



證券及衍生產品市場 季報

2012年第一季

市場概況

主板

概況	2012年第1季	2011年第1季
上市公司數目	1,337	1,258
上市證券數目	6,854	8,200
股份		
普通股	1,338	1,259
優先股	3	3
認股權證	4,107	5,712
股份	13	23
衍生	4,094	5,689
股票掛鈎票據	0	0
牛熊證	1,097	974
單位信託及互惠基金	100	82
債券	209	170
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	61	62
總成交金額(百萬港元)*	3,843,588.43	4,684,220.74
總成交股數(百萬股)	9,749,414.23	10,099,053.90
總成交宗數(宗數)	53,178,165	52,410,739
上市公司市價總值(百萬港元)	19,690,708.60	21,259,067.69
平均市盈率(倍)	9.58	11.96
平均週息率(百分率)	3.17	2.59
交易所參與者數目		
開業	500	489
非開業	38	33
成交金額(百萬港元)		
最高	127,100.48 (06/03)	109,264.03 (15/03)
最低	32,641.54 (03/01)	53,259.45 (03/01)
成交股數(百萬股)		
最高	216,293.91 (01/02)	199,942.65 (11/02)
最低	73,840.02 (03/01)	110,358.93 (01/02)
總成交宗數(宗數)		
最高	1,274,365 (09/02)	1,316,504 (15/03)
最低	530,483 (03/01)	625,844 (03/01)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2012年第1季	2011年第1季
上市公司數目	173	168
上市證券數目	175	170
股份	173	168
認股權證	2	2
交易日數	61	62
總成交金額(百萬港元)*	12,232.86	22,617.25
總成交股數(百萬股)	41,517.67	124,719.53
總成交宗數(宗數)	343,014	608,957
上市公司市價總值(百萬港元)	84,618.24	137,835.27
平均市盈率(倍)	18.16	25.78
平均週息率(百分率)	0.51	0.98
成交金額(百萬港元)		
最高	334.09 (08/03)	589.09 (21/02)
最低	97.02 (13/01)	226.79 (08/02)
成交股數(百萬股)		
最高	1,496.18 (23/02)	5,519.31 (21/02)
最低	314.12 (26/01)	974.37 (17/01)
總成交宗數(宗數)		
最高	9,942 (20/02)	14,967 (21/02)
最低	2,718 (13/01)	6,277 (07/02)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2012年第1季	2011年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	61.0	61.5
總合約成交量	15,561,712	15,171,252
股市指數產品	15,482,737	15,061,364
股票產品	78,870	108,130
利率產品	105	182
黃金期貨	—	1,576
平均每日合約成交量	255,110	246,687
季末未平倉合約		
股市指數產品	889,875	610,053
股票產品	875,602	591,584
利率產品	14,178	18,118
利率產品	95	173
黃金期貨	—	178
股票期權		
新開辦期權類別	—	—
股票期權數目(於季末數目)		
類別	60	57
系列	14,540	11,730
期權金總額(百萬港元)		
認購	9,756.42	16,446.28
認沽	4,638.10	5,898.07
認沽	5,118.32	10,548.20
平均每日期權金總額(百萬港元)	159.94	267.42
總合約成交量	14,545,164	18,417,824
認購	7,193,312	8,194,643
認沽	7,351,852	10,223,181
平均每日合約成交量	238,445	299,477
季末未平倉合約	4,971,657	5,912,772
認購	2,047,456	2,334,683
認沽	2,924,201	3,578,089
總成交宗數	605,196	715,168
平均每日成交宗數	9,921	11,629
已行使合約總數	2,383,708	1,662,220
每張合約平均期權金(港元)	671	893
每宗交易平均合約數目	24	26
認沽數量／認購數量	1.02	1.25
平均每日合約成交量／未平倉合約	4.8%	5.1%
所有期貨及期權產品		
總合約成交量	30,106,876	33,589,076
季末未平倉合約	5,861,532	6,522,825

市場概況

結算及交收

中央結算系統營運資料	2012年第1季	2011年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	877,050	855,117
-交易金額(十億港元)	63.2	75.9
-涉及股數(十億股)	160.5	164.9
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	79,872	86,292
-交收指示涉及金額(十億港元)	179.9	202.6
-涉及股數(十億股)	41.5	47.1
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	351	516
-交收指示涉及金額(百萬港元)	265.2	227.5
-涉及股數(百萬股)	69.1	178.8
以「持續淨額交收」的股份數額於到期交收日(T+2)		
的交收效率(每日平均數)	99.90	99.90
以「持續淨額交收」的股份數額於到期交收翌日(T+3)		
的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	5	7
-補購宗數	6	7
-補購涉及金額(百萬港元)	1.7	2.6
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	3,751.6	3,933.2
-獲納入系統的證券佔已發行股本總數的百分比	70.60	72.49
-股份價值(十億港元)	10,834.0	11,539.6
-獲納入系統的證券佔市價總值的百分比	50.26	50.44
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	282,124	215,793
-股票期貨	14,178	18,118
-黃金期貨	-	178
-利率期貨	95	173
-股市指數期權	593,478	375,791
-股票期權	4,971,657	5,912,772.00
累積行使股票期權		
-涉及股數(百萬股)	2,425	1,564
-涉及金額(十億港元)	31	35

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2011	第 1 季	747,798.73	3,315,169.08	42,267,831
	第 2 季	754,334.25	3,069,149.98	41,652,899
	第 3 季	597,541.33	3,222,655.92	45,991,628
	第 4 季	568,209.23	2,399,034.07	42,392,538
2012	第 1 季	586,720.90	2,723,802.82	43,393,219

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2011	第 1 季	62	53,470.47	78,432
	第 2 季	59	52,019.49	73,684
	第 3 季	63	51,153.27	70,070
	第 4 季	62	38,694.10	56,591
2012	第 1 季	61	44,652.51	62,770

主 板 股 份

20種升幅最大之股份

2012年第1季

順次	股份代號	股份	收市價		升幅
			2012年3月底	2011年12月底	
1	00844	廣豪國際	2.780	1.060	162.26
2	00830	遠東環球	1.400	0.590	137.29
3	03336	巨騰國際	2.000	0.890	124.72
4	01142	西伯利亞礦業	0.550	0.245	124.49
5	00499	華脈無線通信	2.480	1.150	115.65
6	02099	中國黃金國際	36.900	18.200	102.75
7	03938	三林環球	0.750	0.380	97.37
8	03899	中集安瑞科	4.280	2.210	93.67
9	00856	偉仕控股	1.870	0.970	92.78
10	00271	丹楓控股	1.020	0.530	92.45
11	02005	利君國際	1.700	0.890	91.01
12	00149	中國農產品交易	0.530	0.285	85.96
13	00623	中視金橋	3.970	2.140	85.51
14	01323	NEWTREE GROUP	0.810	0.440	84.09
15	00943	意科控股	0.375	0.205	82.93
16	00456	新城市(中國)	0.340	0.186	A 82.80
17	03918	金界控股	3.530	1.960	80.10
18	00175	吉利汽車	3.040	1.700	78.82
19	01265	天津天聯公用	1.430	0.800	78.75
20	00550	先傳媒	3.570	2.000	78.50

A 經調整

20種跌幅最大之股份

2012年第1季

順次	股份代號	股份	收市價		跌幅
			2012年3月底	2011年12月底	
1	02312	中國金融租賃	0.212	3.600	A -94.11
2	01220	海域集團	0.213	1.871	-88.62
3	00136	馬斯葛集團	0.034	0.230	-85.22
4	01380	中國金石	0.390	1.180	-66.95
5	00767	PACIFIC PLYWOOD	0.083	0.240	A -65.42
6	02336	科浪國際	0.229	0.600	A -61.83
7	00764	永恒策略	0.013	0.034	-61.76
8	00812	敦沛金融	0.530	1.350	-60.74
9	00055	中星集團控股	0.024	0.056	-57.14
10	01166	蒙古礦業	0.084	0.177	-52.54
11	00474	昊天能源集團	0.241	0.495	-51.31
12	00986	中國環保能源	0.047	0.091	-48.35
13	01227	國盛投資	0.265	0.510	A -48.04
14	01141	北京御生堂	0.089	0.165	A -46.06
15	06880	豪特保健	0.560	1.000	-44.00
16	00209	中國大亨飲品	0.089	0.154	-42.21
17	00766	中盈國金資源	0.500	0.850	A -41.18
18	00009	中國東方實業	0.074	0.123	-39.84
19	01387	人和商業	0.540	0.890	-39.33
20	00926	碧生源	0.710	1.170	-39.32

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2012年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	01299	友邦保險	106,230.31	3.90
2	01398	工商銀行	97,684.67	3.59
3	00939	建設銀行	95,268.63	3.50
4	00941	中國移動	91,041.65	3.34
5	00005	匯豐控股	72,861.97	2.68
6	03988	中國銀行	65,029.01	2.39
7	00883	中國海洋石油	63,300.10	2.32
8	02628	中國人壽	58,792.05	2.16
9	00857	中國石油股份	52,267.99	1.92
10	00700	騰訊控股	49,097.82	1.80
11	02318	中國平安	48,119.04	1.77
12	00386	中國石油化工股份	46,922.34	1.72
13	00016	新鴻基地產	42,971.52	1.58
14	00013	和記黃埔	39,525.84	1.45
15	03323	中國建材	35,413.11	1.30
16	00762	中國聯通	34,762.59	1.28
17	01088	中國神華	34,563.64	1.27
18	01288	農業銀行	34,014.01	1.25
19	00388	香港交易所	31,207.74	1.15
20	00494	利豐	29,597.26	1.09
總額			1,128,671.30	41.44

20種成交最活躍股份(股數)

2012年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	20,061.95	3.42
2	01398	工商銀行	18,370.80	3.13
3	02312	中國金融租賃	16,684.99	2.84
4	00939	建設銀行	15,447.23	2.63
5	00493	國美電器	10,975.60	1.87
6	00767	PACIFIC PLYWOOD	9,766.81	1.66
7	03800	保利協鑫能源	9,484.37	1.62
8	01288	農業銀行	9,305.03	1.59
9	00067	旭光高新材料	8,173.83	1.39
10	00985	中科礦業	7,976.73	1.36
11	00764	永恒策略	7,931.30	1.35
12	00055	中星集團控股	6,783.48	1.16
13	00993	天行國際	6,660.85	1.14
14	00136	馬斯葛集團	5,509.15	0.94
15	00728	中國電信	5,376.17	0.92
16	00386	中國石油化工股份	5,232.45	0.89
17	03333	恒大地產	5,197.70	0.89
18	00175	吉利汽車	5,132.54	0.87
19	00578	中國煤層氣集團	4,752.39	0.81
20	00857	中國石油股份	4,649.69	0.79
總數			183,473.07	31.27

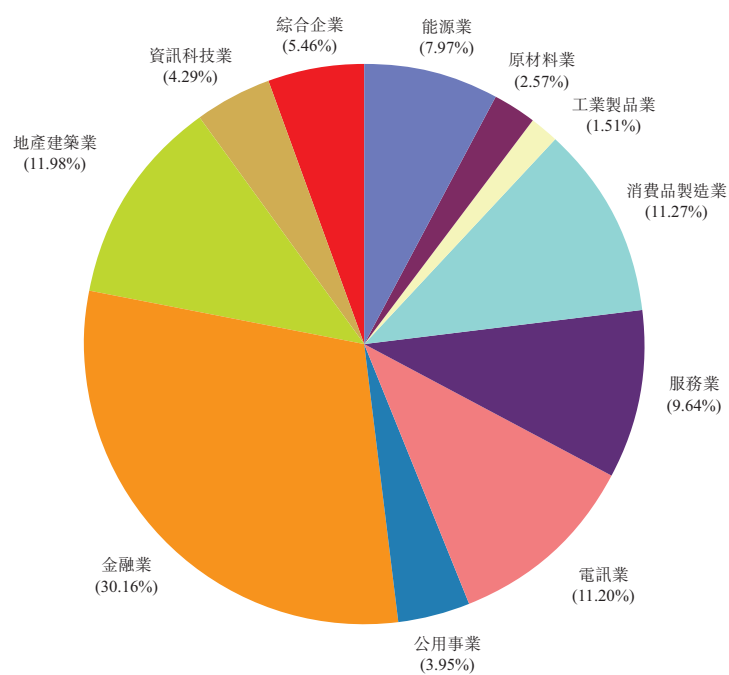
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2011	第 1 季	21,259,067.69
	第 2 季	20,992,345.92
	第 3 季	16,147,694.26
	第 4 季	17,452,667.08
2012	第 1 季	19,690,708.60

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2012年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,715,684.59	8.71
2	00939	中國建設銀行股份有限公司—H股	1,442,503.92	7.33
3	00005	匯豐控股有限公司	1,233,983.17	6.27
4	00883	中國海洋石油有限公司	712,555.04	3.62
5	02888	渣打集團有限公司	461,094.41	2.34
6	01398	中國工商銀行股份有限公司—H股	434,838.16	2.21
7	00700	騰訊控股有限公司	398,588.67	2.02
8	01299	友邦保險控股有限公司	342,651.80	1.74
9	00805	Glencore International plc *	—	340,597.50 N.A.
10	00013	和記黃埔有限公司	330,837.57	1.68
11	00762	中國聯合網絡通信(香港)股份有限公司	310,114.11	1.57
12	03988	中國銀行股份有限公司—H股	261,737.73	1.33
13	00016	新鴻基地產發展有限公司	252,365.39	1.28
14	01928	金沙中國有限公司	244,298.21	1.24
15	02378	英國保誠有限公司*	—	238,962.01 N.A.
16	00001	長江實業(集團)有限公司	232,311.28	1.18
17	00857	中國石油天然氣股份有限公司—H股	231,665.92	1.18
18	02388	中銀香港(控股)有限公司	226,786.14	1.15
19	00011	恒生銀行有限公司	197,302.17	1.00
20	00945	Manulife Financial Corporation *	—	189,408.66 N.A.
21	02318	中國平安保險(集團)股份有限公司—H股	183,715.30	0.93
22	03328	交通銀行股份有限公司—H股	171,266.36	0.87
23	00002	中電控股有限公司	161,211.61	0.82
24	00066	香港鐵路有限公司	160,825.13	0.82
25	00003	香港中華煤氣有限公司	157,221.03	0.80
26	02628	中國人壽保險股份有限公司—H股	149,939.68	0.76
27	00494	利豐有限公司	144,928.06	0.74
28	00386	中國石油化工股份有限公司—H股	141,962.93	0.72
29	00388	香港交易及結算所有限公司	140,927.82	0.72
30	00019	太古股份有限公司	130,048.87	0.66
31	01913	PRADA S.p.A.	129,220.61	0.66
32	00004	九龍倉集團有限公司	127,834.24	0.65
33	00101	恒隆地產有限公司	127,261.72	0.65
34	06210	Vale S.A. —預託證券*	—	126,109.57 N.A.
35	00322	康師傅控股有限公司	125,502.22	0.64
36	01929	周大福珠寶集團有限公司	123,000.00	0.62
37	00006	電能實業有限公司	121,652.91	0.62
38	00688	中國海外發展有限公司	120,626.38	0.61
39	01128	永利澳門有限公司	117,757.39	0.60
40	01880	百麗國際控股有限公司	117,573.21	0.60
41	01038	長江基建集團有限公司	115,566.20	0.59
42	00151	中國旺旺控股有限公司	114,748.01	0.58
43	01972	太古地產有限公司	112,905.00	0.57
44	01088	中國神華能源股份有限公司—H股	111,303.58	0.57
45	01288	中國農業銀行股份有限公司—H股	102,360.28	0.52
46	00012	恒基兆業地產有限公司	101,507.58	0.52
47	00135	昆侖能源有限公司	101,197.72	0.51
48	06808	高鑫零售有限公司	100,357.69	0.51
49	01044	恒安國際集團有限公司	96,477.11	0.49
50	00486	United Company RUSAL Ltd. *	—	90,398.44 N.A.
總額			12,638,216.89	64.18
市場總值			19,690,708.60	100.00

註：

由2010年7月起納入外國公司

* 不是祇在香港上市之外國公司

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2011	第1季	273,905.42	3,337,783.59	8.21
	第2季	327,730.09	3,089,381.94	10.61
	第3季	409,874.98	3,232,791.53	12.68
	第4季	324,530.48	2,409,005.43	13.47
2012	第1季	324,025.04	2,736,035.68	11.84

* 包括主板及創業板數據

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2011	第1季	22,721.76	872,394.66	2.60
	第2季	29,078.38	833,504.44	3.49
	第3季	39,305.84	637,817.87	6.16
	第4季	33,276.30	609,468.85	5.46
2012	第1季	33,605.28	628,238.56	5.35

* 包括主板及創業板數據

新上市統計－公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2011	第1季	10	4	17,317.76
	第2季	22	6	156,417.91
	第3季	18	1	19,494.12
	第4季	26	1	64,606.62
2012	第1季	15	0	9,607.54

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2012年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
06830	華眾控股有限公司	2012/01/12	800,000,000	280.00
01303	滙力資源(集團)有限公司	2012/01/12	1,000,000,000	425.00
01281	開世中國控股有限公司	2012/01/12	600,000,000	135.00
01263	栢能集團有限公司	2012/01/12	417,518,668	168.00
01803	瀚洋控股有限公司	2012/01/16	400,000,000	93.00
01312	聯合水泥控股有限公司	2012/01/18	660,000,000	165.00
01972	太古地產有限公司	2012/01/18	5,850,000,000	—
01315	允升國際控股有限公司	2012/01/18	300,000,000	90.00
01830	必瘦站(中國)控股有限公司	2012/02/10	1,000,000,000	220.00
01210	克莉絲汀國際控股有限公司	2012/02/23	1,010,188,000	400.00
01266	西王特鋼有限公司	2012/02/23	2,000,000,000	1,325.00
02012	陽光油砂有限公司	2012/03/01	2,840,921,435	4,487.24
01300	俊知集團有限公司	2012/03/19	1,000,000,000	300.00
01277	力量礦業能源有限公司	2012/03/23	8,430,000,000	1,171.80
01260	皓天財經集團控股有限公司	2012/03/30	1,000,000,000	347.50
總 額				9,607.54

主 板 股 份

除牌上市公司

2012年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
00048	聯洲國際集團有限公司	2007/09/11	2012/01/04
00968	小肥羊集團有限公司	2012/01/12	2012/02/03
03928	鄭州華潤燃氣股份有限公司－H股	2012/01/31	2012/02/14
00448	漢登集團控股有限公司	2012/02/16	2012/03/20

主 板 股 份

公司名稱更改

2012年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
01164	維奧集團控股有限公司	中廣核礦業有限公司	2011/11/21	2012/01/03
00260	中油潔能集團有限公司	中國環保投資股份有限公司	2012/02/02	2012/02/09
00997	達藝控股有限公司	普匯中金國際控股有限公司	2012/01/20	2012/02/22
00611	德興集團有限公司	中國核工業二三國際有限公司	2012/01/16	2012/02/23
00204	泰潤國際投資有限公司	中國投資開發有限公司	2012/01/13	2012/02/23
00756	天溢果業控股有限公司	中國天溢控股有限公司	2012/02/21	2012/02/28
00508	其士泛亞控股有限公司	鼎億集團投資有限公司	2012/02/08	2012/03/12
01383	鴻隆控股有限公司	太陽世紀集團有限公司	2012/02/01	2012/03/15
00630	國金資源控股有限公司	雋泰控股有限公司	2012/02/20	2012/03/22
00515	達進精電控股有限公司	達進東方照明控股有限公司	2011/12/06	2012/03/26

主 板 股 份

紅股／紅利認股權證

2012年第1季

股份代號	公司	詳情	除淨日
00456	新城市(中國)建設有限公司	1送2	2012/01/16
01141	北京御生堂藥業集團有限公司	每供5股送1份2014年認股權證	2012/02/03
00901	萊福資本投資有限公司	每供4股送1份2014年認股權證	2012/02/15
00131	卓能(集團)有限公司	25股送1份2013年認股權證	2012/03/29
00439	英發國際有限公司	7送5	2012/03/30
00582	嘉輝化工控股有限公司	每供1股送11股股份	2012/03/30

股份拆細及合併

2012年第1季

股份代號	公司	詳情	生效日期
00456	新城市(中國)建設有限公司	4股合併為1股	2012/01/13
00263	中國雲錫礦業集團有限公司	20股合併為1股	2012/01/19
02336	科浪國際控股有限公司	20股合併為1股	2012/01/26
01141	北京御生堂藥業集團有限公司	10股合併為1股	2012/02/02
02312	中國金融租賃集團有限公司	20股合併為1股	2012/02/20
00766	中盈國金資源控股有限公司	10股合併為1股	2012/02/27
00875	連發國際股份有限公司	8股合併為1股	2012/02/28
00329	叁龍國際有限公司	5股合併為1股	2012/03/20
00767	太平洋實業控股有限公司	10股合併為1股	2012/03/20
00279	民豐企業控股有限公司	5股合併為1股	2012/03/21
01227	國盛投資基金有限公司	10股合併為1股	2012/03/28
00439	英發國際有限公司	20股合併為1股	2012/03/29

主 板 股 份

供股／公開售股

2012年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
1月	00487	實德環球有限公司	股權3供2，每股供0.19港元	308.94
2月	00552	中國通信服務股份有限公司—H股	股權10股H股供2股H股，每H股供3.19港元	1,271.44
	02366	勤+緣媒體服務有限公司	公開售股1供4，每股供0.08港元	299.41
	00456	新城市(中國)建設有限公司	公開售股3供23，每股供0.12港元 (4股合併為1股後)	62.50
	00260	中國環保投資股份有限公司	公開售股2供1，每股供0.20港元	243.59
	02336	科浪國際控股有限公司	公開售股1供2，每股供0.20港元 (20股合併為1股後)	37.30
	00913	合一投資控股有限公司	股權1供2，每股供0.10港元	86.24
	00242	信德集團有限公司	股權8供3，每股供2.02港元	1,645.50
3月	01141	北京御生堂藥業集團有限公司	股權1供5，每股供0.13港元 另每供5股送1份2014年認股權證 (10股合併為1股後)	321.24
	00629	悅達礦業控股有限公司	公開售股3供1，每股供0.50港元	114.46
	00901	萊福資本投資有限公司	股權1供4，每股供0.15港元 另每供4股送1份認股權證	128.80
	00332	毅力工業集團有限公司	公開售股7供2，每股供0.441港元	99.92
	00283	高銀地產控股有限公司	股權2供1，每股供2.16港元	1,588.87
	00934	中石化冠德控股有限公司	股權1供1，每股供3.37港元	3,494.12
	00197	亨泰消費品集團有限公司	公開售股2供1，每股供0.20港元	363.69
	01174	太平洋恩利國際控股有限公司	股權2供1，每股供0.49港元	771.27
總額				10,837.29

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2012					
1 月	00721	中國金融國際	304,950,000	0.450	137.23
	00922	中福控股	450,000,000	0.110	49.50
	01060	文化中國傳播	619,400,000	0.400	247.76
	00283	高銀地產	236,000,000	2.060	486.16
	01220	海域集團	406,935,939	0.133	54.12
	00810	華保亞洲	49,880,000	0.350	17.46
	01142	西伯利亞礦業	21,300,000	0.230	4.90
	01063	新確科技	26,000,000	0.200	5.20
	00928	野馬國際	459,738,927	0.100	45.97
	00159	華南投資	555,100,000	0.600	333.06
	00263	中國雲錫礦業	64,900,000	0.365	23.69
	00736	中國置業投資	49,000,000	0.195	9.56
2 月	01038	長江基建集團	56,234,455	40.738	2,290.88
	00875	連發國際	1,000,000,000	0.100	100.00
	00830	遠東環球	1,038,550,000	0.620	643.90
	01188	正道集團	1,460,000,000	0.100	146.00
	00381	僑雄能源	200,000,000	0.060	12.00
	00590	六福集團	46,600,000	29.250	1,363.05
	00456	新城市(中國)	1,112,500,000	0.120	133.50
	00166	新時代能源	90,000,000	0.550	49.50
	01768	賽得利控股	2,038,000	2.640	5.38
	02336	科浪國際	750,000,000	0.200	150.00
	02357	中航科工	342,000,000	3.550	1,214.10
	00692	寶源控股	80,980,000	0.200	16.20
3 月	02312	中國金融租賃	49,260,000	0.238	11.72
	00711	俊和發展集團	62,500,000	0.400	25.00
	01038	長江基建集團	50,901,000	45.750	2,328.72
	00794	錦勝集團(控股)	33,500,000	1.720	57.62
	02007	碧桂園	677,191,602	3.230	2,187.33
	01808	企展控股	161,231,600	0.240	38.70
	00279	民豐企業	188,548,057	0.255	48.08
	00474	昊天能源集團	574,513,810	0.325	186.72
	00139	中國微電子	564,920,000	0.090	50.84
	00776	捷豐家居	15,600,000	1.920	29.95
	00276	蒙古能源	150,000,000	0.800	120.00
	01062	新資本	1,920,000,000	0.400	768.00
總 額	01191	粵首環保	600,000,000	0.036	21.60
					13,413.40

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2011	第1季	393.42	829,434.95	829,828.38
	第2季	401.20	636,349.65	636,750.86
	第3季	28.67	654,145.24	654,173.91
	第4季	100.62	509,956.45	510,057.08
2012	第1季	28.26	525,898.84	525,927.10

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2011	第1季	23	1,217.46	5,689	148,882.52	5,712	150,099.98
	第2季	15	785.68	4,836	96,546.69	4,851	97,332.38
	第3季	15	429.33	4,778	86,648.18	4,793	87,077.51
	第4季	13	602.75	4,027	75,484.38	4,040	76,087.13
2012	第1季	13	404.92	4,094	94,572.19	4,107	94,977.11

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2011	第1季	2	2,056	86,446.46
	第2季	2	1,606	59,723.05
	第3季	2	1,979	73,939.94
	第4季	1	1,276	53,214.21
2012	第1季	1	1,580	50,958.90

新上市股份認股權證

2012年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
00649	北京御生堂藥業集團有限公司2014年認股權證	49,421,757	2012/03/13	2014/03/07	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2012年第1季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16126	Goldman Sachs SP (Asia) – Belle Int'l European Wts Aug 2012	120,000,000	2012/01/03	2012/08/20	18.00
16123	Goldman Sachs SP (Asia) – BYD European Warrants Nov 2012	120,000,000	2012/01/03	2012/11/19	31.08
16124	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Jun 2012	100,000,000	2012/01/03	2012/06/28	15.70
16127	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2012 A	150,000,000	2012/01/03	2012/06/21	27.00
16125	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2012	120,000,000	2012/01/03	2012/11/23	18.36
16122	J P Morgan SP BV – Agricultural Bk Euro Warrants Aug 2012	200,000,000	2012/01/03	2012/08/01	50.00
16121	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2012	200,000,000	2012/01/03	2012/10/03	52.00
16120	J P Morgan SP BV – Ch Rongsheng European Warrants Oct 2012	80,000,000	2012/01/03	2012/10/03	20.00
16138	Standard Chartered Bank – China Mobile Euro Wts Apr 2012	100,000,000	2012/01/03	2012/04/23	20.00
16136	Standard Chartered Bank – China Overseas Euro Wt Oct 2012	200,000,000	2012/01/03	2012/10/31	50.00
16137	Standard Chartered Bank – CC Bank European Wts Jul 2012 A	100,000,000	2012/01/03	2012/07/05	35.00
16139	Standard Chartered Bank – CNBM European Warrants Jul 2012	200,000,000	2012/01/03	2012/07/23	30.00
16132	UBS AG – Agricultural Bank European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	40.00
16131	UBS AG – Alibaba European Warrants May 2013	100,000,000	2012/01/03	2013/05/27	20.00
16134	UBS AG – China Mobile European Warrants Jul 2012	200,000,000	2012/01/03	2012/07/10	56.00
16135	UBS AG – China Tel European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	25.00
16129	UBS AG – China Life European Warrants Jul 2012 D	300,000,000	2012/01/03	2012/07/10	75.00
16133	UBS AG – China Shenhua European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	29.00
16140	UBS AG – KECL European Warrants Jun 2013	100,000,000	2012/01/03	2013/06/25	25.00
16130	UBS AG – Ping An European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	50.00
16128	UBS AG – Zijin Mining European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	39.00
15174#	UBS AG – A50 China European Warrants Jul 2012 A	300,000,000	2012/01/03	2012/07/18	11.70
16151	Credit Suisse AG – Yanzhou Coal European Warrants Apr 2012	100,000,000	2012/01/04	2012/04/10	15.00
16142	Goldman Sachs SP (Asia) – Anhui Conch European Wts Dec 2012	100,000,000	2012/01/04	2012/12/03	27.70
16143	Goldman Sachs SP (Asia) – CM Bank European Wts Sep 2012	120,000,000	2012/01/04	2012/09/24	18.24
16144	Goldman Sachs SP (Asia) – Kerry Ppt European Wts Nov 2012	120,000,000	2012/01/04	2012/11/26	30.36
16141	Goldman Sachs SP (Asia) – Sands China European Wts Dec 2012	100,000,000	2012/01/04	2012/12/10	31.40
16148	J P Morgan SP BV – CHALCO European Warrants Dec 2012	100,000,000	2012/01/04	2012/12/03	27.80
16156	J P Morgan SP BV – CNBM European Warrants Dec 2012	200,000,000	2012/01/04	2012/12/31	50.00
16147	J P Morgan SP BV – Ch Ship Dev European Warrants Dec 2012	80,000,000	2012/01/04	2012/12/03	22.24
16149	J P Morgan SP BV – R&F Ppt European Warrants Dec 2014	200,000,000	2012/01/04	2014/12/01	50.00
16150	J P Morgan SP BV – Poly HK Inv European Warrants Dec 2012	80,000,000	2012/01/04	2012/12/03	20.00
16146	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Jun 2012	200,000,000	2012/01/04	2012/06/06	30.00
16152	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 2012	300,000,000	2012/01/04	2012/06/25	96.00
16153	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2012	300,000,000	2012/01/04	2012/06/25	117.00
16154	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 2012	300,000,000	2012/01/04	2012/06/28	48.00
16155	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 12 B	300,000,000	2012/01/04	2012/05/30	63.00
16145	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Jun 2012	100,000,000	2012/01/04	2012/06/13	19.00
16160	UBS AG – BOCL European Warrants May 2012 A	100,000,000	2012/01/04	2012/05/23	15.00
16157	UBS AG – China Coal European Warrants Aug 2012	100,000,000	2012/01/04	2012/08/01	15.00
16159	UBS AG – China National Building European Warrants Jul 2012	100,000,000	2012/01/04	2012/07/23	15.00
16158	UBS AG – Zijin Mining European Warrants Nov 2012	100,000,000	2012/01/04	2012/11/26	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
10148	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
10147	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
16173	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Oct 2012	56,000,000	2012/01/05	2012/10/04	31.92
16171	BNP Paribas Arbit Issu B.V. – Ch Oilfield Euro Wts Aug 2013	100,000,000	2012/01/05	2013/08/02	30.00
16193	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Jan 2013	90,000,000	2012/01/05	2013/01/03	17.10
16169	BNP Paribas Arbit Issu B.V. – CPIC European Wts Oct 2012	100,000,000	2012/01/05	2012/10/04	31.00
16175	BNP Paribas Arbit Issu B.V. – CQRC Bank Euro Wts Nov 2012	30,000,000	2012/01/05	2012/11/02	20.10
16170	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Euro Wt Oct 2012	67,000,000	2012/01/05	2012/10/04	20.10
10150	BNP Paribas Arbit Issu B.V. – EUR/USD European Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
10149	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
16192	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2012	100,000,000	2012/01/05	2012/12/04	15.00
16176	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Oct 2012	100,000,000	2012/01/05	2012/10/04	42.00
16187	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2012	200,000,000	2012/01/05	2012/12/04	74.00
16174	BNP Paribas Arbit Issu B.V. – Lonking European Wts Oct 2012	30,000,000	2012/01/05	2012/10/04	11.40
16172	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Jul 2013	78,000,000	2012/01/05	2013/07/03	19.50
10152	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Warrants Sep 2012	100,000,000	2012/01/05	2012/09/12	25.00
10151	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Put Wts Sep 2012	100,000,000	2012/01/05	2012/09/12	25.00
16168	BNP Paribas Arbit Issu B.V. – Weichai Power Euro Wt Jan 2013	59,000,000	2012/01/05	2013/01/03	32.45
16180	Credit Suisse AG – HSI European Put Warrants May 2012	400,000,000	2012/01/05	2012/05/30	64.00
16177	Credit Suisse AG – HSI European Warrants Apr 2012 D	400,000,000	2012/01/05	2012/04/27	68.00
16178	Credit Suisse AG – HSI European Warrants May 2012 A	400,000,000	2012/01/05	2012/05/30	60.00
16179	Credit Suisse AG – HSI European Put Warrants Apr 2012 E	400,000,000	2012/01/05	2012/04/27	72.00
16164	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Dec 2013	120,000,000	2012/01/05	2013/12/23	19.32
16163	Goldman Sachs SP (Asia) – Cathay Pacific Euro Wts Apr 2013	120,000,000	2012/01/05	2013/04/29	31.08
16162	Goldman Sachs SP (Asia) – Wharf European Warrants Nov 2012	100,000,000	2012/01/05	2012/11/19	32.50
16190	Macquarie Bank Ltd. – China Mobile European Wts Aug 2012	200,000,000	2012/01/05	2012/08/02	96.20
16191	Macquarie Bank Ltd. – China Mobile Euro Put Wts Aug 2012	200,000,000	2012/01/05	2012/08/02	64.00
16189	CC Rabobank B.A. – Agile Ppt European Warrants Jun 2013	100,000,000	2012/01/05	2013/06/27	25.00
16186	CC Rabobank B.A. – CHALCO European Warrants Jun 2012	100,000,000	2012/01/05	2012/06/27	45.00
16181	CC Rabobank B.A. – Angang Steel European Warrants Jul 2012	80,000,000	2012/01/05	2012/07/11	64.00
16184	CC Rabobank B.A. – Ch Comm Cons European Warrants Jul 2012	80,000,000	2012/01/05	2012/07/16	48.00
16182	CC Rabobank B.A. – China Railway European Wts Jul 2012	80,000,000	2012/01/05	2012/07/30	34.40
16185	CC Rabobank B.A. – NVC Lighting European Warrants Jul 2012	100,000,000	2012/01/05	2012/07/16	40.00
16188	CC Rabobank B.A. – PICC P&C European Warrants Oct 2012	100,000,000	2012/01/05	2012/10/03	25.00
16183	CC Rabobank B.A. – Shimao Ppt European Warrants Jul 2012	80,000,000	2012/01/05	2012/07/11	56.00
16165	Standard Chartered Bank – China Life European Wts Oct 2012 A	100,000,000	2012/01/05	2012/10/03	18.00
16166	Standard Chartered Bank – YZ Coal European Warrants Jun 2012	100,000,000	2012/01/05	2012/06/29	15.00
16161	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug 12	120,000,000	2012/01/05	2012/08/08	18.00
16167	UBS AG – Yanzhou Coal European Wts Apr 2012	100,000,000	2012/01/05	2012/04/05	15.00
16235	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wts Nov 2012	100,000,000	2012/01/06	2012/11/02	43.00
16226	BNP Paribas Arbit Issu B.V. – Bank of EA Euro Wts Oct 2012 A	55,000,000	2012/01/06	2012/10/04	15.40
16230	BNP Paribas Arbit Issu B.V. – China EB Ltd Euro Wt Dec 2012	83,000,000	2012/01/06	2012/12/04	20.75
16229	BNP Paribas Arbit Issu B.V. – Ch Mer Hold Euro Wts Dec 2012	74,000,000	2012/01/06	2012/12/04	22.20
16231	BNP Paribas Arbit Issu B.V. – Chi Resources Euro Wt Nov 2012	74,000,000	2012/01/06	2012/11/02	25.16

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		發行單位	上市日期	到期日	
16237	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Aug 2012	68,000,000	2012/01/06	2012/08/02	25.84
16234	BNP Paribas Arbit Issu B.V. – Chi Res Power Euro Wt Nov 2012	93,000,000	2012/01/06	2012/11/02	26.97
16236	BNP Paribas Arbit Issu B.V. – Datang Power Euro Wts Nov 2012	30,000,000	2012/01/06	2012/11/02	12.30
16227	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Dec 2012	100,000,000	2012/01/06	2012/12/04	33.00
16228	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Euro Wt Dec 2012	100,000,000	2012/01/06	2012/12/04	31.00
16225	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Oct 2012 A	100,000,000	2012/01/06	2012/10/04	35.00
16233	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Feb 2013	88,000,000	2012/01/06	2013/02/04	22.88
16232	BNP Paribas Arbit Issu B.V. – Shougang Res Euro Wts Dec 2012	35,000,000	2012/01/06	2012/12/04	10.15
16203	Credit Suisse AG – BOCL European Warrants Sep 2012	100,000,000	2012/01/06	2012/09/28	20.00
16214	Credit Suisse AG – China Unicom European Put Wts Aug 2012	100,000,000	2012/01/06	2012/08/31	18.00
16205	Credit Suisse AG – CC Bank European Warrants Jul 2012 B	120,000,000	2012/01/06	2012/07/03	18.00
16202	Credit Suisse AG – A50 China European Warrants Nov 2012	120,000,000	2012/01/06	2012/11/05	18.00
16208	Credit Suisse AG – HSBC European Wts Jun 2012	200,000,000	2012/01/06	2012/06/29	40.00
16209	Credit Suisse AG – HSI European Warrants Apr 2012 F	400,000,000	2012/01/06	2012/04/27	92.00
16210	Credit Suisse AG – HSI European Warrants Apr 2012 G	400,000,000	2012/01/06	2012/04/27	80.00
16211	Credit Suisse AG – HSI European Warrants Apr 2012 H	400,000,000	2012/01/06	2012/04/27	60.00
16212	Credit Suisse AG – HSI European Warrants May 2012 B	400,000,000	2012/01/06	2012/05/30	92.00
16213	Credit Suisse AG – HSI European Warrants May 2012 C	400,000,000	2012/01/06	2012/05/30	60.00
16215	Credit Suisse AG – HSI European Put Warrants Apr 2012 I	400,000,000	2012/01/06	2012/04/27	60.00
16216	Credit Suisse AG – HSI European Put Warrants Apr 2012 J	400,000,000	2012/01/06	2012/04/27	100.00
16217	Credit Suisse AG – HSI European Put Warrants May 2012 D	400,000,000	2012/01/06	2012/05/30	88.00
16218	Credit Suisse AG – HSI European Put Warrants May 2012 E	400,000,000	2012/01/06	2012/05/30	88.00
16207	Credit Suisse AG – Hutchison European Warrants Jun 2012	200,000,000	2012/01/06	2012/06/25	40.00
16204	Credit Suisse AG – ICBC European Warrants Jun 2012 A	120,000,000	2012/01/06	2012/06/25	18.00
16206	Credit Suisse AG – Jiangxi Copper European Wts Oct 2012	80,000,000	2012/01/06	2012/10/29	16.00
16201	Credit Suisse – SPDR Gold Trust European Warrants Jul 2012	80,000,000	2012/01/06	2012/07/23	37.60
16194	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2012	120,000,000	2012/01/06	2012/09/18	32.52
16196	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2012	150,000,000	2012/01/06	2012/09/24	24.90
16195	Goldman Sachs SP (Asia) – JIANG European Warrants Jun 2012	120,000,000	2012/01/06	2012/06/21	18.72
16197	Goldman Sachs SP (Asia) – Tencent European Wt Jun 2012	150,000,000	2012/01/06	2012/06/25	22.95
16224	Macquarie Bank Ltd. – Sinopharm European Warrants Jul 2012	200,000,000	2012/01/06	2012/07/23	50.00
16198	Standard Chartered Bank – Brilliance Chi Euro Wts Oct 2012	200,000,000	2012/01/06	2012/10/15	36.00
16199	Standard Chartered Bank – China Mobile Euro Wts Oct 2012	100,000,000	2012/01/06	2012/10/03	25.00
16200	Standard Chartered Bank – China Mobile Euro Wts Aug 2012 A	100,000,000	2012/01/06	2012/08/03	35.00
16221	Standard Chartered Bank – HKEx European Warrants Nov 2012	200,000,000	2012/01/06	2012/11/23	30.00
16222	Standard Chartered Bank – MTRC European Warrants Jan 2013	100,000,000	2012/01/06	2013/01/04	19.00
16220	Standard Chartered Bank – Ping An European Warrants Aug 2012	100,000,000	2012/01/06	2012/08/03	30.00
16219	Standard Chartered Bank – SPDR Gold Trust Euro Wt Sep 2012 B	30,000,000	2012/01/06	2012/09/24	17.40
16223	UBS AG – SPDR Gold Trust European Warrants Jul 2012	100,000,000	2012/01/06	2012/07/10	71.00
16241	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts May 2012 A	80,000,000	2012/01/09	2012/05/14	32.00
16243	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts Jul 2012	80,000,000	2012/01/09	2012/07/26	12.00
16242	Citigroup Global Mkt H Inc. – Tencent Euro Put Wts Jul 2012	80,000,000	2012/01/09	2012/07/09	12.00
16238	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Sep 2012	50,000,000	2012/01/09	2012/09/03	11.65
16244	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2012 A	70,000,000	2012/01/09	2012/05/22	10.50

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		發行單位	上市日期	到期日	
16240	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2012	70,000,000	2012/01/09	2012/07/23	12.32
16239	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2012	40,000,000	2012/01/09	2012/07/16	14.40
16245	Standard Chartered Bank – Sinopec Corp Euro Wts May 2012 A	100,000,000	2012/01/09	2012/05/16	28.50
16248	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2012	100,000,000	2012/01/10	2012/07/23	25.10
16249	Goldman Sachs SP (Asia) – Alibaba European Warrants May 2013	120,000,000	2012/01/10	2013/05/24	18.72
16247	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jul 2012	120,000,000	2012/01/10	2012/07/30	30.12
16246	Goldman Sachs SP (Asia) – SJM Holdings European Wts Jul 2012	120,000,000	2012/01/10	2012/07/23	25.44
16252	Macquarie Bank Ltd. – AIA European Warrants Sep 2012	200,000,000	2012/01/10	2012/09/04	51.60
16253	Macquarie Bank Ltd. – PetroChina European Wts Nov 2013	200,000,000	2012/01/10	2013/11/04	50.00
16255	Standard Chartered Bank – China Mobile Euro Wts Aug 2012 B	100,000,000	2012/01/10	2012/08/30	47.00
16256	Standard Chartered Bank – ICBC European Warrants Sep 2012	100,000,000	2012/01/10	2012/09/06	30.00
16254	Standard Chartered Bank – MTRC European Warrants Sep 2012	100,000,000	2012/01/10	2012/09/05	32.00
16250	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2012 B	150,000,000	2012/01/10	2012/04/30	57.00
16251	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2012 A	120,000,000	2012/01/10	2012/06/27	79.20
13950#	UBS AG – A50 China European Warrants Aug 2012	200,000,000	2012/01/10	2012/08/01	13.20
16272	Credit Suisse AG – BYD European Warrants May 2012	70,000,000	2012/01/11	2012/05/07	10.50
16273	Credit Suisse AG – China Life European Warrants May 2012	100,000,000	2012/01/11	2012/05/11	15.00
16275	Credit Suisse AG – China Life European Warrants May 2012 A	100,000,000	2012/01/11	2012/05/30	15.00
16266	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2012	120,000,000	2012/01/11	2012/09/24	30.84
16267	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2012	120,000,000	2012/01/11	2012/08/13	31.08
16265	Goldman Sachs SP (Asia) – CNOOC European Warrants Sep 2012	120,000,000	2012/01/11	2012/09/24	18.48
16269	Goldman Sachs SP (Asia) – A50 China European Wts Oct 2013	120,000,000	2012/01/11	2013/10/30	18.24
16268	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2012 A	120,000,000	2012/01/11	2012/07/16	31.32
16271	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2012	200,000,000	2012/01/11	2012/06/28	33.20
16270	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012 C	200,000,000	2012/01/11	2012/04/27	46.60
16261	Macquarie Bank Ltd. – BYD European Warrants Aug 2012	150,000,000	2012/01/11	2012/08/02	38.25
16274	Macquarie Bank Ltd. – Cheung Kong European Warrants Jul 2012	200,000,000	2012/01/11	2012/07/04	40.00
16264	Macquarie Bank Ltd. – China Life European Put Wts Nov 2012	200,000,000	2012/01/11	2012/11/02	50.40
16263	Macquarie Bank Ltd. – A50 China European Warrants Feb 2013	200,000,000	2012/01/11	2013/02/04	51.40
16262	Macquarie Bank Ltd. – GAC European Wts Aug 2012	80,000,000	2012/01/11	2012/08/02	50.96
16260	Macquarie Bank Ltd. – Zijin Mining European Wts Aug 2012	80,000,000	2012/01/11	2012/08/02	22.40
16257	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2012 A	200,000,000	2012/01/11	2012/05/28	62.00
16258	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jun 2012A	150,000,000	2012/01/11	2012/06/18	54.00
16259	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jun 2012	100,000,000	2012/01/11	2012/06/13	25.00
16276	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun 2012	400,000,000	2012/01/11	2012/06/25	60.00
16278	UBS AG – AIA European Warrants Jun 2012	200,000,000	2012/01/11	2012/06/25	30.00
16277	UBS AG – Sinopec Corp European Warrants May 2012 B	100,000,000	2012/01/11	2012/05/16	18.00
16279	UBS AG – A50 China European Warrants Dec 2014	300,000,000	2012/01/11	2014/12/23	54.00
14518#	CC Rabobank B.A. – CNBM European Warrants Sep 2012	130,000,000	2012/01/11	2012/09/19	10.53
16286	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2012	200,000,000	2012/01/12	2012/12/28	50.00
16294	Credit Suisse AG – Sinopec Corp European Warrants May 2012 A	80,000,000	2012/01/12	2012/05/16	21.60
16295	Credit Suisse AG – Sinopec Corp European Warrants May 2012 B	80,000,000	2012/01/12	2012/05/16	12.00
16293	Credit Suisse AG – Sinopec Corp European Put Wts May 2012	80,000,000	2012/01/12	2012/05/14	12.00
16297	Daiwa Capital Mkt – Agricultural Bank European Wts Jun 2012	108,000,000	2012/01/12	2012/06/18	30.24

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		發行單位	上市日期	到期日	
16304	Daiwa Capital Mkt – CCB European Warrants Apr 2012	108,000,000	2012/01/12	2012/04/12	43.20
16302	Daiwa Capital Mkt – CCB European Warrants May 2012	128,000,000	2012/01/12	2012/05/23	20.48
16303	Daiwa Capital Mkt – CCB European Warrants Jun 2012	128,000,000	2012/01/12	2012/06/27	32.00
16301	Daiwa Capital Mkt – CCB European Put Warrants Jul 2012	80,000,000	2012/01/12	2012/07/12	32.00
16296	Daiwa Capital Mkt – China Life European Warrants Jul 2012 A	168,000,000	2012/01/12	2012/07/09	26.88
16300	Daiwa Capital Mkt – ICBC European Put Warrants Jul 2012	80,000,000	2012/01/12	2012/07/12	20.00
16291	Daiwa Capital Mkt – PetroChina European Warrants Nov 2013	108,000,000	2012/01/12	2013/11/01	27.00
16290	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Aug 2012	100,000,000	2012/01/12	2012/08/27	31.50
16288	Goldman Sachs SP (Asia) – HKEx European Put Wts Dec 2012	120,000,000	2012/01/12	2012/12/24	18.12
16287	Goldman Sachs SP (Asia) – MTRC European Warrants Nov 2012	120,000,000	2012/01/12	2012/11/19	18.36
16289	Goldman Sachs SP (Asia) – PetroChina European Wts Aug 2012	100,000,000	2012/01/12	2012/08/27	41.60
16282	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2012 A	80,000,000	2012/01/12	2012/08/15	12.00
16280	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 F	100,000,000	2012/01/12	2012/06/28	15.30
16281	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jul 2012	40,000,000	2012/01/12	2012/07/16	10.00
16284	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jul 2012	20,000,000	2012/01/12	2012/07/16	12.60
16283	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2012	80,000,000	2012/01/12	2012/07/10	12.40
16285	Standard Chartered Bank – Sands China European Wts Jul 2012	100,000,000	2012/01/12	2012/07/16	32.00
16292	UBS AG – CC Bank European Warrants Jul 2012 B	200,000,000	2012/01/12	2012/07/03	64.00
16313	Daiwa Capital Mkt – China Mobile European Put Wts Jul 2012	80,000,000	2012/01/13	2012/07/13	20.00
16314	Daiwa Capital Mkt – Sinopec Corp European Warrants May 2012A	98,000,000	2012/01/13	2012/05/16	17.64
16315	Daiwa Capital Mkt – HSBC European Warrants Jul 2012	128,000,000	2012/01/13	2012/07/13	32.00
16308	Daiwa Capital Mkt – HSBC European Put Warrants Jul 2012 A	80,000,000	2012/01/13	2012/07/13	30.40
16311	Daiwa Capital Mkt – Hutchison European Warrants Jun 2012	128,000,000	2012/01/13	2012/06/11	46.08
16310	Daiwa Capital Mkt – Hutchison European Warrants Jul 2012	128,000,000	2012/01/13	2012/07/13	32.00
16309	Daiwa Capital Mkt – Hutchison European Put Warrants Jul 2012	80,000,000	2012/01/13	2012/07/13	20.00
16312	Daiwa Capital Mkt – Tencent Holdings European Wts Jun 2012	108,000,000	2012/01/13	2012/06/15	18.36
16319	Bank of East Asia – BankComm European Warrants Sep 2012	50,000,000	2012/01/13	2012/09/25	12.50
16318	Bank of East Asia – A50 China European Warrants Jul 2013	170,000,000	2012/01/13	2013/07/12	42.50
16317	Bank of East Asia – PICC European Warrants Apr 2013	140,000,000	2012/01/13	2013/04/23	35.00
16305	HK Bank – A50 China European Put Warrants Sep 2012	80,000,000	2012/01/13	2012/09/24	12.00
16307	J P Morgan SP BV – HSI European Warrants Oct 2012 A	200,000,000	2012/01/13	2012/10/30	30.00
16316	UBS AG – China National Building European Warrants Dec 2012	100,000,000	2012/01/13	2012/12/10	16.00
15193 [#]	J P Morgan SP BV – A50 China European Warrants Jul 2012	300,000,000	2012/01/13	2012/07/18	18.30
16332	BNP Paribas Arbit Issu B.V. – Ping An European Wt Oct 2012	100,000,000	2012/01/16	2012/10/30	57.00
16320	HK Bank – China Mobile European Warrants Jun 2012 B	150,000,000	2012/01/16	2012/06/11	22.50
16326	Macquarie Bank Ltd. – China Tel European Warrants Aug 2012	120,000,000	2012/01/16	2012/08/02	31.08
16334	Macquarie Bank Ltd. – Ping An European Warrants Aug 2012	150,000,000	2012/01/16	2012/08/02	54.00
16324	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2013	100,000,000	2012/01/16	2013/11/01	25.00
16325	Standard Chartered Bank – ICBC European Warrants Jun 2012	100,000,000	2012/01/16	2012/06/06	25.50
16327	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jul 12	80,000,000	2012/01/16	2012/07/18	20.00
16328	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Nov 2012	200,000,000	2012/01/16	2012/11/19	50.00
16321	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Dec 2012	150,000,000	2012/01/16	2012/12/19	27.00
16329	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Aug 2012	100,000,000	2012/01/16	2012/08/15	25.00
16322	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2012	200,000,000	2012/01/16	2012/07/16	50.00

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		發行單位	上市日期	到期日	
16323	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 2012	100,000,000	2012/01/16	2012/07/16	47.00
16330	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Sep 12	100,000,000	2012/01/16	2012/09/19	25.00
16331	SGA Societe Generale Acceptance NV – Zijin Mining Eu Wt Jul 12	50,000,000	2012/01/16	2012/07/18	19.00
16333	UBS AG – China Tel European Warrants Jul 2012 A	100,000,000	2012/01/16	2012/07/30	30.00
16342	Credit Suisse AG – Belle Int'l European Warrants May 2012	70,000,000	2012/01/17	2012/05/02	10.50
16346	Credit Suisse AG – China Life European Put Warrants May 2012	70,000,000	2012/01/17	2012/05/09	10.50
16343	Credit Suisse AG – CNBM European Warrants Dec 2012	70,000,000	2012/01/17	2012/12/21	10.50
16344	Credit Suisse AG – CNOOC European Put Warrants Jun 2012 A	70,000,000	2012/01/17	2012/06/28	10.50
16345	Credit Suisse AG – HKEx European Put Warrants Aug 2012	70,000,000	2012/01/17	2012/08/30	10.50
16348	Credit Suisse AG – HSBC European Put Warrants May 2012	60,000,000	2012/01/17	2012/05/28	15.00
16341	Credit Suisse AG – Ping An European Warrants Sep 2013	120,000,000	2012/01/17	2013/09/27	18.00
16347	Credit Suisse AG – Ping An European Put Warrants Jun 2012	50,000,000	2012/01/17	2012/06/28	17.00
16336	Goldman Sachs SP (Asia) – Ch Overseas European Wts Nov 2012	120,000,000	2012/01/17	2012/11/12	30.24
16337	Goldman Sachs SP (Asia) – China Unicom European Wts Sep 2012	120,000,000	2012/01/17	2012/09/24	18.24
16339	Goldman Sachs SP (Asia) – CNBM European Warrants Dec 2012	120,000,000	2012/01/17	2012/12/20	19.44
16335	Goldman Sachs SP (Asia) – KECL European Warrants Mar 2013	120,000,000	2012/01/17	2013/03/25	30.12
16338	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Oct 2012	120,000,000	2012/01/17	2012/10/15	32.88
16340	Standard Chartered Bank – China Life European Wts Sep 2012	100,000,000	2012/01/17	2012/09/28	25.00
16350	UBS AG – HSI European Put Warrants May 2012 D	300,000,000	2012/01/17	2012/05/30	51.00
16349	UBS AG – HSI European Put Warrants Jun 2012 E	300,000,000	2012/01/17	2012/06/28	45.00
16353	UBS AG – Hutchison European Warrants Jul 2012 A	300,000,000	2012/01/17	2012/07/23	90.00
16352	UBS AG – ICBC European Warrants Jun 2012 A	200,000,000	2012/01/17	2012/06/25	52.00
16351	UBS AG – Tencent Holdings European Put Warrants May 2012	200,000,000	2012/01/17	2012/05/21	32.00
16357	BOCI Asia Ltd. – China Mobile European Warrants Aug 2012	100,000,000	2012/01/18	2012/08/03	25.00
16358	BOCI Asia Ltd. – China Mobile European Put Warrants Aug 2012	100,000,000	2012/01/18	2012/08/06	25.00
16379	Credit Suisse AG – Ch Overseas European Warrants Jun 2012	70,000,000	2012/01/18	2012/06/25	10.50
16373	Credit Suisse AG – China Coal European Warrants Dec 2012	70,000,000	2012/01/18	2012/12/12	10.50
16378	Credit Suisse AG – CPIC European Warrants May 2012	70,000,000	2012/01/18	2012/05/21	10.50
16374	Credit Suisse AG – A50 China European Put Warrants Sep 2012	70,000,000	2012/01/18	2012/09/28	10.50
16380	Credit Suisse AG – KECL European Warrants Dec 2012	50,000,000	2012/01/18	2012/12/24	12.50
16372	Credit Suisse AG – Tencent Holdings European Wts Jun 2012	180,000,000	2012/01/18	2012/06/29	27.00
16376	Credit Suisse AG – Tencent Holdings Euro Put Wts Jul 2012	80,000,000	2012/01/18	2012/07/30	12.00
16375	Credit Suisse AG – Wharf European Warrants Jul 2012	50,000,000	2012/01/18	2012/07/30	12.50
16377	Credit Suisse AG – Zoomlion European Warrants Oct 2012	70,000,000	2012/01/18	2012/10/22	10.50
16369	Citigroup Global Mkt H Inc. – Anhui Conch Euro Wts Jul 2012	50,000,000	2012/01/18	2012/07/23	12.50
16371	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Aug 2012	80,000,000	2012/01/18	2012/08/23	12.00
16368	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jul 2012	50,000,000	2012/01/18	2012/07/18	17.50
16370	Citigroup Global Mkt H Inc. – Weichai Power Eu Wts Jul 2012	50,000,000	2012/01/18	2012/07/23	12.50
16361	Macquarie Bank Ltd. – BOCL European Warrants Jun 2012	120,000,000	2012/01/18	2012/06/04	19.56
16359	Macquarie Bank Ltd. – China Unicom European Wts Sep 2012 A	200,000,000	2012/01/18	2012/09/04	50.00
16360	Macquarie Bank Ltd. – ICBC European Warrants Aug 2012	200,000,000	2012/01/18	2012/08/02	50.40
16365	Standard Chartered Bank – Agricultural Bk Euro Wts Sep 2012	100,000,000	2012/01/18	2012/09/05	35.00
16366	Standard Chartered Bank – BOCL European Warrants Nov 2012 A	100,000,000	2012/01/18	2012/11/05	25.00
16364	Standard Chartered Bank – HSBC European Warrants Aug 2012 A	100,000,000	2012/01/18	2012/08/03	40.00

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		發行單位	上市日期	到期日	
16363	Standard Chartered Bank – HSI European Warrants Jun 2012 B	200,000,000	2012/01/18	2012/06/28	38.60
16362	Standard Chartered Bank – HSI European Put Wts Jun 2012 A	200,000,000	2012/01/18	2012/06/28	30.00
16367	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2012	200,000,000	2012/01/18	2012/09/24	50.00
16354	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2012	200,000,000	2012/01/18	2012/07/11	38.00
16356	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012 B	200,000,000	2012/01/18	2012/05/28	78.00
16355	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 12 A	200,000,000	2012/01/18	2012/06/28	38.00
16389	Barclays Bank plc – ICBC European Warrants Jul 2012 A	100,000,000	2012/01/19	2012/07/31	25.00
16402	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Oct 2012	100,000,000	2012/01/19	2012/10/04	79.00
16403	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Sep 2012	100,000,000	2012/01/19	2012/09/04	29.00
16401	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Aug 2013	100,000,000	2012/01/19	2013/08/02	80.00
16400	Credit Suisse AG – HSI European Warrants Jun 2012	400,000,000	2012/01/19	2012/06/28	60.00
16397	Credit Suisse AG – HSI European Warrants Apr 2012 K	400,000,000	2012/01/19	2012/04/27	60.00
16398	Credit Suisse AG – HSI European Warrants May 2012 F	400,000,000	2012/01/19	2012/05/30	60.00
16399	Credit Suisse AG – HSI European Warrants May 2012 G	400,000,000	2012/01/19	2012/05/30	60.00
16384	Goldman Sachs SP (Asia) – Chi Resources European Wt Nov 2012	120,000,000	2012/01/19	2012/11/26	32.04
16387	Goldman Sachs SP (Asia) – China Coal European Wts Nov 2012	120,000,000	2012/01/19	2012/11/28	18.84
16385	Goldman Sachs SP (Asia) – Dongfeng European Wts Sep 2012	120,000,000	2012/01/19	2012/09/24	30.12
16386	Goldman Sachs SP (Asia) – Li & Fung European Wts Aug 2012	120,000,000	2012/01/19	2012/08/13	18.00
16388	Goldman Sachs SP (Asia) – PICC European Warrants Sep 2012	120,000,000	2012/01/19	2012/09/20	18.48
16394	HK Bank – AIA European Warrants Sep 2012	150,000,000	2012/01/19	2012/09/21	22.50
16392	HK Bank – Sinopec Corp European Warrants Jul 2012	120,000,000	2012/01/19	2012/07/30	30.00
16396	HK Bank – A50 China European Warrants Jul 2012	120,000,000	2012/01/19	2012/07/19	34.80
16390	HK Bank – HSI European Warrants Oct 2012	250,000,000	2012/01/19	2012/10/30	37.50
16391	HK Bank – HWL European Warrants Jul 2012	150,000,000	2012/01/19	2012/07/19	37.50
16395	HK Bank – ICBC European Warrants Jun 2012 A	120,000,000	2012/01/19	2012/06/20	18.00
16393	HK Bank – Tencent European Warrants Dec 2012	180,000,000	2012/01/19	2012/12/12	45.00
16383	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jun 2012	200,000,000	2012/01/19	2012/06/27	30.00
16381	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2012	500,000,000	2012/01/19	2012/07/04	90.00
16382	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2012 B	500,000,000	2012/01/19	2012/09/24	110.00
16405	UBS AG – China Unicom European Warrants Aug 2012	100,000,000	2012/01/19	2012/08/27	15.00
16407	UBS AG – China Unicom European Put Warrants Jun 2012	100,000,000	2012/01/19	2012/06/25	17.30
16406	UBS AG – China Life European Put Warrants Jun 2012	100,000,000	2012/01/19	2012/06/01	17.80
16410	UBS AG – CNOOC European Put Warrants May 2012	100,000,000	2012/01/19	2012/05/28	15.00
16409	UBS AG – HKEx European Put Warrants Nov 2012	200,000,000	2012/01/19	2012/11/27	40.00
16408	UBS AG – HSBC European Put Warrants Jul 2012	100,000,000	2012/01/19	2012/07/30	45.00
16404	UBS AG – HSCEI European Warrants Sep 2012	100,000,000	2012/01/19	2012/09/27	15.00
16115#	UBS AG – China Unicom European Warrants May 2012	250,000,000	2012/01/19	2012/05/28	11.75
16418	Citigroup Global Mkt H Inc. – Belle Int'l Euro Wts Jul 2012	80,000,000	2012/01/20	2012/07/23	12.00
16415	Citigroup Global Mkt H Inc. – Brilliance Chi Eu Wts Jan 2013	80,000,000	2012/01/20	2013/01/21	12.80
16421	Citigroup Global Mkt H Inc. – Ch Oilfield Euro Wts Dec 2012	80,000,000	2012/01/20	2012/12/10	12.80
16420	Citigroup Global Mkt H Inc. – Datang European Wts Jul 2012	50,000,000	2012/01/20	2012/07/23	12.50
16422	Citigroup Global Mkt H Inc. – Esprit European Wts Oct 2012	50,000,000	2012/01/20	2012/10/10	12.50
16417	Citigroup Global Mkt H Inc. – Haier Elec Euro Wts Jan 2013	50,000,000	2012/01/20	2013/01/07	12.50
16414	Citigroup Global Mkt H Inc. – China Mengniu Eu Wts Sep 2012	80,000,000	2012/01/20	2012/09/20	12.00

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		發行單位	上市日期	到期日	
16419	Citigroup Global Mkt H Inc. – Weigao Group Euro Wts Dec 2012	80,000,000	2012/01/20	2012/12/24	12.00
16416	Citigroup Global Mkt H Inc. – Zoomlion European Wts Nov 2012	80,000,000	2012/01/20	2012/11/27	12.80
16413	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2012	108,000,000	2012/01/20	2012/07/03	17.28
16423	Goldman Sachs SP (Asia) – China Tel European Wts Aug 2012	120,000,000	2012/01/20	2012/08/06	30.24
16424	Goldman Sachs SP (Asia) – Lenovo European Warrants Sep 2012	120,000,000	2012/01/20	2012/09/18	46.08
16426	Goldman Sachs SP (Asia) – China Mengniu European Wt Oct 2012	120,000,000	2012/01/20	2012/10/15	30.12
16425	Goldman Sachs SP (Asia) – Yurun Food European Wts Nov 2012	120,000,000	2012/01/20	2012/11/05	30.36
16411	Standard Chartered Bank – A50 China Euro Warrants Jun 2012	100,000,000	2012/01/20	2012/06/25	26.00
16412	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Feb 2013	500,000,000	2012/01/20	2013/02/18	100.00
16428	UBS AG – CNOOC European Warrants Sep 2012	200,000,000	2012/01/20	2012/09/24	30.00
16430	UBS AG – China Unicom European Warrants Sep 2012 A	100,000,000	2012/01/20	2012/09/24	15.00
16429	UBS AG – PetroChina European Warrants Oct 2013	300,000,000	2012/01/20	2013/10/28	69.00
16427	UBS AG – PICC European Warrants Jun 2012	100,000,000	2012/01/20	2012/06/26	15.50
16431	UBS AG – Ping An European Put Warrants Jun 2012	100,000,000	2012/01/20	2012/06/26	40.00
16435	BNP Paribas Arbit Issu B.V. – CNOOC European Put Wt Nov 2012	100,000,000	2012/01/26	2012/11/02	25.00
16436	BNP Paribas Arbit Issu B.V. – PetroCh Euro Put Wts Oct 2012	100,000,000	2012/01/26	2012/10/30	15.00
16432	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Jan 2013	120,000,000	2012/01/26	2013/01/21	18.24
16434	Macquarie Bank Ltd. – A50 China European Put Wts Oct 2012	200,000,000	2012/01/26	2012/10/04	30.00
16439	UBS AG – CC Bank European Warrants May 2012 B	200,000,000	2012/01/26	2012/05/23	60.00
16437	UBS AG – China Mobile European Warrants Jul 2012 A	200,000,000	2012/01/26	2012/07/03	60.00
16433	UBS AG – China Unicom European Warrants Jul 2012 A	100,000,000	2012/01/26	2012/07/30	25.00
16438	UBS AG – HSBC European Warrants Jun 2012 D	200,000,000	2012/01/26	2012/06/26	30.00
16459	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2012	90,000,000	2012/01/27	2012/08/02	29.70
16460	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2012 A	200,000,000	2012/01/27	2012/11/02	86.00
16458	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Feb 2013	100,000,000	2012/01/27	2013/02/04	25.00
16478	Credit Suisse AG – Agricultural Bank European Wts Apr 2012 A	120,000,000	2012/01/27	2012/04/30	18.00
16475	Credit Suisse AG – BOCL European Warrants May 2012 A	100,000,000	2012/01/27	2012/05/23	16.00
16477	Credit Suisse AG – China Mobile European Warrants May 2012	150,000,000	2012/01/27	2012/05/30	22.50
16480	Credit Suisse AG – CNOOC European Warrants Jul 2012	100,000,000	2012/01/27	2012/07/30	15.00
16479	Credit Suisse AG – CNOOC European Warrants Feb 2013	120,000,000	2012/01/27	2013/02/18	18.00
16483	Credit Suisse AG – HSI European Warrants Aug 2012	400,000,000	2012/01/27	2012/08/30	60.00
16481	Credit Suisse AG – HSI European Put Warrants Sep 2012	400,000,000	2012/01/27	2012/09/27	60.00
16482	Credit Suisse AG – HSI European Put Warrants Apr 2012 L	400,000,000	2012/01/27	2012/04/27	60.00
16476	Credit Suisse AG – ICBC European Warrants Jul 2012 A	120,000,000	2012/01/27	2012/07/30	30.00
16471	Daiwa Capital Mkt – BOCL European Warrants May 2012	108,000,000	2012/01/27	2012/05/23	21.60
16472	Daiwa Capital Mkt – BOCL European Warrants Jul 2012 A	108,000,000	2012/01/27	2012/07/27	27.00
16469	Daiwa Capital Mkt – CCB European Warrants Jun 2012 A	128,000,000	2012/01/27	2012/06/22	19.20
16470	Daiwa Capital Mkt – China Life European Warrants Jun 2012 A	168,000,000	2012/01/27	2012/06/18	25.20
16468	Daiwa Capital Mkt – HSI European Warrants Jun 2012 B	200,000,000	2012/01/27	2012/06/28	30.00
16473	Daiwa Capital Mkt – ICBC European Warrants Jul 2012	128,000,000	2012/01/27	2012/07/27	33.28
16474	Daiwa Capital Mkt – ICBC European Warrants May 2012 A	128,000,000	2012/01/27	2012/05/23	32.00
16452	Goldman Sachs SP (Asia) – HSI European Put Wts May 2012	200,000,000	2012/01/27	2012/05/30	30.00
16455	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2012	200,000,000	2012/01/27	2012/06/28	34.20
16454	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2012 A	200,000,000	2012/01/27	2012/06/28	42.60

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		發行單位	上市日期	到期日	
16453	Goldman Sachs SP (Asia) – HSI European Put Wts May 2012 A	200,000,000	2012/01/27	2012/05/30	37.40
16456	J P Morgan SP BV – Alibaba European Warrants Oct 2013	150,000,000	2012/01/27	2013/10/02	37.50
16467	J P Morgan SP BV – China Mob European Wts Jul 2012	150,000,000	2012/01/27	2012/07/30	37.50
16466	J P Morgan SP BV – China Tel European Warrants Aug 2012	100,000,000	2012/01/27	2012/08/06	25.00
16465	J P Morgan SP BV – China Unicom European Warrants Dec 2012	200,000,000	2012/01/27	2012/12/28	50.00
16457	J P Morgan SP BV – Galaxy Ent European Warrants Sep 2012	200,000,000	2012/01/27	2012/09/03	50.00
16451	Macquarie Bank Ltd. – China Unicom European Put Wts Jan 2013	250,000,000	2012/01/27	2013/01/03	62.50
16445	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2012	40,000,000	2012/01/27	2012/07/30	16.20
16447	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2013	40,000,000	2012/01/27	2013/03/11	12.20
16450	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Jul2012	40,000,000	2012/01/27	2012/07/31	13.60
16446	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2012 A	40,000,000	2012/01/27	2012/09/17	10.00
16448	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2012 A	80,000,000	2012/01/27	2012/11/27	12.08
16444	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jul 2012	60,000,000	2012/01/27	2012/07/30	17.10
16442	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Aug 2012	60,000,000	2012/01/27	2012/08/20	15.00
16449	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Sep 2013	60,000,000	2012/01/27	2013/09/09	15.00
16443	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Aug 2012	40,000,000	2012/01/27	2012/08/20	10.00
16461	Standard Chartered Bank – CTel European Warrants Aug 2012	100,000,000	2012/01/27	2012/08/30	25.00
16440	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2012	200,000,000	2012/01/27	2012/07/30	50.00
16441	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 2012	500,000,000	2012/01/27	2012/11/05	80.00
16464	UBS AG – HSI European Warrants Jun 2012 F	300,000,000	2012/01/27	2012/06/28	54.00
16462	UBS AG – ICBC European Warrants Jun 2012 B	200,000,000	2012/01/27	2012/06/20	42.00
16463	UBS AG – PICC European Warrants Sep 2012	100,000,000	2012/01/27	2012/09/20	15.00
13137#	Citigroup Global Mkt H Inc. – Goldwind European Wts Sep 2012	630,000,000	2012/01/27	2012/09/03	10.08
16506	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct 12	100,000,000	2012/01/30	2012/10/03	27.00
16509	BNP Paribas Arbit Issu B.V. – BOCL European Wts Nov 2012	200,000,000	2012/01/30	2012/11/29	52.00
16507	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2012 A	96,000,000	2012/01/30	2012/08/02	30.72
16508	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Aug 2012	94,000,000	2012/01/30	2012/08/02	23.50
16518	Credit Suisse AG – AIA European Warrants Jun 2012	150,000,000	2012/01/30	2012/06/01	22.50
16505	Credit Suisse AG – ChiUnicom European Warrants Aug 2012	150,000,000	2012/01/30	2012/08/31	22.50
16501	Credit Suisse AG – CNOOC European Warrants Sep 2012	100,000,000	2012/01/30	2012/09/28	15.00
16500	Credit Suisse AG – Jiangxi Copper European Wts Jun 2012 A	80,000,000	2012/01/30	2012/06/25	12.00
16498	Credit Suisse AG – Tencent Holdings European Wts Jul 2012	180,000,000	2012/01/30	2012/07/30	27.00
16499	Credit Suisse AG – Tencent Holdings Euro Put Wts May 2012 B	180,000,000	2012/01/30	2012/05/28	27.00
16510	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Jun 2012	80,000,000	2012/01/30	2012/06/28	12.00
16511	Citigroup Global Mkt H Inc. – HSI European Put Wt May 2012	100,000,000	2012/01/30	2012/05/30	15.00
16513	Citigroup Global Mkt H Inc. – KECL European Wts Jan 2013	50,000,000	2012/01/30	2013/01/14	12.50
16512	Citigroup Global Mkt H Inc. – Tencent European Wts Jul 2012	80,000,000	2012/01/30	2012/07/24	12.00
16492	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2012	120,000,000	2012/01/30	2012/08/27	30.36
16495	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2012	120,000,000	2012/01/30	2012/10/15	19.20
16496	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Oct 2012	120,000,000	2012/01/30	2012/10/22	81.84
16491	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2012	200,000,000	2012/01/30	2012/07/30	50.60
16493	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2012	200,000,000	2012/01/30	2012/08/30	33.80
16494	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2012 A	200,000,000	2012/01/30	2012/08/30	30.20
16497	Macquarie Bank Ltd. – PetroChina European Wts Jun 2012	150,000,000	2012/01/30	2012/06/08	58.95

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		發行單位	上市日期	到期日	
16484	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt May 2012 A	60,000,000	2012/01/30	2012/05/24	11.16
16503	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2012	40,000,000	2012/01/30	2012/07/31	10.00
16502	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wts May 2012	60,000,000	2012/01/30	2012/05/09	10.62
16485	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2012 B	40,000,000	2012/01/30	2012/05/08	10.20
16486	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2012 A	70,000,000	2012/01/30	2012/07/30	10.50
16489	Standard Chartered Bank – China Unicom Euro Wts Aug 2012 A	100,000,000	2012/01/30	2012/08/03	25.00
16490	Standard Chartered Bank – Evergrande European Wts Nov 2012	50,000,000	2012/01/30	2012/11/19	34.00
16488	Standard Chartered Bank – Tencent Hldg European Wts Jul 2012	100,000,000	2012/01/30	2012/07/03	18.00
16504	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 2012	200,000,000	2012/01/30	2012/07/30	44.00
16487	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2012 A	200,000,000	2012/01/30	2012/07/30	50.00
16516	UBS AG – BYD European Warrants Aug 2012 A	100,000,000	2012/01/30	2012/08/13	42.00
16515	UBS AG – China Mobile European Warrants Jun 2012 C	200,000,000	2012/01/30	2012/06/11	30.00
16514	UBS AG – ICBC European Warrants Jul 2012	200,000,000	2012/01/30	2012/07/26	30.00
16517	UBS AG – Tencent Holdings European Warrants Sep 2012	200,000,000	2012/01/30	2012/09/10	50.00
15175#	UBS AG – A50 China European Warrants Oct 2012	200,000,000	2012/01/30	2012/10/08	14.80
16519	BOCI Asia Ltd. – Tencent Holdings European Warrants Jul 2012	200,000,000	2012/01/31	2012/07/23	30.00
16537	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2012	200,000,000	2012/01/31	2012/07/11	32.00
16524	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Dec 2012	100,000,000	2012/01/31	2012/12/04	17.00
16535	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2012 A	200,000,000	2012/01/31	2012/12/28	32.00
16534	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2012 A	200,000,000	2012/01/31	2012/07/30	40.00
16536	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2012 A	200,000,000	2012/01/31	2012/09/04	50.00
16533	Credit Suisse AG – Hutchison European Warrants Jun 2012 A	180,000,000	2012/01/31	2012/06/28	27.00
16521	Goldman Sachs SP (Asia) – Swire Pacific A Euro Wts Dec 2012	120,000,000	2012/01/31	2012/12/03	30.24
16520	J P Morgan SP BV – A50 China European Warrants Oct 2013	300,000,000	2012/01/31	2013/10/28	45.00
16523	Macquarie Bank Ltd. – Minsheng Bank European Wts Aug 2012	100,000,000	2012/01/31	2012/08/02	42.10
16522	Macquarie Bank Ltd. – Tencent European Warrants Oct 2012	250,000,000	2012/01/31	2012/10/04	62.50
16525	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2012	200,000,000	2012/01/31	2012/09/10	50.00
16528	UBS AG – China Tel European Warrants Aug 2012	100,000,000	2012/01/31	2012/08/27	25.00
16531	UBS AG – China Unicom European Put Warrants Sep 2012	100,000,000	2012/01/31	2012/09/24	17.60
16527	UBS AG – China Life European Warrants Jun 2012 B	200,000,000	2012/01/31	2012/06/04	30.00
16530	UBS AG – China Mobile European Warrants Jul 2012 B	200,000,000	2012/01/31	2012/07/31	50.00
16526	UBS AG – Sinopec Corp European Warrants Jun 2012 B	100,000,000	2012/01/31	2012/06/25	15.00
16529	UBS AG – Tencent Holdings European Warrants Dec 2012	200,000,000	2012/01/31	2012/12/17	50.00
16532	UBS AG – Tencent Holdings European Put Warrants Jun 2012	100,000,000	2012/01/31	2012/06/04	17.00
16554	BOCI Asia Ltd. – BOCL European Warrants Nov 2012	100,000,000	2012/02/01	2012/11/05	25.00
16552	BOCI Asia Ltd. – China Life European Put Warrants Sep 2012	100,000,000	2012/02/01	2012/09/04	20.00
16553	BOCI Asia Ltd. – CNBM European Warrants Sep 2012	100,000,000	2012/02/01	2012/09/19	15.00
16560	Goldman Sachs SP (Asia) – Chi Res Land European Wts Apr 2013	120,000,000	2012/02/01	2013/04/29	30.60
16538	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2012 B	200,000,000	2012/02/01	2012/06/28	36.00
16561	Goldman Sachs SP (Asia) – Hutchison European Wts May 2012	120,000,000	2012/02/01	2012/05/31	30.96
16547	Goldman Sachs SP (Asia) – KECL European Warrants Jun 2013	120,000,000	2012/02/01	2013/06/24	30.00
16559	Goldman Sachs SP (Asia) – ZTE European Warrants Sep 2012	120,000,000	2012/02/01	2012/09/10	30.24
16556	HK Bank – BOCL European Warrants Sep 2013	100,000,000	2012/02/01	2013/09/27	25.00
16555	HK Bank – HKEx European Warrants Jun 2014	150,000,000	2012/02/01	2014/06/27	37.50

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		發行單位	上市日期	到期日	
16565	Macquarie Bank Ltd. – BYD European Warrants Aug 2012 A	200,000,000	2012/02/01	2012/08/02	61.20
16566	Macquarie Bank Ltd. – CC Bank European Put Warrants Sep 2012	120,000,000	2012/02/01	2012/09/04	38.04
16564	Macquarie Bank Ltd. – Ch Comm Cons European Wts Sep 2012	100,000,000	2012/02/01	2012/09/03	33.60
16563	Macquarie Bank Ltd. – Jiangxi Copper European Wts Sep 2012	200,000,000	2012/02/01	2012/09/04	50.00
16562	Macquarie Bank Ltd. – Ping An European Warrants Sep 2012	200,000,000	2012/02/01	2012/09/04	76.00
16558	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jul 2012 A	70,000,000	2012/02/01	2012/07/10	10.50
16557	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Sep 2012	40,000,000	2012/02/01	2012/09/19	10.00
16542	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2012	40,000,000	2012/02/01	2012/10/22	11.60
16549	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2013	70,000,000	2012/02/01	2013/11/11	10.50
16543	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Nov 12	50,000,000	2012/02/01	2012/11/26	10.25
16544	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Sep 13	40,000,000	2012/02/01	2013/09/30	10.00
16551	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Jun 2012 A	70,000,000	2012/02/01	2012/06/28	10.50
16550	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Sep 2012	70,000,000	2012/02/01	2012/09/27	10.50
16546	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2013	40,000,000	2012/02/01	2013/03/28	10.40
16545	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Aug 2012	40,000,000	2012/02/01	2012/08/30	10.00
16539	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Sep 2012	100,000,000	2012/02/01	2012/09/26	15.00
16540	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2012	100,000,000	2012/02/01	2012/10/17	25.00
16548	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Oct 2012	200,000,000	2012/02/01	2012/10/31	50.00
16541	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Sep 2012	100,000,000	2012/02/01	2012/09/03	25.00
16569	UBS AG – Agricultural Bank European Warrants Aug 2012	200,000,000	2012/02/01	2012/08/08	50.00
16571	UBS AG – BOCL European Warrants Oct 2012	100,000,000	2012/02/01	2012/10/15	25.00
16568	UBS AG – CC Bank European Warrants Jul 2012 C	200,000,000	2012/02/01	2012/07/03	38.00
16567	UBS AG – HSBC European Warrants Jul 2012	300,000,000	2012/02/01	2012/07/30	78.00
16572	UBS AG – HSI European Warrants Aug 2012 A	300,000,000	2012/02/01	2012/08/30	45.00
16573	UBS AG – HSI European Put Warrants May 2012 E	300,000,000	2012/02/01	2012/05/30	45.00
16570	UBS AG – Ping An European Warrants Aug 2012	100,000,000	2012/02/01	2012/08/08	25.00
16596	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2014	100,000,000	2012/02/02	2014/01/03	16.00
16598	Credit Suisse AG – AIA European Warrants Jun 2012 A	150,000,000	2012/02/02	2012/06/29	22.50
16597	Credit Suisse AG – China Life European Put Warrants Jul 2012	100,000,000	2012/02/02	2012/07/30	15.00
16599	Credit Suisse AG – HSI European Put Warrants Jun 2012	400,000,000	2012/02/02	2012/06/28	60.00
16600	Credit Suisse AG – HSI European Warrants Aug 2012 A	400,000,000	2012/02/02	2012/08/30	60.00
16593	Macquarie Bank Ltd. – AIA European Warrants Oct 2012	150,000,000	2012/02/02	2012/10/04	45.90
16594	Macquarie Bank Ltd. – CRCC European Warrants Aug 2012	120,000,000	2012/02/02	2012/08/02	46.68
16586	Macquarie Bank Ltd. – HSBC European Put Warrants Aug 2012	150,000,000	2012/02/02	2012/08/02	46.95
16595	Macquarie Bank Ltd. – Zijin Mining European Wts Aug 2012 A	100,000,000	2012/02/02	2012/08/02	25.00
16592	Macquarie Bank Ltd. – Zijin Mining European Put Wts Aug 2012	100,000,000	2012/02/02	2012/08/02	30.40
16577	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012	100,000,000	2012/02/02	2012/08/30	25.00
16574	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012	100,000,000	2012/02/02	2012/10/30	18.40
16575	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2012	100,000,000	2012/02/02	2012/11/29	25.00
16576	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012	100,000,000	2012/02/02	2012/12/28	18.30
16580	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2012	100,000,000	2012/02/02	2012/07/30	15.00
16582	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012	100,000,000	2012/02/02	2012/08/30	25.00
16578	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012	100,000,000	2012/02/02	2012/12/28	15.00
16581	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012 G	100,000,000	2012/02/02	2012/06/28	15.00

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		發行單位	上市日期	到期日	
16579	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 D	100,000,000	2012/02/02	2012/09/27	15.00
16585	Standard Chartered Bank – ICBC European Warrants Dec 2012 A	100,000,000	2012/02/02	2012/12/31	31.00
16583	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012	200,000,000	2012/02/02	2012/08/30	30.00
16584	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 2012	200,000,000	2012/02/02	2012/08/30	60.00
16587	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 12 B	300,000,000	2012/02/02	2012/06/28	45.00
16601	UBS AG – HSCEI European Warrants Sep 2012 A	100,000,000	2012/02/02	2012/09/27	15.00
16588	UBS AG – HSI European Warrants Sep 2012	300,000,000	2012/02/02	2012/09/27	75.00
16589	UBS AG – HSI European Put Warrants May 2012 F	300,000,000	2012/02/02	2012/05/30	54.00
16590	UBS AG – HSI European Put Warrants Jun 2012 G	300,000,000	2012/02/02	2012/06/28	45.00
16591	UBS AG – HSI European Put Warrants Jul 2012 A	300,000,000	2012/02/02	2012/07/30	45.00
16626	BOCI Asia Ltd. – HSI European Warrants Oct 2012 A	100,000,000	2012/02/03	2012/10/30	20.00
16618	BOCI Asia Ltd. – Hutchison European Warrants Sep 2012	100,000,000	2012/02/03	2012/09/03	25.00
16619	BOCI Asia Ltd. – ICBC European Warrants Sep 2012	100,000,000	2012/02/03	2012/09/03	30.00
16612	BNP Paribas Arbit Issu B.V. – BBMG European Wts Dec 2013	80,000,000	2012/02/03	2013/12/03	20.00
16629	BNP Paribas Arbit Issu B.V. – CNBM European Wts Dec 2012	100,000,000	2012/02/03	2012/12/04	25.00
16632	BNP Paribas Arbit Issu B.V. – COSCO Pacific Euro Wt Mar 2013	80,000,000	2012/02/03	2013/03/04	20.00
16631	BNP Paribas Arbit Issu B.V. – Ch Ship Dev Euro Wts Sep 2012	20,000,000	2012/02/03	2012/09/04	11.60
16628	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2012	200,000,000	2012/02/03	2012/08/30	50.00
16630	BNP Paribas Arbit Issu B.V. – Xinyi Glass Euro Wts Nov 2012	20,000,000	2012/02/03	2012/11/02	10.20
16646	Credit Suisse AG – BYD European Warrants Jun 2012	80,000,000	2012/02/03	2012/06/04	12.00
16647	Credit Suisse AG – Cheung Kong European Warrants Feb 2013	100,000,000	2012/02/03	2013/02/28	15.00
16645	Credit Suisse AG – A50 China European Warrants Oct 2013	120,000,000	2012/02/03	2013/10/31	18.00
16638	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2012	80,000,000	2012/02/03	2012/07/11	12.00
16636	Citigroup Global Mkt H Inc. – CNOOC European Wts Oct 2012	80,000,000	2012/02/03	2012/10/10	12.00
16640	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Aug 2012	50,000,000	2012/02/03	2012/08/06	15.00
16639	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jul 2013	80,000,000	2012/02/03	2013/07/29	12.00
16637	Citigroup Global Mkt H Inc. – Ch Rongsheng Euro Wt Sep 2012	50,000,000	2012/02/03	2012/09/25	12.50
16648	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2012	200,000,000	2012/02/03	2012/07/30	45.20
16625	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Aug 2012	150,000,000	2012/02/03	2012/08/20	37.50
16624	J P Morgan SP BV – China Life European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/21	30.00
16623	J P Morgan SP BV – HSI European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/30	30.00
16622	J P Morgan SP BV – ICBC European Warrants Aug 2012	150,000,000	2012/02/03	2012/08/30	37.50
16617	Macquarie Bank Ltd. – BOCHK European Warrants Aug 2013	200,000,000	2012/02/03	2013/08/02	50.00
16634	Macquarie Bank Ltd. – Greatwall Motor European Wts Jun 2012	100,000,000	2012/02/03	2012/06/26	15.00
16614	Macquarie Bank Ltd. – HKEx European Warrants Aug 2012 A	200,000,000	2012/02/03	2012/08/08	30.00
16620	Macquarie Bank Ltd. – HSI European Put Warrants Aug 2012	200,000,000	2012/02/03	2012/08/30	50.00
16621	Macquarie Bank Ltd. – HSI European Put Warrants Aug 2012 A	200,000,000	2012/02/03	2012/08/30	50.00
16613	Macquarie Bank Ltd. – Hutchison European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/03	72.60
16635	Macquarie Bank Ltd. – PetroChina European Wts Mar 2013	200,000,000	2012/02/03	2013/03/04	30.00
16615	Macquarie Bank Ltd. – SJM Holdings European Wts Feb 2013	200,000,000	2012/02/03	2013/02/04	50.20
16627	Standard Chartered Bank – BOCL European Warrants Aug 2012 B	100,000,000	2012/02/03	2012/08/03	27.00
16633	Standard Chartered Bank – HSI European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/30	50.00
16603	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Aug 12	80,000,000	2012/02/03	2012/08/06	24.00
16607	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Sep 2012	150,000,000	2012/02/03	2012/09/26	40.50

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16602	SGA Societe Generale Acceptance N.V. – Ch Overseas Eu Wt Oct 12	100,000,000	2012/02/03	2012/10/31	25.00
16604	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Aug 2012	50,000,000	2012/02/03	2012/08/06	19.50
16611	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Nov 2012	150,000,000	2012/02/03	2012/11/14	22.50
16605	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Oct 2012	50,000,000	2012/02/03	2012/10/22	12.50
16610	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2013	300,000,000	2012/02/03	2013/10/28	45.00
16608	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2012	150,000,000	2012/02/03	2012/09/26	39.00
16609	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Aug 2012	100,000,000	2012/02/03	2012/08/06	33.00
16606	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Oct 12	100,000,000	2012/02/03	2012/10/31	25.00
16643	UBS AG – AIA European Warrants Sep 2012	200,000,000	2012/02/03	2012/09/24	32.00
16644	UBS AG – A50 China European Warrants Aug 2012 A	100,000,000	2012/02/03	2012/08/13	60.00
16641	UBS AG – HKEx European Warrants Dec 2012	300,000,000	2012/02/03	2012/12/10	75.00
16642	UBS AG – HKEx European Warrants Aug 2012 B	300,000,000	2012/02/03	2012/08/08	48.00
16649	Daiwa Capital Mkt – China Mobile European Warrants Aug 2012	128,000,000	2012/02/06	2012/08/06	84.48
16650	Daiwa Capital Mkt – China Mobile European Wts Jun 2012 A	128,000,000	2012/02/06	2012/06/01	32.00
16655	Daiwa Capital Mkt – HSI European Warrants Aug 2012	200,000,000	2012/02/06	2012/08/30	50.00
16652	Macquarie Bank Ltd. – Angang Steel European Wts Jul 2013	120,000,000	2012/02/06	2013/07/23	18.00
16651	Macquarie Bank Ltd. – Geely Auto European Warrants Aug 2012	100,000,000	2012/02/06	2012/08/08	25.00
16653	Macquarie Bank Ltd. – Galaxy Ent European Warrants Aug 2012	120,000,000	2012/02/06	2012/08/06	30.00
16656	Macquarie Bank Ltd. – Tencent European Warrants Feb 2013	250,000,000	2012/02/06	2013/02/04	62.50
16654	Standard Chartered Bank – HSI European Put Wts May 2012	200,000,000	2012/02/06	2012/05/30	30.00
16659	UBS AG – China Mobile European Put Warrants Jul 2012	100,000,000	2012/02/06	2012/07/26	18.00
16658	UBS AG – CC Bank European Warrants Jun 2012 A	200,000,000	2012/02/06	2012/06/06	60.00
16657	UBS AG – CNOOC European Warrants Aug 2012	200,000,000	2012/02/06	2012/08/01	30.00
16676	BOCI Asia Ltd. – Tencent Holdings European Put Wts Dec 2012	200,000,000	2012/02/07	2012/12/31	50.00
16701	Credit Suisse AG – AIA European Put Warrants May 2012	80,000,000	2012/02/07	2012/05/31	12.00
16700	Credit Suisse AG – CC Bank European Put Warrants May 2012	80,000,000	2012/02/07	2012/05/07	12.00
16699	Credit Suisse AG – HSBC European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/13	32.00
16702	Credit Suisse AG – Jiangxi Copper European Put Wts Jul 2012	80,000,000	2012/02/07	2012/07/30	14.00
16698	Credit Suisse AG – Ping An European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/13	24.00
16708	Daiwa Capital Mkt – HSBC European Warrants Jun 2012	128,000,000	2012/02/07	2012/06/01	32.00
16707	Daiwa Capital Mkt – HSBC European Warrants Aug 2012	128,000,000	2012/02/07	2012/08/08	32.00
16706	Daiwa Capital Mkt – HSBC European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/08	34.40
16709	Daiwa Capital Mkt – ICBC European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/15	31.20
16711	Bank of East Asia – Anhui Conch European Warrants Sep 2012	70,000,000	2012/02/07	2012/09/24	17.50
16712	Bank of East Asia – China Tel European Put Warrants Aug 2012	60,000,000	2012/02/07	2012/08/07	15.60
16710	Bank of East Asia – Ch Comm Cons European Warrants Dec 2013A	230,000,000	2012/02/07	2013/12/23	39.10
16714	Bank of East Asia – Esprit European Put Warrants Dec 2012	150,000,000	2012/02/07	2012/12/24	37.50
16713	Bank of East Asia – Ping An European Put Warrants Nov 2012	60,000,000	2012/02/07	2012/11/28	15.00
16670	Goldman Sachs SP (Asia) – Anhui Conch European Wts Aug 2012	180,000,000	2012/02/07	2012/08/13	63.54
16673	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2012	120,000,000	2012/02/07	2012/09/10	53.16
16669	Goldman Sachs SP (Asia) – JIANC European Warrants Aug 2012	150,000,000	2012/02/07	2012/08/13	38.10
16672	Goldman Sachs SP (Asia) – Ping An European Warrants Jun 2012	120,000,000	2012/02/07	2012/06/25	33.72
16671	Goldman Sachs SP (Asia) – Sands China European Wts Aug 2012	100,000,000	2012/02/07	2012/08/13	25.10
16683	HK Bank – HSI European Warrants Aug 2012	200,000,000	2012/02/07	2012/08/30	40.00

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		發行單位	上市日期	到期日	
16686	HK Bank – HSI European Warrants Jun 2013	250,000,000	2012/02/07	2013/06/27	62.50
16687	HK Bank – HSI European Warrants Jun 2015	250,000,000	2012/02/07	2015/06/29	62.50
16688	HK Bank – HSI European Warrants Jul 2015	250,000,000	2012/02/07	2015/07/30	62.50
16684	HK Bank – HSI European Put Warrants Aug 2012	200,000,000	2012/02/07	2012/08/30	50.00
16685	HK Bank – HSI European Put Warrants Mar 2013	250,000,000	2012/02/07	2013/03/27	62.50
16682	HK Bank – PetroChina European Warrants Aug 2012	80,000,000	2012/02/07	2012/08/08	22.40
16681	HK Bank – Tencent European Warrants Jul 2012	120,000,000	2012/02/07	2012/07/16	18.00
16660	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Oct 2012	40,000,000	2012/02/07	2012/10/22	10.20
16663	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Oct 2012	40,000,000	2012/02/07	2012/10/22	10.00
16668	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Aug 2012	40,000,000	2012/02/07	2012/08/27	11.60
16664	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2012	60,000,000	2012/02/07	2012/07/26	10.26
16665	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Aug 2012	40,000,000	2012/02/07	2012/08/13	17.20
16666	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Aug 2012	40,000,000	2012/02/07	2012/08/13	12.80
16667	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Sep 2012	40,000,000	2012/02/07	2012/09/17	10.00
16662	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wts Oct 2012	40,000,000	2012/02/07	2012/10/22	10.00
16689	CC Rabobank B.A. – China Mer Hold European Warrants Aug 2012	100,000,000	2012/02/07	2012/08/20	37.00
16690	CC Rabobank B.A. – China Tel European Warrants Sep 2012	100,000,000	2012/02/07	2012/09/17	30.00
16691	CC Rabobank B.A. – Minsheng Bank European Wts Aug 2012	100,000,000	2012/02/07	2012/08/20	50.00
16693	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2012 A	100,000,000	2012/02/07	2012/08/20	40.00
16696	CC Rabobank B.A. – Evergrande European Warrants Sep 2012	100,000,000	2012/02/07	2012/09/17	60.00
16694	CC Rabobank B.A. – Li & Fung European Warrants Aug 2012 A	100,000,000	2012/02/07	2012/08/20	25.00
16695	CC Rabobank B.A. – Lenovo European Warrants Aug 2012	100,000,000	2012/02/07	2012/08/20	50.00
16697	CC Rabobank B.A. – Sands China European Warrants Nov 2012	100,000,000	2012/02/07	2012/11/19	50.00
16692	CC Rabobank B.A. – Weichai Power European Wts Aug 2012	100,000,000	2012/02/07	2012/08/20	45.00
16679	Standard Chartered Bank – Cheung Kong European Wts Sep 2012	100,000,000	2012/02/07	2012/09/03	32.50
16680	Standard Chartered Bank – Ping An European Wts Aug 2012 A	100,000,000	2012/02/07	2012/08/30	25.00
16674	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2012	150,000,000	2012/02/07	2012/07/18	67.50
16675	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 2012	300,000,000	2012/02/07	2012/07/16	45.00
16678	UBS AG – BYD European Warrants Jun 2012	100,000,000	2012/02/07	2012/06/18	20.00
16705	UBS AG – CC Bank European Put Warrants Jul 2012	100,000,000	2012/02/07	2012/07/09	18.00
16704	UBS AG – China Life European Put Warrants Jul 2012	100,000,000	2012/02/07	2012/07/23	15.00
16677	UBS AG – Sinopec Corp European Put Warrants Jun 2012	100,000,000	2012/02/07	2012/06/26	15.00
16703	UBS AG – Tencent Holdings European Put Warrants Jun 2012 C	100,000,000	2012/02/07	2012/06/11	15.00
16723	BOCI Asia Ltd. – CC Bank European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/03	25.00
16721	BOCI Asia Ltd. – HSI European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/27	25.00
16743	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jan 2013	100,000,000	2012/02/08	2013/01/03	25.00
16741	Credit Suisse AG – China Tel European Warrants May 2012	80,000,000	2012/02/08	2012/05/08	12.00
16740	Credit Suisse AG – Tencent Holdings Euro Put Wts Aug 2012	150,000,000	2012/02/08	2012/08/30	37.50
16744	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Aug 2012	80,000,000	2012/02/08	2012/08/08	20.00
16745	Citigroup Global Mkt H Inc. – Bank of EA Euro Wts Oct 2012	50,000,000	2012/02/08	2012/10/10	12.50
16750	Citigroup Global Mkt H Inc. – BOCL European Wts Aug 2012	80,000,000	2012/02/08	2012/08/08	20.00
16753	Citigroup Global Mkt H Inc. – BankComm Euro Wts Aug 2012	50,000,000	2012/02/08	2012/08/08	15.00
16752	Citigroup Global Mkt H Inc. – CC Bank European Wt Aug 2012	80,000,000	2012/02/08	2012/08/08	20.00
16751	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Aug 2012	50,000,000	2012/02/08	2012/08/08	12.50

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16747	Citigroup Global Mkt H Inc. – COSCO Pacific Euro Wt Aug 2012	80,000,000	2012/02/08	2012/08/08	12.00
16748	Citigroup Global Mkt H Inc. – HSBC European Wts Jun 2012	80,000,000	2012/02/08	2012/06/26	16.00
16749	Citigroup Global Mkt H Inc. – Huaneng Power Euro Wt Aug 2012	50,000,000	2012/02/08	2012/08/08	12.50
16746	Citigroup Global Mkt H Inc. – Want Want Ch Euro Wts Nov 2013	80,000,000	2012/02/08	2013/11/11	12.00
16742	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Oct 2012	108,000,000	2012/02/08	2012/10/15	27.00
16718	Goldman Sachs SP (Asia) – BOCL European Warrants Sep 2012	120,000,000	2012/02/08	2012/09/18	30.12
16715	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Aug 2012	100,000,000	2012/02/08	2012/08/27	32.50
16716	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2012	120,000,000	2012/02/08	2012/12/03	30.00
16717	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2012	120,000,000	2012/02/08	2012/09/24	30.36
16725	HK Bank – AIA European Warrants Sep 2012 A	120,000,000	2012/02/08	2012/09/21	18.00
16724	HK Bank – Tencent European Warrants Jan 2013	120,000,000	2012/02/08	2013/01/30	30.00
16719	J P Morgan SP BV – Tencent European Warrants Oct 2012	200,000,000	2012/02/08	2012/10/03	50.00
16720	J P Morgan SP BV – Yanzhou Coal European Warrants Oct 2012	200,000,000	2012/02/08	2012/10/30	50.00
16735	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Sep 2012	120,000,000	2012/02/08	2012/09/04	30.00
16737	Macquarie Bank Ltd. – CITIC Bank European Warrants Sep 2012	120,000,000	2012/02/08	2012/09/04	30.00
16736	Macquarie Bank Ltd. – Swire Pacific A European Wts Sep 2012	180,000,000	2012/02/08	2012/09/04	51.12
16731	CC Rabobank B.A. – ChiUnicom European Put Warrants Sep 2012	150,000,000	2012/02/08	2012/09/20	27.00
16734	CC Rabobank B.A. – CSR Times European Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	30.00
16733	CC Rabobank B.A. – CSR European Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	50.00
16728	CC Rabobank B.A. – Galaxy Ent European Warrants Jul 2012	100,000,000	2012/02/08	2012/07/26	25.00
16727	CC Rabobank B.A. – HSBC European Warrants Jun 2012	150,000,000	2012/02/08	2012/06/27	30.00
16726	CC Rabobank B.A. – HSBC European Put Warrants Sep 2012	150,000,000	2012/02/08	2012/09/17	120.00
16732	CC Rabobank B.A. – Huaneng Power European Warrants Oct 2012	100,000,000	2012/02/08	2012/10/22	35.00
16730	CC Rabobank B.A. – Hutchison European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	45.00
16729	CC Rabobank B.A. – Lenovo European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	60.00
16738	CC Rabobank B.A. – Tencent Holdings European Put Wt Sep 2012	200,000,000	2012/02/08	2012/09/17	50.00
16739	Standard Chartered Bank – Tencent Hldg Euro Put Wts Aug 2012	100,000,000	2012/02/08	2012/08/30	25.00
16754	UBS AG – China Mobile European Put Warrants Jul 2012 C	100,000,000	2012/02/08	2012/07/30	15.50
16755	UBS AG – Sinopec Corp European Put Warrants Aug 2012	100,000,000	2012/02/08	2012/08/08	54.00
16757	UBS AG – Hutchison European Put Warrants Aug 2012	100,000,000	2012/02/08	2012/08/08	60.00
16756	UBS AG – PetroChina European Put Warrants Oct 2012	100,000,000	2012/02/08	2012/10/15	15.00
16794	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2012 A	200,000,000	2012/02/09	2012/08/30	32.00
16784	Credit Suisse AG – HSI European Warrants Sep 2012	400,000,000	2012/02/09	2012/09/27	100.00
16783	Credit Suisse AG – HSI European Warrants Oct 2012	400,000,000	2012/02/09	2012/10/30	100.00
16791	Credit Suisse AG – HSI European Put Warrants Jul 2012	400,000,000	2012/02/09	2012/07/30	64.00
16785	Credit Suisse AG – HSI European Warrants Aug 2012 B	400,000,000	2012/02/09	2012/08/30	100.00
16792	Credit Suisse AG – HSI European Put Warrants Jun 2012 A	400,000,000	2012/02/09	2012/06/28	60.00
16781	Goldman Sachs SP (Asia) – BOC HK European Warrants Dec 2012	100,000,000	2012/02/09	2012/12/24	25.00
16768	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Aug 2012	100,000,000	2012/02/09	2012/08/27	41.00
16769	Goldman Sachs SP (Asia) – China Life Euro Put Wts Aug 2012	120,000,000	2012/02/09	2012/08/13	30.24
16780	Goldman Sachs SP (Asia) – Mongol Mining European Wt Sep 2013	100,000,000	2012/02/09	2013/09/16	25.00
16774	HK Bank – China Mobile European Warrants Jun 2015	150,000,000	2012/02/09	2015/06/22	22.50
16775	Macquarie Bank Ltd. – Bank of EA European Warrants Nov 2012	200,000,000	2012/02/09	2012/11/02	50.00
16777	Macquarie Bank Ltd. – CC Bank European Warrants Sep 2012	150,000,000	2012/02/09	2012/09/04	37.50

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16776	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2013	200,000,000	2012/02/09	2013/03/04	50.00
16758	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Aug 2012	40,000,000	2012/02/09	2012/08/13	10.20
16764	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Sep 2012	40,000,000	2012/02/09	2012/09/28	10.00
16765	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 2013	70,000,000	2012/02/09	2013/12/16	10.57
16761	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Jun 2012	60,000,000	2012/02/09	2012/06/25	11.10
16759	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2012 A	70,000,000	2012/02/09	2012/07/18	10.57
16763	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jul 2012	50,000,000	2012/02/09	2012/07/09	10.05
16762	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Dec 2012	50,000,000	2012/02/09	2012/12/10	13.00
16760	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2012 A	60,000,000	2012/02/09	2012/07/11	14.10
16766	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt Jun 2012	70,000,000	2012/02/09	2012/06/25	10.92
16773	CC Rabobank B.A. – CITIC Sec European Warrants Aug 2012	100,000,000	2012/02/09	2012/08/20	25.00
16772	CC Rabobank B.A. – Chinares Cement European Wts Aug 2012	100,000,000	2012/02/09	2012/08/22	60.00
16770	CC Rabobank B.A. – Lonking European Warrants Oct 2012	100,000,000	2012/02/09	2012/10/22	40.00
16771	CC Rabobank B.A. – Poly HK Inv European Warrants Oct 2012	100,000,000	2012/02/09	2012/10/22	55.00
16778	CC Rabobank B.A. – Sunart Retail European Warrants Nov 2012	100,000,000	2012/02/09	2012/11/19	25.00
16787	Standard Chartered Bank – HSI European Put Wts Jun 2012 C	200,000,000	2012/02/09	2012/06/28	30.00
16786	Standard Chartered Bank – Sands China European Wts Sep 2012	100,000,000	2012/02/09	2012/09/28	25.00
16779	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 2012	200,000,000	2012/02/09	2012/07/04	30.00
16767	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012 C	200,000,000	2012/02/09	2012/05/30	38.00
16793	UBS AG – Cheung Kong European Warrants Dec 2013 A	100,000,000	2012/02/09	2013/12/23	15.00
16790	UBS AG – HSI European Put Warrants Aug 2012	300,000,000	2012/02/09	2012/08/30	75.00
16788	UBS AG – HSI European Put Warrants Dec 2012	300,000,000	2012/02/09	2012/12/28	45.00
16789	UBS AG – HSI European Put Warrants Jul 2012 B	300,000,000	2012/02/09	2012/07/30	45.00
16806	Credit Suisse AG – CNOOC European Warrants Aug 2012 A	100,000,000	2012/02/10	2012/08/01	15.00
16805	Credit Suisse AG – CNOOC European Warrants Nov 2012	100,000,000	2012/02/10	2012/11/27	16.00
16808	Credit Suisse AG – Ping An European Warrants May 2012	120,000,000	2012/02/10	2012/05/28	26.40
16812	Citigroup Global Mkt H Inc. – Ch Ship Cont Euro Wts Aug 2012	50,000,000	2012/02/10	2012/08/13	12.50
16813	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2012	80,000,000	2012/02/10	2012/06/20	16.00
16811	Citigroup Global Mkt H Inc. – ICBC European Wts Aug 2012	80,000,000	2012/02/10	2012/08/13	24.00
16814	Citigroup Global Mkt H Inc. – Sunart Retail Euro Wt Jan 2013	50,000,000	2012/02/10	2013/01/09	12.50
16810	Citigroup Global Mkt H Inc. – Xinyi Glass Euro Wts Sep 2012	50,000,000	2012/02/10	2012/09/17	15.00
16809	Daiwa Capital Mkt – China Life European Put Wts Sep 2012	80,000,000	2012/02/10	2012/09/03	12.00
16821	Bank of East Asia – China COSCO European Warrants Aug 2012	50,000,000	2012/02/10	2012/08/23	12.50
16822	Bank of East Asia – CRCC European Warrants Mar 2014	380,000,000	2012/02/10	2014/03/10	95.00
16819	Bank of East Asia – Esprit European Warrants Mar 2013	100,000,000	2012/02/10	2013/03/25	25.00
16820	Bank of East Asia – JIANG European Warrants Jul 2012	70,000,000	2012/02/10	2012/07/03	11.20
16797	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2012	120,000,000	2012/02/10	2012/08/21	18.24
16799	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2012	200,000,000	2012/02/10	2012/08/30	51.60
16798	Goldman Sachs SP (Asia) – HSI European Put Wts May 2012 B	200,000,000	2012/02/10	2012/05/30	30.00
16802	J P Morgan SP BV – HSI European Put Warrants Aug 2012	300,000,000	2012/02/10	2012/08/30	75.00
16803	Macquarie Bank Ltd. – A50 China European Put Wts Feb 2013	200,000,000	2012/02/10	2013/02/04	50.00
16801	Macquarie Bank Ltd. – Hutchison European Put Wts Aug 2012	200,000,000	2012/02/10	2012/08/10	79.80
16800	Macquarie Bank Ltd. – Hutchison European Put Wts Sep 2013	250,000,000	2012/02/10	2013/09/03	51.50
16804	Standard Chartered Bank – China Life European Wts Sep 2012 A	100,000,000	2012/02/10	2012/09/03	15.00

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16807	Standard Chartered Bank – Hutchison Euro Euro Wts Aug 2012	100,000,000	2012/02/10	2012/08/31	28.00
16795	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2012A	300,000,000	2012/02/10	2012/07/16	48.00
16796	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 2013	300,000,000	2012/02/10	2013/02/25	75.00
16817	UBS AG – HSI European Warrants Dec 2012 B	300,000,000	2012/02/10	2012/12/28	75.00
16818	UBS AG – Hutchison European Warrants Jun 2012 D	200,000,000	2012/02/10	2012/06/29	46.00
16815	UBS AG – Hutchison European Put Warrants Aug 2012 A	100,000,000	2012/02/10	2012/08/13	48.00
16816	UBS AG – Ping An European Put Warrants Aug 2012	100,000,000	2012/02/10	2012/08/13	41.00
16846	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jan 2013	100,000,000	2012/02/13	2013/01/03	25.00
16847	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2012	200,000,000	2012/02/13	2012/12/04	92.00
16848	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2012 B	92,000,000	2012/02/13	2012/07/03	23.00
16855	Credit Suisse AG – China Life European Warrants May 2012 B	100,000,000	2012/02/13	2012/05/31	15.00
16852	Credit Suisse AG – HSBC European Wts May 2012 A	180,000,000	2012/02/13	2012/05/31	27.00
16853	Credit Suisse AG – HSBC European Wts May 2012 B	180,000,000	2012/02/13	2012/05/31	27.00
16849	Credit Suisse AG – HSI European Warrants Aug 2012 C	400,000,000	2012/02/13	2012/08/30	60.00
16850	Credit Suisse AG – HSI European Put Warrants May 2012 H	400,000,000	2012/02/13	2012/05/30	60.00
16851	Credit Suisse AG – HSI European Put Warrants Jul 2012 A	400,000,000	2012/02/13	2012/07/30	60.00
16854	Credit Suisse AG – Hutchison European Warrants Jun 2012 B	180,000,000	2012/02/13	2012/06/04	27.00
16840	Citigroup Global Mkt H Inc. – HSCEI European Wts Jun 2012	100,000,000	2012/02/13	2012/06/28	15.00
16842	Citigroup Global Mkt H Inc. – HSI European Wts May 2012	100,000,000	2012/02/13	2012/05/30	16.00
16841	Citigroup Global Mkt H Inc. – HSI European Put Wt Jul 2012	100,000,000	2012/02/13	2012/07/30	15.00
16827	Goldman Sachs SP (Asia) – Ch COSCO European Wts Sep 2012	100,000,000	2012/02/13	2012/09/10	37.70
16823	Goldman Sachs SP (Asia) – CLP European Warrants Oct 2012	120,000,000	2012/02/13	2012/10/15	30.48
16826	Goldman Sachs SP (Asia) – CNOOC European Warrants Aug 2012	120,000,000	2012/02/13	2012/08/06	18.60
16825	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2012	120,000,000	2012/02/13	2012/08/27	30.60
16824	Goldman Sachs SP (Asia) – PWR Assets European Wts Oct 2012	120,000,000	2012/02/13	2012/10/22	31.68
16838	Macquarie Bank Ltd. – AIA European Warrants Nov 2012	200,000,000	2012/02/13	2012/11/02	50.00
16843	Macquarie Bank Ltd. – BOCL European Warrants Oct 2012	120,000,000	2012/02/13	2012/10/04	30.00
16836	Macquarie Bank Ltd. – China Unicom European Put Wts Oct 2012	200,000,000	2012/02/13	2012/10/04	33.00
16835	Macquarie Bank Ltd. – Minsheng Bank European Wts Sep 2012	100,000,000	2012/02/13	2012/09/04	25.00
16844	Macquarie Bank Ltd. – Esprit European Warrants Jan 2013	180,000,000	2012/02/13	2013/01/03	45.00
16837	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Oct 2012	180,000,000	2012/02/13	2012/10/04	54.72
16839	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012 A	100,000,000	2012/02/13	2012/12/28	15.00
16831	CC Rabobank B.A. – CNOOC European Warrants Aug 2012 A	150,000,000	2012/02/13	2012/08/01	22.50
16834	CC Rabobank B.A. – GCL-Poly Energy European Wts Aug 2012	100,000,000	2012/02/13	2012/08/27	35.00
16830	CC Rabobank B.A. – Hutchison European Warrants Aug 2012	150,000,000	2012/02/13	2012/08/27	45.00
16833	CC Rabobank B.A. – Xinyi Glass European Warrants Nov 2012	100,000,000	2012/02/13	2012/11/19	60.00
16832	CC Rabobank B.A. – Zijin Mining European Warrants Jul 2012	100,000,000	2012/02/13	2012/07/26	50.00
16829	Standard Chartered Bank – ICBC European Put Wts Sep 2012	100,000,000	2012/02/13	2012/09/28	25.00
16828	Standard Chartered Bank – ICBC European Warrants Aug 2012 A	100,000,000	2012/02/13	2012/08/30	25.00
16845	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 12 C	300,000,000	2012/02/13	2012/05/30	45.00
16857	UBS AG – CITIC Sec European Warrants Aug 2012	100,000,000	2012/02/13	2012/08/13	25.00
16858	UBS AG – China Tel European Warrants Aug 2012 A	100,000,000	2012/02/13	2012/08/13	45.00
16859	UBS AG – HSBC European Put Warrants Aug 2012	100,000,000	2012/02/13	2012/08/06	31.00
16856	UBS AG – Sunart Retail European Warrants Dec 2012	100,000,000	2012/02/13	2012/12/17	25.00

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		發行單位	上市日期	到期日	
16864	Goldman Sachs SP (Asia) – BYD European Warrants Dec 2012	120,000,000	2012/02/14	2012/12/10	31.92
16863	Goldman Sachs SP (Asia) – China Unicom European Wts Dec 2012	120,000,000	2012/02/14	2012/12/19	19.80
16862	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2012 B	160,000,000	2012/02/14	2012/07/30	26.72
16874	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2012	200,000,000	2012/02/14	2012/10/30	50.00
16875	J P Morgan SP BV – China Mob European Wts Aug 2012	200,000,000	2012/02/14	2012/08/06	30.00
16872	Macquarie Bank Ltd. – CITIC Bank European Warrants Oct 2012	100,000,000	2012/02/14	2012/10/04	25.00
16871	Macquarie Bank Ltd. – HSCEI European Warrants Feb 2013	200,000,000	2012/02/14	2013/02/27	50.00
16870	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2012	200,000,000	2012/02/14	2012/12/28	50.00
16873	Macquarie Bank Ltd. – Huaneng Power European Wts Sep 2012	110,000,000	2012/02/14	2012/09/04	27.50
16861	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Aug 2012	70,000,000	2012/02/14	2012/08/01	10.57
16860	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2012 A	50,000,000	2012/02/14	2012/06/25	10.50
16867	CC Rabobank B.A. – AIA European Put Warrants Dec 2012	100,000,000	2012/02/14	2012/12/17	25.00
16865	CC Rabobank B.A. – BOCL European Warrants Sep 2012 A	100,000,000	2012/02/14	2012/09/10	25.00
16866	CC Rabobank B.A. – Ch Mobile European Put Wts Jul 2012	100,000,000	2012/02/14	2012/07/26	15.00
16869	CC Rabobank B.A. – Hengdeli European Warrants Aug 2012	100,000,000	2012/02/14	2012/08/27	45.00
16868	CC Rabobank B.A. – Nine Dragons European Warrants Jul 2013	100,000,000	2012/02/14	2013/07/26	18.00
16876	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2012 A	100,000,000	2012/02/14	2012/07/26	38.00
16877	UBS AG – China Unicom European Warrants Sep 2012 B	200,000,000	2012/02/14	2012/09/10	50.00
16879	UBS AG – HSBC European Warrants May 2012 B	200,000,000	2012/02/14	2012/05/28	32.00
16880	UBS AG – HSI European Warrants May 2012 G	300,000,000	2012/02/14	2012/05/30	60.00
16881	UBS AG – HSI European Warrants Aug 2012 B	300,000,000	2012/02/14	2012/08/30	48.00
16884	BOCI Asia Ltd. – China Unicom European Warrants Oct 2012	100,000,000	2012/02/15	2012/10/03	15.00
16883	BOCI Asia Ltd. – CC Bank European Warrants Jul 2012	100,000,000	2012/02/15	2012/07/03	24.10
16888	BOCI Asia Ltd. – PetroChina European Put Warrants Dec 2012	100,000,000	2012/02/15	2012/12/05	15.00
16882	BOCI Asia Ltd. – Ping An European Warrants Jun 2012	100,000,000	2012/02/15	2012/06/11	36.00
16896	Credit Suisse AG – Sinopec Corp European Put Wts Aug 2012	80,000,000	2012/02/15	2012/08/27	36.00
16895	Credit Suisse AG – HKEx European Warrants Aug 2012 A	180,000,000	2012/02/15	2012/08/01	27.00
16894	Credit Suisse AG – HSI European Put Warrants Aug 2012	400,000,000	2012/02/15	2012/08/30	64.00
16911	Daiwa Capital Mkt – BOC HK European Warrants Jan 2013	108,000,000	2012/02/15	2013/01/24	27.00
16909	Daiwa Capital Mkt – CCB European Warrants Jun 2012 B	128,000,000	2012/02/15	2012/06/01	19.20
16912	Daiwa Capital Mkt – China Life European Warrants Aug 2012	188,000,000	2012/02/15	2012/08/21	28.20
16907	Daiwa Capital Mkt – Sinopec Corp European Warrants Jun 2012	98,000,000	2012/02/15	2012/06/20	18.62
16890	Daiwa Capital Mkt – Sinopec Corp European Put Wts Aug 2012	80,000,000	2012/02/15	2012/08/15	29.60
16908	Daiwa Capital Mkt – HKEx European Warrants Dec 2012	158,000,000	2012/02/15	2012/12/24	23.70
16901	Daiwa Capital Mkt – HKEx European Warrants Aug 2012 A	158,000,000	2012/02/15	2012/08/01	23.70
16904	Daiwa Capital Mkt – HSCEI European Warrants Aug 2012	100,000,000	2012/02/15	2012/08/30	25.00
16903	Daiwa Capital Mkt – HSCEI European Put Warrants Aug 2012	100,000,000	2012/02/15	2012/08/30	25.00
16902	Daiwa Capital Mkt – HSI European Put Warrants Aug 2012	200,000,000	2012/02/15	2012/08/30	50.00
16905	Daiwa Capital Mkt – Hutchison European Warrants May 2012 A	128,000,000	2012/02/15	2012/05/22	26.88
16910	Daiwa Capital Mkt – ICBC European Warrants Sep 2012	128,000,000	2012/02/15	2012/09/03	19.20
16906	Daiwa Capital Mkt – Tencent Holdings European Wts Sep 2012	128,000,000	2012/02/15	2012/09/24	24.32
16886	Macquarie Bank Ltd. – CITIC Sec European Warrants Aug 2012	160,000,000	2012/02/15	2012/08/15	40.00
16887	Macquarie Bank Ltd. – CNOOC European Warrants Aug 2012	200,000,000	2012/02/15	2012/08/02	30.00
16891	Macquarie Bank Ltd. – China Railway European Wts Sep 2012	100,000,000	2012/02/15	2012/09/04	25.00

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		發行單位	上市日期	到期日	
16892	Macquarie Bank Ltd. – HKEx European Put Warrants Feb 2013	250,000,000	2012/02/15	2013/02/04	62.50
16885	Macquarie Bank Ltd. – Sunart Retail European Wts Dec 2012	160,000,000	2012/02/15	2012/12/17	40.00
16893	Standard Chartered Bank – HSBC European Warrants May 2012 B	100,000,000	2012/02/15	2012/05/28	15.00
16889	Standard Chartered Bank – Hutchison European Put Wt Oct 2012	100,000,000	2012/02/15	2012/10/05	30.00
16898	UBS AG – China Mobile European Warrants Jun 2012 D	200,000,000	2012/02/15	2012/06/25	30.00
16900	UBS AG – HKEx European Warrants Dec 2012 A	300,000,000	2012/02/15	2012/12/24	45.00
16897	UBS AG – HSI European Put Warrants Sep 2012	300,000,000	2012/02/15	2012/09/27	75.00
16899	UBS AG – Tencent Holdings European Warrants Jan 2013	200,000,000	2012/02/15	2013/01/23	35.40
16918	BOCI Asia Ltd. – Tracker Fund European Put Warrants Dec 2012	100,000,000	2012/02/16	2012/12/10	25.00
16933	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2012 A	100,000,000	2012/02/16	2012/12/03	15.00
16931	Credit Suisse AG – Cheung Kong European Warrants Aug 2012	80,000,000	2012/02/16	2012/08/27	24.00
16930	Credit Suisse AG – PetroChina European Warrants Jun 2012	120,000,000	2012/02/16	2012/06/08	45.60
16932	Credit Suisse AG – SHK Ppt European Warrants Aug 2012	80,000,000	2012/02/16	2012/08/27	32.00
16934	Daiwa Capital Mkt – HSI European Put Warrants Aug 2012 A	200,000,000	2012/02/16	2012/08/30	50.00
16914	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2012 B	200,000,000	2012/02/16	2012/08/30	61.20
16913	Goldman Sachs SP (Asia) – PetroChina European Wts Feb 2013	120,000,000	2012/02/16	2013/02/25	18.84
16917	HK Bank – BOC HK European Warrants Jul 2013	100,000,000	2012/02/16	2013/07/26	17.00
16916	HK Bank – China Mobile European Warrants Aug 2012	150,000,000	2012/02/16	2012/08/16	63.00
16915	HK Bank – China Mobile European Put Warrants Oct 2012	150,000,000	2012/02/16	2012/10/18	48.00
16928	J P Morgan SP BV – China Life European Warrants Aug 2012 A	200,000,000	2012/02/16	2012/08/30	50.00
16920	Macquarie Bank Ltd. – AIA European Warrants Oct 2012 A	200,000,000	2012/02/16	2012/10/04	30.80
16921	Macquarie Bank Ltd. – China Coal European Warrants Nov 2012	200,000,000	2012/02/16	2012/11/28	30.00
16919	Macquarie Bank Ltd. – China Shenhua European Wts Aug 2012	200,000,000	2012/02/16	2012/08/02	30.00
16929	Macquarie Bank Ltd. – Jiangxi Copper European Wts Sep 2012 A	200,000,000	2012/02/16	2012/09/04	50.80
16926	CC Rabobank B.A. – CHALCO European Warrants Aug 2012	100,000,000	2012/02/16	2012/08/27	50.00
16924	CC Rabobank B.A. – China COSCO European Warrants Aug 2012	100,000,000	2012/02/16	2012/08/27	40.00
16925	CC Rabobank B.A. – Jiangxi Copper European Warrants Sep 2012	100,000,000	2012/02/16	2012/09/17	25.00
16927	CC Rabobank B.A. – PetroChina European Warrants Dec 2012	150,000,000	2012/02/16	2012/12/19	22.50
16922	Standard Chartered Bank – HSI European Warrants Sep 2012	200,000,000	2012/02/16	2012/09/27	50.00
16923	Standard Chartered Bank – HSI European Put Wts Aug 2012	200,000,000	2012/02/16	2012/08/30	42.00
16937	UBS AG – BYD European Warrants Jul 2012 B	100,000,000	2012/02/16	2012/07/03	33.00
16938	UBS AG – China Mobile European Warrants May 2012	200,000,000	2012/02/16	2012/05/28	38.00
16939	UBS AG – CNOOC European Warrants Jan 2013	200,000,000	2012/02/16	2013/01/28	50.00
16936	UBS AG – A50 China European Warrants May 2012	100,000,000	2012/02/16	2012/05/17	21.00
16935	UBS AG – HSI European Put Warrants Aug 2012 C	300,000,000	2012/02/16	2012/08/30	54.00
28710#	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Aug 2013	200,000,000	2012/02/16	2013/08/05	11.00
16940	Goldman Sachs SP (Asia) – Li Ning European Warrants Mar 2013	120,000,000	2012/02/17	2013/03/25	30.00
16944	J P Morgan SP BV – BOCL European Warrants Sep 2012	200,000,000	2012/02/17	2012/09/17	50.00
16943	J P Morgan SP BV – China Mob European Wts Jul 2012 A	200,000,000	2012/02/17	2012/07/27	31.80
16946	Macquarie Bank Ltd. – China Life European Wts Jun 2012 A	200,000,000	2012/02/17	2012/06/04	30.00
16945	Macquarie Bank Ltd. – China Life European Put Wts Aug 2012	200,000,000	2012/02/17	2012/08/06	38.80
16947	Macquarie Bank Ltd. – Tencent European Warrants Feb 2013 A	250,000,000	2012/02/17	2013/02/04	46.75
16941	Standard Chartered Bank – HKEx European Warrants Aug 2012 A	100,000,000	2012/02/17	2012/08/01	15.00
16942	Standard Chartered Bank – HKEx European Warrants Dec 2012 B	100,000,000	2012/02/17	2012/12/24	18.00

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16948	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 12A	200,000,000	2012/02/17	2012/06/11	30.00
16950	UBS AG – Cheung Kong European Warrants Aug 2012	100,000,000	2012/02/17	2012/08/20	25.00
16951	UBS AG – CNOOC European Put Warrants Oct 2012	100,000,000	2012/02/17	2012/10/15	17.00
16952	UBS AG – Evergrande European Warrants Aug 2012	100,000,000	2012/02/17	2012/08/20	50.00
16949	UBS AG – SHK Ppt European Warrants Aug 2012	100,000,000	2012/02/17	2012/08/20	40.00
16955	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Oct 2012	200,000,000	2012/02/20	2012/10/30	50.00
16954	Standard Chartered Bank – CNBM European Warrants Nov 2012	100,000,000	2012/02/20	2012/11/09	15.30
16953	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2012A	200,000,000	2012/02/20	2012/05/30	30.00
16956	UBS AG – HKEx European Warrants Nov 2012 B	300,000,000	2012/02/20	2012/11/08	45.00
16957	UBS AG – Hutchison European Warrants Jul 2012 B	200,000,000	2012/02/20	2012/07/09	73.00
16962	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2012 A	120,000,000	2012/02/21	2012/09/21	18.72
16961	Goldman Sachs SP (Asia) – China Res Gas European Wt Dec 2012	120,000,000	2012/02/21	2012/12/24	30.00
16963	Goldman Sachs SP (Asia) – Foxconn European Warrants Aug 2012	120,000,000	2012/02/21	2012/08/27	66.12
16960	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Jul 2012	120,000,000	2012/02/21	2012/07/03	18.12
16964	HK Bank – HSI European Warrants Aug 2012 A	200,000,000	2012/02/21	2012/08/30	30.00
16965	J P Morgan SP BV – AIA European Warrants Sep 2012	200,000,000	2012/02/21	2012/09/21	30.00
16958	Macquarie Bank Ltd. – Esprit European Warrants Jan 2013 A	200,000,000	2012/02/21	2013/01/03	50.00
16959	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2012	300,000,000	2012/02/21	2012/08/01	45.00
17020	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2012	200,000,000	2012/02/22	2012/09/27	50.00
17019	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2012	200,000,000	2012/02/22	2012/09/27	52.00
17008	Credit Suisse AG – China Mobile European Put Wts Jul 2012	150,000,000	2012/02/22	2012/07/30	22.50
17002	Credit Suisse AG – CC Bank European Warrants Aug 2012	120,000,000	2012/02/22	2012/08/27	30.00
17007	Credit Suisse AG – China Mobile European Warrants Jun 2012 A	150,000,000	2012/02/22	2012/06/29	22.50
17009	Credit Suisse AG – CNOOC European Put Warrants Oct 2012	120,000,000	2012/02/22	2012/10/29	18.00
17004	Credit Suisse AG – HKEx European Warrants Dec 2012	180,000,000	2012/02/22	2012/12/24	27.00
17003	Credit Suisse AG – HKEx European Warrants Aug 2012 B	180,000,000	2012/02/22	2012/08/27	45.00
17012	Credit Suisse AG – HSI European Warrants Dec 2012	400,000,000	2012/02/22	2012/12/28	60.00
17010	Credit Suisse AG – HSI European Put Warrants Aug 2012 D	400,000,000	2012/02/22	2012/08/30	72.00
17011	Credit Suisse AG – HSI European Put Warrants Aug 2012 E	400,000,000	2012/02/22	2012/08/30	60.00
17006	Credit Suisse AG – ICBC European Warrants Jul 2012 B	120,000,000	2012/02/22	2012/07/30	18.00
17001	Credit Suisse AG – SHK Ppt European Warrants Aug 2012 A	80,000,000	2012/02/22	2012/08/27	20.00
17005	Credit Suisse AG – Tencent Holdings European Wts Nov 2012	180,000,000	2012/02/22	2012/11/30	36.00
17026	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Oct 2012	100,000,000	2012/02/22	2012/10/10	18.00
17027	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Oct 2012	100,000,000	2012/02/22	2012/10/15	20.00
17028	Citigroup Global Mkt H Inc. – Chi Res Land Euro Wt Aug 2012	100,000,000	2012/02/22	2012/08/27	25.00
17023	Citigroup Global Mkt H Inc. – New World European Wt Apr 2013	100,000,000	2012/02/22	2013/04/04	15.00
17024	Citigroup Global Mkt H Inc. – Tencent Euro Put Wts Aug 2012	100,000,000	2012/02/22	2012/08/23	15.00
17015	Daiwa Capital Mkt – BOCL European Warrants Sep 2012	108,000,000	2012/02/22	2012/09/25	18.36
17014	Daiwa Capital Mkt – BOCL European Put Warrants Sep 2012	80,000,000	2012/02/22	2012/09/03	29.60
17013	Daiwa Capital Mkt – China Mobile European Wts Sep 2012	128,000,000	2012/02/22	2012/09/25	21.76
17016	Daiwa Capital Mkt – HSI European Warrants Oct 2012	200,000,000	2012/02/22	2012/10/30	40.00
17017	Daiwa Capital Mkt – HSI European Warrants Aug 2012 B	200,000,000	2012/02/22	2012/08/30	40.00
16966	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Aug 2012	120,000,000	2012/02/22	2012/08/27	30.36
16998	J P Morgan SP BV – China Mob European Put Warrants Aug 2012	100,000,000	2012/02/22	2012/08/22	25.00

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17018	J P Morgan SP BV – HSI European Warrants Dec 2012	200,000,000	2012/02/22	2012/12/28	30.00
16997	J P Morgan SP BV – ICBC European Put Wts Aug 2012	100,000,000	2012/02/22	2012/08/30	26.70
17000	Macquarie Bank Ltd. – China Mobile European Wts Aug 2012 A	150,000,000	2012/02/22	2012/08/02	31.20
16986	Nomura Int'l plc – BOC HK European Put Warrants Dec 2012	50,000,000	2012/02/22	2012/12/24	12.50
16982	Nomura Int'l plc – China Mobile European Put Wts Aug 2012	100,000,000	2012/02/22	2012/08/20	15.00
16976	Nomura Int'l plc – China Telecom European Put Wts Jul 2012	100,000,000	2012/02/22	2012/07/31	15.70
16977	Nomura Int'l plc – China Unicom European Put Wts Aug 2013	50,000,000	2012/02/22	2013/08/30	12.80
16980	Nomura Int'l plc – CC Bank European Warrants Aug 2012	100,000,000	2012/02/22	2012/08/28	25.00
16981	Nomura Int'l plc – CC Bank European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	13.55
16984	Nomura Int'l plc – CITIC Bank European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	12.65
16978	Nomura Int'l plc – CNOOC European Warrants Aug 2012	100,000,000	2012/02/22	2012/08/01	15.50
16979	Nomura Int'l plc – CNOOC European Put Warrants Oct 2012	100,000,000	2012/02/22	2012/10/31	15.00
16972	Nomura Int'l plc – HKEx European Warrants Dec 2012	100,000,000	2012/02/22	2012/12/24	15.00
16973	Nomura Int'l plc – HKEx European Put Warrants Mar 2013	100,000,000	2012/02/22	2013/03/25	16.00
16967	Nomura Int'l plc – HSBC European Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	12.70
16968	Nomura Int'l plc – HSBC European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	12.80
16969	Nomura Int'l plc – Hutchison European Warrants Sep 2012	100,000,000	2012/02/22	2012/09/28	25.00
16985	Nomura Int'l plc – ICBC European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	14.50
16970	Nomura Int'l plc – JIANGC European Warrants Sep 2012	100,000,000	2012/02/22	2012/09/28	25.00
16971	Nomura Int'l plc – Jiangxi Copper European Put Wts Sep 2012	100,000,000	2012/02/22	2012/09/28	25.00
16983	Nomura Int'l plc – Lenovo European Warrants Aug 2012	50,000,000	2012/02/22	2012/08/22	25.00
16974	Nomura Int'l plc – Tencent Holdings Euro Warrants Jul 2012	100,000,000	2012/02/22	2012/07/30	15.00
16975	Nomura Int'l plc – Tencent Holdings Euro Put Wts Dec 2012	100,000,000	2012/02/22	2012/12/24	17.50
16989	CC Rabobank B.A. – BYD European Warrants Jul 2012	100,000,000	2012/02/22	2012/07/26	34.00
16987	CC Rabobank B.A. – Esprit European Warrants Nov 2012	100,000,000	2012/02/22	2012/11/19	25.00
16990	CC Rabobank B.A. – Ping An European Warrants Aug 2012 A	100,000,000	2012/02/22	2012/08/28	55.00
16992	CC Rabobank B.A. – Sands China European Put Wts Oct 2012	100,000,000	2012/02/22	2012/10/30	46.00
16991	CC Rabobank B.A. – Swire Pacific A European Wts Aug 2012	100,000,000	2012/02/22	2012/08/30	45.00
16988	CC Rabobank B.A. – Zoomlion European Warrants Dec 2012 A	80,000,000	2012/02/22	2012/12/17	20.00
16994	Standard Chartered Bank – Sinopec Corp Euro Wts Oct 2012	100,000,000	2012/02/22	2012/10/30	25.00
16993	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2014	100,000,000	2012/02/22	2014/11/28	25.00
16995	Standard Chartered Bank – Yurun Food Euro Warrants Nov 2012	100,000,000	2012/02/22	2012/11/02	25.00
16999	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 12 A	300,000,000	2012/02/22	2012/08/30	54.00
16996	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2012 A	150,000,000	2012/02/22	2012/07/11	60.00
17022	UBS AG – CC Bank European Warrants Aug 2012	200,000,000	2012/02/22	2012/08/22	50.00
17021	UBS AG – HSI European Warrants Aug 2012 D	300,000,000	2012/02/22	2012/08/30	75.00
15216#	Credit Suisse AG – A50 China European Warrants Oct 2012	200,000,000	2012/02/22	2012/10/08	14.60
17041	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Jul 2013	100,000,000	2012/02/23	2013/07/03	26.00
17040	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Jan 2013	100,000,000	2012/02/23	2013/01/03	25.00
17042	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2012	100,000,000	2012/02/23	2012/10/04	25.00
17034	Credit Suisse AG – Jiangxi Copper European Wts Aug 2012	70,000,000	2012/02/23	2012/08/31	11.20
17046	Credit Suisse AG – Tencent Holdings European Wts Jul 2012 A	100,000,000	2012/02/23	2012/07/09	15.00
17048	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2012 A	128,000,000	2012/02/23	2012/07/03	19.20
17047	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Sep 2012	80,000,000	2012/02/23	2012/09/03	21.60

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17031	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2012 A	150,000,000	2012/02/23	2012/09/03	37.50
17029	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2012	200,000,000	2012/02/23	2012/06/11	30.40
17030	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2012 A	200,000,000	2012/02/23	2012/06/04	31.60
17033	HK Bank – China Mobile European Warrants Sep 2012	160,000,000	2012/02/23	2012/09/25	24.00
17032	Macquarie Bank Ltd. – HSI European Warrants Dec 2012	250,000,000	2012/02/23	2012/12/28	37.50
17045	Macquarie Bank Ltd. – ICBC European Put Warrants Oct 2012	150,000,000	2012/02/23	2012/10/04	37.50
17044	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2012	250,000,000	2012/02/23	2012/12/04	37.50
17043	Macquarie Bank Ltd. – Tencent European Put Wts Sep 2012	250,000,000	2012/02/23	2012/09/04	37.50
17035	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2012	150,000,000	2012/02/23	2012/08/27	54.00
17036	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2012	200,000,000	2012/02/23	2012/07/30	34.00
17037	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2012	200,000,000	2012/02/23	2012/08/27	50.00
17038	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2012	150,000,000	2012/02/23	2012/08/27	66.00
17039	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2012	150,000,000	2012/02/23	2012/09/26	55.50
17051	UBS AG – HKEx European Warrants Sep 2012	100,000,000	2012/02/23	2012/09/10	30.00
17050	UBS AG – Tencent Holdings European Warrants Jun 2012 D	200,000,000	2012/02/23	2012/06/25	30.00
17049	UBS AG – Tencent Holdings European Put Warrants Aug 2012	100,000,000	2012/02/23	2012/08/27	25.00
16504#	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 2012	200,000,000	2012/02/23	2012/07/30	23.40
17079	Daiwa Capital Mkt – CCB European Put Warrants Jul 2012 A	80,000,000	2012/02/24	2012/07/30	16.80
17080	Daiwa Capital Mkt – China Life European Put Wts Sep 2012 A	80,000,000	2012/02/24	2012/09/20	20.00
17081	Daiwa Capital Mkt – A50 China European Put Wts Dec 2012	80,000,000	2012/02/24	2012/12/03	12.80
17078	Daiwa Capital Mkt – HSBC European Warrants Jul 2012 B	128,000,000	2012/02/24	2012/07/03	19.20
17061	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2012	120,000,000	2012/02/24	2012/12/24	18.24
17062	Goldman Sachs SP (Asia) – HKEx European Put Wts Jan 2013	120,000,000	2012/02/24	2013/01/28	18.60
17074	HK Bank – Agricultural Bank European Warrants Sep 2012	120,000,000	2012/02/24	2012/09/18	30.00
17075	HK Bank – HWL European Warrants Aug 2012	120,000,000	2012/02/24	2012/08/30	30.00
17073	HK Bank – Tencent European Put Warrants Oct 2012	150,000,000	2012/02/24	2012/10/08	22.50
17077	Macquarie Bank Ltd. – Evergrande European Warrants Sep 2012	80,000,000	2012/02/24	2012/09/04	25.20
17067	Macquarie Bank Ltd. – HSBC European Warrants Sep 2012	200,000,000	2012/02/24	2012/09/04	50.00
17065	Macquarie Bank Ltd. – HSBC European Warrants Jul 2012 B	200,000,000	2012/02/24	2012/07/04	30.00
17076	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Oct 2012	80,000,000	2012/02/24	2012/10/04	20.00
17053	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2012	40,000,000	2012/02/24	2012/08/27	20.80
17054	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Sep 2012	40,000,000	2012/02/24	2012/09/03	10.00
17056	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012	60,000,000	2012/02/24	2012/09/10	15.00
17055	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Aug 2012 A	40,000,000	2012/02/24	2012/08/27	27.60
17052	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Aug 2012	40,000,000	2012/02/24	2012/08/27	10.20
17064	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2012	60,000,000	2012/02/24	2012/12/24	15.00
17058	CC Rabobank B.A. – Ch Mobile European Warrants Aug 2012	150,000,000	2012/02/24	2012/08/27	45.00
17066	CC Rabobank B.A. – Evergrande European Warrants Aug 2012	100,000,000	2012/02/24	2012/08/27	60.00
17059	CC Rabobank B.A. – HKEx European Warrants Nov 2012	120,000,000	2012/02/24	2012/11/19	18.00
17057	CC Rabobank B.A. – Tencent Holdings European Wts Dec 2012	150,000,000	2012/02/24	2012/12/10	37.50
17060	CC Rabobank B.A. – Tencent Holdings European Put Wt Aug 2012	150,000,000	2012/02/24	2012/08/27	37.50
17063	Standard Chartered Bank – HSI European Warrants Dec 2012	200,000,000	2012/02/24	2012/12/28	30.40
17070	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 2012	200,000,000	2012/02/24	2012/08/06	34.00
17071	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2012	300,000,000	2012/02/24	2012/08/22	45.00

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		發行單位	上市日期	到期日	
17072	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2012A	300,000,000	2012/02/24	2012/08/27	78.00
17068	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 12B	300,000,000	2012/02/24	2012/08/30	45.00
17082	UBS AG – China Life European Warrants Aug 2012	200,000,000	2012/02/24	2012/08/21	30.00
17083	UBS AG – HSI European Warrants Oct 2012 A	300,000,000	2012/02/24	2012/10/30	75.00
24079#	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2012	300,000,000	2012/02/24	2012/10/26	11.10
17106	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wt Mar 2013	100,000,000	2012/02/27	2013/03/04	25.00
17100	BNP Paribas Arbit Issu B.V. – BOCL European Wts Sep 2012	100,000,000	2012/02/27	2012/09/04	26.00
17105	BNP Paribas Arbit Issu B.V. – BOCL European Put Warrants Sep12	100,000,000	2012/02/27	2012/09/04	38.00
17108	BNP Paribas Arbit Issu B.V. – China Overseas Eur Wt Nov 2012	100,000,000	2012/02/27	2012/11/02	25.00
17104	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Sep 2012	100,000,000	2012/02/27	2012/09/04	73.00
17102	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Sep 12A	100,000,000	2012/02/27	2012/09/04	30.00
17093	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Dec 2012	100,000,000	2012/02/27	2012/12/04	74.00
17098	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Dec 2012	70,000,000	2012/02/27	2012/12/04	35.00
17101	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Oct 2012	100,000,000	2012/02/27	2012/10/04	77.00
17109	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Aug 2012	100,000,000	2012/02/27	2012/08/30	16.00
17103	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Sep 2012	100,000,000	2012/02/27	2012/09/04	61.00
17107	BNP Paribas Arbit Issu B.V. – Sands China Euro Wts Oct 2012	100,000,000	2012/02/27	2012/10/04	36.00
17097	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2012 A	200,000,000	2012/02/27	2012/09/03	60.20
17095	J P Morgan SP BV – HSI European Put Warrants Aug 2012 A	200,000,000	2012/02/27	2012/08/30	50.00
17096	Macquarie Bank Ltd. – Ping An European Put Wts Oct 2012	150,000,000	2012/02/27	2012/10/04	53.10
17084	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2012	60,000,000	2012/02/27	2012/06/11	11.28
17086	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2012	40,000,000	2012/02/27	2012/08/03	11.40
17087	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2012 A	60,000,000	2012/02/27	2012/08/13	11.04
17089	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt May 2012	70,000,000	2012/02/27	2012/05/28	10.50
17088	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Jun 2012	40,000,000	2012/02/27	2012/06/04	10.60
17090	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2012	200,000,000	2012/02/27	2012/11/28	50.00
17099	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012 C	300,000,000	2012/02/27	2012/08/30	60.00
17091	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Aug12	300,000,000	2012/02/27	2012/08/27	45.00
17110	UBS AG – China Mobile European Warrants Aug 2012	200,000,000	2012/02/27	2012/08/06	54.00
17111	UBS AG – CNOOC European Warrants Nov 2012 C	200,000,000	2012/02/27	2012/11/14	30.00
17112	UBS AG – HSI European Warrants Dec 2012 C	300,000,000	2012/02/27	2012/12/28	45.00
17121	BNP Paribas Arbit Issu B.V. – CNOOC European Put Wt Dec 2012	100,000,000	2012/02/28	2012/12/04	25.00
17120	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eur Put Wt Oct 12	100,000,000	2012/02/28	2012/10/04	99.00
17119	BNP Paribas Arbit Issu B.V. – A50 China Euro Put Wt Dec 2012	100,000,000	2012/02/28	2012/12/04	17.00
17118	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Oct 2012	100,000,000	2012/02/28	2012/10/04	15.00
17122	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Oct 2012	100,000,000	2012/02/28	2012/10/04	88.00
17124	Credit Suisse AG – China Tel European Warrants Jun 2012	70,000,000	2012/02/28	2012/06/29	12.60
17126	Credit Suisse AG – Sinopec Corp European Warrants Jul 2012	70,000,000	2012/02/28	2012/07/11	14.00
17125	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2012 A	70,000,000	2012/02/28	2012/06/01	10.50
17114	Goldman Sachs SP (Asia) – Ch Overseas European Wts Oct 2012	120,000,000	2012/02/28	2012/10/03	25.68
17115	Goldman Sachs SP (Asia) – CNBM European Warrants Nov 2012	120,000,000	2012/02/28	2012/11/09	18.60
17113	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2012	120,000,000	2012/02/28	2012/09/10	30.00
17116	HK Bank – HSI European Put Warrants Aug 2012 B	150,000,000	2012/02/28	2012/08/30	24.00
17127	J P Morgan SP BV – HSCEI European Put Warrants Aug 2012	200,000,000	2012/02/28	2012/08/30	50.00

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		發行單位	上市日期	到期日	
17117	CC Rabobank B.A. – SJM Holdings European Warrants Nov 2012	100,000,000	2012/02/28	2012/11/29	25.00
17123	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2012	300,000,000	2012/02/28	2012/12/28	45.00
17154	Credit Suisse AG – AIA European Warrants Sep 2012	120,000,000	2012/02/29	2012/09/28	18.00
17152	Macquarie Bank Ltd. – Li Ning European Warrants Mar 2013	180,000,000	2012/02/29	2013/03/04	45.00
17131	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2012 A	40,000,000	2012/02/29	2012/08/29	19.00
17128	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2012	70,000,000	2012/02/29	2012/08/21	10.99
17129	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wts Sep 2012	40,000,000	2012/02/29	2012/09/10	14.00
17130	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Aug 2012 A	40,000,000	2012/02/29	2012/08/29	18.00
17142	Nomura Int'l plc – Agricultural Bk European Put Wts Aug 2012	100,000,000	2012/02/29	2012/08/29	25.00
17143	Nomura Int'l plc – AIA European Warrants Sep 2012 A	100,000,000	2012/02/29	2012/09/28	15.00
17141	Nomura Int'l plc – BYD European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/31	30.50
17136	Nomura Int'l plc – China Mobile European Warrants Aug 2012	100,000,000	2012/02/29	2012/08/29	25.20
17135	Nomura Int'l plc – China Unicom European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/24	15.00
17147	Nomura Int'l plc – China Life European Warrants Aug 2012	100,000,000	2012/02/29	2012/08/31	15.00
17148	Nomura Int'l plc – CNBM European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/12	15.50
17149	Nomura Int'l plc – CNBM European Warrants Nov 2012	100,000,000	2012/02/29	2012/11/09	15.00
17133	Nomura Int'l plc – Sinopec European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	31.00
17146	Nomura Int'l plc – CPIC European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	25.00
17140	Nomura Int'l plc – China Res Gas European Warrants Dec 2012	100,000,000	2012/02/29	2012/12/17	19.70
17137	Nomura Int'l plc – China Shenhua European Put Wts Aug 2012	100,000,000	2012/02/29	2012/08/29	25.20
17134	Nomura Int'l plc – Gome European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/09	15.90
17150	Nomura Int'l plc – Hengdeli European Warrants Aug 2012	50,000,000	2012/02/29	2012/08/29	18.50
17132	Nomura Int'l plc – Henderson Land Dev Euro Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	34.50
17144	Nomura Int'l plc – ICBC European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/23	15.20
17153	Nomura Int'l plc – PetroChina European Warrants Dec 2013	100,000,000	2012/02/29	2013/12/31	25.00
17145	Nomura Int'l plc – PICC European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	15.10
17139	Nomura Int'l plc – Yanzhou Coal European Warrants Oct 2012	100,000,000	2012/02/29	2012/10/22	15.00
17138	Nomura Int'l plc – Zoomlion European Warrants Jun 2013	50,000,000	2012/02/29	2013/06/24	12.65
17151	Standard Chartered Bank – Tencent Hldg European Wts Nov 2012	100,000,000	2012/02/29	2012/11/30	21.00
17155	UBS AG – China Tel European Warrants Jun 2012	100,000,000	2012/02/29	2012/06/29	15.00
17157	UBS AG – China Life European Warrants Sep 2012	300,000,000	2012/02/29	2012/09/10	75.00
17156	UBS AG – Sinopec Corp European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/11	22.00
17165	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2013	150,000,000	2012/03/01	2013/07/03	37.50
17162	Macquarie Bank Ltd. – CPIC European Warrants Sep 2012	150,000,000	2012/03/01	2012/09/04	37.50
17159	Macquarie Bank Ltd. – Esprit European Put Warrants Jan 2013	200,000,000	2012/03/01	2013/01/03	30.00
17163	Macquarie Bank Ltd. – R&F Ppt European Warrants Nov 2012	150,000,000	2012/03/01	2012/11/09	22.50
17161	Macquarie Bank Ltd. – Luk Fook Hold European Wts Oct 2012	150,000,000	2012/03/01	2012/10/04	37.50
17160	Macquarie Bank Ltd. – Sands China European Warrants Sep 2012	150,000,000	2012/03/01	2012/09/04	54.45
17164	Macquarie Bank Ltd. – Shimao Ppt European Warrants Jul 2013	150,000,000	2012/03/01	2013/07/03	37.50
17158	CC Rabobank B.A. – Agricultural Bk Euro Warrants Sep 2012	150,000,000	2012/03/01	2012/09/17	37.50
15375#	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2012	200,000,000	2012/03/01	2012/06/06	20.40
11045#	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2013	200,000,000	2012/03/01	2013/07/29	10.80
17183	Credit Suisse AG – CNBM European Warrants Aug 2012	70,000,000	2012/03/02	2012/08/31	10.50
17178	Daiwa Capital Mkt – Agricultural Bank European Wts Jul 2012	128,000,000	2012/03/02	2012/07/25	32.00

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		發行單位	上市日期	到期日	
17177	Daiwa Capital Mkt – Agricultural Bank European Wts Aug 2012	128,000,000	2012/03/02	2012/08/01	25.60
17185	Daiwa Capital Mkt – Agricultural Bank European Wts Oct 2012	128,000,000	2012/03/02	2012/10/18	32.00
17176	Daiwa Capital Mkt – Agricultural Bank Euro Put Wts Sep 2012	98,000,000	2012/03/02	2012/09/03	35.28
17175	Daiwa Capital Mkt – AIA European Warrants Sep 2012	108,000,000	2012/03/02	2012/09/03	30.24
17174	Daiwa Capital Mkt – AIA European Warrants Oct 2012	108,000,000	2012/03/02	2012/10/04	16.20
17179	Daiwa Capital Mkt – CNOOC European Warrants Nov 2012	108,000,000	2012/03/02	2012/11/09	30.24
17180	Daiwa Capital Mkt – CNOOC European Warrants Dec 2012	108,000,000	2012/03/02	2012/12/03	27.00
17181	Daiwa Capital Mkt – PetroChina European Warrants Aug 2012	88,000,000	2012/03/02	2012/08/01	48.40
17186	Daiwa Capital Mkt – PetroChina European Put Wts Jan 2013	80,000,000	2012/03/02	2013/01/31	20.00
17168	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wts Oct 2012	120,000,000	2012/03/02	2012/10/15	30.24
17173	HK Bank – Esprit European Warrants Nov 2012	100,000,000	2012/03/02	2012/11/29	25.00
17171	Macquarie Bank Ltd. – BBMG European Warrants Oct 2013 A	150,000,000	2012/03/02	2013/10/03	37.50
17184	Macquarie Bank Ltd. – Shui On Land European Wts Oct 2012	100,000,000	2012/03/02	2012/10/03	25.00
17172	Macquarie Bank Ltd. – Soho China European Warrants Oct 2012	100,000,000	2012/03/02	2012/10/03	25.00
17169	Standard Chartered Bank – CC Bank European Wts Aug 2012	100,000,000	2012/03/02	2012/08/13	21.00
17170	Standard Chartered Bank – ICBC European Warrants Jul 2012 A	100,000,000	2012/03/02	2012/07/23	16.00
17166	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Oct 2012	100,000,000	2012/03/02	2012/10/03	28.00
17167	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Sep 12 A	100,000,000	2012/03/02	2012/09/24	25.00
17204	Credit Suisse AG – AIA European Warrants Jul 2012 A	70,000,000	2012/03/05	2012/07/30	10.50
17205	Credit Suisse AG – AIA European Warrants Oct 2012 A	70,000,000	2012/03/05	2012/10/30	10.50
17206	Credit Suisse AG – AIA European Put Warrants Oct 2012 B	70,000,000	2012/03/05	2012/10/30	17.50
17195	Daiwa Capital Mkt – AIA European Warrants Oct 2012 A	108,000,000	2012/03/05	2012/10/03	32.40
17203	Daiwa Capital Mkt – AIA European Put Warrants Oct 2012 B	88,000,000	2012/03/05	2012/10/31	22.00
17200	Daiwa Capital Mkt – China Mobile European Wts Oct 2012 A	128,000,000	2012/03/05	2012/10/03	46.08
17199	Daiwa Capital Mkt – China Mobile European Wts Nov 2012 B	128,000,000	2012/03/05	2012/11/16	43.52
17198	Daiwa Capital Mkt – China Mobile European Put Wts Sep 2012 A	80,000,000	2012/03/05	2012/09/05	44.00
17197	Daiwa Capital Mkt – China Mobile European Put Wts Nov 2012 A	80,000,000	2012/03/05	2012/11/01	30.40
17196	Daiwa Capital Mkt – A50 China European Warrants Nov 2013 A	188,000,000	2012/03/05	2013/11/01	28.20
17192	Daiwa Capital Mkt – Hutchison European Warrants Sep 2012 A	108,000,000	2012/03/05	2012/09/05	31.32
17194	Daiwa Capital Mkt – ICBC European Warrants Sep 2012 A	128,000,000	2012/03/05	2012/09/05	55.04
17193	Daiwa Capital Mkt – ICBC European Warrants Nov 2012 A	128,000,000	2012/03/05	2012/11/05	47.36
17202	Macquarie Bank Ltd. – AIA European Put Warrants Jan 2013 A	200,000,000	2012/03/05	2013/01/03	50.00
17210	Macquarie Bank Ltd. – Chi Res Land European Wts Dec 2012 A	150,000,000	2012/03/05	2012/12/04	37.50
17209	Macquarie Bank Ltd. – Geely Auto European Warrants Sep 2012A	100,000,000	2012/03/05	2012/09/04	25.00
17201	Macquarie Bank Ltd. – HSI European Warrants Aug 2012 B	200,000,000	2012/03/05	2012/08/30	30.00
17190	Standard Chartered Bank – SPDR Gold Trust Euro Wt Nov 2012 A	100,000,000	2012/03/05	2012/11/26	47.50
17191	Standard Chartered Bank – SPDR Gold Trust Euro Wt Jul 2013 A	100,000,000	2012/03/05	2013/07/26	58.00
17187	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2012 A	250,000,000	2012/03/05	2012/07/04	37.50
17188	SGA Societe Generale Acceptance NV – HuanengPower Eu Wt Sep12A	50,000,000	2012/03/05	2012/09/05	15.50
17189	SGA Societe Generale Acceptance NV – NewWorld Dev Eu Wt Sep12A	50,000,000	2012/03/05	2012/09/05	22.00
17208	UBS AG – AIA European Warrants Jul 2012 A	200,000,000	2012/03/05	2012/07/30	30.00
17207	UBS AG – AIA European Put Warrants Sep 2012 A	100,000,000	2012/03/05	2012/09/24	15.00
15834#	J P Morgan SP BV – HSI European Put Warrants Jun 2012 A	300,000,000	2012/03/05	2012/06/28	20.70
17241	BNP Paribas Arbit Issu B.V. – HKEx European Wts Sep 2012 A	58,000,000	2012/03/06	2012/09/03	16.82

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		發行單位	上市日期	到期日	
17242	BNP Paribas Arbit Issu B.V. – HSBC European Wts Nov 2012 A	200,000,000	2012/03/06	2012/11/02	52.00
17240	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Oct 2012 B	98,000,000	2012/03/06	2012/10/04	31.36
17233	Credit Suisse AG – Agricultural Bank European Wts Jun 2012 B	70,000,000	2012/03/06	2012/06/29	10.50
17234	Credit Suisse AG – BOCL European Warrants Sep 2012 A	70,000,000	2012/03/06	2012/09/25	10.50
17232	Credit Suisse AG – BYD European Warrants Jul 2012 A	70,000,000	2012/03/06	2012/07/30	21.00
17231	Credit Suisse AG – ChiUnicom European Warrants Jul 2012 A	100,000,000	2012/03/06	2012/07/30	15.00
17228	Credit Suisse AG – HSBC European Wts Aug 2012 A	100,000,000	2012/03/06	2012/08/30	20.00
17227	Credit Suisse AG – HSI European Warrants Aug 2012 F	400,000,000	2012/03/06	2012/08/30	60.00
17226	Credit Suisse AG – HSI European Warrants Oct 2012 A	400,000,000	2012/03/06	2012/10/30	60.00
17237	Credit Suisse AG – HSI European Warrants Oct 2012 B	400,000,000	2012/03/06	2012/10/30	100.00
17229	Credit Suisse AG – Hutchison European Warrants Jul 2012 A	100,000,000	2012/03/06	2012/07/30	30.00
17230	Credit Suisse AG – MTRC European Warrants Sep 2012 A	70,000,000	2012/03/06	2012/09/27	10.50
17235	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2012 A	100,000,000	2012/03/06	2012/12/21	15.00
17244	Citigroup Global Mkt H Inc. – AIA European Wts Jul 2012 A	80,000,000	2012/03/06	2012/07/16	12.00
17245	Citigroup Global Mkt H Inc. – AIA European Put Wt Oct 2012 A	80,000,000	2012/03/06	2012/10/09	12.00
17246	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2012 A	80,000,000	2012/03/06	2012/07/27	19.20
17247	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Dec 2013 A	150,000,000	2012/03/06	2013/12/16	22.50
17243	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2013 A	80,000,000	2012/03/06	2013/01/21	16.00
17239	Citigroup Global Mkt H Inc. – HSI European Wts Aug 2012 B	200,000,000	2012/03/06	2012/08/30	32.00
17238	Citigroup Global Mkt H Inc. – HSI European Put Wt Aug 2012 A	200,000,000	2012/03/06	2012/08/30	30.00
17222	Daiwa Capital Mkt – BOC HK European Warrants Dec 2012 B	108,000,000	2012/03/06	2012/12/03	27.00
17221	Daiwa Capital Mkt – BOC HK European Put Warrants Dec 2012 A	80,000,000	2012/03/06	2012/12/14	20.80
17224	Daiwa Capital Mkt – A50 China European Warrants Aug 2013 A	168,000,000	2012/03/06	2013/08/01	42.00
17223	Daiwa Capital Mkt – Hutchison European Warrants Sep 2012 B	128,000,000	2012/03/06	2012/09/03	28.16
17213	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2012 A	120,000,000	2012/03/06	2012/07/30	26.16
17214	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2012 A	120,000,000	2012/03/06	2012/06/29	20.04
17220	J P Morgan SP BV – AIA European Warrants Dec 2012 A	200,000,000	2012/03/06	2012/12/28	50.00
17215	Macquarie Bank Ltd. – A50 China European Warrants Oct 2012 A	120,000,000	2012/03/06	2012/10/04	45.12
17225	Macquarie Bank Ltd. – Greatwall Motor European Wts Oct 2012 A	100,000,000	2012/03/06	2012/10/04	25.00
17211	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012 A	100,000,000	2012/03/06	2012/08/30	15.20
17217	CC Rabobank B.A. – AIA European Warrants Jul 2012 A	150,000,000	2012/03/06	2012/07/26	22.50
17218	CC Rabobank B.A. – China Life European Warrants Aug 2012 A	150,000,000	2012/03/06	2012/08/21	22.50
17219	CC Rabobank B.A. – China Life European Put Wts Aug 2012 B	150,000,000	2012/03/06	2012/08/06	22.50
17216	CC Rabobank B.A. – CNBM European Warrants Nov 2012 A	100,000,000	2012/03/06	2012/11/09	18.00
17212	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Aug 2012A	100,000,000	2012/03/06	2012/08/01	15.00
17236	UBS AG – SPDR Gold Trust European Warrants Sep 2012 A	100,000,000	2012/03/06	2012/09/10	57.00
17272	Credit Suisse AG – China Shenhua European Wts Jul 2012 A	80,000,000	2012/03/07	2012/07/31	12.00
17269	Credit Suisse AG – HSI European Put Warrants Aug 2012 G	400,000,000	2012/03/07	2012/08/30	72.00
17270	Credit Suisse AG – HSI European Put Warrants Aug 2012 H	400,000,000	2012/03/07	2012/08/30	60.00
17273	Credit Suisse AG – Yanzhou Coal European Warrants Oct 2012 A	80,000,000	2012/03/07	2012/10/31	12.80
17262	Daiwa Capital Mkt – AIA European Warrants Nov 2012 A	108,000,000	2012/03/07	2012/11/01	16.20
17267	Bank of East Asia – Agricultural Bank Euro Warrants Sep 12 A	45,000,000	2012/03/07	2012/09/25	11.25
17268	Bank of East Asia – CNOOC European Put Warrants Oct 2012 A	100,000,000	2012/03/07	2012/10/15	15.00
17266	Bank of East Asia – Yanzhou Coal European Put Wts Oct 2012 A	100,000,000	2012/03/07	2012/10/24	25.00

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		發行單位	上市日期	到期日	
17257	Goldman Sachs SP (Asia) – AIA European Warrants Oct 2012 A	120,000,000	2012/03/07	2012/10/30	18.72
17258	Goldman Sachs SP (Asia) – AIA European Put Wts Oct 2012 B	120,000,000	2012/03/07	2012/10/22	30.00
17256	Goldman Sachs SP (Asia) – BankComm European Wts Aug 2012 A	120,000,000	2012/03/07	2012/08/06	44.16
17254	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2012 B	120,000,000	2012/03/07	2012/06/11	18.00
17255	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Nov 2012 A	120,000,000	2012/03/07	2012/11/26	18.24
17253	HK Bank – AIA European Put Warrants Feb 2013 A	100,000,000	2012/03/07	2013/02/14	25.00
17252	HK Bank – China Life European Warrants Mar 2013 A	120,000,000	2012/03/07	2013/03/22	30.00
17251	HK Bank – CNOOC European Warrants Nov 2013 A	120,000,000	2012/03/07	2013/11/15	30.00
17265	J P Morgan SP BV – Tencent European Put Warrants Aug 2012 A	150,000,000	2012/03/07	2012/08/23	22.50
17249	Macquarie Bank Ltd. – Gome European Warrants Nov 2012 A	100,000,000	2012/03/07	2012/11/02	25.00
17250	Macquarie Bank Ltd. – Haier Elec European Wts May 2013 A	200,000,000	2012/03/07	2013/05/03	50.00
17264	Macquarie Bank Ltd. – Nine Dragons European Wts Jan 2014 A	120,000,000	2012/03/07	2014/01/03	30.00
17248	Macquarie Bank Ltd – Skyworth Digital European Wts Jun 2014 A	200,000,000	2012/03/07	2014/06/04	30.00
17259	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jul 12 A	100,000,000	2012/03/07	2012/07/11	16.00
17260	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2012 A	200,000,000	2012/03/07	2012/06/27	40.00
17263	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012 D	300,000,000	2012/03/07	2012/08/30	51.00
17261	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Jun 12 A	100,000,000	2012/03/07	2012/06/27	16.00
17276	UBS AG – China Mobile European Warrants Aug 2012 A	300,000,000	2012/03/07	2012/08/06	66.00
17279	UBS AG – HKEx European Put Warrants Oct 2012 A	100,000,000	2012/03/07	2012/10/03	15.00
17275	UBS AG – HSCEI European Put Warrants Aug 2012 A	100,000,000	2012/03/07	2012/08/30	15.00
17274	UBS AG – HSI European Put Warrants Aug 2012 E	300,000,000	2012/03/07	2012/08/30	69.00
17291	Goldman Sachs SP (Asia) – Hutchison European Wts Jul 2012 A	120,000,000	2012/03/08	2012/07/10	44.76
17292	Macquarie Bank Ltd. – Chinas Cement European Wt Sep 2012 A	80,000,000	2012/03/08	2012/09/10	41.12
17280	Merrill Lynch Int'l & Co. C.V. – BEA European Wts Oct 2012 A	60,000,000	2012/03/08	2012/10/29	11.88
17281	Merrill Lynch Int'l & Co. C.V. – BEA European Wts Dec 2012 A	40,000,000	2012/03/08	2012/12/03	14.00
17284	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Sep 2012A	40,000,000	2012/03/08	2012/09/17	16.00
17283	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2012 A	70,000,000	2012/03/08	2012/09/24	10.50
17282	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Oct 12 A	40,000,000	2012/03/08	2012/10/15	11.60
17288	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Dec 2012 A	40,000,000	2012/03/08	2012/12/10	10.00
17289	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012 A	70,000,000	2012/03/08	2012/09/17	10.57
17285	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Feb 2013 A	40,000,000	2012/03/08	2013/02/25	16.80
17286	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Sep 2013 A	70,000,000	2012/03/08	2013/09/16	10.85
17287	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wt Dec 2012 A	40,000,000	2012/03/08	2012/12/10	10.20
17290	UBS AG – AIA European Warrants Oct 2012 A	200,000,000	2012/03/08	2012/10/29	30.00
17293	UBS AG – China Life European Warrants Jul 2012 E	300,000,000	2012/03/08	2012/07/11	45.00
17295	UBS AG – China Overseas European Warrants Oct 2012 A	100,000,000	2012/03/08	2012/10/04	17.00
17294	UBS AG – ICBC European Warrants Aug 2012 A	200,000,000	2012/03/08	2012/08/28	30.00
17329	Citigroup Global Mkt H Inc. – China Life Eu Put Wt Sep 2012A	100,000,000	2012/03/09	2012/09/12	15.00
17331	Citigroup Global Mkt H Inc. – Esprit Euro Put Wts Oct 2012 A	100,000,000	2012/03/09	2012/10/15	25.00
17330	Citigroup Global Mkt H Inc. – Gome European Wts Oct 2012 A	80,000,000	2012/03/09	2012/10/15	20.00
17327	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2012 A	120,000,000	2012/03/09	2012/09/18	30.72
17326	Goldman Sachs SP (Asia) – CNOOC European Put Wts Jun 2012 A	120,000,000	2012/03/09	2012/06/25	19.08
17328	Goldman Sachs SP (Asia) – Li & Fung European Wts Sep 2012 A	120,000,000	2012/03/09	2012/09/24	18.24
17351	J P Morgan SP BV – Dongfang Elec European Warrants Sep 2012 A	68,000,000	2012/03/09	2012/09/10	17.00

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17304	J P Morgan SP BV – HSBC European Warrants Jul 2012 A	200,000,000	2012/03/09	2012/07/26	30.00
17350	J P Morgan SP BV – SJM Holdings European Warrants Nov 2012 A	120,000,000	2012/03/09	2012/11/19	30.00
17333	Macquarie Bank Ltd. – CHALCO European Warrants Oct 2012 A	100,000,000	2012/03/09	2012/10/04	33.50
17349	Macquarie Bank Ltd. – BankComm European Warrants Oct 2012 A	100,000,000	2012/03/09	2012/10/04	25.00
17334	Macquarie Bank Ltd. – China Mengniu European Wts Dec 2012 A	150,000,000	2012/03/09	2012/12/04	37.50
17335	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Oct 2012 A	80,000,000	2012/03/09	2012/10/04	20.00
17332	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2012 B	200,000,000	2012/03/09	2012/10/04	50.00
17298	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jul 2012 A	70,000,000	2012/03/09	2012/07/30	10.57
17297	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Sep 2012 A	40,000,000	2012/03/09	2012/09/10	12.40
17339	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012 D	100,000,000	2012/03/09	2012/08/30	20.90
17338	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012 F	100,000,000	2012/03/09	2012/09/27	20.00
17336	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012 A	100,000,000	2012/03/09	2012/10/30	16.40
17337	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012 B	100,000,000	2012/03/09	2012/10/30	19.40
17301	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 B	100,000,000	2012/03/09	2012/08/30	15.20
17302	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 C	100,000,000	2012/03/09	2012/08/30	19.20
17303	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 E	100,000,000	2012/03/09	2012/09/27	25.00
17296	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jul 2012 A	40,000,000	2012/03/09	2012/07/26	14.00
17299	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Aug 2012 A	40,000,000	2012/03/09	2012/08/06	10.60
17300	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Oct 2012A	70,000,000	2012/03/09	2012/10/10	10.64
17318	Nomura Int'l plc – Agricultural Bk Euro Warrants Aug 2012 A	80,000,000	2012/03/09	2012/08/01	13.60
17306	Nomura Int'l plc – Bank of EA European Put Wts Dec 2012 A	50,000,000	2012/03/09	2012/12/28	12.60
17325	Nomura Int'l plc – CM Bank European Warrants Nov 2012 A	80,000,000	2012/03/09	2012/11/19	12.96
17308	Nomura Int'l plc – Cathay Pacific European Wts Dec 2013 A	80,000,000	2012/03/09	2013/12/19	17.84
17309	Nomura Int'l plc – Cathay Pacific European Put Wt Dec 2013 B	50,000,000	2012/03/09	2013/12/24	12.50
17316	Nomura Int'l plc – Dongfang Elec European Wt Aug 2012 A	80,000,000	2012/03/09	2012/08/20	12.56
17315	Nomura Int'l plc – Digital China European Wts Nov 2012 A	50,000,000	2012/03/09	2012/11/30	12.50
17340	Nomura Int'l plc – A50 China European Warrants Aug 2012 A	100,000,000	2012/03/09	2012/08/01	15.00
17322	Nomura Int'l plc – A50 China European Put Wts Nov 2012 A	100,000,000	2012/03/09	2012/11/30	16.00
17307	Nomura Int'l plc – Guangdong Inv European Wts Sep 2012 A	50,000,000	2012/03/09	2012/09/10	20.25
17317	Nomura Int'l plc – Haier Elec European Warrants Nov 2012 A	50,000,000	2012/03/09	2012/11/30	12.50
17320	Nomura Int'l plc – Li Ning European Warrants Feb 2013 A	80,000,000	2012/03/09	2013/02/25	12.08
17312	Nomura Int'l plc – Link REIT European Warrants Jan 2013 A	80,000,000	2012/03/09	2013/01/28	14.08
17319	Nomura Int'l plc – NVC Lighting European Warrants Sep 2012 A	50,000,000	2012/03/09	2012/09/28	15.00
17313	Nomura Int'l plc – PetroChina European Put Wts Jan 2013 A	100,000,000	2012/03/09	2013/01/31	15.50
17310	Nomura Int'l plc – Sany Int'l European Warrants Sep 2013 A	75,000,000	2012/03/09	2013/09/09	11.25
17305	Nomura Int'l plc – SHK P European Warrants Apr 2013 A	100,000,000	2012/03/09	2013/04/11	15.00
17323	Nomura Int'l plc – Stanchart European Warrants Dec 2013 A	50,000,000	2012/03/09	2013/12/31	12.55
17324	Nomura Int'l plc – Stanchart European Put Wts Oct 2013 A	50,000,000	2012/03/09	2013/10/28	12.55
17311	Nomura Int'l plc – Tencent Holdings Euro Warrants Jan 2013 A	100,000,000	2012/03/09	2013/01/31	22.00
17346	Standard Chartered Bank – AIA European Warrants Jul 2012 A	100,000,000	2012/03/09	2012/07/16	15.00
17343	Standard Chartered Bank – Galaxy Ent European Wts Sep 2012 A	100,000,000	2012/03/09	2012/09/10	25.00
17344	Standard Chartered Bank – Galaxy Ent European Wts Dec 2012 A	100,000,000	2012/03/09	2012/12/03	25.00
17341	Standard Chartered Bank – HSI European Put Wts Aug 2012 A	100,000,000	2012/03/09	2012/08/30	22.80
17342	Standard Chartered Bank – HSI European Put Wts Sep 2012 A	100,000,000	2012/03/09	2012/09/27	15.20

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17345	Standard Chartered Bank – PetroChina European Wts Sep 2013 A	100,000,000	2012/03/09	2013/09/06	25.00
17347	UBS AG – Hutchison European Warrants Aug 2012 B	200,000,000	2012/03/09	2012/08/20	60.00
17348	UBS AG – Ping An European Warrants Sep 2012 A	100,000,000	2012/03/09	2012/09/24	48.00
17364	BNP Paribas Arbit Issu B.V. – Esprit European Wts Oct 2012 A	100,000,000	2012/03/12	2012/10/04	30.00
17365	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Oct 2012A	91,000,000	2012/03/12	2012/10/04	27.30
17359	Credit Suisse AG – A50 China European Warrants Oct 2012 A	60,000,000	2012/03/12	2012/10/31	18.00
17358	Credit Suisse AG – Hutchison European Put Warrants Aug 2012A	120,000,000	2012/03/12	2012/08/06	24.00
17357	Credit Suisse AG – Ping An European Warrants Jul 2012 A	100,000,000	2012/03/12	2012/07/31	35.00
17363	J P Morgan SP BV – BYD European Warrants Oct 2012 A	100,000,000	2012/03/12	2012/10/30	26.80
17361	J P Morgan SP BV – Gome European Warrants Sep 2012 A	100,000,000	2012/03/12	2012/09/27	25.00
17362	J P Morgan SP BV – Yurun Food European Warrants Dec 2012 A	100,000,000	2012/03/12	2012/12/28	25.00
17356	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Jan 2013A	180,000,000	2012/03/12	2013/01/21	27.00
17353	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Feb 2013 A	150,000,000	2012/03/12	2013/02/04	37.50
17354	Macquarie Bank Ltd. – Esprit European Warrants Oct 2012 A	200,000,000	2012/03/12	2012/10/04	50.00
17355	Macquarie Bank Ltd. – KECL European Warrants Nov 2013 A	150,000,000	2012/03/12	2013/11/04	37.50
17366	Macquarie Bank Ltd. – Zoomlion European Warrants Mar 2013 A	150,000,000	2012/03/12	2013/03/04	37.50
17352	Standard Chartered Bank – CNOOC European Warrants Jan 2013 A	100,000,000	2012/03/12	2013/01/28	16.00
17368	UBS AG – HSI European Put Warrants Aug 2012 F	300,000,000	2012/03/12	2012/08/30	45.00
17367	UBS AG – SHK Ppt European Warrants Apr 2013 A	100,000,000	2012/03/12	2013/04/15	15.00
17374	BOCI Asia Ltd. – China Tel European Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/24	25.00
17373	BOCI Asia Ltd. – HSBC European Warrants Oct 2012 A	100,000,000	2012/03/13	2012/10/03	25.00
17372	BOCI Asia Ltd. – HSBC European Put Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/17	25.00
17375	BOCI Asia Ltd. – ICBC European Put Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/24	25.00
17370	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Dec 2013 A	120,000,000	2012/03/13	2013/12/31	18.00
17369	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2012 A	120,000,000	2012/03/13	2012/09/17	43.32
17386	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2012 A	200,000,000	2012/03/13	2012/09/27	52.00
17385	J P Morgan SP BV – Hutchison European Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/27	25.50
17384	J P Morgan SP BV – ICBC European Warrants Sep 2012 A	200,000,000	2012/03/13	2012/09/27	50.00
17382	Macquarie Bank Ltd. – China COSCO European Wts Sep 2012 A	80,000,000	2012/03/13	2012/09/13	27.76
17383	Macquarie Bank Ltd. – CITIC Pacific European Wts Jan 2013 A	120,000,000	2012/03/13	2013/01/03	18.00
17381	Macquarie Bank Ltd. – Chi Resources European Wts Nov 2012 A	150,000,000	2012/03/13	2012/11/02	37.50
17378	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Jan 2013 A	80,000,000	2012/03/13	2013/01/03	20.00
17379	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2012 B	100,000,000	2012/03/13	2012/07/05	15.00
17380	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 A	100,000,000	2012/03/13	2013/03/21	30.00
17389	UBS AG – CC Bank European Warrants Aug 2012 A	200,000,000	2012/03/13	2012/08/20	88.00
17390	UBS AG – CC Bank European Warrants Aug 2012 B	200,000,000	2012/03/13	2012/08/27	60.00
17377	UBS AG – China Life European Warrants Sep 2012 A	200,000,000	2012/03/13	2012/09/17	50.00
17387	UBS AG – A50 China European Warrants Jul 2013 A	300,000,000	2012/03/13	2013/07/25	60.00
17376	UBS AG – HKEx European Warrants Feb 2013 A	300,000,000	2012/03/13	2013/02/25	75.00
17388	UBS AG – ICBC European Warrants Aug 2012 B	100,000,000	2012/03/13	2012/08/22	26.00
17395	BOCI Asia Ltd. – Ping An European Warrants Aug 2012 A	100,000,000	2012/03/14	2012/08/06	17.00
17392	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2012 A	120,000,000	2012/03/14	2012/08/24	18.36
17391	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2012 A	120,000,000	2012/03/14	2012/08/13	37.56
17397	HK Bank – HSI European Put Warrants Sep 2012 A	200,000,000	2012/03/14	2012/09/27	30.00

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		發行單位	上市日期	到期日	
17399	J P Morgan SP BV – China Life European Warrants Sep 2012 A	288,000,000	2012/03/14	2012/09/27	72.00
17404	Macquarie Bank Ltd. – HSCEI European Warrants Nov 2012 A	200,000,000	2012/03/14	2012/11/28	50.00
17405	Macquarie Bank Ltd. – HSCEI European Put Warrants Nov 2012 B	200,000,000	2012/03/14	2012/11/28	50.00
17403	Macquarie Bank Ltd. – HSI European Warrants Aug 2012 C	200,000,000	2012/03/14	2012/08/30	30.00
17400	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2012B	300,000,000	2012/03/14	2012/07/11	45.00
17409	UBS AG – BOCL European Warrants Sep 2012 A	100,000,000	2012/03/14	2012/09/17	15.00
17398	UBS AG – China Life European Warrants Aug 2012 A	200,000,000	2012/03/14	2012/08/28	30.00
17407	UBS AG – HSI European Warrants Jul 2012 C	300,000,000	2012/03/14	2012/07/30	73.50
17408	UBS AG – HSI European Warrants Aug 2012 G	300,000,000	2012/03/14	2012/08/30	60.00
17406	UBS AG – HSI European Warrants Sep 2012 A	300,000,000	2012/03/14	2012/09/27	75.00
17410	UBS AG – Ping An European Warrants Oct 2012 A	100,000,000	2012/03/14	2012/10/10	42.00
17423	Credit Suisse AG – HSI European Put Warrants Aug 2012 I	400,000,000	2012/03/15	2012/08/30	64.00
17422	Credit Suisse AG – Hutchison European Warrants Jun 2012 C	100,000,000	2012/03/15	2012/06/29	15.00
17426	J P Morgan SP BV – HSI European Put Warrants Sep 2012 A	200,000,000	2012/03/15	2012/09/27	30.00
17419	Macquarie Bank Ltd. – China Unicom European Wts Aug 2012 A	200,000,000	2012/03/15	2012/08/02	31.00
17417	Macquarie Bank Ltd. – Esprit European Put Warrants Oct 2012B	200,000,000	2012/03/15	2012/10/04	50.00
17416	Macquarie Bank Ltd. – Jiangxi Copper Euro Put Wts Jul 2012 A	200,000,000	2012/03/15	2012/07/16	31.20
17413	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Oct 2012 A	70,000,000	2012/03/15	2012/10/03	10.71
17411	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2012 B	70,000,000	2012/03/15	2012/09/06	10.50
17414	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Feb 2013 A	70,000,000	2012/03/15	2013/02/25	10.78
17415	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2012 A	50,000,000	2012/03/15	2012/07/09	10.40
17412	Merrill Lynch Int'l & Co. C.V. – MTRC European Wt Dec 2012 A	60,000,000	2012/03/15	2012/12/28	11.58
17420	Standard Chartered Bank – CITIC Pacific Euro Wts May 2013 A	100,000,000	2012/03/15	2013/05/02	34.50
17421	Standard Chartered Bank – CITIC Pacific Euro Wts Aug 2013 A	100,000,000	2012/03/15	2013/08/30	25.00
17418	Standard Chartered Bank – HSBC European Warrants May 2013 A	100,000,000	2012/03/15	2013/05/02	25.00
17425	UBS AG – China Unicom European Warrants Dec 2012 A	100,000,000	2012/03/15	2012/12/19	15.00
17424	UBS AG – HSI European Put Warrants Sep 2012 B	300,000,000	2012/03/15	2012/09/27	54.00
17427	BOCI Asia Ltd. – BYD European Warrants Jul 2012 A	100,000,000	2012/03/16	2012/07/30	25.00
17429	BOCI Asia Ltd. – Cheung Kong European Warrants Dec 2013 A	100,000,000	2012/03/16	2013/12/24	16.00
17428	BOCI Asia Ltd. – A50 China European Warrants Nov 2013 A	100,000,000	2012/03/16	2013/11/04	15.00
17446	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2012 B	200,000,000	2012/03/16	2012/09/04	30.00
17444	BNP Paribas Arbit Issu B.V. – CLP European Wts Jan 2013 A	51,000,000	2012/03/16	2013/01/03	17.85
17447	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2012 A	200,000,000	2012/03/16	2012/11/29	60.00
17445	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2012 A	200,000,000	2012/03/16	2012/08/02	54.00
17451	Credit Suisse AG – China Mobile European Warrants Sep 2012 A	120,000,000	2012/03/16	2012/09/28	18.00
17449	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Oct 2012 A	80,000,000	2012/03/16	2012/10/30	53.52
17441	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Sep 2012 A	150,000,000	2012/03/16	2012/09/28	84.75
17448	Goldman Sachs SP (Asia) – CPIC European Warrants Sep 2012 A	120,000,000	2012/03/16	2012/09/24	30.12
17442	Goldman Sachs SP (Asia) – Kerry Ppt European Wts Oct 2012 A	150,000,000	2012/03/16	2012/10/22	38.40
17450	Macquarie Bank Ltd. – China Mobile European Wts Oct 2012 A	200,000,000	2012/03/16	2012/10/04	30.00
17443	Macquarie Bank Ltd. – China Mobile Euro Put Wts Dec 2013 A	300,000,000	2012/03/16	2013/12/16	45.00
17436	Nomura Int'l plc – AIA European Put Warrants Sep 2012 B	100,000,000	2012/03/16	2012/09/28	15.00
17434	Nomura Int'l plc – CC Bank European Warrants Jul 2012 A	80,000,000	2012/03/16	2012/07/24	12.00
17435	Nomura Int'l plc – China Mobile European Warrants Jul 2012 A	80,000,000	2012/03/16	2012/07/27	13.04

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		發行單位	上市日期	到期日	
17431	Nomura Int'l plc – Esprit European Warrants Sep 2012 A	100,000,000	2012/03/16	2012/09/28	25.00
17438	Nomura Int'l plc – A50 China European Warrants Jul 2013 A	100,000,000	2012/03/16	2013/07/31	19.50
17439	Nomura Int'l plc – Golden Eagle European Warrants Sep 2012 A	100,000,000	2012/03/16	2012/09/28	25.00
17430	Nomura Int'l plc – HSBC European Warrants Jun 2012 A	80,000,000	2012/03/16	2012/06/26	12.00
17437	Nomura Int'l plc – ICBC European Warrants Jul 2012 A	80,000,000	2012/03/16	2012/07/09	14.16
17433	Nomura Int'l plc – Link REIT European Put Wts Sep 2013 A	50,000,000	2012/03/16	2013/09/30	12.95
17432	Nomura Int'l plc – Luk Fook Hold European Wts Sep 2012 A	50,000,000	2012/03/16	2012/09/24	12.50
17440	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2012 A	200,000,000	2012/03/16	2012/08/22	50.00
17452	UBS AG – China Mobile European Warrants Oct 2012 A	200,000,000	2012/03/16	2012/10/10	50.00
14752#	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012 A	130,000,000	2012/03/16	2012/05/28	10.27
17466	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2013 A	85,000,000	2012/03/19	2013/01/03	31.45
17465	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Oct 2012 A	40,000,000	2012/03/19	2012/10/03	16.00
17457	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2012 A	200,000,000	2012/03/19	2012/12/04	52.00
17467	BNP Paribas Arbit Issu B.V. – CSR European Wts Jan 2013 A	28,000,000	2012/03/19	2013/01/03	21.28
17463	BNP Paribas Arbit Issu B.V. – Hang Seng Bank Eu Wt Jan 2013A	28,000,000	2012/03/19	2013/01/03	11.20
17469	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Jan 2013 A	100,000,000	2012/03/19	2013/01/03	35.00
17464	BNP Paribas Arbit Issu B.V. – Swire Pacific A Eu Wt Sep 12A	40,000,000	2012/03/19	2012/09/04	12.00
17468	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Oct 2012 A	65,000,000	2012/03/19	2012/10/03	28.60
17471	Credit Suisse AG – China Mobile European Warrants Jun 2012 B	100,000,000	2012/03/19	2012/06/18	15.00
17470	Credit Suisse AG – China Mobile European Warrants Oct 2012 A	100,000,000	2012/03/19	2012/10/31	25.00
17472	Credit Suisse AG – China Mobile European Put Wts Sep 2012 B	100,000,000	2012/03/19	2012/09/28	22.00
17461	J P Morgan SP BV – China Mob European Wts Sep 2012 A	200,000,000	2012/03/19	2012/09/27	50.00
17459	Macquarie Bank Ltd. – China Mobile European Wts Nov 2012 A	200,000,000	2012/03/19	2012/11/02	50.00
17455	Macquarie Bank Ltd. – HSBC European Warrants Oct 2012 A	200,000,000	2012/03/19	2012/10/04	50.00
17473	Macquarie Bank Ltd. – Hutchison European Warrants Nov 2012 A	200,000,000	2012/03/19	2012/11/01	50.00
17456	Macquarie Bank Ltd. – Ping An European Warrants Dec 2012 A	250,000,000	2012/03/19	2012/12/11	37.50
17460	Standard Chartered Bank – China Mobile Euro Wts Oct 2012 A	100,000,000	2012/03/19	2012/10/03	25.00
17458	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 12 A	150,000,000	2012/03/19	2012/10/03	39.00
17453	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Put Wt Nov12A	300,000,000	2012/03/19	2012/11/28	45.00
17454	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2012 A	500,000,000	2012/03/19	2012/11/28	75.00
17462	UBS AG – China Mobile European Put Warrants Oct 2012 B	100,000,000	2012/03/19	2012/10/10	27.00
17474	UBS AG – Hutchison European Warrants Aug 2012 C	200,000,000	2012/03/19	2012/08/23	52.00
17486	BOCI Asia Ltd. – China Mobile European Warrants Oct 2012 A	100,000,000	2012/03/20	2012/10/03	25.00
17485	BOCI Asia Ltd. – China Mobile European Put Wts Dec 2013 A	100,000,000	2012/03/20	2013/12/31	15.00
17501	BNP Paribas Arbit Issu B.V. – AviChina Euro Wts Dec 2012 A	13,000,000	2012/03/20	2012/12/04	10.01
17499	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/04	27.00
17502	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Oct 2012 A	28,000,000	2012/03/20	2012/10/04	14.56
17500	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Feb 13 A	100,000,000	2012/03/20	2013/02/04	21.00
17498	BNP Paribas Arbit Issu B.V. – ZTE European Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/04	27.00
17493	Daiwa Capital Mkt – CNBM European Warrants Dec 2012 A	80,000,000	2012/03/20	2012/12/03	13.60
17494	Daiwa Capital Mkt – CNBM European Put Warrants Oct 2012 A	80,000,000	2012/03/20	2012/10/25	20.00
17491	Daiwa Capital Mkt – SHK Ppt European Warrants Aug 2012 A	80,000,000	2012/03/20	2012/08/20	32.00
17490	Daiwa Capital Mkt – SHK Ppt European Warrants Dec 2012 A	108,000,000	2012/03/20	2012/12/05	27.00
17492	Daiwa Capital Mkt – SHK Ppt European Put Warrants Mar 2013 A	80,000,000	2012/03/20	2013/03/28	20.00

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17477	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2012 B	120,000,000	2012/03/20	2012/09/28	30.48
17476	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Sep 2012 A	120,000,000	2012/03/20	2012/09/24	48.24
17478	Goldman Sachs SP (Asia) – Hutchison European Wts Oct 2012 A	120,000,000	2012/03/20	2012/10/30	47.88
17489	Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/15	35.50
17483	HK Bank – China Mobile European Warrants Nov 2012 A	160,000,000	2012/03/20	2012/11/13	40.00
17496	J P Morgan SP BV – HSI European Warrants Aug 2012 B	288,000,000	2012/03/20	2012/08/30	43.20
17495	J P Morgan SP BV – Hutchison European Warrants Sep 2012 B	200,000,000	2012/03/20	2012/09/27	50.00
17487	Macquarie Bank Ltd. – HSI European Warrants Oct 2012 A	200,000,000	2012/03/20	2012/10/30	30.00
17482	CC Rabobank B.A. – Agile Ppt European Warrants Feb 2013 A	100,000,000	2012/03/20	2013/02/25	17.00
17479	CC Rabobank B.A. – CITIC Pacific European Wts Jan 2013 A	100,000,000	2012/03/20	2013/01/07	15.00
17480	CC Rabobank B.A. – Ch Mobile European Warrants Sep 2012 A	80,000,000	2012/03/20	2012/09/25	20.00
17481	CC Rabobank B.A. – China Overseas European Wts Sep 2012 A	100,000,000	2012/03/20	2012/09/25	15.00
17484	Standard Chartered Bank – Sinopec Corp Euro Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/08	25.00
17475	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2012 C	200,000,000	2012/03/20	2012/10/29	54.00
17488	UBS AG – Hutchison European Warrants Jul 2012 C	200,000,000	2012/03/20	2012/07/30	86.00
13805 [#]	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2012	280,000,000	2012/03/20	2012/04/27	10.64
15316 [#]	Daiwa Capital Mkt – China Mobile European Warrants Jun 2012	180,000,000	2012/03/20	2012/06/01	30.60
17510	Daiwa Capital Mkt – Anhui Conch European Warrants Oct 2012 A	80,000,000	2012/03/21	2012/10/03	17.60
17512	Daiwa Capital Mkt – Anhui Conch European Put Wts Nov 2012 A	68,000,000	2012/03/21	2012/11/01	21.76
17508	Daiwa Capital Mkt – Ch Overseas European Warrants Oct 2012 A	80,000,000	2012/03/21	2012/10/03	12.00
17513	Daiwa Capital Mkt – Ch Overseas European Warrants Nov 2012 A	80,000,000	2012/03/21	2012/11/01	20.80
17511	Daiwa Capital Mkt – Ch Overseas European Put Wts Oct 2012 B	80,000,000	2012/03/21	2012/10/15	12.80
17514	Macquarie Bank Ltd. – Anhui Conch European Wts Oct 2012 A	200,000,000	2012/03/21	2012/10/04	50.00
17516	Macquarie Bank Ltd. – China Tel European Warrants Oct 2012 A	100,000,000	2012/03/21	2012/10/04	25.00
17505	Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2013 A	180,000,000	2012/03/21	2013/08/02	45.00
17507	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Sep 2012 A	100,000,000	2012/03/21	2012/09/21	25.00
17517	Macquarie Bank Ltd. – ZTE European Warrants Dec 2012 A	180,000,000	2012/03/21	2012/12/04	45.00
17506	Standard Chartered Bank – Hutchison Euro Euro Wts Nov 2012 A	100,000,000	2012/03/21	2012/11/30	25.00
17504	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Sep12A	100,000,000	2012/03/21	2012/09/24	21.00
17503	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2012A	100,000,000	2012/03/21	2012/08/13	26.00
17515	UBS AG – China Mobile European Warrants Sep 2012 A	200,000,000	2012/03/21	2012/09/28	78.00
16766 [#]	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt Jun 2012	200,000,000	2012/03/21	2012/06/25	10.60
17532	Daiwa Capital Mkt – China Mobile European Wts Jul 2012 A	128,000,000	2012/03/22	2012/07/23	62.72
17528	Daiwa Capital Mkt – China Mobile European Put Wts Aug 2012 A	80,000,000	2012/03/22	2012/08/15	12.00
17521	Daiwa Capital Mkt – Hutchison European Warrants Jul 2012 A	158,000,000	2012/03/22	2012/07/06	39.50
17534	J P Morgan SP BV – Tencent European Warrants Dec 2012 A	100,000,000	2012/03/22	2012/12/17	25.00
17533	J P Morgan SP BV – Zhaojin Mining European Wts May 2013 A	200,000,000	2012/03/22	2013/05/31	50.00
17527	Macquarie Bank Ltd. – Air China European Warrants Jun 2014 A	180,000,000	2012/03/22	2014/06/23	27.00
17531	Macquarie Bank Ltd. – Longfor PPT European Wts Feb 2013 A	180,000,000	2012/03/22	2013/02/22	45.00
17520	Macquarie Bank Ltd. – MGM China European Warrants Oct 2012 A	200,000,000	2012/03/22	2012/10/18	50.00
17522	Standard Chartered Bank – HSBC European Warrants Oct 2012 A	100,000,000	2012/03/22	2012/10/26	25.00
17519	SGA Societe Generale Acceptance N.V. – Belle Int'l Eu Wt Aug12A	150,000,000	2012/03/22	2012/08/22	22.50
17524	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jan13A	300,000,000	2012/03/22	2013/01/28	48.00
17523	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2012 A	200,000,000	2012/03/22	2012/10/03	50.00

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17526	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2012 A	200,000,000	2012/03/22	2012/10/03	50.00
17525	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 12 A	300,000,000	2012/03/22	2012/10/03	75.00
17518	SGA Societe Generale Acceptance NV – Zijin Mining Eu Wt Aug12A	50,000,000	2012/03/22	2012/08/01	15.00
17530	UBS AG – Evergrande European Warrants Oct 2012 A	100,000,000	2012/03/22	2012/10/22	60.00
17529	UBS AG – Tencent Holdings European Warrants Oct 2012 A	200,000,000	2012/03/22	2012/10/15	50.00
17552	BOCI Asia Ltd. – Li & Fung European Warrants Nov 2012 A	100,000,000	2012/03/23	2012/11/05	25.00
17578	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wts Oct 2012A	45,000,000	2012/03/23	2012/10/03	24.30
17579	BNP Paribas Arbit Issu B.V. – Ch Southern Air Eu Wt Oct 12 A	29,000,000	2012/03/23	2012/10/03	13.63
17580	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Dec 2012 A	54,000,000	2012/03/23	2012/12/04	36.72
17582	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Feb 13 B	100,000,000	2012/03/23	2013/02/04	25.00
17581	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2012 A	100,000,000	2012/03/23	2012/10/03	31.00
17558	Credit Suisse AG – HSBC European Wts Aug 2012 B	100,000,000	2012/03/23	2012/08/01	15.00
17553	Credit Suisse AG – KECL European Warrants Nov 2012 A	80,000,000	2012/03/23	2012/11/30	12.00
17560	Credit Suisse AG – Tencent Holdings European Wts Oct 2012 A	100,000,000	2012/03/23	2012/10/31	25.00
17559	Credit Suisse AG – Tencent Holdings Euro Put Wts Aug 2012 A	100,000,000	2012/03/23	2012/08/31	15.00
17574	Citigroup Global Mkt H Inc. – China Life Euro Wts Aug 2012 A	100,000,000	2012/03/23	2012/08/01	15.00
17576	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Oct 2012 A	100,000,000	2012/03/23	2012/10/10	25.00
17575	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2012 A	100,000,000	2012/03/23	2012/09/03	18.00
17577	Citigroup Global Mkt H Inc. – Tencent European Wts Oct 2012 A	100,000,000	2012/03/23	2012/10/31	25.00
17569	Daiwa Capital Mkt – China Mobile European Wts Jul 2012 B	158,000,000	2012/03/23	2012/07/03	31.60
17570	Daiwa Capital Mkt – China Mobile European Wts Oct 2012 B	128,000,000	2012/03/23	2012/10/03	80.64
17563	Daiwa Capital Mkt – HSBC European Warrants Sep 2012 A	128,000,000	2012/03/23	2012/09/24	40.96
17564	Daiwa Capital Mkt – HSBC European Put Warrants Oct 2012 A	80,000,000	2012/03/23	2012/10/03	40.00
17565	Daiwa Capital Mkt – Hutchison European Warrants Oct 2012 A	158,000,000	2012/03/23	2012/10/22	39.50
17566	Daiwa Capital Mkt – Hutchison European Warrants Dec 2012 A	128,000,000	2012/03/23	2012/12/03	80.64
17567	Daiwa Capital Mkt – Hutchison European Put Wts Aug 2012 A	80,000,000	2012/03/23	2012/08/03	20.00
17568	Daiwa Capital Mkt – Hutchison European Put Wts Sep 2012 C	80,000,000	2012/03/23	2012/09/24	50.40
17571	Daiwa Capital Mkt – ICBC European Warrants Aug 2012 A	128,000,000	2012/03/23	2012/08/08	19.20
17572	Daiwa Capital Mkt – Tencent Holdings European Wts Nov 2012 A	128,000,000	2012/03/23	2012/11/01	32.00
17551	HK Bank – China Mobile European Put Warrants Sep 2012 A	160,000,000	2012/03/23	2012/09/24	40.00
17573	J P Morgan SP BV – Tencent European Put Warrants Oct 2012 A	200,000,000	2012/03/23	2012/10/30	50.00
17555	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2012 A	180,000,000	2012/03/23	2012/10/04	45.00
17557	Macquarie Bank Ltd. – Sands China European Wts Oct 2012 A	180,000,000	2012/03/23	2012/10/04	45.72
17562	Macquarie Bank Ltd. – Tencent European Warrants Nov 2012 A	250,000,000	2012/03/23	2012/11/02	63.00
17556	Macquarie Bank Ltd. – Wynn Macau European Wts Oct 2012 A	180,000,000	2012/03/23	2012/10/04	45.00
17539	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2012 C	50,000,000	2012/03/23	2012/09/26	12.50
17538	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Sep 12 B	40,000,000	2012/03/23	2012/09/27	32.80
17537	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2012 A	40,000,000	2012/03/23	2012/09/25	22.40
17536	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2012 A	70,000,000	2012/03/23	2012/10/03	10.78
17535	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jan 2013 A	60,000,000	2012/03/23	2013/01/21	15.00
17544	Nomura Int'l plc – Angang Steel European Warrants Sep 2012 A	50,000,000	2012/03/23	2012/09/24	20.00
17542	Nomura Int'l plc – Bank of EA European Warrants Dec 2012 B	50,000,000	2012/03/23	2012/12/24	14.75
17545	Nomura Int'l plc – Ch Com Service European Wts Dec 2012 A	50,000,000	2012/03/23	2012/12/24	27.90
17548	Nomura Int'l plc – CITIC Bank European Warrants Dec 2012 A	50,000,000	2012/03/23	2012/12/24	24.50

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		發行單位	上市日期	到期日	
17550	Nomura Int'l plc – CM Bank European Warrants Dec 2012 A	50,000,000	2012/03/23	2012/12/24	12.55
17547	Nomura Int'l plc – China Mobile European Warrants Dec 2012 A	50,000,000	2012/03/23	2012/12/24	14.05
17546	Nomura Int'l plc – Ch Overseas European Warrants Oct 2012 A	100,000,000	2012/03/23	2012/10/03	15.00
17543	Nomura Int'l plc – KECL European Warrants Nov 2012 A	100,000,000	2012/03/23	2012/11/30	15.00
17554	Nomura Int'l plc – New World Dev European Wts Apr 2013 A	100,000,000	2012/03/23	2013/04/09	15.00
17549	Nomura Int'l plc – Ping An European Warrants Sep 2012 A	100,000,000	2012/03/23	2012/09/28	30.00
17541	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2012B	300,000,000	2012/03/23	2012/08/08	45.00
17540	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Sep 12 A	100,000,000	2012/03/23	2012/09/24	38.00
17561	UBS AG – Tencent Holdings European Warrants Dec 2012 A	200,000,000	2012/03/23	2012/12/10	50.00
17593	Daiwa Capital Mkt – BOCL European Warrants Oct 2012 A	108,000,000	2012/03/26	2012/10/03	35.64
17590	Daiwa Capital Mkt – China Mobile European Wts Sep 2012 B	128,000,000	2012/03/26	2012/09/24	19.20
17586	Daiwa Capital Mkt – HSBC European Warrants Aug 2012 A	128,000,000	2012/03/26	2012/08/01	75.52
17587	Daiwa Capital Mkt – HSBC European Warrants Aug 2012 B	100,000,000	2012/03/26	2012/08/10	94.00
17588	Daiwa Capital Mkt – Hutchison European Warrants Sep 2012 D	98,000,000	2012/03/26	2012/09/26	97.02
17591	Daiwa Capital Mkt – ICBC European Warrants Jul 2012 A	128,000,000	2012/03/26	2012/07/06	42.24
17592	Daiwa Capital Mkt – ICBC European Warrants Oct 2012 A	128,000,000	2012/03/26	2012/10/15	46.08
17589	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2012 A	108,000,000	2012/03/26	2012/12/03	35.64
17603	Bank of East Asia – CM Bank European Put Warrants Mar 2013 A	110,000,000	2012/03/26	2013/03/25	27.50
17605	Bank of East Asia – Galaxy Ent European Warrants Nov 2012 A	50,000,000	2012/03/26	2012/11/23	12.50
17602	Bank of East Asia – Li & Fung European Warrants Nov 2012 A	70,000,000	2012/03/26	2012/11/12	17.50
17606	Bank of East Asia – SJM Holdings European Warrants Feb 2013A	70,000,000	2012/03/26	2013/02/28	17.50
17604	Bank of East Asia – Yanzhou Coal European Warrants Nov 2012A	70,000,000	2012/03/26	2012/11/20	10.50
17598	Macquarie Bank Ltd. – CLP European Warrants Nov 2012 A	250,000,000	2012/03/26	2012/11/02	62.50
17599	Macquarie Bank Ltd. – HK Gas European Warrants Feb 2014 A	200,000,000	2012/03/26	2014/02/04	50.00
17583	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Nov 2012 A	180,000,000	2012/03/26	2012/11/02	45.00
17597	Macquarie Bank Ltd. – PWR Assets European Warrants Dec 2012A	180,000,000	2012/03/26	2012/12/04	27.00
17584	Macquarie Bank Ltd. – Sino Land European Warrants May 2013 A	180,000,000	2012/03/26	2013/05/03	45.00
17585	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2012 C	250,000,000	2012/03/26	2012/10/04	62.50
17600	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2012 A	40,000,000	2012/03/26	2012/09/26	27.60
17601	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Aug 2012A	80,000,000	2012/03/26	2012/08/20	12.08
17596	UBS AG – Hutchison European Warrants Sep 2012 A	200,000,000	2012/03/26	2012/09/26	50.00
17595	UBS AG – Tencent Holdings European Warrants Sep 2012 B	100,000,000	2012/03/26	2012/09/26	25.00
17594	UBS AG – Tencent Holdings European Put Warrants Sep 2012 A	100,000,000	2012/03/26	2012/09/26	25.00
15834 [#]	J P Morgan SP BV – HSI European Put Warrants Jun 2012 A	500,000,000	2012/03/26	2012/06/28	40.00
17611	BOCI Asia Ltd. – Anhui Conch European Warrants Sep 2012 A	100,000,000	2012/03/27	2012/09/27	20.00
17612	BOCI Asia Ltd. – Esprit European Warrants Nov 2012 A	100,000,000	2012/03/27	2012/11/29	20.00
17613	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2012 A	200,000,000	2012/03/27	2012/10/29	50.00
17634	Credit Suisse AG – China Mobile European Warrants Jul 2012 A	100,000,000	2012/03/27	2012/07/31	20.00
17623	Credit Suisse AG – Tencent Holdings European Wts Sep 2012 A	100,000,000	2012/03/27	2012/09/28	25.00
17631	Credit Suisse AG – Tencent Holdings European Wts Nov 2012 A	100,000,000	2012/03/27	2012/11/30	25.00
17618	Daiwa Capital Mkt – BOCL European Warrants Oct 2012 B	108,000,000	2012/03/27	2012/10/29	21.60
17627	Daiwa Capital Mkt – CCB European Warrants Sep 2012 A	128,000,000	2012/03/27	2012/09/27	37.12
17628	Daiwa Capital Mkt – CCB European Put Warrants Sep 2012 B	80,000,000	2012/03/27	2012/09/27	48.00
17626	Daiwa Capital Mkt – China Life European Warrants Sep 2012 B	138,000,000	2012/03/27	2012/09/27	34.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
17629	Daiwa Capital Mkt – China Life European Warrants Oct 2012 A	138,000,000	2012/03/27	2012/10/03	20.70
17630	Daiwa Capital Mkt – China Life European Put Wts Nov 2012 A	80,000,000	2012/03/27	2012/11/01	12.00
17614	Daiwa Capital Mkt – HSBC European Warrants Dec 2012 A	128,000,000	2012/03/27	2012/12/03	67.84
17615	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 A	128,000,000	2012/03/27	2013/01/02	34.56
17616	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 B	128,000,000	2012/03/27	2013/01/21	32.00
17617	Daiwa Capital Mkt – ICBC European Warrants Nov 2012 B	128,000,000	2012/03/27	2012/11/01	72.96
17619	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2012 A	200,000,000	2012/03/27	2012/08/20	61.40
17620	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2012 B	150,000,000	2012/03/27	2012/08/06	26.10
17622	Goldman Sachs SP (Asia) – Sands China Euro Wts Oct 2012 A	100,000,000	2012/03/27	2012/10/08	36.90
17621	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2012 A	200,000,000	2012/03/27	2012/10/08	59.00
17624	Macquarie Bank Ltd. – HSCEI European Warrants Oct 2012 A	200,000,000	2012/03/27	2012/10/30	30.00
17636	Macquarie Bank Ltd. – Kerry Ppt European Warrants Oct 2012 A	180,000,000	2012/03/27	2012/10/04	45.00
17637	Macquarie Bank Ltd. – Poly HK Inv European Wts Oct 2012 A	80,000,000	2012/03/27	2012/10/04	31.68
17635	Macquarie Bank Ltd. – Sino Ocean Land European Wts Oct 2012 A	80,000,000	2012/03/27	2012/10/04	30.56
17610	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2012 A	150,000,000	2012/03/27	2012/07/30	39.00
17607	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Oct 12A	100,000,000	2012/03/27	2012/10/31	25.00
17608	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 12 A	200,000,000	2012/03/27	2012/12/17	50.00
17609	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Sep12A	200,000,000	2012/03/27	2012/09/26	30.00
17632	UBS AG – China Life European Warrants Sep 2012 B	300,000,000	2012/03/27	2012/09/27	81.00
17625	UBS AG – China Life European Warrants Oct 2012 A	300,000,000	2012/03/27	2012/10/15	75.00
17638	UBS AG – Sinopec Corp European Warrants Sep 2012 A	100,000,000	2012/03/27	2012/09/27	56.00
17639	UBS AG – Sinopec Corp European Warrants Nov 2014 A	200,000,000	2012/03/27	2014/11/24	34.00
17633	UBS AG – China Unicom European Warrants Dec 2012 B	200,000,000	2012/03/27	2012/12/10	50.00
17642	BOCI Asia Ltd. – CNOOC European Put Warrants Nov 2012 A	100,000,000	2012/03/28	2012/11/02	15.00
17643	BOCI Asia Ltd. – Chi Res Land European Warrants Dec 2012 A	100,000,000	2012/03/28	2012/12/04	15.00
17644	BOCI Asia Ltd. – Jiangxi Copper European Warrants Oct 2012 A	100,000,000	2012/03/28	2012/10/22	18.00
17659	Credit Suisse AG – CC Bank European Warrants Jun 2012 A	100,000,000	2012/03/28	2012/06/29	28.00
17658	Credit Suisse AG – CC Bank European Warrants Jul 2012 C	100,000,000	2012/03/28	2012/07/30	18.00
17660	Credit Suisse AG – ICBC European Warrants Jul 2012 C	100,000,000	2012/03/28	2012/07/09	15.00
17661	Credit Suisse AG – Ping An European Warrants Jun 2012 B	100,000,000	2012/03/28	2012/06/29	25.00
17653	Daiwa Capital Mkt – Agricultural Bank Euro Wts Sep 2012 A	128,000,000	2012/03/28	2012/09/03	38.40
17654	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Dec 2012 A	80,000,000	2012/03/28	2012/12/14	20.00
17669	Daiwa Capital Mkt – AIA European Warrants Nov 2012 B	108,000,000	2012/03/28	2012/11/05	43.20
17670	Daiwa Capital Mkt – AIA European Put Warrants Dec 2012 A	108,000,000	2012/03/28	2012/12/10	16.20
17671	Daiwa Capital Mkt – BOC HK European Warrants Nov 2012 A	108,000,000	2012/03/28	2012/11/15	34.56
17652	Daiwa Capital Mkt – CCB European Warrants Sep 2012 C	128,000,000	2012/03/28	2012/09/03	19.20
17666	Daiwa Capital Mkt – China Telecom European Wts Sep 2012 A	80,000,000	2012/03/28	2012/09/24	20.00
17667	Daiwa Capital Mkt – China Telecom European Wts Oct 2012 A	80,000,000	2012/03/28	2012/10/18	38.40
17668	Daiwa Capital Mkt – China Telecom Euro Put Wts Oct 2012 B	80,000,000	2012/03/28	2012/10/10	20.00
17662	Daiwa Capital Mkt – HKEx European Warrants Dec 2012 A	108,000,000	2012/03/28	2012/12/03	21.60
17663	Daiwa Capital Mkt – HKEx European Warrants Dec 2012 B	108,000,000	2012/03/28	2012/12/18	31.32
17664	Daiwa Capital Mkt – HKEx European Put Warrants Dec 2012 C	108,000,000	2012/03/28	2012/12/31	27.00
17655	Daiwa Capital Mkt – Ping An European Warrants Oct 2012 A	80,000,000	2012/03/28	2012/10/03	31.20
17656	Daiwa Capital Mkt – Ping An European Put Warrants Nov 2012 A	68,000,000	2012/03/28	2012/11/15	45.56

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
17665	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2012 B	98,000,000	2012/03/28	2012/12/10	48.02
17645	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Oct 2012 A	120,000,000	2012/03/28	2012/10/22	30.60
17646	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2012 A	120,000,000	2012/03/28	2012/10/22	50.40
17647	HK Bank – Tencent European Put Warrants Aug 2012 A	120,000,000	2012/03/28	2012/08/27	18.00
17649	Macquarie Bank Ltd. – Country Garden European Wts Oct 2012 A	80,000,000	2012/03/28	2012/10/04	20.00
17648	Macquarie Bank Ltd. – China Life European Wts Sep 2012 A	200,000,000	2012/03/28	2012/09/10	30.00
17651	Macquarie Bank Ltd. – Link REIT European Warrants Feb 2013 A	150,000,000	2012/03/28	2013/02/04	37.50
17650	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Feb 2013 A	80,000,000	2012/03/28	2013/02/04	20.00
17640	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Oct12A	80,000,000	2012/03/28	2012/10/08	20.00
17641	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Dec 2012 A	150,000,000	2012/03/28	2012/12/24	24.00
17657	UBS AG – CNOOC European Warrants Jun 2013 A	200,000,000	2012/03/28	2013/06/10	50.00
17690	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2012 A	200,000,000	2012/03/29	2012/12/04	86.00
17689	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2013 A	200,000,000	2012/03/29	2013/02/04	52.00
17688	BNP Paribas Arbit Issu B.V. – CRCC European Wts Oct 2012 A	23,000,000	2012/03/29	2012/10/04	10.35
17686	BNP Paribas Arbit Issu B.V. – ICBC European Wts Oct 2012 A	200,000,000	2012/03/29	2012/10/04	62.00
17687	BNP Paribas Arbit Issu B.V. – Ping An European Wt Oct 2012 A	100,000,000	2012/03/29	2012/10/04	43.00
17696	Credit Suisse AG – China Tel European Warrants Jul 2012 A	80,000,000	2012/03/29	2012/07/31	16.00
17700	Credit Suisse AG – Tencent Holdings European Wts Oct 2012 B	100,000,000	2012/03/29	2012/10/31	25.00
17685	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2012 B	120,000,000	2012/03/29	2012/08/09	22.92
17682	J P Morgan SP BV – HSI European Warrants Aug 2012 C	300,000,000	2012/03/29	2012/08/30	45.00
17683	J P Morgan SP BV – HSI European Put Warrants Aug 2012 D	300,000,000	2012/03/29	2012/08/30	45.00
17699	Macquarie Bank Ltd. – BOCL European Warrants Mar 2013 A	150,000,000	2012/03/29	2013/03/04	37.50
17697	Macquarie Bank Ltd. – CM Bank European Warrants Feb 2013 A	200,000,000	2012/03/29	2013/02/15	30.00
17698	Macquarie Bank Ltd. – New World Dev European Wts Aug 2012 A	150,000,000	2012/03/29	2012/08/29	22.50
17684	Macquarie Bank Ltd. – Stanchart European Warrants Jul 2013 A	200,000,000	2012/03/29	2013/07/03	50.00
17675	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2012 C	40,000,000	2012/03/29	2012/09/25	10.00
17673	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2012 A	40,000,000	2012/03/29	2012/10/03	10.80
17672	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2012 A	40,000,000	2012/03/29	2012/11/05	17.80
17674	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2012 A	40,000,000	2012/03/29	2012/10/03	13.20
17681	CC Rabobank B.A. – CNBM European Warrants Dec 2012 A	120,000,000	2012/03/29	2012/12/20	18.00
17679	CC Rabobank B.A. – ChiUnicom European Warrants Sep 2012 A	120,000,000	2012/03/29	2012/09/03	18.00
17678	CC Rabobank B.A. – Dongfang Elec European Wts Sep 2012 A	80,000,000	2012/03/29	2012/09/03	12.00
17676	CC Rabobank B.A. – MGM China European Warrants Oct 2012 A	100,000,000	2012/03/29	2012/10/03	25.00
17677	CC Rabobank B.A. – Yanzhou Coal European Warrants Feb 2013 A	90,000,000	2012/03/29	2013/02/04	22.50
17692	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 12C	300,000,000	2012/03/29	2012/10/10	78.00
17691	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Oct12B	300,000,000	2012/03/29	2012/10/08	78.00
17695	UBS AG – CC Bank European Warrants Jun 2012 B	200,000,000	2012/03/29	2012/06/29	66.00
17693	UBS AG – China Mobile European Warrants Sep 2012 B	100,000,000	2012/03/29	2012/09/28	15.00
17694	UBS AG – Yanzhou Coal European Wts Oct 2012 A	100,000,000	2012/03/29	2012/10/08	15.00
17717	Credit Suisse AG – CC Bank European Put Warrants Jul 2012 D	80,000,000	2012/03/30	2012/07/05	12.00
17705	Credit Suisse AG – China Shenhua European Wts Sep 2012 A	80,000,000	2012/03/30	2012/09/28	14.40
17714	Credit Suisse AG – HSI European Put Warrants Aug 2012 J	400,000,000	2012/03/30	2012/08/30	60.00
17718	Credit Suisse AG – ICBC European Put Warrants Aug 2012 A	80,000,000	2012/03/30	2012/08/27	12.00
17706	Credit Suisse AG – Yanzhou Coal European Warrants Sep 2012 A	80,000,000	2012/03/30	2012/09/28	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
17709	Daiwa Capital Mkt – A50 China European Warrants Sep 2012 A	128,000,000	2012/03/30	2012/09/03	35.84
17710	Daiwa Capital Mkt – A50 China European Warrants Oct 2012 A	80,000,000	2012/03/30	2012/10/03	61.60
17711	Daiwa Capital Mkt – A50 China European Warrants Jan 2013 A	128,000,000	2012/03/30	2013/01/02	21.76
17713	Daiwa Capital Mkt – A50 China European Warrants Sep 2014 A	128,000,000	2012/03/30	2014/09/15	25.60
17712	Daiwa Capital Mkt – A50 China European Put Wts Mar 2013 A	108,000,000	2012/03/30	2013/03/01	30.24
17702	Goldman Sachs SP (Asia) – BEA European Warrants Nov 2012 A	120,000,000	2012/03/30	2012/11/26	30.24
17715	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Oct 2012 A	120,000,000	2012/03/30	2012/10/22	30.12
17703	Goldman Sachs SP (Asia) – KECL European Warrants Sep 2013 A	120,000,000	2012/03/30	2013/09/16	30.24
17716	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Nov 2012 A	120,000,000	2012/03/30	2012/11/05	30.12
17704	J P Morgan SP BV – China Life European Warrants Oct 2012 A	200,000,000	2012/03/30	2012/10/03	50.00
17721	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Jan 2013 A	150,000,000	2012/03/30	2013/01/31	37.50
17719	Macquarie Bank Ltd. – ICBC European Warrants Dec 2012 A	150,000,000	2012/03/30	2012/12/04	22.50
17722	Macquarie Bank Ltd. – SHK Ppt European Put Wts Sep 2013 A	250,000,000	2012/03/30	2013/09/27	37.50
17720	Macquarie Bank Ltd. – Want Want China European Wts Apr 2014 A	180,000,000	2012/03/30	2014/04/17	45.00
17701	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Aug 2012 A	40,000,000	2012/03/30	2012/08/21	12.60
17708	Standard Chartered Bank – China Mobile Eur Put Wt Nov 2012 A	100,000,000	2012/03/30	2012/11/02	25.00
17707	Standard Chartered Bank – HSBC European Put Wts Oct 2012 B	100,000,000	2012/03/30	2012/10/05	25.00
總 額					50,958.90

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2011	第1季	—	1.96
	第2季	—	0.60
	第3季	—	611.11
	第4季	—	229.59
2012	第1季	—	390.38

債券面值

		發行數目	面值(百萬港元)
2011	第1季	170	506,219.59
	第2季	173	523,451.54
	第3季	183	558,876.03
	第4季	192	588,875.47
2012	第1季	209	651,849.26

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2011	第1季	7	24,958.35
	第2季	13	43,572.09
	第3季	16	47,230.33
	第4季	14	36,727.82
2012	第1季	24	73,470.18

主板 債務證券

新上市債券

2012年第1季

股份 代號	債券	發行本金		上市日期	到期日	集資金額 (百萬元)
04209 #	中華人民共和國香港特別行政區政府2.46%債券2021年	HKD	1,500,000,000	2012/01/17	2021/08/04	1,571.85
86012	Agricultural Development Bank of China 3.00%人民幣債券2014年	RMB	2,100,000,000	2012/01/18	2014/01/17	2,581.74
86013	Agricultural Development Bank of China 3.20%人民幣債券2015年	RMB	550,000,000	2012/01/18	2015/01/17	676.17
86014	Agricultural Development Bank of China 3.50%人民幣債券2017年	RMB	350,000,000	2012/01/18	2017/01/17	430.29
04530	LS Finance (2017) Ltd. 5.25%有擔保債券2017年	USD	350,000,000	2012/01/27	2017/01/26	2,712.15
04527 #	SHK Ppt (Cap Market) Ltd. 3.50%有擔保美元票據2016年	USD	275,000,000	2012/02/06	2016/11/02	2,141.55
04531	Wharf Finance Ltd. 4.625%有擔保票據2017年	USD	600,000,000	2012/02/09	2017/02/08	4,657.30
04531 #	Wharf Finance Ltd. 4.625%有擔保票據2017年	USD	300,000,000	2012/02/09	2017/02/08	2,346.23
04532	Henderson Land MTN Ltd. 4.75%有擔保票據2017年	USD	400,000,000	2012/02/15	2017/02/14	3,095.51
04533	China Overseas Finance (Cayman) IV Ltd. 4.875% 有擔保票據2017年	USD	500,000,000	2012/02/16	2017/02/15	3,892.83
04023	香港金融管理局0.26%外匯基金票據2014年	HKD	1,200,000,000	2012/02/20	2014/02/17	1,199.88
86015	Baosteel Group Corporation 3.125%人民幣債券2013年	RMB	1,000,000,000	2012/02/20	2013/12/01	1,230.10
86016	Baosteel Group Corporation 3.50%人民幣債券2014年	RMB	2,100,000,000	2012/02/20	2014/12/01	2,583.21
86017	Baosteel Group Corporation 4.375%人民幣債券2016年	RMB	500,000,000	2012/02/20	2016/12/01	615.05
04532 #	Henderson Land MTN Ltd. 4.75%有擔保票據2017年	USD	300,000,000	2012/02/23	2017/02/14	2,321.89
04536	The Hong Kong Mortgage Corporation Ltd. 浮動利率票據2017年	AUD	500,000,000	2012/02/24	2017/02/22	4,122.88
04535	Wheelock Finance Ltd. 4.75%有擔保票據2017年	USD	400,000,000	2012/02/24	2017/02/23	3,109.46
04024	香港金融管理局1.51%外匯基金票據2027年	HKD	600,000,000	2012/02/27	2027/02/24	604.32
04534	SHK Properties (Capital Market) Ltd. 4.50%有擔保票據2022年	USD	500,000,000	2012/02/27	2022/02/14	3,861.00
04533 #	China Overseas Finance (Cayman) IV Ltd. 4.875%有擔保票據2017年	USD	250,000,000	2012/02/27	2017/02/15	1,946.39
04537	Swire Pacific MTN Financing Ltd. 4.5%有擔保票據2022年	USD	500,000,000	2012/02/29	2022/02/28	3,861.63
86018	Baosteel Group Corporation 3.25%人民幣債券2014年	RMB	500,000,000	2012/03/01	2014/03/01	615.45
86019	Baosteel Group Corporation 3.675%人民幣債券2015年	RMB	900,000,000	2012/03/01	2015/03/01	1,107.81
86020	Baosteel Group Corporation 4.15%人民幣債券2017年	RMB	1,500,000,000	2012/03/01	2017/03/01	1,846.35
04534 #	SHK Properties (Capital Market) Ltd. 4.50%有擔保票據2022年	USD	400,000,000	2012/03/02	2022/02/14	3,061.53
04535 #	Wheelock Finance Ltd. 4.75%有擔保票據2017年	USD	135,000,000	2012/03/02	2017/02/23	1,053.86
04212	CNPC (HK) Overseas Capital 3.125%有擔保優先票據2016年	HKD	3,500,000,000	2012/03/09	2014/03/10	3,498.25
04538	Henderson Land MTN Ltd. 2.16%有擔保票據2014年	HKD	500,000,000	2012/03/14	2014/03/13	500.00
04530 #	LS Finance (2017) Ltd. 5.25%有擔保債券2017年	USD	150,000,000	2012/03/16	2017/01/26	1,204.84
04001 #	香港金融管理局1.75%外匯基金票據2015年	HKD	1,200,000,000	2012/03/19	2015/03/23	1,247.64
04025	香港金融管理局0.55%外匯基金票據2017年	HKD	1,000,000,000	2012/03/20	2017/03/20	992.20
04538 #	Henderson Land MTN Ltd. 2.16%有擔保票據2014年	HKD	140,000,000	2012/03/21	2014/03/13	140.00
04539	中信泰富有限公司6.875%票據2018年	USD	750,000,000	2012/03/22	2018/01/21	5,850.00
04540	Pulai Capital Ltd. 可換股信託債券2019年	USD	357,800,000	2012/03/23	2019/03/22	2,790.84
總額						73,470.18

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2011	第1季	158,281.12	13,623.13	220.01	172,124.26	3.67
	第2季	127,347.26	16,652.86	105.65	144,105.78	3.45
	第3季	153,336.68	13,347.77	93.94	166,778.38	3.66
	第4季	106,330.42	11,900.43	78.91	118,309.77	3.22
2012	第1季	115,613.41	13,420.51	82.93	129,116.85	3.36

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2011	第1季	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	第2季	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
	第3季	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	第4季	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	第1季	89	759,673.29	9	131,556.21	2	2,391.86	100	893,621.36

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2011	第1季	3	—
	第2季	5	12,507.88
	第3季	—	—
	第4季	1	—
2012	第1季	24	136.80

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2012年第1季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
03026	db x-trackers II 澳元現金ETF	DB Platinum Advisors	n.a.	n.a.	2012/01/12
03055	db x-trackers MSCI中國總回報淨值指數ETF	DB Platinum Advisors	n.a.	n.a.	2012/01/12
03045	db x-trackers MSCI印度總回報淨值指數ETF	DB Platinum Advisors	n.a.	n.a.	2012/01/12
03099	db x-trackers MSCI印尼總回報淨值指數ETF	DB Platinum Advisors	n.a.	n.a.	2012/01/12
03082	db x-trackers MSCI馬來西亞總回報淨值指數ETF	DB Platinum Advisors	n.a.	n.a.	2012/01/12
03092	db x-trackers MSCI泰國總回報淨值指數ETF	DB Platinum Advisors	n.a.	n.a.	2012/01/12
03054	未來資產TIGER標普亞洲新興市場消費指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
03056	未來資產TIGER標普國際消費品牌指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
03064	未來資產TIGER標普亞洲(日本及澳紐除外) 金融指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
03066	未來資產TIGER標普亞洲(日本及澳紐除外) 資訊科技指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
03075	未來資產TIGER標普亞洲(日本及澳紐除外) 工業指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
03076	未來資產TIGER標普亞洲(日本及澳紐除外) 能源指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
03078	未來資產TIGER標普亞洲(日本及澳紐除外) 原材料指數ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2012/01/27
83168	恒生人民幣黃金ETF	Hang Seng Investment Management Limited	人民幣35.24	人民幣111.12 (約港元136.80)	2012/02/14
03070	中國平安CSI香港高息股ETF	Ping An of China Asset Management (Hong Kong) Company Limited	n.a.	n.a.	2012/02/15
03072	中國平安CSI香港中型股精選ETF	Ping An of China Asset Management (Hong Kong) Company Limited	n.a.	n.a.	2012/02/15
03098	中國平安CSI RAFI香港50 ETF	Ping An of China Asset Management (Hong Kong) Company Limited	n.a.	n.a.	2012/02/15
03029	易亞馬來西亞(富時馬來西亞交易所KLCI)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/16
03069	易亞泰國(SET50)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/16
03089	易亞台灣(TAIEX)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/16
03090	易亞韓國(KOSPI 200)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/16
03031	易亞印尼(LQ45)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/21
03037	易亞菲律賓(PSEi)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/21
03091	易亞印度(標準普爾CNX Nifty)ETF	Enhanced Investment Products Limited	n.a.	n.a.	2012/02/21

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2011	第1季	974	43,399.41	367,097.05
	第2季	711	32,550.52	325,829.26
	第3季	642	45,153.85	518,147.45
	第4季	901	43,598.79	641,062.38
2012	第1季	1,097	55,092.12	464,351.29

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2011	第1季	1,449	80,829.55
	第2季	931	54,073.65
	第3季	1,401	85,334.38
	第4季	1,553	90,461.98
2012	第1季	1,419	82,599.66

主板 可收回牛熊證

新上市牛熊證

2012年第1季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69796	Credit Suisse AG – China Life R Bull CBBC Jun 2012 D	80,000,000	2012/01/03	2012/06/18	23.60
69797	Credit Suisse AG – China Life R Bear CBBC Apr 2012 D	80,000,000	2012/01/03	2012/04/16	24.40
69798	Credit Suisse AG – HKEx R Bear CBBC Apr 2012 A	80,000,000	2012/01/03	2012/04/05	20.00
69802	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 G	60,000,000	2012/01/03	2012/04/30	15.00
69803	Credit Suisse AG – Ping An R Bear CBBC Jun 2012 F	60,000,000	2012/01/03	2012/06/18	15.00
69800	Credit Suisse AG – TCH R Bull CBBC Apr 2012 E	80,000,000	2012/01/03	2012/04/23	20.00
69799	Credit Suisse AG – TCH R Bull CBBC May 2012 D	80,000,000	2012/01/03	2012/05/28	20.80
69801	UBS AG – HSI R Bull CBBC Apr 2012 S	300,000,000	2012/01/03	2012/04/27	75.00
69805	UBS AG – HSI R Bull CBBC Jul 2012 X	300,000,000	2012/01/03	2012/07/30	75.00
69807	UBS AG – HSI R Bull CBBC Jul 2012 Y	300,000,000	2012/01/03	2012/07/30	75.00
69804	UBS AG – HSI R Bull CBBC Aug 2012 I	300,000,000	2012/01/03	2012/08/30	75.00
69806	UBS AG – HSI R Bull CBBC Aug 2012 J	300,000,000	2012/01/03	2012/08/30	75.00
69809	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2012/01/03	2012/06/28	75.00
69811	UBS AG – HSI R Bear CBBC Jul 2012 Z	300,000,000	2012/01/03	2012/07/30	75.00
69810	UBS AG – HSI R Bear CBBC Aug 2012 K	300,000,000	2012/01/03	2012/08/30	75.00
69826	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 G	200,000,000	2012/01/04	2012/06/28	50.00
69827	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 H	200,000,000	2012/01/04	2012/06/28	50.00
69828	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 I	200,000,000	2012/01/04	2012/06/28	50.00
69817	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/01/04	2012/07/30	100.00
69816	Credit Suisse AG – HSI R Bear CBBC Apr 2012 O	400,000,000	2012/01/04	2012/04/27	100.00
69821	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 I	128,000,000	2012/01/04	2012/06/28	32.00
69823	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 J	128,000,000	2012/01/04	2012/06/28	32.00
69815	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 U	128,000,000	2012/01/04	2012/04/27	32.00
69824	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12O	300,000,000	2012/01/04	2012/04/27	75.00
69825	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	300,000,000	2012/01/04	2012/05/30	75.00
69818	UBS AG – HSI R Bull CBBC Apr 2012 N	300,000,000	2012/01/04	2012/04/27	75.00
69822	UBS AG – HSI R Bull CBBC Jun 2012 D	300,000,000	2012/01/04	2012/06/28	75.00
69814	UBS AG – HSI R Bear CBBC May 2012 W	300,000,000	2012/01/04	2012/05/30	75.00
69819	UBS AG – Ping An R Bear CBBC Apr 2012 B	100,000,000	2012/01/04	2012/04/30	25.00
69820	UBS AG – TCH R Bull CBBC Apr 2012 G	100,000,000	2012/01/04	2012/04/10	25.00
69829	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/01/05	2012/08/30	100.00
69830	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/01/05	2012/08/30	100.00
69831	UBS AG – HSI R Bear CBBC Apr 2012 F	300,000,000	2012/01/05	2012/04/27	75.00
69840	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Oct 2012 B	100,000,000	2012/01/06	2012/10/30	25.00
69841	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2012/01/06	2012/04/27	50.00
69832	Credit Suisse AG – A50 China R Bear CBBC Apr 2012 A	60,000,000	2012/01/06	2012/04/23	15.00
69837	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/01/06	2012/07/30	100.00
69836	Credit Suisse AG – HSI R Bear CBBC Apr 2012 T	400,000,000	2012/01/06	2012/04/27	100.00
69838	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12X	300,000,000	2012/01/06	2012/05/30	75.00
69843	Credit Suisse AG – HSI R Bear CBBC Apr 2012 U	400,000,000	2012/01/09	2012/04/27	100.00
69842	Credit Suisse AG – HSI R Bear CBBC May 2012 P	400,000,000	2012/01/09	2012/05/30	100.00
69844	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/01/09	2012/06/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69866	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 J	200,000,000	2012/01/10	2012/06/28	50.00
69867	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 K	200,000,000	2012/01/10	2012/06/28	50.00
69848	Credit Suisse AG – ABC R Bull CBBC Oct 2012 A	50,000,000	2012/01/10	2012/10/15	12.50
69849	Credit Suisse AG – ABC R Bear CBBC Jul 2012 B	50,000,000	2012/01/10	2012/07/23	12.50
69847	Credit Suisse AG – CCB R Bull CBBC Jul 2012 A	50,000,000	2012/01/10	2012/07/23	12.50
69851	Credit Suisse AG – China Life R Bear CBBC Apr 2012 E	100,000,000	2012/01/10	2012/04/16	29.00
69852	Credit Suisse AG – A50 China R Bull CBBC May 2012 B	50,000,000	2012/01/10	2012/05/28	12.50
69853	Credit Suisse AG – A50 China R Bear CBBC Apr 2012 B	50,000,000	2012/01/10	2012/04/23	12.50
69856	Credit Suisse AG – HSI R Bull CBBC Jul 2012 L	400,000,000	2012/01/10	2012/07/30	100.00
69855	Credit Suisse AG – HSI R Bull CBBC Aug 2012 M	400,000,000	2012/01/10	2012/08/30	100.00
69854	Credit Suisse AG – HSI R Bear CBBC Apr 2012 K	400,000,000	2012/01/10	2012/04/27	100.00
69846	Credit Suisse AG – ICBC R Bull CBBC Jul 2012 A	50,000,000	2012/01/10	2012/07/23	12.50
69850	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 G	50,000,000	2012/01/10	2012/06/28	12.50
69860	Daiwa Capital Mkt – A50 China R Bull CBBC May 2012 D	80,000,000	2012/01/10	2012/05/08	20.00
69861	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 K	128,000,000	2012/01/10	2012/06/28	32.00
69862	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 L	128,000,000	2012/01/10	2012/06/28	32.00
69863	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 M	128,000,000	2012/01/10	2012/06/28	32.00
69864	Daiwa Capital Mkt – HSI R Bull CBBC Aug 2012 A	128,000,000	2012/01/10	2012/08/30	32.00
69865	Daiwa Capital Mkt – HSI R Bull CBBC Aug 2012 B	128,000,000	2012/01/10	2012/08/30	32.00
69859	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 V	128,000,000	2012/01/10	2012/04/27	32.00
69857	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12P	200,000,000	2012/01/10	2012/06/28	50.00
69858	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12Q	200,000,000	2012/01/10	2012/06/28	50.00
69879	UBS AG – AIA R Bull CBBC Jun 2012 B	50,000,000	2012/01/10	2012/06/18	12.50
69878	UBS AG – China Mobile R Bull CBBC May 2012 D	100,000,000	2012/01/10	2012/05/21	25.00
69874	UBS AG – COVS R Bull CBBC May 2012 B	50,000,000	2012/01/10	2012/05/21	12.50
69869	UBS AG – Cheung Kong R Bull CBBC Jun 2012 C	100,000,000	2012/01/10	2012/06/25	25.00
69880	UBS AG – China Life R Bull CBBC May 2012 H	100,000,000	2012/01/10	2012/05/07	25.00
69877	UBS AG – CNOOC R Bull CBBC Apr 2012 E	100,000,000	2012/01/10	2012/04/23	25.00
69873	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 C	100,000,000	2012/01/10	2012/06/11	25.00
69881	UBS AG – A50 China R Bear CBBC May 2012 G	100,000,000	2012/01/10	2012/05/14	25.00
69870	UBS AG – HSBC R Bull CBBC May 2012 A	100,000,000	2012/01/10	2012/05/07	25.00
69868	UBS AG – HSI R Bull CBBC Jun 2012 T	300,000,000	2012/01/10	2012/06/28	75.00
69845	UBS AG – HSI R Bear CBBC Jun 2012 O	300,000,000	2012/01/10	2012/06/28	75.00
69871	UBS AG – Hutchison R Bull CBBC Jun 2012 F	100,000,000	2012/01/10	2012/06/18	25.00
69876	UBS AG – Petrochina R Bull CBBC Jun 2012 A	100,000,000	2012/01/10	2012/06/18	25.00
69872	UBS AG – SHK Ppt R Bull CBBC Jun 2012 B	100,000,000	2012/01/10	2012/06/04	25.00
69875	UBS AG – TCH R Bull CBBC Apr 2012 H	100,000,000	2012/01/10	2012/04/23	25.00
69902	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2012/01/11	2012/04/27	50.00
69888	Credit Suisse AG – China Life R Bull CBBC May 2012 C	100,000,000	2012/01/11	2012/05/28	25.00
69889	Credit Suisse AG – HSBC R Bull CBBC Jun 2012 C	50,000,000	2012/01/11	2012/06/18	12.50
69890	Credit Suisse AG – HSI R Bull CBBC Jun 2012 T	400,000,000	2012/01/11	2012/06/28	100.00
69892	Credit Suisse AG – HSI R Bull CBBC Oct 2012 A	200,000,000	2012/01/11	2012/10/30	50.00
69891	Credit Suisse AG – HSI R Bear CBBC Apr 2012 N	400,000,000	2012/01/11	2012/04/27	100.00
69899	Credit Suisse AG – HSI R Bear CBBC Apr 2012 Q	400,000,000	2012/01/11	2012/04/27	100.00
69893	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 W	168,000,000	2012/01/11	2012/04/27	42.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69898	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 X	128,000,000	2012/01/11	2012/04/27	32.00
69884	HK Bank – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/01/11	2012/09/27	50.00
69883	HK Bank – PetCh R Bull CBBC Nov 2012 B	80,000,000	2012/01/11	2012/11/16	20.00
69882	HK Bank – PetCh R Bear CBBC Aug 2012 A	80,000,000	2012/01/11	2012/08/28	20.00
69886	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12R	200,000,000	2012/01/11	2012/06/28	50.00
69887	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12S	200,000,000	2012/01/11	2012/06/28	50.00
69885	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	200,000,000	2012/01/11	2012/05/30	50.00
69894	UBS AG – ABC R Bull CBBC Sep 2012 A	100,000,000	2012/01/11	2012/09/24	25.00
69897	UBS AG – ABC R Bear CBBC Jul 2012 B	100,000,000	2012/01/11	2012/07/09	25.00
69900	UBS AG – Sinopec Corp R Bear CBBC Jun 2012 D	100,000,000	2012/01/11	2012/06/25	25.00
69896	UBS AG – HSI R Bull CBBC May 2012 A	300,000,000	2012/01/11	2012/05/30	75.00
69901	UBS AG – HSI R Bear CBBC Jul 2012 B	300,000,000	2012/01/11	2012/07/30	75.00
69895	UBS AG – ICBC R Bull CBBC Jul 2012 E	100,000,000	2012/01/11	2012/07/16	25.00
69907	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 A	200,000,000	2012/01/12	2012/12/28	50.00
69909	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 L	200,000,000	2012/01/12	2012/06/28	50.00
69908	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	400,000,000	2012/01/12	2012/06/28	100.00
69905	Credit Suisse AG – HSI R Bull CBBC Sep 2012 C	400,000,000	2012/01/12	2012/09/27	100.00
69904	Credit Suisse AG – HSI R Bear CBBC May 2012 K	400,000,000	2012/01/12	2012/05/30	100.00
69903	HK Bank – CNOOC R Bull CBBC Oct 2012 C	80,000,000	2012/01/12	2012/10/18	21.60
69913	UBS AG – AIA R Bear CBBC Apr 2012 C	50,000,000	2012/01/12	2012/04/23	12.50
69916	UBS AG – BOCL R Bull CBBC Oct 2012 A	100,000,000	2012/01/12	2012/10/29	25.00
69912	UBS AG – China Mobile R Bear CBBC May 2012 E	100,000,000	2012/01/12	2012/05/21	25.00
69911	UBS AG – Sinopec Corp R Bear CBBC Apr 2012 C	100,000,000	2012/01/12	2012/04/23	25.00
69910	UBS AG – HSI R Bull CBBC Jun 2012 M	300,000,000	2012/01/12	2012/06/28	75.00
69906	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/01/12	2012/06/28	75.00
69914	UBS AG – ICBC R Bear CBBC Apr 2012 G	100,000,000	2012/01/12	2012/04/30	25.00
69915	UBS AG – Ping An R Bull CBBC Jun 2012 E	100,000,000	2012/01/12	2012/06/25	25.00
69928	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 L	200,000,000	2012/01/13	2012/04/27	50.00
69918	Credit Suisse AG – HSI R Bull CBBC Aug 2012 N	400,000,000	2012/01/13	2012/08/30	100.00
69921	Credit Suisse AG – HSI R Bear CBBC Apr 2012 O	400,000,000	2012/01/13	2012/04/27	100.00
69919	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 N	128,000,000	2012/01/13	2012/06/28	32.00
69922	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 Y	128,000,000	2012/01/13	2012/04/27	32.00
69920	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	200,000,000	2012/01/13	2012/05/30	50.00
69926	UBS AG – A50 China R Bull CBBC Apr 2012 C	100,000,000	2012/01/13	2012/04/23	25.00
69927	UBS AG – A50 China R Bull CBBC May 2012 H	100,000,000	2012/01/13	2012/05/14	25.00
69925	UBS AG – HKEx R Bear CBBC May 2012 F	100,000,000	2012/01/13	2012/05/07	25.00
69923	UBS AG – HSCEI R Bull CBBC Jul 2012 A	100,000,000	2012/01/13	2012/07/30	25.00
69917	UBS AG – HSI R Bull CBBC Jul 2012 C	300,000,000	2012/01/13	2012/07/30	75.00
69924	UBS AG – HSI R Bear CBBC Jul 2012 I	300,000,000	2012/01/13	2012/07/30	75.00
69944	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 M	200,000,000	2012/01/16	2012/06/28	50.00
69945	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 N	200,000,000	2012/01/16	2012/06/28	50.00
69934	Credit Suisse AG – HSI R Bull CBBC Jul 2012 M	400,000,000	2012/01/16	2012/07/30	100.00
69936	Credit Suisse AG – HSI R Bear CBBC Apr 2012 U	400,000,000	2012/01/16	2012/04/27	100.00
69935	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/01/16	2012/05/30	100.00
69931	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2012 C	40,000,000	2012/01/16	2012/07/30	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69932	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2012 D	40,000,000	2012/01/16	2012/07/30	10.00
69929	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2012 A	40,000,000	2012/01/16	2012/07/30	10.00
69930	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2012 B	40,000,000	2012/01/16	2012/07/30	10.00
69939	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12T	200,000,000	2012/01/16	2012/06/28	50.00
69938	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12V	200,000,000	2012/01/16	2012/05/30	50.00
69937	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12X	200,000,000	2012/01/16	2012/05/30	50.00
69942	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun 12U	200,000,000	2012/01/16	2012/06/28	50.00
69933	UBS AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2012/01/16	2012/04/27	75.00
69940	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2012/01/16	2012/04/27	75.00
69965	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 O	200,000,000	2012/01/17	2012/06/28	50.00
69954	Credit Suisse AG – China Mobile R Bear CBBC Apr 2012 B	50,000,000	2012/01/17	2012/04/30	12.50
69956	Credit Suisse AG – CNOOC R Bull CBBC Jun 2012 C	50,000,000	2012/01/17	2012/06/18	12.50
69958	Credit Suisse AG – Sinopec Corp R Bull CBBC Jun 2012 A	50,000,000	2012/01/17	2012/06/25	12.50
69957	Credit Suisse AG – Sinopec Corp R Bear CBBC May 2012 A	50,000,000	2012/01/17	2012/05/21	12.50
69964	Credit Suisse AG – HSI R Bull CBBC Jul 2012 N	400,000,000	2012/01/17	2012/07/30	100.00
69960	Credit Suisse AG – HSI R Bull CBBC Aug 2012 O	400,000,000	2012/01/17	2012/08/30	100.00
69961	Credit Suisse AG – HSI R Bull CBBC Aug 2012 P	400,000,000	2012/01/17	2012/08/30	100.00
69953	Credit Suisse AG – HSI R Bear CBBC Apr 2012 K	400,000,000	2012/01/17	2012/04/27	100.00
69955	Credit Suisse AG – ICBC R Bear CBBC Jun 2012 B	50,000,000	2012/01/17	2012/06/25	12.50
69963	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Apr 2012 B	100,000,000	2012/01/17	2012/04/27	25.00
69962	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Apr 2012 A	100,000,000	2012/01/17	2012/04/27	25.00
69951	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 Z	128,000,000	2012/01/17	2012/04/27	32.00
69946	J P Morgan SP BV – HSI R Bull CBBC May 2012 I	200,000,000	2012/01/17	2012/05/30	50.00
69947	J P Morgan SP BV – HSI R Bull CBBC May 2012 J	200,000,000	2012/01/17	2012/05/30	50.00
69948	J P Morgan SP BV – HSI R Bull CBBC May 2012 K	200,000,000	2012/01/17	2012/05/30	50.00
69949	J P Morgan SP BV – HSI R Bear CBBC May 2012 L	200,000,000	2012/01/17	2012/05/30	50.00
69950	J P Morgan SP BV – HSI R Bear CBBC May 2012 M	200,000,000	2012/01/17	2012/05/30	50.00
69966	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12V	200,000,000	2012/01/17	2012/06/28	50.00
69967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12A	200,000,000	2012/01/17	2012/07/30	73.00
69968	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12B	200,000,000	2012/01/17	2012/07/30	70.00
69969	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/01/17	2012/07/30	67.00
69970	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/01/17	2012/07/30	65.00
69971	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12E	200,000,000	2012/01/17	2012/07/30	62.00
69972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/01/17	2012/07/30	59.00
69973	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/01/17	2012/07/30	56.00
69974	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/01/17	2012/07/30	53.00
69975	UBS AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2012/01/17	2012/04/27	75.00
69976	UBS AG – HSI R Bull CBBC May 2012 W	300,000,000	2012/01/17	2012/05/30	75.00
69952	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2012/01/17	2012/05/30	75.00
69977	UBS AG – TCH R Bull CBBC Aug 2012 A	100,000,000	2012/01/17	2012/08/20	25.00
69993	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 P	200,000,000	2012/01/18	2012/06/28	50.00
69994	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 Q	200,000,000	2012/01/18	2012/06/28	50.00
69979	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	400,000,000	2012/01/18	2012/06/28	100.00
69989	Credit Suisse AG – HSI R Bull CBBC Jul 2012 O	400,000,000	2012/01/18	2012/07/30	100.00
69984	Credit Suisse AG – HSI R Bear CBBC Apr 2012 T	400,000,000	2012/01/18	2012/04/27	100.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69981	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/01/18	2012/06/28	100.00
69982	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/01/18	2012/07/30	100.00
69980	Credit Suisse AG – TCH R Bull CBBC Jun 2012 A	50,000,000	2012/01/18	2012/06/25	12.50
69987	Credit Suisse AG – TCH R Bull CBBC Jun 2012 B	50,000,000	2012/01/18	2012/06/25	12.50
69992	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2012 A	80,000,000	2012/01/18	2012/06/28	20.00
69990	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 A	128,000,000	2012/01/18	2012/04/27	32.00
69991	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 O	128,000,000	2012/01/18	2012/06/28	32.00
69983	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12W	200,000,000	2012/01/18	2012/06/28	50.00
69985	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	200,000,000	2012/01/18	2012/05/30	50.00
69998	UBS AG – China Life R Bull CBBC Jun 2012 F	100,000,000	2012/01/18	2012/06/11	37.50
69996	UBS AG – A50 China R Bull CBBC May 2012 I	100,000,000	2012/01/18	2012/05/07	25.00
69997	UBS AG – A50 China R Bull CBBC May 2012 J	100,000,000	2012/01/18	2012/05/21	25.00
69978	UBS AG – HSI R Bull CBBC May 2012 T	300,000,000	2012/01/18	2012/05/30	75.00
60000	UBS AG – HSI R Bull CBBC Jun 2012 P	300,000,000	2012/01/18	2012/06/28	75.00
60002	UBS AG – HSI R Bull CBBC Aug 2012 L	300,000,000	2012/01/18	2012/08/30	75.00
69986	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2012/01/18	2012/06/28	75.00
60001	UBS AG – Petrochina R Bull CBBC Jul 2012 B	100,000,000	2012/01/18	2012/07/16	25.00
69995	UBS AG – TCH R Bear CBBC Apr 2012 I	100,000,000	2012/01/18	2012/04/23	25.00
60013	Credit Suisse AG – ABC R Bull CBBC Sep 2012 A	50,000,000	2012/01/19	2012/09/24	12.50
60016	Credit Suisse AG – A50 China R Bull CBBC Apr 2012 C	50,000,000	2012/01/19	2012/04/30	12.50
60011	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	400,000,000	2012/01/19	2012/06/28	100.00
60012	Credit Suisse AG – HSI R Bull CBBC Jul 2012 U	400,000,000	2012/01/19	2012/07/30	100.00
60008	Credit Suisse AG – HSI R Bear CBBC May 2012 G	400,000,000	2012/01/19	2012/05/30	100.00
60014	Credit Suisse AG – ICBC R Bull CBBC Jun 2012 C	50,000,000	2012/01/19	2012/06/25	12.50
60015	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 H	50,000,000	2012/01/19	2012/06/25	12.50
60010	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12X	200,000,000	2012/01/19	2012/06/28	50.00
60009	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12T	200,000,000	2012/01/19	2012/05/30	50.00
60003	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12I	200,000,000	2012/01/19	2012/07/30	82.00
60004	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12J	200,000,000	2012/01/19	2012/07/30	80.00
60005	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12K	200,000,000	2012/01/19	2012/07/30	77.00
60006	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12L	200,000,000	2012/01/19	2012/07/30	74.00
60007	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12M	200,000,000	2012/01/19	2012/07/30	72.00
60019	UBS AG – HSI R Bull CBBC Sep 2012 D	300,000,000	2012/01/19	2012/09/27	75.00
60018	UBS AG – HSI R Bear CBBC Apr 2012 F	300,000,000	2012/01/19	2012/04/27	75.00
60017	UBS AG – HSI R Bear CBBC Sep 2012 C	300,000,000	2012/01/19	2012/09/27	75.00
60024	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 R	200,000,000	2012/01/20	2012/06/28	50.00
60022	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	400,000,000	2012/01/20	2012/06/28	100.00
60023	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 K	128,000,000	2012/01/20	2012/05/30	32.00
60028	UBS AG – ABC R Bull CBBC Sep 2012 B	100,000,000	2012/01/20	2012/09/03	25.00
60032	UBS AG – CC Bank R Bull CBBC Jul 2012 C	100,000,000	2012/01/20	2012/07/09	25.00
60025	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 E	100,000,000	2012/01/20	2012/06/18	25.00
60033	UBS AG – China Shenhua R Bull CBBC Aug 2012 A	100,000,000	2012/01/20	2012/08/06	53.00
60034	UBS AG – China Shenhua R Bear CBBC Aug 2012 B	100,000,000	2012/01/20	2012/08/20	47.50
60026	UBS AG – HKEx R Bull CBBC May 2012 G	100,000,000	2012/01/20	2012/05/28	25.00
60020	UBS AG – HSI R Bull CBBC Aug 2012 M	300,000,000	2012/01/20	2012/08/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60031	UBS AG – HSI R Bull CBBC Aug 2012 N	300,000,000	2012/01/20	2012/08/30	75.00
60021	UBS AG – HSI R Bull CBBC Sep 2012 E	300,000,000	2012/01/20	2012/09/27	75.00
60029	UBS AG – ICBC R Bull CBBC Jun 2012 B	100,000,000	2012/01/20	2012/06/18	25.00
60035	UBS AG – Petrochina R Bear CBBC Jun 2012 B	100,000,000	2012/01/20	2012/06/18	25.00
60030	UBS AG – Ping An R Bull CBBC Jun 2012 F	100,000,000	2012/01/20	2012/06/18	25.00
60027	UBS AG – TCH R Bull CBBC Jun 2012 I	100,000,000	2012/01/20	2012/06/18	25.00
60037	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	200,000,000	2012/01/26	2012/04/27	50.00
60036	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12Y	200,000,000	2012/01/26	2012/06/28	50.00
60070	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 B	200,000,000	2012/01/27	2012/12/28	50.00
60075	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 S	200,000,000	2012/01/27	2012/06/28	50.00
60076	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 T	200,000,000	2012/01/27	2012/06/28	50.00
60077	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 U	200,000,000	2012/01/27	2012/06/28	50.00
60078	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 V	200,000,000	2012/01/27	2012/06/28	50.00
60073	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2012/01/27	2012/04/27	50.00
60071	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	200,000,000	2012/01/27	2012/04/27	50.00
60074	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2012/01/27	2012/04/27	50.00
60072	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	200,000,000	2012/01/27	2012/04/27	50.00
60080	Credit Suisse AG – HSI R Bull CBBC Apr 2012 K	400,000,000	2012/01/27	2012/04/27	100.00
60079	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	400,000,000	2012/01/27	2012/04/27	100.00
60041	Credit Suisse AG – HSI R Bear CBBC Apr 2012 N	400,000,000	2012/01/27	2012/04/27	100.00
60045	Credit Suisse AG – HSI R Bear CBBC Apr 2012 O	400,000,000	2012/01/27	2012/04/27	100.00
60044	Credit Suisse AG – HSI R Bear CBBC Apr 2012 Q	400,000,000	2012/01/27	2012/04/27	100.00
60047	Credit Suisse AG – HSI R Bear CBBC Jul 2012 J	400,000,000	2012/01/27	2012/07/30	100.00
60046	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/01/27	2012/07/30	100.00
60048	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Q	400,000,000	2012/01/27	2012/08/30	102.00
60049	Credit Suisse AG – HSI R Bear CBBC Aug 2012 R	400,000,000	2012/01/27	2012/08/30	122.00
60050	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/01/27	2012/08/30	100.00
60051	Credit Suisse AG – HSI R Bear CBBC Aug 2012 T	400,000,000	2012/01/27	2012/08/30	130.00
60052	Credit Suisse AG – HSI R Bear CBBC Sep 2012 D	400,000,000	2012/01/27	2012/09/27	136.00
60053	Credit Suisse AG – HSI R Bear CBBC Sep 2012 E	400,000,000	2012/01/27	2012/09/27	100.00
60054	Credit Suisse AG – HSI R Bear CBBC Sep 2012 F	400,000,000	2012/01/27	2012/09/27	106.00
60055	Credit Suisse AG – HSI R Bear CBBC Sep 2012 G	400,000,000	2012/01/27	2012/09/27	100.00
60063	Daiwa Capital Mkt – A50 China R Bull CBBC Apr 2012 D	80,000,000	2012/01/27	2012/04/27	20.00
60062	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2012 B	80,000,000	2012/01/27	2012/06/28	20.00
60060	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 P	128,000,000	2012/01/27	2012/06/28	32.00
60061	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Q	128,000,000	2012/01/27	2012/06/28	32.00
60043	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 B	128,000,000	2012/01/27	2012/04/27	32.00
60058	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 C	128,000,000	2012/01/27	2012/04/27	32.00
60040	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Aug 2012 A	40,000,000	2012/01/27	2012/08/30	10.20
60038	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12P	200,000,000	2012/01/27	2012/04/27	50.00
60066	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12U	200,000,000	2012/01/27	2012/06/28	50.00
60065	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12Z	200,000,000	2012/01/27	2012/06/28	50.00
60039	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12U	200,000,000	2012/01/27	2012/05/30	50.00
60057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12V	200,000,000	2012/01/27	2012/05/30	50.00
60056	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	200,000,000	2012/01/27	2012/05/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60067	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12N	200,000,000	2012/01/27	2012/07/30	78.00
60068	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12O	200,000,000	2012/01/27	2012/07/30	76.00
60069	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12P	200,000,000	2012/01/27	2012/07/30	73.00
60089	UBS AG – BOCL R Bull CBBC Sep 2012 A	100,000,000	2012/01/27	2012/09/24	25.00
60095	UBS AG – CUni R Bull CBBC Jul 2012 B	100,000,000	2012/01/27	2012/07/09	26.50
60094	UBS AG – CUni R Bear CBBC Jul 2012 A	100,000,000	2012/01/27	2012/07/23	29.00
60092	UBS AG – China Life R Bull CBBC May 2012 I	100,000,000	2012/01/27	2012/05/21	25.00
60091	UBS AG – A50 China R Bull CBBC Jun 2012 D	100,000,000	2012/01/27	2012/06/04	25.00
60098	UBS AG – HKEx R Bull CBBC Jun 2012 G	100,000,000	2012/01/27	2012/06/11	25.00
60099	UBS AG – HSBC R Bull CBBC May 2012 B	100,000,000	2012/01/27	2012/05/28	25.00
60083	UBS AG – HSCEI R Bull CBBC Aug 2012 A	100,000,000	2012/01/27	2012/08/30	25.00
60082	UBS AG – HSCEI R Bull CBBC Sep 2012 B	100,000,000	2012/01/27	2012/09/27	25.00
60081	UBS AG – HSCEI R Bear CBBC May 2012 C	100,000,000	2012/01/27	2012/05/30	25.00
60088	UBS AG – HSI R Bull CBBC Jul 2012 H	300,000,000	2012/01/27	2012/07/30	75.00
60087	UBS AG – HSI R Bull CBBC Aug 2012 O	300,000,000	2012/01/27	2012/08/30	75.00
60086	UBS AG – HSI R Bull CBBC Sep 2012 F	300,000,000	2012/01/27	2012/09/27	75.00
60042	UBS AG – HSI R Bear CBBC Apr 2012 N	300,000,000	2012/01/27	2012/04/27	75.00
60059	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2012/01/27	2012/05/30	75.00
60093	UBS AG – ICBC R Bull CBBC Jun 2012 C	100,000,000	2012/01/27	2012/06/11	25.00
60097	UBS AG – TCH R Bull CBBC May 2012 G	100,000,000	2012/01/27	2012/05/28	25.00
60096	UBS AG – TCH R Bear CBBC Aug 2012 B	100,000,000	2012/01/27	2012/08/06	51.00
69991 [#]	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 O	140,000,000	2012/01/27	2012/06/28	11.76
60159	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 W	200,000,000	2012/01/30	2012/06/28	50.00
60108	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 Q	200,000,000	2012/01/30	2012/05/30	50.00
60105	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 R	200,000,000	2012/01/30	2012/05/30	50.00
60106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 S	200,000,000	2012/01/30	2012/05/30	50.00
60107	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 T	200,000,000	2012/01/30	2012/05/30	50.00
60140	Credit Suisse AG – CCB R Bull CBBC May 2012 C	70,000,000	2012/01/30	2012/05/28	17.50
60121	Credit Suisse AG – China Life R Bull CBBC May 2012 D	70,000,000	2012/01/30	2012/05/28	17.50
60141	Credit Suisse AG – Sinopec Corp R Bull CBBC Jun 2012 B	70,000,000	2012/01/30	2012/06/25	17.50
60117	Credit Suisse AG – A50 China R Bull CBBC May 2012 C	70,000,000	2012/01/30	2012/05/28	17.50
60118	Credit Suisse AG – A50 China R Bear CBBC May 2012 D	70,000,000	2012/01/30	2012/05/28	17.50
60122	Credit Suisse AG – HKEx R Bull CBBC May 2012 E	70,000,000	2012/01/30	2012/05/28	17.50
60123	Credit Suisse AG – HKEx R Bull CBBC Jun 2012 A	70,000,000	2012/01/30	2012/06/25	17.50
60124	Credit Suisse AG – HKEx R Bear CBBC May 2012 F	70,000,000	2012/01/30	2012/05/28	17.50
60125	Credit Suisse AG – HKEx R Bear CBBC Jun 2012 B	70,000,000	2012/01/30	2012/06/25	17.50
60142	Credit Suisse AG – HSBC R Bear CBBC Jun 2012 D	70,000,000	2012/01/30	2012/06/18	17.50
60129	Credit Suisse AG – HSI R Bull CBBC May 2012 B	400,000,000	2012/01/30	2012/05/30	100.00
60130	Credit Suisse AG – HSI R Bull CBBC May 2012 P	400,000,000	2012/01/30	2012/05/30	100.00
60131	Credit Suisse AG – HSI R Bull CBBC Jun 2012 P	400,000,000	2012/01/30	2012/06/28	100.00
60116	Credit Suisse AG – HSI R Bear CBBC May 2012 L	400,000,000	2012/01/30	2012/05/30	100.00
60137	Credit Suisse AG – HSI R Bear CBBC Jun 2012 V	400,000,000	2012/01/30	2012/06/28	142.00
60135	Credit Suisse AG – HSI R Bear CBBC Aug 2012 U	400,000,000	2012/01/30	2012/08/30	134.00
60132	Credit Suisse AG – HSI R Bear CBBC Sep 2012 H	400,000,000	2012/01/30	2012/09/27	126.00
60134	Credit Suisse AG – HSI R Bear CBBC Oct 2012 B	400,000,000	2012/01/30	2012/10/30	110.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60136	Credit Suisse AG – HSI R Bear CBBC Oct 2012 C	400,000,000	2012/01/30	2012/10/30	116.00
60138	Credit Suisse AG – HSI R Bear CBBC Oct 2012 D	400,000,000	2012/01/30	2012/10/30	148.00
60119	Credit Suisse AG – Hutchison R Bull CBBC May 2012 A	70,000,000	2012/01/30	2012/05/28	17.50
60120	Credit Suisse AG – Hutchison R Bear CBBC May 2012 B	70,000,000	2012/01/30	2012/05/28	17.50
60139	Credit Suisse AG – ICBC R Bull CBBC Jun 2012 D	70,000,000	2012/01/30	2012/06/25	17.50
60126	Credit Suisse AG – TCH R Bull CBBC May 2012 E	70,000,000	2012/01/30	2012/05/28	17.50
60128	Credit Suisse AG – TCH R Bear CBBC Jun 2012 C	70,000,000	2012/01/30	2012/06/25	22.40
60152	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 M	128,000,000	2012/01/30	2012/05/30	32.00
60151	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	128,000,000	2012/01/30	2012/05/30	32.00
60103	HK Bank – A50 R Bull CBBC Oct 2012 A	120,000,000	2012/01/30	2012/10/12	30.00
60104	HK Bank – HSI R Bull CBBC Aug 2012 I	250,000,000	2012/01/30	2012/08/30	62.50
60101	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 A	40,000,000	2012/01/30	2012/09/27	10.00
60102	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 B	40,000,000	2012/01/30	2012/09/27	10.20
60112	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12H	200,000,000	2012/01/30	2012/05/30	50.00
60111	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12X	200,000,000	2012/01/30	2012/05/30	50.00
60113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Q	200,000,000	2012/01/30	2012/07/30	81.00
60114	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12R	200,000,000	2012/01/30	2012/07/30	84.00
60158	UBS AG – CC Bank R Bull CBBC Jun 2012 A	100,000,000	2012/01/30	2012/06/04	25.00
60156	UBS AG – A50 China R Bear CBBC Jun 2012 E	100,000,000	2012/01/30	2012/06/04	25.00
60150	UBS AG – HSI R Bull CBBC Sep 2012 I	300,000,000	2012/01/30	2012/09/27	75.00
60155	UBS AG – HSI R Bull CBBC Sep 2012 J	300,000,000	2012/01/30	2012/09/27	75.00
60115	UBS AG – HSI R Bear CBBC May 2012 G	300,000,000	2012/01/30	2012/05/30	75.00
60148	UBS AG – HSI R Bear CBBC Jun 2012 D	300,000,000	2012/01/30	2012/06/28	75.00
60147	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/01/30	2012/07/30	75.00
60149	UBS AG – HSI R Bear CBBC Jul 2012 P	300,000,000	2012/01/30	2012/07/30	75.00
60144	UBS AG – HSI R Bear CBBC Aug 2012 P	300,000,000	2012/01/30	2012/08/30	85.50
60146	UBS AG – HSI R Bear CBBC Aug 2012 Q	300,000,000	2012/01/30	2012/08/30	75.00
60143	UBS AG – HSI R Bear CBBC Sep 2012 G	300,000,000	2012/01/30	2012/09/27	91.50
60145	UBS AG – HSI R Bear CBBC Sep 2012 H	300,000,000	2012/01/30	2012/09/27	79.50
60157	UBS AG – ICBC R Bear CBBC Aug 2012 A	100,000,000	2012/01/30	2012/08/13	25.00
60192	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2012 C	200,000,000	2012/01/31	2012/12/28	50.00
60199	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 A	200,000,000	2012/01/31	2012/06/28	50.00
60200	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 B	200,000,000	2012/01/31	2012/06/28	50.00
60197	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 Z	200,000,000	2012/01/31	2012/06/28	50.00
60194	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 L	200,000,000	2012/01/31	2012/05/30	50.00
60196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 M	200,000,000	2012/01/31	2012/05/30	50.00
60193	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2012 X	200,000,000	2012/01/31	2012/06/28	50.00
60195	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2012 Y	200,000,000	2012/01/31	2012/06/28	50.00
60172	Credit Suisse AG – HSI R Bull CBBC Jul 2012 R	400,000,000	2012/01/31	2012/07/30	100.00
60173	Credit Suisse AG – HSI R Bull CBBC Aug 2012 W	400,000,000	2012/01/31	2012/08/30	100.00
60169	Credit Suisse AG – HSI R Bear CBBC May 2012 K	400,000,000	2012/01/31	2012/05/30	100.00
60170	Credit Suisse AG – HSI R Bear CBBC Jul 2012 K	400,000,000	2012/01/31	2012/07/30	100.00
60171	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/01/31	2012/08/30	100.00
60177	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 O	188,000,000	2012/01/31	2012/05/30	47.00
60178	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 P	128,000,000	2012/01/31	2012/05/30	32.00

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60167	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 N	128,000,000	2012/01/31	2012/05/30	32.00
60187	J P Morgan SP BV – HSI R Bull CBBC May 2012 O	200,000,000	2012/01/31	2012/05/30	50.00
60189	J P Morgan SP BV – HSI R Bull CBBC May 2012 P	200,000,000	2012/01/31	2012/05/30	50.00
60190	J P Morgan SP BV – HSI R Bull CBBC May 2012 Q	200,000,000	2012/01/31	2012/05/30	50.00
60191	J P Morgan SP BV – HSI R Bull CBBC May 2012 R	200,000,000	2012/01/31	2012/05/30	50.00
60186	J P Morgan SP BV – HSI R Bear CBBC May 2012 N	200,000,000	2012/01/31	2012/05/30	50.00
60174	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12L	200,000,000	2012/01/31	2012/05/30	50.00
60176	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12M	200,000,000	2012/01/31	2012/05/30	50.00
60175	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12R	200,000,000	2012/01/31	2012/05/30	50.00
60160	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12I	200,000,000	2012/01/31	2012/05/30	50.00
60161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12J	200,000,000	2012/01/31	2012/05/30	50.00
60162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12K	200,000,000	2012/01/31	2012/05/30	50.00
60163	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12S	200,000,000	2012/01/31	2012/07/30	85.00
60164	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12T	200,000,000	2012/01/31	2012/07/30	87.00
60165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12U	200,000,000	2012/01/31	2012/07/30	90.00
60166	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12V	200,000,000	2012/01/31	2012/07/30	92.00
60182	UBS AG – China Life R Bull CBBC Jul 2012 G	100,000,000	2012/01/31	2012/07/09	25.00
60180	UBS AG – HSBC R Bull CBBC Aug 2012 A	100,000,000	2012/01/31	2012/08/27	25.00
60179	UBS AG – HSI R Bull CBBC Aug 2012 R	300,000,000	2012/01/31	2012/08/30	75.00
60185	UBS AG – HSI R Bull CBBC Aug 2012 S	300,000,000	2012/01/31	2012/08/30	75.00
60168	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/01/31	2012/06/28	75.00
60184	UBS AG – HSI R Bear CBBC Jun 2012 O	300,000,000	2012/01/31	2012/06/28	76.50
60183	UBS AG – HSI R Bear CBBC Jul 2012 N	300,000,000	2012/01/31	2012/07/30	82.50
60181	UBS AG – Ping An R Bull CBBC Jul 2012 C	100,000,000	2012/01/31	2012/07/09	25.00
69646 [#]	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2012 B	121,960,000	2012/01/31	2012/03/29	10.00
60243	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 G	200,000,000	2012/02/01	2012/06/28	50.00
60209	Credit Suisse AG – HSI R Bull CBBC May 2012 G	400,000,000	2012/02/01	2012/05/30	100.00
60219	Credit Suisse AG – HSI R Bull CBBC Jun 2012 R	400,000,000	2012/02/01	2012/06/28	100.00
60210	Credit Suisse AG – HSI R Bull CBBC Aug 2012 X	400,000,000	2012/02/01	2012/08/30	100.00
60220	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Y	400,000,000	2012/02/01	2012/08/30	100.00
60208	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/02/01	2012/05/30	100.00
60224	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 Y	128,000,000	2012/02/01	2012/05/30	32.00
60225	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 Z	168,000,000	2012/02/01	2012/05/30	42.00
60202	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 Q	128,000,000	2012/02/01	2012/05/30	32.00
60203	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 R	128,000,000	2012/02/01	2012/05/30	32.00
60204	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 S	128,000,000	2012/02/01	2012/05/30	32.00
60205	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 T	168,000,000	2012/02/01	2012/05/30	42.00
60206	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 U	128,000,000	2012/02/01	2012/05/30	32.00
60221	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 V	128,000,000	2012/02/01	2012/05/30	32.00
60222	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 W	128,000,000	2012/02/01	2012/05/30	32.00
60223	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 X	128,000,000	2012/02/01	2012/05/30	32.00
60213	HK Bank – BOCL R Bull CBBC Dec 2012 B	100,000,000	2012/02/01	2012/12/18	25.00
60212	HK Bank – CC Bank R Bull CBBC Oct 2012 A	120,000,000	2012/02/01	2012/10/18	30.00
60214	HK Bank – A50 R Bull CBBC Dec 2012 A	120,000,000	2012/02/01	2012/12/28	30.00
60215	HK Bank – HSI R Bull CBBC Aug 2012 J	250,000,000	2012/02/01	2012/08/30	62.50

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60216	HK Bank – HSI R Bull CBBC Sep 2012 C	250,000,000	2012/02/01	2012/09/27	62.50
60217	HK Bank – HSI R Bear CBBC Aug 2012 K	250,000,000	2012/02/01	2012/08/30	62.50
60218	HK Bank – HSI R Bear CBBC Oct 2012 C	250,000,000	2012/02/01	2012/10/30	62.50
60201	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 C	40,000,000	2012/02/01	2012/09/27	10.00
60226	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12T	200,000,000	2012/02/01	2012/05/30	50.00
60207	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12N	200,000,000	2012/02/01	2012/05/30	50.00
60241	UBS AG – ABC R Bear CBBC Jul 2012 C	100,000,000	2012/02/01	2012/07/30	25.00
60240	UBS AG – A50 China R Bull CBBC May 2012 K	100,000,000	2012/02/01	2012/05/14	25.00
60239	UBS AG – HSCEI R Bull CBBC Sep 2012 C	100,000,000	2012/02/01	2012/09/27	25.00
60238	UBS AG – HSCEI R Bear CBBC Jul 2012 B	100,000,000	2012/02/01	2012/07/30	25.00
60235	UBS AG – HSI R Bull CBBC Aug 2012 U	300,000,000	2012/02/01	2012/08/30	75.00
60234	UBS AG – HSI R Bull CBBC Sep 2012 L	300,000,000	2012/02/01	2012/09/27	75.00
60236	UBS AG – HSI R Bull CBBC Sep 2012 M	300,000,000	2012/02/01	2012/09/27	75.00
60237	UBS AG – HSI R Bull CBBC Oct 2012 A	300,000,000	2012/02/01	2012/10/30	75.00
60211	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2012/02/01	2012/05/30	75.00
60233	UBS AG – HSI R Bear CBBC Jul 2012 Q	300,000,000	2012/02/01	2012/07/30	84.00
60227	UBS AG – HSI R Bear CBBC Aug 2012 T	300,000,000	2012/02/01	2012/08/30	93.00
60232	UBS AG – HSI R Bear CBBC Sep 2012 K	300,000,000	2012/02/01	2012/09/27	87.00
60242	UBS AG – Hutchison R Bull CBBC Jul 2012 C	100,000,000	2012/02/01	2012/07/16	25.00
65872 [#]	HK Bank – HSI R Bear CBBC Jun 2012 A	200,000,000	2012/02/01	2012/06/28	22.40
65576 [#]	HK Bank – HSI R Bear CBBC Apr 2012 A	250,000,000	2012/02/01	2012/04/27	45.75
60288	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 D	200,000,000	2012/02/02	2012/07/30	50.00
60289	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 E	200,000,000	2012/02/02	2012/07/30	50.00
60290	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 F	200,000,000	2012/02/02	2012/07/30	50.00
60291	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 G	200,000,000	2012/02/02	2012/07/30	50.00
60284	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2012 H	200,000,000	2012/02/02	2012/06/28	50.00
60285	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 A	200,000,000	2012/02/02	2012/07/30	50.00
60286	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 B	200,000,000	2012/02/02	2012/07/30	50.00
60287	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 C	200,000,000	2012/02/02	2012/07/30	50.00
60264	Credit Suisse AG – China Life R Bull CBBC May 2012 E	70,000,000	2012/02/02	2012/05/28	17.50
60265	Credit Suisse AG – China Life R Bull CBBC Jun 2012 E	70,000,000	2012/02/02	2012/06/25	20.30
60278	Credit Suisse AG – HKEx R Bear CBBC May 2012 G	70,000,000	2012/02/02	2012/05/28	17.50
60257	Credit Suisse AG – HSI R Bull CBBC Aug 2012 H	400,000,000	2012/02/02	2012/08/30	100.00
60258	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2012/02/02	2012/08/30	100.00
60280	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/02/02	2012/08/30	100.00
60254	Credit Suisse AG – HSI R Bear CBBC May 2012 O	400,000,000	2012/02/02	2012/05/30	100.00
60252	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Z	400,000,000	2012/02/02	2012/08/30	100.00
60259	Credit Suisse AG – HSI R Bear CBBC Sep 2012 I	400,000,000	2012/02/02	2012/09/27	124.00
60261	Credit Suisse AG – HSI R Bear CBBC Sep 2012 J	400,000,000	2012/02/02	2012/09/27	110.00
60260	Credit Suisse AG – HSI R Bear CBBC Oct 2012 E	400,000,000	2012/02/02	2012/10/30	130.00
60262	Credit Suisse AG – HSI R Bear CBBC Oct 2012 F	400,000,000	2012/02/02	2012/10/30	138.00
60263	Credit Suisse AG – HSI R Bear CBBC Nov 2012 A	400,000,000	2012/02/02	2012/11/29	142.00
60277	Credit Suisse AG – Hutchison R Bear CBBC Jun 2012 E	70,000,000	2012/02/02	2012/06/25	17.50
60282	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2012 B	150,000,000	2012/02/02	2012/05/30	37.50
60281	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2012 A	150,000,000	2012/02/02	2012/05/30	37.50

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60283	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 J	128,000,000	2012/02/02	2012/05/30	32.00
60250	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 A	128,000,000	2012/02/02	2012/05/30	32.00
60251	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 F	128,000,000	2012/02/02	2012/05/30	32.00
60245	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 D	40,000,000	2012/02/02	2012/09/27	10.00
60246	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12O	200,000,000	2012/02/02	2012/05/30	50.00
60256	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12Y	200,000,000	2012/02/02	2012/07/30	50.00
60248	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12W	200,000,000	2012/02/02	2012/07/30	50.00
60249	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/02/02	2012/07/30	50.00
60297	UBS AG – China Mobile R Bull CBBC Jun 2012 B	100,000,000	2012/02/02	2012/06/18	25.00
60296	UBS AG – CC Bank R Bull CBBC Jul 2012 D	100,000,000	2012/02/02	2012/07/23	25.00
60299	UBS AG – China Life R Bull CBBC Jun 2012 G	100,000,000	2012/02/02	2012/06/04	25.00
60294	UBS AG – HKEx R Bull CBBC Jul 2012 B	100,000,000	2012/02/02	2012/07/09	25.00
60292	UBS AG – HSBC R Bull CBBC Jul 2012 A	100,000,000	2012/02/02	2012/07/23	25.00
60300	UBS AG – HSI R Bull CBBC Jul 2012 T	300,000,000	2012/02/02	2012/07/30	75.00
60301	UBS AG – HSI R Bull CBBC Sep 2012 N	300,000,000	2012/02/02	2012/09/27	75.00
60255	UBS AG – HSI R Bear CBBC May 2012 F	300,000,000	2012/02/02	2012/05/30	75.00
60279	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/02/02	2012/06/28	75.00
60293	UBS AG – Hutchison R Bull CBBC Jun 2012 G	100,000,000	2012/02/02	2012/06/11	25.00
60298	UBS AG – ICBC R Bull CBBC Jul 2012 F	100,000,000	2012/02/02	2012/07/03	25.00
60295	UBS AG – TCH R Bull CBBC Jul 2012 E	100,000,000	2012/02/02	2012/07/23	25.00
60060 [#]	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 P	150,000,000	2012/02/02	2012/06/28	10.20
60341	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 A	200,000,000	2012/02/03	2012/09/27	50.00
60318	Credit Suisse AG – CCB R Bull CBBC Jun 2012 A	70,000,000	2012/02/03	2012/06/25	17.50
60319	Credit Suisse AG – HSBC R Bear CBBC May 2012 A	70,000,000	2012/02/03	2012/05/28	17.50
60325	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/02/03	2012/08/30	100.00
60324	Credit Suisse AG – HSI R Bull CBBC Sep 2012 K	400,000,000	2012/02/03	2012/09/27	100.00
60342	Credit Suisse AG – HSI R Bull CBBC Sep 2012 L	400,000,000	2012/02/03	2012/09/27	100.00
60323	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/02/03	2012/06/28	100.00
60320	Credit Suisse AG – Hutchison R Bull CBBC May 2012 C	70,000,000	2012/02/03	2012/05/28	17.50
60317	Credit Suisse AG – ICBC R Bull CBBC Jul 2012 B	70,000,000	2012/02/03	2012/07/16	17.50
60321	Credit Suisse AG – TCH R Bull CBBC Jun 2012 D	70,000,000	2012/02/03	2012/06/25	17.50
60315	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	128,000,000	2012/02/03	2012/06/28	32.00
60312	HK Bank – HSI R Bull CBBC Oct 2012 E	250,000,000	2012/02/03	2012/10/30	62.50
60311	HK Bank – HSI R Bear CBBC Oct 2012 D	250,000,000	2012/02/03	2012/10/30	62.50
60313	HK Bank – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/02/03	2012/12/28	75.00
60304	J P Morgan SP BV – HSI R Bull CBBC May 2012 S	200,000,000	2012/02/03	2012/05/30	50.00
60305	J P Morgan SP BV – HSI R Bull CBBC May 2012 T	200,000,000	2012/02/03	2012/05/30	50.00
60306	J P Morgan SP BV – HSI R Bull CBBC May 2012 U	200,000,000	2012/02/03	2012/05/30	50.00
60302	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 E	40,000,000	2012/02/03	2012/09/27	10.00
60307	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12U	200,000,000	2012/02/03	2012/05/30	50.00
60309	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12V	200,000,000	2012/02/03	2012/05/30	50.00
60343	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12W	200,000,000	2012/02/03	2012/05/30	50.00
60310	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Z	200,000,000	2012/02/03	2012/07/30	50.00
60340	UBS AG – HSBC R Bear CBBC Aug 2012 B	100,000,000	2012/02/03	2012/08/06	25.00
60336	UBS AG – HSI R Bull CBBC Jul 2012 B	300,000,000	2012/02/03	2012/07/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60337	UBS AG – HSI R Bull CBBC Aug 2012 Y	300,000,000	2012/02/03	2012/08/30	75.00
60338	UBS AG – HSI R Bull CBBC Oct 2012 D	300,000,000	2012/02/03	2012/10/30	75.00
60339	UBS AG – HSI R Bull CBBC Nov 2012 A	300,000,000	2012/02/03	2012/11/29	75.00
60314	UBS AG – HSI R Bear CBBC May 2012 Z	300,000,000	2012/02/03	2012/05/30	75.00
60334	UBS AG – HSI R Bear CBBC Jul 2012 S	300,000,000	2012/02/03	2012/07/30	79.50
60332	UBS AG – HSI R Bear CBBC Aug 2012 V	300,000,000	2012/02/03	2012/08/30	97.50
60333	UBS AG – HSI R Bear CBBC Aug 2012 W	300,000,000	2012/02/03	2012/08/30	91.50
60335	UBS AG – HSI R Bear CBBC Aug 2012 X	300,000,000	2012/02/03	2012/08/30	75.00
60328	UBS AG – HSI R Bear CBBC Sep 2012 O	300,000,000	2012/02/03	2012/09/27	112.50
60327	UBS AG – HSI R Bear CBBC Oct 2012 B	300,000,000	2012/02/03	2012/10/30	127.50
60331	UBS AG – HSI R Bear CBBC Oct 2012 C	300,000,000	2012/02/03	2012/10/30	106.50
60349	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 H	200,000,000	2012/02/06	2012/07/30	50.00
60350	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 I	200,000,000	2012/02/06	2012/07/30	50.00
60347	Credit Suisse AG – HSI R Bear CBBC May 2012 H	400,000,000	2012/02/06	2012/05/30	100.00
60348	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/02/06	2012/06/28	100.00
60344	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 S	128,000,000	2012/02/06	2012/06/28	32.00
60345	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	128,000,000	2012/02/06	2012/05/30	32.00
60369	UBS AG – BOCL R Bear CBBC Sep 2012 B	100,000,000	2012/02/06	2012/09/03	25.00
60367	UBS AG – CM Bank R Bull CBBC Jun 2012 A	50,000,000	2012/02/06	2012/06/11	18.25
60368	UBS AG – CM Bank R Bear CBBC Jun 2012 B	50,000,000	2012/02/06	2012/06/25	17.00
60364	UBS AG – CNOOC R Bear CBBC Jul 2012 D	100,000,000	2012/02/06	2012/07/09	29.00
60361	UBS AG – Sinopec Corp R Bear CBBC Jun 2012 F	100,000,000	2012/02/06	2012/06/28	25.00
60351	UBS AG – HSI R Bull CBBC Nov 2012 B	300,000,000	2012/02/06	2012/11/29	75.00
60355	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2012/02/06	2012/05/30	75.00
60354	UBS AG – HSI R Bear CBBC Sep 2012 P	300,000,000	2012/02/06	2012/09/27	112.50
60352	UBS AG – HSI R Bear CBBC Oct 2012 E	300,000,000	2012/02/06	2012/10/30	94.50
60353	UBS AG – HSI R Bear CBBC Oct 2012 F	300,000,000	2012/02/06	2012/10/30	103.50
60359	UBS AG – Jiangxi Copper R Bull CBBC Jul 2012 A	50,000,000	2012/02/06	2012/07/09	18.00
60360	UBS AG – Jiangxi Copper R Bear CBBC Jul 2012 B	50,000,000	2012/02/06	2012/07/23	19.75
60362	UBS AG – Li & Fung R Bull CBBC Jul 2012 A	50,000,000	2012/02/06	2012/07/09	15.75
60363	UBS AG – Li & Fung R Bear CBBC Jul 2012 B	50,000,000	2012/02/06	2012/07/23	17.00
60365	UBS AG – Sands China R Bull CBBC Jul 2012 A	50,000,000	2012/02/06	2012/07/16	25.00
60366	UBS AG – Sands China R Bear CBBC Jul 2012 B	50,000,000	2012/02/06	2012/07/30	25.50
60356	UBS AG – SHK Ppt R Bull CBBC Jul 2012 A	100,000,000	2012/02/06	2012/07/16	25.00
60357	UBS AG – SHK Ppt R Bear CBBC Aug 2012 A	100,000,000	2012/02/06	2012/08/20	25.00
60385	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 J	200,000,000	2012/02/07	2012/07/30	50.00
60381	Credit Suisse AG – HKEx R Bull CBBC Jun 2012 C	70,000,000	2012/02/07	2012/06/25	17.50
60383	Credit Suisse AG – HKEx R Bear CBBC Jul 2012 A	70,000,000	2012/02/07	2012/07/23	19.95
60378	Credit Suisse AG – TCH R Bull CBBC May 2012 F	70,000,000	2012/02/07	2012/05/28	17.50
60380	Credit Suisse AG – TCH R Bear CBBC Jun 2012 E	70,000,000	2012/02/07	2012/06/25	24.50
60379	Credit Suisse AG – TCH R Bear CBBC Jul 2012 A	70,000,000	2012/02/07	2012/07/23	19.60
60384	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 N	208,000,000	2012/02/07	2012/05/30	52.00
60377	HK Bank – China Life R Bull CBBC Sep 2012 A	80,000,000	2012/02/07	2012/09/28	25.60
60374	HK Bank – Sinopec Corp R Bull CBBC Dec 2012 A	80,000,000	2012/02/07	2012/12/12	20.00
60373	HK Bank – Sinopec Corp R Bear CBBC Aug 2012 A	60,000,000	2012/02/07	2012/08/28	15.00

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60376	HK Bank – PetCh R Bull CBBC Dec 2012 A	60,000,000	2012/02/07	2012/12/20	15.00
60375	HK Bank – PetCh R Bear CBBC Oct 2012 C	60,000,000	2012/02/07	2012/10/18	15.00
60372	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/02/07	2012/07/30	50.00
60386	UBS AG – Cheung Kong R Bull CBBC Jul 2012 A	100,000,000	2012/02/07	2012/07/09	25.00
60387	UBS AG – CNOOC R Bull CBBC Jun 2012 B	100,000,000	2012/02/07	2012/06/25	25.00
60388	UBS AG – HSCEI R Bull CBBC Oct 2012 A	100,000,000	2012/02/07	2012/10/30	25.00
60391	UBS AG – HSI R Bear CBBC Aug 2012 Z	300,000,000	2012/02/07	2012/08/30	112.50
60389	UBS AG – HSI R Bear CBBC Sep 2012 Q	300,000,000	2012/02/07	2012/09/27	91.50
60390	UBS AG – HSI R Bear CBBC Oct 2012 G	300,000,000	2012/02/07	2012/10/30	103.50
60392	UBS AG – Ping An R Bear CBBC Jun 2012 G	100,000,000	2012/02/07	2012/06/25	25.00
60419	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 K	200,000,000	2012/02/08	2012/07/30	50.00
60398	Credit Suisse AG – Sinopec Corp R Bull CBBC May 2012 B	50,000,000	2012/02/08	2012/05/21	12.50
60399	Credit Suisse AG – Sinopec Corp R Bear CBBC May 2012 C	50,000,000	2012/02/08	2012/05/21	12.50
60400	Credit Suisse AG – Sinopec Corp R Bear CBBC Jun 2012 C	50,000,000	2012/02/08	2012/06/25	12.50
60401	Credit Suisse AG – HSI R Bull CBBC May 2012 Q	400,000,000	2012/02/08	2012/05/30	100.00
60402	Credit Suisse AG – HSI R Bull CBBC Jul 2012 B	400,000,000	2012/02/08	2012/07/30	100.00
60403	Credit Suisse AG – HSI R Bull CBBC Aug 2012 C	400,000,000	2012/02/08	2012/08/30	100.00
60404	Credit Suisse AG – HSI R Bull CBBC Sep 2012 M	400,000,000	2012/02/08	2012/09/27	100.00
60405	Credit Suisse AG – HSI R Bull CBBC Oct 2012 G	400,000,000	2012/02/08	2012/10/30	100.00
60406	Credit Suisse AG – HSI R Bull CBBC Nov 2012 B	400,000,000	2012/02/08	2012/11/29	100.00
60407	Credit Suisse AG – HSI R Bull CBBC Nov 2012 C	400,000,000	2012/02/08	2012/11/29	100.00
60408	Credit Suisse AG – HSI R Bear CBBC May 2012 R	400,000,000	2012/02/08	2012/05/30	100.00
60409	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/02/08	2012/07/30	100.00
60410	Credit Suisse AG – HSI R Bear CBBC Aug 2012 D	400,000,000	2012/02/08	2012/08/30	100.00
60411	Credit Suisse AG – HSI R Bear CBBC Sep 2012 N	400,000,000	2012/02/08	2012/09/27	100.00
60412	Credit Suisse AG – HSI R Bear CBBC Oct 2012 H	400,000,000	2012/02/08	2012/10/30	100.00
60414	Credit Suisse AG – HSI R Bear CBBC Oct 2012 I	400,000,000	2012/02/08	2012/10/30	100.00
60413	Credit Suisse AG – HSI R Bear CBBC Nov 2012 D	400,000,000	2012/02/08	2012/11/29	100.00
60415	Credit Suisse AG – HSI R Bear CBBC Nov 2012 E	400,000,000	2012/02/08	2012/11/29	102.00
60416	Credit Suisse AG – TCH R Bear CBBC May 2012 G	70,000,000	2012/02/08	2012/05/28	17.50
60397	HK Bank – TCH R Bull CBBC Nov 2012 A	80,000,000	2012/02/08	2012/11/20	25.60
60396	HK Bank – TCH R Bear CBBC Oct 2012 A	80,000,000	2012/02/08	2012/10/29	26.40
60393	J P Morgan SP BV – HSI R Bear CBBC Jul 2012 A	200,000,000	2012/02/08	2012/07/30	50.00
60394	J P Morgan SP BV – HSI R Bear CBBC Jul 2012 B	200,000,000	2012/02/08	2012/07/30	50.00
60395	J P Morgan SP BV – HSI R Bear CBBC Jul 2012 C	200,000,000	2012/02/08	2012/07/30	50.00
60417	UBS AG – HSI R Bull CBBC Oct 2012 H	300,000,000	2012/02/08	2012/10/30	75.00
60418	UBS AG – HSI R Bull CBBC Oct 2012 I	300,000,000	2012/02/08	2012/10/30	75.00
60453	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/02/09	2012/09/27	50.00
60454	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 C	200,000,000	2012/02/09	2012/09/27	50.00
60455	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 D	200,000,000	2012/02/09	2012/09/27	50.00
60446	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2012/02/09	2012/05/30	100.00
60445	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2012/02/09	2012/05/30	100.00
60436	Credit Suisse AG – HSI R Bull CBBC Oct 2012 K	300,000,000	2012/02/09	2012/10/30	75.00
60437	Credit Suisse AG – HSI R Bull CBBC Nov 2012 G	300,000,000	2012/02/09	2012/11/29	75.00
60438	Credit Suisse AG – HSI R Bear CBBC May 2012 S	400,000,000	2012/02/09	2012/05/30	100.00

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60439	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/02/09	2012/06/28	100.00
60433	Credit Suisse AG – HSI R Bear CBBC Oct 2012 J	300,000,000	2012/02/09	2012/10/30	75.00
60434	Credit Suisse AG – HSI R Bear CBBC Nov 2012 F	300,000,000	2012/02/09	2012/11/29	75.00
60435	Credit Suisse AG – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/02/09	2012/12/28	75.00
60443	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 F	208,000,000	2012/02/09	2012/05/30	52.00
60424	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 A	208,000,000	2012/02/09	2012/05/30	52.00
60423	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 T	208,000,000	2012/02/09	2012/05/30	52.00
60426	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 T	208,000,000	2012/02/09	2012/06/28	52.00
60427	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 U	208,000,000	2012/02/09	2012/06/28	52.00
60428	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 V	208,000,000	2012/02/09	2012/06/28	52.00
60429	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 W	208,000,000	2012/02/09	2012/06/28	52.00
60430	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 C	208,000,000	2012/02/09	2012/07/30	52.00
60431	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 D	208,000,000	2012/02/09	2012/07/30	52.00
60432	HK Bank – BOCL R Bear CBBC Nov 2012 A	60,000,000	2012/02/09	2012/11/16	15.00
60442	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12I	200,000,000	2012/02/09	2012/05/30	50.00
60422	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/02/09	2012/07/30	50.00
60420	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/02/09	2012/07/30	50.00
60450	UBS AG – China Mobile R Bear CBBC Jun 2012 C	100,000,000	2012/02/09	2012/06/18	25.00
60452	UBS AG – COVS R Bear CBBC May 2012 C	100,000,000	2012/02/09	2012/05/21	29.50
60451	UBS AG – CC Bank R Bear CBBC Jul 2012 E	100,000,000	2012/02/09	2012/07/30	25.00
60448	UBS AG – HSCEI R Bear CBBC Jun 2012 C	100,000,000	2012/02/09	2012/06/28	25.00
60447	UBS AG – HSI R Bull CBBC Sep 2012 R	300,000,000	2012/02/09	2012/09/27	75.00
60441	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2012/02/09	2012/06/28	75.00
60440	UBS AG – HSI R Bear CBBC Jun 2012 V	300,000,000	2012/02/09	2012/06/28	75.00
60449	UBS AG – Ping An R Bear CBBC Jul 2012 D	100,000,000	2012/02/09	2012/07/09	25.00
60469	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 E	200,000,000	2012/02/10	2012/12/28	50.00
60470	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 F	200,000,000	2012/02/10	2012/12/28	50.00
60468	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2012 D	200,000,000	2012/02/10	2012/12/28	50.00
60472	Credit Suisse AG – HSI R Bull CBBC May 2012 C	400,000,000	2012/02/10	2012/05/30	100.00
60471	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/02/10	2012/05/30	100.00
60473	Credit Suisse AG – HSI R Bear CBBC Sep 2012 O	400,000,000	2012/02/10	2012/09/27	130.00
60475	Credit Suisse AG – HSI R Bear CBBC Oct 2012 L	400,000,000	2012/02/10	2012/10/30	112.00
60476	Credit Suisse AG – HSI R Bear CBBC Nov 2012 H	400,000,000	2012/02/10	2012/11/29	148.00
60477	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 E	208,000,000	2012/02/10	2012/07/30	52.00
60478	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 F	208,000,000	2012/02/10	2012/07/30	52.00
60479	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 G	208,000,000	2012/02/10	2012/07/30	60.32
60480	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 C	208,000,000	2012/02/10	2012/08/30	62.40
60481	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 D	208,000,000	2012/02/10	2012/08/30	52.00
60463	HK Bank – HSI R Bull CBBC Sep 2012 D	250,000,000	2012/02/10	2012/09/27	62.50
60464	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 D	200,000,000	2012/02/10	2012/07/30	50.00
60465	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 E	200,000,000	2012/02/10	2012/07/30	50.00
60466	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 F	200,000,000	2012/02/10	2012/07/30	50.00
60467	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 G	200,000,000	2012/02/10	2012/07/30	50.00
60456	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 F	40,000,000	2012/02/10	2012/09/27	10.00
60457	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12J	200,000,000	2012/02/10	2012/05/30	50.00

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60461	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12A	200,000,000	2012/02/10	2012/09/27	93.00
60462	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12B	200,000,000	2012/02/10	2012/09/27	99.00
60483	UBS AG – CNOOC R Bull CBBC Jul 2012 E	100,000,000	2012/02/10	2012/07/09	25.00
60488	UBS AG – CNOOC R Bear CBBC Jul 2012 F	100,000,000	2012/02/10	2012/07/23	29.50
60485	UBS AG – A50 China R Bull CBBC Jul 2012 C	100,000,000	2012/02/10	2012/07/09	25.00
60490	UBS AG – HSBC R Bear CBBC Aug 2012 C	100,000,000	2012/02/10	2012/08/27	25.00
60486	UBS AG – HSI R Bull CBBC Aug 2012 D	300,000,000	2012/02/10	2012/08/30	75.00
60487	UBS AG – HSI R Bull CBBC Oct 2012 J	300,000,000	2012/02/10	2012/10/30	75.00
60482	UBS AG – Hutchison R Bull CBBC Jul 2012 D	100,000,000	2012/02/10	2012/07/23	25.00
60489	UBS AG – Hutchison R Bear CBBC Jul 2012 E	100,000,000	2012/02/10	2012/07/09	25.00
60484	UBS AG – Ping An R Bull CBBC Jun 2012 H	100,000,000	2012/02/10	2012/06/25	25.00
65576#	HK Bank – HSI R Bear CBBC Apr 2012 A	250,000,000	2012/02/10	2012/04/27	41.00
60514	Credit Suisse AG – Sinopec Corp R Bull CBBC Jul 2012 B	50,000,000	2012/02/13	2012/07/23	12.50
60515	Credit Suisse AG – HSBC R Bear CBBC Jul 2012 A	50,000,000	2012/02/13	2012/07/23	12.50
60516	Credit Suisse AG – HSI R Bull CBBC May 2012 H	400,000,000	2012/02/13	2012/05/30	100.00
60517	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2012/02/13	2012/06/28	100.00
60509	Credit Suisse AG – HSI R Bear CBBC May 2012 O	400,000,000	2012/02/13	2012/05/30	100.00
60510	Credit Suisse AG – HSI R Bear CBBC Jul 2012 F	400,000,000	2012/02/13	2012/07/30	100.00
60511	Credit Suisse AG – Hutchison R Bull CBBC Jul 2012 A	70,000,000	2012/02/13	2012/07/23	17.50
60513	Credit Suisse AG – Hutchison R Bear CBBC Jul 2012 B	70,000,000	2012/02/13	2012/07/23	17.50
60522	Daiwa Capital Mkt – HSCEI R Bull CBBC Aug 2012 A	80,000,000	2012/02/13	2012/08/30	20.00
60520	Daiwa Capital Mkt – HSCEI R Bear CBBC Jun 2012 C	80,000,000	2012/02/13	2012/06/28	20.00
60504	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 B	208,000,000	2012/02/13	2012/05/30	52.00
60505	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	208,000,000	2012/02/13	2012/05/30	52.00
60506	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/02/13	2012/06/28	52.00
60491	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 A	40,000,000	2012/02/13	2012/10/30	10.00
60493	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 B	40,000,000	2012/02/13	2012/10/30	10.40
60494	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 C	40,000,000	2012/02/13	2012/10/30	10.60
60495	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 D	40,000,000	2012/02/13	2012/10/30	10.80
60496	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 E	40,000,000	2012/02/13	2012/10/30	11.00
60497	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 F	40,000,000	2012/02/13	2012/10/30	11.20
60498	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 G	40,000,000	2012/02/13	2012/10/30	11.40
60518	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12K	200,000,000	2012/02/13	2012/05/30	50.00
60499	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/02/13	2012/07/30	50.00
60500	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12E	200,000,000	2012/02/13	2012/07/30	50.00
60501	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12W	200,000,000	2012/02/13	2012/07/30	50.00
60502	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12C	200,000,000	2012/02/13	2012/09/27	104.00
60503	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12D	200,000,000	2012/02/13	2012/09/27	108.00
60527	UBS AG – China Life R Bear CBBC Aug 2012 E	100,000,000	2012/02/13	2012/08/20	46.50
60526	UBS AG – A50 China R Bear CBBC Aug 2012 B	100,000,000	2012/02/13	2012/08/06	25.00
60519	UBS AG – HSI R Bull CBBC Aug 2012 E	300,000,000	2012/02/13	2012/08/30	75.00
60507	UBS AG – HSI R Bear CBBC May 2012 G	300,000,000	2012/02/13	2012/05/30	75.00
60508	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2012/02/13	2012/05/30	75.00
60525	UBS AG – HSI R Bear CBBC Sep 2012 S	300,000,000	2012/02/13	2012/09/27	111.00
60524	UBS AG – HSI R Bear CBBC Nov 2012 C	300,000,000	2012/02/13	2012/11/29	135.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60523	UBS AG – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/02/13	2012/12/28	75.00
60533	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/02/14	2012/06/28	100.00
60535	Credit Suisse AG – HSI R Bear CBBC Jun 2012 W	400,000,000	2012/02/14	2012/06/28	100.00
60538	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Y	208,000,000	2012/02/14	2012/06/28	52.00
60528	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 A	200,000,000	2012/02/14	2012/08/30	50.00
60529	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 B	200,000,000	2012/02/14	2012/08/30	50.00
60530	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 C	200,000,000	2012/02/14	2012/08/30	50.00
60531	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 D	200,000,000	2012/02/14	2012/08/30	50.00
60532	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 E	200,000,000	2012/02/14	2012/08/30	50.00
60534	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/02/14	2012/07/30	50.00
60542	UBS AG – HKEx R Bear CBBC Jun 2012 H	100,000,000	2012/02/14	2012/06/25	25.00
60541	UBS AG – HSBC R Bull CBBC Jul 2012 B	100,000,000	2012/02/14	2012/07/13	25.00
60540	UBS AG – HSI R Bull CBBC Oct 2012 K	300,000,000	2012/02/14	2012/10/30	75.00
60539	UBS AG – HSI R Bull CBBC Nov 2012 D	300,000,000	2012/02/14	2012/11/29	75.00
60536	UBS AG – HSI R Bear CBBC Jul 2012 I	300,000,000	2012/02/14	2012/07/30	75.00
60537	UBS AG – HSI R Bear CBBC Jul 2012 Z	300,000,000	2012/02/14	2012/07/30	75.00
60568	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 M	200,000,000	2012/02/15	2012/09/27	50.00
60569	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 N	200,000,000	2012/02/15	2012/09/27	50.00
60572	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 O	200,000,000	2012/02/15	2012/09/27	50.00
60558	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 E	200,000,000	2012/02/15	2012/09/27	50.00
60561	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 F	200,000,000	2012/02/15	2012/09/27	50.00
60562	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 G	200,000,000	2012/02/15	2012/09/27	50.00
60563	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 H	200,000,000	2012/02/15	2012/09/27	50.00
60564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 I	200,000,000	2012/02/15	2012/09/27	50.00
60565	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 J	200,000,000	2012/02/15	2012/09/27	50.00
60566	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 K	200,000,000	2012/02/15	2012/09/27	50.00
60567	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 L	200,000,000	2012/02/15	2012/09/27	50.00
60573	Credit Suisse AG – HSI R Bull CBBC May 2012 R	400,000,000	2012/02/15	2012/05/30	100.00
60574	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/02/15	2012/07/30	100.00
60555	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Z	208,000,000	2012/02/15	2012/06/28	52.00
60556	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 H	208,000,000	2012/02/15	2012/07/30	52.00
60557	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 I	208,000,000	2012/02/15	2012/07/30	66.56
60548	HK Bank – CC Bank R Bear CBBC Sep 2012 A	80,000,000	2012/02/15	2012/09/18	20.00
60549	HK Bank – CC Bank R Bear CBBC Oct 2012 B	80,000,000	2012/02/15	2012/10/16	20.00
60552	HK Bank – CNOOC R Bull CBBC Nov 2012 A	80,000,000	2012/02/15	2012/11/16	28.00
60550	HK Bank – HKEx R Bull CBBC Oct 2012 A	80,000,000	2012/02/15	2012/10/26	24.00
60553	HK Bank – HSI R Bull CBBC Nov 2012 A	250,000,000	2012/02/15	2012/11/29	62.50
60551	HK Bank – Hutchison R Bull CBBC Nov 2012 A	80,000,000	2012/02/15	2012/11/16	20.00
60543	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 A	150,000,000	2012/02/15	2012/11/29	37.50
60544	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 B	150,000,000	2012/02/15	2012/11/29	37.50
60545	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 C	150,000,000	2012/02/15	2012/11/29	37.50
60546	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 D	150,000,000	2012/02/15	2012/11/29	37.50
60547	CC Rabobank B.A. – HSI R Bear CBBC Nov 2012 E	150,000,000	2012/02/15	2012/11/29	37.50
60583	UBS AG – CNOOC R Bear CBBC Aug 2012 A	100,000,000	2012/02/15	2012/08/13	36.00
60585	UBS AG – Sinopec Corp R Bull CBBC Jul 2012 C	100,000,000	2012/02/15	2012/07/09	25.00

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60584	UBS AG – Sinopec Corp R Bear CBBC Jul 2012 B	100,000,000	2012/02/15	2012/07/16	25.00
60586	UBS AG – HSBC R Bear CBBC Jul 2012 C	100,000,000	2012/02/15	2012/07/23	25.00
60582	UBS AG – HSCEI R Bear CBBC Jul 2012 C	100,000,000	2012/02/15	2012/07/30	25.00
60580	UBS AG – HSI R Bull CBBC Sep 2012 T	300,000,000	2012/02/15	2012/09/27	75.00
60581	UBS AG – HSI R Bull CBBC Nov 2012 F	300,000,000	2012/02/15	2012/11/29	75.00
60554	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2012/02/15	2012/06/28	75.00
60579	UBS AG – HSI R Bear CBBC Jul 2012 M	300,000,000	2012/02/15	2012/07/30	75.00
60578	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/02/15	2012/08/30	75.00
60577	UBS AG – HSI R Bear CBBC Oct 2012 L	300,000,000	2012/02/15	2012/10/30	99.00
60576	UBS AG – HSI R Bear CBBC Nov 2012 E	300,000,000	2012/02/15	2012/11/27	108.00
60575	UBS AG – HSI R Bear CBBC Dec 2012 B	300,000,000	2012/02/15	2012/12/28	75.00
60594	Credit Suisse AG – HKEx R Bull CBBC Jul 2012 B	70,000,000	2012/02/16	2012/07/23	17.50
60591	Credit Suisse AG – HSI R Bull CBBC May 2012 S	400,000,000	2012/02/16	2012/05/30	100.00
60592	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/02/16	2012/06/28	100.00
60599	Credit Suisse AG – TCH R Bear CBBC Jul 2012 B	70,000,000	2012/02/16	2012/07/23	17.50
60587	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 H	40,000,000	2012/02/16	2012/10/30	10.00
60590	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12A	200,000,000	2012/02/16	2012/07/30	50.00
60589	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12Z	200,000,000	2012/02/16	2012/07/30	50.00
60588	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12N	200,000,000	2012/02/16	2012/05/30	50.00
60605	UBS AG – COVS R Bull CBBC Jul 2012 C	100,000,000	2012/02/16	2012/07/23	26.50
60604	UBS AG – COVS R Bear CBBC Jul 2012 B	100,000,000	2012/02/16	2012/07/16	34.00
60606	UBS AG – HKEx R Bull CBBC Jul 2012 C	100,000,000	2012/02/16	2012/07/16	25.00
60593	UBS AG – HSI R Bull CBBC Oct 2012 M	300,000,000	2012/02/16	2012/10/30	75.00
60608	UBS AG – HSI R Bear CBBC Oct 2012 N	300,000,000	2012/02/16	2012/10/30	105.00
60602	UBS AG – Jiangxi Copper R Bull CBBC Jul 2012 C	100,000,000	2012/02/16	2012/07/16	36.50
60600	UBS AG – Jiangxi Copper R Bear CBBC Aug 2012 A	100,000,000	2012/02/16	2012/08/06	59.00
60615	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 P	200,000,000	2012/02/17	2012/09/27	50.00
60616	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Q	200,000,000	2012/02/17	2012/09/27	50.00
60617	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2012/02/17	2012/05/30	100.00
60618	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/02/17	2012/05/30	100.00
60611	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 A	208,000,000	2012/02/17	2012/05/30	52.00
60609	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 A	100,000,000	2012/02/17	2012/08/30	25.10
60610	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12B	200,000,000	2012/02/17	2012/07/30	50.00
60614	UBS AG – HKEx R Bear CBBC Jul 2012 D	100,000,000	2012/02/17	2012/07/09	25.00
60613	UBS AG – HSI R Bull CBBC Oct 2012 O	300,000,000	2012/02/17	2012/10/30	75.00
60612	UBS AG – HSI R Bull CBBC Nov 2012 G	300,000,000	2012/02/17	2012/11/29	75.00
60625	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2012/02/20	2012/05/30	100.00
60626	Credit Suisse AG – HSI R Bull CBBC May 2012 O	400,000,000	2012/02/20	2012/05/30	100.00
60622	Credit Suisse AG – HSI R Bear CBBC May 2012 H	400,000,000	2012/02/20	2012/05/30	100.00
60619	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 T	208,000,000	2012/02/20	2012/05/30	52.00
60624	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12J	200,000,000	2012/02/20	2012/05/30	50.00
60620	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/02/20	2012/07/30	50.00
60628	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/02/20	2012/07/30	50.00
60627	UBS AG – HSI R Bull CBBC Sep 2012 U	300,000,000	2012/02/20	2012/09/27	75.00
60621	UBS AG – HSI R Bear CBBC May 2012 E	300,000,000	2012/02/20	2012/05/30	75.00

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60629	UBS AG – HSI R Bear CBBC May 2012 F	300,000,000	2012/02/20	2012/05/30	75.00
60635	HK Bank – HSI R Bull CBBC Nov 2012 C	250,000,000	2012/02/21	2012/11/29	62.50
60636	HK Bank – HSI R Bull CBBC Nov 2012 D	250,000,000	2012/02/21	2012/11/29	62.50
60637	HK Bank – HSI R Bull CBBC Dec 2012 B	250,000,000	2012/02/21	2012/12/28	62.50
60638	HK Bank – HSI R Bull CBBC Dec 2012 C	250,000,000	2012/02/21	2012/12/28	62.50
60632	HK Bank – HSI R Bear CBBC Nov 2012 B	300,000,000	2012/02/21	2012/11/29	75.00
60642	UBS AG – Cheung Kong R Bear CBBC Jul 2012 B	100,000,000	2012/02/21	2012/07/16	25.00
60631	UBS AG – HSI R Bull CBBC Oct 2012 P	300,000,000	2012/02/21	2012/10/30	75.00
60639	UBS AG – HSI R Bear CBBC Jun 2012 X	300,000,000	2012/02/21	2012/06/28	75.00
60630	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2012/02/21	2012/07/30	75.00
60640	UBS AG – Sands China R Bull CBBC Jun 2012 A	50,000,000	2012/02/21	2012/06/25	21.25
60641	UBS AG – SHK Ppt R Bear CBBC Jul 2012 B	100,000,000	2012/02/21	2012/07/16	25.00
65611 [#]	HK Bank – HSI R Bear CBBC Apr 2012 B	250,000,000	2012/02/21	2012/04/27	23.25
60704	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 V	200,000,000	2012/02/22	2012/09/27	50.00
60705	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 W	200,000,000	2012/02/22	2012/09/27	50.00
60707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 X	200,000,000	2012/02/22	2012/09/27	50.00
60708	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 Y	200,000,000	2012/02/22	2012/09/27	50.00
60700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 R	200,000,000	2012/02/22	2012/09/27	50.00
60701	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 S	200,000,000	2012/02/22	2012/09/27	50.00
60702	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 T	200,000,000	2012/02/22	2012/09/27	50.00
60703	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 U	200,000,000	2012/02/22	2012/09/27	50.00
60655	Credit Suisse AG – HKEx R Bear CBBC Jul 2012 D	60,000,000	2012/02/22	2012/07/30	15.00
60656	Credit Suisse AG – HKEx R Bear CBBC Aug 2012 A	60,000,000	2012/02/22	2012/08/13	17.10
60693	Credit Suisse AG – HSI R Bull CBBC Jun 2012 W	400,000,000	2012/02/22	2012/06/28	100.00
60694	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2012/02/22	2012/07/30	100.00
60698	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/02/22	2012/07/30	100.00
60696	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/02/22	2012/08/30	100.00
60650	Credit Suisse AG – HSI R Bear CBBC May 2012 R	400,000,000	2012/02/22	2012/05/30	100.00
60652	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/02/22	2012/06/28	100.00
60651	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/02/22	2012/06/28	100.00
60699	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/02/22	2012/07/30	100.00
60657	Credit Suisse AG – HSI R Bear CBBC Oct 2012 M	400,000,000	2012/02/22	2012/10/30	114.00
60663	Credit Suisse AG – HSI R Bear CBBC Oct 2012 N	400,000,000	2012/02/22	2012/10/30	130.00
60660	Credit Suisse AG – HSI R Bear CBBC Nov 2012 I	400,000,000	2012/02/22	2012/11/29	118.00
60664	Credit Suisse AG – HSI R Bear CBBC Nov 2012 J	400,000,000	2012/02/22	2012/11/29	134.00
60666	Credit Suisse AG – HSI R Bear CBBC Nov 2012 K	400,000,000	2012/02/22	2012/11/29	142.00
60661	Credit Suisse AG – HSI R Bear CBBC Dec 2012 B	400,000,000	2012/02/22	2012/12/28	106.00
60665	Credit Suisse AG – HSI R Bear CBBC Dec 2012 C	400,000,000	2012/02/22	2012/12/28	116.00
60653	Credit Suisse AG – TCH R Bull CBBC Jul 2012 C	60,000,000	2012/02/22	2012/07/30	15.00
60710	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2012 D	150,000,000	2012/02/22	2012/05/30	37.50
60709	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2012 C	150,000,000	2012/02/22	2012/05/30	37.50
60682	Daiwa Capital Mkt – A50 China R Bull CBBC Jul 2012 A	80,000,000	2012/02/22	2012/07/03	20.00
60683	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2012 D	98,000,000	2012/02/22	2012/06/28	24.50
60692	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 B	208,000,000	2012/02/22	2012/06/28	52.00
60691	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 C	208,000,000	2012/02/22	2012/06/28	52.00

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60685	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 D	208,000,000	2012/02/22	2012/06/28	52.00
60684	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 J	208,000,000	2012/02/22	2012/07/30	52.00
60647	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 B	208,000,000	2012/02/22	2012/05/30	52.00
60646	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 F	208,000,000	2012/02/22	2012/05/30	52.00
60671	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	208,000,000	2012/02/22	2012/05/30	52.00
60675	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 G	200,000,000	2012/02/22	2012/08/30	50.00
60678	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 H	200,000,000	2012/02/22	2012/08/30	50.00
60679	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 I	200,000,000	2012/02/22	2012/08/30	50.00
60680	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 J	200,000,000	2012/02/22	2012/08/30	50.00
60674	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 F	200,000,000	2012/02/22	2012/08/30	50.00
60668	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12K	200,000,000	2012/02/22	2012/05/30	50.00
60669	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12E	200,000,000	2012/02/22	2012/07/30	50.00
60670	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12X	200,000,000	2012/02/22	2012/07/30	50.00
60645	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/02/22	2012/07/30	50.00
60643	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/02/22	2012/07/30	50.00
60644	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/02/22	2012/07/30	50.00
60673	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12J	200,000,000	2012/02/22	2012/07/30	50.00
60681	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12E	200,000,000	2012/02/22	2012/09/27	50.00
60716	UBS AG – China Mobile R Bull CBBC Jul 2012 A	100,000,000	2012/02/22	2012/07/09	25.00
60715	UBS AG – China Life R Bull CBBC Aug 2012 F	100,000,000	2012/02/22	2012/08/06	25.00
60717	UBS AG – CNOOC R Bull CBBC Jul 2012 G	100,000,000	2012/02/22	2012/07/23	25.00
60721	UBS AG – HKEx R Bull CBBC Sep 2012 A	100,000,000	2012/02/22	2012/09/10	25.00
60719	UBS AG – HKEx R Bear CBBC Jul 2012 E	100,000,000	2012/02/22	2012/07/30	34.00
60714	UBS AG – HSI R Bull CBBC Sep 2012 V	300,000,000	2012/02/22	2012/09/27	75.00
60713	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/02/22	2012/10/30	75.00
60649	UBS AG – HSI R Bear CBBC May 2012 Z	300,000,000	2012/02/22	2012/05/30	75.00
60648	UBS AG – HSI R Bear CBBC Jun 2012 N	300,000,000	2012/02/22	2012/06/28	75.00
60672	UBS AG – HSI R Bear CBBC Jun 2012 S	300,000,000	2012/02/22	2012/06/28	75.00
60718	UBS AG – TCH R Bear CBBC Jul 2012 F	100,000,000	2012/02/22	2012/07/23	44.50
60745	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/02/23	2012/09/27	50.00
60744	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Z	200,000,000	2012/02/23	2012/09/27	50.00
60729	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Z	400,000,000	2012/02/23	2012/07/30	100.00
60740	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/02/23	2012/07/30	100.00
60738	Credit Suisse AG – TCH R Bull CBBC Jul 2012 E	70,000,000	2012/02/23	2012/07/30	17.50
60739	Credit Suisse AG – TCH R Bull CBBC Jul 2012 F	70,000,000	2012/02/23	2012/07/30	17.50
60737	Credit Suisse AG – TCH R Bear CBBC Jul 2012 D	70,000,000	2012/02/23	2012/07/30	21.35
60732	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 R	208,000,000	2012/02/23	2012/05/30	52.00
60741	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 E	208,000,000	2012/02/23	2012/06/28	52.00
60733	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 K	208,000,000	2012/02/23	2012/07/30	52.00
60734	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 L	208,000,000	2012/02/23	2012/07/30	52.00
60735	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 M	208,000,000	2012/02/23	2012/07/30	52.00
60736	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 E	208,000,000	2012/02/23	2012/08/30	52.00
60723	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 B	120,000,000	2012/02/23	2012/08/30	30.24
60727	HK Bank – HSI R Bull CBBC Dec 2012 F	250,000,000	2012/02/23	2012/12/28	62.50
60728	HK Bank – HSI R Bull CBBC Dec 2012 G	250,000,000	2012/02/23	2012/12/28	62.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60724	HK Bank – HSI R Bear CBBC Nov 2012 E	300,000,000	2012/02/23	2012/11/29	75.00
60725	HK Bank – HSI R Bear CBBC Dec 2012 D	300,000,000	2012/02/23	2012/12/28	75.00
60726	HK Bank – HSI R Bear CBBC Dec 2012 E	300,000,000	2012/02/23	2012/12/28	75.00
60722	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 I	40,000,000	2012/02/23	2012/10/30	10.00
60731	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12K	200,000,000	2012/02/23	2012/07/30	50.00
60730	UBS AG – HSI R Bull CBBC Nov 2012 I	300,000,000	2012/02/23	2012/11/29	75.00
60742	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2012/02/23	2012/05/30	75.00
60743	UBS AG – TCH R Bull CBBC Jun 2012 J	100,000,000	2012/02/23	2012/06/25	25.00
60781	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 P	200,000,000	2012/02/24	2012/09/27	50.00
60780	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 M	200,000,000	2012/02/24	2012/09/27	50.00
60765	Credit Suisse AG – China Life R Bull CBBC Jul 2012 A	60,000,000	2012/02/24	2012/07/30	15.00
60767	Credit Suisse AG – HKEx R Bear CBBC Jul 2012 E	60,000,000	2012/02/24	2012/07/30	15.00
60760	Credit Suisse AG – HSI R Bull CBBC May 2012 D	400,000,000	2012/02/24	2012/05/30	100.00
60777	Credit Suisse AG – HSI R Bull CBBC Jun 2012 B	400,000,000	2012/02/24	2012/06/28	100.00
60778	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/02/24	2012/07/30	100.00
60756	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/02/24	2012/08/30	100.00
60758	Credit Suisse AG – HSI R Bull CBBC Aug 2012 V	400,000,000	2012/02/24	2012/08/30	100.00
60754	Credit Suisse AG – HSI R Bull CBBC Sep 2012 P	400,000,000	2012/02/24	2012/09/27	100.00
60761	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/02/24	2012/06/28	100.00
60762	Credit Suisse AG – HSI R Bear CBBC Jul 2012 G	400,000,000	2012/02/24	2012/07/30	100.00
60763	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Z	400,000,000	2012/02/24	2012/08/30	100.00
60764	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Q	400,000,000	2012/02/24	2012/09/27	100.00
60766	Credit Suisse AG – TCH R Bear CBBC Jul 2012 G	60,000,000	2012/02/24	2012/07/30	15.00
60779	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 S	208,000,000	2012/02/24	2012/05/30	52.00
60772	HK Bank – A50 R Bull CBBC Nov 2012 A	100,000,000	2012/02/24	2012/11/16	25.00
60771	HK Bank – HKEx R Bull CBBC Nov 2012 B	80,000,000	2012/02/24	2012/11/16	20.80
60773	HK Bank – HKEx R Bear CBBC Nov 2012 C	80,000,000	2012/02/24	2012/11/28	21.60
60774	HK Bank – HSI R Bear CBBC May 2013 C	300,000,000	2012/02/24	2013/05/30	75.00
60770	HK Bank – TCH R Bull CBBC Nov 2012 B	80,000,000	2012/02/24	2012/11/16	25.60
60769	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 20N	200,000,000	2012/02/24	2012/05/30	50.00
60746	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 20A	200,000,000	2012/02/24	2012/10/30	53.00
60747	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 20B	200,000,000	2012/02/24	2012/10/30	50.00
60751	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 20F	200,000,000	2012/02/24	2012/09/27	66.00
60752	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 20G	200,000,000	2012/02/24	2012/09/27	56.00
60748	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 20C	200,000,000	2012/02/24	2012/10/30	50.00
60749	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 20D	200,000,000	2012/02/24	2012/10/30	52.00
60750	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 20E	200,000,000	2012/02/24	2012/10/30	60.00
60776	UBS AG – HSI R Bull CBBC Sep 2012 W	300,000,000	2012/02/24	2012/09/27	75.00
60775	UBS AG – HSI R Bull CBBC Oct 2012 S	300,000,000	2012/02/24	2012/10/30	75.00
60768	UBS AG – HSI R Bear CBBC Jul 2012 F	300,000,000	2012/02/24	2012/07/30	75.00
60817	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 B	200,000,000	2012/02/27	2012/12/28	50.00
60816	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2012 A	200,000,000	2012/02/27	2012/12/28	50.00
60814	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Q	200,000,000	2012/02/27	2012/09/27	50.00
60811	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 A	200,000,000	2012/02/27	2012/10/30	50.00
60812	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 B	200,000,000	2012/02/27	2012/10/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
60813	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 C	200,000,000	2012/02/27	2012/10/30	50.00
60815	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 D	200,000,000	2012/02/27	2012/10/30	50.00
60801	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/02/27	2012/07/30	100.00
60803	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Q	400,000,000	2012/02/27	2012/07/30	100.00
60802	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2012/02/27	2012/08/30	100.00
60796	Credit Suisse AG – HSI R Bear CBBC May 2012 H	400,000,000	2012/02/27	2012/05/30	100.00
60797	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/02/27	2012/07/30	100.00
60804	Credit Suisse AG – HSI R Bear CBBC Oct 2012 O	400,000,000	2012/02/27	2012/10/30	134.00
60807	Credit Suisse AG – HSI R Bear CBBC Oct 2012 P	400,000,000	2012/02/27	2012/10/30	100.00
60805	Credit Suisse AG – HSI R Bear CBBC Nov 2012 L	400,000,000	2012/02/27	2012/11/29	142.00
60808	Credit Suisse AG – HSI R Bear CBBC Nov 2012 M	400,000,000	2012/02/27	2012/11/29	100.00
60806	Credit Suisse AG – HSI R Bear CBBC Dec 2012 D	400,000,000	2012/02/27	2012/12/28	154.00
60800	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 T	208,000,000	2012/02/27	2012/05/30	52.00
60795	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 Q	208,000,000	2012/02/27	2012/05/30	52.00
60794	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 U	208,000,000	2012/02/27	2012/05/30	52.00
60782	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 J	40,000,000	2012/02/27	2012/10/30	10.00
60799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12 I	200,000,000	2012/02/27	2012/07/30	50.00
60798	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12 W	200,000,000	2012/02/27	2012/07/30	50.00
60791	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul12 L	200,000,000	2012/02/27	2012/07/30	50.00
60792	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul12 M	200,000,000	2012/02/27	2012/07/30	50.00
60783	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 H	200,000,000	2012/02/27	2012/09/27	104.00
60784	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 I	200,000,000	2012/02/27	2012/09/27	99.00
60785	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 J	200,000,000	2012/02/27	2012/09/27	94.00
60786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 K	200,000,000	2012/02/27	2012/09/27	86.00
60787	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 L	200,000,000	2012/02/27	2012/09/27	81.00
60789	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 M	200,000,000	2012/02/27	2012/09/27	75.00
60790	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 N	200,000,000	2012/02/27	2012/09/27	69.00
60809	UBS AG – HSI R Bull CBBC Oct 2012 T	300,000,000	2012/02/27	2012/10/30	75.00
60810	UBS AG – HSI R Bull CBBC Nov 2012 J	300,000,000	2012/02/27	2012/11/29	75.00
60793	UBS AG – HSI R Bear CBBC May 2012 I	300,000,000	2012/02/27	2012/05/30	75.00
60822	Credit Suisse AG – HSI R Bull CBBC Sep 2012 R	400,000,000	2012/02/28	2012/09/27	100.00
60829	Credit Suisse AG – HSI R Bull CBBC Nov 2012 O	400,000,000	2012/02/28	2012/11/29	100.00
60830	Credit Suisse AG – HSI R Bull CBBC Dec 2012 G	400,000,000	2012/02/28	2012/12/28	100.00
60831	Credit Suisse AG – HSI R Bull CBBC Dec 2012 H	400,000,000	2012/02/28	2012/12/28	100.00
60824	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/02/28	2012/08/30	100.00
60823	Credit Suisse AG – HSI R Bear CBBC Sep 2012 S	400,000,000	2012/02/28	2012/09/27	100.00
60827	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Q	400,000,000	2012/02/28	2012/10/30	100.00
60828	Credit Suisse AG – HSI R Bear CBBC Nov 2012 N	400,000,000	2012/02/28	2012/11/29	100.00
60825	Credit Suisse AG – HSI R Bear CBBC Dec 2012 E	400,000,000	2012/02/28	2012/12/28	100.00
60826	Credit Suisse AG – HSI R Bear CBBC Dec 2012 F	400,000,000	2012/02/28	2012/12/28	100.00
60836	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/02/28	2012/06/28	52.00
60837	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 N	208,000,000	2012/02/28	2012/07/30	52.00
60838	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 O	208,000,000	2012/02/28	2012/07/30	52.00
60839	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 F	208,000,000	2012/02/28	2012/08/30	52.00
60840	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 G	208,000,000	2012/02/28	2012/08/30	52.00

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60821	HK Bank – China Life R Bull CBBC Oct 2012 B	80,000,000	2012/02/28	2012/10/18	32.00
60820	HK Bank – CNOOC R Bear CBBC Nov 2012 B	80,000,000	2012/02/28	2012/11/16	24.00
60819	HK Bank – TCH R Bear CBBC Dec 2012 A	80,000,000	2012/02/28	2012/12/18	32.80
60843	UBS AG – Sinopec Corp R Bear CBBC Jun 2012 G	100,000,000	2012/02/28	2012/06/18	25.00
60835	UBS AG – HSI R Bull CBBC Sep 2012 X	300,000,000	2012/02/28	2012/09/27	75.00
60832	UBS AG – HSI R Bull CBBC Nov 2012 K	300,000,000	2012/02/28	2012/11/29	75.00
60833	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/02/28	2012/06/28	75.00
60834	UBS AG – HSI R Bear CBBC Oct 2012 U	300,000,000	2012/02/28	2012/10/30	75.00
60841	UBS AG – Hutchison R Bull CBBC Jul 2012 F	100,000,000	2012/02/28	2012/07/09	25.00
60842	UBS AG – TCH R Bear CBBC Jun 2012 K	100,000,000	2012/02/28	2012/06/18	25.00
60217#	HK Bank – HSI R Bear CBBC Aug 2012 K	250,000,000	2012/02/28	2012/08/30	36.25
60860	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 A	200,000,000	2012/02/29	2012/12/28	50.00
60857	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 E	200,000,000	2012/02/29	2012/10/30	66.00
60859	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 F	200,000,000	2012/02/29	2012/10/30	60.00
60846	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2012/02/29	2012/06/28	100.00
60845	Credit Suisse AG – HSI R Bear CBBC Jun 2012 W	400,000,000	2012/02/29	2012/06/28	100.00
60844	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 H	208,000,000	2012/02/29	2012/06/28	52.00
60853	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 J	208,000,000	2012/02/29	2012/06/28	52.00
60854	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 H	208,000,000	2012/02/29	2012/08/30	52.00
60855	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 A	208,000,000	2012/02/29	2012/09/27	52.00
60847	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2012 A	150,000,000	2012/02/29	2012/05/30	37.80
60848	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2012 B	150,000,000	2012/02/29	2012/05/30	38.10
60849	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/02/29	2012/07/30	50.00
60863	UBS AG – HSI R Bull CBBC Nov 2012 L	300,000,000	2012/02/29	2012/11/29	75.00
60850	UBS AG – HSI R Bear CBBC May 2012 J	300,000,000	2012/02/29	2012/05/30	75.00
60862	UBS AG – Ping An R Bull CBBC Jul 2012 E	100,000,000	2012/02/29	2012/07/23	25.00
60865	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/03/01	2012/06/28	100.00
60866	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	208,000,000	2012/03/01	2012/06/28	52.00
60864	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/03/01	2012/07/30	50.00
60869	UBS AG – China Life R Bull CBBC Aug 2012 G	100,000,000	2012/03/01	2012/08/13	25.00
60868	UBS AG – HSI R Bull CBBC Nov 2012 M	300,000,000	2012/03/01	2012/11/29	75.00
60867	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/03/01	2012/06/28	75.00
60880	Credit Suisse AG – HSI R Bull CBBC Jun 2012 B	400,000,000	2012/03/02	2012/06/28	100.00
60878	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 A	150,000,000	2012/03/02	2012/06/28	37.50
60879	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 B	150,000,000	2012/03/02	2012/06/28	37.80
60873	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 L	200,000,000	2012/03/02	2012/08/30	50.00
60876	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 M	200,000,000	2012/03/02	2012/08/30	50.00
60877	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 N	300,000,000	2012/03/02	2012/08/30	75.00
60872	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 K	200,000,000	2012/03/02	2012/08/30	50.00
60870	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 K	40,000,000	2012/03/02	2012/10/30	10.00
60875	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/02	2012/07/30	50.00
60881	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12P	200,000,000	2012/03/02	2012/07/30	50.00
60871	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12F	200,000,000	2012/03/02	2012/10/30	50.00
60884	UBS AG – A50 China R Bull CBBC Jul 2012 D	100,000,000	2012/03/02	2012/07/30	25.00
60882	UBS AG – HSI R Bull CBBC Oct 2012 V	300,000,000	2012/03/02	2012/10/30	75.00

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60883	UBS AG – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/03/02	2012/12/28	75.00
60912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 G	200,000,000	2012/03/05	2012/10/30	50.00
60913	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 H	200,000,000	2012/03/05	2012/10/30	50.00
60894	Credit Suisse AG – China Life R Bull CBBC Jul 2012 B	60,000,000	2012/03/05	2012/07/23	15.00
60895	Credit Suisse AG – China Life R Bear CBBC Jul 2012 A	60,000,000	2012/03/05	2012/07/23	15.00
60896	Credit Suisse AG – A50 China R Bull CBBC Jul 2012 A	60,000,000	2012/03/05	2012/07/23	15.00
60897	Credit Suisse AG – A50 China R Bull CBBC Jul 2012 B	60,000,000	2012/03/05	2012/07/23	15.00
60898	Credit Suisse AG – A50 China R Bear CBBC Jul 2012 A	60,000,000	2012/03/05	2012/07/23	15.00
60908	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/03/05	2012/07/30	100.00
60892	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2012/03/05	2012/07/30	100.00
60893	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	400,000,000	2012/03/05	2012/07/30	100.00
60891	Credit Suisse AG – HSI R Bear CBBC Jul 2012 K	400,000,000	2012/03/05	2012/07/30	100.00
60909	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Z	400,000,000	2012/03/05	2012/07/30	100.00
60899	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 F	208,000,000	2012/03/05	2012/06/28	52.00
60907	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/03/05	2012/06/28	52.00
60889	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Z	208,000,000	2012/03/05	2012/06/28	52.00
60885	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 A	150,000,000	2012/03/05	2012/06/28	37.65
60886	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 B	150,000,000	2012/03/05	2012/06/28	37.95
60887	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 C	150,000,000	2012/03/05	2012/06/28	37.80
60903	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12E	200,000,000	2012/03/05	2012/07/30	50.00
60904	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12I	200,000,000	2012/03/05	2012/07/30	50.00
60910	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Z	200,000,000	2012/03/05	2012/07/30	50.00
60902	UBS AG – AIA R Bull CBBC Sep 2012 A	100,000,000	2012/03/05	2012/09/10	25.00
60901	UBS AG – AIA R Bear CBBC Jul 2012 A	100,000,000	2012/03/05	2012/07/03	46.00
60905	UBS AG – HSI R Bull CBBC Oct 2012 W	300,000,000	2012/03/05	2012/10/30	75.00
60906	UBS AG – HSI R Bull CBBC Oct 2012 X	300,000,000	2012/03/05	2012/10/30	75.00
60911	UBS AG – HSI R Bear CBBC Jun 2012 Y	300,000,000	2012/03/05	2012/06/28	75.00
60890	UBS AG – HSI R Bear CBBC Jul 2012 C	300,000,000	2012/03/05	2012/07/30	75.00
60943	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 B	200,000,000	2012/03/06	2012/12/28	50.00
60944	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 C	200,000,000	2012/03/06	2012/12/28	50.00
60945	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 D	200,000,000	2012/03/06	2012/12/28	50.00
60946	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 E	200,000,000	2012/03/06	2012/12/28	50.00
60938	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/03/06	2012/07/30	100.00
60939	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2012/03/06	2012/07/30	100.00
60936	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Z	400,000,000	2012/03/06	2012/08/30	100.00
60940	Credit Suisse AG – HSI R Bear CBBC Jun 2012 A	400,000,000	2012/03/06	2012/06/28	100.00
60931	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/03/06	2012/08/30	100.00
60949	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2012 A	200,000,000	2012/03/06	2012/06/28	50.00
60950	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2012 B	200,000,000	2012/03/06	2012/06/28	50.00
60937	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Z	208,000,000	2012/03/06	2012/06/28	52.00
60929	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 C	150,000,000	2012/03/06	2012/06/28	37.95
60930	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 D	150,000,000	2012/03/06	2012/06/28	37.50
60914	HK Bank – Hutchison R Bull CBBC Nov 2012 B	80,000,000	2012/03/06	2012/11/16	20.00
60918	HK Bank – Hutchison R Bull CBBC Dec 2012 A	80,000,000	2012/03/06	2012/12/12	20.00
60916	HK Bank – Hutchison R Bear CBBC Nov 2012 A	80,000,000	2012/03/06	2012/11/26	20.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60917	HK Bank – TCH R Bull CBBC Nov 2012 C	80,000,000	2012/03/06	2012/11/28	20.00
60915	HK Bank – TCH R Bear CBBC Nov 2012 A	80,000,000	2012/03/06	2012/11/16	28.00
60923	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 O	300,000,000	2012/03/06	2012/08/30	75.00
60924	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 P	300,000,000	2012/03/06	2012/08/30	75.00
60925	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 Q	300,000,000	2012/03/06	2012/08/30	75.00
60926	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 R	300,000,000	2012/03/06	2012/08/30	75.00
60919	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 L	300,000,000	2012/03/06	2012/08/30	75.00
60921	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 M	300,000,000	2012/03/06	2012/08/30	75.00
60922	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 N	300,000,000	2012/03/06	2012/08/30	75.00
60942	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12W	200,000,000	2012/03/06	2012/07/30	50.00
60941	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12O	200,000,000	2012/03/06	2012/09/27	50.00
60928	UBS AG – HSI R Bull CBBC Nov 2012 N	300,000,000	2012/03/06	2012/11/29	75.00
60947	UBS AG – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/03/06	2012/12/28	75.00
60927	UBS AG – HSI R Bear CBBC Jul 2012 B	300,000,000	2012/03/06	2012/07/30	75.00
60948	UBS AG – HSI R Bear CBBC Jul 2012 I	300,000,000	2012/03/06	2012/07/30	75.00
60218 [#]	HK Bank – HSI R Bear CBBC Oct 2012 C	300,000,000	2012/03/06	2012/10/30	36.30
60962	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 C	200,000,000	2012/03/07	2012/12/28	50.00
60960	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2012 B	200,000,000	2012/03/07	2012/12/28	50.00
60967	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 F	200,000,000	2012/03/07	2012/12/28	50.00
60959	Credit Suisse AG – HSI R Bull CBBC Jul 2012 C	400,000,000	2012/03/07	2012/07/30	100.00
60958	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2012/03/07	2012/08/30	100.00
60955	Credit Suisse AG – HSI R Bear CBBC Aug 2012 D	400,000,000	2012/03/07	2012/08/30	100.00
60965	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 B	208,000,000	2012/03/07	2012/06/28	52.00
60966	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 C	208,000,000	2012/03/07	2012/06/28	52.00
60954	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Y	208,000,000	2012/03/07	2012/06/28	52.00
60951	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 K	40,000,000	2012/03/07	2012/10/30	10.20
60952	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 L	40,000,000	2012/03/07	2012/10/30	10.00
60957	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12 X	200,000,000	2012/03/07	2012/07/30	50.00
60956	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul12 A	200,000,000	2012/03/07	2012/07/30	50.00
60964	UBS AG – HSI R Bull CBBC Nov 2012 O	300,000,000	2012/03/07	2012/11/29	75.00
60963	UBS AG – HSI R Bull CBBC Dec 2012 E	300,000,000	2012/03/07	2012/12/28	75.00
60953	UBS AG – HSI R Bear CBBC Jun 2012 Z	300,000,000	2012/03/07	2012/06/28	75.00
60993	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 A	200,000,000	2012/03/08	2012/12/28	50.00
60994	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 B	200,000,000	2012/03/08	2012/12/28	50.00
60995	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 C	200,000,000	2012/03/08	2012/12/28	50.00
60971	Credit Suisse AG – HSI R Bull CBBC Sep 2012 S	400,000,000	2012/03/08	2012/09/27	100.00
60985	Credit Suisse AG – HSI R Bull CBBC Sep 2012 T	400,000,000	2012/03/08	2012/09/27	100.00
60974	Credit Suisse AG – HSI R Bear CBBC Sep 2012 T	400,000,000	2012/03/08	2012/09/27	100.00
60983	Credit Suisse AG – HSI R Bear CBBC Sep 2012 U	400,000,000	2012/03/08	2012/09/27	100.00
60982	Daiwa Capital Mkt – A50 China R Bear CBBC Aug 2012 A	80,000,000	2012/03/08	2012/08/08	20.00
60979	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 T	208,000,000	2012/03/08	2012/06/28	52.00
60981	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 P	208,000,000	2012/03/08	2012/07/30	52.00
60968	HK Bank – A50 R Bull CBBC Nov 2012 B	100,000,000	2012/03/08	2012/11/26	25.00
60969	HK Bank – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/03/08	2012/12/28	75.00
60970	HK Bank – HSI R Bull CBBC Dec 2012 I	250,000,000	2012/03/08	2012/12/28	62.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12B	200,000,000	2012/03/08	2012/07/30	50.00
60991	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12E	200,000,000	2012/03/08	2012/07/30	50.00
60992	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/03/08	2012/07/30	50.00
60975	UBS AG – HSCEI R Bull CBBC Aug 2012 B	100,000,000	2012/03/08	2012/08/30	25.00
60978	UBS AG – HSI R Bull CBBC Nov 2012 P	300,000,000	2012/03/08	2012/11/29	75.00
60973	UBS AG – HSI R Bull CBBC Dec 2012 F	300,000,000	2012/03/08	2012/12/28	75.00
60977	UBS AG – HSI R Bull CBBC Jan 2013 A	300,000,000	2012/03/08	2013/01/30	75.00
60988	UBS AG – HSI R Bear CBBC Jun 2012 E	300,000,000	2012/03/08	2012/06/28	75.00
60986	UBS AG – HSI R Bear CBBC Jul 2012 M	300,000,000	2012/03/08	2012/07/30	75.00
60987	UBS AG – HSI R Bear CBBC Jul 2012 P	300,000,000	2012/03/08	2012/07/30	75.00
60976	UBS AG – HSI R Bear CBBC Jul 2012 Z	300,000,000	2012/03/08	2012/07/30	75.00
60996	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 E	150,000,000	2012/03/09	2012/06/28	37.50
60998	UBS AG – HSI R Bull CBBC Nov 2012 Q	300,000,000	2012/03/09	2012/11/29	75.00
60997	UBS AG – HSI R Bear CBBC Sep 2012 T	300,000,000	2012/03/09	2012/09/27	75.00
61007	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	400,000,000	2012/03/12	2012/06/28	100.00
61001	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Z	400,000,000	2012/03/12	2012/06/28	100.00
61008	Credit Suisse AG – HSI R Bear CBBC Jul 2012 F	400,000,000	2012/03/12	2012/07/30	100.00
61011	Credit Suisse AG – HSI R Bear CBBC Jul 2012 J	400,000,000	2012/03/12	2012/07/30	100.00
61016	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/03/12	2012/06/28	52.00
61015	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 Q	208,000,000	2012/03/12	2012/07/30	52.00
61003	HK Bank – China Mobile R Bull CBBC Dec 2012 A	80,000,000	2012/03/12	2012/12/12	20.00
61002	HK Bank – China Mobile R Bear CBBC Dec 2012 A	80,000,000	2012/03/12	2012/12/12	20.00
61004	HK Bank – A50 R Bull CBBC Jan 2013 A	250,000,000	2012/03/12	2013/01/18	62.50
61005	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/12	2012/07/30	50.00
61006	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12P	200,000,000	2012/03/12	2012/07/30	50.00
61014	UBS AG – A50 China R Bear CBBC Aug 2012 C	100,000,000	2012/03/12	2012/08/06	25.00
60999	UBS AG – HSI R Bull CBBC Oct 2012 Y	300,000,000	2012/03/12	2012/10/30	75.00
61010	UBS AG – HSI R Bull CBBC Dec 2012 G	300,000,000	2012/03/12	2012/12/28	75.00
61009	UBS AG – HSI R Bear CBBC Jul 2012 R	300,000,000	2012/03/12	2012/07/30	75.00
61013	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/03/12	2012/08/30	75.00
61000	UBS AG – HSI R Bear CBBC Aug 2012 K	300,000,000	2012/03/12	2012/08/30	75.00
61056	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 D	200,000,000	2012/03/13	2012/12/28	50.00
61064	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 G	200,000,000	2012/03/13	2012/12/28	50.00
61057	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 D	200,000,000	2012/03/13	2012/12/28	50.00
61058	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 E	200,000,000	2012/03/13	2012/12/28	50.00
61059	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 F	200,000,000	2012/03/13	2012/12/28	50.00
61060	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 G	200,000,000	2012/03/13	2012/12/28	50.00
61061	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 H	200,000,000	2012/03/13	2012/12/28	50.00
61062	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 I	200,000,000	2012/03/13	2012/12/28	50.00
61024	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/03/13	2012/07/30	100.00
61025	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Q	400,000,000	2012/03/13	2012/07/30	100.00
61040	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Z	400,000,000	2012/03/13	2012/07/30	100.00
61043	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/03/13	2012/07/30	100.00
61028	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Q	400,000,000	2012/03/13	2012/07/30	100.00
61029	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/03/13	2012/07/30	100.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61041	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/03/13	2012/10/30	100.00
61023	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 D	208,000,000	2012/03/13	2012/06/28	52.00
61039	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 K	208,000,000	2012/03/13	2012/07/30	52.00
61065	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	208,000,000	2012/03/13	2012/06/28	52.00
61066	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/03/13	2012/06/28	52.00
61068	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 R	208,000,000	2012/03/13	2012/07/30	52.00
61069	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 S	208,000,000	2012/03/13	2012/07/30	52.00
61033	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 O	300,000,000	2012/03/13	2012/08/30	75.00
61034	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 P	300,000,000	2012/03/13	2012/08/30	75.00
61035	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Q	300,000,000	2012/03/13	2012/08/30	75.00
61036	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 R	300,000,000	2012/03/13	2012/08/30	75.00
61037	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 S	300,000,000	2012/03/13	2012/08/30	75.00
61038	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 T	300,000,000	2012/03/13	2012/08/30	75.00
61053	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 U	300,000,000	2012/03/13	2012/08/30	75.00
61054	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 V	300,000,000	2012/03/13	2012/08/30	75.00
61055	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 W	300,000,000	2012/03/13	2012/08/30	75.00
61017	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 L	40,000,000	2012/03/13	2012/10/30	10.00
61052	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12A	200,000,000	2012/03/13	2012/07/30	50.00
61020	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12E	200,000,000	2012/03/13	2012/07/30	50.00
61021	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12I	200,000,000	2012/03/13	2012/07/30	50.00
61022	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12W	200,000,000	2012/03/13	2012/07/30	50.00
61050	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/03/13	2012/07/30	50.00
61051	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/03/13	2012/07/30	50.00
61019	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12I	200,000,000	2012/03/13	2012/07/30	50.00
61048	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12O	200,000,000	2012/03/13	2012/07/30	50.00
61049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12P	200,000,000	2012/03/13	2012/07/30	50.00
61018	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12W	200,000,000	2012/03/13	2012/07/30	50.00
61045	UBS AG – CC Bank R Bear CBBC Aug 2012 A	100,000,000	2012/03/13	2012/08/13	25.00
61047	UBS AG – China Life R Bear CBBC Sep 2012 B	100,000,000	2012/03/13	2012/09/03	25.00
61046	UBS AG – HKEx R Bear CBBC Jul 2012 F	100,000,000	2012/03/13	2012/07/16	25.00
61042	UBS AG – HSI R Bull CBBC Sep 2012 Y	300,000,000	2012/03/13	2012/09/27	75.00
61026	UBS AG – HSI R Bull CBBC Oct 2012 Z	300,000,000	2012/03/13	2012/10/30	75.00
61027	UBS AG – HSI R Bull CBBC Nov 2012 R	300,000,000	2012/03/13	2012/11/29	75.00
61031	UBS AG – HSI R Bear CBBC Jun 2012 A	300,000,000	2012/03/13	2012/06/28	75.00
61032	UBS AG – HSI R Bear CBBC Jun 2012 M	300,000,000	2012/03/13	2012/06/28	75.00
61044	UBS AG – HSI R Bear CBBC Jun 2012 P	300,000,000	2012/03/13	2012/06/28	75.00
61030	UBS AG – HSI R Bear CBBC Aug 2012 A	300,000,000	2012/03/13	2012/08/30	75.00
61098	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 E	200,000,000	2012/03/14	2012/12/28	50.00
61105	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 J	200,000,000	2012/03/14	2012/12/28	50.00
61106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 K	200,000,000	2012/03/14	2012/12/28	50.00
61096	Credit Suisse AG – China Life R Bear CBBC Aug 2012 A	60,000,000	2012/03/14	2012/08/27	21.60
61097	Credit Suisse AG – China Life R Bear CBBC Aug 2012 B	60,000,000	2012/03/14	2012/08/27	15.00
61095	Credit Suisse AG – HKEx R Bear CBBC Aug 2012 B	60,000,000	2012/03/14	2012/08/27	15.00
61080	Credit Suisse AG – HSI R Bull CBBC Sep 2012 U	400,000,000	2012/03/14	2012/09/27	100.00
61081	Credit Suisse AG – HSI R Bull CBBC Sep 2012 V	400,000,000	2012/03/14	2012/09/27	100.00

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61082	Credit Suisse AG – HSI R Bear CBBC Sep 2012 V	400,000,000	2012/03/14	2012/09/27	100.00
61083	Credit Suisse AG – HSI R Bear CBBC Sep 2012 W	400,000,000	2012/03/14	2012/09/27	100.00
61092	Credit Suisse AG – HSI R Bear CBBC Sep 2012 X	400,000,000	2012/03/14	2012/09/27	100.00
61093	Credit Suisse AG – TCH R Bear CBBC Aug 2012 A	60,000,000	2012/03/14	2012/08/27	15.00
61094	Credit Suisse AG – TCH R Bear CBBC Aug 2012 B	60,000,000	2012/03/14	2012/08/27	15.00
61089	Daiwa Capital Mkt – HSCEI R Bear CBBC Aug 2012 A	98,000,000	2012/03/14	2012/08/30	24.50
61087	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 J	208,000,000	2012/03/14	2012/06/28	52.00
61088	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 L	208,000,000	2012/03/14	2012/07/30	52.00
61103	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Z	208,000,000	2012/03/14	2012/06/28	52.00
61104	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 T	208,000,000	2012/03/14	2012/07/30	52.00
61070	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 F	150,000,000	2012/03/14	2012/06/28	38.10
61071	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 G	150,000,000	2012/03/14	2012/06/28	38.55
61072	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 H	150,000,000	2012/03/14	2012/06/28	38.85
61074	HK Bank – HSI R Bear CBBC Dec 2012 F	250,000,000	2012/03/14	2012/12/28	62.50
61075	HK Bank – HSI R Bear CBBC Dec 2012 G	250,000,000	2012/03/14	2012/12/28	62.50
61076	HK Bank – HSI R Bear CBBC Dec 2012 H	250,000,000	2012/03/14	2012/12/28	62.50
61077	HK Bank – HSI R Bear CBBC Dec 2012 I	250,000,000	2012/03/14	2012/12/28	62.50
61107	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 X	300,000,000	2012/03/14	2012/08/30	75.00
61073	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 M	40,000,000	2012/03/14	2012/10/30	10.00
61079	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12B	200,000,000	2012/03/14	2012/07/30	50.00
61078	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12Z	200,000,000	2012/03/14	2012/07/30	50.00
61086	UBS AG – HSI R Bull CBBC Oct 2012 J	300,000,000	2012/03/14	2012/10/30	75.00
61084	UBS AG – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/03/14	2012/12/28	75.00
61101	UBS AG – HSI R Bull CBBC Dec 2012 I	300,000,000	2012/03/14	2012/12/28	96.00
61102	UBS AG – HSI R Bull CBBC Dec 2012 J	300,000,000	2012/03/14	2012/12/28	81.00
61099	UBS AG – HSI R Bull CBBC Jan 2013 B	300,000,000	2012/03/14	2013/01/30	147.00
61100	UBS AG – HSI R Bull CBBC Feb 2013 A	300,000,000	2012/03/14	2013/02/27	138.00
61108	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2012/03/14	2012/06/28	75.00
61110	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/03/14	2012/07/30	75.00
61109	UBS AG – HSI R Bear CBBC Sep 2012 U	300,000,000	2012/03/14	2012/09/27	75.00
60482 [#]	UBS AG – Hutchison R Bull CBBC Jul 2012 D	120,000,000	2012/03/14	2012/07/23	10.08
61124	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/03/15	2012/08/30	100.00
61128	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/03/15	2012/08/30	100.00
61117	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Q	400,000,000	2012/03/15	2012/08/30	100.00
61118	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/03/15	2012/08/30	100.00
61119	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/03/15	2012/11/29	100.00
61112	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 E	150,000,000	2012/03/15	2012/06/28	39.75
61113	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 F	150,000,000	2012/03/15	2012/06/28	37.95
61111	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 I	150,000,000	2012/03/15	2012/06/28	37.65
61114	HK Bank – China Life R Bear CBBC Dec 2012 A	80,000,000	2012/03/15	2012/12/18	40.00
61115	HK Bank – China Life R Bear CBBC Dec 2012 B	80,000,000	2012/03/15	2012/12/18	48.00
61116	HK Bank – HSI R Bull CBBC Dec 2012 J	250,000,000	2012/03/15	2012/12/28	62.50
61135	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 S	300,000,000	2012/03/15	2012/08/30	75.00
61136	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 T	300,000,000	2012/03/15	2012/08/30	75.00
61120	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug12 C	200,000,000	2012/03/15	2012/08/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61121	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug12 D	200,000,000	2012/03/15	2012/08/30	50.00
61130	UBS AG – AIA R Bull CBBC Jul 2012 A	100,000,000	2012/03/15	2012/07/16	30.50
61127	UBS AG – HSCEI R Bear CBBC Jun 2012 D	100,000,000	2012/03/15	2012/06/28	25.00
61126	UBS AG – HSI R Bull CBBC Sep 2012 Z	300,000,000	2012/03/15	2012/09/27	75.00
61131	UBS AG – HSI R Bull CBBC Nov 2012 S	300,000,000	2012/03/15	2012/11/29	75.00
61125	UBS AG – HSI R Bear CBBC Jun 2012 D	300,000,000	2012/03/15	2012/06/28	75.00
61123	UBS AG – HSI R Bear CBBC Jun 2012 V	300,000,000	2012/03/15	2012/06/28	75.00
61122	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2012/03/15	2012/07/30	75.00
61129	UBS AG – ICBC R Bear CBBC Aug 2012 B	100,000,000	2012/03/15	2012/08/06	25.00
61145	Credit Suisse AG – HSI R Bull CBBC Jun 2012 O	400,000,000	2012/03/16	2012/06/28	100.00
61144	Credit Suisse AG – HSI R Bear CBBC Jun 2012 B	400,000,000	2012/03/16	2012/06/28	100.00
61146	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 H	208,000,000	2012/03/16	2012/06/28	52.00
61140	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12A	200,000,000	2012/03/16	2012/09/27	50.00
61141	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12B	200,000,000	2012/03/16	2012/09/27	50.00
61138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12E	200,000,000	2012/03/16	2012/08/30	50.00
61137	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12F	200,000,000	2012/03/16	2012/10/30	50.00
61150	UBS AG – China Mobile R Bear CBBC Aug 2012 A	100,000,000	2012/03/16	2012/08/13	25.00
61148	UBS AG – HSI R Bull CBBC Sep 2012 A	300,000,000	2012/03/16	2012/09/27	75.00
61147	UBS AG – HSI R Bull CBBC Dec 2012 K	300,000,000	2012/03/16	2012/12/28	75.00
61142	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2012/03/16	2012/06/28	75.00
61151	UBS AG – Hutchison R Bull CBBC Aug 2012 E	100,000,000	2012/03/16	2012/08/06	25.00
61152	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 G	150,000,000	2012/03/19	2012/06/28	37.80
61153	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 H	150,000,000	2012/03/19	2012/06/28	37.95
61155	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12F	200,000,000	2012/03/19	2012/08/30	50.00
61157	UBS AG – China Mobile R Bull CBBC Aug 2012 A	100,000,000	2012/03/19	2012/08/13	25.00
61154	UBS AG – HSI R Bull CBBC Nov 2012 T	300,000,000	2012/03/19	2012/11/29	75.00
61159	UBS AG – Hutchison R Bull CBBC Jul 2012 G	100,000,000	2012/03/19	2012/07/09	25.00
61158	UBS AG – Hutchison R Bear CBBC Aug 2012 D	100,000,000	2012/03/19	2012/08/06	25.00
61189	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jul 2012 A	200,000,000	2012/03/20	2012/07/30	50.00
61177	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2012/03/20	2012/06/28	100.00
61172	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/03/20	2012/06/28	100.00
61173	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/03/20	2012/06/28	100.00
61180	Credit Suisse AG – Hutchison R Bull CBBC Aug 2012 A	100,000,000	2012/03/20	2012/08/20	25.00
61181	Credit Suisse AG – Hutchison R Bear CBBC Aug 2012 A	100,000,000	2012/03/20	2012/08/20	25.00
61178	Credit Suisse AG – TCH R Bull CBBC Aug 2012 A	100,000,000	2012/03/20	2012/08/20	25.00
61179	Credit Suisse AG – TCH R Bear CBBC Aug 2012 C	100,000,000	2012/03/20	2012/08/20	25.00
61185	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 F	208,000,000	2012/03/20	2012/06/28	52.00
61186	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 M	208,000,000	2012/03/20	2012/07/30	52.00
61168	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/03/20	2012/06/28	52.00
61169	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	208,000,000	2012/03/20	2012/06/28	52.00
61161	HK Bank – ABC R Bull CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/18	20.00
61162	HK Bank – ABC R Bear CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/18	20.00
61163	HK Bank – AIA R Bull CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/18	43.20
61160	HK Bank – AIA R Bear CBBC Nov 2012 A	80,000,000	2012/03/20	2012/11/16	38.40
61164	HK Bank – ICBC R Bear CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/21	20.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61175	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/20	2012/07/30	50.00
61174	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12X	200,000,000	2012/03/20	2012/07/30	50.00
61165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12G	200,000,000	2012/03/20	2012/08/30	50.00
61166	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12H	200,000,000	2012/03/20	2012/08/30	50.00
61167	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12I	200,000,000	2012/03/20	2012/08/30	50.00
61184	UBS AG – HSCEI R Bull CBBC Oct 2012 B	100,000,000	2012/03/20	2012/10/30	25.00
61183	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/03/20	2012/10/30	75.00
61171	UBS AG – HSI R Bear CBBC Jun 2012 N	300,000,000	2012/03/20	2012/06/28	75.00
61170	UBS AG – HSI R Bear CBBC Jun 2012 U	300,000,000	2012/03/20	2012/06/28	75.00
61182	UBS AG – HSI R Bear CBBC Jul 2012 F	300,000,000	2012/03/20	2012/07/30	75.00
61214	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 B	200,000,000	2012/03/21	2013/03/27	50.00
61204	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 H	200,000,000	2012/03/21	2013/03/27	50.00
61205	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 I	200,000,000	2012/03/21	2013/03/27	50.00
61206	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/03/21	2013/03/27	50.00
61207	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/03/21	2013/03/27	50.00
61208	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 L	200,000,000	2012/03/21	2013/03/27	50.00
61209	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 M	200,000,000	2012/03/21	2013/03/27	50.00
61210	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 N	200,000,000	2012/03/21	2013/03/27	50.00
61211	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 O	200,000,000	2012/03/21	2013/03/27	50.00
61212	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 P	200,000,000	2012/03/21	2013/03/27	50.00
61213	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Q	200,000,000	2012/03/21	2013/03/27	50.00
61222	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 L	200,000,000	2012/03/21	2012/07/30	50.00
61223	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 M	200,000,000	2012/03/21	2012/07/30	50.00
61227	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 N	200,000,000	2012/03/21	2012/07/30	50.00
61202	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2012/03/21	2012/06/28	100.00
61201	Credit Suisse AG – HSI R Bull CBBC Jun 2012 W	400,000,000	2012/03/21	2012/06/28	100.00
61203	Credit Suisse AG – HSI R Bull CBBC Sep 2012 W	400,000,000	2012/03/21	2012/09/27	100.00
61197	Credit Suisse AG – HSI R Bear CBBC Jun 2012 D	400,000,000	2012/03/21	2012/06/28	100.00
61196	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/03/21	2012/06/28	100.00
61195	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 T	208,000,000	2012/03/21	2012/06/28	52.00
61218	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/03/21	2012/06/28	52.00
61220	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 U	300,000,000	2012/03/21	2012/08/30	75.00
61221	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 V	300,000,000	2012/03/21	2012/08/30	75.00
61191	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 M	40,000,000	2012/03/21	2012/10/30	10.00
61192	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 N	40,000,000	2012/03/21	2012/10/30	10.00
61200	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12C	200,000,000	2012/03/21	2012/07/30	50.00
61199	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12P	200,000,000	2012/03/21	2012/07/30	50.00
61193	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12J	200,000,000	2012/03/21	2012/08/30	50.00
61194	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12K	200,000,000	2012/03/21	2012/08/30	50.00
61216	UBS AG – HSI R Bull CBBC Sep 2012 R	300,000,000	2012/03/21	2012/09/27	75.00
61215	UBS AG – HSI R Bull CBBC Oct 2012 S	300,000,000	2012/03/21	2012/10/30	75.00
61217	UBS AG – HSI R Bull CBBC Oct 2012 T	300,000,000	2012/03/21	2012/10/30	75.00
61198	UBS AG – HSI R Bear CBBC Jun 2012 S	300,000,000	2012/03/21	2012/06/28	75.00
61219	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/03/21	2012/08/30	75.00
61003#	HK Bank – China Mobile R Bull CBBC Dec 2012 A	200,000,000	2012/03/21	2012/12/12	22.00

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61238	Credit Suisse AG – China Life R Bear CBBC Aug 2012 C	60,000,000	2012/03/22	2012/08/27	15.00
61235	Credit Suisse AG – HSI R Bull CBBC Jun 2012 B	400,000,000	2012/03/22	2012/06/28	100.00
61236	Credit Suisse AG – HSI R Bear CBBC Jun 2012 E	400,000,000	2012/03/22	2012/06/28	100.00
61237	Credit Suisse AG – HSI R Bear CBBC Jun 2012 F	400,000,000	2012/03/22	2012/06/28	100.00
61239	Credit Suisse AG – TCH R Bull CBBC Aug 2012 B	60,000,000	2012/03/22	2012/08/27	15.00
61240	Credit Suisse AG – TCH R Bear CBBC Aug 2012 D	60,000,000	2012/03/22	2012/08/27	17.40
61228	HK Bank – HSI R Bull CBBC Dec 2012 K	250,000,000	2012/03/22	2012/12/28	62.50
61229	HK Bank – HSI R Bull CBBC Dec 2012 L	250,000,000	2012/03/22	2012/12/28	62.50
61230	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12E	200,000,000	2012/03/22	2012/07/30	50.00
61233	UBS AG – HSI R Bull CBBC Nov 2012 U	300,000,000	2012/03/22	2012/11/29	75.00
61234	UBS AG – HSI R Bull CBBC Nov 2012 V	300,000,000	2012/03/22	2012/11/29	75.00
61242	UBS AG – HSI R Bull CBBC Nov 2012 W	300,000,000	2012/03/22	2012/11/29	75.00
61241	UBS AG – HSI R Bear CBBC Jul 2012 C	300,000,000	2012/03/22	2012/07/30	75.00
61232	UBS AG – TCH R Bull CBBC Aug 2012 B	100,000,000	2012/03/22	2012/08/13	25.00
61231	UBS AG – TCH R Bear CBBC Sep 2012 A	100,000,000	2012/03/22	2012/09/03	48.00
61243	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	400,000,000	2012/03/23	2012/06/28	100.00
61244	Credit Suisse AG – HSI R Bear CBBC Jun 2012 B	400,000,000	2012/03/23	2012/06/28	100.00
61245	Credit Suisse AG – TCH R Bull CBBC Sep 2012 A	100,000,000	2012/03/23	2012/09/28	25.00
61250	Credit Suisse AG – TCH R Bull CBBC Sep 2012 B	100,000,000	2012/03/23	2012/09/28	25.00
61246	Credit Suisse AG – TCH R Bear CBBC Sep 2012 A	100,000,000	2012/03/23	2012/09/28	33.00
61247	Credit Suisse AG – TCH R Bear CBBC Sep 2012 B	100,000,000	2012/03/23	2012/09/28	42.00
61251	Credit Suisse AG – TCH R Bear CBBC Sep 2012 C	100,000,000	2012/03/23	2012/09/28	25.00
61248	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12P	200,000,000	2012/03/23	2012/09/27	50.00
61249	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12Q	200,000,000	2012/03/23	2012/09/27	50.00
61253	UBS AG – HSI R Bull CBBC Dec 2012 L	300,000,000	2012/03/23	2012/12/28	75.00
61254	UBS AG – HSI R Bear CBBC Jun 2012 X	300,000,000	2012/03/23	2012/06/28	75.00
61252	UBS AG – TCH R Bear CBBC Sep 2012 B	100,000,000	2012/03/23	2012/09/10	52.00
61268	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 O	200,000,000	2012/03/26	2012/07/30	50.00
61269	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 P	200,000,000	2012/03/26	2012/07/30	50.00
61257	Credit Suisse AG – HSI R Bull CBBC Jun 2012 O	400,000,000	2012/03/26	2012/06/28	100.00
61255	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Z	400,000,000	2012/03/26	2012/06/28	100.00
61256	Credit Suisse AG – HSI R Bear CBBC Jun 2012 G	400,000,000	2012/03/26	2012/06/28	100.00
61261	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 E	208,000,000	2012/03/26	2012/06/28	52.00
61260	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Y	208,000,000	2012/03/26	2012/06/28	52.00
61267	UBS AG – China Mobile R Bear CBBC Aug 2012 B	100,000,000	2012/03/26	2012/08/27	25.00
61258	UBS AG – HSI R Bull CBBC Sep 2012 T	300,000,000	2012/03/26	2012/09/27	75.00
61259	UBS AG – HSI R Bull CBBC Dec 2012 M	300,000,000	2012/03/26	2012/12/28	75.00
61265	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/03/26	2012/06/28	75.00
61266	UBS AG – HSI R Bear CBBC Aug 2012 A	300,000,000	2012/03/26	2012/08/30	75.00
61264	UBS AG – TCH R Bull CBBC Aug 2012 C	100,000,000	2012/03/26	2012/08/27	25.00
61263	UBS AG – TCH R Bull CBBC Sep 2012 A	100,000,000	2012/03/26	2012/09/10	25.00
61262	UBS AG – TCH R Bear CBBC Aug 2012 C	100,000,000	2012/03/26	2012/08/13	38.00
61295	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 Q	200,000,000	2012/03/27	2012/07/30	50.00
61296	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 R	200,000,000	2012/03/27	2012/07/30	50.00
61285	Credit Suisse AG – China Life R Bear CBBC Jul 2012 B	80,000,000	2012/03/27	2012/07/30	20.00

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61286	Credit Suisse AG – China Life R Bear CBBC Jul 2012 C	80,000,000	2012/03/27	2012/07/30	20.00
61283	Credit Suisse AG – A50 China R Bear CBBC Aug 2012 A	60,000,000	2012/03/27	2012/08/27	15.00
61284	Credit Suisse AG – A50 China R Bear CBBC Aug 2012 B	60,000,000	2012/03/27	2012/08/27	15.00
61278	Credit Suisse AG – HSI R Bull CBBC Jun 2012 C	400,000,000	2012/03/27	2012/06/28	100.00
61277	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2012/03/27	2012/06/28	100.00
61291	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2012/03/27	2012/07/30	100.00
61281	Credit Suisse AG – HSI R Bear CBBC Jun 2012 H	400,000,000	2012/03/27	2012/06/28	100.00
61282	Credit Suisse AG – HSI R Bear CBBC Jun 2012 I	400,000,000	2012/03/27	2012/06/28	100.00
61292	Credit Suisse AG – HSI R Bear CBBC Jun 2012 J	400,000,000	2012/03/27	2012/06/28	100.00
61293	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Y	400,000,000	2012/03/27	2012/09/27	100.00
61287	Credit Suisse AG – TCH R Bear CBBC Jul 2012 H	80,000,000	2012/03/27	2012/07/30	36.80
61299	Daiwa Capital Mkt – HSCEI R Bear CBBC Aug 2012 B	98,000,000	2012/03/27	2012/08/30	24.50
61288	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Z	208,000,000	2012/03/27	2012/06/28	52.00
61298	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/03/27	2012/06/28	52.00
61297	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Z	208,000,000	2012/03/27	2012/06/28	52.00
61270	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12I	200,000,000	2012/03/27	2012/07/30	50.00
61274	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/27	2012/07/30	50.00
61275	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12W	200,000,000	2012/03/27	2012/07/30	50.00
61279	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12L	200,000,000	2012/03/27	2012/08/30	50.00
61280	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12M	200,000,000	2012/03/27	2012/08/30	50.00
61271	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12R	200,000,000	2012/03/27	2012/09/27	50.00
61272	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12S	200,000,000	2012/03/27	2012/09/27	50.00
61273	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12T	200,000,000	2012/03/27	2012/09/27	50.00
61289	UBS AG – HSI R Bull CBBC Sep 2012 W	300,000,000	2012/03/27	2012/09/27	75.00
61276	UBS AG – HSI R Bull CBBC Oct 2012 V	300,000,000	2012/03/27	2012/10/30	75.00
61290	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/03/27	2012/06/28	75.00
61294	UBS AG – HSI R Bear CBBC Nov 2012 I	300,000,000	2012/03/27	2012/11/29	75.00
61313	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 R	200,000,000	2012/03/28	2013/03/27	50.00
61305	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2012/03/28	2012/06/28	100.00
61306	Credit Suisse AG – HSI R Bear CBBC Jun 2012 L	400,000,000	2012/03/28	2012/06/28	100.00
61307	Credit Suisse AG – HSI R Bear CBBC Jun 2012 M	400,000,000	2012/03/28	2012/06/28	100.00
61312	Credit Suisse AG – HSI R Bear CBBC Jun 2012 N	400,000,000	2012/03/28	2012/06/28	100.00
61303	HK Bank – TCH R Bull CBBC Dec 2012 A	200,000,000	2012/03/28	2012/12/12	50.00
61304	HK Bank – TCH R Bear CBBC Dec 2012 B	200,000,000	2012/03/28	2012/12/12	70.00
61321	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 P	300,000,000	2012/03/28	2012/08/30	75.00
61319	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Y	300,000,000	2012/03/28	2012/08/30	75.00
61320	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Z	300,000,000	2012/03/28	2012/08/30	75.00
61302	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 N	40,000,000	2012/03/28	2012/10/30	10.00
61300	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12A	200,000,000	2012/03/28	2012/07/30	50.00
61310	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12C	200,000,000	2012/03/28	2012/09/27	50.00
61311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12G	200,000,000	2012/03/28	2012/10/30	52.00
61301	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12N	200,000,000	2012/03/28	2012/08/30	50.00
61318	UBS AG – China Life R Bear CBBC Aug 2012 F	100,000,000	2012/03/28	2012/08/27	25.00
61317	UBS AG – A50 China R Bear CBBC Aug 2012 D	100,000,000	2012/03/28	2012/08/20	25.00
61309	UBS AG – HSI R Bull CBBC Dec 2012 N	300,000,000	2012/03/28	2012/12/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61316	UBS AG – HSI R Bear CBBC Jun 2012 Y	300,000,000	2012/03/28	2012/06/28	75.00
61315	UBS AG – HSI R Bear CBBC Jul 2012 B	300,000,000	2012/03/28	2012/07/30	75.00
61334	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 S	200,000,000	2012/03/29	2013/03/27	50.00
61327	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 S	200,000,000	2012/03/29	2012/07/30	50.00
61322	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12A	200,000,000	2012/03/29	2012/11/29	50.00
61323	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/03/29	2012/11/29	50.00
61324	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12C	200,000,000	2012/03/29	2012/11/29	50.00
61325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12D	200,000,000	2012/03/29	2012/11/29	50.00
61326	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12E	200,000,000	2012/03/29	2012/11/29	50.00
61331	UBS AG – HSI R Bull CBBC Sep 2012 U	300,000,000	2012/03/29	2012/09/27	75.00
61332	UBS AG – HSI R Bull CBBC Nov 2012 X	300,000,000	2012/03/29	2012/11/29	75.00
61333	UBS AG – HSI R Bull CBBC Nov 2012 Y	300,000,000	2012/03/29	2012/11/29	75.00
61330	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/03/29	2012/12/28	75.00
61329	UBS AG – HSI R Bull CBBC Jan 2013 C	300,000,000	2012/03/29	2013/01/30	75.00
61328	UBS AG – HSI R Bear CBBC Sep 2012 V	300,000,000	2012/03/29	2012/09/27	75.00
61357	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 T	200,000,000	2012/03/30	2012/07/30	50.00
61358	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 U	200,000,000	2012/03/30	2012/07/30	50.00
61345	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/03/30	2012/07/30	100.00
61344	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	400,000,000	2012/03/30	2012/07/30	100.00
61350	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/03/30	2012/07/30	100.00
61349	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 N	208,000,000	2012/03/30	2012/07/30	52.00
61353	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 U	208,000,000	2012/03/30	2012/07/30	52.00
61354	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 V	208,000,000	2012/03/30	2012/07/30	52.00
61335	HK Bank – HSI R Bear CBBC Dec 2012 J	250,000,000	2012/03/30	2012/12/28	62.50
61337	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12F	200,000,000	2012/03/30	2012/11/29	50.00
61338	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12G	200,000,000	2012/03/30	2012/11/29	50.00
61339	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/03/30	2012/11/29	50.00
61340	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/03/30	2012/11/29	50.00
61341	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12J	200,000,000	2012/03/30	2012/11/29	50.00
61343	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/03/30	2012/11/29	50.00
61347	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12L	200,000,000	2012/03/30	2012/11/29	50.00
61348	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12M	200,000,000	2012/03/30	2012/11/29	50.00
61346	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/03/30	2012/07/30	50.00
61342	UBS AG – HSI R Bull CBBC Oct 2012 X	300,000,000	2012/03/30	2012/10/30	75.00
61352	UBS AG – HSI R Bull CBBC Dec 2012 P	300,000,000	2012/03/30	2012/12/28	75.00
61351	UBS AG – HSI R Bull CBBC Jan 2013 D	300,000,000	2012/03/30	2013/01/30	75.00
61356	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/03/30	2012/07/30	75.00
61355	UBS AG – HSI R Bear CBBC Sep 2012 W	300,000,000	2012/03/30	2012/09/27	75.00
60181 [#]	UBS AG – Ping An R Bull CBBC Jul 2012 C	100,000,000	2012/03/30	2012/07/09	11.00
總額					82,599.66

[#] 進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2011	第1季	2	139.30
	第2季	3	331.18
	第3季	3	62.60
	第4季	4	38.72
2012	第1季	4	37.53

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2011	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—
2012	第1季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2011	第1季	7	0.06
	第2季	7	0.32
	第3季	7	0.13
	第4季	7	0.00
2012	第1季	7	0.00

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2011	第1季	2	—
	第2季	2	—
	第3季	2	—
	第4季	2	—
2012	第1季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2011	第1季	124,595.93	22,614.51	608,740
	第2季	79,170.20	20,231.96	507,155
	第3季	40,276.54	10,135.61	316,791
	第4季	41,259.61	9,971.36	288,715
2012	第1季	41,517.67	12,232.86	343,014

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2011	第1季	62	364.75	37,150
	第2季	59	342.91	39,893
	第3季	63	160.88	31,995
	第4季	62	160.83	34,537
2012	第1季	61	200.54	35,663

20種升幅最大之創業板股份

2012年第1季

順次	股份代號	股份	收市價		升幅
			2012年3月底	2011年12月底	
1	08020	川盟金融	1.700	0.380	347.37
2	08211	浙江永隆實業	0.220	0.077	185.71
3	08167	中國新電信	0.660	0.280	135.71
4	08166	中國農業生態	0.107	0.055	94.55
5	08128	中國地能	0.435	0.242	79.75
6	08173	寰亞礦業	0.285	0.165	72.73
7	08108	名家國際	0.127	0.078	62.82
8	08078	中國3D數碼	0.243	0.155	56.77
9	08337	直通電訊	0.195	0.125	56.00
10	08143	華夏醫療	0.191	0.128	49.22
11	08295	卓亞資本	0.139	0.094	47.87
12	08231	復旦張江	2.010	1.400	43.57
13	08228	國藝控股	0.940	0.690	36.23
14	08251	上海棟華	0.495	0.365	35.62
15	08103	泰盛國際控股	0.248	0.191	29.84
16	08006	中華網	2.400	1.880	27.66
17	08220	比高集團	0.088	0.069	27.54
18	08005	裕興科技	0.750	0.590	27.12
19	08070	中裕燃氣	0.900	0.710	26.76
20	08047	中國掌付	0.119	0.094	26.60

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2012年第1季

順次	股份代號	股份	收市價		跌幅
			2012年3月底	2011年12月底	
1	08017	百齡國際	0.255	1.240 A	-79.44
2	08179	喜尚控股	0.119	0.370 A	-67.84
3	08055	中國網絡教育	0.099	0.237	-58.23
4	08239	明基控股	0.345	0.770	-55.19
5	08150	無縫綠色	0.405	0.830 A	-51.20
6	08100	聯夢活力世界	0.064	0.128	-50.00
7	08206	神通電信服務	0.495	0.920	-46.20
8	08163	萬德資源	0.031	0.054	-42.59
9	08226	中昱科技	0.530	0.880	-39.77
10	08178	中國信息科技	0.061	0.101	-39.60
11	08246	名軒控股	0.630	1.010	-37.62
12	08120	東麟農業	0.750	1.200	-37.50
13	08253	天元鋁業	0.040	0.063	-36.51
14	08171	中國趨勢	0.016	0.025	-36.00
15	08083	中國創新支付	0.141	0.215	-34.42
16	08071	中彩網通控股	0.295	0.445 A	-33.71
17	08186	神州東盟資源	0.066	0.098	-32.65
18	08086	易寶	0.930	1.360	-31.62
19	08111	中科光電	0.160	0.233	-31.33
20	08041	中郵電貿(控股)	0.093	0.134	-30.60

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2012年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08029	太陽國際	1,366.89	11.17
2	08043	年代煤礦機電	984.29	8.05
3	08356	中國新華電視	898.26	7.34
4	08207	中國信貸	807.77	6.60
5	08137	洪橋集團	628.36	5.14
6	08046	恒芯中國	385.75	3.15
7	08179	喜尚控股	383.09	3.13
8	08192	環球能源資源	382.59	3.13
9	08078	中國3D數碼	331.41	2.71
10	08085	中民安園	302.37	2.47
11	08008	新意網集團	274.38	2.24
12	08167	中國新電信	271.87	2.22
13	08158	中國生物醫學	259.20	2.12
14	08161	華彩控股	253.57	2.07
15	08086	易寶	250.93	2.05
16	08116	中國公共醫療	216.74	1.77
17	08239	明基控股	200.88	1.64
18	08270	中國聯盛	193.67	1.58
19	08215	FIRST CREDIT	162.21	1.33
20	08017	百齡國際	159.14	1.30
總額			8,713.37	71.23

創業板 股份

20種成交最活躍創業板股份(股數)

2012年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08017	百齡國際	3,987.47	9.60
2	08116	中國公共醫療	3,921.02	9.44
3	08163	萬德資源	2,304.04	5.55
4	08192	環球能源資源	2,149.12	5.18
5	08161	華彩控股	1,946.73	4.69
6	08080	北亞策略	1,260.67	3.04
7	08078	中國3D數碼	1,202.77	2.90
8	08043	年代煤礦機電	1,159.87	2.79
9	08009	菱控有限公司	1,135.05	2.73
10	08032	非凡中國	1,091.12	2.63
11	08179	喜尚控股	961.47	2.32
12	08158	中國生物醫學	926.06	2.23
13	08085	中民安園	886.95	2.14
14	08207	中國信貸	849.96	2.05
15	08356	中國新華電視	813.12	1.96
16	08029	太陽國際	794.66	1.91
17	08250	中國天然投資	730.73	1.76
18	08041	中郵電貿(控股)	650.88	1.57
19	08166	中國農業生態	600.01	1.45
20	08096	瑞豐石化	587.08	1.41
總數			27,958.76	67.34

創業板 股份

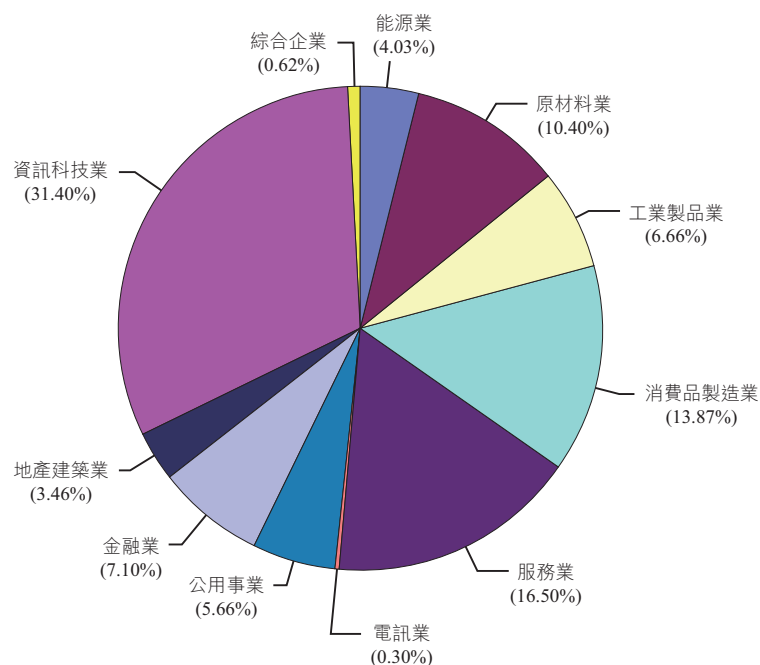
創業板公司市價總值

截至季末

		百萬港元
2011	第1季	137,835.27
	第2季	111,535.83
	第3季	77,346.08
	第4季	84,589.06
2012	第1季	84,618.24

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2012年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	6,950.36	8.21
2	08086	易寶有限公司	4,940.68	5.84
3	08043	年代煤礦機電設備制造有限公司	4,835.03	5.71
4	08035	濱海投資有限公司	2,516.98	2.97
5	08008	新意網集團有限公司	2,507.99	2.96
6	08070	中裕燃氣控股有限公司	2,270.71	2.68
7	08192	環球能源資源國際集團有限公司	2,045.32	2.42
8	08032	非凡中國控股有限公司	2,041.76	2.41
9	08158	中國生物醫學再生科技有限公司	1,834.79	2.17
10	08207	中國信貸控股有限公司	1,715.00	2.03
11	08356	中國新華電視控股有限公司	1,681.38	1.99
12	08046	恒芯中國控股有限公司	1,667.87	1.97
13	08075	寰亞傳媒集團有限公司	1,589.97	1.88
14	08167	中國新電信集團有限公司	1,499.24	1.77
15	08029	太陽國際資源有限公司	1,493.44	1.76
16	08122	先健科技公司	1,340.00	1.58
17	08005	裕興科技投資控股有限公司	1,301.34	1.54
18	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	1,281.31	1.51
19	08066	品創控股有限公司	1,133.69	1.34
20	08292	慧聰網有限公司	1,092.63	1.29
21	08279	亞博科技控股有限公司	1,037.87	1.23
22	08058	山東羅欣藥業股份有限公司－H股	1,036.73	1.23
23	08090	中國融保金融集團有限公司	1,001.00	1.18
24	08155	南華置地有限公司	950.17	1.12
25	08128	中國地能有限公司	898.41	1.06
26	08096	瑞豐石化控股有限公司	897.59	1.06
27	08085	中民安園控股有限公司	860.01	1.02
28	08020	川盟金融集團有限公司	850.00	1.00
29	08161	華彩控股有限公司	830.14	0.98
30	08228	國藝控股有限公司	753.18	0.89
31	08102	上海復旦微電子集團股份有限公司－H股	722.14	0.85
32	08351	俊文寶石國際有限公司	700.53	0.83
33	08203	凱順能源集團有限公司	635.93	0.75
34	08206	神通電信服務有限公司	591.38	0.70
35	08016	中華數據廣播控股有限公司	581.16	0.69
36	08123	首華財經網絡集團有限公司	576.14	0.68
37	08061	光亞有限公司	562.17	0.66
38	08083	中國創新支付集團有限公司	559.01	0.66
39	08109	科瑞控股有限公司	549.12	0.65
40	08071	中彩網通控股有限公司	547.00	0.65
41	08175	中國數碼版權(集團)有限公司	537.54	0.64
42	08220	比高集團控股有限公司	536.52	0.63
43	08011	百田石油國際集團有限公司	528.53	0.62
44	08080	北亞策略控股有限公司	525.88	0.62
45	08116	中國公共醫療(控股)有限公司	495.99	0.59
46	08153	科地農業控股有限公司	488.48	0.58
47	08129	中國生物資源控股有限公司	483.99	0.57
48	08025	亞洲資產(控股)有限公司	465.56	0.55
49	08306	中國有色金屬有限公司	460.60	0.54
50	08156	眾彩科技股份有限公司	454.79	0.54
總額			65,857.07	77.83
市場總值			84,618.24	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2011	第1季	3	452.01
	第2季	2	139.50
	第3季	3	193.04
	第4季	5	549.31
2012	第1季	3	189.80

創業板新上市公司

2012年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08090	中國融保金融集團有限公司	2012/01/06	1,540,000,000	107.80
08031	易通訊集團有限公司	2012/01/09	280,000,000	42.00
08242	宏創高科集團有限公司	2012/01/19	200,000,000	40.00
總額				189.80

除牌上市公司－創業板

2012年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
NIL			

創業板公司名稱更改

2012年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
08120	中華藥業生物科學有限公司	東麟農業集團有限公司	2011/12/13	2012/01/16
08356	進業控股有限公司	中國新華電視控股有限公司	2012/01/16	2012/02/08

創業板 股份

創業板紅股／紅利認股權證

2012年第1季

股份代號	公司	詳情	除淨日
NIL			

創業板股份拆細及合併

2012年第1季

股份代號	公司	詳情	生效日期
08179	喜尚控股有限公司	1股分拆為10股	2012/01/13
08053	中國電力科技控股有限公司	5股合併為1股	2012/01/17
08071	中彩網通控股有限公司	5股合併為1股	2012/02/27
08017	百齡國際(控股)有限公司	20股合併為1股	2012/03/06

創業板供股／公開售股

2012年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
2月	08272	華人飲食集團有限公司	公開售股2供1，每股供0.01港元	14.41
	08053	中國電力科技控股有限公司	公開售股1供4，每股供0.0674港元	50.89
總額				65.30

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2012					
1月	08212	香港生命集團	21,000,000	0.290	6.09
2月	08017	百齡國際	520,000,000	0.040	20.80
	08096	瑞豐石化	43,672,000	0.229	10.00
3月	08220	比高集團	1,300,000,000	0.030	39.00
	08295	卓亞資本	240,000,000	0.105	25.20
	08166	中國農業生態	280,000,000	0.068	19.04
	08078	中國3D數碼	999,990,000	0.080	80.00
總額					200.13

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2011	第1季	2	2.74	43.08
	第2季	2	1.03	21.87
	第3季	2	0.23	25.46
	第4季	2	0.07	15.97
2012	第1季	2	—	15.97

創業板新上市股份認股權證

2012年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2012年3月底 收市	2011年12月底 收市	升跌
標準普爾／香港交易所大型股指數	24788.87	22252.19	+ 11.40%
標準普爾／香港交易所創業板指數	447.66	474.80	- 5.72%
恒生指數	20555.58	18434.39	+ 11.51%
分類指數			
金融	27921.55	24903.00	+ 12.12%
公用事業	46701.88	45400.86	+ 2.87%
地產	24844.35	22812.24	+ 8.91%
工商業	12536.85	11126.69	+ 12.67%
恒生香港中資企業指數	3986.72	3682.18	+ 8.27%
恒生中國企業指數	10640.16	9936.48	+ 7.08%

股票市場指數

世界指數

指數	2012年3月底 收市	2011年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	24,788.87	22,252.19	+ 11.40%
恒生指數	20,555.58	18,434.39	+ 11.51%
澳洲			
普通股指數	4,419.97	4,111.04	+ 7.51%
雅加達			
綜合指數	4,121.55	3,821.99	+ 7.84%
日本			
日經指數(225)	10,083.56	8,455.35	+ 19.26%
韓國			
綜合指數	2,014.04	1,825.74	+ 10.31%
吉隆坡			
綜合指數	1,596.33	1,530.73	+ 4.29%
馬尼拉			
綜合指數	5,107.73	4,371.96	+ 16.83%
紐西蘭			
證交所指數(50)	3,509.55	3,274.71	+ 7.17%
上海			
A股指數	2,370.07	2,304.12	+ 2.86%
B股指數	230.33	215.26	+ 7.00%
深圳			
A股指數	932.23	906.91	+ 2.79%
B股指數	640.13	567.04	+ 12.89%
新加坡			
FTSE海峽時報指數	3,010.46	2,646.35	+ 13.76%
台灣			
台北加權股份指數	7,933.00	7,072.08	+ 12.17%
泰國			
證交所指數	1,196.77	1,025.32	+ 16.72%
紐約			
杜瓊斯工業平均指數	13,212.04	12,217.56	+ 8.14%
Nasdaq綜合指數	3,091.57	2,605.15	+ 18.67%
多倫多			
S&P/TSX綜合指數	12,392.18	11,955.09	+ 3.66%
德國			
法蘭克福指數	3,924.79	3,346.06	+ 17.30%
倫敦			
富時指數(100)	5,768.50	5,572.30	+ 3.52%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2012年第1季	2011年第1季
恒生指數期貨	5,140,968	5,589,341
恒生指數期權	2,163,972	2,673,755
小型恒生指數期貨	2,349,431	2,176,878
小型恒生指數期權	220,641	195,420
H股指數期貨	3,860,446	3,206,173
H股指數期權	1,285,722	855,503
小型H股指數期貨	398,659	349,420
自訂條款恒生指數期權	—	4,810
自訂條款H股指數期權	1,300	—
恒指股息點指數期貨	5,404	7,085
恒生國企股息點指數期貨	55,900	2,979
恒指波幅指數期貨 ¹	289	—
IBOVESPA期貨 ²	—	—
MICEX指數期貨 ³	—	—
Sensex指數期貨 ⁴	5	—
FTSE/JSE Top40期貨 ⁵	—	—
股票期貨	78,870	108,130
股票期權	14,545,164	18,417,824
三個月港元利率期貨	95	127
一個月港元利率期貨	10	55
三年期外匯基金債券期貨	—	—
黃金期貨	—	1,576
總數	30,106,876	33,589,076

¹ 恒指波幅指數期貨由2012年2月20日開始買賣

² IBOVESPA期貨由2012年3月30日開始買賣

³ MICEX指數期貨由2012年3月30日開始買賣

⁴ Sensex期貨由2012年3月30日開始買賣

⁵ FTSE/JSE Top40期貨由2012年3月30日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第1季	5,589,341	89,300
	第2季	5,167,935	95,917
	第3季	6,611,545	128,201
	第4季	5,717,012	86,409
2012年	第1季	5,140,968	99,821

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第1季	2,176,878	8,475
	第2季	2,160,435	10,213
	第3季	3,084,022	11,015
	第4季	2,873,202	5,129
2012年	第1季	2,349,431	6,653

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第1季	2,673,755	229,086
	第2季	2,584,559	222,085
	第3季	3,097,483	431,313
	第4季	2,311,629	184,402
2012年	第1季	2,163,972	284,586

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第1季	3,206,173	107,348
	第2季	3,371,887	114,333
	第3季	4,358,785	155,125
	第4季	4,067,025	106,277
2012年	第1季	3,860,446	117,764

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2011年	第1季	16,446.28	18,417,824	5,912,772
	第2季	14,491.79	16,340,993	5,622,028
	第3季	24,566.72	21,961,666	8,112,569
	第4季	16,977.30	17,604,585	5,329,494
2012年	第1季	9,756.42	14,545,164	4,971,657

衍生產品市場 期貨及期權

各期權類別之期權金總額

2012年第1季

期權類別		期權金額(百萬港元)	佔市場百分比
X 安碩 A50 中國	A50	192.78	1.98%
農業銀行	ABC	165.61	1.70%
安徽海螺水泥股份	ACC	29.69	0.30%
友邦保險	AIA	376.09	3.85%
中國鋁業	ALC	16.84	0.17%
中國銀行	BCL	145.27	1.49%
交通銀行	BCM	48.11	0.49%
東亞銀行	BEA	39.02	0.40%
百麗國際	BIH	12.49	0.13%
中銀香港	BOC	60.60	0.62%
比亞迪股份	BYD	61.21	0.63%
建設銀行	CCB	481.02	4.93%
中國交通建設	CCC	27.42	0.28%
中國中煤能源	CCE	47.77	0.49%
中國遠洋	CCS	16.28	0.17%
中國移動	CHT	835.84	8.57%
中國聯通	CHU	116.98	1.20%
中信泰富	CIT	36.59	0.37%
長江實業	CKH	323.86	3.32%
中國人壽	CLI	689.40	7.07%
中電控股	CLP	39.01	0.40%
招商銀行	CMB	260.93	2.67%
中國海洋石油	CNC	308.96	3.17%
中國海外發展	COL	117.46	1.20%
國泰航空	CPA	9.15	0.09%
中國石油化工股份	CPC	149.36	1.53%
中國太保	CPI	62.17	0.64%
中國鐵建	CRC	6.65	0.07%
中國中鐵	CRG	3.87	0.04%
X 標智滬深 300	CS3	2.72	0.03%
中國神華	CSE	158.34	1.62%
中信銀行	CTB	9.16	0.09%
中國電信	CTC	52.86	0.54%
思捷環球	ESP	26.31	0.27%
富士康國際	FIH	1.87	0.02%
電能實業	HEH	42.65	0.44%
香港交易所	HEX	282.14	2.89%
匯豐控股	HKB	949.59	9.73%
香港中華煤氣	HKG	7.07	0.07%
恒基地產	HLD	38.81	0.40%
華能國際電力股份	HNP	5.47	0.06%
恒生銀行	HSB	36.22	0.37%
和記黃埔	HWL	762.60	7.82%
工商銀行	ICB	369.13	3.78%
江西銅業	JXC	131.61	1.35%
利豐	LIF	46.75	0.48%
地鐵公司	MTR	11.96	0.12%
中國建材	NBM	47.12	0.48%
新世界發展	NWD	34.61	0.35%
中國平安	PAI	547.53	5.61%
中國石油股份	PEC	230.70	2.36%
中國人民財產保險	PIC	54.74	0.56%
富力地產	RFP	10.77	0.11%
新鴻基地產	SHK	435.95	4.47%
太古股份公司 A	SWA	61.35	0.63%
騰訊控股	TCH	524.32	5.37%
盈富基金	TRF	6.26	0.06%
九龍倉集團	WHL	43.99	0.45%
兗州煤業股份	YZC	133.38	1.37%
紫金礦業	ZJM	10.03	0.10%
總額		9,756.42	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2012年第1季

期權類別		合約成交量	佔市場百分比
X 安碩 A50 中國	A50	121,074	0.83%
農業銀行	ABC	77,213	0.53%
安徽海螺水泥股份	ACC	57,147	0.39%
友邦保險	AIA	325,221	2.24%
中國鋁業	ALC	40,485	0.28%
中國銀行	BCL	808,459	5.56%
交通銀行	BCM	109,794	0.75%
東亞銀行	BEA	104,138	0.72%
百麗國際	BIH	22,065	0.15%
中銀香港	BOC	147,110	1.01%
比亞迪股份	BYD	73,794	0.51%
建設銀行	CCB	2,100,753	14.44%
中國交通建設	CCC	74,425	0.51%
中國中煤能源	CCE	85,423	0.59%
中國遠洋	CCS	83,900	0.58%
中國移動	CHT	850,811	5.85%
中國聯通	CHU	104,396	0.72%
中信泰富	CIT	60,458	0.42%
長江實業	CKH	107,613	0.74%
中國人壽	CLI	741,755	5.10%
中電控股	CLP	65,901	0.45%
招商銀行	CMB	379,867	2.61%
中國海洋石油	CNC	409,363	2.81%
中國海外發展	COL	91,003	0.63%
國泰航空	CPA	13,626	0.09%
中國石油化工股份	CPC	251,437	1.73%
中國太保	CPI	58,095	0.40%
中國鐵建	CRC	28,438	0.20%
中國中鐵	CRG	17,462	0.12%
X 標智滬深 300	CS3	2,180	0.01%
中國神華	CSE	224,239	1.54%
中信銀行	CTB	37,233	0.26%
中國電信	CTC	133,185	0.92%
思捷環球	ESP	275,358	1.89%
富士康國際	FIH	6,976	0.05%
電能實業	HEH	64,577	0.44%
香港交易所	HEX	709,670	4.88%
匯豐控股	HKB	1,227,111	8.44%
香港中華煤氣	HKG	15,439	0.11%
恒基地產	HLD	17,722	0.12%
華能國際電力股份	HNP	13,486	0.09%
恒生銀行	HSB	210,428	1.45%
和記黃埔	HWL	325,141	2.24%
工商銀行	ICB	1,647,061	11.32%
江西銅業	JXC	153,849	1.06%
利豐	LIF	30,190	0.21%
地鐵公司	MTR	38,804	0.27%
中國建材	NBM	42,954	0.30%
新世界發展	NWD	65,165	0.45%
中國平安	PAI	393,911	2.71%
中國石油股份	PEC	248,702	1.71%
中國人民財產保險	PIC	50,637	0.35%
富力地產	RFP	43,624	0.30%
新鴻基地產	SHK	133,459	0.92%
太古股份公司 A	SWA	66,519	0.46%
騰訊控股	TCH	828,526	5.70%
盈富基金	TRF	29,011	0.20%
九龍倉集團	WHL	25,894	0.18%
兗州煤業股份	YZC	51,452	0.35%
紫金礦業	ZJM	21,435	0.15%
總數		14,545,164	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月		交收指示的數量	股數 (10億股)	數值 (10億港元)
2011	第1季	86,292	47.11	202.59
	第2季	87,498	49.00	233.07
	第3季	84,654	50.41	221.54
	第4季	76,802	44.37	186.44
2012	第1季	79,872	41.52	179.93

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月		投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2011	第1季	516	178.77	227.52
	第2季	527	108.05	323.66
	第3季	489	123.92	383.03
	第4季	342	83.77	97.11
2012	第1季	351	69.05	265.24

經中央結算系統存管的股份

(按季度結存統計)

年／月		股數 (10億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2011	第1季	3,933.19	72.49	11,539.57	50.44
	第2季	3,707.09	69.37	11,612.87	50.11
	第3季	3,771.07	71.19	8,909.87	49.96
	第4季	3,694.24	70.17	9,599.91	50.23
2012	第1季	3,751.61	70.60	10,833.95	50.26

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2011	第1季	855,117	100	164,899.54	100	75,908.44	100
	第2季	869,474	100	156,793.01	100	71,017.11	100
	第3季	908,434	100	165,464.02	100	72,538.55	100
	第4季	857,422	100	161,396.94	100	59,305.03	100
2012	第1季	877,050	100	160,501.58	100	63,178.33	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2011	第1季	74,506	95.39	27,083.36	91.57
	第2季	70,588	95.73	25,359.54	91.71
	第3季	63,710	96.23	20,235.50	93.60
	第4季	56,834	96.38	18,005.04	94.13
2012	第1季	63,528	96.11	20,054.26	93.60

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2011	第1季	99.90	99.99
	第2季	99.88	99.99
	第3季	99.87	99.99
	第4季	99.89	99.99
2012	第1季	99.90	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2012年第1季	2011年第1季
恒生指數期貨	99,821	89,300
恒生指數期權	284,586	229,086
小型恒生指數期貨	6,653	8,475
小型恒生指數期權	5,195	7,571
H股指數期貨	117,764	107,348
H股指數期權	291,787	134,324
小型H股指數期貨	2,575	2,109
自訂條款恒生指數期權	7,510	4,810
自訂條款H股指數期權	4,400	—
恒指股息點指數期貨	5,453	5,439
恒生國企股息點指數期貨	49,815	3,122
恒指波幅指數期貨 ¹	39	—
IBOVESPA期貨 ²	—	—
MICEX指數期貨 ³	—	—
Sensex指數期貨 ⁴	4	—
FTSE/JSE Top40期貨 ⁵	—	—
股票期貨	14,178	18,118
股票期權	4,971,657	5,912,772
三個月港元利率期貨	95	134
一個月港元利率期貨	—	39
三年期外匯基金債券期貨	—	—
黃金期貨	—	178
總數	5,861,532	6,522,825

¹ 恒指波幅指數期貨由2012年2月20日開始買賣

² IBOVESPA期貨由2012年3月30日開始買賣

³ MICEX指數期貨由2012年3月30日開始買賣

⁴ Sensex期貨由2012年3月30日開始買賣

⁵ FTSE/JSE Top40期貨由2012年3月30日開始買賣

結算及交收

各期權類別之未平倉合約

2012年第1季末

期權類別		未平倉合約	佔市場百份比
× 安碩 A50 中國	A50	40,163	0.81
農業銀行	ABC	48,867	0.98
安徽海螺水泥股份	ACC	14,792	0.30
友邦保險	AIA	144,697	2.91
中國鋁業	ALC	17,785	0.36
中國銀行	BCL	446,470	8.98
交通銀行	BCM	83,096	1.67
東亞銀行	BEA	38,075	0.77
百麗國際	BIH	5,729	0.12
中銀香港	BOC	101,326	2.04
比亞迪股份	BYD	21,949	0.44
建設銀行	CCB	565,736	11.38
中國交通建設	CCC	36,158	0.73
中國中煤能源	CCE	104,407	2.10
中國遠洋	CCS	25,517	0.51
中國移動	CHT	213,185	4.29
中國聯通	CHU	42,336	0.85
中信泰富	CIT	28,791	0.58
長江實業	CKH	36,655	0.74
中國人壽	CLI	193,225	3.89
中電控股	CLP	11,098	0.22
招商銀行	CMB	181,881	3.66
中國海洋石油	CNC	196,412	3.95
中國海外發展	COL	26,855	0.54
國泰航空	CPA	6,325	0.13
中國石油化工股份	CPC	151,535	3.05
中國太保	CPI	23,306	0.47
中國鐵建	CRC	16,907	0.34
中國中鐵	CRG	6,506	0.13
× 標智滬深300	CS3	626	0.01
中國神華	CSE	149,473	3.01
中信銀行	CTB	16,237	0.33
中國電信	CTC	83,914	1.69
思捷環球	ESP	34,274	0.69
富士康國際	FIH	3,042	0.06
電能實業	HEH	10,355	0.21
香港交易所	HEX	195,948	3.94
匯豐控股	HKB	302,006	6.07
香港中華煤氣	HKG	4,827	0.10
恒基地產	HLD	6,530	0.13
華能國際電力股份	HNP	6,942	0.14
恒生銀行	HSB	41,639	0.84
和記黃埔	HWL	82,112	1.65

結算及交收

期權類別		未平倉合約	佔市場百分比
工商銀行	ICB	630,164	12.68
江西銅業	JXC	33,180	0.67
利豐	LIF	9,276	0.19
地鐵公司	MTR	24,104	0.48
中國建材	NBM	9,281	0.19
新世界發展	NWD	17,860	0.36
中國平安	PAI	105,172	2.12
中國石油股份	PEC	108,995	2.19
中國人民財產保險	PIC	15,697	0.32
富力地產	RFP	17,709	0.36
新鴻基地產	SHK	48,504	0.98
太古股份公司A	SWA	11,043	0.22
騰訊控股	TCH	115,129	2.32
盈富基金	TRF	11,816	0.24
九龍倉集團	WHL	9,835	0.20
兗州煤業股份	YZC	25,079	0.50
紫金礦業	ZJM	11,104	0.22
總數		4,971,657	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2011	第1季	544 (965)	489 (907)	33 (36)	22 (22)
	第2季	547 (970)	490 (906)	35 (42)	22 (22)
	第3季	549 (973)	494 (911)	34 (41)	21 (21)
	第4季	555 (980)	498 (916)	36 (43)	21 (21)
2012	第1季	559 (984)	500 (918)	38 (45)	21 (21)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2011	第1季	222 (246)	180 (202)	—	42 (44)
	第2季	228 (252)	184 (206)	—	44 (46)
	第3季	228 (252)	185 (207)	—	43 (45)
	第4季	228 (252)	182 (204)	—	46 (48)
2012	第1季	230 (254)	182 (204)	—	48 (50)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月		結算參與者	全面結算參與者
2011	第1季	156	9
	第2季	159	9
	第3季	160	9
	第4季	156	10
2012	第1季	156	10

聯交所期權結算所參與者

年／月		直接結算參與者	全面結算參與者
2011	第1季	57	7
	第2季	57	7
	第3季	58	7
	第4季	59	7
2012	第1季	59	8

中央結算所參與者

年／月		直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2011	第1季	473	8	46
	第2季	474	8	46
	第3季	478	8	46
	第4季	482	8	47
2012	第1季	487	8	48

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券