HKEX

證券及衍生產品市場季報

2011年第一季

市場概況

主板

概況	2011年第1季	2010年第1季
上市公司數目	1,258	1,158
上市證券數目	8,200	6,716
股份		
普通股	1,259	1,159
優先股	3	2
認股權證	5,712	3,994
股份	23	20
衍生	5,689	3,974
股票掛鈎票據	0	0
牛熊證	974	1331
單位信託及互惠基金	82	70
債券	170	160
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	62	61
總成交金額(百萬港元)*	4,684,220.74	3,918,852.43
總成交股數(百萬股)	10,099,053.90	7,331,961.62
總成交宗數(宗數)	52,410,739	45,632,481
上市公司市價總值(百萬港元)	21,259,067.69	17,920,896.03
平均市盈率(倍)	11.96	16.71
平均週息率(百分率)	2.59	2.42
交易所參與者數目		
開業	489	468
非開業	33	31
成交金額(百萬港元)		
最高	109,264.03 (15/03)	104,166.72 (22/01)
最低	53,259.45 (03/01)	33,995.19 (18/02)
成交股數(百萬股)		
最高	199,942.65 (11/02)	158,295.13 (21/01)
最低	110,358.93 (01/02)	78,786.54 (17/02)
總成交宗數(宗數)		
最高	1,316,504 (15/03)	1,163,728 (22/01)
最低	625,844 (03/01)	432,540 (18/02)

*由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2011年第1季	2010年第1季
上市公司數目	168	174
上市證券數目	170	175
股份	168	174
認股權證	2	1
交易日數	62	61
總成交金額(百萬港元)*	22,617.25	31,502.84
總成交股數(百萬股)	124,719.53	97,877.19
總成交宗數(宗數)	608,957	762,648
上市公司市價總值(百萬港元)	137,835.27	134,742.28
平均市盈率(倍)	25.78	43.09
平均週息率(百分率)	0.98	0.63
成交金額(百萬港元)		
最高	589.09 (21/02)	998.46 (30/03)
最低	226.79 (08/02)	182.75 (18/02)
成交股數(百萬股)		
最高	5,519.31 (21/02)	4,358.75 (30/03)
最低	974.37 (17/01)	504.58 (18/02)
總成交宗數(宗數)		
最高	14,967 (21/02)	24,572 (30/03)
最低	6,277 (07/02)	5,503 (18/02)

*由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2011年第1季	2010年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	61.5	61.0
總合約成交量	**15,171,252**	**12,915,143**
股市指數產品	15,061,364	12,875,803
股票產品	108,130	37,866
利率產品	182	337
黃金期貨	1,576	1,137
平均每日合約成交量	**246,687**	**211,724**
季末未平倉合約	**610,053**	**511,818**
股市指數產品	591,584	504,043
股票產品	18,118	7,516
利率產品	173	219
黃金期貨	178	40

股票期權		
新開辦期權類別	–	–
股票期權數目(於季末數目)		
類別	57	51
系列	11,730	6,626
期權金總額(百萬港元)	16,446.28	12,951.69
認購	5,898.07	4,315.20
認沽	10,548.20	8,636.49
平均每日期權金總額(百萬港元)	267.42	212.32
總合約成交量	**18,417,824**	**12,540,453**
認購	8,194,643	5,395,119
認沽	10,223,181	7,145,334
平均每日合約成交量	299,477	205,581
季末未平倉合約	**5,912,772**	**4,352,404**
認購	2,334,683	1,514,485
認沽	3,578,089	2,837,919
總成交宗數	715,168	430,671
平均每日成交宗數	11,629	7,060
已行使合約總數	1,662,220	1,298,610
每張合約平均期權金(港元)	893	1,033
每宗交易平均合約數目	26	29
認沽數量／認購數量	1.25	1.32
平均每日合約成交量／未平倉合約	5.1%	4.7%

所有期貨及期權產品		
總合約成交量	**33,589,076**	**25,455,596**
季末未平倉合約	**6,522,825**	**4,864,222**

市場概況

結算及交收

中央結算系統營運資料	2011年第1季	2010年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
一交易宗數	855,117	760,565
一交易金額(十億港元)	75.9	64.8
一涉及股數(十億股)	164.9	121.8
經中央結算系統處理的交收指示(每日平均數)		
一交收指示數目	86,292	75,816
一交收指示涉及金額(十億港元)	202.6	170.1
一涉及股數(十億股)	47.1	42.8
經中央結算系統處理的投資者交收指示(每日平均數)		
一交收指示數目	516	542
一交收指示涉及金額(百萬港元)	227.5	176.1
一涉及股數(百萬股)	178.8	104.2
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.90	99.88
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
一涉及經紀數目	7	7
一補購宗數	7	9
一補購涉及金額(百萬港元)	2.6	3.1
存放於中央結算系統證券存管處的股份		
一股數(十億股)	3,933.2	3,379.4
一獲納入系統的證券佔已發行股本總數的百分比	72.49	71.01
一股份價值(十億港元)	11,539.6	9,509.7
一獲納入系統的證券佔市價總值的百分比	50.44	49.89
衍生產品結算及交收系統營運資料		
季末未平倉合約		
一股市指數期貨	215,793	167,245
一股票期貨	18,118	7,516
一黃金期貨	178	40
一利率期貨	173	219
一股市指數期權	375,791	336,798
一股票期權	5,912,772	4,352,404.00
累積行使股票期權		
一涉及股數(百萬股)	1,564	1,119
一涉及金額(十億港元)	35	22

主板　股份

股份成交

		股數（百萬股）	金額（百萬港元）	成交宗數
2010	第1季	828,347.87	2,922,136.26	39,132,197
	第2季	817,338.52	2,748,750.08	37,828,922
	第3季	794,114.98	2,759,690.18	35,997,455
	第4季	1,011,031.65	3,847,088.03	46,784,952
2011	第1季	747,798.73	3,315,169.08	42,267,831

股份交易統計

		交易日數	每日平均成交金額(百萬港元)	平均每宗交易成交金額
2010	第1季	61	47,903.87	74,673
	第2季	60	45,812.50	72,663
	第3季	64	43,120.16	76,663
	第4季	64	60,110.75	82,229
2011	第1季	62	53,470.47	78,432

主板　股份

20種升幅最大之股份

2011年第1季

順次	股份代號	股份	收市價 2011年3月底	收市價 2010年12月底		升幅
1	00169	恆力房地產	0.760	0.150		406.67
2	00736	中國置業投資	0.350	0.085	A	311.76
3	01178	天年生物	0.410	0.178		130.34
4	01555	M I 能源	3.710	1.700		118.24
5	00254	中國戶外媒體集團	0.570	0.265		115.09
6	00770	SHANGHAI GROWTH	3.200	1.540		107.79
7	00986	NAM HING	0.244	0.122	A	100.00
8	00578	合動能源	0.640	0.325		96.92
9	00721	中國金融國際	0.570	0.290		96.55
10	00767	PACIFIC PLYWOOD	2.830	1.500	A	88.67
11	00399	聯合基因集團	0.239	0.131		82.44
12	00315	數碼通電訊	12.900	7.440	A	73.39
13	00826	天工國際	6.780	3.950		71.65
14	03800	保利協鑫能源	4.780	2.860		67.13
15	00451	森泰集團	6.340	3.800		66.84
16	03337	安東油田服務	1.330	0.800		66.25
17	00705	南亞礦業	0.370	0.224		65.18
18	00458	聯亞集團	4.360	2.670		63.30
19	03323	中國建材	28.550	17.820		60.21
20	00976	齊合天地	7.420	4.650		59.57

A　經調整

20種跌幅最大之股份

2011年第1季

順次	股份代號	股份	收市價 2011年3月底	收市價 2010年12月底		跌幅
1	01003	21控股	0.112	0.530		–78.87
2	00692	寶源控股	0.285	0.920	A	–69.02
3	00329	叁龍國際	0.182	0.455		–60.00
4	00377	新洲印刷集團	0.480	1.150		–58.26
5	00209	中國大亨飲品	0.435	0.980		–55.61
6	01227	國盛投資	0.176	0.395		–55.44
7	00645	港台集團	1.310	2.820		–53.55
8	01063	新確科技	0.056	0.117		–52.14
9	01863	思嘉集團	3.240	6.190		–47.66
10	02379	中天國際	0.800	1.500		–46.67
11	00402	蒙古投資	0.082	0.148		–44.59
12	00657	環科國際	0.400	0.690		–42.03
13	00245	中國七星購物	0.077	0.132		–41.67
14	01013	偉俊集團控股	0.044	0.074		–40.54
15	00120	COSMOPOL INT' L	0.123	0.206		–40.29
16	00559	華藝礦業	0.230	0.385		–40.26
17	02369	中國無線	2.910	4.850		–40.00
18	03344	互益集團	0.860	1.410		–39.01
19	00307	優派能源發展	0.127	0.208		–38.94
20	00362	中國天化工	0.158	0.255		–38.04

A　經調整

主板　股份

20種成交最活躍股份(金額)

2011年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00005	匯豐控股	190,235.80	5.74
2	00939	建設銀行	114,851.35	3.46
3	00941	中國移動	110,237.32	3.33
4	00013	和記黃埔	105,383.98	3.18
5	01398	工商銀行	100,630.81	3.04
6	02628	中國人壽	87,035.75	2.63
7	00883	中國海洋石油	74,639.64	2.25
8	00700	騰訊控股	71,268.86	2.15
9	03988	中國銀行	70,836.84	2.14
10	00857	中國石油股份	63,751.22	1.92
11	00388	香港交易所	63,535.98	1.92
12	02318	中國平安	58,496.92	1.76
13	00386	中國石油化工股份	57,255.18	1.73
14	01299	友邦保險	53,481.47	1.61
15	00016	新鴻基地產	42,088.46	1.27
16	01088	中國神華	39,310.10	1.19
17	00001	長江實業	36,810.36	1.11
18	01288	農業銀行	36,509.00	1.10
19	02388	中銀香港	34,343.24	1.04
20	02601	中國太保	33,728.76	1.02
總額			**1,444,431.04**	**43.57**

20種成交最活躍股份(股數)

2011年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	01166	蒙古礦業	24,948.55	3.34
2	01041	福邦控股	19,485.79	2.61
3	00986	NAM HING	18,729.65	2.50
4	03988	中國銀行	17,168.56	2.30
5	01398	工商銀行	16,762.51	2.24
6	00939	建設銀行	16,483.67	2.20
7	00269	中國木業	14,009.38	1.87
8	00566	鉑陽太陽能	13,377.32	1.79
9	00985	中科礦業	9,979.71	1.33
10	00459	美聯工商舖	9,457.38	1.26
11	01288	農業銀行	9,131.86	1.22
12	01129	中國水業集團	7,643.23	1.02
13	00692	寶源控股	7,461.46	1.00
14	00274	高寶綠色	7,408.73	0.99
15	00885	福方集團	7,343.53	0.98
16	00386	中國石油化工股份	7,169.89	0.96
17	00689	長盈集團(控股)	6,847.34	0.92
18	01222	WANG ON GROUP	6,425.69	0.86
19	00263	中國雲錫礦業	6,243.22	0.83
20	00857	中國石油股份	5,938.90	0.79
總數			**232,016.37**	**31.03**

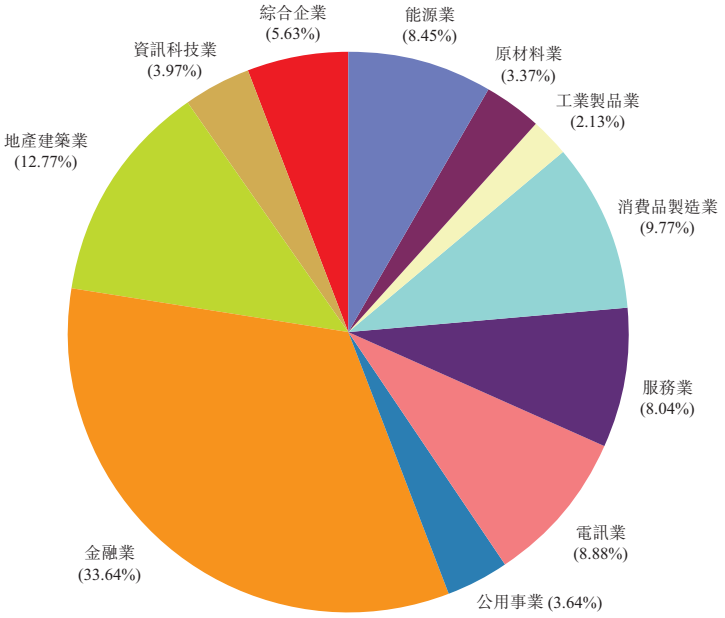
主板　股份

上市公司市價總值－主板

		百萬港元
2010	第1季	17,920,896.03
	第2季	17,000,166.93
	第3季	19,557,277.66
	第4季	20,942,284.39
2011	第1季	21,259,067.69

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主板　股份

50間市價總值最大之上市公司

截至2011年第1季季末

順次	股份代號	公司	市價總值(百萬港元)		佔市場總值(%)
1	00939	中國建設銀行股份有限公司 – H股	1,752,642.26		8.24
2	00005	匯豐控股有限公司	1,447,531.01		6.81
3	00941	中國移動有限公司	1,437,739.34		6.76
4	00883	中國海洋石油有限公司	875,516.32		4.12
5	01398	中國工商銀行股份有限公司 – H股	560,689.53		2.64
6	02888	渣打集團有限公司	483,585.78		2.27
7	00013	和記黃埔有限公司	392,656.45		1.85
8	03988	中國銀行股份有限公司 – H股	362,084.46		1.7
9	00700	騰訊控股有限公司	348,052.20		1.64
10	00016	新鴻基地產發展有限公司	316,628.83		1.49
11	00762	中國聯合網絡通信(香港)股份有限公司	304,423.33		1.43
12	00001	長江實業(集團)有限公司	293,689.64		1.38
13	01299	友邦保險控股有限公司	288,453.80		1.36
14	02388	中銀香港(控股)有限公司	268,019.98		1.26
15	00857	中國石油天然氣股份有限公司 – H股	248,545.04		1.17
16	00945	Manulife Financial Corporation *	–	244,400.74	N.A.
17	00011	恒生銀行有限公司	240,127.45		1.13
18	02378	英國保誠有限公司 *	–	232,986.43	N.A.
19	03328	交通銀行股份有限公司 – H股	227,046.62		1.07
20	02318	中國平安保險(集團)股份有限公司 – H股	225,332.20		1.06
21	02628	中國人壽保險股份有限公司 – H股	217,282.31		1.02
22	00486	United Company RUSAL Ltd. *	–	204,194.12	N.A.
23	00388	香港交易及結算所有限公司	182,209.77		0.86
24	00019	太古股份有限公司	167,483.42		0.79
25	00066	香港鐵路有限公司	166,258.48		0.78
26	00004	九龍倉集團有限公司	162,519.12		0.76
27	00494	利豐有限公司	160,934.90		0.76
28	06210	Vale S.A. – 預託證券 *	–	153,373.06	N.A.
29	00101	恒隆地產有限公司	152,198.21		0.72
30	00002	中電控股有限公司	151,346.42		0.71
31	01928	金沙中國有限公司	139,710.94		0.66
32	01288	中國農業銀行股份有限公司 – H股	135,558.21		0.64
33	00003	香港中華煤氣有限公司	134,022.13		0.63
34	00386	中國石油化工股份有限公司 – H股	130,887.81		0.62
35	00688	中國海外發展有限公司	129,289.25		0.61
36	01088	中國神華能源股份有限公司 – H股	124,558.05		0.59
37	01880	百麗國際控股有限公司	120,272.16		0.57
38	00012	恒基兆業地產有限公司	117,285.94		0.55
39	01128	永利澳門有限公司	112,568.75		0.53
40	00006	電能實業有限公司	110,981.61		0.52
41	00322	康師傅控股有限公司	106,260.81		0.5
42	03968	招商銀行股份有限公司 – H股	84,270.80		0.4
43	01038	長江基建集團有限公司	82,842.22		0.39
44	00144	招商局國際有限公司	80,768.24		0.38
45	00151	中國旺旺控股有限公司	80,723.30		0.38
46	00267	中信泰富有限公司	78,811.66		0.37
47	01109	華潤置地有限公司	78,438.22		0.37
48	00291	華潤創業有限公司	75,785.53		0.36
49	02601	中國太平洋保險(集團)股份有限公司 – H股	75,644.91		0.36
50	00880	澳門博彩控股有限公司	74,588.95		0.35
總額			**13,506,266.34**		**63.53**
市場總值			**21,259,067.69**		**100**

註：

由2010年7月起納入外國公司

* 不是祇在香港上市之外國公司

主板　股份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百份比
2010	第1季	254,445.78	2,953,639.11	8.61
	第2季	278,492.48	2,788,065.18	9.99
	第3季	231,187.06	2,783,988.10	8.30
	第4季	308,007.77	3,885,615.68	7.93
2011	第1季	273,905.42	3,337,783.59	8.21

* 包括主板及創業板數據

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百份比
2010	第1季	21,677.84	926,224.94	2.34
	第2季	24,633.79	956,653.63	2.57
	第3季	20,554.19	882,787.31	2.33
	第4季	26,787.09	1,131,725.16	2.37
2011	第1季	22,721.76	872,394.66	2.60

* 包括主板及創業板數據

新上市統計－公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2010	第1季	13	0	33,962.31
	第2季	13	2	16,304.58
	第3季	21	5	109,964.78
	第4季	47	5	288,596.31
2011	第1季	10	4	17,315.18

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

\# 集資金額為新上市公司首次公開招股的集資總額

主板　股份

新上市公司

2011年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
00080	中國新經濟投資有限公司	2011/01/06	303,000,000	312.09
01089	森寶食品控股有限公司	2011/01/11	1,660,000,000	312.80
02011	開易控股有限公司	2011/01/12	415,000,000	152.95
01323	友川集團控股有限公司	2011/01/13	666,666,000	370.50
02218	烟台北方安德利果汁股份有限公司 – H股 *	2011/01/19	1,760,176,000	–
01075	首都信息發展股份有限公司 – H股 *	2011/01/21	774,498,000	–
01143	中慧國際控股有限公司	2011/01/27	411,714,000	134.06
01121	寶峰時尚國際控股有限公司	2011/01/28	1,000,000,000	700.00
01057	浙江世寶股份有限公司 – H股 *	2011/03/09	86,714,000	–
01380	中國金石礦業控股有限公司	2011/03/18	2,000,000,000	1,500.75
03688	萊蒙國際集團有限公司	2011/03/23	1,000,000,000	1,557.50
01378	中國宏橋集團有限公司	2011/03/24	5,885,000,000	6,372.00
02488	深圳市元征科技股份有限公司 – H股 *	2011/03/28	27,360,000	–
03360	遠東宏信有限公司	2011/03/30	2,842,400,000	5,902.54
總額				**17,315.18**

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主板　股份

除牌上市公司

2011年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
NIL			

主板　股份

公司名稱更改

2011年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
00895	深圳市東江環保股份有限公司－H股	東江環保股份有限公司－H股	2011/01/11	2011/01/13
00067	旭光資源有限公司	中國旭光高新材料集團有限公司	2010/12/08	2011/01/14
00094	兩儀控股有限公司	綠森集團有限公司	2010/12/21	2011/01/19
00646	啟帆集團有限公司	中國環保科技控股有限公司	2010/12/30	2011/02/08
00894	萬裕國際集團有限公司	萬裕科技集團有限公司	2011/01/14	2011/02/16
00686	太益控股有限公司	金保利新能源有限公司	2011/01/18	2011/02/17
02362	澳門投資控股有限公司	金川集團國際資源有限公司	2011/02/08	2011/02/18
00006	香港電燈集團有限公司	電能實業有限公司	2011/02/16	2011/02/23
00209	崇高國際控股有限公司	中國大亨飲品控股有限公司	2011/01/26	2011/02/25
00307	泰德陽光(集團)有限公司	優派能源發展集團有限公司	2011/02/14	2011/03/08
00162	中國金展控股有限公司	世紀金花商業控股有限公司	2011/02/28	2011/03/31

* 祇改英文名稱

\# 祇改中文名稱

主板　股份

紅股／紅利認股權證

2011年第1季

股份代號	公司	詳情	除淨日
00731	森信紙業集團有限公司	1送1	2011/01/03
01002	威鋮國際集團有限公司	每供2股送1份2014年認股權證	2011/01/04
00732	信利國際有限公司	10送1	2011/01/13
01222	宏安集團有限公司	每供8股送1股股份	2011/01/18
02333	長城汽車股份有限公司 – H股	10送15	2011/01/26
00593	Allied Overseas Ltd.	5股送1份2016年認股權證	2011/01/31
02088	西王糖業控股有限公司	6股送1份2012年認股權證	2011/02/01
00315	數碼通電訊集團有限公司	1送1	2011/03/23

股份拆細及合併

2011年第1季

股份代號	公司	詳情	生效日期
00767	太平洋實業控股有限公司	25股合併為1股	2011/01/10
01130	中國環境資源集團有限公司	5股合併為1股	2011/01/17
00479	華建控股有限公司	20股合併為1股	2011/01/19
00895	東江環保股份有限公司 – H股	10股合併為1股	2011/01/20
00732	信利國際有限公司	1股分拆為5股	2011/01/20
00901	萊福資本投資有限公司	10股合併為1股	2011/01/24
01222	宏安集團有限公司	5股合併為1股	2011/01/28
00612	中國投資基金有限公司	5股合併為1股	2011/02/15
00412	漢基控股有限公司	10股合併為1股	2011/02/17
01997	峻凌國際控股有限公司	1股分拆為2股	2011/02/28
00692	寶源控股有限公司	20股合併為1股	2011/03/08
00885	福方集團有限公司	10股合併為1股	2011/03/08
00273	威利國際控股有限公司	5股合併為1股	2011/03/17
00986	南興集團有限公司	16股合併為1股	2011/03/21
00275	錦興集團有限公司	10股合併為1股	2011/03/22
01031	黃金集團有限公司	2股合併為1股	2011/03/23

主板　股份

供股／公開售股

2011年第1季

月份	股份代號	公司名稱	比率	集資金額（百萬港元）
1月	03868	群星紙業控股有限公司	公開售股2供1，每股供0.66港元	349.01
	01003	21控股有限公司	股權1供10，每股供0.19港元	214.12
			（20股合併為1股後）	
	00092	冠軍科技集團有限公司	股權9供4，每股供0.15港元	271.23
	00263	中國雲錫礦業集團有限公司	股權1供8，每股供0.10港元	480.77
	00329	叁龍國際有限公司	股權1供20，每股供0.22港元	406.39
			（40股合併為1股後）	
2月	00069	香格里拉（亞洲）有限公司	股權12供1，每股供19.50港元	4,694.66
	01222	宏安集團有限公司	股權1供8，每股供0.10港元	521.99
			另每供8股送1股股份	
			（5股合併為1股後）	
	00343	文化傳信集團有限公司	股權2供1，每股供0.35港元	120.62
	00616	永義實業集團有限公司	股權2供1，每股供0.35港元	64.25
3月	00612	中國投資基金有限公司	公開售股1供2，每股供0.15港元	76.51
	01002	威鋮國際集團有限公司	股權3供1，每股供0.12港元	34.68
			另每供2股送1份2014年認權證	
	00371	北控水務集團有限公司	公開售股2供1，每股供1.485港元	3,390.82
	02324	首都創投有限公司	股權2供1，每股供0.30港元	58.42
	00004	九龍倉集團有限公司	股權10供1，每股供36.50港元	10,051.59
	00851	盛源控股有限公司	股權5供2，每股供0.42港元	141.41
	00412	漢基控股有限公司	股權2供1，每股供0.50港元	47.49
			（10股合併為1股後）	
	00305	俊山五菱汽車集團有限公司	公開售股6供1，每股供0.90港元	150.51
總額				**21,074.47**

主板　股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價（港元）	集資金額（百萬港元）
2011					
1月	01149	中國安芯	140,100,000	2.300	322.23
	00692	寶源控股	980,000,000	0.025	24.01
	00397	中國保綠資產	200,000,000	0.150	30.00
	00362	中國天化工	1,200,000,000	0.220	264.00
	00149	中國農產品交易	300,000,000	0.250	75.00
	00633	中國全通	160,000,000	2.680	428.80
	00290	中國富強集團	280,534,000	0.328	92.02
	00326	中國星集團	577,855,000	0.070	40.45
	00269	中國木業	1,800,000,000	0.300	540.00
	02369	中國無線	150,000,000	4.550	682.50
	00479	華建控股	475,060,000	0.173	82.00
	01163	德金資源	350,000,000	0.300	105.00
	00689	長盈集團(控股)	920,000,000	0.068	62.10
	00279	民豐企業	2,000,000,000	0.275	550.00
	00801	金衛醫療	120,000,000	1.500	180.00
	00067	旭光	340,000,000	2.810	955.40
	00136	馬斯葛集團	354,100,608	0.400	141.64
	00802	宏霸數碼	6,450,000	3.100	20.00
	00183	田生集團	250,000,000	1.010	252.50
	01878	南戈壁	375,297	82.870	31.10
	00515	達進精電	60,000,000	3.790	227.40
	00891	利邦	100,000,000	7.500	750.00
	00621	永興國際	346,000,000	0.400	138.40
2月	01141	北京御生堂	1,000,000,000	0.320	320.00
	00290	中國富強集團	500,000,000	0.200	100.00
	00209	中國大亨飲品	150,000,000	1.050	157.50
	00651	中海船舶	1,412,745,760	0.200	282.55
	00736	中國置業投資	27,000,000	0.405	10.94
	00705	南亞礦業	340,000,000	0.228	77.52
	00943	意科控股	317,000,000	0.106	33.60
	00064	結好控股	224,000,000	0.510	114.24
	00686	金保利新能源	125,370,000	1.450	181.79
	00558	力勁科技	102,000,000	2.500	255.00
	00433	北方礦業	442,500,000	0.320	141.60
	00767	PACIFIC PLYWOOD	15,400,000	0.740	11.40
	00802	宏霸數碼	19,000,000	2.500	47.50

主板　股份

月份	股份代號	公司名稱	配售股份數目	配售價（港元）	集資金額（百萬港元）
	01142	西伯利亞礦業	140,000,000	0.135	18.90
	00223	神州資源	222,752,000	0.350	77.96
	01808	台一國際	210,000,000	0.060	12.60
	00909	中大國際	1,012,000	0.740	0.75
	00909	中大國際	2,948,547	0.678	2.00
3月	00024	寶威控股	460,000,000	0.480	220.80
	00155	華基光電	720,000,000	0.114	82.08
	00838	億和控股	47,342,000	6.250	295.89
	00309	勞氏環保	30,600,000	0.700	21.42
	00233	銘源醫療	294,000,000	0.810	238.14
	01142	西伯利亞礦業	120,000,000	0.135	16.20
	01207	上置集團	700,000,000	0.810	567.00
	00672	眾安房產	50,000,000	1.400	70.00
總額					**9,351.90**

*　由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主板　認股權證

認股權證交易統計

		股份認股權證（百萬港元）	衍生權證（百萬港元）	總額（百萬港元）
2010	第 1 季	228.05	588,420.02	588,648.07
	第 2 季	302.00	538,302.09	538,604.09
	第 3 季	375.85	669,145.29	669,521.14
	第 4 季	884.16	896,594.85	897,479.00
2011	第 1 季	393.42	829,434.95	829,828.38

認股權證市價總值

		股份認股權證		衍生權證		總額	
		數目	（百萬港元）	數目	（百萬港元）	總數	（百萬港元）
2010	第 1 季	20	1,201.13	3,974	106,176.30	3,994	107,377.43
	第 2 季	25	1,697.57	4,114	86,643.45	4,139	88,341.02
	第 3 季	25	2,204.10	4,348	131,501.94	4,373	133,706.04
	第 4 季	22	2,049.41	5,148	142,445.77	5,170	144,495.18
2011	第 1 季	23	1,217.46	5,689	148,882.52	5,712	150,099.98

新上市統計－認股權證

		新上市股份認股權證數目	新上市衍生權證數目	集資金額（百萬港元）
2010	第 1 季	1	1,649	75,616.53
	第 2 季	8	1,536	64,484.98
	第 3 季	2	1,938	72,490.02
	第 4 季	1	2,703	115,549.29
2011	第 1 季	2	2,056	86,446.46

新上市股份認股權證

2011年第1季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額（百萬港元）
00664	Allied Overseas Ltd. 2016年認股權證	206,962,600	2011/03/04	2016/03/04	–
00284	威鋮國際集團有限公司2014年認股權證	17,339,520	2011/03/16	2014/03/15	–
總額					-

新上市衍生權證
2011年第1季

股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
27942	Goldman Sachs SP (Asia) - Belle Int'l European Wts Jun 2011	100,000,000	2011/01/03	2011/06/27	16.20
27943	Goldman Sachs SP (Asia) - Belle Int'l European Wts Dec 2011	100,000,000	2011/01/03	2011/12/12	25.00
27945	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Jul 2011	200,000,000	2011/01/03	2011/07/04	81.00
27944	Goldman Sachs SP (Asia) - Evergrande European Wts Jul 2011 A	100,000,000	2011/01/03	2011/07/04	50.00
27946	Goldman Sachs SP (Asia) - HKEx European Put Wts Sep 2011 B	200,000,000	2011/01/03	2011/09/05	50.00
27941	Standard Chartered Bank - Sinopec Corp European Wts Jul 2011	100,000,000	2011/01/03	2011/07/11	45.00
25290#	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Apr 2011 A	230,000,000	2011/01/03	2011/04/04	96.60
27969	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Jul 2011 B	100,000,000	2011/01/04	2011/07/05	26.00
27947	HK Bank - A50 China European Warrants Nov 2011	200,000,000	2011/01/04	2011/11/21	30.00
27948	HK Bank - A50 China European Put Warrants Jan 2012	150,000,000	2011/01/04	2012/01/18	22.50
27957	KBC Fin Products Int'l Ltd. - BOC HK European Wts Jul 2011 B	198,000,000	2011/01/04	2011/07/07	29.70
27958	KBC Fin Products Int'l Ltd. - BOC HK Euro Put Wts Jul 2011	108,000,000	2011/01/04	2011/07/15	41.04
27959	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jul 2011 A	128,000,000	2011/01/04	2011/07/04	47.36
27960	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jul 2011 B	158,000,000	2011/01/04	2011/07/08	39.50
27965	KBC Fin Products Int'l Ltd. - CNOOC European Wts May 2011 B	128,000,000	2011/01/04	2011/05/25	21.76
27961	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Jun 2011	158,000,000	2011/01/04	2011/06/28	37.92
27962	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Jul 2011	108,000,000	2011/01/04	2011/07/04	51.84
27963	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Aug 2011 C	128,000,000	2011/01/04	2011/08/18	32.00
27964	KBC Fin Products Int'l Ltd. - Sinopec Corp Eu Put Wt Jul2011	68,000,000	2011/01/04	2011/07/04	65.96
27966	KBC Fin Products Int'l Ltd. - PetroChina Euro Wts May 2011 C	128,000,000	2011/01/04	2011/05/05	46.08
27968	KBC Fin Products Int'l Ltd. - PetroChina Euro Wts Jul 2011	128,000,000	2011/01/04	2011/07/04	42.24
27967	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Jun11D	108,000,000	2011/01/04	2011/06/01	16.20
27949	Macquarie Bank Ltd. - CC Bank European Warrants May 2011	200,000,000	2011/01/04	2011/05/23	60.80
27951	Macquarie Bank Ltd. - CITIC Bank European Warrants Jun 2011	150,000,000	2011/01/04	2011/06/22	28.50
27950	Macquarie Bank Ltd. - China Life European Wts Jun 2011	250,000,000	2011/01/04	2011/06/27	37.50
27953	UBS AG - CPIC European Warrants May 2011 B	100,000,000	2011/01/04	2011/05/16	15.00
27955	UBS AG - HSI European Put Warrants Jul 2011 C	200,000,000	2011/01/04	2011/07/28	50.00
27952	UBS AG - HSI European Warrants May 2011 F	200,000,000	2011/01/04	2011/05/30	30.00
27954	UBS AG - HSI European Warrants Jun 2011 C	200,000,000	2011/01/04	2011/06/29	38.00
27956	UBS AG - HSI European Put Warrants May 2011 G	200,000,000	2011/01/04	2011/05/30	30.00
27979	Credit Suisse AG - Agricultural Bank European Wts Jul 2011	300,000,000	2011/01/05	2011/07/11	75.00
27978	Credit Suisse AG - Agricultural Bank European Wts Apr 2011 F	300,000,000	2011/01/05	2011/04/06	45.00
27980	Credit Suisse AG - AIA European Warrants Apr 2011 D	300,000,000	2011/01/05	2011/04/26	45.00
27981	Credit Suisse AG - CC Bank European Warrants Apr 2011 C	300,000,000	2011/01/05	2011/04/18	120.00
27982	Credit Suisse AG - China Life European Warrants May 2011 C	300,000,000	2011/01/05	2011/05/30	45.00
27983	Credit Suisse AG - China Life European Warrants Jun 2011 D	300,000,000	2011/01/05	2011/06/27	45.00
27987	Credit Suisse AG - Sinopec Corp European Warrants Apr 2011 B	300,000,000	2011/01/05	2011/04/06	75.00
27986	Credit Suisse AG - CPIC European Warrants May 2011 A	300,000,000	2011/01/05	2011/05/03	45.00
27988	Credit Suisse AG - HSI European Put Warrants Apr 2011 G	300,000,000	2011/01/05	2011/04/28	45.00
27984	Credit Suisse AG - ICBC European Warrants Apr 2011 D	300,000,000	2011/01/05	2011/04/15	69.00
27985	Credit Suisse AG - ICBC European Warrants Apr 2011 E	300,000,000	2011/01/05	2011/04/26	45.00
27974	CC Rabobank B.A. - China Oilfield European Warrants Aug 2011	180,000,000	2011/01/05	2011/08/02	45.00

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27971	CC Rabobank B.A. - Minsheng Bank European Wts Jun 2011 B	100,000,000	2011/01/05	2011/06/13	30.00
27972	CC Rabobank B.A. - Gome European Warrants Jun 2011	100,000,000	2011/01/05	2011/06/20	25.00
27970	CC Rabobank B.A. - HSI European Warrants Jun 2011	150,000,000	2011/01/05	2011/06/29	22.50
27977	CC Rabobank B.A. - HSI European Warrants Sep 2011	150,000,000	2011/01/05	2011/09/29	37.50
27975	CC Rabobank B.A. - HSI European Put Warrants Jun 2011 A	150,000,000	2011/01/05	2011/06/29	22.50
27976	CC Rabobank B.A. - HSI European Put Warrants Jun 2011 B	150,000,000	2011/01/05	2011/06/29	30.00
27973	CC Rabobank B.A. - Weichai Power European Warrants Jul 2011	150,000,000	2011/01/05	2011/07/07	120.00
28016	BNP Paribas Arbit Issu B.V. - BOCL European Wts Aug 2011 B	100,000,000	2011/01/06	2011/08/02	26.00
27989	Goldman Sachs SP (Asia) - Cheung Kong European Wts Jul 2011	200,000,000	2011/01/06	2011/07/11	68.00
28017	KBC Fin Products Int'l Ltd. - Anhui Conch Euro Wts Jul 2011	88,000,000	2011/01/06	2011/07/06	22.00
28025	KBC Fin Products Int'l Ltd. - Anhui Conch Euro Put Wt Jul 11	88,000,000	2011/01/06	2011/07/06	27.28
28018	KBC Fin Products Int'l Ltd. - Chi Res Land Euro Wts Oct 2011	80,000,000	2011/01/06	2011/10/21	20.00
28026	KBC Fin Products Int'l Ltd. - Chi Res Land Euro Put Wt Sep11	80,000,000	2011/01/06	2011/09/01	20.00
28027	KBC Fin Products Int'l Ltd. - Dongfeng Euro Put Wts Sep 2011	88,000,000	2011/01/06	2011/09/01	24.99
28019	KBC Fin Products Int'l Ltd. - Dongfeng Euro Wts Aug 2011 A	88,000,000	2011/01/06	2011/08/23	19.36
28020	KBC Fin Products Int'l Ltd. - Dongfeng Euro Wts Aug 2011 B	88,000,000	2011/01/06	2011/08/08	13.20
28021	KBC Fin Products Int'l Ltd. - Hang Lung Ppt Euro Wts Jun2011	108,000,000	2011/01/06	2011/06/15	25.92
28028	KBC Fin Products Int'l Ltd. - Hang Lung Ppt Eu Put Wt Aug 11	108,000,000	2011/01/06	2011/08/15	30.24
28022	KBC Fin Products Int'l Ltd. - KECL European Wts Dec 2011	108,000,000	2011/01/06	2011/12/15	17.28
28029	KBC Fin Products Int'l Ltd. - KECL European Put Wts Dec 2011	80,000,000	2011/01/06	2011/12/08	20.00
28023	KBC Fin Products Int'l Ltd. - PetroChina Euro Wts Jan 2012	158,000,000	2011/01/06	2012/01/05	30.02
28024	KBC Fin Products Int'l Ltd. - Sinopharm Euro Wts Jul 2011A	80,000,000	2011/01/06	2011/07/08	20.00
28030	KBC Fin Products Int'l Ltd. - Sinopharm Euro Put Wts Jul2011	80,000,000	2011/01/06	2011/07/06	42.40
28004	RB of Scotland N.V. - China Shenhua European Wts Jun 2011	150,000,000	2011/01/06	2011/06/27	25.80
28003	RB of Scotland N.V. - China Shenhua European Wts Jul 2011	150,000,000	2011/01/06	2011/07/07	49.20
28001	RB of Scotland N.V. - Jiangxi Copper European Wts Jul 2011 A	300,000,000	2011/01/06	2011/07/07	112.80
28002	RB of Scotland N.V. - Jiangxi Copper European Wts Jul 2011 B	300,000,000	2011/01/06	2011/07/07	78.30
28012	Standard Chartered Bank - Cheung Kong European Wts Aug 2011	50,000,000	2011/01/06	2011/08/08	20.00
28013	Standard Chartered Bank - Cheung Kong European Wts Jun 2012	100,000,000	2011/01/06	2012/06/04	19.00
27999	Standard Chartered Bank - China Life European Wts Dec 2011 A	100,000,000	2011/01/06	2011/12/01	30.00
28000	Standard Chartered Bank - China Mobile Euro Wts Aug 2011 B	100,000,000	2011/01/06	2011/08/08	30.00
28014	Standard Chartered Bank - China Shenhua Euro Wts Aug 2011	100,000,000	2011/01/06	2011/08/02	25.00
28015	Standard Chartered Bank - China Shenhua Euro Wts Dec 2011	100,000,000	2011/01/06	2011/12/01	48.00
28007	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jul 2011 A	200,000,000	2011/01/06	2011/07/06	52.00
28005	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jun 2011 A	200,000,000	2011/01/06	2011/06/22	64.00
28006	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jun 2011 B	200,000,000	2011/01/06	2011/06/27	34.00
27990	SGA Societe Generale Acceptance N.V. - BYD Euro Wts Jun 2011	100,000,000	2011/01/06	2011/06/01	29.00
28008	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jun 2011 A	120,000,000	2011/01/06	2011/06/01	60.00
28009	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jul 2011A	120,000,000	2011/01/06	2011/07/06	42.00
27991	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Apr 2011B	100,000,000	2011/01/06	2011/04/06	34.00
27992	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2011D	300,000,000	2011/01/06	2011/06/22	45.00
27993	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul 2011B	300,000,000	2011/01/06	2011/07/06	75.00
27994	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2011A	300,000,000	2011/01/06	2011/08/01	45.00
27995	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jun 2011 B	300,000,000	2011/01/06	2011/06/15	54.00

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28010	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jun 2011 A	150,000,000	2011/01/06	2011/06/27	31.50
28011	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jul 2011	150,000,000	2011/01/06	2011/07/06	52.50
27996	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Apr 2011B	50,000,000	2011/01/06	2011/04/06	26.50
27997	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Apr 2011C	50,000,000	2011/01/06	2011/04/11	14.50
27998	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jun 11B	200,000,000	2011/01/06	2011/06/20	52.00
28037	BOCI Asia Ltd. - CNBM European Warrants Sep 2011	100,000,000	2011/01/07	2011/09/05	25.00
28039	BOCI Asia Ltd. - Dongfeng Motor European Warrants Nov 2011	100,000,000	2011/01/07	2011/11/07	25.00
28040	BOCI Asia Ltd. - Geely Auto European Warrants Jul 2011	100,000,000	2011/01/07	2011/07/11	37.50
28041	BOCI Asia Ltd. - ICBC European Warrants Aug 2011	100,000,000	2011/01/07	2011/08/08	25.00
28042	BOCI Asia Ltd. - Shimao Ppt European Warrants Dec 2011	100,000,000	2011/01/07	2011/12/30	16.00
28035	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2011 C	200,000,000	2011/01/07	2011/07/28	51.00
28031	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2011 B	200,000,000	2011/01/07	2011/07/28	51.00
28032	Goldman Sachs SP (Asia) - PetroChina European Wts Jul 2011	200,000,000	2011/01/07	2011/07/18	64.00
28045	Standard Chartered Bank - CC Bank European Warrants Nov 2011	100,000,000	2011/01/07	2011/11/01	25.00
28046	Standard Chartered Bank - Hutchison European Wts Aug 2011	100,000,000	2011/01/07	2011/08/08	38.00
28047	Standard Chartered Bank - Hutchison European Wts Oct 2011 A	100,000,000	2011/01/07	2011/10/31	100.00
28048	Standard Chartered Bank - Hutchison European Wts Dec 2011	100,000,000	2011/01/07	2011/12/01	28.00
28043	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2011E	300,000,000	2011/01/07	2011/06/27	63.00
28044	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2011 B	300,000,000	2011/01/07	2011/07/11	141.00
28033	UBS AG - HSI European Warrants May 2011 H	200,000,000	2011/01/07	2011/05/30	30.00
28034	UBS AG - HSI European Warrants May 2011 I	200,000,000	2011/01/07	2011/05/30	30.00
28036	UBS AG - HSI European Warrants Jul 2011 B	200,000,000	2011/01/07	2011/07/28	50.00
28038	UBS AG - HSI European Put Warrants May 2011 J	200,000,000	2011/01/07	2011/05/30	30.00
26154#	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts May 2011 D	100,000,000	2011/01/07	2011/05/06	15.50
28062	Credit Suisse AG - CNOOC European Warrants Jun 2011 C	300,000,000	2011/01/10	2011/06/01	45.00
28063	Credit Suisse AG - A50 China European Warrants Sep 2011 B	300,000,000	2011/01/10	2011/09/19	45.00
28064	Credit Suisse AG - A50 China European Warrants Nov 2011 B	300,000,000	2011/01/10	2011/11/21	45.00
28061	Credit Suisse AG - HSI European Put Warrants Apr 2011 H	300,000,000	2011/01/10	2011/04/28	45.00
10029	Deutsche Bank AG - AUD/USD European Warrants Jul 2011	50,000,000	2011/01/10	2011/07/11	12.50
28060	Deutsche Bank AG - CNOOC European Warrants Jun 2011 B	150,000,000	2011/01/10	2011/06/07	22.50
10030	Deutsche Bank AG - GBP/USD European Warrants Jul 2011	50,000,000	2011/01/10	2011/07/11	12.50
10034	Deutsche Bank AG - GBP/USD European Put Warrants Jul 2011	50,000,000	2011/01/10	2011/07/11	12.50
28052	KBC Fin Products Int'l Ltd. - AIA European Warrants May 11C	188,000,000	2011/01/10	2011/05/23	28.20
28067	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Aug 2011	128,000,000	2011/01/10	2011/08/08	32.00
28066	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jul 2011 C	128,000,000	2011/01/10	2011/07/15	32.00
28068	KBC Fin Products Int'l Ltd. - CNOOC European Wts Jun 2011 D	108,000,000	2011/01/10	2011/06/01	16.20
28053	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jul 2011 B	180,000,000	2011/01/10	2011/07/04	28.80
28069	KBC Fin Products Int'l Ltd. - Ping An European Wts May 2011C	108,000,000	2011/01/10	2011/05/23	16.20
28054	KBC Fin Products Int'l Ltd. - Swrie Pacific A Euro Wts Dec11	88,000,000	2011/01/10	2011/12/01	22.00
28057	Macquarie Bank Ltd. - CM Bank European Warrants Jul 2011 A	250,000,000	2011/01/10	2011/07/05	37.75
28058	Macquarie Bank Ltd. - HSBC European Warrants Jun 2011 A	200,000,000	2011/01/10	2011/06/02	32.00
28059	Macquarie Bank Ltd. - HSBC European Warrants Jun 2011 B	200,000,000	2011/01/10	2011/06/14	43.60
28055	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Jul 11A	200,000,000	2011/01/10	2011/07/13	34.00
28049	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jun 2011 C	200,000,000	2011/01/10	2011/06/22	110.00

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28050	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jun 2011 D	200,000,000	2011/01/10	2011/06/27	88.00
28051	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2011 B	200,000,000	2011/01/10	2011/07/25	86.00
28056	SGA Societe Generale Acceptance N.V.-Mongolia En Eu Wt Jul11	50,000,000	2011/01/10	2011/07/25	13.00
24023#	KBC Fin Products Int'l Ltd. - HSBC European Wts Sep 2011A	900,000,000	2011/01/10	2011/09/01	61.20
28097	BOCI Asia Ltd. - Ch Overseas European Warrants Dec 2011	100,000,000	2011/01/11	2011/12/28	25.00
28098	BOCI Asia Ltd. - Ch Ship Cont European Warrants Aug 2011	100,000,000	2011/01/11	2011/08/01	50.00
28099	BOCI Asia Ltd. - Zijin Mining European Warrants Dec 2011	100,000,000	2011/01/11	2011/12/28	15.00
10036	BNP Paribas Arbit Issu B.V. -EUR/USD European Warrants Jul11	200,000,000	2011/01/11	2011/07/11	50.00
10032	BNP Paribas Arbit Issu B.V. - EUR/USD European Put Wts Jul11	200,000,000	2011/01/11	2011/07/11	50.00
10031	BNP Paribas Arbit Issu B.V. -USD/YEN European Warrants Jul11	200,000,000	2011/01/11	2011/07/11	50.00
10037	BNP Paribas Arbit Issu B.V. - USD/YEN European Put Wts Jul11	200,000,000	2011/01/11	2011/07/11	50.00
28112	Credit Suisse AG - HSI European Warrants Apr 2011 I	300,000,000	2011/01/11	2011/04/28	45.00
28100	Credit Suisse AG - Hutchison European Warrants Jun 2011 B	300,000,000	2011/01/11	2011/06/07	99.00
28101	Credit Suisse AG - Hutchison European Warrants Jun 2011 C	300,000,000	2011/01/11	2011/06/23	87.00
28102	Citigroup Global Mkt H Inc.-Alibaba European Warrants Nov 11	80,000,000	2011/01/11	2011/11/28	20.00
28103	Citigroup Global Mkt H Inc. - Sinopec Corp Euro Wts May 2012	80,000,000	2011/01/11	2012/05/21	12.00
28104	Citigroup Global Mkt H Inc. - A50 China Euro Wts Sep 2011 C	80,000,000	2011/01/11	2011/09/12	12.00
28105	Deutsche Bank AG - BankComm European Warrants Jun 2011	80,000,000	2011/01/11	2011/06/07	28.00
28106	Deutsche Bank AG - BYD European Warrants Jul 2011	80,000,000	2011/01/11	2011/07/11	23.20
28108	Deutsche Bank AG - Cheung Kong European Warrants Oct 2012	150,000,000	2011/01/11	2012/10/09	30.00
28109	Deutsche Bank AG - Geely Auto European Warrants Jul 2011	80,000,000	2011/01/11	2011/07/11	28.80
28110	Deutsche Bank AG - KECL European Warrants Dec 2011	80,000,000	2011/01/11	2011/12/12	13.60
28120	Goldman Sachs SP (Asia) - Alibaba European Warrants Jun 2011	200,000,000	2011/01/11	2011/06/28	30.60
28094	Goldman Sachs SP (Asia) - Hutchison European Wts May 2011 A	200,000,000	2011/01/11	2011/05/09	97.00
28095	Goldman Sachs SP (Asia) - Yanzhou Coal European Wts Jun 2011	200,000,000	2011/01/11	2011/06/13	30.00
28096	HK Bank - CNOOC European Warrants Jul 2012	200,000,000	2011/01/11	2012/07/18	50.00
28111	J P Morgan SP BV - China Life European Warrants Jul 2011	300,000,000	2011/01/11	2011/07/11	75.00
28113	KBC Fin Products Int'l Ltd. - Cheung Kong Eur Wts Jul 2011 B	108,000,000	2011/01/11	2011/07/15	27.00
28107	KBC Fin Products Int'l Ltd. - CK(H) Euro Put Wts Oct 2011	108,000,000	2011/01/11	2011/10/31	27.00
28119	KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Aug 2011	68,000,000	2011/01/11	2011/08/01	102.68
28114	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts May 2011 B	238,000,000	2011/01/11	2011/05/03	35.70
28115	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jun 2011 F	188,000,000	2011/01/11	2011/06/07	75.20
28116	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jun 2011 G	238,000,000	2011/01/11	2011/06/27	35.70
28117	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jul 2011 C	238,000,000	2011/01/11	2011/07/18	35.70
28118	KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Jul 11E	80,000,000	2011/01/11	2011/07/11	73.60
28089	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Jun 2011	100,000,000	2011/01/11	2011/06/29	15.10
28090	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Aug 2011	100,000,000	2011/01/11	2011/08/30	25.00
28091	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Apr 2012	100,000,000	2011/01/11	2012/04/27	25.00
28093	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wts Jun 2011	100,000,000	2011/01/11	2011/06/29	15.80
28092	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wts Jul 2011	100,000,000	2011/01/11	2011/07/28	19.80
28082	Nomura Int'l plc - Agricultural Bk European Warrants Jul 11	100,000,000	2011/01/11	2011/07/29	28.20
28086	Nomura Int'l plc - BOCL European Warrants Jul 2011 A	100,000,000	2011/01/11	2011/07/29	25.00
28079	Nomura Int'l plc - China Mobile European Warrants Jun 2011	100,000,000	2011/01/11	2011/06/30	17.20
28078	Nomura Int'l plc - China Mobile European Warrants Jul 2011	80,000,000	2011/01/11	2011/07/29	28.80

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28088	Nomura Int'l plc - CC Bank European Put Warrants Jul 2011	100,000,000	2011/01/11	2011/07/29	46.00
28076	Nomura Int'l plc - CC Bank European Warrants Jul 2011 A	100,000,000	2011/01/11	2011/07/29	49.60
28077	Nomura Int'l plc - CC Bank European Warrants Jul 2011 B	100,000,000	2011/01/11	2011/07/29	32.40
28084	Nomura Int'l plc - China Life European Warrants Jun 2011	100,000,000	2011/01/11	2011/06/27	15.00
28085	Nomura Int'l plc - China Life European Warrants Jul 2011 B	100,000,000	2011/01/11	2011/07/29	25.00
28075	Nomura Int'l plc - CNOOC European Warrants Nov 2011	100,000,000	2011/01/11	2011/11/30	25.00
28074	Nomura Int'l plc - Sinopec European Warrants Jul 2011 B	100,000,000	2011/01/11	2011/07/29	31.00
28080	Nomura Int'l plc - Dongfang Elec European Warrants Jun 2011	100,000,000	2011/01/11	2011/06/17	49.60
28081	Nomura Int'l plc - Dongfang Elec European Warrants Jul 2011	100,000,000	2011/01/11	2011/07/29	34.80
28065	Nomura Int'l plc - HSBC European Warrants Jul 2011	80,000,000	2011/01/11	2011/07/29	39.60
28087	Nomura Int'l plc - HSBC European Put Warrants Jul 2011	60,000,000	2011/01/11	2011/07/29	29.94
28070	Nomura Int'l plc - Hutchison European Warrants May 2011	100,000,000	2011/01/11	2011/05/31	15.00
28071	Nomura Int'l plc - Hutchison European Warrants Jul 2011	100,000,000	2011/01/11	2011/07/29	15.00
28083	Nomura Int'l plc - ICBC European Warrants Jul 2011	100,000,000	2011/01/11	2011/07/29	29.60
28072	Nomura Int'l plc - JIANC European Warrants May 2011 C	100,000,000	2011/01/11	2011/05/31	15.80
28073	Nomura Int'l plc - JIANC European Warrants Jul 2011	100,000,000	2011/01/11	2011/07/29	25.00
28121	UBS AG - Cheung Kong European Warrants Jul 2011	200,000,000	2011/01/11	2011/07/11	50.00
28122	UBS AG - CNOOC European Warrants Dec 2011	300,000,000	2011/01/11	2011/12/19	75.00
28123	UBS AG - HKEx European Warrants Aug 2011 A	300,000,000	2011/01/11	2011/08/04	45.00
28124	UBS AG - Hutchison European Warrants Jul 2011 B	200,000,000	2011/01/11	2011/07/11	84.00
27854#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt May 2011 A	170,000,000	2011/01/11	2011/05/23	40.46
23506#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2011	250,000,000	2011/01/11	2011/12/05	19.00
28133	BOCI Asia Ltd. - CM Bank European Warrants Dec 2011	100,000,000	2011/01/12	2011/12/28	25.00
28134	BOCI Asia Ltd. - CNOOC European Warrants Dec 2011	100,000,000	2011/01/12	2011/12/19	25.00
28135	BOCI Asia Ltd. - HSBC European Warrants May 2011	100,000,000	2011/01/12	2011/05/30	17.00
28151	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jul 2011	200,000,000	2011/01/12	2011/07/04	34.00
28152	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jun 2011 C	200,000,000	2011/01/12	2011/06/02	38.00
28153	BNP Paribas Arbit Issu B.V. - HSBC European Wts Aug 2011	200,000,000	2011/01/12	2011/08/02	40.00
28154	BNP Paribas Arbit Issu B.V. - Swrie Pacific A Euro Wts Dec12	100,000,000	2011/01/12	2012/12/04	26.00
28155	Credit Suisse AG - HSBC European Wts Sep 2011	300,000,000	2011/01/12	2011/09/19	45.00
28136	Citigroup Global Mkt H Inc. - HKEx European Wts Jul 2011	100,000,000	2011/01/12	2011/07/26	15.00
28137	Citigroup Global Mkt H Inc. -Yanzhou Coal European Wt Jun11C	80,000,000	2011/01/12	2011/06/15	12.00
28138	Deutsche Bank AG - Alibaba European Warrants Sep 2011	80,000,000	2011/01/12	2011/09/20	20.80
10033	Deutsche Bank AG - Apple European Warrants Jul 2011 A	50,000,000	2011/01/12	2011/07/12	116.50
28139	Deutsche Bank AG - Cathay Pacific European Warrants May 2011	100,000,000	2011/01/12	2011/05/23	15.00
28140	Deutsche Bank AG - Esprit European Warrants Jul 2011	100,000,000	2011/01/12	2011/07/18	29.00
28141	Deutsche Bank AG - Jiangxi Copper European Warrants May 2011	80,000,000	2011/01/12	2011/05/30	12.00
28142	Deutsche Bank AG - Sands China European Warrants Jul 2011 A	80,000,000	2011/01/12	2011/07/18	20.00
28149	Goldman Sachs SP (Asia) - China Mobile Euro Put Wts Jul 2011	200,000,000	2011/01/12	2011/07/11	52.00
28150	Goldman Sachs SP (Asia) - Hutchison European Wts May 2011 B	200,000,000	2011/01/12	2011/05/30	78.00
28143	Macquarie Bank Ltd. - Anta Sports European Warrants Jan 2012	100,000,000	2011/01/12	2012/01/05	25.10
28144	Macquarie Bank Ltd. - BOCL European Warrants Aug 2011	100,000,000	2011/01/12	2011/08/02	25.00
28146	Macquarie Bank Ltd. - China Overseas European Wts Dec 2011	100,000,000	2011/01/12	2011/12/14	15.70
28145	Macquarie Bank Ltd. - Digital China European Warrants Dec 11	100,000,000	2011/01/12	2011/12/02	25.10

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28148	Macquarie Bank Ltd. - Hutchison European Put Wts Oct 2011	100,000,000	2011/01/12	2011/10/10	15.30
28147	Macquarie Bank Ltd. - Hutchison European Warrants Jul 2011 B	150,000,000	2011/01/12	2011/07/12	37.50
28125	Macquarie Bank Ltd. - Sh Ind H European Warrants Jul 2011	100,000,000	2011/01/12	2011/07/28	25.00
28158	RB of Scotland N.V. - CC Bank European Warrants Jul 2011 A	100,000,000	2011/01/12	2011/07/18	26.60
28156	RB of Scotland N.V. - China Coal European Warrants Oct 2011	200,000,000	2011/01/12	2011/10/03	50.20
28157	RB of Scotland N.V. - China Coal European Warrants Nov 2011	200,000,000	2011/01/12	2011/11/28	34.40
28126	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jun 2011 B	200,000,000	2011/01/12	2011/06/27	36.00
28128	SGA Societe Generale Acceptance N.V. -Ch Mob Eu Wt Jul 2011A	200,000,000	2011/01/12	2011/07/18	50.00
28127	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jun 2011 B	200,000,000	2011/01/12	2011/06/01	56.00
28129	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jul 2011	400,000,000	2011/01/12	2011/07/18	80.00
28132	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Sep 11A	150,000,000	2011/01/12	2011/09/05	37.50
28130	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jun 2011 E	200,000,000	2011/01/12	2011/06/01	84.00
28131	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Aug 11	200,000,000	2011/01/12	2011/08/29	50.00
28159	UBS AG - CC Bank European Warrants Jun 2011 A	200,000,000	2011/01/12	2011/06/07	40.00
28160	UBS AG - China Life European Warrants Jun 2011 C	300,000,000	2011/01/12	2011/06/27	45.00
28161	UBS AG - China Mobile European Warrants Jul 2011 B	200,000,000	2011/01/12	2011/07/04	30.00
28162	UBS AG - Hutchison European Warrants Jul 2011 C	200,000,000	2011/01/12	2011/07/18	104.00
25702#	Macquarie Bank Ltd. - Hutchison European Warrants Jun 2011 B	300,000,000	2011/01/12	2011/06/02	120.00
22348#	Credit Suisse AG - Ping An European Warrants Nov 2011 B	400,000,000	2011/01/12	2011/11/07	43.60
26424#	UBS AG - HKEx European Warrants May 2011 F	300,000,000	2011/01/12	2011/05/23	32.70
28175	BOCI Asia Ltd. - Anta Sports European Warrants Nov 2011	100,000,000	2011/01/13	2011/11/02	19.00
28176	BOCI Asia Ltd. - Ch Agri-Ind European Warrants Dec 2012	100,000,000	2011/01/13	2012/12/31	25.00
28177	BOCI Asia Ltd. - HS Bank European Warrants Jul 2011	100,000,000	2011/01/13	2011/07/05	15.00
28178	BOCI Asia Ltd. - MTRC European Warrants Oct 2011	100,000,000	2011/01/13	2011/10/31	15.00
28181	BNP Paribas Arbit Issu B.V. - CMOC European Warrants Dec2012	100,000,000	2011/01/13	2012/12/04	25.00
28182	BNP Paribas Arbit Issu B.V. - CSR European Warrants Oct 2011	100,000,000	2011/01/13	2011/10/04	25.00
28183	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Euro Wts Nov 2011	100,000,000	2011/01/13	2011/11/02	32.00
28172	Citigroup Global Mkt H Inc. - HSBC European Wts Jun 2011	80,000,000	2011/01/13	2011/06/14	20.00
28171	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2011 A	200,000,000	2011/01/13	2011/08/30	50.00
28170	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2011 D	200,000,000	2011/01/13	2011/07/28	51.00
28174	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2011 E	200,000,000	2011/01/13	2011/07/28	50.00
28173	HK Bank - HWL European Warrants May 2011	150,000,000	2011/01/13	2011/05/09	37.50
28198	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Apr 2011 B	200,000,000	2011/01/13	2011/04/13	56.20
28188	KBC Fin Products Int'l Ltd. - Angang Steel Euro Wts Aug11A	108,000,000	2011/01/13	2011/08/15	27.00
28189	KBC Fin Products Int'l Ltd. - Angang Steel Euro Wts Aug11B	108,000,000	2011/01/13	2011/08/01	16.20
28194	KBC Fin Products Int'l Ltd. - Angang Steel Euro Put Wt Jul11	80,000,000	2011/01/13	2011/07/15	20.00
28190	KBC Fin Products Int'l Ltd. - Belle European Wts Aug 2011	108,000,000	2011/01/13	2011/08/01	16.20
28195	KBC Fin Products Int'l Ltd. - Belle European Put Wts Aug 11	80,000,000	2011/01/13	2011/08/15	21.60
28191	KBC Fin Products Int'l Ltd. - CNBM European Warrants Aug 11	88,000,000	2011/01/13	2011/08/01	16.72
28196	KBC Fin Products Int'l Ltd. - CNBM European Put Wts Jul11	88,000,000	2011/01/13	2011/07/15	24.64
28192	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts May 2011 C	238,000,000	2011/01/13	2011/05/23	35.70
28193	KBC Fin Products Int'l Ltd. - YZ Coal European Wts Jul 2011A	80,000,000	2011/01/13	2011/07/15	20.00
28197	KBC Fin Products Int'l Ltd. - Yanzhou Coal Euro Put Wt Aug11	68,000,000	2011/01/13	2011/08/01	26.52
28184	Macquarie Bank Ltd. - Agile Ppt European Warrants Jul 2011 B	100,000,000	2011/01/13	2011/07/15	15.00

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28185	Macquarie Bank Ltd. - BOCHK European Warrants Nov 2011	150,000,000	2011/01/13	2011/11/04	37.50
28186	Macquarie Bank Ltd. - China EB Ltd European Warrants Aug 11	150,000,000	2011/01/13	2011/08/15	22.80
28199	Macquarie Bank Ltd. -GCL-Poly Energy European Warrants Jul11	250,000,000	2011/01/13	2011/07/28	62.50
28187	Macquarie Bank Ltd. - Sh Petrochem European Wts Jul 2011	88,000,000	2011/01/13	2011/07/13	22.35
28163	Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Apr 11	80,000,000	2011/01/13	2011/04/26	19.52
28164	Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jun 11	80,000,000	2011/01/13	2011/06/14	12.32
28168	Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put Wt Jun11	80,000,000	2011/01/13	2011/06/07	21.60
28165	Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Apr 2011C	80,000,000	2011/01/13	2011/04/26	12.64
28166	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2011 A	80,000,000	2011/01/13	2011/06/08	12.32
28167	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jul 2011	80,000,000	2011/01/13	2011/07/04	15.84
28169	Merrill Lynch Int'l & Co. C.V. - ICBC European Put Wts Apr11	80,000,000	2011/01/13	2011/04/26	12.16
28180	Standard Chartered Bank - CNOOC European Warrants Jul 2011	100,000,000	2011/01/13	2011/07/25	15.00
28179	Standard Chartered Bank - HSBC European Warrants May 2011	100,000,000	2011/01/13	2011/05/27	20.00
26154#	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts May 2011 D	300,000,000	2011/01/13	2011/05/06	42.30
28201	BOCI Asia Ltd. - Alibaba European Warrants Dec 2011	100,000,000	2011/01/14	2011/12/05	25.00
28202	BOCI Asia Ltd. - Belle Int'l European Warrants Dec 2011	100,000,000	2011/01/14	2011/12/12	25.00
28203	BOCI Asia Ltd. - BYD European Warrants May 2011	100,000,000	2011/01/14	2011/05/17	17.00
28204	BOCI Asia Ltd. - CITBK European Warrants Sep 2011	100,000,000	2011/01/14	2011/09/05	30.00
28220	Citigroup Global Mkt H Inc. - China Life Euro Wts Jul 2011	120,000,000	2011/01/14	2011/07/18	30.00
28221	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Oct 2012	100,000,000	2011/01/14	2012/10/19	15.00
28206	Deutsche Bank AG - Anhui Conch European Warrants Jun 2011	80,000,000	2011/01/14	2011/06/07	36.00
28208	Deutsche Bank AG - Bank of EA European Warrants Jul 2011	80,000,000	2011/01/14	2011/07/18	20.80
28209	Deutsche Bank AG - China Overseas European Warrants Oct 2011	80,000,000	2011/01/14	2011/10/10	20.00
10038	Deutsche Bank AG - DJIA European Warrants Sep 2011	100,000,000	2011/01/14	2011/09/19	25.00
10042	Deutsche Bank AG - DJIA European Put Warrants Sep 2011	100,000,000	2011/01/14	2011/09/19	35.00
10035	Deutsche Bank AG - Nikkei 225 Index European Wts Sep 2011	100,000,000	2011/01/14	2011/09/09	25.00
10039	Deutsche Bank AG - Nikkei 225 Index Euro Put Wts Sep 2011	100,000,000	2011/01/14	2011/09/09	32.00
28210	Deutsche Bank AG - Sinofert European Warrants Jul 2011	80,000,000	2011/01/14	2011/07/18	24.80
28211	Deutsche Bank AG - Wynn Macau European Warrants Jul 2011	80,000,000	2011/01/14	2011/07/18	20.00
28200	Goldman Sachs SP (Asia) - HKEx European Warrants Jul 2011	200,000,000	2011/01/14	2011/07/18	30.00
28207	HK Bank - CC Bank European Warrants May 2011 B	150,000,000	2011/01/14	2011/05/18	37.50
28212	J P Morgan SP BV - China Coal European Warrants Aug 2011	200,000,000	2011/01/14	2011/08/25	30.00
28205	Macquarie Bank Ltd. - HKEx European Put Warrants Aug 2011	250,000,000	2011/01/14	2011/08/03	62.50
28215	RB of Scotland N.V. - China Life European Wts Aug 2011 A	200,000,000	2011/01/14	2011/08/01	30.60
28216	RB of Scotland N.V. - HSBC European Warrants Apr 2011	100,000,000	2011/01/14	2011/04/28	45.50
28217	RB of Scotland N.V. - HSBC European Warrants May 2011	100,000,000	2011/01/14	2011/05/09	31.10
28218	RB of Scotland N.V. - HSBC European Warrants Jul 2011 A	100,000,000	2011/01/14	2011/07/14	26.20
28219	RB of Scotland N.V. - HWL European Warrants Jul 2011	100,000,000	2011/01/14	2011/07/14	25.40
28213	UBS AG - HKEx European Warrants Nov 2011 B	300,000,000	2011/01/14	2011/11/28	75.00
28214	UBS AG - Hutchison European Warrants Aug 2011	300,000,000	2011/01/14	2011/08/15	75.00
28233	Deutsche Bank AG - Hutchison European Warrants Jul 2011 A	150,000,000	2011/01/17	2011/07/25	30.00
28232	Deutsche Bank AG - Hutchison European Warrants May 2011 B	150,000,000	2011/01/17	2011/05/30	24.00
28234	Goldman Sachs SP (Asia) - Evergrande European Wts Jul 2011 B	100,000,000	2011/01/17	2011/07/18	47.50
28235	Goldman Sachs SP (Asia) - Ping An European Warrants May 2011	200,000,000	2011/01/17	2011/05/04	62.00

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28231	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Apr 2011 C	200,000,000	2011/01/17	2011/04/26	34.20
28236	KBC Fin Products Int'l Ltd. - China Shenhua Eu Wt Aug 2011	80,000,000	2011/01/17	2011/08/01	20.00
28222	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2011 B	80,000,000	2011/01/17	2011/06/27	32.40
28223	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jun 2011 A	60,000,000	2011/01/17	2011/06/14	26.40
28224	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jan 2013	200,000,000	2011/01/17	2013/01/31	30.00
28225	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts May 2011	60,000,000	2011/01/17	2011/05/11	16.20
28226	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jun 2011	60,000,000	2011/01/17	2011/06/14	18.90
28227	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Dec 2011	150,000,000	2011/01/17	2011/12/21	22.50
28228	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Mar 2012 B	150,000,000	2011/01/17	2012/03/13	22.50
28229	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Put Wts Jul 12	100,000,000	2011/01/17	2012/07/30	25.00
28237	RB of Scotland N.V. - Alibaba European Warrants Dec 2011	200,000,000	2011/01/17	2011/12/05	50.00
28238	RB of Scotland N.V. - Belle Int'l European Warrants Nov 2011	200,000,000	2011/01/17	2011/11/14	37.00
28230	Standard Chartered Bank - CPIC European Warrants May 2011	100,000,000	2011/01/17	2011/05/23	17.50
26679#	Credit Suisse AG - HSBC European Wts Apr 2011 D	300,000,000	2011/01/17	2011/04/04	111.00
26978#	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jun 2011	290,000,000	2011/01/17	2011/06/03	68.73
25565#	KBC Fin Products Int'l Ltd. - China Life Euro Wts Apr 2011 B	300,000,000	2011/01/17	2011/04/12	39.90
26484#	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2011 D	100,000,000	2011/01/17	2011/05/11	38.00
28247	BOCI Asia Ltd. - China Mobile European Warrants Aug 2011	100,000,000	2011/01/18	2011/08/01	25.00
28265	BNP Paribas Arbit Issu B.V. - Agile Ppt European Wts Jan 12	100,000,000	2011/01/18	2012/01/04	25.00
28266	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Feb 2012	75,000,000	2011/01/18	2012/02/02	26.25
28249	Credit Suisse AG - Alibaba European Warrants Jun 2011	200,000,000	2011/01/18	2011/06/20	30.00
28250	Credit Suisse AG - BOC HK European Warrants Jun 2011 A	200,000,000	2011/01/18	2011/06/24	30.00
28251	Credit Suisse AG - Cheung Kong European Warrants Jun 2011 B	200,000,000	2011/01/18	2011/06/10	60.00
28252	Credit Suisse AG - Esprit European Warrants May 2011	200,000,000	2011/01/18	2011/05/31	30.00
28253	Credit Suisse AG - Hang Seng Bank European Warrants May 2011	200,000,000	2011/01/18	2011/05/23	78.00
28254	Credit Suisse AG - Hutchison European Warrants Oct 2012	300,000,000	2011/01/18	2012/10/15	45.00
28255	Credit Suisse AG - Hutchison European Put Warrants Jul 2011B	300,000,000	2011/01/18	2011/07/18	75.00
28256	Credit Suisse AG - Hutchison European Put Warrants Oct 2011	300,000,000	2011/01/18	2011/10/10	45.00
28257	Credit Suisse AG - Yanzhou Coal European Warrants Jun 2011 A	200,000,000	2011/01/18	2011/06/07	50.00
10043	Deutsche Bank AG - Apple European Warrants Jul 2011 B	50,000,000	2011/01/18	2011/07/18	81.00
28248	Deutsche Bank AG - Sands China European Warrants Jul 2011 B	80,000,000	2011/01/18	2011/07/25	20.00
28268	Bank of East Asia - China Overseas European Warrants Dec 11	90,000,000	2011/01/18	2011/12/23	22.50
28267	Bank of East Asia - CNOOC European Warrants Dec 2011	72,000,000	2011/01/18	2011/12/23	18.00
28269	Bank of East Asia - HKEx European Warrants Dec 2011	75,000,000	2011/01/18	2011/12/23	18.75
28270	Bank of East Asia - JIANC European Warrants Dec 2011	47,000,000	2011/01/18	2011/12/23	12.69
28258	Goldman Sachs SP (Asia) - China Life Euro Wts Jul 2011 B	200,000,000	2011/01/18	2011/07/25	61.60
28260	Goldman Sachs SP (Asia) - CPIC European Warrants Jun 2011	200,000,000	2011/01/18	2011/06/07	30.80
28259	Goldman Sachs SP (Asia) - Hutchison European Wts Jul 2011 B	200,000,000	2011/01/18	2011/07/25	106.00
28239	HK Bank - China Coal European Warrants Nov 2011 B	100,000,000	2011/01/18	2011/11/28	15.00
28240	HK Bank - China Life European Warrants Jun 2011 A	150,000,000	2011/01/18	2011/06/03	22.50
28241	HK Bank - CM Bank European Warrants Sep 2011	120,000,000	2011/01/18	2011/09/20	30.00
28242	HK Bank - ZTE European Warrants Aug 2011	100,000,000	2011/01/18	2011/08/18	25.00
28244	J P Morgan SP BV - Ping An European Warrants Apr 2011	100,000,000	2011/01/18	2011/04/18	34.60
28245	J P Morgan SP BV - Ping An European Warrants Jun 2011	100,000,000	2011/01/18	2011/06/30	25.80

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28243	J P Morgan SP BV - Yurun Food European Warrants Jul 2011	80,000,000	2011/01/18	2011/07/18	20.00
28246	Macquarie Bank Ltd. - Minsheng Bank European Warrants Jul 11	88,000,000	2011/01/18	2011/07/18	22.09
28261	RB of Scotland N.V. - BOCL European Warrants Aug 2011	100,000,000	2011/01/18	2011/08/01	28.20
10040	RB of Scotland N.V. - DJIA European Warrants Sep 2011	100,000,000	2011/01/18	2011/09/16	25.30
10044	RB of Scotland N.V. - DJIA European Put Warrants Sep 2011 A	100,000,000	2011/01/18	2011/09/16	25.00
10041	RB of Scotland N.V. - Gold European Put Warrants Aug 2011	100,000,000	2011/01/18	2011/08/01	25.00
28262	RB of Scotland N.V. - HKEx European Warrants Oct 2011 B	200,000,000	2011/01/18	2011/10/10	30.00
28264	UBS AG - Hutchison European Warrants Jul 2011 D	300,000,000	2011/01/18	2011/07/25	75.00
28263	UBS AG - Ping An European Warrants May 2011 C	200,000,000	2011/01/18	2011/05/03	80.00
25413#	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Mar11F	350,000,000	2011/01/18	2011/03/30	11.55
24663#	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Mar 2011B	100,000,000	2011/01/18	2011/03/07	19.40
25840#	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Apr 2011 B	120,000,000	2011/01/18	2011/04/20	30.00
28281	Credit Suisse AG - China Tel European Warrants Apr 2011	200,000,000	2011/01/19	2011/04/29	30.00
28282	Credit Suisse AG - China Tel European Warrants May 2011	200,000,000	2011/01/19	2011/05/16	30.00
28280	Credit Suisse AG - HSBC European Wts Apr 2011 F	300,000,000	2011/01/19	2011/04/26	45.00
28276	Credit Suisse AG - Yanzhou Coal European Warrants Jul 2011	200,000,000	2011/01/19	2011/07/19	50.00
28277	Deutsche Bank AG - CNOOC European Warrants Dec 2011	200,000,000	2011/01/19	2011/12/12	36.60
28278	Deutsche Bank AG - CNOOC European Put Warrants Jun 2011	100,000,000	2011/01/19	2011/06/27	15.00
28279	Deutsche Bank AG - Hutchison European Put Warrants Oct 2011	100,000,000	2011/01/19	2011/10/17	15.00
28271	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2011 B	200,000,000	2011/01/19	2011/07/25	50.00
28274	Macquarie Bank Ltd. - ICBC European Warrants Aug 2011	150,000,000	2011/01/19	2011/08/02	42.30
28285	CC Rabobank B.A. - HKEx European Warrants May 2011 C	200,000,000	2011/01/19	2011/05/25	30.00
28284	CC Rabobank B.A. - Lenovo European Warrants Jul 2011	80,000,000	2011/01/19	2011/07/25	42.40
28283	CC Rabobank B.A. - China Mengniu European Warrants Jul 2011	100,000,000	2011/01/19	2011/07/28	25.00
28286	CC Rabobank B.A. - Tencent Holdings European Wts Jul 2011	150,000,000	2011/01/19	2011/07/21	25.50
28275	Standard Chartered Bank - China Mobile Euro Wts Sep 2011	100,000,000	2011/01/19	2011/09/09	40.00
28272	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Jul 11	100,000,000	2011/01/19	2011/07/27	34.00
28273	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jun 2011 F	200,000,000	2011/01/19	2011/06/13	66.00
24607#	Macquarie Bank Ltd. - ICBC European Warrants Mar 2011	200,000,000	2011/01/19	2011/03/02	29.00
25892#	Credit Suisse AG - Tencent Holdings European Put Wts Apr 11	300,000,000	2011/01/19	2011/04/26	19.80
28287	BOCI Asia Ltd. - Evergrande European Warrants Jul 2011	100,000,000	2011/01/20	2011/07/25	30.00
28288	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Jul 2011B	100,000,000	2011/01/20	2011/07/25	30.00
28331	BNP Paribas Arbit Issu B.V. - HK Gas European Warrants Sep11	90,000,000	2011/01/20	2011/09/02	22.50
28332	BNP Paribas Arbit Issu B.V. - Sh Ind H European Wts Aug11	40,000,000	2011/01/20	2011/08/02	12.80
28333	BNP Paribas Arbit Issu B.V. - Stanchart European Wts Aug11	100,000,000	2011/01/20	2011/08/02	25.00
28321	Credit Suisse AG - Ch Comm Cons European Warrants May 2011	200,000,000	2011/01/20	2011/05/16	30.00
28322	Credit Suisse AG - CPIC European Warrants May 2011 B	200,000,000	2011/01/20	2011/05/30	30.00
28326	Credit Suisse AG - A50 China European Put Warrants Sep 2011	300,000,000	2011/01/20	2011/09/22	45.00
28324	Credit Suisse AG - HSBC European Put Warrants Sep 2011	300,000,000	2011/01/20	2011/09/27	45.00
28316	Credit Suisse AG - HSBC European Wts Jun 2011 B	300,000,000	2011/01/20	2011/06/21	45.00
28323	Credit Suisse AG - HSI European Put Warrants May 2011 C	300,000,000	2011/01/20	2011/05/30	45.00
28315	Credit Suisse AG - HSI European Warrants Apr 2011 J	300,000,000	2011/01/20	2011/04/28	45.00
28317	Credit Suisse AG - Hutchison European Warrants Jul 2011 C	300,000,000	2011/01/20	2011/07/25	75.00
28325	Credit Suisse AG - Hutchison European Put Warrants Jul 2011D	300,000,000	2011/01/20	2011/07/11	102.00

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28318	Credit Suisse AG - Jiangxi Copper European Wts May 2011 B	200,000,000	2011/01/20	2011/05/24	30.00
28319	Credit Suisse AG - Tencent Holdings European Wts Jun 2011 G	300,000,000	2011/01/20	2011/06/20	45.00
28320	Credit Suisse AG - Tencent Holdings European Wts Jun 2011 H	300,000,000	2011/01/20	2011/06/23	45.00
28329	Deutsche Bank AG - China Telecom European Wts May 2011	150,000,000	2011/01/20	2011/05/17	26.55
28327	Deutsche Bank AG - Cheung Kong European Put Wts Nov 2011	100,000,000	2011/01/20	2011/11/07	15.00
28328	Deutsche Bank AG - China Life European Put Wts Jul 2011	100,000,000	2011/01/20	2011/07/25	25.00
28330	Deutsche Bank AG - HSBC European Warrants Jul 2011 A	300,000,000	2011/01/20	2011/07/25	150.00
28306	Goldman Sachs SP (Asia) - China Tel European Wts May 2011	200,000,000	2011/01/20	2011/05/09	51.00
28307	Goldman Sachs SP (Asia) - China Tel European Wts Jul 2011	200,000,000	2011/01/20	2011/07/25	70.00
28308	Goldman Sachs SP (Asia) - China Unicom European Wts Oct11	200,000,000	2011/01/20	2011/10/21	31.40
28309	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2011 A	200,000,000	2011/01/20	2011/06/03	56.00
28310	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2011 B	200,000,000	2011/01/20	2011/06/07	32.40
28311	Goldman Sachs SP (Asia) - Hutchison European Wts May 2011 C	200,000,000	2011/01/20	2011/05/30	47.40
28313	HK Bank - China COSCO European Warrants May 2012	100,000,000	2011/01/20	2012/05/18	25.00
28305	HK Bank - HSI European Put Warrants Jul 2011	300,000,000	2011/01/20	2011/07/28	75.00
28303	HK Bank - HSI European Warrants Dec 2011 A	300,000,000	2011/01/20	2011/12/29	75.00
28304	HK Bank - HSI European Warrants Dec 2011 B	300,000,000	2011/01/20	2011/12/29	75.00
28298	J P Morgan SP BV - HSBC European Warrants May 2011	200,000,000	2011/01/20	2011/05/09	57.80
28296	J P Morgan SP BV - HSBC European Warrants Apr 2011 D	200,000,000	2011/01/20	2011/04/27	30.00
28297	J P Morgan SP BV - HSBC European Warrants Apr 2011 E	200,000,000	2011/01/20	2011/04/21	30.00
28299	J P Morgan SP BV - HSBC European Warrants Jul 2011 A	200,000,000	2011/01/20	2011/07/28	67.40
28300	J P Morgan SP BV - HSBC European Warrants Jul 2011 B	200,000,000	2011/01/20	2011/07/20	51.40
28301	J P Morgan SP BV - HSBC European Warrants Jul 2011 C	200,000,000	2011/01/20	2011/07/28	50.00
28302	J P Morgan SP BV - Stanchart European Warrants Jul 2011	100,000,000	2011/01/20	2011/07/20	25.00
28314	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Jul 11C	108,000,000	2011/01/20	2011/07/04	17.28
28312	Macquarie Bank Ltd. - HSBC European Warrants Aug 2011	300,000,000	2011/01/20	2011/08/02	75.00
28289	Standard Chartered Bank - HSBC European Warrants Sep 2011 B	100,000,000	2011/01/20	2011/09/19	25.00
28292	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2011 A	300,000,000	2011/01/20	2011/08/03	48.00
28290	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jun 2011 C	300,000,000	2011/01/20	2011/06/08	90.00
28291	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2011 C	300,000,000	2011/01/20	2011/07/20	69.00
28293	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jun 11	80,000,000	2011/01/20	2011/06/08	46.40
28294	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jul 11	80,000,000	2011/01/20	2011/07/04	39.20
28295	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Dec 11	500,000,000	2011/01/20	2011/12/19	75.00
28334	UBS AG - AIA European Warrants Oct 2011	200,000,000	2011/01/20	2011/10/24	30.00
28335	UBS AG - CC Bank European Warrants Jul 2011 A	200,000,000	2011/01/20	2011/07/25	50.00
28336	UBS AG - China Life European Warrants Jul 2011 B	300,000,000	2011/01/20	2011/07/25	75.00
28338	UBS AG - HSBC European Warrants Jul 2011 A	300,000,000	2011/01/20	2011/07/25	75.00
28337	UBS AG - HSBC European Warrants Jun 2011 D	300,000,000	2011/01/20	2011/06/21	45.00
26556#	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts May 2011 A	150,000,000	2011/01/20	2011/05/05	102.00
28395	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Put Wts Nov11	100,000,000	2011/01/21	2011/11/04	15.00
28394	BNP Paribas Arbit Issu B.V. - Hutchison Euro Put Wt Aug 2011	70,000,000	2011/01/21	2011/08/02	50.40
28377	BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Nov 11	100,000,000	2011/01/21	2011/11/07	26.00
28372	Credit Suisse AG - CNOOC European Warrants Dec 2011	300,000,000	2011/01/21	2011/12/12	45.00
28373	Credit Suisse AG - CNOOC European Put Warrants Jun 2011 E	300,000,000	2011/01/21	2011/06/27	45.00

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28397	Credit Suisse AG - Tencent Holdings European Wts Oct 2011	300,000,000	2011/01/21	2011/10/24	75.00
28398	Credit Suisse AG - Tencent Holdings European Put Wts Aug 11	300,000,000	2011/01/21	2011/08/29	75.00
28389	Deutsche Bank AG - CC Bank European Warrants May 2011 C	200,000,000	2011/01/21	2011/05/30	38.00
28390	Deutsche Bank AG - Sinopec Corp European Warrants Jun 2011 B	100,000,000	2011/01/21	2011/06/20	36.00
28391	Deutsche Bank AG - Sinopec Corp European Put Wts Aug 2011	80,000,000	2011/01/21	2011/08/01	31.20
28392	Deutsche Bank AG - Dongfeng Motor European Warrants Jul 11	80,000,000	2011/01/21	2011/07/18	12.00
28393	Deutsche Bank AG - Tencent Holdings European Put Wt Jul 2011	100,000,000	2011/01/21	2011/07/25	25.00
28360	Goldman Sachs SP (Asia) - BOC HK European Warrants Jun 2011B	200,000,000	2011/01/21	2011/06/29	34.80
28361	Goldman Sachs SP (Asia) - CITIC Pacific European Wts Aug 11	200,000,000	2011/01/21	2011/08/22	50.00
28375	Goldman Sachs SP (Asia) - GCL-Poly Energy European Wts Jul11	200,000,000	2011/01/21	2011/07/25	66.00
28362	Goldman Sachs SP (Asia) - HS Bank European Warrants Jul 2011	200,000,000	2011/01/21	2011/07/22	50.00
28363	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2011 F	200,000,000	2011/01/21	2011/07/28	30.80
28374	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2011 G	200,000,000	2011/01/21	2011/07/28	54.00
28376	Goldman Sachs SP (Asia) -Tencent Holdings European Wt Jun 11	200,000,000	2011/01/21	2011/06/27	32.40
28364	J P Morgan SP BV - China Everbright European Wts Aug 2011	150,000,000	2011/01/21	2011/08/22	37.50
28383	J P Morgan SP BV - China Life European Warrants Jun 2011 B	200,000,000	2011/01/21	2011/06/30	30.00
28365	J P Morgan SP BV - Sinopec Corp European Warrants Aug 2011	100,000,000	2011/01/21	2011/08/29	25.00
28366	J P Morgan SP BV - Hutchison European Warrants Jul 2011	80,000,000	2011/01/21	2011/07/21	20.00
28367	J P Morgan SP BV - Hutchison European Warrants Oct 2012	300,000,000	2011/01/21	2012/10/15	45.00
28368	J P Morgan SP BV - PetroChina European Warrants Jul 2011	100,000,000	2011/01/21	2011/07/28	39.00
28370	J P Morgan SP BV - Tencent European Warrants Sep 2011	200,000,000	2011/01/21	2011/09/12	50.00
28382	KBC Fin Products Int'l Ltd. - CPIC European Wts Aug 2011	108,000,000	2011/01/21	2011/08/01	27.00
28381	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Aug 11	108,000,000	2011/01/21	2011/08/01	27.00
28385	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Aug11A	108,000,000	2011/01/21	2011/08/01	35.64
28379	Macquarie Bank Ltd. - CNOOC European Warrants Dec 2011	200,000,000	2011/01/21	2011/12/02	50.00
28378	Macquarie Bank Ltd. - Chi Res Power European Warrants Aug 11	200,000,000	2011/01/21	2011/08/15	30.00
28369	Macquarie Bank Ltd. - Tencent European Warrants Nov 2011 A	250,000,000	2011/01/21	2011/11/02	62.75
28380	Macquarie Bank Ltd. - Tencent European Put Warrants Aug 11B	250,000,000	2011/01/21	2011/08/02	63.75
28348	Nomura Int'l plc - BOCL European Warrants Jul 2011 B	150,000,000	2011/01/21	2011/07/08	24.30
28339	Nomura Int'l plc - Cheung Kong European Warrants May 2011	100,000,000	2011/01/21	2011/05/31	60.00
28346	Nomura Int'l plc - GCL-Poly Energy European Warrants Jul 11A	80,000,000	2011/01/21	2011/07/29	26.72
28347	Nomura Int'l plc - GCL-Poly Energy European Warrants Jul 11B	80,000,000	2011/01/21	2011/07/29	31.76
28340	Nomura Int'l plc - HK Gas European Warrants Oct 2011	100,000,000	2011/01/21	2011/10/31	15.00
28345	Nomura Int'l plc - China Mengniu European Warrants Jul 2011	100,000,000	2011/01/21	2011/07/21	25.40
28343	Nomura Int'l plc - Tencent Holdings European Warrants Aug 11	150,000,000	2011/01/21	2011/08/01	26.10
28344	Nomura Int'l plc - Tencent Holdings European Warrants Sep 11	150,000,000	2011/01/21	2011/09/05	23.10
28342	Nomura Int'l plc - Wharf European Warrants Jun 2011	80,000,000	2011/01/21	2011/06/07	26.72
28341	Nomura Int'l plc - Wharf European Warrants Jul 2011	80,000,000	2011/01/21	2011/07/29	24.80
28356	CC Rabobank B.A. - Shanshui Cement European Warrants Jul 11	50,000,000	2011/01/21	2011/07/28	25.00
28359	CC Rabobank B.A. - Hutchison European Warrants Oct 2012	300,000,000	2011/01/21	2012/10/15	45.00
28357	CC Rabobank B.A. - Hutchison European Warrants May 2011 C	100,000,000	2011/01/21	2011/05/30	45.00
28358	CC Rabobank B.A. - Hutchison European Warrants May 2011 D	100,000,000	2011/01/21	2011/05/30	25.00
28371	Standard Chartered Bank - ICBC European Warrants Sep 2011	100,000,000	2011/01/21	2011/09/01	25.00
28396	Standard Chartered Bank - Tencent Hldg European Wts May 2011	100,000,000	2011/01/21	2011/05/23	15.00

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28350	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Jul 11B	200,000,000	2011/01/21	2011/07/25	50.00
28349	SGA Societe Generale Acceptance N.V. - CPIC Euro Wts Aug 11	100,000,000	2011/01/21	2011/08/31	25.00
28351	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Sep 2011 B	500,000,000	2011/01/21	2011/09/26	75.00
28352	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2011 B	500,000,000	2011/01/21	2011/10/10	75.00
28353	SGA Societe Generale Acceptance N.V. - Lenovo Eur Wts Jul 11	50,000,000	2011/01/21	2011/07/25	18.50
28354	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Jul 2011	100,000,000	2011/01/21	2011/07/25	49.00
28355	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Aug 11	120,000,000	2011/01/21	2011/08/31	30.00
28384	UBS AG - Agricultural Bank European Warrants May 2011 B	200,000,000	2011/01/21	2011/05/16	30.00
28386	UBS AG - Hutchison European Warrants May 2011	300,000,000	2011/01/21	2011/05/30	90.00
28387	UBS AG - PetroChina European Warrants Oct 2012	300,000,000	2011/01/21	2012/10/22	45.00
28388	UBS AG - Tencent Holdings European Warrants Sep 2011 A	200,000,000	2011/01/21	2011/09/26	50.00
10033#	Deutsche Bank AG - Apple European Warrants Jul 2011 A	50,000,000	2011/01/21	2011/07/12	98.50
26865#	KBC Fin Products Int'l Ltd. - HSBC European Wts May 2011 F	310,000,000	2011/01/21	2011/05/03	77.50
28426	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Jun 2011	75,000,000	2011/01/24	2011/06/02	21.75
28427	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Oct 2012	100,000,000	2011/01/24	2012/10/29	15.00
28413	Deutsche Bank AG - Alibaba European Warrants May 2011	80,000,000	2011/01/24	2011/05/23	12.00
10045	Deutsche Bank AG - Apple European Put Warrants Jul 2011	50,000,000	2011/01/24	2011/07/25	104.50
28415	Deutsche Bank AG - China EB Ltd European Warrants Oct 2011	80,000,000	2011/01/24	2011/10/17	20.00
28414	Deutsche Bank AG - Cheung Kong European Put Wts Oct 2011 B	100,000,000	2011/01/24	2011/10/24	16.00
28416	Deutsche Bank AG - COSCO Pacific European Warrants Dec 2011	80,000,000	2011/01/24	2011/12/19	20.00
28417	Deutsche Bank AG - Lenovo European Warrants Jul 2011	80,000,000	2011/01/24	2011/07/25	41.60
28424	Goldman Sachs SP (Asia) - CHALCO European Warrants Apr 2011	200,000,000	2011/01/24	2011/04/26	45.40
28401	Goldman Sachs SP (Asia) - BOC HK European Warrants Jul 2011	200,000,000	2011/01/24	2011/07/05	31.20
28425	Goldman Sachs SP (Asia) - China Mobile Euro Wts Aug 2011 A	200,000,000	2011/01/24	2011/08/08	32.40
28402	Goldman Sachs SP (Asia) - Hutchison European Wts May 2011 D	200,000,000	2011/01/24	2011/05/30	64.00
28403	Goldman Sachs SP (Asia) - Hutchison European Wts May 2011 E	200,000,000	2011/01/24	2011/05/16	94.00
28404	HK Bank - CNOOC European Warrants Nov 2011	150,000,000	2011/01/24	2011/11/29	37.50
28405	HK Bank - Tencent European Warrants Oct 2011	200,000,000	2011/01/24	2011/10/03	50.00
28407	J P Morgan SP BV - Esprit European Wts Jul 2011	100,000,000	2011/01/24	2011/07/25	26.30
28406	J P Morgan SP BV - HKEx European Warrants Aug 2011 C	300,000,000	2011/01/24	2011/08/19	45.00
28422	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Aug 2011 A	238,000,000	2011/01/24	2011/08/01	59.50
28421	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jul 2011 F	238,000,000	2011/01/24	2011/07/25	64.26
28423	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Sep 11A	100,000,000	2011/01/24	2011/09/15	25.00
28408	SGA Societe Generale Acceptance N.V. -AIA European Wt Jun11C	300,000,000	2011/01/24	2011/06/08	45.00
28399	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jun 2011B	100,000,000	2011/01/24	2011/06/15	26.00
28400	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Dec 2011	400,000,000	2011/01/24	2011/12/12	60.00
28409	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2011 E	300,000,000	2011/01/24	2011/06/29	48.00
28410	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2011 B	300,000,000	2011/01/24	2011/07/28	45.00
28411	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jun 11C	300,000,000	2011/01/24	2011/06/29	48.00
28412	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jun 11D	300,000,000	2011/01/24	2011/06/29	63.00
28418	UBS AG - BOC HK European Warrants Jun 2011	200,000,000	2011/01/24	2011/06/22	30.00
28419	UBS AG - China Life European Warrants May 2011 B	300,000,000	2011/01/24	2011/05/30	45.00
28420	UBS AG - Tencent Holdings European Put Warrants Aug 2011	200,000,000	2011/01/24	2011/08/08	50.00
23506#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2011	750,000,000	2011/01/24	2011/12/05	54.00

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19134#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2011 A	500,000,000	2011/01/24	2011/10/18	55.00
27253#	Standard Chartered Bank-China Life European Warrants Jul 11B	100,000,000	2011/01/24	2011/07/04	10.30
27443#	UBS AG - China Mobile European Warrants May 2011 B	200,000,000	2011/01/24	2011/05/30	52.00
28434	BOCI Asia Ltd. - China Life European Warrants Jul 2011	100,000,000	2011/01/25	2011/07/18	16.00
28435	BOCI Asia Ltd. - Hutchison European Warrants Oct 2012	300,000,000	2011/01/25	2012/10/22	45.00
28439	BNP Paribas Arbit Issu B.V. - Ch Mer Hold European Wts Aug11	75,000,000	2011/01/25	2011/08/02	18.75
28440	BNP Paribas Arbit Issu B.V. - Chi Resources Euro Wts Aug11	55,000,000	2011/01/25	2011/08/02	13.75
28441	BNP Paribas Arbit Issu B.V. - Evergrande European Wts Aug 11	100,000,000	2011/01/25	2011/08/02	37.00
28442	BNP Paribas Arbit Issu B.V. - HKEx European Put Wts May 11B	100,000,000	2011/01/25	2011/05/03	15.00
28443	BNP Paribas Arbit Issu B.V. - HSBC European Put Wts Sep2011D	100,000,000	2011/01/25	2011/09/02	40.00
28457	Credit Suisse AG - Agricultural Bank European Wts Jun 2011 D	300,000,000	2011/01/25	2011/06/22	90.00
28458	Credit Suisse AG - AIA European Warrants Jul 2011A	300,000,000	2011/01/25	2011/07/21	45.00
28452	Credit Suisse AG - CC Bank European Warrants May 2011 C	300,000,000	2011/01/25	2011/05/23	84.00
28453	Credit Suisse AG - CC Bank European Warrants May 2011 D	300,000,000	2011/01/25	2011/05/16	75.00
28462	Credit Suisse - Cheung Kong European Put Warrants Jul 2011 B	200,000,000	2011/01/25	2011/07/25	170.00
28449	Credit Suisse AG - HSBC European Wts Apr 2011 G	300,000,000	2011/01/25	2011/04/26	75.00
28450	Credit Suisse AG - HSBC European Wts May 2011 E	300,000,000	2011/01/25	2011/05/23	75.00
28451	Credit Suisse AG - Hutchison European Warrants May 2011 G	300,000,000	2011/01/25	2011/05/06	93.00
28454	Credit Suisse AG - ICBC European Warrants May 2011 B	300,000,000	2011/01/25	2011/05/23	45.00
28455	Credit Suisse AG - ICBC European Warrants May 2011 C	300,000,000	2011/01/25	2011/05/30	57.00
28456	Credit Suisse AG - Ping An European Warrants Apr 2011 B	300,000,000	2011/01/25	2011/04/29	114.00
28463	Credit Suisse AG - Tencent Holdings European Put Wts Jul 11A	300,000,000	2011/01/25	2011/07/25	75.00
28428	Citigroup Global Mkt H Inc.-Tencent European Warrants Dec 11	100,000,000	2011/01/25	2011/12/30	25.00
10046	Deutsche Bank AG - Apple European Put Warrants Aug 2011	50,000,000	2011/01/25	2011/08/08	74.00
28474	Deutsche Bank AG - HSBC European Warrants Jun 2011 G	300,000,000	2011/01/25	2011/06/14	60.00
28472	Deutsche Bank AG - HSI European Warrants Jul 2011 A	300,000,000	2011/01/25	2011/07/28	84.00
28473	Deutsche Bank AG - HSI European Warrants Jul 2011 B	300,000,000	2011/01/25	2011/07/28	75.00
28477	Deutsche Bank AG - HSI European Put Warrants Jul 2011 C	300,000,000	2011/01/25	2011/07/28	111.00
28478	Deutsche Bank AG - HSI European Put Warrants Jul 2011 D	300,000,000	2011/01/25	2011/07/28	99.00
10047	Deutsche Bank AG - Nasdaq-100 Index European Wts Sep 2011	50,000,000	2011/01/25	2011/09/19	12.50
10051	Deutsche Bank AG - Nasdaq-100 Index Euro Put Wts Sep 2011	50,000,000	2011/01/25	2011/09/19	13.00
28429	Goldman Sachs SP (Asia) - Agricultural Bk Euro Wts Jul 11 A	200,000,000	2011/01/25	2011/07/28	54.00
28444	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2011 B	200,000,000	2011/01/25	2011/06/22	43.80
28445	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2011 A	200,000,000	2011/01/25	2011/07/25	70.00
28446	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2011 B	200,000,000	2011/01/25	2011/07/15	32.40
28447	Goldman Sachs SP (Asia) - China Mobile Euro Wts Aug 2011 B	200,000,000	2011/01/25	2011/08/29	60.00
28430	Goldman Sachs SP (Asia) - HSBC European Warrants May 2011 D	200,000,000	2011/01/25	2011/05/04	50.00
28431	Goldman Sachs SP (Asia) - HSBC European Warrants May 2011 E	200,000,000	2011/01/25	2011/05/03	38.40
28432	Goldman Sachs SP (Asia) - SHK Ppt European Warrants May 2011	200,000,000	2011/01/25	2011/05/30	62.00
28433	Goldman Sachs SP (Asia) -Tencent Holdings European Wt Oct11B	200,000,000	2011/01/25	2011/10/31	50.00
28464	J P Morgan SP BV - Cheung Kong European Warrants May 2011	100,000,000	2011/01/25	2011/05/04	25.00
28465	J P Morgan SP BV - Cheung Kong European Warrants Dec 2012	200,000,000	2011/01/25	2012/12/31	50.00
28467	J P Morgan SP BV - Hutchison European Put Warrants Oct 2011	200,000,000	2011/01/25	2011/10/10	30.00
28468	J P Morgan SP BV - Hutchison European Put Warrants Feb 2012	200,000,000	2011/01/25	2012/02/27	50.00

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28469	J P Morgan SP BV - Tencent European Warrants Jun 2011 C	200,000,000	2011/01/25	2011/06/21	30.00
28470	J P Morgan SP BV - Tencent European Warrants Nov 2011	200,000,000	2011/01/25	2011/11/28	50.00
28471	J P Morgan SP BV - Tencent European Put Warrants Sep 2011	200,000,000	2011/01/25	2011/09/19	50.00
28459	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Jul 2011	108,000,000	2011/01/25	2011/07/22	27.00
28460	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Aug2011A	108,000,000	2011/01/25	2011/08/08	37.80
28448	KBC Fin Products Int'l Ltd. - AIA European Warrants Jul11A	168,000,000	2011/01/25	2011/07/25	42.00
28436	Macquarie Bank Ltd. - Tencent European Warrants Nov 2011 B	250,000,000	2011/01/25	2011/11/02	62.75
28437	UBS AG - Alibaba European Warrants May 2011 B	200,000,000	2011/01/25	2011/05/23	30.00
28438	UBS AG - Alibaba European Warrants Aug 2011	200,000,000	2011/01/25	2011/08/29	30.00
28461	UBS AG - China Mobile European Warrants Jun 2011A	300,000,000	2011/01/25	2011/06/27	81.00
26061#	BNP Paribas Arbit Issu B.V. - BOCL European Wts May 2011	210,000,000	2011/01/25	2011/05/03	10.08
25550#	Deutsche Bank AG - China Life European Warrants Apr 2011 A	300,000,000	2011/01/25	2011/04/26	15.90
28504	Credit Suisse AG - China Mobile European Warrants Apr 2011 B	300,000,000	2011/01/26	2011/04/26	45.00
28505	Credit Suisse AG - China Mobile European Warrants May 2011 B	300,000,000	2011/01/26	2011/05/18	45.00
28506	Credit Suisse AG - China Mobile European Warrants Jun 2011 D	300,000,000	2011/01/26	2011/06/15	51.00
28507	Deutsche Bank AG - China Telecom European Wts Aug 2011	100,000,000	2011/01/26	2011/08/01	25.00
28497	Deutsche Bank AG - HSI European Warrants Dec 2011	300,000,000	2011/01/26	2011/12/29	75.00
28501	Deutsche Bank AG - HSI European Put Warrants Dec 2011	300,000,000	2011/01/26	2011/12/29	87.00
10048	Deutsche Bank AG - KOSPI 200 European Warrants Sep 2011	50,000,000	2011/01/26	2011/09/08	12.50
10052	Deutsche Bank AG - KOSPI 200 European Put Warrants Sep 2011	50,000,000	2011/01/26	2011/09/08	16.00
28488	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2011 C	200,000,000	2011/01/26	2011/07/27	100.00
28489	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2011	200,000,000	2011/01/26	2011/06/23	31.00
28499	KBC Fin Products Int'l Ltd. - China Tel Euro Wts Sep 2011	80,000,000	2011/01/26	2011/09/09	26.40
28503	KBC Fin Products Int'l Ltd. - China Tel Eur Put Wts Sep 2011	80,000,000	2011/01/26	2011/09/09	20.80
28466	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Jun11	80,000,000	2011/01/26	2011/06/20	12.16
28475	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Oct11	80,000,000	2011/01/26	2011/10/24	12.00
28476	Merrill Lynch Int'l & Co. C.V. - AIA European Put Wts May11	80,000,000	2011/01/26	2011/05/16	12.32
28483	Merrill Lynch Int'l & Co. C.V. - CK(H) European Wts Dec 12C	80,000,000	2011/01/26	2012/12/13	20.00
28484	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Oct11	80,000,000	2011/01/26	2011/10/24	13.60
28480	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Aug11A	80,000,000	2011/01/26	2011/08/22	55.20
28481	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jul 2011	80,000,000	2011/01/26	2011/07/25	95.20
28479	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts May 11B	80,000,000	2011/01/26	2011/05/23	18.00
28482	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Oct 2011	80,000,000	2011/01/26	2011/10/17	12.08
28494	CC Rabobank B.A. - Evergrande European Warrants Aug 2011	100,000,000	2011/01/26	2011/08/15	60.00
28495	CC Rabobank B.A. - GCL-Poly Energy European Wts Jul 2011	100,000,000	2011/01/26	2011/07/21	40.00
28496	CC Rabobank B.A. - SJM Holdings European Warrants Nov 2011	100,000,000	2011/01/26	2011/11/04	25.00
28508	RB of Scotland N.V. - CNOOC European Warrants Oct 2011	300,000,000	2011/01/26	2011/10/03	76.50
28509	RB of Scotland N.V. - CNOOC European Warrants Dec 2011	300,000,000	2011/01/26	2011/12/16	65.10
28510	RB of Scotland N.V. - HSBC European Warrants Jun 2011 D	100,000,000	2011/01/26	2011/06/03	21.20
28511	RB of Scotland N.V. - PetroChina European Warrants Aug 2011	60,000,000	2011/01/26	2011/08/01	27.72
28512	RB of Scotland N.V. - PetroChina European Warrants Nov 2011	250,000,000	2011/01/26	2011/11/28	43.75
28492	Standard Chartered Bank - CTel European Warrants Aug 2011	100,000,000	2011/01/26	2011/08/01	25.00
28493	Standard Chartered Bank - China Mobile Euro Wts Jun 2011 C	100,000,000	2011/01/26	2011/06/15	16.50
28490	Standard Chartered Bank - HSBC European Warrants Apr 2011 B	100,000,000	2011/01/26	2011/04/28	19.20

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28491	Standard Chartered Bank - Jiangxi Copper European Wts Jul11	100,000,000	2011/01/26	2011/07/22	15.00
28485	SGA Societe Generale Acceptance N.V. - Alibaba Eu Wts Oct11A	150,000,000	2011/01/26	2011/10/24	37.50
28486	SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Aug 2011	50,000,000	2011/01/26	2011/08/29	32.50
28487	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Sep 11	100,000,000	2011/01/26	2011/09/26	39.00
28500	UBS AG - China Tel European Warrants Jul 2011 A	100,000,000	2011/01/26	2011/07/26	25.00
28498	UBS AG - China Mobile European Warrants Jun 2011 B	200,000,000	2011/01/26	2011/06/27	36.00
28502	UBS AG - PetroChina European Warrants May 2011	100,000,000	2011/01/26	2011/05/16	15.00
26239#	UBS AG - Alibaba European Warrants May 2011 A	100,000,000	2011/01/26	2011/05/17	15.80
28538	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Aug 2011	80,000,000	2011/01/27	2011/08/02	20.00
28536	BNP Paribas Arbit Issu B.V. - Sinopec Corp Euro Wts Aug 11B	100,000,000	2011/01/27	2011/08/02	27.00
28537	BNP Paribas Arbit Issu B.V. - Sinopec Corp Euro Wts May 2012	100,000,000	2011/01/27	2012/05/28	15.00
28525	Credit Suisse AG - China Tel European Warrants Jul 2011	300,000,000	2011/01/27	2011/07/27	75.00
28526	Credit Suisse AG - Tencent Holdings European Put Wts May 11E	300,000,000	2011/01/27	2011/05/31	45.00
28514	Citigroup Global Mkt H Inc. - CNOOC European Wts Oct 2011 A	100,000,000	2011/01/27	2011/10/26	25.00
28513	Citigroup Global Mkt H Inc. - China Tel Euro Wts Jul 2011 B	80,000,000	2011/01/27	2011/07/27	20.00
28535	Goldman Sachs SP (Asia) - CNOOC European Warrants Aug 2011	200,000,000	2011/01/27	2011/08/01	126.00
28532	Goldman Sachs SP (Asia) - CNOOC European Warrants Nov 2011	200,000,000	2011/01/27	2011/11/28	50.00
28530	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Aug 2011 A	200,000,000	2011/01/27	2011/08/01	82.00
28531	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Aug 2011 B	200,000,000	2011/01/27	2011/08/22	58.00
28533	Goldman Sachs SP (Asia) - PetroChina European Wts Aug 2011	200,000,000	2011/01/27	2011/08/22	108.00
28534	Goldman Sachs SP (Asia) -Tencent Holdings Euro Put Wt Aug11D	200,000,000	2011/01/27	2011/08/01	50.00
28524	J P Morgan SP BV - China Tel European Warrants Jul 2011	100,000,000	2011/01/27	2011/07/28	25.10
28527	Macquarie Bank Ltd. - Sinopec Corp European Wts Jun 2011	150,000,000	2011/01/27	2011/06/20	50.10
28528	Macquarie Bank Ltd. - PetroChina European Wts May 2011 B	88,000,000	2011/01/27	2011/05/16	22.09
10049	RB of Scotland N.V. - Gold European Warrants Jan 2014	100,000,000	2011/01/27	2014/01/17	107.50
28515	SGA Societe Generale Acceptance N.V. - BOCom Eur Wt Jul2011A	50,000,000	2011/01/27	2011/07/27	21.50
28516	SGA Societe Generale Acceptance N.V.-Ch LongYuan Eu Wt Dec12	200,000,000	2011/01/27	2012/12/03	30.00
28517	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wts Jul11	100,000,000	2011/01/27	2011/07/27	25.00
28529	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul 11B	200,000,000	2011/01/27	2011/07/04	58.00
28518	SGA Societe Generale Acceptance N.V. - Esprit Euro Wts Jul11	80,000,000	2011/01/27	2011/07/27	31.20
28519	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul11A	80,000,000	2011/01/27	2011/07/27	40.00
28520	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul11B	80,000,000	2011/01/27	2011/07/27	24.00
28521	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Jul11B	50,000,000	2011/01/27	2011/07/27	18.00
28522	SGA Societe Generale Acceptance N.V. -Sinopharm Eu Wts Jul11	80,000,000	2011/01/27	2011/07/27	22.40
28523	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Jun 12	300,000,000	2011/01/27	2012/06/04	45.00
28553	BOCI Asia Ltd. - Chi COSCO European Warrants Nov 2011	100,000,000	2011/01/28	2011/11/07	15.00
28554	BOCI Asia Ltd. - CSR European Warrants Sep 2011	100,000,000	2011/01/28	2011/09/05	25.00
28540	Citigroup Global Mkt H Inc. - CITIC Pacific Eu Wt Dec 2011	80,000,000	2011/01/28	2011/12/29	20.00
28539	Citigroup Global Mkt H Inc. - Cathay Pacific Eur Wt Oct 2011	60,000,000	2011/01/28	2011/10/10	15.00
10055	Deutsche Bank AG - Google European Warrants Aug 2011	50,000,000	2011/01/28	2011/08/01	183.50
28550	Goldman Sachs SP (Asia) - Alibaba European Warrants Oct 11B	200,000,000	2011/01/28	2011/10/24	50.00
28544	Goldman Sachs SP (Asia) - BYD European Warrants Aug 2011 A	200,000,000	2011/01/28	2011/08/01	96.00
28545	Goldman Sachs SP (Asia) - China Unicom European Wts Nov 2011	200,000,000	2011/01/28	2011/11/25	30.00
28555	Goldman Sachs SP (Asia) - Ch Comm Cons European Wts Sep 2011	200,000,000	2011/01/28	2011/09/05	100.00

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28549	Goldman Sachs SP (Asia) - HKEx European Warrants Sep 2011 C	200,000,000	2011/01/28	2011/09/27	30.20
28556	Goldman Sachs SP (Asia) - PetroChina European Wts Nov 2011	200,000,000	2011/01/28	2011/11/21	30.00
28557	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jul 2011 D	128,000,000	2011/01/28	2011/07/04	29.44
28558	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Aug11B	108,000,000	2011/01/28	2011/08/15	27.00
28552	Macquarie Bank Ltd. - China Mobile European Wts Jul 2011	250,000,000	2011/01/28	2011/07/28	37.50
28551	Macquarie Bank Ltd. - Yurun Food European Warrants Jul 2011	100,000,000	2011/01/28	2011/07/11	16.10
28542	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep 11	200,000,000	2011/01/28	2011/09/29	32.00
28541	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jun11B	200,000,000	2011/01/28	2011/06/29	36.80
28543	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts May 11H	200,000,000	2011/01/28	2011/05/30	39.00
28546	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jun 11D	200,000,000	2011/01/28	2011/06/29	30.40
28547	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jun 11E	200,000,000	2011/01/28	2011/06/29	34.60
28548	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jun 11F	200,000,000	2011/01/28	2011/06/29	48.00
10050	Nomura Int'l plc - Apple European Warrants Jul 2011 A	50,000,000	2011/01/28	2011/07/06	87.35
10053	Nomura Int'l plc - Apple European Warrants Jul 2011 B	50,000,000	2011/01/28	2011/07/11	46.65
10058	Nomura Int'l plc - Apple European Put Warrants Jul 2011	50,000,000	2011/01/28	2011/07/28	59.60
10054	Nomura Int'l plc - Google European Warrants Sep 2011	50,000,000	2011/01/28	2011/09/16	208.00
10059	Nomura Int'l plc - Google European Put Warrants Sep 2011	50,000,000	2011/01/28	2011/09/16	215.25
26509#	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Apr11C	200,000,000	2011/01/28	2011/04/28	13.60
28562	BOCI Asia Ltd. - RUSAL European Warrants Dec 2011	100,000,000	2011/01/31	2011/12/05	25.00
28593	BNP Paribas Arbit Issu B.V.-CM Bank European Warrants Dec 11	100,000,000	2011/01/31	2011/12/05	25.00
28594	Citigroup Global Mkt H Inc. - CITIC Bank Euro Wts Aug 2011	80,000,000	2011/01/31	2011/08/08	20.00
28597	Citigroup Global Mkt H Inc. - A50 China Eur Put Wts Sep 2011	80,000,000	2011/01/31	2011/09/22	12.00
28596	Citigroup Global Mkt H Inc. - Li & Fung European Wts Aug 11	80,000,000	2011/01/31	2011/08/08	20.00
28595	Citigroup Global Mkt H Inc. - Lenovo European Warrants Aug11	80,000,000	2011/01/31	2011/08/22	20.00
10057	Goldman Sachs SP (Asia) - Apple European Warrants Aug 2011 A	50,000,000	2011/01/31	2011/08/08	131.50
10060	Goldman Sachs SP (Asia) - Apple European Warrants Aug 2011 B	50,000,000	2011/01/31	2011/08/08	87.50
10061	Goldman Sachs SP (Asia) - Apple European Warrants Aug 2011 C	50,000,000	2011/01/31	2011/08/08	56.00
28560	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2011 A	200,000,000	2011/01/31	2011/08/29	63.00
10062	Goldman Sachs SP (Asia) - Google European Warrants Aug 2011A	50,000,000	2011/01/31	2011/08/15	211.50
10063	Goldman Sachs SP (Asia) - Google European Warrants Aug 2011B	50,000,000	2011/01/31	2011/08/08	130.00
28590	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2011	200,000,000	2011/01/31	2011/07/25	122.00
28591	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2011 A	200,000,000	2011/01/31	2011/09/19	54.00
28592	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2011	200,000,000	2011/01/31	2011/12/12	50.00
28561	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2011 B	200,000,000	2011/01/31	2011/08/30	52.00
28579	Macquarie Bank Ltd. - BJ Ent Water European Warrants Aug 11	120,000,000	2011/01/31	2011/08/05	30.48
28580	Macquarie Bank Ltd. - Brilliance Chi European Warrants Aug11	88,000,000	2011/01/31	2011/08/02	43.74
28583	Macquarie Bank Ltd. - Ch Oilfield European Warrants Oct 2011	200,000,000	2011/01/31	2011/10/06	50.20
28581	Macquarie Bank Ltd. - Ch Comm Cons European Wts Aug 2011	200,000,000	2011/01/31	2011/08/02	51.00
28573	Macquarie Bank Ltd. - CM Bank European Warrants Jul 2011 B	250,000,000	2011/01/31	2011/07/05	37.50
28589	Macquarie Bank Ltd. - Galaxy Ent European Warrants Aug 2011	200,000,000	2011/01/31	2011/08/31	50.00
28584	Macquarie Bank Ltd. - Hunan Nonferrous European Wts Aug 2011	100,000,000	2011/01/31	2011/08/30	25.00
28586	Macquarie Bank Ltd. - Li & Fung European Warrants Aug 2011	250,000,000	2011/01/31	2011/08/04	75.75
28582	Macquarie Bank Ltd. - Ch Lilang European Warrants May 2012	150,000,000	2011/01/31	2012/05/24	37.50
28585	Macquarie Bank Ltd. -Realgold Mining European Warrants Nov11	200,000,000	2011/01/31	2011/11/21	50.20

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28578	Macquarie Bank Ltd. - Ch Rongsheng European Warrants Apr 12	200,000,000	2011/01/31	2012/04/30	50.20
28588	Macquarie Bank Ltd. - Shun Tak European Warrants Aug 2011	200,000,000	2011/01/31	2011/08/08	50.00
28587	Macquarie Bank Ltd. - Sa Sa Int'l European Warrants Oct 2012	500,000,000	2011/01/31	2012/10/30	125.00
28563	CC Rabobank B.A. - BJ Ent Water European Warrants Aug 2011	100,000,000	2011/01/31	2011/08/30	50.00
28564	CC Rabobank B.A. - Chow Sang Sang European Warrants Aug 2011	100,000,000	2011/01/31	2011/08/30	25.00
28565	CC Rabobank B.A. - GAC European Warrants Aug 2011	100,000,000	2011/01/31	2011/08/30	25.00
28566	CC Rabobank B.A. - Hunan Nonferrous European Wts Aug 2011	100,000,000	2011/01/31	2011/08/30	50.00
28567	CC Rabobank B.A. - Realgold Mining European Warrants Aug 11	100,000,000	2011/01/31	2011/08/30	25.00
28570	CC Rabobank B.A. - RUSAL European Warrants Aug 2011	100,000,000	2011/01/31	2011/08/30	25.00
28568	CC Rabobank B.A. - Sa Sa Int'l European Warrants Aug 2011 A	100,000,000	2011/01/31	2011/08/05	80.00
28569	CC Rabobank B.A. - Sa Sa Int'l European Warrants Aug 2011 B	100,000,000	2011/01/31	2011/08/12	50.00
28571	CC Rabobank B.A. - United Lab European Warrants Sep 2011	100,000,000	2011/01/31	2011/09/29	25.00
28572	CC Rabobank B.A. - Westchinacement European Warrants Aug 11	100,000,000	2011/01/31	2011/08/30	25.00
10056	RB of Scotland N.V. - Gold European Warrants Sep 2011	100,000,000	2011/01/31	2011/09/30	25.10
28559	SGA Societe Generale Acceptance N.V. -Ch Mengniu Eu Wt Sep11	100,000,000	2011/01/31	2011/09/12	25.00
28575	UBS AG - China Unicom European Warrants Nov 2011	100,000,000	2011/01/31	2011/11/28	15.00
28574	UBS AG - CC Bank European Warrants Jun 2011 B	200,000,000	2011/01/31	2011/06/07	76.00
28577	UBS AG - A50 China European Warrants Aug 2011 B	100,000,000	2011/01/31	2011/08/08	41.00
28576	UBS AG - Ping An European Warrants Aug 2011	200,000,000	2011/01/31	2011/08/08	100.00
13673#	UBS AG - China Life European Warrants Dec 2012 B	500,000,000	2011/01/31	2012/12/10	20.50
23748#	UBS AG - A50 China European Warrants Apr 2011	400,000,000	2011/01/31	2011/04/11	13.20
28602	Barclays Bank plc - BOCL European Warrants Aug 2011 B	100,000,000	2011/02/01	2011/08/01	15.00
28600	Barclays Bank plc - China Life European Warrants Jul 2011	150,000,000	2011/02/01	2011/07/11	22.50
28601	Barclays Bank plc - CM Bank European Warrants Sep 2011	100,000,000	2011/02/01	2011/09/26	15.00
28599	Barclays Bank plc - HKEx European Warrants Jul 2011 B	150,000,000	2011/02/01	2011/07/25	22.50
28598	Barclays Bank plc - Hutchison European Warrants Jul 2011	100,000,000	2011/02/01	2011/07/18	24.00
28625	Credit Suisse AG - China Life European Warrants Aug 2011 A	300,000,000	2011/02/01	2011/08/01	75.00
28624	Credit Suisse AG - Ping An European Warrants Mar 2012 C	300,000,000	2011/02/01	2012/03/05	45.00
10065	Deutsche Bank AG - AUD/USD European Put Warrants Aug 2011	50,000,000	2011/02/01	2011/08/02	14.00
28622	Deutsche Bank AG - Bank of EA European Warrants Aug 2011	50,000,000	2011/02/01	2011/08/01	12.50
28618	Deutsche Bank AG - BYD European Warrants Aug 2011	80,000,000	2011/02/01	2011/08/01	27.20
28621	Deutsche Bank AG - CNBM European Warrants Aug 2011	50,000,000	2011/02/01	2011/08/01	12.50
28619	Deutsche Bank AG - Cathay Pacific European Warrants Aug 2011	80,000,000	2011/02/01	2011/08/01	20.00
10064	Deutsche Bank AG - Google European Put Warrants Aug 2011	50,000,000	2011/02/01	2011/08/01	164.00
28610	Goldman Sachs SP (Asia) - BYD European Warrants Aug 2011 B	200,000,000	2011/02/01	2011/08/01	106.00
28611	Goldman Sachs SP (Asia) - Cathay Pacific European Wts Aug11	200,000,000	2011/02/01	2011/08/22	50.00
28612	Goldman Sachs SP (Asia) - JIANC European Warrants Aug 2011	200,000,000	2011/02/01	2011/08/08	57.00
28613	Goldman Sachs SP (Asia) - Li & Fung European Warrants Aug11A	200,000,000	2011/02/01	2011/08/01	106.00
28614	Goldman Sachs SP (Asia) - PICC European Warrants Aug 2011	200,000,000	2011/02/01	2011/08/01	30.00
28623	J P Morgan SP BV - China Life European Warrants Jun 2011 C	200,000,000	2011/02/01	2011/06/23	30.00
28609	Macquarie Bank Ltd. - RUSAL European Warrants Sep 2011	100,000,000	2011/02/01	2011/09/29	25.00
28603	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt May 2011 B	80,000,000	2011/02/01	2011/05/27	12.08
28607	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Nov 2011	80,000,000	2011/02/01	2011/11/04	12.24
28608	Merrill Lynch Int'l & Co. C.V. - A50 China Eu Put Wt Sep 11	80,000,000	2011/02/01	2011/09/22	12.16

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28605	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2011 A	80,000,000	2011/02/01	2011/09/27	12.00
28604	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Aug 2011 B	80,000,000	2011/02/01	2011/08/29	12.24
28606	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Nov 2011 B	80,000,000	2011/02/01	2011/11/21	12.08
28617	Standard Chartered Bank - Alibaba European Warrants Nov 2011	100,000,000	2011/02/01	2011/11/01	25.00
28616	Standard Chartered Bank - Tencent Hldg European Wts Aug 11B	100,000,000	2011/02/01	2011/08/01	25.00
28615	UBS AG - AIA European Warrants May 2011 B	300,000,000	2011/02/01	2011/05/23	45.00
28620	UBS AG - BOCL European Warrants Jun 2011	200,000,000	2011/02/01	2011/06/27	30.00
28635	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Nov 2012	100,000,000	2011/02/02	2012/11/05	15.00
28640	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Oct 2011	80,000,000	2011/02/02	2011/10/10	20.00
28638	Deutsche Bank AG - China Mobile European Warrants Jul 2011	300,000,000	2011/02/02	2011/07/11	57.00
28630	Goldman Sachs SP (Asia) - BankComm European Wts Aug 2011	200,000,000	2011/02/02	2011/08/08	90.00
28631	Goldman Sachs SP (Asia) -Ch Overseas European Warrants Dec11	200,000,000	2011/02/02	2011/12/19	41.00
28634	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2011 C	200,000,000	2011/02/02	2011/08/30	50.00
28632	Goldman Sachs SP (Asia) - New World Dev European Wts Sep11	200,000,000	2011/02/02	2011/09/30	30.60
28633	Goldman Sachs SP (Asia) - Ping An European Warrants Aug 2011	200,000,000	2011/02/02	2011/08/08	143.00
28627	HK Bank - GCL-Poly Energy European Warrants Sep 2011	80,000,000	2011/02/02	2011/09/15	20.00
28628	J P Morgan SP BV - Alibaba European Warrants Jun 2011	100,000,000	2011/02/02	2011/06/21	15.00
28629	J P Morgan SP BV - HKEx European Warrants Jun 2011 F	300,000,000	2011/02/02	2011/06/23	45.00
28639	CC Rabobank B.A. - Chinares Cement European Warrants Aug 11	80,000,000	2011/02/02	2011/08/30	56.00
28636	CC Rabobank B.A. - Ch Lilang European Warrants Apr 2012	100,000,000	2011/02/02	2012/04/23	25.00
28637	CC Rabobank B.A. - Shun Tak European Warrants Aug 2011	80,000,000	2011/02/02	2011/08/30	20.00
28626	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jun 2011 B	150,000,000	2011/02/02	2011/06/08	36.00
25714#	KBC Fin Products Int'l Ltd. -Zijin Mining European Wt Jun 11	380,000,000	2011/02/02	2011/06/10	10.26
28652	BNP Paribas Arbit Issu B.V. -Alibaba European Warrants Jan12	100,000,000	2011/02/07	2012/01/03	25.00
28653	BNP Paribas Arbit Issu B.V. - Bank of EA European Wts Jun 12	43,000,000	2011/02/07	2012/06/04	12.47
28654	BNP Paribas Arbit Issu B.V. - HKEx European Wts Apr 2012	100,000,000	2011/02/07	2012/04/02	17.00
28655	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2011	200,000,000	2011/02/07	2011/12/02	50.00
28656	Deutsche Bank AG - Sinopec Corp European Warrants Aug 2011 A	80,000,000	2011/02/07	2011/08/08	28.80
28657	Goldman Sachs SP (Asia) -Sands China European Warrants Aug11	200,000,000	2011/02/07	2011/08/22	53.00
28658	Goldman Sachs SP (Asia) - SJM Holdings European Wts Sep11	200,000,000	2011/02/07	2011/09/05	50.00
28651	Standard Chartered Bank - A50 China European Warrants Feb 12	100,000,000	2011/02/07	2012/02/08	25.00
28641	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Aug 2011 A	200,000,000	2011/02/07	2011/08/29	50.00
28642	SGA Societe Generale Acceptance N.V. - BYD Euro Wts Aug 11A	200,000,000	2011/02/07	2011/08/08	54.00
28648	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Nov 11	200,000,000	2011/02/07	2011/11/28	30.00
28649	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Sep 12	200,000,000	2011/02/07	2012/09/26	42.00
28643	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Jun 2011	300,000,000	2011/02/07	2011/06/13	48.00
28644	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Jul 11B	200,000,000	2011/02/07	2011/07/04	30.00
28645	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 11A	200,000,000	2011/02/07	2011/08/01	74.00
28646	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 11B	200,000,000	2011/02/07	2011/08/01	30.00
28647	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Sep 11B	150,000,000	2011/02/07	2011/09/19	57.00
28650	SGA Societe Generale Acceptance N.V. - JIANC Eu Wts Sep 2011	200,000,000	2011/02/07	2011/09/19	72.00
28240#	HK Bank - China Life European Warrants Jun 2011 A	200,000,000	2011/02/07	2011/06/03	14.80
28680	BNP Paribas Arbit Issu B.V. - CLP European Warrants Oct 2011	36,000,000	2011/02/08	2011/10/04	22.68
28681	BNP Paribas Arbit Issu B.V. - Hang Lung Ppt Euro Wt Sep 2011	100,000,000	2011/02/08	2011/09/02	25.00

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28682	BNP Paribas Arbit Issu B.V. - Li & Fung Euro Wts Oct 2011 B	100,000,000	2011/02/08	2011/10/04	30.00
28687	BNP Paribas Arbit Issu B.V. - Tencent Hldg Eu Put Wt Nov2011	100,000,000	2011/02/08	2011/11/14	25.00
28683	Credit Suisse AG - HSBC European Put Warrants May 2011	300,000,000	2011/02/08	2011/05/23	45.00
28684	Credit Suisse AG - Yanzhou Coal European Warrants May 2011	300,000,000	2011/02/08	2011/05/31	45.00
28663	Citigroup Global Mkt H Inc. - RUSAL European Wts Sep 2011	80,000,000	2011/02/08	2011/09/26	20.00
28676	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2011	200,000,000	2011/02/08	2011/09/05	106.00
28677	Goldman Sachs SP (Asia) - China Mobile Euro Wts Oct 2011	200,000,000	2011/02/08	2011/10/03	56.00
28673	Goldman Sachs SP (Asia) - China Life Euro Wts Aug 2011	200,000,000	2011/02/08	2011/08/22	50.00
28674	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2011 A	200,000,000	2011/02/08	2011/09/26	50.00
28675	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2011	200,000,000	2011/02/08	2011/10/03	30.00
28685	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2011 D	200,000,000	2011/02/08	2011/08/30	59.00
28671	J P Morgan SP BV - China Life European Warrants May 2011 B	300,000,000	2011/02/08	2011/05/30	45.00
28672	J P Morgan SP BV - China Life European Warrants Aug 2011 A	300,000,000	2011/02/08	2011/08/08	75.00
28678	KBC Fin Products Int'l Ltd. - Cheung Kong Eur Wts Jun 2011 B	108,000,000	2011/02/08	2011/06/01	59.40
28679	KBC Fin Products Int'l Ltd. - Cheung Kong Eur Wts Jan 2012	108,000,000	2011/02/08	2012/01/26	27.00
28664	Macquarie Bank Ltd. - China Unicom European Wts Sep 2012	200,000,000	2011/02/08	2012/09/26	42.00
28665	Macquarie Bank Ltd. - Henganintl European Warrants Jun 2011	150,000,000	2011/02/08	2011/06/27	22.65
28666	CC Rabobank B.A. - China Overseas European Warrants Oct 2011	100,000,000	2011/02/08	2011/10/03	19.00
28670	CC Rabobank B.A. - Galaxy Ent European Warrants Aug 2011	100,000,000	2011/02/08	2011/08/15	25.00
28667	CC Rabobank B.A. - Greatwall Motor European Wts Oct 2011	80,000,000	2011/02/08	2011/10/10	20.00
28668	CC Rabobank B.A. - Sinofert European Warrants Aug 2011	100,000,000	2011/02/08	2011/08/16	45.00
28669	CC Rabobank B.A. - Tsingtao Brew European Warrants Aug 2011	100,000,000	2011/02/08	2011/08/08	38.00
28686	RB of Scotland N.V. - CHALCO European Warrants Sep 2011	100,000,000	2011/02/08	2011/09/05	48.90
10066	RB of Scotland N.V. - DJIA European Put Warrants Sep 2011 B	100,000,000	2011/02/08	2011/09/16	25.10
28660	Standard Chartered Bank - CHALCO European Warrants Nov 2011	100,000,000	2011/02/08	2011/11/21	18.00
28661	Standard Chartered Bank-China Life European Wts Sep 2011 B	100,000,000	2011/02/08	2011/09/05	25.00
28662	Standard Chartered Bank-China Life European Wts Feb 2013	100,000,000	2011/02/08	2013/02/28	25.00
28659	SGA Societe Generale Acceptance N.V. - Dongfeng Eu Wt Jul 11	200,000,000	2011/02/08	2011/07/04	34.00
10068	Credit Suisse AG - Apple European Warrants Jul 2011	100,000,000	2011/02/09	2011/07/11	100.00
10067	Credit Suisse AG - Google European Warrants Jul 2011	100,000,000	2011/02/09	2011/07/25	170.00
28689	Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2011	200,000,000	2011/02/09	2011/09/12	77.00
28688	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2011 B	200,000,000	2011/02/09	2011/08/15	107.00
28692	HK Bank - China Overseas European Warrants Mar 2012	80,000,000	2011/02/09	2012/03/16	20.00
28694	HK Bank - China Tel European Warrants Aug 2011	80,000,000	2011/02/09	2011/08/09	20.00
28695	HK Bank - China Unicom European Warrants Jun 2012	120,000,000	2011/02/09	2012/06/29	30.00
28691	HK Bank - C Transmission European Warrants Sep 2011	80,000,000	2011/02/09	2011/09/16	61.60
28693	HK Bank - CPIC European Warrants Sep 2011	120,000,000	2011/02/09	2011/09/29	30.00
28697	HK Bank - HSI European Warrants Sep 2011	300,000,000	2011/02/09	2011/09/29	75.00
28696	HK Bank - Tencent European Put Warrants Aug 2011	120,000,000	2011/02/09	2011/08/22	21.60
28707	J P Morgan SP BV - China Life European Warrants Aug 2011 B	300,000,000	2011/02/09	2011/08/09	75.00
28704	KBC Fin Products Int'l Ltd. - China Life Euro Wts Jul 2011 B	198,000,000	2011/02/09	2011/07/04	29.70
28705	KBC Fin Products Int'l Ltd. - China Life Euro Wts Aug 2011A	198,000,000	2011/02/09	2011/08/09	49.50
28702	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2011A	158,000,000	2011/02/09	2011/09/09	47.40
28706	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Sep2011C	108,000,000	2011/02/09	2011/09/01	27.00

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28703	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts May 2011 C	128,000,000	2011/02/09	2011/05/12	19.20
28708	RB of Scotland N.V. - HSI European Put Warrants May 2011	250,000,000	2011/02/09	2011/05/30	38.50
28690	Standard Chartered Bank-China Life European Wts Jul 2011 C	100,000,000	2011/02/09	2011/07/25	15.00
28698	UBS AG - CNOOC European Warrants Jun 2011 E	300,000,000	2011/02/09	2011/06/20	45.00
28699	UBS AG - Sinopec Corp European Warrants Aug 2011 A	100,000,000	2011/02/09	2011/08/15	25.00
28700	UBS AG - Dongfeng Motor European Warrants Jun 2011	100,000,000	2011/02/09	2011/06/20	15.00
28701	UBS AG - Shimao Ppt European Warrants Nov 2011	100,000,000	2011/02/09	2011/11/28	15.00
28735	BNP Paribas Arbit Issu B.V. - Anhui Conch European Wts Sep11	80,000,000	2011/02/10	2011/09/05	24.00
28736	BNP Paribas Arbit Issu B.V. - China Unicom European Wt Dec11	100,000,000	2011/02/10	2011/12/05	15.00
28744	Credit Suisse AG - CNOOC European Warrants May 2011	300,000,000	2011/02/10	2011/05/16	45.00
28748	Credit Suisse AG - Sinopec Corp European Warrants May 2011 C	300,000,000	2011/02/10	2011/05/23	75.00
28745	Credit Suisse AG - PetroChina European Warrants May 2011 A	300,000,000	2011/02/10	2011/05/11	105.00
28746	Credit Suisse AG - PetroChina European Warrants May 2011 B	300,000,000	2011/02/10	2011/05/16	75.00
28747	Credit Suisse AG - PetroChina European Warrants Jul 2011	300,000,000	2011/02/10	2011/07/25	150.00
28731	Citigroup Global Mkt H Inc. - Angang Steel European Wt Jun12	80,000,000	2011/02/10	2012/06/04	20.00
28734	Citigroup Global Mkt H Inc. - Ch Overseas Euro Wts Oct 2011	80,000,000	2011/02/10	2011/10/10	12.00
28733	Citigroup Global Mkt H Inc. - Sinopec Corp Euro Wts Aug 2011	80,000,000	2011/02/10	2011/08/01	40.00
28749	Citigroup Global Mkt H Inc. - R&F Ppt European Wts Nov 2011	80,000,000	2011/02/10	2011/11/25	12.00
28750	Citigroup Global Mkt H Inc. - Sino Land European Wts Aug11	80,000,000	2011/02/10	2011/08/25	12.00
28720	Bank of East Asia - BOCHK European Put Warrants Dec 2011	68,000,000	2011/02/10	2011/12/23	17.00
28719	Bank of East Asia - ChiUnicom European Warrants Mar 2012	110,000,000	2011/02/10	2012/03/23	27.50
28717	Bank of East Asia - CITIC Pacific European Warrants Dec 2011	60,000,000	2011/02/10	2011/12/23	15.00
28718	Bank of East Asia - Tencent Holdings European Warrants Dec11	67,000,000	2011/02/10	2011/12/23	16.75
28737	Goldman Sachs SP (Asia) - BEA European Warrants Aug 2011	200,000,000	2011/02/10	2011/08/22	51.00
28740	Goldman Sachs SP (Asia) - Hunan Nonferrous European Wt Aug11	200,000,000	2011/02/10	2011/08/22	86.00
28738	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2011 A	200,000,000	2011/02/10	2011/08/15	93.00
28739	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2011 B	200,000,000	2011/02/10	2011/08/15	66.00
28741	Goldman Sachs SP (Asia) - Wharf European Warrants Jun 2011	200,000,000	2011/02/10	2011/06/07	57.00
28711	HK Bank - China Life European Warrants Jun 2011 B	400,000,000	2011/02/10	2011/06/23	60.00
28712	HK Bank - China Mobile European Warrants Jun 2011 B	300,000,000	2011/02/10	2011/06/23	72.00
28713	HK Bank - Sinopec Corp European Warrants Aug 2011	300,000,000	2011/02/10	2011/08/10	75.00
28709	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Oct 2012	100,000,000	2011/02/10	2012/10/15	15.10
28710	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Aug 2013	100,000,000	2011/02/10	2013/08/05	25.00
28715	CC Rabobank B.A. - Chi East Air European Warrants Sep 2011 A	100,000,000	2011/02/10	2011/09/29	40.00
28732	CC Rabobank B.A. - CM Bank European Warrants Sep 2011	100,000,000	2011/02/10	2011/09/12	15.00
28716	CC Rabobank B.A. - Guangshen Rail European Warrants Aug 2011	100,000,000	2011/02/10	2011/08/30	25.00
28714	CC Rabobank B.A. - Ch Rongsheng European Warrants Apr 2012	100,000,000	2011/02/10	2012/04/23	15.00
10071	RB of Scotland N.V. - Platinum European Warrants Jan 2014	50,000,000	2011/02/10	2014/01/17	70.20
10072	RB of Scotland N.V. - Silver European Warrants Jan 2014	50,000,000	2011/02/10	2014/01/17	108.95
28751	Standard Chartered Bank - HKEx European Warrants Jul 2011	100,000,000	2011/02/10	2011/07/11	15.00
10069	SGA Societe Generale Acceptance N.V. - Apple Euro Wt Aug11	50,000,000	2011/02/10	2011/08/15	117.50
28721	SGA Societe Generale Acceptance N.V. - BOCom Eur Wt Jul 11B	50,000,000	2011/02/10	2011/07/27	12.00
28725	SGA Societe Generale Acceptance N.V-China EB Ltd Eu Wt Sep11	200,000,000	2011/02/10	2011/09/19	30.00
28726	SGA Societe Generale Acceptance N.V-C Transmiss Eu Wt Dec 11	100,000,000	2011/02/10	2011/12/21	25.00

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28724	SGA Societe Generale Acceptance N.V. - CITBK Euro Wt Aug 11	80,000,000	2011/02/10	2011/08/10	32.00
28727	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 11C	200,000,000	2011/02/10	2011/08/01	30.00
28728	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 11D	200,000,000	2011/02/10	2011/08/29	76.00
28729	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Aug 11B	150,000,000	2011/02/10	2011/08/15	60.00
28722	SGA Societe Generale Acceptance N.V. - CPAir Eur Wt Aug 2011	80,000,000	2011/02/10	2011/08/10	20.00
28723	SGA Societe Generale Acceptance N.V. - CPAir Eur Wt Dec 2011	80,000,000	2011/02/10	2011/12/21	20.00
10070	SGA Societe Generale Acceptance N.V. - Google Euro Wt Aug11	25,000,000	2011/02/10	2011/08/15	87.00
28730	SGA Societe Generale Acceptance N.V. - Lenovo Eur Wts Aug 11	50,000,000	2011/02/10	2011/08/10	25.00
28742	UBS AG - China Life European Warrants Jul 2011 C	300,000,000	2011/02/10	2011/07/25	45.00
28743	UBS AG - SJM Holdings European Warrants Jun 2011	100,000,000	2011/02/10	2011/06/23	15.00
25981#	KBC Fin Products Int'l Ltd. - HKEx European Warrants Jul 11D	280,000,000	2011/02/10	2011/07/15	28.00
28753	Barclays Bank plc - HSI European Warrants Jul 2011	150,000,000	2011/02/11	2011/07/28	22.50
28754	Barclays Bank plc - HSI European Warrants Aug 2011	150,000,000	2011/02/11	2011/08/30	37.50
28757	Barclays Bank plc - HSI European Put Warrants Aug 2011	150,000,000	2011/02/11	2011/08/30	37.50
28752	Barclays Bank plc - HSI European Warrants May 2011 D	150,000,000	2011/02/11	2011/05/30	25.50
28756	Barclays Bank plc - HSI European Put Warrants May 2011 E	150,000,000	2011/02/11	2011/05/30	22.50
28759	BNP Paribas Arbit Issu B.V. - PWR Assets European Wts Oct11	40,000,000	2011/02/11	2011/10/04	10.40
28760	BNP Paribas Arbit Issu B.V. - New World Dev Euro Wts Sep11	100,000,000	2011/02/11	2011/09/02	25.00
28767	Credit Suisse AG - PetroChina European Warrants May 2011 C	300,000,000	2011/02/11	2011/05/16	45.00
28758	Goldman Sachs SP (Asia) - Swrie Pacific A European Wts Aug11	200,000,000	2011/02/11	2011/08/15	141.00
28761	HK Bank - Minsheng Bank European Warrants Jul 2011	120,000,000	2011/02/11	2011/07/18	30.00
28762	HK Bank - PetroChina European Warrants Jul 2011	120,000,000	2011/02/11	2011/07/25	68.40
28763	HK Bank - PetroChina European Warrants Sep 2011	120,000,000	2011/02/11	2011/09/16	30.00
28755	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Nov 11	200,000,000	2011/02/11	2011/11/21	38.00
28764	UBS AG - CM Bank European Warrants Sep 2011	100,000,000	2011/02/11	2011/09/12	15.00
28765	UBS AG - China Shenhua European Warrants Aug 2011	100,000,000	2011/02/11	2011/08/01	15.00
28766	UBS AG - Ping An European Warrants Mar 2012 C	500,000,000	2011/02/11	2012/03/05	75.00
25751#	UBS AG - HSI European Warrants Apr 2011 C	200,000,000	2011/02/11	2011/04/28	10.60
28803	BNP Paribas Arbit Issu B.V. -Alibaba European Wts Oct11B	100,000,000	2011/02/14	2011/10/31	16.00
28804	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Aug 2011 B	200,000,000	2011/02/14	2011/08/02	30.00
28805	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2011 B	200,000,000	2011/02/14	2011/10/04	52.00
28808	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Oct 2011	150,000,000	2011/02/14	2011/10/04	51.00
28807	BNP Paribas Arbit Issu B.V. - HKEx European Wts Dec 2011	100,000,000	2011/02/14	2011/12/02	17.00
28806	BNP Paribas Arbit Issu B.V. - HSBC European Wts Sep 2011 C	200,000,000	2011/02/14	2011/09/02	60.00
28793	BNP Paribas Arbit Issu B.V. - RUSAL European Warrants Dec 11	80,000,000	2011/02/14	2011/12/07	20.00
28777	BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Dec 11	100,000,000	2011/02/14	2011/12/12	25.00
28789	Deutsche Bank AG - China Life European Warrants Jun 2011 D	300,000,000	2011/02/14	2011/06/27	45.00
28790	Deutsche Bank AG - China Life European Warrants Jul 2011	300,000,000	2011/02/14	2011/07/25	60.00
28791	Deutsche Bank AG - China Life European Warrants Aug 2011A	300,000,000	2011/02/14	2011/08/15	90.00
28778	HK Bank - Galaxy Ent European Warrants Aug 2011	80,000,000	2011/02/14	2011/08/22	20.00
28779	HK Bank - Sa Sa Int'l European Warrants Oct 2012	80,000,000	2011/02/14	2012/10/19	20.00
28802	KBC Fin Products Int'l Ltd. - CNOOC Euro Put Wts Sep 2011	80,000,000	2011/02/14	2011/09/01	20.00
28801	KBC Fin Products Int'l Ltd. - HSBC European Wts May 2011 G	168,000,000	2011/02/14	2011/05/23	25.20
28780	CC Rabobank B.A. - AIA European Warrants Jul 2011 B	200,000,000	2011/02/14	2011/07/21	30.00

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28781	CC Rabobank B.A. - ChiUnicom European Warrants Sep 2012	120,000,000	2011/02/14	2012/09/26	24.00
28768	CC Rabobank B.A. - China Life European Warrants Jul 2011	200,000,000	2011/02/14	2011/07/18	30.00
28769	CC Rabobank B.A. - Ch Mobile European Warrants Jul 2011 A	200,000,000	2011/02/14	2011/07/18	70.00
28770	CC Rabobank B.A. - Ch Mobile European Warrants Jul 2011 B	200,000,000	2011/02/14	2011/07/08	30.00
28771	CC Rabobank B.A. - Sinopec Corp European Warrants Aug 2011 B	120,000,000	2011/02/14	2011/08/30	36.00
28782	CC Rabobank B.A. - Geely Auto European Warrants Jun 2011	120,000,000	2011/02/14	2011/06/22	38.40
28772	CC Rabobank B.A. - HKEx European Warrants Aug 2011	200,000,000	2011/02/14	2011/08/08	30.00
28783	CC Rabobank B.A. - Lee & Man Paper European Warrants Sep 11A	80,000,000	2011/02/14	2011/09/29	24.00
28784	CC Rabobank B.A. - MCC European Warrants Sep 2011	100,000,000	2011/02/14	2011/09/29	25.00
28792	CC Rabobank B.A. - MTRC European Warrants Nov 2011	100,000,000	2011/02/14	2011/11/29	25.00
28773	CC Rabobank B.A. - PetroChina European Warrants Jul 2011	100,000,000	2011/02/14	2011/07/11	60.00
28774	CC Rabobank B.A. - PetroChina European Warrants Nov 2011	200,000,000	2011/02/14	2011/11/21	30.00
28785	CC Rabobank B.A. - Ping An European Warrants Jun 2011	100,000,000	2011/02/14	2011/06/30	25.00
28787	CC Rabobank B.A. - Sino Biopharm European Warrants Sep 2011	80,000,000	2011/02/14	2011/09/29	20.00
28786	CC Rabobank B.A. - Sands China European Warrants Jul 2011	120,000,000	2011/02/14	2011/07/18	22.80
28775	CC Rabobank B.A. - Tencent Holdings European Wts Sep 2011A	200,000,000	2011/02/14	2011/09/19	30.00
28776	CC Rabobank B.A. - Tencent Holdings European Put Wts Aug 11	200,000,000	2011/02/14	2011/08/22	30.00
28788	CC Rabobank B.A. - Wynn Macau European Warrants Jul 2011	100,000,000	2011/02/14	2011/07/11	16.00
28794	UBS AG - Agricultural Bank European Warrants Jun 2011	200,000,000	2011/02/14	2011/06/20	40.00
28795	UBS AG - Bank of EA European Warrants Jul 2011	100,000,000	2011/02/14	2011/07/25	15.00
28796	UBS AG - Cheung Kong European Warrants Aug 2011A	100,000,000	2011/02/14	2011/08/01	30.00
28797	UBS AG - China National Building European Warrants Jun 2011	100,000,000	2011/02/14	2011/06/13	15.00
28798	UBS AG - KECL European Warrants Dec 2011	100,000,000	2011/02/14	2011/12/12	15.00
28799	UBS AG - Sands China European Warrants Jul 2011	100,000,000	2011/02/14	2011/07/18	15.00
28800	UBS AG - Wynn Macau European Warrants Jul 2011	100,000,000	2011/02/14	2011/07/11	15.00
24669#	Goldman Sachs SP (Asia) - China Life Euro Wts May 2011A	300,000,000	2011/02/14	2011/05/23	10.80
27896#	KBC Fin Products Int'l Ltd. - Sino Land European Wts Sep11	120,000,000	2011/02/14	2011/09/01	10.80
26509#	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Apr11C	500,000,000	2011/02/14	2011/04/28	13.00
28822	BNP Paribas Arbit Issu B.V. - AIA European Warrants Aug 2011	100,000,000	2011/02/15	2011/08/02	16.00
28823	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Apr 2012 B	100,000,000	2011/02/15	2012/04/03	17.00
28824	BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Jul11B	100,000,000	2011/02/15	2011/07/11	18.00
28825	BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Oct 11	100,000,000	2011/02/15	2011/10/03	17.00
28833	Credit Suisse AG - HSCEI European Put Warrants Jun 2011 C	300,000,000	2011/02/15	2011/06/29	45.00
28836	Credit Suisse AG - HSI European Warrants Jun 2011A	300,000,000	2011/02/15	2011/06/29	45.00
28834	Credit Suisse AG - HSI European Warrants May 2011 A	300,000,000	2011/02/15	2011/05/30	45.00
28835	Credit Suisse AG - HSI European Warrants May 2011 B	300,000,000	2011/02/15	2011/05/30	45.00
28837	Credit Suisse AG - HSI European Put Warrants May 2011 D	300,000,000	2011/02/15	2011/05/30	45.00
28838	Credit Suisse AG - HSI European Put Warrants May 2011 E	300,000,000	2011/02/15	2011/05/30	45.00
28839	Credit Suisse AG - Ping An European Warrants Aug 2011	300,000,000	2011/02/15	2011/08/01	150.00
28840	Credit Suisse AG - Yanzhou Coal European Warrants Jun 2011 B	300,000,000	2011/02/15	2011/06/07	45.00
28809	Goldman Sachs SP (Asia) - GCL-Poly Energy European Wts Aug11	200,000,000	2011/02/15	2011/08/22	71.00
28810	HK Bank - CPIC European Warrants Dec 2011	80,000,000	2011/02/15	2011/12/28	20.00
28811	HK Bank - Henganintl European Warrants Sep 2011	80,000,000	2011/02/15	2011/09/20	20.00
28826	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Aug 11B	108,000,000	2011/02/15	2011/08/15	31.32

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28827	KBC Fin Products Int'l Ltd. - BOCL European Wts Aug 2011 A	158,000,000	2011/02/15	2011/08/01	23.70
28828	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Aug 2011A	158,000,000	2011/02/15	2011/08/15	42.66
28829	KBC Fin Products Int'l Ltd. - ICBC European Wts Jun 2011 B	158,000,000	2011/02/15	2011/06/14	42.66
28830	KBC Fin Products Int'l Ltd. - ICBC European Wts Jun 2011 C	128,000,000	2011/02/15	2011/06/14	19.20
28831	KBC Fin Products Int'l Ltd. - Ping An European Wts Aug 2011B	108,000,000	2011/02/15	2011/08/01	42.12
28832	KBC Fin Products Int'l Ltd. - Sino Land European Wts Oct 11	88,000,000	2011/02/15	2011/10/18	22.00
28812	Macquarie Bank Ltd. -Agricultural Bk European Warrants Jul11	150,000,000	2011/02/15	2011/07/22	26.25
28813	Macquarie Bank Ltd. - BYD European Warrants Aug 2011 A	100,000,000	2011/02/15	2011/08/15	25.00
28814	Macquarie Bank Ltd. - China Mobile European Wts Aug 2011	150,000,000	2011/02/15	2011/08/15	45.00
28815	Macquarie Bank Ltd. - Chi Resources European Warrants Aug 11	100,000,000	2011/02/15	2011/08/15	25.00
28818	Macquarie Bank Ltd. - China Life European Wts Aug 2011	300,000,000	2011/02/15	2011/08/05	45.00
28819	Macquarie Bank Ltd. - China Life European Put Wts Sep 2011	300,000,000	2011/02/15	2011/09/05	75.30
28820	Macquarie Bank Ltd. - Esprit European Warrants Jun 2011	350,000,000	2011/02/15	2011/06/22	58.45
28816	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Aug 2011	100,000,000	2011/02/15	2011/08/30	25.00
28821	Macquarie Bank Ltd. - MTRC European Warrants Nov 2011	100,000,000	2011/02/15	2011/11/02	16.40
28817	Macquarie Bank Ltd. - SHK Ppt European Warrants Oct 2011 B	100,000,000	2011/02/15	2011/10/21	15.00
26575#	Macquarie Bank Ltd. - Cheung Kong European Warrants May2011A	180,000,000	2011/02/15	2011/05/12	10.44
24686#	BOCI Asia Ltd. - China Life European Warrants Jun 2011	200,000,000	2011/02/15	2011/06/27	13.60
27099#	HK Bank - HSI European Warrants May 2011 D	300,000,000	2011/02/15	2011/05/30	13.80
26159#	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants May11A	300,000,000	2011/02/15	2011/05/30	11.40
25690#	CC Rabobank B.A. - CNOOC European Warrants Jul 2011 B	180,000,000	2011/02/15	2011/07/28	19.62
28853	BNP Paribas Arbit Issu B.V. - BOCL European Wts Jan 2012	100,000,000	2011/02/16	2012/01/04	25.00
28860	BNP Paribas Arbit Issu B.V. - CC Bank European Put Wts Sep11	100,000,000	2011/02/16	2011/09/02	73.00
28855	BNP Paribas Arbit Issu B.V. - C Transmission Euro Wts Mar 12	100,000,000	2011/02/16	2012/03/02	25.00
28854	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Jun 2012	100,000,000	2011/02/16	2012/06/04	19.00
28856	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2011	100,000,000	2011/02/16	2011/11/02	25.00
28870	BNP Paribas Arbit Issu B.V. - A50 China Euro Put Wts Feb 12	100,000,000	2011/02/16	2012/02/02	17.00
28857	BNP Paribas Arbit Issu B.V. - ICBC European Wts Oct 2011	200,000,000	2011/02/16	2011/10/04	80.00
28858	BNP Paribas Arbit Issu B.V. - ICBC European Wts Dec 2011	200,000,000	2011/02/16	2011/12/02	52.00
28859	BNP Paribas Arbit Issu B.V. - Ping An European Wts Oct 2011	100,000,000	2011/02/16	2011/10/04	49.00
28861	Credit Suisse AG - BOC HK European Warrants Jun 2011 B	200,000,000	2011/02/16	2011/06/20	30.00
28866	Credit Suisse AG - China Unicom European Put Warrants Aug 11	200,000,000	2011/02/16	2011/08/22	150.00
28862	Credit Suisse AG - CM Bank European Warrants Jun 2011	200,000,000	2011/02/16	2011/06/27	30.00
28863	Credit Suisse AG - ChiUnicom European Warrants Aug 2011 B	200,000,000	2011/02/16	2011/08/22	216.00
28864	Credit Suisse AG - ChiUnicom European Warrants Aug 2011 C	200,000,000	2011/02/16	2011/08/22	140.00
28865	Credit Suisse AG - ChiUnicom European Warrants Aug 2011 D	200,000,000	2011/02/16	2011/08/22	70.00
28867	Credit Suisse - Henderson Land European Warrants May 2011	200,000,000	2011/02/16	2011/05/23	30.00
28868	Credit Suisse AG - Lenovo European Warrants May 2011	200,000,000	2011/02/16	2011/05/16	30.00
28869	Credit Suisse AG - Lenovo European Warrants Jul 2011	200,000,000	2011/02/16	2011/07/18	66.00
28874	Deutsche Bank AG - China Mobile European Warrants Aug 2011 A	300,000,000	2011/02/16	2011/08/22	51.00
28872	Deutsche Bank AG - CC Bank European Warrants Jul 2011 B	300,000,000	2011/02/16	2011/07/18	45.00
28873	Deutsche Bank AG - China Mobile European Warrants Jun 2011 B	300,000,000	2011/02/16	2011/06/27	81.00
28875	Deutsche Bank AG - HSBC European Warrants Aug 2011	300,000,000	2011/02/16	2011/08/29	75.00
28847	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Put Wts Jun 11H	70,000,000	2011/02/16	2011/06/07	10.64

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28841	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts May 2011	80,000,000	2011/02/16	2011/05/23	20.80
28842	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Aug 2011 B	80,000,000	2011/02/16	2011/08/22	20.80
28843	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2011	80,000,000	2011/02/16	2011/12/19	20.80
28846	Merrill Lynch Int'l & Co. C.V. - Sinopec Eu Put Wts Aug 2011	50,000,000	2011/02/16	2011/08/29	24.75
28844	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt May 2011 B	80,000,000	2011/02/16	2011/05/30	12.40
28845	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Aug 2011	80,000,000	2011/02/16	2011/08/08	30.00
28848	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Put Wt Dec 12	60,000,000	2011/02/16	2012/12/12	15.00
28850	CC Rabobank B.A. - CNOOC European Warrants Sep 2011	200,000,000	2011/02/16	2011/09/26	30.00
28849	CC Rabobank B.A. - CPIC European Warrants Jul 2011	150,000,000	2011/02/16	2011/07/21	33.00
28871	CC Rabobank B.A. - CC Bank European Warrants Aug 2011	100,000,000	2011/02/16	2011/08/16	38.50
28851	Standard Chartered Bank - BOC HK European Warrants Jul 2011	100,000,000	2011/02/16	2011/07/22	15.00
28852	Standard Chartered Bank - Sinopec Corp European Wts Aug 2011	100,000,000	2011/02/16	2011/08/16	42.00
28876	UBS AG - CC Bank European Warrants Jun 2011 C	200,000,000	2011/02/16	2011/06/23	60.00
28877	UBS AG - China Life European Warrants Aug 2011 A	300,000,000	2011/02/16	2011/08/02	45.00
28879	UBS AG - CNOOC European Warrants Aug 2011	300,000,000	2011/02/16	2011/08/16	75.00
28878	UBS AG - Sinopec Corp European Warrants Jun 2011	200,000,000	2011/02/16	2011/06/20	60.00
28880	UBS AG - ICBC European Warrants Jun 2011 B	200,000,000	2011/02/16	2011/06/29	30.00
28881	UBS AG - ICBC European Warrants Jun 2011 C	200,000,000	2011/02/16	2011/06/07	30.00
26001#	Barclays Bank plc - HKEx European Warrants Oct 2011 A	180,000,000	2011/02/16	2011/10/17	11.52
28897	BNP Paribas Arbit Issu B.V. - Ch Ship Dev European Wts Jul12	100,000,000	2011/02/17	2012/07/03	25.00
28898	BNP Paribas Arbit Issu B.V. - Hysan Dev European Wts Oct11	28,000,000	2011/02/17	2011/10/04	10.08
28921	Credit Suisse AG - CC Bank European Warrants Aug 2011	300,000,000	2011/02/17	2011/08/22	120.00
28916	Credit Suisse AG - Cheung Kong European Warrants May 2011	200,000,000	2011/02/17	2011/05/23	40.00
28920	Credit Suisse AG - Cheung Kong European Warrants Aug 2011	300,000,000	2011/02/17	2011/08/22	195.00
28901	Credit Suisse AG - China Life European Warrants Aug 2011 B	200,000,000	2011/02/17	2011/08/01	30.00
28923	Credit Suisse AG - CNOOC European Warrants Jun 2011 F	300,000,000	2011/02/17	2011/06/27	45.00
28922	Credit Suisse AG - Sinopec Corp European Warrants May 2011 D	300,000,000	2011/02/17	2011/05/23	105.00
28924	Credit Suisse AG - HKEx European Warrants Jun 2011 G	300,000,000	2011/02/17	2011/06/27	60.00
28919	Credit Suisse AG - HSI European Put Warrants Jun 2011 E	300,000,000	2011/02/17	2011/06/29	45.00
28914	Credit Suisse AG - HSI European Warrants May 2011 F	300,000,000	2011/02/17	2011/05/30	45.00
28915	Credit Suisse AG - HSI European Warrants Jun 2011 B	300,000,000	2011/02/17	2011/06/29	45.00
28918	Credit Suisse AG - HSI European Put Warrants May 2011 G	300,000,000	2011/02/17	2011/05/30	45.00
28925	Credit Suisse AG - ICBC European Warrants Jun 2011 D	300,000,000	2011/02/17	2011/06/13	54.00
28926	Credit Suisse AG - PetroChina European Warrants May 2011 D	300,000,000	2011/02/17	2011/05/23	105.00
28908	Deutsche Bank AG - China Life European Warrants Aug 2011 B	300,000,000	2011/02/17	2011/08/22	84.00
28909	Deutsche Bank AG - China Mobile European Warrants Aug 2011 B	300,000,000	2011/02/17	2011/08/22	120.00
28910	Deutsche Bank AG - Sinopec Corp European Warrants Aug 2011 B	100,000,000	2011/02/17	2011/08/22	66.00
28911	Deutsche Bank AG - Hutchison European Warrants Jul 2011 B	150,000,000	2011/02/17	2011/07/11	82.50
28912	Deutsche Bank AG - PetroChina European Warrants Aug 2011 A	100,000,000	2011/02/17	2011/08/22	71.00
28890	Goldman Sachs SP (Asia) - China Unicom European Wts Aug 2011	200,000,000	2011/02/17	2011/08/22	164.00
28889	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2011 B	200,000,000	2011/02/17	2011/09/05	57.00
28903	Goldman Sachs SP (Asia) - A50 China European Wts Jun 2011 B	200,000,000	2011/02/17	2011/06/07	30.00
28904	Goldman Sachs SP (Asia) - A50 China European Wts Aug 2011	200,000,000	2011/02/17	2011/08/22	157.00
28905	J P Morgan SP BV - China Life European Warrants Aug 2011 C	300,000,000	2011/02/17	2011/08/17	75.00

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28906	RB of Scotland N.V. - China Life European Wts Aug 2011 B	200,000,000	2011/02/17	2011/08/01	35.60
28907	RB of Scotland N.V. - HSI European Warrants Aug 2011	250,000,000	2011/02/17	2011/08/30	38.25
28902	Standard Chartered Bank - AIA European Warrants Oct 2011	100,000,000	2011/02/17	2011/10/10	25.00
28899	Standard Chartered Bank-China Life European Wts Aug 2011 B	100,000,000	2011/02/17	2011/08/22	30.00
28900	Standard Chartered Bank - PetroChina European Warrants Oct12	100,000,000	2011/02/17	2012/10/19	15.00
28893	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul 2011C	300,000,000	2011/02/17	2011/07/25	45.00
28894	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2011B	300,000,000	2011/02/17	2011/08/17	75.00
28896	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2011 G	300,000,000	2011/02/17	2011/05/23	93.00
28891	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2011 F	300,000,000	2011/02/17	2011/06/29	45.00
28892	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2011 C	300,000,000	2011/02/17	2011/07/28	45.00
28895	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jun 11G	300,000,000	2011/02/17	2011/06/29	45.00
28885	UBS AG - BOCL European Warrants Aug 2011	200,000,000	2011/02/17	2011/08/22	50.00
28886	UBS AG - Henderson Land European Warrants Aug 2011	100,000,000	2011/02/17	2011/08/22	25.00
28883	UBS AG - HSI European Warrants Aug 2011A	300,000,000	2011/02/17	2011/08/30	45.00
28882	UBS AG - HSI European Warrants Jun 2011 D	300,000,000	2011/02/17	2011/06/29	45.00
28913	UBS AG - HSI European Warrants Jun 2011 E	300,000,000	2011/02/17	2011/06/29	45.00
28884	UBS AG - HSI European Put Warrants May 2011 K	300,000,000	2011/02/17	2011/05/30	45.00
28917	UBS AG - HSI European Put Warrants Jun 2011 G	300,000,000	2011/02/17	2011/06/29	45.00
28888	UBS AG - Hutchison European Warrants Mar 2012 B	500,000,000	2011/02/17	2012/03/21	75.00
28887	UBS AG - PetroChina European Warrants Jun 2011 B	100,000,000	2011/02/17	2011/06/27	30.00
28949	BOCI Asia Ltd. - China Mobile European Put Warrants Aug 2011	100,000,000	2011/02/18	2011/08/22	25.00
28947	BOCI Asia Ltd. - CC Bank European Put Warrants Jul 2011	100,000,000	2011/02/18	2011/07/04	30.50
28948	BOCI Asia Ltd. - China Life European Put Warrants Oct 2011	100,000,000	2011/02/18	2011/10/03	25.00
28968	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2011	200,000,000	2011/02/18	2011/08/30	30.00
28969	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jul 2011	200,000,000	2011/02/18	2011/07/28	34.00
28970	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Aug 2011	200,000,000	2011/02/18	2011/08/30	62.00
28927	Credit Suisse AG - HSI European Warrants Jun 2011 C	300,000,000	2011/02/18	2011/06/29	48.00
28964	Citigroup Global Mkt H Inc. - BYD European Warrants Aug 2011	80,000,000	2011/02/18	2011/08/01	12.00
28937	Citigroup Global Mkt H Inc. - China Shenhua Eur Wts Jun 11B	80,000,000	2011/02/18	2011/06/13	12.00
28965	Deutsche Bank AG - HKEx European Put Warrants Jun 2011	150,000,000	2011/02/18	2011/06/27	22.50
28957	Deutsche Bank AG - HKEx European Warrants Jul 2011 C	200,000,000	2011/02/18	2011/07/05	30.00
28966	Deutsche Bank AG - Hutchison European Warrants Jul 2011 C	150,000,000	2011/02/18	2011/07/18	75.00
28967	Deutsche Bank AG - PetroChina European Warrants Jul 2011	150,000,000	2011/02/18	2011/07/18	63.00
28938	Goldman Sachs SP (Asia) - CC Bank European Wts Jun 2011	200,000,000	2011/02/18	2011/06/27	81.00
28939	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2011 C	200,000,000	2011/02/18	2011/06/27	45.00
28940	Goldman Sachs SP (Asia) - CPIC European Warrants Aug 2011	200,000,000	2011/02/18	2011/08/22	50.00
28941	Goldman Sachs SP (Asia) - Li & Fung European Warrants Jun 11	200,000,000	2011/02/18	2011/06/30	56.00
10073	Goldman Sachs SP (Asia) - N225 Index Euro Wts Sep 2011	100,000,000	2011/02/18	2011/09/09	25.00
10074	Goldman Sachs SP (Asia) - N225 Index Euro Wts Dec 2011	100,000,000	2011/02/18	2011/12/09	25.00
10075	Goldman Sachs SP (Asia) - N225 Index Euro Put Wts Sep 2011	100,000,000	2011/02/18	2011/09/09	25.00
10076	Goldman Sachs SP (Asia) - N225 Index Euro Put Wts Dec 2011	100,000,000	2011/02/18	2011/12/09	25.00
28978	Goldman Sachs SP (Asia) - Ping An European Warrants Sep 2011	200,000,000	2011/02/18	2011/09/19	166.00
28958	HK Bank - BOCL European Warrants Aug 2011	100,000,000	2011/02/18	2011/08/22	15.00
28959	HK Bank -BYD European Warrants Sep 2011	100,000,000	2011/02/18	2011/09/23	25.00

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28960	HK Bank - China Life European Warrants Aug 2011 B	300,000,000	2011/02/18	2011/08/08	54.00
28961	HK Bank - China Life European Put Warrants Oct 2011	120,000,000	2011/02/18	2011/10/25	30.00
28962	HK Bank - Henderson Land Dev European Warrants Sep 2011	80,000,000	2011/02/18	2011/09/15	20.00
28933	HK Bank - HSI European Put Warrants Aug 2011	200,000,000	2011/02/18	2011/08/30	50.00
28934	HK Bank - HSI European Put Warrants Sep 2011	200,000,000	2011/02/18	2011/09/29	50.00
28935	HK Bank - HSI European Put Warrants Oct 2011	200,000,000	2011/02/18	2011/10/28	50.00
28936	HK Bank - HSI European Put Warrants Mar 2012	200,000,000	2011/02/18	2012/03/29	50.00
28963	HK Bank - Sinopharm European Warrants Oct 2011	80,000,000	2011/02/18	2011/10/20	20.00
28950	J P Morgan SP BV - BOCL European Warrants Aug 2011 A	200,000,000	2011/02/18	2011/08/18	50.00
28951	J P Morgan SP BV - Ch Overseas European Warrants Oct 2011	200,000,000	2011/02/18	2011/10/03	30.00
28952	J P Morgan SP BV - Sinopec Corp European Warrants Jul 2011 B	100,000,000	2011/02/18	2011/07/22	30.00
28953	J P Morgan SP BV - Fushan Energy European Warrants Jun 2011B	80,000,000	2011/02/18	2011/06/16	12.00
28955	J P Morgan SP BV - HKEx European Warrants Sep 2011 B	300,000,000	2011/02/18	2011/09/26	45.00
28954	J P Morgan SP BV - Hang Lung Ppt European Warrants Aug 2011	100,000,000	2011/02/18	2011/08/18	25.00
28956	J P Morgan SP BV - Yanzhou Coal European Warrants Oct 2011	100,000,000	2011/02/18	2011/10/31	25.00
28976	KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Sep 2011	108,000,000	2011/02/18	2011/09/01	44.28
28975	KBC Fin Products Int'l Ltd. - BOCL European Wts Aug 2011 B	158,000,000	2011/02/18	2011/08/18	39.50
28977	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Aug 2011 B	138,000,000	2011/02/18	2011/08/18	49.68
28974	KBC Fin Products Int'l Ltd. - CMB European Warrants Dec 2011	108,000,000	2011/02/18	2011/12/01	16.20
28971	Macquarie Bank Ltd. - Cheung Kong European Warrants May 11B	250,000,000	2011/02/18	2011/05/27	67.50
28943	Macquarie Bank Ltd. - Lenovo European Warrants Aug 2011	100,000,000	2011/02/18	2011/08/18	25.30
28944	Macquarie Bank Ltd. - Maanshan Iron European Wts Aug 2011	88,000,000	2011/02/18	2011/08/18	26.93
28942	Macquarie Bank Ltd. - Shimao Ppt European Warrants Dec 2011	150,000,000	2011/02/18	2011/12/14	22.50
28946	Standard Chartered Bank - A50 China European Put Wts May12	100,000,000	2011/02/18	2012/05/28	25.00
28945	Standard Chartered Bank - ICBC European Warrants Aug 2011 B	100,000,000	2011/02/18	2011/08/18	25.00
28929	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Aug 2011A	200,000,000	2011/02/18	2011/08/22	92.00
28928	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jul 2011 B	200,000,000	2011/02/18	2011/07/27	64.00
28930	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jun 11C	200,000,000	2011/02/18	2011/06/27	42.00
28931	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 11E	200,000,000	2011/02/18	2011/08/22	82.00
28932	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jun 2011 C	200,000,000	2011/02/18	2011/06/15	50.00
28972	UBS AG - Cheung Kong European Warrants Aug 2011 B	100,000,000	2011/02/18	2011/08/22	25.00
28973	UBS AG - HSBC European Warrants Sep 2011 C	200,000,000	2011/02/18	2011/09/05	50.00
10039#	Deutsche Bank AG - Nikkei 225 Index Euro Put Wts Sep 2011	100,000,000	2011/02/18	2011/09/09	17.40
29026	Deutsche Bank AG - BOCL European Warrants Aug 2011 A	100,000,000	2011/02/21	2011/08/22	25.00
28987	Goldman Sachs SP (Asia) - Yurun Food European Warrants Sep11	200,000,000	2011/02/21	2011/09/05	59.00
29028	KBC Fin Products Int'l Ltd. - Henderson Land Dev Eu Wt Sep11	58,000,000	2011/02/21	2011/09/01	20.88
29032	KBC Fin Products Int'l Ltd. - Henderson Land Eu Put Wt Sep11	58,000,000	2011/02/21	2011/09/15	24.36
29029	KBC Fin Products Int'l Ltd. - Ping An Euro Put Wts Aug 2011	68,000,000	2011/02/21	2011/08/22	22.44
29025	Macquarie Bank Ltd. - China Tel European Warrants Jul 2011	200,000,000	2011/02/21	2011/07/18	34.60
28985	Macquarie Bank Ltd. - HSI European Warrants Aug 2011	200,000,000	2011/02/21	2011/08/30	30.00
28986	Macquarie Bank Ltd. - HSI European Put Warrants Jun 2011	200,000,000	2011/02/21	2011/06/29	30.00
28984	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Jul 2011	80,000,000	2011/02/21	2011/07/04	12.64
28981	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Aug 2012	80,000,000	2011/02/21	2012/08/13	20.00
28983	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Dec 2011	80,000,000	2011/02/21	2011/12/19	22.80

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28982	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Apr 2012	80,000,000	2011/02/21	2012/04/13	20.00
28980	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2011 B	80,000,000	2011/02/21	2011/09/28	12.00
28979	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Dec 2011 B	80,000,000	2011/02/21	2011/12/05	12.24
29016	Nomura Int'l plc - Alibaba European Warrants Jun 2011	100,000,000	2011/02/21	2011/06/30	15.00
29007	Nomura Int'l plc - Angang Steel European Warrants Aug 2011	100,000,000	2011/02/21	2011/08/22	15.00
29013	Nomura Int'l plc - BYD European Warrants Jun 2011	100,000,000	2011/02/21	2011/06/30	15.00
29009	Nomura Int'l plc - Ch Overseas European Warrants Dec 2011	100,000,000	2011/02/21	2011/12/30	15.00
29017	Nomura Int'l plc - China COSCO European Warrants Sep 2011	100,000,000	2011/02/21	2011/09/05	15.00
29018	Nomura Int'l plc - China Life European Warrants Jul 2011 C	100,000,000	2011/02/21	2011/07/29	15.00
29019	Nomura Int'l plc - China Life European Warrants Aug 2011	100,000,000	2011/02/21	2011/08/31	15.00
29014	Nomura Int'l plc - Chinares Cement European Warrants Aug 11A	60,000,000	2011/02/21	2011/08/22	39.00
29015	Nomura Int'l plc - Chinares Cement European Warrants Aug 11B	60,000,000	2011/02/21	2011/08/22	29.40
29020	Nomura Int'l plc - GCL-Poly Energy European Warrants Aug 11	100,000,000	2011/02/21	2011/08/22	25.00
29003	Nomura Int'l plc -Henderson Land Dev European Warrants Aug11	100,000,000	2011/02/21	2011/08/31	25.00
29004	Nomura Int'l plc - Hutchison European Warrants Oct 2012	200,000,000	2011/02/21	2012/10/15	30.00
29008	Nomura Int'l plc - JIANC European Warrants Jun 2011	100,000,000	2011/02/21	2011/06/30	15.00
29006	Nomura Int'l plc - Realgold MiningEuropean Warrants Aug 2011	100,000,000	2011/02/21	2011/08/23	22.00
29010	Nomura Int'l plc - Sinopharm European Warrants Jul 2011	80,000,000	2011/02/21	2011/07/21	12.08
29005	Nomura Int'l plc - Sino Land European Warrants Nov 2011	100,000,000	2011/02/21	2011/11/09	15.00
29021	Nomura Int'l plc - United Lab European Warrants Aug 2011	100,000,000	2011/02/21	2011/08/31	25.10
29022	Nomura Int'l plc - United Lab European Warrants Dec 2011	100,000,000	2011/02/21	2011/12/30	26.70
29011	Nomura Int'l plc - Yanzhou Coal European Warrants Jun 2011	100,000,000	2011/02/21	2011/06/13	15.10
29012	Nomura Int'l plc - Yanzhou Coal European Warrants Oct 2011	100,000,000	2011/02/21	2011/10/26	18.00
28989	CC Rabobank B.A. - Ch Mobile European Warrants Aug 2011	150,000,000	2011/02/21	2011/08/30	60.00
28990	CC Rabobank B.A. - Ch Mobile European Put Wts Jul 2011	150,000,000	2011/02/21	2011/07/04	52.50
28991	CC Rabobank B.A. - China Tel European Warrants Aug 2011	100,000,000	2011/02/21	2011/08/22	27.00
28992	CC Rabobank B.A. - ChiUnicom European Warrants Mar 2012	150,000,000	2011/02/21	2012/03/16	33.00
28988	CC Rabobank B.A. - Cheung Kong European Warrants May 2011	150,000,000	2011/02/21	2011/05/31	28.50
28993	CC Rabobank B.A. - HKEx European Put Warrants Oct 2011	200,000,000	2011/02/21	2011/10/24	30.00
28994	CC Rabobank B.A. - HSBC European Put Warrants Jul 2011	150,000,000	2011/02/21	2011/07/22	33.00
28995	CC Rabobank B.A. - Hutchison European Warrants Aug 2011	150,000,000	2011/02/21	2011/08/30	112.50
28996	CC Rabobank B.A. - Hutchison European Put Warrants Jul 2011	150,000,000	2011/02/21	2011/07/26	114.00
28997	CC Rabobank B.A. - Ping An European Warrants Aug 2011	150,000,000	2011/02/21	2011/08/01	72.00
28998	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Dec11	200,000,000	2011/02/21	2011/12/21	50.00
29027	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Nov 11	200,000,000	2011/02/21	2011/11/28	50.00
28999	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Aug 11	120,000,000	2011/02/21	2011/08/22	30.00
29000	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Aug 2011A	500,000,000	2011/02/21	2011/08/24	75.00
29001	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Aug 2011B	500,000,000	2011/02/21	2011/08/29	125.00
29002	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2011	200,000,000	2011/02/21	2011/08/01	32.00
29030	UBS AG - Agricultural Bank European Warrants Jul 2011 B	200,000,000	2011/02/21	2011/07/22	30.00
29023	UBS AG - China Life European Warrants Aug 2011 B	300,000,000	2011/02/21	2011/08/08	45.00
29024	UBS AG - HS Bank European Warrants Jun 2011	100,000,000	2011/02/21	2011/06/30	15.00
29045	BNP Paribas Arbit Issu B.V. - Galaxy Ent European Wts Dec11	100,000,000	2011/02/22	2011/12/02	25.00
29046	BNP Paribas Arbit Issu B.V. - Poly HK Inv European Wts Jul13	100,000,000	2011/02/22	2013/07/02	25.00

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29047	BNP Paribas Arbit Issu B.V. - Swrie Pacific A Euro Wts Apr12	100,000,000	2011/02/22	2012/04/03	15.00
29043	Deutsche Bank AG - AIA European Warrants Jul 2011	200,000,000	2011/02/22	2011/07/21	30.00
29044	Deutsche Bank AG - Tencent Holdings European Wts Nov 2011	200,000,000	2011/02/22	2011/11/21	36.00
29031	Goldman Sachs SP (Asia) - BYD European Warrants Oct 2011	200,000,000	2011/02/22	2011/10/31	119.00
29033	Goldman Sachs SP (Asia) - Esprit European Warrants Aug 2011	200,000,000	2011/02/22	2011/08/23	53.00
29034	Goldman Sachs SP (Asia) - Li & Fung European Warrants Aug11B	200,000,000	2011/02/22	2011/08/23	78.00
29041	Macquarie Bank Ltd. - China EB Ltd European Warrants Oct2011	250,000,000	2011/02/22	2011/10/10	38.00
29035	CC Rabobank B.A. - China Agri European Warrants Dec 2012	100,000,000	2011/02/22	2012/12/24	18.00
29038	CC Rabobank B.A. - CITIC Pacific European Warrants Aug 2011	200,000,000	2011/02/22	2011/08/15	30.00
29036	CC Rabobank B.A. - China Life European Warrants Sep 2011	150,000,000	2011/02/22	2011/09/02	27.00
29037	CC Rabobank B.A. - China Shenhua European Warrants Aug 2011	100,000,000	2011/02/22	2011/08/30	25.00
29039	CC Rabobank B.A. - Yuexiu Property European Warrants Aug2011	100,000,000	2011/02/22	2011/08/30	25.00
29048	RB of Scotland N.V. - Agricultural Bk European Wts Jun 2011	100,000,000	2011/02/22	2011/06/24	16.90
29049	RB of Scotland N.V. - Agricultural Bk European Wts Jul 2011	200,000,000	2011/02/22	2011/07/25	30.80
29040	Standard Chartered Bank - HSBC European Warrants Jun 2011 D	100,000,000	2011/02/22	2011/06/27	15.00
29042	Standard Chartered Bank - Tencent Hldg European Wts Jun 2011	100,000,000	2011/02/22	2011/06/21	15.00
23506#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2011	800,000,000	2011/02/22	2011/12/05	38.40
23547#	CC Rabobank B.A. - Nine Dragons European Warrants Jun 2011	350,000,000	2011/02/22	2011/06/29	10.50
10077	BNP Paribas Arbit Issu B.V. -AUD/USD European Warrants Aug11	200,000,000	2011/02/23	2011/08/23	50.00
10081	BNP Paribas Arbit Issu B.V. - AUD/USD European Put Wts Aug11	200,000,000	2011/02/23	2011/08/23	50.00
29061	BNP Paribas Arbit Issu B.V-BankComm European Warrants Nov 11	49,000,000	2011/02/23	2011/11/02	24.01
29066	BNP Paribas Arbit Issu B.V. - BYD European Warrants Sep 2011	60,000,000	2011/02/23	2011/09/05	15.60
29062	BNP Paribas Arbit Issu B.V. - Link REIT Euro Wts Feb 2012	95,000,000	2011/02/23	2012/02/02	23.75
29065	Citigroup Global Mkt H Inc.-Henderson Land Dev Eur Wts Oct11	80,000,000	2011/02/23	2011/10/10	20.00
29064	Deutsche Bank AG - China Life European Put Wts Sep 2011	150,000,000	2011/02/23	2011/09/05	37.50
29063	Deutsche Bank AG - A50 China European Put Wts Sep 2011	100,000,000	2011/02/23	2011/09/22	15.00
29054	HK Bank - Agricultural Bank European Warrants Aug 2011	120,000,000	2011/02/23	2011/08/23	30.00
29058	HK Bank - China Mobile European Warrants Aug 2011A	300,000,000	2011/02/23	2011/08/23	75.00
29059	HK Bank - China Mobile European Put Warrants Oct 2011	120,000,000	2011/02/23	2011/10/07	30.00
29055	HK Bank - CC Bank European Warrants Jul 2011	180,000,000	2011/02/23	2011/07/22	45.00
29056	HK Bank - CC Bank European Warrants Aug 2011	180,000,000	2011/02/23	2011/08/23	45.00
29057	HK Bank - CC Bank European Put Warrants Sep 2011	120,000,000	2011/02/23	2011/09/09	30.00
29069	RB of Scotland N.V. - A50 China European Warrants Nov 2011	250,000,000	2011/02/23	2011/11/04	37.75
29070	RB of Scotland N.V. - A50 China European Put Wts Jan 2012	250,000,000	2011/02/23	2012/01/18	37.75
29060	Standard Chartered Bank-China Life European Wts Nov 2011	100,000,000	2011/02/23	2011/11/04	25.00
29050	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Aug 2011 B	200,000,000	2011/02/23	2011/08/29	50.00
29051	SGA Societe Generale Acceptance N.V. - BYD Euro Wts Aug 11B	180,000,000	2011/02/23	2011/08/24	61.20
29052	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Sep 2011 C	600,000,000	2011/02/23	2011/09/26	90.00
29053	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2011 C	600,000,000	2011/02/23	2011/10/24	96.00
29068	UBS AG - AIA European Warrants Jul 2011 A	200,000,000	2011/02/23	2011/07/21	30.00
29067	UBS AG - CC Bank European Warrants Aug 2011A	200,000,000	2011/02/23	2011/08/08	40.00
25651#	UBS AG - HKEx European Warrants May 2011 B	300,000,000	2011/02/23	2011/05/30	22.20
29074	Citigroup Global Mkt H Inc. -Chaoda Modern European Wt Nov12	80,000,000	2011/02/24	2012/11/01	12.00
29075	Deutsche Bank AG - AIA European Put Warrants Sep 2011	100,000,000	2011/02/24	2011/09/12	25.00

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29076	Deutsche Bank AG - CC Bank European Put Warrants Aug 2011	100,000,000	2011/02/24	2011/08/29	36.00
29077	Deutsche Bank AG - ICBC European Put Warrants Aug 2011	100,000,000	2011/02/24	2011/08/29	33.00
10078	Deutsche Bank AG - Nikkei 225 Index European Wts Dec 2011 A	100,000,000	2011/02/24	2011/12/09	25.00
10082	Deutsche Bank AG - Nikkei 225 Index Euro Put Wts Dec 2011 C	100,000,000	2011/02/24	2011/12/09	26.00
29071	Goldman Sachs SP (Asia) -Anhui Conch European Warrants Jun11	200,000,000	2011/02/24	2011/06/20	65.00
29072	Goldman Sachs SP (Asia) - Ch Comm Cons European Wts Nov 2011	200,000,000	2011/02/24	2011/11/07	143.00
29073	HK Bank - AIA European Warrants Dec 2011	200,000,000	2011/02/24	2011/12/08	50.00
23735#	Macquarie Bank Ltd. - BYD European Warrants Nov 2011	500,000,000	2011/02/24	2011/11/28	11.50
28358#	CC Rabobank B.A. - Hutchison European Warrants May 2011 D	200,000,000	2011/02/24	2011/05/30	28.60
29089	BOCI Asia Ltd. - CC Bank European Warrants Jul 2011	100,000,000	2011/02/25	2011/07/18	15.00
29088	BOCI Asia Ltd. - A50 China European Warrants Dec 2011	100,000,000	2011/02/25	2011/12/28	15.00
29092	BNP Paribas Arbit Issu B.V. - Sino-Ocean Land Euro Wts Nov11	45,000,000	2011/02/25	2011/11/02	19.80
10080	Deutsche Bank AG - EUR/USD European Warrants Aug 2011	50,000,000	2011/02/25	2011/08/29	13.00
10079	Deutsche Bank AG - USD/YEN European Put Warrants Aug 2011	50,000,000	2011/02/25	2011/08/29	13.00
29090	Goldman Sachs SP (Asia) - ZTE European Warrants Aug 2011	200,000,000	2011/02/25	2011/08/29	62.00
29087	HK Bank - Alibaba European Warrants Jan 2012	100,000,000	2011/02/25	2012/01/26	25.00
29078	CC Rabobank B.A. - Alibaba European Warrants Oct 2011	150,000,000	2011/02/25	2011/10/17	27.00
29079	CC Rabobank B.A. - BOCL European Warrants Jun 2011	200,000,000	2011/02/25	2011/06/23	30.00
29080	CC Rabobank B.A. - C Transmission European Warrants Dec 2011	100,000,000	2011/02/25	2011/12/14	18.00
29081	CC Rabobank B.A. - Dongfang Elec European Warrants Sep 2011A	100,000,000	2011/02/25	2011/09/29	35.00
29082	CC Rabobank B.A. - Hutchison European Warrants Jun 2011	200,000,000	2011/02/25	2011/06/10	70.00
29093	RB of Scotland N.V. - Sinopec Corp European Warrants Aug 11B	100,000,000	2011/02/25	2011/08/29	56.50
29094	RB of Scotland N.V. - Sinopec Corp European Warrants Sep 11	100,000,000	2011/02/25	2011/09/30	40.60
29085	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep2011A	200,000,000	2011/02/25	2011/09/05	54.00
29083	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Dec 2012A	300,000,000	2011/02/25	2012/12/10	66.00
29084	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Dec 2012B	300,000,000	2011/02/25	2012/12/10	45.00
29091	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2011 D	500,000,000	2011/02/25	2011/06/20	75.00
29086	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2011 D	500,000,000	2011/02/25	2011/07/25	80.00
29095	UBS AG - BYD European Warrants Aug 2011 A	100,000,000	2011/02/25	2011/08/29	25.00
29096	UBS AG - CC Bank European Warrants Jun 2011 D	200,000,000	2011/02/25	2011/06/30	30.00
29097	UBS AG - Sinopec Corp European Warrants May 2012	200,000,000	2011/02/25	2012/05/21	30.00
27678#	Standard Chartered Bank-China Life European Warrants Jun 11	150,000,000	2011/02/25	2011/06/30	11.40
29119	BNP Paribas Arbit Issu B.V. - China Overseas Euro Wts Nov 11	100,000,000	2011/02/28	2011/11/02	25.00
29120	BNP Paribas Arbit Issu B.V. - Chi Res Land Euro Wts Dec 11	100,000,000	2011/02/28	2011/12/02	25.00
29126	BNP Paribas Arbit Issu B.V. - Realgold Mining Euro Wts Jan12	60,000,000	2011/02/28	2012/01/03	15.00
29122	Citigroup Global Mkt H Inc. - Chi Resources Euro Wts Oct 11	80,000,000	2011/02/28	2011/10/24	20.00
29121	Citigroup Global Mkt H Inc. - China Tel Euro Wts Oct 2011	80,000,000	2011/02/28	2011/10/17	24.00
29123	Citigroup Global Mkt H Inc. - HS Bank European Wts Nov 2011	80,000,000	2011/02/28	2011/11/28	20.00
29124	Citigroup Global Mkt H Inc. - Jiangxi Copper Euro Wts Sep 11	80,000,000	2011/02/28	2011/09/22	12.00
29125	Citigroup Global Mkt H Inc. - Wharf European Wts Oct 2011	80,000,000	2011/02/28	2011/10/10	20.00
10083	Deutsche Bank AG - Gold European Warrants Aug 2011	50,000,000	2011/02/28	2011/08/31	12.50
10087	Deutsche Bank AG - Gold European Put Warrants Aug 2011	50,000,000	2011/02/28	2011/08/31	12.50
29115	Macquarie Bank Ltd. - BYD European Warrants Aug 2011 B	150,000,000	2011/02/28	2011/08/30	40.65
29117	Macquarie Bank Ltd. - HKEx European Warrants Sep 2011	200,000,000	2011/02/28	2011/09/22	30.00

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股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29118	Macquarie Bank Ltd. - HKEx European Put Warrants Nov 2011	200,000,000	2011/02/28	2011/11/02	50.00
29116	Macquarie Bank Ltd. - Hang Lung Ppt European Wts Sep 2011	100,000,000	2011/02/28	2011/09/02	25.00
29104	Nomura Int'l plc - Ch Com Service European Warrants Nov 2011	100,000,000	2011/02/28	2011/11/21	15.00
29108	Nomura Int'l plc - CSR European Warrants Aug 2011 A	100,000,000	2011/02/28	2011/08/08	17.20
29109	Nomura Int'l plc - CSR European Warrants Aug 2011 B	100,000,000	2011/02/28	2011/08/29	15.10
29103	Nomura Int'l plc - HKEx European Warrants Sep 2011 B	200,000,000	2011/02/28	2011/09/30	30.00
29098	Nomura Int'l plc - Hutchison European Warrants Aug 2011	100,000,000	2011/02/28	2011/08/31	39.00
29102	Nomura Int'l plc - KECL European Warrants Dec 2011	100,000,000	2011/02/28	2011/12/05	15.00
29111	Nomura Int'l plc - Ping An European Warrants Jun 2011	100,000,000	2011/02/28	2011/06/08	15.00
29110	Nomura Int'l plc - Ping An European Warrants Mar 2012	200,000,000	2011/02/28	2012/03/05	30.00
29099	Nomura Int'l plc - Swrie Pacific A European Warrants Mar 12	100,000,000	2011/02/28	2012/03/30	15.00
29106	Nomura Int'l plc - Xinyi Glass European Warrants Feb 2012	100,000,000	2011/02/28	2012/02/29	25.20
29107	Nomura Int'l plc - Yurun Food European Warrants Jun 2011	100,000,000	2011/02/28	2011/06/30	15.00
29100	Nomura Int'l plc - Yuexiu Property European Warrants Aug 11	100,000,000	2011/02/28	2011/08/29	25.00
29101	Nomura Int'l plc - Yuexiu Property European Warrants Mar 12	100,000,000	2011/02/28	2012/03/30	27.00
29112	Nomura Int'l plc - Zijin Mining European Warrants May 2012	100,000,000	2011/02/28	2012/05/31	15.00
29105	Nomura Int'l plc - ZTE European Warrants Aug 2011	100,000,000	2011/02/28	2011/08/29	31.40
29113	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Aug 2011	50,000,000	2011/02/28	2011/08/29	15.50
29114	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Sep11	100,000,000	2011/02/28	2011/09/05	15.00
27920#	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Jun 11F	250,000,000	2011/02/28	2011/06/21	16.25
29141	BNP Paribas Arbit Issu B.V. - Beijing Ent European Wts Dec13	100,000,000	2011/03/01	2013/12/03	25.00
29136	BNP Paribas Arbit Issu B.V. - CSR European Warrants Mar 2012	100,000,000	2011/03/01	2012/03/02	25.00
29139	BNP Paribas Arbit Issu B.V. - HSBC European Put Wts Sep 11E	100,000,000	2011/03/01	2011/09/02	60.00
29137	BNP Paribas Arbit Issu B.V. -Li Ning European Warrants Oct11	80,000,000	2011/03/01	2011/10/04	20.00
29138	BNP Paribas Arbit Issu B.V. - ZTE European Warrants Dec 2011	60,000,000	2011/03/01	2011/12/02	26.40
29135	Deutsche Bank AG - HSBC European Warrants Feb 2013	300,000,000	2011/03/01	2013/02/04	52.50
29131	Goldman Sachs SP (Asia) - AIA European Warrants Aug 2011	200,000,000	2011/03/01	2011/08/02	30.40
29130	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2011 B	200,000,000	2011/03/01	2011/09/29	50.00
29127	HK Bank - BOC HK European Warrants Nov 2011	150,000,000	2011/03/01	2011/11/18	37.50
29128	HK Bank - GCL-Poly Energy European Warrants Oct 2011	100,000,000	2011/03/01	2011/10/17	25.00
29129	HK Bank - Lenovo European Warrants Sep 2011 A	100,000,000	2011/03/01	2011/09/19	25.00
29140	Macquarie Bank Ltd. - HSBC European Warrants Feb 2013	300,000,000	2011/03/01	2013/02/04	45.00
10084	RB of Scotland N.V. - Oil Futures European Warrants Nov 2011	100,000,000	2011/03/01	2011/11/15	33.50
10088	RB of Scotland N.V. - Oil Futures European Put Wts Nov11	100,000,000	2011/03/01	2011/11/15	25.10
29132	UBS AG - Alibaba European Warrants Jun 2011	200,000,000	2011/03/01	2011/06/20	30.00
29133	UBS AG - BYD European Warrants Sep 2011	100,000,000	2011/03/01	2011/09/12	25.00
29134	UBS AG - China Mobile European Warrants Aug 2011 A	300,000,000	2011/03/01	2011/08/15	114.00
29157	BNP Paribas Arbit Issu B.V. - AviChina European Wts Jul13	100,000,000	2011/03/02	2013/07/02	25.00
10085	BNP Paribas Arbit Issu B.V. - Gold European Warrants Sep 11	200,000,000	2011/03/02	2011/09/06	59.00
10089	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Sep 2011	200,000,000	2011/03/02	2011/09/06	59.00
29166	Credit Suisse AG - China Mobile European Warrants Sep 2011	300,000,000	2011/03/02	2011/09/02	75.00
29163	Credit Suisse AG - HSBC European Wts Jun 2011 C	300,000,000	2011/03/02	2011/06/03	84.00
29164	Credit Suisse AG - Jiangxi Copper European Wts Jun 2011 B	300,000,000	2011/03/02	2011/06/07	45.00
29165	Credit Suisse AG - PetroChina European Warrants Oct 2012	300,000,000	2011/03/02	2012/10/19	45.00

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29148	Citigroup Global Mkt H Inc. - CM Bank European Wts Sep 2011	80,000,000	2011/03/02	2011/09/12	12.00
29149	Citigroup Global Mkt H Inc. - Minsheng Bank Euro Wts Jul 11	80,000,000	2011/03/02	2011/07/18	14.40
29168	Citigroup Global Mkt H Inc. - CNOOC European Wts Oct 2011 B	80,000,000	2011/03/02	2011/10/25	12.00
29150	Citigroup Global Mkt H Inc. - Hang Lung Ppt Euro Wts Oct 11	80,000,000	2011/03/02	2011/10/03	20.00
29162	Deutsche Bank AG - BOCL European Put Warrants Sep 2011	100,000,000	2011/03/02	2011/09/05	25.00
29158	Deutsche Bank AG - CNOOC European Warrants Jul 2011 B	200,000,000	2011/03/02	2011/07/18	30.00
29161	Deutsche Bank AG - A50 China European Warrants Nov 2011	150,000,000	2011/03/02	2011/11/04	22.50
29159	Deutsche Bank AG - HKEx European Warrants Aug 2011	300,000,000	2011/03/02	2011/08/15	45.00
29160	Deutsche Bank AG - HSBC European Warrants Jul 2011 B	300,000,000	2011/03/02	2011/07/18	90.00
29154	Goldman Sachs SP (Asia) - China Railway Euro Wts Sep 2011	200,000,000	2011/03/02	2011/09/05	82.00
29155	J P Morgan SP BV - HSBC European Warrants Jul 2011 D	100,000,000	2011/03/02	2011/07/18	15.00
29156	J P Morgan SP BV - HSBC European Warrants Sep 2011 C	100,000,000	2011/03/02	2011/09/05	25.00
29151	Macquarie Bank Ltd. - CC Bank European Warrants Aug 2011	250,000,000	2011/03/02	2011/08/08	63.50
29152	Macquarie Bank Ltd. - Fushan Energy European Warrants Jun 11	88,000,000	2011/03/02	2011/06/22	16.19
29153	Macquarie Bank Ltd. - Sinofert European Warrants Sep 2011	88,000,000	2011/03/02	2011/09/05	22.26
29167	Standard Chartered Bank - China Mobile Euro Wts Oct 2011 B	100,000,000	2011/03/02	2011/10/28	25.00
29142	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Sep 2011	80,000,000	2011/03/02	2011/09/05	49.60
29143	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Jan 2012	500,000,000	2011/03/02	2012/01/18	75.00
29144	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Aug 11C	200,000,000	2011/03/02	2011/08/01	58.00
29145	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Sep 2011	200,000,000	2011/03/02	2011/09/05	140.00
29146	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2011 C	200,000,000	2011/03/02	2011/07/06	112.00
29147	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Sep 2011	100,000,000	2011/03/02	2011/09/05	73.00
26047#	Macquarie Bank Ltd. - Jiangxi Copper European Wts May 2011 B	250,000,000	2011/03/02	2011/05/04	13.50
27855#	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts May2011A	70,000,000	2011/03/02	2011/05/03	13.65
29191	BNP Paribas Arbit Issu B.V. - Sh Ind H European Wts Feb 2012	42,000,000	2011/03/03	2012/02/02	11.34
29193	Deutsche Bank AG - China Mobile European Warrants Sep 2011A	200,000,000	2011/03/03	2011/09/26	112.00
29192	Deutsche Bank AG - CC Bank European Warrants Sep 2011	200,000,000	2011/03/03	2011/09/05	120.00
29194	Deutsche Bank AG - HSBC European Warrants Sep 2011 C	200,000,000	2011/03/03	2011/09/05	134.00
10086	Deutsche Bank AG - Oil Futures European Warrants Sep 2011	50,000,000	2011/03/03	2011/09/07	12.50
10090	Deutsche Bank AG - Oil Futures European Put Warrants Sep2011	50,000,000	2011/03/03	2011/09/07	12.50
29176	Goldman Sachs SP (Asia) - Gome European Warrants Sep 2011	200,000,000	2011/03/03	2011/09/05	64.00
29187	Macquarie Bank Ltd. - China Mobile European Wts Jun 2011 C	200,000,000	2011/03/03	2011/06/24	40.60
29190	Macquarie Bank Ltd. - Tencent European Put Warrants Dec 2011	250,000,000	2011/03/03	2011/12/02	62.50
29188	Macquarie Bank Ltd. - Zhaojin Mining European Warrants Aug11	128,000,000	2011/03/03	2011/08/11	30.98
29170	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Aug 2011	120,000,000	2011/03/03	2011/08/03	19.20
29171	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2011	80,000,000	2011/03/03	2011/09/12	12.56
29172	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2011	120,000,000	2011/03/03	2011/11/28	23.64
29174	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Jul 2011	120,000,000	2011/03/03	2011/07/25	18.12
29169	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jul 2011 B	80,000,000	2011/03/03	2011/07/20	17.68
29173	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2011 C	80,000,000	2011/03/03	2011/12/05	12.56
29177	CC Rabobank B.A. - AviChina European Warrants Sep 2011	100,000,000	2011/03/03	2011/09/05	75.00
29178	CC Rabobank B.A. - China Gas Hold European Warrants Sep 2011	100,000,000	2011/03/03	2011/09/05	35.00
29179	CC Rabobank B.A. - China Ship Dev European Warrants Aug 2012	150,000,000	2011/03/03	2012/08/30	37.50
29186	CC Rabobank B.A. - CSR Times European Warrants Sep 2011	100,000,000	2011/03/03	2011/09/05	35.00

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29181	CC Rabobank B.A. - CSR European Warrants Dec 2011 B	180,000,000	2011/03/03	2011/12/29	45.00
29182	CC Rabobank B.A. - Digital China European Warrants Nov 2011	150,000,000	2011/03/03	2011/11/21	37.50
29183	CC Rabobank B.A. - HSBC European Warrants Jul 2011 B	200,000,000	2011/03/03	2011/07/11	40.00
29184	CC Rabobank B.A. - Jiangxi Copper European Warrants Jun 2011	200,000,000	2011/03/03	2011/06/23	30.00
29185	CC Rabobank B.A. - Shanghai Ind European Warrants Sep 2011	100,000,000	2011/03/03	2011/09/05	25.00
29180	CC Rabobank B.A. - Yurun Food European Warrants Sep 2011	100,000,000	2011/03/03	2011/09/05	25.00
29175	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Aug 2011	200,000,000	2011/03/03	2011/08/31	48.00
29189	UBS AG - Cheung Kong European Warrants Jun 2011 B	100,000,000	2011/03/03	2011/06/27	15.00
10091	BNP Paribas Arbit Issu B.V. - Oil Futures European Wt Nov11C	200,000,000	2011/03/04	2011/11/16	50.00
10092	BNP Paribas Arbit Issu B.V. - Oil Futures European Wt May12B	200,000,000	2011/03/04	2012/05/17	50.00
29217	Credit Suisse AG - HSCEI European Warrants Jun 2011 B	200,000,000	2011/03/04	2011/06/29	30.00
29218	Credit Suisse AG - HSI European Warrants Jun 2011 D	300,000,000	2011/03/04	2011/06/29	45.00
29202	Goldman Sachs SP (Asia) - Evergrande European Wts Sep 2011	200,000,000	2011/03/04	2011/09/05	64.00
29204	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2011 C	200,000,000	2011/03/04	2011/09/29	52.00
29203	Goldman Sachs SP (Asia) - Wharf European Warrants Sep 2011	200,000,000	2011/03/04	2011/09/05	63.00
29206	Macquarie Bank Ltd. - CM Bank European Put Warrants Nov 2011	250,000,000	2011/03/04	2011/11/02	62.75
29205	Macquarie Bank Ltd. - Hutchison European Warrants Mar 2012 B	500,000,000	2011/03/04	2012/03/21	75.00
29196	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Aug 11	200,000,000	2011/03/04	2011/08/30	30.20
29197	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Dec 11	200,000,000	2011/03/04	2011/12/29	51.00
29208	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jun11G	200,000,000	2011/03/04	2011/06/29	32.40
29195	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jul11C	200,000,000	2011/03/04	2011/07/28	30.00
29199	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jul 11D	200,000,000	2011/03/04	2011/07/28	30.40
29200	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jul 11E	200,000,000	2011/03/04	2011/07/28	40.20
29201	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jul 11F	200,000,000	2011/03/04	2011/07/28	52.00
29209	CC Rabobank B.A. - Alibaba European Warrants Nov 2011	150,000,000	2011/03/04	2011/11/21	30.00
29210	CC Rabobank B.A. - Ch State Con European Warrants May 2012	200,000,000	2011/03/04	2012/05/30	50.00
29211	CC Rabobank B.A. - Golden Eagle European Warrants Oct 2011	120,000,000	2011/03/04	2011/10/28	30.00
29212	CC Rabobank B.A. - Lee & Man Paper European Warrants Sep 11B	100,000,000	2011/03/04	2011/09/07	60.00
29213	CC Rabobank B.A. - Nine Dragons European Warrants Sep 2011	100,000,000	2011/03/04	2011/09/07	80.00
29207	Standard Chartered Bank - Hutchison European Wts Nov 2011	100,000,000	2011/03/04	2011/11/30	67.00
29198	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2011	200,000,000	2011/03/04	2011/09/05	116.00
29214	UBS AG - China Mobile European Warrants Sep 2011	200,000,000	2011/03/04	2011/09/05	100.00
29215	UBS AG - HSBC European Warrants Jun 2011 E	200,000,000	2011/03/04	2011/06/24	88.00
29216	UBS AG - HSBC European Warrants Jun 2011 F	300,000,000	2011/03/04	2011/06/07	75.00
29220	UBS AG - HSI European Put Warrants Jun 2011 H	300,000,000	2011/03/04	2011/06/29	45.00
29219	UBS AG - Hutchison European Warrants Jun 2011 D	300,000,000	2011/03/04	2011/06/23	90.00
29233	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Jan12	200,000,000	2011/03/07	2012/01/04	30.00
29223	Credit Suisse AG - Agricultural Bank European Wts Jun 2011 E	300,000,000	2011/03/07	2011/06/20	45.00
29224	Credit Suisse AG - Alibaba European Warrants Sep 2011	200,000,000	2011/03/07	2011/09/19	30.00
29225	Credit Suisse AG - BOCL European Warrants Jun 2011 B	200,000,000	2011/03/07	2011/06/13	30.00
29222	Credit Suisse AG - Jiangxi Copper European Wts Jun 2011 C	200,000,000	2011/03/07	2011/06/13	30.00
29230	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Sep11	80,000,000	2011/03/07	2011/09/09	20.00
29231	KBC Fin Products Int'l Ltd. - BYD European Warrants Sep 11A	80,000,000	2011/03/07	2011/09/09	20.00
29232	KBC Fin Products Int'l Ltd. - BYD European Warrants Sep 11B	80,000,000	2011/03/07	2011/09/16	12.00

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29221	Macquarie Bank Ltd. - Jiangxi Copper European Wts Aug 2011	250,000,000	2011/03/07	2011/08/02	50.25
29229	SGA Societe Generale Acceptance N.V. - JIANC Eu Wts Aug 11B	200,000,000	2011/03/07	2011/08/03	44.00
29226	UBS AG - China Unicom European Warrants Sep 2012	100,000,000	2011/03/07	2012/09/25	15.00
29228	UBS AG - HSI European Warrants Jun 2011 I	300,000,000	2011/03/07	2011/06/29	45.00
29227	UBS AG - Yanzhou Coal European Wts Jul 2011	100,000,000	2011/03/07	2011/07/25	15.00
27959#	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jul 2011 A	280,000,000	2011/03/07	2011/07/04	44.24
29240	Credit Suisse AG - Sinopec Corp European Warrants Jun 2011	300,000,000	2011/03/08	2011/06/14	75.00
29241	Credit Suisse AG - HKEx European Put Warrants Jun 2011	300,000,000	2011/03/08	2011/06/27	45.00
29242	Credit Suisse AG - HSCEI European Put Warrants Jun 2011 D	200,000,000	2011/03/08	2011/06/29	30.00
29237	Bank of East Asia - AIA European Warrants Dec 2011	65,000,000	2011/03/08	2011/12/23	16.25
29236	Bank of East Asia - HSBC European Warrants Nov 2011	28,000,000	2011/03/08	2011/11/23	10.08
29238	Bank of East Asia - ICBC European Warrants Dec 2012	303,000,000	2011/03/08	2012/12/21	45.45
29239	Bank of East Asia - Ping An European Warrants Dec 2012	211,000,000	2011/03/08	2012/12/21	52.75
29235	HK Bank - Fushan Energy European Warrants Sep 2011	100,000,000	2011/03/08	2011/09/29	33.00
29243	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Jul 2011	200,000,000	2011/03/08	2011/07/28	50.00
29244	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Sep 2011	200,000,000	2011/03/08	2011/09/08	50.00
29245	J P Morgan SP BV - China Life European Warrants Sep 2011	288,000,000	2011/03/08	2011/09/29	72.00
29234	Macquarie Bank Ltd. - HSBC European Warrants Oct 2011 A	200,000,000	2011/03/08	2011/10/04	73.40
29246	UBS AG - HKEx European Warrants Aug 2011 B	300,000,000	2011/03/08	2011/08/09	45.00
26952#	CC Rabobank B.A. - CSR European Warrants Aug 2011	200,000,000	2011/03/08	2011/08/15	13.00
29253	Barclays Bank plc - China Mobile European Wts Oct 2011 A	150,000,000	2011/03/09	2011/10/03	37.50
29252	Barclays Bank plc - CC Bank European Warrants Sep 2011	100,000,000	2011/03/09	2011/09/26	25.00
29254	Barclays Bank plc - China Life European Warrants Aug 2011 B	150,000,000	2011/03/09	2011/08/15	25.50
29255	Barclays Bank plc - China Life European Put Wts Sep 2011	150,000,000	2011/03/09	2011/09/12	26.40
29251	Barclays Bank plc - HSBC European Warrants Sep 2011 B	150,000,000	2011/03/09	2011/09/12	37.50
29294	Credit Suisse AG - CC Bank European Warrants Jun 2011 F	300,000,000	2011/03/09	2011/06/30	45.00
29285	Credit Suisse AG - Cheung Kong European Warrants Jun 2011 C	300,000,000	2011/03/09	2011/06/27	60.00
29295	Credit Suisse AG - China Mobile European Warrants Jun 2011 E	300,000,000	2011/03/09	2011/06/27	45.00
29286	Credit Suisse AG - HSBC European Wts Jun 2011 D	300,000,000	2011/03/09	2011/06/10	99.00
29287	Credit Suisse AG - HSBC European Wts Jun 2011 E	300,000,000	2011/03/09	2011/06/27	90.00
29296	Credit Suisse AG - HSI European Put Warrants Jun 2011 F	300,000,000	2011/03/09	2011/06/29	45.00
29297	Credit Suisse AG - HSI European Put Warrants Jun 2011 G	300,000,000	2011/03/09	2011/06/29	45.00
29288	Credit Suisse AG - Hutchison European Warrants Jun 2011 D	300,000,000	2011/03/09	2011/06/27	300.00
29289	Credit Suisse AG - Hutchison European Warrants Jun 2011 E	300,000,000	2011/03/09	2011/06/20	261.00
29290	Credit Suisse AG - Hutchison European Warrants Jun 2011 F	300,000,000	2011/03/09	2011/06/27	180.00
29291	Credit Suisse AG - Hutchison European Warrants Jun 2011 G	300,000,000	2011/03/09	2011/06/13	45.00
29298	Credit Suisse AG - Hutchison European Warrants Jun 2011 H	300,000,000	2011/03/09	2011/06/30	129.00
29292	Credit Suisse AG - Tencent Holdings European Wts Jul 2011	300,000,000	2011/03/09	2011/07/11	45.00
29293	Credit Suisse AG - Tencent Holdings European Wts Sep 2011 A	300,000,000	2011/03/09	2011/09/09	75.00
29256	HK Bank - China Mobile European Warrants Jun 2011 C	200,000,000	2011/03/09	2011/06/24	40.00
29273	Macquarie Bank Ltd. - Bank of EA European Warrants Nov 2011	150,000,000	2011/03/09	2011/11/02	37.50
29274	Macquarie Bank Ltd. - BOCHK European Warrants Dec 2011	200,000,000	2011/03/09	2011/12/05	30.00
29275	Macquarie Bank Ltd. - China Mobile European Wts Sep 2011 A	200,000,000	2011/03/09	2011/09/22	50.00
29276	Macquarie Bank Ltd. - China Unicom European Wts Mar 2012	200,000,000	2011/03/09	2012/03/16	41.20

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29277	Macquarie Bank Ltd. - Ch Southern Air European Wts Oct 2011	100,000,000	2011/03/09	2011/10/28	41.80
29278	Macquarie Bank Ltd. - Hutchison European Warrants Aug 2011	250,000,000	2011/03/09	2011/08/23	179.25
29279	Macquarie Bank Ltd. - Li Ning European Warrants Oct 2011	200,000,000	2011/03/09	2011/10/31	50.00
29280	Macquarie Bank Ltd. - Sino Land European Warrants Dec 2011	200,000,000	2011/03/09	2011/12/30	50.20
29281	Macquarie Bank Ltd. - Yanzhou Coal European Wts Oct 2011	200,000,000	2011/03/09	2011/10/26	44.00
29282	Macquarie Bank Ltd. - ZTE European Warrants Sep 2011	200,000,000	2011/03/09	2011/09/30	50.00
29247	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Aug 2011	80,000,000	2011/03/09	2011/08/15	22.00
29248	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Oct 2011 B	80,000,000	2011/03/09	2011/10/26	14.72
29249	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Nov 2011	80,000,000	2011/03/09	2011/11/24	12.08
29250	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Sep 2011	80,000,000	2011/03/09	2011/09/12	12.64
29268	CC Rabobank B.A. - Minsheng Bank European Wts Jul 2011	120,000,000	2011/03/09	2011/07/11	19.20
29269	CC Rabobank B.A. - Cathay Pacific European Warrants Aug 2011	100,000,000	2011/03/09	2011/08/17	15.00
29270	CC Rabobank B.A. - Dongfeng Motor European Warrants Jun 11B	150,000,000	2011/03/09	2011/06/22	22.50
29271	CC Rabobank B.A. - Esprit European Warrants Jul 2011	100,000,000	2011/03/09	2011/07/20	20.00
29272	CC Rabobank B.A. - Tencent Holdings European Put Wts Sep 11	200,000,000	2011/03/09	2011/09/19	54.00
29257	SGA Societe Generale Acceptance N.V. - BOCHK Eur Wt Jun 2011	200,000,000	2011/03/09	2011/06/20	32.00
29260	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Mar 12	200,000,000	2011/03/09	2012/03/19	36.00
29258	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2011 A	200,000,000	2011/03/09	2011/09/12	62.00
29259	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2011C	300,000,000	2011/03/09	2011/08/31	45.00
29262	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2011 D	300,000,000	2011/03/09	2011/07/25	96.00
29263	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2011 B	300,000,000	2011/03/09	2011/08/08	66.00
29261	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2011 C	300,000,000	2011/03/09	2011/08/30	45.00
29264	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 11	300,000,000	2011/03/09	2011/07/28	60.00
29267	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 11C	300,000,000	2011/03/09	2011/09/29	45.00
29265	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Aug 11A	300,000,000	2011/03/09	2011/08/30	54.00
29266	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Aug 11B	300,000,000	2011/03/09	2011/08/30	72.00
29283	UBS AG - HSBC European Warrants Jun 2011 G	300,000,000	2011/03/09	2011/06/09	96.00
29284	UBS AG - HSBC European Warrants Jul 2011 B	300,000,000	2011/03/09	2011/07/04	135.00
28704#	KBC Fin Products Int'l Ltd. - China Life Euro Wts Jul 2011 B	240,000,000	2011/03/09	2011/07/04	26.16
29305	Barclays Bank plc - Agricultural Bank European Wts Sep 2011	100,000,000	2011/03/10	2011/09/30	25.30
29306	Barclays Bank plc - BOCL European Warrants Sep 2011	100,000,000	2011/03/10	2011/09/30	25.20
29304	Barclays Bank plc - BYD European Warrants Oct 2011	100,000,000	2011/03/10	2011/10/03	27.20
29303	Barclays Bank plc - China Shenhua European Warrants Oct 2011	100,000,000	2011/03/10	2011/10/31	25.10
29302	Barclays Bank plc - Jiangxi Copper European Warrants Oct 11	100,000,000	2011/03/10	2011/10/31	25.40
29311	Deutsche Bank AG - CC Bank European Warrants Aug 2011 B	200,000,000	2011/03/10	2011/08/01	60.00
29312	Deutsche Bank AG - HSBC European Warrants Jul 2011 C	200,000,000	2011/03/10	2011/07/04	120.00
29307	Standard Chartered Bank - HSBC European Warrants Aug 2011	100,000,000	2011/03/10	2011/08/08	28.00
29308	Standard Chartered Bank - HSBC European Warrants May 2012	100,000,000	2011/03/10	2012/05/31	15.70
29299	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep 11B	200,000,000	2011/03/10	2011/09/28	30.00
29300	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 11B	200,000,000	2011/03/10	2011/12/28	30.00
29301	SGA Societe Generale Acceptance N.V. - Li & Fung Eu Wt Sep11	100,000,000	2011/03/10	2011/09/12	38.00
29309	UBS AG - BYD European Warrants Aug 2011 B	100,000,000	2011/03/10	2011/08/01	15.00
29310	UBS AG - HSBC European Warrants Aug 2011	300,000,000	2011/03/10	2011/08/29	111.00
25557#	UBS AG - HKEx European Warrants Jul 2011 B	300,000,000	2011/03/10	2011/07/25	31.50

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29327	BNP Paribas Arbit Issu B.V. - BOCL European Wts Mar 2012	200,000,000	2011/03/11	2012/03/05	50.00
29333	Credit Suisse AG - Tencent Holdings European Wts Sep 2011 B	300,000,000	2011/03/11	2011/09/12	75.00
29334	Credit Suisse AG - Tencent Holdings European Wts Sep 2011 C	300,000,000	2011/03/11	2011/09/12	75.00
29332	Credit Suisse AG - Tencent Holdings European Put Wts Jul 11B	300,000,000	2011/03/11	2011/07/25	45.00
29347	Deutsche Bank AG - BOCL European Warrants Aug 2011 B	100,000,000	2011/03/11	2011/08/29	24.70
10093	Deutsche Bank AG - EUR/USD European Put Warrants Sep 2011	50,000,000	2011/03/11	2011/09/12	14.00
29348	Deutsche Bank AG - PetroChina European Warrants Oct 2012	200,000,000	2011/03/11	2012/10/22	31.80
29329	HK Bank - Country Garden European Warrants Dec 2011	80,000,000	2011/03/11	2011/12/06	20.00
29328	HK Bank - CNBM European Warrants Oct 2011	100,000,000	2011/03/11	2011/10/31	25.00
29330	HK Bank - HWL European Warrants Jun 2011	200,000,000	2011/03/11	2011/06/23	78.00
29342	KBC Fin Products Int'l Ltd. - China Life Euro Wts Aug 2011 B	258,000,000	2011/03/11	2011/08/29	38.70
29343	KBC Fin Products Int'l Ltd. - HSBC European Wts Aug 2011 B	238,000,000	2011/03/11	2011/08/01	38.08
29344	KBC Fin Products Int'l Ltd. - ICBC European Wts Aug 2011	188,000,000	2011/03/11	2011/08/01	28.20
29345	KBC Fin Products Int'l Ltd. - Ping An European Wts Sep 2011	80,000,000	2011/03/11	2011/09/21	26.40
29346	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Oct 11A	168,000,000	2011/03/11	2011/10/03	42.00
29331	Macquarie Bank Ltd. - LOccitane European Warrants Dec 2011	200,000,000	2011/03/11	2011/12/19	50.00
29340	Macquarie Bank Ltd. - Tencent European Warrants Dec 2011	300,000,000	2011/03/11	2011/12/02	75.00
29341	Macquarie Bank Ltd. - Tencent European Put Warrants Oct 2011	300,000,000	2011/03/11	2011/10/04	95.10
29318	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Aug 2011 C	80,000,000	2011/03/11	2011/08/05	22.40
29319	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Sep 2011	80,000,000	2011/03/11	2011/09/12	40.80
29313	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Aug 2011	80,000,000	2011/03/11	2011/08/22	15.76
29314	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Oct 2011	80,000,000	2011/03/11	2011/10/03	29.20
29315	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Jan 2012	80,000,000	2011/03/11	2012/01/30	20.40
29316	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Apr 2012	80,000,000	2011/03/11	2012/04/23	20.40
29317	Merrill Lynch Int'l & Co. C.V. - ICBC European Put Wts Aug11	80,000,000	2011/03/11	2011/08/29	19.12
29320	CC Rabobank B.A. - CNBM European Warrants Jul 2011	150,000,000	2011/03/11	2011/07/06	22.50
29321	CC Rabobank B.A. - Ch Southern Air European Warrants Sep 11	100,000,000	2011/03/11	2011/09/12	40.00
29322	CC Rabobank B.A. - Hang Seng Bank European Warrants Jun 2011	100,000,000	2011/03/11	2011/06/30	15.00
29324	CC Rabobank B.A. - SHK Ppt European Warrants Dec 2011	300,000,000	2011/03/11	2011/12/19	45.00
29323	CC Rabobank B.A. - Sino Land European Warrants Oct 2011	100,000,000	2011/03/11	2011/10/26	15.00
29335	RB of Scotland N.V. - China Life European Wts Aug 2011 C	200,000,000	2011/03/11	2011/08/02	30.40
29336	RB of Scotland N.V. - HWL European Warrants Aug 2011	80,000,000	2011/03/11	2011/08/23	42.32
29337	RB of Scotland N.V. - Tencent Holdings European Wts Oct 2011	80,000,000	2011/03/11	2011/10/03	20.00
29325	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Aug 2011 B	200,000,000	2011/03/11	2011/08/31	34.00
29338	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2011 E	300,000,000	2011/03/11	2011/07/18	90.00
29326	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2011 D	300,000,000	2011/03/11	2011/09/12	93.00
29339	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2011	200,000,000	2011/03/11	2011/09/12	50.00
29353	HK Bank - BBMG European Warrants Jan 2012	100,000,000	2011/03/14	2012/01/10	25.00
29354	HK Bank - Li Ning European Warrants Nov 2011	100,000,000	2011/03/14	2011/11/29	25.00
29355	HK Bank - Sinofert European Warrants Nov 2011	80,000,000	2011/03/14	2011/11/18	20.00
29356	HK Bank - Yurun Food European Warrants Dec 2011	100,000,000	2011/03/14	2011/12/28	25.00
29363	J P Morgan SP BV - China Life European Warrants Aug 2011 D	300,000,000	2011/03/14	2011/08/29	45.00
29364	KBC Fin Products Int'l Ltd. - BEA European Warrants Sep 2011	68,000,000	2011/03/14	2011/09/14	17.00
29369	KBC Fin Products Int'l Ltd. - China Unicom Euro Wts Nov 2011	80,000,000	2011/03/14	2011/11/28	12.00

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29377	KBC Fin Products Int'l Ltd. - China Unicom Eur Put Wts Dec11	80,000,000	2011/03/14	2011/12/15	20.00
29365	KBC Fin Products Int'l Ltd. - CITIC Bank Euro Wts Nov 2011	80,000,000	2011/03/14	2011/11/08	20.00
29375	KBC Fin Products Int'l Ltd. - CITIC Bank Eur Put Wt Nov 2011	80,000,000	2011/03/14	2011/11/01	41.60
29366	KBC Fin Products Int'l Ltd. - CMB European Warrants Sep 2011	80,000,000	2011/03/14	2011/09/12	12.00
29367	KBC Fin Products Int'l Ltd. - CPIC European Wts Sep 2011	88,000,000	2011/03/14	2011/09/22	19.36
29376	KBC Fin Products Int'l Ltd.-CPIC European Put Warrants Sep11	80,000,000	2011/03/14	2011/09/14	20.00
29368	KBC Fin Products Int'l Ltd. - China Shenhua Eu Wt Sep 2011	80,000,000	2011/03/14	2011/09/05	22.40
29370	KBC Fin Products Int'l Ltd. - Hang Lung Ppt Euro Wts Sep2011	58,000,000	2011/03/14	2011/09/14	16.82
29371	KBC Fin Products Int'l Ltd. - Jiangxi Copper Eu Wts Sep2011A	108,000,000	2011/03/14	2011/09/14	35.64
29372	KBC Fin Products Int'l Ltd. - Jiangxi Copper Eu Wts Sep2011B	108,000,000	2011/03/14	2011/09/14	27.00
29373	KBC Fin Products Int'l Ltd. - MTRC European Wts Sep 2011	68,000,000	2011/03/14	2011/09/14	17.00
29374	KBC Fin Products Int'l Ltd. - Wharf European Warrants Sep11	68,000,000	2011/03/14	2011/09/14	17.00
29362	Macquarie Bank Ltd. - A50 China European Warrants Sep 2011 B	200,000,000	2011/03/14	2011/09/14	30.00
29357	Macquarie Bank Ltd. - Tencent European Warrants Nov 2011 C	300,000,000	2011/03/14	2011/11/02	76.80
29350	CC Rabobank B.A. - China Life European Warrants Oct 2011	200,000,000	2011/03/14	2011/10/28	50.00
29349	CC Rabobank B.A. - CPIC European Warrants Oct 2011	100,000,000	2011/03/14	2011/10/28	25.00
29351	CC Rabobank B.A. - HKEx European Warrants Dec 2011A	200,000,000	2011/03/14	2011/12/16	30.00
29352	CC Rabobank B.A. - Tencent Holdings European Wts Nov 2011	200,000,000	2011/03/14	2011/11/21	50.00
29378	Standard Chartered Bank - Tencent Hldg European Wts Sep 2011	100,000,000	2011/03/14	2011/09/30	25.00
29358	UBS AG - CC Bank European Warrants Jul 2011 B	200,000,000	2011/03/14	2011/07/22	60.00
29379	UBS AG - CC Bank European Warrants Jul 2011 C	200,000,000	2011/03/14	2011/07/11	30.00
29359	UBS AG - Tencent Holdings European Warrants Sep 2011 B	200,000,000	2011/03/14	2011/09/14	50.00
29360	UBS AG - Tencent Holdings European Warrants Sep 2011 C	200,000,000	2011/03/14	2011/09/26	50.00
29361	UBS AG - Tencent Holdings European Put Warrants Jul 2011	100,000,000	2011/03/14	2011/07/18	15.00
10094	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Put Wt Nov 11	200,000,000	2011/03/15	2011/11/16	50.00
10095	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Put Wt May 12	200,000,000	2011/03/15	2012/05/17	50.00
29401	Credit Suisse AG - China Shenhua European Warrants Jun 2011B	200,000,000	2011/03/15	2011/06/20	30.00
29398	HK Bank - Ch Comm Cons European Warrants Dec 2013	80,000,000	2011/03/15	2013/12/31	20.00
29399	HK Bank - Dongfeng Motor European Warrants Dec 2011	100,000,000	2011/03/15	2011/12/22	25.00
29400	HK Bank - Geely Auto European Warrants Oct 2011	80,000,000	2011/03/15	2011/10/17	20.00
29404	KBC Fin Products Int'l Ltd. - AIA European Warrants Dec 2011	208,000,000	2011/03/15	2011/12/01	31.20
29402	KBC Fin Products Int'l Ltd. - AIA European Warrants Jun 11B	188,000,000	2011/03/15	2011/06/15	28.20
29403	KBC Fin Products Int'l Ltd. - AIA European Warrants Jul 11B	208,000,000	2011/03/15	2011/07/21	31.20
29407	KBC Fin Products Int'l Ltd. - BOCL European Wts Aug 2011 C	108,000,000	2011/03/15	2011/08/01	16.20
29411	KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Sep 2011D	108,000,000	2011/03/15	2011/09/15	27.00
29405	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Sep 2011 A	108,000,000	2011/03/15	2011/09/05	23.76
29406	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Sep 2011 B	108,000,000	2011/03/15	2011/09/01	16.20
29408	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2011 B	198,000,000	2011/03/15	2011/09/15	49.50
29410	KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Sep 2011	108,000,000	2011/03/15	2011/09/15	44.28
29409	KBC Fin Products Int'l Ltd. - Ping An European Wts Jul 2011B	80,000,000	2011/03/15	2011/07/15	12.80
29396	Macquarie Bank Ltd. - HSI European Warrants Jul 2011	200,000,000	2011/03/15	2011/07/28	30.00
29397	Macquarie Bank Ltd. - HSI European Put Warrants Aug 2011	200,000,000	2011/03/15	2011/08/30	31.00
29395	Macquarie Bank Ltd. - Jiangxi Copper European Wts Oct 2011	300,000,000	2011/03/15	2011/10/04	75.60
29380	Merrill Lynch Int'l & Co. C.V. - CK(H) European Wts Jan 2012	80,000,000	2011/03/15	2012/01/16	12.08

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29388	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Put Wts Jun 11I	80,000,000	2011/03/15	2011/06/28	12.00
29389	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Dec 2011	80,000,000	2011/03/15	2011/12/19	12.16
29381	Merrill Lynch Int'l & Co. C.V. - HS Bank Euro Wts Nov 2011	80,000,000	2011/03/15	2011/11/21	31.60
29382	Merrill Lynch Int'l & Co. C.V. - HS Bank Euro Wts Dec 2012	80,000,000	2011/03/15	2012/12/27	12.16
29383	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jun 2011 B	80,000,000	2011/03/15	2011/06/23	17.04
29384	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jul 2011 C	80,000,000	2011/03/15	2011/07/21	14.40
29385	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Aug 11B	80,000,000	2011/03/15	2011/08/23	42.40
29386	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Jun 2011 B	80,000,000	2011/03/15	2011/06/27	32.00
29387	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Oct 2012	80,000,000	2011/03/15	2012/10/24	12.08
29390	CC Rabobank B.A. - Anhui Conch European Warrants Sep 2011	150,000,000	2011/03/15	2011/09/15	55.50
29391	CC Rabobank B.A. - China Shenhua European Warrants Sep 2011	150,000,000	2011/03/15	2011/09/15	37.50
29392	CC Rabobank B.A. - GCL-Poly Energy European Wts Sep 2011	100,000,000	2011/03/15	2011/09/15	38.00
29393	CC Rabobank B.A. - HSBC European Warrants Aug 2011	200,000,000	2011/03/15	2011/08/08	30.00
29394	CC Rabobank B.A. - Lonking European Warrants Sep 2011	80,000,000	2011/03/15	2011/09/29	41.60
29414	UBS AG - AIA European Warrants Jul 2011 B	200,000,000	2011/03/15	2011/07/25	30.00
29412	UBS AG - HSI European Warrants Jun 2011 J	300,000,000	2011/03/15	2011/06/29	45.00
29413	UBS AG - HSI European Warrants Aug 2011 B	300,000,000	2011/03/15	2011/08/30	45.00
29416	UBS AG - HSI European Put Warrants Jun 2011 K	300,000,000	2011/03/15	2011/06/29	45.00
29415	UBS AG - HSI European Put Warrants Jul 2011 D	300,000,000	2011/03/15	2011/07/28	60.00
29434	BOCI Asia Ltd. - BYD European Warrants Aug 2011	100,000,000	2011/03/16	2011/08/08	20.00
29435	BOCI Asia Ltd. - CPIC European Warrants Aug 2011	100,000,000	2011/03/16	2011/08/01	15.00
29433	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Sep 2011B	100,000,000	2011/03/16	2011/09/19	35.00
29457	BNP Paribas Arbit Issu B.V. - Sh Petrochem Euro Wts Oct 2011	30,000,000	2011/03/16	2011/10/04	10.50
29458	BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Jul11C	100,000,000	2011/03/16	2011/07/05	22.00
29436	Credit Suisse AG - AIA European Warrants Jul 2011 B	300,000,000	2011/03/16	2011/07/25	45.00
29437	Goldman Sachs SP (Asia) - CM Bank European Wts Sep 2011	100,000,000	2011/03/16	2011/09/26	25.00
29438	Goldman Sachs SP (Asia) - Ch Ship Cont European Wts Sep11	50,000,000	2011/03/16	2011/09/19	17.70
29439	Goldman Sachs SP (Asia) - CSR European Warrants Feb 2012	100,000,000	2011/03/16	2012/02/27	16.50
29440	Goldman Sachs SP (Asia) - Hang Lung Ppt European Wts Sep11	100,000,000	2011/03/16	2011/09/26	25.00
29441	Goldman Sachs SP (Asia) - Sh Electric European Wts Sep11	30,000,000	2011/03/16	2011/09/26	16.32
29442	Goldman Sachs SP (Asia) - Zijin Mining European Wts Sep11	50,000,000	2011/03/16	2011/09/19	25.25
29443	KBC Fin Products Int'l Ltd. - Anhui Conch Euro Wts Sep 2011	80,000,000	2011/03/16	2011/09/16	20.00
29451	KBC Fin Products Int'l Ltd. - Anhui Conch Euro Put Wt Sep 11	80,000,000	2011/03/16	2011/09/16	23.20
29444	KBC Fin Products Int'l Ltd. - Beijing Ent European Wts Sep11	80,000,000	2011/03/16	2011/09/16	20.00
29452	KBC Fin Products Int'l Ltd. - Beijing Ent Euro Put Wts Sep11	80,000,000	2011/03/16	2011/09/16	29.60
29445	KBC Fin Products Int'l Ltd. - Ch Mer Hold European Wts Sep11	80,000,000	2011/03/16	2011/09/16	20.00
29453	KBC Fin Products Int'l Ltd. - Ch Mer Hold Euro Put Wts Sep11	80,000,000	2011/03/16	2011/09/16	20.00
29447	KBC Fin Products Int'l Ltd. - CITIC P European Wts Oct 2011	80,000,000	2011/03/16	2011/10/03	20.00
29448	KBC Fin Products Int'l Ltd. - CITIC P European Wts Dec 2011	80,000,000	2011/03/16	2011/12/20	12.00
29454	KBC Fin Products Int'l Ltd. - CITIC Pac Euro Put Wts Nov11	80,000,000	2011/03/16	2011/11/01	20.00
29446	KBC Fin Products Int'l Ltd. - CNBM European Warrants Sep 11	80,000,000	2011/03/16	2011/09/16	20.00
29449	KBC Fin Products Int'l Ltd. - Tsingtao Brew Euro Wts Oct11	80,000,000	2011/03/16	2011/10/10	20.00
29455	KBC Fin Products Int'l Ltd. - Tsingtao Brew Eu Put Wts Oct11	80,000,000	2011/03/16	2011/10/10	20.00
29450	KBC Fin Products Int'l Ltd. - ZTE European Warrants Nov 2011	80,000,000	2011/03/16	2011/11/15	20.00

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股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29456	KBC Fin Products Int'l Ltd. - ZTE European Put Wts Nov11	80,000,000	2011/03/16	2011/11/15	23.20
29417	Macquarie Bank Ltd. - Angang Steel European Wts Jun 2012	200,000,000	2011/03/16	2012/06/27	34.20
29418	Macquarie Bank Ltd. - Ch Ship Cont European Warrants Oct 11	100,000,000	2011/03/16	2011/10/04	31.20
29423	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Dec11	80,000,000	2011/03/16	2011/12/12	20.00
29424	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eur Wts Aug 2011	80,000,000	2011/03/16	2011/08/01	12.88
29425	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Oct 2011 B	80,000,000	2011/03/16	2011/10/26	22.40
29426	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Nov 2011	80,000,000	2011/03/16	2011/11/21	17.52
29427	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Put Wts Sep 11	80,000,000	2011/03/16	2011/09/12	12.16
29428	CC Rabobank B.A. - AIA European Warrants Dec 2011	200,000,000	2011/03/16	2011/12/29	50.00
29429	CC Rabobank B.A. - CNOOC European Warrants Dec 2011	200,000,000	2011/03/16	2011/12/19	30.00
29432	CC Rabobank B.A. - HKEx European Put Warrants Aug 2011	200,000,000	2011/03/16	2011/08/29	36.00
29430	CC Rabobank B.A. - Li & Fung European Warrants Oct 2011A	100,000,000	2011/03/16	2011/10/28	25.00
29431	CC Rabobank B.A. - Tencent Holdings European Wts Oct 2011	200,000,000	2011/03/16	2011/10/17	50.00
29421	RB of Scotland N.V. - HKEx European Warrants Nov 2011 A	200,000,000	2011/03/16	2011/11/30	30.00
29419	RB of Scotland N.V. - HKEx European Warrants Sep 2011 A	200,000,000	2011/03/16	2011/09/26	34.20
29420	RB of Scotland N.V. - HKEx European Warrants Sep 2011 B	200,000,000	2011/03/16	2011/09/27	30.00
29464	RB of Scotland N.V. - HSI European Warrants Jul 2011	250,000,000	2011/03/16	2011/07/28	42.25
29465	RB of Scotland N.V. - HSI European Put Warrants Jul 2011	250,000,000	2011/03/16	2011/07/28	50.75
29466	RB of Scotland N.V. - HSI European Warrants Sep 2011 A	250,000,000	2011/03/16	2011/09/29	62.75
29467	RB of Scotland N.V. - HSI European Warrants Sep 2011 B	250,000,000	2011/03/16	2011/09/29	41.00
29468	RB of Scotland N.V. - HSI European Put Warrants Sep 2011 C	250,000,000	2011/03/16	2011/09/29	43.25
29469	RB of Scotland N.V. - HSI European Put Warrants Sep 2011 D	250,000,000	2011/03/16	2011/09/29	62.75
29470	RB of Scotland N.V. - HSI European Put Warrants Sep 2011 E	250,000,000	2011/03/16	2011/09/29	62.75
29422	RB of Scotland N.V. - Tencent European Put Warrants Nov 2011	250,000,000	2011/03/16	2011/11/07	38.00
29459	UBS AG - Agricultural Bank European Warrants Jul 2011 C	100,000,000	2011/03/16	2011/07/29	15.00
29460	UBS AG - BOCL European Warrants Sep 2011	100,000,000	2011/03/16	2011/09/19	25.00
29461	UBS AG - China Life European Warrants Aug 2011 C	300,000,000	2011/03/16	2011/08/29	45.00
29462	UBS AG - China Life European Warrants Sep 2011	300,000,000	2011/03/16	2011/09/27	45.00
29463	UBS AG - ICBC European Warrants Aug 2011 A	200,000,000	2011/03/16	2011/08/01	30.00
15549#	UBS AG - HSBC European Warrants Sep 2011 B	500,000,000	2011/03/16	2011/09/26	18.50
29478	BOCI Asia Ltd. - CNBM European Warrants Oct 2011	100,000,000	2011/03/17	2011/10/31	29.00
29484	BNP Paribas Arbit Issu B.V. - Air China Euro Wts Nov 2012	100,000,000	2011/03/17	2012/11/02	26.00
29485	BNP Paribas Arbit Issu B.V. - CE Air European Wts Nov 2011	20,000,000	2011/03/17	2011/11/02	14.60
29486	BNP Paribas Arbit Issu B.V. - CR Cement Euro Wts Feb 2013	100,000,000	2011/03/17	2013/02/04	25.00
29506	BNP Paribas Arbit Issu B.V. - CSI European Warrants Dec 2011	25,000,000	2011/03/17	2011/12/02	10.00
29504	BNP Paribas Arbit Issu B.V. - Foxconn European Wts Apr 2012	100,000,000	2011/03/17	2012/04/27	16.00
29505	BNP Paribas Arbit Issu B.V. - PICC European Wts Mar 2013	100,000,000	2011/03/17	2013/03/04	27.00
29496	Credit Suisse AG - Ch Comm Cons European Warrants Jun 2011	200,000,000	2011/03/17	2011/06/17	30.00
29497	Deutsche Bank AG - CC Bank European Warrants Jul 2011 C	200,000,000	2011/03/17	2011/07/25	36.00
29487	Deutsche Bank AG - Tencent Holdings European Wts Sep 2011	200,000,000	2011/03/17	2011/09/19	50.00
29491	Deutsche Bank AG - Tencent European Put Warrants Sep 2011	150,000,000	2011/03/17	2011/09/19	48.00
10096	Deutsche Bank AG - USD/YEN European Warrants Sep 2011	50,000,000	2011/03/17	2011/09/20	12.50
29480	Goldman Sachs SP (Asia) - Agri Bk European Wts Jul 2011 B	200,000,000	2011/03/17	2011/07/22	30.80
29481	Goldman Sachs SP (Asia) - China Life Euro Put Wts Jul 2011	100,000,000	2011/03/17	2011/07/04	15.80

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29482	Goldman Sachs SP (Asia) - HSBC European Put Wts Jul 2011	200,000,000	2011/03/17	2011/07/22	55.00
29479	Goldman Sachs SP (Asia) -Tencent European Wt Oct 2011 C	200,000,000	2011/03/17	2011/10/24	50.00
29483	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2011	200,000,000	2011/03/17	2011/07/25	33.40
29502	J P Morgan SP BV - BOCL European Warrants Aug 2011 B	150,000,000	2011/03/17	2011/08/01	22.50
29503	J P Morgan SP BV - Cheung Kong European Warrants Oct 2012	200,000,000	2011/03/17	2012/10/02	30.00
29489	KBC Fin Products Int'l Ltd. - CR Cement Euro Wts Sep 2011	68,000,000	2011/03/17	2011/09/19	17.00
29488	KBC Fin Products Int'l Ltd. - Lenovo European Wts Dec 2011	80,000,000	2011/03/17	2011/12/08	20.00
29493	KBC Fin Products Int'l Ltd. - Lenovo Euro Put Wts Dec 2011	78,000,000	2011/03/17	2011/12/08	19.50
29490	KBC Fin Products Int'l Ltd. - Poly European Wts Sep 2011	80,000,000	2011/03/17	2011/09/19	20.00
29492	KBC Fin Products Int'l Ltd. - Poly European Put Wts Dec 2011	80,000,000	2011/03/17	2011/12/08	20.00
29494	CC Rabobank B.A. - CC Bank European Warrants Jul 2011 B	200,000,000	2011/03/17	2011/07/20	50.40
29495	RB of Scotland N.V. - ICBC European Warrants Aug 2011	200,000,000	2011/03/17	2011/08/22	50.20
29471	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Sep 11	80,000,000	2011/03/17	2011/09/19	37.60
29472	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Oct 11	80,000,000	2011/03/17	2011/10/24	25.60
29473	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2011A	300,000,000	2011/03/17	2011/09/26	54.00
29474	SGA Societe Generale Acceptance N.V. - CNBM Euro Wts Oct2011	150,000,000	2011/03/17	2011/10/17	37.50
29475	SGA Societe Generale Acceptance N.V. - CNBM Euro Wts Dec2011	150,000,000	2011/03/17	2011/12/21	37.50
29476	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2011 E	300,000,000	2011/03/17	2011/09/28	45.00
29477	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct11A	200,000,000	2011/03/17	2011/10/03	50.00
29498	UBS AG - CM Bank European Warrants Dec 2011	100,000,000	2011/03/17	2011/12/12	15.00
29500	UBS AG - CNOOC European Warrants Oct 2011	300,000,000	2011/03/17	2011/10/24	45.00
29499	UBS AG - Sinopec Corp European Warrants Aug 2011 B	100,000,000	2011/03/17	2011/08/11	15.00
29501	UBS AG - PetroChina European Warrants Sep 2011	100,000,000	2011/03/17	2011/09/19	25.00
29516	BNP Paribas Arbit Issu B.V. - Ch Overseas Euro Wts Apr 2012	100,000,000	2011/03/18	2012/04/02	25.00
29517	BNP Paribas Arbit Issu B.V. - Esprit European Wts Oct 2011	100,000,000	2011/03/18	2011/10/03	25.00
29526	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Dec 2011	100,000,000	2011/03/18	2011/12/05	25.00
10097	Deutsche Bank AG - Oil Futures European Warrants Oct 2011	50,000,000	2011/03/18	2011/10/07	12.50
29520	Goldman Sachs SP (Asia) - Ch Oilfield European Wts Nov 2011	100,000,000	2011/03/18	2011/11/07	25.00
29519	Goldman Sachs SP (Asia) - A50 China Euro Put Wts Dec 2011	200,000,000	2011/03/18	2011/12/14	33.80
29518	Goldman Sachs SP (Asia) - HKEx European Warrants Sep 2011 D	200,000,000	2011/03/18	2011/09/30	30.40
29522	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2011 C	200,000,000	2011/03/18	2011/06/27	55.00
29523	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2011 B	200,000,000	2011/03/18	2011/09/26	144.00
29527	J P Morgan SP BV - Hutchison European Warrants Jun 2011 A	100,000,000	2011/03/18	2011/06/23	27.40
29528	J P Morgan SP BV - Hutchison European Warrants Jun 2011 B	100,000,000	2011/03/18	2011/06/30	36.20
29507	Merrill Lynch Int'l & Co. C.V. - Ag Bk European Wts Sep 2011	80,000,000	2011/03/18	2011/09/27	25.20
29508	Merrill Lynch Int'l & Co. C.V. - Ag Bk European Wts Oct 2011	80,000,000	2011/03/18	2011/10/24	20.40
29509	Merrill Lynch Int'l & Co. C.V. - Ag Bk Euro Put Wts Sep 2011	80,000,000	2011/03/18	2011/09/23	20.00
29510	Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Sep 2011	80,000,000	2011/03/18	2011/09/27	21.60
29511	Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Oct 2011	80,000,000	2011/03/18	2011/10/24	20.40
29512	Merrill Lynch Int'l & Co. C.V. - BOCL Euro Put Wts Sep 2011	80,000,000	2011/03/18	2011/09/23	20.00
29515	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Sep 2011	80,000,000	2011/03/18	2011/09/15	15.44
29513	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Sep 2011	80,000,000	2011/03/18	2011/09/21	20.00
29514	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2011 C	80,000,000	2011/03/18	2011/06/23	12.88
29524	CC Rabobank B.A. - China Mer Hold European Warrants Jul 2011	100,000,000	2011/03/18	2011/07/26	25.00

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29525	RB of Scotland N.V. - ICBC European Warrants Jul 2011	200,000,000	2011/03/18	2011/07/22	50.80
23163#	UBS AG - China Mobile European Warrants Dec 2011	700,000,000	2011/03/18	2011/12/12	29.40
13673#	UBS AG - China Life European Warrants Dec 2012 B	1,000,000,000	2011/03/18	2012/12/10	27.00
29555	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wts Oct 2011	35,000,000	2011/03/21	2011/10/04	13.30
29556	BNP Paribas Arbit Issu B.V. - WC Cement Euro Wts Oct 2011	65,000,000	2011/03/21	2011/10/04	27.30
29569	Deutsche Bank AG - AIA European Warrants Oct 2011	200,000,000	2011/03/21	2011/10/24	38.00
29570	Deutsche Bank AG - AIA European Warrants Dec 2011	200,000,000	2011/03/21	2011/12/01	36.00
10098	Deutsche Bank AG - Nikkei 225 Index European Wts Dec 2011 B	100,000,000	2011/03/21	2011/12/09	29.00
10102	Deutsche Bank AG - Nikkei 225 Index Euro Put Wts Dec 2011 D	100,000,000	2011/03/21	2011/12/09	25.00
29558	Goldman Sachs SP (Asia) - Ch EB Ltd European Wts Sep 2011	100,000,000	2011/03/21	2011/09/20	15.00
29561	Goldman Sachs SP (Asia) - CSR Times European Wts Oct 2011	200,000,000	2011/03/21	2011/10/03	50.00
29559	Goldman Sachs SP (Asia) - Dongfang Elec Euro Wts Oct 2011	50,000,000	2011/03/21	2011/10/03	19.25
29560	Goldman Sachs SP (Asia) - Shanghai Ind European Wts Oct 2011	200,000,000	2011/03/21	2011/10/03	50.00
29566	KBC Fin Products Int'l Ltd. - BYD European Put Wts Sep 2011	80,000,000	2011/03/21	2011/09/21	20.00
29563	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Sep 2011 A	128,000,000	2011/03/21	2011/09/21	33.28
29562	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jul 2011 E	198,000,000	2011/03/21	2011/07/08	29.70
29567	KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Dec 2011 B	128,000,000	2011/03/21	2011/12/20	23.04
29564	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jun 2011 H	180,000,000	2011/03/21	2011/06/23	54.00
29565	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Aug 2011 B	238,000,000	2011/03/21	2011/08/01	47.60
29552	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2011 A	200,000,000	2011/03/21	2011/10/28	52.00
29557	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2011 B	200,000,000	2011/03/21	2011/10/28	50.00
29568	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2011 C	200,000,000	2011/03/21	2011/10/28	50.00
29572	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2011 D	200,000,000	2011/03/21	2011/10/28	50.00
29573	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2011 E	200,000,000	2011/03/21	2011/10/28	50.00
29574	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2011 F	200,000,000	2011/03/21	2011/10/28	54.00
29539	Nomura Int'l plc - Air China European Warrants Oct 2012	100,000,000	2011/03/21	2012/10/31	25.80
29535	Nomura Int'l plc - Beijing Ent European Warrants Sep 2011	80,000,000	2011/03/21	2011/09/21	20.00
29536	Nomura Int'l plc - Beijing Ent European Warrants Nov 2013	100,000,000	2011/03/21	2013/11/26	17.00
29531	Nomura Int'l plc - China Mer Hold European Warrants Jul 2011	100,000,000	2011/03/21	2011/07/26	18.60
29540	Nomura Int'l plc - China Unicom European Warrants Mar 2012	100,000,000	2011/03/21	2012/03/16	15.00
29538	Nomura Int'l plc - Ch East Air European Warrants Sep 2011	100,000,000	2011/03/21	2011/09/21	40.60
29537	Nomura Int'l plc - Ch East Air European Warrants Oct 2011	100,000,000	2011/03/21	2011/10/31	63.80
29546	Nomura Int'l plc - China Life European Warrants Sep 2011	100,000,000	2011/03/21	2011/09/30	15.00
29542	Nomura Int'l plc - CNOOC European Warrants Sep 2011 B	100,000,000	2011/03/21	2011/09/30	15.00
29544	Nomura Int'l plc - Ch South Air European Warrants Sep 2011	100,000,000	2011/03/21	2011/09/21	39.50
29548	Nomura Int'l plc - Ch State Con European Warrants Feb 2012	100,000,000	2011/03/21	2012/02/29	25.00
29530	Nomura Int'l plc - HSBC European Warrants Mar 2012	100,000,000	2011/03/21	2012/03/30	26.20
29529	Nomura Int'l plc - HSBC European Warrants Sep 2011 B	100,000,000	2011/03/21	2011/09/21	25.50
29533	Nomura Int'l plc - JIANC European Warrants Sep 2011A	100,000,000	2011/03/21	2011/09/30	25.50
29534	Nomura Int'l plc - JIANC European Warrants Oct 2011	100,000,000	2011/03/21	2011/10/31	25.00
29543	Nomura Int'l plc - L'Occitane European Warrants Dec 2011	100,000,000	2011/03/21	2011/12/12	15.00
29547	Nomura Int'l plc - Nine Dragons European Warrants Jun 2012	100,000,000	2011/03/21	2012/06/29	25.00
29545	Nomura Int'l plc - Ch Rongsheng European Warrants Apr 2012	100,000,000	2011/03/21	2012/04/23	15.00
29532	Nomura Int'l plc - Tingyi European Warrants Dec 2011	100,000,000	2011/03/21	2011/12/23	15.00

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29541	Nomura Int'l plc - Xinyi Glass European Put Wts Dec 2011	100,000,000	2011/03/21	2011/12/19	25.00
29549	SGA Societe Generale Acceptance N.V. - CSR Euro Wts Dec 2011	200,000,000	2011/03/21	2011/12/21	30.00
29550	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Aug 2011 A	200,000,000	2011/03/21	2011/08/15	66.00
29551	SGA Societe Generale Acceptance N.V. -Sino Land Eu Wt Oct 11	150,000,000	2011/03/21	2011/10/19	22.50
29553	SGA Societe Generale Acceptance N.V. - SPA Euro Wts Apr 2012	200,000,000	2011/03/21	2012/04/02	30.00
29554	SGA Societe Generale Acceptance N.V. - Wharf Euro Wts Sep 11	80,000,000	2011/03/21	2011/09/21	36.80
17855#	CC Rabobank B.A. - HSBC European Warrants Sep 2011 B	400,000,000	2011/03/21	2011/09/26	10.80
24679#	Barclays Bank plc - ICBC European Warrants Jun 2012	130,000,000	2011/03/21	2012/06/25	10.01
29600	BNP Paribas Arbit Issu B.V. - AIA European Warrants May 2012	100,000,000	2011/03/22	2012/05/02	25.00
29579	BNP Paribas Arbit Issu B.V. - Ch Comm Cons Eur Wt Dec 2014	200,000,000	2011/03/22	2014/12/03	50.00
29601	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Nov 2011	100,000,000	2011/03/22	2011/11/02	49.00
29602	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wts Oct 2011	100,000,000	2011/03/22	2011/10/04	27.00
29603	BNP Paribas Arbit Issu B.V. - Ping An European Wts Jul 2013	100,000,000	2011/03/22	2013/07/02	25.00
29583	Credit Suisse AG - HKEx European Warrants Aug 2011	300,000,000	2011/03/22	2011/08/03	45.00
29582	Credit Suisse AG - HSCEI European Warrants Aug 2011	200,000,000	2011/03/22	2011/08/30	30.00
29608	Deutsche Bank AG - AIA European Warrants Sep 2011 B	200,000,000	2011/03/22	2011/09/26	56.00
29609	Deutsche Bank AG - BOCL European Warrants Sep 2011	150,000,000	2011/03/22	2011/09/26	79.50
29610	Deutsche Bank AG - China Life European Warrants Sep 2011	300,000,000	2011/03/22	2011/09/26	120.00
29612	Deutsche Bank AG - A50 China European Warrants Apr 2012 B	150,000,000	2011/03/22	2012/04/23	37.50
29611	Deutsche Bank AG - ICBC European Warrants Sep 2011	150,000,000	2011/03/22	2011/09/26	94.50
29580	Goldman Sachs SP (Asia) - Anhui Conch European Wts Sep 2011	200,000,000	2011/03/22	2011/09/26	55.00
29613	Goldman Sachs SP (Asia) - CHALCO European Warrants Aug 2011	100,000,000	2011/03/22	2011/08/03	47.50
29614	Goldman Sachs SP (Asia) - CITIC Bank European Wts Jun 2011	100,000,000	2011/03/22	2011/06/29	15.30
29581	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2011 C	200,000,000	2011/03/22	2011/08/29	92.00
29616	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2011 G	200,000,000	2011/03/22	2011/06/29	30.00
29615	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2011 E	150,000,000	2011/03/22	2011/08/30	24.00
29587	J P Morgan SP BV - Anhui Conch European Warrants Sep 2011 A	100,000,000	2011/03/22	2011/09/22	31.20
29588	J P Morgan SP BV - Anhui Conch European Warrants Sep 2011 B	100,000,000	2011/03/22	2011/09/22	25.00
29590	J P Morgan SP BV - Ch Merchants European Warrants Sep 2011	80,000,000	2011/03/22	2011/09/22	20.00
29592	J P Morgan SP BV - Ch Oilfield Euro Wts Oct 2011	180,000,000	2011/03/22	2011/10/28	45.00
29591	J P Morgan SP BV - CNBM European Warrants Sep 2011	150,000,000	2011/03/22	2011/09/22	37.50
29593	J P Morgan SP BV - Ch Ship Dev European Warrants Aug 2012	200,000,000	2011/03/22	2012/08/30	50.00
29594	J P Morgan SP BV - Zhuzhou CSR European Warrants Feb 2012	200,000,000	2011/03/22	2012/02/28	30.00
29595	J P Morgan SP BV - GCL-Poly European Warrants Sep 2011	100,000,000	2011/03/22	2011/09/22	25.00
29597	J P Morgan SP BV - HK Gas European Warrants Feb 2012	100,000,000	2011/03/22	2012/02/28	25.00
29589	J P Morgan SP BV - China Mengniu European Warrants Sep 2011	100,000,000	2011/03/22	2011/09/29	25.00
29596	J P Morgan SP BV - Sh Electric European Warrants Jun 2013	200,000,000	2011/03/22	2013/06/24	30.00
29598	J P Morgan SP BV - Zhaojin Mining European Warrants Sep 2011	80,000,000	2011/03/22	2011/09/22	20.00
29599	J P Morgan SP BV - ZTE European Warrants Oct 2011	100,000,000	2011/03/22	2011/10/14	25.00
29604	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jul 2011 D	200,000,000	2011/03/22	2011/07/28	50.00
29605	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Aug 2011 C	200,000,000	2011/03/22	2011/08/30	50.00
29606	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2011 A	200,000,000	2011/03/22	2011/09/29	50.00
29607	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2011 B	200,000,000	2011/03/22	2011/09/29	50.00
29575	CC Rabobank B.A. - COSCO Pacific European Warrants Dec 2011	120,000,000	2011/03/22	2011/12/12	30.00

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股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29576	CC Rabobank B.A. - Dongfang Elec European Wts Sep 2011 B	100,000,000	2011/03/22	2011/09/29	40.00
29577	CC Rabobank B.A. - Geely Auto European Warrants Sep 2011	120,000,000	2011/03/22	2011/09/22	36.00
29578	CC Rabobank B.A. - Lenovo European Warrants Sep 2011	150,000,000	2011/03/22	2011/09/22	54.00
29571	CC Rabobank B.A. - Sinoma European Warrants Oct 2011	80,000,000	2011/03/22	2011/10/28	48.00
29584	Standard Chartered Bank - HKEx European Warrants Sep 2011 B	100,000,000	2011/03/22	2011/09/26	15.00
29585	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jul 2011 B	200,000,000	2011/03/22	2011/07/27	50.00
29586	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jul 2011 C	200,000,000	2011/03/22	2011/07/27	56.00
29617	UBS AG - China Mobile European Warrants Aug 2011 B	200,000,000	2011/03/22	2011/08/08	30.00
29618	UBS AG - Sinopec Corp European Warrants Jul 2011 B	100,000,000	2011/03/22	2011/07/04	54.00
29619	UBS AG - China Tel European Warrants Jul 2011 B	100,000,000	2011/03/22	2011/07/18	31.00
29620	UBS AG - HKEx European Warrants Aug 2011 C	300,000,000	2011/03/22	2011/08/03	45.00
29621	UBS AG - Hutchison European Warrants Jun 2011 E	200,000,000	2011/03/22	2011/06/22	122.00
29622	UBS AG - Ping An European Warrants Sep 2011	100,000,000	2011/03/22	2011/09/26	57.00
29623	UBS AG - SHK Ppt European Warrants Sep 2012	100,000,000	2011/03/22	2012/09/11	18.30
29647	BNP Paribas Arbit Issu B.V. - China BlueChem Eur Wt Nov 2013	100,000,000	2011/03/23	2013/11/04	26.00
29648	BNP Paribas Arbit Issu B.V. - Gome European Wts Mar 2012	100,000,000	2011/03/23	2012/03/02	41.00
29649	BNP Paribas Arbit Issu B.V. - NVC Lighting Euro Wts Feb 2012	14,000,000	2011/03/23	2012/02/02	10.08
29650	BNP Paribas Arbit Issu B.V. - Sinofert European Wts Dec 2011	24,000,000	2011/03/23	2011/12/02	10.80
29651	BNP Paribas Arbit Issu B.V. - Want Want Ch Euro Wts Nov 2013	100,000,000	2011/03/23	2013/11/04	25.00
29663	Credit Suisse AG - Li & Fung European Warrants Jul 2011	200,000,000	2011/03/23	2011/07/04	30.00
29665	Deutsche Bank AG - Sinopec Corp European Warrants Aug 2011 C	100,000,000	2011/03/23	2011/08/29	37.00
29666	Deutsche Bank AG - HSBC European Warrants Jun 2011 H	300,000,000	2011/03/23	2011/06/27	75.00
29652	HK Bank - CITIC Bank European Warrants Sep 2011	80,000,000	2011/03/23	2011/09/27	20.00
29653	HK Bank - Dongfang Elec European Warrants Nov 2011	80,000,000	2011/03/23	2011/11/25	20.00
29654	HK Bank - Foxconn European Warrants Dec 2011	80,000,000	2011/03/23	2011/12/19	20.00
29655	HK Bank - Jiangxi Copper European Warrants Oct 2011	120,000,000	2011/03/23	2011/10/28	30.00
29656	HK Bank - Lenovo European Warrants Sep 2011 B	80,000,000	2011/03/23	2011/09/23	20.00
29657	HK Bank - Renhe Comm European Warrants Jul 2012	80,000,000	2011/03/23	2012/07/06	20.00
29658	HK Bank - Shanghai Electric European Warrants Nov 2011	80,000,000	2011/03/23	2011/11/22	20.00
29659	HK Bank - Shimao Ppt European Warrants Jul 2012	100,000,000	2011/03/23	2012/07/09	25.00
29660	HK Bank - Yanzhou Coal European Warrants Oct 2011	120,000,000	2011/03/23	2011/10/26	26.40
29664	J P Morgan SP BV - China Mob European Wts Sep 2011	200,000,000	2011/03/23	2011/09/26	50.00
29645	J P Morgan SP BV - HS Bank European Warrants Sep 2011	80,000,000	2011/03/23	2011/09/23	29.52
29646	J P Morgan SP BV - HSBC European Warrants Jun 2011	200,000,000	2011/03/23	2011/06/24	30.00
29662	KBC Fin Products Int'l Ltd. - Agricultural Eu Put Wt Sep2011	108,000,000	2011/03/23	2011/09/23	32.40
29661	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2011 C	200,000,000	2011/03/23	2011/09/29	50.00
29643	Macquarie Bank Ltd. - BOCL European Put Warrants Sep 2011	88,000,000	2011/03/23	2011/09/26	22.09
29636	Macquarie Bank Ltd. - China Overseas European Wts Jul 2012	188,000,000	2011/03/23	2012/07/20	47.00
29637	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Nov 2011	88,000,000	2011/03/23	2011/11/21	25.78
29638	Macquarie Bank Ltd. - Gome European Warrants Oct 2011	88,000,000	2011/03/23	2011/10/03	22.26
29641	Macquarie Bank Ltd. - China Mengniu European Wts Feb 2012	188,000,000	2011/03/23	2012/02/03	47.00
29640	Macquarie Bank Ltd. - PetroChina European Wts Oct 2012	188,000,000	2011/03/23	2012/10/22	28.58
29644	Macquarie Bank Ltd. - PetroChina European Put Wts Oct 2012	100,000,000	2011/03/23	2012/10/22	25.00
29639	Macquarie Bank Ltd. - Shimao Ppt European Warrants Oct 2012	88,000,000	2011/03/23	2012/10/15	22.00

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29642	Macquarie Bank Ltd. - Goldwind European Warrants Sep 2011	188,000,000	2011/03/23	2011/09/26	47.38
29625	Standard Chartered Bank-China Life European Wts Sep 2011 C	100,000,000	2011/03/23	2011/09/28	25.00
29626	Standard Chartered Bank - Hutchison Euro Euro Wts Jul 2011	100,000,000	2011/03/23	2011/07/18	49.00
29627	Standard Chartered Bank - Hutchison Euro Euro Wts Mar 2012	400,000,000	2011/03/23	2012/03/19	64.80
29624	Standard Chartered Bank - Jiangxi Copper Euro Wts Sep 2011	100,000,000	2011/03/23	2011/09/28	25.00
29629	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2011 B	200,000,000	2011/03/23	2011/09/26	94.00
29628	SGA Societe Generale Acceptance N.V. - CK(H) Eu Put Wt Nov11	500,000,000	2011/03/23	2011/11/07	85.00
29630	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2011B	300,000,000	2011/03/23	2011/09/26	75.00
29631	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep2011C	200,000,000	2011/03/23	2011/09/26	80.00
29632	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep2011D	200,000,000	2011/03/23	2011/09/26	50.00
29635	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2011 C	300,000,000	2011/03/23	2011/08/29	93.00
29633	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2011 D	300,000,000	2011/03/23	2011/08/30	45.00
29634	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep2011	200,000,000	2011/03/23	2011/09/12	54.00
25861#	Macquarie Bank Ltd. - Tencent European Warrants Jul 2011 B	250,000,000	2011/03/23	2011/07/05	45.00
29702	Barclays Bank plc - BOCL European Warrants Oct 2011	100,000,000	2011/03/24	2011/10/28	25.30
29701	Barclays Bank plc - Ch Comm Cons European Warrants Oct 2011	100,000,000	2011/03/24	2011/10/28	40.80
29699	Barclays Bank plc - Sinopec Corp European Wts Sep 2011	100,000,000	2011/03/24	2011/09/29	32.20
29695	Barclays Bank plc - HSI European Put Warrants Sep 2011	150,000,000	2011/03/24	2011/09/29	37.50
29696	Barclays Bank plc - HSI European Warrants Oct 2011 A	150,000,000	2011/03/24	2011/10/28	37.50
29697	Barclays Bank plc - HSI European Warrants Oct 2011 B	150,000,000	2011/03/24	2011/10/28	37.50
29693	Barclays Bank plc - HSI European Put Warrants Jun 2011 A	150,000,000	2011/03/24	2011/06/29	22.65
29694	Barclays Bank plc - HSI European Put Warrants Jun 2011 B	150,000,000	2011/03/24	2011/06/29	22.95
29698	Barclays Bank plc - Hutchison European Warrants Aug 2011	100,000,000	2011/03/24	2011/08/23	42.20
29700	Barclays Bank plc - PetroChina European Warrants Sep 2011	100,000,000	2011/03/24	2011/09/29	44.70
29704	BNP Paribas Arbit Issu B.V. - CHALCO European Wts Oct 2013	100,000,000	2011/03/24	2013/10/02	25.00
29705	BNP Paribas Arbit Issu B.V. - China Overseas Eur Wt Jul 2012	100,000,000	2011/03/24	2012/07/03	25.00
29706	BNP Paribas Arbit Issu B.V. - Ch Southern Air Eu Wt Jan 2012	28,000,000	2011/03/24	2012/01/03	14.56
29707	BNP Paribas Arbit Issu B.V. - CSR European Warrants Dec 2012	100,000,000	2011/03/24	2012/12/04	25.00
29708	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jan 2012	100,000,000	2011/03/24	2012/01/03	25.00
29709	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jan 2012	79,000,000	2011/03/24	2012/01/03	35.55
29710	BNP Paribas Arbit Issu B.V. - Sh Ind H European Wts Jul 2012	47,000,000	2011/03/24	2012/07/03	19.74
29711	Credit Suisse AG - Ping An European Warrants Sep 2011	300,000,000	2011/03/24	2011/09/09	165.00
29714	Deutsche Bank AG - China Mobile European Warrants Oct 2011 B	150,000,000	2011/03/24	2011/10/10	90.00
29715	Deutsche Bank AG - HSBC European Warrants Sep 2011 D	150,000,000	2011/03/24	2011/09/26	130.50
29712	Deutsche Bank AG - HSI European Warrants Sep 2011	300,000,000	2011/03/24	2011/09/29	75.00
29713	Deutsche Bank AG - HSI European Put Warrants Jul 2011 E	300,000,000	2011/03/24	2011/07/28	45.00
29716	Deutsche Bank AG - Tencent Holdings European Wts Aug 2011 A	200,000,000	2011/03/24	2011/08/08	30.00
29669	Goldman Sachs SP (Asia) - GCL-Poly Energy Euro Wt Sep 2011 B	200,000,000	2011/03/24	2011/09/26	54.00
29667	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2011 D	150,000,000	2011/03/24	2011/09/29	27.00
29668	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2011 E	150,000,000	2011/03/24	2011/09/29	39.00
29670	Goldman Sachs SP (Asia) -Sands China European Wts Nov 2011	100,000,000	2011/03/24	2011/11/30	25.00
29717	KBC Fin Products Int'l Ltd. - BOC HK European Wts Sep 2011	128,000,000	2011/03/24	2011/09/26	32.00
29724	KBC Fin Products Int'l Ltd. - BOC HK Euro Put Wts Sep 2011	128,000,000	2011/03/24	2011/09/26	32.00
29727	KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Oct 2011	80,000,000	2011/03/24	2011/10/03	40.00

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29718	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Sep 2011 C	128,000,000	2011/03/24	2011/09/01	60.16
29725	KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wt Sep 2011 E	98,000,000	2011/03/24	2011/09/01	28.42
29719	KBC Fin Products Int'l Ltd. - China Life Euro Wts Aug 2011 C	158,000,000	2011/03/24	2011/08/15	44.24
29726	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Sep 11 D	98,000,000	2011/03/24	2011/09/01	15.68
29720	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Sep 2011 B	128,000,000	2011/03/24	2011/09/01	47.36
29721	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2011 B	128,000,000	2011/03/24	2011/10/03	72.96
29728	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Sep 2011	108,000,000	2011/03/24	2011/09/26	47.52
29723	KBC Fin Products Int'l Ltd. - HSBC European Wts Sep 2011 C	108,000,000	2011/03/24	2011/09/26	36.72
29729	KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Aug 2011	98,000,000	2011/03/24	2011/08/22	24.50
29672	Macquarie Bank Ltd. - Anhui Conch European Warrants Oct 2011	188,000,000	2011/03/24	2011/10/17	47.00
29671	Macquarie Bank Ltd. - CHALCO European Warrants Oct 2011	200,000,000	2011/03/24	2011/10/04	150.00
29674	Macquarie Bank Ltd. - Ch LongYuan European Warrants Oct 2011	88,000,000	2011/03/24	2011/10/19	34.50
29673	Macquarie Bank Ltd. - Ch Comm Cons European Wts Oct 2011	200,000,000	2011/03/24	2011/10/04	96.40
29675	Macquarie Bank Ltd. - China Mobile European Wts Sep 2011 B	200,000,000	2011/03/24	2011/09/29	50.20
29676	Macquarie Bank Ltd. - CNBM European Warrants Oct 2011	188,000,000	2011/03/24	2011/10/31	47.19
29678	Macquarie Bank Ltd. - COSCO Pacific European Wts Oct 2011	150,000,000	2011/03/24	2011/10/04	37.50
29677	Macquarie Bank Ltd. - CPIC European Warrants Sep 2011	200,000,000	2011/03/24	2011/09/29	50.00
29703	Macquarie Bank Ltd. - HSCEI European Warrants Oct 2011	200,000,000	2011/03/24	2011/10/28	50.00
29734	RB of Scotland N.V. - China Mobile European Wts Aug 2011	200,000,000	2011/03/24	2011/08/23	31.60
29735	RB of Scotland N.V. - Sinopec Corp European Wts Jul 2011	200,000,000	2011/03/24	2011/07/04	30.20
29736	RB of Scotland N.V. - HKEx European Warrants Nov 2011 B	200,000,000	2011/03/24	2011/11/21	30.20
29738	RB of Scotland N.V. - HSBC European Warrants Aug 2011	200,000,000	2011/03/24	2011/08/29	30.20
29737	RB of Scotland N.V. - HSBC European Warrants Jul 2011 B	200,000,000	2011/03/24	2011/07/04	30.80
29730	RB of Scotland N.V. - HSI European Warrants Sep 2011 F	250,000,000	2011/03/24	2011/09/29	62.75
29731	RB of Scotland N.V. - HSI European Warrants Sep 2011 G	250,000,000	2011/03/24	2011/09/29	62.75
29732	RB of Scotland N.V. - HSI European Warrants Sep 2011 H	250,000,000	2011/03/24	2011/09/29	62.75
29733	RB of Scotland N.V. - HSI European Put Warrants Sep 2011 I	250,000,000	2011/03/24	2011/09/29	62.75
29679	SGA Societe Generale Acceptance N.V. - AIA Euro Wt Dec 2011	200,000,000	2011/03/24	2011/12/05	38.00
29680	SGA Societe Generale Acceptance N.V. - Alibaba Eu Wt Oct 11B	150,000,000	2011/03/24	2011/10/31	37.50
29681	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2011	300,000,000	2011/03/24	2011/10/12	60.00
29682	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Oct 2011	200,000,000	2011/03/24	2011/10/31	50.00
29683	SGA Societe Generale Acceptance N.V. - CNBM Euro Wt Sep 2011	150,000,000	2011/03/24	2011/09/26	37.50
29684	SGA Societe Generale Acceptance N.V. - Dongfeng Eu Wt Oct 11	200,000,000	2011/03/24	2011/10/31	50.00
29685	SGA Societe Generale Acceptance N.V. -Geely Auto Eu Wt Sep11	70,000,000	2011/03/24	2011/09/26	25.90
29686	SGA Societe Generale Acceptance N.V. - Gome Euro Wt Sep 2011	100,000,000	2011/03/24	2011/09/26	35.00
29687	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Oct 2011	60,000,000	2011/03/24	2011/10/03	17.40
29688	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2011 F	300,000,000	2011/03/24	2011/09/26	75.00
29689	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Sep 2011	50,000,000	2011/03/24	2011/09/26	18.50
29690	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Sep2011	80,000,000	2011/03/24	2011/09/26	44.00
29691	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep 11	150,000,000	2011/03/24	2011/09/26	37.50
29692	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Sep 11	30,000,000	2011/03/24	2011/09/26	14.10
29722	UBS AG - HSI European Put Warrants Sep 2011	300,000,000	2011/03/24	2011/09/29	75.00
29742	UBS AG - HSI European Warrants Jul 2011 E	300,000,000	2011/03/24	2011/07/28	51.00
29739	UBS AG - ICBC European Warrants Aug 2011 B	100,000,000	2011/03/24	2011/08/15	30.00

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29740	UBS AG - Tencent Holdings European Warrants Jul 2011 A	200,000,000	2011/03/24	2011/07/25	34.00
29741	UBS AG - Tencent Holdings European Warrants Jul 2011 B	200,000,000	2011/03/24	2011/07/04	30.00
29808	BNP Paribas Arbit Issu B.V. -Lonking European Warrants Dec14	100,000,000	2011/03/25	2014/12/02	25.00
29807	BNP Paribas Arbit Issu B.V. - Goldwind Euro Warrants Oct11	70,000,000	2011/03/25	2011/10/04	17.50
29810	Deutsche Bank AG - China Mobile European Warrants Sep 2011 B	200,000,000	2011/03/25	2011/09/26	50.00
29812	Deutsche Bank AG - Hutchison European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/26	75.00
29811	Deutsche Bank AG - Hutchison European Warrants Jul 2011 D	100,000,000	2011/03/25	2011/07/18	35.00
29776	Goldman Sachs SP (Asia) - China Mobile Euro Put Wts Sep 2011	200,000,000	2011/03/25	2011/09/26	52.00
29769	Goldman Sachs SP (Asia) - China Mobile Euro Wts Aug 2011 C	200,000,000	2011/03/25	2011/08/29	80.00
29770	Goldman Sachs SP (Asia) - China Mobile Euro Wts Aug 2011 D	200,000,000	2011/03/25	2011/08/15	51.00
29771	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2011 C	200,000,000	2011/03/25	2011/09/26	143.00
29775	Goldman Sachs SP (Asia) - Hutchison European Wts Aug 2011	200,000,000	2011/03/25	2011/08/23	80.00
29777	Goldman Sachs SP (Asia) - Hutchison Euro Put Wts Sep 2011	200,000,000	2011/03/25	2011/09/30	150.00
29774	Goldman Sachs SP (Asia) - Hutchison European Wts Jun 2011 B	200,000,000	2011/03/25	2011/06/30	59.00
29772	Goldman Sachs SP (Asia) - Hutchison European Wts Sep 2011 A	200,000,000	2011/03/25	2011/09/26	153.00
29773	Goldman Sachs SP (Asia) - Hutchison European Wts Sep 2011 B	200,000,000	2011/03/25	2011/09/26	112.00
29778	Goldman Sachs SP (Asia) - Tencent European Put Wt Nov 2011	200,000,000	2011/03/25	2011/11/14	50.00
29765	HK Bank - China Mobile European Warrants Aug 2011 B	250,000,000	2011/03/25	2011/08/26	50.00
29766	HK Bank - Esprit European Warrants Sep 2011	150,000,000	2011/03/25	2011/09/26	37.50
29767	HK Bank - Geely Auto European Warrants Sep 2011 B	120,000,000	2011/03/25	2011/09/26	30.00
29768	HK Bank - Maanshan Iron European Warrants Nov 2011	80,000,000	2011/03/25	2011/11/18	20.00
29813	KBC Fin Products Int'l Ltd. - Esprit European Wts Feb 2012	80,000,000	2011/03/25	2012/02/01	30.40
29799	KBC Fin Products Int'l Ltd. - HKEx European Put Wts Oct 2011	108,000,000	2011/03/25	2011/10/03	34.56
29803	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2011 D	200,000,000	2011/03/25	2011/09/29	60.00
29804	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2011 E	200,000,000	2011/03/25	2011/09/29	50.00
29814	KBC Fin Products Int'l Ltd. - Poly European Wts Oct 2011	80,000,000	2011/03/25	2011/10/18	37.60
29800	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Sep 11B	108,000,000	2011/03/25	2011/09/28	21.60
29801	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Oct 11B	108,000,000	2011/03/25	2011/10/10	27.00
29802	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Nov 11	108,000,000	2011/03/25	2011/11/25	20.52
29782	Macquarie Bank Ltd. - Alibaba European Warrants Dec 2011	500,000,000	2011/03/25	2011/12/14	75.00
29785	Macquarie Bank Ltd. - CITIC Pacific European Warrants Oct 11	200,000,000	2011/03/25	2011/10/04	50.00
29784	Macquarie Bank Ltd. - China Life European Wts Sep 2011	500,000,000	2011/03/25	2011/09/22	76.50
29783	Macquarie Bank Ltd. - Esprit European Warrants Jul 2011	250,000,000	2011/03/25	2011/07/18	37.50
29787	Macquarie Bank Ltd. - GAC European Wts Jan 2012	100,000,000	2011/03/25	2012/01/04	25.10
29786	Macquarie Bank Ltd. - Geely Auto European Warrants Oct 2011	250,000,000	2011/03/25	2011/10/31	91.00
29764	Macquarie Bank Ltd. - Hang Seng Bank European Wts Oct 2011	200,000,000	2011/03/25	2011/10/04	69.60
29763	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jan 2013	200,000,000	2011/03/25	2013/01/03	31.40
29806	Macquarie Bank Ltd. - Li & Fung European Warrants Oct 2011	250,000,000	2011/03/25	2011/10/31	77.25
29755	Nomura Int'l plc - Agricultural Bk European Warrants Sep 11	100,000,000	2011/03/25	2011/09/30	25.10
29760	Nomura Int'l plc - BOC HK European Warrants Mar 2012	100,000,000	2011/03/25	2012/03/30	25.20
29762	Nomura Int'l plc - BOCL European Warrants Dec 2011	100,000,000	2011/03/25	2011/12/19	25.60
29753	Nomura Int'l plc - CC Bank European Warrants Aug 2011	100,000,000	2011/03/25	2011/08/22	15.50
29752	Nomura Int'l plc - CC Bank European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/30	48.60
29749	Nomura Int'l plc - Ch Com Service European Warrants Dec 2011	50,000,000	2011/03/25	2011/12/19	48.80

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股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29750	Nomura Int'l plc - C Transmission European Warrants Feb 2012	100,000,000	2011/03/25	2012/02/29	25.00
29743	Nomura Int'l plc - Cheung Kong European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/30	25.00
29751	Nomura Int'l plc - CNOOC European Warrants Oct 2011	100,000,000	2011/03/25	2011/10/31	18.00
29746	Nomura Int'l plc - Sinopec European Warrants Sep 2011 B	100,000,000	2011/03/25	2011/09/30	41.00
29761	Nomura Int'l plc - CPIC European Warrants Dec 2011	100,000,000	2011/03/25	2011/12/19	15.20
29757	Nomura Int'l plc - CSR European Warrants Dec 2011	100,000,000	2011/03/25	2011/12/20	15.00
29790	Nomura Int'l plc - GCL-Poly Energy European Warrants Sep 11A	100,000,000	2011/03/25	2011/09/30	39.50
29791	Nomura Int'l plc - GCL-Poly Energy European Warrants Sep 11B	100,000,000	2011/03/25	2011/09/30	29.00
29792	Nomura Int'l plc - GCL-Poly Energy European Warrants Sep 11C	100,000,000	2011/03/25	2011/09/30	25.00
29744	Nomura Int'l plc - Hutchison European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/30	33.00
29756	Nomura Int'l plc - ICBC European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/09	15.10
29745	Nomura Int'l plc - JIANC European Warrants Sep 2011 B	100,000,000	2011/03/25	2011/09/30	25.00
29748	Nomura Int'l plc - Li & Fung European Warrants Jul 2011	100,000,000	2011/03/25	2011/07/04	15.50
29747	Nomura Int'l plc - Li & Fung European Warrants Sep 2011	80,000,000	2011/03/25	2011/09/30	36.72
29754	Nomura Int'l plc - Lenovo European Warrants Aug 2011	100,000,000	2011/03/25	2011/08/10	15.00
29759	Nomura Int'l plc - Ping An European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/30	28.50
29758	Nomura Int'l plc - Ping An European Warrants Apr 2012	200,000,000	2011/03/25	2012/04/02	30.00
29788	Nomura Int'l plc - Xinyi Glass European Warrants Mar 2012	100,000,000	2011/03/25	2012/03/30	25.00
29789	Nomura Int'l plc - Xinyi Glass European Warrants Apr 2012	100,000,000	2011/03/25	2012/04/30	25.00
29780	Standard Chartered Bank - China Mobile Euro Wts Aug 2011 C	100,000,000	2011/03/25	2011/08/23	25.00
29805	Standard Chartered Bank - CNOOC European Warrants Oct 2011	100,000,000	2011/03/25	2011/10/25	21.00
29779	Standard Chartered Bank - HSBC European Warrants Sep 2011 C	100,000,000	2011/03/25	2011/09/22	15.00
29781	Standard Chartered Bank - ICBC European Warrants Dec 2012	100,000,000	2011/03/25	2012/12/10	15.00
29793	UBS AG - CC Bank European Warrants Aug 2011 B	100,000,000	2011/03/25	2011/08/16	15.00
29794	UBS AG - China Life European Warrants Aug 2011 D	300,000,000	2011/03/25	2011/08/15	60.00
29795	UBS AG - Sinopec Corp European Warrants Aug 2011 C	100,000,000	2011/03/25	2011/08/15	20.00
29809	UBS AG - HKEx European Warrants Jul 2011 E	300,000,000	2011/03/25	2011/07/05	45.00
29798	UBS AG - HSBC European Warrants Oct 2011	200,000,000	2011/03/25	2011/10/17	84.00
29797	UBS AG - Hutchison European Warrants Sep 2011	200,000,000	2011/03/25	2011/09/29	118.00
29796	UBS AG - ICBC European Warrants Sep 2011	100,000,000	2011/03/25	2011/09/26	25.00
26250#	Deutsche Bank AG - Tencent Holdings European Warrants Jun 11	200,000,000	2011/03/25	2011/06/27	14.40
24941#	Standard Chartered Bank - China Mobile European Wts Dec 2011	350,000,000	2011/03/25	2011/12/05	10.85
29821	BNP Paribas Arbit Issu B.V. - Anhui Conch European Wts Oct11	100,000,000	2011/03/28	2011/10/04	25.00
29822	BNP Paribas Arbit Issu B.V. - China Gas Hold Euro Wt Jan12	29,000,000	2011/03/28	2012/01/03	19.14
29823	BNP Paribas Arbit Issu B.V. - CNBM European Warrants Oct 11B	100,000,000	2011/03/28	2011/10/04	25.00
29824	BNP Paribas Arbit Issu B.V. - HKEx European Wts Oct 2015	200,000,000	2011/03/28	2015/10/02	50.00
29825	Credit Suisse AG - HSBC European Wts Aug 2011	300,000,000	2011/03/28	2011/08/29	90.00
29840	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Oct 2011	80,000,000	2011/03/28	2011/10/28	20.00
29841	Citigroup Global Mkt H Inc. - Esprit European Wts Oct 2011	80,000,000	2011/03/28	2011/10/28	20.00
29842	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Aug 2011	80,000,000	2011/03/28	2011/08/29	36.00
29843	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Mar 2012	80,000,000	2011/03/28	2012/03/21	12.00
29828	KBC Fin Products Int'l Ltd. - Cathay Pac Euro Wts Jan 2012	68,000,000	2011/03/28	2012/01/26	17.00
29835	KBC Fin Products Int'l Ltd. - Cathay Pac Eu Put Wt Sep11B	68,000,000	2011/03/28	2011/09/28	19.04
29830	KBC Fin Products Int'l Ltd. - Ch Railway Euro Wts Oct 2011	68,000,000	2011/03/28	2011/10/20	21.76

主板　認股權證

股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29837	KBC Fin Products Int'l Ltd. - Ch Railway Eur Put Wt Sep 2011	68,000,000	2011/03/28	2011/09/28	41.48
29829	KBC Fin Products Int'l Ltd. - CRCC European Wts Sep 2011	68,000,000	2011/03/28	2011/09/28	38.08
29836	KBC Fin Products Int'l Ltd. - CRCC European Put Wts Sep 2011	58,000,000	2011/03/28	2011/09/28	52.20
29831	KBC Fin Products Int'l Ltd. - Chi Res Power Euro Wts Jan12	80,000,000	2011/03/28	2012/01/26	12.00
29838	KBC Fin Products Int'l Ltd. - Chi Res Power Eu Put Wts Oct11	80,000,000	2011/03/28	2011/10/18	20.00
29832	KBC Fin Products Int'l Ltd. - Jiangxi Copper Eu Wts Sep 11C	108,000,000	2011/03/28	2011/09/23	30.24
29839	KBC Fin Products Int'l Ltd. - Jiangxi Copper Eu Put Wt Sep11	80,000,000	2011/03/28	2011/09/28	20.00
29833	KBC Fin Products Int'l Ltd. - Sinopharm Euro Wts Jul 2011 B	80,000,000	2011/03/28	2011/07/20	20.00
29834	KBC Fin Products Int'l Ltd. - YZ Coal European Wts Jul 2011B	80,000,000	2011/03/28	2011/07/11	20.00
29820	CC Rabobank B.A. - HKEx European Warrants Dec 2011 B	200,000,000	2011/03/28	2011/12/29	50.00
29816	CC Rabobank B.A. - Li & Fung European Warrants Oct 2011 B	100,000,000	2011/03/28	2011/10/28	25.00
29817	CC Rabobank B.A. - NVC Lighting European Warrants Sep 2011	100,000,000	2011/03/28	2011/09/29	50.00
29819	CC Rabobank B.A. - Tencent Holdings European Put Wts Nov 11	200,000,000	2011/03/28	2011/11/29	70.00
29818	CC Rabobank B.A. - Goldwind European Warrants Sep 2011	100,000,000	2011/03/28	2011/09/29	25.00
29815	Standard Chartered Bank - HKEx European Warrants Dec 2011 B	100,000,000	2011/03/28	2011/12/06	25.00
29826	UBS AG - Hutchison European Warrants Oct 2011	200,000,000	2011/03/28	2011/10/11	50.00
29827	UBS AG - Tencent Holdings European Warrants Oct 2011	200,000,000	2011/03/28	2011/10/11	50.00
29849	BOCI Asia Ltd. - Yurun Food European Warrants Oct 2011	100,000,000	2011/03/29	2011/10/04	20.00
29858	BNP Paribas Arbit Issu B.V. - COSCO Pacific Euro Wts Jul12	100,000,000	2011/03/29	2012/07/03	27.00
29856	BNP Paribas Arbit Issu B.V. - China Res Gas Euro Wts Nov13	24,000,000	2011/03/29	2013/11/04	10.32
29857	BNP Paribas Arbit Issu B.V. - China Taiping Euro Wts Feb12	47,000,000	2011/03/29	2012/02/02	14.57
29859	BNP Paribas Arbit Issu B.V. - Fushan Energy Euro Wts Feb 12	42,000,000	2011/03/29	2012/02/02	27.72
29864	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Jan 2012	100,000,000	2011/03/29	2012/01/03	51.00
29860	BNP Paribas Arbit Issu B.V. - Greatwall Motor Euro Wts Jul12	100,000,000	2011/03/29	2012/07/03	28.00
29861	BNP Paribas Arbit Issu B.V. -Li Ning European Warrants Jun12	80,000,000	2011/03/29	2012/06/04	26.40
29863	BNP Paribas Arbit Issu B.V. - Sinoma European Warrants Dec12	82,000,000	2011/03/29	2012/12/04	20.50
29862	BNP Paribas Arbit Issu B.V. - Weichai Power Euro Wts Feb12	37,000,000	2011/03/29	2012/02/02	15.54
29865	Citigroup Global Mkt H Inc. - China Life Euro Wts Sep 2011	80,000,000	2011/03/29	2011/09/26	12.00
29866	Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2011 B	80,000,000	2011/03/29	2011/09/12	12.00
29867	Citigroup Global Mkt H Inc.-Tencent European Warrants Sep 11	80,000,000	2011/03/29	2011/09/05	12.00
29853	J P Morgan SP BV - BYD European Warrants Sep 2011	100,000,000	2011/03/29	2011/09/29	25.00
29854	J P Morgan SP BV - China Tel European Warrants Sep 2011	100,000,000	2011/03/29	2011/09/29	25.00
29850	Macquarie Bank Ltd. - HSBC European Warrants Oct 2011 B	200,000,000	2011/03/29	2011/10/04	50.00
29851	Macquarie Bank Ltd. - ICBC European Warrants Oct 2011	150,000,000	2011/03/29	2011/10/04	38.40
29855	Macquarie Bank Ltd. - ICBC European Put Warrants Oct 2011	100,000,000	2011/03/29	2011/10/04	42.90
29844	CC Rabobank B.A. - Beijing Ent European Warrants Sep 2011	100,000,000	2011/03/29	2011/09/09	32.00
29847	CC Rabobank B.A. - Ch LongYuan European Warrants Sep 2011	100,000,000	2011/03/29	2011/09/30	50.00
29846	CC Rabobank B.A. - Chi East Air European Warrants Sep 2011 B	100,000,000	2011/03/29	2011/09/30	25.00
29845	CC Rabobank B.A. - Cheung Kong European Warrants Aug 2011	100,000,000	2011/03/29	2011/08/15	59.00
29848	CC Rabobank B.A. - Xinyi Glass European Warrants Apr 2012	200,000,000	2011/03/29	2012/04/02	50.00
29852	Standard Chartered Bank - Cheung Kong European Wts Dec 2012	100,000,000	2011/03/29	2012/12/07	15.00
29868	UBS AG - HSI European Warrants Jul 2011 F	300,000,000	2011/03/29	2011/07/28	45.00
29869	UBS AG - HSI European Put Warrants Jun 2011 L	300,000,000	2011/03/29	2011/06/29	63.00
29878	Deutsche Bank AG - China Coal European Warrants Sep 2011	80,000,000	2011/03/30	2011/09/26	12.00

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股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29879	Deutsche Bank AG - Hutchison European Warrants Mar 2012	300,000,000	2011/03/30	2012/03/21	45.00
29880	Deutsche Bank AG - PetroChina European Warrants Aug 2011 B	150,000,000	2011/03/30	2011/08/15	64.50
29911	Deutsche Bank AG - Ping An European Warrants Apr 2012	100,000,000	2011/03/30	2012/04/02	16.00
29912	Deutsche Bank AG - Westchinacement European Wts Oct 2011	80,000,000	2011/03/30	2011/10/03	38.40
29907	Goldman Sachs SP (Asia) - Agri Bk European Wts Oct 2011	200,000,000	2011/03/30	2011/10/31	50.00
29908	Goldman Sachs SP (Asia) - BYD European Warrants Sep 2011	100,000,000	2011/03/30	2011/09/05	35.00
29909	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Oct 2011	200,000,000	2011/03/30	2011/10/31	50.00
29906	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2011 F	200,000,000	2011/03/30	2011/09/29	38.00
29910	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2011 G	200,000,000	2011/03/30	2011/09/29	50.00
29870	J P Morgan SP BV - Agile Ppt European Warrants Nov 2011	200,000,000	2011/03/30	2011/11/22	30.00
29871	J P Morgan SP BV - China Shenhua European Warrants Sep 2011	150,000,000	2011/03/30	2011/09/30	37.50
29872	J P Morgan SP BV - JX Copper European Warrants Sep 2011	200,000,000	2011/03/30	2011/09/30	50.00
29873	J P Morgan SP BV - Lenovo European Warrants Sep 2011	100,000,000	2011/03/30	2011/09/30	25.00
29874	J P Morgan SP BV - MTRC European Warrants Oct 2011	80,000,000	2011/03/30	2011/10/26	12.00
29875	J P Morgan SP BV - Sinofert European Warrants Sep 2011	100,000,000	2011/03/30	2011/09/30	25.00
29876	J P Morgan SP BV - Yanzhou Coal European Warrants Sep 2011	200,000,000	2011/03/30	2011/09/30	50.00
29914	KBC Fin Products Int'l Ltd. - Ch LongYuan Euro Wts Oct 2011	80,000,000	2011/03/30	2011/10/20	48.00
29913	KBC Fin Products Int'l Ltd. - China Coal Euro Wts Dec 2011	88,000,000	2011/03/30	2011/12/21	22.00
29917	KBC Fin Products Int'l Ltd. - China Coal Euro Put Wts Dec 11	68,000,000	2011/03/30	2011/12/28	17.00
29916	KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2011 E	158,000,000	2011/03/30	2011/09/30	50.56
29915	KBC Fin Products Int'l Ltd. - PetroChina Eur Put Wt Mar 2012	88,000,000	2011/03/30	2012/03/20	22.00
29881	Macquarie Bank Ltd. - Shanshui Cement European Wts Oct 2011	88,000,000	2011/03/30	2011/10/04	41.80
29882	Macquarie Bank Ltd. - Hutchison European Warrants Oct 2011	128,000,000	2011/03/30	2011/10/04	81.02
29883	Macquarie Bank Ltd. - KECL European Warrants Dec 2011	88,000,000	2011/03/30	2011/12/15	13.29
29884	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Oct 2011 A	200,000,000	2011/03/30	2011/10/03	62.00
29885	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Oct 2011 B	200,000,000	2011/03/30	2011/10/03	50.00
29886	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2011	200,000,000	2011/03/30	2011/10/03	50.00
29887	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Sep 2011 B	200,000,000	2011/03/30	2011/09/05	68.00
29888	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2011	200,000,000	2011/03/30	2011/10/03	62.00
29889	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Nov 2011	300,000,000	2011/03/30	2011/11/28	45.00
29890	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Oct 11 B	200,000,000	2011/03/30	2011/10/24	50.00
29892	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Oct 11	100,000,000	2011/03/30	2011/10/03	51.00
29900	SGA Societe Generale Acceptance N.V. -Henganintl Eu Wt Oct11	50,000,000	2011/03/30	2011/10/03	23.00
29901	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2011 D	500,000,000	2011/03/30	2011/10/03	125.00
29893	SGA Societe Generale Acceptance N.V. - HS Bk Eur Wt Oct 2011	50,000,000	2011/03/30	2011/10/03	14.00
29902	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2011	300,000,000	2011/03/30	2011/10/03	75.00
29899	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct2011	300,000,000	2011/03/30	2011/10/28	45.00
29894	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2011 A	300,000,000	2011/03/30	2011/09/29	45.00
29895	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2011 B	300,000,000	2011/03/30	2011/09/29	45.00
29896	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2011 A	300,000,000	2011/03/30	2011/10/28	75.00
29897	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2011 B	300,000,000	2011/03/30	2011/10/28	45.00
29898	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 11D	300,000,000	2011/03/30	2011/09/29	78.00
29903	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2011	200,000,000	2011/03/30	2011/10/03	76.00
29904	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar2012	500,000,000	2011/03/30	2012/03/14	75.00

主板　認股權證

股份代號	衍生權證	上市時之發行單位	上市日期	到期日	集資金額（百萬港元）
29891	SGA Societe Generale Acceptance N.V. -Yurun Food Eu Wt Oct11	100,000,000	2011/03/30	2011/10/03	26.00
29905	UBS AG - BOC HK European Warrants Aug 2011	200,000,000	2011/03/30	2011/08/22	30.00
29877	UBS AG - China Coal European Warrants Sep 2011	100,000,000	2011/03/30	2011/09/23	15.00
24595#	CC Rabobank B.A. - China Coal European Warrants Jun 2011 A	460,000,000	2011/03/30	2011/06/10	10.12
28319#	Credit Suisse AG - Tencent Holdings European Wts Jun 2011 G	300,000,000	2011/03/30	2011/06/20	40.50
29950	Credit Suisse AG - Tencent Holdings Euro Put Wts Sep 2011	300,000,000	2011/03/31	2011/09/05	45.00
29957	Deutsche Bank AG - Tencent Holdings European Wts Aug 2011 B	200,000,000	2011/03/31	2011/08/15	44.00
29956	KBC Fin Products Int'l Ltd. - CNBM European Put Wts Oct 2011	88,000,000	2011/03/31	2011/10/18	22.00
29955	KBC Fin Products Int'l Ltd. - Sinopharm Euro Wts Oct 2011	80,000,000	2011/03/31	2011/10/03	25.60
29949	Macquarie Bank Ltd. - China Coal European Warrants Mar 2012	250,000,000	2011/03/31	2012/03/02	62.50
29951	CC Rabobank B.A. - China Coal European Warrants Jan 2012	200,000,000	2011/03/31	2012/01/09	50.00
29952	CC Rabobank B.A. - CNBM European Warrants Oct 2011	150,000,000	2011/03/31	2011/10/03	37.50
29953	CC Rabobank B.A. - China Res Gas European Warrants May 2012	150,000,000	2011/03/31	2012/05/18	37.50
29954	CC Rabobank B.A. - United Lab European Warrants Nov 2011	100,000,000	2011/03/31	2011/11/29	25.00
23404#	Macquarie Bank Ltd. - China Coal European Warrants Jul 2011	350,000,000	2011/03/31	2011/07/05	11.20
28330#	Deutsche Bank AG - HSBC European Warrants Jul 2011 A	300,000,000	2011/03/31	2011/07/25	40.20
28150#	Goldman Sachs SP (Asia) - Hutchison European Wts May 2011 B	200,000,000	2011/03/31	2011/05/30	36.00
總額					**86,446.46**

進一步發行

主板　債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2010	第 1 季	–	0.21
	第 2 季	–	1.22
	第 3 季	–	0.05
	第 4 季	–	0.10
2011	第 1 季	–	1.96

債券面值

		發行數目	面值(百萬港元)
2010	第 1 季	160	410,829.55
	第 2 季	158	426,338.89
	第 3 季	160	440,113.92
	第 4 季	169	488,418.71
2011	第 1 季	170	506,219.59

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2010	第1季	9	30,996.63
	第2季	6	28,133.65
	第3季	7	21,025.02
	第4季	16	60,126.80
2011	第1季	7	24,958.35

主板　債務證券

新上市債券

2011年第1季

股份代號	債券	發行本金		上市日期	到期日	集資金額（百萬港元）
04505 #	Hongkong Electric Finance Ltd. 4.25% 票據2020	USD	250,000,000	2011/01/20	2020/12/14	1,877.64
04506	CNOOC Finance (2011) Ltd. 4.25% 有擔保票據2021	USD	1,500,000,000	2011/01/27	2021/01/26	11,535.03
04507	CNOOC Finance (2011) Ltd. 5.75% 有擔保票據2041	USD	500,000,000	2011/01/27	2041/01/26	3,878.47
04011	香港金融管理局0.58%外匯基金票據2013年	HKD	1,200,000,000	2011/02/17	2013/02/18	1,195.56
04012	香港金融管理局3.32%外匯基金票據2026年	HKD	600,000,000	2011/02/28	2026/02/25	623.82
04207	中華人民共和國香港特別行政區政府0.85%債券2013年	HKD	3,500,000,000	2011/03/04	2013/03/04	3,511.20
04508	特速集團有限公司15.0%非可換股債券2016	HKD	105,633,400	2011/03/15	2016/03/10	105.63
04188 #	香港金融管理局1.67%外匯基金票據2014年	HKD	1,200,000,000	2011/03/21	2014/03/24	1,223.40
04013	香港金融管理局1.91%外匯基金票據2016年	HKD	1,000,000,000	2011/03/22	2016/03/21	1,007.60
總額						**24,958.35**

主板　單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所買賣基金 (ETF) (百萬港元)	房地產投資信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場百份比 (百萬港元)
2010	第1季	108,553.03	9,219.80	147.40	117,920.23	3.01
	第2季	136,150.74	10,809.77	176.89	147,137.40	3.94
	第3季	137,121.96	10,860.01	182.56	148,164.53	3.77
	第4季	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	第1季	158,281.12	13,623.13	220.01	172,124.26	3.67

註：　1. 由2010年11月29日起成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所買賣基金 (ETF)		房地產投資信託基金 (REIT)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2010	第1季	61	501,813.45	7	76,649.30	2	2,915.77	70	581,378.53
	第2季	62	584,399.19	8	82,806.33	2	2,617.20	72	669,822.73
	第3季	66	647,219.69	8	95,248.94	2	2,972.33	76	745,440.96
	第4季	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	第1季	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59

新上市統計－單位信託及互惠基金

		新上市單位信託及互惠基金數目	集資金額(百萬港元)
2010	第1季	18	–
	第2季	2	–
	第3季	4	–
	第4季	3	–
2011	第1季	3	–

新上市單位信託及互惠基金

2011年第1季

股份代號	單位信託及互惠基金	基金經理	發行價（港元）	集資金額（百萬港元）	上市日期
02839	標智中證香港上市內地地產指數基金	BOCI-Prudential Asset Management Ltd.	n.a.	n.a.	2011/01/11
02817	標智中證香港上市內地消費指數基金	BOCI-Prudential Asset Management Ltd.	n.a.	n.a.	2011/01/11
02835	未來資產TIGER KOSPI 200指數ETF	Mirae Asset Global Investments (Hong Kong) Ltd.	n.a.	n.a.	2011/01/17

主板　可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值（百萬港元）	交易總額（百萬港元）
2010	第1季	1,331	60,652.40	290,147.62
	第2季	1,020	43,060.09	299,639.52
	第3季	974	40,872.16	351,106.38
	第4季	1,064	49,816.76	514,510.75
2011	第1季	974	43,399.41	367,097.05

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2010	第1季	1,886	103,866.53
	第2季	1,473	74,636.30
	第3季	1,369	73,903.10
	第4季	1,813	107,647.20
2011	第1季	1,449	80,829.55

主板　可收回牛熊證

新上市牛熊證

2011年第1季

股份代號	上市時之牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
66959	Credit Suisse AG - HSI R Bull CBBC May 2011 B	390,000,000	2011/01/03	2011/05/30	97.50
66966	UBS AG - HSI R Bull CBBC May 2011 T	200,000,000	2011/01/03	2011/05/30	50.00
66961	UBS AG - ICBC R Bull CBBC May 2011 A	100,000,000	2011/01/03	2011/05/30	25.00
66997	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 I	200,000,000	2011/01/04	2011/06/29	50.00
66998	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 J	200,000,000	2011/01/04	2011/06/29	50.00
66999	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 K	200,000,000	2011/01/04	2011/06/29	50.00
67000	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 L	200,000,000	2011/01/04	2011/06/29	54.00
67004	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 M	200,000,000	2011/01/04	2011/06/29	58.00
67005	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 N	200,000,000	2011/01/04	2011/06/29	62.00
67006	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 O	200,000,000	2011/01/04	2011/06/29	66.00
67007	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 P	200,000,000	2011/01/04	2011/06/29	70.00
67009	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 Q	200,000,000	2011/01/04	2011/06/29	74.00
67010	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 R	200,000,000	2011/01/04	2011/06/29	78.00
67011	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 S	200,000,000	2011/01/04	2011/06/29	82.00
66970	Credit Suisse AG - HSI R Bull CBBC Apr 2011 F	180,000,000	2011/01/04	2011/04/28	45.00
66976	Credit Suisse AG - HSI R Bear CBBC Apr 2011 W	390,000,000	2011/01/04	2011/04/28	97.50
66971	Deutsche Bank AG - HSI R Bull CBBC Apr 2011 F	300,000,000	2011/01/04	2011/04/28	75.00
66972	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 Q	300,000,000	2011/01/04	2011/04/28	75.00
66973	Deutsche Bank AG - HSI R Bear CBBC May 2011 Q	300,000,000	2011/01/04	2011/05/30	84.00
66977	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 I	128,000,000	2011/01/04	2011/05/30	32.00
66978	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Apr 2011 H	128,000,000	2011/01/04	2011/04/28	32.00
66979	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Apr 2011 V	128,000,000	2011/01/04	2011/04/28	39.68
66983	RB of Scotland N.V. - COVS R Bull CBBC Apr 2011 A	80,000,000	2011/01/04	2011/04/18	27.28
66987	RB of Scotland N.V. - HSBC R Bull CBBC Apr 2011 A	80,000,000	2011/01/04	2011/04/18	20.00
66989	RB of Scotland N.V. - HSBC R Bear CBBC Apr 2011 B	80,000,000	2011/01/04	2011/04/18	20.00
66992	RB of Scotland N.V. - TCH R Bull CBBC Apr 2011 A	80,000,000	2011/01/04	2011/04/18	23.92
66996	RB of Scotland N.V. - TCH R Bear CBBC Apr 2011 B	80,000,000	2011/01/04	2011/04/18	23.36
66981	UBS AG - CC Bank R Bull CBBC May 2011 A	100,000,000	2011/01/04	2011/05/23	25.00
66967	UBS AG - HSI R Bull CBBC Apr 2011 W	200,000,000	2011/01/04	2011/04/28	50.00
66982	UBS AG - HSI R Bear CBBC May 2011 R	200,000,000	2011/01/04	2011/05/30	50.00
64506#	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2011 D	100,000,000	2011/01/04	2011/08/30	12.30
67060	Credit Suisse AG - HKEx R Bear CBBC Apr 2011 B	100,000,000	2011/01/05	2011/04/18	25.00
67062	Credit Suisse AG - HSI R Bull CBBC Apr 2011 S	180,000,000	2011/01/05	2011/04/28	45.00
67057	Credit Suisse AG - HSI R Bull CBBC Jun 2011 X	300,000,000	2011/01/05	2011/06/29	75.00
67059	Credit Suisse AG - HSI R Bear CBBC Apr 2011 T	300,000,000	2011/01/05	2011/04/28	75.00
67061	Credit Suisse AG - TCH R Bear CBBC Apr 2011 B	100,000,000	2011/01/05	2011/04/18	25.00
67067	Deutsche Bank AG - HSI R Bull CBBC Apr 2011 S	300,000,000	2011/01/05	2011/04/28	75.00
67053	Deutsche Bank AG - HSI R Bull CBBC Apr 2011 U	300,000,000	2011/01/05	2011/04/28	75.00
67055	Deutsche Bank AG - HSI R Bull CBBC May 2011 T	300,000,000	2011/01/05	2011/05/30	75.00
67068	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Q	300,000,000	2011/01/05	2011/07/28	81.00
67066	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2011 W	128,000,000	2011/01/05	2011/04/28	32.00
67071	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Apr 2011 T	128,000,000	2011/01/05	2011/04/28	32.00

主板　可收回牛熊證

股份代號	上市時之牛熊證	集資金額發行單位	上市日期	到期日	(百萬港元)
67018	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr11A	300,000,000	2011/01/05	2011/04/28	75.00
67012	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr11W	300,000,000	2011/01/05	2011/04/28	75.00
67013	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr11X	300,000,000	2011/01/05	2011/04/28	75.00
67014	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr11Y	300,000,000	2011/01/05	2011/04/28	75.00
67015	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr11Z	300,000,000	2011/01/05	2011/04/28	75.00
67022	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May11Z	300,000,000	2011/01/05	2011/05/30	75.00
67023	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11M	300,000,000	2011/01/05	2011/06/29	75.00
67024	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11N	300,000,000	2011/01/05	2011/06/29	75.00
67025	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11O	300,000,000	2011/01/05	2011/06/29	75.00
67026	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11P	300,000,000	2011/01/05	2011/06/29	75.00
67027	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11A	300,000,000	2011/01/05	2011/07/28	76.50
67028	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11B	300,000,000	2011/01/05	2011/07/28	75.00
67029	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11C	300,000,000	2011/01/05	2011/07/28	75.00
67030	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11D	300,000,000	2011/01/05	2011/07/28	75.00
67031	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11E	300,000,000	2011/01/05	2011/07/28	75.00
67032	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11Q	300,000,000	2011/01/05	2011/06/29	75.00
67033	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11R	300,000,000	2011/01/05	2011/06/29	75.00
67034	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11S	300,000,000	2011/01/05	2011/06/29	75.00
67035	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11T	300,000,000	2011/01/05	2011/06/29	75.00
67036	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11U	300,000,000	2011/01/05	2011/06/29	75.00
67038	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11V	300,000,000	2011/01/05	2011/06/29	75.00
67039	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11W	300,000,000	2011/01/05	2011/06/29	75.00
67040	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11X	300,000,000	2011/01/05	2011/06/29	75.00
67041	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11Y	300,000,000	2011/01/05	2011/06/29	75.00
67043	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11Z	300,000,000	2011/01/05	2011/06/29	75.00
67046	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11F	300,000,000	2011/01/05	2011/07/28	75.00
67047	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11G	300,000,000	2011/01/05	2011/07/28	75.00
67048	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11H	300,000,000	2011/01/05	2011/07/28	78.00
67050	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11I	300,000,000	2011/01/05	2011/07/28	82.50
67065	UBS AG - HSI R Bull CBBC May 2011 Y	200,000,000	2011/01/05	2011/05/30	50.00
67051	UBS AG - HSI R Bear CBBC Apr 2011 L	200,000,000	2011/01/05	2011/04/28	50.00
67102	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 R	200,000,000	2011/01/06	2011/12/29	50.00
67103	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 S	200,000,000	2011/01/06	2011/12/29	50.00
67069	Credit Suisse AG - HSI R Bear CBBC Apr 2011 M	390,000,000	2011/01/06	2011/04/28	97.50
67072	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 A	300,000,000	2011/01/06	2011/04/28	75.00
67083	RB of Scotland N.V. - China Mobile R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/18	20.00
67085	RB of Scotland N.V. - China Mobile R Bear CBBC Apr 2011 B	80,000,000	2011/01/06	2011/04/18	20.00
67089	RB of Scotland N.V. - China Coal R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/28	20.00
67090	RB of Scotland N.V. - CM Bank R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/28	31.20
67092	RB of Scotland N.V. - Esprit R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/27	20.00
67093	RB of Scotland N.V. - Li & Fung R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/27	20.00
67094	RB of Scotland N.V. - Ping An R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/06	20.00
67095	RB of Scotland N.V. - SHK Ppt R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/27	20.00
67096	RB of Scotland N.V. - Yanzhou Coal R Bull CBBC Apr 2011 A	80,000,000	2011/01/06	2011/04/29	52.64
67078	UBS AG - HSI R Bull CBBC May 2011 Z	200,000,000	2011/01/06	2011/05/30	50.00

主板　可收回牛熊證

股份代號	上市時之牛熊證	集資金額發行單位	上市日期	到期日	（百萬港元）
67073	UBS AG - HSI R Bull CBBC Jun 2011 H	200,000,000	2011/01/06	2011/06/29	50.00
67074	UBS AG - HSI R Bull CBBC Jun 2011 I	200,000,000	2011/01/06	2011/06/29	50.00
67080	UBS AG - HSI R Bull CBBC Jul 2011 B	200,000,000	2011/01/06	2011/07/28	50.00
67081	UBS AG - HSI R Bear CBBC Jun 2011 J	200,000,000	2011/01/06	2011/06/29	50.00
67082	UBS AG - HSI R Bear CBBC Jun 2011 K	200,000,000	2011/01/06	2011/06/29	50.00
67105	Credit Suisse AG - HSI R Bull CBBC Apr 2011 A	180,000,000	2011/01/07	2011/04/28	45.00
67114	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 B	200,000,000	2011/01/07	2011/04/28	50.00
67109	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11J	300,000,000	2011/01/07	2011/07/28	75.00
67110	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11K	300,000,000	2011/01/07	2011/07/28	75.00
67111	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11L	300,000,000	2011/01/07	2011/07/28	75.00
67112	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11M	300,000,000	2011/01/07	2011/07/28	75.00
67113	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11N	300,000,000	2011/01/07	2011/07/28	75.00
67104	UBS AG - HSI R Bear CBBC May 2011 B	200,000,000	2011/01/07	2011/05/30	50.00
67138	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 L	200,000,000	2011/01/10	2011/09/29	50.00
67139	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 M	200,000,000	2011/01/10	2011/09/29	50.00
67141	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 Y	200,000,000	2011/01/10	2011/10/28	50.00
67142	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2011 S	200,000,000	2011/01/10	2011/11/29	50.00
67122	Credit Suisse AG - HSI R Bull CBBC May 2011 S	180,000,000	2011/01/10	2011/05/30	45.00
67128	Credit Suisse AG - HSI R Bull CBBC May 2011 T	390,000,000	2011/01/10	2011/05/30	97.50
67129	Credit Suisse AG - HSI R Bull CBBC Jun 2011 Y	390,000,000	2011/01/10	2011/06/29	97.50
67115	Credit Suisse AG - HSI R Bear CBBC Apr 2011 N	180,000,000	2011/01/10	2011/04/28	45.00
67118	Credit Suisse AG - HSI R Bear CBBC May 2011 Q	300,000,000	2011/01/10	2011/05/30	75.00
67130	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 R	300,000,000	2011/01/10	2011/07/28	81.00
67136	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 S	300,000,000	2011/01/10	2011/07/28	75.00
67143	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 T	300,000,000	2011/01/10	2011/07/28	78.00
67137	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 R	300,000,000	2011/01/10	2011/08/30	87.00
67121	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 M	300,000,000	2011/01/10	2011/04/28	75.00
67127	Deutsche Bank AG - HSI R Bear CBBC May 2011 U	300,000,000	2011/01/10	2011/05/30	81.00
67162	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2011 Z	128,000,000	2011/01/10	2011/04/28	32.00
67163	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 J	128,000,000	2011/01/10	2011/05/30	32.00
67164	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 K	158,000,000	2011/01/10	2011/05/30	39.50
67165	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 L	128,000,000	2011/01/10	2011/05/30	32.00
67166	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 A	158,000,000	2011/01/10	2011/06/29	39.50
67144	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 A	250,000,000	2011/01/10	2011/04/28	62.50
67145	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 B	250,000,000	2011/01/10	2011/04/28	62.50
67146	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 C	250,000,000	2011/01/10	2011/04/28	62.50
67147	UBS AG - China Life R Bull CBBC May 2011 B	100,000,000	2011/01/10	2011/05/30	25.00
67167	UBS AG - HSI R Bull CBBC May 2011 F	200,000,000	2011/01/10	2011/05/30	50.00
67169	UBS AG - HSI R Bull CBBC Jun 2011 N	200,000,000	2011/01/10	2011/06/29	50.00
67148	UBS AG - HSI R Bear CBBC May 2011 O	200,000,000	2011/01/10	2011/05/30	50.00
67149	UBS AG - HSI R Bear CBBC May 2011 P	200,000,000	2011/01/10	2011/05/30	50.00
67120	UBS AG - HSI R Bear CBBC Jun 2011 L	200,000,000	2011/01/10	2011/06/29	50.00
67157	UBS AG - HSI R Bear CBBC Jun 2011 M	200,000,000	2011/01/10	2011/06/29	50.00
67236	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 Z	200,000,000	2011/01/11	2011/10/28	50.00
67237	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2011 T	200,000,000	2011/01/11	2011/11/29	50.00

主板　可收回牛熊證

股份代號	上市時之牛熊證	集資金額發行單位	上市日期	到期日	(百萬港元)
67268	Credit Suisse AG - A50 China R Bull CBBC May 2011 B	100,000,000	2011/01/11	2011/05/16	25.00
67269	Credit Suisse AG - A50 China R Bull CBBC May 2011 C	100,000,000	2011/01/11	2011/05/30	25.00
67266	Credit Suisse AG - HKEx R Bull CBBC May 2011 B	100,000,000	2011/01/11	2011/05/16	25.00
67188	Credit Suisse AG - HSI R Bull CBBC May 2011 W	210,000,000	2011/01/11	2011/05/30	52.50
67206	Credit Suisse AG - HSI R Bull CBBC May 2011 X	210,000,000	2011/01/11	2011/05/30	52.50
67191	Credit Suisse AG - HSI R Bull CBBC Jun 2011 H	210,000,000	2011/01/11	2011/06/29	52.50
67190	Credit Suisse AG - HSI R Bull CBBC Jun 2011 Z	210,000,000	2011/01/11	2011/06/29	52.50
67201	Credit Suisse AG - HSI R Bull CBBC Jul 2011 F	210,000,000	2011/01/11	2011/07/28	52.50
67204	Credit Suisse AG - HSI R Bull CBBC Jul 2011 H	210,000,000	2011/01/11	2011/07/28	52.50
67189	Credit Suisse AG - HSI R Bull CBBC Jul 2011 M	210,000,000	2011/01/11	2011/07/28	73.50
67193	Credit Suisse AG - HSI R Bull CBBC Jul 2011 N	210,000,000	2011/01/11	2011/07/28	66.15
67207	Credit Suisse AG - HSI R Bull CBBC Aug 2011 A	210,000,000	2011/01/11	2011/08/30	52.50
67211	Credit Suisse AG - HSI R Bull CBBC Aug 2011 B	210,000,000	2011/01/11	2011/08/30	52.50
67212	Credit Suisse AG - HSI R Bull CBBC Aug 2011 C	210,000,000	2011/01/11	2011/08/30	52.50
67213	Credit Suisse AG - HSI R Bull CBBC Aug 2011 D	210,000,000	2011/01/11	2011/08/30	52.50
67203	Credit Suisse AG - HSI R Bull CBBC Aug 2011 E	210,000,000	2011/01/11	2011/08/30	52.50
67215	Credit Suisse AG - HSI R Bear CBBC Apr 2011 B	210,000,000	2011/01/11	2011/04/28	52.50
67228	Credit Suisse AG - HSI R Bear CBBC Apr 2011 J	210,000,000	2011/01/11	2011/04/28	52.50
67214	Credit Suisse AG - HSI R Bear CBBC Apr 2011 L	210,000,000	2011/01/11	2011/04/28	52.50
67216	Credit Suisse AG - HSI R Bear CBBC May 2011 A	210,000,000	2011/01/11	2011/05/30	52.50
67220	Credit Suisse AG - HSI R Bear CBBC May 2011 U	210,000,000	2011/01/11	2011/05/30	52.50
67221	Credit Suisse AG - HSI R Bear CBBC May 2011 V	210,000,000	2011/01/11	2011/05/30	52.50
67222	Credit Suisse AG - HSI R Bear CBBC Jun 2011 G	210,000,000	2011/01/11	2011/06/29	52.50
67227	Credit Suisse AG - HSI R Bear CBBC Jun 2011 I	210,000,000	2011/01/11	2011/06/29	52.50
67226	Credit Suisse AG - HSI R Bear CBBC Jun 2011 J	210,000,000	2011/01/11	2011/06/29	52.50
67223	Credit Suisse AG - HSI R Bear CBBC Jun 2011 K	210,000,000	2011/01/11	2011/06/29	52.50
67229	Credit Suisse AG - HSI R Bear CBBC Jul 2011 K	210,000,000	2011/01/11	2011/07/28	52.50
67230	Credit Suisse AG - HSI R Bear CBBC Jul 2011 L	210,000,000	2011/01/11	2011/07/28	52.50
67205	Credit Suisse AG - HSI R Bear CBBC Jul 2011 O	210,000,000	2011/01/11	2011/07/28	52.50
67238	Credit Suisse AG - HSI R Bear CBBC Jul 2011 P	210,000,000	2011/01/11	2011/07/28	67.20
67241	Credit Suisse AG - HSI R Bear CBBC Aug 2011 F	210,000,000	2011/01/11	2011/08/30	71.40
67242	Credit Suisse AG - HSI R Bear CBBC Aug 2011 G	210,000,000	2011/01/11	2011/08/30	61.95
67261	Credit Suisse AG - Hutchison R Bull CBBC Jun 2011 A	100,000,000	2011/01/11	2011/06/07	25.00
67262	Credit Suisse AG - Hutchison R Bull CBBC Jun 2011 B	100,000,000	2011/01/11	2011/06/07	25.00
67257	Credit Suisse AG - Hutchison R Bear CBBC May 2011 A	100,000,000	2011/01/11	2011/05/09	25.00
67267	Credit Suisse AG - TCH R Bull CBBC May 2011 B	100,000,000	2011/01/11	2011/05/30	25.00
67244	Deutsche Bank AG - A50 China R Bull CBBC Apr 2011 E	80,000,000	2011/01/11	2011/04/20	20.00
67243	Deutsche Bank AG - HSI R Bull CBBC May 2011 S	300,000,000	2011/01/11	2011/05/30	78.00
67232	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 U	300,000,000	2011/01/11	2011/06/29	75.00
67233	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 V	300,000,000	2011/01/11	2011/06/29	84.00
67231	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 L	300,000,000	2011/01/11	2011/04/28	75.00
67172	HK Bank - HSI R Bull CBBC Jul 2011 C	200,000,000	2011/01/11	2011/07/28	50.00
67173	HK Bank - HSI R Bull CBBC Jul 2011 D	200,000,000	2011/01/11	2011/07/28	50.00
67245	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 C	200,000,000	2011/01/11	2011/04/28	50.00
67246	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 D	200,000,000	2011/01/11	2011/04/28	50.00

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67247	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 E	200,000,000	2011/01/11	2011/04/28	50.00
67248	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 F	200,000,000	2011/01/11	2011/04/28	50.00
67249	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 G	200,000,000	2011/01/11	2011/04/28	50.00
67250	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 H	200,000,000	2011/01/11	2011/04/28	50.00
67251	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 I	200,000,000	2011/01/11	2011/04/28	50.00
67252	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 J	200,000,000	2011/01/11	2011/04/28	50.00
67253	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 K	200,000,000	2011/01/11	2011/04/28	50.00
67256	J P Morgan SP BV - HSI R Bear CBBC Apr 2011 L	200,000,000	2011/01/11	2011/04/28	50.00
67170	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2011 E	100,000,000	2011/01/11	2011/04/28	15.00
67176	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2011 F	100,000,000	2011/01/11	2011/04/28	15.00
67180	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2011 G	100,000,000	2011/01/11	2011/04/28	25.00
67171	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC May 2011 B	100,000,000	2011/01/11	2011/05/30	18.60
67234	UBS AG - HSI R Bull CBBC Apr 2011 M	200,000,000	2011/01/11	2011/04/28	50.00
67235	UBS AG - HSI R Bull CBBC May 2011 R	200,000,000	2011/01/11	2011/05/30	50.00
67187	UBS AG - HSI R Bear CBBC Jun 2011 O	250,000,000	2011/01/11	2011/06/29	62.50
67305	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 N	200,000,000	2011/01/12	2011/09/29	50.00
67306	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 O	200,000,000	2011/01/12	2011/09/29	50.00
67290	Credit Suisse AG - HSI R Bull CBBC Jun 2011 L	210,000,000	2011/01/12	2011/06/29	52.50
67343	Credit Suisse AG - HSI R Bull CBBC Jun 2011 M	210,000,000	2011/01/12	2011/06/29	52.50
67291	Credit Suisse AG - HSI R Bull CBBC Jul 2011 Q	210,000,000	2011/01/12	2011/07/28	52.50
67293	Credit Suisse AG - HSI R Bull CBBC Jul 2011 R	210,000,000	2011/01/12	2011/07/28	52.50
67294	Credit Suisse AG - HSI R Bull CBBC Aug 2011 H	210,000,000	2011/01/12	2011/08/30	52.50
67295	Credit Suisse AG - HSI R Bull CBBC Aug 2011 I	210,000,000	2011/01/12	2011/08/30	52.50
67296	Credit Suisse AG - HSI R Bear CBBC May 2011 R	210,000,000	2011/01/12	2011/05/30	52.50
67297	Credit Suisse AG - HSI R Bear CBBC May 2011 Z	210,000,000	2011/01/12	2011/05/30	52.50
67298	Credit Suisse AG - HSI R Bear CBBC Jun 2011 N	210,000,000	2011/01/12	2011/06/29	52.50
67300	Credit Suisse AG - HSI R Bear CBBC Jun 2011 O	210,000,000	2011/01/12	2011/06/29	52.50
67301	Credit Suisse AG - HSI R Bear CBBC Jul 2011 S	210,000,000	2011/01/12	2011/07/28	52.50
67302	Credit Suisse AG - HSI R Bear CBBC Jul 2011 T	210,000,000	2011/01/12	2011/07/28	52.50
67303	Credit Suisse AG - HSI R Bear CBBC Jul 2011 U	210,000,000	2011/01/12	2011/07/28	52.50
67327	Deutsche Bank AG - DJIA R Bull CBBC Sep 2011 A	80,000,000	2011/01/12	2011/09/19	20.00
67328	Deutsche Bank AG - DJIA R Bear CBBC Sep 2011 B	80,000,000	2011/01/12	2011/09/19	20.00
67337	Deutsche Bank AG - A50 China R Bull CBBC Apr 2011 F	80,000,000	2011/01/12	2011/04/28	21.60
67339	Deutsche Bank AG - A50 China R Bull CBBC Apr 2011 G	80,000,000	2011/01/12	2011/04/27	20.00
67340	Deutsche Bank AG - A50 China R Bull CBBC Apr 2011 H	80,000,000	2011/01/12	2011/04/19	20.80
67341	Deutsche Bank AG - A50 China R Bear CBBC Apr 2011 I	80,000,000	2011/01/12	2011/04/28	20.00
67342	Deutsche Bank AG - A50 China R Bear CBBC Apr 2011 J	80,000,000	2011/01/12	2011/04/29	22.40
67346	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 W	300,000,000	2011/01/12	2011/06/29	75.00
67331	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 G	300,000,000	2011/01/12	2011/04/28	75.00
67330	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 R	300,000,000	2011/01/12	2011/04/28	90.00
67329	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 T	300,000,000	2011/01/12	2011/04/28	75.00
67332	Deutsche Bank AG - HSI R Bear CBBC May 2011 X	300,000,000	2011/01/12	2011/05/30	102.00
67333	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/01/12	2011/09/29	132.00
67334	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/01/12	2011/09/29	147.00
67335	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 F	300,000,000	2011/01/12	2011/10/28	105.00

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67336	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 G	300,000,000	2011/01/12	2011/10/28	165.00
67270	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2011 B	200,000,000	2011/01/12	2011/04/28	50.00
67272	Macquarie Bank Ltd. - HSI R Bull CBBC May 2011 A	200,000,000	2011/01/12	2011/05/30	50.00
67286	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11U	300,000,000	2011/01/12	2011/06/29	75.00
67284	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11V	300,000,000	2011/01/12	2011/06/29	75.00
67283	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11W	300,000,000	2011/01/12	2011/06/29	75.00
67277	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11X	300,000,000	2011/01/12	2011/06/29	75.00
67274	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Y	300,000,000	2011/01/12	2011/06/29	75.00
67273	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Z	300,000,000	2011/01/12	2011/06/29	75.00
67289	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11R	300,000,000	2011/01/12	2011/06/29	75.00
67288	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11S	300,000,000	2011/01/12	2011/06/29	75.00
67287	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11T	300,000,000	2011/01/12	2011/06/29	75.00
67344	UBS AG - HSI R Bull CBBC Jun 2011 S	250,000,000	2011/01/12	2011/06/29	62.50
67345	UBS AG - HSI R Bull CBBC Jul 2011 E	250,000,000	2011/01/12	2011/07/28	62.50
67307	UBS AG - HSI R Bear CBBC Apr 2011 K	200,000,000	2011/01/12	2011/04/28	50.00
67308	UBS AG - HSI R Bear CBBC May 2011 S	200,000,000	2011/01/12	2011/05/30	50.00
67320	UBS AG - HSI R Bear CBBC Jun 2011 P	200,000,000	2011/01/12	2011/06/29	50.00
67321	UBS AG - HSI R Bear CBBC Jun 2011 Q	200,000,000	2011/01/12	2011/06/29	50.00
67323	UBS AG - HSI R Bear CBBC Jun 2011 R	200,000,000	2011/01/12	2011/06/29	50.00
67325	UBS AG - HSI R Bear CBBC Jul 2011 C	250,000,000	2011/01/12	2011/07/28	62.50
67326	UBS AG - HSI R Bear CBBC Jul 2011 D	250,000,000	2011/01/12	2011/07/28	62.50
67352	Credit Suisse AG - HSI R Bull CBBC Jul 2011 V	210,000,000	2011/01/13	2011/07/28	52.50
67349	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 K	300,000,000	2011/01/13	2011/04/28	78.00
67350	Deutsche Bank AG - HSI R Bear CBBC May 2011 W	300,000,000	2011/01/13	2011/05/30	75.00
67348	HK Bank - HSI R Bull CBBC Jun 2011 M	200,000,000	2011/01/13	2011/06/29	50.00
67369	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 M	128,000,000	2011/01/13	2011/05/30	32.00
67370	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 N	128,000,000	2011/01/13	2011/05/30	32.00
67371	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 B	128,000,000	2011/01/13	2011/06/29	32.00
67347	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2011 A	100,000,000	2011/01/13	2011/07/28	25.00
67353	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Q	300,000,000	2011/01/13	2011/06/29	75.00
67354	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11O	300,000,000	2011/01/13	2011/07/28	75.00
67355	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11P	300,000,000	2011/01/13	2011/07/28	75.00
67356	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11Q	300,000,000	2011/01/13	2011/07/28	75.00
67357	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11R	300,000,000	2011/01/13	2011/07/28	75.00
67360	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11S	300,000,000	2011/01/13	2011/07/28	75.00
67361	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11T	300,000,000	2011/01/13	2011/07/28	75.00
67362	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11U	300,000,000	2011/01/13	2011/07/28	76.50
67363	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11V	300,000,000	2011/01/13	2011/07/28	81.00
67364	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11W	300,000,000	2011/01/13	2011/07/28	85.50
67372	UBS AG - BYD Company R Bull CBBC Jul 2011 A	100,000,000	2011/01/13	2011/07/04	25.00
67374	UBS AG - CC Bank R Bull CBBC Jul 2011 A	100,000,000	2011/01/13	2011/07/11	25.00
67373	UBS AG - Cheung Kong R Bull CBBC Jun 2011 A	100,000,000	2011/01/13	2011/06/20	25.00
67380	UBS AG - A50 China R Bull CBBC Jun 2011 A	100,000,000	2011/01/13	2011/06/13	25.00
67365	UBS AG - HSI R Bull CBBC May 2011 X	250,000,000	2011/01/13	2011/05/30	62.50
67366	UBS AG - HSI R Bull CBBC Aug 2011 A	300,000,000	2011/01/13	2011/08/30	75.00

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67368	UBS AG - HSI R Bear CBBC Jun 2011 T	250,000,000	2011/01/13	2011/06/29	62.50
67375	UBS AG - Hutchison R Bull CBBC Jun 2011 A	100,000,000	2011/01/13	2011/06/20	25.00
67376	UBS AG - Petrochina R Bull CBBC Jul 2011 A	100,000,000	2011/01/13	2011/07/11	25.00
67377	UBS AG - Ping An R Bull CBBC Jul 2011 A	100,000,000	2011/01/13	2011/07/04	25.00
67379	UBS AG - TCH R Bull CBBC Jul 2011 A	100,000,000	2011/01/13	2011/07/04	25.00
66955#	Credit Suisse AG - HSI R Bull CBBC Jun 2011 W	300,000,000	2011/01/13	2011/06/29	33.90
67392	Credit Suisse AG - HSI R Bull CBBC Jul 2011 W	210,000,000	2011/01/14	2011/07/28	52.50
67386	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 A	400,000,000	2011/01/14	2011/11/29	100.00
67387	HK Bank - Sinopec Corp R Bull CBBC Jun 2011 D	300,000,000	2011/01/14	2011/06/28	75.00
67388	HK Bank - Sinopec Corp R Bull CBBC Aug 2011 A	300,000,000	2011/01/14	2011/08/12	75.00
67391	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2011 A	150,000,000	2011/01/14	2011/06/29	37.50
67394	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 D	250,000,000	2011/01/14	2011/04/28	62.50
67395	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 E	250,000,000	2011/01/14	2011/04/28	62.50
67396	RB of Scotland N.V. - HSI R Bear CBBC Apr 2011 F	250,000,000	2011/01/14	2011/04/28	62.50
67397	RB of Scotland N.V. - HSI R Bear CBBC Apr 2011 G	250,000,000	2011/01/14	2011/04/28	62.50
67385	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11F	300,000,000	2011/01/14	2011/07/28	100.50
67382	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11X	300,000,000	2011/01/14	2011/07/28	88.50
67383	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11Y	300,000,000	2011/01/14	2011/07/28	93.00
67384	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11Z	300,000,000	2011/01/14	2011/07/28	97.50
67393	UBS AG - HSI R Bull CBBC May 2011 U	250,000,000	2011/01/14	2011/05/30	62.50
64559#	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2011 O	120,000,000	2011/01/14	2011/02/25	30.00
67407	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 T	200,000,000	2011/01/17	2011/06/29	50.00
67411	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 U	200,000,000	2011/01/17	2011/06/29	50.00
67413	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 V	200,000,000	2011/01/17	2011/06/29	50.00
67425	Credit Suisse AG - HSI R Bear CBBC Apr 2011 C	210,000,000	2011/01/17	2011/04/28	52.50
67408	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 Q	300,000,000	2011/01/17	2011/04/28	75.00
67409	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 O	128,000,000	2011/01/17	2011/05/30	32.00
67410	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 P	128,000,000	2011/01/17	2011/05/30	32.00
67400	SGA Societe Generale Acceptance N.V - CK(H) R Bull CBBC May11H	100,000,000	2011/01/17	2011/05/30	25.00
67401	SGA Societe Generale Acceptance N.V - CLife R Bull CBBC May11F	100,000,000	2011/01/17	2011/05/30	57.00
67402	SGA Societe Generale Acceptance N.V - CLife R Bull CBBC May11G	100,000,000	2011/01/17	2011/05/30	47.00
67403	SGA Societe Generale Acceptance N.V - CLife R Bear CBBC May11H	100,000,000	2011/01/17	2011/05/30	37.00
67404	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC May11E	150,000,000	2011/01/17	2011/05/30	37.50
67398	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/01/17	2011/08/30	75.00
67399	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/01/17	2011/08/30	75.00
67405	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC May11F	150,000,000	2011/01/17	2011/05/30	43.50
67406	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC May11G	150,000,000	2011/01/17	2011/05/30	37.50
67414	UBS AG - China Life R Bull CBBC Jun 2011 A	100,000,000	2011/01/17	2011/06/13	62.00
67415	UBS AG - Sinopec Corp R Bull CBBC Jun 2011 A	100,000,000	2011/01/17	2011/06/27	25.00
67421	UBS AG - A50 China R Bull CBBC Jun 2011 B	100,000,000	2011/01/17	2011/06/27	25.00
67416	UBS AG - HKEx R Bull CBBC Jun 2011 A	100,000,000	2011/01/17	2011/06/20	29.50
67419	UBS AG - HSBC R Bear CBBC Jul 2011 A	100,000,000	2011/01/17	2011/07/11	25.00
67423	UBS AG - HSI R Bull CBBC Aug 2011 B	250,000,000	2011/01/17	2011/08/30	62.50
67424	UBS AG - HSI R Bear CBBC May 2011 B	250,000,000	2011/01/17	2011/05/30	62.50
67417	UBS AG - Hutchison R Bull CBBC Jun 2011 B	100,000,000	2011/01/17	2011/06/27	25.00

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67420	UBS AG - Hutchison R Bear CBBC Jul 2011 A	100,000,000	2011/01/17	2011/07/11	25.00
67418	UBS AG - TCH R Bull CBBC Jul 2011 B	100,000,000	2011/01/17	2011/07/18	28.00
67442	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 T	200,000,000	2011/01/18	2011/12/29	50.00
67431	Credit Suisse AG - HSI R Bull CBBC May 2011 Y	210,000,000	2011/01/18	2011/05/30	52.50
67426	Credit Suisse AG - HSI R Bear CBBC Apr 2011 P	210,000,000	2011/01/18	2011/04/28	52.50
67427	Deutsche Bank AG - China Mobile R Bear CBBC Jun 2011 A	80,000,000	2011/01/18	2011/06/14	20.00
67428	Deutsche Bank AG - China Mobile R Bear CBBC Jun 2011 B	80,000,000	2011/01/18	2011/06/21	20.80
67441	Deutsche Bank AG - China Life R Bull CBBC Jun 2011 A	80,000,000	2011/01/18	2011/06/14	20.00
67430	Deutsche Bank AG - HSBC R Bear CBBC Jun 2011 A	80,000,000	2011/01/18	2011/06/14	20.00
67429	Deutsche Bank AG - HSI R Bull CBBC May 2011 Y	300,000,000	2011/01/18	2011/05/30	75.00
67434	UBS AG - HSI R Bull CBBC Apr 2011 L	300,000,000	2011/01/18	2011/04/28	75.00
67437	UBS AG - HSI R Bear CBBC Apr 2011 F	300,000,000	2011/01/18	2011/04/28	75.00
67438	UBS AG - HSI R Bear CBBC May 2011 O	300,000,000	2011/01/18	2011/05/30	75.00
67487	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 U	200,000,000	2011/01/19	2011/12/29	50.00
67488	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 V	200,000,000	2011/01/19	2011/12/29	50.00
67489	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/01/19	2011/12/29	50.00
67505	Credit Suisse AG - HSI R Bull CBBC Jun 2011 V	210,000,000	2011/01/19	2011/06/29	52.50
67507	Credit Suisse AG - HSI R Bull CBBC Jul 2011 Z	210,000,000	2011/01/19	2011/07/28	52.50
67500	Credit Suisse AG - HSI R Bear CBBC Apr 2011 W	210,000,000	2011/01/19	2011/04/28	52.50
67446	Credit Suisse AG - HSI R Bear CBBC Apr 2011 X	210,000,000	2011/01/19	2011/04/28	52.50
67453	Credit Suisse AG - HSI R Bear CBBC May 2011 M	210,000,000	2011/01/19	2011/05/30	52.50
67454	Credit Suisse AG - HSI R Bear CBBC May 2011 P	210,000,000	2011/01/19	2011/05/30	52.50
67455	Credit Suisse AG - HSI R Bear CBBC Jun 2011 P	210,000,000	2011/01/19	2011/06/29	52.50
67456	Credit Suisse AG - HSI R Bear CBBC Jun 2011 Q	210,000,000	2011/01/19	2011/06/29	52.50
67501	Credit Suisse AG - HSI R Bear CBBC Jun 2011 U	210,000,000	2011/01/19	2011/06/29	52.50
67465	Credit Suisse AG - HSI R Bear CBBC Jul 2011 X	210,000,000	2011/01/19	2011/07/28	52.50
67466	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Y	210,000,000	2011/01/19	2011/07/28	52.50
67491	Deutsche Bank AG - HSCEI R Bull CBBC Jun 2011 A	150,000,000	2011/01/19	2011/06/29	46.50
67492	Deutsche Bank AG - HSCEI R Bull CBBC Jun 2011 B	150,000,000	2011/01/19	2011/06/29	37.50
67494	Deutsche Bank AG - HSCEI R Bear CBBC Apr 2011 E	150,000,000	2011/01/19	2011/04/28	45.00
67499	Deutsche Bank AG - HSCEI R Bear CBBC May 2011 B	150,000,000	2011/01/19	2011/05/30	60.00
67467	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 X	300,000,000	2011/01/19	2011/06/29	87.00
67471	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 Y	300,000,000	2011/01/19	2011/06/29	75.00
67490	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 U	300,000,000	2011/01/19	2011/07/28	75.00
67478	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 N	300,000,000	2011/01/19	2011/04/28	75.00
67476	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 P	300,000,000	2011/01/19	2011/04/28	75.00
67484	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 M	200,000,000	2011/01/19	2011/04/28	50.00
67485	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 N	200,000,000	2011/01/19	2011/04/28	50.00
67486	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 O	200,000,000	2011/01/19	2011/04/28	50.00
67479	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 Q	128,000,000	2011/01/19	2011/05/30	32.00
67472	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11G	300,000,000	2011/01/19	2011/07/28	75.00
67474	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11H	300,000,000	2011/01/19	2011/07/28	75.00
67443	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11C	300,000,000	2011/01/19	2011/08/30	111.00
67444	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11D	300,000,000	2011/01/19	2011/08/30	114.00
67445	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11E	300,000,000	2011/01/19	2011/08/30	118.50

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67475	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11F	300,000,000	2011/01/19	2011/08/30	75.00
67481	UBS AG - HKEx R Bull CBBC Jun 2011 B	100,000,000	2011/01/19	2011/06/27	25.00
67482	UBS AG - HSBC R Bull CBBC Jun 2011 A	100,000,000	2011/01/19	2011/06/20	25.00
67483	UBS AG - HSI R Bull CBBC Apr 2011 K	300,000,000	2011/01/19	2011/04/28	75.00
67477	UBS AG - HSI R Bear CBBC Apr 2011 M	300,000,000	2011/01/19	2011/04/28	75.00
67575	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 X	200,000,000	2011/01/20	2011/12/29	50.00
67533	Credit Suisse AG - HSI R Bull CBBC May 2011 D	210,000,000	2011/01/20	2011/05/30	52.50
67583	Credit Suisse AG - HSI R Bull CBBC Jun 2011 N	210,000,000	2011/01/20	2011/06/29	52.50
67521	Credit Suisse AG - HSI R Bear CBBC Apr 2011 U	210,000,000	2011/01/20	2011/04/28	52.50
67571	Credit Suisse AG - HSI R Bear CBBC May 2011 Q	210,000,000	2011/01/20	2011/05/30	52.50
67572	Credit Suisse AG - HSI R Bear CBBC Jun 2011 G	210,000,000	2011/01/20	2011/06/29	52.50
67513	Deutsche Bank AG - China Life R Bear CBBC Jun 2011 B	100,000,000	2011/01/20	2011/06/28	48.00
67514	Deutsche Bank AG - HSBC R Bull CBBC Jun 2011 B	100,000,000	2011/01/20	2011/06/21	25.00
67515	Deutsche Bank AG - HSBC R Bull CBBC Jun 2011 C	100,000,000	2011/01/20	2011/06/14	26.00
67516	Deutsche Bank AG - HSBC R Bear CBBC Jun 2011 D	100,000,000	2011/01/20	2011/06/21	28.00
67517	Deutsche Bank AG - HSBC R Bear CBBC Jun 2011 E	100,000,000	2011/01/20	2011/06/28	30.00
67557	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 V	300,000,000	2011/01/20	2011/07/28	75.00
67518	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 S	300,000,000	2011/01/20	2011/08/30	84.00
67520	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/01/20	2011/09/29	78.00
67559	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 A	300,000,000	2011/01/20	2011/04/28	75.00
67558	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 C	300,000,000	2011/01/20	2011/04/28	81.00
67522	HK Bank - A50 R Bull CBBC Jun 2011 D	200,000,000	2011/01/20	2011/06/29	50.00
67527	HK Bank - A50 R Bull CBBC Jun 2011 E	200,000,000	2011/01/20	2011/06/29	50.00
67530	HK Bank - HSI R Bull CBBC Jun 2011 N	200,000,000	2011/01/20	2011/06/29	50.00
67531	HK Bank - HSI R Bull CBBC Jun 2011 O	200,000,000	2011/01/20	2011/06/29	50.00
67577	J P Morgan SP BV - HSI R Bull CBBC Apr 2011 P	200,000,000	2011/01/20	2011/04/28	50.00
67580	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 H	250,000,000	2011/01/20	2011/04/28	62.50
67585	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 I	250,000,000	2011/01/20	2011/04/28	62.50
67586	RB of Scotland N.V. - HSI R Bull CBBC Apr 2011 J	250,000,000	2011/01/20	2011/04/28	62.50
67502	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11I	300,000,000	2011/01/20	2011/07/28	75.00
67503	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11G	300,000,000	2011/01/20	2011/08/30	75.00
67540	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11M	300,000,000	2011/01/20	2011/08/30	75.00
67541	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11T	300,000,000	2011/01/20	2011/06/29	75.00
67504	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11H	300,000,000	2011/01/20	2011/08/30	109.50
67508	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11I	300,000,000	2011/01/20	2011/08/30	112.50
67509	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11J	300,000,000	2011/01/20	2011/08/30	117.00
67510	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11K	300,000,000	2011/01/20	2011/08/30	120.00
67512	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11L	300,000,000	2011/01/20	2011/08/30	124.50
67545	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11N	300,000,000	2011/01/20	2011/08/30	123.00
67546	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11O	300,000,000	2011/01/20	2011/08/30	127.50
67554	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11P	300,000,000	2011/01/20	2011/08/30	130.50
67555	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11Q	300,000,000	2011/01/20	2011/08/30	133.50
67556	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11R	300,000,000	2011/01/20	2011/08/30	138.00
67561	UBS AG - HSI R Bull CBBC Apr 2011 G	300,000,000	2011/01/20	2011/04/28	75.00
67568	UBS AG - HSI R Bull CBBC May 2011 W	300,000,000	2011/01/20	2011/05/30	75.00

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67569	UBS AG - HSI R Bull CBBC Jul 2011 F	300,000,000	2011/01/20	2011/07/28	75.00
67570	UBS AG - HSI R Bear CBBC Apr 2011 B	300,000,000	2011/01/20	2011/04/28	75.00
67560	UBS AG - TCH R Bull CBBC Jun 2011 A	100,000,000	2011/01/20	2011/06/20	25.00
67609	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Y	200,000,000	2011/01/21	2011/12/29	50.00
67606	Credit Suisse AG - HSI R Bull CBBC May 2011 X	210,000,000	2011/01/21	2011/05/30	52.50
67605	Credit Suisse AG - HSI R Bear CBBC Apr 2011 T	210,000,000	2011/01/21	2011/04/28	52.50
67610	Credit Suisse AG - TCH R Bear CBBC Apr 2011 C	100,000,000	2011/01/21	2011/04/26	45.50
67601	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC May11D	150,000,000	2011/01/21	2011/05/30	37.50
67602	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC May11E	150,000,000	2011/01/21	2011/05/30	37.50
67587	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC May11F	300,000,000	2011/01/21	2011/05/30	75.00
67588	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC May11G	300,000,000	2011/01/21	2011/05/30	75.00
67591	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC May11H	150,000,000	2011/01/21	2011/05/30	37.50
67594	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC May11I	150,000,000	2011/01/21	2011/05/30	37.50
67595	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC May11J	150,000,000	2011/01/21	2011/05/30	37.50
67603	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC May11D	100,000,000	2011/01/21	2011/05/30	33.00
67604	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC May11E	100,000,000	2011/01/21	2011/05/30	25.00
67608	UBS AG - HSI R Bull CBBC May 2011 L	300,000,000	2011/01/21	2011/05/30	75.00
67607	UBS AG - TCH R Bear CBBC Jul 2011 C	100,000,000	2011/01/21	2011/07/25	36.00
67632	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 W	200,000,000	2011/01/24	2011/06/29	50.00
67611	Credit Suisse AG - HSI R Bull CBBC Jul 2011 E	210,000,000	2011/01/24	2011/07/28	52.50
67631	Deutsche Bank AG - A50 China R Bear CBBC May 2011 A	80,000,000	2011/01/24	2011/05/16	20.00
67618	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 R	128,000,000	2011/01/24	2011/05/30	32.00
67619	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 S	128,000,000	2011/01/24	2011/05/30	32.00
67622	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 C	128,000,000	2011/01/24	2011/06/29	32.00
67625	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 D	128,000,000	2011/01/24	2011/06/29	32.00
67626	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 T	128,000,000	2011/01/24	2011/05/30	32.00
67629	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 E	128,000,000	2011/01/24	2011/06/29	32.00
67616	UBS AG - China Mobile R Bull CBBC May 2011 A	100,000,000	2011/01/24	2011/05/23	25.00
67615	UBS AG - HSCEI R Bull CBBC Jul 2011 A	100,000,000	2011/01/24	2011/07/28	25.00
67612	UBS AG - Hutchison R Bull CBBC Jun 2011 C	100,000,000	2011/01/24	2011/06/27	25.00
67613	UBS AG - Hutchison R Bear CBBC Jul 2011 B	100,000,000	2011/01/24	2011/07/25	25.00
67071#	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Apr 2011 T	180,000,000	2011/01/24	2011/04/28	10.08
67648	Credit Suisse AG - A50 China R Bear CBBC Apr 2011 F	100,000,000	2011/01/25	2011/04/26	25.00
67647	Credit Suisse AG - Hutchison R Bear CBBC Jun 2011 C	100,000,000	2011/01/25	2011/06/07	25.00
67642	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 T	300,000,000	2011/01/25	2011/08/30	75.00
67643	UBS AG - HSI R Bull CBBC Jun 2011 U	300,000,000	2011/01/25	2011/06/29	75.00
67633	UBS AG - HSI R Bear CBBC Apr 2011 A	300,000,000	2011/01/25	2011/04/28	75.00
65748#	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2011 C	150,000,000	2011/01/25	2011/04/28	25.05
67306#	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 O	150,000,000	2011/01/25	2011/09/29	10.05
66532#	Deutsche Bank AG - China Mobile R Bull CBBC May 2011 A	200,000,000	2011/01/25	2011/05/23	10.60
67683	Credit Suisse AG - HSI R Bull CBBC Jul 2011 G	210,000,000	2011/01/26	2011/07/28	52.50
67663	Credit Suisse AG - HSI R Bear CBBC Apr 2011 R	210,000,000	2011/01/26	2011/04/28	52.50
67665	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/01/26	2011/09/29	99.00
67666	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 M	300,000,000	2011/01/26	2011/04/28	75.00
67667	Deutsche Bank AG - HSI R Bear CBBC May 2011 I	300,000,000	2011/01/26	2011/05/30	75.00

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67668	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 L	300,000,000	2011/01/26	2011/09/29	75.00
67651	HK Bank - Hutchison R Bull CBBC Jun 2011 A	100,000,000	2011/01/26	2011/06/17	25.00
67656	HK Bank - Hutchison R Bear CBBC May 2011 A	100,000,000	2011/01/26	2011/05/05	25.00
67653	HK Bank - SHK Ppt R Bull CBBC Jun 2011 A	100,000,000	2011/01/26	2011/06/22	25.00
67657	HK Bank - SHK Ppt R Bear CBBC Jun 2011 B	100,000,000	2011/01/26	2011/06/22	25.00
67655	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11S	300,000,000	2011/01/26	2011/08/30	75.00
67654	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11R	300,000,000	2011/01/26	2011/06/29	75.00
67649	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11S	300,000,000	2011/01/26	2011/06/29	75.00
67682	UBS AG - HSI R Bull CBBC Apr 2011 F	300,000,000	2011/01/26	2011/04/28	75.00
67669	UBS AG - HSI R Bear CBBC Jun 2011 V	300,000,000	2011/01/26	2011/06/29	75.00
67670	UBS AG - HSI R Bear CBBC Jun 2011 W	300,000,000	2011/01/26	2011/06/29	75.00
67675	UBS AG - HSI R Bear CBBC Jun 2011 X	300,000,000	2011/01/26	2011/06/29	75.00
67676	UBS AG - HSI R Bear CBBC Jul 2011 G	300,000,000	2011/01/26	2011/07/28	75.00
67677	UBS AG - HSI R Bear CBBC Jul 2011 H	300,000,000	2011/01/26	2011/07/28	75.00
67678	UBS AG - HSI R Bear CBBC Jul 2011 I	300,000,000	2011/01/26	2011/07/28	75.00
67679	UBS AG - HSI R Bear CBBC Aug 2011 C	300,000,000	2011/01/26	2011/08/30	75.00
67726	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 C	200,000,000	2011/01/27	2011/06/29	50.00
67695	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 X	200,000,000	2011/01/27	2011/06/29	50.00
67696	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 Y	200,000,000	2011/01/27	2011/06/29	52.00
67725	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 Z	200,000,000	2011/01/27	2011/06/29	50.00
67684	Credit Suisse AG - HSI R Bull CBBC Jun 2011 O	210,000,000	2011/01/27	2011/06/29	52.50
67702	Credit Suisse AG - HSI R Bear CBBC Apr 2011 L	210,000,000	2011/01/27	2011/04/28	52.50
67693	Credit Suisse AG - HSI R Bear CBBC Apr 2011 M	210,000,000	2011/01/27	2011/04/28	52.50
67689	Credit Suisse AG - TCH R Bear CBBC Apr 2011 D	100,000,000	2011/01/27	2011/04/27	25.00
67727	Deutsche Bank AG - China Life R Bear CBBC Jun 2011 C	80,000,000	2011/01/27	2011/06/21	24.00
67728	Deutsche Bank AG - A50 China R Bear CBBC Jun 2011 A	80,000,000	2011/01/27	2011/06/21	20.00
67687	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 W	300,000,000	2011/01/27	2011/07/28	75.00
67700	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 U	300,000,000	2011/01/27	2011/08/30	75.00
67699	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 L	300,000,000	2011/01/27	2011/04/28	75.00
67729	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 T	300,000,000	2011/01/27	2011/04/28	75.00
67704	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2011 X	128,000,000	2011/01/27	2011/04/28	32.00
67705	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 U	128,000,000	2011/01/27	2011/05/30	38.40
67706	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 V	128,000,000	2011/01/27	2011/05/30	35.84
67707	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 F	128,000,000	2011/01/27	2011/06/29	35.84
67708	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 G	128,000,000	2011/01/27	2011/06/29	32.00
67710	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 H	128,000,000	2011/01/27	2011/06/29	32.00
67711	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 A	128,000,000	2011/01/27	2011/07/28	33.28
67712	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 B	128,000,000	2011/01/27	2011/07/28	32.00
67713	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 A	128,000,000	2011/01/27	2011/08/30	32.00
67714	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 W	128,000,000	2011/01/27	2011/05/30	32.00
67715	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 I	128,000,000	2011/01/27	2011/06/29	32.00
67717	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 J	128,000,000	2011/01/27	2011/06/29	32.00
67724	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 C	128,000,000	2011/01/27	2011/07/28	32.00
67686	UBS AG - HSI R Bull CBBC Apr 2011 M	300,000,000	2011/01/27	2011/04/28	75.00
67703	UBS AG - HSI R Bear CBBC Apr 2011 K	300,000,000	2011/01/27	2011/04/28	75.00

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67759	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 B	200,000,000	2011/01/28	2011/06/29	50.00
67760	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 H	200,000,000	2011/01/28	2011/06/29	50.00
67738	Credit Suisse AG - HSI R Bull CBBC Apr 2011 K	210,000,000	2011/01/28	2011/04/28	52.50
67749	Credit Suisse AG - HSI R Bear CBBC Apr 2011 E	210,000,000	2011/01/28	2011/04/28	52.50
67735	Credit Suisse AG - HSI R Bear CBBC Apr 2011 J	210,000,000	2011/01/28	2011/04/28	52.50
67751	Deutsche Bank AG - China Mobile R Bear CBBC Jul 2011 A	100,000,000	2011/01/28	2011/07/12	25.00
67752	Deutsche Bank AG - HSBC R Bear CBBC Jul 2011 A	100,000,000	2011/01/28	2011/07/12	25.00
67739	Deutsche Bank AG - HSCEI R Bear CBBC Apr 2011 F	100,000,000	2011/01/28	2011/04/28	25.00
67740	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 X	300,000,000	2011/01/28	2011/07/28	75.00
67741	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 O	300,000,000	2011/01/28	2011/04/28	75.00
67753	Deutsche Bank AG - HSI R Bear CBBC Apr 2011 Q	300,000,000	2011/01/28	2011/04/28	75.00
67756	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Apr 2011 M	128,000,000	2011/01/28	2011/04/28	32.00
67758	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 X	128,000,000	2011/01/28	2011/05/30	32.00
67737	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11H	300,000,000	2011/01/28	2011/07/28	75.00
67734	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11I	300,000,000	2011/01/28	2011/07/28	75.00
67736	UBS AG - HSI R Bull CBBC Apr 2011 G	300,000,000	2011/01/28	2011/04/28	75.00
67743	UBS AG - HSI R Bull CBBC May 2011 B	300,000,000	2011/01/28	2011/05/30	75.00
67750	UBS AG - HSI R Bear CBBC May 2011 O	300,000,000	2011/01/28	2011/05/30	75.00
67761	Credit Suisse AG - HSI R Bull CBBC Jun 2011 N	210,000,000	2011/01/31	2011/06/29	52.50
67766	Credit Suisse AG - HSI R Bear CBBC May 2011 A	210,000,000	2011/01/31	2011/05/30	52.50
67773	Deutsche Bank AG - A50 China R Bear CBBC Jun 2011 B	80,000,000	2011/01/31	2011/06/28	20.00
67762	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 M	300,000,000	2011/01/31	2011/09/29	75.00
67772	Deutsche Bank AG - HSI R Bear CBBC May 2011 E	300,000,000	2011/01/31	2011/05/30	75.00
67775	J P Morgan SP BV - HSI R Bear CBBC May 2011 B	200,000,000	2011/01/31	2011/05/30	50.00
67776	J P Morgan SP BV - HSI R Bear CBBC May 2011 C	200,000,000	2011/01/31	2011/05/30	50.00
67786	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 O	128,000,000	2011/01/31	2011/05/30	32.00
67783	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 P	128,000,000	2011/01/31	2011/05/30	32.00
67788	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 Q	128,000,000	2011/01/31	2011/05/30	32.00
67777	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 Y	128,000,000	2011/01/31	2011/05/30	32.00
67781	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 Z	128,000,000	2011/01/31	2011/05/30	32.00
67792	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 K	128,000,000	2011/01/31	2011/06/29	33.28
67793	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 L	128,000,000	2011/01/31	2011/06/29	37.12
67794	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 M	128,000,000	2011/01/31	2011/06/29	43.52
67795	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 N	128,000,000	2011/01/31	2011/06/29	32.00
67796	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 S	128,000,000	2011/01/31	2011/05/30	32.00
67798	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 O	128,000,000	2011/01/31	2011/06/29	32.00
67799	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 P	128,000,000	2011/01/31	2011/06/29	32.00
67800	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 Q	128,000,000	2011/01/31	2011/06/29	32.00
67801	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 R	128,000,000	2011/01/31	2011/06/29	32.00
67802	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 S	128,000,000	2011/01/31	2011/06/29	32.00
67765	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11G	300,000,000	2011/01/31	2011/07/28	75.00
67764	UBS AG - HSI R Bull CBBC May 2011 W	300,000,000	2011/01/31	2011/05/30	75.00
67770	UBS AG - HSI R Bull CBBC Jul 2011 J	300,000,000	2011/01/31	2011/07/28	75.00
67774	UBS AG - HSI R Bear CBBC Jul 2011 K	300,000,000	2011/01/31	2011/07/28	75.00
67235#	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar10C	200,000,000	2011/01/31	2010/03/30	16.60

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67838	Deutsche Bank AG - China Life R Bull CBBC Jun 2011 D	100,000,000	2011/02/01	2011/06/14	35.00
67840	Deutsche Bank AG - China Life R Bear CBBC Jun 2011 E	100,000,000	2011/02/01	2011/06/14	25.00
67828	Deutsche Bank AG - DJIA R Bull CBBC Sep 2011 C	80,000,000	2011/02/01	2011/09/19	20.00
67832	Deutsche Bank AG - DJIA R Bear CBBC Sep 2011 D	80,000,000	2011/02/01	2011/09/19	21.60
67833	Deutsche Bank AG - DJIA R Bear CBBC Sep 2011 E	80,000,000	2011/02/01	2011/09/19	24.80
67827	Deutsche Bank AG - HSCEI R Bull CBBC Jun 2011 C	100,000,000	2011/02/01	2011/06/29	25.00
67803	HK Bank - ABC R Bull CBBC Aug 2011 A	80,000,000	2011/02/01	2011/08/19	20.00
67812	HK Bank - ABC R Bear CBBC Aug 2011 B	80,000,000	2011/02/01	2011/08/19	20.00
67804	HK Bank - CNOOC R Bull CBBC Sep 2011 A	80,000,000	2011/02/01	2011/09/28	20.00
67814	HK Bank - CNOOC R Bear CBBC Aug 2011 A	80,000,000	2011/02/01	2011/08/18	20.00
67805	HK Bank - HKEx R Bull CBBC Aug 2011 A	100,000,000	2011/02/01	2011/08/12	25.00
67815	HK Bank - HKEx R Bear CBBC Sep 2011 A	100,000,000	2011/02/01	2011/09/27	30.00
67806	HK Bank - PetCh R Bull CBBC Sep 2011 A	100,000,000	2011/02/01	2011/09/22	25.00
67818	HK Bank - PetCh R Bear CBBC Aug 2011 A	100,000,000	2011/02/01	2011/08/26	25.00
67811	HK Bank - TCH R Bull CBBC Sep 2011 A	80,000,000	2011/02/01	2011/09/20	26.40
67819	HK Bank - TCH R Bear CBBC Aug 2011 A	80,000,000	2011/02/01	2011/08/15	36.80
67841	J P Morgan SP BV - HSI R Bear CBBC May 2011 D	200,000,000	2011/02/01	2011/05/30	50.00
67842	UBS AG - China Life R Bear CBBC Jun 2011 B	100,000,000	2011/02/01	2011/06/30	30.50
67822	UBS AG - HSI R Bear CBBC Jun 2011 Y	300,000,000	2011/02/01	2011/06/29	75.00
67823	UBS AG - HSI R Bear CBBC Aug 2011 D	300,000,000	2011/02/01	2011/08/30	75.00
67843	Credit Suisse AG - HSI R Bull CBBC Jun 2011 V	210,000,000	2011/02/02	2011/06/29	52.50
67846	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 Z	300,000,000	2011/02/02	2011/06/29	75.00
67853	RB of Scotland N.V. - CNOOC R Bull CBBC May 2011 A	80,000,000	2011/02/02	2011/05/30	37.28
67849	RB of Scotland N.V. - CPIC R Bull CBBC May 2011 A	80,000,000	2011/02/02	2011/05/30	58.00
67850	RB of Scotland N.V. - China Shenhua R Bull CBBC May 2011 A	80,000,000	2011/02/02	2011/05/16	20.00
67854	RB of Scotland N.V. - Dongfeng Gp R Bull CBBC May 2011 A	80,000,000	2011/02/02	2011/05/30	42.56
67857	RB of Scotland N.V. - Geely Auto R Bull CBBC May 2011 A	80,000,000	2011/02/02	2011/05/30	20.00
67859	RB of Scotland N.V. - Jiangxi Copper R Bull CBBC May 2011 A	80,000,000	2011/02/02	2011/05/30	51.92
67845	UBS AG - HSI R Bull CBBC Jun 2011 Z	300,000,000	2011/02/02	2011/06/29	75.00
67847	UBS AG - HSI R Bull CBBC Jul 2011 L	300,000,000	2011/02/02	2011/07/28	75.00
67848	UBS AG - HSI R Bull CBBC Jul 2011 M	300,000,000	2011/02/02	2011/07/28	75.00
67852	UBS AG - HSI R Bear CBBC Jul 2011 N	300,000,000	2011/02/02	2011/07/28	75.00
67290#	Credit Suisse AG - HSI R Bull CBBC Jun 2011 L	210,000,000	2011/02/02	2011/06/29	10.29
67191#	Credit Suisse AG - HSI R Bull CBBC Jun 2011 H	210,000,000	2011/02/02	2011/06/29	15.33
67862	Credit Suisse AG - HSI R Bear CBBC May 2011 R	210,000,000	2011/02/07	2011/05/30	52.50
67878	Deutsche Bank AG - HSI R Bear CBBC May 2011 B	300,000,000	2011/02/07	2011/05/30	75.00
67898	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 F	200,000,000	2011/02/08	2011/06/29	50.00
67899	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 K	200,000,000	2011/02/08	2011/06/29	50.00
67880	Credit Suisse AG - HSI R Bull CBBC Jun 2011 M	210,000,000	2011/02/08	2011/06/29	52.50
67885	Credit Suisse AG - HSI R Bear CBBC May 2011 D	210,000,000	2011/02/08	2011/05/30	52.50
67879	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 V	300,000,000	2011/02/08	2011/08/30	75.00
67901	Deutsche Bank AG - HSI R Bear CBBC May 2011 J	300,000,000	2011/02/08	2011/05/30	75.00
67897	Deutsche Bank AG - HSI R Bear CBBC May 2011 O	300,000,000	2011/02/08	2011/05/30	75.00
67907	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 I	250,000,000	2011/02/08	2011/06/29	62.50
67892	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Q	300,000,000	2011/02/08	2011/06/29	75.00

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67896	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11Q	300,000,000	2011/02/08	2011/05/30	75.00
67895	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11R	300,000,000	2011/02/08	2011/05/30	75.00
67894	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11S	300,000,000	2011/02/08	2011/05/30	75.00
67893	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11T	300,000,000	2011/02/08	2011/05/30	75.00
67886	UBS AG - HSI R Bull CBBC May 2011 L	300,000,000	2011/02/08	2011/05/30	75.00
67891	UBS AG - HSI R Bull CBBC Jun 2011 F	300,000,000	2011/02/08	2011/06/29	75.00
67890	UBS AG - HSI R Bull CBBC Aug 2011 E	300,000,000	2011/02/08	2011/08/30	75.00
67900	UBS AG - HSI R Bear CBBC May 2011 U	300,000,000	2011/02/08	2011/05/30	75.00
67932	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 I	200,000,000	2011/02/09	2011/06/29	50.00
67931	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 J	200,000,000	2011/02/09	2011/06/29	50.00
67933	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 V	200,000,000	2011/02/09	2011/06/29	50.00
67926	Credit Suisse AG - A50 China R Bull CBBC Jun 2011 A	100,000,000	2011/02/09	2011/06/20	25.00
67925	Credit Suisse AG - A50 China R Bear CBBC May 2011 D	100,000,000	2011/02/09	2011/05/23	25.00
67909	Credit Suisse AG - HSI R Bull CBBC Jul 2011 I	210,000,000	2011/02/09	2011/07/28	52.50
67927	Credit Suisse AG - HSI R Bear CBBC May 2011 X	210,000,000	2011/02/09	2011/05/30	52.50
67930	Credit Suisse AG - HSI R Bear CBBC May 2011 Y	210,000,000	2011/02/09	2011/05/30	52.50
67910	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 L	300,000,000	2011/02/09	2011/06/29	78.00
67911	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Y	300,000,000	2011/02/09	2011/07/28	75.00
67938	Deutsche Bank AG - HSI R Bear CBBC May 2011 H	300,000,000	2011/02/09	2011/05/30	75.00
67913	HK Bank - HSI R Bear CBBC Jul 2011 E	100,000,000	2011/02/09	2011/07/28	25.00
67916	HK Bank - HSI R Bear CBBC Jul 2011 F	100,000,000	2011/02/09	2011/07/28	25.00
67917	HK Bank - HSI R Bear CBBC Jul 2011 G	100,000,000	2011/02/09	2011/07/28	25.00
67937	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 R	128,000,000	2011/02/09	2011/05/30	32.00
67919	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11J	300,000,000	2011/02/09	2011/06/29	75.00
67921	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11N	300,000,000	2011/02/09	2011/05/30	75.00
67920	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11O	300,000,000	2011/02/09	2011/05/30	75.00
67922	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11P	300,000,000	2011/02/09	2011/05/30	75.00
67934	UBS AG - HSI R Bull CBBC May 2011 B	300,000,000	2011/02/09	2011/05/30	75.00
67912	UBS AG - HSI R Bull CBBC Jun 2011 K	300,000,000	2011/02/09	2011/06/29	75.00
67936	UBS AG - HSI R Bull CBBC Jul 2011 O	300,000,000	2011/02/09	2011/07/28	75.00
67939	UBS AG - HSI R Bear CBBC May 2011 X	300,000,000	2011/02/09	2011/05/30	75.00
67710#	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 H	140,000,000	2011/02/09	2011/06/29	24.64
67954	Credit Suisse AG - HSI R Bull CBBC Jun 2011 O	210,000,000	2011/02/10	2011/06/29	52.50
67952	Credit Suisse AG - HSI R Bear CBBC May 2011 W	210,000,000	2011/02/10	2011/05/30	52.50
67955	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 W	300,000,000	2011/02/10	2011/08/30	75.00
67956	Deutsche Bank AG - HSI R Bear CBBC May 2011 Q	300,000,000	2011/02/10	2011/05/30	75.00
67940	HK Bank - Sinopec Corp R Bull CBBC Jul 2011 A	280,000,000	2011/02/10	2011/07/22	70.00
67941	HK Bank - Sinopec Corp R Bull CBBC Sep 2011 A	280,000,000	2011/02/10	2011/09/22	70.00
67946	HK Bank - Sinopec Corp R Bear CBBC Jun 2011 E	120,000,000	2011/02/10	2011/06/28	30.00
67960	UBS AG - CNOOC R Bear CBBC Aug 2011 A	100,000,000	2011/02/10	2011/08/08	29.50
67959	UBS AG - Sinopec Corp R Bear CBBC Aug 2011 A	100,000,000	2011/02/10	2011/08/22	25.00
67948	UBS AG - HSI R Bear CBBC Jul 2011 P	300,000,000	2011/02/10	2011/07/28	75.00
67961	UBS AG - Petrochina R Bear CBBC Aug 2011 A	100,000,000	2011/02/10	2011/08/08	25.00
67958	UBS AG - SHK Ppt R Bull CBBC Aug 2011 A	100,000,000	2011/02/10	2011/08/29	25.00
67369#	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr10T	280,000,000	2011/02/10	2010/04/29	28.84

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67783#	KBC Fin Products Int'l Ltd. - COVS R Bull CBBC Feb 2010 A	240,000,000	2011/02/10	2010/02/01	10.80
67979	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 P	200,000,000	2011/02/11	2011/09/29	50.00
67981	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 A	200,000,000	2011/02/11	2011/10/28	50.00
67983	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 B	200,000,000	2011/02/11	2011/10/28	50.00
67986	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2011 U	200,000,000	2011/02/11	2011/11/29	50.00
67987	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2011 V	200,000,000	2011/02/11	2011/11/29	50.00
67970	Credit Suisse AG - HSI R Bull CBBC Jun 2011 N	210,000,000	2011/02/11	2011/06/29	52.50
67978	Credit Suisse AG - HSI R Bull CBBC Jul 2011 A	210,000,000	2011/02/11	2011/07/28	52.50
67972	Credit Suisse AG - HSI R Bull CBBC Jul 2011 J	210,000,000	2011/02/11	2011/07/28	52.50
67969	Credit Suisse AG - HSI R Bear CBBC May 2011 T	210,000,000	2011/02/11	2011/05/30	52.50
67967	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Z	300,000,000	2011/02/11	2011/07/28	75.00
67966	Deutsche Bank AG - HSI R Bear CBBC May 2011 P	300,000,000	2011/02/11	2011/05/30	75.00
67990	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 Q	128,000,000	2011/02/11	2011/05/30	32.00
67988	UBS AG - HSI R Bull CBBC May 2011 W	300,000,000	2011/02/11	2011/05/30	75.00
67989	UBS AG - HSI R Bull CBBC Jun 2011 L	300,000,000	2011/02/11	2011/06/29	75.00
67962	UBS AG - HSI R Bear CBBC Jun 2011 A	300,000,000	2011/02/11	2011/06/29	75.00
67963	UBS AG - HSI R Bear CBBC Jul 2011 Q	300,000,000	2011/02/11	2011/07/28	75.00
67965	UBS AG - HSI R Bear CBBC Jul 2011 R	300,000,000	2011/02/11	2011/07/28	75.00
67622*	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 C	160,000,000	2011/02/11	2011/06/29	10.40
68004	Credit Suisse AG - HSI R Bull CBBC Jul 2011 S	210,000,000	2011/02/14	2011/07/28	52.50
68005	Credit Suisse AG - HSI R Bear CBBC May 2011 A	210,000,000	2011/02/14	2011/05/30	52.50
68013	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 L	300,000,000	2011/02/14	2011/07/28	75.00
68014	Deutsche Bank AG - HSI R Bear CBBC May 2011 U	300,000,000	2011/02/14	2011/05/30	75.00
68017	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 T	128,000,000	2011/02/14	2011/06/29	32.00
68018	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 U	128,000,000	2011/02/14	2011/06/29	32.00
68019	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 V	158,000,000	2011/02/14	2011/06/29	39.50
68021	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 D	128,000,000	2011/02/14	2011/07/28	32.00
68022	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 E	128,000,000	2011/02/14	2011/07/28	34.56
68023	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 F	128,000,000	2011/02/14	2011/07/28	43.52
68025	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 B	128,000,000	2011/02/14	2011/08/30	32.00
68026	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 C	128,000,000	2011/02/14	2011/08/30	49.92
68027	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 D	128,000,000	2011/02/14	2011/08/30	37.12
68028	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 A	158,000,000	2011/02/14	2011/09/29	39.50
68001	RB of Scotland N.V. - DJIA R Bull CBBC Jun 2011 D	80,000,000	2011/02/14	2011/06/17	20.08
68002	RB of Scotland N.V. - DJIA R Bull CBBC Jun 2011 E	80,000,000	2011/02/14	2011/06/17	20.08
68006	RB of Scotland N.V. - DJIA R Bear CBBC Jun 2011 F	80,000,000	2011/02/14	2011/06/17	20.00
68007	RB of Scotland N.V. - DJIA R Bear CBBC Jun 2011 G	80,000,000	2011/02/14	2011/06/17	20.00
68008	RB of Scotland N.V. - DJIA R Bear CBBC Jun 2011 H	80,000,000	2011/02/14	2011/06/17	20.00
68010	RB of Scotland N.V. - DJIA R Bear CBBC Jun 2011 I	80,000,000	2011/02/14	2011/06/17	20.08
67991	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11G	300,000,000	2011/02/14	2011/06/29	75.00
68000	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11H	300,000,000	2011/02/14	2011/06/29	75.00
67998	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11I	300,000,000	2011/02/14	2011/06/29	75.00
67993	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11L	300,000,000	2011/02/14	2011/06/29	75.00
68003	UBS AG - HSI R Bull CBBC Jun 2011 O	300,000,000	2011/02/14	2011/06/29	75.00
68015	UBS AG - HSI R Bear CBBC Jun 2011 T	300,000,000	2011/02/14	2011/06/29	75.00

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股份代號	上市時之牛熊證	集資金額 發行單位	上市日期	到期日	（百萬港元）
68033	Credit Suisse AG - A50 China R Bear CBBC Jun 2011 B	100,000,000	2011/02/15	2011/06/20	25.00
68034	Credit Suisse AG - TCH R Bear CBBC May 2011 C	100,000,000	2011/02/15	2011/05/30	25.00
68035	Credit Suisse AG - TCH R Bear CBBC Jun 2011 A	100,000,000	2011/02/15	2011/06/20	25.00
68036	Deutsche Bank AG - China Life R Bull CBBC Jun 2011 F	100,000,000	2011/02/15	2011/06/27	25.00
68037	Deutsche Bank AG - China Life R Bull CBBC Jul 2011 A	100,000,000	2011/02/15	2011/07/11	37.00
68029	UBS AG - HSI R Bull CBBC Jul 2011 S	300,000,000	2011/02/15	2011/07/28	75.00
68070	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 T	200,000,000	2011/02/16	2011/06/29	50.00
68069	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 U	200,000,000	2011/02/16	2011/06/29	50.00
68067	Credit Suisse AG - HSI R Bull CBBC Jul 2011 Z	210,000,000	2011/02/16	2011/07/28	52.50
68056	Credit Suisse AG - HSI R Bear CBBC May 2011 X	210,000,000	2011/02/16	2011/05/30	52.50
68068	Credit Suisse AG - HSI R Bear CBBC Jun 2011 L	210,000,000	2011/02/16	2011/06/29	52.50
68064	Deutsche Bank AG - China Mobile R Bull CBBC Jun 2011 C	100,000,000	2011/02/16	2011/06/27	25.00
68083	Deutsche Bank AG - China Mobile R Bear CBBC Jul 2011 B	100,000,000	2011/02/16	2011/07/18	25.00
68084	Deutsche Bank AG - DJIA R Bull CBBC Sep 2011 F	80,000,000	2011/02/16	2011/09/19	20.00
68062	Deutsche Bank AG - HSBC R Bull CBBC Jul 2011 B	100,000,000	2011/02/16	2011/07/11	25.00
68063	Deutsche Bank AG - HSBC R Bear CBBC Jun 2011 F	100,000,000	2011/02/16	2011/06/27	25.00
68085	Deutsche Bank AG - HSCEI R Bear CBBC Jun 2011 D	80,000,000	2011/02/16	2011/06/29	20.00
68057	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 K	300,000,000	2011/02/16	2011/07/28	75.00
68059	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 O	300,000,000	2011/02/16	2011/07/28	75.00
68086	Deutsche Bank AG - HSI R Bear CBBC May 2011 S	300,000,000	2011/02/16	2011/05/30	81.00
68087	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 J	300,000,000	2011/02/16	2011/06/29	75.00
68077	J P Morgan SP BV - HSI R Bear CBBC May 2011 E	200,000,000	2011/02/16	2011/05/30	50.00
68078	J P Morgan SP BV - HSI R Bear CBBC May 2011 F	200,000,000	2011/02/16	2011/05/30	50.00
68079	J P Morgan SP BV - HSI R Bear CBBC May 2011 G	200,000,000	2011/02/16	2011/05/30	50.00
68058	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 O	158,000,000	2011/02/16	2011/05/30	39.50
68072	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 N	128,000,000	2011/02/16	2011/05/30	32.00
68073	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 X	128,000,000	2011/02/16	2011/05/30	32.00
68074	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 W	128,000,000	2011/02/16	2011/06/29	32.00
68075	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 X	128,000,000	2011/02/16	2011/06/29	32.00
68076	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 G	158,000,000	2011/02/16	2011/07/28	39.50
68080	RB of Scotland N.V. - China Coal R Bull CBBC Jul 2011 A	80,000,000	2011/02/16	2011/07/25	26.72
68081	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 J	250,000,000	2011/02/16	2011/06/29	62.50
68082	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 K	250,000,000	2011/02/16	2011/06/29	62.50
68041	SGA Societe Generale Acceptance N.V. - CCB R Bull CBBC Jul11A	200,000,000	2011/02/16	2011/07/25	50.00
68045	SGA Societe Generale Acceptance N.V. - CCB R Bull CBBC Jul11B	200,000,000	2011/02/16	2011/07/25	50.00
68046	SGA Societe Generale Acceptance N.V. - CCB R Bear CBBC Jul11C	200,000,000	2011/02/16	2011/07/25	50.00
68047	SGA Societe Generale Acceptance N.V. - CCB R Bear CBBC Jul11D	200,000,000	2011/02/16	2011/07/25	50.00
68053	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jul11A	200,000,000	2011/02/16	2011/07/28	50.00
68054	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jul11B	200,000,000	2011/02/16	2011/07/28	50.00
68055	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jul11C	200,000,000	2011/02/16	2011/07/28	50.00
68048	SGA Societe Generale Acceptance N.V. - ICBC R Bull CBBC Jul11A	300,000,000	2011/02/16	2011/07/25	75.00
68049	SGA Societe Generale Acceptance N.V. - ICBC R Bear CBBC Jul11B	300,000,000	2011/02/16	2011/07/25	75.00
68050	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Jul11A	100,000,000	2011/02/16	2011/07/25	25.00
68051	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Jul11B	100,000,000	2011/02/16	2011/07/25	25.00
68052	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Jul11C	100,000,000	2011/02/16	2011/07/25	35.00

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68089	UBS AG - China Mobile R Bear CBBC Jul 2011 A	100,000,000	2011/02/16	2011/07/11	25.00
68088	UBS AG - HSBC R Bull CBBC Jul 2011 B	100,000,000	2011/02/16	2011/07/04	25.00
68066	UBS AG - HSI R Bull CBBC May 2011 R	300,000,000	2011/02/16	2011/05/30	75.00
68090	UBS AG - HSI R Bear CBBC Jun 2011 J	300,000,000	2011/02/16	2011/06/29	75.00
68150	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2011 J	200,000,000	2011/02/17	2011/06/29	50.00
68151	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 I	200,000,000	2011/02/17	2011/07/28	50.00
68152	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 J	200,000,000	2011/02/17	2011/07/28	50.00
68153	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 K	200,000,000	2011/02/17	2011/07/28	50.00
68154	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 L	200,000,000	2011/02/17	2011/07/28	50.00
68098	Credit Suisse AG - HSI R Bull CBBC Jul 2011 W	210,000,000	2011/02/17	2011/07/28	52.50
68099	Credit Suisse AG - HSI R Bull CBBC Aug 2011 J	210,000,000	2011/02/17	2011/08/30	52.50
68100	Credit Suisse AG - HSI R Bull CBBC Aug 2011 K	210,000,000	2011/02/17	2011/08/30	52.50
68101	Credit Suisse AG - HSI R Bull CBBC Sep 2011 A	210,000,000	2011/02/17	2011/09/29	52.50
68102	Credit Suisse AG - HSI R Bull CBBC Sep 2011 B	210,000,000	2011/02/17	2011/09/29	52.50
68103	Credit Suisse AG - HSI R Bull CBBC Sep 2011 C	210,000,000	2011/02/17	2011/09/29	52.50
68104	Credit Suisse AG - HSI R Bull CBBC Sep 2011 D	210,000,000	2011/02/17	2011/09/29	52.50
68105	Credit Suisse AG - HSI R Bull CBBC Sep 2011 E	210,000,000	2011/02/17	2011/09/29	52.50
68106	Credit Suisse AG - HSI R Bull CBBC Oct 2011 A	210,000,000	2011/02/17	2011/10/28	52.50
68108	Credit Suisse AG - HSI R Bull CBBC Oct 2011 B	210,000,000	2011/02/17	2011/10/28	52.50
68109	Credit Suisse AG - HSI R Bull CBBC Oct 2011 C	210,000,000	2011/02/17	2011/10/28	52.50
68114	Credit Suisse AG - HSI R Bear CBBC May 2011 S	210,000,000	2011/02/17	2011/05/30	52.50
68130	Credit Suisse AG - HSI R Bear CBBC Jun 2011 M	210,000,000	2011/02/17	2011/06/29	52.50
68116	Credit Suisse AG - HSI R Bear CBBC Jun 2011 V	210,000,000	2011/02/17	2011/06/29	52.50
68136	Deutsche Bank AG - China Life R Bear CBBC Jul 2011 B	100,000,000	2011/02/17	2011/07/11	25.00
68137	Deutsche Bank AG - HSCEI R Bull CBBC Jun 2011 E	80,000,000	2011/02/17	2011/06/29	20.00
68093	Deutsche Bank AG - HSI R Bull CBBC Jun 2011 R	300,000,000	2011/02/17	2011/06/29	75.00
68129	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 V	300,000,000	2011/02/17	2011/07/28	75.00
68097	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 X	300,000,000	2011/02/17	2011/08/30	81.00
68122	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 N	300,000,000	2011/02/17	2011/09/29	90.00
68123	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 O	300,000,000	2011/02/17	2011/09/29	75.00
68124	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 H	300,000,000	2011/02/17	2011/10/28	90.00
68138	Deutsche Bank AG - HSI R Bear CBBC May 2011 W	300,000,000	2011/02/17	2011/05/30	75.00
68139	Deutsche Bank AG - HSI R Bear CBBC May 2011 Y	300,000,000	2011/02/17	2011/05/30	75.00
68140	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 Q	300,000,000	2011/02/17	2011/06/29	81.00
68125	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 Y	300,000,000	2011/02/17	2011/08/30	81.00
68126	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 P	300,000,000	2011/02/17	2011/09/29	75.00
68092	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 Y	188,000,000	2011/02/17	2011/06/29	47.00
68127	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 Z	208,000,000	2011/02/17	2011/06/29	52.00
68155	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC May 2011 R	128,000,000	2011/02/17	2011/05/30	32.00
68156	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 B	188,000,000	2011/02/17	2011/06/29	47.00
68128	Macquarie Bank Ltd. - HSI R Bear CBBC May 2011 B	200,000,000	2011/02/17	2011/05/30	30.00
68131	RB of Scotland N.V. - CM Bank R Bull CBBC Jul 2011 A	80,000,000	2011/02/17	2011/07/18	40.24
68132	RB of Scotland N.V. - HKEx R Bull CBBC Jul 2011 A	80,000,000	2011/02/17	2011/07/18	20.00
68133	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 L	250,000,000	2011/02/17	2011/06/29	62.50
68134	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 M	250,000,000	2011/02/17	2011/06/29	62.50

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68135	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 N	250,000,000	2011/02/17	2011/06/29	62.50
68119	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11K	300,000,000	2011/02/17	2011/05/30	75.00
68118	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11L	300,000,000	2011/02/17	2011/05/30	75.00
68117	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11M	300,000,000	2011/02/17	2011/05/30	75.00
68121	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11N	300,000,000	2011/02/17	2011/05/30	75.00
68120	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11O	300,000,000	2011/02/17	2011/05/30	75.00
68149	UBS AG - HSBC R Bear CBBC Jul 2011 C	100,000,000	2011/02/17	2011/07/18	25.00
68141	UBS AG - HSI R Bull CBBC May 2011 L	300,000,000	2011/02/17	2011/05/30	75.00
68111	UBS AG - HSI R Bull CBBC May 2011 O	300,000,000	2011/02/17	2011/05/30	75.00
68144	UBS AG - HSI R Bull CBBC Jun 2011 S	300,000,000	2011/02/17	2011/06/29	75.00
68143	UBS AG - HSI R Bull CBBC Jun 2011 U	300,000,000	2011/02/17	2011/06/29	75.00
68145	UBS AG - HSI R Bull CBBC Jul 2011 T	300,000,000	2011/02/17	2011/07/28	75.00
68142	UBS AG - HSI R Bull CBBC Jul 2011 U	300,000,000	2011/02/17	2011/07/28	75.00
68146	UBS AG - HSI R Bear CBBC May 2011 X	300,000,000	2011/02/17	2011/05/30	75.00
68147	UBS AG - HSI R Bear CBBC Jun 2011 N	300,000,000	2011/02/17	2011/06/29	75.00
68148	UBS AG - HSI R Bear CBBC Jul 2011 V	300,000,000	2011/02/17	2011/07/28	75.00
68203	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 G	200,000,000	2011/02/18	2011/10/28	50.00
68205	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 J	200,000,000	2011/02/18	2011/10/28	50.00
68204	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 K	200,000,000	2011/02/18	2011/10/28	50.00
68163	Credit Suisse AG - HSI R Bull CBBC Aug 2011 L	210,000,000	2011/02/18	2011/08/30	52.50
68171	Credit Suisse AG - HSI R Bull CBBC Aug 2011 M	210,000,000	2011/02/18	2011/08/30	52.50
68172	Credit Suisse AG - HSI R Bull CBBC Aug 2011 N	210,000,000	2011/02/18	2011/08/30	52.50
68173	Credit Suisse AG - HSI R Bull CBBC Sep 2011 F	210,000,000	2011/02/18	2011/09/29	52.50
68174	Credit Suisse AG - HSI R Bull CBBC Sep 2011 G	210,000,000	2011/02/18	2011/09/29	52.50
68175	Credit Suisse AG - HSI R Bull CBBC Oct 2011 D	210,000,000	2011/02/18	2011/10/28	52.50
68176	Credit Suisse AG - HSI R Bull CBBC Oct 2011 E	210,000,000	2011/02/18	2011/10/28	52.50
68164	Credit Suisse AG - HSI R Bear CBBC May 2011 B	210,000,000	2011/02/18	2011/05/30	52.50
68165	Credit Suisse AG - HSI R Bear CBBC Jun 2011 Z	210,000,000	2011/02/18	2011/06/29	52.50
68201	Deutsche Bank AG - China Mobile R Bull CBBC Jul 2011 C	100,000,000	2011/02/18	2011/07/11	25.00
68200	Deutsche Bank AG - China Life R Bull CBBC Jul 2011 C	100,000,000	2011/02/18	2011/07/18	40.00
68179	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 U	300,000,000	2011/02/18	2011/07/28	75.00
68167	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 Z	300,000,000	2011/02/18	2011/08/30	75.00
68180	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 T	300,000,000	2011/02/18	2011/06/29	81.00
68181	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 V	300,000,000	2011/02/18	2011/06/29	75.00
68162	HK Bank - HSI R Bear CBBC Aug 2011 B	150,000,000	2011/02/18	2011/08/30	37.50
68190	J P Morgan SP BV - HSI R Bear CBBC May 2011 H	200,000,000	2011/02/18	2011/05/30	50.00
68199	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 C	188,000,000	2011/02/18	2011/06/29	47.00
68198	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 D	158,000,000	2011/02/18	2011/06/29	39.50
68191	Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2011 A	200,000,000	2011/02/18	2011/07/28	50.00
68158	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11H	300,000,000	2011/02/18	2011/07/28	79.50
68157	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11I	300,000,000	2011/02/18	2011/07/28	82.50
68159	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11N	300,000,000	2011/02/18	2011/07/28	76.50
68160	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11F	300,000,000	2011/02/18	2011/06/29	75.00
68161	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11U	300,000,000	2011/02/18	2011/06/29	75.00
68169	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11W	300,000,000	2011/02/18	2011/06/29	75.00

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68168	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11X	300,000,000	2011/02/18	2011/06/29	75.00
68183	UBS AG - Cheung Kong R Bull CBBC Jul 2011 A	100,000,000	2011/02/18	2011/07/18	25.00
68184	UBS AG - China Life R Bull CBBC Aug 2011 A	100,000,000	2011/02/18	2011/08/22	51.00
68197	UBS AG - China Life R Bear CBBC Aug 2011 B	100,000,000	2011/02/18	2011/08/08	28.00
68185	UBS AG - CNOOC R Bull CBBC Aug 2011 B	100,000,000	2011/02/18	2011/08/08	25.00
68186	UBS AG - HKEx R Bull CBBC Jul 2011 A	100,000,000	2011/02/18	2011/07/04	25.00
68192	UBS AG - HKEx R Bear CBBC Jul 2011 B	100,000,000	2011/02/18	2011/07/04	26.50
68166	UBS AG - HSI R Bull CBBC Jun 2011 Z	300,000,000	2011/02/18	2011/06/29	75.00
68188	UBS AG - HSI R Bear CBBC May 2011 F	300,000,000	2011/02/18	2011/05/30	75.00
68189	UBS AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/02/18	2011/07/28	75.00
68242	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 Q	200,000,000	2011/02/21	2011/09/29	50.00
68244	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 C	200,000,000	2011/02/21	2011/10/28	50.00
68243	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 H	200,000,000	2011/02/21	2011/10/28	50.00
68222	Credit Suisse AG - HSI R Bull CBBC Jul 2011 E	210,000,000	2011/02/21	2011/07/28	52.50
68235	Credit Suisse AG - HSI R Bull CBBC Sep 2011 H	210,000,000	2011/02/21	2011/09/29	52.50
68218	Credit Suisse AG - HSI R Bear CBBC May 2011 C	210,000,000	2011/02/21	2011/05/30	52.50
68232	Deutsche Bank AG - A50 China R Bull CBBC Jul 2011 A	80,000,000	2011/02/21	2011/07/12	20.00
68234	Deutsche Bank AG - A50 China R Bull CBBC Jul 2011 B	80,000,000	2011/02/21	2011/07/26	28.00
68227	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 I	300,000,000	2011/02/21	2011/10/28	81.00
68229	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 J	300,000,000	2011/02/21	2011/10/28	75.00
68230	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 Q	300,000,000	2011/02/21	2011/09/29	78.00
68245	J P Morgan SP BV - HSI R Bull CBBC May 2011 I	200,000,000	2011/02/21	2011/05/30	50.00
68247	J P Morgan SP BV - HSI R Bull CBBC May 2011 J	200,000,000	2011/02/21	2011/05/30	50.00
68248	J P Morgan SP BV - HSI R Bull CBBC May 2011 K	200,000,000	2011/02/21	2011/05/30	50.00
68206	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2011 B	200,000,000	2011/02/21	2011/06/29	50.00
68211	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11J	300,000,000	2011/02/21	2011/06/29	75.00
68226	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11L	300,000,000	2011/02/21	2011/06/29	75.00
68208	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Q	300,000,000	2011/02/21	2011/06/29	75.00
68207	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11V	300,000,000	2011/02/21	2011/06/29	75.00
68210	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Y	300,000,000	2011/02/21	2011/06/29	75.00
68225	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Z	300,000,000	2011/02/21	2011/06/29	75.00
68236	UBS AG - ABC R Bull CBBC Aug 2011 A	100,000,000	2011/02/21	2011/08/15	25.00
68240	UBS AG - ABC R Bear CBBC Sep 2011 A	100,000,000	2011/02/21	2011/09/05	25.00
68237	UBS AG - China Mobile R Bull CBBC Aug 2011 A	100,000,000	2011/02/21	2011/08/22	25.00
68238	UBS AG - HSI R Bull CBBC May 2011 Y	300,000,000	2011/02/21	2011/05/30	75.00
68239	UBS AG - HSI R Bull CBBC Jun 2011 K	300,000,000	2011/02/21	2011/06/29	75.00
68216	UBS AG - HSI R Bear CBBC May 2011 B	300,000,000	2011/02/21	2011/05/30	75.00
68241	UBS AG - HSI R Bear CBBC May 2011 T	300,000,000	2011/02/21	2011/05/30	75.00
67756#	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Apr 2011 M	120,000,000	2011/02/21	2011/04/28	13.32
68256	Credit Suisse AG - HKEx R Bear CBBC May 2011 C	100,000,000	2011/02/22	2011/05/23	25.00
68252	Credit Suisse AG - HSI R Bull CBBC Oct 2011 F	210,000,000	2011/02/22	2011/10/28	52.50
68253	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/02/22	2011/07/28	52.50
68254	Credit Suisse AG - HSI R Bear CBBC Jul 2011 V	210,000,000	2011/02/22	2011/07/28	52.50
68255	Credit Suisse AG - HSI R Bear CBBC Aug 2011 O	210,000,000	2011/02/22	2011/08/30	52.50
68263	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 K	400,000,000	2011/02/22	2011/10/28	100.00

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68260	UBS AG - A50 China R Bear CBBC Aug 2011 A	100,000,000	2011/02/22	2011/08/22	25.00
68250	UBS AG - HSI R Bull CBBC May 2011 Q	300,000,000	2011/02/22	2011/05/30	75.00
68251	UBS AG - HSI R Bull CBBC Jun 2011 L	300,000,000	2011/02/22	2011/06/29	75.00
68264	UBS AG - HKEx R Bear CBBC Jul 2011 C	100,000,000	2011/02/23	2011/07/18	25.00
68266	UBS AG - HSI R Bear CBBC Aug 2011 F	300,000,000	2011/02/23	2011/08/30	75.00
68265	UBS AG - ICBC R Bear CBBC Jul 2011 A	100,000,000	2011/02/23	2011/07/25	25.00
68287	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 R	200,000,000	2011/02/24	2011/09/29	50.00
68288	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2011 Q	200,000,000	2011/02/24	2011/10/28	50.00
68283	Credit Suisse AG - A50 China R Bull CBBC Jun 2011 C	100,000,000	2011/02/24	2011/06/27	25.00
68284	Credit Suisse AG - HSI R Bull CBBC Jul 2011 R	210,000,000	2011/02/24	2011/07/28	52.50
68277	Credit Suisse AG - HSI R Bear CBBC May 2011 S	210,000,000	2011/02/24	2011/05/30	52.50
68289	Deutsche Bank AG - China Life R Bull CBBC Jul 2011 D	100,000,000	2011/02/24	2011/07/25	25.00
68270	Deutsche Bank AG - DJIA R Bear CBBC Dec 2011 A	80,000,000	2011/02/24	2011/12/19	20.00
68271	Deutsche Bank AG - HSCEI R Bull CBBC Jun 2011 F	100,000,000	2011/02/24	2011/06/29	25.00
68272	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 I	300,000,000	2011/02/24	2011/08/30	75.00
68276	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 K	300,000,000	2011/02/24	2011/06/29	75.00
68267	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2011 A	80,000,000	2011/02/24	2011/09/29	20.40
68268	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2011 B	80,000,000	2011/02/24	2011/09/29	20.40
68269	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2011 C	80,000,000	2011/02/24	2011/09/29	20.00
68273	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2011 D	80,000,000	2011/02/24	2011/09/29	20.00
68274	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2011 E	80,000,000	2011/02/24	2011/09/29	20.00
68285	UBS AG - HSI R Bull CBBC May 2011 R	300,000,000	2011/02/24	2011/05/30	75.00
68275	UBS AG - HSI R Bull CBBC May 2011 Z	300,000,000	2011/02/24	2011/05/30	75.00
68281	UBS AG - HSI R Bear CBBC May 2011 W	300,000,000	2011/02/24	2011/05/30	75.00
66642#	UBS AG - HSI R Bear CBBC Jun 2011 B	200,000,000	2011/02/24	2011/06/29	18.40
68314	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 A	200,000,000	2011/02/25	2011/12/29	50.00
68315	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/02/25	2011/12/29	50.00
68311	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/02/25	2011/12/29	50.00
68298	Credit Suisse AG - HSI R Bull CBBC Aug 2011 P	210,000,000	2011/02/25	2011/08/30	52.50
68299	Credit Suisse AG - HSI R Bull CBBC Sep 2011 I	210,000,000	2011/02/25	2011/09/29	52.50
68294	Credit Suisse AG - HSI R Bear CBBC May 2011 L	210,000,000	2011/02/25	2011/05/30	52.50
68304	Deutsche Bank AG - HSBC R Bull CBBC Jul 2011 C	100,000,000	2011/02/25	2011/07/18	25.00
68308	Deutsche Bank AG - HSBC R Bear CBBC Jul 2011 D	100,000,000	2011/02/25	2011/07/18	28.00
68305	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/02/25	2011/08/30	75.00
68306	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 R	300,000,000	2011/02/25	2011/09/29	75.00
68307	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 L	300,000,000	2011/02/25	2011/10/28	75.00
68295	Deutsche Bank AG - HSI R Bear CBBC May 2011 E	300,000,000	2011/02/25	2011/05/30	78.00
68296	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 Y	300,000,000	2011/02/25	2011/06/29	75.00
68309	J P Morgan SP BV - HSI R Bull CBBC May 2011 L	200,000,000	2011/02/25	2011/05/30	50.00
68310	J P Morgan SP BV - HSI R Bull CBBC May 2011 M	200,000,000	2011/02/25	2011/05/30	50.00
68317	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 B	128,000,000	2011/02/25	2011/06/29	32.00
68318	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 H	228,000,000	2011/02/25	2011/07/28	57.00
68293	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May11K	300,000,000	2011/02/25	2011/05/30	75.00
68292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May11L	300,000,000	2011/02/25	2011/05/30	75.00
68290	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May11M	300,000,000	2011/02/25	2011/05/30	75.00

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68301	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May11O	300,000,000	2011/02/25	2011/05/30	75.00
68300	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May11P	300,000,000	2011/02/25	2011/05/30	75.00
68302	UBS AG - HSI R Bull CBBC May 2011 X	300,000,000	2011/02/25	2011/05/30	75.00
68303	UBS AG - HSI R Bull CBBC Jun 2011 O	300,000,000	2011/02/25	2011/06/29	75.00
68297	UBS AG - HSI R Bear CBBC Jun 2011 G	300,000,000	2011/02/25	2011/06/29	75.00
64908#	HK Bank - HSI R Bear CBBC May 2011 B	300,000,000	2011/02/25	2011/05/30	33.30
68330	Credit Suisse AG - HSI R Bull CBBC Jul 2011 I	210,000,000	2011/02/28	2011/07/28	52.50
68331	Credit Suisse AG - HSI R Bull CBBC Sep 2011 J	210,000,000	2011/02/28	2011/09/29	52.50
68332	Credit Suisse AG - HSI R Bear CBBC May 2011 K	210,000,000	2011/02/28	2011/05/30	52.50
68333	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 S	300,000,000	2011/02/28	2011/09/29	90.00
68334	Deutsche Bank AG - HSI R Bear CBBC May 2011 H	300,000,000	2011/02/28	2011/05/30	75.00
68319	HK Bank - HSI R Bull CBBC Jul 2011 H	150,000,000	2011/02/28	2011/07/28	37.50
68321	HK Bank - HSI R Bull CBBC Jul 2011 I	100,000,000	2011/02/28	2011/07/28	25.00
68322	HK Bank - HSI R Bull CBBC Sep 2011 A	120,000,000	2011/02/28	2011/09/29	30.00
68327	HK Bank - HSI R Bull CBBC Sep 2011 B	100,000,000	2011/02/28	2011/09/29	25.00
68329	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May11N	300,000,000	2011/02/28	2011/05/30	75.00
68337	UBS AG - China Mobile R Bear CBBC Aug 2011 B	100,000,000	2011/02/28	2011/08/22	25.00
68335	UBS AG - HSI R Bull CBBC May 2011 O	300,000,000	2011/02/28	2011/05/30	75.00
68339	UBS AG - HSI R Bear CBBC May 2011 F	300,000,000	2011/02/28	2011/05/30	75.00
67711#	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 A	180,000,000	2011/02/28	2011/07/28	22.32
68342	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 M	200,000,000	2011/03/01	2011/07/28	50.00
68343	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 N	200,000,000	2011/03/01	2011/07/28	50.00
68344	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 O	200,000,000	2011/03/01	2011/07/28	50.00
68345	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 P	200,000,000	2011/03/01	2011/07/28	50.00
68346	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 Q	200,000,000	2011/03/01	2011/07/28	50.00
68338	Credit Suisse AG - HSI R Bull CBBC Aug 2011 Q	210,000,000	2011/03/01	2011/08/30	52.50
68358	Credit Suisse AG - HSI R Bear CBBC May 2011 J	210,000,000	2011/03/01	2011/05/30	52.50
68353	Credit Suisse AG - HSI R Bear CBBC Jun 2011 Y	210,000,000	2011/03/01	2011/06/29	52.50
68341	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 T	300,000,000	2011/03/01	2011/09/29	75.00
68347	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 M	300,000,000	2011/03/01	2011/10/28	96.00
68348	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 N	300,000,000	2011/03/01	2011/10/28	87.00
68349	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 O	300,000,000	2011/03/01	2011/10/28	81.00
68350	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 B	300,000,000	2011/03/01	2011/11/29	105.00
68351	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 W	300,000,000	2011/03/01	2011/06/29	75.00
68361	J P Morgan SP BV - HSI R Bear CBBC Jun 2011 A	200,000,000	2011/03/01	2011/06/29	50.00
68363	J P Morgan SP BV - HSI R Bear CBBC Jun 2011 B	200,000,000	2011/03/01	2011/06/29	50.00
68364	J P Morgan SP BV - HSI R Bear CBBC Jun 2011 C	200,000,000	2011/03/01	2011/06/29	50.00
68355	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 W	208,000,000	2011/03/01	2011/06/29	52.00
68359	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 Y	308,000,000	2011/03/01	2011/06/29	77.00
68360	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 I	168,000,000	2011/03/01	2011/07/28	42.00
68340	UBS AG - HSI R Bull CBBC Jun 2011 N	300,000,000	2011/03/01	2011/06/29	75.00
68356	UBS AG - HSI R Bear CBBC Jun 2011 J	300,000,000	2011/03/01	2011/06/29	75.00
68357	UBS AG - HSI R Bear CBBC Jul 2011 X	300,000,000	2011/03/01	2011/07/28	75.00
67712#	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 B	240,000,000	2011/03/01	2011/07/28	10.32
68379	Credit Suisse AG - HSI R Bull CBBC Aug 2011 R	210,000,000	2011/03/02	2011/08/30	52.50

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68388	Credit Suisse AG - HSI R Bear CBBC Jun 2011 W	210,000,000	2011/03/02	2011/06/29	52.50
68382	Deutsche Bank AG - China Life R Bear CBBC Aug 2011 A	100,000,000	2011/03/02	2011/08/02	27.00
68385	Deutsche Bank AG - A50 China R Bear CBBC Aug 2011 A	100,000,000	2011/03/02	2011/08/02	25.00
68383	Deutsche Bank AG - HSBC R Bear CBBC Aug 2011 A	100,000,000	2011/03/02	2011/08/02	25.00
68381	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 D	300,000,000	2011/03/02	2011/08/30	75.00
68387	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 X	300,000,000	2011/03/02	2011/06/29	75.00
68386	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2011 C	200,000,000	2011/03/02	2011/06/29	50.00
68371	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11T	300,000,000	2011/03/02	2011/08/30	114.00
68372	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11U	300,000,000	2011/03/02	2011/08/30	111.00
68373	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11V	300,000,000	2011/03/02	2011/08/30	108.00
68376	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11G	300,000,000	2011/03/02	2011/06/29	75.00
68375	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11H	300,000,000	2011/03/02	2011/06/29	75.00
68374	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11I	300,000,000	2011/03/02	2011/06/29	75.00
68378	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11W	300,000,000	2011/03/02	2011/06/29	75.00
68377	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11X	300,000,000	2011/03/02	2011/06/29	75.00
68380	UBS AG - HSI R Bull CBBC Jul 2011 Y	300,000,000	2011/03/02	2011/07/28	75.00
68390	UBS AG - HSI R Bear CBBC Jun 2011 A	300,000,000	2011/03/02	2011/06/29	75.00
68393	UBS AG - HSI R Bear CBBC Jul 2011 Z	300,000,000	2011/03/02	2011/07/28	75.00
68441	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 R	200,000,000	2011/03/03	2011/07/28	50.00
68442	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 S	200,000,000	2011/03/03	2011/07/28	50.00
68443	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 T	200,000,000	2011/03/03	2011/07/28	50.00
68445	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 U	200,000,000	2011/03/03	2011/07/28	50.00
68447	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 V	200,000,000	2011/03/03	2011/07/28	50.00
68407	Credit Suisse AG - HSI R Bull CBBC Aug 2011 S	210,000,000	2011/03/03	2011/08/30	52.50
68427	Credit Suisse AG - HSI R Bear CBBC Jun 2011 H	210,000,000	2011/03/03	2011/06/29	52.50
68406	Credit Suisse AG - HSI R Bear CBBC Jun 2011 O	210,000,000	2011/03/03	2011/06/29	52.50
68475	Deutsche Bank AG - China Mobile R Bull CBBC Jul 2011 D	100,000,000	2011/03/03	2011/07/25	32.00
68476	Deutsche Bank AG - China Mobile R Bear CBBC Jul 2011 E	100,000,000	2011/03/03	2011/07/25	25.00
68465	Deutsche Bank AG - China Life R Bull CBBC Aug 2011 B	100,000,000	2011/03/03	2011/08/09	47.00
68474	Deutsche Bank AG - China Life R Bear CBBC Jul 2011 E	100,000,000	2011/03/03	2011/07/18	25.00
68480	Deutsche Bank AG - A50 China R Bull CBBC Aug 2011 B	100,000,000	2011/03/03	2011/08/09	27.00
68481	Deutsche Bank AG - A50 China R Bear CBBC Aug 2011 C	100,000,000	2011/03/03	2011/08/09	25.00
68477	Deutsche Bank AG - HSBC R Bull CBBC Aug 2011 B	100,000,000	2011/03/03	2011/08/02	33.00
68478	Deutsche Bank AG - HSBC R Bear CBBC Jul 2011 E	100,000,000	2011/03/03	2011/07/18	25.00
68410	Deutsche Bank AG - HSCEI R Bear CBBC Aug 2011 A	100,000,000	2011/03/03	2011/08/30	25.00
68425	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 K	300,000,000	2011/03/03	2011/08/30	75.00
68426	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/03/03	2011/09/29	75.00
68460	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 L	300,000,000	2011/03/03	2011/06/29	75.00
68409	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 Z	300,000,000	2011/03/03	2011/06/29	75.00
68461	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/03/03	2011/07/28	75.00
68411	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 Z	248,000,000	2011/03/03	2011/06/29	62.00
68422	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 J	168,000,000	2011/03/03	2011/07/28	42.00
68430	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 K	248,000,000	2011/03/03	2011/07/28	62.00
68431	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 L	288,000,000	2011/03/03	2011/07/28	72.00
68434	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 E	168,000,000	2011/03/03	2011/08/30	55.44

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68436	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 F	208,000,000	2011/03/03	2011/08/30	52.00
68437	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2011 B	400,000,000	2011/03/03	2011/09/29	100.00
68438	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jun 2011 D	208,000,000	2011/03/03	2011/06/29	52.00
68439	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 M	248,000,000	2011/03/03	2011/07/28	62.00
68449	RB of Scotland N.V. - HSI R Bull CBBC Jun 2011 O	250,000,000	2011/03/03	2011/06/29	62.50
68450	RB of Scotland N.V. - HSI R Bull CBBC Jun 2011 P	250,000,000	2011/03/03	2011/06/29	62.50
68451	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 Q	250,000,000	2011/03/03	2011/06/29	62.50
68452	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 R	250,000,000	2011/03/03	2011/06/29	62.50
68453	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 S	250,000,000	2011/03/03	2011/06/29	62.50
68455	RB of Scotland N.V. - HSI R Bear CBBC Jun 2011 T	250,000,000	2011/03/03	2011/06/29	68.50
68403	SGA Societe Generale Acceptance N.V. - CMob R Bull CBBC Jul11A	300,000,000	2011/03/03	2011/07/25	75.00
68405	SGA Societe Generale Acceptance N.V. - CMob R Bear CBBC Jul11B	300,000,000	2011/03/03	2011/07/25	75.00
68394	SGA Societe Generale Acceptance N.V - CLife R Bull CBBC Jul11A	100,000,000	2011/03/03	2011/07/25	45.00
68395	SGA Societe Generale Acceptance N.V - CLife R Bull CBBC Jul11B	100,000,000	2011/03/03	2011/07/25	33.00
68396	SGA Societe Generale Acceptance N.V - CLife R Bear CBBC Jul11C	100,000,000	2011/03/03	2011/07/25	44.00
68397	SGA Societe Generale Acceptance N.V - CLife R Bear CBBC Jul11D	100,000,000	2011/03/03	2011/07/25	55.00
68398	SGA Societe Generale Acceptance N.V - CLife R Bear CBBC Jul11E	100,000,000	2011/03/03	2011/07/25	66.00
68423	UBS AG - HSI R Bull CBBC Jun 2011 L	300,000,000	2011/03/03	2011/06/29	75.00
68424	UBS AG - HSI R Bull CBBC Jul 2011 A	300,000,000	2011/03/03	2011/07/28	75.00
68428	UBS AG - HSI R Bear CBBC Jul 2011 F	300,000,000	2011/03/03	2011/07/28	75.00
68429	UBS AG - HSI R Bear CBBC Aug 2011 G	300,000,000	2011/03/03	2011/08/30	75.00
68523	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 S	200,000,000	2011/03/04	2011/09/29	50.00
68525	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 T	200,000,000	2011/03/04	2011/09/29	50.00
68526	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 U	200,000,000	2011/03/04	2011/09/29	50.00
68529	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 V	200,000,000	2011/03/04	2011/09/29	50.00
68499	Credit Suisse AG - HSI R Bull CBBC Sep 2011 K	210,000,000	2011/03/04	2011/09/29	52.50
68500	Credit Suisse AG - HSI R Bull CBBC Sep 2011 L	210,000,000	2011/03/04	2011/09/29	52.50
68493	Credit Suisse AG - HSI R Bear CBBC Aug 2011 T	210,000,000	2011/03/04	2011/08/30	52.50
68494	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 P	300,000,000	2011/03/04	2011/10/28	75.00
68491	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 X	300,000,000	2011/03/04	2011/07/28	75.00
68486	HK Bank - HSI R Bull CBBC Aug 2011 C	150,000,000	2011/03/04	2011/08/30	37.50
68488	HK Bank - HSI R Bull CBBC Aug 2011 D	150,000,000	2011/03/04	2011/08/30	37.50
68492	HK Bank - HSI R Bear CBBC Aug 2011 E	120,000,000	2011/03/04	2011/08/30	30.00
68497	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11J	300,000,000	2011/03/04	2011/06/29	75.00
68496	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Q	300,000,000	2011/03/04	2011/06/29	75.00
68495	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Y	300,000,000	2011/03/04	2011/06/29	75.00
68498	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11M	300,000,000	2011/03/04	2011/07/28	75.00
68483	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11F	300,000,000	2011/03/04	2011/06/29	75.00
68484	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11L	300,000,000	2011/03/04	2011/06/29	75.00
68482	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11U	300,000,000	2011/03/04	2011/06/29	75.00
68485	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11Z	300,000,000	2011/03/04	2011/06/29	75.00
68507	UBS AG - Cheung Kong R Bear CBBC Aug 2011 A	100,000,000	2011/03/04	2011/08/22	26.50
68501	UBS AG - HSI R Bull CBBC Jul 2011 M	300,000,000	2011/03/04	2011/07/28	75.00
68489	UBS AG - HSI R Bear CBBC Jul 2011 K	300,000,000	2011/03/04	2011/07/28	75.00
68521	UBS AG - Hutchison R Bear CBBC Aug 2011 A	100,000,000	2011/03/04	2011/08/22	25.00

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68522	UBS AG - SHK Ppt R Bear CBBC Sep 2011 A	100,000,000	2011/03/04	2011/09/12	25.00
68563	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 W	200,000,000	2011/03/07	2011/09/29	50.00
68564	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 X	200,000,000	2011/03/07	2011/09/29	50.00
68570	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 Y	200,000,000	2011/03/07	2011/09/29	50.00
68572	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 Z	200,000,000	2011/03/07	2011/09/29	50.00
68543	Credit Suisse AG - A50 China R Bear CBBC Jun 2011 D	100,000,000	2011/03/07	2011/06/27	25.00
68535	Credit Suisse AG - HKEx R Bear CBBC Jun 2011 A	100,000,000	2011/03/07	2011/06/27	25.00
68534	Credit Suisse AG - HSI R Bull CBBC Aug 2011 U	210,000,000	2011/03/07	2011/08/30	52.50
68532	Credit Suisse AG - HSI R Bull CBBC Sep 2011 M	210,000,000	2011/03/07	2011/09/29	52.50
68557	Credit Suisse AG - HSI R Bear CBBC Jun 2011 X	210,000,000	2011/03/07	2011/06/29	52.50
68576	Deutsche Bank AG - China Life R Bull CBBC Aug 2011 C	100,000,000	2011/03/07	2011/08/16	27.00
68580	Deutsche Bank AG - HSBC R Bull CBBC Jul 2011 F	100,000,000	2011/03/07	2011/07/25	25.00
68556	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 I	300,000,000	2011/03/07	2011/08/30	75.00
68575	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 V	300,000,000	2011/03/07	2011/09/29	75.00
68558	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 U	300,000,000	2011/03/07	2011/06/29	75.00
68574	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 N	248,000,000	2011/03/07	2011/07/28	62.00
68559	UBS AG - HSI R Bull CBBC Jun 2011 Z	300,000,000	2011/03/07	2011/06/29	75.00
68562	UBS AG - HSI R Bull CBBC Jul 2011 J	300,000,000	2011/03/07	2011/07/28	75.00
68548	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/03/07	2011/08/30	75.00
68555	UBS AG - HSI R Bear CBBC Jul 2011 L	300,000,000	2011/03/07	2011/07/28	75.00
67705#	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2011 U	180,000,000	2011/03/07	2011/05/30	24.48
68590	Credit Suisse AG - A50 China R Bear CBBC Jun 2011 E	100,000,000	2011/03/08	2011/06/27	25.00
68602	Credit Suisse AG - A50 China R Bear CBBC Jul 2011 A	100,000,000	2011/03/08	2011/07/25	25.00
68609	Credit Suisse AG - HKEx R Bull CBBC Jul 2011 A	100,000,000	2011/03/08	2011/07/25	25.00
68586	Credit Suisse AG - HKEx R Bear CBBC Jul 2011 B	100,000,000	2011/03/08	2011/07/25	28.00
68614	Credit Suisse AG - HSI R Bull CBBC Aug 2011 V	210,000,000	2011/03/08	2011/08/30	52.50
68615	Credit Suisse AG - HSI R Bull CBBC Sep 2011 N	210,000,000	2011/03/08	2011/09/29	52.50
68587	Credit Suisse AG - TCH R Bear CBBC Jun 2011 B	100,000,000	2011/03/08	2011/06/27	25.00
68588	Credit Suisse AG - TCH R Bear CBBC Jul 2011 A	100,000,000	2011/03/08	2011/07/25	29.00
68583	Deutsche Bank AG - China Mobile R Bull CBBC Aug 2011 A	100,000,000	2011/03/08	2011/08/02	25.00
68585	Deutsche Bank AG - A50 China R Bull CBBC Aug 2011 D	100,000,000	2011/03/08	2011/08/02	25.00
68584	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/03/08	2011/10/28	75.00
68612	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 R	300,000,000	2011/03/08	2011/06/29	75.00
68616	UBS AG - HSI R Bull CBBC Aug 2011 J	300,000,000	2011/03/08	2011/08/30	75.00
68611	UBS AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/03/08	2011/08/30	75.00
62707	UBS AG - HSI R Bear CBBC Aug 2011 K	300,000,000	2011/03/08	2011/08/30	75.00
68617	UBS AG - TCH R Bull CBBC Aug 2011 A	100,000,000	2011/03/08	2011/08/08	30.50
63057	Credit Suisse AG - HSI R Bull CBBC Aug 2011 W	210,000,000	2011/03/09	2011/08/30	52.50
63060	Credit Suisse AG - HSI R Bear CBBC Jun 2011 N	210,000,000	2011/03/09	2011/06/29	52.50
63061	Credit Suisse AG - HSI R Bear CBBC Jun 2011 V	210,000,000	2011/03/09	2011/06/29	52.50
63073	Deutsche Bank AG - HSBC R Bear CBBC Jul 2011 G	100,000,000	2011/03/09	2011/07/25	25.00
63059	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/03/09	2011/09/29	75.00
63072	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 T	300,000,000	2011/03/09	2011/07/28	75.00
63069	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 A	200,000,000	2011/03/09	2011/07/28	50.00
63070	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 B	200,000,000	2011/03/09	2011/07/28	50.00

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63071	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 C	200,000,000	2011/03/09	2011/07/28	50.00
63067	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jun 2011 B	208,000,000	2011/03/09	2011/06/29	52.00
63068	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 O	308,000,000	2011/03/09	2011/07/28	77.00
68618	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11I	300,000,000	2011/03/09	2011/06/29	75.00
68619	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11J	300,000,000	2011/03/09	2011/07/28	75.00
63021	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11K	300,000,000	2011/03/09	2011/07/28	75.00
63046	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11L	300,000,000	2011/03/09	2011/07/28	75.00
63062	UBS AG - HSBC R Bull CBBC Sep 2011 A	100,000,000	2011/03/09	2011/09/19	25.00
63066	UBS AG - HSBC R Bear CBBC Sep 2011 B	100,000,000	2011/03/09	2011/09/05	25.00
63058	UBS AG - HSI R Bull CBBC Jun 2011 K	300,000,000	2011/03/09	2011/06/29	75.00
63063	UBS AG - HSI R Bull CBBC Aug 2011 L	300,000,000	2011/03/09	2011/08/30	75.00
63064	UBS AG - HSI R Bear CBBC Jul 2011 E	300,000,000	2011/03/09	2011/07/28	75.00
63065	UBS AG - Hutchison R Bear CBBC Aug 2011 B	100,000,000	2011/03/09	2011/08/29	25.00
63091	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 W	200,000,000	2011/03/10	2011/07/28	50.00
63092	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 X	200,000,000	2011/03/10	2011/07/28	50.00
63075	Credit Suisse AG - HSI R Bear CBBC Jun 2011 L	210,000,000	2011/03/10	2011/06/29	52.50
63074	Credit Suisse AG - HSI R Bear CBBC Jun 2011 M	210,000,000	2011/03/10	2011/06/29	52.50
63078	Deutsche Bank AG - A50 China R Bull CBBC Aug 2011 E	100,000,000	2011/03/10	2011/08/16	25.00
63083	Deutsche Bank AG - A50 China R Bear CBBC Aug 2011 F	100,000,000	2011/03/10	2011/08/09	25.00
63080	Deutsche Bank AG - HSBC R Bull CBBC Jul 2011 H	100,000,000	2011/03/10	2011/07/26	25.00
63081	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 S	300,000,000	2011/03/10	2011/08/30	75.00
63084	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 Q	300,000,000	2011/03/10	2011/06/29	75.00
63087	UBS AG - HKEx R Bull CBBC Jul 2011 D	100,000,000	2011/03/10	2011/07/25	25.00
63085	UBS AG - HSI R Bull CBBC Aug 2011 M	300,000,000	2011/03/10	2011/08/30	75.00
63086	UBS AG - HSI R Bull CBBC Sep 2011 A	300,000,000	2011/03/10	2011/09/29	75.00
63077	UBS AG - HSI R Bear CBBC Jun 2011 O	300,000,000	2011/03/10	2011/06/29	75.00
63089	UBS AG - HSI R Bear CBBC Jul 2011 S	300,000,000	2011/03/10	2011/07/28	75.00
63090	UBS AG - HSI R Bear CBBC Sep 2011 B	300,000,000	2011/03/10	2011/09/29	75.00
63088	UBS AG - Hutchison R Bull CBBC Aug 2011 C	100,000,000	2011/03/10	2011/08/29	25.00
63106	Credit Suisse AG - A50 China R Bull CBBC Jul 2011 B	100,000,000	2011/03/11	2011/07/25	25.00
63107	Credit Suisse AG - HSI R Bear CBBC Jun 2011 F	210,000,000	2011/03/11	2011/06/29	52.50
63104	Credit Suisse AG - TCH R Bull CBBC Jun 2011 C	100,000,000	2011/03/11	2011/06/27	25.00
63105	Credit Suisse AG - TCH R Bull CBBC Jul 2011 B	100,000,000	2011/03/11	2011/07/25	25.00
63113	Credit Suisse AG - TCH R Bear CBBC Jun 2011 D	100,000,000	2011/03/11	2011/06/27	45.00
63114	Credit Suisse AG - TCH R Bear CBBC Jul 2011 C	100,000,000	2011/03/11	2011/07/25	56.00
63119	Deutsche Bank AG - HSCEI R Bull CBBC Aug 2011 B	100,000,000	2011/03/11	2011/08/30	25.00
63096	HK Bank - China Life R Bull CBBC Aug 2011 A	80,000,000	2011/03/11	2011/08/25	20.00
63103	HK Bank - Sinopec Corp R Bear CBBC Oct 2011 A	100,000,000	2011/03/11	2011/10/12	25.00
63097	HK Bank - HKEx R Bull CBBC Sep 2011 B	100,000,000	2011/03/11	2011/09/27	25.00
63098	HK Bank - ICBC R Bull CBBC Sep 2011 A	100,000,000	2011/03/11	2011/09/16	25.00
63101	HK Bank - SHK Ppt R Bull CBBC Dec 2011 A	100,000,000	2011/03/11	2011/12/15	25.00
63102	HK Bank - TCH R Bull CBBC Nov 2011 A	80,000,000	2011/03/11	2011/11/18	28.00
63093	Nomura Int'l plc - HSI R Bull CBBC Jun 2011 A	200,000,000	2011/03/11	2011/06/29	80.00
63094	Nomura Int'l plc - HSI R Bull CBBC Jun 2011 B	200,000,000	2011/03/11	2011/06/29	62.00
63095	Nomura Int'l plc - HSI R Bull CBBC Jul 2011 A	200,000,000	2011/03/11	2011/07/28	78.00

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63099	Nomura Int'l plc - HSI R Bear CBBC Jun 2011 C	200,000,000	2011/03/11	2011/06/29	80.00
63100	Nomura Int'l plc - HSI R Bear CBBC Jul 2011 B	200,000,000	2011/03/11	2011/07/28	98.00
63109	UBS AG - A50 China R Bull CBBC Sep 2011 A	100,000,000	2011/03/11	2011/09/26	25.00
63115	UBS AG - A50 China R Bear CBBC Sep 2011 B	100,000,000	2011/03/11	2011/09/05	25.00
63118	UBS AG - HSI R Bull CBBC Jun 2011 N	300,000,000	2011/03/11	2011/06/29	75.00
63116	UBS AG - HSI R Bear CBBC Jun 2011 J	300,000,000	2011/03/11	2011/06/29	75.00
63108	UBS AG - TCH R Bull CBBC Sep 2011 A	100,000,000	2011/03/11	2011/09/19	28.50
63117	UBS AG - TCH R Bear CBBC Sep 2011 B	100,000,000	2011/03/11	2011/09/05	26.00
63120	Credit Suisse AG - HSI R Bull CBBC Aug 2011 X	210,000,000	2011/03/14	2011/08/30	52.50
63121	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/03/14	2011/09/29	75.00
63122	UBS AG - HSI R Bull CBBC Jun 2011 A	300,000,000	2011/03/14	2011/06/29	75.00
63135	Barclays Bank plc - HSI R Bull CBBC Sep 2011 A	300,000,000	2011/03/15	2011/09/29	75.00
63136	Barclays Bank plc - HSI R Bull CBBC Sep 2011 B	300,000,000	2011/03/15	2011/09/29	75.00
63137	Barclays Bank plc - HSI R Bull CBBC Sep 2011 C	300,000,000	2011/03/15	2011/09/29	75.00
63141	Barclays Bank plc - HSI R Bear CBBC Sep 2011 D	300,000,000	2011/03/15	2011/09/29	75.00
63143	Barclays Bank plc - HSI R Bear CBBC Sep 2011 E	300,000,000	2011/03/15	2011/09/29	75.00
63157	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/03/15	2011/12/29	50.00
63156	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/03/15	2011/12/29	50.00
63159	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/03/15	2011/12/29	50.00
63158	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 X	200,000,000	2011/03/15	2011/12/29	50.00
63139	Credit Suisse AG - A50 China R Bull CBBC Aug 2011 A	100,000,000	2011/03/15	2011/08/22	25.00
63160	Credit Suisse AG - HSI R Bull CBBC Aug 2011 Y	210,000,000	2011/03/15	2011/08/30	52.50
63140	Credit Suisse AG - HSI R Bear CBBC Jun 2011 E	210,000,000	2011/03/15	2011/06/29	52.50
63149	Deutsche Bank AG - China Life R Bull CBBC Aug 2011 D	100,000,000	2011/03/15	2011/08/23	25.00
63151	Deutsche Bank AG - China Life R Bear CBBC Aug 2011 E	100,000,000	2011/03/15	2011/08/30	48.00
63152	Deutsche Bank AG - A50 China R Bull CBBC Aug 2011 G	100,000,000	2011/03/15	2011/08/30	25.00
63153	Deutsche Bank AG - A50 China R Bear CBBC Aug 2011 H	100,000,000	2011/03/15	2011/08/23	25.00
63145	Deutsche Bank AG - HSCEI R Bear CBBC Sep 2011 A	80,000,000	2011/03/15	2011/09/29	20.00
63146	Deutsche Bank AG - HSCEI R Bear CBBC Sep 2011 B	80,000,000	2011/03/15	2011/09/29	28.00
63164	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 Y	300,000,000	2011/03/15	2011/09/29	78.00
63165	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 R	300,000,000	2011/03/15	2011/10/28	75.00
63147	Deutsche Bank AG - HSI R Bear CBBC Jun 2011 J	300,000,000	2011/03/15	2011/06/29	75.00
63148	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 S	300,000,000	2011/03/15	2011/07/28	75.00
63166	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 P	228,000,000	2011/03/15	2011/07/28	57.00
63168	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 Q	168,000,000	2011/03/15	2011/07/28	42.00
63123	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2011 B	100,000,000	2011/03/15	2011/06/29	25.00
63124	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2011 C	100,000,000	2011/03/15	2011/06/29	25.00
63125	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2011 D	100,000,000	2011/03/15	2011/06/29	25.00
63131	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2011 E	100,000,000	2011/03/15	2011/06/29	25.00
63133	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2011 F	100,000,000	2011/03/15	2011/06/29	25.00
63134	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2011 G	100,000,000	2011/03/15	2011/06/29	25.00
63155	UBS AG - HSI R Bull CBBC Jul 2011 V	300,000,000	2011/03/15	2011/07/28	75.00
63144	UBS AG - HSI R Bear CBBC Jun 2011 G	300,000,000	2011/03/15	2011/06/29	75.00
63138	UBS AG - HSI R Bear CBBC Aug 2011 N	300,000,000	2011/03/15	2011/08/30	75.00
63154	UBS AG - Ping An R Bull CBBC Aug 2011 A	100,000,000	2011/03/15	2011/08/15	25.00

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63180	Credit Suisse AG - HSI R Bull CBBC Sep 2011 O	210,000,000	2011/03/16	2011/09/29	52.50
63184	Credit Suisse AG - HSI R Bull CBBC Sep 2011 P	210,000,000	2011/03/16	2011/09/29	52.50
63185	Credit Suisse AG - HSI R Bull CBBC Sep 2011 Q	210,000,000	2011/03/16	2011/09/29	52.50
63179	Credit Suisse AG - HSI R Bear CBBC Jun 2011 C	210,000,000	2011/03/16	2011/06/29	52.50
63169	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 C	300,000,000	2011/03/16	2011/11/29	75.00
63182	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 E	300,000,000	2011/03/16	2011/11/29	75.00
63181	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 S	300,000,000	2011/03/16	2011/10/28	75.00
63173	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 D	300,000,000	2011/03/16	2011/11/29	108.00
63174	HK Bank - A50 R Bull CBBC Sep 2011 A	100,000,000	2011/03/16	2011/09/09	25.00
63176	HK Bank - HSI R Bull CBBC Sep 2011 C	150,000,000	2011/03/16	2011/09/29	37.50
63177	HK Bank - HSI R Bull CBBC Sep 2011 D	150,000,000	2011/03/16	2011/09/29	37.50
63171	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11G	300,000,000	2011/03/16	2011/06/29	75.00
63170	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11H	300,000,000	2011/03/16	2011/06/29	75.00
63175	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11X	300,000,000	2011/03/16	2011/06/29	75.00
63172	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Z	300,000,000	2011/03/16	2011/06/29	75.00
63187	UBS AG - HSI R Bull CBBC Jun 2011 Z	300,000,000	2011/03/16	2011/06/29	75.00
63186	UBS AG - HSI R Bull CBBC Jul 2011 P	300,000,000	2011/03/16	2011/07/28	75.00
63178	UBS AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/03/16	2011/07/28	75.00
63191	UBS AG - HSI R Bear CBBC Jul 2011 X	300,000,000	2011/03/16	2011/07/28	75.00
63197	Credit Suisse AG - HSI R Bull CBBC Aug 2011 Z	210,000,000	2011/03/17	2011/08/30	52.50
63196	Credit Suisse AG - HSI R Bear CBBC Jun 2011 B	210,000,000	2011/03/17	2011/06/29	52.50
63192	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 E	300,000,000	2011/03/17	2011/09/29	75.00
63188	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 Z	300,000,000	2011/03/17	2011/09/29	75.00
63200	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 A	250,000,000	2011/03/17	2011/08/30	62.50
63201	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 B	250,000,000	2011/03/17	2011/08/30	62.50
63202	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 C	250,000,000	2011/03/17	2011/08/30	62.50
63193	UBS AG - China Life R Bull CBBC Sep 2011 A	100,000,000	2011/03/17	2011/09/12	25.00
63189	UBS AG - HSI R Bull CBBC Aug 2011 O	300,000,000	2011/03/17	2011/08/30	75.00
63195	UBS AG - HSI R Bull CBBC Sep 2011 C	300,000,000	2011/03/17	2011/09/29	75.00
63198	UBS AG - HSI R Bear CBBC Aug 2011 P	300,000,000	2011/03/17	2011/08/30	75.00
63199	UBS AG - HSI R Bear CBBC Aug 2011 Q	300,000,000	2011/03/17	2011/08/30	75.00
63194	UBS AG - TCH R Bear CBBC Sep 2011 C	100,000,000	2011/03/17	2011/09/26	33.50
63237	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 A	200,000,000	2011/03/18	2011/07/28	50.00
63234	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 D	200,000,000	2011/03/18	2011/07/28	50.00
63231	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 F	200,000,000	2011/03/18	2011/07/28	50.00
63242	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 G	200,000,000	2011/03/18	2011/07/28	50.00
63224	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 Y	200,000,000	2011/03/18	2011/07/28	50.00
63227	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 Z	200,000,000	2011/03/18	2011/07/28	50.00
63210	Credit Suisse AG - HSI R Bull CBBC Aug 2011 I	210,000,000	2011/03/18	2011/08/30	52.50
63213	Credit Suisse AG - HSI R Bear CBBC Jun 2011 Y	210,000,000	2011/03/18	2011/06/29	52.50
63209	Credit Suisse AG - HSI R Bear CBBC Jun 2011 Z	210,000,000	2011/03/18	2011/06/29	52.50
63220	Deutsche Bank AG - A50 China R Bear CBBC Aug 2011 I	80,000,000	2011/03/18	2011/08/30	20.00
63219	Deutsche Bank AG - HSBC R Bear CBBC Sep 2011 A	80,000,000	2011/03/18	2011/09/06	20.00
63216	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/03/18	2011/10/28	78.00
63217	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 Y	300,000,000	2011/03/18	2011/07/28	75.00

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63218	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 U	300,000,000	2011/03/18	2011/08/30	75.00
63214	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 R	248,000,000	2011/03/18	2011/07/28	62.00
63215	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 S	248,000,000	2011/03/18	2011/07/28	62.00
63207	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11F	300,000,000	2011/03/18	2011/06/29	75.00
63206	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11L	300,000,000	2011/03/18	2011/06/29	75.00
63204	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11U	300,000,000	2011/03/18	2011/06/29	75.00
63203	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11W	300,000,000	2011/03/18	2011/06/29	75.00
63208	UBS AG - HSI R Bull CBBC Jun 2011 T	300,000,000	2011/03/18	2011/06/29	75.00
63211	UBS AG - HSI R Bear CBBC Jul 2011 Z	300,000,000	2011/03/18	2011/07/28	75.00
63212	UBS AG - HSI R Bear CBBC Aug 2011 R	300,000,000	2011/03/18	2011/08/30	75.00
63250	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 C	200,000,000	2011/03/21	2011/07/28	50.00
63248	Credit Suisse AG - HSI R Bull CBBC Aug 2011 D	210,000,000	2011/03/21	2011/08/30	52.50
63265	Credit Suisse AG - HSI R Bear CBBC Jun 2011 O	210,000,000	2011/03/21	2011/06/29	52.50
63247	Credit Suisse AG - HSI R Bear CBBC Jun 2011 W	210,000,000	2011/03/21	2011/06/29	52.50
63251	Deutsche Bank AG - China Life R Bear CBBC Sep 2011 A	80,000,000	2011/03/21	2011/09/06	20.80
63262	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 A	80,000,000	2011/03/21	2011/09/06	20.00
63264	Deutsche Bank AG - A50 China R Bear CBBC Sep 2011 B	80,000,000	2011/03/21	2011/09/06	20.00
63258	Deutsche Bank AG - HSBC R Bull CBBC Sep 2011 B	80,000,000	2011/03/21	2011/09/27	20.00
63259	Deutsche Bank AG - HSBC R Bull CBBC Sep 2011 C	80,000,000	2011/03/21	2011/09/20	20.00
63254	Deutsche Bank AG - HSCEI R Bear CBBC Sep 2011 C	80,000,000	2011/03/21	2011/09/29	20.00
63256	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 F	300,000,000	2011/03/21	2011/11/29	75.00
63257	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 V	300,000,000	2011/03/21	2011/08/30	75.00
63243	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11I	300,000,000	2011/03/21	2011/06/29	75.00
63244	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11W	300,000,000	2011/03/21	2011/08/30	75.00
63245	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11X	300,000,000	2011/03/21	2011/08/30	75.00
63246	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11Y	300,000,000	2011/03/21	2011/08/30	75.00
63266	UBS AG - China Mobile R Bear CBBC Aug 2011 C	100,000,000	2011/03/21	2011/08/22	25.00
63270	UBS AG - China Life R Bear CBBC Sep 2011 B	100,000,000	2011/03/21	2011/09/05	59.00
63267	UBS AG - A50 China R Bear CBBC Sep 2011 C	100,000,000	2011/03/21	2011/09/12	25.00
63249	UBS AG - HSI R Bull CBBC Jul 2011 F	300,000,000	2011/03/21	2011/07/28	75.00
63268	UBS AG - HSI R Bear CBBC Aug 2011 S	300,000,000	2011/03/21	2011/08/30	75.00
63269	UBS AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/03/21	2011/08/30	75.00
67074#	UBS AG - HSI R Bull CBBC Jun 2011 I	200,000,000	2011/03/21	2011/06/29	12.40
63307	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 H	200,000,000	2011/03/22	2011/07/28	50.00
63312	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 I	200,000,000	2011/03/22	2011/07/28	50.00
63311	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 J	200,000,000	2011/03/22	2011/07/28	50.00
63309	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 K	200,000,000	2011/03/22	2011/07/28	50.00
63308	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 L	200,000,000	2011/03/22	2011/07/28	50.00
63314	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 N	200,000,000	2011/03/22	2011/07/28	50.00
63313	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 O	200,000,000	2011/03/22	2011/07/28	50.00
63279	Credit Suisse AG - HSI R Bull CBBC Aug 2011 K	210,000,000	2011/03/22	2011/08/30	52.50
63280	Credit Suisse AG - HSI R Bull CBBC Aug 2011 L	210,000,000	2011/03/22	2011/08/30	52.50
63283	Credit Suisse AG - HSI R Bull CBBC Aug 2011 P	210,000,000	2011/03/22	2011/08/30	52.50
63284	Credit Suisse AG - HSI R Bull CBBC Sep 2011 R	210,000,000	2011/03/22	2011/09/29	52.50
63285	Credit Suisse AG - HSI R Bull CBBC Sep 2011 S	210,000,000	2011/03/22	2011/09/29	52.50

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63286	Credit Suisse AG - HSI R Bull CBBC Sep 2011 T	210,000,000	2011/03/22	2011/09/29	52.50
63287	Credit Suisse AG - HSI R Bull CBBC Sep 2011 U	210,000,000	2011/03/22	2011/09/29	52.50
63288	Credit Suisse AG - HSI R Bull CBBC Oct 2011 G	210,000,000	2011/03/22	2011/10/28	52.50
63289	Credit Suisse AG - HSI R Bull CBBC Oct 2011 H	210,000,000	2011/03/22	2011/10/28	66.15
63290	Credit Suisse AG - HSI R Bull CBBC Oct 2011 I	210,000,000	2011/03/22	2011/10/28	52.50
63291	Credit Suisse AG - HSI R Bull CBBC Oct 2011 J	210,000,000	2011/03/22	2011/10/28	52.50
63301	Credit Suisse AG - HSI R Bear CBBC Jun 2011 H	210,000,000	2011/03/22	2011/06/29	52.50
63304	Credit Suisse AG - HSI R Bear CBBC Jun 2011 N	210,000,000	2011/03/22	2011/06/29	52.50
63303	Credit Suisse AG - HSI R Bear CBBC Jun 2011 V	210,000,000	2011/03/22	2011/06/29	52.50
63302	Credit Suisse AG - HSI R Bear CBBC Jun 2011 X	210,000,000	2011/03/22	2011/06/29	52.50
63306	Credit Suisse AG - HSI R Bear CBBC Jul 2011 J	210,000,000	2011/03/22	2011/07/28	52.50
63305	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Q	210,000,000	2011/03/22	2011/07/28	52.50
63356	Deutsche Bank AG - A50 China R Bear CBBC Sep 2011 C	80,000,000	2011/03/22	2011/09/20	20.00
63355	Deutsche Bank AG - HSBC R Bear CBBC Sep 2011 D	80,000,000	2011/03/22	2011/09/20	20.00
63272	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 F	300,000,000	2011/03/22	2011/09/29	75.00
63273	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/03/22	2011/09/29	75.00
63275	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 U	300,000,000	2011/03/22	2011/10/28	75.00
63316	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/03/22	2011/10/28	105.00
63318	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 G	300,000,000	2011/03/22	2011/11/29	108.00
63319	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 H	300,000,000	2011/03/22	2011/11/29	75.00
63324	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 A	300,000,000	2011/03/22	2011/12/29	96.00
63325	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 B	300,000,000	2011/03/22	2011/12/29	126.00
63326	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 C	300,000,000	2011/03/22	2011/12/29	123.00
63327	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 D	300,000,000	2011/03/22	2011/12/29	78.00
63328	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 I	300,000,000	2011/03/22	2011/11/29	84.00
63329	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 J	300,000,000	2011/03/22	2011/11/29	90.00
63331	Deutsche Bank AG - HSI R Bear CBBC Dec 2011 E	300,000,000	2011/03/22	2011/12/29	75.00
63350	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 D	200,000,000	2011/03/22	2011/07/28	50.00
63351	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 E	200,000,000	2011/03/22	2011/07/28	50.00
63352	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 F	200,000,000	2011/03/22	2011/07/28	50.00
63353	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 G	200,000,000	2011/03/22	2011/07/28	50.00
63354	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 H	200,000,000	2011/03/22	2011/07/28	50.00
63340	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 T	168,000,000	2011/03/22	2011/07/28	42.00
63344	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 U	168,000,000	2011/03/22	2011/07/28	42.00
63345	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 V	208,000,000	2011/03/22	2011/07/28	52.00
63346	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 W	248,000,000	2011/03/22	2011/07/28	62.00
63342	RB of Scotland N.V. - BOCL R Bull CBBC Aug 2011 A	80,000,000	2011/03/22	2011/08/29	20.00
63343	RB of Scotland N.V. - Sinopec Corp R Bull CBBC Aug 2011 A	80,000,000	2011/03/22	2011/08/29	20.00
63341	RB of Scotland N.V. - A50 China R Bull CBBC Aug 2011 A	80,000,000	2011/03/22	2011/08/29	20.00
63349	RB of Scotland N.V. - A50 China R Bear CBBC Aug 2011 B	80,000,000	2011/03/22	2011/08/29	20.00
63293	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11V	300,000,000	2011/03/22	2011/06/29	75.00
63292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Y	300,000,000	2011/03/22	2011/06/29	75.00
63295	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11J	300,000,000	2011/03/22	2011/06/29	75.00
63294	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun11Q	300,000,000	2011/03/22	2011/06/29	75.00
63299	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11J	300,000,000	2011/03/22	2011/07/28	75.00

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63297	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11K	300,000,000	2011/03/22	2011/07/28	75.00
63296	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11L	300,000,000	2011/03/22	2011/07/28	75.00
63298	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/03/22	2011/07/28	75.00
63300	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11Z	300,000,000	2011/03/22	2011/08/30	75.00
63277	UBS AG - HSI R Bull CBBC Jun 2011 N	300,000,000	2011/03/22	2011/06/29	75.00
63276	UBS AG - HSI R Bull CBBC Jun 2011 O	300,000,000	2011/03/22	2011/06/29	75.00
63278	UBS AG - HSI R Bull CBBC Jul 2011 K	300,000,000	2011/03/22	2011/07/28	75.00
63335	UBS AG - HSI R Bull CBBC Aug 2011 U	300,000,000	2011/03/22	2011/08/30	81.00
63336	UBS AG - HSI R Bull CBBC Aug 2011 V	300,000,000	2011/03/22	2011/08/30	75.00
63337	UBS AG - HSI R Bull CBBC Sep 2011 D	300,000,000	2011/03/22	2011/09/29	91.50
63338	UBS AG - HSI R Bull CBBC Sep 2011 E	300,000,000	2011/03/22	2011/09/29	96.00
63333	UBS AG - HSI R Bear CBBC Jun 2011 A	300,000,000	2011/03/22	2011/06/29	75.00
63332	UBS AG - HSI R Bear CBBC Jun 2011 J	300,000,000	2011/03/22	2011/06/29	75.00
63334	UBS AG - HSI R Bear CBBC Jul 2011 L	300,000,000	2011/03/22	2011/07/28	75.00
66636#	UBS AG - HSI R Bull CBBC Jun 2011 C	300,000,000	2011/03/22	2011/06/29	19.50
63384	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 T	200,000,000	2011/03/23	2011/12/29	50.00
63382	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 U	200,000,000	2011/03/23	2011/12/29	50.00
63364	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 V	200,000,000	2011/03/23	2011/12/29	50.00
63363	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Y	200,000,000	2011/03/23	2011/12/29	50.00
63374	Credit Suisse AG - HKEx R Bear CBBC Jul 2011 C	100,000,000	2011/03/23	2011/07/25	25.00
63377	Credit Suisse AG - HSI R Bull CBBC Sep 2011 V	210,000,000	2011/03/23	2011/09/29	52.50
63378	Credit Suisse AG - HSI R Bull CBBC Sep 2011 W	210,000,000	2011/03/23	2011/09/29	52.50
63376	Credit Suisse AG - HSI R Bear CBBC Jun 2011 L	210,000,000	2011/03/23	2011/06/29	52.50
63375	Credit Suisse AG - HSI R Bear CBBC Jun 2011 M	210,000,000	2011/03/23	2011/06/29	52.50
63362	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 K	300,000,000	2011/03/23	2011/11/29	75.00
63368	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 L	300,000,000	2011/03/23	2011/11/29	78.00
63369	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 F	300,000,000	2011/03/23	2011/12/29	75.00
63370	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 W	300,000,000	2011/03/23	2011/10/28	84.00
63373	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 M	300,000,000	2011/03/23	2011/11/29	75.00
63366	HK Bank - HSI R Bull CBBC Sep 2011 E	100,000,000	2011/03/23	2011/09/29	25.00
63367	HK Bank - HSI R Bull CBBC Sep 2011 F	100,000,000	2011/03/23	2011/09/29	25.00
63371	HK Bank - HSI R Bear CBBC Sep 2011 G	100,000,000	2011/03/23	2011/09/29	25.00
63372	HK Bank - HSI R Bear CBBC Sep 2011 H	100,000,000	2011/03/23	2011/09/29	25.00
63365	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11Z	300,000,000	2011/03/23	2011/06/29	75.00
63358	UBS AG - HSI R Bull CBBC Jul 2011 Q	300,000,000	2011/03/23	2011/07/28	75.00
63361	UBS AG - HSI R Bull CBBC Aug 2011 W	300,000,000	2011/03/23	2011/08/30	75.00
63379	UBS AG - HSI R Bear CBBC Jul 2011 E	300,000,000	2011/03/23	2011/07/28	75.00
63381	UBS AG - HSI R Bear CBBC Jul 2011 J	300,000,000	2011/03/23	2011/07/28	75.00
63380	UBS AG - HSI R Bear CBBC Jul 2011 S	300,000,000	2011/03/23	2011/07/28	75.00
63462	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 M	200,000,000	2011/03/24	2011/07/28	50.00
63463	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 V	200,000,000	2011/03/24	2011/07/28	50.00
63385	Credit Suisse AG - HSI R Bull CBBC Sep 2011 X	210,000,000	2011/03/24	2011/09/29	52.50
63389	Credit Suisse AG - HSI R Bull CBBC Oct 2011 K	210,000,000	2011/03/24	2011/10/28	52.50
63422	Credit Suisse AG - HSI R Bull CBBC Nov 2011 A	210,000,000	2011/03/24	2011/11/29	56.70
63423	Credit Suisse AG - HSI R Bull CBBC Nov 2011 B	210,000,000	2011/03/24	2011/11/29	58.80

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63424	Credit Suisse AG - HSI R Bull CBBC Dec 2011 A	210,000,000	2011/03/24	2011/12/29	52.50
63425	Credit Suisse AG - HSI R Bull CBBC Dec 2011 B	210,000,000	2011/03/24	2011/12/29	52.50
63419	Credit Suisse AG - HSI R Bear CBBC Jun 2011 F	210,000,000	2011/03/24	2011/06/29	52.50
63420	Credit Suisse AG - HSI R Bear CBBC Jul 2011 A	210,000,000	2011/03/24	2011/07/28	52.50
63421	Credit Suisse AG - HSI R Bear CBBC Jul 2011 S	210,000,000	2011/03/24	2011/07/28	52.50
63461	Credit Suisse AG - TCH R Bull CBBC Aug 2011 A	100,000,000	2011/03/24	2011/08/29	25.00
63459	Credit Suisse AG - TCH R Bear CBBC Jul 2011 D	100,000,000	2011/03/24	2011/07/25	25.00
63460	Credit Suisse AG - TCH R Bear CBBC Jul 2011 E	100,000,000	2011/03/24	2011/07/25	33.50
63406	Deutsche Bank AG - China Mobile R Bull CBBC Sep 2011 A	80,000,000	2011/03/24	2011/09/27	20.00
63413	Deutsche Bank AG - China Mobile R Bear CBBC Aug 2011 B	80,000,000	2011/03/24	2011/08/02	20.00
63405	Deutsche Bank AG - China Life R Bull CBBC Sep 2011 B	80,000,000	2011/03/24	2011/09/20	44.00
63412	Deutsche Bank AG - China Life R Bear CBBC Sep 2011 C	80,000,000	2011/03/24	2011/09/20	26.40
63444	Deutsche Bank AG - DJIA R Bear CBBC Dec 2011 B	80,000,000	2011/03/24	2011/12/19	20.00
63408	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 D	80,000,000	2011/03/24	2011/09/20	20.00
63407	Deutsche Bank AG - HSBC R Bull CBBC Sep 2011 E	80,000,000	2011/03/24	2011/09/21	20.00
63395	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 X	300,000,000	2011/03/24	2011/10/28	75.00
63414	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 M	300,000,000	2011/03/24	2011/09/29	84.00
63415	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 Q	300,000,000	2011/03/24	2011/09/29	105.00
63417	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 Y	300,000,000	2011/03/24	2011/10/28	81.00
63418	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 N	300,000,000	2011/03/24	2011/11/29	75.00
63391	HK Bank - HSI R Bull CBBC Sep 2011 I	100,000,000	2011/03/24	2011/09/29	25.00
63392	HK Bank - HSI R Bull CBBC Sep 2011 J	200,000,000	2011/03/24	2011/09/29	50.00
63393	HK Bank - HSI R Bull CBBC Sep 2011 K	100,000,000	2011/03/24	2011/09/29	25.00
63394	HK Bank - HSI R Bear CBBC Aug 2011 F	100,000,000	2011/03/24	2011/08/30	25.00
63445	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 I	200,000,000	2011/03/24	2011/07/28	50.00
63446	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 J	200,000,000	2011/03/24	2011/07/28	50.00
63447	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 K	200,000,000	2011/03/24	2011/07/28	50.00
63448	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 L	200,000,000	2011/03/24	2011/07/28	50.00
63449	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 M	200,000,000	2011/03/24	2011/07/28	50.00
63450	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 N	200,000,000	2011/03/24	2011/07/28	50.00
63451	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 O	200,000,000	2011/03/24	2011/07/28	50.00
63453	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 P	200,000,000	2011/03/24	2011/07/28	50.00
63454	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 Q	200,000,000	2011/03/24	2011/07/28	50.00
63455	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 R	200,000,000	2011/03/24	2011/07/28	50.00
63457	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 S	200,000,000	2011/03/24	2011/07/28	50.00
63458	J P Morgan SP BV - HSI R Bear CBBC Jul 2011 T	200,000,000	2011/03/24	2011/07/28	50.00
63442	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 X	188,000,000	2011/03/24	2011/07/28	47.00
63443	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 G	228,000,000	2011/03/24	2011/08/30	57.00
63386	Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2011 B	200,000,000	2011/03/24	2011/07/28	50.00
63390	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2011 C	200,000,000	2011/03/24	2011/07/28	50.00
63396	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun11X	300,000,000	2011/03/24	2011/06/29	75.00
63397	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11A	300,000,000	2011/03/24	2011/09/29	103.50
63398	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11B	300,000,000	2011/03/24	2011/09/29	100.50
63399	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11C	300,000,000	2011/03/24	2011/09/29	96.00
63400	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11D	300,000,000	2011/03/24	2011/09/29	93.00

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63401	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11E	300,000,000	2011/03/24	2011/09/29	88.50
63403	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/03/24	2011/08/30	75.00
63402	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/03/24	2011/08/30	75.00
63426	UBS AG - China Mobile R Bull CBBC Aug 2011 D	100,000,000	2011/03/24	2011/08/22	25.00
63427	UBS AG - China Mobile R Bull CBBC Sep 2011 A	100,000,000	2011/03/24	2011/09/19	25.00
63428	UBS AG - HKEx R Bull CBBC Sep 2011 A	100,000,000	2011/03/24	2011/09/19	27.50
63429	UBS AG - HSBC R Bull CBBC Sep 2011 C	100,000,000	2011/03/24	2011/09/26	25.00
63387	UBS AG - HSI R Bull CBBC Jun 2011 L	300,000,000	2011/03/24	2011/06/29	75.00
63434	UBS AG - HSI R Bull CBBC Aug 2011 B	300,000,000	2011/03/24	2011/08/30	75.00
63388	UBS AG - HSI R Bull CBBC Aug 2011 X	300,000,000	2011/03/24	2011/08/30	75.00
63432	UBS AG - HSI R Bull CBBC Aug 2011 Y	300,000,000	2011/03/24	2011/08/30	81.00
63433	UBS AG - HSI R Bull CBBC Aug 2011 Z	300,000,000	2011/03/24	2011/08/30	75.00
63435	UBS AG - HSI R Bull CBBC Sep 2011 F	300,000,000	2011/03/24	2011/09/29	81.00
63436	UBS AG - HSI R Bull CBBC Sep 2011 G	300,000,000	2011/03/24	2011/09/29	75.00
63437	UBS AG - HSI R Bull CBBC Oct 2011 A	300,000,000	2011/03/24	2011/10/28	135.00
63438	UBS AG - HSI R Bull CBBC Oct 2011 B	300,000,000	2011/03/24	2011/10/28	120.00
63439	UBS AG - HSI R Bear CBBC Jun 2011 K	300,000,000	2011/03/24	2011/06/29	75.00
63440	UBS AG - HSI R Bear CBBC Jun 2011 Z	300,000,000	2011/03/24	2011/06/29	75.00
63441	UBS AG - HSI R Bear CBBC Jul 2011 Y	300,000,000	2011/03/24	2011/07/28	75.00
63431	UBS AG - TCH R Bear CBBC Sep 2011 D	100,000,000	2011/03/24	2011/09/19	28.50
63467	Credit Suisse AG - A50 China R Bear CBBC Jul 2011 C	100,000,000	2011/03/25	2011/07/25	25.00
63466	Credit Suisse AG - HKEx R Bull CBBC Aug 2011 A	100,000,000	2011/03/25	2011/08/29	26.50
63469	Credit Suisse AG - HSI R Bull CBBC Sep 2011 Y	210,000,000	2011/03/25	2011/09/29	52.50
63470	Credit Suisse AG - HSI R Bull CBBC Oct 2011 L	210,000,000	2011/03/25	2011/10/28	52.50
63471	Credit Suisse AG - HSI R Bull CBBC Nov 2011 C	210,000,000	2011/03/25	2011/11/29	52.50
63472	Credit Suisse AG - HSI R Bull CBBC Dec 2011 C	210,000,000	2011/03/25	2011/12/29	52.50
63468	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Z	210,000,000	2011/03/25	2011/07/28	52.50
63473	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 R	300,000,000	2011/03/25	2011/07/28	75.00
63464	HK Bank - TCH R Bear CBBC Sep 2011 B	100,000,000	2011/03/25	2011/09/20	39.00
63474	UBS AG - HSI R Bull CBBC Jun 2011 T	300,000,000	2011/03/25	2011/06/29	75.00
63465	UBS AG - HSI R Bear CBBC Aug 2011 A	300,000,000	2011/03/25	2011/08/30	75.00
63475	Credit Suisse AG - HSI R Bear CBBC Jul 2011 W	210,000,000	2011/03/28	2011/07/28	52.50
63478	Deutsche Bank AG - China Mobile R Bull CBBC Sep 2011 B	100,000,000	2011/03/28	2011/09/20	26.00
63479	Deutsche Bank AG - HSBC R Bull CBBC Sep 2011 F	100,000,000	2011/03/28	2011/09/06	25.00
63480	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 Z	300,000,000	2011/03/28	2011/10/28	75.00
63477	UBS AG - HSI R Bull CBBC Aug 2011 E	300,000,000	2011/03/28	2011/08/30	75.00
63476	UBS AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/03/28	2011/09/29	75.00
63482	Credit Suisse AG - HSI R Bull CBBC Aug 2011 Q	210,000,000	2011/03/29	2011/08/30	52.50
63489	Credit Suisse AG - HSI R Bull CBBC Aug 2011 U	210,000,000	2011/03/29	2011/08/30	52.50
63483	Credit Suisse AG - HSI R Bear CBBC Jun 2011 H	210,000,000	2011/03/29	2011/06/29	52.50
63485	Credit Suisse AG - HSI R Bear CBBC Jul 2011 E	210,000,000	2011/03/29	2011/07/28	52.50
63484	Credit Suisse AG - HSI R Bear CBBC Jul 2011 R	210,000,000	2011/03/29	2011/07/28	52.50
63491	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 R	300,000,000	2011/03/29	2011/09/29	75.00
63492	Deutsche Bank AG - Hutchison R Bull CBBC Oct 2011 A	80,000,000	2011/03/29	2011/10/18	20.00
63497	Deutsche Bank AG - Hutchison R Bear CBBC Oct 2011 B	80,000,000	2011/03/29	2011/10/18	20.00

主板　可收回牛熊證

股份代號	上市時之牛熊證	集資金額發行單位	上市日期	到期日	(百萬港元)
63481	HK Bank - HSI R Bull CBBC Oct 2011 A	100,000,000	2011/03/29	2011/10/28	25.00
63490	UBS AG - HSI R Bull CBBC Jun 2011 N	300,000,000	2011/03/29	2011/06/29	75.00
63510	Credit Suisse AG - Hutchison R Bull CBBC Jul 2011 A	100,000,000	2011/03/30	2011/07/25	25.00
63493	Credit Suisse AG - TCH R Bear CBBC Aug 2011 B	210,000,000	2011/03/30	2011/08/29	52.50
63494	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 C	300,000,000	2011/03/30	2011/10/28	75.00
63495	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 O	300,000,000	2011/03/30	2011/11/29	78.00
63511	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 H	208,000,000	2011/03/30	2011/08/30	52.00
63499	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11K	300,000,000	2011/03/30	2011/07/28	75.00
63498	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul11L	300,000,000	2011/03/30	2011/07/28	75.00
63501	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11F	300,000,000	2011/03/30	2011/08/30	75.00
63502	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11M	300,000,000	2011/03/30	2011/08/30	75.00
63504	UBS AG - CC Bank R Bull CBBC Sep 2011 A	100,000,000	2011/03/30	2011/09/19	25.00
63507	UBS AG - China Life R Bear CBBC Sep 2011 C	100,000,000	2011/03/30	2011/09/05	33.50
63509	UBS AG - HSCEI R Bull CBBC Aug 2011 A	100,000,000	2011/03/30	2011/08/30	25.00
63505	UBS AG - Hutchison R Bull CBBC Aug 2011 D	100,000,000	2011/03/30	2011/08/22	25.00
63506	UBS AG - SHK Ppt R Bull CBBC Oct 2011 A	100,000,000	2011/03/30	2011/10/03	25.00
63523	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 O	200,000,000	2011/03/31	2011/12/29	50.00
63522	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 R	200,000,000	2011/03/31	2011/12/29	50.00
63521	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 S	200,000,000	2011/03/31	2011/12/29	50.00
63526	Credit Suisse AG - HSI R Bull CBBC Sep 2011 Z	210,000,000	2011/03/31	2011/09/29	52.50
63527	Credit Suisse AG - HSI R Bear CBBC Jul 2011 I	210,000,000	2011/03/31	2011/07/28	52.50
63528	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 P	300,000,000	2011/03/31	2011/11/29	75.00
63524	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 T	300,000,000	2011/03/31	2011/09/29	75.00
63529	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/03/31	2011/11/29	75.00
63530	Deutsche Bank AG - Hutchison R Bull CBBC Oct 2011 C	80,000,000	2011/03/31	2011/10/25	20.00
63531	Deutsche Bank AG - Hutchison R Bear CBBC Aug 2011 A	80,000,000	2011/03/31	2011/08/23	20.00
63532	Deutsche Bank AG - Hutchison R Bear CBBC Aug 2011 B	80,000,000	2011/03/31	2011/08/25	22.40
63513	HK Bank - China Mobile R Bull CBBC Oct 2011 A	120,000,000	2011/03/31	2011/10/18	30.00
63518	HK Bank - China Mobile R Bear CBBC Oct 2011 B	100,000,000	2011/03/31	2011/10/18	25.00
63512	HK Bank - China Life R Bull CBBC Oct 2011 A	120,000,000	2011/03/31	2011/10/21	30.00
63516	HK Bank - China Life R Bear CBBC Oct 2011 B	100,000,000	2011/03/31	2011/10/21	25.00
63514	HK Bank - HKEx R Bull CBBC Sep 2011 C	100,000,000	2011/03/31	2011/09/22	27.00
63525	UBS AG - HSI R Bull CBBC Aug 2011 G	300,000,000	2011/03/31	2011/08/30	75.00
63515	UBS AG - HSI R Bear CBBC Jul 2011 V	300,000,000	2011/03/31	2011/07/28	75.00
67724#	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2011 C	180,000,000	2011/03/31	2011/07/28	20.70
總額					**80,829.55**

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2010	第 4 季 *	2	119.44
2011	第 1 季	2	139.30

* 第一家預託證券於2010年12月8日開始交易買賣

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2010	第 1 季	–	–
	第 2 季	–	–
	第 3 季	–	–
	第 4 季	–	–
2011	第 1 季	–	–

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第 1 季	7	1.33
	第 2 季	7	0.05
	第 3 季	7	0.30
	第 4 季	7	0.30
2011	第 1 季	7	0.06

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第 1 季	2	0.04
	第 2 季	2	0.00
	第 3 季	2	0.00
	第 4 季	2	0.00
2011	第 1 季	2	0.00

創業板　股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2010	第 1 季	97,877.07	31,502.84	762,645
	第 2 季	139,315.11	39,315.10	987,294
	第 3 季	88,672.33	24,297.92	647,501
	第 4 季	120,693.51	38,527.65	969,546
2011	第 1 季	124,595.93	22,614.51	608,740

創業板股份交易統計

		交易日數	每日平均成交金額(百萬港元)	平均每宗交易成交金額
2010	第 1 季	61	516.44	41,307
	第 2 季	60	655.25	39,821
	第 3 季	64	379.65	37,526
	第 4 季	64	601.75	39,738
2011	第 1 季	62	364.75	37,150

20種升幅最大之創業板股份

2011年第1季

順次	股份代號	股份	收市價 2011年3月底	收市價 2010年12月底		升幅
1	08050	FLEXSYSTEM	0.620	0.241		157.26
2	08325	奧思知集團控股	1.150	0.510		125.49
3	08156	眾彩股份	0.345	0.178		93.82
4	08150	無縫綠色	1.730	0.920		88.04
5	08075	ROJAM	0.385	0.205		87.80
6	08208	常茂生物化學工程	1.800	1.010		78.22
7	08041	中郵電貿(控股)	0.280	0.162		72.84
8	08351	永恒國際股份	1.210	0.710		70.42
9	08163	萬德資源	0.122	0.075		62.67
10	08021	匯隆控股	0.185	0.115		60.87
11	08086	易寶	1.410	0.910		54.95
12	08078	中國３Ｄ數碼	0.083	0.054	A	53.70
13	08007	數碼香港	1.760	1.170		50.43
14	08251	上海棟華	0.670	0.450		48.89
15	08270	中國聯盛	0.265	0.178		48.88
16	08109	科瑞控股	3.100	2.150		44.19
17	08292	慧聰網	1.400	1.010		38.61
18	08305	江晨國際	1.580	1.140		38.60
19	08189	泰達生物	0.420	0.315		33.33
20	08016	中華數據廣播	1.750	1.360		28.68

A　經調整

創業板　股份

20種跌幅最大之創業板股份

2011年第1季

順次	股份代號	股份	收市價 2011年3月底	收市價 2010年12月底		跌幅
1	08202	匯創控股	0.054	0.360		-85.00
2	08009	菱控有限公司	0.027	0.095		-71.58
3	08130	智城控股	0.037	0.090		-58.89
4	08186	神州東盟資源	0.255	0.580		-56.03
5	08226	中昱科技	0.860	1.670		-48.50
6	08019	皓文控股	0.059	0.112		-47.32
7	08079	無限創意控股	0.138	0.246		-43.90
8	08272	進能國際	0.123	0.219		-43.84
9	08111	一創科技	0.285	0.475		-40.00
10	08143	華夏醫療	0.395	0.640		-38.28
11	08239	明基控股	0.840	1.250	A	-32.80
12	08256	金利通	0.128	0.190		-32.63
13	08108	名家國際	0.131	0.190		-31.05
14	08200	修身堂	0.620	0.890		-30.34
15	08212	香港生命集團	0.145	0.204		-28.92
16	08070	中裕燃氣	0.490	0.680		-27.94
17	08081	駿科網絡訊息	0.159	0.220		-27.73
18	08047	中國掌付	0.265	0.365	A	-27.40
19	08003	世大控股	0.250	0.340		-26.47
20	08116	中國公共醫療	0.067	0.091		-26.37

A　經調整

創業板　股份

20種成交最活躍創業板股份(金額)

2011年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08009	菱控有限公司	2,492.73	11.02
2	08046	恒芯中國	1,728.35	7.64
3	08137	洪橋集團	1,576.27	6.97
4	08277	物美商業	1,013.34	4.48
5	08071	中彩網通控股	966.29	4.27
6	08207	中國信貸	630.39	2.79
7	08032	非凡中國	610.80	2.70
8	08043	年代國際控股	560.21	2.48
9	08066	品創控股	557.02	2.46
10	08075	ROJAM	529.48	2.34
11	08029	太陽國際	493.86	2.18
12	08161	華彩控股	483.59	2.14
13	08078	中國3D數碼	471.58	2.09
14	08200	修身堂	404.93	1.79
15	08167	中國新電信	359.18	1.59
16	08096	博軟	350.72	1.55
17	08228	國藝控股	312.71	1.38
18	08130	智城控股	310.77	1.37
19	08083	矽感科技	303.50	1.34
20	08351	永恒國際股份	302.88	1.34
總額			**14,458.58**	**63.93**

創業板　股份

20種成交最活躍創業板股份(股數)

2011年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08009	菱控有限公司	60,884.91	48.87
2	08130	智城控股	6,979.37	5.60
3	08078	中國3D數碼	3,512.10	2.82
4	08167	中國新電信	3,174.99	2.55
5	08202	匯創控股	3,062.54	2.46
6	08071	中彩網通控股	3,013.69	2.42
7	08032	非凡中國	1,983.27	1.59
8	08019	皓文控股	1,965.57	1.58
9	08171	中國趨勢	1,943.60	1.56
10	08075	ROJAM	1,867.13	1.50
11	08163	萬德資源	1,757.55	1.41
12	08080	北亞策略	1,638.54	1.32
13	08116	中國公共醫療	1,556.99	1.25
14	08161	華彩控股	1,531.56	1.23
15	08173	寰亞礦業	1,390.17	1.12
16	08212	香港生命集團	1,280.60	1.03
17	08046	恒芯中國	1,072.78	0.86
18	08381	寰亞礦業股權	1,020.24	0.82
19	08103	泰盛國際控股	1,019.87	0.82
20	08306	中國有色金屬	1,009.89	0.81
總數			**101,665.37**	**81.60**

創業板　股份

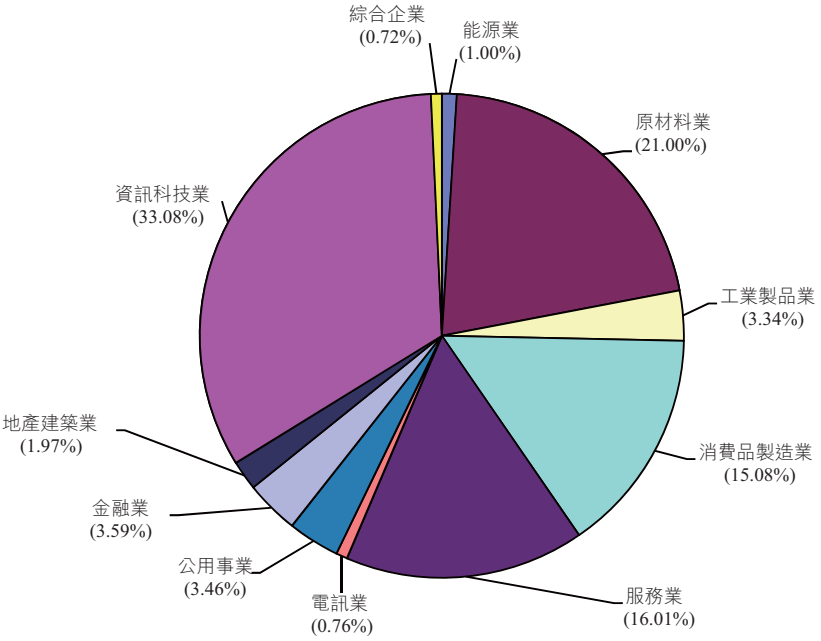
創業板公司市價總值

截至季末

		百萬港元
2010	第 1 季	134,742.28
	第 2 季	130,902.34
	第 3 季	129,859.18
	第 4 季	134,674.08
2011	第 1 季	137,835.27

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板　股份

50間市價總值最大之上市公司－創業板

截至2011年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	21,134.42	15.33
2	08277	北京物美商業集團股份有限公司 - H股	9,100.19	6.60
3	08086	易寶有限公司	7,347.65	5.33
4	08032	非凡中國控股有限公司	6,010.79	4.36
5	08096	博軟(控股)有限公司	4,095.08	2.97
6	08046	恒芯中國控股有限公司	3,872.61	2.81
7	08043	年代國際控股有限公司	3,694.00	2.68
8	08192	環球能源資源國際集團有限公司	3,638.92	2.64
9	08035	濱海投資有限公司	2,996.41	2.17
10	08071	中彩網通控股有限公司	2,948.46	2.14
11	08008	新意網集團有限公司	2,272.49	1.65
12	08052	利亞零售有限公司	2,180.57	1.58
13	08206	神通電信服務有限公司	2,066.83	1.50
14	08161	華彩控股有限公司	2,036.09	1.48
15	08207	中國信貸控股有限公司	2,025.20	1.47
16	08155	南華置地有限公司	1,978.59	1.44
17	08066	品創控股有限公司	1,790.98	1.30
18	08167	中國新電信集團有限公司	1,758.81	1.28
19	08158	中國生物醫學再生科技有限公司	1,693.65	1.23
20	08058	山東羅欣藥業股份有限公司 - H股	1,563.32	1.13
21	08029	太陽國際集團有限公司	1,521.26	1.10
22	08083	矽感科技控股有限公司	1,359.01	0.99
23	08306	中國有色金屬有限公司	1,206.58	0.88
24	08190	康佰控股有限公司	1,200.56	0.87
25	08279	亞博科技控股有限公司	1,180.13	0.86
26	08271	環球數碼創意控股有限公司	1,126.87	0.82
27	08156	眾彩科技股份有限公司	1,109.83	0.81
28	08087	中國三三傳媒集團有限公司	1,062.00	0.77
29	08203	凱順能源集團有限公司	1,040.28	0.75
30	08228	國藝控股有限公司	1,025.09	0.74
31	08080	北亞策略控股有限公司	992.56	0.72
32	08102	上海復旦微電子集團股份有限公司 - H股	974.17	0.71
33	08299	大唐潼金控股有限公司	968.29	0.70
34	08070	中裕燃氣控股有限公司	967.26	0.70
35	08005	裕興科技控股有限公司	919.13	0.67
36	08123	首華財經網絡集團有限公司	918.95	0.67
37	08075	Rojam Entertainment Holdings Ltd.	888.24	0.64
38	08153	科地農業控股有限公司	868.42	0.63
39	08175	中國數碼版權(集團)有限公司	834.13	0.61
40	08290	天津天聯公用事業股份有限公司 - H股	810.10	0.59
41	08025	亞洲資產(控股)有限公司	763.19	0.55
42	08116	中國公共醫療(控股)有限公司	755.26	0.55
43	08351	永恒國際股份有限公司	732.59	0.53
44	08078	中國3D數碼娛樂有限公司	721.07	0.52
45	08325	奧思知集團控股有限公司	690.00	0.50
46	08292	慧聰網有限公司	684.85	0.50
47	08270	中國聯盛投資集團有限公司	684.63	0.50
48	08163	萬德資源集團有限公司	650.03	0.47
49	08128	中國地能有限公司	640.25	0.46
50	08061	光亞有限公司	592.56	0.43
總額			**112,092.35**	**81.32**
市場總值			**137,835.27**	**100.00**

創業板　股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2010	第 1 季	–	–
	第 2 季	2	146.25
	第 3 季	2	78.24
	第 4 季	3	424.79
2011	第 1 季	3	452.01

創業板新上市公司

2011第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
08265	宏峰太平洋集團有限公司	2011/01/26	150,000,000	33.60
08087	中國三三傳媒集團有限公司	2011/02/28	603,090,000	297.16
08098	昌利(控股)有限公司	2011/03/08	1,000,000,000	121.25
總額				**452.01**

除牌上市公司－創業板

2011年第1季

股份代號	公司名稱	最後買賣日期	除牌日期	
08259	烟台北方安德利果汁股份有限公司－H股	2011/01/18	2011/01/19	§
08157	首都信息發展股份有限公司－H股	2011/01/20	2011/01/21	§
08331	浙江世寶股份有限公司－H股	2011/03/08	2011/03/09	§
08196	深圳市元征科技股份有限公司－H股	2011/03/25	2011/03/28	§

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2011年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
08071	中國金屬資源控股有限公司	中彩網通控股有限公司	2010/12/10	2011/01/13
08102	上海復旦微電子股份有限公司 - H股	上海復旦微電子集團股份有限公司 - H股	2010/12/30	2011/01/17
08226	上聲國際控股有限公司	中昱科技集團有限公司	2011/01/04	2011/02/17
08130	杏林醫療信息科技有限公司	智城控股有限公司	2011/02/25	2011/03/17

創業板　股份

創業板紅股／紅利認股權證

2011年第1季

股份代號	公司	詳情	除淨日
08239	Ming Kei Holdings Ltd.	1送1	2011/01/18

創業板股份拆細及合併

2011年第1季

股份代號	公司	詳情	生效日期
08066	品創控股有限公司	1股分拆為5股	2011/01/18
08047	中國掌付(集團)有限公司	5股合併為1股	2011/01/31
08173	寰亞礦業有限公司	50股合併為1股	2011/02/10
08196	深圳市元征科技股份有限公司 – H股	10股合併為1股	2011/02/15

創業板供股／公開售股

2011年第1季

月份	股份代號	公司名稱	比率	集資金額（百萬港元）
1月	08202	匯創控股有限公司	股權1供10，每股供0.18港元	216.05
			(10股合併為1股後)	
	08100	聯夢活力世界有限公司	股權1供4，每股供0.10港元	104.89
			另每供4股送3股股份	
			(5股合併為1股後)	
3月	08173	寰亞礦業有限公司	股權1供5，每股供0.50港元	380.08
			(50股合併為1股後)	
	08066	品創控股有限公司	公開售股10供1，每股供0.36港元	99.35
總額				**800.37**

創業板　股份

股份配售 *

月份	股份代號	公司名稱	配售股份數目	配售價（港元）	集資金額（百萬港元）
2011					
1月	08128	中國地能	234,704,000	0.318	74.73
	08306	中國有色金屬	200,000,000	0.220	44.00
	08186	神州東盟資源	106,680,000	0.600	64.01
	08022	彩娛集團	94,000,000	0.320	30.08
	08022	彩娛集團	64,000,000	0.295	18.88
	08079	無限創意控股	85,000,000	0.165	14.03
3月	08250	中國天然投資	505,700,000	0.165	83.44
	08270	中國聯盛	326,830,000	0.225	73.54
	08202	匯創控股	264,062,079	0.059	15.58
	08083	矽感科技	625,000,000	0.320	200.00
	08021	匯隆控股	70,000,000	0.120	8.40
總額					**626.68**

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板　認股權證

認股權證交易及市價總值統計 – 創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2010	第 1 季	1	0.00	1.49
	第 2 季	1	0.04	1.49
	第 3 季	1	8.43	35.85
	第 4 季	1	13.61	40.15
2011	第 1 季	2	2.74	43.08

創業板新上市股份認股權證

2011年第1季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
08187	中國生物資源控股有限公司2013年認股權證	47,200,000	2011/02/18	2013/02/17	8.00
總額					**8.00**

股票市場指數

恒生指數

標準普爾／香港交易所大型股指數

標準普爾／香港交易所創業板指數

股票市場指數

香港股市指數

指數	2011年3月底 收市	2010年12月底 收市	升跌
標準普爾／香港交易所大型股指數	28034.90	27392.78	+ 2.34%
標準普爾／香港交易所創業板指數	769.66	810.52	- 5.04%
恒生指數	23527.52	23035.45	+ 2.14%
分類指數			
金融	34488.12	33789.22	+ 2.07%
公用事業	42761.10	41748.92	+ 2.42%
地產	30035.02	29980.33	+ 0.18%
工商業	13324.00	12974.37	+ 2.69%
恒生香港中資企業指數	4244.39	4170.15	+ 1.78%
恒生中國企業指數	13315.84	12692.43	+ 4.91%

股票市場指數

世界指數

指數	2011年3月底 收市	2010年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	28,034.90	27,392.78	+ 2.34%
恒生指數	23,527.52	23,035.45	+ 2.14%
澳洲			
普通股指數	4,928.61	4,846.88	+ 1.69%
雅加達			
綜合指數	3,678.67	3,703.51	- 0.67%
日本			
日經指數 (225)	9,755.10	10,228.92	- 4.63%
韓國			
綜合指數	2,106.70	2,051.00	+ 2.72%
吉隆坡			
綜合指數	1,545.13	1,518.91	+ 1.73%
馬尼拉			
綜合指數	4,055.14	4,201.14	- 3.48%
紐西蘭			
證交所指數 (50)	3,439.85	3,309.03	+ 3.95%
上海			
A股指數	3,065.92	2,940.24	+ 4.27%
B股指數	317.45	304.35	+ 4.30%
深圳			
A股指數	1,311.83	1,351.14	- 2.91%
B股指數	817.49	824.82	- 0.89%
新加坡			
FTSE海峽時報指數	3,105.85	3,190.04	- 2.64%
台灣			
台北加權股份指數	8,683.30	8,972.50	- 3.22%
泰國			
證交所指數	1,047.48	1,032.76	+ 1.43%
紐約			
杜瓊斯工業平均指數	12,319.73	11,577.51	+ 6.41%
Nasdaq綜合指數	2,781.07	2,652.87	+ 4.83%
多倫多			
S&P/TSX 綜合指數	14,116.10	13,443.22	+ 5.01%
德國			
法蘭克福指數	4,114.03	4,053.71	+ 1.49%
倫敦			
富時指數 (100)	5,908.80	5,899.90	+ 0.15%

衍生產品市場　期貨及期權

合約成交量

衍生產品	合約張數	
	2011年第1季	2010年第1季
恒生指數期貨	5,589,341	5,132,388
恒生指數期權	2,673,755	1,813,584
小型恒生指數期貨	2,176,878	2,116,913
小型恒生指數期權	195,420	88,643
H股指數期貨	3,206,173	2,965,655
H股指數期權	855,503	575,920
小型H股指數期貨	349,420	182,350
自訂條款恒生指數期權 [1]	4,810	–
自訂條款H股指數期權 [2]	–	350
恒指股息點指數期貨 [3]	7,085	–
恒生國企股息點指數期貨 [4]	2,979	–
股票期貨	108,130	37,866
股票期權	18,417,824	12,540,453
三個月港元利率期貨	127	337
一個月港元利率期貨	55	–
三年期外匯基金債券期貨	–	–
黃金期貨	1,576	1,137
總數	**33,589,076**	**25,455,596**

1 自訂條款恒生指數期權 由 2010年 2月 08日 開始買賣

2 自訂條款H股指數期權 由 2010年 2月 08日 開始買賣

3 恒指股息點指數期貨 由 2010年 11月 01日 開始買賣

4 恒生國企股息點指數期貨 由 2010年 11月 01日 開始買賣

季末未平倉合約

衍生產品	合約張數	
	2011年第1季	2010年第1季
恒生指數期貨	89,300	73,577
恒生指數期權	229,086	208,189
小型恒生指數期貨	8,475	4,956
小型恒生指數期權	7,571	3,751
H股指數期貨	107,348	87,444
H股指數期權	134,324	124,858
小型H股指數期貨	2,109	1,268
自訂條款恒生指數期權 [1]	4,810	–
自訂條款H股指數期權 [2]	–	–
恒指股息點指數期貨 [3]	5,439	–
恒生國企股息點指數期貨 [4]	3,122	–
股票期貨	18,118	7,516
股票期權	5,912,772	4,352,404
三個月港元利率期貨	134	219
一個月港元利率期貨	39	–
三年期外匯基金債券期貨	–	–
黃金期貨	178	40
總數	**6,522,825**	**4,864,222**

1 自訂條款恒生指數期權 由 2010年 2月 08日 開始買賣

2 自訂條款H股指數期權 由 2010年 2月 08日 開始買賣

3 恒指股息點指數期貨 由 2010年 11月 01日 開始買賣

4 恒生國企股息點指數期貨 由 2010年 11月 01日 開始買賣

衍生產品市場　期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第1季	5,132,388	73,577
	第2季	5,031,763	77,070
	第3季	5,027,573	113,153
	第4季	5,839,361	88,816
2011年	第1季	5,589,341	89,300

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第1季	2,116,913	4,956
	第2季	2,084,704	7,602
	第3季	1,948,828	7,181
	第4季	2,150,209	7,359
2011年	第1季	2,176,878	8,475

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第1季	1,813,584	208,189
	第2季	1,935,115	202,453
	第3季	2,035,171	235,934
	第4季	2,731,179	187,784
2011年	第1季	2,673,755	229,086

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第1季	2,965,655	87,444
	第2季	3,223,123	89,089
	第3季	2,998,397	114,171
	第4季	3,242,625	94,734
2011年	第1季	3,206,173	107,348

股票期權市場成交及未平倉合約(合約張數)

		總期權金額（百萬港元）	總合約成交量	季末未平倉合約
2010年	第1季	12,951.69	12,540,453	4,352,404
	第2季	12,614.00	14,262,472	5,163,995
	第3季	11,967.70	13,877,094	5,090,926
	第4季	18,050.22	20,445,628	5,381,215
2011年	第1季	16,446.28	18,417,824	5,912,772

各期權類別之期權金總額

2011年第1季

期權類別		期權金額(百萬港元)	佔市場百份比
安碩A50中國	A50	256.32	1.56%
農業銀行	ABC	162.41	0.99%
友邦保險	AIA	186.96	1.14%
中國鋁業	ALC	27.39	0.17%
中國銀行	BCL	295.19	1.79%
交通銀行	BCM	62.26	0.38%
東亞銀行	BEA	5.38	0.03%
中銀香港	BOC	131.42	0.80%
比亞迪股份	BYD	216.03	1.31%
建設銀行	CCB	589.04	3.58%
中國交通建設	CCC	74.08	0.45%
中國中煤能源	CCE	68.97	0.42%
中國遠洋	CCS	37.81	0.23%
中國移動	CHT	2,239.50	13.62%
中國聯通	CHU	83.17	0.51%
中信泰富	CIT	34.92	0.21%
長江實業	CKH	548.09	3.33%
中國人壽	CLI	1,596.40	9.71%
中電控股	CLP	66.42	0.40%
招商銀行	CMB	129.95	0.79%
中國海洋石油	CNC	560.55	3.41%
中國海外發展	COL	47.69	0.29%
國泰航空	CPA	31.87	0.19%
中國石油化工股份	CPC	185.15	1.13%
中國太保	CPI	48.28	0.29%
中國鐵建	CRC	17.82	0.11%
中國中鐵	CRG	15.28	0.09%
標智滬深300	CS3	10.00	0.06%
中國神華	CSE	65.53	0.40%
中信銀行	CTB	27.53	0.17%
中國電信	CTC	125.87	0.77%
思捷環球	ESP	30.69	0.19%
富士康國際	FIH	4.63	0.03%
電能實業	HEH	14.96	0.09%
香港交易所	HEX	646.52	3.93%
匯豐控股	HKB	2,517.74	15.31%
香港中華煤氣	HKG	16.37	0.10%
恒基地產	HLD	35.74	0.22%
華能國際電力股份	HNP	25.87	0.16%
恒生銀行	HSB	313.19	1.90%
和記黃埔	HWL	2,031.90	12.35%
工商銀行	ICB	435.18	2.65%
江西銅業	JXC	88.04	0.54%
利豐	LIF	61.38	0.37%
地鐵公司	MTR	25.77	0.16%
新世界發展	NWD	11.03	0.07%
中國平安	PAI	651.51	3.96%
中國石油股份	PEC	278.41	1.69%
中國人民財產保險	PIC	35.17	0.21%
富力地產	RFP	10.22	0.06%
新鴻基地產	SHK	699.91	4.26%
太古股份公司A	SWA	35.64	0.22%
騰訊控股	TCH	433.12	2.63%
盈富基金	TRF	5.55	0.03%
九龍倉集團	WHL	28.40	0.17%
兗州煤業股份	YZC	32.95	0.20%
紫金礦業	ZJM	29.08	0.18%
總額		**16,446.28**	**100.00%**

衍生產品市場　期貨及期權

2011年第1季

期權類別		合約成交量	佔市場百份比
安碩A50中國	A50	146,665	0.80%
農業銀行	ABC	113,964	0.62%
友邦保險	AIA	310,465	1.69%
中國鋁業	ALC	53,434	0.29%
中國銀行	BCL	1,499,343	8.14%
交通銀行	BCM	185,881	1.01%
東亞銀行	BEA	34,149	0.19%
中銀香港	BOC	236,963	1.29%
比亞迪股份	BYD	125,582	0.68%
建設銀行	CCB	1,975,136	10.72%
中國交通建設	CCC	218,100	1.18%
中國中煤能源	CCE	131,544	0.71%
中國遠洋	CCS	178,316	0.97%
中國移動	CHT	1,319,136	7.16%
中國聯通	CHU	111,806	0.61%
中信泰富	CIT	33,731	0.18%
長江實業	CKH	159,321	0.87%
中國人壽	CLI	1,153,423	6.26%
中電控股	CLP	124,594	0.68%
招商銀行	CMB	367,938	2.00%
中國海洋石油	CNC	480,857	2.61%
中國海外發展	COL	44,706	0.24%
國泰航空	CPA	31,403	0.17%
中國石油化工股份	CPC	305,253	1.66%
中國太保	CPI	53,497	0.29%
中國鐵建	CRC	77,720	0.42%
中國中鐵	CRG	63,008	0.34%
標智滬深300	CS3	6,992	0.04%
中國神華	CSE	136,958	0.74%
中信銀行	CTB	110,474	0.60%
中國電信	CTC	213,963	1.16%
思捷環球	ESP	178,944	0.97%
富士康國際	FIH	20,183	0.11%
電能實業	HEH	37,422	0.20%
香港交易所	HEX	1,271,093	6.90%
匯豐控股	HKB	2,280,439	12.38%
香港中華煤氣	HKG	32,009	0.17%
恒基地產	HLD	19,233	0.10%
華能國際電力股份	HNP	73,160	0.40%
恒生銀行	HSB	256,900	1.39%
和記黃埔	HWL	644,745	3.50%
工商銀行	ICB	1,661,554	9.02%
江西銅業	JXC	106,755	0.58%
利豐	LIF	20,145	0.11%
地鐵公司	MTR	67,037	0.36%
新世界發展	NWD	16,011	0.09%
中國平安	PAI	374,773	2.03%
中國石油股份	PEC	344,185	1.87%
中國人民財產保險	PIC	39,475	0.21%
富力地產	RFP	39,597	0.21%
新鴻基地產	SHK	146,672	0.80%
太古股份公司A	SWA	22,370	0.12%
騰訊控股	TCH	633,992	3.44%
盈富基金	TRF	51,008	0.28%
九龍倉集團	WHL	22,900	0.12%
兗州煤業股份	YZC	12,185	0.07%
紫金礦業	ZJM	40,715	0.22%
總數		**18,417,824**	**100.00%**

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月		交收指示的數量	股數 (10億股)	數值 (10億港元)
2010	第1季	75,816	42.77	170.12
	第2季	77,887	44.35	176.97
	第3季	74,032	46.78	169.54
	第4季	92,915	54.76	234.79
2011	第1季	86,292	47.11	202.59

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月		投資者交收指示的數量	股數 (百萬股)	數值 (百萬港元)
2010	第1季	542	104.15	176.12
	第2季	543	129.16	252.42
	第3季	499	115.92	177.54
	第4季	715	120.35	480.27
2011	第1季	516	178.77	227.52

經中央結算系統存管的股份

(按季度結存統計)

年／月		股數 (10億股)	佔獲納入中央結算系統的證券的已發行股數 (%)	市值 (10億港元)	佔獲納入中央結算系統的證券的市價總值 (%)
2010	第1季	3,379.40	71.01	9,509.70	49.89
	第2季	3,409.67	71.10	8,904.81	48.93
	第3季	3,538.16	71.67	10,442.07	50.28
	第4季	3,834.10	71.80	11,294.50	49.57
2011	第1季	3,933.19	72.49	11,539.57	50.44

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣，「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交宗數 (%)	股數（百萬股）	佔成交額 (%)	買賣成交值（百萬港元）	佔成交額 (%)
2010	第1季	760,565	100	121,800.63	100	64,759.92	100
	第2季	759,995	100	127,045.87	100	62,890.79	100
	第3季	688,441	100	140,304.39	100	61,762.38	100
	第4季	904,380	100	171,236.05	100	86,455.45	100
2011	第1季	855,117	100	164,899.54	100	75,908.44	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」的股份數額宗數	淨額結算效率 (%)	待交收的股數（百萬股）	淨額結算效率 (%)
2010	第1季	70,369	95.15	26,008.26	89.04
	第2季	68,933	95.24	27,021.58	89.16
	第3季	65,867	95.01	24,660.06	91.10
	第4季	80,167	95.27	31,301.46	90.52
2011	第1季	74,506	95.39	27,083.36	91.57

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率 (%) 到期交收日	到期交收日翌日
2010	第1季	99.88	99.99
	第2季	99.87	99.99
	第3季	99.90	99.99
	第4季	99.86	99.99
2011	第1季	99.90	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2011年第1季	2010年第1季
恒生指數期貨	89,300	73,577
恒生指數期權	229,086	208,189
小型恒生指數期貨	8,475	4,956
小型恒生指數期權	7,571	3,751
H股指數期貨	107,348	87,444
H股指數期權	134,324	124,858
小型H股指數期貨	2,109	1,268
自訂條款恒生指數期權[1]	4,810	–
自訂條款H股指數期權[2]	–	–
恒指股息點指數期貨[3]	5,439	–
恒生國企股息點指數期貨[4]	3,122	–
股票期貨	18,118	7,516
股票期權	5,912,772	4,352,404
三個月港元利率期貨	134	219
一個月港元利率期貨	39	0
三年期外匯基金債券期貨	–	–
黃金期貨	178	40
總數	**6,522,825**	**4,864,222**

1 自訂條款恒生指數期權 由 2010年 2月 08日 開始買賣

2 自訂條款H股指數期權 由 2010年 2月 08日 開始買賣

3 恒指股息點指數期貨 由 2010年 11月 01日 開始買賣

4 恒生國企股息點指數期貨 由 2010年 11月 01日 開始買賣

結算及交收

各期權類別之未平倉合約

2011年第1季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	43,907	0.74
農業銀行	ABC	45,942	0.78
友邦保險	AIA	106,818	1.81
中國鋁業	ALC	17,706	0.30
中國銀行	BCL	640,831	10.84
交通銀行	BCM	84,871	1.44
東亞銀行	BEA	10,849	0.18
中銀香港	BOC	81,959	1.39
比亞迪股份	BYD	32,610	0.55
建設銀行	CCB	744,251	12.59
中國交通建設	CCC	100,836	1.71
中國中煤能源	CCE	72,727	1.23
中國遠洋	CCS	43,352	0.73
中國移動	CHT	339,114	5.74
中國聯通	CHU	44,892	0.76
中信泰富	CIT	16,110	0.27
長江實業	CKH	42,287	0.72
中國人壽	CLI	270,404	4.57
中電控股	CLP	35,915	0.61
招商銀行	CMB	133,571	2.26
中國海洋石油	CNC	192,662	3.26
中國海外發展	COL	12,890	0.22
國泰航空	CPA	10,652	0.18
中國石油化工股份	CPC	160,083	2.71
中國太保	CPI	10,401	0.18
中國鐵建	CRC	19,083	0.32
中國中鐵	CRG	11,165	0.19
X標智滬深300	CS3	3,412	0.06
中國神華	CSE	57,398	0.97
中信銀行	CTB	30,005	0.51
中國電信	CTC	87,805	1.49
思捷環球	ESP	47,611	0.81
富士康國際	FIH	9,057	0.15
電能實業	HEH	15,348	0.26
香港交易所	HEX	339,494	5.74
匯豐控股	HKB	454,506	7.69
香港中華煤氣	HKG	13,412	0.23
恒基地產	HLD	8,804	0.15
華能國際電力股份	HNP	24,175	0.41
恒生銀行	HSB	92,549	1.57
和記黃埔	HWL	156,401	2.65
工商銀行	ICB	626,065	10.59

結算及交收

期權類別		未平倉合約	佔市場百份比
江西銅業	JXC	27,592	0.47
利豐	LIF	6,917	0.12
地鐵公司	MTR	13,691	0.23
新世界發展	NWD	6,554	0.11
中國平安	PAI	103,211	1.75
中國石油股份	PEC	162,461	2.75
中國人民財產保險	PIC	14,445	0.24
富力地產	RFP	15,399	0.26
新鴻基地產	SHK	54,971	0.93
太古股份公司A	SWA	6,722	0.11
騰訊控股	TCH	168,421	2.85
盈富基金	TRF	15,649	0.26
九龍倉集團	WHL	4,564	0.08
兗州煤業股份	YZC	4,156	0.07
紫金礦業	ZJM	16,089	0.27
總數		**5,912,772**	**100.00**

交易所參與者統計

聯交所

		交易權持有人數目	交易所參與者－開業	交易所參與者－非開業	非交易所參與者
2010	第1季	521 (939)	468 (883)	31 (34)	22 (22)
	第2季	523 (946)	471 (891)	30 (33)	22 (22)
	第3季	530 (953)	478 (898)	30 (33)	22 (22)
	第4季	538 (960)	485 (904)	31 (34)	22 (22)
2011	第1季	544 (965)	489 (907)	33 (36)	22 (22)

( ) 交易權數目

期交所

		交易權持有人數目	交易所參與者－開業	交易所參與者－非開業	非交易所參與者
2010	第1季	214 (239)	171 (194)	–	43 (45)
	第2季	214 (239)	171 (194)	–	43 (45)
	第3季	220 (245)	177 (200)	–	43 (45)
	第4季	221 (246)	179 (202)	–	42 (44)
2011	第1季	222 (246)	180 (202)	–	42 (44)

附註：

(1) 括號內的數字為持有之交易權總數。

(2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月		結算參與者	全面結算參與者
2010	第1季	147	9
	第2季	148	9
	第3季	152	10
	第4季	153	9
2011	第1季	156	9

聯交所期權結算所參與者

年／月		結算參與者	全面結算參與者
2010	第1季	54	6
	第2季	54	6
	第3季	55	6
	第4季	56	6
2011	第1季	57	7

中央結算所參與者

年／月		直接結算參與者	全面結算參與者	非經紀參與者(*)
2010	第1季	455	7	41
	第2季	455	7	41
	第3季	460	7	41
	第4季	468	7	44
2011	第1季	473	8	46

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示