HKEX
香港交易所

Fact Book 2021

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Market Performance in 2021

Market Performance in 2021

	2020		2021		Change (%)
Stock Market					
No. of listed companies	2,538		2,572		1.34
Main Board	2,170		2,219		2.26
GEM	368		353		(4.08)
No. of listed securities	17,041		18,882		10.80
Main Board	16,672		18,528		11.13
GEM	369		354		(4.07)
No. of new listed companies [1]	154		98		(36.36)
Main Board	146		97		(33.56)
Transfer of listing from GEM	8		2		(75.00)
GEM	8		1		(87.50)
Total market capitalisation (HK$mil)	47,523,019.19		42,381,147.33		(10.82)
Main Board	47,392,196.36		42,272,766.38		(10.80)
GEM	130,822.84		108,380.95		(17.15)
Total turnover value (HK$mil) [2]	32,110,147.90		41,182,253.21		28.25
Average daily	129,476.40		166,729.77		28.77
Main Board	129,128.15		166,341.55		28.82
GEM	348.25		388.22		11.48
Highest	267,929.18	(11/11)	361,238.46	(27/07)	
Lowest	70,532.74	(05/05)	63,107.45	(29/12)	
Equity funds raised (HK$bil)	746.96		773.27		3.52
IPO	400.14		331.34		(17.19)
Main Board	399.58		331.28		(17.09)
GEM	0.55		0.06		(89.99)
Post-IPO	346.82		441.94		27.43
Main Board	343.82		438.68		27.59
GEM	3.00		3.26		8.52
Mainland Enterprises					
No. of Mainland enterprises	1,319		1,368		3.71
Main Board	1,227		1,281		4.40
H shares	272		279		2.57
Red chips	172		170		(1.16)
Mainland private enterprises	783		832		6.26
GEM	92		87		(5.43)
H shares	19		17		(10.53)
Red chips	4		4		0
Mainland private enterprises	69		66		(4.35)
No. of new listed H-shares [1]	13		15		15.38
Main Board	13		15		15.38
Transfer of listing from GEM	0		1		–
GEM	0		0		–
Market capitalisation (HK$mil) (% of market total)	38,072,997.37	(80.11%)	33,433,762.34	(78.89%)	(12.19)
Main Board	38,051,930.44	(80.29%)	33,412,203.95	(79.04%)	(12.19)
H shares	6,702,123.66		6,515,199.16		(2.79)
Red chips	4,505,415.11		4,922,477.61		9.26
Mainland private enterprises	26,844,391.66		21,974,527.17		(18.14)
GEM	21,066.93	(16.10%)	21,558.38	(19.89%)	2.33
H shares	2,500.24		2,273.67		(9.06)
Red chips	951.32		839.02		(11.81)
Mainland private enterprises	17,615.37		18,445.69		4.71
Total turnover value (HK$mil) (% of equity turnover)	21,770,949.89	(84.68%)	30,028,867.23	(88.05%)	37.93

Market Performance in 2021

	2020		2021		Change (%)
Stock price indices					
Hang Seng Index (31 July 1964 = 100)	27231.13		23397.67		(14.08)
HSI – Finance	36938.99		33869.48		(8.31)
HSI – Utilities	45760.14		50685.55		10.76
HSI – Properties	32127.32		29580.42		(7.93)
HSI – Commerce & Industry	17452.75		13996.24		(19.80)
Hang Seng China Enterprises Index (3 January 2000 = 2000)	10738.40		8236.35		(23.30)
Hang Seng China-Affiliated Corporations Index (3 January 2000 = 2000)	3799.56		3899.58		2.63
S&P/HKEX LargeCap Index (28 February 2003 = 10000)	41899.21		34253.03		(18.25)
S&P/HKEX GEM Index (28 February 2003 = 1000)	133.80		60.99		(54.42)
Equity warrants					
No. of newly issued	0		4		–
Main Board	0		3		–
GEM	0		1		–
Total premium of newly issued equity warrants (HK$mil)	0.00		0.00		–
Main Board	0.00		0.00		–
GEM	0.00		0.00		–
No. of equity warrants	1		4		300.00
Main Board	0		3		–
GEM	1		1		0
Total turnover value (HK$mil)	15.20		3.13		(79.42)
Main Board	15.18		3.05		(79.91)
GEM	0.01		0.08		458.19
Debt securities					
No. of debt securities	1,574		1,747		10.99
RMB bonds	75		83		10.67
Total turnover value (HK$mil)	65,544.25		104,203.84		58.98
No. of newly listed debt securities	430		508		18.14
RMB bonds	12		27		125.00
Total fund raised (HK$bil)	1,528.72		1,552.42		1.55
Aggregated principal amount of new listed RMB bonds (RMB bil)	29.53		54.01		82.90
iBond turnover value (HK$mil) (% of debt securities)	3,244.37	(4.9%)	12,048.38	(11.56%)	271.36
Unit trusts and mutual funds					
No. of listed units trusts and mutual funds	159		167		5.03
ETFs	118		127		7.63
L&I Products	28		28		0
REITs	12		11		–8.33
Total turnover value (HK$mil) (% of Main Board total)	1,738,615.77	(5.43%)	2,017,595.22	(4.91%)	16.05
ETFs	1,215,210.31		1,629,565.51		34.10
L&I Products	373,472.91		276,668.46		(25.92)
REITs	149,695.93		111,035.97		(25.83)
Average daily turnover value (HK$mil)	7,010.55		8,168.40		16.52
ETFs	4,900.04		6,597.43		34.64
L&I Products	1,505.94		1,120.12		(25.62)
REITs	603.61		449.54		(25.53)
No. of new listed unit trusts and mutual funds	26		34		30.77
ETFs	18		27		50.00
Multiple-counter ETFs	14		10		(28.57)
Trading value in RMB					
% of total multiple-counter ETFs	2.50		2.51		
Trading value of A-share ETFs (HK$bil) (% of ETFs)	421.88	(34.72%)	198.26	(12.17%)	(53.00)

Market Performance in 2021

	2020		2021		Change (%)
Structured products					
Derivatives warrants (DWs)					
No. of listed DWs	7,507		9,464		26.07
No. of new listed DWs	12,128		16,684		37.57
Total notional value of new listed DWs (HK$bil)	256.93		337.27		31.27
Total turnover value (HK$mil) (% of Main Board total)	2,260,916.90	(7.06%)	2,763,046.22	(6.72%)	22.21
Inline warrants (IWs)					
No. of listed IWs	507		98		(80.67)
No. of new listed IWs	780		83		(89.36)
Total notional value of new listed IWs (HK$bil)	8.54		0.89		(89.57)
Total turnover value (HK$mil) (% of Main Board total)	1,073.76	(0.00%)	1,936.64	(0.00%)	80.36
Callable bull/bear contracts (CBBCs)					
No. of listed CBBCs	4,739		4,817		1.65
No. of new listed CBBCs	38,039		42,807		12.53
Total notional value of new listed CBBCs (HK$bil)	1,274.15		1,495.36		17.36
Total turnover value (HK$mil) (% of Main Board total)	2,335,291.78	(7.29%)	2,191,798.09	(5.33%)	(6.14)
RMB-traded securities products					
No. of RMB-traded securities	119		125		5.04
Equities	1		1		0
Debt securities	75		83		10.67
DWs	0		0		–
ETFs	42		40		(4.76)
REITs	1		1		0.00
No. of multiple-counter securities	42		40		(4.76)
Equities	1		1		0.00
ETFs	41		39		(4.88)
Total turnover value (RMB mil)	15,502.74		12,544.29		(19.08)
Equities (% of total)	25.37	(0.16%)	14.76	(0.12%)	(41.80)
DWs (% of total)	0.00	(0.00%)	0.00	(0.00%)	–
ETFs (% of total)	13,009.69	(83.92%)	11,541.99	(92.01%)	(11.28)
REITs (% of total)	2,191.10	(14.13%)	539.11	(4.30%)	(75.40)
Debt securities (% of total)	276.58	(1.78%)	448.42	(3.57%)	62.13
Trading-only market					
No. of ETFs	0		0		–
No. of NASDAQ stocks	6		6		0.00
Total turnover value (HK$mil)	0.46		1.31		184.20
Shanghai-Hong Kong Stock Connect					
Southbound trading					
Number of eligible stocks	325		376		
Total trading value (HK$mil)	2,954,642.48		4,497,722.31		52.23
Average daily	13,073.64		20,079.12		53.58
Northbound trading					
Number of eligible stocks	963		1,031		
Both buy and sell	574		591		
Sell only	389		440		
Margin trading	470		480		
Total trading value (RMB mil)	9,035,858.23		12,686,035.66		40.40
Average daily	39,116.27		55,156.68		41.01

Market Performance in 2021

	2020	2021	Change (%)
Shenzhen-Hong Kong Stock Connect			
Southbound trading			
Number of eligible stocks	498	528	
Total trading value (HK$mil)	2,553,440.22	4,845,224.44	89.75
Average daily	11,298.41	21,630.47	91.45
Northbound trading			
Number of eligible stocks	1,347	1,421	
Both buy and sell	886	894	
Sell only	461	527	
Margin trading	623	621	
Total trading value (RMB mil)	12,052,736.55	14,943,555.59	23.98
Average daily	52,176.35	64,971.98	24.52
Derivatives market			
No. of stock futures classes (as at the year end)	78	92	17.95
No. of stock options classes (as at the year end)	107	118	10.28
Total contract volume	282,225,200	288,139,516	2.10
Average daily	1,137,864	1,174,889	3.25
All futures products	115,145,978	106,046,765	(7.90)
All options products	167,079,222	182,092,751	8.99
Top three contract volume contributor in futures market			
HSI Futures			
Contract volume (% of futures total)	41,635,063 (36.16%)	34,368,377 (32.41%)	(17.45)
Open Interest	129,721	119,777	(7.67)
Hang Seng China Enterprises Index Futures			
Contract volume (% of futures total)	36,256,445 (31.49%)	31,656,879 (29.85%)	(12.69)
Open Interest	290,518	355,489	22.36
Mini-HSI Futures			
Contract volume (% of futures total)	27,902,077 (24.23%)	24,653,591 (23.25%)	(11.64)
Open Interest	17,370	16,345	(5.90)
Key index options products			
Hang Seng China Enterprises Index Options			
Contract volume (% of options total)	20,725,642 (12.40%)	11,214,722 (6.16%)	(45.89)
Open Interest	1,502,474	987,467	(34.28)
HSI Options			
Contract volume (% of options total)	9,811,797 (5.87%)	6,561,076 (3.60%)	(33.13)
Open Interest	162,843	82,054	(49.61)
Mini-HSI Options			
Contract volume (% of options total)	3,345,582 (2.00%)	2,769,588 (1.52%)	(17.22)
Open Interest	8,638	11,513	33.28
Commodity market			
LME futures and options contract volume (mil lots)	155.0	145.0	(6.45)
In value (US$tril)	11.6	15.6	34.48
Tonnes of material (bil)	3.5	3.2	(8.57)
Average daily	609,902	573,271	(6.01)

Notes:

(1) Figures includes the number of companies for transfer of listing from GEM.

(2) Turnover values have been adjusted for late reported and rejected sales.

Major Events 2021

Major Events of the Hong Kong Securities and Derivatives Markets 2021

Month	Event
January	In January, Familiarisation Programmes of the VaR Platform (previously known as the Orion Risk Platform) had been made available to Clearing Participants (CPs) by phases since January 2021. Daily margin and default fund contribution requirement calculated based on the new risk models and an online margin simulator were made available to CPs to enhance their understanding on the changes brought by the new platform. Platform targeted to launch in 2022. On 18 January, HKEX launched Hang Seng TECH Index Options. On 22 January, HKEX announced the inclusion of eligible A-shares listed on the Shanghai Stock Exchange's (SSE) Sci-Tech Innovation Board (STAR Market) into Stock Connect, effective from 1 February 2021. STAR Market-listed shares that were constituent stocks of the SSE 180 Index and SSE 380 Index, or had corresponding H-shares listed in Hong Kong for A+H companies, would be eligible for Northbound trading under Shanghai-Hong Kong Stock Connect following the existing inclusion arrangements. Their corresponding H-shares would be included in Southbound trading of Stock Connect when the Northbound arrangements took effect. On 27 January, the Government of the Hong Kong Special Administrative Region (HKSAR Government) announced the successful offering of US$2.5 billion of green bonds under the Government Green Bond Programme. The offering comprised three tranches of US$1 billion 5-year, US$1 billion 10-year and US$500 million 30-year green bonds. The 30-year tranche was also the first 30-year green bond to be issued by an Asian government, and the longest tenor to be issued by the HKSAR Government.
February	On 5 February, HKEX announced that it was investing in a minority stake in the newly-established Guangzhou Futures Exchange (GFE), the first-ever equity investment in a domestic futures exchange by a non-Mainland Chinese investor. This investment underscored HKEX's commitment to supporting the further internationalisation of China's and the region's financial markets. On 5 February, the HKSAR Government appointed Nisa Leung and reappointed Rafael Gil-Tienda and Stephen Yiu to HKEX's Board of Directors. On 8 February, the SFC launched a consultation on conduct requirements for capital market transactions in Hong Kong. The proposed requirements would help clarify the roles played by intermediaries in equity and debt capital raisings and set out the standards of conduct expected of them in bookbuilding, pricing, allocation and placing activities. On 9 February, HKEX announced the appointment of Nicolas Aguzin as Chief Executive of HKEX, effective 24 May 2021 for a term of three years until 23 May 2024, subject to the approval of the SFC. Mr Aguzin would also become an ex-officio member of the HKEX Board of Directors effective 24 May 2021.

Major Events 2021

Month	Event
	On 24 February, in the Financial Secretary's 2021-22 Budget delivered, the initiatives raised in respect of financial services and fintech included the following: (1) Issuing no less than $24 billion of Silver Bond and no less than $15 billion of iBond in 2021, and lowering the eligible age for Silver Bond subscription from 65 to 60; (2) Issuing green bonds totalling $175.5 billion within the next 5 years, and planning to issue retail green bonds; (3) Rolling out Green and Sustainable Finance Grant Scheme to subsidise expenses on bond issuance and external review services; (4) Striving for the launch of Southbound trading of Bond Connect within the year, and enhancing the domestic Central Moneymarkets Unit; (5) Providing subsidy for Real Estate Investment Trusts to list in Hong Kong; (6) Launching a Pilot Insurance-linked Securities Grant Scheme to subsidise issuance costs.
March	On 1 March, Hang Seng Indexes announced the consultation conclusions on proposed enhancements to Hang Seng Index (HSI). The changes were: (1) Increasing the number of constituents to 100 ultimately, with an interim target of 80 by mid-2022; (2) Selecting the HSI constituents from seven industry groups, with the target of achieving a market capitalisation coverage of not less than 50 per cent for each industry group, and the combination will be reviewed at least every two years; (3) Shortening the listing history requirement to three months to provide flexibility for the timely addition of new listings; (4) Maintaining 20 to 25 constituents that were classified as Hong Kong Companies in the HSI, with the number of consitituents to be evaluated at least every two years; and (5) Adopting a weighting cap of 8 per cent on all HSI constituents, the same cap would be applied to the Hang Seng China Enterprises Index (HSCEI). The changes would be implemented starting from the May 2021 Index Review and effective in the June 2021 Index Rebalancing.
	On 12 March, HKEX announced that it would implement enhancements to the Volatility Control Mechanism (VCM) and Pre-Opening Session (POS) in its derivatives market on 12 April 2021. The enhancement would allow multiple triggers in each day-trading session, to better safeguard market integrity. Separately, a random cutoff mechanism would be introduced to the pre-opening session and the pre-open allocation session to the applicable products (including HSI, MHI, HHI and MCH futures contracts, MSCI Taiwan Index related futures contracts and Hang Seng TECH Index futures contracts) in the derivatives market for both morning and afternoon sessions. The enhancement aimed to deter the possible gaming strategy of manipulating the Calculated Opening Price near the end of the auction process and also to encourage order input at an earlier stage. To facilitate the random cutoff, the pre-opening session would be shortened by one minute while the pre-open allocation session would be extended by one minute.
	On 15 March, HKEX launched the two MSCI options contracts, MSCI China Free (USD) Index Options and MSCI Taiwan (USD) Index Options.
	On 29 March, HKEX implemented the second phase enhancement of the Volatility Control Mechanism (VCM) in its securities market. The VCM was designed to prevent extreme price volatility amongst individual stocks. The first phase enhancements allowed only one trigger per applicable security in each trading session. The second phase enhancement would allow multiple triggers of the VCM per applicable security in the same trading session.

Major Events 2021

Month	Event
	On 31 March, the SEHK published a Consultation Paper on Listing Regime for Overseas Issuers to seek public feedback on proposals to enhance and streamline the listing regime for overseas issuers.
April	On 16 April, the SEHK published a Consultation Paper on Review of Corporate Governance Code and related Listing Rules. The proposals included new measures aimed at further enhancing corporate governance standards among listed issuers in Hong Kong, specifically in the areas of corporate culture, director independence, diversity, and in Environmental, Social and Governance disclosures and standards.
	On 26 April, HKEX launched a cash-settled Mini USD/CNH (US dollar/Offshore Renminbi (RMB)) Futures contract with a contract size of US$20,000, a smaller size to complement the popular USD/CNH derivatives products.
May	On 20 May, the SEHK published Consultation Conclusions on (1) the Main Board Profit Requirement, and (2) Review of Listing Rules relating to Disciplinary Powers and Sanctions, to further strengthen and enhance the quality and integrity of Hong Kong's capital markets.
	The Rule amendments to increase 60 per cent of the Profit Requirement took effect on 1 January 2022 and proposals relating to disciplinary powers and sanctions took effect on 3 July 2021.
	The Rule amendments were implemented, combined with the approach being taken by the SEHK and the SFC as set out in the Joint statement on IPO-related misconduct, to address issues of regulatory concern and provide further protection to the investors, as part of SEHK's efforts to adopt a holistic approach to further raising the quality of the Hong Kong market.
	On 24 May, Nicolas Aguzin joined HKEX as Chief Executive Officer and an ex-officio member of the Board of HKEX, for a term of three years until 23 May 2024.
	On 25 May, HKEX hosted the LME Asia Metals Seminar 2021 which brought together global industry leaders to share their insights into the challenges and opportunities emerging from the COVID-19 pandemic, sustainability initiatives, as well as the latest industry trends in the international commodities market.
	On 31 May, HKEX introduced two new fee waivers for Hong Kong-listed fixed income ETFs and money market ETFs. The two new fee waivers aimed to help investors reduce costs for fixed income ETFs and money market ETF transactions.
June	On 1 June, the first Exchange Traded Funds (ETFs) under the new Hong Kong-Mainland ETF Cross-Listing Scheme were listed in Hong Kong and Shanghai — CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF and Huatai-PineBridge CSOP Hang Seng TECH Index ETF on HKEX and the Shanghai Stock Exchange (SSE) respectively.
	On 1 June, HKEX Charity Partnership Programme 2021 was opened for application. The programme was a HKEX Foundation's flagship charitable programme first launched in 2020.

Major Events 2021

Month	Event
	On 2 June, with the Revenue (Stamp Duty) Bill 2021 passed by the Hong Kong Legislative Council, the rate of stamp duty payable on contract notes for the sale or purchase of Hong Kong stocks (not being jobbing business) was increased from 0.1 per cent to 0.13 per cent of transaction value, effective 1 August 2021.
	On 28 June, HKEX announced that the first group of participants joining its pilot programme for HKEX Synapse, a new Stock Connect settlement acceleration platform. Participants in the pilot programme included asset managers, brokers, custodians and clearing participants. They would take part in workshops and testing of Synapse. The programme would help to further enhance HKEX Synapse's design and features, ahead of its launch, targeted for 2022.
	On 28 June, the Financial Reporting Council (FRC) announced that the levies payable by (i) sellers and buyers of securities recorded on or notified to the SEHK (FRC Transaction Levy) and (ii) pubic interest entities (PIEs), which has been exempted for the period from 1 October 2019 to 31 December 2021, would become payable starting from 1 January 2022. The FRC Transaction Levy is calculated at 0.00015 per cent per side of the consideration of a transaction. It was not applicable on securities market making transactions, sales and purchases of China Connect Securities through the use of the China Connect Service by a China Connect Exchange Participant, or stock option transactions. The levy payable by a PIE for a calendar year is at a rate of 4.2 per cent of the prepaid annual listing fee. HKEX would collect the levies on behalf of the FRC.
July	On 5 July, HKEX announced the launch of the HKEX Impact Funding Scheme, a new HKEX Foundation charitable programme. HKEX Foundation allocated $10 million to the Impact Funding Scheme in 2021 to support short-to-medium term local community projects run by local non-government organisations, with a focus on four themes — Financial Literacy, Diversity and Inclusion, Poverty Relief, and Environmental Sustainability. The HKEX Impact Funding Scheme would be managed by the Hong Kong Council of Social Service.
	On 6 July, HKEX announced that it would introduce a new platform, FINI (Fast Interface for New Issuance), to comprehensively streamline and digitalise Hong Kong's IPO settlement process. On the same day, HKEX published consultation conclusions to the November 2020 FINI Concept Paper, with 90 per cent of total responses expressing support for the introduction of FINI. HKEX would proceed with the development of FINI for handling all Hong Kong IPOs, initially adopting a T+2 settlement cycle, as proposed in the Concept Paper to shorten the T+5 settlement cycle for IPOs. FINI would also address the issue of excessive liquidity lock-up in heavily oversubscribed IPOs, by allowing clearing participants to opt in for a compressed prefunding requirement in certain circumstances, which could release significant sums of liquid funds that would otherwise be locked up during the IPO subscription period. T+2 IPO settlement cycle was expected to be launched in the fourth quarter of 2022, at the earliest.

Major Events 2021

Month	Event
	On 8 July, HKEX announced the establishment of a Mainland China Advisory Group and a Mainland Markets Panel to support HKEX's China strategy. The Advisory Group would comprise senior industry experts with deep China market knowledge and experience, who would act as advisors to the Board of HKEX on the development of China's financial markets and economy. The Panel would comprise industry and market practitioners, who would share knowledge and provide feedback and advice to HKEX on the Group's China business and initiatives through the Panel's regular meetings.
	On 8 July, the SEHK published a revised Enforcement Policy Statement and a revised Enforcement Sanctions Statement to reflect both recent developments and the SEHK's view of current enforcement priorities.
	On 15 July, the Green and Sustainable Finance Cross-Agency Steering Group (the Steering Group) announced the next steps to advance its collaborative strategy to bolster Hong Kong's position as a leader in green and sustainable finance. The focuses were on: (1) climate-related disclosures and sustainability reporting; (2) carbon market opportunities; and (3) the new Centre for Green and Sustainable Finance, which was launched on the same day.
	A Carbon Market Work Stream co-chaired by the SFC and HKEX was set up to assess the feasibility of developing Hong Kong as a regional carbon trading centre to strengthen collaboration in the Guangdong-Hong Kong-Macao Greater Bay Area. The SFC and HKEX would also collaborate with the Financial Reporting Council and the Hong Kong Institute of Certified Public Accountants to work on a roadmap to evaluate and potentially adopt the new standard which would be built on the Task Force on Climate-related Financial Disclosures (TCFD) framework. The Centre for Green and Sustainable Finance was a cross-sector platform coordinating the efforts of financial regulators, Government agencies, industry stakeholders and academia in capacity building, thought leadership and policy development. The Steering Group would continue to take forward the other action points announced in its December 2020 strategic plan, including participating in the work of the International Platform on Sustainable Finance on taxonomy and promoting climate-focused scenario analysis.
	On 19 July, the London Metal Exchange (LME) announced the launch of six new cash-settled futures, including lithium hydroxide, steel scrap and aluminium scrap contracts, to offer pricing and risk management solutions for metals that support the electric vehicle (EV) transition and circular economy. The new cash-settled futures traded monthly out to 15 months and priced and cleared in USD. The LME also launched on the same day a new European hot-rolled coil contract — LME Steel HRC NW Europe (Argus) — in response to strong support from the flat steel market.

Major Events 2021

Month	Event
August	On 10 August, the SFC issued consultation conclusions on proposals to introduce investor identification for the securities market in Hong Kong and required reporting of over-the-counter (OTC) securities transactions. Under the investor identification regime, licensed corporations and registered institutions would submit to the SEHK the names and identity document information of clients placing securities orders on the Exchange. On 13 August, HKEX also published an information paper and relevant technical specifications. Information on OTC securities transactions in ordinary shares and real estate investment trusts listed on the SEHK would be reported to the SFC under a separate regime. The investor identification regime was expected to be launched in the second half of 2022 and the OTC securities transactions reporting regime in the first half of 2023, subject to the completion of system testing and market rehearsals. On 23 August, HKEX introduced physically settled Options on Futures Contracts (OOF) on Hang Seng Index Futures and Hang Seng China Enterprises Index Futures. The new OOF offered investors a suite of new trading and risk management tools. Investors holding OOF will have the opportunity to take a futures position on HKEX's markets prior to the settlement of the underlying futures contract. On 24 August, Steering Committee on Bond Market Development in Hong Kong convened its first meeting under the chairmanship of the HKSAR Financial Secretary. It reviewed the overall landscape of the bond market in Hong Kong and discussed issues relating to the development of market infrastructure and the regulatory regime for debt securities. The Steering Committee was set up pursuant to the Financial Secretary's announcement in the 2021-22 Budget. It was tasked to formulate a roadmap for promoting the diversified development of Hong Kong's bond market and reinforcing its functions.
September	On 2 September, HKEX hosted its fourth HKEX Biotech Summit in Hong Kong, attracting over 130,000 participants and viewers online across the globe. The Summit brought together scientists, issuers, investors and research analysts to discuss the changing global biotech landscape, opportunities and challenges emerging from the Covid-19 pandemic and HKEX's role as the world's second largest biotech IPO venue. On 15 September, the HKMA and the People's Bank of China jointly announced the launch of Southbound Trading under Bond Connect on 24 September 2021 — the mutual bond market access between Hong Kong and Mainland China, together with the operational arrangements. On 17 September, the SEHK published a Consultation Paper on Special Purpose Acquisition Companies (SPACs) to seek market feedback on proposals to create a listing regime for SPACs in Hong Kong.. On 17 September, the HKMA announced 13 financial institutions were designated as market makers for Southbound Trading under Bond Connect. On 24 September, Southbound Trading under Bond Connect was officially launched. The total trading value on the first day was about RMB4 billion, with over 150 transactions.

Major Events 2021

Month	Event
	On 24 September, the Shenzhen Municipal People's Government announced that it would issue offshore Renminbi (RMB) municipal government bonds not exceeding RMB5 billion in Hong Kong in October 2021, which would be listed on the SEHK. The bonds would be issued in two-year tenor, three-year tenor and five-year tenor, in which the three-year and five-year bonds are green bonds. This was the first time a Mainland municipal government issues bonds outside the Mainland.
	On 25-27 September, HKEX and China Pharmaceutical Innovation and Research Development Association (PhIRDA) co-hosted the 6th China BioMed Innovation & Investment Conference (CBIIC) in Suzhou. More than 2,100 biotech industry experts, investors and entrepreneurs joined the event to discuss the latest pharmaceutical research trends and capital market developments.
	On 27 September, HKEX launched Orion Central Gateway – Securities Market (OCG-C), a new market access platform for the Hong Kong securities market. The new central gateway fully integrated with HKEX's securities trading system, the Orion Trading Platform – Securities Market (OTP-C), offering improved performance and reduced latency, compared with the vendor-supplied platform that it replaces.
	On 27 September, HKSCC launched a new CA ISO Message Service (CAMS) to facilitate participants in receiving information on issuers' corporate actions in the form of international standard (ISO) messages and in a timely manner.
October	On 1 October, HKEX introduced a new mobile market data subscription service, allowing retail investors to access live streaming local securities market data exclusively from their mobile devices. The monthly mobile-only rate of the market data subscription service was $38 per user. Investors could subscribe to the service through their brokers or information vendors.
	On 6 October, in the HKSAR Chief Executive's 2021 Policy Address, the initiatives put forward in respect of the financial market included: improving the listing regime, expanding offshore RMB business and growing a green and sustainable financial sector.
	On 18 October, HKEX launched its first A-share derivatives product — the futures contract based on the MSCI China A 50 Connect Index, tracking the performance of 50 key Shanghai and Shenzhen stocks available via Stock Connect. The new contract would provide international investors with a new and effective risk management tool to manage their portfolios of Stock Connect eligible A-shares, reflecting the increasing global reliance on Stock Connect to access Mainland China's burgeoning equity markets.
	On 29 October, the SEHK published a Consultation Paper on Proposed Amendments to Listing Rules relating to Share Schemes of Listed Issuers, To seek market views on the proposals to extend Chapter 17 of the Listing Rules to govern share award schemes, in view of the increasing adoption of these schemes in the market.

Major Events 2021

Month	Event
	On 29 October, SFC issued consultation conclusions on conduct requirements for capital market transactions in Hong Kong, clarifying the roles of intermediaries and set out the standards expected of them in bookbuilding, pricing, allocation and placing activities. The related amendments to the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission and Guideline to sponsors, underwriters and placing agents involved in the listing and placing of GEM stocks were gazetted on 5 November 2021 and become effective on 5 August 2022.
November	On 1 November, HKEX implemented HKATS Risk Functions Enhancement – Pre-Trade Risk Management (PTRM) System Enhancement in derivatives market. It aimed to provide additional risk management tools on managing intra-day exposures, for example, Execution Throttle Limits and Intraday Position Limits.
	On 3 November, HKEX announced joining the Glasgow Financial Alliance for Net Zero (GFANZ) and the Net Zero Financial Service Providers Alliance (NZFSPA) to support low-carbon transition as part of HKEX ongoing commitment to the long-term sustainable development of global financial markets.
	On 5 November, the SEHK published Guidance on climate disclosures and Analysis of IPO applicants' corporate governance and ESG practice disclosure in 2020/2021, as part of SEHK's continuous commitment in assisting issuers and IPO applicants in their sustainability journeys, and helping them implement changes that make a real impact.
	On 9 November, HKEX published a consultation paper on the proposed operational model for non-Hong Kong Dollar (HKD) denominated futures and options trading and clearing services on Hong Kong public holidays (Derivatives Holiday Trading). The launch of Derivatives Holiday Trading would reinforce Hong Kong's competitiveness as an international risk management centre, enabling investors to actively manage their derivatives portfolios during Hong Kong holidays, when the markets of their underlying securities might be open. The deadline for responding to the consultation paper was 6 December 2021.
	On 18 November, the HKSAR Government announced the successful offering of US$3 billion worth of green bonds denominated in US dollars and euro, under the Government Green Bond Programme. The offering comprises three tranches of US$1 billion 10-year, EUR1.25 billion 5-year, and EUR500 million 20-year. The 20-year tranche was the longest euro-denominated green bond issued by an Asian government to date, as well as the HKSAR Government's inaugural offering of euro-denominated bonds, setting an important new benchmark for potential issuers in Hong Kong and the region.
	On 19 November, the SEHK published Consultation Conclusions on Listing Regime for Overseas Issuers. The SEHK adopted all the proposals outlined in the consultation paper with minor modifications to reflect comments received. The reforms would enhance the SEHK's reputation as the global listing venue of choice and will further broaden investment opportunities for investors in Hong Kong. The amended Listing Rules and new guidance materials for secondary listed issuers took effect on 1 January 2022.

Major Events 2021

Month	Event
	On 22 November, HKEX launched the two MSCI futures contracts, MSCI China (USD) Index Futures and MSCI China Net Total Return (USD) Index Futures.
	On 24 November, the HKSAR Government announced the successful offering of RMB5 billion offshore Renminbi green bonds under the Government Green Bond Programme. The offering comprised two tranches of RMB2.5 billion 3-year and RMB2.5 billion 5-year. This was the Government's inaugural offering of Renminbi-denominated bonds.
December	On 2 December, HKEX published announced two new initiatives to support businesses and investors in their sustainability journey — publication of the Practical Net-Zero Guide for Business (Net-Zero Guide) and a new ESG data display service on STAGE. The Net-Zero Guide introduced the essential steps for businesses to develop a pathway to net zero, aiming to help listed companies to understand their greenhouse gas (GHG) emissions and guide their way to net-zero. The new ESG data display service referred to a new equities section on STAGE to display ESG metrics of Hong Kong-listed companies, published by leading ESG data providers. This would enable investors to compare companies across sectors and sources when making their investment decisions.
	On 9 December, HKEX hosted HKEX Green Asia Summit, attracting over 40 leading speakers and close to 900 participants from across the globe and in Asia, to share their insights on the role of capital markets in supporting the transition to a low-carbon and sustainable economy, and discuss how to collectively leverage opportunities presented in the fast-changing technology and regulatory landscape that is driving the climate agenda forward.
	On 10 December, the SEHK published Consultation Conclusions on Review of the Corporate Governance Code (CG Code) and related Listing Rules. The SEHK adopted the consultation proposals with certain modifications, to enhance corporate governance standards and practices amongst Hong Kong listed issuers. The revised CG Code and Listing Rules took effect on 1 January 2022.
	The SEHK also published a new Corporate Governance Guide for Boards and Directors to assist issuers' compliance with the new requirements.
	On 13 December, two new ETFs on the MSCI China A 50 Connect Index were listed — ChinaAMC MSCI China A 50 Connect ETF and CSOP MSCI China A 50 Connect ETF. One more ETF on the same index — E Fund (HK) MSCI China A50 Connect ETF — was listed on the following day. The first ETF was available in HKD, USD and RMB counters, the second in HKD counter and the third in HKD and RMB counters.
	On 16 December, the HKMA and the SFC jointly issued a consultation on adding eight new calculation periods under the Clearing Rules for the over-the-counter (OTC) derivative regulatory regime. The deadline of responding to the consultation was 21 January 2022.

Major Events 2021

Month	Event
	On 17 December, the SEHK published Consultation Conclusions on Special Purpose Acquisition Companies. With substantial market support for new SPAC regime proposals received, the new Rules were implemented broadly as proposed, with amendments to accommodate some commercial factors whilst ensuring the quality of listings, which took effect on 1 January 2022.
	On 24 December, HKEX announced reaching an agreement with Shanghai Stock Exchange (SSE), Shenzhen Stock Exchange (SZSE) and China Securities Depository and Clearing Corporation (CSDC) on the Stock Connect inclusion arrangements for eligible ETFs. The agreement reflected the ongoing commitment by HKEX and its Mainland partners to continue expanding and enhancing the landmark mutual market access programme between the capital markets of Mainland China and Hong Kong. The preparation work would take approximately six months to complete.

Market Highlights – Main Board

Stock market highlights – Main Board

	Year		
	2019	2020	2021
Listed securities (as at year end)			
No. of listed companies	2,071	2,170	2,219
No. of listed securities	12,416	16,672	18,528
No. of new listed companies [1]	168	146	97
New listing companies	148	138	95
Transfer of listing from GEM	20	8	2
Total market capitalisation (HK$mil)	38,058,338.10	47,392,196.36	42,272,766.38
Equity funds raised (HK$mil)	449,970.18	743,399.85	769,960.29
Trading			
No. of trading days	246	248	247
Total turnover value (HK$mil) [2]			
Annual	21,390,188.29	32,023,781.80	41,086,361.69
Average daily	86,951.98	129,128.15	166,341.55
Total turnover volume (mil. shs)			
Annual	60,007,451.66	54,517,842.65	62,057,378.75
Average daily	243,932.73	219,830.01	251,244.45
Total number of trades (deals)			
Annual	354,988,721	484,012,309	581,129,671
Average daily	1,443,044	1,951,663	2,352,752
Stock price indices			
S&P/HKEX LargeCap Index (28 February 2003 = 10000)			
High	38698.57	42919.28	49915.04
Low	32635.85	29222.61	33073.41
Year-end	37103.19	41899.21	34253.03
Hang Seng Index (31 July 1964 = 100)			
High	30157.49	29056.42	31084.94
Low	25064.36	21696.13	22744.86
Year-end	28189.75	27231.13	23397.67
Market ratios [3]			
Average dividend yield (%)	2.73	1.98	2.70
Average P/E ratio (times)	13.28	17.55	15.06
Annual turnover velocity (%)	40.85	54.06	80.45
Number of Exchange participants	704	700	702

Notes:
(1) Figures includes the number of companies for transfer of listing from GEM.
(2) Turnover values have been adjusted for late reported and rejected sales.
(3) Calculations are based on year-end figures of all listed stocks.

Market Highlights – GEM

Stock market highlights – GEM

	Year		
	2019	2020	2021
Listed securities (as at year end)			
No. of listed companies	378	368	353
No. of listed securities	379	369	354
No. of new listed companies	15	8	1
Total market capitalisation (HK$mil)	106,699.32	130,822.84	108,380.95
Equity funds raised (HK$mil)	4,277.10	3,555.73	3,312.51
Trading			
No. of trading days	246	248	247
Total turnover value (HK$mil) [1]			
Annual	49,860.88	86,366.10	95,891.52
Average daily	202.69	348.25	388.22
Total turnover volume (mil. shs)			
Annual	190,020.19	197,684.82	201,576.29
Average daily	772.44	797.12	816.10
Total number of trades (deals)			
Annual	2,418,371	2,626,527	2,964,908
Average daily	9,831	10,591	12,004
Stock price indices			
S&P/HKEX GEM Index (28 February 2003 = 1000)			
High	141.52	133.80	216.32
Low	76.56	74.09	59.09
Year-end	79.44	133.80	60.99
Market ratios [2]			
Average dividend yield (%)	1.79	0.51	1.65
Average P/E ratio (times)	22.04	29.59	19.47
Annual turnover velocity (%)	46.73	66.02	88.48

Notes:

(1) Turnover values have been adjusted for late reported and rejected sales.

(2) Calculations are based on year-end figures of GEM stocks.

Market Highlights – Main Board & GEM

Stock market highlights – Main Board and GEM

	Year									
	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Listed securities (as at year end)										
No. of listed companies	1,547	1,643	1,752	1,866	1,973	2,118	2,315	2,449	2,538	2,572
No. of listed securities	6,903	8,522	9,060	9,015	8,591	12,803	13,290	12,795	17,041	18,882
No. of new listed companies (1)	64	110	122	138	126	174	218	183	154	98
New listing Companies	62	102	115	124	120	161	208	163	146	96
Transfer of listing from GEM	2	8	7	14	6	13	10	20	8	2
Total market capitalisation (HK$bil)	21,950.13	24,042.81	25,071.83	24,683.73	24,761.31	33,998.84	29,909.42	38,165.04	47,523.02	42,381.15
Equity funds raised (HK$bil)	305.36	378.86	942.72	1,115.64	490.05	581.39	544.13	454.25	746.96	773.27
Initial public offers	90.04	168.96	232.52	263.09	195.32	128.54	288.01	314.24	400.14	331.34
Secondary market	215.32	209.90	710.20	852.55	294.74	452.85	256.13	140.01	346.82	441.94
Trading										
No. of trading days	247	244	247	247	245	246	246	246	248	247
Total turnover value (HK$bil) (2)										
Annual	13,301.05	15,264.63	17,155.73	26,090.62	16,396.42	21,709.16	26,422.76	21,440.05	32,110.15	41,182.25
Average daily	53.85	62.56	69.46	105.63	66.92	88.25	107.41	87.15	129.48	166.73
Total turnover volume (bil. shs)										
Annual	33,967.55	34,439.74	34,286.67	53,693.91	45,611.90	44,282.87	55,646.80	60,197.47	54,715.53	62,258.96
Average daily	137.52	141.15	138.81	217.38	186.17	180.01	226.21	244.71	220.63	252.06
Total number of trades (deals)										
Annual	191,608,513	229,470,737	262,437,450	355,749,145	264,849,916	305,081,540	392,810,852	357,407,092	486,638,836	584,094,579
Average daily	775,743	940,454	1,062,500	1,440,280	1,081,020	1,240,169	1,596,792	1,452,874	1,962,253	2,364,755
Trading by products (HK$bil)										
Equities	9,529.07	11,221.86	12,636.75	17,482.24	11,173.11	16,202.48	19,460.96	15,597.90	25,708.69	34,103.67
Equity warrants	0.08	0.08	3.06	3.98	0.35	0.20	0.02	0.01	0.02	0.00
Derivative warrants	1,646.44	1,783.31	2,044.51	4,504.08	2,727.00	3,007.66	3,866.14	2,418.14	2,260.92	2,763.05
Inline warrants	–	–	–	–	–	–	–	0.16	1.07	1.94
CBBCs	1,533.15	1,269.23	1,229.96	1,836.85	1,371.57	1,189.17	1,837.31	1,995.45	2,335.29	2,191.80
ELIs	–	–	–	–	–	–	–	–	–	–
Debt securities	2.77	4.46	6.09	9.38	21.28	60.50	48.39	60.69	65.54	104.20
Unit trusts and mutual funds	589.54	985.69	1,235.36	2,254.09	1,103.11	1,249.16	1,209.93	1,367.71	1,738.62	2,017.60
ETFs	*522.08*	*903.13*	*1,167.68*	*2,170.96*	*1,011.41*	*1,065.38*	*987.25*	*1,076.53*	*1,215.21*	*1,629.57*
L&I Products	–	–	–	–	*0.51*	*94.09*	*109.85*	*141.42*	*373.47*	*276.67*
REITs	*67.15*	*82.36*	*67.42*	*82.03*	*91.09*	*89.41*	*112.58*	*149.65*	*149.70*	*111.04*
Others	*0.31*	*0.20*	*0.27*	*1.10*	*0.11*	*0.28*	*0.25*	*0.10*	*0.24*	*0.33*
Market ratios (3)										
Average dividend yield (%)	2.80	2.98	3.42	3.42	3.21	2.77	3.32	2.73	1.97	2.70
Average P/E ratio (times)	10.52	11.28	10.94	9.99	10.64	16.42	10.50	13.30	17.57	15.07
Annual turnover velocity (%)	43.41	46.67	50.40	70.82	45.12	47.66	65.07	40.87	54.10	80.47

Notes:

(1) Figures includes the number of companies for transfer of listing from GEM.

(2) Turnover values have been adjusted for late reported and rejected sales.

(3) Calculations are based on year-end figures of all listed stocks.

Market Highlights – Derivatives Market Highlights

Market highlights – Derivatives market highlights

	Year		
	2019	2020	2021
All futures and options products (excluding stock options)			
No. of trading days	246	249	248
Contract volume	**154,071,904**	**151,203,540**	**130,102,517**
Equity index products	150,490,751	147,757,174	124,606,196
Equity products	917,358	1,141,729	2,382,772
Interest rates products	270	314	–
RMB Currency products	1,993,701	1,781,008	2,355,954
INR Currency Futures +	11,136	169,336	260,486
Gold Futures	573,173	68,682	74,272
Silver Futures *	–	1,282	1,110
Iron Ore Futures	55,944	97,048	4,182
London Metal Mini Futures	29,571	186,967	417,545
Average daily#	629,990	611,673	537,643
Open interest at the year end	**2,996,013**	**2,318,939**	**1,939,985**
Equity index products	2,939,047	2,263,490	1,885,144
Equity products	27,277	22,965	29,311
Interest rates products	60	15	–
RMB Currency products	26,279	30,858	23,964
INR Currency Futures +	175	237	142
Gold Futures	1,137	68	160
Silver Futures *	–	–	–
Iron Ore Futures	1,685	1,174	25
London Metal Mini Futures	353	132	1,239

Total average daily volume is the sum of the respective average volume of the individual products
+ Trading in INR Currency Futures commenced on 4 Nov 2019
* Trading in Silver Futures commenced on 8 Jun 2020

Stock options			
No. of stock options classes at the year end	96	107	118
No. of stock options series at the year end	34,652	43,798	49,624
No. of newly introduced stock options classes	2	11	13
No. of trading days	246	249	248
Contract volume			
Annual total	108,813,894	131,021,660	158,036,999
Average daily	442,333	526,191	637,246
Premium (HK$mil)			
Annual total	80,588	165,632	279,176
Average daily	328	665	1,126
Number of trades			
Annual total	4,588,509	6,687,772	9,181,748
Average daily	18,652	26,859	37,023
Open interest at the year end	6,702,538	8,942,852	7,977,558
Contracts exercised	10,661,047	12,179,607	13,380,227
Average premium per contract (HK$)	741	1,264	1,767
Average contract per trade (contracts)	24	20	17
No. of market makers	28	29	31
Put volume/call volume	0.98	0.89	0.83
Average daily contract volume/open interest	6.6%	5.9%	8.0%
All futures and options products			
Contract volume	262,885,798	282,225,200	288,139,516
Open interest at the year end	9,698,551	11,261,791	9,917,543

Market Highlights – Clearing and Settlement

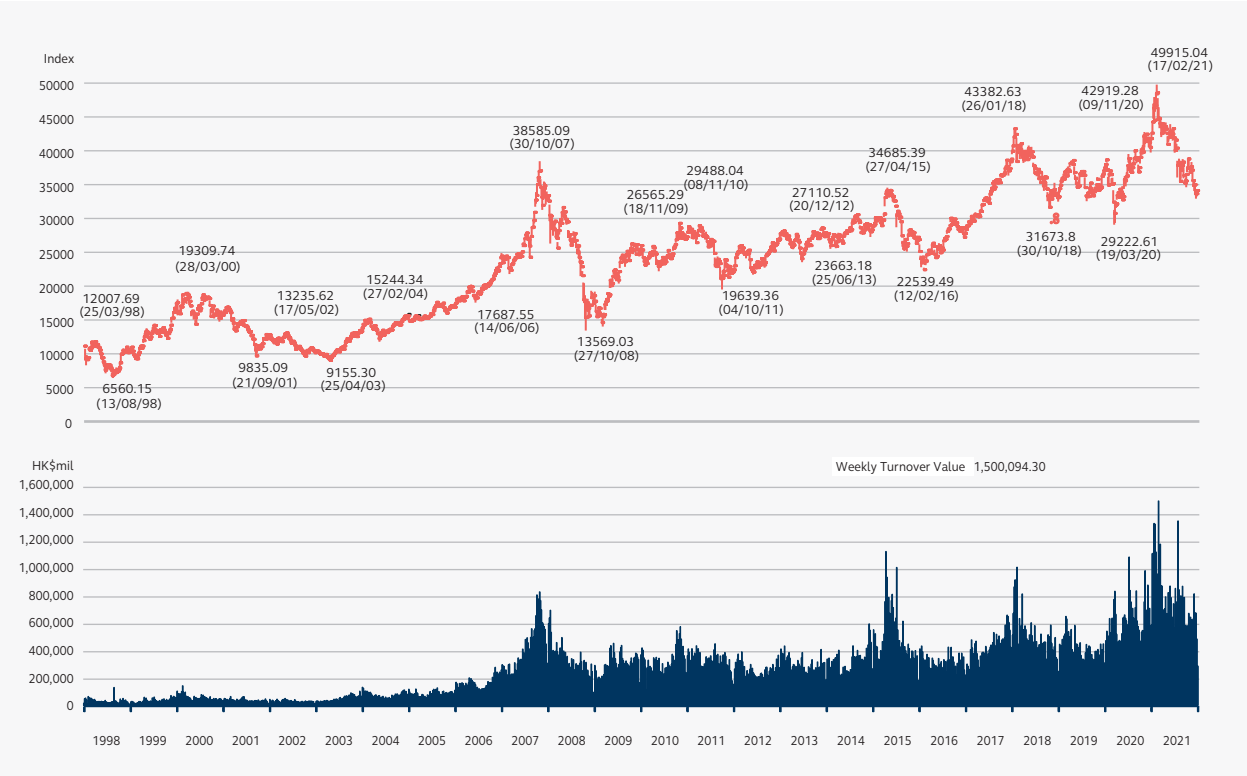
Market highlights – Clearing and Settlement

	Year		
	2019	2020	2021
CCASS Statistics			
Average Daily Exchange Trades Handled by CCASS			
– Number of trades	1,452,847	1,962,225	2,362,790
– Value of trades (HK$bil)	86.9	129.2	166.1
– Share quantity Involved (bil. shs)	244.7	220.6	251.8
Average Daily Settlement Instructions ("SIs") Settled by CCASS			
– Number of SIs	94,951	114,948	127,155
– Value of SIs (HK$bil)	238.4	346.1	403.2
– Share quantity involved (bil. shs)	44.8	48.6	42.3
Average Daily Investor SIs ("ISIs") Settled by CCASS			
– Number of ISIs	233	280	265
– Value of ISIs (HK$mil)	158.3	219.3	601.4
– Share quantity involved (mil. shs)	150.1	65.1	65.1
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.92	99.91	99.92
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99	99.99
Average Daily Buy-ins Executed on T+3			
– Number of brokers involved	3	3	2
– Number of buy-ins	3	4	3
– Value of buy-ins (HK$mil)	1.5	8.1	3.6
Shares Deposited in the CCASS Depository			
– Number of shares (bil. shs)	6,500.6	6,869.8	6,702.5
– Percentage of total issued shares of the admitted securities	75.41	76.84	76.81
– Value of shares (HK$bil)	22,828.2	30,271.5	28,528.0
– Percentage of the total market capitalisation of the admitted securities	56.79	60.92	64.32
DCASS Statistics	**2019**	**2020**	**2021**
Open Interest as at the year end (contracts)			
– Equity Index Futures	604,384	566,431	675,762
– Stock Futures	27,277	22,965	29,311
– Interest Rates Futures	60	15	0
– RMB Currency Futures	24,636	28,375	22,475
– INR Currency products [+]	175	237	142
– Gold Futures	1,137	68	160
– Silver Futures [*]	–	0	0
– Iron Ore Futures	1,685	1,174	25
– London Metal Mini Futures	353	132	1,239
– Equity Index Options	2,334,663	1,697,059	1,209,382
– Stock Options	6,702,538	8,942,852	7,977,558
– RMB Currency Options	1,643	2,483	1,489
Cumulative Stock Options Exercised			
– Share quantity involved (mil. shs)	11,811	12,078	13,748
– Value of shares (HK$bil)	242	316	475

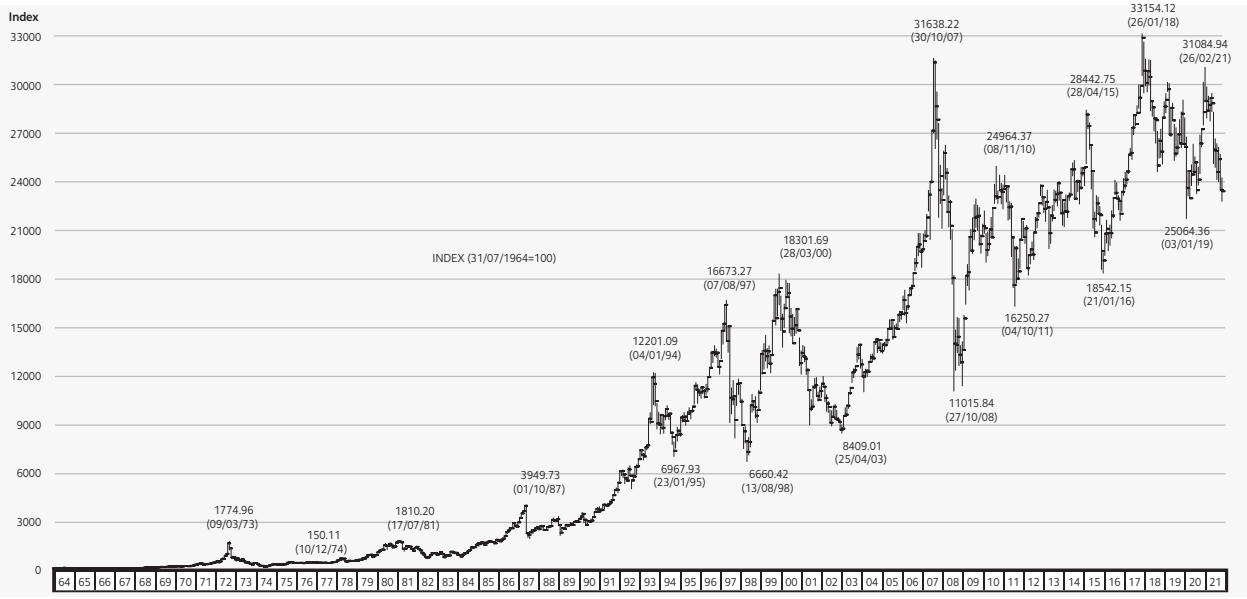
[+] Trading in INR Currency products commenced on 4 Nov 2019.

[*] Trading in Silver Futures commenced on 8 Jun 2020.

S&P/HKEX LargeCap Index, weekly movement 1998-2021



Hang Seng Index, monthly movement 1964-2021



S&P/HKEX LargeCap Index, daily closing (2021)

28 February 2003 = 10000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	45930.84	45841.97	44062.23	–	44086.76	–	–	38186.54	–	37189.18	35243.56
2	–	46667.80	45332.31	–	–	43758.47	42016.97	38331.39	38392.44	–	37256.71	35349.81
3	–	47028.67	46570.74	–	42825.01	43091.27	–	37990.99	37933.80	–	37212.55	35011.75
4	42088.82	46666.94	45216.45	–	43006.82	42993.63	–	38236.89	–	34806.05	37705.49	–
5	42278.75	46834.64	44859.99	–	42578.99	–	41402.93	37859.58	–	34855.05	36995.90	–
6	42642.42	–	–	–	42814.94	–	41527.97	38018.56	38522.68	34742.79	–	34183.38
7	42246.62	–	–	43396.60	42675.31	42702.13	41176.85	–	38862.77	36155.40	–	35329.50
8	42732.94	46889.83	43488.84	43805.04	–	42684.28	39850.99	–	38926.65	36616.69	36720.77	35235.66
9	–	47074.53	43721.14	43293.07	–	42500.48	40180.53	38205.70	37563.09	–	36744.24	35568.22
10	–	48261.60	44244.50	–	42288.59	42504.40	–	38970.77	38444.88	–	37187.19	35140.17
11	42756.90	48555.41	45272.17	–	41184.19	42560.54	–	38944.60	–	37698.37	37454.85	–
12	43151.88	–	44154.67	43116.81	41887.55	–	40445.87	38683.04	–	36963.93	37746.76	–
13	43191.54	–	–	42881.68	40938.33	–	41359.35	38404.06	37729.09	–	–	35127.35
14	44032.82	–	–	43651.37	41076.52	–	41215.87	–	37343.58	–	–	34653.60
15	44375.90	–	43757.44	43308.26	–	42290.55	41608.80	–	36437.09	37591.74	37942.82	34327.76
16	–	49128.65	44012.75	43580.56	–	41955.27	41708.66	37882.14	36054.30	–	38473.95	34334.46
17	–	49915.04	43934.92	–	41507.99	42122.08	–	37041.87	36473.91	–	38387.98	33788.65
18	44899.55	48881.28	44723.80	–	41995.77	42606.42	–	37253.54	–	37701.26	37740.99	–
19	45933.58	48702.22	44145.80	43620.72	–	–	40761.50	36275.16	–	38275.17	37247.02	–
20	47096.34	–	–	43602.09	42094.92	–	40479.25	35632.72	35347.73	39035.70	–	33073.41
21	47043.02	–	–	42702.42	42107.26	42069.73	40368.85	–	35361.69	38757.39	–	33418.59
22	46408.72	47694.60	43955.02	42995.79	–	41623.93	41155.84	–	–	38909.25	37146.15	33655.54
23	–	47932.86	43327.30	43702.75	–	42388.12	40512.30	35998.01	35778.33	–	36546.34	33768.30
24	–	46317.54	42524.44	–	41862.84	42557.39	–	37560.88	35336.73	–	36523.81	33773.35
25	48081.04	46781.00	42173.04	–	42656.17	43393.10	–	37627.18	–	38956.01	36754.85	–
26	46579.69	44773.18	42691.02	43590.15	43057.34	–	38332.66	37297.89	–	38776.56	35717.78	–
27	46287.13	–	–	43653.96	42804.27	–	36185.37	37221.90	35399.69	37998.20	–	–
28	44998.77	–	–	43995.05	42782.06	43392.89	36839.48	–	35941.95	37919.57	–	33757.93
29	44626.40	–	42437.40	44329.12	–	43020.79	38659.72	–	36010.61	37567.34	35491.92	33405.03
30	–	–	42873.96	43308.87	–	42873.96	37977.00	37238.47	35668.15	–	34899.91	33447.77
31	–	–	42690.93	–	43319.75	–	–	37866.59	–	–	–	34253.03
High	48081.04	**49915.04**	46570.74	44329.12	43319.75	44086.76	42016.97	38970.77	38926.65	39035.70	38473.95	35568.22
Low	42088.82	44773.18	42173.04	42702.42	40938.33	41623.93	36185.37	35632.72	35336.73	34742.79	34899.91	**33073.41**
Avg	44572.64	47446.48	43997.85	43505.08	42273.23	42722.68	40179.37	37661.00	36938.84	37407.03	37049.42	34356.67

S&P/HKEX LargeCap Index, daily movement (2021)

Hang Seng Index, daily closing (2021)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	28892.86	29452.57	28938.74	–	29468.00	–	–	26028.29	–	25154.32	23658.92
2	–	29248.70	29095.86	–	–	29297.62	28310.42	26235.80	26090.43	–	25099.67	23788.93
3	–	29307.46	29880.42	–	28357.54	28966.03	–	26194.82	25901.99	–	25024.75	23766.69
4	27472.81	29113.50	29236.79	–	28557.14	28918.10	–	26426.55	–	24036.37	25225.19	–
5	27649.86	29288.68	29098.29	–	28417.98	–	28143.50	26204.69	–	24104.15	24870.51	–
6	27692.30	–	–	–	28637.46	–	28072.86	26179.40	26163.63	23966.49	–	23349.38
7	27548.52	–	–	28674.80	28610.65	28787.28	27960.62	–	26353.63	24701.73	–	23983.66
8	27878.22	29319.47	28540.83	29008.07	–	28781.38	27153.13	–	26320.93	24837.85	24763.77	23996.87
9	–	29476.19	28773.23	28698.80	–	28742.63	27344.54	26283.40	25716.00	–	24813.13	24254.86
10	–	30038.72	28907.52	–	28595.66	28738.88	–	26605.62	26205.91	–	24996.14	23995.72
11	27908.22	30173.57	29385.61	–	28013.81	28842.13	–	26660.16	–	25325.09	25247.99	–
12	28276.75	–	28739.72	28453.28	28231.04	–	27515.24	26517.82	–	24962.59	25327.97	–
13	28235.60	–	–	28497.25	27718.67	–	27963.41	26391.62	25813.81	–	–	23954.58
14	28496.86	–	–	28900.83	28027.57	–	27787.46	–	25502.23	–	–	23635.95
15	28573.86	–	28833.76	28793.14	–	28638.53	27996.27	–	25033.21	25330.96	25390.91	23420.76
16	–	30746.66	29027.69	28969.71	–	28436.84	28004.68	26181.46	24667.85	–	25713.78	23475.50
17	–	31084.94	29034.12	–	28194.09	28558.59	–	25745.87	24920.76	–	25650.08	23192.63
18	28862.77	30595.27	29405.72	–	28593.81	28801.27	–	25867.01	–	25409.75	25319.72	–
19	29642.28	30644.73	28990.94	29106.15	–	–	27489.78	25316.33	–	25787.21	25049.97	–
20	29962.47	–	–	29135.73	28450.29	–	27259.25	24849.72	24099.14	26136.02	–	22744.86
21	29927.76	–	–	28621.92	28458.44	28489.00	27224.58	–	24221.54	26017.53	–	22971.33
22	29447.85	30319.83	28885.34	28755.34	–	28309.76	27723.84	–	–	26126.93	24951.34	23102.33
23	–	30632.64	28497.38	29078.75	–	28817.07	27321.98	25109.59	24510.98	–	24651.58	23193.64
24	–	29718.24	27918.14	–	28412.26	28882.46	–	25727.92	24192.16	–	24685.50	23223.76
25	30159.01	30074.17	27899.61	–	28910.86	29288.22	–	25693.95	–	26132.03	24740.16	–
26	29391.26	28980.21	28336.43	28952.83	29166.01	–	26192.32	25415.69	–	26038.27	24080.52	–
27	29297.53	–	–	28941.54	29113.20	–	25086.43	25407.89	24208.78	25628.74	–	–
28	28550.77	–	–	29071.34	29124.41	29268.30	25473.88	–	24500.39	25555.73	–	23280.56
29	28283.71	–	28338.30	29303.26	–	28994.10	26315.32	–	24663.50	25377.24	23852.24	23086.54
30	–	–	28577.50	28724.88	–	28827.95	25961.03	25539.54	24575.64	–	23475.26	23112.01
31	–	–	28378.35	–	29151.80	–	–	25878.99	–	–	–	23397.67
High	30159.01	**31084.94**	29880.42	29303.26	29166.01	29468.00	28310.42	26660.16	26353.63	26136.02	25713.78	24254.86
Low	27472.81	28892.86	27899.61	28453.28	27718.67	28309.76	25086.43	24849.72	24099.14	23966.49	23475.26	**22744.86**
Avg	28662.92	29869.77	28836.27	28875.07	28537.13	28850.20	27252.41	25928.81	25223.37	25304.15	24912.93	23481.23

Note: HSI is compiled by Hang Seng Indexes Company Limited.

Hang Seng Index, daily movement (2021)

HSI Volatility Index, daily closing (2021)

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	25.16	24.46	17.79	–	17.16	–	–	20.43	–	20.03	23.33
2	–	24.39	24.29	–	–	16.90	17.39	23.55	19.76	–	20.33	23.47
3	–	22.35	22.98	–	18.04	16.92	–	23.78	20.21	–	20.24	23.05
4	19.55	22.03	23.90	–	17.32	16.44	–	21.81	–	27.51	19.15	–
5	19.63	20.49	24.51	–	17.80	–	17.79	23.00	–	26.88	19.99	–
6	20.02	–	–	–	17.52	–	17.40	22.79	19.53	26.53	–	25.44
7	20.58	–	–	18.30	17.34	16.86	17.98	–	18.70	24.26	–	22.61
8	20.15	20.12	26.24	17.25	–	16.44	21.22	–	19.71	24.07	20.79	21.30
9	–	18.94	25.48	17.10	–	16.38	19.35	22.67	21.80	–	20.06	19.86
10	–	20.19	24.56	–	17.28	16.41	–	21.01	19.73	–	20.18	19.87
11	21.40	20.44	23.67	–	18.55	15.75	–	19.98	–	23.45	19.99	–
12	20.30	–	24.10	17.72	18.53	–	18.26	19.78	–	24.07	19.67	–
13	19.65	–	–	18.08	20.41	–	17.17	19.79	21.11	–	–	19.46
14	19.15	–	–	18.04	18.87	–	17.20	–	22.04	–	–	20.61
15	18.63	–	23.63	18.47	–	16.94	16.94	–	23.65	21.71	19.60	20.86
16	–	22.06	22.39	18.79	–	17.34	16.53	20.66	25.18	–	18.95	20.01
17	–	22.92	21.38	–	18.38	16.97	–	22.89	24.14	–	18.67	21.38
18	19.48	23.22	20.77	–	17.51	16.81	–	22.11	–	21.33	19.07	–
19	21.46	22.34	21.01	19.41	–	–	19.11	24.72	–	20.49	19.34	–
20	21.48	–	–	19.08	17.99	–	19.95	27.20	29.84	20.22	–	23.82
21	21.09	–	–	19.70	17.15	18.08	19.83	–	28.70	20.17	–	21.70
22	21.77	24.12	21.04	18.37	–	18.22	18.48	–	–	19.37	19.61	20.67
23	–	23.49	21.23	17.80	–	17.50	19.98	25.33	26.55	–	20.46	19.92
24	–	26.57	21.61	–	17.09	16.78	–	23.00	27.75	–	20.03	19.89
25	23.06	24.99	20.04	–	16.59	16.51	–	23.10	–	18.75	19.37	–
26	23.40	26.76	19.17	17.54	16.72	–	25.11	23.56	–	18.58	23.89	–
27	22.66	–	–	17.08	16.40	–	33.68	23.91	26.54	19.13	–	–
28	27.15	–	–	16.22	16.25	16.39	28.42	–	25.51	19.08	–	20.38
29	26.56	–	19.40	16.46	–	16.20	23.95	–	24.99	19.36	24.93	20.89
30	–	–	18.54	16.89	–	16.07	25.13	23.24	24.67	–	24.26	20.16
31	–	–	18.32	–	16.55	–	–	22.13	–	–	–	19.55
High	27.15	26.76	26.24	19.70	20.41	18.22	**33.68**	27.20	29.84	27.51	24.93	25.44
Low	18.63	18.94	18.32	16.22	16.25	**15.75**	16.53	19.78	18.70	18.58	18.67	19.46
Avg	21.36	22.81	22.29	17.90	17.61	16.81	20.52	22.73	23.36	21.94	20.39	21.28

Note: VHSI is compiled by Hang Seng Indexes Company Limited.

HSI Volatility Index, daily movement (2021)

Hang Seng China Enterprises Index, daily closing (2021)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	11454.18	11453.68	11217.41	–	10990.75	–	–	9271.32	–	8899.32	8426.24
2	–	11609.02	11361.35	–	–	10951.84	10415.58	9336.60	9341.30	–	8863.83	8506.36
3	–	11651.84	11666.24	–	10713.00	10826.78	–	9320.38	9291.71	–	8856.99	8455.45
4	10722.99	11563.50	11325.58	–	10765.72	10805.64	–	9419.25	–	8521.19	8953.12	–
5	10774.15	11561.32	11292.22	–	10721.87	–	10274.18	9296.43	–	8515.55	8820.83	–
6	10899.83	–	–	–	10756.37	–	10269.60	9273.55	9371.15	8412.72	–	8274.77
7	10796.73	–	–	11070.58	10699.00	10748.20	10149.84	–	9468.22	8713.05	–	8527.12
8	10955.55	11560.01	11014.79	11109.48	–	10729.52	9822.56	–	9449.39	8775.65	8793.73	8522.90
9	–	11602.76	10973.15	10977.37	–	10704.75	9885.42	9313.97	9183.58	–	8806.02	8657.77
10	–	11810.25	11059.67	–	10651.07	10716.28	–	9499.18	9386.84	–	8910.98	8578.33
11	11059.51	11880.49	11340.40	–	10431.55	10750.95	–	9548.13	–	8999.26	9048.39	–
12	11217.69	–	11172.95	10874.83	10556.57	–	9945.73	9465.46	–	8849.17	9114.37	–
13	11184.90	–	–	10850.53	10339.99	–	10113.32	9377.79	9238.99	–	–	8551.14
14	11299.17	–	–	10999.30	10404.95	–	10065.07	–	9081.73	–	–	8418.61
15	11320.53	–	11144.08	10905.89	–	10668.93	10174.27	–	8936.53	8966.17	9085.60	8342.91
16	–	12036.15	11329.43	11027.51	–	10562.97	10152.95	9265.24	8805.80	–	9225.81	8349.65
17	–	12228.63	11358.66	–	10503.84	10589.67	–	9057.88	8940.47	–	9193.21	8218.00
18	11462.52	12041.53	11470.43	–	10654.30	10646.39	–	9150.36	–	8971.40	9036.04	–
19	11734.33	12106.77	11283.92	11092.95	–	–	9958.56	8916.02	–	9136.07	8970.67	–
20	11949.35	–	–	11085.87	10642.80	–	9864.57	8742.44	8638.55	9276.69	–	8042.74
21	11889.45	–	–	10888.52	10702.57	10547.86	9831.02	–	8640.37	9273.57	–	8141.47
22	11677.45	11893.68	11306.71	10939.12	–	10469.80	10006.57	–	–	9356.85	8929.78	8195.23
23	–	11909.63	11111.18	11067.84	–	10673.91	9839.05	8815.56	8733.73	–	8827.67	8204.31
24	–	11509.73	10847.98	–	10641.40	10677.31	–	9098.68	8604.99	–	8794.82	8201.95
25	11960.49	11717.41	10744.27	–	10792.63	10878.45	–	9076.03	–	9322.08	8809.72	–
26	11695.43	11247.21	10966.06	10981.31	10855.58	–	9355.24	8937.29	–	9259.43	8576.07	–
27	11651.24	–	–	10983.46	10848.35	–	8879.58	8957.81	8583.82	9093.80	–	–
28	11334.03	–	–	11014.69	10793.55	10863.57	9071.10	–	8720.30	9052.70	–	8194.45
29	11208.78	–	10942.94	11049.88	–	10757.30	9415.69	–	8760.44	8961.73	8498.26	8098.76
30	–	–	11020.87	10825.25	–	10663.39	9233.22	8995.82	8726.38	–	8368.49	8096.44
31	–	–	10972.04	–	10889.12	–	–	9183.76	–	–	–	8236.35
High	11960.49	**12228.63**	11666.24	11217.41	10889.12	10990.75	10415.58	9548.13	9468.22	9356.85	9225.81	8657.77
Low	10722.99	11247.21	10744.27	10825.25	10339.99	10469.80	8879.58	8742.44	8583.82	8412.72	8368.49	**8042.74**
Avg	11339.71	11743.56	11180.81	10997.99	10668.21	10724.96	9843.96	9183.98	9008.36	8969.84	8881.08	8329.13

Note: HSCEI is compiled by Hang Seng Indexes Company Limited.

Hang Seng China Enterprises Index, daily movement (2021)

Hang Seng China-Affiliated Corporations Index, daily closing (2021)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	3964.94	4150.28	4103.17	–	4113.16	–	–	3939.43	–	3853.89	3754.60
2	–	4012.72	4115.62	–	–	4105.45	3957.27	3669.29	3974.46	–	3789.89	3805.78
3	–	4032.30	4169.26	–	4004.41	4083.38	–	3709.47	3998.59	–	3769.81	3833.50
4	3792.03	3981.90	4082.63	–	4047.52	4100.86	–	3706.04	–	3905.46	3757.86	–
5	3824.85	3967.20	4108.99	–	4065.42	–	3950.55	3698.99	–	3916.83	3709.75	–
6	3882.21	–	–	–	4101.28	–	3941.57	3686.24	4006.61	3903.31	–	3793.25
7	3836.90	–	–	4080.14	4119.57	4124.58	3915.59	–	3997.53	3935.27	–	3839.56
8	3890.77	3961.29	4077.16	4096.74	–	4117.84	3833.95	–	3994.51	3899.28	3728.87	3870.26
9	–	3999.82	4029.23	4067.66	–	4159.59	3830.82	3749.74	4001.30	–	3738.29	3920.03
10	–	4025.08	4042.99	–	4156.34	4152.36	–	3788.69	4042.77	–	3759.97	3902.93
11	3922.94	4031.30	4128.66	–	4114.11	4160.10	–	3844.59	–	3894.37	3817.86	–
12	3988.56	–	4074.45	4042.76	4096.33	–	3849.51	3828.30	–	3874.54	3823.00	–
13	3963.77	–	–	4064.92	4048.79	–	3887.71	3841.77	4038.29	–	–	3906.70
14	4020.90	–	–	4099.80	4069.77	–	3789.39	–	3978.67	–	–	3860.72
15	3994.09	–	4103.63	4090.94	–	4107.83	3828.27	–	3946.13	3852.11	3794.13	3873.63
16	–	4105.61	4158.19	4144.61	–	4095.55	3816.37	3864.22	3882.93	–	3847.38	3894.33
17	–	4148.23	4219.37	–	4095.61	4107.67	–	3826.11	3904.77	–	3853.39	3882.23
18	4089.50	4165.94	4218.69	–	4173.83	4048.17	–	3868.47	–	3848.66	3811.69	–
19	4206.80	4223.95	4155.43	4167.75	–	–	3780.69	3808.38	–	3881.88	3852.65	–
20	4229.97	–	–	4156.65	4112.97	–	3756.34	3753.92	3815.75	3876.98	–	3833.68
21	4146.78	–	–	4111.10	4111.64	4018.21	3751.03	–	3862.69	3890.25	–	3855.93
22	4031.33	4202.53	4155.14	4137.83	–	4022.20	3807.31	–	–	3904.37	3841.32	3856.86
23	–	4226.34	4119.69	4129.71	–	4060.70	3794.28	3793.80	3952.87	–	3850.80	3907.59
24	–	4096.79	4065.49	–	4104.20	4038.00	–	3810.85	3880.52	–	3874.43	3943.50
25	4075.71	4201.26	4065.02	–	4132.74	4086.20	–	3809.05	–	3913.72	3881.39	–
26	4021.67	4074.59	4127.00	4073.82	4151.23	–	3702.02	3800.62	–	3871.63	3812.69	–
27	4040.22	–	–	4073.67	4173.79	–	3624.39	3832.37	3872.20	3866.36	–	–
28	3920.30	–	–	4070.38	4168.09	4078.87	3607.49	–	3950.32	3862.13	–	3957.41
29	3874.18	–	4187.26	4111.28	–	4017.28	3640.62	–	3924.14	3866.93	3822.60	3917.17
30	–	–	4164.87	4058.39	–	3992.37	3585.58	3862.42	3956.32	–	3740.98	3887.99
31	–	–	4102.08	–	4109.06	–	–	3914.06	–	–	–	3899.58
High	**4229.97**	4226.34	4219.37	4167.75	4173.83	4160.10	3957.27	3914.06	4042.77	3935.27	3881.39	3957.41
Low	3792.03	3961.29	4029.23	4042.76	4004.41	3992.37	**3585.58**	3669.29	3815.75	3848.66	3709.75	3754.60
Avg	3987.67	4078.99	4122.66	4099.02	4107.84	4085.26	3792.89	3793.97	3948.61	3886.89	3806.03	3872.60

Note: HSCCI is compiled by Hang Seng Indexes Company Limited.

Hang Seng China-Affiliated Corporations Index, daily movement (2021)

Main Board Listing Statistics

Number of securities by type, 2017 – 2021

Type	Year 2017	2018	2019	2020	2021
Ordinary shares	1,795	1,927	2,072	2,171	2,220
Preference shares	16	17	15	15	12
Equity warrants	7	2	2	0	3
Derivative warrants	6,094	6,763	4,571	7,507	9,464
Inline Warrants	–	–	922	507	98
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	3,374	2,847	3,298	4,739	4,817
Unit trusts/Mutual funds	145	149	148	159	167
– Exchange Traded Funds (ETFs)	106	115	111	118	127
– Leveraged and Inverse Products (L&I products)	27	22	24	28	28
– Real Estate Investment Trusts (REITs)	11	11	12	12	11
– Others	1	1	1	1	1
Debt securities	1,047	1,195	1,388	1,574	1,747
Total	**12,478**	**12,900**	**12,416**	**16,672**	**18,528**

Number of newly listed securities, 2017 – 2021

Type	Year 2017	2018	2019	2020	2021
Ordinary shares *	94	143	168	146	97
– Newly listed companies	81	133	148	138	95
– Transfer of listing from GEM	13	10	20	8	2
Preference shares	7	1	1	2	1
Equity warrants	4	1	2	0	3
Derivative warrants	7,989	11,794	8,939	12,128	16,684
Inline Warrants	–	–	923	780	83
Equity link instruments	0	0	0	0	0
Callable bull/bear contracts	13,235	26,678	24,732	38,039	42,807
Unit trusts/Mutual funds	22	15	13	26	34
– Exchange Traded Funds (ETFs)	3	15	8	18	27
– Leveraged and Inverse Products (L&I products)	19	0	4	8	6
– Real Estate Investment Trusts (REITs)	0	0	1	0	1
– Others	0	0	0	0	0
Debt securities	316	303	420	430	508
Total	**21,667**	**38,935**	**35,198**	**51,551**	**60,217**

* Includes the number of companies for transfer of listing from GEM

Number of delisted securities, 2017-2021

Type	Year 2017	2018	2019	2020	2021
Ordinary shares	13	11	23	47	48
Preference shares	1	0	3	2	4
Equity warrants	5	6	2	2	0
Derivative warrants	5,600	11,125	11,131	9,192	14,727
Inline Warrants	–	–	1	1,195	492
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	11,705	27,205	24,281	36,598	42,729
Unit trusts/mutual funds	34	11	14	15	26
– Exchange Traded Funds (ETFs)	30	6	12	11	18
– Leveraged and Inverse Products (L&I products)	4	5	2	4	6
– Real Estate Investment Trusts (REITs)	0	0	0	0	2
– Others	0	0	0	0	0
Debt securities	161	155	227	244	335
Total	**17,519**	**38,513**	**35,682**	**47,295**	**58,361**

Main Board Market Capitalisation

Total market capitalisation by Hang Seng Industry Classification System

HK$mil

Industry Classification *	2021 Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	724,372.73	846,114.61	802,744.13	807,887.41	822,045.79	832,532.71	749,517.24	802,265.26	856,433.86	829,079.81	757,523.11	806,518.22
Materials	583,572.67	639,898.45	601,443.70	671,493.84	695,019.29	640,763.67	698,381.75	762,292.23	679,933.61	666,568.76	619,526.08	605,063.98
Industrials	1,858,420.79	1,923,894.22	1,895,266.29	1,994,519.01	2,243,002.87	2,401,833.60	2,205,436.95	2,414,391.42	2,155,376.90	2,136,863.04	2,166,442.41	2,187,712.23
Consumer Discretionary	6,435,459.15	6,647,519.99	6,366,457.24	6,779,634.85	6,849,994.57	6,936,439.21	6,442,733.83	6,844,178.44	6,203,451.81	6,462,098.05	6,392,335.60	6,170,193.10
Consumer Staples	2,262,923.40	2,100,908.64	1,982,359.94	2,025,523.55	2,113,628.82	2,022,857.25	1,805,580.08	1,816,913.96	1,755,078.95	1,806,157.21	1,753,552.35	1,785,444.88
Healthcare	3,895,307.06	4,041,304.53	3,925,668.55	4,303,268.17	4,272,855.01	4,464,691.22	3,704,154.85	3,394,714.33	3,342,272.55	3,103,774.77	3,047,807.92	2,770,679.74
Telecommunications	1,333,247.41	1,491,512.62	1,425,911.27	1,453,002.05	1,353,215.99	1,362,688.95	1,347,588.75	1,326,367.00	1,312,656.41	1,343,207.55	1,302,983.51	1,299,411.67
Utilities	1,579,494.60	1,641,843.56	1,687,168.49	1,679,786.92	1,730,738.88	1,713,075.14	1,758,735.40	1,825,953.64	1,826,043.89	1,795,798.49	1,736,543.57	1,879,557.73
Financials	8,081,017.66	8,477,022.66	8,547,639.07	8,499,026.27	8,685,317.91	8,174,267.86	7,679,581.71	7,661,621.70	7,507,039.95	7,597,190.76	7,158,517.29	7,431,647.00
Properties & Construction	5,211,284.20	5,686,768.85	5,785,024.01	5,725,581.58	5,751,434.53	5,534,141.41	4,822,653.42	4,901,262.38	4,637,609.90	4,528,864.54	4,250,620.06	4,201,660.21
Information Technology	17,950,746.21	18,609,326.87	18,192,620.41	18,521,161.89	17,918,652.12	17,895,871.35	14,606,457.63	14,173,160.51	13,122,596.61	14,219,085.40	12,974,311.26	12,504,936.68
Conglomerates	625,733.96	677,008.99	705,820.27	748,093.42	766,996.16	725,527.09	694,272.86	734,494.82	662,953.10	653,512.51	602,647.85	629,940.93
Total	**50,541,579.83**	**52,783,123.99**	**51,918,123.34**	**53,208,978.95**	**53,202,901.93**	**52,704,689.45**	**46,515,094.46**	**46,657,615.68**	**44,061,447.54**	**45,142,200.88**	**42,762,811.00**	**42,272,766.38**

Note: Month-end figures.

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited

Market capitalisation trends by Hang Seng Industry Classification System, 2017 – 2021

HK$mil

Industry Classification *	2017		2018		2019		2020		2021	
Energy	1,126,395.36	(3.34%)	1,104,391.73	(3.72%)	1,030,961.91	(2.71%)	697,444.61	(1.47%)	806,518.22	(1.91%)
Materials	670,690.26	(1.99%)	481,542.81	(1.62%)	483,803.86	(1.27%)	569,130.29	(1.20%)	605,063.98	(1.43%)
Industrials	1,252,367.74	(3.71%)	1,029,284.28	(3.46%)	1,195,631.05	(3.14%)	1,757,339.11	(3.71%)	2,187,712.23	(5.18%)
Consumer Discretionary ^	n.a.	–	n.a.	–	4,121,411.41	(10.83%)	6,269,970.71	(13.23%)	6,170,193.10	(14.60%)
Consumer Staples ^	n.a.	–	n.a.	–	1,611,209.88	(4.23%)	2,142,055.41	(4.52%)	1,785,444.88	(4.22%)
Healthcare ^	n.a.	–	n.a.	–	1,527,534.95	(4.01%)	3,521,638.23	(7.43%)	2,770,679.74	(6.55%)
Telecommunications	2,178,878.31	(6.46%)	2,098,329.50	(7.06%)	1,854,657.43	(4.87%)	1,273,749.63	(2.69%)	1,299,411.67	(3.07%)
Utilities	1,647,343.55	(4.89%)	1,684,409.69	(5.67%)	1,757,442.04	(4.62%)	1,593,056.46	(3.36%)	1,879,557.73	(4.45%)
Financials	9,549,225.13	(28.32%)	8,113,476.14	(27.30%)	8,625,374.14	(22.66%)	7,947,772.03	(16.77%)	7,431,647.00	(17.58%)
Properties & Construction	5,099,505.48	(15.12%)	4,603,544.21	(15.49%)	5,408,826.36	(14.21%)	5,088,946.05	(10.74%)	4,201,660.21	(9.94%)
Information Technology	4,912,952.91	(14.57%)	4,260,114.99	(14.33%)	9,547,306.13	(25.09%)	15,927,636.23	(33.61%)	12,504,936.68	(29.58%)
Conglomerates	1,100,117.23	(3.26%)	995,001.15	(3.35%)	894,178.93	(2.35%)	603,457.60	(1.27%)	629,940.93	(1.49%)
Consumer Goods ^	3,924,645.30	(11.64%)	3,163,753.44	(10.64%)	n.a.	–	n.a.	–	n.a.	–
Consumer Services ^	2,255,873.90	(6.69%)	2,189,390.33	(7.37%)	n.a.	–	n.a.	–	n.a.	–
Equity total	**33,717,995.17**	**(100.00%)**	**29,723,238.27**	**(100.00%)**	**38,058,338.10**	**(100.00%)**	**47,392,196.36**	**(100.00%)**	**42,272,766.38**	**(100.00%)**

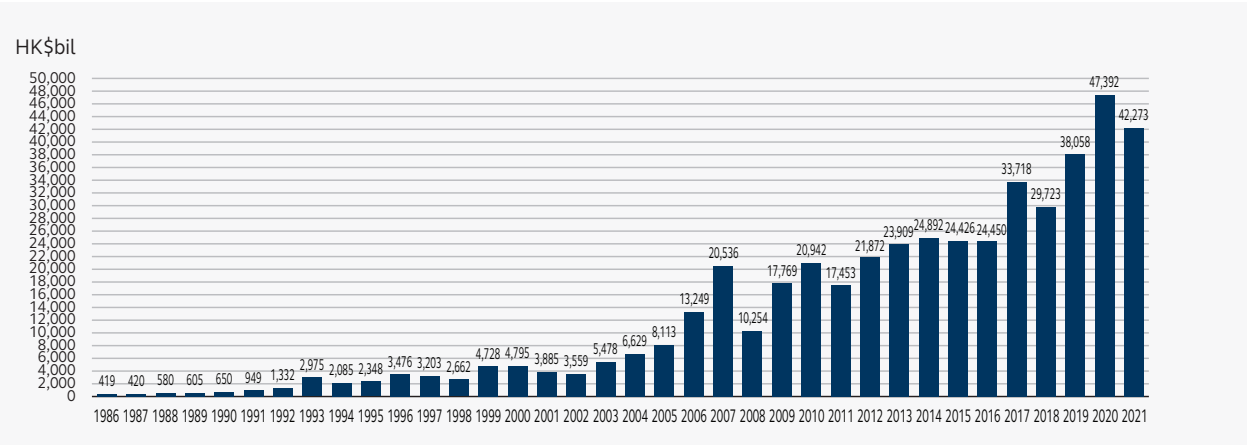
( ) % of equity market total

Note: Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Market capitalisation, 1986-2021



Main Board Market Capitalisation

50 leading companies in market capitalisation

End of 2021

Rank	Code	Company	Issued capital (shares)	Closing price (HK$)	Market capitalisation (HK$mil)		% of equity total
1	00700	Tencent Holdings Ltd.	9,603,803,893	456.800	4,387,017.62		10.38
2	09988	Alibaba Group Holding Ltd. – SW	21,687,309,200	118.900	2,578,621.06		6.10
3	03690	Meituan – W	6,135,288,688	225.400	1,382,894.07		3.27
4	00939	China Construction Bank Corporation – H Shares	240,417,319,880	5.400	1,298,253.53		3.07
5	00005	HSBC Holdings plc	20,634,031,017	46.900	967,736.05		2.29
6	00941	China Mobile Ltd.	20,475,482,897	46.800	958,252.60		2.27
7	01299	AIA Group Ltd.	12,096,703,390	78.600	950,800.89		2.25
8	09618	JD.com, Inc. – SW	3,129,793,833	274.000	857,563.51		2.03
9	00388	Hong Kong Exchanges and Clearing Ltd.	1,267,836,895	455.400	577,372.92		1.37
10	09999	NetEase, Inc. – S	3,455,117,456	157.500	544,181.00		1.29
11	01810	Xiaomi Corporation – W	24,990,344,166	18.900	472,317.50		1.12
12	02318	Ping An Insurance (Group) Co. of China, Ltd. – H Shares	7,447,576,912	56.150	418,181.44		0.99
13	09888	Baidu, Inc. – SW	2,828,841,349	144.600	409,050.46		0.97
14	02269	WuXi Biologics (Cayman) Inc.	4,258,805,364	92.550	394,152.44		0.93
15	01398	Industrial and Commercial Bank of China Ltd. – H Shares	86,794,044,550	4.400	381,893.80		0.90
16	02378	Prudential plc *	2,746,412,265	132.900	–	364,998.19	N.A.
17	00883	CNOOC Ltd.	44,647,455,984	8.030	358,519.07		0.85
18	09868	XPeng Inc. – W	1,712,757,328	186.300	319,086.69		0.75
19	02020	ANTA Sports Products Ltd.	2,703,329,000	116.900	316,019.16		0.75
20	01024	Kuaishou Technology – W	4,208,131,000	72.050	303,195.84		0.72
21	01211	BYD Co. Ltd. – H Shares	1,098,000,000	266.600	292,726.80		0.69
22	00945	Manulife Financial Corporation – S *	1,942,637,458	147.500	–	286,539.03	N.A.
23	00669	Techtronic Industries Co. Ltd.	1,834,484,441	155.200	284,711.99		0.67
24	03968	China Merchants Bank Co., Ltd. – H Shares	4,590,901,172	60.550	277,979.07		0.66
25	00016	Sun Hung Kai Properties Ltd.	2,897,780,274	94.600	274,130.01		0.65
26	00011	Hang Seng Bank Ltd.	1,911,842,736	142.700	272,819.96		0.65
27	01876	Budweiser Brewing Co. APAC Ltd.	13,243,397,000	20.450	270,827.47		0.64
28	02382	Sunny Optical Technology (Group) Co. Ltd.	1,096,849,700	246.600	270,483.14		0.64
29	02388	BOC Hong Kong (Holdings) Ltd.	10,572,780,266	25.550	270,134.54		0.64
30	00066	MTR Corporation Ltd.	6,193,462,514	41.850	259,196.41		0.61
31	09633	Nongfu Spring Co., Ltd. – H Shares	5,034,666,400	51.450	259,033.59		0.61
32	02015	Li Auto Inc. – W	2,065,715,410	122.700	253,463.28		0.60
33	06969	Smoore International Holdings Ltd.	6,010,159,220	39.750	238,903.83		0.57
34	03988	Bank of China Ltd. – H Shares	83,622,276,395	2.810	234,978.60		0.56
35	01109	China Resources Land Ltd.	7,130,939,579	32.800	233,894.82		0.55
36	00003	Hong Kong and China Gas Co. Ltd., The	18,659,870,098	12.140	226,530.82		0.54
37	02313	Shenzhou International Group Holdings Ltd.	1,503,222,397	149.900	225,333.04		0.53
38	00267	CITIC Ltd.	29,090,262,630	7.700	223,995.02		0.53
39	02331	Li Ning Co. Ltd.	2,616,545,825	85.350	223,322.19		0.53
40	00960	Longfor Group Holdings Ltd.	6,074,310,105	36.700	222,927.18		0.53
41	06160	BeiGene, Ltd.	1,330,592,598	161.000	214,225.41		0.51
42	00175	Geely Automobile Holdings Ltd.	10,018,441,540	21.300	213,392.80		0.50
43	00291	China Resources Beer (Holdings) Co. Ltd.	3,244,176,905	63.850	207,140.70		0.49
44	00688	China Overseas Land & Investment Ltd.	10,944,815,035	18.460	202,041.29		0.48
45	00002	CLP Holdings Ltd.	2,526,450,570	78.750	198,957.98		0.47
46	06618	JD Health International Inc.	3,194,617,111	61.450	196,309.22		0.46
47	00001	CK Hutchison Holdings Ltd.	3,835,917,000	50.300	192,946.63		0.46
48	02057	ZTO Express (Cayman) Inc. – SW	855,301,115	216.400	185,087.16		0.44
49	00020	SenseTime Group Inc. – W	33,282,400,000	5.500	183,053.20		0.43
50	01113	CK Asset Holdings Ltd.	3,643,583,833	49.150	179,082.15		0.42
		Total			**24,662,737.91**		**58.34**
		Equity total			42,272,766.38		100.00

* Figures is not included in Total.

20 Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK$) 2020		Closing price (HK$) 2021	% up
1	01725	HK AERO TECH	1.300		27.350	2,003.85
2	06908	HG SEMI	0.550		7.280	1,223.64
3	01450	CENTURY SAGE	0.091		1.170	1,185.71
4	01121	GOLDENSOLAR	0.790		10.000	1,165.82
5	01937	JIACHEN HOLDING	0.092		1.030	1,019.57
6	00558	LK TECH	1.491	A	16.020	974.45
7	01870	ACME INTL HLDGS	0.218		1.890	766.97
8	02346	UNIVERSAL STAR	0.219		1.840	740.18
9	09913	CHI KAN HLDGS	0.460		3.470	654.35
10	01094	C P PROCUREMENT	0.206		1.480	618.45
11	03893	CROSSTEC	0.234		1.660	609.40
12	01780	B & D STRATEGIC	0.385		2.720	606.49
13	01726	HKE HOLDINGS	0.180		1.250	594.44
14	02255	HAICHANG HLDG	0.475		3.180	569.47
15	01811	CGN NEW ENERGY	1.220		8.160	568.85
16	00274	RA SILK ROAD	0.066		0.430	551.52
17	06833	SINCO PHARMA	0.295		1.890	540.68
18	00568	SHANDONG MOLONG	0.830		5.260	533.73
19	00990	THEME INT'L	0.138		0.870	530.43
20	00343	CULTURECOM HOLD	0.125		0.780	524.00

Note: (A) Adjusted

20 Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK$) 2020		Closing Prices (HK$) 2021	% down
1	00342	NEWOCEAN ENERGY	0.700		0.058	91.71
2	03978	BESTSTUDY EDU	3.000		0.320	89.33
3	03333	EVERGRANDE	14.900		1.590	89.33
4	00708	EVERG VEHICLE	30.200		3.520	88.34
5	02115	CHANNEL MICRON	1.200		0.140	88.33
6	09901	NEW ORIENTAL-S	139.200	A	16.260	88.32
7	01769	SCHOLAR EDU	12.167	A	1.440	88.16
8	02103	SINIC HOLDINGS	4.100		0.500	87.80
9	00918	STATE EN ASSETS	2.423	A	0.320	86.79
10	01629	CHAMP ALLI INTL	3.000		0.470	84.33
11	02599	SHINSUN HOLD GP	5.530		0.880	84.09
12	02798	PER ENERGY	9.990		1.630	83.68
13	00377	CHINA HUAJUN GP	10.420		1.750	83.21
14	02399	FORDOO	3.380		0.590	82.54
15	00997	CHINLINK INTL	0.371	A	0.065	82.48
16	01383	SUNCITY GROUP	0.690		0.126	81.74
17	00235	CHINA STRATEGIC	0.250		0.046	81.60
18	01566	CA CULTURAL	2.420		0.450	81.40
19	01797	KOOLEARN	27.900		5.290	81.04
20	03883	CHINA AOYUAN	7.432	A	1.410	81.03

Note: (A) Adjusted

Main Board Trading Statistics and Analysis

Trading value and volume, 2017-2021

Year/Month		No. of trading days	Value (HK$mil) Total	Value (HK$mil) Average daily	Volume (mil of shares) Total	Volume (mil of shares) Average daily	Deals Total	Deals Average daily
2017		246	21,560,099.47	87,642.68	43,805,184.04	178,069.85	300,296,509	1,220,718
2018		246	26,295,274.58	106,891.36	55,311,909.01	224,845.16	388,181,359	1,577,973
2019		246	21,390,188.29	86,951.98	60,007,451.66	243,932.73	354,988,721	1,443,044
2020		248	32,023,781.80	129,128.15	54,517,842.65	219,830.01	484,012,309	1,951,663
2021		247	41,086,361.69	166,341.55	62,057,378.75	251,244.45	581,129,671	2,352,752
2021	Jan	20	4,897,285.89	244,864.29	5,445,708.37	272,285.42	61,698,305	3,084,915
	Feb	18	4,194,512.73	233,028.49	4,974,373.78	276,354.10	55,154,364	3,064,131
	Mar	23	4,552,433.23	197,931.88	7,019,302.67	305,187.07	63,094,499	2,743,239
	Apr	19	2,953,864.96	155,466.58	5,018,719.03	264,143.11	38,392,432	2,020,654
	May	20	3,055,380.14	152,769.01	5,135,335.41	256,766.77	42,940,274	2,147,014
	Jun	21	3,049,643.49	145,221.12	4,806,853.95	228,897.81	43,171,983	2,055,809
	Jul	21	3,863,046.39	183,954.59	5,018,600.00	238,980.95	52,848,717	2,516,606
	Aug	22	3,498,432.67	159,019.67	4,800,116.92	218,187.13	51,144,890	2,324,768
	Sep	21	3,199,658.71	152,364.70	4,541,625.39	216,267.88	48,335,946	2,301,712
	Oct	18	2,343,110.60	130,172.81	3,867,633.97	214,868.55	36,870,376	2,048,354
	Nov	22	2,892,053.95	131,457.00	6,016,905.40	273,495.70	45,905,038	2,086,593
	Dec	22	2,586,938.93	117,588.13	5,412,203.86	246,009.27	41,572,847	1,889,675

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value and volume of depositary receipts, 2017-2021

Year/Month		No. of trading days	No. of listed companies	No. of issues	Value (HK$thousand) Total	Value (HK$thousand) Average daily	Volume (thousands of shares) Total	Volume (thousands of shares) Average daily	Deals Total	Deals Average daily
2017		246	2	2	116,360.88	473.01	4,451.21	18.09	3,518	14
2018		246	1	1	108,307.21	440.27	3,092.55	12.57	3,424	14
2019		246	1	1	94,801.47	385.37	2,208.60	8.98	2,947	12
2020		248	1	1	78,866.51	318.01	1,749.21	7.05	2,966	12
2021		247	1	1	59,433.53	240.62	931.12	3.77	2,070	8
2021	Jan	20	1	1	15,963.32	798.17	231.00	11.55	591	30
	Feb	18	1	1	10,510.90	583.94	141.20	7.84	300	17
	Mar	23	1	1	12,031.10	523.09	178.34	7.75	373	16
	Apr	19	1	1	2,194.58	115.50	34.80	1.83	81	4
	May	20	1	1	3,157.37	157.87	50.10	2.51	103	5
	Jun	21	1	1	2,931.56	139.60	50.70	2.41	102	5
	Jul	21	1	1	3,191.67	151.98	58.07	2.77	118	6
	Aug	22	1	1	1,585.70	72.08	30.78	1.40	54	2
	Sep	21	1	1	1,871.58	89.12	34.80	1.66	70	3
	Oct	18	1	1	2,533.92	140.77	50.46	2.80	109	6
	Nov	22	1	1	2,008.99	91.32	39.08	1.78	96	4
	Dec	22	1	1	1,452.86	66.04	31.80	1.45	73	3

Trading value by type of securities, 2021

	Equities		Warrants	ELI	CBBC	Unit trusts	Debt securities	iShare	Market total
Month	Mil of shares	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil
Jan	424,889.06	4,209,957.09	266,783.47	0.00	237,570.38	176,959.99	6,014.96	0.00	4,897,285.89
Feb	419,319.62	3,507,986.59	273,804.95	0.00	220,161.75	185,260.29	7,299.15	0.00	4,194,512.73
Mar	405,684.63	3,739,056.31	352,081.23	0.00	261,158.40	190,248.00	9,889.29	0.00	4,552,433.23
Apr	208,121.80	2,460,864.27	212,077.49	0.00	160,368.63	113,240.21	7,314.35	0.00	2,953,864.96
May	254,806.26	2,534,361.46	211,736.13	0.00	162,071.54	142,921.05	4,289.96	0.00	3,055,380.14
Jun	251,751.25	2,567,515.14	196,892.02	0.00	149,100.91	122,077.51	14,057.91	0.00	3,049,643.49
Jul	257,554.02	3,253,198.18	220,397.37	0.00	169,536.93	210,994.98	8,918.93	0.00	3,863,046.39
Aug	236,926.73	2,923,868.69	206,943.82	0.00	168,053.66	190,762.56	8,803.95	0.00	3,498,432.67
Sep	263,088.73	2,625,859.11	200,108.31	0.00	162,191.24	203,338.17	8,161.88	0.00	3,199,658.71
Oct	186,415.61	1,873,289.47	172,924.21	0.00	132,389.51	157,851.74	6,655.66	0.00	2,343,110.60
Nov	240,047.69	2,282,962.83	230,656.51	0.00	194,682.76	171,625.61	12,126.24	0.00	2,892,053.95
Dec	219,137.06	2,028,859.51	220,580.39	0.00	174,512.37	152,315.10	10,671.57	0.00	2,586,938.93
Total	**3,367,742.46**	**34,007,778.64**	**2,764,985.91**	**0.00**	**2,191,798.09**	**2,017,595.22**	**104,203.84**	**0.00**	**41,086,361.69**

Notes:
1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.

Trading value by Hang Seng Industry Classification System, 2021

		Equity turnover		
Industry Classification *	No. of issue #	HK$mil	% of total	Annual Turnover velocity (%)
Energy	69	892,371.10	2.62	110.64
Materials	127	1,075,075.00	3.16	177.68
Industrials	249	2,200,063.81	6.47	100.56
Consumer Discretionary	533	5,123,764.82	15.07	83.04
Consumer Staples	105	1,106,011.41	3.25	61.95
Healthcare	181	3,270,631.25	9.62	118.04
Telecommunications	14	875,477.02	2.57	67.37
Utilities	77	1,020,310.99	3.00	54.28
Financials	216	4,513,303.29	13.27	60.73
Properties & Construction	461	2,583,933.10	7.60	61.50
Information Technology	175	11,170,896.59	32.85	89.33
Conglomerates	25	175,934.54	0.52	27.93
Sub-total	2,232	34,007,772.91	100.00	80.45
NASDAQ stocks	6	1.31	0.00	n.a.
Others	–	4.42	0.00	n.a.
Equity total	**2,238**	**34,007,778.64**	**100.00**	**n.a.**

Notes:
(1) Equity turnover values have been adjusted for late reported and rejected sales.
(2) Figures may not add up to total due to rounding.
Year-end figures
* Industry Classification is provided by Hang Seng Indexes Company Limited.

20 most active stocks (in dollars)

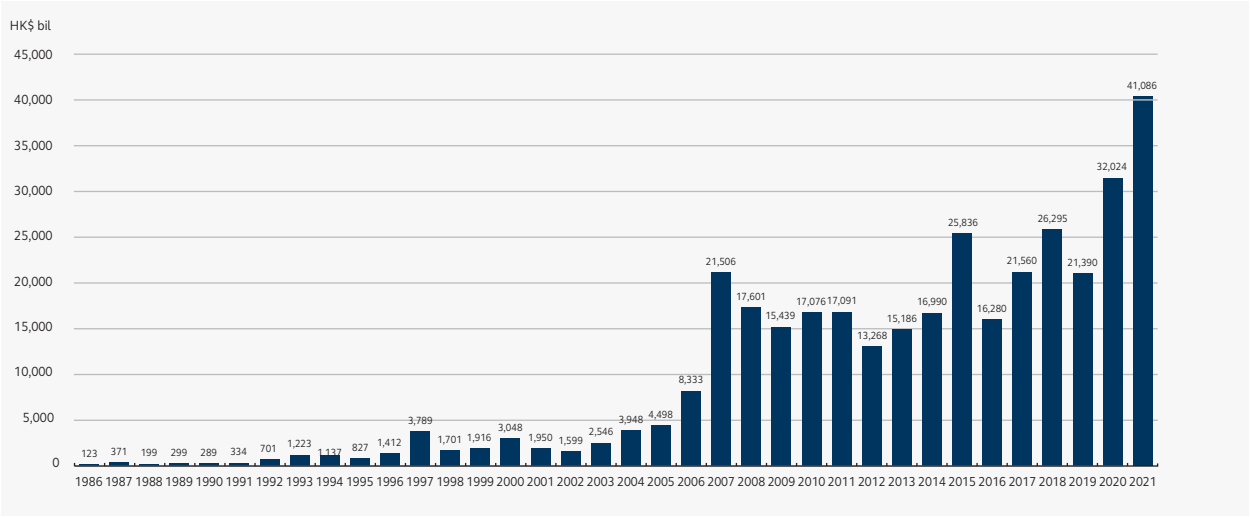
Rank	Stock Code	Stock Name	Turnover (HK$mil)	% of equity total
1	00700	TENCENT	3,531,717.39	10.39
2	03690	MEITUAN-W	1,846,902.88	5.43
3	09988	BABA-SW	1,483,364.27	4.36
4	01810	XIAOMI-W	1,004,379.61	2.95
5	00388	HKEX	642,117.49	1.89
6	02318	PING AN	626,207.65	1.84
7	01211	BYD COMPANY	622,858.41	1.83
8	00941	CHINA MOBILE	555,528.79	1.63
9	02269	WUXI BIO	542,609.85	1.60
10	01024	KUAISHOU-W	510,649.44	1.50
11	01299	AIA	505,005.64	1.48
12	00175	GEELY AUTO	467,412.87	1.37
13	00981	SMIC	444,220.18	1.31
14	09618	JD-SW	421,935.09	1.24
15	00939	CCB	387,305.18	1.14
16	00883	CNOOC	342,817.76	1.01
17	02382	SUNNY OPTICAL	307,474.90	0.90
18	02020	ANTA SPORTS	303,558.13	0.89
19	02331	LI NING	291,212.64	0.86
20	06969	SMOORE INTL	273,596.73	0.80
		Total	**15,110,874.89**	**44.43**
		Equity total	**34,007,778.64**	**100.00**

20 most active stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of equity total
1	03800	GCL-POLY ENERGY	88,493.51	2.63
2	00788	CHINA TOWER	78,940.83	2.34
3	03988	BANK OF CHINA	67,062.69	1.99
4	00939	CCB	65,757.39	1.95
5	01398	ICBC	56,369.17	1.67
6	00493	GOME RETAIL	44,044.62	1.31
7	01141	CMBC CAPITAL	42,825.37	1.27
8	00728	CHINA TELECOM	42,092.15	1.25
9	00883	CNOOC	41,136.48	1.22
10	01810	XIAOMI-W	38,555.81	1.14
11	00451	GCL NEWENERGY	37,673.92	1.12
12	00857	PETROCHINA	37,340.04	1.11
13	00235	CHINA STRATEGIC	31,109.57	0.92
14	01288	ABC	30,659.79	0.91
15	00386	SINOPEC CORP	29,629.71	0.88
16	00136	HENGTEN NET	28,972.76	0.86
17	01250	BE CLEAN ENERGY	28,789.71	0.85
18	00460	SIHUAN PHARM	26,121.08	0.78
19	01076	IMPERIAL PAC	25,561.37	0.76
20	00175	GEELY AUTO	18,514.03	0.55
		Total	**859,649.99**	**25.53**
		Equity total	**3,367,742.46**	**100.00**

Main Board Trading Statistics and Analysis

Trading value, 1986 - 2021



Market capitalisation and trading value of listed companies by incorporation place

End of 2021

Country	No. of listed companies [1]	Market capitalisation (HK$mil) [2]	% of total	Turnover (HK$mil)	% of equity total
Australia	3	23,559.04	0.06	119.92	0.00
Bermuda	456	1,964,519.01	4.65	1,185,357.48	3.49
British Virgin Islands	11	59,837.28	0.14	32,510.19	0.10
Canada	5	9,252.94	0.02	7,172.55	0.02
Cayman Islands	1,234	24,035,065.35	56.86	19,955,465.77	58.68
England	3	1,110,145.31	2.63	265,652.99	0.78
Hong Kong	206	8,126,740.45	19.22	4,600,608.19	13.53
Israel	1	4,423.82	0.01	8,564.04	0.03
Italy	1	127,685.32	0.30	14,169.64	0.04
Japan	3	5,388.21	0.01	728.02	0.00
Jersey	2	7,825.44	0.02	8,441.96	0.02
Luxembourg	2	69,356.38	0.16	27,234.56	0.08
PRC	279	6,515,199.16	15.41	7,860,718.51	23.11
Russia	1	–	–	7,422.23	0.02
Singapore	11	53,418.57	0.13	15,358.41	0.05
USA	1	160,350.10	0.38	18,252.87	0.05
USA – Nasdaq stocks [3]	6	–	–	1.31	0.00
Total	**2,219**	**42,272,766.38**	**100.00**	**34,007,778.64**	**100.00**

Notes:
(1) Total no. of listed companies are excluded the NASDAQ Stocks.
(2) Foreign companies not solely listed in Hong Kong and stock suspended over 1 year are excluded.
(3) NASDAQ stocks are quoted on the Exchange for trading only.

Statistics on Hang Seng Index Stocks, 2021

End of 2021

Sector	Stock Code	Stock Name	Turnover (HK$mil)	% of equity total + REIT total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
Finance (11)									
	00939	CCB	387,305.18	1.14	1,298,253.53	3.07	5.400	-8.32	4.34
	00005	HSBC HOLDINGS	251,815.01	0.74	967,736.05	2.29	46.900	15.09	31.41
	01299	AIA	505,005.64	1.48	950,800.89	2.25	78.600	-17.26	21.22
	00388	HKEX	642,117.49	1.88	577,372.92	1.37	455.400	7.15	49.98
	02318	PING AN	626,207.65	1.84	418,181.44	0.99	56.150	-40.89	5.84
	01398	ICBC	270,379.05	0.79	381,893.80	0.90	4.400	-12.52	4.36
	03968	CM BANK	247,311.66	0.72	277,979.07	0.66	60.550	23.57	13.53
	00011	HANG SENG BANK	56,967.97	0.17	272,819.96	0.65	142.700	6.73	17.07
	02388	BOC HONG KONG	72,660.48	0.21	270,134.54	0.64	25.550	8.72	10.20
	03988	BANK OF CHINA	189,539.25	0.56	234,978.60	0.56	2.810	6.04	3.93
	02628	CHINA LIFE	146,357.54	0.43	96,139.98	0.23	12.920	-24.44	6.17
Utilities (5)									
	00003	HK & CHINA GAS	58,657.91	0.17	226,530.82	0.54	12.140	10.07	37.71
	00002	CLP HOLDINGS	55,636.27	0.16	198,957.98	0.47	78.750	9.83	17.37
	02688	ENN ENERGY	84,231.07	0.25	165,895.39	0.39	146.800	29.30	22.25
	01038	CKI HOLDINGS	16,532.37	0.05	125,098.68	0.30	49.650	19.21	17.09
	00006	POWER ASSETS	30,801.36	0.09	103,725.12	0.25	48.600	15.71	16.92
Properties (12)									
	00016	SHK PPT	101,603.87	0.30	274,130.01	0.65	94.600	-5.40	10.27
	01109	CHINA RES LAND	116,005.75	0.34	233,894.82	0.55	32.800	2.50	6.68
	00960	LONGFOR GROUP	84,151.34	0.25	222,927.18	0.53	36.700	-19.16	9.17
	00688	CHINA OVERSEAS	123,590.66	0.36	202,041.29	0.48	18.460	9.49	3.92
	01113	CK ASSET	86,486.12	0.25	179,082.15	0.42	49.150	23.49	11.12
	00012	HENDERSON LAND	27,224.88	0.08	160,734.05	0.38	33.200	9.75	15.77
	02007	COUNTRY GARDEN	58,894.71	0.17	160,186.87	0.38	6.920	-35.45	3.63
	06098	CG SERVICES	187,586.53	0.55	157,292.46	0.37	46.700	-10.96	40.52
	01997	WHARF REIC	34,564.00	0.10	120,234.60	0.28	39.600	-1.86	–
	00017	NEW WORLD DEV	37,973.86	0.11	77,638.13	0.18	30.850	-14.54	67.02
	00101	HANG LUNG PPT	20,182.51	0.06	72,168.14	0.17	16.040	-21.56	–
	00823	LINK REIT	95,819.91	0.28	n.a.	n.a.	68.650	-2.76	n.a.
Commerce & Industry (36)									
	00700	TENCENT	3,531,717.39	10.35	4,387,017.62	10.38	456.800	-19.01	22.99
	09988	BABA-SW	1,483,364.27	4.35	2,578,621.06	6.10	118.900	-48.88	–
	03690	MEITUAN-W	1,846,902.88	5.41	1,382,894.07	3.27	225.400	-23.49	238.32
	00941	CHINA MOBILE	555,528.79	1.63	958,252.60	2.27	46.800	5.88	7.54
	09618	JD-SW	421,935.09	1.24	857,563.51	2.03	274.000	-19.88	–
	09999	NTES-S	206,247.30	0.60	544,181.00	1.29	157.500	6.71	36.31
	01810	XIAOMI-W	1,004,379.61	2.94	472,317.50	1.12	18.900	-43.07	18.88
	02269	WUXI BIO	542,609.85	1.59	394,152.44	0.93	92.550	-9.97	183.49
	00883	CNOOC	342,817.76	1.00	358,519.07	0.85	8.030	11.84	12.19

Main Board Trading Statistics and Analysis

Sector	Stock Code	Stock Name	Turnover (HK$mil)	% of equity total + REIT total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
	02020	ANTA SPORTS	303,558.13	0.89	316,019.16	0.75	116.900	-4.88	51.65
	01211	BYD COMPANY	622,858.41	1.83	292,726.80	0.69	266.600	31.20	154.34
	00669	TECHTRONIC IND	136,031.51	0.40	284,711.99	0.67	155.200	40.33	45.85
	01876	BUD APAC	43,925.79	0.13	270,827.47	0.64	20.450	-20.12	68.05
	02382	SUNNY OPTICAL	307,474.90	0.90	270,483.14	0.64	246.600	45.32	46.84
	00066	MTR CORPORATION	39,109.47	0.11	259,196.41	0.61	41.850	-3.46	–
	02313	SHENZHOU INTL	147,565.02	0.43	225,333.04	0.53	149.900	-1.38	37.55
	00267	CITIC	39,431.17	0.12	223,995.02	0.53	7.700	40.26	3.96
	02331	LI NING	291,212.64	0.85	223,322.19	0.53	85.350	60.13	104.39
	00175	GEELY AUTO	467,412.87	1.37	213,392.80	0.50	21.300	-19.62	32.21
	00291	CHINA RES BEER	142,181.84	0.42	207,140.70	0.49	63.850	-10.57	83.78
	00001	CKH HOLDINGS	80,715.73	0.24	192,946.63	0.46	50.300	-7.02	6.66
	00027	GALAXY ENT	190,353.22	0.56	176,125.96	0.42	40.400	-32.95	–
	02319	MENGNIU DAIRY	162,916.83	0.48	174,730.52	0.41	44.200	-5.56	41.78
	01928	SANDS CHINA LTD	108,157.45	0.32	146,972.31	0.35	18.160	-46.67	–
	00762	CHINA UNICOM	80,049.28	0.23	119,332.68	0.28	3.900	-12.36	8.09
	00968	XINYI SOLAR	193,609.67	0.57	117,529.38	0.28	13.220	-34.72	23.86
	01177	SINO BIOPHARM	120,037.72	0.35	102,864.18	0.24	5.460	-27.20	31.38
	01093	CSPC PHARMA	115,298.74	0.34	101,216.33	0.24	8.470	6.81	16.63
	06862	HAIDILAO	142,274.67	0.42	98,102.40	0.23	17.600	-70.52	255.07
	00386	SINOPEC CORP	117,120.00	0.34	92,613.78	0.22	3.630	4.61	11.37
	00241	ALI HEALTH	150,722.36	0.44	88,900.21	0.21	6.590	-71.22	209.21
	00868	XINYI GLASS	72,107.06	0.21	78,528.83	0.19	19.500	-9.93	12.23
	00857	PETROCHINA	120,456.11	0.35	73,213.18	0.17	3.470	44.58	28.37
	00288	WH GROUP	58,986.46	0.17	62,739.77	0.15	4.890	-24.77	11.24
	01044	HENGAN INT'L	37,655.07	0.11	46,659.15	0.11	40.150	-26.87	8.80
	02018	AAC TECH	89,628.46	0.26	37,221.80	0.09	30.800	-29.03	20.97
	Total (64)		18,931,963.55	55.49	24,857,193.16	58.80			
	Total **		**34,118,814.61**	**100.00**	**42,272,766.38**	**100.00**			

Notes:

* As compared with previous year.

** Total Turnover is the sum of equity total and REIT total whereas Total Market capitalisation includes equities only.

( ) The number of constituent stocks.

Statistics on Hang Seng China Enterprises Index stocks, 2021

End of 2021

Stock Code	Stock Name	Turnover (HK$mil)	% of equity total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
00700	TENCENT	3,531,717.39	10.39	4,387,017.62	10.38	456.800	-19.01	22.99
09988	BABA-SW	1,483,364.27	4.36	2,578,621.06	6.10	118.900	-48.88	–
03690	MEITUAN-W	1,846,902.88	5.43	1,382,894.07	3.27	225.400	-23.49	238.32
00939	CCB	387,305.18	1.14	1,298,253.53	3.07	5.400	-8.32	4.34
00941	CHINA MOBILE	555,528.79	1.63	958,252.60	2.27	46.800	5.88	7.54
09618	JD-SW	421,935.09	1.24	857,563.51	2.03	274.000	-19.88	–
09999	NTES-S	206,247.30	0.61	544,181.00	1.29	157.500	6.71	36.31
01810	XIAOMI-W	1,004,379.61	2.95	472,317.50	1.12	18.900	-43.07	18.88
02318	PING AN	626,207.65	1.84	418,181.44	0.99	56.150	-40.89	5.84
09888	BIDU-SW	134,858.84	0.40	409,050.46	0.97	144.600	n.a.	–
01398	ICBC	270,379.05	0.80	381,893.80	0.90	4.400	-12.52	4.36
00883	CNOOC	342,817.76	1.01	358,519.07	0.85	8.030	11.84	12.19
02020	ANTA SPORTS	303,558.13	0.89	316,019.16	0.75	116.900	-4.88	51.65
01024	KUAISHOU-W	510,649.44	1.50	303,195.84	0.72	72.050	n.a.	–
01211	BYD COMPANY	622,858.41	1.83	292,726.80	0.69	266.600	31.20	154.34
03968	CM BANK	247,311.66	0.73	277,979.07	0.66	60.550	23.57	13.53
02382	SUNNY OPTICAL	307,474.90	0.90	270,483.14	0.64	246.600	45.32	46.84
09633	NONGFU SPRING	106,278.87	0.31	259,033.59	0.61	51.450	-6.28	90.50
03988	BANK OF CHINA	189,539.25	0.56	234,978.60	0.56	2.810	6.04	3.93
01109	CHINA RES LAND	116,005.75	0.34	233,894.82	0.55	32.800	2.50	6.68
02313	SHENZHOU INTL	147,565.02	0.43	225,333.04	0.53	149.900	-1.38	37.55
00267	CITIC	39,431.17	0.12	223,995.02	0.53	7.700	40.26	3.96
02331	LI NING	291,212.64	0.86	223,322.19	0.53	85.350	60.13	104.39
00960	LONGFOR GROUP	84,151.34	0.25	222,927.18	0.53	36.700	-19.16	9.17
00175	GEELY AUTO	467,412.87	1.37	213,392.80	0.50	21.300	-19.62	32.21
00291	CHINA RES BEER	142,181.84	0.42	207,140.70	0.49	63.850	-10.57	83.78
00688	CHINA OVERSEAS	123,590.66	0.36	202,041.29	0.48	18.460	9.49	3.92
06618	JD HEALTH	171,621.05	0.50	196,309.22	0.46	61.450	-59.03	–
02319	MENGNIU DAIRY	162,916.83	0.48	174,730.52	0.41	44.200	-5.56	41.78
02688	ENN ENERGY	84,231.07	0.25	165,895.39	0.39	146.800	29.30	22.25
03328	BANKCOMM	30,121.03	0.09	164,905.87	0.39	4.710	14.88	4.05
02618	JD LOGISTICS	42,169.22	0.12	163,547.80	0.39	26.450	n.a.	–
02007	COUNTRY GARDEN	58,894.71	0.17	160,186.87	0.38	6.920	-35.45	3.63
06098	CG SERVICES	187,586.53	0.55	157,292.46	0.37	46.700	-10.96	40.52
00981	SMIC	444,220.18	1.31	147,483.61	0.35	18.660	-15.57	21.90
03692	HANSOH PHARMA	29,454.35	0.09	112,524.65	0.27	19.000	-49.47	37.12
01658	PSBC	90,483.02	0.27	108,613.23	0.26	5.470	24.89	6.56
01177	SINO BIOPHARM	120,037.72	0.35	102,864.18	0.24	5.460	-27.20	31.38
01093	CSPC PHARMA	115,298.74	0.34	101,216.33	0.24	8.470	6.81	16.63
06862	HAIDILAO	142,274.67	0.42	98,102.40	0.23	17.600	-70.52	255.07
02628	CHINA LIFE	146,357.54	0.43	96,139.98	0.23	12.920	-24.44	6.17
06186	CHINA FEIHE	120,475.97	0.35	93,253.40	0.22	10.460	-42.40	10.64
00386	SINOPEC CORP	117,120.00	0.34	92,613.78	0.22	3.630	4.61	11.37
00384	CHINA GAS HOLD	81,577.58	0.24	89,679.87	0.21	16.200	-47.40	8.06
00241	ALI HEALTH	150,722.36	0.44	88,900.21	0.21	6.590	-71.22	209.21
01288	ABC	87,498.07	0.26	82,380.05	0.19	2.680	-5.63	3.88
01801	INNOVENT BIO	138,693.23	0.41	70,532.50	0.17	48.250	-41.19	–
01918	SUNAC	165,843.88	0.49	58,863.29	0.14	11.780	-58.88	1.28
02601	CPIC	98,265.21	0.29	58,697.60	0.14	21.150	-30.02	6.85
06666	EVERG SERVICES	68,906.26	0.20	28,540.54	0.07	2.640	-70.47	8.49
		17,365,634.97	51.06	20,366,482.62	48.18			
Equity Total		**34,007,778.64**	**100.00**	**42,272,766.38**	**100.00**			

* As compared with previous year.

Statistics on Hang Seng China-Affiliated Corporations Index stocks, 2021

End of 2021

Stock code	Stock name	Turnover (HK$mil)	% of equity total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
00941	CHINA MOBILE	555,528.79	1.63	958,252.60	2.27	46.800	5.88	7.54
00883	CNOOC	342,817.76	1.01	358,519.07	0.85	8.030	11.84	12.19
01109	CHINA RES LAND	116,005.75	0.34	233,894.82	0.55	32.800	2.50	6.68
00267	CITIC	39,431.17	0.12	223,995.02	0.53	7.700	40.26	3.96
00291	CHINA RES BEER	142,181.84	0.42	207,140.70	0.49	63.850	-10.57	83.78
00688	CHINA OVERSEAS	123,590.66	0.36	202,041.29	0.48	18.460	9.49	3.92
02319	MENGNIU DAIRY	162,916.83	0.48	174,730.52	0.41	44.200	-5.56	41.78
00836	CHINA RES POWER	89,115.83	0.26	125,552.58	0.30	26.100	212.57	16.56
00762	CHINA UNICOM	80,049.28	0.24	119,332.68	0.28	3.900	-12.36	8.09
01193	CHINA RES GAS	31,297.40	0.09	101,932.27	0.24	44.050	6.79	19.09
00270	GUANGDONG INV	30,938.18	0.09	64,789.81	0.15	9.910	-29.01	14.37
00135	KUNLUN ENERGY	37,861.23	0.11	63,295.84	0.15	7.310	53.64	8.84
00144	CHINA MER PORT	15,325.53	0.05	53,755.80	0.13	14.200	49.63	9.71
03311	CHINA STATE CON	14,064.51	0.04	48,814.51	0.12	9.690	119.23	8.13
01313	CHINARES CEMENT	30,755.39	0.09	41,129.50	0.10	5.890	-31.99	4.59
00966	CHINA TAIPING	33,840.40	0.10	38,456.00	0.09	10.700	-23.46	5.87
00257	EB ENVIRONMENT	26,483.66	0.08	38,455.03	0.09	6.260	42.92	6.39
01114	BRILLIANCE CHI	8,730.72	0.03	36,830.47	0.09	7.300	3.40	4.97
00392	BEIJING ENT	13,042.58	0.04	33,949.23	0.08	26.900	6.32	6.42
03808	SINOTRUK	16,251.13	0.05	33,131.92	0.08	12.000	-39.39	4.13
00371	BJ ENT WATER	10,689.25	0.03	30,711.54	0.07	3.030	-2.88	7.29
00817	CHINA JINMAO	18,831.45	0.06	30,577.28	0.07	2.410	-32.49	6.41
03320	CHINARES PHARMA	10,177.04	0.03	22,302.91	0.05	3.550	-10.80	6.76
00123	YUEXIU PROPERTY	6,960.32	0.02	21,272.65	0.05	6.870	-11.92	4.24
00152	SHENZHEN INT'L	9,249.66	0.03	18,360.39	0.04	8.100	-31.04	4.41
00165	CHINA EB LTD	7,656.22	0.02	15,706.56	0.04	9.320	-10.21	6.94
		1,973,792.59	5.80	3,296,930.99	7.80			
Equity Total		**34,007,778.64**	**100.00**	**42,272,766.38**	**100.00**			

* As compared with previous year.

Statistics on China enterprises (H shares), 2017-2021

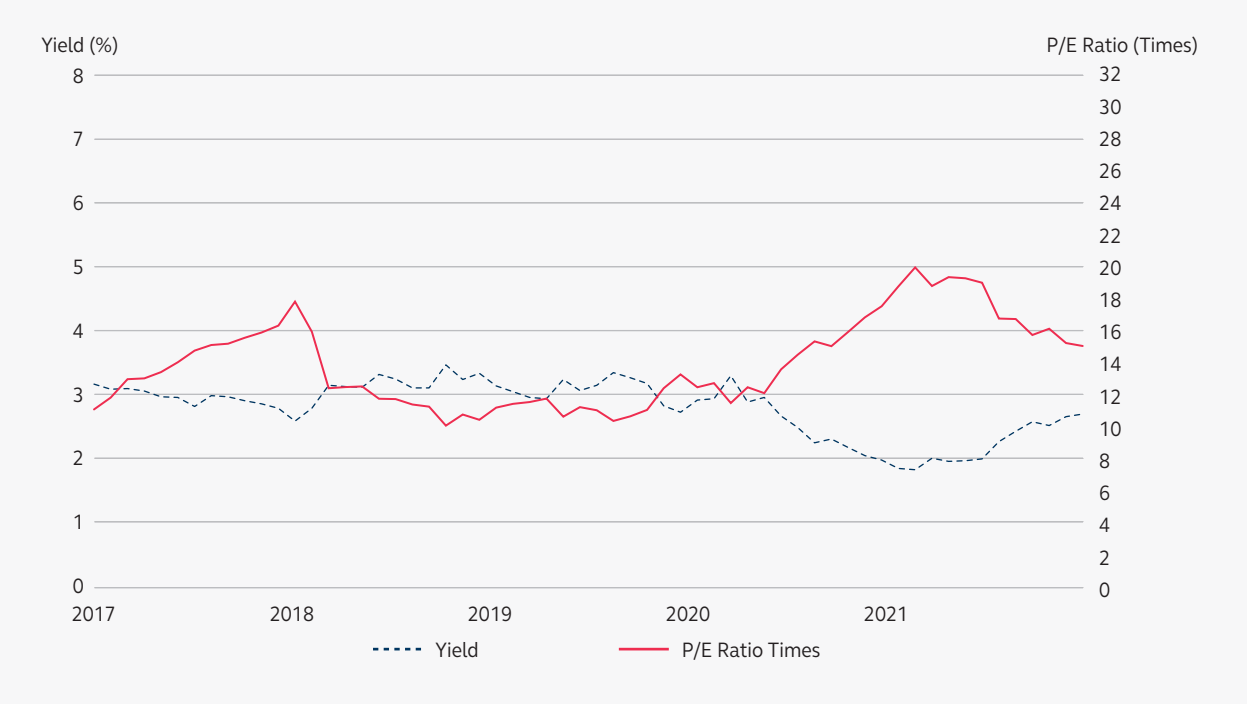
			Turnover				Market capitalisation	
Year/Month		No. of issues	Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2017		228	760,526.96	20.91	5,571,571.42	34.71	6,758,944.23	20.05
2018		243	853,428.61	29.07	6,636,842.92	34.33	5,937,289.44	19.98
2019		262	756,694.68	28.91	4,994,786.05	32.12	6,423,518.74	16.88
2020		272	910,286.49	29.38	6,185,457.07	24.14	6,702,123.66	14.14
2021		279	958,608.82	28.46	7,851,936.08	23.09	6,515,199.16	15.41
2021	Jan	272	125,821.24	29.61	978,370.26	23.24	6,853,185.62	13.56
	Feb	273	96,633.53	23.05	784,067.80	22.35	7,076,874.09	13.41
	Mar	273	115,511.04	28.47	841,284.23	22.50	7,088,688.00	13.65
	Apr	273	65,476.86	31.46	546,642.09	22.21	6,968,959.09	13.10
	May	273	74,001.57	29.04	637,572.46	25.16	7,240,981.16	13.61
	Jun	273	72,406.05	28.76	618,859.51	24.10	6,982,905.26	13.25
	Jul	273	76,542.54	29.72	698,959.05	21.49	6,494,923.53	13.96
	Aug	272	71,998.43	30.39	659,776.11	22.57	6,658,581.87	14.27
	Sep	271	87,139.04	33.12	675,651.26	25.73	6,536,039.07	14.83
	Oct	272	54,887.56	29.44	457,608.12	24.43	6,490,009.51	14.38
	Nov	275	57,245.49	23.85	479,996.91	21.03	6,340,106.38	14.83
	Dec	279	60,945.48	27.81	473,148.29	23.32	6,515,199.16	15.41

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on Red chips stocks, 2017-2021

			Turnover				Market capitalisation	
Year/Month		No. of issues	Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2017		153	280,803.63	7.72	1,915,957.59	11.93	5,726,457.33	16.98
2018		159	260,101.99	8.86	2,168,499.87	11.22	5,374,871.03	18.08
2019		168	225,788.40	8.63	1,756,638.29	11.30	5,443,942.64	14.30
2020		172	325,165.55	10.49	2,634,680.55	10.28	4,505,415.11	9.51
2021		170	386,306.70	11.47	3,342,864.12	9.83	4,922,477.61	11.64
2021	Jan	173	64,189.29	15.11	650,334.05	15.45	4,693,830.64	9.29
	Feb	173	43,755.53	10.43	379,988.02	10.83	5,129,688.53	9.72
	Mar	172	42,395.67	10.45	376,914.30	10.08	5,097,698.69	9.82
	Apr	172	20,819.05	10.00	189,662.45	7.71	5,141,952.56	9.66
	May	172	24,148.05	9.48	220,869.44	8.71	5,090,566.89	9.57
	Jun	172	24,539.95	9.75	211,622.94	8.24	5,032,714.97	9.55
	Jul	172	28,318.56	11.00	255,352.31	7.85	4,747,540.64	10.21
	Aug	171	32,225.78	13.60	277,712.15	9.50	4,981,869.28	10.68
	Sep	171	34,507.50	13.12	241,086.72	9.18	4,937,221.10	11.21
	Oct	170	23,783.22	12.76	173,605.64	9.27	4,892,614.90	10.84
	Nov	170	23,398.11	9.75	184,991.76	8.10	4,736,270.01	11.08
	Dec	170	24,225.98	11.06	180,724.34	8.91	4,922,477.61	11.64

Average dividend yields and average price earnings ratios movement, 2017 - 2021



Average dividend yields and average price earning ratios of HSI, HSCEI and HSCCI stocks*, 2017–2021

		Average dividend yields (%)			Average price earning ratios (Times)		
Year/Month		HSI	HSCEI	HSCCI	HSI	HSCEI	HSCCI
2017		2.98	3.47	2.35	16.01	9.72	16.23
2018		4.10	4.51	3.61	10.81	8.95	11.64
2019		3.52	3.43	3.38	11.25	9.53	10.51
2020		2.90	2.90	4.22	13.96	10.96	8.20
2021		2.42	2.71	5.71	15.47	12.88	8.76
2021	Jan	2.78	2.76	4.14	14.55	11.23	8.36
	Feb	2.49	2.78	3.94	15.55	11.24	8.67
	Mar	2.47	2.58	5.21	16.52	17.97	8.79
	Apr	2.42	2.59	5.32	17.08	11.84	9.03
	May	2.40	2.60	5.25	17.34	13.50	9.14
	Jun	2.26	2.40	5.58	17.64	14.26	8.70
	Jul	2.43	2.67	6.19	16.06	12.56	8.09
	Aug	2.44	2.69	5.67	16.01	12.49	8.83
	Sep	2.42	2.70	5.58	15.96	12.46	8.94
	Oct	2.34	2.63	5.71	16.48	12.80	8.74
	Nov	2.53	2.81	5.90	15.25	11.97	8.46
	Dec	2.42	2.71	5.71	15.47	12.88	8.76

* Month-end or year-end figures.

Average dividend yields of all listed stocks by Hang Seng Industry Classification System*, 2017–2021

(%)

Year/ Month		All listed stocks	Energy	Materials	Industrials	Consumer Discretionary^	Consumer Staples^	Healthcare^	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods^	Consumer Services^
2017		2.79	4.01	2.27	2.57	n.a.	n.a.	n.a.	6.20	3.91	3.34	2.86	0.40	3.14	2.16	1.99
2018		3.34	5.39	3.47	3.43	n.a.	n.a.	n.a.	3.96	3.83	4.41	3.94	0.58	3.57	2.62	2.28
2019		2.73	5.77	3.51	3.85	2.54	1.69	0.80	4.33	3.16	4.13	4.10	0.23	4.30	n.a.	n.a.
2020		1.98	7.44	2.35	2.51	1.17	1.85	0.45	6.62	3.64	3.51	4.27	0.17	5.32	n.a.	n.a.
2021		2.70	6.74	3.51	4.62	1.19	2.48	0.58	7.27	4.57	4.60	5.74	0.29	5.36	n.a.	n.a.
2021	Jan	1.85	7.16	2.30	2.37	1.15	1.76	0.41	6.33	3.67	3.45	4.17	0.15	5.13	n.a.	n.a.
	Feb	1.83	6.13	2.04	2.97	0.95	1.97	0.40	5.66	3.54	3.62	3.80	0.15	4.74	n.a.	n.a.
	Mar	2.01	5.51	2.81	3.74	1.00	2.24	0.43	6.09	4.96	3.77	3.97	0.19	4.37	n.a.	n.a.
	Apr	1.96	5.59	2.58	3.59	0.94	2.09	0.38	5.97	4.99	3.81	3.97	0.18	4.12	n.a.	n.a.
	May	1.97	5.49	2.51	3.20	0.95	1.98	0.38	6.43	4.87	3.74	3.97	0.20	4.02	n.a.	n.a.
	Jun	2.00	5.45	2.56	3.01	0.99	1.98	0.37	6.38	4.92	3.99	4.15	0.20	4.26	n.a.	n.a.
	Jul	2.27	6.07	2.35	3.32	1.07	2.22	0.44	6.52	4.80	4.25	4.78	0.24	4.45	n.a.	n.a.
	Aug	2.43	6.77	2.63	4.01	1.08	2.45	0.45	7.12	4.71	4.45	4.87	0.25	4.56	n.a.	n.a.
	Sep	2.58	6.34	3.12	4.53	1.20	2.51	0.47	7.20	4.71	4.55	5.15	0.27	5.09	n.a.	n.a.
	Oct	2.52	6.55	3.18	4.59	1.11	2.44	0.51	7.03	4.79	4.50	5.28	0.25	5.17	n.a.	n.a.
	Nov	2.66	7.17	3.43	4.54	1.14	2.51	0.53	7.25	4.95	4.77	5.65	0.28	5.60	n.a.	n.a.
	Dec	2.70	6.74	3.51	4.62	1.19	2.48	0.58	7.27	4.57	4.60	5.74	0.29	5.36	n.a.	n.a.

Note: Month-end or year-end figures.

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Average price earnings ratios of all listed stocks by Hang Seng Industry Classification System*, 2017–2021

(Times)

Year/ Month		All listed stocks	Energy	Materials	Industrials	Consumer Discretionary^	Consumer Staples^	Healthcare^	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods^	Consumer Services^
2017		16.34	29.02	15.22	17.17	n.a.	n.a.	n.a.	15.74	16.76	12.42	11.35	56.74	9.99	23.60	25.29
2018		10.45	12.96	6.90	10.05	n.a.	n.a.	n.a.	12.80	15.22	8.29	6.37	30.32	6.90	15.03	19.12
2019		13.28	9.07	6.87	11.82	17.12	28.52	31.04	11.31	14.74	9.46	7.34	61.20	6.10	n.a.	n.a.
2020		17.55	5.77	11.67	15.18	30.65	30.99	81.54	8.88	14.15	8.53	8.09	102.61	4.75	n.a.	n.a.
2021		15.06	12.42	10.02	16.56	41.46	21.60	52.91	8.35	15.54	7.96	6.41	41.64	6.32	n.a.	n.a.
2021	Jan	18.79	5.99	11.96	16.06	31.51	32.38	90.18	9.29	14.03	8.68	8.43	115.57	4.92	n.a.	n.a.
	Feb	19.98	6.99	15.57	16.60	37.18	30.60	93.12	10.45	13.73	9.43	9.27	108.63	5.33	n.a.	n.a.
	Mar	18.82	11.66	12.15	15.34	43.81	22.57	77.25	9.18	14.00	9.16	9.14	62.35	7.13	n.a.	n.a.
	Apr	19.37	12.06	13.05	15.47	49.86	24.36	82.93	9.35	14.15	9.10	9.14	62.67	7.56	n.a.	n.a.
	May	19.30	12.27	13.47	17.38	49.63	25.34	81.70	8.71	14.49	9.30	9.16	59.81	7.75	n.a.	n.a.
	Jun	19.02	12.70	11.68	18.41	47.37	24.01	85.65	8.77	14.19	8.73	8.83	59.64	7.33	n.a.	n.a.
	Jul	16.78	11.54	12.68	16.79	44.00	21.44	71.16	8.67	14.54	8.21	7.69	48.67	7.02	n.a.	n.a.
	Aug	16.75	12.35	13.83	18.36	46.80	21.57	65.20	8.54	15.10	8.20	7.64	47.22	7.42	n.a.	n.a.
	Sep	15.75	13.19	11.27	16.39	42.27	21.23	64.01	8.44	15.09	8.03	7.16	43.71	6.70	n.a.	n.a.
	Oct	16.14	12.77	11.05	16.25	44.09	21.86	59.38	8.63	14.83	8.14	6.99	47.35	6.55	n.a.	n.a.
	Nov	15.25	11.67	10.27	16.42	43.11	21.24	58.33	8.38	14.34	7.67	6.50	43.22	6.04	n.a.	n.a.
	Dec	15.06	12.42	10.02	16.56	41.46	21.60	52.91	8.35	15.54	7.96	6.41	41.64	6.32	n.a.	n.a.

Note: Month-end or year-end figures.

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New Hang Seng Industry Classification System took effect on 9 September 2013.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Cash dividend payout by Hang Seng Industry Classification System*, 2017 – 2021

Year/Month		No. of companies declared	No. of companies paid	Energy (HK$mil)	Materials (HK$mil)	Industrials (HK$mil)	Consumer Goods^ (HK$mil)	Consumer Services^ (HK$mil)	Consumer Discretionary^ (HK$mil)	Consumer Staples^ (HK$mil)	Healthcare^ (HK$mil)	Telecommunications (HK$mil)	Utilities (HK$mil)	Financials (HK$mil)	Properties & Construction (HK$mil)	Information Technology (HK$mil)	Conglomerates (HK$mil)	Total dividend payout (HK$mil)
2017		1,709	849	45,146.40	8,753.74	32,579.36	65,928.51	41,857.75	n.a.	n.a.	n.a.	135,050.53	74,538.82	315,143.80	147,708.02	17,037.87	34,520.12	918,264.92
2018		1,829	890	59,562.85	20,216.91	35,532.14	74,040.52	33,715.27	n.a.	n.a.	n.a.	82,857.03	64,530.32	358,476.51	188,247.61	23,105.05	37,406.55	977,690.77
2019		1,947	973	58,428.68	17,831.84	35,307.46	52,958.34	23,192.12	27,915.68	6,741.71	3,580.72	80,386.98	55,370.56	353,092.90	196,244.55	21,968.97	37,968.48	970,989.01
2020		2,090	913	52,659.10	13,445.55	43,342.80	n.a.	n.a.	61,846.49	26,244.64	13,748.83	84,345.24	58,399.99	279,519.64	235,055.26	26,528.95	32,144.31	927,280.79
2021		2,156	933	54,277.94	20,577.67	101,256.50	n.a.	n.a.	66,836.62	24,140.98	17,955.13	94,474.15	86,119.80	341,844.06	234,701.16	34,608.88	33,824.87	1,110,617.77
2021	Jan	20	52	-	1,177.75	6,591.45	n.a.	n.a.	1,163.11	50.20	226.69	498.41	758.97	11.14	5,149.47	227.22	-	15,854.39
	Feb	132	11	245.10	58.80	363.26	n.a.	n.a.	594.70	-	2,474.07	-	-	-	202.92	-	-	3,938.85
	Mar	1,572	19	-	-	7.20	n.a.	n.a.	1,747.25	36.50	10.00	161.09	3,057.01	11,018.50	4,976.67	436.06	-	21,450.28
	Apr	46	27	-	7.38	3,903.45	n.a.	n.a.	541.17	-	7.75	-	1,421.74	25,585.21	5,836.13	640.50	1,134.23	39,077.57
	May	44	46	-	1,644.00	2,305.12	n.a.	n.a.	2,487.86	472.96	835.57	41,681.05	322.45	16,336.99	10,452.02	177.61	1,501.58	78,217.21
	Jun	318	262	6,671.36	6,015.38	17,423.49	n.a.	n.a.	14,208.54	7,033.91	4,208.09	9,819.48	22,044.06	51,810.22	26,934.82	19,242.41	7,120.62	192,532.40
	Jul	37	304	14,931.48	4,318.10	14,254.88	n.a.	n.a.	15,251.93	4,825.95	2,916.63	-	35,197.83	54,753.95	74,398.15	3,447.63	1,935.26	226,231.80
	Aug	1,642	129	9,588.59	285.86	4,750.94	n.a.	n.a.	6,524.94	2,880.16	2,186.49	-	4,280.48	137,905.84	31,884.13	4,469.70	11,287.02	216,044.15
	Sep	86	208	4,853.68	1,799.16	11,970.62	n.a.	n.a.	11,682.79	5,799.72	2,197.49	42,104.77	10,649.88	30,893.30	14,264.90	1,913.20	3,203.54	141,333.05
	Oct	31	165	17,923.20	807.76	37,726.96	n.a.	n.a.	4,981.43	2,898.78	2,367.76	37.14	5,859.72	10,638.47	22,082.48	933.63	2,060.45	108,317.78
	Nov	344	42	64.52	4,118.56	1,422.64	n.a.	n.a.	2,032.55	47.50	455.81	172.20	915.29	2,201.28	22,640.84	453.62	4,363.54	38,888.35
	Dec	33	78	-	344.92	536.50	n.a.	n.a.	5,620.36	95.31	68.76	-	1,612.35	689.16	15,878.64	2,667.30	1,218.63	28,731.93

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' and 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries.

Short selling transactions by designated stock, 2021

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01361	361 DEGREES	52,793,000	1,370,319,732	3.85	192,946,470	4,380,145,651	4.41
01530	3SBIO	501,975,500	3,965,642,392	12.66	4,195,303,695	33,242,372,262	12.62
03071	A CICC HKD	250	2,193	11.40	250,375	2,196,461	11.40
03051	A GXASINNOVATOR	12,800	47,950	26.69	779,290	2,871,093	27.14
03058	A GXCNINNOVATOR	1,687,550	4,559,753	37.01	102,629,106	273,953,371	37.46
03011	A ICBCCICCUSD	85	794	10.71	678,144	6,334,318	10.71
03091	A NIKKOAMGAME	20,680	215,123	9.61	2,139,822	22,899,785	9.34
09091	A NIKKOAMGAME-U	37,790	311,470	12.13	3,840,809	33,435,631	11.49
02018	AAC TECH	359,142,000	2,063,474,909	17.40	15,343,804,925	89,628,457,802	17.12
01288	ABC	5,534,706,000	30,659,786,001	18.05	15,858,839,450	87,498,074,356	18.12
02821	ABF PAIF	7,930	524,315	1.51	7,537,308	492,885,137	1.53
03383	AGILE GROUP	264,490,000	1,337,921,771	19.77	1,871,110,180	10,853,053,934	17.24
01299	AIA	1,018,431,000	5,370,982,892	18.96	96,842,043,960	505,005,644,211	19.18
00286	AIDIGONG	339,854,000	8,711,792,315	3.90	359,172,600	8,403,178,097	4.27
00753	AIR CHINA	527,584,000	3,849,474,405	13.71	3,029,635,540	22,489,639,537	13.47
01789	AK MEDICAL	360,164,000	1,867,703,226	19.28	4,002,488,340	20,719,787,646	19.32
09926	AKESO-B	108,633,000	1,054,470,339	10.30	5,104,857,750	51,817,948,490	9.85
00241	ALI HEALTH	2,114,294,000	9,374,690,416	22.55	30,986,648,980	150,722,355,416	20.56
01060	ALI PICTURES	1,422,710,000	14,091,511,647	10.10	1,430,570,000	14,380,464,362	9.95
03319	A-LIVING	262,283,250	1,270,560,908	20.64	7,935,986,232	38,156,154,440	20.80
00373	ALLIED GROUP	668,000	63,964,040	1.04	2,142,100	203,101,299	1.05
09966	ALPHAMAB-B	115,860,000	1,184,940,946	9.78	2,212,877,110	22,065,481,996	10.03
02843	AMUNDI A50	8,000	2,469,556	0.32	170,418	50,837,534	0.34
82843	AMUNDI A50-R	3,000	332,580	0.90	57,739	6,644,111	0.87
83012	AMUNDI HK35-R	209,000	2,126,500	9.83	4,704,171	46,655,264	10.08
00347	ANGANG STEEL	718,266,000	5,633,862,057	12.75	3,475,270,240	26,313,641,061	13.21
00995	ANHUIEXPRESSWAY	18,160,000	338,918,178	5.36	95,526,000	1,767,655,891	5.40
02020	ANTA SPORTS	317,330,600	2,148,107,366	14.77	45,314,931,320	303,558,127,760	14.93
06996	ANTENGENE-B	16,598,000	275,574,159	6.02	216,789,860	4,375,046,314	4.96
03337	ANTON OILFIELD	6,368,000	2,114,123,774	0.30	3,129,460	1,123,300,974	0.28
03662	AOYUAN HEALTHY	48,894,000	844,873,640	5.79	229,264,960	4,080,627,889	5.62
00860	APOLLO FMG	315,500,000	6,128,335,381	5.15	177,376,820	3,296,715,434	5.38
09990	ARCHOSAUR GAMES	62,306,000	434,971,534	14.32	827,010,620	6,133,867,042	13.48
06855	ASCENTAGE-B	43,440,100	471,780,336	9.21	1,782,913,285	19,338,088,148	9.22
01672	ASCLETIS-B	26,064,000	394,601,469	6.61	80,368,620	1,261,838,633	6.37
00743	ASIA CEMENT CH	50,315,000	404,871,551	12.43	307,765,965	2,607,079,971	11.81
00662	ASIA FINANCIAL	2,000	10,505,845	0.02	7,040	38,834,490	0.02
01675	ASIAINFO TECH	12,103,600	173,033,506	6.99	150,177,248	2,157,875,763	6.96
00522	ASM PACIFIC	72,867,000	548,070,024	13.30	7,416,267,060	56,269,498,566	13.18
01717	AUSNUTRIA	124,760,000	896,058,135	13.92	1,322,320,470	9,018,601,720	14.66
06118	AUSTAR	1,980,000	215,241,670	0.92	32,313,420	3,512,050,173	0.92
02518	AUTOHOME-S	1,825,600	21,315,973	8.56	170,049,715	3,025,741,183	5.62
02357	AVICHINA	483,636,000	7,462,877,817	6.48	2,739,449,630	43,266,771,493	6.33
09988	BABA-SW	1,706,874,600	7,840,796,231	21.77	317,535,891,610	1,483,364,273,901	21.41
01958	BAIC MOTOR	500,636,000	4,287,728,316	11.68	1,428,262,220	12,569,016,294	11.36

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
02100	BAIOO	67,716,000	3,540,758,852	1.91	109,834,280	6,440,966,817	1.71
06608	BAIRONG-W	3,302,500	188,903,301	1.75	38,378,565	3,911,554,858	0.98
00874	BAIYUNSHAN PH	24,662,000	316,574,640	7.79	511,307,540	6,672,347,321	7.66
00030	BAN LOONG HOLD	29,684,000	4,192,555,113	0.71	11,402,838	1,721,142,283	0.66
03988	BANK OF CHINA	18,931,339,000	67,062,690,712	28.23	53,651,610,470	189,539,246,792	28.31
00023	BANK OF E ASIA	121,166,200	581,817,269	20.83	1,675,703,276	8,127,641,477	20.62
02139	BANK OF GANSU	3,265,000	246,851,074	1.32	4,351,750	292,233,237	1.49
06199	BANK OF GUIZHOU	6,000	358,723,039	0.00	15,520	900,655,521	0.00
03328	BANKCOMM	1,551,162,000	6,422,698,270	24.15	7,317,151,540	30,121,034,943	24.29
00416	BANKOFJINZHOU	23,000	1,489,047	1.54	48,370	3,043,569	1.59
06196	BANKOFZHENGZHOU	3,842,000	669,394,004	0.57	7,517,730	1,171,359,024	0.64
09991	BAOZUN-SW	2,757,500	33,207,479	8.30	204,403,175	2,746,000,305	7.44
00737	BAY AREA DEV	817,500	61,166,120	1.34	2,507,940	185,044,733	1.36
02009	BBMG	217,244,000	2,941,353,212	7.39	320,986,960	4,375,704,899	7.34
00863	BC TECH GROUP	22,703,500	269,237,406	8.43	366,612,690	4,368,920,490	8.39
01963	BCQ	11,682,500	296,029,708	3.95	57,956,525	1,469,859,913	3.94
01250	BE CLEAN ENERGY	813,580,000	28,789,707,735	2.83	80,651,060	3,154,034,739	2.56
06160	BEIGENE	41,369,000	178,562,860	23.17	8,425,373,270	37,047,404,081	22.74
00694	BEIJING AIRPORT	511,178,000	2,367,488,376	21.59	2,771,568,800	12,953,770,301	21.40
00392	BEIJING ENT	40,165,500	480,350,475	8.36	1,079,870,500	13,042,582,185	8.28
00588	BEIJING N STAR	12,090,000	463,450,220	2.61	17,281,740	643,024,129	2.69
01987	BENG SOON MACH	8,860,000	1,677,889,539	0.53	22,770,560	4,275,304,087	0.53
03978	BESTSTUDY EDU	992,000	300,209,622	0.33	2,697,250	300,622,391	0.90
09888	BIDU-SW	197,314,150	759,983,366	25.96	33,693,383,490	134,858,843,335	24.98
09626	BILIBILI-SW	51,613,100	160,675,190	32.12	32,442,531,394	103,797,056,936	31.26
03316	BINJIANG SER	2,000,500	107,526,204	1.86	49,785,965	2,450,795,377	2.03
02868	BJ CAPITAL LAND	74,186,000	1,986,358,241	3.73	135,305,340	4,068,847,277	3.33
00686	BJ ENERGY INTL	37,496,000	2,004,977,305	1.87	10,088,282	580,897,453	1.74
03718	BJ ENT URBAN	156,916,000	2,233,281,508	7.03	213,673,520	2,746,366,288	7.78
00371	BJ ENT WATER	499,976,000	3,466,351,700	14.42	1,528,978,960	10,689,253,769	14.30
06993	BLUE MOON GROUP	251,276,000	2,915,484,227	8.62	2,529,530,720	33,955,339,458	7.45
02588	BOC AVIATION	23,584,300	156,374,021	15.08	1,558,534,735	10,389,331,327	15.00
02388	BOC HONG KONG	467,877,000	2,829,608,709	16.54	12,108,937,125	72,660,484,375	16.67
00710	BOE VARITRONIX	41,009,000	765,487,326	5.36	362,738,020	6,008,758,929	6.04
03998	BOSIDENG	1,214,108,000	8,432,639,912	14.40	5,965,439,760	40,796,338,320	14.62
03866	BQD	176,500	182,906,697	0.10	785,280	847,517,353	0.09
01859	BRIGHT CULTURE	343,000	1,547,068,696	0.02	302,690	1,566,474,396	0.02
01428	BRIGHT SMART	38,986,000	1,328,212,103	2.94	76,359,140	2,739,025,913	2.79
01114	BRILLIANCE CHI	141,078,000	1,252,798,631	11.26	961,609,200	8,730,718,346	11.01
02163	BROAD HOMES	22,317,300	288,394,970	7.74	327,808,506	4,298,778,585	7.63
01876	BUD APAC	606,557,800	1,916,921,061	31.64	13,958,680,163	43,925,790,806	31.78
01211	BYD COMPANY	527,513,000	2,687,411,227	19.63	125,466,781,450	622,858,405,503	20.14
00285	BYD ELECTRONIC	327,289,000	2,711,017,131	12.07	13,069,576,200	110,642,859,121	11.81
01224	C C LAND	224,000	277,125,002	0.08	400,910	499,939,872	0.08
00658	C TRANSMISSION	103,843,000	1,064,577,718	9.75	667,871,080	6,743,463,571	9.90

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01908	C&D INTL GROUP	45,084,000	437,398,884	10.31	633,635,500	6,311,871,317	10.04
02156	C&D PROPERTY	4,166,000	345,231,444	1.21	17,229,060	1,269,087,733	1.36
00043	C.P. POKPHAND	233,628,000	6,711,153,116	3.48	233,184,260	6,883,163,410	3.39
01566	CA CULTURAL	69,106,000	2,062,402,651	3.35	182,382,850	4,455,006,340	4.09
00341	CAFE DE CORAL H	16,212,000	204,873,296	7.91	254,576,360	3,233,197,692	7.87
01848	CALC	9,550,000	85,975,602	11.11	60,899,065	545,973,885	11.15
03121	CAM AP REAL EST	354,400	2,226,414	15.92	3,423,651	21,919,014	15.62
03141	CAM ASIA IGB	2,237,400	49,895,177	4.48	36,192,528	812,952,637	4.45
09141	CAM ASIA IGB-U	60,800	922,400	6.59	985,503	14,800,632	6.66
03188	CAM CSI300	526,937,400	1,250,169,006	42.15	33,314,529,656	78,852,485,995	42.25
83188	CAM CSI300-R	45,954,000	97,574,308	47.10	2,892,181,110	6,100,976,271	47.41
02813	CAM CTPB BOND	24,810	180,518	13.74	3,154,243	22,686,288	13.90
82813	CAM CTPB BOND-R	8,550	143,220	5.97	1,071,813	17,937,705	5.98
09813	CAM CTPB BOND-U	2,000	4,000	50.00	254,043	506,131	50.19
03165	CAM EU QLTY HDG	412,400	4,645,422	8.88	6,646,726	69,940,584	9.50
03143	CAM HK BANKS	2,050,200	16,566,877	12.38	14,768,097	118,657,291	12.45
03088	CAM HS TECH	90,934,200	369,964,673	24.58	897,675,389	3,690,650,291	24.32
09088	CAM HS TECH-U	89,800	720,400	12.47	974,481	6,766,389	14.40
03069	CAM HSBIOTECH	3,029,500	50,418,013	6.01	67,089,832	1,192,066,626	5.63
09069	CAM HSBIOTECH-U	2,800	4,600	60.87	59,687	98,032	60.89
03160	CAM JAPAN HDG	1,435,600	5,745,687	24.99	19,928,870	78,208,966	25.48
02839	CAM MSCI A50	2,264,650	22,950,750	9.87	76,571,051	779,410,941	9.82
82839	CAM MSCI A50-R	24,350	4,341,150	0.56	816,838	146,354,976	0.56
09839	CAM MSCI A50-U	110,100	1,262,000	8.72	3,726,769	42,263,397	8.82
03086	CAM NASDAQ100	212,000	12,830,943	1.65	6,235,816	367,382,234	1.70
09086	CAM NASDAQ100-U	10,800	374,400	2.88	329,275	10,770,630	3.06
06185	CANSINOBIO-B	63,727,000	384,384,691	16.58	16,916,975,820	110,267,476,855	15.34
01381	CANVEST ENV	34,313,000	553,210,130	6.20	135,425,500	2,223,966,994	6.09
00699	CAR INC	6,718,000	543,475,128	1.24	25,098,610	2,124,478,214	1.18
02160	CARDIOFLOW-B	52,418,000	1,148,360,192	4.56	343,616,090	13,611,094,465	2.52
02171	CARSGEN-B	3,462,500	189,201,415	1.83	135,691,375	6,447,440,799	2.10
01981	CATHAY EDU	74,002,000	1,098,140,265	6.74	398,266,860	6,288,542,435	6.33
00293	CATHAY PAC AIR	465,481,000	2,054,858,002	22.65	3,139,873,960	14,089,892,389	22.28
09668	CBHB	152,955,000	847,679,850	18.04	432,855,895	2,493,363,122	17.36
09983	CC NEW LIFE	61,593,000	745,343,775	8.26	389,543,880	4,910,380,733	7.93
01375	CC SECURITIES	34,074,000	1,079,325,022	3.16	51,728,310	1,657,243,996	3.12
00939	CCB	12,685,968,000	65,757,389,651	19.29	75,321,857,030	387,305,176,040	19.45
01606	CDB LEASING	954,000	242,962,300	0.39	1,067,420	273,411,898	0.39
06818	CEB BANK	280,372,000	3,241,113,198	8.65	850,060,710	10,115,312,840	8.40
01257	CEB GREENTECH	89,839,000	950,612,284	9.45	275,149,260	2,880,007,095	9.55
01857	CEB WATER	1,344,000	413,384,325	0.33	2,513,590	685,242,815	0.37
00832	CENTRAL CHINA	213,501,000	1,662,638,171	12.84	427,602,490	3,681,195,686	11.62
09982	CENTRALCHINA MT	12,582,000	764,731,123	1.65	18,212,560	1,555,974,212	1.17
00798	CEOVU	488,000	289,558,466	0.17	205,780	136,912,691	0.15
06098	CG SERVICES	453,387,000	3,013,652,938	15.04	27,983,038,750	187,586,529,466	14.92

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01811	CGN NEW ENERGY	473,694,000	7,467,881,082	6.34	2,292,824,820	31,074,048,813	7.38
01816	CGN POWER	1,035,595,000	12,113,236,480	8.55	2,036,831,000	23,822,273,739	8.55
06881	CGS	373,792,500	3,605,180,731	10.37	1,708,628,170	16,735,717,782	10.21
03996	CH ENERGY ENG	205,306,000	7,718,381,706	2.66	269,517,620	9,659,304,606	2.79
01117	CH MODERN D	1,136,292,000	9,288,763,377	12.23	2,481,730,450	19,307,946,727	12.85
00081	CH OVS G OCEANS	139,355,000	1,265,296,672	11.01	590,348,900	5,625,673,250	10.49
02600	CHALCO	2,254,474,000	17,147,077,249	13.15	9,998,591,760	76,963,275,835	12.99
02778	CHAMPION REIT	59,051,000	479,902,442	12.30	257,650,650	2,095,582,585	12.29
01588	CHANJET	36,000	43,658,394	0.08	406,704	941,587,890	0.04
02219	CHAOJU EYE CARE	312,000	359,586,739	0.09	2,309,105	4,608,598,072	0.05
00951	CHAOWEI POWER	54,087,000	702,937,347	7.69	141,881,740	2,054,646,794	6.91
06601	CHEERWIN GP	18,264,000	783,059,703	2.33	67,198,585	5,161,359,024	1.30
01812	CHENMING PAPER	129,176,000	2,894,875,137	4.46	776,169,630	17,085,633,944	4.54
00025	CHEVALIER INT'L	4,000	2,872,872	0.14	40,480	27,856,760	0.15
00978	CHI MER LAND	14,322,000	367,988,902	3.89	15,060,240	387,517,632	3.89
00031	CHINA AEROSPACE	1,020,000	1,305,096,864	0.08	630,400	885,548,345	0.07
03883	CHINA AOYUAN	587,819,000	4,172,598,931	14.09	2,688,407,900	17,544,432,070	15.32
03983	CHINA BLUECHEM	138,856,000	2,984,056,996	4.65	289,964,800	6,504,151,714	4.46
03318	CHINA BOTON	18,864,000	754,201,809	2.50	81,480,800	4,269,856,543	1.91
01359	CHINA CINDA	832,285,000	8,071,197,757	10.31	1,212,641,860	11,743,762,885	10.33
01898	CHINA COAL	794,288,000	6,898,163,357	11.51	3,642,102,460	30,339,456,241	12.00
01800	CHINA COMM CONS	215,674,000	6,007,076,832	3.59	860,654,830	23,364,426,916	3.68
03969	CHINA CRSC	202,917,000	1,976,900,500	10.26	606,654,970	5,950,579,884	10.19
01387	CHINA DILI	18,870,000	725,868,830	2.60	38,010,460	1,666,362,331	2.28
03818	CHINA DONGXIANG	280,823,000	4,266,685,122	6.58	273,631,620	4,289,316,916	6.38
00670	CHINA EAST AIR	434,790,000	2,832,157,465	15.35	1,409,737,140	9,356,037,787	15.07
00667	CHINA EAST EDU	229,760,500	1,443,473,846	15.92	2,473,336,820	15,772,380,811	15.68
00165	CHINA EB LTD	135,142,000	819,858,765	16.48	1,231,323,860	7,656,224,011	16.08
00839	CHINA EDU GROUP	304,901,000	1,980,553,105	15.39	4,853,969,590	31,003,711,794	15.66
06186	CHINA FEIHE	715,785,000	6,696,877,502	10.69	12,525,177,440	120,475,967,598	10.40
00506	CHINA FOODS	20,512,000	320,843,681	6.39	63,637,460	975,264,775	6.53
00384	CHINA GAS HOLD	381,505,800	3,357,621,171	11.36	8,920,945,128	81,577,578,134	10.94
03300	CHINA GLASS	83,676,000	3,937,200,330	2.13	260,480,240	8,907,841,532	2.92
02799	CHINA HUARONG	151,848,000	2,309,325,701	6.58	144,282,490	2,192,719,667	6.58
00817	CHINA JINMAO	852,226,000	6,470,554,482	13.17	2,371,784,540	18,831,445,162	12.59
01890	CHINA KEPEI	63,512,000	568,934,632	11.16	321,190,700	2,878,641,010	11.16
02128	CHINA LESSO	128,841,000	1,026,320,732	12.55	2,079,036,640	15,727,003,453	13.22
02628	CHINA LIFE	1,579,451,000	9,533,317,571	16.57	23,772,883,480	146,357,543,629	16.24
01234	CHINA LILANG	24,290,000	323,849,641	7.50	119,552,660	1,686,891,501	7.09
00772	CHINA LIT	152,323,200	735,216,475	20.72	10,624,692,990	52,280,841,763	20.32
00916	CHINA LONGYUAN	937,492,000	7,548,626,441	12.42	12,205,893,500	100,252,874,545	12.18
00383	CHINA MED&HCARE	250,000	196,851,913	0.13	27,210	21,264,916	0.13
00144	CHINA MER PORT	114,542,000	1,259,018,015	9.10	1,367,376,360	15,325,528,252	8.92
00133	CHINA MERCHANTS	34,000	24,725,098	0.14	335,840	251,524,822	0.13
00941	CHINA MOBILE	425,893,000	11,476,602,961	3.71	20,404,664,275	555,528,787,162	3.67

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00026	CHINA MOTOR BUS	10,400	1,133,297	0.92	1,081,000	118,049,272	0.92
00603	CHINA OIL & GAS	2,400,000	857,367,452	0.28	1,213,900	405,281,542	0.30
02883	CHINA OILFIELD	527,682,000	4,903,342,044	10.76	4,085,633,760	38,367,813,316	10.65
00581	CHINA ORIENTAL	134,362,000	1,835,641,969	7.32	335,685,100	4,812,561,532	6.98
00688	CHINA OVERSEAS	963,246,000	6,808,692,212	14.15	17,632,488,670	123,590,660,123	14.27
02669	CHINA OVS PPT	429,575,000	2,979,572,563	14.42	2,758,942,300	19,179,739,728	14.38
02380	CHINA POWER	1,768,939,000	15,242,872,305	11.61	6,013,152,140	51,772,081,626	11.61
01186	CHINA RAIL CONS	18,544,000	3,916,401,175	0.47	89,245,585	19,561,937,242	0.46
00390	CHINA RAILWAY	591,381,000	5,725,555,074	10.33	2,342,102,010	22,681,082,441	10.33
00769	CHINA RAREEARTH	75,284,000	11,424,485,627	0.66	67,932,500	10,508,695,236	0.65
01508	CHINA RE	195,268,000	6,384,897,081	3.06	161,501,470	5,318,662,470	3.04
00291	CHINA RES BEER	191,278,000	2,204,742,991	8.68	12,364,005,700	142,181,842,348	8.70
01193	CHINA RES GAS	105,322,000	724,657,423	14.53	4,509,095,600	31,297,404,208	14.41
01109	CHINA RES LAND	662,028,000	3,560,691,635	18.59	21,926,022,100	116,005,752,061	18.90
01209	CHINA RES MIXC	108,333,000	1,056,717,993	10.25	4,596,181,720	45,346,779,846	10.14
00836	CHINA RES POWER	533,080,000	5,257,683,082	10.14	9,650,441,360	89,115,834,134	10.83
01907	CHINA RISUN GP	135,120,000	2,646,739,054	5.11	689,293,300	12,893,229,333	5.35
02198	CHINA SANJIANG	90,461,000	1,223,227,446	7.40	272,739,430	4,115,410,570	6.63
01966	CHINA SCE GROUP	211,710,000	1,951,669,047	10.85	605,849,080	5,756,164,627	10.53
01432	CHINA SHENGMU	27,158,000	2,112,572,942	1.29	18,091,430	1,666,653,917	1.09
01088	CHINA SHENHUA	614,750,000	6,209,522,296	9.90	10,055,642,830	102,439,365,008	9.82
01055	CHINA SOUTH AIR	630,880,000	3,589,358,079	17.58	3,062,904,900	17,761,321,786	17.24
00326	CHINA STAR ENT	4,000,000	215,060,606	1.86	5,637,500	301,058,178	1.87
03311	CHINA STATE CON	144,134,000	2,318,445,436	6.22	894,471,280	14,064,512,644	6.36
00235	CHINA STRATEGIC	224,810,000	31,109,565,647	0.72	24,298,475	4,654,938,072	0.52
00956	CHINA SUNTIEN	469,257,000	6,356,567,606	7.38	2,264,476,990	29,692,378,615	7.63
00966	CHINA TAIPING	195,020,600	2,384,728,191	8.18	2,736,124,348	33,840,398,107	8.09
00728	CHINA TELECOM	686,504,000	42,092,153,487	1.63	1,751,020,020	106,267,563,012	1.65
01252	CHINA TIANRUI	6,953,000	310,512,508	2.24	42,629,010	1,945,568,250	2.19
00788	CHINA TOWER	15,333,224,000	78,940,831,834	19.42	17,031,750,640	88,287,728,470	19.29
00308	CHINA TRAVEL HK	110,694,000	1,916,168,326	5.78	145,356,880	2,518,169,321	5.77
00762	CHINA UNICOM	470,922,000	17,750,802,625	2.65	2,113,280,980	80,049,280,642	2.64
02202	CHINA VANKE	334,937,300	2,198,620,025	15.23	7,961,756,931	54,346,500,711	14.65
06166	CHINA VAST	5,276,000	588,795,797	0.90	15,022,550	1,727,857,149	0.87
00855	CHINA WATER	54,874,000	690,992,578	7.94	409,874,900	5,211,116,829	7.87
01866	CHINA XLX FERT	13,440,000	703,696,570	1.91	76,062,730	3,481,710,162	2.18
08083	CHINA YOUZAN	2,285,912,000	22,124,508,227	10.33	4,354,456,720	47,942,690,072	9.08
01333	CHINA ZHONGWANG	120,154,800	1,398,112,245	8.59	235,577,444	2,761,796,363	8.53
00552	CHINACOMSERVICE	168,134,000	2,561,597,059	6.56	621,012,900	9,408,174,626	6.60
02099	CHINAGOLDINTL	11,599,600	267,686,565	4.33	245,951,124	5,760,804,586	4.27
01378	CHINAHONGQIAO	714,053,500	6,570,610,733	10.87	7,288,143,970	67,918,500,603	10.73
01313	CHINARES CEMENT	437,074,000	3,862,385,168	11.32	3,403,636,980	30,755,389,807	11.07
03320	CHINARES PHARMA	330,700,500	2,403,864,319	13.76	1,343,786,375	10,177,038,637	13.20
00354	CHINASOFT INT'L	543,006,000	6,349,226,518	8.55	6,708,999,780	74,796,989,991	8.97
01668	CHINASOUTHCITY	396,318,000	4,303,941,846	9.21	283,865,110	3,347,738,484	8.48

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00127	CHINESE EST H	11,415,000	187,654,146	6.08	40,768,345	656,027,969	6.21
01258	CHINFMINING	177,652,000	3,698,860,855	4.80	650,824,500	13,685,856,423	4.76
01111	CHONG HING BANK	1,681,000	55,548,926	3.03	33,465,700	999,395,118	3.35
00116	CHOW SANG SANG	11,926,000	154,128,220	7.74	145,743,750	1,824,639,770	7.99
01929	CHOW TAI FOOK	436,568,000	2,080,516,732	20.98	6,414,014,852	29,478,487,033	21.76
01858	CHUNLI MEDICAL	33,679,750	239,016,497	14.09	725,910,206	5,264,698,906	13.79
03908	CICC	377,776,400	3,776,911,796	10.00	7,655,420,364	76,603,450,635	9.99
03079	CICC CGB	4,540	45,340	10.01	620,789	6,082,587	10.21
83079	CICC CGB-R	14,420	34,900	41.32	1,914,338	4,628,806	41.36
03186	CICCKRANECNET	178,250	571,800	31.17	14,780,215	58,322,233	25.34
83186	CICCKRANECNET-R	69,100	355,650	19.43	6,680,834	38,526,396	17.34
09186	CICCKRANECNET-U	27,900	141,400	19.73	2,604,749	15,286,182	17.04
03093	CICCSELECT100	1,592,500	5,374,000	29.63	21,376,860	71,811,495	29.77
83093	CICCSELECT100-R	2,892,500	8,110,500	35.66	38,220,962	106,926,214	35.75
01995	CIFI ES SERVICE	206,736,000	1,648,910,792	12.54	3,505,075,340	29,442,458,517	11.90
00884	CIFI HOLD GP	469,586,000	4,109,278,345	11.43	2,573,330,680	22,984,375,896	11.20
02039	CIMC	103,364,600	476,484,676	21.69	1,595,934,828	7,227,045,231	22.08
03899	CIMC ENRIC	197,182,000	1,720,028,785	11.46	1,673,386,240	14,289,592,810	11.71
01839	CIMC VEHICLES	6,503,500	273,828,065	2.38	42,702,870	1,851,423,531	2.31
01763	CIRC	3,396,000	68,516,532	4.96	90,167,136	1,814,222,458	4.97
00267	CITIC	885,076,000	5,092,529,409	17.38	6,899,147,310	39,431,170,435	17.50
00998	CITIC BANK	1,110,098,000	8,029,947,189	13.82	4,172,338,350	29,822,171,903	13.99
06030	CITIC SEC	1,057,894,500	4,486,298,914	23.58	19,714,102,785	83,539,468,369	23.60
01883	CITIC TELECOM	39,023,000	1,088,390,740	3.59	102,730,730	2,872,452,986	3.58
00256	CITYCHAMP	18,882,000	619,729,187	3.05	28,549,300	938,375,005	3.04
01113	CK ASSET	351,530,000	1,821,573,625	19.30	16,871,634,650	86,486,115,319	19.51
00001	CKH HOLDINGS	193,234,500	1,405,469,860	13.75	11,127,464,975	80,715,726,095	13.79
01038	CKI HOLDINGS	55,994,500	361,961,756	15.47	2,546,732,400	16,532,370,100	15.40
00775	CKLIFE SCIENCES	86,510,000	1,871,617,473	4.62	71,336,580	1,575,425,646	4.53
00002	CLP HOLDINGS	150,516,000	731,763,783	20.57	11,463,521,200	55,636,271,643	20.60
03968	CM BANK	1,084,871,000	3,954,041,193	27.44	68,431,996,725	247,311,655,113	27.67
01141	CMBC CAP-NEW	888,942,250	42,825,371,262	2.08	94,360,815	4,872,069,756	1.94
01503	CMC REIT	11,800,000	286,567,176	4.12	31,375,960	765,835,595	4.10
01829	CMEC	34,051,000	980,088,792	3.47	112,530,630	3,368,457,766	3.34
03309	C-MER EYE	113,430,000	1,715,829,569	6.61	825,458,080	13,245,589,988	6.23
00302	CMGE	288,864,000	5,314,730,792	5.44	985,723,580	18,894,301,174	5.22
03993	CMOC	1,256,643,000	12,732,372,802	9.87	6,724,248,840	69,923,591,821	9.62
00867	CMS	220,683,000	1,912,898,755	11.54	3,437,449,980	29,122,473,377	11.80
06099	CMSC	78,435,600	668,872,525	11.73	916,568,296	8,020,762,185	11.43
03323	CNBM	839,632,000	8,418,739,168	9.97	8,781,966,520	88,089,659,591	9.97
01589	CNLP	237,272,000	2,075,800,614	11.43	932,628,470	8,442,831,870	11.05
00883	CNOOC	2,081,673,000	41,136,475,597	5.06	17,281,699,340	342,817,764,677	5.04
01610	COFCO JOYCOME	1,079,344,000	13,281,271,337	8.13	3,566,258,490	46,797,489,035	7.62
00906	COFCO PACKAGING	14,598,000	313,651,550	4.65	61,086,750	1,357,678,693	4.50
00400	COGOBUY	42,839,000	1,196,725,964	3.58	116,894,810	3,286,550,371	3.56

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01778	COLOUR LIFE	8,924,000	210,235,283	4.24	24,900,330	520,013,463	4.79
02342	COMBA	151,612,000	1,987,757,836	7.63	324,009,120	4,271,185,434	7.59
00317	COMEC	15,976,000	598,911,850	2.67	135,576,760	4,795,982,137	2.83
00914	CONCH CEMENT	312,659,500	2,214,335,360	14.12	13,893,725,575	100,065,884,041	13.88
00586	CONCH VENTURE	157,097,500	840,511,343	18.69	5,567,436,225	30,099,517,591	18.50
00182	CONCORD NE	178,990,000	7,335,337,793	2.44	130,569,500	5,270,034,239	2.48
01967	CONFIDENCE IN	86,000	71,678,705	0.12	1,729,080	1,251,669,681	0.14
01681	CONSUN PHARMA	11,776,000	221,271,408	5.32	43,477,790	934,789,537	4.65
02866	COSCO SHIP DEV	495,887,000	8,039,389,317	6.17	739,880,160	12,219,791,139	6.05
01138	COSCO SHIP ENGY	269,424,000	2,308,070,671	11.67	910,088,980	7,967,297,622	11.42
01919	COSCO SHIP HOLD	1,972,153,000	15,017,772,926	13.13	25,692,017,210	199,469,751,425	12.88
00517	COSCO SHIP INTL	866,000	144,987,071	0.60	2,161,420	371,889,818	0.58
01199	COSCO SHIP PORT	119,564,000	1,132,114,814	10.56	737,417,120	7,066,597,802	10.44
00120	COSMOPOL INT'L	11,512,000	311,923,433	3.69	14,697,260	390,099,492	3.77
02007	COUNTRY GARDEN	2,470,161,000	6,859,320,747	36.01	20,616,415,930	58,894,713,415	35.01
01415	COWELL	27,558,000	931,668,298	2.96	194,500,570	6,213,408,948	3.13
02601	CPIC	554,871,400	3,415,203,251	16.25	16,283,473,430	98,265,214,114	16.57
03618	CQRC BANK	380,338,000	2,101,755,357	18.10	1,136,947,470	6,396,325,451	17.78
00831	CR ASIA	4,816,000	371,175,922	1.30	2,862,560	257,046,347	1.11
01911	CR HOLDINGS	22,975,800	292,824,339	7.85	481,528,412	6,406,235,963	7.52
01515	CR MEDICAL	103,564,000	1,312,891,350	7.89	746,071,850	9,794,579,402	7.62
00082	CRAZY SPORTS	84,594,000	9,634,667,256	0.88	52,268,090	5,641,867,973	0.93
00032	CROSS-HAR(HOLD)	1,000	6,335,816	0.02	13,960	75,364,783	0.02
01766	CRRC	219,599,000	11,684,543,496	1.88	776,422,940	40,865,203,738	1.90
02232	CRYSTAL INTL	925,500	128,111,245	0.72	2,934,175	426,252,342	0.69
06066	CSC	255,039,500	2,552,821,521	9.99	2,444,432,405	25,579,686,266	9.56
00412	CSFG	49,980,000	3,385,167,850	1.48	33,902,490	2,029,139,231	1.67
00497	CSI PROPERTIES	1,270,000	631,595,729	0.20	312,460	155,641,753	0.20
02822	CSOP A50 ETF	1,062,595,200	2,732,691,599	38.88	20,493,670,034	52,472,218,624	39.06
82822	CSOP A50 ETF-R	108,053,000	206,571,187	52.31	2,076,592,721	3,944,427,814	52.65
03147	CSOP CHINEXT	39,991,600	179,918,099	22.23	542,097,768	2,425,625,789	22.35
83147	CSOP CHINEXT-R	5,957,800	11,881,000	50.15	83,768,317	164,717,219	50.86
03194	CSOP CLOUD ETF	10,300	7,660,200	0.13	178,322	128,536,624	0.14
03193	CSOP CSI 5G	307,600	8,075,300	3.81	2,472,172	63,549,082	3.89
03134	CSOP CSI PV	16,016,000	89,261,738	17.94	175,392,097	962,748,773	18.22
03199	CSOP CTPBBOND	200,760	537,540	37.35	24,841,100	66,424,857	37.40
83199	CSOP CTPBBOND-R	1,000,960	3,473,886	28.81	122,269,472	422,906,889	28.91
03174	CSOP HEALTHCARE	5,075,400	23,969,671	21.17	33,177,306	154,738,253	21.44
03053	CSOP HKD MM	10,000	133,000	7.52	10,337,850	137,530,250	7.52
03033	CSOP HS TECH	2,580,453,000	8,731,887,948	29.55	20,728,496,908	66,606,279,487	31.12
03037	CSOP HSI ETF	4,420,300	47,909,432	9.23	112,930,422	1,247,364,187	9.05
03149	CSOP MSCI	34,400	1,060,600	3.24	621,004	18,731,254	3.32
03003	CSOP MSCI A 50	2,442,400	54,615,600	4.47	18,946,678	426,458,358	4.44
83149	CSOP MSCI-R	12,800	459,200	2.79	217,952	7,940,106	2.74
03122	CSOP RMB MM	3,650	38,350	9.52	675,723	7,250,030	9.32

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
03162	CSOP SMARTDRIVE	1,950,800	10,339,600	18.87	15,767,177	82,620,743	19.08
03109	CSOP STAR 50	2,833,400	29,180,832	9.71	45,217,330	459,629,899	9.84
03005	CSOPCSI500ETF	211,800	2,385,200	8.88	4,993,264	53,005,688	9.42
83005	CSOPCSI500ETF-R	704,400	1,810,600	38.90	16,759,393	42,258,031	39.66
01093	CSPC PHARMA	1,701,724,000	12,126,153,354	14.03	15,972,453,240	115,298,741,621	13.85
03877	CSSC SHIPPING	29,096,000	2,444,965,189	1.19	36,647,740	3,410,514,797	1.07
02616	CSTONE PHARMA-B	63,701,500	822,187,012	7.75	711,892,560	10,366,999,319	6.87
06055	CTIHK	40,145,000	498,688,654	8.05	695,701,800	8,776,108,514	7.93
02016	CZBANK	3,350,000	288,419,408	1.16	12,363,670	1,132,640,092	1.09
06111	DAFA PPT	12,291,000	182,453,325	6.74	69,970,290	1,137,632,236	6.15
00440	DAH SING	4,521,600	80,311,481	5.63	113,152,040	2,045,729,893	5.53
02356	DAHSING BANKING	10,888,800	273,286,010	3.98	86,883,692	2,229,542,766	3.90
03799	DALI FOODS	213,386,000	1,286,260,058	16.59	963,487,365	5,814,200,761	16.57
02117	DATANG GROUP	10,272,000	377,823,744	2.72	44,197,800	1,696,038,844	2.61
00991	DATANG POWER	215,306,000	4,498,101,633	4.79	285,469,360	6,033,777,429	4.73
01798	DATANG RENEW	705,849,000	8,604,949,404	8.20	2,004,764,420	22,819,645,893	8.79
00861	DC HOLDINGS	80,789,000	779,939,871	10.36	435,034,620	4,280,410,757	10.16
02019	DEXIN CHINA	35,678,000	390,117,316	9.15	96,917,850	1,127,679,633	8.59
03958	DFZQ	78,059,200	1,518,481,801	5.14	565,849,584	10,734,010,823	5.27
06878	DIFFER GROUP	527,738,000	10,458,082,300	5.05	932,849,360	17,615,583,563	5.30
00547	DIGITAL DOMAIN	59,999,000	5,271,018,901	1.14	8,762,000	671,619,650	1.30
00726	DIT GROUP	150,000	369,782,991	0.04	150,400	352,194,512	0.04
01709	DL HOLDINGS GP	3,541,000	896,122,400	0.40	8,810,090	2,650,004,761	0.33
01072	DONGFANG ELEC	128,199,400	1,561,779,530	8.21	1,198,536,644	15,007,777,515	7.99
00489	DONGFENG GROUP	362,522,000	3,921,110,807	9.25	2,763,473,720	30,464,616,233	9.07
00189	DONGYUE GROUP	754,814,000	8,001,256,919	9.43	10,663,927,890	110,203,474,064	9.68
01753	DUIBA	20,558,000	1,791,147,300	1.15	50,919,344	5,004,784,656	1.02
01330	DYNAGREEN ENV	20,036,000	778,044,318	2.58	74,804,310	2,992,964,079	2.50
06889	DYNAM JAPAN	1,852,000	67,522,021	2.74	13,893,414	507,178,757	2.74
00029	DYNAMIC HOLD	136,000	18,422,518	0.74	1,828,000	243,255,211	0.75
00257	EB ENVIRONMENT	383,233,000	5,091,803,334	7.53	1,929,274,720	26,483,659,089	7.28
06178	EB SECURITIES	42,816,000	689,213,125	6.21	280,173,496	4,667,904,950	6.00
02138	EC HEALTHCARE	30,478,000	730,698,415	4.17	361,338,790	8,370,234,172	4.32
00382	EDVANTAGE GROUP	56,982,000	665,763,574	8.56	450,874,100	5,069,102,670	8.89
03709	EEKA FASHION	5,061,500	387,352,148	1.31	53,925,740	4,280,287,102	1.26
03111	EFUNDMSCIA50	205,300	13,680,400	1.50	660,053	42,800,480	1.54
83111	EFUNDMSCIA50-R	86,500	28,687,000	0.30	272,470	89,775,057	0.30
02048	E-HOUSE ENT	189,000,900	3,564,292,832	5.30	483,671,238	9,148,836,011	5.29
00163	EMPEROR INT'L	696,000	117,309,303	0.59	746,660	127,972,643	0.58
02688	ENN ENERGY	97,610,300	613,056,099	15.92	13,430,693,500	84,231,073,576	15.95
00330	ESPRIT HOLDINGS	39,495,700	1,283,133,253	3.08	28,977,720	1,067,847,662	2.71
01821	ESR	144,185,600	1,095,465,807	13.16	3,655,514,170	27,691,886,696	13.20
01061	ESSEX BIO-TECH	11,553,000	270,262,592	4.27	72,220,890	1,623,310,843	4.45
06668	E-STAR CM	1,049,000	656,613,943	0.16	3,649,060	2,853,513,228	0.13
01952	EVEREST MED-B	7,871,000	94,247,285	8.35	414,028,375	5,978,806,454	6.92

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
06666	EVERG SERVICES	555,518,500	9,286,518,293	5.98	3,488,127,160	68,906,255,641	5.06
00708	EVERG VEHICLE	344,146,500	12,976,152,318	2.65	4,298,250,725	108,367,505,750	3.97
03333	EVERGRANDE	1,644,462,000	14,779,641,719	11.13	11,253,432,980	72,333,272,667	15.56
06989	EXCELLENCE CM	18,835,000	429,629,918	4.38	119,835,490	3,441,444,964	3.48
03097	F GX OIL	4,495,000	47,460,000	9.47	16,307,992	170,295,086	9.58
03175	F SAMSUNG OIL	178,675,000	1,397,497,057	12.79	777,775,333	6,059,046,458	12.84
00052	FAIRWOOD HOLD	581,500	26,769,140	2.17	10,079,120	467,888,449	2.15
01777	FANTASIA	165,946,500	3,008,856,871	5.52	126,044,948	1,822,771,744	6.92
00035	FE CONSORT INTL	17,481,000	279,356,231	6.26	48,422,850	774,879,789	6.25
03360	FE HORIZON	178,893,000	1,214,816,554	14.73	1,485,811,470	9,925,801,379	14.97
07300	FI CSOP HSI	392,548,000	1,265,728,470	31.01	1,853,435,716	6,009,303,160	30.84
07345	FI CSOP OIL	7,300	119,300	6.12	53,753	822,380	6.54
07374	FI CSOPGOLD	1,300	500,000	0.26	9,946	3,798,435	0.26
07362	FI GX HSCEI	1,531,500	7,600,700	20.15	8,110,118	40,418,927	20.07
07336	FI GX HSI	12,181,800	29,605,900	41.15	57,834,529	141,426,601	40.89
07588	FI2 CSOP HSCEI	67,124,700	280,677,400	23.92	278,944,693	1,212,744,104	23.00
07500	FI2 CSOP HSI	7,472,978,200	23,463,721,139	31.85	33,800,114,635	108,782,088,406	31.07
07522	FI2CAMNDQ100	70,450,000	966,767,801	7.29	146,738,572	2,232,151,930	6.57
07568	FI2CSOPNASDAQ	973,331,200	4,648,817,213	20.94	1,404,163,497	6,877,043,041	20.42
07321	FICAMHSI	390,300	1,859,700	20.99	1,903,065	9,157,890	20.78
07331	FICAMNDQ100	1,686,400	29,633,600	5.69	6,705,791	120,757,925	5.55
02038	FIH	453,056,000	5,786,049,532	7.83	556,313,360	7,141,832,449	7.79
01909	FIRE ROCK	84,622,000	1,601,704,854	5.28	179,573,420	4,652,884,449	3.86
01269	FIRST CAP GP	35,556,000	7,715,192,690	0.46	3,994,572	891,766,646	0.45
00142	FIRST PACIFIC	60,086,000	1,174,050,898	5.12	158,571,400	3,174,274,690	5.00
00038	FIRST TRACTOR	120,088,000	2,458,929,740	4.88	489,380,360	10,447,753,668	4.68
07328	FISAMSUNGHSCEI	710,800	2,463,800	28.85	2,909,506	10,079,733	28.86
07312	FISAMSUNGHSI	287,000	1,309,800	21.91	1,113,502	5,029,612	22.14
06088	FIT HON TENG	256,567,000	3,471,188,368	7.39	687,916,960	9,569,949,961	7.19
07288	FL2 CSOP HSCEI	131,015,100	364,026,700	35.99	805,659,918	2,304,974,636	34.95
07200	FL2 CSOP HSI	3,532,626,800	7,785,614,175	45.37	30,601,697,346	67,721,662,726	45.19
07230	FL2 GX HSCEI	5,394,900	31,133,800	17.33	41,886,623	222,007,578	18.87
07231	FL2 GX HSI	4,899,600	21,608,799	22.67	43,783,976	199,116,871	21.99
07221	FL2CAMHSI	806,500	3,341,500	24.14	8,410,741	35,073,283	23.98
07261	FL2CAMNDQ100	4,170,800	35,332,300	11.80	89,128,180	760,822,454	11.71
07299	FL2CSOPGOLD	196,897,500	582,613,403	33.80	1,515,064,330	4,541,799,630	33.36
07266	FL2CSOPNASDAQ	2,392,800	7,691,700	31.11	39,718,696	135,359,159	29.34
07232	FL2FBFTTAIWAN	1,000	3,533,800	0.03	7,810	29,570,521	0.03
07228	FL2SAMSUNGHSCEI	2,057,200	5,207,000	39.51	13,304,222	34,773,899	38.26
07205	FL2SAMSUNGHSI	531,800	1,780,000	29.88	4,271,955	14,385,560	29.70
06865	FLAT GLASS	340,606,000	2,237,146,897	15.23	11,023,436,480	69,992,813,951	15.75
00778	FORTUNE REIT	41,045,000	615,299,971	6.67	324,059,070	4,818,579,531	6.73
00656	FOSUN INTL	200,512,500	1,127,145,349	17.79	2,187,598,450	12,171,652,906	17.97
02196	FOSUN PHARMA	234,834,500	1,899,154,877	12.37	11,533,877,675	95,301,797,803	12.10
01992	FOSUN TOURISM	21,162,200	247,015,946	8.57	241,710,380	2,897,568,706	8.34

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
06820	FRIENDTIMES	35,848,000	678,673,363	5.28	79,577,460	1,512,082,527	5.26
01521	FRONTAGE	93,722,000	2,064,113,429	4.54	545,549,360	11,995,720,749	4.55
01448	FU SHOU YUAN	71,640,000	1,113,361,841	6.43	527,422,820	8,115,433,844	6.50
01349	FUDANZHANGJIANG	25,192,000	421,120,188	5.98	114,284,410	1,995,908,454	5.73
00546	FUFENG GROUP	26,391,000	615,564,864	4.29	76,103,600	1,825,810,180	4.17
00607	FULLSHARE	201,072,500	2,814,331,782	7.14	27,002,377	411,412,619	6.56
02101	FULU HOLDINGS	2,318,000	231,216,252	1.00	19,460,750	1,972,816,135	0.99
01652	FUSEN PHARM	1,809,000	340,172,000	0.53	6,087,800	975,975,250	0.62
03606	FUYAO GLASS	149,068,400	1,194,789,839	12.48	7,365,962,820	57,670,948,649	12.77
02238	GAC GROUP	932,474,000	9,643,836,141	9.67	7,070,705,440	73,105,384,253	9.67
00027	GALAXY ENT	576,612,000	3,459,108,971	16.67	32,191,899,050	190,353,215,253	16.91
01772	GANFENGLITHIUM	133,639,200	1,438,437,850	9.29	16,560,643,410	182,480,090,018	9.08
06968	GANGLONG CHINA	22,657,000	780,976,992	2.90	97,306,770	3,451,584,059	2.82
00468	GAPACK	30,129,000	362,227,244	8.32	104,322,410	1,251,841,926	8.33
00451	GCL NEWENERGY	1,368,896,000	37,673,923,015	3.63	433,102,914	13,568,971,408	3.19
03800	GCL-POLY ENERGY	7,170,252,000	88,493,506,350	8.10	16,758,418,940	219,652,449,870	7.63
09698	GDS-SW	79,407,900	437,037,078	18.17	5,358,791,045	29,865,949,928	17.94
00175	GEELY AUTO	2,857,053,000	18,514,027,138	15.43	73,609,221,180	467,412,865,218	15.75
00535	GEMDALE PPT	405,940,000	4,223,444,565	9.61	405,942,700	4,163,382,439	9.75
06998	GENOR-B	14,591,500	211,231,637	6.91	179,390,125	3,155,779,572	5.68
01548	GENSCRIPT BIO	249,356,000	2,685,433,493	9.29	6,406,738,940	73,028,547,462	8.77
01776	GF SEC	163,706,000	1,755,228,426	9.33	2,069,712,532	22,300,257,439	9.28
00709	GIORDANO INT'L	7,492,000	432,068,037	1.73	11,427,500	671,155,028	1.70
01282	GLORY SUN FIN	182,148,000	3,427,838,691	5.31	47,924,208	925,048,700	5.18
03308	GOLDEN EAGLE	439,000	46,025,443	0.95	3,075,180	318,048,057	0.97
01121	GOLDENSOLAR	36,554,000	1,430,027,479	2.56	428,502,680	11,209,486,539	3.82
02208	GOLDWIND	412,937,000	2,563,663,626	16.11	6,587,695,440	40,138,257,608	16.41
00493	GOME RETAIL	3,561,962,000	44,044,624,314	8.09	4,385,974,330	64,246,608,661	6.83
01086	GOODBABY INTL	37,607,000	1,634,596,485	2.30	51,084,660	2,692,216,873	1.90
01271	GRAND MING	7,000	16,569,736	0.04	36,200	108,570,611	0.03
00512	GRAND PHARMA	113,121,500	1,360,428,911	8.32	732,124,805	9,177,778,271	7.98
01551	GRCB	1,000	8,431,031	0.01	3,000	26,422,192	0.01
00041	GREAT EAGLE H	6,281,000	52,798,877	11.90	162,325,640	1,324,412,855	12.26
02333	GREATWALL MOTOR	1,795,194,000	9,718,507,159	18.47	47,687,957,415	260,291,391,210	18.32
00337	GREENLAND HK	24,789,000	425,371,143	5.83	49,139,340	930,618,804	5.28
03900	GREENTOWN CHINA	315,594,000	1,802,398,407	17.51	3,394,588,645	19,533,090,676	17.38
09979	GREENTOWN MGMT	78,645,000	1,409,365,534	5.58	312,896,310	5,915,817,883	5.29
02869	GREENTOWN SER	317,594,000	2,330,295,919	13.63	2,989,175,220	22,356,966,125	13.37
02611	GTJA	35,412,800	698,412,072	5.07	391,270,020	7,731,834,521	5.06
00270	GUANGDONG INV	287,504,000	2,638,249,574	10.90	3,351,697,760	30,938,181,670	10.83
00525	GUANGSHEN RAIL	42,128,000	902,476,092	4.67	61,880,960	1,322,104,232	4.68
00053	GUOCO GROUP	40,000	2,181,275	1.83	3,766,550	198,140,512	1.90
01456	GUOLIAN SEC	15,953,000	799,671,472	1.99	62,727,690	3,203,407,619	1.96
02329	GUORUI PPT	23,280,000	805,138,984	2.89	15,274,250	427,662,075	3.57
01788	GUOTAI JUNAN I	159,017,000	2,348,331,378	6.77	205,351,470	3,100,712,814	6.62

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
03119	GX ASIA SEMICON	1,167,150	4,272,938	27.31	74,123,616	267,461,700	27.71
02849	GX AUTO EV	69,300	354,250	19.56	4,246,260	21,439,846	19.81
02820	GX CN BIOTECH	5,397,000	27,529,468	19.60	669,371,986	3,377,847,028	19.82
09820	GX CN BIOTECH-U	3,963,000	20,758,426	19.09	484,260,487	2,532,259,835	19.12
02809	GX CN CLN EN	8,021,350	51,978,551	15.43	1,071,227,611	6,799,853,248	15.75
09809	GX CN CLN EN-U	4,922,550	24,085,899	20.44	638,439,737	3,109,380,850	20.53
02826	GX CN CLOUD	3,763,350	20,419,595	18.43	375,725,531	2,142,661,926	17.54
09826	GX CN CLOUD-U	2,833,350	16,852,865	16.81	288,336,740	1,708,848,338	16.87
02806	GX CN CONSUME	5,530,600	24,578,918	22.50	455,154,282	2,006,714,761	22.68
09806	GX CN CONSUME-U	5,239,600	25,554,100	20.50	427,623,670	2,047,690,562	20.88
03124	GX CN ECOM&LOG	162,400	435,550	37.29	9,507,364	25,554,299	37.20
02845	GX CN EV BATT	23,002,550	123,372,049	18.64	3,778,675,580	19,909,201,035	18.98
09845	GX CN EV BATT-U	8,446,000	70,605,724	11.96	1,252,740,449	10,881,609,255	11.51
03117	GX CN GAME&ENT	106,650	292,600	36.45	5,284,839	14,142,781	37.37
03050	GX CN GL LEADER	640,100	2,423,235	26.42	41,876,208	154,920,991	27.03
02807	GX CN ROBO&AI	3,213,100	11,402,472	28.18	210,321,849	741,578,591	28.36
09807	GX CN ROBO&AI-U	1,732,850	7,779,880	22.27	112,335,400	503,308,820	22.32
03191	GX CN SEMICON	8,241,900	44,085,974	18.70	521,504,392	2,804,235,284	18.60
09191	GX CN SEMICON-U	4,591,650	30,606,895	15.00	286,704,125	1,906,966,589	15.03
03127	GX CSI300	118,000	1,200,990	9.83	2,446,430	25,138,153	9.73
83127	GX CSI300-R	38,700	298,490	12.97	796,227	6,226,188	12.79
03185	GX FINTECH	11,350	38,950	29.14	663,284	2,290,519	28.96
03110	GX HS HIGH DIV	1,945,200	15,245,746	12.76	49,012,868	404,620,751	12.11
03040	GX MSCI CHINA	973,800	9,166,912	10.62	42,714,128	405,328,696	10.54
01112	H&H INTL HLDG	25,269,500	201,979,840	12.51	686,924,470	5,264,513,366	13.05
06862	HAIDILAO	803,214,000	3,720,007,319	21.59	28,663,686,380	142,274,668,643	20.15
06690	HAIER SMARTHOME	526,432,200	3,189,280,439	16.51	16,210,194,390	98,723,169,855	16.42
01882	HAITIAN INT'L	52,074,000	489,838,802	10.63	1,375,033,500	13,367,894,184	10.29
00665	HAITONG INT'L	268,257,000	2,205,633,246	12.16	579,205,000	4,892,335,129	11.84
06837	HAITONG SEC	606,263,600	3,705,224,876	16.36	4,260,169,572	26,189,756,295	16.27
01905	HAITONG UT	9,554,000	499,236,790	1.91	12,357,940	608,659,073	2.03
00010	HANG LUNG GROUP	16,446,000	173,511,085	9.48	316,134,160	3,308,600,180	9.55
00101	HANG LUNG PPT	171,312,000	1,061,503,358	16.14	3,334,330,150	20,182,508,833	16.52
00011	HANG SENG BANK	99,578,600	384,271,051	25.91	14,733,770,470	56,967,971,618	25.86
03692	HANSOH PHARMA	180,218,000	1,032,439,391	17.46	5,348,401,160	29,454,350,571	18.16
06826	HAOHAI BIOTEC	12,402,500	174,565,642	7.10	943,350,990	13,387,406,308	7.05
06138	HARBIN BANK	373,000	92,939,101	0.40	363,600	87,543,681	0.42
01133	HARBIN ELECTRIC	39,374,000	1,165,751,156	3.38	122,714,160	3,555,931,169	3.45
00051	HARBOUR CENTRE	2,000	2,648,176	0.08	14,660	20,203,038	0.07
03836	HARMONY AUTO	52,535,500	854,419,880	6.15	197,887,255	3,287,339,603	6.02
02142	HBM HOLDINGS-B	13,063,000	320,094,508	4.08	117,139,060	2,877,472,639	4.07
01727	HEBEI CONS	46,084,500	553,023,233	8.33	154,931,225	1,652,074,317	9.38
01558	HEC PHARM	124,699,000	986,634,707	12.64	868,485,084	7,394,454,312	11.75
00012	HENDERSON LAND	220,662,000	798,326,825	27.64	7,484,700,950	27,224,883,474	27.49
01044	HENGAN INT'L	235,299,000	768,960,255	30.60	11,526,030,000	37,655,067,380	30.61

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00136	HENGTEN NET	1,697,412,800	28,972,763,629	5.86	7,491,089,780	106,008,656,827	7.07
02696	HENLIUS	3,988,100	38,444,014	10.37	135,617,160	1,367,636,559	9.92
09989	HEPALINK	12,602,500	200,613,010	6.28	143,073,000	2,291,071,216	6.24
06908	HG SEMI	744,000	330,437,900	0.23	5,415,660	2,038,673,142	0.27
83118	HGI MSCI CN A-R	10,700	921,568	1.16	247,947	19,537,837	1.27
00818	HI SUN TECH	115,491,000	1,644,871,128	7.02	209,922,000	2,940,569,182	7.14
00921	HISENSE HA	20,362,000	275,293,350	7.40	232,914,220	3,238,684,333	7.19
00003	HK & CHINA GAS	751,007,000	4,866,840,603	15.43	9,050,169,040	58,657,910,335	15.43
01725	HK AERO TECH	856,400	128,286,696	0.67	24,783,500	2,825,463,426	0.88
02322	HK CHAOSHANG GP	4,864,000	1,052,867,000	0.46	4,748,400	1,049,047,540	0.45
00050	HK FERRY (HOLD)	61,000	21,363,393	0.29	370,790	125,010,677	0.30
01137	HK TECH VENTURE	151,901,000	969,134,194	15.67	1,631,644,000	11,441,180,392	14.26
00045	HK&S HOTELS	2,928,500	59,540,123	4.92	21,944,845	446,896,791	4.91
01310	HKBN	54,618,500	625,697,465	8.73	547,291,110	6,247,187,082	8.76
02638	HKELECTRIC-SS	61,995,500	844,910,297	7.34	479,532,945	6,540,479,917	7.33
00388	HKEX	218,032,800	1,325,918,645	16.44	105,405,430,460	642,117,491,157	16.42
00480	HKR INT'L	515,200	81,485,255	0.63	1,776,192	273,649,533	0.65
06823	HKT-SS	151,725,000	1,610,228,828	9.42	1,611,549,690	17,108,368,290	9.42
03798	HOMELAND ITL	19,200,000	411,478,131	4.67	80,173,100	1,858,248,388	4.31
08137	HONBRIDGE	11,390,000	643,512,950	1.77	5,228,370	328,861,438	1.59
06858	HONMAGOLF	179,000	63,540,610	0.28	844,110	293,297,798	0.29
01765	HOPE EDU	1,647,768,000	11,985,666,038	13.75	3,733,139,560	26,057,819,685	14.33
00754	HOPSON DEV HOLD	97,060,700	449,025,601	21.62	2,399,397,789	11,379,764,501	21.08
03130	HS CSI300	175,000	4,938,264	3.54	5,388,784	149,370,578	3.61
02833	HS HSI ETF	20,396,000	88,600,157	23.02	544,404,224	2,427,939,111	22.42
82833	HS HSI ETF-R	943,200	5,109,400	18.46	24,359,867	138,700,034	17.56
83168	HS RMB GOLD ETF	12,200	857,500	1.42	429,364	31,148,724	1.38
00005	HSBC HOLDINGS	1,257,516,400	5,603,504,974	22.44	56,433,156,660	251,815,014,577	22.41
02828	HSCEI ETF	780,691,400	3,565,746,776	21.89	76,799,250,262	339,680,783,228	22.61
82828	HSCEI ETF-R	854,800	2,103,400	40.64	82,266,362	208,806,932	39.40
03032	HSTECH ETF	469,222,600	1,065,938,291	44.02	3,714,495,425	8,213,791,226	45.22
03031	HT CH A ESG	1,284,800	4,638,700	27.70	16,798,640	60,884,731	27.59
83031	HT CH A ESG-R	1,087,300	2,906,300	37.41	13,886,745	37,423,998	37.11
09031	HT CH A ESG-U	787,200	2,788,700	28.23	10,206,079	36,468,506	27.99
82811	HT CSI300 ETF-R	35,800	508,400	7.04	839,533	10,947,170	7.67
06886	HTSC	181,483,200	1,837,847,188	9.87	2,140,419,120	21,582,441,452	9.92
01347	HUA HONG SEMI	203,810,000	2,869,646,561	7.10	9,226,007,450	131,867,411,686	7.00
02552	HUA MEDICINE-B	30,881,000	370,671,632	8.33	140,856,155	1,738,821,024	8.10
00989	HUA YIN INTL H	3,080,000	825,551,044	0.37	1,240,625	424,820,367	0.29
00336	HUABAO INTL	242,426,000	2,110,684,609	11.49	3,492,554,590	31,782,172,086	10.99
01071	HUADIAN POWER	512,060,000	5,388,605,337	9.50	1,411,693,660	14,309,369,687	9.87
00902	HUANENG POWER	1,332,574,000	9,211,541,049	14.47	4,878,663,100	33,787,165,927	14.44
01003	HUANXI MEDIA	30,240,000	1,353,590,117	2.23	55,811,300	2,521,870,425	2.21
01323	HUASHENG INTL	33,506,000	5,325,969,965	0.63	35,503,960	5,757,673,183	0.62
00419	HUAYI TENCENT	45,540,000	15,841,889,321	0.29	12,478,700	6,403,783,621	0.19

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
06830	HUAZHONG IN-V	67,444,000	3,933,330,466	1.71	161,315,500	10,853,583,404	1.49
01179	HUAZHU-S	8,419,700	73,824,510	11.41	643,071,825	5,474,276,707	11.75
87001	HUI XIAN REIT	1,194,000	326,499,929	0.37	2,465,930	642,378,575	0.38
01806	HUIFU PAYMENT	1,032,000	111,763,450	0.92	3,536,792	382,198,432	0.93
09968	HUIJING HLDGS	31,688,000	1,217,931,425	2.60	61,061,380	2,365,985,466	2.58
02127	HUISEN INTL	49,620,000	3,143,448,006	1.58	113,860,260	7,501,771,072	1.52
01611	HUOBI TECH	11,763,000	677,849,094	1.74	158,813,375	10,601,329,397	1.50
00013	HUTCHMED	14,416,500	174,943,605	8.24	801,317,075	10,554,888,987	7.59
00215	HUTCHTEL HK	67,316,000	1,027,771,488	6.55	95,929,200	1,472,187,624	6.52
06078	HYGEIA HEALTH	66,766,800	1,200,338,836	5.56	4,257,486,610	78,316,569,023	5.44
00014	HYSAN DEV	36,344,000	321,116,087	11.32	1,073,221,800	9,275,949,447	11.57
01563	IA FIN LEASING	360,000	609,759,567	0.06	867,840	1,250,204,328	0.07
01398	ICBC	11,548,389,000	56,369,170,326	20.49	55,261,289,460	270,379,045,255	20.44
03167	ICBCCSOPCHINA	162,150	1,182,015	13.72	17,428,826	122,691,591	14.21
83167	ICBCCSOPCHINA-R	148,200	312,000	47.50	14,026,869	30,504,733	45.98
09167	ICBCCSOPCHINA-U	15,650	65,750	23.80	1,504,375	6,122,681	24.57
01119	IDREAMSKY	274,714,400	3,119,420,838	8.81	1,442,735,392	16,908,207,891	8.53
00799	IGG	163,502,000	1,552,072,718	10.53	1,634,062,470	15,939,184,615	10.25
01970	IMAX CHINA	9,868,800	91,115,037	10.83	143,895,912	1,308,906,582	10.99
06978	IMMUNOTECH-B	5,965,000	386,292,898	1.54	103,623,360	6,412,323,744	1.62
00776	IMPERIUM TEC GP	39,500	48,588,436	0.08	668,980	600,578,315	0.11
03700	INKE	57,914,000	1,756,470,205	3.30	103,326,210	3,804,752,691	2.72
09969	INNOCARE-B	53,892,000	1,100,660,409	4.90	1,130,605,370	23,467,032,481	4.82
01801	INNOVENT BIO	191,826,000	1,775,141,227	10.81	14,920,178,725	138,693,231,690	10.76
00596	INSPUR INT'L	7,714,000	1,778,612,600	0.43	30,287,580	6,214,490,099	0.49
01760	INTRON TECH	12,223,000	630,631,640	1.94	59,910,080	3,792,469,267	1.58
02823	ISHARES A50	1,000,684,300	2,421,364,733	41.33	19,598,084,507	47,472,206,968	41.28
82823	ISHARES A50-R	22,889,000	39,429,544	58.05	456,289,552	784,445,876	58.17
03010	ISHARES AXJ	924,700	8,897,316	10.39	64,097,848	601,850,082	10.65
83010	ISHARES AXJ-R	594,900	3,712,007	16.03	42,174,805	255,648,366	16.50
09010	ISHARES AXJ-U	1,086,600	13,226,228	8.22	71,666,328	905,732,399	7.91
02801	ISHARES CHINA	7,311,600	58,007,137	12.60	219,913,460	1,841,799,558	11.94
09801	ISHARES CHINA-U	716,800	3,474,000	20.63	21,171,378	100,893,846	20.98
02802	ISHARES EM ASIA	13,400	3,986,118	0.34	912,396	277,994,166	0.33
02836	ISHARES INDIA	35,800	12,966,879	0.28	1,178,088	425,859,744	0.28
82836	ISHARES INDIA-R	7,600	2,386,000	0.32	226,514	75,860,029	0.30
09836	ISHARES INDIA-U	129,000	2,854,410	4.52	3,946,050	94,113,462	4.19
02829	ISHARESCGB	33,090	194,380	17.02	2,056,874	11,973,937	17.18
82829	ISHARESCGB-R	1,000	19,880	5.03	60,917	1,220,196	4.99
09829	ISHARESCGB-U	630	1,630	38.65	39,397	101,421	38.84
02846	ISHARESCSI300	2,850,200	14,136,428	20.16	122,391,326	577,363,879	21.20
82846	ISHARESCSI300-R	258,200	1,037,124	24.90	10,360,794	41,291,210	25.09
09846	ISHARESCSI300-U	366,700	1,474,620	24.87	14,946,944	58,130,238	25.71
03115	ISHARESHSI	1,113,100	4,854,780	22.93	103,391,084	473,063,232	21.86
83115	ISHARESHSI-R	171,200	954,050	17.94	15,563,142	93,395,311	16.66

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
09115	ISHARESHSI-U	268,600	1,710,043	15.71	24,789,577	165,667,029	14.96
03067	ISHARESHSTECH	845,767,600	2,066,225,792	40.93	14,074,281,486	32,447,833,175	43.38
09067	ISHARESHSTECH-U	21,493,200	70,922,385	30.31	364,229,915	1,150,706,457	31.65
03170	ISHARESKS200	16,200	213,830	7.58	2,417,395	31,451,134	7.69
83170	ISHARESKS200-R	18,400	133,900	13.74	2,790,629	19,707,029	14.16
09170	ISHARESKS200-U	44,600	230,300	19.37	6,655,751	33,944,257	19.61
03074	ISHARESMSCITW	69,000	1,997,465	3.45	13,122,200	380,995,481	3.44
09074	ISHARESMSCITW-U	212,400	3,174,460	6.69	42,581,885	608,383,459	7.00
02834	ISHARESND100	382,100	1,546,496	24.71	98,171,910	410,613,853	23.91
82834	ISHARESND100-R	12,700	114,800	11.06	3,081,677	28,772,234	10.71
09834	ISHARESND100-U	69,000	234,490	29.43	17,971,234	61,474,274	29.23
03125	ISHARESSDCPBB	880	32,310	2.72	54,155	1,985,349	2.73
83125	ISHARESSDCPBB-R	67,380	349,500	19.28	4,113,241	21,331,563	19.28
09125	ISHARESSDCPBB-U	3,000	3,000	100.00	183,951	183,951	100.00
01931	IVD MEDICAL	503,000	222,676,836	0.23	1,579,660	619,344,217	0.26
01167	JACOBIO-B	15,108,000	218,543,255	6.91	305,838,174	4,315,347,640	7.09
06618	JD HEALTH	406,960,100	1,769,003,569	23.01	36,691,931,135	171,621,053,347	21.38
02618	JD LOGISTICS	126,924,000	1,184,136,328	10.72	3,799,155,720	42,169,224,444	9.01
09618	JD-SW	347,588,600	1,354,329,615	25.66	106,699,348,710	421,935,087,008	25.29
01935	JH EDUCATION	5,422,000	380,863,725	1.42	12,758,480	1,110,264,927	1.15
01366	JIANGNAN GP	2,484,000	402,965,850	0.62	913,700	147,698,586	0.62
00177	JIANGSU EXPRESS	91,290,000	619,439,321	14.74	793,096,260	5,327,524,398	14.89
01916	JIANGXI BANK	257,000	202,242,255	0.13	824,625	657,070,687	0.13
00358	JIANGXI COPPER	671,884,000	3,904,048,438	17.21	11,060,400,770	64,855,564,315	17.05
02768	JIAYUAN INTL	45,176,000	700,967,953	6.44	140,298,400	2,217,558,100	6.33
02362	JINCHUAN INTL	625,822,000	6,087,876,930	10.28	762,157,620	7,565,543,085	10.07
01862	JINGRUI HLDGS	17,200,000	407,178,423	4.22	37,738,410	905,325,499	4.17
02006	JINJIANGCAPITAL	13,008,000	678,958,874	1.92	22,503,900	1,394,940,781	1.61
09666	JINKE SERVICES	43,630,300	608,932,904	7.17	1,918,499,555	26,676,083,028	7.19
09922	JIUMAOJIU	332,486,000	2,241,564,753	14.83	8,576,091,780	58,389,973,649	14.69
03306	JNBY	14,097,000	241,050,506	5.85	188,611,295	3,392,171,589	5.56
00579	JNCEC	150,854,000	3,383,776,383	4.46	343,018,840	7,588,537,961	4.52
00179	JOHNSON ELEC H	10,056,500	153,265,061	6.56	199,439,565	3,161,846,898	6.31
06127	JOINN	5,350,400	105,152,797	5.09	515,285,005	12,092,115,937	4.26
00207	JOY CITY PPT	21,004,000	1,793,578,345	1.17	9,595,260	836,249,053	1.15
06988	JOY SPREADER	46,209,000	2,836,597,996	1.63	139,721,620	8,314,579,617	1.68
01691	JS GLOBAL LIFE	153,856,000	2,458,580,428	6.26	2,714,627,110	47,070,273,784	5.77
03336	JU TENG INTL	3,302,000	103,128,445	3.20	6,404,520	203,902,976	3.14
01877	JUNSHI BIO	45,410,800	352,653,993	12.88	2,660,140,900	21,803,931,019	12.20
02126	JW THERAP-B	57,358,500	820,804,391	6.99	1,041,602,440	18,663,646,112	5.58
01951	JXR	474,309,000	4,601,163,545	10.31	7,436,997,060	71,349,000,762	10.42
02231	JY GRANDMARK	1,079,000	184,120,780	0.59	3,130,980	538,625,641	0.58
00173	K. WAH INT'L	26,015,000	384,466,338	6.77	93,706,310	1,401,048,917	6.69
01638	KAISA GROUP	944,802,000	5,783,040,419	16.34	2,501,100,010	13,326,670,265	18.77
02168	KAISA PROSPER	9,894,250	184,758,172	5.36	204,354,882	3,989,031,039	5.12

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
09997	KANGJI MEDICAL	121,683,000	1,148,696,482	10.59	1,391,707,510	13,349,173,057	10.43
00496	KASEN	12,798,000	1,113,708,889	1.15	20,546,680	1,485,091,962	1.38
01888	KB LAMINATES	196,767,500	1,884,925,276	10.44	3,061,188,140	28,666,442,562	10.68
01501	KDL MEDICAL	3,764,400	42,695,665	8.82	130,652,312	1,422,892,971	9.18
00636	KERRY LOG NET	35,973,500	730,098,110	4.93	764,397,210	16,022,265,558	4.77
00683	KERRY PPT	40,761,000	422,824,526	9.64	959,302,560	9,883,374,336	9.71
02162	KEYMED BIO-B	1,660,000	85,540,357	1.94	71,669,725	5,400,158,940	1.33
01277	KINETIC DEV	3,872,000	654,575,650	0.59	2,234,560	420,762,550	0.53
00148	KINGBOARD HLDG	32,033,500	496,116,619	6.46	1,255,195,000	19,853,558,999	6.32
00268	KINGDEE INT'L	525,925,000	3,884,075,062	13.54	14,297,641,450	105,414,692,693	13.56
01468	KINGKEY FIN INT	18,390,000	2,129,989,297	0.86	12,175,800	1,442,605,937	0.84
03888	KINGSOFT	318,526,800	2,373,893,753	13.42	15,124,452,040	109,297,698,574	13.84
01031	KINGSTON FIN	146,000	60,041,944	0.24	80,610	33,580,459	0.24
09939	KINTOR PHARMA-B	46,015,500	898,968,546	5.12	2,459,461,165	41,894,536,983	5.87
01797	KOOLEARN	293,342,000	3,012,816,091	9.74	3,355,243,535	28,071,195,967	11.95
00034	KOWLOON DEV	1,961,000	82,868,558	2.37	18,492,500	772,046,309	2.40
01024	KUAISHOU-W	597,440,300	3,595,492,375	16.62	86,715,768,055	510,649,443,486	16.98
00135	KUNLUN ENERGY	664,312,000	5,013,004,895	13.25	5,074,693,240	37,861,233,178	13.40
01813	KWG GROUP	216,034,000	1,759,862,863	12.28	1,900,662,790	15,829,271,747	12.01
03913	KWG LIVING	154,017,000	1,884,783,375	8.17	1,093,411,590	13,788,521,593	7.93
00746	L & M CHEMICAL	2,560,000	385,638,552	0.66	20,587,320	3,335,760,265	0.62
00488	LAI SUN DEV	310,200	68,767,882	0.45	1,217,190	394,026,119	0.31
00191	LAI SUN INT'L	50,000	23,884,225	0.21	221,470	102,724,290	0.22
01270	LANGHAM-SS	884,000	256,036,598	0.35	868,180	257,161,111	0.34
02606	LANGUANGJUSTBON	4,245,800	197,723,175	2.15	175,361,290	9,745,177,386	1.80
06999	LEADING HLDGS	7,102,000	254,662,798	2.79	36,739,430	1,428,993,529	2.57
02314	LEE & MAN PAPER	169,878,000	1,284,455,654	13.23	1,092,545,120	8,540,888,444	12.79
00950	LEE'S PHARM	25,016,000	251,427,792	9.95	116,821,165	1,227,819,690	9.51
03396	LEGENDHOLDING	29,894,500	674,132,196	4.43	388,913,002	8,812,751,286	4.41
00992	LENOVO GROUP	4,274,648,000	14,994,738,382	28.51	38,524,084,680	135,479,864,672	28.44
02015	LI AUTO-W	11,345,800	83,109,085	13.65	1,375,915,670	9,922,563,535	13.87
02331	LI NING	345,712,000	3,851,272,414	8.98	27,616,000,025	291,212,635,139	9.48
01212	LIFESTYLE INT'L	22,522,000	186,044,095	12.11	128,893,295	1,092,607,090	11.80
01302	LIFETECH SCI	516,490,000	4,971,564,492	10.39	2,262,017,620	22,297,997,303	10.14
00823	LINK REIT	153,251,200	1,348,341,950	11.37	10,853,688,205	95,819,908,529	11.33
09959	LINKLOGIS-W	68,298,000	1,238,654,158	5.51	815,478,800	18,140,472,069	4.50
02824	LIPPO HK&M PROP	234,800	630,900	37.22	15,556,478	43,141,856	36.06
00194	LIU CHONG HING	62,000	18,626,863	0.33	456,960	145,554,511	0.31
01513	LIVZON PHARMA	22,145,600	210,011,376	10.54	671,278,210	6,654,753,454	10.09
00558	LK TECH	62,812,500	2,048,785,036	3.07	1,155,831,425	23,659,609,508	4.89
00973	L'OCCITANE	7,268,250	159,205,271	4.57	186,982,408	4,164,502,696	4.49
03380	LOGAN GROUP	205,294,000	1,490,796,756	13.77	1,914,966,550	13,525,704,604	14.16
00960	LONGFOR GROUP	290,553,000	2,048,472,573	14.18	11,911,254,100	84,151,337,010	14.15
03339	LONKING	207,454,000	2,292,549,501	9.05	563,378,430	6,362,984,063	8.85
00590	LUK FOOK HOLD	8,986,000	133,721,033	6.72	194,323,920	2,925,045,297	6.64

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01108	LUOYANG GLASS	46,016,000	1,671,302,925	2.75	529,144,520	17,613,540,429	3.00
02186	LUYE PHARMA	652,593,500	4,926,628,643	13.25	3,111,757,590	24,856,228,717	12.52
00095	LVGEM CHINA	117,346,000	1,192,368,052	9.84	212,935,980	2,237,071,820	9.52
00323	MAANSHAN IRON	140,988,000	3,316,592,857	4.25	478,359,000	11,515,394,368	4.15
01680	MACAU LEGEND	26,398,000	363,458,805	7.26	20,086,690	374,270,246	5.37
01999	MAN WAH HLDGS	364,324,800	3,198,403,168	11.39	5,830,512,836	49,768,323,212	11.72
01896	MAOYAN ENT	79,389,400	844,883,819	9.40	1,026,638,292	11,267,182,615	9.11
01317	MAPLELEAF EDU	587,106,000	3,611,893,989	16.25	991,945,020	5,918,696,391	16.76
01618	MCC	372,885,000	7,780,571,046	4.79	866,285,110	17,513,465,561	4.95
01183	MECOM POWER	39,624,000	1,773,190,170	2.23	157,229,080	8,094,449,703	1.94
08075	MEDIA ASIA	168,000	230,016,120	0.07	247,920	294,765,833	0.08
01268	MEIDONG AUTO	85,550,000	665,810,208	12.85	2,989,796,500	23,770,061,123	12.58
00357	MEILAN AIRPORT	56,305,000	442,416,591	12.73	1,809,006,650	15,017,317,087	12.05
03690	MEITUAN-W	866,710,200	6,577,351,800	13.18	245,217,351,490	1,846,902,883,187	13.28
00200	MELCO INT'L DEV	137,510,000	710,449,359	19.36	1,730,785,540	9,242,557,651	18.73
02319	MENGNIU DAIRY	615,261,000	3,626,232,284	16.97	28,182,800,300	162,916,825,714	17.30
02282	MGM CHINA	334,244,800	1,595,766,670	20.95	2,974,035,012	13,128,916,230	22.65
00853	MICROPORT	246,543,800	1,720,008,546	14.33	11,996,614,920	85,160,901,482	14.09
03990	MIDEA REAL EST	38,999,800	260,681,097	14.96	615,892,048	4,201,926,875	14.66
00909	MING YUAN CLOUD	320,824,000	1,896,329,196	16.92	9,499,469,910	68,141,622,498	13.94
00230	MINMETALS LAND	1,034,000	144,259,880	0.72	910,140	122,029,106	0.75
01988	MINSHENG BANK	1,934,264,000	6,990,191,595	27.67	7,248,625,455	26,578,679,203	27.27
01569	MINSHENG EDU	13,322,000	642,922,815	2.07	18,416,900	869,250,797	2.12
00425	MINTH GROUP	72,848,000	553,489,129	13.16	2,506,635,200	19,065,806,784	13.15
00071	MIRAMAR HOTEL	68,000	12,844,939	0.53	1,006,980	189,053,707	0.53
01208	MMG	795,220,000	7,877,281,167	10.10	3,053,232,560	32,058,772,624	9.52
01860	MOBVISTA	104,017,000	1,600,606,449	6.50	804,246,830	12,263,810,862	6.56
03600	MODERN DENTAL	7,372,000	1,092,770,744	0.67	44,149,360	7,369,127,250	0.60
00975	MONGOL MINING	1,458,000	840,704,463	0.17	2,715,990	2,149,244,828	0.13
00066	MTR CORPORATION	199,212,000	886,685,441	22.47	8,780,908,825	39,109,468,805	22.45
01817	MULSANNE GROUP	4,102,500	219,973,500	1.86	23,774,565	1,354,663,872	1.76
03918	NAGACORP	156,786,000	961,961,633	16.30	1,181,018,760	7,481,194,144	15.79
01336	NCI	185,745,700	1,729,964,877	10.74	4,832,770,155	46,988,759,634	10.28
02689	ND PAPER	284,601,000	2,393,244,325	11.89	3,269,512,610	27,382,462,140	11.94
00777	NETDRAGON	34,273,000	403,301,896	8.50	657,426,825	7,768,154,199	8.46
02131	NETJOY	52,086,000	759,095,228	6.86	225,622,980	4,810,475,780	4.69
02001	NEW HIGHER EDU	139,241,000	1,220,368,044	11.41	647,442,980	5,759,344,286	11.24
09901	NEW ORIENTAL-S	90,919,430	800,558,880	11.36	5,265,481,070	32,588,111,309	16.16
00017	NEW WORLD DEV	189,979,000	1,033,921,707	18.37	6,917,023,900	37,973,862,275	18.22
09911	NEWBORNTOWN	94,636,000	3,762,698,927	2.52	501,779,740	19,972,770,036	2.51
01316	NEXTEER	237,510,000	2,784,834,894	8.53	2,482,495,180	29,183,805,024	8.51
06606	NH HEALTH-B	11,672,500	243,477,632	4.79	441,761,325	14,667,318,263	3.01
03072	NIKKOAM INET	108,220	341,909	31.65	16,977,152	54,605,216	31.09
09072	NIKKOAM INET-U	38,960	398,490	9.78	6,094,435	65,759,436	9.27
01475	NISSIN FOODS	58,032,000	472,076,507	12.29	365,939,430	2,979,671,190	12.28

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
09633	NONGFU SPRING	320,236,000	2,295,246,626	13.95	15,145,832,030	106,278,873,485	14.25
09999	NTES-S	289,038,200	1,298,478,416	22.26	46,906,739,230	206,247,301,412	22.74
00659	NWS HOLDINGS	90,728,000	693,307,529	13.09	749,401,330	5,702,594,519	13.14
00329	OCI INTL	12,932,000	386,120,423	3.35	53,607,520	1,334,004,399	4.02
01477	OCUMENSION-B	25,561,500	271,770,965	9.41	561,039,745	6,597,678,607	8.50
00316	OOIL	12,266,500	317,073,840	3.87	1,774,549,950	42,871,297,463	4.14
00398	ORIENTAL WATCH	400,000	326,032,119	0.12	1,931,280	1,339,177,756	0.14
00622	OSHIDORI	1,788,000	569,775,150	0.31	1,012,020	327,929,544	0.31
03023	PA AI&ROBOTICS	2,400	127,976	1.88	355,290	18,217,484	1.95
01833	PA GOODDOCTOR	523,194,100	1,741,266,762	30.05	39,527,884,345	126,697,378,757	31.20
02343	PACIFIC BASIN	863,356,000	9,139,534,809	9.45	2,599,765,080	26,936,175,472	9.65
01382	PACIFICTEXTILES	65,739,000	672,369,744	9.78	297,449,250	3,154,763,827	9.43
00327	PAX GLOBAL	70,027,000	961,775,128	7.28	544,113,260	7,453,403,399	7.30
00008	PCCW	639,974,000	3,550,538,930	18.02	2,804,624,250	15,225,097,584	18.42
09996	PEIJIA-B	38,298,000	342,560,995	11.18	994,098,020	9,221,548,782	10.78
01665	PENTAMASTER	596,000	170,854,269	0.35	1,185,440	252,042,764	0.47
02798	PER ENERGY	185,135,000	1,967,564,250	9.41	699,521,050	7,937,525,032	8.81
01830	PERFECT MEDICAL	38,572,000	885,327,837	4.36	295,344,120	6,185,561,870	4.77
00857	PETROCHINA	6,623,824,000	37,340,035,985	17.74	22,200,524,480	120,456,110,791	18.43
03759	PHARMARON	45,295,700	307,833,352	14.71	7,232,386,560	48,740,140,096	14.84
01339	PICC GROUP	1,127,114,000	10,793,310,704	10.44	2,872,551,380	27,235,623,579	10.55
02328	PICC P&C	1,416,440,000	7,495,034,842	18.90	9,721,011,060	50,522,457,256	19.24
02318	PING AN	2,244,195,000	8,359,100,595	26.85	175,149,876,825	626,207,645,955	27.97
03080	PING AN CGB	22,600	82,500	27.39	2,941,080	10,759,635	27.33
03070	PING AN HKDIV	1,194,700	4,156,782	28.74	29,906,384	105,992,329	28.22
06049	POLY PPT SER	97,592,200	577,415,702	16.90	5,159,847,640	30,830,627,291	16.74
00119	POLY PROPERTY	149,579,000	1,611,991,551	9.28	321,638,530	3,546,990,582	9.07
00208	POLYTEC ASSET	375,000	503,357,191	0.07	549,300	721,017,960	0.08
09992	POP MART	175,691,200	1,214,920,606	14.46	10,349,401,000	75,607,537,631	13.69
03813	POU SHENG INT'L	72,827,000	889,396,545	8.19	109,189,420	1,454,589,081	7.51
00006	POWER ASSETS	116,292,000	665,220,885	17.48	5,393,399,100	30,801,364,628	17.51
01238	POWERLONG	156,444,000	1,476,768,252	10.59	977,978,640	9,634,972,101	10.15
09909	POWERLONG CM	32,411,500	362,552,631	8.94	757,296,150	8,361,086,278	9.06
02803	PP BEDROCK	796,500	2,786,530	28.58	6,701,618	23,947,765	27.98
02817	PP CGOVT BOND	101,620	1,527,940	6.65	12,654,411	187,618,822	6.74
82817	PP CGOVT BOND-R	70,840	108,880	65.06	8,767,476	13,491,080	64.99
09817	PP CGOVT BOND-U	97,580	146,760	66.49	12,198,894	18,250,613	66.84
03173	PP CN NEWECON	8,417,500	54,677,852	15.39	103,560,059	668,995,908	15.48
09173	PP CN NEWECON-U	1,852,000	7,700,518	24.05	22,510,589	93,923,313	23.97
03001	PP CNUSDPROP	195,340	836,730	23.35	56,469,698	275,648,137	20.49
83001	PP CNUSDPROP-R	8,485	17,515	48.44	2,638,001	5,315,286	49.63
09001	PP CNUSDPROP-U	27,010	110,675	24.40	8,343,671	36,366,187	22.94
01913	PRADA	24,645,100	284,836,347	8.65	1,212,463,015	14,169,642,383	8.56
03151	PREMIA STAR50	4,366,800	19,076,972	22.89	49,860,580	216,363,218	23.04
83151	PREMIA STAR50-R	846,200	8,282,000	10.22	9,025,129	93,436,195	9.66

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
09151	PREMIA STAR50-U	617,600	2,129,400	29.00	6,558,712	23,544,434	27.86
03077	PREMIA UST	25	365	6.85	97,142	1,418,350	6.85
02804	PREMIA VIET	72,450	1,209,845	5.99	6,939,331	114,766,379	6.05
09804	PREMIA VIET-U	49,600	566,300	8.76	4,911,218	56,491,363	8.69
03181	PREMIAASIATEC	3,750	385,095	0.97	519,970	53,985,709	0.96
09181	PREMIAASIATEC-U	2,000	519,650	0.38	260,297	69,471,085	0.37
02810	PREMIAEMASEAN	1,700	355,856	0.48	124,910	25,728,137	0.49
01809	PRINX CHENGSHAN	1,809,000	68,317,336	2.65	13,642,915	544,296,105	2.51
00808	PROSPERITY REIT	38,363,000	474,030,094	8.09	114,111,350	1,380,362,304	8.27
02378	PRU	871,600	24,910,336	3.50	128,435,720	3,782,944,119	3.40
01658	PSBC	3,923,363,000	16,484,767,803	23.80	21,708,019,050	90,483,020,710	23.99
01478	Q TECH	163,473,000	1,328,249,506	12.31	2,260,574,930	19,079,739,801	11.85
01576	QILU EXPRESSWAY	84,000	277,049,000	0.03	191,730	644,371,199	0.03
06198	QINGDAO PORT	53,209,000	492,549,982	10.80	239,821,990	2,165,663,253	11.07
01122	QINGLING MOTORS	8,732,000	534,547,823	1.63	14,791,340	937,966,744	1.58
02777	R&F PROPERTIES	617,454,800	2,455,894,010	25.14	3,941,959,520	16,110,596,221	24.47
09993	RADIANCE HLDGS	28,526,000	936,127,068	3.05	116,861,010	3,983,616,421	2.93
01337	RAZER	764,370,000	7,893,874,024	9.68	1,879,120,680	19,597,169,642	9.59
01196	REALORD GROUP	44,114,000	716,104,647	6.16	450,965,800	7,229,853,803	6.24
01622	REDCO GROUP	140,574,000	1,143,699,466	12.29	348,528,800	2,963,337,867	11.76
01881	REGAL REIT	3,319,000	128,334,796	2.59	5,146,070	199,971,349	2.57
09995	REMEGEN-B	19,025,000	200,781,346	9.48	1,895,021,975	20,960,883,424	9.04
06919	RENRUI HR	4,198,400	78,247,886	5.37	73,351,117	1,456,383,638	5.04
01098	ROAD KING INFRA	5,376,000	88,897,041	6.05	51,637,480	855,710,742	6.03
03301	RONSHINECHINA	84,759,000	520,051,927	16.30	355,376,700	2,300,863,158	15.45
01198	ROYALE HOME	176,000	258,700,428	0.07	494,120	459,283,488	0.11
01528	RS MACALLINE	21,956,200	305,801,595	7.18	109,498,440	1,504,305,132	7.28
01996	RSUN PPT	100,778,000	989,527,464	10.18	250,651,990	2,484,941,377	10.09
00486	RUSAL	35,689,000	1,328,299,880	2.69	210,273,730	7,422,228,541	2.83
00178	SA SA INT'L	182,602,000	2,774,293,059	6.58	329,602,960	5,060,227,932	6.51
01910	SAMSONITE	190,453,500	1,518,926,064	12.54	2,856,046,236	23,070,057,577	12.38
02814	SAMSUNG FANG	374,000	12,322,844	3.04	8,288,332	268,181,246	3.09
09814	SAMSUNG FANG-U	37,000	1,843,200	2.01	824,811	39,657,865	2.08
03187	SAMSUNG REITS	3,294,400	19,752,387	16.68	72,141,716	432,945,960	16.66
09187	SAMSUNG REITS-U	103,600	1,183,600	8.75	2,281,876	25,869,544	8.82
03132	SAMSUNG SEMICON	2,698,000	20,746,615	13.00	43,464,713	333,090,806	13.05
02812	SAMSUNGCDGN	16,587,200	123,657,718	13.41	360,618,448	2,695,242,432	13.38
09812	SAMSUNGCDGN-U	58,600	369,000	15.88	1,310,322	7,318,922	17.90
01928	SANDS CHINA LTD	809,559,200	4,245,132,419	19.07	21,526,863,108	108,157,454,132	19.90
00631	SANY INT'L	222,829,000	1,570,418,430	14.19	1,902,767,170	13,478,269,787	14.12
00606	SCE CM	4,140,000	706,621,915	0.59	14,854,730	2,787,823,075	0.53
01769	SCHOLAR EDU	44,285,000	1,860,563,643	2.38	194,663,890	5,737,281,346	3.39
06600	SCICLONE PHARMA	2,357,500	166,204,061	1.42	23,401,305	2,657,824,482	0.88
01787	SD GOLD	230,685,250	1,317,764,075	17.51	3,414,550,435	20,127,474,897	16.96
00251	SEA HOLDINGS	1,778,000	108,960,164	1.63	12,820,120	900,075,907	1.42

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01030	SEAZEN	296,970,000	2,650,148,084	11.21	2,126,146,760	19,205,354,251	11.07
01755	S-ENJOY SERVICE	91,703,000	750,751,901	12.21	1,834,309,620	15,022,293,048	12.21
00750	SFSY ENERGY	31,452,000	3,548,403,831	0.89	58,611,140	6,526,267,064	0.90
02727	SH ELECTRIC	450,970,000	4,837,394,617	9.32	1,114,550,160	12,157,223,284	9.17
00563	SH IND URBAN	32,302,000	891,792,238	3.62	23,675,040	679,946,712	3.48
02607	SH PHARMA	100,397,100	754,452,967	13.31	1,542,529,660	11,550,020,910	13.36
01385	SHANGHAI FUDAN	85,088,000	841,030,921	10.12	1,944,762,260	18,622,378,731	10.44
00363	SHANGHAI IND H	17,139,000	245,443,662	6.98	194,621,980	2,839,154,505	6.85
00338	SHANGHAI PECHEM	422,570,000	6,288,287,470	6.72	792,217,400	11,966,811,143	6.62
00069	SHANGRI-LA ASIA	56,316,000	377,896,926	14.90	404,110,460	2,747,150,941	14.71
00691	SHANSHUI CEMENT	290,000	51,995,099	0.56	601,240	110,829,339	0.54
02066	SHENGJINGBANK	95,000	107,708,368	0.09	662,995	753,332,555	0.09
06069	SHENGYE CAPITAL	23,898,500	559,805,214	4.27	187,254,760	4,310,686,865	4.34
00152	SHENZHEN INT'L	97,703,500	833,761,346	11.72	1,096,521,640	9,249,655,242	11.85
00604	SHENZHEN INVEST	429,964,000	1,917,583,144	22.42	933,234,160	4,212,456,451	22.15
00548	SHENZHENEXPRESS	71,438,000	700,669,842	10.20	542,508,260	5,356,824,867	10.13
02313	SHENZHOU INTL	163,175,700	875,993,313	18.63	27,919,128,910	147,565,016,996	18.92
00813	SHIMAO GROUP	724,551,000	3,636,638,521	19.92	8,545,486,210	48,686,082,509	17.55
00873	SHIMAO SERVICES	344,239,000	2,642,781,285	13.03	4,315,263,470	35,365,671,000	12.20
02877	SHINEWAY PHARM	11,603,000	243,149,649	4.77	82,977,910	1,756,756,231	4.72
02599	SHINSUN HOLD GP	32,731,000	1,338,660,743	2.45	136,956,180	4,034,470,093	3.39
00016	SHK PPT	172,282,500	932,331,026	18.48	18,562,282,375	101,603,873,988	18.27
00697	SHOUCHENG	201,598,000	1,752,230,589	11.51	385,918,480	3,319,707,597	11.63
00639	SHOUGANG RES	134,754,000	2,022,740,038	6.66	294,479,180	4,623,189,723	6.37
00272	SHUI ON LAND	179,258,500	2,335,397,749	7.68	216,388,955	2,861,190,171	7.56
00242	SHUN TAK HOLD	93,916,000	769,835,620	12.20	226,684,460	1,856,332,927	12.21
00107	SICHUAN EXPRESS	13,660,000	435,798,637	3.13	25,841,600	832,254,091	3.11
00460	SIHUAN PHARM	1,990,011,000	26,121,081,561	7.62	4,744,212,200	62,926,365,951	7.54
00807	SIICENVIRONMENT	6,871,000	554,337,490	1.24	10,385,820	755,016,670	1.38
00171	SILVER GRANT	11,776,000	217,708,630	5.41	8,919,900	147,611,510	6.04
02096	SIMCERE PHARMA	49,683,000	796,452,595	6.24	417,215,700	7,524,882,453	5.54
00716	SINGAMAS CONT	16,894,000	3,559,589,757	0.47	17,045,120	3,285,506,666	0.52
02103	SINIC HOLDINGS	14,217,000	845,122,847	1.68	56,779,670	2,141,603,144	2.65
01177	SINO BIOPHARM	2,724,490,000	16,061,095,535	16.96	20,360,044,630	120,037,722,094	16.96
00083	SINO LAND	174,136,000	1,117,923,162	15.58	1,975,138,220	12,477,570,128	15.83
00297	SINOFERT	286,080,000	5,214,988,893	5.49	397,541,880	6,694,541,094	5.94
01168	SINOLINK HOLD	7,764,000	1,969,905,696	0.39	2,354,110	681,606,765	0.35
03681	SINOMAB BIO-B	199,500	89,075,598	0.22	767,091	352,144,389	0.22
03377	SINO-OCEAN GP	412,383,000	3,122,072,551	13.21	702,854,270	5,305,719,828	13.25
06677	SINO-OCEAN SERV	2,836,500	397,318,550	0.71	12,871,260	2,014,721,513	0.64
00386	SINOPEC CORP	4,991,448,000	29,629,711,274	16.85	19,829,174,220	117,120,000,318	16.93
00934	SINOPEC KANTONS	66,466,000	684,447,693	9.71	194,240,340	2,019,342,766	9.62
02386	SINOPEC SEG	121,559,000	1,304,038,920	9.32	539,893,675	5,578,219,728	9.68
01033	SINOPEC SSC	80,294,000	3,272,413,049	2.45	58,200,200	2,457,338,237	2.37
01099	SINOPHARM	275,912,400	1,940,464,042	14.22	5,771,833,384	40,663,520,897	14.19

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00598	SINOTRANS	133,846,000	2,115,391,677	6.33	407,727,870	6,470,290,257	6.30
03808	SINOTRUK	105,983,500	942,683,279	11.24	1,757,489,965	16,251,129,449	10.81
01696	SISRAM MED	4,022,000	590,152,065	0.68	42,134,324	8,564,044,989	0.49
01308	SITC	217,319,000	1,862,103,971	11.67	5,878,624,760	50,855,568,859	11.56
00880	SJM HOLDINGS	869,993,000	4,822,026,285	18.04	6,169,541,800	36,007,724,592	17.13
00751	SKYWORTH GROUP	416,500,000	5,436,446,948	7.66	1,663,994,620	21,688,412,682	7.67
00315	SMARTONE TELE	8,543,000	174,748,202	4.89	38,589,215	804,887,970	4.79
00981	SMIC	564,945,500	17,798,651,540	3.17	14,189,485,230	444,220,179,689	3.19
06969	SMOORE INTL	505,451,000	5,205,283,640	9.71	24,711,266,600	273,596,731,403	9.03
00410	SOHO CHINA	521,181,000	4,617,882,766	11.29	1,679,299,620	14,229,575,125	11.80
02878	SOLOMON SYSTECH	41,276,000	6,549,887,651	0.63	33,240,680	5,517,199,773	0.60
01091	SOUTH MANGANESE	44,719,000	8,325,641,353	0.54	45,781,310	9,685,089,936	0.47
02840	SPDR GOLD TRUST	7,745	7,480,144	0.10	10,151,803	9,829,212,801	0.10
03073	SPDR GREATCHINA	9,200	5,227,980	0.18	536,360	297,579,490	0.18
01426	SPRING REIT	17,000	20,500,000	0.08	46,290	56,746,720	0.08
02005	SSY GROUP	433,700,000	2,235,614,215	19.40	1,994,076,880	10,858,721,601	18.36
02888	STANCHART	17,336,350	198,203,121	8.75	845,973,647	10,055,033,867	8.41
01159	STARLIGHT CUL	63,500	32,535,000	0.20	67,050	43,626,815	0.15
01836	STELLA HOLDINGS	3,286,500	81,267,143	4.04	31,619,115	796,598,641	3.97
00102	SUMMIT ASCENT	68,956,000	2,413,244,724	2.86	57,135,740	1,202,929,931	4.75
00086	SUN HUNG KAI CO	8,077,000	411,146,903	1.96	32,756,090	1,642,773,482	1.99
00580	SUN.KING TECH	137,240,000	3,438,806,682	3.99	499,606,460	12,345,480,766	4.05
01918	SUNAC	1,495,299,000	8,256,447,212	18.11	27,190,306,030	165,843,875,884	16.40
01516	SUNAC SERVICES	272,348,000	2,863,111,835	9.51	4,625,648,170	51,369,737,391	9.00
06808	SUNART RETAIL	643,829,000	2,897,829,133	22.22	3,559,452,490	16,089,423,971	22.12
01383	SUNCITY GROUP	62,490,000	2,463,408,647	2.54	27,799,100	976,252,760	2.85
01568	SUNDART HLDGS	10,000	16,832,647	0.06	19,700	17,566,368	0.11
01686	SUNEVISION	36,371,000	412,662,015	8.81	278,231,190	3,160,789,555	8.80
06900	SUNKWAN PPT	11,832,000	392,030,239	3.02	27,775,700	952,723,525	2.92
00435	SUNLIGHT REIT	30,689,000	343,595,074	8.93	139,885,880	1,550,867,310	9.02
02382	SUNNY OPTICAL	338,491,300	1,471,386,079	23.00	71,054,624,860	307,474,897,413	23.11
02608	SUNSHINE 100	51,000	16,619,000	0.31	27,060	13,346,885	0.20
06806	SWHY	53,355,200	2,120,201,495	2.52	110,557,392	4,431,762,474	2.49
00019	SWIRE PACIFIC A	43,611,000	358,321,796	12.17	2,395,418,100	19,179,921,943	12.49
00087	SWIRE PACIFIC B	5,412,500	250,576,892	2.16	48,924,650	2,215,304,807	2.21
01972	SWIREPROPERTIES	69,154,800	655,198,896	10.55	1,547,223,498	14,628,584,125	10.58
00088	TAI CHEUNG HOLD	111,000	24,770,656	0.45	557,370	123,277,883	0.45
01070	TCL ELECTRONICS	131,086,000	1,748,593,649	7.50	667,133,340	9,322,770,198	7.16
00669	TECHTRONIC IND	134,408,500	951,842,928	14.12	20,072,415,250	136,031,512,159	14.76
00700	TENCENT	693,731,000	6,402,654,922	10.84	399,853,372,250	3,531,717,392,557	11.32
06868	TENFU	19,000	12,912,050	0.15	108,310	69,883,562	0.15
02678	TEXHONG TEXTILE	14,159,500	318,894,981	4.44	159,168,760	3,679,849,053	4.33
00321	TEXWINCA HOLD	328,000	312,044,097	0.11	539,680	545,320,254	0.10
01083	TG SMART ENERGY	104,466,000	1,693,304,151	6.17	568,637,610	8,562,714,285	6.64
00990	THEME INT'L	47,310,000	4,754,959,371	0.99	48,106,300	3,854,997,303	1.25

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00028	TIAN AN	101,000	75,035,891	0.13	444,500	336,061,773	0.13
01600	TIAN LUN GAS	21,096,000	380,423,025	5.55	160,472,245	2,914,792,392	5.51
00826	TIANGONG INT'L	124,766,000	3,417,113,898	3.65	527,255,040	14,321,888,663	3.68
01065	TIANJIN CAPITAL	3,630,000	139,441,467	2.60	11,811,000	482,202,486	2.45
01773	TIANLI EDU	249,085,000	2,989,685,157	8.33	945,090,650	12,847,733,211	7.36
00819	TIANNENG POWER	136,984,000	1,694,252,525	8.09	1,875,551,240	23,685,612,759	7.92
01115	TIBET WATER	537,000	526,835,000	0.10	301,810	407,627,591	0.07
03347	TIGERMED	37,501,700	256,811,098	14.60	5,439,218,625	39,008,075,819	13.94
01233	TIMES CHINA	146,072,000	1,045,745,926	13.97	1,260,328,200	8,498,304,214	14.83
03898	TIMES ELECTRIC	207,031,700	2,208,209,952	9.38	8,932,563,300	94,529,230,239	9.45
09928	TIMES NEIGHBOR	169,433,000	1,248,133,569	13.57	952,112,900	7,272,499,730	13.09
00322	TINGYI	374,680,000	1,951,243,800	19.20	5,537,155,960	28,762,017,647	19.25
02383	TOM GROUP	248,000	342,891,044	0.07	112,090	198,319,180	0.06
01666	TONG REN TANG	35,179,000	499,404,350	7.04	211,267,200	3,060,606,044	6.90
00780	TONGCHENGTRAVEL	260,976,400	1,738,042,353	15.02	4,485,417,756	30,181,789,836	14.86
00698	TONGDA GROUP	598,180,000	7,080,825,629	8.45	178,762,830	2,705,442,720	6.61
06100	TONGDAO LIEPIN	5,303,000	95,706,942	5.54	85,626,552	1,573,152,606	5.44
03613	TONGRENTANGCM	27,969,000	328,328,322	8.52	307,530,810	3,643,391,644	8.44
06110	TOPSPORTS	498,098,000	3,105,704,963	16.04	5,387,551,250	34,289,959,102	15.71
02800	TRACKER FUND	15,243,931,719	32,053,442,045	47.56	418,487,088,268	875,925,796,504	47.78
00570	TRAD CHI MED	510,724,000	4,313,722,880	11.84	2,177,160,180	19,110,680,829	11.39
00062	TRANSPORT INT'L	406,000	16,802,378	2.42	5,990,856	247,087,870	2.42
00696	TRAVELSKY TECH	142,885,000	933,636,095	15.30	2,323,310,070	14,932,415,723	15.56
03007	TRFXIC50	760	2,639	28.80	232,958	835,125	27.89
09961	TRIP.COM-S	9,001,900	59,167,389	15.21	1,973,801,170	14,668,427,894	13.46
03055	TRMSCICHINA	200	36,195	0.55	42,510	7,014,601	0.61
03092	TRMSCITHAI	1,150	52,350	2.20	220,340	9,702,003	2.27
00732	TRULY INT'L	215,832,000	4,710,869,836	4.58	467,149,500	9,759,676,543	4.79
00168	TSINGTAO BREW	95,056,000	1,061,078,641	8.96	6,798,911,300	75,860,972,007	8.96
00511	TVB	13,438,500	141,353,977	9.51	79,007,433	956,298,168	8.26
02666	UNI MEDICAL	81,259,000	1,170,261,708	6.94	507,339,765	7,573,980,898	6.70
03933	UNITED LAB	103,010,000	1,141,406,244	9.02	596,200,640	6,701,907,320	8.90
02337	UNITED STRENGTH	832,000	221,641,296	0.38	10,333,800	1,988,198,426	0.52
00467	UNITEDENERGY GP	314,194,000	4,679,359,638	6.71	363,424,810	5,065,099,944	7.18
00220	U-PRESID CHINA	165,312,000	1,124,440,615	14.70	1,400,787,940	9,265,103,411	15.12
00806	VALUE PARTNERS	98,619,000	1,139,679,662	8.65	494,840,950	5,926,757,135	8.35
03081	VALUEGOLD ETF	2,848,200	17,764,916	16.03	122,483,880	766,598,592	15.98
83081	VALUEGOLD ETF-R	58,000	348,800	16.63	2,468,263	14,936,526	16.53
09081	VALUEGOLD ETF-U	42,400	181,900	23.31	1,814,022	7,716,946	23.51
02805	VANGUARDASXJP	49,900	3,453,977	1.44	1,548,634	108,224,596	1.43
09805	VANGUARDASXJP-U	105,800	466,500	22.68	3,350,080	14,632,419	22.89
83085	VANGUARDAXJHY-R	33,700	444,800	7.58	850,339	11,274,699	7.54
03169	VANGUARDCHINA	1,096,100	12,498,192	8.77	14,375,945	159,434,049	9.02
83169	VANGUARDCHINA-R	110,300	1,686,400	6.54	1,463,395	21,685,724	6.75
09169	VANGUARDCHINA-U	692,200	6,795,710	10.19	9,345,380	87,497,977	10.68

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
03101	VANGUARDDVEUR	16,800	17,015,018	0.10	341,486	358,742,680	0.10
83101	VANGUARDDVEUR-R	118,400	1,593,200	7.43	2,599,027	34,366,965	7.56
09101	VANGUARDDVEUR-U	25,900	485,400	5.34	541,915	10,455,576	5.18
03126	VANGUARDJAPAN	312,600	3,249,600	9.62	9,665,338	99,466,766	9.72
83126	VANGUARDJAPAN-R	716,400	2,992,600	23.94	21,861,894	90,255,936	24.22
09126	VANGUARDJAPAN-U	210,300	1,152,600	18.25	6,445,857	35,173,945	18.33
03140	VANGUARDSP500	1,627,400	23,207,283	7.01	44,893,164	664,876,379	6.75
83140	VANGUARDSP500-R	1,063,800	4,435,200	23.99	30,482,844	127,487,460	23.91
09140	VANGUARDSP500-U	477,600	2,816,900	16.95	13,310,171	81,231,864	16.39
02500	VENUS MEDTECH-B	97,042,000	596,950,596	16.26	5,174,533,475	33,590,347,066	15.40
02148	VESYNC	48,475,000	864,799,409	5.61	542,495,160	11,567,857,117	4.69
03331	VINDA INT'L	120,590,000	511,573,170	23.57	2,811,627,560	12,145,168,070	23.15
01565	VIRSCEND EDU	22,084,000	681,379,800	3.24	30,257,835	929,157,426	3.26
00345	VITASOY INT'L	164,624,000	665,242,345	24.75	4,075,892,700	16,021,743,195	25.44
01873	VIVA BIOTECH	287,216,000	2,462,812,915	11.66	2,136,341,600	19,166,260,263	11.15
08032	VIVA CHINA	192,200,000	4,600,815,712	4.18	295,231,040	6,002,333,123	4.92
03738	VOBILE GROUP	217,211,000	1,903,660,719	11.41	2,855,940,550	29,841,805,319	9.57
01608	VPOWER GROUP	103,871,000	1,118,763,914	9.28	181,664,810	1,952,104,982	9.31
00856	VSTECS	24,308,000	574,800,483	4.23	171,244,680	4,071,186,800	4.21
00303	VTECH HOLDINGS	13,877,500	121,650,322	11.41	977,336,750	8,463,635,976	11.55
00151	WANT WANT CHINA	638,955,000	2,687,041,367	23.78	3,734,364,290	15,562,043,609	24.00
03393	WASION HOLDINGS	11,598,000	561,342,833	2.07	33,010,960	1,575,650,939	2.10
09898	WB-SW	89,840	2,883,085	3.12	21,290,492	701,148,124	3.04
01140	WEALTHKING INV	356,000	269,400,000	0.13	251,120	208,819,160	0.12
02338	WEICHAI POWER	476,085,000	3,211,442,116	14.82	8,779,082,130	59,494,046,680	14.76
01066	WEIGAO GROUP	387,780,000	2,679,855,456	14.47	5,727,386,828	39,350,690,555	14.55
02013	WEIMOB INC	1,349,415,000	10,293,420,430	13.11	20,042,318,730	163,109,633,075	12.29
02233	WESTCHINACEMENT	345,158,000	6,494,659,855	5.31	432,268,440	8,351,243,585	5.18
00288	WH GROUP	1,130,113,000	9,313,989,112	12.13	7,138,462,475	58,986,458,712	12.10
00004	WHARF HOLDINGS	121,756,000	571,994,551	21.29	2,949,811,100	13,452,811,840	21.93
01997	WHARF REIC	202,839,000	807,521,982	25.12	8,594,837,850	34,564,000,984	24.87
00289	WING ON CO	5,000	2,538,830	0.20	89,720	44,315,458	0.20
00369	WING TAI PPT	44,000	15,545,291	0.28	182,520	65,534,016	0.28
06068	WISDOM EDU INTL	120,200,000	1,265,191,600	9.50	299,009,540	3,060,117,585	9.77
02827	WISE CSI300 ETF	292,600	9,010,451	3.25	14,643,024	462,584,294	3.17
03024	WISE SSE50ETF	8,900	363,610	2.45	307,492	12,110,901	2.54
02825	WISECSIHK100ETF	165,200	943,200	17.51	5,043,300	28,622,668	17.62
00305	WULING MOTORS	90,510,000	5,692,890,929	1.59	172,877,900	12,216,366,554	1.42
02359	WUXI APPTEC	113,743,000	594,766,728	19.12	18,831,498,290	97,939,320,611	19.23
02269	WUXI BIO	677,517,000	4,967,633,672	13.64	76,273,993,550	542,609,846,744	14.06
01128	WYNN MACAU	681,010,400	3,064,340,579	22.22	6,241,229,440	29,735,620,037	20.99
03049	X TRCSI300	12,000	3,486,368	0.34	149,628	41,720,868	0.36
03087	X TRFTSEVIET	1,220	841,542	0.14	367,593	269,963,725	0.14
03020	X TRMSCIUSA	7,710	59,256	13.01	7,482,439	57,426,604	13.03
02400	XD INC	163,004,200	1,046,015,308	15.58	8,833,664,530	59,592,519,054	14.82

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
07348	XI CSOP A50	1,441,600	7,145,000	20.18	12,180,063	59,415,540	20.50
07322	XI GX S&P500	51,000	12,538,800	0.41	380,188	83,924,547	0.45
07552	XI2CSOPHSTECH	1,007,449,100	4,137,443,007	24.35	7,508,616,947	31,091,521,734	24.15
00520	XIABUXIABU	232,486,500	1,909,821,398	12.17	2,378,678,430	23,121,360,105	10.29
01810	XIAOMI-W	4,954,011,400	38,555,810,055	12.85	128,268,644,048	1,004,379,610,329	12.77
07373	XICAMCSI300	1,145,400	11,919,800	9.61	6,858,403	70,861,733	9.68
07333	XICSOPCSI300	52,214,700	176,509,200	29.58	357,243,903	1,201,492,896	29.73
01899	XINGDA INT'L	30,668,000	355,985,406	8.61	55,524,040	677,942,813	8.19
00098	XINGFA ALUM	8,000	33,413,842	0.02	63,620	378,733,053	0.02
00811	XINHUA WINSHARE	10,921,000	268,292,040	4.07	59,259,340	1,442,183,452	4.11
03603	XINJI SHAXI	669,000	1,064,355,000	0.06	1,222,830	1,290,393,965	0.09
01799	XINTE ENERGY	188,796,000	2,742,972,842	6.88	3,536,470,236	53,035,285,720	6.67
03868	XINYI ENERGY	402,228,000	3,160,778,465	12.73	1,765,863,780	14,552,731,149	12.13
00868	XINYI GLASS	396,971,000	2,941,143,748	13.50	9,486,756,220	72,107,061,917	13.16
00968	XINYI SOLAR	2,154,020,000	12,701,839,028	16.96	31,989,494,920	193,609,673,766	16.52
07248	XL2 CSOP A50	8,235,100	54,848,200	15.01	55,737,861	345,436,168	16.14
07272	XL2CAMCSI300	4,537,800	21,873,300	20.75	57,343,606	280,374,595	20.45
07233	XL2CSOPCSI300	101,564,800	529,869,173	19.17	1,077,630,296	5,722,388,130	18.83
07226	XL2CSOPHSTECH	2,584,625,600	7,354,922,856	35.14	12,829,957,235	36,354,296,757	35.29
09868	XPENG-W	37,525,900	178,195,851	21.06	6,750,539,990	30,627,734,011	22.04
01368	XTEP INT'L	684,153,500	5,386,584,444	12.70	7,022,709,840	55,343,999,637	12.69
01585	YADEA	304,792,000	1,870,772,499	16.29	5,018,186,480	31,305,838,337	16.03
01171	YANKUANG ENERGY	964,986,000	5,853,408,088	16.49	11,527,975,640	66,953,199,705	17.22
09923	YEAHKA	88,506,800	772,923,141	11.45	4,660,157,340	41,477,761,129	11.24
02158	YIDU TECH	34,749,700	593,371,546	5.86	950,009,577	28,009,749,140	3.39
01579	YIHAI INTL	251,849,000	1,072,028,491	23.49	16,454,902,200	72,483,199,163	22.70
01902	YINCHENG INTL	6,044,000	188,797,628	3.20	16,907,380	559,529,259	3.02
00408	YIP'S CHEMICAL	52,000	101,228,283	0.05	228,480	447,173,667	0.05
02858	YIXIN	62,281,500	1,233,167,423	5.05	144,612,075	2,915,989,888	4.96
06869	YOFC	25,500,000	399,445,261	6.38	287,059,615	4,790,725,072	5.99
03669	YONGDA AUTO	104,582,500	1,707,482,468	6.12	1,296,862,490	21,942,973,601	5.91
09858	YOURAN DAIRY	9,779,000	632,346,903	1.55	40,465,880	3,462,473,151	1.17
01431	YST DAIRY	465,000	616,379,256	0.08	388,240	418,229,042	0.09
00551	YUE YUEN IND	51,336,000	359,876,578	14.26	878,641,315	6,381,390,158	13.77
00123	YUEXIU PROPERTY	132,038,000	2,641,396,782	5.00	484,158,692	6,960,322,372	6.96
00405	YUEXIU REIT	49,794,000	607,428,128	8.20	184,449,800	2,262,467,072	8.15
01052	YUEXIUTRANSPORT	83,706,000	640,424,790	13.07	410,194,040	3,160,871,023	12.98
06169	YUHUA EDU	537,446,000	4,107,692,913	13.08	2,826,445,340	21,189,975,073	13.34
09987	YUM CHINA-S	6,571,400	39,261,703	16.74	3,017,446,410	18,252,867,564	16.53
00376	YUNFENG FIN	6,556,000	492,889,400	1.33	16,911,200	1,316,649,214	1.28
01068	YURUN FOOD	10,265,000	1,049,402,389	0.98	9,360,910	1,034,493,309	0.90
01628	YUZHOU GROUP	572,070,000	3,185,990,178	17.96	1,054,043,870	5,494,020,599	19.19
06060	ZA ONLINE	259,054,100	1,607,895,718	16.11	10,682,024,660	71,054,607,325	15.03
09688	ZAI LAB-SB	1,511,300	9,029,198	16.74	1,491,151,655	9,218,115,345	16.18
02098	ZALL SMARTCOM	9,230,000	3,343,714,542	0.28	5,033,625	1,931,945,106	0.26

Short selling transactions by designated stock, 2021 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00185	ZENSUN ENT	158,513,000	3,078,862,176	5.15	148,300,980	1,993,448,175	7.44
01660	ZHAOBANGJI PPT	4,312,000	924,192,060	0.47	2,607,760	569,614,734	0.46
01818	ZHAOJIN MINING	429,094,000	2,120,152,537	20.24	2,968,057,820	15,444,541,841	19.22
00576	ZHEJIANGEXPRESS	213,118,000	1,153,526,263	18.48	1,431,872,100	7,803,654,667	18.35
06158	ZHENRO PPT	369,806,000	2,568,154,647	14.40	1,603,839,610	11,406,480,065	14.06
06958	ZHENRO SERVICES	19,597,000	790,277,280	2.48	88,778,150	3,710,525,222	2.39
02772	ZHONGLIANG HLDG	94,541,000	898,425,380	10.52	404,049,270	4,087,811,670	9.88
00881	ZHONGSHENG HLDG	158,645,500	1,101,986,294	14.40	9,577,958,600	65,713,273,478	14.58
03633	ZHONGYU GAS	13,665,000	415,490,225	3.29	93,543,140	2,686,369,877	3.48
01458	ZHOU HEI YA	308,433,500	2,508,064,795	12.30	2,616,889,040	21,324,010,699	12.27
01176	ZHUGUANG HOLD	122,946,000	1,514,521,957	8.12	236,980,320	2,767,562,339	8.56
02899	ZIJIN MINING	1,887,278,000	14,501,551,515	13.01	20,539,483,900	158,956,954,475	12.92
00564	ZMJ	64,988,800	987,364,933	6.58	638,186,858	9,759,846,032	6.54
01157	ZOOMLION	446,181,200	3,444,870,786	12.95	3,687,411,988	29,972,189,193	12.30
00763	ZTE	204,833,000	2,279,440,477	8.99	4,711,328,650	52,881,041,567	8.91
02057	ZTO EXPRESS-SW	4,280,050	34,289,972	12.48	1,014,980,040	8,203,015,356	12.37
01216	ZYBANK	13,000	231,311,094	0.01	12,930	232,402,011	0.01
02190	ZYLOXTB-B	594,000	89,990,161	0.66	14,430,030	4,673,877,851	0.31
Total		**327,506,212,209**	**2,721,281,497,159**	**12.03**	**5,559,218,785,274**	**35,529,653,606,967**	**15.65**

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Monthly short selling transactions, 2021

Month	No. of designated securities (month-end figure)	Number of shares*	Value of transactions* (HK$)	No. of designated securities recording short selling
Jan	903	34,746,463,627	611,044,385,118	787
Feb	938	29,980,312,044	521,287,410,709	808
Mar	940	36,636,773,311	576,721,259,367	802
Apr	944	21,297,054,025	353,962,248,380	775
May	1,000	25,587,525,270	434,825,975,389	829
Jun	1,003	23,024,785,619	395,503,055,698	822
Jul	1,011	29,276,406,505	538,590,213,080	833
Aug	1,028	27,440,147,735	484,436,605,839	869
Sep	1,027	29,977,336,285	467,208,373,966	876
Oct	1,024	22,271,764,743	378,419,951,648	885
Nov	1,024	25,269,906,235	427,950,827,830	890
Dec	1,024	21,997,736,810	369,268,478,250	873
Total		**327,506,212,209**	**5,559,218,785,274**	

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Newly listed companies

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
09600	Newlink Technology Inc.	Offer for subscription Offer for placing Information Technology – Software & Services	100,000,000 (a) 100,000,000 (b)	4.36 4.36	436.00 436.00	2021/01/06 446.57	Mont Avenir Capital Ltd.
01855	ZONBONG LANDSCAPE Environmental Ltd.	Offer for subscription Offer for sale Offer for placing Properties & Construction – Construction	20,626,000 (a) 13,750,000 (b) 34,374,000 (b)	2.00 2.00 2.00	41.25 27.50 68.75	2021/01/06 36.25	China Tonghai Capital Ltd.
01440	Deyun Holding Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Textiles & Clothing	63,000,000 (a) 252,000,000 (b)	0.40 0.40	25.20 100.80	2021/01/13 33.62	Dakin Capital Ltd.
02129	Legion Consortium Ltd.	Offer for subscription Offer for sale Offer for placing Industrials – Industrial Transportation	156,250,000 (a) 78,125,000 (b) 78,125,000 (b)	0.40 0.40 0.40	62.50 31.25 31.25	2021/01/13 443.37	Ample Capital Ltd.
02153	Tat Hong Equipment Service Co., Ltd.	Offer for subscription Offer for sale Offer for placing Industrials – Industrial Engineering	37,272,000 (a) 81,000,000 (b) 254,448,000 (b)	1.73 1.73 1.73	64.48 140.13 440.20	2021/01/13 10.30	Fortune Financial Capital Ltd.
01490	Cheshi Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Media & Entertainment	102,000,000 (a) 132,600,000 (b)	1.23 1.23	125.46 163.10	2021/01/15 1,274.76	ABCI Capital Ltd.
01643	Modern Chinese Medicine Group Co., Ltd.	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	45,000,000 (a) 105,000,000 (b)	1.18 1.18	53.10 123.90	2021/01/15 30.66	Soochow Securities International Capital Ltd.
02146	Roiserv Lifestyle Services Co., Ltd. – H Shares	Offer for subscription Offer for placing Properties & Construction – Properties	9,400,000 (a) 84,600,000 (b)	13.46 13.46	126.52 1,138.72	2021/01/15 6.62	Huatai Financial Holdings (Hong Kong) Ltd.
02125	Strawbear Entertainment Group	Offer for subscription Offer for placing Consumer Discretionary – Media & Entertainment	82,890,000 (a) 107,757,000 (b)	5.88 5.88	487.39 633.61	2021/01/15 403.54	China Merchants Securities (HK) Co., Ltd. China Securities (International) Corporate Finance Co. Ltd.
02158	Yidu Tech Inc.	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	78,225,000 (a) 101,692,500 (b)	26.30 26.30	2,057.32 2,674.51	2021/01/15 1,633.84	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
09608	Sundy Service Group Co. Ltd	Offer for subscription Offer for placing Properties & Construction – Properties	240,000,000 (a) 560,000,000 (b)	0.25 0.25	60.00 140.00	2021/01/18 25.24	Cinda International Capital Ltd.
02159	Mediwelcome Healthcare Management & Technology Inc.	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	25,000,000 (a) 25,000,000 (b)	3.00 3.00	75.00 75.00	2021/01/19 168.75	CEB International Capital Corporation Ltd.
06668	E-Star Commercial Management Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	125,000,000 (a) 145,640,000 (b)	3.86 3.86	482.50 562.17	2021/01/26 756.85	CCB International Capital Ltd. China Securities (International) Corporate Finance Co. Ltd.
02160	MicroPort CardioFlow Medtech Corporation – B	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	102,810,000 (a) 133,653,000 (b)	12.20 12.20	1,254.28 1,630.57	2021/02/04 769.00	J.P. Morgan Securities (Far East) Ltd. Citigroup Global Markets Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd.
02161	JBM (Healthcare) Ltd.	Offer for subscription Healthcare – Pharmaceuticals & Biotechnology	44,686,000 (a)	1.20	53.62	2021/02/05 22.47	China Galaxy International Securities (Hong Kong) Co., Ltd.
01024	Kuaishou Technology – W	Offer for subscription Offer for sale Offer for placing Information Technology – Software & Services	21,913,200 (a) 4,045,400 (b) 394,042,700 (b)	115.00 115.00 115.00	2,520.02 465.22 45,314.91	2021/02/05 1,204.16	Morgan Stanley Asia Ltd. Merrill Lynch Far East Ltd. China Renaissance Securities (Hong Kong) Ltd.
02170	Suzhou Basecare Medical Corporation Ltd. – B – H Shares	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	33,335,000 (a) 40,191,000 (b)	27.36 27.36	912.05 1,099.63	2021/02/08 402.80	CLSA Capital Markets Ltd.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
06606	New Horizon Health Ltd. - B	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	38,299,000 (a) 49,788,500 (b)	26.66 26.66	1,021.05 1,327.36	2021/02/18 4,133.19	Goldman Sachs (Asia) L.L.C. UBS Securities Hong Kong Ltd.
01473	Pangaea Connectivity Technology Ltd.	Offer for subscription Offer for placing Information Technology - IT Hardware	100,000,000 (a) 150,000,000 (b)	0.56 0.56	56.00 84.00	2021/02/19 64.15	Honestum International Ltd.
06127	JOINN Laboratories (China) Co., Ltd. - H Shares	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	15,597,000 (a) 27,768,600 (b)	151.00 151.00	2,355.15 4,193.06	2021/02/26 310.00	CLSA Capital Markets Ltd.
06600	SciClone Pharmaceuticals (Holdings) Ltd.	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	57,993,000 (a) 57,991,500 (b)	18.80 18.80	1,090.27 1,090.24	2021/03/03 1,068.05	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd.
06601	Cheerwin Group Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Support Services	166,667,000 (a) 166,666,500 (b)	9.20 9.20	1,533.34 1,533.33	2021/03/10 172.32	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd.
01413	Kwong Luen Engineering Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	100,000,000 (a) 150,000,000 (b)	0.54 0.54	54.00 81.00	2021/03/11 54.29	Grande Capital Ltd.
02518	Autohome Inc. - S	Offer for subscription Offer for sales Offer for placing Information Technology - Software & Services	3,029,200 (a) 10,096,800 (b) 21,709,200 (b)	176.30 176.30 176.30	534.05 1,780.07 3,827.33	2021/03/15 10.71	China International Capital Corporation Hong Kong Securities Ltd. Goldman Sachs (Asia) L.L.C. Credit Suisse (Hong Kong) Ltd.
01927	Jiujiuwang Food International Ltd.	Offer for subscription Offer for placing Consumer Staples - Food & Beverages	99,000,000 (a) 99,000,000 (b)	0.75 0.75	74.25 74.25	2021/03/16 214.36	Lego Corporate Finance Ltd.
09888	Baidu, Inc. - SW	Offer for subscription Offer for placing Information Technology - Software & Services	11,400,000 (a) 83,600,000 (b)	252.00 252.00	2,872.80 21,067.20	2021/03/23 112.01	Merrill Lynch (Asia Pacific) Ltd. CLSA Capital Markets Ltd. Goldman Sachs (Asia) L.L.C.
02187	Zhixin Group Holding Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	37,408,000 (a) 149,592,000 (b)	1.50 1.50	56.11 224.39	2021/03/26 25.24	Kingsway Capital Ltd.
09626	Bilibili Inc. - SW	Offer for subscription Offer for placing Information Technology - Software & Services	3,000,000 (a) 25,750,000 (b)	808.00 808.00	2,424.00 20,806.00	2021/03/29 174.19	Morgan Stanley Asia Ltd. Goldman Sachs (Asia) L.L.C. J.P. Morgan Securities (Far East) Ltd. UBS Securities Hong Kong Ltd.
06608	Bairong Inc. - W	Offer for subscription Offer for placing Information Technology - Software & Services	61,912,000 (a) 61,910,500 (b)	31.80 31.80	1,968.80 1,968.75	2021/03/31 150.67	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd. CMBC International Capital Ltd.
06939	MEGAIN Holding (Cayman) Co., Ltd.	Offer for subscription Offer for placing Industrials - Industrial Engineering	37,500,000 (a) 106,250,000 (b)	1.26 1.26	47.25 133.88	2021/03/31 22.43	CMBC International Capital Ltd.
02195	Unity Enterprise Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction - Construction	75,000,000 (a) 175,000,000 (b)	0.56 0.56	42.00 98.00	2021/03/31 21.65	Grande Capital Ltd.
09959	Linklogis Inc. - W	Offer for subscription Offer for placing Information Technology - Software & Services	126,806,000 (a) 394,004,000 (b)	17.58 17.58	2,229.25 6,926.59	2021/04/09 98.46	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
09961	Trip.com Group Ltd. - S	Offer for subscription Offer for placing Consumer Discretionary - Travel & Leisure	3,479,950 (a) 32,900,950 (b)	268.00 268.00	932.63 8,817.45	2021/04/19 17.78	J.P. Morgan Securities (Far East) Ltd. China International Capital Corporation Hong Kong Securities Ltd. Goldman Sachs (Asia) L.L.C.
06622	Zhaoke Ophthalmology Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	49,427,000 (a) 74,140,500 (b)	16.80 16.80	830.37 1,245.56	2021/04/29 56.00	Goldman Sachs (Asia) L.L.C. Jefferies Hong Kong Ltd.
02176#	CCID Consulting Co. Ltd. - H Shares	Transfer of Listing Information Technology - Software & Services	n.a.	n.a.	n.a.	2021/05/14 n.a.	+ n.a.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
03658	New Hope Service Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	20,000,000 (a) 194,126,000 (b)	3.80 3.80	76.00 737.68	2021/05/25 4.75	ABCI Capital Ltd.
02618	JD Logistics, Inc.	Offer for subscription Offer for placing Industrials – Commercial & Professional Services	54,824,500 (a) 645,710,400 (b)	40.36 40.36	2,212.72 26,060.87	2021/05/28 715.61	Merrill Lynch (Asia Pacific) Ltd. Goldman Sachs (Asia) L.L.C. Haitong International Capital Ltd.
09982	Central China Management Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	32,818,000 (a) 295,354,000 (b)	3.00 3.00	98.45 886.06	2021/05/31 4.99	ICBC International Capital Ltd. CCB International Capital Ltd.
06699	Angelalign Technology Inc.	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	8,414,800 (a) 10,939,200 (b)	173.00 173.00	1,455.76 1,892.48	2021/06/16 2,079.16	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
02171	CARsgen Therapeutics Holdings Ltd. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	47,373,500 (a) 47,373,500 (b)	32.80 32.80	1,553.85 1,553.85	2021/06/18 434.57	Goldman Sachs (Asia) L.L.C. UBS Securities Hong Kong Ltd.
09858	China Youran Dairy Group Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Staples – Food & Beverages	71,544,000 (a) 222,031,000 (b) 421,860,000 (b)	6.98 6.98 6.98	499.38 1,549.78 2,944.58	2021/06/18 3.73	Huatai Financial Holdings (Hong Kong) Ltd. Credit Suisse (Hong Kong) Ltd.
02155	Morimatsu International Holdings Co. Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	75,000,000 (a) 212,500,000 (b)	2.48 2.48	186.00 527.00	2021/06/28 23.71	Sinolink Securities (Hong Kong) Co. Ltd.
06626	Yuexiu Services Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties Yue Xiu Capital Ltd.	36,966,000 (a) 376,104,500 (b)	4.88 4.88	180.39 1,835.39	2021/06/28 9.88	ABCI Capital Ltd. China Securities (International) Corporate Finance Co. Ltd. CCB International Capital Ltd.
00013	HUTCHMED (China) Ltd.	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	13,000,000 (a) 106,600,000 (b)	40.10 40.10	521.30 4,274.66	2021/06/30 5.23	Morgan Stanley Asia Ltd. Jefferies Hong Kong Ltd. China International Capital Corporation Hong Kong Securities Ltd.
02150	Nayuki Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Travel & Leisure	128,634,500 (a) 128,634,500 (b)	19.80 19.80	2,546.96 2,546.96	2021/06/30 432.03	J.P. Morgan Securities (Far East) Ltd. CMB International Capital Ltd. Huatai Financial Holdings (Hong Kong) Ltd.
00606	SCE Intelligent Commercial Management Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	50,000,000 (a) 525,000,000 (b)	3.70 3.70	185.00 1,942.50	2021/07/02 1.30	Merrill Lynch Far East Ltd. UBS Securities Hong Kong Ltd.
02190	Zylox-Tonbridge Medical Technology Co., Ltd. – B – H Shares	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	30,000,000 (a) 39,000,000 (b)	42.70 42.70	1,281.00 1,665.30	2021/07/05 1,190.37	Morgan Stanley Asia Ltd. CLSA Capital Markets Ltd.
02219	Chaoju Eye Care Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Healthcare – Healthcare Equipment & Services	85,465,000 (a) 33,430,000 (b) 72,160,000 (b)	10.60 10.60 10.60	905.93 354.36 764.90	2021/07/07 113.97	Haitong International Capital Ltd. Huatai Financial Holdings (Hong Kong) Ltd.
09868	XPeng Inc. – W	Offer for subscription Offer for placing Consumer Discretionary – Automobiles	4,250,000 (a) 92,833,300 (b)	165.00 165.00	701.25 15,317.49	2021/07/07 14.73	J.P. Morgan Securities (Far East) Ltd. Merrill Lynch (Asia Pacific) Ltd.
02162	Keymed Biosciences Inc. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	29,133,000 (a) 37,871,000 (b)	53.30 53.30	1,552.79 2,018.52	2021/07/08 429.97	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd. Huatai Financial Holdings (Hong Kong) Ltd.
01965	Landsea Green Life Service Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	10,000,000 (a) 100,765,000 (b)	3.18 3.18	31.80 320.43	2021/07/08 8.01	Haitong International Capital Ltd.
02209	YesAsia Holdings Ltd.	Offer for subscription Offer for placing Information Technology – Software & Services	19,770,000 (a) 20,179,000 (b)	3.28 3.28	64.85 66.19	2021/07/09 145.30	UOB Kay Hian (Hong Kong) Ltd.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
02165	Ling Yue Services Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	7,000,000 (a) 68,685,000 (b)	4.19 4.19	29.33 287.79	2021/07/12 5.23	CCB International Capital Ltd.
02177	UNQ Holdings Ltd.	Offer for subscription Offer for placing Information Technology – Software & Services	9,207,200 (a) 21,483,400 (b)	11.86 11.86	109.20 254.79	2021/07/12 25.45	China International Capital Corporation Hong Kong Securities Ltd.
02137	Brii Biosciences Ltd. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	55,790,000 (a) 69,543,000 (b)	22.25 22.25	1,241.33 1,547.33	2021/07/13 292.00	Morgan Stanley Asia Ltd. UBS Securities Hong Kong Ltd.
06913	South China Vocational Education Group Co. Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Support Services	33,400,000 (a) 300,600,000 (b)	1.59 1.59	53.11 477.95	2021/07/13 6.01	BNP Paribas Securities (Asia) Ltd.
06909	BetterLife Holding Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Specialty Retail	45,000,000 (a) 127,500,000 (b)	4.40 4.40	198.00 561.00	2021/07/15 43.92	Macquarie Capital Ltd.
02215	Dexin Services Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	25,000,000 (a) 241,851,000 (b)	3.06 3.06	76.50 740.06	2021/07/15 3.08	CCB International Capital Ltd.
02192	China General Education Group Ltd.	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	77,548,000 (a) 100,597,500 (b)	27.20 27.20	2,109.31 2,736.25	2021/07/15 592.41	Goldman Sachs (Asia) L.L.C. Haitong International Capital Ltd.
02175	China General Education Group Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Support Services	12,500,000 (a) 118,017,000 (b)	3.69 3.69	46.13 435.48	2021/07/16 4.12	Guotai Junan Capital Ltd.
06616	Global New Material International Holdings Ltd.	Offer for subscription Offer for placing Materials – Basic Materials	29,068,000 (a) 290,674,000 (b)	3.25 3.25	94.47 944.69	2021/07/16 10.79	Essence Corporate Finance (Hong Kong) Ltd.
02205	Kangqiao Service Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	52,500,000 (a) 122,500,000 (b)	3.68 3.68	193.20 450.80	2021/07/16 23.72	CCB International Capital Ltd.
09960	Kindstar Globalgene Technology, Inc.	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	113,202,500 (a) 113,202,500 (b)	9.78 9.78	1,107.12 1,107.12	2021/07/16 543.03	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd.
02207	Ronshine Service Holding Co., Ltd	Offer for subscription Offer for placing Properties & Construction – Properties	12,500,000 (a) 120,604,000 (b)	4.88 4.88	61.00 588.55	2021/07/16 8.12	Haitong International Capital Ltd.
06611	Sanxun Holdings Group Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	16,500,000 (a) 164,029,000 (b)	4.75 4.75	78.38 779.14	2021/07/19 3.22	CCB International Capital Ltd.
02015	Li Auto Inc. – W	Offer for subscription Offer for placing Consumer Discretionary – Automobiles	10,000,000 (a) 103,869,700 (b)	118.00 118.00	1,180.00 12,256.62	2021/08/12 5.50	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
06609	Shanghai HeartCare Medical Technology Corp. Ltd. – B – H Shs	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	3,301,000 (a) 3,300,850 (b)	171.00 171.00	564.47 564.45	2021/08/20 333.14	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
06669	Acotec Scientific Holdings Ltd. – B	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	34,318,000 (a) 34,315,000 (b)	23.80 23.80	816.77 816.70	2021/08/24 654.51	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd.
09869	Helens International Holdings Co. Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Travel & Leisure	40,395,000 (a) 114,452,500 (b)	19.77 19.77	798.61 2,262.73	2021/09/10 30.80	China International Capital Corporation Hong Kong Securities Ltd.
02216	Broncus Holding Corporation – B	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	8,935,500 (a) 80,419,500 (b)	18.70 18.70	167.09 1,503.84	2021/09/24 11.13	Goldman Sachs (Asia) L.L.C. Haitong International Capital Ltd.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
09889	Dongguan Rural Commercial Bank Co., Ltd. – H Shares	Offer for subscription Offer for placing Financials – Banks ICBC International Capital Ltd.	25,820,000 (a) 1,122,271,000 (b)	7.92 7.92	204.49 8,888.39	2021/09/29 0.22	China Merchants Securities (HK) Co., Ltd. CMB International Capital Ltd. ABCI Capital Ltd.
06628	Transcenta Holding Ltd. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	16,132,000 (a) 24,198,000 (b)	16.00 16.00	258.11 387.17	2021/09/29 60.70	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
02217	Tam Jai International Co. Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Travel & Leisure	100,503,000 (a) 234,505,000 (b)	3.33 3.33	334.67 780.90	2021/10/07 29.58	Guotai Junan Capital Ltd.
02256	Abbisko Cayman Ltd. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	14,076,000 (a) 126,772,000 (b)	12.46 12.46	175.39 1,579.58	2021/10/13 5.64	Morgan Stanley Asia Ltd. J.P. Morgan Securities (Far East) Ltd.
02235	MicroTech Medical (Hangzhou) Co., Ltd. – B – H Shares	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	6,353,000 (a) 59,389,600 (b)	30.50 30.50	193.77 1,811.38	2021/10/19 6.79	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
02252	Shanghai MicroPort MedBot (Group) Co., Ltd. – B – H Shares	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	18,100,000 (a) 23,530,000 (b)	43.20 43.20	781.92 1,016.50	2021/11/02 163.83	J.P. Morgan Securities (Far East) Ltd. China International Capital Corporation Hong Kong Securities Ltd.
02251	Beijing Airdoc Technology Co., Ltd. – B – H Shares	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	2,226,800 (a) 20,040,400 (b)	75.10 75.10	167.23 1,505.03	2021/11/05 6.18	UBS Securities Hong Kong Ltd. CLSA Capital Markets Ltd.
02197	Clover Biopharmaceuticals, Ltd. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	15,000,000 (a) 135,000,000 (b)	13.38 13.38	200.70 1,806.30	2021/11/05 4.40	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
01948	UJU Holding Ltd.	Offer for subscription Offer for placing Consumer Discretionary – Media & Entertainment	12,000,000 (a) 108,000,000 (b)	6.70 6.70	80.40 723.60	2021/11/08 4.56	CMBC International Capital Ltd.
02210	Beijing Capital Jiaye Property Services Co., Ltd. – H Shares	Offer for subscription Offer for placing Properties & Construction – Properties	3,667,200 (a) 33,000,000 (b)	8.28 8.28	30.36 273.24	2021/11/10 2.88	China International Capital Corporation Hong Kong Securities Ltd.
09956	ANE (Cayman) Inc.	Offer for subscription Offer for placing Industrials – Industrial Transportation	8,022,000 (a) 72,198,000 (b)	13.88 13.88	111.35 1,002.11	2021/11/11 2.80	J.P. Morgan Securities (Far East) Ltd. China International Capital Corporation Hong Kong Securities Ltd.
02265	Hongcheng Environmental Technology Co. Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	75,000,000 (a) 175,000,000 (b)	1.02 1.02	76.50 178.50	2021/11/12 24.26	First Shanghai Capital Ltd.
09899	Cloud Village Inc.	Offer for subscription Offer for placing Consumer Discretionary – Media & Entertainment	1,600,000 (a) 14,400,000 (b)	205.00 205.00	328.00 2,952.00	2021/12/02 8.92	China International Capital Corporation Hong Kong Securities Ltd. Credit Suisse (Hong Kong) Ltd. Merrill Lynch (Asia Pacific) Ltd.
09898	Weibo Corporation – SW	Offer for subscription Offer for sale Offer for placing Information Technology – Software & Services	1,100,000 (a) 6,953,620 (b) 4,400,000 (b)	272.80 272.80 272.80	300.08 1,896.95 1,200.32	2021/12/08 2.67	Goldman Sachs (Asia) L.L.C. Credit Suisse (Hong Kong) Ltd. CLSA Capital Markets Ltd. China International Capital Corporation Hong Kong Securities Ltd.
06821	Asymchem Laboratories (Tianjin) Co., Ltd. – H Shares	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	1,841,600 (a) 17,839,300 (b)	388.00 388.00	714.54 6,921.65	2021/12/10 1.61	Goldman Sachs (Asia) L.L.C. CLSA Capital Markets Ltd.
01228	CANbridge Pharmaceuticals Inc. – B	Offer for subscription Offer for placing Healthcare – Pharmaceuticals & Biotechnology	5,626,000 (a) 50,625,000 (b)	12.18 12.18	68.52 616.61	2021/12/10 1.97	Morgan Stanley Asia Ltd. Jefferies Hong Kong Ltd.
02273	Gushengtang Holdings Ltd.	Offer for subscription Offer for placing Healthcare – Healthcare Equipment & Services	2,787,800 (a) 25,090,200 (b)	29.00 29.00	80.85 727.62	2021/12/10 2.84	Merrill Lynch (Asia Pacific) Ltd. Haitong International Capital Ltd.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
02279	Yonghe Medical Group Co., Ltd.	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	47,212,000 (a) 54,336,500 (b)	15.80 15.80	745.95 858.52	2021/12/13 160.06	Morgan Stanley Asia Ltd. China International Capital Corporation Hong Kong Securities Ltd.
09699	Hangzhou SF Intra-city Industrial Co., Ltd. - H Shares	Offer for subscription Offer for placing Industrials - Industrial Transportation	13,118,200 (a) 118,062,600 (b)	16.42 16.42	215.40 1,938.59	2021/12/14 2.93	China International Capital Corporation Hong Kong Securities Ltd. Merrill Lynch (Asia Pacific) Ltd.
06633	Qingci Games Inc.	Offer for subscription Offer for placing Information Technology - Software & Services	8,500,000 (a) 82,830,500 (b)	11.20 11.20	95.20 927.70	2021/12/16 3.64	China International Capital Corporation Hong Kong Securities Ltd. CLSA Capital Markets Ltd.
02276	Shanghai Conant Optical Co., Ltd. - H Shares	Offer for subscription Offer for placing Industrials - Industrial Engineering	12,160,000 (a) 109,440,000 (b)	4.46 4.46	54.23 488.10	2021/12/16 1.78	Guotai Junan Capital Ltd.
02270	Desun Real Estate Investment Services Group Co., Ltd.	Offer for subscription Offer for placing Properties & Construction - Properties	15,000,000 (a) 148,328,000 (b)	1.11 1.11	16.65 164.64	2021/12/17 1.37	Shenwan Hongyuan Capital (H.K.) Ltd.
02185	Shanghai Bio-heart Biological Technology Co., Ltd. - B -H Sh	Offer for subscription Offer for placing Healthcare - Healthcare Equipment & Services	2,394,000 (a) 21,543,000 (b)	21.25 21.25	50.87 457.79	2021/12/23 1.52	Huatai Financial Holdings (Hong Kong) Ltd.
02285	Chervon Holdings Ltd.	Offer for subscription Offer for placing Consumer Discretionary - Household Goods & Electronics	7,191,600 (a) 75,511,800 (b)	43.60 43.60	313.55 3,292.31	2021/12/30 7.49	China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd.
00020	SenseTime Group Inc. - W	Offer for subscription Offer for placing Information Technology - Software & Services	150,000,000 (a) 1,575,000,000 (b)	3.85 3.85	577.50 6,063.75	2021/12/30 5.18	China International Capital Corporation Hong Kong Securities Ltd. Haitong International Capital Ltd. HSBC Corporate Finance (Hong Kong) Ltd.
02257	Sirnaomics Ltd. - B	Offer for subscription Offer for placing Healthcare - Pharmaceuticals & Biotechnology	754,000 (a) 7,759,450 (b)	65.90 65.90	49.69 511.35	2021/12/30 5.68	China International Capital Corporation Hong Kong Securities Ltd.
02295 #	Maxicity Holdings Ltd.	Transfer of Listing Properties & Construction - Construction	n.a.	n.a.	n.a.	2021/12/31 n.a.	+ n.a.
				Total	**331,281.95**		

(a) Hong Kong offers

(b) International offers

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2019.

\# Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

\+ Effective date of the transfer of listing from GEM to Main Board.

Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
01886	China Huiyuan Juice Group Ltd.	2018/03/29	2021/01/18
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	2021/01/12	2021/01/20
00445	CIMC-TianDa Holdings Co. Ltd.	2020/12/29	2021/01/26
02010	Real Nutriceutical Group Ltd.	2018/07/03	2021/01/26
01998	Flyke International Holdings Ltd.	2014/03/28	2021/01/29
02303	Hengxing Gold Holding Co. Ltd.	2021/01/22	2021/02/02
01353	Fujian Nuoqi Co., Ltd. – H Shares	2014/07/23	2021/02/08
00275	Master Glory Group Ltd.	2019/06/28	2021/02/08
01249	Tonly Electronics Holdings Ltd.	2021/02/24	2021/03/09
01190	Bolina Holding Co., Ltd.	2018/09/14	2021/03/10
01462	Gold-Finance Holdings Ltd.	2019/05/03	2021/03/16
02268	Youyuan International Holdings Ltd.	2019/08/19	2021/03/22
01509	Harmonicare Medical Holdings Ltd.	2019/03/29	2021/03/25
01806	Huifu Payment Ltd.	2021/02/23	2021/03/29
02300	AMVIG Holdings Ltd.	2021/04/19	2021/04/20
00666	SHK Hong Kong Industries Ltd.	2021/03/15	2021/04/23
00999	I.T Ltd.	2021/04/20	2021/04/30
01019	Convoy Global Holdings Ltd.	2017/12/07	2021/05/04
00555	REXLot Holdings Ltd.	2019/03/29	2021/05/10
01158	Zhejiang New Century Hotel Management Co., Ltd. – H Shares	2021/05/18	2021/05/24
00208	Polytec Asset Holdings Ltd.	2021/05/11	2021/05/26
00850	Long Well International Holdings Ltd.	2018/06/29	2021/05/28
00190	HKC (Holdings) Ltd.	2021/05/06	2021/06/09
00908	Zhuhai Holdings Investment Group Ltd.	2021/05/20	2021/06/18
01492	China ZhongDi Dairy Holdings Co. Ltd.	2021/06/16	2021/06/28
01743	Zhejiang Cangnan Instrument Group Co. Ltd. – H Shares	2021/06/22	2021/07/05
00699	CAR Inc.	2021/06/23	2021/07/08
03663	Xiezhong International Holdings Ltd.	2021/06/24	2021/07/08
02898	Longrun Tea Group Co. Ltd.	2017/06/14	2021/07/21
00172	Goldbond Group Holdings Ltd.	2019/06/27	2021/08/02
02123	Golden Shield Holdings (Industrial) Ltd.	2014/03/31	2021/08/02
01829	China Machinery Engineering Corporation – H Shares	2021/07/29	2021/08/06
00364	Blockchain Group Co. Ltd.	2018/11/19	2021/08/10
00024	Burwill Holdings Ltd.	2019/08/16	2021/08/13
02606	Sichuan Languang Justbon Services Group Co., Ltd. – H Shares	2021/08/09	2021/08/20
00281	Rivera (Holdings) Ltd.	2021/07/26	2021/08/23
02662	MH Development Ltd.	2019/07/18	2021/09/02
01649	Inner Mongolia Energy Engineering Co., Ltd. – H Shares	2019/03/15	2021/09/08
01363	CT Environmental Group Ltd.	2019/03/29	2021/09/10
00100	Clear Media Ltd.	2020/07/13	2021/09/28
02868	Beijing Capital Land Ltd. – H Shares	2021/09/24	2021/09/30
01111	Chong Hing Bank Ltd.	2021/09/02	2021/10/04
03992	Creative Enterprise Holdings Ltd.	2021/09/23	2021/10/07
03358	Bestway Global Holding Inc.	2021/09/17	2021/10/12
02083	Nature Home Holding Co. Ltd.	2021/10/07	2021/10/20

Withdrawal of listed companies *(continued)*

Code	Company	Last trading date	Delisted date
01106	Ming Lam Holdings Ltd.	2020/03/31	2021/11/02
01573	Southern Energy Holdings Group Ltd.	2020/03/31	2021/11/02
02398	Good Friend International Holdings Inc.	2021/12/01	2021/12/14

Company name changes

Code	Former name	New name	Effective date	Adoption date
01143	Link-Asia International Co. Ltd.	Link-Asia International MedTech Group Ltd.	2020/12/08	2021/01/18
02662	Camsing International Holding Ltd.	MH Development Ltd.	2020/12/07	2021/01/19
02878	Solomon Systech (International) Ltd. #	Solomon Systech (International) Ltd.	2020/12/07	2021/01/20
01936	Ritamix Global Ltd. #	Ritamix Global Ltd.	2021/01/06	2021/02/18
01091	CITIC Dameng Holdings Ltd.	South Manganese Investment Ltd.	2020/12/21	2021/02/25
02880	Dalian Port (PDA) Co. Ltd. – H Shares	Liaoning Port Co., Ltd. – H Shares	2021/01/28	2021/03/09
00145	Hong Kong Building and Loan Agency Ltd., The	CCIAM Future Energy Ltd.	2021/03/03	2021/03/12
00982	HJ Capital (International) Holdings Co. Ltd.	Huafa Property Services Group Co. Ltd.	2021/02/23	2021/03/18
00994	CT Vision (International) Holdings Ltd.	CT Vision S.L. (International) Holdings Ltd.	2021/03/02	2021/03/25
00767	Asia Pacific Silk Road Investment Co. Ltd.	Zhong Ji Longevity Science Group Ltd.	2021/03/03	2021/03/26
01034	Fullwealth Construction Holdings Co. Ltd.	Fullwealth International Group Holdings Ltd.	2021/03/04	2021/03/31
01449	Leader Education Ltd. #	Leader Education Ltd.	2021/03/18	2021/04/12
00989	Ground International Development Ltd.	Hua Yin International Holdings Ltd.	2021/02/10	2021/04/19
01371	China LotSynergy Holdings Ltd.	China Ecotourism Group Ltd.	2021/02/18	2021/04/21
00486	United Company RUSAL Plc *	United Company RUSAL, international public joint-stock co.	2020/09/25	2021/04/21
02138	Union Medical Healthcare Ltd.	EC Healthcare	2021/03/26	2021/04/28
01372	Bisu Technology Group International Ltd.	China Carbon Neutral Development Group Ltd.	2021/04/08	2021/05/03
06128	Earthasia International Holdings Ltd.	Graphex Group Ltd.	2021/04/09	2021/05/04
00331	FSE Services Group Ltd.	FSE Lifestyle Services Ltd.	2021/04/09	2021/05/07
00498	PYI Corporation Ltd.	Blue River Holdings Ltd.	2021/03/29	2021/05/12
01332	China Touyun Tech Group Ltd.	Touyun Biotech Group Ltd.	2021/04/13	2021/05/26
00007	Hong Kong Finance Investment Holding Group Ltd.	Wisdom Wealth Resources Investment Holding Group Ltd.	2020/11/12	2021/05/28
01575	Morris Holdings Ltd.	Morris Home Holdings Ltd.	2021/05/13	2021/06/01
01865	Pipeline Engineering Holdings Ltd.	Trendzon Holdings Group Ltd.	2021/05/06	2021/06/07
00612	China Ding Yi Feng Holdings Ltd.	China Investment Fund Co. Ltd.	2021/05/18	2021/06/15
03638	Huabang Financial Holdings Ltd.	Huabang Technology Holdings Ltd.	2021/04/26	2021/06/15
01809	Prinx Chengshan (Cayman) Holding Ltd.	Prinx Chengshan Holdings Ltd.	2021/05/24	2021/06/17
00082	V1 Group Ltd.	Crazy Sports Group Ltd.	2021/05/21	2021/06/17
01560	Star Properties Group (Cayman Islands) Ltd.	Star Group Co. Ltd.	2021/05/27	2021/06/23
01830	Perfect Shape Medical Ltd.	Perfect Medical Health Management Ltd.	2021/05/31	2021/06/25
00575	Regent Pacific Group Ltd.	Endurance RP Ltd.	2021/06/18	2021/06/28
00380	Softpower International Ltd.	China Pipe Group Ltd.	2021/04/13	2021/06/28
02389	Beijing Enterprises Medical and Health Industry Group Ltd.	Beijing Health (Holdings) Ltd.	2021/05/24	2021/06/30
09978	Fineland Real Estate Services Group Ltd.	Fineland Living Services Group Ltd.	2021/06/01	2021/06/30
01725	Eternity Technology Holdings Ltd.	Hong Kong Aerospace Technology Group Ltd.	2021/06/04	2021/07/02
01995	Ever Sunshine Lifestyle Services Group Ltd.	CIFI Ever Sunshine Services Group Ltd.	2021/06/02	2021/07/15
00232	AVIC International Holding (HK) Ltd.	Continental Aerospace Technologies Holding Ltd.	2021/06/04	2021/07/16
00936	Eagle Legend Asia Ltd.	Kaisa Capital Investment Holdings Ltd.	2021/06/11	2021/07/21
01137	Hong Kong Television Network Ltd.	Hong Kong Technology Venture Co. Ltd.	2021/07/13	2021/07/22
00476	China Dynamics (Holdings) Ltd.	Ev Dynamics (Holdings) Ltd.	2021/06/14	2021/07/27
00524	Great Wall Belt & Road Holdings Ltd.	Great Wall Terroir Holdings Ltd.	2021/06/11	2021/07/29
01121	Baofeng Modern International Holdings Co. Ltd.	Golden Solar New Energy Technology Holdings Ltd.	2021/06/30	2021/08/02
00776	Imperium Group Global Holdings Ltd.	Imperium Technology Group Ltd.	2021/07/02	2021/08/02

Company name changes *(continued)*

Code	Former name	New name	Effective date	Adoption date
06988	Joy Spreader Interactive Technology. Ltd	Joy Spreader Group Inc.	2021/07/07	2021/08/05
01498	PuraPharm Corporation Ltd. #	PuraPharm Corporation Ltd.	2021/07/06	2021/08/13
01483	U Banquet Group Holding Ltd.	Net-a-Go Technology Co. Ltd.	2021/06/18	2021/08/13
03860	Speed Apparel Holding Ltd.	EPS Creative Health Technology Group Ltd.	2021/07/09	2021/08/18
00550	KK Culture Holdings Ltd.	Kingkey Intelligence Culture Holdings Ltd.	2021/07/16	2021/08/26
00018	Oriental Press Group Ltd.	Oriental Enterprise Holdings Ltd.	2021/08/20	2021/09/01
00913	Unity Investments Holdings Ltd.	Harbour Digital Asset Capital Ltd.	2021/07/02	2021/09/01
06068	Wisdom Education International Holdings Co. Ltd. #	Wisdom Education International Holdings Co. Ltd.	2021/08/16	2021/09/09
03683	Great Harvest Maeta Group Holdings Ltd.	Great Harvest Maeta Holdings Ltd.	2021/08/23	2021/09/16
01867	LKS Holding Group Ltd.	Standard Development Group Ltd.	2021/08/12	2021/09/16
06998	JHBP (CY) Holdings Ltd. – B *	Genor Biopharma Holdings Ltd. – B	2021/06/21	2021/09/20
01600	China Tian Lun Gas Holdings Ltd.	Tian Lun Gas Holdings Ltd.	2021/08/25	2021/09/21
01490	Cheshi Holdings Ltd.	Cheshi Technology Inc.	2021/08/19	2021/09/23
00872	TUS International Ltd.	Titan Invo Technology Ltd.	2021/09/21	2021/09/30
06908	HongGuang Lighting Holdings Co. Ltd.	HG Semiconductor Ltd.	2021/09/15	2021/10/12
02379	Zhongtian International Ltd.	China Clean Energy Technology Group Ltd.	2021/06/07	2021/10/22
00279	Freeman FinTech Corporation Ltd.	Arta TechFin Corporation Ltd.	2021/09/29	2021/11/01
00970	Sparkle Roll Group Ltd.	New Sparkle Roll International Group Ltd.	2021/09/28	2021/11/01
00512	China Grand Pharmaceutical and Healthcare Holdings Ltd.	Grand Pharmaceutical Group Ltd.	2021/10/04	2021/11/17
01315	Vision Fame International Holding Ltd.	Green Economy Development Ltd.	2021/10/13	2021/11/17
01620	CTEH Inc.	Cinese International Group Holdings Ltd.	2021/09/23	2021/11/18
01277	Kinetic Mines and Energy Ltd.	Kinetic Development Group Ltd.	2021/11/12	2021/12/03
00103	Shougang Concord Century Holdings Ltd.	Shougang Century Holdings Ltd.	2021/12/06	2021/12/14
00274	China Billion Resources Ltd.	Renaissance Asia Silk Road Group Ltd.	2021/11/17	2021/12/15
01854	Goal Forward Holdings Ltd.	China Wantian Holdings Ltd.	2021/11/05	2021/12/22
00780	Tongcheng-Elong Holdings Ltd.	Tongcheng Travel Holdings Ltd.	2021/11/19	2021/12/22
02371	China Chuanglian Education Financial Group Ltd.	Chuanglian Holdings Ltd.	2021/11/19	2021/12/23
01008	Brilliant Circle Holdings International Ltd.	Litu Holdings Ltd.	2021/11/19	2021/12/30

* Change of English name only
\# Change of Chinese name only

Investment companies as at the end of 2021

Code	Name	Classification	Incorporation	Listing date
02324	Capital VC Ltd.	Financials	Cayman Islands	2003/10/27
01062	China Development Bank International Investment Ltd.	Financials	Cayman Islands	1994/05/10
00721	China Financial International Investments Ltd.	Financials	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Financials	Cayman Islands	2002/10/28
01217	China Innovation Investment Ltd.	Financials	Cayman Islands	2002/08/28
00810	China Internet Investment Finance Holdings Ltd.	Financials	Bermuda	2002/02/28
01226	China Investment and Finance Group Ltd.	Financials	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Financials	Bermuda	2000/12/12
00612	China Investment Fund Co. Ltd.	Financials	Cayman Islands	2002/01/02
00133	China Merchants China Direct Investments Ltd.	Financials	Hong Kong	1993/07/22
00080	China New Economy Fund Ltd.	Financials	Cayman Islands	2011/01/06
00428	Cocoon Holdings Ltd.	Financials	Bermuda	1993/12/14
00339	Core Economy Investment Group Ltd.	Financials	Bermuda	2000/07/26
00356	DT Capital Ltd.	Financials	Cayman Islands	2002/06/07
00905	Global Mastermind Capital Ltd.	Financials	Bermuda	1998/07/17
00901	Goldstone Investment Group Ltd.	Financials	Cayman Islands	2002/02/28
00913	Harbour Digital Asset Capital Ltd.	Financials	Cayman Islands	1999/10/27
01227	National Investments Fund Ltd.	Financials	Cayman Islands	2002/09/27
00310	Prosperity Investment Holdings Ltd.	Financials	Bermuda	1992/12/21
00770	Shanghai International Shanghai Growth Investment Ltd.	Financials	Cayman Islands	1993/11/30
00768	UBA Investments Ltd.	Financials	Cayman Islands	2000/01/18
01140	Wealthking Investments Ltd.	Financials	Cayman Islands	2003/03/20
01160	Youth Champ Financial Group Holdings Ltd.	Financials	Bermuda	2004/04/02

Secondary listing stocks as at the end of 2021

Code	Name	Classification	Incorporation	Listing date	Primary list Exchange
00945	Manulife Financial Corporation – S	Financials	Canada	1999/09/27	Toronto
01878	SouthGobi Resources Ltd. – S	Energy	Canada	2010/01/29	Toronto
06288	Fast Retailing Co., Ltd. – DRS	Consumer Discretionary	Japan	2014/03/05	Tokyo
09988	Alibaba Group Holding Ltd. – SW	Information Technology	Cayman Islands	2019/11/26	New York
09999	NetEase, Inc. – S	Information Technology	Cayman Islands	2020/06/11	Nasdaq
09618	JD.com, Inc. – SW	Information Technology	Cayman Islands	2020/06/18	Nasdaq
09987	Yum China Holdings, Inc. – S	Consumer Discretionary	USA	2020/09/10	New York
01179	Huazhu Group Ltd. – S	Consumer Discretionary	Cayman Islands	2020/09/22	Nasdaq
09688	Zai Lab Ltd. – SB	Healthcare	Cayman Islands	2020/09/28	Nasdaq
09991	Baozun Inc. – SW	Information Technology	Cayman Islands	2020/09/29	Nasdaq
02057	ZTO Express (Cayman) Inc. – SW	Industrials	Cayman Islands	2020/09/29	New York
09698	GDS Holdings Ltd. – SW	Information Technology	Cayman Islands	2020/11/02	Nasdaq
09901	New Oriental Education & Technology Group Inc. – S	Consumer Discretionary	Cayman Islands	2020/11/09	New York
02518	Autohome Inc. – S	Information Technology	Cayman Islands	2021/03/15	New York
09888	Baidu, Inc. – SW	Information Technology	Cayman Islands	2021/03/23	Nasdaq
09626	Bilibili Inc. – SW	Information Technology	Cayman Islands	2021/03/29	Nasdaq
09961	Trip.com Group Ltd. – S	Consumer Discretionary	Cayman Islands	2021/04/19	Nasdaq
09898	Weibo Corporation – SW	Information Technology	Cayman Islands	2021/12/08	Nasdaq

Reorganisations/demergers

Code	Company	Particulars	Proposed date Completed/EGM date
00853	MicroPort Scientific Corporation (MicroPort Scientific)	MicroPort Scientific proposed spin-off and separate listing of MicroPort CardioFlow Medtech Corporation (MicroPort CardioFlow) by way of new issue and placing. Shareholders of MicroPort Scientific entitled to participate in the preferential offer of 1 MicroPort CardioFlow share for 200 MicroPort Scientific shares at a price of HK$12.20 per share. The shares of MicroPort CardioFlow would be listed on the SEHK.	2020/11/06 2021/02/04
02633	Jacobson Pharma Corporation Ltd. (Jacobson Pharma)	Jacobson Pharma proposed spin-off and separate listing of JBM (Healthcare) Ltd. (JBM (Healthcare)) by way of new issue and placing. Shareholders of Jacobson Pharma entitled to receive distribution in specie 1 JBM (Healthcare) share for 8 Jacobson Pharma shares. The shares of JBM (Healthcare) would be listed on the SEHK.	2020/09/23 2021/02/05
00950	Lee's Pharmaceutical Holdings Ltd. (Lee's Pharm)	Lee's Pharm proposed spin-off and separate listing of Zhaoke Ophthalmology Ltd. (Zhaoke Ophth) by way of new issue and placing. Shareholders of Lee's Pharm entitled to participate in the preferential offer 1 Zhaoke Ophth share for 96 Lee's Pharm shares at a price of HK$16.80 per share. The shares of Zhaoke Ophth would be listed on the SEHK.	2021/02/24 2021/04/29
02727	Shanghai Electric Group Co. Ltd. – H Shares (Shanghai Electric)	Shanghai Electric proposed spin-off and separate listing of Shanghai Electric Wind Power Group Co., Ltd. (SEWP) by way of new issue and placing. The A shares of SEWP would be listed on the Shanghai Stock Exchange.	2020/01/06 2021/05/19
09618	JD.com, Inc. – SW (JD.com)	JD.com proposed spin-off and separate listing of JD Logistics, Inc. (JD Logistics) by way of new issue and placing. The shares of JD Logistics would be listed on the SEHK.	2021/02/16 2021/05/28
00832	Central China Real Estate Ltd. (Central China)	Central China proposed spin-off and separate listing of Central China Management Co. Ltd. (CCMGT) by way of new issue and placing. Shareholders of Central China entitled to participate in the preferential offer of 1 CCMGT share for 90 Central China shares at a price of HK$3.00 per share, and receive distribution in specie of 1 CCMGT share for 1 Central China share. The shares of CCMGT would be listed on the SEHK.	2021/04/07 2021/05/31
00123	Yuexiu Property Co. Ltd. (Yuexiu Property)	Yuexiu Property proposed spin-off and separate listing of Yuexiu Services Group Ltd. (Yuexiu Services) by way of new issue and placing. Shareholders of Yuexiu Property entitled to participate in the preferential offer 1 Yuexiu Services share for every 419 Yuexiu Property shares at a price of HK$4.88 per share. The shares of Yuexiu Services would be listed on the SEHK.	2021/02/10 2021/06/28
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares (Shandong Weigao)	Shandong Weigao proposed spin-off and separate listing of Shandong Weigao Orthopedic Device Co. Ltd. (Weigao Ortho) by way of new issue and placing. The shares of Weigao Ortho would be listed on the Sci-Tech Board of the Shanghai Stock Exchange.	2020/06/11 2021/06/30
01966	China SCE Group Holdings Ltd. (China SCE)	China SCE proposed spin-off and separate listing of SCE Intelligent Commercial Management Holdings Ltd. (SCE CM) by way of new issue and placing. Shareholders of China SCE entitled to participate in the preferential offer of 1 SCE CM share for 33 China SCE shares at a price of HK$3.70 per share. The shares of SCM CM would be listed on the SEHK.	2021/01/20 2021/07/02
00853	MicroPort Scientific Corporation (MicroPort Scientific)	MicroPort Scientific proposed spin-off and separate listing of Shanghai MicroPort MedBot (Group) Co., Ltd. (MicroPort MedBot) by way of new issue and placing. Shareholders of MicroPort Scientific entitled to participate in the preferential offer of 1 MicroPort MedBot H share for 1,100 MicroPort Scientific shares at a price of HK$43.20 per H share. The shares of MicroPort MedBot would be listed on the SEHK.	2021/06/10 2021/11/02
09999	NetEase, Inc. – S (NetEase)	NetEase proposed spin-off and separate listing of Cloud Village Inc. (Cloud Village) by way of new issue and placing. The shares of Cloud Village would be listed on the SEHK.	2021/05/26 2021/12/02
01052	Yuexiu Transport Infrastructure Ltd. (Yuexiu Transport)	Yuexiu Transport proposed spin-off and separate listing of CAMC-Yuexiu Expressway Close-end Infrastructure Securities Investment Fund (CAMC-Yuexiu Fund) by way of new issue and placing. The units of CAMC-Yuexiu Fund would be listed on the Shenzhen Stock Exchange.	2021/10/14 2021/12/14

Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	Cash offer HK$1.60 for each H share	2021/01/12	2021/01/20
00445	CIMC-TianDa Holdings Co. Ltd.	Cash offer HK$0.266 for each share, HK$0.00001 for each option with exercise price of HK$0.42	2020/12/29	2021/01/26
02303	Hengxing Gold Holding Co. Ltd.	Share exchange approx. 0.17241 Shandong Gold Mining Co., Ltd. H share for 1 share	2021/01/22	2021/02/02
01249	Tonly Electronics Holdings Ltd.	Cash offer HK$12.00 for each share, HK$4.16 for each option with exercise price of HK$7.84	2021/02/24	2021/03/09
01806	Huifu Payment Ltd.	Cash offer HK$3.50 for each share or 2.709677 Purity Investment Ltd. shares for each share	2021/02/23	2021/03/29
00666	SHK Hong Kong Industries Ltd.	Cash offer HK$0.21 for each share	2021/03/15	2021/04/23
00999	I.T Ltd.	Cash offer HK$3.00 for each share	2021/04/20	2021/04/30
00208	Polytec Asset Holdings Ltd.	Cash offer HK$1.50 for each share	2021/05/11	2021/05/26
00190	HKC (Holdings) Ltd.	Cash offer HK$8.00 for each share	2021/05/06	2021/06/09
00908	Zhuhai Holdings Investment Group Ltd.	Cash offer HK$3.06 for each share	2021/05/20	2021/06/18
03663	Xiezhong International Holdings Ltd.	Cash offer HK$0.80 for each share	2021/06/24	2021/07/08
00281	Rivera (Holdings) Ltd.	Cash offer HK$0.65 for each share	2021/07/26	2021/08/23
01649	Inner Mongolia Energy Engineering Co., Ltd. – H Shares	Cash offer HK$1.80 for each H share	2019/03/15	2021/09/08
02868	Beijing Capital Land Ltd. – H Shares	Cash offer HK$2.80 for each H Share; RMB2.334080 for each Non-H Foreign Share; RMB2.334080 for each Domestic Share	2021/09/24	2021/09/30
01111	Chong Hing Bank Ltd.	Cash offer HK$20.80 for each share	2021/09/02	2021/10/04
03358	Bestway Global Holding Inc.	Cash offer HK$4.38 for each H share	2021/09/17	2021/10/12
02083	Nature Home Holding Co. Ltd.	Cash offer HK$1.70 for each share; HK$0.25 for each option with exercise price of HK$1.45, HK$0.09 for each option with exercise price of HK$1.61	2021/10/07	2021/10/20
02398	Good Friend International Holdings Inc.	Cash offer HK$1.50 for each share	2021/12/01	2021/12/14

Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
01087	InvesTech Holdings Ltd.	InvesTech Holdings Ltd.	Bermuda	2021/07/08

Companies under suspension as at the end of 2021

Code	Stock	Last closing price (HK$)	(Date)	Date of suspension
01131	Agritrade Resources Ltd.	0.083	(2020/06/30)	2020/07/02
01370	Aowei Holding Ltd.	1.060	(2021/03/26)	2021/03/29
00645	Ares Asia Ltd.	0.260	(2021/06/30)	2021/07/02
00706	Beautiful China Holdings Co. Ltd.	0.013	(2020/08/31)	2020/09/01
06188	Beijing Digital Telecom Co., Ltd. – H Shares	3.830	(2021/06/03)	2021/06/04
06828	Beijing Gas Blue Sky Holdings Ltd.	0.116	(2021/01/15)	2021/01/18
01693	BGMC International Ltd.	0.034	(2020/12/31)	2021/01/04
01758	Bojun Education Co. Ltd.	0.465	(2021/11/29)	2021/11/30
01114	Brilliance China Automotive Holdings Ltd.	7.300	(2021/03/30)	2021/03/31
00043	C. P. Pokphand Co. Ltd.	1.140	(2021/12/17)	2021/12/20
02228	CECEP COSTIN New Materials Group Ltd.	0.600	(2016/08/15)	2016/08/15
00449	Chigo Holding Ltd.	0.043	(2020/05/14)	2020/05/15
00633	China All Access (Holdings) Ltd.	0.104	(2021/03/31)	2021/04/01
01069	China Bozza Development Holdings Ltd.	0.010	(2021/09/30)	2021/10/04
02379	China Clean Energy Technology Group Ltd.	0.247	(2021/11/11)	2021/11/12
01678	China Creative Global Holdings Ltd.	0.040	(2020/08/31)	2020/09/01
01185	China Energine International (Holdings) Ltd.	0.153	(2021/03/31)	2021/04/01
00605	China Financial Services Holdings Ltd.	1.700	(2021/03/26)	2021/03/29
01940	China Gas Industry Investment Holdings Co. Ltd.	3.820	(2021/03/24)	2021/03/25
01191	China Gem Holdings Ltd.	0.021	(2021/08/27)	2021/08/30
06183	China Greenfresh Group Co., Ltd.	0.081	(2020/08/31)	2020/09/01
00591	China High Precision Automation Group Ltd.	1.240	(2012/08/21)	2012/08/22
01863	China Longevity Group Co. Ltd.	1.370	(2013/02/14)	2013/02/14
00067	China Lumena New Materials Corp.	1.250	(2014/03/25)	2014/03/25
01156	China New Energy Ltd.	0.450	(2021/03/31)	2021/04/01
00651	China Ocean Industry Group Ltd.	0.125	(2021/03/31)	2021/04/01
01838	China Properties Group Ltd.	0.415	(2021/03/31)	2021/04/01
01365	China Rundong Auto Group Ltd.	0.300	(2021/03/31)	2021/04/01
00153	China Saite Group Co. Ltd.	0.104	(2020/06/19)	2020/06/22
01004	China Smarter Energy Group Holdings Ltd.	0.041	(2021/03/31)	2021/04/01
00155	China Solar Energy Holdings Ltd.	0.180	(2013/08/16)	2013/08/16
03708	China Supply Chain Holdings Ltd.	0.129	(2021/09/30)	2021/10/04
06168	China U-Ton Future Space Industrial Group Holdings Ltd.	0.161	(2021/05/05)	2021/05/05
00648	China Wah Yan Healthcare Ltd.	0.380	(2017/11/24)	2017/11/27
01822	China Wood International Holding Co., Ltd.	0.065	(2021/10/21)	2021/10/22
01885	China Wood Optimization (Holding) Ltd.	0.900	(2021/03/31)	2021/04/01
01333	China Zhongwang Holdings Ltd.	1.680	(2021/08/27)	2021/08/30
00479	CIL Holdings Ltd.	0.010	(2020/09/30)	2020/10/05
02302	CNNC International Ltd.	1.500	(2021/04/29)	2021/04/30
01043	Coslight Technology International Group Ltd.	0.520	(2020/08/31)	2020/09/01
00994	CT Vision S.L. (International) Holdings Ltd.	2.270	(2021/04/30)	2021/05/03
01416	CTR Holdings Ltd.	0.249	(2021/05/31)	2021/06/01
01102	Enviro Energy International Holdings Ltd.	0.090	(2021/09/14)	2021/09/15
00238	Evergreen International Holdings Ltd.	0.255	(2020/03/27)	2020/03/30
00729	FDG Electric Vehicles Ltd.	0.190	(2020/06/30)	2020/07/02

Companies under suspension as at the end of 2021 *(continued)*

Code	Stock	Last closing price (HK$)	(Date)	Date of suspension
00378	FDG Kinetic Ltd.	0.018	(2021/06/30)	2021/07/02
01175	Fresh Express Delivery Holdings Group Co., Ltd.	0.059	(2021/06/30)	2021/07/02
00787	Global Brands Group Holding Ltd.	0.189	(2021/06/30)	2021/07/02
00109	Good Resources Holdings Ltd.	0.085	(2020/09/22)	2020/09/23
02133	Greenway Mining Group Ltd.	0.228	(2021/03/31)	2021/04/01
00263	GT Group Holdings Ltd.	0.039	(2021/03/31)	2021/04/01
03344	GTI Holdings Ltd.	0.017	(2021/09/30)	2021/10/04
00143	Guoan International Ltd.	0.050	(2021/03/29)	2021/03/30
01894	Hang Yick Holdings Co. Ltd.	0.660	(2021/04/21)	2021/04/22
02226	Honworld Group Ltd.	3.070	(2021/03/29)	2021/03/30
00047	Hop Hing Group Holdings Ltd.	0.079	(2021/12/16)	2021/12/17
02296	Huarchi Global Group Holdings Ltd.	1.060	(2021/11/18)	2021/11/19
01178	Huiyin Holdings Group Ltd.	0.119	(2020/09/30)	2020/10/05
00704	Huscoke Holdings Ltd.	0.305	(2021/03/26)	2021/03/29
01188	Hybrid Kinetic Group Ltd.	0.040	(2021/03/31)	2021/04/01
01650	Hygieia Group Ltd.	0.078	(2021/03/31)	2021/04/01
01041	Lamtex Holdings Ltd.	0.043	(2020/07/31)	2020/08/03
00068	Lee Hing Development Ltd.	0.520	(2021/03/16)	2021/03/17
00112	Lerthai Group Ltd.	0.890	(2021/01/20)	2021/01/21
01107	Modern Land (China) Co., Ltd.	0.380	(2021/10/20)	2021/10/21
01152	Momentum Financial Holdings Ltd.	0.068	(2021/11/03)	2021/11/04
01227	National Investments Fund Ltd.	0.023	(2021/02/08)	2021/02/08
00254	National United Resources Holdings Ltd.	0.142	(2016/07/29)	2016/08/01
00462	Natural Dairy (NZ) Holdings Ltd.	2.200	(2010/09/06)	2010/09/07
06108	New Ray Medicine International Holding Ltd.	0.425	(2017/10/04)	2017/10/06
00282	Next Digital Ltd.	0.290	(2021/06/16)	2021/06/17
00433	North Mining Shares Co. Ltd.	0.013	(2021/03/31)	2021/04/01
02014	Ozner Water International Holding Ltd.	0.089	(2021/03/17)	2021/03/18
00618	Peking University Resources (Holdings) Co. Ltd.	0.162	(2021/04/23)	2021/04/26
00231	Ping An Securities Group (Holdings) Ltd.	0.010	(2021/03/31)	2021/04/01
00803	Prosperity International Holdings (H.K.) Ltd.	0.037	(2021/06/30)	2021/07/02
01376	Raffles Interior Ltd.	0.107	(2021/03/31)	2021/04/01
00885	Rentian Technology Holdings Ltd.	0.010	(2020/10/21)	2020/10/22
00578	Rosan Resources Holdings Ltd.	0.048	(2021/03/31)	2021/04/01
03928	S&T Holdings Ltd.	0.960	(2020/12/31)	2021/01/04
00731	Samson Paper Holdings Ltd.	0.365	(2020/06/30)	2020/07/02
01367	SFund International Holdings Ltd.	0.510	(2021/02/05)	2021/02/08
02103	Sinic Holdings (Group) Co. Ltd.	0.500	(2021/09/20)	2021/09/20
01096	Sino Energy International Holdings Group Ltd.	0.026	(2020/08/31)	2020/09/01
00766	Sino Prosper (Group) Holdings Ltd.	0.035	(2021/06/30)	2021/07/02
00141	Sky Chinafortune Holdings Group Ltd.	0.180	(2021/09/01)	2021/09/02
00395	Smartac International Holdings Ltd.	0.016	(2021/03/31)	2021/04/01
02366	SMI Culture & Travel Group Holdings Ltd.	0.059	(2020/08/31)	2020/09/01
00967	Sound Global Ltd.	2.980	(2016/04/12)	2016/04/13
00577	South Shore Holdings Ltd.	0.024	(2021/06/30)	2021/07/02

Companies under suspension as at the end of 2021 *(continued)*

Code	Stock	Last closing price (HK$)	(Date)	Date of suspension
01430	Suchuang Gas Corporation Ltd.	2.420	(2021/09/24)	2021/09/27
01781	Sun Cheong Creative Development Holdings Ltd.	0.183	(2020/06/30)	2020/07/02
01773	Tianli Education International Holdings Ltd.	1.910	(2021/11/26)	2021/11/29
01192	Titan Petrochemicals Group Ltd.	0.315	(2021/03/31)	2021/04/01
00891	Trinity Ltd.	0.042	(2021/03/31)	2021/04/01
00307	Up Energy Development Group Ltd.	0.129	(2016/06/29)	2016/06/30
01386	Vestate Group Holdings Ltd.	0.455	(2021/06/30)	2021/07/02
00539	Victory City International Holdings Ltd.	0.290	(2021/03/22)	2021/03/22
01139	Victory Group Ltd.	0.238	(2018/01/22)	2018/01/23
01565	Virscend Education Co. Ltd.	0.460	(2021/11/29)	2021/11/30
01895	Xinyuan Property Management Service (Cayman) Ltd.	2.120	(2021/03/31)	2021/04/01
02213	Yi Hua Holdings Ltd.	0.101	(2020/08/18)	2020/08/18

Takeovers and mergers

Code	Company	Proposed date Offer closing date
01034	**Fullwealth Construction Holdings Co. Ltd.** **(Name changed to Fullwealth International Group Holdings Ltd.)** Mandatory unconditional cash offer was made by Victory Way Global Co. Ltd. at a price of HK$0.125 for each share	2020/12/23 2021/01/13
01010	**PacRay International Holdings Ltd.** Mandatory conditional cash offer was made by Zhongying Int'l Holding Group Ltd. at a price of HK$1.345 for each share	2021/01/12 2021/02/02
01492	**China ZhongDi Dairy Holdings Co. Ltd.** Mandatory conditional cash offer was made by Wholesome Harvest Ltd. at a price of HK$1.132 for each share	2021/01/18 2021/02/08
01415	**Cowell e Holdings Inc.** Mandatory conditional cash offer was made by Luxvisions Innovation Technology Ltd. at a price of HK$5.87 for each share, HK$2.11 for each option with exercise price of HK$3.76, HK$4.056 for each option with exercise price of HK$1.814	2021/01/15 2021/02/18
00524	**Great Wall Belt & Road Holdings Ltd.** **(Name changed to Great Wall Terroir Holdings Ltd.)** Mandatory unconditional cash offer was made by Beta Dynamic Ltd. at a price of HK$0.1687 for each share	2021/01/28 2021/02/25
00699	**CAR Inc.** Voluntary conditional cash offer was made by Indigo Glamour Co. Ltd. at a price of HK$4.00 for each share, HK$3.55 for each option with exercise price of US$0.058, HK$2.651 for each option with exercise price of US$0.174, HK$0.001 for each option with exercise price of HK$6.360	2021/02/01 2021/03/04
00616	**Eminence Enterprise Ltd.** Voluntary conditional cash offer was made by Ace Winner Investment Ltd., wholly-owned subsidiary of Easyknit International Holdings Ltd. at a price of HK$0.50 for each share	2021/03/26 2021/04/21
01158	**Zhejiang New Century Hotel Management Co., Ltd. – H Shares** Voluntary conditional cash offer was made by Kunpeng Asia Ltd. at a price of HK$18.15 for each H Share and RMB15.180660 for each Domestic Share	2021/03/19 2021/05/14
01726	**HKE Holdings Ltd.** Mandatory unconditional cash offer was made by Flourish Nation Enterprises Ltd. at a price of HK$0.188 for each share	2021/04/29 2021/05/21
00905	**Global Mastermind Capital Ltd.** Mandatory unconditional cash offer was made by China Lead Investment Holdings Ltd. at a price of HK$0.11 for each share	2021/04/30 2021/05/21
06188	**Beijing Digital Telecom Co., Ltd. – H Shares** Mandatory conditional cash offer was made by Zhuhai Huafa Industrial Investment Holding Co., Ltd. and Hong Kong Huafa Investment Holdings Ltd. at a price of HK$3.8429 for each H Share, RMB3.2030 for each Domestic Share	2021/04/30 2021/06/03
01725	**Eternity Technology Holdings Ltd.** **(Name changed to Hong Kong Aerospace Technology Group Ltd.)** Mandatory unconditional cash offer was made by Hong Kong Aerospace Technology Group Ltd. at a price of HK$2.00 for each share	2021/05/13 2021/06/03
00244	**Sincere Co. Ltd., The** Voluntary conditional cash offer was made by Realord Group Holdings Ltd. at a price of HK$0.3935 for each share	2021/05/20 2021/06/03
01867	**LKS Holding Group Ltd.** **(Name changed to Standard Development Group Ltd.)** Mandatory unconditional cash offer was made by Fujincheng Investment Holdings Co., Ltd. at a price of HK$0.22 for each share	2021/05/18 2021/06/08
01583	**Qinqin Foodstuffs Group (Cayman) Co. Ltd.** Voluntary conditional cash offer was made by Sure Wonder Ltd. at a price of HK$2.23 for each share	2021/05/21 2021/06/11
03860	**Speed Apparel Holding Ltd.** **(Name changed to EPS Creative Health Technology Group Ltd.)** Mandatory unconditional cash offer was made by EPS Holdings, Inc. at a price of HK$0.988 for each share	2021/05/26 2021/06/16
00936	**Eagle Legend Asia Ltd.** **(Name changed to Kaisa Capital Investment Holdings Ltd.)** Mandatory unconditional cash offer was made by Mighty Empire Group Ltd., a wholly-owned subsidiary of Kaisa Group Holdings Ltd. at a price of HK$0.45 for each share	2021/05/28 2021/06/18
01743	**Zhejiang Cangnan Instrument Group Co. Ltd. – H Shares** Conditional cash offer was made by Zhejiang Cangnan Instrument Group Co. Ltd. to buy-back all the issued H shares at a price of HK$22.00 per H share	2021/04/01 2021/06/28
01826	**Steering Holdings Ltd.** Mandatory unconditional cash offer was made by Masterveyor Holdings Ltd. at a price of HK$0.158 for each share	2021/06/28 2021/07/12

Takeovers and mergers *(continued)*

Code	Company	Proposed date Offer closing date
01620	**CTEH Inc.** **(Name changed to Cinese International Group Holdings Ltd.)** Mandatory unconditional cash offer was made by Tomorrow Education Technology Ltd. at a price of HK$0.2278 for each share	2021/06/28 2021/07/19
06928	**TOMO Holdings Ltd.** Mandatory unconditional cash offer was made by Billion Legend Co. Ltd. at a price of HK$0.5667 for each share	2021/06/29 2021/07/20
01829	**China Machinery Engineering Corporation – H Shares** Cash offer was made by China SUMEC Automotive Industry Consulting Development Co., Ltd. at a price of HK$3.70 for each H share; RMB3.082692 per Domestic Share	2021/07/06 2021/08/02
03992	**Creative Enterprise Holdings Ltd.** Mandatory unconditional cash offer was made by Sinotrans Shipping Ltd. at a price of HK$1.456 for each share	2021/07/16 2021/08/06
02606	**Sichuan Languang Justbon Services Group Co., Ltd. – H Shares** Mandatory unconditional cash offer was made by Country Garden Property Services HK Holdings Co. Ltd, wholly-owned subsidiary of Country Garden Services Holdings Co. Ltd. at a price of HK$54.3000 for each H share, RMB45.5768 for each Domestic share	2021/05/03 2021/08/12
00100	**Clear Media Ltd.** Voluntary conditional offer was made by Ever Harmonic Global Ltd. at a price of HK$7.12 for each share; or 1 City Lead II Developments Ltd. share for each share	2021/08/03 2021/08/24
01649	**Inner Mongolia Energy Engineering Co., Ltd. – H Shares** Voluntary conditional cash offer was made by Inner Mongolia Energy Engineering (Group) Co., Ltd. at a price of HK$1.80 for each H share	2021/05/31 2021/08/27
01854	**Goal Forward Holdings Ltd.** **(Name changed to China Wantian Holdings Ltd.)** Mandatory unconditional cash offer was made by Ace Source Holdings Ltd. at a price of HK$0.15 for each share	2021/08/19 2021/09/09
00636	**Kerry Logistics Network Ltd.** Voluntary conditional partial cash offer was made by Flourish Harmony Holdings Co. Ltd., an indirectly wholly-owned subsidiary of S.F. Holding Co., Ltd. at a price of HK$18.80 for each share to acquire 931,209,117 shares, and partial option offer at a price of HK$8.60 for each option to cancel 51.8% of outstanding options	2021/08/12 2021/09/16
01450	**Century Sage Scientific Holdings Ltd.** Mandatory unconditional cash offer was made by Golden Ocean Holdings Corp. at a price of HK$0.23 for each share, HK$0.001 for each option with exercise price of HK$0.435, HK$0.008 for each option with exercise price of HK$0.222, HK$0.163 for each option with exercise price of HK$0.067	2021/09/07 2021/09/28
01850	**WINDMILL Group Ltd.** Mandatory unconditional cash offer was made by Great Season Ventures Ltd., Lianteng Group Ltd. and Mr. Ren Guisheng at a price of HK$0.3334 for each share	2021/09/17 2021/10/11
00442	**Hifood Group Holdings Co., Ltd.** Mandatory unconditional cash offer was made by Perfect Gain Group Ltd. at a price of HK$1.546 for each share	2021/11/05 2021/11/26
00948	**Alpha Professional Holdings Ltd.** Mandatory unconditional cash offer was made by Well Dynasty Investments Ltd. at a price of HK$1.20 for each share	2021/11/08 2021/11/29
01059	**Kantone Holdings Ltd.** Mandatory unconditional cash offer was made by Innovative City Investments Ltd. at a price of HK$0.461 for each share	2021/12/03 2021/12/28

Share subdivisions and share consolidations

Code	Stock	Particulars	New par value (HK$)		Effective date
00605	China Financial Services Holdings Ltd.	Consolidation 20 into 1	n.a.		2021/01/11
00110	China Fortune Holdings Ltd.	Consolidation 10 into 1	0.0100	*	2021/01/11
01007	Longhui International Holdings Ltd.	Consolidation 20 into 1	0.0004		2021/01/14
00136	HengTen Networks Group Ltd.	Consolidation 10 into 1	0.0200		2021/01/20
02700	Green International Holdings Ltd.	Consolidation 5 into 1	0.2000		2021/01/22
00115	Grand Field Group Holdings Ltd.	Consolidation 10 into 1	0.0100	*	2021/01/25
00985	CST Group Ltd.	Consolidation 80 into 1	0.0100	*	2021/02/04
00997	Chinlink International Holdings Ltd.	Consolidation 5 into 1	0.0100	*	2021/02/19
00938	Man Sang International Ltd.	Consolidation 5 into 1	0.5000		2021/03/02
00959	Century Entertainment International Holdings Ltd.	Consolidation 10 into 1	0.0100	*	2021/03/03
00871	China Dredging Environment Protection Holdings Ltd.	Consolidation 2 into 1	0.2000		2021/03/09
09901	New Oriental Education & Technology Group Inc. – S	Split 1 into 10	USD 0.0010		2021/03/10
01247	Miko International Holdings Ltd.	Consolidation 10 into 1	0.1000		2021/03/16
00810	China Internet Investment Finance Holdings Ltd.	Consolidation 10 into 1	0.0100	*	2021/03/17
00539	Victory City International Holdings Ltd.	Consolidation 10 into 1	1.0000		2021/03/22
00438	IRICO Group New Energy Co. Ltd. – H Shares	Consolidation 20 into 1	RMB 1.0000	*	2021/03/30
01909	Fire Rock Holdings Ltd.	Split 1 into 4	0.0008		2021/05/04
00183	Winfull Group Holdings Ltd.	Consolidation 10 into 1	0.1000		2021/05/04
00513	Continental Holdings Ltd.	Consolidation 10 into 1	n.a.		2021/05/31
00898	Multifield International Holdings Ltd.	Consolidation 5 into 1	0.0500		2021/05/31
00430	Oriental Explorer Holdings Ltd.	Consolidation 10 into 1	0.1000		2021/05/31
00988	Silk Road Logistics Holdings Ltd.	Consolidation 10 into 1	0.1000		2021/06/07
01182	Success Dragon International Holdings Ltd.	Consolidation 20 into 1	0.0100	*	2021/06/09
00123	Yuexiu Property Co. Ltd.	Consolidation 5 into 1	n.a.		2021/06/10
00482	Sandmartin International Holdings Ltd.	Consolidation 10 into 1	0.1000	*	2021/06/11
01051	G-Resources Group Ltd.	Consolidation 60 into 1	0.0100	*	2021/06/28
01179	Huazhu Group Ltd. – S	Split 1 into 10	USD 0.00001		2021/06/29
01825	Sterling Group Holdings Ltd.	Consolidation 4 into 1	0.0400		2021/07/06
03738	Vobile Group Ltd.	Split 1 into 4	USD 0.000025		2021/07/15
00918	State Energy Group International Assets Holdings Ltd.	Consolidation 5 into 1	0.0100	*	2021/07/26
02882	Hong Kong Resources Holdings Co. Ltd.	Consolidation 10 into 1	0.0010	*	2021/07/27
01087	InvesTech Holdings Ltd.	Consolidation 20 into 1	USD 0.0100	*	2021/07/28
00185	Zensun Enterprises Ltd.	Consolidation 10 into 1	n.a.		2021/08/09
01269	China First Capital Group Ltd.	Consolidation 5 into 1	0.1000		2021/08/20
02312	China Financial Leasing Group Ltd.	Consolidation 10 into 1	0.2000		2021/08/24
00164	China Baoli Technologies Holdings Ltd.	Consolidation 10 into 1	0.0100	*	2021/09/01
01076	Imperial Pacific International Holdings Ltd.	Consolidation 20 into 1	0.0100		2021/09/16
00646	China Environmental Technology Holdings Ltd.	Consolidation 20 into 1	0.5000		2021/09/29
00547	Digital Domain Holdings Ltd.	Consolidation 10 into 1	0.0100	*	2021/10/11
01141	CMBC Capital Holdings Ltd.	Consolidation 40 into 1	0.4000		2021/12/29
00663	King Stone Energy Group Ltd.	Consolidation 10 into 1	n.a.		2021/12/30

* New par value after capital reorganisation.

Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
00211	Styland Holdings Ltd.	1 wt for 5	2021/02/02
02296	Huarchi Global Group Holdings Ltd.	1 wt for 5	2021/05/06
02359	WuXi AppTec Co., Ltd. – H Shares	2 for 10	2021/05/28
01183	MECOM Power and Construction Ltd.	1 for 2	2021/06/01
06838	Winox Holdings Ltd.	1 for 5	2021/06/02
00003	Hong Kong and China Gas Co. Ltd., The	1 for 20	2021/06/04
01665	Pentamaster International Ltd.	1 for 2	2021/06/16
06122	Jilin Jiutai Rural Commercial Bank Corporation Ltd. – H Shs	5 for 100	2021/06/23
06127	JOINN Laboratories (China) Co., Ltd. – H Shares	4 for 10	2021/06/23
01586	China Leon Inspection Holding Ltd.	1 for 10	2021/06/25
01919	COSCO SHIPPING Holdings Co., Ltd. – H Shares	3 for 10	2021/07/06
01104	APAC Resources Ltd.	1 wt for 5	2021/08/16
00558	L.K. Technology Holdings Ltd.	1 for 10	2021/09/09
01983	Luzhou Bank Co., Ltd. – H Shares	2 for 10	2021/09/10
01588	Chanjet Information Technology Co. Ltd. – H Shares	5 for 10	2021/09/29
00754	Hopson Development Holdings Ltd.	1 for 10	2021/10/07
06196	Bank of Zhengzhou Co., Ltd. – H Shares	1 for 10	2021/12/08

Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK$mil)	Ex-date payment date
01227	National Investments Fund Ltd.	Rts 5 for 1 @$0.035	25.41	2020/12/10 2021/01/08
00110	China Fortune Holdings Ltd.	Rts 1 for 1 @$0.53 (after consolidation 10 into 1)	48.64	2021/01/12 2021/02/02
00333	Top Form International Ltd.	Rts 2 for 5 @$0.50	43.01	2021/01/14 2021/02/08
01007	Longhui International Holdings Ltd.	Rts 1 for 1 @$0.142 (after consolidation 20 into 1)	45.25	2021/01/15 2021/02/10
00432	Pacific Century Premium Developments Ltd.	Rts 1 for 2 @$0.82	369.80	2021/02/17 2021/03/17
00997	Chinlink International Holdings Ltd.	Rts 3 for 1 @$0.38 (after consolidation 5 into 1)	333.25	2021/02/22 2021/03/17
00592	Bossini International Holdings Ltd.	Rts 1 for 2 @$0.36	295.89	2021/03/08 2021/03/31
01168	Sinolink Worldwide Holdings Ltd.	Rts 4 for 5 @$0.28	793.21	2021/03/10 2021/04/07
00330	Esprit Holdings Ltd.	Rts 1 for 2 @$0.75	707.70	2021/03/18 2021/04/14
01638	Kaisa Group Holdings Ltd.	Rts 1 for 7 @$2.95	2,585.84	2021/04/01 2021/04/29
01808	Enterprise Development Holdings Ltd.	Rts 1 for 2 @$0.12	45.08	2021/03/16 2021/05/07
00499	Qingdao Holdings International Ltd.	Rts 1 for 1 @$0.39	194.72	2021/04/15 2021/05/10
03919	Golden Power Group Holdings Ltd.	Rts 1 for 2 @$0.33	39.60	2021/05/05 2021/05/31
01371	China Ecotourism Group Ltd. (Formerly: China LotSynergy Holdings Ltd.)	Rts 2 for 1 @$0.10	156.99	2021/05/12 2021/06/04
01991	Ta Yang Group Holdings Ltd.	Rts 1 for 2 @$0.14	60.98	2021/05/20 2021/06/15
00524	Great Wall Terroir Holdings Ltd. (Formerly: Great Wall Belt & Road Holdings Ltd.)	Rts 1 for 4 @$0.15	39.39	2021/05/24 2021/06/17
02369	Coolpad Group Ltd.	Rts 1 for 2 @$0.28	1,008.22	2021/05/24 2021/06/18
00810	China Internet Investment Finance Holdings Ltd.	Rts 1 for 2 @$0.20	9.43	2021/06/07 2021/06/30
00370	China Best Group Holding Ltd.	Rts 1 for 2 @$0.20	101.69	2021/06/07 2021/07/02
00482	Sandmartin International Holdings Ltd.	Rts 1 for 2 @$0.42 (after consolidation 10 into 1)	68.90	2021/06/15 2021/07/09
00204	China Investment Development Ltd.	Rts 1 for 2 @$0.20	126.98	2021/06/16 2021/07/12
01315	Green Economy Development Ltd. (Formerly: Vision Fame International Holding Ltd.)	Rts 1 for 4 @$0.02	30.00	2021/06/23 2021/07/21
00191	Lai Sun Garment (International) Ltd.	Rts 1 for 2 @$2.24	439.72	2021/06/25 2021/07/23
00918	State Energy Group International Assets Holdings Ltd.	Rts 3 for 1 @$0.35 (after consolidation 5 into 1)	200.83	2021/07/27 2021/08/16
00986	China Environmental Energy Investment Ltd.	Rts 1 for 1 @$0.10	62.46	2021/08/09 2021/09/01
01867	Standard Development Group Ltd. (Formerly: LKS Holding Group Ltd.)	Rts 1 for 5 @$0.22	49.28	2021/08/12 2021/09/06
00698	Tongda Group Holdings Ltd.	Rts 1 for 2 @$0.232	751.62	2021/08/12 2021/09/06

Rights issues/open offers *(continued)*

Code	Stock	Particulars	Funds raised (HK$mil)	Ex-date payment date
00227	First Shanghai Investments Ltd.	Open offer 1 for 5 @$0.27	32.46	2021/08/18 2021/09/10
00488	Lai Sun Development Co. Ltd.	Rts 1 for 2 @$3.43	1,107.76	2021/08/31 2021/09/27
00164	China Baoli Technologies Holdings Ltd.	Rts 1 for 2 @$0.40 (after consolidation 10 into 1)	74.43	2021/09/02 2021/09/28
00736	China Properties Investment Holdings Ltd.	Rts 1 for 1 @$0.47	62.78	2021/10/12 2021/11/05
01143	Link-Asia International MedTech Group Ltd. (Formerly: Link-Asia International Co. Ltd.)	Rts 1 for 2 @$0.20	61.62	2021/10/21 2021/11/15
00524	Great Wall Terroir Holdings Ltd. (Formerly: Great Wall Belt & Road Holdings Ltd.)	Rts 1 for 5 @$0.10	26.26	2021/11/02 2021/11/26
00092	Champion Technology Holdings Ltd.	Rts 3 for 1 @$0.10	205.15	2021/11/10 2021/12/06
00567	Daisho Microline Holdings Ltd.	Rts 1 for 1 @$0.10	80.66	2021/11/15 2021/12/08
01679	Risecomm Group Holdings Ltd.	Rts 1 for 2 @$0.208	123.13	2021/11/16 2021/12/09
00884	CIFI Holdings (Group) Co. Ltd.	Rts 1 for 20 @$4.00	1,672.96	2021/11/25 2021/12/20
00262	Deson Development International Holdings Ltd.	Rts 1 for 2 @$0.10	48.89	2021/11/25 2021/12/20
00362	Xinyang Maojian Group Ltd.	Rts 1 for 2 @$0.12	106.42	2021/12/02 2021/12/31
00227	First Shanghai Investments Ltd.	Open offer 1 for 11 @$0.21	2.88	2021/12/07 2021/12/31
Total			**12,239.31**	

Notes: Figures may not add up to total due to rounding.

Placings

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
01609	Chong Kin Group Holdings Ltd.	1,882,610	2.3000	4.33	2021/01/04
00860	Apollo Future Mobility Group Ltd.	24,428,000	0.4600	11.24	2021/01/06
00381	Kiu Hung International Holdings Ltd.	150,000,000	0.2000	30.00	2021/01/07
01080	Shengli Oil & Gas Pipe Holdings Ltd.	600,000,000	0.1000	60.00	2021/01/07
01143	Link-Asia International Co. Ltd. (Name changed to Link-Asia International MedTech Group Ltd.)	85,580,000	0.3500	29.95	2021/01/11
00863	BC Technology Group Ltd.	45,000,000	15.5000	697.50	2021/01/13
09926	Akeso, Inc. – B	30,000,000	39.6000	1,188.00	2021/01/14
00901	Goldstone Investment Group Ltd.	90,140,000	0.2800	25.24	2021/01/14
00547	Digital Domain Holdings Ltd.	6,814,760,000	0.0500	340.74	2021/01/18
00382	Edvantage Group Holdings Ltd.	53,300,000	8.7300	465.31	2021/01/19
01616	Starrise Media Holdings Ltd.	101,137,134	0.1560	15.78	2021/01/19
01466	Affluent Partners Holdings Ltd.	44,392,000	0.1160	5.15	2021/01/21
02309	Birmingham Sports Holdings Ltd.	1,062,576,000	0.1318	139.99	2021/01/21
01027	China Jicheng Holdings Ltd.	75,720,000	0.2000	15.14	2021/01/21
03800	GCL-Poly Energy Holdings Ltd.	3,900,000,000	1.0800	4,212.00	2021/01/21
00860	Apollo Future Mobility Group Ltd.	358,000,000	0.4600	164.68	2021/01/22
01712	Dragon Mining Ltd.	20,000,000	2.0500	41.00	2021/01/22
01801	Innovent Biologics, Inc.	52,000,000	90.9000	4,726.80	2021/01/22
01999	Man Wah Holdings Ltd.	150,000,000	15.8500	2,377.50	2021/01/22
01477	Ocumension Therapeutics – B	28,000,000	28.3500	793.80	2021/01/22
06161	Target Insurance (Holdings) Ltd.	104,282,000	0.5000	52.14	2021/01/22
00033	Amber Hill Financial Holdings Ltd.	284,673,884	0.7100	202.12	2021/01/25
01117	China Modern Dairy Holdings Ltd.	650,000,000	2.4000	1,560.00	2021/01/27
00393	Glorious Sun Enterprises Ltd.	15,000,000	1.2000	18.00	2021/01/27
01211	BYD Co. Ltd. – H Shares	133,000,000	225.0000	29,925.00	2021/01/28
01609	Chong Kin Group Holdings Ltd.	38,300,000	2.3000	88.09	2021/01/28
00757	Solargiga Energy Holdings Ltd.	180,000,000	0.2900	52.20	2021/01/28
00316	Orient Overseas (International) Ltd.	11,400,000	81.8000	932.52	2021/01/29
09996	Peijia Medical Ltd. – B	33,800,000	29.3800	993.04	2021/01/29
02500	Venus Medtech (Hangzhou) Inc. – B – H Shares	18,042,500	80.0800	1,444.84	2021/01/29
01609	Chong Kin Group Holdings Ltd.	37,682,609	2.3000	86.67	2021/02/01
00305	Wuling Motors Holdings Ltd.	223,000,000	2.4700	550.81	2021/02/01
00339	Core Economy Investment Group Ltd.	40,080,000	0.1880	7.54	2021/02/02
00860	Apollo Future Mobility Group Ltd.	150,000,000	0.4600	69.00	2021/02/03
00839	China Education Group Holdings Ltd.	122,000,000	16.6000	2,025.20	2021/02/03
00907	Elegance Optical International Holdings Ltd.	100,000,000	0.3810	38.10	2021/02/03
02708	IBO Technology Co. Ltd.	50,000,000	1.5000	75.00	2021/02/03
01760	Intron Technology Holdings Ltd.	45,000,000	6.8200	306.90	2021/02/03
00697	Shoucheng Holdings Ltd.	210,000,000	2.0300	426.30	2021/02/03
00918	State Energy Group International Assets Holdings Ltd.	155,080,000	0.4650	72.11	2021/02/03
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd-H Sh	193,757,462	5.8630	1,136.00	2021/02/03
01387	China Dili Group	478,067,066	1.6700	798.37	2021/02/04
06969	Smoore International Holdings Ltd.	60,000,000	74.4000	4,464.00	2021/02/04
06093	Hevol Services Group Co., Ltd.	80,000,000	2.0000	160.00	2021/02/05
00907	Elegance Optical International Holdings Ltd.	45,000,000	0.3810	17.15	2021/02/08

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
02186	Luye Pharma Group Ltd.	292,406,881	4.2800	1,251.50	2021/02/08
01895	Xinyuan Property Management Service (Cayman) Ltd.	18,000,000	2.0600	37.08	2021/02/08
01951	Jinxin Fertility Group Ltd.	80,000,000	15.8500	1,268.00	2021/02/09
09969	InnoCare Pharma Ltd. – B	210,508,000	14.4500	3,041.84	2021/02/10
02269	WuXi Biologics (Cayman) Inc.	118,000,000	112.0000	13,216.00	2021/02/10
06855	Ascentage Pharma Group International – B	26,500,000	44.2000	1,171.30	2021/02/11
00451	GCL New Energy Holdings Ltd.	2,000,000,000	0.4550	910.00	2021/02/19
00708	China Evergrande New Energy Vehicle Group Ltd.	109,890,500	27.3000	3,000.01	2021/02/24
00901	Goldstone Investment Group Ltd.	270,520,000	0.3300	89.27	2021/02/25
00990	Theme International Holdings Ltd.	815,000,000	0.2410	196.42	2021/02/25
01762	Wanka Online Inc.	135,000,000	1.4500	195.75	2021/03/01
01765	Hope Education Group Co., Ltd.	680,000,000	2.8000	1,904.00	2021/03/02
03939	Wanguo International Mining Group Ltd.	108,000,000	2.1800	235.44	2021/03/02
00651	China Ocean Industry Group Ltd.	68,000,000	0.1050	7.14	2021/03/03
01682	Hang Pin Living Technology Co. Ltd.	130,000,000	0.1310	17.03	2021/03/04
02369	Coolpad Group Ltd.	666,000,000	0.2800	186.48	2021/03/08
02666	Genertec Universal Medical Group Co. Ltd.	175,235,081	6.6360	1,162.86	2021/03/08
00913	Unity Investments Holdings Ltd. (Name changed to Harbour Digital Asset Capital Ltd.)	44,690,000	0.1300	5.81	2021/03/08
00493	GOME Retail Holdings Ltd.	2,279,976,000	1.9700	4,491.55	2021/03/09
00567	Daisho Microline Holdings Ltd.	115,200,000	0.1140	13.13	2021/03/16
00476	China Dynamics (Holdings) Ltd. (Name changed to Ev Dynamics (Holdings) Ltd.)	268,000,000	0.1450	38.86	2021/03/18
01378	China Hongqiao Group Ltd.	243,000,000	9.7200	2,361.96	2021/03/18
01636	China Metal Resources Utilization Ltd.	618,490,566	0.4650	287.60	2021/03/18
00708	China Evergrande New Energy Vehicle Group Ltd.	109,890,500	27.3000	3,000.01	2021/03/19
00037	Far East Hotels & Entertainment Ltd.	122,142,135	0.1060	12.95	2021/03/22
01755	S-Enjoy Service Group Co., Ltd.	50,000,000	20.8000	1,040.00	2021/03/23
00708	China Evergrande New Energy Vehicle Group Ltd.	183,150,500	27.3000	5,000.01	2021/03/24
00989	Ground International Development Ltd. (Name changed to Hua Yin International Holdings Ltd.)	290,885,000	0.3500	101.81	2021/03/24
02668	Pak Tak International Ltd.	1,000,000,000	0.2000	200.00	2021/03/24
00708	China Evergrande New Energy Vehicle Group Ltd.	183,150,500	27.3000	5,000.01	2021/03/25
00091	Golden Century International Holdings Group Ltd.	149,691,195	0.2000	29.94	2021/03/25
01647	Grandshores Technology Group Ltd.	64,500,000	0.4200	27.09	2021/03/26
00708	China Evergrande New Energy Vehicle Group Ltd.	183,150,500	27.3000	5,000.01	2021/03/29
00871	China Dredging Environment Protection Holdings Ltd.	508,240,000	0.2000	101.65	2021/03/30
00126	Carrianna Group Holdings Co. Ltd.	125,708,754	0.4500	56.57	2021/03/31
00223	Elife Holdings Ltd.	18,973,404	0.1880	3.57	2021/03/31
01182	Success Dragon International Holdings Ltd.	429,870,000	0.0670	28.80	2021/03/31
03302	Kinergy Corporation Ltd.	43,597,070	0.6000	26.16	2021/04/01
00299	Glory Sun Land Group Ltd.	910,020,000	0.3000	273.01	2021/04/07
01372	Bisu Technology Group International Ltd. (Name changed to China Carbon Neutral Development Group Ltd.)	16,240,000	4.8000	77.95	2021/04/09
00708	China Evergrande New Energy Vehicle Group Ltd.	183,150,500	27.3000	5,000.01	2021/04/09
00150	Hypebeast Ltd.	6,533,397	1.0592	6.92	2021/04/09
01247	Miko International Holdings Ltd.	19,680,000	0.4800	9.45	2021/04/09

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
01950	Sunlight Technology Holdings Ltd.	200,000,000	0.2400	48.00	2021/04/09
02221	New Concepts Holdings Ltd.	20,000,000	0.2000	4.00	2021/04/12
03302	Kinergy Corporation Ltd.	19,377,000	0.6000	11.63	2021/04/13
02400	XD Inc.	26,318,000	42.3800	1,115.36	2021/04/13
01613	Synertone Communication Corporation	162,000,000	0.2500	40.50	2021/04/14
01483	U Banquet Group Holding Ltd. (Name changed to Net-a-Go Technology Co. Ltd.)	90,000,000	1.6800	151.20	2021/04/15
02448	Space Group Holdings Ltd.	46,000,000	2.3500	108.10	2021/04/16
00073	Asian Citrus Holdings Ltd.	1,250,000,000	0.0800	100.00	2021/04/19
01121	Baofeng Modern International Holdings Co. Ltd. (Name changed to Golden Solar New Energy Technology Holdings Ltd.)	77,000,000	4.0000	308.00	2021/04/19
00875	China Finance Investment Holdings Ltd.	60,416,000	0.8000	48.33	2021/04/19
01332	China Touyun Tech Group Ltd. (Name changed to Touyun Biotech Group Ltd.)	30,000,000	0.9400	28.20	2021/04/19
01460	ICO Group Ltd.	141,287,000	0.2200	31.08	2021/04/20
01860	Mobvista Inc.	72,481,000	5.9000	427.64	2021/04/21
00745	China National Culture Group Ltd.	117,772,920	0.1450	17.08	2021/04/26
01400	Moody Technology Holdings Ltd.	72,604,167	0.0960	6.97	2021/04/26
03690	Meituan – W	187,000,000	273.8000	51,200.60	2021/04/27
02002	China Sunshine Paper Holdings Co. Ltd.	85,802,000	1.5000	128.70	2021/04/28
02138	EC Healthcare	29,636,000	7.8000	231.16	2021/04/28
02346	Universal Star (Holdings) Ltd.	41,282,000	0.3700	15.27	2021/04/29
00384	China Gas Holdings Ltd.	392,000,000	29.7500	11,662.00	2021/04/30
00756	Summi (Group) Holdings Ltd.	269,000,000	0.1200	32.28	2021/04/30
00048	China Automotive Interior Decoration Holdings Ltd.	71,663,616	0.3200	22.93	2021/05/03
00776	Imperium Group Global Holdings Ltd. (Name changed to Imperium Technology Group Ltd.)	23,830,000	5.0000	119.15	2021/05/03
00558	L.K. Technology Holdings Ltd.	60,000,000	9.5000	570.00	2021/05/03
02363	Tongda Hong Tai Holdings Ltd.	37,800,000	0.3100	11.72	2021/05/03
01003	Huanxi Media Group Ltd.	218,130,000	2.1500	468.98	2021/05/05
00343	Culturecom Holdings Ltd.	278,000,000	0.1500	41.70	2021/05/06
01022	Feiyu Technology International Co. Ltd.	171,882,607	0.6941	119.30	2021/05/06
00302	CMGE Technology Group Ltd.	257,900,000	3.3000	851.07	2021/05/10
03606	Fuyao Glass Industry Group Co., Ltd. – H Shares	101,126,000	42.9000	4,338.31	2021/05/10
01673	Huazhang Technology Holding Ltd.	153,846,153	0.6500	100.00	2021/05/10
03709	EEKA Fashion Holdings Ltd.	19,000,000	10.5000	199.50	2021/05/11
00826	Tiangong International Co. Ltd.	200,000,000	4.2200	844.00	2021/05/12
02708	IBO Technology Co. Ltd.	21,000,000	3.6900	77.49	2021/05/13
01690	Lap Kei Engineering (Holdings) Ltd.	200,000,000	0.0610	12.20	2021/05/13
00205	SEEC Media Group Ltd.	63,735,455	0.1300	8.29	2021/05/13
02312	China Financial Leasing Group Ltd.	385,000,000	0.0470	18.10	2021/05/14
01258	China Nonferrous Mining Corporation Ltd.	250,000,000	3.9600	990.00	2021/05/14
01255	TATA Health International Holdings Ltd.	28,845,000	2.6000	75.00	2021/05/18
00080	China New Economy Fund Ltd.	120,029,845	0.1800	21.61	2021/05/21
00381	Kiu Hung International Holdings Ltd.	390,440,579	0.2670	104.25	2021/05/24
01372	China Carbon Neutral Development Group Ltd.	23,760,000	4.8000	114.05	2021/05/26

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
02371	China Chuanglian Education Financial Group Ltd. (Name changed to Chuanglian Holdings Ltd.)	470,000,000	0.1020	47.94	2021/05/28
03738	Vobile Group Ltd.	21,500,000	30.3000	651.45	2021/05/28
00471	CMMB Vision Holdings Ltd.	58,342,055	0.3500	20.42	2021/06/01
06098	Country Garden Services Holdings Co. Ltd.	139,380,000	75.2500	10,488.35	2021/06/01
02013	Weimob Inc.	156,000,000	15.0000	2,340.00	2021/06/01
09939	Kintor Pharmaceutical Ltd. – B	18,200,000	64.5000	1,173.90	2021/06/02
00582	Landing International Development Ltd.	704,374,800	0.1970	138.76	2021/06/02
01280	Qidian International Co., Ltd.	36,546,624	1.1400	41.66	2021/06/02
01907	China Risun Group Ltd.	350,000,000	5.9000	2,065.00	2021/06/03
02130	CN Logistics International Holdings Ltd.	5,000,000	7.2300	36.15	2021/06/03
03319	A-Living Smart City Services Co., Ltd. – H Shares	86,666,800	37.6000	3,258.67	2021/06/04
00475	Central Development Holdings Ltd.	15,300,000	0.7500	11.48	2021/06/04
01468	Kingkey Financial International (Holdings) Ltd.	1,875,000,000	0.2400	450.00	2021/06/04
00126	Carrianna Group Holdings Co. Ltd.	188,563,130	0.4500	84.85	2021/06/08
01208	MMG Ltd.	565,000,000	4.1500	2,344.75	2021/06/08
01609	Chong Kin Group Holdings Ltd.	6,902,000	5.8000	40.03	2021/06/09
01371	China Ecotourism Group Ltd.	489,055,933	0.1000	48.91	2021/06/10
01548	Genscript Biotech Corporation	102,981,853	18.6580	1,921.44	2021/06/10
00362	Xinyang Maojian Group Ltd.	100,000,000	0.3000	30.00	2021/06/10
01908	C&D International Investment Group Ltd.	65,000,000	14.5000	942.50	2021/06/15
01589	China Logistics Property Holdings Co., Ltd.	220,000,000	3.5400	778.80	2021/06/17
01609	Chong Kin Group Holdings Ltd.	1,000,000	5.8000	5.80	2021/06/17
00428	Cocoon Holdings Ltd.	71,945,370	0.2150	15.47	2021/06/17
01269	China First Capital Group Ltd.	227,000,000	0.0450	10.22	2021/06/21
01532	China Partytime Culture Holdings Ltd.	179,544,600	0.1750	31.42	2021/06/21
01772	Ganfeng Lithium Co., Ltd. – H Shares	48,044,400	101.3500	4,869.30	2021/06/21
00286	Aidigong Maternal & Child Health Ltd.	190,000,000	0.6200	117.80	2021/06/22
00863	BC Technology Group Ltd.	31,952,500	17.0000	543.19	2021/06/23
01877	Shanghai Junshi Biosciences Co., Ltd. – H Shares	36,549,200	70.1800	2,565.02	2021/06/23
00476	China Dynamics (Holdings) Ltd. (Name changed to Ev Dynamics (Holdings) Ltd.)	367,660,000	0.1500	55.15	2021/06/24
01730	LHN Ltd.	6,500,000	1.9638	12.76	2021/06/24
00205	SEEC Media Group Ltd.	170,000,000	0.1300	22.10	2021/06/24
00286	Aidigong Maternal & Child Health Ltd.	264,099,966	0.7000	184.87	2021/06/25
00888	Bison Finance Group Ltd.	236,973,066	0.2600	61.61	2021/06/25
01751	Kingland Group Holdings Ltd.	134,400,000	0.1610	21.64	2021/06/25
00059	Skyfame Realty (Holdings) Ltd.	490,000,000	0.8770	429.73	2021/06/28
02221	New Concepts Holdings Ltd.	27,116,000	0.2950	8.00	2021/06/29
00990	Theme International Holdings Ltd.	815,000,000	0.7500	611.25	2021/06/29
01645	Haina Intelligent Equipment International Holdings Ltd.	93,972,000	0.8900	83.64	2021/06/30
01143	Link-Asia International MedTech Group Ltd.	102,704,000	0.2240	23.01	2021/06/30
02221	New Concepts Holdings Ltd.	27,112,000	0.2950	8.00	2021/07/02
01259	Prosperous Future Holdings Ltd.	362,000,000	0.0680	24.62	2021/07/05
02221	New Concepts Holdings Ltd.	115,244,000	0.2950	34.00	2021/07/09
00881	Zhongsheng Group Holdings Ltd.	47,253,000	63.3964	2,995.67	2021/07/12

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
00274	China Billion Resources Ltd. (Name changed to Renaissance Asia Silk Road Group Ltd.)	252,542,676	0.2340	59.09	2021/07/19
02138	EC Healthcare	17,213,793	14.5000	249.60	2021/07/19
01201	Tesson Holdings Ltd.	40,008,000	0.4000	16.00	2021/07/20
00904	China Green (Holdings) Ltd.	73,031,674	0.2000	14.61	2021/07/21
06908	HongGuang Lighting Holdings Co. Ltd. (Name changed to HG Semiconductor Ltd.)	69,245,000	5.8000	401.62	2021/07/22
06855	Ascentage Pharma Group International – B	8,823,863	44.0000	388.25	2021/07/23
01679	Risecomm Group Holdings Ltd.	197,320,000	0.1700	33.54	2021/07/27
01696	Sisram Medical Ltd.	24,000,000	25.9000	621.60	2021/07/27
00821	Value Convergence Holdings Ltd.	341,150,000	0.2050	69.94	2021/07/27
00505	Xingye Alloy Materials Group Ltd.	85,000,000	0.9600	81.60	2021/07/29
00547	Digital Domain Holdings Ltd.	2,400,000,000	0.0650	156.00	2021/07/30
01063	Suncorp Technologies Ltd.	580,000,000	0.1000	58.00	2021/08/02
01372	China Carbon Neutral Development Group Ltd.	42,000,000	5.5000	231.00	2021/08/03
01442	Infinity Logistics and Transport Ventures Ltd.	64,000,000	1.3800	88.32	2021/08/03
01549	Ever Harvest Group Holdings Ltd.	100,000,000	0.2310	23.10	2021/08/06
01400	Moody Technology Holdings Ltd.	87,125,893	0.0910	7.93	2021/08/06
01226	China Investment and Finance Group Ltd.	54,180,000	0.3000	16.25	2021/08/10
01799	Xinte Energy Co., Ltd. – H Shares	62,695,126	16.5000	1,034.47	2021/08/12
03878	Vicon Holdings Ltd.	79,600,000	0.2500	19.90	2021/08/13
01854	Goal Forward Holdings Ltd. (Name changed to China Wantian Holdings Ltd.)	252,000,000	0.1500	37.80	2021/08/16
01327	Luxxu Group Ltd.	89,856,000	0.1000	8.99	2021/08/18
01402	i-Control Holdings Ltd.	50,000,000	0.5700	28.50	2021/08/19
01870	Acme International Holdings Ltd.	104,000,000	0.1900	19.76	2021/08/20
03737	Zhongzhi Pharmaceutical Holdings Ltd.	23,600,000	1.5300	36.11	2021/08/26
01561	Pan Asia Data Holdings Inc.	15,000,000	2.0000	30.00	2021/08/27
00488	Lai Sun Development Co. Ltd.	33,834,900	6.9500	235.15	2021/08/30
00988	Silk Road Logistics Holdings Ltd.	42,857,142	0.1400	6.00	2021/09/03
01140	Wealthking Investments Ltd.	580,188,000	0.6600	382.92	2021/09/03
00362	Xinyang Maojian Group Ltd.	170,000,000	0.2500	42.50	2021/09/03
01087	InvesTech Holdings Ltd.	14,040,000	1.2400	17.41	2021/09/06
00189	Dongyue Group Ltd.	145,000,000	23.0000	3,335.00	2021/09/08
00223	Elife Holdings Ltd.	145,000,000	0.0550	7.98	2021/09/15
00316	Orient Overseas (International) Ltd.	23,180,000	151.0000	3,500.18	2021/09/17
00227	First Shanghai Investments Ltd.	11,848,000	0.2700	3.20	2021/09/20
01549	Ever Harvest Group Holdings Ltd.	50,000,000	0.2310	11.55	2021/09/24
01466	Affluent Partners Holdings Ltd.	53,272,000	0.1030	5.49	2021/09/28
06833	Sinco Pharmaceuticals Holdings Ltd.	169,000,000	0.5000	84.50	2021/09/28
01090	Da Ming International Holdings Ltd.	20,000,000	3.2600	65.20	2021/09/29
02346	Universal Star (Holdings) Ltd.	53,828,000	1.9700	106.04	2021/09/29
02378	Prudential plc	130,780,350	143.8000	18,806.21	2021/10/04
06069	Sheng Ye Capital Ltd.	63,068,000	8.8000	555.00	2021/10/05
03883	China Aoyuan Group Ltd.	107,875,000	3.7080	400.00	2021/10/08
00354	Chinasoft International Ltd.	162,000,000	12.2600	1,986.12	2021/10/12

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
00938	Man Sang International Ltd.	205,000,000	1.6000	328.00	2021/10/12
00646	China Environmental Technology Holdings Ltd.	36,500,000	0.5000	18.25	2021/10/13
01636	China Metal Resources Utilization Ltd.	172,043,011	0.4650	80.00	2021/10/19
01025	KNT Holdings Ltd.	104,000,000	0.2500	26.00	2021/10/20
03633	Zhongyu Gas Holdings Ltd.	188,000,000	5.8000	1,090.40	2021/10/20
02324	Capital VC Ltd.	68,640,000	0.2500	17.16	2021/10/25
02399	China Fordoo Holdings Ltd.	37,087,000	0.6140	22.77	2021/10/26
00839	China Education Group Holdings Ltd.	78,000,000	15.1000	1,177.80	2021/10/27
01094	China Public Procurement Ltd.	48,800,000	0.3610	17.62	2021/10/29
00279	Freeman FinTech Corporation Ltd. (Name changed to Arta TechFin Corporation Ltd.)	16,813,585,692	0.0161	271.37	2021/10/31
01995	CIFI Ever Sunshine Services Group Ltd.	83,520,000	15.7600	1,316.28	2021/11/01
00080	China New Economy Fund Ltd.	144,035,814	0.1620	23.33	2021/11/02
00873	Shimao Services Holdings Ltd.	115,000,000	15.1800	1,745.70	2021/11/02
06169	China YuHua Education Corporation Ltd.	220,000,000	4.1900	921.80	2021/11/03
02331	Li Ning Co. Ltd.	120,000,000	87.5000	10,500.00	2021/11/03
04621	China Cinda Asset Mgt USD 4.4% Non-Cum Perp Offshore Pref Sh	85,000,000	155.0400	13,178.40	2021/11/04
01211	BYD Co. Ltd. – H Shares	50,000,000	276.0000	13,800.00	2021/11/08
00204	China Investment Development Ltd.	380,900,000	0.1500	57.14	2021/11/08
01725	Hong Kong Aerospace Technology Group Ltd.	9,000,000	26.4100	237.69	2021/11/09
02882	Hong Kong Resources Holdings Co. Ltd.	65,000,000	0.5000	32.50	2021/11/12
01676	China Shenghai Group Ltd.	8,000,000	1.5000	12.00	2021/11/17
00091	Golden Century International Holdings Group Ltd.	409,000,000	0.2000	81.80	2021/11/17
01726	HKE Holdings Ltd.	160,000,000	0.4000	64.00	2021/11/17
00708	China Evergrande New Energy Vehicle Group Ltd.	174,830,000	2.8600	500.01	2021/11/18
01083	Towngas China Co. Ltd.	116,783,333	5.0000	583.92	2021/11/18
00726	DIT Group Ltd.	300,000,000	0.9600	288.00	2021/11/19
02211	Universal Health International Group Holding Ltd.	77,262,689	0.1350	10.43	2021/11/19
06862	Haidilao International Holding Ltd.	115,000,000	20.4300	2,349.45	2021/11/22
01918	Sunac China Holdings Ltd.	335,000,000	15.1800	5,085.30	2021/11/24
01140	Wealthking Investments Ltd.	580,188,000	0.6200	359.72	2021/11/25
06098	Country Garden Services Holdings Co. Ltd.	150,000,000	53.3500	8,002.50	2021/11/26
01269	China First Capital Group Ltd.	201,061,600	0.2050	41.22	2021/11/29
01551	Guangzhou Rural Commercial Bank Co., Ltd. – H Shares	305,000,000	7.1800	2,189.90	2021/12/01
06908	HG Semiconductor Ltd.	14,346,000	6.2000	88.95	2021/12/01
00708	China Evergrande New Energy Vehicle Group Ltd.	900,000,000	3.0000	2,700.00	2021/12/02
01901	Feiyang International Holdings Group Ltd.	100,000,000	0.7000	70.00	2021/12/02
03395	Persta Resources Inc.	16,000,000	0.8000	12.80	2021/12/03
02882	Hong Kong Resources Holdings Co. Ltd.	50,000,000	0.5000	25.00	2021/12/06
02130	CN Logistics International Holdings Ltd.	1,100,000	9.2000	10.12	2021/12/08
01683	Hope Life International Holdings Ltd.	120,000,000	0.1000	12.00	2021/12/08
02380	China Power International Development Ltd.	1,026,500,000	3.8000	3,900.70	2021/12/09
02368	Eagle Nice (International) Holdings Ltd.	1,800,000	4.2300	7.61	2021/12/09
01529	Goal Rise Logistics (China) Holdings Ltd.	80,000,000	0.2000	16.00	2021/12/10
01119	iDreamSky Technology Holdings Ltd.	72,280,000	5.9200	427.90	2021/12/13
01617	Nanfang Communication Holdings Ltd.	246,400,000	0.1250	30.80	2021/12/13

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
03395	Persta Resources Inc.	20,000,000	0.8000	16.00	2021/12/13
00813	Shimao Group Holdings Ltd.	145,000,000	8.1400	1,180.30	2021/12/14
02369	Coolpad Group Ltd.	800,000,000	0.2800	224.00	2021/12/17
03380	Logan Group Co. Ltd.	172,000,000	6.8000	1,169.60	2021/12/17
00336	Huabao International Holdings Ltd.	122,090,000	17.8800	2,182.97	2021/12/20
03800	GCL-Poly Energy Holdings Ltd.	2,036,588,000	2.4900	5,071.10	2021/12/22
02156	C&D Property Management Group Co., Ltd	131,300,000	4.1600	546.21	2021/12/23
02369	Coolpad Group Ltd.	350,000,000	0.2800	98.00	2021/12/23
03883	China Aoyuan Group Ltd.	161,813,000	3.7080	600.00	2021/12/28
01808	Enterprise Development Holdings Ltd.	226,770,954	0.1000	22.68	2021/12/29
02799	China Huarong Asset Management Co., Ltd. – H Shares	1,960,784,313	1.2358	2,423.20	2021/12/30
02369	Coolpad Group Ltd.	150,000,000	0.2800	42.00	2021/12/30
02399	China Fordoo Holdings Ltd.	131,331,000	0.5500	72.23	2021/12/31
00356	DT Capital Ltd.	455,880,000	0.0350	15.96	2021/12/31
Total				**354,082.23**	

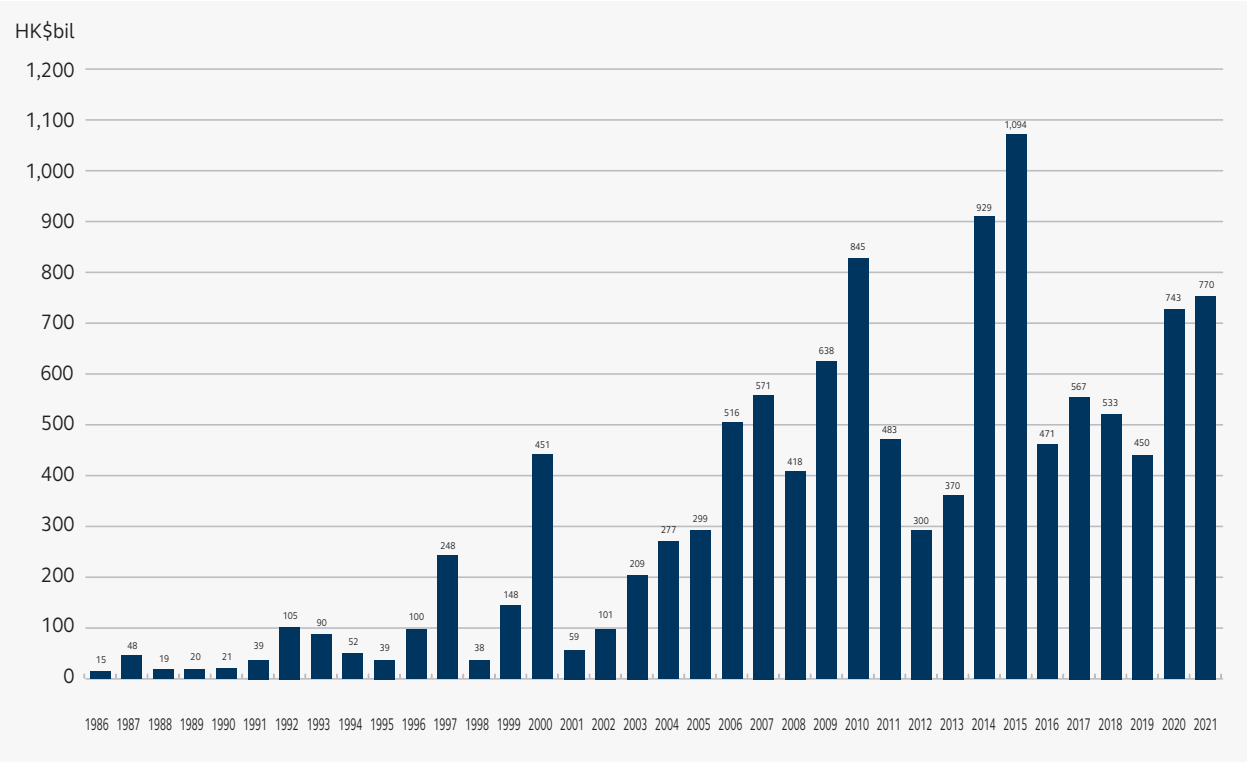
Main Board Listed Companies

Funds raised by classification, 2017 – 2021

HK$bil

Type	Listing method	2017	2018	2019	2020	2021
Equities	Offer for subscription	19.08	23.52	35.38	74.37	56.16
	Offer for sale	6.15	15.53	10.90	11.91	6.25
	Offer for placing	97.37	243.89	267.00	313.30	268.88
	Placing	335.32	137.66	97.01	289.04	354.08
	Rights issue	50.18	30.77	4.74	22.58	12.20
	Open offer	6.30	1.42	0.12	0.30	0.04
	Consideration issue	36.02	65.82	19.95	17.15	61.71
	Warrants exercised	1.93	1.04	0.01	0.67	0.48
	Share option scheme	15.06	13.55	14.87	14.07	10.17
	Equities funds raised	**567.40**	**533.22**	**449.97**	**743.40**	**769.96**
Debt securities		1,190.67	1,053.83	1,401.91	1,528.72	1,552.42
Unit trusts/Mutual funds		–	–	2.57	–	2.59
Derivative warrants – premium		156.96	252.96	161.19	256.93	337.27
Inline warrants – premium		–	–	11.40	8.54	0.89
Equity warrants – premium		–	–	–	–	–
Equity linked instruments – premium		–	–	–	–	–
Callable bull/bear contracts – premium		433.47	856.40	878.48	1,274.15	1,495.36

Equities funds raised, 1986 - 2021



Funds raised by Mainland enterprises H shares, 2017 – 2021

HK$bil

Type	Listing method	2017	2018	2019	2020	2021
Equities	Offer for subscription	4.93	6.91	9.33	9.73	7.65
	Offer for sale	2.50	–	–	–	–
	Offer for placing	54.03	101.97	41.05	49.88	31.96
	Placing	39.51	41.78	24.92	31.52	66.98
	Rights issue	–	0.20	1.01	3.36	–
	Open offer	–	–	–	–	–
	Consideration issue	–	5.68	–	–	2.91
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised	100.97	156.54	76.32	94.49	109.51

Funds raised by Red Chip stocks, 2017 – 2021

HK$bil

Type	Listing method	2017	2018	2019	2020	2021
Equities	Offer for subscription	–	0.05	0.48	0.92	0.18
	Offer for sale	–	–	–	–	–
	Offer for placing	–	0.11	2.97	13.18	1.84
	Placing	96.19	22.90	20.15	10.00	16.32
	Rights issue	21.69	20.38	0.82	2.99	0.19
	Open offer	3.35	–	–	–	–
	Consideration issue	3.24	5.24	0.17	0.56	1.81
	Warrants exercised	0.00	–	–	0.14	–
	Share option scheme	1.11	1.07	1.00	1.07	0.87
	Equities funds raised	125.58	49.75	25.58	28.86	21.20

Main Board Equity Warrants

Newly listed equity warrants, 2021

Code	Warrant	Subscription price per unit (HK$)	Subscription period	Initial issued amount (HK$)	Listing date
01054	Styland Holdings Ltd. Warrants 2022	0.2850	2021/02/17–2022/02/16	39,614,321	2021/02/18
01642	Huarchi Global Group Holdings Ltd. Warrants 2022	1.5000	2021/05/27–2022/05/26	600,000,000	2021/05/28
01074	APAC Resources Ltd. Warrants 2022	1.2000	2021/09/07–2022/10/06	292,534,538	2021/09/08

List of expired equity warrants, 2021

Code	Warrant	Last trading date	Delisted date
NIL			

Market value and trading for equity warrants, 2017 - 2021

Year/Month		Number *	Market value * (HK$mil)	Turnover value (HK$mil)	(%) of market total
2017		7	236.46	196.28	0.00
2018		2	71.12	21.83	0.00
2019		2	55.92	8.81	0.00
2020		0	0.00	15.18	0.00
2021		3	47.04	3.05	0.00
2021	Jan	0	0.00	0.00	0.00
	Feb	1	4.45	0.08	0.00
	Mar	1	4.31	0.07	0.00
	Apr	1	3.57	0.05	0.00
	May	2	1.94	0.85	0.00
	Jun	2	79.44	0.12	0.00
	Jul	2	73.45	0.02	0.00
	Aug	2	49.70	0.03	0.00
	Sep	3	72.93	1.40	0.00
	Oct	3	57.19	0.27	0.00
	Nov	3	49.97	0.10	0.00
	Dec	3	47.04	0.06	0.00

* Month-end or year-end figures.

Number of newly listed derivative warrants by underlying assets, 2021

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng China Enterprises Index	5	1	1	0	1	0	3	2	4	3	1	8	29
		Hang Seng Index	94	13	41	25	31	17	44	41	67	43	29	66	511
		Hang Seng TECH Index	10	8	8	2	9	2	4	7	1	0	5	7	63
		Nikkei 225 Stock Average Index	0	3	0	0	2	0	0	0	2	2	0	2	11
		NASDAQ-100 Index	4	4	8	2	4	7	2	2	2	2	3	6	46
		S&P 500 Index	0	2	2	0	2	3	0	0	2	2	0	2	15
		Dow Jones Industrial Average Index	0	0	3	0	4	3	2	0	2	5	1	4	24
Stock															
	02018	AAC TECH	14	6	6	15	6	29	15	17	19	26	10	18	181
	01288	ABC	2	6	4	1	1	3	3	2	5	1	0	1	29
	01299	AIA	33	15	6	18	21	20	11	12	27	11	30	15	219
	00753	AIR CHINA	0	7	0	0	0	0	0	1	0	3	1	0	12
	01789	AK MEDICAL	1	1	0	0	0	0	0	0	0	0	0	0	2
	09926	AKESO-B	4	0	1	0	3	0	2	1	1	0	2	2	16
	00241	ALI HEALTH	6	3	18	4	9	17	19	13	0	6	16	11	122
	03319	A-LIVING	2	0	5	3	4	0	7	4	2	0	8	4	39
	00347	ANGANG STEEL	0	0	0	0	8	0	1	3	9	2	4	0	27
	02020	ANTA SPORTS	9	4	3	6	6	13	9	6	5	17	4	5	87
	00522	ASM PACIFIC	5	0	1	1	2	1	0	0	0	2	2	0	14
	02357	AVICHINA	5	1	1	2	1	1	0	7	0	1	1	1	21
	09988	BABA-SW	76	44	81	51	62	38	73	79	72	50	81	93	800
	01958	BAIC MOTOR	0	1	0	1	1	1	0	1	1	0	7	11	24
	00874	BAIYUNSHAN PH	0	0	0	0	0	0	0	0	0	1	0	0	1
	03988	BANK OF CHINA	7	7	6	6	2	2	1	0	2	6	4	2	45
	03328	BANKCOMM	1	0	0	2	0	0	3	1	0	0	2	0	9
	09991	BAOZUN-SW	0	1	0	0	1	0	0	0	0	0	0	0	2
	06160	BEIGENE	3	0	0	0	1	0	0	0	0	0	0	0	4
	00694	BEIJING AIRPORT	0	0	0	0	1	1	0	0	0	1	1	0	4
	09888	BIDU-SW	–	–	66	30	20	7	24	31	4	11	27	13	233
	09626	BILIBILI-SW	–	–	30	21	19	1	17	31	5	11	12	27	174
	06993	BLUE MOON GROUP	0	0	0	0	2	0	4	1	1	1	3	1	13
	02388	BOC HONG KONG	3	1	9	2	1	2	5	0	5	2	4	4	38
	03998	BOSIDENG	0	0	0	0	0	2	0	1	0	0	3	0	6
	01114	BRILLIANCE CHI	1	0	1	0	0	0	0	0	0	0	0	0	2
	01876	BUD APAC	6	2	7	4	6	3	2	6	3	2	3	2	46
	01211	BYD COMPANY	69	20	58	24	40	72	27	56	14	53	38	61	532
	00285	BYD ELECTRONIC	9	4	2	1	0	4	6	7	6	9	4	3	55
	03188	CAM CSI300	5	0	0	2	0	0	0	0	1	1	3	2	14
	06185	CANSINOBIO-B	0	0	0	0	0	0	0	0	0	0	1	0	1
	00293	CATHAY PAC AIR	0	1	0	0	1	0	0	2	0	1	3	1	9
	00939	CCB	20	15	17	12	18	15	19	8	15	4	14	5	162
	06098	CG SERVICES	11	0	1	4	2	2	5	3	3	4	11	7	53

Number of newly listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	01816	CGN POWER	1	1	2	0	0	0	1	0	4	1	3	4	17
	06881	CGS	3	0	1	4	0	0	4	0	4	0	1	0	17
	02600	CHALCO	2	6	2	0	6	1	0	4	17	0	14	7	59
	03883	CHINA AOYUAN	0	0	1	1	0	1	0	0	0	0	0	0	3
	01359	CHINA CINDA	0	0	0	0	1	0	0	0	0	0	0	0	1
	01800	CHINA COMM CONS	1	0	1	1	0	1	1	0	5	2	1	0	13
	03969	CHINA CRSC	0	0	0	0	0	0	1	0	0	0	0	0	1
	00670	CHINA EAST AIR	1	1	2	0	0	0	0	2	3	0	0	0	9
	00165	CHINA EB LTD	0	1	0	0	0	0	0	0	0	0	0	0	1
	06186	CHINA FEIHE	6	1	1	0	2	5	4	3	1	1	3	3	30
	00384	CHINA GAS HOLD	2	0	0	1	1	3	1	0	2	2	4	4	20
	02799	CHINA HUARONG	0	1	0	0	0	0	0	0	0	0	0	0	1
	02628	CHINA LIFE	14	13	13	15	12	7	17	8	14	4	5	5	127
	00772	CHINA LIT	3	10	0	2	4	2	2	4	0	2	3	0	32
	00916	CHINA LONGYUAN	9	3	2	0	2	3	3	1	5	3	4	4	39
	00144	CHINA MER PORT	1	0	0	0	0	0	0	0	1	0	0	0	2
	00941	CHINA MOBILE	21	26	27	13	8	19	17	13	15	9	15	14	197
	02883	CHINA OILFIELD	1	2	3	2	1	3	5	3	2	7	0	2	31
	00688	CHINA OVERSEAS	10	6	1	3	1	2	2	4	4	1	10	2	46
	02669	CHINA OVS PPT	0	0	1	0	1	0	1	0	0	0	0	3	6
	02380	CHINA POWER	0	0	0	0	0	0	0	0	0	0	0	1	1
	01186	CHINA RAIL CONS	1	2	0	0	0	0	1	0	1	0	0	0	5
	00390	CHINA RAILWAY	1	1	2	0	0	0	1	1	4	0	0	2	12
	00291	CHINA RES BEER	2	1	3	0	2	1	1	0	1	0	5	2	18
	01193	CHINA RES GAS	0	0	1	0	0	0	0	0	0	0	0	0	1
	01109	CHINA RES LAND	0	1	4	0	0	4	3	0	2	1	6	2	23
	01209	CHINA RES MIXC	0	0	0	0	1	0	1	0	0	0	0	0	2
	00836	CHINA RES POWER	1	1	4	0	0	0	1	9	4	4	2	12	38
	01088	CHINA SHENHUA	0	2	0	3	3	3	5	0	6	7	4	3	36
	01055	CHINA SOUTH AIR	4	2	6	0	0	0	0	2	0	2	0	0	16
	03311	CHINA STATE CON	0	1	0	0	0	0	0	1	1	1	1	0	5
	00956	CHINA SUNTIEN	0	0	0	0	0	0	0	0	0	1	1	0	2
	00966	CHINA TAIPING	2	2	3	1	1	1	3	1	0	0	0	3	17
	00728	CHINA TELECOM	2	2	3	5	0	9	6	3	1	3	2	2	38
	00788	CHINA TOWER	13	5	6	8	1	1	7	4	5	1	1	0	52
	00762	CHINA UNICOM	2	4	2	8	1	1	6	0	1	1	1	2	29
	02202	CHINA VANKE	0	1	3	4	0	0	5	1	0	0	3	3	20
	00552	CHINACOMSERVICE	1	0	0	2	0	0	0	0	1	1	0	0	5
	01378	CHINAHONGQIAO	0	0	0	0	0	0	0	1	1	2	1	0	5
	01313	CHINARES CEMENT	0	2	2	1	1	2	1	0	3	2	2	2	18
	03320	CHINARES PHARMA	0	0	2	0	0	0	0	1	0	0	0	0	3
	00354	CHINASOFT INT'L	4	0	1	2	2	9	2	1	1	4	2	6	34
	01929	CHOW TAI FOOK	1	0	0	3	0	3	0	0	2	0	4	0	13
	03908	CICC	9	2	5	4	6	1	4	4	10	6	2	6	59
	01995	CIFI ES SERVICE	0	1	1	0	0	0	1	0	0	0	0	0	3

Number of newly listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	00267	CITIC	0	3	0	0	4	0	0	2	3	2	1	0	15
	00998	CITIC BANK	1	0	0	2	1	0	0	0	0	1	1	1	7
	06030	CITIC SEC	19	5	11	7	9	6	7	4	6	1	2	5	82
	01113	CK ASSET	4	4	5	4	2	4	5	4	16	1	1	6	56
	00001	CKH HOLDINGS	2	3	6	5	4	4	6	2	9	3	2	1	47
	01038	CKI HOLDINGS	2	0	1	1	0	0	1	0	1	0	0	2	8
	00002	CLP HOLDINGS	1	1	0	0	2	0	1	0	0	2	0	1	8
	03968	CM BANK	13	10	4	3	9	11	16	16	20	8	2	9	121
	03993	CMOC	6	4	1	2	2	5	1	4	6	6	3	0	40
	00867	CMS	1	3	4	0	1	2	3	1	3	0	0	0	18
	03323	CNBM	6	5	9	4	5	5	8	12	21	9	13	12	109
	00883	CNOOC	13	20	5	14	4	9	17	15	8	15	9	1	130
	01610	COFCO JOYCOME	0	1	5	0	1	2	0	0	0	0	0	0	9
	02342	COMBA	0	1	0	1	0	0	0	0	0	0	0	0	2
	00914	CONCH CEMENT	5	7	6	13	6	10	9	5	12	6	7	14	100
	02866	COSCO SHIP DEV	0	0	0	0	3	0	1	0	1	3	0	0	8
	01138	COSCO SHIP ENGY	0	1	0	0	0	0	0	0	0	1	0	0	2
	01919	COSCO SHIP HOLD	4	7	5	13	10	6	20	9	12	24	5	26	141
	02007	COUNTRY GARDEN	9	1	6	0	0	5	6	1	4	1	2	1	36
	02601	CPIC	9	0	3	7	4	17	2	5	3	3	5	0	58
	01515	CR MEDICAL	0	0	0	0	0	0	2	0	0	0	1	0	3
	01766	CRRC	1	0	1	0	0	3	1	0	3	1	1	0	11
	06066	CSC	3	0	1	7	11	0	0	2	4	0	3	3	34
	02822	CSOP A50 ETF	3	6	1	8	10	4	2	6	11	6	2	7	66
	03033	CSOP HS TECH	0	4	5	0	2	2	1	0	0	1	0	0	15
	01093	CSPC PHARMA	8	5	9	3	13	7	3	6	12	9	6	2	83
	01798	DATANG RENEW	0	0	0	0	0	0	0	0	0	0	1	0	1
	00489	DONGFENG GROUP	17	1	2	4	1	2	5	0	8	1	3	5	49
	00189	DONGYUE GROUP	0	0	0	0	0	0	4	7	7	2	3	9	32
	00257	EB ENVIRONMENT	0	1	2	0	1	0	1	2	4	1	0	1	13
	02688	ENN ENERGY	0	0	0	0	2	0	0	0	0	1	3	3	9
	06666	EVERG SERVICES	0	0	0	0	0	4	3	0	1	0	0	0	8
	00708	EVERG VEHICLE	6	5	0	3	3	5	3	0	0	0	0	0	25
	03333	EVERGRANDE	5	1	6	2	2	8	2	0	0	0	0	0	26
	06865	FLAT GLASS	0	4	4	2	3	3	0	4	1	0	4	1	26
	00778	FORTUNE REIT	0	0	0	0	0	0	0	1	0	0	0	0	1
	00656	FOSUN INTL	1	1	3	2	5	0	0	1	1	1	2	1	18
	02196	FOSUN PHARMA	1	1	4	1	16	11	4	7	4	10	2	2	63
	03606	FUYAO GLASS	7	0	2	2	1	0	0	2	1	0	2	2	19
	02238	GAC GROUP	8	2	2	5	2	2	6	1	11	5	4	2	50
	00027	GALAXY ENT	10	35	22	15	12	15	19	29	28	7	20	13	225
	01772	GANFENGLITHIUM	9	4	2	1	2	14	21	6	7	9	15	20	110
	03800	GCL-POLY ENERGY	0	9	5	0	0	0	0	0	0	0	0	0	14
	09698	GDS-SW	0	0	0	0	2	0	1	0	0	0	0	0	3
	00175	GEELY AUTO	85	18	66	42	26	45	26	38	30	24	24	32	456

Number of newly listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	01548	GENSCRIPT BIO	2	1	3	3	5	4	5	0	0	5	0	1	29
	01776	GF SEC	1	0	2	0	0	0	2	3	3	0	3	6	20
	02208	GOLDWIND	2	1	2	1	1	1	3	4	5	0	2	5	27
	00493	GOME RETAIL	0	4	2	2	0	1	0	0	0	0	0	0	9
	02333	GREATWALL MOTOR	29	4	4	9	14	18	26	14	9	8	6	20	161
	02869	GREENTOWN SER	3	1	1	3	0	1	4	0	0	0	0	0	13
	00270	GUANGDONG INV	0	0	0	2	0	0	3	0	0	0	0	1	6
	02845	GX CN EV BATT	0	0	0	0	0	0	0	0	2	1	5	4	12
	06862	HAIDILAO	3	3	4	3	4	0	3	8	1	0	10	1	40
	06690	HAIER SMARTHOME	0	0	0	0	5	2	1	0	0	1	0	3	12
	06837	HAITONG SEC	1	2	2	0	1	0	0	1	2	1	2	1	13
	00101	HANG LUNG PPT	1	0	0	0	0	0	0	0	0	0	0	0	1
	00011	HANG SENG BANK	1	1	2	3	1	1	0	4	5	1	0	0	19
	03692	HANSOH PHARMA	3	0	4	2	3	0	0	2	3	2	2	0	21
	00012	HENDERSON LAND	0	2	1	1	0	1	0	0	1	1	0	1	8
	01044	HENGAN INT'L	0	1	1	1	2	1	2	1	0	0	3	0	12
	00003	HK & CHINA GAS	1	0	0	1	1	0	0	0	0	0	0	0	3
	01137	HK TECH VENTURE	0	1	1	1	1	0	2	0	0	0	0	0	6
	00388	HKEX	108	45	43	24	53	21	80	45	36	33	45	58	591
	00005	HSBC HOLDINGS	16	30	35	29	17	29	36	13	32	44	19	14	314
	02828	HSCEI ETF	0	0	0	1	0	0	0	0	0	0	0	0	1
	06886	HTSC	2	0	2	1	0	0	3	1	3	1	2	1	16
	01347	HUA HONG SEMI	6	3	0	1	2	2	2	8	3	2	2	6	37
	00902	HUANENG POWER	1	1	1	1	1	0	1	4	3	3	2	8	26
	06078	HYGEIA HEALTH	0	0	0	2	0	2	0	0	0	0	0	0	4
	01398	ICBC	11	8	11	6	14	11	15	6	14	4	3	5	108
	00799	IGG	2	5	1	1	0	2	1	0	1	2	0	1	16
	09969	INNOCARE-B	0	0	0	0	1	0	2	2	0	0	0	0	5
	01801	INNOVENT BIO	11	1	3	0	6	3	2	4	3	0	4	13	50
	02823	ISHARES A50	3	2	0	2	1	2	2	0	2	2	2	4	22
	03067	ISHARESHSTECH	0	0	0	0	5	0	0	6	0	0	0	1	12
	06618	JD HEALTH	0	0	0	0	11	8	6	13	0	0	3	6	47
	09618	JD-SW	36	28	51	31	24	11	27	27	38	19	39	44	375
	00358	JIANGXI COPPER	7	14	7	3	14	12	7	8	14	7	11	12	116
	09922	JIUMAOJIU	5	6	0	0	3	5	3	4	2	3	0	8	39
	06127	JOINN	–	0	0	0	0	0	0	0	0	0	0	2	2
	01691	JS GLOBAL LIFE	0	0	0	0	1	0	0	0	0	0	0	0	1
	01951	JXR	4	5	1	2	0	5	8	2	3	2	0	6	38
	01888	KB LAMINATES	1	0	1	2	0	0	1	0	1	1	0	1	8
	00636	KERRY LOG NET	0	1	0	0	0	0	0	0	0	0	0	0	1
	00148	KINGBOARD HLDG	0	1	0	4	0	0	2	0	0	0	0	0	7
	00268	KINGDEE INT'L	5	3	6	2	6	0	3	5	1	0	3	5	39
	03888	KINGSOFT	14	7	6	0	1	0	4	9	4	1	2	4	52
	01797	KOOLEARN	2	0	3	2	1	0	0	0	0	0	0	0	8
	01024	KUAISHOU-W	–	148	81	30	38	27	74	66	22	17	23	38	564

Number of newly listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	00135	KUNLUN ENERGY	2	3	2	2	0	11	1	0	5	1	3	2	32
	00992	LENOVO GROUP	22	11	5	27	16	6	9	5	8	19	5	3	136
	02015	LI AUTO-W	–	–	–	–	–	–	–	24	1	0	3	5	33
	02331	LI NING	4	3	5	6	9	10	10	7	2	3	9	14	82
	00823	LINK REIT	1	3	3	2	3	7	0	1	2	3	9	2	36
	00558	LK TECH	0	0	0	0	0	0	0	0	0	0	0	1	1
	00960	LONGFOR GROUP	0	2	1	1	0	0	1	1	0	0	0	0	6
	02186	LUYE PHARMA	0	2	0	1	2	1	0	2	0	0	1	0	9
	01896	MAOYAN ENT	0	1	0	0	0	1	0	0	0	1	0	0	3
	01268	MEIDONG AUTO	1	0	4	0	0	2	2	0	2	0	0	1	12
	03690	MEITUAN-W	108	57	113	79	82	63	100	62	53	64	42	110	933
	00200	MELCO INT'L DEV	0	0	2	1	0	0	2	0	1	0	0	0	6
	02319	MENGNIU DAIRY	9	2	6	7	0	4	6	7	5	4	4	8	62
	02282	MGM CHINA	1	1	0	0	0	0	0	1	2	0	1	0	6
	00853	MICROPORT	9	0	1	0	1	5	4	2	3	1	4	1	31
	00909	MING YUAN CLOUD	0	11	5	4	1	2	3	5	2	0	1	0	34
	01988	MINSHENG BANK	2	0	0	2	1	1	2	0	2	0	1	0	11
	00425	MINTH GROUP	1	0	0	0	0	0	0	0	1	0	0	0	2
	00066	MTR CORPORATION	3	1	2	3	0	0	7	1	1	3	0	0	21
	01336	NCI	3	3	3	4	5	5	5	2	5	0	3	0	38
	02689	ND PAPER	2	6	1	1	2	1	0	2	1	0	2	1	19
	09901	NEW ORIENTAL-S	0	0	0	0	4	4	3	0	0	0	0	0	11
	00017	NEW WORLD DEV	0	1	0	2	2	1	4	0	3	0	1	0	14
	01316	NEXTEER	0	1	0	1	0	0	0	1	0	0	0	0	3
	09633	NONGFU SPRING	0	12	10	3	1	1	6	0	3	1	5	4	46
	09999	NTES-S	54	20	5	2	20	7	14	20	5	16	21	17	201
	01833	PA GOODDOCTOR	2	33	9	12	12	9	15	14	9	6	30	4	155
	00857	PETROCHINA	7	9	8	4	12	27	9	12	18	19	5	16	146
	03759	PHARMARON	1	0	0	0	0	1	0	0	0	0	1	1	4
	01339	PICC GROUP	0	0	2	2	3	1	3	1	2	2	0	2	18
	02328	PICC P&C	2	2	1	3	1	5	2	0	0	0	0	0	16
	02318	PING AN	47	23	30	36	35	25	49	36	65	19	13	33	411
	06049	POLY PPT SER	1	0	1	1	0	0	1	1	0	0	0	3	8
	00119	POLY PROPERTY	0	0	0	0	0	1	0	0	0	0	0	0	1
	09992	POP MART	0	0	0	0	5	1	2	3	1	0	1	4	17
	00006	POWER ASSETS	1	0	0	0	1	0	0	0	2	0	1	1	6
	01658	PSBC	8	7	9	5	5	7	6	7	3	8	2	5	72
	02777	R&F PROPERTIES	2	0	0	1	0	1	2	0	0	0	0	0	6
	01337	RAZER	2	0	2	1	0	5	1	0	1	2	3	0	17
	00486	RUSAL	0	1	0	0	0	0	0	0	0	0	0	0	1
	01928	SANDS CHINA LTD	6	25	9	4	12	6	15	14	23	12	14	11	151
	01787	SD GOLD	2	4	6	4	1	3	4	1	1	6	0	8	40
	02727	SH ELECTRIC	1	0	1	0	0	1	0	0	0	0	1	0	4
	02607	SH PHARMA	1	0	0	3	1	0	0	0	0	0	2	0	7
	01385	SHANGHAI FUDAN	0	0	0	0	0	0	0	0	0	0	1	0	1

Number of newly listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	00338	SHANGHAI PECHEM	0	1	0	0	0	0	1	0	1	0	1	0	4
	00152	SHENZHEN INT'L	1	1	1	0	0	1	1	0	1	1	1	2	10
	02313	SHENZHOU INTL	1	2	0	1	0	0	2	0	0	1	0	1	8
	00813	SHIMAO GROUP	3	2	2	1	0	1	3	0	1	0	0	0	13
	00873	SHIMAO SERVICES	0	0	0	0	0	0	1	0	1	0	1	1	4
	00016	SHK PPT	11	10	8	3	3	7	5	4	13	7	1	2	74
	01177	SINO BIOPHARM	4	4	7	0	5	7	8	3	2	9	5	4	58
	00386	SINOPEC CORP	7	9	3	5	12	9	12	4	12	6	5	7	91
	01099	SINOPHARM	2	1	2	10	7	1	1	1	2	1	6	2	36
	01308	SITC	1	0	0	1	0	0	0	0	0	0	0	0	2
	00880	SJM HOLDINGS	2	7	1	3	3	3	5	4	6	1	0	2	37
	00981	SMIC	15	11	8	7	11	8	16	17	9	12	10	20	144
	06969	SMOORE INTL	0	17	20	2	2	6	3	5	2	0	15	13	85
	02840	SPDR GOLD TRUST	4	9	1	3	3	5	1	2	0	0	3	4	35
	02888	STANCHART	0	0	0	1	2	0	0	0	0	0	0	1	4
	01918	SUNAC	11	2	5	2	5	7	12	3	11	1	4	2	65
	01516	SUNAC SERVICES	0	0	0	0	0	0	2	0	0	0	0	0	2
	06808	SUNART RETAIL	0	1	2	1	5	2	0	2	1	1	2	0	17
	02382	SUNNY OPTICAL	48	7	10	9	14	34	15	12	7	23	20	16	215
	00019	SWIRE PACIFIC A	0	1	1	0	0	1	2	0	1	0	0	1	7
	00669	TECHTRONIC IND	2	0	4	0	2	0	0	4	0	5	3	2	22
	00700	TENCENT	192	86	106	66	77	73	148	117	73	46	108	119	1,211
	03347	TIGERMED	0	1	0	0	0	1	0	0	0	0	0	0	2
	03898	TIMES ELECTRIC	1	0	2	1	2	5	7	6	1	3	5	5	38
	00322	TINGYI	0	0	1	0	0	0	0	1	0	0	1	0	3
	00780	TONGCHENGTRAVEL	0	0	0	0	0	1	0	0	0	0	1	0	2
	06110	TOPSPORTS	0	0	0	0	0	0	1	0	1	0	0	0	2
	01083	TOWNGAS CHINA	0	0	0	0	0	0	0	0	0	0	0	1	1
	02800	TRACKER FUND	0	0	0	1	0	0	0	0	1	0	0	0	2
	00696	TRAVELSKY TECH	0	1	0	1	0	0	1	2	0	2	2	0	9
	09961	TRIP.COM-S	–	–	–	0	0	0	0	0	0	0	6	9	15
	00168	TSINGTAO BREW	1	0	1	0	0	2	3	3	0	0	1	3	14
	02500	VENUS MEDTECH-B	0	0	1	0	0	0	0	0	0	0	0	0	1
	00151	WANT WANT CHINA	0	1	1	0	0	0	0	0	0	0	0	0	2
	09898	WB-SW	–	–	–	–	–	–	–	–	–	–	–	15	15
	02338	WEICHAI POWER	5	3	2	1	1	1	1	4	2	2	3	2	27
	01066	WEIGAO GROUP	0	0	2	0	1	3	1	1	2	0	1	3	14
	02013	WEIMOB INC	12	6	9	2	7	0	10	1	2	4	4	5	62
	00288	WH GROUP	1	1	1	3	0	0	1	3	0	1	0	2	13
	00004	WHARF HOLDINGS	0	0	0	1	0	1	0	0	0	0	0	1	3
	01997	WHARF REIC	0	1	0	0	0	0	2	1	0	0	0	0	4
	02359	WUXI APPTEC	3	2	2	0	0	4	0	0	1	2	1	1	16
	02269	WUXI BIO	18	5	12	4	9	12	8	16	10	15	19	36	164
	01128	WYNN MACAU	0	3	0	1	1	2	1	4	4	0	2	3	21
	02400	XD INC	0	0	0	0	4	1	2	3	0	0	1	2	13

Number of newly listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	00520	XIABUXIABU	0	2	2	3	1	0	1	1	0	0	0	0	10
	01810	XIAOMI-W	48	24	54	24	37	60	48	37	47	39	67	44	529
	03868	XINYI ENERGY	0	1	0	0	0	0	0	0	0	0	1	0	2
	00868	XINYI GLASS	0	1	3	0	4	8	0	2	6	5	4	6	39
	00968	XINYI SOLAR	5	7	11	1	12	7	3	6	4	5	6	10	77
	09868	XPENG-W	–	–	–	–	–	–	40	7	1	6	16	15	85
	01368	XTEP INT'L	0	0	0	0	0	0	0	7	0	0	3	0	10
	01171	YANZHOU COAL	1	0	4	4	1	1	3	3	5	10	6	11	49
	09923	YEAHKA	3	6	5	1	3	0	4	2	1	0	1	0	26
	01579	YIHAI INTL	5	2	5	2	3	6	2	0	0	2	4	4	35
	03669	YONGDA AUTO	1	0	2	0	0	1	4	1	1	1	0	2	13
	06169	YUHUA EDU	0	0	0	1	2	0	0	0	0	0	0	0	3
	06060	ZA ONLINE	8	18	6	2	0	5	4	6	6	6	6	0	67
	01818	ZHAOJIN MINING	2	2	2	0	4	2	0	3	1	1	4	4	25
	00881	ZHONGSHENG HLDG	4	1	1	1	2	0	4	0	1	1	1	1	17
	01458	ZHOU HEI YA	0	1	3	0	0	1	2	1	0	0	0	0	8
	02899	ZIJIN MINING	7	10	2	9	11	7	2	3	3	9	10	5	78
	01157	ZOOMLION	1	2	1	1	1	2	2	2	0	1	5	0	18
	00763	ZTE	3	2	6	0	3	6	6	3	0	3	9	4	45
	02057	ZTO EXPRESS-SW	0	1	0	0	0	0	0	0	0	0	0	0	1
Currency															
		EUR/USD	0	0	0	0	0	2	0	0	0	0	0	2	4
		USD/CNH	0	0	0	0	0	2	0	0	0	0	0	2	4
		USD/JPY	0	0	0	0	2	0	0	0	0	0	0	2	4
		GBP/USD	0	0	0	0	2	0	0	0	0	0	0	2	4
Mineral															
		Gold	2	0	0	0	0	0	0	2	0	0	0	0	4
		Oil Futures	0	0	1	0	0	0	0	0	0	0	0	0	1
		Total													**16,684**

Number of expired listed derivative warrants by underlying assets, 2021

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Dow Jones Industrial Average	0	0	0	0	0	7	0	0	4	0	0	6	17
		Hang Seng China Enterprises Index	11	2	2	0	1	9	1	4	6	0	1	1	38
		Hang Seng Index	101	16	40	7	23	34	66	20	49	25	23	78	482
		Hang Seng TECH Index	12	13	0	0	15	9	2	12	14	5	1	14	97
		NASDAQ-100 Index	0	0	15	0	0	19	0	0	14	0	0	7	55
		Nikkei 225 Index	0	0	4	0	0	4	0	0	3	0	0	2	13
		S&P 500 Index	0	0	6	0	0	7	0	0	4	0	0	3	20
Stock															
	02018	AAC TECH	8	5	11	21	20	6	10	11	18	17	5	22	154
	01288	ABC	1	0	0	0	0	0	3	4	3	2	2	13	28
	01299	AIA	32	10	12	4	8	18	27	9	6	19	13	43	201
	00753	AIR CHINA	1	0	2	1	0	0	0	0	0	3	3	1	11
	01789	AK MEDICAL	0	3	1	0	1	2	1	2	0	0	0	0	10
	09926	AKESO-B	0	0	0	0	0	0	1	1	1	1	4	1	9
	00241	ALI HEALTH	11	4	4	8	10	18	16	3	14	5	10	12	115
	03319	A-LIVING	0	0	1	1	1	3	2	0	4	5	2	2	21
	00347	ANGANG STEEL	1	0	0	0	0	0	0	0	0	0	5	1	7
	02020	ANTA SPORTS	5	2	1	0	8	5	11	3	5	3	2	4	49
	00522	ASM PACIFIC	1	1	0	2	0	0	1	1	3	3	1	0	13
	02357	AVICHINA	1	2	1	0	0	1	3	1	1	3	1	2	16
	09988	BABA-SW	123	53	119	65	92	75	77	50	82	50	31	78	895
	01958	BAIC MOTOR	0	0	0	2	3	0	1	2	0	0	3	0	11
	00874	BAIYUNSHAN PH	0	1	0	0	0	1	0	0	0	0	0	0	2
	03988	BANK OF CHINA	4	3	4	8	3	0	7	6	3	2	0	12	52
	03328	BANKCOMM	0	2	0	2	1	0	0	1	0	1	2	0	9
	09991	BAOZUN-SW	0	0	0	0	0	0	0	1	0	0	0	0	1
	02009	BBMG	0	0	0	0	1	0	0	0	0	0	0	0	1
	06160	BEIGENE	0	1	0	0	1	0	2	2	0	0	0	1	7
	00694	BEIJING AIRPORT	0	0	0	0	0	1	2	1	0	0	0	0	4
	09888	BIDU-SW	0	0	0	0	0	0	0	3	52	27	27	13	122
	09626	BILIBILI-SW	0	0	0	0	0	0	0	0	23	12	23	19	77
	06993	BLUE MOON GROUP	0	0	0	0	0	0	0	0	0	0	2	0	2
	02388	BOC HONG KONG	3	2	1	3	6	6	0	3	5	3	0	1	33
	03998	BOSIDENG	0	0	0	0	0	0	0	0	1	0	0	0	1
	01114	BRILLIANCE CHI	2	1	0	2	2	0	0	0	0	1	0	2	10
	01876	BUD APAC	7	3	4	4	6	9	7	0	6	1	4	8	59
	01211	BYD COMPANY	16	15	21	38	72	59	60	20	53	32	32	67	485
	00285	BYD ELECTRONIC	3	5	5	3	0	1	1	0	2	8	8	14	50
	03188	CAM CSI300	13	2	1	0	1	1	1	1	1	0	2	3	26
	00293	CATHAY PAC AIR	1	0	0	1	0	0	2	0	2	1	2	0	9
	00939	CCB	5	20	24	8	16	6	19	23	26	12	15	6	180
	06098	CG SERVICES	4	2	2	0	0	1	2	0	1	3	5	14	34
	01816	CGN POWER	0	0	0	1	1	1	0	0	1	2	0	0	6
	06881	CGS	2	1	1	3	1	2	3	1	1	0	3	0	18

Number of expired listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	02600	CHALCO	3	1	1	1	3	3	1	4	2	1	3	4	27
	03883	CHINA AOYUAN	2	2	0	1	1	0	0	0	0	0	0	1	7
	01800	CHINA COMM CONS	3	0	0	1	1	0	2	0	1	0	1	0	9
	03969	CHINA CRSC	0	0	1	0	0	0	0	0	1	0	0	0	2
	00670	CHINA EAST AIR	1	1	0	0	1	1	0	1	1	1	0	0	7
	00165	CHINA EB LTD	1	2	0	2	0	0	0	1	0	0	0	0	6
	06186	CHINA FEIHE	0	3	3	1	1	2	6	1	2	2	2	5	28
	00384	CHINA GAS HOLD	1	1	0	2	0	1	1	2	0	1	1	0	10
	02799	CHINA HUARONG	0	0	0	0	0	1	0	0	0	0	0	0	1
	02628	CHINA LIFE	20	14	22	12	12	9	8	12	12	18	5	14	158
	00772	CHINA LIT	0	2	2	3	4	7	3	4	2	1	2	7	37
	00916	CHINA LONGYUAN	0	3	0	0	0	3	5	4	2	2	4	3	26
	00144	CHINA MER PORT	1	0	0	0	0	0	0	0	0	0	0	1	2
	00941	CHINA MOBILE	37	9	16	11	8	11	22	15	21	13	8	18	189
	02883	CHINA OILFIELD	2	0	0	0	5	0	0	4	5	3	0	2	21
	00688	CHINA OVERSEAS	3	0	1	2	0	0	2	3	2	8	3	8	32
	02669	CHINA OVS PPT	1	1	0	0	0	0	0	1	0	0	0	1	4
	01186	CHINA RAIL CONS	2	1	0	0	0	1	1	0	1	1	0	2	9
	00390	CHINA RAILWAY	2	2	0	2	2	0	0	1	1	1	0	0	11
	00291	CHINA RES BEER	0	3	1	2	1	2	0	0	1	0	1	2	13
	01193	CHINA RES GAS	0	0	0	1	0	0	1	0	0	0	0	0	2
	01109	CHINA RES LAND	0	0	2	2	0	0	2	5	2	0	1	2	16
	00836	CHINA RES POWER	1	0	0	1	1	1	0	1	2	0	0	1	8
	01088	CHINA SHENHUA	1	0	1	2	0	4	0	2	2	5	2	1	20
	01055	CHINA SOUTH AIR	0	2	1	3	1	0	0	2	0	0	4	1	14
	03311	CHINA STATE CON	1	0	0	0	0	1	0	0	0	0	1	1	4
	00966	CHINA TAIPING	0	1	1	1	1	1	1	2	2	0	1	1	12
	00728	CHINA TELECOM	3	1	0	1	1	0	2	2	6	3	2	3	24
	00788	CHINA TOWER	6	9	12	3	23	17	11	9	6	2	4	2	104
	00762	CHINA UNICOM	12	2	1	2	0	0	2	2	1	2	3	8	35
	02202	CHINA VANKE	0	0	1	2	1	0	1	0	0	3	2	0	10
	00552	CHINACOMSERVICE	2	1	0	2	0	0	0	1	0	1	1	1	9
	01313	CHINARES CEMENT	0	2	1	1	2	1	0	1	1	3	0	1	13
	03320	CHINARES PHARMA	0	0	0	0	1	0	0	0	0	0	0	0	1
	00354	CHINASOFT INT'L	1	1	0	0	2	0	4	3	1	1	3	6	22
	01929	CHOW TAI FOOK	0	1	2	0	0	0	0	1	0	2	2	1	9
	03908	CICC	3	2	4	0	6	2	8	1	1	1	6	8	42
	01995	CIFI ES SERVICE	0	1	0	0	0	0	0	1	0	1	0	0	3
	00267	CITIC	2	1	1	1	1	0	0	1	1	1	3	0	12
	00998	CITIC BANK	0	1	0	1	2	0	0	0	0	1	1	2	8
	06030	CITIC SEC	15	11	5	7	12	9	2	8	12	8	8	4	101
	01113	CK ASSET	3	0	4	8	2	7	2	6	2	5	4	6	49
	00001	CKH HOLDINGS	4	4	1	1	2	7	9	5	3	7	3	6	52
	01038	CKI HOLDINGS	1	0	1	1	1	0	1	1	0	1	0	0	7
	00002	CLP HOLDINGS	0	1	0	0	1	1	1	0	0	1	1	1	7

Number of expired listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	03968	CM BANK	6	3	1	4	6	5	11	11	4	8	8	18	85
	03993	CMOC	3	2	1	1	4	1	4	0	3	2	8	3	32
	00867	CMS	0	0	0	0	0	0	1	2	3	4	3	2	15
	03323	CNBM	4	4	4	5	5	1	11	2	3	8	6	22	75
	00883	CNOOC	9	3	11	6	8	3	6	4	5	8	12	38	113
	01610	COFCO JOYCOME	0	0	0	0	0	0	0	0	4	1	2	2	9
	02342	COMBA	0	2	1	2	1	1	0	0	1	0	1	0	9
	00914	CONCH CEMENT	2	1	0	5	4	9	9	9	4	8	5	11	67
	02866	COSCO SHIP DEV	0	0	0	0	0	0	0	0	0	0	3	0	3
	01138	COSCO SHIP ENGY	1	0	0	0	0	0	0	0	0	1	0	0	2
	01919	COSCO SHIP HOLD	0	0	0	0	0	0	2	0	0	16	8	14	40
	01199	COSCO SHIP PORT	0	0	0	1	0	0	0	0	0	0	0	0	1
	02007	COUNTRY GARDEN	5	1	0	9	1	4	2	5	2	5	4	0	38
	02601	CPIC	3	2	1	2	8	4	7	3	3	6	4	9	52
	01766	CRRC	5	0	0	1	5	1	1	0	0	0	0	3	16
	06066	CSC	4	0	0	1	4	0	0	0	2	5	4	2	22
	02822	CSOP A50 ETF	9	7	9	7	1	7	5	3	6	3	3	9	69
	03033	CSOP HS TECH	0	0	0	0	0	0	1	1	4	2	1	0	9
	01093	CSPC PHARMA	5	5	4	12	12	5	3	7	11	3	6	16	89
	00489	DONGFENG GROUP	0	3	2	1	13	4	4	5	5	6	4	6	53
	00189	DONGYUE GROUP	0	0	0	0	0	0	0	0	0	0	0	1	1
	00257	EB ENVIRONMENT	1	2	0	0	1	0	1	2	0	0	0	0	7
	02688	ENN ENERGY	0	0	0	0	0	0	0	0	0	0	1	0	1
	06666	EVERG SERVICES	0	0	0	0	0	0	0	0	0	0	0	6	6
	00708	EVERG VEHICLE	0	0	1	0	3	1	0	5	1	2	1	8	22
	03333	EVERGRANDE	9	5	4	5	2	2	6	1	3	2	2	1	42
	06865	FLAT GLASS	0	0	0	0	0	0	0	0	0	2	1	0	3
	00778	FORTUNE REIT	0	0	0	1	0	0	0	0	0	0	0	0	1
	00656	FOSUN INTL	3	1	2	2	2	0	1	6	0	0	2	3	22
	02196	FOSUN PHARMA	3	2	0	1	3	2	4	0	4	0	11	9	39
	03606	FUYAO GLASS	0	0	0	0	0	1	1	0	0	2	0	4	8
	02238	GAC GROUP	0	0	0	0	2	5	6	3	3	5	2	9	35
	00027	GALAXY ENT	11	21	12	11	12	11	17	20	11	8	11	30	175
	01772	GANFENGLITHIUM	0	0	0	0	3	3	8	5	10	5	8	6	48
	03800	GCL-POLY ENERGY	0	0	0	0	1	1	0	9	1	0	0	3	15
	09698	GDS-SW	0	0	0	0	0	0	0	0	0	0	1	0	1
	00175	GEELY AUTO	48	20	7	17	71	42	67	15	71	27	25	43	453
	01548	GENSCRIPT BIO	1	0	2	3	1	2	4	3	1	1	6	2	26
	01776	GF SEC	1	2	0	0	2	0	1	0	0	2	0	0	8
	02208	GOLDWIND	0	1	1	0	0	0	2	1	2	4	0	1	12
	00493	GOME RETAIL	0	0	0	0	0	0	0	3	0	3	1	1	8
	02333	GREATWALL MOTOR	0	4	1	7	5	15	27	12	9	18	8	17	123
	02869	GREENTOWN SER	1	0	2	0	1	2	1	1	1	2	1	1	13
	00270	GUANGDONG INV	1	0	0	0	2	0	1	2	0	0	1	0	7
	02845	GX CN EV BATT	0	0	0	0	0	0	0	0	0	0	0	1	1

Number of expired listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	06862	HAIDILAO	0	5	1	0	0	0	0	0	2	2	8	7	25
	06690	HAIER SMARTHOME	0	0	0	0	0	0	0	0	0	0	4	3	7
	06837	HAITONG SEC	4	1	2	1	2	2	0	0	3	2	0	2	19
	00101	HANG LUNG PPT	0	0	0	0	0	0	1	0	0	0	0	0	1
	00011	HANG SENG BANK	2	2	0	3	2	6	1	1	0	4	2	6	29
	03692	HANSOH PHARMA	1	1	0	1	2	0	2	1	3	3	2	0	16
	01558	HEC PHARM	3	2	0	1	0	0	2	0	0	1	0	0	9
	00012	HENDERSON LAND	0	0	0	2	0	0	0	1	0	2	1	1	7
	01044	HENGAN INT'L	3	2	1	2	0	2	3	1	2	1	0	2	19
	00003	HK & CHINA GAS	1	0	2	0	0	0	1	1	0	2	0	0	7
	01137	HK TECH VENTURE	0	0	0	0	0	0	0	1	2	0	0	0	3
	00388	HKEX	88	33	28	46	25	41	92	42	45	39	43	41	563
	00005	HSBC HOLDINGS	29	34	33	28	38	47	12	20	54	20	13	30	358
	02828	HSCEI ETF	0	0	0	0	0	0	0	0	0	1	0	0	1
	06886	HTSC	0	1	0	0	0	2	3	1	1	1	2	0	11
	01347	HUA HONG SEMI	6	1	1	0	1	0	1	5	6	8	1	1	31
	00902	HUANENG POWER	3	1	1	0	1	2	2	0	0	1	1	0	12
	06078	HYGEIA HEALTH	0	0	0	0	0	0	0	0	0	1	0	1	2
	01398	ICBC	9	4	17	11	7	7	18	18	14	7	11	10	133
	00799	IGG	1	0	0	0	0	1	2	2	0	3	1	2	12
	09969	INNOCARE-B	0	0	0	0	0	0	0	0	0	0	1	0	1
	01801	INNOVENT BIO	4	3	0	5	7	1	7	5	3	5	5	2	47
	02823	ISHARES A50	3	4	6	1	1	2	2	2	2	2	2	4	31
	03067	ISHARESHSTECH	0	0	0	0	0	0	0	0	0	0	5	0	5
	06618	JD HEALTH	0	0	0	0	0	0	0	0	0	0	13	8	21
	09618	JD-SW	41	32	71	17	55	31	39	19	37	21	14	26	403
	00358	JIANGXI COPPER	5	3	2	7	5	1	7	10	7	13	13	5	78
	09922	JIUMAOJIU	0	0	3	1	3	2	7	5	1	0	4	3	29
	01691	JS GLOBAL LIFE	0	0	0	0	0	0	0	0	0	0	1	0	1
	01951	JXR	0	0	1	0	2	1	2	11	3	5	0	2	27
	01888	KB LAMINATES	0	1	1	1	0	1	0	0	1	1	2	0	8
	00636	KERRY LOG NET	0	0	0	0	0	0	0	1	0	0	0	0	1
	00148	KINGBOARD HLDG	0	0	1	0	1	0	1	0	3	0	2	0	8
	00268	KINGDEE INT'L	4	4	3	2	2	6	5	2	5	5	3	1	42
	03888	KINGSOFT	8	3	0	0	0	1	0	4	0	10	9	11	46
	01797	KOOLEARN	0	0	1	0	3	2	1	1	1	3	1	1	14
	01024	KUAISHOU-W	0	0	0	0	0	0	4	98	43	55	35	37	272
	00135	KUNLUN ENERGY	1	0	2	4	4	3	1	2	3	5	2	1	28
	00992	LENOVO GROUP	5	6	2	1	0	7	3	21	16	16	8	19	104
	02331	LI NING	0	2	2	3	3	2	2	4	3	3	1	6	31
	00823	LINK REIT	3	1	3	3	6	1	4	3	2	2	1	11	40
	00960	LONGFOR GROUP	0	0	2	0	0	0	0	1	0	0	0	0	3
	03339	LONKING	0	0	1	0	0	0	0	0	0	0	0	0	1
	02186	LUYE PHARMA	0	0	0	0	0	0	2	4	1	2	2	0	11
	00323	MAANSHAN IRON	0	0	0	0	0	0	0	0	1	0	0	0	1

Number of expired listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	01896	MAOYAN ENT	0	0	0	0	0	0	0	0	0	1	0	0	1
	01317	MAPLELEAF EDU	1	0	0	0	0	1	0	0	0	0	0	0	2
	01268	MEIDONG AUTO	0	1	0	2	0	1	2	0	3	0	0	4	13
	03690	MEITUAN-W	89	90	89	78	96	44	137	56	54	80	80	61	954
	00200	MELCO INT'L DEV	0	0	0	2	0	1	1	1	0	1	0	1	7
	02319	MENGNIU DAIRY	3	1	4	2	1	5	7	4	4	6	4	2	43
	02282	MGM CHINA	1	0	0	2	0	0	0	1	0	0	2	0	6
	00853	MICROPORT	4	0	0	0	2	1	2	1	4	4	2	3	23
	00909	MING YUAN CLOUD	0	0	0	0	0	0	1	7	3	4	2	2	19
	01988	MINSHENG BANK	0	0	1	1	2	1	0	0	2	0	0	1	8
	00066	MTR CORPORATION	1	2	2	3	1	0	1	4	0	2	2	2	20
	03918	NAGACORP	0	1	0	0	0	0	0	0	0	0	0	0	1
	01336	NCI	4	4	3	4	3	4	5	2	0	2	9	4	44
	02689	ND PAPER	1	2	4	2	1	2	2	3	0	2	5	0	24
	09901	NEW ORIENTAL-S	0	0	0	0	0	0	0	0	0	0	0	2	2
	00017	NEW WORLD DEV	0	0	2	0	2	1	0	2	2	1	2	2	14
	01316	NEXTEER	0	0	1	0	0	0	0	0	0	0	0	1	2
	09633	NONGFU SPRING	0	0	0	0	0	0	1	6	6	7	1	0	21
	09999	NTES-S	41	32	22	24	13	10	38	18	12	17	7	10	244
	01833	PA GOODDOCTOR	5	3	11	10	9	11	7	23	19	15	11	7	131
	00857	PETROCHINA	4	5	7	2	7	6	9	7	8	13	14	11	93
	03759	PHARMARON	0	0	0	1	1	0	0	0	0	0	1	0	3
	01339	PICC GROUP	4	3	4	1	2	3	0	2	4	0	0	3	26
	02328	PICC P&C	3	0	2	1	1	1	3	2	1	2	2	1	19
	02318	PING AN	42	31	40	20	29	54	30	15	25	31	32	53	402
	06049	POLY PPT SER	0	0	0	0	0	1	0	0	0	0	0	0	1
	00119	POLY PROPERTY	0	0	1	0	0	0	0	0	1	0	0	0	2
	09992	POP MART	0	0	0	0	0	0	0	0	0	0	6	1	7
	00006	POWER ASSETS	0	0	0	0	0	0	1	0	0	0	0	1	2
	01658	PSBC	0	1	7	3	2	2	10	12	7	5	7	6	62
	02777	R&F PROPERTIES	1	1	0	0	0	0	1	3	0	0	0	2	8
	01337	RAZER	0	0	0	0	0	5	2	1	0	2	1	2	13
	00486	RUSAL	0	0	0	0	0	0	0	0	1	0	0	0	1
	01910	SAMSONITE	0	0	0	0	0	1	0	0	0	0	0	0	1
	01928	SANDS CHINA LTD	9	5	16	11	10	14	11	16	12	9	8	2	123
	01787	SD GOLD	1	5	3	5	1	4	2	2	5	3	4	1	36
	02727	SH ELECTRIC	0	0	0	0	0	0	0	1	0	1	0	0	2
	02607	SH PHARMA	0	0	0	0	1	0	1	0	0	0	1	0	3
	00338	SHANGHAI PECHEM	0	0	0	0	1	0	0	0	1	0	0	0	2
	00152	SHENZHEN INT'L	0	1	0	1	1	1	0	1	0	1	0	1	7
	00548	SHENZHENEXPRESS	0	0	0	1	0	0	1	0	0	0	0	0	2
	02313	SHENZHOU INTL	0	1	1	1	0	0	0	4	1	2	0	0	10
	00813	SHIMAO GROUP	1	1	1	2	0	1	4	0	1	3	0	2	16
	00016	SHK PPT	3	1	7	13	4	7	3	9	5	3	3	15	73
	01177	SINO BIOPHARM	0	3	3	1	6	4	10	1	5	5	5	3	46

Number of expired listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	00386	SINOPEC CORP	5	5	8	6	6	6	8	6	8	14	4	7	83
	01099	SINOPHARM	2	3	2	2	4	2	1	2	0	3	5	8	34
	01308	SITC	0	0	0	0	0	0	0	0	0	1	0	0	1
	00880	SJM HOLDINGS	7	2	5	1	3	1	4	3	7	1	4	0	38
	00981	SMIC	41	31	16	10	8	10	14	13	4	7	13	19	186
	06969	SMOORE INTL	0	0	0	0	0	0	3	8	9	8	5	8	41
	02840	SPDR GOLD TRUST	2	1	6	7	4	4	2	4	6	5	6	3	50
	02888	STANCHART	0	0	0	1	1	2	0	0	0	2	1	0	7
	01918	SUNAC	4	4	5	5	1	3	8	7	2	8	1	6	54
	06808	SUNART RETAIL	3	1	0	2	2	1	0	1	0	1	2	0	13
	02382	SUNNY OPTICAL	17	17	10	13	22	12	24	22	21	19	3	37	217
	00019	SWIRE PACIFIC A	2	2	1	0	0	2	2	0	0	2	1	1	13
	01972	SWIREPROPERTIES	1	0	0	0	0	0	0	0	0	0	0	0	1
	00669	TECHTRONIC IND	0	1	0	3	0	4	1	1	0	1	1	1	13
	00700	TENCENT	145	89	76	101	65	85	123	61	73	67	89	82	1,056
	03347	TIGERMED	0	0	0	0	0	0	0	1	0	0	0	1	2
	03898	TIMES ELECTRIC	2	2	2	0	1	0	0	0	0	0	0	4	11
	00322	TINGYI	0	0	0	0	1	0	1	2	0	0	0	1	5
	00780	TONGCHENGTRAVEL	0	0	0	0	0	0	0	0	0	0	0	1	1
	01083	TOWNGAS CHINA	0	0	0	0	0	0	1	0	0	0	0	0	1
	02800	TRACKER FUND	1	0	0	0	0	0	0	0	0	0	0	1	2
	00696	TRAVELSKY TECH	1	0	0	0	2	0	0	1	0	1	0	0	5
	00168	TSINGTAO BREW	0	0	0	0	1	0	0	1	0	4	2	1	9
	02500	VENUS MEDTECH-B	0	0	0	0	0	0	0	0	0	1	0	0	1
	03331	VINDA INT'L	1	0	1	1	0	0	0	0	0	0	0	0	3
	00345	VITASOY INT'L	1	1	0	0	0	1	0	0	0	0	0	0	3
	00151	WANT WANT CHINA	0	0	0	0	0	1	0	0	0	0	0	0	1
	02338	WEICHAI POWER	0	1	0	0	0	2	0	4	1	3	5	0	16
	01066	WEIGAO GROUP	3	1	1	0	0	2	1	0	0	0	0	4	12
	02013	WEIMOB INC	0	4	5	1	2	5	14	6	1	4	6	2	50
	00288	WH GROUP	0	0	0	0	2	3	2	1	0	1	0	1	10
	00004	WHARF HOLDINGS	0	0	1	0	1	0	0	1	0	0	0	0	3
	01997	WHARF REIC	1	0	0	0	0	0	1	0	0	1	0	0	3
	02359	WUXI APPTEC	0	0	0	0	0	0	1	3	2	0	1	3	10
	02269	WUXI BIO	3	2	5	4	1	6	17	9	8	9	10	14	88
	01128	WYNN MACAU	2	1	1	2	0	0	0	2	0	1	0	2	11
	02400	XD INC	0	0	0	0	0	0	0	0	0	0	1	1	2
	00520	XIABUXIABU	0	0	0	0	0	0	0	2	1	2	1	1	7
	01810	XIAOMI-W	61	77	151	41	54	55	42	30	45	38	51	38	683
	00868	XINYI GLASS	1	0	0	2	0	3	2	1	3	0	6	5	23
	00968	XINYI SOLAR	1	4	2	5	1	6	8	5	9	9	5	11	66
	09868	XPENG-W	0	0	0	0	0	0	0	0	0	0	0	2	2
	01171	YANZHOU COAL	1	0	1	1	0	0	0	0	0	6	1	3	13
	09923	YEAHKA	0	0	0	0	0	0	1	5	5	2	1	1	15
	01579	YIHAI INTL	2	0	1	3	3	1	6	1	2	5	0	6	30

Number of expired listed derivative warrants by underlying assets, 2021 *(continued)*

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
	03669	YONGDA AUTO	0	0	0	1	0	0	0	0	1	2	0	1	5
	00123	YUEXIU PROPERTY	0	0	0	0	0	0	0	0	0	0	0	1	1
	06169	YUHUA EDU	1	0	1	0	1	0	1	0	0	0	2	1	7
	06060	ZA ONLINE	10	1	8	3	1	7	10	5	15	5	5	15	85
	01818	ZHAOJIN MINING	1	3	2	1	2	1	2	3	1	0	2	2	20
	00881	ZHONGSHENG HLDG	1	3	0	0	0	1	2	1	0	0	0	3	11
	01458	ZHOU HEI YA	0	0	0	0	0	1	0	0	2	0	0	1	4
	02899	ZIJIN MINING	7	1	0	0	0	0	10	12	6	11	4	10	61
	01157	ZOOMLION	0	1	0	0	1	2	1	1	1	2	2	1	12
	00763	ZTE	3	1	7	1	5	9	5	1	4	1	2	4	43
Currency															
		EUR/USD	0	0	0	0	0	2	0	0	0	0	0	0	2
		GBP/USD	0	0	0	0	0	2	0	0	0	0	0	0	2
		USD/CNH	0	0	0	0	0	2	0	0	0	0	0	0	2
		USD/JPY	0	0	0	0	0	2	0	0	0	0	0	0	2
Mineral															
		Gold	0	0	4	0	0	0	0	0	2	0	0	0	6
		Oil Futures	0	0	0	0	2	0	0	0	0	0	1	0	3
		Total													**14,727**

Market value and trading for derivative warrants, 2017-2021

Year/Month		Number *	Market value * (HK$mil)	Turnover value (HK$mil)	(%) of market total
2017		6,094	165,919.23	3,007,655.35	13.95
2018		6,763	70,515.61	3,866,143.16	14.70
2019		4,571	61,435.12	2,418,136.05	11.30
2020		7,507	198,813.60	2,260,916.90	7.06
2021		9,464	91,648.60	2,763,046.22	6.72
2021	Jan	7,898	245,235.43	266,654.65	5.44
	Feb	8,245	228,720.33	273,615.50	6.52
	Mar	8,621	153,804.26	351,664.59	7.72
	Apr	8,777	133,261.76	211,818.73	7.17
	May	8,906	128,246.22	211,607.72	6.93
	Jun	9,036	125,865.10	196,772.13	6.45
	Jul	9,200	119,606.80	220,121.14	5.70
	Aug	9,460	133,906.38	206,838.21	5.91
	Sep	9,437	118,830.53	199,900.57	6.25
	Oct	9,305	113,965.42	172,844.64	7.38
	Nov	9,504	101,688.37	230,633.17	7.97
	Dec	9,464	91,648.60	220,575.16	8.53

* Month-end or year-end figures.

Main Board Inline Warrants

Number of newly listed inline warrants by underlying assets, 2021

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng Index	10	0	5	0	0	0	0	0	0	0	0	0	15
Stock															
	09988	BABA-SW	8	0	1	2	3	1	0	0	0	0	0	0	15
	00941	CHINA MOBILE	0	0	0	0	0	4	0	0	0	0	0	0	4
	03690	MEITUAN-W	4	0	1	1	1	1	0	0	0	0	0	0	8
	02318	PING AN	0	0	1	1	0	0	0	0	0	0	0	0	2
	00700	TENCENT	10	0	6	4	4	2	0	0	0	0	0	0	26
	01810	XIAOMI-W	8	0	1	2	2	0	0	0	0	0	0	0	13
		Total													**83**

Number of expired inline warrants by underlying assets, 2021

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng Index	28	14	19	10	8	24	5	4	9	4	5	13	143
Stock															
	01299	AIA	12	3	2	8	7	1	2	2	1	2	0	0	40
	09988	BABA-SW	10	11	8	14	11	10	8	4	2	3	4	6	91
	00939	CCB	7	3	2	2	0	2	1	1	0	0	1	0	19
	00941	CHINA MOBILE	1	1	0	3	0	0	1	0	0	1	0	0	7
	00388	HKEX	4	0	1	1	0	0	1	0	0	0	0	0	7
	03690	MEITUAN-W	6	3	2	10	3	5	5	3	2	2	3	2	46
	02318	PING AN	8	1	2	5	1	2	1	3	0	1	2	1	27
	00981	SMIC	0	0	0	0	0	1	1	0	0	1	0	0	3
	00700	TENCENT	9	6	2	13	10	11	10	6	8	2	5	8	90
	01810	XIAOMI-W	2	0	1	2	0	1	2	2	3	1	2	3	19
		Total													**492**

Market value and trading for inline warrants, 2019 - 2021

Year/Month		Number *	Market value * (HK$mil)	Turnover value (HK$mil)	(%) of market total
2019 #		922	6,216.69	159.76	0.00
2020		507	2,455.56	1,073.76	0.00
2021		98	520.15	1,936.64	0.00
2021	Jan	460	2,278.01	128.82	0.00
	Feb	418	2,268.33	189.37	0.00
	Mar	394	2,250.72	416.57	0.01
	Apr	336	1,990.16	258.70	0.01
	May	306	1,795.60	127.56	0.00
	Jun	257	1,425.58	119.77	0.00
	Jul	220	1,245.28	276.22	0.01
	Aug	195	1,042.93	105.58	0.00
	Sep	170	864.59	206.34	0.01
	Oct	153	802.30	79.30	0.00
	Nov	131	675.97	23.24	0.00
	Dec	98	520.15	5.17	0.00

* Month-end or year-end figures.

\# Trading in Inline Warrants commenced on 18 July 2019.

Number of newly listed callable bull/bear contracts by underlying assets, 2021

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	June	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng China Enterprises Index	26	12	6	6	7	10	13	8	7	8	6	21	130
		Hang Seng Index	1,562	1,140	1,410	810	924	867	1,363	1,289	1,267	1,077	1,227	1,510	14,446
		Hang Seng TECH Index	100	59	81	35	41	32	67	71	28	26	24	40	604
Stock															
	02018	AAC TECH	29	14	0	0	0	0	0	0	0	0	0	0	43
	01299	AIA	67	35	35	25	36	47	39	48	60	27	42	51	512
	00241	ALI HEALTH	28	25	23	13	24	29	44	25	0	0	0	0	211
	02020	ANTA SPORTS	0	23	22	15	14	23	23	32	21	33	24	9	239
	09988	BABA-SW	293	191	170	140	148	93	206	212	153	186	162	221	2,175
	03988	BANK OF CHINA	6	3	11	10	11	0	0	2	13	5	12	0	73
	01211	BYD COMPANY	186	176	196	75	107	122	114	226	120	156	178	174	1,830
	03188	CAM CSI300	8	4	0	0	0	0	0	0	0	0	0	0	12
	00939	CCB	18	22	22	11	12	2	33	5	10	5	7	8	155
	06098	CG SERVICES	0	0	0	0	0	0	0	0	0	0	20	15	35
	02628	CHINA LIFE	42	37	22	24	31	13	36	21	0	0	0	0	226
	00941	CHINA MOBILE	66	57	63	35	23	23	28	54	27	31	11	24	442
	00788	CHINA TOWER	18	7	0	0	0	0	0	0	0	0	0	0	25
	03968	CM BANK	0	25	11	3	8	12	10	19	30	18	4	11	151
	00883	CNOOC	0	49	50	28	32	66	53	32	40	55	20	34	459
	01919	COSCO SHIP HOLD	0	0	0	0	0	0	0	61	34	38	9	40	182
	00189	DONGYUE GROUP	0	0	0	0	0	0	0	0	0	0	16	27	43
	00027	GALAXY ENT	23	11	0	0	57	22	37	24	0	0	74	71	319
	01772	GANFENGLITHIUM	0	0	0	0	0	0	0	0	0	0	43	51	94
	00175	GEELY AUTO	168	127	145	68	59	106	83	145	88	74	41	53	1,157
	02333	GREATWALL MOTOR	0	62	44	31	37	32	42	53	28	33	19	36	417
	00388	HKEX	315	197	259	87	100	68	187	205	111	101	69	151	1,850
	00005	HSBC HOLDINGS	43	71	59	25	40	33	36	22	30	57	72	0	488
	02828	HSCEI ETF	0	0	0	0	0	0	0	0	0	0	0	1	1
	01398	ICBC	18	17	19	7	7	3	24	4	19	3	0	11	132
	09618	JD-SW	134	126	125	83	95	46	94	125	80	76	128	146	1,258
	01024	KUAISHOU-W	–	0	0	0	167	86	164	163	114	80	64	69	907
	02331	LI NING	0	0	0	0	0	0	0	45	29	35	24	14	147
	03690	MEITUAN-W	428	366	433	298	318	265	353	359	266	223	246	279	3,834
	02319	MENGNIU DAIRY	0	0	0	0	0	0	0	16	6	4	19	0	45
	09999	NTES-S	63	10	0	0	0	0	0	0	0	0	51	48	172
	01833	PA GOODDOCTOR	0	60	23	13	17	0	0	0	0	0	0	0	113
	02318	PING AN	87	80	60	49	64	54	68	55	119	92	51	39	818
	01177	SINO BIOPHARM	4	11	17	9	8	0	0	0	0	0	0	0	49
	00981	SMIC	52	65	64	36	30	26	37	89	20	24	28	51	522
	06969	SMOORE INTL	0	35	61	30	26	41	19	32	19	9	18	0	290
	01918	SUNAC	20	8	0	0	0	0	0	0	0	0	0	0	28
	02382	SUNNY OPTICAL	72	42	42	20	30	68	34	60	25	38	47	44	522
	00700	TENCENT	658	444	528	313	356	235	589	587	425	268	382	391	5,176
	02800	TRACKER FUND	0	0	0	0	0	0	0	0	0	0	0	1	1
	02013	WEIMOB INC	41	5	0	0	32	11	30	13	0	0	0	0	132
	02269	WUXI BIO	49	38	54	26	19	50	48	68	25	34	57	83	551
	01810	XIAOMI-W	199	110	223	91	117	112	111	128	113	99	90	73	1,466
	00968	XINYI SOLAR	0	29	38	13	37	25	18	28	11	16	20	0	235
	02899	ZIJIN MINING	0	0	0	0	0	0	0	34	15	23	18	0	90
		Total													**42,807**

Main Board Callable Bull/Bear Contracts

Number of expired callable bull/bear contracts by underlying assets, 2021

Underlying Type	Code	Underlying	Jan	Feb	Mar	Apr	May	June	Jul	Aug	Sep	Oct	Nov	Dec	Total
Index															
		Hang Seng China Enterprises Index	27	11	8	7	8	4	31	7	11	4	6	26	150
		Hang Seng Index	1,522	1,058	1,602	827	1,003	866	1,546	1,188	1,267	1,084	1,374	1,322	14,659
		Hang Seng TECH Index	121	76	84	24	53	42	71	56	38	32	25	60	682
Stock															
	02018	AAC TECH	27	12	26	5	2	11	2	2	1	7	0	0	95
	01299	AIA	61	24	50	22	21	44	75	30	59	18	46	50	500
	00241	ALI HEALTH	19	20	30	3	31	30	49	14	5	5	15	10	231
	02020	ANTA SPORTS	3	15	17	7	5	11	29	32	29	24	13	22	207
	09988	BABA-SW	220	217	227	134	181	95	240	196	139	161	180	214	2,204
	03988	BANK OF CHINA	1	1	3	4	8	6	4	2	7	4	2	8	50
	01876	BUD APAC	1	0	0	1	0	0	2	0	0	0	0	0	4
	01211	BYD COMPANY	115	193	209	62	97	80	133	174	126	85	162	201	1,637
	03188	CAM CSI300	14	3	8	4	1	2	4	2	1	1	1	2	43
	00939	CCB	8	17	21	7	12	6	36	14	9	10	13	7	160
	06098	CG SERVICES	0	0	0	0	0	0	0	0	0	0	12	10	22
	02628	CHINA LIFE	42	40	34	23	21	21	53	4	10	2	4	2	256
	00941	CHINA MOBILE	35	33	59	22	31	21	40	47	26	21	27	29	391
	01109	CHINA RES LAND	0	0	1	0	1	0	0	1	0	0	0	0	3
	00788	CHINA TOWER	13	2	3	0	2	2	7	1	1	0	1	9	41
	03968	CM BANK	0	8	14	2	5	8	16	15	25	11	10	17	131
	00883	CNOOC	4	15	46	23	29	32	83	33	32	47	33	28	405
	01919	COSCO SHIP HOLD	0	0	0	0	0	0	0	27	51	21	6	9	114
	02822	CSOP A50 ETF	18	6	10	9	2	2	4	1	2	0	1	1	56
	01093	CSPC PHARMA	4	0	5	1	3	1	2	2	1	0	0	2	21
	00189	DONGYUE GROUP	0	0	0	0	0	0	0	0	0	0	1	25	26
	00027	GALAXY ENT	21	26	2	3	28	22	48	25	10	1	36	63	285
	01772	GANFENGLITHIUM	0	0	0	0	0	0	0	0	0	0	12	46	58
	00175	GEELY AUTO	128	134	159	66	56	80	114	128	115	57	60	63	1,160
	02333	GREATWALL MOTOR	0	46	37	30	22	17	27	46	32	18	23	55	353
	00388	HKEX	225	232	275	92	103	86	239	187	135	97	90	132	1,893
	00005	HSBC HOLDINGS	34	49	67	25	35	47	62	22	49	31	41	28	490
	01398	ICBC	5	10	14	7	14	12	17	19	11	2	7	10	128
	02823	ISHARES A50	0	0	0	1	0	1	0	0	0	0	0	0	2
	09618	JD-SW	108	107	173	67	79	50	117	97	70	75	84	172	1,199
	01024	KUAISHOU-W	0	0	0	0	103	67	153	116	105	66	76	83	769
	02331	LI NING	0	0	0	0	0	0	0	14	34	17	20	17	102
	00823	LINK REIT	6	5	1	0	1	1	1	3	0	0	0	0	18
	03690	MEITUAN-W	348	417	518	255	334	243	425	319	245	223	295	296	3,918
	02319	MENGNIU DAIRY	0	0	0	0	0	0	0	2	0	4	8	4	18
	09999	NTES-S	34	12	19	2	2	5	18	5	1	3	12	49	162
	01833	PA GOODDOCTOR	4	31	33	11	14	10	14	1	0	1	1	5	125
	02318	PING AN	74	66	74	60	50	54	91	50	90	80	56	37	782
	01928	SANDS CHINA LTD	4	3	3	5	1	0	6	2	2	1	0	0	27
	00016	SHK PPT	4	9	1	3	4	0	2	1	1	1	0	0	26
	01177	SINO BIOPHARM	7	7	18	1	7	6	15	1	1	3	1	1	68
	00981	SMIC	53	62	62	32	41	33	51	84	30	25	30	39	542
	06969	SMOORE INTL	0	22	55	10	32	43	30	17	27	5	13	0	254
	01918	SUNAC	11	12	2	1	2	5	14	3	0	0	0	0	50
	02382	SUNNY OPTICAL	43	51	57	13	32	38	58	53	38	33	33	38	487
	00700	TENCENT	476	471	666	278	370	258	630	514	401	248	431	430	5,173
	02013	WEIMOB INC	15	9	15	0	12	10	48	2	0	0	2	16	129
	02269	WUXI BIO	25	50	52	17	18	26	81	60	19	33	60	73	514
	01810	XIAOMI-W	251	159	262	99	100	100	161	118	133	85	117	68	1,653
	00968	XINYI SOLAR	0	16	32	6	26	17	23	17	16	12	21	10	196
	02899	ZIJIN MINING	0	0	0	0	0	0	0	18	17	6	6	10	57
	00763	ZTE	0	0	0	0	0	0	0	2	0	1	0	0	3
		Total													**42,729**

Market value and trading for Callable Bull/Bear Contracts, 2017 – 2021

Year/Month		Number *	Market value * (HK$mil)	Turnover value (HK$mil)	(%) of market total
2017		3,374	114,271.35	1,189,171.77	5.52
2018		2,847	66,272.76	1,837,313.96	6.99
2019		3,298	86,307.46	1,995,445.69	9.33
2020		4,739	182,430.04	2,335,291.78	7.29
2021		4,817	103,044.45	2,191,798.09	5.33
2021	Jan	5,431	233,600.33	237,570.38	4.85
	Feb	5,467	220,573.54	220,161.75	5.25
	Mar	4,729	160,479.85	261,158.40	5.74
	Apr	4,887	151,003.76	160,368.63	5.43
	May	4,919	151,158.44	162,071.54	5.30
	Jun	5,026	145,166.10	149,100.91	4.89
	Jul	4,157	139,138.14	169,536.93	4.39
	Aug	4,743	140,265.13	168,053.66	4.80
	Sep	4,674	138,282.66	162,191.24	5.07
	Oct	4,963	125,892.81	132,389.51	5.65
	Nov	4,819	116,565.96	194,682.76	6.73
	Dec	4,817	103,044.45	174,512.37	6.75

* Month-end or year-end figures.

Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40516	Zhenro Properties Group Ltd.	6.63% Senior Notes 2026	100.000	2026/01/07	2021/01/08
40520	Sinopec Group Overseas Development (2018) Ltd.	1.45% Senior Notes 2026	99.866	2026/01/08	2021/01/11
40521	Sinopec Group Overseas Development (2018) Ltd.	2.30% Senior Notes 2031	99.486	2031/01/08	2021/01/11
40522	Sinopec Group Overseas Development (2018) Ltd.	3.10% Senior Notes 2051	100.000	2051/01/08	2021/01/11
40525	Modern Land (China) Co., Ltd.	9.80% Senior Notes 2023	98.848	2023/04/11	2021/01/12
40524	China Development Bank Hong Kong Branch	0.55% Notes 2023 B	100.000	2023/01/12	2021/01/13
40523	China Development Bank Hong Kong Branch	0.625% Notes 2024 C	99.950	2024/01/12	2021/01/13
40519	CIFI Holdings (Group) Co. Ltd.	4.375% Senior Notes 2027	99.847	2027/04/12	2021/01/13
40527	Logan Group Co. Ltd.	4.50% Senior Notes 2028	100.000	2028/01/13	2021/01/14
40531	Redsun Properties Group Ltd.	7.30% Senior Notes 2025	99.320	2025/01/13	2021/01/14
40517	Yuzhou Group Holdings Co. Ltd.	6.35% Green Senior Notes 2027	100.000	2027/01/13	2021/01/14
40529	Zhongsheng Group Holdings Ltd.	3.00% Bonds 2026	99.581	2026/01/13	2021/01/14
40497#	Dexin China Holdings Co. Ltd.	9.95% Senior Notes 2022	100.827	2022/12/03	2021/01/14
40439#	Zhongliang Holdings Group Co. Ltd.	9.50% Senior Notes 2022	101.143	2022/07/29	2021/01/14
40532	Bank of China Ltd., Hong Kong Branch	0.875% Notes 2024	99.829	2024/01/14	2021/01/15
85941	Bank of China Ltd., Hong Kong Branch	2.80% CNY Notes 2023	100.000	2023/01/14	2021/01/15
40533	Changxing Urban Construction Investment Group Co., Ltd.	4.20% Green Bonds 2024	100.000	2024/01/14	2021/01/15
40530	Haidilao International Holding Ltd.	2.15% Notes 2026	99.854	2026/01/14	2021/01/15
40536	Huarong Leasing Management Hong Kong Co. Ltd.	1.90% Notes 2022	100.000	2022/01/12	2021/01/15
40534	NWD (MTN) Ltd.	3.75% Guaranteed Sustainability-Linked Notes 2031	99.530	2031/01/14	2021/01/15
40518	Standard Chartered PLC	Fixed Rate Resetting Perpetual Subordinated Contingent Convertible Securities E	100.000		2021/01/15
40528	Times China Holdings Ltd.	5.75% Senior Notes 2027	100.000	2027/01/14	2021/01/15
40537	Agricultural Bank of China Ltd., New York Branch	0.85% Notes 2024	99.855	2024/01/19	2021/01/20
40538	Agricultural Bank of China Ltd., New York Branch	1.25% Green Notes 2026	99.807	2026/01/19	2021/01/20
40549	DaFa Properties Group Ltd.	9.95% Senior Notes 2022	98.014	2022/01/18	2021/01/20
40545	Enrong International (Hongkong) Ltd.	3.00% Guaranteed Bonds 2024	99.716	2024/01/19	2021/01/20
40535	Fujian Zhanglong Group Co., Ltd.	3.45% Notes 2023	100.000	2023/12/19	2021/01/20
40539	China Cinda (2020) I Management Ltd.	1.25% Guaranteed Notes 2024	99.619	2024/01/20	2021/01/21
40540	China Cinda (2020) I Management Ltd.	1.875% Guaranteed Notes 2026	99.772	2026/01/20	2021/01/21
40541	China Cinda (2020) I Management Ltd.	2.50% Guaranteed Notes 2028	98.644	2028/01/20	2021/01/21
40542	China Cinda (2020) I Management Ltd.	3.00% Guaranteed Notes 2031	98.959	2031/01/20	2021/01/21
40543	China Huaneng Group (HK) Treasury Management Holding Ltd.	1.60% Guaranteed Bonds 2026	99.990	2026/01/20	2021/01/21
40544	China Huaneng Group (HK) Treasury Management Holding Ltd.	2.70% Guaranteed Bonds 2031	99.869	2031/01/20	2021/01/21
40547	Westwood Group Holdings Ltd.	2.80% Guaranteed Notes 2026	99.884	2026/01/20	2021/01/21
40548	Westwood Group Holdings Ltd.	3.80% Guaranteed Notes 2031	99.819	2031/01/20	2021/01/21
40550	Xingcheng (BVI) Ltd.	2.90% Guaranteed Notes 2026	100.000	2026/01/20	2021/01/21
40526	Zhejiang Expressway Co. Ltd. – H Shares	Zero Coupon Convertible Bonds 2026	100.000	2026/01/20	2021/01/21
40556	FEC Finance Ltd.	5.10% Notes 2024	100.000	2024/01/21	2021/01/22
40552	Jiayuan International Group Ltd.	12.50% Senior Notes 2023	97.936	2023/07/21	2021/01/22
40555	Rudong County Jinxin Transport Engineer Construct Inv Co Ltd	2.80% Credit Enhanced Bonds 2024	100.000	2024/01/21	2021/01/22
40554	Dangdai International Investments Ltd	9.00% Guaranteed Senior Notes 2022	100.000	2022/01/21	2021/01/25
40546	Hansoh Pharmaceutical Group Co. Ltd.	Zero Coupon Convertible Bonds 2026	100.000	2026/01/22	2021/01/25
40553	Sunkwan Properties Group Ltd.	12.75% Senior Notes 2022	99.322	2022/01/21	2021/01/25
40558	Guorui Properties Ltd.	14.25% Senior Notes 2024	98.000	2024/01/25	2021/01/26

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40557	Sinic Holdings (Group) Co. Ltd.	8.50% Senior Notes 2022	99.417	2022/01/24	2021/01/26
40551	Wheelock MTN (BVI) Ltd.	2.375% Notes 2026	99.981	2026/01/25	2021/01/26
40561	CICC Hong Kong Finance 2016 MTN Ltd.	1.625% Notes 2024	99.988	2024/01/26	2021/01/27
40562	CICC Hong Kong Finance 2016 MTN Ltd.	2.00% Notes 2026	99.967	2026/01/26	2021/01/27
40547 #	Westwood Group Holdings Ltd.	2.80% Guaranteed Notes 2026	100.100	2026/01/20	2021/01/27
40559	Champion Path Holdings Ltd.	4.50% Senior Notes 2026	100.000	2026/01/27	2021/01/28
40560	Champion Path Holdings Ltd.	4.85% Senior Notes 2028	100.000	2028/01/27	2021/01/28
40564	China Maple Leaf Educational Systems Ltd.	2.25% Convertible Bonds 2026	100.000	2026/01/27	2021/01/28
40566	Huan Zhi Co. Ltd.	2.40% Credit Enhanced Bonds 2022	100.000	2022/01/26	2021/01/28
40274 #	Bluestar Finance Holdings Ltd.	Subordinated Guaranteed Perpetual Sec.	100.600		2021/01/28
40565	Dongtai Communication Investment & Construction Gp Co., Ltd.	5.80% Bonds 2022	100.000	2022/01/27	2021/01/29
40563	AVIC International Finance & Investment Ltd.	2.75% Guaranteed Bonds 2026	98.966	2026/01/29	2021/02/01
40571	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	2.60% Credit Enhanced Bonds 2024	100.000	2024/01/29	2021/02/01
40573	Taizhou Haiminghui Trading Co., Ltd.	2.38% Credit Enhanced Bonds 2022	100.000	2022/01/31	2021/02/02
40567	Zhongliang Holdings Group Co. Ltd.	7.50% Senior Notes 2022	99.717	2022/01/31	2021/02/02
40525 #	Modern Land (China) Co., Ltd.	9.80% Senior Notes 2023	99.723	2023/04/11	2021/02/02
40578	China CITIC Bank Corporation Ltd., London Branch	0.875% Notes 2024	99.779	2024/02/02	2021/02/03
40579	China CITIC Bank Corporation Ltd., London Branch	1.25% Notes 2026	99.749	2026/02/02	2021/02/03
04241	The Government of the HKSAR of the PRC	0.625% Notes 2026	99.951	2026/02/02	2021/02/03
04242	The Government of the HKSAR of the PRC	1.375% Notes 2031	99.638	2031/02/02	2021/02/03
04243	The Government of the HKSAR of the PRC	2.375% Notes 2051	98.812	2051/02/02	2021/02/03
40576	Xi'an Aerospace High-Tech Industry Dev. Gp. Co., Ltd.	2.60% Credit Enhanced Bonds 2024	100.000	2024/02/02	2021/02/03
40577	Yuexiu REIT MTN Co. Ltd.	2.65% Guaranteed Notes 2026	99.940	2026/02/02	2021/02/03
40535 #	Fujian Zhanglong Group Co., Ltd.	3.45% Notes 2023	100.547	2023/12/19	2021/02/03
40575	Hainan State Farms International (HK) Co., Ltd.	3.00% Guaranteed Bonds 2024	100.000	2024/02/03	2021/02/04
40581	Airport Authority	1.625% Notes 2031	99.140	2031/02/04	2021/02/05
40582	Airport Authority	2.625% Notes 2051	99.711	2051/02/04	2021/02/05
40574	Bank of China Ltd., Hong Kong Branch	0.75% Notes 2024	99.678	2024/02/04	2021/02/05
40590	China SCE Group Holdings Ltd.	6.00% Senior Notes 2026	100.000	2026/02/04	2021/02/05
40588	CMB International Leasing Management Ltd.	2.00% Notes 2026	99.834	2026/02/04	2021/02/05
40589	CMB International Leasing Management Ltd.	2.875% Notes 2031	99.064	2031/02/04	2021/02/05
40587	Hong Kong Mortgage Corporation Ltd., The	0.39% Notes 2022	100.000	2022/02/04	2021/02/05
40584	Sands China Ltd.	3.80% Senior Notes 2026 A	100.000	2026/01/08	2021/02/05
40585	Sands China Ltd.	4.375% Senior Notes 2030 A	100.000	2030/06/08	2021/02/05
40583	Zhejiang Xinchang Investment Development Co., Ltd.	3.90% Bonds 2024	100.000	2024/02/04	2021/02/05
40572	Zhenro Properties Group Ltd.	6.70% Senior Notes 2026	100.000	2026/08/04	2021/02/05
04227 #	The Government of the HKSAR of the PRC	2.13% Bonds 2030	110.070	2030/07/16	2021/02/05
40580	Cathay Pacific Finance III Ltd.	2.75% Guaranteed Convertible Bonds 2026	100.000	2026/02/05	2021/02/08
40586	HLP Finance Ltd.	2.28% Fixed Rate Notes 2027	100.000	2027/02/05	2021/02/08
40593	JY Grandmark Holdings Ltd.	7.50% Senior Notes 2022	100.000	2022/02/07	2021/02/09
40596	Blue Bright Ltd.	2.375% Guaranteed Bonds 2026	99.841	2026/02/09	2021/02/10
40591	China Industrial Securities Int'l Financial Group Ltd.	2.00% Guaranteed Bonds 2024	100.000	2024/02/09	2021/02/10
40592	China Overseas Grand Oceans Finance IV (Cayman) Ltd.	2.45% Guaranteed Notes 2026	99.916	2026/02/09	2021/02/10
85953	Far East Horizon Ltd.	4.70% CNY Notes 2024	100.000	2024/02/09	2021/02/10
40595	Hong Kong Mortgage Corporation Ltd., The	0.50% Notes 2023	100.000	2023/02/09	2021/02/10
85952	Hong Kong Mortgage Corporation Ltd., The	2.70% CNY Notes 2024	100.000	2024/02/09	2021/02/10

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40594	Southwest Securities International Securities Ltd.	4.00% Guaranteed Bonds 2024	100.000	2024/02/09	2021/02/10
40597	Jinchuan Golden Ocean Capital Ltd.	4.00% Guaranteed Bonds 2024	100.000	2024/02/10	2021/02/11
40536#	Huarong Leasing Management Hong Kong Co. Ltd.	1.90% Notes 2022	100.097	2022/01/12	2021/02/16
40599	Guang Tao Investment Ltd.	3.60% Guaranteed Bonds 2022	100.000	2022/02/18	2021/02/22
04084	Hong Kong Monetary Authority, The	0.16% Exchange Fund Notes 2023	100.080	2023/02/22	2021/02/23
40598	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	5.00% Guaranteed Notes 2024	100.000	2024/02/22	2021/02/23
40469#	Guangxi Financial Investment Group Co., Ltd.	3.60% Guaranteed Bonds 2023	97.114	2023/11/18	2021/03/02
40601	Agricultural Bank of China Ltd., Macao Branch	0.66% Notes 2023	100.000	2023/03/02	2021/03/03
40600	Tequ Mayflower Ltd.	Zero Coupon Guaranteed Convertible Bonds 2026	100.000	2026/03/02	2021/03/03
40604	Castle Peak Power Finance Co. Ltd.	2.125% Notes 2031	98.727	2031/03/03	2021/03/04
40606	Far East Horizon Ltd.	2.625% Notes 2024	99.966	2024/03/03	2021/03/04
40605	Guotai Junan International Holdings Ltd.	2.00% Notes 2026	99.981	2026/03/03	2021/03/04
40455#	Huafa 2020 I Co. Ltd.	2.80% Guaranteed Bonds 2025	98.672	2025/11/04	2021/03/04
40602	CDBL Funding 2	1.375% Notes 2024	99.781	2024/03/04	2021/03/05
40603	CDBL Funding 2	2.00% Notes 2026	99.934	2026/03/04	2021/03/05
40607	Huatong International Investment Holdings Co., Ltd.	2.98% Guaranteed Notes 2024	100.000	2024/03/04	2021/03/05
04244	The Government of the HKSAR of the PRC	1.59% Bonds 2036	97.520	2036/03/04	2021/03/05
40609	HLP Finance Ltd.	2.28% Notes 2027	100.000	2027/03/05	2021/03/08
40608	Taishan City Investment Co., Ltd.	4.50% Guaranteed Notes 2022	100.000	2022/03/07	2021/03/09
40610	China Everbright Bank Co., Ltd., Hong Kong Branch	0.929% Notes 2024	100.000	2024/03/11	2021/03/12
40612	Xi' an Aerospace High-Tech Industry Dev. Gp. Co., Ltd.	2.70% Credit Enhanced Bonds 2024	100.000	2024/03/11	2021/03/12
40611	Ji' An Chengtou Holding Group Co., Ltd.	4.50% Notes 2024	100.000	2024/03/12	2021/03/15
40616	Rudong County Jinxin Transport Engineer Construct Inv Co Ltd	2.40% Credit Enhanced Bonds 2024	100.000	2024/03/15	2021/03/16
40615	BAIC Finance Investment Co., Ltd.	2.00% Guaranteed Notes 2024	99.693	2024/03/16	2021/03/17
40613	China Zheshang Bank Co., Ltd. (Hong Kong Branch)	1.10% Notes 2024	99.909	2024/03/16	2021/03/17
40617	Shaoxing Shangyu State-owned Capital Inv&Operation Co., Ltd.	2.95% Notes 2024	100.000	2024/03/16	2021/03/17
40618	Shaoxing Shangyu State-owned Capital Inv&Operation Co., Ltd.	3.55% Notes 2026	100.000	2026/03/16	2021/03/17
40619	Yincheng International Holding Co., Ltd.	11.80% Senior Notes 2022	98.911	2022/03/16	2021/03/18
40614	Zhejiang Seaport International Co., Ltd.	1.98% Guaranteed Bonds 2026	99.787	2026/03/17	2021/03/18
40389#	Times China Holdings Ltd.	6.20% Senior Notes 2026	101.285	2026/03/22	2021/03/18
85954	MTR Corporation Ltd.	2.90% Fixed Rate CNY Notes 2022	100.000	2022/03/18	2021/03/19
40620	Shaanxi Financial Asset Management Co., Ltd.	3.20% Bonds 2024	99.434	2024/03/18	2021/03/19
40490#	Jingrui Holdings Ltd.	14.50% Senior Notes 2023	100.800	2023/02/19	2021/03/22
40621	Haichuan International Investment Co., Ltd.	5.30% Guaranteed Bonds 2024	100.000	2024/03/22	2021/03/23
40623	Dafeng Port Heshun Technology Co. Ltd.	2.40% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/03/23	2021/03/24
40625	PETRONAS Energy Canada Ltd.	2.112% Guaranteed Notes 2028	100.000	2028/03/23	2021/03/24
40622	Yan Gang Ltd.	1.90% Guaranteed Bonds 2026	100.000	2026/03/23	2021/03/24
85962	MTR Corporation Ltd.	2.90% Fixed Rate CNY Notes 2024	100.000	2024/03/24	2021/03/25
40624	Shuifa International Holdings (BVI) Co., Ltd	4.00% Guaranteed Bonds 2024	100.000	2024/03/24	2021/03/25
40588#	CMB International Leasing Management Ltd.	2.00% Notes 2026	99.185	2026/02/04	2021/03/25
40627	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	2.60% Credit Enhanced Bonds 2024	100.000	2024/03/25	2021/03/26
85968	MTR Corporation Ltd.	2.80% Fixed Rate CNY Notes 2024	100.000	2024/03/25	2021/03/26
40626	Nanchang Jinkai Group Co., Ltd.	1.98%. Credit Enhanced Bonds 2024	100.000	2024/03/25	2021/03/26
40515#	Power Best Global Investments Ltd.	11.00% Senior Notes 2022	99.963	2022/12/24	2021/03/29
40628	China SCE Group Holdings Ltd.	5.95% Senior Notes 2024	100.000	2024/09/29	2021/03/30

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40629	GLP China Holdings Ltd.	2.95% Notes 2026	98.981	2026/03/29	2021/03/30
40633	New Momentum International Ltd.	4.50% Credit Enhanced Bonds 2024	100.000	2024/02/29	2021/03/31
40631	SCI (HK) Development Ltd.	2.95%. Guaranteed Notes 2024	99.858	2024/03/30	2021/03/31
40632	SCI (HK) Development Ltd.	3.75%. Guaranteed Notes 2026	99.774	2026/03/30	2021/03/31
40630	Soar Wise Ltd.	1.75% Guaranteed Notes 2024	99.695	2024/03/30	2021/03/31
40636	CAR Inc.	9.75% Senior Notes 2024	99.366	2024/03/31	2021/04/01
40634	MGM China Holdings Ltd.	4.75% Senior Notes 2027	99.970	2027/02/01	2021/04/01
40637	New Lion Bridge Co., Ltd.	6.5% Senior Notes 2022	100.000	2022/03/31	2021/04/07
40635	Powerchina Roadbridge Group (British Virgin Islands) Ltd.	Senior Guaranteed Perpetual Securities	100.000		2021/04/07
40554#	Dangdai International Investments Ltd	9.00% Guaranteed Senior Notes 2022	100.000	2022/01/21	2021/04/07
40639	AIA Group Ltd.	Resettable Subordinated Perpetual Securities	100.000		2021/04/08
40638	Haimen Zhongnan Investment Development (Int'l) Co., Ltd.	11.50% Guaranteed Senior Notes 2024	100.124	2024/04/07	2021/04/08
40641	Franshion Brilliant Ltd.	3.20% Guaranteed Senior Notes 2026	99.908	2026/04/09	2021/04/12
40643	Pioneer Reward Ltd.	1.30% Guaranteed Bonds 2024	99.906	2024/04/09	2021/04/12
40644	Pioneer Reward Ltd.	2.00% Guaranteed Bonds 2026	99.891	2026/04/09	2021/04/12
40640	Si Fa Investment Ltd.	2.85% Guaranteed Bonds 2026	100.000	2026/04/09	2021/04/12
40645	Chengdu Jiaozi Financial Holding Group Co., Ltd.	2.60% Notes 2026	100.000	2026/04/12	2021/04/13
40642	Logan Group Co. Ltd.	4.25% Senior Notes 2025	99.025	2025/07/12	2021/04/13
40646	XD Inc.	1.25% Convertible Bonds 2026	100.000	2026/04/12	2021/04/13
04245	The Government of the HKSAR of the PRC	0.36% Bonds 2024	99.930	2024/04/15	2021/04/16
40648	Zhenro Properties Group Ltd.	5.98% Senior Notes 2022	100.000	2022/04/13	2021/04/16
40647	Fine Perfection Investment Ltd.	Zero Coupon Guaranteed Exchangeable Bonds 2022	100.000	2022/04/17	2021/04/20
85978	MTR Corporation Ltd.	2.86% Fixed Rate CNY Notes 2022	100.000	2022/04/19	2021/04/20
40653	MCC Holding (Hong Kong) Corporation Ltd.	Senior Guaranteed Perpetual Securities	100.000		2021/04/21
40643#	Pioneer Reward Ltd.	1.30% Guaranteed Bonds 2024	99.906	2024/04/09	2021/04/21
40649	Guotai Junan Holdings Ltd.	2.00% Guaranteed Notes 2026	99.976	2026/04/21	2021/04/22
40650	China Construction Bank Corporation, Hong Kong Branch	0.86% Senior Sustainability-linked Notes 2024	99.947	2024/04/22	2021/04/23
40651	China Construction Bank Corporation, Hong Kong Branch	1.46% Senior Sustainability-linked Notes 2026	99.894	2026/04/22	2021/04/23
40652	China Construction Bank Corporation, Luxembourg Branch	Zero Coupon Senior Green Bonds 2024	99.871	2024/04/22	2021/04/23
85987	China Construction Bank Corporation Singapore Branch	2.85% Senior Transition CNY Bonds 2023	100.000		2021/04/23
40655	Tencent Holdings Ltd.	2.88% Senior Notes 2031	99.991	2031/04/22	2021/04/23
40656	Tencent Holdings Ltd.	3.68% Senior Notes 2041	99.972	2041/04/22	2021/04/23
40657	Tencent Holdings Ltd.	3.84% Senior Notes 2051	99.965	2051/04/22	2021/04/23
40658	Tencent Holdings Ltd.	3.94% Senior Notes 2061	99.960	2061/04/22	2021/04/23
40654	Times China Holdings Ltd.	5.30% Senior Notes 2022	100.000	2022/04/20	2021/04/23
40659	Jingrui Holdings Ltd.	12.50% Senior Notes 2023	100.000	2023/10/26	2021/04/27
40660	Meituan – W	Zero Coupon Convertible Bonds 2027	101.100	2027/04/27	2021/04/28
40661	Meituan – W	Zero Coupon Convertible Bonds 2028	100.000	2028/04/27	2021/04/28
86003	Bank of China Ltd., Zweigniederlassung Frankfurt am Main Frankfurt Branch	2.85% CNY Notes 2023	100.000	2023/04/28	2021/04/29
40667	Bank of China Ltd., Luxembourg Branch	1.40% Notes 2026	99.740	2026/04/28	2021/04/29
40666	Bank of China Ltd., Luxembourg Branch	Zero Coupon Notes 2024	99.946	2024/04/28	2021/04/29
86002	Bank of China Ltd., Macau Branch	3.08% CNY Notes 2026	100.000	2026/04/28	2021/04/29
40668	Bank of China Ltd., Singapore Branch	0.80% Notes 2024	99.852	2024/04/28	2021/04/29

Newly listed debt securities *(continued)*

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40669	Bank of China Ltd., acting through its Sydney branch	Floating Rate Notes 2024 D	100.000	2024/04/28	2021/04/29
40664	Hyd International Holding Ltd.	3.10% Guaranteed Bonds 2026	100.000	2026/04/28	2021/04/29
40662	Malaysia Wakala Sukuk Berhad	Trust Certificates 2031	100.000	2031/04/28	2021/04/29
40663	Malaysia Wakala Sukuk Berhad	Trust Certificates 2051	100.000	2051/04/28	2021/04/29
40671	PETRONAS Capital Ltd.	2.48% Guaranteed Notes 2032	99.983	2032/01/28	2021/04/29
40672	PETRONAS Capital Ltd.	3.404% Guaranteed Notes 2061	100.000	2061/04/28	2021/04/29
40665	Wanda Properties Overseas Ltd.	7.25% Guaranteed Bonds 2022	100.000	2022/04/28	2021/04/30
40673	Shanxi Securities Co., Ltd.	3.40% Bonds 2024	100.000	2024/05/04	2021/05/05
40670	Sino-Ocean Land Treasure IV Ltd.	3.25% Guaranteed Green Notes 2026	99.161	2026/05/05	2021/05/06
40674	Guang Ying Investment Ltd.	2.15% Guaranteed Bonds 2024	100.000	2024/05/06	2021/05/07
40675	Talent Yield International Ltd.	2.00% Guaranteed Bonds 2026	99.495	2026/05/06	2021/05/07
40676	Talent Yield International Ltd.	3.125% Guaranteed Bonds 2031	98.696	2031/05/06	2021/05/07
40677	Rudong County Tongtai Investment Group Co., Ltd.	5.50% Bonds 2022	100.000	2022/05/06	2021/05/10
40678	ENN Clean Energy International Investment Ltd.	3.375% Guaranteed Senior Notes 2026	99.658	2026/05/12	2021/05/13
04230#	The Government of the HKSAR of the PRC	1.68% Bonds 2026	104.650	2026/01/21	2021/05/14
40679	eHi Car Services Ltd.	7.75% Senior Notes 2024	99.250	2024/11/14	2021/05/17
40683	KWG Group Holdings Ltd.	6.00% Senior Notes 2026	100.000	2026/08/14	2021/05/17
40685	Cathay Pacific MTN Financing (HK) Ltd.	4.875% Notes 2026	99.979	2026/08/17	2021/05/18
40681	CIFI Holdings (Group) Co. Ltd.	4.45% Senior Notes 2026	99.982	2026/08/17	2021/05/18
40682	CIFI Holdings (Group) Co. Ltd.	4.80% Senior Notes 2028	100.000	2028/05/17	2021/05/18
40684	Jiayuan International Group Ltd.	11.00% Senior Notes 2024	96.638	2024/02/17	2021/05/18
40659#	Jingrui Holdings Ltd.	12.50% Senior Notes 2023	100.000	2023/10/26	2021/05/18
40687	CRCC Hean Ltd.	0.875% Guaranteed Notes 2026 B	99.786	2026/05/20	2021/05/21
40686	CRCC Hean Ltd.	1.875% Guaranteed Notes 2026	99.881	2026/05/20	2021/05/21
40688	Haitong International Securities Group Ltd.	2.125% Bonds 2026	99.934	2026/05/20	2021/05/21
40680	Zhongliang Holdings Group Co. Ltd.	8.50% Senior Notes 2022	99.186	2022/05/19	2021/05/21
40690	Redsun Properties Group Ltd.	7.30% Senior Green Notes 2024	99.209	2024/05/21	2021/05/24
04085	Hong Kong Monetary Authority, The	0.14% Exchange Fund Notes 2023	100.060	2023/05/24	2021/05/25
40691	CLP Power Hong Kong Financing Ltd.	2.99% Notes 2036	100.000	2036/05/25	2021/05/26
40689	Haixi Overseas Investment Co. Ltd.	2.30% Credit Enhanced Bonds 2024	100.000	2024/05/25	2021/05/26
86004	Vanke Real Estate (Hong Kong) Co. Ltd.	3.45% CNY Notes 2024	100.000	2024/05/25	2021/05/26
40693	Zhenjiang New Area Urban Construction Investment Co., Ltd	3.00% Credit Enhanced Bonds 2022	100.000	2022/05/25	2021/05/27
40692	Nanning Communications Investment Group Co., Ltd.	3.50% Notes 2024	100.000	2024/05/27	2021/05/28
40695	Jinke Property Group Co., Ltd.	6.85% Notes 2024	98.282	2024/05/28	2021/05/31
86005	Guoren Property and Casualty Insurance Co., Ltd.	4.20% CNY Notes 2023	100.000	2023/06/01	2021/06/02
40694	Guoren Property and Casualty Insurance Co., Ltd.	3.35% Notes 2026	99.735	2026/06/01	2021/06/02
40697	Jiujiang Municipal Development Group Co., Ltd.	3.45% Bonds 2024	100.000	2024/06/01	2021/06/02
40701	Wealthy Vision Holdings Ltd.	3.30% Guaranteed Bonds 2024	100.000	2024/06/01	2021/06/02
40699	AAC Technologies Holdings Inc.	2.625% Notes 2026	99.870	2026/06/02	2021/06/03
40700	AAC Technologies Holdings Inc.	3.750% Notes 2031	99.193	2031/06/02	2021/06/03
40698	Cheung Kong Infrastructure Finance (BVI) Ltd.	4.20% Guaranteed Perpetual Capital Securities	100.000		2021/06/03
40696	Legend Fortune Ltd.	1.375% Guaranteed Notes 2024	99.865	2024/06/02	2021/06/03
40706	Chinalco Capital Holdings Ltd.	2.125% Guaranteed Bonds 2026	99.445	2026/06/03	2021/06/04
40703	Haitong UT Brilliant Ltd.	3.00% Notes 2024	100.000	2024/06/03	2021/06/04
40704	SFG International Holdings Co. Ltd.	2.40% Guaranteed Bonds 2026	100.000	2026/06/03	2021/06/04
04232#	The Government of the HKSAR of the PRC	1.89% Bonds 2032	103.860	2032/03/02	2021/06/04

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40708	Greenland Hong Kong Holdings Ltd.	9.625% Bonds 2022	99.884	2022/06/03	2021/06/07
40707	Times China Holdings Ltd.	5.55% Senior Notes 2024	100.000	2024/06/04	2021/06/07
40705	YANGO JUSTICE INTERNATIONAL LTD.	7.875% Guaranteed Senior Notes 2024	99.523	2024/09/04	2021/06/07
40709	Datang Group Holdings Ltd.	12.50% Senior Notes 2022	99.547	2022/06/06	2021/06/08
40710	Qingdao Jiaozhou Bay Development Group Co., Ltd.	3.40% Bonds 2024	100.000	2024/06/07	2021/06/08
40702	Weimob Investment Ltd.	Zero Coupon Guaranteed Convertible Bonds 2026	100.000	2026/06/07	2021/06/08
40712	China State Construction Finance (Cayman) I Ltd.	Subordinated Guaranteed Perpetual Capital Securities	100.000		2021/06/09
40713	Shinsun Holdings (Group) Co., Ltd.	10.5% Senior Notes 2022	99.540	2022/06/07	2021/06/09
40714	Haimen Zhongnan Investment Development (Int'l) Co., Ltd.	12.00% Guaranteed Senior Notes 2022	99.773	2022/06/08	2021/06/10
40719	CSCIF Asia Ltd.	1.125% Guaranteed Notes 2024	99.621	2024/06/10	2021/06/11
40716	Gansu Provincial Highway Aviation Tourism Invest Gp Co.,Ltd.	3.50% Bonds 2024	100.000	2024/06/10	2021/06/11
40718	Industrial Bank Co., Ltd. Hong Kong Branch	0.75% Notes 2024	100.000	2024/06/10	2021/06/11
40717	Industrial Bank Co., Ltd. Hong Kong Branch	0.875% Notes 2024	99.917	2024/06/10	2021/06/11
40711	NWD Finance (BVI) Ltd.	Guaranteed Senior Perpetual Capital Securities D	100.000		2021/06/11
40715	Zhenro Properties Group Ltd.	7.10% Senior Notes 2024	99.163	2024/09/10	2021/06/11
40549#	DaFa Properties Group Ltd.	9.95% Senior Notes 2022	98.391	2022/01/18	2021/06/11
40721	AIA Group Ltd.	Resettable Subordinated Perpetual Securities B	100.000		2021/06/15
40720	MicroPort Scientific Corporation	Zero Coupon Convertible Bonds 2026	100.000	2026/06/11	2021/06/15
40507#	E-House (China) Enterprise Holdings Ltd.	7.60% Senior Notes 2023	100.182	2023/06/10	2021/06/15
40724	China Everbright Bank Co., Ltd., Hong Kong Branch	0.839% Notes 2024	100.000	2024/06/15	2021/06/16
40723	Goucheng International Co., Ltd.	3.48% Guaranteed Bonds 2024	100.000	2024/06/15	2021/06/16
40722	Universe Trek Ltd.	Zero Coupon Guaranteed Convertible Bonds 2026	100.000	2026/06/15	2021/06/16
40729	Agricultural Bank of China Ltd., Hong Kong Branch	0.50% Notes 2023	100.000	2023/06/17	2021/06/18
40727	Agricultural Bank of China Ltd., Hong Kong Branch	0.70% Notes 2024	99.873	2024/06/17	2021/06/18
40728	Agricultural Bank of China Ltd., Hong Kong Branch	1.25% Notes 2026	99.672	2026/06/17	2021/06/18
40730	Bocom Leasing Management Hong Kong Co. Ltd.	1.125% Notes 2024	99.709	2024/06/18	2021/06/21
86007	China Development Bank Hong Kong Branch	2.65% CNY Notes 2024	100.000	2024/06/18	2021/06/21
40726	Ganzhou Hengzhi Co., Ltd.	3.40% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/06/18	2021/06/21
40731	LS Finance (2017) Ltd.	4.80% Guaranteed Bonds 2026	100.000	2026/06/18	2021/06/21
40725	Pharmaron Beijing Co., Ltd. – H Shares	Zero Coupon Convertible Bonds 2026	100.000	2026/06/18	2021/06/21
40733	Pharmaron Beijing Co., Ltd. – H Shares	Zero Coupon Convertible Bonds 2026	100.000	2026/06/18	2021/06/21
40734	Hong Run International Co. Ltd.	2.10% Credit Enhanced Bonds 2022	100.000	2022/06/21	2021/06/23
40732	QNB Finance Ltd	1.125% Notes 2024	99.871	2024/06/17	2021/06/23
40735	Jinan Hi-tech Int'l (Cayman) Investment Dev Co., Ltd.	2.50% Guaranteed Bonds 2024	100.000	2024/06/23	2021/06/24
04246	The Government of the HKSAR of the PRC	iBonds 2024	100.000	2024/06/23	2021/06/24
40736	Deqing Construction & Development Group Co., Ltd.	3.60% Bonds 2024	100.000	2024/06/24	2021/06/25
40665#	Wanda Properties Overseas Ltd.	7.25% Guaranteed Bonds 2022	101.000	2022/04/28	2021/06/25
40738	Hangzhou Fuyang Chengtou Group (HK) Ltd.	3.20% Guaranteed Bonds 2026	100.000	2026/06/25	2021/06/28
40737	BOCOM International Blossom Ltd.	1.75% Guaranteed Notes 2026	99.938	2026/06/28	2021/06/29
40739	Leading Holdings Group Ltd.	12.00% Senior Notes 2022	99.545	2022/06/27	2021/06/29
40741	Beijing Energy International Holding Co., Ltd.	3.80% Convertible Bonds 2024	100.000	2024/06/29	2021/06/30
40740	Nanshan Capital Holdings Ltd.	7.00% Guaranteed Bonds 2022	100.000	2022/06/28	2021/06/30
40744	CK Property Finance (MTN) Ltd.	0.75% Guaranteed Senior Notes 2024	99.731	2024/06/30	2021/07/02
40745	CK Property Finance (MTN) Ltd.	1.375% Guaranteed Senior Notes 2026	99.856	2026/06/30	2021/07/02
40746	New Momentum International Ltd.	8.50% Guaranteed Bonds 2023	100.000	2023/06/30	2021/07/02

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40742	NWD (MTN) Ltd.	4.79% Guaranteed Notes 2051	100.000	2051/06/30	2021/07/02
40749	Sunshine 100 China Holdings Ltd.	13.00% Senior Green Notes 2022	100.000	2022/06/29	2021/07/02
40743	BCEG (HongKong) Co. Ltd.	2.22% Guaranteed Bonds 2026	100.000	2026/07/02	2021/07/05
86009	GLP China Holdings Ltd.	4.00% CNY Notes 2024	100.000	2024/07/02	2021/07/05
86008	Zhenro Properties Group Ltd.	7.125% Senior CNY Notes 2022	100.000	2022/06/30	2021/07/05
40754	Logan Group Co. Ltd.	4.70% Senior Notes 2026	100.000	2026/07/06	2021/07/07
40753	Rudong County Jinxin Transport Engineer Construct Inv Co Ltd	2.30% Credit Enhanced Bonds 2024	100.000	2024/07/06	2021/07/07
40748	Yi Bright International Ltd.	2.60% Guaranteed Bonds 2024	100.000	2024/07/06	2021/07/07
40747	Zhongyuan Zhicheng Co., Ltd.	3.20% Guaranteed Bonds 2026	100.000	2026/07/06	2021/07/07
40751	Bank of Communications (Hong Kong) Ltd.	Subordinated Tier 2 Bonds 2031	100.000	2031/07/08	2021/07/09
40750	Kunming Public Rental Housing Dev Construct Manage Co., Ltd.	5.5% Bonds 2024	100.000	2024/07/08	2021/07/09
40752	Shenzhen Expressway Co. Ltd. – H Shares	1.75% Bonds 2026	99.610	2026/07/08	2021/07/09
40756	West China Cement Ltd.	4.95% Senior Notes 2026	100.000	2026/07/08	2021/07/09
86010	Bank of China (Hong Kong) Ltd.	2.80% CNY Notes 2023	100.000	2023/07/09	2021/07/12
40755	Wuhan Urban Construction Group Co., Ltd.	2.25% Bonds 2024	100.000	2024/07/09	2021/07/12
40759	Bluestar Finance Holdings Ltd.	Guaranteed Subordinated Perpetual Securities	100.000		2021/07/13
40758	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	0.60% Notes 2023	100.000	2023/07/13	2021/07/14
40757	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	0.875% Notes 2024	99.906	2024/07/13	2021/07/14
40760	Sino-Ocean Land Treasure IV Ltd.	2.70% Guaranteed Green Notes 2025	99.369	2025/01/13	2021/07/14
40765	China Modern Dairy Holdings Ltd.	2.125% Bonds 2026	99.717	2026/07/14	2021/07/15
40766	Shenwan Hongyuan International Finance Ltd.	1.80% Guaranteed Bonds 2026	99.819	2026/07/14	2021/07/15
40763	Xiaomi Best Time International Ltd.	2.875% Senior Bonds 2031	99.141	2031/07/14	2021/07/15
40764	Xiaomi Best Time International Ltd.	4.10% Senior Green Bonds 2051	98.994	2051/07/14	2021/07/15
40761	Zhejiang Expressway Co. Ltd. – H Shares	1.638% Bonds 2026	100.000	2026/07/14	2021/07/15
40762	Bright Galaxy International Ltd.	3.25% Guaranteed Bonds 2026	99.111	2026/07/15	2021/07/16
40769	Jiangsu Dieshiqiao Home Textile Industry Group Co., Ltd.	2.20% Credit Enhanced Bonds 2024	100.000	2024/07/20	2021/07/21
40767	Zhejiang Energy International Ltd.	1.737% Notes 2026	100.000	2026/07/20	2021/07/21
40771	CLP Power Hong Kong Financing Ltd.	2.25% Notes 2031	99.973	2031/07/21	2021/07/22
40772	CLP Power Hong Kong Financing Ltd.	2.25% Notes 2031 B	100.000	2031/07/21	2021/07/22
40556#	FEC Finance Ltd.	5.10% Notes 2024	101.996	2024/01/21	2021/07/22
40770	CCBL (Cayman) 1 Corporation Ltd.	1.80% Guaranteed Notes 2026	99.834	2026/07/22	2021/07/23
40768	Expand Lead Ltd.	4.95% Guaranteed Bonds 2026	100.000	2026/07/22	2021/07/23
40773	Sunkwan Properties Group Ltd.	12.25% Green Senior Notes 2022	99.320	2022/07/21	2021/07/23
40775	Huzhou Moganshan High-tech Group Co., Ltd.	3.50% Notes 2024	100.000	2024/07/23	2021/07/26
40496#	Xi' an Port Industrial Co., Ltd.	5.80 Bonds 2023	100.000	2023/12/02	2021/07/26
40776	Anton Oilfield Services Group	8.75% Senior Notes 2025	98.534	2025/01/26	2021/07/27
40774	Horse Gallop Finance Ltd.	1.10% Guaranteed Notes 2024	99.959	2024/07/26	2021/07/27
40778	CSSC Capital 2015 Ltd.	2.10% Guaranteed Bonds 2026	99.670	2026/07/27	2021/07/28
40779	Jiayuan International Group Ltd.	7.00% Green Convertible Bonds 2025	100.000	2025/01/27	2021/07/28
40777	Xinzhan Ltd.	6.50% Guaranteed Notes 2022	100.000	2022/07/26	2021/07/28
40707#	Times China Holdings Ltd.	5.55% Senior Notes 2024	100.000	2024/06/04	2021/07/28
40783	Chalco Hong Kong Investment Co. Ltd.	1.55% Guaranteed Bonds 2024	99.796	2024/07/28	2021/07/29
40784	Chalco Hong Kong Investment Co. Ltd.	2.10% Guaranteed Bonds 2026	99.736	2026/07/28	2021/07/29
40782	Lai Sun MTN Ltd.	5.00% Guaranteed Notes 2026	100.000	2026/07/28	2021/07/29

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40781	Shandong Iron and Steel Xinheng International Co. Ltd.	4.80% Guaranteed Bonds 2024	100.000	2024/07/28	2021/07/29
40780	Vertex Capital Investment Ltd.	2.85% Guaranteed Bonds 2026	100.000	2026/07/28	2021/07/29
40785	Xi' an Aerospace High-Tech Industry Dev. Gp. Co., Ltd.	3.60% Credit Enhanced Bonds 2024	100.000	2024/07/28	2021/07/29
86008#	Zhenro Properties Group Ltd.	7.125% Senior CNY Notes 2022	100.000	2022/06/30	2021/07/29
40789	Cheung Kong Infrastructure Finance (BVI) Ltd.	4.00% Guaranteed Perpetual Capital Securities B	100.000		2021/07/30
40790	China CITIC Bank International Ltd.	Undated Non-cumulative Subordinated Additional Tier 1 Capital Securities	100.000		2021/07/30
40788	Nanchang Jinkai Group Co., Ltd.	3.45% Credit Enhanced Bonds 2024	100.000	2024/06/29	2021/07/30
40787	Pingan Real Estate Capital Ltd.	2.75% Notes 2024	99.783	2024/07/29	2021/07/30
40786	Pingan Real Estate Capital Ltd.	3.45% Notes 2026	99.568	2026/07/29	2021/07/30
40794	Chongqing Wansheng Econ & Tech Dev Zone Dev & Invest Gp Ltd.	1.93% Credit Enhanced Bonds 2024	100.000	2024/07/30	2021/08/02
40791	ICBCIL Finance Co. Ltd.	1.25% Notes 2024	99.959	2024/08/02	2021/08/03
40792	ICBCIL Finance Co. Ltd.	1.75% Notes 2026	99.743	2026/08/02	2021/08/03
40793	ICBCIL Finance Co. Ltd.	2.65% Notes 2031	99.105	2031/08/02	2021/08/03
40795	Soar Wise Ltd.	0.95% Guaranteed Notes 2022	100.000	2022/08/02	2021/08/04
40363#	Fuyuan Worldwide Ltd.	7.00% Guaranteed Bonds 2023	99.985	2023/08/28	2021/08/05
40796	Qinzhou City Development & Investment Group Co., Ltd.	6.80% Bonds 2022	100.000	2022/08/04	2021/08/06
40797	Universe Trek Ltd.	Zero Coupon Guaranteed Convertible Bonds 2026	100.000	2026/08/05	2021/08/06
40798	Xi' an Aerospace High-Tech Industry Dev. Gp. Co., Ltd.	2.00% Credit Enhanced Bonds 2024	100.000	2024/06/05	2021/08/06
86011	Hong Kong Mortgage Corporation Ltd., The	3.06% CNY Notes 2022	100.000	2022/08/06	2021/08/09
40799	YCIC International (HK) Co., Ltd.	3.10% Guaranteed Notes 2024	100.000	2024/08/09	2021/08/10
40800	Bank of China Ltd., Hong Kong Branch	Floating Rate Notes 2024	100.000	2024/08/10	2021/08/11
40801	Ningbo Yincheng Group Co., Ltd.	2.15% Bonds 2024	100.000	2024/08/11	2021/08/12
40803	Gemdale Ever Prosperity Investment Ltd.	4.95% Guaranteed Notes 2024	99.862	2024/08/12	2021/08/13
40802	Zhejiang Baron (BVI) Co. Ltd.	2.25% Guaranteed Bonds 2024	100.000	2024/08/12	2021/08/13
40805	Taiyuan Longcheng Development Investment Group Co., Ltd.	2.20% Notes 2022	100.000	2022/08/15	2021/08/17
40804	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	2.08% Credit Enhanced Bonds 2024	100.000	2024/08/16	2021/08/17
40659#	Jingrui Holdings Ltd.	12.50% Senior Notes 2023	99.980	2023/10/26	2021/08/18
40806	Huafa 2021 I Co. Ltd.	Senior Guaranteed Perpetual Capital Securities	100.000		2021/08/19
40808	Shinsun Holdings (Group) Co., Ltd.	12% Senior Notes 2023	99.139	2023/08/18	2021/08/19
40809	Yieldking Investment Ltd.	2.80% Notes 2026	100.000	2026/08/18	2021/08/19
40807	Shaoxing City Investment Group Ltd.	2.50% Bonds 2026	100.000	2026/08/19	2021/08/20
40814	Baidu, Inc. – SW	1.625% Notes 2027	99.953	2027/02/23	2021/08/24
40813	Baidu, Inc. – SW	2.375% Notes 2031	99.523	2031/08/23	2021/08/24
04086	Hong Kong Monetary Authority, The	0.15% Exchange Fund Notes 2023	100.040	2023/08/23	2021/08/24
40810	PCGI Intermediate Holdings (III) Ltd.	4.50% Guaranteed Notes 2026	100.000	2026/08/23	2021/08/24
40812	Central International Development (BVI) Ltd.	2.20% Guaranteed Bonds 2024	100.000	2024/08/25	2021/08/26
40816	SDG Finance Ltd.	2.40% Guaranteed Bonds 2024	100.000	2024/08/25	2021/08/26
40817	SDG Finance Ltd.	2.80% Guaranteed Bonds 2026	100.000	2026/08/25	2021/08/26
40811	Xingsheng (BVI) Co. Ltd.	1.375% Guaranteed Bonds 2024	99.699	2024/08/25	2021/08/26
40815	Anhui Transportation Holding Group (H.K.) Ltd.	1.618% Guaranteed Bonds 2026	99.780	2026/08/26	2021/08/27
40819	Guangxi Liuzhou Rail Transit Investment Dev. Group Co., Ltd.	2.30% Credit Enhanced Bonds 2024	100.000	2024/08/26	2021/08/27
40818	Ping An International Financial Leasing Co., Ltd.	2.50% Senior Bonds 2024	100.000	2024/08/27	2021/08/30
40820	Huafa 2021 I Co. Ltd.	2.95% Guaranteed Bonds 2025	100.000	2025/02/28	2021/09/01
40822	Inventive Global Investments Ltd.	1.10% Guaranteed Notes 2024	99.871	2024/09/01	2021/09/02

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40823	Inventive Global Investments Ltd.	1.60% Guaranteed Notes 2026	99.871	2026/09/01	2021/09/02
40824	New Lion Bridge Co., Ltd.	5.80% Senior Notes 2022	100.000	2022/08/31	2021/09/02
40821	Taizhou Urban Construction and Invest. Dev. Group Co., Ltd	2.05% Bonds 2024	100.000	2024/09/02	2021/09/03
40825	Yancheng High-tech Zone Investment Group Co., Ltd.	2.08% Credit Enhanced Bonds 2024	100.000	2024/09/02	2021/09/03
40826	Zhenro Properties Group Ltd.	6.50% Senior Notes 2022	99.594	2022/09/01	2021/09/06
40832	Quan Cheng Financial Holdings (BVI) Ltd.	3.80% Guaranteed Bonds 2024	100.000	2024/09/07	2021/09/08
40831	Sun Hung Kai & Co. (BVI) Ltd.	5.00% Notes 2026	100.000	2026/09/07	2021/09/08
40829	State Grid Overseas Investment (BVI) Ltd.	1.125% Senior Guaranteed Notes 2026	99.483	2026/09/08	2021/09/09
40828	Yuzhou Group Holdings Co. Ltd.	9.95% Green Senior Notes 2023	100.000	2023/06/08	2021/09/09
40830	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	2.05% Credit Enhanced Bonds 2024	100.000	2024/09/08	2021/09/09
40835	AIA Group Ltd.	Callable Subordinated Dated Securities 2033	99.980	2033/09/09	2021/09/10
40827	Chang Development International Ltd.	4.30% Guaranteed Bonds 2024	100.000	2024/09/09	2021/09/10
40834	China Development Bank Hong Kong Branch	0.625% Notes 2024	99.955	2024/09/09	2021/09/10
40833	Contemporary Ruiding Development Ltd.	1.50% Guaranteed Bonds 2026	99.445	2026/09/09	2021/09/10
40782 #	Lai Sun MTN Ltd.	5.00% Guaranteed Notes 2026	100.000	2026/07/28	2021/09/10
40262 #	NWD Finance (BVI) Ltd.	Guaranteed Senior Perpetual Cap Sec B	106.060		2021/09/10
04244 #	The Government of the HKSAR of the PRC	1.59% Bonds 2036	99.740	2036/03/04	2021/09/10
40338 #	KWG Group Holdings Ltd.	5.95% Senior Notes 2025	98.118	2025/08/10	2021/09/13
86012	Hong Kong Mortgage Corporation Ltd., The	2.85% CNY Notes 2022	100.000	2022/09/13	2021/09/14
40836	Zensun Enterprises Ltd.	12.50% Senior Notes 2023	100.000	2023/09/13	2021/09/14
40837	China Everbright Bank Co., Ltd., Luxembourg Branch	0.826% Notes 2024	100.000	2024/09/14	2021/09/15
40838	CCBL (Cayman) 1 Corporation Ltd.	1.60% Guaranteed Notes 2026	99.432	2026/09/15	2021/09/16
40844	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4.80% Guaranteed Bonds 2024	100.000	2024/09/16	2021/09/17
40839	CMB International Leasing Management Ltd.	0.50% Notes 2024	99.760	2024/09/16	2021/09/17
40840	CMB International Leasing Management Ltd.	1.25% Notes 2024 B	99.815	2024/09/16	2021/09/17
40841	CMB International Leasing Management Ltd.	1.75% Notes 2026	99.572	2026/09/16	2021/09/17
40843	CMS International Gemstone Ltd.	1.295% Guaranteed Notes 2024	100.000	2024/09/16	2021/09/17
40842	Wuxing City Investment HK Co. Ltd.	2.05% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/09/16	2021/09/17
40846	Taizhou Oriental China Medical City Holding Group Co., Ltd.	2.10% Credit Enhanced Bonds 2024	100.000	2024/09/17	2021/09/20
40845	Zhongliang Holdings Group Co. Ltd.	12.00% Senior Notes 2023	100.000	2023/04/17	2021/09/20
05607 #	KWG Group Holdings Ltd.	7.40% Senior Notes 2024	100.262	2024/03/05	2021/09/20
40850	Perfect Point Ventures Ltd.	5.20% Guaranteed Notes 2025	100.000	2025/09/20	2021/09/21
40847	Radiance Holdings (Group) Co. Ltd.	7.80% Senior Green Notes 2024	99.555	2024/03/20	2021/09/21
40848	Redsun Properties Group Ltd.	9.50% Senior Green Notes 2023	97.976	2023/09/20	2021/09/21
40851	eHi Car Services Ltd.	7.00% Senior Notes 2026	98.967	2026/09/21	2021/09/23
40858	Yincheng International Holding Co., Ltd.	12.50% Senior Notes 2022	98.872	2022/09/20	2021/09/23
40849	Guangzhou Metro Investment Finance (BVI) Ltd.	1.579% Guaranteed Notes 2026	100.000	2026/09/23	2021/09/24
40852	Sands China Ltd.	2.30% Senior Notes 2027	99.781	2027/03/08	2021/09/24
40853	Sands China Ltd.	2.85% Senior Notes 2029	99.747	2029/03/08	2021/09/24
40854	Sands China Ltd.	3.25% Senior Notes 2031	99.927	2031/08/08	2021/09/24
40855	Sinochem Offshore Capital Co. Ltd.	1.00% Guaranteed Notes 2024	99.779	2024/09/23	2021/09/24
40856	Sinochem Offshore Capital Co. Ltd.	1.50% Guaranteed Notes 2026	99.593	2026/09/23	2021/09/24
40857	Sinochem Offshore Capital Co. Ltd.	2.375% Guaranteed Notes 2031	99.699	2031/09/23	2021/09/24
40859	Zensun Enterprises Ltd.	12.50% Senior Notes 2024	99.965	2024/04/23	2021/09/24
40860	Beijing Environment (BVI) International Co., Ltd	1.65% Guaranteed Green Bonds 2024	100.000	2024/09/24	2021/09/27
40865	Chengdu Aerotropolis City Development Group Co., Ltd.	2.80% Bonds 2024	100.000	2024/09/24	2021/09/27

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Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40864	Industrial and Commercial Bank of China Ltd. – H Shares	Undated Additional Tier 1 Capital Bonds	100.000		2021/09/27
40862	Pinghu State-owned Assets Holding Group Co., Ltd.	2.20% Bonds 2024	100.000	2024/09/24	2021/09/27
40861	Sihai International Investment Ltd.	2.05% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/09/24	2021/09/27
86659	Ministry of Finance of the People's Republic of China	2.41% CNY Bonds 2023	100.000	2023/09/27	2021/09/28
86660	Ministry of Finance of the People's Republic of China	2.50% CNY Bonds 2026	100.000	2026/09/27	2021/09/28
40863	Weifang Urban Construction and Development Inv. Gp Co., Ltd.	2.60% Bonds 2024	100.000	2024/09/27	2021/09/28
86645#	Ministry of Finance of the People's Republic of China	4.15% CNY Bonds 2031	112.870	2031/12/12	2021/09/28
40866	Jingrui Holdings Ltd.	12.00% Green Senior Notes 2024	100.000	2024/01/28	2021/09/29
40868	Xinhu (BVI) 2018 Holding Co. Ltd.	11.00% Guaranteed Senior Notes 2024	100.000	2024/09/28	2021/09/29
40867	Zhengbang International Ltd.	1.85% Credit Enhanced Guaranteed Notes 2024	100.000	2024/09/28	2021/09/29
40809#	Yieldking Investment Ltd.	2.80% Notes 2026	101.371	2026/08/18	2021/09/29
40869	Bank of China Ltd., acting through its Sydney branch	0.75% Notes 2024	99.749	2024/09/29	2021/09/30
86015	Bank of China Ltd., Macau Branch	2.75% CNY Notes 2023	100.000	2023/09/29	2021/09/30
40871	Gansu Province Electric Power Investment Group Co., Ltd.	3.70% Bonds 2024	100.000	2024/09/29	2021/09/30
40870	State Elite Global Ltd.	1.50% Fixed Rate Notes 2026	99.813	2026/09/29	2021/09/30
40684#	Jiayuan International Group Ltd.	11.00% Senior Notes 2024	95.980	2024/02/17	2021/09/30
40873	Huaibei City Construction Investment Holding Group Co. Ltd.	2.75% Credit Enhanced Bonds 2024	100.000	2024/09/30	2021/10/04
40872	Panther Ventures Ltd.	3.38% Guaranteed Senior Perpetual Capital Securities	100.000		2021/10/04
40878	Series 2021-1 WST Trust	Senior Class A Mortgage Backed Flating Rate Notes 2053	100.000	2053/04/16	2021/10/08
40877	Helenbergh China Holdings Ltd.	11.00% Green Senior Notes 2023	100.000	2023/10/08	2021/10/11
40874	Soar Wise Ltd.	1.65% Guaranteed Notes 2024	99.863	2024/10/08	2021/10/11
40875	Xingcheng (BVI) Ltd.	2.375% Guaranteed Green Notes 2026	100.000	2026/10/08	2021/10/11
40740#	Nanshan Capital Holdings Ltd.	7.00% Guaranteed Bonds 2022	100.000	2022/06/28	2021/10/12
40879	Ganzhou Urban Investment Holding Group Co., Ltd.	3.00% Notes 2024	100.000	2024/10/12	2021/10/13
40880	Taizhou Haiminghui Trading Co., Ltd.	2.00% Credit Enhanced Guaranteed Bonds 2022	100.000	2022/10/14	2021/10/18
40881	Zhuji Development Ltd.	3.20% Guaranteed Bonds 2024	100.000	2024/10/18	2021/10/19
40882	AIA Group Ltd.	Resettable Subordinated Dated Securities 2051	100.000	2051/10/19	2021/10/20
40883	Dongfeng Motor (Hong Kong) International Co., Ltd.	0.425% Guaranteed Notes 2024	100.000	2024/10/19	2021/10/20
86016	People's Govt of SZ Municipality of GD Province of the PRC	2.60% CNY Bonds 2023	100.000	2023/10/19	2021/10/20
86018	People's Govt of SZ Municipality of GD Province of the PRC	2.70% CNY Bonds 2024	100.000	2024/10/19	2021/10/20
86019	People's Govt of SZ Municipality of GD Province of the PRC	2.90% CNY Bonds 2026	100.000	2026/10/19	2021/10/20
40884	Eastern Creation II Investment Holdings Ltd.	1.35% Guaranteed Notes 2024	99.994	2024/10/20	2021/10/21
40876	Zhejiang Huzhou Nanxun Economic Construct and Dev Co., Ltd.	2.03% Credit Enhanced Bonds 2024	100.000	2024/10/20	2021/10/21
40885	Rudong County Jinxin Transport Engineer Construct Inv Co Ltd	2.68% Credit Enhanced Bonds 2024	100.000	2024/10/22	2021/10/25
86659#	Ministry of Finance of the People's Republic of China	2.41% CNY Bonds 2023	99.820	2023/09/27	2021/10/25
86611#	Ministry of Finance of the People's Republic of China	3.60% CNY Bonds 2028	105.100	2028/06/27	2021/10/25
04245#	The Government of the HKSAR of the PRC	0.36% Bonds 2024	99.550	2024/04/15	2021/10/25
40887	Far East Horizon Ltd.	4.25% Notes 2026	99.422	2026/10/26	2021/10/27
40891	Goucheng International Co., Ltd.	3.30% Guaranteed Bonds 2024	100.000	2024/10/26	2021/10/27
06766	Ministry of Finance of the People's Republic of China	0.75% Bonds 2024	99.935	2024/10/26	2021/10/27
06767	Ministry of Finance of the People's Republic of China	1.25% Bonds 2026	99.879	2026/10/26	2021/10/27

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Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
06768	Ministry of Finance of the People's Republic of China	1.75% Bonds 2031	99.000	2031/10/26	2021/10/27
06769	Ministry of Finance of the People's Republic of China	2.50% Bonds 2051	97.824	2051/10/26	2021/10/27
40888	Zhaoqing Guolian Investment Holding Co., Ltd	2.68% Bonds 2024	100.000	2024/10/26	2021/10/27
40889	Hualu International Finance (BVI) Ltd.	2.20% Guaranteed Bonds 2026	100.000	2026/10/27	2021/10/28
40890	Hunan Xiangjiang New Area Development Group Co., Ltd.	2.65% Bonds 2026	100.000	2026/10/27	2021/10/28
40886	Chengdu Hi-tech Investment Group Co., Ltd.	2.70% Notes 2026	100.000	2026/10/28	2021/10/29
40894	DaFa Properties Group Ltd.	13.50% Senior Notes 2023	98.694	2023/04/28	2021/10/29
40892	Hebei Shunde Investment Group Co. Ltd.	2.00% Credit Enhanced Bonds 2024	100.000	2024/10/28	2021/10/29
40900	Huzhou City Investment Development Group Co., Ltd.	2.95% Notes 2026	100.000	2026/10/28	2021/10/29
40895	Industrial and Commercial Bank of China Ltd., HK Branch	1.625% Notes 2026	99.976	2026/10/28	2021/10/29
40898	Industrial and Commercial Bank of China Ltd., London Branch	1.625% Notes 2025	99.662	2025/12/28	2021/10/29
40897	ICBC Ltd., Luxembourg Branch	0.125% Notes 2024 B	99.785	2024/10/28	2021/10/29
40899	Industrial and Commercial Bank of China Ltd., Macau Branch	0.60% Notes 2023	100.000	2023/10/28	2021/10/29
40896	ICBC Ltd., Singapore Branch	1.00% Notes 2024	99.814	2024/10/28	2021/10/29
40893	Chongqing Southern New City Industrial Inv Gp Co., Ltd.	5.45% Notes 2024	100.000	2024/10/29	2021/11/01
40901	Suzhou Industrial Investment Group Co., Ltd.	2.70% Credit Enhanced Bonds 2024	100.000	2024/10/29	2021/11/01
40903	Dah Sing Bank, Ltd.	Dated Subordinated Notes 2031	99.389	2031/11/02	2021/11/03
40904	ICBCIL Finance Co. Ltd.	1.625% Notes 2024 B	99.915	2024/11/02	2021/11/03
40905	ICBCIL Finance Co. Ltd.	2.25% Notes 2026	99.784	2026/11/02	2021/11/03
40902	Wuhan Metro Group Co., Ltd.	1.58% Notes 2024	99.898	2024/11/03	2021/11/04
40906	Shaanxi Xixian New Area Fengxi New City Dev & Cons Gp Co Ltd	2.55% Credit Enhanced Bonds 2024	100.000	2024/11/04	2021/11/05
40907	Zhoushan City Investment Group Corporation Ltd.	2.10% Bonds 2024	100.000	2024/11/04	2021/11/05
40908	YCIC International (HK) Co., Ltd.	3.80% Guaranteed Notes 2026	100.000	2026/11/05	2021/11/08
40910	Amipeace Ltd.	1.75% Notes 2026	99.222	2026/11/09	2021/11/10
40909	China Bohai Bank Co., Ltd., Hong Kong Branch	1.50% Notes 2024	99.766	2024/11/10	2021/11/11
40911	Haichuan International Investment Co., Ltd.	1.98% Credit Enhanced Bonds 2024	100.000	2024/11/10	2021/11/11
40912	Zhejiang Xinchang Investment Development Co., Ltd.	3.60% Bonds 2024	100.000	2024/11/10	2021/11/11
40913	Pujiang State-Owned Capital Investment Group Co., Ltd.	2.00% Credit Enhanced Bonds 2024	100.000	2024/11/12	2021/11/15
40914	Zhengzhou Metro Group Co., Ltd.	1.915% Bonds 2024	100.000	2024/11/15	2021/11/16
40923	Dangdai International Investments Ltd	9.25% Guaranteed Senior Notes 2022	100.000	2022/11/15	2021/11/17
40917	MINGHU INTERNATIONAL (BVI) INVESTMENT DEVELOPMENT CO., LTD.	3.40% Guaranteed Bonds 2024	100.000	2024/11/16	2021/11/17
40916	Rowen International Co., Ltd.	6.50% Guaranteed Bonds 2022	100.000	2022/11/15	2021/11/17
40918	Wuhan Financial Holdings (Group) Co., Ltd.	3.40% Notes 2024	100.000	2024/11/16	2021/11/17
40919	AVIC International Finance & Investment Ltd.	2.50% Guaranteed Bonds 2026	99.061	2026/11/17	2021/11/18
40915	CNCBINV 1 (BVI) Ltd.	1.75% Guaranteed Bonds 2024	99.892	2024/11/17	2021/11/18
06771	Ministry of Finance of the People's Republic of China	0.125% Bonds 2028	99.368	2028/11/17	2021/11/18
06772	Ministry of Finance of the People's Republic of China	0.625% Bonds 2033	98.469	2033/11/17	2021/11/18
06770	Ministry of Finance of the People's Republic of China	Zero Coupon Bonds 2024	100.578	2024/11/17	2021/11/18
40920	SF Holding Investment 2021 Ltd.	2.375% Guaranteed Notes 2026	99.738	2026/11/17	2021/11/18
40921	SF Holding Investment 2021 Ltd.	3.00% Guaranteed Notes 2028	99.912	2028/11/17	2021/11/18
40922	SF Holding Investment 2021 Ltd.	3.125% Guaranteed Notes 2031	99.075	2031/11/17	2021/11/18
40929	Heze City Investment Holdings International Co., Ltd.	4.55% Guaranteed Notes 2022	100.000	2022/11/17	2021/11/19
40925	Summer Spring Project Ltd.	5.00% Guaranteed Bonds 2022	100.000	2022/11/17	2021/11/19

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40924	Taishan Finance International Business Co., Ltd.	3.50% Guaranteed Bonds 2024	100.000	2024/11/18	2021/11/19
04247	The Government of the HKSAR of the PRC	HONIA-indexed Floating Rate Notes 2022	100.000	2022/11/18	2021/11/19
40928	Yancoal International Resources Development Co., Ltd.	2.90% Senior Guaranteed Bonds 2024	100.000	2024/11/18	2021/11/19
40927	Yichang High-Tech Investment Development Co., Ltd.	5.00% Notes 2024	100.000	2024/11/18	2021/11/19
40932	Shangyu Urban Construction Hong Kong Co. Ltd.	3.30% Guaranteed Sustainability Bonds 2026	100.000	2026/11/19	2021/11/22
86659#	Ministry of Finance of the People's Republic of China	2.41% CNY Bonds 2023	99.930	2023/09/27	2021/11/22
86660#	Ministry of Finance of the People's Republic of China	2.50% CNY Bonds 2026	99.320	2026/09/27	2021/11/22
86645#	Ministry of Finance of the People's Republic of China	4.15% CNY Bonds 2031	111.350	2031/12/12	2021/11/22
40926	EVE Battery Investment Ltd.	0.75% Secured Guaranteed Exchangeable Bonds 2026	100.000	2026/11/22	2021/11/23
04087	Hong Kong Monetary Authority, The	0.31% Exchange Fund Notes 2023	99.760	2023/11/22	2021/11/23
40933	Jinan Hi-tech Holding Group Co., Ltd.	2.80% Bonds 2024	100.000	2024/11/22	2021/11/23
40931	Rongshi International Finance Ltd.	1.875% Notes 2026	99.583	2026/11/22	2021/11/23
40930	China Great Wall International Holdings V Ltd.	2.875% Guaranteed Bonds 2026	99.323	2026/11/23	2021/11/24
40941	Boen Investment Ltd.	1.98% Credit Enhanced Bonds 2024	100.000	2024/11/24	2021/11/25
40942	Boen Investment Ltd.	1.98% Credit Enhanced Bonds 2024 B	100.000	2024/11/24	2021/11/25
40937	Guotai Junan Holdings Ltd.	1.60% Guaranteed Notes 2024	99.945	2024/11/24	2021/11/25
40945	Luheng International Co., Ltd.	2.90% Credit Enhanced Bonds 2024	100.000	2024/11/24	2021/11/25
40938	Sinochem Offshore Capital Co. Ltd.	1.50% Guaranteed Notes 2024	99.895	2024/11/24	2021/11/25
40939	Sinochem Offshore Capital Co. Ltd.	2.25% Guaranteed Notes 2026	99.915	2026/11/24	2021/11/25
40934	Taizhou State-owned Assets Investment Group Co., Ltd.	2.02% Credit Enhanced Bonds 2024	100.000	2024/11/24	2021/11/25
04250	The Government of the HKSAR of the PRC	1.00% Notes 2041	98.942	2041/11/24	2021/11/25
04248	The Government of the HKSAR of the PRC	1.75% Notes 2031	99.046	2031/11/24	2021/11/25
04249	The Government of the HKSAR of the PRC	Zero Coupon Notes 2026	99.905	2026/11/24	2021/11/25
40936	Tianjin Free Trade Zone Investment Holding Group Co., Ltd.	3.00% Credit Enhanced Notes 2024	100.000	2024/11/24	2021/11/25
40935	Yueyang Construction and Investment Group Co., Ltd.	3.48% Bonds 2026	100.000	2026/11/24	2021/11/25
40940	Sinochem Offshore Capital Co. Ltd.	0.75% Guaranteed Notes 2025	99.644	2025/11/25	2021/11/26
40944	Chanfa International (HK) Co., Ltd.	4.50% Guaranteed Bonds 2022	100.000	2022/11/25	2021/11/29
40946	China Mengniu Dairy Co. Ltd.	3.08% Convertible Bonds 2026	100.000	2026/11/26	2021/11/29
40943	Haixi Overseas Investment Co. Ltd.	1.98% Credit Enhanced Bonds 2024	100.000	2024/10/26	2021/11/29
40947	Fuyuan Worldwide Ltd.	7.00% Guaranteed Bonds 2024	100.000	2024/11/29	2021/11/30
40949	CIMC Enric Holdings Ltd.	Zero Coupon Convertible Bonds 2026	100.000	2026/11/30	2021/12/01
86021	The Government of the HKSAR of the PRC	2.80% CNY Notes 2024	100.000	2024/11/30	2021/12/01
86022	The Government of the HKSAR of the PRC	3.00% CNY Notes 2026	100.000	2026/11/30	2021/12/01
40951	Yankuang Group (Cayman) Ltd.	2.90% Bonds 2024	100.000	2024/11/30	2021/12/01
40948	Chengdu Communications Investment Group Corporation Ltd.	2.20% Bonds 2024	100.000	2024/12/01	2021/12/02
40953	China Everbright Bank Co., Ltd., Hong Kong Branch	1.27% Notes 2024	100.000	2024/12/01	2021/12/02
40952	Hafoo Co., Ltd	2.00% Guaranteed Notes 2024	99.936	2024/12/02	2021/12/03
40950	Heng Yuan International Co. Ltd.	2.20% Guaranteed Bonds 2024	100.000	2024/12/02	2021/12/03
04235#	The Government of the HKSAR of the PRC	1.25% Bonds 2027	99.590	2027/06/29	2021/12/03
40954	Pingdingshan Tianan Coal Mining Co., Ltd.	2.80% Credit Enhanced Bonds 2022	100.000	2022/12/05	2021/12/07
40955	Qingdao Development Area Inv Construction Gp Co., Ltd.	4.60% Bonds 2024	100.000	2024/12/06	2021/12/07
40956	ChengDu JingKai GuoTou Investment Group Co., Ltd.	5.30% Senior Bonds 2024	100.000	2024/12/07	2021/12/08
40868#	Xinhu (BVI) 2018 Holding Co. Ltd.	11.00% Guaranteed Senior Notes 2024	99.985	2024/09/28	2021/12/08
40957	Yi Hong International Development Co., Ltd	3.50% Guaranteed Bonds 2024	100.000	2024/12/08	2021/12/09
40959	Baoji Investment (Group) Co., Ltd.	3.35% Credit Enhanced Notes 2024	100.000	2024/12/09	2021/12/10

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
40958	Junfeng International Co., Ltd	2.20% Guaranteed Bonds 2024	100.000	2024/12/09	2021/12/10
40960	Greenland Global Investment Ltd.	7.974% Notes 2022	100.000	2022/08/10	2021/12/13
40963	Bank of Communications Co., Ltd. Hong Kong Branch	0.95% Notes 2023	100.000	2023/12/13	2021/12/14
86023	Bank of Communications Co., Ltd. Hong Kong Branch	3.15% CNY Notes 2024	100.000	2024/12/13	2021/12/14
40964	Yuyao Economic Dev Zone Construction Invest & Dev Co., Ltd.	2.50% Guaranteed Bonds 2024	100.000	2024/12/13	2021/12/14
40966	Jingming Yuantong Development Investment (BVI) Co., Ltd.	2.90% Guaranteed Bonds 2024	100.000	2024/12/14	2021/12/15
40961	Xihui Haiwai I Investment Holdings Co., Ltd.	1.95% Guaranteed Green Bonds 2024	100.000	2024/12/14	2021/12/15
40962	CEBI Splendid Ltd.	2.00% Guaranteed Bonds 2024	99.336	2024/12/15	2021/12/16
40967	Huangshi State-Owned Assets Management Co., Ltd.	2.80% Credit Enhanced Bonds 2024	100.000	2024/11/15	2021/12/16
40965	Pingdu State-owned Assets Management Co., Ltd.	5.00% Guaranteed Bonds 2024	100.000	2024/12/16	2021/12/17
40968	Jingjiang Port (Group) Co., Ltd.	1.95% Credit Enhanced Bonds 2024	100.000	2024/12/17	2021/12/20
40969	San Shui International Development Co., Ltd	5.17% Guaranteed Notes 2024	100.000	2024/12/20	2021/12/21
40971	China Construction Bank Corporation Macau Branch	Floating Rate Senior Green Notes 2024	100.000	2024/12/21	2021/12/22
40970	Wuxi Guangyi Construction Development Group Co., Ltd.	1.95% Credit Enhanced Bonds 2024	100.000	2024/12/21	2021/12/22
40972	CALC Bonds Ltd.	4.85% Guaranteed Notes 2024	100.000	2024/12/23	2021/12/24
40974	Fuyuan Worldwide Ltd.	3.50% Credit Enhanced Guaranteed Bonds 2024 B	100.000	2024/11/16	2021/12/24
40975	ZhongChuang (HK) Technology Co. Ltd.	1.95% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/12/23	2021/12/24
40976	Hangzhou Bay Overseas Development Co., Ltd	1.95% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/12/24	2021/12/28
40973	Zhaoqing Gaoyao Dist State-owned Assets Operation Co., Ltd.	1.90% Credit Enhanced Bonds 2022	100.000	2022/12/23	2021/12/28
40977	Xi' an Aviation City Construction & Development Group Co. Ltd	6.20% Bonds 2024	100.000	2024/12/28	2021/12/29
40978	Yangshan Overseas Development Co., Ltd	1.95% Credit Enhanced Guaranteed Bonds 2024	100.000	2024/12/29	2021/12/30

Further issue

Withdrawal of listed debt securities

Code	Stock name	Last trading date	Delisted date	Maturity date
05601	Shandong Iron and Steel Xinheng Int'l 5.75% Guar. B2021	2020/12/31	2021/01/07	2021/01/06
05515	China Development Bank HK Branch Floating Rate Notes 2021	2021/01/06	2021/01/12	2021/01/11
05086	Times China Holdings Ltd. 6.25% Senior Notes 2021	2021/01/13	2021/01/19	2021/01/17
05095	Zhongyuan Sincere Investment Co. Ltd. 3.75% Guar. Bonds 2021	2021/01/14	2021/01/20	2021/01/19
04401	Nuoxi Capital Ltd. 4.70% Guaranteed Bonds 2021	2021/01/20	2021/01/26	2021/01/24
04404	Azure Orbit IV Int'l Finance Ltd. 3.50% Guar. Notes 2021	2021/01/20	2021/01/26	2021/01/25
04407	Sino Trendy Investment Ltd. 3.875% Guaranteed Bonds 2021	2021/01/20	2021/01/26	2021/01/25
04408	Triceratops Capital Co., Ltd. 0.817% Credit Enhanced B2021	2021/01/20	2021/01/26	2021/01/25
05098	Guangxi Financial Investment Group Co., Ltd. 5.75% Bonds2021	2021/01/20	2021/01/26	2021/01/23
05099	Concord New Energy Group Ltd. 7.90% Senior Notes 2021	2021/01/20	2021/01/26	2021/01/23
04506	CNOOC Finance (2011) Ltd. 4.25% Guaranteed Notes 2021	2021/01/21	2021/01/27	2021/01/26
40499	Universe Trek Ltd. Zero Coupon Guaranteed Convertible B2025	2021/01/21	2021/01/27	2025/12/04
40138	DaFa Properties Group Ltd. 11.50% Senior Notes 2021	2021/01/22	2021/01/28	2021/01/27
04411	Hong Kong Mortgage Corporation Ltd. FR Notes 2021, The	2021/01/26	2021/02/01	2021/01/29
05610	China Railway Construction Corp Ltd. Zero Coupon Conv. B2021	2021/01/26	2021/02/01	2021/01/29
04410	GCL New Energy Holdings Ltd. 7.10% Senior Notes 2021	2021/01/27	2021/02/02	2021/01/30
04412	Central Plaza Development Ltd. 3.875% Guaranteed Notes 2021	2021/01/27	2021/02/02	2021/01/30
04413	Tsinghua Unic Ltd. 4.75% Guaranteed Bonds 2021	2021/01/27	2021/02/02	2021/01/31
04420	Hong Kong Mortgage Corporation Ltd. FR Notes 2021, The	2021/02/02	2021/02/08	2021/02/05
05613	CRRC Corporation Ltd. Zero Coupon Convertible Bonds 2021	2021/02/02	2021/02/08	2021/02/05
05556	CAR Inc. 6.00% Senior Notes 2021	2021/02/08	2021/02/12	2021/02/11
04426	Greenland Global Investment Ltd. 5.25% Notes 2021	2021/02/09	2021/02/17	2021/02/12
04428	Full Dragon (Hong Kong) Int'l Develop Ltd. 5.60% Guar N2021	2021/02/09	2021/02/17	2021/02/14
04429	Shangrao Investment Holdings Int'l Co. Ltd. 5.70% Guar B2021	2021/02/09	2021/02/17	2021/02/14
40149	Zhongliang Holdings Group Co. Ltd. 8.75% Senior Notes 2021	2021/02/09	2021/02/17	2021/02/16
85728	Sinochem Offshore Cap Co Ltd 4.40% Senior Guar CNY N2021	2021/02/09	2021/02/17	2021/02/14
40148	Hong Kong Mortgage Corporation Ltd. 1.90% Notes 2021, The	2021/02/11	2021/02/19	2021/02/18
40160	Huarong Leasing Management HK Co. Ltd. 3.10% Notes 2021	2021/02/11	2021/02/19	2021/02/18
04075	Hong Kong Monetary Authority 1.54% Exchange Fund Notes 2021	2021/02/17	2021/02/22	2021/02/22
40168	HK Onlink Technology Co., Ltd. 6.60% Guaranteed Bonds 2021	2021/02/19	2021/02/25	2021/02/24
06029	NWD (MTN) Ltd. 5.25% Guaranteed Notes 2021	2021/02/23	2021/03/01	2021/02/26
05616	Bank of China Ltd., Hong Kong Branch 2.375% Notes 2021	2021/02/24	2021/03/02	2021/03/01
05814	ENN Clean Energy Int'l Invest Ltd. 7.50% Guar. Senior N2021	2021/02/24	2021/03/02	2021/02/27
05818	E-House (China) Enterprise Holdings Ltd. 7.75% Senior N2021	2021/02/24	2021/03/02	2021/02/28
40180	Zhenro Properties Group Ltd. 5.60% Senior Notes 2021	2021/02/24	2021/03/02	2021/02/28
85729	Far East Horizon Ltd. 4.90% CNY Notes 2021	2021/02/24	2021/03/02	2021/02/27
05499	CIFI Holdings (Group) Co. Ltd. 7.625% Senior Notes 2021	2021/02/25	2021/03/03	2021/03/02
05837	Redsun Properties Group Ltd. 11.50% Senior Notes 2021	2021/03/01	2021/03/05	2021/03/04
04431	Hubei Science & Technology Investment Group 4.375%Guar B2021	2021/03/02	2021/03/08	2021/03/05
04432	Industrial Bank Co., Ltd. Hong Kong Branch 3.50% Notes 2021A	2021/03/02	2021/03/08	2021/03/05
04435	Industrial Bank Co., Ltd. Hong Kong Branch FR Notes 2021B	2021/03/02	2021/03/08	2021/03/05
85731	Bank of China Ltd., Macau Branch 4.65% CNY Notes 2021B	2021/03/02	2021/03/08	2021/03/05
04436	Yuzhou Group Holdings Co. Ltd. 6.375% Senior Notes 2021	2021/03/03	2021/03/09	2021/03/06
04439	Bank of China Ltd., Hong Kong Branch FR Notes 2021C	2021/03/03	2021/03/09	2021/03/08
05857	Tuspark Forward Ltd. 7.90% Guaranteed Bonds 2021	2021/03/03	2021/03/09	2021/03/07
85732	Franshion Brilliant Ltd. 5.20% CNY Guar. Senior Notes 2021	2021/03/03	2021/03/09	2021/03/08
04444	China Minsheng Banking Corp., Ltd. HK Branch 3.50% Notes2021	2021/03/04	2021/03/10	2021/03/09

Withdrawal of listed debt securities *(continued)*

Code	Stock name	Last trading date	Delisted date	Maturity date
05071	CIFI Holdings (Group) Co. Ltd. Senior Perpetual Cap Sec	2021/03/04	2021/03/10	–
05614	OVPH Ltd. Guaranteed Perpetual Capital Securities	2021/03/04	2021/03/10	–
40185	JY Grandmark Holdings Ltd. 7.50% Senior Notes 2021	2021/03/04	2021/03/10	2021/03/09
04447	Huaxin Pharmaceutical (HK) Co., Ltd. 6.80% Guar. Notes 2021	2021/03/10	2021/03/16	2021/03/15
05878	Zhenro Properties Group Ltd. 9.15% Senior Notes 2022	2021/03/11	2021/03/17	2022/03/08
04448	Huasing International Holdings Co., Ltd. 4.50% Guar. N2021	2021/03/17	2021/03/23	2021/03/20
04450	Qinghai Provincial Investment Group Co., Ltd. 7.875% B2021	2021/03/17	2021/03/23	2021/03/22
05619	Carnival Group Int'l Holdings Ltd. 10.00% Senior Bonds 2021	2021/03/17	2021/03/23	2021/03/22
05621	Azure Orbit III Int'l Finance Ltd. 2.625% Guar. Notes 2021	2021/03/17	2021/03/23	2021/03/21
05915	Hong Kong Mortgage Corporation Ltd. 2.09% Notes 2021, The	2021/03/17	2021/03/23	2021/03/21
85733	Greenland Global Investment Ltd. 7.125% CNY Notes 2021	2021/03/17	2021/03/23	2021/03/20
85741	Bank of Communications Co., Ltd. HK Branch 3.40% CNY N2021	2021/03/17	2021/03/23	2021/03/21
04452	Beijing Capital Polaris Invest Co. Ltd. 4.25% Guar. N2021	2021/03/23	2021/03/29	2021/03/26
04451	Jinshine International Co., Ltd. 6.75% Guaranteed Notes 2021	2021/03/24	2021/03/30	2021/03/27
04454	Tongfang Aqua 2017 Ltd. 5.375% Guaranteed Bonds 2021	2021/03/24	2021/03/30	2021/03/29
05932	Mei Nian Investment Ltd. 7.75% Guaranteed Senior Notes 2021	2021/03/30	2021/04/08	2021/04/03
05940	Power Best Global Investments Ltd. 13.00% Senior Notes 2021	2021/03/30	2021/04/08	2021/04/04
85735	CAR Inc. 6.50% CNY Senior Notes 2021	2021/03/30	2021/04/08	2021/04/04
04458	Ease Trade Global Ltd. 5.20% Guaranteed Notes 2021	2021/04/07	2021/04/13	2021/04/10
05639	Ch Energy Reserve & Chem Group 5.55% Guaranteed Bonds 2021	2021/04/09	2021/04/15	2021/04/14
05826	Nexteer Automotive Group Ltd. 5.875% Senior Notes 2021	2021/04/09	2021/04/15	2021/11/15
04510	CITIC Ltd. 6.625% Notes 2021	2021/04/12	2021/04/16	2021/04/15
05726	HLP Finance Ltd. 4.45% Guaranteed Notes 2021	2021/04/13	2021/04/19	2021/04/16
05991	Hong Kong Mortgage Corporation Ltd. 1.89% Notes 2021, The	2021/04/13	2021/04/19	2021/04/16
04459	Bank of China Ltd., Singapore Branch FR Notes 2021	2021/04/14	2021/04/20	2021/04/17
04461	Bank of China Ltd., Luxembourg Branch FR Notes 2021B	2021/04/14	2021/04/20	2021/04/17
04462	Bank of China Ltd., acting thru Sydney branch FR Notes 2021C	2021/04/14	2021/04/20	2021/04/17
04463	China SCE Group Holdings Ltd. 7.45% Senior Notes 2021	2021/04/14	2021/04/20	2021/04/17
04467	Westwood Group Holdings Ltd. 4.875% Guaranteed Notes 2021	2021/04/14	2021/04/20	2021/04/19
04470	Yango (Cayman) Investment Ltd. 9.875% Guar. Senior Notes2021	2021/04/14	2021/04/20	2021/04/19
05969	Bank of China Ltd., Hong Kong Branch 2.45% Notes 2021D	2021/04/14	2021/04/20	2021/04/17
05983	Southwest Securities Int'l Securities Ltd. 6.90% Bonds 2021	2021/04/14	2021/04/20	2021/04/17
05434	Zhejiang Expressway Co. Ltd. Zero Coupon Conv. Bonds 2022	2021/04/15	2021/04/21	2022/04/21
05628	Sunshine Life Insurance Corporation Ltd. 3.15% Notes 2021	2021/04/15	2021/04/21	2021/04/20
04469	Jingrui Holdings Ltd. 9.45% Senior Notes 2021	2021/04/20	2021/04/26	2021/04/23
04473	CIFI Holdings (Group) Co. Ltd. 6.875% Senior Notes 2021	2021/04/20	2021/04/26	2021/04/23
04474	CICC Hong Kong Finance 2016 MTN Ltd. FR Notes 2021	2021/04/21	2021/04/27	2021/04/25
04477	Yuexiu REIT MTN Co. Ltd. 4.75% Guaranteed Notes 2021	2021/04/22	2021/04/28	2021/04/27
05440	Huarong Finance 2017 Co., Ltd. 3.20% Guar. Notes 2021	2021/04/22	2021/04/28	2021/04/27
04513	CNPC (HK) Overseas Capital 4.50% Guaranteed Senior N 2021	2021/04/23	2021/04/29	2021/04/28
05636	Sinopec Grp Overseas Dev (2016) Ltd. 2.75% Senior Notes 2021	2021/04/28	2021/05/04	2021/05/03
40213	Times China Holdings Ltd. 6.00% Senior Notes 2021	2021/04/30	2021/05/06	2021/05/05
04485	Yuzhou Group Holdings Co. Ltd. 7.90% Senior Notes 2021	2021/05/06	2021/05/12	2021/05/11
05644	Rail Transit Int'l Investment Co. Ltd.2.875% Guar. Bonds2021	2021/05/10	2021/05/14	2021/05/13
04325	Hong Kong Mortgage Corporation Ltd. 1.98% Notes 2021, The	2021/05/12	2021/05/18	2021/05/17
04488	ICBCIL Finance Co. Ltd. Floating Rate Notes 2021B	2021/05/12	2021/05/18	2021/05/15
04492	Guang Ying Investment Ltd. 4.25% Guaranteed Bonds 2021	2021/05/12	2021/05/18	2021/05/16

Withdrawal of listed debt securities *(continued)*

Code	Stock name	Last trading date	Delisted date	Maturity date
04493	Bank of Communications Co., Ltd. HK Branch FR Notes 2021	2021/05/12	2021/05/18	2021/05/17
05649	State Grid Overseas Invest(2016)Ltd.2.125% Senior Guar.N2021	2021/05/13	2021/05/20	2021/05/18
05647	ICBCIL Finance Co. Ltd. 2.75% Notes 2021	2021/05/14	2021/05/21	2021/05/19
40226	Industrial Investment Overseas Ltd. 3.80% Guar Notes 2021	2021/05/14	2021/05/21	2021/05/20
04076	Hong Kong Monetary Authority 1.70% Exchange Fund Notes 2021	2021/05/18	2021/05/24	2021/05/24
05100	Kunzhi Ltd. Floating Rate Guaranteed Bonds 2021	2021/05/17	2021/05/24	2021/05/21
86618	Ministry of Finance of the PRC 3.80% CNY Bonds 2021	2021/05/18	2021/05/24	2021/05/22
04442	China Minmetals Corporation Senior Perpetual Capital Sec B	2021/05/18	2021/05/25	–
04497	AVIC Int'l Finance & Investment Ltd. 4.375% Guar. Bonds 2021	2021/05/18	2021/05/25	2021/05/23
04532	Oceanwide Hldgs Int'l Dev III Co Ltd 14.50%Guar Senior N2021	2021/05/18	2021/05/25	2021/05/23
40001	Hilong Holding Ltd. 8.25% Senior Notes 2022	2021/05/24	2021/05/28	2022/09/26
04553	China Development Bank Floating Rate Notes 2021	2021/05/26	2021/06/01	2021/05/31
05654	Sun Hung Kai & Co. (BVI) Ltd. 4.75% Notes 2021	2021/05/26	2021/06/01	2021/05/31
05656	CCBL (Cayman) 1 Corporation Ltd. 2.75% Guar. Notes 2021	2021/05/26	2021/06/01	2021/05/31
04498	Zhongsheng Group Holdings Ltd. Zero Coupon Conv. Bonds 2023	2021/05/27	2021/06/02	2023/05/23
05106	China Overseas Grand Oceans Finance IV 4.875% Guar. N2021	2021/05/27	2021/06/02	2021/06/01
05108	China Merchants Bank Co., Ltd., HK Branch FR Notes 2021	2021/05/27	2021/06/02	2021/06/01
05659	China Development Bank 2.125% Notes 2021 A	2021/05/27	2021/06/02	2021/06/01
05661	China Development Bank 0.50% Notes 2021 B	2021/05/27	2021/06/02	2021/06/01
05662	361 Degrees International Ltd. 7.25% Senior Notes 2021	2021/05/31	2021/06/04	2021/06/03
05664	Huarong Finance II Co., Ltd. 3.25% Guaranteed Notes 2021	2021/05/31	2021/06/04	2021/06/03
05109	Times China Holdings Ltd. 7.85% Senior Notes 2021	2021/06/01	2021/06/07	2021/06/04
04560	Henderson Land MTN Ltd. Fixed Rate Notes 2021	2021/06/02	2021/06/08	2021/06/05
05114	Bank of China Ltd., London Branch Floating Rate Notes 2021	2021/06/02	2021/06/08	2021/06/07
05111	China Construction Bank Corporation, HK Branch FR Notes 2021	2021/06/03	2021/06/09	2021/06/08
05063	Shandong Iron and Steel Xinheng Int'l 6.50% Guar. B2021	2021/06/09	2021/06/16	2021/06/14
05116	China Everbright Bank Co., Ltd., HK Branch FR Notes 2021	2021/06/09	2021/06/16	2021/06/13
05117	Qingdao Jiaozhou Bay Development Group Co., Ltd. 6.50% B2021	2021/06/09	2021/06/16	2021/06/14
05669	CSCEC Finance (Cayman) II Ltd. 2.70% Guaranteed Notes 2021	2021/06/09	2021/06/16	2021/06/14
04016	Hong Kong Monetary Authority 2.31% Exchange Fund Notes 2021	2021/06/16	2021/06/21	2021/06/21
05765	CIFI Holdings (Group) Co. Ltd. 7.625% Senior Notes 2023	2021/06/15	2021/06/21	2023/02/28
05064	VCREDIT Holdings Ltd. 11.00% Senior Notes 2021	2021/06/16	2021/06/22	2021/06/20
05118	ICBC (Asia) Ltd. Floating Rate Green Bonds 2021	2021/06/16	2021/06/22	2021/06/21
05292	Jinke Property Group Co., Ltd. 8.375% Notes 2021	2021/06/16	2021/06/22	2021/06/20
05677	ABCL Glory Capital Ltd. 2.50% Guaranteed Notes 2021	2021/06/16	2021/06/22	2021/06/21
04496	Excel Capital Global Ltd. Senior Perpetual Capital Sec	2021/06/17	2021/06/23	–
04483	MCC Holding (Hong Kong) Corp Ltd. 4.95% Senior Guar Perp Sec	2021/06/18	2021/06/24	–
40286	Jiayuan International Group Ltd. 11.75% Senior Notes 2021	2021/06/18	2021/06/24	2021/06/23
86655	Ministry of Finance of the PRC 2.95% CNY Bonds 2021	2021/06/21	2021/06/24	2021/06/24
05365	Nuoxi Capital Ltd. 7.875% Guaranteed Bonds 2021	2021/06/21	2021/06/25	2021/06/24
40283	Yincheng International Holding Co., Ltd. 12.00% Senior N2021	2021/06/22	2021/06/28	2021/06/25
05123	Horse Gallop Finance Ltd. Floating Rate Guar. Notes 2021	2021/06/23	2021/06/29	2021/06/28
40272	Zhongliang Holdings Group Co. Ltd. 8.75% Senior Notes 2021	2021/06/23	2021/06/29	2021/06/27
40287	Mega Wisdom Global Ltd. 5.625% Guaranteed Notes 2021	2021/06/23	2021/06/29	2021/06/28
86638	Ministry of Finance of the PRC 3.25% CNY Bonds 2021	2021/06/29	2021/07/05	2021/07/04
05125	Far East Horizon Ltd. Floating Rate Notes 2021	2021/06/29	2021/07/06	2021/07/03
05126	Huarong Finance 2017 Co., Ltd. Guaranteed FR Notes 2021	2021/06/29	2021/07/06	2021/07/03

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05997	New Momentum International Ltd. 9.50% Guaranteed Bonds 2021	2021/06/29	2021/07/06	2021/07/05
04519	Wakala Global Sukuk Berhad USD Trust Certificates 2021	2021/06/30	2021/07/07	2021/07/06
05928	Zhenro Properties Group Ltd. 8.65% Senior Notes 2023	2021/07/05	2021/07/09	2023/01/21
85925	Zhenro Properties Group Ltd. 7.40% CNY Senior Notes 2021	2021/07/05	2021/07/09	2021/08/12
04520	CLP Power Hong Kong Financing Ltd. 4.75% Notes 2021	2021/07/07	2021/07/13	2021/07/12
06024	DaFa Properties Group Ltd. 12.875% Senior Notes 2021	2021/07/07	2021/07/13	2021/07/11
05128	Legend Fortune Ltd. Floating Rate Guaranteed Notes 2021	2021/07/13	2021/07/19	2021/07/16
05129	CDBL Funding 2 Guaranteed Floating Rate Notes 2021	2021/07/14	2021/07/20	2021/07/18
05412	Greenland Hong Kong Holdings Ltd. 6.00% Bonds 2021	2021/07/14	2021/07/20	2021/07/17
05684	Shenzhen Expressway Co. Ltd. 2.875% Bonds 2021	2021/07/14	2021/07/20	2021/07/18
05687	RH International Finance Ltd. 3.875% Guaranteed Notes 2021	2021/07/15	2021/07/21	2021/07/20
40322	Huarong Leasing Management HK Co. Ltd. 2.50% Notes 2021	2021/07/16	2021/07/22	2021/07/21
40011	Haiguo Xintai Capital Ltd. 4.30% Guaranteed Bonds 2022	2021/07/20	2021/07/26	2022/10/08
05688	Minmetals Bounteous Finance (BVI) Ltd 3.125% Guar Bonds 2021	2021/07/22	2021/07/28	2021/07/27
05686	ICBC (Asia) Ltd. 4.25% Non-Cumulative Sub Add Tier 1 CapSec	2021/07/26	2021/07/30	–
40327	Xinzhan Ltd. 6.50% Guaranteed Notes 2021	2021/07/26	2021/07/30	2021/07/29
05131	Sino-Ocean Land Treasure IV Ltd. FR Guaranteed Notes 2021	2021/07/28	2021/08/03	2021/07/31
05132	Gansu Provincial Highway Aviation Tourism Invest 6.25% B2021	2021/07/28	2021/08/03	2021/08/02
05133	Trade Horizon Global Ltd. Floating Rate Guar. Notes 2021	2021/07/28	2021/08/03	2021/08/02
05694	CDBI Treasure I Ltd. 2.25% Guaranteed Notes 2021	2021/07/28	2021/08/03	2021/08/02
04209	The Govt of the HKSAR of the PRC 2.46% Bonds 2021	2021/07/30	2021/08/04	2021/08/04
40336	Haimen Zhongnan Invest Dev (Int'l) 9.00% Guar Senior N2021	2021/07/29	2021/08/04	2021/08/03
05624	Dexin China Holdings Co. Ltd. 12.875% Senior Notes 2021	2021/08/03	2021/08/09	2021/08/06
05137	Bank of China Ltd., Macau Branch Floating Rate Notes 2021	2021/08/04	2021/08/10	2021/08/09
05138	KWG Group Holdings Ltd. 7.875% Senior Notes 2021	2021/08/04	2021/08/10	2021/08/09
05139	Wuhan Urban Construction Group Co., Ltd. 5.70% Bonds 2021	2021/08/04	2021/08/10	2021/08/09
05255	Shanghai Port Gp (BVI) Zero Coupon Guar Exchangeable B2021	2021/08/04	2021/08/10	2021/08/09
40345	Central Plaza Development Ltd. 4.50% Guaranteed Notes 2021	2021/08/04	2021/08/10	2021/08/09
05696	Sunshine 100 China Holdings Ltd. 6.50% Convertible Bonds2021	2021/08/06	2021/08/12	2021/08/11
04077	Hong Kong Monetary Authority 1.48% Exchange Fund Notes 2021	2021/08/18	2021/08/23	2021/08/23
05145	BCEG (HongKong) Co. Ltd. 5.75% Guaranteed Bonds 2021	2021/08/17	2021/08/23	2021/08/20
05762	Zhenro Properties Group Ltd. 9.80% Senior Notes 2021	2021/08/17	2021/08/23	2021/08/20
04300	CALC Bond 2 Ltd. 4.90% Guaranteed Bonds 2021	2021/08/18	2021/08/24	2021/08/22
04301	Chinalco Capital Holdings Ltd. 4.00% Guaranteed Bonds 2021	2021/08/20	2021/08/26	2021/08/25
05146	Zhejiang Baron (BVI) Co. Ltd. 6.80% Guaranteed Bonds 2021	2021/08/24	2021/08/30	2021/08/27
04304	Charming Light Investments Ltd. 2.375% Notes 2021	2021/08/25	2021/08/31	2021/08/30
05147	Fufeng Group Ltd. 5.875% Bonds 2021	2021/08/25	2021/08/31	2021/08/28
05764	Times China Holdings Ltd. 7.625% Senior Notes 2022	2021/08/25	2021/08/31	2022/02/21
04309	RKI Overseas Finance 2016 (B) Ltd. 4.70% Guar. Senior N2021	2021/09/01	2021/09/07	2021/09/06
05149	Lingang Wings Inc 4.625% Guaranteed Notes 2021	2021/09/01	2021/09/07	2021/09/05
05150	Huafa Group 2018 I Co. Ltd. 5.40% Guaranteed Bonds 2021	2021/09/02	2021/09/08	2021/09/07
05151	Chalco HK Investment Co. Ltd. 4.875% Guar. Senior Bonds 2021	2021/09/02	2021/09/08	2021/09/07
04310	Far East Consortium International Ltd. 3.75% Notes 2021	2021/09/03	2021/09/09	2021/09/08
85990	Sinochem Offshore Cap Co. Ltd. 4.00% Senior Guar. CNY N2021	2021/09/07	2021/09/13	2021/09/10
05152	Granda Century Ltd. 7.50% Guaranteed Bonds 2021	2021/09/08	2021/09/14	2021/09/11
05155	CICC Hong Kong Finance 2016 MTN Ltd. FR Notes 2021	2021/09/08	2021/09/14	2021/09/11
05156	Capital Environment Holdings Ltd. 5.625% Notes 2021	2021/09/08	2021/09/14	2021/09/11

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05157	Chongqing Wansheng Econ & Tech 4.40% Credit Enhanced B2021	2021/09/08	2021/09/14	2021/09/12
05158	Anhui Transportation Hldg Gp (HK) Ltd 4.875% Guar. B2021	2021/09/08	2021/09/14	2021/09/13
40127	Beijing Energy Int'l Holding Co., Ltd. 8.00% Senior N2022	2021/09/08	2021/09/14	2022/01/20
40376	Wending Zhongyuan Co. Ltd. 5.20% Guaranteed Bonds 2021	2021/09/08	2021/09/14	2021/09/12
04324	Standard Chartered PLC 0.523% Fixed Rate Bonds 2021	2021/09/10	2021/09/16	2021/09/15
04508	Yestar Healthcare Holdings Co. Ltd. 6.90% Senior Notes 2021	2021/09/10	2021/09/16	2021/09/15
06012	ICBC, HK Branch 2.20% Notes 2021	2021/09/13	2021/09/17	2021/09/16
04322	Union Life Insurance Co., Ltd. 3.00% Notes 2021	2021/09/15	2021/09/21	2021/09/19
04326	Emperor International Holdings Ltd. 4.00% Notes 2021	2021/09/15	2021/09/21	2021/09/19
05165	Expand Lead Ltd. 6.40% Guaranteed Bonds 2021	2021/09/15	2021/09/21	2021/09/18
05168	Inventive Global Investments Ltd. 3.875% Notes 2021	2021/09/15	2021/09/21	2021/09/19
05169	Inventive Global Investments Ltd. Floating Rate Notes 2021B	2021/09/15	2021/09/21	2021/09/19
05170	AIA Group Ltd. Floating Rate Notes 2021	2021/09/15	2021/09/21	2021/09/20
05171	China Everbright Bank Co., Ltd., HK Branch FR Notes 2021	2021/09/15	2021/09/21	2021/09/19
05172	Xingsheng (BVI) Co. Ltd. 4.50% Guaranteed Bonds 2021	2021/09/15	2021/09/21	2021/09/20
04321	Huarong Finance II Co Ltd. Unsubordinated Guar Perpetual Sec	2021/09/16	2021/09/23	–
04517	Industrial Bank Co., Ltd. Hong Kong Branch 2.375% Notes 2021	2021/09/16	2021/09/23	2021/09/21
05283	AMTD Group Co. Ltd. 6.30% Notes 2021	2021/09/17	2021/09/24	2021/09/22
40392	Yancheng High-tech Zone Inv Gp Co Ltd 2.98% Credit Enh B2021	2021/09/17	2021/09/24	2021/09/23
05173	China Construction Bank Corporation, HK Branch FR Notes 2021	2021/09/20	2021/09/27	2021/09/24
05175	Shanghai Pudong Dev Bank Co., Ltd., HK Branch FR Notes 2021	2021/09/20	2021/09/27	2021/09/24
05122	Greenland Global Investment Ltd. Floating Rate Notes 2021	2021/09/21	2021/09/28	2021/09/26
05174	SCIG International Financial Ltd. 4.625% Guar. Notes 2021	2021/09/21	2021/09/28	2021/09/26
05176	SIHC International Capital Ltd. 3.95% Guaranteed Notes 2021	2021/09/21	2021/09/28	2021/09/26
05178	China Merchants Bank Co., Ltd., HK Branch FR Notes 2021	2021/09/21	2021/09/28	2021/09/26
05180	Agricultural Development Bank of China FR Bonds Sep 2021	2021/09/21	2021/09/28	2021/09/27
05181	CSSC Capital One Ltd. 4.125% Credit Enhanced Bonds 2021	2021/09/21	2021/09/28	2021/09/27
05922	Huayuan Property Co., Ltd. 8.50% Senior Notes 2021	2021/09/21	2021/09/28	2021/09/27
40004	China Logistics Property Holdings Co. Ltd 8.75% Senior N2021	2021/09/21	2021/09/28	2021/09/25
40005	Zhongliang Holdings Group Co. Ltd. 11.50% Senior Notes 2021	2021/09/21	2021/09/28	2021/09/26
40010	Green Fortune Capital Ltd. 8.00% Guaranteed Bonds 2021	2021/09/21	2021/09/28	2021/09/27
40398	Goldensep Investment Co. Ltd. 1.00% Guar. Convertible B2021	2021/09/23	2021/09/29	2021/09/28
04524	Sinopec Gp Overseas Dev (2016) Ltd. 2.00% Senior N2021	2021/09/24	2021/09/30	2021/09/29
04545	ICBCIL Finance Co. Ltd. 2.50% Notes 2021	2021/09/24	2021/09/30	2021/09/29
04556	Bluestar Finance Holdings Ltd. 3.50% Senior Guar Notes 2021	2021/09/27	2021/10/04	2021/09/30
05943	Jingrui Holdings Ltd. 10.875% Senior Notes 2021	2021/09/28	2021/10/05	2021/10/04
40012	Zensun Enterprises Ltd. 12.80% Senior Notes 2021	2021/09/28	2021/10/05	2021/10/03
05140	Sands China Ltd. 4.60% Senior Notes 2023	2021/09/30	2021/10/07	2023/08/08
05725	Sands China Ltd. 4.60% Senior Notes 2023B	2021/09/30	2021/10/07	2023/08/08
04577	TVB Finance Ltd. 3.625% Guaranteed Notes 2021	2021/10/06	2021/10/12	2021/10/11
04588	Jinan West City Invest and Dev Group Co., Ltd. 3.125% B2021	2021/10/06	2021/10/12	2021/10/11
05809	Bank of Communications Co., Ltd. Tier 2 Capital Bonds 2026	2021/10/06	2021/10/12	2026/10/03
04561	NWD Finance (BVI) Ltd. Guaranteed Senior Perpetual CapSec	2021/10/08	2021/10/15	–
04575	Vanke Real Estate (Hong Kong) Co. Ltd. 2.95% Guar. Notes2021	2021/10/11	2021/10/18	2021/10/14
05709	Treasure Generator Ltd. 4.75% Guaranteed Notes 2021	2021/10/11	2021/10/18	2021/10/14
05186	Anhui Provincial Investment Group Holding 4.875% Bonds 2021	2021/10/12	2021/10/19	2021/10/18
05187	Yieldking Investment Ltd. 4.8% Notes 2021	2021/10/12	2021/10/19	2021/10/18

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05188	Zijin International Capital Co. Ltd. 5.282% Guar. Notes 2021	2021/10/12	2021/10/19	2021/10/18
40020	Bank of China Ltd., Macau Branch Zero Coupon Notes 2021	2021/10/12	2021/10/19	2021/10/16
40413	Sinic Holdings (Group) Co., Ltd. 9.50% Senior Notes 2021	2021/10/12	2021/10/19	2021/10/18
85907	Bank of China Ltd., Macau Branch 3.15% CNY Notes 2021	2021/10/12	2021/10/19	2021/10/16
04594	Ch CITIC Bank 4.25% Undated Non-Cum Sub Add Tier 1 CapSec A	2021/10/15	2021/10/21	–
05711	State Elite Global Ltd. 2.25% Fixed Rate Notes 2021	2021/10/15	2021/10/21	2021/10/20
40421	Weifang Binhai Investment Development Co Ltd 5.00% Bonds2021	2021/10/18	2021/10/22	2021/10/21
05189	Weifang Urban Construction and Dev Inv Group 6.50% Bonds2021	2021/10/20	2021/10/26	2021/10/23
05192	SDG Finance I Ltd. 5.25% Guaranteed Bonds 2021	2021/10/20	2021/10/26	2021/10/23
05753	Haitong Int'l Securities Group Ltd. Zero Coupon Conv B2021	2021/10/20	2021/10/26	2021/10/25
40436	Jiangning Jingkai Overseas Investment Co., Ltd. 3.15% B2021	2021/10/21	2021/10/27	2021/10/26
05995	China Great Wall Int'l Hldgs III Ltd. 2.625% Guar. Notes2021	2021/10/22	2021/10/28	2021/10/27
04566	Perfect Point Ventures Ltd. 6.35% Guaranteed Notes 2022	2021/10/26	2021/11/01	2022/06/06
05193	SPIC LU Latin America Renewable Energy 4.25% Guar Bonds 2021	2021/10/27	2021/11/02	2021/10/30
05196	Oceanwide Hldgs Int'l Dev III Co Ltd 12.00%Guar Senior N2021	2021/10/27	2021/11/02	2021/10/31
05731	Azure Nova International Finance Ltd. 2.625% Guar Notes 2021	2021/10/27	2021/11/02	2021/11/01
40045	Redsun Properties Group Ltd. 13.00% Senior Notes 2021	2021/10/27	2021/11/02	2021/10/30
85738	Sinopec Century Bright Capital Invest 4.50% CNY Notes 2021	2021/10/27	2021/11/02	2021/10/31
05865	China Development Bank HK Branch 1.875% Notes 2021	2021/10/29	2021/11/04	2021/11/03
05927	Bright Galaxy International Ltd. 3.375% Guar. Bonds 2021	2021/10/29	2021/11/04	2021/11/03
40448	Yincheng International Holding Co., Ltd. 12.00% Senior N2021	2021/10/29	2021/11/04	2021/11/03
40456	Mega Wisdom Global Ltd. 5.40% Guaranteed Notes 2021	2021/10/29	2021/11/04	2021/11/03
05025	Agricultural Bank of China Ltd., HK Branch FR Notes 2021	2021/11/03	2021/11/09	2021/11/07
05090	Chang Development International Ltd. 5.70% Guar. Bonds 2021	2021/11/03	2021/11/09	2021/11/08
05197	Taizhou Urban Construction and Invest Dev Group 5.80% B2021	2021/11/03	2021/11/09	2021/11/06
05198	Soar Wise Ltd. 4.625% Guaranteed Notes 2021	2021/11/03	2021/11/09	2021/11/06
40797	Universe Trek Ltd. Zero Coupon Guaranteed Convertible B2026	2021/11/03	2021/11/09	2026/08/05
05300	Ch Yangtze Power Int'l(BVI) 1 Zero Coupon Exchangeable N2021	2021/11/04	2021/11/10	2021/11/09
05301	Ch Yangtze Power Int'l(BVI) 2 Zero Coupon Exchangeable N2021	2021/11/04	2021/11/10	2021/11/09
05902	The Bank of East Asia, Ltd. 4.00% Tier 2 Cap Dated Sub N2026	2021/11/08	2021/11/12	2026/11/03
05206	Chengdu Hi-tech Investment Group Co., Ltd. 6.28% Notes 2021	2021/11/10	2021/11/16	2021/11/14
05223	Hunan Xiangjiang New Area Dev Group Co., Ltd. 5.70% B2021	2021/11/10	2021/11/16	2021/11/15
05230	CDBL Funding 1 Floating Rate KW Notes 2021	2021/11/10	2021/11/16	2021/11/15
05920	Chalco HK Investment Co. Ltd. 4.25% Senior Perpetual Cap Sec	2021/11/10	2021/11/16	–
05020	China Development Bank 0.375% Notes 2021	2021/11/11	2021/11/17	2021/11/16
05239	Huayuan Property Co., Ltd. 11.00% Senior Notes 2021	2021/11/11	2021/11/17	2021/11/16
40470	Zhenro Properties Group Ltd. 5.95% Senior Notes 2021	2021/11/15	2021/11/19	2021/11/18
40472	Hong Kong Mortgage Corporation Ltd. FR Notes 2021, The	2021/11/15	2021/11/19	2021/11/18
85700	China Construction Bank (Asia) Corp Ltd.3.95% CNY Notes 2021	2021/11/15	2021/11/19	2021/11/18
04078	Hong Kong Monetary Authority 1.63% Exchange Fund Notes 2021	2021/11/17	2021/11/22	2021/11/22
05281	Industrial Bank Co., Ltd. Hong Kong Branch FR Notes 2021	2021/11/17	2021/11/23	2021/11/20
05307	Huarong Finance II Co., Ltd. 3.625% Guaranteed Notes 2021	2021/11/17	2021/11/23	2021/11/22
05399	Sichuan Communications Overseas Dev Co 4.75% Guar Bonds 2021	2021/11/17	2021/11/23	2021/11/21
05450	Bank of China Ltd., Macau Branch FR Notes 2021	2021/11/17	2021/11/23	2021/11/20
05452	Everbright SHK (BVI) Ltd. 5.25% Guaranteed Notes 2021	2021/11/17	2021/11/23	2021/11/21
85739	Bank of China Ltd., Hong Kong Branch 4.45% CNY Notes 2021	2021/11/17	2021/11/23	2021/11/20
40491	Rowen International Co., Ltd. 6.80% Guaranteed Bonds 2021	2021/11/19	2021/11/25	2021/11/24

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40492	Longchuang Group Ltd. 2.78% Credit Enhanced Guar. Bonds 2021	2021/11/19	2021/11/25	2021/11/24
05303	SDSC Global Finance Ltd. Senior Guar. Perpetual Capital Sec	2021/11/22	2021/11/26	–
05455	Agricultural Development Bank of China FR Bonds Nov 2021	2021/11/23	2021/11/29	2021/11/26
05898	Chongqing Energy Investment Group Co., Ltd. 5.625% B2022	2021/11/23	2021/11/29	2022/03/18
05313	CMBLEMTN 1 Ltd. 3.25% Guaranteed Notes 2021	2021/11/24	2021/11/30	2021/11/29
05314	Chengdu Xingcheng Investment Group Co., Ltd. 3.25% Bonds2021	2021/11/24	2021/11/30	2021/11/29
05457	Yunnan Energy Inv Overseas Finance Co Ltd 6.25% Guar. B2021	2021/11/24	2021/11/30	2021/11/29
05460	Haichuan Int'l Investment Co., Ltd. 7.50% Guar. Bonds 2021	2021/11/24	2021/11/30	2021/11/29
05461	Yancoal Int'l Res Dev Co., Ltd. 6.00% Senior Guar. Bonds2021	2021/11/24	2021/11/30	2021/11/29
05591	Alibaba Group Holding Ltd. 3.125% Senior Notes 2021B	2021/11/24	2021/11/30	2021/11/28
05843	Alibaba Group Holding Ltd. 3.125% Senior Notes 2021	2021/11/24	2021/11/30	2021/11/28
40494	Jingjiang Port (Group) Co., Ltd. 2.78% Credit Enhanced B2021	2021/11/24	2021/11/30	2021/11/29
85915	Zhuhai Da Heng Qin Group Co., Ltd. 4.60% CNY Bonds 2021	2021/11/24	2021/11/30	2021/11/27
04021	Hong Kong Monetary Authority 1.19% Exchange Fund Notes 2021	2021/12/01	2021/12/06	2021/12/06
05324	SPIC 2016 US dollar Bond Co. Ltd. 3.00% Guar. Bonds 2021	2021/12/01	2021/12/07	2021/12/06
05464	Eastern Creation II Invest Hldgs Ltd. 4.15% Guar. Notes 2021	2021/12/01	2021/12/07	2021/12/04
05465	Hongkong Baorong Development Ltd. 6.25% Guar. Notes 2021	2021/12/01	2021/12/07	2021/12/04
05466	Gansu Province Electric Power Invest Group Co Ltd 6.25%B2021	2021/12/01	2021/12/07	2021/12/04
05469	Hangzhou Fuyang Chengtou Group (HK) Ltd. 6.80% Guar. B2021	2021/12/01	2021/12/07	2021/12/05
05470	Shandong Iron and Steel Xinheng Int'l 8.50% Guar. Bonds 2021	2021/12/01	2021/12/07	2021/12/05
05471	Powerchina Real Estate Group Ltd. 4.50% Guar. Notes 2021	2021/12/01	2021/12/07	2021/12/06
04529	Skysea Int'l Cap Management Ltd.4.875% Guaranteed Notes 2021	2021/12/02	2021/12/08	2021/12/07
05323	GUANGZHOU Silk Road Investment Ltd. 3.85% Guar. Bonds 2021	2021/12/02	2021/12/08	2021/12/07
05089	Bluestar Finance Holdings Ltd. Senior Guar. Perpetual Sec A	2021/12/03	2021/12/09	–
05107	FPC Capital Ltd. 5.75% Guaranteed Bonds 2025	2021/12/03	2021/12/09	2025/05/30
05316	Dah Sing Bank, Ltd. Tier 2 Dated Subordinated Notes 2026	2021/12/03	2021/12/09	2026/11/30
40506	Jiangsu Zhongguancun Hldg Group 2.75% Credit Enhanced B2021	2021/12/06	2021/12/10	2021/12/09
05473	CITIC Securities Finance MTN Co., Ltd. 4.25% Guar. Notes2021	2021/12/07	2021/12/13	2021/12/10
05474	Huaibei City Construction Invest 5.20% Credit Enhanced B2021	2021/12/07	2021/12/13	2021/12/10
85740	Huzhou Communications Invest Group Co., Ltd. 5.90% CNY N2021	2021/12/07	2021/12/13	2021/12/10
86643	Ministry of Finance of the PRC 3.55% CNY Bonds 2021	2021/12/08	2021/12/13	2021/12/12
05327	Yunnan Energy Inv Overseas Finance Co Ltd 4.25% Guar. B2021	2021/12/08	2021/12/14	2021/12/13
05462	Ch State Construct Fin(Cayman) I Ltd.Senior Guar Perp SecCap	2021/12/08	2021/12/14	–
05477	China Development Bank Floating Rate Notes 2021	2021/12/08	2021/12/14	2021/12/12
05480	Zhuji Development Ltd. 7.00% Guaranteed Bonds 2021	2021/12/08	2021/12/14	2021/12/11
05481	Jinan Hi-tech Holding Group Co., Ltd. 6.40% Bonds 2021	2021/12/08	2021/12/14	2021/12/13
05472	Franshion Brilliant Ltd. Senior Guar. Perpetual Capital Sec	2021/12/09	2021/12/15	–
05484	Nuoxi Capital Ltd. 4.75% Guaranteed Bonds 2021	2021/12/09	2021/12/15	2021/12/14
05671	CITIC Ltd. 2.80% Notes 2021	2021/12/09	2021/12/15	2021/12/14
05486	Guangzhou Metro Invest Finance (BVI) Ltd. 4.30% Guar N2021	2021/12/15	2021/12/21	2021/12/18
05487	Zhoushan City Investment Group Corporation Ltd. 5.90% B2021	2021/12/15	2021/12/21	2021/12/18
05489	Shaoxing City Investment Group Ltd. 5.875% Bonds 2021	2021/12/15	2021/12/21	2021/12/18
05490	Si Fa Investment Ltd. 5.30% Guaranteed Bonds 2021	2021/12/15	2021/12/21	2021/12/19
05491	Eastern Creation II Investment Holdings Ltd. 3.85% Notes2021	2021/12/15	2021/12/21	2021/12/18
05492	Baoji Investment (Group) Co., Ltd. 7.00% Notes 2021	2021/12/15	2021/12/21	2021/12/19
05493	Chengdu Communications Investment Group Corp Ltd 5.125%B2021	2021/12/15	2021/12/21	2021/12/20
05495	Yichang High-Tech Investment Develop Co., Ltd. 7.50% N2021	2021/12/15	2021/12/21	2021/12/20

Withdrawal of listed debt securities *(continued)*

Code	Stock name	Last trading date	Delisted date	Maturity date
05496	Zhengzhou Metro Group Co., Ltd. 5.00% Bonds 2021	2021/12/15	2021/12/21	2021/12/20
05497	Xinhu (BVI) 2018 Holding Co. Ltd. 11.00% Guar. Senior N2021	2021/12/15	2021/12/21	2021/12/20
05494	Yuyao Econ Dev Zone Construction Invest &Dev 7.00%Guar B2021	2021/12/16	2021/12/22	2021/12/21
05498	Wuhan Financial Holdings (Group) Co., Ltd. 5.80% Notes 2021	2021/12/22	2021/12/29	2021/12/27
05504	Shaanxi Xixian New Area Fengxi New City Dev & Cons7.50%B2021	2021/12/22	2021/12/29	2021/12/27
40099	Xingang International Holding Ltd. 7.00% Guar. Bonds 2022	2021/12/22	2021/12/29	2022/12/11

Main Board Debt Securities

Debt securities trading by type of issue, 2017-2021

HK$mil

Year/month		No. of issues	Bank	State	State corporation	Supranational	Other Corporation	Total
2017		1,047	1,246.98	6,478.46	34,805.09	7.07	17,958.70	60,496.31
2018		1,195	1,141.38	5,537.27	29,369.62	0.00	12,345.65	48,393.92
2019		1,388	441.14	2,843.48	28,151.59	0.00	29,255.47	60,691.67
2020		1,574	804.69	5,152.60	25,879.79	43.73	33,663.45	65,544.25
2021		1,747	5,108.54	13,195.68	43,386.39	0.00	42,513.24	104,203.84
2021	Jan	1,612	131.89	444.63	2,355.89	0.00	3,082.54	6,014.96
	Feb	1,632	872.73	332.51	1,857.59	0.00	4,236.32	7,299.15
	Mar	1,638	917.32	432.36	4,485.42	0.00	4,054.19	9,889.29
	Apr	1,656	181.28	244.10	4,268.18	0.00	2,620.80	7,314.35
	May	1,663	24.87	264.79	2,160.13	0.00	1,840.17	4,289.96
	Jun	1,680	224.69	5,434.92	4,149.35	0.00	4,248.95	14,057.91
	Jul	1,713	357.13	2,335.75	2,956.17	0.00	3,269.87	8,918.93
	Aug	1,722	948.09	1,138.47	3,538.94	0.00	3,178.45	8,803.95
	Sep	1,735	464.49	504.95	3,136.08	0.00	4,056.35	8,161.88
	Oct	1,745	494.46	509.27	2,560.96	0.00	3,090.98	6,655.66
	Nov	1,753	298.80	696.71	5,199.08	0.00	5,931.66	12,126.24
	Dec	1,747	192.78	857.22	6,718.61	0.00	2,902.96	10,671.57

Nominal value of listed debt securities as at the end of 2021

Major group	No. of issuers	No. of issues	Nominal amount (HK$mil)
Bank	18	53	223,497.94
State	9	118	590,230.83
State corporation	431	922	3,268,770.68
Other Corporation	185	654	2,214,489.36
Total	**643**	**1,747**	**6,296,988.82**

Listed debt securities, 2017 – 2021

	2017		2018		2019		2020		2021	
Country	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)
Hong Kong	831	3,133,897.88	913	3,476,370.35	1,034	3,878,256.22	1,159	4,199,664.48	1,261	4,502,094.94
PRC	186	741,778.73	257	961,935.70	330	1,147,535.80	375	1,329,856.20	439	1,480,743.26
Foreign	29	156,101.63	24	149,049.63	23	177,871.17	40	265,621.21	47	314,150.62
Supranational	1	1,414.20	1	1,349.88	1	1,320.84	–	–	–	–
Total	**1,047**	**4,033,192.43**	**1,195**	**4,588,705.55**	**1,388**	**5,204,984.02**	**1,574**	**5,795,141.88**	**1,747**	**6,296,988.82**

Newly listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Fund manager	Issue price (HK$)	No. of unit offer	Amount raised (HK$mil)	Subscription rate (times)	Listing date
07248	CSOP FTSE China A50 Index Daily (2x) Leveraged Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/01/20
07348	CSOP FTSE China A50 Index Daily (-1x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/01/20
03109	CSOP STAR 50 Index ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/02/10
03108/83108	Harvest CSI 300 ESG Leaders Index ETF	Harvest Global Investments Limited	n.a.	n.a.	n.a.	n.a.	2021/03/10
03050	Global X China Global Leaders ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/03/11
03058	Global X China Innovator Active ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/03/11
03069/09069	ChinaAMC Hang Seng Hong Kong Biotech Index ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/03/18
02817/82817/09817	Premia China Treasury and Policy Bank Bond Long Duration ETF	Premia Partners Company Limited	n.a.	n.a.	n.a.	n.a.	2021/04/14
03001/83001/09001	Premia China USD Property Bond ETF	Premia Partners Company Limited	n.a.	n.a.	n.a.	n.a.	2021/04/14
03037	CSOP HANG SENG INDEX ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/05/06
03194	CSOP Global Cloud Computing Technology Index ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/05/13
02191	SF Real Estate Investment Trust	SF REIT Asset Management Limited	4.98	520,000,000	2,589.60	5.00	2021/05/17
02814/09814	Samsung NYSE FANG+ ETF	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/05/25
07232	Fubon FTSE Taiwan Daily (2x) Leveraged Product	Fubon Fund Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/05/28
07332	Fubon FTSE Taiwan Daily (-1x) Inverse Product	Fubon Fund Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/05/28
03134	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/06/01
03174	CSOP China Healthcare Disruption Index ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/07/21
03117	Global X China Games and Entertainment ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/07/23
03119	Global X Asia Semiconductor ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/07/23
03124	Global X China E-commerce and Logistics ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/07/23
03151/83151/09151	Premia China STAR50 ETF	Premia Partners Company Limited	n.a.	n.a.	n.a.	n.a.	2021/07/28
03162	CSOP Global Smart Driving Index ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/08/12
07374	CSOP Gold Futures Daily (-1x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/10/20
02829/82829/09829	iShares China Government Bond ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2021/10/21
03125/83125/09125	iShares Short Duration China Policy Bank Bond ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2021/10/21
03132	Samsung Bloomberg Global Semiconductor ETF	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/11/09
03176	Hang Seng China New Economy Index ETF	Hang Seng Investment Management Limited	n.a.	n.a.	n.a.	n.a.	2021/11/11
07345	CSOP WTI Crude Oil Futures Daily (-1x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/12/01
02849	Global X Autonomous and Electric Vehicles ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/12/10
03051	Global X Asia Innovator Active ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/12/10
03185	Global X FinTech ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/12/10
02839/82839/09839	ChinaAMC MSCI China A 50 Connect ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2021/12/13
03003	CSOP MSCI China A 50 Connect ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2021/12/13
03111/83111	E Fund (HK) MSCI China A50 Connect ETF	E Fund Management (Hong Kong) Co., Limited	n.a.	n.a.	n.a.	n.a.	2021/12/14

Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
07205	Samsung HSI Daily (2x) Leveraged Product	2021/01/18	2021/03/18
07228	Samsung HSCEI Daily (2x) Leveraged Product	2021/01/18	2021/03/18
07312	Samsung HSI Daily (-1x) Inverse Product	2021/01/18	2021/03/18
07328	Samsung HSCEI Daily (-1x) Inverse Product	2021/01/18	2021/03/18
07221	ChinaAMC HSI Daily (2x) Leveraged Product	2021/02/16	2021/04/19
07321	ChinaAMC HSI Daily (-1x) Inverse Product	2021/02/16	2021/04/19
03101/83101/09101	Vanguard FTSE Developed Europe Index ETF	2021/05/10	2021/07/30
03126/83126/09126	Vanguard FTSE Japan Index ETF	2021/05/10	2021/07/30
03140/83140/09140	Vanguard S&P 500 Index ETF	2021/05/10	2021/07/30
03170/83170/09170	iShares Core KOSPI 200 ETF	2021/06/18	2021/08/18
01275	New Century Real Estate Investment Trust	2021/04/13	2021/08/31
83074	iShares Core MSCI Taiwan ETF	2021/08/17	2021/08/24
82834	iShares NASDAQ 100 ETF	2021/08/17	2021/08/24
82836	iShares Core S&P BSE SENSEX India ETF	2021/08/17	2021/08/24
02805/82805/09805	Vanguard FTSE Asia ex Japan Index ETF	2021/05/10	2021/10/15
03085/83085/09085	Vanguard FTSE Asia ex Japan High Dividend Yield Index ETF	2021/05/10	2021/10/15
03169/83169/09169	Vanguard Total China Index ETF	2021/05/10	2021/10/15
00625	RREEF China Commercial Trust	2010/04/19	2021/10/26
03016	Xtrackers MSCI Philippines UCITS ETF	2021/08/19	2021/12/08
03019	Xtrackers MSCI World Swap UCITS ETF	2021/08/19	2021/12/08
03027	Xtrackers MSCI Russia Capped Swap UCITS ETF	2021/08/19	2021/12/08
03043	Xtrackers MSCI Pacific ex Japan UCITS ETF	2021/08/19	2021/12/08
03048	Xtrackers MSCI Brazil UCITS ETF	2021/08/19	2021/12/08
03049	Xtrackers CSI300 Swap UCITS ETF	2021/08/19	2021/12/08
03055	Xtrackers MSCI China UCITS ETF	2021/08/19	2021/12/08
03065	Xtrackers MSCI Singapore UCITS ETF	2021/08/19	2021/12/08
03082	Xtrackers MSCI Malaysia UCITS ETF	2021/08/19	2021/12/08
03092	Xtrackers MSCI Thailand UCITS ETF	2021/08/19	2021/12/08
03099	Xtrackers MSCI Indonesia Swap UCITS ETF	2021/08/19	2021/12/08

Individual performance on unit trusts and mutual funds as at the end of 2021

Code	Name	Closing price # 2021 (HK$)	Closing price # 2020 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
Exchange Traded Funds (ETFs)						
03071	A CICC HKD	1,001.600	1,000.600	0.10	2.20	476.55
03051	A GXASINNOVATOR	58.360	–	–	2.87	20.43
03058	A GXCNINNOVATOR	54.160	–	–	273.95	181.44
03011	A ICBCCICCUSD	8,034.200	7,959.400	0.94	6.33	5,235.93
09011	A ICBCCICCUSD-U	US$ 1,029.800	US$ 1,025.900	0.38	14.50	–
03091	A NIKKOAMGAME	106.000	104.150	1.78	22.90	150.63
09091	A NIKKOAMGAME-U	US$ 13.550	US$ 13.440	0.82	33.44	–
02819	ABF HK IDX ETF	100.500	101.950	-1.42	38.82	2,295.92
02821	ABF PAIF	US$ 120.150	US$ 127.650	-5.88	492.89	28,625.32
02843	AMUNDI A50	19.160	20.940	-8.50	50.84	114.96
82843	AMUNDI A50-R	RMB 15.660	RMB 17.560	-10.82	6.64	–
03012	AMUNDI HK35	20.220	21.060	-3.99	24.56	20.22
83012	AMUNDI HK35-R	RMB 16.550	RMB 17.690	-6.44	46.66	–
02832	BOSERA STAR50	11.750	10.300	14.08	34.00	47.00
82832	BOSERA STAR50-R	RMB 9.610	RMB 8.640	11.23	38.61	–
03121	CAM AP REAL EST	8.955	9.745	-8.11	21.92	8.06
03145	CAM ASIA HIGHDV	10.820	10.390	4.14	36.50	652.45
03141	CAM ASIA IGB	16.150	16.560	-2.48	812.95	1,360.64
09141	CAM ASIA IGB-U	US$ 2.068	US$ 2.134	-3.09	14.80	–
03188	CAM CSI300	61.560	62.760	-1.91	78,852.49	19,936.21
83188	CAM CSI300-R	RMB 50.320	RMB 52.620	-4.37	6,100.98	–
02813	CAM CTPB BOND	130.650	124.600	4.86	22.69	19.60
82813	CAM CTPB BOND-R	RMB 106.650	RMB 104.200	2.35	17.94	–
09813	CAM CTPB BOND-U	US$ 16.760	US$ –	–	0.51	–
03165	CAM EU QLTY HDG	16.880	13.690	23.30	69.94	28.70
03143	CAM HK BANKS	6.810	6.685	1.87	118.66	83.08
03088	CAM HS TECH	7.155	10.600	-32.50	3,690.65	821.39
09088	CAM HS TECH-U	US$ 0.916	US$ 1.364	-32.84	6.77	–
03069	CAM HSBIOTECH	16.560	–	–	1,192.07	1,189.01
09069	CAM HSBIOTECH-U	US$ 2.116	US$ –	–	0.10	–
03160	CAM JAPAN HDG	13.830	12.080	14.49	78.21	421.82
02839	CAM MSCI A50	33.320	–	–	779.41	1,399.44
82839	CAM MSCI A50-R	RMB 27.240	RMB –	–	146.35	–
09839	CAM MSCI A50-U	US$ 4.272	US$ –	–	42.26	–
03197	CAM MSCI AINC	14.140	14.670	-3.61	8.55	14.14
83197	CAM MSCI AINC-R	RMB 11.550	RMB 12.300	-6.10	2.34	–
03086	CAM NASDAQ100	33.160	25.880	28.13	367.38	1,926.60
09086	CAM NASDAQ100-U	US$ 4.254	US$ 3.342	27.29	10.77	–
03157	CAM SCSMALLCAP	24.560	27.100	-9.37	18.45	49.12
03079	CICC CGB	138.650	130.200	6.49	6.08	97.06
83079	CICC CGB-R	RMB 113.200	RMB 109.100	3.76	4.63	–
03186	CICCKRANECNET	53.640	104.300	-48.57	58.32	20.12
83186	CICCKRANECNET-R	RMB 43.860	RMB 87.600	-49.93	38.53	–

Individual performance on unit trusts and mutual funds as at the end of 2021

(continued)

Code	Name	Closing price # 2021 (HK$)	Closing price # 2020 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
09186	CICCKRANECNET-U	US$ 6.880	US$ 13.420	-48.73	15.29	–
03093	CICCSELECT100	13.580	12.750	6.51	71.81	61.11
83093	CICCSELECT100-R	RMB 11.100	RMB 10.690	3.84	106.93	–
02822	CSOP A50 ETF	17.800	19.730	-9.78	52,472.22	12,673.60
82822	CSOP A50 ETF-R	RMB 14.530	RMB 16.520	-12.05	3,944.43	–
03147	CSOP CHINEXT	14.390	12.480	15.30	2,425.63	820.23
83147	CSOP CHINEXT-R	RMB 11.780	RMB 10.410	13.16	164.72	–
03194	CSOP CLOUD ETF	17.090	–	–	128.54	44.15
03193	CSOP CSI 5G	8.585	8.025	6.98	63.55	15.45
03134	CSOP CSI PV	11.420	–	–	962.75	162.16
03199	CSOP CTPBBOND	127.350	123.000	3.54	66.42	6,240.15
83199	CSOP CTPBBOND-R	RMB 103.900	RMB 102.400	1.46	422.91	–
03174	CSOP HEALTHCARE	4.792	–	–	154.74	60.68
03053	CSOP HKD MM	1,033.500	1,033.300	0.02	137.53	1,815.87
83053	CSOP HKD MM-R	RMB 845.150	RMB 867.650	-2.59	–	–
03033	CSOP HS TECH	5.610	8.360	-32.89	66,606.28	13,586.86
03037	CSOP HSI ETF	23.820	–	–	1,247.36	826.55
03149	CSOP MSCI	17.250	17.230	0.12	18.73	29.33
03003	CSOP MSCI A 50	7.555	–	–	426.46	974.39
83149	CSOP MSCI-R	RMB 14.070	RMB 14.460	-2.70	7.94	–
03122	CSOP RMB MM	192.500	184.500	4.34	7.25	205.01
83122	CSOP RMB MM-R	RMB 157.600	RMB 154.650	1.91	0.16	–
03162	CSOP SMARTDRIVE	7.650	–	–	82.62	49.53
03109	CSOP STAR 50	15.210	–	–	459.63	107.99
03096	CSOP USD MM	800.500	794.400	0.77	0.80	1,029.44
09096	CSOP USD MM-U	US$ 102.550	US$ 102.400	0.15	23.00	–
03005	CSOPCSI500ETF	24.520	20.700	18.45	53.01	68.66
83005	CSOPCSI500ETF-R	RMB 19.930	RMB 17.360	14.80	42.26	–
03100	EFUND CSI300	56.600	60.200	-5.98	43.18	33.96
83100	EFUND CSI300-R	RMB 46.380	RMB 50.480	-8.12	104.26	–
03111	EFUNDMSCIA50	3.080	–	–	42.80	634.48
83111	EFUNDMSCIA50-R	RMB 2.518	RMB –	–	89.78	–
03097	F GX OIL	4.324	2.626	64.66	170.30	63.78
03175	F SAMSUNG OIL	5.320	3.174	67.61	6,059.05	1,349.95
03047	F SSIFIRONORE	12.540	14.380	-12.80	319.48	169.29
09047	F SSIFIRONORE-U	US$ 1.580	US$ 1.906	-17.10	31.50	–
03119	GX ASIA SEMICON	65.600	–	–	267.46	141.04
02849	GX AUTO EV	61.700	–	–	21.44	27.77
02820	GX CN BIOTECH	98.180	119.100	-17.57	3,377.85	1,885.06
09820	GX CN BIOTECH-U	US$ 12.610	US$ 15.340	-17.80	2,532.26	–
02809	GX CN CLN EN	154.600	109.500	41.19	6,799.85	4,035.06
09809	GX CN CLN EN-U	US$ 19.830	US$ 14.060	41.04	3,109.38	–
02826	GX CN CLOUD	77.440	117.500	-34.09	2,142.66	816.99

Individual performance on unit trusts and mutual funds as at the end of 2021

(continued)

Code	Name	Closing price # 2021 (HK$)	Closing price # 2020 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
09826	GX CN CLOUD-U	US$ 9.920	US$ 15.170	-34.61	1,708.85	–
02806	GX CN CONSUME	69.020	84.800	-18.61	2,006.71	873.10
09806	GX CN CONSUME-U	US$ 8.855	US$ 10.950	-19.13	2,047.69	–
03124	GX CN ECOM&LOG	53.060	–	–	25.55	29.18
02845	GX CN EV BATT	182.550	129.850	40.59	19,909.20	8,096.09
09845	GX CN EV BATT-U	US$ 23.420	US$ 16.720	40.07	10,881.61	–
03117	GX CN GAME&ENT	45.840	–	–	14.14	25.21
03050	GX CN GL LEADER	65.740	–	–	154.92	164.35
02807	GX CN ROBO&AI	66.720	59.920	11.35	741.58	430.34
09807	GX CN ROBO&AI-U	US$ 8.530	US$ 7.710	10.64	503.31	–
03191	GX CN SEMICON	65.740	55.100	19.31	2,804.24	1,298.37
09191	GX CN SEMICON-U	US$ 8.450	US$ 7.115	18.76	1,906.97	–
03127	GX CSI300	21.000	21.340	-1.59	25.14	42.00
83127	GX CSI300-R	RMB 17.110	RMB 17.900	-4.41	6.23	–
03185	GX FINTECH	59.000	–	–	2.29	20.65
03110	GX HS HIGH DIV	25.680	25.620	0.23	404.62	272.21
03040	GX MSCI CHINA	34.600	44.300	-21.90	405.33	685.08
03108	HGI ESGLEADER	11.660	–	–	25.36	76.96
83108	HGI ESGLEADER-R	RMB 9.525	RMB –	–	15.53	–
03118	HGI MSCI CN A	21.820	21.080	3.51	17.62	69.82
83118	HGI MSCI CN A-R	RMB 17.650	RMB 17.850	-1.12	19.54	–
03128	HS AINDTOPETF	69.080	76.200	-9.34	39.99	192.61
83128	HS AINDTOPETF-R	RMB 56.460	RMB 63.980	-11.75	35.01	–
03176	HS CHNEWECON	10.800	–	–	74.44	91.80
03130	HS CSI300	29.940	30.720	-2.54	149.37	89.82
83130	HS CSI300-R	RMB 24.460	RMB 25.300	-3.32	77.85	–
02838	HS FCI50 ETF	164.600	207.400	-20.64	33.55	135.17
02833	HS HSI ETF	23.880	27.700	-13.79	2,427.94	43,238.17
82833	HS HSI ETF-R	RMB 19.500	RMB 23.200	-15.95	138.70	–
83168	HS RMB GOLD ETF	RMB 30.400	RMB 32.400	-6.17	31.15	71.95
02828	HSCEI ETF	83.520	108.700	-23.16	339,680.78	21,736.16
82828	HSCEI ETF-R	RMB 68.300	RMB 91.180	-25.09	208.81	–
03032	HSTECH ETF	5.645	8.390	-32.72	8,213.79	1,802.22
03031	HT CH A ESG	13.170	12.650	4.11	60.88	434.61
83031	HT CH A ESG-R	RMB 10.730	RMB 10.610	1.13	37.42	–
09031	HT CH A ESG-U	US$ 1.684	US$ 1.630	3.31	36.47	–
02811	HT CSI300 ETF	21.220	21.820	-2.75	33.29	212.20
82811	HT CSI300 ETF-R	RMB 17.350	RMB 18.290	-5.14	10.95	–
03167	ICBCCSOPCHINA	77.680	107.000	-27.40	122.69	512.69
83167	ICBCCSOPCHINA-R	RMB 63.340	RMB 89.640	-29.34	30.50	–
09167	ICBCCSOPCHINA-U	US$ 9.935	US$ 13.780	-27.90	6.12	–
02823	ISHARES A50	18.320	19.980	-8.31	47,472.21	18,649.76
82823	ISHARES A50-R	RMB 14.980	RMB 16.740	-10.51	784.45	–

Individual performance on unit trusts and mutual funds as at the end of 2021

(continued)

Code	Name	Closing price # 2021 (HK$)	Closing price # 2020 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
03010	ISHARES AXJ	61.720	65.940	-6.40	601.85	19,454.14
83010	ISHARES AXJ-R	RMB 50.260	RMB 55.300	-9.11	255.65	–
09010	ISHARES AXJ-U	US$ 7.880	US$ 8.505	-7.35	905.73	–
02801	ISHARES CHINA	26.680	34.540	-22.76	1,841.80	4,442.19
09801	ISHARES CHINA-U	US$ 3.434	US$ –	–	100.89	–
02802	ISHARES EM ASIA	63.660	68.440	-6.98	277.99	140.05
02836	ISHARES INDIA	34.680	29.400	17.96	425.86	769.90
09836	ISHARES INDIA-U	US$ 4.442	US$ 3.772	17.76	94.11	–
02829	ISHARESCGB	62.340	–	–	11.97	4,019.06
82829	ISHARESCGB-R	RMB 50.920	RMB –	–	1.22	–
09829	ISHARESCGB-U	US$ 8.010	US$ –	–	0.10	–
02846	ISHARESCSI300	39.360	40.200	-2.09	577.36	442.80
82846	ISHARESCSI300-R	RMB 32.060	RMB 33.460	-4.18	41.29	–
09846	ISHARESCSI300-U	US$ 5.025	US$ 5.150	-2.43	58.13	–
03115	ISHARESHSI	84.220	98.000	-14.06	473.06	648.49
83115	ISHARESHSI-R	RMB 68.680	RMB 82.240	-16.49	93.40	–
09115	ISHARESHSI-U	US$ 10.780	US$ 12.650	-14.78	165.67	–
03067	ISHARESHSTECH	11.900	17.560	-32.23	32,447.83	9,949.59
09067	ISHARESHSTECH-U	US$ 1.520	US$ 2.260	-32.74	1,150.71	–
03022	ISHARESMSCIEM	553.400	576.800	-4.06	48.53	110.68
09022	ISHARESMSCIEM-U	US$ 70.940	US$ 74.400	-4.65	36.59	–
03074	ISHARESMSCITW	208.600	166.200	25.51	381.00	613.28
09074	ISHARESMSCITW-U	US$ 26.820	US$ 21.460	24.98	608.38	–
02834	ISHARESND100	309.600	240.000	29.00	410.61	377.71
09834	ISHARESND100-U	US$ 39.700	US$ 31.020	27.98	61.47	–
03125	ISHARESSDCPBB	61.640	–	–	1.99	252.42
83125	ISHARESSDCPBB-R	RMB 50.380	RMB –	–	21.33	–
09125	ISHARESSDCPBB-U	US$ 7.895	US$ –	–	0.18	–
02824	LIPPO HK&M PROP	61.580	76.840	-19.86	43.14	69.28
03072	NIKKOAM INET	148.950	153.250	-2.81	54.61	149.55
09072	NIKKOAM INET-U	US$ 19.010	US$ 19.750	-3.75	65.76	–
03023	PA AI&ROBOTICS	144.750	130.700	10.75	18.22	56.45
03163	PA MULTI-FACTOR	36.740	42.020	-12.57	0.35	33.07
03166	PA QLTY FACTOR	44.180	47.920	-7.80	1.68	39.76
03198	PING AN 5HANDL	102.250	93.560	9.29	1.25	36.81
03080	PING AN CGB	133.400	127.950	4.26	10.76	276.14
03070	PING AN HKDIV	23.980	24.300	-1.32	105.99	746.26
02803	PP BEDROCK	8.905	8.235	8.14	23.95	596.64
09803	PP BEDROCK-U	US$ –	US$ –	–	–	–
02817	PP CGOVT BOND	130.800	–	–	187.62	1,111.80
82817	PP CGOVT BOND-R	RMB 107.050	RMB –	–	13.49	–
09817	PP CGOVT BOND-U	US$ 16.800	US$ –	–	18.25	–
03173	PP CN NEWECON	12.570	12.120	3.71	669.00	2,614.56

Individual performance on unit trusts and mutual funds as at the end of 2021

(continued)

Code	Name	Closing price # 2021 (HK$)	Closing price # 2020 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
09173	PP CN NEWECON-U	US$ 1.600	US$ 1.560	2.56	93.92	–
03001	PP CNUSDPROP	266.050	–	–	275.65	138.35
83001	PP CNUSDPROP-R	RMB 217.200	RMB –	–	5.32	–
09001	PP CNUSDPROP-U	US$ 34.100	US$ –	–	36.37	–
03151	PREMIA STAR50	11.030	–	–	216.36	370.61
83151	PREMIA STAR50-R	RMB 8.970	RMB –	–	93.44	–
09151	PREMIA STAR50-U	US$ 1.406	US$ –	–	23.54	–
03077	PREMIA UST	3,900.750	3,881.700	0.49	1.42	11.70
09078	PREMIA UST A-U	US$ 506.750	US$ 506.700	0.01	0.31	11.81
09077	PREMIA UST-U	US$ 500.000	US$ 500.450	-0.09	2.85	–
02804	PREMIA VIET	103.750	85.980	20.67	114.77	240.70
09804	PREMIA VIET-U	US$ 13.300	US$ 11.150	19.28	56.49	–
03181	PREMIAASIATEC	123.850	134.600	-7.99	53.99	80.50
09181	PREMIAASIATEC-U	US$ 16.000	US$ 17.280	-7.41	69.47	–
02810	PREMIAEMASEAN	72.740	73.560	-1.11	25.73	352.79
09810	PREMIAEMASEAN-U	US$ 9.330	US$ 9.480	-1.58	2.58	–
02814	SAMSUNG FANG	22.580	–	–	268.18	108.38
09814	SAMSUNG FANG-U	US$ 2.884	US$ –	–	39.66	–
03187	SAMSUNG REITS	21.420	21.320	0.47	432.95	218.48
09187	SAMSUNG REITS-U	US$ 2.750	US$ 2.746	0.15	25.87	–
03132	SAMSUNG SEMICON	16.320	–	–	333.09	191.76
02812	SAMSUNGCDGN	13.610	22.580	-39.73	2,695.24	187.82
09812	SAMSUNGCDGN-U	US$ 1.750	US$ 2.904	-39.74	7.32	–
02840	SPDR GOLD TRUST	1,323.000	1,374.000	-3.71	9,829.21	451,804.50
03073	SPDR GREATCHINA	50.960	53.300	-4.39	297.58	1,391.21
02800	TRACKER FUND	23.520	27.400	-14.16	875,925.80	99,512.94
03007	TRFXIC50	275.000	341.600	-19.50	0.84	924.19
02848	TRMSCIKOREA	723.800	790.800	-8.47	20.00	856.24
03036	TRMSCITAIWAN	467.000	367.100	27.21	29.04	1,330.84
03081	VALUEGOLD ETF	43.400	45.060	-3.68	766.60	1,902.20
83081	VALUEGOLD ETF-R	RMB 35.480	RMB 37.780	-6.09	14.94	–
09081	VALUEGOLD ETF-U	US$ 5.560	US$ 5.825	-4.55	7.72	–
02827	WISE CSI300 ETF	49.800	50.540	-1.46	462.58	2,609.52
03182	WISE NEW ECON50	14.610	19.840	-26.36	14.24	11.40
03024	WISE SSE50ETF	33.560	35.800	-6.26	12.11	93.97
02825	WISECSIHK100ETF	24.920	29.280	-14.89	28.62	1,164.52
03087	X TRFTSEVIET	362.000	276.000	31.16	269.96	3,046.03
03020	X TRMSCIUSA	1,070.000	829.400	29.01	57.43	39,300.65
03015	X TRNIFTY50	1,748.500	1,445.500	20.96	7.39	960.63
Leveraged and Inverse Products (L&I)						
07300	FI CSOP HSI	5.225	4.856	7.60	6,009.30	405.46
07345	FI CSOP OIL	6.660	–	–	0.82	37.30
07374	FI CSOPGOLD	7.585	–	–	3.80	42.48

Individual performance on unit trusts and mutual funds as at the end of 2021

(continued)

Code	Name	Closing price # 2021 (HK$)	Closing price # 2020 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
07362	FI GX HSCEI	6.175	5.285	16.84	40.42	27.79
07336	FI GX HSI	5.265	5.015	4.99	141.43	30.54
07588	FI2 CSOP HSCEI	5.790	4.310	34.34	1,212.74	37.06
07500	FI2 CSOP HSI	5.680	5.095	11.48	108,782.09	2,599.17
07522	FI2CAMNDQ100	1.596	2.912	-45.19	2,232.15	531.95
07568	FI2CSOPNASDAQ	1.094	1.998	-45.25	6,877.04	1,802.91
07331	FICAMNDQ100	3.404	4.574	-25.58	120.76	57.53
07332	FIFBFTTAIWAN	6.650	–	–	14.38	15.96
07288	FL2 CSOP HSCEI	4.442	7.670	-42.09	2,304.97	245.20
07200	FL2 CSOP HSI	6.865	9.305	-26.22	67,721.66	2,608.70
07230	FL2 GX HSCEI	4.460	7.770	-42.60	222.01	10.70
07231	FL2 GX HSI	7.120	9.700	-26.60	199.12	59.10
07261	FL2CAMNDQ100	29.100	18.840	54.46	760.82	69.84
07299	FL2CSOPGOLD	7.695	8.765	-12.21	4,541.80	1,805.25
07266	FL2CSOPNASDAQ	22.460	14.710	52.69	135.36	18.42
07232	FL2FBFTTAIWAN	9.855	–	–	29.57	34.49
07348	XI CSOP A50	8.310	–	–	59.42	179.50
07322	XI GX S&P500	5.790	7.710	-24.90	83.92	37.64
07552	XI2CSOPHSTECH	9.815	7.080	38.63	31,091.52	378.86
07373	XICAMCSI300	5.395	6.110	-11.70	70.86	28.05
07333	XICSOPCSI300	6.840	6.910	-1.01	1,201.49	202.46
07248	XL2 CSOP A50	5.560	–	–	345.44	55.60
07272	XL2CAMCSI300	11.800	12.280	-3.91	280.37	44.84
07233	XL2CSOPCSI300	9.855	10.970	-10.16	5,722.39	327.19
07226	XL2CSOPHSTECH	3.160	8.170	-61.32	36,354.30	2,716.97
Real Estates Investment Trusts (REITs)						
02778	CHAMPION REIT	3.990	4.530	-11.92	2,095.58	23,688.95
01503	CMC REIT	2.610	2.450	6.53	765.84	2,943.61
00778	FORTUNE REIT	8.010	7.390	8.39	4,818.58	15,780.05
87001	HUI XIAN REIT	RMB 1.400	RMB 1.810	-22.65	642.38	10,396.45
00823	LINK REIT	68.650	70.600	-2.76	95,819.91	144,864.81
00808	PROSPERITY REIT	3.080	2.420	27.27	1,380.36	4,631.54
01881	REGAL REIT	1.430	1.370	4.38	199.97	4,658.13
02191	SF REIT	3.480	–	–	1,424.19	2,784.00
01426	SPRING REIT	2.580	2.700	-4.44	56.75	3,798.75
00435	SUNLIGHT REIT	4.340	3.770	15.12	1,550.87	7,278.93
00405	YUEXIU REIT	3.230	3.780	-14.55	2,262.47	15,024.76
Others						
00820	HSBCDRAGON FUND	18.460	15.600	18.33	325.27	812.26
Total					**2,014,995.89**	**1,117,220.35**

Notes: * Traded in foreign currency securities have been converted in HKD
Year end figures.
n.a. Closing price is not available.

Market value for unit trusts and mutual funds, 2017 - 2021

Year/ Month		Exchange Traded Funds (ETFs)		Leveraged and Inverse Products (L&I Products)		Real Estate Investment Trusts (REITs)		Others		Total	
		No. of issues	Market value (HK$mil)	No. of issues	Market value (HK$mil)	No. of issues	Market value (HK$mil)	No. of issues	Market value (HK$mil)	No. of issues	Market value (HK$mil)
2017		106	632,535.18	27	3,442.75	11	276,508.56	1	1,162.33	145	913,648.81
2018		115	551,375.79	22	5,252.56	11	280,106.35	1	556.89	149	837,291.59
2019		111	671,496.58	24	7,543.00	12	288,708.06	1	752.14	148	968,499.79
2020		118	941,143.97	28	14,618.17	12	237,745.36	1	858.02	159	1,194,365.51
2021		127	866,147.20	28	14,410.92	11	235,849.96	1	812.26	167	1,117,220.35
2021	Jan	118	922,097.58	30	15,391.98	12	230,170.30	1	957.02	161	1,168,616.88
	Feb	119	897,296.48	30	15,176.17	12	245,382.45	1	948.22	162	1,158,803.32
	Mar	123	839,088.05	26	14,531.97	12	242,122.26	1	863.52	162	1,096,605.79
	Apr	125	846,240.95	24	14,932.45	12	248,470.18	1	926.22	162	1,110,569.80
	May	128	883,871.46	26	15,756.63	13	255,332.91	1	918.52	168	1,155,879.52
	Jun	129	859,104.36	26	15,287.21	13	257,290.34	1	726.02	169	1,132,407.93
	Jul	131	864,530.10	26	14,907.03	13	255,427.53	1	682.02	171	1,135,546.67
	Aug	131	855,967.08	26	13,582.56	12	249,000.16	1	720.74	170	1,119,270.54
	Sep	131	816,223.48	26	13,964.52	12	231,469.24	1	742.74	170	1,062,399.97
	Oct	130	842,098.68	27	14,463.54	11	233,653.94	1	785.86	169	1,091,002.02
	Nov	132	837,120.60	27	14,600.85	11	228,771.41	1	823.70	171	1,081,316.56
	Dec	127	866,147.20	28	14,410.92	11	235,849.96	1	812.26	167	1,117,220.35

Trading value for unit trusts and mutual funds, 2017 - 2021

Year/ Month		No. of trading days	Exchange Traded Funds (ETFs)		Leveraged and Inverse Products (L&I Products)		Real Estate Investment Trusts (REITs)		Others		Total	
			Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)
2017		246	1,065,380.88	4,330.82	94,095.00	382.50	89,410.35	363.46	277.34	1.13	1,249,163.57	5,077.90
2018		246	987,251.32	4,013.22	109,851.98	446.55	112,576.01	457.63	253.62	1.03	1,209,932.94	4,918.43
2019		246	1,076,531.15	4,376.14	141,423.64	574.89	149,654.52	608.35	101.89	0.41	1,367,711.21	5,559.80
2020		248	1,215,210.31	4,900.04	373,472.91	1,505.94	149,695.93	603.61	236.62	0.95	1,738,615.77	7,010.55
2021		247	1,629,565.51	6,597.43	276,668.46	1,120.12	111,035.97	449.54	325.27	1.32	2,017,595.22	8,168.40
2021	Jan	20	144,129.88	7,206.49	22,564.53	1,128.23	10,227.14	511.36	38.45	1.92	176,959.99	8,848.00
	Feb	18	148,549.61	8,252.76	23,639.04	1,313.28	13,044.08	724.67	27.57	1.53	185,260.29	10,292.24
	Mar	23	142,952.93	6,215.34	35,157.40	1,528.58	12,124.10	527.13	13.57	0.59	190,248.00	8,271.65
	Apr	19	87,156.20	4,587.17	17,722.78	932.78	8,320.17	437.90	41.05	2.16	113,240.21	5,960.01
	May	20	116,511.20	5,825.56	17,334.11	866.71	9,063.30	453.16	12.44	0.62	142,921.05	7,146.05
	Jun	21	100,781.67	4,799.13	12,084.84	575.47	9,173.32	436.82	37.68	1.79	122,077.51	5,813.21
	Jul	21	176,056.28	8,383.63	26,479.73	1,260.94	8,415.42	400.73	43.55	2.07	210,994.98	10,047.38
	Aug	22	156,695.04	7,122.50	27,307.50	1,241.25	6,679.32	303.61	80.70	3.67	190,762.56	8,671.03
	Sep	21	161,017.34	7,667.49	30,630.81	1,458.61	11,681.09	556.24	8.93	0.43	203,338.17	9,682.77
	Oct	18	129,440.38	7,191.13	21,971.99	1,220.67	6,431.93	357.33	7.45	0.41	157,851.74	8,769.54
	Nov	22	140,705.78	6,395.72	22,405.64	1,018.44	8,505.70	386.62	8.49	0.39	171,625.61	7,801.16
	Dec	22	125,569.22	5,707.69	19,370.09	880.46	7,370.40	335.02	5.40	0.25	152,315.10	6,923.41

Notes: Trading in foreign currency unit trusts have been converted in HKD.

Main Board Trading Only Stock

Trading value and volume for NASDAQ stocks, 2017 – 2021

Year/month		No. of issues	No. of trading days	Value (HK$) Total	Value (HK$) Average daily	Volume (shares) Total	Volume (shares) Average daily	Deals Total	Deals Average daily
2017		6	246	214,950	874	970	4	10	0
2018		6	246	4,809,098	19,549	14,590	59	34	0
2019		6	246	2,979,800	12,113	7,630	31	8	0
2020		6	248	461,256	1,860	930	4	11	0
2021		6	247	1,310,892	5,307	2,510	10	11	0
2021	Jan	6	20	–	–	–	–	–	–
	Feb	6	18	931,600	51,756	1,200	67	4	0
	Mar	6	23	–	–	–	–	–	–
	Apr	6	19	164,292	8,647	910	48	5	0
	May	6	20	–	–	–	–	–	–
	Jun	6	21	75,000	3,571	200	10	1	0
	Jul	6	21	–	–	–	–	–	–
	Aug	6	22	140,000	6,364	200	9	1	0
	Sep	6	21	–	–	–	–	–	–
	Oct	6	18	–	–	–	–	–	–
	Nov	6	22	–	–	–	–	–	–
	Dec	6	22	–	–	–	–	–	–

Trading value and volume for iShares, 2017 – 2021

Year/month		No. of issues	No. of trading days	Value (HK$) Total	Value (HK$) Average daily	Volume (shares) Total	Volume (shares) Average daily	Deals Total	Deals Average daily
2017		2	230	365,865	1,591	850	4	10	0
2018		–	–	–	–	–	–	–	–
2019		–	–	–	–	–	–	–	–
2020		–	–	–	–	–	–	–	–
2021		–	–	–	–	–	–	–	–
2021	Jan	–	–	–	–	–	–	–	–
	Feb	–	–	–	–	–	–	–	–
	Mar	–	–	–	–	–	–	–	–
	Apr	–	–	–	–	–	–	–	–
	May	–	–	–	–	–	–	–	–
	Jun	–	–	–	–	–	–	–	–
	Jul	–	–	–	–	–	–	–	–
	Aug	–	–	–	–	–	–	–	–
	Sep	–	–	–	–	–	–	–	–
	Oct	–	–	–	–	–	–	–	–
	Nov	–	–	–	–	–	–	–	–
	Dec	–	–	–	–	–	–	–	–

Note: The exchange rate of HK$7.8/US$1 was used to calculate the equivalent value in Hong Kong dollars.

Turnover value on RMB listed securities products by type, 2017-2021

			ETFs										Total	
			Gold		Stock Index		Bond Index		Money Market					
		Equities	RQFII	Non-RQFII	RQFII	Non-RQFII	RQFII	Non-RQFII	RQFII	Non-RQFII	REITs	Debt securities	(in RMB mil.)	(in HKD mil. Equiv.)
2017		51.95	–	10.11	5,388.46	751.17	140.87	–	–	–	2,751.78	2,565.73	11,660.07	13,279.43
2018		245.95	–	10.52	6,505.62	1,411.14	52.85	62.91	–	48.55	2,867.85	617.04	11,822.43	13,860.02
2019		67.52	–	42.66	7,185.93	1,337.02	43.48	37.49	0.34	167.80	2,452.28	352.71	11,687.23	13,158.92
2020		25.37	–	105.05	11,561.13	1,183.15	48.81	21.38	0.18	89.98	2,191.10	276.58	15,502.74	17,204.16
2021		14.76	–	38.64	9,768.12	1,326.55	355.30	53.23	0.14	–	539.11	448.42	12,544.29	14,941.17
2021	Jan	2.26	–	5.78	1,435.13	186.84	26.07	–	–	–	51.14	9.10	1,716.32	2,033.42
	Feb	1.61	–	4.24	1,335.77	109.84	54.12	1.10	–	–	42.38	11.11	1,560.16	1,852.91
	Mar	3.18	–	7.88	1,315.39	99.79	128.16	0.01	0.05	–	75.40	10.93	1,640.80	1,940.21
	Apr	1.80	–	1.51	421.15	229.11	9.77	1.43	0.04	–	32.34	4.64	701.79	827.15
	May	1.62	–	4.01	597.61	142.02	17.04	5.24	–	–	28.11	10.09	805.73	961.95
	Jun	1.23	–	1.86	605.93	95.92	32.70	6.43	–	–	36.90	110.67	891.64	1,067.35
	Jul	0.61	–	2.42	873.69	71.84	11.13	8.09	–	–	40.71	29.20	1,037.71	1,231.55
	Aug	0.97	–	0.75	704.88	58.27	10.12	0.89	–	–	60.10	33.94	869.92	1,034.36
	Sep	0.42	–	0.80	804.02	53.61	12.44	0.58	–	–	52.63	13.10	937.61	1,118.13
	Oct	0.73	–	1.05	561.13	22.60	10.25	3.45	–	–	30.91	43.78	673.92	807.60
	Nov	0.18	–	1.88	439.55	31.95	31.97	5.66	–	–	62.16	152.16	725.51	875.09
	Dec	0.15	–	6.46	673.88	224.76	11.51	20.34	0.05	–	26.32	19.72	983.18	1,191.45

RMB-denominated ETFs tracking A-share indices issued under RMB Qualified Foreign Institutional Investors (RQFII) quota (RQFII A-share ETFs)

Main Board RMB Securities Products

List of listed RMB securities products

End of 2021

Code	Securities	Type	Listing date	First trading date
87001	Hui Xian Real Estate Investment Trust	REIT	2011/04/29	2011/04/29
83168	Hang Seng RMB Gold ETF	ETF	2012/02/14	2012/02/14
86604	Ministry of Finance of the PRC 3.1% CNY Bonds 2022	Debt securities	2012/07/03	2012/07/03
86605	Ministry of Finance of the PRC 3.48% CNY Bonds 2027	Debt securities	2012/07/03	2012/07/03
83188 *#	ChinaAMC CSI 300 Index ETF	ETF	2012/07/17	2012/07/17
85904	China Development Bank 4.20% CNY Bonds 2027	Debt securities	2012/08/03	2012/08/03
85905	China Development Bank 4.30% CNY Bonds 2032	Debt securities	2012/08/03	2012/08/03
83100 *#	E Fund (HK) CSI 300 A-Share Index ETF	ETF	2012/08/27	2012/08/27
82822 *#	CSOP FTSE China A50 ETF	ETF	2012/08/28	2012/08/28
83118 *#	Harvest MSCI China A Index ETF	ETF	2012/10/12	2012/10/12
80737 *^	Shenzhen Investment Holdings Bay Area Development Co. Ltd.	Equity	2003/08/06	2012/10/29
86610	Ministry of Finance of the PRC 3.16% CNY Bonds 2023	Debt securities	2013/06/28	2013/06/28
86611	Ministry of Finance of the PRC 3.60% CNY Bonds 2028	Debt securities	2013/06/28	2013/06/28
86612	Ministry of Finance of the PRC 3.95% CNY Bonds 2043	Debt securities	2013/06/28	2013/06/28
85928	Swire Pacific MTN Financing Ltd. 3.9% Guar. CNY Notes 2023	Debt securities	2013/07/23	2013/07/23
85930	Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Aug 2023	Debt securities	2013/08/22	2013/08/22
85931	Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Sep 2023	Debt securities	2013/09/10	2013/09/10
85934	China Development Bank 4.5% CNY Notes 2028	Debt securities	2013/11/14	2013/11/14
83128 *#	Hang Seng China A Industry Top Index ETF	ETF	2013/11/26	2013/11/26
83081 **	Value Gold ETF	ETF	2010/11/03	2013/11/29
82832 *#	Bosera STAR 50 Index ETF	ETF	2013/12/09	2013/12/09
83199 *#	CSOP Bloomberg China Treasury + Policy Bank Bond Index ETF	ETF	2014/02/19	2014/02/19
82811 *#	Haitong CSI300 Index ETF	ETF	2014/03/07	2014/03/07
85955	ICBC, Sydney Branch 4.2% CNY Notes 2024	Debt securities	2014/03/20	2014/03/20
85964	The Export-Import Bank of China 4.40% CNY Bonds 2024	Debt securities	2014/05/15	2014/05/15
86619	Ministry of Finance of the PRC 4.00% CNY Bonds 2024	Debt securities	2014/05/23	2014/05/23
86620	Ministry of Finance of the PRC 4.29% CNY Bonds 2029	Debt securities	2014/05/23	2014/05/23
86621	Ministry of Finance of the PRC 4.50% CNY Bonds 2034	Debt securities	2014/05/23	2014/05/23
85989	China Development Bank 4.35% CNY Notes 2024	Debt securities	2014/08/07	2014/08/07
85993	Charter Style International Ltd. 5.80% Guar. CNY Bonds 2024	Debt securities	2014/09/16	2014/09/16
83127 *#	Global X CSI 300 ETF	ETF	2014/09/26	2014/09/26
85701	China Construction Bank (Asia) Corp Ltd.4.08% CNY Notes 2024	Debt securities	2014/11/19	2014/11/19
86624	Ministry of Finance of the PRC 3.38% CNY Bonds 2024	Debt securities	2014/11/24	2014/11/24
83122 *	CSOP RMB Money Market ETF	ETF	2015/01/20	2015/01/20
83147 *#	CSOP SZSE ChiNext ETF	ETF	2015/05/15	2015/05/15
86628	Ministry of Finance of the PRC 3.36% CNY Bonds 2022	Debt securities	2015/05/22	2015/05/22
86629	Ministry of Finance of the PRC 3.39% CNY Bonds 2025	Debt securities	2015/05/22	2015/05/22
86630	Ministry of Finance of the PRC 3.60% CNY Bonds 2030	Debt securities	2015/05/22	2015/05/22
86631	Ministry of Finance of the PRC 4.10% CNY Bonds 2045	Debt securities	2015/05/22	2015/05/22
82828 *	Hang Seng China Enterprises Index ETF	ETF	2003/12/10	2015/09/04
82833 *	Hang Seng Index ETF	ETF	2004/09/21	2015/09/04
83149 *#	CSOP MSCI China A Inclusion Index ETF	ETF	2015/11/26	2015/11/26
86634	Ministry of Finance of the PRC 3.31% CNY Bonds 2025	Debt securities	2015/12/01	2015/12/01
86635	Ministry of Finance of the PRC 4.00% CNY Bonds 2035	Debt securities	2015/12/01	2015/12/01
85723	Charming Light Investments Ltd. 5.50% CNY Notes 2025	Debt securities	2015/12/30	2015/12/30

List of listed RMB securities products *(continued)*

End of 2021

Code	Securities	Type	Listing date	First trading date
83012 *	AMUNDI Hang Seng HK 35 Index ETF	ETF	2016/04/12	2016/04/12
86639	Ministry of Finance of the PRC 3.30% CNY Bonds 2023	Debt securities	2016/07/05	2016/07/05
86640	Ministry of Finance of the PRC 3.38% CNY Bonds 2026	Debt securities	2016/07/05	2016/07/05
86641	Ministry of Finance of the PRC 3.90% CNY Bonds 2036	Debt securities	2016/07/05	2016/07/05
83010 **	iShares Core MSCI Asia ex Japan ETF	ETF	2009/04/23	2016/10/14
82843 *#	AMUNDI FTSE China A50 Index ETF	ETF	2016/10/31	2016/10/31
83115 **	iShares Core Hang Seng Index ETF	ETF	2016/11/23	2016/11/23
86644	Ministry of Finance of the PRC 3.85% CNY Bonds 2026	Debt securities	2016/12/13	2016/12/13
86645	Ministry of Finance of the PRC 4.15% CNY Bonds 2031	Debt securities	2016/12/13	2016/12/13
86646	Ministry of Finance of the PRC 4.40% CNY Bonds 2046	Debt securities	2016/12/13	2016/12/13
82846 **	iShares Core CSI 300 ETF	ETF	2009/11/18	2017/02/28
86649	Ministry of Finance of the PRC 4.10% CNY Bonds 2022	Debt securities	2017/06/27	2017/06/27
82823 *#	iShares FTSE China A50 ETF	ETF	2004/11/18	2017/07/07
86651	Ministry of Finance of the PRC 4.10% CNY Bonds 2022	Debt securities	2017/12/05	2017/12/05
86652	Ministry of Finance of the PRC 4.15% CNY Bonds 2027	Debt securities	2017/12/05	2017/12/05
83197 **	ChinaAMC MSCI China A Inclusion Index ETF	ETF	2018/02/07	2018/02/07
83167 **#	ICBC CSOP S&P New China Sectors ETF	ETF	2016/12/08	2018/04/03
83186 **	CICC KraneShares CSI China Internet Index ETF	ETF	2018/04/10	2018/04/10
82813 **	ChinaAMC Bloomberg China Treasury + Policy Bank Bond Index ETF	ETF	2018/06/06	2018/06/06
86654	Ministry of Finance of the PRC 3.80% CNY Bonds 2023B	Debt securities	2018/07/10	2018/07/10
83053 *	CSOP Hong Kong Dollar Money Market ETF	ETF	2018/07/18	2018/07/18
83093 *#	CICC CSI Select 100 ETF	ETF	2018/12/05	2018/12/05
83079 *	CICC Bloomberg China Treasury 1-10 Years ETF	ETF	2018/12/12	2018/12/12
85743	Bank of China Ltd., Macau Branch 3.30% CNY Notes 2022 E	Debt securities	2019/04/18	2019/04/18
85901	Agricultural Development Bank of China 3.23% CNY Bonds 2022	Debt securities	2019/05/30	2019/05/30
86656	Ministry of Finance of the PRC 3.03% CNY Bonds 2024	Debt securities	2019/06/25	2019/06/25
85902	CIFI Holdings (Group) Co. Ltd. 6.70% Senior Notes 2022	Debt securities	2019/07/24	2019/07/24
85908	CNI Capital Ltd. 3.97% Guaranteed CNY Bonds 2022	Debt securities	2019/11/04	2019/11/04
85909	Agricultural Development Bank of China 3.40% CNY Bonds 2024	Debt securities	2019/11/07	2019/11/07
85910	Agricultural Development Bank of China 3.18% CNY Bonds 2022	Debt securities	2019/11/07	2019/11/07
85913	Eastern Creation II Inv. Holdings Ltd. 3.40% Guar. CNY N2022	Debt securities	2019/11/27	2019/11/27
85916	Bank of Communications Co., Ltd. HK Branch 3.15% CNY N2022	Debt securities	2020/01/23	2020/01/23
83005 *#	CSOP CSI 500 ETF	ETF	2020/03/19	2020/03/19
85919	China Construction Bank Corp. Astana Branch 2.95% CNY B2022	Debt securities	2020/03/20	2020/03/20
85921	MTR Corporation Ltd. 2.45% Fixed Rate CNY Notes 2023	Debt securities	2020/07/06	2020/07/06
86657	Ministry of Finance of the PRC 2.10% CNY Bonds 2022	Debt securities	2020/07/28	2020/07/28
86658	Ministry of Finance of the PRC 2.20% CNY Bonds 2025	Debt securities	2020/07/28	2020/07/28
85922	Agricultural Development Bank of China 2.60% CNY Bonds 2023	Debt securities	2020/08/12	2020/08/12
85924	Agricultural Development Bank of China 2.85% CNY Bonds 2025	Debt securities	2020/08/12	2020/08/12
85926	CIFI Holdings (Group) Co. Ltd. 5.85% CNY Senior Notes 2023	Debt securities	2020/08/20	2020/08/20
85927	Bank of China Ltd., Macau Branch 3.15% CNY Notes 2022	Debt securities	2020/09/22	2020/09/22
83031 **#	Haitong MSCI China A ESG ETF	ETF	2020/10/15	2020/10/15
83130 *#	Hang Seng Harvest CSI 300 Index ETF	ETF	2020/10/23	2020/10/23
85929	MTR Corporation Ltd. 2.83% Fixed Rate CNY Notes 2023	Debt securities	2020/11/18	2020/11/18
85932	Hong Kong Mortgage Corporation Ltd. 3.005% CNY Notes2022,The	Debt securities	2020/11/30	2020/11/30

List of listed RMB securities products *(continued)*

End of 2021

Code	Securities	Type	Listing date	First trading date
85941	Bank of China Ltd., Hong Kong Branch 2.80% CNY Notes 2023	Debt securities	2021/01/15	2021/01/15
85952	Hong Kong Mortgage Corporation Ltd. 2.70% CNY Notes2024, The	Debt securities	2021/02/10	2021/02/10
85953	Far East Horizon Ltd. 4.70% CNY Notes 2024	Debt securities	2021/02/10	2021/02/10
83108 *#	Harvest CSI 300 ESG Leaders Index ETF	ETF	2021/03/10	2021/03/10
85954	MTR Corporation Ltd. 2.90% Fixed Rate CNY Notes 2022	Debt securities	2021/03/19	2021/03/19
85962	MTR Corporation Ltd. 2.90% Fixed Rate CNY Notes 2024	Debt securities	2021/03/25	2021/03/25
85968	MTR Corporation Ltd. 2.80% Fixed Rate CNY Notes 2024	Debt securities	2021/03/26	2021/03/26
82817 **	Premia China Treasury and Policy Bank Bond Long Duration ETF	ETF	2021/04/14	2021/04/14
83001 **	Premia China USD Property Bond ETF	ETF	2021/04/14	2021/04/14
85978	MTR Corporation Ltd. 2.86% Fixed Rate CNY Notes 2022	Debt securities	2021/04/20	2021/04/20
85987	CCB Singapore Branch 2.85% Senior Transition CNY Bonds 2023	Debt securities	2021/04/23	2021/04/23
86002	Bank of China Ltd., Macau Branch 3.08% CNY Notes 2026	Debt securities	2021/04/29	2021/04/29
86003	Bank of China Ltd., Frankfurt Branch 2.85% CNY Notes 2023	Debt securities	2021/04/29	2021/04/29
86004	Vanke Real Estate (Hong Kong) Co. Ltd. 3.45% CNY Notes 2024	Debt securities	2021/05/26	2021/05/26
86005	Guoren Property & Casualty Insurance Co. 4.20% CNY Notes2023	Debt securities	2021/06/02	2021/06/02
86007	China Development Bank HK Branch 2.65% CNY Notes 2024	Debt securities	2021/06/21	2021/06/21
86008	Zhenro Properties Group Ltd. 7.125% Senior CNY Notes 2022	Debt securities	2021/07/05	2021/07/05
86009	GLP China Holdings Ltd. 4.00% CNY Notes 2024	Debt securities	2021/07/05	2021/07/05
86010	Bank of China (Hong Kong) Ltd. 2.80% CNY Notes 2023	Debt securities	2021/07/12	2021/07/12
83151 **#	Premia China STAR50 ETF	ETF	2021/07/28	2021/07/28
86011	Hong Kong Mortgage Corporation Ltd. 3.06% CNY Notes2022, The	Debt securities	2021/08/09	2021/08/09
86012	Hong Kong Mortgage Corporation Ltd. 2.85% CNY Notes2022, The	Debt securities	2021/09/14	2021/09/14
86659	Ministry of Finance of the PRC 2.41% CNY Bonds 2023	Debt securities	2021/09/28	2021/09/28
86660	Ministry of Finance of the PRC 2.50% CNY Bonds 2026	Debt securities	2021/09/28	2021/09/28
86015	Bank of China Ltd., Macau Branch 2.75% CNY Notes 2023	Debt securities	2021/09/30	2021/09/30
86016	People's Govt of SZ Municipality GD Province 2.60% CNY B2023	Debt securities	2021/10/20	2021/10/20
86018	People's Govt of SZ Municipality GD Province 2.70% CNY B2024	Debt securities	2021/10/20	2021/10/20
86019	People's Govt of SZ Municipality GD Province 2.90% CNY B2026	Debt securities	2021/10/20	2021/10/20
82829 **	iShares China Government Bond ETF	ETF	2021/10/21	2021/10/21
83125 **	iShares Short Duration China Policy Bank Bond ETF	ETF	2021/10/21	2021/10/21
86021	The Govt of the HKSAR of the PRC 2.80% CNY Notes 2024	Debt securities	2021/12/01	2021/12/01
86022	The Govt of the HKSAR of the PRC 3.00% CNY Notes 2026	Debt securities	2021/12/01	2021/12/01
82839	ChinaAMC MSCI China A 50 Connect ETF	Debt securities	2021/12/13	2021/12/13
83111	E Fund (HK) MSCI China A50 Connect ETF	Debt securities	2021/12/14	2021/12/14
86023	Bank of Communications Co., Ltd. HK Branch 3.15% CNY N2024	Debt securities	2021/12/14	2021/12/14

* Securities traded in multiple counters for RMB and HKD

** Securities traded in multiple counters for RMB, HKD and USD

\# RQFII A-share ETF

^ First listed on 6 August 2003 and traded in HKD on counter 737

Main Board Shanghai-Hong Kong Stock Connect

Trading value and number of deal, 2017 – 2021

			SouthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
Year/Month		No. of trading day	Total (HK mil)	Average daily	Total (HK mil)	Average daily	Total	Average daily	Total	Average daily
2017		230	975,579.57	4,241.65	748,825.80	3,255.76	13,185,960	57,330	10,632,138	46,226
2018		223	917,637.92	4,114.97	904,460.45	4,055.88	12,221,318	54,804	12,820,915	57,492
2019		230	856,045.40	3,721.94	706,509.85	3,071.78	13,393,410	58,232	12,077,917	52,512
2020		226	1,644,325.57	7,275.78	1,310,316.91	5,797.86	22,303,842	98,689	19,160,497	84,780
2021		224	2,304,438.87	10,287.67	2,193,283.44	9,791.44	28,241,465	126,077	27,053,043	120,772
2021	Jan	20	422,692.81	21,134.64	281,524.07	14,076.20	3,956,969	197,848	2,995,061	149,753
	Feb	13	225,576.63	17,352.05	208,753.24	16,057.94	2,524,193	194,168	2,283,953	175,688
	Mar	23	249,290.98	10,838.74	263,665.13	11,463.70	2,939,003	127,782	3,085,657	134,159
	Apr	17	134,044.10	7,884.95	127,426.58	7,495.68	1,579,715	92,924	1,558,022	91,648
	May	17	156,468.09	9,204.01	142,888.24	8,405.19	1,898,201	111,658	1,837,981	108,116
	Jun	21	169,944.65	8,092.60	181,031.35	8,620.54	2,104,083	100,194	2,274,816	108,324
	Jul	21	232,567.33	11,074.63	254,116.76	12,100.80	2,664,603	126,885	2,888,151	137,531
	Aug	22	209,097.52	9,504.43	234,098.01	10,640.82	2,636,969	119,862	2,708,630	123,119
	Sep	15	124,042.46	8,269.50	132,977.72	8,865.18	1,846,333	123,088	1,892,140	126,142
	Oct	14	99,600.55	7,114.33	101,153.01	7,225.21	1,552,931	110,923	1,488,118	106,294
	Nov	22	139,029.12	6,319.51	143,561.38	6,525.52	2,214,649	100,665	2,071,479	94,158
	Dec	19	142,084.61	7,478.14	122,087.96	6,425.68	2,323,816	122,306	1,969,035	103,633

			NorthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
Year/Month		No. of trading day	Total (RMB mil)	Average daily	Total (RMB mil)	Average daily	Total	Average daily	Total	Average daily
2017		235	688,797.60	2,931.05	625,824.21	2,663.08	25,915,638	110,279	24,897,640	105,947
2018		229	1,421,701.24	6,208.30	1,240,606.97	5,417.50	66,813,780	291,763	61,117,686	266,889
2019		234	2,573,999.74	11,000.00	2,417,366.63	10,330.63	143,714,802	614,165	139,642,992	596,764
2020		231	4,560,685.86	19,743.23	4,475,172.37	19,373.04	213,726,724	925,223	233,370,738	1,010,262
2021		230	6,439,881.57	27,999.49	6,246,154.09	27,157.19	290,929,520	1,264,910	312,518,762	1,358,777
2021	Jan	20	618,215.79	30,910.79	607,742.54	30,387.13	25,319,555	1,265,977	26,992,080	1,349,604
	Feb	15	478,617.12	31,907.81	467,138.83	31,142.59	19,574,037	1,304,935	20,390,710	1,359,380
	Mar	23	612,866.44	26,646.37	594,744.16	25,858.44	27,481,635	1,194,853	29,162,643	1,267,941
	Apr	18	389,956.20	21,664.23	371,408.46	20,633.80	20,089,516	1,116,084	20,517,136	1,139,840
	May	16	410,641.63	25,665.10	380,435.76	23,777.23	19,267,593	1,204,224	19,826,752	1,239,172
	Jun	20	492,322.51	24,616.13	488,606.95	24,430.35	21,981,747	1,099,087	25,079,900	1,253,995
	Jul	21	645,600.14	30,742.86	650,046.86	30,954.61	26,735,961	1,273,141	31,004,595	1,476,409
	Aug	22	689,931.43	31,360.52	675,479.40	30,703.61	29,481,402	1,340,063	32,400,465	1,472,748
	Sep	18	634,596.71	35,255.37	617,317.14	34,295.40	27,941,688	1,552,316	30,420,924	1,690,051
	Oct	14	388,046.13	27,717.58	365,772.48	26,126.61	19,565,430	1,397,530	20,823,616	1,487,401
	Nov	22	518,150.33	23,552.29	524,635.88	23,847.09	25,717,177	1,168,962	28,574,236	1,298,828
	Dec	21	560,937.13	26,711.29	502,825.63	23,944.08	27,773,779	1,322,560	27,325,705	1,301,224

Main Board Shanghai-Hong Kong Stock Connect

20 most active stocks (northbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (RMB mil)	Sell Trades (RMB mil)	Turnover (Buy + Sell Trades) (RMB mil)	% of Northbound Total
1	600519	KWEICHOW MOUTAI	289,813.87	317,958.95	607,772.81	4.79
2	601012	LONGI GREEN ENERGY TECHNOLOGY	175,346.08	161,027.36	336,373.43	2.65
3	601318	PING AN INSURANCE	146,939.77	151,047.05	297,986.82	2.35
4	600036	CHINA MERCHANTS BANK	145,528.43	122,137.79	267,666.21	2.11
5	601888	CHINA TOURISM GROUP DUTY FREE	127,016.92	126,105.40	253,122.32	2.00
6	600276	JIANGSU HENGRUI MEDICINE	104,716.30	113,190.74	217,907.04	1.72
7	603259	WUXI APPTEC	102,843.83	102,161.31	205,005.14	1.62
8	600031	SANY HEAVY INDUSTRY	96,670.81	103,159.15	199,829.95	1.58
9	600887	INNER MONGOLIA YILI INDUSTRIAL	98,795.49	92,448.84	191,244.33	1.51
10	600111	CHINA NORTHERN RARE EARTH (GP)	89,526.78	91,350.34	180,877.11	1.43
11	600438	TONGWEI	85,998.44	83,333.26	169,331.70	1.33
12	600809	SHANXI XINGHUACUN FEN WINE FACTORY	81,281.18	79,889.97	161,171.15	1.27
13	600309	WANHUA CHEMICAL	86,122.72	74,602.10	160,724.82	1.27
14	601899	ZIJIN MINING	79,118.98	70,560.95	149,679.93	1.18
15	601919	COSCO SHIPPING HOLDINGS	72,162.67	74,593.78	146,756.44	1.16
16	603501	WILL SEMICONDUCTOR	77,276.86	68,179.36	145,456.22	1.15
17	601166	INDUSTRIAL BANK	72,347.69	69,539.62	141,887.31	1.12
18	603799	ZHEJIANG HUAYOU COBALT	65,069.18	65,387.62	130,456.81	1.03
19	603986	GIGADEVICE SEMICONDUCTOR(BEIJING) INC	63,287.63	60,199.60	123,487.23	0.97
20	600030	CITIC SECURITIES	56,390.03	49,233.71	105,623.74	0.83
		Total	**2,116,253.64**	**2,076,106.88**	**4,192,360.52**	**33.05**
		Northbound Total	**6,439,881.57**	**6,246,154.09**	**12,686,035.66**	**100.00**

20 most active stocks (southbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (HK$mil)	Sell Trades (HK$mil)	Turnover (Buy + Sell Trades) (HK$mil)	% of Southbound Total
1	00700	TENCENT	349,841.25	301,916.89	651,758.14	14.49
2	03690	MEITUAN-W	132,505.45	141,257.06	273,762.52	6.09
3	01810	XIAOMI-W	111,061.28	109,944.94	221,006.22	4.91
4	00981	SMIC	96,504.89	92,440.69	188,945.58	4.20
5	00941	CHINA MOBILE	103,612.67	74,114.13	177,726.81	3.95
6	00883	CNOOC	71,505.23	46,111.77	117,617.00	2.62
7	06969	SMOORE INTL	43,006.61	37,685.26	80,691.87	1.79
8	02269	WUXI BIO	39,079.18	39,903.69	78,982.87	1.76
9	00175	GEELY AUTO	38,180.92	39,427.66	77,608.58	1.73
10	02020	ANTA SPORTS	38,658.59	38,654.64	77,313.23	1.72
11	00388	HKEX	37,412.19	38,931.07	76,343.26	1.70
12	02382	SUNNY OPTICAL	36,051.34	37,488.65	73,539.99	1.64
13	02333	GREATWALL MOTOR	28,706.05	28,254.86	56,960.91	1.27
14	00728	CHINA TELECOM	24,837.03	20,229.05	45,066.09	1.00
15	00939	CCB	16,467.63	27,692.06	44,159.68	0.98
16	02331	LI NING	23,581.42	18,836.32	42,417.74	0.94
17	01919	COSCO SHIP HOLD	20,248.64	18,212.54	38,461.18	0.86
18	00291	CHINA RES BEER	19,891.50	18,550.64	38,442.14	0.85
19	01088	CHINA SHENHUA	18,761.19	18,385.27	37,146.46	0.83
20	01918	SUNAC	17,449.93	19,425.10	36,875.03	0.82
		Total	**1,267,362.99**	**1,167,462.29**	**2,434,825.28**	**54.13**
		Southbound Total	**2,304,438.87**	**2,193,283.44**	**4,497,722.31**	**100.00**

Main Board Shenzhen-Hong Kong Stock Connect

Trading value and number of deal, 2017 – 2021

			SouthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
Year/Month		No. of trading day	Total (HK mil)	Average daily	Total (HK mil)	Average daily	Total	Average daily	Total	Average daily
2017		230	324,244.36	1,409.76	211,056.03	917.63	5,816,670	25,289	3,702,910	16,099
2018		223	540,537.31	2,423.93	471,019.09	2,112.19	9,919,024	44,479	8,886,108	39,848
2019		230	509,334.27	2,214.50	409,533.04	1,780.58	11,519,463	50,084	9,987,045	43,421
2020		226	1,445,778.45	6,397.25	1,107,661.77	4,901.16	24,450,977	108,190	19,098,161	84,505
2021		224	2,594,232.01	11,581.39	2,250,992.43	10,049.07	36,093,249	161,130	31,864,542	142,252
2021	Jan	20	431,206.98	21,560.35	261,752.04	13,087.60	4,821,225	241,061	3,449,948	172,497
	Feb	13	275,801.71	21,215.52	217,694.76	16,745.75	3,356,234	258,171	2,678,172	206,013
	Mar	23	283,413.67	12,322.33	281,729.79	12,249.12	3,682,794	160,121	3,661,867	159,211
	Apr	17	159,516.24	9,383.31	122,849.31	7,226.43	2,122,111	124,830	1,739,946	102,349
	May	17	191,245.71	11,249.75	161,280.55	9,487.09	2,625,946	154,467	2,333,991	137,293
	Jun	21	204,941.62	9,759.12	188,818.32	8,991.35	2,975,604	141,695	2,698,758	128,512
	Jul	21	241,290.13	11,490.01	283,242.98	13,487.76	3,408,737	162,320	3,464,815	164,991
	Aug	22	225,537.91	10,251.72	220,318.97	10,014.50	3,200,187	145,463	3,144,831	142,946
	Sep	15	166,583.77	11,105.58	139,586.95	9,305.80	2,480,371	165,358	2,178,719	145,247
	Oct	14	105,081.64	7,505.83	93,747.64	6,696.26	1,705,256	121,804	1,608,375	114,883
	Nov	22	153,377.80	6,971.72	153,605.57	6,982.07	2,793,171	126,962	2,571,674	116,894
	Dec	19	156,234.83	8,222.89	126,365.55	6,650.82	2,921,613	153,769	2,333,446	122,812

			NorthBound							
			Trading Value				Number of deals			
			Buy		Sell		Buy		Sell	
Year/Month		No. of trading day	Total (RMB mil)	Average daily	Total (RMB mil)	Average daily	Total	Average daily	Total	Average daily
2017		235	543,734.78	2,313.77	406,970.40	1,731.79	22,230,517	94,598	17,861,302	76,006
2018		229	1,062,326.08	4,638.98	949,202.44	4,144.99	58,658,290	256,149	56,116,218	245,048
2019		234	2,480,467.03	10,600.29	2,285,357.39	9,766.48	137,004,088	585,487	132,942,399	568,129
2020		231	6,088,077.63	26,355.31	5,964,658.93	25,821.03	264,568,183	1,145,316	289,643,830	1,253,869
2021		230	7,590,998.56	33,004.34	7,352,557.04	31,967.64	325,631,236	1,415,787	354,501,931	1,541,312
2021	Jan	20	781,673.81	39,083.69	752,189.99	37,609.50	31,048,691	1,552,434	31,452,504	1,572,625
	Feb	15	569,465.96	37,964.40	539,719.76	35,981.32	22,694,346	1,512,956	24,216,732	1,614,448
	Mar	23	667,361.19	29,015.70	666,772.89	28,990.13	29,577,506	1,285,978	33,464,356	1,454,972
	Apr	18	458,293.20	25,460.73	424,233.65	23,568.54	22,052,669	1,225,148	22,610,689	1,256,149
	May	16	459,216.57	28,701.04	433,646.04	27,102.88	20,638,420	1,289,901	21,622,522	1,351,407
	Jun	20	578,673.46	28,933.67	567,003.91	28,350.20	24,954,876	1,247,743	27,631,480	1,381,574
	Jul	21	798,948.24	38,045.15	783,739.54	37,320.93	32,068,444	1,527,068	35,706,427	1,700,306
	Aug	22	829,549.43	37,706.79	817,096.37	37,140.74	33,828,826	1,537,673	38,261,301	1,739,150
	Sep	18	683,192.32	37,955.13	669,952.85	37,219.60	28,503,340	1,583,518	32,373,084	1,798,504
	Oct	14	446,863.39	31,918.81	436,297.40	31,164.10	20,572,269	1,469,447	23,001,037	1,642,931
	Nov	22	664,506.71	30,204.85	639,530.78	29,069.58	29,658,476	1,348,112	32,326,582	1,469,390
	Dec	21	653,254.28	31,107.35	622,373.86	29,636.85	30,033,373	1,430,160	31,835,217	1,515,962

Main Board Shenzhen-Hong Kong Stock Connect

20 most active stocks (northbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (RMB mil)	Sell Trades (RMB mil)	Turnover (Buy + Sell Trades) (RMB mil)	% of Northbound Total
1	300750	CONTEMPORARY AMPEREX TECHNOLOGY	269,037.97	233,511.32	502,549.29	3.36
2	000858	WULIANGYE YIBIN	205,474.93	209,349.44	414,824.37	2.78
3	300059	EAST MONEY INFORMATION	163,630.11	148,656.67	312,286.78	2.09
4	002594	BYD	141,093.36	139,627.91	280,721.27	1.88
5	300274	SUNGROW POWER SUPPLY	137,895.20	128,180.71	266,075.91	1.78
6	002475	LUXSHARE PRECISION INDUSTRY	107,086.31	103,130.78	210,217.09	1.41
7	000568	LUZHOU LAOJIAO	99,305.22	99,258.85	198,564.07	1.33
8	000333	MIDEA GROUP	104,148.12	91,753.30	195,901.42	1.32
9	002460	JIANGXI GANFENG LITHIUM	98,901.44	92,748.05	191,649.48	1.29
10	300760	SHENZHEN MINDRAY BIO-MEDICAL ELECTRONIC	99,627.23	86,000.44	185,627.67	1.25
11	002241	GOERTEK INC	93,938.73	88,010.19	181,948.92	1.22
12	300014	EVE ENERGY	84,573.07	77,699.09	162,272.16	1.09
13	000725	BOE TECHNOLOGY GROUP	77,821.76	81,502.48	159,324.24	1.07
14	300015	AIER EYE HOSPITAL GROUP	73,209.00	75,886.00	149,095.00	1.00
15	000651	GREE ELECTRIC APPLIANCES INC OF ZHUHAI	63,401.02	83,648.98	147,050.00	0.99
16	000001	PING AN BANK	69,797.34	71,288.13	141,085.46	0.95
17	002714	MUYUAN FOODS	69,623.09	69,222.45	138,845.53	0.93
18	002812	YUNNAN ENERGY NEW MATERIAL	65,423.64	63,819.01	129,242.65	0.87
19	002304	JIANGSU YANGHE BREWERY JOINT-STOCK	61,780.18	64,319.65	126,099.83	0.85
20	300124	SHENZHEN INOVANCE TECHNOLOGY	67,926.41	54,400.58	122,326.99	0.82
		Total	**2,153,694.10**	**2,062,014.05**	**4,215,708.15**	**28.21**
		Northbound Total	**7,590,998.55**	**7,352,557.04**	**14,943,555.59**	**100.00**

20 most active stocks (southbound) (in dollars)

Rank	Stock Code	Stock Name	Buy Trades (HK$mil)	Sell Trades (HK$mil)	Turnover (Buy + Sell Trades) (HK$mil)	% of Southbound Total
1	00700	TENCENT	292,670.26	229,379.79	522,050.05	10.77
2	03690	MEITUAN-W	143,759.13	139,347.87	283,106.99	5.84
3	01810	XIAOMI-W	91,860.06	87,220.37	179,080.43	3.70
4	00981	SMIC	66,171.45	59,330.30	125,501.75	2.59
5	00941	CHINA MOBILE	77,482.05	37,906.85	115,388.90	2.38
6	06969	SMOORE INTL	52,003.92	44,416.05	96,419.97	1.99
7	00175	GEELY AUTO	51,296.39	38,374.21	89,670.60	1.85
8	02269	WUXI BIO	48,410.22	41,259.79	89,670.01	1.85
9	00388	HKEX	45,955.94	42,419.29	88,375.24	1.82
10	00883	CNOOC	47,016.26	38,345.96	85,362.22	1.76
11	01211	BYD COMPANY	34,282.47	37,091.34	71,373.82	1.47
12	02382	SUNNY OPTICAL	40,317.64	30,666.80	70,984.44	1.47
13	03800	GCL-POLY ENERGY	34,514.14	36,359.92	70,874.06	1.46
14	00189	DONGYUE GROUP	36,055.58	32,359.71	68,415.29	1.41
15	02333	GREATWALL MOTOR	30,914.65	26,358.69	57,273.34	1.18
16	01772	GANFENGLITHIUM	22,569.60	29,673.67	52,243.27	1.08
17	02020	ANTA SPORTS	25,046.18	24,177.50	49,223.68	1.02
18	02013	WEIMOB INC	22,060.97	26,003.91	48,064.88	0.99
19	02331	LI NING	24,277.14	23,608.07	47,885.21	0.99
20	00291	CHINA RES BEER	23,300.36	18,626.03	41,926.39	0.87
		Total	**1,209,964.41**	**1,042,926.13**	**2,252,890.54**	**46.50**
		Southbound Total	**2,594,232.00**	**2,250,992.43**	**4,845,224.44**	**100.00**

Stock trading value, 1970-2021

HK$mil

Year	Whole year value	No. of trading days	Average daily	High day (*) Value	High day (*) Date	Low day (*) Value	Low day (*) Date
1970	6,056.13	294	20.60	56.69	03/10	6.15	06/09
1971	14,806.21	276	53.65	211.39	09/20	9.12	03/01
1972	42,907.54	247	173.71	659.34	11/10	21.48	02/02
1973	48,880.44	245	199.51	704.69	02/08	35.39	08/29
1974	11,436.70	245	46.68	219.33	06/17	10.91	12/05
1975	10,359.91	246	42.11	167.46	03/06	9.00	01/06
1976	13,183.31	248	53.16	223.42	02/26	9.72	07/26
1977	6,132.43	246	24.93	67.47	04/18	8.54	10/10
1978	27,445.86	244	112.48	410.91	08/18	9.39	02/10
1979	25,632.21	246	104.20	407.69	09/26	18.39	01/02
1980	95,670.54	246	388.90	1,424.95	11/03	47.58	04/09
1981	105,970.90	244	434.31	991.92	06/12	132.90	10/26
1982	46,221.25	247	187.13	2,064.18	04/26	68.68	12/23
1983	37,166.26	247	150.47	589.22	07/15	40.37	01/04
1984	48,808.70	248	196.81	1,264.27	01/13	38.50	01/03
1985	75,821.03	247	306.97	3,308.08	02/01	116.04	12/23
1986	123,128.35	247	498.50	1,721.13	10/08	124.62	02/05
1987	371,406.46	246	1,509.78	5,407.55	10/02	401.58	04/21
1988	199,480.68	248	804.36	1,889.48	06/15	230.00	09/19
1989	299,146.70	246	1,216.04	3,673.60	05/23	290.01	07/18
1990	288,714.90	248	1,164.17	3,280.82	08/07	338.36	12/28
1991	334,103.92	248	1,347.19	3,389.14	07/05	241.35	01/02
1992	700,577.50	250	2,802.31	6,420.47	05/25	965.50	01/03
1993	1,222,675.13	249	4,910.34	12,909.44	12/10	1,019.78	01/04
1994	1,137,414.00	248	4,586.35	17,204.66	01/07	1,313.55	07/04
1995	826,800.60	247	3,347.37	9,924.41	05/12	1,385.27	05/01
1996	1,412,242.38	249	5,671.66	13,803.84	12/06	2,734.90	05/27
1997	3,788,959.79	245	15,465.14	46,096.84	08/29	3,253.55	12/29
1998	1,701,112.01	247	6,887.09	79,002.61	08/28	1,221.90	12/28
1999	1,915,940.58	247	7,756.84	17,387.59	11/16	2,100.34	02/22
2000	3,047,565.32	247	12,338.32	35,746.19	02/15	4,565.80	12/27
2001	1,950,086.74	243	8,025.05	17,752.03	04/19	2,906.48	12/27
2002	1,599,074.57	247	6,473.99	12,982.41	05/02	2,734.31	01/02
2003	2,545,675.94	248	10,264.82	34,464.21	12/16	2,136.95	01/02
2004	3,948,350.84	249	15,856.83	38,758.84	01/13	7,378.09	08/17
2005	4,498,095.11	247	18,210.91	34,617.04	03/02	9,835.58	05/24
2006	8,332,633.24	247	33,735.36	76,008.14	10/27	16,451.20	06/19
2007	21,506,271.44	246	87,423.87	209,808.30	10/03	35,013.62	03/15
2008	17,600,713.87	245	71,839.65	156,353.59	01/23	21,951.90	12/29
2009	15,439,486.88	249	62,005.97	146,624.25	05/13	28,531.97	03/12
2010	17,076,412.15	249	68,579.97	138,533.28	10/14	33,995.19	02/18
2011	17,091,116.87	246	69,476.08	124,773.84	08/05	24,466.29	12/30
2012	13,267,508.72	247	53,714.61	127,100.48	03/06	32,641.54	01/03
2013	15,185,792.16	244	62,236.85	128,156.37	02/04	38,487.85	07/22

Stock trading value, 1970-2021 *(continued)*

Year	Whole year value	No. of trading days	Average daily	High day (*) Value	High day (*) Date	Low day (*) Value	Low day (*) Date
2014	16,990,272.09	247	68,786.53	147,757.45	12/05	39,200.50	05/26
2015	25,835,958.29	247	104,599.02	291,528.73	04/09	37,862.23	12/29
2016	16,279,975.49	245	66,448.88	116,801.74	09/09	43,757.44	05/23
2017	21,560,099.47	246	87,642.68	171,931.66	11/30	49,248.76	02/02
2018	26,295,274.58	246	106,891.36	283,592.75	03/23	46,958.83	12/28
2019	21,390,188.29	246	86,951.98	168,754.50	02/25	53,106.07	09/27
2020	32,023,781.80	248	129,128.15	267,456.85	11/11	70,454.61	05/05
2021	41,086,361.69	247	166,341.55	360,670.43	07/27	62,945.37	12/29
Records since 1970							
High year: 2021			High day: 2021/07/27				
Low year: 1970			Low day: 1970/06/09				

(*) Only full trading days are included for the records.
Market turnover values since 1993 were adjusted for late reported and rejected sales.
Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976-2021)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK$mil)	Market capitalisation (HK$mil)
1976	295	319	n.a.	56,675
1977	284	315	n.a.	51,278
1978	265	298	n.a.	65,939
1979	262	298	n.a.	112,809
1980	262	309	n.a.	209,753
1981	269	335	n.a.	232,331
1982	273	342	n.a.	131,640
1983	277	351	n.a.	142,094
1984	278	348	n.a.	184,642
1985	279	340	n.a.	269,511
1986	253	335	14,707	419,281
1987	276	412	48,082	419,612
1988	304	479	18,506	580,378
1989	298	479	20,345	605,010
1990	299	520	21,233	650,410
1991	357	597	39,457	949,172
1992	413	749	105,113	1,332,184
1993	477	891	90,005	2,975,379
1994	529	1,006	51,861	2,085,182
1995	542	1,033	39,202	2,348,310
1996	583	1,272	100,018	3,475,965
1997	658	1,533	247,577	3,202,630
1998	680	1,246	38,257	2,661,713
1999	701	1,205	148,120	4,727,527
2000	736	1,294	451,281	4,795,150
2001	756	1,075	58,593	3,885,342
2002	812	1,416	101,413	3,559,099
2003	852	1,598	209,116	5,477,670
2004	892	1,971	276,521	6,629,177
2005	934	2,448	298,660	8,113,333
2006	975	3,184	516,025	13,248,821
2007	1,048	5,896	571,078	20,536,463
2008	1,087	5,654	418,188	10,253,589
2009	1,145	6,441	637,734	17,769,271
2010	1,244	7,730	845,486	20,942,284
2011	1,326	6,551	482,834	17,452,667
2012	1,368	6,723	300,231	21,871,730
2013	1,451	8,330	369,822	23,908,804
2014	1,548	8,856	929,399	24,892,419
2015	1,644	8,792	1,093,551	24,425,555
2016	1,713	8,330	471,172	24,450,435
2017	1,794	12,478	567,401	33,717,995
2018	1,926	12,900	533,216	29,723,238
2019	2,071	12,416	449,970	38,058,338
2020	2,170	16,672	743,400	47,392,196
2021	2,219	18,528	769,960	42,272,766

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Main Board Historical Section

Highest turnover records

Main Board

Year

Rank	Value (HK$mil)	Year
1	41,086,361.69	2021
2	32,023,781.80	2020
3	26,295,274.58	2018
4	25,835,958.29	2015
5	21,560,099.47	2017
6	21,506,271.44	2007
7	21,390,188.29	2019
8	17,600,713.87	2008
9	17,091,116.87	2011
10	17,076,412.14	2010

Month

Rank	Value (HK$mil)	Month/Year
1	4,897,285.89	01/2021
2	4,552,433.23	03/2021
3	4,194,512.73	02/2021
4	3,863,046.39	07/2021
5	3,764,713.11	04/2015
6	3,622,193.23	07/2020
7	3,525,010.54	01/2018
8	3,498,432.67	08/2021
9	3,473,846.35	10/2007
10	3,375,750.80	11/2020

Quarter

Rank	Value (HK$mil)	Quarter/Year
1	13,644,231.85	1/2021
2	10,561,137.77	3/2021
3	9,762,437.26	2/2015
4	9,140,802.13	3/2020
5	9,058,888.59	2/2021
6	8,869,379.62	1/2018
7	8,575,140.05	4/2020
8	8,306,624.27	4/2007
9	7,822,103.48	4/2021
10	7,482,232.28	1/2020

Day

Rank	Value (HK$mil)	Date
1	360,670.43	2021/07/27
2	353,005.37	2021/02/24
3	320,238.98	2021/02/26
4	302,588.13	2021/02/22
5	301,579.95	2021/01/19
6	300,275.88	2021/01/20
7	291,528.73	2015/04/09
8	286,909.33	2021/01/25
9	283,592.75	2018/03/23
10	282,680.98	2021/02/18

Main Board and GEM

Year

Rank	Value (HK$mil)	Year
1	41,182,253.21	2021
2	32,110,147.90	2020
3	26,422,762.07	2018
4	26,090,621.56	2015
5	21,709,160.48	2017
6	21,665,529.92	2007
7	21,440,049.17	2019
8	17,652,800.86	2008
9	17,210,077.74	2010
10	17,155,730.33	2014

Month

Rank	Value (HK$mil)	Month/Year
1	4,914,177.63	01/2021
2	4,564,574.26	03/2021
3	4,210,041.70	02/2021
4	3,870,929.61	07/2021
5	3,801,844.34	04/2015
6	3,632,256.76	07/2020
7	3,540,695.52	01/2018
8	3,505,522.71	08/2021
9	3,487,325.59	10/2007
10	3,387,016.51	11/2020

Quarter

Rank	Value (HK$mil)	Quarter/Year
1	13,688,793.59	1/2021
2	10,581,494.03	3/2021
3	9,894,068.82	2/2015
4	9,169,119.59	3/2020
5	9,077,639.90	2/2021
6	8,909,838.11	1/2018
7	8,601,221.26	4/2020
8	8,339,767.42	4/2007
9	7,834,325.69	4/2021
10	7,498,539.15	1/2020

Day

Rank	Value (HK$mil)	Date
1	361,238.46	2021/07/27
2	354,330.83	2021/02/24
3	321,313.60	2021/02/26
4	303,594.72	2021/02/22
5	302,507.51	2021/01/19
6	301,244.82	2021/01/20
7	293,910.76	2015/04/09
8	288,079.47	2021/01/25
9	284,101.95	2018/03/23
10	284,013.14	2021/02/18

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.
Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

10 largest day-to-day fluctuations in stock price indices

S&P/HKEX LargeCap Index 1998-2021

28 February 2003 = 10000

Rank	% up	Date	Closing index
1	15.04	1998/02/02	11001.95
2	14.29	2008/10/28	15507.37
3	12.64	2008/10/30	17632.61
4	10.97	2008/01/23	29340.77
5	10.09	2008/10/13	19931.74
6	9.95	1998/10/16	10078.68
7	9.29	2008/09/19	23629.69
8	9.20	1998/09/07	8013.39
9	8.75	1998/08/14	7133.91
10	8.28	2008/12/08	18707.83

Rank	% down	Date	Closing index
1	12.59	2008/10/27	13569.03
2	9.82	1998/01/12	8439.81
3	8.87	2001/09/12	10522.63
4	8.70	2000/04/17	15693.12
5	8.67	2008/01/22	26440.70
6	8.19	2008/10/24	15523.90
7	8.14	2008/10/08	18980.80
8	7.53	1998/08/31	7089.75
9	7.49	2008/10/10	18104.89
10	6.91	2000/01/05	16613.10

Rank	Points up	Date	Closing index
1	2900.07	2008/01/23	29340.77
2	2009.16	2008/09/19	23629.69
3	1978.01	2008/10/30	17632.61
4	1938.34	2008/10/28	15507.37
5	1907.46	2008/01/25	30474.42
6	1826.85	2008/10/13	19931.74
7	1820.24	2021/07/29	38659.72
8	1690.88	2007/11/14	35076.20
9	1672.32	2021/01/25	48081.04
10	1645.58	2020/11/05	42548.80

Rank	Points down	Date	Closing index
1	2509.29	2008/01/22	26440.70
2	2199.35	2018/02/06	39865.67
3	2179.64	2021/07/26	38332.66
4	2147.29	2021/07/27	36185.37
5	2007.82	2021/02/26	44773.18
6	1954.87	2008/10/27	13569.03
7	1916.47	2007/11/05	35248.33
8	1879.95	2020/05/22	32863.21
9	1791.06	2015/07/08	28851.92
10	1703.15	2008/01/16	29740.34

Hang Seng Index 1970-2021

31 July 1964 = 100

Rank	% up	Date	Closing index
1	18.82	1997/10/29	10765.30
2	14.41	1973/02/09	1449.91
3	14.35	2008/10/28	12596.29
4	14.33	1998/02/02	10578.60
5	13.36	1974/11/27	185.17
6	13.20	1973/02/02	1098.86
7	13.20	1973/04/11	926.45
8	12.82	2008/10/30	14329.85
9	12.35	1975/01/27	209.62
10	11.71	1973/07/18	602.34

Rank	% down	Date	Closing index
1	33.33	1987/10/26	2241.69
2	21.75	1989/06/05	2093.61
3	13.70	1997/10/28	9059.89
4	13.31	1973/03/26	1229.28
5	12.70	2008/10/27	11015.84
6	11.48	1973/04/10	818.39
7	11.43	1972/11/13	672.62
8	11.12	1987/10/19	3362.39
9	10.78	1989/05/22	2806.57
10	10.41	1997/10/23	10426.30

Rank	Points up	Date	Closing index
1	2332.54	2008/01/23	24090.17
2	1705.41	1997/10/29	10765.30
3	1695.27	2008/09/19	19327.73
4	1627.78	2008/10/30	14329.85
5	1583.10	2008/01/25	25122.37
6	1580.45	2008/10/28	12596.29
7	1515.29	2008/10/13	16312.16
8	1362.66	2007/11/14	29166.01
9	1356.30	2008/03/25	22464.52
10	1326.24	1998/02/02	10578.60

Rank	Points down	Date	Closing index
1	2061.23	2008/01/22	21757.63
2	1649.80	2018/02/06	30595.42
3	1602.54	2008/10/27	11015.84
4	1526.02	2007/11/05	28942.32
5	1458.75	2015/07/08	23516.56
6	1438.31	1997/10/28	9059.89
7	1386.93	2008/01/16	24450.85
8	1383.01	2008/01/21	23818.86
9	1380.39	2000/04/17	14762.37
10	1372.03	2008/10/08	15431.73

World stock market indices (2017–2021)

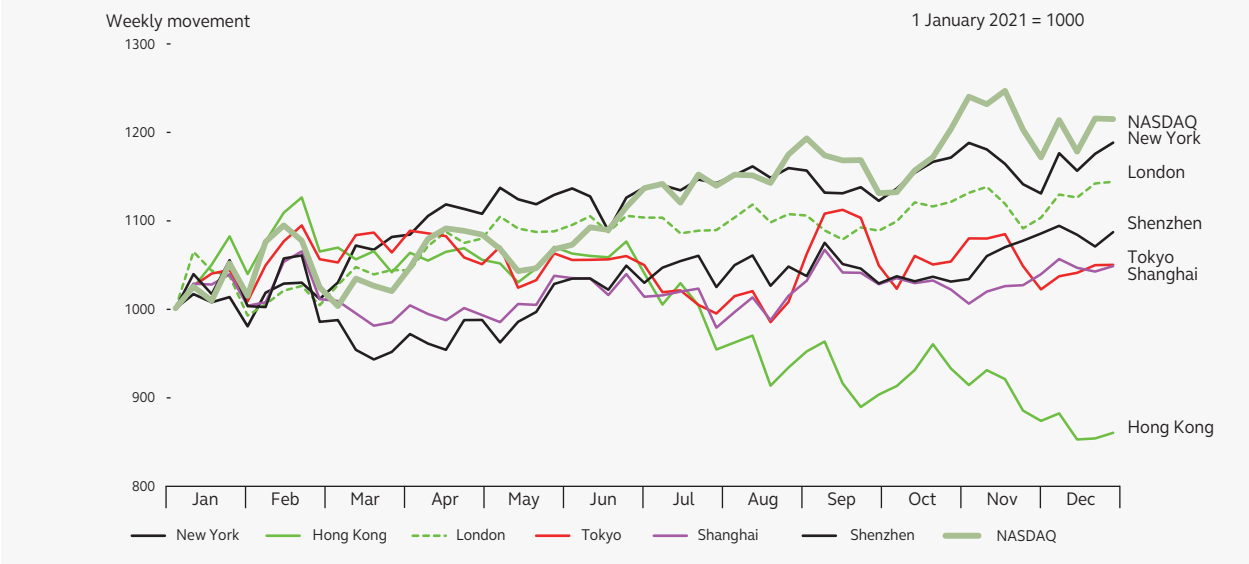
Year/Month	AUSTRALIA All Ordinaries Index	GERMANY DAX Kursindex	HONG KONG Hang Seng Index	KOREA KOSPI Index	LONDON FTSE 100 Index	NASDAQ Nasdaq Composite Index	NEW YORK DJIA Index
2017	6167.30	6122.26	29919.15	2467.49	7687.77	6903.39	24719.22
2018	5709.40	4862.74	25845.70	2041.04	6728.13	6635.28	23327.46
2019	6802.40	5909.66	28189.75	2197.67	7542.44	8972.60	28538.44
2020	6850.60	5935.22	27231.13	2873.47	6460.52	12888.28	30606.48
2021	7779.20	6709.66	23397.67	2977.65	7384.54	15644.97	36338.30
2021							
Jan	6870.90	5811.52	28283.71	2976.21	6407.46	13070.70	29982.62
Feb	6940.60	5948.94	28980.21	3012.95	6483.43	13192.35	30932.37
Mar	7017.00	6473.46	28378.35	3061.42	6713.63	13246.87	32981.55
Apr	7290.70	6465.50	28724.88	3147.86	6969.81	13962.68	33874.85
May	7406.70	6528.32	29151.80	3203.92	7022.61	13748.74	34529.45
Jun	7585.00	6569.55	28827.95	3296.68	7037.47	14503.95	34502.51
Jul	7664.20	6570.29	25961.03	3202.32	7032.30	14672.68	34935.47
Aug	7823.30	6693.35	25878.99	3199.27	7119.70	15259.24	35360.73
Sep	7629.70	6448.35	24575.64	3068.82	7086.42	14448.58	33843.92
Oct	7639.10	6629.23	25377.24	2970.68	7237.57	15498.39	35819.56
Nov	7587.40	6380.50	23475.26	2839.01	7059.45	15537.69	34483.72
Dec	7779.20	6709.66	23397.67	2977.65	7384.54	15644.97	36338.30

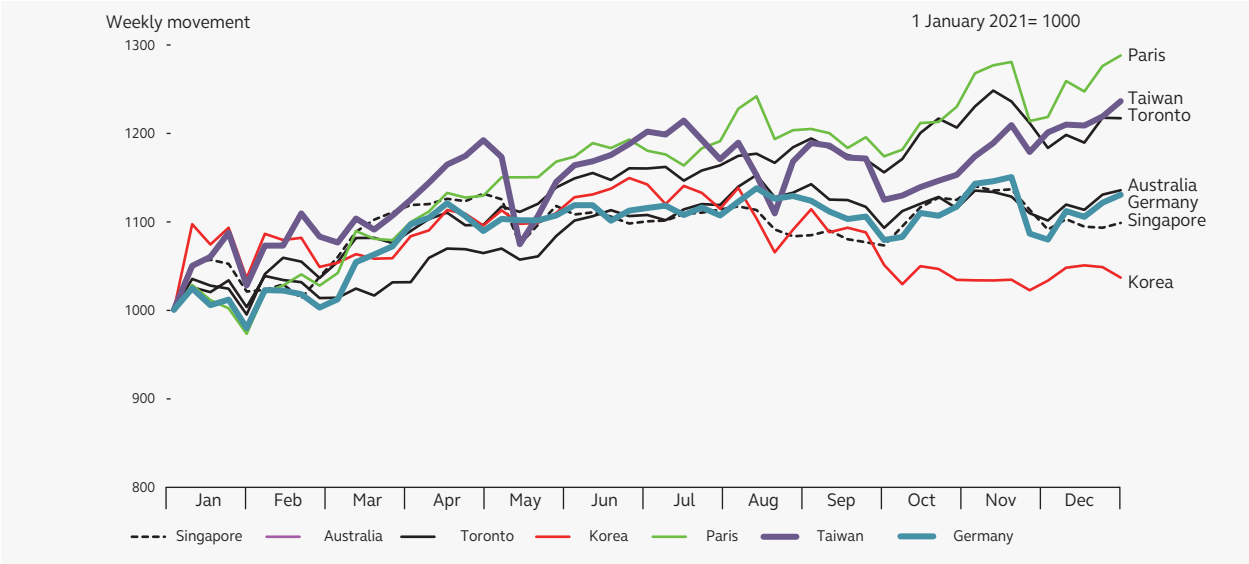
Note: Month-end or year-end figures.

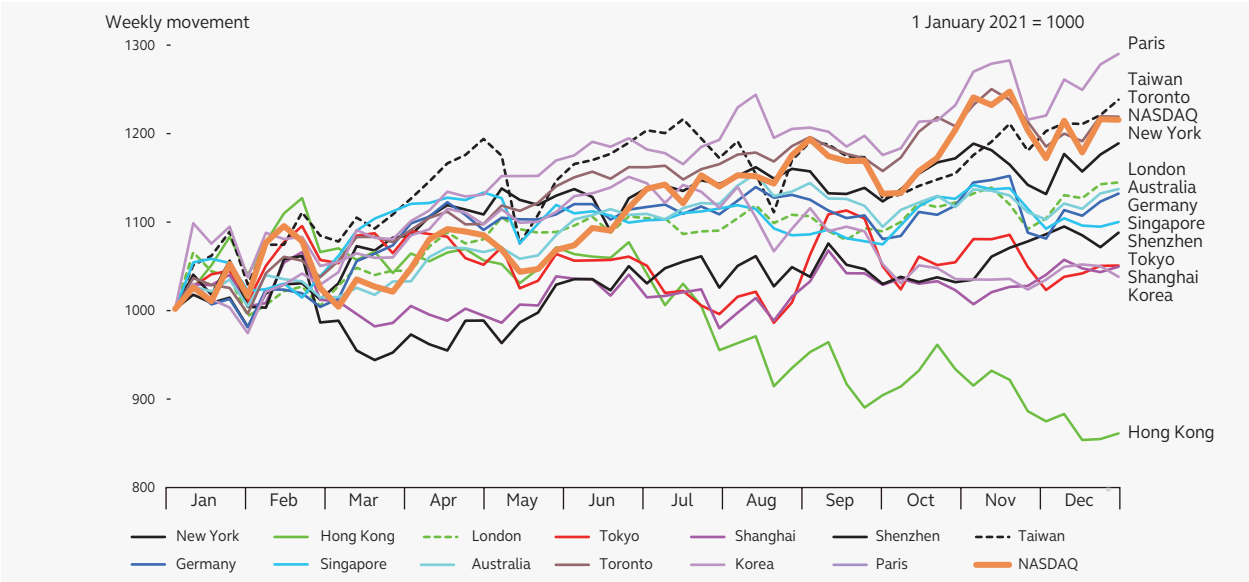
Year/Month	PARIS CAC 40 Index	SHANGHAI A-Share Index	SHENZHEN A-Share Index	SINGAPORE Straits Times Index	TAIWAN Weighted Index	TOKYO Nikkei 225 Index	TORONTO S&P/TSX Composite Index
2017	5312.56	3463.48	1986.42	3402.92	10642.86	22764.94	16209.13
2018	4730.69	2611.38	1325.66	3068.76	9727.41	20014.77	14322.86
2019	5978.06	3195.98	1802.29	3222.83	11997.14	23656.62	17063.43
2020	5551.41	3640.46	2437.58	2843.81	14732.53	27444.17	17433.36
2021	7153.03	3814.30	2647.67	3123.68	18218.84	28791.71	21222.84
2021							
Jan	5399.21	3650.95	2443.60	2902.52	15138.31	27663.39	17337.02
Feb	5703.22	3678.27	2400.14	2949.04	15953.80	28966.01	18060.26
Mar	6067.23	3607.72	2320.30	3165.34	16431.13	29178.80	18700.67
Apr	6269.48	3612.81	2405.47	3218.27	17566.66	28812.63	19108.33
May	6447.17	3789.79	2532.05	3164.28	17068.43	28860.08	19730.99
Jun	6507.83	3764.20	2580.66	3130.46	17755.46	28791.53	20165.58
Jul	6612.76	3560.74	2496.17	3166.94	17247.41	27283.59	20287.80
Aug	6680.18	3714.33	2542.52	3055.05	17490.29	28089.54	20582.94
Sep	6520.01	3739.57	2506.09	3086.70	16934.77	29452.66	20070.25
Oct	6830.34	3717.82	2511.37	3198.17	16987.41	28892.69	21037.07
Nov	6721.16	3735.22	2636.28	3041.29	17427.76	27821.76	20659.99
Dec	7153.03	3814.30	2647.67	3123.68	18218.84	28791.71	21222.84

Note: Month-end or year-end figures.

World stock market indices (2021)







GEM Market Indices

S&P/HKEX GEM Index, daily closing (2021)

28 February 2003 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	172.60	168.05	143.16	–	107.03	–	–	89.03	–	75.99	68.11
2	–	175.60	157.02	–	–	106.36	101.02	85.03	87.79	–	76.11	67.64
3	–	181.08	166.13	–	134.17	105.67	–	90.30	86.50	–	74.83	66.02
4	147.89	175.05	152.87	–	132.95	102.03	–	93.19	–	80.41	75.51	–
5	160.03	169.69	150.25	–	130.74	–	99.97	90.29	–	79.83	74.38	–
6	155.74	–	–	–	130.60	–	100.82	88.83	87.27	79.05	–	63.28
7	152.76	–	–	139.56	123.64	96.21	99.37	–	89.52	81.52	–	65.04
8	160.75	171.07	140.22	136.40	–	102.18	99.52	–	89.25	81.20	74.61	66.40
9	–	186.77	137.06	135.80	–	104.32	99.86	87.88	88.81	–	74.66	68.05
10	–	183.79	141.01	–	119.15	101.72	–	90.54	89.22	–	74.10	66.37
11	153.32	191.27	152.11	–	104.13	99.88	–	91.01	–	82.80	73.10	–
12	160.96	–	152.44	131.08	100.33	–	99.15	84.28	–	81.86	72.93	–
13	158.02	–	–	130.13	99.62	–	100.22	84.26	87.94	–	–	65.70
14	169.41	–	–	136.19	101.14	–	97.64	–	84.90	–	–	64.23
15	177.43	–	148.59	133.73	–	101.12	92.93	–	82.37	82.36	72.45	63.56
16	–	192.38	154.44	136.95	–	99.16	91.97	80.59	79.16	–	71.51	64.53
17	–	216.32	158.67	–	100.15	101.28	–	77.30	81.06	–	71.16	63.57
18	175.20	206.84	149.58	–	102.11	102.94	–	76.57	–	80.59	73.31	–
19	176.57	203.86	140.21	142.02	–	–	86.79	76.25	–	82.14	72.14	–
20	194.35	–	–	142.75	103.68	–	87.38	73.27	79.57	82.16	–	60.71
21	184.07	–	–	140.56	103.61	102.86	87.99	–	79.13	80.58	–	61.50
22	194.98	189.24	141.29	143.85	–	103.19	92.10	–	–	80.97	71.89	62.54
23	–	192.72	135.42	144.10	–	104.96	88.92	74.86	78.85	–	71.71	61.00
24	–	172.62	130.94	–	102.79	103.04	–	75.84	77.10	–	72.20	61.16
25	190.96	169.33	139.69	–	103.44	102.66	–	76.19	–	80.27	70.75	–
26	183.38	169.03	137.30	143.71	104.25	–	84.65	74.14	–	79.68	71.38	–
27	174.51	–	–	144.15	107.65	–	77.56	74.40	77.31	78.17	–	–
28	168.59	–	–	142.76	102.76	104.37	80.55	–	77.80	76.60	–	59.48
29	168.27	–	133.16	140.88	–	102.80	85.39	–	77.54	77.40	69.57	59.09
30	–	–	136.58	135.18	–	103.60	85.18	78.71	79.08	–	68.58	60.07
31	–	–	136.91	–	106.08	–	–	87.80	–	–	–	60.99
High	194.98	**216.32**	168.05	144.15	134.17	107.03	101.02	93.19	89.52	82.80	76.11	68.11
Low	147.89	169.03	130.94	130.13	99.62	96.21	77.56	73.27	77.10	76.60	68.58	**59.09**
Avg	170.36	184.40	146.08	139.10	110.65	102.73	92.33	82.34	83.30	80.42	72.86	63.59

Note: S&P/HKEX GEM Index is compiled by Standard & Poors.

S&P/HKEX GEM Index, daily movement (2021)

GEM Listing Statistics

Number of securities by type on GEM, 2017-2021

	Year				
Type	**2017**	**2018**	**2019**	**2020**	**2021**
Ordinary shares	324	389	378	368	353
Preference shares	0	0	0	0	0
Warrants	1	1	1	1	1
– Equity warrants	*1*	*1*	*1*	*1*	*1*
– Derivative warrants	*0*	*0*	*0*	*0*	*0*
Total	**325**	**390**	**379**	**369**	**354**

Number of newly listed securities on GEM, 2017-2021

	Year				
Type	**2017**	**2018**	**2019**	**2020**	**2021**
Ordinary shares	80	75	15	8	1
Preference shares	0	0	0	0	0
Warrants	0	0	0	0	1
– Equity warrants	*0*	*0*	*0*	*0*	*1*
– Derivative warrants	*0*	*0*	*0*	*0*	*0*
Total	**80**	**75**	**15**	**8**	**2**

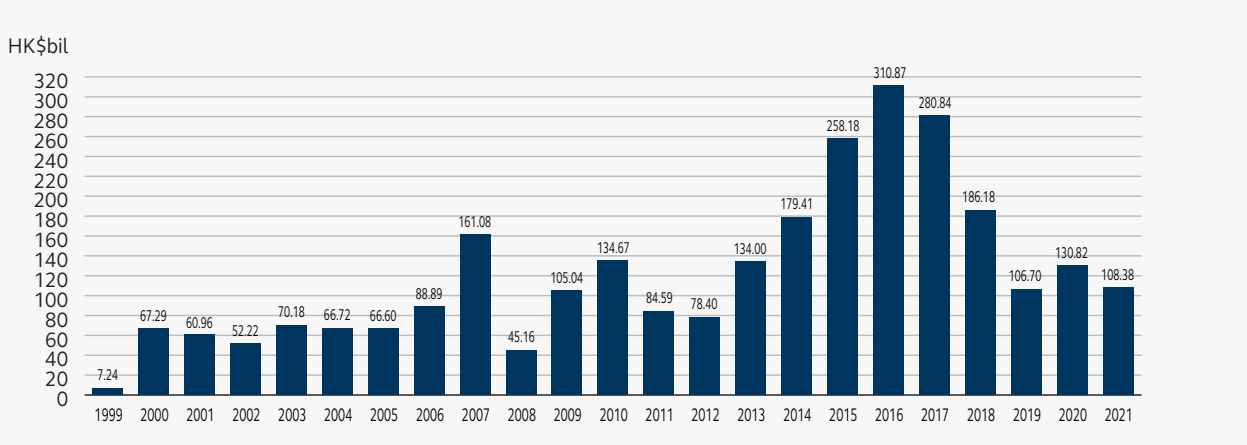
Number of delisted securities from GEM, 2017-2021

	Year				
Type	**2017**	**2018**	**2019**	**2020**	**2021**
Ordinary shares	16	10	26	18	16
– Withdrawal of listing	*3*	*0*	*6*	*10*	*14*
*– Transfer of listing on Main Board**	*13*	*10*	*20*	*8*	*2*
Warrants – equity	0	0	0	0	1
Total	**16**	**10**	**26**	**18**	**17**

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

GEM Market Capitalisation

Market capitalisation of GEM, 1999-2021



Market capitalisation of GEM by Hang Seng Industry Classification System, 2021

HK$mil

Industry Classification *	2021 Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,372.46	1,387.17	1,296.87	1,328.04	1,374.12	2,838.10	2,741.98	3,568.98	4,342.92	2,337.16	2,858.10	2,567.31
Materials	848.63	865.07	919.38	872.83	1,103.64	1,129.75	961.46	1,053.50	1,192.84	1,218.68	1,114.87	989.78
Industrials	13,628.54	14,107.70	13,027.50	13,613.29	13,570.74	12,995.66	13,280.80	13,768.14	12,628.52	12,118.96	11,611.39	11,628.28
Consumer Discretionary	33,217.05	39,528.21	39,914.36	42,617.20	44,210.61	51,799.54	48,215.77	51,709.12	48,715.38	49,515.24	48,803.19	45,644.96
Consumer Staples	2,704.50	2,813.41	2,910.92	3,028.83	3,045.34	2,890.08	2,760.75	2,653.20	1,736.36	1,810.07	1,840.17	1,728.18
Healthcare	5,717.57	5,995.36	5,850.18	5,582.61	5,317.63	5,960.28	5,494.94	5,398.18	5,310.77	5,044.12	5,784.59	4,987.95
Telecommunications	36.98	31.43	30.13	49.92	33.28	33.28	25.88	29.58	84.75	80.13	79.10	102.30
Utilities	365.32	401.21	384.20	421.47	346.00	390.44	353.17	415.51	392.27	394.97	411.40	394.72
Financials	8,166.29	9,891.71	11,061.89	9,818.96	8,618.22	9,419.12	8,143.71	9,235.34	8,727.47	8,935.49	9,051.67	7,853.62
Properties & Construction	12,047.32	12,193.80	13,073.06	12,956.22	12,501.28	12,436.99	13,881.40	13,176.83	13,814.05	14,589.81	14,804.97	13,113.48
Information Technology	68,406.55	71,210.51	55,604.50	54,496.96	39,843.56	35,790.32	28,776.68	28,236.33	26,140.38	26,060.48	20,821.47	18,733.78
Conglomerates	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	899.52	903.37	888.91	636.58
Total	**146,511.20**	**158,425.59**	**144,073.01**	**144,786.33**	**129,964.41**	**135,683.57**	**124,636.56**	**129,244.71**	**123,985.24**	**123,008.50**	**118,069.82**	**108,380.95**

Note: Month-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation trends on GEM by Hang Seng Industry Classification System, 2017-2021

HK$mil

Industry Classification *	2017		2018		2019		2020		2021	
Energy	1,471.69	(0.52%)	1,720.02	(0.92%)	1,150.19	(1.08%)	1,416.11	(1.08%)	2,567.31	(2.37%)
Materials	5,031.41	(1.79%)	4,708.81	(2.53%)	1,542.59	(1.45%)	848.03	(0.65%)	989.78	(0.91%)
Industrials	42,680.61	(15.20%)	20,307.90	(10.91%)	12,873.24	(12.06%)	12,052.84	(9.21%)	11,628.28	(10.73%)
Consumer Discretionary ^	n.a.	–	n.a.	–	35,853.20	(33.60%)	33,375.43	(25.51%)	45,644.96	(42.12%)
Consumer Staples ^	n.a.	–	n.a.	–	4,155.65	(3.89%)	4,418.57	(3.38%)	1,728.18	(1.59%)
Healthcare ^	n.a.	–	n.a.	–	5,828.78	(5.46%)	6,319.51	(4.83%)	4,987.95	(4.60%)
Telecommunications	1,078.79	(0.38%)	489.46	(0.26%)	213.88	(0.20%)	55.46	(0.04%)	102.30	(0.09%)
Utilities	5,832.47	(2.08%)	1,227.36	(0.66%)	583.42	(0.55%)	387.56	(0.30%)	394.72	(0.36%)
Financials	42,377.61	(15.09%)	20,615.57	(11.07%)	7,257.03	(6.80%)	6,419.07	(4.91%)	7,853.62	(7.25%)
Properties & Construction	18,263.10	(6.50%)	26,956.95	(14.48%)	17,749.96	(16.64%)	15,129.77	(11.57%)	13,113.48	(12.10%)
Information Technology	50,442.88	(17.96%)	29,105.84	(15.63%)	19,379.54	(18.16%)	50,400.50	(38.53%)	18,733.78	(17.29%)
Conglomerates	109.30	(0.04%)	313.13	(0.17%)	111.82	(0.10%)	0.00	(0.00%)	636.58	(0.59%)
Consumer Goods ^	40,499.17	(14.42%)	28,332.16	(15.22%)	n.a.	–	n.a.	–	n.a.	–
Consumer Services ^	73,054.41	(26.01%)	52,406.12	(28.15%)	n.a.	–	n.a.	–	n.a.	–
Equity total	**280,841.44**	**(100.00%)**	**186,183.32**	**(100.00%)**	**106,699.32**	**(100.00%)**	**130,822.84**	**(100.00%)**	**108,380.95**	**(100.00%)**

( ) % of equity market total

Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

GEM Market Capitalisation

50 leading companies in market capitalisation on GEM

End of 2021

Rank	Code	Company	Issued capital (shares)	Closing price (HK$)	Market capitalisation (HK$mil)	% of market total
1	08032	Viva China Holdings Ltd.	9,620,762,726	1.540	14,815.97	13.67
2	08083	China Youzan Ltd.	17,260,003,617	0.540	9,320.40	8.60
3	08075	Media Asia Group Holdings Ltd.	2,986,314,015	1.190	3,553.71	3.28
4	08137	Honbridge Holdings Ltd.	9,854,533,606	0.360	3,547.63	3.27
5	08279	AGTech Holdings Ltd.	11,672,342,235	0.285	3,326.62	3.07
6	08328	Xinyi Electric Storage Holdings Ltd.	713,303,188	4.000	2,853.21	2.63
7	08295	Zhongjin Technology Services Group Co. Ltd.	4,188,519,590	0.500	2,094.26	1.93
8	08167	Neo Telemedia Ltd.	9,522,184,345	0.211	2,009.18	1.85
9	08053	Pizu Group Holdings Ltd.	3,558,724,852	0.510	1,814.95	1.67
10	08527	JLogo Holdings Ltd.	500,000,000	2.880	1,440.00	1.33
11	08395	Tree Holdings Ltd.	1,584,000,000	0.870	1,378.08	1.27
12	08037	China Biotech Services Holdings Ltd.	963,231,150	1.380	1,329.26	1.23
13	08506	S&S Intervalue China Ltd.	1,000,000,000	1.310	1,310.00	1.21
14	08170	China All Nation International Holdings Group Ltd.	411,200,000	3.000	1,233.60	1.14
15	08029	Imperium Financial Group Ltd.	2,284,254,768	0.470	1,073.60	0.99
16	08402	GT Steel Construction Group Ltd.	480,000,000	2.200	1,056.00	0.97
17	08172	Lajin Entertainment Network Group Ltd.	4,209,131,046	0.250	1,052.28	0.97
18	08316	Quantong Holdings Ltd.	800,000,000	1.310	1,048.00	0.97
19	08005	Yuxing InfoTech Investment Holdings Ltd.	2,487,704,800	0.410	1,019.96	0.94
20	08223	Ziyuanyuan Holdings Group Ltd.	400,000,000	2.500	1,000.00	0.92
21	08418	Optima Automobile Group Holdings Ltd.	850,000,000	1.120	952.00	0.88
22	08349	Yunhong Guixin Group Holdings Ltd.	400,000,000	2.260	904.00	0.83
23	08227	Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares	645,764,706	1.370	884.70	0.82
24	08132	Century Energy International Holdings Ltd.	2,533,465,453	0.340	861.38	0.79
25	08158	China Regenerative Medicine International Ltd.	2,854,289,500	0.280	799.20	0.74
26	08156	Sinopharm Tech Holdings Ltd.	4,537,688,780	0.168	762.33	0.70
27	08246	Zhonghua Gas Holdings Ltd.	3,666,936,000	0.203	744.39	0.69
28	08021	WLS Holdings Ltd.	14,367,101,072	0.051	732.72	0.68
29	08406	Alpha Era International Holdings Ltd.	800,000,000	0.870	696.00	0.64
30	08441	IWS Group Holdings Ltd.	800,000,000	0.820	656.00	0.61
31	08479	JTF International Holdings Ltd.	930,000,000	0.700	651.00	0.60
32	08011	Polyard Petroleum International Group Ltd.	3,836,982,257	0.158	606.24	0.56
33	08081	Hang Tai Yue Group Holdings Ltd.	5,336,235,108	0.110	586.99	0.54
34	08050	Quantum Thinking Ltd.	1,356,250,000	0.420	569.63	0.53
35	08026	China Brilliant Global Ltd.	1,457,238,414	0.390	568.32	0.52
36	08057	Madison Holdings Group Ltd.	6,231,272,277	0.089	554.58	0.51
37	08535	Vistar Holdings Ltd.	1,200,000,000	0.415	498.00	0.46
38	08391	Cornerstone Technologies Holdings Ltd.	607,790,541	0.810	492.31	0.45
39	08367	Simplicity Holding Ltd.	960,000,000	0.485	465.60	0.43
40	08195	Legendary Group Ltd.	358,456,059	1.295	464.20	0.43
41	08017	TradeGo FinTech Ltd.	600,000,000	0.770	462.00	0.43
42	08047	China Ocean Group Development Ltd.	5,103,656,179	0.090	459.33	0.42
43	08540	Victory Securities (Holdings) Co. Ltd.	200,042,000	2.290	458.10	0.42
44	08128	China Geothermal Industry Development Group Ltd.	4,526,925,163	0.100	452.69	0.42
45	08153	Farnova Group Holdings Ltd.	7,141,423,920	0.063	449.91	0.42
46	08311	Perfect Optronics Ltd.	1,483,687,151	0.300	445.11	0.41
47	08325	China Smartpay Group Holdings Ltd.	2,367,618,693	0.183	433.27	0.40
48	08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	814,464,000	0.520	423.52	0.39
49	08292	Worldgate Global Logistics Ltd.	633,600,000	0.610	386.50	0.36
50	08225	China Health Group Inc.	995,351,660	0.385	383.21	0.35
		Total			**74,079.95**	**68.35**
		Equity total			**108,380.95**	**100.00**

GEM Trading Statistics and Analysis

Trading value and volume for GEM, 2017-2021

Year/Month		No. of trading days	Value (HK$mil)		Volume (mil of shares)		Deals	
			Total	Average daily	Total	Average daily	Total	Average daily
2017		246	149,061.01	605.94	477,690.71	1,941.83	4,785,031	19,451
2018		246	127,487.49	518.24	334,890.26	1,361.34	4,629,493	18,819
2019		246	49,860.88	202.69	190,020.19	772.44	2,418,371	9,831
2020		248	86,366.10	348.25	197,684.82	797.12	2,626,527	10,591
2021		247	95,891.52	388.22	201,576.29	816.10	2,964,908	12,004
2021	Jan	20	16,891.75	844.59	22,458.31	1,122.92	410,179	20,509
	Feb	18	15,528.96	862.72	17,552.79	975.16	361,957	20,109
	Mar	23	12,141.03	527.87	17,812.18	774.44	317,027	13,784
	Apr	19	5,097.97	268.31	14,901.56	784.29	188,395	9,916
	May	20	7,564.48	378.22	16,151.30	807.57	237,743	11,887
	Jun	21	6,088.86	289.95	17,365.58	826.93	200,823	9,563
	Jul	21	7,883.22	375.39	22,106.46	1,052.69	265,941	12,664
	Aug	22	7,090.03	322.27	17,980.98	817.32	251,452	11,430
	Sep	21	5,383.00	256.33	14,824.95	705.95	203,860	9,708
	Oct	18	4,068.47	226.03	11,867.16	659.29	163,937	9,108
	Nov	22	4,431.33	201.42	14,232.25	646.92	190,395	8,654
	Dec	22	3,722.41	169.20	14,322.76	651.03	173,199	7,873

Trading value for GEM by Hang Seng Industry Classification System, 2021

Industry Classification *	No. of issue #	Equity turnover		
		HK$mil	% of total	Annual turnover velocity (%)
Energy	9	1,864.17	1.94	72.61
Materials	10	395.08	0.41	39.92
Industrials	46	4,159.77	4.34	35.77
Consumer Discretionary	116	23,833.07	24.85	52.21
Consumer Staples	14	1,106.06	1.15	64.00
Healthcare	17	1,681.35	1.75	33.71
Telecommunications	2	2.39	0.00	2.34
Utilities	3	34.10	0.04	8.64
Financials	30	3,460.05	3.61	44.06
Properties & Construction	51	7,102.37	7.41	54.16
Information Technology	53	52,233.23	54.47	278.82
Conglomerates	2	19.81	0.02	3.11
Total	**353**	**95,891.44**	**100.00**	**88.48**

Notes:

1. Equity turnover values have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.

Year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

GEM Trading Statistics and Analysis

20 most active GEM stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK$mil)	% of equity total
1	08083	CHINA YOUZAN	47,942.69	50.00
2	08032	VIVA CHINA	6,002.33	6.26
3	08328	XY ELEC STORAGE	1,732.58	1.81
4	08536	TL NATURAL GAS	1,344.46	1.40
5	08606	KINETIX SYSTEMS	996.91	1.04
6	08153	FARNOVA GROUP	893.88	0.93
7	08612	WORLD SUPER	879.32	0.92
8	08208	WMCH GLOBAL	856.01	0.89
9	08349	GUIXIN GROUP	853.29	0.89
10	08367	SIMPLICITY HLDG	849.51	0.89
11	08527	JLOGO HLDGS	822.43	0.86
12	08017	TRADEGO	725.05	0.76
13	08487	ISP GLOBAL	714.40	0.75
14	08160	GOLDWAY EDU	706.65	0.74
15	08125	ROYAL CEN RES	690.67	0.72
16	08412	BCI GROUP	685.75	0.72
17	08491	COOL LINK	655.45	0.68
18	08295	ZJ TECH SERVICE	655.43	0.68
19	08493	DRAGON KING GP	619.99	0.65
20	08297	OCEAN STAR TECH	598.53	0.62
		Total	**69,225.33**	**72.21**
		Equity total	**95,891.44**	**100.00**

20 most active GEM stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of equity total
1	08083	CHINA YOUZAN	22,124.51	10.98
2	08153	FARNOVA GROUP	9,407.52	4.67
3	08228	NATIONAL ARTS	9,177.39	4.55
4	08133	SOLOMON WW HLDG	6,550.67	3.25
5	08081	HANG TAI YUE GP	6,136.57	3.04
6	08032	VIVA CHINA	4,600.82	2.28
7	08612	WORLD SUPER	3,972.54	1.97
8	08121	GURU ONLINE	3,591.47	1.78
9	08367	SIMPLICITY HLDG	3,393.60	1.68
10	08150	SEAMLESS GREEN	3,230.47	1.6
11	08547	PACIFIC LEGEND	3,011.40	1.49
12	08250	SILK RD ENERGY	2,985.14	1.48
13	08412	BCI GROUP	2,731.33	1.35
14	08422	WT GROUP	2,602.39	1.29
15	08340	VINCO FINANCIAL	2,597.04	1.29
16	08491	COOL LINK	2,594.97	1.29
17	08208	WMCH GLOBAL	2,523.07	1.25
18	08160	GOLDWAY EDU	2,284.10	1.13
19	08428	CBK HOLDINGS	2,265.49	1.12
20	08493	DRAGON KING GP	2,232.00	1.11
		Total	**98,012.47**	**48.62**
		Equity total	**201,575.55**	**100.00**

20 GEM Stocks with largest price changes (increase)

Rank	Stock Code	Stock Nmae	Closing price (HK$) 2020	Closing price (HK$) 2021	% up
1	08402	GT STEEL GROUP	0.180	2.200	1,122.22
2	08527	JLOGO HLDGS	0.247	2.880	1,065.99
3	08406	ALPHA ERA	0.130	0.870	569.23
4	08091	OOH HOLDINGS	0.026	0.160	515.38
5	08321	TAI KAM HLDGS	0.227	1.300	472.69
6	08305	TONG KEE HLDG	0.054	0.285	427.78
7	08365	HATCHER GROUP	0.100	0.520	420.00
8	08645	NOMAD TECH	0.111	0.570	413.51
9	08349	GUIXIN GROUP	0.560	2.260	303.57
10	08367	SIMPLICITY HLDG	0.128	0.485	278.91
11	08040	DCB HOLDINGS	0.097	0.350	260.82
12	08172	LAJIN ENT	0.070	0.250	257.14
13	08379	PRIME INTEL	0.063	0.216	242.86
14	08535	VISTAR HOLDINGS	0.123	0.415	237.40
15	08027	KPM HOLDING	0.128	0.430	235.94
16	08203	KAISUN HOLDINGS	0.098	0.300	206.12
17	08056	LIFE CONCEPTS	0.050	0.150	200.00
18	08017	TRADEGO	0.260	0.770	196.15
19	08087	CHINA 33MEDIA	0.041	0.120	192.68
20	08072	ROMA GROUP	0.119 A	0.340	185.71

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK$) 2020	Closing Prices (HK$) 2021	% down
1	08516	GRANDTALENT-NEW	40.300 A	1.190	97.05
2	08228	NATIONAL ARTS	1.270 A	0.083	93.46
3	08475	K GROUP HLDGS	1.000	0.087	91.30
4	08491	COOL LINK	9.630 A	0.890	90.76
5	08493	DRAGON KING GP	0.860 A	0.082	90.47
6	08363	SDM EDUCATION	2.400	0.310	87.08
7	08366	ZJ UNITED INV	0.169	0.022	86.98
8	08401	STREAM IDEAS	3.450	0.455	86.81
9	08313	ZACD	0.285	0.041	85.61
10	08341	AESO HOLDING	0.290	0.042	85.52
11	08109	KIRIN GROUP	0.223	0.034	84.75
12	08412	BCI GROUP	0.189	0.030	84.13
13	08487	ISP GLOBAL	1.870	0.300	83.96
14	08347	F8 ENT	0.225	0.040	82.22
15	08500	ICONCULTURE	2.610	0.510	80.46
16	08340	VINCO FINANCIAL	0.275	0.057	79.27
17	08063	GLOBAL M HLDG	0.510 A	0.110	78.43
18	08462	OMNIBRIDGE HLDG	0.760	0.170	77.63
19	08083	CHINA YOUZAN	2.310	0.540	76.62
20	08425	HING MING HLDGS	1.520	0.365	75.99

(A) Adjusted

GEM Trading Statistics and Analysis

Market capitalisation and trading value of GEM listed companies by incorporation place

End of 2021

Country	No. of listed companies	Market capitalisation (HK$mil)	% of equity total	Turnover (HK$mil)	% of equity total
Bermuda	37	24,691.76	22.78	52,580.25	54.83
Cayman Islands	288	80,430.30	74.21	41,482.00	43.26
Hong Kong	9	736.02	0 .68	1,279.64	1.33
PRC	17	2,273.67	2 .10	318.02	0.33
Singapore	2	249.20	0 .23	231.53	0.24
Total	**353**	**108,380.95**	**100.00**	**95,891.44**	**100.00**

Average dividend yields of GEM listed stocks by Hang Seng Industry Classification System*, 2017 – 2021

(%)

Year/Month		All listed stocks	Energy	Materials	Industrials	Consumer Discretionary ^	Consumer Staples ^	Healthcare ^	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods ^	Consumer Services ^
2017		0.38	0.00	0.00	0.49	n.a.	n.a.	n.a.	0.00	0.00	0.39	0.10	0.92	0.00	0.35	0.09
2018		0.45	0.00	0.00	0.29	n.a.	n.a.	n.a.	0.00	0.00	1.32	0.41	0.51	0.00	0.20	0.36
2019		1.79	0.00	0.00	0.34	4.36	0.18	0.76	0.00	0.00	1.03	0.58	0.36	0.00	n.a.	n.a.
2020		0.51	0.00	0.00	0.18	1.06	0.46	0.28	0.00	0.00	2.27	0.58	0.05	0.00	n.a.	n.a.
2021		1.65	0.00	0.00	0.30	3.28	0.41	0.53	0.00	0.00	0.64	0.85	0.31	0.00	n.a.	n.a.
2021	Jan	0.46	0.00	0.00	0.16	1.08	0.75	0.30	0.00	0.00	1.74	0.73	0.04	0.00	n.a.	n.a.
	Feb	0.42	0.00	0.00	0.15	0.94	0.72	0.29	0.00	0.00	1.15	0.75	0.05	0.00	n.a.	n.a.
	Mar	0.47	0.00	0.00	0.13	0.92	0.69	0.30	0.00	0.00	1.02	0.76	0.08	0.00	n.a.	n.a.
	Apr	0.46	0.00	0.00	0.13	0.81	0.67	0.19	0.00	0.00	1.23	0.77	0.09	0.00	n.a.	n.a.
	May	0.51	0.00	0.00	0.13	0.80	0.66	0.20	0.00	0.00	1.34	0.79	0.11	0.00	n.a.	n.a.
	Jun	1.47	0.00	0.00	0.14	3.20	0.73	0.35	0.00	0.00	1.12	0.87	0.16	0.00	n.a.	n.a.
	Jul	1.56	0.00	0.00	0.13	3.45	0.76	0.38	0.00	0.00	0.68	0.78	0.20	0.00	n.a.	n.a.
	Aug	1.54	0.00	0.00	0.19	3.28	0.79	0.49	0.00	0.00	0.58	0.82	0.20	0.00	n.a.	n.a.
	Sep	1.61	0.00	0.00	0.21	3.50	1.21	0.50	0.00	0.00	0.61	0.78	0.22	0.00	n.a.	n.a.
	Oct	1.63	0.00	0.00	0.22	3.44	1.16	0.52	0.00	0.00	0.60	0.74	0.22	0.00	n.a.	n.a.
	Nov	1.51	0.00	0.00	0.30	3.06	0.38	0.45	0.00	0.00	0.60	0.76	0.28	0.00	n.a.	n.a.
	Dec	1.65	0.00	0.00	0.30	3.28	0.41	0.53	0.00	0.00	0.64	0.85	0.31	0.00	n.a.	n.a.

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

^ With effect from 9 September 2019, 'Consumer Goods' or 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries. The turnover for 'Consumer Goods' and 'Consumer Services' are up to 6 September 2019 while the turnover for 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' are from 9 September 2019.

Average price earnings ratios of GEM listed stocks by Hang Seng Industry Classification System*, 2017-2021

(Times)

Year/Month		All GEM stocks	Energy	Materials	Industrials	Consumer Discretionary ^	Consumer Staples ^	Healthcare ^	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates	Consumer Goods ^	Consumer Services ^
2017		36.65	152.03	96.48	32.63	n.a.	n.a.	n.a.	346.60	50.40	26.48	77.29	34.52	0.00	48.42	35.80
2018		28.16	78.99	98.12	13.85	n.a.	n.a.	n.a.	0.00	15.81	11.94	40.22	38.25	32.00	33.95	52.66
2019		22.04	18.01	402.72	8.73	28.19	12.18	50.28	0.00	14.81	35.34	24.02	33.96	7.21	n.a.	n.a.
2020		29.59	17.04	24.33	14.95	15.31	62.93	57.18	0.00	61.53	55.60	27.63	108.15	0.00	n.a.	n.a.
2021		19.47	100.34	41.55	5.11	22.44	14.36	27.99	0.00	38.55	45.00	28.36	71.77	0.00	n.a.	n.a.
2021	Jan	32.96	16.51	24.35	16.91	15.07	38.52	51.65	0.00	58.00	70.73	22.00	146.79	0.00	n.a.	n.a.
	Feb	35.53	16.69	24.82	17.50	17.82	40.07	54.15	0.00	63.70	83.93	22.27	153.61	0.00	n.a.	n.a.
	Mar	24.10	51.87	26.38	6.04	15.14	41.46	34.13	0.00	23.23	114.56	21.54	337.37	0.00	n.a.	n.a.
	Apr	27.90	53.12	78.69	6.39	22.72	37.34	32.06	0.00	25.48	69.24	22.90	325.24	0.00	n.a.	n.a.
	May	25.02	54.96	99.50	6.38	23.42	37.54	30.54	0.00	20.92	60.77	22.10	242.58	0.00	n.a.	n.a.
	Jun	25.15	115.19	59.56	5.85	26.88	27.87	33.47	0.00	38.13	52.29	25.86	142.69	0.00	n.a.	n.a.
	Jul	23.04	111.29	50.69	5.94	24.82	26.62	30.86	0.00	34.49	49.87	28.83	114.65	0.00	n.a.	n.a.
	Aug	23.74	139.49	55.54	6.16	26.73	22.23	30.33	0.00	40.58	51.27	27.37	107.90	0.00	n.a.	n.a.
	Sep	22.62	169.74	62.89	5.65	24.85	14.55	29.83	0.00	38.31	48.45	27.99	100.64	0.00	n.a.	n.a.
	Oct	22.14	91.34	51.16	5.43	24.38	15.17	28.33	0.00	38.57	49.60	29.25	101.79	0.00	n.a.	n.a.
	Nov	21.19	111.70	46.80	5.18	24.01	15.42	32.49	0.00	40.18	50.25	29.51	79.78	0.00	n.a.	n.a.
	Dec	19.47	100.34	41.55	5.11	22.44	14.36	27.99	0.00	38.55	45.00	28.36	71.77	0.00	n.a.	n.a.

Note: Month-end or year-end figures

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Statistics on China enterprises (H shares) for GEM, 2017-2021

			Turnover				Market capitalisation	
Year/Month		No. of issues	Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2017		24	3,064.17	0.64	7,382.09	4.95	8,926.84	3.18
2018		24	1,316.27	0.39	2,525.23	1.98	4,717.05	2.53
2019		22	1,451.38	0.76	2,092.18	4.20	2,995.05	2.81
2020		19	1,664.70	0.84	1,055.80	1.22	2,500.24	1.91
2021		17	847.65	0.42	318.02	0.33	2,273.67	2.10
2021	Jan	19	73.51	0.33	26.41	0.16	2,309.01	1.58
	Feb	19	74.88	0.43	27.44	0.18	2,399.62	1.51
	Mar	19	89.79	0.50	61.24	0.50	2,462.83	1.71
	Apr	19	70.46	0.47	18.90	0.37	2,316.46	1.60
	May	18	67.80	0.42	40.69	0.54	2,697.80	2.08
	Jun	18	46.55	0.27	23.35	0.38	2,781.67	2.05
	Jul	18	35.47	0.16	12.56	0.16	2,420.73	1.94
	Aug	18	95.91	0.53	21.25	0.30	2,332.21	1.80
	Sep	18	84.04	0.57	29.09	0.54	2,443.48	1.97
	Oct	18	130.30	1.10	26.35	0.65	2,374.18	1.93
	Nov	18	49.20	0.35	17.07	0.39	2,477.38	2.10
	Dec	17	29.75	0.21	13.67	0.37	2,273.67	2.10

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise

Statistics on Red chips stocks for GEM, 2017-2021

Year/Month		No. of issues	Turnover				Market capitalisation	
			Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2017		6	2,648.93	0.55	1,631.71	1.09	12,398.68	4.41
2018		5	1,661.41	0.50	1,831.96	1.44	2,349.70	1.26
2019		5	1,119.19	0.59	123.08	0.25	2,236.16	2.10
2020		4	1,233.27	0.62	97.15	0.11	951.32	0.73
2021		4	648.67	0.32	28.44	0.03	839.02	0.77
2021	Jan	4	72.84	0.32	4.10	0.02	847.75	0.58
	Feb	4	76.76	0.44	3.35	0.02	873.31	0.55
	Mar	4	54.90	0.31	2.73	0.02	798.71	0.55
	Apr	4	43.61	0.29	1.24	0.02	858.37	0.59
	May	4	51.22	0.32	2.46	0.03	775.17	0.60
	Jun	4	57.26	0.33	2.88	0.05	806.16	0.59
	Jul	4	52.26	0.24	3.00	0.04	762.62	0.61
	Aug	4	83.70	0.47	3.20	0.05	674.25	0.52
	Sep	4	45.13	0.30	1.72	0.03	704.00	0.57
	Oct	4	19.04	0.16	1.02	0.03	783.60	0.64
	Nov	4	61.04	0.43	1.28	0.03	790.42	0.67
	Dec	4	30.90	0.22	1.46	0.04	839.02	0.77

Cash dividend payout of GEM listed companies by Hang Seng Industry Classification System*, 2017 – 2021

Year/Month		No. of companies declared	No. of companies paid	Energy (HK$mil)	Materials (HK$mil)	Industrials (HK$mil)	Consumer Goods^ (HK$mil)	Consumer Services^ (HK$mil)	Consumer Discretionary^ (HK$mil)	Consumer Staples^ (HK$mil)	Healthcare^ (HK$mil)	Telecommunications (HK$mil)	Utilities (HK$mil)	Financials (HK$mil)	Properties & Construction (HK$mil)	Information Technology (HK$mil)	Conglomerates (HK$mil)	Total dividend payout (HK$mil)
2017		327	33	-	-	147.34	141.18	58.28	n.a.	n.a.	n.a.	20.15	-	157.09	37.37	455.58	-	1,017.00
2018		389	49	-	-	36.61	215.76	216.75	n.a.	n.a.	n.a.	-	-	253.41	73.51	164.08	-	960.13
2019		388	48	-	-	48.91	40.28	1,375.94	55.15	7.56	3.50	-	-	120.07	94.12	92.69	-	1,838.22
2020		377	39	-	-	40.33	n.a.	n.a.	307.63	20.16	10.10	-	-	141.85	74.08	18.67	-	612.82
2021		356	39	-	-	34.37	n.a.	n.a.	1,499.17	7.00	26.32	-	-	50.12	112.09	41.80	-	1,770.86
2021	Jan	12	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Feb	139	1	-	-	-	-	-	-	-	-	-	-	4.00	-	-	-	4.00
	Mar	199	3	-	-	-	-	-	14.26	-	-	-	-	-	3.00	9.60	-	26.86
	Apr	16	1	-	-	-	-	-	3.38	-	-	-	-	-	-	-	-	3.38
	May	217	3	-	-	-	-	-	3.38	-	-	-	-	8.00	35.59	-	-	46.97
	Jun	118	5	-	-	16.32	-	-	35.38	-	-	-	-	13.40	-	-	-	65.10
	Jul	17	6	-	-	1.44	-	-	1,268.96	-	9.92	-	-	0.32	15.00	-	-	1,295.65
	Aug	316	10	-	-	-	-	-	36.53	-	10.75	-	-	16.40	-	15.56	-	79.24
	Sep	19	12	-	-	8.61	-	-	34.64	7.00	-	-	-	8.00	10.72	9.43	-	78.39
	Oct	17	7	-	-	-	-	-	46.02	-	-	-	-	-	8.00	7.20	-	61.23
	Nov	318	2	-	-	-	-	-	4.48	-	5.65	-	-	-	-	-	-	10.13
	Dec	11	6	-	-	8.00	-	-	52.14	-	-	-	-	-	39.79	-	-	99.92

Notes:

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

^ With effect from 9 September 2019, 'Consumer Goods' and 'Consumer Services' industries have been reorganized into 'Consumer Discretionary', 'Consumer Staples' and 'Healthcare' industries.

Newly listed companies on GEM

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$mil)	Listing date/ subscription rate (times)	Sponsor
08489	Grand Power Logistics Group Ltd.	Offer for subscription	22,500,000	0.74	16.65	2021/01/13	VBG Capital Ltd.
		Offer for placing	52,500,000	0.74	38.85	35.90	
		Industrials – Industrial Transportation					
				Total	**55.50**		

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2019.

Withdrawal of listed companies from GEM

Code	Company	Last trading date	Delisted date
08346	TEM Holdings Ltd.	2020/12/17	2021/01/13
08165	Jian ePayment Systems Ltd.	2018/05/14	2021/01/29
08090	China Assurance Finance Group Ltd.	2019/03/29	2021/03/12
08192	Global Token Ltd.	2019/10/24	2021/04/20
08235 #	CCID Consulting Co. Ltd. – H Shares	2021/05/13	2021/05/14*
08272	Chinese Food and Beverage Group Ltd.	2020/06/02	2021/07/13
08202	Inno-Tech Holdings Ltd.	2020/06/17	2021/07/13
08171	China Trends Holdings Ltd.	2020/03/10	2021/08/23
08108	Grand Peace Group Holdings Ltd.	2020/03/31	2021/08/27
08266	Zhuoxin International Holdings Ltd.	2020/08/14	2021/09/13
08116	China Fortune Investments (Holding) Ltd.	2018/11/02	2021/09/28
08265	China Trustful Group Ltd.	2020/05/15	2021/11/12
08119	Thiz Technology Group Ltd.	2020/10/14	2021/11/29
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. – H Shares	2020/11/11	2021/12/06
08351	Larry Jewelry International Co. Ltd.	2020/08/14	2021/12/15
08216 #	Maxicity Holdings Ltd.	2021/12/30	2021/12/31 *

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

* Effective date of the transfer of listing from GEM to Main Board.

Company name changes – GEM

Code	Former name	New name	Effective date	Adoption date
08331	HangKan Group Ltd.	P.B. Group Ltd.	2021/01/04	2021/02/08
08328	Xinyi Automobile Glass Hong Kong Enterprises Ltd.	Xinyi Electric Storage Holdings Ltd.	2020/12/18	2021/02/10
08316	Pak Wing Group (Holdings) Ltd.	Quantong Holdings Ltd.	2021/01/26	2021/02/26
08462	Omnibridge Holdings Ltd. #	Omnibridge Holdings Ltd.	2021/03/01	2021/03/31
08295	ZZ Capital International Ltd.	Zhongjin Technology Services Group Co. Ltd.	2021/03/25	2021/04/23
08186	IR Resources Ltd.	M-Resources Group Ltd.	2021/02/23	2021/04/27
08088	AID Life Science Holdings Ltd.	8088 Investment Holdings Ltd.	2021/04/19	2021/05/13
08242	New Western Group Ltd.	GSN Corporations Ltd.	2021/06/29	2021/08/04
08195	L & A International Holdings Ltd.	Legendary Group Ltd.	2021/08/03	2021/09/03
08506	China Futex Holdings Ltd.	S&S Intervalue China Ltd.	2021/08/06	2021/09/15
08297	My Heart Bodibra Group Ltd.	Ocean Star Technology Group Ltd.	2021/08/25	2021/10/05
08349	MEIGU Technology Holding Group Ltd.	Yunhong Guixin Group Holdings Ltd.	2021/08/30	2021/10/12
08173	Union Asia Enterprise Holdings Ltd.	Hephaestus Holdings Ltd.	2021/09/01	2021/10/12
08228	National Arts Entertainment and Culture Group Ltd.	National Arts Group Holdings Ltd.	2021/08/27	2021/10/27
08333	Astrum Financial Holdings Ltd.	RaffAello-Astrum Financial Holdings Ltd.	2021/09/28	2021/10/29
08132	China Oil Gangran Energy Group Holdings Ltd.	Century Energy International Holdings Ltd.	2021/10/05	2021/11/10
08365	VBG International Holdings Ltd.	Hatcher Group Ltd.	2021/10/22	2021/11/19
08029	Sun International Group Ltd.	Imperium Financial Group Ltd.	2021/11/22	2021/12/17
08133	Jete Power Holdings Ltd.	Solomon Worldwide Holdings Ltd.	2021/11/18	2021/12/20

Change of Chinese name only

GEM Listed Companies

Reorganisations/demergers on GEM

Code	Company	Particulars	Proposed date Completed/ EGM date
Nil			

Privatisations by major shareholder on GEM

Code	Company	Particulars	Last trading date	Delisted date
08346	TEM Holdings Ltd.	Cash offer HK$0.0855 for each share	2020/12/17	2021/01/13

Change of domicile of listed companies on GEM

Code	Former company	New company	Place of incorporation	Effective date
Nil				

Companies under suspension on GEM as at the end of 2021

Code		Last closing price (HK$)	(Date)	Date of suspension
08088	8088 Investment Holdings Ltd.	0.060	(2021/07/15)	2021/07/16
08131	abc Multiactive Ltd.	0.058	(2021/10/20)	2021/10/21
08025	Asian Capital Resources (Holdings) Ltd.	0.500	(2021/12/23)	2021/12/24
08175	China Digital Culture (Group) Ltd.	0.058	(2021/03/31)	2021/04/01
08055	China E-Information Technology Group Ltd.	0.011	(2021/12/29)	2021/12/30
08089	Chinese Strategic Holdings Ltd.	0.033	(2021/03/31)	2021/04/01
08493	Dragon King Group Holdings Ltd.	0.082	(2021/11/10)	2021/11/11
08101	EJE (Hong Kong) Holdings Ltd.	0.241	(2021/07/30)	2021/08/02
08022	Evershine Group Holdings Ltd.	0.010	(2021/08/13)	2021/08/16
08215	First Credit Finance Group Ltd.	0.108	(2017/11/23)	2017/11/24
08030	Flying Financial Service Holdings Ltd.	0.018	(2021/03/29)	2021/03/29
08242	GSN Corporations Ltd.	0.010	(2021/03/31)	2021/04/01
08431	Hao Bai International (Cayman) Ltd.	0.028	(2021/08/13)	2021/08/16
08259	Hon Corporation Ltd.	0.390	(2021/03/30)	2021/03/31
08109	Kirin Group Holdings Ltd.	0.034	(2021/12/13)	2021/12/13
08195	Legendary Group Ltd.	1.320	(2021/10/18)	2021/10/19
08093	Million Stars Holdings Ltd.	0.540	(2021/09/30)	2021/10/04
08186	M-Resources Group Ltd.	0.900	(2021/03/31)	2021/04/01
08506	S&S Intervalue China Ltd.	1.310	(2021/03/31)	2021/04/01
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	0.070	(2020/10/20)	2020/10/21
08155	South China Assets Holdings Ltd.	0.011	(2021/01/27)	2021/01/28
08260	Yin He Holdings Ltd.	0.110	(2020/09/14)	2021/07/02
08366	Zhejiang United Investment Holdings Group Ltd.	0.022	(2021/07/30)	2021/08/02

Takeovers and mergers for GEM

Code	Company	Proposed date Offer closing date
08316	**Pak Wing Group (Holdings) Ltd.**	2021/01/20
	(Name changed to Quantong Holdings Ltd.)	2021/02/10
	Mandatory unconditional cash offer was maded by Quantong Group Holdings Ltd. at a price of HK$0.075 for each share	
08412	**BCI Group Holdings Ltd.**	2021/01/22
	Mandatory unconditional cash offer was made by Mr. Sze Ching Lau at a price of HK$0.08 for each share	2021/02/16
08029	**Sun International Group Ltd.**	2021/10/11
	(Name changed to Imperium Financial Group Ltd.)	2021/11/01
	Mandatory unconditional cash offer was made by Fresh Success Investments Ltd. at a price of HK$0.10 for each share	
08406	**Alpha Era International Holdings Ltd.**	2021/10/29
	Mandatory unconditional cash offer was made by Ms. Yan Ping at a price of HK$0.12 for each share	2021/11/22

Share subdivisions and share consolidations on GEM

Code	Stock	Particulars	New par value (HK$)	Effective date
08178	China Information Technology Development Ltd.	Consolidation 24 into 1	2.4000	2021/01/19
08337	Directel Holdings Ltd.	Consolidation 20 into 1	0.2000	2021/01/20
08292	Worldgate Global Logistics Ltd.	Consolidation 10 into 1	0.1000	2021/01/20
08186	M-Resources Group Ltd. (Formerly: IR Resources Ltd.)	Consolidation 8 into 1	0.0800	2021/02/08
08320	Allied Sustainability & Environmental Consultants Group Ltd.	Consolidation 2 into 1	0.0200	2021/03/10
08275	Beaver Group (Holding) Co. Ltd.	Consolidation 10 into 1	0.1000	2021/03/18
08226	KOALA Financial Group Ltd.	Consolidation 20 into 1	0.2000	2021/03/22
08063	Global Mastermind Holdings Ltd.	Consolidation 10 into 1	0.1000	2021/04/14
08268	Smart City Development Holdings Ltd.	Consolidation 5 into 1	0.1250	2021/04/28
08445	Noble Engineering Group Holdings Ltd.	Consolidation 5 into 1	0.0500	2021/04/30
08231	PFC Device Inc.	Consolidation 20 into 1	0.2000	2021/05/27
08493	Dragon King Group Holdings Ltd.	Consolidation 10 into 1	0.1000	2021/06/01
08456	Mansion International Holdings Ltd.	Consolidation 20 into 1	0.2000	2021/06/17
08163	Merdeka Financial Group Ltd.	Consolidation 10 into 1	0.1000	2021/06/17
08003	Great World Company Holdings Ltd.	Consolidation 10 into 1	0.1000	2021/06/21
08018	Finsoft Financial Investment Holdings Ltd.	Consolidation 10 into 1	0.0500	2021/06/25
08368	Creative China Holdings Ltd.	Consolidation 5 into 1	0.0500	2021/07/15
08121	Guru Online (Holdings) Ltd.	Consolidation 10 into 1	0.1000	2021/07/16
08111	China Technology Industry Group Ltd.	Consolidation 5 into 1	0.5000	2021/07/19
08491	Cool Link (Holdings) Ltd.	Consolidation 20 into 1	0.2000	2021/07/19
08536	TL Natural Gas Holdings Ltd.	Consolidation 4 into 1	0.0400	2021/07/20
08428	CBK Holdings Ltd.	Consolidation 10 into 1	0.1000	2021/07/27
08315	Greatwalle Inc.	Consolidation 5 into 1	0.0500	2021/08/02
08360	AL Group Ltd.	Consolidation 10 into 1	0.1000	2021/08/12
08427	SK Target Group Ltd.	Consolidation 8 into 1	0.0800	2021/08/18
08403	Dowway Holdings Ltd.	Consolidation 20 into 1	USD 0.0020	2021/08/24
08173	Hephaestus Holdings Ltd. (Formerly: Union Asia Enterprise Holdings Ltd.)	Consolidation 5 into 1	0.0005	2021/09/02
08217	Luen Wong Group Holdings Ltd.	Consolidation 4 into 1	0.1600	2021/09/13
08228	National Arts Group Holdings Ltd. (Formerly: National Arts Entertainment and Culture Group Ltd.)	Consolidation 10 into 1	0.0100*	2021/09/16
08195	Legendary Group Ltd. (Formerly: L & A International Holdings Ltd.)	Consolidation 5 into 1	0.0005	2021/09/28
08166	China Eco-Farming Ltd.	Consolidation 10 into 1	0.0100*	2021/10/22
08331	P.B. Group Ltd. (Formerly: HangKan Group Ltd.)	Consolidation 10 into 1	0.1000	2021/10/28
08086	Sino Vision Worldwide Holdings Ltd.	Consolidation 5 into 1	0.0100*	2021/11/17
08133	Solomon Worldwide Holdings Ltd. (Formerly: Jete Power Holdings Ltd.)	Consolidation 40 into 1	0.0800	2021/11/17
08219	Hanvey Group Holdings Ltd.	Consolidation 10 into 1	0.1000	2021/11/19
08516	Grand Talents Group Holdings Ltd.	Consolidation 10 into 1	0.1000	2021/12/29

* New par value after capital reorganisation.

Bonus issues/bonus warrants on GEM

Code	Stock	Particulars	Ex-date
08402	GT Steel Construction Group Ltd.	1 wt for 5	2021/07/30

Rights issues/open offers on GEM

Code	Stock	Particulars	Funds raised (HK$mil)	Ex-date payment date
08193	Asia-Pac Financial Investment Co. Ltd.	Rts 3 for 1 @$0.05	43.72	2021/01/08 2021/02/02
08239	Capital Finance Holdings Ltd.	Rts 2 for 1 @$0.135	75.94	2021/01/28 2021/02/25
08072	Roma Group Ltd.	Rts 3 for 1 @$0.125	65.82	2021/03/12 2021/04/09
08275	Beaver Group (Holding) Co. Ltd.	Rts 3 for 2 @$0.21	28.35	2021/03/19 2021/04/16
08080	North Asia Strategic Holdings Ltd.	Open offer 1 for 5 @$0.71	38.71	2021/04/12 2021/05/05
08445	Noble Engineering Group Holdings Ltd.	Rts 1 for 2 @$0.215 (after consolidation 5 into 1)	15.01	2021/05/03 2021/05/27
08231	PFC Device Inc.	Rts 1 for 2 @$0.80 (after consolidation 20 into 1)	32.36	2021/05/28 2021/06/23
08163	Merdeka Financial Group Ltd.	Rts 5 for 2 @$0.15 (after consolidation 10 into 1)	51.95	2021/06/18 2021/07/14
08120	China Demeter Financial Investments Ltd.	Rts 3 for 1 @$0.10	45.91	2021/06/23 2021/07/20
08491	Cool Link (Holdings) Ltd.	Rts 1 for 2 @$0.91 (after consolidation 20 into 1)	17.69	2021/07/20 2021/08/12
08428	CBK Holdings Ltd.	Rts 3 for 2 @$0.17 (after consolidation 10 into 1)	36.72	2021/07/28 2021/08/20
08007	Global Strategic Group Ltd.	Rts 4 for 1 @$0.16	58.35	2021/08/05 2021/08/31
08360	AL Group Ltd.	Rts 3 for 1 @$0.27 (after consolidation 10 into 1)	71.96	2021/08/13 2021/09/07
08217	Luen Wong Group Holdings Ltd.	Rts 2 for 1 @$0.26 (after consolidation 4 into 1)	52.32	2021/09/14 2021/10/11
08082	Sun Entertainment Group Ltd.	Rts 4 for 5 @$0.14	118.33	2021/09/17 2021/10/15
08292	Worldgate Global Logistics Ltd.	Rts 3 for 1 @$0.11	52.27	2021/09/28 2021/10/25
08331	P.B. Group Ltd. (Formerly: HangKan Group Ltd.)	Rts 1 for 1 @$0.35 (after consolidation 10 into 1)	27.85	2021/10/29 2021/11/24
08328	Xinyi Electric Storage Holdings Ltd. (Formerly: Xinyi Automobile Glass Hong Kong Enterprises Ltd.)	Rts 1 for 10 @$3.53	228.91	2021/11/12 2021/12/10
Total			**1,062.16**	

Notes: Figures may not add up to total due to rounding.

Placings on GEM

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
08391	Cornerstone Technologies Holdings Ltd.	49,625,000	0.4000	19.85	2021/01/04
08325	China Smartpay Group Holdings Ltd.	328,830,000	0.1600	52.61	2021/01/06
08026	China Brilliant Global Ltd.	4,784,689	0.6270	3.00	2021/01/07
08437	RMH Holdings Ltd.	120,000,000	0.2250	27.00	2021/01/12
08005	Yuxing InfoTech Investment Holdings Ltd.	414,616,000	0.2000	82.92	2021/01/12
08011	Polyard Petroleum International Group Ltd.	482,777,779	0.1800	86.90	2021/01/15
08428	CBK Holdings Ltd.	240,000,000	0.0290	6.96	2021/01/27
08547	Pacific Legend Group Ltd.	200,000,000	0.0600	12.00	2021/02/04
08320	Allied Sustainability & Environmental Consultants Group Ltd.	83,020,000	0.0650	5.40	2021/02/08
08377	Shen You Holdings Ltd.	48,000,000	0.3000	14.40	2021/02/11
08101	EJE (Hong Kong) Holdings Ltd.	57,840,000	0.3700	21.40	2021/02/22
08333	Astrum Financial Holdings Ltd. (Name changed to RaffAello-Astrum Financial Holdings Ltd.)	160,000,000	0.0830	13.28	2021/02/24
08321	Tai Kam Holdings Ltd.	38,400,000	0.1750	6.72	2021/02/24
08391	Cornerstone Technologies Holdings Ltd.	69,625,000	0.4000	27.85	2021/03/10
08109	Kirin Group Holdings Ltd.	166,935,000	0.2400	40.06	2021/03/10
08427	SK Target Group Ltd.	96,000,000	0.0520	4.99	2021/03/11
08292	Worldgate Global Logistics Ltd.	12,000,000	0.1700	2.04	2021/03/16
08491	Cool Link (Holdings) Ltd.	120,000,000	0.0710	8.52	2021/03/24
08175	China Digital Culture (Group) Ltd.	400,000,000	0.1000	40.00	2021/03/29
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	135,744,000	0.5000	67.87	2021/03/30
08375	Vertical International Holdings Ltd.	160,000,000	0.0510	8.16	2021/03/30
08198	Loto Interactive Ltd.	169,354,839	0.6200	105.00	2021/03/31
08052	Luk Hing Entertainment Group Holdings Ltd.	360,000,000	0.0330	11.88	2021/04/01
08017	TradeGo FinTech Ltd.	100,000,000	0.3000	30.00	2021/04/22
08297	My Heart Bodibra Group Ltd. (Name changed to Ocean Star Technology Group Ltd.)	48,000,000	0.8600	41.28	2021/04/30
08277	Steed Oriental (Holdings) Co. Ltd.	43,740,000	0.2000	8.75	2021/05/06
08003	Great World Company Holdings Ltd.	50,000,000	0.1000	5.00	2021/05/12
08027	KPM Holding Ltd.	96,000,000	0.1500	14.40	2021/05/21
08218	Echo International Holdings Group Ltd.	88,000,000	0.3000	26.40	2021/05/27
08270	China CBM Group Co. Ltd.	758,515,714	0.0280	21.24	2021/05/31
08109	Kirin Group Holdings Ltd.	32,000,000	0.2600	8.32	2021/06/04
08519	Jia Group Holdings Ltd.	134,280,000	0.1350	18.13	2021/06/15
08178	China Information Technology Development Ltd.	20,800,000	0.2300	4.78	2021/06/17
08623	China Saftower International Holding Group Ltd.	120,000,000	0.0750	9.00	2021/06/17
08430	C&N Holdings Ltd.	128,000,000	0.1180	15.10	2021/06/21
08292	Worldgate Global Logistics Ltd.	26,400,000	0.1500	3.96	2021/06/22
08132	China Oil Gangran Energy Group Holdings Ltd. (Name changed to Century Energy International Holdings Ltd.)	1,900,099,090	0.0194	36.89	2021/06/25
08075	Media Asia Group Holdings Ltd.	42,721,136	1.2000	51.27	2021/06/28
08365	VBG International Holdings Ltd. (Name changed to Hatcher Group Ltd.)	33,000,000	0.1500	4.95	2021/06/28
08325	China Smartpay Group Holdings Ltd.	394,600,000	0.1800	71.03	2021/06/30
08208	WMCH Global Investment Ltd.	120,000,000	0.1800	21.60	2021/07/13
08487	ISP Global Ltd.	80,000,000	0.6600	52.80	2021/07/19
08078	China Creative Digital Entertainment Ltd.	54,200,000	0.0590	3.20	2021/07/21
08377	Shen You Holdings Ltd.	53,700,000	0.6000	32.22	2021/07/22
08493	Dragon King Group Holdings Ltd.	28,800,000	0.2080	5.99	2021/07/28
08093	Million Stars Holdings Ltd.	68,000,000	0.2500	17.00	2021/07/28
08516	Grand Talents Group Holdings Ltd.	91,200,000	0.0100	0.91	2021/07/31
08075	Media Asia Group Holdings Ltd.	40,612,197	1.2000	48.73	2021/08/03

Placings on GEM *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$mil)	Allotment date
08315	Greatwalle Inc.	249,090,909	0.2750	68.50	2021/08/05
08178	China Information Technology Development Ltd.	56,000,000	0.2500	14.00	2021/08/16
08162	Loco Hong Kong Holdings Ltd.	138,234,000	0.2000	27.65	2021/08/19
08039	KNK Holdings Ltd.	75,000,000	0.1370	10.28	2021/08/20
08427	SK Target Group Ltd.	5,205,000	0.3600	1.87	2021/09/01
08103	hmvod Ltd.	16,998,000	2.0000	34.00	2021/09/03
08547	Pacific Legend Group Ltd.	120,000,000	0.1120	13.44	2021/09/14
08357	Republic Healthcare Ltd.	104,000,000	0.2014	20.95	2021/09/15
08275	Beaver Group (Holding) Co. Ltd.	45,000,000	0.1750	7.88	2021/09/21
08166	China Eco-Farming Ltd.	127,000,000	0.0340	4.32	2021/09/28
08047	China Ocean Group Development Ltd.	190,000,000	0.1000	19.00	2021/10/08
08510	Top Standard Corporation	230,400,000	0.0560	12.90	2021/10/15
08220	Bingo Group Holdings Ltd.	171,060,000	0.0340	5.82	2021/10/18
08437	RMH Holdings Ltd.	144,000,000	0.1350	19.44	2021/10/18
08191	Hong Wei (Asia) Holdings Co. Ltd.	166,520,620	0.0900	14.99	2021/10/25
08460	Basetrophy Group Holdings Ltd.	100,000,000	0.0430	4.30	2021/11/04
08526	Wing Fung Group Asia Ltd.	75,000,000	0.1440	10.80	2021/11/19
08363	SDM Group Holdings Ltd.	19,500,000	0.4000	7.80	2021/11/24
08078	China Creative Digital Entertainment Ltd.	65,000,000	0.0500	3.25	2021/11/26
08020	Unitas Holdings Ltd.	140,000,000	0.0720	10.08	2021/11/30
08080	North Asia Strategic Holdings Ltd.	70,852,000	0.6000	42.51	2021/12/01
08422	WT Group Holdings Ltd.	200,000,000	0.0420	8.40	2021/12/03
08391	Cornerstone Technologies Holdings Ltd.	8,000,000	0.6200	4.96	2021/12/06
08612	World Super Holdings Ltd.	100,000,000	0.1500	15.00	2021/12/10
08239	Capital Finance Holdings Ltd.	168,000,000	0.1550	26.04	2021/12/20
08086	Sino Vision Worldwide Holdings Ltd.	2,616,000	0.3400	0.89	2021/12/22
08305	Tong Kee (Holding) Ltd.	65,000,000	0.1000	6.50	2021/12/30
Total				**1,637.32**	

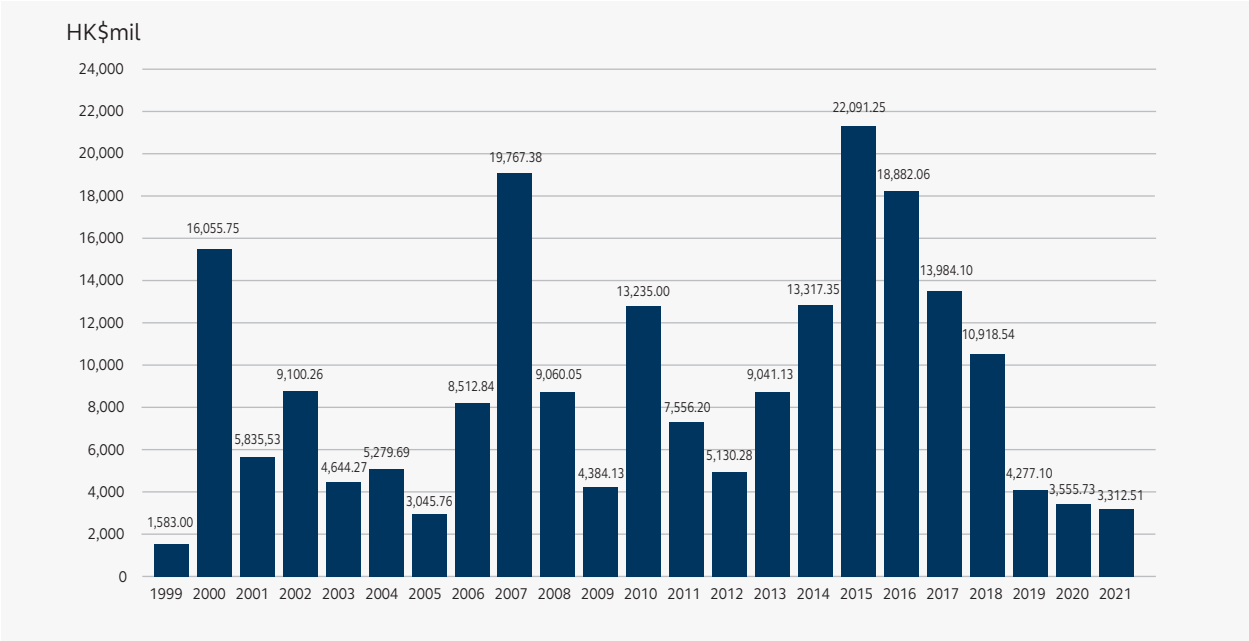
GEM Listed Companies

Funds raised by classification for GEM, 2017 – 2021

HK$mil

Type	Listing method	2017	2018	2019	2020	2021
Equities	Offer for subscription	1,957.72	1,507.12	318.31	135.12	16.65
	Offer for sale	182.40	55.82	–	–	–
	Offer for placing	3,798.16	3,497.65	651.34	419.19	38.85
	Placing	4,011.08	2,641.53	1,911.17	1,694.63	1,637.32
	Rights issue	2,107.09	307.86	167.20	407.32	1,023.46
	Open offer	347.07	–	21.63	–	38.71
	Consideration issue	874.28	2,737.08	1,119.05	468.26	454.87
	Warrants exercised	35.45	7.20	32.12	325.44	0.23
	Share option scheme	670.85	164.29	56.28	105.78	102.42
	Equities funds raised	13,984.10	10,918.54	4,277.10	3,555.73	3,312.51
Equity warrants – premium		–	–	–	–	–

GEM Equities funds raised, 1999 - 2021



Funds raised by Mainland enterprises H shares for GEM, 2017 – 2021

HK$mil

Type	Listing method	2017	2018	2019	2020	2021
Equities	Offer for subscription	236.35	–	–	–	–
	Offer for sale	–	–	–	–	–
	Offer for placing	82.34	–	–	–	–
	Placing	157.68	72.28	–	–	67.87
	Rights issue	–	–	–	–	–
	Open offer	–	–	–	–	–
	Consideration issue	160.00	–	–	–	–
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised	636.37	72.28	–	–	67.87

Funds raised by Red Chip stocks for GEM, 2017 – 2021

HK$mil

Type	Listing method	2017	2018	2019	2020	2021
Equities	Offer for subscription	–	–	–	–	–
	Offer for sale	–	–	–	–	–
	Offer for placing	–	–	–	–	–
	Placing	–	–	39.63	–	–
	Rights issue	115.06	–	–	–	–
	Open offer	–	–	–	–	–
	Consideration issue	–	–	–	–	–
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised	115.06	–	39.63	–	–

GEM Equity Warrants

Newly listed equity warrants on GEM, 2021

Code	Warrant	Subscription price per unit (HK$)	Subscription period	Initial issued amount (HK$)	Listing date
08209	GT Steel Construction Group Ltd. Warrants 2023	4.0000	2021/08/24–2023/08/23	384,000,000	2021/08/25

List of expired equity warrants for GEM, 2021

Code	Warrant	Last trading date	Delisted date
08015	China Trends Holdings Ltd. Warrants 2021	2021/03/24	2021/03/30

Market value and trading for equity warrants on GEM, 2017 - 2021

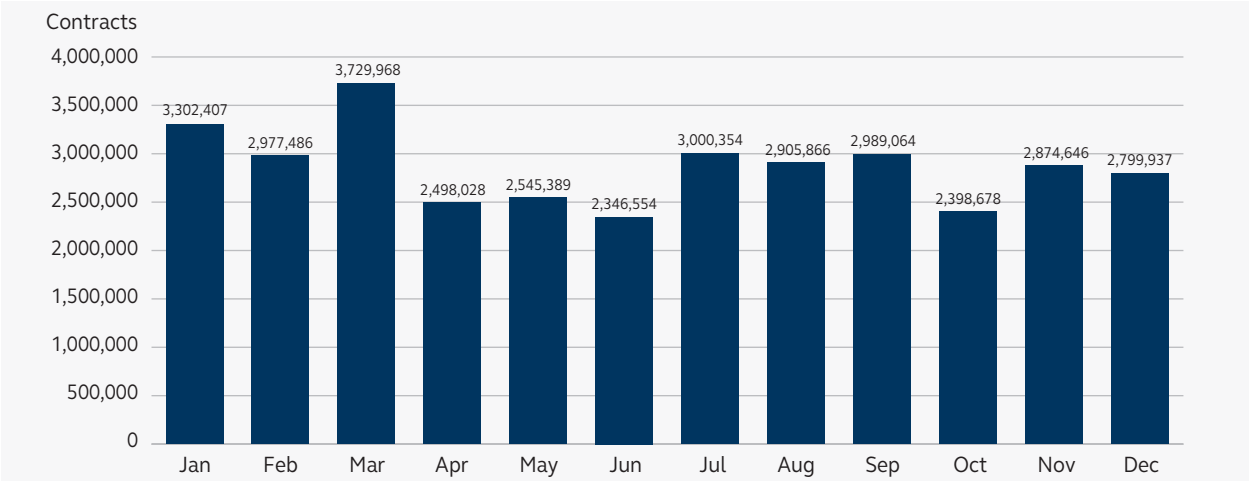
Year/Month		Number *	Market value * HK$mil	Turnover value HK$mil	(%) of market total
2017		1	62.83	0.84	0.00
2018		1	62.83	0.09	0.00
2019		1	62.83	0.03	0.00
2020		1	62.83	0.01	0.00
2021		1	11.81	0.08	0.00
2021	Jan	1	62.83	0.00	0.00
	Feb	1	62.83	0.00	0.00
	Mar	0	0.00	0.00	0.00
	Apr	0	0.00	0.00	0.00
	May	0	0.00	0.00	0.00
	Jun	0	0.00	0.00	0.00
	Jul	0	0.00	0.00	0.00
	Aug	1	2.69	0.00	0.00
	Sep	1	10.56	0.04	0.00
	Oct	1	12.77	0.01	0.00
	Nov	1	13.44	0.02	0.00
	Dec	1	11.81	0.01	0.00

* Month-end or year-end figures.

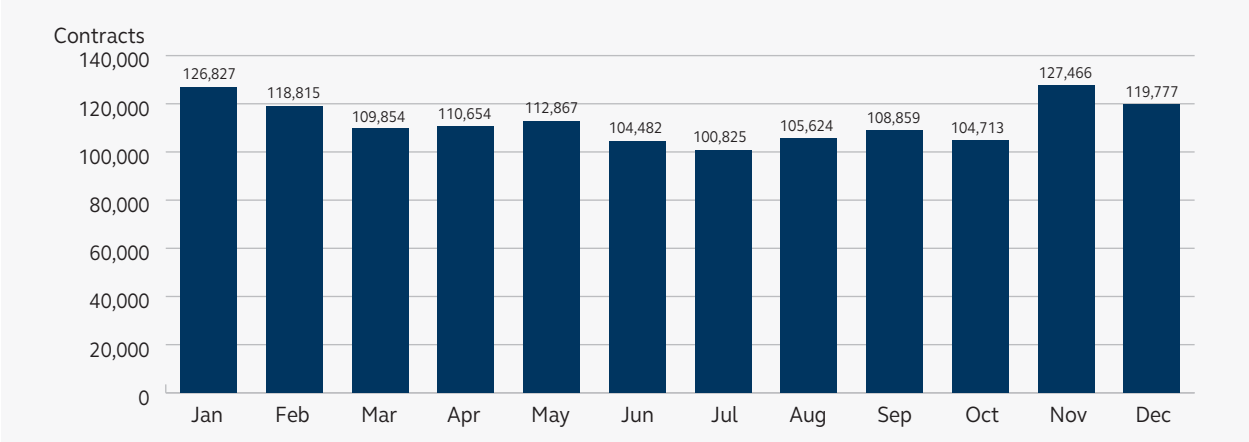
Hang Seng Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	31,486,965	127,478	144,659
2018		246.0	57,668,346	234,424	133,924
2019		246.0	51,317,694	208,609	121,237
2020		249.0	41,635,063	167,209	129,721
2021		248.0	34,368,377	138,582	119,777
2021	Jan	20.0	3,302,407	165,120	126,827
	Feb	18.0	2,977,486	165,416	118,815
	Mar	23.0	3,729,968	162,173	109,854
	Apr	19.0	2,498,028	131,475	110,654
	May	20.0	2,545,389	127,269	112,867
	Jun	21.0	2,346,554	111,741	104,482
	Jul	21.0	3,000,354	142,874	100,825
	Aug	22.0	2,905,866	132,085	105,624
	Sep	21.0	2,989,064	142,336	108,859
	Oct	19.0	2,398,678	126,246	104,713
	Nov	22.0	2,874,646	130,666	127,466
	Dec	22.0	2,799,937	127,270	119,777
2021	**Highest**		**338,744**		**158,751**
	(date)		(24/02)		(23/02)

Hang Seng Index Futures – monthly volume, 2021



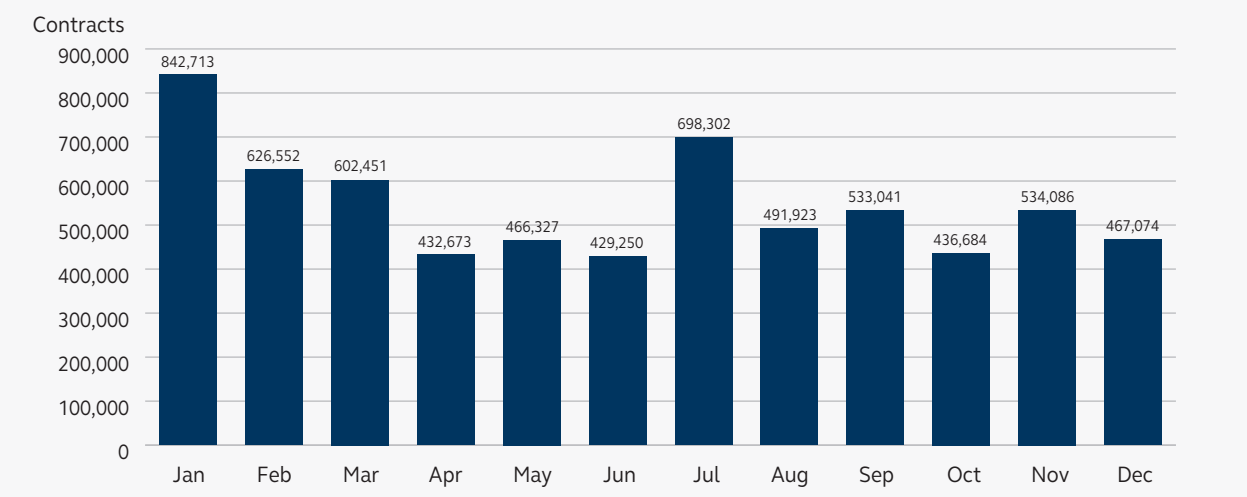
Hang Seng Index Futures – month-end open interest, 2021



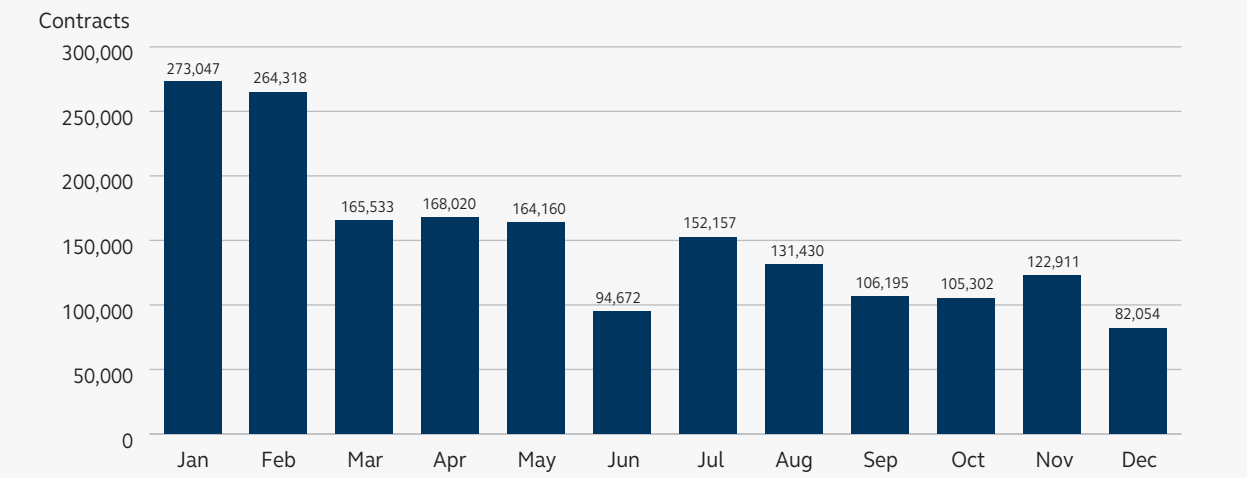
Hang Seng Index Options – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2017		247.0	4,308,036	5,821,289	10,129,325	41,009	344,084
2018		246.0	5,946,588	6,769,907	12,716,495	51,693	279,856
2019		246.0	5,633,523	6,833,331	12,466,854	50,678	210,101
2020		249.0	4,414,140	5,397,657	9,811,797	39,405	162,843
2021		248.0	3,216,670	3,344,406	6,561,076	26,456	82,054
2021	Jan	20.0	408,167	434,546	842,713	42,136	273,047
	Feb	18.0	285,485	341,067	626,552	34,808	264,318
	Mar	23.0	288,324	314,127	602,451	26,194	165,533
	Apr	19.0	222,964	209,709	432,673	22,772	168,020
	May	20.0	226,531	239,796	466,327	23,316	164,160
	Jun	21.0	213,083	216,167	429,250	20,440	94,672
	Jul	21.0	337,924	360,378	698,302	33,252	152,157
	Aug	22.0	237,680	254,243	491,923	22,360	131,430
	Sep	21.0	265,619	267,422	533,041	25,383	106,195
	Oct	19.0	215,658	221,026	436,684	22,983	105,302
	Nov	22.0	281,191	252,895	534,086	24,277	122,911
	Dec	22.0	234,044	233,030	467,074	21,231	82,054
2021	**Highest**				**140,628**		**344,814**
	(date)				(11/01)		(27/01)

Hang Seng Index Options – monthly volume, 2021



Hang Seng Index Options – month-end open interest, 2021



Hang Seng Index Futures Options – trading statistics, 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2021 *		91.0	19,244	13,778	33,022	363	5,335
2021	Aug	7.0	16	70	86	12	86
	Sep	21.0	262	600	862	41	845
	Oct	19.0	5,971	1,891	7,862	414	4,145
	Nov	22.0	5,691	4,921	10,612	482	2,368
	Dec	22.0	7,304	6,296	13,600	618	5,335
2021	**Highest**				**2,085**		**11,331**
	(date)				(15/12)		(18/11)

* Trading in Hang Seng Index Futures Options commenced on 23 Aug 2021

Weekly Hang Seng Index Options – trading statistics, 2019 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2019 *		73.0	115,239	131,478	246,717	3,380	3,157
2020		249.0	522,826	668,707	1,191,533	4,785	8,058
2021		248.0	1,017,557	1,061,358	2,078,915	8,383	7,771
2021	Jan	20.0	63,452	64,895	128,347	6,417	9,783
	Feb	18.0	55,043	68,898	123,941	6,886	9,201
	Mar	23.0	83,035	92,231	175,266	7,620	5,557
	Apr	19.0	65,495	79,226	144,721	7,617	7,560
	May	20.0	62,338	77,703	140,041	7,002	4,693
	Jun	21.0	104,176	79,452	183,628	8,744	10,619
	Jul	21.0	100,694	95,718	196,412	9,353	7,775
	Aug	22.0	91,157	96,741	187,898	8,541	6,118
	Sep	21.0	107,402	120,137	227,539	10,835	8,327
	Oct	19.0	75,443	80,671	156,114	8,217	7,079
	Nov	22.0	103,737	101,897	205,634	9,347	8,186
	Dec	22.0	105,585	103,789	209,374	9,517	7,771
2021	**Highest**				**20,628**		**14,887**
	(date)				(08/07)		(02/07)

* Trading in Weekly Hang Seng Index Options commenced on 16 Sep 2019

Mini Hang Seng Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	11,487,207	46,507	9,863
2018		246.0	24,664,381	100,262	12,587
2019		246.0	22,170,547	90,124	10,635
2020		249.0	27,902,077	112,057	17,370
2021		248.0	24,653,591	99,410	16,345
2021	Jan	20.0	2,182,841	109,142	12,863
	Feb	18.0	2,085,713	115,873	14,272
	Mar	23.0	3,051,146	132,659	10,367
	Apr	19.0	1,888,605	99,400	14,327
	May	20.0	1,812,408	90,620	11,859
	Jun	21.0	1,573,110	74,910	11,221
	Jul	21.0	2,067,321	98,444	14,935
	Aug	22.0	2,280,073	103,640	13,527
	Sep	21.0	2,318,478	110,404	13,293
	Oct	19.0	1,661,717	87,459	13,415
	Nov	22.0	1,912,724	86,942	19,543
	Dec	22.0	1,819,455	82,703	16,345
2021	**Highest**		**206,949**		**25,814**
	(date)		(24/02)		(22/12)

Mini Hang Seng Index Options – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2017		247.0	824,116	816,765	1,640,881	6,643	9,108
2018		246.0	1,205,226	1,256,070	2,461,296	10,005	18,777
2019		246.0	1,592,629	1,750,800	3,343,429	13,591	17,983
2020		249.0	1,595,522	1,750,060	3,345,582	13,436	8,638
2021		248.0	1,362,151	1,407,437	2,769,588	11,168	11,513
2021	Jan	20.0	133,900	138,783	272,683	13,634	14,394
	Feb	18.0	104,248	123,117	227,365	12,631	14,004
	Mar	23.0	143,568	155,148	298,716	12,988	14,798
	Apr	19.0	118,081	113,627	231,708	12,195	11,948
	May	20.0	99,721	112,977	212,698	10,635	12,080
	Jun	21.0	88,971	88,061	177,032	8,430	11,673
	Jul	21.0	150,460	138,154	288,614	13,744	14,937
	Aug	22.0	106,525	125,017	231,542	10,525	12,862
	Sep	21.0	123,866	125,335	249,201	11,867	12,586
	Oct	19.0	89,973	96,868	186,841	9,834	10,924
	Nov	22.0	110,133	101,084	211,217	9,601	14,030
	Dec	22.0	92,705	89,266	181,971	8,271	11,513
2021	**Highest**				**30,618**		**35,095**
	(date)				(19/01)		(28/07)

HSI (Gross Total Return Index) Futures – trading statistics, 2018 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2018 *		39.0	14	0	9
2019		246.0	165	1	12
2020		249.0	172	1	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in HSI (Gross Total Return Index) Futures commenced on 05 Nov 2018

HSI (Net Total Return Index) Futures – trading statistics, 2018 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2018 *		39.0	13	0	8
2019		246.0	120	0	10
2020		249.0	137	1	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in HSI (Net Total Return Index) Futures commenced on 05 Nov 2018

Hang Seng China Enterprises Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	28,852,655	116,812	233,591
2018		246.0	37,451,281	152,241	428,692
2019		246.0	34,151,099	138,826	325,907
2020		249.0	36,256,445	145,608	290,518
2021		248.0	31,656,879	127,649	355,489
2021	Jan	20.0	2,804,065	140,203	280,231
	Feb	18.0	2,296,675	127,593	260,377
	Mar	23.0	3,038,273	132,099	243,761
	Apr	19.0	2,089,120	109,954	252,037
	May	20.0	2,217,835	110,892	256,788
	Jun	21.0	2,162,887	102,995	286,531
	Jul	21.0	3,175,858	151,231	369,852
	Aug	22.0	2,748,960	124,953	356,975
	Sep	21.0	3,018,846	143,755	374,569
	Oct	19.0	2,388,515	125,711	348,438
	Nov	22.0	2,786,797	126,673	310,247
	Dec	22.0	2,929,048	133,139	355,489
2021	**Highest**		**545,816**		**530,831**
	(date)		(27/07)		(27/09)

Hang Seng China Enterprises Index Options – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2017		247.0	8,548,769	11,229,151	19,777,920	80,073	1,982,347
2018		246.0	10,640,068	13,618,016	24,258,084	98,610	2,309,038
2019		246.0	8,824,306	12,739,102	21,563,408	87,656	2,088,866
2020		249.0	8,211,881	12,513,761	20,725,642	83,236	1,502,474
2021		248.0	4,767,308	6,447,414	11,214,722	45,221	987,467
2021	Jan	20.0	700,125	928,420	1,628,545	81,427	1,859,827
	Feb	18.0	239,787	431,457	671,244	37,291	1,758,367
	Mar	23.0	279,450	528,355	807,805	35,122	1,375,616
	Apr	19.0	227,561	428,205	655,766	34,514	1,407,274
	May	20.0	236,369	397,730	634,099	31,705	1,435,795
	Jun	21.0	309,816	484,990	794,806	37,848	1,202,828
	Jul	21.0	653,103	837,072	1,490,175	70,961	1,627,649
	Aug	22.0	406,442	438,702	845,144	38,416	1,629,387
	Sep	21.0	528,570	616,653	1,145,223	54,534	1,498,698
	Oct	19.0	399,872	446,152	846,024	44,528	1,467,907
	Nov	22.0	407,754	523,648	931,402	42,336	1,504,861
	Dec	22.0	378,459	386,030	764,489	34,750	987,467
2021	**Highest**				**389,483**		**1,959,455**
	(date)				(11/01)		(28/01)

Hang Seng China Enterprises Index Futures Options – trading statistics, 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2021 *		91.0	229,336	108,225	337,561	3,709	84,866
2021	Aug	7.0	742	453	1,195	171	1,184
	Sep	21.0	5,248	4,579	9,827	468	7,877
	Oct	19.0	21,006	19,605	40,611	2,137	31,692
	Nov	22.0	143,536	34,140	177,676	8,076	70,764
	Dec	22.0	58,804	49,448	108,252	4,921	84,866
2021	**Highest**				**30,988**		**108,574**
	(date)				(26/11)		(16/12)

* Trading in Hang Seng China Enterprises Index Futures Options commenced on 23 Aug 2021

Weekly Hang Seng China Enterprises Index Options – trading statistics, 2019 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2019 *		73.0	48,974	45,229	94,203	1,290	1,592
2020		249.0	186,219	223,678	409,897	1,646	11,556
2021		248.0	218,639	249,123	467,762	1,886	7,897
2021	Jan	20.0	21,909	28,037	49,946	2,497	7,324
	Feb	18.0	13,648	15,584	29,232	1,624	5,844
	Mar	23.0	20,509	17,796	38,305	1,665	5,133
	Apr	19.0	12,595	14,248	26,843	1,413	5,300
	May	20.0	12,128	13,898	26,026	1,301	2,114
	Jun	21.0	17,862	18,274	36,136	1,721	4,657
	Jul	21.0	29,330	27,213	56,543	2,693	10,542
	Aug	22.0	16,463	17,228	33,691	1,531	5,214
	Sep	21.0	21,681	23,237	44,918	2,139	6,127
	Oct	19.0	13,993	20,485	34,478	1,815	4,914
	Nov	22.0	19,395	26,099	45,494	2,068	6,275
	Dec	22.0	19,126	27,024	46,150	2,098	7,897
2021	**Highest**				**6,996**		**11,652**
	(date)				(08/07)		(08/01)

* Trading in Weekly Hang Seng China Enterprises Index Options commenced on 16 Sep 2019

Mini-Hang Seng China Enterprises Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	3,661,193	14,823	5,162
2018		246.0	5,551,632	22,568	5,292
2019		246.0	3,686,219	14,985	4,214
2020		249.0	4,538,491	18,227	4,197
2021		248.0	3,380,507	13,631	6,947
2021	Jan	20.0	321,324	16,066	4,262
	Feb	18.0	294,178	16,343	6,056
	Mar	23.0	469,759	20,424	4,336
	Apr	19.0	265,244	13,960	4,799
	May	20.0	235,993	11,800	4,228
	Jun	21.0	194,038	9,240	3,953
	Jul	21.0	285,688	13,604	6,331
	Aug	22.0	296,530	13,479	4,670
	Sep	21.0	288,360	13,731	5,440
	Oct	19.0	230,932	12,154	6,583
	Nov	22.0	245,500	11,159	7,884
	Dec	22.0	252,961	11,498	6,947
2021	**Highest**		**35,646**		**12,315**
	(date)		(09/03)		(26/10)

Mini-Hang Seng China Enterprises Index Options – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2017		247.0	192,320	184,923	377,243	1,527	5,154
2018		246.0	293,667	289,882	583,549	2,372	5,132
2019		246.0	286,572	296,051	582,623	2,368	12,814
2020		249.0	265,591	298,551	564,142	2,266	3,490
2021		248.0	204,276	212,255	416,531	1,680	8,117
2021	Jan	20.0	21,431	20,275	41,706	2,085	6,331
	Feb	18.0	12,936	13,696	26,632	1,480	7,633
	Mar	23.0	16,440	18,509	34,949	1,520	5,414
	Apr	19.0	14,785	14,646	29,431	1,549	4,863
	May	20.0	9,889	10,431	20,320	1,016	2,927
	Jun	21.0	7,233	9,079	16,312	777	2,499
	Jul	21.0	20,611	20,445	41,056	1,955	9,665
	Aug	22.0	14,603	20,918	35,521	1,615	6,292
	Sep	21.0	23,933	22,541	46,474	2,213	12,483
	Oct	19.0	22,758	23,289	46,047	2,424	8,787
	Nov	22.0	19,640	19,344	38,984	1,772	9,350
	Dec	22.0	20,017	19,082	39,099	1,777	8,117
2021	**Highest**				**8,100**		**24,966**
	(date)				(27/07)		(27/10)

HSCEI (Gross Total Return Index) Futures – trading statistics, 2018 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2018 *		39.0	2	0	0
2019		246.0	24	0	0
2020		249.0	58	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in HSCEI (Gross Total Return Index) Futures commenced on 05 Nov 2018

HSCEI (Net Total Return Index) Futures – trading statistics, 2018 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2018 *		39.0	2	0	0
2019		246.0	23	0	0
2020		249.0	6	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in HSCEI (Net Total Return Index) Futures commenced on 05 Nov 2018

HSI Dividend Point Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	18,243	74	9,052
2018		246.0	12,822	52	1,850
2019		246.0	13,790	56	1,899
2020		249.0	25,098	101	3,860
2021		248.0	7,441	30	521
2021	Jan	20.0	1,920	96	3,260
	Feb	18.0	2,701	150	4,211
	Mar	23.0	0	0	4,211
	Apr	19.0	250	13	4,461
	May	20.0	400	20	4,561
	Jun	21.0	1,120	53	3,631
	Jul	21.0	600	29	3,631
	Aug	22.0	350	16	3,781
	Sep	21.0	0	0	3,781
	Oct	19.0	0	0	3,781
	Nov	22.0	0	0	3,781
	Dec	22.0	100	5	521
2021	**Highest**		**800**		**4,561**
	(date)		(09/06)		(01/06)

HSCEI Dividend Point Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	472,147	1,912	72,636
2018		246.0	413,292	1,680	125,077
2019		246.0	531,215	2,159	116,422
2020		249.0	448,663	1,802	52,667
2021		248.0	291,271	1,174	53,031
2021	Jan	20.0	17,207	860	54,822
	Feb	18.0	43,966	2,443	69,409
	Mar	23.0	43,641	1,897	78,542
	Apr	19.0	18,969	998	74,711
	May	20.0	31,545	1,577	68,496
	Jun	21.0	25,045	1,193	77,742
	Jul	21.0	34,373	1,637	93,322
	Aug	22.0	28,725	1,306	99,530
	Sep	21.0	7,000	333	99,630
	Oct	19.0	16,775	883	100,940
	Nov	22.0	10,725	488	101,340
	Dec	22.0	13,300	605	53,031
2021	**Highest**		**15,275**		**104,790**
	(date)		(02/03)		(30/12)

Flexible Hang Seng Index Options – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2017		247.0	0	0	0	0	0
2018		246.0	508	254	762	3	0
2019		246.0	0	0	0	0	0
2020		249.0	0	0	0	0	0
2021		248.0	0	0	0	0	0
2021	Jan	20.0	0	0	0	0	0
	Feb	18.0	0	0	0	0	0
	Mar	23.0	0	0	0	0	0
	Apr	19.0	0	0	0	0	0
	May	20.0	0	0	0	0	0
	Jun	21.0	0	0	0	0	0
	Jul	21.0	0	0	0	0	0
	Aug	22.0	0	0	0	0	0
	Sep	21.0	0	0	0	0	0
	Oct	19.0	0	0	0	0	0
	Nov	22.0	0	0	0	0	0
	Dec	22.0	0	0	0	0	0
2021	**Highest**				**0**		**0**
	(date)				–		–

Flexible Hang Seng China Enterprises Index Options – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2017		247.0	10,000	0	10,000	40	0
2018		246.0	0	6,500	6,500	26	0
2019		246.0	0	150	150	1	150
2020		249.0	0	0	0	0	0
2021		248.0	0	0	0	0	0
2021	Jan	20.0	0	0	0	0	0
	Feb	18.0	0	0	0	0	0
	Mar	23.0	0	0	0	0	0
	Apr	19.0	0	0	0	0	0
	May	20.0	0	0	0	0	0
	Jun	21.0	0	0	0	0	0
	Jul	21.0	0	0	0	0	0
	Aug	22.0	0	0	0	0	0
	Sep	21.0	0	0	0	0	0
	Oct	19.0	0	0	0	0	0
	Nov	22.0	0	0	0	0	0
	Dec	22.0	0	0	0	0	0
2021	**Highest**				**0**		**0**
	(date)				–		–

HSI Volatility Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	68	0	0
2018		246.0	1	0	0
2019		246.0	159	1	5
2020		249.0	168	1	0
2021		248.0	4	0	0
2021	Jan	20.0	4	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**2**		**2**
	(date)		(07/01)		(06/01)

Hang Seng TECH Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		28.0	49,122	1,754	4,721
2021		248.0	3,650,057	14,718	53,516
2021	Jan	20.0	83,752	4,188	9,313
	Feb	18.0	118,474	6,582	13,569
	Mar	23.0	196,044	8,524	14,484
	Apr	19.0	134,125	7,059	15,923
	May	20.0	200,660	10,033	19,304
	Jun	21.0	185,537	8,835	18,944
	Jul	21.0	429,724	20,463	36,133
	Aug	22.0	522,690	23,759	32,663
	Sep	21.0	457,417	21,782	35,125
	Oct	19.0	346,546	18,239	36,825
	Nov	22.0	406,572	18,481	46,148
	Dec	22.0	568,516	25,842	53,516
2021	**Highest**		**89,967**		**69,944**
	(date)		(28/12)		(28/12)

* Trading in Hang Seng TECH Index Futures commenced on 23 Nov 2020

Hang Seng TECH Index Options – trading statistics, 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2021 *		238.0	97,866	73,163	171,029	719	14,362
2021	Jan	10.0	810	1,676	2,486	249	1,067
	Feb	18.0	2,083	4,067	6,150	342	1,584
	Mar	23.0	9,937	8,769	18,706	813	5,015
	Apr	19.0	6,543	3,745	10,288	541	6,179
	May	20.0	11,640	5,886	17,526	876	7,764
	Jun	21.0	7,518	4,163	11,681	556	8,143
	Jul	21.0	15,126	9,005	24,131	1,149	11,174
	Aug	22.0	10,868	11,827	22,695	1,032	17,762
	Sep	21.0	7,792	6,951	14,743	702	14,690
	Oct	19.0	5,190	3,509	8,699	458	15,432
	Nov	22.0	11,681	4,652	16,333	742	23,095
	Dec	22.0	8,678	8,913	17,591	800	14,362
2021	**Highest**				**3,329**		**29,584**
	(date)				(19/08)		(29/12)

* Trading in Hang Seng TECH Index Options commenced on 18 Jan 2021

MSCI AC Asia ex Japan NTR Index Futures – trading statistics, 2018 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2018 *		139.0	5,545	40	925
2019		246.0	237,533	966	23,864
2020		249.0	135,546	544	402
2021		248.0	10,319	42	501
2021	Jan	20.0	832	42	615
	Feb	18.0	937	52	694
	Mar	23.0	1,630	71	623
	Apr	19.0	276	15	714
	May	20.0	752	38	640
	Jun	21.0	1,347	64	536
	Jul	21.0	314	15	716
	Aug	22.0	205	9	672
	Sep	21.0	1,357	65	444
	Oct	19.0	468	25	508
	Nov	22.0	197	9	568
	Dec	22.0	2,004	91	501
2021	**Highest**		**964**		**1,619**
	(date)		(15/03)		(15/03)

* Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018

MSCI Australia Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	45	0	0
2021		248.0	76	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	1	0	1
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	15	1	15
	Jun	21.0	60	3	60
	Jul	21.0	0	0	60
	Aug	22.0	0	0	60
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**60**		**60**
	(date)		(25/06)		(17/09)

* Trading in MSCI Australia Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI China A 50 Connect (USD) Index Futures – trading statistics, 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2021 *		54.0	624,145	11,558	31,710
2021	Oct	10.0	35,612	3,561	7,539
	Nov	22.0	262,401	11,927	23,745
	Dec	22.0	326,132	14,824	31,710
2021	**Highest**		**38,610**		**34,016**
	(date)		(13/12)		(16/12)

* Trading in MSCI China A 50 Connect (USD) Index Futures commenced on 18 Oct 2021

MSCI China (USD) Index Futures – trading statistics, 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2021 *		29.0	49	2	4
2021	Nov	7.0	16	2	12
	Dec	22.0	33	2	4
2021	**Highest**		**10**		**18**
	(date)		(30/11)		(01/12)

* Trading in MSCI China (USD) Index Futures commenced on 22 Nov 2021

MSCI China Net Total Return (USD) Index Futures – trading statistics, 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2021 *		29.0	97	3	9
2021	Nov	7.0	30	4	16
	Dec	22.0	67	3	9
2021	**Highest**		**14**		**22**
	(date)		(14/12)		(17/12)

* Trading in MSCI China Net Total Return (USD) Index Futures commenced on 22 Nov 2021

MSCI China Free (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	10	0	0
2021		248.0	1,871	8	0
2021	Jan	20.0	70	4	70
	Feb	18.0	30	2	100
	Mar	23.0	233	10	10
	Apr	19.0	481	25	40
	May	20.0	364	18	25
	Jun	21.0	128	6	10
	Jul	21.0	181	9	17
	Aug	22.0	347	16	32
	Sep	21.0	37	2	6
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**76**		**174**
	(date)		(16/04)		(19/03)

* Trading in MSCI China Free (USD) Index Futures commenced on 03 Aug 2020

MSCI China Free (USD) Index Options – trading statistics, 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2021 *		200.0	0	7	7	0	0
2021	Mar	13.0	0	0	0	0	0
	Apr	19.0	0	0	0	0	0
	May	20.0	0	7	7	0	7
	Jun	21.0	0	0	0	0	6
	Jul	21.0	0	0	0	0	6
	Aug	22.0	0	0	0	0	6
	Sep	21.0	0	0	0	0	0
	Oct	19.0	0	0	0	0	0
	Nov	22.0	0	0	0	0	0
	Dec	22.0	0	0	0	0	0
2021	**Highest**				**6**		**7**
	(date)				(05/05)		(29/06)

* Trading in MSCI China Free (USD) Index Options commenced on 15 Mar 2021

MSCI China Free Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	19,703	158	3,800
2021		248.0	84,365	340	5,284
2021	Jan	20.0	1,999	100	3,684
	Feb	18.0	1,549	86	4,082
	Mar	23.0	14,925	649	5,575
	Apr	19.0	837	44	5,586
	May	20.0	1,019	51	5,769
	Jun	21.0	13,498	643	6,124
	Jul	21.0	1,310	62	6,961
	Aug	22.0	2,598	118	7,845
	Sep	21.0	30,395	1,447	12,213
	Oct	19.0	663	35	12,462
	Nov	22.0	2,051	93	11,595
	Dec	22.0	13,521	615	5,284
2021	**Highest**		**13,653**		**17,249**
	(date)		(13/09)		(17/09)

* Trading in MSCI China Free Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI EM Asia ex China Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI EM Asia ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI EM Asia ex Korea Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI EM Asia ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI EM Asia Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	39,967	320	1,748
2021		248.0	1,784	7	55
2021	Jan	20.0	25	1	1,743
	Feb	18.0	163	9	1,732
	Mar	23.0	165	7	15
	Apr	19.0	120	6	42
	May	20.0	230	12	27
	Jun	21.0	195	9	80
	Jul	21.0	180	9	140
	Aug	22.0	95	4	75
	Sep	21.0	346	16	105
	Oct	19.0	60	3	105
	Nov	22.0	15	1	105
	Dec	22.0	190	9	55
2021	**Highest**		**90**		**1,758**
	(date)		(02/09)		(03/02)

* Trading in MSCI EM Asia Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI EM EMEA Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI EM EMEA Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI EM ex China Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI EM ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI EM ex Korea Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI EM ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI EM LatAm Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI EM LatAm Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI Emerging Markets (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		115.0	204	2	0
2021		248.0	80	0	0
2021	Jan	20.0	40	2	40
	Feb	18.0	0	0	40
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	20	1	20
	Oct	19.0	20	1	40
	Nov	22.0	0	0	40
	Dec	22.0	0	0	0
2021	**Highest**		**20**		**40**
	(date)		(15/10)		(17/12)

* Trading in MSCI Emerging Markets (USD) Index Futures commenced on 20 Jul 2020

MSCI Emerging Markets Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2020 *		115.0	40	0	0
2021		248.0	1,300	5	20
2021	Jan	20.0	180	9	180
	Feb	18.0	60	3	200
	Mar	23.0	60	3	0
	Apr	19.0	0	0	0
	May	20.0	80	4	80
	Jun	21.0	20	1	0
	Jul	21.0	240	11	80
	Aug	22.0	80	4	120
	Sep	21.0	200	10	140
	Oct	19.0	280	15	420
	Nov	22.0	0	0	420
	Dec	22.0	100	5	20
2021	**Highest**		**100**		**440**
	(date)		(21/01)		(17/12)

* Trading in MSCI Emerging Markets Net Total Return (USD) Index Futures commenced on 20 Jul 2020

MSCI Hong Kong Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2020 *		115.0	0	0	0
2021		248.0	1,489	6	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	8	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	776	35	776
	Sep	21.0	700	33	738
	Oct	19.0	0	0	738
	Nov	22.0	5	0	743
	Dec	22.0	0	0	0
2021	**Highest**		**776**		**1,476**
	(date)		(23/08)		(17/09)

* Trading in MSCI Hong Kong Net Total Return (USD) Index Futures commenced on 20 Jul 2020

MSCI India (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021		248.0	40	0	0
2021	Jan	20.0	5	0	5
	Feb	18.0	10	1	5
	Mar	23.0	0	0	0
	Apr	19.0	25	1	15
	May	20.0	0	0	15
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**20**		**15**
	(date)		(21/04)		(24/06)

* Trading in MSCI India (USD) Index Futures commenced on 03 Aug 2020

MSCI India Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	5,948	48	2,334
2021		248.0	5,149	21	406
2021	Jan	20.0	144	7	2,369
	Feb	18.0	115	6	2,455
	Mar	23.0	964	42	473
	Apr	19.0	43	2	514
	May	20.0	83	4	587
	Jun	21.0	1,750	83	592
	Jul	21.0	93	4	632
	Aug	22.0	97	4	632
	Sep	21.0	1,002	48	432
	Oct	19.0	0	0	432
	Nov	22.0	50	2	422
	Dec	22.0	808	37	406
2021	**Highest**		**1,431**		**2,508**
	(date)		(16/06)		(17/03)

* Trading in MSCI India Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI Indonesia (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		115.0	704	6	10
2021		248.0	534	2	0
2021	Jan	20.0	104	5	23
	Feb	18.0	221	12	4
	Mar	23.0	96	4	11
	Apr	19.0	25	1	6
	May	20.0	20	1	26
	Jun	21.0	63	3	6
	Jul	21.0	5	0	1
	Aug	22.0	0	0	1
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**160**		**60**
	(date)		(03/02)		(29/06)

* Trading in MSCI Indonesia (USD) Index Futures commenced on 20 Jul 2020

MSCI Indonesia Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	14,316	115	6,668
2021		248.0	33,075	133	335
2021	Jan	20.0	339	17	6,689
	Feb	18.0	620	34	7,274
	Mar	23.0	1,198	52	588
	Apr	19.0	44	2	630
	May	20.0	265	13	666
	Jun	21.0	12,241	583	5,882
	Jul	21.0	0	0	5,882
	Aug	22.0	15,875	722	10,169
	Sep	21.0	956	46	7,966
	Oct	19.0	83	4	7,956
	Nov	22.0	757	34	8,216
	Dec	22.0	697	32	335
2021	**Highest**		**15,865**		**12,417**
	(date)		(19/08)		(18/06)

* Trading in MSCI Indonesia Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI Japan (JPY) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		65.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Japan (JPY) Index Futures commenced on 28 Sep 2020

MSCI Japan Net Total Return (JPY) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		65.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Japan Net Total Return (JPY) Index Futures commenced on 28 Sep 2020

MSCI Japan Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	10,748	86	10,748
2021		248.0	108,334	437	11,099
2021	Jan	20.0	0	0	10,748
	Feb	18.0	73	4	10,748
	Mar	23.0	21,424	931	10,712
	Apr	19.0	2,025	107	12,737
	May	20.0	0	0	12,737
	Jun	21.0	25,946	1,236	13,169
	Jul	21.0	2,080	99	15,209
	Aug	22.0	0	0	15,209
	Sep	21.0	30,458	1,450	15,229
	Oct	19.0	4,109	216	15,209
	Nov	22.0	14	1	15,209
	Dec	22.0	22,205	1,009	11,099
2021	**Highest**		**30,260**		**17,793**
	(date)		(15/09)		(17/09)

* Trading in MSCI Japan Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI Malaysia (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Malaysia (USD) Index Futures commenced on 03 Aug 2020

MSCI Malaysia Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	3,144	25	970
2021		248.0	3,062	12	71
2021	Jan	20.0	171	9	993
	Feb	18.0	6	0	999
	Mar	23.0	350	15	174
	Apr	19.0	58	3	232
	May	20.0	238	12	268
	Jun	21.0	1,011	48	508
	Jul	21.0	118	6	621
	Aug	22.0	0	0	621
	Sep	21.0	868	41	395
	Oct	19.0	0	0	395
	Nov	22.0	93	4	421
	Dec	22.0	149	7	71
2021	**Highest**		**828**		**1,185**
	(date)		(17/06)		(18/06)

* Trading in MSCI Malaysia Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI New Zealand Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI New Zealand Net Total Return (USD) Index Futures commenced on 03 Aug 2020

MSCI Pacific ex Japan Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Pacific ex Japan Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI Pacific Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		95.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Pacific Net Total Return (USD) Index Futures commenced on 17 Aug 2020

MSCI Philippines (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Philippines (USD) Index Futures commenced on 03 Aug 2020

MSCI Philippines Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		115.0	33	0	1
2021		248.0	3,164	13	8
2021	Jan	20.0	46	2	25
	Feb	18.0	20	1	45
	Mar	23.0	10	0	5
	Apr	19.0	20	1	15
	May	20.0	10	1	25
	Jun	21.0	183	9	89
	Jul	21.0	0	0	89
	Aug	22.0	10	0	99
	Sep	21.0	2,694	128	1,124
	Oct	19.0	5	0	1,129
	Nov	22.0	137	6	1,129
	Dec	22.0	29	1	8
2021	**Highest**		**1,372**		**2,164**
	(date)		(09/09)		(17/09)

* Trading in MSCI Philippines Net Total Return (USD) Index Futures commenced on 20 Jul 2020

MSCI Singapore Free (SGD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		65.0	2,460	38	8
2021		248.0	648	3	0
2021	Jan	20.0	175	9	0
	Feb	18.0	41	2	15
	Mar	23.0	86	4	4
	Apr	19.0	68	4	0
	May	20.0	106	5	10
	Jun	21.0	69	3	5
	Jul	21.0	82	4	49
	Aug	22.0	3	0	0
	Sep	21.0	5	0	0
	Oct	19.0	13	1	13
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**42**		**55**
	(date)		(15/03)		(21/07)

* Trading in MSCI Singapore Free (SGD) Index Futures commenced on 28 Sep 2020

MSCI Singapore Free Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Singapore Free Net Total Return (USD) Index Futures commenced on 03 Aug 2020

MSCI Singapore Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2020 *		115.0	2	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Singapore Net Total Return (USD) Index Futures commenced on 20 Jul 2020

MSCI Taiwan (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2020 *		125.0	548,214	4,386	25,196
2021		248.0	1,566,224	6,315	18,002
2021	Jan	20.0	184,190	9,210	21,469
	Feb	18.0	123,597	6,867	22,634
	Mar	23.0	156,023	6,784	21,620
	Apr	19.0	123,391	6,494	20,937
	May	20.0	153,627	7,681	19,431
	Jun	21.0	101,045	4,812	18,672
	Jul	21.0	107,888	5,138	18,009
	Aug	22.0	92,337	4,197	15,421
	Sep	21.0	126,435	6,021	17,692
	Oct	19.0	135,180	7,115	18,409
	Nov	22.0	128,597	5,845	18,810
	Dec	22.0	133,914	6,087	18,002
2021	**Highest**		**31,604**		**29,661**
	(date)		(26/04)		(26/01)

* Trading in MSCI Taiwan (USD) Index Futures commenced on 06 Jul 2020

MSCI Taiwan (USD) Index Options – trading statistics, 2021

Year/Month		No. of Trading Days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2021 *		200.0	4	39	43	0	0
2021	Mar	13.0	0	0	0	0	0
	Apr	19.0	0	0	0	0	0
	May	20.0	0	13	13	1	6
	Jun	21.0	0	6	6	0	6
	Jul	21.0	1	11	12	1	10
	Aug	22.0	3	9	12	1	6
	Sep	21.0	0	0	0	0	0
	Oct	19.0	0	0	0	0	0
	Nov	22.0	0	0	0	0	0
	Dec	22.0	0	0	0	0	0
2021	**Highest**				**7**		**18**
	(date)				(06/05)		(26/08)

* Trading in MSCI Taiwan (USD) Index Options commenced on 15 Mar 2021

MSCI Taiwan 25/50 (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		65.0	5,758	89	244
2021		248.0	14,544	59	157
2021	Jan	20.0	2,734	137	260
	Feb	18.0	2,612	145	238
	Mar	23.0	2,880	125	203
	Apr	19.0	1,196	63	211
	May	20.0	1,208	60	212
	Jun	21.0	852	41	202
	Jul	21.0	517	25	196
	Aug	22.0	504	23	180
	Sep	21.0	445	21	168
	Oct	19.0	363	19	168
	Nov	22.0	647	29	170
	Dec	22.0	586	27	157
2021	**Highest**		**970**		**659**
	(date)		(25/03)		(22/02)

* Trading in MSCI Taiwan 25/50 (USD) Index Futures commenced on 28 Sep 2020

MSCI Taiwan 25/50 Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2020 *		65.0	3	0	0
2021		248.0	60	0	0
2021	Jan	20.0	40	2	0
	Feb	18.0	20	1	20
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**20**		**20**
	(date)		(24/02)		(19/03)

* Trading in MSCI Taiwan 25/50 Net Total Return (USD) Index Futures commenced on 28 Sep 2020

MSCI Taiwan Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2020 *		125.0	37,874	303	7,211
2021		248.0	49,802	201	2,445
2021	Jan	20.0	302	15	7,251
	Feb	18.0	2,667	148	7,246
	Mar	23.0	3,456	150	3,351
	Apr	19.0	310	16	3,381
	May	20.0	2,317	116	3,392
	Jun	21.0	13,095	624	5,655
	Jul	21.0	544	26	5,829
	Aug	22.0	4,246	193	8,089
	Sep	21.0	13,810	658	7,018
	Oct	19.0	229	12	6,907
	Nov	22.0	8,066	367	8,262
	Dec	22.0	760	35	2,445
2021	**Highest**		**4,357**		**12,953**
	(date)		(08/09)		(17/09)

* Trading in MSCI Taiwan Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI Thailand (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Thailand (USD) Index Futures commenced on 03 Aug 2020

MSCI Thailand Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		125.0	14,877	119	4,037
2021		248.0	37,568	151	30
2021	Jan	20.0	490	25	4,257
	Feb	18.0	326	18	4,102
	Mar	23.0	1,325	58	645
	Apr	19.0	45	2	660
	May	20.0	724	36	1,384
	Jun	21.0	26,285	1,252	12,513
	Jul	21.0	229	11	12,538
	Aug	22.0	7,387	336	15,207
	Sep	21.0	697	33	508
	Oct	19.0	0	0	508
	Nov	22.0	0	0	508
	Dec	22.0	60	3	30
2021	**Highest**		**23,200**		**25,873**
	(date)		(16/06)		(16/06)

* Trading in MSCI Thailand Net Total Return (USD) Index Futures commenced on 06 Jul 2020

MSCI Vietnam (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		105.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Vietnam (USD) Index Futures commenced on 03 Aug 2020

MSCI Vietnam Net Total Return (USD) Index Futures – trading statistics, 2020 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2020 *		115.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in MSCI Vietnam Net Total Return (USD) Index Futures commenced on 20 Jul 2020

CES China 120 Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	1,511	6	11
2018		246.0	765	3	3
2019		246.0	111	0	3
2020		249.0	73	0	0
2021		248.0	6	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	6	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**6**		**0**
	(date)		(24/03)		(31/12)

Hang Seng Mainland Oil & Gas Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	2,637	11	69
2018		246.0	20,973	85	14
2019		246.0	45,120	183	42
2020		249.0	11,042	44	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

Hang Seng Mainland Banks Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	8,067	33	100
2018		246.0	22,516	92	20
2019		246.0	22,255	90	117
2020		249.0	1,146	5	0
2021		248.0	28	0	0
2021	Jan	20.0	4	0	3
	Feb	18.0	4	0	3
	Mar	23.0	6	0	5
	Apr	19.0	6	0	7
	May	20.0	1	0	4
	Jun	21.0	3	0	3
	Jul	21.0	3	0	3
	Aug	22.0	1	0	1
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**4**		**7**
	(date)		(30/04)		(29/06)

Hang Seng Mainland Properties Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	4,744	19	8
2018		246.0	28,456	116	29
2019		246.0	17,261	70	17
2020		249.0	1,224	5	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

IBOVESPA Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2017		247.0	0	0	0
2018		246.0	0	0	0
2019		246.0	0	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

MICEX Index Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2017		247.0	0	0	0
2018		246.0	0	0	0
2019		246.0	0	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

FTSE/JSE Top40 Futures – trading statistics, 2017 – 2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	0	0	0
2018		246.0	0	0	0
2019		246.0	0	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

3-Month HIBOR Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	568	2	470
2018		246.0	592	2	272
2019		246.0	175	1	60
2020		249.0	259	1	15
2021		248.0	0	0	0
2021	Jan	20.0	0	0	15
	Feb	18.0	0	0	15
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**15**
	(date)		–		–

1-Month HIBOR Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	20	0	0
2018		246.0	167	1	0
2019		246.0	95	0	0
2020		249.0	55	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

Stock Futures contracts as at the end of the 2021

Underlying Stock	Launch Date	Contract Multiplier
AAC Technologies Holdings Inc.	2018/02/05	1,000
Agricultural Bank of China Ltd.	2015/11/30	10,000
AIA Group Limited	2018/02/05	1,000
Alibaba Group Holding Ltd.	2019/11/26	500
Alibaba Health Information Technology Ltd.	2020/12/07	2,000
Aluminum Corporation of China Ltd.	2004/06/14	2,000
Anhui Conch Cement Company Limited	2018/07/03	500
Baidu, Inc.	2021/03/23	150
Bank of China Ltd.	2006/07/03	1,000
Bank of Communications Co., Ltd.	2005/11/07	1,000
Bilibili Inc.	2021/03/29	60
BOC Hong Kong (Holdings) Limited	2003/03/17	500
Budweiser Brewing Co. APAC Ltd.	2019/09/30	1,000
BYD Company Limited	2021/07/19	500
BYD Electronic (International) Co. Ltd.	2021/07/19	1,000
CGN Power Co., Ltd.	2015/11/30	10,000
China Cinda Asset Management Co., Ltd.	2015/11/30	5,000
China CITIC Bank Corporation Limited	2015/11/30	20,000
China Coal Energy Company Limited	2015/12/07	1,000
China Communications Construction Company Limited	2007/03/19	1,000
China Construction Bank Corporation	2005/12/19	1,000
China Evergrande Group	2015/11/30	2,000
China Life Insurance Company Ltd.	2004/06/14	1,000
China Merchants Bank Co., Ltd.	2006/10/27	500
China Minsheng Banking Corp., Ltd.	2015/12/07	10,000
China Mobile Ltd.	1998/06/05	500
China Overseas Land & Investment Limited	2018/07/03	2,000
China Pacific Insurance (Group) Co., Ltd.	2018/07/03	1,000
China Petroleum & Chemical Corporation	2002/07/22	2,000
China Shenhua Energy Company Limited	2015/11/30	500
China Telecom Corporation Ltd.	2004/06/14	2,000
China Tower Corporation Ltd.	2018/08/08	10,000
China Unicom Limited	2001/08/27	2,000
ChinaAMC CSI 300 Index ETF	2013/06/10	2,000
CITIC Pacific Ltd.	1997/05/23	1,000
CITIC Securities Co. Ltd.	2015/11/30	1,000
CK Hutchison Holdings Ltd.	1995/09/25	500
CLP Holdings Ltd.	1997/10/17	500
CNOOC Ltd.	2002/07/22	1,000
Country Garden Holdings Co. Ltd.	2018/02/05	5,000
CSOP FTSE China A50 ETF	2013/06/10	5,000
Galaxy Entertainment Group Limited	2018/07/03	1,000
Geely Automobile Holdings Ltd.	2018/07/03	5,000
Great Wall Motor Company Ltd.	2015/12/07	10,000
Guangzhou Automobile Group Co., Ltd.	2015/11/30	4,000
Haidilao International Holding Ltd.	2021/07/26	1,000

Stock Futures contracts as at the end of the 2021 *(continued)*

Underlying Stock	Launch Date	Contract Multiplier
Haitong Securities Co., Ltd.	2015/11/30	10,000
Hang Seng Bank Ltd.	1997/09/19	100
Hang Seng China Enterprises Index ETF	2018/04/09	5,000
Henderson Land Development Co. Ltd.	1997/09/19	1,000
Power Asset Holdings Ltd	1998/03/18	500
Hong Kong & China Gas Co Ltd	2001/08/27	1,000
Hong Kong Exchanges & Clearing Ltd	2001/08/27	100
HSBC Holdings Plc Futures	1995/03/31	400
Huaneng Power International, Inc.	2002/07/22	2,000
Industrial and Commercial Bank of China Limited	2006/10/27	1,000
iShares FTSE/Xinhua A50 China Index ETF	2013/06/10	5,000
JD Health International Inc.	2021/01/25	500
JD.com, Inc.	2020/06/18	500
Kingdee International Software Group Co. Ltd.	2020/12/07	2,000
Kingsoft Corporation Ltd.	2015/12/07	1,000
Kuaishou Technology	2021/02/05	500
Li Auto Inc.	2021/08/12	200
Link Real Estate Investment Trust	2018/07/03	1,000
Meituan Dianping	2018/09/20	500
MTR Corporation Limited	2001/08/27	500
NetEase, Inc.	2020/06/11	500
New China Life Insurance Co. Ltd.	2015/12/07	1,000
New World Development Co., Ltd.	1997/10/17	1,000
Nongfu Spring Co., Ltd.	2021/07/26	1,000
Petrochina Company Limited	2001/08/27	2,000
PICC Property and Casualty Company Limited	2007/03/19	2,000
Ping An Healthcare and Technology Co. Ltd.	2020/12/07	500
Ping An Insurance (Group) Co. of China Ltd.	2005/11/07	500
Sands China Ltd.	2018/07/03	400
Semiconductor Manufacturing International Corp	2015/12/07	2,500
Sun Hung Kai Properties Ltd.	1997/09/19	1,000
Sunac China Holdings Ltd.	2015/11/30	2,000
Sunny Optical Technology (Group) Co. Ltd.	2018/02/05	1,000
Swire Pacific Ltd. 'A'	1997/05/23	500
Tencent Holdings Limited	2015/11/30	100
The Bank of East Asia Ltd.	2001/01/08	200
The Wharf (Holdings) Ltd.	1997/10/17	1,000
Tracker Fund	2018/04/09	50,000
Trip.com Group Ltd.	2021/07/26	150
Weibo Corporation	2021/12/08	100
WuXi Biologics (Cayman) Inc.	2021/01/25	500
Xiaomi Corporation	2018/07/09	1,000
XPeng Inc.	2021/07/07	200
Yankuang Energy Group Co. Ltd.	2015/12/07	2,000
ZhongAn Online P & C Insurance Co., Ltd.	2021/07/19	1,000
Zijin Mining Group Company Limited	2015/12/07	2,000

Stock Futures contract volume and open interest, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	121,532	492	5,555
2018		246.0	863,027	3,508	20,476
2019		246.0	917,358	3,729	27,277
2020		249.0	1,141,729	4,585	22,965
2021		248.0	2,382,772	9,608	29,311
2021	Jan	20.0	257,825	12,891	38,803
	Feb	18.0	243,074	13,504	40,376
	Mar	23.0	333,774	14,512	38,583
	Apr	19.0	168,488	8,868	39,447
	May	20.0	169,699	8,485	38,576
	Jun	21.0	177,504	8,453	38,230
	Jul	21.0	226,327	10,777	39,103
	Aug	22.0	216,022	9,819	38,922
	Sep	21.0	197,702	9,414	35,126
	Oct	19.0	117,139	6,165	32,211
	Nov	22.0	135,627	6,165	30,825
	Dec	22.0	139,591	6,345	29,311
2021	**Highest**		**36,944**		**61,238**
	(date)		(09/03)		(22/03)

Stock Futures – trading statistics by underlying stock, 2021

	Contracts			
	Volume		Period end open Interest	
Underlying Stock	Total	% of Total	Total	% of Total
AAC Technologies Holdings Inc.	7,002	0.29	6	0.02
Agricultural Bank of China Ltd.	2,353	0.10	215	0.73
AIA Group Limited	5,954	0.25	43	0.15
Alibaba Group Holding Ltd.	80,872	3.39	835	2.85
Alibaba Health Information Technology Ltd.	8,790	0.37	88	0.30
Aluminum Corporation of China Ltd.	4,060	0.17	88	0.30
Anhui Conch Cement Company Limited	35,764	1.50	77	0.26
Baidu, Inc.	25,421	1.07	538	1.84
Bank of China Ltd.	6,213	0.26	981	3.35
Bank of Communications Co., Ltd.	5,504	0.23	30	0.10
Bilibili Inc.	14,461	0.61	177	0.60
BOC Hong Kong (Holdings) Limited	8,929	0.37	68	0.23
Budweiser Brewing Co. APAC Ltd.	8,657	0.36	26	0.09
BYD Company Limited	10,935	0.46	63	0.21
BYD Electronic (International) Co. Ltd.	743	0.03	16	0.05
CGN Power Co., Ltd.	3,296	0.14	14	0.05
China Cinda Asset Management Co., Ltd.	413	0.02	8	0.03
China CITIC Bank Corporation Limited	408	0.02	21	0.07
China Coal Energy Company Limited	3,883	0.16	55	0.19
China Communications Construction Company Limited	15,493	0.65	633	2.16
China Construction Bank Corporation	38,634	1.62	279	0.95
China Evergrande Group	7,147	0.30	22	0.08
China Life Insurance Company Ltd.	13,858	0.58	100	0.34
China Merchants Bank Co., Ltd.	204,011	8.56	5,865	20.01
China Minsheng Banking Corp., Ltd.	952	0.04	12	0.04
China Mobile Ltd.	123,053	5.16	1,148	3.92
China Overseas Land & Investment Limited	5,076	0.21	23	0.08
China Pacific Insurance (Group) Co., Ltd.	15,721	0.66	22	0.08
China Petroleum & Chemical Corporation	27,944	1.17	98	0.33
China Shenhua Energy Company Limited	19,138	0.80	402	1.37
China Telecom Corporation Ltd.	24,554	1.03	253	0.86
China Tower Corporation Ltd.	18,186	0.76	430	1.47
China Unicom Limited	20,852	0.88	234	0.80
ChinaAMC CSI 300 Index ETF	6,520	0.27	131	0.45
CITIC Pacific Ltd.	1,997	0.08	287	0.98
CITIC Securities Co. Ltd.	15,073	0.63	346	1.18
CK Hutchison Holdings Ltd.	2,821	0.12	16	0.05
CLP Holdings Ltd.	1,437	0.06	21	0.07
CNOOC Ltd.	170,656	7.16	520	1.77
Country Garden Holdings Co. Ltd.	3,610	0.15	17	0.06
CSOP FTSE China A50 ETF	3,203	0.13	242	0.83
Galaxy Entertainment Group Limited	47,327	1.99	381	1.30
Geely Automobile Holdings Ltd.	21,086	0.88	59	0.20
Great Wall Motor Company Ltd.	8,404	0.35	31	0.11
Guangzhou Automobile Group Co., Ltd.	725	0.03	2	0.01
Haidilao International Holding Ltd.	458	0.02	12	0.04
Haitong Securities Co., Ltd.	2,135	0.09	43	0.15

Stock Futures – trading statistics by underlying stock, 2021 *(continued)*

	Contracts			
	Volume		Period end open Interest	
Underlying Stock	Total	% of Total	Total	% of Total
Hang Seng Bank Ltd.	4,503	0.19	48	0.16
Hang Seng China Enterprises Index ETF	59	0.00	0	0.00
Henderson Land Development Co. Ltd.	1,748	0.07	23	0.08
Power Asset Holdings Ltd	1,600	0.07	0	0.00
Hong Kong & China Gas Co Ltd	4,866	0.20	64	0.22
Hong Kong Exchanges & Clearing Ltd	97,364	4.09	380	1.30
HSBC Holdings Plc Futures	72,216	3.03	2,606	8.89
Huaneng Power International, Inc.	1,038	0.04	16	0.05
Industrial and Commercial Bank of China Limited	19,679	0.83	853	2.91
iShares FTSE/Xinhua A50 China Index ETF	4,054	0.17	116	0.40
JD Health International Inc.	5,055	0.21	13	0.04
JD.com, Inc.	36,805	1.54	321	1.10
Kingdee International Software Group Co. Ltd.	8,573	0.36	3	0.01
Kingsoft Corporation Ltd.	2,804	0.12	6	0.02
Kuaishou Technology	46,496	1.95	601	2.05
Li Auto Inc.	1,289	0.05	50	0.17
Link Real Estate Investment Trust	2,626	0.11	17	0.06
Meituan Dianping	81,458	3.42	500	1.71
MTR Corporation Limited	4,652	0.20	12	0.04
NetEase, Inc.	6,002	0.25	25	0.09
New China Life Insurance Co. Ltd.	12,022	0.50	25	0.09
New World Development Co., Ltd.	1,108	0.05	6	0.02
Nongfu Spring Co., Ltd.	546	0.02	36	0.12
Petrochina Company Limited	39,022	1.64	350	1.19
PICC Property and Casualty Company Limited	6,634	0.28	128	0.44
Ping An Healthcare and Technology Co. Ltd.	4,076	0.17	52	0.18
Ping An Insurance (Group) Co. of China Ltd.	88,803	3.73	516	1.76
Sands China Ltd.	19,390	0.81	436	1.49
Semiconductor Manufacturing International Corp	21,438	0.90	224	0.76
Sun Hung Kai Properties Ltd.	2,458	0.10	8	0.03
Sunac China Holdings Ltd.	11,728	0.49	43	0.15
Sunny Optical Technology (Group) Co. Ltd.	4,150	0.17	25	0.09
Swire Pacific Ltd. 'A'	934	0.04	5	0.02
Tencent Holdings Limited	517,262	21.71	5,456	18.61
The Bank of East Asia Ltd.	243	0.01	10	0.03
The Wharf (Holdings) Ltd.	470	0.02	4	0.01
Tracker Fund	0	0.00	0	0.00
Trip.com Group Ltd.	1,124	0.05	203	0.69
Weibo Corporation	410	0.02	65	0.22
WuXi Biologics (Cayman) Inc.	17,245	0.72	206	0.70
Xiaomi Corporation	165,802	6.96	575	1.96
XPeng Inc.	3,677	0.15	70	0.24
Yankuang Energy Group Co. Ltd.	1,463	0.06	8	0.03
ZhongAn Online P & C Insurance Co., Ltd.	409	0.02	13	0.04
Zijin Mining Group Company Limited	5,742	0.24	215	0.73

Stock Options classes as at the end of the 2021

Class	Code	Launch Date	Lot size (shares)
iShares FTSE A50 China Index ETF	A50	2010/07/12	5,000
AAC Technologies Holdings Inc.	AAC	2017/04/10	1,000
Anhui Conch Cement Company Limited	ACC	2011/11/21	500
AIA Group Limited	AIA	2010/10/29	1,000
Air China Ltd.	AIR	2018/07/16	2,000
Alibaba Group Holding Ltd.	ALB	2019/11/26	500
Aluminum Corporation of China Ltd.	ALC	2004/06/14	2,000
Alibaba Health Information Technology Ltd.	ALH	2020/12/07	2,000
ChinaAMC CSI 300 Index ETF	AMC	2013/01/28	2,000
ANTA Sports Products Ltd.	ANA	2020/03/23	200
Bank of Communications Co., Ltd.	BCM	2005/11/07	1,000
The Bank of East Asia Ltd.	BEA	2001/01/08	200
Baidu, Inc.	BIU	2021/03/23	150
Bilibili Inc.	BLI	2021/03/29	60
BOC Hong Kong (Holdings) Limited	BOC	2003/03/17	500
Budweiser Brewing Co. APAC Ltd.	BUD	2019/09/30	1,000
BYD Company Limited	BYD	2009/11/16	500
BYD Electronic (International) Co. Ltd.	BYE	2021/07/19	1,000
China Communications Construction Company Limited	CCC	2007/03/19	1,000
China Coal Energy Company Limited	CCE	2007/09/03	1,000
China Cinda Asset Management Co., Ltd.	CDA	2014/07/02	5,000
CGN Power Co., Ltd.	CGN	2015/11/30	10,000
China Mobile Ltd.	CHT	1998/05/15	500
China Unicom (Hong Kong) Limited	CHU	2001/08/27	2,000
CITIC Ltd.	CIT	1995/10/23	1,000
CK Hutchison Holdings Ltd.	CKH	1995/09/25	500
CK Asset Holdings Ltd.	CKP	2015/11/30	1,000
China Life Insurance Company Ltd.	CLI	2004/06/14	1,000
CLP Holdings Ltd.	CLP	1995/12/18	500
China Merchants Bank Co., Ltd.	CMB	2006/10/27	500
CNOOC Ltd.	CNC	2002/07/22	1,000
Country Garden Holdings Co. Ltd.	COG	2018/02/05	5,000
China Overseas Land & Investment Limited	COL	2009/07/06	2,000
Cathay Pacific Airways Limited	CPA	2001/08/27	1,000
China Petroleum & Chemical Corp.	CPC	2002/07/22	2,000
China Pacific Insurance (Group) Co., Ltd.	CPI	2010/12/13	1,000
China Railway Construction Corporation Limited	CRC	2008/06/10	500
China Railway Group Limited	CRG	2008/06/10	1,000
China Resources Land Ltd.	CRL	2014/12/08	2,000
CSOP FTSE China A50 ETF	CSA	2013/01/28	5,000
China Shenhua Energy Company Limited	CSE	2008/06/10	500
CSPC Pharmaceutical Group Ltd.	CSP	2018/07/16	2,000
China CITIC Bank Corporation Limited	CTB	2007/09/03	1,000
China Telecom Corporation Ltd.	CTC	2004/06/14	2,000
CITIC Securities Co. Ltd.	CTS	2015/06/22	1,000
Dongfeng Motor Group Co. Ltd.	DFM	2014/02/17	2,000
China Evergrande Group	EVG	2018/02/05	2,000
Guangzhou Automobile Group Co., Ltd	GAC	2018/02/05	4,000
Geely Automobile Holdings Ltd.	GAH	2017/04/10	5,000
Galaxy Entertainment Group Limited	GLX	2012/06/11	1,000
Great Wall Motor Company Ltd.	GWM	2013/08/05	500
Haitong Securities Co., Ltd.	HAI	2014/12/08	2,000
Hang Seng China Enterprises Index ETF	HCF	2015/06/22	1,000
Haidilao International Holding Ltd.	HDO	2021/07/26	1,000
Power Assets Holdings Ltd.	HEH	1998/02/16	500
Hong Kong Exchanges & Clearing Ltd	HEX	2001/08/27	100
Hengan International Group Co. Ltd.	HGN	2014/02/17	500
HSBC Holdings Plc	HKB	1995/09/08	400
Hong Kong & China Gas Co Ltd	HKG	2001/08/27	1,000

Stock Options classes as at the end of the 2021 *(continued)*

Class	Code	Launch Date	Lot size (shares)
Henderson Land Development Co. Ltd.	HLD	1995/12/18	1,000
Huaneng Power International, Inc.	HNP	2002/07/22	2,000
Hang Seng Bank Ltd.	HSB	1996/12/09	100
JD.com, Inc.	JDC	2020/06/18	500
JD Health International Inc.	JDH	2021/01/25	500
Jiangxi Copper Company Limited	JXC	2007/09/03	1,000
Kingdee International Software Group Co. Ltd.	KDS	2020/12/07	2,000
Kunlun Energy Co. Ltd.	KLE	2014/02/17	2,000
Kingsoft Corporation Ltd.	KSO	2014/07/02	1,000
Kuaishou Technology	KST	2021/02/05	500
Li Auto Inc.	LAU	2021/08/12	200
Lenovo Group Ltd.	LEN	2013/08/05	2,000
Link Real Estate Investment Trust	LNK	2017/04/10	1,000
China Mengniu Dairy Co. Ltd.	MEN	2014/12/08	1,000
Meituan Dianping	MET	2018/09/20	500
MGM China Holdings Ltd.	MGM	2013/08/05	400
Xiaomi Corporation	MIU	2018/07/09	1,000
China Minsheng Banking Corp., Ltd.	MSB	2013/08/05	2,500
MTR Corporation Limited	MTR	2001/08/27	500
China National Building Material Company Limited	NBM	2011/11/21	2,000
New China Life Insurance Co. Ltd.	NCL	2015/06/22	1,000
Nongfu Spring Co., Ltd.	NFU	2021/07/26	1,000
NetEase, Inc.	NTE	2020/06/11	500
New World Development Co. Ltd.	NWD	1996/08/05	1,000
Ping An Insurance (Group) Co. of China Ltd.	PAI	2005/11/07	500
Petrochina Company Limited	PEC	2001/08/27	2,000
XPeng Inc.	PEN	2021/07/07	200
Ping An Healthcare and Technology Co. Ltd.	PHT	2020/12/07	500
PICC Property and Casualty Company Limited	PIC	2007/03/19	2,000
People's Insurance Co. (Gp) of China Ltd	PIN	2013/08/05	5,000
Guangzhou R&F Properties Co., Ltd.	RFP	2009/11/16	400
Sands China Ltd.	SAN	2012/06/11	400
Sino Biopharmaceutical Ltd.	SBO	2020/03/23	5,000
Sun Hung Kai Properties Ltd.	SHK	1995/12/18	1,000
Shenzhou International Group Holdings Ltd.	SHZ	2020/03/23	500
Semiconductor Manufacturing Int'l Corp	SMC	2020/12/07	2,500
Sunny Optical Technology (Group) Co. Ltd.	SNO	2018/02/05	1,000
Sinopharm Group Co. Ltd.	SNP	2018/07/16	800
Sunac China Holdings Ltd.	SUN	2018/02/05	2,000
Swire Pacific Ltd. – A	SWA	1995/10/09	500
Tencent Holdings Limited	TCH	2009/07/06	100
Techtronic Industries Co. Ltd.	TIC	2020/03/23	500
Tracker Fund	TRF	2000/03/27	500
Trip.com Group Ltd.	TRP	2021/07/26	150
China Vanke Co., Ltd.	VNK	2018/07/16	1,000
Weibo Corporation	WEB	2021/12/08	100
WH Group Ltd.	WHG	2020/03/23	2,500
The Wharf (Holdings) Ltd.	WHL	1996/08/05	1,000
Want Want China Holdings Ltd.	WWC	2014/02/17	1,000
WuXi Biologics (Cayman) Inc.	WXB	2021/01/25	500
Agricultural Bank of China Ltd. ($0.001)	XAB	2013/12/09	10,000
Bank of China Ltd. ($0.001)	XBC	2013/12/09	1,000
China Construction Bank Corp ($0.001)	XCC	2013/12/09	1,000
Industrial and Commercial Bank ($0.001)	XIC	2013/12/09	1,000
Postal Savings Bank of China Co., Ltd.	XPB	2018/07/16	5,000
China Tower Corporation Ltd.	XTW	2018/08/08	10,000
Yankuang Energy Group Co. Ltd.	YZC	2010/12/13	2,000
ZhongAn Online P & C Insurance Co., Ltd.	ZAO	2021/07/19	1,000
Zijin Mining Group Company Limited	ZJM	2009/07/06	2,000

Stock Options contract value, volume and open interest, 2017-2021

Year/Month		No. of Trading Days	Contracts value (HK$mil)				Volume (contracts)				Open interest (contracts)		
			Call	Put	Total	Average daily	Call	Put	Total	Average daily	Call	Put	Total
2017		247.0	37,881.56	24,142.13	62,023.69	251.11	58,717,953	47,121,226	105,839,179	428,499	4,591,102	3,713,595	8,304,697
2018		246.0	47,958.73	57,639.05	105,597.78	429.26	64,179,653	63,099,448	127,279,101	517,395	3,539,977	3,673,509	7,213,486
2019		246.0	40,786.16	39,801.68	80,587.84	327.59	54,844,249	53,969,645	108,813,894	442,333	3,247,627	3,454,911	6,702,538
2020		249.0	83,804.90	81,827.20	165,632.11	665.19	69,500,295	61,521,365	131,021,660	526,191	4,417,371	4,525,481	8,942,852
2021		248.0	131,876.77	147,299.70	279,176.47	1,125.71	86,294,553	71,742,446	158,036,999	637,246	3,984,660	3,992,898	7,977,558
2021	Jan	20.0	21,334.62	12,935.96	34,270.58	1,713.53	10,464,756	7,506,630	17,971,386	898,569	5,351,691	5,385,219	10,736,910
	Feb	18.0	16,500.85	11,416.13	27,916.98	1,550.94	8,709,058	5,870,577	14,579,635	809,980	6,119,036	5,682,452	11,801,488
	Mar	23.0	15,863.64	16,564.44	32,428.08	1,409.92	9,963,898	7,913,133	17,877,031	777,262	5,668,512	5,222,091	10,890,603
	Apr	19.0	7,528.33	9,466.57	16,994.90	894.47	5,627,192	5,114,513	10,741,705	565,353	5,451,142	5,514,940	10,966,082
	May	20.0	6,844.83	8,977.02	15,821.84	791.09	5,857,836	5,051,773	10,909,609	545,480	5,322,053	5,651,862	10,973,915
	Jun	21.0	7,728.82	8,451.13	16,179.95	770.47	5,837,871	5,266,996	11,104,867	528,803	4,440,578	5,234,404	9,674,982
	Jul	21.0	10,104.40	15,103.62	25,208.02	1,200.38	7,724,303	7,131,032	14,855,335	707,397	5,284,679	5,898,294	11,182,973
	Aug	22.0	11,708.97	17,077.61	28,786.58	1,308.48	7,805,930	6,294,294	14,100,224	640,919	5,611,367	5,990,053	11,601,420
	Sep	21.0	9,968.89	15,451.88	25,420.78	1,210.51	7,084,939	6,672,533	13,757,472	655,118	4,798,203	5,087,421	9,885,624
	Oct	19.0	8,479.94	9,569.25	18,049.20	949.96	5,773,954	4,923,190	10,697,144	563,008	4,899,011	5,263,419	10,162,430
	Nov	22.0	9,101.61	10,157.10	19,258.71	875.40	6,310,097	5,344,958	11,655,055	529,775	5,396,247	5,447,817	10,844,064
	Dec	22.0	6,711.87	12,128.99	18,840.85	856.40	5,134,719	4,652,817	9,787,536	444,888	3,984,660	3,992,898	7,977,558

Note: Figures may not add up to total due to rounding.

Options contract value, volume and open interest by class, 2021

Class	Contracts value (HK$mil)				Volume (contracts)				Year end open interest			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
AAC TECH	478.70	503.04	981.74	0.35	316,417	234,734	551,151	0.35	16,196	11,715	27,911	0.35
ABC	140.14	175.26	315.39	0.11	207,286	245,273	452,559	0.29	10,229	19,050	29,279	0.37
AIA	1,611.12	1,930.73	3,541.85	1.27	705,011	750,722	1,455,733	0.92	25,870	37,753	63,623	0.80
AIR CHINA	21.57	29.92	51.49	0.02	43,013	43,964	86,977	0.06	1,888	1,555	3,443	0.04
ALI HEALTH	293.00	550.78	843.78	0.30	224,440	212,888	437,328	0.28	8,220	8,364	16,584	0.21
ANTA SPORTS	996.40	1,046.69	2,043.09	0.73	303,548	289,171	592,719	0.38	18,672	19,519	38,191	0.48
BABA-SW	13,346.73	23,348.83	36,695.56	13.14	4,309,515	3,927,874	8,237,389	5.21	344,347	358,339	702,686	8.81
BANK OF CHINA	138.27	435.19	573.46	0.21	2,066,594	2,244,172	4,310,766	2.73	169,282	259,017	428,299	5.37
BANK OF E ASIA	1.68	4.53	6.22	0.00	22,498	21,811	44,309	0.03	2,613	2,495	5,108	0.06
BANKCOMM	16.73	18.90	35.63	0.01	162,610	191,373	353,983	0.22	8,296	18,344	26,640	0.33
BIDU-SW	800.40	969.84	1,770.24	0.63	776,916	672,687	1,449,603	0.92	60,460	85,477	145,937	1.83
BILIBILI-SW	686.75	779.66	1,466.41	0.53	344,571	287,732	632,303	0.40	24,547	23,759	48,306	0.61
BOC HONG KONG	82.44	76.06	158.50	0.06	324,229	278,876	603,105	0.38	22,545	14,959	37,504	0.47
BUD APAC	52.22	58.73	110.96	0.04	76,375	75,799	152,174	0.10	4,190	4,040	8,230	0.10
BYD COMPANY	6,759.70	4,523.43	11,283.13	4.04	898,071	753,296	1,651,367	1.04	16,538	19,751	36,289	0.45
BYD ELECTRONIC	16.34	16.42	32.75	0.01	16,479	13,735	30,214	0.02	1,262	1,197	2,459	0.03
CAM CSI300	319.33	369.17	688.50	0.25	93,223	140,457	233,680	0.15	5,018	7,342	12,360	0.15
CATHAY PAC AIR	1.73	2.34	4.07	0.00	7,832	8,815	16,647	0.01	128	301	429	0.01
CCB	601.67	726.76	1,328.43	0.48	4,066,413	3,736,622	7,803,035	4.94	267,316	228,496	495,812	6.22
CGN POWER	65.11	52.14	117.25	0.04	211,880	225,086	436,966	0.28	1,273	1,255	2,528	0.03
CHALCO	129.37	83.53	212.90	0.08	168,165	100,922	269,087	0.17	40,915	25,815	66,730	0.84
CHINA CINDA	11.33	8.00	19.33	0.01	56,703	41,719	98,422	0.06	2,201	1,633	3,834	0.05
CHINA COAL	25.79	10.93	36.72	0.01	95,069	46,536	141,605	0.09	2,301	1,961	4,262	0.05
CHINA COMM CONS	47.32	46.73	94.06	0.03	346,011	158,799	504,810	0.32	23,115	9,997	33,112	0.42

Options contract value, volume and open interest by class, 2021 *(continued)*

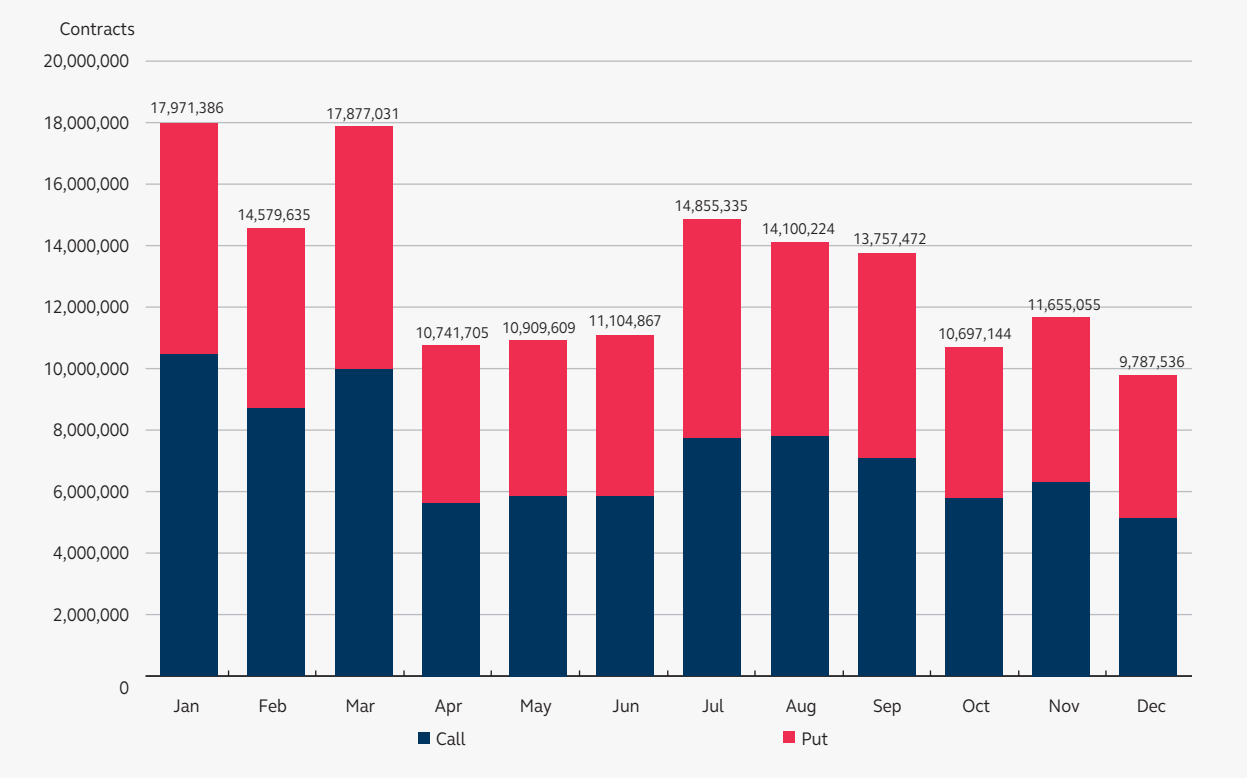
	Contracts value (HK$mil)				Volume (contracts)				Year end open interest			
Class	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
CHINA LIFE	646.32	1,103.77	1,750.09	0.63	1,519,337	1,264,223	2,783,560	1.76	57,834	52,559	110,393	1.38
CHINA MOBILE	1,814.50	1,685.47	3,499.97	1.25	2,882,996	1,679,655	4,562,651	2.89	98,182	65,799	163,981	2.06
CHINA OVERSEAS	320.97	282.45	603.41	0.22	258,645	221,962	480,607	0.30	13,821	18,724	32,545	0.41
CHINA RAIL CONS	22.79	6.05	28.84	0.01	237,065	65,533	302,598	0.19	10,739	2,262	13,001	0.16
CHINA RAILWAY	22.20	8.33	30.52	0.01	131,219	63,133	194,352	0.12	3,938	2,780	6,718	0.08
CHINA RES LAND	269.12	226.94	496.05	0.18	128,303	121,222	249,525	0.16	6,787	7,146	13,933	0.17
CHINA SHENHUA	307.03	228.87	535.91	0.19	689,655	768,613	1,458,268	0.92	58,379	60,013	118,392	1.48
CHINA TELECOM	272.22	102.28	374.50	0.13	813,805	387,463	1,201,268	0.76	20,871	12,235	33,106	0.41
CHINA TOWER	179.29	175.41	354.71	0.13	511,619	336,558	848,177	0.54	28,014	16,121	44,135	0.55
CHINA UNICOM	380.02	173.99	554.01	0.20	844,689	367,949	1,212,638	0.77	68,146	16,321	84,467	1.06
CHINA VANKE	33.83	40.36	74.19	0.03	43,899	44,002	87,901	0.06	2,547	6,150	8,697	0.11
CITIC	42.24	52.35	94.59	0.03	169,311	201,349	370,660	0.23	8,443	9,342	17,785	0.22
CITIC BANK	12.04	5.41	17.45	0.01	77,377	56,121	133,498	0.08	5,596	8,582	14,178	0.18
CITIC SEC	341.25	223.71	564.97	0.20	506,276	268,439	774,715	0.49	10,626	6,945	17,571	0.22
CK ASSET	193.27	225.72	418.99	0.15	187,843	214,804	402,647	0.25	7,069	7,335	14,404	0.18
CKH HOLDINGS	132.86	125.87	258.73	0.09	244,538	188,989	433,527	0.27	8,731	10,252	18,983	0.24
CLP HOLDINGS	74.01	78.20	152.21	0.05	135,587	147,948	283,535	0.18	4,434	3,771	8,205	0.10
CM BANK	535.27	513.06	1,048.32	0.38	561,611	551,404	1,113,015	0.70	13,800	28,377	42,177	0.53
CNBM	594.36	435.40	1,029.75	0.37	492,738	356,252	848,990	0.54	20,908	29,867	50,775	0.64
CNOOC	1,208.68	1,025.51	2,234.19	0.80	3,324,635	2,409,937	5,734,572	3.63	94,748	106,608	201,356	2.52
CONCH CEMENT	260.95	256.26	517.22	0.19	407,253	271,215	678,468	0.43	18,627	18,235	36,862	0.46
COUNTRY GARDEN	176.65	355.26	531.91	0.19	104,527	152,201	256,728	0.16	8,017	19,042	27,059	0.34
CPIC	200.99	331.95	532.95	0.19	217,075	236,450	453,525	0.29	8,609	13,740	22,349	0.28
CSOP A50 ETF	349.82	421.60	771.41	0.28	140,219	154,297	294,516	0.19	14,184	17,308	31,492	0.39
CSPC PHARMA	156.23	125.35	281.58	0.10	209,703	151,066	360,769	0.23	5,181	4,980	10,161	0.13
DONGFENG GROUP	61.54	46.44	107.98	0.04	67,406	49,336	116,742	0.07	1,571	1,229	2,800	0.04
EVERGRANDE	178.85	1,627.31	1,806.16	0.65	179,819	624,485	804,304	0.51	11,106	45,421	56,527	0.71
GAC GROUP	88.57	29.22	117.79	0.04	53,392	21,653	75,045	0.05	1,480	619	2,099	0.03
GALAXY ENT	983.57	1,019.16	2,002.72	0.72	445,014	434,867	879,881	0.56	19,003	19,237	38,240	0.48
GEELY AUTO	2,869.85	2,276.22	5,146.07	1.84	468,613	380,510	849,123	0.54	8,690	9,148	17,838	0.22
GREATWALL MOTOR	1,094.97	572.44	1,667.42	0.60	1,587,102	1,019,261	2,606,363	1.65	32,036	21,889	53,925	0.68
HAIDILAO	29.65	34.39	64.04	0.02	44,603	28,859	73,462	0.05	3,951	1,859	5,810	0.07
HAITONG SEC	38.93	22.76	61.68	0.02	104,943	50,571	155,514	0.10	5,696	5,393	11,089	0.14
HANG SENG BANK	104.93	95.00	199.93	0.07	411,334	367,945	779,279	0.49	14,981	11,926	26,907	0.34
HENDERSON LAND	52.58	51.74	104.32	0.04	72,961	66,583	139,544	0.09	3,175	3,784	6,959	0.09
HENGAN INT'L	57.09	61.14	118.22	0.04	94,994	88,946	183,940	0.12	3,470	3,069	6,539	0.08
HK & CHINA GAS	29.04	26.73	55.77	0.02	113,665	94,484	208,149	0.13	4,353	3,946	8,299	0.10
HKEX	5,307.45	5,276.81	10,584.26	3.79	3,890,866	3,588,118	7,478,984	4.73	95,871	85,745	181,616	2.28
HSBC HOLDINGS	1,338.65	2,516.37	3,855.02	1.38	2,542,474	2,489,623	5,032,097	3.18	149,950	166,679	316,629	3.97
HSCEI ETF	50.89	161.86	212.76	0.08	49,584	96,874	146,458	0.09	4,387	4,874	9,261	0.12
HUANENG POWER	46.96	13.92	60.88	0.02	79,955	40,070	120,025	0.08	4,529	3,635	8,164	0.10
ICBC	338.96	521.83	860.79	0.31	2,832,292	2,864,140	5,696,432	3.60	150,264	189,194	339,458	4.26
ISHARES A50	175.26	267.41	442.66	0.16	62,480	87,110	149,590	0.09	5,347	8,516	13,863	0.17
JD HEALTH	606.05	753.08	1,359.13	0.49	280,950	225,668	506,618	0.32	8,622	6,284	14,906	0.19
JD-SW	3,593.78	4,490.37	8,084.14	2.90	556,706	626,986	1,183,692	0.75	33,178	37,101	70,279	0.88
JIANGXI COPPER	253.23	149.19	402.42	0.14	283,568	170,056	453,624	0.29	8,482	4,666	13,148	0.16
KINGDEE INT'L	80.92	62.56	143.48	0.05	41,816	32,960	74,776	0.05	631	340	971	0.01
KINGSOFT	491.29	477.42	968.70	0.35	221,227	192,066	413,293	0.26	3,187	4,136	7,323	0.09
KUAISHOU-W	3,629.40	4,980.82	8,610.22	3.08	1,091,354	849,691	1,941,045	1.23	74,435	46,723	121,158	1.52
KUNLUN ENERGY	52.86	22.41	75.28	0.03	68,407	39,988	108,395	0.07	1,590	1,058	2,648	0.03
LENOVO GROUP	326.02	253.28	579.30	0.21	324,960	273,358	598,318	0.38	11,887	12,194	24,081	0.30

Options contract value, volume and open interest by class, 2021 *(continued)*

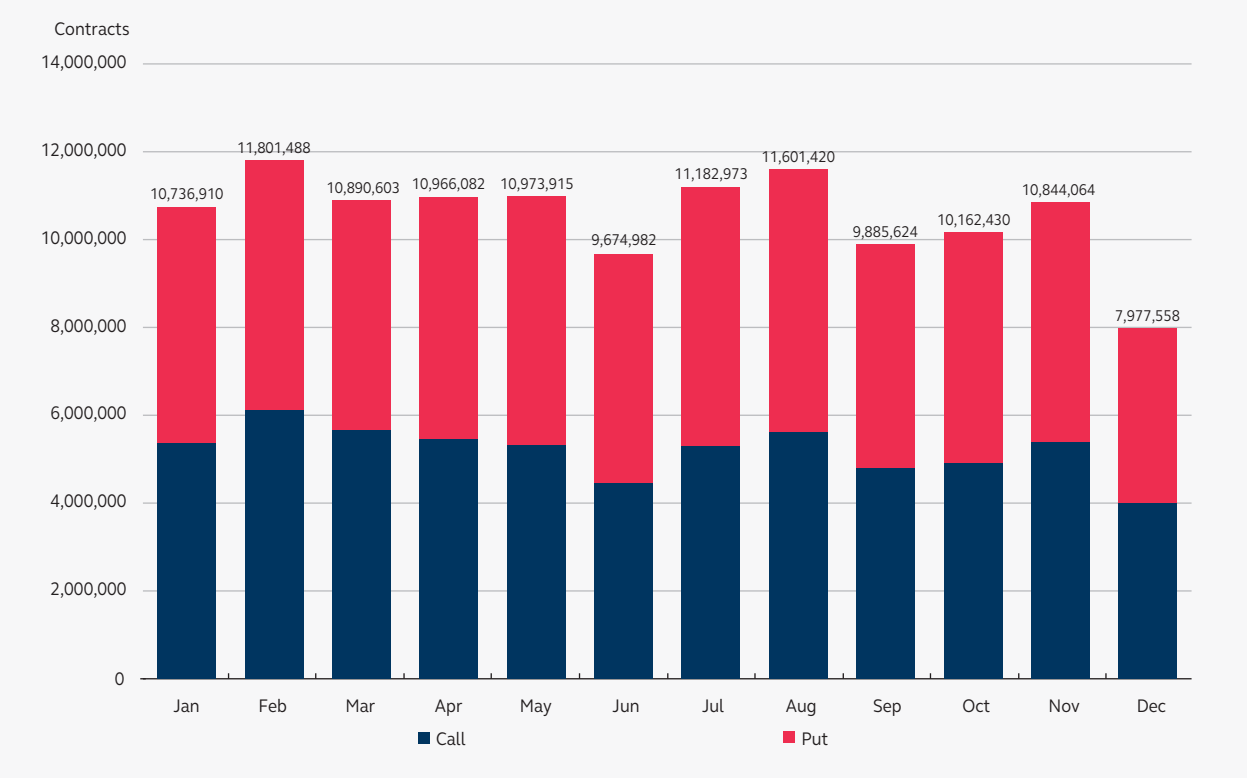
	Contracts value (HK$mil)				Volume (contracts)				Year end open interest			
Class	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
LI & FUNG	0.00	0.00	0.00	0.00	0	0	0	0.00	–	–	–	–
LI AUTO-W	12.44	13.60	26.04	0.01	8,324	10,903	19,227	0.01	1,883	2,369	4,252	0.05
LINK REIT	244.51	233.20	477.71	0.17	195,366	181,466	376,832	0.24	6,070	7,370	13,440	0.17
MEITUAN-W	21,590.79	24,115.85	45,706.64	16.37	3,338,678	2,715,905	6,054,583	3.83	83,427	105,569	188,996	2.37
MENGNIU DAIRY	211.98	175.84	387.82	0.14	167,247	150,106	317,353	0.20	2,778	3,566	6,344	0.08
MGM CHINA	0.94	0.64	1.59	0.00	6,293	6,435	12,728	0.01	123	150	273	0.00
MINSHENG BANK	15.45	40.03	55.48	0.02	47,649	107,230	154,879	0.10	4,101	22,970	27,071	0.34
MTR CORPORATION	43.02	49.52	92.54	0.03	121,095	135,610	256,705	0.16	3,610	4,991	8,601	0.11
NCI	90.21	86.64	176.85	0.06	115,850	95,851	211,701	0.13	2,587	2,633	5,220	0.07
NEW WORLD DEV	79.32	106.92	186.25	0.07	87,722	113,071	200,793	0.13	6,881	8,588	15,469	0.19
NONGFU SPRING	33.24	42.60	75.84	0.03	28,910	28,971	57,881	0.04	3,789	3,690	7,479	0.09
NTES-S	1,269.63	1,571.13	2,840.76	1.02	355,140	354,253	709,393	0.45	12,517	15,734	28,251	0.35
PA GOODDOCTOR	414.72	318.97	733.70	0.26	279,507	185,648	465,155	0.29	10,302	7,122	17,424	0.22
PETROCHINA	434.59	371.66	806.26	0.29	1,379,701	1,008,961	2,388,662	1.51	109,446	114,806	224,252	2.81
PICC GROUP	24.52	21.45	45.97	0.02	132,625	133,435	266,060	0.17	2,036	1,077	3,113	0.04
PICC P&C	66.40	69.49	135.89	0.05	146,434	148,422	294,856	0.19	9,971	12,501	22,472	0.28
PING AN	4,757.62	8,077.71	12,835.33	4.60	4,809,790	4,388,276	9,198,066	5.82	516,698	435,264	951,962	11.93
POWER ASSETS	42.23	44.68	86.91	0.03	112,711	98,098	210,809	0.13	3,167	3,322	6,489	0.08
PSBC	138.44	80.49	218.93	0.08	182,081	109,855	291,936	0.18	4,243	4,413	8,656	0.11
R&F PROPERTIES	1.82	0.41	2.23	0.00	7,008	2,724	9,732	0.01	0	27	27	0.00
SANDS CHINA LTD	289.47	293.12	582.59	0.21	722,033	613,141	1,335,174	0.84	40,041	35,137	75,178	0.94
SHENZHOU INTL	237.65	178.99	416.64	0.15	82,819	73,321	156,140	0.10	2,562	1,923	4,485	0.06
SHK PPT	491.56	470.17	961.73	0.34	176,396	191,603	367,999	0.23	6,236	11,146	17,382	0.22
SINO BIOPHARM	164.32	306.52	470.84	0.17	95,221	86,123	181,344	0.11	5,321	6,228	11,549	0.14
SINOPEC CORP	258.53	291.50	550.02	0.20	1,034,135	839,194	1,873,329	1.19	56,307	65,978	122,285	1.53
SINOPHARM	31.17	18.68	49.85	0.02	52,982	36,957	89,939	0.06	1,869	1,051	2,920	0.04
SMIC	1,437.70	946.45	2,384.15	0.85	553,115	313,391	866,506	0.55	8,057	12,009	20,066	0.25
STANCHART	0.00	0.00	0.00	0.00	0	0	0	0.00	–	–	–	–
SUNAC	713.24	1,137.65	1,850.89	0.66	284,692	335,972	620,664	0.39	30,879	61,294	92,173	1.16
SUNNY OPTICAL	2,003.11	1,874.19	3,877.30	1.39	246,332	259,103	505,435	0.32	3,089	4,908	7,997	0.10
SWIRE PACIFIC A	44.16	40.89	85.04	0.03	48,814	48,371	97,185	0.06	1,284	1,931	3,215	0.04
TECHTRONIC IND	196.61	154.67	351.28	0.13	96,772	96,418	193,190	0.12	4,068	3,802	7,870	0.10
TENCENT	29,742.01	28,324.86	58,066.88	20.80	17,107,142	13,292,077	30,399,219	19.24	483,014	414,182	897,196	11.25
TRACKER FUND	7.51	20.72	28.22	0.01	42,525	95,557	138,082	0.09	2,549	10,993	13,542	0.17
TRIP.COM-S	12.40	15.78	28.18	0.01	9,601	12,759	22,360	0.01	1,521	2,159	3,680	0.05
WANT WANT CHINA	4.52	3.92	8.44	0.00	30,158	25,644	55,802	0.04	989	2,337	3,326	0.04
WB-SW	2.69	4.84	7.53	0.00	1,868	4,245	6,113	0.00	1,082	641	1,723	0.02
WH GROUP	25.10	38.56	63.66	0.02	49,234	37,982	87,216	0.06	1,952	1,224	3,176	0.04
WHARF HOLDINGS	7.29	3.10	10.39	0.00	13,408	9,368	22,776	0.01	439	88	527	0.01
WUXI BIO	1,276.35	1,327.69	2,604.05	0.93	491,990	470,722	962,712	0.61	31,255	26,447	57,702	0.72
XIAOMI-W	7,838.73	6,195.36	14,034.09	5.03	6,665,721	4,432,344	11,098,065	7.02	161,037	147,423	308,460	3.87
XPENG-W	253.88	232.54	486.42	0.17	113,407	94,837	208,244	0.13	9,577	13,548	23,125	0.29
YANKUANG ENERGY	127.88	72.64	200.52	0.07	95,443	62,929	158,372	0.10	5,175	3,982	9,157	0.11
ZA ONLINE	27.90	32.53	60.43	0.02	18,944	13,704	32,648	0.02	749	937	1,686	0.02
ZIJIN MINING	139.07	106.40	245.47	0.09	86,391	133,408	219,799	0.14	4,454	2,075	6,529	0.08
Total	**131,876.77**	**147,299.70**	**279,176.47**	**100.00**	**86,294,553**	**71,742,446**	**158,036,999**	**100.00**	**3,984,660**	**3,992,898**	**7,977,558**	**100.00**

Note: Figures may not add up to total due to rounding.

Monthly Stock Options contract volume, 2021



Month-end Stock Options open interest, 2021



USD Gold Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017 *		121.0	55,372	458	236
2018		246.0	326,700	1,328	191
2019		246.0	491,405	1,998	1,132
2020		249.0	61,886	249	68
2021		248.0	74,272	299	160
2021	Jan	20.0	262	13	44
	Feb	18.0	47	3	46
	Mar	23.0	209	9	85
	Apr	19.0	139	7	88
	May	20.0	580	29	107
	Jun	21.0	1,714	82	114
	Jul	21.0	3,541	169	167
	Aug	22.0	3,852	175	237
	Sep	21.0	4,564	217	317
	Oct	19.0	12,020	633	137
	Nov	22.0	24,531	1,115	241
	Dec	22.0	22,813	1,037	160
2021	**Highest**		**1,485**		**347**
	(date)		(22/11)		(11/10)

* Trading in USD Gold Futures commenced on 10 Jul 2017

CNH Gold Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017 *		121.0	110,763	915	186
2018		246.0	25,006	102	45
2019		246.0	81,768	332	5
2020		249.0	6,796	27	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in CNH Gold Futures commenced on 10 Jul 2017

USD Silver Futures – trading statistics, 2020-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2020 *		143.0	1,282	9	0
2021		248.0	1,110	4	0
2021	Jan	20.0	0	0	0
	Feb	18.0	2	0	0
	Mar	23.0	0	0	0
	Apr	19.0	88	5	0
	May	20.0	34	2	0
	Jun	21.0	176	8	0
	Jul	21.0	350	17	0
	Aug	22.0	120	5	0
	Sep	21.0	80	4	0
	Oct	19.0	120	6	0
	Nov	22.0	0	0	0
	Dec	22.0	140	6	0
2021	**Highest**		**90**		**166**
	(date)		(09/08)		(14/07)

* Trading in USD Silver Futures commenced on 08 Jun 2020

CNH Silver Futures – trading statistics, 2020-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2020 *		143.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in CNH Silver Futures commenced on 08 Jun 2020

Iron Ore Futures – Monthly Contracts – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2017 *		33.0	18,194	551	405
2018		246.0	9,517	39	1,124
2019		246.0	55,944	227	1,685
2020		249.0	97,048	390	1,174
2021		248.0	4,182	17	25
2021	Jan	20.0	436	22	548
	Feb	18.0	6	0	349
	Mar	23.0	7	0	202
	Apr	19.0	194	10	126
	May	20.0	75	4	15
	Jun	21.0	932	44	65
	Jul	21.0	1,371	65	418
	Aug	22.0	367	17	513
	Sep	21.0	86	4	410
	Oct	19.0	507	27	255
	Nov	22.0	201	9	52
	Dec	22.0	0	0	25
2021	**Highest**		**390**		**806**
	(date)		(05/01)		(05/01)

* Trading in Iron Ore Futures – Monthly Contracts commenced on 13 Nov 2017

Iron Ore Futures – Quarterly Contracts – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts Total volume	Contracts Average daily	Contracts Period end open interest
2017 *		33.0	36	1	19
2018		246.0	0	0	0
2019		246.0	0	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in Iron Ore Futures – Quarterly Contracts commenced on 13 Nov 2017

CNH London Aluminium Mini Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	937	4	20
2018		246.0	635	3	0
2019		246.0	21	0	0
2020		249.0	2	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

CNH London Zinc Mini Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	483	2	0
2018		246.0	651	3	1
2019		246.0	150	1	1
2020		249.0	3	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

CNH London Copper Mini Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	731	3	2
2018		246.0	124	1	0
2019		246.0	2	0	0
2020		249.0	1	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

CNH London Nickel Mini Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	325	1	1
2018		246.0	323	1	0
2019		246.0	52	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

CNH London Tin Mini Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	0	0	0
2018		246.0	0	0	0
2019		246.0	0	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

CNH London Lead Mini Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	234	1	4
2018		246.0	0	0	0
2019		246.0	12	0	0
2020		249.0	14	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

USD London Aluminium Mini Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		103.0	9,246	90	76
2020		249.0	31,543	127	13
2021		248.0	129,953	524	407
2021	Jan	20.0	2,495	125	21
	Feb	18.0	1,240	69	15
	Mar	23.0	12,159	529	70
	Apr	19.0	9,374	493	65
	May	20.0	6,490	325	0
	Jun	21.0	12,195	581	600
	Jul	21.0	9,516	453	643
	Aug	22.0	17,605	800	530
	Sep	21.0	27,194	1,295	742
	Oct	19.0	16,872	888	401
	Nov	22.0	11,079	504	403
	Dec	22.0	3,734	170	407
2021	**Highest**		**2,129**		**1,242**
	(date)		(28/09)		(16/08)

* Trading in USD London Aluminium Mini Futures commenced on 05 Aug 2019

USD London Zinc Mini Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		103.0	0	0	0
2020		249.0	56,267	226	31
2021		248.0	96,317	388	421
2021	Jan	20.0	2,004	100	8
	Feb	18.0	270	15	5
	Mar	23.0	6,974	303	20
	Apr	19.0	6,538	344	5
	May	20.0	5,666	283	6
	Jun	21.0	10,984	523	422
	Jul	21.0	8,157	388	421
	Aug	22.0	11,591	527	744
	Sep	21.0	14,363	684	683
	Oct	19.0	14,167	746	507
	Nov	22.0	12,046	548	435
	Dec	22.0	3,557	162	421
2021	**Highest**		**2,231**		**1,065**
	(date)		(18/10)		(20/08)

* Trading in USD London Zinc Mini Futures commenced on 05 Aug 2019

USD London Copper Mini Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		103.0	19,516	189	262
2020		249.0	88,849	357	65
2021		248.0	191,146	771	406
2021	Jan	20.0	4,052	203	21
	Feb	18.0	4,129	229	25
	Mar	23.0	8,190	356	600
	Apr	19.0	7,472	393	600
	May	20.0	12,700	635	1,002
	Jun	21.0	24,074	1,146	1,003
	Jul	21.0	16,306	776	992
	Aug	22.0	19,300	877	541
	Sep	21.0	22,971	1,094	601
	Oct	19.0	29,143	1,534	421
	Nov	22.0	26,681	1,213	401
	Dec	22.0	16,128	733	406
2021	**Highest**		**2,660**		**1,226**
	(date)		(25/10)		(10/02)

* Trading in USD London Copper Mini Futures commenced on 05 Aug 2019

USD London Nickel Mini Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		103.0	552	5	14
2020		249.0	10,288	41	23
2021		248.0	129	1	5
2021	Jan	20.0	0	0	18
	Feb	18.0	0	0	6
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	2	0	2
	Jun	21.0	4	0	2
	Jul	21.0	7	0	5
	Aug	22.0	27	1	10
	Sep	21.0	53	3	1
	Oct	19.0	4	0	1
	Nov	22.0	14	1	1
	Dec	22.0	18	1	5
2021	**Highest**		**9**		**23**
	(date)		(24/09)		(18/01)

* Trading in USD London Nickel Mini Futures commenced on 05 Aug 2019

USD London Tin Mini Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		103.0	20	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in USD London Tin Mini Futures commenced on 05 Aug 2019

USD London Lead Mini Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		103.0	0	0	0
2020		249.0	0	0	0
2021		248.0	0	0	0
2021	Jan	20.0	0	0	0
	Feb	18.0	0	0	0
	Mar	23.0	0	0	0
	Apr	19.0	0	0	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**0**		**0**
	(date)		–		–

* Trading in USD London Lead Mini Futures commenced on 05 Aug 2019

RMB Currency Futures – USD/CNH Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	732,569	2,966	24,483
2018		246.0	1,755,130	7,135	30,797
2019		246.0	1,938,891	7,882	23,196
2020		249.0	1,768,449	7,102	28,223
2021		248.0	1,556,453	6,276	18,811
2021	Jan	20.0	143,140	7,157	26,529
	Feb	18.0	112,305	6,239	27,059
	Mar	23.0	173,432	7,541	25,648
	Apr	19.0	90,498	4,763	25,086
	May	20.0	124,178	6,209	23,320
	Jun	21.0	140,814	6,705	25,573
	Jul	21.0	136,035	6,478	23,100
	Aug	22.0	126,309	5,741	22,227
	Sep	21.0	133,848	6,374	18,966
	Oct	19.0	122,880	6,467	20,692
	Nov	22.0	117,590	5,345	19,334
	Dec	22.0	135,424	6,156	18,811
2021	**Highest**		**19,609**		**29,564**
	(date)		(08/03)		(11/03)

RMB Currency Options – USD/CNH Options – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Volume (contracts)				Period end open interest (contracts)
			Call	Put	Total	Average daily	
2017 *		195.0	9,011	1,462	10,473	54	3,113
2018		246.0	16,571	13,496	30,067	122	5,625
2019		246.0	8,110	7,319	15,429	63	1,643
2020		249.0	5,754	3,215	8,969	36	2,483
2021		248.0	3,552	1,944	5,496	22	1,489
2021	Jan	20.0	225	410	635	32	2,726
	Feb	18.0	91	218	309	17	2,709
	Mar	23.0	509	110	619	27	2,254
	Apr	19.0	426	279	705	37	2,502
	May	20.0	319	197	516	26	2,754
	Jun	21.0	460	74	534	25	2,370
	Jul	21.0	288	135	423	20	2,456
	Aug	22.0	151	84	235	11	2,436
	Sep	21.0	457	78	535	25	2,009
	Oct	19.0	240	53	293	15	2,077
	Nov	22.0	237	170	407	19	2,178
	Dec	22.0	149	136	285	13	1,489
2021	**Highest**				**141**		**2,862**
	(date)				(29/03)		(10/06)

* Trading in RMB Currency Options - USD/CNH Options commenced on 20 Mar 2017

RMB Currency Futures – Mini USD/CNH Futures – trading statistics, 2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2021 *		173.0	792,196	4,579	3,510
2021	Apr	5.0	32,032	6,406	1,326
	May	20.0	164,199	8,210	2,447
	Jun	21.0	131,734	6,273	2,961
	Jul	21.0	99,318	4,729	3,049
	Aug	22.0	61,967	2,817	5,073
	Sep	21.0	66,510	3,167	1,519
	Oct	19.0	71,313	3,753	2,222
	Nov	22.0	81,147	3,689	2,584
	Dec	22.0	83,976	3,817	3,510
2021	**Highest**		**13,448**		**5,730**
	(date)		(21/06)		(08/09)

* Trading in RMB Currency Futures - Mini USD/CNH Futures commenced on 26 Apr 2021

RMB Currency Futures – EUR/CNH Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	1,750	7	67
2018		246.0	8,956	36	606
2019		246.0	14,656	60	110
2020		249.0	425	2	60
2021		248.0	1,252	5	109
2021	Jan	20.0	120	6	170
	Feb	18.0	62	3	190
	Mar	23.0	22	1	200
	Apr	19.0	40	2	220
	May	20.0	122	6	240
	Jun	21.0	114	5	200
	Jul	21.0	65	3	135
	Aug	22.0	41	2	145
	Sep	21.0	77	4	116
	Oct	19.0	52	3	111
	Nov	22.0	129	6	212
	Dec	22.0	408	19	109
2021	**Highest**		**111**		**280**
	(date)		(29/12)		(09/12)

RMB Currency Futures – JPY/CNH Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	485	2	51
2018		246.0	2,291	9	160
2019		246.0	4,689	19	190
2020		249.0	265	1	0
2021		248.0	93	0	2
2021	Jan	20.0	10	1	0
	Feb	18.0	4	0	0
	Mar	23.0	4	0	0
	Apr	19.0	0	0	0
	May	20.0	1	0	1
	Jun	21.0	1	0	0
	Jul	21.0	52	2	20
	Aug	22.0	15	1	5
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	6	0	2
2021	**Highest**		**30**		**35**
	(date)		(08/07)		(27/07)

RMB Currency Futures – AUD/CNH Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts Total volume	Average daily	Period end open interest
2017		247.0	409	2	26
2018		246.0	1,304	5	9
2019		246.0	8,277	34	116
2020		249.0	669	3	0
2021		248.0	37	0	0
2021	Jan	20.0	11	1	1
	Feb	18.0	10	1	0
	Mar	23.0	4	0	4
	Apr	19.0	4	0	0
	May	20.0	2	0	0
	Jun	21.0	0	0	0
	Jul	21.0	2	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	4	0	0
2021	**Highest**		**4**		**4**
	(date)		(13/04)		(12/04)

RMB Currency Futures – CNH/USD Futures – trading statistics, 2017-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2017		247.0	11,939	48	591
2018		246.0	12,214	50	712
2019		246.0	11,759	48	1,024
2020		249.0	2,231	9	92
2021		248.0	427	2	43
2021	Jan	20.0	13	1	91
	Feb	18.0	16	1	91
	Mar	23.0	69	3	54
	Apr	19.0	6	0	56
	May	20.0	28	1	56
	Jun	21.0	54	3	45
	Jul	21.0	46	2	41
	Aug	22.0	3	0	40
	Sep	21.0	77	4	38
	Oct	19.0	31	2	37
	Nov	22.0	2	0	36
	Dec	22.0	82	4	43
2021	**Highest**		**47**		**102**
	(date)		(11/03)		(15/03)

INR Currency Futures – INR/USD Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		40.0	11,130	278	175
2020		249.0	169,336	680	237
2021		248.0	259,772	1,047	142
2021	Jan	20.0	10,644	532	557
	Feb	18.0	20,372	1,132	781
	Mar	23.0	25,345	1,102	591
	Apr	19.0	32,768	1,725	588
	May	20.0	18,208	910	622
	Jun	21.0	22,544	1,074	367
	Jul	21.0	15,420	734	348
	Aug	22.0	17,458	794	211
	Sep	21.0	26,643	1,269	570
	Oct	19.0	21,116	1,111	181
	Nov	22.0	26,605	1,209	364
	Dec	22.0	22,649	1,030	142
2021	**Highest**		**4,379**		**1,428**
	(date)		(26/02)		(22/10)

* Trading in INR Currency Futures - INR/USD Futures commenced on 04 Nov 2019

INR Currency Futures – INR/CNH Futures – trading statistics, 2019-2021

Year/Month		No. of Trading Days	Contracts		
			Total volume	Average daily	Period end open interest
2019 *		40.0	6	0	0
2020		249.0	0	0	0
2021		248.0	714	3	0
2021	Jan	20.0	112	6	0
	Feb	18.0	232	13	0
	Mar	23.0	258	11	0
	Apr	19.0	112	6	0
	May	20.0	0	0	0
	Jun	21.0	0	0	0
	Jul	21.0	0	0	0
	Aug	22.0	0	0	0
	Sep	21.0	0	0	0
	Oct	19.0	0	0	0
	Nov	22.0	0	0	0
	Dec	22.0	0	0	0
2021	**Highest**		**96**		**32**
	(date)		(25/02)		(15/03)

* Trading in INR Currency Futures - INR/CNH Futures commenced on 04 Nov 2019

Record high of futures and options products (up to 31 December 2021)

	Daily Volume		Open Interest	
Products	Contracts	Date	Contracts	Date
Hang Seng Index Futures	422,450	2018 10 26	206,082	2017 06 27
Mini Hang Seng Index Futures	298,812	2020 03 19	25,814	2021 12 22
HSI (Gross Total Return Index) Futures	27	2020 06 11	49	2020 02 26
HSI (Net Total Return Index) Futures	29	2019 05 29	51	2020 02 26
Hang Seng China Enterprises Index Futures	545,816	2021 07 27	635,051	2018 12 27
Mini-Hang Seng China Enterprises Index Futures	76,471	2018 02 06	16,436	2014 12 11
HSCEI (Gross Total Return Index) Futures	14	2020 04 29	52	2020 06 22
HSCEI (Net Total Return Index) Futures	10	2019 10 30	10	2019 11 28
HSI Dividend Point Index Futures	3,900	2020 08 14	13,902	2017 12 28
HSCEI Dividend Point Index Futures	27,501	2017 02 14	184,626	2016 12 13
HSI Volatility Index Futures	105	2015 05 29	140	2012 05 16
Hang Seng TECH Index Futures	89,967	2021 12 28	69,944	2021 12 28
Hang Seng Index Options	140,628	2021 01 11	594,941	2017 11 28
Mini Hang Seng Index Options	36,928	2019 08 26	66,588	2019 08 28
Weekly Hang Seng Index Options	20,628	2021 07 08	14,887	2021 07 02
Flexible Hang Seng Index Options	4,730	2013 01 08	17,878	2012 11 02
Hang Seng Index Futures Options	2,085	2021 12 15	11,331	2021 11 18
Hang Seng China Enterprises Index Options	389,483	2021 01 11	3,749,790	2018 11 28
Mini-Hang Seng China Enterprises Index Options	11,728	2018 02 09	34,635	2019 09 26
Weekly Hang Seng China Enterprises Index Options	7,166	2020 01 30	12,097	2020 12 30
Flexible Hang Seng China Enterprises Index Options	5,770	2011 09 26	44,480	2015 06 26
Hang Seng China Enterprises Index Futures Options	30,988	2021 11 26	108,574	2021 12 16
Hang Seng TECH Index Options	3,329	2021 08 19	29,584	2021 12 29
MSCI AC Asia ex Japan NTR Index Futures	56,378	2019 12 16	46,698	2019 09 17
MSCI Australia Net Total Return (USD) Index Futures	60	2021 06 25	60	2021 09 17
MSCI China A 50 Connect (USD) Index Futures	38,610	2021 12 13	34,016	2021 12 16
MSCI China (USD) Index Futures	10	2021 11 30	18	2021 12 01
MSCI China Free (USD) Index Futures	76	2021 04 16	174	2021 03 19
MSCI China Free Net Total Return (USD) Index Futures	13,653	2021 09 13	17,249	2021 09 17
MSCI China Net Total Return (USD) Index Futures	14	2021 12 14	22	2021 12 17
MSCI EM Asia ex China Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI EM Asia ex Korea Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI EM Asia Net Total Return (USD) Index Futures	28,242	2020 10 21	28,512	2020 11 10
MSCI EM EMEA Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI EM ex China Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI EM ex Korea Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI EM LatAm Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Emerging Markets (USD) Index Futures	200	2020 08 04	200	2020 08 10
MSCI Emerging Markets Net Total Return (USD) Index Futures	100	2021 01 21	440	2021 12 17
MSCI Hong Kong Net Total Return (USD) Index Futures	776	2021 08 23	1,476	2021 09 17
MSCI India (USD) Index Futures	20	2021 04 21	15	2021 06 24
MSCI India Net Total Return (USD) Index Futures	3,939	2020 12 08	4,551	2020 12 18
MSCI Indonesia (USD) Index Futures	160	2021 02 03	174	2020 09 09
MSCI Indonesia Net Total Return (USD) Index Futures	15,865	2021 08 19	13,668	2020 12 15
MSCI Japan (JPY) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Japan Net Total Return (JPY) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Japan Net Total Return (USD) Index Futures	30,260	2021 09 15	17,793	2021 09 17
MSCI Malaysia (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Malaysia Net Total Return (USD) Index Futures	1,607	2020 12 14	2,197	2020 12 15
MSCI New Zealand Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31

Record high of futures and options products (up to 31 December 2021) *(continued)*

	Daily Volume		Open Interest	
Products	Contracts	Date	Contracts	Date
MSCI Pacific ex Japan Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Pacific Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Philippines (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Philippines Net Total Return (USD) Index Futures	1,372	2021 09 09	2,164	2021 09 17
MSCI Singapore Free (SGD) Index Futures	295	2020 10 14	105	2020 11 24
MSCI Singapore Free Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Singapore Net Total Return (USD) Index Futures	1	2020 10 06	1	2020 12 18
MSCI Taiwan (USD) Index Futures	42,363	2020 11 24	65,267	2020 10 29
MSCI Taiwan 25/50 (USD) Index Futures	970	2021 03 25	659	2021 02 22
MSCI Taiwan 25/50 Net Total Return (USD) Index Futures	20	2021 02 24	20	2021 03 19
MSCI Taiwan Net Total Return (USD) Index Futures	19,207	2020 09 17	22,092	2020 09 18
MSCI Thailand (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Thailand Net Total Return (USD) Index Futures	23,200	2021 06 16	25,873	2021 06 16
MSCI Vietnam (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI Vietnam Net Total Return (USD) Index Futures	0	2021 12 31	0	2021 12 31
MSCI China Free (USD) Index Options	6	2021 05 05	7	2021 06 29
MSCI Taiwan (USD) Index Options	7	2021 05 06	18	2021 08 26
CES China 120 Index Futures	2,086	2013 08 26	1,178	2014 05 27
Hang Seng Mainland Oil & Gas Index Futures	911	2019 01 11	593	2019 08 09
Hang Seng Mainland Banks Index Futures	584	2019 08 29	548	2019 01 24
Hang Seng Mainland Properties Index Futures	553	2018 06 26	371	2019 02 14
IBOVESPA Futures	5	2012 05 21	5	2012 06 14
MICEX Index Futures	5	2012 05 17	6	2012 06 18
FTSE/JSE Top40 Futures	0	2021 12 31	0	2021 12 31
Stock Futures	36,944	2021 03 09	61,238	2021 03 22
Stock Options	1,545,227	2020 07 06	15,625,280	2021 03 29
3-Month HIBOR Futures [1]	10,514	2002 04 04	80,964	2001 11 15
1-Month HIBOR Futures [2]	800	2001 01 09	3,250	2001 04 12
RMB Currency Futures – USD/CNH Futures	24,345	2019 08 05	46,711	2017 01 04
RMB Currency Futures – Mini USD/CNH Futures	13,448	2021 06 21	5,730	2021 09 08
RMB Currency Options – USD/CNH Options	1,529	2018 08 28	10,827	2018 09 14
RMB Currency Futures – EUR/CNH Futures	431	2018 08 16	1,038	2018 09 06
RMB Currency Futures – JPY/CNH Futures	192	2019 05 07	491	2018 09 10
RMB Currency Futures – AUD/CNH Futures	387	2019 05 07	243	2019 06 17
RMB Currency Futures – CNH/USD Futures	1,612	2019 12 09	1,703	2017 01 12
INR Currency Futures – INR/USD Futures	5,035	2020 03 02	2,025	2020 03 10
INR Currency Futures – INR/CNH Futures	96	2021 02 25	32	2021 03 15
USD Gold Futures	5,670	2018 01 11	1,272	2019 12 27
CNH Gold Futures	2,251	2017 10 31	829	2017 10 11
USD Silver Futures	150	2020 07 09	295	2020 07 17
CNH Silver Futures	0	2021 12 31	0	2021 12 31
Iron Ore Futures – Monthly Contracts	4,370	2019 07 31	4,102	2020 05 19
Iron Ore Futures – Quarterly Contracts	15	2017 12 18	19	2018 03 29
CNH London Aluminium Mini Futures	544	2015 10 20	354	2015 02 10
CNH London Zinc Mini Futures	562	2015 12 02	715	2015 12 04
CNH London Copper Mini Futures	770	2014 12 03	1,412	2014 12 11
CNH London Lead Mini Futures	21	2017 08 18	21	2017 11 13
CNH London Nickel Mini Futures	453	2016 07 07	150	2016 01 18
CNH London Tin Mini Futures	6	2016 07 05	9	2016 07 05
USD London Aluminium Mini Futures	2,129	2021 09 28	1,242	2021 08 16

Record high of futures and options products (up to 31 December 2021) *(continued)*

	Daily Volume		Open Interest	
Products	Contracts	Date	Contracts	Date
USD London Zinc Mini Futures	2,231	2021 10 18	1,065	2021 08 20
USD London Copper Mini Futures	4,700	2020 10 15	1,550	2020 10 05
USD London Lead Mini Futures	0	2021 12 31	0	2021 12 31
USD London Nickel Mini Futures	568	2020 01 22	317	2020 01 29
USD London Tin Mini Futures	10	2019 08 12	3	2019 12 27

1 The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

2 The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

LME Commodities Market

Futures of LME commodities – trading volumes, 2021

Futures		Volume (Lot) 2020	2021	YTD Diff
AH	Aluminium High Grade	62,019,871	57,670,339	-7.0%
AA	Aluminium Alloy	34,024	7,044	-79.3%
CA	Copper A Grade	32,606,077	29,691,402	-8.9%
PB	Lead	11,190,170	10,459,844	-6.5%
NI	Primary Nickel	17,583,717	16,774,630	-4.6%
SN	Tin	1,242,544	1,030,111	-17.1%
ZS	Special High Grade Zinc	23,542,072	22,040,793	-6.4%
NA	North American Special Aluminium Alloy	65,093	10,350	-84.1%
MX	LMEX	–	–	–
FM	Steel Mediterranean Billet	–	–	–
CO	Cobalt	1,331	841	-36.8%
MO	Molybdenum	–	–	–
MC	LMEmini Copper A Grade	–	–	–
MA	LMEmini Primary Aluminium	–	–	–
MZ	LMEmini Special High Grade Zinc	–	–	–
OA	Primary Aluminium Monthly Average Future	12,282	13,579	10.6%
OL	Aluminium Alloy Monthly Average Future	–	–	–
OC	Copper Monthly Average Future	32,342	32,456	0.4%
OP	Lead Monthly Average Future	1,341	1,002	-25.3%
ON	Nickel Monthly Average Future	140	413	195.0%
OS	Tin Monthly Average Future	98	17	-82.7%
OZ	Zinc Monthly Average Future	20,897	37,024	77.2%
OM	NASAAC Monthly Average Future	–	–	–
XG	OTC Silver	–	–	–
AG	Silver	6,945	0	-100.0%
XU	OTC Gold	–	–	–
AE	Aluminium Premium Future East Asia	–	–	–
AN	Aluminium Premium Future Extended US	–	–	–
AS	Aluminium Premium Future South East Asia	–	–	–
AW	Aluminium Premium Future Western Europe	–	–	–
SC	Steel Scrap	280,599	262,473	-6.5%
SR	Steel Rebar	61,569	54,835	-10.9%
AU	Gold	1,926	0	-100.0%
HU	LME Steel HRC N. America (Platts)	26,999	7,707	-71.5%
HE	LME Steel HRC N. Europe (Platts)	–	–	–
HC	LME Steel HRC FOB China (Argus)	104,714	45,328	-56.7%
UP	LME Aluminium Premium Duty Paid US Midwest (Platts)	368	1,083	194.3%
AM	LME Alumina (CRU/Fastmarkets MB)	160	0	-100.0%
EA	LME Aluminium Premium Duty Unpaid European (Fastmarkets MB)	–	–	–
MD	LME Molybdenum (Platts)	–	–	–
CB	LME Cobalt (Fastmarkets MB)	–	–	–
ST	LME Steel Scrap CFR Taiwan (Argus)	–	327	–
SI	LME Steel Scrap CFR India (Platts)	–	285	–
HN	LME HRC NW Europe (Argus)	–	219	–
ED	LME Aluminium Premium Duty Paid European (Fastmarkets MB)	–	9,194	–
Total		**148,835,279**	**138,151,296**	**-7.2%**

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel
5 tonnes: Tin
65 tonnes: Steel
25 tonnes: all other metals
5 tonnes: LMEmini Copper, Aluminium and Zinc
10 tonnes: Steel scrap and rebar

LME Commodities Market

Options of LME commodities – trading volumes, 2021

Options		Volume (Lot) 2020	2021	YTD Diff
AH	Aluminium High Grade	2,449,337	3,136,778	28.1%
AA	Aluminium Alloy	–	–	–
CA	Copper A Grade	1,765,400	1,638,150	-7.2%
PB	Lead	221,948	323,441	45.7%
NI	Primary Nickel	554,216	563,304	1.6%
SN	Tin	2	0	-100.0%
ZS	Special High Grade Zinc	1,006,930	1,055,806	4.9%
NA	North American Special Aluminium Alloy	0	–	–
Total		**5,997,833**	**6,717,479**	**12.0%**

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel
5 tonnes: Tin
65 tonnes: Steel
25 tonnes: all other metals
5 tonnes: LMEmini Copper, Aluminium and Zinc
10 tonnes: Steel scrap and rebar

TAPOs of LME commodities – trading volumes, 2021

TAPOs		Volume (Lot) 2020	2021	YTD Diff
AH	Aluminium High Grade	51,442	76,420	48.6%
AA	Aluminium Alloy	–	–	–
CA	Copper A Grade	20,348	67,477	231.6%
PB	Lead	805	4,468	455.0%
NI	Primary Nickel	2,096	12,700	505.9%
SN	Tin	0	–	–
ZS	Special High Grade Zinc	7,412	7,730	4.3%
NA	North American Special Aluminium Alloy	–	–	–
Total		**82,103**	**168,795**	**105.6%**

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel
5 tonnes: Tin
65 tonnes: Steel
25 tonnes: all other metals
5 tonnes: LMEmini Copper, Aluminium and Zinc
10 tonnes: Steel scrap and rebar

LME Commodities Market

Futures and options of LME commodities – trading volumes, 2021

Futures, Options and TAPOs		Volume (Lot) 2020	2021	YTD Diff
AH	Aluminium High Grade	64,520,650	60,883,537	-5.6%
AA	Aluminium Alloy	34,024	7,044	-79.3%
CA	Copper A Grade	34,391,825	31,397,029	-8.7%
PB	Lead	11,412,923	10,787,753	-5.5%
NI	Primary Nickel	18,140,029	17,350,634	-4.4%
SN	Tin	1,242,546	1,030,111	-17.1%
ZS	Special High Grade Zinc	24,556,414	23,104,329	-5.9%
NA	North American Special Aluminium Alloy	65,093	10,350	-84.1%
MX	LMEX	–	–	–
FM	Steel Mediterranean Billet	–	–	–
CO	Cobalt	1,331	841	-36.8%
MO	Molybdenum	–	–	–
MC	LMEmini Copper A Grade	–	–	–
MA	LMEmini Primary Aluminium	–	–	–
MZ	LMEmini Special High Grade Zinc	–	–	–
OA	Primary Aluminium Monthly Average Future	12,282	13,579	10.6%
OL	Aluminium Alloy Monthly Average Future	–	–	–
OC	Copper Monthly Average Future	32,342	32,456	0.4%
OP	Lead Monthly Average Future	1,341	1,002	-25.3%
ON	Nickel Monthly Average Future	140	413	195.0%
OS	Tin Monthly Average Future	98	17	-82.7%
OZ	Zinc Monthly Average Future	20,897	37,024	77.2%
OM	NASAAC Monthly Average Future	–	–	–
XG	OTC Silver	–	–	–
AG	Silver	6,945	0	-100.0%
XU	OTC Gold	–	–	–
AE	Aluminium Premium Future East Asia	–	–	–
AN	Aluminium Premium Future Extended US	–	–	–
AS	Aluminium Premium Future South East Asia	–	–	–
AW	Aluminium Premium Future Western Europe	–	–	–
SC	Steel Scrap	280,599	262,473	-6.5%
SR	Steel Rebar	61,569	54,835	-10.9%
AU	Gold	1,926	0	-100.0%
HU	LME Steel HRC N. America (Platts)	26,999	7,707	-71.5%
HE	LME Steel HRC N. Europe (Platts)	–	–	–
HC	LME Steel HRC FOB China (Argus)	104,714	45,328	-56.7%
UP	LME Aluminium Premium Duty Paid US Midwest (Platts)	368	1,083	194.3%
AM	LME Alumina (CRU/Fastmarkets MB)	160	0	-100.0%
EA	LME Aluminium Premium Duty Unpaid European (Fastmarkets MB)	–	–	–
MD	LME Molybdenum (Platts)	–	–	–
CB	LME Cobalt (Fastmarkets MB)	–	–	–
ST	LME Steel Scrap CFR Taiwan (Argus)	–	327	–
SI	LME Steel Scrap CFR India (Platts)	–	285	–
HN	LME HRC NW Europe (Argus)	–	219	–
ED	LME Aluminium Premium Duty Paid European (Fastmarkets MB)	–	9,194	–
Grand Totals		**154,915,215**	**145,037,570**	**-6.4%**

Sizes of lots:

20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel
5 tonnes: Tin
65 tonnes: Steel
25 tonnes: all other metals
5 tonnes: LMEmini Copper, Aluminium and Zinc
10 tonnes: Steel scrap and rebar

Clearing Statistics

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year/month		No. of CCASS trades	% of Exchange trades	No. of shares (mil)	% of market turnover	Value of trades (HK$mil)	% of market turnover
HKD							
2017		1,239,552	100.00	180,007	100.00	87,974	100.00
2018		1,596,128	100.00	226,201	100.00	107,157	99.99
2019		1,452,146	100.00	244,700	100.00	86,833	99.98
2020		1,960,672	100.00	220,620	100.00	129,092	99.99
2021		2,361,203	100.00	251,795	100.00	165,947	100.00
2021	Jan	3,102,164	100.00	273,401	100.00	245,105	100.00
	Feb	3,081,793	100.00	277,322	100.00	233,217	99.99
	Mar	2,755,425	100.00	305,956	100.00	197,877	99.99
	Apr	2,029,480	100.00	264,924	100.00	155,224	100.00
	May	2,157,782	100.00	257,571	100.00	152,825	100.00
	Jun	2,063,512	100.00	229,720	100.00	144,871	99.99
	Jul	2,527,313	100.00	240,028	100.00	183,771	100.00
	Aug	2,334,737	100.00	218,999	100.00	158,780	100.00
	Sep	2,310,127	100.00	216,969	100.00	151,997	100.00
	Oct	2,047,288	100.00	214,043	100.00	129,135	100.00
	Nov	2,094,217	100.00	274,138	100.00	130,973	100.00
	Dec	1,896,215	100.00	246,655	100.00	117,109	100.00

				(Thousand)		(US$ Thousand)	
USD							
2017		25	32.32	164	17.95	1,073	2.53
2018		43	32.78	90	10.77	415	0.79
2019		94	37.96	178	11.57	2,152	1.83
2020		860	83.91	932	37.63	7,551	5.74
2021		1,158	85.90	1,333	38.63	14,261	7.62
2021	Jan	2,401	93.88	2,340	57.82	28,231	16.26
	Feb	1,894	91.86	2,269	54.33	23,238	13.74
	Mar	1,119	84.07	1,193	35.11	10,822	4.92
	Apr	703	79.86	1,015	36.16	8,307	4.80
	May	696	82.61	921	41.72	9,195	7.12
	Jun	1,470	89.65	1,466	43.24	18,803	9.20
	Jul	1,545	90.83	1,963	47.37	20,313	9.65
	Aug	1,097	88.33	1,416	42.48	12,939	7.35
	Sep	835	84.73	1,063	34.10	12,590	6.72
	Oct	790	79.75	737	21.61	9,760	3.62
	Nov	607	79.25	793	19.03	8,892	3.19
	Dec	846	86.27	946	33.42	9,620	6.03

				(Thousand)		(RMB Thousand)	
RMB							
2017		568	99.48	5,024	99.73	37,283	85.43
2018		600	99.88	5,598	99.40	46,000	95.14
2019		607	99.85	4,970	99.17	45,961	94.49
2020		693	99.87	6,166	98.81	61,396	95.70
2021		429	99.72	3,207	98.83	49,068	94.02
2021	Jan	822	99.98	4,271	99.76	85,361	98.94
	Feb	519	99.80	4,347	99.27	86,059	95.91
	Mar	438	99.78	3,990	99.52	70,864	97.46
	Apr	346	99.86	2,296	99.60	36,692	97.80
	May	392	99.87	2,099	99.25	39,782	96.83
	Jun	345	99.67	2,200	97.64	37,189	90.21
	Jul	375	99.43	2,984	97.55	48,024	88.19
	Aug	329	99.50	3,092	98.16	37,999	91.84
	Sep	425	99.91	3,470	99.61	44,024	98.09
	Oct	341	99.71	2,833	99.05	37,008	93.77
	Nov	385	99.64	3,093	97.70	26,062	84.88
	Dec	449	99.56	3,754	99.06	43,794	95.45

Clearing Statistics

Average Daily Settlement Instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year/month		Number of SIs	SIs by shares (mil)	SIs by value (HK$mil)
HKD				
2017		92,287	47,970	220,093
2018		102,842	50,241	265,689
2019		94,758	44,722	237,629
2020		114,642	48,556	344,977
2021		126,724	42,253	401,676
2021	Jan	144,012	54,262	499,885
	Feb	154,061	49,645	490,789
	Mar	141,960	45,352	451,105
	Apr	121,246	36,598	420,492
	May	123,030	40,431	408,813
	Jun	118,245	41,685	427,755
	Jul	125,679	38,402	391,024
	Aug	119,665	35,745	375,064
	Sep	128,128	38,570	379,187
	Oct	117,124	38,375	328,343
	Nov	109,669	40,617	310,492
	Dec	121,263	48,541	348,856

			(Thousand)	(US$ Thousand)
USD				
2017		79	10,747	32,469
2018		100	20,313	51,441
2019		108	26,243	78,688
2020		206	25,277	112,296
2021		353	23,126	140,382
2021	Jan	454	33,429	237,679
	Feb	532	21,883	204,585
	Mar	397	22,394	154,322
	Apr	322	25,942	108,249
	May	295	22,180	93,989
	Jun	306	9,819	103,144
	Jul	354	27,043	142,057
	Aug	372	19,441	132,167
	Sep	316	26,083	114,973
	Oct	322	20,167	131,466
	Nov	290	28,073	151,986
	Dec	297	21,025	117,715

			(Thousand)	(RMB Thousand)
RMB				
2017		93	16,334	143,363
2018		91	16,512	136,718
2019		85	14,344	129,377
2020		100	14,362	225,242
2021		78	13,130	386,169
2021	Jan	117	21,335	643,043
	Feb	106	19,038	514,595
	Mar	96	14,499	282,887
	Apr	77	9,125	225,732
	May	65	4,565	158,264
	Jun	65	8,046	236,938
	Jul	80	8,938	276,165
	Aug	74	8,278	318,054
	Sep	67	10,547	378,350
	Oct	63	17,527	728,746
	Nov	66	12,555	597,496
	Dec	65	25,157	333,780

Clearing Statistics

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (mil)	ISIs by Value (HK$mil)
HKD				
2017		336	182	264
2018		304	133	210
2019		232	150	158
2020		279	65	219
2021		265	65	601
2021	Jan	401	316	884
	Feb	421	40	157
	Mar	318	30	359
	Apr	238	49	5,083
	May	276	37	133
	Jun	252	47	112
	Jul	252	48	131
	Aug	237	34	99
	Sep	261	63	267
	Oct	178	29	78
	Nov	176	33	94
	Dec	193	54	122

			(Thousand)	(US$ Thousand)
USD				
2017		0	6	664
2018		0	1	100
2019		0	0	2
2020		0	1	4
2021		0	2	23
2021	Jan	0	0	1
	Feb	0	1	1
	Mar	0	0	0
	Apr	0	1	2
	May	0	0	0
	Jun	0	4	64
	Jul	0	1	13
	Aug	0	9	138
	Sep	0	1	2
	Oct	0	2	3
	Nov	0	1	1
	Dec	0	5	43

			(Thousand)	(RMB Thousand)
RMB				
2017		1	662	2,077
2018		1	227	758
2019		1	116	437
2020		1	72	197
2021		0	50	169
2021	Jan	0	46	83
	Feb	0	5	8
	Mar	1	74	871
	Apr	1	44	114
	May	0	74	132
	Jun	0	9	36
	Jul	0	64	112
	Aug	1	41	160
	Sep	0	151	258
	Oct	0	17	25
	Nov	0	20	43
	Dec	1	37	64

Clearing Statistics

Average daily settlement efficiency of CNS stock positions

Year/month		Settlement Efficiency (%) S day	S + 1 day
HKD			
2017		99.91	99.99
2018		99.90	99.99
2019		99.92	99.99
2020		99.91	99.99
2021		99.92	99.99
2021	Jan	99.91	100.00
	Feb	99.91	100.00
	Mar	99.88	99.99
	Apr	99.93	100.00
	May	99.93	100.00
	Jun	99.91	99.99
	Jul	99.92	100.00
	Aug	99.93	99.99
	Sep	99.92	99.99
	Oct	99.92	99.99
	Nov	99.94	100.00
	Dec	99.92	99.99
USD			
2017		99.38	99.64
2018		98.36	99.21
2019		98.33	99.29
2020		94.53	99.72
2021		97.59	99.84
2021	Jan	95.14	99.95
	Feb	94.78	99.95
	Mar	96.89	99.80
	Apr	98.30	99.94
	May	98.44	100.00
	Jun	96.15	99.66
	Jul	97.81	99.78
	Aug	97.98	99.55
	Sep	98.35	99.77
	Oct	99.06	99.86
	Nov	98.94	99.94
	Dec	98.97	99.93
RMB			
2017		99.78	99.95
2018		98.84	99.82
2019		91.03	91.29
2020		99.00	99.98
2021		99.33	99.96
2021	Jan	98.61	100.00
	Feb	99.33	99.94
	Mar	98.82	100.00
	Apr	99.15	100.00
	May	98.98	100.00
	Jun	99.33	99.79
	Jul	99.66	100.00
	Aug	99.57	99.90
	Sep	99.20	100.00
	Oct	99.64	100.00
	Nov	99.70	99.88
	Dec	99.92	100.00

Clearing Statistics

Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year/month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (mil)	Netting efficiency (%)
HKD					
2017		83,953	96.42	30,220	91.37
2018		89,967	97.01	30,152	93.15
2019		79,752	97.07	25,436	94.54
2020		96,970	97.34	30,669	92.71
2021		110,534	97.46	32,570	93.42
2021	Jan	132,753	97.73	45,806	91.48
	Feb	137,537	97.55	42,809	92.07
	Mar	121,252	97.65	36,635	93.98
	Apr	105,908	97.23	28,173	94.63
	May	107,506	97.31	30,145	94.06
	Jun	106,855	97.25	29,331	93.44
	Jul	112,367	97.57	33,873	92.94
	Aug	107,208	97.56	28,016	93.48
	Sep	108,245	97.52	31,406	92.66
	Oct	98,537	97.43	28,751	93.15
	Nov	100,130	97.46	30,230	94.45
	Dec	91,818	97.29	27,060	94.34

			(%)	(Thousand)	(%)
USD					
2017		10	57.61	167	26.82
2018		15	62.66	144	15.70
2019		20	71.55	193	23.49
2020		61	93.98	1,117	35.27
2021		89	94.42	1,567	33.68
2021	Jan	123	96.91	2,471	42.09
	Feb	128	95.82	2,432	36.88
	Mar	102	93.74	1,426	34.94
	Apr	89	92.57	1,179	35.30
	May	76	92.38	1,136	32.78
	Jun	82	96.31	1,563	35.86
	Jul	93	96.14	2,313	34.84
	Aug	89	95.10	1,964	30.91
	Sep	77	94.23	1,245	34.57
	Oct	76	92.50	844	33.34
	Nov	72	93.02	1,015	29.17
	Dec	66	94.30	1,284	25.04

			(%)	(Thousand)	(%)
RMB					
2017		111	88.53	7,200	25.68
2018		122	88.02	7,091	32.70
2019		107	88.99	6,360	33.46
2020		134	86.27	7,420	34.56
2021		107	83.61	4,209	31.39
2021	Jan	159	88.63	5,398	36.29
	Feb	140	83.56	4,536	44.77
	Mar	126	84.03	4,796	37.44
	Apr	107	79.42	3,415	23.25
	May	105	82.45	2,788	31.28
	Jun	96	80.23	3,134	26.99
	Jul	112	80.39	4,164	23.71
	Aug	95	84.36	4,138	30.58
	Sep	95	86.53	4,309	34.67
	Oct	89	83.82	3,674	34.75
	Nov	85	84.75	4,311	25.83
	Dec	85	84.72	5,628	28.69

Clearing Statistics

Average daily netting efficiency of CNS Trades – in money obligations

Year/month		Money obligations arising from novated contracts (HK$mil)	Money obligations after netting (HK$mil)	Funds netting efficiency (%)
HKD				
2017		164,752	14,352	91.29
2018		203,196	18,330	90.98
2019		163,886	14,714	91.02
2020		243,406	21,567	91.14
2021		309,444	26,383	91.47
2021	Jan	456,365	49,052	89.25
	Feb	427,825	38,680	90.96
	Mar	371,560	27,512	92.60
	Apr	270,420	24,860	90.81
	May	281,727	23,522	91.65
	Jun	268,705	19,930	92.58
	Jul	343,551	29,375	91.45
	Aug	297,200	24,212	91.85
	Sep	281,545	22,883	91.87
	Oct	240,691	19,659	91.83
	Nov	244,415	19,535	92.01
	Dec	238,387	19,548	91.80

		(US$ Thousand)	(US$ Thousand)	(%)
USD				
2017		4,611	819	82.25
2018		731	511	30.00
2019		2,268	1,477	34.87
2020		12,975	5,646	56.48
2021		24,316	9,508	60.90
2021	Jan	55,357	19,815	64.21
	Feb	40,056	15,252	61.92
	Mar	18,803	8,401	55.32
	Apr	14,115	4,486	68.22
	May	16,608	6,485	60.95
	Jun	28,140	8,282	70.57
	Jul	35,435	15,606	55.96
	Aug	22,160	8,487	61.70
	Sep	17,663	7,035	60.17
	Oct	15,302	6,259	59.09
	Nov	13,635	5,340	60.84
	Dec	17,160	9,498	44.65

		(RMB Thousand)	(RMB Thousand)	(%)
RMB				
2017		72,865	39,415	45.91
2018		88,516	41,763	52.82
2019		91,808	45,064	50.92
2020		123,099	59,454	51.70
2021		97,515	47,736	51.05
2021	Jan	194,395	79,149	59.28
	Feb	180,669	76,579	57.61
	Mar	138,090	78,609	43.07
	Apr	71,161	43,059	39.49
	May	76,574	36,288	52.61
	Jun	71,476	36,112	49.48
	Jul	90,757	49,817	45.11
	Aug	71,346	34,140	52.15
	Sep	81,312	38,045	53.21
	Oct	68,381	28,783	57.91
	Nov	50,965	29,228	42.65
	Dec	86,930	44,760	48.51

Clearing Statistics

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year/month		No. of transactions	No. of shares (mil)	Value of transactions (HK$mil)
HKD				
2017		830	2,898	4,952
2018		753	2,671	5,703
2019		577	1,910	10,477
2020		631	1,925	13,779
2021		612	3,711	40,213
2021	Jan	795	2,515	13,998
	Feb	834	1,924	15,947
	Mar	659	1,735	71,504
	Apr	648	1,644	14,870
	May	581	1,360	11,348
	Jun	597	2,385	36,557
	Jul	664	25,750	244,165
	Aug	583	1,115	14,616
	Sep	587	1,131	12,070
	Oct	519	1,157	9,267
	Nov	473	1,219	5,593
	Dec	454	2,035	19,418

			(Thousand)	(US$ Thousand)
USD				
2017		0	1	1
2018		0	5	3
2019		0	7	6
2020		0	2	1
2021		0	2	1
2021	Jan	0	0	0
	Feb	0	0	0
	Mar	0	0	0
	Apr	0	0	0
	May	0	0	0
	Jun	0	2	0
	Jul	0	2	1
	Aug	0	0	0
	Sep	0	0	0
	Oct	0	0	0
	Nov	0	0	0
	Dec	0	0	0

			(Thousand)	(RMB Thousand)
RMB				
2017		2	474	1,462
2018		2	769	2,513
2019		2	1,490	4,956
2020		2	154	312
2021		1	1,098	1,931
2021	Jan	1	20	35
	Feb	1	13	23
	Mar	1	57	105
	Apr	1	7	12
	May	1	20	36
	Jun	1	12,233	21,652
	Jul	1	16	27
	Aug	1	15	26
	Sep	1	12	18
	Oct	1	393	585
	Nov	1	122	171
	Dec	1	5	7

Clearing Statistics

Securities under CCASS custody (balances at the end of each month)

Year/month		No. of shares (mil)	% of issued shares of admitted securities	Market value (HK$mil)	% of market capitalisation of admitted securities
All currencies in HKD equivalent					
2017		6,404,153	75.30	21,768,651	58.70
2018		6,644,422	76.15	18,815,108	58.78
2019		6,500,575	75.41	22,828,219	56.79
2020		6,869,792	76.84	30,271,541	60.92
2021		6,702,510	76.81	28,528,043	64.32
2021	Jan	6,922,250	76.27	32,655,278	61.53
	Feb	6,937,089	76.88	33,320,229	60.11
	Mar	6,876,367	76.86	33,519,606	60.58
	Apr	6,909,910	77.06	34,436,221	61.94
	May	6,907,387	76.90	34,579,500	61.61
	Jun	6,902,542	76.78	34,560,000	62.51
	Jul	6,844,642	76.79	30,747,181	62.46
	Aug	6,910,741	77.09	31,144,537	63.43
	Sep	6,719,100	75.47	29,646,518	63.90
	Oct	6,694,114	76.55	30,308,016	63.32
	Nov	6,692,900	76.68	28,772,220	63.88
	Dec	6,702,510	76.81	28,528,043	64.32

Footnote: No. of shares and market value of securities in non-HKD currency have been included.

		(Thousand)		(US$ Thousand)	
USD					
2017		171,548	98.39	4,636,148	99.94
2018		225,176	98.25	4,741,879	99.94
2019		90,425	95.90	4,475,349	99.95
2020		462,606	99.18	7,346,135	99.98
2021		514,398	99.26	7,598,694	99.99
2021	Jan	491,281	99.23	7,683,956	99.99
	Feb	536,805	99.29	8,001,726	99.99
	Mar	525,559	99.28	7,713,573	99.99
	Apr	559,709	99.32	8,225,981	99.98
	May	573,625	99.34	8,322,281	99.98
	Jun	570,088	99.33	8,102,064	99.99
	Jul	565,785	99.33	7,857,482	99.99
	Aug	529,394	99.28	7,747,438	99.99
	Sep	510,443	99.26	7,410,676	99.99
	Oct	521,466	99.27	7,709,884	99.99
	Nov	512,783	99.26	7,738,032	99.99
	Dec	514,398	99.26	7,598,694	99.99

		(Thousand)		(RMB Thousand)	
RMB					
2017		3,651,177	25.13	15,395,451	6.65
2018		3,902,416	24.24	15,417,558	6.37
2019		4,342,884	29.07	18,323,210	7.95
2020		4,374,361	29.78	12,287,302	5.72
2021		5,043,851	34.32	21,489,075	10.50
2021	Jan	4,372,884	30.42	11,999,927	5.46
	Feb	4,373,260	30.42	12,089,082	5.43
	Mar	4,396,400	30.57	12,855,598	5.89
	Apr	4,408,906	31.07	13,820,227	6.46
	May	4,451,262	31.39	14,163,913	6.60
	Jun	4,708,138	33.52	14,385,786	7.16
	Jul	4,726,445	33.50	13,930,123	7.52
	Aug	4,708,061	33.33	13,135,133	7.14
	Sep	4,753,717	33.57	14,123,455	7.58
	Oct	4,814,214	33.80	17,515,084	9.15
	Nov	4,843,062	33.83	20,127,038	10.51
	Dec	5,043,851	34.32	21,489,075	10.50

Clearing Statistics

Cleared Trade Notional Values for OTC Clearing Hong Kong Limited

		Cumulative Cleared Notional Values (in USD)*		
		Rates Derivatives Contacts		FX Derivatives Contracts
Year/month		Interest Rate Swaps	Cross Currency Swaps	
2017		31,200,800,000	13,736,800,000	66,000,000
2018		105,263,600,000	59,297,900,000	106,000,000
2019		236,728,500,000	125,585,500,000	148,100,000
2020		311,185,800,000	197,156,100,000	3,155,500,000
2021		357,122,400,000	260,816,300,000	6,485,600,000
2021	Jan	313,627,000,000	205,755,300,000	3,575,500,000
	Feb	315,663,800,000	212,111,400,000	3,805,500,000
	Mar	318,999,200,000	217,857,200,000	4,225,500,000
	Apr	322,942,600,000	222,950,500,000	4,545,500,000
	May	328,880,500,000	226,383,400,000	4,745,500,000
	Jun	334,214,800,000	231,416,800,000	5,225,600,000
	Jul	337,718,100,000	237,269,200,000	5,325,600,000
	Aug	340,449,100,000	240,690,800,000	5,825,600,000
	Sep	345,445,300,000	246,730,500,000	5,945,600,000
	Oct	349,922,600,000	250,905,500,000	6,065,600,000
	Nov	353,536,500,000	254,258,700,000	6,385,600,000
	Dec	357,122,400,000	260,816,300,000	6,485,600,000

* Rounded to nearest USD100,000

Exchange Participants

Distribution of market participants' market shares, 2017 – 2021

Year/Month		Category A (Position 1 to 14) Range (%)	Category A (Position 1 to 14) Total (%)	Category B (Position 15 to 65) Range (%)	Category B (Position 15 to 65) Total (%)	Category C (Position > 65) Range (%)	Category C (Position > 65) Total (%)	Total turnover (HK$bil) #	Average daily turnover (HK$mil) #
2017		6.41 – 2.30	54.64	1.93 – 0.25	34.88	0.24 – 0.00	10.48	20,812.12	84,602.11
2018		6.13 – 2.22	55.65	2.00 – 0.26	35.67	0.25 – 0.00	8.68	25,341.11	103,012.64
2019		6.89 – 2.54	57.97	2.48 – 0.24	34.04	0.22 – 0.00	7.99	20,525.12	83,435.45
2020		6.18 – 2.28	58.15	2.11 – 0.20	34.50	0.19 – 0.00	7.35	29,779.74	120,079.60
2021		6.47 – 2.20	59.03	2.16 – 0.17	33.82	0.17 – 0.00	7.15	37,138.90	150,359.92
2021	Jan	6.36 – 2.35	57.47	2.23 – 0.19	34.86	0.18 – 0.00	7.67	4,260.86	213,043.14
	Feb	6.11 – 2.36	57.94	2.31 – 0.20	34.27	0.20 – 0.00	7.79	3,794.81	210,822.59
	Mar	6.27 – 2.39	60.34	1.94 – 0.19	32.70	0.18 – 0.00	6.96	4,095.07	178,046.59
	Apr	7.37 – 2.02	62.05	1.92 – 0.18	31.22	0.18 – 0.00	6.73	2,727.13	143,533.01
	May	6.14 – 2.34	60.03	2.20 – 0.18	33.00	0.18 – 0.00	6.97	2,776.28	138,813.98
	Jun	6.34 – 2.12	59.39	2.01 – 0.18	33.36	0.18 – 0.00	7.25	2,741.21	130,533.89
	Jul	6.71 – 2.52	59.39	2.42 – 0.17	33.75	0.17 – 0.00	6.86	3,412.86	162,516.97
	Aug	7.44 – 2.47	59.85	2.29 – 0.17	33.47	0.16 – 0.00	6.68	3,105.41	141,154.90
	Sep	7.10 – 2.70	61.61	2.17 – 0.15	32.16	0.15 – 0.00	6.23	3,000.63	142,887.00
	Oct	7.65 – 2.36	59.95	1.95 – 0.15	33.61	0.15 – 0.00	6.44	2,189.56	121,641.99
	Nov	7.30 – 2.41	58.81	2.10 – 0.19	34.34	0.19 – 0.00	6.85	2,647.80	120,354.59
	Dec	7.19 – 2.35	60.36	2.22 – 0.15	33.69	0.15 – 0.00	5.95	2,387.28	108,512.74

Includes securities trading and value of shares when stock options were exercised

Note: The market share and turnover data shown in the report above includes all Exchange Participants which had transactions during the period reported but excludes Southbound trading of Special Participants via Stock Connect.

Statistics on Exchange participants

Stock Exchange

Year/quarter		No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2017		669 (1066)	622 (1013)	32 (38)	15 (15)
2018		688 (1084)	640 (1028)	33 (41)	15 (15)
2019		719 (1110)	658 (1041)	46 (54)	15 (15)
2020		714 (1099)	635 (1008)	65 (77)	14 (14)
2021		716 (1091)	638 (1001)	64 (76)	14 (14)
2021	Q1	714 (1095)	633 (1002)	67 (79)	14 (14)
	Q2	713 (1093)	628 (993)	71 (86)	14 (14)
	Q3	719 (1099)	638 (1001)	67 (84)	14 (14)
	Q4	716 (1091)	638 (1001)	64 (76)	14 (14)

Futures Exchange

Year/quarter		No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2017		238 (261)	186 (207)	–	52 (54)
2018		248 (270)	194 (214)	–	54 (56)
2019		252 (274)	195 (215)	–	57 (59)
2020		248 (270)	195 (215)	–	53 (55)
2021		243 (264)	194 (213)	–	49 (51)
2021	Q1	248 (270)	196 (216)	–	52 (54)
	Q2	244 (265)	192 (211)	–	52 (54)
	Q3	240 (261)	190 (209)	–	50 (52)
	Q4	243 (264)	194 (213)	–	49 (51)

Notes:

(1) The figures in blankets are the number of trading rights held

(2) An Exchange Participant is an individual or corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/quarter		Clearing Participants	General Clearing Participants
2017		155	9
2018		162	9
2019		160	9
2020		159	9
2021		156	10
2021	Q1	160	9
	Q2	156	9
	Q3	153	10
	Q4	156	10

SEOCH Participants

Year/quarter		Direct Clearing Participants	General Clearing Participants
2017		62	9
2018		63	9
2019		66	9
2020		66	9
2021		66	11
2021	Q1	66	9
	Q2	68	9
	Q3	67	10
	Q4	66	11

HKSCC Participants

Year/quarter		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2017		596	9	44
2018		616	9	44
2019		638	9	42
2020		634	9	43
2021		634	8	43
2021	Q1	630	8	43
	Q2	627	8	43
	Q3	635	8	43
	Q4	634	8	43

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

OTC Clear Clearing Member Statistics

OTC Clear Members

Year/quarter		Clearing Member
2017		20
2018		22
2019		22
2020		24
2021		25
2021	Q1	24
	Q2	25
	Q3	25
	Q4	25

Historical Events

Hong Kong Securities and Derivatives Markets Historical Events

1891		"The Association of Stockbrokers in Hong Kong", the first formal stock exchange in Hong Kong was formed.
1914		The name of the Association of Stockbrokers in Hong Kong was changed to "The Hong Kong Stock Exchange".
1921		A second stock exchange, "The Hong Kong Stockbrokers' Association", was incorporated.
1947		The Hong Kong Stock Exchange and the Hong Kong Stockbrokers' Association were merged to form a joint exchange under the name of "The Hong Kong Stock Exchange Ltd".
1969	Dec	The Far East Exchange Ltd commenced business on 17 December.
1970	Feb	The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
1971	Mar	The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
1972	Jan	The Kowloon Stock Exchange Ltd commenced business on 5 January.
1973	Jan	The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
		A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
	Feb	The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
		The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
1974	Feb	The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
	Apr	The Federation of Share Registrars was established.
	Jul	The Hong Kong Federation of Stock Exchange was established.
	Aug	Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.

Historical Events

	Oct	Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.
1975	Aug	The Securities Commission approved the Code on Takeovers and Mergers.
	Dec	The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares-other than on a pro-rata basis to existing shareholders-without the prior approval of shareholders.
1976		The Commodities Trading Ordinance was passed by the Legislative Council.
	Aug	Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
	Dec	On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
1977	May	Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
		On 9 May, The HKCE commenced the trading of its first commodity product — cotton futures.
	Nov	On 15 November, The HKCE commenced trading of sugar futures.
	Dec	An Insider Dealing Tribunal was set up.
1978	Mar	Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
1979	Aug	A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
	Nov	The HKCE commenced trading of soybean futures.
1980	Jul	The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
	Aug	The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
		On 19 August, The HKCE commenced trading of gold futures.
1981	Jan	The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
	Jul	Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.

Historical Events

	Oct	The first committee members of the SEHK were elected.
1982		A Financial Futures Working Party was formed to study the introduction of financial futures.
1983	Mar	The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.
1984	May	The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
1985	May	On 7 May, the HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).
	Aug	The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.
		The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the SEHK was removed.
1986	Feb	The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
		The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
		The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
		The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
	Mar	The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
	Apr	The SEHK commenced trading on 2 April.
	May	On 6 May, HKFE commenced the trading of its first financial product — Hang Seng Index (HSI) Futures.
	Sep	The SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
	Oct	The grand opening of the SEHK took place on 6 October.

Historical Events

1987 Apr On 8 April, the SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.

Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.

The General Committee of the SEHK unanimously resolved that trading on the SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.

The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.

The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK$4 billion of which HK$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.

Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK$500,000 to HK$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.

1988 Jan The new Management Sub-committee of the SEHK was formed on 2 January to take up the duties of the General Committee.

Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.

Jul An amendment to the Articles of Association of the SEHK, which allowed the SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.

Sep The SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.

Oct The first 22-member Council of the SEHK was formed on 18 October.

Nov The first Nominating Committee of the SEHK was formed on 7 November.

Historical Events

1989		The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, the HKFE Clearing Corporation Limited (HKCC).
	Feb	The All Ordinaries Index was launched by the SEHK on 1 February.
	Mar	The SEHK announced the formation of the HKSCC, a company limited by guarantee.
	Apr	The Legislative Council passed the Securities and Futures Commission Ordinance 1989.
	May	The new Securities and Futures Commission (SFC) was formally established on 1 May.
	Sep	The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
	Oct	The Council of the SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
	Dec	The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.
1990		HKFE introduced its first interest rate futures — futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).
	Feb	The minimum brokerage for each securities transaction traded on the SEHK was raised from HK$25 to HK$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
	Apr	On 2 April, the Council of the SEHK resolved that Council members on the Board of the HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of the HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
	Jul	The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
1991		HKFE introduced three futures based on sub-indices of the HSI.
	Apr	With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
		On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
	Sep	The Securities (Disclosure of Interests) Ordinance came into force on 1 September.

Historical Events

Nov The Council of the SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total-18 broker members, 11 lay members and the chief executives of the SEHK and the HKSCC.

On 25 November, the SFC and the SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the SEHK effective 31 December.

1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.

Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.

May On 11 May, changes made to the Listing Rules of the SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.

Jun The settlement period for members of the SEHK was changed from T+1 to T+2 on 8 June.

On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.

Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.

The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK$2 million.

On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.

On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.

1993 Mar On 5 March, HKFE introduced its first options product — Hang Seng Index Options.

Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).

Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the SEHK signed a Memorandum of Regulatory Co-operation in Beijing.

Historical Events

	Jul	Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
	Aug	On 16 August, the Special Levy payable on every transaction recorded on the SEHK and the HKFE was suspended.
	Nov	On 1 November, the SEHK launched the Automatic Order Matching and Execution System (AMS).
1994	Jan	On 3 January, the SEHK launched a pilot scheme for regulated short-selling of shares.
	Mar	Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.
	Jul	With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.
1995	Mar	On 31 March, HKFE introduced its first single-stock product — stock futures on two stocks.
	Sep	On 8 September, the SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
	Nov	On 3 November, HKFE introduced its first currency futures — Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.
1996	Jan	On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.
1997	Sep	HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.
	Nov	On 28 November, the Hong Kong Securities Institute was incorporated.
1998	Apr	With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
	May	On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
1999	May	On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
	Sep	HKFE suspended the trading of gold futures, the last exchange-traded commodity product.

Historical Events

	Nov	On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
		On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
2000	Mar	On 6 March, the SEHK, the HKFE and the HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEX). Mr Charles Lee was the first Chairman of HKEX. Mr Kwong Ki-chi was appointed the Chief Executive of HKEX.
		On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
	Apr	With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
	May	On 31 May, the SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.
	Jun	On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system-Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.
		On 27 June, shares of the HKEX were listed by introduction on the SEHK with a stock code of "388".
	Sep	On 15 September, HKEX announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.
	Oct	On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEX's iIPO.
		On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.
2001	Feb	On 23 February, HKEX introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
	Aug	On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.
	Sep	On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).

Historical Events

On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.

2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from $1.0 to $0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from $0.5 to $0.1 per contract per side.

On 25 March, HKEX introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.

May On 16 May, HKEX introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.

Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.

Sep On 16 September, HKMA announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.

Dec On 9 December, HKEX introduced the second phase of CCASS/3.

2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.

Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.

May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEX.

On 26 May, HKEX introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.

Nov On 17 November, HKEX opened its first Mainland representative office in Beijing.

Dec On 8 December, HKEX introduced H-shares Index futures.

2004 Apr On 6 April, HKEX introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEX markets.

Jun On 14 June, HKEX introduced H-shares Index options.

Historical Events

2005	May	On 23 May, HKEX introduced FTSE/Xinhua China 25 Index futures and options.
	Oct	On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds $1.4 billion, and subsequently reinstated when the NAV of the ICF falls below $1 billion.
	Nov	On 2 November, legislation was passed to abolish the estate duty.
		On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on the SEHK.
2006	Jan	On 16 January, renovated Trading Hall opened for trading.
	Apr	On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEX.
	Jun	On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
		On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on the SEHK.
	Jul	On 3 July, HKEX introduced Bank of China Ltd Futures and Options.
		On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
	Oct	On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US$19.1.billion) in world stock market history and the funds raised by H shares was also an all-time high.
	Dec	On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.
2007	Jan	On 1 January, the Securities & Futures Commission's (SFC's) Guidelines for Sponsors and Compliance Advisers became effective, marking the launch of a new sponsor regulatory regime.
	Mar	On 12 March, the first batch of 19 derivative warrants on the iShares FTSE/Xinhua A50 China tracker were listed on HKEX. This was the first time that derivative warrants were issued on Mainland A-share assets.

Historical Events

Apr On 10 April, the SFC entered into a Memorandum of Understanding (MOU) with the China Banking Regulatory Commission (CBRC) for cooperation and information sharing with respect to Hong Kong licensed intermediaries who provide services to Mainland commercial banks conducting overseas wealth management business on behalf of their Mainland clients. This served as regulatory support for the Mainland Qualified Domestic Institutional Investors (QDII) business (banking side) in Hong Kong.

Jun On 25 June, Phase 1 of the HKEX Electronic Disclosure Project commenced and lasted for 6 months. Under this Phase, it is optional for a Main Board-listed issuer to make a paid newspaper announcement as long as it publishes a notification in newspapers about the full announcement posted on its own website and the HKEX website. Those without their own websites must publish the full announcements in newspapers as well as on the HKEX website.

Dec On 11 December, the Hong Kong Monetary Authority (HKMA) launched the electronic trading platform (ETP) for Exchange Fund Bills and Notes (EFBNs). The ETP provides greater flexibility to facilitate trading in EFBNs.

On 25 December, Phase 2 of the HKEX Electronic Disclosure Project commenced. Under Phase 2, Main Board issuers publishing announcements on their own websites are no longer required to publish notifications in newspapers. After 6 months, all issuers must have their own websites on which they must publish their announcements.

2008 Feb On 4 February, the HKEXnews.com.hk website was launched. HKEXnews is a dedicated website which mainly disseminates issuer information generated directly by the listed issuers and/or their major shareholders and directors as well as regulatory information generated by HKEX about listed companies.

Mar On 31 March, HKEX launched the Mini-Hang Seng China Enterprises Index (Mini H-shares Index) futures.

Apr On 7 April, HKEX introduced 5-digit stock codes in its securities market.

May On 26 May, the closing auction session for HKEX's securities market commenced. The closing prices of securities are determined by an auction mechanism similar to that already in place in the pre-opening session. The trading hours of the securities market on a normal trading day as well as a half-day trading day are extended by 10 minutes.

Jul On 1 July, the revised Listing Rules of the Growth Enterprise Market (GEM) and the Main Board of HKEX relating to the development of GEM became effective. GEM was re-positioned as a second board and a stepping stone towards the Main Board. To encourage listing transfers from GEM to the Main Board, the transfer process was streamlined and the initial listing fees for such transfers were halved.

On 31 July, the first gold Exchange Traded Fund (ETF) commenced trading on the Main Board of HKEX.

Historical Events

	Oct	On 20 October, HKEX reintroduced trading in gold futures on its Hong Kong Futures Exchange (HKFE) which had formerly listed the product in the 1990s.
2009	Mar	On 23 March, in light of the price volatility during the Closing Auction Session (CAS) since its introduction on 26 May 2008, the CAS in the securities market was suspended to maintain public confidence in the orderliness, fairness and transparency of the market.
	Apr	On 1 April, the Listing Rules amendments on the revised blackout period for share trading by listed company directors came into effect. The blackout period before the announcement of annual results was extended to 60 days instead of the originally proposed 4 months. The blackout period before interim results announcement was not extended.
	Nov	On 18 November, the first 4 A-share industry sector ETFs in Hong Kong were listed on the SEHK.
	Dec	On 18 December, HKEX published the consultation conclusions on accelerating rights issues and open offers. The minimum notice period for book closure for rights issues would be reduced to six business days and that for open offers would remain unchanged. On 29 December, HKEX published its consultation conclusions on CER Futures in which HKEX considers that there is no imminent need for a CER futures market in Hong Kong.
2010	Apr	On 26 April, Hong Kong Securities Clearing Co Ltd (HKSCC) admitted over-the-counter (OTC) structured products authorised by the SFC into the Central Clearing and Settlement System (CCASS) of HKEX's securities market, thereby broadening asset classes in its eligible securities.
	Jun	On 3 June, new Listing Rules for mineral companies came into effect to ensure the provision of material, relevant and reliable information about these companies to investors, and to align with global standards.
	Jul	On 19 July, the People's Bank of China (PBOC) and the HKMA signed a supplementary Memorandum of Co-operation on the expansion of the RMB trade settlement scheme. On the same day, the PBOC and Bank of China (Hong Kong) Ltd (the RMB clearing bank in Hong Kong) signed a revised settlement agreement which allows any corporation, including financial institutions, in Hong Kong to open RMB bank accounts. These agreements are major steps towards the launch of RMB investment products in Hong Kong.
	Oct	On 1 October, a 25% reduction in the SFC's levy payable in respect of trading in securities, futures and options contracts took effect. On 22 October, Asian Development Bank's (ADB) RMB1.2 billion 10-year bond was listed as a selectively marketed security on the SEHK. It was the first RMB-denominated bond listed and traded in RMB on the SEHK. On 29 October, AIA Group Ltd was listed on the SEHK. Its IPO, raising HK$159.1 billion, was the largest in Hong Kong's market history and the third largest in the world. On the same day, options on AIA were introduced for trading in the stock options market.

Historical Events

Dec On 8 December, the Hong Kong depositary receipts (HDRs) of Vale S.A., a Brazilian mining company, was listed on the SEHK as the first HDR listed in Hong Kong.

On 15 December, amendments to the Listing Rules came into effect for HKEX to adopt a framework for accepting Mainland accounting and auditing standards and Mainland audit firms for Mainland-incorporated companies listed in Hong Kong. The framework also provides reciprocally for the use of Hong Kong financial reporting standards and Hong Kong audit firms by Hong Kong companies listed in the Mainland.

2011 Mar On 7 March, HKEX extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.

Apr On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.

May On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).

Jun On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC.

On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.

On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorised funds and investment-linked assurance schemes marketed in Hong Kong.

Jul On 25 July, HKEX implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS) to reduce the overnight credit risks faced by Hong Kong Securities Clearing Co Ltd (HKSCC) and its participants.

On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK$10 billion were listed on the SEHK.

Aug On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included: (i) introducing Mainland exchange-traded funds (ETFs) on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between Hong Kong and the Mainland; and (iii) the RMB Qualified Foreign Institutional Investor (RQFII) scheme.

Historical Events

Oct On 24 October, HKEX's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.

On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.

Nov On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.

On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.

Dec On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).

On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.

2012 Feb On 20 February, HKEX launched the HSI Volatility Index (VHSI) futures.

Mar On 5 March, HKEX further extended the trading hours of the securities market by 30 minutes, with the lunch break shortened to one hour (12:00-13:00). The trading hours of the derivatives market were adjusted accordingly.

On 30 March, HKEX and four other founding members of the BRICS Exchange Alliance – BM&FBOVESPSA, MICEX-RTS, BSE Ltd and Johannesburg Stock Exchange (JSE) – began cross-listing of benchmark equity index derivatives on each other's trading platforms.

Jun On 18 June, after market consultation, the Securities and Futures (Short Position Reporting) Rules took effect. The SFC began to publish on a weekly basis the aggregated short positions of specified shares on 7 September.

Jul On 17 July, the ChinaAMC CSI 300 Index ETF was listed as the first RQFII A-share ETF on the SEHK, the first physical ETF outside Mainland China and the first A-share ETF outside Mainland China to trade in RMB.

Aug From 1 August, the HKMA allowed banks in Hong Kong to open RMB accounts for non-Hong Kong residents and offer them a full range of RMB services to further promote the development of offshore RMB businesses in Hong Kong.

Historical Events

On 6 August, HKEX extended the coverage of its RMB Equity Trading Support Facility (TSF) beyond RMB-traded shares to equity-related ETFs and real estate investment trusts (REITs) traded in RMB.

Sep On 17 September, HKEX launched RMB currency futures, the first exchange-traded deliverable RMB futures in the world.

Oct On 12 October, the Harvest MSCI China A Index ETF was listed as the first dual-counter (DC) security on the SEHK, tradable both in RMB and in Hong Kong dollar.

On 22 October, the E Fund HSCEI ETF and ChinaAMC HSI ETF were listed on the Shanghai Stock Exchange (SHSE) and Shenzhen Stock Exchange (SZSE) respectively as the first cross-border (Mainland China and Hong Kong) ETFs in the Mainland market.

On 29 October, the RMB-traded shares of Hopewell Highway Infrastructure Ltd were listed on the SEHK by way of placing – the first RMB-traded equity security outside Mainland China.

On 30 October, China Exchanges Services Company Ltd (CESC), a joint venture (JV) formed by HKEX, SHSE and SZSE for the development of financial products and related services, officially commenced operations. It was formed pursuant to a JV agreement signed in June in the year.

Nov On 5 November, HKEX implemented risk management reform measures for its clearing houses. The measures include: (1) introducing a standard margin system and a Dynamic Guarantee Fund (DGF) at Hong Kong Securities Clearing Co Ltd (HKSCC); and (2) revising certain assumptions in stress testing and collateral assumptions of the HKEX clearing houses.

On 30 November, the SEHK published consultation conclusions on changes to the Listing Rules, effective 1 January 2013, to complement the introduction of a statutory obligation for listed companies to disclose inside information.

Dec On 6 December, HKEX completed its acquisition of the entire issued share capital of LME Holdings Ltd (LMEH) after obtaining approval from LMEH shareholders in July and lately from the UK Financial Services Authority (FSA).

On 19 December, the H shares of China International Marine Containers (Group) Ltd were listed on the SEHK as the first case in which Mainland-listed B shares are converted into H shares to list in Hong Kong.

2013 Apr On 8 April, HKEX launched After-Hours Futures Trading (AHFT, from 5:00 pm to 11:00 pm) for the HSI and the H-shares Index futures.

Aug On 12 August, HKEX launched CES China 120 Index futures, the world's first exchange-listed derivatives product to provide exposure to leading China stocks from the Mainland and Hong Kong markets through a single exchange-traded futures contract.

Nov On 25 November, OTC Clearing Hong Kong Ltd (OTC Clear) completed its soft launch.

Historical Events

On 25 November, RMB10 billion of sovereign bonds issued by the Ministry of Finance (MOF) were listed on the SEHK, and for the first time the MOF has used the SEHK's facilities for a public offering of its bonds.

Dec On 27 December, HKEX announced that Hong Kong Securities Clearing Co Ltd (HKSCC) had admitted China Securities Depository and Clearing Corporation Ltd (ChinaClear) as a Clearing Agency Participant to the Central Clearing and Settlement System (CCASS).

2014 Jan On 6 January, HKEX launched after-hours trading of Mini Hang Seng Index (Mini HSI) futures and Mini H-shares Index (Mini HHI) futures and extended the Block Trading Facility (BTF) from day session to After-Hours Futures Trading (AHFT) session.

Mar On 3 March, amendments to the SEHK Listing Rules on calculation of annual listing fees for Hong Kong-incorporated issuers came into effect. The amendments were made to align the Listing Rules following the abolition of the concept of nominal (par) value under the new Companies Ordinance.

On 3 March, HKEX rolled out the Mainland Market Data Hub (MMDH) in Shanghai.

Apr On 10 April, the China Securities Regulatory Commission (CSRC) and the Hong Kong SFC announced their approval in principle the development of Shanghai-Hong Kong Stock Connect, a pilot programme for establishing mutual stock market access (subject to investment quota) between Shanghai and Hong Kong.

Jun On 17 June, RHB Asset Management (a Malaysian fund company) launched the RHB-OSK Islamic Regional Balanced Fund, the first actively managed Islamic fund in Hong Kong.

Sep On 19 September, the first Islamic bond (sukuk) of the Hong Kong Government, which had an issuance size of US$1 billion (HK$7.8 billion) and a tenor of five years, was listed on the SEHK.

Nov On 3 November, the close of AHFT of stock index futures and RMB currency futures has been extended from 11:00 pm to 11:45 pm. The close of the AHFT clearing session was accordingly extended from 11:45 pm to 12:30 am.

On 17 November, Shanghai-Hong Kong Stock Connect was formally launched.

On 17 November, the HKMA lifted the currency conversion limits on RMB for transactions conducted by AIs with Hong Kong residents, including the conversion limit of RMB20,000 per person per day.

Dec On 1 December, HKEX launched London Aluminium Mini Futures (LRA), London Zinc Mini Futures (LRZ) and London Copper Mini Futures (LRC), its first Asia Commodity contracts.

On 1 December, HKEX launched the New Securities Trading Device (NSTD), a vendor-developed front-end trading device, to replace the legacy AMS Terminals and Multi-Workstation System (MWS).

Historical Events

2015 Feb On 13 February, the stamp duty waiver for all exchange traded funds (ETFs) listed in Hong Kong took effect.

Mar On 2 March, HKEX launched short-selling of eligible Shanghai-listed A shares under Shanghai-Hong Kong Stock Connect.

On 30 March, HKEX rolled out a significant enhancement to its Central Clearing and Settlement System (CCASS) to facilitate trading of A shares through Shanghai-Hong Kong Stock Connect. Investors can now open Special Segregated Accounts (SPSAs) in CCASS via its Custodian Participants or its General Clearing Participants (GCPs) which are not Exchange Participants (EPs). In addition, the pre-trade checking mechanism based on the SPSA Model became effective on 20 April 2015.

May On 15 May, CSOP SZSE ChiNext ETF was listed on the SEHK as the first exchange traded fund (ETF) in Hong Kong which tracks stocks listed on ChiNext of the Shenzhen Stock Exchange (SZSE). This ETF is a dual-counter stock available for trading in both HK dollar and renminbi (RMB).

Jul On 1 July, the scheme of Mainland-Hong Kong Mutual Recognition of Funds was officially launched.

Dec On 14 December, HKEX introduced London Nickel Mini Futures, London Tin Mini Futures and London Lead Mini Futures contracts for trading in its derivatives market.

On 18 December, the SFC granted authorisation for the first batch of four Mainland funds under the Mainland-Hong Kong Mutual Recognition of Funds (MRF) initiative for public offering in Hong Kong. At the same time, the CSRC approved the first batch of three Hong Kong funds for public offering on the Mainland market under MRF.

2016 May On 9 May, HKEX launched seven sector index futures contracts including the CES Gaming Top 10 Index, Hang Seng Mainland Oil & Gas Index, Hang Seng Mainland Banks Index, Hang Seng Mainland Healthcare Index, Hang Seng Mainland Properties Index, Hang Seng IT Hardware Index and Hang Seng Software & Services Index.

On 30 May, HKEX introduced cash-settled Euro-Renminbi (RMB), Japanese yen-RMB, Australian dollar-RMB and RMB-US dollar futures, with trading of the RMB-US dollar futures in US dollar and the others in RMB.

Jun On 13 June, the first L&I Products were listed in Hong Kong including the Samsung KOSPI 200 Daily (2x) Leveraged Product, Samsung KOSPI 200 Daily (-1x) Inverse Product, Samsung TOPIX Daily (2x) Leveraged Product and Samsung TOPIX Daily (-1x) Inverse Product.

Jul On 25 July, HKEX introduced Closing Auction Session (CAS) for its securities market to allow execution at securities' closing prices. Some of the closing times in HKEX's market were extended as a result of the introduction of the CAS.

Aug On 22 August, HKEX rolled out its Volatility Control Mechanism (VCM) in its securities market. VCM is a measure designed to protect market integrity by preventing extreme price volatility arising from major trading errors and other unusual incidents.

Historical Events

	Sep	On 5 September, HKEX introduced Mini-Hang Seng China Enterprises Index Options or Mini H-shares Index Options, to meet the demand from retail investors and give market participants more flexibility by providing a contract one-fifth the size of the H-shares Index Options contract.
	Dec	On 5 December, Shenzhen Connect was launched.
2017	Mar	On 20 March, HKEX introduced Renminbi (RMB) Currency Options. The US dollar-Offshore RMB (USD/CNH) Options contract became the first currency options traded at HKEX.
	Apr	On 10 April, HKEX introduced 5-year China Ministry of Finance Treasury Bond (MOF T-Bond) Futures as a pilot scheme for foreign investors to hedge against interest rate volatility of RMB assets. MOF T-Bond Futures was the offshore markets' first futures on domestic Chinese government bonds. Trading in 5-Year MOF T-Bond Futures was suspended from 8 December 2017.
	Jul	On 3 July, Bond Connect was launched, which is a mutual bond market access programme between HKSAR and Mainland China with Northbound trading link initially and Southbound trading link to be added later. The Bond Connect Company Ltd (BCCL) commenced operations on the same day. On 10 July, HKEX introduced Offshore Renminbi (CNH) and US dollar (USD) Gold Futures, the first time HKEX launched futures in two currencies. It became the first pair of commodity futures that can be physically delivered in Hong Kong. London Metal Exchange (LME), a wholly-owned subsidiary of HKEX, introduced its own gold futures on the same day. It became the first exchange to offer spot to five-year loco London futures. On 24 July, HKEX launched Phase 2 of the Closing Auction Session (CAS) in its securities market. CAS Phase 2 expanded the coverage to include constituents of the Hang Seng Composite SmallCap Index and introduced regulated short-selling orders during the CAS, provided it is at a price not lower than the CAS Reference Price.
	Nov	On 6 November, HKEX introduced the first phase of its after-hours trading (AHT) enhancements. The close of the T+1 Session for Hang Seng Index (HSI), Hang Seng China Enterprises Index (HHI), Mini-HSI and Mini-HHI futures was extended from 11:45 pm to 1 am the following day. On 13 November, HKEX launched its first ferrous metal product – cash-settled TSI Iron Ore Fines 62% Fe CFR China Futures, or Iron Ore Futures.
2018	Feb	On 5 February, HKEX introduced its new securities trading system for the Hong Kong market, the Orion Trading Platform-Securities Market (OTP-C), replacing the AMS/3.8 system, an upgraded version of the AMS/3 system rolled out in 2000.
	May	On 14 May, HKEX included its three most actively traded equity index options – HSI Options, HSCEI Options and Mini-HSI Options – in the after-hours trading (T+1 Session) of its derivatives (futures and options) market. These are the first options to be available for T+1 Session.

Historical Events

Jun On 11 June, HKEX introduced MSCI All Country (AC) Asia ex Japan Net Total Return Index (MSCI AxJ) Futures, its first regional stock index futures covering two developed markets (Hong Kong and Singapore) and nine emerging markets (Mainland China, India, Indonesia, Korea, Malaysia, Pakistan, the Philippines, Taiwan and Thailand).

Jul On 9 July, Xiaomi Corporation became the first company with weighted voting rights (WVR) structure to list in Hong Kong. HKEX introduced stock futures and stock options on its listing day.

On 18 July, CSOP Hong Kong Dollar Money Market ETF was the first Hong Kong dollar money market exchange traded fund (ETF) listed in Hong Kong.

Oct On 19 October, Qianhai Mercantile Exchange (QME), the HKEX's commodity spot trading platform in Shenzhen Qianhai in the Mainland, formally commenced business. The first product to trade on the exchange was alumina.

Nov On 5 November, HKEX introduced the following Total Return Index (TRI) Futures contracts to meet the trading and risk management needs of investors who need tools to replicate the return of HSI/HSCEI on a total return basis: HSI (Gross TRI) Futures; HSI (Net TRI) Futures; HSCEI (Gross TRI) Futures and HSCEI (Net TRI) Futures.

Dec On 7 December, Ping An Nasdaq 5HANDL ETF became the first multi-asset exchange-traded fund (ETF) listed in Hong Kong.

2019 May On 23 May, Hong Kong saw a concurrent bond offering to both retail and institutional investors. The bond was issued by Agricultural Development Bank of China with HKEX's Central Clearing and Settlement System (CCASS) as the only designated subscription channel for the retail tranche.

On 28 May, the first two-time Inverse Product — the CSOP Hang Seng Index Daily (-2x) Inverse Product — was listed on HKEX.

Jun On 17 June, HKEX extended its after-hours trading session (T+1 Session) by two hours till 3 a.m.

On 18 June, the first active Exchange Traded Fund (ETF) — ICBC CICC USD Money Market ETF — was listed on HKEX.

Jul On 18 July, HKEX launched a new product type being the first in Asia, Inline Warrants.

Aug On 5 August, HKEX introduced six US dollar-denominated London Metal Mini Futures contracts on aluminum, zinc, copper, nickel, tin and lead.

Sep On 16 September, HKEX introduced Weekly Hang Seng Index Options and Weekly Hang Seng China Enterprises Index Options contracts.

Oct On 8 October, the Closing Auction Session (CAS) for the HKEX securities market was expanded to cover all equities and funds. The list of CAS securities now covers all equities (including depository receipts, investment companies, preference shares and stapled securities) and funds including exchange-traded funds (ETFs) and real estate investment trusts (REITs).

Historical Events

Nov On 4 November, HKEX launched Indian Rupee (INR) Currency Futures. The two new contracts involved are the INR vs US Dollar (INR/USD) Futures and the INR vs Renminbi traded in Hong Kong (INR/CNH) Futures. The latter is the first of its kind globally.

On 26 November, Alibaba Group was listed on the Main Board of the SEHK, which marked the first secondary listing under the new Listing Regime (Listing Rules Chapter 19C). Stock futures and options on the shares of Alibaba Group Holding Limited were introduced on the same date.

2020 Mar On 27 March, the first iron ore futures exchange traded fund (ETF) on HKEX — SSIF DCE Iron Ore Futures Index ETF (3047/9047) — was listed.

May On 11 May, following a consultation in 2019, HKEX implemented the first phase enhancements of the Volatility Control Mechanism (VCM) in its securities market.

Jun On 8 June, HKEX introduced the physically settled USD and CNH Silver Futures.

Jul On 6 July, HKEX launched the first futures contracts as part of its new index licensing agreement with MSCI Inc.. These consisted of 10 MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as Australia, Mainland China, India, Indonesia, Japan, Malaysia, Taiwan and Thailand.

On 20 July, HKEX launched the second tranche of MSCI futures contracts. These consist of seven MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as Singapore, Vietnam, Hong Kong, Philippines, Indonesia and emerging markets.

On 27 July, the first Hong Kong-listed A-share Leveraged and Inverse Products (L&I Products) were listed.

Aug On 3 August, HKEX launched the third tranche of MSCI futures contracts. These consist of eight MSCI index futures contracts denominated in US dollars (USD) tracking underlying equities in markets such as India, China, Thailand, Malaysia, Philippines, Vietnam, Singapore and New Zealand.

On 17 August, HKEX launched eight more MSCI futures contracts. These consisted of MSCI index futures contracts denominated in US dollars (USD) tracking net total return in equities in emerging markets and pacific markets.

Sep On 28 September, HKEX launched two new MSCI Taiwan 25/50 index futures and three non-USD denominated MSCI futures contracts. This completed the current phase of the MSCI futures launch pursuant to HKEX's new index licensing agreement with MSCI Inc.

Oct On 15 October, Haitong MSCI China A ESG ETF authorised under collective investment scheme by the SFC commenced trading on the SEHK and admitted to the list of Designated Securities eligible for short selling. It was the first ESG China A-shares ETF to provide ESG-focused exposure to China's onshore equity market.

Historical Events

On 15 October, Samsung S&P High Dividend APAC ex NZ REITs ETF authorised by the SFC under collective investment scheme commenced trading on the Exchange. It was the first REITs ETF listed in Hong Kong.

On 19 October, following a consultation in 2019, HKEX launched a range of enhancements to the Pre-Opening Session (POS) in its securities market. The enhancements to POS involved adopting relevant auction features from the more-established Closing Auction Session (CAS), with the aim of further improving price discovery and trading liquidity.

On 20 October, Bond Connect Company Limited (BCCL) launched ePrime, an international electronic bond issuance system for book building, pricing, and allocation of offshore securities.

On 23 October, the first batch of two Exchange Traded Funds (ETFs) in Hong Kong and two ETFs in Shenzhen were listed under the Hong Kong-Mainland ETF Cross-listing Scheme, which facilitates cross-listing of ETFs between markets in Hong Kong and Mainland China.

Nov On 23 November, Hang Seng TECH Index Futures were launched on HKEX's derivatives market.

2021 Jan On 18 January, HKEX launched Hang Seng TECH Index Options.

Mar On 15 March, HKEX launched the two MSCI options contracts, MSCI China Free (USD) Index Options and MSCI Taiwan (USD) Index Options.

Apr On 26 April, HKEX launched a cash-settled Mini USD/CNH (US dollar/Offshore Renminbi (RMB)) Futures contract with a contract size of US$20,000.

Jun On 1 June, the first Exchange Traded Funds (ETFs) under the new Hong Kong-Mainland ETF Cross-Listing Scheme were listed in Hong Kong and Shanghai — CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF and Huatai-PineBridge CSOP Hang Seng TECH Index ETF on HKEX and the Shanghai Stock Exchange (SSE) respectively.

Aug On 23 August, HKEX introduced physically settled Options on Futures Contracts (OOF) on Hang Seng Index Futures and Hang Seng China Enterprises Index Futures.

Sep On 24 September, Southbound Trading under Bond Connect was officially launched. The total trading value on the first day was about RMB4 billion, with over 150 transactions.

Oct On 18 October, HKEX launched its first A-share derivatives product — the futures contract based on the MSCI China A 50 Connect Index.

Nov On 22 November, HKEX launched the two MSCI futures contracts, MSCI China (USD) Index Futures and MSCI China Net Total Return (USD) Index Futures.

List of listed companies on Main Board

End of 2021

Code	Company name	Incorporation	Listing date
03601	360 Ludashi Holdings Ltd.	Cayman Islands	2019/10/10
01361	361 Degrees International Ltd.	Cayman Islands	2009/06/30
01530	3SBio Inc.	Cayman Islands	2015/06/11
02051	51 Credit Card Inc.	Cayman Islands	2018/07/13
00797	7Road Holdings Ltd.	Cayman Islands	2018/07/18
01737	A & S Group (Holdings) Ltd.	Cayman Islands	2018/03/14
01841	A.Plus Group Holdings Ltd.	Cayman Islands	2016/04/19
00800	A8 New Media Group Ltd.	Cayman Islands	2008/06/12
02018	AAC Technologies Holdings Inc.	Cayman Islands	2005/08/09
02686	AAG Energy Holdings Ltd.	Cayman Islands	2015/06/23
01615	AB Builders Group Ltd.	Cayman Islands	2018/09/10
02256	Abbisko Cayman Ltd. – B	Cayman Islands	2021/10/13
01627	Able Engineering Holdings Ltd.	Cayman Islands	2017/02/20
01283	Accel Group Holdings Ltd.	Cayman Islands	2019/10/18
00474	Aceso Life Science Group Ltd.	Cayman Islands	2006/06/06
01870	Acme International Holdings Ltd.	Cayman Islands	2019/11/08
06669	Acotec Scientific Holdings Ltd. – B	Cayman Islands	2021/08/24
09919	Activation Group Holdings Ltd.	Cayman Islands	2020/01/16
02623	Add New Energy Investment Holdings Group Ltd.	Cayman Islands	2012/04/27
01163	Adtiger Corporations Ltd.	Cayman Islands	2020/07/10
00900	Aeon Credit Service (Asia) Co. Ltd.	Hong Kong	1995/09/14
00984	AEON Stores (Hong Kong) Co., Ltd.	Hong Kong	1994/02/04
01757	Affluent Foundation Holdings Ltd.	Cayman Islands	2018/06/07
01466	Affluent Partners Holdings Ltd.	Cayman Islands	2014/10/17
03383	Agile Group Holdings Ltd.	Cayman Islands	2005/12/15
01288	Agricultural Bank of China Ltd. – H Shares	PRC	2010/07/16
01131	Agritrade Resources Ltd.	Bermuda	1997/03/19
01299	AIA Group Ltd.	Hong Kong	2010/10/29
00286	Aidigong Maternal & Child Health Ltd.	Bermuda	1990/09/07
00753	Air China Ltd. – H Shares	PRC	2004/12/15
00538	Ajisen (China) Holdings Ltd.	Cayman Islands	2007/03/30
01789	AK Medical Holdings Ltd.	Cayman Islands	2017/12/20
09926	Akeso, Inc. – B	Cayman Islands	2020/04/24
01639	AKM Industrial Co. Ltd.	Hong Kong	2004/08/18
00328	Alco Holdings Ltd.	Bermuda	1992/11/27
09988	Alibaba Group Holding Ltd. – SW	Cayman Islands	2019/11/26
00241	Alibaba Health Information Technology Ltd.	Bermuda	1972/07/06
01060	Alibaba Pictures Group Ltd.	Bermuda	1994/05/12
03319	A-Living Smart City Services Co., Ltd. – H Shares	PRC	2018/02/09
00684	Allan International Holdings Ltd.	Bermuda	1992/11/10
00373	Allied Group Ltd.	Hong Kong	1973/01/12
00833	Alltronics Holdings Ltd.	Cayman Islands	2005/07/15
00948	Alpha Professional Holdings Ltd.	Bermuda	2010/03/01
09966	Alphamab Oncology – B	Cayman Islands	2019/12/12
02600	Aluminum Corporation of China Ltd. – H Shares	PRC	2001/12/12
01849	AM Group Holdings Ltd.	Cayman Islands	2019/06/26

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Code	Company name	Incorporation	Listing date
00033	Amber Hill Financial Holdings Ltd.	Cayman Islands	2007/11/19
00630	AMCO United Holding Ltd.	Bermuda	1996/11/28
00077	AMS Public Transport Holdings Ltd.	Cayman Islands	2004/04/15
01977	Analogue Holdings Ltd.	Bermuda	2019/07/12
01592	Anchorstone Holdings Ltd.	Cayman Islands	2018/07/04
09956	ANE (Cayman) Inc.	Cayman Islands	2021/11/11
00347	Angang Steel Co. Ltd. – H Shares	PRC	1997/07/24
06699	Angelalign Technology Inc.	Cayman Islands	2021/06/16
00914	Anhui Conch Cement Co. Ltd. – H Shares	PRC	1997/10/21
00995	Anhui Expressway Co. Ltd. – H Shares	PRC	1996/11/13
02020	ANTA Sports Products Ltd.	Cayman Islands	2007/07/10
06996	Antengene Corporation Ltd. – B	Cayman Islands	2020/11/20
03337	Anton Oilfield Services Group	Cayman Islands	2007/12/14
00922	Anxian Yuan China Holdings Ltd.	Bermuda	1999/12/21
01370	Aowei Holding Ltd.	Cayman Islands	2013/11/28
03662	Aoyuan Healthy Life Group Co. Ltd.	Cayman Islands	2019/03/18
01496	AP Rentals Holdings Ltd.	Cayman Islands	2016/04/08
01104	APAC Resources Ltd.	Bermuda	1998/12/29
06036	Apex Ace Holding Ltd.	Cayman Islands	2018/03/16
00860	Apollo Future Mobility Group Ltd.	Cayman Islands	2002/09/03
00519	Applied Development Holdings Ltd.	Bermuda	1986/03/24
01045	APT Satellite Holdings Ltd.	Bermuda	1996/12/18
09990	Archosaur Games Inc.	Cayman Islands	2020/07/15
00645	Ares Asia Ltd.	Bermuda	1993/12/22
00565	Art Group Holdings Ltd.	Cayman Islands	2003/09/10
00279	Arta TechFin Corporation Ltd.	Cayman Islands	1988/05/11
03313	ArtGo Holdings Ltd.	Cayman Islands	2013/12/30
00789	Artini Holdings Ltd.	Bermuda	2008/05/16
01120	Arts Optical International Holdings Ltd.	Bermuda	1996/11/08
06855	Ascentage Pharma Group International – B	Cayman Islands	2019/10/28
01672	Ascletis Pharma Inc. – B	Cayman Islands	2018/08/01
00711	Asia Allied Infrastructure Holdings Ltd.	Bermuda	1993/02/12
00841	Asia Cassava Resources Holdings Ltd.	Cayman Islands	2009/03/23
00743	Asia Cement (China) Holdings Corporation	Cayman Islands	2008/05/20
00104	Asia Commercial Holdings Ltd.	Bermuda	1987/07/29
00351	Asia Energy Logistics Group Ltd.	Hong Kong	1992/08/18
00662	Asia Financial Holdings Ltd.	Bermuda	1990/12/18
00214	Asia Orient Holdings Ltd.	Bermuda	1986/01/06
00899	Asia Resources Holdings Ltd.	Bermuda	1997/10/24
00292	Asia Standard Hotel Group Ltd.	Bermuda	1988/09/22
00129	Asia Standard International Group Ltd.	Bermuda	1973/01/24
00679	Asia Tele-Net And Technology Corporation Ltd.	Bermuda	1991/01/31
00707	Asia Television Holdings Ltd.	Cayman Islands	2006/03/30
01675	AsiaInfo Technologies Ltd.	British Virgin Islands	2018/12/19
00073	Asian Citrus Holdings Ltd.	Bermuda	2009/11/26
01993	Asiaray Media Group Ltd.	Cayman Islands	2015/01/15

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00271	Asiasec Properties Ltd.	Hong Kong	1973/02/28
00522	ASM Pacific Technology Ltd.	Cayman Islands	1989/01/03
00105	Associated International Hotels Ltd.	Hong Kong	1985/10/24
06821	Asymchem Laboratories (Tianjin) Co., Ltd. – H Shares	PRC	2021/12/10
01717	Ausnutria Dairy Corporation Ltd.	Cayman Islands	2009/10/08
06118	Austar Lifesciences Ltd.	Cayman Islands	2014/11/07
02031	Ausupreme International Holdings Ltd.	Cayman Islands	2016/09/12
00720	Auto Italia Holdings Ltd.	Bermuda	1991/07/16
02518	Autohome Inc. – S	Cayman Islands	2021/03/15
00771	Automated Systems Holdings Ltd.	Bermuda	1997/11/05
02080	AUX International Holdings Ltd.	Cayman Islands	2014/01/23
00595	AV Concept Holdings Ltd.	Cayman Islands	1996/04/24
00260	AVIC Joy Holdings (HK) Ltd.	Hong Kong	n.a.
02357	AviChina Industry & Technology Co. Ltd. – H Shares	PRC	2003/10/30
01780	B & D Strategic Holdings Ltd.	Cayman Islands	2019/04/30
01705	B & S International Holdings Ltd.	Cayman Islands	2018/03/14
01761	BabyTree Group	Cayman Islands	2018/11/27
01397	Baguio Green Group Ltd.	Cayman Islands	2014/05/22
01958	BAIC Motor Corporation Ltd. – H Shares	PRC	2014/12/19
09888	Baidu, Inc. – SW	Cayman Islands	2021/03/23
02100	BAIOO Family Interactive Ltd.	Cayman Islands	2014/04/10
06608	Bairong Inc. – W	Cayman Islands	2021/03/31
02293	Bamboos Health Care Holdings Ltd.	Cayman Islands	2014/07/08
00030	Ban Loong Holdings Ltd.	Bermuda	1991/10/09
03988	Bank of China Ltd. – H Shares	PRC	2006/06/01
01963	Bank of Chongqing Co., Ltd. – H Shares	PRC	2013/11/06
03328	Bank of Communications Co., Ltd. – H Shares	PRC	2005/06/23
00023	Bank of East Asia, Ltd., The	Hong Kong	n.a.
02139	Bank of Gansu Co., Ltd. – H Shares	PRC	2018/01/18
06199	Bank of Guizhou Co., Ltd. – H Shares	PRC	2019/12/30
00416	Bank of Jinzhou Co., Ltd. – H Shares	PRC	2015/12/07
06190	Bank of Jiujiang Co., Ltd. – H Shares	PRC	2018/07/10
03866	Bank of Qingdao Co., Ltd. – H Shares	PRC	2015/12/03
01578	Bank of Tianjin Co., Ltd. – H Shares	PRC	2016/03/30
06196	Bank of Zhengzhou Co., Ltd. – H Shares	PRC	2015/12/23
02355	Baoye Group Co. Ltd. – H Shares	PRC	2003/06/30
09991	Baozun Inc. – SW	Cayman Islands	2020/09/29
00483	Bauhaus International (Holdings) Ltd.	Cayman Islands	2005/05/12
01338	BaWang International (Group) Holding Ltd.	Cayman Islands	2009/07/03
01194	Bay Area Gold Group Ltd.	Bermuda	2004/10/12
02009	BBMG Corporation – H Shares	PRC	2009/07/29
00863	BC Technology Group Ltd.	Cayman Islands	2012/04/27
00706	Beautiful China Holdings Co. Ltd.	Bermuda	1998/07/22
06160	BeiGene, Ltd.	Cayman Islands	2018/08/08
02251	Beijing Airdoc Technology Co., Ltd. – B – H Shares	PRC	2021/11/05
01329	Beijing Capital Grand Ltd.	Cayman Islands	2012/04/02

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Code	Company name	Incorporation	Listing date
00694	Beijing Capital International Airport Co. Ltd. – H Shares	PRC	2000/02/01
02210	Beijing Capital Jiaye Property Services Co., Ltd. – H Shares	PRC	2021/11/10
01858	Beijing Chunlizhengda Medical Instruments Co., Ltd. – H Shs	PRC	2015/03/11
06188	Beijing Digital Telecom Co., Ltd. – H Shares	PRC	2014/07/08
00686	Beijing Energy International Holding Co., Ltd.	Bermuda	2000/04/13
01250	Beijing Enterprises Clean Energy Group Ltd.	Cayman Islands	2013/07/05
00154	Beijing Enterprises Environment Group Ltd.	Hong Kong	n.a.
00392	Beijing Enterprises Holdings Ltd.	Hong Kong	1997/05/29
03718	Beijing Enterprises Urban Resources Group Ltd.	Cayman Islands	2020/01/15
00371	Beijing Enterprises Water Group Ltd.	Bermuda	1993/04/19
06828	Beijing Gas Blue Sky Holdings Ltd.	Bermuda	2011/07/12
02389	Beijing Health (Holdings) Ltd.	Cayman Islands	2002/04/26
00187	Beijing Jingcheng Machinery Electric Co. Ltd. – H Shares	PRC	1993/08/06
00814	Beijing Jingkelong Co. Ltd. – H Shares	PRC	2008/02/26
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	PRC	2011/12/22
01000	Beijing Media Corporation Ltd. – H Shares	PRC	2004/12/22
00588	Beijing North Star Co. Ltd. – H Shares	PRC	1997/05/14
00925	Beijing Properties (Holdings) Ltd.	Bermuda	1998/01/15
01803	Beijing Sports and Entertainment Industry Group Ltd.	Cayman Islands	2012/01/16
03613	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	Hong Kong	2013/05/07
01599	Beijing Urban Construction Design & Dev. Group Co., Ltd-H Sh	PRC	2014/07/08
02339	BeijingWest Industries International Ltd.	Cayman Islands	2003/10/10
01987	Beng Soon Machinery Holdings Ltd.	Cayman Islands	2019/11/08
01488	Best Food Holding Co. Ltd.	Cayman Islands	2011/06/27
02360	Best Mart 360 Holdings Ltd.	Cayman Islands	2019/01/11
02111	Best Pacific International Holdings Ltd.	Cayman Islands	2014/05/23
00926	Besunyen Holdings Co. Ltd.	Cayman Islands	2010/09/29
06909	BetterLife Holding Ltd.	Cayman Islands	2021/07/15
01775	BExcellent Group Holdings Ltd.	Cayman Islands	2018/07/13
01693	BGMC International Ltd.	Cayman Islands	2017/08/09
01552	BHCC Holding Ltd.	Cayman Islands	2017/09/12
01522	BII Railway Transportation Technology Holdings Co. Ltd.	Cayman Islands	2012/05/16
09626	Bilibili Inc. – SW	Cayman Islands	2021/03/29
02299	Billion Industrial Holdings Ltd.	Cayman Islands	2011/05/18
02886	Binhai Investment Co. Ltd.	Bermuda	2000/03/16
03316	Binjiang Service Group Co. Ltd.	Cayman Islands	2019/03/15
02309	Birmingham Sports Holdings Ltd.	Cayman Islands	2002/11/12
00888	Bison Finance Group Ltd.	Bermuda	2001/06/28
06993	Blue Moon Group Holdings Ltd.	Cayman Islands	2020/12/16
00498	Blue River Holdings Ltd.	Bermuda	1993/09/21
02588	BOC Aviation Ltd.	Singapore	2016/06/01
02388	BOC Hong Kong (Holdings) Ltd.	Hong Kong	2002/07/25
03329	BOCOM International Holdings Co. Ltd.	Hong Kong	2017/05/19
00710	BOE Varitronix Ltd.	Bermuda	1991/07/01
01685	Boer Power Holdings Ltd.	Cayman Islands	2010/10/20
01246	Boill Healthcare Holdings Ltd.	Cayman Islands	2013/10/16

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01758	Bojun Education Co. Ltd.	Cayman Islands	2018/07/31
00653	Bonjour Holdings Ltd.	Cayman Islands	2003/07/16
01906	Bonny International Holding Ltd.	Cayman Islands	2019/04/26
03998	Bosideng International Holdings Ltd.	Cayman Islands	2007/10/11
00592	Bossini International Holdings Ltd.	Bermuda	1993/11/02
00434	Boyaa Interactive International Ltd.	Cayman Islands	2013/11/12
02203	Brainhole Technology Ltd.	Cayman Islands	2015/10/09
01351	Bright Future Technology Holdings Ltd.	Cayman Islands	2020/11/11
01428	Bright Smart Securities & Commodities Group Ltd.	Cayman Islands	2010/08/25
02137	Brii Biosciences Ltd. – B	Cayman Islands	2021/07/13
01114	Brilliance China Automotive Holdings Ltd.	Bermuda	1999/10/22
00159	Brockman Mining Ltd.	Bermuda	1985/07/05
02216	Broncus Holding Corporation – B	Cayman Islands	2021/09/24
01876	Budweiser Brewing Co. APAC Ltd.	Cayman Islands	2019/09/30
00240	Build King Holdings Ltd.	Bermuda	n.a.
01211	BYD Co. Ltd. – H Shares	PRC	2002/07/31
00285	BYD Electronic (International) Co. Ltd.	Hong Kong	2007/12/20
01224	C C Land Holdings Ltd.	Bermuda	1999/04/30
01486	C Cheng Holdings Ltd.	Cayman Islands	2013/12/20
01908	C&D International Investment Group Ltd.	Cayman Islands	2012/12/14
02156	C&D Property Management Group Co., Ltd	British Virgin Islands	2020/12/31
00043	C. P. Pokphand Co. Ltd.	Bermuda	1988/04/28
01028	C.banner International Holdings Ltd.	Bermuda	2011/09/23
01566	CA Cultural Technology Group Ltd.	Cayman Islands	2015/03/12
02112	CAA Resources Ltd.	Cayman Islands	2013/07/03
02030	Cabbeen Fashion Ltd.	Cayman Islands	2013/10/28
00341	Cafe de Coral Holdings Ltd.	Bermuda	1986/07/16
01228	CANbridge Pharmaceuticals Inc. – B	Cayman Islands	2021/12/10
02169	Canggang Railway Ltd.	Cayman Islands	2020/10/23
06185	CanSino Biologics Inc. – B – H Shares	PRC	2019/03/28
01381	Canvest Environmental Protection Group Co. Ltd.	Cayman Islands	2014/12/29
01075	Capinfo Co. Ltd. – H Shares	PRC	2001/12/21
03989	Capital Environment Holdings Ltd.	Cayman Islands	2006/07/13
00193	Capital Estate Ltd.	Hong Kong	1972/09/11
02324	Capital VC Ltd.	Cayman Islands	2003/10/27
00996	Carnival Group International Holdings Ltd.	Bermuda	1994/02/17
00837	Carpenter Tan Holdings Ltd.	Cayman Islands	2009/12/29
00126	Carrianna Group Holdings Co. Ltd.	Bermuda	1991/11/01
00643	Carry Wealth Holdings Ltd.	Bermuda	2000/03/13
02171	CARsgen Therapeutics Holdings Ltd. – B	Cayman Islands	2021/06/18
02223	Casablanca Group Ltd.	Cayman Islands	2012/11/23
00510	CASH Financial Services Group Ltd.	Bermuda	2008/03/03
01981	Cathay Media and Education Group Inc.	Cayman Islands	2020/07/15
00293	Cathay Pacific Airways Ltd.	Hong Kong	1986/05/15
00145	CCIAM Future Energy Ltd.	Hong Kong	1972/07/01
02176	CCID Consulting Co. Ltd. – H Shares	PRC	2002/12/12

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00138	CCT Fortis Holdings Ltd.	Bermuda	1991/11/11
00759	CEC International Holdings Ltd.	Bermuda	1999/11/15
02228	CECEP COSTIN New Materials Group Ltd.	Cayman Islands	2010/06/21
01520	CEFC Hong Kong Financial Investment Co. Ltd.	Cayman Islands	2013/12/03
01049	Celestial Asia Securities Holdings Ltd.	Bermuda	1994/04/27
01959	Centenary United Holdings Ltd.	Cayman Islands	2019/10/18
09982	Central China Management Co. Ltd.	Cayman Islands	2021/05/31
09983	Central China New Life Ltd.	Cayman Islands	2020/05/15
00832	Central China Real Estate Ltd.	Cayman Islands	2008/06/06
01375	Central China Securities Co., Ltd. – H Shares	PRC	2014/06/25
00475	Central Development Holdings Ltd.	Cayman Islands	2007/04/17
01735	Central Holding Group Co. Ltd.	Cayman Islands	2018/03/29
00139	Central Wealth Group Holdings Ltd.	Bermuda	1994/03/15
06090	Centurion Corporation Ltd.	Singapore	2017/12/12
00355	Century City International Holdings Ltd.	Bermuda	1981/09/07
00959	Century Entertainment International Holdings Ltd.	Bermuda	1997/10/03
00162	Century Ginwa Retail Holdings Ltd.	Bermuda	2000/10/23
02113	Century Group International Holdings Ltd.	Cayman Islands	2016/10/17
00079	Century Legend (Holdings) Ltd.	Bermuda	1993/07/08
01450	Century Sage Scientific Holdings Ltd.	Cayman Islands	2014/07/07
00509	Century Sunshine Group Holdings Ltd.	Cayman Islands	2004/02/17
01164	CGN Mining Co. Ltd.	Cayman Islands	2003/08/04
01811	CGN New Energy Holdings Co., Ltd.	Bermuda	2014/10/03
01816	CGN Power Co., Ltd. – H Shares	PRC	2014/12/10
01629	Champion Alliance International Holdings Ltd.	Cayman Islands	2016/11/25
00092	Champion Technology Holdings Ltd.	Bermuda	1992/08/19
01292	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	PRC	2006/02/23
03991	Changhong Jiahua Holdings Ltd.	Bermuda	2000/01/24
00954	Changmao Biochemical Engineering Co. Ltd. – H Shares	PRC	2002/06/28
02163	Changsha Broad Homes Industrial Group Co., Ltd. – H Shares	PRC	2019/11/06
01039	Changyou Alliance Group Ltd.	Cayman Islands	2010/09/24
02017	Chanhigh Holdings Ltd.	Cayman Islands	2017/03/31
01588	Chanjet Information Technology Co. Ltd. – H Shares	PRC	2014/06/26
02115	Channel Micron Holdings Co. Ltd.	Cayman Islands	2020/10/15
00682	Chaoda Modern Agriculture (Holdings) Ltd.	Cayman Islands	2000/12/15
02219	Chaoju Eye Care Holdings Ltd.	Cayman Islands	2021/07/07
00951	Chaowei Power Holdings Ltd.	Cayman Islands	2010/07/07
02289	Charmacy Pharmaceutical Co., Ltd. – H Shares	PRC	2015/12/14
06601	Cheerwin Group Ltd.	Cayman Islands	2021/03/10
00057	Chen Hsong Holdings Ltd.	Bermuda	1991/10/22
01593	Chen Lin Education Group Holdings Ltd.	Cayman Islands	2019/12/13
02286	Chen Xing Development Holdings Ltd.	Cayman Islands	2015/07/03
01785	Chengdu Expressway Co., Ltd. – H Shares	PRC	2019/01/15
01202	Chengdu PUTIAN Telecommunications Cable Co. Ltd. – H Shares	PRC	1994/12/13
02285	Chervon Holdings Ltd.	Hong Kong	2021/12/30
01490	Cheshi Technology Inc.	Cayman Islands	2021/01/15

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Code	Company name	Incorporation	Listing date
00131	Cheuk Nang (Holdings) Ltd.	Hong Kong	n.a.
00025	Chevalier International Holdings Ltd.	Bermuda	1984/12/05
09913	Chi Kan Holdings Ltd.	Cayman Islands	2020/08/14
03839	Chia Tai Enterprises International Ltd.	Bermuda	2015/07/03
00449	Chigo Holding Ltd.	Cayman Islands	2009/07/13
00976	Chiho Environmental Group Ltd.	Cayman Islands	2010/07/12
01598	China 21st Century Education Group Ltd.	Cayman Islands	2018/05/29
00031	China Aerospace International Holdings Ltd.	Hong Kong	1981/08/25
00149	China Agri-Products Exchange Ltd.	Bermuda	1973/03/12
01848	China Aircraft Leasing Group Holdings Ltd.	Cayman Islands	2014/07/11
00633	China All Access (Holdings) Ltd.	Cayman Islands	2009/09/16
06898	China Aluminum Cans Holdings Ltd.	Cayman Islands	2013/07/12
02068	China Aluminum International Engineering Corp. Ltd.-H shares	PRC	2012/07/06
03883	China Aoyuan Group Ltd.	Cayman Islands	2007/10/09
02011	China Apex Group Ltd.	Cayman Islands	2011/01/12
01572	China Art Financial Holdings Ltd.	Cayman Islands	2016/11/08
00063	China Asia Valley Group Ltd.	Bermuda	1986/02/26
00526	China Automobile New Retail (Holdings) Ltd.	Bermuda	1995/10/11
00048	China Automotive Interior Decoration Holdings Ltd.	Cayman Islands	2010/09/29
00164	China Baoli Technologies Holdings Ltd.	Bermuda	1973/01/26
00039	China Beidahuang Industry Group Holdings Ltd.	Cayman Islands	2001/01/16
00370	China Best Group Holding Ltd.	Bermuda	1996/03/26
03978	China Beststudy Education Group	Cayman Islands	2018/12/27
03983	China BlueChemical Ltd. – H Shares	PRC	2006/09/29
09668	China Bohai Bank Co., Ltd. – H Shares	PRC	2020/07/16
02377	China Boqi Environmental (Holding) Co., Ltd.	Cayman Islands	2018/03/16
03318	China Boton Group Co. Ltd.	Cayman Islands	2005/12/09
01069	China Bozza Development Holdings Ltd.	Cayman Islands	2009/10/08
01859	China Bright Culture Group	Cayman Islands	2020/03/13
01372	China Carbon Neutral Development Group Ltd.	Cayman Islands	2013/12/11
00217	China Chengtong Development Group Ltd.	Hong Kong	n.a.
01969	China Chunlai Education Group Co., Ltd.	Cayman Islands	2018/09/13
01359	China Cinda Asset Management Co., Ltd. – H Shares	PRC	2013/12/12
00998	China CITIC Bank Corporation Ltd. – H Shares	PRC	2007/04/27
02349	China City Infrastructure Group Ltd.	Cayman Islands	2003/06/25
02379	China Clean Energy Technology Group Ltd.	Cayman Islands	2004/09/22
01898	China Coal Energy Co. Ltd. – H Shares	PRC	2006/12/19
01800	China Communications Construction Co. Ltd. – H Shares	PRC	2006/12/15
00552	China Communications Services Corporation Ltd. – H Shares	PRC	2006/12/08
00586	China Conch Venture Holdings Ltd.	Cayman Islands	2013/12/19
00939	China Construction Bank Corporation – H Shares	PRC	2005/10/27
01678	China Creative Global Holdings Ltd.	Cayman Islands	2013/12/20
01798	China Datang Corporation Renewable Power Co., Ltd. -H Shares	PRC	2010/12/17
00661	China Daye Non-Ferrous Metals Mining Ltd.	Bermuda	1990/11/21
01606	China Development Bank Financial Leasing Co., Ltd. – H Shs	PRC	2016/07/11
01062	China Development Bank International Investment Ltd.	Cayman Islands	1994/05/10

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01387	China Dili Group	Cayman Islands	2008/10/22
00334	China Display Optoelectronics Technology Holdings Ltd.	Bermuda	1997/06/18
03818	China Dongxiang (Group) Co., Ltd.	Cayman Islands	2007/10/10
00871	China Dredging Environment Protection Holdings Ltd.	Cayman Islands	2011/06/20
00667	China East Education Holdings Ltd.	Cayman Islands	2019/06/12
00670	China Eastern Airlines Corporation Ltd. – H Shares	PRC	1997/02/05
01371	China Ecotourism Group Ltd.	Bermuda	2001/10/26
00839	China Education Group Holdings Ltd.	Cayman Islands	2017/12/15
00085	China Electronics Huada Technology Co. Ltd.	Bermuda	1997/07/25
00798	China Electronics Optics Valley Union Holding Co. Ltd.	Cayman Islands	2014/03/28
01185	China Energine International (Holdings) Ltd.	Cayman Islands	1997/08/11
00228	China Energy Development Holdings Ltd.	Cayman Islands	2002/02/18
03996	China Energy Engineering Corporation Ltd. – H Shares	PRC	2015/12/10
00986	China Environmental Energy Investment Ltd.	Bermuda	1994/02/02
01130	China Environmental Resources Group Ltd.	Cayman Islands	1997/06/03
01237	China Environmental Technology and Bioenergy Holdings Ltd.	Cayman Islands	2012/07/06
00646	China Environmental Technology Holdings Ltd.	Cayman Islands	2002/03/28
00379	China Ever Grand Financial Leasing Group Co., Ltd.	Cayman Islands	2002/11/13
06818	China Everbright Bank Co. Ltd. – H Shares	PRC	2013/12/20
00257	China Everbright Environment Group Ltd.	Hong Kong	n.a.
01257	China Everbright Greentech Ltd.	Cayman Islands	2017/05/08
00165	China Everbright Ltd.	Hong Kong	1973/02/26
01857	China Everbright Water Ltd.	Bermuda	2019/05/08
03333	China Evergrande Group	Cayman Islands	2009/11/05
00708	China Evergrande New Energy Vehicle Group Ltd.	Hong Kong	2008/02/12
00802	China e-Wallet Payment Group Ltd.	Bermuda	2009/02/10
06186	China Feihe Ltd.	Cayman Islands	2019/11/13
00875	China Finance Investment Holdings Ltd.	Bermuda	1998/07/03
00721	China Financial International Investments Ltd.	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Cayman Islands	2002/10/28
00605	China Financial Services Holdings Ltd.	Hong Kong	1993/04/07
01269	China First Capital Group Ltd.	Cayman Islands	2011/11/23
00506	China Foods Ltd.	Bermuda	1988/10/07
02399	China Fordoo Holdings Ltd.	Cayman Islands	2014/07/16
00290	China Fortune Financial Group Ltd.	Cayman Islands	2001/05/03
00110	China Fortune Holdings Ltd.	Bermuda	2004/01/26
06881	China Galaxy Securities Co., Ltd. – H Shares	PRC	2013/05/22
00384	China Gas Holdings Ltd.	Bermuda	1995/10/20
01940	China Gas Industry Investment Holdings Co. Ltd.	Cayman Islands	2020/12/29
01191	China Gem Holdings Ltd.	Bermuda	1994/10/26
02175	China General Education Group Ltd.	Cayman Islands	2021/07/16
01851	China Gingko Education Group Co. Ltd.	Cayman Islands	2019/01/18
03300	China Glass Holdings Ltd.	Bermuda	2005/06/23
02099	China Gold International Resources Corp. Ltd.	Canada	2010/12/01
00904	China Green (Holdings) Ltd.	Bermuda	2004/01/13
06183	China Greenfresh Group Co., Ltd.	Cayman Islands	2015/06/18

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01253	China Greenland Broad Greenstate Group Co. Ltd.	Cayman Islands	2014/07/21
00359	China Haisheng Juice Holdings Co., Ltd.	Cayman Islands	2005/11/04
03788	China Hanking Holdings Ltd.	Cayman Islands	2011/09/30
03836	China Harmony Auto Holding Ltd.	Cayman Islands	2013/06/13
00673	China Health Group Ltd.	Bermuda	1996/10/14
00348	China Healthwise Holdings Ltd.	Cayman Islands	1997/09/30
00591	China High Precision Automation Group Ltd.	Cayman Islands	2009/11/13
00658	China High Speed Transmission Equipment Group Co., Ltd.	Cayman Islands	2007/07/04
01378	China Hongqiao Group Ltd.	Cayman Islands	2011/03/24
00377	China Huajun Group Ltd.	Bermuda	1993/04/19
02799	China Huarong Asset Management Co., Ltd. – H Shares	PRC	2015/10/30
01101	China Huarong Energy Co. Ltd.	Cayman Islands	2010/11/19
01290	China Huirong Financial Holdings Ltd.	Cayman Islands	2013/10/28
06058	China Industrial Securities Int'l Financial Group Ltd.	Cayman Islands	2016/10/20
01719	China Infrastructure & Logistics Group Ltd.	Cayman Islands	2005/09/16
00600	China Infrastructure Investment Ltd.	Cayman Islands	1993/10/05
01217	China Innovation Investment Ltd.	Cayman Islands	2002/08/28
03908	China International Capital Corporation Ltd. – H Shares	PRC	2015/11/09
00264	China International Development Corporation Ltd.	Cayman Islands	2003/03/12
00810	China Internet Investment Finance Holdings Ltd.	Bermuda	2002/02/28
02039	China Int'l Marine Containers (Group) Co., Ltd. – H Shares	PRC	2012/12/19
01226	China Investment and Finance Group Ltd.	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Bermuda	2000/12/12
00612	China Investment Fund Co. Ltd.	Cayman Islands	2002/01/02
00132	China Investments Holdings Ltd.	Bermuda	1973/05/18
01763	China Isotope & Radiation Corporation – H Shares	PRC	2018/07/06
01900	China ITS (Holdings) Co., Ltd.	Cayman Islands	2010/07/15
01027	China Jicheng Holdings Ltd.	Cayman Islands	2015/02/13
00817	China Jinmao Holdings Group Ltd.	Hong Kong	2007/08/17
00834	China Kangda Food Co. Ltd.	Bermuda	2008/12/22
01890	China Kepei Education Group Ltd.	Cayman Islands	2019/01/25
01380	China Kingstone Mining Holdings Ltd.	Bermuda	2011/03/18
01586	China Leon Inspection Holding Ltd.	Cayman Islands	2016/07/12
02128	China Lesso Group Holdings Ltd.	Cayman Islands	2010/06/23
02628	China Life Insurance Co. Ltd. – H Shares	PRC	2003/12/18
01234	China Lilang Ltd.	Cayman Islands	2009/09/25
00772	China Literature Ltd.	Cayman Islands	2017/11/08
00931	China LNG Group Ltd.	Cayman Islands	2001/10/24
01589	China Logistics Property Holdings Co., Ltd.	Cayman Islands	2016/07/15
01863	China Longevity Group Co. Ltd.	Cayman Islands	2010/04/29
00916	China Longyuan Power Group Corporation Ltd. – H Shares	PRC	2009/12/10
02023	China Ludao Technology Co. Ltd.	Cayman Islands	2013/10/11
00067	China Lumena New Materials Corp.	Cayman Islands	2009/06/16
01317	China Maple Leaf Educational Systems Ltd.	Cayman Islands	2014/11/28
00383	China Medical & HealthCare Group Ltd.	Bermuda	1991/08/01
00867	China Medical System Holdings Ltd.	Cayman Islands	2010/09/28

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Code	Company name	Incorporation	Listing date
01268	China MeiDong Auto Holdings Ltd.	Cayman Islands	2013/12/05
02319	China Mengniu Dairy Co. Ltd.	Cayman Islands	2004/06/10
03968	China Merchants Bank Co., Ltd. – H Shares	PRC	2006/09/22
00133	China Merchants China Direct Investments Ltd.	Hong Kong	1993/07/22
00978	China Merchants Land Ltd.	Cayman Islands	1997/10/16
00144	China Merchants Port Holdings Co. Ltd.	Hong Kong	1992/07/15
06099	China Merchants Securities Co., Ltd. – H Shares	PRC	2016/10/07
01636	China Metal Resources Utilization Ltd.	Cayman Islands	2014/02/21
01988	China Minsheng Banking Corp., Ltd. – H Shares	PRC	2009/11/26
00941	China Mobile Ltd.	Hong Kong	1997/10/23
01117	China Modern Dairy Holdings Ltd.	Cayman Islands	2010/11/26
03993	China Molybdenum Co., Ltd. – H Shares	PRC	2007/04/26
00026	China Motor Bus Co., Ltd.	Hong Kong	n.a.
03323	China National Building Material Co. Ltd. – H Shares	PRC	2006/03/23
00745	China National Culture Group Ltd.	Cayman Islands	2004/10/13
01597	China Nature Energy Technology Holdings Ltd.	Cayman Islands	2020/10/20
01321	China New City Commercial Development Ltd.	Cayman Islands	2014/07/10
00080	China New Economy Fund Ltd.	Cayman Islands	2011/01/06
01156	China New Energy Ltd.	Jersey	2020/07/15
02001	China New Higher Education Group Ltd.	Cayman Islands	2017/04/19
01278	China New Town Development Co. Ltd.	British Virgin Islands	2010/10/22
01258	China Nonferrous Mining Corporation Ltd.	Hong Kong	2012/06/29
01011	China NT Pharma Group Co. Ltd.	Cayman Islands	2011/04/20
00611	China Nuclear Energy Technology Corporation Ltd.	Bermuda	1993/02/12
00651	China Ocean Industry Group Ltd.	Bermuda	1998/07/23
00715	China Oceanwide Holdings Ltd.	Bermuda	1991/07/05
00603	China Oil And Gas Group Ltd.	Bermuda	1993/05/28
02883	China Oilfield Services Ltd. – H Shares	PRC	2002/11/20
00581	China Oriental Group Co. Ltd.	Bermuda	2004/03/02
01871	China Oriented International Holdings Ltd.	Cayman Islands	2019/10/24
01146	China Outfitters Holdings Ltd.	Cayman Islands	2011/12/09
00081	China Overseas Grand Oceans Group Ltd.	Hong Kong	1984/04/26
00688	China Overseas Land & Investment Ltd.	Hong Kong	1992/08/20
00464	China Overseas Nuoxin International Holdings Ltd.	Cayman Islands	2005/06/16
02669	China Overseas Property Holdings Ltd.	Cayman Islands	2015/10/23
02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	PRC	2009/12/23
01736	China Parenting Network Holdings Ltd.	Cayman Islands	2015/07/08
01532	China Partytime Culture Holdings Ltd.	Cayman Islands	2015/10/16
03348	China PengFei Group Ltd.	Cayman Islands	2019/11/15
00386	China Petroleum & Chemical Corporation – H Shares	PRC	2000/10/19
01345	China Pioneer Pharma Holdings Ltd.	Cayman Islands	2013/11/05
00380	China Pipe Group Ltd.	Bermuda	2000/12/21
02380	China Power International Development Ltd.	Hong Kong	2004/10/15
01838	China Properties Group Ltd.	Cayman Islands	2007/02/23
00736	China Properties Investment Holdings Ltd.	Bermuda	1992/09/30
01094	China Public Procurement Ltd.	Bermuda	2002/07/03

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Code	Company name	Incorporation	Listing date
01699	China Putian Food Holding Ltd.	Cayman Islands	2012/07/13
00866	China Qinfa Group Ltd.	Cayman Islands	2009/07/03
01186	China Railway Construction Corporation Ltd. – H Shares	PRC	2008/03/13
00390	China Railway Group Ltd. – H Shares	PRC	2007/12/07
03969	China Railway Signal & Communication Corporation Ltd. -H Shs	PRC	2015/08/07
00769	China Rare Earth Holdings Ltd.	Cayman Islands	1999/10/15
01508	China Reinsurance (Group) Corporation – H Shares	PRC	2015/10/26
01911	China Renaissance Holdings Ltd.	Cayman Islands	2018/09/27
00987	China Renewable Energy Investment Ltd.	Cayman Islands	1994/01/27
00269	China Resources and Transportation Group Ltd.	Cayman Islands	1973/03/19
00291	China Resources Beer (Holdings) Co. Ltd.	Hong Kong	n.a.
01313	China Resources Cement Holdings Ltd.	Cayman Islands	2009/10/06
01193	China Resources Gas Group Ltd.	Bermuda	1994/11/07
01109	China Resources Land Ltd.	Cayman Islands	1996/11/08
01515	China Resources Medical Holdings Co. Ltd.	Cayman Islands	2013/11/29
01209	China Resources Mixc Lifestyle Services Ltd.	Cayman Islands	2020/12/09
03320	China Resources Pharmaceutical Group Ltd.	Hong Kong	2016/10/28
00836	China Resources Power Holdings Co. Ltd.	Hong Kong	2003/11/12
01907	China Risun Group Ltd.	Cayman Islands	2019/03/15
03963	China Rongzhong Financial Holdings Co. Ltd.	Cayman Islands	2016/01/28
00527	China Ruifeng Renewable Energy Holdings Ltd.	Cayman Islands	2006/06/09
01365	China Rundong Auto Group Ltd.	Cayman Islands	2014/08/12
00153	China Saite Group Co. Ltd.	Cayman Islands	2013/11/01
00910	China Sandi Holdings Ltd.	Bermuda	1998/12/01
02198	China Sanjiang Fine Chemicals Co. Ltd.	Cayman Islands	2010/09/16
01966	China SCE Group Holdings Ltd.	Cayman Islands	2010/02/05
00412	China Shandong Hi-Speed Financial Group Ltd.	Bermuda	1992/04/15
00691	China Shanshui Cement Group Ltd.	Cayman Islands	2008/07/04
01676	China Shenghai Group Ltd.	Cayman Islands	2017/07/18
01432	China Shengmu Organic Milk Ltd.	Cayman Islands	2014/07/15
01088	China Shenhua Energy Co. Ltd. – H Shares	PRC	2005/06/15
02877	China Shineway Pharmaceutical Group Ltd.	Cayman Islands	2004/12/02
00750	China Shuifa Singyes Energy Holdings Ltd.	Bermuda	2009/01/13
00974	China Shun Ke Long Holdings Ltd.	Cayman Islands	2015/09/10
00815	China Silver Group Ltd.	Cayman Islands	2012/12/28
00515	China Silver Technology Holdings Ltd.	Cayman Islands	2006/06/23
00485	China Sinostar Group Co. Ltd.	Bermuda	1988/04/12
01004	China Smarter Energy Group Holdings Ltd.	Bermuda	1997/10/09
00155	China Solar Energy Holdings Ltd.	Bermuda	1988/09/05
01668	China South City Holdings Ltd.	Hong Kong	2009/09/30
01055	China Southern Airlines Co. Ltd. – H Shares	PRC	1997/07/31
00326	China Star Entertainment Ltd.	Bermuda	1992/11/12
03838	China Starch Holdings Ltd.	Cayman Islands	2007/09/27
00830	China State Construction Development Holdings Ltd.	Cayman Islands	2010/03/30
03311	China State Construction International Holdings Ltd.	Cayman Islands	2005/07/08
00235	China Strategic Holdings Ltd.	Hong Kong	1972/12/04

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
03623	China Success Finance Group Holdings Ltd.	Cayman Islands	2013/11/13
02002	China Sunshine Paper Holdings Co. Ltd.	Cayman Islands	2007/12/12
00956	China Suntien Green Energy Corporation Ltd. – H Shares	PRC	2010/10/13
03708	China Supply Chain Holdings Ltd.	Cayman Islands	2015/01/14
00966	China Taiping Insurance Holdings Co. Ltd.	Hong Kong	2000/06/29
00674	China Tangshang Holdings Ltd.	Bermuda	1991/01/25
00728	China Telecom Corporation Ltd. – H Shares	PRC	2002/11/15
00557	China Tian Yuan Healthcare Group Ltd.	Cayman Islands	1989/12/29
01427	China Tianbao Group Development Co. Ltd.	Cayman Islands	2019/11/11
06162	China Tianrui Automotive Interiors Co., Ltd.	Cayman Islands	2019/01/15
01252	China Tianrui Group Cement Co. Ltd.	Cayman Islands	2011/12/23
03398	China Ting Group Holdings Ltd.	Cayman Islands	2005/12/15
02188	China Titans Energy Technology Group Co., Ltd.	Cayman Islands	2010/05/28
06055	China Tobacco International (HK) Co. Ltd.	Hong Kong	2019/06/12
00952	China Tonghai International Financial Ltd.	Bermuda	1997/09/24
00389	China Tontine Wines Group Ltd.	Bermuda	2009/11/19
00788	China Tower Corporation Ltd. – H Shares	PRC	2018/08/08
00570	China Traditional Chinese Medicine Holdings Co. Ltd.	Hong Kong	1993/04/07
00308	China Travel International Investment Hong Kong Ltd.	Hong Kong	1992/11/11
00762	China Unicom (Hong Kong) Ltd.	Hong Kong	2000/06/22
02330	China Uptown Group Co. Ltd.	Cayman Islands	2003/01/29
06168	China U-Ton Future Space Industrial Group Holdings Ltd.	Cayman Islands	2012/06/12
00893	China Vanadium Titano-Magnetite Mining Co. Ltd.	Cayman Islands	2009/10/08
02202	China Vanke Co., Ltd. – H Shares	PRC	2014/06/25
06166	China VAST Industrial Urban Development Co. Ltd.	Cayman Islands	2014/08/25
00245	China Vered Financial Holding Corporation Ltd.	Hong Kong	1972/08/25
00648	China Wah Yan Healthcare Ltd.	Hong Kong	1990/10/29
06966	China Wan Tong Yuan (Holdings) Ltd.	Cayman Islands	2017/09/27
01854	China Wantian Holdings Ltd.	Cayman Islands	2016/10/13
00855	China Water Affairs Group Ltd.	Bermuda	1999/10/11
01129	China Water Industry Group Ltd.	Cayman Islands	2002/01/17
03778	China Weaving Materials Holdings Ltd.	Cayman Islands	2011/12/22
01822	China Wood International Holding Co., Ltd.	Cayman Islands	2009/12/16
01885	China Wood Optimization (Holding) Ltd.	Cayman Islands	2014/01/06
02779	China Xinhua Education Group Ltd.	Cayman Islands	2018/03/26
01866	China XLX Fertiliser Ltd.	Singapore	2009/12/08
03669	China Yongda Automobiles Services Holdings Ltd.	Cayman Islands	2012/07/12
09858	China Youran Dairy Group Ltd.	Cayman Islands	2021/06/18
06169	China YuHua Education Corporation Ltd.	Cayman Islands	2017/02/28
01068	China Yurun Food Group Ltd.	Bermuda	2005/10/03
01728	China ZhengTong Auto Services Holdings Ltd.	Cayman Islands	2010/12/10
02016	China Zheshang Bank Co., Ltd. – H Shares	PRC	2016/03/30
01333	China Zhongwang Holdings Ltd.	Cayman Islands	2009/05/08
01123	China-Hongkong Photo Products Holdings Ltd.	Bermuda	1994/09/19
00354	Chinasoft International Ltd.	Cayman Islands	2003/06/20
00127	Chinese Estates Holdings Ltd.	Bermuda	n.a.

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00681	Chinese People Holdings Co. Ltd.	Bermuda	1997/04/24
03728	Ching Lee Holdings Ltd.	Cayman Islands	2016/03/29
00997	Chinlink International Holdings Ltd.	Bermuda	2000/03/10
00385	Chinney Alliance Group Ltd.	Bermuda	1993/10/15
00216	Chinney Investments, Ltd.	Hong Kong	1973/01/31
01556	Chinney Kin Wing Holdings Ltd.	Bermuda	2015/11/11
00632	CHK Oil Ltd.	Bermuda	1993/04/30
01609	Chong Kin Group Holdings Ltd.	Cayman Islands	2016/10/17
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	PRC	1997/10/17
02722	Chongqing Machinery & Electric Co., Ltd. – H Shares	PRC	2008/06/13
03618	Chongqing Rural Commercial Bank Co., Ltd. – H Shares	PRC	2010/12/16
00116	Chow Sang Sang Holdings International Ltd.	Bermuda	1973/04/06
01929	Chow Tai Fook Jewellery Group Ltd.	Cayman Islands	2011/12/15
01210	Christine International Holdings Ltd.	Cayman Islands	2012/02/23
00641	CHTC Fong's International Co. Ltd.	Bermuda	1990/10/12
01938	Chu Kong Petroleum and Natural Gas Steel Pipe Holdings Ltd.	Cayman Islands	2010/02/10
00560	Chu Kong Shipping Enterprises (Group) Co., Ltd.	Hong Kong	1997/05/23
01420	Chuan Holdings Ltd.	Cayman Islands	2016/06/08
02371	Chuanglian Holdings Ltd.	Cayman Islands	2004/11/18
00298	Chuang's China Investments Ltd.	Bermuda	n.a.
00367	Chuang's Consortium International Ltd.	Bermuda	1987/01/16
01995	CIFI Ever Sunshine Services Group Ltd.	Cayman Islands	2018/12/17
00884	CIFI Holdings (Group) Co. Ltd.	Cayman Islands	2012/11/23
00479	CIL Holdings Ltd.	Bermuda	1993/07/21
03899	CIMC Enric Holdings Ltd.	Cayman Islands	2006/07/20
01839	CIMC Vehicles (Group) Co., Ltd. – H Shares	PRC	2019/07/11
00111	Cinda International Holdings Ltd.	Bermuda	2000/08/01
01620	Cinese International Group Holdings Ltd.	Cayman Islands	2018/06/28
01433	Cirtek Holdings Ltd.	Cayman Islands	2020/03/12
00267	CITIC Ltd.	Hong Kong	1986/02/26
01205	CITIC Resources Holdings Ltd.	Bermuda	1997/09/08
06030	CITIC Securities Co. Ltd. – H Shares	PRC	2011/10/06
01883	CITIC Telecom International Holdings Ltd.	Hong Kong	2007/04/03
00256	Citychamp Watch & Jewellery Group Ltd.	Cayman Islands	1991/12/10
01113	CK Asset Holdings Ltd.	Cayman Islands	2015/06/03
00001	CK Hutchison Holdings Ltd.	Cayman Islands	1972/11/01
01038	CK Infrastructure Holdings Ltd.	Bermuda	1996/07/17
00775	CK Life Sciences Int'l., (Holdings) Inc.	Cayman Islands	2002/07/16
03686	Clifford Modern Living Holdings Ltd.	Cayman Islands	2016/11/08
01463	C-Link Squared Ltd.	Cayman Islands	2020/03/27
09899	Cloud Village Inc.	Cayman Islands	2021/12/02
02197	Clover Biopharmaceuticals, Ltd. – B	Cayman Islands	2021/11/05
00002	CLP Holdings Ltd.	Hong Kong	n.a.
06877	CLSA Premium Ltd.	Cayman Islands	2013/07/03
01141	CMBC Capital Holdings Ltd.	Bermuda	1998/03/12
03309	C-MER Eye Care Holdings Ltd.	Cayman Islands	2018/01/15

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Code	Company name	Incorporation	Listing date
00302	CMGE Technology Group Ltd.	Cayman Islands	2019/10/31
00206	CMIC Ocean En-Tech Holding Co., Ltd.	Cayman Islands	2005/11/28
00471	CMMB Vision Holdings Ltd.	Cayman Islands	2005/10/10
01792	CMON Ltd.	Cayman Islands	2016/12/02
02130	CN Logistics International Holdings Ltd.	Cayman Islands	2020/10/15
02302	CNNC International Ltd.	Cayman Islands	2003/01/06
00883	CNOOC Ltd.	Hong Kong	2001/02/28
01240	CNQC International Holdings Ltd.	Cayman Islands	2012/10/18
00701	CNT Group Ltd.	Bermuda	1991/05/21
01124	Coastal Greenland Ltd.	Bermuda	1997/10/16
00428	Cocoon Holdings Ltd.	Bermuda	1993/12/14
01610	COFCO Joycome Foods Ltd.	Cayman Islands	2016/11/01
00400	Cogobuy Group	Cayman Islands	2014/07/18
01778	Colour Life Services Group Co., Ltd.	Cayman Islands	2014/06/30
02342	Comba Telecom Systems Holdings Ltd.	Cayman Islands	2003/07/15
00794	Come Sure Group (Holdings) Ltd.	Cayman Islands	2009/02/26
00046	Computer And Technologies Holdings Ltd.	Bermuda	1998/05/18
00320	Computime Group Ltd.	Cayman Islands	2006/10/09
00712	Comtec Solar Systems Group Ltd.	Cayman Islands	2009/10/30
00182	Concord New Energy Group Ltd.	Bermuda	1991/11/27
01967	Confidence Intelligence Holdings Ltd.	Cayman Islands	2019/10/18
01681	Consun Pharmaceutical Group Ltd.	Cayman Islands	2013/12/19
01912	Contel Technology Co. Ltd.	Cayman Islands	2019/07/16
00232	Continental Aerospace Technologies Holding Ltd.	Bermuda	1991/12/12
00513	Continental Holdings Ltd.	Hong Kong	1988/11/08
00831	Convenience Retail Asia Ltd.	Cayman Islands	2001/01/18
02369	Coolpad Group Ltd.	Cayman Islands	2004/12/09
00339	Core Economy Investment Group Ltd.	Bermuda	2000/07/26
02866	COSCO SHIPPING Development Co., Ltd. – H Shares	PRC	2004/06/16
01138	COSCO SHIPPING Energy Transportation Co., Ltd. – H Shares	PRC	1994/11/11
01919	COSCO SHIPPING Holdings Co., Ltd. – H Shares	PRC	2005/06/30
00517	COSCO SHIPPING International (Hong Kong) Co., Ltd.	Bermuda	1992/02/11
01199	COSCO SHIPPING Ports Ltd.	Bermuda	1994/12/19
01043	Coslight Technology International Group Ltd.	Bermuda	1999/11/17
02298	Cosmo Lady (China) Holdings Co. Ltd.	Cayman Islands	2014/06/26
00120	Cosmopolitan International Holdings Ltd.	Cayman Islands	n.a.
00118	Cosmos Machinery Enterprises Ltd.	Hong Kong	1988/12/12
02007	Country Garden Holdings Co. Ltd.	Cayman Islands	2007/04/20
06098	Country Garden Services Holdings Co. Ltd.	Cayman Islands	2018/06/19
01145	Courage Investment Group Ltd.	Bermuda	2011/06/24
01415	Cowell e Holdings Inc.	Cayman Islands	2015/03/31
01932	CPM Group Ltd.	Cayman Islands	2017/07/10
00906	CPMC Holdings Ltd.	Hong Kong	2009/11/16
01582	CR Construction Group Holdings Ltd.	Cayman Islands	2019/10/16
00082	Crazy Sports Group Ltd.	Bermuda	1991/10/25
01786	CRCC High-Tech Equipment Corporation Ltd. – H Shares	PRC	2015/12/16

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00122	Crocodile Garments Ltd.	Hong Kong	n.a.
00032	Cross-Harbour (Holdings) Ltd., The	Hong Kong	1974/07/30
03893	CROSSTEC Group Holdings Ltd.	Cayman Islands	2016/09/12
00727	Crown International Corporation Ltd.	Hong Kong	1998/01/16
01766	CRRC Corporation Ltd. – H Shares	PRC	2008/08/21
02232	Crystal International Group Ltd.	Cayman Islands	2017/11/03
06066	CSC Financial Co., Ltd. – H Shares	PRC	2016/12/09
00497	CSI Properties Ltd.	Bermuda	1992/04/15
01815	CSMall Group Ltd.	Cayman Islands	2018/03/13
01093	CSPC Pharmaceutical Group Ltd.	Hong Kong	1994/06/21
03877	CSSC (Hong Kong) Shipping Co. Ltd.	Hong Kong	2019/06/17
00317	CSSC Offshore & Marine Engineering (Group) Co. Ltd. – H Shs	PRC	1993/08/06
00985	CST Group Ltd.	Cayman Islands	1994/02/02
02616	CStone Pharmaceuticals – B	Cayman Islands	2019/02/26
00994	CT Vision S.L. (International) Holdings Ltd.	Cayman Islands	2017/07/17
01416	CTR Holdings Ltd.	Cayman Islands	2020/01/15
00343	Culturecom Holdings Ltd.	Bermuda	1986/08/12
00521	CWT International Ltd.	Hong Kong	1988/12/23
01020	Cybernaut International Holdings Co. Ltd.	Cayman Islands	2010/07/07
01301	D&G Technology Holding Co. Ltd.	Cayman Islands	2015/05/27
01090	Da Ming International Holdings Ltd.	Cayman Islands	2010/12/01
01580	Da Sen Holdings Group Ltd.	Cayman Islands	2016/12/19
01073	Da Yu Financial Holdings Ltd.	Cayman Islands	2002/01/14
03999	DaChan Food (Asia) Ltd.	Cayman Islands	2007/10/04
06111	DaFa Properties Group Ltd.	Cayman Islands	2018/10/11
02356	Dah Sing Banking Group Ltd.	Hong Kong	2004/06/30
00440	Dah Sing Financial Holdings Ltd.	Hong Kong	1987/11/05
00544	Daido Group Ltd.	Bermuda	1989/12/14
00567	Daisho Microline Holdings Ltd.	Bermuda	1990/01/31
03799	Dali Foods Group Co. Ltd.	Cayman Islands	2015/11/20
01921	Dalipal Holdings Ltd.	Cayman Islands	2019/11/08
00915	Daohe Global Group Ltd.	Bermuda	2002/05/10
00210	Daphne International Holdings Ltd.	Cayman Islands	1995/11/03
09986	Dashan Education Holdings Ltd.	Cayman Islands	2020/07/15
01272	Datang Environment Industry Group Co., Ltd. – H Shares	PRC	2016/11/15
02117	Datang Group Holdings Ltd.	Cayman Islands	2020/12/11
00991	Datang International Power Generation Co., Ltd. – H Shares	PRC	1997/03/21
00889	Datronix Holdings Ltd.	Bermuda	2001/06/22
02348	Dawnrays Pharmaceutical (Holdings) Ltd.	Cayman Islands	2003/07/11
01452	Denox Environmental & Technology Holdings Ltd.	Cayman Islands	2015/11/12
01545	Design Capital Ltd.	Cayman Islands	2019/04/25
00262	Deson Development International Holdings Ltd.	Bermuda	1997/06/10
02270	Desun Real Estate Investment Services Group Co., Ltd.	Cayman Islands	2021/12/17
00559	DeTai New Energy Group Ltd.	Bermuda	1997/01/03
02019	Dexin China Holdings Co. Ltd.	Cayman Islands	2019/02/26
02215	Dexin Services Group Ltd.	Cayman Islands	2021/07/15

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Code	Company name	Incorporation	Listing date
01440	Deyun Holding Ltd.	Cayman Islands	2021/01/13
03958	DFZQ – H Shares	PRC	2016/07/08
00113	Dickson Concepts (International) Ltd.	Bermuda	1973/03/15
06878	Differ Group Holding Co. Ltd.	Cayman Islands	2013/12/09
00861	Digital China Holdings Ltd.	Bermuda	2001/06/01
00547	Digital Domain Holdings Ltd.	Bermuda	1992/07/06
02022	Digital Hollywood Interactive Ltd.	Cayman Islands	2017/12/15
00508	Dingyi Group Investment Ltd.	Bermuda	1988/10/26
00726	DIT Group Ltd.	Bermuda	1991/07/25
01709	DL Holdings Group Ltd.	Cayman Islands	2015/10/07
01072	Dongfang Electric Corporation Ltd. – H Shares	PRC	1994/06/06
00489	Dongfeng Motor Group Co. Ltd. – H Shares	PRC	2005/12/07
09889	Dongguan Rural Commercial Bank Co., Ltd. – H Shares	PRC	2021/09/29
01702	Dongguang Chemical Ltd.	Cayman Islands	2017/07/11
00895	Dongjiang Environmental Co. Ltd. – H Shares	PRC	2003/01/29
00695	Dongwu Cement International Ltd.	Cayman Islands	2012/06/13
00189	Dongyue Group Ltd.	Cayman Islands	2007/12/10
01917	Doumob	Cayman Islands	2019/03/14
00668	Doyen International Holdings Ltd.	Hong Kong	1990/12/12
00935	Dragon Crown Group Holdings Ltd.	Cayman Islands	2011/06/10
01712	Dragon Mining Ltd.	Australia	2018/11/05
06829	Dragon Rise Group Holdings Ltd.	Cayman Islands	2018/02/08
01126	Dream International Ltd.	Hong Kong	2002/02/07
00593	DreamEast Group Ltd.	Bermuda	1993/07/27
00356	DT Capital Ltd.	Cayman Islands	2002/06/07
00620	DTXS Silk Road Investment Holdings Co. Ltd.	Bermuda	1991/09/30
01753	Duiba Group Ltd.	Cayman Islands	2019/05/07
01330	Dynagreen Environmental Protection Group Co., Ltd. -H Shares	PRC	2014/06/19
06889	DYNAM JAPAN HOLDINGS Co., Ltd.	Japan	2012/08/06
00029	Dynamic Holdings Ltd.	Bermuda	n.a.
00828	Dynasty Fine Wines Group Ltd.	Cayman Islands	2005/01/26
00599	E. Bon Holdings Ltd.	Cayman Islands	2000/04/12
02368	Eagle Nice (International) Holdings Ltd.	Cayman Islands	2003/08/22
01218	Easyknit International Holdings Ltd.	Bermuda	1995/01/30
02138	EC Healthcare	Cayman Islands	2016/03/11
02341	EcoGreen International Group Ltd.	Cayman Islands	2004/03/09
01733	E-Commodities Holdings Ltd.	British Virgin Islands	2010/10/11
01147	Edensoft Holdings Ltd.	Cayman Islands	2020/05/13
01410	Edvance International Holdings Ltd.	Cayman Islands	2017/04/19
00382	Edvantage Group Holdings Ltd.	Cayman Islands	2019/07/16
03709	EEKA Fashion Holdings Ltd.	Cayman Islands	2014/06/27
06882	EGL Holdings Co. Ltd.	Cayman Islands	2014/11/28
02048	E-House (China) Enterprise Holdings Ltd.	Cayman Islands	2018/07/20
00076	Elate Holdings Ltd.	Hong Kong	1984/09/24
00907	Elegance Optical International Holdings Ltd.	Bermuda	1996/04/11
00223	Elife Holdings Ltd.	Cayman Islands	2002/04/10

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Code	Company name	Incorporation	Listing date
01395	ELL Environmental Holdings Ltd.	Cayman Islands	2014/09/26
01388	Embry Holdings Ltd.	Cayman Islands	2006/12/18
00616	Eminence Enterprise Ltd.	Bermuda	1991/09/16
00717	Emperor Capital Group Ltd.	Bermuda	2007/04/24
00491	Emperor Culture Group Ltd.	Bermuda	1992/06/10
00296	Emperor Entertainment Hotel Ltd.	Bermuda	n.a.
00163	Emperor International Holdings Ltd.	Bermuda	1972/11/14
00887	Emperor Watch & Jewellery Ltd.	Hong Kong	2008/07/21
00575	Endurance RP Ltd.	Cayman Islands	1997/05/19
00353	Energy International Investments Holdings Ltd.	Cayman Islands	2001/07/26
00128	ENM Holdings Ltd.	Hong Kong	n.a.
02688	ENN Energy Holdings Ltd.	Cayman Islands	2002/06/03
01808	Enterprise Development Holdings Ltd.	Cayman Islands	2007/01/11
01102	Enviro Energy International Holdings Ltd.	Cayman Islands	2007/06/06
00689	EPI (Holdings) Ltd.	Bermuda	1991/04/15
01884	eprint Group Ltd.	Cayman Islands	2013/12/03
03860	EPS Creative Health Technology Group Ltd.	Cayman Islands	2017/05/31
01856	Ernest Borel Holdings Ltd.	Cayman Islands	2014/07/11
00330	Esprit Holdings Ltd.	Bermuda	1993/12/09
01821	ESR Cayman Ltd.	Cayman Islands	2019/11/01
01061	Essex Bio-Technology Ltd.	Cayman Islands	2001/06/27
06668	E-Star Commercial Management Co. Ltd.	Cayman Islands	2021/01/26
00571	eSun Holdings Ltd.	Bermuda	n.a.
00764	Eternity Investment Ltd.	Bermuda	2000/02/15
01846	EuroEyes International Eye Clinic Ltd.	Cayman Islands	2019/10/15
00476	Ev Dynamics (Holdings) Ltd.	Bermuda	1996/05/24
00838	EVA Precision Industrial Holdings Ltd.	Cayman Islands	2005/05/11
01549	Ever Harvest Group Holdings Ltd.	Cayman Islands	2016/07/06
03616	Ever Reach Group (Holdings) Co. Ltd.	Cayman Islands	2018/11/12
03699	Everbright Grand China Assets Ltd.	Cayman Islands	2018/01/16
06178	Everbright Securities Co. Ltd. – H Shares	PRC	2016/08/18
00202	EverChina Int'l Holdings Co. Ltd.	Hong Kong	n.a.
01952	Everest Medicines Ltd. – B	Cayman Islands	2020/10/09
06666	Evergrande Property Services Group Ltd.	Cayman Islands	2020/12/02
00238	Evergreen International Holdings Ltd.	Cayman Islands	2010/11/04
01962	Evergreen Products Group Ltd.	Cayman Islands	2017/07/12
02308	EVOC Intelligent Technology Co. Ltd. – H Shares	PRC	2003/10/10
06989	Excellence Commercial Property & Facilities Mgt Group Ltd.	Cayman Islands	2020/10/19
00858	Extrawell Pharmaceutical Holdings Ltd.	Bermuda	1999/03/10
00052	Fairwood Holdings Ltd.	Bermuda	1991/10/09
01777	Fantasia Holdings Group Co., Ltd.	Cayman Islands	2009/11/25
00035	Far East Consortium International Ltd.	Cayman Islands	1972/09/21
00036	Far East Holdings International Ltd.	Hong Kong	1973/02/12
03360	Far East Horizon Ltd.	Hong Kong	2011/03/30
00037	Far East Hotels & Entertainment Ltd.	Hong Kong	1979/07/03
06288	Fast Retailing Co., Ltd. – DRS	Japan	2014/03/05

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Code	Company name	Incorporation	Listing date
00729	FDG Electric Vehicles Ltd.	Bermuda	1991/10/03
00378	FDG Kinetic Ltd.	Bermuda	1986/09/10
01738	Feishang Anthracite Resources Ltd.	British Virgin Islands	2014/01/22
01901	Feiyang International Holdings Group Ltd.	Cayman Islands	2019/06/28
01022	Feiyu Technology International Co. Ltd.	Cayman Islands	2014/12/05
02038	FIH Mobile Ltd.	Cayman Islands	2005/02/03
01502	Financial Street Property Co., Ltd. – H Shares	PRC	2020/07/06
09978	Fineland Living Services Group Ltd.	Cayman Islands	2017/11/15
06860	FingerTango Inc.	Cayman Islands	2018/07/12
01909	Fire Rock Holdings Ltd.	Cayman Islands	2016/02/18
00142	First Pacific Co. Ltd.	Bermuda	1988/09/12
02107	First Service Holding Ltd.	Cayman Islands	2020/10/22
00227	First Shanghai Investments Ltd.	Hong Kong	1972/08/24
00038	First Tractor Co Ltd. – H Shares	PRC	1997/06/23
06088	FIT Hon Teng Ltd.	Cayman Islands	2017/07/13
06865	Flat Glass Group Co., Ltd. – H Shares	PRC	2015/11/26
00484	Forgame Holdings Ltd.	Cayman Islands	2013/10/03
00352	Fortune Sun (China) Holdings Ltd.	Cayman Islands	2006/07/05
02528	Forward Fashion (International) Holdings Co. Ltd.	Cayman Islands	2020/01/13
00656	Fosun International Ltd.	Hong Kong	2007/07/16
01992	Fosun Tourism Group	Cayman Islands	2018/12/14
00418	Founder Holdings Ltd.	Bermuda	1995/12/21
00420	Fountain Set (Holdings) Ltd.	Hong Kong	1988/04/20
00374	Four Seas Mercantile Holdings Ltd.	Cayman Islands	1993/08/25
01455	Fourace Industries Group Holdings Ltd.	Cayman Islands	2020/09/15
06888	Freetech Road Recycling Technology (Holdings) Ltd.	Cayman Islands	2013/06/26
01175	Fresh Express Delivery Holdings Group Co., Ltd.	Cayman Islands	2004/12/17
06820	FriendTimes Inc.	Cayman Islands	2019/10/08
01521	Frontage Holdings Corporation	Cayman Islands	2019/05/30
00500	Frontier Services Group Ltd.	Bermuda	1988/07/29
00331	FSE Lifestyle Services Ltd.	Cayman Islands	2015/12/10
01721	FSM Holdings Ltd.	Cayman Islands	2018/07/16
02263	Fu Shek Financial Holdings Ltd.	Cayman Islands	2020/02/19
01448	Fu Shou Yuan International Group Ltd.	Cayman Islands	2013/12/19
00546	Fufeng Group Ltd.	Cayman Islands	2007/02/08
00181	Fujian Holdings Ltd.	Hong Kong	1973/02/22
00927	Fujikon Industrial Holdings Ltd.	Bermuda	2000/04/11
00607	Fullshare Holdings Ltd.	Cayman Islands	2002/12/18
00627	Fullsun International Holdings Group Co., Ltd.	Bermuda	2000/11/13
01034	Fullwealth International Group Holdings Ltd.	Cayman Islands	2018/10/30
02101	Fulu Holdings Ltd.	Cayman Islands	2020/09/18
01443	Fulum Group Holdings Ltd.	Cayman Islands	2014/11/13
01652	Fusen Pharmaceutical Co. Ltd.	Cayman Islands	2018/07/11
00465	Futong Technology Development Holdings Ltd.	Cayman Islands	2009/12/04
00703	Future Bright Holdings Ltd.	Bermuda	2002/08/15
02212	Future Bright Mining Holdings Ltd.	Cayman Islands	2015/01/09

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00572	Future World Holdings Ltd.	Cayman Islands	2003/07/02
03606	Fuyao Glass Industry Group Co., Ltd. – H Shares	PRC	2015/03/31
06038	G & M Holdings Ltd.	Cayman Islands	2017/06/13
09900	Gain Plus Holdings Ltd.	Cayman Islands	2018/02/13
00027	Galaxy Entertainment Group Ltd.	Hong Kong	1991/10/07
01772	Ganfeng Lithium Co., Ltd. – H Shares	PRC	2018/10/11
06968	Ganglong China Property Group Ltd.	Cayman Islands	2020/07/15
00261	GBA Holdings Ltd.	Bermuda	1991/12/30
00451	GCL New Energy Holdings Ltd.	Bermuda	1992/03/25
03800	GCL-Poly Energy Holdings Ltd.	Cayman Islands	2007/11/13
01203	GDH Guangnan (Holdings) Ltd.	Hong Kong	1994/12/09
09698	GDS Holdings Ltd. – SW	Cayman Islands	2020/11/02
00175	Geely Automobile Holdings Ltd.	Cayman Islands	1973/02/23
00535	Gemdale Properties and Investment Corporation Ltd.	Bermuda	1989/10/16
06163	Gemilang International Ltd.	Cayman Islands	2016/11/11
00174	Gemini Investments (Holdings) Ltd.	Hong Kong	1988/10/05
02666	Genertec Universal Medical Group Co. Ltd.	Hong Kong	2015/07/08
06998	Genor Biopharma Holdings Ltd. – B	Cayman Islands	2020/10/07
01548	Genscript Biotech Corporation	Cayman Islands	2015/12/30
00678	Genting Hong Kong Ltd.	Bermuda	2000/11/30
01707	Geotech Holdings Ltd.	Cayman Islands	2017/10/12
01469	Get Nice Financial Group Ltd.	Cayman Islands	2016/04/08
00064	Get Nice Holdings Ltd.	Cayman Islands	2002/06/06
01776	GF Securities Co., Ltd. – H Shares	PRC	2015/04/10
09933	GHW International	Cayman Islands	2020/01/21
00709	Giordano International Ltd.	Bermuda	1991/06/19
00809	Global Bio-chem Technology Group Co. Ltd.	Cayman Islands	2001/03/16
00787	Global Brands Group Holding Ltd.	Bermuda	2014/07/09
01669	Global International Credit Group Ltd.	Cayman Islands	2014/12/12
00905	Global Mastermind Capital Ltd.	Bermuda	1998/07/17
06616	Global New Material International Holdings Ltd.	Cayman Islands	2021/07/16
03889	Global Sweeteners Holdings Ltd.	Cayman Islands	2007/09/20
00845	Glorious Property Holdings Ltd.	Cayman Islands	2009/10/02
00393	Glorious Sun Enterprises Ltd.	Bermuda	1996/09/17
01282	Glory Sun Financial Group Ltd.	Cayman Islands	2010/12/15
00299	Glory Sun Land Group Ltd.	Cayman Islands	2004/04/30
01529	Goal Rise Logistics (China) Holdings Ltd.	Cayman Islands	2017/10/18
00040	Gold Peak Industries (Holdings) Ltd.	Hong Kong	1984/07/02
00091	Golden Century International Holdings Group Ltd.	Hong Kong	1981/06/29
03308	Golden Eagle Retail Group Ltd.	Cayman Islands	2006/03/21
02863	Golden Faith Group Holdings Ltd.	Cayman Islands	2017/08/11
01783	Golden Ponder Holdings Ltd.	Cayman Islands	2018/08/22
03919	Golden Power Group Holdings Ltd.	Cayman Islands	2015/06/05
00677	Golden Resources Development International Ltd.	Bermuda	1991/01/28
01121	Golden Solar New Energy Technology Holdings Ltd.	Cayman Islands	2011/01/28
06896	Golden Throat Holdings Group Co. Ltd.	Cayman Islands	2015/07/15

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01232	Golden Wheel Tiandi Holdings Co. Ltd.	Cayman Islands	2013/01/16
00530	Goldin Financial Holdings Ltd.	Bermuda	1992/10/08
00533	Goldlion Holdings Ltd.	Hong Kong	1992/09/18
03315	Goldpac Group Ltd.	Hong Kong	2013/12/04
00901	Goldstone Investment Group Ltd.	Cayman Islands	2002/02/28
01328	Goldstream Investment Ltd.	Cayman Islands	2007/10/16
01118	Golik Holdings Ltd.	Bermuda	1994/07/15
00628	Gome Finance Technology Co., Ltd.	Bermuda	2002/07/24
00493	GOME Retail Holdings Ltd.	Bermuda	1992/04/15
00109	Good Resources Holdings Ltd.	Bermuda	1998/01/12
01086	Goodbaby International Holdings Ltd.	Cayman Islands	2010/11/24
00108	GR Properties Ltd.	Hong Kong	1973/02/09
01293	Grand Baoxin Auto Group Ltd.	Cayman Islands	2011/12/14
00115	Grand Field Group Holdings Ltd.	Bermuda	n.a.
01271	Grand Ming Group Holdings Ltd.	Cayman Islands	2013/08/09
00065	Grand Ocean Advanced Resources Co. Ltd.	Cayman Islands	2001/08/30
00512	Grand Pharmaceutical Group Ltd.	Bermuda	1995/12/19
01647	Grandshores Technology Group Ltd.	Cayman Islands	2017/03/30
06128	Graphex Group Ltd.	Cayman Islands	2014/06/25
00021	Great China Properties Holdings Ltd.	Hong Kong	n.a.
00041	Great Eagle Holdings Ltd.	Bermuda	n.a.
03683	Great Harvest Maeta Holdings Ltd.	Cayman Islands	2010/10/11
02333	Great Wall Motor Co. Ltd. – H Shares	PRC	2003/12/15
00583	Great Wall Pan Asia Holdings Ltd.	Bermuda	1990/06/29
00524	Great Wall Terroir Holdings Ltd.	Bermuda	1989/04/21
01189	Greater Bay Area Dynamic Growth Holding Ltd.	Bermuda	1997/10/06
00431	Greater China Financial Holdings Ltd.	Bermuda	1992/09/16
00844	Greatime International Holdings Ltd.	British Virgin Islands	2011/11/24
00468	Greatview Aseptic Packaging Co. Ltd.	Cayman Islands	2010/12/09
01315	Green Economy Development Ltd.	Cayman Islands	2012/01/18
00979	Green Energy Group Ltd.	Bermuda	1997/06/26
01084	Green Future Food Hydrocolloid Marine Science Co. Ltd.	Cayman Islands	2019/10/17
02700	Green International Holdings Ltd.	Cayman Islands	2006/09/29
00061	Green Leader Holdings Group Ltd.	Bermuda	1999/07/06
00094	Greenheart Group Ltd.	Bermuda	1988/06/21
00337	Greenland Hong Kong Holdings Ltd.	Cayman Islands	2006/10/10
00195	Greentech Technology International Ltd.	Cayman Islands	2008/11/12
03900	Greentown China Holdings Ltd.	Cayman Islands	2006/07/13
09979	Greentown Management Holdings Co. Ltd.	Cayman Islands	2020/07/10
02869	Greentown Service Group Co. Ltd.	Cayman Islands	2016/07/12
02133	Greenway Mining Group Ltd.	Cayman Islands	2011/12/14
01051	G-Resources Group Ltd.	Bermuda	1994/04/29
01842	Grown Up Group Investment Holdings Ltd.	Cayman Islands	2019/06/28
00263	GT Group Holdings Ltd.	Hong Kong	1988/04/06
03344	GTI Holdings Ltd.	Cayman Islands	2005/10/05
01872	Guan Chao Holdings Ltd.	Cayman Islands	2019/02/28

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01396	Guangdong – Hong Kong Greater Bay Area Holdings Ltd.	Cayman Islands	2013/10/31
06189	Guangdong Adway Construction (Group) Holdings Co. Ltd.-H Shs	PRC	2016/11/25
00270	Guangdong Investment Ltd.	Hong Kong	n.a.
01543	Guangdong Join-Share Financing Guarantee Invest Co Ltd-H Shs	PRC	2015/12/23
03689	Guangdong Kanghua Healthcare Co., Ltd. – H Shares	PRC	2016/11/08
00124	Guangdong Land Holdings Ltd.	Bermuda	1997/08/08
01058	Guangdong Tannery Ltd.	Hong Kong	1996/12/16
03399	Guangdong Yueyun Transportation Co. Ltd. – H Shares	PRC	2005/10/26
00525	Guangshen Railway Co. Ltd. – H Shares	PRC	1996/05/14
02238	Guangzhou Automobile Group Co., Ltd. – H Shares	PRC	2010/08/30
00874	Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.- H Shs	PRC	1997/10/30
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	PRC	2005/07/14
01551	Guangzhou Rural Commercial Bank Co., Ltd. – H Shares	PRC	2017/06/20
00143	Guoan International Ltd.	Cayman Islands	1999/04/09
00053	Guoco Group Ltd.	Bermuda	1983/05/03
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	PRC	2011/12/30
01456	Guolian Securities Co., Ltd. – H Shares	PRC	2015/07/06
02329	Guorui Properties Ltd.	Cayman Islands	2014/07/07
01788	Guotai Junan International Holdings Ltd.	Hong Kong	2010/07/08
02611	Guotai Junan Securities Co., Ltd. – H Shares	PRC	2017/04/11
02273	Gushengtang Holdings Ltd.	Cayman Islands	2021/12/10
00657	G-Vision International (Holdings) Ltd.	Bermuda	1992/10/30
02255	Haichang Ocean Park Holdings Ltd.	Cayman Islands	2014/03/13
06862	Haidilao International Holding Ltd.	Cayman Islands	2018/09/26
06690	Haier Smart Home Co., Ltd. – H Shares	PRC	2020/12/23
02278	Hailan Holdings Ltd.	Cayman Islands	2016/07/15
02336	Hailiang International Holdings Ltd.	Cayman Islands	2003/03/03
01645	Haina Intelligent Equipment International Holdings Ltd.	Cayman Islands	2020/06/03
00357	Hainan Meilan International Airport Co. Ltd. – H Shares	PRC	2002/11/18
01882	Haitian International Holdings Ltd.	Cayman Islands	2006/12/22
00665	Haitong International Securities Group Ltd.	Bermuda	1996/08/06
06837	Haitong Securities Co., Ltd. – H Shares	PRC	2012/04/27
01905	Haitong Unitrust Int'l Financial Leasing Co., Ltd. – H Shs	PRC	2019/06/03
01920	Hands Form Holdings Ltd.	Cayman Islands	2019/08/16
00010	Hang Lung Group Ltd.	Hong Kong	1972/10/12
00101	Hang Lung Properties Ltd.	Hong Kong	n.a.
01682	Hang Pin Living Technology Co. Ltd.	Bermuda	2010/10/05
03626	Hang Sang (Siu Po) International Holding Co. Ltd.	Cayman Islands	2016/05/18
00011	Hang Seng Bank Ltd.	Hong Kong	1972/06/20
01894	Hang Yick Holdings Co. Ltd.	Cayman Islands	2018/10/12
09699	Hangzhou SF Intra-city Industrial Co., Ltd. – H Shares	PRC	2021/12/14
03347	Hangzhou Tigermed Consulting Co., Ltd. – H Shares	PRC	2020/08/07
03903	Hanhua Financial Holding Co., Ltd. – H Shares	PRC	2014/06/19
00896	Hanison Construction Holdings Ltd.	Cayman Islands	2002/01/10
00554	Hans Energy Co. Ltd.	Cayman Islands	1997/05/28
03692	Hansoh Pharmaceutical Group Co. Ltd.	Cayman Islands	2019/06/14

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Code	Company name	Incorporation	Listing date
01341	Hao Tian International Construction Investment Group Ltd.	Cayman Islands	2015/12/10
06138	Harbin Bank Co., Ltd. – H Shares	PRC	2014/03/31
01133	Harbin Electric Co. Ltd. – H Shares	PRC	1994/12/16
00051	Harbour Centre Development Ltd.	Hong Kong	n.a.
00913	Harbour Digital Asset Capital Ltd.	Cayman Islands	1999/10/27
02142	HBM Holdings Ltd. – B	Cayman Islands	2020/12/10
02280	HC Group Inc.	Cayman Islands	2003/12/17
01112	Health and Happiness (H&H) International Holdings Ltd.	Cayman Islands	2010/12/17
01727	Hebei Construction Group Corporation Ltd. – H Shares	PRC	2017/12/15
01596	Hebei Yichen Industrial Group Corporation Ltd. – H Shares	PRC	2016/12/21
09869	Helens International Holdings Co. Ltd.	Cayman Islands	2021/09/10
06885	Henan Jinma Energy Co. Ltd. – H Shares	PRC	2017/10/10
00097	Henderson Investment Ltd.	Hong Kong	1972/11/06
00012	Henderson Land Development Co. Ltd.	Hong Kong	1981/07/23
01891	Heng Hup Holdings Ltd.	Cayman Islands	2019/03/15
00197	Heng Tai Consumables Group Ltd.	Cayman Islands	2001/12/03
01044	Hengan International Group Co. Ltd.	Cayman Islands	1998/12/08
03389	Hengdeli Holdings Ltd.	Cayman Islands	2005/09/26
01476	Hengtai Securities Co., Ltd. – H Shares	PRC	2015/10/15
00136	HengTen Networks Group Ltd.	Bermuda	1997/09/25
01085	Hengxin Technology Ltd.	Singapore	2010/12/23
00114	Herald Holdings Ltd.	Bermuda	n.a.
06093	Hevol Services Group Co., Ltd.	Cayman Islands	2019/07/12
06908	HG Semiconductor Ltd.	Cayman Islands	2016/12/30
00818	Hi Sun Technology (China) Ltd.	Bermuda	1997/12/22
01393	Hidili Industry International Development Ltd.	Cayman Islands	2007/09/21
00442	Hifood Group Holdings Co., Ltd.	Cayman Islands	2015/03/11
00608	High Fashion International Ltd.	Bermuda	1992/08/04
01623	Hilong Holding Ltd.	Cayman Islands	2011/04/21
06893	Hin Sang Group (International) Holding Co. Ltd.	Cayman Islands	2014/10/16
00396	Hing Lee (HK) Holdings Ltd.	Bermuda	2009/06/22
01968	Hingtex Holdings Ltd.	Cayman Islands	2018/07/16
00921	Hisense Home Appliances Group Co., Ltd. – H Shares	PRC	1996/07/23
01723	HK Asia Holdings Ltd.	Cayman Islands	2018/09/27
02638	HK Electric Investments Ltd.	Cayman Islands	2014/01/29
01310	HKBN Ltd.	Cayman Islands	2015/03/12
00248	HKC International Holdings Ltd.	Cayman Islands	2001/11/09
01726	HKE Holdings Ltd.	Cayman Islands	2018/04/18
00480	HKR International Ltd.	Cayman Islands	1988/03/11
06823	HKT Ltd.	Cayman Islands	2011/11/29
02086	HNA Technology Investments Holdings Ltd.	Cayman Islands	2003/11/10
03678	Holly Futures Co., Ltd. – H Shares	PRC	2015/12/30
01747	Home Control International Ltd.	Cayman Islands	2019/11/14
03798	Homeland Interactive Technology Ltd.	Cayman Islands	2019/07/04
00160	Hon Kwok Land Investment Co., Ltd.	Hong Kong	n.a.
01725	Hong Kong Aerospace Technology Group Ltd.	Cayman Islands	2018/08/16

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00003	Hong Kong and China Gas Co. Ltd., The	Hong Kong	n.a.
02322	Hong Kong ChaoShang Group Ltd.	Bermuda	2003/04/09
00423	Hong Kong Economic Times Holdings Ltd.	Cayman Islands	2005/08/03
01082	Hong Kong Education (Int'l) Investments Ltd.	Bermuda	2011/07/04
00388	Hong Kong Exchanges and Clearing Ltd.	Hong Kong	2000/06/27
00050	Hong Kong Ferry (Holdings) Co. Ltd.	Hong Kong	n.a.
01273	Hong Kong Finance Group Ltd.	Cayman Islands	2013/10/02
00060	Hong Kong Food Investment Holdings Ltd.	Hong Kong	1992/12/16
01955	Hong Kong Johnson Holdings Co., Ltd.	Cayman Islands	2019/10/16
02882	Hong Kong Resources Holdings Co. Ltd.	Bermuda	2003/06/30
01001	Hong Kong Shanghai Alliance Holdings Ltd.	Bermuda	1994/02/18
01137	Hong Kong Technology Venture Co. Ltd.	Hong Kong	1997/08/04
02265	Hongcheng Environmental Technology Co. Ltd.	Cayman Islands	2021/11/12
00196	Honghua Group Ltd.	Cayman Islands	2008/03/07
00045	Hongkong and Shanghai Hotels, Ltd., The	Hong Kong	n.a.
00655	Hongkong Chinese Ltd.	Bermuda	1992/10/12
09906	Honliv Healthcare Management Group Co. Ltd.	Cayman Islands	2020/07/13
06858	Honma Golf Ltd.	Cayman Islands	2016/10/06
02226	Honworld Group Ltd.	Cayman Islands	2014/01/28
02320	Hop Fung Group Holdings Ltd.	Cayman Islands	2003/09/24
00047	Hop Hing Group Holdings Ltd.	Cayman Islands	1988/11/16
01765	Hope Education Group Co., Ltd.	Cayman Islands	2018/08/03
01683	Hope Life International Holdings Ltd.	Cayman Islands	2015/09/08
00733	Hopefluent Group Holdings Ltd.	Cayman Islands	2004/07/15
00754	Hopson Development Holdings Ltd.	Bermuda	1998/05/27
03869	Hospital Corporation of China Ltd.	Cayman Islands	2017/03/16
01742	HPC Holdings Ltd.	Cayman Islands	2018/05/11
00005	HSBC Holdings plc	England	n.a.
01347	Hua Hong Semiconductor Ltd.	Hong Kong	2014/10/15
00969	Hua Lien International (Holding) Co. Ltd.	Cayman Islands	2000/02/02
02552	Hua Medicine – B	Cayman Islands	2018/09/14
00989	Hua Yin International Holdings Ltd.	Bermuda	1997/10/20
03638	Huabang Technology Holdings Ltd.	Cayman Islands	2013/09/09
00336	Huabao International Holdings Ltd.	Bermuda	1992/01/22
01071	Huadian Power International Corporation Ltd. – H Shares	PRC	1999/06/30
00982	Huafa Property Services Group Co. Ltd.	Bermuda	2008/07/14
02738	Huajin International Holdings Ltd.	Cayman Islands	2016/04/15
01756	Huali University Group Ltd.	Cayman Islands	2019/11/25
00902	Huaneng Power International, Inc. – H Shares	PRC	1998/01/21
01003	Huanxi Media Group Ltd.	Bermuda	1994/02/21
02296	Huarchi Global Group Holdings Ltd.	Cayman Islands	2019/11/21
00993	Huarong International Financial Holdings Ltd.	Bermuda	1994/02/16
01323	Huasheng International Holding Ltd.	Cayman Islands	2011/01/13
06886	Huatai Securities Co., Ltd. – H Shares	PRC	2015/06/01
01689	Huaxi Holdings Co. Ltd.	Cayman Islands	2013/12/06
00419	Huayi Tencent Entertainment Co. Ltd.	Cayman Islands	1987/07/08

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Code	Company name	Incorporation	Listing date
01823	HUAYU Expressway Group Ltd.	Cayman Islands	2009/12/23
01673	Huazhang Technology Holding Ltd.	Cayman Islands	2013/05/16
06830	Huazhong In-Vehicle Holdings Co. Ltd.	Cayman Islands	2012/01/12
01179	Huazhu Group Ltd. – S	Cayman Islands	2020/09/22
09968	Huijing Holdings Co. Ltd.	Cayman Islands	2020/01/16
01303	Huili Resources (Group) Ltd.	Cayman Islands	2012/01/12
02127	Huisen Household International Group Ltd.	Cayman Islands	2020/12/29
03698	Huishang Bank Corporation Ltd. – H shares	PRC	2013/11/12
01340	Huisheng International Holdings Ltd.	Cayman Islands	2014/02/28
01178	Huiyin Holdings Group Ltd.	Cayman Islands	2003/02/10
01419	Human Health Holdings Ltd.	Cayman Islands	2016/04/01
01446	Hung Fook Tong Group Holdings Ltd.	Cayman Islands	2014/07/04
00450	Hung Hing Printing Group Ltd.	Hong Kong	1992/03/16
01611	Huobi Technology Holdings Ltd.	British Virgin Islands	2016/11/21
00704	Huscoke Holdings Ltd.	Bermuda	1991/05/27
00215	Hutchison Telecommunications Hong Kong Holdings Ltd.	Cayman Islands	2009/05/08
00013	HUTCHMED (China) Ltd.	Cayman Islands	2021/06/30
01188	Hybrid Kinetic Group Ltd.	Bermuda	1995/04/06
06078	Hygeia Healthcare Holdings Co., Ltd.	Cayman Islands	2020/06/29
01650	Hygieia Group Ltd.	Cayman Islands	2020/07/03
00150	Hypebeast Ltd.	Cayman Islands	2016/04/11
00014	Hysan Development Co. Ltd.	Hong Kong	1981/09/18
01547	IBI Group Holdings Ltd.	Cayman Islands	2016/10/14
02708	IBO Technology Co. Ltd.	Cayman Islands	2017/12/28
01097	i-CABLE Communications Ltd.	Hong Kong	1999/11/24
01460	ICO Group Ltd.	Cayman Islands	2015/03/18
01402	i-Control Holdings Ltd.	Cayman Islands	2015/05/27
00650	IDG Energy Investment Ltd.	Bermuda	1992/10/07
01119	iDreamSky Technology Holdings Ltd.	Cayman Islands	2018/12/06
00167	IDT International Ltd.	Bermuda	1988/10/21
00799	IGG Inc	Cayman Islands	2013/10/18
00585	Imagi International Holdings Ltd.	Bermuda	1997/03/10
01970	IMAX China Holding, Inc.	Cayman Islands	2015/10/08
06978	Immunotech Biopharm Ltd. – B	Cayman Islands	2020/07/10
01076	Imperial Pacific International Holdings Ltd.	Bermuda	2002/02/11
00776	Imperium Technology Group Ltd.	Cayman Islands	2005/10/13
01286	Impro Precision Industries Ltd.	Cayman Islands	2019/06/28
01500	In Construction Holdings Ltd.	Cayman Islands	2015/04/16
01398	Industrial and Commercial Bank of China Ltd. – H Shares	PRC	2006/10/27
00640	Infinity Development Holdings Co. Ltd.	Cayman Islands	2010/08/12
01442	Infinity Logistics and Transport Ventures Ltd.	Cayman Islands	2020/01/21
03700	Inke Ltd.	Cayman Islands	2018/07/12
03948	Inner Mongolia Yitai Coal Co., Ltd. – H Shares	PRC	2012/07/12
09969	InnoCare Pharma Ltd. – B	Cayman Islands	2020/03/23
00399	Innovative Pharmaceutical Biotech Ltd.	Bermuda	2000/08/23
02680	Innovax Holdings Ltd.	Cayman Islands	2018/09/14

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01801	Innovent Biologics, Inc.	Cayman Islands	2018/10/31
00596	Inspur International Ltd.	Cayman Islands	2004/04/29
00923	Integrated Waste Solutions Group Holdings Ltd.	Cayman Islands	2010/03/31
06819	IntelliCentrics Global Holdings Ltd.	Cayman Islands	2019/03/27
01563	International Alliance Financial Leasing Co., Ltd.	Cayman Islands	2019/03/15
00147	International Business Settlement Holdings Ltd.	Bermuda	1988/06/06
01009	International Entertainment Corporation	Cayman Islands	2000/07/31
01373	International Housewares Retail Co. Ltd.	Cayman Islands	2013/09/25
01760	Intron Technology Holdings Ltd.	Cayman Islands	2018/07/12
01087	InvesTech Holdings Ltd.	Bermuda	2010/11/16
00929	IPE Group Ltd.	Cayman Islands	2004/11/01
01029	IRC Ltd.	Hong Kong	2010/10/21
00438	IRICO Group New Energy Co. Ltd. – H Shares	PRC	2004/12/20
01656	ISDN Holdings Ltd.	Singapore	2017/01/12
00199	ITC Properties Group Ltd.	Bermuda	1994/03/18
01931	IVD Medical Holding Ltd.	Cayman Islands	2019/07/12
01167	Jacobio Pharmaceuticals Group Co., Ltd. – B	Cayman Islands	2020/12/21
02633	Jacobson Pharma Corporation Ltd.	Cayman Islands	2016/09/21
01903	JBB Builders International Ltd.	Cayman Islands	2019/05/10
02161	JBM (Healthcare) Ltd.	Cayman Islands	2021/02/05
06618	JD Health International Inc.	Cayman Islands	2020/12/08
02618	JD Logistics, Inc.	Cayman Islands	2021/05/28
09618	JD.com, Inc. – SW	Cayman Islands	2020/06/18
01935	JH Educational Technology INC.	Cayman Islands	2019/06/18
01626	Jia Yao Holdings Ltd.	Cayman Islands	2014/06/27
01937	JiaChen Holding Group Ltd.	Cayman Islands	2020/01/17
00602	Jiahua Stores Holdings Ltd.	Cayman Islands	2007/05/21
00865	Jiande International Holdings Ltd.	Cayman Islands	2000/12/29
01366	Jiangnan Group Ltd.	Cayman Islands	2012/04/20
00177	Jiangsu Expressway Co. Ltd. – H Shares	PRC	1997/06/27
02116	Jiangsu Innovative Ecological New Materials Ltd.	Cayman Islands	2018/03/28
01916	Jiangxi Bank Co., Ltd. – H Shares	PRC	2018/06/26
00358	Jiangxi Copper Co. Ltd. – H Shares	PRC	1997/06/12
00589	Jianzhong Construction Development Ltd.	Cayman Islands	2020/03/18
01285	Jiashili Group Ltd.	Cayman Islands	2014/09/25
09908	JiaXing Gas Group Co., Ltd. – H Shares	PRC	2020/07/16
02768	Jiayuan International Group Ltd.	Cayman Islands	2016/03/08
01153	Jiayuan Services Holdings Ltd.	Cayman Islands	2020/12/09
06122	Jilin Jiutai Rural Commercial Bank Corporation Ltd. – H Shs	PRC	2017/01/12
01853	Jilin Province Chuncheng Heating Co. Ltd. – H Shares	PRC	2019/10/24
02362	Jinchuan Group International Resources Co. Ltd.	Cayman Islands	2003/10/27
01862	Jingrui Holdings Ltd.	Cayman Islands	2013/10/31
02225	Jinhai International Group Holdings Ltd.	Cayman Islands	2017/10/17
00137	Jinhui Holdings Co. Ltd.	Hong Kong	1991/12/06
09666	Jinke Smart Services Group Co., Ltd. – H Shares	PRC	2020/11/17
02558	Jinshang Bank Co., Ltd. – H Shares	PRC	2019/07/18

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Code	Company name	Incorporation	Listing date
02728	Jintai Energy Holdings Ltd.	Cayman Islands	2005/07/14
01951	Jinxin Fertility Group Ltd.	Cayman Islands	2019/06/25
02358	Jiu Rong Holdings Ltd.	Cayman Islands	2004/07/15
01961	Jiu Zun Digital Interactive Entertainment Group Holdings Ltd.	Cayman Islands	2020/03/17
01927	Jiujiuwang Food International Ltd.	Cayman Islands	2021/03/16
09922	Jiumaojiu International Holdings Ltd.	Cayman Islands	2020/01/15
01495	Jiyi Holdings Ltd.	Cayman Islands	2015/11/06
03306	JNBY Design Ltd.	Cayman Islands	2016/10/31
00179	Johnson Electric Holdings Ltd.	Bermuda	1984/07/11
06127	JOINN Laboratories (China) Co., Ltd. – H Shares	PRC	2021/02/26
02028	Jolimark Holdings Ltd.	Cayman Islands	2005/06/29
00207	Joy City Property Ltd.	Bermuda	1973/03/06
06988	Joy Spreader Group Inc.	Cayman Islands	2020/09/23
01691	JS Global Lifestyle Co. Ltd.	Cayman Islands	2019/12/18
03336	Ju Teng International Holdings Ltd.	Cayman Islands	2005/11/03
01459	Jujiang Construction Group Co., Ltd. – H Shares	PRC	2016/01/12
01425	Justin Allen Holdings Ltd.	Cayman Islands	2019/11/28
03303	Jutal Offshore Oil Services Ltd.	Cayman Islands	2006/09/21
02126	JW (Cayman) Therapeutics Co. Ltd – B	Cayman Islands	2020/11/03
02231	JY Grandmark Holdings Ltd.	Cayman Islands	2019/12/05
00675	K & P International Holdings Ltd.	Bermuda	1997/01/02
01557	K. H. Group Holdings Ltd.	Cayman Islands	2016/03/18
00173	K. Wah International Holdings Ltd.	Bermuda	1987/02/06
02108	K2 F&B Holdings Ltd.	Cayman Islands	2019/03/06
00822	Ka Shui International Holdings Ltd.	Cayman Islands	2007/06/27
00180	Kader Holdings Co. Ltd.	Bermuda	1985/05/24
01215	Kai Yuan Holdings Ltd.	Bermuda	1997/01/17
00936	Kaisa Capital Investment Holdings Ltd.	Cayman Islands	2010/07/19
01638	Kaisa Group Holdings Ltd.	Cayman Islands	2009/12/09
00876	Kaisa Health Group Holdings Ltd.	Bermuda	1997/04/10
02168	Kaisa Prosperity Holdings Ltd.	Cayman Islands	2018/12/06
02307	Kam Hing International Holdings Ltd.	Cayman Islands	2004/09/23
06136	Kangda International Environmental Co. Ltd.	Cayman Islands	2014/07/04
09997	Kangji Medical Holdings Ltd.	Cayman Islands	2020/06/29
06890	KangLi International Holdings Ltd.	Cayman Islands	2018/11/19
02205	Kangqiao Service Group Ltd.	Cayman Islands	2021/07/16
01059	Kantone Holdings Ltd.	Cayman Islands	1997/01/23
01050	Karrie International Holdings Ltd.	Bermuda	1996/12/16
00496	Kasen International Holdings Ltd.	Cayman Islands	2005/10/20
02189	Kato (Hong Kong) Holdings Ltd.	Cayman Islands	2019/06/13
00184	Keck Seng Investments (Hong Kong) Ltd.	Hong Kong	1973/02/24
01134	Kelfred Holdings Ltd.	Cayman Islands	2019/07/16
00636	Kerry Logistics Network Ltd.	Bermuda	2013/12/19
00683	Kerry Properties Ltd.	Bermuda	1996/08/05
02162	Keymed Biosciences Inc. – B	Cayman Islands	2021/07/08
00009	KEYNE LTD	Bermuda	2001/09/12

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Code	Company name	Incorporation	Listing date
03816	KFM Kingdom Holdings Ltd.	Cayman Islands	2012/10/15
00924	Khoon Group Ltd.	Cayman Islands	2019/07/05
03830	Kiddieland International Ltd.	Cayman Islands	2017/09/21
02122	Kidsland International Holdings Ltd.	Cayman Islands	2017/11/10
06918	Kidztech Holdings Ltd.	Cayman Islands	2020/03/18
06805	Kimou Environmental Holding Ltd.	Cayman Islands	2019/07/16
01722	Kin Pang Holdings Ltd.	Cayman Islands	2017/12/15
01630	Kin Shing Holdings Ltd.	Cayman Islands	2017/06/16
00638	Kin Yat Holdings Ltd.	Bermuda	1997/05/01
09960	Kindstar Globalgene Technology, Inc.	Cayman Islands	2021/07/16
03302	Kinergy Corporation Ltd.	Singapore	2018/07/18
01277	Kinetic Development Group Ltd.	Cayman Islands	2012/03/23
00280	King Fook Holdings Ltd.	Hong Kong	1988/03/28
00663	King Stone Energy Group Ltd.	Hong Kong	1990/11/30
01421	Kingbo Strike Ltd.	Cayman Islands	2013/12/30
00148	Kingboard Holdings Ltd.	Cayman Islands	1993/10/08
01888	Kingboard Laminates Holdings Ltd.	Cayman Islands	2006/12/07
00268	Kingdee International Software Group Co. Ltd.	Cayman Islands	2005/07/20
00528	Kingdom Holdings Ltd.	Cayman Islands	2006/12/12
01468	Kingkey Financial International (Holdings) Ltd.	Cayman Islands	2012/08/24
00550	Kingkey Intelligence Culture Holdings Ltd.	Bermuda	2007/07/23
01751	Kingland Group Holdings Ltd.	Cayman Islands	2016/12/16
01170	Kingmaker Footwear Holdings Ltd.	Bermuda	1994/09/29
06822	King's Flair International (Holdings) Ltd.	Cayman Islands	2015/01/16
03888	Kingsoft Corporation Ltd.	Cayman Islands	2007/10/09
01031	Kingston Financial Group Ltd.	Bermuda	1996/07/23
01195	Kingwell Group Ltd.	Cayman Islands	2003/01/20
01110	Kingworld Medicines Group Ltd.	Cayman Islands	2010/11/25
09939	Kintor Pharmaceutical Ltd. – B	Cayman Islands	2020/05/22
00381	Kiu Hung International Holdings Ltd.	Bermuda	2001/01/22
01025	KNT Holdings Ltd.	Cayman Islands	2019/02/28
00827	Ko Yo Chemical (Group) Ltd.	Cayman Islands	2003/07/10
00295	Kong Sun Holdings Ltd.	Hong Kong	1970/11/28
01797	Koolearn Technology Holding Ltd.	Cayman Islands	2019/03/28
00034	Kowloon Development Co. Ltd.	Hong Kong	1995/07/04
02663	KPa-BM Holdings Ltd.	Cayman Islands	2015/10/08
01024	Kuaishou Technology – W	Cayman Islands	2021/02/05
00439	KuangChi Science Ltd.	Bermuda	1992/03/11
00135	Kunlun Energy Co. Ltd.	Bermuda	1973/03/13
03768	Kunming Dianchi Water Treatment Co., Ltd. – H Shares	PRC	2017/04/06
01559	Kwan On Holdings Ltd.	Cayman Islands	2015/03/27
09998	Kwan Yong Holdings Ltd.	Cayman Islands	2020/01/08
01813	KWG Group Holdings Ltd.	Cayman Islands	2007/07/03
03913	KWG Living Group Holdings Ltd.	Cayman Islands	2020/10/30
01413	Kwong Luen Engineering Holdings Ltd.	Cayman Islands	2021/03/11
00306	Kwoon Chung Bus Holdings Ltd.	Bermuda	1996/09/25

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01925	Kwung's Holdings Ltd.	Cayman Islands	2020/01/16
00558	L.K. Technology Holdings Ltd.	Cayman Islands	2006/10/16
01262	Labixiaoxin Snacks Group Ltd.	Bermuda	2011/12/09
01125	Lai Fung Holdings Ltd.	Cayman Islands	1997/11/28
02266	Lai Si Enterprise Holding Ltd.	Cayman Islands	2017/02/10
00488	Lai Sun Development Co. Ltd.	Hong Kong	1988/03/11
00191	Lai Sun Garment (International) Ltd.	Hong Kong	1987/12/03
00411	Lam Soon (Hong Kong) Ltd.	Hong Kong	1972/10/31
01041	Lamtex Holdings Ltd.	Bermuda	1996/12/27
00582	Landing International Development Ltd.	Bermuda	2002/04/30
02132	Landrich Holding Ltd.	Cayman Islands	2020/10/20
01965	Landsea Green Life Service Co. Ltd.	Cayman Islands	2021/07/08
00106	Landsea Green Properties Co., Ltd.	Bermuda	1986/03/24
01270	Langham Hospitality Investments Ltd.	Cayman Islands	2013/05/30
00503	Lansen Pharmaceutical Holdings Ltd.	Cayman Islands	2010/05/07
01533	Lanzhou Zhuangyuan Pasture Co., Ltd. – H Shares	PRC	2015/10/15
01690	Lap Kei Engineering (Holdings) Ltd.	Cayman Islands	2015/09/25
02488	Launch Tech Co. Ltd. – H Shares	PRC	2002/10/07
00738	Le Saunda Holdings Ltd.	Bermuda	1992/12/11
01449	Leader Education Ltd.	Cayman Islands	2020/08/06
06999	Leading Holdings Group Ltd.	Cayman Islands	2020/12/10
00746	Lee & Man Chemical Co. Ltd.	Cayman Islands	2002/01/16
02314	Lee & Man Paper Manufacturing Ltd.	Cayman Islands	2003/09/26
00068	Lee Hing Development Ltd.	Hong Kong	1972/11/09
00637	Lee Kee Holdings Ltd.	Cayman Islands	2006/10/04
00387	Leeport (Holdings) Ltd.	Bermuda	2003/07/10
00950	Lee's Pharmaceutical Holdings Ltd.	Cayman Islands	2002/07/15
01540	Left Field Printing Group Ltd.	Bermuda	2018/10/08
03396	Legend Holdings Corporation – H Shares	PRC	2015/06/29
01355	Legend Strategy International Holdings Group Co. Ltd.	Cayman Islands	2011/07/15
02129	Legion Consortium Ltd.	Cayman Islands	2021/01/13
00992	Lenovo Group Ltd.	Hong Kong	1994/02/14
00842	Leoch International Technology Ltd.	Cayman Islands	2010/11/16
01225	Lerado Financial Group Co. Ltd.	Bermuda	1998/12/18
00112	Lerthai Group Ltd.	Hong Kong	1972/12/06
01346	Lever Style Corporation	Cayman Islands	2019/11/13
03938	LFG Investment Holdings Ltd.	Cayman Islands	2019/09/30
01978	LH Group Ltd.	Cayman Islands	2018/05/30
01730	LHN Ltd.	Singapore	2017/12/29
02015	Li Auto Inc. – W	Cayman Islands	2021/08/12
01869	Li Bao Ge Group Ltd.	Cayman Islands	2016/06/30
02331	Li Ning Co. Ltd.	Cayman Islands	2004/06/28
00980	Lianhua Supermarket Holdings Co., Ltd. – H Shares	PRC	2003/06/27
02880	Liaoning Port Co., Ltd. – H Shares	PRC	2006/04/28
00928	Life Healthcare Group Ltd.	Cayman Islands	2002/04/29
02136	Lifestyle China Group Ltd.	Cayman Islands	2016/07/15

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Code	Company name	Incorporation	Listing date
01212	Lifestyle International Holdings Ltd.	Cayman Islands	2004/04/15
01302	LifeTech Scientific Corporation	Cayman Islands	2011/11/10
02165	Ling Yue Services Group Ltd.	Cayman Islands	2021/07/12
00784	Ling Yui Holdings Ltd.	Cayman Islands	2017/12/28
03330	Lingbao Gold Group Co. Ltd. – H Shares	PRC	2006/01/12
01143	Link-Asia International MedTech Group Ltd.	Cayman Islands	2011/01/27
09959	Linklogis Inc. – W	Cayman Islands	2021/04/09
01127	Lion Rock Group Ltd.	Bermuda	2011/07/25
00156	Lippo China Resources Ltd.	Hong Kong	n.a.
00226	Lippo Ltd.	Hong Kong	n.a.
09958	Litian Pictures Holdings Ltd.	Cayman Islands	2020/06/22
01008	Litu Holdings Ltd.	Cayman Islands	2009/03/30
00194	Liu Chong Hing Investment Ltd.	Hong Kong	1972/11/13
01513	Livzon Pharmaceutical Group Inc. – H Shares	PRC	2014/01/16
00973	L'Occitane International S.A.	Luxembourg	2010/05/07
03380	Logan Group Co. Ltd.	Cayman Islands	2013/12/20
00960	Longfor Group Holdings Ltd.	Cayman Islands	2009/11/19
01007	Longhui International Holdings Ltd.	Cayman Islands	2010/10/28
01281	LongiTech Smart Energy Holding Ltd.	Cayman Islands	2012/01/12
03339	Lonking Holdings Ltd.	Cayman Islands	2005/11/17
06063	Lotus Horizon Holdings Ltd.	Cayman Islands	2020/04/15
00311	Luen Thai Holdings Ltd.	Cayman Islands	2004/07/15
00590	Luk Fook Holdings (International) Ltd.	Bermuda	1997/05/06
00366	Luks Group (Vietnam Holdings) Co., Ltd.	Bermuda	1987/01/07
01162	Lumina Group Ltd.	Cayman Islands	2017/10/25
00255	Lung Kee (Bermuda) Holdings Ltd.	Bermuda	1993/03/05
01108	Luoyang Glass Co. Ltd. – H Shares	PRC	1994/07/08
01327	Luxxu Group Ltd.	Cayman Islands	2015/01/30
02186	Luye Pharma Group Ltd.	Bermuda	2014/07/09
01461	LUZHENG FUTURES Co. Ltd. – H shares	PRC	2015/07/07
01983	Luzhou Bank Co., Ltd. – H Shares	PRC	2018/12/17
02281	Luzhou Xinglu Water (Group) Co., Ltd. – H Shares	PRC	2017/03/31
00095	LVGEM (China) Real Estate Investment Co. Ltd.	Cayman Islands	2005/12/02
01745	Lvji Technology Holdings Inc.	Cayman Islands	2020/01/17
00323	Maanshan Iron & Steel Co. Ltd. – H Shares	PRC	1993/11/03
02181	Mabpharm Ltd. – B	Cayman Islands	2019/05/31
01408	Macau E&M Holding Ltd.	Cayman Islands	2020/09/11
01680	Macau Legend Development Ltd.	Cayman Islands	2013/07/05
00758	Macrolink Capital Holdings Ltd.	Bermuda	1999/12/03
00201	Magnificent Hotel Investments Ltd.	Hong Kong	1972/11/17
01172	Magnus Concordia Group Ltd.	Cayman Islands	1996/06/12
01553	Maike Tube Industry Holdings Ltd.	Cayman Islands	2019/12/18
01100	Mainland Headwear Holdings Ltd.	Bermuda	2000/12/13
01389	Major Holdings Ltd.	Cayman Islands	2014/01/10
02193	Man King Holdings Ltd.	Cayman Islands	2015/07/03
00938	Man Sang International Ltd.	Bermuda	1997/09/26

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Code	Company name	Incorporation	Listing date
01746	Man Shun Group (Holdings) Ltd.	Cayman Islands	2018/07/11
01999	Man Wah Holdings Ltd.	Bermuda	2010/04/09
00894	Man Yue Technology Holdings Ltd.	Bermuda	1997/03/05
02180	ManpowerGroup Greater China Ltd.	Cayman Islands	2019/07/10
00945	Manulife Financial Corporation – S	Canada	1999/09/27
01896	Maoyan Entertainment	Cayman Islands	2019/02/04
00848	Maoye International Holdings Ltd.	Cayman Islands	2008/05/05
00273	Mason Group Holdings Ltd.	Hong Kong	n.a.
01005	Matrix Holdings Ltd.	Bermuda	1994/02/18
02295	Maxicity Holdings Ltd.	Cayman Islands	2019/12/13
01037	Maxnerva Technology Services Ltd.	Bermuda	1994/04/14
01116	Mayer Holdings Ltd.	Cayman Islands	2004/06/21
01957	MBV International Ltd.	Cayman Islands	2020/07/08
01183	MECOM Power and Construction Ltd.	Cayman Islands	2018/02/13
00685	Media Chinese International Ltd.	Bermuda	1991/03/22
02230	Medialink Group Ltd.	Cayman Islands	2019/05/21
02159	Mediwelcome Healthcare Management & Technology Inc.	Cayman Islands	2021/01/19
02192	Medlive Technology Co., Ltd.	Cayman Islands	2021/07/15
06939	MEGAIN Holding (Cayman) Co., Ltd.	Cayman Islands	2021/03/31
00391	Mei Ah Entertainment Group Ltd.	Bermuda	1993/10/18
02327	Meilleure Health International Industry Group Ltd.	Bermuda	2003/10/14
01357	Meitu, Inc.	Cayman Islands	2016/12/15
03690	Meituan – W	Cayman Islands	2018/09/20
00158	Melbourne Enterprises Ltd.	Hong Kong	1972/08/29
00200	Melco International Development Ltd.	Hong Kong	n.a.
01618	Metallurgical Corporation of China Ltd. – H Shares	PRC	2009/09/24
00022	Mexan Ltd.	Bermuda	1972/12/14
02282	MGM China Holdings Ltd.	Cayman Islands	2011/06/03
02160	MicroPort CardioFlow Medtech Corporation – B	Cayman Islands	2021/02/04
00853	MicroPort Scientific Corporation	Cayman Islands	2010/09/24
02235	MicroTech Medical (Hangzhou) Co., Ltd. – B – H Shares	PRC	2021/10/19
01985	Microware Group Ltd.	Cayman Islands	2017/03/08
03990	Midea Real Estate Holding Ltd.	Cayman Islands	2018/10/11
01200	Midland Holdings Ltd.	Bermuda	1995/06/08
00459	Midland IC&I Ltd.	Cayman Islands	2001/03/15
01555	MIE Holdings Corporation	Cayman Islands	2010/12/14
01715	Miji International Holdings Ltd.	Cayman Islands	2018/07/16
01247	Miko International Holdings Ltd.	Cayman Islands	2014/01/15
01150	Milan Station Holdings Ltd.	Cayman Islands	2011/05/23
01667	Milestone Builder Holdings Ltd.	Cayman Islands	2017/04/07
02892	Million Cities Holdings Ltd.	Cayman Islands	2018/12/20
01897	Million Hope Industries Holdings Ltd.	Cayman Islands	2019/03/19
00222	Min Xin Holdings Ltd.	Hong Kong	1982/06/28
03828	Ming Fai International Holdings Ltd.	Cayman Islands	2007/11/02
00909	Ming Yuan Cloud Group Holdings Ltd.	Cayman Islands	2020/09/25
00846	Mingfa Group (International) Co. Ltd.	Cayman Islands	2009/11/13

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00230	Minmetals Land Ltd.	Bermuda	1991/12/20
01632	Minshang Creative Technology Holdings Ltd.	Cayman Islands	2016/11/29
01569	Minsheng Education Group Co. Ltd.	Cayman Islands	2017/03/22
00425	Minth Group Ltd.	Cayman Islands	2005/12/01
00071	Miramar Hotel & Investment Co. Ltd.	Hong Kong	n.a.
01827	Miricor Enterprises Holdings Ltd.	Cayman Islands	2017/01/10
01208	MMG Ltd.	Hong Kong	1994/12/15
00947	MOBI Development Co., Ltd.	Cayman Islands	2009/12/17
01213	Mobicon Group Ltd.	Bermuda	2001/05/07
01439	Mobile Internet (China) Holdings Ltd.	Cayman Islands	2014/01/13
01860	Mobvista Inc.	Cayman Islands	2018/12/12
01643	Modern Chinese Medicine Group Co., Ltd.	Cayman Islands	2021/01/15
03600	Modern Dental Group Ltd.	Cayman Islands	2015/12/15
00919	Modern Healthcare Technology Holdings Ltd.	Cayman Islands	2006/02/09
01107	Modern Land (China) Co., Ltd.	Cayman Islands	2013/07/12
00072	Modern Media Holdings Ltd.	Cayman Islands	2009/09/09
01942	MOG Holdings Ltd.	Cayman Islands	2020/04/15
00130	Moiselle International Holdings Ltd.	Cayman Islands	2002/02/11
01152	Momentum Financial Holdings Ltd.	Bermuda	2011/10/28
00276	Mongolia Energy Corporation Ltd.	Bermuda	1972/08/23
00975	Mongolian Mining Corporation	Cayman Islands	2010/10/13
01400	Moody Technology Holdings Ltd.	Bermuda	2014/04/25
02155	Morimatsu International Holdings Co. Ltd.	Hong Kong	2021/06/28
01575	Morris Home Holdings Ltd.	Cayman Islands	2017/01/12
01653	MOS House Group Ltd.	Cayman Islands	2018/10/19
01716	Most Kwai Chung Ltd.	Cayman Islands	2018/03/28
01451	MS Group Holdings Ltd.	Cayman Islands	2018/06/01
00066	MTR Corporation Ltd.	Hong Kong	2000/10/05
01817	Mulsanne Group Holding Ltd.	Cayman Islands	2019/05/27
00898	Multifield International Holdings Ltd.	Bermuda	1998/07/31
03918	NagaCorp Ltd.	Cayman Islands	2006/10/19
01982	Nameson Holdings Ltd.	Cayman Islands	2016/04/12
00680	Nan Hai Corporation Ltd.	Bermuda	1991/02/13
01229	Nan Nan Resources Enterprise Ltd.	Bermuda	1995/04/13
01617	Nanfang Communication Holdings Ltd.	Cayman Islands	2016/12/12
00553	Nanjing Panda Electronics Co. Ltd. – H Shares	PRC	1996/05/02
01708	Nanjing Sample Technology Co. Ltd. – H Shares	PRC	2004/06/09
03332	Nanjing Sinolife United Co. Ltd. – H Shares	PRC	2014/01/15
00212	Nanyang Holdings Ltd.	Bermuda	1954/09/15
00213	National Electronics Holdings Ltd.	Bermuda	1985/02/04
01227	National Investments Fund Ltd.	Cayman Islands	2002/09/27
00254	National United Resources Holdings Ltd.	Hong Kong	1972/11/16
00157	Natural Beauty Bio-Technology Ltd.	Cayman Islands	2002/03/28
00462	Natural Dairy (NZ) Holdings Ltd.	Cayman Islands	2005/06/10
01837	Natural Food International Holding Ltd.	Cayman Islands	2018/12/12
02150	Nayuki Holdings Ltd.	Cayman Islands	2021/06/30

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01868	Neo-Neon Holdings Ltd.	Cayman Islands	2006/12/15
01483	Net-a-Go Technology Co. Ltd.	Cayman Islands	2013/12/10
00777	NetDragon Websoft Holdings Ltd.	Cayman Islands	2008/06/24
09999	NetEase, Inc. – S	Cayman Islands	2020/06/11
02131	Netjoy Holdings Ltd.	Cayman Islands	2020/12/17
09616	Neusoft Education Technology Co. Ltd.	Cayman Islands	2020/09/29
00234	New Century Group Hong Kong Ltd.	Bermuda	1972/11/24
01518	New Century Healthcare Holding Co. Ltd.	Cayman Islands	2017/01/18
01336	New China Life Insurance Co. Ltd. – H Shares	PRC	2011/12/15
00456	New City Development Group Ltd.	Cayman Islands	1993/07/14
02221	New Concepts Holdings Ltd.	Cayman Islands	2014/09/19
00360	New Focus Auto Tech Holdings Ltd.	Cayman Islands	2005/02/28
03658	New Hope Service Holdings Ltd.	Cayman Islands	2021/05/25
06606	New Horizon Health Ltd. – B	Cayman Islands	2021/02/18
09901	New Oriental Education & Technology Group Inc. – S	Cayman Islands	2020/11/09
02326	New Provenance Everlasting Holdings Ltd.	Bermuda	2003/03/03
06108	New Ray Medicine International Holding Ltd.	Bermuda	2013/10/25
00472	New Silkroad Culturaltainment Ltd.	Bermuda	1988/01/29
00970	New Sparkle Roll International Group Ltd.	Bermuda	1997/09/18
00166	New Times Energy Corporation Ltd.	Bermuda	1998/10/13
00436	New Universe Environmental Group Ltd.	Cayman Islands	2000/05/18
00825	New World Department Store China Ltd.	Cayman Islands	2007/07/12
00017	New World Development Co. Ltd.	Hong Kong	1972/11/23
00055	Neway Group Holdings Ltd.	Bermuda	1992/09/10
09911	Newborn Town Inc.	Cayman Islands	2019/12/31
09600	Newlink Technology Inc.	Cayman Islands	2021/01/06
00342	NewOcean Energy Holdings Ltd.	Bermuda	1993/03/03
01231	Newton Resources Ltd.	Cayman Islands	2011/07/04
00282	Next Digital Ltd.	Hong Kong	n.a.
01316	Nexteer Automotive Group Ltd.	Cayman Islands	2013/10/07
01047	Ngai Hing Hong Co. Ltd.	Bermuda	1994/04/25
00186	Nimble Holdings Co. Ltd.	Bermuda	1987/07/09
02689	Nine Dragons Paper (Holdings) Ltd.	Bermuda	2006/03/03
01245	Niraku GC Holdings, Inc.	Japan	2015/04/08
01475	Nissin Foods Co. Ltd.	Hong Kong	2017/12/11
03773	NNK Group Ltd.	Cayman Islands	2016/01/07
09633	Nongfu Spring Co., Ltd. – H Shares	PRC	2020/09/08
00433	North Mining Shares Co. Ltd.	Bermuda	n.a.
00042	Northeast Electric Development Co. Ltd. – H Shares	PRC	1995/07/06
01360	NOVA Group Holdings Ltd.	Cayman Islands	2013/11/06
02222	NVC International Holdings Ltd.	Cayman Islands	2010/05/20
00659	NWS Holdings Ltd.	Bermuda	1997/04/25
00329	OCI International Holdings Ltd.	Cayman Islands	2001/05/09
01477	Ocumension Therapeutics – B	Cayman Islands	2020/07/10
01319	Oi Wah Pawnshop Credit Holdings Ltd.	Cayman Islands	2013/03/12
01499	OKG Technology Holdings Ltd.	Cayman Islands	2015/09/02

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01655	Okura Holdings Ltd.	Hong Kong	2017/05/15
00426	One Media Group Ltd.	Cayman Islands	2005/10/18
01933	OneForce Holdings Ltd.	Cayman Islands	2018/03/02
01132	Orange Sky Golden Harvest Entertainment (Holdings) Ltd.	Bermuda	1994/11/23
00316	Orient Overseas (International) Ltd.	Bermuda	1992/07/31
00265	Orient Victory Travel Group Co. Ltd.	Cayman Islands	n.a.
00018	Oriental Enterprise Holdings Ltd.	Hong Kong	1987/08/18
00430	Oriental Explorer Holdings Ltd.	Bermuda	1993/03/30
00398	Oriental Watch Holdings Ltd.	Bermuda	1993/10/12
00622	Oshidori International Holdings Ltd.	Bermuda	1991/09/16
06899	Ourgame International Holdings Ltd.	Cayman Islands	2014/06/30
03366	Overseas Chinese Town (Asia) Holdings Ltd.	Cayman Islands	2005/11/02
02014	Ozner Water International Holding Ltd.	Cayman Islands	2014/06/17
00574	Pa Shun International Holdings Ltd.	Cayman Islands	2015/06/19
02343	Pacific Basin Shipping Ltd.	Bermuda	2004/07/14
00432	Pacific Century Premium Developments Ltd.	Bermuda	1993/09/20
01820	Pacific Millennium Packaging Group Corporation	Cayman Islands	2018/12/21
00543	Pacific Online Ltd.	Cayman Islands	2007/12/18
01382	Pacific Textiles Holdings Ltd.	Cayman Islands	2007/05/18
01010	PacRay International Holdings Ltd.	Bermuda	1994/02/18
00239	Pak Fah Yeow International Ltd.	Bermuda	1991/12/18
02668	Pak Tak International Ltd.	Bermuda	2001/12/06
01703	Palace Banquet Holdings Ltd.	Cayman Islands	2019/02/15
00495	Paladin Ltd.	Bermuda	1988/07/20
00617	Paliburg Holdings Ltd.	Bermuda	1993/12/17
01561	Pan Asia Data Holdings Inc.	Cayman Islands	2015/12/01
00556	Pan Asia Environmental Protection Group Ltd.	Cayman Islands	2007/12/21
02078	PanAsialum Holdings Co. Ltd.	Cayman Islands	2013/02/05
01473	Pangaea Connectivity Technology Ltd.	Cayman Islands	2021/02/19
01180	Paradise Entertainment Ltd.	Bermuda	1997/01/20
03368	Parkson Retail Group Ltd.	Cayman Islands	2005/11/30
00327	PAX Global Technology Ltd.	Bermuda	2010/12/20
01263	PC Partner Group Ltd.	Cayman Islands	2012/01/12
00008	PCCW Ltd.	Hong Kong	1994/10/18
00676	Pegasus International Holdings Ltd.	Bermuda	1996/10/11
09996	Peijia Medical Ltd. – B	Cayman Islands	2020/05/15
02885	Peiport Holdings Ltd.	Cayman Islands	2019/01/11
00618	Peking University Resources (Holdings) Co. Ltd.	Bermuda	1991/10/07
01665	Pentamaster International Ltd.	Cayman Islands	2018/01/19
02798	Perennial Energy Holdings Ltd.	Cayman Islands	2018/12/12
00725	Perennial International Ltd.	Bermuda	1996/12/30
03326	Perfect Group International Holdings Ltd.	Cayman Islands	2016/01/04
01830	Perfect Medical Health Management Ltd.	Cayman Islands	2012/02/10
00765	Perfectech International Holdings Ltd.	Bermuda	1992/10/23
03395	Persta Resources Inc.	Canada	2017/03/10
00857	PetroChina Co. Ltd. – H Shares	PRC	2000/04/07

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Code	Company name	Incorporation	Listing date
02178	Petro-king Oilfield Services Ltd.	British Virgin Islands	2013/03/06
03759	Pharmaron Beijing Co., Ltd. – H Shares	PRC	2019/11/28
02008	Phoenix Media Investment (Holdings) Ltd.	Cayman Islands	2000/06/30
02328	PICC Property and Casualty Co. Ltd. – H Shares	PRC	2003/11/06
00752	Pico Far East Holdings Ltd.	Cayman Islands	1992/09/28
01989	Pine Care Group Ltd.	Cayman Islands	2017/02/15
01079	Pine Technology Holdings Ltd.	Bermuda	1999/11/26
00804	Pinestone Captial Ltd.	Cayman Islands	2015/06/12
01833	Ping An Healthcare and Technology Co. Ltd.	Cayman Islands	2018/05/04
02318	Ping An Insurance (Group) Co. of China, Ltd. – H Shares	PRC	2004/06/24
00231	Ping An Securities Group (Holdings) Ltd.	Bermuda	n.a.
00224	Pioneer Global Group Ltd.	Bermuda	n.a.
00613	Planetree International Development Ltd.	Bermuda	1993/11/12
01949	Platt Nera International Ltd.	Cayman Islands	2019/07/16
00635	Playmates Holdings Ltd.	Bermuda	1994/01/06
00869	Playmates Toys Ltd.	Bermuda	2008/02/01
01523	Plover Bay Technologies Ltd.	Cayman Islands	2016/07/13
00225	Pokfulam Development Co. Ltd.	Hong Kong	1972/12/19
03636	Poly Culture Group Corporation Ltd. – H Shares	PRC	2014/03/06
00119	Poly Property Group Co., Ltd.	Hong Kong	1973/08/30
06049	Poly Property Services Co., Ltd. – H Shares	PRC	2019/12/19
09992	Pop Mart International Group Ltd.	Cayman Islands	2020/12/11
01658	Postal Savings Bank of China Co., Ltd. – H Shares	PRC	2016/09/28
03813	Pou Sheng International (Holdings) Ltd.	Bermuda	2008/06/06
00006	Power Assets Holdings Ltd.	Hong Kong	n.a.
00397	Power Financial Group Ltd.	Bermuda	1993/10/13
09909	Powerlong Commercial Management Holdings Ltd.	Cayman Islands	2019/12/30
01238	Powerlong Real Estate Holdings Ltd.	Cayman Islands	2009/10/14
01913	PRADA S.p.A.	Italy	2011/06/24
01861	Precious Dragon Technology Holdings Ltd.	Cayman Islands	2019/06/21
01651	Precision Tsugami (China) Corporation Ltd.	Cayman Islands	2017/09/25
01809	Prinx Chengshan Holdings Ltd.	Cayman Islands	2018/10/09
01581	Progressive Path Group Holdings Ltd.	Cayman Islands	2016/12/08
06816	Prosper Construction Holdings Ltd.	Cayman Islands	2016/07/20
01470	Prosper One International Holdings Co. Ltd.	Cayman Islands	2015/05/12
00803	Prosperity International Holdings (H.K.) Ltd.	Bermuda	2001/08/02
00310	Prosperity Investment Holdings Ltd.	Bermuda	1992/12/21
01259	Prosperous Future Holdings Ltd.	Cayman Islands	2011/07/15
01731	Prosperous Industrial (Holdings) Ltd.	Cayman Islands	2018/07/13
02378	Prudential plc	England	2010/05/25
00372	PT International Development Corporation Ltd.	Bermuda	1992/02/13
00626	Public Financial Holdings Ltd.	Bermuda	1991/10/03
02060	Pujiang International Group Ltd.	Cayman Islands	2019/05/28
01498	PuraPharm Corporation Ltd.	Cayman Islands	2015/07/08
01720	Putian Communication Group Ltd.	Cayman Islands	2017/11/09
00090	Puxing Energy Ltd.	Cayman Islands	2009/07/10

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Code	Company name	Incorporation	Listing date
01358	PW Medtech Group Ltd.	Cayman Islands	2013/11/08
01412	Q P Group Holdings Ltd.	Cayman Islands	2020/01/16
01478	Q Technology (Group) Co. Ltd.	Cayman Islands	2014/12/02
01739	Qeeka Home (Cayman) Inc.	Cayman Islands	2018/07/12
00911	Qianhai Health Holdings Ltd.	Cayman Islands	2014/06/27
01280	Qidian International Co., Ltd.	Cayman Islands	2010/03/25
01576	Qilu Expressway Co. Ltd. – H Shares	PRC	2018/07/19
06633	Qingci Games Inc.	Cayman Islands	2021/12/16
00499	Qingdao Holdings International Ltd.	Bermuda	1988/07/22
06198	Qingdao Port International Co., Ltd. – H Shares	PRC	2014/06/06
01122	Qingling Motors Co. Ltd. – H Shares	PRC	1994/08/17
03369	Qinhuangdao Port Co., Ltd. – H Shares	PRC	2013/12/12
01583	Qinqin Foodstuffs Group (Cayman) Co. Ltd.	Cayman Islands	2016/07/08
00243	QPL International Holdings Ltd.	Bermuda	1987/01/20
01348	Quali-Smart Holdings Ltd.	Cayman Islands	2013/01/23
01577	Quanzhou Huixin Micro-credit Co., Ltd. – H Shares	PRC	2016/09/30
09993	Radiance Holdings (Group) Co. Ltd.	Cayman Islands	2020/10/29
01376	Raffles Interior Ltd.	Cayman Islands	2020/05/07
02135	Raily Aesthetic Medicine International Holdings Ltd.	Cayman Islands	2020/12/28
00601	Rare Earth Magnesium Technology Group Holdings Ltd.	Bermuda	1993/01/28
00229	Raymond Industrial Ltd.	Hong Kong	1984/11/22
01337	Razer Inc.	Cayman Islands	2017/11/13
01196	Realord Group Holdings Ltd.	Bermuda	1997/01/20
01528	Red Star Macalline Group Corporation Ltd. – H Shares	PRC	2015/06/26
01622	Redco Properties Group Ltd.	Cayman Islands	2014/01/30
01996	Redsun Properties Group Ltd.	Cayman Islands	2018/07/12
01971	Redsun Services Group Ltd.	Cayman Islands	2020/07/07
01631	REF Holdings Ltd.	Cayman Islands	2015/09/25
00078	Regal Hotels International Holdings Ltd.	Bermuda	n.a.
02199	Regina Miracle International (Holdings) Ltd.	Cayman Islands	2015/10/08
00723	Reliance Global Holdings Ltd.	Bermuda	1991/07/18
01750	REM Group (Holdings) Ltd.	Cayman Islands	2018/05/11
09995	RemeGen Co., Ltd. – B – H Shares	PRC	2020/11/09
00274	Renaissance Asia Silk Road Group Ltd.	Cayman Islands	2000/12/18
02323	Renco Holdings Group Ltd.	Bermuda	2002/06/21
03628	RENHENG Enterprise Holdings Ltd.	Cayman Islands	2011/11/18
06919	Renrui Human Resources Technology Holdings Ltd.	Cayman Islands	2019/12/13
00885	Rentian Technology Holdings Ltd.	Cayman Islands	2001/07/12
01741	Ri Ying Holdings Ltd.	Cayman Islands	2018/10/16
00070	Rich Goldman Holdings Ltd.	Hong Kong	n.a.
00313	Richly Field China Development Ltd.	Bermuda	1993/01/05
01526	Rici Healthcare Holdings Ltd.	Cayman Islands	2016/10/06
01953	Rimbaco Group Global Ltd.	Cayman Islands	2020/04/28
01679	Risecomm Group Holdings Ltd.	Cayman Islands	2017/06/09
01936	Ritamix Global Ltd.	Cayman Islands	2020/05/13
01417	Riverine China Holdings Ltd.	Cayman Islands	2017/12/11

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Code	Company name	Incorporation	Listing date
06117	Rizhao Port Jurong Co., Ltd. – H Shares	PRC	2019/06/19
01098	Road King Infrastructure Ltd.	Bermuda	1996/07/04
02146	Roiserv Lifestyle Services Co., Ltd. – H Shares	PRC	2021/01/15
03301	Ronshine China Holdings Ltd.	Cayman Islands	2016/01/13
02207	Ronshine Service Holding Co., Ltd	Cayman Islands	2021/07/16
00578	Rosan Resources Holdings Ltd.	Bermuda	1997/05/15
03789	Royal Deluxe Holdings Ltd.	Cayman Islands	2017/02/08
01198	Royale Home Holdings Ltd.	Cayman Islands	2002/05/15
01640	Ruicheng (China) Media Group Ltd.	Cayman Islands	2019/11/12
02025	Ruifeng Power Group Co. Ltd.	Cayman Islands	2018/01/05
00724	Ruixin International Holdings Ltd.	Bermuda	2000/07/03
02288	Rykadan Capital Ltd.	Cayman Islands	2009/08/21
00251	S E A Holdings Ltd.	Bermuda	1973/10/03
01695	S&P International Holding Ltd.	Cayman Islands	2017/07/11
03928	S&T Holdings Ltd.	Cayman Islands	2019/09/19
01832	S.A.I. Leisure Group Co. Ltd.	Cayman Islands	2019/05/16
01184	S.A.S. Dragon Holdings Ltd.	Bermuda	1994/10/17
00178	Sa Sa International Holdings Ltd.	Cayman Islands	1997/06/13
00237	Safety Godown Co. Ltd.	Hong Kong	1973/01/09
03822	Sam Woo Construction Group Ltd.	Cayman Islands	2014/10/16
00531	Samson Holding Ltd.	Cayman Islands	2005/11/17
00731	Samson Paper Holdings Ltd.	Bermuda	1995/12/01
01910	Samsonite International S.A.	Luxembourg	2011/06/16
00236	San Miguel Brewery Hong Kong Ltd.	Hong Kong	n.a.
01889	Sanai Health Industry Group Co. Ltd.	Cayman Islands	2007/02/01
00482	Sandmartin International Holdings Ltd.	Bermuda	2005/05/12
01928	Sands China Ltd.	Cayman Islands	2009/11/30
01472	Sang Hing Holdings (International) Ltd.	Cayman Islands	2020/03/17
02183	Sansheng Holdings (Group) Co. Ltd.	Cayman Islands	2013/09/12
00301	SANVO Fine Chemicals Group Ltd.	Cayman Islands	2020/01/16
06611	Sanxun Holdings Group Ltd.	Cayman Islands	2021/07/19
00631	Sany Heavy Equipment International Holdings Co. Ltd.	Cayman Islands	2009/11/25
00606	SCE Intelligent Commercial Management Holdings Ltd.	Cayman Islands	2021/07/02
01769	Scholar Education Group	Cayman Islands	2019/06/21
06600	SciClone Pharmaceuticals (Holdings) Ltd.	Cayman Islands	2021/03/03
01030	Seazen Group Ltd.	Cayman Islands	2012/11/29
00205	SEEC Media Group Ltd.	Bermuda	1993/01/05
09929	SEM Holdings Ltd.	Cayman Islands	2020/02/14
00981	Semiconductor Manufacturing International Corporation	Cayman Islands	2004/03/18
01755	S-Enjoy Service Group Co., Ltd.	Cayman Islands	2018/11/06
00020	SenseTime Group Inc. – W	Cayman Islands	2021/12/30
01447	SFK Construction Holdings Ltd.	Bermuda	2015/12/10
01367	SFund International Holdings Ltd.	Cayman Islands	2014/07/11
01657	SG Group Holdings Ltd.	Cayman Islands	2017/03/21
01637	SH Group (Holdings) Ltd.	Cayman Islands	2017/01/03
01812	Shandong Chenming Paper Holdings Ltd. – H Shares	PRC	2008/06/18

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Code	Company name	Incorporation	Listing date
09977	Shandong Fengxiang Co., Ltd. – H Shares	PRC	2020/07/16
01787	Shandong Gold Mining Co., Ltd. – H Shares	PRC	2018/09/28
01697	Shandong International Trust Co., Ltd. – H Shares	PRC	2017/12/08
00568	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	PRC	2007/02/07
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	PRC	2004/02/27
00719	Shandong Xinhua Pharmaceutical Co. Ltd. – H Shares	PRC	1996/12/31
02185	Shanghai Bio-heart Biological Technology Co., Ltd. – B -H Sh	PRC	2021/12/23
02276	Shanghai Conant Optical Co., Ltd. – H Shares	PRC	2021/12/16
01103	Shanghai Dasheng Agriculture Finance Technology Co, Ltd-H Sh	PRC	2005/07/13
01635	Shanghai Dazhong Public Utilities (Group) Co., Ltd. – H Shs	PRC	2016/12/05
02718	Shanghai Dongzheng Automotive Finance Co., Ltd. – H Shares	PRC	2019/04/03
02727	Shanghai Electric Group Co. Ltd. – H Shares	PRC	2005/04/28
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares	PRC	2012/10/30
01385	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	PRC	2000/08/04
01349	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	PRC	2002/08/13
01525	Shanghai Gench Education Group Ltd.	Cayman Islands	2020/01/16
06826	Shanghai Haohai Biological Technology Co., Ltd. – H Shares	PRC	2015/04/30
06609	Shanghai HeartCare Medical Technology Corp. Ltd. – B – H Shs	PRC	2021/08/20
02696	Shanghai Henlius Biotech, Inc. – H Shares	PRC	2019/09/25
00363	Shanghai Industrial Holdings Ltd.	Hong Kong	1996/05/30
00563	Shanghai Industrial Urban Development Group Ltd.	Bermuda	1993/09/10
00770	Shanghai International Shanghai Growth Investment Ltd.	Cayman Islands	1993/11/30
02006	Shanghai Jin Jiang Capital Co. Ltd. – H Shares	PRC	2006/12/15
01877	Shanghai Junshi Biosciences Co., Ltd. – H Shares	PRC	2018/12/24
01501	Shanghai Kindly Medical Instruments Co., Ltd. – H Shares	PRC	2019/11/08
02252	Shanghai MicroPort MedBot (Group) Co., Ltd. – B – H Shares	PRC	2021/11/02
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	PRC	2011/05/20
01835	Shanghai Realway Capital Assets Management Co., Ltd. – H Shs	PRC	2018/11/13
00755	Shanghai Zendai Property Ltd.	Bermuda	1992/10/27
00069	Shangri-La Asia Ltd.	Bermuda	1993/06/17
01749	Shanshan Brand Management Co., Ltd. – H Shares	PRC	2018/06/27
00953	Shaw Brothers Holdings Ltd.	Cayman Islands	2010/02/01
01335	Sheen Tai Holdings Group Co. Ltd.	Cayman Islands	2012/07/13
06069	Sheng Ye Capital Ltd.	Cayman Islands	2017/07/06
00851	Sheng Yuan Holdings Ltd.	Bermuda	1998/12/02
02066	Shengjing Bank Co., Ltd. – H Shares	PRC	2014/12/29
01080	Shengli Oil & Gas Pipe Holdings Ltd.	Cayman Islands	2009/12/18
00829	Shenguan Holdings (Group) Ltd.	Cayman Islands	2009/10/13
00218	Shenwan Hongyuan (H.K.) Ltd.	Hong Kong	n.a.
06806	Shenwan Hongyuan Group Co., Ltd. – H Shares	PRC	2019/04/26
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	PRC	1999/12/16
00548	Shenzhen Expressway Co. Ltd. – H Shares	PRC	1997/03/12
09989	Shenzhen Hepalink Pharmaceutical Group Co., Ltd. – H Shares	PRC	2020/07/08
00152	Shenzhen International Holdings Ltd.	Bermuda	1972/09/25
00737	Shenzhen Investment Holdings Bay Area Development Co. Ltd.	Cayman Islands	2003/08/06
00604	Shenzhen Investment Ltd.	Hong Kong	1997/03/07

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Code	Company name	Incorporation	Listing date
02313	Shenzhou International Group Holdings Ltd.	Cayman Islands	2005/11/24
01633	Sheung Yue Group Holdings Ltd.	Cayman Islands	2016/11/11
01831	ShiFang Holding Ltd.	Bermuda	2010/12/03
00813	Shimao Group Holdings Ltd.	Cayman Islands	2006/07/05
00873	Shimao Services Holdings Ltd.	Cayman Islands	2020/10/30
01930	Shinelong Automotive Lightweight Application Ltd.	Cayman Islands	2019/06/28
01587	Shineroad International Holdings Ltd.	Cayman Islands	2018/06/27
02599	Shinsun Holdings (Group) Co., Ltd.	Cayman Islands	2020/11/18
00312	Shirble Department Store Holdings (China) Ltd.	Cayman Islands	2010/11/17
00697	Shoucheng Holdings Ltd.	Hong Kong	1991/04/30
00103	Shougang Century Holdings Ltd.	Hong Kong	1992/04/09
00730	Shougang Concord Grand (Group) Ltd.	Bermuda	1991/08/08
00639	Shougang Fushan Resources Group Ltd.	Hong Kong	1990/10/02
01706	Shuang Yun Holdings Ltd.	Cayman Islands	2017/11/15
01241	Shuanghua Holdings Ltd.	Cayman Islands	2011/06/30
00272	Shui On Land Ltd.	Cayman Islands	2006/10/04
00253	Shun Ho Holdings Ltd.	Hong Kong	n.a.
00219	Shun Ho Property Investments Ltd.	Hong Kong	1973/05/10
00242	Shun Tak Holdings Ltd.	Hong Kong	1973/01/25
01591	Shun Wo Group Holdings Ltd.	Cayman Islands	2016/09/28
01165	Shunfeng International Clean Energy Ltd.	Cayman Islands	2011/07/13
00932	Shunten International (Holdings) Ltd.	Cayman Islands	2013/10/11
01142	Siberian Mining Group Co. Ltd.	Cayman Islands	2002/11/08
01713	Sichuan Energy Investment Development Co., Ltd. – H Shares	PRC	2018/12/28
00107	Sichuan Expressway Co. Ltd. – H Shares	PRC	1997/10/07
00460	Sihuan Pharmaceutical Holdings Group Ltd.	Bermuda	2010/10/28
00807	SIIC Environment Holdings Ltd.	Singapore	2018/03/23
00988	Silk Road Logistics Holdings Ltd.	Bermuda	1994/01/21
00886	Silver Base Group Holdings Ltd.	Cayman Islands	2009/04/08
00171	Silver Grant International Holdings Group Ltd.	Hong Kong	n.a.
01943	Silver Tide Holdings Ltd.	Cayman Islands	2019/06/28
02000	SIM Technology Group Ltd.	Bermuda	2005/06/30
02096	Simcere Pharmaceutical Group Ltd.	Hong Kong	2020/10/27
00244	Sincere Co. Ltd., The	Hong Kong	n.a.
00444	Sincere Watch (Hong Kong) Ltd.	Cayman Islands	2005/10/17
06833	Sinco Pharmaceuticals Holdings Ltd.	Cayman Islands	2016/03/10
01105	Sing Tao News Corporation Ltd.	Bermuda	1996/07/10
00716	Singamas Container Holdings Ltd.	Hong Kong	1993/07/08
02103	Sinic Holdings (Group) Co. Ltd.	Cayman Islands	2019/11/15
01177	Sino Biopharmaceutical Ltd.	Cayman Islands	2003/12/08
01096	Sino Energy International Holdings Group Ltd.	Cayman Islands	2011/09/28
01759	Sino Gas Holdings Group Ltd.	Cayman Islands	2018/12/28
00361	Sino Golf Holdings Ltd.	Bermuda	2000/12/20
01663	Sino Harbour Holdings Group Ltd.	Bermuda	2011/07/22
01221	Sino Hotels (Holdings) Ltd.	Cayman Islands	1995/03/08
00365	Sino ICT Holdings Ltd.	Bermuda	2000/10/16

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Code	Company name	Incorporation	Listing date
00083	Sino Land Co. Ltd.	Hong Kong	1981/04/08
00702	Sino Oil and Gas Holdings Ltd.	Bermuda	2000/02/09
00766	Sino Prosper (Group) Holdings Ltd.	Cayman Islands	2002/05/15
06933	Sino-Entertainment Technology Holdings Ltd.	Cayman Islands	2020/07/15
00297	Sinofert Holdings Ltd.	Bermuda	1996/09/30
00250	Sino-i Technology Ltd.	Hong Kong	n.a.
01168	Sinolink Worldwide Holdings Ltd.	Bermuda	1998/06/08
03681	SinoMab BioScience Ltd. – B	Hong Kong	2019/11/12
01418	Sinomax Group Ltd.	Cayman Islands	2014/07/10
00623	SinoMedia Holding Ltd.	Hong Kong	2008/07/08
03377	Sino-Ocean Group Holding Ltd.	Hong Kong	2007/09/28
06677	Sino-Ocean Service Holding Ltd.	Cayman Islands	2020/12/17
02386	SINOPEC Engineering (Group) Co., Ltd. – H Shares	PRC	2013/05/23
00934	Sinopec Kantons Holdings Ltd.	Bermuda	1999/06/25
01033	Sinopec Oilfield Service Corporation – H Shares	PRC	1994/03/29
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	PRC	1993/07/26
01099	Sinopharm Group Co. Ltd. – H Shares	PRC	2009/09/23
01297	Sinosoft Technology Group Ltd.	Cayman Islands	2013/07/09
00598	Sinotrans Ltd. – H Shares	PRC	2003/02/13
03808	Sinotruk (Hong Kong) Ltd.	Hong Kong	2007/11/28
02257	Sirnaomics Ltd. – B	Cayman Islands	2021/12/30
00529	SiS International Holdings Ltd.	Bermuda	1992/08/18
01362	SiS Mobile Holdings Ltd.	Cayman Islands	2015/01/15
01696	Sisram Medical Ltd.	Israel	2017/09/19
01308	SITC International Holdings Co. Ltd.	Cayman Islands	2010/10/06
01023	Sitoy Group Holdings Ltd.	Cayman Islands	2011/12/06
00880	SJM Holdings Ltd.	Hong Kong	2008/07/16
00141	Sky Chinafortune Holdings Group Ltd.	Hong Kong	1973/03/07
03882	Sky Light Holdings Ltd.	Cayman Islands	2015/07/02
00059	Skyfame Realty (Holdings) Ltd.	Bermuda	1993/11/16
01429	Skymission Group Holdings Ltd.	Cayman Islands	2020/09/29
00751	Skyworth Group Ltd.	Bermuda	2000/04/07
01481	Smart Globe Holdings Ltd.	Cayman Islands	2017/12/28
00395	Smartac International Holdings Ltd.	Cayman Islands	2002/10/28
02166	Smart-Core Holdings Ltd.	Cayman Islands	2016/10/07
00315	SmarTone Telecommunications Holdings Ltd.	Bermuda	1996/10/31
02381	SMC Electric Ltd.	Cayman Islands	2020/06/02
02366	SMI Culture & Travel Group Holdings Ltd.	Bermuda	2004/06/30
02239	SMIT Holdings Ltd.	Cayman Islands	2016/03/30
06969	Smoore International Holdings Ltd.	Cayman Islands	2020/07/10
01843	Snack Empire Holdings Ltd.	Cayman Islands	2019/10/23
00983	SOCAM Development Ltd.	Bermuda	1997/02/03
00410	SOHO China Ltd.	Cayman Islands	2007/10/08
00757	Solargiga Energy Holdings Ltd.	Cayman Islands	2008/03/31
01166	Solartech International Holdings Ltd.	Bermuda	1996/12/12
02227	Solis Holdings Ltd.	Cayman Islands	2017/12/11

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Code	Company name	Incorporation	Listing date
02878	Solomon Systech (International) Ltd.	Cayman Islands	2004/04/08
00967	Sound Global Ltd.	Singapore	2010/09/30
00878	Soundwill Holdings Ltd.	Bermuda	1997/03/21
00619	South China Financial Holdings Ltd.	Hong Kong	1993/07/16
00413	South China Holdings Co. Ltd.	Cayman Islands	1987/06/16
06913	South China Vocational Education Group Co. Ltd.	Cayman Islands	2021/07/13
01091	South Manganese Investment Ltd.	Bermuda	2010/11/18
00577	South Shore Holdings Ltd.	Bermuda	1996/04/25
00252	Southeast Asia Properties & Finance Ltd.	Hong Kong	n.a.
01878	SouthGobi Resources Ltd. – S	Canada	2010/01/29
00812	Southwest Securities International Securities Ltd.	Bermuda	2002/01/30
02448	Space Group Holdings Ltd.	Cayman Islands	2018/01/16
00540	Speedy Global Holdings Ltd.	Cayman Islands	2013/01/15
01401	Sprocomm Intelligence Ltd.	Cayman Islands	2019/11/13
01251	SPT Energy Group Inc.	Cayman Islands	2011/12/23
01207	SRE Group Ltd.	Bermuda	1999/12/10
02005	SSY Group Ltd.	Cayman Islands	2005/12/20
02888	Standard Chartered PLC	England	2002/10/31
01867	Standard Development Group Ltd.	Cayman Islands	2017/01/12
01560	Star Group Co. Ltd.	Cayman Islands	2016/07/13
01159	Starlight Culture Entertainment Group Ltd.	Bermuda	1998/03/13
00403	Starlite Holdings Ltd.	Bermuda	1993/03/03
01616	Starrise Media Holdings Ltd.	Cayman Islands	2012/07/12
00918	State Energy Group International Assets Holdings Ltd.	Bermuda	1997/10/15
01826	Steering Holdings Ltd.	Cayman Islands	2015/09/30
01836	Stella International Holdings Ltd.	Cayman Islands	2007/07/06
00084	Stelux Holdings International Ltd.	Bermuda	1972/10/02
01825	Sterling Group Holdings Ltd.	Cayman Islands	2018/10/19
02262	Steve Leung Design Group Ltd.	Cayman Islands	2018/07/05
02125	Strawbear Entertainment Group	Cayman Islands	2021/01/15
00852	Strong Petrochemical Holdings Ltd.	Cayman Islands	2009/01/12
00211	Styland Holdings Ltd.	Bermuda	1991/12/05
01182	Success Dragon International Holdings Ltd.	Bermuda	1994/10/17
00487	Success Universe Group Ltd.	Bermuda	1988/05/03
01430	Suchuang Gas Corporation Ltd.	Cayman Islands	2015/03/11
00912	Suga International Holdings Ltd.	Bermuda	2002/09/18
00756	Summi (Group) Holdings Ltd.	Cayman Islands	2008/07/10
00102	Summit Ascent Holdings Ltd.	Bermuda	1994/01/10
06808	Sun Art Retail Group Ltd.	Hong Kong	2011/07/27
01781	Sun Cheong Creative Development Holdings Ltd.	Cayman Islands	2018/10/04
01975	Sun Hing Printing Holdings Ltd.	Cayman Islands	2017/11/16
00125	Sun Hing Vision Group Holdings Ltd.	Bermuda	1999/05/25
00086	Sun Hung Kai & Co. Ltd.	Hong Kong	1983/10/03
00016	Sun Hung Kai Properties Ltd.	Hong Kong	1972/09/08
00580	Sun.King Technology Group Ltd.	Cayman Islands	2010/10/13
01918	Sunac China Holdings Ltd.	Cayman Islands	2010/10/07

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Code	Company name	Incorporation	Listing date
01516	Sunac Services Holdings Ltd.	Cayman Islands	2020/11/19
01383	Suncity Group Holdings Ltd.	Cayman Islands	2007/02/22
01063	Suncorp Technologies Ltd.	Bermuda	1994/05/10
01568	Sundart Holdings Ltd.	British Virgin Islands	2015/12/29
09608	Sundy Service Group Co. Ltd	Cayman Islands	2021/01/18
01686	SUNeVision Holdings Ltd.	Cayman Islands	2000/03/17
01771	Sunfonda Group Holdings Ltd.	Cayman Islands	2014/05/15
06900	Sunkwan Properties Group Ltd.	Cayman Islands	2020/11/17
01950	Sunlight Technology Holdings Ltd.	Cayman Islands	2020/03/12
02382	Sunny Optical Technology (Group) Co. Ltd.	Cayman Islands	2007/06/15
02608	Sunshine 100 China Holdings Ltd.	Cayman Islands	2014/03/13
02012	Sunshine Oilsands Ltd.	Canada	2012/03/01
00188	Sunwah Kingsway Capital Holdings Ltd.	Bermuda	2000/09/15
00058	Sunway International Holdings Ltd.	Bermuda	1999/09/03
03680	Suoxinda Holdings Ltd.	Cayman Islands	2019/12/13
00176	Superactive Group Co. Ltd.	Bermuda	1994/04/28
00368	Superland Group Holdings Ltd.	Cayman Islands	2020/07/17
02170	Suzhou Basecare Medical Corporation Ltd. – B – H Shares	PRC	2021/02/08
00019	Swire Pacific Ltd.	Hong Kong	n.a.
01972	Swire Properties Ltd.	Hong Kong	2012/01/18
01223	Symphony Holdings Ltd.	Bermuda	1995/03/01
02340	Synergis Holdings Ltd.	Bermuda	2003/10/09
01539	Synergy Group Holdings International Ltd.	Cayman Islands	2015/03/24
01613	Synertone Communication Corporation	Cayman Islands	2012/04/18
01991	Ta Yang Group Holdings Ltd.	Cayman Islands	2007/06/08
00088	Tai Cheung Holdings Ltd.	Bermuda	1972/12/11
06811	Tai Hing Group Holdings Ltd.	Cayman Islands	2019/06/13
00146	Tai Ping Carpets International Ltd.	Bermuda	n.a.
00089	Tai Sang Land Development Ltd.	Hong Kong	1973/02/21
00718	Tai United Holdings Ltd.	Bermuda	1995/10/02
06193	Tailam Tech Construction Holdings Ltd.	Cayman Islands	2019/12/18
01542	Taizhou Water Group Co., Ltd. – H Shares	PRC	2019/12/31
02102	Tak Lee Machinery Holdings Ltd.	Cayman Islands	2017/07/27
00760	Talent Property Group Ltd.	Bermuda	1995/08/08
02217	Tam Jai International Co. Ltd.	Hong Kong	2021/10/07
00693	Tan Chong International Ltd.	Bermuda	1998/07/07
01181	Tang Palace (China) Holdings Ltd.	Cayman Islands	2011/04/19
03666	TANSH Global Food Group Co., Ltd	Cayman Islands	2012/07/04
00573	Tao Heung Holdings Ltd.	Cayman Islands	2007/06/29
06161	Target Insurance (Holdings) Ltd.	Hong Kong	2015/01/15
02153	Tat Hong Equipment Service Co., Ltd.	Cayman Islands	2021/01/13
01255	TATA Health International Holdings Ltd.	Cayman Islands	2013/07/11
00621	Taung Gold International Ltd.	Bermuda	1995/12/18
01960	TBK & Sons Holdings Ltd.	Cayman Islands	2019/09/30
01070	TCL Electronics Holdings Ltd.	Cayman Islands	1999/11/26
01239	Teamway International Group Holdings Ltd.	Cayman Islands	2011/11/18

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Code	Company name	Incorporation	Listing date
01206	Technovator International Ltd.	Singapore	2011/10/27
00669	Techtronic Industries Co. Ltd.	Hong Kong	1990/12/17
06033	Telecom Digital Holdings Ltd.	Cayman Islands	2014/05/30
03997	Telecom Service One Holdings Ltd.	Cayman Islands	2013/05/30
00511	Television Broadcasts Ltd.	Hong Kong	1988/11/23
06880	Tempus Holdings Ltd.	Cayman Islands	2011/12/13
01979	Ten Pao Group Holdings Ltd.	Cayman Islands	2015/12/11
00700	Tencent Holdings Ltd.	Cayman Islands	2004/06/16
06868	Tenfu (Cayman) Holdings Co. Ltd.	Cayman Islands	2011/09/26
00093	Termbray Industries International (Holdings) Ltd.	Bermuda	1991/10/23
00277	Tern Properties Co. Ltd.	Hong Kong	n.a.
01201	Tesson Holdings Ltd.	Bermuda	1998/06/23
02678	Texhong Textile Group Ltd.	Cayman Islands	2004/12/09
00321	Texwinca Holdings Ltd.	Bermuda	1992/08/06
00542	TFG International Group Ltd.	Cayman Islands	1989/11/23
01339	The People's Insurance Co. (Group) of China Ltd. – H Shares	PRC	2012/12/07
01546	Thelloy Development Group Ltd.	Cayman Islands	2015/10/09
00990	Theme International Holdings Ltd.	Bermuda	1994/01/25
02292	Thing On Enterprise Ltd.	Cayman Islands	2018/01/16
00028	Tian An China Investments Co. Ltd.	Hong Kong	1987/03/18
02182	Tian Chang Group Holdings Ltd.	Cayman Islands	2018/03/08
01980	Tian Ge Interactive Holdings Ltd.	Cayman Islands	2014/07/09
01600	Tian Lun Gas Holdings Ltd.	Cayman Islands	2010/11/10
02118	Tian Shan Development (Holding) Ltd.	Cayman Islands	2010/07/15
00266	Tian Teck Land Ltd.	Hong Kong	n.a.
06119	Tian Yuan Group Holdings Ltd.	Cayman Islands	2018/06/01
00455	Tianda Pharmaceuticals Ltd.	Cayman Islands	1992/03/30
00609	Tiande Chemical Holdings Ltd.	Cayman Islands	2006/10/27
00826	Tiangong International Co. Ltd.	Cayman Islands	2007/07/26
01065	Tianjin Capital Environmental Protection Group Co. Ltd.-H Sh	PRC	1994/05/17
00882	Tianjin Development Holdings Ltd.	Hong Kong	1997/12/10
01265	Tianjin Jinran Public Utilities Co. Ltd. – H Shares	PRC	2004/01/09
03382	Tianjin Port Development Holdings Ltd.	Cayman Islands	2006/05/24
01671	Tianjin Tianbao Energy Co., Ltd. – H Shares	PRC	2018/04/27
01773	Tianli Education International Holdings Ltd.	Cayman Islands	2018/07/12
00117	Tianli Holdings Group Ltd.	Cayman Islands	2007/12/21
00819	Tianneng Power International Ltd.	Cayman Islands	2007/06/11
06836	Tianyun International Holdings Ltd.	British Virgin Islands	2015/07/07
01115	Tibet Water Resources Ltd.	Cayman Islands	2011/06/30
01790	TIL Enviro Ltd.	Cayman Islands	2018/11/29
01729	Time Interconnect Technology Ltd.	Cayman Islands	2018/02/13
02033	Time Watch Investments Ltd.	Cayman Islands	2013/02/05
01233	Times China Holdings Ltd.	Cayman Islands	2013/12/11
09928	Times Neighborhood Holdings Ltd.	Cayman Islands	2019/12/19
02310	Times Universal Group Holdings Ltd.	Hong Kong	2003/07/04
00322	Tingyi (Cayman Islands) Holding Corp.	Cayman Islands	1996/02/05

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Code	Company name	Incorporation	Listing date
00872	Titan Invo Technology Ltd.	Cayman Islands	2004/12/09
01192	Titan Petrochemicals Group Ltd.	Bermuda	1998/06/17
02283	TK Group (Holdings) Ltd.	Cayman Islands	2013/12/20
01939	Tokyo Chuo Auction Holdings Ltd.	Hong Kong	2018/10/11
02383	TOM Group Ltd.	Cayman Islands	2004/08/04
06928	TOMO Holdings Ltd.	Cayman Islands	2017/07/13
00258	Tomson Group Ltd.	Cayman Islands	n.a.
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	PRC	2000/10/31
00780	Tongcheng Travel Holdings Ltd.	Cayman Islands	2018/11/26
00698	Tongda Group Holdings Ltd.	Cayman Islands	2000/12/22
02363	Tongda Hong Tai Holdings Ltd.	Cayman Islands	2018/03/16
06100	Tongdao Liepin Group	Cayman Islands	2018/06/29
01312	Tongfang Kontafarma Holdings Ltd.	Cayman Islands	2012/01/18
00340	Tongguan Gold Group Ltd.	Bermuda	1997/03/26
01752	Top Education Group Ltd.	Australia	2018/05/11
00333	Top Form International Ltd.	Bermuda	1991/12/16
03688	Top Spring International Holdings Ltd.	Cayman Islands	2011/03/23
06110	Topsports International Holdings Ltd.	Cayman Islands	2019/10/10
01875	TOT BIOPHARM International Co. Ltd. – B	Hong Kong	2019/11/08
01332	Touyun Biotech Group Ltd.	Bermuda	2012/07/12
03886	Town Health International Medical Group Ltd.	Bermuda	2000/10/18
01692	Town Ray Holdings Ltd.	Cayman Islands	2019/10/25
01083	Towngas China Co. Ltd.	Cayman Islands	2005/12/08
00536	Tradelink Electronic Commerce Ltd.	Hong Kong	2005/10/28
06628	Transcenta Holding Ltd. – B	Cayman Islands	2021/09/29
01326	Transmit Entertainment Ltd.	Cayman Islands	2012/10/31
00062	Transport International Holdings Ltd.	Bermuda	n.a.
09963	Transtech Optelecom Science Holdings Ltd.	Cayman Islands	2017/07/20
01235	Travel Expert (Asia) Enterprises Ltd.	Cayman Islands	2011/09/29
00696	TravelSky Technology Ltd. – H Shares	PRC	2001/02/07
01865	Trendzon Holdings Group Ltd.	Cayman Islands	2019/03/27
01300	Trigiant Group Ltd.	Cayman Islands	2012/03/19
00891	Trinity Ltd.	Bermuda	2009/11/03
01710	Trio Industrial Electronics Group Ltd.	Hong Kong	2017/11/23
09961	Trip.com Group Ltd. – S	Cayman Islands	2021/04/19
00458	Tristate Holdings Ltd.	Bermuda	1988/01/06
00732	Truly International Holdings Ltd.	Cayman Islands	1991/07/29
01767	TS Wonders Holding Ltd.	Cayman Islands	2019/01/14
01986	Tsaker Chemical Group Ltd.	Cayman Islands	2015/07/03
00417	Tse Sui Luen Jewellery (International) Ltd.	Bermuda	1987/06/30
00247	Tsim Sha Tsui Properties Ltd.	Hong Kong	1972/07/20
00168	Tsingtao Brewery Co. Ltd. – H Shares	PRC	1993/07/15
02119	Tsit Wing International Holdings Ltd.	Bermuda	2018/05/11
01314	Tsui Wah Holdings Ltd.	Cayman Islands	2012/11/26
01701	Tu Yi Holding Co. Ltd.	Cayman Islands	2019/06/28
00518	Tungtex (Holdings) Co. Ltd.	Hong Kong	1988/12/15

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Code	Company name	Incorporation	Listing date
06182	Twintek Investment Holdings Ltd.	Cayman Islands	2018/01/17
03390	Tycoon Group Holdings Ltd.	Cayman Islands	2020/04/15
00687	Tysan Holdings Ltd.	Bermuda	1991/03/26
00768	UBA Investments Ltd.	Cayman Islands	2000/01/18
01948	UJU Holding Ltd.	Cayman Islands	2021/11/08
01711	Ulferts International Ltd.	Hong Kong	2018/01/29
00722	UMP Healthcare Holdings Ltd.	Cayman Islands	2015/11/27
00690	Uni-Bio Science Group Ltd.	Cayman Islands	2001/11/12
00220	Uni-President China Holdings Ltd.	Cayman Islands	2007/12/17
00486	United Company RUSAL, international public joint-stock co.	Russia	2010/01/27
00467	United Energy Group Ltd.	Bermuda	1992/04/08
03933	United Laboratories International Holdings Ltd., The	Cayman Islands	2007/06/15
02337	United Strength Power Holdings Ltd.	Cayman Islands	2017/10/16
02195	Unity Enterprise Holdings Ltd.	Cayman Islands	2021/03/31
02211	Universal Health International Group Holding Ltd.	Cayman Islands	2013/12/12
02346	Universal Star (Holdings) Ltd.	Cayman Islands	2019/05/16
01026	Universal Technologies Holdings Ltd.	Cayman Islands	2001/10/26
01046	Universe Entertainment and Culture Group Co. Ltd.	Bermuda	1999/07/20
02177	UNQ Holdings Ltd.	Cayman Islands	2021/07/12
00307	Up Energy Development Group Ltd.	Bermuda	1992/12/02
00335	Upbest Group Ltd.	Cayman Islands	2000/10/18
06113	UTS Marketing Solutions Holdings Ltd.	Cayman Islands	2017/07/12
01002	V.S. International Group Ltd.	Cayman Islands	2002/02/08
00821	Value Convergence Holdings Ltd.	Hong Kong	2001/04/09
00806	Value Partners Group Ltd.	Cayman Islands	2007/11/22
01740	Values Cultural Investment Ltd.	Cayman Islands	2020/01/16
01036	Vanke Overseas Investment Holding Co. Ltd.	Cayman Islands	1996/11/08
02003	VCREDIT Holdings Ltd.	Cayman Islands	2018/06/21
02317	Vedan International (Holdings) Ltd.	Cayman Islands	2003/06/27
01173	Veeko International Holdings Ltd.	Cayman Islands	1999/04/19
02500	Venus Medtech (Hangzhou) Inc. – B – H Shares	PRC	2019/12/10
01399	Veson Holdings Ltd.	Cayman Islands	2006/12/21
01386	Vestate Group Holdings Ltd.	Cayman Islands	2007/06/07
02148	Vesync Co., Ltd	Cayman Islands	2020/12/18
01621	Vico International Holdings Ltd.	Cayman Islands	2018/03/05
03878	Vicon Holdings Ltd.	Cayman Islands	2017/12/22
00539	Victory City International Holdings Ltd.	Bermuda	1996/05/13
01139	Victory Group Ltd.	Bermuda	1998/02/16
00422	Vietnam Manufacturing and Export Processing (Holdings) Ltd.	Cayman Islands	2007/12/20
01612	Vincent Medical Holdings Ltd.	Cayman Islands	2016/07/13
03331	Vinda International Holdings Ltd.	Cayman Islands	2007/07/10
01565	Virscend Education Co. Ltd.	Cayman Islands	2016/01/15
00862	Vision Values Holdings Ltd.	Cayman Islands	1998/10/14
06133	Vital Innovations Holdings Ltd.	Cayman Islands	2015/06/26
00345	Vitasoy International Holdings Ltd.	Hong Kong	1994/03/30
01873	Viva Biotech Holdings	Cayman Islands	2019/05/09

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Code	Company name	Incorporation	Listing date
01782	Vixtel Technologies Holdings Ltd.	Cayman Islands	2016/12/15
03738	Vobile Group Ltd.	Cayman Islands	2018/01/04
00318	Vongroup Ltd.	Cayman Islands	2001/10/09
01608	VPower Group International Holdings Ltd.	Cayman Islands	2016/11/24
00856	VSTECS Holdings Ltd.	Cayman Islands	2002/05/09
00303	VTech Holdings Ltd.	Bermuda	1992/11/05
00278	Wah Ha Realty Co. Ltd.	Hong Kong	1972/04/24
02683	Wah Sun Handbags International Holdings Ltd.	Cayman Islands	2018/01/22
09938	Wah Wo Holdings Group Ltd.	Cayman Islands	2020/01/17
01305	Wai Chi Holdings Co. Ltd.	Cayman Islands	2014/11/18
00660	Wai Chun Bio-Technology Ltd.	Cayman Islands	1993/02/05
01013	Wai Chun Group Holdings Ltd.	Bermuda	1997/02/03
03321	Wai Hung Group Holdings Ltd.	Cayman Islands	2019/04/23
00610	Wai Kee Holdings Ltd.	Bermuda	1992/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	Bermuda	1997/10/31
01718	Wan Kei Group Holdings Ltd.	Cayman Islands	2015/08/11
00169	Wanda Hotel Development Co. Ltd.	Bermuda	2002/06/04
01222	Wang On Group Ltd.	Bermuda	1995/02/28
01243	Wang On Properties Ltd.	Bermuda	2016/04/12
03939	Wanguo International Mining Group Ltd.	Cayman Islands	2012/07/10
00401	Wanjia Group Holdings Ltd.	Cayman Islands	2013/10/11
01762	Wanka Online Inc.	Cayman Islands	2018/12/21
00151	Want Want China Holdings Ltd.	Cayman Islands	2008/03/26
03393	Wasion Holdings Ltd.	Cayman Islands	2005/12/19
01161	Water Oasis Group Ltd.	Cayman Islands	2002/03/11
02258	Watts International Maritime Engineering Ltd.	Cayman Islands	2018/11/19
01140	Wealthking Investments Ltd.	Cayman Islands	2003/03/20
03848	Wealthy Way Group Ltd.	Cayman Islands	2017/07/21
01793	Wecon Holdings Ltd.	Cayman Islands	2019/02/27
01343	Wei Yuan Holdings Ltd.	Cayman Islands	2020/03/12
09898	Weibo Corporation – SW	Cayman Islands	2021/12/08
02338	Weichai Power Co. Ltd. – H Shares	PRC	2004/03/11
01845	Weigang Environmental Technology Holding Group Ltd.	Cayman Islands	2019/01/03
09677	Weihai City Commercial Bank Co., Ltd. – H Shares	PRC	2020/10/12
02013	Weimob Inc.	Cayman Islands	2019/01/15
02698	Weiqiao Textile Co. Ltd. – H Shares	PRC	2003/09/24
01570	Weiye Holdings Ltd.	Singapore	2016/04/06
01379	Wenling Zhejiang Measure & Cut Tools Trading Ctr Co Ltd-H Sh	PRC	2020/12/30
01802	Wenye Group Holdings Ltd.	Cayman Islands	2020/01/14
02120	Wenzhou Kangning Hospital Co., Ltd. – H Shares	PRC	2015/11/20
02233	West China Cement Ltd.	Jersey	2010/08/23
00288	WH Group Ltd.	Cayman Islands	2014/08/05
00004	Wharf (Holdings) Ltd., The	Hong Kong	n.a.
01997	Wharf Real Estate Investment Co. Ltd.	Cayman Islands	2017/11/23
00854	Willas-Array Electronics (Holdings) Ltd.	Bermuda	2013/12/06
03322	Win Hanverky Holdings Ltd.	Cayman Islands	2006/09/06

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Code	Company name	Incorporation	Listing date
01850	WINDMILL Group Ltd.	Cayman Islands	2017/04/18
00287	Winfair Investment Co. Ltd.	Hong Kong	n.a.
00183	Winfull Group Holdings Ltd.	Cayman Islands	2007/09/05
06080	Wing Chi Holdings Ltd.	Cayman Islands	2017/10/20
00864	Wing Lee Property Investments Ltd.	Bermuda	2013/03/19
00289	Wing On Company International Ltd.	Bermuda	n.a.
00369	Wing Tai Properties Ltd.	Bermuda	1973/02/07
06838	Winox Holdings Ltd.	Cayman Islands	2011/07/20
00209	Winshine Science Co. Ltd.	Bermuda	2002/03/06
06812	Winson Holdings Hong Kong Ltd.	Cayman Islands	2017/03/16
06068	Wisdom Education International Holdings Co. Ltd.	Cayman Islands	2017/01/26
01661	Wisdom Sports Group	Cayman Islands	2013/07/11
00007	Wisdom Wealth Resources Investment Holding Group Ltd.	Bermuda	2000/09/08
09918	Wise Ally International Holdings Ltd.	Cayman Islands	2020/01/10
02236	Wison Engineering Services Co. Ltd.	Cayman Islands	2012/12/28
01260	Wonderful Sky Financial Group Holdings Ltd.	Cayman Islands	2012/03/30
00099	Wong's International Holdings Ltd.	Bermuda	1983/12/15
00532	Wong's Kong King International (Holdings) Ltd.	Bermuda	1989/07/10
00713	World Houseware (Holdings) Ltd.	Cayman Islands	1993/04/15
06083	World-Link Logistics (Asia) Holding Ltd.	Cayman Islands	2015/12/29
00305	Wuling Motors Holdings Ltd.	Bermuda	1992/11/23
02359	WuXi AppTec Co., Ltd. – H Shares	PRC	2018/12/13
02269	WuXi Biologics (Cayman) Inc.	Cayman Islands	2017/06/13
01289	Wuxi Sunlit Science and Technology Co. Ltd. – H Shares	PRC	2014/11/11
01128	Wynn Macau, Ltd.	Cayman Islands	2009/10/09
02400	XD Inc.	Cayman Islands	2019/12/12
00520	Xiabuxiabu Catering Management (China) Holdings Co., Ltd.	Cayman Islands	2014/12/17
03378	Xiamen International Port Co. Ltd. – H Shares	PRC	2005/12/19
01732	XiangXing International Holding Ltd.	Cayman Islands	2017/07/07
01810	Xiaomi Corporation – W	Cayman Islands	2018/07/09
09936	Ximei Resources Holding Ltd.	Cayman Islands	2020/03/12
01571	Xin Point Holdings Ltd.	Cayman Islands	2017/06/28
01748	Xin Yuan Enterprises Group Ltd.	Cayman Islands	2018/09/26
01148	Xinchen China Power Holdings Ltd.	Cayman Islands	2013/03/13
01899	Xingda International Holdings Ltd.	Cayman Islands	2006/12/21
00098	Xingfa Aluminium Holdings Ltd.	Cayman Islands	2008/03/31
00505	Xingye Alloy Materials Group Ltd.	Cayman Islands	2007/12/27
09916	Xingye Wulian Service Group Co. Ltd.	Cayman Islands	2020/03/09
00309	Xinhua News Media Holdings Ltd.	Cayman Islands	2003/07/25
00811	Xinhua Winshare Publishing and Media Co., Ltd. – H Shares	PRC	2007/05/30
03603	Xinji Shaxi Group Co., Ltd.	Cayman Islands	2019/11/08
02208	Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares	PRC	2010/10/08
06116	Xinjiang La Chapelle Fashion Co., Ltd. – H Shares	PRC	2014/10/09
00840	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	PRC	2008/01/24
03833	Xinjiang Xinxin Mining Industry Co., Ltd. – H Shares	PRC	2007/10/12
02699	Xinming China Holdings Ltd.	Cayman Islands	2015/07/06

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Code	Company name	Incorporation	Listing date
01799	Xinte Energy Co., Ltd. – H Shares	PRC	2015/12/30
00362	Xinyang Maojian Group Ltd.	Bermuda	2001/05/02
03868	Xinyi Energy Holdings Ltd.	British Virgin Islands	2019/05/28
00868	Xinyi Glass Holdings Ltd.	Cayman Islands	2005/02/03
00968	Xinyi Solar Holdings Ltd.	Cayman Islands	2013/12/12
01895	Xinyuan Property Management Service (Cayman) Ltd.	Cayman Islands	2019/10/11
02088	Xiwang Property Holdings Co. Ltd.	Bermuda	2005/12/09
01266	Xiwang Special Steel Co. Ltd.	Hong Kong	2012/02/23
09868	XPeng Inc. – W	Cayman Islands	2021/07/07
01368	Xtep International Holdings Ltd.	Cayman Islands	2008/06/03
00075	Y. T. Realty Group Ltd.	Bermuda	1984/11/12
01585	Yadea Group Holdings Ltd.	Cayman Islands	2016/05/19
01795	Yadong Group Holdings Ltd.	Cayman Islands	2020/11/18
01480	Yan Tat Group Holdings Ltd.	Cayman Islands	2014/12/08
00346	Yanchang Petroleum International Ltd.	Bermuda	2001/04/19
03668	Yancoal Australia Ltd	Australia	2018/12/06
06869	Yangtze Optical Fibre and Cable Joint Stock Ltd. Co. – H Shs	PRC	2014/12/10
00294	Yangtzekiang Garment Ltd.	Hong Kong	n.a.
01915	Yangzhou Guangling District Taihe Rural Micro-fin Co. -H shs	PRC	2017/05/08
02218	Yantai North Andre Juice Co. Ltd. – H Shares	PRC	2003/04/22
01171	Yanzhou Coal Mining Co. Ltd. – H Shares	PRC	1998/04/01
01230	Yashili International Holdings Ltd.	Cayman Islands	2010/11/01
00406	Yau Lee Holdings Ltd.	Bermuda	1991/08/29
01847	YCIH Green High-Performance Concrete Co. Ltd. – H Shares	PRC	2019/10/31
01941	Ye Xing Group Holdings Ltd.	Cayman Islands	2020/03/13
09923	Yeahka Ltd.	Cayman Islands	2020/06/01
01662	Yee Hop Holdings Ltd.	Cayman Islands	2015/12/18
00259	Yeebo (International Holdings) Ltd.	Bermuda	1993/09/01
02209	YesAsia Holdings Ltd.	Hong Kong	2021/07/09
02393	Yestar Healthcare Holdings Co. Ltd.	Cayman Islands	2013/10/11
00375	YGM Trading Ltd.	Hong Kong	1988/01/25
02213	Yi Hua Holdings Ltd.	Cayman Islands	2013/12/11
01558	YiChang HEC ChangJiang Pharmaceutical Co., Ltd. – H Shares	PRC	2015/12/29
03639	Yida China Holdings Ltd.	Cayman Islands	2014/06/27
02158	Yidu Tech Inc.	Cayman Islands	2021/01/15
01796	Yield Go Holdings Ltd.	Cayman Islands	2018/12/31
01579	Yihai International Holding Ltd.	Cayman Islands	2016/07/13
01902	Yincheng International Holding Co., Ltd.	Cayman Islands	2019/03/06
01922	Yincheng Life Service CO., Ltd.	Cayman Islands	2019/11/06
00408	Yip's Chemical Holdings Ltd.	Cayman Islands	1991/08/22
02858	Yixin Group Ltd.	Cayman Islands	2017/11/16
02279	Yonghe Medical Group Co., Ltd.	Cayman Islands	2021/12/13
03608	Yongsheng Advanced Materials Co. Ltd.	Cayman Islands	2013/11/27
02788	Yorkey Optical International (Cayman) Ltd.	Cayman Islands	2006/02/10
01160	Youth Champ Financial Group Holdings Ltd.	Bermuda	2004/04/02
06123	YTO Express (International) Holdings Ltd.	Cayman Islands	2014/07/11

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00332	Yuan Heng Gas Holdings Ltd.	Bermuda	1992/09/25
02789	Yuanda China Holdings Ltd.	Cayman Islands	2011/05/17
01431	YuanShengTai Dairy Farm Ltd.	Bermuda	2013/11/26
00629	Yue Da International Holdings Ltd.	Cayman Islands	2001/11/29
02110	Yue Kan Holdings Ltd.	Cayman Islands	2020/12/07
00551	Yue Yuen Industrial (Holdings) Ltd.	Bermuda	1992/07/02
00123	Yuexiu Property Co. Ltd.	Hong Kong	1992/12/15
06626	Yuexiu Services Group Ltd.	Hong Kong	2021/06/28
01052	Yuexiu Transport Infrastructure Ltd.	Bermuda	1997/01/30
01536	Yuk Wing Group Holdings Ltd.	Cayman Islands	2017/01/11
09987	Yum China Holdings, Inc. – S	USA	2020/09/10
02682	Yun Lee Marine Group Holdings Ltd.	Cayman Islands	2019/03/18
00376	Yunfeng Financial Group Ltd.	Hong Kong	1987/07/17
01298	Yunnan Energy International Co. Ltd.	Bermuda	2011/12/21
06839	Yunnan Water Investment Co., Ltd. – H Shares	PRC	2015/05/27
00096	Yusei Holdings Ltd.	Cayman Islands	2005/10/13
01628	Yuzhou Group Holdings Co. Ltd.	Cayman Islands	2009/11/02
09688	Zai Lab Ltd. – SB	Cayman Islands	2020/09/28
02098	Zall Smart Commerce Group Ltd.	Cayman Islands	2011/07/13
02660	Zengame Technology Holding Ltd.	Cayman Islands	2019/04/16
00185	Zensun Enterprises Ltd.	Hong Kong	1972/12/20
01945	Zero2IPO Holdings Inc.	Cayman Islands	2020/12/30
01660	Zhaobangji Properties Holdings Ltd.	Cayman Islands	2017/02/10
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	PRC	2006/12/08
06622	Zhaoke Ophthalmology Ltd. – B	Cayman Islands	2021/04/29
00576	Zhejiang Expressway Co. Ltd. – H Shares	PRC	1997/05/15
01057	Zhejiang Shibao Co. Ltd. – H Shares	PRC	2006/05/16
01527	Zhejiang Tengy Environmental Technology Co., Ltd. – H Shares	PRC	2015/10/12
03363	Zhengye International Holdings Co. Ltd.	Bermuda	2011/06/03
00564	Zhengzhou Coal Mining Machinery Group Co. Ltd. – H Shares	PRC	2012/12/05
06158	Zhenro Properties Group Ltd.	Cayman Islands	2018/01/16
06958	Zhenro Services Group Ltd.	Cayman Islands	2020/07/10
01220	Zhidao International (Holdings) Ltd.	Bermuda	1997/09/23
02187	Zhixin Group Holding Ltd.	Cayman Islands	2021/03/26
00672	Zhong An Group Ltd.	Cayman Islands	2007/11/13
01538	Zhong Ao Home Group Ltd.	Cayman Islands	2015/11/25
01064	Zhong Hua International Holdings Ltd.	Bermuda	1997/10/13
00767	Zhong Ji Longevity Science Group Ltd.	Bermuda	1995/11/20
06060	ZhongAn Online P & C Insurance Co., Ltd. – H Shares	PRC	2017/09/28
00859	Zhongchang International Holdings Group Ltd.	Bermuda	2000/06/15
01601	Zhongguancun Science-Tech Leasing Co., Ltd. – H Shares	PRC	2020/01/21
02772	Zhongliang Holdings Group Co. Ltd.	Cayman Islands	2019/07/16
00881	Zhongsheng Group Holdings Ltd.	Cayman Islands	2010/03/26
03633	Zhongyu Gas Holdings Ltd.	Cayman Islands	2001/06/05
01216	Zhongyuan Bank Co., Ltd. – H Shares	PRC	2017/07/19
00943	Zhongzheng International Co. Ltd.	Bermuda	1997/06/25

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
03737	Zhongzhi Pharmaceutical Holdings Ltd.	Cayman Islands	2015/07/13
01458	Zhou Hei Ya International Holdings Co. Ltd.	Cayman Islands	2016/11/11
01176	Zhuguang Holdings Group Co. Ltd.	Bermuda	1996/12/09
03898	Zhuzhou CRRC Times Electric Co., Ltd. – H Shares	PRC	2006/12/20
02899	Zijin Mining Group Co., Ltd. – H Shares	PRC	2003/12/23
01855	ZONBONG LANDSCAPE Environmental Ltd.	Cayman Islands	2021/01/06
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd-H Sh	PRC	2010/12/23
00763	ZTE Corporation – H Shares	PRC	2004/12/09
02057	ZTO Express (Cayman) Inc. – SW	Cayman Islands	2020/09/29
06866	Zuoli Kechuang Micro-finance Co. Ltd. – H Shares	PRC	2015/01/13
02190	Zylox-Tonbridge Medical Technology Co., Ltd. – B – H Shares	PRC	2021/07/05

List of listed companies on GEM

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Code	Company name	Incorporation	Listing date
08495	1957 & Co. (Hospitality) Ltd.	Cayman Islands	2017/12/05
08088	8088 Investment Holdings Ltd.	Cayman Islands	2000/04/17
08131	abc Multiactive Ltd.	Bermuda	2001/01/31
08341	Aeso Holding Ltd.	Cayman Islands	2017/01/13
08279	AGTech Holdings Ltd.	Bermuda	2004/01/19
08290	Ahsay Backup Software Development Co. Ltd.	Cayman Islands	2015/10/08
08360	AL Group Ltd.	Cayman Islands	2016/07/12
08320	Allied Sustainability & Environmental Consultants Group Ltd.	Cayman Islands	2016/10/17
08406	Alpha Era International Holdings Ltd.	Cayman Islands	2017/12/07
08149	Altus Holdings Ltd.	Cayman Islands	2016/10/17
08168	Amasse Capital Holdings Ltd.	Cayman Islands	2018/03/22
08545	Amuse Group Holding Ltd.	Cayman Islands	2018/05/31
08353	Anacle Systems Ltd.	Singapore	2016/12/16
08413	Asia Grocery Distribution Ltd.	Cayman Islands	2017/04/13
08400	Asia Pioneer Entertainment Holdings Ltd.	Cayman Islands	2017/11/15
08620	Asia-express Logistics Holdings Ltd.	Cayman Islands	2020/04/20
08025	Asian Capital Resources (Holdings) Ltd.	Cayman Islands	1999/12/16
08193	Asia-Pac Financial Investment Co. Ltd.	Cayman Islands	2011/05/31
08043	Atlinks Group Ltd.	Cayman Islands	2018/01/19
08148	Aurum Pacific (China) Group Ltd.	Cayman Islands	2004/01/09
08419	AV Promotions Holdings Ltd.	Cayman Islands	2017/12/21
08525	Baiying Holdings Group Ltd.	Cayman Islands	2018/07/18
08151	Bao Shen Holdings Ltd.	Cayman Islands	2018/04/23
08432	Bar Pacific Group Holdings Ltd.	Cayman Islands	2017/01/11
08460	Basetrophy Group Holdings Ltd.	Cayman Islands	2017/06/27
08412	BCI Group Holdings Ltd.	Cayman Islands	2017/04/07
08275	Beaver Group (Holding) Co. Ltd.	Cayman Islands	2017/10/16
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	PRC	2000/07/27
08617	Best Linking Group Holdings Ltd.	Cayman Islands	2019/11/15
08220	Bingo Group Holdings Ltd.	Cayman Islands	2002/11/12
08247	Biosino Bio-Technology and Science Incorporation – H Shares	PRC	2006/02/27
08601	Boltek Holdings Ltd.	Cayman Islands	2018/09/13
08118	Bortex Global Ltd.	Cayman Islands	2017/11/16
08140	BOSA Technology Holdings Ltd.	Cayman Islands	2018/07/12
08430	C&N Holdings Ltd.	Cayman Islands	2017/10/18
08239	Capital Finance Holdings Ltd.	Bermuda	2002/11/15
08428	CBK Holdings Ltd.	Cayman Islands	2017/02/15
08132	Century Energy International Holdings Ltd.	Cayman Islands	2011/05/18
08423	Chi Ho Development Holdings Ltd.	Cayman Islands	2017/03/13
08087	China 33 Media Group Ltd.	Cayman Islands	2011/02/28
08170	China All Nation International Holdings Group Ltd.	Cayman Islands	2014/12/05
08255	China Binary New Fintech Group	Cayman Islands	2013/12/04
08037	China Biotech Services Holdings Ltd.	Bermuda	2004/06/17
08026	China Brilliant Global Ltd.	Cayman Islands	2000/03/28
08270	China CBM Group Co. Ltd.	Bermuda	2003/08/12
08078	China Creative Digital Entertainment Ltd.	Bermuda	2000/12/19

List of listed companies on GEM *(continued)*

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Code	Company name	Incorporation	Listing date
08120	China Demeter Financial Investments Ltd.	Bermuda	2001/04/10
08175	China Digital Culture (Group) Ltd.	Bermuda	2003/02/25
08280	China Digital Video Holdings Ltd.	Cayman Islands	2016/06/27
08166	China Eco-Farming Ltd.	Bermuda	2002/02/05
08055	China E-Information Technology Group Ltd.	Cayman Islands	2001/12/05
08128	China Geothermal Industry Development Group Ltd.	Cayman Islands	2001/11/30
08281	China Golden Classic Group Ltd.	Cayman Islands	2016/07/08
08225	China Health Group Inc.	Cayman Islands	2003/07/10
08646	China Hongguang Holdings Ltd.	Cayman Islands	2020/01/13
08178	China Information Technology Development Ltd.	Cayman Islands	2001/12/11
08071	China Netcom Technology Holdings Ltd.	Cayman Islands	2001/03/02
08047	China Ocean Group Development Ltd.	Bermuda	2001/11/01
08117	China Primary Energy Holdings Ltd.	Cayman Islands	2001/12/13
08158	China Regenerative Medicine International Ltd.	Cayman Islands	2001/07/18
08623	China Saftower International Holding Group Ltd.	Cayman Islands	2020/07/10
08073	China Singyes New Materials Holdings Ltd.	Bermuda	2017/07/21
08325	China Smartpay Group Holdings Ltd.	Cayman Islands	2009/08/28
08111	China Technology Industry Group Ltd.	Cayman Islands	2001/01/03
08083	China Youzan Ltd.	Bermuda	2000/04/14
08009	Chinese Energy Holdings Ltd.	Hong Kong	2000/03/31
08089	Chinese Strategic Holdings Ltd.	Bermuda	2000/05/18
08537	Chong Fai Jewellery Group Holdings Co. Ltd.	Cayman Islands	2019/03/27
08051	CircuTech International Holdings Ltd.	Cayman Islands	2001/05/08
08098	CL Group (Holdings) Ltd.	Cayman Islands	2011/03/08
08232	Classified Group (Holdings) Ltd.	Cayman Islands	2016/07/11
08356	CNC Holdings Ltd.	Cayman Islands	2010/08/30
08491	Cool Link (Holdings) Ltd.	Cayman Islands	2017/09/22
08112	Cornerstone Financial Holdings Ltd.	Cayman Islands	2011/07/28
08391	Cornerstone Technologies Holdings Ltd.	Cayman Islands	2018/05/11
08368	Creative China Holdings Ltd.	Cayman Islands	2015/11/18
08417	Dadi Education Holdings Ltd.	Cayman Islands	2017/02/16
08130	Dadi International Group Ltd.	Bermuda	2002/08/26
08310	Dafeng Port Heshun Technology Co. Ltd.	Cayman Islands	2013/08/22
08040	DCB Holdings Ltd.	Cayman Islands	2018/02/14
08337	Directel Holdings Ltd.	Cayman Islands	2010/06/02
08210	DLC Asia Ltd.	Cayman Islands	2018/08/27
08403	Dowway Holdings Ltd.	Cayman Islands	2018/06/12
08493	Dragon King Group Holdings Ltd.	Cayman Islands	2018/01/16
08222	E Lighting Group Holdings Ltd.	Cayman Islands	2014/09/29
08079	Easy Repay Finance & Investment Ltd.	Bermuda	2001/10/15
08036	eBroker Group Ltd.	Cayman Islands	2019/02/19
08218	Echo International Holdings Group Ltd.	Cayman Islands	2013/10/11
08013	ECI Technology Holdings Ltd.	Cayman Islands	2017/03/10
08169	Eco-Tek Holdings Ltd.	Cayman Islands	2001/12/05
08450	EDICO Holdings Ltd.	Cayman Islands	2018/02/02
08062	EFT Solutions Holdings Ltd.	Cayman Islands	2016/12/15

List of listed companies on GEM *(continued)*

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Code	Company name	Incorporation	Listing date
08609	Eggriculture Foods Ltd.	Cayman Islands	2018/09/07
08101	EJE (Hong Kong) Holdings Ltd.	Cayman Islands	2013/10/15
08031	ETS Group Ltd.	Cayman Islands	2012/01/09
08022	Evershine Group Holdings Ltd.	Hong Kong	2001/08/13
08350	Excalibur Global Financial Holdings Ltd.	Cayman Islands	2018/01/12
08319	Expert Systems Holdings Ltd.	Cayman Islands	2016/04/12
08347	F8 Enterprises (Holdings) Group Ltd.	Cayman Islands	2017/04/12
08603	Fameglow Holdings Ltd.	Cayman Islands	2018/10/15
08153	Farnova Group Holdings Ltd.	Bermuda	2001/03/30
08317	Finet Group Ltd.	Bermuda	2005/01/07
08018	Finsoft Financial Investment Holdings Ltd.	Cayman Islands	2013/09/26
08215	First Credit Finance Group Ltd.	Bermuda	2011/12/13
08030	Flying Financial Service Holdings Ltd.	Cayman Islands	2012/05/07
08480	Furniweb Holdings Ltd.	Cayman Islands	2017/10/16
08229	Future Data Group Ltd.	Cayman Islands	2016/07/08
08452	FY Financial (Shenzhen) Co., Ltd. – H Shares	PRC	2017/05/23
08126	G.A. Holdings Ltd.	Cayman Islands	2002/06/17
08282	Gameone Holdings Ltd.	Cayman Islands	2016/01/13
08257	Genes Tech Group Holdings Co. Ltd.	Cayman Islands	2017/07/14
08100	GET Holdings Ltd.	Bermuda	2001/12/31
08271	Global Digital Creations Holdings Ltd.	Bermuda	2003/08/04
08060	Global Link Communications Holdings Ltd.	Cayman Islands	2002/11/13
08063	Global Mastermind Holdings Ltd.	Cayman Islands	2000/12/07
08007	Global Strategic Group Ltd.	Cayman Islands	2000/04/17
08059	Glory Flame Holdings Ltd.	Cayman Islands	2014/08/15
08159	Glory Mark Hi-Tech (Holdings) Ltd.	Bermuda	2002/01/04
08188	GME Group Holdings Ltd.	Cayman Islands	2017/02/22
08160	Goldway Education Group Ltd.	Cayman Islands	2016/12/02
08143	Good Fellow Healthcare Holdings Ltd.	Cayman Islands	2002/05/10
08146	Grace Wine Holdings Ltd.	Cayman Islands	2018/06/27
08372	Grand Brilliance Group Holdings Ltd.	Cayman Islands	2018/03/29
08489	Grand Power Logistics Group Ltd.	Cayman Islands	2021/01/13
08299	Grand T G Gold Holdings Ltd.	Cayman Islands	2004/09/23
08516	Grand Talents Group Holdings Ltd.	Cayman Islands	2018/10/15
08196	Great Water Holdings Ltd.	Cayman Islands	2015/12/09
08003	Great World Company Holdings Ltd.	Cayman Islands	1999/12/02
08315	Greatwalle Inc.	Cayman Islands	2014/08/20
08242	GSN Corporations Ltd.	Cayman Islands	2012/01/19
08402	GT Steel Construction Group Ltd.	Cayman Islands	2017/11/17
08308	Gudou Holdings Ltd.	Cayman Islands	2016/12/09
08121	Guru Online (Holdings) Ltd.	Cayman Islands	2015/05/29
08405	Hang Chi Holdings Ltd.	Cayman Islands	2017/07/12
08081	Hang Tai Yue Group Holdings Ltd.	Bermuda	2000/06/19
08219	Hanvey Group Holdings Ltd.	Cayman Islands	2018/07/12
08431	Hao Bai International (Cayman) Ltd.	Cayman Islands	2017/05/26
08019	Hao Wen Holdings Ltd.	Cayman Islands	2001/07/20

List of listed companies on GEM *(continued)*

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Code	Company name	Incorporation	Listing date
08365	Hatcher Group Ltd.	Cayman Islands	2017/05/26
08173	Hephaestus Holdings Ltd.	Cayman Islands	2002/05/09
08113	Hi-Level Technology Holdings Ltd.	Cayman Islands	2016/01/07
08425	Hing Ming Holdings Ltd.	Cayman Islands	2017/03/15
08416	HM International Holdings Ltd.	Cayman Islands	2017/01/11
08103	hmvod Ltd.	Cayman Islands	2000/09/08
08259	Hon Corporation Ltd.	Cayman Islands	2018/11/07
08137	Honbridge Holdings Ltd.	Cayman Islands	2002/01/08
08191	Hong Wei (Asia) Holdings Co. Ltd.	Hong Kong	2014/01/08
08622	Huakang Biomedical Holdings Co. Ltd.	Cayman Islands	2018/12/13
08512	Hyfusin Group Holdings Ltd.	Cayman Islands	2018/07/19
08507	i.century Holding Ltd.	Cayman Islands	2018/04/16
08513	IAG Holdings Ltd.	Cayman Islands	2018/01/19
08429	Icicle Group Holdings Ltd.	Cayman Islands	2017/12/08
08500	Icon Culture Global Co. Ltd.	Cayman Islands	2020/01/14
08029	Imperium Financial Group Ltd.	Cayman Islands	2000/12/14
08136	IMS Group Holdings Ltd.	Cayman Islands	2018/01/25
08446	In Technical Productions Holdings Ltd.	Cayman Islands	2017/06/14
08373	Indigo Star Holdings Ltd.	Cayman Islands	2017/11/16
08487	ISP Global Ltd.	Cayman Islands	2018/01/16
08092	ITE (Holdings) Ltd.	Cayman Islands	2001/02/21
08441	IWS Group Holdings Ltd.	Cayman Islands	2019/10/22
08035	Janco Holdings Ltd.	Cayman Islands	2016/10/07
08519	Jia Group Holdings Ltd.	Cayman Islands	2018/02/08
08045	Jiangsu Nandasoft Technology Co. Ltd. – H Shares	PRC	2001/04/24
08049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs	PRC	2001/05/24
08187	Jimu Group Ltd.	Cayman Islands	2016/05/30
08527	JLogo Holdings Ltd.	Cayman Islands	2018/05/09
08479	JTF International Holdings Ltd.	Cayman Islands	2018/01/17
08475	K Group Holdings Ltd.	Cayman Islands	2018/08/13
08411	K W Nelson Interior Design and Contracting Group Ltd.	Cayman Islands	2016/12/08
08203	Kaisun Holdings Ltd.	Cayman Islands	2004/01/20
08070	Keen Ocean International Holding Ltd.	Cayman Islands	2016/02/24
08606	Kinetix Systems Holdings Ltd.	Cayman Islands	2018/07/16
08109	Kirin Group Holdings Ltd.	Bermuda	2002/01/31
08065	KML Technology Group Ltd.	Cayman Islands	2017/10/16
08039	KNK Holdings Ltd.	Cayman Islands	2016/12/12
08226	KOALA Financial Group Ltd.	Cayman Islands	2002/07/19
08042	KOS International Holdings Ltd.	Cayman Islands	2018/10/12
08027	KPM Holding Ltd.	Cayman Islands	2015/07/10
08023	Kwong Man Kee Group Ltd.	Cayman Islands	2016/10/13
08455	Lai Group Holding Co. Ltd.	Cayman Islands	2017/04/12
08172	Lajin Entertainment Network Group Ltd.	Bermuda	2002/03/26
08472	Lapco Holdings Ltd.	Cayman Islands	2017/07/18
08195	Legendary Group Ltd.	Cayman Islands	2014/10/10
08056	Life Concepts Holdings Ltd.	Cayman Islands	2016/08/05

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Code	Company name	Incorporation	Listing date
08267	Linekong Interactive Group Co., Ltd.	Cayman Islands	2014/12/30
08237	Link Holdings Ltd.	Cayman Islands	2014/07/07
08383	Linocraft Holdings Ltd.	Cayman Islands	2017/09/15
08162	Loco Hong Kong Holdings Ltd.	Hong Kong	2014/08/05
08198	Loto Interactive Ltd.	Cayman Islands	2002/05/17
08217	Luen Wong Group Holdings Ltd.	Cayman Islands	2016/04/12
08052	Luk Hing Entertainment Group Holdings Ltd.	Cayman Islands	2016/11/11
08041	Luxey International (Holdings) Ltd.	Cayman Islands	2000/07/07
08152	M&L Holdings Group Ltd.	Cayman Islands	2017/07/21
08057	Madison Holdings Group Ltd.	Cayman Islands	2015/10/08
08309	Man Shing Global Holdings Ltd.	Cayman Islands	2017/04/13
08456	Mansion International Holdings Ltd.	Cayman Islands	2018/01/26
08483	Max Sight Group Holdings Ltd.	Cayman Islands	2018/02/28
08075	Media Asia Group Holdings Ltd.	Bermuda	2001/05/31
08307	Medicskin Holdings Ltd.	Cayman Islands	2014/12/18
08161	MediNet Group Ltd.	Cayman Islands	2016/05/31
08163	Merdeka Financial Group Ltd.	Cayman Islands	2002/03/07
08621	Metropolis Capital Holdings Ltd.	Cayman Islands	2018/12/12
08473	Mi Ming Mart Holdings Ltd.	Cayman Islands	2018/02/12
08147	Millennium Pacific Group Holdings Ltd.	Cayman Islands	2014/07/18
08093	Million Stars Holdings Ltd.	Cayman Islands	2015/02/12
08611	Mindtell Technology Ltd.	Cayman Islands	2018/10/22
08426	Modern Living Investments Holdings Ltd.	Cayman Islands	2017/11/10
08186	M-Resources Group Ltd.	Bermuda	2001/12/31
08447	MS Concept Ltd.	Cayman Islands	2018/04/16
08607	Narnia (Hong Kong) Group Co. Ltd.	Cayman Islands	2019/02/26
08228	National Arts Group Holdings Ltd.	Bermuda	2002/10/17
08167	Neo Telemedia Ltd.	Cayman Islands	2002/08/06
08420	Nexion Technologies Ltd.	Cayman Islands	2017/06/16
08490	Niche-Tech Group Ltd.	Cayman Islands	2018/05/30
08445	Noble Engineering Group Holdings Ltd.	Cayman Islands	2017/09/29
08645	Nomad Technologies Holdings Ltd.	Cayman Islands	2019/12/09
08080	North Asia Strategic Holdings Ltd.	Bermuda	2000/04/20
08635	Novacon Technology Group Ltd.	Cayman Islands	2019/05/02
08502	Ocean Line Port Development Ltd.	Cayman Islands	2018/07/10
08476	Ocean One Holding Ltd.	Cayman Islands	2017/10/19
08297	Ocean Star Technology Group Ltd.	Cayman Islands	2017/07/13
08462	Omnibridge Holdings Ltd.	Cayman Islands	2017/07/17
08245	On Real International Holdings Ltd.	Cayman Islands	2015/09/30
08091	OOH Holdings Ltd.	Cayman Islands	2017/01/05
08418	Optima Automobile Group Holdings Ltd.	Cayman Islands	2019/10/11
08627	Orange Tour Cultural Holding Ltd.	Cayman Islands	2019/11/14
08001	Orient Securities International Holdings Ltd.	Cayman Islands	2014/01/15
08613	Oriental Payment Group Holdings Ltd.	Cayman Islands	2018/10/16
08067	Oriental University City Holdings (H.K.) Ltd.	Hong Kong	2015/01/16
08331	P.B. Group Ltd.	Cayman Islands	2015/12/29

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Code	Company name	Incorporation	Listing date
08547	Pacific Legend Group Ltd.	Cayman Islands	2018/07/18
08179	Palinda Group Holdings Ltd.	Cayman Islands	2011/07/08
08311	Perfect Optronics Ltd.	Cayman Islands	2014/02/07
08221	PF Group Holdings Ltd.	Cayman Islands	2017/01/06
08231	PFC Device Inc.	Cayman Islands	2016/10/07
08066	Phoenitron Holdings Ltd.	Cayman Islands	2001/12/20
08053	Pizu Group Holdings Ltd.	Cayman Islands	2004/08/06
08011	Polyard Petroleum International Group Ltd.	Cayman Islands	2002/07/12
08532	Polyfair Holdings Ltd.	Cayman Islands	2018/02/23
08201	PPS International (Holdings) Ltd.	Cayman Islands	2013/06/17
08379	Prime Intelligence Solutions Group Ltd.	Cayman Islands	2018/02/14
08385	Prosperous Printing Co. Ltd.	Hong Kong	2017/12/13
08316	Quantong Holdings Ltd.	Cayman Islands	2015/08/10
08050	Quantum Thinking Ltd.	Cayman Islands	2000/07/24
08333	RaffAello-Astrum Financial Holdings Ltd.	Cayman Islands	2016/07/14
08471	Reach New Holdings Ltd.	Cayman Islands	2017/07/21
08357	Republic Healthcare Ltd.	Cayman Islands	2018/06/15
08437	RMH Holdings Ltd.	Cayman Islands	2017/10/13
08072	Roma Group Ltd.	Cayman Islands	2013/02/25
08300	Royal Catering Group Holdings Co. Ltd.	Cayman Islands	2016/08/08
08125	Royal Century Resources Holdings Ltd.	Hong Kong	2014/07/18
08506	S&S Intervalue China Ltd.	Cayman Islands	2018/01/04
08501	Sanbase Corporation Ltd.	Cayman Islands	2018/01/04
08392	Satu Holdings Ltd.	Cayman Islands	2017/10/16
08200	Sau San Tong Holdings Ltd.	Cayman Islands	2003/11/19
08363	SDM Group Holdings Ltd.	Cayman Islands	2014/10/14
08150	Seamless Green China (Holdings) Ltd.	Bermuda	2001/08/10
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	PRC	2003/07/03
08205	Shanghai Jiaoda Withub Information Industrial Co Ltd – H Shs	PRC	2002/07/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. – H Shares	PRC	2004/06/30
08286	Shanxi Changcheng Microlight Equipment Co. Ltd. – H Shares	PRC	2004/05/18
08377	Shen You Holdings Ltd.	Cayman Islands	2017/12/15
08106	Shenghua Lande Scitech Ltd. – H Shares	PRC	2002/05/03
08481	Shenglong Splendecor International Ltd.	Cayman Islands	2017/07/17
08206	Shentong Robot Education Group Co. Ltd.	Cayman Islands	2002/11/15
08329	Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares	PRC	2005/09/12
08523	Sheung Moon Holdings Ltd.	Cayman Islands	2018/02/12
08181	Shi Shi Services Ltd.	Cayman Islands	2013/10/11
08250	Silk Road Energy Services Group Ltd.	Cayman Islands	2004/06/18
08367	Simplicity Holding Ltd.	Cayman Islands	2018/02/26
08076	Sing Lee Software (Group) Ltd.	Bermuda	2001/09/05
08496	Singapore Food Holdings Ltd.	Cayman Islands	2020/05/18
08293	SingAsia Holdings Ltd.	Cayman Islands	2016/07/15
08006	Sino Splendid Holdings Ltd.	Cayman Islands	2000/03/09
08086	Sino Vision Worldwide Holdings Ltd.	Bermuda	2000/08/02
08123	Sinofortune Financial Holdings Ltd.	Cayman Islands	2002/01/11

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Code	Company name	Incorporation	Listing date
08296	Sino-Life Group Ltd.	Cayman Islands	2009/09/09
08156	Sinopharm Tech Holdings Ltd.	Cayman Islands	2002/11/12
08427	SK Target Group Ltd.	Cayman Islands	2017/07/19
08285	Sling Group Holdings Ltd.	Cayman Islands	2018/01/16
08268	Smart City Development Holdings Ltd.	Cayman Islands	2015/01/08
08133	Solomon Worldwide Holdings Ltd.	Cayman Islands	2015/04/30
08439	Somerley Capital Holdings Ltd.	Cayman Islands	2017/03/28
08155	South China Assets Holdings Ltd.	Cayman Islands	2002/07/18
08521	ST International Holdings Co. Ltd.	Cayman Islands	2018/05/16
08213	StarGlory Holdings Co. Ltd.	Cayman Islands	2003/03/18
08277	Steed Oriental (Holdings) Co. Ltd.	Cayman Islands	2015/02/23
08401	Stream Ideas Group Ltd.	Cayman Islands	2018/03/28
08082	Sun Entertainment Group Ltd.	Bermuda	2001/11/02
08631	Sun Kong Holdings Ltd.	Cayman Islands	2019/01/08
08451	Sunlight (1977) Holdings Ltd.	Cayman Islands	2018/04/16
08616	Sunray Engineering Group Ltd.	Cayman Islands	2020/04/23
08262	Super Strong Holdings Ltd.	Cayman Islands	2016/03/30
08176	SuperRobotics Holdings Ltd.	Bermuda	2002/02/19
08321	Tai Kam Holdings Ltd.	Cayman Islands	2016/10/28
08436	Takbo Group Holdings Ltd.	Cayman Islands	2017/10/27
08371	Taste.Gourmet Group Ltd.	Cayman Islands	2018/01/17
08096	Tasty Concepts Holding Ltd.	Cayman Islands	2019/03/15
08348	Tianjin Binhai Teda Logistics (Group) Corporation Ltd.-H Shs	PRC	2008/04/30
08189	Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	PRC	2002/06/18
08028	Timeless Software Ltd.	Hong Kong	1999/11/25
08536	TL Natural Gas Holdings Ltd.	Cayman Islands	2018/05/18
08305	Tong Kee (Holding) Ltd.	Cayman Islands	2018/07/04
08326	Tonking New Energy Group Holdings Ltd.	Cayman Islands	2013/11/21
08510	Top Standard Corporation	Cayman Islands	2018/02/13
08017	TradeGo FinTech Ltd.	Cayman Islands	2018/09/28
08395	Tree Holdings Ltd.	Cayman Islands	2018/01/25
08657	True Partner Capital Holding Ltd.	Cayman Islands	2020/10/16
08020	Unitas Holdings Ltd.	Cayman Islands	2011/10/12
08448	Universe Printshop Holdings Ltd.	Cayman Islands	2018/03/28
08375	Vertical International Holdings Ltd.	Cayman Islands	2017/11/13
08540	Victory Securities (Holdings) Co. Ltd.	Cayman Islands	2018/07/16
08340	Vinco Financial Group Ltd.	Cayman Islands	2008/05/20
08107	Vision International Holdings Ltd.	Cayman Islands	2018/05/04
08535	Vistar Holdings Ltd.	Cayman Islands	2018/02/12
08032	Viva China Holdings Ltd.	Cayman Islands	2000/04/06
08033	Vodatel Networks Holdings Ltd.	Bermuda	2000/02/25
08619	WAC Holdings Ltd.	Cayman Islands	2018/09/17
08291	Wan Cheng Metal Packaging Co. Ltd.	Cayman Islands	2017/07/18
08482	Wan Leader International Ltd.	Cayman Islands	2018/09/05
08269	Wealth Glory Holdings Ltd.	Cayman Islands	2010/10/14
08509	Wine's Link International Holdings Ltd.	Cayman Islands	2018/01/12

List of listed companies on GEM *(continued)*

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Code	Company name	Incorporation	Listing date
08526	Wing Fung Group Asia Ltd.	Cayman Islands	2018/02/27
08362	Winning Tower Group Holdings Ltd.	Cayman Islands	2017/06/30
08238	Winto Group (Holdings) Ltd.	Cayman Islands	2015/02/16
08021	WLS Holdings Ltd.	Bermuda	2001/12/07
08208	WMCH Global Investment Ltd.	Cayman Islands	2019/11/29
08612	World Super Holdings Ltd.	Cayman Islands	2019/07/12
08292	Worldgate Global Logistics Ltd.	Cayman Islands	2016/07/06
08422	WT Group Holdings Ltd.	Cayman Islands	2017/12/28
08069	WWPKG Holdings Co. Ltd.	Cayman Islands	2017/01/12
08227	Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares	PRC	2003/11/05
08328	Xinyi Electric Storage Holdings Ltd.	Cayman Islands	2016/07/11
08659	Yik Wo International Holdings Ltd.	Cayman Islands	2020/07/13
08260	Yin He Holdings Ltd.	Cayman Islands	2013/04/10
08668	Ying Hai Group Holdings Co. Ltd.	Cayman Islands	2019/09/26
08241	Ying Kee Tea House Group Ltd.	Hong Kong	2018/04/16
08048	Yu Tak International Holdings Ltd.	Bermuda	2000/06/30
08349	Yunhong Guixin Group Holdings Ltd.	Cayman Islands	2017/01/13
08005	Yuxing InfoTech Investment Holdings Ltd.	Bermuda	2000/01/31
08313	ZACD Group Ltd.	Singapore	2018/01/16
08139	Zhejiang Chang'an Renheng Technology Co., Ltd. – H Shares	PRC	2015/01/16
08249	Zhejiang RuiYuan Intelligent Control Technology Co. Ltd-H Sh	PRC	2003/11/14
08366	Zhejiang United Investment Holdings Group Ltd.	Cayman Islands	2015/11/02
08211	Zhejiang Yongan Rongtong Holdings Co., Ltd. – H Shares	PRC	2002/11/08
08283	Zheng Li Holdings Ltd.	Cayman Islands	2016/11/08
08370	Zhi Sheng Group Holdings Ltd.	Cayman Islands	2017/01/20
08511	Zhicheng Technology Group Ltd.	Cayman Islands	2018/04/20
08246	Zhonghua Gas Holdings Ltd.	Cayman Islands	2011/12/30
08295	Zhongjin Technology Services Group Co. Ltd.	Cayman Islands	2010/06/18
08287	Zioncom Holdings Ltd.	Cayman Islands	2018/01/18
08223	Ziyuanyuan Holdings Group Ltd.	Cayman Islands	2018/07/09
08135	ZMFY Automobile Glass Services Ltd.	Cayman Islands	2013/09/03

List of exchange traded funds

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Code	Name	Listing date
02819	ABF Hong Kong Bond Index Fund	2005/06/21
02821	ABF Pan Asia Bond Index Fund	2005/07/07
02843	AMUNDI FTSE China A50 Index ETF	2016/10/31
82843	AMUNDI FTSE China A50 Index ETF	2016/10/31
03012	AMUNDI Hang Seng HK 35 Index ETF	2016/04/12
83012	AMUNDI Hang Seng HK 35 Index ETF	2016/04/12
02832	Bosera STAR 50 Index ETF	2013/12/09
82832	Bosera STAR 50 Index ETF	2013/12/09
03145	ChinaAMC Asia High Dividend ETF	2014/11/13
03141	ChinaAMC Asia USD Investment Grade Bond ETF	2014/11/13
09141	ChinaAMC Asia USD Investment Grade Bond ETF	2014/11/13
02813	ChinaAMC Bloomberg China Treasury+Policy Bank Bond Index ETF	2018/06/06
09813	ChinaAMC Bloomberg China Treasury+Policy Bank Bond Index ETF	2018/06/06
82813	ChinaAMC Bloomberg China Treasury+Policy Bank Bond Index ETF	2018/06/06
03188	ChinaAMC CSI 300 Index ETF	2012/07/17
83188	ChinaAMC CSI 300 Index ETF	2012/07/17
03069	ChinaAMC Hang Seng Hong Kong Biotech Index ETF	2021/03/18
09069	ChinaAMC Hang Seng Hong Kong Biotech Index ETF	2021/03/18
03157	ChinaAMC Hang Seng Stock Connect HK SmallCap Index ETF	2015/10/26
03088	ChinaAMC Hang Seng TECH Index ETF	2020/09/03
09088	ChinaAMC Hang Seng TECH Index ETF	2020/09/03
03143	ChinaAMC Hong Kong Banks ETF	2014/11/13
03121	ChinaAMC MSCI Asia Pacific Real Estate ETF	2016/02/18
02839	ChinaAMC MSCI China A 50 Connect ETF	2021/12/13
09839	ChinaAMC MSCI China A 50 Connect ETF	2021/12/13
82839	ChinaAMC MSCI China A 50 Connect ETF	2021/12/13
03197	ChinaAMC MSCI China A Inclusion Index ETF	2018/02/07
83197	ChinaAMC MSCI China A Inclusion Index ETF	2018/02/07
03165	ChinaAMC MSCI Europe Quality Hedged to USD ETF	2016/02/18
03160	ChinaAMC MSCI Japan Hedged to USD ETF	2016/02/18
03086	ChinaAMC NASDAQ 100 ETF	2016/02/18
09086	ChinaAMC NASDAQ 100 ETF	2016/02/18
03079	CICC Bloomberg China Treasury 1-10 Years ETF	2018/12/12
83079	CICC Bloomberg China Treasury 1-10 Years ETF	2018/12/12
03093	CICC CSI Select 100 ETF	2018/12/05
83093	CICC CSI Select 100 ETF	2018/12/05
03071	CICC HKD Money Market ETF	2020/12/02
03186	CICC KraneShares CSI China Internet Index ETF	2018/04/10
09186	CICC KraneShares CSI China Internet Index ETF	2018/04/10
83186	CICC KraneShares CSI China Internet Index ETF	2018/04/10
03199	CSOP Bloomberg China Treasury + Policy Bank Bond Index ETF	2014/02/19
83199	CSOP Bloomberg China Treasury + Policy Bank Bond Index ETF	2014/02/19
03174	CSOP China Healthcare Disruption Index ETF	2021/07/21
03005	CSOP CSI 500 ETF	2020/03/19
83005	CSOP CSI 500 ETF	2020/03/19
02822	CSOP FTSE China A50 ETF	2012/08/28

List of exchange traded funds *(continued)*

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Code	Name	Listing date
82822	CSOP FTSE China A50 ETF	2012/08/28
03194	CSOP Global Cloud Computing Technology Index ETF	2021/05/13
03162	CSOP Global Smart Driving Index ETF	2021/08/12
03037	CSOP Hang Seng Index ETF	2021/05/06
03033	CSOP Hang Seng TECH Index ETF	2020/08/28
03053	CSOP Hong Kong Dollar Money Market ETF	2018/07/18
83053	CSOP Hong Kong Dollar Money Market ETF	2018/07/18
03134	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	2021/06/01
03003	CSOP MSCI China A 50 Connect ETF	2021/12/13
03149	CSOP MSCI China A Inclusion Index ETF	2015/11/26
83149	CSOP MSCI China A Inclusion Index ETF	2015/11/26
03122	CSOP RMB Money Market ETF	2015/01/20
83122	CSOP RMB Money Market ETF	2015/01/20
03109	CSOP STAR 50 Index ETF	2021/02/10
03147	CSOP SZSE ChiNext ETF	2015/05/15
83147	CSOP SZSE ChiNext ETF	2015/05/15
03096	CSOP US Dollar Money Market ETF	2019/01/25
09096	CSOP US Dollar Money Market ETF	2019/01/25
03193	CSOP Yinhua CSI 5G Communications Theme ETF	2020/10/23
03100	E Fund (HK) CSI 300 A-Share Index ETF	2012/08/27
83100	E Fund (HK) CSI 300 A-Share Index ETF	2012/08/27
03111	E Fund (HK) MSCI China A50 Connect ETF	2021/12/14
83111	E Fund (HK) MSCI China A50 Connect ETF	2021/12/14
03051	Global X Asia Innovator Active ETF	2021/12/10
03119	Global X Asia Semiconductor ETF	2021/07/23
02849	Global X Autonomous and Electric Vehicles ETF	2021/12/10
02820	Global X China Biotech ETF	2019/07/25
09820	Global X China Biotech ETF	2019/07/25
02809	Global X China Clean Energy ETF	2020/01/17
09809	Global X China Clean Energy ETF	2020/01/17
02826	Global X China Cloud Computing ETF	2019/07/25
09826	Global X China Cloud Computing ETF	2019/07/25
02806	Global X China Consumer Brand ETF	2020/01/17
09806	Global X China Consumer Brand ETF	2020/01/17
03124	Global X China E-commerce and Logistics ETF	2021/07/23
02845	Global X China Electric Vehicle and Battery ETF	2020/01/17
09845	Global X China Electric Vehicle and Battery ETF	2020/01/17
03117	Global X China Games and Entertainment ETF	2021/07/23
03050	Global X China Global Leaders ETF	2021/03/11
03058	Global X China Innovator Active ETF	2021/03/11
02807	Global X China Robotics and AI ETF	2020/08/07
09807	Global X China Robotics and AI ETF	2020/08/07
03191	Global X China Semiconductor ETF	2020/08/07
09191	Global X China Semiconductor ETF	2020/08/07
03127	Global X CSI 300 ETF	2014/09/26
83127	Global X CSI 300 ETF	2014/09/26

List of exchange traded funds *(continued)*

End of 2021

Code	Name	Listing date
03185	Global X FinTech ETF	2021/12/10
03110	Global X Hang Seng High Dividend Yield ETF	2013/06/17
03040	Global X MSCI China ETF	2013/06/17
03097	Global X S&P Crude Oil Futures Enhanced ER ETF	2016/06/16
02811	Haitong CSI300 Index ETF	2014/03/07
82811	Haitong CSI300 Index ETF	2014/03/07
03031	Haitong MSCI China A ESG ETF	2020/10/15
09031	Haitong MSCI China A ESG ETF	2020/10/15
83031	Haitong MSCI China A ESG ETF	2020/10/15
03128	Hang Seng China A Industry Top Index ETF	2013/11/26
83128	Hang Seng China A Industry Top Index ETF	2013/11/26
02828	Hang Seng China Enterprises Index ETF	2003/12/10
82828	Hang Seng China Enterprises Index ETF	2003/12/10
03176	Hang Seng China New Economy Index ETF	2021/11/11
02838	Hang Seng FTSE China 50 Index ETF	2005/06/08
03130	Hang Seng Harvest CSI 300 Index ETF	2020/10/23
83130	Hang Seng Harvest CSI 300 Index ETF	2020/10/23
02833	Hang Seng Index ETF	2004/09/21
82833	Hang Seng Index ETF	2004/09/21
83168	Hang Seng RMB Gold ETF	2012/02/14
03032	Hang Seng TECH Index ETF	2020/09/04
03108	Harvest CSI 300 ESG Leaders Index ETF	2021/03/10
83108	Harvest CSI 300 ESG Leaders Index ETF	2021/03/10
03118	Harvest MSCI China A Index ETF	2012/10/12
83118	Harvest MSCI China A Index ETF	2012/10/12
03011	ICBC CICC USD Money Market ETF	2019/06/18
09011	ICBC CICC USD Money Market ETF	2019/06/18
03167	ICBC CSOP S&P New China Sectors ETF	2016/12/08
09167	ICBC CSOP S&P New China Sectors ETF	2016/12/08
83167	ICBC CSOP S&P New China Sectors ETF	2016/12/08
02829	iShares China Government Bond ETF	2021/10/21
09829	iShares China Government Bond ETF	2021/10/21
82829	iShares China Government Bond ETF	2021/10/21
02846	iShares Core CSI 300 ETF	2009/11/18
09846	iShares Core CSI 300 ETF	2009/11/18
82846	iShares Core CSI 300 ETF	2009/11/18
03115	iShares Core Hang Seng Index ETF	2016/11/23
09115	iShares Core Hang Seng Index ETF	2016/11/23
83115	iShares Core Hang Seng Index ETF	2016/11/23
03010	iShares Core MSCI Asia ex Japan ETF	2009/04/23
09010	iShares Core MSCI Asia ex Japan ETF	2009/04/23
83010	iShares Core MSCI Asia ex Japan ETF	2009/04/23
02801	iShares Core MSCI China ETF	2001/11/28
09801	iShares Core MSCI China ETF	2001/11/28
03074	iShares Core MSCI Taiwan ETF	2016/06/29
09074	iShares Core MSCI Taiwan ETF	2016/06/29

List of exchange traded funds *(continued)*

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Code	Name	Listing date
02836	iShares Core S&P BSE SENSEX India ETF	2006/11/02
09836	iShares Core S&P BSE SENSEX India ETF	2006/11/02
02823	iShares FTSE China A50 ETF	2004/11/18
82823	iShares FTSE China A50 ETF	2004/11/18
03067	iShares Hang Seng TECH ETF	2020/09/17
09067	iShares Hang Seng TECH ETF	2020/09/17
02802	iShares MSCI Emerging Asia ETF	2009/04/23
03022	iShares MSCI Emerging Markets ETF (HK)	2020/07/22
09022	iShares MSCI Emerging Markets ETF (HK)	2020/07/22
02834	iShares NASDAQ 100 ETF	2016/06/29
09834	iShares NASDAQ 100 ETF	2016/06/29
03125	iShares Short Duration China Policy Bank Bond ETF	2021/10/21
09125	iShares Short Duration China Policy Bank Bond ETF	2021/10/21
83125	iShares Short Duration China Policy Bank Bond ETF	2021/10/21
02824	Lippo Select HK & Mainland Property ETF	2012/09/26
03072	Nikko AM Global Internet ETF	2019/10/24
09072	Nikko AM Global Internet ETF	2019/10/24
03091	NikkoAM E-Games Active ETF	2020/06/16
09091	NikkoAM E-Games Active ETF	2020/06/16
03163	Ping An MSCI China Multi-Factor ETF	2018/11/30
03166	Ping An MSCI China Quality Factor ETF	2018/11/30
03198	Ping An Nasdaq 5HANDL ETF	2018/12/07
03023	Ping An Nasdaq AI and Robotics ETF	2018/12/07
03080	Ping An of China CSI 5-10Y CGB ETF	2017/12/29
03070	Ping An of China CSI HK Dividend ETF	2012/02/15
03181	Premia Asia Innovative Technology ETF	2018/08/06
09181	Premia Asia Innovative Technology ETF	2018/08/06
03151	Premia China STAR50 ETF	2021/07/28
09151	Premia China STAR50 ETF	2021/07/28
83151	Premia China STAR50 ETF	2021/07/28
02817	Premia China Treasury and Policy Bank Bond Long Duration ETF	2021/04/14
09817	Premia China Treasury and Policy Bank Bond Long Duration ETF	2021/04/14
82817	Premia China Treasury and Policy Bank Bond Long Duration ETF	2021/04/14
03001	Premia China USD Property Bond ETF	2021/04/14
09001	Premia China USD Property Bond ETF	2021/04/14
83001	Premia China USD Property Bond ETF	2021/04/14
02803	Premia CSI Caixin China Bedrock Economy ETF	2017/10/24
09803	Premia CSI Caixin China Bedrock Economy ETF	2017/10/24
03173	Premia CSI Caixin China New Economy ETF	2017/10/24
09173	Premia CSI Caixin China New Economy ETF	2017/10/24
02810	Premia Dow Jones Emerging ASEAN Titans 100 ETF	2018/08/06
09810	Premia Dow Jones Emerging ASEAN Titans 100 ETF	2018/08/06
02804	Premia MSCI Vietnam ETF	2019/07/18
09804	Premia MSCI Vietnam ETF	2019/07/18
03077	Premia US Treasury Floating Rate ETF	2019/07/18
09077	Premia US Treasury Floating Rate ETF	2019/07/18

List of exchange traded funds *(continued)*

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Code	Name	Listing date
09078	Premia US Treasury Floating Rate ETF-Accumulating Unit Class	2019/09/27
03132	Samsung Bloomberg Global Semiconductor ETF	2021/11/09
02812	Samsung CSI China Dragon Internet ETF	2018/06/20
09812	Samsung CSI China Dragon Internet ETF	2018/06/20
02814	Samsung NYSE FANG+ ETF	2021/05/25
09814	Samsung NYSE FANG+ ETF	2021/05/25
03175	Samsung S&P GSCI Crude Oil ER Futures ETF	2016/04/29
03187	Samsung S&P High Dividend APAC ex NZ REITs ETF	2020/10/15
09187	Samsung S&P High Dividend APAC ex NZ REITs ETF	2020/10/15
03073	SPDR FTSE Greater China ETF	2010/09/20
02840	SPDR Gold Trust	2008/07/31
03047	SSIF DCE Iron Ore Futures Index ETF	2020/03/27
09047	SSIF DCE Iron Ore Futures Index ETF	2020/03/27
02800	Tracker Fund of Hong Kong	1999/11/12
03081	Value Gold ETF	2010/11/03
09081	Value Gold ETF	2010/11/03
83081	Value Gold ETF	2010/11/03
02827	W.I.S.E - CSI 300 China Tracker	2007/07/17
02825	W.I.S.E. - CSI HK 100 Tracker	2008/05/15
03024	W.I.S.E. - SSE 50 China Tracker	2009/04/15
03182	W.I.S.E-Nasdaq Overseas China New Econ Co Top 50 Idx Tracker	2018/09/12
03007	Xtrackers FTSE China 50 UCITS ETF	2009/07/08
03087	Xtrackers FTSE Vietnam Swap UCITS ETF	2009/07/08
02848	Xtrackers MSCI Korea UCITS ETF	2009/07/08
03036	Xtrackers MSCI Taiwan UCITS ETF	2009/06/30
03020	Xtrackers MSCI USA Swap UCITS ETF	2009/07/08
03015	Xtrackers Nifty 50 Swap UCITS ETF	2009/07/08

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS x No. of issued shares})}{\sum(\text{Closing price x No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

3. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price x No. of issued shares})}{\sum(\text{EPS x No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. China-controlled company (Red Chip)

A company is deemed to be China-controlled company (Red Chip), if

(1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or

(2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

Technical notes to tables *(continued)*

5. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

6. H shares

Shares issued by a PRC issuer under PRC law and listed on the SEHK, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

7. Listed company

A company in respect of which all or some of the equity securities are listed on the SEHK.

8. Number of listed securities

The total number of ordinary shares, preferred ordinary/preference shares, warrants, CBBC, ELI, debt securities and unit trust/mutual fund listed on the SEHK.

9. Open interest (options)

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

10. Premium (options)

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

11. Total market capitalisation

The aggregate capitalisation of all listed companies which are regarded as domestic companies and foreign companies listed on the SEHK only except those under suspension for over one year.

12. Trading volume and value

Figures of trading volume and value are counted on a one-way basis.

Acronyms

AMS	Automatic Order Matching and Execution System
AMS/3	Automatic Order Matching and Execution System/Third Generation
CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depositary Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
EW	Equity Warrant
HIBOR	Hong Kong Interbank Offered Rate
HKATS	Hong Kong Futures Automated Trading System
HKCC	HKFE Clearing Corporation Ltd
HKCE	Hong Kong Commodity Exchange Ltd
HKEX	Hong Kong Exchanges and Clearing Ltd
HKFE	Hong Kong Futures Exchange Ltd
HKMA	Hong Kong Monetary Authority
HKSCC	Hong Kong Securities Clearing Co Ltd
HSCCI	Hang Seng China-Affiliated Corporations Index
HSCEI	Hang Seng China Enterprises Index
HSI	Hang Seng Index
HSICS	Hang Seng Industry Classification System
iIPO	Internet Initial Public Offering
IPO	Initial public offering
ISI	Investor settlement instruction
L&I Products	Leveraged and Inverse Products
LME	London Metal Exchange
LMEX	London Metal Exchange Index
NASDAQ	National Association of Securities Dealer Automated Quotations
ORS	Order Routing System
OTC Clear	OTC Clearing Hong Kong Ltd.
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEHK	Stock Exchange of Hong Kong Ltd, The
SEOCH	SEHK Options Clearing House Ltd, The
SFC	Securities and Futures Commission, The
SFO	Securities and Futures Ordinance
SI	Settlement instruction
SS	Stapled securities
TAPOs	Traded Average Price Options
TOPS	Traded OPtions System

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