	35,853.20	(33.60%)	33,375.43	(25.51%)
Consumer Staples ^	n.a.	-	n.a.	-	n.a.	-	4,155.65	(3.89%)	4,418.57	(3.38%)
Healthcare ^	n.a.	-	n.a.	-	n.a.	-	5,828.78	(5.46%)	6,319.51	(4.83%)
Telecommunications	2,994.10	(0.96%)	1,078.79	(0.38%)	489.46	(0.26%)	213.88	(0.20%)	55.46	(0.04%)
Utilities	5,134.06	(1.65%)	5,832.47	(2.08%)	1,227.36	(0.66%)	583.42	(0.55%)	387.56	(0.30%)
Financials	44,936.10	(14.45%)	42,377.61	(15.09%)	20,615.57	(11.07%)	7,257.03	(6.80%)	6,419.07	(4.91%)
Properties & Construction	58,161.26	(18.71%)	18,263.10	(6.50%)	26,956.95	(14.48%)	17,749.96	(16.64%)	15,129.77	(11.57%)
Information Technology	45,638.54	(14.68%)	50,442.88	(17.96%)	29,105.84	(15.63%)	19,379.54	(18.16%)	50,400.50	(38.53%)
Conglomerates	88.45	(0.03%)	109.30	(0.04%)	313.13	(0.17%)	111.82	(0.10%)	0.00	(0.00%)
Consumer Goods ^	49,218.36	(15.83%)	40,499.17	(14.42%)	28,332.16	(15.22%)	n.a.	-	n.a.	-
Consumer Services ^	71,844.86	(23.11%)	73,054.41	(26.01%)	52,406.12	(28.15%)	n.a.	-	n.a.	-
Equity total	310,871.86	(100.00%)	280,841.44	(100.00%)	186,183.32	(100.00%)	106,699.32	(100.00%)	130,822.84	(100.00%)

() % of equity market total

Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

50 leading companies in market capitalisation on GEM

End of 2020

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of market total
1	08083	China Youzan Ltd.	17,253,403,617	2.310	39,855.36	30.47
2	08032	Viva China Holdings Ltd.	9,296,332,726	0.620	5,763.73	4.41
3	08137	Honbridge Holdings Ltd.	9,854,533,606	0.460	4,533.09	3.47
4	08279	AGTech Holdings Ltd.	11,672,342,235	0.285	3,326.62	2.54
5	08053	Pizu Group Holdings Ltd.	3,558,724,852	0.880	3,131.68	2.39
6	08295	ZZ Capital International Ltd.	4,188,519,590	0.650	2,722.54	2.08
7	08057	Madison Holdings Group Ltd.	6,231,272,277	0.350	2,180.95	1.67
8	08516	Grand Talents Group Holdings Ltd.	480,000,000	4.030	1,934.40	1.48
9	08167	Neo Telemedia Ltd.	9,522,184,345	0.200	1,904.44	1.46
10	08328	Xinyi Automobile Glass Hong Kong Enterprises Ltd.	648,218,651	2.870	1,860.39	1.42
11	08158	China Regenerative Medicine International Ltd.	2,854,289,500	0.570	1,626.95	1.24
12	08170	China All Nation International Holdings Group Ltd.	411,200,000	3.720	1,529.66	1.17
13	08487	ISP Global Ltd.	800,000,000	1.870	1,496.00	1.14
14	08037	China Biotech Services Holdings Ltd.	966,851,150	1.300	1,256.91	0.96
15	08418	Optima Automobile Group Holdings Ltd.	850,000,000	1.360	1,156.00	0.88
16	08227	Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares	645,764,706	1.630	1,052.60	0.80
17	08246	Zhonghua Gas Holdings Ltd.	3,622,136,000	0.280	1,014.20	0.78
18	08228	National Arts Entertainment and Culture Group Ltd.	7,423,868,369	0.127	942.83	0.72
19	08363	SDM Group Holdings Ltd.	384,600,000	2.400	923.04	0.71
20	08026	China Brilliant Global Ltd.	1,452,453,725	0.630	915.05	0.70
21	08047	China Ocean Group Development Ltd.	4,713,656,179	0.194	914.45	0.70
22	08153	Farnova Group Holdings Ltd.	7,141,423,920	0.125	892.68	0.68
23	08156	Sinopharm Tech Holdings Ltd.	4,289,724,633	0.190	815.05	0.62
24	08395	Tree Holdings Ltd.	1,584,000,000	0.500	792.00	0.61
25	08308	Gudou Holdings Ltd.	980,000,000	0.710	695.80	0.53
26	08401	Stream Ideas Group Ltd.	200,000,000	3.450	690.00	0.53
27	08425	Hing Ming Holdings Ltd.	400,000,000	1.520	608.00	0.46
28	08195	L & A International Holdings Ltd.	1,535,984,000	0.390	599.03	0.46
29	08506	China Futex Holdings Ltd.	1,000,000,000	0.590	590.00	0.45
30	08316	Pak Wing Group (Holdings) Ltd.	800,000,000	0.720	576.00	0.44
31	08313	ZACD Group Ltd.	2,000,000,000	0.285	570.00	0.44
32	08479	JTF International Holdings Ltd.	930,000,000	0.580	539.40	0.41
33	08606	Kinetix Systems Holdings Ltd.	800,000,000	0.670	536.00	0.41
34	08050	Quantum Thinking Ltd.	1,356,250,000	0.385	522.16	0.40
35	08371	Taste.Gourmet Group Ltd.	386,932,000	1.280	495.27	0.38
36	08223	Ziyuanyuan Holdings Group Ltd.	400,000,000	1.180	472.00	0.36
37	08500	Icon Culture Global Co. Ltd.	180,000,000	2.610	469.80	0.36
38	08420	Nexion Technologies Ltd.	720,000,000	0.640	460.80	0.35
39	08462	Omnibridge Holdings Ltd.	600,000,000	0.760	456.00	0.35
40	08176	SuperRobotics Holdings Ltd.	506,219,666	0.900	455.60	0.35
41	08029	Sun International Group Ltd.	2,171,732,000	0.208	451.72	0.35
42	08475	K Group Holdings Ltd.	440,000,000	1.000	440.00	0.34
43	08021	WLS Holdings Ltd.	14,367,101,072	0.030	431.01	0.33
44	08171	China Trends Holdings Ltd.	42,716,118,022	0.010	427.16	0.33
45	08082	Sun Entertainment Group Ltd.	1,250,798,007	0.340	425.27	0.33
46	08005	Yuxing InfoTech Investment Holdings Ltd.	2,073,088,800	0.199	412.54	0.32
47	08128	China Geothermal Industry Development Group Ltd.	4,526,925,163	0.091	411.95	0.31
48	08225	China Health Group Inc.	995,351,660	0.410	408.09	0.31
49	08317	Finet Group Ltd.	666,538,774	0.600	399.92	0.31
50	08011	Polyard Petroleum International Group Ltd.	3,354,204,478	0.117	392.44	0.30
		Total			95,476.56	72.98
		Equity total			130,822.84	100.00

Trading value and volume for GEM, 2016-2020

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2016	245	116,449.49	475.30	434,388.56	1,773.01	3,449,127	14,078	
2017	246	149,061.01	605.94	477,690.71	1,941.83	4,785,031	19,451	
2018	246	127,487.49	518.24	334,890.26	1,361.34	4,629,493	18,819	
2019	246	49,860.88	202.69	190,020.19	772.44	2,418,371	9,831	
2020	248	86,366.10	348.25	197,684.82	797.12	2,626,527	10,591	
2020	Jan	20	6,618.94	330.95	16,025.91	801.30	222,483	11,124
	Feb	20	5,588.88	279.44	12,751.23	637.56	193,989	9,699
	Mar	22	4,099.06	186.32	13,820.88	628.22	149,444	6,793
	Apr	19	3,458.88	182.05	11,885.94	625.58	143,605	7,558
	May	20	3,845.27	192.26	12,690.63	634.53	141,287	7,064
	Jun	21	8,356.41	397.92	20,908.62	995.65	207,335	9,873
	Jul	22	10,063.53	457.43	20,011.63	909.62	247,792	11,263
	Aug	21	8,842.61	421.08	19,723.72	939.22	288,489	13,738
	Sep	22	9,411.32	427.79	22,408.20	1,018.55	285,324	12,969
	Oct	18	7,005.55	389.20	11,880.47	660.03	208,154	11,564
	Nov	21	11,265.70	536.46	17,660.75	840.99	284,521	13,549
	Dec	22	7,809.96	355.00	17,916.83	814.40	254,104	11,550

Trading value for GEM by Hang Seng Industry Classification System, 2020

Industry Classification *	No. of issue #	Equity turnover		Annual turnover velocity (%)
		HK\$mil	% of total	
Energy	9	1,620.83	1.88	114.46
Materials	10	396.72	0.46	46.78
Industrials	46	4,090.21	4.74	33.94
Consumer Discretionary	122	11,684.82	13.53	35.01
Consumer Staples	18	2,068.74	2.40	46.82
Healthcare	17	7,422.37	8.59	117.45
Telecommunications	1	138.13	0.16	249.05
Utilities	3	33.76	0.04	8.71
Financials	30	1,296.78	1.50	20.20
Properties & Construction	53	7,138.29	8.27	47.18
Information Technology	59	50,436.88	58.40	100.07
Conglomerates	0	38.56	0.04	0.00
Total	368	86,366.09	100.00	66.02

Notes:

1. Equity turnover values have been adjusted for late reported and rejected sales.

2. Figures may not add up to total due to rounding.

* Year-end figures.

* Industry Classification is provided by Hang Seng Indexes Company Limited.

20 most active GEM stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK\$mil)	% of equity total
1	08083	CHINA YOUZAN	47,459.27	54.95
2	08225	C HEALTH GP	5,012.64	5.8
3	08153	FARNOVA GROUP	2,618.51	3.03
4	08140	BOSATECH	1,204.08	1.39
5	08208	WMCH GLOBAL	810.85	0.94
6	08646	CHINA HONGGUANG	790.95	0.92
7	08627	ORANGE TOUR	782.83	0.91
8	08491	COOL LINK	780.9	0.9
9	08496	SG FOOD HLDGS	753.52	0.87
10	08631	SUN KONG HLDGS	729.45	0.84
11	08513	IAG HOLDINGS	703.42	0.81
12	08487	ISP GLOBAL	689.81	0.8
13	08616	SUNRAY ENG GP	610.6	0.71
14	08420	NEXION TECH	603.46	0.7
15	08069	WWPKG HOLDINGS	577.92	0.67
16	08536	TL NATURAL GAS	555.36	0.64
17	08032	VIVA CHINA	542.24	0.63
18	08329	NEP INTERLONG	535.14	0.62
19	08053	PIZU GROUP	507.78	0.59
20	08619	WAC HOLDINGS	484.67	0.56
		Total	66,753.41	77.29
		Equity total	86,366.09	100.00

20 most active GEM stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil. of shares)	% of equity total
1	08083	CHINA YOUZAN	36,353.91	18.39
2	08153	FARNOVA GROUP	15,907.53	8.05
3	08086	SINO VISION WW	5,353.46	2.71
4	08133	JETE POWER	4,617.15	2.34
5	08163	MERDEKA FIN	4,338.44	2.19
6	08225	C HEALTH GP	3,470.49	1.76
7	08007	GLOBALSTRAT	3,131.89	1.58
8	08360	AL GROUP	2,975.64	1.51
9	08022	EVERSHINE GP	2,859.88	1.45
10	08536	TL NATURAL GAS	2,831.18	1.43
11	08228	NATIONAL ARTS	2,654.34	1.34
12	08081	HANG TAI YUE GP	2,511.75	1.27
13	08612	WORLD SUPER	2,405.91	1.22
14	08250	SILK RD ENERGY	2,299.99	1.16
15	08627	ORANGE TOUR	1,889.55	0.96
16	08616	SUNRAY ENG GP	1,856.31	0.94
17	08265	CHINA TRUSTFUL	1,670.21	0.84
18	08156	SINOPHARM TECH	1,608.80	0.81
19	08147	MILLENNIUM PG	1,597.45	0.81
20	08456	MANSION INTL	1,534.07	0.78
Total			101,867.95	51.53
Equity total			197,683.15	100.00

20 GEM Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK\$)		% up
			2019	2020	
1	08316	PAK WING GP	0.087	0.720	727.59
2	08606	KINETIX SYSTEMS	0.086	0.670	679.07
3	08395	TREE HOLDINGS	0.069	0.500	624.64
4	08420	NEXION TECH	0.090	0.640	611.11
5	08297	BODIBRA	0.080	0.500	525.00
6	08506	FUTEX HLDGS	0.108	0.590	446.30
7	08340	VINCO FINANCIAL	0.053	0.275	418.87
8	08083	CHINA YOUZAN	0.495	2.310	366.67
9	08259	HON CORP	0.112	0.470	319.64
10	08349	MEIGU TECH	0.140	0.560	300.00
11	08225	C HEALTH GP	0.103	0.410	298.06
12	08401	STREAM IDEAS	1.110	3.450	210.81
13	08081	HANG TAI YUE GP	0.022	0.067	204.55
14	08367	SIMPLICITY HLDG	0.044	0.128	190.91
15	08158	CRM I	0.208	0.570	174.04
16	08545	AMUSE GROUP	0.092	0.250	171.74
17	08512	HYFUSIN GROUP	0.050	0.128	156.00
18	08430	C&N HOLDINGS	0.080	0.203	153.75
19	08269	WEALTH GLORY	0.073 A	0.179	145.21
20	08609	EGGRICULTURE	0.147	0.360	144.90

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK\$)		% down
			2019	2020	
1	08007	GLOBALSTRAT	3.400 A	0.189	94.44
2	08086	SINO VISION WW	2.500 A	0.164	93.44
3	08612	WORLD SUPER	0.880	0.059	93.30
4	08619	WAC HOLDINGS	3.000	0.345	88.50
5	08428	CBK HOLDINGS	0.226	0.031	86.28
6	08140	BOSATECH	0.970	0.140	85.57
7	08163	MERDEKA FIN	0.096 A	0.014	85.42
8	08027	KPM HOLDING	0.800 A	0.128	84.00
9	08193	ASIAPAC FIN INV	0.540 A	0.088	83.70
10	08431	HAO BAI INTL	0.220	0.040	81.82
11	08242	NEW WESTERN	0.080	0.015	81.25
12	08087	CHINA 33MEDIA	0.200 A	0.041	79.50
13	08206	S ROBOT EDU	0.265	0.057	78.49
14	08112	CORNERSTONE FIN	0.820 A	0.181	77.93
15	08089	CHINESE STRAT	0.226 A	0.052	76.99
16	08039	KNK HOLDINGS	2.280	0.530	76.75
17	08239	CAPITAL FIN	0.565 A	0.136	75.93
18	08056	LIFE CONCEPTS	0.200	0.050	75.00
19	08178	CHINA INFO TECH	0.084	0.021	75.00
20	08066	PHOENITRON HOLD	0.203	0.051	74.88

(A) Adjusted

Market capitalisation and trading value of GEM listed companies by incorporation place

End of 2020

Country	No. of listed companies	Market capitalisation (HK\$mil)	% of equity total	Turnover (HK\$mil)	% of equity total
Bermuda	42	52,135,050,412.00	39.85	52,190.07	60.43
Cayman Islands	296	74,436,856,095.00	56.90	32,725.31	37.89
Hong Kong	9	1,040,983,816.00	0.80	333.40	0.39
PRC	19	2,500,240,413.00	1.91	1,055.80	1.22
Singapore	2	709,705,474.00	0.54	61.50	0.07
Total	368	130,822,836,210.00	100.00	86,366.09	100.00

Average dividend yields of GEM listed stocks by Hang Seng Industry Classification System*, 2016-2020

(%)

Year/Month	All listed stocks	Energy	Materials	Industrials	Consumer Discretionary [^]	Consumer Staples [^]	Healthcare [^]	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods [^]	Consumer Services [^]
2016	0.25	0.00	0.00	0.06	n.a.	n.a.	n.a.	1.75	0.00	0.09	0.03	0.91	0.00	0.37	0.08
2017	0.38	0.00	0.00	0.49	n.a.	n.a.	n.a.	0.00	0.00	0.39	0.10	0.92	0.00	0.35	0.09
2018	0.45	0.00	0.00	0.29	n.a.	n.a.	n.a.	0.00	0.00	1.32	0.41	0.51	0.00	0.20	0.36
2019	1.79	0.00	0.00	0.34	4.36	0.18	0.76	0.00	0.00	1.03	0.58	0.36	0.00	n.a.	n.a.
2020	0.51	0.00	0.00	0.18	1.06	0.46	0.28	0.00	0.00	2.27	0.58	0.05	0.00	n.a.	n.a.
2020 Jan	1.85	0.00	0.00	0.40	4.73	0.19	0.53	0.00	0.00	1.55	0.59	0.34	0.00	n.a.	n.a.
2020 Feb	1.81	0.00	0.00	0.47	4.76	0.19	0.65	0.00	0.00	1.68	0.57	0.26	0.00	n.a.	n.a.
2020 Mar	0.50	0.00	0.00	0.60	0.48	0.21	0.68	0.00	0.00	1.67	0.65	0.07	0.00	n.a.	n.a.
2020 Apr	0.47	0.00	0.00	0.41	0.46	0.22	0.70	0.00	0.00	1.70	0.60	0.06	0.00	n.a.	n.a.
2020 May	0.46	0.00	0.00	0.47	0.45	0.20	0.61	0.00	0.00	1.63	0.67	0.06	0.00	n.a.	n.a.
2020 Jun	0.40	0.00	0.00	0.49	0.42	0.22	0.29	0.00	0.00	1.66	0.59	0.08	0.00	n.a.	n.a.
2020 Jul	0.43	0.00	0.00	0.44	0.43	0.23	0.28	0.00	0.00	2.78	0.65	0.07	0.00	n.a.	n.a.
2020 Aug	0.60	0.00	0.00	0.40	1.15	0.19	0.27	0.00	0.00	2.51	0.61	0.07	0.00	n.a.	n.a.
2020 Sep	0.61	0.00	0.00	0.37	1.19	0.30	0.31	0.00	0.00	2.63	0.48	0.07	0.00	n.a.	n.a.
2020 Oct	0.59	0.00	0.00	0.26	1.21	0.99	0.30	0.00	0.00	2.10	0.49	0.06	0.00	n.a.	n.a.
2020 Nov	0.55	0.00	0.00	0.24	1.10	0.88	0.27	0.00	0.00	2.13	0.59	0.05	0.00	n.a.	n.a.
2020 Dec	0.51	0.00	0.00	0.18	1.06	0.46	0.28	0.00	0.00	2.27	0.58	0.05	0.00	n.a.	n.a.

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

New Hang Seng Industry Classification System took effect on 9 September 2013.

[^] With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Average price earnings ratios of GEM listed stocks by Hang Seng Industry Classification System*, 2016-2020

(Times)

Year/Month	All GEM stocks	Energy	Materials	Industrials	Consumer Discretionary [^]	Consumer Staples [^]	Healthcare [^]	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods [^]	Consumer Services [^]
2016	71.31	238.75	109.19	171.33	n.a.	n.a.	n.a.	33.13	57.96	48.38	241.28	47.97	6.18	70.87	62.78
2017	36.65	152.03	96.48	32.63	n.a.	n.a.	n.a.	346.60	50.40	26.48	77.29	34.52	0.00	48.42	35.80
2018	28.16	78.99	98.12	13.85	n.a.	n.a.	n.a.	0.00	15.81	11.94	40.22	38.25	32.00	33.95	52.66
2019	22.04	18.01	402.72	8.73	28.19	12.18	50.28	0.00	14.81	35.34	24.02	33.96	7.21	n.a.	n.a.
2020	29.59	17.04	24.33	14.95	15.31	62.93	57.18	0.00	61.53	55.60	27.63	108.15	0.00	n.a.	n.a.
2020 Feb	21.47	14.07	353.98	7.56	25.84	11.67	72.12	0.00	15.11	32.96	23.87	35.40	3.78	n.a.	n.a.
2020 Mar	22.07	14.51	329.81	8.65	26.46	11.68	58.49	0.00	12.87	31.47	24.48	38.69	4.41	n.a.	n.a.
2020 Apr	17.97	18.17	27.53	11.84	17.32	10.52	55.65	161.21	15.66	41.20	21.29	16.53	0.00	n.a.	n.a.
2020 May	20.15	17.56	26.39	12.64	18.45	40.93	58.39	154.49	14.36	39.60	29.08	16.28	0.00	n.a.	n.a.
2020 Jun	20.73	19.61	24.36	11.01	21.32	45.16	56.32	0.00	14.26	39.07	27.29	17.01	0.00	n.a.	n.a.
2020 Jul	22.46	18.57	23.32	11.10	20.04	39.01	53.84	0.00	59.89	44.26	30.59	23.59	0.00	n.a.	n.a.
2020 Aug	24.01	16.69	29.23	12.30	19.94	36.34	55.48	0.00	59.88	48.07	28.03	29.28	0.00	n.a.	n.a.
2020 Sep	24.70	19.73	28.11	11.67	21.31	44.48	57.38	0.00	70.96	52.48	28.57	29.21	0.00	n.a.	n.a.
2020 Oct	23.90	21.11	21.75	12.47	13.66	28.93	50.70	0.00	69.40	50.13	28.24	67.87	0.00	n.a.	n.a.
2020 Nov	25.01	21.43	20.92	11.76	13.40	28.31	52.36	0.00	70.89	62.60	27.52	76.35	0.00	n.a.	n.a.
2020 Dec	27.16	18.34	23.54	12.74	14.54	31.62	58.95	0.00	67.32	60.60	27.41	91.34	0.00	n.a.	n.a.
2020 Dec	29.59	17.04	24.33	14.95	15.31	62.93	57.18	0.00	61.53	55.60	27.63	108.15	0.00	n.a.	n.a.

Note: Month-end or year-end figures.

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New Hang Seng Industry Classification System took effect on 9 September 2013.

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Statistics on China enterprises (H shares) for GEM, 2016-2020

Year/Month	No. of issues	Turnover				Market capitalisation		
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total	
2016	23	4,275.97	0.99	5,715.78	4.91	6,963.00	2.24	
2017	24	3,064.17	0.64	7,382.09	4.95	8,926.84	3.18	
2018	24	1,316.27	0.39	2,525.23	1.98	4,717.05	2.53	
2019	22	1,451.38	0.76	2,092.18	4.20	2,995.05	2.81	
2020	19	1,664.70	0.84	1,055.80	1.22	2,500.24	1.91	
2020	Jan	22	78.91	0.49	47.77	0.72	3,043.44	2.91
	Feb	22	753.64	5.91	525.73	9.41	3,103.32	2.90
	Mar	21	116.02	0.84	47.54	1.16	2,843.49	2.98
	Apr	21	69.67	0.59	29.86	0.86	2,802.42	2.87
	May	20	62.55	0.49	30.49	0.79	2,618.00	2.73
	Jun	20	116.53	0.56	43.13	0.52	2,689.34	2.62
	Jul	20	185.28	0.93	81.46	0.81	2,832.50	2.60
	Aug	20	90.74	0.46	64.01	0.72	3,014.86	2.70
	Sep	20	53.03	0.24	41.30	0.44	2,959.77	2.75
	Oct	20	46.33	0.39	44.29	0.63	3,162.59	2.83
	Nov	20	52.77	0.30	46.54	0.41	2,648.44	2.20
	Dec	19	39.23	0.22	53.68	0.69	2,500.24	1.91

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise

Statistics on Red chips stocks for GEM, 2016-2020

Year/Month	No. of issues	Turnover				Market capitalisation		
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total	
2016	6	1,684.95	0.39	1,620.98	1.39	13,400.09	4.31	
2017	6	2,648.93	0.55	1,631.71	1.09	12,398.68	4.41	
2018	5	1,661.41	0.50	1,831.96	1.44	2,349.70	1.26	
2019	5	1,119.19	0.59	123.08	0.25	2,236.16	2.10	
2020	4	1,233.27	0.62	97.15	0.11	951.32	0.73	
2020	Jan	5	78.78	0.49	10.18	0.15	2,089.00	2.00
	Feb	5	53.24	0.42	11.47	0.21	2,182.32	2.04
	Mar	4	101.42	0.73	16.49	0.40	799.04	0.84
	Apr	4	163.28	1.37	8.09	0.23	833.73	0.85
	May	4	148.06	1.17	4.48	0.12	920.09	0.96
	Jun	4	246.13	1.18	7.18	0.09	850.42	0.83
	Jul	4	180.87	0.90	19.20	0.19	994.97	0.91
	Aug	4	60.40	0.31	5.26	0.06	936.22	0.84
	Sep	4	32.97	0.15	1.62	0.02	833.58	0.77
	Oct	4	37.67	0.32	2.98	0.04	887.88	0.79
	Nov	4	55.81	0.32	5.39	0.05	946.40	0.79
	Dec	4	74.64	0.42	4.80	0.06	951.32	0.73

Cash dividend payout of GEM listed companies by Hang Seng Industry Classification System*, 2016-2020

Year/Month	No. of companies declared	No. of companies paid	Energy (HK\$mil)	Materials (HK\$mil)	Industrials (HK\$mil)	Consumer Goods (HK\$mil)	Consumer Services (HK\$mil)	Consumer Discretionary (HK\$mil)	Consumer Staples (HK\$mil)	Healthcare (HK\$mil)	Telecommunications (HK\$mil)	Utilities (HK\$mil)	Financials (HK\$mil)	Properties & Information			Total dividend payout (HK\$mil)
														Construction (HK\$mil)	Technology (HK\$mil)	Conglomerates (HK\$mil)	
2016	255	24	-	-	31.01	184.47	46.34	n.a.	n.a.	n.a.	52.12	-	61.31	17.01	410.81	-	803.07
2017	327	33	-	-	147.34	141.18	58.28	n.a.	n.a.	n.a.	20.15	-	157.09	37.37	455.58	-	1,017.00
2018	389	49	-	-	36.61	215.76	216.75	n.a.	n.a.	n.a.	-	-	253.41	73.51	164.08	-	960.13
2019	388	48	-	-	48.91	40.28	1,375.94	55.15	7.56	3.50	-	-	120.07	94.12	92.69	-	1,838.22
2020	377	39	-	-	40.33	n.a.	n.a.	307.63	20.16	10.10	-	-	141.85	74.08	18.67	-	612.82
2020	Jan	16	1	-	-	n.a.	n.a.	-	-	-	-	-	-	4.00	-	-	4.00
	Feb	146	1	-	-	n.a.	n.a.	-	-	-	-	-	30.00	-	-	-	30.00
	Mar	208	3	-	-	15.00	n.a.	n.a.	-	-	-	-	4.00	17.79	-	-	36.79
	Apr	14	0	-	-	n.a.	n.a.	-	-	-	-	-	-	-	-	-	-
	May	225	1	-	-	n.a.	n.a.	-	-	-	-	-	-	2.50	-	-	2.50
	Jun	127	9	-	-	13.60	n.a.	n.a.	36.30	-	-	-	19.20	-	-	-	69.10
	Jul	14	5	-	-	11.73	n.a.	n.a.	6.00	-	-	-	4.91	4.00	-	-	26.63
	Aug	333	8	-	-	n.a.	n.a.	10.43	-	10.10	-	-	72.00	-	4.07	-	96.60
	Sep	23	11	-	-	n.a.	n.a.	238.90	6.16	-	-	-	8.95	8.20	11.00	-	273.21
	Oct	13	3	-	-	n.a.	n.a.	16.00	-	-	-	-	-	2.00	3.60	-	21.60
	Nov	337	0	-	-	n.a.	n.a.	-	-	-	-	-	-	-	-	-	-
	Dec	11	4	-	-	n.a.	n.a.	-	14.00	-	-	-	2.80	35.59	-	-	52.39

Notes:

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' and 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries.

Newly listed companies on GEM

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$mil)	Listing date/ subscription rate (times)	Sponsor
08646	China Hongguang Holdings Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	15,000,000 60,000,000	0.77 0.77	11.55 46.20	2020/01/13 27.90	Dongxing Securities (Hong Kong) Co. Ltd.
08500	Icon Culture Global Co. Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Media & Entertainment	13,500,000 31,500,000	1.39 1.39	18.77 43.79	2020/01/14 15.10	Innovax Capital Ltd.
08620	Asia-express Logistics Holdings Ltd.	Offer for subscription Offer for placing Industrials – Industrial Transportation	60,000,000 60,000,000	0.50 0.50	30.00 30.00	2020/04/20 196.21	South China Capital Ltd.
08616	Sunray Engineering Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	50,000,000 200,000,000	0.24 0.24	12.00 48.00	2020/04/23 34.70	Ample Capital Ltd.
08496	Singapore Food Holdings Ltd.	Offer for subscription Offer for placing Consumer Staples – Food & Beverages	12,000,000 48,000,000	0.90 0.90	10.80 43.20	2020/05/18 16.40	Anglo Chinese Corporate Finance, Ltd.
08623	China Saftower International Holding Group Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	60,000,000 140,000,000	0.30 0.30	18.00 42.00	2020/07/10 29.09	Alliance Capital Partners Ltd.
08659	Yik Wo International Holdings Ltd.	Offer for subscription Offer for placing Industrials – Commercial & Professional Services	15,000,000 135,000,000	0.40 0.40	6.00 54.00	2020/07/13 11.30	Grand Moore Capital Ltd.
08657	True Partner Capital Holding Ltd.	Offer for subscription Offer for placing Financials – Other Financials	20,000,000 80,000,000	1.40 1.40	28.00 112.00	2020/10/16 13.15	Alliance Capital Partners Ltd.
				Total	554.30		

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2019.

Withdrawal of listed companies from GEM

Code	Company	Last trading date	Delisted date
08197	Baytacare Pharmaceutical Co., Ltd. – H Shares	2018/09/26	2020/03/18
08016 #	Changhong Jiahua Holdings Ltd.	2020/03/17	2020/03/18*
08442 #	SG Group Holdings Ltd.	2020/03/19	2020/03/20*
08470 #	Lumina Group Ltd.	2020/04/17	2020/04/20*
08243	Dahe Media Co. Ltd. – H Shares	2019/03/29	2020/05/25
08376 #	Fineland Real Estate Services Group Ltd.	2020/05/27	2020/05/28*
08421 #	Winson Holdings Hong Kong Ltd.	2020/06/10	2020/06/11*
08212	Celebrate International Holdings Ltd.	2019/06/05	2020/07/08
08105	Kingsley Edugroup Ltd.	2020/03/18	2020/07/24
08085	Hong Kong Life Sciences and Technologies Group Ltd.	2019/07/04	2020/09/14
08142 #	Tak Lee Machinery Holdings Ltd.	2020/10/05	2020/10/06*
08230	China Yu Tian Holdings Ltd.	2019/03/28	2020/10/29
08465 #	Transtech Optelecom Science Holdings Ltd.	2020/11/04	2020/11/05*
08207	Chong Sing Holdings FinTech Group Ltd.	2019/07/05	2020/11/30
08485 #	Smart Globe Holdings Ltd.	2020/12/10	2020/12/11*
08256	Netel Technology (Holdings) Ltd.	2018/08/07	2020/12/15
08190	Combest Holdings Ltd.	2019/05/28	2020/12/24
08236	Powerleader Science & Technology Group Ltd. – H Shares	2020/12/21	2020/12/30

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

* Effective date of the transfer of listing from GEM to Main Board.

Company name changes – GEM

Code	Former name	New name	Effective date	Adoption date
08513	IAG Holdings Ltd. [#]	IAG Holdings Ltd.	2020/02/17	2020/03/06
08111	China Technology Solar Power Holdings Ltd.	China Technology Industry Group Ltd.	2020/02/17	2020/03/18
08537	Dominate Group Holdings Co. Ltd.	Chong Fai Jewellery Group Holdings Co. Ltd.	2020/01/09	2020/03/18
08153	Code Agriculture (Holdings) Ltd.	Farnova Group Holdings Ltd.	2020/04/28	2020/06/01
08047	China Ocean Fishing Holdings Ltd.	China Ocean Group Development Ltd.	2020/04/23	2020/06/02
08525	Byleasing Holdings Ltd.	Baiying Holdings Group Ltd.	2020/06/19	2020/08/07
08176	SuperRobotics Ltd.	SuperRobotics Holdings Ltd.	2020/06/05	2020/08/18
08268	Deson Construction International Holdings Ltd.	Smart City Development Holdings Ltd.	2020/08/27	2020/09/30
08179	Food Idea Holdings Ltd.	Palinda Group Holdings Ltd.	2020/08/27	2020/10/12
08391	Elegance Commercial and Financial Printing Group Ltd.	Cornerstone Technologies Holdings Ltd.	2020/09/07	2020/10/22

[#] Change of Chinese name only.

Reorganisations/demergers on GEM

Code	Company	Particulars	Proposed date Completed/ EGM date
Nil			

Privatisations by major shareholder on GEM

Code	Company	Particulars	Last trading date	Delisted date
08236	Powerleader Science & Technology Group Ltd. – H Shares	Cash offer RMB3.38 for each domestic share; HK\$3.92 for each H share	2020/12/21	2020/12/30

Change of domicile of listed companies on GEM

Code	Former company	New company	Place of incorporation	Effective date
Nil				

Companies under suspension on GEM as at the end of 2020

Code		Last closing price		Date of suspension
		(HK\$)	(Date)	
08247	Biosino Bio-Technology and Science Incorporation – H Shares	1.680	(2020/03/31)	2020/04/01
08090	China Assurance Finance Group Ltd.	0.041	(2019/03/29)	2019/04/01
08116	China Fortune Investments (Holding) Ltd.	0.024	(2018/11/02)	2018/11/05
08132	China Oil Gangran Energy Group Holdings Ltd.	0.156	(2019/06/28)	2019/07/02
08171	China Trends Holdings Ltd.	0.010	(2020/03/10)	2020/03/11
08265	China Trustful Group Ltd.	0.013	(2020/05/15)	2020/05/18
08272	Chinese Food and Beverage Group Ltd.	0.085	(2020/06/02)	2020/06/03
08215	First Credit Finance Group Ltd.	0.108	(2017/11/23)	2017/11/24
08192	Global Token Ltd.	0.031	(2019/10/24)	2019/10/25
08108	Grand Peace Group Holdings Ltd.	0.050	(2020/03/31)	2020/04/01
08202	Inno-Tech Holdings Ltd.	0.032	(2020/06/17)	2020/06/18
08165	Jian ePayment Systems Ltd.	0.037	(2018/05/14)	2018/05/15
08351	Larry Jewelry International Co. Ltd.	0.010	(2020/08/14)	2020/08/17
08001	Orient Securities International Holdings Ltd.	0.220	(2020/07/29)	2020/07/30
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	0.070	(2020/10/20)	2020/10/21
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. – H Shares	0.036	(2020/11/11)	2020/11/12
08119	Thiz Technology Group Ltd.	0.125	(2020/10/14)	2020/10/15
08238	Winto Group (Holdings) Ltd.	0.115	(2020/09/07)	2020/09/08
08260	Yin He Holdings Ltd.	0.110	(2020/09/14)	2020/09/15
08283	Zheng Li Holdings Ltd.	0.153	(2020/11/13)	2020/11/16
08266	Zhuoxin International Holdings Ltd.	0.183	(2020/08/14)	2020/08/17

Takeovers and mergers for GEM

Code	Company	Proposed date Offer closing date
08391	Elegance Commercial and Financial Printing Group Ltd. (Name changed to Cornerstone Technologies Holdings Ltd.) Mandatory unconditional was made by Global Fortune Global Ltd. at at price of HK\$0.325 for each share	2019/12/23 2020/01/13
08105	Kingsley Edugroup Ltd. Voluntary conditional was made by Maple Leaf Education Asia Pacific Ltd, a wholly-owned subsidiary of China Maple Leaf Educational Systems Ltd. at at price of HK\$0.54 for each share	2020/02/19 2020/03/18
08221	PF Group Holdings Ltd. Mandatory unconditional was made by Chance Wise Investments Ltd. at at price of HK\$0.0812 for each share	2020/11/20 2020/12/11

Share subdivisions and share consolidations on GEM

Code	Stock	Particulars	New par value (HK\$)	Effective date
08060	Global Link Communications Holdings Ltd.	Consolidation 10 into 1	0.1000	2020/01/08
08245	On Real International Holdings Ltd.	Consolidation 10 into 1	0.0125	2020/02/06
08217	Luen Wong Group Holdings Ltd.	Consolidation 4 into 1	0.0400	2020/03/18
08025	Asian Capital Resources (Holdings) Ltd.	Consolidation 10 into 1	1.0000	2020/03/27
08239	Capital Finance Holdings Ltd.	Consolidation 5 into 1	0.0500	2020/04/08
08291	Wan Cheng Metal Packaging Co. Ltd.	Consolidation 5 into 1	0.0500	2020/04/15
08198	Loto Interactive Ltd.	Consolidation 10 into 1	0.1000	2020/05/28
08112	Cornerstone Financial Holdings Ltd.	Consolidation 20 into 1	2.0000	2020/06/05
08087	China 33 Media Group Ltd.	Consolidation 10 into 1	USD 0.0100	2020/06/10
08019	Hao Wen Holdings Ltd.	Consolidation 10 into 1	0.2000	2020/07/10
08321	Tai Kam Holdings Ltd.	Consolidation 5 into 1	0.0500	2020/07/15
08027	KPM Holding Ltd.	Consolidation 25 into 1	0.03125	2020/07/21
08218	Echo International Holdings Group Ltd.	Consolidation 20 into 1	0.0500	2020/08/03
08107	Vision International Holdings Ltd.	Consolidation 10 into 1	0.1000	2020/08/05
08041	Luxey International (Holdings) Ltd.	Consolidation 10 into 1	0.1000	2020/08/07
08193	Asia-Pac Financial Investment Co. Ltd.	Consolidation 2 into 1	0.2000	2020/08/19
08007	Global Strategic Group Ltd.	Consolidation 20 into 1	0.5000	2020/08/24
08377	Shen You Holdings Ltd.	Consolidation 5 into 1	0.0500	2020/08/25
08103	hmvod Ltd.	Consolidation 10 into 1	0.0100	2020/08/27
08101	EJE (Hong Kong) Holdings Ltd.	Consolidation 10 into 1	0.2500	2020/09/01
08200	Sau San Tong Holdings Ltd.	Consolidation 10 into 1	1.6000	2020/11/13
08125	Royal Century Resources Holdings Ltd.	Consolidation 10 into 1	n.a.	2020/11/18
08086	Sino Vision Worldwide Holdings Ltd.	Consolidation 20 into 1	0.2000	2020/11/24
08075	Media Asia Group Holdings Ltd.	Consolidation 10 into 1	0.1000	2020/12/22

Bonus issues/bonus warrants on GEM

Code	Stock	Particulars	Ex-date
Nil			

Rights issues/open offers on GEM

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
08245	On Real International Holdings Ltd.	Rts 1 for 2 @\$0.10 (after consolidation 10 into 1)	19.95	2020/02/07 2020/03/03
08377	Shen You Holdings Ltd.	Rts 1 for 2 @\$0.043	17.20	2020/04/17 2020/06/03
08163	Merdeka Financial Group Ltd.	Rts 4 for 1 @\$0.11	115.37	2020/05/20 2020/06/12
08315	Greatwalle Inc.	Rts 1 for 3 @\$0.05	20.77	2020/08/11 2020/09/02
08041	Luxey International (Holdings) Ltd.	Rts 1 for 2 @\$0.10 (after consolidation 10 into 1)	9.79	2020/08/10 2020/09/02
08089	Chinese Strategic Holdings Ltd.	Rts 1 for 2 @\$0.08	11.24	2020/06/19 2020/09/04
08103	hmvod Ltd.	Rts 5 for 1 @\$0.85 (after consolidation 10 into 1)	60.46	2020/08/28 2020/09/22
08275	Beaver Group (Holding) Co. Ltd.	Rts 1 for 2 @\$0.027	8.10	2020/09/11 2020/10/15
08341	Aeso Holding Ltd.	Rts 3 for 1 @\$0.08	48.00	2020/10/15 2020/11/10
08269	Wealth Glory Holdings Ltd.	Rts 5 for 2 @\$0.08	41.09	2020/10/27 2020/11/19
08125	Royal Century Resources Holdings Ltd.	Rts 2 for 1 @\$0.30 (after consolidation 10 into 1)	31.36	2020/11/19 2020/12/14
08291	Wan Cheng Metal Packaging Co. Ltd.	Rts 3 for 1 @\$0.10	24.00	2020/12/03 2020/12/30
Total			407.32	

Notes: Figures may not add up to total due to rounding.

Placings on GEM

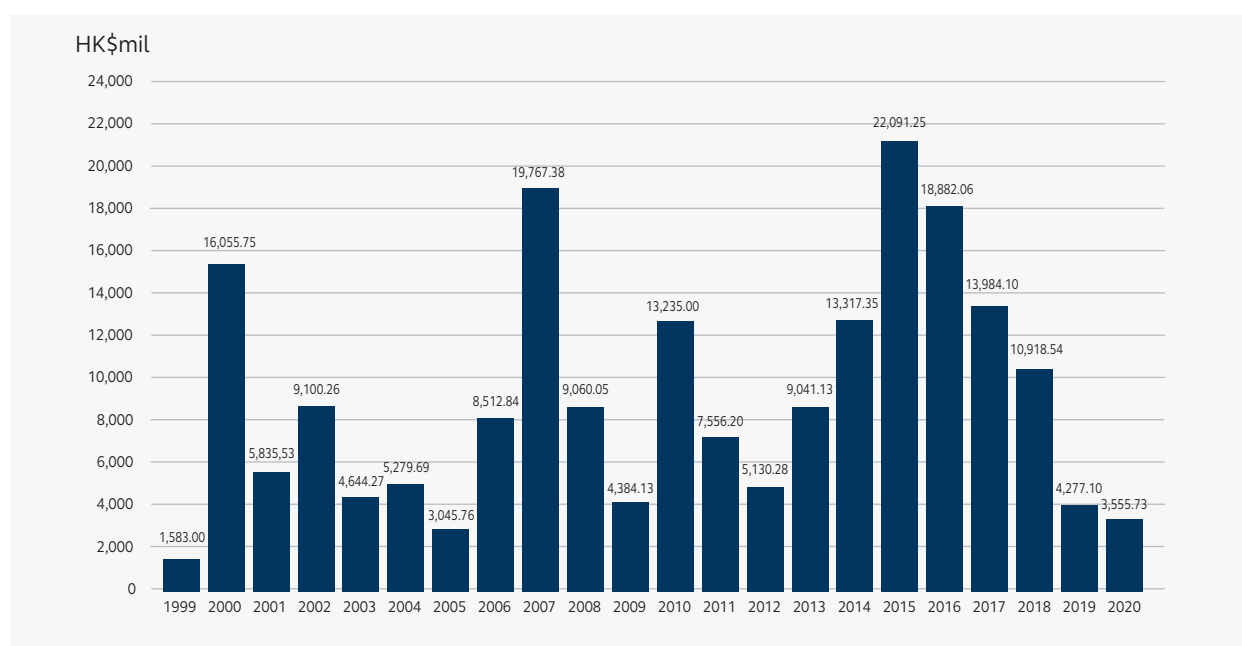
Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$mil)	Allotment date
08133	Jete Power Holdings Ltd.	660,000,000	0.0280	18.48	2020/01/06
08479	JTF International Holdings Ltd.	300,000,000	0.2110	63.30	2020/01/10
08475	K Group Holdings Ltd.	40,000,000	0.3800	15.20	2020/01/16
08368	Creative China Holdings Ltd.	158,064,516	0.0620	9.80	2020/01/23
08456	Mansion International Holdings Ltd.	61,476,000	0.0950	5.84	2020/01/23
08239	Capital Finance Holdings Ltd.	105,264,000	0.0950	10.00	2020/02/17
08259	Hon Corporation Ltd.	96,000,000	0.1060	10.18	2020/02/18
08022	Evershine Group Holdings Ltd.	360,708,000	0.0260	9.38	2020/02/20
08007	Global Strategic Group Ltd.	260,000,000	0.0500	13.00	2020/03/27
08179	Food Idea Holdings Ltd. (Name changed to Palinda Group Holdings Ltd.)	55,320,000	0.1800	9.96	2020/03/31
08083	China Youzan Ltd.	1,240,000,000	0.6400	793.60	2020/04/17
08147	Millennium Pacific Group Holdings Ltd.	138,864,000	0.1000	13.89	2020/04/20
08007	Global Strategic Group Ltd.	260,000,000	0.0290	7.54	2020/05/05
08427	SK Target Group Ltd.	68,200,000	0.1250	8.53	2020/05/08
08003	Great World Company Holdings Ltd.	473,780,000	0.0210	9.95	2020/05/11
08072	Roma Group Ltd.	27,000,000	0.2100	5.67	2020/05/12
08471	Reach New Holdings Ltd.	50,000,000	0.1130	5.65	2020/05/13
08086	Sino Vision Worldwide Holdings Ltd.	613,208,000	0.0350	21.46	2020/05/14
08158	China Regenerative Medicine International Ltd.	500,000,000	0.2000	100.00	2020/05/15
08109	Kirin Group Holdings Ltd.	26,900,000	0.3000	8.07	2020/05/22
08320	Allied Sustainability & Environmental Consultants Group Ltd.	120,000,000	0.0520	6.24	2020/06/05
08109	Kirin Group Holdings Ltd.	4,155,000	0.2711	1.13	2020/06/12
08109	Kirin Group Holdings Ltd.	7,755,000	0.2718	2.11	2020/06/12
08109	Kirin Group Holdings Ltd.	4,050,000	0.2723	1.10	2020/06/12
08159	Glory Mark Hi-Tech (Holdings) Ltd.	64,000,000	0.1000	6.40	2020/06/22
08047	China Ocean Group Development Ltd.	40,000,000	0.1300	5.20	2020/07/10
08006	Sino Splendid Holdings Ltd.	77,160,000	0.0700	5.40	2020/07/10
08047	China Ocean Group Development Ltd.	40,000,000	0.1300	5.20	2020/07/17
08612	World Super Holdings Ltd.	120,000,000	0.0610	7.32	2020/08/06
08412	BCI Group Holdings Ltd.	160,000,000	0.1300	20.80	2020/08/18
08111	China Technology Industry Group Ltd.	110,178,542	0.1000	11.02	2020/08/19
08259	Hon Corporation Ltd.	115,200,000	0.0800	9.22	2020/08/27
08510	Top Standard Corporation	160,000,000	0.1120	17.92	2020/09/03
08360	AL Group Ltd.	141,400,000	0.0370	5.23	2020/09/04
08217	Luen Wong Group Holdings Ltd.	62,400,000	0.0850	5.30	2020/09/07
08087	China 33 Media Group Ltd.	115,200,000	0.0790	9.10	2020/09/11
08519	Jia Group Holdings Ltd.	171,910,000	0.0800	13.75	2020/09/24
08175	China Digital Culture (Group) Ltd.	300,000,000	0.0460	13.80	2020/09/29
08321	Tai Kam Holdings Ltd.	32,000,000	0.1050	3.36	2020/09/30
08198	Loto Interactive Ltd.	63,164,000	0.2600	16.42	2020/10/09
08456	Mansion International Holdings Ltd.	460,000,000	0.0800	36.80	2020/10/16
08047	China Ocean Group Development Ltd.	50,000,000	0.1000	5.00	2020/10/20
08187	Jimu Group Ltd.	21,600,000	0.4380	9.46	2020/10/30
08292	Worldgate Global Logistics Ltd.	40,000,000	0.0300	1.20	2020/10/31
08367	Simplicity Holding Ltd.	160,000,000	0.0520	8.32	2020/11/02
08510	Top Standard Corporation	192,000,000	0.0770	14.78	2020/11/09
08371	Taste.Gourmet Group Ltd.	7,200,000	1.3000	9.36	2020/11/10
08153	Farnova Group Holdings Ltd.	488,900,000	0.1430	69.91	2020/11/18
08162	Loco Hong Kong Holdings Ltd.	115,000,000	0.1480	17.02	2020/11/26
08445	Noble Engineering Group Holdings Ltd.	98,000,000	0.0500	4.90	2020/11/27
08047	China Ocean Group Development Ltd.	157,696,000	0.1200	18.92	2020/12/02
08086	Sino Vision Worldwide Holdings Ltd.	75,000,000	0.3200	24.00	2020/12/02
08195	L & A International Holdings Ltd.	255,984,000	0.3450	88.31	2020/12/22
08057	Madison Holdings Group Ltd.	1,038,545,379	0.0550	57.12	2020/12/22
08047	China Ocean Group Development Ltd.	200,000,000	0.1200	24.00	2020/12/29
Total				1,694.63	

Funds raised by classification for GEM, 2016-2020

HK\$mil

Type	Listing method	2016	2017	2018	2019	2020
Equities	Offer for subscription	341.02	1,957.72	1,507.12	318.31	135.12
	Offer for sale	241.59	182.40	55.82	-	-
	Offer for placing	4,008.14	3,798.16	3,497.65	651.34	419.19
	Placing	6,977.31	4,011.08	2,641.53	1,911.17	1,694.63
	Rights issue	2,886.20	2,107.09	307.86	167.20	407.32
	Open offer	608.79	347.07	-	21.63	-
	Consideration issue	3,325.41	874.28	2,737.08	1,119.05	468.26
	Warrants exercised	25.92	35.45	7.20	32.12	325.44
	Share option scheme	467.69	670.85	164.29	56.28	105.78
Equities funds raised		18,882.06	13,984.10	10,918.54	4,277.10	3,555.73
Equity warrants – premium		-	-	-	-	-

Equity funds raised for GEM, 1999-2020



Funds raised by Mainland enterprises H shares for GEM, 2016-2020

HK\$mil

Type	Listing method	2016	2017	2018	2019	2020
Equities	Offer for subscription	-	236.35	-	-	-
	Offer for sale	-	-	-	-	-
	Offer for placing	-	82.34	-	-	-
	Placing	189.51	157.68	72.28	-	-
	Rights issue	-	-	-	-	-
	Open offer	-	-	-	-	-
	Consideration issue	13.20	160.00	-	-	-
	Warrants exercised	-	-	-	-	-
	Share option scheme	-	-	-	-	-
Equities funds raised		202.71	636.37	72.28	-	-

Funds raised by Red Chip stocks for GEM, 2016-2020

HK\$mil

Type	Listing method	2016	2017	2018	2019	2020
Equities	Offer for subscription	-	-	-	-	-
	Offer for sale	-	-	-	-	-
	Offer for placing	-	-	-	-	-
	Placing	97.44	-	-	39.63	-
	Rights issue	-	115.06	-	-	-
	Open offer	-	-	-	-	-
	Consideration issue	-	-	-	-	-
	Warrants exercised	-	-	-	-	-
	Share option scheme	-	-	-	-	-
Equities funds raised		97.44	115.06	-	39.63	-

Newly listed equity warrants on GEM, 2020

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
Nil					

List of expired equity warrants for GEM, 2020

Code	Warrant	Last trading date	Delisted date
Nil			

Market value and trading for equity warrants on GEM, 2016-2020

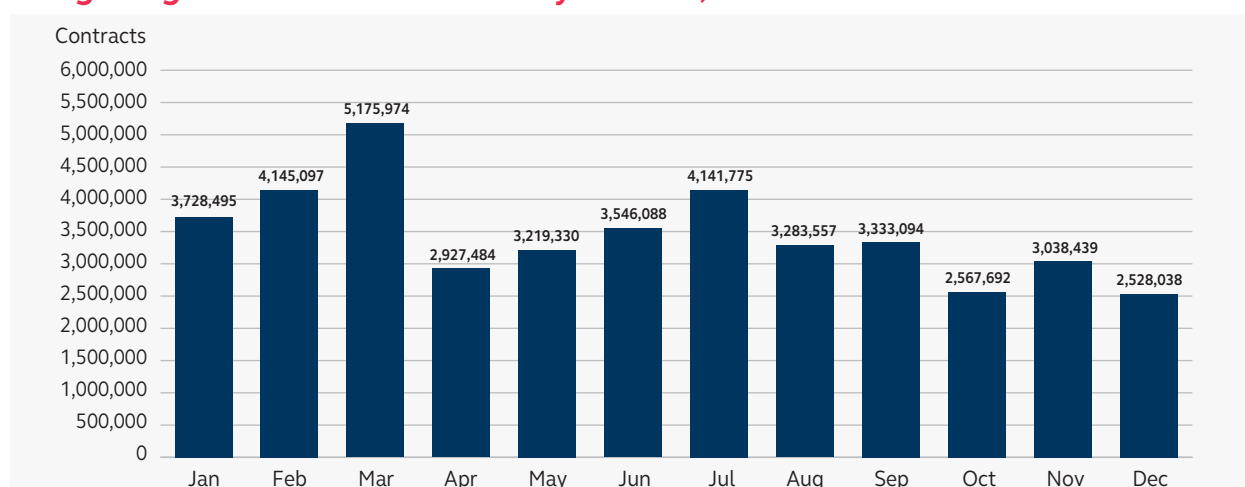
Year/Month	Number *	Market value * HK\$mil	Turnover value HK\$mil	(%) of market total
2016	1	62.90	42.73	0.04
2017	1	62.83	0.84	0.00
2018	1	62.83	0.09	0.00
2019	1	62.83	0.03	0.00
2020	1	62.83	0.01	0.00
2020	Jan	62.83	0.01	0.00
	Feb	62.83	0.00	0.00
	Mar	62.83	0.00	0.00
	Apr	62.83	0.00	0.00
	May	62.83	0.00	0.00
	Jun	62.83	0.00	0.00
	Jul	62.83	0.00	0.00
	Aug	62.83	0.00	0.00
	Sep	62.83	0.00	0.00
	Oct	62.83	0.00	0.00
	Nov	62.83	0.00	0.00
	Dec	62.83	0.00	0.00

* Month-end or year-end figures.

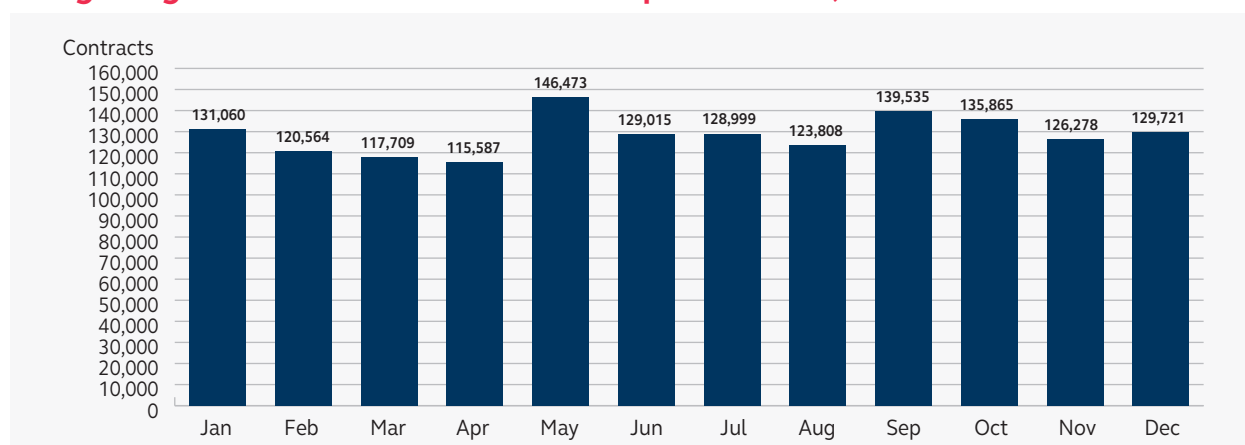
Hang Seng Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016	247.0	32,313,994	130,826	123,004	
2017	247.0	31,486,965	127,478	144,659	
2018	246.0	57,668,346	234,424	133,924	
2019	246.0	51,317,694	208,609	121,237	
2020	249.0	41,635,063	167,209	129,721	
2020	Jan	20.0	3,728,495	186,425	131,060
	Feb	20.0	4,145,097	207,255	120,564
	Mar	22.0	5,175,974	235,272	117,709
	Apr	19.0	2,927,484	154,078	115,587
	May	20.0	3,219,330	160,967	146,473
	Jun	21.0	3,546,088	168,861	129,015
	Jul	22.0	4,141,775	188,263	128,999
	Aug	21.0	3,283,557	156,360	123,808
	Sep	22.0	3,333,094	151,504	139,535
	Oct	19.0	2,567,692	135,142	135,865
	Nov	21.0	3,038,439	144,688	126,278
	Dec	22.0	2,528,038	114,911	129,721
2020	Highest (date)		328,801 (23/01)		167,000 (28/09)

Hang Seng Index Futures – monthly volume, 2020



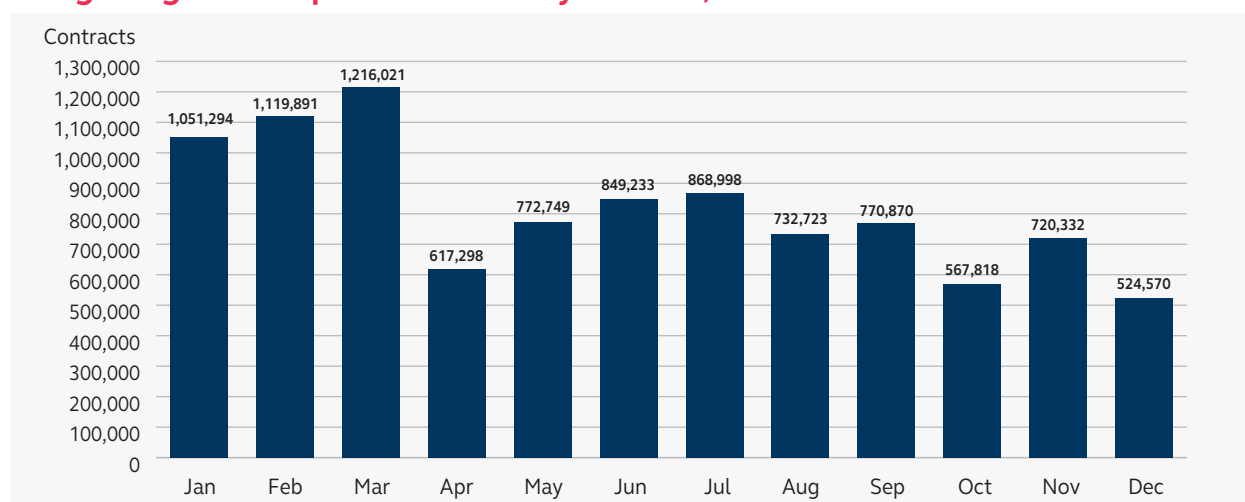
Hang Seng Index Futures – month-end open interest, 2020



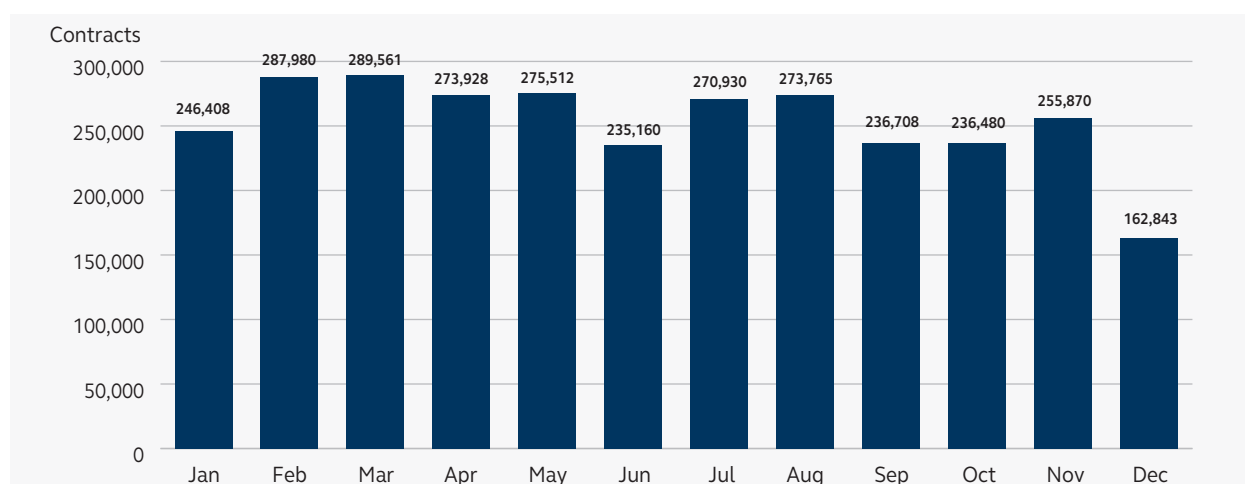
Hang Seng Index Options – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Volume (contracts)			Average daily	Period end open interest (contracts)
		Call	Put	Total		
2016	247.0	4,195,192	5,158,557	9,353,749	37,869	249,699
2017	247.0	4,308,036	5,821,289	10,129,325	41,009	344,084
2018	246.0	5,946,588	6,769,907	12,716,495	51,693	279,856
2019	246.0	5,633,523	6,833,331	12,466,854	50,678	210,101
2020	249.0	4,414,140	5,397,657	9,811,797	39,405	162,843
2020 Jan	20.0	481,650	569,644	1,051,294	52,565	246,408
2020 Feb	20.0	468,266	651,625	1,119,891	55,995	287,980
2020 Mar	22.0	556,886	659,135	1,216,021	55,274	289,561
2020 Apr	19.0	256,785	360,513	617,298	32,489	273,928
2020 May	20.0	314,244	458,505	772,749	38,637	275,512
2020 Jun	21.0	349,236	499,997	849,233	40,440	235,160
2020 Jul	22.0	406,375	462,623	868,998	39,500	270,930
2020 Aug	21.0	358,944	373,779	732,723	34,892	273,765
2020 Sep	22.0	380,959	389,911	770,870	35,040	236,708
2020 Oct	19.0	269,935	297,883	567,818	29,885	236,480
2020 Nov	21.0	335,585	384,747	720,332	34,302	255,870
2020 Dec	22.0	235,275	289,295	524,570	23,844	162,843
2020 Highest (date)				109,638 (22/05)		462,512 (27/03)

Hang Seng Index Options – monthly volume, 2020



Hang Seng Index Options – month-end open interest 2020



Weekly Hang Seng Index Options – trading statistics, 2019 – 2020

Year/Month	No. of trading days	Volume (contracts)				Period end open interest (contracts)
		Call	Put	Total volume	Average daily volume	
2019 *	73.0	115,239	131,478	246,717	3,380	3,157
2020	249.0	522,826	668,707	1,191,533	4,785	8,058
2020 Jan	20.0	54,949	60,771	115,720	5,786	9,847
Feb	20.0	52,937	75,514	128,451	6,423	7,762
Mar	22.0	35,444	41,996	77,440	3,520	2,125
Apr	19.0	20,399	27,287	47,686	2,510	5,814
May	20.0	31,626	46,818	78,444	3,922	7,945
Jun	21.0	43,654	60,216	103,870	4,946	4,175
Jul	22.0	51,788	59,324	111,112	5,051	5,328
Aug	21.0	42,833	50,186	93,019	4,429	4,315
Sep	22.0	56,447	76,101	132,548	6,025	10,594
Oct	19.0	37,201	47,379	84,580	4,452	8,408
Nov	21.0	42,127	57,742	99,869	4,756	4,611
Dec	22.0	53,421	65,373	118,794	5,400	8,058
2020 Highest (date)				17,027 (06/02)		13,274 (06/11)

* Trading in Weekly Hang Seng Index Options commenced on 16 Sep 2019

Mini-Hang Seng Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		Period end open interest
		Total volume	Average daily volume	
2016	247.0	12,477,552	50,516	9,572
2017	247.0	11,487,207	46,507	9,863
2018	246.0	24,664,381	100,262	12,587
2019	246.0	22,170,547	90,124	10,635
2020	249.0	27,902,077	112,057	17,370
2020 Jan	20.0	1,741,550	87,078	11,490
Feb	20.0	2,283,983	114,199	12,577
Mar	22.0	4,392,570	199,662	10,373
Apr	19.0	2,227,929	117,259	9,877
May	20.0	2,215,052	110,753	12,282
Jun	21.0	2,598,445	123,735	10,523
Jul	22.0	2,731,130	124,142	11,145
Aug	21.0	2,259,090	107,576	10,600
Sep	22.0	2,311,328	105,060	12,639
Oct	19.0	1,644,975	86,578	11,836
Nov	21.0	1,947,434	92,735	13,711
Dec	22.0	1,548,591	70,391	17,370
2020 Highest (date)		298,812 (19/03)		21,476 (24/09)

Mini-Hang Seng Index Options – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Volume (contracts)				Period end open interest (contracts)
		Call	Put	Total volume	Average daily volume	
2016	247.0	694,850	729,529	1,424,379	5,767	11,917
2017	247.0	824,116	816,765	1,640,881	6,643	9,108
2018	246.0	1,205,226	1,256,070	2,461,296	10,005	18,777
2019	246.0	1,592,629	1,750,800	3,343,429	13,591	17,983
2020	249.0	1,595,522	1,750,060	3,345,582	13,436	8,638
2020 Jan	20.0	137,912	148,758	286,670	14,334	22,606
2020 Feb	20.0	162,547	181,778	344,325	17,216	22,395
2020 Mar	22.0	192,215	194,895	387,110	17,596	19,401
2020 Apr	19.0	91,108	101,746	192,854	10,150	15,308
2020 May	20.0	105,813	123,151	228,964	11,448	19,922
2020 Jun	21.0	134,418	161,827	296,245	14,107	13,197
2020 Jul	22.0	182,382	202,831	385,213	17,510	16,911
2020 Aug	21.0	144,987	144,130	289,117	13,767	15,366
2020 Sep	22.0	150,051	144,711	294,762	13,398	17,568
2020 Oct	19.0	103,702	112,204	215,906	11,363	17,170
2020 Nov	21.0	110,476	133,003	243,479	11,594	14,564
2020 Dec	22.0	79,911	101,026	180,937	8,224	8,638
2020 Highest (date)				27,293 (25/02)		51,470 (27/03)

HSI (Gross Total Return Index) Futures – trading statistics, 2018 – 2020

Year/Month	No. of trading days	Contracts		Period end open interest
		Total volume	Average daily volume	
2018 *	39.0	14	0	9
2019	246.0	165	1	12
2020	249.0	172	1	0
2020 Jan	20.0	43	2	18
2020 Feb	20.0	40	2	32
2020 Mar	22.0	14	1	6
2020 Apr	19.0	9	0	9
2020 May	20.0	31	2	24
2020 Jun	21.0	35	2	24
2020 Jul	22.0	0	0	0
2020 Aug	21.0	0	0	0
2020 Sep	22.0	0	0	0
2020 Oct	19.0	0	0	0
2020 Nov	21.0	0	0	0
2020 Dec	22.0	0	0	0
2020 Highest (date)		27 (11/06)		49 (26/02)

* Trading in HSI (Gross Total Return Index) Futures commenced on 5 Nov 2018.

HSI (Net Total Return Index) Futures – trading statistics, 2018 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2018 *	39.0	13	0	8
2019	246.0	120	0	10
2020	249.0	137	1	0
2020 Jan	20.0	34	2	15
Feb	20.0	43	2	33
Mar	22.0	16	1	4
Apr	19.0	0	0	0
May	20.0	28	1	18
Jun	21.0	16	1	16
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		18 (27/05)		51 (26/02)

* Trading in HSI (Net Total Return Index) Futures commenced on 05 Nov 2018.

Hang Seng China Enterprises Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	33,031,130	133,729	322,024
2017	247.0	28,852,655	116,812	233,591
2018	246.0	37,451,281	152,241	428,692
2019	246.0	34,151,099	138,826	325,907
2020	249.0	36,256,445	145,608	290,518
2020 Jan	20.0	2,877,542	143,877	362,141
Feb	20.0	3,239,449	161,972	337,642
Mar	22.0	5,201,875	236,449	344,099
Apr	19.0	2,465,494	129,763	347,206
May	20.0	2,739,028	136,951	379,503
Jun	21.0	2,903,606	138,267	350,634
Jul	22.0	3,341,400	151,882	338,775
Aug	21.0	2,722,696	129,652	334,364
Sep	22.0	2,953,792	134,263	347,673
Oct	19.0	2,483,987	130,736	356,368
Nov	21.0	2,969,318	141,396	311,677
Dec	22.0	2,358,258	107,194	290,518
2020 Highest (date)		431,978 (27/10)		448,501 (26/03)

Hang Seng China Enterprises Index Options – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2016	247.0	9,116,891	10,358,835	19,475,726	78,849	2,066,340
2017	247.0	8,548,769	11,229,151	19,777,920	80,073	1,982,347
2018	246.0	10,640,068	13,618,016	24,258,084	98,610	2,309,038
2019	246.0	8,824,306	12,739,102	21,563,408	87,656	2,088,866
2020	249.0	8,211,881	12,513,761	20,725,642	83,236	1,502,474
2020 Jan	20.0	674,232	1,125,486	1,799,718	89,986	2,270,319
2020 Feb	20.0	649,629	1,242,732	1,892,361	94,618	2,540,790
2020 Mar	22.0	1,029,835	1,889,527	2,919,362	132,698	2,697,572
2020 Apr	19.0	624,223	1,184,048	1,808,271	95,172	2,621,235
2020 May	20.0	508,844	902,842	1,411,686	70,584	2,635,156
2020 Jun	21.0	670,180	1,028,515	1,698,695	80,890	2,343,306
2020 Jul	22.0	1,085,744	1,175,166	2,260,910	102,769	2,702,554
2020 Aug	21.0	484,053	712,567	1,196,620	56,982	2,628,421
2020 Sep	22.0	601,101	906,407	1,507,508	68,523	2,296,266
2020 Oct	19.0	578,121	705,992	1,284,113	67,585	2,333,415
2020 Nov	21.0	756,795	871,588	1,628,383	77,542	2,376,468
2020 Dec	22.0	549,124	768,891	1,318,015	59,910	1,502,474
2020 Highest (date)				201,435 (06/07)		3,239,428 (27/03)

Weekly Hang Seng China Enterprises Index Options – trading statistics, 2019 – 2020

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2019 *	73.0	48,974	45,229	94,203	1,290	1,592
2020	249.0	186,219	223,678	409,897	1,646	11,556
2020 Jan	20.0	21,453	18,886	40,339	2,017	9,758
2020 Feb	20.0	19,017	27,223	46,240	2,312	9,386
2020 Mar	22.0	14,861	13,464	28,325	1,288	875
2020 Apr	19.0	7,518	12,752	20,270	1,067	4,421
2020 May	20.0	10,909	12,525	23,434	1,172	4,776
2020 Jun	21.0	8,253	9,567	17,820	849	3,379
2020 Jul	22.0	20,862	18,759	39,621	1,801	5,460
2020 Aug	21.0	10,673	13,642	24,315	1,158	4,305
2020 Sep	22.0	20,396	20,151	40,547	1,843	10,024
2020 Oct	19.0	16,983	16,196	33,179	1,746	5,675
2020 Nov	21.0	13,506	23,349	36,855	1,755	6,123
2020 Dec	22.0	21,788	37,164	58,952	2,680	11,556
2020 Highest (date)				7,166 (30/01)		12,097 (30/12)

* Trading in Weekly Hang Seng China Enterprises Index Options commenced on 16 Sep 2019.

Mini-Hang Seng China Enterprises Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	4,870,262	19,718	4,399
2017	247.0	3,661,193	14,823	5,162
2018	246.0	5,551,632	22,568	5,292
2019	246.0	3,686,219	14,985	4,214
2020	249.0	4,538,491	18,227	4,197
2020 Jan	20.0	254,927	12,746	5,861
Feb	20.0	354,898	17,745	5,282
Mar	22.0	819,344	37,243	5,937
Apr	19.0	343,484	18,078	4,393
May	20.0	332,971	16,649	6,948
Jun	21.0	421,665	20,079	5,284
Jul	22.0	490,463	22,294	4,877
Aug	21.0	385,778	18,370	7,137
Sep	22.0	338,413	15,382	5,043
Oct	19.0	256,822	13,517	5,833
Nov	21.0	302,537	14,407	4,459
Dec	22.0	237,189	10,781	4,197
2020 Highest (date)		55,265 (18/03)		11,686 (23/03)

Mini-Hang Seng China Enterprises Index Options – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Volume (contracts)				Period end open interest (contracts)
		Call	Put	Total volume	Average daily volume	
2016 *	81.0	91,932	105,467	197,399	2,437	3,265
2017	247.0	192,320	184,923	377,243	1,527	5,154
2018	246.0	293,667	289,882	583,549	2,372	5,132
2019	246.0	286,572	296,051	582,623	2,368	12,814
2020	249.0	265,591	298,551	564,142	2,266	3,490
2020 Jan	20.0	25,006	22,707	47,713	2,386	13,023
Feb	20.0	21,601	30,649	52,250	2,613	14,637
Mar	22.0	29,756	33,071	62,827	2,856	17,184
Apr	19.0	13,004	16,335	29,339	1,544	15,800
May	20.0	13,286	15,141	28,427	1,421	16,277
Jun	21.0	15,223	19,118	34,341	1,635	11,056
Jul	22.0	38,505	34,865	73,370	3,335	13,888
Aug	21.0	18,675	19,125	37,800	1,800	12,964
Sep	22.0	29,062	35,741	64,803	2,946	17,256
Oct	19.0	24,695	25,532	50,227	2,644	10,329
Nov	21.0	20,656	28,611	49,267	2,346	4,713
Dec	22.0	16,122	17,656	33,778	1,535	3,490
2020 Highest (date)				8,071 (22/07)		30,136 (28/09)

* Trading in Mini-Hang Seng China Enterprises Index Options commenced on 5 Sep 2016.

HSCEI (Gross Total Return Index) Futures – trading statistics, 2018 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2018 *	39.0	2	0	0
2019	246.0	24	0	0
2020	249.0	58	0	0
2020 Jan	20.0	0	0	0
2020 Feb	20.0	0	0	0
2020 Mar	22.0	0	0	0
2020 Apr	19.0	14	1	14
2020 May	20.0	38	2	52
2020 Jun	21.0	6	0	9
2020 Jul	22.0	0	0	0
2020 Aug	21.0	0	0	0
2020 Sep	22.0	0	0	0
2020 Oct	19.0	0	0	0
2020 Nov	21.0	0	0	0
2020 Dec	22.0	0	0	0
2020 Highest (date)		14 (29/04)		52 (22/06)

* Trading in HSCEI (Gross Total Return Index) Futures commenced on 5 Nov 2018.

HSCEI (Net Total Return Index) Futures – trading statistics, 2018 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2018 *	39.0	2	0	0
2019	246.0	23	0	0
2020	249.0	6	0	0
2020 Jan	20.0	0	0	0
2020 Feb	20.0	0	0	0
2020 Mar	22.0	0	0	0
2020 Apr	19.0	0	0	0
2020 May	20.0	0	0	0
2020 Jun	21.0	6	0	6
2020 Jul	22.0	0	0	0
2020 Aug	21.0	0	0	0
2020 Sep	22.0	0	0	0
2020 Oct	19.0	0	0	0
2020 Nov	21.0	0	0	0
2020 Dec	22.0	0	0	0
2020 Highest (date)		6 (11/06)		6 (30/07)

* Trading in HSCEI (Net Total Return Index) Futures commenced on 5 Nov 2018.

HSI Dividend Point Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	16,886	68	4,621
2017	247.0	18,243	74	9,052
2018	246.0	12,822	52	1,850
2019	246.0	13,790	56	1,899
2020	249.0	25,098	101	3,860
2020 Jan	20.0	1,980	99	2,430
2020 Feb	20.0	1,650	83	3,880
2020 Mar	22.0	5,100	232	7,630
2020 Apr	19.0	550	29	7,930
2020 May	20.0	650	33	8,280
2020 Jun	21.0	270	13	8,100
2020 Jul	22.0	160	7	8,100
2020 Aug	21.0	8,700	414	3,330
2020 Sep	22.0	1,102	50	2,990
2020 Oct	19.0	400	21	3,390
2020 Nov	21.0	1,970	94	5,160
2020 Dec	22.0	2,566	117	3,860
2020 Highest (date)		3,900 (14/08)		8,280 (12/06)

HSCEI Dividend Point Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	589,188	2,385	109,112
2017	247.0	472,147	1,912	72,636
2018	246.0	413,292	1,680	125,077
2019	246.0	531,215	2,159	116,422
2020	249.0	448,663	1,802	52,667
2020 Jan	20.0	68,393	3,420	117,526
2020 Feb	20.0	50,929	2,546	132,199
2020 Mar	22.0	111,509	5,069	106,017
2020 Apr	19.0	35,938	1,891	105,096
2020 May	20.0	27,528	1,376	109,613
2020 Jun	21.0	27,184	1,294	112,078
2020 Jul	22.0	20,362	926	115,382
2020 Aug	21.0	17,105	815	117,762
2020 Sep	22.0	12,343	561	120,304
2020 Oct	19.0	9,141	481	122,316
2020 Nov	21.0	39,301	1,871	123,797
2020 Dec	22.0	28,930	1,315	52,667
2020 Highest (date)		12,666 (25/03)		142,300 (29/03)

Flexible Hang Seng Index Options – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Volume (contracts)				Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume			
2016	247.0	0	560	560	2	0	
2017	247.0	0	0	0	0	0	
2018	246.0	508	254	762	3	0	
2019	246.0	0	0	0	0	0	
2020	249.0	0	0	0	0	0	
2020 Jan	20.0	0	0	0	0	0	
2020 Feb	20.0	0	0	0	0	0	
2020 Mar	22.0	0	0	0	0	0	
2020 Apr	19.0	0	0	0	0	0	
2020 May	20.0	0	0	0	0	0	
2020 Jun	21.0	0	0	0	0	0	
2020 Jul	22.0	0	0	0	0	0	
2020 Aug	21.0	0	0	0	0	0	
2020 Sep	22.0	0	0	0	0	0	
2020 Oct	19.0	0	0	0	0	0	
2020 Nov	21.0	0	0	0	0	0	
2020 Dec	22.0	0	0	0	0	0	
2020 Highest (date)				0		0	
				-		-	

Flexible Hang Seng China Enterprises Index Options – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Volume (contracts)				Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume			
2016	247.0	10,696	5,920	16,616	67	11,930	
2017	247.0	10,000	0	10,000	40	0	
2018	246.0	0	6,500	6,500	26	0	
2019	246.0	0	150	150	1	150	
2020	249.0	0	0	0	0	0	
2020 Jan	20.0	0	0	0	0	150	
2020 Feb	20.0	0	0	0	0	150	
2020 Mar	22.0	0	0	0	0	150	
2020 Apr	19.0	0	0	0	0	150	
2020 May	20.0	0	0	0	0	150	
2020 Jun	21.0	0	0	0	0	150	
2020 Jul	22.0	0	0	0	0	150	
2020 Aug	21.0	0	0	0	0	150	
2020 Sep	22.0	0	0	0	0	150	
2020 Oct	19.0	0	0	0	0	150	
2020 Nov	21.0	0	0	0	0	150	
2020 Dec	22.0	0	0	0	0	0	
2020 Highest (date)				0		150	
				-		(30/12)	

HSI Volatility Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	87	0	0
2017	247.0	68	0	0
2018	246.0	1	0	0
2019	246.0	159	1	5
2020	249.0	168	1	0
2020 Jan	20.0	50	3	22
Feb	20.0	56	3	42
Mar	22.0	55	3	1
Apr	19.0	2	0	1
May	20.0	3	0	4
Jun	21.0	2	0	2
Jul	22.0	0	0	1
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		15 (25/03)		42 (28/02)

Hang Seng TECH Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	28.0	49,122	1,754	4,721
2020 Nov	6.0	6,613	1,102	1,393
Dec	22.0	42,509	1,932	4,721
2020 Highest (date)		7,610 (28/12)		6,146 (28/12)

* Trading in Hang Seng TECH Index Futures commenced on 23 Nov 2020.

MSCI AC Asia ex Japan NTR Index Futures – trading statistics, 2018 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2018 *	139.0	5,545	40	925
2019	246.0	237,533	966	23,864
2020	249.0	135,546	544	402
2020 Jan	20.0	18,727	936	17,557
Feb	20.0	13,852	693	17,314
Mar	22.0	36,783	1,672	13,439
Apr	19.0	4,077	215	13,662
May	20.0	8,389	419	16,505
Jun	21.0	27,419	1,306	11,138
Jul	22.0	8,626	392	9,080
Aug	21.0	3,402	162	9,119
Sep	22.0	10,109	460	780
Oct	19.0	426	22	909
Nov	21.0	2,577	123	1,551
Dec	22.0	1,159	53	402
2020 Highest (date)		20,468 (15/06)		25,416 (08/01)

* Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018.

MSCI Australia Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	45	0	0
2020 Jul	20.0	30	2	30
Aug	21.0	15	1	45
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		30 (31/07)		45 (18/09)

* MSCI Australia Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI China Free (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	10	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	10	1	10
Nov	21.0	0	0	10
Dec	22.0	0	0	0
2020 Highest (date)		10 (27/10)		10 (30/12)

* MSCI China Free (USD) Index Futures commenced on 3 Aug 2020.

MSCI China Free Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	19,703	158	3,800
2020 Jul	20.0	28	1	10
Aug	21.0	142	7	25
Sep	22.0	8,722	396	4,567
Oct	19.0	2,598	137	4,709
Nov	21.0	722	34	4,638
Dec	22.0	7,491	341	3,800
2020 Highest (date)		6,123 (16/09)		8,297 (18/12)

* MSCI China Free Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI EM Asia ex China Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI EM Asia ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI EM Asia ex Korea Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI EM Asia ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI EM Asia Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	39,967	320	1,748
2020 Jul	20.0	73	4	17
Aug	21.0	50	2	27
Sep	22.0	39	2	5
Oct	19.0	28,290	1,489	28,263
Nov	21.0	9,720	463	20,523
Dec	22.0	1,795	82	1,748
2020 Highest (date)		28,242 (21/10)		28,512 (10/11)

* MSCI EM Asia Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI EM EMEA Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI EM EMEA Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI EM ex China Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI EM ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI EM ex Korea Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI EM ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI EM LatAm Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI EM LatAm Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI Emerging Markets (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	204	2	0
2020 Jul	10.0	0	0	0
Aug	21.0	204	10	196
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		200 (04/08)		200 (10/08)

* MSCI Emerging Markets (USD) Index Futures commenced on 20 Jul 2020.

MSCI Emerging Markets Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	40	0	0
2020 Jul	10.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	40	2	40
Dec	22.0	0	0	0
2020 Highest (date)		40 (05/11)		40 (18/12)

* MSCI Emerging Markets Net Total Return (USD) Index Futures commenced on 20 Jul 2020.

MSCI Hong Kong Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	0	0	0
2020 Jul	10.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI Hong Kong Net Total Return (USD) Index Futures commenced on 20 Jul 2020.

MSCI India (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI India (USD) Index Futures commenced on 3 Aug 2020.

MSCI India Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	5,948	48	2,334
2020 Jul	20.0	5	0	1
Aug	21.0	72	3	72
Sep	22.0	626	28	509
Oct	19.0	65	3	573
Nov	21.0	218	10	426
Dec	22.0	4,962	226	2,334
2020 Highest (date)		3,939 (08/12)		4,551 (18/12)

* MSCI India Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI Indonesia (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	704	6	10
2020 Jul	10.0	92	9	50
Aug	21.0	142	7	170
Sep	22.0	100	5	0
Oct	19.0	10	1	10
Nov	21.0	84	4	14
Dec	22.0	276	13	10
2020 Highest (date)		120 (31/08)		174 (09/09)

* MSCI Indonesia (USD) Index Futures commenced on 20 Jul 2020.

MSCI Indonesia Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	14,316	115	6,668
2020 Jul	20.0	0	0	0
Aug	21.0	316	15	316
Sep	22.0	632	29	316
Oct	19.0	12	1	328
Nov	21.0	10	0	338
Dec	22.0	13,346	607	6,668
2020 Highest (date)		12,700 (10/12)		13,668 (15/12)

* MSCI Indonesia Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI Japan (JPY) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	65.0	0	0	0
2020 Sep	3.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI Japan (JPY) Index Futures commenced on 28 Sep 2020.

MSCI Japan Net Total Return (JPY) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	65.0	0	0	0
2020 Sep	3.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI Japan Net Total Return (JPY) Index Futures commenced on 28 Sep 2020.

MSCI Japan Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	10,748	86	10,748
2020 Jul	20.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	10,748	489	10,748
2020 Highest (date)		10,600 (17/12)		10,748 (31/12)

* MSCI Japan Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI Malaysia (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* MSCI Malaysia (USD) Index Futures commenced on 3 Aug 2020.

MSCI Malaysia Net Total Return (USD) Index Future – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	3,144	25	970
2020 Jul	20.0	0	0	0
Aug	21.0	335	16	335
Sep	22.0	585	27	198
Oct	19.0	117	6	173
Nov	21.0	0	0	173
Dec	22.0	2,107	96	970
2020 Highest (date)		1,607 (14/12)		2,197 (15/12)

* MSCI Malaysia Net Total Return (USD) Index Future commenced on 6 Jul 2020.

MSCI New Zealand Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI New Zealand Net Total Return (USD) Index Futures commenced on 3 Aug 2020.

MSCI Pacific ex Japan Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Pacific ex Japan Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI Pacific Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	95.0	0	0	0
2020 Aug	11.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Pacific Net Total Return (USD) Index Futures commenced on 17 Aug 2020.

MSCI Philippines (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Philippines (USD) Index Futures commenced on 3 Aug 2020.

MSCI Philippines Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	33	0	1
2020 Jul	10.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	32	2	18
Dec	22.0	1	0	1
2020 Highest (date)		10 (26/11)		18 (18/12)

* Trading in MSCI Philippines Net Total Return (USD) Index Futures commenced on 20 Jul 2020.

MSCI Singapore Free (SGD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	65.0	2,460	38	8
2020 Sep	3.0	63	21	21
Oct	19.0	905	48	16
Nov	21.0	1,032	49	34
Dec	22.0	460	21	8
2020 Highest (date)		295 (14/10)		105 (24/11)

* Trading in MSCI Singapore Free (SGD) Index Futures commenced on 28 Sep 2020.

MSCI Singapore Free Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Singapore Free Net Total Return (USD) Index Futures commenced on 3 Aug 2020.

MSCI Singapore Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	2	0	0
2020 Jul	10.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	1	0	1
Oct	19.0	1	0	1
Nov	21.0	0	0	1
Dec	22.0	0	0	0
2020 Highest (date)		1 (06/10)		1 (18/12)

* Trading in MSCI Singapore Net Total Return (USD) Index Futures commenced on 20 Jul 2020.

MSCI Taiwan (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	548,214	4,386	25,196
2020 Jul	20.0	4,666	233	196
Aug	21.0	7,495	357	1,493
Sep	22.0	75,002	3,409	25,971
Oct	19.0	132,130	6,954	46,596
Nov	21.0	176,224	8,392	33,769
Dec	22.0	152,697	6,941	25,196
2020 Highest (date)		42,363 (24/11)		65,267 (29/10)

* Trading in MSCI Taiwan (USD) Index Futures commenced on 6 Jul 2020.

MSCI Taiwan 25/50 (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	65.0	5,758	89	244
2020 Sep	3.0	20	7	10
Oct	19.0	1,827	96	286
Nov	21.0	2,757	131	261
Dec	22.0	1,154	52	244
2020 Highest (date)		722 (25/11)		580 (25/11)

* Trading in MSCI Taiwan 25/50 (USD) Index Futures commenced on 28 Sep 2020.

MSCI Taiwan 25/50 Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	65.0	3	0	0
2020 Sep	3.0	0	0	0
Oct	19.0	2	0	0
Nov	21.0	1	0	1
Dec	22.0	0	0	0
2020 Highest (date)		1 (09/11)		1 (18/12)

* Trading in MSCI Taiwan 25/50 Net Total Return (USD) Index Futures commenced on 28 Sep 2020.

MSCI Taiwan Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	37,874	303	7,211
2020 Jul	20.0	28	1	26
Aug	21.0	141	7	165
Sep	22.0	22,210	1,010	11,082
Oct	19.0	1,140	60	12,222
Nov	21.0	5,836	278	15,586
Dec	22.0	8,519	387	7,211
2020 Highest (date)		19,207 (17/09)		22,092 (18/09)

* Trading in MSCI Taiwan Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI Thailand (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Thailand (USD) Index Futures commenced on 3 Aug 2020.

MSCI Thailand Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	125.0	14,877	119	4,037
2020 Jul	20.0	0	0	0
Aug	21.0	1,123	53	1,123
Sep	22.0	3,035	138	1,386
Oct	19.0	274	14	1,660
Nov	21.0	1,793	85	1,828
Dec	22.0	8,652	393	4,037
2020 Highest (date)		7,123 (16/12)		8,744 (18/12)

* Trading in MSCI Thailand Net Total Return (USD) Index Futures commenced on 6 Jul 2020.

MSCI Vietnam (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	105.0	0	0	0
2020 Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Vietnam (USD) Index Futures commenced on 3 Aug 2020.

MSCI Vietnam Net Total Return (USD) Index Futures – trading statistics, 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2020 *	115.0	0	0	0
2020 Jul	10.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

* Trading in MSCI Vietnam Net Total Return (USD) Index Futures commenced on 20 Jul 2020.

CES China 120 Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	642	3	16
2017	247.0	1,511	6	11
2018	246.0	765	3	3
2019	246.0	111	0	3
2020	249.0	73	0	0
2020 Jan	20.0	6	0	3
Feb	20.0	30	2	3
Mar	22.0	22	1	1
Apr	19.0	1	0	0
May	20.0	0	0	0
Jun	21.0	0	0	0
Jul	22.0	3	0	1
Aug	21.0	4	0	1
Sep	22.0	7	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		12 (20/02)		12 (12/02)

Hang Seng Mainland Oil & Gas Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016 *	164.0	6	0	1
2017	247.0	2,637	11	69
2018	246.0	20,973	85	14
2019	246.0	45,120	183	42
2020	249.0	11,042	44	0
2020 Jan	20.0	2,107	105	11
Feb	20.0	1,490	75	55
Mar	22.0	2,799	127	173
Apr	19.0	1,707	90	71
May	20.0	2,312	116	97
Jun	21.0	623	30	0
Jul	22.0	2	0	0
Aug	21.0	0	0	0
Sep	22.0	2	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		294 (31/03)		287 (19/03)

* Trading in Hang Seng Mainland Oil & Gas Index Futures commenced on 9 May 2016.

Hang Seng Mainland Banks Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016 *	164.0	285	2	8
2017	247.0	8,067	33	100
2018	246.0	22,516	92	20
2019	246.0	22,255	90	117
2020	249.0	1,146	5	0
2020 Jan	20.0	146	7	51
Feb	20.0	354	18	24
Mar	22.0	512	23	66
Apr	19.0	76	4	20
May	20.0	20	1	0
Jun	21.0	38	2	10
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		138 (11/03)		172 (23/03)

* Trading in Hang Seng Mainland Banks Index Futures commenced on 9 May 2016.

Hang Seng Mainland Properties Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016 *	164.0	5	0	0
2017	247.0	4,744	19	8
2018	246.0	28,456	116	29
2019	246.0	17,261	70	17
2020	249.0	1,224	5	0
2020 Jan	20.0	43	2	12
Feb	20.0	361	18	65
Mar	22.0	723	33	35
Apr	19.0	33	2	8
May	20.0	24	1	24
Jun	21.0	40	2	10
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		108 (18/03)		187 (17/02)

* Trading in Hang Seng Mainland Properties Index Futures commenced on 9 May 2016.

IBOVESPA Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	0	0	0
2017	247.0	0	0	0
2018	246.0	0	0	0
2019	246.0	0	0	0
2020	249.0	0	0	0
2020 Jan	20.0	0	0	0
Feb	20.0	0	0	0
Mar	22.0	0	0	0
Apr	19.0	0	0	0
May	20.0	0	0	0
Jun	21.0	0	0	0
Jul	22.0	0	0	0
Aug	21.0	0	0	0
Sep	22.0	0	0	0
Oct	19.0	0	0	0
Nov	21.0	0	0	0
Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

MICEX Index Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	0	0	0
2017	247.0	0	0	0
2018	246.0	0	0	0
2019	246.0	0	0	0
2020	249.0	0	0	0
2020 Jan	20.0	0	0	0
2020 Feb	20.0	0	0	0
2020 Mar	22.0	0	0	0
2020 Apr	19.0	0	0	0
2020 May	20.0	0	0	0
2020 Jun	21.0	0	0	0
2020 Jul	22.0	0	0	0
2020 Aug	21.0	0	0	0
2020 Sep	22.0	0	0	0
2020 Oct	19.0	0	0	0
2020 Nov	21.0	0	0	0
2020 Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

FTSE/JSE Top40 Futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	0	0	0
2017	247.0	0	0	0
2018	246.0	0	0	0
2019	246.0	0	0	0
2020	249.0	0	0	0
2020 Jan	20.0	0	0	0
2020 Feb	20.0	0	0	0
2020 Mar	22.0	0	0	0
2020 Apr	19.0	0	0	0
2020 May	20.0	0	0	0
2020 Jun	21.0	0	0	0
2020 Jul	22.0	0	0	0
2020 Aug	21.0	0	0	0
2020 Sep	22.0	0	0	0
2020 Oct	19.0	0	0	0
2020 Nov	21.0	0	0	0
2020 Dec	22.0	0	0	0
2020 Highest (date)		0 -		0 -

Three-month HIBOR futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	52	0	40
2017	247.0	568	2	470
2018	246.0	592	2	272
2019	246.0	175	1	60
2020	249.0	259	1	15
2020 Jan	20.0	0	0	60
2020 Feb	20.0	0	0	60
2020 Mar	22.0	157	7	168
2020 Apr	19.0	76	4	178
2020 May	20.0	15	1	170
2020 Jun	21.0	2	0	105
2020 Jul	22.0	3	0	106
2020 Aug	21.0	2	0	106
2020 Sep	22.0	2	0	61
2020 Oct	19.0	0	0	61
2020 Nov	21.0	2	0	60
2020 Dec	22.0	0	0	15
2020 Highest (date)		60 (11/03)		178 (05/05)

One-month HIBOR futures – trading statistics, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	0	0	0
2017	247.0	20	0	0
2018	246.0	167	1	0
2019	246.0	95	0	0
2020	249.0	55	0	0
2019 Jan	20.0	6	0	0
2019 Feb	20.0	12	1	4
2019 Mar	22.0	8	0	2
2019 Apr	19.0	10	1	6
2019 May	20.0	4	0	0
2019 Jun	21.0	0	0	0
2019 Jul	22.0	0	0	0
2019 Aug	21.0	5	0	5
2019 Sep	22.0	5	0	6
2019 Oct	19.0	0	0	0
2019 Nov	21.0	5	0	0
2019 Dec	22.0	0	0	0
2020 Highest (date)		6 (10/01)		7 (03/04)

Stock Futures contracts as at the end of 2020

Underlying Stock	Launch Date	Contract Multiplier
AAC Technologies Holdings Inc.	2018/02/05	1,000
Agricultural Bank of China Ltd.	2015/11/30	10,000
AIA Group Ltd.	2018/02/05	1,000
Alibaba Group Holding Ltd.	2019/11/26	500
Alibaba Health Information Technology Ltd.	2020/12/07	2,000
Aluminum Corporation of China Ltd.	2004/06/14	2,000
Anhui Conch Cement Co. Ltd.	2018/07/03	500
Bank of China Ltd.	2006/07/03	1,000
Bank of Communications Co., Ltd.	2005/11/07	1,000
BOC Hong Kong (Holdings) Ltd.	2003/03/17	500
Budweiser Brewing Company APAC Ltd.	2019/09/30	1,000
CGN Power Co., Ltd.	2015/11/30	10,000
China AMC CSI300 Index ETF	2013/06/10	2,000
China Cinda Asset Management Co., Ltd.	2015/11/30	5,000
China CITIC Bank Corporation Ltd.	2015/11/30	20,000
China Coal Energy Co. Ltd.	2015/12/07	1,000
China Communications Construction Company Ltd.	2007/03/19	1,000
China Construction Bank Corporation	2005/12/19	1,000
China Evergrande Group	2015/11/30	2,000
China Life Insurance Company Ltd.	2004/06/14	1,000
China Merchants Bank Co., Ltd.	2006/10/27	500
China Minsheng Banking Corp., Ltd.	2015/12/07	10,000
China Mobile Ltd.	1998/06/05	500
China Overseas Land & Investment Ltd.	2018/07/03	2,000
China Pacific Insurance Group Co. Ltd.	2018/07/03	1,000
China Petroleum & Chemical Corporation	2002/07/22	2,000
China Shenhua Energy Co. Ltd.	2015/11/30	500
China Telecom Corporation Ltd.	2004/06/14	2,000
China Tower Corporation Ltd.	2018/08/08	10,000
China Unicom (Hong Kong) Ltd.	2001/08/27	2,000
CITIC Ltd.	1997/05/23	1,000
CITIC Securities Co. Ltd.	2015/11/30	1,000
CK Hutchison Holdings Ltd.	1995/09/25	500
CLP Holdings Ltd.	1997/10/17	500
CNOOC Ltd.	2002/07/22	1,000
Country Garden Holdings Co. Ltd.	2018/02/05	5,000
CSOP FTSE China A50 ETF	2013/06/10	5,000
Galaxy Entertainment Group Ltd.	2018/07/03	1,000
Geely Automobile Holdings Ltd.	2018/07/03	5,000
Great Wall Motor Co. Ltd.	2015/12/07	10,000
Guangzhou Automobile Group Co., Ltd.	2015/11/30	4,000
Haitong Securities Co. Ltd.	2015/11/30	10,000
Hang Seng Bank Ltd.	1997/09/19	100
Hang Seng China Enterprises Index ETF	2018/04/09	5,000
Henderson Land Development Company Ltd.	1997/09/19	1,000
Hong Kong Exchanges and Clearing Ltd.	2001/08/27	100
HSBC Holdings Plc.	1995/03/31	400
Huaneng Power International, Inc.	2002/07/22	2,000
Industrial and Commercial Bank of China Ltd.	2006/10/27	1,000
iShares FTSE A50 China Index ETF	2013/06/10	5,000
JD.com, Inc.	2020/06/18	500
Kingdee International Software Group Co., Ltd.	2020/12/07	2,000
Kingsoft Corporation Ltd.	2015/12/07	1,000
Link Real Estate Investment Trust	2018/07/03	1,000

Stock Futures contracts as at the end of 2020 (continued)

Underlying Stock	Launch Date	Contract Multiplier
Meituan Dianping	2018/09/20	500
MTR Corporation Ltd.	2001/08/27	500
NetEase, Inc.	2020/06/11	500
New China Life Insurance Co. Ltd.	2015/12/07	1,000
New World Development Company Ltd.	1997/10/17	1,000
PetroChina Company Ltd.	2001/08/27	2,000
PICC Property and Casualty Company Ltd.	2007/03/19	2,000
Ping An Healthcare and Technology Co., Ltd.	2020/12/07	500
Ping An Insurance (Group) Company of China, Ltd.	2005/11/07	500
Power Assets Holdings Ltd.	1998/03/18	500
Sands China Ltd.	2018/07/03	400
Semiconductor Manufacturing International Corporation	2015/12/07	2,500
Sun Hung Kai Properties Ltd.	1997/09/19	1,000
Sunac China Holdings Ltd.	2015/11/30	2,000
Sunny Optical Technology (Group) Co. Ltd.	2018/02/05	1,000
Swire Pacific Ltd. 'A'	1997/05/23	500
Tencent Holdings Ltd.	2015/11/30	100
The Bank of East Asia, Ltd.	2001/01/08	200
The Wharf (Holdings) Ltd.	1997/10/17	1,000
The Hong Kong and China Gas Company Ltd.	2001/08/27	1,000
Tracker Fund of Hong Kong	2018/04/09	50,000
XiaoMi Corporation	2018/07/09	1,000
Yanzhou Coal Mining Co. Ltd.	2015/12/07	2,000
Zijin Mining Group Co., Ltd.	2015/12/07	2,000

Stock Futures contract volume and open interest, 2016 – 2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	225,978	915	5,365
2017	247.0	121,532	492	5,555
2018	246.0	863,027	3,508	20,476
2019	246.0	917,358	3,729	27,277
2020	249.0	1,141,729	4,585	22,965
2020	Jan	87,409	4,370	20,272
	Feb	99,776	4,989	28,213
	Mar	124,209	5,646	17,964
	Apr	54,104	2,848	13,870
	May	65,026	3,251	15,713
	Jun	81,605	3,886	16,067
	Jul	122,452	5,566	20,758
	Aug	112,334	5,349	21,335
	Sep	98,172	4,462	16,485
	Oct	72,697	3,826	17,861
	Nov	116,996	5,571	17,995
	Dec	106,949	4,861	22,965
2020	Highest	15,733		36,752
	(date)	(28/07)		(14/01)

Stock Futures – trading statistics by underlying stock, 2020

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
AAC Technologies Holdings Inc.	3,568	0.31	62	0.27
Agricultural Bank of China Ltd.	291	0.03	4	0.02
AIA Group Ltd.	7,310	0.64	215	0.94
Alibaba Group Holding Ltd.	76,170	6.67	1,015	4.42
Alibaba Health Information Technology Ltd.	343	0.03	84	0.37
Aluminum Corporation of China Ltd.	0	0.00	0	0.00
Anhui Conch Cement Co. Ltd.	94,167	8.25	3,078	13.40
Bank of China Ltd.	3,653	0.32	1,196	5.21
Bank of Communications Co., Ltd.	127	0.01	0	0.00
BOC Hong Kong (Holdings) Ltd.	2,404	0.21	70	0.30
Budweiser Brewing Co. APAC Ltd.	1,563	0.14	93	0.40
Cathay Pacific Airways Ltd.	3	0.00	0	0.00
CGN Power Co., Ltd.	1	0.00	1	0.00
China Cinda Asset Management Co., Ltd.	0	0.00	0	0.00
China CITIC Bank Corporation Ltd.	141	0.01	8	0.03
China Coal Energy Co. Ltd.	0	0.00	0	0.00
China Communications Construction Co. Ltd.	4,656	0.41	646	2.81
China Construction Bank Corporation	10,629	0.93	438	1.91
China Evergrande Group	3,796	0.33	7	0.03
China Life Insurance Co. Ltd.	8,762	0.77	369	1.61
China Merchants Bank Co., Ltd.	14,395	1.26	158	0.69
China Minsheng Banking Corp., Ltd.	4,369	0.38	12	0.05
China Mobile Ltd.	18,435	1.61	1,681	7.32
China Oilfield Services Ltd.	0	0.00	0	0.00
China Overseas Land & Investment Ltd.	304	0.03	21	0.09
China Pacific Insurance (Group) Co., Ltd.	6,609	0.58	15	0.07
China Petroleum & Chemical Corp.	13,995	1.23	411	1.79
China Shenhua Energy Co. Ltd.	508	0.04	28	0.12
China Southern Airlines Co. Ltd.	0	0.00	0	0.00
China Telecom Corporation Ltd.	2,118	0.19	244	1.06
China Tower Corporation Ltd.	3,469	0.30	159	0.69
China Unicom (Hong Kong) Ltd.	1,360	0.12	211	0.92
ChinaAMC CSI 300 Index ETF	9,797	0.86	66	0.29
CITIC Ltd.	605	0.05	0	0.00
CITIC Securities Co. Ltd.	7,267	0.64	67	0.29
CK Hutchison Holdings Ltd.	334	0.03	23	0.10
CLP Holdings Ltd.	2,607	0.23	93	0.40
CNOOC Ltd.	23,974	2.10	1,085	4.72
Country Garden Holdings Co. Ltd.	1,357	0.12	8	0.03
CRRC Corporation Ltd.	0	0.00	0	0.00
CSOP FTSE China A50 ETF	4,241	0.37	90	0.39
Fosun International Ltd.	0	0.00	0	0.00
Galaxy Entertainment Group Ltd.	14,602	1.28	100	0.44
Geely Automobile Holdings Ltd.	8,357	0.73	323	1.41
Great Wall Motor Co. Ltd.	1,336	0.12	31	0.13
Guangzhou Automobile Group Co., Ltd.	0	0.00	0	0.00
Haitong Securities Co., Ltd.	300	0.03	24	0.10
Hang Seng Bank Ltd.	3,715	0.33	105	0.46
Hang Seng China Enterprises Index ETF	0	0.00	0	0.00
Henderson Land Development Co. Ltd.	708	0.06	12	0.05
Hong Kong & China Gas Co Ltd	2,007	0.18	97	0.42

Stock Futures – trading statistics by underlying stock, 2020 (continued)

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
Hong Kong Exchanges & Clearing Ltd	56,747	4.97	602	2.62
HSBC Holdings Plc	71,472	6.26	3,401	14.81
Huaneng Power International, Inc.	1	0.00	1	0.00
Huatai Securities Co., Ltd.	0	0.00	0	0.00
Industrial and Commercial Bank of China Ltd.	7,751	0.68	739	3.22
iShares FTSE A50 China Index ETF	4,231	0.37	245	1.07
JD.com, Inc.	9,335	0.82	640	2.79
Kingdee International Software Group Co. Ltd.	429	0.04	26	0.11
Kingsoft Corporation Ltd.	2,224	0.19	38	0.17
Li & Fung Ltd.	0	0.00	0	0.00
Link Real Estate Investment Trust	994	0.09	22	0.10
Meituan Dianping	44,944	3.94	348	1.52
MTR Corporation Ltd.	2,735	0.24	60	0.26
NetEase, Inc.	3,673	0.32	72	0.31
New China Life Insurance Co. Ltd.	7,392	0.65	236	1.03
New World Development Co., Ltd.	278	0.02	4	0.02
Petrochina Co. Ltd.	5,721	0.50	321	1.40
PICC Property and Casualty Co. Ltd.	1,089	0.10	27	0.12
Ping An Healthcare and Technology Co. Ltd.	35	0.00	10	0.04
Ping An Insurance (Group) Co. of China Ltd.	70,609	6.18	347	1.51
Power Assets Holdings Ltd.	619	0.05	9	0.04
Sands China Ltd.	3,712	0.33	95	0.41
Semiconductor Manufacturing Int'l Corp	352	0.03	21	0.09
Sun Hung Kai Properties Ltd.	795	0.07	22	0.10
Sunac China Holdings Ltd.	6,766	0.59	183	0.80
Sunny Optical Technology (Group) Co. Ltd.	2,320	0.20	22	0.10
Swire Pacific Ltd. – A	95	0.01	4	0.02
Tencent Holdings Ltd.	334,546	29.30	1,747	7.61
The Bank of East Asia Ltd.	0	0.00	0	0.00
The Wharf (Holdings) Ltd.	112	0.01	3	0.01
Tracker Fund	0	0.00	0	0.00
Xiaomi Corporation	138,399	12.12	1,370	5.97
Yanzhou Coal Mining Co. Ltd.	0	0.00	0	0.00
Zijin Mining Group Co., Ltd.	0	0.00	0	0.00

Stock Options classes as at the end of 2020

Class	Code	Launch date	Lot size (shares)
iShares FTSE A50 China Index ETF	A50	2010/07/12	5,000
AAC Technologies Holdings Inc.	AAC	2017/04/10	1,000
Anhui Conch Cement Company Ltd.	ACC	2011/11/21	500
AIA Group Ltd.	AIA	2010/10/29	1,000
Air China Ltd.	AIR	2018/07/16	2,000
Alibaba Group Holding Ltd.	ALB	2019/11/26	500
Aluminum Corporation of China Ltd.	ALC	2004/06/14	2,000
Alibaba Health Information Technology Ltd.	ALH	2020/12/07	2,000
ChinaAMC CSI 300 Index ETF	AMC	2013/01/28	2,000
ANTA Sports Products Ltd.	ANA	2020/03/23	1,000
Bank of Communications Co., Ltd.	BCM	2005/11/07	1,000
The Bank of East Asia, Ltd.	BEA	2001/01/08	200
BOC Hong Kong (Holdings) Ltd.	BOC	2003/03/17	500
Budweiser Brewing Company APAC Ltd.	BUD	2019/09/30	1,000
BYD Company Ltd.	BYD	2009/11/16	500
China Communications Construction Company Ltd.	CCC	2007/03/19	1,000
China Coal Energy Company Ltd.	CCE	2007/09/03	1,000
China Cinda Asset Management Co., Ltd	CDA	2014/07/02	5,000
CGN Power Co., Ltd	CGN	2015/11/30	10,000
China Mobile Ltd.	CHT	1998/05/15	500
China Unicom (Hong Kong) Ltd.	CHU	2001/08/27	2,000
CITIC Ltd.	CIT	1995/10/23	1,000
CK Hutchison Holdings Ltd.	CKH	1995/09/25	500
CK Asset Holdings Ltd.	CKP	2015/11/30	1,000
China Life Insurance Company Ltd.	CLI	2004/06/14	1,000
CLP Holdings Ltd.	CLP	1995/12/18	500
China Merchants Bank Co., Ltd.	CMB	2006/10/27	500
CNOOC Ltd.	CNC	2002/07/22	1,000
Country Garden Holdings Co. Ltd.	COG	2018/02/05	5,000
China Overseas Land & Investment Ltd.	COL	2009/07/06	2,000
Cathay Pacific Airways Ltd.	CPA	2001/08/27	1,000
China Petroleum & Chemical Corporation	CPC	2002/07/22	2,000
China Pacific Insurance (Group) Co., Ltd.	CPI	2010/12/13	1,000
China Railway Construction Corporation Ltd.	CRC	2008/06/10	500
China Railway Group Ltd.	CRG	2008/06/10	1,000
China Resources Land Ltd.	CRL	2014/12/08	2,000
CSOP FTSE China A50 ETF	CSA	2013/01/28	5,000
China Shenhua Energy Company Ltd.	CSE	2008/06/10	500
CSPC Pharmaceutical Group Ltd.	CSP	2018/07/16	2,000
China CITIC Bank Corporation Ltd.	CTB	2007/09/03	1,000
China Telecom Corporation Ltd.	CTC	2004/06/14	2,000
CITIC Securities Co. Ltd.	CTS	2015/06/22	1,000
Dongfeng Motor Group Co. Ltd.	DFM	2014/02/17	2,000
China Evergrande Group	EVG	2018/02/05	2,000
Guangzhou Automobile Group Co. Ltd.	GAC	2018/02/05	4,000
Geely Automobile Holdings Ltd.	GAH	2017/04/10	5,000
Galaxy Entertainment Group Ltd.	GLX	2012/06/11	1,000
Great Wall Motor Co. Ltd.	GWM	2013/08/05	500
Haitong Securities Co., Ltd.	HAI	2014/12/08	2,000
Hang Seng China Enterprises Index ETF	HCF	2015/06/22	1,000
Power Assets Holdings Ltd.	HEH	1998/02/16	500
Hong Kong Exchanges and Clearing Ltd.	HEX	2001/08/27	100
Hengan International Group Co. Ltd.	HGN	2014/02/17	500
HSBC Holdings Plc.	HKB	1995/09/08	400
The Hong Kong and China Gas Company Ltd.	HKG	2001/08/27	1,000
Henderson Land Development Company Ltd.	HLD	1995/12/18	1,000
Huaneng Power International, Inc.	HNP	2002/07/22	2,000
Hang Seng Bank Ltd.	HSB	1996/12/09	100
JD.com, Inc.	JDC	2020/06/18	500
Jiangxi Copper Company Ltd.	JXC	2007/09/03	1,000
Kingdee International Software Group Co., Ltd.	KDS	2020/12/07	2,000
Kunlun Energy Co. Ltd.	KLE	2014/02/17	2,000
Kingsoft Corporation Ltd.	KSO	2014/07/02	1,000
Lenovo Group Ltd.	LEN	2013/08/05	2,000
Li & Fung Ltd.	LIF	2001/08/27	2,000
Link Real Estate Investment Trust	LNK	2017/04/10	1,000
China Mengniu Dairy Co. Ltd.	MEN	2014/12/08	1,000
Meituan Dianping	MET	2018/09/20	500
MGM China Holdings Ltd.	MGM	2013/08/05	400
XiaoMi Corporation	MIU	2018/07/09	1,000

Stock Options classes as at the end of 2020 (continued)

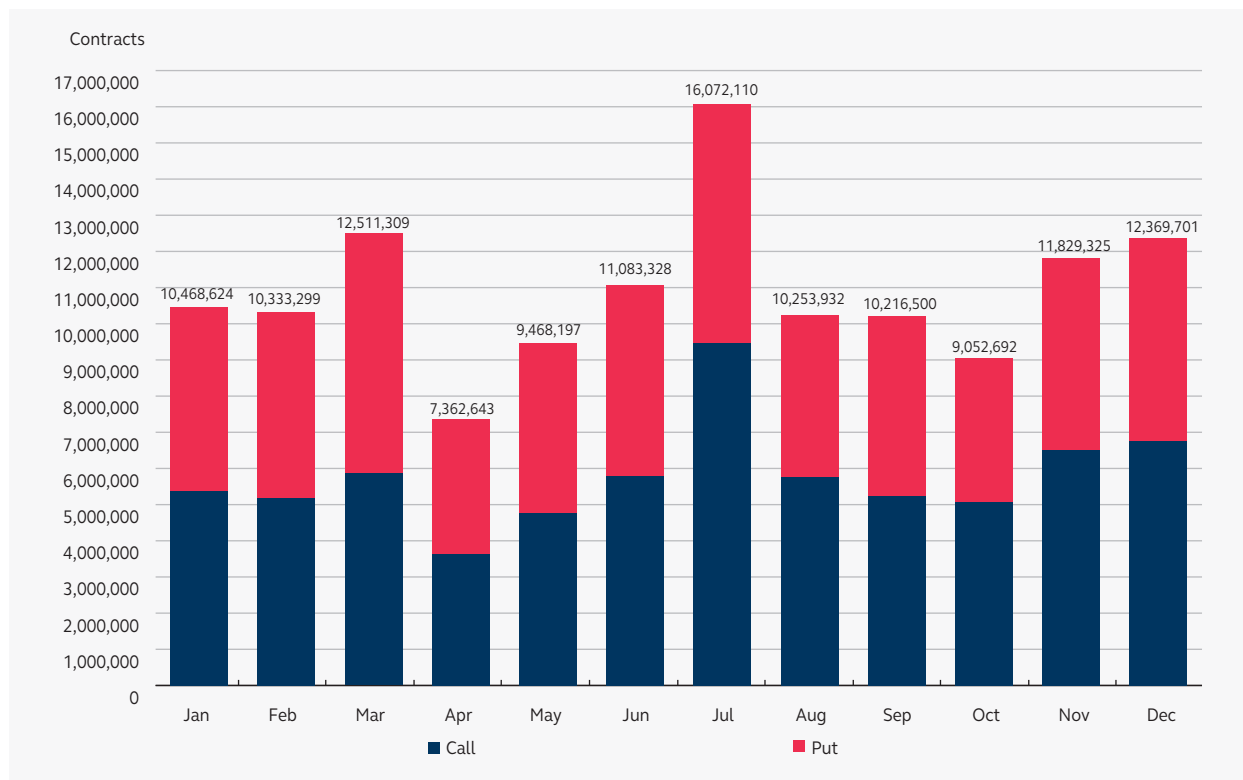
Class	Code	Launch date	Lot size (shares)
China Minsheng Banking Corporation Ltd.	MSB	2013/08/05	2,500
MTR Corporation Ltd.	MTR	2001/08/27	500
China National Building Material Company Ltd.	NBM	2011/11/21	2,000
New China Life Insurance Co. Ltd.	NCL	2015/06/22	1,000
NetEase, Inc.	NTE	2020/06/11	500
New World Development Company Ltd.	NWD	1996/08/05	1,000
Ping An Insurance (Group) Company of China, Ltd.	PAI	2005/11/07	500
PetroChina Company Ltd.	PEC	2001/08/27	2,000
Ping An Health and Technology Co., Ltd.	PHT	2020/12/07	500
PICC Property and Casualty Company Ltd.	PIC	2007/03/19	2,000
The People's Insurance Company (Group) of China Ltd.	PIN	2013/08/05	5,000
Guangzhou R&F Properties Co., Ltd.	RFP	2009/11/16	400
Sands China Ltd.	SAN	2012/06/11	400
Sino Biopharmaceutical Ltd.	SBO	2020/03/23	5,000
Sun Hung Kai Properties Ltd.	SHK	1995/12/18	1,000
Shenzhen International Group Holdings Ltd.	SHZ	2020/03/23	500
Semiconductor Manufacturing International Corp.	SMC	2020/12/07	2,500
Sunny Optical Technology (Group) Co. Ltd.	SNO	2018/02/05	1,000
Sinopharm Group Co. Ltd.	SNP	2018/07/16	800
Standard Chartered PLC	STC	2012/06/11	50
Sunac China Holdings Ltd.	SUN	2018/02/05	2,000
Swire Pacific Ltd.	SWA	1995/10/09	500
Tencent Holdings Ltd.	TCH	2009/07/06	100
Techtronic Industries Co. Ltd.	TIC	2020/03/23	500
Tracker Fund of Hong Kong	TRF	2000/03/27	500
China Vanke Co. Ltd.	VNK	2018/07/16	1,000
WH Group Ltd.	WHG	2020/03/23	2,500
The Wharf (Holdings) Ltd.	WHL	1996/08/05	1,000
Want Want China Holdings Ltd.	WWC	2014/02/17	1,000
Agricultural Bank of China Ltd.	XAB	2013/12/09	10,000
Bank of China Ltd.	XBC	2013/12/09	1,000
China Construction Bank Corporation	XCC	2013/12/09	1,000
Industrial and Commercial Bank of China Ltd.	XIC	2013/12/09	1,000
Postal Savings Bank of China Co. Ltd.	XPB	2018/07/16	5,000
China Tower Corporation Ltd.	XTW	2018/08/08	10,000
Yanzhou Coal Mining Company Ltd.	YZC	2010/12/13	2,000
Zijin Mining Group Company Ltd.	ZJM	2009/07/06	2,000

Options contract value, volume and open interest by class, 2020 (continued)

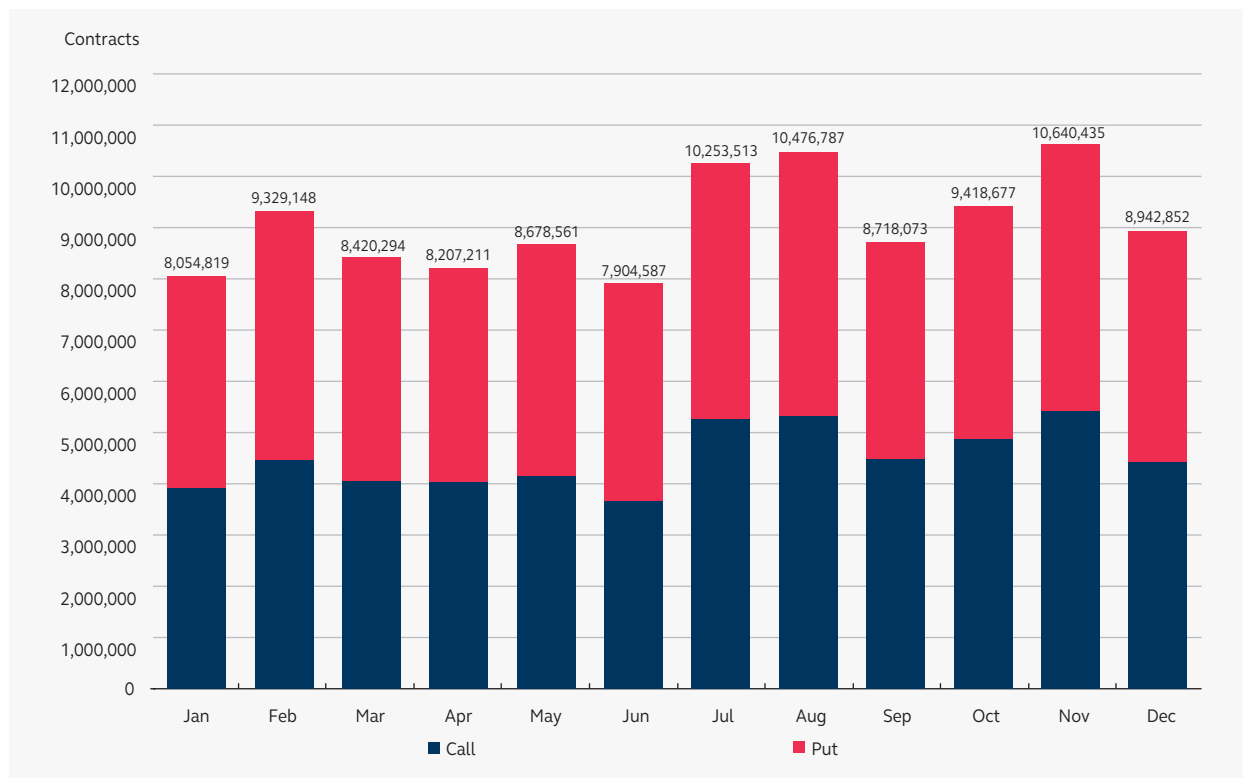
Class	Contract value (HK\$mil)				Contract volume (contracts)				Year-end open interest			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
HK & CHINA GAS	35.49	57.75	93.24	0.06	133,386	144,863	278,249	0.21	6,180	5,004	11,184	0.13
HKEX	4,303.02	3,249.27	7,552.29	4.56	3,551,070	2,856,240	6,407,310	4.89	144,082	127,999	272,081	3.04
HSBC HOLDINGS	1,405.22	2,985.85	4,391.06	2.65	3,382,563	3,855,893	7,238,456	5.52	164,535	210,387	374,922	4.19
HSCEI ETF	56.08	152.47	208.55	0.13	35,993	104,670	140,663	0.11	1,493	8,539	10,032	0.11
HUANENG POWER	4.14	10.45	14.58	0.01	18,875	20,043	38,918	0.03	1,483	1,040	2,523	0.03
ICBC	394.38	641.91	1,036.29	0.63	3,660,486	3,268,131	6,928,617	5.29	285,229	309,250	594,479	6.65
ISHARES A50	226.33	326.36	552.68	0.33	122,589	136,101	258,690	0.20	6,326	12,838	19,164	0.21
JD-SW	1,019.45	1,085.97	2,105.43	1.27	179,602	205,187	384,789	0.29	24,912	41,431	66,343	0.74
JIANGXI COPPER	65.08	33.45	98.53	0.06	173,758	95,842	269,600	0.21	11,769	3,302	15,071	0.17
KINGDEE INT'L	6.38	1.28	7.66	0.00	3,684	1,142	4,826	0.00	1,741	893	2,634	0.03
KINGSOFT	447.30	252.08	699.38	0.42	268,038	248,915	516,953	0.39	7,034	7,370	14,404	0.16
KUNLUN ENERGY	52.50	31.32	83.82	0.05	91,073	57,836	148,909	0.11	8,762	4,926	13,688	0.15
LENOVO GROUP	105.98	119.94	225.92	0.14	259,849	254,699	514,548	0.39	14,945	22,428	37,373	0.42
LI & FUNG	0.22	0.15	0.36	0.00	1,792	1,794	3,586	0.00	-	-	-	-
LINK REIT	292.49	352.75	645.24	0.39	231,264	223,706	454,970	0.35	10,142	8,743	18,885	0.21
MEITUAN-W	11,918.60	7,281.78	19,200.37	11.59	2,384,206	1,712,043	4,096,249	3.13	91,775	131,690	223,465	2.50
MENGNU DAIRY	129.35	96.54	225.90	0.14	133,370	120,757	254,127	0.19	4,194	6,470	10,664	0.12
MGM CHINA	1.70	2.02	3.72	0.00	13,589	17,057	30,646	0.02	338	8	346	0.00
MINSHENG BANK	25.37	38.46	63.83	0.04	75,009	91,875	166,884	0.13	4,836	4,246	9,082	0.10
MTR CORPORATION	50.21	64.89	115.09	0.07	152,076	165,918	317,994	0.24	3,377	5,174	8,551	0.10
NCI	200.83	194.42	395.25	0.24	235,592	213,603	449,195	0.34	8,080	7,661	15,741	0.18
NEW WORLD DEV	74.71	56.35	131.07	0.08	112,785	102,816	215,601	0.16	3,551	2,834	6,385	0.07
NTES-S	413.43	353.93	767.36	0.46	146,423	145,160	291,583	0.22	12,351	23,748	36,099	0.40
PA GOODDOCTOR	6.39	3.57	9.97	0.01	5,185	5,719	10,904	0.01	3,308	2,020	5,328	0.06
PETROCHINA	165.56	252.54	418.10	0.25	757,079	748,604	1,505,683	1.15	68,667	87,332	155,999	1.74
PICC GROUP	19.33	19.34	38.67	0.02	96,806	66,945	163,751	0.12	5,661	4,608	10,269	0.11
PICC P&C	57.12	69.40	126.51	0.08	138,875	140,690	279,565	0.21	14,776	9,779	24,555	0.27
PING AN	5,028.06	6,634.34	11,662.40	7.04	4,939,810	5,073,020	10,012,830	7.64	315,379	456,423	771,802	8.63
POWER ASSETS	37.29	56.03	93.32	0.06	96,648	94,450	191,098	0.15	3,130	3,333	6,463	0.07
PSBC	27.44	20.46	47.91	0.03	64,444	45,211	109,655	0.08	8,784	5,218	14,002	0.16
R&F PROPERTIES	4.80	9.48	14.28	0.01	52,102	55,065	107,167	0.08	633	916	1,549	0.02
SANDS CHINA LTD	282.22	338.14	620.37	0.37	679,527	706,427	1,385,954	1.06	25,995	38,718	64,713	0.72
SHENZHOU INTL	50.68	45.11	95.79	0.06	38,744	39,041	77,785	0.06	1,009	1,817	2,826	0.03
SHK PPT	452.31	582.60	1,034.90	0.62	215,197	237,585	452,782	0.35	3,994	4,693	8,687	0.10
SINO BIOPHARM	106.65	247.30	353.95	0.21	60,202	54,865	115,067	0.09	7,840	8,593	16,433	0.18
SINOPEC CORP	295.65	411.19	706.84	0.43	1,301,719	1,092,849	2,394,568	1.83	105,478	93,400	198,878	2.22
SINOPHARM	31.54	27.30	58.84	0.04	69,533	52,926	122,459	0.09	5,293	5,426	10,719	0.12
SMIC	71.70	46.02	117.72	0.07	30,980	18,719	49,699	0.04	15,000	9,204	24,204	0.27
STANCHART	2.08	1.05	3.13	0.00	22,439	12,818	35,257	0.03	-	-	-	-
SUNAC	550.66	534.42	1,085.09	0.66	202,023	172,784	374,807	0.29	7,721	10,359	18,080	0.20
SUNNY OPTICAL	1,151.76	1,091.62	2,243.38	1.35	245,135	237,643	482,778	0.37	6,145	8,197	14,342	0.16
SWIRE PACIFIC A	36.43	36.96	73.39	0.04	57,526	51,071	108,597	0.08	2,766	2,508	5,274	0.06
TECHTRONIC IND	52.93	35.99	88.92	0.05	50,571	45,889	96,460	0.07	1,926	3,566	5,492	0.06
TENCENT	18,465.90	21,872.26	40,338.15	24.35	11,178,783	10,126,215	21,304,998	16.26	570,263	515,334	1,085,597	12.14
TRACKER FUND	13.28	31.25	44.54	0.03	59,386	113,145	172,531	0.13	1,200	2,076	3,276	0.04
WANT WANT CHINA	6.97	11.91	18.88	0.01	36,251	56,275	92,526	0.07	1,427	1,768	3,195	0.04
WH GROUP	13.75	9.97	23.72	0.01	41,176	35,127	76,303	0.06	1,658	2,456	4,114	0.05
WHARF HOLDINGS	9.53	12.90	22.43	0.01	25,482	24,083	49,565	0.04	829	972	1,801	0.02
XIAOMI-W	6,234.15	2,447.33	8,681.48	5.24	5,512,758	2,979,085	8,491,843	6.48	290,271	251,099	541,370	6.05
YANZHOU COAL	24.20	23.28	47.48	0.03	73,358	49,735	123,093	0.09	3,946	4,030	7,976	0.09
ZIJIN MINING	57.29	23.06	80.35	0.05	84,414	68,971	153,385	0.12	4,040	3,250	7,290	0.08
Total	83,804.90	81,827.20	165,632.11	100.00	69,500,295	61,521,365	131,021,660	100.00	4,417,371	4,525,481	8,942,852	100.00

Note: Figures may not add up to total due to rounding.

Monthly Stock Options contract volume, 2020



Month-end Stock Options open interest, 2020



USD Gold Futures – trading statistics, 2017–2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2017 *	121.0	55,372	458	236	
2018	246.0	326,700	1,328	191	
2019	246.0	491,405	1,998	1,132	
2020	249.0	61,886	249	68	
2020	Jan	20.0	31,586	1,579	921
	Feb	20.0	24,057	1,203	1,047
	Mar	22.0	2,409	110	451
	Apr	19.0	186	10	365
	May	20.0	383	19	257
	Jun	21.0	424	20	239
	Jul	22.0	380	17	182
	Aug	21.0	618	29	139
	Sep	22.0	810	37	63
	Oct	19.0	592	31	27
	Nov	21.0	321	15	12
	Dec	22.0	120	5	68
2020	Highest		4,736		1,223
	(date)		(07/01)		(13/03)

* Trading in USD Gold Futures commenced on 10 Jul 2017.

CNH Gold Futures – trading statistics, 2017–2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2017 *	121.0	110,763	915	186	
2018	246.0	25,006	102	45	
2019	246.0	81,768	332	5	
2020	249.0	6,796	27	0	
2020	Jan	20.0	6,746	337	6
	Feb	20.0	0	0	6
	Mar	22.0	27	1	3
	Apr	19.0	0	0	3
	May	20.0	12	1	3
	Jun	21.0	5	0	3
	Jul	22.0	1	0	3
	Aug	21.0	0	0	3
	Sep	22.0	2	0	3
	Oct	19.0	0	0	3
	Nov	21.0	3	0	0
	Dec	22.0	0	0	0
2020	Highest		560		21
	(date)		(02/01)		(14/01)

* Trading in CNH Gold Futures commenced on 10 Jul 2017.

USD Silver Futures – trading statistics, 2020

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2020 *		143.0	1,282	9	0
2020	Jun	16.0	147	9	135
	Jul	22.0	513	23	108
	Aug	21.0	152	7	112
	Sep	22.0	137	6	104
	Oct	19.0	86	5	100
	Nov	21.0	14	1	100
	Dec	22.0	233	11	0
2020	Highest (date)		150 (09/07)		295 (17/07)

* Trading in USD Silver Futures commenced on 8 Jun 2020.

CNH Silver Futures – trading statistics, 2020

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2020 *		143.0	0	0	0
2020	Jun	16.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	22.0	0	0	0
	Oct	19.0	0	0	0
	Nov	21.0	0	0	0
	Dec	22.0	0	0	0
2020	Highest (date)		0 -		0 -

* Trading in CNH Silver Futures commenced on 8 Jun 2020.

Iron Ore Futures – Monthly Contracts – trading statistics, 2017-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2017 *	33.0	18,194	551	405	
2018	246.0	9,517	39	1,124	
2019	246.0	55,944	227	1,685	
2020	249.0	97,048	390	1,174	
2020	Jan	20.0	3,788	189	2,007
	Feb	20.0	9,881	494	2,635
	Mar	22.0	15,772	717	3,148
	Apr	19.0	6,979	367	3,339
	May	20.0	6,776	339	3,385
	Jun	21.0	11,137	530	3,184
	Jul	22.0	9,549	434	1,763
	Aug	21.0	10,045	478	2,183
	Sep	22.0	8,730	397	1,690
	Oct	19.0	9,193	484	1,776
	Nov	21.0	3,820	182	1,465
	Dec	22.0	1,378	63	1,174
2020	Highest (date)		1,728 (10/03)		4,102 (19/05)

* Trading in Iron Ore Futures – Monthly Contracts commenced on 13 Nov 2017.

Iron Ore Futures – Quarterly Contracts – trading statistics, 2017-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2017 *	33.0	36	1	19	
2018	246.0	0	0	0	
2019	246.0	0	0	0	
2020	249.0	0	0	0	
2020	Jan	20.0	0	0	0
	Feb	20.0	0	0	0
	Mar	22.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	22.0	0	0	0
	Oct	19.0	0	0	0
	Nov	21.0	0	0	0
	Dec	22.0	0	0	0
2020	Highest (date)		0 -		0 -

* Trading in Iron Ore Futures – Quarterly Contracts commenced on 13 Nov 2017.

CNH London Aluminium Mini Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	1,829	7	2
2017	247.0	937	4	20
2018	246.0	635	3	0
2019	246.0	21	0	0
2020	249.0	2	0	0
2020	Jan	20.0	0	0
	Feb	20.0	0	0
	Mar	22.0	0	0
	Apr	19.0	0	0
	May	20.0	0	0
	Jun	21.0	2	0
	Jul	22.0	0	0
	Aug	21.0	0	0
	Sep	22.0	0	0
	Oct	19.0	0	0
	Nov	21.0	0	0
	Dec	22.0	0	0
2020	Highest		1	1
	(date)		(03/06)	(02/06)

CNH London Zinc Mini Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	12,394	50	17
2017	247.0	483	2	0
2018	246.0	651	3	1
2019	246.0	150	1	1
2020	249.0	3	0	0
2020	Jan	20.0	3	0
	Feb	20.0	0	0
	Mar	22.0	0	0
	Apr	19.0	0	0
	May	20.0	0	0
	Jun	21.0	0	0
	Jul	22.0	0	0
	Aug	21.0	0	0
	Sep	22.0	0	0
	Oct	19.0	0	0
	Nov	21.0	0	0
	Dec	22.0	0	0
2020	Highest		2	0
	(date)		(10/01)	-

CNH London Copper Mini Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016	247.0	3,354	14	3	
2017	247.0	731	3	2	
2018	246.0	124	1	0	
2019	246.0	2	0	0	
2020	249.0	1	0	0	
2020	Jan	20.0	0	0	0
	Feb	20.0	0	0	0
	Mar	22.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	22.0	0	0	0
	Oct	19.0	0	0	0
	Nov	21.0	1	0	1
	Dec	22.0	0	0	0
2020	Highest (date)		1 (02/11)		1 (14/12)

CNH London Nickel Mini Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016	247.0	11,550	47	31	
2017	247.0	325	1	1	
2018	246.0	323	1	0	
2019	246.0	52	0	0	
2020	249.0	0	0	0	
2020	Jan	20.0	0	0	0
	Feb	20.0	0	0	0
	Mar	22.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	22.0	0	0	0
	Oct	19.0	0	0	0
	Nov	21.0	0	0	0
	Dec	22.0	0	0	0
2020	Highest (date)		0 -		0 -

CNH London Tin Mini Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	37	0	0
2017	247.0	0	0	0
2018	246.0	0	0	0
2019	246.0	0	0	0
2020	249.0	0	0	0
2020	Jan	20.0	0	0
	Feb	20.0	0	0
	Mar	22.0	0	0
	Apr	19.0	0	0
	May	20.0	0	0
	Jun	21.0	0	0
	Jul	22.0	0	0
	Aug	21.0	0	0
	Sep	22.0	0	0
	Oct	19.0	0	0
	Nov	21.0	0	0
	Dec	22.0	0	0
2020	Highest	0	0	0
	(date)	-	-	-

CNH London Lead Mini Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2016	247.0	7	0	0
2017	247.0	234	1	4
2018	246.0	0	0	0
2019	246.0	12	0	0
2020	249.0	14	0	0
2020	Jan	7	0	1
	Feb	7	0	0
	Mar	0	0	0
	Apr	0	0	0
	May	0	0	0
	Jun	0	0	0
	Jul	0	0	0
	Aug	0	0	0
	Sep	0	0	0
	Oct	0	0	0
	Nov	0	0	0
	Dec	0	0	0
2020	Highest	3		2
	(date)	(20/01)		(21/02)

USD London Aluminium Mini Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2019*	103.0	9,246	90	76	
2020	249.0	31,543	127	13	
2020	Jan	20.0	4,404	220	135
	Feb	20.0	2,122	106	183
	Mar	22.0	3,242	147	140
	Apr	19.0	969	51	48
	May	20.0	2,066	103	52
	Jun	21.0	3,542	169	174
	Jul	22.0	2,793	127	214
	Aug	21.0	2,393	114	304
	Sep	22.0	2,760	125	169
	Oct	19.0	4,153	219	115
	Nov	21.0	1,317	63	45
	Dec	22.0	1,782	81	13
2020	Highest (date)		689 (13/01)		581 (22/10)

* Trading in USD London Aluminium Mini Futures commenced on 05 Aug 2019.

USD London Zinc Mini Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2019*	103.0	0	0	0	
2020	249.0	56,267	226	31	
2020	Jan	20.0	2,851	143	114
	Feb	20.0	3,497	175	71
	Mar	22.0	2,455	112	47
	Apr	19.0	525	28	13
	May	20.0	6,370	319	110
	Jun	21.0	5,799	276	56
	Jul	22.0	7,352	334	117
	Aug	21.0	7,587	361	155
	Sep	22.0	10,085	458	269
	Oct	19.0	3,701	195	16
	Nov	21.0	2,845	135	13
	Dec	22.0	3,200	145	31
2020	Highest (date)		1,010 (29/09)		435 (19/10)

* Trading in USD London Zinc Mini Futures commenced on 05 Aug 2019.

USD London Copper Mini Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2019*	103.0	19,516	189	262	
2020	249.0	88,849	357	65	
2020	Jan	20.0	3,372	169	204
	Feb	20.0	5,871	294	221
	Mar	22.0	3,663	167	63
	Apr	19.0	500	26	73
	May	20.0	3,296	165	93
	Jun	21.0	5,107	243	163
	Jul	22.0	6,360	289	259
	Aug	21.0	6,291	300	275
	Sep	22.0	12,027	547	1,520
	Oct	19.0	17,147	902	292
	Nov	21.0	15,331	730	847
	Dec	22.0	9,884	449	65
2019	Highest (date)		4,700 (15/10)		1,550 (05/10)

* Trading in USD London Copper Mini Futures commenced on 5 Aug 2019.

USD London Nickel Mini Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2019*	103.0	552	5	14	
2020	249.0	10,288	41	23	
2020	Jan	20.0	3,509	175	200
	Feb	20.0	1,524	76	274
	Mar	22.0	1,187	54	64
	Apr	19.0	9	0	1
	May	20.0	484	24	2
	Jun	21.0	462	22	14
	Jul	22.0	343	16	13
	Aug	21.0	502	24	23
	Sep	22.0	780	35	53
	Oct	19.0	714	38	47
	Nov	21.0	264	13	41
	Dec	22.0	510	23	23
2020	Highest (date)		568 (22/01)		317 (29/01)

* Trading in USD London Nickel Mini Futures commenced on 5 Aug 2019.

USD London Tin Mini Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2019*	103.0	20	0	0
2020	249.0	0	0	0
2020	Jan	20.0	0	0
	Feb	20.0	0	0
	Mar	22.0	0	0
	Apr	19.0	0	0
	May	20.0	0	0
	Jun	21.0	0	0
	Jul	22.0	0	0
	Aug	21.0	0	0
	Sep	22.0	0	0
	Oct	19.0	0	0
	Nov	21.0	0	0
	Dec	22.0	0	0
2020	Highest	0		0
	(date)	-		-

* Trading in USD London Tin Mini Futures commenced on 5 Aug 2019.

USD London Lead Mini Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2019*	103.0	0	0	0
2020	249.0	0	0	0
2020	Jan	20.0	0	0
	Feb	20.0	0	0
	Mar	22.0	0	0
	Apr	19.0	0	0
	May	20.0	0	0
	Jun	21.0	0	0
	Jul	22.0	0	0
	Aug	21.0	0	0
	Sep	22.0	0	0
	Oct	19.0	0	0
	Nov	21.0	0	0
	Dec	22.0	0	0
2020	Highest	0		0
	(date)	-		-

* Trading in USD London Lead Mini Futures commenced on 5 Aug 2019.

RMB Currency Futures – USD/CNH Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016	247.0	538,594	2,181	45,635	
2017	247.0	732,569	2,966	24,483	
2018	246.0	1,755,130	7,135	30,797	
2019	246.0	1,938,891	7,882	23,196	
2020	249.0	1,768,449	7,102	28,223	
2020	Jan	20.0	151,074	7,554	23,354
	Feb	20.0	159,711	7,986	26,242
	Mar	22.0	208,866	9,494	24,576
	Apr	19.0	77,128	4,059	22,882
	May	20.0	125,524	6,276	23,532
	Jun	21.0	145,802	6,943	24,112
	Jul	22.0	127,991	5,818	22,987
	Aug	21.0	146,587	6,980	24,056
	Sep	22.0	169,126	7,688	25,797
	Oct	19.0	128,443	6,760	26,439
	Nov	21.0	166,361	7,922	27,524
	Dec	22.0	161,836	7,356	28,223
2020	Highest (date)		16,630 (05/11)		29,485 (04/12)

RMB Currency Options – USD/CNH Options – trading statistics, 2017-2020

Year/Month	No. of trading days	Volume (contracts)				Period end open interest (contracts)	
		Call	Put	Total volume	Average daily volume		
2017 *	195.0	9,011	1,462	10,473	54	3,113	
2018	246.0	16,571	13,496	30,067	122	5,625	
2019	246.0	8,110	7,319	15,429	63	1,643	
2020	249.0	5,754	3,215	8,969	36	2,483	
2020	Jan	20.0	484	194	678	34	1,938
	Feb	20.0	1,333	357	1,690	85	3,123
	Mar	22.0	987	290	1,277	58	2,708
	Apr	19.0	286	135	421	22	2,889
	May	20.0	534	172	706	35	3,245
	Jun	21.0	407	604	1,011	48	3,183
	Jul	22.0	507	125	632	29	3,467
	Aug	21.0	251	436	687	33	3,726
	Sep	22.0	260	322	582	26	3,046
	Oct	19.0	167	319	486	26	3,083
	Nov	21.0	215	71	286	14	3,269
	Dec	22.0	323	190	513	23	2,483
2020	Highest (date)			420 (28/02)		3,759 (11/09)	

* Trading in RMB Currency Options – USD/CNH Options commenced on 20 Mar 2017.

RMB Currency Futures – EUR/CNH Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016 *	149.0	952	6	98	
2017	247.0	1,750	7	67	
2018	246.0	8,956	36	606	
2019	246.0	14,656	60	110	
2020	249.0	425	2	60	
2020	Jan	20.0	44	2	60
	Feb	20.0	35	2	70
	Mar	22.0	37	2	10
	Apr	19.0	0	0	10
	May	20.0	40	2	30
	Jun	21.0	60	3	30
	Jul	22.0	104	5	54
	Aug	21.0	1	0	25
	Sep	22.0	11	1	12
	Oct	19.0	4	0	11
	Nov	21.0	9	0	10
	Dec	22.0	80	4	60
2020	Highest		32		110
	(date)		(08/01)		(02/01)

* Trading in RMB Currency Futures – EUR/CNH Futures commenced on 30 May 2016.

RMB Currency Futures – JPY/CNH Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016 *	149.0	390	3	6	
2017	247.0	485	2	51	
2018	246.0	2,291	9	160	
2019	246.0	4,689	19	190	
2020	249.0	265	1	0	
2020	Jan	20.0	43	2	130
	Feb	20.0	64	3	150
	Mar	22.0	73	3	31
	Apr	19.0	31	2	30
	May	20.0	0	0	20
	Jun	21.0	38	2	0
	Jul	22.0	8	0	0
	Aug	21.0	0	0	0
	Sep	22.0	3	0	3
	Oct	19.0	5	0	0
	Nov	21.0	0	0	0
	Dec	22.0	0	0	0
2020	Highest		60		200
	(date)		(13/02)		(13/01)

* Trading in RMB Currency Futures – JPY/CNH Futures commenced on 30 May 2016.

RMB Currency Futures – AUD/CNH Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016 *	149.0	88	1	8	
2017	247.0	409	2	26	
2018	246.0	1,304	5	9	
2019	246.0	8,277	34	116	
2020	249.0	669	3	0	
2020	Jan	20.0	37	2	117
	Feb	20.0	97	5	107
	Mar	22.0	186	8	71
	Apr	19.0	109	6	101
	May	20.0	164	8	43
	Jun	21.0	63	3	37
	Jul	22.0	8	0	30
	Aug	21.0	5	0	20
	Sep	22.0	0	0	0
	Oct	19.0	0	0	0
	Nov	21.0	0	0	0
	Dec	22.0	0	0	0
2020	Highest (date)		60 (09/03)		167 (10/03)

* Trading in RMB Currency Futures – AUD/CNH Futures commenced on 30 May 2016.

RMB Currency Futures – CNH/USD Futures – trading statistics, 2016-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2016 *	149.0	4,867	33	1,494	
2017	247.0	11,939	48	591	
2018	246.0	12,214	50	712	
2019	246.0	11,759	48	1,024	
2020	249.0	2,231	9	92	
2020	Jan	20.0	161	8	1,060
	Feb	20.0	128	6	1,109
	Mar	22.0	275	13	1,017
	Apr	19.0	93	5	976
	May	20.0	922	46	764
	Jun	21.0	172	8	196
	Jul	22.0	92	4	196
	Aug	21.0	46	2	191
	Sep	22.0	84	4	156
	Oct	19.0	88	5	153
	Nov	21.0	98	5	162
	Dec	22.0	72	3	92
2020	Highest (date)		802 (18/05)		1,114 (03/03)

* Trading in RMB Currency Futures – CNH/USD Futures commenced on 30 May 2016.

INR Currency Futures – INR/USD Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2019*	40.0	11,130	278	175	
2020	249.0	169,336	680	237	
2020	Jan	20.0	10,956	548	865
	Feb	20.0	20,063	1,003	580
	Mar	22.0	26,898	1,223	266
	Apr	19.0	8,549	450	148
	May	20.0	7,644	382	77
	Jun	21.0	13,485	642	285
	Jul	22.0	18,504	841	390
	Aug	21.0	12,987	618	287
	Sep	22.0	23,605	1,073	540
	Oct	19.0	9,953	524	606
	Nov	21.0	8,355	398	219
	Dec	22.0	8,337	379	237
2020	Highest (date)		5,035 (02/03)		2,025 (10/03)

* Trading in INR Currency Futures – INR/USD Futures commenced on 4 Nov 2019.

INR Currency Futures – INR/CNH Futures – trading statistics, 2019-2020

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2019*	40.0	6	0	0	
2020	249.0	0	0	0	
2020	Jan	20.0	0	0	0
	Feb	20.0	0	0	0
	Mar	22.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	22.0	0	0	0
	Oct	19.0	0	0	0
	Nov	21.0	0	0	0
	Dec	22.0	0	0	0
2020	Highest (date)		0 -		0 -

* Trading in INR Currency Futures – INR/CNH Futures commenced on 4 Nov 2019.

Record high of futures and options products (up to 31 December 2020)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
Hang Seng Index Futures	422,450	2018 10 26	206,082	2017 06 27
Mini Hang Seng Index Futures	298,812	2020 03 19	22,321	2018 10 26
HSI (Gross Total Return Index) Futures	27	2020 06 11	49	2020 02 26
HSI (Net Total Return Index) Futures	29	2019 05 29	51	2020 02 26
Hang Seng China Enterprises Index Futures	477,061	2019 02 25	635,051	2018 12 27
Mini-Hang Seng China Enterprises Index Futures	76,471	2018 02 06	16,436	2014 12 11
HSCEI (Gross Total Return Index) Futures	14	2020 04 29	52	2020 06 22
HSCEI (Net Total Return Index) Futures	10	2019 10 30	10	2019 11 28
HSI Dividend Point Index Futures	3,900	2020 08 14	13,902	2017 12 28
HSCEI Dividend Point Index Futures	27,501	2017 02 14	184,626	2016 12 13
HSI Volatility Index Futures	105	2015 05 29	140	2012 05 16
Hang Seng TECH Index Futures	7,610	2020 12 28	6,146	2020 12 28
Hang Seng Index Options	133,419	2019 08 26	594,941	2017 11 28
Mini Hang Seng Index Options	36,928	2019 08 26	66,588	2019 08 28
Weekly Hang Seng Index Options	17,027	2020 02 06	13,274	2020 11 06
Flexible Hang Seng Index Options	4,730	2013 01 08	17,878	2012 11 02
Hang Seng China Enterprises Index Options	232,994	2018 02 09	3,749,790	2018 11 28
Mini-Hang Seng China Enterprises Index Options	11,728	2018 02 09	34,635	2019 09 26
Weekly Hang Seng China Enterprises Index Options	7,166	2020 01 30	12,097	2020 12 30
Flexible Hang Seng China Enterprises Index Options	5,770	2011 09 26	44,480	2015 06 26
MSCI AC Asia ex Japan NTR Index Futures	56,378	2019 12 16	46,698	2019 09 17
MSCI Australia Net Total Return (USD) Index Futures	30	2020 07 31	45	2020 09 18
MSCI China Free (USD) Index Futures	10	2020 10 27	10	2020 12 30
MSCI China Free Net Total Return (USD) Index Futures	6,123	2020 09 16	8,297	2020 12 18
MSCI EM Asia ex China Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI EM Asia ex Korea Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI EM Asia Net Total Return (USD) Index Futures	28,242	2020 10 21	28,512	2020 11 10
MSCI EM EMEA Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI EM ex China Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI EM ex Korea Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI EM LatAm Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Emerging Markets (USD) Index Futures	200	2020 08 04	200	2020 08 10
MSCI Emerging Markets Net Total Return (USD) Index Futures	40	2020 11 05	40	2020 12 18
MSCI Hong Kong Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI India (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI India Net Total Return (USD) Index Futures	3,939	2020 12 08	4,551	2020 12 18
MSCI Indonesia (USD) Index Futures	120	2020 08 31	174	2020 09 09
MSCI Indonesia Net Total Return (USD) Index Futures	12,700	2020 12 10	13,668	2020 12 15
MSCI Japan (JPY) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Japan Net Total Return (JPY) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Japan Net Total Return (USD) Index Futures	10,600	2020 12 17	10,748	2020 12 31
MSCI Malaysia (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Malaysia Net Total Return (USD) Index Futures	1,607	2020 12 14	2,197	2020 12 15
MSCI New Zealand Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Pacific ex Japan Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Pacific Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Philippines (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Philippines Net Total Return (USD) Index Futures	10	2020 11 26	18	2020 12 18
MSCI Singapore Free (SGD) Index Futures	295	2020 10 14	105	2020 11 24
MSCI Singapore Free Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Singapore Net Total Return (USD) Index Futures	1	2020 10 06	1	2020 12 18
MSCI Taiwan (USD) Index Futures	42,363	2020 11 24	65,267	2020 10 29
MSCI Taiwan 25/50 (USD) Index Futures	722	2020 11 25	580	2020 11 25
MSCI Taiwan 25/50 Net Total Return (USD) Index Futures	1	2020 11 09	1	2020 12 18
MSCI Taiwan Net Total Return (USD) Index Futures	19,207	2020 09 17	22,092	2020 09 18
MSCI Thailand (USD) Index Futures	0	2020 12 31	0	2020 12 31

Record high of futures and options products (up to 31 December 2020) (continued)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
MSCI Thailand Net Total Return (USD) Index Futures	7,123	2020 12 16	8,744	2020 12 18
MSCI Vietnam (USD) Index Futures	0	2020 12 31	0	2020 12 31
MSCI Vietnam Net Total Return (USD) Index Futures	0	2020 12 31	0	2020 12 31
CES China 120 Index Futures	2,086	2013 08 26	1,178	2014 05 27
CES Gaming Top 10 Index Futures	55	2018 01 09	50	2018 01 08
Hang Seng Mainland Oil & Gas Index Futures	911	2019 01 11	593	2019 08 09
Hang Seng Mainland Banks Index Futures	584	2019 08 29	548	2019 01 24
Hang Seng Mainland Healthcare Index Futures	10	2018 06 04	10	2018 06 06
Hang Seng Mainland Properties Index Futures	553	2018 06 26	371	2019 02 14
Hang Seng IT Hardware Index Futures	35	2018 04 17	35	2018 03 13
Hang Seng Software & Services Index Futures	20	2018 03 14	20	2018 03 16
IBOVESPA Futures	5	2012 05 21	5	2012 06 14
MICEX Index Futures	5	2012 05 17	6	2012 06 18
FTSE/JSE Top40 Futures	0	2020 12 31	0	2020 12 31
Stock Futures	27,966	2007 03 28	47,050	2013 03 27
Stock Options	1,545,227	2020 07 06	13,725,731	2018 03 27
3-Month HIBOR Futures ¹	10,514	2002 04 04	80,964	2001 11 15
1-Month HIBOR Futures ²	800	2001 01 09	3,250	2001 04 12
RMB Currency Futures – USD/CNH Futures	24,345	2019 08 05	46,711	2017 01 04
RMB Currency Options – USD/CNH Options	1,529	2018 08 28	10,827	2018 09 14
RMB Currency Futures – EUR/CNH Futures	431	2018 08 16	1,038	2018 09 06
RMB Currency Futures – JPY/CNH Futures	192	2019 05 07	491	2018 09 10
RMB Currency Futures – AUD/CNH Futures	387	2019 05 07	243	2019 06 17
RMB Currency Futures – CNH/USD Futures	1,612	2019 12 09	1,703	2017 01 12
INR Currency Futures – INR/USD Futures	5,035	2020 03 02	2,025	2020 03 10
INR Currency Futures – INR/CNH Futures	6	2019 11 20	0	2020 12 31
USD Gold Futures	5,670	2018 01 11	1,272	2019 12 27
CNH Gold Futures	2,251	2017 10 31	829	2017 10 11
USD Silver Futures	150	2020 07 09	295	2020 07 17
CNH Silver Futures	0	2020 12 31	0	2020 12 31
Iron Ore Futures – Monthly Contracts	4,370	2019 07 31	4,102	2020 05 19
Iron Ore Futures – Quarterly Contracts	15	2017 12 18	19	2018 03 29
CNH London Aluminium Mini Futures	544	2015 10 20	354	2015 02 10
CNH London Zinc Mini Futures	562	2015 12 02	715	2015 12 04
CNH London Copper Mini Futures	770	2014 12 03	1,412	2014 12 11
CNH London Lead Mini Futures	21	2017 08 18	21	2017 11 13
CNH London Nickel Mini Futures	453	2016 07 07	150	2016 01 18
CNH London Tin Mini Futures	6	2016 07 05	9	2016 07 05
USD London Aluminium Mini Futures	689	2020 01 13	581	2020 10 22
USD London Zinc Mini Futures	1,010	2020 09 29	435	2020 10 19
USD London Copper Mini Futures	4,700	2020 10 15	1,550	2020 10 05
USD London Lead Mini Futures	0	2020 12 31	0	2020 12 31
USD London Nickel Mini Futures	568	2020 01 22	317	2020 01 29
USD London Tin Mini Futures	10	2019 08 12	3	2019 12 27

¹ The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002.

² The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002.

Futures of LME commodities – trading volumes, 2020

Futures	Volume (Lot)		YTD Diff
	2019	2020	
AH Aluminium High Grade	66,082,530	62,019,871	-6.1%
AA Aluminium Alloy	61,856	34,024	-45.0%
CA Copper A Grade	35,641,483	32,606,077	-8.5%
PB Lead	11,918,349	11,190,170	-6.1%
NI Primary Nickel	24,546,323	17,583,717	-28.4%
SN Tin	1,334,196	1,242,544	-6.9%
ZS Special High Grade Zinc	29,803,503	23,542,072	-21.0%
NA North American Special Aluminium Alloy	217,531	65,093	-70.1%
MX LME	-	-	-
FM Steel Mediterranean Billet	-	-	-
CO Cobalt	9,595	1,331	-86.1%
MO Molybdenum	-	-	-
MC LMEmini Copper A Grade	-	-	-
MA LMEmini Primary Aluminium	-	-	-
MZ LMEmini Special High Grade Zinc	-	-	-
OA Primary Aluminium Monthly Average Future	25,173	12,282	-51.2%
OL Aluminium Alloy Monthly Average Future	-	-	-
OC Copper Monthly Average Future	28,054	32,342	15.3%
OP Lead Monthly Average Future	819	1,341	63.7%
ON Nickel Monthly Average Future	113	140	23.9%
OS Tin Monthly Average Future	23	98	326.1%
OZ Zinc Monthly Average Future	14,459	20,897	44.5%
OM NASAAC Monthly Average Future	-	-	-
XG OTC Silver	-	-	-
AG Silver	70,800	6,945	-90.2%
XU OTC Gold	-	-	-
AE Aluminium Premium Future East Asia	-	-	-
AN Aluminium Premium Future Extended US	-	-	-
AS Aluminium Premium Future South East Asia	-	-	-
AW Aluminium Premium Future Western Europe	-	-	-
SC Steel Scrap	324,550	280,599	-13.5%
SR Steel Rebar	60,250	61,569	2.2%
AU Gold	269,933	1,926	-99.3%
HU LME Steel HRC N. America (Platts)	28,315	26,999	-4.6%
HE LME Steel HRC N. Europe (Platts)	-	-	-
HC LME Steel HRC FOB China (Argus)	45,884	104,714	128.2%
UP LME Aluminium Premium Duty Paid US Midwest (Platts)	939	368	-60.8%
AM LME Alumina (CRU/Fastmarkets MB)	920	160	-82.6%
EA LME Aluminium Premium Duty Unpaid European (Fastmarkets MB)	-	-	-
MD LME Molybdenum (Platts)	-	-	-
CB LME Cobalt (Fastmarkets MB)	-	-	-
Total	170,485,598	148,835,279	-12.7%

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC

6 tonnes: Nickel

5 tonnes: Tin

65 tonnes: Steel

25 tonnes: all other metals

5 tonnes: LMEmini Copper, Aluminium and Zinc

10 tonnes: Steel scrap and rebar

Options of LME commodities – trading volumes, 2020

Options	Volume (Lot)		YTD Diff
	2019	2020	
AH Aluminium High Grade	2,018,103	2,449,337	21.4%
AA Aluminium Alloy	-	-	-
CA Copper A Grade	1,424,598	1,765,400	23.9%
PB Lead	332,044	221,948	-33.2%
NI Primary Nickel	718,052	554,216	-22.8%
SN Tin	560	2	-99.6%
ZS Special High Grade Zinc	1,117,435	1,006,930	-9.9%
NA North American Special Aluminium Alloy	60	-	-100.0%
Total	5,610,852	5,997,833	6.9%

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
 6 tonnes: Nickel
 5 tonnes: Tin
 65 tonnes: Steel
 25 tonnes: all other metals
 5 tonnes: LMEmini Copper, Aluminium and Zinc
 10 tonnes: Steel scrap and rebar

TAPOs of LME commodities – trading volumes, 2020

TAPOs	Volume (Lot)		YTD Diff
	2019	2020	
AH Aluminium High Grade	73,390	51,442	-29.9%
AA Aluminium Alloy	-	-	-
CA Copper A Grade	25,367	20,348	-19.8%
PB Lead	13,630	805	-94.1%
NI Primary Nickel	14,176	2,096	-85.2%
SN Tin	60	-	-100.0%
ZS Special High Grade Zinc	8,296	7,412	-10.7%
NA North American Special Aluminium Alloy	-	-	-
Total	134,919	82,103	-39.1%

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
 6 tonnes: Nickel
 5 tonnes: Tin
 65 tonnes: Steel
 25 tonnes: all other metals
 5 tonnes: LMEmini Copper, Aluminium and Zinc
 10 tonnes: Steel scrap and rebar

Futures and options of LME commodities – trading volumes, 2020

Futures, Options and TAPOs	Volume (Lot)		YTD Diff
	2019	2020	
AH Aluminium High Grade	68,174,023	64,520,650	-5.4%
AA Aluminium Alloy	61,856	34,024	-45.0%
CA Copper A Grade	37,091,448	34,391,825	-7.3%
PB Lead	12,264,023	11,412,923	-6.9%
NI Primary Nickel	25,278,551	18,140,029	-28.2%
SN Tin	1,334,816	1,242,546	-6.9%
ZS Special High Grade Zinc	30,929,234	24,556,414	-20.6%
NA North American Special Aluminium Alloy	217,591	65,093	-70.1%
MX LME X	-	-	-
FM Steel Mediterranean Billet	-	-	-
CO Cobalt	9,595	1,331	-86.1%
MO Molybdenum	-	-	-
MC LMEmini Copper A Grade	-	-	-
MA LMEmini Primary Aluminium	-	-	-
MZ LMEmini Special High Grade Zinc	-	-	-
OA Primary Aluminium Monthly Average Future	25,173	12,282	-51.2%
OL Aluminium Alloy Monthly Average Future	-	-	-
OC Copper Monthly Average Future	28,054	32,342	15.3%
OP Lead Monthly Average Future	819	1,341	63.7%
ON Nickel Monthly Average Future	113	140	23.9%
OS Tin Monthly Average Future	23	98	326.1%
OZ Zinc Monthly Average Future	14,459	20,897	44.5%
OM NASAAC Monthly Average Future	-	-	-
XG OTC Silver	-	-	-
AG Silver	70,800	6,945	-90.2%
XU OTC Gold	-	-	-
AE Aluminium Premium Future East Asia	-	-	-
AN Aluminium Premium Future Extended US	-	-	-
AS Aluminium Premium Future South East Asia	-	-	-
AW Aluminium Premium Future Western Europe	-	-	-
SC Steel Scrap	324,550	280,599	-13.5%
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AU Gold	269,933	1,926	-99.3%
HU LME Steel HRC N. America (Platts)	28,315	26,999	-4.6%
HE LME Steel HRC N. Europe (Platts)	-	-	-
HC LME Steel HRC FOB China (Argus)	45,884	104,714	128.2%
UP LME Aluminium Premium Duty Paid US Midwest (Platts)	939	368	-60.8%
AM LME Alumina (CRU/Fastmarkets MB)	920	160	-82.6%
EA LME Aluminium Premium Duty Unpaid European (Fastmarkets MB)	-	-	-
MD LME Molybdenum (Platts)	-	-	-
CB LME Cobalt (Fastmarkets MB)	-	-	-
Grand Totals	176,231,369	154,915,215	-12.1%

Sizes of lots:

- 20 tonnes: Aluminium Alloy and NASAAC
- 6 tonnes: Nickel
- 5 tonnes: Tin
- 65 tonnes: Steel
- 25 tonnes: all other metals
- 5 tonnes: LMEmini Copper, Aluminium and Zinc
- 10 tonnes: Steel scrap and rebar

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year/month	No. of CCASS trades	% of Exchange trades	No. of shares (mil)	% of market turnover	Value of trades (HK\$mil)	% of market turnover
HKD						
2016	1,080,339	100.00	186,165	100.00	66,820	100.00
2017	1,239,552	100.00	180,007	100.00	87,974	100.00
2018	1,596,128	100.00	226,201	100.00	107,157	99.99
2019	1,452,146	100.00	244,700	100.00	86,833	99.98
2020	1,960,672	100.00	220,620	100.00	129,092	99.99
2020 Jan	1,532,051	100.00	209,308	100.00	103,599	99.98
Feb	1,727,013	100.00	239,706	100.00	114,776	99.99
Mar	2,287,164	100.00	164,905	100.00	141,190	99.99
Apr	1,716,519	100.00	183,955	100.00	100,173	99.99
May	1,787,205	100.00	201,655	100.00	114,387	99.98
Jun	1,892,678	100.00	222,913	100.00	125,248	100.00
Jul	2,387,914	100.00	235,515	100.00	164,645	99.99
Aug	2,105,862	100.00	251,681	100.00	134,763	99.99
Sep	1,873,089	100.00	264,659	100.00	122,301	99.99
Oct	1,847,047	100.00	256,998	100.00	117,233	99.99
Nov	2,230,143	100.00	231,942	100.00	160,838	100.00
Dec	2,027,209	100.00	186,827	100.00	140,426	99.99
			(Thousand)	(US\$ Thousand)		
USD						
2016	20	77.44	111	47.85	475	29.28
2017	25	32.32	164	17.95	1,073	2.53
2018	43	32.78	90	10.77	415	0.79
2019	94	37.96	178	11.57	2,152	1.83
2020	860	83.91	932	37.63	7,551	5.74
2020 Jan	695	73.18	863	38.51	6,641	8.23
Feb	1064	91.04	1,002	47.99	8,436	7.90
Mar	548	80.71	599	28.97	5,017	3.50
Apr	413	75.91	383	21.69	3,069	2.48
May	521	78.67	463	26.54	4,267	3.62
Jun	712	83.82	1,117	40.66	7,043	5.11
Jul	1371	90.00	1,117	44.01	11,563	8.42
Aug	1344	88.42	1,174	39.18	10,359	6.17
Sep	700	82.46	617	31.24	5,361	3.89
Oct	677	83.47	1,050	40.33	6,994	4.84
Nov	1148	87.57	1,532	43.43	10,735	5.81
Dec	1031	90.10	1223	47.76	10,358	8.55
			(Thousand)	(RMB Thousand)		
RMB						
2016	658	99.95	5,930	99.73	47,090	97.09
2017	568	99.48	5,024	99.73	37,283	85.43
2018	600	99.88	5,598	99.40	46,000	95.14
2019	607	99.85	4,970	99.17	45,961	94.49
2020	693	99.87	6,166	98.81	61,396	95.70
2020 Jan	661	99.91	6,213	99.70	60,542	98.05
Feb	1,316	99.99	9,234	99.98	90,870	99.82
Mar	1,700	99.98	15,383	99.91	103,324	98.83
Apr	583	99.83	5,014	99.55	34,391	96.38
May	418	99.89	3,535	99.56	28,269	95.72
Jun	398	99.75	3,563	91.33	39,941	84.00
Jul	830	99.95	8,774	99.52	111,626	97.34
Aug	487	99.75	4,653	98.87	51,886	93.04
Sep	644	99.84	7,549	99.44	69,292	95.70
Oct	370	99.90	3,113	99.80	37,271	99.01
Nov	437	99.87	3,021	99.30	46,794	96.80
Dec	391	99.74	2,944	99.04	51,993	94.43

Average Daily Settlement Instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year/month	Number of SIs	SIs by shares (mil)	SIs by value (HK\$mil)
HKD			
2016	83,047	46,735	181,400
2017	92,287	47,970	220,093
2018	102,842	50,241	265,689
2019	94,758	44,722	237,629
2020	114,642	48,556	344,977
2020 Jan	105,115	50,519	324,489
Feb	104,167	55,053	414,743
Mar	126,367	57,883	375,017
Apr	104,425	39,737	259,509
May	104,127	41,884	285,235
Jun	116,704	52,124	364,749
Jul	119,726	53,291	351,828
Aug	111,504	42,575	290,463
Sep	112,929	45,541	344,970
Oct	111,366	39,906	298,758
Nov	124,849	49,842	380,563
Dec	131,144	52,068	435,747
		(Thousand)	(US\$ Thousand)
USD			
2016	64	10,929	38,089
2017	79	10,747	32,469
2018	100	20,313	51,441
2019	108	26,243	78,688
2020	206	25,277	112,296
2020 Jan	151	22,979	98,511
Feb	151	13,970	84,176
Mar	178	16,378	65,918
Apr	152	36,001	76,440
May	152	30,338	72,739
Jun	169	19,297	81,585
Jul	247	45,751	147,058
Aug	219	11,513	78,200
Sep	213	13,496	78,260
Oct	231	24,402	87,320
Nov	292	52,513	362,749
Dec	304	16,671	104,894
		(Thousand)	(RMB Thousand)
RMB			
2016	83	17,912	204,529
2017	93	16,334	143,363
2018	91	16,512	136,718
2019	85	14,344	129,377
2020	100	14,362	225,242
2020 Jan	101	15,007	236,658
Feb	121	23,127	284,980
Mar	144	31,583	293,790
Apr	83	15,802	179,859
May	71	8,695	74,038
Jun	81	9,011	97,044
Jul	129	18,205	404,780
Aug	95	12,170	273,462
Sep	99	13,308	191,793
Oct	89	7,550	153,811
Nov	91	5,964	180,545
Dec	89	10,141	308,068

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year/month	Number of ISIs	ISIs by shares (mil)	ISIs by Value (HK\$mil)
HKD			
2016	276	87	136
2017	336	182	264
2018	304	133	210
2019	232	150	158
2020	279	65	219
2020 Jan	262	116	336
2020 Feb	218	59	230
2020 Mar	344	62	215
2020 Apr	257	71	195
2020 May	261	48	241
2020 Jun	293	107	119
2020 Jul	349	36	122
2020 Aug	269	128	586
2020 Sep	262	48	155
2020 Oct	213	23	87
2020 Nov	300	31	145
2020 Dec	301	54	193
		(Thousand)	(US\$ Thousand)
USD			
2016	0	1	2
2017	0	6	664
2018	0	1	100
2019	0	0	2
2020	0	1	4
2020 Jan	0	0	0
2020 Feb	0	0	0
2020 Mar	0	0	1
2020 Apr	0	0	0
2020 May	0	0	0
2020 Jun	0	0	0
2020 Jul	0	0	0
2020 Aug	0	2	3
2020 Sep	0	3	35
2020 Oct	0	0	0
2020 Nov	0	2	8
2020 Dec	0	0	0
		(Thousand)	(RMB Thousand)
RMB			
2016	1	214	699
2017	1	662	2,077
2018	1	227	758
2019	1	116	437
2020	1	72	197
2020 Jan	1	52	249
2020 Feb	1	40	145
2020 Mar	2	129	315
2020 Apr	1	52	257
2020 May	1	60	136
2020 Jun	0	130	282
2020 Jul	1	153	390
2020 Aug	1	86	179
2020 Sep	1	85	195
2020 Oct	1	29	79
2020 Nov	0	12	36
2020 Dec	0	15	62

Average daily settlement efficiency of CNS stock positions

Year/month	S day	Settlement Efficiency (%)	S + 1 day
HKD			
2016	99.91		99.99
2017	99.91		99.99
2018	99.90		99.99
2019	99.92		99.99
2020	99.91		99.99
2020 Jan	99.93		99.99
2020 Feb	99.90		99.99
2020 Mar	99.76		99.99
2020 Apr	99.92		99.99
2020 May	99.93		99.99
2020 Jun	99.91		99.99
2020 Jul	99.91		99.99
2020 Aug	99.90		99.99
2020 Sep	99.93		99.99
2020 Oct	99.94		99.99
2020 Nov	99.92		99.99
2020 Dec	99.93		99.99
USD			
2016	99.54		100.00
2017	99.38		99.64
2018	98.36		99.21
2019	98.33		99.29
2020	94.53		99.72
2020 Jan	95.15		100.00
2020 Feb	92.23		98.82
2020 Mar	94.98		99.74
2020 Apr	93.70		99.58
2020 May	96.00		99.73
2020 Jun	95.29		99.93
2020 Jul	92.79		99.85
2020 Aug	91.70		99.59
2020 Sep	94.45		99.79
2020 Oct	96.40		99.62
2020 Nov	93.65		99.82
2020 Dec	98.14		100.00
RMB			
2016	99.73		99.94
2017	99.78		99.95
2018	98.84		99.82
2019	91.03		91.29
2020	99.00		99.98
2020 Jan	99.75		100.00
2020 Feb	99.14		99.92
2020 Mar	99.08		99.95
2020 Apr	99.37		99.88
2020 May	99.74		100.00
2020 Jun	94.11		100.00
2020 Jul	94.87		100.00
2020 Aug	99.66		100.00
2020 Sep	99.13		100.00
2020 Oct	100.00		100.00
2020 Nov	99.03		100.00
2020 Dec	99.80		100.00

Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year/month	No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (mil)	Netting efficiency (%)
HKD				
2016	69,913	96.55	25,185	92.94
2017	83,953	96.42	30,220	91.37
2018	89,967	97.01	30,152	93.15
2019	79,752	97.07	25,436	94.54
2020	96,970	97.34	30,669	92.71
2020				
Jan	87,092	96.95	27,988	93.21
Feb	89,399	97.24	29,792	93.69
Mar	90,688	97.84	31,407	89.31
Apr	82,595	97.43	22,484	93.80
May	88,419	97.36	26,824	93.30
Jun	95,517	97.33	29,477	93.23
Jul	111,019	97.52	36,646	92.05
Aug	108,330	97.27	33,779	93.20
Sep	98,364	97.20	30,501	94.17
Oct	97,078	97.19	29,786	94.15
Nov	108,458	97.38	35,557	92.29
Dec	103,354	97.25	32,149	90.78
		(%)	(Thousand)	(%)
USD				
2016	9	49.85	59	16.44
2017	10	57.61	167	26.82
2018	15	62.66	144	15.70
2019	20	71.55	193	23.49
2020	61	93.98	1117	35.27
2020				
Jan	33	92.07	1161	31.53
Feb	49	96.32	804	41.98
Mar	62	92.32	737	30.94
Apr	46	92.08	428	36.51
May	45	93.41	434	44.74
Jun	60	94.05	1467	36.56
Jul	67	96.46	1078	43.72
Aug	67	96.03	1541	32.78
Sep	62	92.85	732	33.99
Oct	69	92.65	1393	30.48
Nov	81	94.92	1905	32.35
Dec	86	94.25	1661	27.89
		(%)	(Thousand)	(%)
RMB				
2016	109	90.46	7,305	33.47
2017	111	88.53	7,200	25.68
2018	122	88.02	7,091	32.70
2019	107	88.99	6,360	33.46
2020	134	86.27	7,420	34.56
2020				
Jan	125	87.90	7,980	30.98
Feb	140	93.92	12,944	27.97
Mar	195	92.56	16,687	43.09
Apr	124	86.25	6,927	27.00
May	110	84.87	4,410	31.95
Jun	120	82.89	4,436	36.71
Jul	169	88.68	9,079	44.99
Aug	135	83.38	4,863	38.24
Sep	126	88.50	9,385	34.21
Oct	116	81.80	3,832	33.62
Nov	129	81.89	3,614	34.75
Dec	115	81.97	4,021	29.11

Average daily netting efficiency of CNS Trades – in money obligations

Year/month	Money obligations arising from novated contracts (HK\$mil)	Money obligations after netting (HK\$mil)	Funds netting efficiency (%)
HKD			
2016	125,157	12,762	89.80
2017	164,752	14,352	91.29
2018	203,196	18,330	90.98
2019	163,886	14,714	91.02
2020	243,406	21,567	91.14
2020 Jan	201,379	15,264	92.42
2020 Feb	215,391	21,013	90.24
2020 Mar	265,886	31,211	88.26
2020 Apr	185,460	15,966	91.39
2020 May	212,936	20,523	90.36
2020 Jun	232,244	19,735	91.50
2020 Jul	310,240	26,081	91.59
2020 Aug	255,094	19,008	92.55
2020 Sep	225,448	18,690	91.71
2020 Oct	218,941	19,360	91.16
2020 Nov	299,386	25,365	91.53
2020 Dec	283,166	24,991	91.17
	(US\$ Thousand)	(US\$ Thousand)	(%)
USD			
2016	477	368	22.94
2017	4,611	819	82.25
2018	731	511	30.00
2019	2,268	1,477	34.87
2020	12,975	5,646	56.48
2020 Jan	11,098	7,358	33.70
2020 Feb	11,832	5,860	50.47
2020 Mar	8,816	4,565	48.21
2020 Apr	5,416	2,477	54.26
2020 May	7,359	2,815	61.76
2020 Jun	12,271	4,952	59.64
2020 Jul	19,807	7,498	62.15
2020 Aug	18,494	9,417	49.08
2020 Sep	9,602	3,806	60.37
2020 Oct	12,452	4,526	63.65
2020 Nov	17,619	5,684	67.74
2020 Dec	20,104	8,479	57.82
	(RMB Thousand)	(RMB Thousand)	(%)
RMB			
2016	92,092	44,420	51.77
2017	72,865	39,415	45.91
2018	88,516	41,763	52.82
2019	91,808	45,064	50.92
2020	123,099	59,454	51.70
2020 Jan	126,799	68,169	46.24
2020 Feb	180,155	105,091	41.67
2020 Mar	201,956	77,749	61.50
2020 Apr	67,741	36,136	46.66
2020 May	55,685	33,375	40.07
2020 Jun	79,309	32,831	58.60
2020 Jul	221,724	81,214	63.37
2020 Aug	102,652	46,883	54.33
2020 Sep	137,417	79,233	42.34
2020 Oct	73,802	30,738	58.35
2020 Nov	92,888	43,939	52.70
2020 Dec	114,901	70,811	38.37

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year/month	No. of transactions	No. of shares (mil)	Value of transactions (HK\$mil)
HKD			
2016	582	3,630	4,418
2017	830	2,898	4,952
2018	753	2,671	5,703
2019	577	1,910	10,477
2020	631	1,925	13,779
2020 Jan	610	1,392	4,355
2020 Feb	389	893	1,620
2020 Mar	547	1,302	5,091
2020 Apr	535	1,231	3,761
2020 May	524	1,434	7,826
2020 Jun	790	2,254	27,296
2020 Jul	936	1,963	16,302
2020 Aug	663	2,275	8,028
2020 Sep	659	2,373	24,049
2020 Oct	625	4,897	10,182
2020 Nov	631	1,340	36,803
2020 Dec	623	2,010	16,908
		(Thousand)	(US\$ Thousand)
USD			
2016	0	1	1
2017	0	1	1
2018	0	5	3
2019	0	7	6
2020	0	2	1
2020 Jan	0	0	0
2020 Feb	0	0	0
2020 Mar	0	1	1
2020 Apr	0	0	0
2020 May	0	0	0
2020 Jun	0	0	0
2020 Jul	0	1	0
2020 Aug	0	0	0
2020 Sep	0	0	0
2020 Oct	0	0	0
2020 Nov	0	0	0
2020 Dec	0	0	0
		(Thousand)	(RMB Thousand)
RMB			
2016	2	1,641	5,446
2017	2	474	1,462
2018	2	769	2,513
2019	2	1,490	4,956
2020	2	154	312
2020 Jan	2	27	90
2020 Feb	1	89	281
2020 Mar	2	120	313
2020 Apr	2	118	267
2020 May	1	427	933
2020 Jun	2	117	254
2020 Jul	2	108	219
2020 Aug	1	136	239
2020 Sep	1	111	168
2020 Oct	2	606	994
2020 Nov	1	53	92
2020 Dec	1	15	28

Securities under CCASS custody (balances at the end of each month)

Year/month	No. of shares (mil)	% of issued shares of admitted securities	Market value (HK\$mil)	% of market capitalisation of admitted securities
All currencies in HKD equivalent				
2016	5,878,886	74.27	15,381,540	56.60
2017	6,404,153	75.30	21,768,651	58.70
2018	6,644,422	76.15	18,815,108	58.78
2019	6,500,575	75.41	22,828,219	56.79
2020	6,869,792	76.84	30,271,541	60.92
2020 Jan	6,525,096	75.06	21,456,056	56.38
Feb	6,547,746	75.11	21,681,874	56.53
Mar	6,659,553	75.14	19,923,762	57.59
Apr	6,729,264	75.81	21,178,675	57.13
May	6,697,130	75.68	20,774,054	58.12
Jun	6,711,336	75.91	22,954,539	57.81
Jul	6,829,564	76.25	24,695,975	58.13
Aug	6,847,320	76.34	26,006,738	57.70
Sep	6,818,966	76.11	25,376,768	58.26
Oct	6,839,685	76.69	26,516,992	58.63
Nov	6,888,420	76.49	28,847,270	59.77
Dec	6,869,792	76.84	30,271,541	60.92

Footnote: No. of shares and market value of securities in non-HKD currency have been included.

	(Thousand)		(US\$ Thousand)	
USD				
2016	41,389	93.10	3,752,899	99.92
2017	171,548	98.39	4,636,148	99.94
2018	225,176	98.25	4,741,879	99.94
2019	90,425	95.90	4,475,349	99.95
2020	462,606	99.18	7,346,135	99.98
2020 Jan	102,376	96.37	4,463,935	99.95
Feb	108,677	96.57	4,536,438	99.97
Mar	116,141	96.81	4,279,266	99.96
Apr	120,164	96.91	4,515,459	99.97
May	149,729	97.50	4,793,201	99.97
Jun	191,869	98.04	5,176,603	99.98
Jul	186,621	97.99	5,280,007	99.98
Aug	216,060	98.26	5,598,762	99.98
Sep	210,269	98.22	5,461,995	99.98
Oct	283,503	98.67	5,720,576	99.98
Nov	446,137	99.15	6,958,494	99.98
Dec	462,606	99.18	7,346,135	99.98

	(Thousand)		(RMB Thousand)	
RMB				
2016	3,476,283	27.42	13,739,195	5.74
2017	3,651,177	25.13	15,395,451	6.65
2018	3,902,416	24.24	15,417,558	6.37
2019	4,342,884	29.07	18,323,210	7.95
2020	4,374,361	29.78	12,287,302	5.72
2020 Jan	4,327,065	28.87	17,403,807	7.77
Feb	4,358,153	29.08	17,804,702	7.95
Mar	4,341,944	28.88	13,485,295	6.40
Apr	4,345,377	29.76	13,911,914	6.68
May	4,396,508	30.18	13,339,751	6.63
Jun	4,391,074	30.31	13,655,102	6.89
Jul	4,369,373	29.89	12,688,337	6.28
Aug	4,377,941	29.95	12,515,317	6.18
Sep	4,380,246	30.04	11,151,452	5.78
Oct	4,400,421	30.00	11,863,371	5.93
Nov	4,387,045	29.97	13,026,948	6.29
Dec	4,374,361	29.78	12,287,302	5.72

Cleared Trade Notional Values for OTC Clearing Hong Kong Limited

Cumulative Cleared Notional Values (in USD)*			
Year/month	Rates Derivatives Contacts		FX Derivatives Contracts
	Interest Rate Swaps	Cross Currency Swaps #	
2016	5,373,600,000	756,000,000	66,000,000
2017	31,200,800,000	13,736,800,000	66,000,000
2018	105,263,600,000	59,297,900,000	106,000,000
2019	236,728,500,000	125,585,500,000	148,100,000
2020	311,185,800,000	197,156,100,000	3,155,500,000
2020 Jan	243,890,200,000	131,397,300,000	148,100,000
Feb	254,078,100,000	134,281,000,000	148,100,000
Mar	262,654,800,000	144,443,100,000	208,100,000
Apr	269,023,300,000	151,855,100,000	268,100,000
May	275,622,000,000	159,915,100,000	288,100,000
Jun	281,414,400,000	166,255,000,000	448,100,000
Jul	284,966,800,000	172,287,600,000	568,100,000
Aug	289,092,800,000	180,715,200,000	708,100,000
Sep	295,763,500,000	187,725,100,000	768,100,000
Oct	300,142,100,000	190,840,900,000	858,100,000
Nov	305,823,800,000	193,633,700,000	1,355,500,000
Dec	311,185,800,000	197,156,100,000	3,155,500,000

* Rounded to nearest USD100,000.

Clearing service for Cross Currency Swap commenced on 15 August 2016.

Distribution of market participants' market shares, 2016 – 2020

Year/Month	Category A (Position 1 to 14)		Category B (Position 15 to 65)		Category C (Position > 65)		Total turnover (HK\$bil) [#]	Average daily turnover (HK\$mil) [#]	
	Range (%)	Total (%)	Range (%)	Total (%)	Range (%)	Total (%)			
2016	7.97 – 2.15	56.55	1.91 – 0.25	32.94	0.24 – 0.00	10.51	16,108.20	65,747.76	
2017	6.41 – 2.30	54.64	1.93 – 0.25	34.88	0.24 – 0.00	10.48	20,812.12	84,602.11	
2018	6.13 – 2.22	55.65	2.00 – 0.26	35.67	0.25 – 0.00	8.68	25,341.11	103,012.64	
2019	6.89 – 2.54	57.97	2.48 – 0.24	34.04	0.22 – 0.00	7.99	20,525.12	83,435.45	
2020	6.18 – 2.28	58.15	2.11 – 0.20	34.50	0.19 – 0.00	7.35	29,779.74	120,079.60	
2020	Jan	6.49 – 2.55	58.17	2.25 – 0.21	34.17	0.20 – 0.00	7.66	1,988.36	99,417.81
	Feb	6.37 – 2.52	58.32	2.20 – 0.22	34.47	0.21 – 0.00	7.21	2,120.20	106,009.83
	Mar	6.61 – 2.34	61.14	2.04 – 0.16	32.57	0.15 – 0.00	6.29	2,877.75	130,806.92
	Apr	7.15 – 2.07	58.94	1.85 – 0.19	34.18	0.19 – 0.00	6.88	1,810.60	95,294.90
	May	6.45 – 1.94	60.09	1.92 – 0.18	33.03	0.18 – 0.00	6.88	2,144.48	107,224.13
	Jun	6.66 – 2.13	58.52	1.93 – 0.20	34.40	0.19 – 0.00	7.08	2,499.02	119,000.96
	Jul	5.57 – 2.40	56.05	2.21 – 0.20	35.90	0.19 – 0.00	8.05	3,268.92	148,587.11
	Aug	6.01 – 2.54	56.89	2.02 – 0.21	35.32	0.21 – 0.00	7.79	2,615.05	124,526.23
	Sep	6.45 – 2.42	58.40	2.36 – 0.18	34.68	0.17 – 0.00	6.92	2,540.69	115,486.02
	Oct	6.57 – 2.33	56.98	2.31 – 0.18	35.63	0.18 – 0.00	7.39	1,973.20	109,622.22
	Nov	6.28 – 2.22	59.13	2.14 – 0.18	34.01	0.17 – 0.00	6.86	3,106.37	147,922.25
	Dec	6.10 – 2.37	59.07	1.95 – 0.18	33.77	0.18 – 0.00	7.16	2,835.10	128,868.06

[#] Includes securities trading and value of shares when stock options were exercised

Note: The market share and turnover data shown in the report above includes all Exchange Participants which had transactions during the period reported but excludes Southbound trading of Special Participants via Stock Connect.

Statistics on Exchange participants

Stock Exchange

Year/quarter	No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants	
2016	608 (1011)	556 (950)	36 (45)	16 (16)	
2017	669 (1066)	622 (1013)	32 (38)	15 (15)	
2018	688 (1084)	640 (1028)	33 (41)	15 (15)	
2019	719 (1110)	658 (1041)	46 (54)	15 (15)	
2020	714 (1099)	635 (1008)	65 (77)	14 (14)	
2020	Q1	719 (1109)	652 (1032)	52 (62)	15 (15)
	Q2	716 (1104)	646 (1022)	56 (68)	14 (14)
	Q3	716 (1102)	639 (1012)	63 (76)	14 (14)
	Q4	714 (1099)	635 (1008)	65 (77)	14 (14)

Futures Exchange

Year/quarter	No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants	
2016	233 (256)	180 (201)	–	53 (55)	
2017	238 (261)	186 (207)	–	52 (54)	
2018	248 (270)	194 (214)	–	54 (56)	
2019	252 (274)	195 (215)	–	57 (59)	
2020	248 (270)	195 (215)	–	53 (55)	
2020	Q1	254 (276)	197 (217)	–	57 (59)
	Q2	253 (275)	197 (217)	–	56 (58)
	Q3	249 (271)	196 (216)	–	53 (55)
	Q4	248 (270)	195 (215)	–	53 (55)

Notes:

- (1) The figures in brackets are the number of trading rights held.
- (2) An Exchange Participant is an individual or corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

HKCC Participants

Year \ quarter	Clearing Participants	General Clearing Participants
2016	152	9
2017	155	9
2018	162	9
2019	160	9
2020	159	9
2020 Q1	162	9
2020 Q2	161	9
2020 Q3	160	9
2020 Q4	159	9

SEOCH Participants

Year \ quarter	Direct Clearing Participants	General Clearing Participants
2016	65	9
2017	62	9
2018	63	9
2019	66	9
2020	66	9
2020 Q1	64	9
2020 Q2	65	9
2020 Q3	65	9
2020 Q4	66	9

HKSCC Participants

Year/quarter	Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2016	533	9	42
2017	596	9	44
2018	616	9	44
2019	638	9	42
2020	634	9	43
2020 Q1	636	9	43
2020 Q2	638	9	43
2020 Q3	634	9	43
2020 Q4	634	9	43

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

OTC Clear Clearing Member Statistics

Year/quarter	Clearing Member
2016	13
2017	20
2018	22
2019	22
2020	24
2020 Q1	23
2020 Q2	23
2020 Q3	24
2020 Q4	24

Hong Kong Securities and Derivatives Markets Historical Events

- 1891 “The Association of Stockbrokers in Hong Kong”, the first formal stock exchange in Hong Kong was formed.
- 1914 The name of the Association of Stockbrokers in Hong Kong was changed to “The Hong Kong Stock Exchange”.
- 1921 A second stock exchange, “The Hong Kong Stockbrokers’ Association”, was incorporated.
- 1947 The Hong Kong Stock Exchange and the Hong Kong Stockbrokers’ Association were merged to form a joint exchange under the name of “The Hong Kong Stock Exchange Ltd”.
- 1969 Dec The Far East Exchange Ltd commenced business on 17 December.
- 1970 Feb The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
- 1971 Mar The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
- 1972 Jan The Kowloon Stock Exchange Ltd commenced business on 5 January.
- 1973 Jan The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
- A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
- Feb The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
- The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
- 1974 Feb The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
- Apr The Federation of Share Registrars was established.
- Jul The Hong Kong Federation of Stock Exchange was established.
- Aug Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.

- Oct Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.
- 1975 Aug The Securities Commission approved the Code on Takeovers and Mergers.
- Dec The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares – other than on a pro-rata basis to existing shareholders – without the prior approval of shareholders.
- 1976 The Commodities Trading Ordinance was passed by the Legislative Council.
- Aug Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
- Dec On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
- 1977 May Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
- On 9 May, The HKCE commenced the trading of its first commodity product – cotton futures.
- Nov On 15 November, The HKCE commenced trading of sugar futures.
- Dec An Insider Dealing Tribunal was set up.
- 1978 Mar Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
- 1979 Aug A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
- Nov The HKCE commenced trading of soybean futures.
- 1980 Jul The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
- Aug The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
- On 19 August, The HKCE commenced trading of gold futures.
- 1981 Jan The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
- Jul Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
- Oct The first committee members of the SEHK were elected.

- 1982 A Financial Futures Working Party was formed to study the introduction of financial futures.
- 1983 Mar The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.
- 1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
- 1985 May On 7 May, the HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).
- Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.
- The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the SEHK was removed.
- 1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
- The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
- The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
- The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
- Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
- Apr The SEHK commenced trading on 2 April.
- May On 6 May, HKFE commenced the trading of its first financial product Hang Seng Index (HSI) Futures.
- Sep The SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
- Oct The grand opening of the SEHK took place on 6 October.
- 1987 Apr On 8 April, the SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.

- Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.
- The General Committee of the SEHK unanimously resolved that trading on the SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.
- The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.
- The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK\$4 billion of which HK\$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK\$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.
- Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK\$500,000 to HK\$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.
- 1988 Jan The new Management Sub-committee of the SEHK was formed on 2 January to take up the duties of the General Committee.
- Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.
- Jul An amendment to the Articles of Association of the SEHK, which allowed the SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.
- Sep The SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.
- Oct The first 22-member Council of the SEHK was formed on 18 October.
- Nov The first Nominating Committee of the SEHK was formed on 7 November.
- 1989 The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, the HKFE Clearing Corporation Limited (HKCC).
- Feb The All Ordinaries Index was launched by the SEHK on 1 February.
- Mar The SEHK announced the formation of the HKSCC, a company limited by guarantee.
- Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.

- May The new Securities and Futures Commission (SFC) was formally established on 1 May.
- Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
- Oct The Council of the SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
- Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.
- 1990 HKFE introduced its first interest rate futures – futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).
- Feb The minimum brokerage for each securities transaction traded on the SEHK was raised from HK\$25 to HK\$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
- Apr On 2 April, the Council of the SEHK resolved that Council members on the Board of the HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of the HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
- Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
- 1991 HKFE introduced three futures based on sub-indices of the HSI.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
- On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
- Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.
- Nov The Council of the SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total – 18 broker members, 11 lay members and the chief executives of the SEHK and the HKSCC.
- On 25 November, the SFC and the SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the SEHK effective 31 December.
- 1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.

- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.
- May On 11 May, changes made to the Listing Rules of the SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.
- Jun The settlement period for members of the SEHK was changed from T+1 to T+2 on 8 June.
- On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.
- Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.
- The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK\$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK\$2 million.
- On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.
- On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.
- 1993 Mar On 5 March, HKFE introduced its first options product – Hang Seng Index Options.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).
- Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the SEHK signed a Memorandum of Regulatory Co-operation in Beijing.
- Jul Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
- Aug On 16 August, the Special Levy payable on every transaction recorded on the SEHK and the HKFE was suspended.
- Nov On 1 November, the SEHK launched the Automatic Order Matching and Execution System (AMS).
- 1994 Jan On 3 January, the SEHK launched a pilot scheme for regulated short-selling of shares.
- Mar Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.
- Jul With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.

- 1995 Mar On 31 March, HKFE introduced its first single-stock product – stock futures on two stocks.
- Sep On 8 September, the SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
- Nov On 3 November, HKFE introduced its first currency futures – Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.
- 1996 Jan On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.
- 1997 Sep HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.
- Nov On 28 November, the Hong Kong Securities Institute was incorporated.
- 1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
- May On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
- 1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
- Sep HKFE suspended the trading of gold futures, the last exchange-traded commodity product.
- Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
- On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
- 2000 Mar On 6 March, the SEHK, the HKFE and the HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEX). Mr Charles Lee was the first Chairman of HKEX. Mr Kwong Ki-chi was appointed the Chief Executive of HKEX.
- On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
- Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
- May On 31 May, the SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.

- Jun On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system – Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.
- On 27 June, shares of the HKEX were listed by introduction on the SEHK with a stock code of “388”.
- Sep On 15 September, HKEX announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.
- Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEX's iIPO.
- On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.
- 2001 Feb On 23 February, HKEX introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
- Aug On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.
- Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).
- On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.
- 2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from \$1.0 to \$0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from \$0.5 to \$0.1 per contract per side.
- On 25 March, HKEX introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.
- May On 16 May, HKEX introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.
- Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.
- Sep On 16 September, HKMA announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.
- Dec On 9 December, HKEX introduced the second phase of CCASS/3.

- 2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.
- Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.
- May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEX.
- On 26 May, HKEX introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.
- Nov On 17 November, HKEX opened its first Mainland representative office in Beijing.
- Dec On 8 December, HKEX introduced H-shares Index futures.
- 2004 Apr On 6 April, HKEX introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEX markets.
- Jun On 14 June, HKEX introduced H-shares Index options.
- 2005 May On 23 May, HKEX introduced FTSE/Xinhua China 25 Index futures and options.
- Oct On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds \$1.4 billion, and subsequently reinstated when the NAV of the ICF falls below \$1 billion.
- Nov On 2 November, legislation was passed to abolish the estate duty.
- On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on the SEHK.
- 2006 Jan On 16 January, renovated Trading Hall opened for trading.
- Apr On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEX.
- Jun On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
- On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on the SEHK.
- Jul On 3 July, HKEX introduced Bank of China Ltd Futures and Options.

- On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
- Oct On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US\$19.1 billion) in world stock market history and the funds raised by H shares was also an all-time high.
- Dec On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.
- 2007 Jan On 1 January, the Securities & Futures Commission's (SFC's) Guidelines for Sponsors and Compliance Advisers became effective, marking the launch of a new sponsor regulatory regime.
- Mar On 12 March, the first batch of 19 derivative warrants on the iShares FTSE/Xinhua A50 China tracker were listed on HKEX. This was the first time that derivative warrants were issued on Mainland A-share assets.
- Apr On 10 April, the SFC entered into a Memorandum of Understanding (MOU) with the China Banking Regulatory Commission (CBRC) for cooperation and information sharing with respect to Hong Kong licensed intermediaries who provide services to Mainland commercial banks conducting overseas wealth management business on behalf of their Mainland clients. This served as regulatory support for the Mainland Qualified Domestic Institutional Investors (QDII) business (banking side) in Hong Kong.
- Jun On 25 June, Phase 1 of the HKEX Electronic Disclosure Project commenced and lasted for 6 months. Under this Phase, it is optional for a Main Board-listed issuer to make a paid newspaper announcement as long as it publishes a notification in newspapers about the full announcement posted on its own website and the HKEX website. Those without their own websites must publish the full announcements in newspapers as well as on the HKEX website.
- Dec On 11 December, the Hong Kong Monetary Authority (HKMA) launched the electronic trading platform (ETP) for Exchange Fund Bills and Notes (EFBNs). The ETP provides greater flexibility to facilitate trading in EFBNs.
- On 25 December, Phase 2 of the HKEX Electronic Disclosure Project commenced. Under Phase 2, Main Board issuers publishing announcements on their own websites are no longer required to publish notifications in newspapers. After 6 months, all issuers must have their own websites on which they must publish their announcements.
- 2008 Feb On 4 February, the HKEXnews.com.hk website was launched. HKEXnews is a dedicated website which mainly disseminates issuer information generated directly by the listed issuers and/or their major shareholders and directors as well as regulatory information generated by HKEX about listed companies.
- Mar On 31 March, HKEX launched the Mini-Hang Seng China Enterprises Index (Mini H-shares Index) futures.
- Apr On 7 April, HKEX introduced 5-digit stock codes in its securities market.

- May On 26 May, the closing auction session for HKEX's securities market commenced. The closing prices of securities are determined by an auction mechanism similar to that already in place in the pre-opening session. The trading hours of the securities market on a normal trading day as well as a half-day trading day are extended by 10 minutes.
- Jul On 1 July, the revised Listing Rules of the Growth Enterprise Market (GEM) and the Main Board of HKEX relating to the development of GEM became effective. GEM was re-positioned as a second board and a stepping stone towards the Main Board. To encourage listing transfers from GEM to the Main Board, the transfer process was streamlined and the initial listing fees for such transfers were halved.
- On 31 July, the first gold Exchange Traded Fund (ETF) commenced trading on the Main Board of HKEX.
- Oct On 20 October, HKEX reintroduced trading in gold futures on its Hong Kong Futures Exchange (HKFE) which had formerly listed the product in the 1990s.
- 2009 Mar On 23 March, in light of the price volatility during the Closing Auction Session (CAS) since its introduction on 26 May 2008, the CAS in the securities market was suspended to maintain public confidence in the orderliness, fairness and transparency of the market.
- Apr On 1 April, the Listing Rules amendments on the revised blackout period for share trading by listed company directors came into effect. The blackout period before the announcement of annual results was extended to 60 days instead of the originally proposed 4 months. The blackout period before interim results announcement was not extended.
- Nov On 18 November, the first 4 A-share industry sector ETFs in Hong Kong were listed on the SEHK.
- Dec On 18 December, HKEX published the consultation conclusions on accelerating rights issues and open offers. The minimum notice period for book closure for rights issues would be reduced to six business days and that for open offers would remain unchanged.
- On 29 December, HKEX published its consultation conclusions on CER Futures in which HKEX considers that there is no imminent need for a CER futures market in Hong Kong.
- 2010 Apr On 26 April, Hong Kong Securities Clearing Co Ltd (HKSCC) admitted over-the-counter (OTC) structured products authorised by the SFC into the Central Clearing and Settlement System (CCASS) of HKEX's securities market, thereby broadening asset classes in its eligible securities.
- Jun On 3 June, new Listing Rules for mineral companies came into effect to ensure the provision of material, relevant and reliable information about these companies to investors, and to align with global standards.
- Jul On 19 July, the People's Bank of China (PBOC) and the HKMA signed a supplementary Memorandum of Co-operation on the expansion of the RMB trade settlement scheme. On the same day, the PBOC and Bank of China (Hong Kong) Ltd (the RMB clearing bank in Hong Kong) signed a revised settlement agreement which allows any corporation, including financial institutions, in Hong Kong to open RMB bank accounts. These agreements are major steps towards the launch of RMB investment products in Hong Kong.

- Oct On 1 October, a 25% reduction in the SFC's levy payable in respect of trading in securities, futures and options contracts took effect.
- On 22 October, Asian Development Bank's (ADB) RMB1.2 billion 10-year bond was listed as a selectively marketed security on the SEHK. It was the first RMB-denominated bond listed and traded in RMB on the SEHK.
- On 29 October, AIA Group Ltd was listed on the SEHK. Its IPO, raising HK\$159.1 billion, was the largest in Hong Kong's market history and the third largest in the world. On the same day, options on AIA were introduced for trading in the stock options market.
- Dec On 8 December, the Hong Kong depositary receipts (HDRs) of Vale S.A., a Brazilian mining company, was listed on the SEHK as the first HDR listed in Hong Kong.
- On 15 December, amendments to the Listing Rules came into effect for HKEX to adopt a framework for accepting Mainland accounting and auditing standards and Mainland audit firms for Mainland-incorporated companies listed in Hong Kong. The framework also provides reciprocally for the use of Hong Kong financial reporting standards and Hong Kong audit firms by Hong Kong companies listed in the Mainland.
- 2011 Mar On 7 March, HKEX extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.
- Apr On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.
- May On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).
- Jun On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC.
- On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.
- On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorized funds and investment-linked assurance schemes marketed in Hong Kong.
- Jul On 25 July, HKEX implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS) to reduce the overnight credit risks faced by Hong Kong Securities Clearing Co Ltd (HKSCC) and its participants.
- On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK\$10 billion were listed on the SEHK.

- Aug On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included: (i) introducing Mainland exchange-traded funds (ETFs) on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between Hong Kong and the Mainland; and (iii) the RMB Qualified Foreign Institutional Investor (RQFII) scheme.
- Oct On 24 October, HKEX's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.
- On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.
- Nov On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.
- On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.
- Dec On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).
- On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.
- 2012 Feb On 20 February, HKEX launched the HSI Volatility Index (VHSI) futures.
- Mar On 5 March, HKEX further extended the trading hours of the securities market by 30 minutes, with the lunch break shortened to one hour (12:00-13:00). The trading hours of the derivatives market were adjusted accordingly.
- On 30 March, HKEX and four other founding members of the BRICS Exchange Alliance – BM&FBOVESPSA, MICEX-RTS, BSE Ltd and Johannesburg Stock Exchange (JSE) – began cross-listing of benchmark equity index derivatives on each other's trading platforms.
- Jun On 18 June, after market consultation, the Securities and Futures (Short Position Reporting) Rules took effect. The SFC began to publish on a weekly basis the aggregated short positions of specified shares on 7 September.
- Jul On 17 July, the ChinaAMC CSI 300 Index ETF was listed as the first RQFII A-share ETF on the SEHK, the first physical ETF outside Mainland China and the first A-share ETF outside Mainland China to trade in RMB.
- Aug From 1 August, the HKMA allowed banks in Hong Kong to open RMB accounts for non-Hong Kong residents and offer them a full range of RMB services to further promote the development of offshore RMB businesses in Hong Kong.

On 6 August, HKEX extended the coverage of its RMB Equity Trading Support Facility (TSF) beyond RMB-traded shares to equity-related ETFs and real estate investment trusts (REITs) traded in RMB.

Sep On 17 September, HKEX launched RMB currency futures, the first exchange-traded deliverable RMB futures in the world.

Oct On 12 October, the Harvest MSCI China A Index ETF was listed as the first dual-counter (DC) security on the SEHK, tradable both in RMB and in Hong Kong dollar.

On 22 October, the E Fund HSCEI ETF and ChinaAMC HSI ETF were listed on the Shanghai Stock Exchange (SHSE) and Shenzhen Stock Exchange (SZSE) respectively as the first cross-border (Mainland China and Hong Kong) ETFs in the Mainland market.

On 29 October, the RMB-traded shares of Hopewell Highway Infrastructure Ltd were listed on the SEHK by way of placing – the first RMB-traded equity security outside Mainland China.

On 30 October, China Exchanges Services Company Ltd (CESC), a joint venture (JV) formed by HKEX, SHSE and SZSE for the development of financial products and related services, officially commenced operations. It was formed pursuant to a JV agreement signed in June in the year.

Nov On 5 November, HKEX implemented risk management reform measures for its clearing houses. The measures include: (1) introducing a standard margin system and a Dynamic Guarantee Fund (DGF) at Hong Kong Securities Clearing Co Ltd (HKSCC); and (2) revising certain assumptions in stress testing and collateral assumptions of the HKEX clearing houses.

On 30 November, the SEHK published consultation conclusions on changes to the Listing Rules, effective 1 January 2013, to complement the introduction of a statutory obligation for listed companies to disclose inside information.

Dec On 6 December, HKEX completed its acquisition of the entire issued share capital of LME Holdings Ltd (LMEH) after obtaining approval from LMEH shareholders in July and lately from the UK Financial Services Authority (FSA).

On 19 December, the H shares of China International Marine Containers (Group) Ltd were listed on the SEHK as the first case in which Mainland-listed B shares are converted into H shares to list in Hong Kong.

2013 Apr On 8 April, HKEX launched After-Hours Futures Trading (AHFT, from 5:00 pm to 11:00 pm) for the HSI and the H-shares Index futures.

Aug On 12 August, HKEX launched CES China 120 Index futures, the world's first exchange-listed derivatives product to provide exposure to leading China stocks from the Mainland and Hong Kong markets through a single exchange-traded futures contract.

Nov On 25 November, OTC Clearing Hong Kong Ltd (OTC Clear) completed its soft launch.

On 25 November, RMB10 billion of sovereign bonds issued by the Ministry of Finance (MOF) were listed on the SEHK, and for the first time the MOF has used the SEHK's facilities for a public offering of its bonds.

- Dec On 27 December, HKEX announced that Hong Kong Securities Clearing Co Ltd (HKSCC) had admitted China Securities Depository and Clearing Corporation Ltd (ChinaClear) as a Clearing Agency Participant to the Central Clearing and Settlement System (CCASS).
- 2014 Jan On 6 January, HKEX launched after-hours trading of Mini Hang Seng Index (Mini HSI) futures and Mini H-shares Index (Mini HHI) futures and extended the Block Trading Facility (BTF) from day session to After-Hours Futures Trading (AHFT) session.
- Mar On 3 March, amendments to the SEHK Listing Rules on calculation of annual listing fees for Hong Kong-incorporated issuers came into effect. The amendments were made to align the Listing Rules following the abolition of the concept of nominal (par) value under the new Companies Ordinance.
- On 3 March, HKEX rolled out the Mainland Market Data Hub (MMDH) in Shanghai.
- Apr On 10 April, the China Securities Regulatory Commission (CSRC) and the Hong Kong SFC announced their approval in principle the development of Shanghai-Hong Kong Stock Connect, a pilot programme for establishing mutual stock market access (subject to investment quota) between Shanghai and Hong Kong.
- Jun On 17 June, RHB Asset Management (a Malaysian fund company) launched the RHB-OSK Islamic Regional Balanced Fund, the first actively managed Islamic fund in Hong Kong.
- Sep On 19 September, the first Islamic bond (sukuk) of the Hong Kong Government, which had an issuance size of US\$1 billion (HK\$7.8 billion) and a tenor of five years, was listed on the SEHK.
- Nov On 3 November, the close of AHFT of stock index futures and RMB currency futures has been extended from 11:00 pm to 11:45 pm. The close of the AHFT clearing session was accordingly extended from 11:45 pm to 12:30 am.
- On 17 November, Shanghai-Hong Kong Stock Connect was formally launched.
- On 17 November, the HKMA lifted the currency conversion limits on RMB for transactions conducted by AIs with Hong Kong residents, including the conversion limit of RMB20,000 per person per day.
- Dec On 1 December, HKEX launched London Aluminium Mini Futures (LRA), London Zinc Mini Futures (LRZ) and London Copper Mini Futures (LRC), its first Asia Commodity contracts.
- On 1 December, HKEX launched the New Securities Trading Device (NSTD), a vendor-developed front-end trading device, to replace the legacy AMS Terminals and Multi-Workstation System (MWS).
- 2015 Feb On 13 February, the stamp duty waiver for all exchange traded funds (ETFs) listed in Hong Kong took effect.
- Mar On 2 March, HKEX launched short-selling of eligible Shanghai-listed A shares under Shanghai-Hong Kong Stock Connect.

- On 30 March, HKEX rolled out a significant enhancement to its Central Clearing and Settlement System (CCASS) to facilitate trading of A shares through Shanghai-Hong Kong Stock Connect. Investors can now open Special Segregated Accounts (SPSAs) in CCASS via its Custodian Participants or its General Clearing Participants (GCPs) which are not Exchange Participants (EPs). In addition, the pre-trade checking mechanism based on the SPSA Model became effective on 20 April 2015.
- May On 15 May, CSOP SZSE ChiNext ETF was listed on the SEHK as the first exchange traded fund (ETF) in Hong Kong which tracks stocks listed on ChiNext of the Shenzhen Stock Exchange (SZSE). This ETF is a dual-counter stock available for trading in both HK dollar and renminbi (RMB).
- Jul On 1 July, the scheme of Mainland-Hong Kong Mutual Recognition of Funds was officially launched.
- Dec On 14 December, HKEX introduced London Nickel Mini Futures, London Tin Mini Futures and London Lead Mini Futures contracts for trading in its derivatives market.
- On 18 December, the SFC granted authorisation for the first batch of four Mainland funds under the Mainland-Hong Kong Mutual Recognition of Funds (MRF) initiative for public offering in Hong Kong. At the same time, the CSRC approved the first batch of three Hong Kong funds for public offering on the Mainland market under MRF.
- 2016 May On 9 May, HKEX launched seven sector index futures contracts including the CES Gaming Top 10 Index, Hang Seng Mainland Oil & Gas Index, Hang Seng Mainland Banks Index, Hang Seng Mainland Healthcare Index, Hang Seng Mainland Properties Index, Hang Seng IT Hardware Index and Hang Seng Software & Services Index.
- On 30 May, HKEX introduced cash-settled Euro-Renminbi (RMB), Japanese yen-RMB, Australian dollar-RMB and RMB-US dollar futures, with trading of the RMB-US dollar futures in US dollar and the others in RMB.
- Jun On 13 June, the first L&I Products were listed in Hong Kong including the Samsung KOSPI 200 Daily (2x) Leveraged Product, Samsung KOSPI 200 Daily (-1x) Inverse Product, Samsung TOPIX Daily (2x) Leveraged Product and Samsung TOPIX Daily (-1x) Inverse Product.
- Jul On 25 July, HKEX introduced Closing Auction Session (CAS) for its securities market to allow execution at securities' closing prices. Some of the closing times in HKEX's market were extended as a result of the introduction of the CAS.
- Aug On 22 August, HKEX rolled out its Volatility Control Mechanism (VCM) in its securities market. VCM is a measure designed to protect market integrity by preventing extreme price volatility arising from major trading errors and other unusual incidents.
- Sep On 5 September, HKEX introduced Mini-Hang Seng China Enterprises Index Options or Mini H-shares Index Options, to meet the demand from retail investors and give market participants more flexibility by providing a contract one-fifth the size of the H-shares Index Options contract.
- Dec On 5 December, Shenzhen Connect was launched.

- 2017 Mar On 20 March, HKEX introduced Renminbi (RMB) Currency Options. The US dollar-Offshore RMB (USD/CNH) Options contract became the first currency options traded at HKEX.
- Apr On 10 April, HKEX introduced 5-year China Ministry of Finance Treasury Bond (MOF T-Bond) Futures as a pilot scheme for foreign investors to hedge against interest rate volatility of RMB assets. MOF T-Bond Futures was the offshore markets' first futures on domestic Chinese government bonds. Trading in 5-Year MOF T-Bond Futures was suspended from 8 December 2017.
- Jul On 3 July, Bond Connect was launched, which is a mutual bond market access programme between HKSAR and Mainland China with Northbound trading link initially and Southbound trading link to be added later. The Bond Connect Company Ltd (BCCL) commenced operations on the same day.
- On 10 July, HKEX introduced Offshore Renminbi (CNH) and US dollar (USD) Gold Futures, the first time HKEX launched futures in two currencies. It became the first pair of commodity futures that can be physically delivered in Hong Kong. London Metal Exchange (LME), a wholly-owned subsidiary of HKEX, introduced its own gold futures on the same day. It became the first exchange to offer spot to five-year loco London futures.
- On 24 July, HKEX launched Phase 2 of the Closing Auction Session (CAS) in its securities market. CAS Phase 2 expanded the coverage to include constituents of the Hang Seng Composite SmallCap Index and introduced regulated short-selling orders during the CAS, provided it is at a price not lower than the CAS Reference Price.
- Nov On 6 November, HKEX introduced the first phase of its after-hours trading (AHT) enhancements. The close of the T+1 Session for Hang Seng Index (HSI), Hang Seng China Enterprises Index (HHI), Mini-HSI and Mini-HHI futures was extended from 11:45 pm to 1 am the following day.
- On 13 November, HKEX launched its first ferrous metal product – cash-settled TSI Iron Ore Fines 62% Fe CFR China Futures, or Iron Ore Futures.
- 2018 Feb On 5 February, HKEX introduced its new securities trading system for the Hong Kong market, the Orion Trading Platform – Securities Market (OTP-C), replacing the AMS/3.8 system, an upgraded version of the AMS/3 system rolled out in 2000.
- May On 14 May, HKEX included its three most actively traded equity index options – HSI Options, HSCEI Options and Mini-HSI Options – in the after-hours trading (T+1 Session) of its derivatives (futures and options) market. These are the first options to be available for T+1 Session.
- Jun On 11 June, HKEX introduced MSCI All Country (AC) Asia ex Japan Net Total Return Index (MSCI AxJ) Futures, its first regional stock index futures covering two developed markets (Hong Kong and Singapore) and nine emerging markets (Mainland China, India, Indonesia, Korea, Malaysia, Pakistan, the Philippines, Taiwan and Thailand).
- Jul On 9 July, Xiaomi Corporation became the first company with weighted voting rights (WVR) structure to list in Hong Kong. HKEX introduced stock futures and stock options on its listing day.
- On 18 July, CSOP Hong Kong Dollar Money Market ETF was the first Hong Kong dollar money market exchange traded fund (ETF) listed in Hong Kong.

- Oct On 19 October, Qianhai Mercantile Exchange (QME), the HKEX's commodity spot trading platform in Shenzhen Qianhai in the Mainland, formally commenced business. The first product to trade on the exchange was alumina.
- Nov On 5 November, HKEX introduced the following Total Return Index (TRI) Futures contracts to meet the trading and risk management needs of investors who need tools to replicate the return of HSI/HSCEI on a total return basis: HSI (Gross TRI) Futures; HSI (Net TRI) Futures; HSCEI (Gross TRI) Futures and HSCEI (Net TRI) Futures.
- Dec On 7 December, Ping An Nasdaq 5HANDL ETF became the first multi-asset exchange-traded fund (ETF) listed in Hong Kong.
- 2019 May On 23 May, Hong Kong saw a concurrent bond offering to both retail and institutional investors. The bond was issued by Agricultural Development Bank of China with HKEX's Central Clearing and Settlement System (CCASS) as the only designated subscription channel for the retail tranche.
- On 28 May, the first two-time Inverse Product — the CSOP Hang Seng Index Daily (-2x) Inverse Product — was listed on HKEX.
- Jun On 17 June, HKEX extended its after-hours trading session (T+1 Session) by two hours till 3 a.m.
- On 18 June, the first active Exchange Traded Fund (ETF) — ICBC CICC USD Money Market ETF — was listed on HKEX.
- Jul On 18 July, HKEX launched a new product type being the first in Asia, Inline Warrants.
- Aug On 5 August, HKEX introduced six US dollar-denominated London Metal Mini Futures contracts on aluminum, zinc, copper, nickel, tin and lead.
- Sep On 16 September, HKEX introduced Weekly Hang Seng Index Options and Weekly Hang Seng China Enterprises Index Options contracts.
- Oct On 8 October, the Closing Auction Session (CAS) for the HKEX securities market was expanded to cover all equities and funds. The list of CAS securities now covers all equities (including depository receipts, investment companies, preference shares and stapled securities) and funds including exchange-traded funds (ETFs) and real estate investment trusts (REITs).
- Nov On 4 November, HKEX launched Indian Rupee (INR) Currency Futures. The two new contracts involved are the INR vs US Dollar (INR/USD) Futures and the INR vs Renminbi traded in Hong Kong (INR/CNH) Futures. The latter is the first of its kind globally.
- On 26 November, Alibaba Group was listed on the Main Board of the SEHK, which marked the first secondary listing under the new Listing Regime (Listing Rules Chapter 19C). Stock futures and options on the shares of Alibaba Group Holding Limited were introduced on the same date.

- 2020 Mar On 27 March, the first iron ore futures exchange traded fund (ETF) on HKEX — SSIF DCE Iron Ore Futures Index ETF (3047/9047) — was listed.
- May On 11 May, following a consultation in 2019, HKEX implemented the first phase enhancements of the Volatility Control Mechanism (VCM) in its securities market.
- Jun On 8 June, HKEX introduced the physically settled USD and CNH Silver Futures.
- Jul On 6 July, HKEX launched the first futures contracts as part of its new index licensing agreement with MSCI Inc.. These consisted of 10 MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as Australia, Mainland China, India, Indonesia, Japan, Malaysia, Taiwan and Thailand.
- On 20 July, HKEX launched the second tranche of MSCI futures contracts. These consist of seven MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as Singapore, Vietnam, Hong Kong, Philippines, Indonesia and emerging markets.
- On 27 July, the first Hong Kong-listed A-share Leveraged and Inverse Products (L&I Products) were listed.
- Aug On 3 August, HKEX launched the third tranche of MSCI futures contracts. These consist of eight MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as India, China, Thailand, Malaysia, Philippines, Vietnam, Singapore and New Zealand.
- On 17 August, HKEX launched eight more MSCI futures contracts. These consisted of MSCI index futures contracts denominated in US dollars (USD) tracking net total return in equities in emerging markets and pacific markets.
- Sep On 28 September, HKEX launched two new MSCI Taiwan 25/50 index futures and three non-USD denominated MSCI futures contracts. This completed the current phase of the MSCI futures launch pursuant to HKEX's new index licensing agreement with MSCI Inc.
- Oct On 15 October, Haitong MSCI China A ESG ETF authorised under collective investment scheme by the SFC commenced trading on the SEHK and admitted to the list of Designated Securities eligible for short selling. It was the first ESG China A-shares ETF to provide ESG-focused exposure to China's onshore equity market.
- On 15 October, Samsung S&P High Dividend APAC ex NZ REITs ETF authorised by the SFC under collective investment scheme commenced trading on the Exchange. It was the first REITs ETF listed in Hong Kong.
- On 19 October, following a consultation in 2019, HKEX launched a range of enhancements to the Pre-Opening Session (POS) in its securities market. The enhancements to POS involved adopting relevant auction features from the more-established Closing Auction Session (CAS), with the aim of further improving price discovery and trading liquidity.

On 20 October, Bond Connect Company Limited (BCCL) launched ePrime, an international electronic bond issuance system for book building, pricing, and allocation of offshore securities.

On 23 October, the first batch of two Exchange Traded Funds (ETFs) in Hong Kong and two ETFs in Shenzhen were listed under the Hong Kong-Mainland ETF Cross-listing Scheme, which facilitates cross-listing of ETFs between markets in Hong Kong and Mainland China.

Nov On 23 November, Hang Seng TECH Index Futures were launched on HKEX's derivatives market.

List of listed companies on Main Board

End of 2020

Code	Company name	Incorporation	Listing date
03601	360 Ludashi Holdings Ltd.	Cayman Islands	2019/10/10
01361	361 Degrees International Ltd.	Cayman Islands	2009/06/30
01530	3SBio Inc.	Cayman Islands	2015/06/11
02051	51 Credit Card Inc.	Cayman Islands	2018/07/13
00797	7Road Holdings Ltd.	Cayman Islands	2018/07/18
01737	A & S Group (Holdings) Ltd.	Cayman Islands	2018/03/14
01841	A.Plus Group Holdings Ltd.	Cayman Islands	2016/04/19
00800	A8 New Media Group Ltd.	Cayman Islands	2008/06/12
02018	AAC Technologies Holdings Inc.	Cayman Islands	2005/08/09
02686	AAG Energy Holdings Ltd.	Cayman Islands	2015/06/23
01615	AB Builders Group Ltd.	Cayman Islands	2018/09/10
01627	Able Engineering Holdings Ltd.	Cayman Islands	2017/02/20
01283	Accel Group Holdings Ltd.	Cayman Islands	2019/10/18
00474	Aceso Life Science Group Ltd.	Cayman Islands	2006/06/06
01870	Acme International Holdings Ltd.	Cayman Islands	2019/11/08
09919	Activation Group Holdings Ltd.	Cayman Islands	2020/01/16
02623	Add New Energy Investment Holdings Group Ltd.	Cayman Islands	2012/04/27
01163	Adtiger Corporations Ltd.	Cayman Islands	2020/07/10
00900	Aeon Credit Service (Asia) Co. Ltd.	Hong Kong	1995/09/14
00984	AEON Stores (Hong Kong) Co., Ltd.	Hong Kong	1994/02/04
01757	Affluent Foundation Holdings Ltd.	Cayman Islands	2018/06/07
01466	Affluent Partners Holdings Ltd.	Cayman Islands	2014/10/17
03383	Agile Group Holdings Ltd.	Cayman Islands	2005/12/15
01288	Agricultural Bank of China Ltd. – H Shares	PRC	2010/07/16
01131	Agritrade Resources Ltd.	Bermuda	1997/03/19
01299	AIA Group Ltd.	Hong Kong	2010/10/29
00286	Aidigong Maternal & Child Health Ltd.	Bermuda	1990/09/07
00753	Air China Ltd. – H Shares	PRC	2004/12/15
00538	Ajisen (China) Holdings Ltd.	Cayman Islands	2007/03/30
01789	AK Medical Holdings Ltd.	Cayman Islands	2017/12/20
09926	Akeso, Inc. – B	Cayman Islands	2020/04/24
01639	AKM Industrial Co. Ltd.	Hong Kong	2004/08/18
00328	Alco Holdings Ltd.	Bermuda	1992/11/27
09988	Alibaba Group Holding Ltd. – SW	Cayman Islands	2019/11/26
00241	Alibaba Health Information Technology Ltd.	Bermuda	1972/07/06
01060	Alibaba Pictures Group Ltd.	Bermuda	1994/05/12
03319	A-Living Smart City Services Co., Ltd. – H Shares	PRC	2018/02/09
00684	Allan International Holdings Ltd.	Bermuda	1992/11/10
00373	Allied Group Ltd.	Hong Kong	1973/01/12
00833	Alltronics Holdings Ltd.	Cayman Islands	2005/07/15
00948	Alpha Professional Holdings Ltd.	Bermuda	2010/03/01
09966	Alphamab Oncology – B	Cayman Islands	2019/12/12
02600	Aluminum Corporation of China Ltd. – H Shares	PRC	2001/12/12
01849	AM Group Holdings Ltd.	Cayman Islands	2019/06/26
00033	Amber Hill Financial Holdings Ltd.	Cayman Islands	2007/11/19
00630	AMCO United Holding Ltd.	Bermuda	1996/11/28
00077	AMS Public Transport Holdings Ltd.	Cayman Islands	2004/04/15
02300	AMVIG Holdings Ltd.	Cayman Islands	2004/03/26
01977	Analogue Holdings Ltd.	Bermuda	2019/07/12
01592	Anchorstone Holdings Ltd.	Cayman Islands	2018/07/04
00347	Angang Steel Co. Ltd. – H Shares	PRC	1997/07/24
00914	Anhui Conch Cement Co. Ltd. – H Shares	PRC	1997/10/21
00995	Anhui Expressway Co. Ltd. – H Shares	PRC	1996/11/13
02020	ANTA Sports Products Ltd.	Cayman Islands	2007/07/10
06996	Antengene Corporation Ltd. – B	Cayman Islands	2020/11/20
03337	Anton Oilfield Services Group	Cayman Islands	2007/12/14
00922	Anxian Yuan China Holdings Ltd.	Bermuda	1999/12/21
01370	Aowei Holding Ltd.	Cayman Islands	2013/11/28
03662	Aoyuan Healthy Life Group Co. Ltd.	Cayman Islands	2019/03/18
01496	AP Rentals Holdings Ltd.	Cayman Islands	2016/04/08
01104	APAC Resources Ltd.	Bermuda	1998/12/29
06036	Apex Ace Holding Ltd.	Cayman Islands	2018/03/16
00860	Apollo Future Mobility Group Ltd.	Cayman Islands	2002/09/03
00519	Applied Development Holdings Ltd.	Bermuda	1986/03/24
01045	APT Satellite Holdings Ltd.	Bermuda	1996/12/18
09990	Archosaur Games Inc.	Cayman Islands	2020/07/15
00645	Ares Asia Ltd.	Bermuda	1993/12/22
00565	Art Group Holdings Ltd.	Cayman Islands	2003/09/10
03313	ArtGo Holdings Ltd.	Cayman Islands	2013/12/30
00789	Artini Holdings Ltd.	Bermuda	2008/05/16
01120	Arts Optical International Holdings Ltd.	Bermuda	1996/11/08
06855	Ascentage Pharma Group International – B	Cayman Islands	2019/10/28
01672	Ascletris Pharma Inc. – B	Cayman Islands	2018/08/01
00711	Asia Allied Infrastructure Holdings Ltd.	Bermuda	1993/02/12
00841	Asia Cassava Resources Holdings Ltd.	Cayman Islands	2009/03/23
00743	Asia Cement (China) Holdings Corporation	Cayman Islands	2008/05/20
00104	Asia Commercial Holdings Ltd.	Bermuda	1987/07/29
00351	Asia Energy Logistics Group Ltd.	Hong Kong	1992/08/18
00662	Asia Financial Holdings Ltd.	Bermuda	1990/12/18
00214	Asia Orient Holdings Ltd.	Bermuda	1986/01/06
00767	Asia Pacific Silk Road Investment Co. Ltd.	Bermuda	1995/11/20
00899	Asia Resources Holdings Ltd.	Bermuda	1997/10/24
00292	Asia Standard Hotel Group Ltd.	Bermuda	1988/09/22
00129	Asia Standard International Group Ltd.	Bermuda	1973/01/24

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00679	Asia Tele-Net And Technology Corporation Ltd.	Bermuda	1991/01/31
00707	Asia Television Holdings Ltd.	Cayman Islands	2006/03/30
01675	Asialfo Technologies Ltd.	British Virgin Islands	2018/12/19
00073	Asian Citrus Holdings Ltd.	Bermuda	2009/11/26
01993	Asiaray Media Group Ltd.	Cayman Islands	2015/01/15
00271	Asiasec Properties Ltd.	Hong Kong	1973/02/28
00522	ASM Pacific Technology Ltd.	Cayman Islands	1989/01/03
00105	Associated International Hotels Ltd.	Hong Kong	1985/10/24
01717	Ausnutria Dairy Corporation Ltd.	Cayman Islands	2009/10/08
06118	Austar Lifesciences Ltd.	Cayman Islands	2014/11/07
02031	Ausupreme International Holdings Ltd.	Cayman Islands	2016/09/12
00720	Auto Italia Holdings Ltd.	Bermuda	1991/07/16
00771	Automated Systems Holdings Ltd.	Bermuda	1997/11/05
02080	AUX International Holdings Ltd.	Cayman Islands	2014/01/23
00595	AV Concept Holdings Ltd.	Cayman Islands	1996/04/24
00232	AVIC International Holding (HK) Ltd.	Bermuda	1991/12/12
00260	AVIC Joy Holdings (HK) Ltd.	Hong Kong	n.a.
02357	AviChina Industry & Technology Co. Ltd. – H Shares	PRC	2003/10/30
01780	B & D Strategic Holdings Ltd.	Cayman Islands	2019/04/30
01705	B & S International Holdings Ltd.	Cayman Islands	2018/03/14
01761	BabyTree Group	Cayman Islands	2018/11/27
01397	Baguio Green Group Ltd.	Cayman Islands	2014/05/22
01958	BAIC Motor Corporation Ltd. – H Shares	PRC	2014/12/19
02100	BAIOO Family Interactive Ltd.	Cayman Islands	2014/04/10
02293	Bamboos Health Care Holdings Ltd.	Cayman Islands	2014/07/08
00030	Ban Loong Holdings Ltd.	Bermuda	1991/10/09
03988	Bank of China Ltd. – H Shares	PRC	2006/06/01
01963	Bank of Chongqing Co., Ltd. – H Shares	PRC	2013/11/06
03328	Bank of Communications Co., Ltd. – H Shares	PRC	2005/06/23
00023	Bank of East Asia, Ltd., The	Hong Kong	n.a.
02139	Bank of Gansu Co., Ltd. – H Shares	PRC	2018/01/18
06199	Bank of Guizhou Co., Ltd. – H Shares	PRC	2019/12/30
00416	Bank of Jinzhou Co., Ltd. – H Shares	PRC	2015/12/07
06190	Bank of Jiujiang Co., Ltd. – H Shares	PRC	2018/07/10
03866	Bank of Qingdao Co., Ltd. – H Shares	PRC	2015/12/03
01578	Bank of Tianjin Co., Ltd. – H Shares	PRC	2016/03/30
06196	Bank of Zhengzhou Co., Ltd. – H Shares	PRC	2015/12/23
01121	Baofeng Modern International Holdings Co. Ltd.	Cayman Islands	2011/01/28
02355	Baoye Group Co. Ltd. – H Shares	PRC	2003/06/30
09991	Baozun Inc. – SW	Cayman Islands	2020/09/29
00483	Bauhaus International (Holdings) Ltd.	Cayman Islands	2005/05/12
01338	BaWang International (Group) Holding Ltd.	Cayman Islands	2009/07/03
01194	Bay Area Gold Group Ltd.	Bermuda	2004/10/12
02009	BBMG Corporation – H Shares	PRC	2009/07/29
00863	BC Technology Group Ltd.	Cayman Islands	2012/04/27
00706	Beautiful China Holdings Co. Ltd.	Bermuda	1998/07/22
06160	BeiGene, Ltd.	Cayman Islands	2018/08/08
01329	Beijing Capital Grand Ltd.	Cayman Islands	2012/04/02
00694	Beijing Capital International Airport Co. Ltd. – H Shares	PRC	2000/02/01
02868	Beijing Capital Land Ltd. – H Shares	PRC	2003/06/19
01858	Beijing Chunlizhengda Medical Instruments Co., Ltd. – H Shs	PRC	2015/03/11
06188	Beijing Digital Telecom Co., Ltd. – H Shares	PRC	2014/07/08
00686	Beijing Energy International Holding Co., Ltd.	Bermuda	2000/04/13
01250	Beijing Enterprises Clean Energy Group Ltd.	Cayman Islands	2013/07/05
00154	Beijing Enterprises Environment Group Ltd.	Hong Kong	n.a.
00392	Beijing Enterprises Holdings Ltd.	Hong Kong	1997/05/29
02389	Beijing Enterprises Medical and Health Industry Group Ltd.	Cayman Islands	2002/04/26
03718	Beijing Enterprises Urban Resources Group Ltd.	Cayman Islands	2020/01/15
00371	Beijing Enterprises Water Group Ltd.	Bermuda	1993/04/19
06828	Beijing Gas Blue Sky Holdings Ltd.	Bermuda	2011/07/12
00187	Beijing Jingcheng Machinery Electric Co. Ltd. – H Shares	PRC	1993/08/06
00814	Beijing Jingkelong Co. Ltd. – H Shares	PRC	2008/02/26
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	PRC	2011/12/22
01000	Beijing Media Corporation Ltd. – H Shares	PRC	2004/12/22
00588	Beijing North Star Co. Ltd. – H Shares	PRC	1997/05/14
00925	Beijing Properties (Holdings) Ltd.	Bermuda	1998/01/15
01803	Beijing Sports and Entertainment Industry Group Ltd.	Cayman Islands	2012/01/16
03613	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	Hong Kong	2013/05/07
01599	Beijing Urban Construction Design & Dev. Group Co., Ltd-H Sh	PRC	2014/07/08
02339	BeijingWest Industries International Ltd.	Cayman Islands	2003/10/10
01987	Beng Soon Machinery Holdings Ltd.	Cayman Islands	2019/11/08
01488	Best Food Holding Co. Ltd.	Cayman Islands	2011/06/27
02360	Best Mart 360 Holdings Ltd.	Cayman Islands	2019/01/11
02111	Best Pacific International Holdings Ltd.	Cayman Islands	2014/05/23
03358	Bestway Global Holding Inc.	Cayman Islands	2017/11/16
00926	Besunyen Holdings Co. Ltd.	Cayman Islands	2010/09/29
01775	BExcellent Group Holdings Ltd.	Cayman Islands	2018/07/13
01693	BGMC International Ltd.	Cayman Islands	2017/08/09
01552	BHCC Holding Ltd.	Cayman Islands	2017/09/12
01522	Bill Railway Transportation Technology Holdings Co. Ltd.	Cayman Islands	2012/05/16
02299	Billion Industrial Holdings Ltd.	Cayman Islands	2011/05/18
02886	Binhai Investment Co. Ltd.	Bermuda	2000/03/16
03316	Binjiang Service Group Co. Ltd.	Cayman Islands	2019/03/15
02309	Birmingham Sports Holdings Ltd.	Cayman Islands	2002/11/12

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Code	Company name	Incorporation	Listing date
00888	Bison Finance Group Ltd.	Bermuda	2001/06/28
01372	Bisu Technology Group International Ltd.	Cayman Islands	2013/12/11
00364	Blockchain Group Co. Ltd.	Cayman Islands	2002/08/30
06993	Blue Moon Group Holdings Ltd.	Cayman Islands	2020/12/16
02588	BOC Aviation Ltd.	Singapore	2016/06/01
02388	BOC Hong Kong (Holdings) Ltd.	Hong Kong	2002/07/25
03329	BOCOM International Holdings Co. Ltd.	Hong Kong	2017/05/19
00710	BOE Varitronix Ltd.	Bermuda	1991/07/01
01685	Boer Power Holdings Ltd.	Cayman Islands	2010/10/20
01246	Boill Healthcare Holdings Ltd.	Cayman Islands	2013/10/16
01758	Bojun Education Co. Ltd.	Cayman Islands	2018/07/31
01190	Bolina Holding Co., Ltd.	Cayman Islands	2012/07/13
00653	Bonjour Holdings Ltd.	Cayman Islands	2003/07/16
01906	Bonny International Holding Ltd.	Cayman Islands	2019/04/26
03998	Bosideng International Holdings Ltd.	Cayman Islands	2007/10/11
00592	Bossini International Holdings Ltd.	Bermuda	1993/11/02
00434	Boyaa Interactive International Ltd.	Cayman Islands	2013/11/12
02203	Brainhole Technology Ltd.	Cayman Islands	2015/10/09
01351	Bright Future Technology Holdings Ltd.	Cayman Islands	2020/11/11
01428	Bright Smart Securities & Commodities Group Ltd.	Cayman Islands	2010/08/25
01114	Brilliance China Automotive Holdings Ltd.	Bermuda	1999/10/22
01008	Brilliant Circle Holdings International Ltd.	Cayman Islands	2009/03/30
00159	Brockman Mining Ltd.	Bermuda	1985/07/05
01876	Budweiser Brewing Co. APAC Ltd.	Cayman Islands	2019/09/30
00240	Build King Holdings Ltd.	Bermuda	n.a.
00024	Burwill Holdings Ltd.	Bermuda	1983/09/15
01211	BYD Co. Ltd. – H Shares	PRC	2002/07/31
00285	BYD Electronic (International) Co. Ltd.	Hong Kong	2007/12/20
01224	C C Land Holdings Ltd.	Bermuda	1999/04/30
01486	C Cheng Holdings Ltd.	Cayman Islands	2013/12/20
01908	C&D International Investment Group Ltd.	Cayman Islands	2012/12/14
02156	C&D Property Management Group Co., Ltd.	British Virgin Islands	2020/12/31
00043	C. P. Pokphand Co. Ltd.	Bermuda	1988/04/28
01028	C.banner International Holdings Ltd.	Bermuda	2011/09/23
01566	CA Cultural Technology Group Ltd.	Cayman Islands	2015/03/12
02112	CAA Resources Ltd.	Cayman Islands	2013/07/03
02030	Cabbeen Fashion Ltd.	Cayman Islands	2013/10/28
00341	Cafe de Coral Holdings Ltd.	Bermuda	1986/07/16
02662	Camsing International Holding Ltd.	Cayman Islands	2005/12/14
02169	Canggang Railway Ltd.	Cayman Islands	2020/10/23
06185	CanSino Biologics Inc. – B – H Shares	PRC	2019/03/28
01381	Canvest Environmental Protection Group Co. Ltd.	Cayman Islands	2014/12/29
01075	Capinfo Co. Ltd. – H Shares	PRC	2001/12/21
03989	Capital Environment Holdings Ltd.	Cayman Islands	2006/07/13
00193	Capital Estate Ltd.	Hong Kong	1972/09/11
02324	Capital VC Ltd.	Cayman Islands	2003/10/27
00699	CAR Inc.	Cayman Islands	2014/09/19
00996	Carnival Group International Holdings Ltd.	Bermuda	1994/02/17
00837	Carpenter Tan Holdings Ltd.	Cayman Islands	2009/12/29
00126	Carrianna Group Holdings Co. Ltd.	Bermuda	1991/11/01
00643	Carry Wealth Holdings Ltd.	Bermuda	2000/03/13
02223	Casablanca Group Ltd.	Cayman Islands	2012/11/23
00510	CASH Financial Services Group Ltd.	Bermuda	2008/03/03
01981	Cathay Media and Education Group Inc.	Cayman Islands	2020/07/15
00293	Cathay Pacific Airways Ltd.	Hong Kong	1986/05/15
00138	CCT Fortis Holdings Ltd.	Bermuda	1991/11/11
00759	CEC International Holdings Ltd.	Bermuda	1999/11/15
02228	CECEP COSTIN New Materials Group Ltd.	Cayman Islands	2010/06/21
01520	CEFC Hong Kong Financial Investment Co. Ltd.	Cayman Islands	2013/12/03
01049	Celestial Asia Securities Holdings Ltd.	Bermuda	1994/04/27
01959	Centenary United Holdings Ltd.	Cayman Islands	2019/10/18
09983	Central China New Life Ltd.	Cayman Islands	2020/05/15
00832	Central China Real Estate Ltd.	Cayman Islands	2008/06/06
01375	Central China Securities Co., Ltd. – H Shares	PRC	2014/06/25
00475	Central Development Holdings Ltd.	Cayman Islands	2007/04/17
01735	Central Holding Group Co. Ltd.	Cayman Islands	2018/03/29
00139	Central Wealth Group Holdings Ltd.	Bermuda	1994/03/15
06090	Centurion Corporation Ltd.	Singapore	2017/12/12
00355	Century City International Holdings Ltd.	Bermuda	1981/09/07
00959	Century Entertainment International Holdings Ltd.	Bermuda	1997/10/03
00162	Century Ginwa Retail Holdings Ltd.	Bermuda	2000/10/23
02113	Century Group International Holdings Ltd.	Cayman Islands	2016/10/17
00079	Century Legend (Holdings) Ltd.	Bermuda	1993/07/08
01450	Century Sage Scientific Holdings Ltd.	Cayman Islands	2014/07/07
00509	Century Sunshine Group Holdings Ltd.	Cayman Islands	2004/02/17
01164	CGN Mining Co. Ltd.	Cayman Islands	2003/08/04
01811	CGN New Energy Holdings Co., Ltd.	Bermuda	2014/10/03
01816	CGN Power Co., Ltd. – H Shares	PRC	2014/12/10
01629	Champion Alliance International Holdings Ltd.	Cayman Islands	2016/11/25
00092	Champion Technology Holdings Ltd.	Bermuda	1992/08/19
01292	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	PRC	2006/02/23
03991	Changhong Jiahua Holdings Ltd.	Bermuda	2000/01/24
00954	Changmao Biochemical Engineering Co. Ltd. – H Shares	PRC	2002/06/28
02163	Changsha Broad Homes Industrial Group Co., Ltd. – H Shares	PRC	2019/11/06

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Code	Company name	Incorporation	Listing date
01039	Changyou Alliance Group Ltd.	Cayman Islands	2010/09/24
02017	Chanhigh Holdings Ltd.	Cayman Islands	2017/03/31
01588	Chanjet Information Technology Co. Ltd. – H Shares	PRC	2014/06/26
02115	Channel Micron Holdings Co. Ltd.	Cayman Islands	2020/10/15
00682	Chaoda Modern Agriculture (Holdings) Ltd.	Cayman Islands	2000/12/15
00951	Chaowei Power Holdings Ltd.	Cayman Islands	2010/07/07
02289	Charmacy Pharmaceutical Co., Ltd. – H Shares	PRC	2015/12/14
00057	Chen Hsong Holdings Ltd.	Bermuda	1991/10/22
01593	Chen Lin Education Group Holdings Ltd.	Cayman Islands	2019/12/13
02286	Chen Xing Development Holdings Ltd.	Cayman Islands	2015/07/03
01785	Chengdu Expressway Co., Ltd. – H Shares	PRC	2019/01/15
01202	Chengdu PUTIAN Telecommunications Cable Co. Ltd. – H Shares	PRC	1994/12/13
00131	Cheuk Nang (Holdings) Ltd.	Hong Kong	n.a.
00025	Chevalier International Holdings Ltd.	Bermuda	1984/12/05
09913	Chi Kan Holdings Ltd.	Cayman Islands	2020/08/14
03839	Chia Tai Enterprises International Ltd.	Bermuda	2015/07/03
00449	Chigo Holding Ltd.	Cayman Islands	2009/07/13
00976	Chiho Environmental Group Ltd.	Cayman Islands	2010/07/12
01598	China 21st Century Education Group Ltd.	Cayman Islands	2018/05/29
00031	China Aerospace International Holdings Ltd.	Hong Kong	1981/08/25
00149	China Agri-Products Exchange Ltd.	Bermuda	1973/03/12
01848	China Aircraft Leasing Group Holdings Ltd.	Cayman Islands	2014/07/11
00633	China All Access (Holdings) Ltd.	Cayman Islands	2009/09/16
06898	China Aluminum Cans Holdings Ltd.	Cayman Islands	2013/07/12
02068	China Aluminum International Engineering Corp. Ltd.-H shares	PRC	2012/07/06
03883	China Aoyuan Group Ltd.	Cayman Islands	2007/10/09
02011	China Apex Group Ltd.	Cayman Islands	2011/01/12
01572	China Art Financial Holdings Ltd.	Cayman Islands	2016/11/08
00063	China Asia Valley Group Ltd.	Bermuda	1986/02/26
00526	China Automobile New Retail (Holdings) Ltd.	Bermuda	1995/10/11
00048	China Automotive Interior Decoration Holdings Ltd.	Cayman Islands	2010/09/29
00164	China Baoli Technologies Holdings Ltd.	Bermuda	1973/01/26
00039	China Beidahuang Industry Group Holdings Ltd.	Cayman Islands	2001/01/16
00370	China Best Group Holding Ltd.	Bermuda	1996/03/26
03978	China Beststudy Education Group	Cayman Islands	2018/12/27
00274	China Billion Resources Ltd.	Cayman Islands	2000/12/18
03983	China BlueChemical Ltd. – H Shares	PRC	2006/09/29
09668	China Bohai Bank Co., Ltd. – H Shares	PRC	2020/07/16
02377	China Boqi Environmental (Holding) Co., Ltd.	Cayman Islands	2018/03/16
03318	China Boton Group Co. Ltd.	Cayman Islands	2005/12/09
01069	China Bozza Development Holdings Ltd.	Cayman Islands	2009/10/08
01859	China Bright Culture Group	Cayman Islands	2020/03/13
00217	China Chengtong Development Group Ltd.	Hong Kong	n.a.
02371	China Chuanglian Education Financial Group Ltd.	Cayman Islands	2004/11/18
01969	China Chunlai Education Group Co., Ltd.	Cayman Islands	2018/09/13
01359	China Cinda Asset Management Co., Ltd. – H Shares	PRC	2013/12/12
00998	China CITIC Bank Corporation Ltd. – H Shares	PRC	2007/04/27
02349	China City Infrastructure Group Ltd.	Cayman Islands	2003/06/25
01898	China Coal Energy Co. Ltd. – H Shares	PRC	2006/12/19
01800	China Communications Construction Co. Ltd. – H Shares	PRC	2006/12/15
00552	China Communications Services Corporation Ltd. – H Shares	PRC	2006/12/08
00586	China Conch Venture Holdings Ltd.	Cayman Islands	2013/12/19
00939	China Construction Bank Corporation – H Shares	PRC	2005/10/27
01678	China Creative Global Holdings Ltd.	Cayman Islands	2013/12/20
01798	China Datang Corporation Renewable Power Co., Ltd. -H Shares	PRC	2010/12/17
00661	China Daye Non-Ferrous Metals Mining Ltd.	Bermuda	1990/11/21
01606	China Development Bank Financial Leasing Co., Ltd. – H Shs	PRC	2016/07/11
01062	China Development Bank International Investment Ltd.	Cayman Islands	1994/05/10
01387	China Dili Group	Cayman Islands	2008/10/22
00612	China Ding Yi Feng Holdings Ltd.	Cayman Islands	2002/01/02
00334	China Display Optoelectronics Technology Holdings Ltd.	Bermuda	1997/06/18
03818	China Dongxiang (Group) Co., Ltd.	Cayman Islands	2007/10/10
00871	China Dredging Environment Protection Holdings Ltd.	Cayman Islands	2011/06/20
00476	China Dynamics (Holdings) Ltd.	Bermuda	1996/05/24
00667	China East Education Holdings Ltd.	Cayman Islands	2019/06/12
00670	China Eastern Airlines Corporation Ltd. – H Shares	PRC	1997/02/05
00839	China Education Group Holdings Ltd.	Cayman Islands	2017/12/15
00085	China Electronics Huada Technology Co. Ltd.	Bermuda	1997/07/25
00798	China Electronics Optics Valley Union Holding Co. Ltd.	Cayman Islands	2014/03/28
01185	China Enerjine International (Holdings) Ltd.	Cayman Islands	1997/08/11
00228	China Energy Development Holdings Ltd.	Cayman Islands	2002/02/18
03996	China Energy Engineering Corporation Ltd. – H Shares	PRC	2015/12/10
00986	China Environmental Energy Investment Ltd.	Bermuda	1994/02/02
01130	China Environmental Resources Group Ltd.	Cayman Islands	1997/06/03
01237	China Environmental Technology and Bioenergy Holdings Ltd.	Cayman Islands	2012/07/06
00646	China Environmental Technology Holdings Ltd.	Cayman Islands	2002/03/28
00379	China Ever Grand Financial Leasing Group Co., Ltd.	Cayman Islands	2002/11/13
06818	China Everbright Bank Co. Ltd. – H Shares	PRC	2013/12/20
00257	China Everbright Environment Group Ltd.	Hong Kong	n.a.
01257	China Everbright Greentech Ltd.	Cayman Islands	2017/05/08
00165	China Everbright Ltd.	Hong Kong	1973/02/26
01857	China Everbright Water Ltd.	Bermuda	2019/05/08
03333	China Evergrande Group	Cayman Islands	2009/11/05
00708	China Evergrande New Energy Vehicle Group Ltd.	Hong Kong	2008/02/12

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Code	Company name	Incorporation	Listing date
00802	China e-Wallet Payment Group Ltd.	Bermuda	2009/02/10
06186	China Feihe Ltd.	Cayman Islands	2019/11/13
00875	China Finance Investment Holdings Ltd.	Bermuda	1998/07/03
00721	China Financial International Investments Ltd.	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Cayman Islands	2002/10/28
00605	China Financial Services Holdings Ltd.	Hong Kong	1993/04/07
01269	China First Capital Group Ltd.	Cayman Islands	2011/11/23
00506	China Foods Ltd.	Bermuda	1988/10/07
02399	China Fordoo Holdings Ltd.	Cayman Islands	2014/07/16
00290	China Fortune Financial Group Ltd.	Cayman Islands	2001/05/03
00110	China Fortune Holdings Ltd.	Bermuda	2004/01/26
06881	China Galaxy Securities Co., Ltd. – H Shares	PRC	2013/05/22
00384	China Gas Holdings Ltd.	Bermuda	1995/10/20
01940	China Gas Industry Investment Holdings Co. Ltd.	Cayman Islands	2020/12/29
01191	China Gem Holdings Ltd.	Bermuda	1994/10/26
01851	China Gingko Education Group Co. Ltd.	Cayman Islands	2019/01/18
03300	China Glass Holdings Ltd.	Bermuda	2005/06/23
02099	China Gold International Resources Corp. Ltd.	Canada	2010/12/01
00512	China Grand Pharmaceutical and Healthcare Holdings Ltd.	Bermuda	1995/12/19
00904	China Green (Holdings) Ltd.	Bermuda	2004/01/13
06183	China Greenfresh Group Co., Ltd.	Cayman Islands	2015/06/18
01253	China Greenland Broad Greenstate Group Co. Ltd.	Cayman Islands	2014/07/21
00359	China Haisheng Juice Holdings Co., Ltd.	Cayman Islands	2005/11/04
03788	China Hanking Holdings Ltd.	Cayman Islands	2011/09/30
03836	China Harmony Auto Holding Ltd.	Cayman Islands	2013/06/13
00673	China Health Group Ltd.	Bermuda	1996/10/14
00348	China Healthwise Holdings Ltd.	Cayman Islands	1997/09/30
00591	China High Precision Automation Group Ltd.	Cayman Islands	2009/11/13
00658	China High Speed Transmission Equipment Group Co., Ltd.	Cayman Islands	2007/07/04
01378	China Hongqiao Group Ltd.	Cayman Islands	2011/03/24
00377	China Huajun Group Ltd.	Bermuda	1993/04/19
02799	China Huarong Asset Management Co., Ltd. – H Shares	PRC	2015/10/30
01101	China Huarong Energy Co. Ltd.	Cayman Islands	2010/11/19
01290	China Huirong Financial Holdings Ltd.	Cayman Islands	2013/10/28
01886	China Huiyuan Juice Group Ltd.	Cayman Islands	2007/02/23
06058	China Industrial Securities Int'l Financial Group Ltd.	Cayman Islands	2016/10/20
01719	China Infrastructure & Logistics Group Ltd.	Cayman Islands	2005/09/16
00600	China Infrastructure Investment Ltd.	Cayman Islands	1993/10/05
01217	China Innovation Investment Ltd.	Cayman Islands	2002/08/28
03908	China International Capital Corporation Ltd. – H Shares	PRC	2015/11/09
00264	China International Development Corporation Ltd.	Cayman Islands	2003/03/12
00810	China Internet Investment Finance Holdings Ltd.	Bermuda	2002/02/28
02039	China Int'l Marine Containers (Group) Co., Ltd. – H Shares	PRC	2012/12/19
01226	China Investment and Finance Group Ltd.	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Bermuda	2000/12/12
00132	China Investments Holdings Ltd.	Bermuda	1973/05/18
01763	China Isotope & Radiation Corporation – H Shares	PRC	2018/07/06
01900	China ITS (Holdings) Co., Ltd.	Cayman Islands	2010/07/15
01027	China Jicheng Holdings Ltd.	Cayman Islands	2015/02/13
00817	China Jinmao Holdings Group Ltd.	Hong Kong	2007/08/17
00834	China Kangda Food Co. Ltd.	Bermuda	2008/12/22
01890	China Kepei Education Group Ltd.	Cayman Islands	2019/01/25
01380	China Kingstone Mining Holdings Ltd.	Bermuda	2011/03/18
01586	China Leon Inspection Holding Ltd.	Cayman Islands	2016/07/12
02128	China Lesso Group Holdings Ltd.	Cayman Islands	2010/06/23
02628	China Life Insurance Co. Ltd. – H Shares	PRC	2003/12/18
01234	China Lilang Ltd.	Cayman Islands	2009/09/25
00772	China Literature Ltd.	Cayman Islands	2017/11/08
00931	China LNG Group Ltd.	Cayman Islands	2001/10/24
01589	China Logistics Property Holdings Co., Ltd.	Cayman Islands	2016/07/15
01863	China Longevity Group Co. Ltd.	Cayman Islands	2010/04/29
00916	China Longyuan Power Group Corporation Ltd. – H Shares	PRC	2009/12/10
01371	China LotSynergy Holdings Ltd.	Bermuda	2001/10/26
02023	China Ludao Technology Co. Ltd.	Cayman Islands	2013/10/11
00067	China Lumena New Materials Corp.	Cayman Islands	2009/06/16
01829	China Machinery Engineering Corporation – H Shares	PRC	2012/12/21
01317	China Maple Leaf Educational Systems Ltd.	Cayman Islands	2014/11/28
00383	China Medical & HealthCare Group Ltd.	Bermuda	1991/08/01
00867	China Medical System Holdings Ltd.	Cayman Islands	2010/09/28
01268	China MeiDong Auto Holdings Ltd.	Cayman Islands	2013/12/05
02319	China Mengniu Dairy Co. Ltd.	Cayman Islands	2004/06/10
03968	China Merchants Bank Co., Ltd. – H Shares	PRC	2006/09/22
00133	China Merchants China Direct Investments Ltd.	Hong Kong	1993/07/22
00978	China Merchants Land Ltd.	Cayman Islands	1997/10/16
00144	China Merchants Port Holdings Co. Ltd.	Hong Kong	1992/07/15
06099	China Merchants Securities Co., Ltd. – H Shares	PRC	2016/10/07
01636	China Metal Resources Utilization Ltd.	Cayman Islands	2014/02/21
01988	China Minsheng Banking Corp., Ltd. – H Shares	PRC	2009/11/26
00941	China Mobile Ltd.	Hong Kong	1997/10/23
01117	China Modern Dairy Holdings Ltd.	Cayman Islands	2010/11/26
03993	China Molybdenum Co., Ltd. – H Shares	PRC	2007/04/26
00026	China Motor Bus Co., Ltd.	Hong Kong	n.a.
03323	China National Building Material Co. Ltd. – H Shares	PRC	2006/03/23
00745	China National Culture Group Ltd.	Cayman Islands	2004/10/13

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
01597	China Nature Energy Technology Holdings Ltd.	Cayman Islands	2020/10/20
01321	China New City Commercial Development Ltd.	Cayman Islands	2014/07/10
00080	China New Economy Fund Ltd.	Cayman Islands	2011/01/06
01156	China New Energy Ltd.	Jersey	2020/07/15
02001	China New Higher Education Group Ltd.	Cayman Islands	2017/04/19
01278	China New Town Development Co. Ltd.	British Virgin Islands	2010/10/22
01258	China Nonferrous Mining Corporation Ltd.	Hong Kong	2012/06/29
01011	China NT Pharma Group Co. Ltd.	Cayman Islands	2011/04/20
00611	China Nuclear Energy Technology Corporation Ltd.	Bermuda	1993/02/12
00651	China Ocean Industry Group Ltd.	Bermuda	1998/07/23
00715	China Oceanwide Holdings Ltd.	Bermuda	1991/07/05
00603	China Oil And Gas Group Ltd.	Bermuda	1993/05/28
02883	China Oilfield Services Ltd. – H Shares	PRC	2002/11/20
00581	China Oriental Group Co. Ltd.	Bermuda	2004/03/02
01871	China Oriented International Holdings Ltd.	Cayman Islands	2019/10/24
01146	China Outfitters Holdings Ltd.	Cayman Islands	2011/12/09
00081	China Overseas Grand Oceans Group Ltd.	Hong Kong	1984/04/26
00688	China Overseas Land & Investment Ltd.	Hong Kong	1992/08/20
00464	China Overseas Nuoxin International Holdings Ltd.	Cayman Islands	2005/06/16
02669	China Overseas Property Holdings Ltd.	Cayman Islands	2015/10/23
02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	PRC	2009/12/23
01736	China Parenting Network Holdings Ltd.	Cayman Islands	2015/07/08
01532	China Partytime Culture Holdings Ltd.	Cayman Islands	2015/10/16
03348	China PengFei Group Ltd.	Cayman Islands	2019/11/15
00386	China Petroleum & Chemical Corporation – H Shares	PRC	2000/10/19
01345	China Pioneer Pharma Holdings Ltd.	Cayman Islands	2013/11/05
02380	China Power International Development Ltd.	Hong Kong	2004/10/15
01838	China Properties Group Ltd.	Cayman Islands	2007/02/23
00736	China Properties Investment Holdings Ltd.	Bermuda	1992/09/30
01094	China Public Procurement Ltd.	Bermuda	2002/07/03
01699	China Putian Food Holding Ltd.	Cayman Islands	2012/07/13
00866	China Qinfa Group Ltd.	Cayman Islands	2009/07/03
01186	China Railway Construction Corporation Ltd. – H Shares	PRC	2008/03/13
00390	China Railway Group Ltd. – H Shares	PRC	2007/12/07
03969	China Railway Signal & Communication Corporation Ltd. – H Shares	PRC	2015/08/07
00769	China Rare Earth Holdings Ltd.	Cayman Islands	1999/10/15
01508	China Reinsurance (Group) Corporation – H Shares	PRC	2015/10/26
01911	China Renaissance Holdings Ltd.	Cayman Islands	2018/09/27
00987	China Renewable Energy Investment Ltd.	Cayman Islands	1994/01/27
00269	China Resources and Transportation Group Ltd.	Cayman Islands	1973/03/19
00291	China Resources Beer (Holdings) Co. Ltd.	Hong Kong	n.a.
01313	China Resources Cement Holdings Ltd.	Cayman Islands	2009/10/06
01193	China Resources Gas Group Ltd.	Bermuda	1994/11/07
01109	China Resources Land Ltd.	Cayman Islands	1996/11/08
01515	China Resources Medical Holdings Co. Ltd.	Cayman Islands	2013/11/29
01209	China Resources Mixc Lifestyle Services Ltd.	Cayman Islands	2020/12/09
03320	China Resources Pharmaceutical Group Ltd.	Hong Kong	2016/10/28
00836	China Resources Power Holdings Co. Ltd.	Hong Kong	2003/11/12
01907	China Risun Group Ltd.	Cayman Islands	2019/03/15
03963	China Rongzhong Financial Holdings Co. Ltd.	Cayman Islands	2016/01/28
00527	China Ruifeng Renewable Energy Holdings Ltd.	Cayman Islands	2006/06/09
01365	China Rundong Auto Group Ltd.	Cayman Islands	2014/08/12
00153	China Saite Group Co. Ltd.	Cayman Islands	2013/11/01
00910	China Sandi Holdings Ltd.	Bermuda	1998/12/01
02198	China Sanjiang Fine Chemicals Co. Ltd.	Cayman Islands	2010/09/16
01966	China SCE Group Holdings Ltd.	Cayman Islands	2010/02/05
00412	China Shandong Hi-Speed Financial Group Ltd.	Bermuda	1992/04/15
00691	China Shanshui Cement Group Ltd.	Cayman Islands	2008/07/04
01676	China Shenghai Group Ltd.	Cayman Islands	2017/07/18
01432	China Shengmu Organic Milk Ltd.	Cayman Islands	2014/07/15
01088	China Shenhua Energy Co. Ltd. – H Shares	PRC	2005/06/15
02877	China Shineway Pharmaceutical Group Ltd.	Cayman Islands	2004/12/02
00750	China Shuifa Singyes Energy Holdings Ltd.	Bermuda	2009/01/13
00974	China Shun Ke Long Holdings Ltd.	Cayman Islands	2015/09/10
00815	China Silver Group Ltd.	Cayman Islands	2012/12/28
00515	China Silver Technology Holdings Ltd.	Cayman Islands	2006/06/23
00485	China Sinostar Group Co. Ltd.	Bermuda	1988/04/12
01004	China Smarter Energy Group Holdings Ltd.	Bermuda	1997/10/09
00155	China Solar Energy Holdings Ltd.	Bermuda	1988/09/05
01668	China South City Holdings Ltd.	Hong Kong	2009/09/30
01055	China Southern Airlines Co. Ltd. – H Shares	PRC	1997/07/31
00326	China Star Entertainment Ltd.	Bermuda	1992/11/12
03838	China Starch Holdings Ltd.	Cayman Islands	2007/09/27
00830	China State Construction Development Holdings Ltd.	Cayman Islands	2010/03/30
03311	China State Construction International Holdings Ltd.	Cayman Islands	2005/07/08
00235	China Strategic Holdings Ltd.	Hong Kong	1972/12/04
03623	China Success Finance Group Holdings Ltd.	Cayman Islands	2013/11/13
02002	China Sunshine Paper Holdings Co. Ltd.	Cayman Islands	2007/12/12
00956	China Suntien Green Energy Corporation Ltd. – H Shares	PRC	2010/10/13
03708	China Supply Chain Holdings Ltd.	Cayman Islands	2015/01/14
00966	China Taiping Insurance Holdings Co. Ltd.	Hong Kong	2000/06/29
00674	China Tangshang Holdings Ltd.	Bermuda	1991/01/25
00728	China Telecom Corporation Ltd. – H Shares	PRC	2002/11/15
01600	China Tian Lun Gas Holdings Ltd.	Cayman Islands	2010/11/10

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00557	China Tian Yuan Healthcare Group Ltd.	Cayman Islands	1989/12/29
01427	China Tianbao Group Development Co. Ltd.	Cayman Islands	2019/11/11
06162	China Tianrui Automotive Interiors Co., Ltd.	Cayman Islands	2019/01/15
01252	China Tianrui Group Cement Co. Ltd.	Cayman Islands	2011/12/23
03398	China Ting Group Holdings Ltd.	Cayman Islands	2005/12/15
02188	China Titans Energy Technology Group Co., Ltd.	Cayman Islands	2010/05/28
06055	China Tobacco International (HK) Co. Ltd.	Hong Kong	2019/06/12
00952	China Tonghai International Financial Ltd.	Bermuda	1997/09/24
00389	China Tontine Wines Group Ltd.	Bermuda	2009/11/19
01332	China Touyun Tech Group Ltd.	Bermuda	2012/07/12
00788	China Tower Corporation Ltd. – H Shares	PRC	2018/08/08
00570	China Traditional Chinese Medicine Holdings Co. Ltd.	Hong Kong	1993/04/07
00308	China Travel International Investment Hong Kong Ltd.	Hong Kong	1992/11/11
00762	China Unicom (Hong Kong) Ltd.	Hong Kong	2000/06/22
02330	China Uptown Group Co. Ltd.	Cayman Islands	2003/01/29
06168	China U-Ton Future Space Industrial Group Holdings Ltd.	Cayman Islands	2012/06/12
00893	China Vanadium Titano-Magnetite Mining Co. Ltd.	Cayman Islands	2009/10/08
02202	China Vanke Co., Ltd. – H Shares	PRC	2014/06/25
06166	China VAST Industrial Urban Development Co. Ltd.	Cayman Islands	2014/08/25
00245	China Vered Financial Holding Corporation Ltd.	Hong Kong	1972/08/25
00648	China Wah Yan Healthcare Ltd.	Hong Kong	1990/10/29
06966	China Wan Tong Yuan (Holdings) Ltd.	Cayman Islands	2017/09/27
00855	China Water Affairs Group Ltd.	Bermuda	1999/10/11
01129	China Water Industry Group Ltd.	Cayman Islands	2002/01/17
03778	China Weaving Materials Holdings Ltd.	Cayman Islands	2011/12/22
01822	China Wood International Holding Co., Ltd.	Cayman Islands	2009/12/16
01885	China Wood Optimization (Holding) Ltd.	Cayman Islands	2014/01/06
02779	China Xinhua Education Group Ltd.	Cayman Islands	2018/03/26
01866	China XLX Fertiliser Ltd.	Singapore	2009/12/08
03669	China Yongda Automobiles Services Holdings Ltd.	Cayman Islands	2012/07/12
06169	China YuHua Education Corporation Ltd.	Cayman Islands	2017/02/28
01068	China Yurun Food Group Ltd.	Bermuda	2005/10/03
01728	China ZhengTong Auto Services Holdings Ltd.	Cayman Islands	2010/12/10
02016	China Zheshang Bank Co., Ltd. – H Shares	PRC	2016/03/30
01492	China ZhongDi Dairy Holdings Co. Ltd.	Cayman Islands	2015/12/02
01333	China Zhongwang Holdings Ltd.	Cayman Islands	2009/05/08
01123	China-Hongkong Photo Products Holdings Ltd.	Bermuda	1994/09/19
00354	Chinasoft International Ltd.	Cayman Islands	2003/06/20
00127	Chinese Estates Holdings Ltd.	Bermuda	n.a.
00681	Chinese People Holdings Co. Ltd.	Bermuda	1997/04/24
03728	Ching Lee Holdings Ltd.	Cayman Islands	2016/03/29
00997	Chinlink International Holdings Ltd.	Bermuda	2000/03/10
00385	Chinney Alliance Group Ltd.	Bermuda	1993/10/15
00216	Chinney Investments, Ltd.	Hong Kong	1973/01/31
01556	Chinney Kin Wing Holdings Ltd.	Bermuda	2015/11/11
00632	CHK Oil Ltd.	Bermuda	1993/04/30
01111	Chong Hing Bank Ltd.	Hong Kong	1994/07/11
01609	Chong Kin Group Holdings Ltd.	Cayman Islands	2016/10/17
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	PRC	1997/10/17
02722	Chongqing Machinery & Electric Co., Ltd. – H Shares	PRC	2008/06/13
03618	Chongqing Rural Commercial Bank Co., Ltd. – H Shares	PRC	2010/12/16
00116	Chow Sang Sang Holdings International Ltd.	Bermuda	1973/04/06
01929	Chow Tai Fook Jewellery Group Ltd.	Cayman Islands	2011/12/15
01210	Christine International Holdings Ltd.	Cayman Islands	2012/02/23
00641	CHTC Fong's International Co. Ltd.	Bermuda	1990/10/12
01938	Chu Kong Petroleum and Natural Gas Steel Pipe Holdings Ltd.	Cayman Islands	2010/02/10
00560	Chu Kong Shipping Enterprises (Group) Co., Ltd.	Hong Kong	1997/05/23
01420	Chuan Holdings Ltd.	Cayman Islands	2016/06/08
00298	Chuang's China Investments Ltd.	Bermuda	n.a.
00367	Chuang's Consortium International Ltd.	Bermuda	1987/01/16
00884	CIFI Holdings (Group) Co. Ltd.	Cayman Islands	2012/11/23
00479	CIL Holdings Ltd.	Bermuda	1993/07/21
03899	CIMC Enric Holdings Ltd.	Cayman Islands	2006/07/20
01839	CIMC Vehicles (Group) Co., Ltd. – H Shares	PRC	2019/07/11
00445	CIMC-TianDa Holdings Co. Ltd.	Cayman Islands	2002/09/30
00111	Cinda International Holdings Ltd.	Bermuda	2000/08/01
01433	Cirtek Holdings Ltd.	Cayman Islands	2020/03/12
01091	CITIC Dameng Holdings Ltd.	Bermuda	2010/11/18
00267	CITIC Ltd.	Hong Kong	1986/02/26
01205	CITIC Resources Holdings Ltd.	Bermuda	1997/09/08
06030	CITIC Securities Co. Ltd. – H Shares	PRC	2011/10/06
01883	CITIC Telecom International Holdings Ltd.	Hong Kong	2007/04/03
00256	Citychamp Watch & Jewellery Group Ltd.	Cayman Islands	1991/12/10
01113	CK Asset Holdings Ltd.	Cayman Islands	2015/06/03
00001	CK Hutchison Holdings Ltd.	Cayman Islands	1972/11/01
01038	CK Infrastructure Holdings Ltd.	Bermuda	1996/07/17
00775	CK Life Sciences Int'l, (Holdings) Inc.	Cayman Islands	2002/07/16
00100	Clear Media Ltd.	Bermuda	2001/12/19
03686	Clifford Modern Living Holdings Ltd.	Cayman Islands	2016/11/08
01463	C-Link Squared Ltd.	Cayman Islands	2020/03/27
00002	CLP Holdings Ltd.	Hong Kong	n.a.
06877	CLSA Premium Ltd.	Cayman Islands	2013/07/03
01141	CMBC Capital Holdings Ltd.	Bermuda	1998/03/12
03309	C-MER Eye Care Holdings Ltd.	Cayman Islands	2018/01/15

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00302	CMGE Technology Group Ltd.	Cayman Islands	2019/10/31
00206	CMIC Ocean En-Tech Holding Co., Ltd.	Cayman Islands	2005/11/28
00471	CMMB Vision Holdings Ltd.	Cayman Islands	2005/10/10
01792	CMON Ltd.	Cayman Islands	2016/12/02
02130	CN Logistics International Holdings Ltd.	Cayman Islands	2020/10/15
02302	CNNC International Ltd.	Cayman Islands	2003/01/06
00883	CNOOC Ltd.	Hong Kong	2001/02/28
01240	CNQC International Holdings Ltd.	Cayman Islands	2012/10/18
00701	CNT Group Ltd.	Bermuda	1991/05/21
01124	Coastal Greenland Ltd.	Bermuda	1997/10/16
00428	Cocoon Holdings Ltd.	Bermuda	1993/12/14
01610	COFCO Joycome Foods Ltd.	Cayman Islands	2016/11/01
00400	Cogobuy Group	Cayman Islands	2014/07/18
01778	Colour Life Services Group Co., Ltd.	Cayman Islands	2014/06/30
02342	Comba Telecom Systems Holdings Ltd.	Cayman Islands	2003/07/15
00794	Come Sure Group (Holdings) Ltd.	Cayman Islands	2009/02/26
00046	Computer And Technologies Holdings Ltd.	Bermuda	1998/05/18
00320	Computime Group Ltd.	Cayman Islands	2006/10/09
00712	Comtec Solar Systems Group Ltd.	Cayman Islands	2009/10/30
00182	Concord New Energy Group Ltd.	Bermuda	1991/11/27
01967	Confidence Intelligence Holdings Ltd.	Cayman Islands	2019/10/18
01681	Consun Pharmaceutical Group Ltd.	Cayman Islands	2013/12/19
01912	Contel Technology Co. Ltd.	Cayman Islands	2019/07/16
00513	Continental Holdings Ltd.	Hong Kong	1988/11/08
00831	Convenience Retail Asia Ltd.	Cayman Islands	2001/01/18
01019	Convoy Global Holdings Ltd.	Cayman Islands	2010/07/13
02369	Coolpad Group Ltd.	Cayman Islands	2004/12/09
00339	Core Economy Investment Group Ltd.	Bermuda	2000/07/26
02866	COSCO SHIPPING Development Co., Ltd. – H Shares	PRC	2004/06/16
01138	COSCO SHIPPING Energy Transportation Co., Ltd. – H Shares	PRC	1994/11/11
01919	COSCO SHIPPING Holdings Co., Ltd. – H Shares	PRC	2005/06/30
00517	COSCO SHIPPING International (Hong Kong) Co., Ltd.	Bermuda	1992/02/11
01199	COSCO SHIPPING Ports Ltd.	Bermuda	1994/12/19
01043	Coslight Technology International Group Ltd.	Bermuda	1999/11/17
02298	Cosmo Lady (China) Holdings Co. Ltd.	Cayman Islands	2014/06/26
00120	Cosmopolitan International Holdings Ltd.	Cayman Islands	n.a.
00118	Cosmos Machinery Enterprises Ltd.	Hong Kong	1988/12/12
02007	Country Garden Holdings Co. Ltd.	Cayman Islands	2007/04/20
06098	Country Garden Services Holdings Co. Ltd.	Cayman Islands	2018/06/19
01145	Courage Investment Group Ltd.	Bermuda	2011/06/24
01415	Cowell e Holdings Inc.	Cayman Islands	2015/03/31
01932	CPM Group Ltd.	Cayman Islands	2017/07/10
00906	CPMC Holdings Ltd.	Hong Kong	2009/11/16
01582	CR Construction Group Holdings Ltd.	Cayman Islands	2019/10/16
01786	CRCC High-Tech Equipment Corporation Ltd. – H Shares	PRC	2015/12/16
03992	Creative Enterprise Holdings Ltd.	Cayman Islands	2018/12/11
00122	Crocodile Garments Ltd.	Hong Kong	n.a.
00032	Cross-Harbour (Holdings) Ltd., The	Hong Kong	1974/07/30
03893	CROSSTEC Group Holdings Ltd.	Cayman Islands	2016/09/12
00727	Crown International Corporation Ltd.	Hong Kong	1998/01/16
01766	CRR Corporation Ltd. – H Shares	PRC	2008/08/21
02232	Crystal International Group Ltd.	Cayman Islands	2017/11/03
06066	CSC Financial Co., Ltd. – H Shares	PRC	2016/12/09
00497	CSI Properties Ltd.	Bermuda	1992/04/15
01815	CSMall Group Ltd.	Cayman Islands	2018/03/13
01093	CSPC Pharmaceutical Group Ltd.	Hong Kong	1994/06/21
03877	CSSC (Hong Kong) Shipping Co. Ltd.	Hong Kong	2019/06/17
00317	CSSC Offshore & Marine Engineering (Group) Co. Ltd. – H Shs	PRC	1993/08/06
00985	CST Group Ltd.	Cayman Islands	1994/02/02
02616	CStone Pharmaceuticals – B	Cayman Islands	2019/02/26
01363	CT Environmental Group Ltd.	Cayman Islands	2013/09/25
00994	CT Vision (International) Holdings Ltd.	Cayman Islands	2017/07/17
01620	CTEH Inc.	Cayman Islands	2018/06/28
01416	CTR Holdings Ltd.	Cayman Islands	2020/01/15
00343	Culturecom Holdings Ltd.	Bermuda	1986/08/12
00521	CWT International Ltd.	Hong Kong	1988/12/23
01020	Cybernaut International Holdings Co. Ltd.	Cayman Islands	2010/07/07
01301	D&G Technology Holding Co. Ltd.	Cayman Islands	2015/05/27
01090	Da Ming International Holdings Ltd.	Cayman Islands	2010/12/01
01580	Da Sen Holdings Group Ltd.	Cayman Islands	2016/12/19
01073	Da Yu Financial Holdings Ltd.	Cayman Islands	2002/01/14
03999	DaChan Food (Asia) Ltd.	Cayman Islands	2007/10/04
06111	DaFa Properties Group Ltd.	Cayman Islands	2018/10/11
02356	Dah Sing Banking Group Ltd.	Hong Kong	2004/06/30
00440	Dah Sing Financial Holdings Ltd.	Hong Kong	1987/11/05
00544	Daido Group Ltd.	Bermuda	1989/12/14
00567	Daisho Microline Holdings Ltd.	Bermuda	1990/01/31
03799	Dali Foods Group Co. Ltd.	Cayman Islands	2015/11/20
02880	Dalian Port (PDA) Co. Ltd. – H Shares	PRC	2006/04/28
01921	Dalipal Holdings Ltd.	Cayman Islands	2019/11/08
00915	Daohe Global Group Ltd.	Bermuda	2002/05/10
00210	Daphne International Holdings Ltd.	Cayman Islands	1995/11/03
09986	Dashan Education Holdings Ltd.	Cayman Islands	2020/07/15
01272	Datang Environment Industry Group Co., Ltd. – H Shares	PRC	2016/11/15

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02117	Datang Group Holdings Ltd.	Cayman Islands	2020/12/11
00991	Datang International Power Generation Co., Ltd. – H Shares	PRC	1997/03/21
00889	Datronix Holdings Ltd.	Bermuda	2001/06/22
02348	Dawnrays Pharmaceutical (Holdings) Ltd.	Cayman Islands	2003/07/11
01452	Denox Environmental & Technology Holdings Ltd.	Cayman Islands	2015/11/12
01545	Design Capital Ltd.	Cayman Islands	2019/04/25
00262	Deson Development International Holdings Ltd.	Bermuda	1997/06/10
00559	DeTai New Energy Group Ltd.	Bermuda	1997/01/03
02019	Dexin China Holdings Co. Ltd.	Cayman Islands	2019/02/26
03958	DFZQ – H Shares	PRC	2016/07/08
00113	Dickson Concepts (International) Ltd.	Bermuda	1973/03/15
06878	Differ Group Holding Co. Ltd.	Cayman Islands	2013/12/09
00861	Digital China Holdings Ltd.	Bermuda	2001/06/01
00547	Digital Domain Holdings Ltd.	Bermuda	1992/07/06
02022	Digital Hollywood Interactive Ltd.	Cayman Islands	2017/12/15
00508	Dingyi Group Investment Ltd.	Bermuda	1988/10/26
00726	DIT Group Ltd.	Bermuda	1991/07/25
01709	DL Holdings Group Ltd.	Cayman Islands	2015/10/07
01072	Dongfang Electric Corporation Ltd. – H Shares	PRC	1994/06/06
00489	Dongfeng Motor Group Co. Ltd. – H Shares	PRC	2005/12/07
01702	Dongguang Chemical Ltd.	Cayman Islands	2017/07/11
00895	Dongjiang Environmental Co. Ltd. – H Shares	PRC	2003/01/29
00695	Dongwu Cement International Ltd.	Cayman Islands	2012/06/13
00189	Dongyue Group Ltd.	Cayman Islands	2007/12/10
01917	Doumob	Cayman Islands	2019/03/14
00668	Doyen International Holdings Ltd.	Hong Kong	1990/12/12
00935	Dragon Crown Group Holdings Ltd.	Cayman Islands	2011/06/10
01712	Dragon Mining Ltd.	Australia	2018/11/05
06829	Dragon Rise Group Holdings Ltd.	Cayman Islands	2018/02/08
01126	Dream International Ltd.	Hong Kong	2002/02/07
00593	DreamEast Group Ltd.	Bermuda	1993/07/27
00356	DT Capital Ltd.	Cayman Islands	2002/06/07
00620	DTXS Silk Road Investment Holdings Co. Ltd.	Bermuda	1991/09/30
01753	Duiba Group Ltd.	Cayman Islands	2019/05/07
01330	Dynagreen Environmental Protection Group Co., Ltd. -H Shares	PRC	2014/06/19
06889	DYNAM JAPAN HOLDINGS Co., Ltd.	Japan	2012/08/06
00029	Dynamic Holdings Ltd.	Bermuda	n.a.
00828	Dynasty Fine Wines Group Ltd.	Cayman Islands	2005/01/26
00599	E. Bon Holdings Ltd.	Cayman Islands	2000/04/12
00936	Eagle Legend Asia Ltd.	Cayman Islands	2010/07/19
02368	Eagle Nice (International) Holdings Ltd.	Cayman Islands	2003/08/22
06128	Earthasia International Holdings Ltd.	Cayman Islands	2014/06/25
01218	Easyknit International Holdings Ltd.	Bermuda	1995/01/30
02341	EcoGreen International Group Ltd.	Cayman Islands	2004/03/09
01733	E-Commodities Holdings Ltd.	British Virgin Islands	2010/10/11
01147	Edensoft Holdings Ltd.	Cayman Islands	2020/05/13
01410	Edvance International Holdings Ltd.	Cayman Islands	2017/04/19
00382	Edvantage Group Holdings Ltd.	Cayman Islands	2019/07/16
03709	EEKA Fashion Holdings Ltd.	Cayman Islands	2014/06/27
06882	EGL Holdings Co. Ltd.	Cayman Islands	2014/11/28
02048	E-House (China) Enterprise Holdings Ltd.	Cayman Islands	2018/07/20
00076	Elate Holdings Ltd.	Hong Kong	1984/09/24
00907	Elegance Optical International Holdings Ltd.	Bermuda	1996/04/11
00223	Elife Holdings Ltd.	Cayman Islands	2002/04/10
01395	ELL Environmental Holdings Ltd.	Cayman Islands	2014/09/26
01388	Embry Holdings Ltd.	Cayman Islands	2006/12/18
00616	Eminence Enterprise Ltd.	Bermuda	1991/09/16
00717	Emperor Capital Group Ltd.	Bermuda	2007/04/24
00491	Emperor Culture Group Ltd.	Bermuda	1992/06/10
00296	Emperor Entertainment Hotel Ltd.	Bermuda	n.a.
00163	Emperor International Holdings Ltd.	Bermuda	1972/11/14
00887	Emperor Watch & Jewellery Ltd.	Hong Kong	2008/07/21
00353	Energy International Investments Holdings Ltd.	Cayman Islands	2001/07/26
00128	ENM Holdings Ltd.	Hong Kong	n.a.
02688	ENN Energy Holdings Ltd.	Cayman Islands	2002/06/03
01808	Enterprise Development Holdings Ltd.	Cayman Islands	2007/01/11
01102	Enviro Energy International Holdings Ltd.	Cayman Islands	2007/06/06
00689	EPI (Holdings) Ltd.	Bermuda	1991/04/15
01884	eprint Group Ltd.	Cayman Islands	2013/12/03
01856	Ernest Borel Holdings Ltd.	Cayman Islands	2014/07/11
00330	Esprit Holdings Ltd.	Bermuda	1993/12/09
01821	ESR Cayman Ltd.	Cayman Islands	2019/11/01
01061	Essex Bio-Technology Ltd.	Cayman Islands	2001/06/27
00571	eSun Holdings Ltd.	Bermuda	n.a.
00764	Eternity Investment Ltd.	Bermuda	2000/02/15
01725	Eternity Technology Holdings Ltd.	Cayman Islands	2018/08/16
01846	EuroEyes International Eye Clinic Ltd.	Cayman Islands	2019/10/15
00838	EVA Precision Industrial Holdings Ltd.	Cayman Islands	2005/05/11
01549	Ever Harvest Group Holdings Ltd.	Cayman Islands	2016/07/06
03616	Ever Reach Group (Holdings) Co. Ltd.	Cayman Islands	2018/11/12
01995	Ever Sunshine Lifestyle Services Group Ltd.	Cayman Islands	2018/12/17
03699	Everbright Grand China Assets Ltd.	Cayman Islands	2018/01/16
06178	Everbright Securities Co. Ltd. – H Shares	PRC	2016/08/18
00202	EverChina Int'l Holdings Co. Ltd.	Hong Kong	n.a.

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Code	Company name	Incorporation	Listing date
01952	Everest Medicines Ltd. – B	Cayman Islands	2020/10/09
06666	Evergrande Property Services Group Ltd.	Cayman Islands	2020/12/02
00238	Evergreen International Holdings Ltd.	Cayman Islands	2010/11/04
01962	Evergreen Products Group Ltd.	Cayman Islands	2017/07/12
02308	EVOC Intelligent Technology Co. Ltd. – H Shares	PRC	2003/10/10
06989	Excellence Commercial Property & Facilities Mgt Group Ltd.	Cayman Islands	2020/10/19
00858	Extrawell Pharmaceutical Holdings Ltd.	Bermuda	1999/03/10
00052	Fairwood Holdings Ltd.	Bermuda	1991/10/09
01777	Fantasia Holdings Group Co., Ltd.	Cayman Islands	2009/11/25
00035	Far East Consortium International Ltd.	Cayman Islands	1972/09/21
00036	Far East Holdings International Ltd.	Hong Kong	1973/02/12
03360	Far East Horizon Ltd.	Hong Kong	2011/03/30
00037	Far East Hotels & Entertainment Ltd.	Hong Kong	1979/07/03
06288	Fast Retailing Co., Ltd. – DRS	Japan	2014/03/05
00729	FDG Electric Vehicles Ltd.	Bermuda	1991/10/03
00378	FDG Kinetic Ltd.	Bermuda	1986/09/10
01738	Feishang Anthracite Resources Ltd.	British Virgin Islands	2014/01/22
01901	Feiyang International Holdings Group Ltd.	Cayman Islands	2019/06/28
01022	Feiyu Technology International Co. Ltd.	Cayman Islands	2014/12/05
02038	FIH Mobile Ltd.	Cayman Islands	2005/02/03
01502	Financial Street Property Co., Ltd. – H Shares	PRC	2020/07/06
09978	Fineland Real Estate Services Group Ltd.	Cayman Islands	2017/11/15
06860	FingerTango Inc.	Cayman Islands	2018/07/12
01909	Fire Rock Holdings Ltd.	Cayman Islands	2016/02/18
00142	First Pacific Co. Ltd.	Bermuda	1988/09/12
02107	First Service Holding Ltd.	Cayman Islands	2020/10/22
00227	First Shanghai Investments Ltd.	Hong Kong	1972/08/24
00038	First Tractor Co Ltd. – H Shares	PRC	1997/06/23
06088	FIT Hon Teng Ltd.	Cayman Islands	2017/07/13
06865	Flat Glass Group Co., Ltd. – H Shares	PRC	2015/11/26
01998	Flyke International Holdings Ltd.	Cayman Islands	2010/03/29
00484	Forgame Holdings Ltd.	Cayman Islands	2013/10/03
00352	Fortune Sun (China) Holdings Ltd.	Cayman Islands	2006/07/05
02528	Forward Fashion (International) Holdings Co. Ltd.	Cayman Islands	2020/01/13
00656	Fosun International Ltd.	Hong Kong	2007/07/16
01992	Fosun Tourism Group	Cayman Islands	2018/12/14
00418	Founder Holdings Ltd.	Bermuda	1995/12/21
00420	Fountain Set (Holdings) Ltd.	Hong Kong	1988/04/20
00374	Four Seas Mercantile Holdings Ltd.	Cayman Islands	1993/08/25
01455	Fourace Industries Group Holdings Ltd.	Cayman Islands	2020/09/15
00279	Freeman FinTech Corporation Ltd.	Cayman Islands	1988/05/11
06888	Freetech Road Recycling Technology (Holdings) Ltd.	Cayman Islands	2013/06/26
01175	Fresh Express Delivery Holdings Group Co., Ltd.	Cayman Islands	2004/12/17
06820	FriendTimes Inc.	Cayman Islands	2019/10/08
01521	Frontage Holdings Corporation	Cayman Islands	2019/05/30
00500	Frontier Services Group Ltd.	Bermuda	1988/07/29
00331	FSE Services Group Ltd.	Cayman Islands	2015/12/10
01721	FSM Holdings Ltd.	Cayman Islands	2018/07/16
02263	Fu Shek Financial Holdings Ltd.	Cayman Islands	2020/02/19
01448	Fu Shou Yuan International Group Ltd.	Cayman Islands	2013/12/19
00546	Fufeng Group Ltd.	Cayman Islands	2007/02/08
00181	Fujian Holdings Ltd.	Hong Kong	1973/02/22
01353	Fujian Nuoqi Co., Ltd. – H Shares	PRC	2014/01/09
00927	Fujikon Industrial Holdings Ltd.	Bermuda	2000/04/11
00607	Fullshare Holdings Ltd.	Cayman Islands	2002/12/18
00627	Fullsun International Holdings Group Co., Ltd.	Bermuda	2000/11/13
01034	Fullwealth Construction Holdings Co. Ltd.	Cayman Islands	2018/10/30
02101	Fulu Holdings Ltd.	Cayman Islands	2020/09/18
01443	Fulum Group Holdings Ltd.	Cayman Islands	2014/11/13
01652	Fusen Pharmaceutical Co. Ltd.	Cayman Islands	2018/07/11
00465	Futong Technology Development Holdings Ltd.	Cayman Islands	2009/12/04
00703	Future Bright Holdings Ltd.	Bermuda	2002/08/15
02212	Future Bright Mining Holdings Ltd.	Cayman Islands	2015/01/09
00572	Future World Holdings Ltd.	Cayman Islands	2003/07/02
03606	Fuyao Glass Industry Group Co., Ltd. – H Shares	PRC	2015/03/31
06038	G & M Holdings Ltd.	Cayman Islands	2017/06/13
09900	Gain Plus Holdings Ltd.	Cayman Islands	2018/02/13
00027	Galaxy Entertainment Group Ltd.	Hong Kong	1991/10/07
01772	Ganfeng Lithium Co., Ltd. – H Shares	PRC	2018/10/11
06968	Ganglong China Property Group Ltd.	Cayman Islands	2020/07/15
00261	GBA Holdings Ltd.	Bermuda	1991/12/30
00451	GCL New Energy Holdings Ltd.	Bermuda	1992/03/25
03800	GCL-Poly Energy Holdings Ltd.	Cayman Islands	2007/11/13
01203	GDH Guangnan (Holdings) Ltd.	Hong Kong	1994/12/09
09698	GDS Holdings Ltd. – SW	Cayman Islands	2020/11/02
00175	Geely Automobile Holdings Ltd.	Cayman Islands	1973/02/23
00535	Gemdale Properties and Investment Corporation Ltd.	Bermuda	1989/10/16
06163	Gemilang International Ltd.	Cayman Islands	2016/11/11
00174	Gemini Investments (Holdings) Ltd.	Hong Kong	1988/10/05
02666	Genertec Universal Medical Group Co. Ltd.	Hong Kong	2015/07/08
01548	Genscript Biotech Corporation	Cayman Islands	2015/12/30
00678	Genting Hong Kong Ltd.	Bermuda	2000/11/30
01707	Geotech Holdings Ltd.	Cayman Islands	2017/10/12
01469	Get Nice Financial Group Ltd.	Cayman Islands	2016/04/08

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Code	Company name	Incorporation	Listing date
00064	Get Nice Holdings Ltd.	Cayman Islands	2002/06/06
01776	GF Securities Co., Ltd. – H Shares	PRC	2015/04/10
09933	GHW International	Cayman Islands	2020/01/21
00709	Giordano International Ltd.	Bermuda	1991/06/19
00809	Global Bio-chem Technology Group Co. Ltd.	Cayman Islands	2001/03/16
00787	Global Brands Group Holding Ltd.	Bermuda	2014/07/09
01669	Global International Credit Group Ltd.	Cayman Islands	2014/12/12
00905	Global Mastermind Capital Ltd.	Bermuda	1998/07/17
03889	Global Sweeteners Holdings Ltd.	Cayman Islands	2007/09/20
00845	Glorious Property Holdings Ltd.	Cayman Islands	2009/10/02
00393	Glorious Sun Enterprises Ltd.	Bermuda	1996/09/17
01282	Glory Sun Financial Group Ltd.	Cayman Islands	2010/12/15
00299	Glory Sun Land Group Ltd.	Cayman Islands	2004/04/30
01854	Goal Forward Holdings Ltd.	Cayman Islands	2016/10/13
01529	Goal Rise Logistics (China) Holdings Ltd.	Cayman Islands	2017/10/18
00040	Gold Peak Industries (Holdings) Ltd.	Hong Kong	1984/07/02
00172	Goldbond Group Holdings Ltd.	Hong Kong	n.a.
00091	Golden Century International Holdings Group Ltd.	Hong Kong	1981/06/29
03308	Golden Eagle Retail Group Ltd.	Cayman Islands	2006/03/21
02863	Golden Faith Group Holdings Ltd.	Cayman Islands	2017/08/11
01783	Golden Ponder Holdings Ltd.	Cayman Islands	2018/08/22
03919	Golden Power Group Holdings Ltd.	Cayman Islands	2015/06/05
00677	Golden Resources Development International Ltd.	Bermuda	1991/01/28
02123	Golden Shield Holdings (Industrial) Ltd.	Bermuda	2011/07/14
06896	Golden Throat Holdings Group Co. Ltd.	Cayman Islands	2015/07/15
01232	Golden Wheel Tiandi Holdings Co. Ltd.	Cayman Islands	2013/01/16
01462	Gold-Finance Holdings Ltd.	Cayman Islands	2014/10/16
00530	Goldin Financial Holdings Ltd.	Bermuda	1992/10/08
00533	Goldlion Holdings Ltd.	Hong Kong	1992/09/18
03315	Goldpac Group Ltd.	Hong Kong	2013/12/04
00901	Goldstone Investment Group Ltd.	Cayman Islands	2002/02/28
01328	Goldstream Investment Ltd.	Cayman Islands	2007/10/16
01118	Golik Holdings Ltd.	Bermuda	1994/07/15
00628	Gome Finance Technology Co., Ltd.	Bermuda	2002/07/24
00493	GOME Retail Holdings Ltd.	Bermuda	1992/04/15
02398	Good Friend International Holdings Inc.	Cayman Islands	2006/01/11
00109	Good Resources Holdings Ltd.	Bermuda	1998/01/12
01086	Goodbaby International Holdings Ltd.	Cayman Islands	2010/11/24
00108	GR Properties Ltd.	Hong Kong	1973/02/09
01293	Grand Baoxin Auto Group Ltd.	Cayman Islands	2011/12/14
00115	Grand Field Group Holdings Ltd.	Bermuda	n.a.
01271	Grand Ming Group Holdings Ltd.	Cayman Islands	2013/08/09
00065	Grand Ocean Advanced Resources Co. Ltd.	Cayman Islands	2001/08/30
01647	Grandshores Technology Group Ltd.	Cayman Islands	2017/03/30
00021	Great China Properties Holdings Ltd.	Hong Kong	n.a.
00041	Great Eagle Holdings Ltd.	Bermuda	n.a.
03683	Great Harvest Maeta Group Holdings Ltd.	Cayman Islands	2010/10/11
00524	Great Wall Belt & Road Holdings Ltd.	Bermuda	1989/04/21
02333	Great Wall Motor Co. Ltd. – H Shares	PRC	2003/12/15
00583	Great Wall Pan Asia Holdings Ltd.	Bermuda	1990/06/29
01189	Greater Bay Area Dynamic Growth Holding Ltd.	Bermuda	1997/10/06
00431	Greater China Financial Holdings Ltd.	Bermuda	1992/09/16
00844	Greatime International Holdings Ltd.	British Virgin Islands	2011/11/24
00468	Greatview Aseptic Packaging Co. Ltd.	Cayman Islands	2010/12/09
00979	Green Energy Group Ltd.	Bermuda	1997/06/26
01084	Green Future Food Hydrocolloid Marine Science Co. Ltd.	Cayman Islands	2019/10/17
02700	Green International Holdings Ltd.	Cayman Islands	2006/09/29
00061	Green Leader Holdings Group Ltd.	Bermuda	1999/07/06
00094	Greenheart Group Ltd.	Bermuda	1988/06/21
00337	Greenland Hong Kong Holdings Ltd.	Cayman Islands	2006/10/10
00195	Greentech Technology International Ltd.	Cayman Islands	2008/11/12
03900	Greentown China Holdings Ltd.	Cayman Islands	2006/07/13
09979	Greentown Management Holdings Co. Ltd.	Cayman Islands	2020/07/10
02869	Greentown Service Group Co. Ltd.	Cayman Islands	2016/07/12
02133	Greenway Mining Group Ltd.	Cayman Islands	2011/12/14
01051	G-Resources Group Ltd.	Bermuda	1994/04/29
00989	Ground International Development Ltd.	Bermuda	1997/10/20
01842	Grown Up Group Investment Holdings Ltd.	Cayman Islands	2019/06/28
00263	GT Group Holdings Ltd.	Hong Kong	1988/04/06
03344	GTI Holdings Ltd.	Cayman Islands	2005/10/05
01872	Guan Chao Holdings Ltd.	Cayman Islands	2019/02/28
01396	Guangdong – Hong Kong Greater Bay Area Holdings Ltd.	Cayman Islands	2013/10/31
06189	Guangdong Adway Construction (Group) Holdings Co. Ltd.-H Shs	PRC	2016/11/25
00270	Guangdong Investment Ltd.	Hong Kong	n.a.
01543	Guangdong Join-Share Financing Guarantee Invest Co Ltd-H Shs	PRC	2015/12/23
03689	Guangdong Kanghua Healthcare Co., Ltd. – H Shares	PRC	2016/11/08
00124	Guangdong Land Holdings Ltd.	Bermuda	1997/08/08
01058	Guangdong Tannery Ltd.	Hong Kong	1996/12/16
03399	Guangdong Yueyun Transportation Co. Ltd. – H Shares	PRC	2005/10/26
00525	Guangshen Railway Co. Ltd. – H Shares	PRC	1996/05/14
02238	Guangzhou Automobile Group Co., Ltd. – H Shares	PRC	2010/08/30
00874	Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.- H Shs	PRC	1997/10/30
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	PRC	2005/07/14
01551	Guangzhou Rural Commercial Bank Co., Ltd. – H Shares	PRC	2017/06/20

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Code	Company name	Incorporation	Listing date
00143	Guoan International Ltd.	Cayman Islands	1999/04/09
00053	Guoco Group Ltd.	Bermuda	1983/05/03
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	PRC	2011/12/30
01456	Guolian Securities Co., Ltd. – H Shares	PRC	2015/07/06
02329	Guorui Properties Ltd.	Cayman Islands	2014/07/07
01788	Guotai Junan International Holdings Ltd.	Hong Kong	2010/07/08
02611	Guotai Junan Securities Co., Ltd. – H Shares	PRC	2017/04/11
00657	G-Vision International (Holdings) Ltd.	Bermuda	1992/10/30
02255	Haichang Ocean Park Holdings Ltd.	Cayman Islands	2014/03/13
06862	Haidilao International Holding Ltd.	Cayman Islands	2018/09/26
06690	Haier Smart Home Co., Ltd. – H Shares	PRC	2020/12/23
02278	Hailan Holdings Ltd.	Cayman Islands	2016/07/15
02336	Hailiang International Holdings Ltd.	Cayman Islands	2003/03/03
01645	Haina Intelligent Equipment International Holdings Ltd.	Cayman Islands	2020/06/03
00357	Hainan Meilan International Airport Co. Ltd. – H Shares	PRC	2002/11/18
01882	Haitian International Holdings Ltd.	Cayman Islands	2006/12/22
00665	Haitong International Securities Group Ltd.	Bermuda	1996/08/06
06837	Haitong Securities Co., Ltd. – H Shares	PRC	2012/04/27
01905	Haitong Unitrust Int'l Financial Leasing Co., Ltd. – H Shs	PRC	2019/06/03
01920	Hands Form Holdings Ltd.	Cayman Islands	2019/08/16
00010	Hang Lung Group Ltd.	Hong Kong	1972/10/12
00101	Hang Lung Properties Ltd.	Hong Kong	n.a.
01682	Hang Pin Living Technology Co. Ltd.	Bermuda	2010/10/05
03626	Hang Sang (Siu Po) International Holding Co. Ltd.	Cayman Islands	2016/05/18
00011	Hang Seng Bank Ltd.	Hong Kong	1972/06/20
01894	Hang Yick Holdings Co. Ltd.	Cayman Islands	2018/10/12
03347	Hangzhou Tigermed Consulting Co., Ltd. – H Shares	PRC	2020/08/07
03903	Hanhua Financial Holding Co., Ltd. – H Shares	PRC	2014/06/19
00896	Hanison Construction Holdings Ltd.	Cayman Islands	2002/01/10
00554	Hans Energy Co. Ltd.	Cayman Islands	1997/05/28
03692	Hansoh Pharmaceutical Group Co. Ltd.	Cayman Islands	2019/06/14
01341	Hao Tian International Construction Investment Group Ltd.	Cayman Islands	2015/12/10
06138	Harbin Bank Co., Ltd. – H Shares	PRC	2014/03/31
01133	Harbin Electric Co. Ltd. – H Shares	PRC	1994/12/16
00051	Harbour Centre Development Ltd.	Hong Kong	n.a.
01509	Harmonicare Medical Holdings Ltd.	Cayman Islands	2015/07/07
02142	HBM Holdings Ltd. – B	Cayman Islands	2020/12/10
02280	HC Group Inc.	Cayman Islands	2003/12/17
01112	Health and Happiness (H&H) International Holdings Ltd.	Cayman Islands	2010/12/17
01727	Hebei Construction Group Corporation Ltd. – H Shares	PRC	2017/12/15
01596	Hebei Yichen Industrial Group Corporation Ltd. – H Shares	PRC	2016/12/21
06885	Henan Jinma Energy Co. Ltd. – H Shares	PRC	2017/10/10
00097	Henderson Investment Ltd.	Hong Kong	1972/11/06
00012	Henderson Land Development Co. Ltd.	Hong Kong	1981/07/23
01891	Heng Hup Holdings Ltd.	Cayman Islands	2019/03/15
00197	Heng Tai Consumables Group Ltd.	Cayman Islands	2001/12/03
01044	Hengan International Group Co. Ltd.	Cayman Islands	1998/12/08
03389	Hengdeli Holdings Ltd.	Cayman Islands	2005/09/26
01476	Hengtai Securities Co., Ltd. – H Shares	PRC	2015/10/15
00136	HengTen Networks Group Ltd.	Bermuda	1997/09/25
01085	Hengxin Technology Ltd.	Singapore	2010/12/23
02303	Hengxing Gold Holding Co. Ltd.	Cayman Islands	2014/05/29
00114	Herald Holdings Ltd.	Bermuda	n.a.
06093	Hevol Services Group Co., Ltd.	Cayman Islands	2019/07/12
00818	Hi Sun Technology (China) Ltd.	Bermuda	1997/12/22
01393	Hidili Industry International Development Ltd.	Cayman Islands	2007/09/21
00442	Hifood Group Holdings Co., Ltd.	Cayman Islands	2015/03/11
00608	High Fashion International Ltd.	Bermuda	1992/08/04
01623	Hilong Holding Ltd.	Cayman Islands	2011/04/21
06893	Hin Sang Group (International) Holding Co. Ltd.	Cayman Islands	2014/10/16
00396	Hing Lee (HK) Holdings Ltd.	Bermuda	2009/06/22
01968	Hingtex Holdings Ltd.	Cayman Islands	2018/07/16
00921	Hisense Home Appliances Group Co., Ltd. – H Shares	PRC	1996/07/23
00982	HJ Capital (International) Holdings Co. Ltd.	Bermuda	2008/07/14
01723	HK Asia Holdings Ltd.	Cayman Islands	2018/09/27
02638	HK Electric Investments Ltd.	Cayman Islands	2014/01/29
01310	HKBN Ltd.	Cayman Islands	2015/03/12
00190	HKC (Holdings) Ltd.	Bermuda	1987/05/29
00248	HKC International Holdings Ltd.	Cayman Islands	2001/11/09
01726	HKE Holdings Ltd.	Cayman Islands	2018/04/18
00480	HKR International Ltd.	Cayman Islands	1988/03/11
06823	HKT Ltd.	Cayman Islands	2011/11/29
02086	HNA Technology Investments Holdings Ltd.	Cayman Islands	2003/11/10
03678	Holly Futures Co., Ltd. – H Shares	PRC	2015/12/30
01747	Home Control International Ltd.	Cayman Islands	2019/11/14
03798	Homeland Interactive Technology Ltd.	Cayman Islands	2019/07/04
00160	Hon Kwok Land Investment Co., Ltd.	Hong Kong	n.a.
00003	Hong Kong and China Gas Co. Ltd., The	Hong Kong	n.a.
00145	Hong Kong Building and Loan Agency Ltd., The	Hong Kong	1972/07/01
02322	Hong Kong ChaoShang Group Ltd.	Bermuda	2003/04/09
00423	Hong Kong Economic Times Holdings Ltd.	Cayman Islands	2005/08/03
01082	Hong Kong Education (Int'l) Investments Ltd.	Bermuda	2011/07/04
00388	Hong Kong Exchanges and Clearing Ltd.	Hong Kong	2000/06/27
00050	Hong Kong Ferry (Holdings) Co. Ltd.	Hong Kong	n.a.

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01273	Hong Kong Finance Group Ltd.	Cayman Islands	2013/10/02
00007	Hong Kong Finance Investment Holding Group Ltd.	Bermuda	2000/09/08
00060	Hong Kong Food Investment Holdings Ltd.	Hong Kong	1992/12/16
01955	Hong Kong Johnson Holdings Co., Ltd.	Cayman Islands	2019/10/16
02882	Hong Kong Resources Holdings Co. Ltd.	Bermuda	2003/06/30
01001	Hong Kong Shanghai Alliance Holdings Ltd.	Bermuda	1994/02/18
01137	Hong Kong Television Network Ltd.	Hong Kong	1997/08/04
06908	HongGuang Lighting Holdings Co. Ltd.	Cayman Islands	2016/12/30
00196	Honghua Group Ltd.	Cayman Islands	2008/03/07
00045	Hongkong and Shanghai Hotels, Ltd., The	Hong Kong	n.a.
00655	Hongkong Chinese Ltd.	Bermuda	1992/10/12
09906	Honliv Healthcare Management Group Co. Ltd.	Cayman Islands	2020/07/13
06858	Honma Golf Ltd.	Cayman Islands	2016/10/06
02226	Honworld Group Ltd.	Cayman Islands	2014/01/28
02320	Hop Fung Group Holdings Ltd.	Cayman Islands	2003/09/24
00047	Hop Hing Group Holdings Ltd.	Cayman Islands	1988/11/16
01765	Hope Education Group Co., Ltd.	Cayman Islands	2018/08/03
01683	Hope Life International Holdings Ltd.	Cayman Islands	2015/09/08
00733	Hopefluent Group Holdings Ltd.	Cayman Islands	2004/07/15
00754	Hopson Development Holdings Ltd.	Bermuda	1998/05/27
03869	Hospital Corporation of China Ltd.	Cayman Islands	2017/03/16
01742	HPC Holdings Ltd.	Cayman Islands	2018/05/11
00005	HSBC Holdings plc	England	n.a.
01347	Hua Hong Semiconductor Ltd.	Hong Kong	2014/10/15
00969	Hua Lien International (Holding) Co. Ltd.	Cayman Islands	2000/02/02
02552	Hua Medicine – B	Cayman Islands	2018/09/14
03638	Huabang Financial Holdings Ltd.	Cayman Islands	2013/09/09
00336	Huabao International Holdings Ltd.	Bermuda	1992/01/22
01071	Huadian Power International Corporation Ltd. – H Shares	PRC	1999/06/30
02738	Huajin International Holdings Ltd.	Cayman Islands	2016/04/15
01756	Huali University Group Ltd.	Cayman Islands	2019/11/25
00902	Huaneng Power International, Inc. – H Shares	PRC	1998/01/21
01003	Huanxi Media Group Ltd.	Bermuda	1994/02/21
02296	Huarchi Global Group Holdings Ltd.	Cayman Islands	2019/11/21
00993	Huarong International Financial Holdings Ltd.	Bermuda	1994/02/16
01323	Huasheng International Holding Ltd.	Cayman Islands	2011/01/13
06886	Huatai Securities Co., Ltd. – H Shares	PRC	2015/06/01
01689	Huaxi Holdings Co. Ltd.	Cayman Islands	2013/12/06
00419	Huayi Tencent Entertainment Co. Ltd.	Cayman Islands	1987/07/08
01823	HUAYU Expressway Group Ltd.	Cayman Islands	2009/12/23
01673	Huazhang Technology Holding Ltd.	Cayman Islands	2013/05/16
06830	Huazhong In-Vehicle Holdings Co. Ltd.	Cayman Islands	2012/01/12
01179	Huazhu Group Ltd. – S	Cayman Islands	2020/09/22
01806	Huifu Payment Ltd.	Cayman Islands	2018/06/15
09968	Huijing Holdings Co. Ltd.	Cayman Islands	2020/01/16
01303	Huili Resources (Group) Ltd.	Cayman Islands	2012/01/12
02127	Huisen Household International Group Ltd.	Cayman Islands	2020/12/29
03698	Huishang Bank Corporation Ltd. – H shares	PRC	2013/11/12
01340	Huisheng International Holdings Ltd.	Cayman Islands	2014/02/28
01178	Huiyin Holdings Group Ltd.	Cayman Islands	2003/02/10
01419	Human Health Holdings Ltd.	Cayman Islands	2016/04/01
01446	Hung Fook Tong Group Holdings Ltd.	Cayman Islands	2014/07/04
00450	Hung Hing Printing Group Ltd.	Hong Kong	1992/03/16
01611	Huobi Technology Holdings Ltd.	British Virgin Islands	2016/11/21
00704	Huscoke Holdings Ltd.	Bermuda	1991/05/27
00215	Hutchison Telecommunications Hong Kong Holdings Ltd.	Cayman Islands	2009/05/08
01188	Hybrid Kinetic Group Ltd.	Bermuda	1995/04/06
06078	Hygeia Healthcare Holdings Co., Ltd.	Cayman Islands	2020/06/29
01650	Hygieia Group Ltd.	Cayman Islands	2020/07/03
00150	Hypebeast Ltd.	Cayman Islands	2016/04/11
00014	Hysan Development Co. Ltd.	Hong Kong	1981/09/18
00999	I.T Ltd.	Bermuda	2005/03/04
01547	IBI Group Holdings Ltd.	Cayman Islands	2016/10/14
02708	IBO Technology Co. Ltd.	Cayman Islands	2017/12/28
01097	i-CABLE Communications Ltd.	Hong Kong	1999/11/24
01460	ICO Group Ltd.	Cayman Islands	2015/03/18
01402	i-Control Holdings Ltd.	Cayman Islands	2015/05/27
00650	IDG Energy Investment Ltd.	Bermuda	1992/10/07
01119	iDreamSky Technology Holdings Ltd.	Cayman Islands	2018/12/06
00167	IDT International Ltd.	Bermuda	1988/10/21
00799	IGG Inc	Cayman Islands	2013/10/18
00585	Imagi International Holdings Ltd.	Bermuda	1997/03/10
01970	IMAX China Holding, Inc.	Cayman Islands	2015/10/08
06978	Immunotech Biopharm Ltd. – B	Cayman Islands	2020/07/10
01076	Imperial Pacific International Holdings Ltd.	Bermuda	2002/02/11
00776	Imperium Group Global Holdings Ltd.	Cayman Islands	2005/10/13
01286	Impro Precision Industries Ltd.	Cayman Islands	2019/06/28
01500	In Construction Holdings Ltd.	Cayman Islands	2015/04/16
01398	Industrial and Commercial Bank of China Ltd. – H Shares	PRC	2006/10/27
00640	Infinity Development Holdings Co. Ltd.	Cayman Islands	2010/08/12
01442	Infinity Logistics and Transport Ventures Ltd.	Cayman Islands	2020/01/21
03700	Inke Ltd.	Cayman Islands	2018/07/12
01649	Inner Mongolia Energy Engineering Co., Ltd. – H Shares	PRC	2017/07/18
03948	Inner Mongolia Yitai Coal Co., Ltd. – H Shares	PRC	2012/07/12

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09969	InnoCare Pharma Ltd. – B	Cayman Islands	2020/03/23
00399	Innovative Pharmaceutical Biotech Ltd.	Bermuda	2000/08/23
02680	Innovax Holdings Ltd.	Cayman Islands	2018/09/14
01801	Innovent Biologics, Inc.	Cayman Islands	2018/10/31
00596	Inspur International Ltd.	Cayman Islands	2004/04/29
00923	Integrated Waste Solutions Group Holdings Ltd.	Cayman Islands	2010/03/31
06819	IntelliCentrics Global Holdings Ltd.	Cayman Islands	2019/03/27
01563	International Alliance Financial Leasing Co., Ltd.	Cayman Islands	2019/03/15
00147	International Business Settlement Holdings Ltd.	Bermuda	1988/06/06
01009	International Entertainment Corporation	Cayman Islands	2000/07/31
01373	International Housewares Retail Co. Ltd.	Cayman Islands	2013/09/25
01760	Intron Technology Holdings Ltd.	Cayman Islands	2018/07/12
01087	InvesTech Holdings Ltd.	Cayman Islands	2010/11/16
00929	IPE Group Ltd.	Cayman Islands	2004/11/01
01029	IRC Ltd.	Hong Kong	2010/10/21
00438	IRICO Group New Energy Co. Ltd. – H Shares	PRC	2004/12/20
01656	ISDN Holdings Ltd.	Singapore	2017/01/12
00199	ITC Properties Group Ltd.	Bermuda	1994/03/18
01931	IVD Medical Holding Ltd.	Cayman Islands	2019/07/12
01167	Jacobio Pharmaceuticals Group Co., Ltd. – B	Cayman Islands	2020/12/21
02633	Jacobson Pharma Corporation Ltd.	Cayman Islands	2016/09/21
01903	JBB Builders International Ltd.	Cayman Islands	2019/05/10
06618	JD Health International Inc.	Cayman Islands	2020/12/08
09618	JD.com, Inc. – SW	Cayman Islands	2020/06/18
01935	JH Educational Technology INC.	Cayman Islands	2019/06/18
06998	JHBP (CY) Holdings Ltd. – B	Cayman Islands	2020/10/07
01626	Jia Yao Holdings Ltd.	Cayman Islands	2014/06/27
01937	JiaChen Holding Group Ltd.	Cayman Islands	2020/01/17
00602	Jiahua Stores Holdings Ltd.	Cayman Islands	2007/05/21
00865	Jiande International Holdings Ltd.	Cayman Islands	2000/12/29
01366	Jiangnan Group Ltd.	Cayman Islands	2012/04/20
00177	Jiangsu Expressway Co. Ltd. – H Shares	PRC	1997/06/27
02116	Jiangsu Innovative Ecological New Materials Ltd.	Cayman Islands	2018/03/28
01916	Jiangxi Bank Co., Ltd. – H Shares	PRC	2018/06/26
00358	Jiangxi Copper Co. Ltd. – H Shares	PRC	1997/06/12
00589	Jianzhong Construction Development Ltd.	Cayman Islands	2020/03/18
01285	Jiashili Group Ltd.	Cayman Islands	2014/09/25
09908	JiaXing Gas Group Co., Ltd. – H Shares	PRC	2020/07/16
02768	Jiayuan International Group Ltd.	Cayman Islands	2016/03/08
01153	Jiayuan Services Holdings Ltd.	Cayman Islands	2020/12/09
06122	Jilin Jiutai Rural Commercial Bank Corporation Ltd. – H Shs	PRC	2017/01/12
01853	Jilin Province Chuncheng Heating Co. Ltd. – H Shares	PRC	2019/10/24
02362	Jinchuan Group International Resources Co. Ltd.	Cayman Islands	2003/10/27
01862	Jingrui Holdings Ltd.	Cayman Islands	2013/10/31
02225	Jinhai International Group Holdings Ltd.	Cayman Islands	2017/10/17
00137	Jinhui Holdings Co. Ltd.	Hong Kong	1991/12/06
09666	Jinke Smart Services Group Co., Ltd. – H Shares	PRC	2020/11/17
02558	Jinshang Bank Co., Ltd. – H Shares	PRC	2019/07/18
02728	Jintai Energy Holdings Ltd.	Cayman Islands	2005/07/14
01951	Jinxin Fertility Group Ltd.	Cayman Islands	2019/06/25
02358	Jiu Rong Holdings Ltd.	Cayman Islands	2004/07/15
01961	Jiu Zun Digital Interactive Entertainment Group Holdings Ltd.	Cayman Islands	2020/03/17
09922	Jiumaojiu International Holdings Ltd.	Cayman Islands	2020/01/15
01495	Jiyi Holdings Ltd.	Cayman Islands	2015/11/06
03306	JNBY Design Ltd.	Cayman Islands	2016/10/31
00179	Johnson Electric Holdings Ltd.	Bermuda	1984/07/11
02028	Jolimar Holdings Ltd.	Cayman Islands	2005/06/29
00207	Joy City Property Ltd.	Bermuda	1973/03/06
06988	Joy Spreader Interactive Technology Ltd.	Cayman Islands	2020/09/23
01691	JS Global Lifestyle Co. Ltd.	Cayman Islands	2019/12/18
03336	Ju Teng International Holdings Ltd.	Cayman Islands	2005/11/03
01459	Jujiang Construction Group Co., Ltd. – H Shares	PRC	2016/01/12
01425	Justin Allen Holdings Ltd.	Cayman Islands	2019/11/28
03303	Jutal Offshore Oil Services Ltd.	Cayman Islands	2006/09/21
02126	JW (Cayman) Therapeutics Co. Ltd – B	Cayman Islands	2020/11/03
02231	JY Grandmark Holdings Ltd.	Cayman Islands	2019/12/05
00675	K & P International Holdings Ltd.	Bermuda	1997/01/02
01557	K. H. Group Holdings Ltd.	Cayman Islands	2016/03/18
00173	K. Wah International Holdings Ltd.	Bermuda	1987/02/06
02108	K2 F&B Holdings Ltd.	Cayman Islands	2019/03/06
00822	Ka Shui International Holdings Ltd.	Cayman Islands	2007/06/27
00180	Kader Holdings Co. Ltd.	Bermuda	1985/05/24
01215	Kai Yuan Holdings Ltd.	Bermuda	1997/01/17
01638	Kaisa Group Holdings Ltd.	Cayman Islands	2009/12/09
00876	Kaisa Health Group Holdings Ltd.	Bermuda	1997/04/10
02168	Kaisa Prosperity Holdings Ltd.	Cayman Islands	2018/12/06
02307	Kam Hing International Holdings Ltd.	Cayman Islands	2004/09/23
06136	Kangda International Environmental Co. Ltd.	Cayman Islands	2014/07/04
09997	Kangji Medical Holdings Ltd.	Cayman Islands	2020/06/29
06890	KangLi International Holdings Ltd.	Cayman Islands	2018/11/19
01059	Kantone Holdings Ltd.	Cayman Islands	1997/01/23
01050	Karrie International Holdings Ltd.	Bermuda	1996/12/16
00496	Kasen International Holdings Ltd.	Cayman Islands	2005/10/20
02189	Kato (Hong Kong) Holdings Ltd.	Cayman Islands	2019/06/13

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00184	Keck Seng Investments (Hong Kong) Ltd.	Hong Kong	1973/02/24
01134	Kelfred Holdings Ltd.	Cayman Islands	2019/07/16
00636	Kerry Logistics Network Ltd.	Bermuda	2013/12/19
00683	Kerry Properties Ltd.	Bermuda	1996/08/05
00009	KEYNE LTD	Bermuda	2001/09/12
03816	KFM Kingdom Holdings Ltd.	Cayman Islands	2012/10/15
00924	Khoon Group Ltd.	Cayman Islands	2019/07/05
03830	Kiddieland International Ltd.	Cayman Islands	2017/09/21
02122	Kidsland International Holdings Ltd.	Cayman Islands	2017/11/10
06918	Kidztech Holdings Ltd.	Cayman Islands	2020/03/18
06805	Kimou Environmental Holding Ltd.	Cayman Islands	2019/07/16
01722	Kin Pang Holdings Ltd.	Cayman Islands	2017/12/15
01630	Kin Shing Holdings Ltd.	Cayman Islands	2017/06/16
00638	Kin Yat Holdings Ltd.	Bermuda	1997/05/01
03302	Kinergy Corporation Ltd.	Singapore	2018/07/18
01277	Kinetic Mines and Energy Ltd.	Cayman Islands	2012/03/23
00280	King Fook Holdings Ltd.	Hong Kong	1988/03/28
00663	King Stone Energy Group Ltd.	Hong Kong	1990/11/30
01421	Kingbo Strike Ltd.	Cayman Islands	2013/12/30
00148	Kingboard Holdings Ltd.	Cayman Islands	1993/10/08
01888	Kingboard Laminates Holdings Ltd.	Cayman Islands	2006/12/07
00268	Kingdee International Software Group Co. Ltd.	Cayman Islands	2005/07/20
00528	Kingdom Holdings Ltd.	Cayman Islands	2006/12/12
01468	Kingkey Financial International (Holdings) Ltd.	Cayman Islands	2012/08/24
01751	Kingland Group Holdings Ltd.	Cayman Islands	2016/12/16
01170	Kingmaker Footwear Holdings Ltd.	Bermuda	1994/09/29
06822	King's Flair International (Holdings) Ltd.	Cayman Islands	2015/01/16
03888	Kingsoft Corporation Ltd.	Cayman Islands	2007/10/09
01031	Kingston Financial Group Ltd.	Bermuda	1996/07/23
01195	Kingwell Group Ltd.	Cayman Islands	2003/01/20
01110	Kingworld Medicines Group Ltd.	Cayman Islands	2010/11/25
09939	Kintor Pharmaceutical Ltd. – B	Cayman Islands	2020/05/22
00381	Kiu Hung International Holdings Ltd.	Bermuda	2001/01/22
00550	KK Culture Holdings Ltd.	Bermuda	2007/07/23
01025	KNT Holdings Ltd.	Cayman Islands	2019/02/28
00827	Ko Yo Chemical (Group) Ltd.	Cayman Islands	2003/07/10
00295	Kong Sun Holdings Ltd.	Hong Kong	1970/11/28
01797	Koolearn Technology Holding Ltd.	Cayman Islands	2019/03/28
00034	Kowloon Development Co. Ltd.	Hong Kong	1995/07/04
02663	KPa-BM Holdings Ltd.	Cayman Islands	2015/10/08
00439	KuangChi Science Ltd.	Bermuda	1992/03/11
00135	Kunlun Energy Co. Ltd.	Bermuda	1973/03/13
03768	Kunming Dianchi Water Treatment Co., Ltd. – H Shares	PRC	2017/04/06
01559	Kwan On Holdings Ltd.	Cayman Islands	2015/03/27
09998	Kwan Yong Holdings Ltd.	Cayman Islands	2020/01/08
01813	KWG Group Holdings Ltd.	Cayman Islands	2007/07/03
03913	KWG Living Group Holdings Ltd.	Cayman Islands	2020/10/30
00306	Kwoon Chung Bus Holdings Ltd.	Bermuda	1996/09/25
01925	Kwung's Holdings Ltd.	Cayman Islands	2020/01/16
00558	L.K. Technology Holdings Ltd.	Cayman Islands	2006/10/16
01262	Labixiaoxin Snacks Group Ltd.	Bermuda	2011/12/09
01125	Lai Fung Holdings Ltd.	Cayman Islands	1997/11/28
02266	Lai Si Enterprise Holding Ltd.	Cayman Islands	2017/02/10
00488	Lai Sun Development Co. Ltd.	Hong Kong	1988/03/11
00191	Lai Sun Garment (International) Ltd.	Hong Kong	1987/12/03
00411	Lam Soon (Hong Kong) Ltd.	Hong Kong	1972/10/31
01041	Lamtex Holdings Ltd.	Bermuda	1996/12/27
00582	Landing International Development Ltd.	Bermuda	2002/04/30
02132	Landrich Holding Ltd.	Cayman Islands	2020/10/20
00106	Landsea Green Properties Co., Ltd.	Bermuda	1986/03/24
01270	Langham Hospitality Investments Ltd.	Cayman Islands	2013/05/30
00503	Lansen Pharmaceutical Holdings Ltd.	Cayman Islands	2010/05/07
01533	Lanzhou Zhuangyuan Pasture Co., Ltd. – H Shares	PRC	2015/10/15
01690	Lap Kei Engineering (Holdings) Ltd.	Cayman Islands	2015/09/25
02488	Launch Tech Co. Ltd. – H Shares	PRC	2002/10/07
00738	Le Saunda Holdings Ltd.	Bermuda	1992/12/11
01449	Leader Education Ltd.	Cayman Islands	2020/08/06
06999	Leading Holdings Group Ltd.	Cayman Islands	2020/12/10
00746	Lee & Man Chemical Co. Ltd.	Cayman Islands	2002/01/16
02314	Lee & Man Paper Manufacturing Ltd.	Cayman Islands	2003/09/26
00068	Lee Hing Development Ltd.	Hong Kong	1972/11/09
00637	Lee Kee Holdings Ltd.	Cayman Islands	2006/10/04
00387	Leepport (Holdings) Ltd.	Bermuda	2003/07/10
00950	Lee's Pharmaceutical Holdings Ltd.	Cayman Islands	2002/07/15
01540	Left Field Printing Group Ltd.	Bermuda	2018/10/08
03396	Legend Holdings Corporation – H Shares	PRC	2015/06/29
01355	Legend Strategy International Holdings Group Co. Ltd.	Cayman Islands	2011/07/15
00992	Lenovo Group Ltd.	Hong Kong	1994/02/14
00842	Leoch International Technology Ltd.	Cayman Islands	2010/11/16
01225	Lerado Financial Group Co. Ltd.	Bermuda	1998/12/18
00112	Lerthai Group Ltd.	Hong Kong	1972/12/06
01346	Lever Style Corporation	Cayman Islands	2019/11/13
03938	LFG Investment Holdings Ltd.	Cayman Islands	2019/09/30
01978	LH Group Ltd.	Cayman Islands	2018/05/30

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01730	LHN Ltd.	Singapore	2017/12/29
01869	Li Bao Ge Group Ltd.	Cayman Islands	2016/06/30
02331	Li Ning Co. Ltd.	Cayman Islands	2004/06/28
00980	Lianhua Supermarket Holdings Co., Ltd. – H Shares	PRC	2003/06/27
00928	Life Healthcare Group Ltd.	Cayman Islands	2002/04/29
02136	Lifestyle China Group Ltd.	Cayman Islands	2016/07/15
01212	Lifestyle International Holdings Ltd.	Cayman Islands	2004/04/15
01302	LifeTech Scientific Corporation	Cayman Islands	2011/11/10
00784	Ling Yui Holdings Ltd.	Cayman Islands	2017/12/28
03330	Lingbao Gold Group Co. Ltd. – H Shares	PRC	2006/01/12
01143	Link-Asia International MedTech Group Ltd.	Cayman Islands	2011/01/27
01127	Lion Rock Group Ltd.	Bermuda	2011/07/25
00156	Lippo China Resources Ltd.	Hong Kong	n.a.
00226	Lippo Ltd.	Hong Kong	n.a.
09958	Litian Pictures Holdings Ltd.	Cayman Islands	2020/06/22
00194	Liu Chong Hing Investment Ltd.	Hong Kong	1972/11/13
01513	Livzon Pharmaceutical Group Inc. – H Shares	PRC	2014/01/16
01867	LKS Holding Group Ltd.	Cayman Islands	2017/01/12
00973	L'Occitane International S.A.	Luxembourg	2010/05/07
03380	Logan Group Co. Ltd.	Cayman Islands	2013/12/20
00850	Long Well International Holdings Ltd.	Cayman Islands	2002/11/13
00960	Longfor Group Holdings Ltd.	Cayman Islands	2009/11/19
01007	Longhui International Holdings Ltd.	Cayman Islands	2010/10/28
01281	LongiTech Smart Energy Holding Ltd.	Cayman Islands	2012/01/12
02898	Longrun Tea Group Co. Ltd.	Cayman Islands	2002/09/05
03339	Lonking Holdings Ltd.	Cayman Islands	2005/11/17
06063	Lotus Horizon Holdings Ltd.	Cayman Islands	2020/04/15
00311	Luen Thai Holdings Ltd.	Cayman Islands	2004/07/15
00590	Luk Fook Holdings (International) Ltd.	Bermuda	1997/05/06
00366	Luks Group (Vietnam Holdings) Co., Ltd.	Bermuda	1987/01/07
01162	Lumina Group Ltd.	Cayman Islands	2017/10/25
00255	Lung Kee (Bermuda) Holdings Ltd.	Bermuda	1993/03/05
01108	Luoyang Glass Co. Ltd. – H Shares	PRC	1994/07/08
01327	Luxxu Group Ltd.	Cayman Islands	2015/01/30
02186	Luye Pharma Group Ltd.	Bermuda	2014/07/09
01461	LUZHENG FUTURES Co. Ltd. – H shares	PRC	2015/07/07
01983	Luzhou Bank Co., Ltd. – H Shares	PRC	2018/12/17
02281	Luzhou Xinglu Water (Group) Co., Ltd. – H Shares	PRC	2017/03/31
00095	LVGEM (China) Real Estate Investment Co. Ltd.	Cayman Islands	2005/12/02
01745	Lvji Technology Holdings Inc.	Cayman Islands	2020/01/17
00323	Maanshan Iron & Steel Co. Ltd. – H Shares	PRC	1993/11/03
02181	Mabpharm Ltd. – B	Cayman Islands	2019/05/31
01408	Macau E&M Holding Ltd.	Cayman Islands	2020/09/11
01680	Macau Legend Development Ltd.	Cayman Islands	2013/07/05
00758	Macrolink Capital Holdings Ltd.	Bermuda	1999/12/03
00201	Magnificent Hotel Investments Ltd.	Hong Kong	1972/11/17
01172	Magnus Concordia Group Ltd.	Cayman Islands	1996/06/12
01553	Maike Tube Industry Holdings Ltd.	Cayman Islands	2019/12/18
01100	Mainland Headwear Holdings Ltd.	Bermuda	2000/12/13
01389	Major Holdings Ltd.	Cayman Islands	2014/01/10
02193	Man King Holdings Ltd.	Cayman Islands	2015/07/03
00938	Man Sang International Ltd.	Bermuda	1997/09/26
01746	Man Shun Group (Holdings) Ltd.	Cayman Islands	2018/07/11
01999	Man Wah Holdings Ltd.	Bermuda	2010/04/09
00894	Man Yue Technology Holdings Ltd.	Bermuda	1997/03/05
02180	ManpowerGroup Greater China Ltd.	Cayman Islands	2019/07/10
00945	Manulife Financial Corporation – S	Canada	1999/09/27
01896	Maoyan Entertainment	Cayman Islands	2019/02/04
00848	Maoye International Holdings Ltd.	Cayman Islands	2008/05/05
00273	Mason Group Holdings Ltd.	Hong Kong	n.a.
00275	Master Glory Group Ltd.	Bermuda	1991/12/16
01005	Matrix Holdings Ltd.	Bermuda	1994/02/18
01037	Maxnerva Technology Services Ltd.	Bermuda	1994/04/14
01116	Mayer Holdings Ltd.	Cayman Islands	2004/06/21
01957	MBV International Ltd.	Cayman Islands	2020/07/08
01183	MECOM Power and Construction Ltd.	Cayman Islands	2018/02/13
00685	Media Chinese International Ltd.	Bermuda	1991/03/22
02230	Medialink Group Ltd.	Cayman Islands	2019/05/21
00391	Mei Ah Entertainment Group Ltd.	Bermuda	1993/10/18
02327	Meilleure Health International Industry Group Ltd.	Bermuda	2003/10/14
01357	Meitu, Inc.	Cayman Islands	2016/12/15
03690	Meituan – W	Cayman Islands	2018/09/20
00158	Melbourne Enterprises Ltd.	Hong Kong	1972/08/29
00200	Melco International Development Ltd.	Hong Kong	n.a.
01618	Metallurgical Corporation of China Ltd. – H Shares	PRC	2009/09/24
00022	Mexan Ltd.	Bermuda	1972/12/14
02282	MGM China Holdings Ltd.	Cayman Islands	2011/06/03
00853	MicroPort Scientific Corporation	Cayman Islands	2010/09/24
01985	Microware Group Ltd.	Cayman Islands	2017/03/08
03990	Midea Real Estate Holding Ltd.	Cayman Islands	2018/10/11
01200	Midland Holdings Ltd.	Bermuda	1995/06/08
00459	Midland IC&I Ltd.	Cayman Islands	2001/03/15
01555	MIE Holdings Corporation	Cayman Islands	2010/12/14
01715	Miji International Holdings Ltd.	Cayman Islands	2018/07/16

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01247	Miko International Holdings Ltd.	Cayman Islands	2014/01/15
01150	Milan Station Holdings Ltd.	Cayman Islands	2011/05/23
01667	Milestone Builder Holdings Ltd.	Cayman Islands	2017/04/07
02892	Million Cities Holdings Ltd.	Cayman Islands	2018/12/20
01897	Million Hope Industries Holdings Ltd.	Cayman Islands	2019/03/19
00222	Min Xin Holdings Ltd.	Hong Kong	1982/06/28
03828	Ming Fai International Holdings Ltd.	Cayman Islands	2007/11/02
01106	Ming Lam Holdings Ltd.	Cayman Islands	2003/06/25
00909	Ming Yuan Cloud Group Holdings Ltd.	Cayman Islands	2020/09/25
00846	Mingfa Group (International) Co. Ltd.	Cayman Islands	2009/11/13
00230	Minmetals Land Ltd.	Bermuda	1991/12/20
01632	Minshang Creative Technology Holdings Ltd.	Cayman Islands	2016/11/29
01569	Minsheng Education Group Co. Ltd.	Cayman Islands	2017/03/22
00425	Minth Group Ltd.	Cayman Islands	2005/12/01
00071	Miramar Hotel & Investment Co. Ltd.	Hong Kong	n.a.
01827	Miracor Enterprises Holdings Ltd.	Cayman Islands	2017/01/10
01208	MMG Ltd.	Hong Kong	1994/12/15
00947	MOBI Development Co., Ltd.	Cayman Islands	2009/12/17
01213	Mobicon Group Ltd.	Bermuda	2001/05/07
01439	Mobile Internet (China) Holdings Ltd.	Cayman Islands	2014/01/13
01860	Mobvista Inc.	Cayman Islands	2018/12/12
03600	Modern Dental Group Ltd.	Cayman Islands	2015/12/15
00919	Modern Healthcare Technology Holdings Ltd.	Cayman Islands	2006/02/09
01107	Modern Land (China) Co., Ltd.	Cayman Islands	2013/07/12
00072	Modern Media Holdings Ltd.	Cayman Islands	2009/09/09
01942	MOG Holdings Ltd.	Cayman Islands	2020/04/15
00130	Moiselle International Holdings Ltd.	Cayman Islands	2002/02/11
01152	Momentum Financial Holdings Ltd.	Bermuda	2011/10/28
00276	Mongolia Energy Corporation Ltd.	Bermuda	1972/08/23
00975	Mongolian Mining Corporation	Cayman Islands	2010/10/13
01400	Moody Technology Holdings Ltd.	Bermuda	2014/04/25
01575	Morris Holdings Ltd.	Cayman Islands	2017/01/12
01653	MOS House Group Ltd.	Cayman Islands	2018/10/19
01716	Most Kwai Chung Ltd.	Cayman Islands	2018/03/28
01451	MS Group Holdings Ltd.	Cayman Islands	2018/06/01
00066	MTR Corporation Ltd.	Hong Kong	2000/10/05
01817	Mulsanne Group Holding Ltd.	Cayman Islands	2019/05/27
00898	Multifield International Holdings Ltd.	Bermuda	1998/07/31
03918	NagaCorp Ltd.	Cayman Islands	2006/10/19
01982	Nameson Holdings Ltd.	Cayman Islands	2016/04/12
00680	Nan Hai Corporation Ltd.	Bermuda	1991/02/13
01229	Nan Nan Resources Enterprise Ltd.	Bermuda	1995/04/13
01617	Nanfeng Communication Holdings Ltd.	Cayman Islands	2016/12/12
00553	Nanjing Panda Electronics Co. Ltd. – H Shares	PRC	1996/05/02
01708	Nanjing Sample Technology Co. Ltd. – H Shares	PRC	2004/06/09
03332	Nanjing Sinolife United Co. Ltd. – H Shares	PRC	2014/01/15
00212	Nanyang Holdings Ltd.	Bermuda	1954/09/15
00213	National Electronics Holdings Ltd.	Bermuda	1985/02/04
01227	National Investments Fund Ltd.	Cayman Islands	2002/09/27
00254	National United Resources Holdings Ltd.	Hong Kong	1972/11/16
00157	Natural Beauty Bio-Technology Ltd.	Cayman Islands	2002/03/28
00462	Natural Dairy (NZ) Holdings Ltd.	Cayman Islands	2005/06/10
01837	Natural Food International Holding Ltd.	Cayman Islands	2018/12/12
02083	Nature Home Holding Co. Ltd.	Cayman Islands	2011/05/26
01868	Neo-Neon Holdings Ltd.	Cayman Islands	2006/12/15
00777	NetDragon Websoft Holdings Ltd.	Cayman Islands	2008/06/24
09999	NetEase, Inc. – S	Cayman Islands	2020/06/11
02131	Netjoy Holdings Ltd.	Cayman Islands	2020/12/17
09616	Neusoft Education Technology Co. Ltd.	Cayman Islands	2020/09/29
00234	New Century Group Hong Kong Ltd.	Bermuda	1972/11/24
01518	New Century Healthcare Holding Co. Ltd.	Cayman Islands	2017/01/18
01336	New China Life Insurance Co. Ltd. – H Shares	PRC	2011/12/15
00456	New City Development Group Ltd.	Cayman Islands	1993/07/14
02221	New Concepts Holdings Ltd.	Cayman Islands	2014/09/19
00360	New Focus Auto Tech Holdings Ltd.	Cayman Islands	2005/02/28
09901	New Oriental Education & Technology Group Inc. – S	Cayman Islands	2020/11/09
02326	New Provenance Everlasting Holdings Ltd.	Bermuda	2003/03/03
06108	New Ray Medicine International Holding Ltd.	Bermuda	2013/10/25
00472	New Silkroad Culturaltainment Ltd.	Bermuda	1988/01/29
00166	New Times Energy Corporation Ltd.	Bermuda	1998/10/13
00436	New Universe Environmental Group Ltd.	Cayman Islands	2000/05/18
00825	New World Department Store China Ltd.	Cayman Islands	2007/07/12
00017	New World Development Co. Ltd.	Hong Kong	1972/11/23
00055	Newway Group Holdings Ltd.	Bermuda	1992/09/10
09911	Newborn Town Inc.	Cayman Islands	2019/12/31
00342	NewOcean Energy Holdings Ltd.	Bermuda	1993/03/03
01231	Newton Resources Ltd.	Cayman Islands	2011/07/04
00282	Next Digital Ltd.	Hong Kong	n.a.
01316	Nexteer Automotive Group Ltd.	Cayman Islands	2013/10/07
01047	Ngai Hing Hong Co. Ltd.	Bermuda	1994/04/25
00186	Nimble Holdings Co. Ltd.	Bermuda	1987/07/09
02689	Nine Dragons Paper (Holdings) Ltd.	Bermuda	2006/03/03
01245	Niraku GC Holdings, Inc.	Japan	2015/04/08
01475	Nissin Foods Co. Ltd.	Hong Kong	2017/12/11

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
03773	NNK Group Ltd.	Cayman Islands	2016/01/07
09633	Nongfu Spring Co., Ltd. – H Shares	PRC	2020/09/08
00433	North Mining Shares Co. Ltd.	Bermuda	n.a.
00042	Northeast Electric Development Co. Ltd. – H Shares	PRC	1995/07/06
01360	NOVA Group Holdings Ltd.	Cayman Islands	2013/11/06
02222	NVC International Holdings Ltd.	Cayman Islands	2010/05/20
00659	NWS Holdings Ltd.	Bermuda	1997/04/25
00329	OCI International Holdings Ltd.	Cayman Islands	2001/05/09
01477	Ocumension Therapeutics – B	Cayman Islands	2020/07/10
01319	Oi Wah Pawnshop Credit Holdings Ltd.	Cayman Islands	2013/03/12
01499	OKG Technology Holdings Ltd.	Cayman Islands	2015/09/02
01655	Okura Holdings Ltd.	Hong Kong	2017/05/15
00426	One Media Group Ltd.	Cayman Islands	2005/10/18
01933	OneForce Holdings Ltd.	Cayman Islands	2018/03/02
01132	Orange Sky Golden Harvest Entertainment (Holdings) Ltd.	Bermuda	1994/11/23
00316	Orient Overseas (International) Ltd.	Bermuda	1992/07/31
00265	Orient Victory Travel Group Co. Ltd.	Cayman Islands	n.a.
00430	Oriental Explorer Holdings Ltd.	Bermuda	1993/03/30
00018	Oriental Press Group Ltd.	Hong Kong	1987/08/18
00398	Oriental Watch Holdings Ltd.	Bermuda	1993/10/12
00622	Oshidori International Holdings Ltd.	Bermuda	1991/09/16
06899	Ourgame International Holdings Ltd.	Cayman Islands	2014/06/30
03366	Overseas Chinese Town (Asia) Holdings Ltd.	Cayman Islands	2005/11/02
02014	Ozner Water International Holding Ltd.	Cayman Islands	2014/06/17
00574	Pa Shun International Holdings Ltd.	Cayman Islands	2015/06/19
02343	Pacific Basin Shipping Ltd.	Bermuda	2004/07/14
00432	Pacific Century Premium Developments Ltd.	Bermuda	1993/09/20
01820	Pacific Millennium Packaging Group Corporation	Cayman Islands	2018/12/21
00543	Pacific Online Ltd.	Cayman Islands	2007/12/18
01382	Pacific Textiles Holdings Ltd.	Cayman Islands	2007/05/18
01010	PacRay International Holdings Ltd.	Bermuda	1994/02/18
00239	Pak Fah Yeow International Ltd.	Bermuda	1991/12/18
02668	Pak Tak International Ltd.	Bermuda	2001/12/06
01703	Palace Banquet Holdings Ltd.	Cayman Islands	2019/02/15
00495	Paladin Ltd.	Bermuda	1988/07/20
00617	Paliburg Holdings Ltd.	Bermuda	1993/12/17
01561	Pan Asia Data Holdings Inc.	Cayman Islands	2015/12/01
00556	Pan Asia Environmental Protection Group Ltd.	Cayman Islands	2007/12/21
02078	PanAsialum Holdings Co. Ltd.	Cayman Islands	2013/02/05
01180	Paradise Entertainment Ltd.	Bermuda	1997/01/20
03368	Parkson Retail Group Ltd.	Cayman Islands	2005/11/30
00327	PAX Global Technology Ltd.	Bermuda	2010/12/20
01263	PC Partner Group Ltd.	Cayman Islands	2012/01/12
00008	PCCW Ltd.	Hong Kong	1994/10/18
00676	Pegasus International Holdings Ltd.	Bermuda	1996/10/11
09996	Peijia Medical Ltd. – B	Cayman Islands	2020/05/15
02885	Peiport Holdings Ltd.	Cayman Islands	2019/01/11
00618	Peking University Resources (Holdings) Co. Ltd.	Bermuda	1991/10/07
01665	Pentamaster International Ltd.	Cayman Islands	2018/01/19
02798	Perennial Energy Holdings Ltd.	Cayman Islands	2018/12/12
00725	Perennial International Ltd.	Bermuda	1996/12/30
03326	Perfect Group International Holdings Ltd.	Cayman Islands	2016/01/04
01830	Perfect Shape Medical Ltd.	Cayman Islands	2012/02/10
00765	Perfecttech International Holdings Ltd.	Bermuda	1992/10/23
03395	Persta Resources Inc.	Canada	2017/03/10
00857	PetroChina Co. Ltd. – H Shares	PRC	2000/04/07
02178	Petro-king Oilfield Services Ltd.	British Virgin Islands	2013/03/06
03759	Pharmaron Beijing Co., Ltd. – H Shares	PRC	2019/11/28
02008	Phoenix Media Investment (Holdings) Ltd.	Cayman Islands	2000/06/30
02328	PICC Property and Casualty Co. Ltd. – H Shares	PRC	2003/11/06
00752	Pico Far East Holdings Ltd.	Cayman Islands	1992/09/28
01989	Pine Care Group Ltd.	Cayman Islands	2017/02/15
01079	Pine Technology Holdings Ltd.	Bermuda	1999/11/26
00804	Pinestone Captial Ltd.	Cayman Islands	2015/06/12
01833	Ping An Healthcare and Technology Co. Ltd.	Cayman Islands	2018/05/04
02318	Ping An Insurance (Group) Co. of China, Ltd. – H Shares	PRC	2004/06/24
00231	Ping An Securities Group (Holdings) Ltd.	Bermuda	n.a.
00224	Pioneer Global Group Ltd.	Bermuda	n.a.
01865	Pipeline Engineering Holdings Ltd.	Cayman Islands	2019/03/27
00613	Planetree International Development Ltd.	Bermuda	1993/11/12
01949	Platt Nera International Ltd.	Cayman Islands	2019/07/16
00635	Playmates Holdings Ltd.	Bermuda	1994/01/06
00869	Playmates Toys Ltd.	Bermuda	2008/02/01
01523	Plover Bay Technologies Ltd.	Cayman Islands	2016/07/13
00225	Pokfulam Development Co. Ltd.	Hong Kong	1972/12/19
03636	Poly Culture Group Corporation Ltd. – H Shares	PRC	2014/03/06
00119	Poly Property Group Co., Ltd.	Hong Kong	1973/08/30
06049	Poly Property Services Co., Ltd. – H Shares	PRC	2019/12/19
00208	Polytec Asset Holdings Ltd.	Cayman Islands	1998/09/09
09992	Pop Mart International Group Ltd.	Cayman Islands	2020/12/11
01658	Postal Savings Bank of China Co., Ltd. – H Shares	PRC	2016/09/28
03813	Pou Sheng International (Holdings) Ltd.	Bermuda	2008/06/06
00006	Power Assets Holdings Ltd.	Hong Kong	n.a.
00397	Power Financial Group Ltd.	Bermuda	1993/10/13

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Code	Company name	Incorporation	Listing date
09909	Powerlong Commercial Management Holdings Ltd.	Cayman Islands	2019/12/30
01238	Powerlong Real Estate Holdings Ltd.	Cayman Islands	2009/10/14
01913	PRADA S.p.A.	Italy	2011/06/24
01861	Precious Dragon Technology Holdings Ltd.	Cayman Islands	2019/06/21
01651	Precision Tsugami (China) Corporation Ltd.	Cayman Islands	2017/09/25
01809	Prinx Chengshan (Cayman) Holding Ltd.	Cayman Islands	2018/10/09
01581	Progressive Path Group Holdings Ltd.	Cayman Islands	2016/12/08
06816	Prosper Construction Holdings Ltd.	Cayman Islands	2016/07/20
01470	Prosper One International Holdings Co. Ltd.	Cayman Islands	2015/05/12
00803	Prosperity International Holdings (H.K.) Ltd.	Bermuda	2001/08/02
00310	Prosperity Investment Holdings Ltd.	Bermuda	1992/12/21
01259	Prosperous Future Holdings Ltd.	Cayman Islands	2011/07/15
01731	Prosperous Industrial (Holdings) Ltd.	Cayman Islands	2018/07/13
02378	Prudential plc	England	2010/05/25
00372	PT International Development Corporation Ltd.	Bermuda	1992/02/13
00626	Public Financial Holdings Ltd.	Bermuda	1991/10/03
02060	Pujiang International Group Ltd.	Cayman Islands	2019/05/28
01498	PuraPharm Corporation Ltd.	Cayman Islands	2015/07/08
01720	Putian Communication Group Ltd.	Cayman Islands	2017/11/09
00090	Puxing Energy Ltd.	Cayman Islands	2009/07/10
01358	PW Medtech Group Ltd.	Cayman Islands	2013/11/08
00498	PYI Corporation Ltd.	Bermuda	1993/09/21
01412	Q P Group Holdings Ltd.	Cayman Islands	2020/01/16
01478	Q Technology (Group) Co. Ltd.	Cayman Islands	2014/12/02
01739	Qeeka Home (Cayman) Inc.	Cayman Islands	2018/07/12
00911	Qianhai Health Holdings Ltd.	Cayman Islands	2014/06/27
01280	Qidian International Co., Ltd.	Cayman Islands	2010/03/25
01576	Qilu Expressway Co. Ltd. – H Shares	PRC	2018/07/19
00499	Qingdao Holdings International Ltd.	Bermuda	1988/07/22
06198	Qingdao Port International Co., Ltd. – H Shares	PRC	2014/06/06
01122	Qingling Motors Co. Ltd. – H Shares	PRC	1994/08/17
03369	Qinhuangdao Port Co., Ltd. – H Shares	PRC	2013/12/12
01583	Qinqin Foodstuffs Group (Cayman) Co. Ltd.	Cayman Islands	2016/07/08
00243	QPL International Holdings Ltd.	Bermuda	1987/01/20
01348	Quali-Smart Holdings Ltd.	Cayman Islands	2013/01/23
01577	Quanzhou Huixin Micro-credit Co., Ltd. – H Shares	PRC	2016/09/30
09993	Radiance Holdings (Group) Co. Ltd.	Cayman Islands	2020/10/29
01376	Raffles Interior Ltd.	Cayman Islands	2020/05/07
02135	Raily Aesthetic Medicine International Holdings Ltd.	Cayman Islands	2020/12/28
00601	Rare Earth Magnesium Technology Group Holdings Ltd.	Bermuda	1993/01/28
00229	Raymond Industrial Ltd.	Hong Kong	1984/11/22
01337	Razer Inc.	Cayman Islands	2017/11/13
02010	Real Nutraceutical Group Ltd.	Cayman Islands	2010/02/19
01196	Realord Group Holdings Ltd.	Bermuda	1997/01/20
01528	Red Star Macalline Group Corporation Ltd. – H Shares	PRC	2015/06/26
01622	Redco Properties Group Ltd.	Cayman Islands	2014/01/30
01996	Redsun Properties Group Ltd.	Cayman Islands	2018/07/12
01971	Redsun Services Group Ltd.	Cayman Islands	2020/07/07
01631	REF Holdings Ltd.	Cayman Islands	2015/09/25
00078	Regal Hotels International Holdings Ltd.	Bermuda	n.a.
00575	Regent Pacific Group Ltd.	Cayman Islands	1997/05/19
02199	Regina Miracle International (Holdings) Ltd.	Cayman Islands	2015/10/08
00723	Reliance Global Holdings Ltd.	Bermuda	1991/07/18
01750	REM Group (Holdings) Ltd.	Cayman Islands	2018/05/11
09995	RemeGen Co., Ltd. – B – H Shares	PRC	2020/11/09
02323	Renco Holdings Group Ltd.	Bermuda	2002/06/21
03628	RENHENG Enterprise Holdings Ltd.	Cayman Islands	2011/11/18
06919	Renrui Human Resources Technology Holdings Ltd.	Cayman Islands	2019/12/13
00885	Rentian Technology Holdings Ltd.	Cayman Islands	2001/07/12
00555	REXLot Holdings Ltd.	Bermuda	2000/09/28
01741	Ri Ying Holdings Ltd.	Cayman Islands	2018/10/16
00070	Rich Goldman Holdings Ltd.	Hong Kong	n.a.
00313	Richly Field China Development Ltd.	Bermuda	1993/01/05
01526	Rici Healthcare Holdings Ltd.	Cayman Islands	2016/10/06
01953	Rimbaco Group Global Ltd.	Cayman Islands	2020/04/28
01679	Risecomm Group Holdings Ltd.	Cayman Islands	2017/06/09
01936	Ritamix Global Ltd.	Cayman Islands	2020/05/13
00281	Rivera (Holdings) Ltd.	Hong Kong	1973/01/23
01417	Riverine China Holdings Ltd.	Cayman Islands	2017/12/11
06117	Rizhao Port Jurong Co., Ltd. – H Shares	PRC	2019/06/19
01098	Road King Infrastructure Ltd.	Bermuda	1996/07/04
03301	Ronshine China Holdings Ltd.	Cayman Islands	2016/01/13
00578	Rosan Resources Holdings Ltd.	Bermuda	1997/05/15
03789	Royal Deluxe Holdings Ltd.	Cayman Islands	2017/02/08
01198	Royale Home Holdings Ltd.	Cayman Islands	2002/05/15
01640	Ruicheng (China) Media Group Ltd.	Cayman Islands	2019/11/12
02025	Ruifeng Power Group Co. Ltd.	Cayman Islands	2018/01/05
00724	Ruixin International Holdings Ltd.	Bermuda	2000/07/03
02288	Rykadan Capital Ltd.	Cayman Islands	2009/08/21
00251	S E A Holdings Ltd.	Bermuda	1973/10/03
01695	S&P International Holding Ltd.	Cayman Islands	2017/07/11
03928	S&T Holdings Ltd.	Cayman Islands	2019/09/19
01832	S.A.I. Leisure Group Co. Ltd.	Cayman Islands	2019/05/16
01184	S.A.S. Dragon Holdings Ltd.	Bermuda	1994/10/17

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Code	Company name	Incorporation	Listing date
00178	Sa Sa International Holdings Ltd.	Cayman Islands	1997/06/13
00237	Safety Godown Co. Ltd.	Hong Kong	1973/01/09
03822	Sam Woo Construction Group Ltd.	Cayman Islands	2014/10/16
00531	Samson Holding Ltd.	Cayman Islands	2005/11/17
00731	Samson Paper Holdings Ltd.	Bermuda	1995/12/01
01910	Samsonite International S.A.	Luxembourg	2011/06/16
00236	San Miguel Brewery Hong Kong Ltd.	Hong Kong	n.a.
01889	Sanai Health Industry Group Co. Ltd.	Cayman Islands	2007/02/01
00482	Sandmartin International Holdings Ltd.	Bermuda	2005/05/12
01928	Sands China Ltd.	Cayman Islands	2009/11/30
01472	Sang Hing Holdings (International) Ltd.	Cayman Islands	2020/03/17
02183	Sansheng Holdings (Group) Co. Ltd.	Cayman Islands	2013/09/12
00301	SANVO Fine Chemicals Group Ltd.	Cayman Islands	2020/01/16
00631	Sany Heavy Equipment International Holdings Co. Ltd.	Cayman Islands	2009/11/25
01769	Scholar Education Group	Cayman Islands	2019/06/21
01030	Seazen Group Ltd.	Cayman Islands	2012/11/29
00205	SEEC Media Group Ltd.	Bermuda	1993/01/05
09929	SEM Holdings Ltd.	Cayman Islands	2020/02/14
00981	Semiconductor Manufacturing International Corporation	Cayman Islands	2004/03/18
01755	S-Enjoy Service Group Co., Ltd.	Cayman Islands	2018/11/06
01447	SFK Construction Holdings Ltd.	Bermuda	2015/12/10
01367	SFund International Holdings Ltd.	Cayman Islands	2014/07/11
01657	SG Group Holdings Ltd.	Cayman Islands	2017/03/21
01637	SH Group (Holdings) Ltd.	Cayman Islands	2017/01/03
01812	Shandong Chenming Paper Holdings Ltd. – H Shares	PRC	2008/06/18
09977	Shandong Fengxiang Co., Ltd. – H Shares	PRC	2020/07/16
01787	Shandong Gold Mining Co., Ltd. – H Shares	PRC	2018/09/28
01697	Shandong International Trust Co., Ltd. – H Shares	PRC	2017/12/08
00568	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	PRC	2007/02/07
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	PRC	2004/02/27
00719	Shandong Xinhua Pharmaceutical Co. Ltd. – H Shares	PRC	1996/12/31
01103	Shanghai Dasheng Agriculture Finance Technology Co. Ltd-H Sh	PRC	2005/07/13
01635	Shanghai Dazhong Public Utilities (Group) Co., Ltd. – H Shs	PRC	2016/12/05
02718	Shanghai Dongzheng Automotive Finance Co., Ltd. – H Shares	PRC	2019/04/03
02727	Shanghai Electric Group Co. Ltd. – H Shares	PRC	2005/04/28
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares	PRC	2012/10/30
01385	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	PRC	2000/08/04
01349	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. –H Shs	PRC	2002/08/13
01525	Shanghai Gench Education Group Ltd.	Cayman Islands	2020/01/16
06826	Shanghai Haohai Biological Technology Co., Ltd. – H Shares	PRC	2015/04/30
02696	Shanghai Henlius Biotech, Inc. – B – H Shares	PRC	2019/09/25
00363	Shanghai Industrial Holdings Ltd.	Hong Kong	1996/05/30
00563	Shanghai Industrial Urban Development Group Ltd.	Bermuda	1993/09/10
00770	Shanghai International Shanghai Growth Investment Ltd.	Cayman Islands	1993/11/30
02006	Shanghai Jin Jiang Capital Co. Ltd. – H Shares	PRC	2006/12/15
01877	Shanghai Junshi Biosciences Co., Ltd. – H Shares	PRC	2018/12/24
01501	Shanghai Kindly Medical Instruments Co., Ltd. – H Shares	PRC	2019/11/08
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	PRC	2011/05/20
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	PRC	2006/04/27
01835	Shanghai Realway Capital Assets Management Co., Ltd. – H Shs	PRC	2018/11/13
00755	Shanghai Zendai Property Ltd.	Bermuda	1992/10/27
00069	Shangri-La Asia Ltd.	Bermuda	1993/06/17
01749	Shanshan Brand Management Co., Ltd. – H Shares	PRC	2018/06/27
00953	Shaw Brothers Holdings Ltd.	Cayman Islands	2010/02/01
01335	Sheen Tai Holdings Group Co. Ltd.	Cayman Islands	2012/07/13
06069	Sheng Ye Capital Ltd.	Cayman Islands	2017/07/06
00851	Sheng Yuan Holdings Ltd.	Bermuda	1998/12/02
02066	Shengjing Bank Co., Ltd. – H Shares	PRC	2014/12/29
01080	Shengli Oil & Gas Pipe Holdings Ltd.	Cayman Islands	2009/12/18
00829	Shenguan Holdings (Group) Ltd.	Cayman Islands	2009/10/13
00218	Shenwan Hongyuan (H.K.) Ltd.	Hong Kong	n.a.
06806	Shenwan Hongyuan Group Co., Ltd. – H Shares	PRC	2019/04/26
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	PRC	1999/12/16
00548	Shenzhen Expressway Co. Ltd. – H Shares	PRC	1997/03/12
09989	Shenzhen Hepalink Pharmaceutical Group Co., Ltd. – H Shares	PRC	2020/07/08
00152	Shenzhen International Holdings Ltd.	Bermuda	1972/09/25
00737	Shenzhen Investment Holdings Bay Area Development Co. Ltd.	Cayman Islands	2003/08/06
00604	Shenzhen Investment Ltd.	Hong Kong	1997/03/07
02313	Shenzhou International Group Holdings Ltd.	Cayman Islands	2005/11/24
01633	Sheung Yue Group Holdings Ltd.	Cayman Islands	2016/11/11
01831	ShiFang Holding Ltd.	Bermuda	2010/12/03
00813	Shimao Group Holdings Ltd.	Cayman Islands	2006/07/05
00873	Shimao Services Holdings Ltd.	Cayman Islands	2020/10/30
01930	Shinelong Automotive Lightweight Application Ltd.	Cayman Islands	2019/06/28
01587	Shineroad International Holdings Ltd.	Cayman Islands	2018/06/27
02599	Shinsun Holdings (Group) Co., Ltd.	Cayman Islands	2020/11/18
00312	Shirble Department Store Holdings (China) Ltd.	Cayman Islands	2010/11/17
00666	SHK Hong Kong Industries Ltd.	Hong Kong	1990/12/21
00697	Shoucheng Holdings Ltd.	Hong Kong	1991/04/30
00103	Shougang Concord Century Holdings Ltd.	Hong Kong	1992/04/09
00730	Shougang Concord Grand (Group) Ltd.	Bermuda	1991/08/08
00639	Shougang Fushan Resources Group Ltd.	Hong Kong	1990/10/02
01706	Shuang Yun Holdings Ltd.	Cayman Islands	2017/11/15
01241	Shuanghua Holdings Ltd.	Cayman Islands	2011/06/30

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00272	Shui On Land Ltd.	Cayman Islands	2006/10/04
00253	Shun Ho Holdings Ltd.	Hong Kong	n.a.
00219	Shun Ho Property Investments Ltd.	Hong Kong	1973/05/10
00242	Shun Tak Holdings Ltd.	Hong Kong	1973/01/25
01591	Shun Wo Group Holdings Ltd.	Cayman Islands	2016/09/28
01165	Shunfeng International Clean Energy Ltd.	Cayman Islands	2011/07/13
00932	Shunten International (Holdings) Ltd.	Cayman Islands	2013/10/11
01142	Siberian Mining Group Co. Ltd.	Cayman Islands	2002/11/08
01713	Sichuan Energy Investment Development Co., Ltd. – H Shares	PRC	2018/12/28
01017	Sichuan Expressway Co. Ltd. – H Shares	PRC	1997/10/07
02606	Sichuan Languang Justbon Services Group Co., Ltd. – H Shares	PRC	2019/10/18
00460	Sihuan Pharmaceutical Holdings Group Ltd.	Bermuda	2010/10/28
00807	SIIC Environment Holdings Ltd.	Singapore	2018/03/23
00988	Silk Road Logistics Holdings Ltd.	Bermuda	1994/01/21
00886	Silver Base Group Holdings Ltd.	Cayman Islands	2009/04/08
00171	Silver Grant International Holdings Group Ltd.	Hong Kong	n.a.
01943	Silver Tide Holdings Ltd.	Cayman Islands	2019/06/28
02000	SIM Technology Group Ltd.	Bermuda	2005/06/30
02096	Simcere Pharmaceutical Group Ltd.	Hong Kong	2020/10/27
00244	Sincere Co. Ltd., The	Hong Kong	n.a.
00444	Sincere Watch (Hong Kong) Ltd.	Cayman Islands	2005/10/17
06833	Sinco Pharmaceuticals Holdings Ltd.	Cayman Islands	2016/03/10
01105	Sing Tao News Corporation Ltd.	Bermuda	1996/07/10
00716	Singamas Container Holdings Ltd.	Hong Kong	1993/07/08
02103	Sinic Holdings (Group) Co. Ltd.	Cayman Islands	2019/11/15
01177	Sino Biopharmaceutical Ltd.	Cayman Islands	2003/12/08
01096	Sino Energy International Holdings Group Ltd.	Cayman Islands	2011/09/28
01759	Sino Gas Holdings Group Ltd.	Cayman Islands	2018/12/28
00361	Sino Golf Holdings Ltd.	Bermuda	2000/12/20
01663	Sino Harbour Holdings Group Ltd.	Bermuda	2011/07/22
01221	Sino Hotels (Holdings) Ltd.	Cayman Islands	1995/03/08
00365	Sino ICT Holdings Ltd.	Bermuda	2000/10/16
00083	Sino Land Co. Ltd.	Hong Kong	1981/04/08
00702	Sino Oil and Gas Holdings Ltd.	Bermuda	2000/02/09
00766	Sino Prosper (Group) Holdings Ltd.	Cayman Islands	2002/05/15
06933	Sino-Entertainment Technology Holdings Ltd.	Cayman Islands	2020/07/15
00297	Sinofert Holdings Ltd.	Bermuda	1996/09/30
00250	Sino-i Technology Ltd.	Hong Kong	n.a.
01168	Sinolink Worldwide Holdings Ltd.	Bermuda	1998/06/08
03681	SinoMab BioScience Ltd. – B	Hong Kong	2019/11/12
01418	Sinomax Group Ltd.	Cayman Islands	2014/07/10
00623	SinoMedia Holding Ltd.	Hong Kong	2008/07/08
03377	Sino-Ocean Group Holding Ltd.	Hong Kong	2007/09/28
06677	Sino-Ocean Service Holding Ltd.	Cayman Islands	2020/12/17
02386	SINOPEC Engineering (Group) Co., Ltd. – H Shares	PRC	2013/05/23
00934	Sinopec Kantons Holdings Ltd.	Bermuda	1999/06/25
01033	Sinopec Oilfield Service Corporation – H Shares	PRC	1994/03/29
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	PRC	1993/07/26
01099	Sinopharm Group Co. Ltd. – H Shares	PRC	2009/09/23
01297	Sinosoft Technology Group Ltd.	Cayman Islands	2013/07/09
00598	Sinotrans Ltd. – H Shares	PRC	2003/02/13
03808	Sinotruk (Hong Kong) Ltd.	Hong Kong	2007/11/28
00529	SiS International Holdings Ltd.	Bermuda	1992/08/18
01362	SiS Mobile Holdings Ltd.	Cayman Islands	2015/01/15
01696	Sisram Medical Ltd.	Israel	2017/09/19
01308	SITC International Holdings Co. Ltd.	Cayman Islands	2010/10/06
01023	Sitoy Group Holdings Ltd.	Cayman Islands	2011/12/06
00880	SJM Holdings Ltd.	Hong Kong	2008/07/16
00141	Sky Chinafortune Holdings Group Ltd.	Hong Kong	1973/03/07
03882	Sky Light Holdings Ltd.	Cayman Islands	2015/07/02
00059	Skyfame Realty (Holdings) Ltd.	Bermuda	1993/11/16
01429	Skymission Group Holdings Ltd.	Cayman Islands	2020/09/29
00751	Skyworth Group Ltd.	Bermuda	2000/04/07
01481	Smart Globe Holdings Ltd.	Cayman Islands	2017/12/28
00395	Smartac International Holdings Ltd.	Cayman Islands	2002/10/28
02166	Smart-Core Holdings Ltd.	Cayman Islands	2016/10/07
00315	SmarTone Telecommunications Holdings Ltd.	Bermuda	1996/10/31
02381	SMC Electric Ltd.	Cayman Islands	2020/06/02
02366	SMI Culture & Travel Group Holdings Ltd.	Bermuda	2004/06/30
02239	SMIT Holdings Ltd.	Cayman Islands	2016/03/30
06969	Smooore International Holdings Ltd.	Cayman Islands	2020/07/10
01843	Snack Empire Holdings Ltd.	Cayman Islands	2019/10/23
00983	SOCAM Development Ltd.	Bermuda	1997/02/03
00380	Softpower International Ltd.	Bermuda	2000/12/21
00410	SOHO China Ltd.	Cayman Islands	2007/10/08
00757	Solargiga Energy Holdings Ltd.	Cayman Islands	2008/03/31
01166	Solartech International Holdings Ltd.	Bermuda	1996/12/12
02227	Solis Holdings Ltd.	Cayman Islands	2017/12/11
02878	Solomon Systech (International) Ltd.	Cayman Islands	2004/04/08
00967	Sound Global Ltd.	Singapore	2010/09/30
00878	Soundwill Holdings Ltd.	Bermuda	1997/03/21
00619	South China Financial Holdings Ltd.	Hong Kong	1993/07/16
00413	South China Holdings Co. Ltd.	Cayman Islands	1987/06/16
00577	South Shore Holdings Ltd.	Bermuda	1996/04/25

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Code	Company name	Incorporation	Listing date
00252	Southeast Asia Properties & Finance Ltd.	Hong Kong	n.a.
01573	Southern Energy Holdings Group Ltd.	Cayman Islands	2016/07/13
01878	SouthGobi Resources Ltd. – S	Canada	2010/01/29
00812	Southwest Securities International Securities Ltd.	Bermuda	2002/01/30
02448	Space Group Holdings Ltd.	Cayman Islands	2018/01/16
00970	Sparkle Roll Group Ltd.	Bermuda	1997/09/18
03860	Speed Apparel Holding Ltd.	Cayman Islands	2017/05/31
00540	Speedy Global Holdings Ltd.	Cayman Islands	2013/01/15
01401	Sprocomm Intelligence Ltd.	Cayman Islands	2019/11/13
01251	SPT Energy Group Inc.	Cayman Islands	2011/12/23
01207	SRE Group Ltd.	Bermuda	1999/12/10
02005	SSY Group Ltd.	Cayman Islands	2005/12/20
02888	Standard Chartered PLC	England	2002/10/31
01560	Star Properties Group (Cayman Islands) Ltd.	Cayman Islands	2016/07/13
01159	Starlight Culture Entertainment Group Ltd.	Bermuda	1998/03/13
00403	Starlite Holdings Ltd.	Bermuda	1993/03/03
01616	Starrise Media Holdings Ltd.	Cayman Islands	2012/07/12
00918	State Energy Group International Assets Holdings Ltd.	Bermuda	1997/10/15
01826	Steering Holdings Ltd.	Cayman Islands	2015/09/30
01836	Stella International Holdings Ltd.	Cayman Islands	2007/07/06
00084	Stelux Holdings International Ltd.	Bermuda	1972/10/02
01825	Sterling Group Holdings Ltd.	Cayman Islands	2018/10/19
02262	Steve Leung Design Group Ltd.	Cayman Islands	2018/07/05
00852	Strong Petrochemical Holdings Ltd.	Cayman Islands	2009/01/12
00211	Styland Holdings Ltd.	Bermuda	1991/12/05
01182	Success Dragon International Holdings Ltd.	Bermuda	1994/10/17
00487	Success Universe Group Ltd.	Bermuda	1988/05/03
01430	Suchuang Gas Corporation Ltd.	Cayman Islands	2015/03/11
00912	Suga International Holdings Ltd.	Bermuda	2002/09/18
00756	Summi (Group) Holdings Ltd.	Cayman Islands	2008/07/10
00102	Summit Ascent Holdings Ltd.	Bermuda	1994/01/10
06808	Sun Art Retail Group Ltd.	Hong Kong	2011/07/27
01781	Sun Cheong Creative Development Holdings Ltd.	Cayman Islands	2018/10/04
01975	Sun Hing Printing Holdings Ltd.	Cayman Islands	2017/11/16
00125	Sun Hing Vision Group Holdings Ltd.	Bermuda	1999/05/25
00086	Sun Hung Kai & Co. Ltd.	Hong Kong	1983/10/03
00016	Sun Hung Kai Properties Ltd.	Hong Kong	1972/09/08
00580	Sun.King Technology Group Ltd.	Cayman Islands	2010/10/13
01918	Sunac China Holdings Ltd.	Cayman Islands	2010/10/07
01516	Sunac Services Holdings Ltd.	Cayman Islands	2020/11/19
01383	Suncity Group Holdings Ltd.	Cayman Islands	2007/02/22
01063	Suncorp Technologies Ltd.	Bermuda	1994/05/10
01568	Sundart Holdings Ltd.	British Virgin Islands	2015/12/29
01686	SUNeVision Holdings Ltd.	Cayman Islands	2000/03/17
01771	Sunfonda Group Holdings Ltd.	Cayman Islands	2014/05/15
06900	Sunkwan Properties Group Ltd.	Cayman Islands	2020/11/17
01950	Sunlight Technology Holdings Ltd.	Cayman Islands	2020/03/12
02382	Sunny Optical Technology (Group) Co. Ltd.	Cayman Islands	2007/06/15
02608	Sunshine 100 China Holdings Ltd.	Cayman Islands	2014/03/13
02012	Sunshine Oilsands Ltd.	Canada	2012/03/01
00188	Sunwah Kingsway Capital Holdings Ltd.	Bermuda	2000/09/15
00058	Sunway International Holdings Ltd.	Bermuda	1999/09/03
03680	Suoxinda Holdings Ltd.	Cayman Islands	2019/12/13
00176	Superactive Group Co. Ltd.	Bermuda	1994/04/28
00368	Superland Group Holdings Ltd.	Cayman Islands	2020/07/17
00019	Swire Pacific Ltd.	Hong Kong	n.a.
01972	Swire Properties Ltd.	Hong Kong	2012/01/18
01223	Symphony Holdings Ltd.	Bermuda	1995/03/01
02340	Synergis Holdings Ltd.	Bermuda	2003/10/09
01539	Synergy Group Holdings International Ltd.	Cayman Islands	2015/03/24
01613	Synertone Communication Corporation	Cayman Islands	2012/04/18
01991	Ta Yang Group Holdings Ltd.	Cayman Islands	2007/06/08
00088	Tai Cheung Holdings Ltd.	Bermuda	1972/12/11
06811	Tai Hing Group Holdings Ltd.	Cayman Islands	2019/06/13
00146	Tai Ping Carpets International Ltd.	Bermuda	n.a.
00089	Tai Sang Land Development Ltd.	Hong Kong	1973/02/21
00718	Tai United Holdings Ltd.	Bermuda	1995/10/02
06193	Tailam Tech Construction Holdings Ltd.	Cayman Islands	2019/12/18
01542	Taizhou Water Group Co., Ltd. – H Shares	PRC	2019/12/31
02102	Tak Lee Machinery Holdings Ltd.	Cayman Islands	2017/07/27
00760	Talent Property Group Ltd.	Bermuda	1995/08/08
00693	Tan Chong International Ltd.	Bermuda	1998/07/07
01181	Tang Palace (China) Holdings Ltd.	Cayman Islands	2011/04/19
03666	TANSH Global Food Group Co., Ltd	Cayman Islands	2012/07/04
00573	Tao Heung Holdings Ltd.	Cayman Islands	2007/06/29
06161	Target Insurance (Holdings) Ltd.	Hong Kong	2015/01/15
01255	TATA Health International Holdings Ltd.	Cayman Islands	2013/07/11
00621	Taung Gold International Ltd.	Bermuda	1995/12/18
01960	TBK & Sons Holdings Ltd.	Cayman Islands	2019/09/30
01070	TCL Electronics Holdings Ltd.	Cayman Islands	1999/11/26
01239	Teamway International Group Holdings Ltd.	Cayman Islands	2011/11/18
01206	Technovator International Ltd.	Singapore	2011/10/27
00669	Techtronic Industries Co. Ltd.	Hong Kong	1990/12/17
06033	Telecom Digital Holdings Ltd.	Cayman Islands	2014/05/30

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03997	Telecom Service One Holdings Ltd.	Cayman Islands	2013/05/30
00511	Television Broadcasts Ltd.	Hong Kong	1988/11/23
06880	Tempus Holdings Ltd.	Cayman Islands	2011/12/13
01979	Ten Pao Group Holdings Ltd.	Cayman Islands	2015/12/11
00700	Tencent Holdings Ltd.	Cayman Islands	2004/06/16
06868	Tenfu (Cayman) Holdings Co. Ltd.	Cayman Islands	2011/09/26
00093	Termbray Industries International (Holdings) Ltd.	Bermuda	1991/10/23
00277	Tern Properties Co. Ltd.	Hong Kong	n.a.
01201	Tesson Holdings Ltd.	Bermuda	1998/06/23
02678	Texhong Textile Group Ltd.	Cayman Islands	2004/12/09
00321	Texwinca Holdings Ltd.	Bermuda	1992/08/06
00542	TFG International Group Ltd.	Cayman Islands	1989/11/23
01339	The People's Insurance Co. (Group) of China Ltd. – H Shares	PRC	2012/12/07
01546	Thelloy Development Group Ltd.	Cayman Islands	2015/10/09
00990	Theme International Holdings Ltd.	Bermuda	1994/01/25
02292	Thing On Enterprise Ltd.	Cayman Islands	2018/01/16
00028	Tian An China Investments Co. Ltd.	Hong Kong	1987/03/18
02182	Tian Chang Group Holdings Ltd.	Cayman Islands	2018/03/08
01980	Tian Ge Interactive Holdings Ltd.	Cayman Islands	2014/07/09
02118	Tian Shan Development (Holding) Ltd.	Cayman Islands	2010/07/15
00266	Tian Teck Land Ltd.	Hong Kong	n.a.
06119	Tian Yuan Group Holdings Ltd.	Cayman Islands	2018/06/01
00455	Tianda Pharmaceuticals Ltd.	Cayman Islands	1992/03/30
00609	Tiande Chemical Holdings Ltd.	Cayman Islands	2006/10/27
00826	Tiangong International Co. Ltd.	Cayman Islands	2007/07/26
01065	Tianjin Capital Environmental Protection Group Co. Ltd.-H Sh	PRC	1994/05/17
00882	Tianjin Development Holdings Ltd.	Hong Kong	1997/12/10
01265	Tianjin Jinran Public Utilities Co. Ltd. – H Shares	PRC	2004/01/09
03382	Tianjin Port Development Holdings Ltd.	Cayman Islands	2006/05/24
01671	Tianjin Tianbao Energy Co., Ltd. – H Shares	PRC	2018/04/27
01773	Tianli Education International Holdings Ltd.	Cayman Islands	2018/07/12
00117	Tianli Holdings Group Ltd.	Cayman Islands	2007/12/21
00819	Tianneng Power International Ltd.	Cayman Islands	2007/06/11
06836	Tianyun International Holdings Ltd.	British Virgin Islands	2015/07/07
01115	Tibet Water Resources Ltd.	Cayman Islands	2011/06/30
01790	TIL Enviro Ltd.	Cayman Islands	2018/11/29
01729	Time Interconnect Technology Ltd.	Cayman Islands	2018/02/13
02033	Time Watch Investments Ltd.	Cayman Islands	2013/02/05
01233	Times China Holdings Ltd.	Cayman Islands	2013/12/11
09928	Times Neighborhood Holdings Ltd.	Cayman Islands	2019/12/19
02310	Times Universal Group Holdings Ltd.	Hong Kong	2003/07/04
00322	Tingyi (Cayman Islands) Holding Corp.	Cayman Islands	1996/02/05
01192	Titan Petrochemicals Group Ltd.	Bermuda	1998/06/17
02283	TK Group (Holdings) Ltd.	Cayman Islands	2013/12/20
01939	Tokyo Chuo Auction Holdings Ltd.	Hong Kong	2018/10/11
02383	TOM Group Ltd.	Cayman Islands	2004/08/04
06928	TOMO Holdings Ltd.	Cayman Islands	2017/07/13
00258	Tomson Group Ltd.	Cayman Islands	n.a.
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	PRC	2000/10/31
00780	Tongcheng-Elong Holdings Ltd.	Cayman Islands	2018/11/26
00698	Tongda Group Holdings Ltd.	Cayman Islands	2000/12/22
02363	Tongda Hong Tai Holdings Ltd.	Cayman Islands	2018/03/16
06100	Tongdao Liepin Group	Cayman Islands	2018/06/29
01312	Tongfang Kontafarma Holdings Ltd.	Cayman Islands	2012/01/18
00340	Tongguan Gold Group Ltd.	Bermuda	1997/03/26
01249	Tonly Electronics Holdings Ltd.	Cayman Islands	2013/08/15
01752	Top Education Group Ltd.	Australia	2018/05/11
00333	Top Form International Ltd.	Bermuda	1991/12/16
03688	Top Spring International Holdings Ltd.	Cayman Islands	2011/03/23
06110	Topsports International Holdings Ltd.	Cayman Islands	2019/10/10
01875	TOT BIOPHARM International Co. Ltd. – B	Hong Kong	2019/11/08
03886	Town Health International Medical Group Ltd.	Bermuda	2000/10/18
01692	Town Ray Holdings Ltd.	Cayman Islands	2019/10/25
01083	Towngas China Co. Ltd.	Cayman Islands	2005/12/08
00536	Tradelink Electronic Commerce Ltd.	Hong Kong	2005/10/28
01326	Transmit Entertainment Ltd.	Cayman Islands	2012/10/31
00062	Transport International Holdings Ltd.	Bermuda	n.a.
09963	Transtech Optelecom Science Holdings Ltd.	Cayman Islands	2017/07/20
01235	Travel Expert (Asia) Enterprises Ltd.	Cayman Islands	2011/09/29
00696	TravelSky Technology Ltd. – H Shares	PRC	2001/02/07
01300	Trigiant Group Ltd.	Cayman Islands	2012/03/19
00891	Trinity Ltd.	Bermuda	2009/11/03
01710	Trio Industrial Electronics Group Ltd.	Hong Kong	2017/11/23
00458	Tristate Holdings Ltd.	Bermuda	1988/01/06
00732	Truly International Holdings Ltd.	Cayman Islands	1991/07/29
01767	TS Wonders Holding Ltd.	Cayman Islands	2019/01/14
01986	Tsaker Chemical Group Ltd.	Cayman Islands	2015/07/03
00417	Tse Sui Luen Jewellery (International) Ltd.	Bermuda	1987/06/30
00247	Tsim Sha Tsui Properties Ltd.	Hong Kong	1972/07/20
00168	Tsingtao Brewery Co. Ltd. – H Shares	PRC	1993/07/15
02119	Tsit Wing International Holdings Ltd.	Bermuda	2018/05/11
01314	Tsui Wah Holdings Ltd.	Cayman Islands	2012/11/26
01701	Tu Yi Holding Co. Ltd.	Cayman Islands	2019/06/28
00518	Tungtex (Holdings) Co. Ltd.	Hong Kong	1988/12/15

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00872	TUS International Ltd.	Cayman Islands	2004/12/09
06182	Twintek Investment Holdings Ltd.	Cayman Islands	2018/01/17
03390	Tycoon Group Holdings Ltd.	Cayman Islands	2020/04/15
00687	Tysan Holdings Ltd.	Bermuda	1991/03/26
01483	U Banquet Group Holding Ltd.	Cayman Islands	2013/12/10
00768	UBA Investments Ltd.	Cayman Islands	2000/01/18
01711	Ulferts International Ltd.	Hong Kong	2018/01/29
00722	UMP Healthcare Holdings Ltd.	Cayman Islands	2015/11/27
00690	Uni-Bio Science Group Ltd.	Cayman Islands	2001/11/12
02138	Union Medical Healthcare Ltd.	Cayman Islands	2016/03/11
00220	Uni-President China Holdings Ltd.	Cayman Islands	2007/12/17
00486	United Company RUSAL Plc	Russia	2010/01/27
00467	United Energy Group Ltd.	Bermuda	1992/04/08
03933	United Laboratories International Holdings Ltd., The	Cayman Islands	2007/06/15
02337	United Strength Power Holdings Ltd.	Cayman Islands	2017/10/16
00913	Unity Investments Holdings Ltd.	Cayman Islands	1999/10/27
02211	Universal Health International Group Holding Ltd.	Cayman Islands	2013/12/12
02346	Universal Star (Holdings) Ltd.	Cayman Islands	2019/05/16
01026	Universal Technologies Holdings Ltd.	Cayman Islands	2001/10/26
01046	Universe Entertainment and Culture Group Co. Ltd.	Bermuda	1999/07/20
00307	Up Energy Development Group Ltd.	Bermuda	1992/12/02
00335	Upbest Group Ltd.	Cayman Islands	2000/10/18
06113	UTS Marketing Solutions Holdings Ltd.	Cayman Islands	2017/07/12
01002	V.S. International Group Ltd.	Cayman Islands	2002/02/08
00082	V1 Group Ltd.	Bermuda	1991/10/25
00821	Value Convergence Holdings Ltd.	Hong Kong	2001/04/09
00806	Value Partners Group Ltd.	Cayman Islands	2007/11/22
01740	Values Cultural Investment Ltd.	Cayman Islands	2020/01/16
01036	Vanke Overseas Investment Holding Co. Ltd.	Cayman Islands	1996/11/08
02003	VCREDIT Holdings Ltd.	Cayman Islands	2018/06/21
02317	Vedan International (Holdings) Ltd.	Cayman Islands	2003/06/27
01173	Veeko International Holdings Ltd.	Cayman Islands	1999/04/19
02500	Venus Medtech (Hangzhou) Inc. – B – H Shares	PRC	2019/12/10
01399	Veson Holdings Ltd.	Cayman Islands	2006/12/21
01386	Vestate Group Holdings Ltd.	Cayman Islands	2007/06/07
02148	Vesync Co., Ltd	Cayman Islands	2020/12/18
01621	Vico International Holdings Ltd.	Cayman Islands	2018/03/05
03878	Vicon Holdings Ltd.	Cayman Islands	2017/12/22
00539	Victory City International Holdings Ltd.	Bermuda	1996/05/13
01139	Victory Group Ltd.	Bermuda	1998/02/16
00422	Vietnam Manufacturing and Export Processing (Holdings) Ltd.	Cayman Islands	2007/12/20
01612	Vincent Medical Holdings Ltd.	Cayman Islands	2016/07/13
03331	Vinda International Holdings Ltd.	Cayman Islands	2007/07/10
01565	Virscend Education Co. Ltd.	Cayman Islands	2016/01/15
01315	Vision Fame International Holding Ltd.	Cayman Islands	2012/01/18
00862	Vision Values Holdings Ltd.	Cayman Islands	1998/10/14
06133	Vital Innovations Holdings Ltd.	Cayman Islands	2015/06/26
00345	Vitasoy International Holdings Ltd.	Hong Kong	1994/03/30
01873	Viva Biotech Holdings	Cayman Islands	2019/05/09
01782	Vixtel Technologies Holdings Ltd.	Cayman Islands	2016/12/15
03738	Vobile Group Ltd.	Cayman Islands	2018/01/04
00318	Vongroup Ltd.	Cayman Islands	2001/10/09
01608	VPower Group International Holdings Ltd.	Cayman Islands	2016/11/24
00856	VSTECs Holdings Ltd.	Cayman Islands	2002/05/09
00303	VTech Holdings Ltd.	Bermuda	1992/11/05
00278	Wah Ha Realty Co. Ltd.	Hong Kong	1972/04/24
02683	Wah Sun Handbags International Holdings Ltd.	Cayman Islands	2018/01/22
09938	Wah Wo Holdings Group Ltd.	Cayman Islands	2020/01/17
01305	Wai Chi Holdings Co. Ltd.	Cayman Islands	2014/11/18
00660	Wai Chun Bio-Technology Ltd.	Cayman Islands	1993/02/05
01013	Wai Chun Group Holdings Ltd.	Bermuda	1997/02/03
03321	Wai Hung Group Holdings Ltd.	Cayman Islands	2019/04/23
00610	Wai Kee Holdings Ltd.	Bermuda	1992/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	Bermuda	1997/10/31
01718	Wan Kei Group Holdings Ltd.	Cayman Islands	2015/08/11
00169	Wanda Hotel Development Co. Ltd.	Bermuda	2002/06/04
01222	Wang On Group Ltd.	Bermuda	1995/02/28
01243	Wang On Properties Ltd.	Bermuda	2016/04/12
03939	Wanguo International Mining Group Ltd.	Cayman Islands	2012/07/10
00401	Wanjia Group Holdings Ltd.	Cayman Islands	2013/10/11
01762	Wanka Online Inc.	Cayman Islands	2018/12/21
00151	Want Want China Holdings Ltd.	Cayman Islands	2008/03/26
03393	Wasion Holdings Ltd.	Cayman Islands	2005/12/19
01161	Water Oasis Group Ltd.	Cayman Islands	2002/03/11
02258	Watts International Maritime Engineering Ltd.	Cayman Islands	2018/11/19
01140	Wealthking Investments Ltd.	Cayman Islands	2003/03/20
03848	Wealthy Way Group Ltd.	Cayman Islands	2017/07/21
01793	Wecon Holdings Ltd.	Cayman Islands	2019/02/27
01343	Wei Yuan Holdings Ltd.	Cayman Islands	2020/03/12
02338	Weichai Power Co. Ltd. – H Shares	PRC	2004/03/11
01845	Weigang Environmental Technology Holding Group Ltd.	Cayman Islands	2019/01/03
09677	Weihai City Commercial Bank Co., Ltd. – H Shares	PRC	2020/10/12
02013	Weimob Inc.	Cayman Islands	2019/01/15
02698	Weiqiao Textile Co. Ltd. – H Shares	PRC	2003/09/24

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01570	Weiyue Holdings Ltd.	Singapore	2016/04/06
01379	Wenling Zhejiang Measure & Cut Tools Trading Ctr Co Ltd-H Sh	PRC	2020/12/30
01802	Wenye Group Holdings Ltd.	Cayman Islands	2020/01/14
02120	Wenzhou Kangning Hospital Co., Ltd. – H Shares	PRC	2015/11/20
02233	West China Cement Ltd.	Jersey	2010/08/23
00288	WH Group Ltd.	Cayman Islands	2014/08/05
00004	Wharf (Holdings) Ltd., The	Hong Kong	n.a.
01997	Wharf Real Estate Investment Co. Ltd.	Cayman Islands	2017/11/23
00854	Willas-Array Electronics (Holdings) Ltd.	Bermuda	2013/12/06
03322	Win Hanverky Holdings Ltd.	Cayman Islands	2006/09/06
01850	WINDMILL Group Ltd.	Cayman Islands	2017/04/18
00287	Winfair Investment Co. Ltd.	Hong Kong	n.a.
00183	Winfull Group Holdings Ltd.	Cayman Islands	2007/09/05
06080	Wing Chi Holdings Ltd.	Cayman Islands	2017/10/20
00864	Wing Lee Property Investments Ltd.	Bermuda	2013/03/19
00289	Wing On Company International Ltd.	Bermuda	n.a.
00369	Wing Tai Properties Ltd.	Bermuda	1973/02/07
06838	Winox Holdings Ltd.	Cayman Islands	2011/07/20
00209	Winshine Science Co. Ltd.	Bermuda	2002/03/06
06812	Winson Holdings Hong Kong Ltd.	Cayman Islands	2017/03/16
06068	Wisdom Education International Holdings Co. Ltd.	Cayman Islands	2017/01/26
01661	Wisdom Sports Group	Cayman Islands	2013/07/11
09918	Wise Ally International Holdings Ltd.	Cayman Islands	2020/01/10
02236	Wison Engineering Services Co. Ltd.	Cayman Islands	2012/12/28
01260	Wonderful Sky Financial Group Holdings Ltd.	Cayman Islands	2012/03/30
00099	Wong's International Holdings Ltd.	Bermuda	1983/12/15
00532	Wong's Kong King International (Holdings) Ltd.	Bermuda	1989/07/10
00713	World Houseware (Holdings) Ltd.	Cayman Islands	1993/04/15
06083	World-Link Logistics (Asia) Holding Ltd.	Cayman Islands	2015/12/29
00305	Wuling Motors Holdings Ltd.	Bermuda	1992/11/23
02359	WuXi AppTec Co., Ltd. – H Shares	PRC	2018/12/13
02269	WuXi Biologics (Cayman) Inc.	Cayman Islands	2017/06/13
01289	Wuxi Sunlit Science and Technology Co. Ltd. – H Shares	PRC	2014/11/11
01128	Wynn Macau, Ltd.	Cayman Islands	2009/10/09
02400	XD Inc.	Cayman Islands	2019/12/12
00520	Xiabuxiabu Catering Management (China) Holdings Co., Ltd.	Cayman Islands	2014/12/17
03378	Xiamen International Port Co. Ltd. – H Shares	PRC	2005/12/19
01732	XiangXing International Holding Ltd.	Cayman Islands	2017/07/07
01810	Xiaomi Corporation – W	Cayman Islands	2018/07/09
03663	Xiezhong International Holdings Ltd.	Cayman Islands	2012/06/18
09936	Ximei Resources Holding Ltd.	Cayman Islands	2020/03/12
01571	Xin Point Holdings Ltd.	Cayman Islands	2017/06/28
01748	Xin Yuan Enterprises Group Ltd.	Cayman Islands	2018/09/26
01148	Xinchen China Power Holdings Ltd.	Cayman Islands	2013/03/13
01899	Xingda International Holdings Ltd.	Cayman Islands	2006/12/21
00098	Xingfa Aluminium Holdings Ltd.	Cayman Islands	2008/03/31
00505	Xingye Alloy Materials Group Ltd.	Cayman Islands	2007/12/27
09916	Xingye Wulian Service Group Co. Ltd.	Cayman Islands	2020/03/09
00309	Xinhua News Media Holdings Ltd.	Cayman Islands	2003/07/25
00811	Xinhua Winshare Publishing and Media Co., Ltd. – H Shares	PRC	2007/05/30
03603	Xinji Shaxi Group Co., Ltd.	Cayman Islands	2019/11/08
02208	Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares	PRC	2010/10/08
06116	Xinjiang La Chapelle Fashion Co., Ltd. – H Shares	PRC	2014/10/09
00840	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	PRC	2008/01/24
03833	Xinjiang Xinxin Mining Industry Co., Ltd. – H Shares	PRC	2007/10/12
02699	Xinming China Holdings Ltd.	Cayman Islands	2015/07/06
01799	Xinte Energy Co., Ltd. – H Shares	PRC	2015/12/30
00362	Xinyang Maojian Group Ltd.	Bermuda	2001/05/02
03868	Xinyi Energy Holdings Ltd.	British Virgin Islands	2019/05/28
00868	Xinyi Glass Holdings Ltd.	Cayman Islands	2005/02/03
00968	Xinyi Solar Holdings Ltd.	Cayman Islands	2013/12/12
01895	Xinyuan Property Management Service (Cayman) Ltd.	Cayman Islands	2019/10/11
02088	Xiwang Property Holdings Co. Ltd.	Bermuda	2005/12/09
01266	Xiwang Special Steel Co. Ltd.	Hong Kong	2012/02/23
01368	Xtep International Holdings Ltd.	Cayman Islands	2008/06/03
00075	Y. T. Realty Group Ltd.	Bermuda	1984/11/12
01585	Yadea Group Holdings Ltd.	Cayman Islands	2016/05/19
01795	Yadong Group Holdings Ltd.	Cayman Islands	2020/11/18
01480	Yan Tat Group Holdings Ltd.	Cayman Islands	2014/12/08
00346	Yanchang Petroleum International Ltd.	Bermuda	2001/04/19
03668	Yancoal Australia Ltd	Australia	2018/12/06
06869	Yangtze Optical Fibre and Cable Joint Stock Ltd. Co. – H Shs	PRC	2014/12/10
00294	Yangtzekiang Garment Ltd.	Hong Kong	n.a.
01915	Yangzhou Guangling District Taihe Rural Micro-fin Co. -H shs	PRC	2017/05/08
02218	Yantai North Andre Juice Co. Ltd. – H Shares	PRC	2003/04/22
01171	Yanzhou Coal Mining Co. Ltd. – H Shares	PRC	1998/04/01
01230	Yashili International Holdings Ltd.	Cayman Islands	2010/11/01
00406	Yau Lee Holdings Ltd.	Bermuda	1991/08/29
01847	YCIH Green High-Performance Concrete Co. Ltd. – H Shares	PRC	2019/10/31
01941	Ye Xing Group Holdings Ltd.	Cayman Islands	2020/03/13
09923	Yeahka Ltd.	Cayman Islands	2020/06/01
01662	Yee Hop Holdings Ltd.	Cayman Islands	2015/12/18
00259	Yeebo (International Holdings) Ltd.	Bermuda	1993/09/01
02393	Yestar Healthcare Holdings Co. Ltd.	Cayman Islands	2013/10/11

List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00375	YGM Trading Ltd.	Hong Kong	1988/01/25
02213	Yi Hua Holdings Ltd.	Cayman Islands	2013/12/11
01558	YiChang HEC ChangJiang Pharmaceutical Co., Ltd. – H Shares	PRC	2015/12/29
03639	Yida China Holdings Ltd.	Cayman Islands	2014/06/27
01796	Yield Go Holdings Ltd.	Cayman Islands	2018/12/31
01579	Yihai International Holding Ltd.	Cayman Islands	2016/07/13
01902	Yincheng International Holding Co., Ltd.	Cayman Islands	2019/03/06
01922	Yincheng Life Service CO., Ltd.	Cayman Islands	2019/11/06
00408	Yip's Chemical Holdings Ltd.	Cayman Islands	1991/08/22
02858	Yixin Group Ltd.	Cayman Islands	2017/11/16
03608	Yongsheng Advanced Materials Co. Ltd.	Cayman Islands	2013/11/27
02788	Yorkey Optical International (Cayman) Ltd.	Cayman Islands	2006/02/10
01160	Youth Champ Financial Group Holdings Ltd.	Bermuda	2004/04/02
02268	Youyuan International Holdings Ltd.	Cayman Islands	2010/05/27
06123	YTO Express (International) Holdings Ltd.	Cayman Islands	2014/07/11
00332	Yuan Heng Gas Holdings Ltd.	Bermuda	1992/09/25
02789	Yuanda China Holdings Ltd.	Cayman Islands	2011/05/17
01431	YuanShengTai Dairy Farm Ltd.	Bermuda	2013/11/26
00629	Yue Da International Holdings Ltd.	Cayman Islands	2001/11/29
02110	Yue Kan Holdings Ltd.	Cayman Islands	2020/12/07
00551	Yue Yuen Industrial (Holdings) Ltd.	Bermuda	1992/07/02
00123	Yuexiu Property Co. Ltd.	Hong Kong	1992/12/15
01052	Yuexiu Transport Infrastructure Ltd.	Bermuda	1997/01/30
01536	Yuk Wing Group Holdings Ltd.	Cayman Islands	2017/01/11
09987	Yum China Holdings, Inc. – S	USA	2020/09/10
02682	Yun Lee Marine Group Holdings Ltd.	Cayman Islands	2019/03/18
00376	Yunfeng Financial Group Ltd.	Hong Kong	1987/07/17
01298	Yunnan Energy International Co. Ltd.	Bermuda	2011/12/21
06839	Yunnan Water Investment Co., Ltd. – H Shares	PRC	2015/05/27
00096	Yusei Holdings Ltd.	Cayman Islands	2005/10/13
01628	Yuzhou Group Holdings Co. Ltd.	Cayman Islands	2009/11/02
09688	Zai Lab Ltd. – SB	Cayman Islands	2020/09/28
02098	Zall Smart Commerce Group Ltd.	Cayman Islands	2011/07/13
02660	Zengame Technology Holding Ltd.	Cayman Islands	2019/04/16
00185	Zensun Enterprises Ltd.	Hong Kong	1972/12/20
01945	Zero2IPO Holdings Inc.	Cayman Islands	2020/12/30
01660	Zhaobangji Properties Holdings Ltd.	Cayman Islands	2017/02/10
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	PRC	2006/12/08
01743	Zhejiang Cangnan Instrument Group Co. Ltd. – H Shares	PRC	2019/01/04
00576	Zhejiang Expressway Co. Ltd. – H Shares	PRC	1997/05/15
01158	Zhejiang New Century Hotel Management Co., Ltd. – H Shares	PRC	2019/03/11
01057	Zhejiang Shibao Co. Ltd. – H Shares	PRC	2006/05/16
01527	Zhejiang Tengy Environmental Technology Co., Ltd. – H Shares	PRC	2015/10/12
03363	Zhengye International Holdings Co. Ltd.	Bermuda	2011/06/03
00564	Zhengzhou Coal Mining Machinery Group Co. Ltd. – H Shares	PRC	2012/12/05
06158	Zhenro Properties Group Ltd.	Cayman Islands	2018/01/16
06958	Zhenro Services Group Ltd.	Cayman Islands	2020/07/10
01220	Zhidao International (Holdings) Ltd.	Bermuda	1997/09/23
00672	Zhong An Group Ltd.	Cayman Islands	2007/11/13
01538	Zhong Ao Home Group Ltd.	Cayman Islands	2015/11/25
01064	Zhong Hua International Holdings Ltd.	Bermuda	1997/10/13
06060	ZhongAn Online P & C Insurance Co., Ltd. – H Shares	PRC	2017/09/28
00859	Zhongchang International Holdings Group Ltd.	Bermuda	2000/06/15
01601	Zhongguancun Science-Tech Leasing Co., Ltd. – H Shares	PRC	2020/01/21
02772	Zhongliang Holdings Group Co. Ltd.	Cayman Islands	2019/07/16
00881	Zhongsheng Group Holdings Ltd.	Cayman Islands	2010/03/26
02379	Zhongtian International Ltd.	Cayman Islands	2004/09/22
03633	Zhongyu Gas Holdings Ltd.	Cayman Islands	2001/06/05
01216	Zhongyuan Bank Co., Ltd. – H Shares	PRC	2017/07/19
00943	Zhongzheng International Co. Ltd.	Bermuda	1997/06/25
03737	Zhongzhi Pharmaceutical Holdings Ltd.	Cayman Islands	2015/07/13
01458	Zhou Hei Ya International Holdings Co. Ltd.	Cayman Islands	2016/11/11
01176	Zhuguang Holdings Group Co. Ltd.	Bermuda	1996/12/09
00908	Zhuhai Holdings Investment Group Ltd.	Bermuda	1998/05/26
03898	Zhuzhou CRRC Times Electric Co., Ltd. – H Shares	PRC	2006/12/20
02899	Zijin Mining Group Co., Ltd. – H Shares	PRC	2003/12/23
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd.-H Sh	PRC	2010/12/23
00763	ZTE Corporation – H Shares	PRC	2004/12/09
02057	ZTO Express (Cayman) Inc. – SW	Cayman Islands	2020/09/29
06866	Zuoli Kechuang Micro-finance Co. Ltd. – H Shares	PRC	2015/01/13

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Code	Company name	Incorporation	Listing date
08495	1957 & Co. (Hospitality) Ltd.	Cayman Islands	2017/12/05
08131	abc Multiactive Ltd.	Bermuda	2001/01/31
08341	Aeso Holding Ltd.	Cayman Islands	2017/01/13
08279	AGTech Holdings Ltd.	Bermuda	2004/01/19
08290	Ahsay Backup Software Development Co. Ltd.	Cayman Islands	2015/10/08
08088	AID Life Science Holdings Ltd.	Cayman Islands	2000/04/17
08360	AL Group Ltd.	Cayman Islands	2016/07/12
08320	Allied Sustainability & Environmental Consultants Group Ltd.	Cayman Islands	2016/10/17
08406	Alpha Era International Holdings Ltd.	Cayman Islands	2017/12/07
08149	Altus Holdings Ltd.	Cayman Islands	2016/10/17
08168	Amasse Capital Holdings Ltd.	Cayman Islands	2018/03/22
08545	Amuse Group Holding Ltd.	Cayman Islands	2018/05/31
08353	Anacle Systems Ltd.	Singapore	2016/12/16
08413	Asia Grocery Distribution Ltd.	Cayman Islands	2017/04/13
08400	Asia Pioneer Entertainment Holdings Ltd.	Cayman Islands	2017/11/15
08620	Asia-express Logistics Holdings Ltd.	Cayman Islands	2020/04/20
08025	Asian Capital Resources (Holdings) Ltd.	Cayman Islands	1999/12/16
08193	Asia-Pac Financial Investment Co. Ltd.	Cayman Islands	2011/05/31
08333	Astrum Financial Holdings Ltd.	Cayman Islands	2016/07/14
08043	Atlinks Group Ltd.	Cayman Islands	2018/01/19
08148	Aurum Pacific (China) Group Ltd.	Cayman Islands	2004/01/09
08419	AV Promotions Holdings Ltd.	Cayman Islands	2017/12/21
08525	Baiying Holdings Group Ltd.	Cayman Islands	2018/07/18
08151	Bao Shen Holdings Ltd.	Cayman Islands	2018/04/23
08432	Bar Pacific Group Holdings Ltd.	Cayman Islands	2017/01/11
08460	Basetrophy Group Holdings Ltd.	Cayman Islands	2017/06/27
08412	BCI Group Holdings Ltd.	Cayman Islands	2017/04/07
08275	Beaver Group (Holding) Co. Ltd.	Cayman Islands	2017/10/16
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	PRC	2000/07/27
08617	Best Linking Group Holdings Ltd.	Cayman Islands	2019/11/15
08220	Bingo Group Holdings Ltd.	Cayman Islands	2002/11/12
08247	Biosino Bio-Technology and Science Incorporation – H Shares	PRC	2006/02/27
08601	Boltek Holdings Ltd.	Cayman Islands	2018/09/13
08118	Bortex Global Ltd.	Cayman Islands	2017/11/16
08140	BOSA Technology Holdings Ltd.	Cayman Islands	2018/07/12
08430	C&N Holdings Ltd.	Cayman Islands	2017/10/18
08239	Capital Finance Holdings Ltd.	Bermuda	2002/11/15
08428	CBK Holdings Ltd.	Cayman Islands	2017/02/15
08235	CCID Consulting Co. Ltd. – H Shares	PRC	2002/12/12
08423	Chi Ho Development Holdings Ltd.	Cayman Islands	2017/03/13
08087	China 33 Media Group Ltd.	Cayman Islands	2011/02/28
08170	China All Nation International Holdings Group Ltd.	Cayman Islands	2014/12/05
08090	China Assurance Finance Group Ltd.	Cayman Islands	2012/01/06
08255	China Binary New Fintech Group	Cayman Islands	2013/12/04
08037	China Biotech Services Holdings Ltd.	Bermuda	2004/06/17
08026	China Brilliant Global Ltd.	Cayman Islands	2000/03/28
08270	China CBM Group Co. Ltd.	Bermuda	2003/08/12
08078	China Creative Digital Entertainment Ltd.	Bermuda	2000/12/19
08120	China Demeter Financial Investments Ltd.	Bermuda	2001/04/10
08175	China Digital Culture (Group) Ltd.	Bermuda	2003/02/25
08280	China Digital Video Holdings Ltd.	Cayman Islands	2016/06/27
08166	China Eco-Farming Ltd.	Bermuda	2002/02/05
08055	China E-Information Technology Group Ltd.	Cayman Islands	2001/12/05
08116	China Fortune Investments (Holding) Ltd.	Cayman Islands	2000/07/25
08506	China Futex Holdings Ltd.	Cayman Islands	2018/01/04
08128	China Geothermal Industry Development Group Ltd.	Cayman Islands	2001/11/30
08281	China Golden Classic Group Ltd.	Cayman Islands	2016/07/08
08225	China Health Group Inc.	Cayman Islands	2003/07/10
08646	China Hongguang Holdings Ltd.	Cayman Islands	2020/01/13
08178	China Information Technology Development Ltd.	Cayman Islands	2001/12/11
08071	China Netcom Technology Holdings Ltd.	Cayman Islands	2001/03/02
08047	China Ocean Group Development Ltd.	Bermuda	2001/11/01
08132	China Oil Gangran Energy Group Holdings Ltd.	Cayman Islands	2011/05/18
08117	China Primary Energy Holdings Ltd.	Cayman Islands	2001/12/13
08158	China Regenerative Medicine International Ltd.	Cayman Islands	2001/07/18
08623	China Saftower International Holding Group Ltd.	Cayman Islands	2020/07/10
08073	China Singyes New Materials Holdings Ltd.	Bermuda	2017/07/21
08325	China Smartpay Group Holdings Ltd.	Cayman Islands	2009/08/28
08111	China Technology Industry Group Ltd.	Cayman Islands	2001/01/03
08171	China Trends Holdings Ltd.	Cayman Islands	2002/07/31
08265	China Trustful Group Ltd.	Bermuda	2011/01/26
08083	China Youzan Ltd.	Bermuda	2000/04/14
08009	Chinese Energy Holdings Ltd.	Hong Kong	2000/03/31
08272	Chinese Food and Beverage Group Ltd.	Cayman Islands	2003/06/27
08089	Chinese Strategic Holdings Ltd.	Bermuda	2000/05/18
08537	Chong Fai Jewellery Group Holdings Co. Ltd.	Cayman Islands	2019/03/27
08051	CircuTech International Holdings Ltd.	Cayman Islands	2001/05/08
08098	CL Group (Holdings) Ltd.	Cayman Islands	2011/03/08
08232	Classified Group (Holdings) Ltd.	Cayman Islands	2016/07/11
08356	CNC Holdings Ltd.	Cayman Islands	2010/08/30
08491	Cool Link (Holdings) Ltd.	Cayman Islands	2017/09/22
08112	Cornerstone Financial Holdings Ltd.	Cayman Islands	2011/07/28
08391	Cornerstone Technologies Holdings Ltd.	Cayman Islands	2018/05/11
08368	Creative China Holdings Ltd.	Cayman Islands	2015/11/18
08417	Dadi Education Holdings Ltd.	Cayman Islands	2017/02/16
08130	Dadi International Group Ltd.	Bermuda	2002/08/26

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Code	Company name	Incorporation	Listing date
08310	Dafeng Port Heshun Technology Co. Ltd.	Cayman Islands	2013/08/22
08040	DCB Holdings Ltd.	Cayman Islands	2018/02/14
08337	Directel Holdings Ltd.	Cayman Islands	2010/06/02
08210	DLC Asia Ltd.	Cayman Islands	2018/08/27
08403	Dowway Holdings Ltd.	Cayman Islands	2018/06/12
08493	Dragon King Group Holdings Ltd.	Cayman Islands	2018/01/16
08222	E Lighting Group Holdings Ltd.	Cayman Islands	2014/09/29
08079	Easy Repay Finance & Investment Ltd.	Bermuda	2001/10/15
08036	eBroker Group Ltd.	Cayman Islands	2019/02/19
08218	Echo International Holdings Group Ltd.	Cayman Islands	2013/10/11
08013	ECI Technology Holdings Ltd.	Cayman Islands	2017/03/10
08169	Eco-Tek Holdings Ltd.	Cayman Islands	2001/12/05
08450	EDICO Holdings Ltd.	Cayman Islands	2018/02/02
08062	EFT Solutions Holdings Ltd.	Cayman Islands	2016/12/15
08609	Eggiculture Foods Ltd.	Cayman Islands	2018/09/07
08101	EJE (Hong Kong) Holdings Ltd.	Cayman Islands	2013/10/15
08031	ETS Group Ltd.	Cayman Islands	2012/01/09
08022	Evershine Group Holdings Ltd.	Hong Kong	2001/08/13
08350	Excalibur Global Financial Holdings Ltd.	Cayman Islands	2018/01/12
08319	Expert Systems Holdings Ltd.	Cayman Islands	2016/04/12
08347	F8 Enterprises (Holdings) Group Ltd.	Cayman Islands	2017/04/12
08603	Fameglow Holdings Ltd.	Cayman Islands	2018/10/15
08153	Farnova Group Holdings Ltd.	Bermuda	2001/03/30
08317	Finet Group Ltd.	Bermuda	2005/01/07
08018	Finsoft Financial Investment Holdings Ltd.	Cayman Islands	2013/09/26
08215	First Credit Finance Group Ltd.	Bermuda	2011/12/13
08030	Flying Financial Service Holdings Ltd.	Cayman Islands	2012/05/07
08480	Furniweb Holdings Ltd.	Cayman Islands	2017/10/16
08229	Future Data Group Ltd.	Cayman Islands	2016/07/08
08452	FY Financial (Shenzhen) Co., Ltd. – H Shares	PRC	2017/05/23
08126	G.A. Holdings Ltd.	Cayman Islands	2002/06/17
08282	Gameone Holdings Ltd.	Cayman Islands	2016/01/13
08257	Genes Tech Group Holdings Co. Ltd.	Cayman Islands	2017/07/14
08100	GET Holdings Ltd.	Bermuda	2001/12/31
08271	Global Digital Creations Holdings Ltd.	Bermuda	2003/08/04
08060	Global Link Communications Holdings Ltd.	Cayman Islands	2002/11/13
08063	Global Mastermind Holdings Ltd.	Cayman Islands	2000/12/07
08007	Global Strategic Group Ltd.	Cayman Islands	2000/04/17
08192	Global Token Ltd.	Bermuda	2002/11/29
08059	Glory Flame Holdings Ltd.	Cayman Islands	2014/08/15
08159	Glory Mark Hi-Tech (Holdings) Ltd.	Bermuda	2002/01/04
08188	GME Group Holdings Ltd.	Cayman Islands	2017/02/22
08160	Goldway Education Group Ltd.	Cayman Islands	2016/12/02
08143	Good Fellow Healthcare Holdings Ltd.	Cayman Islands	2002/05/10
08146	Grace Wine Holdings Ltd.	Cayman Islands	2018/06/27
08372	Grand Brilliance Group Holdings Ltd.	Cayman Islands	2018/03/29
08108	Grand Peace Group Holdings Ltd.	Bermuda	2000/07/14
08299	Grand T G Gold Holdings Ltd.	Cayman Islands	2004/09/23
08516	Grand Talents Group Holdings Ltd.	Cayman Islands	2018/10/15
08196	Great Water Holdings Ltd.	Cayman Islands	2015/12/09
08003	Great World Company Holdings Ltd.	Cayman Islands	1999/12/02
08315	Greatwalle Inc.	Cayman Islands	2014/08/20
08402	GT Steel Construction Group Ltd.	Cayman Islands	2017/11/17
08308	Gudou Holdings Ltd.	Cayman Islands	2016/12/09
08121	Guru Online (Holdings) Ltd.	Cayman Islands	2015/05/29
08405	Hang Chi Holdings Ltd.	Cayman Islands	2017/07/12
08081	Hang Tai Yue Group Holdings Ltd.	Bermuda	2000/06/19
08331	HangKan Group Ltd.	Cayman Islands	2015/12/29
08219	Hanvey Group Holdings Ltd.	Cayman Islands	2018/07/12
08431	Hao Bai International (Cayman) Ltd.	Cayman Islands	2017/05/26
08019	Hao Wen Holdings Ltd.	Cayman Islands	2001/07/20
08113	Hi-Level Technology Holdings Ltd.	Cayman Islands	2016/01/07
08425	Hing Ming Holdings Ltd.	Cayman Islands	2017/03/15
08416	HM International Holdings Ltd.	Cayman Islands	2017/01/11
08103	hmvod Ltd.	Cayman Islands	2000/09/08
08259	Hon Corporation Ltd.	Cayman Islands	2018/11/07
08137	Honbridge Holdings Ltd.	Cayman Islands	2002/01/08
08191	Hong Wei (Asia) Holdings Co. Ltd.	Hong Kong	2014/01/08
08622	Huakang Biomedical Holdings Co. Ltd.	Cayman Islands	2018/12/13
08512	Hyfusin Group Holdings Ltd.	Cayman Islands	2018/07/19
08507	i.century Holding Ltd.	Cayman Islands	2018/04/16
08513	IAG Holdings Ltd.	Cayman Islands	2018/01/19
08429	Icicle Group Holdings Ltd.	Cayman Islands	2017/12/08
08500	Icon Culture Global Co. Ltd.	Cayman Islands	2020/01/14
08136	IMS Group Holdings Ltd.	Cayman Islands	2018/01/25
08446	In Technical Productions Holdings Ltd.	Cayman Islands	2017/06/14
08373	Indigo Star Holdings Ltd.	Cayman Islands	2017/11/16
08202	Inno-Tech Holdings Ltd.	Bermuda	2002/08/12
08186	IR Resources Ltd.	Bermuda	2001/12/31
08487	ISP Global Ltd.	Cayman Islands	2018/01/16
08092	ITE (Holdings) Ltd.	Cayman Islands	2001/02/21
08441	IWS Group Holdings Ltd.	Cayman Islands	2019/10/22
08035	Janco Holdings Ltd.	Cayman Islands	2016/10/07
08133	Jete Power Holdings Ltd.	Cayman Islands	2015/04/30
08519	Jia Group Holdings Ltd.	Cayman Islands	2018/02/08
08165	Jian ePayment Systems Ltd.	Cayman Islands	2001/12/10

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Code	Company name	Incorporation	Listing date
08045	Jiangsu Nandasoft Technology Co. Ltd. – H Shares	PRC	2001/04/24
08049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs	PRC	2001/05/24
08187	Jimu Group Ltd.	Cayman Islands	2016/05/30
08527	JLogo Holdings Ltd.	Cayman Islands	2018/05/09
08479	JTF International Holdings Ltd.	Cayman Islands	2018/01/17
08475	K Group Holdings Ltd.	Cayman Islands	2018/08/13
08411	K W Nelson Interior Design and Contracting Group Ltd.	Cayman Islands	2016/12/08
08203	Kaisun Holdings Ltd.	Cayman Islands	2004/01/20
08070	Keen Ocean International Holding Ltd.	Cayman Islands	2016/02/24
08606	Kinetix Systems Holdings Ltd.	Cayman Islands	2018/07/16
08109	Kirin Group Holdings Ltd.	Bermuda	2002/01/31
08065	KML Technology Group Ltd.	Cayman Islands	2017/10/16
08039	KNK Holdings Ltd.	Cayman Islands	2016/12/12
08226	KOALA Financial Group Ltd.	Cayman Islands	2002/07/19
08042	KOS International Holdings Ltd.	Cayman Islands	2018/10/12
08027	KPM Holding Ltd.	Cayman Islands	2015/07/10
08023	Kwong Man Kee Group Ltd.	Cayman Islands	2016/10/13
08195	L & A International Holdings Ltd.	Cayman Islands	2014/10/10
08455	Lai Group Holding Co. Ltd.	Cayman Islands	2017/04/12
08172	Lajin Entertainment Network Group Ltd.	Bermuda	2002/03/26
08472	Lapco Holdings Ltd.	Cayman Islands	2017/07/18
08351	Larry Jewelry International Co. Ltd.	Bermuda	2009/10/07
08056	Life Concepts Holdings Ltd.	Cayman Islands	2016/08/05
08267	Linekong Interactive Group Co., Ltd.	Cayman Islands	2014/12/30
08237	Link Holdings Ltd.	Cayman Islands	2014/07/07
08383	Linocraft Holdings Ltd.	Cayman Islands	2017/09/15
08162	Loco Hong Kong Holdings Ltd.	Hong Kong	2014/08/05
08198	Loto Interactive Ltd.	Cayman Islands	2002/05/17
08217	Luen Wong Group Holdings Ltd.	Cayman Islands	2016/04/12
08052	Luk Hing Entertainment Group Holdings Ltd.	Cayman Islands	2016/11/11
08041	Luxey International (Holdings) Ltd.	Cayman Islands	2000/07/07
08152	M&L Holdings Group Ltd.	Cayman Islands	2017/07/21
08057	Madison Holdings Group Ltd.	Cayman Islands	2015/10/08
08309	Man Shing Global Holdings Ltd.	Cayman Islands	2017/04/13
08456	Mansion International Holdings Ltd.	Cayman Islands	2018/01/26
08483	Max Sight Group Holdings Ltd.	Cayman Islands	2018/02/28
08216	Maxicity Holdings Ltd.	Cayman Islands	2019/12/13
08075	Media Asia Group Holdings Ltd.	Bermuda	2001/05/31
08307	Medicskin Holdings Ltd.	Cayman Islands	2014/12/18
08161	MediNet Group Ltd.	Cayman Islands	2016/05/31
08349	MEIGU Technology Holding Group Ltd.	Cayman Islands	2017/01/13
08163	Merdeka Financial Group Ltd.	Cayman Islands	2002/03/07
08621	Metropolis Capital Holdings Ltd.	Cayman Islands	2018/12/12
08473	Mi Ming Mart Holdings Ltd.	Cayman Islands	2018/02/12
08147	Millennium Pacific Group Holdings Ltd.	Cayman Islands	2014/07/18
08093	Million Stars Holdings Ltd.	Cayman Islands	2015/02/12
08611	Mindtell Technology Ltd.	Cayman Islands	2018/10/22
08426	Modern Living Investments Holdings Ltd.	Cayman Islands	2017/11/10
08447	MS Concept Ltd.	Cayman Islands	2018/04/16
08297	My Heart Bodibra Group Ltd.	Cayman Islands	2017/07/13
08607	Narnia (Hong Kong) Group Co. Ltd.	Cayman Islands	2019/02/26
08228	National Arts Entertainment and Culture Group Ltd.	Bermuda	2002/10/17
08167	Neo Telemedia Ltd.	Cayman Islands	2002/08/06
08242	New Western Group Ltd.	Cayman Islands	2012/01/19
08420	Nexion Technologies Ltd.	Cayman Islands	2017/06/16
08490	Niche-Tech Group Ltd.	Cayman Islands	2018/05/30
08445	Noble Engineering Group Holdings Ltd.	Cayman Islands	2017/09/29
08645	Nomad Technologies Holdings Ltd.	Cayman Islands	2019/12/09
08080	North Asia Strategic Holdings Ltd.	Bermuda	2000/04/20
08635	Novacon Technology Group Ltd.	Cayman Islands	2019/05/02
08502	Ocean Line Port Development Ltd.	Cayman Islands	2018/07/10
08476	Ocean One Holding Ltd.	Cayman Islands	2017/10/19
08462	Omnibridge Holdings Ltd.	Cayman Islands	2017/07/17
08245	On Real International Holdings Ltd.	Cayman Islands	2015/09/30
08091	OOH Holdings Ltd.	Cayman Islands	2017/01/05
08418	Optima Automobile Group Holdings Ltd.	Cayman Islands	2019/10/11
08627	Orange Tour Cultural Holding Ltd.	Cayman Islands	2019/11/14
08001	Orient Securities International Holdings Ltd.	Cayman Islands	2014/01/15
08613	Oriental Payment Group Holdings Ltd.	Cayman Islands	2018/10/16
08067	Oriental University City Holdings (H.K.) Ltd.	Hong Kong	2015/01/16
08547	Pacific Legend Group Ltd.	Cayman Islands	2018/07/18
08316	Pak Wing Group (Holdings) Ltd.	Cayman Islands	2015/08/10
08179	Palinda Group Holdings Ltd.	Cayman Islands	2011/07/08
08311	Perfect Optronics Ltd.	Cayman Islands	2014/02/07
08221	PF Group Holdings Ltd.	Cayman Islands	2017/01/06
08231	PFC Device Inc.	Cayman Islands	2016/10/07
08066	Phoenitron Holdings Ltd.	Cayman Islands	2001/12/20
08053	Pizu Group Holdings Ltd.	Cayman Islands	2004/08/06
08011	Polyard Petroleum International Group Ltd.	Cayman Islands	2002/07/12
08532	Polyfair Holdings Ltd.	Cayman Islands	2018/02/23
08201	PPS International (Holdings) Ltd.	Cayman Islands	2013/06/17
08379	Prime Intelligence Solutions Group Ltd.	Cayman Islands	2018/02/14
08385	Prosperous Printing Co. Ltd.	Hong Kong	2017/12/13
08050	Quantum Thinking Ltd.	Cayman Islands	2000/07/24
08471	Reach New Holdings Ltd.	Cayman Islands	2017/07/21
08357	Republic Healthcare Ltd.	Cayman Islands	2018/06/15

List of listed companies on GEM (continued)

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Code	Company name	Incorporation	Listing date
08437	RMH Holdings Ltd.	Cayman Islands	2017/10/13
08072	Roma Group Ltd.	Cayman Islands	2013/02/25
08300	Royal Catering Group Holdings Co. Ltd.	Cayman Islands	2016/08/08
08125	Royal Century Resources Holdings Ltd.	Hong Kong	2014/07/18
08501	Sanbase Corporation Ltd.	Cayman Islands	2018/01/04
08392	Satu Holdings Ltd.	Cayman Islands	2017/10/16
08200	Sau San Tong Holdings Ltd.	Cayman Islands	2003/11/19
08363	SDM Group Holdings Ltd.	Cayman Islands	2014/10/14
08150	Seamless Green China (Holdings) Ltd.	Bermuda	2001/08/10
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	PRC	2003/07/03
08205	Shanghai Jiaoda Withub Information Industrial Co Ltd - H Shs	PRC	2002/07/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. - H Shares	PRC	2004/06/30
08286	Shanxi Changcheng Microlight Equipment Co. Ltd. - H Shares	PRC	2004/05/18
08377	Shen You Holdings Ltd.	Cayman Islands	2017/12/15
08106	Shenghua Lande Scitech Ltd. - H Shares	PRC	2002/05/03
08481	Shenglong Splendecor International Ltd.	Cayman Islands	2017/07/17
08206	Shentong Robot Education Group Co. Ltd.	Cayman Islands	2002/11/15
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. - H Shares	PRC	2004/07/07
08329	Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares	PRC	2005/09/12
08523	Sheung Moon Holdings Ltd.	Cayman Islands	2018/02/12
08181	Shi Shi Services Ltd.	Cayman Islands	2013/10/11
08250	Silk Road Energy Services Group Ltd.	Cayman Islands	2004/06/18
08367	Simplicity Holding Ltd.	Cayman Islands	2018/02/26
08076	Sing Lee Software (Group) Ltd.	Bermuda	2001/09/05
08496	Singapore Food Holdings Ltd.	Cayman Islands	2020/05/18
08293	SingAsia Holdings Ltd.	Cayman Islands	2016/07/15
08006	Sino Splendid Holdings Ltd.	Cayman Islands	2000/03/09
08086	Sino Vision Worldwide Holdings Ltd.	Bermuda	2000/08/02
08123	Sinofortune Financial Holdings Ltd.	Cayman Islands	2002/01/11
08296	Sino-Life Group Ltd.	Cayman Islands	2009/09/09
08156	Sinopharm Tech Holdings Ltd.	Cayman Islands	2002/11/12
08427	SK Target Group Ltd.	Cayman Islands	2017/07/19
08285	Sling Group Holdings Ltd.	Cayman Islands	2018/01/16
08268	Smart City Development Holdings Ltd.	Cayman Islands	2015/01/08
08439	Somerley Capital Holdings Ltd.	Cayman Islands	2017/03/28
08155	South China Assets Holdings Ltd.	Cayman Islands	2002/07/18
08521	ST International Holdings Co. Ltd.	Cayman Islands	2018/05/16
08213	StarGlory Holdings Co. Ltd.	Cayman Islands	2003/03/18
08277	Steed Oriental (Holdings) Co. Ltd.	Cayman Islands	2015/02/23
08401	Stream Ideas Group Ltd.	Cayman Islands	2018/03/28
08082	Sun Entertainment Group Ltd.	Bermuda	2001/11/02
08029	Sun International Group Ltd.	Cayman Islands	2000/12/14
08631	Sun Kong Holdings Ltd.	Cayman Islands	2019/01/08
08451	Sunlight (1977) Holdings Ltd.	Cayman Islands	2018/04/16
08616	Sunray Engineering Group Ltd.	Cayman Islands	2020/04/23
08262	Super Strong Holdings Ltd.	Cayman Islands	2016/03/30
08176	SuperRobotics Holdings Ltd.	Bermuda	2002/02/19
08321	Tai Kam Holdings Ltd.	Cayman Islands	2016/10/28
08436	Takbo Group Holdings Ltd.	Cayman Islands	2017/10/27
08371	Taste.Gourmet Group Ltd.	Cayman Islands	2018/01/17
08096	Tasty Concepts Holding Ltd.	Cayman Islands	2019/03/15
08346	TEM Holdings Ltd.	Cayman Islands	2016/05/18
08119	Thiz Technology Group Ltd.	Cayman Islands	2001/07/27
08348	Tianjin Binhai Teda Logistics (Group) Corporation Ltd.-H Shs	PRC	2008/04/30
08189	Tianjin TEDA Biomedical Engineering Co. Ltd. - H Shares	PRC	2002/06/18
08028	Timeless Software Ltd.	Hong Kong	1999/11/25
08536	TL Natural Gas Holdings Ltd.	Cayman Islands	2018/05/18
08305	Tong Kee (Holding) Ltd.	Cayman Islands	2018/07/04
08326	Tonking New Energy Group Holdings Ltd.	Cayman Islands	2013/11/21
08510	Top Standard Corporation	Cayman Islands	2018/02/13
08017	TradeGo FinTech Ltd.	Cayman Islands	2018/09/28
08395	Tree Holdings Ltd.	Cayman Islands	2018/01/25
08657	True Partner Capital Holding Ltd.	Cayman Islands	2020/10/16
08173	Union Asia Enterprise Holdings Ltd.	Cayman Islands	2002/05/09
08020	Unitas Holdings Ltd.	Cayman Islands	2011/10/12
08448	Universe Printshop Holdings Ltd.	Cayman Islands	2018/03/28
08365	VBG International Holdings Ltd.	Cayman Islands	2017/05/26
08375	Vertical International Holdings Ltd.	Cayman Islands	2017/11/13
08540	Victory Securities (Holdings) Co. Ltd.	Cayman Islands	2018/07/16
08340	Vinco Financial Group Ltd.	Cayman Islands	2008/05/20
08107	Vision International Holdings Ltd.	Cayman Islands	2018/05/04
08535	Vistar Holdings Ltd.	Cayman Islands	2018/02/12
08032	Viva China Holdings Ltd.	Cayman Islands	2000/04/06
08033	Vodatel Networks Holdings Ltd.	Bermuda	2000/02/25
08619	WAC Holdings Ltd.	Cayman Islands	2018/09/17
08291	Wan Cheng Metal Packaging Co. Ltd.	Cayman Islands	2017/07/18
08482	Wan Leader International Ltd.	Cayman Islands	2018/09/05
08269	Wealth Glory Holdings Ltd.	Cayman Islands	2010/10/14
08509	Wine's Link International Holdings Ltd.	Cayman Islands	2018/01/12
08526	Wing Fung Group Asia Ltd.	Cayman Islands	2018/02/27
08362	Winning Tower Group Holdings Ltd.	Cayman Islands	2017/06/30
08238	Winto Group (Holdings) Ltd.	Cayman Islands	2015/02/16
08021	WLS Holdings Ltd.	Bermuda	2001/12/07
08208	WMCH Global Investment Ltd.	Cayman Islands	2019/11/29
08612	World Super Holdings Ltd.	Cayman Islands	2019/07/12
08292	Worldgate Global Logistics Ltd.	Cayman Islands	2016/07/06

List of listed companies on GEM (continued)

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Code	Company name	Incorporation	Listing date
08422	WT Group Holdings Ltd.	Cayman Islands	2017/12/28
08069	WWPKG Holdings Co. Ltd.	Cayman Islands	2017/01/12
08227	Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares	PRC	2003/11/05
08328	Xinyi Automobile Glass Hong Kong Enterprises Ltd.	Cayman Islands	2016/07/11
08659	Yik Wo International Holdings Ltd.	Cayman Islands	2020/07/13
08260	Yin He Holdings Ltd.	Cayman Islands	2013/04/10
08668	Ying Hai Group Holdings Co. Ltd.	Cayman Islands	2019/09/26
08241	Ying Kee Tea House Group Ltd.	Hong Kong	2018/04/16
08048	Yu Tak International Holdings Ltd.	Bermuda	2000/06/30
08005	Yuxing InfoTech Investment Holdings Ltd.	Bermuda	2000/01/31
08313	ZACD Group Ltd.	Singapore	2018/01/16
08139	Zhejiang Chang'an Renheng Technology Co., Ltd. – H Shares	PRC	2015/01/16
08249	Zhejiang RuiYuan Intelligent Control Technology Co. Ltd-H Sh	PRC	2003/11/14
08366	Zhejiang United Investment Holdings Group Ltd.	Cayman Islands	2015/11/02
08211	Zhejiang Yongan Rongtong Holdings Co., Ltd. – H Shares	PRC	2002/11/08
08283	Zheng Li Holdings Ltd.	Cayman Islands	2016/11/08
08370	Zhi Sheng Group Holdings Ltd.	Cayman Islands	2017/01/20
08511	Zhicheng Technology Group Ltd.	Cayman Islands	2018/04/20
08246	Zhonghua Gas Holdings Ltd.	Cayman Islands	2011/12/30
08266	Zhuoxin International Holdings Ltd.	Cayman Islands	2003/05/09
08287	Zioncom Holdings Ltd.	Cayman Islands	2018/01/18
08223	Ziyuanyuan Holdings Group Ltd.	Cayman Islands	2018/07/09
08135	ZMFY Automobile Glass Services Ltd.	Cayman Islands	2013/09/03
08295	ZZ Capital International Ltd.	Cayman Islands	2010/06/18

List of exchange traded funds

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Code	Name	Listing date
02819	ABF Hong Kong Bond Index Fund	2005/06/21
02821	ABF Pan Asia Bond Index Fund	2005/07/07
82843	AMUNDI FTSE China A50 Index ETF	2016/10/31
02843	AMUNDI FTSE China A50 Index ETF	2016/10/31
83012	AMUNDI Hang Seng HK 35 Index ETF	2016/04/12
03012	AMUNDI Hang Seng HK 35 Index ETF	2016/04/12
03145	BMO Asia High Dividend ETF	2014/11/13
09141	BMO Asia USD Investment Grade Bond ETF	2014/11/13
03141	BMO Asia USD Investment Grade Bond ETF	2014/11/13
03143	BMO Hong Kong Banks ETF	2014/11/13
03121	BMO MSCI Asia Pacific Real Estate ETF	2016/02/18
03165	BMO MSCI Europe Quality Hedged to USD ETF	2016/02/18
03160	BMO MSCI Japan Hedged to USD ETF	2016/02/18
03086	BMO NASDAQ 100 ETF	2016/02/18
09086	BMO NASDAQ 100 ETF	2016/02/18
02832	Bosera FTSE China A50 Index ETF	2013/12/09
82832	Bosera FTSE China A50 Index ETF	2013/12/09
82813	ChinaAMC Bloomberg Barclays ChinaTreasury+Policy Bk B Idx ETF	2018/06/06
02813	ChinaAMC Bloomberg Barclays ChinaTreasury+Policy Bk B Idx ETF	2018/06/06
03188	ChinaAMC CSI 300 Index ETF	2012/07/17
83188	ChinaAMC CSI 300 Index ETF	2012/07/17
03157	ChinaAMC Hang Seng Stock Connect HK SmallCap Index ETF	2015/10/26
03088	ChinaAMC Hang Seng TECH Index ETF	2020/09/03
09088	ChinaAMC Hang Seng TECH Index ETF	2020/09/03
83197	ChinaAMC MSCI China A Inclusion Index ETF	2018/02/07
03197	ChinaAMC MSCI China A Inclusion Index ETF	2018/02/07
83079	CICC Bloomberg Barclays China Treasury 1-10 Years ETF	2018/12/12
03079	CICC Bloomberg Barclays China Treasury 1-10 Years ETF	2018/12/12
83093	CICC CSI Select 100 ETF	2018/12/05
03093	CICC CSI Select 100 ETF	2018/12/05
03071	CICC HKD Money Market ETF	2020/12/02
83186	CICC KraneShares CSI China Internet Index ETF	2018/04/10
03186	CICC KraneShares CSI China Internet Index ETF	2018/04/10
09186	CICC KraneShares CSI China Internet Index ETF	2018/04/10
83199	CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF	2014/02/19
03199	CSOP Bloomberg Barclays Ch Treasury + Policy Bk Bond Idx ETF	2014/02/19
03005	CSOP CSI 500 ETF	2020/03/19
83005	CSOP CSI 500 ETF	2020/03/19
02822	CSOP FTSE China A50 ETF	2012/08/28
82822	CSOP FTSE China A50 ETF	2012/08/28
03033	CSOP Hang Seng TECH Index ETF	2020/08/28
83053	CSOP Hong Kong Dollar Money Market ETF	2018/07/18
03053	CSOP Hong Kong Dollar Money Market ETF	2018/07/18
03149	CSOP MSCI China A Inclusion Index ETF	2015/11/26
83149	CSOP MSCI China A Inclusion Index ETF	2015/11/26
03122	CSOP RMB Money Market ETF	2015/01/20
83122	CSOP RMB Money Market ETF	2015/01/20
03147	CSOP SZSE ChiNext ETF	2015/05/15
83147	CSOP SZSE ChiNext ETF	2015/05/15
09096	CSOP US Dollar Money Market ETF	2019/01/25
03096	CSOP US Dollar Money Market ETF	2019/01/25
03193	CSOP Yinhuo CSI 5G Communications Theme ETF	2020/10/23
83100	E Fund (HK) CSI 300 A-Share Index ETF	2012/08/27
03100	E Fund (HK) CSI 300 A-Share Index ETF	2012/08/27
02820	Global X China Biotech ETF	2019/07/25
09820	Global X China Biotech ETF	2019/07/25
09809	Global X China Clean Energy ETF	2020/01/17
02809	Global X China Clean Energy ETF	2020/01/17
09826	Global X China Cloud Computing ETF	2019/07/25
02826	Global X China Cloud Computing ETF	2019/07/25
09806	Global X China Consumer Brand ETF	2020/01/17
02806	Global X China Consumer Brand ETF	2020/01/17
02845	Global X China Electric Vehicle ETF	2020/01/17
09845	Global X China Electric Vehicle ETF	2020/01/17
02807	Global X China Robotics and AI ETF	2020/08/07

List of exchange traded funds (continued)

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Code	Name	Listing date
09807	Global X China Robotics and AI ETF	2020/08/07
09191	Global X China Semiconductor ETF	2020/08/07
03191	Global X China Semiconductor ETF	2020/08/07
03127	Global X CSI 300 ETF	2014/09/26
83127	Global X CSI 300 ETF	2014/09/26
03110	Global X Hang Seng High Dividend Yield ETF	2013/06/17
03040	Global X MSCI China ETF	2013/06/17
03097	Global X S&P Crude Oil Futures Enhanced ER ETF	2016/06/16
02811	Haitong CSI300 Index ETF	2014/03/07
82811	Haitong CSI300 Index ETF	2014/03/07
03031	Haitong MSCI China A ESG ETF	2020/10/15
83031	Haitong MSCI China A ESG ETF	2020/10/15
09031	Haitong MSCI China A ESG ETF	2020/10/15
83128	Hang Seng China A Industry Top Index ETF	2013/11/26
03128	Hang Seng China A Industry Top Index ETF	2013/11/26
82828	Hang Seng China Enterprises Index ETF	2003/12/10
02828	Hang Seng China Enterprises Index ETF	2003/12/10
02838	Hang Seng FTSE China 50 Index ETF	2005/06/08
83130	Hang Seng Harvest CSI 300 Index ETF	2020/10/23
03130	Hang Seng Harvest CSI 300 Index ETF	2020/10/23
82833	Hang Seng Index ETF	2004/09/21
02833	Hang Seng Index ETF	2004/09/21
83168	Hang Seng RMB Gold ETF	2012/02/14
03032	Hang Seng TECH Index ETF	2020/09/04
03118	Harvest MSCI China A Index ETF	2012/10/12
83118	Harvest MSCI China A Index ETF	2012/10/12
09011	ICBC CICC USD Money Market ETF	2019/06/18
03011	ICBC CICC USD Money Market ETF	2019/06/18
83167	ICBC CSOP S&P New China Sectors ETF	2016/12/08
09167	ICBC CSOP S&P New China Sectors ETF	2016/12/08
03167	ICBC CSOP S&P New China Sectors ETF	2016/12/08
02846	iShares Core CSI 300 ETF	2009/11/18
82846	iShares Core CSI 300 ETF	2009/11/18
09846	iShares Core CSI 300 ETF	2009/11/18
09115	iShares Core Hang Seng Index ETF	2016/11/23
83115	iShares Core Hang Seng Index ETF	2016/11/23
03115	iShares Core Hang Seng Index ETF	2016/11/23
03170	iShares Core KOSPI 200 ETF	2016/06/29
83170	iShares Core KOSPI 200 ETF	2016/06/29
09170	iShares Core KOSPI 200 ETF	2016/06/29
09010	iShares Core MSCI Asia ex Japan ETF	2009/04/23
83010	iShares Core MSCI Asia ex Japan ETF	2009/04/23
03010	iShares Core MSCI Asia ex Japan ETF	2009/04/23
02801	iShares Core MSCI China ETF	2001/11/28
83074	iShares Core MSCI Taiwan ETF	2016/06/29
09074	iShares Core MSCI Taiwan ETF	2016/06/29
03074	iShares Core MSCI Taiwan ETF	2016/06/29
02836	iShares Core S&P BSE SENSEX India ETF	2006/11/02
82836	iShares Core S&P BSE SENSEX India ETF	2006/11/02
09836	iShares Core S&P BSE SENSEX India ETF	2006/11/02
82823	iShares FTSE A50 China ETF	2004/11/18
02823	iShares FTSE A50 China ETF	2004/11/18
03067	iShares Hang Seng TECH ETF	2020/09/17
09067	iShares Hang Seng TECH ETF	2020/09/17
02802	iShares MSCI Emerging Asia ETF	2009/04/23
09022	iShares MSCI Emerging Markets ETF (HK)	2020/07/22
03022	iShares MSCI Emerging Markets ETF (HK)	2020/07/22
82834	iShares NASDAQ 100 ETF	2016/06/29
09834	iShares NASDAQ 100 ETF	2016/06/29
02834	iShares NASDAQ 100 ETF	2016/06/29
02824	Lippo Select HK & Mainland Property ETF	2012/09/26
09072	Nikko AM Global Internet ETF	2019/10/24
03072	Nikko AM Global Internet ETF	2019/10/24
09091	NikkoAM E-Games Active ETF	2020/06/16
03091	NikkoAM E-Games Active ETF	2020/06/16

List of exchange traded funds (continued)

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Code	Name	Listing date
03163	Ping An MSCI China Multi-Factor ETF	2018/11/30
03166	Ping An MSCI China Quality Factor ETF	2018/11/30
03198	Ping An Nasdaq 5HANDL ETF	2018/12/07
03023	Ping An Nasdaq AI and Robotics ETF	2018/12/07
03080	Ping An of China CSI 5-10Y CGB ETF	2017/12/29
03070	Ping An of China CSI HK Dividend ETF	2012/02/15
09181	Premia Asia Innovative Technology ETF	2018/08/06
03181	Premia Asia Innovative Technology ETF	2018/08/06
09803	Premia CSI Caixin China Bedrock Economy ETF	2017/10/24
02803	Premia CSI Caixin China Bedrock Economy ETF	2017/10/24
03173	Premia CSI Caixin China New Economy ETF	2017/10/24
09173	Premia CSI Caixin China New Economy ETF	2017/10/24
02810	Premia Dow Jones Emerging ASEAN Titans 100 ETF	2018/08/06
09810	Premia Dow Jones Emerging ASEAN Titans 100 ETF	2018/08/06
09804	Premia MSCI Vietnam ETF	2019/07/18
02804	Premia MSCI Vietnam ETF	2019/07/18
03077	Premia US Treasury Floating Rate ETF	2019/07/18
09077	Premia US Treasury Floating Rate ETF	2019/07/18
09078	Premia US Treasury Floating Rate ETF-Accumulating Unit Class	2019/09/27
09812	Samsung CSI China Dragon Internet ETF	2018/06/20
02812	Samsung CSI China Dragon Internet ETF	2018/06/20
03175	Samsung S&P GSCI Crude Oil ER Futures ETF	2016/04/29
03187	Samsung S&P High Dividend APAC ex NZ REITs ETF	2020/10/15
09187	Samsung S&P High Dividend APAC ex NZ REITs ETF	2020/10/15
03073	SPDR FTSE Greater China ETF	2010/09/20
02840	SPDR Gold Trust	2008/07/31
03047	SSIF DCE Iron Ore Futures Index ETF	2020/03/27
09047	SSIF DCE Iron Ore Futures Index ETF	2020/03/27
02800	Tracker Fund of Hong Kong	1999/11/12
09081	Value Gold ETF	2010/11/03
03081	Value Gold ETF	2010/11/03
83081	Value Gold ETF	2010/11/03
09085	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	2014/06/13
83085	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	2014/06/13
03085	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	2014/06/13
09805	Vanguard FTSE Asia ex Japan Index ETF	2013/05/15
82805	Vanguard FTSE Asia ex Japan Index ETF	2013/05/15
02805	Vanguard FTSE Asia ex Japan Index ETF	2013/05/15
83101	Vanguard FTSE Developed Europe Index ETF	2014/06/13
09101	Vanguard FTSE Developed Europe Index ETF	2014/06/13
03101	Vanguard FTSE Developed Europe Index ETF	2014/06/13
03126	Vanguard FTSE Japan Index ETF	2014/06/13
83126	Vanguard FTSE Japan Index ETF	2014/06/13
09126	Vanguard FTSE Japan Index ETF	2014/06/13
83140	Vanguard S&P 500 Index ETF	2015/05/21
09140	Vanguard S&P 500 Index ETF	2015/05/21
03140	Vanguard S&P 500 Index ETF	2015/05/21
83169	Vanguard Total China Index ETF	2018/05/10
03169	Vanguard Total China Index ETF	2018/05/10
09169	Vanguard Total China Index ETF	2018/05/10
02827	W.I.S.E. – CSI 300 China Tracker	2007/07/17
02825	W.I.S.E. – CSI HK 100 Tracker	2008/05/15
03024	W.I.S.E. – SSE 50 China Tracker	2009/04/15
03182	W.I.S.E.-Nasdaq Overseas China New Econ Co Top 50 Idx Tracker	2018/09/12
03049	Xtrackers CSI300 Swap UCITS ETF	2010/03/25
03007	Xtrackers FTSE China 50 UCITS ETF	2009/07/08
03087	Xtrackers FTSE Vietnam Swap UCITS ETF	2009/07/08
03048	Xtrackers MSCI Brazil UCITS ETF	2010/02/24
03055	Xtrackers MSCI China UCITS ETF	2012/01/12
03099	Xtrackers MSCI Indonesia Swap UCITS ETF	2012/01/12
02848	Xtrackers MSCI Korea UCITS ETF	2009/07/08
03082	Xtrackers MSCI Malaysia UCITS ETF	2012/01/12
03043	Xtrackers MSCI Pacific ex Japan UCITS ETF	2010/02/24
03016	Xtrackers MSCI Philippines UCITS ETF	2013/04/11
03027	Xtrackers MSCI Russia Capped Swap UCITS ETF	2010/02/24

List of exchange traded funds *(continued)*

End of 2020

Code	Name	Listing date
03065	Xtrackers MSCI Singapore UCITS ETF	2013/04/11
03036	Xtrackers MSCI Taiwan UCITS ETF	2009/06/30
03092	Xtrackers MSCI Thailand UCITS ETF	2012/01/12
03020	Xtrackers MSCI USA Swap UCITS ETF	2009/07/08
03019	Xtrackers MSCI World Swap UCITS ETF	2010/02/24
03015	Xtrackers Nifty 50 Swap UCITS ETF	2009/07/08

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

3. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. China-controlled company (Red Chip)

A company is deemed to be China-controlled company (Red Chip), if

- (1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or
- (2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

Technical notes to tables *(continued)*

5. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

6. H shares

Shares issued by a PRC issuer under PRC law and listed on the SEHK, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

7. Listed company

A company in respect of which all or some of the equity securities are listed on the SEHK.

8. Number of listed securities

The total number of ordinary shares, preferred ordinary/preference shares, warrants, CBBC, ELI, debt securities and unit trust/mutual fund listed on the SEHK.

9. Open interest (options)

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

10. Premium (options)

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

11. Total market capitalisation

The aggregate capitalisation of all listed companies which are regarded as domestic companies and foreign companies listed on the SEHK only except those under suspension for over one year.

12. Trading volume and value

Figures of trading volume and value are counted on a one-way basis.

Acronyms

AMS	Automatic Order Matching and Execution System
AMS/3	Automatic Order Matching and Execution System/Third Generation
CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
EW	Equity Warrant
HIBOR	Hong Kong Interbank Offered Rate
HKATS	Hong Kong Futures Automated Trading System
HKCC	HKFE Clearing Corporation Ltd
HKCE	Hong Kong Commodity Exchange Ltd
HKEX	Hong Kong Exchanges and Clearing Ltd
HKFE	Hong Kong Futures Exchange Ltd
HKMA	Hong Kong Monetary Authority
HKSCC	Hong Kong Securities Clearing Co Ltd
HSCCI	Hang Seng China-Affiliated Corporations Index
HSCEI	Hang Seng China Enterprises Index
HSI	Hang Seng Index
HSICS	Hang Seng Industry Classification System
iIPO	Internet Initial Public Offering
IPO	Initial public offering
ISI	Investor settlement instruction
L&I Products	Leveraged and Inverse Products
LME	London Metal Exchange
LMEX	London Metal Exchange Index
NASDAQ	National Association of Securities Dealer Automated Quotations
ORS	Order Routing System
OTC Clear	OTC Clearing Hong Kong Ltd.
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEHK	Stock Exchange of Hong Kong Ltd, The
SEOCH	SEHK Options Clearing House Ltd, The
SFC	Securities and Futures Commission, The
SFO	Securities and Futures Ordinance
SI	Settlement instruction
SS	Stapled securities
TAPOs	Traded Average Price Options
TOPS	Traded OPtions System

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