



證券及衍生產品市場 季報

2009年第一季

市場概況

主板

概況	2009 年第 1 季	2008 年第 1 季
上市公司數目	1,092	1,055
上市證券數目	5,353	6,508
股份		
普通股	1,093	1,056
優先股	2	2
認股權證	2,541	5,036
股份	31	36
衍生	2,510	5,000
股票掛鈎票據	0	0
牛熊證	1,513	214
單位信託及互惠基金	33	26
債券	171	174
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	60	60
總成交金額(百萬港元)	2,679,064.53	5,901,036.22
總成交股數(百萬股)	4,492,698.64	9,241,815.58
總成交宗數(宗數)	31,884,432	38,115,220
上市公司市價總值(百萬港元)	10,080,762.91	16,825,275.91
平均市盈率(倍)	8.99	13.68
平均週息率(百分率)	4.90	2.78
交易所參與者數目		
開業	452	445
非開業	37	36
成交金額(百萬港元)		
最高	90,021.72 (07/01)	156,353.59 (23/01)
最低	28,531.97 (12/03)	63,687.78 (25/02)
成交股數(百萬股)		
最高	109,278.63 (14/01)	210,124.88 (11/01)
最低	50,263.87 (29/01)	115,957.84 (31/03)
總成交宗數(宗數)		
最高	833,038 (07/01)	1,039,402 (22/01)
最低	362,849 (12/03)	402,923 (25/02)

市場概況

創業板

概況	2009 年第 1 季	2008 年第 1 季
上市公司數目	174	189
上市證券數目	175	193
股份	174	189
認股權證	1	4
交易日數	60	60
總成交金額(百萬港元)	4,192.38	21,383.86
總成交股數(百萬股)	23,073.79	60,037.41
總成交宗數(宗數)	153,521	530,682
上市公司市價總值(百萬港元)	46,972.81	112,660.54
平均市盈率(倍)	13.25	17.18
平均週息率(百分率)	3.14	0.96
成交金額(百萬港元)		
最高	209.86 (23/03)	801.99 (28/02)
最低	31.09 (20/01)	118.29 (11/02)
成交股數(百萬股)		
最高	968.51 (30/03)	2,301.00 (18/03)
最低	182.88 (06/03)	293.25 (12/02)
總成交宗數(宗數)		
最高	4,600 (10/02)	18,565 (28/02)
最低	1,254 (29/01)	4,160 (11/02)

市場概況

衍生產品市場

概況	第1季2009年	第1季2008年
所有期貨及期權產品(股票期權除外)		
交易日數	60.0	59.5
總合約成交量	11,729,930	12,248,278
股市指數產品	11,655,155	12,200,986
股票產品	71,537	39,376
利率產品	1,210	7,916
黃金期貨	2,028	—
平均每日合約成交量	195,499	205,853
季末未平倉合約		
股市指數產品	398,895	426,960
股票產品	388,290	419,457
利率產品	9,208	5,443
黃金期貨	1,377	2,060
黃金期貨	20	—
股票期權		
新開辦期權類別	—	—
股票期權數目(於季末數目)		
類別	49	47
系列	7,546	6,406
期權金總額(百萬港元)	25,464.43	45,158.26
認購	5,679.34	16,031.40
認沽	19,785.09	29,126.86
平均每日期權金總額(百萬港元)	424.41	758.96
總合約成交量	11,656,754	15,838,824
認購	5,050,647	7,879,815
認沽	6,606,107	7,959,009
平均每日合約成交量	194,279	266,199
季末未平倉合約	4,400,699	5,652,274
認購	1,684,410	2,557,891
認沽	2,716,289	3,094,383
總成交宗數	410,553	376,117
平均每日成交宗數	6,843	6,321
已行使合約總數	1,573,994	2,081,759
每張合約平均期權金(港元)	2,185	2,851
每宗交易平均合約數目	28	42
認沽數量／認購數量	1.31	1.01
平均每日合約成交量／未平倉合約	4.4%	4.7%
所有期貨及期權產品		
總合約成交量	23,386,684	28,087,102
季末未平倉合約	4,799,594	6,079,234

市場概況

結算及交收

中央結算系統營運資料	2009年第1季	2008年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
– 交易宗數	533,958	644,083
– 交易金額(十億港元)	44.7	98.7
– 涉及股數(十億股)	75.3	155.0
經中央結算系統處理的交收指示(每日平均數)		
– 交收指示數目	55,676	70,212
– 交收指示涉及金額(十億港元)	122.4	240.2
– 涉及股數(十億股)	33.6	61.5
經中央結算系統處理的投資者交收指示(每日平均數)		
– 交收指示數目	432	624
– 交收指示涉及金額(百萬港元)	119.6	211.1
– 涉及股數(百萬股)	68.4	92.9
以「持續淨額交收」的股份數額於到期交收日(T+2) 的交收效率(每日平均數)	99.84	99.84
以「持續淨額交收」的股份數額於到期交收翌日(T+3) 的交收效率(每日平均數)	99.99	99.98
在T+3日進行補購(每日平均數)		
– 涉及經紀數目	6	11
– 補購宗數	7	13
– 補購涉及金額(百萬港元)	3.0	6.3
存放於中央結算系統證券存管處的股份		
– 股數(十億股)	3,255.4	4,089.7
– 獲納入系統的證券佔已發行股本總數的百分比	70.99	77.13
– 股份價值(十億港元)	5,026.7	8,061.4
– 獲納入系統的證券佔市價總值的百分比	46.29	44.86
衍生產品結算及交收系統營運資料	2009年第1季	2008年第1季
季末未平倉合約		
– 股市指數期貨	171,202	224,838
– 股票期貨	9,208	5,443
– 利率期貨	1,377	2,060
– 黃金期貨	20	-
– 股市指數期權	217,088	194,619
– 股票期權	4,400,699	5,652,274.00
累積行使股票期權		
– 涉及股數(百萬股)	1,163	1,985
– 涉及金額(十億港元)	27	67

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2008	第 1 季	564,762.03	4,141,772.67	28,938,227
	第 2 季	497,409.06	3,329,344.37	25,180,928
	第 3 季	413,439.81	2,838,074.56	24,351,804
	第 4 季	608,044.76	2,322,640.74	32,068,396
2009	第 1 季	595,122.61	1,902,713.82	26,427,341

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2008	第 1 季	60	69,029.54	143,125
	第 2 季	61	54,579.42	132,217
	第 3 季	62	45,775.40	116,545
	第 4 季	62	37,461.95	72,428
2009	第 1 季	60	31,711.90	71,998

主 板 股 份

20種升幅最大之股份

2009年第1季

順次	股份代號	股份	收市價		升幅
			2009年3月底	2008年12月底	
1	00905	MASTERMIND CAP	0.157	0.030	423.33
2	00433	SUN MAN TAI	0.290	0.063	360.32
3	00702	創新能源	0.410	0.098	318.37
4	00578	合動能源	1.900	0.480	295.83
5	00228	中能控股	0.265	0.095	178.95
6	01051	智富能源	0.120	0.046	160.87
7	00909	中大國際	0.365	0.140	160.71
8	00597	華潤微電子	0.280	0.111	152.25
9	00760	明日國際	0.238	0.096	147.92
10	02633	NAM TAI EEP	1.470	0.600	145.00
11	00546	阜豐集團	1.180	0.495	138.38
12	00669	創科實業	3.600	1.540	133.77
13	01217	中國創新投資	0.062	0.029	113.79
14	00499	華脈無線通信	0.340	0.161 A	111.18
15	01215	開源控股	0.310	0.148	109.46
16	00674	友力投資	0.209	0.100	109.00
17	00353	森源鈦礦控股	0.071	0.034	108.82
18	00310	嘉進投資國際	0.860	0.430	100.00
19	00202	國中控股	0.034	0.017	100.00
20	00933	光滙石油	1.610	0.810	98.77

A 經調整

20種跌幅最大之股份

2009年第1季

順次	股份代號	股份	收市價		跌幅
			2009年3月底	2008年12月底	
1	02310	光星電子	0.350	2.681	-86.95
2	00583	S C M P 集團	1.000	2.580	-61.24
3	00605	港佳控股	0.120	0.300	-60.00
4	00979	綠色能源科技集團	0.300	0.690	-56.52
5	00616	永義實業	0.140	0.310	-54.84
6	00372	德祥企業	0.040	0.086	-53.49
7	00199	德祥地產	0.480	1.025 A	-53.17
8	00566	紅發集團	0.125	0.250	-50.00
9	01886	匯源果汁	4.940	9.690	-49.02
10	00326	中國星集團	0.013	0.024 A	-45.83
11	00462	中國金匯礦業	0.215	0.395	-45.57
12	03886	康健國際	0.010	0.018	-44.44
13	01195	華翔微電	0.175	0.310	-43.55
14	00943	意科控股	0.153	0.270	-43.33
15	02362	澳門投資控股	0.170	0.300	-43.33
16	01164	維奧醫藥	0.094	0.165	-43.03
17	00136	馬斯葛集團	0.550	0.950 A	-42.11
18	00572	中國包裝集團	0.235	0.400	-41.25
19	01808	台一國際	0.194	0.330	-41.21
20	00528	金達控股	0.200	0.340	-41.18

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2009年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00005	匯豐控股	131,440.47	6.91
2	03988	中國銀行	114,358.93	6.01
3	00939	建設銀行	113,814.25	5.98
4	00941	中國移動	106,446.13	5.59
5	02628	中國人壽	90,096.35	4.74
6	01398	工商銀行	89,381.09	4.70
7	00857	中國石油股份	56,410.87	2.96
8	00883	中國海洋石油	44,313.77	2.33
9	00386	中國石油化工股份	42,736.47	2.25
10	02318	中國平安	35,999.60	1.89
11	00388	香港交易所	35,890.54	1.89
12	01088	中國神華	31,493.07	1.66
13	00016	新鴻基地產	29,921.45	1.57
14	03968	招商銀行	29,594.19	1.56
15	00688	中國海外發展	24,976.56	1.31
16	00001	長江實業	22,101.39	1.16
17	00013	和記黃埔	21,231.39	1.12
18	03328	交通銀行	20,413.75	1.07
19	02600	中國鋁業	20,288.50	1.07
20	00762	中國聯通	20,215.11	1.06
總額			1,081,123.89	56.82

20種成交最活躍股份(股數)

2009年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	56,065.35	9.42
2	03886	康健國際	40,964.05	6.88
3	00939	建設銀行	28,417.87	4.78
4	01398	工商銀行	25,359.61	4.26
5	00397	香港體檢	17,122.59	2.88
6	00488	麗新發展	10,931.00	1.84
7	00386	中國石油化工股份	9,766.30	1.64
8	00857	中國石油股份	9,228.74	1.55
9	00651	和成國際	8,503.15	1.43
10	01142	朗迪國際	7,220.70	1.21
11	01222	WANG ON GROUP	6,912.47	1.16
12	00202	國中控股	6,761.48	1.14
13	00380	中國管業	6,329.62	1.06
14	01228	奇峰國際	6,279.26	1.06
15	00883	中國海洋石油	6,214.61	1.04
16	00728	中國電信	6,177.77	1.04
17	00353	森源鈦礦控股	5,050.02	0.85
18	01215	開源控股	4,877.21	0.82
19	02600	中國鋁業	4,813.38	0.81
20	00992	聯想集團	4,705.11	0.79
總數			271,700.29	45.65

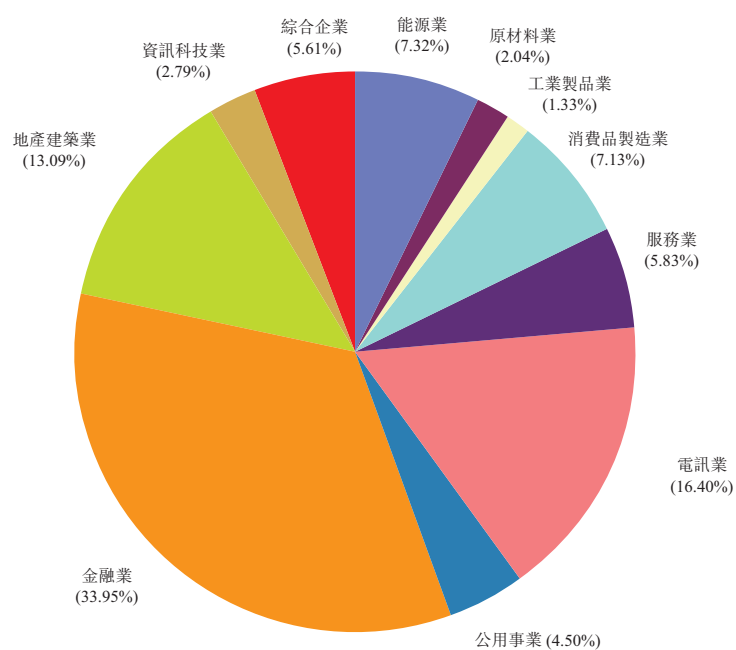
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2008	第 1 季	16,825,275.91
	第 2 季	16,246,996.96
	第 3 季	12,481,118.88
	第 4 季	10,253,588.75
2009	第 1 季	10,080,762.91

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2009年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,353,716.09	13.43
2	00939	中國建設銀行股份有限公司－H股	988,631.97	9.81
3	00005	匯豐控股有限公司	733,785.27	7.28
4	00883	中國海洋石油有限公司	343,059.46	3.40
5	01398	中國工商銀行股份有限公司－H股	334,717.70	3.32
6	00762	中國聯合網絡通信(香港)股份有限公司	196,798.42	1.95
7	03988	中國銀行股份有限公司－H股	195,372.05	1.94
8	02628	中國人壽保險股份有限公司－H股	191,238.20	1.90
9	00016	新鴻基地產發展有限公司	177,964.74	1.77
10	02888	渣打集團有限公司	177,745.19	1.76
11	00013	和記黃埔有限公司	162,008.09	1.61
12	00001	長江實業(集團)有限公司	154,603.97	1.53
13	00011	恒生銀行有限公司	149,219.33	1.48
14	00857	中國石油天然氣股份有限公司－H股	130,391.20	1.29
15	00002	中電控股有限公司	128,127.14	1.27
16	03328	交通銀行股份有限公司－H股	124,086.84	1.23
17	02318	中國平安保險(集團)股份有限公司－H股	118,337.27	1.17
18	00066	香港鐵路有限公司	105,525.18	1.05
19	00700	騰訊控股有限公司	103,221.37	1.02
20	00688	中國海外發展有限公司	99,283.08	0.98
21	00006	香港電燈集團有限公司	98,176.04	0.97
22	02388	中銀香港(控股)有限公司	83,842.15	0.83
23	00386	中國石油化工股份有限公司－H股	83,231.22	0.83
24	00003	香港中華煤氣有限公司	81,453.63	0.81
25	00388	香港交易及結算所有限公司	78,686.95	0.78
26	00019	太古股份有限公司	76,650.80	0.76
27	00101	恒隆地產有限公司	75,441.96	0.75
28	01038	長江基建集團有限公司	69,880.51	0.69
29	00836	華潤電力控股有限公司	68,552.94	0.68
30	00494	利豐有限公司	66,147.04	0.66
31	00012	恒基兆業地產有限公司	63,328.90	0.63
32	01088	中國神華能源股份有限公司－H股	59,339.25	0.59
33	01109	華潤置地有限公司	56,634.37	0.56
34	00004	九龍倉集團有限公司	52,984.29	0.53
35	00322	康師傅控股有限公司	50,057.67	0.50
36	00330	思捷環球控股有限公司	49,179.47	0.49
37	00151	中國旺旺控股有限公司	47,550.78	0.47
38	00728	中國電信股份有限公司－H股	44,407.71	0.44
39	00144	招商局國際有限公司	43,234.10	0.43
40	01800	中國交通建設股份有限公司－H股	37,633.75	0.37
41	00083	信和置業有限公司	37,299.02	0.37
42	00392	北京控股有限公司	36,725.13	0.36
43	00998	中信銀行股份有限公司－H股	36,337.28	0.36
44	03968	招商銀行股份有限公司－H股	36,043.48	0.36
45	01688	阿里巴巴網絡有限公司	35,944.30	0.36
46	01044	恒安國際集團有限公司	35,824.52	0.36
47	00247	尖沙咀置業集團有限公司	35,172.95	0.35
48	01387	人和商業控股有限公司	34,800.00	0.35
49	02007	碧桂園控股有限公司	33,538.00	0.33
50	01880	百麗國際控股有限公司	33,315.22	0.33
總額			7,609,245.96	75.48
市場總值			10,080,762.91	100.00

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2008	第1季	439,273.23	4,163,154.65	10.55
	第2季	345,265.36	3,346,787.65	10.32
	第3季	327,618.01	2,847,263.13	11.51
	第4季	207,081.01	2,326,710.98	8.90
2009	第1季	193,237.79	1,906,905.94	10.13

* 包括主板及創業板數據

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2008	第1季	30,556.34	624,769.65	4.89
	第2季	25,402.17	551,233.73	4.61
	第3季	27,563.50	441,101.48	6.25
	第4季	26,217.91	628,043.79	4.17
2009	第1季	23,846.47	618,173.72	3.86

* 包括主板及創業板數據

新上市統計－公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2008	第1季	10	-	32,990.02
	第2季	11	-	17,153.41
	第3季	7	8	11,970.91
	第4季	5	6	3,645.19
2009	第1季	7	0	1,561.65

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2009年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
00852	海峽石油化工控股有限公司	2009/01/12	400,000,000	250.00
00750	中國興業太陽能技術控股有限公司	2009/01/13	428,000,000	63.00
00802	宏霸數碼集團(控股)有限公司	2009/02/10	231,610,000	n.a.
00246	瑞金礦業有限公司	2009/02/23	660,000,000	1,031.25
00794	錦勝集團(控股)有限公司	2009/02/26	280,000,000	78.40
00841	亞洲木薯資源控股有限公司	2009/03/23	300,000,000	76.50
01008	詩天控股(國際)有限公司	2009/03/30	200,000,000	62.50
總額				1,561.65

除牌上市公司

2009年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
00096	永隆銀行有限公司	2008/10/27	2009/01/16
00080	邵氏兄弟(香港)有限公司	2009/03/09	2009/03/19

主 板 股 份

公司名稱更改

2009 年 第 1 季

股份代號	原有名稱	新名稱	生效日期	採用日期
01013	偉俊集團有限公司	偉俊集團控股有限公司	2008/11/19	2009/01/08
00850	中油資源控股有限公司	中亞能源控股有限公司	2008/12/18	2009/01/23
00723	中國鎂業資源集團有限公司	晉盈控股有限公司	2008/12/19	2009/01/23
00111	亨達國際控股有限公司	信達國際控股有限公司	2008/12/31	2009/02/04
02882	海域化工集團有限公司	香港資源控股有限公司	2009/01/07	2009/02/09
00555	御泰金融控股有限公司	御泰中彩控股有限公司	2009/01/30	2009/03/09
01003	真樂發控股有限公司	21 控股有限公司	2009/02/24	2009/03/25

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2009年第1季

股份代號	公司	詳情	除淨日
02366	勤＋緣媒體服務有限公司	10送1	2009/01/15
00326	中國星集團有限公司	每供1股送3股股份	2009/01/16
00275	錦興集團有限公司	每供15股送4份2010年認股權證	2009/02/11
00666	禹銘投資有限公司	每供5股送1份2011年認股權證	2009/03/17
00023	東亞銀行有限公司	10送1	2009/03/18
00635	彩星集團有限公司	5股送1份2010年認股權證	2009/03/26

股份拆細及合併

2009年第1季

股份代號	公司	詳情	生效日期
02618	TCL通訊科技控股有限公司	10股合併為1股	2009/01/23
01070	TCL多媒體科技控股有限公司	10股合併為1股	2009/01/23
00499	華脈無線通信有限公司	20股合併為1股	2009/01/29
01003	21控股有限公司	20股合併為1股	2009/02/12
02324	德泰中華投資有限公司	5股合併為1股	2009/02/16
00646	啟帆集團有限公司	1股分拆為2股	2009/02/16
00136	馬斯葛集團有限公司	10股合併為1股	2009/03/04
00061	綠色環球資源有限公司	10股合併為1股	2009/03/09
00199	德祥地產集團有限公司	25股合併為1股	2009/03/16
01222	宏安集團有限公司	25股合併為1股	2009/03/31

主 板 股 份

供股／公開售股

2009年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	00628	多金控股有限公司	公開售股2供1，每股供1.00港元 (10股合併為1股後)	109.55
	00539	冠華國際控股有限公司	股權2供1，每股供0.439港元	150.06
	00616	永義實業集團有限公司	股權1供10，每股供0.15港元	100.12
	00688	中國海外發展有限公司	公開售股25供1，每股供8.00港元	2,512.22
2月	01166	榮盛科技國際控股有限公司	公開售股1供4，每股供0.027港元	65.19
	00383	中國網絡資本有限公司	股權1供1，每股供0.40港元	110.26
	00326	中國星集團有限公司	公開售股1供2，每股供0.05港元 另每供1股送3股股份	53.22
	00499	華脈無線通信有限公司	股權1供7，每股供0.144港元 (20股合併為1股後)	62.91
	03989	恒寶利國際控股有限公司	公開售股2供1，每股供0.30港元	42.45
	01198	皇朝傢俬控股有限公司	公開售股2供1，每股供0.27港元	42.01
3月	00274	高寶綠色科技集團有限公司	公開售股25供12，每股供0.25港元	161.16
	00275	錦興集團有限公司	公開售股1供3，每股供0.35港元 另每供15股送4份2010年認股權證	105.27
	00817	方興地產(中國)有限公司	股權100供23，每股供1.67港元	2,716.85
	00279	民豐控股有限公司	股權1供1，每股供0.11港元	107.50
	02349	中國植物開發控股有限公司	公開售股2供1，每股供0.08港元	27.68
	00764	中國星投資有限公司	公開售股2供1，每股供0.10港元	36.71
	00307	泰德陽光(集團)有限公司	公開售股2供1，每股供0.065港元	21.69
	00913	合一投資控股有限公司	股權1供1，每股供0.10港元	51.22
總額				6,476.06

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售 股份數目	配售價 (港元)	集資金額 (百萬港元)
2008					
12月**	01140	東英金融投資	78,500,000	1.500	117.75
	00326	中國星集團	88,000,000	0.102	8.98
	00981	中芯國際	3,699,094,300	0.360	1,331.67
	00945	宏利金融	117,443,210	122.903	14,434.11
2009					
1月	01100	飛達控股	16,758,000	0.844	14.14
	01064	中華國際	25,000,000	0.600	15.00
	00764	中國星投資	39,000,000	0.102	3.98
	01060	上聯水泥	145,000,000	0.270	39.15
	00530	廣益國際	122,000,000	0.027	3.29
	03886	康健國際	4,530,000,000	0.015	67.95
	00933	光滙石油	110,000,000	0.610	67.10
	00559	華藝礦業	104,000,000	0.300	31.20
	00570	榮山國際	233,334,000	0.300	70.00
2月	00663	南嶺化工	84,880,636	0.094	8.00
	01159	泰盛實業集團	50,000,000	0.100	5.00
	02324	德泰中華投資	150,150,000	0.045	6.76
	00764	中國星投資	200,000,000	0.102	20.40
	03323	中國建材	298,555,032	7.850	2,343.66
	00290	中國富強集團	12,000,000	0.100	1.20
	00290	中國富強集團	80,000,000	0.250	20.00
	00766	中盈控股	257,230,000	0.033	8.49
	02882	香港資源控股	40,000,000	0.500	20.00
	00630	輝影國際	50,000,000	0.300	15.00
	02882	香港資源控股	23,500,000	1.300	30.55
	00149	中國農產品交易	153,000,000	0.250	38.25
	00764	中國星投資	300,000,000	0.091	27.30
	00682	超大現代	80,402,000	5.000	402.01
	00307	泰德陽光	111,204,000	0.065	7.23
	01141	保興發展控股	150,000,000	0.075	11.25
3月	00851	建美集團	25,000,000	0.125	3.13
	00810	華保亞洲	249,000,000	0.100	24.90
	01169	海爾電器	75,000,000	0.650	48.75
	00378	事安集團	44,000,000	1.780	78.32
	01003	21控股	130,000,000	0.150	19.50
	01194	中國貴金屬	30,330,000	0.350	10.62
	總 額				19,354.63

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2008	第1季	441.42	1,611,079.67	1,611,521.09
	第2季	516.79	958,619.84	959,136.63
	第3季	166.30	555,791.97	555,958.28
	第4季	5.74	308,244.86	308,250.59
2009	第1季	5.00	314,404.68	314,409.68

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)
		數目		數目	總數	
2008	第1季	36	3,489.26	5,000	336,368.73	339,857.99
	第2季	35	2,089.63	4,599	212,788.32	214,877.95
	第3季	37	776.08	3,941	156,186.99	156,963.07
	第4季	34	548.52	3,011	169,573.55	170,122.08
2009	第1季	31	327.03	2,510	132,412.29	132,739.32

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2008	第1季	7	1,719	257,483.82
	第2季	2	1,058	110,344.97
	第3季	6	1,174	107,224.10
	第4季	3	871	80,858.92
2009	第1季	1	569	47,600.67

新上市股份認股權證

2009年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
00749	錦興集團有限公司2010年認股權證	50,529,258	2009/03/19	2010/09/16	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2009年第1季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16047	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Aug 2009 B	200,000,000	2009/01/02	2009/08/03	52.00
16048	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Nov 2009	200,000,000	2009/01/02	2009/11/02	50.00
16034	KBC Fin Products Int'l Ltd. - BOCL European Wts Oct 2009	198,000,000	2009/01/02	2009/10/05	99.00
16035	KBC Fin Products Int'l Ltd. - BOCL European Wts Nov 2009 A	318,000,000	2009/01/02	2009/11/02	98.58
16040	KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Sep 2009	158,000,000	2009/01/02	2009/09/02	97.96
16041	KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Oct 2009 A	88,000,000	2009/01/02	2009/10/08	94.16
16036	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2009 G	388,000,000	2009/01/02	2009/09/02	97.00
16042	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Aug 09 A	400,000,000	2009/01/02	2009/08/03	100.00
16043	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Aug 09 B	150,000,000	2009/01/02	2009/08/18	96.00
16044	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Sep 09 K	118,000,000	2009/01/02	2009/09/09	99.12
16039	KBC Fin Products Int'l Ltd. - ICBC European Wts Aug 2009	338,000,000	2009/01/02	2009/08/03	98.02
16045	KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Jul 2009	128,000,000	2009/01/02	2009/07/15	97.28
16046	KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Aug 2009 A	78,000,000	2009/01/02	2009/08/03	97.50
16037	KBC Fin Products Int'l Ltd. - ICBC European Wts Jul 2009 B	178,000,000	2009/01/02	2009/07/02	96.12
16038	KBC Fin Products Int'l Ltd. - ICBC European Wts Jul 2009 C	248,000,000	2009/01/02	2009/07/15	96.72
16049	UBS AG - CHALCO European Warrants Dec 2010	500,000,000	2009/01/02	2010/12/20	125.00
16050	UBS AG - Bank of EA European Warrants Nov 2009	500,000,000	2009/01/02	2009/11/16	125.00
16051	UBS AG - BOC HK European Warrants Dec 2009	500,000,000	2009/01/02	2009/12/21	125.00
16009	UBS AG - BankComm European Warrants Dec 2009	500,000,000	2009/01/02	2009/12/14	125.00
16010	UBS AG - BankComm European Warrants Apr 2010	500,000,000	2009/01/02	2010/04/26	125.00
16011	UBS AG - BankComm European Warrants Oct 2010	500,000,000	2009/01/02	2010/10/25	125.00
16012	UBS AG - Ch Comm Cons European Warrants Sep 2009	500,000,000	2009/01/02	2009/09/28	125.00
16052	UBS AG - China Coal European Warrants Aug 2010	500,000,000	2009/01/02	2010/08/16	125.00
16053	UBS AG - China Life European Warrants Sep 2009 D	500,000,000	2009/01/02	2009/09/21	275.00
16054	UBS AG - China Life European Warrants Sep 2009 E	500,000,000	2009/01/02	2009/09/21	250.00
16055	UBS AG - China Life European Warrants Sep 2009 F	500,000,000	2009/01/02	2009/09/21	225.00
16056	UBS AG - China Life European Put Warrants Sep 2009	500,000,000	2009/01/02	2009/09/21	250.00
16057	UBS AG - China National Building European Warrants Sep 2009	500,000,000	2009/01/02	2009/09/21	125.00
16013	UBS AG - Sinopec Corp European Warrants Oct 2009	500,000,000	2009/01/02	2009/10/19	275.00
16014	UBS AG - Sinopec Corp European Warrants Nov 2009	500,000,000	2009/01/02	2009/11/16	75.00
16033	UBS AG - Sinopec Corp European Warrants Dec 2009	500,000,000	2009/01/02	2009/12/14	75.00
16058	UBS AG - HK Gas European Warrants Sep 2009 B	500,000,000	2009/01/02	2009/09/14	125.00
16059	UBS AG - Jiangxi Copper European Warrants Aug 2010	500,000,000	2009/01/02	2010/08/16	125.00
16067	Deutsche Bank AG - CNOOC European Warrants Nov 2009	300,000,000	2009/01/05	2009/11/02	75.00
16062	KBC Fin Products Int'l Ltd. - China Tel Euro Wts Jul 2009	268,000,000	2009/01/05	2009/07/07	99.16
16063	KBC Fin Products Int'l Ltd. - China Tel Euro Wts Aug 2009	368,000,000	2009/01/05	2009/08/18	99.36
16064	KBC Fin Products Int'l Ltd. - China Tel Euro Wts Oct 2009	400,000,000	2009/01/05	2009/10/15	100.00
16061	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Aug 2009 A	400,000,000	2009/01/05	2009/08/03	100.00
16065	KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Aug 2009	400,000,000	2009/01/05	2009/08/18	100.00
16066	KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Nov 2009A	400,000,000	2009/01/05	2009/11/18	100.00
16060	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Jul 2009 B	308,000,000	2009/01/05	2009/07/08	98.56
16068	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Sep 2009 A	400,000,000	2009/01/06	2009/09/29	100.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16069	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Sep 2009 B	400,000,000	2009/01/06	2009/09/29	100.00
16070	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Sep 2009 C	400,000,000	2009/01/06	2009/09/29	100.00
16077	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2009 C	400,000,000	2009/01/06	2009/09/29	100.00
16071	KBC Fin Products Int'l Ltd. - HSI European Wts Jun 2009 D	400,000,000	2009/01/06	2009/06/29	63.60
16072	KBC Fin Products Int'l Ltd. - HSI European Wts Jul 2009 A	400,000,000	2009/01/06	2009/07/30	100.00
16073	KBC Fin Products Int'l Ltd. - HSI European Wts Jul 2009 B	400,000,000	2009/01/06	2009/07/30	100.00
16074	KBC Fin Products Int'l Ltd. - HSI European Wts Jul 2009 C	400,000,000	2009/01/06	2009/07/30	100.00
16075	KBC Fin Products Int'l Ltd. - HSI European Wts Aug 2009 A	400,000,000	2009/01/06	2009/08/28	100.00
16076	KBC Fin Products Int'l Ltd. - HSI European Wts Aug 2009 B	400,000,000	2009/01/06	2009/08/28	100.00
16078	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jul 2009 D	400,000,000	2009/01/07	2009/07/30	67.60
16079	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jul 2009 E	400,000,000	2009/01/07	2009/07/30	100.00
16080	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jul 2009 F	400,000,000	2009/01/07	2009/07/30	100.00
16081	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jul 2009 G	400,000,000	2009/01/07	2009/07/30	100.00
16082	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jul 2009 H	348,000,000	2009/01/07	2009/07/30	97.44
16083	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Aug 2009 C	368,000,000	2009/01/07	2009/08/28	99.36
16084	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Aug 2009 D	328,000,000	2009/01/07	2009/08/28	98.40
16085	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Aug 2009 E	308,000,000	2009/01/07	2009/08/28	98.56
16086	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2009 A	278,000,000	2009/01/07	2009/09/29	97.30
16087	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2009 B	268,000,000	2009/01/07	2009/09/29	99.16
16088	CC Rabobank B.A. - HSI European Warrants Aug 2009	300,000,000	2009/01/07	2009/08/28	75.00
16092	CC Rabobank B.A. - HSI European Put Warrants Aug 2009	300,000,000	2009/01/07	2009/08/28	75.00
16105	KBC Fin Products Int'l Ltd. - Ch Comm Cons Euro Wts Oct 2009	348,000,000	2009/01/08	2009/10/16	97.44
16106	KBC Fin Products Int'l Ltd. - Ch Comm Cons Euro Wts Nov 09 A	400,000,000	2009/01/08	2009/11/06	100.00
16107	KBC Fin Products Int'l Ltd. - Ch Comm Cons Euro Wts Nov 09 B	400,000,000	2009/01/08	2009/11/20	100.00
16109	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2009 H	258,000,000	2009/01/08	2009/09/01	147.06
16110	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2009 I	338,000,000	2009/01/08	2009/09/10	145.34
16111	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2009 J	388,000,000	2009/01/08	2009/09/16	139.68
16112	KBC Fin Products Int'l Ltd. - China Life Euro Wts Oct 2009	400,000,000	2009/01/08	2009/10/08	100.00
16108	KBC Fin Products Int'l Ltd. - China Life Euro Wts Nov 2009	400,000,000	2009/01/08	2009/11/03	100.00
16093	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Nov 2009	138,000,000	2009/01/08	2009/11/10	148.21
16101	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Sep 2009 D	400,000,000	2009/01/08	2009/09/29	100.00
16102	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Sep 2009 E	400,000,000	2009/01/08	2009/09/29	100.00
16103	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Sep 2009 F	400,000,000	2009/01/08	2009/09/29	100.00
16104	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Oct 2009	400,000,000	2009/01/08	2009/10/29	100.00
16091	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Nov 09	800,000,000	2009/01/08	2009/11/30	224.00
16089	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2009B	400,000,000	2009/01/08	2009/10/30	224.00
16090	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2009B	400,000,000	2009/01/08	2009/12/07	198.00
16094	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Nov09	400,000,000	2009/01/08	2009/11/23	334.00
16097	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 09 A	500,000,000	2009/01/08	2009/12/28	125.00
16095	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Jul 2009A	200,000,000	2009/01/08	2009/07/30	50.00
16096	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Jul 2009B	200,000,000	2009/01/08	2009/07/30	50.00
16099	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jul09C	200,000,000	2009/01/08	2009/07/30	50.00
16100	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jul09D	200,000,000	2009/01/08	2009/07/30	50.00
16098	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Feb 2010	500,000,000	2009/01/08	2010/02/08	80.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15239#	Credit Suisse - CC Bank European Warrants Dec 2009	500,000,000	2009/01/12	2009/12/07	42.50
16115	CC Rabobank B.A. - Ch Comm Cons European Warrants Jul 2009	100,000,000	2009/01/13	2009/07/30	25.00
16116	CC Rabobank B.A. - Ch Comm Cons European Warrants Oct 2009	100,000,000	2009/01/13	2009/10/13	25.00
16120	CC Rabobank B.A. - China Railway European Wts Sep 2010	150,000,000	2009/01/13	2010/09/29	37.50
16121	CC Rabobank B.A. - China Railway European Wts Nov 2010	180,000,000	2009/01/13	2010/11/17	45.00
16118	CC Rabobank B.A. - Ch Railway Cons European Wts Jul 2009	100,000,000	2009/01/13	2009/07/30	25.00
16119	CC Rabobank B.A. - Ch Railway Cons European Wts Oct 2009	100,000,000	2009/01/13	2009/10/13	27.00
16123	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 09 A	800,000,000	2009/01/13	2009/08/10	120.80
16124	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep 2009	800,000,000	2009/01/13	2009/09/28	120.00
16122	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul 09 B	800,000,000	2009/01/13	2009/07/20	133.60
16125	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Oct 2009C	500,000,000	2009/01/13	2009/10/02	88.50
16126	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Sep 2009 B	80,000,000	2009/01/13	2009/09/04	108.00
16117	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 09C	300,000,000	2009/01/13	2009/07/30	111.00
16113	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2009 A	300,000,000	2009/01/13	2009/07/30	75.00
16114	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2009 B	300,000,000	2009/01/13	2009/07/30	75.00
16128	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Nov 2009	150,000,000	2009/01/13	2009/11/30	177.00
16127	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Aug 2009 B	150,000,000	2009/01/13	2009/08/31	132.00
16130	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2009 A	150,000,000	2009/01/13	2009/08/03	118.50
16131	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2009	150,000,000	2009/01/13	2009/09/28	114.00
16129	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Nov 2009C	500,000,000	2009/01/13	2009/11/23	79.00
16132	Macquarie Bank Ltd. - CHALCO European Warrants Feb 2010	100,000,000	2009/01/14	2010/02/25	25.10
16135	Macquarie Bank Ltd. - China Mobile European Wts Sep 2009	150,000,000	2009/01/14	2009/09/29	22.65
16133	Macquarie Bank Ltd. - CC Bank European Warrants Jul 2009	88,000,000	2009/01/14	2009/07/30	39.16
16134	Macquarie Bank Ltd. - CM Bank European Warrants Jul 2009 B	150,000,000	2009/01/14	2009/07/30	37.50
16138	Macquarie Bank Ltd. - CNOOC European Warrants Dec 2009	150,000,000	2009/01/14	2009/12/30	37.80
16136	Macquarie Bank Ltd. - China Railway European Wts Sep 2009 A	50,000,000	2009/01/14	2009/09/29	70.05
16137	Macquarie Bank Ltd. - China Shenhua European Wts Sep 2009 A	100,000,000	2009/01/14	2009/09/29	47.40
16141	Macquarie Bank Ltd. - A50 China European Warrants Aug 2009	280,000,000	2009/01/14	2009/08/27	70.00
16139	Macquarie Bank Ltd. - Hang Seng Bank European Wts Dec 2009	200,000,000	2009/01/14	2009/12/30	50.00
16140	Macquarie Bank Ltd. - ICBC European Warrants Jul 2009 B	100,000,000	2009/01/14	2009/07/30	66.00
16142	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 09 A	500,000,000	2009/01/14	2009/11/16	125.00
16143	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2010	500,000,000	2009/01/14	2010/01/18	130.00
16149	ABN AMRO Bank N.V. - CC Bank European Warrants Jul 2009	100,000,000	2009/01/15	2009/07/27	55.00
16144	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Nov 2009 A	200,000,000	2009/01/15	2009/11/30	94.00
16147	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Nov 2009	150,000,000	2009/01/15	2009/11/02	120.00
16145	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2009 A	150,000,000	2009/01/15	2009/11/30	165.00
16146	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2009B	300,000,000	2009/01/15	2009/09/21	129.00
16148	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2009 B	500,000,000	2009/01/15	2009/09/22	76.00
09731#	UBS AG - BOCL European Warrants Dec 2010 E	1,000,000,000	2009/01/15	2010/12/13	33.00
16167	ABN AMRO Bank N.V. - China Mobile European Wts Aug 2009	500,000,000	2009/01/16	2009/08/17	80.00
16152	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2009 C	200,000,000	2009/01/16	2009/09/29	50.00
16158	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2009 A	200,000,000	2009/01/16	2009/09/29	50.00
16166	Credit Suisse - A50 China European Put Warrants Sep 2009	200,000,000	2009/01/16	2009/09/07	47.80
16165	Credit Suisse -HKEx European Put Warrants Oct 2009	200,000,000	2009/01/16	2009/10/05	42.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16163	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Aug 2009 B	200,000,000	2009/01/16	2009/08/03	59.00
16164	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Sep 2009 B	200,000,000	2009/01/16	2009/09/14	84.00
16153	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2009 B	150,000,000	2009/01/16	2009/09/21	138.00
16159	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2009C	300,000,000	2009/01/16	2009/09/28	174.00
16160	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Aug 2009 B	150,000,000	2009/01/16	2009/08/24	102.75
16162	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Oct 09 C	500,000,000	2009/01/16	2009/10/19	132.50
16150	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2009 D	300,000,000	2009/01/16	2009/07/30	78.00
16151	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2009 E	300,000,000	2009/01/16	2009/07/30	79.50
16154	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 09F	300,000,000	2009/01/16	2009/07/30	78.00
16155	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 09G	300,000,000	2009/01/16	2009/07/30	78.00
16156	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 09H	300,000,000	2009/01/16	2009/07/30	79.50
16157	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 09I	300,000,000	2009/01/16	2009/07/30	76.50
16161	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2009 B	150,000,000	2009/01/16	2009/08/18	113.25
16173	BNP Paribas Arbit Issu B.V. - BOCL European Wts Dec 2009	200,000,000	2009/01/19	2009/12/14	70.00
16174	BNP Paribas Arbit Issu B.V. - BOCL European Wts Jan 2010	200,000,000	2009/01/19	2010/01/18	94.00
16169	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jul 2009 B	150,000,000	2009/01/19	2009/07/27	126.00
16168	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Dec 2009	300,000,000	2009/01/19	2009/12/28	76.50
16170	SGA Societe Generale Acceptance N.V. - Datang Eu Wt Aug 2009	100,000,000	2009/01/19	2009/08/31	108.00
16171	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jul 2009	500,000,000	2009/01/19	2009/07/20	85.00
16172	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Aug 09 B	500,000,000	2009/01/19	2009/08/28	90.00
16175	KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Aug 2009	268,000,000	2009/01/20	2009/08/10	96.48
16176	KBC Fin Products Int'l Ltd. - ICBC European Wts Jul 2009 D	400,000,000	2009/01/20	2009/07/20	100.00
16186	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Oct 2009	200,000,000	2009/01/21	2009/10/27	40.00
16189	Deutsche Bank AG - HSBC European Put Warrants Oct 2009	200,000,000	2009/01/21	2009/10/15	38.00
16187	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Jul 2009	178,000,000	2009/01/21	2009/07/21	99.68
16188	KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Aug 2009	400,000,000	2009/01/21	2009/08/20	100.00
16177	Macquarie Bank Ltd. - Bank of EA European Warrants Sep 2009	100,000,000	2009/01/21	2009/09/29	25.20
16178	Macquarie Bank Ltd. - Huaneng Power European Wts Sep 2009	50,000,000	2009/01/21	2009/09/29	22.20
16179	Macquarie Bank Ltd. - Jiangxi Copper European Wts Dec 2009	100,000,000	2009/01/21	2009/12/30	25.00
16180	Macquarie Bank Ltd. - Swire Pacific A European Wts Sep 2009	150,000,000	2009/01/21	2009/09/29	71.70
16182	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2009C	300,000,000	2009/01/21	2009/08/03	156.00
16183	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Nov 2009B	300,000,000	2009/01/21	2009/11/09	174.00
16184	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Oct 2009	150,000,000	2009/01/21	2009/10/19	166.50
16181	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 09J	300,000,000	2009/01/21	2009/07/30	78.00
16185	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Nov 2009D	400,000,000	2009/01/21	2009/11/30	71.20
16208	ABN AMRO Bank N.V. - HSBC European Warrants Dec 2010 A	400,000,000	2009/01/22	2010/12/28	100.00
16212	ABN AMRO Bank N.V. - HSBC European Put Warrants Dec 2010	400,000,000	2009/01/22	2010/12/20	108.00
16201	Credit Suisse - BOCL European Warrants Aug 2009	200,000,000	2009/01/22	2009/08/03	50.00
16202	Credit Suisse - China Life European Warrants Aug 2009 B	200,000,000	2009/01/22	2009/08/17	48.00
16203	Credit Suisse - HSBC European Wts Oct 2009 A	200,000,000	2009/01/22	2009/10/12	34.00
16204	Credit Suisse - ICBC European Warrants Jun 2009	200,000,000	2009/01/22	2009/06/08	42.00
16205	Credit Suisse - ICBC European Warrants Jul 2009	200,000,000	2009/01/22	2009/07/27	60.00
16206	KBC Fin Products Int'l Ltd. - ICBC European Wts Sep 2009 C	118,000,000	2009/01/22	2009/09/01	95.58
16207	KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Aug 2009 B	400,000,000	2009/01/22	2009/08/10	100.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16193	Macquarie Bank Ltd. - BOCL European Warrants Sep 2009	150,000,000	2009/01/22	2009/09/29	37.65
16194	Macquarie Bank Ltd. - HSBC European Warrants Mar 2010	150,000,000	2009/01/22	2010/03/30	37.65
16190	CC Rabobank B.A. - HSBC European Warrants Jul 2009	200,000,000	2009/01/22	2009/07/30	50.00
16191	CC Rabobank B.A. - HSBC European Warrants Oct 2009 D	200,000,000	2009/01/22	2009/10/30	50.00
16192	CC Rabobank B.A. - HSBC European Put Warrants Sep 2009 B	150,000,000	2009/01/22	2009/09/29	37.50
16195	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Nov 2009 B	200,000,000	2009/01/22	2009/11/02	76.00
16196	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2009 B	150,000,000	2009/01/22	2009/11/23	102.00
16197	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2009 A	80,000,000	2009/01/22	2009/08/03	79.68
16198	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt May 2009 B	150,000,000	2009/01/22	2009/05/25	66.00
16199	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2009 C	150,000,000	2009/01/22	2009/08/24	135.00
16200	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Dec 2009	500,000,000	2009/01/22	2009/12/30	75.50
15803#	Credit Suisse - HSBC European Wts Aug 2009	300,000,000	2009/01/22	2009/08/25	20.40
16210	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Apr 2010	200,000,000	2009/01/23	2010/04/16	46.00
16214	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Apr 2010	200,000,000	2009/01/23	2010/04/19	42.00
16213	Deutsche Bank AG - China Life European Warrants Jul 2009 C	300,000,000	2009/01/23	2009/07/27	129.00
16216	Deutsche Bank AG - A50 China European Warrants Aug 2009	300,000,000	2009/01/23	2009/08/17	63.00
16215	Deutsche Bank AG - HSBC European Warrants Oct 2009 B	300,000,000	2009/01/23	2009/10/12	45.00
16217	Goldman Sachs SP (Asia) - BOCL European Warrants Jul 2009	200,000,000	2009/01/23	2009/07/30	50.00
16209	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2010 B	300,000,000	2009/01/23	2010/12/30	75.00
16211	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Oct 09 C	800,000,000	2009/01/23	2009/10/23	144.00
16218	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 09 B	500,000,000	2009/01/29	2009/08/17	75.00
16219	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2009 B	500,000,000	2009/01/29	2009/10/09	75.00
16017#	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jul 2009 D	500,000,000	2009/01/29	2009/07/02	43.00
15633#	CC Rabobank B.A. - HSBC European Warrants Jan 2010	200,000,000	2009/01/29	2010/01/21	11.80
16233	ABN AMRO Bank N.V. - HSBC European Put Warrants Jul 2010	400,000,000	2009/01/30	2010/07/26	100.00
16220	ABN AMRO Bank N.V. - HSBC European Warrants Dec 2010 B	400,000,000	2009/01/30	2010/12/28	100.00
16221	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Aug 2009	200,000,000	2009/01/30	2009/08/24	176.00
16222	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Sep 2009	200,000,000	2009/01/30	2009/09/28	142.00
16227	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2010	200,000,000	2009/01/30	2010/04/26	50.00
16223	BNP Paribas Arbit Issu B.V. - ICBC European Wts Oct 2009 A	200,000,000	2009/01/30	2009/10/27	128.00
16224	BNP Paribas Arbit Issu B.V. - ICBC European Wts Oct 2009 B	200,000,000	2009/01/30	2009/10/12	86.00
16225	Credit Suisse - China Mobile European Warrants Jan 2010	500,000,000	2009/01/30	2010/01/25	125.00
16226	Credit Suisse - HSBC European Wts Jun 2010	500,000,000	2009/01/30	2010/06/14	125.00
16230	UBS AG - HSBC European Warrants Jul 2009	500,000,000	2009/01/30	2009/07/06	75.00
16231	UBS AG - HSBC European Warrants Apr 2010 A	500,000,000	2009/01/30	2010/04/12	125.00
16232	UBS AG - HSBC European Warrants Apr 2010 B	500,000,000	2009/01/30	2010/04/12	125.00
16228	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Aug 2009 B	208,000,000	2009/02/02	2009/08/03	97.76
16229	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Aug 2009	298,000,000	2009/02/02	2009/08/03	98.34
16235	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jun 2010	300,000,000	2009/02/02	2010/06/28	75.00
16240	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Dec 2009	368,000,000	2009/02/02	2009/12/01	99.36
16241	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Sep 2010	400,000,000	2009/02/02	2010/09/01	100.00
16238	KBC Fin Products Int'l Ltd. - HSBC European Wts Apr 2010 A	378,000,000	2009/02/02	2010/04/01	98.28
16239	KBC Fin Products Int'l Ltd. - HSBC European Wts Apr 2010 B	400,000,000	2009/02/02	2010/04/12	100.00
16236	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2009D	300,000,000	2009/02/02	2009/08/31	168.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16234	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Aug 2009	200,000,000	2009/02/02	2009/08/18	60.00
16237	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2009 B	100,000,000	2009/02/02	2009/08/03	94.00
16242	Credit Suisse - CC Bank European Warrants Jun 2009	200,000,000	2009/02/03	2009/06/01	37.60
16243	Deutsche Bank AG - China Life European Warrants Aug 2009 D	300,000,000	2009/02/03	2009/08/17	204.00
16244	Deutsche Bank AG - HSBC European Put Warrants Sep 2009 B	300,000,000	2009/02/03	2009/09/29	53.10
16245	ABN AMRO Bank N.V. - HSI European Put Warrants May 2009 B	300,000,000	2009/02/04	2009/05/27	55.50
16247	HK Bank - CC Bank European Put Warrants Aug 2009	280,000,000	2009/02/05	2009/08/14	193.20
16246	HK Bank - A50 China European Put Warrants Dec 2009	280,000,000	2009/02/05	2009/12/17	70.00
16248	HK Bank - ICBC European Put Warrants Aug 2009	280,000,000	2009/02/05	2009/08/14	131.60
16252	UBS AG - HSBC European Warrants Jul 2010	500,000,000	2009/02/05	2010/07/26	125.00
16253	UBS AG - HSBC European Put Warrants Dec 2009 C	500,000,000	2009/02/05	2009/12/14	125.00
16249	UBS AG - HSI European Warrants Dec 2009 A	500,000,000	2009/02/05	2009/12/30	135.00
16250	UBS AG - HSI European Warrants Dec 2009 B	500,000,000	2009/02/05	2009/12/30	130.00
16251	UBS AG - HSI European Warrants Dec 2009 C	500,000,000	2009/02/05	2009/12/30	125.00
16254	UBS AG - HSI European Put Warrants Dec 2009 D	500,000,000	2009/02/05	2009/12/30	125.00
16255	UBS AG - HSI European Put Warrants Dec 2009 E	500,000,000	2009/02/05	2009/12/30	130.00
16256	UBS AG - HSI European Put Warrants Dec 2009 F	500,000,000	2009/02/05	2009/12/30	135.00
10859	ABN AMRO Bank N.V. - Gold European Warrants Oct 2009	200,000,000	2009/02/06	2009/10/27	50.00
10865	ABN AMRO Bank N.V. - Gold European Put Warrants Oct 2009	200,000,000	2009/02/06	2009/10/19	54.40
16257	ABN AMRO Bank N.V. - PetroChina European Warrants Nov 2009 C	388,000,000	2009/02/06	2009/11/23	58.20
16264	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2009 E	300,000,000	2009/02/09	2009/08/28	75.00
16265	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jun 2009 G	300,000,000	2009/02/09	2009/06/29	48.00
16266	Deutsche Bank AG - HKEx European Warrants Nov 2009	300,000,000	2009/02/09	2009/11/30	75.00
16267	Deutsche Bank AG - HSBC European Warrants Apr 2010 A	300,000,000	2009/02/09	2010/04/26	54.00
16268	Deutsche Bank AG - ICBC European Warrants Aug 2009	300,000,000	2009/02/09	2009/08/10	153.00
16260	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2009 B	200,000,000	2009/02/09	2009/10/02	73.00
16261	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 09B	500,000,000	2009/02/09	2009/12/14	127.50
16258	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Aug 2009A	200,000,000	2009/02/09	2009/08/28	50.00
16259	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Aug 2009B	200,000,000	2009/02/09	2009/08/28	50.00
16262	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Aug09C	200,000,000	2009/02/09	2009/08/28	50.00
16263	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Aug09D	200,000,000	2009/02/09	2009/08/28	50.00
16269	Deutsche Bank AG - BOCL European Warrants Aug 2009 B	200,000,000	2009/02/10	2009/08/10	58.00
16270	Deutsche Bank AG - CC Bank European Warrants Aug 2009	200,000,000	2009/02/10	2009/08/17	116.00
16271	Deutsche Bank AG - China Mobile European Warrants Nov 2009 B	300,000,000	2009/02/10	2009/11/02	57.00
16274	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Aug 2009	200,000,000	2009/02/11	2009/08/28	50.00
16275	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Sep 2009	200,000,000	2009/02/11	2009/09/29	54.00
16278	BNP Paribas Arbit Issu B.V. - HSCEI Euro Put Wts Aug 2009	200,000,000	2009/02/11	2009/08/28	54.00
16279	BNP Paribas Arbit Issu B.V. - HSCEI Euro Put Wts Sep 2009	200,000,000	2009/02/11	2009/09/29	58.00
16273	Macquarie Bank Ltd. - Ch Comm Cons European Wts Dec 2009	150,000,000	2009/02/11	2009/12/30	37.65
16272	Macquarie Bank Ltd. - China Coal European Warrants Mar 2010	150,000,000	2009/02/11	2010/03/30	37.65
10860	SGA Societe Generale Acceptance N.V. - AUD/USD Eur Wt Aug 09	100,000,000	2009/02/11	2009/08/14	25.00
10864	SGA Societe Generale Acceptance N.V.-AUD/USD Eu Put Wt Aug09	100,000,000	2009/02/11	2009/08/14	25.00
16276	SGA Societe Generale Acceptance N.V. - CCoal Eur Wt Dec 2009	500,000,000	2009/02/11	2009/12/04	81.50
10863	SGA Societe Generale Acceptance N.V. - EUR/USD Eu Wt Aug2009	100,000,000	2009/02/11	2009/08/14	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
10867	SGA Societe Generale Acceptance N.V.-EUR/USD Eu Put Wt Aug09	100,000,000	2009/02/11	2009/08/14	25.00
10866	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Wt Aug2009	100,000,000	2009/02/11	2009/08/14	25.00
10870	SGA Societe Generale Acceptance N.V.-USD/YEN Eu Put Wt Aug09	100,000,000	2009/02/11	2009/08/14	25.00
16280	Deutsche Bank AG - BOCL European Put Warrants Aug 2009	150,000,000	2009/02/12	2009/08/17	42.00
16286	CC Rabobank B.A. - BOCL European Warrants Sep 2009	100,000,000	2009/02/12	2009/09/11	25.00
16282	CC Rabobank B.A. - BankComm European Warrants Dec 2009	200,000,000	2009/02/12	2009/12/08	32.00
16285	CC Rabobank B.A. - A50 China European Warrants Dec 2009	100,000,000	2009/02/12	2009/12/22	22.00
16283	CC Rabobank B.A. - HSBC European Warrants Dec 2010	250,000,000	2009/02/12	2010/12/22	37.50
16281	CC Rabobank B.A. - HSI European Warrants Oct 2009 A	200,000,000	2009/02/12	2009/10/29	50.00
16287	CC Rabobank B.A. - HSI European Put Warrants Oct 2009	200,000,000	2009/02/12	2009/10/29	62.00
16288	CC Rabobank B.A. - HSI European Put Warrants Dec 2009	200,000,000	2009/02/12	2009/12/30	54.00
16284	CC Rabobank B.A. - Tencent Holdings European Wts Feb 2010	220,000,000	2009/02/12	2010/02/22	55.00
16277	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Nov 2009E	500,000,000	2009/02/12	2009/11/09	83.50
16291	ABN AMRO Bank N.V. - HSI European Warrants Jul 2009	300,000,000	2009/02/13	2009/07/30	47.70
16290	Macquarie Bank Ltd. - HSBC European Warrants Sep 2009 D	200,000,000	2009/02/13	2009/09/29	234.20
16294	Macquarie Bank Ltd. - HSBC European Put Warrants Sep 2009 C	200,000,000	2009/02/13	2009/09/30	112.40
16289	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Aug 2009 C	200,000,000	2009/02/13	2009/08/20	54.00
16297	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Feb 2011	200,000,000	2009/02/16	2011/02/02	182.00
16298	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jun 2011	200,000,000	2009/02/16	2011/06/02	50.00
16299	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts May 2011	200,000,000	2009/02/16	2011/05/03	50.00
16296	Macquarie Bank Ltd. - Zijin Mining European Wts Dec 2010	200,000,000	2009/02/16	2010/12/30	50.80
16300	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2009 C	200,000,000	2009/02/16	2009/10/27	66.00
16292	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2009C	300,000,000	2009/02/16	2009/10/27	96.00
16301	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 09 B	500,000,000	2009/02/16	2009/11/02	108.00
16293	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2009 C	500,000,000	2009/02/16	2009/10/30	75.00
16295	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2009 A	150,000,000	2009/02/16	2009/10/27	88.50
16302	UBS AG - A50 China European Warrants Oct 2009 B	500,000,000	2009/02/16	2009/10/27	125.00
16303	UBS AG - A50 China European Warrants Oct 2009 C	500,000,000	2009/02/16	2009/10/27	125.00
16304	UBS AG - A50 China European Warrants Oct 2009 D	500,000,000	2009/02/16	2009/10/27	125.00
16316	ABN AMRO Bank N.V. - China Mobile European Wts Oct 2009 A	500,000,000	2009/02/17	2009/10/16	75.00
16309	KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Dec 2009	328,000,000	2009/02/17	2009/12/14	49.20
16307	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Oct 2009	218,000,000	2009/02/17	2009/10/02	91.56
16308	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Sep 09 L	248,000,000	2009/02/17	2009/09/01	99.20
16311	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Aug 2009 A	400,000,000	2009/02/17	2009/08/28	100.00
16312	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Aug 2009 B	400,000,000	2009/02/17	2009/08/28	100.00
16310	KBC Fin Products Int'l Ltd. - ICBC European Wts Sep 2009 D	180,000,000	2009/02/17	2009/09/01	97.20
16313	Standard Bank PLC - China Mobile European Warrants Apr 2010	200,000,000	2009/02/17	2010/04/28	50.00
16314	Standard Bank PLC - China Shenhua European Warrants Dec 2009	200,000,000	2009/02/17	2009/12/30	50.00
16315	Standard Bank PLC - PetroChina European Warrants Apr 2010	200,000,000	2009/02/17	2010/04/05	50.00
16305	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Jun 2009	300,000,000	2009/02/17	2009/06/29	68.10
16306	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Nov2011	500,000,000	2009/02/17	2011/11/21	86.50
16319	UBS AG - CC Bank European Put Warrants Dec 2009	500,000,000	2009/02/17	2009/12/21	140.00
16318	UBS AG - CC Bank European Warrants Nov 2009 F	500,000,000	2009/02/17	2009/11/30	125.00
16320	UBS AG - China Life European Warrants Dec 2009	500,000,000	2009/02/17	2009/12/21	125.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16321	UBS AG - China Mobile European Warrants Nov 2009 B	500,000,000	2009/02/17	2009/11/30	125.00
16323	UBS AG - HKEx European Warrants Oct 2009	500,000,000	2009/02/17	2009/10/19	75.00
16325	UBS AG - HKEx European Put Warrants Apr 2010	500,000,000	2009/02/17	2010/04/26	75.00
16326	UBS AG - HSBC European Warrants Nov 2009	500,000,000	2009/02/17	2009/11/02	75.00
16322	UBS AG - ICBC European Put Warrants Nov 2009	500,000,000	2009/02/17	2009/11/30	125.00
16317	UBS AG - ICBC European Warrants Nov 2009 D	500,000,000	2009/02/17	2009/11/30	125.00
16324	UBS AG - SPDR Gold Trust European Warrants Feb 2010 A	500,000,000	2009/02/17	2010/02/22	125.00
16334	KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Sep 2009 B	378,000,000	2009/02/18	2009/09/15	98.28
16335	KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Oct 2009 B	400,000,000	2009/02/18	2009/10/09	100.00
16336	KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Nov 2009	400,000,000	2009/02/18	2009/11/02	90.80
16327	KBC Fin Products Int'l Ltd. - HSI European Wts Aug 2009 F	400,000,000	2009/02/18	2009/08/28	100.00
16328	KBC Fin Products Int'l Ltd. - HSI European Wts Aug 2009 G	400,000,000	2009/02/18	2009/08/28	100.00
16332	Macquarie Bank Ltd. - BankComm European Warrants Dec 2010	388,000,000	2009/02/18	2010/12/30	97.78
16333	Macquarie Bank Ltd. - CC Bank European Put Warrants Sep 2009	100,000,000	2009/02/18	2009/09/29	58.20
16331	Macquarie Bank Ltd. - A50 China European Warrants Dec 2009	388,000,000	2009/02/18	2009/12/29	97.39
16329	Macquarie Bank Ltd. - HSI European Warrants Sep 2009	150,000,000	2009/02/18	2009/09/29	37.50
16330	Macquarie Bank Ltd. - HSI European Warrants Dec 2009 A	150,000,000	2009/02/18	2009/12/30	37.50
16337	Macquarie Bank Ltd. - China Shenhua European Wts Sep 2009 B	200,000,000	2009/02/19	2009/09/29	112.80
16338	Macquarie Bank Ltd. - Hang Seng Bank European Wts Mar 2010	200,000,000	2009/02/19	2010/03/30	50.40
16339	Macquarie Bank Ltd. - Hutchison European Warrants Dec 2009	200,000,000	2009/02/19	2009/12/30	121.20
16340	Macquarie Bank Ltd. - New World Dev European Wts Jun 2010	200,000,000	2009/02/19	2010/06/29	54.00
16358	ABN AMRO Bank N.V. - BOCL European Warrants Sep 2009	300,000,000	2009/02/20	2009/09/28	75.90
16359	ABN AMRO Bank N.V. - ICBC European Warrants Sep 2009	300,000,000	2009/02/20	2009/09/28	129.00
16345	BNP Paribas Arbit Issu B.V. - CHALCO European Wts Jul 2010	100,000,000	2009/02/20	2010/07/05	26.00
16346	BNP Paribas Arbit Issu B.V. - BOCL European Wts Sep 2009	100,000,000	2009/02/20	2009/09/03	33.00
16347	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2009 B	200,000,000	2009/02/20	2009/10/05	68.00
16348	BNP Paribas Arbit Issu B.V. - CRCC European Wts Sep 2009	100,000,000	2009/02/20	2009/09/03	26.00
16357	Deutsche Bank AG - A50 China European Warrants Nov 2009	300,000,000	2009/02/20	2009/11/02	57.00
16350	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2009	200,000,000	2009/02/20	2009/08/28	50.00
16354	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2009	200,000,000	2009/02/20	2009/08/28	50.00
16343	Macquarie Bank Ltd. - China Mobile European Wts Dec 2009	150,000,000	2009/02/20	2009/12/30	22.50
16342	Macquarie Bank Ltd. - China Mobile European Wts Sep 2010	150,000,000	2009/02/20	2010/09/29	37.50
16341	Macquarie Bank Ltd. - HSCEI European Warrants Sep 2009	150,000,000	2009/02/20	2009/09/29	37.50
16344	Macquarie Bank Ltd. - HSCEI European Put Warrants Sep 2009	150,000,000	2009/02/20	2009/09/29	37.50
16351	CC Rabobank B.A.-Ch Comm Cons European Put Warrants Mar 2010	100,000,000	2009/02/20	2010/03/19	40.00
16352	CC Rabobank B.A.-Ch Railway Cons European Put Warrants Mar10	100,000,000	2009/02/20	2010/03/19	48.00
16355	CC Rabobank B.A. - A50 China European Put Warrants Dec 2009	100,000,000	2009/02/20	2009/12/18	48.00
16353	CC Rabobank B.A. - HKEx European Put Warrants Oct 2010	150,000,000	2009/02/20	2010/10/08	39.75
16356	CC Rabobank B.A. - HSI European Put Warrants Sep 2009 A	200,000,000	2009/02/20	2009/09/29	62.00
16349	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Oct 2009D	350,000,000	2009/02/20	2009/10/27	52.50
16360	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Aug 2009 F	300,000,000	2009/02/23	2009/08/28	75.00
16361	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2009 B	300,000,000	2009/02/23	2009/09/29	75.00
16366	Barclays Bank plc - HSI European Put Warrants Jul 2009	500,000,000	2009/02/24	2009/07/30	91.00
16362	Barclays Bank plc - HSI European Warrants Jun 2009 B	500,000,000	2009/02/24	2009/06/29	81.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16363	Barclays Bank plc - HSI European Warrants Sep 2009 B	500,000,000	2009/02/24	2009/09/29	75.50
16375	KBC Fin Products Int'l Ltd. - BOCL European Wts Sep 2009 D	400,000,000	2009/02/24	2009/09/10	100.00
16376	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2009 B	408,000,000	2009/02/24	2009/10/21	74.26
16377	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Aug 2009	208,000,000	2009/02/24	2009/08/24	95.68
16374	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Sep 2009	378,000,000	2009/02/24	2009/09/15	98.28
16378	KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Sep 2009	178,000,000	2009/02/24	2009/09/02	97.90
16365	Macquarie Bank Ltd. - China COSCO European Warrants Nov 2009	200,000,000	2009/02/24	2009/11/27	30.80
16373	Macquarie Bank Ltd. - A50 China European Put Warrants Dec 09	250,000,000	2009/02/24	2009/12/30	62.50
16364	Macquarie Bank Ltd. - HSBC European Warrants Sep 2010	200,000,000	2009/02/24	2010/09/29	50.00
16372	Macquarie Bank Ltd. - SHK Ppt European Warrants Dec 2009	150,000,000	2009/02/24	2009/12/30	117.75
16371	Standard Bank PLC - China Life European Warrants Oct 2009	200,000,000	2009/02/24	2009/10/23	50.00
16367	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Sep 2009 C	200,000,000	2009/02/24	2009/09/16	50.00
16368	SGA Societe Generale Acceptance N.V. - BOCom Eur Wt Oct 09 B	50,000,000	2009/02/24	2009/10/19	44.50
16369	SGA Societe Generale Acceptance N.V.-ChiUnicom Eur Wts Nov09	100,000,000	2009/02/24	2009/11/30	25.00
16370	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Aug 09 C	500,000,000	2009/02/24	2009/08/28	75.50
16380	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Sep 2009	200,000,000	2009/02/25	2009/09/03	98.00
16381	BNP Paribas Arbit Issu B.V. - HKEx European Wts Dec 2009	200,000,000	2009/02/25	2009/12/02	38.00
16382	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2009 G	300,000,000	2009/02/25	2009/08/28	90.00
16384	Deutsche Bank AG - HSBC European Warrants Apr 2010 B	300,000,000	2009/02/25	2010/04/01	51.00
16383	Deutsche Bank AG - SPDR Gold Trust European Warrants Dec2009	200,000,000	2009/02/25	2009/12/21	50.00
16379	Macquarie Bank Ltd. - HSI European Put Warrants Dec 2009 C	150,000,000	2009/02/25	2009/12/30	37.50
16203#	Credit Suisse - HSBC European Wts Oct 2009 A	200,000,000	2009/02/25	2009/10/12	12.80
15350#	CC Rabobank B.A. - A50 China European Warrants May 2009	100,000,000	2009/02/25	2009/05/27	14.40
16385	Credit Suisse - SPDR Gold Trust European Warrants Feb 2010	200,000,000	2009/02/26	2010/02/08	50.00
16389	Deutsche Bank AG - CC Bank European Warrants Sep 2009	300,000,000	2009/02/26	2009/09/07	136.50
16386	UBS AG - SPDR Gold Trust European Warrants Dec 2009	300,000,000	2009/02/26	2009/12/14	75.00
16387	UBS AG - SPDR Gold Trust European Warrants Jan 2010	300,000,000	2009/02/26	2010/01/25	75.00
16388	UBS AG - SPDR Gold Trust European Warrants Feb 2010 B	300,000,000	2009/02/26	2010/02/22	75.00
16397	ABN AMRO Bank N.V. - China Mobile European Wts Oct 2009 B	200,000,000	2009/02/27	2009/10/23	31.60
10868	Deutsche Bank AG - DJIA European Warrants Dec 2009	200,000,000	2009/02/27	2009/12/21	64.00
10872	Deutsche Bank AG - DJIA European Put Warrants Dec 2009	200,000,000	2009/02/27	2009/12/21	50.00
16390	Macquarie Bank Ltd. - HKEx European Warrants Jan 2010	150,000,000	2009/02/27	2010/01/28	22.50
16391	Macquarie Bank Ltd. - HKEx European Put Warrants Apr 2010	150,000,000	2009/02/27	2010/04/29	22.50
16396	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Aug2009	300,000,000	2009/02/27	2009/08/28	75.00
16392	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2009 A	300,000,000	2009/02/27	2009/08/28	75.00
16393	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2009 B	300,000,000	2009/02/27	2009/08/28	75.00
16394	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2009 C	300,000,000	2009/02/27	2009/08/28	75.00
16395	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2009 D	300,000,000	2009/02/27	2009/08/28	75.00
16403	Deutsche Bank AG - HSI European Put Warrants Jul 2009 H	300,000,000	2009/03/03	2009/07/30	54.00
16404	Deutsche Bank AG - HSI European Put Warrants Jul 2009 I	300,000,000	2009/03/03	2009/07/30	63.00
16399	J P Morgan SP BV - CM Bank European Warrants Sep 2009	200,000,000	2009/03/03	2009/09/29	53.00
16400	Macquarie Bank Ltd. - China Overseas European Wts Dec 2009	200,000,000	2009/03/03	2009/12/30	54.40
16401	Macquarie Bank Ltd. - Hang Lung Ppt European Wts Apr 2010	150,000,000	2009/03/03	2010/04/29	41.10
16402	Macquarie Bank Ltd. - Wharf European Warrants Dec 2009	150,000,000	2009/03/03	2009/12/30	37.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16416	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2009 D	300,000,000	2009/03/04	2009/09/29	75.00
16417	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2009 E	300,000,000	2009/03/04	2009/09/29	75.00
16410	Macquarie Bank Ltd. - BOCHK European Warrants Dec 2009	100,000,000	2009/03/04	2009/12/23	17.00
16411	Macquarie Bank Ltd. - China Tel European Warrants Sep 2009 B	150,000,000	2009/03/04	2009/09/29	45.00
16412	CC Rabobank B.A. - CM Bank European Warrants Sep 2009	120,000,000	2009/03/04	2009/09/18	30.00
16414	CC Rabobank B.A. - A50 China European Warrants Oct 2009 B	100,000,000	2009/03/04	2009/10/09	25.00
16415	CC Rabobank B.A. - A50 China European Warrants Mar 2010	100,000,000	2009/03/04	2010/03/12	25.00
16413	CC Rabobank B.A. - SPDR Gold Trust European Warrants Nov2009	150,000,000	2009/03/04	2009/11/23	37.50
16398	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2009C	400,000,000	2009/03/04	2009/12/28	234.00
16405	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Dec 09 C	100,000,000	2009/03/04	2009/12/28	113.00
16406	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Dec09A	200,000,000	2009/03/04	2009/12/30	50.00
16407	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Dec09B	200,000,000	2009/03/04	2009/12/30	50.00
16408	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 09A	300,000,000	2009/03/04	2009/12/30	75.00
16409	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 09B	300,000,000	2009/03/04	2009/12/30	75.00
16418	HK Bank - Ch Comm Cons European Warrants Feb 2010	280,000,000	2009/03/05	2010/02/22	70.00
16419	HK Bank - CM Bank European Warrants Jan 2010	280,000,000	2009/03/05	2010/01/22	86.80
16420	HK Bank - Zijin Mining European Warrants Oct 2010	280,000,000	2009/03/05	2010/10/22	70.00
16422	BOCI Asia Ltd. - A50 China European Warrants Feb 2010	150,000,000	2009/03/06	2010/02/24	37.50
16423	BOCI Asia Ltd. - HSBC European Warrants Sep 2009	200,000,000	2009/03/06	2009/09/07	200.00
16421	BOCI Asia Ltd. - Tracker Fund European Warrants Nov 2009	150,000,000	2009/03/06	2009/11/24	37.50
16424	BOCI Asia Ltd. - Tracker Fund European Put Warrants Sep 2009	150,000,000	2009/03/06	2009/09/09	37.50
16427	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Oct 2009	188,000,000	2009/03/06	2009/10/09	99.64
16425	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2010	500,000,000	2009/03/06	2010/04/12	81.50
16426	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2009 B	100,000,000	2009/03/06	2009/10/05	46.00
16434	Macquarie Bank Ltd. - CM Bank European Put Warrants Oct 2009	150,000,000	2009/03/09	2009/10/29	38.70
16429	Macquarie Bank Ltd. - ICBC European Warrants Sep 2009	100,000,000	2009/03/09	2009/09/29	29.10
16433	Macquarie Bank Ltd. - ICBC European Put Warrants Sep 2009	80,000,000	2009/03/09	2009/09/29	32.40
16428	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Dec 09	500,000,000	2009/03/09	2009/12/21	114.00
16441	HK Bank - HSCEI European Warrants Dec 2009 A	600,000,000	2009/03/10	2009/12/30	150.00
16442	HK Bank - HSCEI European Warrants Dec 2009 B	600,000,000	2009/03/10	2009/12/30	150.00
16438	HK Bank - HSI European Warrants Dec 2009 A	600,000,000	2009/03/10	2009/12/30	156.00
16439	HK Bank - HSI European Warrants Dec 2009 B	600,000,000	2009/03/10	2009/12/30	150.00
16440	HK Bank - HSI European Warrants Dec 2009 C	600,000,000	2009/03/10	2009/12/30	150.00
16444	HK Bank - HSI European Put Warrants Dec 2009 D	600,000,000	2009/03/10	2009/12/30	150.00
16445	HK Bank - HSI European Put Warrants Dec 2009 E	600,000,000	2009/03/10	2009/12/30	150.00
16430	Macquarie Bank Ltd. - Cheung Kong European Warrants Dec 09 C	150,000,000	2009/03/10	2009/12/30	88.35
16436	Macquarie Bank Ltd. - Cheung Kong European Put Wts Dec 2009D	150,000,000	2009/03/10	2009/12/30	87.75
16431	Macquarie Bank Ltd. - Cathay Pacific European Wts May 2010	100,000,000	2009/03/10	2010/05/28	25.00
16432	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Dec 2009	200,000,000	2009/03/10	2009/12/30	68.80
16437	Macquarie Bank Ltd. - SHK Ppt European Put Warrants Jun 2010	150,000,000	2009/03/10	2010/06/29	37.50
16455	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2009 F	300,000,000	2009/03/11	2009/09/29	75.00
16459	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2009 G	300,000,000	2009/03/11	2009/09/29	75.00
16460	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2009 H	300,000,000	2009/03/11	2009/09/29	84.00
16451	Deutsche Bank AG - CC Bank European Warrants Jul 2010	300,000,000	2009/03/11	2010/07/05	45.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16452	Deutsche Bank AG - Sinopec Corp European Warrants Oct 2009	150,000,000	2009/03/11	2009/10/12	103.50
16453	Deutsche Bank AG - ICBC European Warrants Sep 2009 B	150,000,000	2009/03/11	2009/09/14	78.00
16454	Deutsche Bank AG - PetroChina European Warrants Feb 2010	300,000,000	2009/03/11	2010/02/01	45.00
16446	Macquarie Bank Ltd. - CITIC Bank European Warrants Dec 2009B	80,000,000	2009/03/11	2009/12/30	32.32
16443	Macquarie Bank Ltd. - Sinopec Corp European Wts Oct 2009	100,000,000	2009/03/11	2009/10/29	56.70
16447	Macquarie Bank Ltd. - Sinopec Corp Euro Put Wts Oct 2009	100,000,000	2009/03/11	2009/10/29	121.50
16448	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Jan 10A	100,000,000	2009/03/11	2010/01/11	118.00
16449	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2010	500,000,000	2009/03/11	2010/01/25	76.00
16450	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Dec 2009	150,000,000	2009/03/11	2009/12/21	120.00
16456	Macquarie Bank Ltd. - CC Bank European Warrants Sep 2009	88,000,000	2009/03/12	2009/09/29	36.52
16462	Macquarie Bank Ltd. - CM Bank European Warrants Dec 2009	200,000,000	2009/03/12	2009/12/30	50.20
16457	Macquarie Bank Ltd. - Ping An European Put Warrants Dec 2010	200,000,000	2009/03/12	2010/12/30	50.80
16458	CC Rabobank B.A. - HSI European Warrants Oct 2009 B	180,000,000	2009/03/12	2009/10/29	45.00
16461	CC Rabobank B.A. - HSI European Put Warrants Sep 2009 B	180,000,000	2009/03/12	2009/09/29	46.80
16466	ABN AMRO Bank N.V. - HSI European Warrants Aug 2009	300,000,000	2009/03/13	2009/08/28	49.20
16467	ABN AMRO Bank N.V. - HSI European Put Warrants Sep 2009	300,000,000	2009/03/13	2009/09/29	77.40
16464	BNP Paribas Arbit Issu B.V. - BOCL European Wts Nov 2009	100,000,000	2009/03/13	2009/11/23	37.00
16465	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2009	200,000,000	2009/03/13	2009/11/03	86.00
16463	Macquarie Bank Ltd. - CRCC European Warrants Dec 2009	200,000,000	2009/03/13	2009/12/30	50.60
16472	Deutsche Bank AG - HSI European Warrants Sep 2009 B	300,000,000	2009/03/16	2009/09/29	75.00
16473	Deutsche Bank AG - HSI European Warrants Sep 2009 C	300,000,000	2009/03/16	2009/09/29	75.00
16477	Deutsche Bank AG - HSI European Put Warrants Sep 2009 D	300,000,000	2009/03/16	2009/09/29	75.00
16478	Deutsche Bank AG - HSI European Put Warrants Sep 2009 E	300,000,000	2009/03/16	2009/09/29	78.00
16479	Goldman Sachs SP (Asia) - China Mobile Euro Wts Apr 2010	200,000,000	2009/03/16	2010/04/29	30.00
16474	Goldman Sachs SP (Asia) - A50 China European Wts Aug 2009	200,000,000	2009/03/16	2009/08/28	36.00
16469	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2009 D	400,000,000	2009/03/16	2009/09/29	100.00
16470	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2009 E	400,000,000	2009/03/16	2009/09/29	100.00
16471	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2009 F	400,000,000	2009/03/16	2009/09/29	100.00
16475	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2009 G	400,000,000	2009/03/16	2009/09/29	100.00
16476	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2009 H	400,000,000	2009/03/16	2009/09/29	100.00
16468	Macquarie Bank Ltd. - HSI European Warrants Dec 2009 B	150,000,000	2009/03/16	2009/12/30	37.50
16487	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Sep 2009	168,000,000	2009/03/17	2009/09/17	95.76
16482	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Sep 2009	80,000,000	2009/03/17	2009/09/28	67.20
16483	SGA Societe Generale Acceptance N.V. - CRail Eu Wt Oct 2009	80,000,000	2009/03/17	2009/10/12	48.80
16480	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2009 A	300,000,000	2009/03/17	2009/09/29	75.00
16481	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2009 B	300,000,000	2009/03/17	2009/09/29	75.00
16484	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 09C	300,000,000	2009/03/17	2009/09/29	75.00
16485	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 09D	300,000,000	2009/03/17	2009/09/29	75.00
16486	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 09E	300,000,000	2009/03/17	2009/09/29	75.00
16488	SGA Societe Generale Acceptance N.V. - ICBC Eu Put Wt Dec 09	100,000,000	2009/03/18	2009/12/08	74.00
16190#	CC Rabobank B.A. - HSBC European Warrants Jul 2009	500,000,000	2009/03/18	2009/07/30	10.00
16507	ABN AMRO Bank N.V. - HKEx European Warrants Jan 2010	200,000,000	2009/03/19	2010/01/22	30.40
16508	ABN AMRO Bank N.V. - HSBC European Put Warrants Sep 2009	68,000,000	2009/03/19	2009/09/21	64.67
16503	ABN AMRO Bank N.V. - HSBC European Warrants Sep 2009 B	68,000,000	2009/03/19	2009/09/21	63.10

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16504	ABN AMRO Bank N.V. - HSBC European Warrants Sep 2009 C	68,000,000	2009/03/19	2009/09/28	31.76
16505	ABN AMRO Bank N.V. - HSBC European Warrants Oct 2009 A	68,000,000	2009/03/19	2009/10/05	42.36
16506	ABN AMRO Bank N.V. - HSBC European Warrants Oct 2009 B	68,000,000	2009/03/19	2009/10/05	27.81
16490	Credit Suisse - HSBC European Wts Sep 2009	200,000,000	2009/03/19	2009/09/28	88.80
16491	Credit Suisse - HSBC European Wts Oct 2009 B	200,000,000	2009/03/19	2009/10/12	154.00
16494	Credit Suisse - ICBC European Put Warrants Sep 2009	200,000,000	2009/03/19	2009/09/28	50.00
16495	Deutsche Bank AG - HSBC European Put Warrants Sep 2009 C	100,000,000	2009/03/19	2009/09/28	56.00
16500	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Nov 2009	400,000,000	2009/03/19	2009/11/03	100.00
16496	KBC Fin Products Int'l Ltd. - HSBC European Wts Sep 2009 C	168,000,000	2009/03/19	2009/09/21	99.12
16497	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2009 D	118,000,000	2009/03/19	2009/10/15	99.12
16498	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Sep 2009 E	268,000,000	2009/03/19	2009/09/21	99.16
16499	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Oct 2009 E	128,000,000	2009/03/19	2009/10/05	98.56
16492	Macquarie Bank Ltd. - HSI European Put Warrants Sep 2009 A	150,000,000	2009/03/19	2009/09/29	37.50
16489	Macquarie Bank Ltd. - HSI European Warrants Dec 2009 D	150,000,000	2009/03/19	2009/12/30	37.50
16493	Macquarie Bank Ltd. - HSI European Put Warrants Dec 2009 E	150,000,000	2009/03/19	2009/12/30	37.50
16501	CC Rabobank B.A. - HSBC European Warrants Sep 2009	68,000,000	2009/03/19	2009/09/28	49.64
16502	CC Rabobank B.A. - HSBC European Warrants Nov 2009	68,000,000	2009/03/19	2009/11/06	46.24
16509	Deutsche Bank AG - HSBC European Warrants Sep 2009 E	150,000,000	2009/03/20	2009/09/21	118.50
16518	Deutsche Bank AG - China Life European Warrants Oct 2009 B	300,000,000	2009/03/23	2009/10/19	84.00
16519	Deutsche Bank AG - China Life European Warrants Nov 2009	300,000,000	2009/03/23	2009/11/23	78.00
16510	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2009 C	300,000,000	2009/03/23	2009/12/30	75.00
16511	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2009 D	300,000,000	2009/03/23	2009/12/30	75.00
16512	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2009 E	300,000,000	2009/03/23	2009/12/30	75.00
16515	UBS AG - China Tel European Warrants Jan 2010	500,000,000	2009/03/23	2010/01/25	175.00
16517	UBS AG - HKEx European Put Warrants Dec 2009 B	500,000,000	2009/03/23	2009/12/21	200.00
16513	UBS AG - HS Bank European Warrants Oct 2010	500,000,000	2009/03/23	2010/10/18	125.00
16514	UBS AG - HSBC European Warrants Jan 2010	500,000,000	2009/03/23	2010/01/25	295.00
16516	UBS AG - Ping An European Put Warrants Dec 2009	500,000,000	2009/03/23	2009/12/21	250.00
16522	BNP Paribas Arbit Issu B.V. - HSBC European Wts Nov 2009	100,000,000	2009/03/24	2009/11/03	35.00
16520	Macquarie Bank Ltd. - HSI European Put Warrants Sep 2009 B	150,000,000	2009/03/24	2009/09/29	37.50
16521	Macquarie Bank Ltd. - HSI European Put Warrants Dec 2009 F	150,000,000	2009/03/24	2009/12/30	37.50
16526	Deutsche Bank AG - HSI European Warrants Sep 2009 F	300,000,000	2009/03/25	2009/09/29	78.00
16527	Deutsche Bank AG - HSI European Warrants Sep 2009 G	300,000,000	2009/03/25	2009/09/29	75.00
16530	Deutsche Bank AG - HSI European Put Warrants Sep 2009 H	300,000,000	2009/03/25	2009/09/29	75.00
16531	Deutsche Bank AG - HSI European Put Warrants Sep 2009 I	300,000,000	2009/03/25	2009/09/29	79.50
16523	Macquarie Bank Ltd. - Esprit European Warrants Oct 2009	100,000,000	2009/03/25	2009/10/29	58.60
16524	Macquarie Bank Ltd. - HK Gas European Warrants Dec 2010	200,000,000	2009/03/25	2010/12/30	50.20
16525	Macquarie Bank Ltd. - PICC P&C European Warrants Sep 2009	80,000,000	2009/03/25	2009/09/29	57.36
16532	Macquarie Bank Ltd. - Ch Comm Cons European Wts Jun 2010	100,000,000	2009/03/26	2010/06/29	25.70
16534	Macquarie Bank Ltd. - China Railway European Wts Sep 2009 B	100,000,000	2009/03/26	2009/09/29	92.20
16535	Macquarie Bank Ltd. - Denway Motors European Wts Dec 2009	100,000,000	2009/03/26	2009/12/30	50.50
16536	Macquarie Bank Ltd. - Hang Seng Bank European Wts Dec 2010	100,000,000	2009/03/26	2010/12/30	26.40
16533	Macquarie Bank Ltd. - China Mengniu European Wts Dec 2009	150,000,000	2009/03/26	2009/12/30	39.60
16528	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Feb 2010	300,000,000	2009/03/26	2010/02/01	159.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16529	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Dec 09 D	150,000,000	2009/03/26	2009/12/07	150.00
16560	Credit Suisse - HSBC European Wts Oct 2010	200,000,000	2009/03/27	2010/10/06	30.00
16558	Credit Suisse - Hutchison European Warrants Oct 2009	200,000,000	2009/03/27	2009/10/05	96.00
16559	Credit Suisse - SHK Ppt European Warrants Sep 2010	200,000,000	2009/03/27	2010/09/06	50.00
16554	Deutsche Bank AG - CNOOC European Put Warrants Nov 2009	200,000,000	2009/03/27	2009/11/02	50.00
16561	Goldman Sachs SP (Asia) - A50 China European Wts Oct 2009	200,000,000	2009/03/27	2009/10/02	30.00
16562	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2009	200,000,000	2009/03/27	2009/09/29	50.00
16549	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2009 I	400,000,000	2009/03/27	2009/09/29	100.00
16551	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2009 J	400,000,000	2009/03/27	2009/09/29	100.00
16537	Macquarie Bank Ltd. - Yanzhou Coal European Wts Dec 2010	200,000,000	2009/03/27	2010/12/30	50.40
16538	CC Rabobank B.A. - HSCEI European Warrants Sep 2009	108,000,000	2009/03/27	2009/09/29	27.00
16539	CC Rabobank B.A. - HSCEI European Warrants Nov 2009	108,000,000	2009/03/27	2009/11/27	27.00
16542	CC Rabobank B.A. - HSCEI European Put Warrants Sep 2009	108,000,000	2009/03/27	2009/09/29	27.00
16543	CC Rabobank B.A. - HSCEI European Put Warrants Nov 2009	108,000,000	2009/03/27	2009/11/27	36.72
16540	CC Rabobank B.A. - HSI European Warrants Nov 2009	108,000,000	2009/03/27	2009/11/27	27.00
16541	CC Rabobank B.A. - HSI European Warrants Dec 2009	108,000,000	2009/03/27	2009/12/30	27.00
16544	CC Rabobank B.A. - HSI European Put Warrants Nov 2009	108,000,000	2009/03/27	2009/11/27	27.00
16545	CC Rabobank B.A. - Tencent Holdings European Wts Mar 2010	220,000,000	2009/03/27	2010/03/30	55.00
16546	SGA Societe Generale Acceptance N.V. - BOCL Eu Put Wt Oct 09	200,000,000	2009/03/27	2009/10/12	116.00
16552	SGA Societe Generale Acceptance N.V. - CTel Eu Put Wt Sep 09	150,000,000	2009/03/27	2009/09/28	115.50
16547	SGA Societe Generale Acceptance N.V. - CCB Eur Put Wt Oct 09	100,000,000	2009/03/27	2009/10/05	96.00
16548	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Sep09	250,000,000	2009/03/27	2009/09/28	107.50
16553	SGA Societe Generale Acceptance N.V. - CNOOC Eu Put Wt Sep09	200,000,000	2009/03/27	2009/09/28	50.00
16550	SGA Societe Generale Acceptance N.V. - CP&CC Eu Put Wt Sep09	100,000,000	2009/03/27	2009/09/29	88.00
16555	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2009 D	200,000,000	2009/03/27	2009/10/12	140.00
16556	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Sep09D	200,000,000	2009/03/27	2009/09/28	180.00
16557	SGA Societe Generale Acceptance N.V. - ICBC Eu Put Wt Sep 09	200,000,000	2009/03/27	2009/09/28	128.00
16563	KBC Fin Products Int'l Ltd. - HSI European Wts Oct 2009 A	400,000,000	2009/03/30	2009/10/29	100.00
16564	KBC Fin Products Int'l Ltd. - HSI European Wts Oct 2009 B	400,000,000	2009/03/30	2009/10/29	100.00
16565	KBC Fin Products Int'l Ltd. - HSI European Wts Oct 2009 C	400,000,000	2009/03/30	2009/10/29	100.00
16566	KBC Fin Products Int'l Ltd. - HSI European Wts Oct 2009 D	400,000,000	2009/03/30	2009/10/29	100.00
16570	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2009 E	400,000,000	2009/03/30	2009/10/29	100.00
16571	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2009 F	400,000,000	2009/03/30	2009/10/29	100.00
16572	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2009 G	400,000,000	2009/03/30	2009/10/29	100.00
16585	ABN AMRO Bank N.V. - China Mobile European Wts Oct 2009 C	300,000,000	2009/03/31	2009/10/27	45.00
16586	ABN AMRO Bank N.V. - HSBC European Warrants Oct 2009 C	68,000,000	2009/03/31	2009/10/05	50.12
16583	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2009	200,000,000	2009/03/31	2009/11/03	30.00
16582	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2009 C	200,000,000	2009/03/31	2009/10/12	84.00
16584	Deutsche Bank AG - BOCL European Warrants Nov 2009	200,000,000	2009/03/31	2009/11/16	58.00
16577	KBC Fin Products Int'l Ltd. - BOCL European Wts Nov 2009 B	238,000,000	2009/03/31	2009/11/10	99.96
16578	KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Oct 2009 B	400,000,000	2009/03/31	2009/10/15	100.00
16579	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Oct 2009	400,000,000	2009/03/31	2009/10/15	100.00
16581	KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Oct 2009 C	400,000,000	2009/03/31	2009/10/02	100.00
16580	KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Nov 2009	180,000,000	2009/03/31	2009/11/02	97.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16573	Macquarie Bank Ltd. - China Mobile Euro Put Wts Nov 2009	150,000,000	2009/03/31	2009/11/27	24.90
16574	Macquarie Bank Ltd. - China Tel Euro Put Warrants Oct 2009	100,000,000	2009/03/31	2009/10/29	56.60
16567	Macquarie Bank Ltd. - China Unicom European Wts Jun 2010	100,000,000	2009/03/31	2010/06/29	25.00
16575	Macquarie Bank Ltd. - CNOOC European Put Warrants Sep 2010	100,000,000	2009/03/31	2010/09/29	25.40
16569	Macquarie Bank Ltd. - HSCEI European Warrants Dec 2009	150,000,000	2009/03/31	2009/12/30	37.50
16576	Macquarie Bank Ltd. - HSCEI European Put Warrants Dec 2009	150,000,000	2009/03/31	2009/12/30	37.50
16568	Macquarie Bank Ltd. - Zijin Mining European Wts Sep 2010	100,000,000	2009/03/31	2010/09/29	25.00
總 額					47,600.67

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2008	第 1 季	—	2.43
	第 2 季	—	1.75
	第 3 季	0.26	1.51
	第 4 季	—	1.71
2009	第 1 季	—	2.93

債券面值

		發行數目	面值(百萬港元)
2008	第 1 季	174	424,656.34
	第 2 季	175	435,938.30
	第 3 季	174	440,409.79
	第 4 季	172	414,001.69
2009	第 1 季	171	405,332.13

新上市統計 — 債券

		新上市債券數目	集資金額(百萬港元)
2008	第 1 季	4	8,355.96
	第 2 季	7	16,607.66
	第 3 季	5	11,822.87
	第 4 季	4	4,791.32
2009	第 1 季	4	5,309.25

主板 債務證券

新上市債券

2009年第1季

股份 代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
04186	香港金融管理局0.75%外匯基金票據2011年	HKD	1,200,000,000	2009/02/17	2011/02/16	1,203.84
04187	香港金融管理局1.73%外匯基金票據2024年	HKD	600,000,000	2009/02/23	2024/02/20	605.40
04306	CLP Power Hong Kong Financing Ltd. 3.28 Fixed Rate Notes 2024	JPY	15,000,000,000	2009/03/18	2024/03/18	1,198.35
04163 #	香港金融管理局4.06%外匯基金票據2012年	HKD	1,200,000,000	2009/03/24	2012/03/19	1,299.36
04188	香港金融管理局1.67%外匯基金票據2014年	HKD	1,000,000,000	2009/03/25	2014/03/24	1,002.30
總額						5,309.25

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比 (百萬港元)
2008	第1季	44,683.48	18,698.54	638.57	64,020.59	1.08
	第2季	147,996.81	10,119.33	775.06	158,891.20	3.44
	第3季	128,330.01	12,253.56	214.24	140,797.82	3.58
	第4季	120,092.63	10,422.81	225.71	130,741.15	4.16
2009	第1季	102,668.21	7,728.10	177.20	110,573.51	4.13

註：只包括港元之成交金額。

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2008	第1季	17	94,528.75	7	64,001.26	2	2,781.89	26	161,311.89
	第2季	23	109,069.79	7	63,006.95	2	2,912.06	32	174,988.80
	第3季	24	250,445.02	7	60,646.67	2	1,803.76	33	312,895.46
	第4季	24	266,475.46	7	46,405.95	2	1,439.29	33	314,320.70
2009	第1季	24	343,441.56	7	50,891.61	2	1,636.40	33	395,969.58

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬港元)
2008	第1季	—	—
	第2季	6	—
	第3季	1	—
	第4季	—	—
2009	第1季	—	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2009年第1季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
Nil					

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2008	第1季	214	52,370.20	83,719.44
	第2季	453	86,945.83	176,028.32
	第3季	759	130,618.61	396,439.23
	第4季	1,314	195,229.08	383,369.78
2009	第1季	1,513	152,386.79	351,364.58

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2008	第1季	323	45,053.80
	第2季	630	77,040.15
	第3季	1,343	135,720.58
	第4季	1,935	185,485.67
2009	第1季	1,628	129,192.54

主板 可收回牛熊證

新上市牛熊證

2009年第1季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61358	ABN AMRO Bank N.V. – DJIA R Bull CBBC Jun 2009 H	50,000,000	2009/01/02	2009/06/19	22.25
61362	ABN AMRO Bank N.V. – DJIA R Bear CBBC Jun 2009 I	50,000,000	2009/01/02	2009/06/19	20.50
61300	Deutsche Bank AG – China Mobile R Bear CBBC Aug 2009 A	150,000,000	2009/01/02	2009/08/03	37.50
61297	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 S	150,000,000	2009/01/02	2009/08/03	42.00
61298	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 T	150,000,000	2009/01/02	2009/08/03	57.00
61355	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 I	300,000,000	2009/01/02	2009/08/28	90.00
61356	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 L	300,000,000	2009/01/02	2009/08/28	96.00
61354	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 O	300,000,000	2009/01/02	2009/08/28	75.00
61357	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 P	300,000,000	2009/01/02	2009/08/28	120.00
61305	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 A	168,000,000	2009/01/02	2009/06/29	42.00
61303	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 M	108,000,000	2009/01/02	2009/05/27	27.00
61301	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 B	168,000,000	2009/01/02	2009/06/29	42.00
61302	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 E	128,000,000	2009/01/02	2009/06/29	32.00
61292	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Jun09B	150,000,000	2009/01/02	2009/06/29	79.50
61293	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC May09A	300,000,000	2009/01/02	2009/05/26	75.00
61304	UBS AG – BankComm R Bull CBBC Aug 2009 A	200,000,000	2009/01/02	2009/08/17	53.00
61306	UBS AG – BankComm R Bull CBBC Aug 2009 B	200,000,000	2009/01/02	2009/08/17	50.00
61307	UBS AG – BankComm R Bull CBBC Aug 2009 C	200,000,000	2009/01/02	2009/08/17	50.00
61308	UBS AG – BankComm R Bear CBBC Aug 2009 D	200,000,000	2009/01/02	2009/08/17	50.00
61309	UBS AG – BankComm R Bear CBBC Aug 2009 E	200,000,000	2009/01/02	2009/08/17	50.00
61310	UBS AG – BankComm R Bear CBBC Aug 2009 F	200,000,000	2009/01/02	2009/08/17	58.00
61344	UBS AG – China Mobile R Bear CBBC Jul 2009 H	300,000,000	2009/01/02	2009/07/27	75.00
61311	UBS AG – CCCCL R Bull CBBC Jul 2009 A	200,000,000	2009/01/02	2009/07/20	63.00
61312	UBS AG – CCCCL R Bull CBBC Jul 2009 B	200,000,000	2009/01/02	2009/07/20	50.00
61313	UBS AG – CCCCL R Bear CBBC Jul 2009 C	200,000,000	2009/01/02	2009/07/20	58.00
61314	UBS AG – CCCCL R Bear CBBC Jul 2009 D	200,000,000	2009/01/02	2009/07/20	78.00
61336	UBS AG – Cheung Kong R Bull CBBC Jul 2009 E	200,000,000	2009/01/02	2009/07/27	50.00
61341	UBS AG – Cheung Kong R Bear CBBC Jul 2009 F	200,000,000	2009/01/02	2009/07/27	50.00
61342	UBS AG – China Life R Bear CBBC Jul 2009 F	300,000,000	2009/01/02	2009/07/27	111.00
61343	UBS AG – CM Bank R Bear CBBC Jul 2009 B	200,000,000	2009/01/02	2009/07/20	75.00
61346	UBS AG – CNOOC R Bear CBBC Jul 2009 G	200,000,000	2009/01/02	2009/07/27	50.00
61347	UBS AG – CNOOC R Bear CBBC Jul 2009 H	200,000,000	2009/01/02	2009/07/27	50.00
61315	UBS AG – Sinopec Corp R Bull CBBC Aug 2009 A	200,000,000	2009/01/02	2009/08/17	56.00
61316	UBS AG – Sinopec Corp R Bull CBBC Aug 2009 B	200,000,000	2009/01/02	2009/08/17	50.00
61317	UBS AG – Sinopec Corp R Bull CBBC Aug 2009 C	200,000,000	2009/01/02	2009/08/17	50.00
61318	UBS AG – Sinopec Corp R Bear CBBC Aug 2009 D	200,000,000	2009/01/02	2009/08/17	50.00
61319	UBS AG – Sinopec Corp R Bear CBBC Aug 2009 E	200,000,000	2009/01/02	2009/08/17	50.00
61320	UBS AG – Sinopec Corp R Bear CBBC Aug 2009 F	200,000,000	2009/01/02	2009/08/17	52.00
61337	UBS AG – China Shenhua R Bull CBBC Jul 2009 A	200,000,000	2009/01/02	2009/07/27	70.60
61345	UBS AG – China Shenhua R Bear CBBC Jul 2009 B	200,000,000	2009/01/02	2009/07/27	70.00
61340	UBS AG – A50 China R Bear CBBC Jul 2009 B	300,000,000	2009/01/02	2009/07/20	75.00
61349	UBS AG – HKEx R Bear CBBC Jul 2009 A	300,000,000	2009/01/02	2009/07/20	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61348	UBS AG – HS Bank R Bear CBBC Jul 2009 A	200,000,000	2009/01/02	2009/07/20	50.00
61338	UBS AG – HSBC R Bull CBBC Jul 2009 J	300,000,000	2009/01/02	2009/07/20	82.50
61350	UBS AG – HSBC R Bear CBBC Jul 2009 K	300,000,000	2009/01/02	2009/07/20	75.00
61321	UBS AG – HSCEI R Bull CBBC Jul 2009 E	500,000,000	2009/01/02	2009/07/30	125.00
61322	UBS AG – HSCEI R Bull CBBC Jul 2009 F	500,000,000	2009/01/02	2009/07/30	125.00
61323	UBS AG – HSCEI R Bear CBBC Jul 2009 G	500,000,000	2009/01/02	2009/07/30	125.00
61324	UBS AG – HSCEI R Bear CBBC Jul 2009 H	500,000,000	2009/01/02	2009/07/30	125.00
61325	UBS AG – HSI R Bull CBBC Sep 2009 R	500,000,000	2009/01/02	2009/09/29	125.00
61326	UBS AG – HSI R Bull CBBC Oct 2009 A	500,000,000	2009/01/02	2009/10/29	125.00
61328	UBS AG – HSI R Bear CBBC Aug 2009 F	500,000,000	2009/01/02	2009/08/28	125.00
61329	UBS AG – HSI R Bear CBBC Sep 2009 M	500,000,000	2009/01/02	2009/09/29	125.00
61332	UBS AG – HSI R Bear CBBC Sep 2009 W	500,000,000	2009/01/02	2009/09/29	125.00
61331	UBS AG – HSI R Bear CBBC Sep 2009 Y	500,000,000	2009/01/02	2009/09/29	125.00
61330	UBS AG – HSI R Bear CBBC Sep 2009 Z	500,000,000	2009/01/02	2009/09/29	125.00
61327	UBS AG – HSI R Bear CBBC Oct 2009 B	500,000,000	2009/01/02	2009/10/29	125.00
61333	UBS AG – HSI R Bear CBBC Oct 2009 C	500,000,000	2009/01/02	2009/10/29	125.00
61334	UBS AG – HSI R Bear CBBC Oct 2009 D	500,000,000	2009/01/02	2009/10/29	125.00
61335	UBS AG – HSI R Bear CBBC Oct 2009 E	500,000,000	2009/01/02	2009/10/29	125.00
61351	UBS AG – Hutchison R Bear CBBC Jul 2009 E	200,000,000	2009/01/02	2009/07/20	50.00
61352	UBS AG – Petrochina R Bear CBBC Jul 2009 G	300,000,000	2009/01/02	2009/07/27	75.00
61339	UBS AG – SHK Ppt R Bull CBBC Jul 2009 A	200,000,000	2009/01/02	2009/07/20	50.00
61353	UBS AG – SHK Ppt R Bear CBBC Jul 2009 B	200,000,000	2009/01/02	2009/07/20	50.00
61294	HK Bank – A50 R Bull CBBC Jun 2009 A	1,000,000,000	2009/01/05	2009/06/18	330.00
61296	HK Bank – A50 R Bull CBBC Jun 2009 B	1,000,000,000	2009/01/05	2009/06/18	250.00
61299	HK Bank – A50 R Bear CBBC Jun 2009 C	1,000,000,000	2009/01/05	2009/06/18	330.00
61361	Deutsche Bank AG – CNOOC R Bear CBBC Aug 2009 A	150,000,000	2009/01/05	2009/08/03	37.50
61360	Deutsche Bank AG – Sinopec Corp R Bear CBBC Aug 2009 C	150,000,000	2009/01/05	2009/08/03	37.50
61359	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Apr 2009 B	128,000,000	2009/01/05	2009/04/15	74.24
61372	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 L	88,000,000	2009/01/05	2009/05/27	22.00
61363	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Aug09A	300,000,000	2009/01/05	2009/08/31	75.00
61364	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09N	200,000,000	2009/01/05	2009/07/30	50.00
61365	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09O	200,000,000	2009/01/05	2009/07/30	50.00
61366	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09R	300,000,000	2009/01/05	2009/08/28	75.00
61367	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09S	300,000,000	2009/01/05	2009/08/28	75.00
61368	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09T	300,000,000	2009/01/05	2009/08/28	75.00
61369	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct09A	300,000,000	2009/01/05	2009/10/05	75.00
61370	UBS AG – HSCEI R Bull CBBC Jul 2009 I	500,000,000	2009/01/05	2009/07/30	125.00
61374	UBS AG – HSCEI R Bear CBBC Jul 2009 J	500,000,000	2009/01/05	2009/07/30	125.00
61371	UBS AG – HSI R Bull CBBC Oct 2009 F	500,000,000	2009/01/05	2009/10/29	125.00
61375	UBS AG – HSI R Bear CBBC Oct 2009 G	500,000,000	2009/01/05	2009/10/29	125.00
61373	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 N	500,000,000	2009/01/06	2009/07/30	125.00
61390	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 U	300,000,000	2009/01/07	2009/08/28	75.00
61401	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2009 B	200,000,000	2009/01/07	2009/09/29	50.00
61402	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2009 B	200,000,000	2009/01/07	2009/08/28	50.00
61391	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC May2009A	308,000,000	2009/01/07	2009/05/18	77.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61392	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Jun2009A	268,000,000	2009/01/07	2009/06/08	83.08
61394	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jun2009B	298,000,000	2009/01/07	2009/06/08	89.40
61395	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jul2009A	308,000,000	2009/01/07	2009/07/02	77.00
61396	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Aug2009A	258,000,000	2009/01/07	2009/08/03	98.04
61403	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Jul2009B	138,000,000	2009/01/07	2009/07/15	56.58
61405	KBC Fin Products Int'l Ltd. – CNOOC R Bull CBBC Jul 2009 A	208,000,000	2009/01/07	2009/07/07	52.00
61406	KBC Fin Products Int'l Ltd. – CNOOC R Bull CBBC Aug 2009 A	208,000,000	2009/01/07	2009/08/03	68.64
61409	KBC Fin Products Int'l Ltd. – CNOOC R Bear CBBC Jul 2009 B	208,000,000	2009/01/07	2009/07/15	52.00
61410	KBC Fin Products Int'l Ltd. – CNOOC R Bear CBBC Aug 2009 B	208,000,000	2009/01/07	2009/08/03	64.48
61404	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Jul 2009 A	208,000,000	2009/01/07	2009/07/10	52.00
61408	KBC Fin Products Int'l Ltd. – CP&CC R Bear CBBC Aug 2009 A	208,000,000	2009/01/07	2009/08/03	68.64
61400	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Jul2009A	268,000,000	2009/01/07	2009/07/07	69.68
61407	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Jul 2009 B	128,000,000	2009/01/07	2009/07/10	53.76
61411	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 I	400,000,000	2009/01/07	2009/07/30	100.00
61393	KBC Fin Products Int'l Ltd. – Petrochina R Bull CBBC Jul 09A	208,000,000	2009/01/07	2009/07/02	52.00
61397	KBC Fin Products Int'l Ltd. – Petrochina R Bear CBBC Jun 09A	208,000,000	2009/01/07	2009/06/18	52.00
61398	KBC Fin Products Int'l Ltd. – Petrochina R Bear CBBC Jul 09B	208,000,000	2009/01/07	2009/07/02	64.48
61399	KBC Fin Products Int'l Ltd. – Petrochina R Bear CBBC Jul 09C	198,000,000	2009/01/07	2009/07/07	73.26
61376	SGA Societe Generale Acceptance NV – CHALCO R Bull CBBC Aug09A	200,000,000	2009/01/07	2009/08/31	50.00
61378	SGA Societe Generale Acceptance NV – BOC HK R Bull CBBC Aug09A	100,000,000	2009/01/07	2009/08/31	25.00
61377	SGA Societe Generale Acceptance N.V. – BOCOM R Bull CBBC Aug09A	200,000,000	2009/01/07	2009/08/31	50.00
61382	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Jun09A	300,000,000	2009/01/07	2009/06/29	75.00
61380	SGA Societe Generale Acceptance N.V. – CCCCL R Bull CBBC Jul09F	150,000,000	2009/01/07	2009/07/27	37.50
61381	SGA Societe Generale Acceptance N.V. – CCCCL R Bear CBBC Jul09G	150,000,000	2009/01/07	2009/07/27	37.50
61379	SGA Societe Generale Acceptance N.V. – CCoal R Bull CBBC Aug09A	200,000,000	2009/01/07	2009/08/31	50.00
61383	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Jun09C	100,000,000	2009/01/07	2009/06/29	38.00
61384	SGA Societe Generale Acceptance N.V. – CP&CC R Bear CBBC Jun09F	300,000,000	2009/01/07	2009/06/29	75.00
61385	SGA Societe Generale Acceptance N.V. – CShen R Bull CBBC Jun09C	100,000,000	2009/01/07	2009/06/30	45.00
61386	SGA Societe Generale Acceptance N.V. – CShen R Bear CBBC Jun09D	100,000,000	2009/01/07	2009/06/30	51.00
61389	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jun09A	200,000,000	2009/01/07	2009/06/30	50.00
61387	SGA Societe Generale Acceptance N.V. – HS Bk R Bull CBBC Jun09A	100,000,000	2009/01/07	2009/06/29	25.00
61388	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Aug09A	200,000,000	2009/01/07	2009/08/24	50.00
61412	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09P	300,000,000	2009/01/08	2009/07/30	75.00
61413	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09U	300,000,000	2009/01/08	2009/08/28	75.00
61417	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 I	150,000,000	2009/01/09	2009/07/27	37.50
61416	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 U	150,000,000	2009/01/09	2009/08/03	52.50
61419	Deutsche Bank AG – A50 China R Bear CBBC Jul 2009 C	150,000,000	2009/01/09	2009/07/13	39.00
61414	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 E	300,000,000	2009/01/09	2009/09/29	90.00
61440	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 N	300,000,000	2009/01/09	2009/09/29	75.00
61415	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 A	300,000,000	2009/01/09	2009/10/29	75.00
61418	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 M	300,000,000	2009/01/09	2009/09/29	96.00
61442	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 L	168,000,000	2009/01/09	2009/07/30	42.00
61438	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2009 J	138,000,000	2009/01/09	2009/07/30	34.50
61439	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2009 K	168,000,000	2009/01/09	2009/07/30	42.67
61432	UBS AG – BankComm R Bull CBBC Aug 2009 G	200,000,000	2009/01/09	2009/08/17	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61433	UBS AG – China Life R Bull CBBC Jul 2009 G	300,000,000	2009/01/09	2009/07/27	106.50
61434	UBS AG – China Life R Bull CBBC Jul 2009 H	300,000,000	2009/01/09	2009/07/27	76.50
61435	UBS AG – CNOOC R Bull CBBC Jul 2009 I	200,000,000	2009/01/09	2009/07/27	50.00
61436	UBS AG – CNOOC R Bull CBBC Jul 2009 J	200,000,000	2009/01/09	2009/07/27	50.00
61420	UBS AG – HSCEI R Bull CBBC Jul 2009 K	500,000,000	2009/01/09	2009/07/30	125.00
61421	UBS AG – HSCEI R Bull CBBC Jul 2009 L	500,000,000	2009/01/09	2009/07/30	125.00
61427	UBS AG – HSCEI R Bear CBBC Jul 2009 M	500,000,000	2009/01/09	2009/07/30	125.00
61428	UBS AG – HSCEI R Bear CBBC Jul 2009 N	500,000,000	2009/01/09	2009/07/30	125.00
61422	UBS AG – HSI R Bull CBBC Jul 2009 L	500,000,000	2009/01/09	2009/07/30	125.00
61423	UBS AG – HSI R Bull CBBC Jul 2009 M	500,000,000	2009/01/09	2009/07/30	125.00
61424	UBS AG – HSI R Bull CBBC Sep 2009 M	500,000,000	2009/01/09	2009/09/29	125.00
61425	UBS AG – HSI R Bull CBBC Sep 2009 X	500,000,000	2009/01/09	2009/09/29	125.00
61426	UBS AG – HSI R Bull CBBC Oct 2009 H	500,000,000	2009/01/09	2009/10/29	125.00
61429	UBS AG – HSI R Bear CBBC Jul 2009 N	500,000,000	2009/01/09	2009/07/30	125.00
61430	UBS AG – HSI R Bear CBBC Sep 2009 Y	500,000,000	2009/01/09	2009/09/29	125.00
61431	UBS AG – HSI R Bear CBBC Oct 2009 I	500,000,000	2009/01/09	2009/10/29	125.00
61437	UBS AG – Petrochina R Bull CBBC Jul 2009 H	300,000,000	2009/01/09	2009/07/27	75.00
31796 [#]	UBS AG – HKEx R Bear CBBC Apr 2009 A	200,000,000	2009/01/09	2009/04/06	44.00
61441	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 M	300,000,000	2009/01/12	2009/11/27	105.00
61443	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 N	300,000,000	2009/01/12	2009/11/27	75.00
61444	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 L	400,000,000	2009/01/12	2009/12/30	100.00
61445	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 M	400,000,000	2009/01/12	2009/12/30	100.00
61446	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 O	300,000,000	2009/01/12	2009/07/30	75.00
61447	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 P	300,000,000	2009/01/12	2009/07/30	75.00
61448	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 U	300,000,000	2009/01/12	2009/08/28	75.00
61449	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 V	300,000,000	2009/01/12	2009/08/28	75.00
61472	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 J	150,000,000	2009/01/12	2009/07/13	37.50
61475	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 K	150,000,000	2009/01/12	2009/07/13	39.00
61468	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 V	150,000,000	2009/01/12	2009/08/31	60.00
61471	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 W	150,000,000	2009/01/12	2009/08/31	45.00
61473	Deutsche Bank AG – CNOOC R Bull CBBC Jul 2009 H	150,000,000	2009/01/12	2009/07/13	37.50
61476	Deutsche Bank AG – CNOOC R Bear CBBC Jul 2009 I	150,000,000	2009/01/12	2009/07/13	63.00
61474	Deutsche Bank AG – HKEx R Bull CBBC Jul 2009 I	150,000,000	2009/01/12	2009/07/20	37.50
61477	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 J	150,000,000	2009/01/12	2009/07/20	45.00
61465	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 B	300,000,000	2009/01/12	2009/10/29	90.00
61466	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 C	300,000,000	2009/01/12	2009/10/29	84.00
61467	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 D	300,000,000	2009/01/12	2009/10/29	75.00
61469	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 E	300,000,000	2009/01/12	2009/10/29	120.00
61470	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 F	300,000,000	2009/01/12	2009/10/29	126.00
61478	Deutsche Bank AG – Petrochina R Bull CBBC Jul 2009 A	150,000,000	2009/01/12	2009/07/13	40.50
61479	Deutsche Bank AG – Petrochina R Bull CBBC Jul 2009 B	150,000,000	2009/01/12	2009/07/13	37.50
61451	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 M	168,000,000	2009/01/12	2009/07/30	42.00
61452	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 N	118,000,000	2009/01/12	2009/07/30	30.68
61450	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 M	168,000,000	2009/01/12	2009/06/29	42.00
61454	UBS AG – China Mobile R Bull CBBC Jul 2009 I	300,000,000	2009/01/12	2009/07/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61453	UBS AG – CM Bank R Bull CBBC Jul 2009 C	200,000,000	2009/01/12	2009/07/20	75.00
61455	UBS AG – CNOOC R Bull CBBC Jul 2009 K	200,000,000	2009/01/12	2009/07/27	50.00
61456	UBS AG – HKEx R Bull CBBC Jul 2009 B	300,000,000	2009/01/12	2009/07/20	75.00
61458	UBS AG – HSCEI R Bull CBBC Jul 2009 O	500,000,000	2009/01/12	2009/07/30	125.00
61462	UBS AG – HSCEI R Bear CBBC Jul 2009 P	500,000,000	2009/01/12	2009/07/30	125.00
61459	UBS AG – HSI R Bull CBBC Oct 2009 J	500,000,000	2009/01/12	2009/10/29	125.00
61460	UBS AG – HSI R Bull CBBC Oct 2009 K	500,000,000	2009/01/12	2009/10/29	125.00
61461	UBS AG – HSI R Bull CBBC Oct 2009 L	500,000,000	2009/01/12	2009/10/29	125.00
61463	UBS AG – HSI R Bear CBBC Oct 2009 M	500,000,000	2009/01/12	2009/10/29	125.00
61464	UBS AG – HSI R Bear CBBC Oct 2009 N	500,000,000	2009/01/12	2009/10/29	125.00
61457	UBS AG – Petrochina R Bull CBBC Jul 2009 I	300,000,000	2009/01/12	2009/07/27	75.00
61505	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 D	250,000,000	2009/01/13	2009/05/27	62.75
61506	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 E	250,000,000	2009/01/13	2009/05/27	62.75
61487	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 O	500,000,000	2009/01/13	2009/11/27	125.00
61488	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 N	500,000,000	2009/01/13	2009/12/30	125.00
61489	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 Q	500,000,000	2009/01/13	2009/07/30	125.00
61504	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 S	168,000,000	2009/01/13	2009/06/29	42.00
61490	SGA Societe Generale Acceptance N.V. – CTel R Bear CBBC Aug09A	300,000,000	2009/01/13	2009/08/31	75.00
61491	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09O	200,000,000	2009/01/13	2009/07/24	50.00
61492	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Sep09B	300,000,000	2009/01/13	2009/09/29	75.00
61480	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09Q	300,000,000	2009/01/13	2009/07/30	75.00
61481	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09R	300,000,000	2009/01/13	2009/07/30	75.00
61482	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09S	300,000,000	2009/01/13	2009/07/30	75.00
61483	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09T	300,000,000	2009/01/13	2009/07/30	75.00
61484	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09V	300,000,000	2009/01/13	2009/08/28	94.50
61485	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09W	300,000,000	2009/01/13	2009/08/28	75.00
61486	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09X	300,000,000	2009/01/13	2009/08/28	75.00
61493	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09N	300,000,000	2009/01/13	2009/07/27	75.00
61494	UBS AG – CCCCL R Bull CBBC Aug 2009 A	200,000,000	2009/01/13	2009/08/24	51.00
61498	UBS AG – CCCCL R Bear CBBC Aug 2009 B	200,000,000	2009/01/13	2009/08/24	70.00
61495	UBS AG – China Life R Bull CBBC Aug 2009 A	300,000,000	2009/01/13	2009/08/24	82.50
61497	UBS AG – A50 China R Bull CBBC Aug 2009 A	300,000,000	2009/01/13	2009/08/24	75.00
61499	UBS AG – HSCEI R Bull CBBC Jul 2009 Q	500,000,000	2009/01/13	2009/07/30	125.00
61503	UBS AG – HSCEI R Bear CBBC Jul 2009 R	500,000,000	2009/01/13	2009/07/30	125.00
61500	UBS AG – HSI R Bull CBBC Oct 2009 O	500,000,000	2009/01/13	2009/10/29	125.00
61501	UBS AG – HSI R Bull CBBC Oct 2009 P	500,000,000	2009/01/13	2009/10/29	125.00
61502	UBS AG – HSI R Bull CBBC Oct 2009 Q	500,000,000	2009/01/13	2009/10/29	125.00
61496	UBS AG – Ping An R Bull CBBC Jul 2009 F	200,000,000	2009/01/13	2009/07/20	50.00
61523	Deutsche Bank AG – HKEx R Bull CBBC Jul 2009 K	150,000,000	2009/01/14	2009/07/27	37.50
61527	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 L	150,000,000	2009/01/14	2009/07/27	42.00
61528	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 M	150,000,000	2009/01/14	2009/07/27	49.50
61524	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 G	300,000,000	2009/01/14	2009/10/29	90.00
61525	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 H	300,000,000	2009/01/14	2009/10/29	75.00
61531	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 I	300,000,000	2009/01/14	2009/10/29	75.00
61532	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 J	300,000,000	2009/01/14	2009/10/29	84.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61526	KBC Fin Products Int'l Ltd. – BOCL R Bull CBBC Aug 2009 A	108,000,000	2009/01/14	2009/08/03	86.40
61529	KBC Fin Products Int'l Ltd. – BOCL R Bear CBBC Jul 2009 B	108,000,000	2009/01/14	2009/07/15	79.92
61530	KBC Fin Products Int'l Ltd. – ICBC R Bull CBBC Jul 2009 D	400,000,000	2009/01/14	2009/07/10	100.00
61534	KBC Fin Products Int'l Ltd. – ICBC R Bear CBBC Jul 2009 E	358,000,000	2009/01/14	2009/07/15	96.66
61507	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Nov09A	500,000,000	2009/01/14	2009/11/30	125.00
61509	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09P	200,000,000	2009/01/14	2009/07/24	50.00
61510	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09Q	200,000,000	2009/01/14	2009/07/24	64.00
61508	SGA Societe Generale Acceptance N.V. – CShen R Bear CBBC Jun09E	100,000,000	2009/01/14	2009/06/30	53.00
61511	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09C	300,000,000	2009/01/14	2009/09/29	75.00
61512	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Aug09A	300,000,000	2009/01/14	2009/08/31	75.00
61513	SGA Societe Generale Acceptance N.V. – HWL R Bear CBBC Oct 09A	300,000,000	2009/01/14	2009/10/27	75.00
61514	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC Jul09B	300,000,000	2009/01/14	2009/07/27	75.00
61515	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct09B	300,000,000	2009/01/14	2009/10/05	75.00
61516	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC Jul09G	100,000,000	2009/01/14	2009/07/27	25.00
61519	UBS AG – CM Bank R Bear CBBC Jul 2009 D	200,000,000	2009/01/14	2009/07/20	64.00
61520	UBS AG – Sinopec Corp R Bull CBBC Aug 2009 G	200,000,000	2009/01/14	2009/08/17	50.00
61521	UBS AG – HKEx R Bull CBBC Jul 2009 C	300,000,000	2009/01/14	2009/07/20	75.00
61517	UBS AG – HSI R Bull CBBC Aug 2009 F	500,000,000	2009/01/14	2009/08/28	125.00
61518	UBS AG – HSI R Bull CBBC Sep 2009 W	500,000,000	2009/01/14	2009/09/29	125.00
61522	UBS AG – HSI R Bear CBBC Sep 2009 Z	500,000,000	2009/01/14	2009/09/29	125.00
61548	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 O	500,000,000	2009/01/15	2009/12/30	125.00
61549	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2010 A	500,000,000	2009/01/15	2010/01/28	125.00
61550	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 R	500,000,000	2009/01/15	2009/07/30	125.00
61551	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 S	500,000,000	2009/01/15	2009/07/30	125.00
61555	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 L	150,000,000	2009/01/15	2009/07/27	37.50
61554	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 X	150,000,000	2009/01/15	2009/08/31	52.50
61556	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 N	150,000,000	2009/01/15	2009/07/20	37.50
61552	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 U	300,000,000	2009/01/15	2009/09/29	90.00
61553	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 K	300,000,000	2009/01/15	2009/10/29	75.00
61536	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 M	108,000,000	2009/01/15	2009/09/29	27.00
61538	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 Q	168,000,000	2009/01/15	2009/05/27	42.00
61535	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep09B	300,000,000	2009/01/15	2009/09/29	99.00
61533	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Sep09D	300,000,000	2009/01/15	2009/09/29	75.00
61537	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09E	300,000,000	2009/01/15	2009/09/29	75.00
61543	UBS AG – China Mobile R Bear CBBC Jul 2009 J	300,000,000	2009/01/15	2009/07/27	75.00
61540	UBS AG – CC Bank R Bear CBBC Jul 2009 B	200,000,000	2009/01/15	2009/07/27	50.00
61541	UBS AG – China Life R Bear CBBC Aug 2009 B	300,000,000	2009/01/15	2009/08/24	109.50
61542	UBS AG – CM Bank R Bear CBBC Aug 2009 A	200,000,000	2009/01/15	2009/08/24	90.00
61544	UBS AG – CNOOC R Bear CBBC Jul 2009 L	200,000,000	2009/01/15	2009/07/27	50.00
61539	UBS AG – HSCEI R Bull CBBC Jul 2009 S	500,000,000	2009/01/15	2009/07/30	125.00
61547	UBS AG – HSCEI R Bear CBBC Jul 2009 T	500,000,000	2009/01/15	2009/07/30	125.00
61545	UBS AG – ICBC R Bear CBBC Aug 2009 A	200,000,000	2009/01/15	2009/08/24	50.00
61546	UBS AG – Petrochina R Bear CBBC Jul 2009 J	300,000,000	2009/01/15	2009/07/27	75.00
61570	Deutsche Bank AG – CC Bank R Bear CBBC Jul 2009 G	150,000,000	2009/01/16	2009/07/20	37.50
61571	Deutsche Bank AG – CNOOC R Bear CBBC Jul 2009 J	150,000,000	2009/01/16	2009/07/20	42.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61572	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 L	300,000,000	2009/01/16	2009/10/29	75.00
61557	HK Bank – HSI R Bear CBBC Apr 2009 F	1,000,000,000	2009/01/16	2009/04/29	250.00
61558	HK Bank – HSI R Bear CBBC Jun 2009 D	1,000,000,000	2009/01/16	2009/06/29	250.00
61567	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jul 09 B	308,000,000	2009/01/16	2009/07/10	77.00
61566	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Jul 2009 C	168,000,000	2009/01/16	2009/07/07	84.00
61568	KBC Fin Products Int'l Ltd. – CNOOC R Bear CBBC Jun 2009 A	208,000,000	2009/01/16	2009/06/15	52.00
61559	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09U	300,000,000	2009/01/16	2009/07/30	75.00
61560	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09V	300,000,000	2009/01/16	2009/07/30	75.00
61561	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09W	300,000,000	2009/01/16	2009/07/30	96.00
61564	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09D	300,000,000	2009/01/16	2009/08/28	75.00
61562	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09Y	300,000,000	2009/01/16	2009/08/28	75.00
61563	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09Z	300,000,000	2009/01/16	2009/08/28	75.00
61565	UBS AG – HSI R Bull CBBC Oct 2009 R	500,000,000	2009/01/16	2009/10/29	125.00
61569	UBS AG – HSI R Bear CBBC Oct 2009 S	500,000,000	2009/01/16	2009/10/29	125.00
61599	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 F	250,000,000	2009/01/19	2009/06/29	62.50
61602	Deutsche Bank AG – CC Bank R Bear CBBC Jul 2009 H	150,000,000	2009/01/19	2009/07/20	39.00
61603	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 Y	150,000,000	2009/01/19	2009/08/31	49.50
61604	Deutsche Bank AG – CNOOC R Bear CBBC Jul 2009 K	150,000,000	2009/01/19	2009/07/20	39.00
61600	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 M	300,000,000	2009/01/19	2009/10/29	75.00
61601	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 N	300,000,000	2009/01/19	2009/10/29	84.00
61605	Deutsche Bank AG – ICBC R Bear CBBC Jul 2009 C	150,000,000	2009/01/19	2009/07/20	39.00
61573	HK Bank – A50 R Bear CBBC Jun 2009 D	300,000,000	2009/01/19	2009/06/18	75.00
61587	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Aug 2009 B	288,000,000	2009/01/19	2009/08/03	72.00
61584	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 T	98,000,000	2009/01/19	2009/06/29	24.50
61585	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 L	108,000,000	2009/01/19	2009/08/28	27.00
61586	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 M	138,000,000	2009/01/19	2009/08/28	34.50
61574	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep 2009 C	300,000,000	2009/01/19	2009/09/29	75.00
61575	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct 2009 C	300,000,000	2009/01/19	2009/10/05	75.00
61590	UBS AG – BankComm R Bear CBBC Aug 2009 H	200,000,000	2009/01/19	2009/08/17	50.00
61591	UBS AG – China Life R Bear CBBC Aug 2009 C	300,000,000	2009/01/19	2009/08/24	106.50
61592	UBS AG – CM Bank R Bear CBBC Aug 2009 B	200,000,000	2009/01/19	2009/08/24	78.00
61594	UBS AG – CNOOC R Bear CBBC Jul 2009 M	200,000,000	2009/01/19	2009/07/27	50.00
61593	UBS AG – Sinopec Corp R Bear CBBC Aug 2009 H	200,000,000	2009/01/19	2009/08/17	50.00
61596	UBS AG – HKEx R Bear CBBC Jul 2009 D	300,000,000	2009/01/19	2009/07/20	75.00
61576	UBS AG – HSCEI R Bull CBBC Aug 2009 A	500,000,000	2009/01/19	2009/08/28	125.00
61588	UBS AG – HSCEI R Bull CBBC Aug 2009 C	500,000,000	2009/01/19	2009/08/28	125.00
61583	UBS AG – HSCEI R Bear CBBC Aug 2009 B	500,000,000	2009/01/19	2009/08/28	125.00
61597	UBS AG – HSCEI R Bear CBBC Aug 2009 D	500,000,000	2009/01/19	2009/08/28	125.00
61589	UBS AG – HSI R Bull CBBC Sep 2009 R	500,000,000	2009/01/19	2009/09/29	125.00
61598	UBS AG – HSI R Bear CBBC Sep 2009 X	500,000,000	2009/01/19	2009/09/29	125.00
61577	UBS AG – HSI R Bear CBBC Oct 2009 T	500,000,000	2009/01/19	2009/10/29	125.00
61578	UBS AG – HSI R Bear CBBC Oct 2009 U	500,000,000	2009/01/19	2009/10/29	125.00
61579	UBS AG – HSI R Bear CBBC Oct 2009 V	500,000,000	2009/01/19	2009/10/29	125.00
61580	UBS AG – HSI R Bear CBBC Oct 2009 W	500,000,000	2009/01/19	2009/10/29	125.00
61581	UBS AG – HSI R Bear CBBC Oct 2009 X	500,000,000	2009/01/19	2009/10/29	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61582	UBS AG – HSI R Bear CBBC Oct 2009 Y	500,000,000	2009/01/19	2009/10/29	125.00
61595	UBS AG – Petrochina R Bear CBBC Jul 2009 K	300,000,000	2009/01/19	2009/07/27	75.00
61350#	UBS AG – HSBC R Bear CBBC Jul 2009 K	300,000,000	2009/01/19	2009/07/20	54.60
61622	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 D	250,000,000	2009/01/20	2009/05/27	62.50
61624	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 M	150,000,000	2009/01/20	2009/07/20	37.50
61625	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 O	150,000,000	2009/01/20	2009/07/20	39.00
61627	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 O	300,000,000	2009/01/20	2009/10/29	75.00
61628	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 P	300,000,000	2009/01/20	2009/10/29	82.50
61626	Deutsche Bank AG – Petrochina R Bear CBBC Jul 2009 C	150,000,000	2009/01/20	2009/07/20	39.00
61623	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Aug 2009 A	268,000,000	2009/01/20	2009/08/28	67.00
61608	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09F	300,000,000	2009/01/20	2009/09/29	75.00
61606	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09X	300,000,000	2009/01/20	2009/07/30	75.00
61607	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09F	300,000,000	2009/01/20	2009/08/28	75.00
61613	UBS AG – CC Bank R Bull CBBC Aug 2009 A	200,000,000	2009/01/20	2009/08/17	50.00
61611	UBS AG – CCCCL R Bear CBBC Aug 2009 C	200,000,000	2009/01/20	2009/08/24	50.00
61612	UBS AG – CCCCL R Bear CBBC Aug 2009 D	200,000,000	2009/01/20	2009/08/24	66.00
61614	UBS AG – CM Bank R Bull CBBC Aug 2009 C	200,000,000	2009/01/20	2009/08/24	77.00
61616	UBS AG – CNOOC R Bull CBBC Aug 2009 A	200,000,000	2009/01/20	2009/08/17	53.00
61615	UBS AG – Sinopec Corp R Bull CBBC Aug 2009 I	200,000,000	2009/01/20	2009/08/17	53.00
61609	UBS AG – HSI R Bull CBBC Oct 2009 Z	500,000,000	2009/01/20	2009/10/29	125.00
61618	UBS AG – HSI R Bear CBBC Oct 2009 B	500,000,000	2009/01/20	2009/10/29	125.00
61619	UBS AG – HSI R Bear CBBC Oct 2009 C	500,000,000	2009/01/20	2009/10/29	125.00
61620	UBS AG – HSI R Bear CBBC Oct 2009 D	500,000,000	2009/01/20	2009/10/29	125.00
61621	UBS AG – HSI R Bear CBBC Oct 2009 G	500,000,000	2009/01/20	2009/10/29	125.00
61617	UBS AG – ICBC R Bull CBBC Aug 2009 B	200,000,000	2009/01/20	2009/08/24	50.00
60663#	SGA Societe Generale Acceptance N.V. – BOCL R Bull CBBC Jul09A	200,000,000	2009/01/20	2009/07/27	12.40
60674#	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09A	200,000,000	2009/01/20	2009/08/28	17.80
61649	Deutsche Bank AG – HSBC R Bear CBBC Jul 2009 C	150,000,000	2009/01/21	2009/07/27	37.50
61650	Deutsche Bank AG – HSBC R Bear CBBC Jul 2009 D	150,000,000	2009/01/21	2009/07/27	51.00
61647	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Sep 2009 C	138,000,000	2009/01/21	2009/09/01	34.50
61648	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 N	208,000,000	2009/01/21	2009/09/29	52.00
61629	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2009 A	150,000,000	2009/01/21	2009/07/30	37.80
61633	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2009 B	150,000,000	2009/01/21	2009/07/30	37.50
61632	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Nov09B	100,000,000	2009/01/21	2009/11/30	45.00
61636	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09R	200,000,000	2009/01/21	2009/07/24	50.00
61630	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09P	300,000,000	2009/01/21	2009/08/28	103.50
61634	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09O	300,000,000	2009/01/21	2009/08/28	75.00
61631	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Jul09C	300,000,000	2009/01/21	2009/07/27	75.00
61635	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC Jul09D	300,000,000	2009/01/21	2009/07/27	75.00
61637	UBS AG – BankComm R Bear CBBC Aug 2009 I	200,000,000	2009/01/21	2009/08/17	50.00
61639	UBS AG – China Mobile R Bear CBBC Jul 2009 K	300,000,000	2009/01/21	2009/07/27	75.00
61638	UBS AG – CM Bank R Bear CBBC Aug 2009 D	200,000,000	2009/01/21	2009/08/24	65.00
61640	UBS AG – Sinopec Corp R Bear CBBC Aug 2009 J	200,000,000	2009/01/21	2009/08/17	50.00
61643	UBS AG – HSBC R Bear CBBC Jul 2009 L	300,000,000	2009/01/21	2009/07/27	75.00
61644	UBS AG – HSBC R Bear CBBC Jul 2009 M	300,000,000	2009/01/21	2009/07/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61645	UBS AG – HSBC R Bear CBBC Jul 2009 N	300,000,000	2009/01/21	2009/07/27	75.00
61641	UBS AG – ICBC R Bear CBBC Aug 2009 C	200,000,000	2009/01/21	2009/08/24	50.00
61642	UBS AG – Ping An R Bear CBBC Jul 2009 G	200,000,000	2009/01/21	2009/07/27	50.00
61712	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 E	250,000,000	2009/01/22	2009/05/27	62.50
61704	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 N	150,000,000	2009/01/22	2009/07/27	37.50
61705	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 O	150,000,000	2009/01/22	2009/07/27	37.50
61706	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 P	150,000,000	2009/01/22	2009/07/27	37.50
61703	Deutsche Bank AG – China Life R Bear CBBC Jul 2009 A	150,000,000	2009/01/22	2009/07/27	52.50
61707	Deutsche Bank AG – Sinopec Corp R Bull CBBC Jul 2009 C	150,000,000	2009/01/22	2009/07/27	37.50
61708	Deutsche Bank AG – Sinopec Corp R Bear CBBC Jul 2009 D	150,000,000	2009/01/22	2009/07/27	37.50
61710	Deutsche Bank AG – A50 China R Bear CBBC Jul 2009 D	150,000,000	2009/01/22	2009/07/27	37.50
61709	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 P	150,000,000	2009/01/22	2009/07/27	37.50
61673	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 R	300,000,000	2009/01/22	2009/10/29	75.00
61701	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 V	300,000,000	2009/01/22	2009/09/29	75.00
61702	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 Q	300,000,000	2009/01/22	2009/10/29	75.00
61711	Deutsche Bank AG – Petrochina R Bear CBBC Jul 2009 D	150,000,000	2009/01/22	2009/07/27	37.50
61646	HK Bank – HSI R Bear CBBC May 2009 A	1,000,000,000	2009/01/22	2009/05/27	250.00
61651	HK Bank – HSI R Bear CBBC Aug 2009 G	1,000,000,000	2009/01/22	2009/08/28	250.00
61715	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Jun 09 B	208,000,000	2009/01/22	2009/06/01	52.00
61714	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Aug 2009 B	268,000,000	2009/01/22	2009/08/28	67.00
61713	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 N	128,000,000	2009/01/22	2009/08/28	32.00
61717	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 O	168,000,000	2009/01/22	2009/08/28	42.00
61716	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Aug 2009 A	128,000,000	2009/01/22	2009/08/18	34.56
61654	CC Rabobank B.A. – CC Bank R Bull CBBC Aug 2009 C	128,000,000	2009/01/22	2009/08/28	32.00
61655	CC Rabobank B.A. – HKEx R Bear CBBC Jul 2009 A	88,000,000	2009/01/22	2009/07/30	22.00
61652	CC Rabobank B.A. – HSI R Bear CBBC Jul 2009 G	108,000,000	2009/01/22	2009/07/30	27.00
61653	CC Rabobank B.A. – HSI R Bear CBBC Jul 2009 H	108,000,000	2009/01/22	2009/07/30	27.00
61656	SGA Societe Generale Acceptance N.V. – CCCCL R Bull CBBC Jul09H	200,000,000	2009/01/22	2009/07/27	50.00
61674	SGA Societe Generale Acceptance N.V. – HS Bk R Bull CBBC Jul09A	200,000,000	2009/01/22	2009/07/27	50.00
61675	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Aug09B	200,000,000	2009/01/22	2009/08/24	50.00
61678	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09G	300,000,000	2009/01/22	2009/08/28	75.00
61677	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09H	300,000,000	2009/01/22	2009/08/28	75.00
61676	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09Q	300,000,000	2009/01/22	2009/08/28	75.00
61679	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09Y	300,000,000	2009/01/22	2009/07/30	75.00
61680	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09Z	300,000,000	2009/01/22	2009/07/30	88.50
61681	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09R	300,000,000	2009/01/22	2009/08/28	75.00
61687	UBS AG – BankComm R Bear CBBC Aug 2009 J	200,000,000	2009/01/22	2009/08/17	50.00
61693	UBS AG – China Mobile R Bear CBBC Jul 2009 L	300,000,000	2009/01/22	2009/07/27	75.00
61688	UBS AG – CCCCL R Bull CBBC Aug 2009 E	200,000,000	2009/01/22	2009/08/24	54.00
61692	UBS AG – CCCCL R Bear CBBC Aug 2009 F	200,000,000	2009/01/22	2009/08/24	50.00
61689	UBS AG – China Life R Bear CBBC Aug 2009 D	300,000,000	2009/01/22	2009/08/24	91.50
61690	UBS AG – China Life R Bear CBBC Aug 2009 E	300,000,000	2009/01/22	2009/08/24	121.50
61691	UBS AG – CM Bank R Bear CBBC Aug 2009 E	200,000,000	2009/01/22	2009/08/24	65.00
61694	UBS AG – CNOOC R Bear CBBC Aug 2009 B	200,000,000	2009/01/22	2009/08/17	50.00
61696	UBS AG – HKEx R Bear CBBC Jul 2009 E	300,000,000	2009/01/22	2009/07/20	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61697	UBS AG – HKEx R Bear CBBC Jul 2009 F	300,000,000	2009/01/22	2009/07/20	75.00
61695	UBS AG – HS Bank R Bear CBBC Jul 2009 B	200,000,000	2009/01/22	2009/07/27	50.00
61698	UBS AG – HSBC R Bull CBBC Jul 2009 O	300,000,000	2009/01/22	2009/07/27	91.50
61699	UBS AG – HSBC R Bear CBBC Jul 2009 P	300,000,000	2009/01/22	2009/07/27	75.00
61657	UBS AG – HSCEI R Bull CBBC Aug 2009 E	500,000,000	2009/01/22	2009/08/28	125.00
61682	UBS AG – HSCEI R Bear CBBC Aug 2009 F	500,000,000	2009/01/22	2009/08/28	125.00
61672	UBS AG – HSI R Bull CBBC Sep 2009 M	500,000,000	2009/01/22	2009/09/29	125.00
61664	UBS AG – HSI R Bull CBBC Oct 2009 E	500,000,000	2009/01/22	2009/10/29	125.00
61665	UBS AG – HSI R Bull CBBC Oct 2009 F	500,000,000	2009/01/22	2009/10/29	125.00
61666	UBS AG – HSI R Bull CBBC Oct 2009 H	500,000,000	2009/01/22	2009/10/29	125.00
61658	UBS AG – HSI R Bull CBBC Nov 2009 A	500,000,000	2009/01/22	2009/11/27	125.00
61659	UBS AG – HSI R Bull CBBC Nov 2009 B	500,000,000	2009/01/22	2009/11/27	125.00
61660	UBS AG – HSI R Bull CBBC Nov 2009 C	500,000,000	2009/01/22	2009/11/27	125.00
61661	UBS AG – HSI R Bull CBBC Nov 2009 D	500,000,000	2009/01/22	2009/11/27	125.00
61662	UBS AG – HSI R Bull CBBC Nov 2009 E	500,000,000	2009/01/22	2009/11/27	125.00
61663	UBS AG – HSI R Bull CBBC Nov 2009 F	500,000,000	2009/01/22	2009/11/27	125.00
61683	UBS AG – HSI R Bear CBBC Sep 2009 W	500,000,000	2009/01/22	2009/09/29	125.00
61684	UBS AG – HSI R Bear CBBC Oct 2009 J	500,000,000	2009/01/22	2009/10/29	125.00
61685	UBS AG – HSI R Bear CBBC Oct 2009 K	500,000,000	2009/01/22	2009/10/29	125.00
61686	UBS AG – HSI R Bear CBBC Oct 2009 L	500,000,000	2009/01/22	2009/10/29	125.00
61667	UBS AG – HSI R Bear CBBC Nov 2009 G	500,000,000	2009/01/22	2009/11/27	125.00
61668	UBS AG – HSI R Bear CBBC Nov 2009 H	500,000,000	2009/01/22	2009/11/27	125.00
61669	UBS AG – HSI R Bear CBBC Nov 2009 I	500,000,000	2009/01/22	2009/11/27	125.00
61670	UBS AG – HSI R Bear CBBC Nov 2009 J	500,000,000	2009/01/22	2009/11/27	125.00
61671	UBS AG – HSI R Bear CBBC Nov 2009 K	500,000,000	2009/01/22	2009/11/27	125.00
61700	UBS AG – Petrochina R Bear CBBC Jul 2009 L	300,000,000	2009/01/22	2009/07/27	75.00
60673 [#]	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09G	200,000,000	2009/01/22	2009/06/29	30.80
61741	ABN AMRO Bank N.V. – CC Bank R Bull CBBC Jul 2009 A	80,000,000	2009/01/23	2009/07/10	20.00
61742	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jul 2009 A	80,000,000	2009/01/23	2009/07/10	20.00
61726	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Dec 2009 A	200,000,000	2009/01/23	2009/12/30	50.00
61730	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Dec 2009 B	200,000,000	2009/01/23	2009/12/30	52.00
61720	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 F	500,000,000	2009/01/23	2009/10/29	125.00
61721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 G	500,000,000	2009/01/23	2009/10/29	125.00
61723	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 P	500,000,000	2009/01/23	2009/09/29	125.00
61724	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 Q	500,000,000	2009/01/23	2009/09/29	125.00
61736	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 Q	300,000,000	2009/01/23	2009/09/29	120.00
61735	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 X	300,000,000	2009/01/23	2009/09/29	75.00
61737	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 S	300,000,000	2009/01/23	2009/10/29	105.00
61733	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2009 A	200,000,000	2009/01/23	2009/10/29	50.00
61734	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2009 C	200,000,000	2009/01/23	2009/09/29	50.00
61740	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Aug 2009 B	138,000,000	2009/01/23	2009/08/28	34.50
61738	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 P	168,000,000	2009/01/23	2009/08/28	42.00
61739	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 Q	208,000,000	2009/01/23	2009/08/28	52.00
61725	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2009 C	150,000,000	2009/01/23	2009/07/30	37.50
61718	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Sep09G	300,000,000	2009/01/23	2009/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61722	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09H	300,000,000	2009/01/23	2009/09/29	75.00
61719	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct09D	300,000,000	2009/01/23	2009/10/05	75.00
61727	UBS AG – HSI R Bear CBBC Aug 2009 F	500,000,000	2009/01/23	2009/08/28	125.00
61728	UBS AG – HSI R Bear CBBC Aug 2009 G	500,000,000	2009/01/23	2009/08/28	125.00
61729	UBS AG – HSI R Bear CBBC Oct 2009 O	500,000,000	2009/01/23	2009/10/29	125.00
61731	UBS AG – HSI R Bear CBBC Oct 2009 P	500,000,000	2009/01/23	2009/10/29	125.00
61732	UBS AG – HSI R Bear CBBC Oct 2009 Q	500,000,000	2009/01/23	2009/10/29	125.00
61759	BNP Paribas Arbit Issu B.V. – BOCL R Bull CBBC Aug 2009 A	200,000,000	2009/01/29	2009/08/28	50.00
61760	BNP Paribas Arbit Issu B.V. – CC Bank R Bull CBBC Aug 2009 C	200,000,000	2009/01/29	2009/08/28	50.00
61762	BNP Paribas Arbit Issu B.V. – Ch Life R Bull CBBC Sep 2009 B	200,000,000	2009/01/29	2009/09/29	96.00
61763	BNP Paribas Arbit Issu B.V. – ICBC R Bull CBBC Aug 2009 A	200,000,000	2009/01/29	2009/08/28	50.00
61757	Deutsche Bank AG – HSBC R Bull CBBC Aug 2009 A	150,000,000	2009/01/29	2009/08/03	49.50
61758	Deutsche Bank AG – HSBC R Bull CBBC Aug 2009 B	150,000,000	2009/01/29	2009/08/03	37.50
61761	Deutsche Bank AG – HSBC R Bear CBBC Aug 2009 C	150,000,000	2009/01/29	2009/08/03	40.50
61754	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jul 09 C	268,000,000	2009/01/29	2009/07/10	67.00
61756	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Jul 2009 B	208,000,000	2009/01/29	2009/07/20	52.00
61755	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Jul 2009 C	138,000,000	2009/01/29	2009/07/15	34.50
61753	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 R	188,000,000	2009/01/29	2009/08/28	47.00
61744	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09S	200,000,000	2009/01/29	2009/07/24	50.00
61743	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Aug09C	200,000,000	2009/01/29	2009/08/24	50.00
61747	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Aug09D	200,000,000	2009/01/29	2009/08/24	50.00
61751	UBS AG – A50 China R Bull CBBC Aug 2009 B	300,000,000	2009/01/29	2009/08/24	75.00
61748	UBS AG – HSBC R Bull CBBC Jul 2009 Q	300,000,000	2009/01/29	2009/07/27	75.00
61749	UBS AG – HSBC R Bull CBBC Sep 2009 A	300,000,000	2009/01/29	2009/09/28	115.50
61750	UBS AG – HSBC R Bull CBBC Sep 2009 B	300,000,000	2009/01/29	2009/09/28	100.50
61752	UBS AG – HSBC R Bear CBBC Jul 2009 R	300,000,000	2009/01/29	2009/07/27	75.00
61745	UBS AG – HSCEI R Bear CBBC Aug 2009 G	500,000,000	2009/01/29	2009/08/28	125.00
61746	UBS AG – HSI R Bull CBBC Sep 2009 R	500,000,000	2009/01/29	2009/09/29	125.00
61274#	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Sep 2009 B	138,000,000	2009/01/29	2009/09/10	24.01
61788	ABN AMRO Bank N.V. – China Mobile R Bear CBBC Jul 2009 A	80,000,000	2009/01/30	2009/07/10	20.00
61789	ABN AMRO Bank N.V. – A50 China R Bear CBBC Jul 2009 A	80,000,000	2009/01/30	2009/07/10	20.08
61783	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jul 2009 B	80,000,000	2009/01/30	2009/07/10	20.08
61787	ABN AMRO Bank N.V. – HSBC R Bear CBBC Jul 2009 C	80,000,000	2009/01/30	2009/07/10	20.08
61784	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 G	250,000,000	2009/01/30	2009/06/29	62.75
61785	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 H	250,000,000	2009/01/30	2009/06/29	62.75
61786	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 I	250,000,000	2009/01/30	2009/06/29	62.50
61778	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Sep 2009 C	200,000,000	2009/01/30	2009/09/29	50.00
61779	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2009 E	200,000,000	2009/01/30	2009/11/27	50.00
61780	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jul 2009 A	200,000,000	2009/01/30	2009/07/30	50.00
61781	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 T	400,000,000	2009/01/30	2009/07/30	100.00
61782	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 W	300,000,000	2009/01/30	2009/08/28	75.00
61764	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Aug09E	200,000,000	2009/01/30	2009/08/24	50.00
61767	UBS AG – China Mobile R Bear CBBC Jul 2009 M	300,000,000	2009/01/30	2009/07/27	75.00
61768	UBS AG – CNOOC R Bear CBBC Aug 2009 C	200,000,000	2009/01/30	2009/08/17	50.00
61765	UBS AG – HSBC R Bull CBBC Jul 2009 S	300,000,000	2009/01/30	2009/07/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61766	UBS AG – HSBC R Bull CBBC Jul 2009 T	300,000,000	2009/01/30	2009/07/27	75.00
61770	UBS AG – HSBC R Bear CBBC Jul 2009 U	300,000,000	2009/01/30	2009/07/27	75.00
61776	UBS AG – HSBC R Bear CBBC Jul 2009 V	300,000,000	2009/01/30	2009/07/20	75.00
61777	UBS AG – HSBC R Bear CBBC Jul 2009 W	300,000,000	2009/01/30	2009/07/20	75.00
61773	UBS AG – HSI R Bear CBBC Oct 2009 A	500,000,000	2009/01/30	2009/10/29	125.00
61774	UBS AG – HSI R Bear CBBC Oct 2009 R	500,000,000	2009/01/30	2009/10/29	125.00
61775	UBS AG – HSI R Bear CBBC Oct 2009 Z	500,000,000	2009/01/30	2009/10/29	125.00
61769	UBS AG – Petrochina R Bear CBBC Jul 2009 M	300,000,000	2009/01/30	2009/07/27	75.00
61771	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Aug 2009 C	138,000,000	2009/02/02	2009/08/03	40.02
61772	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 S	118,000,000	2009/02/02	2009/08/28	29.50
61816	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jul 2009 D	80,000,000	2009/02/02	2009/07/10	20.00
61817	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jul 2009 E	80,000,000	2009/02/02	2009/07/10	20.00
61828	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jun 2009 G	250,000,000	2009/02/02	2009/06/29	62.50
61820	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jun 2009 H	250,000,000	2009/02/02	2009/06/29	62.50
61818	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 J	250,000,000	2009/02/02	2009/06/29	62.50
61819	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 K	250,000,000	2009/02/02	2009/06/29	62.50
61822	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 D	150,000,000	2009/02/02	2009/08/03	52.50
61821	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 Z	150,000,000	2009/02/02	2009/08/03	37.50
61823	Deutsche Bank AG – CNOOC R Bear CBBC Aug 2009 B	150,000,000	2009/02/02	2009/08/03	37.50
61824	Deutsche Bank AG – HSBC R Bear CBBC Aug 2009 D	150,000,000	2009/02/02	2009/08/03	37.50
61826	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 W	300,000,000	2009/02/02	2009/09/29	90.00
61827	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 T	300,000,000	2009/02/02	2009/10/29	75.00
61825	Deutsche Bank AG – ICBC R Bull CBBC Aug 2009 C	150,000,000	2009/02/02	2009/08/03	37.50
61810	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Aug 09 B	208,000,000	2009/02/02	2009/08/10	52.00
61811	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jul 09 D	268,000,000	2009/02/02	2009/07/20	67.00
61807	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Jul 2009 D	138,000,000	2009/02/02	2009/07/20	80.04
61808	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Aug 2009 B	138,000,000	2009/02/02	2009/08/03	55.20
61809	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Sep 2009 C	138,000,000	2009/02/02	2009/09/09	38.64
61814	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Jul 2009 A	368,000,000	2009/02/02	2009/07/02	92.00
61812	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Jul 2009 D	138,000,000	2009/02/02	2009/07/10	37.26
61813	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Sep 2009 D	138,000,000	2009/02/02	2009/09/01	34.50
61815	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 T	108,000,000	2009/02/02	2009/08/28	27.00
61790	CC Rabobank B.A. – HSBC R Bull CBBC Aug 2009 A	200,000,000	2009/02/02	2009/08/10	50.00
61794	CC Rabobank B.A. – HSBC R Bear CBBC Aug 2009 B	200,000,000	2009/02/02	2009/08/10	50.00
61792	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Aug09F	200,000,000	2009/02/02	2009/08/24	50.00
61793	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09A	200,000,000	2009/02/02	2009/10/23	50.00
61791	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09S	300,000,000	2009/02/02	2009/08/28	75.00
61796	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09B	300,000,000	2009/02/02	2009/07/30	75.00
61795	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09T	300,000,000	2009/02/02	2009/08/28	75.00
61800	UBS AG – CCCCL R Bull CBBC Aug 2009 G	200,000,000	2009/02/02	2009/08/24	67.00
61803	UBS AG – China Life R Bear CBBC Aug 2009 F	300,000,000	2009/02/02	2009/08/24	82.50
61804	UBS AG – CM Bank R Bull CBBC Aug 2009 F	200,000,000	2009/02/02	2009/08/24	101.00
61799	UBS AG – HKEx R Bear CBBC Sep 2009 A	300,000,000	2009/02/02	2009/09/28	75.00
61798	UBS AG – HSCEI R Bull CBBC Aug 2009 H	500,000,000	2009/02/02	2009/08/28	125.00
61797	UBS AG – HSI R Bull CBBC Nov 2009 L	500,000,000	2009/02/02	2009/11/27	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61805	UBS AG – HSI R Bull CBBC Nov 2009 O	500,000,000	2009/02/02	2009/11/27	125.00
61801	UBS AG – HSI R Bear CBBC Nov 2009 M	500,000,000	2009/02/02	2009/11/27	125.00
61802	UBS AG – HSI R Bear CBBC Nov 2009 N	500,000,000	2009/02/02	2009/11/27	125.00
61806	UBS AG – HSI R Bear CBBC Nov 2009 P	500,000,000	2009/02/02	2009/11/27	125.00
61835	Deutsche Bank AG – China Life R Bull CBBC Jul 2009 B	150,000,000	2009/02/03	2009/07/27	109.50
61829	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Sep09A	300,000,000	2009/02/03	2009/09/28	75.00
61830	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Oct09C	100,000,000	2009/02/03	2009/10/27	45.00
61831	SGA Societe Generale Acceptance N.V. – CMB R Bear CBBC Aug 09A	100,000,000	2009/02/03	2009/08/31	55.00
61832	SGA Societe Generale Acceptance N.V. – CP&CC R Bear CBBC Sep09A	200,000,000	2009/02/03	2009/09/28	50.00
61833	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09I	300,000,000	2009/02/03	2009/08/28	75.00
61834	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09U	300,000,000	2009/02/03	2009/08/28	75.00
61837	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09V	300,000,000	2009/02/03	2009/08/28	75.00
61841	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 Q	150,000,000	2009/02/04	2009/07/27	37.50
61840	Deutsche Bank AG – CC Bank R Bear CBBC Jul 2009 I	150,000,000	2009/02/04	2009/07/20	37.50
61843	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 Q	150,000,000	2009/02/04	2009/07/27	37.50
61842	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 U	300,000,000	2009/02/04	2009/10/29	75.00
61844	Deutsche Bank AG – Petrochina R Bear CBBC Jul 2009 E	150,000,000	2009/02/04	2009/07/27	37.50
61846	UBS AG – CCCCL R Bull CBBC Aug 2009 H	200,000,000	2009/02/04	2009/08/24	83.00
61838	UBS AG – China Life R Bear CBBC Aug 2009 G	300,000,000	2009/02/04	2009/08/24	75.00
61845	UBS AG – China Railway R Bull CBBC Aug 2009 A	200,000,000	2009/02/04	2009/08/24	50.00
61849	UBS AG – China Railway R Bear CBBC Aug 2009 B	200,000,000	2009/02/04	2009/08/24	50.00
61836	UBS AG – Petrochina R Bear CBBC Oct 2009 A	300,000,000	2009/02/04	2009/10/19	75.00
61839	UBS AG – Ping An R Bear CBBC Oct 2009 A	200,000,000	2009/02/04	2009/10/19	50.00
61861	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 H	400,000,000	2009/02/05	2009/10/29	100.00
61862	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 P	400,000,000	2009/02/05	2009/11/27	100.00
61863	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 P	300,000,000	2009/02/05	2009/12/30	75.00
61865	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 X	400,000,000	2009/02/05	2009/08/28	100.00
61857	Deutsche Bank AG – Sinopec Corp R Bull CBBC Aug 2009 D	150,000,000	2009/02/05	2009/08/10	37.50
61859	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 V	300,000,000	2009/02/05	2009/10/29	81.00
61860	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 W	300,000,000	2009/02/05	2009/10/29	75.00
61858	Deutsche Bank AG – Petrochina R Bull CBBC Aug 2009 A	150,000,000	2009/02/05	2009/08/10	42.00
61855	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 U	108,000,000	2009/02/05	2009/08/28	27.00
61856	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 V	168,000,000	2009/02/05	2009/08/28	42.00
61847	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep09D	300,000,000	2009/02/05	2009/09/29	75.00
61848	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC Sep09A	300,000,000	2009/02/05	2009/09/29	75.00
61850	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct09E	300,000,000	2009/02/05	2009/10/05	75.00
61866	UBS AG – China Life R Bull CBBC Aug 2009 H	300,000,000	2009/02/05	2009/08/24	87.00
61867	UBS AG – CNOOC R Bull CBBC Aug 2009 D	200,000,000	2009/02/05	2009/08/17	50.00
61868	UBS AG – HSBC R Bull CBBC Sep 2009 C	300,000,000	2009/02/05	2009/09/28	75.00
61851	UBS AG – HSCEI R Bear CBBC Aug 2009 I	500,000,000	2009/02/05	2009/08/28	125.00
61869	UBS AG – HSI R Bull CBBC Oct 2009 K	500,000,000	2009/02/05	2009/10/29	125.00
61870	UBS AG – HSI R Bull CBBC Oct 2009 L	500,000,000	2009/02/05	2009/10/29	125.00
61852	UBS AG – HSI R Bear CBBC Oct 2009 F	500,000,000	2009/02/05	2009/10/29	125.00
61853	UBS AG – HSI R Bear CBBC Oct 2009 H	500,000,000	2009/02/05	2009/10/29	125.00
61854	UBS AG – HSI R Bear CBBC Oct 2009 J	500,000,000	2009/02/05	2009/10/29	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61871	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Aug09A	200,000,000	2009/02/06	2009/08/31	50.00
61872	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Aug09A	100,000,000	2009/02/06	2009/08/31	29.00
61873	SGA Societe Generale Acceptance N.V. – CMB R Bull CBBC Aug 09B	100,000,000	2009/02/06	2009/08/31	34.00
61875	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Aug09B	200,000,000	2009/02/06	2009/08/31	50.00
61874	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09B	200,000,000	2009/02/06	2009/10/23	50.00
61876	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09W	300,000,000	2009/02/06	2009/08/28	75.00
61878	UBS AG – China Mobile R Bear CBBC Dec 2009 A	300,000,000	2009/02/06	2009/12/21	75.00
61881	UBS AG – China Mobile R Bear CBBC Dec 2009 B	300,000,000	2009/02/06	2009/12/21	81.00
61880	UBS AG – China Mobile R Bear CBBC Dec 2009 C	300,000,000	2009/02/06	2009/12/21	93.00
61879	UBS AG – China Mobile R Bear CBBC Dec 2009 D	300,000,000	2009/02/06	2009/12/21	105.00
61905	UBS AG – CM Bank R Bull CBBC Aug 2009 G	200,000,000	2009/02/06	2009/08/24	61.00
61882	UBS AG – CNOOC R Bear CBBC Dec 2009 A	200,000,000	2009/02/06	2009/12/21	61.00
61885	UBS AG – A50 China R Bear CBBC Dec 2009 A	300,000,000	2009/02/06	2009/12/21	132.00
61906	UBS AG – HKEx R Bull CBBC Sep 2009 B	300,000,000	2009/02/06	2009/09/28	75.00
61884	UBS AG – HSCEI R Bear CBBC Mar 2010 A	500,000,000	2009/02/06	2010/03/30	125.00
61877	UBS AG – HSCEI R Bear CBBC Mar 2010 B	500,000,000	2009/02/06	2010/03/30	207.50
61886	UBS AG – HSCEI R Bear CBBC Mar 2010 C	500,000,000	2009/02/06	2010/03/30	140.00
61887	UBS AG – HSCEI R Bear CBBC Mar 2010 D	500,000,000	2009/02/06	2010/03/30	245.00
61889	UBS AG – HSCEI R Bear CBBC Mar 2010 E	500,000,000	2009/02/06	2010/03/30	160.00
61890	UBS AG – HSCEI R Bear CBBC Mar 2010 F	500,000,000	2009/02/06	2010/03/30	280.00
61891	UBS AG – HSCEI R Bear CBBC Mar 2010 G	500,000,000	2009/02/06	2010/03/30	180.00
61892	UBS AG – HSCEI R Bear CBBC Mar 2010 H	500,000,000	2009/02/06	2010/03/30	315.00
61893	UBS AG – HSCEI R Bear CBBC Mar 2010 I	500,000,000	2009/02/06	2010/03/30	202.50
61894	UBS AG – HSCEI R Bear CBBC Mar 2010 J	500,000,000	2009/02/06	2010/03/30	355.00
61895	UBS AG – HSCEI R Bear CBBC Mar 2010 K	500,000,000	2009/02/06	2010/03/30	222.50
61896	UBS AG – HSCEI R Bear CBBC Mar 2010 L	500,000,000	2009/02/06	2010/03/30	390.00
61897	UBS AG – HSCEI R Bear CBBC Mar 2010 M	500,000,000	2009/02/06	2010/03/30	242.50
61883	UBS AG – HSI R Bear CBBC Mar 2010 A	500,000,000	2009/02/06	2010/03/30	265.00
61899	UBS AG – HSI R Bear CBBC Mar 2010 B	500,000,000	2009/02/06	2010/03/30	290.00
61888	UBS AG – HSI R Bear CBBC Mar 2010 C	500,000,000	2009/02/06	2010/03/30	167.50
61900	UBS AG – HSI R Bear CBBC Mar 2010 D	500,000,000	2009/02/06	2010/03/30	327.50
61901	UBS AG – HSI R Bear CBBC Mar 2010 E	500,000,000	2009/02/06	2010/03/30	535.00
61902	UBS AG – HSI R Bear CBBC Mar 2010 F	500,000,000	2009/02/06	2010/03/30	370.00
61898	UBS AG – HSI R Bear CBBC Mar 2010 G	500,000,000	2009/02/06	2010/03/30	200.00
61903	UBS AG – HSI R Bear CBBC Mar 2010 H	500,000,000	2009/02/06	2010/03/30	390.00
61904	UBS AG – HSI R Bear CBBC Mar 2010 I	500,000,000	2009/02/06	2010/03/30	600.00
61908	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 X	300,000,000	2009/02/09	2009/10/29	75.00
61909	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 Y	300,000,000	2009/02/09	2009/10/29	84.00
61907	HK Bank – HSI R Bull CBBC Jun 2009 E	1,000,000,000	2009/02/09	2009/06/29	250.00
61914	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Jul 09E	138,000,000	2009/02/09	2009/07/10	38.64
61915	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Aug 09 A	208,000,000	2009/02/09	2009/08/03	52.00
61913	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 W	108,000,000	2009/02/09	2009/08/28	27.00
61916	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 X	158,000,000	2009/02/09	2009/08/28	39.50
61910	UBS AG – HSCEI R Bear CBBC Sep 2009 A	500,000,000	2009/02/09	2009/09/29	125.00
61911	UBS AG – HSI R Bull CBBC Oct 2009 A	500,000,000	2009/02/09	2009/10/29	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61912	UBS AG – HSI R Bull CBBC Oct 2009 R	500,000,000	2009/02/09	2009/10/29	125.00
61923	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Nov 2009 B	200,000,000	2009/02/10	2009/11/30	50.00
61927	BNP Paribas Arbit Issu B.V. – Ch Mob R Bear CBBC Aug 2009 A	200,000,000	2009/02/10	2009/08/31	50.00
61921	BNP Paribas Arbit Issu B.V. – CC Bank R Bull CBBC Aug 2009 D	100,000,000	2009/02/10	2009/08/31	150.00
61926	BNP Paribas Arbit Issu B.V. – CC Bank R Bear CBBC Aug 2009 E	100,000,000	2009/02/10	2009/08/31	220.00
61922	BNP Paribas Arbit Issu B.V. – China Life R Bear CBBC Aug 09A	200,000,000	2009/02/10	2009/08/31	50.00
61924	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Aug 2009 A	200,000,000	2009/02/10	2009/08/14	50.00
61928	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Aug 2009 B	200,000,000	2009/02/10	2009/08/14	50.00
61925	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Sep 2009 D	200,000,000	2009/02/10	2009/09/30	50.00
61929	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Sep 2009 E	200,000,000	2009/02/10	2009/09/30	50.00
61917	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 Q	400,000,000	2009/02/10	2009/11/27	100.00
61918	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 Q	300,000,000	2009/02/10	2009/12/30	75.00
61919	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 Y	500,000,000	2009/02/10	2009/08/28	125.00
61920	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 Z	300,000,000	2009/02/10	2009/08/28	75.00
61938	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 O	300,000,000	2009/02/10	2009/09/29	75.00
61937	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Y	108,000,000	2009/02/10	2009/08/28	27.00
61930	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Oct09A	200,000,000	2009/02/10	2009/10/27	50.00
61931	UBS AG – BOCL R Bull CBBC Sep 2009 A	200,000,000	2009/02/10	2009/09/28	50.00
61936	UBS AG – BOCL R Bear CBBC Sep 2009 B	200,000,000	2009/02/10	2009/09/28	50.00
61932	UBS AG – CCCCL R Bull CBBC Oct 2009 A	200,000,000	2009/02/10	2009/10/19	50.00
61933	UBS AG – HSI R Bull CBBC Sep 2009 M	500,000,000	2009/02/10	2009/09/29	125.00
61934	UBS AG – HSI R Bull CBBC Sep 2009 R	500,000,000	2009/02/10	2009/09/29	125.00
61935	UBS AG – HSI R Bull CBBC Sep 2009 W	500,000,000	2009/02/10	2009/09/29	125.00
61941	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 B	150,000,000	2009/02/11	2009/08/03	45.00
61943	Deutsche Bank AG – A50 China R Bull CBBC Aug 2009 A	150,000,000	2009/02/11	2009/08/03	37.50
61948	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 P	300,000,000	2009/02/11	2009/09/29	75.00
61942	Deutsche Bank AG – ICBC R Bull CBBC Aug 2009 D	150,000,000	2009/02/11	2009/08/03	37.50
61947	KBC Fin Products Int'l Ltd. – BOCL R Bull CBBC Sep 2009 A	158,000,000	2009/02/11	2009/09/21	39.50
61945	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Jul 2009 A	268,000,000	2009/02/11	2009/07/30	67.00
61946	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Oct 2009 B	268,000,000	2009/02/11	2009/10/29	67.00
61950	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Jul 2009 B	268,000,000	2009/02/11	2009/07/30	67.00
61951	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Oct 2009 C	268,000,000	2009/02/11	2009/10/29	67.00
61944	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 O	158,000,000	2009/02/11	2009/09/29	39.50
61939	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC Aug09A	200,000,000	2009/02/11	2009/08/31	50.00
61940	SGA Societe Generale Acceptance N.V. – CRail R Bull CBBC Dec09A	200,000,000	2009/02/11	2009/12/30	50.00
61977	ABN AMRO Bank N.V. – China Mobile R Bull CBBC Aug 2009 A	80,000,000	2009/02/12	2009/08/12	20.08
61982	ABN AMRO Bank N.V. – China Mobile R Bear CBBC Aug 2009 B	80,000,000	2009/02/12	2009/08/12	20.08
61976	ABN AMRO Bank N.V. – CC Bank R Bull CBBC Aug 2009 A	80,000,000	2009/02/12	2009/08/12	20.00
61975	ABN AMRO Bank N.V. – A50 China R Bull CBBC Aug 2009 A	80,000,000	2009/02/12	2009/08/12	20.00
61980	ABN AMRO Bank N.V. – A50 China R Bear CBBC Aug 2009 B	80,000,000	2009/02/12	2009/08/12	20.08
61981	ABN AMRO Bank N.V. – A50 China R Bear CBBC Aug 2009 C	80,000,000	2009/02/12	2009/08/12	22.40
61978	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jul 2009 F	80,000,000	2009/02/12	2009/07/10	20.08
61983	ABN AMRO Bank N.V. – HSBC R Bear CBBC Jul 2009 G	80,000,000	2009/02/12	2009/07/10	20.08
61979	ABN AMRO Bank N.V. – HSI R Bull CBBC Jun 2009 L	250,000,000	2009/02/12	2009/06/29	62.50
61984	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 W	250,000,000	2009/02/12	2009/07/30	62.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61970	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 R	400,000,000	2009/02/12	2009/09/29	100.00
61973	Deutsche Bank AG – China Life R Bull CBBC Jul 2009 C	150,000,000	2009/02/12	2009/07/27	52.50
61974	Deutsche Bank AG – China Life R Bull CBBC Jul 2009 D	150,000,000	2009/02/12	2009/07/27	37.50
61969	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 R	300,000,000	2009/02/12	2009/09/29	75.00
61985	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2009 O	128,000,000	2009/02/12	2009/07/30	38.40
61987	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 A	138,000,000	2009/02/12	2009/08/28	34.50
61986	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Z	168,000,000	2009/02/12	2009/08/28	45.36
61988	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 P	308,000,000	2009/02/12	2009/09/29	77.00
61989	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 Q	278,000,000	2009/02/12	2009/09/29	69.50
61990	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 R	188,000,000	2009/02/12	2009/09/29	58.28
61991	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 S	188,000,000	2009/02/12	2009/09/29	67.68
61992	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 E	228,000,000	2009/02/12	2009/10/29	57.00
61993	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 F	308,000,000	2009/02/12	2009/10/29	77.00
61994	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 G	128,000,000	2009/02/12	2009/10/29	53.76
61949	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09A	300,000,000	2009/02/12	2009/09/29	75.00
61952	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09B	300,000,000	2009/02/12	2009/09/29	75.00
61953	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09C	300,000,000	2009/02/12	2009/09/29	75.00
61955	UBS AG – China Coal R Bull CBBC Nov 2009 A	200,000,000	2009/02/12	2009/11/16	50.00
61962	UBS AG – China Coal R Bear CBBC Nov 2009 B	200,000,000	2009/02/12	2009/11/16	50.00
61954	UBS AG – Cheung Kong R Bull CBBC Nov 2009 A	200,000,000	2009/02/12	2009/11/16	51.00
61960	UBS AG – Cheung Kong R Bear CBBC Nov 2009 B	200,000,000	2009/02/12	2009/11/16	50.00
61961	UBS AG – Cheung Kong R Bear CBBC Nov 2009 C	200,000,000	2009/02/12	2009/11/16	50.00
61971	UBS AG – China Life R Bull CBBC Oct 2009 A	300,000,000	2009/02/12	2009/10/27	97.50
61956	UBS AG – China Shenhua R Bull CBBC Oct 2009 A	200,000,000	2009/02/12	2009/10/27	71.00
61957	UBS AG – China Shenhua R Bull CBBC Oct 2009 B	200,000,000	2009/02/12	2009/10/27	50.00
61959	UBS AG – A50 China R Bull CBBC Nov 2009 A	300,000,000	2009/02/12	2009/11/23	75.00
61965	UBS AG – A50 China R Bear CBBC Nov 2009 B	300,000,000	2009/02/12	2009/11/23	75.00
61966	UBS AG – A50 China R Bear CBBC Nov 2009 C	300,000,000	2009/02/12	2009/11/23	75.00
61972	UBS AG – HSI R Bull CBBC Nov 2009 Q	500,000,000	2009/02/12	2009/11/27	125.00
61967	UBS AG – HSI R Bear CBBC Oct 2009 F	500,000,000	2009/02/12	2009/10/29	125.00
61968	UBS AG – HSI R Bear CBBC Oct 2009 H	500,000,000	2009/02/12	2009/10/29	125.00
61958	UBS AG – SHK Ppt R Bull CBBC Oct 2009 A	200,000,000	2009/02/12	2009/10/27	50.00
61963	UBS AG – SHK Ppt R Bear CBBC Oct 2009 B	200,000,000	2009/02/12	2009/10/27	50.00
61964	UBS AG – SHK Ppt R Bear CBBC Oct 2009 C	200,000,000	2009/02/12	2009/10/27	50.00
62027	ABN AMRO Bank N.V. – CC Bank R Bear CBBC Aug 2009 B	80,000,000	2009/02/13	2009/08/12	20.00
62025	ABN AMRO Bank N.V. – Ch Life R Bull CBBC Aug 2009 A	80,000,000	2009/02/13	2009/08/12	41.28
62028	ABN AMRO Bank N.V. – Ch Life R Bear CBBC Aug 2009 B	80,000,000	2009/02/13	2009/08/12	36.64
62026	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 A	250,000,000	2009/02/13	2009/08/28	62.50
62023	Deutsche Bank AG – CC Bank R Bull CBBC Aug 2009 D	150,000,000	2009/02/13	2009/08/03	37.50
62005	Deutsche Bank AG – A50 China R Bull CBBC Aug 2009 B	150,000,000	2009/02/13	2009/08/03	37.50
62003	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 G	300,000,000	2009/02/13	2009/09/29	84.00
62024	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 N	300,000,000	2009/02/13	2009/09/29	75.00
62007	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 F	300,000,000	2009/02/13	2009/09/29	99.00
62004	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 A	300,000,000	2009/02/13	2009/11/27	75.00
61995	HK Bank – HSI R Bull CBBC Jun 2009 F	1,000,000,000	2009/02/13	2009/06/29	250.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62014	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Aug 09C	188,000,000	2009/02/13	2009/08/03	92.12
62015	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Aug 09D	188,000,000	2009/02/13	2009/08/10	75.20
62009	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 P	228,000,000	2009/02/13	2009/07/30	57.00
62010	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 N	308,000,000	2009/02/13	2009/08/28	77.00
62011	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 O	188,000,000	2009/02/13	2009/08/28	47.00
62008	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 T	188,000,000	2009/02/13	2009/09/29	47.00
62012	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 U	228,000,000	2009/02/13	2009/09/29	57.00
62013	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 V	158,000,000	2009/02/13	2009/09/29	39.50
62006	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 P	228,000,000	2009/02/13	2009/08/28	57.00
62016	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Sep 2009 B	188,000,000	2009/02/13	2009/09/18	47.00
61996	SGA Societe Generale Acceptance N.V. – BOCL R Bear CBBC Oct09A	100,000,000	2009/02/13	2009/10/30	99.00
61998	SGA Societe Generale Acceptance N.V. – COVS R Bull CBBC Oct09A	100,000,000	2009/02/13	2009/10/19	25.00
61997	SGA Societe Generale Acceptance N.V. – CCCCL R Bull CBBC Sep09A	100,000,000	2009/02/13	2009/09/28	25.00
62002	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Aug09B	100,000,000	2009/02/13	2009/08/31	37.00
62021	UBS AG – China Mobile R Bull CBBC Oct 2009 A	300,000,000	2009/02/13	2009/10/27	75.00
62000	UBS AG – CCCCL R Bull CBBC Oct 2009 B	200,000,000	2009/02/13	2009/10/19	50.00
62020	UBS AG – China Life R Bull CBBC Oct 2009 B	300,000,000	2009/02/13	2009/10/27	81.00
62019	UBS AG – HKEx R Bear CBBC Sep 2009 C	300,000,000	2009/02/13	2009/09/28	93.00
62001	UBS AG – HSCEI R Bear CBBC Sep 2009 B	500,000,000	2009/02/13	2009/09/29	125.00
62017	UBS AG – HSI R Bull CBBC Nov 2009 S	500,000,000	2009/02/13	2009/11/27	125.00
62018	UBS AG – HSI R Bull CBBC Nov 2009 T	500,000,000	2009/02/13	2009/11/27	125.00
61999	UBS AG – HSI R Bear CBBC Nov 2009 R	500,000,000	2009/02/13	2009/11/27	125.00
62060	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 X	250,000,000	2009/02/16	2009/07/30	62.50
62061	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 Y	250,000,000	2009/02/16	2009/07/30	62.75
62066	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 E	250,000,000	2009/02/16	2009/07/30	62.50
62065	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 Z	250,000,000	2009/02/16	2009/07/30	62.50
62062	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 B	300,000,000	2009/02/16	2009/11/27	75.00
62063	Deutsche Bank AG – ICBC R Bull CBBC Aug 2009 E	150,000,000	2009/02/16	2009/08/03	37.50
62064	Deutsche Bank AG – Petrochina R Bull CBBC Jul 2009 F	150,000,000	2009/02/16	2009/07/27	37.50
62022	HK Bank – A50 R Bull CBBC Jun 2009 E	300,000,000	2009/02/16	2009/06/18	75.00
62057	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Oct 09 A	258,000,000	2009/02/16	2009/10/05	79.98
62056	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Jul 09F	168,000,000	2009/02/16	2009/07/06	90.72
62058	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC Sep 2009 A	258,000,000	2009/02/16	2009/09/10	64.50
62039	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 W	268,000,000	2009/02/16	2009/09/29	67.00
62040	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 H	368,000,000	2009/02/16	2009/10/29	92.00
62041	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 I	368,000,000	2009/02/16	2009/10/29	92.00
62049	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 Q	228,000,000	2009/02/16	2009/06/29	66.12
62050	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2009 Q	268,000,000	2009/02/16	2009/07/30	67.00
62051	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 R	268,000,000	2009/02/16	2009/08/28	67.00
62052	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 S	378,000,000	2009/02/16	2009/08/28	94.50
62053	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 X	308,000,000	2009/02/16	2009/09/29	77.00
62054	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 Y	228,000,000	2009/02/16	2009/09/29	68.40
62055	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 Z	268,000,000	2009/02/16	2009/09/29	72.36
62059	KBC Fin Products Int'l Ltd. – SHK Ppt R Bull CBBC Sep 2009 C	148,000,000	2009/02/16	2009/09/07	39.96
62030	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Sep09E	300,000,000	2009/02/16	2009/09/29	75.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62031	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Aug09A	200,000,000	2009/02/16	2009/08/31	50.00
62032	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Aug09C	200,000,000	2009/02/16	2009/08/31	50.00
62029	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Oct09F	300,000,000	2009/02/16	2009/10/05	75.00
62033	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct09G	300,000,000	2009/02/16	2009/10/05	75.00
62042	UBS AG – BankComm R Bull CBBC Oct 2009 A	200,000,000	2009/02/16	2009/10/27	50.00
62043	UBS AG – CC Bank R Bull CBBC Oct 2009 A	200,000,000	2009/02/16	2009/10/27	50.00
62044	UBS AG – CNOOC R Bull CBBC Oct 2009 A	200,000,000	2009/02/16	2009/10/27	50.00
62048	UBS AG – A50 China R Bull CBBC Nov 2009 D	300,000,000	2009/02/16	2009/11/23	75.00
62034	UBS AG – HSCEI R Bull CBBC Sep 2009 C	500,000,000	2009/02/16	2009/09/29	125.00
62035	UBS AG – HSCEI R Bull CBBC Sep 2009 D	500,000,000	2009/02/16	2009/09/29	125.00
62036	UBS AG – HSCEI R Bull CBBC Sep 2009 E	500,000,000	2009/02/16	2009/09/29	125.00
62037	UBS AG – HSI R Bear CBBC Oct 2009 J	500,000,000	2009/02/16	2009/10/29	125.00
62038	UBS AG – HSI R Bear CBBC Oct 2009 K	500,000,000	2009/02/16	2009/10/29	125.00
62045	UBS AG – ICBC R Bull CBBC Oct 2009 A	200,000,000	2009/02/16	2009/10/27	50.00
62046	UBS AG – Petrochina R Bull CBBC Oct 2009 B	300,000,000	2009/02/16	2009/10/27	75.00
62047	UBS AG – Ping An R Bull CBBC Oct 2009 B	200,000,000	2009/02/16	2009/10/27	50.00
62080	Deutsche Bank AG – CNOOC R Bull CBBC Jul 2009 L	150,000,000	2009/02/17	2009/07/13	37.50
62067	HK Bank – HSI R Bear CBBC Aug 2009 H	1,000,000,000	2009/02/17	2009/08/28	250.00
62068	HK Bank – HSI R Bear CBBC Aug 2009 I	1,000,000,000	2009/02/17	2009/08/28	250.00
62069	HK Bank – HSI R Bear CBBC Aug 2009 J	1,000,000,000	2009/02/17	2009/08/28	250.00
62075	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 M	308,000,000	2009/02/17	2009/09/29	77.00
62076	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 J	278,000,000	2009/02/17	2009/10/29	69.50
62074	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Aug09D	200,000,000	2009/02/17	2009/08/31	50.00
62078	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Aug09E	200,000,000	2009/02/17	2009/08/31	94.00
62070	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09A	300,000,000	2009/02/17	2009/07/30	79.50
62071	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09F	300,000,000	2009/02/17	2009/07/30	75.00
62072	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09D	300,000,000	2009/02/17	2009/09/29	75.00
62073	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09E	300,000,000	2009/02/17	2009/09/29	75.00
62079	UBS AG – HSI R Bull CBBC Oct 2009 B	500,000,000	2009/02/17	2009/10/29	125.00
62077	UBS AG – HSI R Bear CBBC Sep 2009 X	500,000,000	2009/02/17	2009/09/29	125.00
62084	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 C	300,000,000	2009/02/18	2009/11/27	84.00
62088	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 D	300,000,000	2009/02/18	2009/11/27	75.00
62082	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jul 09 E	258,000,000	2009/02/18	2009/07/20	64.50
62081	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Sep 2009 D	168,000,000	2009/02/18	2009/09/09	65.52
62083	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Sep 09 A	208,000,000	2009/02/18	2009/09/01	52.00
62087	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 I	300,000,000	2009/02/19	2009/10/29	75.00
62091	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2009 J	300,000,000	2009/02/19	2009/10/29	75.00
62089	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 R	300,000,000	2009/02/19	2009/11/27	75.00
62097	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 E	300,000,000	2009/02/19	2009/11/27	75.00
62090	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Sep 2009 E	168,000,000	2009/02/19	2009/09/01	52.08
62096	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 L	228,000,000	2009/02/19	2009/10/29	57.00
62092	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 T	168,000,000	2009/02/19	2009/08/28	42.00
62093	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 N	228,000,000	2009/02/19	2009/09/29	57.00
62094	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 K	308,000,000	2009/02/19	2009/10/29	77.00
62086	UBS AG – HSI R Bull CBBC Oct 2009 Z	500,000,000	2009/02/19	2009/10/29	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62085	UBS AG – HSI R Bear CBBC Aug 2009 F	500,000,000	2009/02/19	2009/08/28	125.00
62095	UBS AG – HSI R Bear CBBC Aug 2009 G	500,000,000	2009/02/19	2009/08/28	125.00
62112	ABN AMRO Bank N.V. – A50 China R Bull CBBC Jul 2009 B	80,000,000	2009/02/20	2009/07/10	20.00
62101	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 R	300,000,000	2009/02/20	2009/12/30	75.00
62104	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2010 B	300,000,000	2009/02/20	2010/01/28	75.00
62105	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 F	300,000,000	2009/02/20	2009/08/28	75.00
62111	Deutsche Bank AG – A50 China R Bull CBBC Jul 2009 E	150,000,000	2009/02/20	2009/07/20	37.50
62110	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 F	300,000,000	2009/02/20	2009/11/27	75.00
62098	HK Bank – A50 R Bull CBBC Aug 2009 A	300,000,000	2009/02/20	2009/08/12	75.00
62102	HK Bank – A50 R Bear CBBC Aug 2009 B	300,000,000	2009/02/20	2009/08/12	75.00
62103	HK Bank – A50 R Bear CBBC Aug 2009 C	300,000,000	2009/02/20	2009/08/12	75.00
62106	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Aug 2009 C	108,000,000	2009/02/20	2009/08/28	27.00
62107	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Sep 2009 B	128,000,000	2009/02/20	2009/09/29	32.00
62108	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Sep 2009 C	158,000,000	2009/02/20	2009/09/29	39.50
62109	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 U	188,000,000	2009/02/20	2009/08/28	47.00
62099	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep09F	300,000,000	2009/02/20	2009/09/29	75.00
62100	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09I	300,000,000	2009/02/20	2009/09/29	75.00
62130	ABN AMRO Bank N.V. – A50 China R Bull CBBC Jul 2009 C	80,000,000	2009/02/23	2009/07/10	20.00
62115	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2009 B	200,000,000	2009/02/23	2009/12/30	50.00
62119	BNP Paribas Arbit Issu B.V. – Ch Mob R Bear CBBC Dec 2009 C	200,000,000	2009/02/23	2009/12/30	50.00
62116	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Nov 2009 A	100,000,000	2009/02/23	2009/11/27	25.00
62120	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Nov 2009 B	100,000,000	2009/02/23	2009/11/27	30.00
62129	Deutsche Bank AG – A50 China R Bull CBBC Jul 2009 F	150,000,000	2009/02/23	2009/07/20	37.50
62124	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Aug 09 B	208,000,000	2009/02/23	2009/08/10	52.00
62117	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 V	180,000,000	2009/02/23	2009/08/28	45.00
62118	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 D	308,000,000	2009/02/23	2009/11/27	77.00
62121	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 E	278,000,000	2009/02/23	2009/11/27	69.50
62122	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 F	308,000,000	2009/02/23	2009/11/27	77.00
62123	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 X	278,000,000	2009/02/23	2009/08/28	69.50
62113	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Aug09F	200,000,000	2009/02/23	2009/08/31	50.00
62125	UBS AG – China Railway R Bear CBBC Aug 2009 C	200,000,000	2009/02/23	2009/08/24	50.00
62128	UBS AG – A50 China R Bull CBBC Nov 2009 E	300,000,000	2009/02/23	2009/11/23	75.00
62114	UBS AG – HSCEI R Bull CBBC Aug 2009 J	500,000,000	2009/02/23	2009/08/28	125.00
62126	UBS AG – HSCEI R Bear CBBC Aug 2009 K	500,000,000	2009/02/23	2009/08/28	125.00
62127	UBS AG – HSI R Bear CBBC Oct 2009 F	500,000,000	2009/02/23	2009/10/29	125.00
62146	ABN AMRO Bank N.V. – Ch Life R Bear CBBC Jul 2009 A	80,000,000	2009/02/24	2009/07/22	27.76
62145	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 B	250,000,000	2009/02/24	2009/08/28	62.50
62136	Deutsche Bank AG – A50 China R Bear CBBC Jul 2009 G	150,000,000	2009/02/24	2009/07/20	42.00
62137	Deutsche Bank AG – A50 China R Bear CBBC Jul 2009 H	150,000,000	2009/02/24	2009/07/20	49.50
62147	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 G	300,000,000	2009/02/24	2009/11/27	75.00
62148	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 H	300,000,000	2009/02/24	2009/11/27	84.00
62134	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 Y	188,000,000	2009/02/24	2009/08/28	47.00
62135	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 M	278,000,000	2009/02/24	2009/10/29	69.50
62144	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Z	188,000,000	2009/02/24	2009/08/28	47.00
62131	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct09D	100,000,000	2009/02/24	2009/10/27	31.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62132	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Aug09G	200,000,000	2009/02/24	2009/08/24	50.00
62140	UBS AG – China Mobile R Bear CBBC Oct 2009 B	300,000,000	2009/02/24	2009/10/27	75.00
62138	UBS AG – China Life R Bear CBBC Oct 2009 C	300,000,000	2009/02/24	2009/10/19	84.00
62139	UBS AG – CM Bank R Bear CBBC Sep 2009 A	200,000,000	2009/02/24	2009/09/28	71.00
62141	UBS AG – CNOOC R Bear CBBC Oct 2009 B	200,000,000	2009/02/24	2009/10/19	50.00
62142	UBS AG – HKEx R Bear CBBC Sep 2009 D	300,000,000	2009/02/24	2009/09/28	75.00
62133	UBS AG – HSI R Bull CBBC Oct 2009 H	500,000,000	2009/02/24	2009/10/29	125.00
62143	UBS AG – Petrochina R Bear CBBC Oct 2009 C	300,000,000	2009/02/24	2009/10/19	75.00
62162	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 R	150,000,000	2009/02/25	2009/07/27	37.50
62161	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 F	150,000,000	2009/02/25	2009/08/03	39.00
62166	Deutsche Bank AG – A50 China R Bear CBBC Aug 2009 C	150,000,000	2009/02/25	2009/08/03	37.50
62164	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 R	150,000,000	2009/02/25	2009/07/27	37.50
62165	Deutsche Bank AG – HSBC R Bear CBBC Aug 2009 E	150,000,000	2009/02/25	2009/08/03	40.50
62179	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 J	300,000,000	2009/02/25	2009/11/27	75.00
62163	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 I	300,000,000	2009/02/25	2009/11/27	75.00
62149	HK Bank – HSI R Bull CBBC Oct 2009 A	600,000,000	2009/02/25	2009/10/29	150.00
62150	HK Bank – HSI R Bear CBBC Aug 2009 K	600,000,000	2009/02/25	2009/08/28	150.00
62151	HK Bank – HSI R Bear CBBC Aug 2009 L	600,000,000	2009/02/25	2009/08/28	150.00
62155	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2009 A	150,000,000	2009/02/25	2009/09/29	37.50
62160	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2009 B	150,000,000	2009/02/25	2009/09/29	37.50
62167	UBS AG – BankComm R Bear CBBC Oct 2009 B	200,000,000	2009/02/25	2009/10/27	50.00
62168	UBS AG – Cheung Kong R Bull CBBC Nov 2009 D	200,000,000	2009/02/25	2009/11/16	50.00
62175	UBS AG – Cheung Kong R Bear CBBC Nov 2009 E	200,000,000	2009/02/25	2009/11/16	50.00
62169	UBS AG – China Life R Bear CBBC Oct 2009 D	300,000,000	2009/02/25	2009/10/19	75.00
62170	UBS AG – CM Bank R Bear CBBC Sep 2009 B	200,000,000	2009/02/25	2009/09/28	59.00
62171	UBS AG – Sinopec Corp R Bear CBBC Oct 2009 A	200,000,000	2009/02/25	2009/10/27	50.00
62176	UBS AG – A50 China R Bear CBBC Nov 2009 F	300,000,000	2009/02/25	2009/11/23	75.00
62177	UBS AG – A50 China R Bear CBBC Nov 2009 G	300,000,000	2009/02/25	2009/11/23	75.00
62172	UBS AG – HS Bank R Bear CBBC Sep 2009 A	200,000,000	2009/02/25	2009/09/28	50.00
62173	UBS AG – HSBC R Bear CBBC Sep 2009 D	300,000,000	2009/02/25	2009/09/28	75.00
62174	UBS AG – HSBC R Bear CBBC Sep 2009 E	300,000,000	2009/02/25	2009/09/28	75.00
62154	UBS AG – HSCEI R Bear CBBC Aug 2009 L	300,000,000	2009/02/25	2009/08/28	75.00
62152	UBS AG – HSI R Bull CBBC Oct 2009 B	500,000,000	2009/02/25	2009/10/29	125.00
62153	UBS AG – HSI R Bull CBBC Nov 2009 U	500,000,000	2009/02/25	2009/11/27	125.00
62178	UBS AG – HSI R Bear CBBC Oct 2009 A	500,000,000	2009/02/25	2009/10/29	125.00
62156	UBS AG – HSI R Bear CBBC Oct 2009 L	500,000,000	2009/02/25	2009/10/29	125.00
62157	UBS AG – HSI R Bear CBBC Nov 2009 V	500,000,000	2009/02/25	2009/11/27	125.00
62158	UBS AG – HSI R Bear CBBC Nov 2009 W	500,000,000	2009/02/25	2009/11/27	125.00
62159	UBS AG – HSI R Bear CBBC Nov 2009 X	500,000,000	2009/02/25	2009/11/27	125.00
60606#	UBS AG – HSI R Bull CBBC Jul 2009 D	1,000,000,000	2009/02/25	2009/07/30	107.00
62202	ABN AMRO Bank N.V. – A50 China R Bear CBBC Jul 2009 D	100,000,000	2009/02/26	2009/07/10	25.00
62203	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 C	250,000,000	2009/02/26	2009/08/28	62.50
62200	Barclays Bank plc – HSI Bull CBBC Jul 2009 A	500,000,000	2009/02/26	2009/07/30	79.50
62180	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Oct09A	200,000,000	2009/02/26	2009/10/12	50.00
62201	UBS AG – China Life R Bull CBBC Oct 2009 E	300,000,000	2009/02/26	2009/10/19	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62181	UBS AG – HSCEI R Bull CBBC Mar 2010 N	300,000,000	2009/02/26	2010/03/30	168.00
62182	UBS AG – HSCEI R Bull CBBC Mar 2010 O	300,000,000	2009/02/26	2010/03/30	93.00
62183	UBS AG – HSCEI R Bull CBBC Mar 2010 P	300,000,000	2009/02/26	2010/03/30	147.00
62184	UBS AG – HSCEI R Bull CBBC Mar 2010 Q	300,000,000	2009/02/26	2010/03/30	75.00
62185	UBS AG – HSI R Bear CBBC Mar 2010 J	500,000,000	2009/02/26	2010/03/30	230.00
62186	UBS AG – HSI R Bear CBBC Mar 2010 K	500,000,000	2009/02/26	2010/03/30	360.00
62187	UBS AG – HSI R Bear CBBC Mar 2010 L	500,000,000	2009/02/26	2010/03/30	250.00
62188	UBS AG – HSI R Bear CBBC Mar 2010 M	500,000,000	2009/02/26	2010/03/30	390.00
62189	UBS AG – HSI R Bear CBBC Mar 2010 N	500,000,000	2009/02/26	2010/03/30	182.50
62190	UBS AG – HSI R Bear CBBC Mar 2010 O	500,000,000	2009/02/26	2010/03/30	420.00
62191	UBS AG – HSI R Bear CBBC Mar 2010 P	500,000,000	2009/02/26	2010/03/30	150.00
62192	UBS AG – HSI R Bear CBBC Mar 2010 Q	500,000,000	2009/02/26	2010/03/30	195.00
62193	UBS AG – HSI R Bear CBBC Mar 2010 R	500,000,000	2009/02/26	2010/03/30	160.00
62194	UBS AG – HSI R Bear CBBC Mar 2010 S	500,000,000	2009/02/26	2010/03/30	210.00
62195	UBS AG – HSI R Bear CBBC Mar 2010 T	500,000,000	2009/02/26	2010/03/30	485.00
62196	UBS AG – HSI R Bear CBBC Mar 2010 U	500,000,000	2009/02/26	2010/03/30	192.50
62197	UBS AG – HSI R Bear CBBC Mar 2010 V	500,000,000	2009/02/26	2010/03/30	575.00
62198	UBS AG – HSI R Bear CBBC Mar 2010 W	500,000,000	2009/02/26	2010/03/30	265.00
62199	UBS AG – HSI R Bear CBBC Mar 2010 X	500,000,000	2009/02/26	2010/03/30	215.00
62213	ABN AMRO Bank N.V. – Ch Life R Bull CBBC Jul 2009 B	80,000,000	2009/02/27	2009/07/10	34.96
62212	ABN AMRO Bank N.V. – DJIA R Bull CBBC Sep 2009 A	80,000,000	2009/02/27	2009/09/18	59.04
62216	ABN AMRO Bank N.V. – DJIA R Bear CBBC Sep 2009 B	80,000,000	2009/02/27	2009/09/18	25.52
62214	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 D	250,000,000	2009/02/27	2009/08/28	62.50
62215	ABN AMRO Bank N.V. – Nikkei 225 R Bear CBBC Sep 2009 A	80,000,000	2009/02/27	2009/09/11	20.00
62211	Deutsche Bank AG – HSBC R Bull CBBC Aug 2009 F	150,000,000	2009/02/27	2009/08/03	37.50
62205	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 K	300,000,000	2009/02/27	2009/11/27	75.00
62208	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Sep 09 A	258,000,000	2009/02/27	2009/09/01	64.50
62209	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Sep 2009 E	138,000,000	2009/02/27	2009/09/09	34.50
62204	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 W	158,000,000	2009/02/27	2009/08/28	39.50
62206	CC Rabobank B.A. – HSI R Bull CBBC Sep 2009 A	108,000,000	2009/02/27	2009/09/29	27.00
62207	CC Rabobank B.A. – HSI R Bull CBBC Oct 2009 A	108,000,000	2009/02/27	2009/10/29	37.80
62210	CC Rabobank B.A. – HSI R Bear CBBC Sep 2009 B	108,000,000	2009/02/27	2009/09/29	35.64
62234	BNP Paribas Arbit Issu B.V. – CC Bank R Bear CBBC Oct 2009 A	100,000,000	2009/03/02	2009/10/30	25.00
62238	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Oct 2009 C	100,000,000	2009/03/02	2009/10/19	29.00
62242	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Oct 2009 D	100,000,000	2009/03/02	2009/10/19	25.00
62235	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Oct 2009 C	100,000,000	2009/03/02	2009/10/30	25.00
62239	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Oct 2009 D	100,000,000	2009/03/02	2009/10/30	28.00
62236	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Sep 2009 F	200,000,000	2009/03/02	2009/09/30	50.00
62237	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Sep 2009 G	200,000,000	2009/03/02	2009/09/30	50.00
62240	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Sep 2009 H	200,000,000	2009/03/02	2009/09/30	50.00
62241	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Sep 2009 I	200,000,000	2009/03/02	2009/09/30	50.00
62224	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2010 C	300,000,000	2009/03/02	2010/01/28	75.00
62225	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2010 D	300,000,000	2009/03/02	2010/01/28	75.00
62227	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2010 A	400,000,000	2009/03/02	2010/02/25	116.00
62228	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2010 B	300,000,000	2009/03/02	2010/02/25	96.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62229	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2010 A	300,000,000	2009/03/02	2010/03/30	96.00
62230	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 S	300,000,000	2009/03/02	2009/09/29	75.00
62231	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 T	400,000,000	2009/03/02	2009/09/29	100.00
62232	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 U	300,000,000	2009/03/02	2009/09/29	75.00
62233	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2009 K	400,000,000	2009/03/02	2009/10/29	100.00
62257	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 L	300,000,000	2009/03/02	2009/11/27	75.00
62255	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Sep 09 B	258,000,000	2009/03/02	2009/09/21	64.50
62254	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Oct 09A	168,000,000	2009/03/02	2009/10/05	48.72
62256	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Aug 09 C	208,000,000	2009/03/02	2009/08/18	52.00
62249	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 V	158,000,000	2009/03/02	2009/08/28	39.50
62250	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 V	228,000,000	2009/03/02	2009/09/29	57.00
62248	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 N	308,000,000	2009/03/02	2009/10/29	77.00
62252	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 O	278,000,000	2009/03/02	2009/10/29	69.50
62253	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 P	378,000,000	2009/03/02	2009/10/29	94.50
62251	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2009 G	188,000,000	2009/03/02	2009/11/27	75.20
62217	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Oct09E	100,000,000	2009/03/02	2009/10/19	26.00
62223	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Aug09G	200,000,000	2009/03/02	2009/08/31	50.00
62222	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09C	200,000,000	2009/03/02	2009/10/23	50.00
62226	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Oct09D	200,000,000	2009/03/02	2009/10/23	50.00
62219	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09C	300,000,000	2009/03/02	2009/08/28	75.00
62218	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09X	300,000,000	2009/03/02	2009/08/28	75.00
62220	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09F	300,000,000	2009/03/02	2009/09/29	75.00
62221	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09G	300,000,000	2009/03/02	2009/09/29	75.00
62244	UBS AG – China Life R Bull CBBC Oct 2009 F	300,000,000	2009/03/02	2009/10/19	369.00
62245	UBS AG – China Shenhua R Bear CBBC Oct 2009 C	200,000,000	2009/03/02	2009/10/27	83.00
62247	UBS AG – A50 China R Bull CBBC Nov 2009 H	300,000,000	2009/03/02	2009/11/23	75.00
62243	UBS AG – HSBC R Bull CBBC Sep 2009 F	300,000,000	2009/03/02	2009/09/28	75.00
62246	UBS AG – HSBC R Bear CBBC Sep 2009 G	300,000,000	2009/03/02	2009/09/28	75.00
62267	UBS AG – China Mobile R Bull CBBC Dec 2009 E	300,000,000	2009/03/03	2009/12/21	90.00
62261	UBS AG – China Life R Bull CBBC Oct 2009 G	300,000,000	2009/03/03	2009/10/19	237.00
62262	UBS AG – China Life R Bull CBBC Oct 2009 H	300,000,000	2009/03/03	2009/10/19	207.00
62263	UBS AG – China Life R Bull CBBC Oct 2009 I	300,000,000	2009/03/03	2009/10/19	177.00
62264	UBS AG – China Life R Bull CBBC Nov 2009 A	300,000,000	2009/03/03	2009/11/16	327.00
62265	UBS AG – China Life R Bull CBBC Nov 2009 B	300,000,000	2009/03/03	2009/11/16	297.00
62266	UBS AG – China Life R Bull CBBC Nov 2009 C	300,000,000	2009/03/03	2009/11/16	267.00
62273	UBS AG – China Life R Bear CBBC Oct 2009 J	300,000,000	2009/03/03	2009/10/19	186.00
62274	UBS AG – China Life R Bear CBBC Oct 2009 K	300,000,000	2009/03/03	2009/10/19	216.00
62275	UBS AG – China Life R Bear CBBC Oct 2009 L	300,000,000	2009/03/03	2009/10/19	246.00
62276	UBS AG – China Life R Bear CBBC Nov 2009 D	300,000,000	2009/03/03	2009/11/16	276.00
62277	UBS AG – China Life R Bear CBBC Nov 2009 E	300,000,000	2009/03/03	2009/11/16	306.00
62271	UBS AG – A50 China R Bull CBBC Dec 2009 B	300,000,000	2009/03/03	2009/12/21	141.00
62272	UBS AG – A50 China R Bull CBBC Dec 2009 C	300,000,000	2009/03/03	2009/12/21	126.00
62278	UBS AG – A50 China R Bear CBBC Dec 2009 D	300,000,000	2009/03/03	2009/12/28	75.00
62279	UBS AG – A50 China R Bear CBBC Dec 2009 E	300,000,000	2009/03/03	2009/12/28	88.50
62280	UBS AG – A50 China R Bear CBBC Dec 2009 F	300,000,000	2009/03/03	2009/12/28	103.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62281	UBS AG – A50 China R Bear CBBC Dec 2009 G	300,000,000	2009/03/03	2009/12/28	133.50
62282	UBS AG – A50 China R Bear CBBC Dec 2009 H	300,000,000	2009/03/03	2009/12/28	148.50
62268	UBS AG – HS Bank R Bull CBBC Dec 2009 A	200,000,000	2009/03/03	2009/12/28	71.00
62269	UBS AG – HS Bank R Bull CBBC Dec 2009 B	200,000,000	2009/03/03	2009/12/28	51.00
62270	UBS AG – HS Bank R Bull CBBC Dec 2009 C	200,000,000	2009/03/03	2009/12/28	50.00
62258	UBS AG – HSCEI R Bull CBBC Sep 2009 F	300,000,000	2009/03/03	2009/09/29	75.00
62259	UBS AG – HSI R Bear CBBC Oct 2009 R	500,000,000	2009/03/03	2009/10/29	125.00
62260	UBS AG – HSI R Bear CBBC Oct 2009 Z	500,000,000	2009/03/03	2009/10/29	125.00
62286	UBS AG – HSBC R Bull CBBC Dec 2009 A	300,000,000	2009/03/04	2009/12/28	75.00
62283	UBS AG – HSCEI R Bear CBBC Aug 2009 M	300,000,000	2009/03/04	2009/08/28	75.00
62287	UBS AG – HSI R Bull CBBC Sep 2009 W	500,000,000	2009/03/04	2009/09/29	125.00
62288	UBS AG – HSI R Bull CBBC Oct 2009 L	500,000,000	2009/03/04	2009/10/29	125.00
62284	UBS AG – HSI R Bear CBBC Oct 2009 A	500,000,000	2009/03/04	2009/10/29	125.00
62285	UBS AG – HSI R Bear CBBC Oct 2009 H	500,000,000	2009/03/04	2009/10/29	125.00
62294	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 E	250,000,000	2009/03/05	2009/08/28	62.50
62298	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 F	250,000,000	2009/03/05	2009/08/28	62.50
62292	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 Z	300,000,000	2009/03/05	2009/10/29	120.00
62293	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 M	300,000,000	2009/03/05	2009/11/27	75.00
62291	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Oct 2009 A	138,000,000	2009/03/05	2009/10/02	34.50
62290	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 U	228,000,000	2009/03/05	2009/09/29	57.00
62289	UBS AG – HSI R Bull CBBC Oct 2009 B	500,000,000	2009/03/05	2009/10/29	125.00
60334 [#]	UBS AG – HSI R Bull CBBC Jul 2009 K	1,000,000,000	2009/03/05	2009/07/30	35.00
62306	Deutsche Bank AG – A50 China R Bear CBBC Aug 2009 D	150,000,000	2009/03/06	2009/08/03	37.50
62304	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 C	300,000,000	2009/03/06	2009/09/29	75.00
62303	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 E	300,000,000	2009/03/06	2009/09/29	84.00
62307	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 D	300,000,000	2009/03/06	2009/09/29	84.00
62308	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 H	300,000,000	2009/03/06	2009/09/29	90.00
62305	Deutsche Bank AG – ICBC R Bear CBBC Aug 2009 F	150,000,000	2009/03/06	2009/08/03	37.50
62302	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 W	228,000,000	2009/03/06	2009/09/29	57.00
62295	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep09G	200,000,000	2009/03/06	2009/09/29	50.00
62296	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Aug09B	200,000,000	2009/03/06	2009/08/31	50.00
62297	UBS AG – China Coal R Bull CBBC Nov 2009 C	200,000,000	2009/03/06	2009/11/16	50.00
62299	UBS AG – HSBC R Bull CBBC Sep 2009 H	300,000,000	2009/03/06	2009/09/28	75.00
62301	UBS AG – HSI R Bull CBBC Nov 2009 Y	500,000,000	2009/03/06	2009/11/27	125.00
62300	UBS AG – Ping An R Bull CBBC Oct 2009 C	200,000,000	2009/03/06	2009/10/27	50.00
62338	ABN AMRO Bank N.V. – A50 China R Bear CBBC Jul 2009 E	100,000,000	2009/03/09	2009/07/10	25.00
62339	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Sep 2009 A	250,000,000	2009/03/09	2009/09/29	62.50
62337	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 G	250,000,000	2009/03/09	2009/08/28	62.50
62340	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 H	250,000,000	2009/03/09	2009/08/28	62.50
62341	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 H	150,000,000	2009/03/09	2009/08/03	45.00
62329	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 S	150,000,000	2009/03/09	2009/07/27	37.50
62342	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 I	300,000,000	2009/03/09	2009/09/29	105.00
62343	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 J	300,000,000	2009/03/09	2009/09/29	75.00
62344	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 N	300,000,000	2009/03/09	2009/11/27	105.00
62345	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 A	300,000,000	2009/03/09	2009/09/29	84.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62346	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 B	300,000,000	2009/03/09	2009/09/29	93.00
62347	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 O	300,000,000	2009/03/09	2009/11/27	84.00
62348	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 P	300,000,000	2009/03/09	2009/11/27	90.00
62334	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Aug 2009 E	168,000,000	2009/03/09	2009/08/18	65.52
62336	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Aug 09 D	208,000,000	2009/03/09	2009/08/18	52.00
62335	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Sep 2009 B	328,000,000	2009/03/09	2009/09/15	82.00
62321	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 O	228,000,000	2009/03/09	2009/09/29	57.00
62322	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Y	188,000,000	2009/03/09	2009/08/28	47.00
62323	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Z	228,000,000	2009/03/09	2009/08/28	57.00
62324	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 T	278,000,000	2009/03/09	2009/09/29	69.50
62325	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 V	308,000,000	2009/03/09	2009/09/29	77.00
62326	SGA Societe Generale Acceptance N.V. – BOCOM R Bull CBBC Aug09B	200,000,000	2009/03/09	2009/08/31	50.00
62327	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct09F	100,000,000	2009/03/09	2009/10/27	41.00
62328	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Aug09A	200,000,000	2009/03/09	2009/08/31	50.00
62309	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Aug09H	200,000,000	2009/03/09	2009/08/31	50.00
62310	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Oct09H	200,000,000	2009/03/09	2009/10/05	50.00
62312	UBS AG – China Mobile R Bear CBBC Oct 2009 C	300,000,000	2009/03/09	2009/10/27	75.00
62311	UBS AG – China Life R Bear CBBC Oct 2009 M	300,000,000	2009/03/09	2009/10/19	90.00
62313	UBS AG – CNOOC R Bear CBBC Oct 2009 C	200,000,000	2009/03/09	2009/10/19	50.00
62314	UBS AG – HKEx R Bear CBBC Sep 2009 E	300,000,000	2009/03/09	2009/09/28	75.00
62316	UBS AG – HSCEI R Bull CBBC Sep 2009 G	300,000,000	2009/03/09	2009/09/29	75.00
62320	UBS AG – HSCEI R Bear CBBC Sep 2009 H	300,000,000	2009/03/09	2009/09/29	75.00
62330	UBS AG – HSCEI R Bear CBBC Sep 2009 I	300,000,000	2009/03/09	2009/09/29	75.00
62317	UBS AG – HSI R Bull CBBC Oct 2009 E	500,000,000	2009/03/09	2009/10/29	125.00
62319	UBS AG – HSI R Bull CBBC Nov 2009 L	500,000,000	2009/03/09	2009/11/27	125.00
62318	UBS AG – HSI R Bull CBBC Nov 2009 Z	500,000,000	2009/03/09	2009/11/27	125.00
62331	UBS AG – HSI R Bear CBBC Sep 2009 M	500,000,000	2009/03/09	2009/09/29	125.00
62332	UBS AG – HSI R Bear CBBC Sep 2009 R	500,000,000	2009/03/09	2009/09/29	125.00
62333	UBS AG – HSI R Bear CBBC Nov 2009 M	500,000,000	2009/03/09	2009/11/27	125.00
62315	UBS AG – Petrochina R Bear CBBC Oct 2009 D	300,000,000	2009/03/09	2009/10/19	75.00
62142 [#]	UBS AG – HKEx R Bear CBBC Sep 2009 D	300,000,000	2009/03/09	2009/09/28	58.50
62398	ABN AMRO Bank N.V. – China Mobile R Bear CBBC Jul 2009 B	80,000,000	2009/03/10	2009/07/10	20.00
62403	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 G	250,000,000	2009/03/10	2009/07/30	62.50
62400	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 A	250,000,000	2009/03/10	2009/07/30	62.50
62399	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 I	250,000,000	2009/03/10	2009/08/28	62.50
62369	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 T	150,000,000	2009/03/10	2009/07/27	37.50
62366	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 V	300,000,000	2009/03/10	2009/09/29	75.00
62367	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 Q	300,000,000	2009/03/10	2009/11/27	114.00
62368	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 R	300,000,000	2009/03/10	2009/11/27	105.00
62370	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 W	300,000,000	2009/03/10	2009/09/29	96.00
62371	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 S	300,000,000	2009/03/10	2009/11/27	84.00
62395	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 T	300,000,000	2009/03/10	2009/11/27	78.00
62357	HK Bank – HSI R Bear CBBC Jun 2009 G	600,000,000	2009/03/10	2009/06/29	150.00
62372	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Aug 09 C	258,000,000	2009/03/10	2009/08/10	64.50
62376	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC Aug 2009 C	328,000,000	2009/03/10	2009/08/03	88.56

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62373	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Sep 2009 F	138,000,000	2009/03/10	2009/09/01	37.26
62375	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Oct 2009 B	138,000,000	2009/03/10	2009/10/09	45.54
62374	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Aug 2009 D	138,000,000	2009/03/10	2009/08/03	34.50
62377	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Sep 2009 G	138,000,000	2009/03/10	2009/09/03	46.92
62378	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Sep 2009 H	138,000,000	2009/03/10	2009/09/15	56.58
62361	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 N	158,000,000	2009/03/10	2009/08/28	39.50
62394	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 V	188,000,000	2009/03/10	2009/08/28	47.00
62362	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 W	228,000,000	2009/03/10	2009/08/28	57.00
62363	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 Q	278,000,000	2009/03/10	2009/10/29	69.50
62364	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 R	228,000,000	2009/03/10	2009/10/29	57.00
62365	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 S	308,000,000	2009/03/10	2009/10/29	77.00
62349	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2009 C	150,000,000	2009/03/10	2009/09/29	37.50
62353	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2009 D	150,000,000	2009/03/10	2009/09/29	37.50
62350	SGA Societe Generale Acceptance N.V. – CCoal R Bull CBBC Aug09B	200,000,000	2009/03/10	2009/08/31	50.00
62354	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Sep09J	200,000,000	2009/03/10	2009/09/29	50.00
62356	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09K	200,000,000	2009/03/10	2009/09/29	50.00
62351	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09H	500,000,000	2009/03/10	2009/09/29	125.00
62352	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09E	300,000,000	2009/03/10	2009/08/28	75.00
62355	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09I	500,000,000	2009/03/10	2009/09/29	125.00
62396	UBS AG – HKEx R Bull CBBC Oct 2009 A	300,000,000	2009/03/10	2009/10/19	91.50
62397	UBS AG – HKEx R Bull CBBC Oct 2009 B	300,000,000	2009/03/10	2009/10/19	75.00
62401	UBS AG – HKEx R Bear CBBC Oct 2009 C	300,000,000	2009/03/10	2009/10/19	75.00
62383	UBS AG – HSBC R Bull CBBC Oct 2009 A	300,000,000	2009/03/10	2009/10/27	75.00
62384	UBS AG – HSBC R Bull CBBC Oct 2009 B	300,000,000	2009/03/10	2009/10/27	75.00
62385	UBS AG – HSBC R Bull CBBC Oct 2009 C	300,000,000	2009/03/10	2009/10/27	75.00
62391	UBS AG – HSBC R Bear CBBC Oct 2009 D	300,000,000	2009/03/10	2009/10/27	75.00
62392	UBS AG – HSBC R Bear CBBC Oct 2009 E	300,000,000	2009/03/10	2009/10/27	75.00
62393	UBS AG – HSBC R Bear CBBC Oct 2009 F	300,000,000	2009/03/10	2009/10/27	75.00
62358	UBS AG – HSCEI R Bull CBBC Sep 2009 J	300,000,000	2009/03/10	2009/09/29	75.00
62360	UBS AG – HSI R Bull CBBC Nov 2009 N	500,000,000	2009/03/10	2009/11/27	125.00
62359	UBS AG – HSI R Bull CBBC Nov 2009 P	500,000,000	2009/03/10	2009/11/27	125.00
62379	UBS AG – HSI R Bear CBBC Nov 2009 Q	500,000,000	2009/03/10	2009/11/27	125.00
62380	UBS AG – HSI R Bear CBBC Nov 2009 R	500,000,000	2009/03/10	2009/11/27	125.00
62382	UBS AG – HSI R Bear CBBC Nov 2009 S	500,000,000	2009/03/10	2009/11/27	125.00
62381	UBS AG – HSI R Bear CBBC Nov 2009 T	500,000,000	2009/03/10	2009/11/27	125.00
62389	UBS AG – ICBC R Bear CBBC Oct 2009 B	200,000,000	2009/03/10	2009/10/19	50.00
62422	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jul 2009 C	250,000,000	2009/03/11	2009/07/30	62.50
62426	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2009 D	250,000,000	2009/03/11	2009/07/30	62.50
62411	Barclays Bank plc – HSI Bull CBBC Aug 2009 A	500,000,000	2009/03/11	2009/08/28	81.50
62412	Barclays Bank plc – HSI R Bear CBBC Sep 2009 A	500,000,000	2009/03/11	2009/09/29	87.00
62423	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 K	150,000,000	2009/03/11	2009/08/03	37.50
62427	Deutsche Bank AG – A50 China R Bull CBBC Aug 2009 E	150,000,000	2009/03/11	2009/08/03	37.50
62424	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 X	300,000,000	2009/03/11	2009/09/29	81.00
62425	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 U	300,000,000	2009/03/11	2009/11/27	75.00
62415	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2009 C	278,000,000	2009/03/11	2009/12/30	72.28

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62416	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2009 D	228,000,000	2009/03/11	2009/12/30	61.56
62417	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2009 E	228,000,000	2009/03/11	2009/12/30	57.00
62413	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep09H	200,000,000	2009/03/11	2009/09/29	50.00
62404	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct09G	100,000,000	2009/03/11	2009/10/27	56.00
62408	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Oct09H	100,000,000	2009/03/11	2009/10/27	27.00
62405	SGA Societe Generale Acceptance N.V. – CMB R Bull CBBC Aug 09C	100,000,000	2009/03/11	2009/08/31	54.00
62407	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Aug09C	200,000,000	2009/03/11	2009/08/31	50.00
62406	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Oct09B	200,000,000	2009/03/11	2009/10/27	50.00
62414	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09L	200,000,000	2009/03/11	2009/09/29	50.00
62409	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09A	300,000,000	2009/03/11	2009/08/28	75.00
62410	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09J	300,000,000	2009/03/11	2009/09/29	75.00
62418	UBS AG – China Life R Bull CBBC Oct 2009 O	300,000,000	2009/03/11	2009/10/19	79.50
62419	UBS AG – CM Bank R Bull CBBC Nov 2009 A	200,000,000	2009/03/11	2009/11/23	63.00
62421	UBS AG – A50 China R Bull CBBC Nov 2009 I	300,000,000	2009/03/11	2009/11/23	75.00
62420	UBS AG – HKEx R Bull CBBC Oct 2009 E	300,000,000	2009/03/11	2009/10/27	75.00
62437	ABN AMRO Bank N.V. – A50 China R Bull CBBC Aug 2009 D	100,000,000	2009/03/12	2009/08/07	25.00
62438	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 J	250,000,000	2009/03/12	2009/08/28	62.50
62433	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 S	300,000,000	2009/03/12	2009/12/30	75.00
62434	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 V	300,000,000	2009/03/12	2009/09/29	75.00
62440	Deutsche Bank AG – CNOOC R Bear CBBC Aug 2009 C	150,000,000	2009/03/12	2009/08/10	37.50
62439	Deutsche Bank AG – Sinopec Corp R Bear CBBC Aug 2009 E	150,000,000	2009/03/12	2009/08/03	37.50
62435	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 V	300,000,000	2009/03/12	2009/11/27	75.00
62436	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 A	300,000,000	2009/03/12	2009/10/29	75.00
62441	Deutsche Bank AG – Petrochina R Bear CBBC Aug 2009 B	150,000,000	2009/03/12	2009/08/10	37.50
62428	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2009 A	150,000,000	2009/03/12	2009/12/30	37.50
62431	CC Rabobank B.A. – HSI R Bear CBBC Nov 2009 A	108,000,000	2009/03/12	2009/11/27	27.00
62432	CC Rabobank B.A. – HSI R Bear CBBC Nov 2009 B	108,000,000	2009/03/12	2009/11/27	30.24
62430	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Sep09I	200,000,000	2009/03/12	2009/09/29	50.00
62429	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct09I	100,000,000	2009/03/12	2009/10/27	25.00
62445	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 W	300,000,000	2009/03/13	2009/09/29	75.00
62446	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 X	300,000,000	2009/03/13	2009/09/29	75.00
62443	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 B	300,000,000	2009/03/13	2009/10/29	81.00
62444	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 C	300,000,000	2009/03/13	2009/10/29	75.00
62449	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 R	300,000,000	2009/03/13	2009/09/29	75.00
62447	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 D	300,000,000	2009/03/13	2009/10/29	75.00
62448	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 G	300,000,000	2009/03/13	2009/10/29	105.00
62442	SGA Societe Generale Acceptance N.V. – HS Bk R Bull CBBC Oct09A	100,000,000	2009/03/13	2009/10/27	25.00
62483	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 K	250,000,000	2009/03/16	2009/08/28	62.50
62484	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 L	250,000,000	2009/03/16	2009/08/28	62.50
62485	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 M	250,000,000	2009/03/16	2009/08/28	62.50
62488	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 N	250,000,000	2009/03/16	2009/08/28	62.50
62489	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 O	250,000,000	2009/03/16	2009/08/28	62.50
62453	BNP Paribas Arbit Issu B.V. – Ch Mob R Bear CBBC Oct 2009 C	200,000,000	2009/03/16	2009/10/30	50.00
62452	BNP Paribas Arbit Issu B.V. – China Life R Bear CBBC Oct 09C	100,000,000	2009/03/16	2009/10/30	43.00
62455	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Oct 2009 E	100,000,000	2009/03/16	2009/10/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62451	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 Y	300,000,000	2009/03/16	2009/09/29	75.00
62465	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2009 T	300,000,000	2009/03/16	2009/12/30	75.00
62470	Deutsche Bank AG – China Mobile R Bull CBBC Aug 2009 B	150,000,000	2009/03/16	2009/08/03	37.50
62474	Deutsche Bank AG – China Mobile R Bear CBBC Aug 2009 C	150,000,000	2009/03/16	2009/08/03	37.50
62458	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 C	300,000,000	2009/03/16	2009/09/29	75.00
62457	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 G	300,000,000	2009/03/16	2009/09/29	90.00
62456	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 N	300,000,000	2009/03/16	2009/09/29	99.00
62471	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 Q	300,000,000	2009/03/16	2009/10/29	102.00
62472	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 E	300,000,000	2009/03/16	2009/09/29	75.00
62459	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 H	300,000,000	2009/03/16	2009/10/29	75.00
62475	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 O	300,000,000	2009/03/16	2009/10/29	84.00
62460	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 R	300,000,000	2009/03/16	2009/10/29	108.00
62473	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 T	300,000,000	2009/03/16	2009/10/29	75.00
62481	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2009 A	200,000,000	2009/03/16	2009/11/27	50.00
62482	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2009 B	200,000,000	2009/03/16	2009/11/27	50.00
62486	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2009 C	200,000,000	2009/03/16	2009/11/27	50.00
62487	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2009 D	200,000,000	2009/03/16	2009/11/27	50.00
62464	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 M	228,000,000	2009/03/16	2009/09/29	57.00
62463	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 U	188,000,000	2009/03/16	2009/09/29	63.92
62466	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 O	158,000,000	2009/03/16	2009/08/28	39.50
62469	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 O	188,000,000	2009/03/16	2009/09/29	47.00
62467	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 T	228,000,000	2009/03/16	2009/10/29	57.00
62468	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 U	278,000,000	2009/03/16	2009/10/29	69.50
62450	UBS AG – HSI R Bull CBBC Oct 2009 B	500,000,000	2009/03/16	2009/10/29	125.00
62476	UBS AG – HSI R Bear CBBC Oct 2009 E	500,000,000	2009/03/16	2009/10/29	125.00
62454	UBS AG – HSI R Bear CBBC Oct 2009 L	500,000,000	2009/03/16	2009/10/29	125.00
62477	UBS AG – HSI R Bear CBBC Nov 2009 E	500,000,000	2009/03/16	2009/11/27	125.00
62478	UBS AG – HSI R Bear CBBC Nov 2009 F	500,000,000	2009/03/16	2009/11/27	125.00
62479	UBS AG – HSI R Bear CBBC Nov 2009 L	500,000,000	2009/03/16	2009/11/27	125.00
62461	UBS AG – HSI R Bear CBBC Nov 2009 O	500,000,000	2009/03/16	2009/11/27	125.00
62462	UBS AG – HSI R Bear CBBC Nov 2009 U	500,000,000	2009/03/16	2009/11/27	125.00
62480	UBS AG – HSI R Bear CBBC Nov 2009 Y	500,000,000	2009/03/16	2009/11/27	125.00
62490	ABN AMRO Bank N.V. – DJIA R Bull CBBC Sep 2009 C	80,000,000	2009/03/17	2009/09/18	52.96
62491	ABN AMRO Bank N.V. – DJIA R Bull CBBC Sep 2009 D	80,000,000	2009/03/17	2009/09/18	40.88
62502	Deutsche Bank AG – China Mobile R Bull CBBC Oct 2009 A	150,000,000	2009/03/17	2009/10/27	45.00
62506	Deutsche Bank AG – A50 China R Bull CBBC Sep 2009 A	150,000,000	2009/03/17	2009/09/28	64.50
62505	Deutsche Bank AG – HKEx R Bull CBBC Aug 2009 A	150,000,000	2009/03/17	2009/08/03	49.50
62503	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 J	300,000,000	2009/03/17	2009/09/29	90.00
62512	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 W	300,000,000	2009/03/17	2009/10/29	75.00
62504	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 X	300,000,000	2009/03/17	2009/10/29	75.00
62507	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 O	300,000,000	2009/03/17	2009/09/29	84.00
62508	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 Y	300,000,000	2009/03/17	2009/09/29	99.00
62516	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 V	300,000,000	2009/03/17	2009/10/29	75.00
62509	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 Y	300,000,000	2009/03/17	2009/10/29	120.00
62492	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2009 E	150,000,000	2009/03/17	2009/09/29	37.65

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62493	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2009 B	150,000,000	2009/03/17	2009/12/30	37.50
62496	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2009 F	150,000,000	2009/03/17	2009/09/29	37.65
62494	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09V	300,000,000	2009/03/17	2009/08/28	75.00
62495	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09K	300,000,000	2009/03/17	2009/09/29	75.00
62497	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09L	300,000,000	2009/03/17	2009/09/29	75.00
62499	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09R	300,000,000	2009/03/17	2009/08/28	75.00
62498	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09T	300,000,000	2009/03/17	2009/08/28	75.00
62500	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09M	300,000,000	2009/03/17	2009/09/29	75.00
62501	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09N	300,000,000	2009/03/17	2009/09/29	75.00
62510	UBS AG – HSI R Bull CBBC Nov 2009 N	500,000,000	2009/03/17	2009/11/27	125.00
62511	UBS AG – HSI R Bull CBBC Nov 2009 Z	500,000,000	2009/03/17	2009/11/27	125.00
61639 [#]	UBS AG – China Mobile R Bear CBBC Jul 2009 K	300,000,000	2009/03/17	2009/07/27	66.30
62536	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 W	300,000,000	2009/03/18	2009/11/27	75.00
62537	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 X	300,000,000	2009/03/18	2009/11/27	75.00
62530	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 N	228,000,000	2009/03/18	2009/08/28	57.00
62531	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 V	228,000,000	2009/03/18	2009/10/29	57.00
62532	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 W	228,000,000	2009/03/18	2009/10/29	57.00
62533	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 X	188,000,000	2009/03/18	2009/10/29	47.00
62534	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 Y	228,000,000	2009/03/18	2009/10/29	57.00
62535	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 V	188,000,000	2009/03/18	2009/08/28	47.00
62517	CC Rabobank B.A. – Sinopec Corp R Bull CBBC May 2010 A	108,000,000	2009/03/18	2010/05/28	27.00
62521	CC Rabobank B.A. – Sinopec Corp R Bear CBBC May 2010 B	108,000,000	2009/03/18	2010/05/28	27.00
62518	CC Rabobank B.A. – HSCEI R Bull CBBC Dec 2009 A	200,000,000	2009/03/18	2009/12/30	50.00
62522	CC Rabobank B.A. – HSCEI R Bear CBBC Dec 2009 B	200,000,000	2009/03/18	2009/12/30	58.00
62519	CC Rabobank B.A. – HSI R Bull CBBC Sep 2009 C	108,000,000	2009/03/18	2009/09/29	28.08
62523	CC Rabobank B.A. – HSI R Bear CBBC Sep 2009 D	108,000,000	2009/03/18	2009/09/29	27.00
62513	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC Oct09A	150,000,000	2009/03/18	2009/10/27	37.50
62514	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Oct09A	200,000,000	2009/03/18	2009/10/27	50.00
62515	SGA Societe Generale Acceptance N.V. – HS Bk R Bull CBBC Oct09B	150,000,000	2009/03/18	2009/10/27	37.50
62527	UBS AG – China Life R Bull CBBC Nov 2009 F	300,000,000	2009/03/18	2009/11/16	75.00
62526	UBS AG – CNOOC R Bull CBBC Oct 2009 E	200,000,000	2009/03/18	2009/10/19	50.00
62528	UBS AG – HKEx R Bull CBBC Oct 2009 F	300,000,000	2009/03/18	2009/10/27	75.00
62520	UBS AG – HSCEI R Bull CBBC Oct 2009 A	300,000,000	2009/03/18	2009/10/29	75.00
62524	UBS AG – HSCEI R Bear CBBC Oct 2009 B	300,000,000	2009/03/18	2009/10/29	75.00
62525	UBS AG – HSI R Bear CBBC Nov 2009 P	500,000,000	2009/03/18	2009/11/27	125.00
62529	UBS AG – Petrochina R Bull CBBC Oct 2009 F	300,000,000	2009/03/18	2009/10/19	75.00
62543	ABN AMRO Bank N.V. – A50 China R Bear CBBC Aug 2009 E	100,000,000	2009/03/19	2009/08/07	25.00
62544	ABN AMRO Bank N.V. – A50 China R Bear CBBC Aug 2009 F	100,000,000	2009/03/19	2009/08/07	28.20
62547	ABN AMRO Bank N.V. – HSBC R Bull CBBC Sep 2009 A	80,000,000	2009/03/19	2009/09/18	20.00
62567	ABN AMRO Bank N.V. – HSBC R Bear CBBC Sep 2009 B	80,000,000	2009/03/19	2009/09/18	20.00
62538	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 P	250,000,000	2009/03/19	2009/08/28	62.50
62560	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Nov 2009 C	100,000,000	2009/03/19	2009/11/16	25.00
62563	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Nov 2009 D	100,000,000	2009/03/19	2009/11/16	25.00
62564	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Oct 2009 B	200,000,000	2009/03/19	2009/10/30	50.00
62566	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Oct 2009 E	200,000,000	2009/03/19	2009/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62565	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Nov 2009 D	200,000,000	2009/03/19	2009/11/30	50.00
62568	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Oct 2009 C	200,000,000	2009/03/19	2009/10/30	50.00
62569	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Oct 2009 D	200,000,000	2009/03/19	2009/10/30	50.00
62570	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Nov 2009 F	200,000,000	2009/03/19	2009/11/30	50.00
62561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 U	300,000,000	2009/03/19	2009/12/30	75.00
62562	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 Z	300,000,000	2009/03/19	2009/09/29	75.00
62541	Deutsche Bank AG – HSBC R Bull CBBC Sep 2009 A	150,000,000	2009/03/19	2009/09/21	37.50
62542	Deutsche Bank AG – HSBC R Bull CBBC Sep 2009 B	150,000,000	2009/03/19	2009/09/21	39.00
62545	Deutsche Bank AG – HSBC R Bear CBBC Sep 2009 C	150,000,000	2009/03/19	2009/09/21	39.00
62546	Deutsche Bank AG – HSBC R Bear CBBC Sep 2009 D	150,000,000	2009/03/19	2009/09/21	45.00
62540	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 Y	300,000,000	2009/03/19	2009/11/27	75.00
62555	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Oct 2009 C	138,000,000	2009/03/19	2009/10/08	34.50
62554	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 I	228,000,000	2009/03/19	2009/10/29	61.56
62553	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 Z	188,000,000	2009/03/19	2009/10/29	47.00
62539	SGA Societe Generale Acceptance N.V. – CK(H) R Bear CBBC Oct09B	150,000,000	2009/03/19	2009/10/27	37.50
62548	UBS AG – HSBC R Bull CBBC Oct 2009 G	300,000,000	2009/03/19	2009/10/12	75.00
62549	UBS AG – HSBC R Bull CBBC Oct 2009 H	300,000,000	2009/03/19	2009/10/12	75.00
62550	UBS AG – HSBC R Bull CBBC Oct 2009 I	300,000,000	2009/03/19	2009/10/12	75.00
62551	UBS AG – HSBC R Bull CBBC Oct 2009 J	300,000,000	2009/03/19	2009/10/12	75.00
62552	UBS AG – HSBC R Bull CBBC Oct 2009 K	300,000,000	2009/03/19	2009/10/12	75.00
62556	UBS AG – HSBC R Bear CBBC Oct 2009 L	300,000,000	2009/03/19	2009/10/12	75.00
62557	UBS AG – HSBC R Bear CBBC Oct 2009 M	300,000,000	2009/03/19	2009/10/12	75.00
62558	UBS AG – HSBC R Bear CBBC Oct 2009 N	300,000,000	2009/03/19	2009/10/12	75.00
62559	UBS AG – HSBC R Bear CBBC Oct 2009 O	300,000,000	2009/03/19	2009/10/12	75.00
62596	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 Q	250,000,000	2009/03/20	2009/08/28	62.50
62597	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 P	150,000,000	2009/03/20	2009/08/17	45.00
62601	Deutsche Bank AG – HKEx R Bull CBBC Aug 2009 B	150,000,000	2009/03/20	2009/08/03	37.50
62599	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 B	300,000,000	2009/03/20	2009/11/27	90.00
62600	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 F	300,000,000	2009/03/20	2009/11/27	75.00
62598	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 Z	300,000,000	2009/03/20	2009/11/27	84.00
62602	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 I	300,000,000	2009/03/20	2009/11/27	84.00
62603	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 J	300,000,000	2009/03/20	2009/11/27	99.00
62583	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Oct 09 A	208,000,000	2009/03/20	2009/10/08	52.00
62584	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 W	158,000,000	2009/03/20	2009/08/28	39.50
62582	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 H	188,000,000	2009/03/20	2009/10/29	47.00
62585	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 N	228,000,000	2009/03/20	2009/10/29	57.00
62595	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Y	188,000,000	2009/03/20	2009/08/28	47.00
62587	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 L	188,000,000	2009/03/20	2009/10/29	47.00
62586	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 M	228,000,000	2009/03/20	2009/10/29	57.00
62572	SGA Societe Generale Acceptance N.V. – COVS R Bear CBBC Oct09B	100,000,000	2009/03/20	2009/10/19	44.00
62571	SGA Societe Generale Acceptance N.V. – CCCCL R Bear CBBC Sep09B	100,000,000	2009/03/20	2009/09/28	25.00
62573	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Oct09J	100,000,000	2009/03/20	2009/10/19	33.00
62579	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09E	300,000,000	2009/03/20	2009/10/27	75.00
62580	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09F	300,000,000	2009/03/20	2009/10/27	75.00
62581	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09G	300,000,000	2009/03/20	2009/10/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62574	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC Sep09B	300,000,000	2009/03/20	2009/09/28	75.00
62575	SGA Societe Generale Acceptance NV – PingAn R Bull CBBC Oct09A	200,000,000	2009/03/20	2009/10/27	50.00
62577	SGA Societe Generale Acceptance NV – PingAn R Bear CBBC Sep09A	200,000,000	2009/03/20	2009/09/28	50.00
62576	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC Oct09A	150,000,000	2009/03/20	2009/10/27	37.50
62578	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Oct09A	150,000,000	2009/03/20	2009/10/27	37.50
62591	UBS AG – BankComm R Bull CBBC Oct 2009 C	200,000,000	2009/03/20	2009/10/27	50.00
62592	UBS AG – CM Bank R Bull CBBC Nov 2009 B	200,000,000	2009/03/20	2009/11/23	65.00
62593	UBS AG – HS Bank R Bull CBBC Dec 2009 D	200,000,000	2009/03/20	2009/12/28	50.00
62588	UBS AG – HSI R Bull CBBC Sep 2009 V	500,000,000	2009/03/20	2009/09/29	125.00
62589	UBS AG – HSI R Bull CBBC Sep 2009 W	500,000,000	2009/03/20	2009/09/29	125.00
62590	UBS AG – HSI R Bull CBBC Nov 2009 Q	500,000,000	2009/03/20	2009/11/27	125.00
62594	UBS AG – Ping An R Bull CBBC Oct 2009 D	200,000,000	2009/03/20	2009/10/27	50.00
62645	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 R	250,000,000	2009/03/23	2009/08/28	62.50
62646	ABN AMRO Bank N.V. – HSI R Bull CBBC Aug 2009 S	250,000,000	2009/03/23	2009/08/28	62.50
62650	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 T	250,000,000	2009/03/23	2009/08/28	62.50
62651	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 U	250,000,000	2009/03/23	2009/08/28	62.50
62652	ABN AMRO Bank N.V. – HSI R Bear CBBC Aug 2009 V	250,000,000	2009/03/23	2009/08/28	62.50
62641	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 S	150,000,000	2009/03/23	2009/08/31	52.50
62642	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 T	150,000,000	2009/03/23	2009/08/31	37.50
62618	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 Q	150,000,000	2009/03/23	2009/08/17	112.50
62619	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 R	150,000,000	2009/03/23	2009/08/17	142.50
62643	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 V	150,000,000	2009/03/23	2009/08/31	165.00
62620	Deutsche Bank AG – CNOOC R Bull CBBC Aug 2009 D	150,000,000	2009/03/23	2009/08/17	37.50
62644	Deutsche Bank AG – HKEx R Bull CBBC Aug 2009 C	150,000,000	2009/03/23	2009/08/03	37.50
62637	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 A	300,000,000	2009/03/23	2009/09/29	75.00
62636	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 X	300,000,000	2009/03/23	2009/09/29	84.00
62616	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 C	300,000,000	2009/03/23	2009/11/27	84.00
62615	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 K	300,000,000	2009/03/23	2009/11/27	84.00
62617	Deutsche Bank AG – HSI R Bull CBBC Nov 2009 T	300,000,000	2009/03/23	2009/11/27	75.00
62639	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 B	300,000,000	2009/03/23	2009/09/29	120.00
62640	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 E	300,000,000	2009/03/23	2009/09/29	126.00
62638	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 R	300,000,000	2009/03/23	2009/09/29	114.00
62622	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 O	300,000,000	2009/03/23	2009/11/27	99.00
62621	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 U	300,000,000	2009/03/23	2009/11/27	90.00
62623	Deutsche Bank AG – Petrochina R Bull CBBC Aug 2009 C	150,000,000	2009/03/23	2009/08/10	37.50
62632	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 O	188,000,000	2009/03/23	2009/08/28	48.88
62633	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 W	158,000,000	2009/03/23	2009/09/29	39.50
62634	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 R	228,000,000	2009/03/23	2009/10/29	57.00
62635	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 S	228,000,000	2009/03/23	2009/10/29	57.00
62612	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 O	188,000,000	2009/03/23	2009/09/29	47.00
62624	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 T	188,000,000	2009/03/23	2009/09/29	48.88
62613	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 Q	228,000,000	2009/03/23	2009/10/29	57.00
62614	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Nov09C	100,000,000	2009/03/23	2009/11/30	37.00
62604	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09O	300,000,000	2009/03/23	2009/09/29	75.00
62605	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09P	300,000,000	2009/03/23	2009/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62606	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09Q	300,000,000	2009/03/23	2009/09/29	75.00
62607	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09R	300,000,000	2009/03/23	2009/09/29	75.00
62626	UBS AG – China Mobile R Bull CBBC Oct 2009 E	300,000,000	2009/03/23	2009/10/27	75.00
62625	UBS AG – China Life R Bull CBBC Oct 2009 P	300,000,000	2009/03/23	2009/10/19	87.00
62627	UBS AG – HSBC R Bull CBBC Oct 2009 P	300,000,000	2009/03/23	2009/10/27	75.00
62608	UBS AG – HSCEI R Bull CBBC Oct 2009 C	300,000,000	2009/03/23	2009/10/29	75.00
62631	UBS AG – HSCEI R Bull CBBC Oct 2009 D	300,000,000	2009/03/23	2009/10/29	75.00
62609	UBS AG – HSI R Bull CBBC Sep 2009 M	500,000,000	2009/03/23	2009/09/29	125.00
62610	UBS AG – HSI R Bull CBBC Oct 2009 E	500,000,000	2009/03/23	2009/10/29	125.00
62611	UBS AG – HSI R Bull CBBC Oct 2009 L	500,000,000	2009/03/23	2009/10/29	125.00
62628	UBS AG – HSI R Bull CBBC Nov 2009 M	500,000,000	2009/03/23	2009/11/27	125.00
62629	UBS AG – HSI R Bear CBBC Sep 2009 R	500,000,000	2009/03/23	2009/09/29	125.00
62630	UBS AG – HSI R Bear CBBC Oct 2009 A	500,000,000	2009/03/23	2009/10/29	125.00
62716	BNP Paribas Arbit Issu B.V. – CC Bank R Bull CBBC Nov 2009 A	100,000,000	2009/03/24	2009/11/30	25.00
62720	BNP Paribas Arbit Issu B.V. – CC Bank R Bear CBBC Nov 2009 B	100,000,000	2009/03/24	2009/11/30	25.00
62670	BNP Paribas Arbit Issu B.V. – Ch Life R Bull CBBC Oct 2009 D	100,000,000	2009/03/24	2009/10/30	53.00
62671	BNP Paribas Arbit Issu B.V. – Ch Life R Bull CBBC Nov 2009 B	100,000,000	2009/03/24	2009/11/30	33.00
62674	BNP Paribas Arbit Issu B.V. – Ch Life R Bear CBBC Oct 2009 E	100,000,000	2009/03/24	2009/10/30	28.00
62669	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Nov 2009 A	100,000,000	2009/03/24	2009/11/30	25.00
62673	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Nov 2009 B	100,000,000	2009/03/24	2009/11/30	25.00
62672	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Nov 2009 E	200,000,000	2009/03/24	2009/11/30	50.00
62718	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Dec 2009 C	200,000,000	2009/03/24	2009/12/31	50.00
62676	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 S	300,000,000	2009/03/24	2009/11/27	75.00
62677	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 T	300,000,000	2009/03/24	2009/11/27	75.00
62678	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 V	300,000,000	2009/03/24	2009/12/30	75.00
62717	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 W	300,000,000	2009/03/24	2009/12/30	75.00
62675	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 C	300,000,000	2009/03/24	2009/09/29	75.00
62722	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 W	150,000,000	2009/03/24	2009/08/10	37.50
62724	Deutsche Bank AG – HKEx R Bear CBBC Aug 2009 D	150,000,000	2009/03/24	2009/08/03	37.50
62721	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 D	300,000,000	2009/03/24	2009/10/29	75.00
62719	Deutsche Bank AG – HSI R Bull CBBC Oct 2009 U	300,000,000	2009/03/24	2009/10/29	84.00
62723	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 A	300,000,000	2009/03/24	2009/10/29	114.00
62649	HK Bank – HSI R Bull CBBC Oct 2009 B	600,000,000	2009/03/24	2009/10/29	150.00
62654	HK Bank – HSI R Bull CBBC Oct 2009 C	600,000,000	2009/03/24	2009/10/29	150.00
62655	HK Bank – HSI R Bull CBBC Oct 2009 D	600,000,000	2009/03/24	2009/10/29	150.00
62694	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Aug 09F	168,000,000	2009/03/24	2009/08/14	68.88
62695	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Sep 09F	168,000,000	2009/03/24	2009/09/01	85.68
62696	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Oct 09B	148,000,000	2009/03/24	2009/10/09	96.20
62693	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Sep 09B	208,000,000	2009/03/24	2009/09/10	52.00
62697	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC Aug 2009 D	328,000,000	2009/03/24	2009/08/03	82.00
62698	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC Oct 2009 A	328,000,000	2009/03/24	2009/10/15	98.40
62702	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Sep 2009 C	258,000,000	2009/03/24	2009/09/15	98.04
62701	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Oct 2009 B	328,000,000	2009/03/24	2009/10/02	98.40
62699	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Oct 2009 D	138,000,000	2009/03/24	2009/10/15	34.50
62700	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Nov 2009 B	138,000,000	2009/03/24	2009/11/03	34.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62703	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Oct 2009 E	138,000,000	2009/03/24	2009/10/08	40.02
62704	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Nov 2009 C	138,000,000	2009/03/24	2009/11/02	34.50
62683	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 T	158,000,000	2009/03/24	2009/10/29	50.56
62684	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 H	188,000,000	2009/03/24	2009/11/27	58.28
62685	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 I	188,000,000	2009/03/24	2009/11/27	52.64
62686	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 J	188,000,000	2009/03/24	2009/11/27	52.64
62687	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 K	158,000,000	2009/03/24	2009/11/27	41.08
62688	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2009 L	158,000,000	2009/03/24	2009/11/27	44.24
62682	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 V	158,000,000	2009/03/24	2009/09/29	39.50
62689	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 U	188,000,000	2009/03/24	2009/10/29	50.76
62690	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2009 M	188,000,000	2009/03/24	2009/11/27	60.16
62691	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2009 N	188,000,000	2009/03/24	2009/11/27	50.76
62647	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2009 G	150,000,000	2009/03/24	2009/09/29	37.50
62656	SGA Societe Generale Acceptance N.V. – BOCOM R Bear CBBC Oct09A	200,000,000	2009/03/24	2009/10/27	50.00
62662	SGA Societe Generale Acceptance N.V. – COVS R Bear CBBC Oct09C	100,000,000	2009/03/24	2009/10/27	42.00
62663	SGA Societe Generale Acceptance N.V. – CUni R Bull CBBC Oct09A	100,000,000	2009/03/24	2009/10/27	26.00
62661	SGA Societe Generale Acceptance N.V. – CCHC R Bull CBBC Oct09A	150,000,000	2009/03/24	2009/10/27	37.50
62660	SGA Societe Generale Acceptance N.V. – CCoal R Bear CBBC Oct09A	200,000,000	2009/03/24	2009/10/27	50.00
62657	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Nov09D	100,000,000	2009/03/24	2009/11/30	37.00
62658	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Nov09E	100,000,000	2009/03/24	2009/11/30	39.00
62659	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Nov09F	100,000,000	2009/03/24	2009/11/30	50.00
62692	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Nov09A	200,000,000	2009/03/24	2009/11/30	50.00
62667	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Sep09M	200,000,000	2009/03/24	2009/09/29	50.00
62664	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct09H	200,000,000	2009/03/24	2009/10/23	50.00
62665	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Oct09I	200,000,000	2009/03/24	2009/10/23	50.00
62666	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Oct09J	200,000,000	2009/03/24	2009/10/23	50.00
62648	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09S	300,000,000	2009/03/24	2009/09/29	75.00
62653	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09T	300,000,000	2009/03/24	2009/09/29	75.00
62668	SGA Societe Generale Acceptance NV – PingAn R Bear CBBC Oct09B	200,000,000	2009/03/24	2009/10/23	50.00
62705	UBS AG – BankComm R Bull CBBC Oct 2009 D	200,000,000	2009/03/24	2009/10/27	50.00
62707	UBS AG – CC Bank R Bull CBBC Dec 2009 A	200,000,000	2009/03/24	2009/12/14	50.00
62706	UBS AG – CCCCL R Bull CBBC Nov 2009 A	200,000,000	2009/03/24	2009/11/23	52.00
62709	UBS AG – CNOOC R Bull CBBC Oct 2009 F	200,000,000	2009/03/24	2009/10/19	50.00
62708	UBS AG – Sinopec Corp R Bull CBBC Oct 2009 B	200,000,000	2009/03/24	2009/10/27	50.00
62710	UBS AG – HKEx R Bull CBBC Oct 2009 G	300,000,000	2009/03/24	2009/10/27	75.00
62711	UBS AG – HSBC R Bull CBBC Oct 2009 Q	300,000,000	2009/03/24	2009/10/12	75.00
62679	UBS AG – HSCEI R Bull CBBC Oct 2009 E	300,000,000	2009/03/24	2009/10/29	75.00
62714	UBS AG – HSCEI R Bear CBBC Oct 2009 F	300,000,000	2009/03/24	2009/10/29	75.00
62680	UBS AG – HSI R Bull CBBC Nov 2009 E	500,000,000	2009/03/24	2009/11/27	125.00
62681	UBS AG – HSI R Bull CBBC Nov 2009 F	500,000,000	2009/03/24	2009/11/27	125.00
62715	UBS AG – HSI R Bear CBBC Oct 2009 H	500,000,000	2009/03/24	2009/10/29	125.00
62712	UBS AG – ICBC R Bull CBBC Nov 2009 A	200,000,000	2009/03/24	2009/11/23	50.00
62713	UBS AG – SHK Ppt R Bull CBBC Oct 2009 D	200,000,000	2009/03/24	2009/10/27	50.00
62755	Deutsche Bank AG – CC Bank R Bull CBBC Aug 2009 E	150,000,000	2009/03/25	2009/08/03	37.50
62757	Deutsche Bank AG – HSBC R Bull CBBC Sep 2009 E	150,000,000	2009/03/25	2009/09/14	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62756	Deutsche Bank AG – HSI R Bear CBBC Oct 2009 H	300,000,000	2009/03/25	2009/10/29	75.00
62741	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2009 D	200,000,000	2009/03/25	2009/09/29	50.00
62742	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2009 E	200,000,000	2009/03/25	2009/09/29	50.00
62743	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2009 F	200,000,000	2009/03/25	2009/09/29	50.00
62747	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2009 G	200,000,000	2009/03/25	2009/09/29	50.00
62748	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2009 H	200,000,000	2009/03/25	2009/09/29	58.00
62737	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Sep 2009 G	168,000,000	2009/03/25	2009/09/18	72.24
62733	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Sep 2009 D	268,000,000	2009/03/25	2009/09/29	67.00
62734	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Sep 2009 E	268,000,000	2009/03/25	2009/09/29	67.00
62735	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Oct 2009 D	268,000,000	2009/03/25	2009/10/29	69.68
62736	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Oct 2009 E	268,000,000	2009/03/25	2009/10/29	67.00
62738	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Sep 2009 F	268,000,000	2009/03/25	2009/09/29	67.00
62739	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Oct 2009 F	238,000,000	2009/03/25	2009/10/29	95.20
62740	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Dec 2009 B	208,000,000	2009/03/25	2009/12/30	89.44
62725	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2009 C	150,000,000	2009/03/25	2009/12/30	37.50
62726	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2009 H	150,000,000	2009/03/25	2009/09/29	37.50
62729	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec09A	200,000,000	2009/03/25	2009/12/21	56.00
62730	SGA Societe Generale Acceptance N.V. – CUni R Bear CBBC Dec09A	100,000,000	2009/03/25	2009/12/21	44.00
62727	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Nov09A	200,000,000	2009/03/25	2009/11/30	50.00
62728	SGA Societe Generale Acceptance N.V. – CCHC R Bear CBBC Dec09A	100,000,000	2009/03/25	2009/12/21	27.00
62731	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Oct09I	200,000,000	2009/03/25	2009/10/05	50.00
62732	SGA Societe Generale Acceptance N.V. – TCH R Bear CBBC Dec09A	100,000,000	2009/03/25	2009/12/21	30.00
62744	UBS AG – HSBC R Bull CBBC Oct 2009 R	300,000,000	2009/03/25	2009/10/27	75.00
62745	UBS AG – HSI R Bull CBBC Jul 2009 A	500,000,000	2009/03/25	2009/07/30	125.00
62746	UBS AG – HSI R Bull CBBC Jul 2009 B	500,000,000	2009/03/25	2009/07/30	125.00
62749	UBS AG – HSI R Bull CBBC Jul 2009 C	500,000,000	2009/03/25	2009/07/30	125.00
62750	UBS AG – HSI R Bull CBBC Jul 2009 D	500,000,000	2009/03/25	2009/07/30	125.00
62751	UBS AG – HSI R Bull CBBC Jul 2009 H	500,000,000	2009/03/25	2009/07/30	125.00
62752	UBS AG – HSI R Bull CBBC Jul 2009 I	500,000,000	2009/03/25	2009/07/30	125.00
62753	UBS AG – HSI R Bull CBBC Jul 2009 J	500,000,000	2009/03/25	2009/07/30	125.00
62754	UBS AG – HSI R Bull CBBC Jul 2009 L	500,000,000	2009/03/25	2009/07/30	125.00
62758	UBS AG – HSI R Bear CBBC Jul 2009 M	500,000,000	2009/03/25	2009/07/30	125.00
62759	UBS AG – HSI R Bear CBBC Jul 2009 N	500,000,000	2009/03/25	2009/07/30	125.00
62760	UBS AG – HSI R Bear CBBC Jul 2009 T	500,000,000	2009/03/25	2009/07/30	125.00
62761	UBS AG – HSI R Bear CBBC Jul 2009 U	500,000,000	2009/03/25	2009/07/30	125.00
62762	UBS AG – HSI R Bear CBBC Jul 2009 V	500,000,000	2009/03/25	2009/07/30	125.00
62763	UBS AG – HSI R Bear CBBC Jul 2009 W	500,000,000	2009/03/25	2009/07/30	125.00
62764	UBS AG – HSI R Bear CBBC Jul 2009 X	500,000,000	2009/03/25	2009/07/30	125.00
62765	ABN AMRO Bank N.V. – DJIA R Bull CBBC Sep 2009 E	80,000,000	2009/03/26	2009/09/18	45.68
62769	ABN AMRO Bank N.V. – DJIA R Bear CBBC Sep 2009 F	80,000,000	2009/03/26	2009/09/18	37.36
62770	ABN AMRO Bank N.V. – DJIA R Bear CBBC Sep 2009 G	80,000,000	2009/03/26	2009/09/18	56.16
62778	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 B	300,000,000	2009/03/26	2009/09/29	75.00
62779	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 E	300,000,000	2009/03/26	2009/09/29	75.00
62767	HK Bank – HSI R Bull CBBC Oct 2009 E	380,000,000	2009/03/26	2009/10/29	95.00
62768	HK Bank – HSI R Bull CBBC Dec 2009 A	380,000,000	2009/03/26	2009/12/30	95.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62772	HK Bank – HSI R Bull CBBC Dec 2009 B	380,000,000	2009/03/26	2009/12/30	95.00
62773	HK Bank – HSI R Bear CBBC Oct 2009 F	380,000,000	2009/03/26	2009/10/29	95.00
62785	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 R	158,000,000	2009/03/26	2009/07/30	39.50
62786	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 S	158,000,000	2009/03/26	2009/07/30	39.50
62790	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2009 T	158,000,000	2009/03/26	2009/07/30	50.56
62791	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2009 U	158,000,000	2009/03/26	2009/07/30	61.62
62766	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep09U	300,000,000	2009/03/26	2009/09/29	75.00
62771	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09V	300,000,000	2009/03/26	2009/09/29	75.00
62780	UBS AG – CC Bank R Bear CBBC Dec 2009 B	200,000,000	2009/03/26	2009/12/14	50.00
62781	UBS AG – China Life R Bull CBBC Oct 2009 Q	300,000,000	2009/03/26	2009/10/19	75.00
62782	UBS AG – A50 China R Bull CBBC Nov 2009 J	300,000,000	2009/03/26	2009/11/23	75.00
62774	UBS AG – HSI R Bull CBBC Nov 2009 L	500,000,000	2009/03/26	2009/11/27	125.00
62775	UBS AG – HSI R Bull CBBC Nov 2009 O	500,000,000	2009/03/26	2009/11/27	125.00
62776	UBS AG – HSI R Bull CBBC Nov 2009 R	500,000,000	2009/03/26	2009/11/27	125.00
62777	UBS AG – HSI R Bull CBBC Nov 2009 T	500,000,000	2009/03/26	2009/11/27	125.00
62783	UBS AG – Ping An R Bear CBBC Oct 2009 E	200,000,000	2009/03/26	2009/10/27	50.00
62784	UBS AG – Ping An R Bear CBBC Oct 2009 F	200,000,000	2009/03/26	2009/10/27	50.00
62802	Deutsche Bank AG – HSBC R Bear CBBC Sep 2009 F	150,000,000	2009/03/27	2009/09/14	37.50
62801	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 G	300,000,000	2009/03/27	2009/11/27	75.00
62798	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 P	300,000,000	2009/03/27	2009/11/27	75.00
62800	Deutsche Bank AG – HSI R Bear CBBC Nov 2009 S	300,000,000	2009/03/27	2009/11/27	75.00
62792	CC Rabobank B.A. – A50 China R Bull CBBC Nov 2009 A	120,000,000	2009/03/27	2009/11/27	30.00
62796	CC Rabobank B.A. – A50 China R Bear CBBC Nov 2009 B	120,000,000	2009/03/27	2009/11/27	43.20
62793	CC Rabobank B.A. – HSCEI R Bull CBBC Dec 2009 C	200,000,000	2009/03/27	2009/12/30	50.00
62797	CC Rabobank B.A. – HSCEI R Bear CBBC Dec 2009 D	200,000,000	2009/03/27	2009/12/30	50.00
62794	CC Rabobank B.A. – HSI R Bull CBBC Oct 2009 B	108,000,000	2009/03/27	2009/10/29	27.00
62795	CC Rabobank B.A. – HSI R Bull CBBC Oct 2009 C	108,000,000	2009/03/27	2009/10/29	27.00
62787	SGA Societe Generale Acceptance N.V. – CRail R Bear CBBC Dec09B	200,000,000	2009/03/27	2009/12/30	50.00
62789	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Oct09B	200,000,000	2009/03/27	2009/10/23	50.00
62788	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Sep09N	200,000,000	2009/03/27	2009/09/29	50.00
62799	UBS AG – China Mobile R Bear CBBC Nov 2009 A	300,000,000	2009/03/27	2009/11/16	75.00
62842	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 U	150,000,000	2009/03/30	2009/08/31	37.50
62843	Deutsche Bank AG – CNOOC R Bull CBBC Aug 2009 E	150,000,000	2009/03/30	2009/08/17	37.50
62821	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 A	300,000,000	2009/03/30	2009/12/30	81.00
62822	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 B	300,000,000	2009/03/30	2009/12/30	75.00
62844	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 C	300,000,000	2009/03/30	2009/12/30	81.00
62845	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 D	300,000,000	2009/03/30	2009/12/30	75.00
62846	Deutsche Bank AG – HSI R Bear CBBC Dec 2009 E	300,000,000	2009/03/30	2009/12/30	114.00
62847	Deutsche Bank AG – HSI R Bear CBBC Dec 2009 F	300,000,000	2009/03/30	2009/12/30	126.00
62848	Deutsche Bank AG – HSI R Bear CBBC Dec 2009 G	300,000,000	2009/03/30	2009/12/30	144.00
62841	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2009 B	200,000,000	2009/03/30	2009/10/29	50.00
62839	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Sep 09H	168,000,000	2009/03/30	2009/09/10	67.20
62840	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Oct 2009 C	168,000,000	2009/03/30	2009/10/02	70.56
62838	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Oct 09 B	208,000,000	2009/03/30	2009/10/16	52.00
62836	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 V	188,000,000	2009/03/30	2009/08/28	47.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62837	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 Y	158,000,000	2009/03/30	2009/08/28	39.50
62823	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 Z	158,000,000	2009/03/30	2009/08/28	39.50
62824	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 N	188,000,000	2009/03/30	2009/09/29	47.00
62803	SGA Societe Generale Acceptance N.V. – BOCL R Bull CBBC Oct09B	200,000,000	2009/03/30	2009/10/30	50.00
62808	SGA Societe Generale Acceptance N.V. – COVS R Bull CBBC Oct09D	100,000,000	2009/03/30	2009/10/27	36.00
62809	SGA Societe Generale Acceptance N.V. – CTel R Bull CBBC Oct09A	150,000,000	2009/03/30	2009/10/27	37.50
62805	SGA Societe Generale Acceptance N.V. – CCCCL R Bull CBBC Sep09C	100,000,000	2009/03/30	2009/09/28	25.00
62806	SGA Societe Generale Acceptance N.V. – CCoal R Bull CBBC Oct09B	200,000,000	2009/03/30	2009/10/27	50.00
62804	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC Oct09C	150,000,000	2009/03/30	2009/10/27	37.50
62807	SGA Societe Generale Acceptance N.V. – CMB R Bull CBBC Oct09A	100,000,000	2009/03/30	2009/10/27	42.00
62810	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Sep09A	200,000,000	2009/03/30	2009/09/30	50.00
62813	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct09A	200,000,000	2009/03/30	2009/10/29	50.00
62814	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct09B	200,000,000	2009/03/30	2009/10/29	50.00
62815	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct09C	200,000,000	2009/03/30	2009/10/29	50.00
62816	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct09D	200,000,000	2009/03/30	2009/10/29	50.00
62817	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Oct09E	200,000,000	2009/03/30	2009/10/29	50.00
62818	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Oct09F	200,000,000	2009/03/30	2009/10/29	50.00
62819	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Oct09G	200,000,000	2009/03/30	2009/10/29	50.00
62820	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Oct09H	200,000,000	2009/03/30	2009/10/29	50.00
62811	SGA Societe Generale Acceptance NV – PingAn R Bull CBBC Oct09C	200,000,000	2009/03/30	2009/10/23	50.00
62812	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Dec09B	150,000,000	2009/03/30	2009/12/21	37.50
62831	UBS AG – China Mobile R Bull CBBC Nov 2009 B	300,000,000	2009/03/30	2009/11/16	75.00
62830	UBS AG – Cheung Kong R Bull CBBC Nov 2009 F	200,000,000	2009/03/30	2009/11/16	50.00
62833	UBS AG – CNOOC R Bull CBBC Oct 2009 G	200,000,000	2009/03/30	2009/10/19	50.00
62832	UBS AG – China Shenhua R Bull CBBC Oct 2009 D	200,000,000	2009/03/30	2009/10/27	70.00
62826	UBS AG – HSI R Bull CBBC Jul 2009 K	500,000,000	2009/03/30	2009/07/30	125.00
62827	UBS AG – HSI R Bull CBBC Jul 2009 R	500,000,000	2009/03/30	2009/07/30	125.00
62829	UBS AG – HSI R Bull CBBC Oct 2009 A	500,000,000	2009/03/30	2009/10/29	125.00
62828	UBS AG – HSI R Bull CBBC Oct 2009 Z	500,000,000	2009/03/30	2009/10/29	125.00
62825	UBS AG – HSI R Bear CBBC Sep 2009 R	500,000,000	2009/03/30	2009/09/29	125.00
62834	UBS AG – Petrochina R Bull CBBC Oct 2009 G	300,000,000	2009/03/30	2009/10/19	75.00
62835	UBS AG – SHK Ppt R Bull CBBC Oct 2009 E	200,000,000	2009/03/30	2009/10/27	50.00
61952 [#]	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep09B	200,000,000	2009/03/30	2009/09/29	21.80
62925	ABN AMRO Bank N.V. – HSI R Bull CBBC Oct 2009 A	250,000,000	2009/03/31	2009/10/29	62.50
62926	ABN AMRO Bank N.V. – HSI R Bull CBBC Oct 2009 B	250,000,000	2009/03/31	2009/10/29	62.50
62927	ABN AMRO Bank N.V. – HSI R Bull CBBC Oct 2009 C	250,000,000	2009/03/31	2009/10/29	62.50
62849	ABN AMRO Bank N.V. – Nikkei 225 R Bull CBBC Sep 2009 B	80,000,000	2009/03/31	2009/09/11	20.08
62853	ABN AMRO Bank N.V. – Nikkei 225 R Bear CBBC Sep 2009 C	80,000,000	2009/03/31	2009/09/11	20.00
62854	ABN AMRO Bank N.V. – Nikkei 225 R Bear CBBC Sep 2009 D	80,000,000	2009/03/31	2009/09/11	20.16
62877	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 U	300,000,000	2009/03/31	2009/11/27	75.00
62878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 V	300,000,000	2009/03/31	2009/11/27	75.00
62879	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 W	300,000,000	2009/03/31	2009/11/27	75.00
62891	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 I	150,000,000	2009/03/31	2009/08/31	108.00
62892	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 J	150,000,000	2009/03/31	2009/08/31	168.00
62893	Deutsche Bank AG – Sinopec Corp R Bull CBBC Aug 2009 F	150,000,000	2009/03/31	2009/08/10	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62895	Deutsche Bank AG – A50 China R Bull CBBC Aug 2009 F	150,000,000	2009/03/31	2009/08/10	37.50
62896	Deutsche Bank AG – A50 China R Bull CBBC Aug 2009 G	150,000,000	2009/03/31	2009/08/10	37.50
62924	Deutsche Bank AG – A50 China R Bear CBBC Aug 2009 H	150,000,000	2009/03/31	2009/08/10	60.00
62894	Deutsche Bank AG – HKEx R Bull CBBC Aug 2009 E	150,000,000	2009/03/31	2009/08/03	37.50
62923	Deutsche Bank AG – HSBC R Bull CBBC Sep 2009 G	150,000,000	2009/03/31	2009/09/14	37.50
62888	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 H	300,000,000	2009/03/31	2009/12/30	75.00
62920	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 K	300,000,000	2009/03/31	2009/12/30	84.00
62921	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 L	300,000,000	2009/03/31	2009/12/30	75.00
62922	Deutsche Bank AG – HSI R Bull CBBC Dec 2009 M	300,000,000	2009/03/31	2009/12/30	75.00
62889	Deutsche Bank AG – HSI R Bear CBBC Dec 2009 I	300,000,000	2009/03/31	2009/12/30	90.00
62890	Deutsche Bank AG – HSI R Bear CBBC Dec 2009 J	300,000,000	2009/03/31	2009/12/30	105.00
62897	Deutsche Bank AG – Petrochina R Bull CBBC Aug 2009 D	150,000,000	2009/03/31	2009/08/10	37.50
62850	HK Bank – HSI R Bull CBBC Dec 2009 C	380,000,000	2009/03/31	2009/12/30	95.00
62851	HK Bank – HSI R Bull CBBC Dec 2009 D	380,000,000	2009/03/31	2009/12/30	95.00
62855	HK Bank – HSI R Bear CBBC Dec 2009 E	380,000,000	2009/03/31	2009/12/30	95.00
62886	KBC Fin Products Int'l Ltd. – CC Bank R Bear CBBC Oct 2009 A	218,000,000	2009/03/31	2009/10/08	54.50
62882	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Aug 2009 B	208,000,000	2009/03/31	2009/08/03	60.32
62883	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Aug 2009 C	208,000,000	2009/03/31	2009/08/12	52.00
62884	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Oct 2009 A	208,000,000	2009/03/31	2009/10/08	56.16
62887	KBC Fin Products Int'l Ltd. – CP&CC R Bear CBBC Aug 2009 D	208,000,000	2009/03/31	2009/08/05	52.00
62885	KBC Fin Products Int'l Ltd. – CP&CC R Bear CBBC Sep 2009 A	208,000,000	2009/03/31	2009/09/01	74.88
62911	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 V	158,000,000	2009/03/31	2009/09/29	39.50
62913	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 L	188,000,000	2009/03/31	2009/10/29	47.00
62912	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2009 M	188,000,000	2009/03/31	2009/10/29	47.00
62898	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 P	158,000,000	2009/03/31	2009/08/28	52.14
62880	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 T	188,000,000	2009/03/31	2009/08/28	47.00
62881	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 O	158,000,000	2009/03/31	2009/09/29	50.56
62899	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 T	158,000,000	2009/03/31	2009/09/29	66.36
62900	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 Z	158,000,000	2009/03/31	2009/10/29	79.00
62852	Macquarie Bank Ltd. – SHK Ppt R Bull CBBC Dec 2009 A	100,000,000	2009/03/31	2009/12/30	25.00
62856	SGA Societe Generale Acceptance N.V. – BOCOM R Bull CBBC Nov09A	200,000,000	2009/03/31	2009/11/30	50.00
62860	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Nov09B	200,000,000	2009/03/31	2009/11/30	50.00
62864	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Nov09C	200,000,000	2009/03/31	2009/11/30	50.00
62859	SGA Societe Generale Acceptance N.V. – CCCCL R Bear CBBC Sep09D	100,000,000	2009/03/31	2009/09/28	29.00
62858	SGA Societe Generale Acceptance N.V. – CCoal R Bear CBBC Oct09C	100,000,000	2009/03/31	2009/10/27	25.00
62857	SGA Societe Generale Acceptance N.V. – CK(H) R Bear CBBC Oct09D	150,000,000	2009/03/31	2009/10/27	37.50
62861	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Nov09G	100,000,000	2009/03/31	2009/11/30	25.00
62862	SGA Societe Generale Acceptance N.V. – CRail R Bull CBBC Nov09A	200,000,000	2009/03/31	2009/11/30	50.00
62865	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct09A	300,000,000	2009/03/31	2009/10/29	75.00
62866	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct09B	300,000,000	2009/03/31	2009/10/29	75.00
62867	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct09C	300,000,000	2009/03/31	2009/10/29	75.00
62868	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct09D	300,000,000	2009/03/31	2009/10/29	75.00
62869	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct09E	300,000,000	2009/03/31	2009/10/29	75.00
62863	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Sep09C	200,000,000	2009/03/31	2009/09/28	50.00
62914	UBS AG – Cheung Kong R Bull CBBC Nov 2009 G	200,000,000	2009/03/31	2009/11/16	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62905	UBS AG – China Life R Bull CBBC Oct 2009 R	300,000,000	2009/03/31	2009/10/19	75.00
62906	UBS AG – CNOOC R Bull CBBC Oct 2009 H	200,000,000	2009/03/31	2009/10/19	50.00
62910	UBS AG – A50 China R Bull CBBC Nov 2009 K	300,000,000	2009/03/31	2009/11/23	75.00
62907	UBS AG – HKEx R Bull CBBC Oct 2009 H	300,000,000	2009/03/31	2009/10/27	75.00
62915	UBS AG – HS Bank R Bull CBBC Dec 2009 E	200,000,000	2009/03/31	2009/12/14	50.00
62908	UBS AG – HSBC R Bull CBBC Oct 2009 S	300,000,000	2009/03/31	2009/10/19	75.00
62870	UBS AG – HSCEI R Bull CBBC Oct 2009 G	300,000,000	2009/03/31	2009/10/29	75.00
62876	UBS AG – HSCEI R Bear CBBC Oct 2009 H	300,000,000	2009/03/31	2009/10/29	75.00
62918	UBS AG – HSI R Bull CBBC Jul 2009 S	500,000,000	2009/03/31	2009/07/30	125.00
62919	UBS AG – HSI R Bull CBBC Oct 2009 H	500,000,000	2009/03/31	2009/10/29	125.00
62902	UBS AG – HSI R Bull CBBC Nov 2009 P	500,000,000	2009/03/31	2009/11/27	125.00
62873	UBS AG – HSI R Bull CBBC Nov 2009 S	500,000,000	2009/03/31	2009/11/27	125.00
62871	UBS AG – HSI R Bull CBBC Nov 2009 U	500,000,000	2009/03/31	2009/11/27	125.00
62901	UBS AG – HSI R Bull CBBC Nov 2009 V	500,000,000	2009/03/31	2009/11/27	125.00
62903	UBS AG – HSI R Bull CBBC Nov 2009 W	500,000,000	2009/03/31	2009/11/27	125.00
62872	UBS AG – HSI R Bull CBBC Nov 2009 Y	500,000,000	2009/03/31	2009/11/27	125.00
62904	UBS AG – HSI R Bear CBBC Sep 2009 X	500,000,000	2009/03/31	2009/09/29	125.00
62875	UBS AG – HSI R Bear CBBC Oct 2009 F	500,000,000	2009/03/31	2009/10/29	125.00
62874	UBS AG – HSI R Bear CBBC Oct 2009 R	500,000,000	2009/03/31	2009/10/29	125.00
62916	UBS AG – Hutchison R Bull CBBC Nov 2009 A	200,000,000	2009/03/31	2009/11/16	50.00
62909	UBS AG – Ping An R Bull CBBC Oct 2009 G	200,000,000	2009/03/31	2009/10/27	50.00
62917	UBS AG – SHK Ppt R Bull CBBC Oct 2009 F	200,000,000	2009/03/31	2009/10/27	50.00
總額					129,192.54

股票掛鉤票據／非上市可交易股份交易統計

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2008	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—
2009	第1季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2008	第1季	7	0.41
	第2季	7	0.57
	第3季	7	0.38
	第4季	7	0.17
2009	第1季	7	0.42

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2008	第1季	2	0.00
	第2季	2	0.00
	第3季	2	0.00
	第4季	2	0.00
2009	第1季	2	0.00

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2008	第1季	60,007.62	21,381.98	530,401
	第2季	53,824.67	17,443.28	476,011
	第3季	27,661.67	9,188.57	240,766
	第4季	19,999.04	4,070.24	147,424
2009	第1季	23,051.11	4,192.12	153,505

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2008	第1季	60	356.37	40,313
	第2季	61	285.96	36,645
	第3季	62	148.20	38,164
	第4季	62	65.65	27,609
2009	第1季	60	69.87	27,309

20種升幅最大之創業板股份

2009年第1季

順次	股份代號	股份	收市價		升幅
			2009年3月底	2008年12月底	
1	08116	優能數碼	0.081	0.031	161.29
2	08103	泰盛國際控股	0.350	0.140	150.00
3	08153	中國3C集團	0.250	0.120	108.33
4	08118	國際娛樂	1.490	0.760	96.05
5	08231	復旦張江	0.500	0.280	78.57
6	08079	變靚D控股	0.275	0.155 A	77.42
7	08150	東光集團	1.060	0.610	73.77
8	08112	弘海有限公司	0.550	0.320	71.88
9	08161	華彩控股	0.210	0.125	68.00
10	08047	中國掌付	0.206	0.130	58.46
11	08032	大中華科技	0.038	0.024	58.33
12	08171	中國趨勢	0.117	0.077	51.95
13	08290	天津天聯公用	0.620	0.410	51.22
14	08279	亞博科技控股	0.148	0.100	48.00
15	08069	同仁堂科技	9.090	6.300	44.29
16	08102	上海復旦	0.470	0.330	42.42
17	08065	中國海景	0.320	0.225	42.22
18	08046	恒芯中國	0.455	0.320	42.19
19	08306	中國有色金屬	0.540	0.385	40.26
20	08236	寶德科技集團	0.140	0.100	40.00

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2009年第1季

順次	股份代號	股份	收市價		升幅
			2009年3月底	2008年12月底	
1	08053	中國電力科技	0.152	0.393 A	-61.32
2	08167	天下媒體	0.075	0.189	-60.32
3	08156	眾彩股份	0.237	0.520	-54.42
4	08130	采藝多媒體	0.020	0.042 A	-52.38
5	08272	百富國際	0.190	0.380	-50.00
6	08173	智庫媒體	0.054	0.105	-48.57
7	08250	確思醫藥	0.034	0.066	-48.48
8	08212	問博控股	0.260	0.485	-46.39
9	08108	名家國際	0.051	0.094	-45.74
10	08317	財華社集團	0.069	0.125	-44.80
11	08006	中華網	3.140	5.330	-41.09
12	08071	中國金屬資源	0.036	0.058	-37.93
13	08172	GOLIFE CONCEPTS	0.023	0.037	-37.84
14	08007	數碼香港	0.130	0.200	-35.00
15	08175	中國數碼版權	0.059	0.090	-34.44
16	08165	華普智通	0.165	0.250	-34.00
17	08198	新濠環彩	0.186	0.280	-33.57
18	08166	藍帆科技	0.670	1.000	-33.00
19	08192	環球集團	0.113	0.165	-31.52
20	08253	天元鋁業	0.218	0.300	-27.33

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2009年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08199	威高股份	928.23	22.14
2	08277	物美商業	409.98	9.78
3	08150	東光集團	336.22	8.02
4	08180	金衛醫療科技	281.18	6.71
5	08285	研祥智能	144.58	3.45
6	08046	恒芯中國	139.01	3.32
7	08259	安德利果汁	136.50	3.26
8	08089	中國鐵路貨運	126.38	3.01
9	08202	匯創控股	121.05	2.89
10	08173	智庫媒體	115.01	2.74
11	08299	大唐潼金	107.99	2.58
12	08250	確思醫藥	91.17	2.17
13	08017	百齡國際	85.50	2.04
14	08116	優能數碼	78.75	1.88
15	08167	天下媒體	70.19	1.67
16	08071	中國金屬資源	57.12	1.36
17	08041	大陶精密科技	55.59	1.33
18	08182	環能國際	54.31	1.30
19	08069	同仁堂科技	52.84	1.26
20	08066	錯聯科技	45.26	1.08
總額			3,436.89	81.98

創業板 股份

20種成交最活躍創業板股份(股數)

2009年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08299	大唐潼金	3,511.20	15.23
2	08250	確思醫藥	1,927.64	8.36
3	08017	百齡國際	1,702.30	7.38
4	08202	匯創控股	1,642.01	7.12
5	08130	采藝多媒體	1,360.71	5.90
6	08173	智庫媒體	1,299.37	5.64
7	08041	大陶精密科技	1,258.31	5.46
8	08071	中國金屬資源	1,233.24	5.35
9	08116	優能數碼	903.25	3.92
10	08167	天下媒體	657.30	2.85
11	08143	華夏醫療	560.05	2.43
12	08182	環能國際	529.22	2.30
13	08259	安德利果汁	525.56	2.28
14	08150	東光集團	499.37	2.17
15	08128	中國地能	488.38	2.12
16	08046	恒芯中國	388.09	1.68
17	08180	金衛醫療科技	320.84	1.39
18	08220	帝通國際	279.41	1.21
19	08192	環球集團	256.14	1.11
20	08108	名家國際	211.09	0.92
總數			19,553.48	84.83

創業板 股份

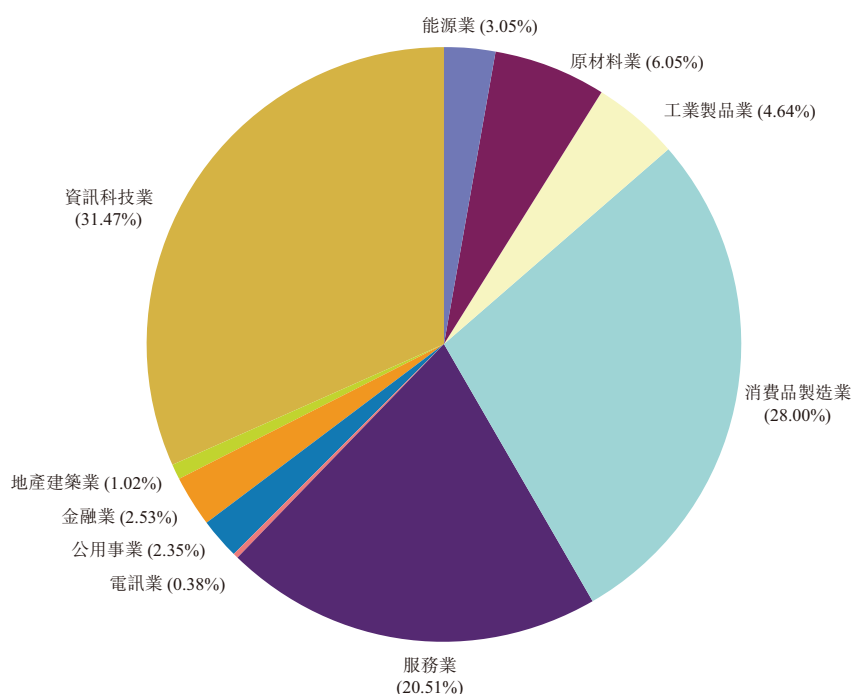
創業板公司市價總值

截至季末

		百萬港元
2008	第 1 季	112,660.54
	第 2 季	109,043.78
	第 3 季	67,153.80
	第 4 季	45,164.18
2009	第 1 季	46,972.81

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2009年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	8199	山東威高集團醫用高分子製品股份有限公司－H股	5,908.07	12.58
2	8277	北京物美商業集團股份有限公司－H股	2,786.12	5.93
3	8180	金衛醫療科技有限公司	1,817.07	3.87
4	8118	國際娛樂有限公司	1,756.94	3.74
5	8137	洪橋集團有限公司	1,672.23	3.56
6	8161	華彩控股有限公司	1,554.45	3.31
7	8052	利亞零售有限公司	1,328.45	2.83
8	8008	新意網集團有限公司	1,279.83	2.72
9	8167	天下媒體集團有限公司	937.50	2.00
10	8069	北京同仁堂科技發展股份有限公司－H股	793.74	1.69
11	8070	中裕燃氣控股有限公司	792.98	1.69
12	8156	眾彩科技股份有限公司	761.22	1.62
13	8150	東光集團有限公司	689.00	1.47
14	8178	中國信息科技發展有限公司	655.99	1.40
15	8192	環球集團控股有限公司	629.18	1.34
16	8055	中國網絡教育集團有限公司	565.53	1.20
17	8239	明基能源控股有限公司	554.40	1.18
18	8029	太陽國際集團有限公司	540.87	1.15
19	8123	首華金融控股有限公司	531.74	1.13
20	8279	亞博科技控股有限公司	529.85	1.13
21	8285	研祥智能科技股份有限公司－H股	521.11	1.11
22	8046	恒芯中國控股有限公司	501.88	1.07
23	8206	神州奧美網絡(國際)有限公司	497.42	1.06
24	8005	裕興科技控股有限公司	472.35	1.01
25	8163	中建資源集團有限公司	470.75	1.00
26	8212	問博控股有限公司	458.34	0.98
27	8259	烟台北方安德利果汁股份有限公司－H股	448.84	0.96
28	8153	中國3C集團有限公司	439.82	0.94
29	8149	TSC海洋集團有限公司	421.30	0.90
30	8158	中國生物醫學再生科技有限公司	399.15	0.85
31	8117	中國基礎資源控股有限公司	393.47	0.84
32	8272	百富國際有限公司	380.38	0.81
33	8066	鎔聯科技集團有限公司	371.06	0.79
34	8058	山東羅欣藥業股份有限公司－H股	352.16	0.75
35	8011	百田石油國際集團有限公司	340.70	0.73
36	8006	中華網科技公司	336.53	0.72
37	8230	深圳市東江環保股份有限公司－H股	327.34	0.70
38	8047	中國掌付(集團)有限公司	322.67	0.69
39	8128	中國地能有限公司	316.57	0.67
40	8096	博軟(控股)有限公司	315.79	0.67
41	8290	天津天聯公用事業股份有限公司－H股	310.04	0.66
42	8319	友成控股有限公司	304.00	0.65
43	8299	大唐滄金控股有限公司	297.71	0.63
44	8306	中國有色金屬有限公司	283.37	0.60
45	8089	中國鐵路貨運有限公司	279.40	0.59
46	8250	確思醫藥投資控股有限公司	250.98	0.53
47	8203	凱順能源集團有限公司	246.40	0.52
48	8129	中國生物資源控股有限公司	246.10	0.52
49	8182	環能國際控股有限公司	238.36	0.51
50	8270	中國聯盛投資集團有限公司	235.83	0.50

總額	36,865.02	78.48
市場總值	46,972.81	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2008	第1季	—	—
	第2季	2	216.84
	第3季	—	—
	第4季	—	—
2009	第1季	—	—

創業板新上市公司

2009 第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
NIL				
總額				—

除牌上市公司－創業板

2009 年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
NIL			

創業板公司名稱更改

2009 年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
08046	老虎科技(控股)有限公司	恒芯中國控股有限公司	2008/11/24	2009/01/16
08198	新濠環彩有限公司	新濠環彩有限公司	2008/12/04	2009/01/19
08003	大誠電訊科技有限公司	世大控股有限公司	2008/11/05	2009/02/03
08158	邦盟匯駿國際有限公司	中國生物醫學再生科技有限公司	2008/12/18	2009/02/09
08053	A & K教育軟件控股有限公司	中國電力科技控股有限公司	2008/12/30	2009/02/12
08192	環球工程有限公司	環球集團控股有限公司	2009/02/02	2009/02/27
08203	挑戰者集團控股有限公司	凱順能源集團有限公司	2009/02/27	2009/03/27

創業板 股份

創業板紅股／紅利認股權證

2009年第1季

股份代號	公司	詳情	除淨日
08143	華夏醫療集團有限公司	每供1股送2股股份	2009/02/10

創業板股份拆細及合併

2009年第1季

股份代號	公司	詳情	生效日期
08079	變靚D控股有限公司	5股合併為1股	2009/01/15

創業板供股／公開售股

2009年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	08172	Golife Concepts Holdings Ltd.	公開售股5供2，每股供0.05港元	6.58
	08130	采藝多媒體控股有限公司	公開售股1供9，每股供0.04港元	45.25
3月	08053	中國電力科技控股有限公司	股權2供1，每股供0.10港元	21.28
	08143	華夏醫療集團有限公司	公開售股2供1，每股供0.05港元 另每供1股送2股股份	44.95
總額				118.06

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2008					
11月**	08202	匯創控股	135,000,000	0.087	11.75
12月**	08180	金衛醫療科技	60,000,000	1.030	61.80
	08199	威高股份	80,721,081	17.400	1,404.55
2009					
1月	08220	帝通國際	540,000,000	0.020	10.80
	08171	中國趨勢	117,288,000	0.070	8.21
	08202	匯創控股	48,000,000	0.087	4.18
	08153	中國3C集團	50,000,000	0.125	6.25
2月	08043	年代資訊影視	14,724,000	0.120	1.77
3月	08017	百齡國際	169,232,000	0.065	11.00
	08153	中國3C集團	12,000,000	0.125	1.50
	08079	變靚D控股	40,000,000	0.090	3.60
總額					1,525.39

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2008	第 1 季	4	1.89	70.34
	第 2 季	4	0.76	47.28
	第 3 季	4	0.22	71.81
	第 4 季	3	0.06	10.34
2009	第 1 季	1	0.26	1.49

創業板新上市股份認股權證

2009 年第 1 季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					—
總額					—

股票市場指數

香港股市指數

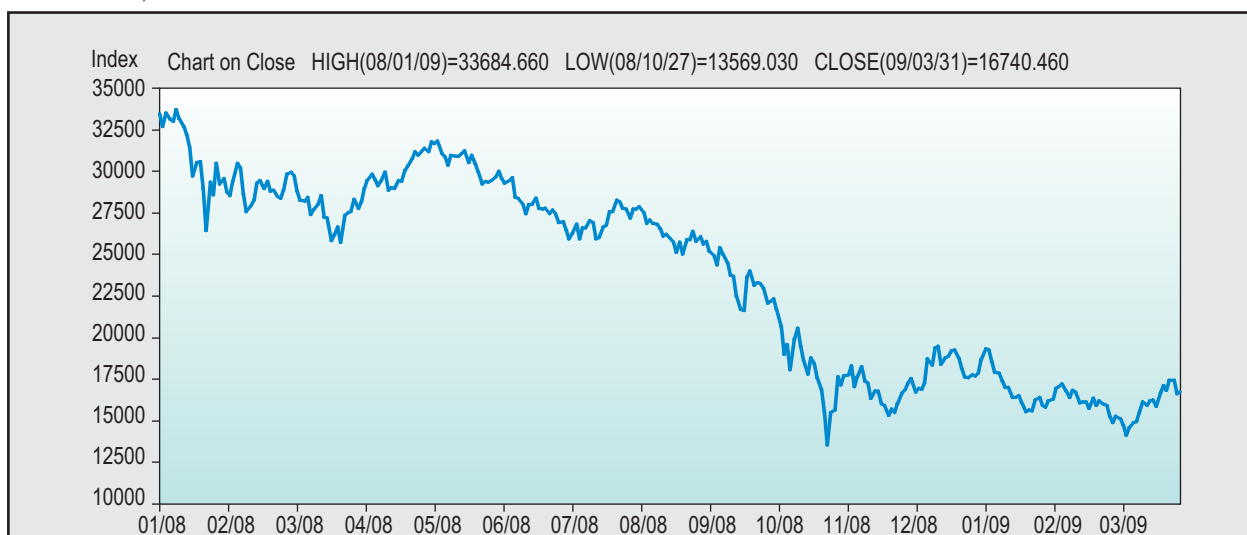
指數	2009年3月底 收市	2008年12月底 收市	升跌
標準普爾／香港交易所大型股指數	16740.46	17891.16	– 6.43%
標準普爾／香港交易所創業板指數	386.23	385.47	+ 0.20%
恒生指數	13576.02	14387.48	– 5.64%
分類指數			
金融	19418.62	21793.45	–10.90%
公用事業	34966.97	33841.36	+ 3.33%
地產	17330.49	16974.14	+ 2.10%
工商業	7580.55	7894.24	– 3.97%
恒生綜合指數	1901.85	1982.56	– 4.07%
恒生香港中資企業指數	3095.40	3292.40	– 5.98%
恒生中國企業指數	8070.13	7891.80	+ 2.26%

股票市場指數

恒生指數(2008/01/02-2009/03/31)



標準普爾／香港交易所大型股指數(2008/01/02-2009/03/31)



標準普爾／香港交易所創業板指數(2008/01/02-2009/03/31)



股票市場指數

世界指數

指數	2009年3月底 收市	2008年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	16740.46	17891.16	– 6.43%
恒生指數	13576.02	14387.48	– 5.64%
澳洲			
普通股指數	3532.30	3659.30	– 3.47%
雅加達			
綜合指數	1434.07	1355.41	+ 5.80%
日本			
日經指數 (225)	8109.53	8859.56	– 8.47%
韓國			
綜合指數	1206.26	1124.47	+ 7.27%
吉隆坡			
綜合指數	872.55	876.75	– 0.48%
馬尼拉			
綜合指數	1986.22	1872.85	+ 6.05%
紐西蘭			
證交所指數 (50)	2590.39	2715.71	– 4.61%
上海			
A 股指數	2490.71	1911.79	+30.28%
B 股指數	161.06	110.92	+45.20%
深圳			
A 股指數	825.31	581.51	+41.93%
B 股指數	351.49	271.28	+29.57%
新加坡			
FTSE 海峽時報指數	1699.99	1761.56	– 3.50%
台灣			
台北加權股份指數	5210.84	4591.22	+13.50%
泰國			
證交所指數	431.50	449.96	– 4.10%
紐約			
杜瓊斯工業平均指數	7608.92	8776.39	–13.30%
Nasdaq 綜合指數	1528.59	1577.03	– 3.07%
多倫多			
S&P/TSX 綜合指數	8720.39	8987.70	– 2.97%
德國			
法蘭克福指數	2570.99	3041.60	–15.47%
倫敦			
富時指數 (100)	3926.10	4434.20	–11.46%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2009年第1季	2008年第1季
恒生指數期貨	4,805,616	5,336,298
恒生指數期權	1,030,049	896,781
小型恒生指數期貨	1,927,153	1,964,929
小型恒生指數期權	56,520	21,132
H股指數期貨	3,287,097	3,634,747
H股指數期權	401,487	345,472
小型H股指數期貨 ¹	147,233	1,204
H股金融指數期貨 ²	—	9
新華富時中國25指數期貨 ³	—	33
新華富時中國25指數期權 ⁴	—	381
股票期貨	71,537	39,376
股票期權	11,656,754	15,838,824
三個月港元利率期貨	1,126	7,543
一個月港元利率期貨	84	373
三年期外匯基金債券期貨	—	—
黃金期貨 ⁵	2,028	—
總數	23,386,684	28,087,102

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2008年12月24日停止買賣

³ 新華富時中國25指數期貨由2008年12月31日停止買賣

⁴ 新華富時中國25指數期權由2008年12月24日停止買賣

⁵ 黃金期貨由2008年10月20日開始買賣

季末未平倉合約

衍生產品	合約張數	
	2009年第1季	2008年第1季
恒生指數期貨	80,785	107,570
恒生指數期權	118,571	115,920
小型恒生指數期貨	4,303	2,260
小型恒生指數期權	2,865	1,193
H股指數期貨	85,412	114,938
H股指數期權	95,652	77,461
小型H股指數期貨 ¹	702	70
H股金融指數期貨 ²	—	—
新華富時中國25指數期貨 ³	—	—
新華富時中國25指數期權 ⁴	—	45
股票期貨	9,208	5,443
股票期權	4,400,699	5,652,274
三個月港元利率期貨	1,327	1,971
一個月港元利率期貨	50	89
三年期外匯基金債券期貨	—	—
黃金期貨 ⁵	20	—
總數	4,799,594	6,079,234

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2008年12月24日停止買賣

³ 新華富時中國25指數期貨由2008年12月31日停止買賣

⁴ 新華富時中國25指數期權由2008年12月24日停止買賣

⁵ 黃金期貨由2008年10月20日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2008年	第一季	5,336,298	107,570
	第二季	4,759,425	101,711
	第三季	5,910,772	97,135
	第四季	5,710,013	73,034
2009年	第一季	4,805,616	80,785

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2008年	第一季	1,964,929	2,260
	第二季	1,577,435	3,215
	第三季	2,011,680	4,456
	第四季	2,406,984	2,945
2009年	第一季	1,927,153	4,303

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2008年	第一季	896,781	115,920
	第二季	840,360	91,240
	第三季	1,139,643	99,068
	第四季	944,013	75,829
2009年	第一季	1,030,049	118,571

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2008年	第一季	3,634,747	114,938
	第二季	3,064,529	108,981
	第三季	3,609,442	87,386
	第四季	4,132,247	96,120
2009年	第一季	3,287,097	85,412

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2008年	第一季	45,158.26	15,838,824	5,652,274
	第二季	26,994.75	12,837,557	4,775,250
	第三季	26,991.11	13,646,790	4,675,934
	第四季	28,706.16	12,369,694	3,984,346
2009年	第一季	25,464.43	11,656,754	4,400,699

衍生產品市場 期貨及期權

各期權類別之期權金總額

2009年第1季

期權類別		期權金額(百萬港元)	佔市場百分比
中國鋁業	ALC	32.54	0.13%
中國銀行	BCL	334.43	1.31%
交通銀行	BCM	89.74	0.35%
東亞銀行	BEA	25.93	0.10%
中銀香港	BOC	33.71	0.13%
建設銀行	CCB	366.97	1.44%
中國交通建設	CCC	168.85	0.66%
中國中煤能源	CCE	18.36	0.07%
中國移動	CHT	3,181.85	12.50%
中國聯通	CHU	29.68	0.12%
中信泰富	CIT	32.84	0.13%
長江實業	CKH	869.91	3.42%
長江基建集團	CKI	5.86	0.02%
中國人壽	CLI	1,304.19	5.12%
中電控股	CLP	183.85	0.72%
招商銀行	CMB	275.56	1.08%
中國海洋石油	CNC	332.59	1.31%
國泰航空	CPA	19.14	0.08%
中國石油化工股份	CPC	313.60	1.23%
中國鐵建	CRC	19.77	0.08%
中國中鐵	CRG	6.97	0.03%
中國神華	CSE	269.68	1.06%
中信銀行	CTB	6.54	0.03%
中國電信	CTC	355.67	1.40%
駿威汽車	DWM	0.64	0.00%
思捷環球	ESP	33.42	0.13%
富士康國際	FIH	4.84	0.02%
香港電燈	HEH	58.01	0.23%
香港交易所	HEX	855.19	3.36%
匯豐控股	HKB	9,952.54	39.08%
香港中華煤氣	HKG	107.89	0.42%
恒基地產	HLD	14.99	0.06%
華能國際電力股份	HNP	16.60	0.07%
恒生銀行	HSB	497.64	1.95%
和記黃埔	HWL	1,124.37	4.42%
工商銀行	ICB	191.60	0.75%
德昌電機控股	JSE	0.52	0.00%
江西銅業	JXC	33.26	0.13%
利豐	LIF	35.41	0.14%
地鐵公司	MTR	53.60	0.21%
新世界發展	NWD	21.94	0.09%
中國平安	PAI	1,203.24	4.73%
電訊盈科	PCC	0.01	0.00%
中國石油股份	PEC	479.76	1.88%
中國人民財產保險	PIC	4.98	0.02%
新鴻基地產	SHK	2,433.55	9.56%
太古股份公司A	SWA	33.16	0.13%
盈富基金	TRF	11.47	0.05%
九龍倉集團	WHL	17.54	0.07%
總數		25,464.43	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2009年第1季

期權類別		合約成交量	佔市場百分比
中國鋁業	ALC	35,130	0.30%
中國銀行	BCL	827,346	7.10%
交通銀行	BCM	169,841	1.46%
東亞銀行	BEA	115,722	0.99%
中銀香港	BOC	83,816	0.72%
建設銀行	CCB	791,542	6.79%
中國交通建設	CCC	107,426	0.92%
中國中煤能源	CCE	27,692	0.24%
中國移動	CHT	861,614	7.39%
中國聯通	CHU	21,678	0.19%
中信泰富	CIT	25,576	0.22%
長江實業	CKH	139,930	1.20%
長江基建集團	CKI	4,905	0.04%
中國人壽	CLI	675,012	5.79%
中電控股	CLP	147,802	1.27%
招商銀行	CMB	316,918	2.72%
中國海洋石油	CNC	253,677	2.18%
國泰航空	CPA	21,808	0.19%
中國石油化工股份	CPC	165,132	1.42%
中國鐵建	CRC	54,909	0.47%
中國中鐵	CRG	14,653	0.13%
中國神華	CSE	157,150	1.35%
中信銀行	CTB	18,770	0.16%
中國電信	CTC	165,022	1.42%
駿威汽車	DWM	1,194	0.01%
思捷環球	ESP	96,695	0.83%
富士康國際	FIH	10,354	0.09%
香港電燈	HEH	91,922	0.79%
香港交易所	HEX	1,003,446	8.61%
匯豐控股	HKB	2,944,100	25.26%
香港中華煤氣	HKG	45,380	0.39%
恒基地產	HLD	9,457	0.08%
華能國際電力股份	HNP	11,966	0.10%
恒生銀行	HSB	566,598	4.86%
和記黃埔	HWL	150,289	1.29%
工商銀行	ICB	637,329	5.47%
德昌電機控股	JSE	5,907	0.05%
江西銅業	JXC	50,047	0.43%
利豐	LIF	11,904	0.10%
地鐵公司	MTR	57,310	0.49%
新世界發展	NWD	13,785	0.12%
中國平安	PAI	224,612	1.93%
電訊盈科	PCC	60	0.00%
中國石油股份	PEC	212,860	1.83%
中國人民財產保險	PIC	5,825	0.05%
新鴻基地產	SHK	238,755	2.05%
太古股份公司A	SWA	16,856	0.14%
盈富基金	TRF	37,537	0.32%
九龍倉集團	WHL	9,495	0.08%
總數		11,656,754	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2008	第1季	70,212	61.47
	第2季	62,653	50.94
	第3季	58,573	46.36
	第4季	62,582	41.46
2009	第1季	55,676	33.62

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者 交收指示的數量	股數 (百萬股)	數值 (百萬港元)
2008	第1季	624	92.86
	第2季	500	89.07
	第3季	477	394.14
	第4季	669	143.37
2009	第1季	432	68.40

經中央結算系統存管的股份

(按季度結存統計)

		佔獲納入中央 結算系統的證券 的已發行股數		佔獲納入中央 結算系統的證券 的市價總值	
年／月		股數 (10 億股)	(%)	市值 (10 億港元)	(%)
2008	第 1 季	4,089.67	77.13	8,061.37	44.86
	第 2 季	3,980.22	75.61	7,704.09	44.60
	第 3 季	3,722.74	74.53	5,905.59	44.18
	第 4 季	3,408.30	72.57	5,040.50	44.62
2009	第 1 季	3,255.40	70.99	5,026.74	46.29

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2008 第 1 季	644,083	100	155,030.88	100	98,707.00	100
第 2 季	540,113	100	116,803.79	100	76,079.45	100
第 3 季	503,213	100	101,147.17	100	63,555.81	100
第 4 季	622,593	100	71,069.05	100	50,791.52	100
2009 第 1 季	533,958	100	75,262.87	100	44,720.95	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額 交收」的股份 數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2008 第 1 季	64,952	94.71	31,361.71	89.64
第 2 季	56,171	94.58	23,868.92	89.60
第 3 季	46,111	95.13	18,735.28	90.44
第 4 季	47,076	95.96	18,463.43	86.35
2009 第 1 季	46,433	95.46	17,715.25	87.98

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2008	第 1 季	99.84	99.98
	第 2 季	99.84	99.99
	第 3 季	99.74	99.98
	第 4 季	99.81	99.99
2009	第 1 季	99.84	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2009年第1季	2008年第1季
恒生指數期貨	80,785	107,570
恒生指數期權	118,571	115,920
小型恒生指數期貨	4,303	2,260
小型恒生指數期權	2,865	1,193
H股指數期貨	85,412	114,938
H股指數期權	95,652	77,461
小型H股指數期貨 ¹	702	70
H股金融指數期貨 ²	—	—
新華富時中國25指數期貨 ³	—	—
新華富時中國25指數期權 ⁴	—	45
股票期貨	9,208	5,443
股票期權	4,400,699	5,652,274
三個月港元利率期貨	1,327	1,971
一個月港元利率期貨	50	89
三年期外匯基金債券期貨	—	—
黃金期貨 ⁵	20	—
總數	4,799,594	6,079,234

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2008年12月24日停止買賣

³ 新華富時中國25指數期貨由2008年12月31日停止買賣

⁴ 新華富時中國25指數期權由2008年12月24日停止買賣

⁵ 黃金期貨由2008年10月20日開始買賣

結算及交收

各期權類別之未平倉合約

2009年第1季末

期權類別		未平倉合約	佔市場百分比
中國鋁業	ALC	10,609	0.24
中國銀行	BCL	406,460	9.24
交通銀行	BCM	111,759	2.54
東亞銀行	BEA	24,241	0.55
中銀香港	BOC	61,738	1.40
建設銀行	CCB	455,122	10.34
中國交通建設	CCC	60,224	1.37
中國中煤能源	CCE	9,242	0.21
中國移動	CHT	238,780	5.43
中國聯通	CHU	5,699	0.13
中信泰富	CIT	6,837	0.16
長江實業	CKH	67,423	1.53
長江基建集團	CKI	1,054	0.02
中國人壽	CLI	232,434	5.28
中電控股	CLP	31,568	0.72
招商銀行	CMB	108,620	2.47
中國海洋石油	CNC	124,982	2.84
國泰航空	CPA	8,377	0.19
中國石油化工股份	CPC	145,939	3.32
中國鐵建	CRC	15,470	0.35
中國中鐵	CRG	9,042	0.21
中國神華	CSE	53,140	1.21
中信銀行	CTB	20,506	0.47
中國電信	CTC	95,641	2.17
駿威汽車	DWM	640	0.01
思捷環球	ESP	17,808	0.40
富士康國際	FIH	3,100	0.07
香港電燈	HEH	7,471	0.17
香港交易所	HEX	254,319	5.78
匯豐控股	HKB	676,697	15.38
香港中華煤氣	HKG	16,862	0.38
恒基地產	HLD	2,405	0.05
華能國際電力股份	HNP	12,289	0.28
恒生銀行	HSB	133,430	3.03
和記黃埔	HWL	57,971	1.32
工商銀行	ICB	500,533	11.37
德昌電機控股	JSE	1,070	0.02
江西銅業	JXC	18,016	0.41
利豐	LIF	3,178	0.07
地鐵公司	MTR	18,061	0.41
新世界發展	NWD	3,536	0.08
中國平安	PAI	97,790	2.22
電訊盈科	PCC	75	0.00
中國石油股份	PEC	195,817	4.45
中國人民財產保險	PIC	4,226	0.10
新鴻基地產	SHK	48,914	1.11
太古股份公司A	SWA	5,331	0.12
盈富基金	TRF	10,986	0.25
九龍倉集團	WHL	5,267	0.12
總數		4,400,699	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2008	第1季	519 (926)	445 (845)	36 (43)	38 (38)
	第2季	519 (929)	448 (852)	35 (41)	36 (36)
	第3季	519 (927)	447 (849)	36 (42)	36 (36)
	第4季	522 (931)	449 (854)	38 (42)	35 (35)
2009	第1季	521 (929)	452 (856)	37 (41)	32 (32)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2008	第1季	196 (224)	143 (168)	—	53 (55)
	第2季	200 (227)	147 (172)	—	53 (55)
	第3季	200 (226)	147 (171)	—	53 (55)
	第4季	200 (226)	148 (172)	—	52 (54)
2009	第1季	204 (230)	157 (181)	—	47 (49)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月		結算參與者	一般結算參與者
2008	第1季	132	7
	第2季	134	7
	第3季	134	7
	第4季	133	7
2009	第1季	139	8

期交所期權結算所參與者

年／月		直接結算參與者	一般結算參與者
2008	第1季	48	4
	第2季	49	4
	第3季	49	5
	第4季	49	5
2009	第1季	48	6

中央結算所參與者

年／月		直接結算 參與者	一般結算 參與者	非經紀 參與者*
2008	第1季	447	3	45
	第2季	450	3	44
	第3季	449	4	43
	第4季	452	5	41
2009	第1季	454	5	42

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示