



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

First Quarter 2012

Market Highlights

Main Board

Highlights	1st Quarter 2012	1st Quarter 2011
No. of listed companies	1,337	1,258
No. of listed securities	6,854	8,200
Equities		
Ordinary shares	1,338	1,259
Preference shares	3	3
Warrants	4,107	5,712
Equity	13	23
Derivative	4,094	5,689
Equity linked instrument	0	0
Callable bull/bear contracts	1,097	974
Unit trusts/mutual funds	100	82
Debt securities	209	170
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	61	62
Total turnover in value (HK\$ mil.) *	3,843,588.43	4,684,220.74
Total turnover in shares (Mil. shs)	9,749,414.23	10,099,053.90
Total number of trades (deals)	53,178,165	52,410,739
Total market capitalisation (HK\$ mil.)	19,690,708.60	21,259,067.69
Average P/E ratio (times)	9.58	11.96
Average dividend yield (%)	3.17	2.59
Number of exchange participants		
– Trading	500	489
– Non-trading	38	33
Turnover in value (HK\$ mil.)		
Highest	127,100.48 (06/03)	109,264.03 (15/03)
Lowest	32,641.54 (03/01)	53,259.45 (03/01)
Turnover in shares (Mil. shs)		
Highest	216,293.91 (01/02)	199,942.65 (11/02)
Lowest	73,840.02 (03/01)	110,358.93 (01/02)
Total number of trades (deals)		
Highest	1,274,365 (09/02)	1,316,504 (15/03)
Lowest	530,483 (03/01)	625,844 (03/01)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Growth Enterprise Market (GEM)

Highlights	1st Quarter 2012	1st Quarter 2011
No. of listed companies	173	168
No. of listed securities	175	170
Equities	173	168
Warrants	2	2
No. of trading days	61	62
Total turnover in value (HK\$ mil.) *	12,232.86	22,617.25
Total turnover in shares (Mil. shs)	41,517.67	124,719.53
Total number of trades (deals)	343,014	608,957
Total market capitalisation (HK\$ mil.)	84,618.24	137,835.27
Average P/E ratio (times)	18.16	25.78
Average dividend yield (%)	0.51	0.98
Turnover in value (HK\$ mil.)		
Highest	334.09 (08/03)	589.09 (21/02)
Lowest	97.02 (13/01)	226.79 (08/02)
Turnover in shares (Mil. shs)		
Highest	1,496.18 (23/02)	5,519.31 (21/02)
Lowest	314.12 (26/01)	974.37 (17/01)
Total number of trades (deals)		
Highest	9,942 (20/02)	14,967 (21/02)
Lowest	2,718 (13/01)	6,277 (07/02)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Derivatives Market Highlights

Highlights	1st Quarter 2012	1st Quarter 2011
All futures and options products (excluding stock options)		
No. of trading days	61.0	61.5
Total contract volume	15,561,712	15,171,252
Equity index products	15,482,737	15,061,364
Equity products	78,870	108,130
Interest rates products	105	182
Gold Futures	–	1,576
Average daily contract volume	255,110	246,687
Total open interest as at the quarter end (contracts)	889,875	610,053
Equity index products	875,602	591,584
Equity products	14,178	18,118
Interest rates products	95	173
Gold Futures	–	178
Stock options		
No. of newly introduced stock options classes	–	–
No. of stock options as at the quarter end		
Class	60	57
Series	14,540	11,730
Total premium (HK\$ mil.)	9,756.42	16,446.28
Call	4,638.10	5,898.07
Put	5,118.32	10,548.20
Average daily premium (HK\$ mil.)	159.94	267.42
Total contract volume	14,545,164	18,417,824
Call	7,193,312	8,194,643
Put	7,351,852	10,223,181
Average daily contract volume	238,445	299,477
Total open interest as at the quarter end (contracts)	4,971,657	5,912,772
Call	2,047,456	2,334,683
Put	2,924,201	3,578,089
Total number of trades	605,196	715,168
Average daily number of trades	9,921	11,629
Total contracts exercised	2,383,708	1,662,220
Average premium per contract (HK\$)	670.77	893
Average contract per trade (contracts)	24	26
Put volume/call volume	1.02	1.25
Average daily contract volume/open interest	4.8%	5.1%
All futures and options products		
Total contract volume	30,106,876	33,589,076
Total open interest as at the quarter end (contracts)	5,861,532	6,522,825

Market Highlights

Clearing and Settlement Statistics

Securities Market	1st Quarter 2012	1st Quarter 2011
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	877,050	855,117
– Value of trades (HK\$bil.)	63.2	75.9
– Share quantity Involved (bil shares)	160.5	164.9
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	79,872	86,292
– Value of SIs (HK\$bil.)	179.9	202.6
– Share quantity involved (bil. Shares)	41.5	47.1
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	351	516
– Value of ISIs (HK\$mil.)	265.2	227.5
– Share quantity involved (mil. shares)	69.1	178.8
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.90	99.90
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	5	7
– Number of buy-ins	6	7
– Value of buy-ins (HK\$mil)	1.7	2.6
Shares Deposited in the CCASS Depository *		
– Number of shares (bil shares)	3,751.6	3,933.2
– Percentage of total issued shares of the admitted securities	70.60	72.49
– Value of shares (HK\$bil)	10,834.0	11,539.6
– Percentage of the total market capitalisation of the admitted securities	50.26	50.44
Derivatives Market		
Open Interest		
– Equity Index Futures	282,124	215,793
– Stock Futures	14,178	18,118
– Gold Futures	–	178
– Interest Rates Futures	95	173
– Equity Index Options	593,478	375,791
– Stock Options	4,971,657	5,912,772
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	2,425	1,564
– Value of shares (HK\$bil.)	31	35

* Share quantity and market value of securities in non-HKD currency are included

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q1	747,798.73	3,315,169.08	42,267,831
	Q2	754,334.25	3,069,149.98	41,652,899
	Q3	597,541.33	3,222,655.92	45,991,628
	Q4	568,209.23	2,399,034.07	42,392,538
2012	Q1	586,720.90	2,723,802.82	43,393,219

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q1	62	53,470.47	78,432
	Q2	59	52,019.49	73,684
	Q3	63	51,153.27	70,070
	Q4	62	38,694.10	56,591
2011	Q1	61	44,652.51	62,770

Main Board Equities

20 Most Advanced Stocks

for 1st quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Mar 2012	End of Dec 2011	
1	00844	GRAND CONCORD	2.780	1.060	162.26
2	00830	FAR EAST GLOBAL	1.400	0.590	137.29
3	03336	JU TENG INTL	2.000	0.890	124.72
4	01142	SIBERIAN MINING	0.550	0.245	124.49
5	00499	HYCOMM WIRELESS	2.480	1.150	115.65
6	02099	CHINAGOLDINTL	36.900	18.200	102.75
7	03938	SAMLING GLOBAL	0.750	0.380	97.37
8	03899	CIMC ENRIC	4.280	2.210	93.67
9	00856	VST HOLDINGS	1.870	0.970	92.78
10	00271	DAN FORM HOLD	1.020	0.530	92.45
11	02005	LIJUN INT'L	1.700	0.890	91.01
12	00149	CH AGRI-PROD EX	0.530	0.285	85.96
13	00623	SINOMEDIA	3.970	2.140	85.51
14	01323	NEWTREE GROUP	0.810	0.440	84.09
15	00943	EFORCE HOLDINGS	0.375	0.205	82.93
16	00456	NEW CITY(CHINA)	0.340	0.186	A 82.80
17	03918	NAGACORP	3.530	1.960	80.10
18	00175	GEELY AUTO	3.040	1.700	78.82
19	01265	TIANJINTIANLIAN	1.430	0.800	78.75
20	00550	CINMEDIA	3.570	2.000	78.50

A Adjusted

20 Most Declined Stocks

for 1st quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Mar 2012	End of Dec 2011	
1	02312	CH FIN LEASING	0.212	3.600	A -94.11
2	01220	OCEAN GRAND	0.213	1.871	-88.62
3	00136	MASCOTTE HOLD	0.034	0.230	-85.22
4	01380	CHI KINGSTONE	0.390	1.180	-66.95
5	00767	PACIFIC PLYWOOD	0.083	0.240	A -65.42
6	02336	SUNLINK INT'L	0.229	0.600	A -61.83
7	00764	ETERNITY INV	0.013	0.034	-61.76
8	00812	TANRICH	0.530	1.350	-60.74
9	00055	NEWAY GROUP	0.024	0.056	-57.14
10	01166	SOLARTECH INT'L	0.084	0.177	-52.54
11	00474	HAO TIAN RES GP	0.241	0.495	-51.31
12	00986	CH ENV ENERGY	0.047	0.091	-48.35
13	01227	NATIONAL INV	0.265	0.510	A -48.04
14	01141	BJ YUSHENGTANG	0.089	0.165	A -46.06
15	06880	OTO HOLDINGS	0.560	1.000	-44.00
16	00209	CH TYCOON BEV	0.089	0.154	-42.21
17	00766	SINO PROSPER SG	0.500	0.850	A -41.18
18	00009	CHINA MANDARIN	0.074	0.123	-39.84
19	01387	RENHE COMM	0.540	0.890	-39.33
20	00926	BESUNYEN	0.710	1.170	-39.32

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 1st quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	01299	AIA	106,230.31	3.90
2	01398	ICBC	97,684.67	3.59
3	00939	CCB	95,268.63	3.50
4	00941	CHINA MOBILE	91,041.65	3.34
5	00005	HSBC HOLDINGS	72,861.97	2.68
6	03988	BANK OF CHINA	65,029.01	2.39
7	00883	CNOOC	63,300.10	2.32
8	02628	CHINA LIFE	58,792.05	2.16
9	00857	PETROCHINA	52,267.99	1.92
10	00700	TENCENT	49,097.82	1.80
11	02318	PING AN	48,119.04	1.77
12	00386	SINOPEC CORP	46,922.34	1.72
13	00016	SHK PPT	42,971.52	1.58
14	00013	HUTCHISON	39,525.84	1.45
15	03323	CNBM	35,413.11	1.30
16	00762	CHINA UNICOM	34,762.59	1.28
17	01088	CHINA SHENHUA	34,563.64	1.27
18	01288	ABC	34,014.01	1.25
19	00388	HKEX	31,207.74	1.15
20	00494	LI & FUNG	29,597.26	1.09
Total			1,128,671.30	41.44

20 Most Active Stock by Shares

for 1st quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	03988	BANK OF CHINA	20,061.95	3.42
2	01398	ICBC	18,370.80	3.13
3	02312	CH FIN LEASING	16,684.99	2.84
4	00939	CCB	15,447.23	2.63
5	00493	GOME	10,975.60	1.87
6	00767	PACIFIC PLYWOOD	9,766.81	1.66
7	03800	GCL-POLY ENERGY	9,484.37	1.62
8	01288	ABC	9,305.03	1.59
9	00067	LUMENA NEWMAT	8,173.83	1.39
10	00985	CST MINING	7,976.73	1.36
11	00764	ETERNITY INV	7,931.30	1.35
12	00055	NEWAY GROUP	6,783.48	1.16
13	00993	SIMSEN INT'L	6,660.85	1.14
14	00136	MASCOTTE HOLD	5,509.15	0.94
15	00728	CHINA TELECOM	5,376.17	0.92
16	00386	SINOPEC CORP	5,232.45	0.89
17	03333	EVERGRANDE	5,197.70	0.89
18	00175	GEELY AUTO	5,132.54	0.87
19	00578	CHINA CBM GP	4,752.39	0.81
20	00857	PETROCHINA	4,649.69	0.79
Total			183,473.07	31.27

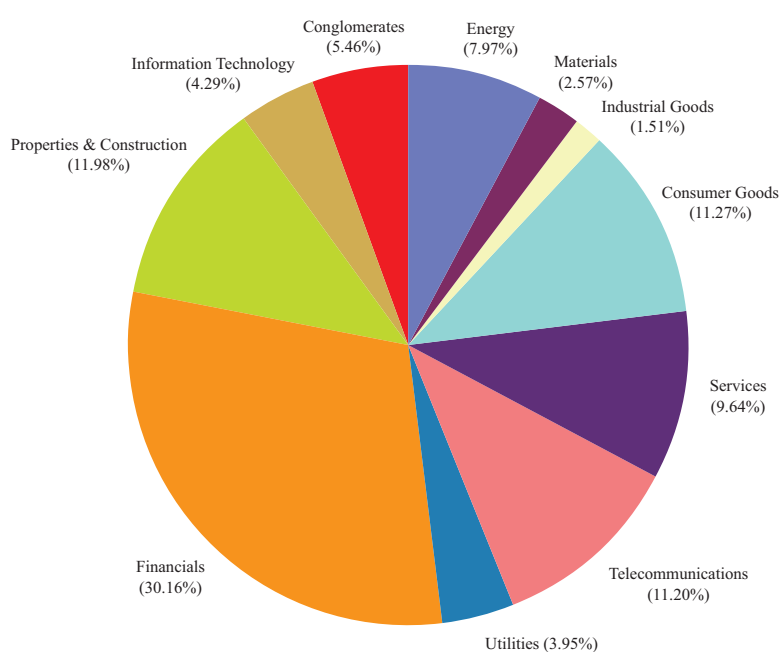
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2011	Q1	21,259,067.69
	Q2	20,992,345.92
	Q3	16,147,694.26
	Q4	17,452,667.08
2012	Q1	19,690,708.60

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 1st quarter end 2012

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,715,684.59	8.71	
2	00939	China Construction Bank Corporation – H Shares	1,442,503.92	7.33	
3	00005	HSBC Holdings plc	1,233,983.17	6.27	
4	00883	CNOOC Ltd.	712,555.04	3.62	
5	02888	Standard Chartered PLC	461,094.41	2.34	
6	01398	Industrial and Commercial Bank of China Ltd. – H Shares	434,838.16	2.21	
7	00700	Tencent Holdings Ltd.	398,588.67	2.02	
8	01299	AIA Group Ltd.	342,651.80	1.74	
9	00805	Glencore International plc *	–	340,597.50	N.A.
10	00013	Hutchison Whampoa Ltd.	330,837.57	1.68	
11	00762	China Unicom (Hong Kong) Ltd.	310,114.11	1.57	
12	03988	Bank of China Ltd. – H Shares	261,737.73	1.33	
13	00016	Sun Hung Kai Properties Ltd.	252,365.39	1.28	
14	01928	Sands China Ltd.	244,298.21	1.24	
15	02378	Prudential plc *	–	238,962.01	N.A.
16	00001	Cheung Kong (Holdings) Ltd.	232,311.28	1.18	
17	00857	PetroChina Co. Ltd. – H Shares	231,665.92	1.18	
18	02388	BOC Hong Kong (Holdings) Ltd.	226,786.14	1.15	
19	00011	Hang Seng Bank Ltd.	197,302.17	1.00	
20	00945	Manulife Financial Corporation *	–	189,408.66	N.A.
21	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	183,715.30	0.93	
22	03328	Bank of Communications Co., Ltd. – H Shares	171,266.36	0.87	
23	00002	CLP Holdings Ltd.	161,211.61	0.82	
24	00066	MTR Corporation Ltd.	160,825.13	0.82	
25	00003	Hong Kong and China Gas Co. Ltd., The	157,221.03	0.80	
26	02628	China Life Insurance Co. Ltd. – H Shares	149,939.68	0.76	
27	00494	Li & Fung Ltd.	144,928.06	0.74	
28	00386	China Petroleum & Chemical Corporation – H Shares	141,962.93	0.72	
29	00388	Hong Kong Exchanges and Clearing Ltd.	140,927.82	0.72	
30	00019	Swire Pacific Ltd.	130,048.87	0.66	
31	01913	PRADA S.p.A.	129,220.61	0.66	
32	00004	Wharf (Holdings) Ltd., The	127,834.24	0.65	
33	00101	Hang Lung Properties Ltd.	127,261.72	0.65	
34	06210	Vale S.A. – DR *	–	126,109.57	N.A.
35	00322	Tingyi (Cayman Islands) Holding Corp.	125,502.22	0.64	
36	01929	Chow Tai Fook Jewellery Group Ltd.	123,000.00	0.62	
37	00006	Power Assets Holdings Ltd.	121,652.91	0.62	
38	00688	China Overseas Land & Investment Ltd.	120,626.38	0.61	
39	01128	Wynn Macau, Ltd.	117,757.39	0.60	
40	01880	Belle International Holdings Ltd.	117,573.21	0.60	
41	01038	Cheung Kong Infrastructure Holdings Ltd.	115,566.20	0.59	
42	00151	Want Want China Holdings Ltd.	114,748.01	0.58	
43	01972	Swire Properties Ltd.	112,905.00	0.57	
44	01088	China Shenhua Energy Co. Ltd. – H Shares	111,303.58	0.57	
45	01288	Agricultural Bank of China Ltd. – H Shares	102,360.28	0.52	
46	00012	Henderson Land Development Co. Ltd.	101,507.58	0.52	
47	00135	Kunlun Energy Co. Ltd.	101,197.72	0.51	
48	06808	Sun Art Retail Group Ltd.	100,357.69	0.51	
49	01044	Hengan International Group Co. Ltd.	96,477.11	0.49	
50	00486	United Company RUSAL Ltd. *	–	90,398.44	N.A.
Total			12,638,216.89	64.18	
Market Total			19,690,708.60	100.00	

Notes:

Foreign company included since July 2010

* Foreign companies not solely listed in Hong Kong

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.) *	% of equity total
2011	Q1	273,905.42	3,337,783.59	8.21
	Q2	327,730.09	3,089,381.94	10.61
	Q3	409,874.98	3,232,791.53	12.68
	Q4	324,530.48	2,409,005.43	13.47
2012	Q1	324,025.04	2,736,035.68	11.84

* Include Main Board and GEM figures

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs) *	% of equity total
2011	Q1	22,721.76	872,394.66	2.60
	Q2	29,078.38	833,504.44	3.49
	Q3	39,305.84	637,817.87	6.16
	Q4	33,276.30	609,468.85	5.46
2012	Q1	33,605.28	628,238.56	5.35

* Include Main Board and GEM figures

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2011	Q1	10	4	17,317.76
	Q2	22	6	156,775.55
	Q3	18	1	19,494.12
	Q4	26	1	64,868.56
2012	Q1	15	0	9,607.54

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 1st quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
06830	Huazhong Holdings Co. Ltd.	2012/01/12	800,000,000	280.00
01303	Huili Resources (Group) Ltd.	2012/01/12	1,000,000,000	425.00
01281	Kai Shi China Holdings Co. Ltd.	2012/01/12	600,000,000	135.00
01263	PC Partner Group Ltd.	2012/01/12	417,518,668	168.00
01803	ASR Holdings Ltd.	2012/01/16	400,000,000	93.00
01312	Allied Cement Holdings Ltd.	2012/01/18	660,000,000	165.00
01972	Swire Properties Ltd.	2012/01/18	5,850,000,000	–
01315	Vision Fame International Holding Ltd.	2012/01/18	300,000,000	90.00
01830	Perfect Shape (PRC) Holdings Ltd.	2012/02/10	1,000,000,000	220.00
01210	Christine International Holdings Ltd.	2012/02/23	1,010,188,000	400.00
01266	Xiwang Special Steel Co. Ltd.	2012/02/23	2,000,000,000	1,325.00
02012	Sunshine Oilsands Ltd.	2012/03/01	2,840,921,435	4,487.24
01300	Trigiant Group Ltd.	2012/03/19	1,000,000,000	300.00
01277	Kinetic Mines and Energy Ltd.	2012/03/23	8,430,000,000	1,171.80
01260	Wonderful Sky Financial Group Holdings Ltd.	2012/03/30	1,000,000,000	347.50
Total				9,607.54

Main Board Equities

Withdrawal of Listed Companies

for 1st quarter 2012

Code	Company name	Last trading date	Delisted date
00048	EganaGoldpfeil (Holdings) Ltd.	2007/09/11	2012/01/04
00968	Little Sheep Group Ltd.	2012/01/12	2012/02/03
03928	Zhengzhou China Resources Gas Co. Ltd. – H Shares	2012/01/31	2012/02/14
00448	Hang Ten Group Holdings Ltd.	2012/02/16	2012/03/20

Main Board Equities

Company Name Changes

for 1st quarter 2012

Code	Old name	New name	Effective date	Adoption date
01164	Vital Group Holdings Ltd.	CGN Mining Co. Ltd.	2011/11/21	2012/01/03
00260	Sino Gas Group Ltd.	China Environmental Investment Holdings Ltd.	2012/02/02	2012/02/09
00997	Decca Holdings Ltd.	Chinlink International Holdings Ltd.	2012/01/20	2012/02/22
00611	Tack Hsin Holdings Ltd.	China Nuclear Industry 23 International Corporation Ltd.	2012/01/16	2012/02/23
00204	Temujin International Investments Ltd.	China Investment Development Ltd.	2012/01/13	2012/02/23
00756	Tianyi Fruit Holdings Ltd.	China Tianyi Holdings Ltd.	2012/02/21	2012/02/28
00508	Chevalier Pacific Holdings Ltd.	Dingyi Group Investment Ltd.	2012/02/08	2012/03/12
01383	Hong Long Holdings Ltd.	Sun Century Group Ltd.	2012/02/01	2012/03/15
00630	Guojin Resources Holdings Ltd.	AMCO United Holding Ltd.	2012/02/20	2012/03/22
00515	TC Interconnect Holdings Ltd.	TC Orient Lighting Holdings Ltd.	2011/12/06	2012/03/26

Main Board Equities

Bonus Issues/Bonus Warrants

for 1st quarter 2012

Code	Company	Particulars	Ex-date
00456	New City (China) Development Ltd.	2 for 1	2012/01/16
01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	1 2014 wt for 5 rts shs	2012/02/03
00901	Radford Capital Investment Ltd.	1 2014 wt for 4 rts shs	2012/02/15
00131	Cheuk Nang (Holdings) Ltd.	1 2013 wt for 25	2012/03/29
00439	Climax International Co. Ltd.	5 for 7	2012/03/30
00582	Greenfield Chemical Holdings Ltd.	11 for 1 offer share	2012/03/30

Share Split/Consolidation

for 1st quarter 2012

Code	Company	Particulars	Effective date
00456	New City (China) Development Ltd.	Consolidation 4 into 1	2012/01/13
00263	China Yunnan Tin Minerals Group Co. Ltd.	Consolidation 20 into 1	2012/01/19
02336	Sunlink International Holdings Ltd.	Consolidation 20 into 1	2012/01/26
01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	Consolidation 10 into 1	2012/02/02
02312	China Financial Leasing Group Ltd.	Consolidation 20 into 1	2012/02/20
00766	Sino Prosper State Gold Resources Holdings Ltd.	Consolidation 10 into 1	2012/02/27
00875	Ever Fortune International Holdings Ltd.	Consolidation 8 into 1	2012/02/28
00329	Dragonite International Ltd.	Consolidation 5 into 1	2012/03/20
00767	Pacific Plywood Holdings Ltd.	Consolidation 10 into 1	2012/03/20
00279	Freeman Financial Corporation Ltd.	Consolidation 5 into 1	2012/03/21
01227	National Investments Fund Ltd.	Consolidation 10 into 1	2012/03/28
00439	Climax International Co. Ltd.	Consolidation 20 into 1	2012/03/29

Main Board Equities

Rights Issues & Open Offers

for 1st quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jan	00487	Success Universe Group Ltd.	Rts 2 for 3 @\$0.19	308.94
Feb	00552	China Communications Services Corporation Ltd. – H Shares	Rts 2 H shares for 10 H shares @\$3.19	1,271.44
	02366	Qin Jia Yuan Media Services Co. Ltd.	Rts 4 for 1 @\$0.08	299.41
	00456	New City (China) Development Ltd.	Open offer 23 for 3 @\$0.12 (after consolidation 4 into 1)	62.50
	00260	China Environmental Investment Holdings Ltd.	Open offer 1 for 2 @\$0.20	243.59
	02336	Sunlink International Holdings Ltd.	Open offer 2 for 1 @\$0.20 (after consolidation 20 into 1)	37.30
	00913	Unity Investments Holdings Ltd.	Rts 2 for 1 @\$0.10	86.24
	00242	Shun Tak Holdings Ltd.	Rts 3 for 8 @\$2.02	1,645.50
Mar	01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	Rts 5 for 1 @\$0.13 with bonus 1 2014 wts for 5 rts shs (after consolidation 10 into 1)	321.24
	00629	Yue Da Mining Holdings Ltd.	Open offer 1 for 3 @\$0.50	114.46
	00901	Radford Capital Investment Ltd.	Rts 4 for 1 @\$0.15 with bonus 1 wt for 4 rts shs	128.80
	00332	Ngai Lik Industrial Holdings Ltd.	Open offer 2 for 7 @\$0.441	99.92
	00283	Goldin Properties Holdings Ltd.	Rts 1 for 2 @\$2.16	1,588.87
	00934	Sinopec Kantons Holdings Ltd.	Rts 1 for 1 @\$3.37	3,494.12
	00197	Heng Tai Consumables Group Ltd.	Open offer 1 for 2 @\$0.20	363.69
	01174	Pacific Andes International Holdings Ltd.	Rts 1 for 2 @\$0.49	771.27
Total				10,837.29

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
2012						
Jan	00721	C FIN INT INV	304,950,000	0.450	137.23	
	00922	CHINA BOON HLDG	450,000,000	0.110	49.50	
	01060	CHINAVISION	619,400,000	0.400	247.76	
	00283	GOLDIN PPT	236,000,000	2.060	486.16	
	01220	OCEAN GRAND	406,935,939	0.133	54.12	
	00810	OPES ASIA	49,880,000	0.350	17.46	
	01142	SIBERIAN MINING	21,300,000	0.230	4.90	
	01063	SUNCORP TECH	26,000,000	0.200	5.20	
	00928	TACK FIORI	459,738,927	0.100	45.97	
	00159	WAH NAM INT'L	555,100,000	0.600	333.06	
	Feb	00263	CH YUNNAN TIN	64,900,000	0.365	23.69
		00736	CHINA PPT INV	49,000,000	0.195	9.56
		01038	CKI HOLDINGS	56,234,455	40.738	2,290.88
00875		EVERFORTUNE-NEW	1,000,000,000	0.100	100.00	
00830		FAR EAST GLOBAL	1,038,550,000	0.620	643.90	
01188		HYBRID KINETIC	1,460,000,000	0.100	146.00	
00381		KIU HUNG ENERGY	200,000,000	0.060	12.00	
00590		LUK FOOK HOLD	46,600,000	29.250	1,363.05	
00456		NEW CITY(CHINA)	1,112,500,000	0.120	133.50	
00166		NEWTIMES ENERGY	90,000,000	0.550	49.50	
01768		SATERI	2,038,000	2.640	5.38	
02336		SUNLINK INT'L	750,000,000	0.200	150.00	
Mar		02357	AVICHINA	342,000,000	3.550	1,214.10
	00692	BAO YUAN HOLD	80,980,000	0.200	16.20	
	02312	CH FIN LEASING	49,260,000	0.238	11.72	
	00711	CHUN WO DEV	62,500,000	0.400	25.00	
	01038	CKI HOLDINGS	50,901,000	45.750	2,328.72	
	00794	COME SURE GROUP	33,500,000	1.720	57.62	
	02007	COUNTRY GARDEN	677,191,602	3.230	2,187.33	
	01808	ENTERPRISE DEV	161,231,600	0.240	38.70	
	00279	FREEMAN FIN	188,548,057	0.255	48.08	
	00474	HAO TIAN RES GP	574,513,810	0.325	186.72	
	00139	ICUBE TECH	564,920,000	0.090	50.84	
	00776	JF FURNISHINGS	15,600,000	1.920	29.95	
	00276	MONGOLIA ENERGY	150,000,000	0.800	120.00	
01062	NEW CAPITAL	1,920,000,000	0.400	768.00		
01191	YUESHOU ENV	600,000,000	0.036	21.60		
Total					13,413.40	

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2011	Q1	393.42	829,434.95	829,828.38
	Q2	401.20	636,349.65	636,750.86
	Q3	28.67	654,145.24	654,173.91
	Q4	100.62	509,956.45	510,057.08
2012	Q1	28.26	525,898.84	525,927.10

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2011	Q1	23	1,217.46	5,689	148,882.52	5,712	150,099.98
	Q2	15	785.68	4,836	96,546.69	4,851	97,332.38
	Q3	15	429.33	4,778	86,648.18	4,793	87,077.51
	Q4	13	602.75	4,027	75,484.38	4,040	76,087.13
2012	Q1	13	404.92	4,094	94,572.19	4,107	94,977.11

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2011	Q1	2	2,056	86,446.46
	Q2	2	1,606	59,723.05
	Q3	2	1,979	73,939.94
	Q4	1	1,276	53,214.21
2012	Q1	1	1,580	50,958.90

Newly Listed Equity Warrants

for 1st quarter 2012

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
00649	Beijing Yu Sheng Tang Pharmaceutical Group Ltd. Warrants 2014	49,421,757	2012/03/13	2014/03/07	–
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 1st quarter 2012

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
16126	Goldman Sachs SP (Asia) – Belle Int'l European Wts Aug 2012	120,000,000	2012/01/03	2012/08/20	18.00
16123	Goldman Sachs SP (Asia) – BYD European Warrants Nov 2012	120,000,000	2012/01/03	2012/11/19	31.08
16124	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Jun 2012	100,000,000	2012/01/03	2012/06/28	15.70
16127	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2012 A	150,000,000	2012/01/03	2012/06/21	27.00
16125	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2012	120,000,000	2012/01/03	2012/11/23	18.36
16122	J P Morgan SP BV – Agricultural Bk Euro Warrants Aug 2012	200,000,000	2012/01/03	2012/08/01	50.00
16121	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2012	200,000,000	2012/01/03	2012/10/03	52.00
16120	J P Morgan SP BV – Ch Rongsheng European Warrants Oct 2012	80,000,000	2012/01/03	2012/10/03	20.00
16138	Standard Chartered Bank – China Mobile Euro Wts Apr 2012	100,000,000	2012/01/03	2012/04/23	20.00
16136	Standard Chartered Bank – China Overseas Euro Wt Oct 2012	200,000,000	2012/01/03	2012/10/31	50.00
16137	Standard Chartered Bank – CC Bank European Wts Jul 2012 A	100,000,000	2012/01/03	2012/07/05	35.00
16139	Standard Chartered Bank – CNBM European Warrants Jul 2012	200,000,000	2012/01/03	2012/07/23	30.00
16132	UBS AG – Agricultural Bank European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	40.00
16131	UBS AG – Alibaba European Warrants May 2013	100,000,000	2012/01/03	2013/05/27	20.00
16134	UBS AG – China Mobile European Warrants Jul 2012	200,000,000	2012/01/03	2012/07/10	56.00
16135	UBS AG – China Tel European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	25.00
16129	UBS AG – China Life European Warrants Jul 2012 D	300,000,000	2012/01/03	2012/07/10	75.00
16133	UBS AG – China Shenhua European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	29.00
16140	UBS AG – KECL European Warrants Jun 2013	100,000,000	2012/01/03	2013/06/25	25.00
16130	UBS AG – Ping An European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	50.00
16128	UBS AG – Zijin Mining European Warrants Jul 2012	100,000,000	2012/01/03	2012/07/10	39.00
15174#	UBS AG – A50 China European Warrants Jul 2012 A	300,000,000	2012/01/03	2012/07/18	11.70
16151	Credit Suisse AG – Yanzhou Coal European Warrants Apr 2012	100,000,000	2012/01/04	2012/04/10	15.00
16142	Goldman Sachs SP (Asia) – Anhui Conch European Wts Dec 2012	100,000,000	2012/01/04	2012/12/03	27.70
16143	Goldman Sachs SP (Asia) – CM Bank European Wts Sep 2012	120,000,000	2012/01/04	2012/09/24	18.24
16144	Goldman Sachs SP (Asia) – Kerry Ppt European Wts Nov 2012	120,000,000	2012/01/04	2012/11/26	30.36
16141	Goldman Sachs SP (Asia) – Sands China European Wts Dec 2012	100,000,000	2012/01/04	2012/12/10	31.40
16148	J P Morgan SP BV – CHALCO European Warrants Dec 2012	100,000,000	2012/01/04	2012/12/03	27.80
16156	J P Morgan SP BV – CNBM European Warrants Dec 2012	200,000,000	2012/01/04	2012/12/31	50.00
16147	J P Morgan SP BV – Ch Ship Dev European Warrants Dec 2012	80,000,000	2012/01/04	2012/12/03	22.24
16149	J P Morgan SP BV – R&F Ppt European Warrants Dec 2014	200,000,000	2012/01/04	2014/12/01	50.00
16150	J P Morgan SP BV – Poly HK Inv European Warrants Dec 2012	80,000,000	2012/01/04	2012/12/03	20.00
16146	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Jun 2012	200,000,000	2012/01/04	2012/06/06	30.00
16152	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 2012	300,000,000	2012/01/04	2012/06/25	96.00
16153	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2012	300,000,000	2012/01/04	2012/06/25	117.00
16154	SGA Societe Generale Acceptance N.V. –HSI Eu Put Wt Jun 2012	300,000,000	2012/01/04	2012/06/28	48.00
16155	SGA Societe Generale Acceptance N.V. –HSI Eu Put Wt May 12 B	300,000,000	2012/01/04	2012/05/30	63.00
16145	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Jun 2012	100,000,000	2012/01/04	2012/06/13	19.00
16160	UBS AG – BOCL European Warrants May 2012 A	100,000,000	2012/01/04	2012/05/23	15.00
16157	UBS AG – China Coal European Warrants Aug 2012	100,000,000	2012/01/04	2012/08/01	15.00
16159	UBS AG – China National Building European Warrants Jul 2012	100,000,000	2012/01/04	2012/07/23	15.00
16158	UBS AG – Zijin Mining European Warrants Nov 2012	100,000,000	2012/01/04	2012/11/26	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
10148	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
10147	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
16173	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Oct 2012	56,000,000	2012/01/05	2012/10/04	31.92
16171	BNP Paribas Arbit Issu B.V. – Ch Oilfield Euro Wts Aug 2013	100,000,000	2012/01/05	2013/08/02	30.00
16193	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Jan 2013	90,000,000	2012/01/05	2013/01/03	17.10
16169	BNP Paribas Arbit Issu B.V. – CPIC European Wts Oct 2012	100,000,000	2012/01/05	2012/10/04	31.00
16175	BNP Paribas Arbit Issu B.V. – CQRC Bank Euro Wts Nov 2012	30,000,000	2012/01/05	2012/11/02	20.10
16170	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Euro Wt Oct 2012	67,000,000	2012/01/05	2012/10/04	20.10
10150	BNP Paribas Arbit Issu B.V. – EUR/USD European Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
10149	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Dec 2012	100,000,000	2012/01/05	2012/12/12	25.00
16192	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2012	100,000,000	2012/01/05	2012/12/04	15.00
16176	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Oct 2012	100,000,000	2012/01/05	2012/10/04	42.00
16187	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2012	200,000,000	2012/01/05	2012/12/04	74.00
16174	BNP Paribas Arbit Issu B.V. – Lonking European Wts Oct 2012	30,000,000	2012/01/05	2012/10/04	11.40
16172	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Jul 2013	78,000,000	2012/01/05	2013/07/03	19.50
10152	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Warrants Sep 2012	100,000,000	2012/01/05	2012/09/12	25.00
10151	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Put Wts Sep 2012	100,000,000	2012/01/05	2012/09/12	25.00
16168	BNP Paribas Arbit Issu B.V. – Weichai Power Euro Wt Jan 2013	59,000,000	2012/01/05	2013/01/03	32.45
16180	Credit Suisse AG – HSI European Put Warrants May 2012	400,000,000	2012/01/05	2012/05/30	64.00
16177	Credit Suisse AG – HSI European Warrants Apr 2012 D	400,000,000	2012/01/05	2012/04/27	68.00
16178	Credit Suisse AG – HSI European Warrants May 2012 A	400,000,000	2012/01/05	2012/05/30	60.00
16179	Credit Suisse AG – HSI European Put Warrants Apr 2012 E	400,000,000	2012/01/05	2012/04/27	72.00
16164	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Dec 2013	120,000,000	2012/01/05	2013/12/23	19.32
16163	Goldman Sachs SP (Asia) – Cathay Pacific Euro Wts Apr 2013	120,000,000	2012/01/05	2013/04/29	31.08
16162	Goldman Sachs SP (Asia) – Wharf European Warrants Nov 2012	100,000,000	2012/01/05	2012/11/19	32.50
16190	Macquarie Bank Ltd. – China Mobile European Wts Aug 2012	200,000,000	2012/01/05	2012/08/02	96.20
16191	Macquarie Bank Ltd. – China Mobile Euro Put Wts Aug 2012	200,000,000	2012/01/05	2012/08/02	64.00
16189	CC Rabobank B.A. – Agile Ppt European Warrants Jun 2013	100,000,000	2012/01/05	2013/06/27	25.00
16186	CC Rabobank B.A. – CHALCO European Warrants Jun 2012	100,000,000	2012/01/05	2012/06/27	45.00
16181	CC Rabobank B.A. – Angang Steel European Warrants Jul 2012	80,000,000	2012/01/05	2012/07/11	64.00
16184	CC Rabobank B.A. – Ch Comm Cons European Warrants Jul 2012	80,000,000	2012/01/05	2012/07/16	48.00
16182	CC Rabobank B.A. – China Railway European Wts Jul 2012	80,000,000	2012/01/05	2012/07/30	34.40
16185	CC Rabobank B.A. – NVC Lighting European Warrants Jul 2012	100,000,000	2012/01/05	2012/07/16	40.00
16188	CC Rabobank B.A. – PICC P&C European Warrants Oct 2012	100,000,000	2012/01/05	2012/10/03	25.00
16183	CC Rabobank B.A. – Shimao Ppt European Warrants Jul 2012	80,000,000	2012/01/05	2012/07/11	56.00
16165	Standard Chartered Bank – China Life European Wts Oct 2012 A	100,000,000	2012/01/05	2012/10/03	18.00
16166	Standard Chartered Bank – YZ Coal European Warrants Jun 2012	100,000,000	2012/01/05	2012/06/29	15.00
16161	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug 12	120,000,000	2012/01/05	2012/08/08	18.00
16167	UBS AG – Yanzhou Coal European Wts Apr 2012	100,000,000	2012/01/05	2012/04/05	15.00
16235	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wts Nov 2012	100,000,000	2012/01/06	2012/11/02	43.00
16226	BNP Paribas Arbit Issu B.V. – Bank of EA Euro Wts Oct 2012 A	55,000,000	2012/01/06	2012/10/04	15.40
16230	BNP Paribas Arbit Issu B.V. – China EB Ltd Euro Wt Dec 2012	83,000,000	2012/01/06	2012/12/04	20.75
16229	BNP Paribas Arbit Issu B.V. – Ch Mer Hold Euro Wts Dec 2012	74,000,000	2012/01/06	2012/12/04	22.20
16231	BNP Paribas Arbit Issu B.V. – Chi Resources Euro Wt Nov 2012	74,000,000	2012/01/06	2012/11/02	25.16

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16237	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Aug 2012	68,000,000	2012/01/06	2012/08/02	25.84
16234	BNP Paribas Arbit Issu B.V. – Chi Res Power Euro Wt Nov 2012	93,000,000	2012/01/06	2012/11/02	26.97
16236	BNP Paribas Arbit Issu B.V. – Datang Power Euro Wts Nov 2012	30,000,000	2012/01/06	2012/11/02	12.30
16227	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Dec 2012	100,000,000	2012/01/06	2012/12/04	33.00
16228	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Euro Wt Dec 2012	100,000,000	2012/01/06	2012/12/04	31.00
16225	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Oct 2012 A	100,000,000	2012/01/06	2012/10/04	35.00
16233	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Feb 2013	88,000,000	2012/01/06	2013/02/04	22.88
16232	BNP Paribas Arbit Issu B.V. – Shougang Res Euro Wts Dec 2012	35,000,000	2012/01/06	2012/12/04	10.15
16203	Credit Suisse AG – BOCL European Warrants Sep 2012	100,000,000	2012/01/06	2012/09/28	20.00
16214	Credit Suisse AG – China Unicom European Put Wts Aug 2012	100,000,000	2012/01/06	2012/08/31	18.00
16205	Credit Suisse AG – CC Bank European Warrants Jul 2012 B	120,000,000	2012/01/06	2012/07/03	18.00
16202	Credit Suisse AG – A50 China European Warrants Nov 2012	120,000,000	2012/01/06	2012/11/05	18.00
16208	Credit Suisse AG – HSBC European Wts Jun 2012	200,000,000	2012/01/06	2012/06/29	40.00
16209	Credit Suisse AG – HSI European Warrants Apr 2012 F	400,000,000	2012/01/06	2012/04/27	92.00
16210	Credit Suisse AG – HSI European Warrants Apr 2012 G	400,000,000	2012/01/06	2012/04/27	80.00
16211	Credit Suisse AG – HSI European Warrants Apr 2012 H	400,000,000	2012/01/06	2012/04/27	60.00
16212	Credit Suisse AG – HSI European Warrants May 2012 B	400,000,000	2012/01/06	2012/05/30	92.00
16213	Credit Suisse AG – HSI European Warrants May 2012 C	400,000,000	2012/01/06	2012/05/30	60.00
16215	Credit Suisse AG – HSI European Put Warrants Apr 2012 I	400,000,000	2012/01/06	2012/04/27	60.00
16216	Credit Suisse AG – HSI European Put Warrants Apr 2012 J	400,000,000	2012/01/06	2012/04/27	100.00
16217	Credit Suisse AG – HSI European Put Warrants May 2012 D	400,000,000	2012/01/06	2012/05/30	88.00
16218	Credit Suisse AG – HSI European Put Warrants May 2012 E	400,000,000	2012/01/06	2012/05/30	88.00
16207	Credit Suisse AG – Hutchison European Warrants Jun 2012	200,000,000	2012/01/06	2012/06/25	40.00
16204	Credit Suisse AG – ICBC European Warrants Jun 2012 A	120,000,000	2012/01/06	2012/06/25	18.00
16206	Credit Suisse AG – Jiangxi Copper European Wts Oct 2012	80,000,000	2012/01/06	2012/10/29	16.00
16201	Credit Suisse – SPDR Gold Trust European Warrants Jul 2012	80,000,000	2012/01/06	2012/07/23	37.60
16194	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2012	120,000,000	2012/01/06	2012/09/18	32.52
16196	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2012	150,000,000	2012/01/06	2012/09/24	24.90
16195	Goldman Sachs SP (Asia) – JIANC European Warrants Jun 2012	120,000,000	2012/01/06	2012/06/21	18.72
16197	Goldman Sachs SP (Asia) – Tencent European Wt Jun 2012	150,000,000	2012/01/06	2012/06/25	22.95
16224	Macquarie Bank Ltd. – Sinopharm European Warrants Jul 2012	200,000,000	2012/01/06	2012/07/23	50.00
16198	Standard Chartered Bank – Brilliance Chi Euro Wts Oct 2012	200,000,000	2012/01/06	2012/10/15	36.00
16199	Standard Chartered Bank – China Mobile Euro Wts Oct 2012	100,000,000	2012/01/06	2012/10/03	25.00
16200	Standard Chartered Bank – China Mobile Euro Wts Aug 2012 A	100,000,000	2012/01/06	2012/08/03	35.00
16221	Standard Chartered Bank – HKEx European Warrants Nov 2012	200,000,000	2012/01/06	2012/11/23	30.00
16222	Standard Chartered Bank – MTRC European Warrants Jan 2013	100,000,000	2012/01/06	2013/01/04	19.00
16220	Standard Chartered Bank – Ping An European Warrants Aug 2012	100,000,000	2012/01/06	2012/08/03	30.00
16219	Standard Chartered Bank – SPDR Gold Trust Euro Wt Sep 2012 B	30,000,000	2012/01/06	2012/09/24	17.40
16223	UBS AG – SPDR Gold Trust European Warrants Jul 2012	100,000,000	2012/01/06	2012/07/10	71.00
16241	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts May 2012 A	80,000,000	2012/01/09	2012/05/14	32.00
16243	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts Jul 2012	80,000,000	2012/01/09	2012/07/26	12.00
16242	Citigroup Global Mkt H Inc. – Tencent Euro Put Wts Jul 2012	80,000,000	2012/01/09	2012/07/09	12.00
16238	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Sep 2012	50,000,000	2012/01/09	2012/09/03	11.65
16244	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2012 A	70,000,000	2012/01/09	2012/05/22	10.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16240	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2012	70,000,000	2012/01/09	2012/07/23	12.32
16239	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2012	40,000,000	2012/01/09	2012/07/16	14.40
16245	Standard Chartered Bank – Sinopec Corp Euro Wts May 2012 A	100,000,000	2012/01/09	2012/05/16	28.50
16248	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2012	100,000,000	2012/01/10	2012/07/23	25.10
16249	Goldman Sachs SP (Asia) – Alibaba European Warrants May 2013	120,000,000	2012/01/10	2013/05/24	18.72
16247	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jul 2012	120,000,000	2012/01/10	2012/07/30	30.12
16246	Goldman Sachs SP (Asia) – SJM Holdings European Wts Jul 2012	120,000,000	2012/01/10	2012/07/23	25.44
16252	Macquarie Bank Ltd. – AIA European Warrants Sep 2012	200,000,000	2012/01/10	2012/09/04	51.60
16253	Macquarie Bank Ltd. – PetroChina European Wts Nov 2013	200,000,000	2012/01/10	2013/11/04	50.00
16255	Standard Chartered Bank – China Mobile Euro Wts Aug 2012 B	100,000,000	2012/01/10	2012/08/30	47.00
16256	Standard Chartered Bank – ICBC European Warrants Sep 2012	100,000,000	2012/01/10	2012/09/06	30.00
16254	Standard Chartered Bank – MTRC European Warrants Sep 2012	100,000,000	2012/01/10	2012/09/05	32.00
16250	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2012 B	150,000,000	2012/01/10	2012/04/30	57.00
16251	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2012 A	120,000,000	2012/01/10	2012/06/27	79.20
13950#	UBS AG – A50 China European Warrants Aug 2012	200,000,000	2012/01/10	2012/08/01	13.20
16272	Credit Suisse AG – BYD European Warrants May 2012	70,000,000	2012/01/11	2012/05/07	10.50
16273	Credit Suisse AG – China Life European Warrants May 2012	100,000,000	2012/01/11	2012/05/11	15.00
16275	Credit Suisse AG – China Life European Warrants May 2012 A	100,000,000	2012/01/11	2012/05/30	15.00
16266	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2012	120,000,000	2012/01/11	2012/09/24	30.84
16267	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2012	120,000,000	2012/01/11	2012/08/13	31.08
16265	Goldman Sachs SP (Asia) – CNOOC European Warrants Sep 2012	120,000,000	2012/01/11	2012/09/24	18.48
16269	Goldman Sachs SP (Asia) – A50 China European Wts Oct 2013	120,000,000	2012/01/11	2013/10/30	18.24
16268	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2012 A	120,000,000	2012/01/11	2012/07/16	31.32
16271	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2012	200,000,000	2012/01/11	2012/06/28	33.20
16270	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012 C	200,000,000	2012/01/11	2012/04/27	46.60
16261	Macquarie Bank Ltd. – BYD European Warrants Aug 2012	150,000,000	2012/01/11	2012/08/02	38.25
16274	Macquarie Bank Ltd. – Cheung Kong European Warrants Jul 2012	200,000,000	2012/01/11	2012/07/04	40.00
16264	Macquarie Bank Ltd. – China Life European Put Wts Nov 2012	200,000,000	2012/01/11	2012/11/02	50.40
16263	Macquarie Bank Ltd. – A50 China European Warrants Feb 2013	200,000,000	2012/01/11	2013/02/04	51.40
16262	Macquarie Bank Ltd. – GAC European Wts Aug 2012	80,000,000	2012/01/11	2012/08/02	50.96
16260	Macquarie Bank Ltd. – Zijin Mining European Wts Aug 2012	80,000,000	2012/01/11	2012/08/02	22.40
16257	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2012 A	200,000,000	2012/01/11	2012/05/28	62.00
16258	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jun 2012A	150,000,000	2012/01/11	2012/06/18	54.00
16259	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jun 2012	100,000,000	2012/01/11	2012/06/13	25.00
16276	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun 2012	400,000,000	2012/01/11	2012/06/25	60.00
16278	UBS AG – AIA European Warrants Jun 2012	200,000,000	2012/01/11	2012/06/25	30.00
16277	UBS AG – Sinopec Corp European Warrants May 2012 B	100,000,000	2012/01/11	2012/05/16	18.00
16279	UBS AG – A50 China European Warrants Dec 2014	300,000,000	2012/01/11	2014/12/23	54.00
14518#	CC Rabobank B.A. – CNBM European Warrants Sep 2012	130,000,000	2012/01/11	2012/09/19	10.53
16286	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2012	200,000,000	2012/01/12	2012/12/28	50.00
16294	Credit Suisse AG – Sinopec Corp European Warrants May 2012 A	80,000,000	2012/01/12	2012/05/16	21.60
16295	Credit Suisse AG – Sinopec Corp European Warrants May 2012 B	80,000,000	2012/01/12	2012/05/16	12.00
16293	Credit Suisse AG – Sinopec Corp European Put Wts May 2012	80,000,000	2012/01/12	2012/05/14	12.00
16297	Daiwa Capital Mkt – Agricultural Bank European Wts Jun 2012	108,000,000	2012/01/12	2012/06/18	30.24

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16304	Daiwa Capital Mkt – CCB European Warrants Apr 2012	108,000,000	2012/01/12	2012/04/12	43.20
16302	Daiwa Capital Mkt – CCB European Warrants May 2012	128,000,000	2012/01/12	2012/05/23	20.48
16303	Daiwa Capital Mkt – CCB European Warrants Jun 2012	128,000,000	2012/01/12	2012/06/27	32.00
16301	Daiwa Capital Mkt – CCB European Put Warrants Jul 2012	80,000,000	2012/01/12	2012/07/12	32.00
16296	Daiwa Capital Mkt – China Life European Warrants Jul 2012 A	168,000,000	2012/01/12	2012/07/09	26.88
16300	Daiwa Capital Mkt – ICBC European Put Warrants Jul 2012	80,000,000	2012/01/12	2012/07/12	20.00
16291	Daiwa Capital Mkt – PetroChina European Warrants Nov 2013	108,000,000	2012/01/12	2013/11/01	27.00
16290	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Aug 2012	100,000,000	2012/01/12	2012/08/27	31.50
16288	Goldman Sachs SP (Asia) – HKEx European Put Wts Dec 2012	120,000,000	2012/01/12	2012/12/24	18.12
16287	Goldman Sachs SP (Asia) – MTRC European Warrants Nov 2012	120,000,000	2012/01/12	2012/11/19	18.36
16289	Goldman Sachs SP (Asia) – PetroChina European Wts Aug 2012	100,000,000	2012/01/12	2012/08/27	41.60
16282	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2012 A	80,000,000	2012/01/12	2012/08/15	12.00
16280	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 F	100,000,000	2012/01/12	2012/06/28	15.30
16281	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jul 2012	40,000,000	2012/01/12	2012/07/16	10.00
16284	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jul 2012	20,000,000	2012/01/12	2012/07/16	12.60
16283	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2012	80,000,000	2012/01/12	2012/07/10	12.40
16285	Standard Chartered Bank – Sands China European Wts Jul 2012	100,000,000	2012/01/12	2012/07/16	32.00
16292	UBS AG – CC Bank European Warrants Jul 2012 B	200,000,000	2012/01/12	2012/07/03	64.00
16313	Daiwa Capital Mkt – China Mobile European Put Wts Jul 2012	80,000,000	2012/01/13	2012/07/13	20.00
16314	Daiwa Capital Mkt – Sinopec Corp European Warrants May 2012A	98,000,000	2012/01/13	2012/05/16	17.64
16315	Daiwa Capital Mkt – HSBC European Warrants Jul 2012	128,000,000	2012/01/13	2012/07/13	32.00
16308	Daiwa Capital Mkt – HSBC European Put Warrants Jul 2012 A	80,000,000	2012/01/13	2012/07/13	30.40
16311	Daiwa Capital Mkt – Hutchison European Warrants Jun 2012	128,000,000	2012/01/13	2012/06/11	46.08
16310	Daiwa Capital Mkt – Hutchison European Warrants Jul 2012	128,000,000	2012/01/13	2012/07/13	32.00
16309	Daiwa Capital Mkt – Hutchison European Put Warrants Jul 2012	80,000,000	2012/01/13	2012/07/13	20.00
16312	Daiwa Capital Mkt – Tencent Holdings European Wts Jun 2012	108,000,000	2012/01/13	2012/06/15	18.36
16319	Bank of East Asia – BankComm European Warrants Sep 2012	50,000,000	2012/01/13	2012/09/25	12.50
16318	Bank of East Asia – A50 China European Warrants Jul 2013	170,000,000	2012/01/13	2013/07/12	42.50
16317	Bank of East Asia – PICC European Warrants Apr 2013	140,000,000	2012/01/13	2013/04/23	35.00
16305	HK Bank – A50 China European Put Warrants Sep 2012	80,000,000	2012/01/13	2012/09/24	12.00
16307	J P Morgan SP BV – HSI European Warrants Oct 2012 A	200,000,000	2012/01/13	2012/10/30	30.00
16316	UBS AG – China National Building European Warrants Dec 2012	100,000,000	2012/01/13	2012/12/10	16.00
15193#	J P Morgan SP BV – A50 China European Warrants Jul 2012	300,000,000	2012/01/13	2012/07/18	18.30
16332	BNP Paribas Arbit Issu B.V. – Ping An European Wt Oct 2012	100,000,000	2012/01/16	2012/10/30	57.00
16320	HK Bank – China Mobile European Warrants Jun 2012 B	150,000,000	2012/01/16	2012/06/11	22.50
16326	Macquarie Bank Ltd. – China Tel European Warrants Aug 2012	120,000,000	2012/01/16	2012/08/02	31.08
16334	Macquarie Bank Ltd. – Ping An European Warrants Aug 2012	150,000,000	2012/01/16	2012/08/02	54.00
16324	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2013	100,000,000	2012/01/16	2013/11/01	25.00
16325	Standard Chartered Bank – ICBC European Warrants Jun 2012	100,000,000	2012/01/16	2012/06/06	25.50
16327	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Jul 12	80,000,000	2012/01/16	2012/07/18	20.00
16328	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Nov 2012	200,000,000	2012/01/16	2012/11/19	50.00
16321	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Dec 2012	150,000,000	2012/01/16	2012/12/19	27.00
16329	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Aug 2012	100,000,000	2012/01/16	2012/08/15	25.00
16322	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2012	200,000,000	2012/01/16	2012/07/16	50.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16323	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 2012	100,000,000	2012/01/16	2012/07/16	47.00
16330	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Sep 12	100,000,000	2012/01/16	2012/09/19	25.00
16331	SGA Societe Generale Acceptance NV – Zijin Mining Eu Wt Jul 12	50,000,000	2012/01/16	2012/07/18	19.00
16333	UBS AG – China Tel European Warrants Jul 2012 A	100,000,000	2012/01/16	2012/07/30	30.00
16342	Credit Suisse AG – Belle Int'l European Warrants May 2012	70,000,000	2012/01/17	2012/05/02	10.50
16346	Credit Suisse AG – China Life European Put Warrants May 2012	70,000,000	2012/01/17	2012/05/09	10.50
16343	Credit Suisse AG – CNBM European Warrants Dec 2012	70,000,000	2012/01/17	2012/12/21	10.50
16344	Credit Suisse AG – CNOOC European Put Warrants Jun 2012 A	70,000,000	2012/01/17	2012/06/28	10.50
16345	Credit Suisse AG – HKEx European Put Warrants Aug 2012	70,000,000	2012/01/17	2012/08/30	10.50
16348	Credit Suisse AG – HSBC European Put Warrants May 2012	60,000,000	2012/01/17	2012/05/28	15.00
16341	Credit Suisse AG – Ping An European Warrants Sep 2013	120,000,000	2012/01/17	2013/09/27	18.00
16347	Credit Suisse AG – Ping An European Put Warrants Jun 2012	50,000,000	2012/01/17	2012/06/28	17.00
16336	Goldman Sachs SP (Asia) – Ch Overseas European Wts Nov 2012	120,000,000	2012/01/17	2012/11/12	30.24
16337	Goldman Sachs SP (Asia) – China Unicom European Wts Sep 2012	120,000,000	2012/01/17	2012/09/24	18.24
16339	Goldman Sachs SP (Asia) – CNBM European Warrants Dec 2012	120,000,000	2012/01/17	2012/12/20	19.44
16335	Goldman Sachs SP (Asia) – KECL European Warrants Mar 2013	120,000,000	2012/01/17	2013/03/25	30.12
16338	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Oct 2012	120,000,000	2012/01/17	2012/10/15	32.88
16340	Standard Chartered Bank – China Life European Wts Sep 2012	100,000,000	2012/01/17	2012/09/28	25.00
16350	UBS AG – HSI European Put Warrants May 2012 D	300,000,000	2012/01/17	2012/05/30	51.00
16349	UBS AG – HSI European Put Warrants Jun 2012 E	300,000,000	2012/01/17	2012/06/28	45.00
16353	UBS AG – Hutchison European Warrants Jul 2012 A	300,000,000	2012/01/17	2012/07/23	90.00
16352	UBS AG – ICBC European Warrants Jun 2012 A	200,000,000	2012/01/17	2012/06/25	52.00
16351	UBS AG – Tencent Holdings European Put Warrants May 2012	200,000,000	2012/01/17	2012/05/21	32.00
16357	BOCI Asia Ltd. – China Mobile European Warrants Aug 2012	100,000,000	2012/01/18	2012/08/03	25.00
16358	BOCI Asia Ltd. – China Mobile European Put Warrants Aug 2012	100,000,000	2012/01/18	2012/08/06	25.00
16379	Credit Suisse AG – Ch Overseas European Warrants Jun 2012	70,000,000	2012/01/18	2012/06/25	10.50
16373	Credit Suisse AG – China Coal European Warrants Dec 2012	70,000,000	2012/01/18	2012/12/12	10.50
16378	Credit Suisse AG – CPIC European Warrants May 2012	70,000,000	2012/01/18	2012/05/21	10.50
16374	Credit Suisse AG – A50 China European Put Warrants Sep 2012	70,000,000	2012/01/18	2012/09/28	10.50
16380	Credit Suisse AG – KECL European Warrants Dec 2012	50,000,000	2012/01/18	2012/12/24	12.50
16372	Credit Suisse AG – Tencent Holdings European Wts Jun 2012	180,000,000	2012/01/18	2012/06/29	27.00
16376	Credit Suisse AG – Tencent Holdings Euro Put Wts Jul 2012	80,000,000	2012/01/18	2012/07/30	12.00
16375	Credit Suisse AG – Wharf European Warrants Jul 2012	50,000,000	2012/01/18	2012/07/30	12.50
16377	Credit Suisse AG – Zoomlion European Warrants Oct 2012	70,000,000	2012/01/18	2012/10/22	10.50
16369	Citigroup Global Mkt H Inc. – Anhui Conch Euro Wts Jul 2012	50,000,000	2012/01/18	2012/07/23	12.50
16371	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Aug 2012	80,000,000	2012/01/18	2012/08/23	12.00
16368	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jul 2012	50,000,000	2012/01/18	2012/07/18	17.50
16370	Citigroup Global Mkt H Inc. – Weichai Power Eu Wts Jul 2012	50,000,000	2012/01/18	2012/07/23	12.50
16361	Macquarie Bank Ltd. – BOCL European Warrants Jun 2012	120,000,000	2012/01/18	2012/06/04	19.56
16359	Macquarie Bank Ltd. – China Unicom European Wts Sep 2012 A	200,000,000	2012/01/18	2012/09/04	50.00
16360	Macquarie Bank Ltd. – ICBC European Warrants Aug 2012	200,000,000	2012/01/18	2012/08/02	50.40
16365	Standard Chartered Bank – Agricultural Bk Euro Wts Sep 2012	100,000,000	2012/01/18	2012/09/05	35.00
16366	Standard Chartered Bank – BOCL European Warrants Nov 2012 A	100,000,000	2012/01/18	2012/11/05	25.00
16364	Standard Chartered Bank – HSBC European Warrants Aug 2012 A	100,000,000	2012/01/18	2012/08/03	40.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16363	Standard Chartered Bank – HSI European Warrants Jun 2012 B	200,000,000	2012/01/18	2012/06/28	38.60
16362	Standard Chartered Bank – HSI European Put Wts Jun 2012 A	200,000,000	2012/01/18	2012/06/28	30.00
16367	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2012	200,000,000	2012/01/18	2012/09/24	50.00
16354	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2012	200,000,000	2012/01/18	2012/07/11	38.00
16356	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012 B	200,000,000	2012/01/18	2012/05/28	78.00
16355	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 12 A	200,000,000	2012/01/18	2012/06/28	38.00
16389	Barclays Bank plc – ICBC European Warrants Jul 2012 A	100,000,000	2012/01/19	2012/07/31	25.00
16402	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Oct 2012	100,000,000	2012/01/19	2012/10/04	79.00
16403	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Sep 2012	100,000,000	2012/01/19	2012/09/04	29.00
16401	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Aug 2013	100,000,000	2012/01/19	2013/08/02	80.00
16400	Credit Suisse AG – HSI European Warrants Jun 2012	400,000,000	2012/01/19	2012/06/28	60.00
16397	Credit Suisse AG – HSI European Warrants Apr 2012 K	400,000,000	2012/01/19	2012/04/27	60.00
16398	Credit Suisse AG – HSI European Warrants May 2012 F	400,000,000	2012/01/19	2012/05/30	60.00
16399	Credit Suisse AG – HSI European Warrants May 2012 G	400,000,000	2012/01/19	2012/05/30	60.00
16384	Goldman Sachs SP (Asia) – Chi Resources European Wt Nov 2012	120,000,000	2012/01/19	2012/11/26	32.04
16387	Goldman Sachs SP (Asia) – China Coal European Wts Nov 2012	120,000,000	2012/01/19	2012/11/28	18.84
16385	Goldman Sachs SP (Asia) – Dongfeng European Wts Sep 2012	120,000,000	2012/01/19	2012/09/24	30.12
16386	Goldman Sachs SP (Asia) – Li & Fung European Wts Aug 2012	120,000,000	2012/01/19	2012/08/13	18.00
16388	Goldman Sachs SP (Asia) – PICC European Warrants Sep 2012	120,000,000	2012/01/19	2012/09/20	18.48
16394	HK Bank – AIA European Warrants Sep 2012	150,000,000	2012/01/19	2012/09/21	22.50
16392	HK Bank – Sinopec Corp European Warrants Jul 2012	120,000,000	2012/01/19	2012/07/30	30.00
16396	HK Bank – A50 China European Warrants Jul 2012	120,000,000	2012/01/19	2012/07/19	34.80
16390	HK Bank – HSI European Warrants Oct 2012	250,000,000	2012/01/19	2012/10/30	37.50
16391	HK Bank – HWL European Warrants Jul 2012	150,000,000	2012/01/19	2012/07/19	37.50
16395	HK Bank – ICBC European Warrants Jun 2012 A	120,000,000	2012/01/19	2012/06/20	18.00
16393	HK Bank – Tencent European Warrants Dec 2012	180,000,000	2012/01/19	2012/12/12	45.00
16383	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jun 2012	200,000,000	2012/01/19	2012/06/27	30.00
16381	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2012	500,000,000	2012/01/19	2012/07/04	90.00
16382	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2012 B	500,000,000	2012/01/19	2012/09/24	110.00
16405	UBS AG – China Unicom European Warrants Aug 2012	100,000,000	2012/01/19	2012/08/27	15.00
16407	UBS AG – China Unicom European Put Warrants Jun 2012	100,000,000	2012/01/19	2012/06/25	17.30
16406	UBS AG – China Life European Put Warrants Jun 2012	100,000,000	2012/01/19	2012/06/01	17.80
16410	UBS AG – CNOOC European Put Warrants May 2012	100,000,000	2012/01/19	2012/05/28	15.00
16409	UBS AG – HKEx European Put Warrants Nov 2012	200,000,000	2012/01/19	2012/11/27	40.00
16408	UBS AG – HSBC European Put Warrants Jul 2012	100,000,000	2012/01/19	2012/07/30	45.00
16404	UBS AG – HSCEI European Warrants Sep 2012	100,000,000	2012/01/19	2012/09/27	15.00
16115#	UBS AG – China Unicom European Warrants May 2012	250,000,000	2012/01/19	2012/05/28	11.75
16418	Citigroup Global Mkt H Inc. – Belle Int'l Euro Wts Jul 2012	80,000,000	2012/01/20	2012/07/23	12.00
16415	Citigroup Global Mkt H Inc. – Brilliance Chi Eu Wts Jan 2013	80,000,000	2012/01/20	2013/01/21	12.80
16421	Citigroup Global Mkt H Inc. – Ch Oilfield Euro Wts Dec 2012	80,000,000	2012/01/20	2012/12/10	12.80
16420	Citigroup Global Mkt H Inc. – Datang European Wts Jul 2012	50,000,000	2012/01/20	2012/07/23	12.50
16422	Citigroup Global Mkt H Inc. – Esprit European Wts Oct 2012	50,000,000	2012/01/20	2012/10/10	12.50
16417	Citigroup Global Mkt H Inc. – Haier Elec Euro Wts Jan 2013	50,000,000	2012/01/20	2013/01/07	12.50
16414	Citigroup Global Mkt H Inc. – China Mengniu Eu Wts Sep 2012	80,000,000	2012/01/20	2012/09/20	12.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16419	Citigroup Global Mkt H Inc. – Weigao Group Euro Wts Dec 2012	80,000,000	2012/01/20	2012/12/24	12.00
16416	Citigroup Global Mkt H Inc. – Zoomlion European Wts Nov 2012	80,000,000	2012/01/20	2012/11/27	12.80
16413	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2012	108,000,000	2012/01/20	2012/07/03	17.28
16423	Goldman Sachs SP (Asia) – China Tel European Wts Aug 2012	120,000,000	2012/01/20	2012/08/06	30.24
16424	Goldman Sachs SP (Asia) – Lenovo European Warrants Sep 2012	120,000,000	2012/01/20	2012/09/18	46.08
16426	Goldman Sachs SP (Asia) – China Mengniu European Wt Oct 2012	120,000,000	2012/01/20	2012/10/15	30.12
16425	Goldman Sachs SP (Asia) – Yurun Food European Wts Nov 2012	120,000,000	2012/01/20	2012/11/05	30.36
16411	Standard Chartered Bank – A50 China Euro Warrants Jun 2012	100,000,000	2012/01/20	2012/06/25	26.00
16412	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Feb 2013	500,000,000	2012/01/20	2013/02/18	100.00
16428	UBS AG – CNOOC European Warrants Sep 2012	200,000,000	2012/01/20	2012/09/24	30.00
16430	UBS AG – China Unicom European Warrants Sep 2012 A	100,000,000	2012/01/20	2012/09/24	15.00
16429	UBS AG – PetroChina European Warrants Oct 2013	300,000,000	2012/01/20	2013/10/28	69.00
16427	UBS AG – PICC European Warrants Jun 2012	100,000,000	2012/01/20	2012/06/26	15.50
16431	UBS AG – Ping An European Put Warrants Jun 2012	100,000,000	2012/01/20	2012/06/26	40.00
16435	BNP Paribas Arbit Issu B.V. – CNOOC European Put Wt Nov 2012	100,000,000	2012/01/26	2012/11/02	25.00
16436	BNP Paribas Arbit Issu B.V. – PetroCh Euro Put Wts Oct 2012	100,000,000	2012/01/26	2012/10/30	15.00
16432	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Jan 2013	120,000,000	2012/01/26	2013/01/21	18.24
16434	Macquarie Bank Ltd. – A50 China European Put Wts Oct 2012	200,000,000	2012/01/26	2012/10/04	30.00
16439	UBS AG – CC Bank European Warrants May 2012 B	200,000,000	2012/01/26	2012/05/23	60.00
16437	UBS AG – China Mobile European Warrants Jul 2012 A	200,000,000	2012/01/26	2012/07/03	60.00
16433	UBS AG – China Unicom European Warrants Jul 2012 A	100,000,000	2012/01/26	2012/07/30	25.00
16438	UBS AG – HSBC European Warrants Jun 2012 D	200,000,000	2012/01/26	2012/06/26	30.00
16459	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2012	90,000,000	2012/01/27	2012/08/02	29.70
16460	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2012 A	200,000,000	2012/01/27	2012/11/02	86.00
16458	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Feb 2013	100,000,000	2012/01/27	2013/02/04	25.00
16478	Credit Suisse AG – Agricultural Bank European Wts Apr 2012 A	120,000,000	2012/01/27	2012/04/30	18.00
16475	Credit Suisse AG – BOCL European Warrants May 2012 A	100,000,000	2012/01/27	2012/05/23	16.00
16477	Credit Suisse AG – China Mobile European Warrants May 2012	150,000,000	2012/01/27	2012/05/30	22.50
16480	Credit Suisse AG – CNOOC European Warrants Jul 2012	100,000,000	2012/01/27	2012/07/30	15.00
16479	Credit Suisse AG – CNOOC European Warrants Feb 2013	120,000,000	2012/01/27	2013/02/18	18.00
16483	Credit Suisse AG – HSI European Warrants Aug 2012	400,000,000	2012/01/27	2012/08/30	60.00
16481	Credit Suisse AG – HSI European Put Warrants Sep 2012	400,000,000	2012/01/27	2012/09/27	60.00
16482	Credit Suisse AG – HSI European Put Warrants Apr 2012 L	400,000,000	2012/01/27	2012/04/27	60.00
16476	Credit Suisse AG – ICBC European Warrants Jul 2012 A	120,000,000	2012/01/27	2012/07/30	30.00
16471	Daiwa Capital Mkt – BOCL European Warrants May 2012	108,000,000	2012/01/27	2012/05/23	21.60
16472	Daiwa Capital Mkt – BOCL European Warrants Jul 2012 A	108,000,000	2012/01/27	2012/07/27	27.00
16469	Daiwa Capital Mkt – CCB European Warrants Jun 2012 A	128,000,000	2012/01/27	2012/06/22	19.20
16470	Daiwa Capital Mkt – China Life European Warrants Jun 2012 A	168,000,000	2012/01/27	2012/06/18	25.20
16468	Daiwa Capital Mkt – HSI European Warrants Jun 2012 B	200,000,000	2012/01/27	2012/06/28	30.00
16473	Daiwa Capital Mkt – ICBC European Warrants Jul 2012	128,000,000	2012/01/27	2012/07/27	33.28
16474	Daiwa Capital Mkt – ICBC European Warrants May 2012 A	128,000,000	2012/01/27	2012/05/23	32.00
16452	Goldman Sachs SP (Asia) – HSI European Put Wts May 2012	200,000,000	2012/01/27	2012/05/30	30.00
16455	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2012	200,000,000	2012/01/27	2012/06/28	34.20
16454	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2012 A	200,000,000	2012/01/27	2012/06/28	42.60

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
16453	Goldman Sachs SP (Asia) – HSI European Put Wts May 2012 A	200,000,000	2012/01/27	2012/05/30	37.40
16456	J P Morgan SP BV – Alibaba European Warrants Oct 2013	150,000,000	2012/01/27	2013/10/02	37.50
16467	J P Morgan SP BV – China Mob European Wts Jul 2012	150,000,000	2012/01/27	2012/07/30	37.50
16466	J P Morgan SP BV – China Tel European Warrants Aug 2012	100,000,000	2012/01/27	2012/08/06	25.00
16465	J P Morgan SP BV – China Unicom European Warrants Dec 2012	200,000,000	2012/01/27	2012/12/28	50.00
16457	J P Morgan SP BV – Galaxy Ent European Warrants Sep 2012	200,000,000	2012/01/27	2012/09/03	50.00
16451	Macquarie Bank Ltd. – China Unicom European Put Wts Jan 2013	250,000,000	2012/01/27	2013/01/03	62.50
16445	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2012	40,000,000	2012/01/27	2012/07/30	16.20
16447	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2013	40,000,000	2012/01/27	2013/03/11	12.20
16450	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Jul2012	40,000,000	2012/01/27	2012/07/31	13.60
16446	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2012 A	40,000,000	2012/01/27	2012/09/17	10.00
16448	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2012 A	80,000,000	2012/01/27	2012/11/27	12.08
16444	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jul 2012	60,000,000	2012/01/27	2012/07/30	17.10
16442	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Aug 2012	60,000,000	2012/01/27	2012/08/20	15.00
16449	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Sep 2013	60,000,000	2012/01/27	2013/09/09	15.00
16443	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Aug 2012	40,000,000	2012/01/27	2012/08/20	10.00
16461	Standard Chartered Bank – CTel European Warrants Aug 2012	100,000,000	2012/01/27	2012/08/30	25.00
16440	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2012	200,000,000	2012/01/27	2012/07/30	50.00
16441	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 2012	500,000,000	2012/01/27	2012/11/05	80.00
16464	UBS AG – HSI European Warrants Jun 2012 F	300,000,000	2012/01/27	2012/06/28	54.00
16462	UBS AG – ICBC European Warrants Jun 2012 B	200,000,000	2012/01/27	2012/06/20	42.00
16463	UBS AG – PICC European Warrants Sep 2012	100,000,000	2012/01/27	2012/09/20	15.00
13137#	Citigroup Global Mkt H Inc. – Goldwind European Wts Sep 2012	630,000,000	2012/01/27	2012/09/03	10.08
16506	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct 12	100,000,000	2012/01/30	2012/10/03	27.00
16509	BNP Paribas Arbit Issu B.V. – BOCL European Wts Nov 2012	200,000,000	2012/01/30	2012/11/29	52.00
16507	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2012 A	96,000,000	2012/01/30	2012/08/02	30.72
16508	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Aug 2012	94,000,000	2012/01/30	2012/08/02	23.50
16518	Credit Suisse AG – AIA European Warrants Jun 2012	150,000,000	2012/01/30	2012/06/01	22.50
16505	Credit Suisse AG – ChiUnicom European Warrants Aug 2012	150,000,000	2012/01/30	2012/08/31	22.50
16501	Credit Suisse AG – CNOOC European Warrants Sep 2012	100,000,000	2012/01/30	2012/09/28	15.00
16500	Credit Suisse AG – Jiangxi Copper European Wts Jun 2012 A	80,000,000	2012/01/30	2012/06/25	12.00
16498	Credit Suisse AG – Tencent Holdings European Wts Jul 2012	180,000,000	2012/01/30	2012/07/30	27.00
16499	Credit Suisse AG – Tencent Holdings Euro Put Wts May 2012 B	180,000,000	2012/01/30	2012/05/28	27.00
16510	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Jun 2012	80,000,000	2012/01/30	2012/06/28	12.00
16511	Citigroup Global Mkt H Inc. – HSI European Put Wt May 2012	100,000,000	2012/01/30	2012/05/30	15.00
16513	Citigroup Global Mkt H Inc. – KECL European Wts Jan 2013	50,000,000	2012/01/30	2013/01/14	12.50
16512	Citigroup Global Mkt H Inc. – Tencent European Wts Jul 2012	80,000,000	2012/01/30	2012/07/24	12.00
16492	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2012	120,000,000	2012/01/30	2012/08/27	30.36
16495	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2012	120,000,000	2012/01/30	2012/10/15	19.20
16496	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Oct 2012	120,000,000	2012/01/30	2012/10/22	81.84
16491	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2012	200,000,000	2012/01/30	2012/07/30	50.60
16493	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2012	200,000,000	2012/01/30	2012/08/30	33.80
16494	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2012 A	200,000,000	2012/01/30	2012/08/30	30.20
16497	Macquarie Bank Ltd. – PetroChina European Wts Jun 2012	150,000,000	2012/01/30	2012/06/08	58.95

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16484	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt May 2012 A	60,000,000	2012/01/30	2012/05/24	11.16
16503	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2012	40,000,000	2012/01/30	2012/07/31	10.00
16502	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wts May 2012	60,000,000	2012/01/30	2012/05/09	10.62
16485	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2012 B	40,000,000	2012/01/30	2012/05/08	10.20
16486	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2012 A	70,000,000	2012/01/30	2012/07/30	10.50
16489	Standard Chartered Bank – China Unicom Euro Wts Aug 2012 A	100,000,000	2012/01/30	2012/08/03	25.00
16490	Standard Chartered Bank – Evergrande European Wts Nov 2012	50,000,000	2012/01/30	2012/11/19	34.00
16488	Standard Chartered Bank – Tencent Hldg European Wts Jul 2012	100,000,000	2012/01/30	2012/07/03	18.00
16504	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 2012	200,000,000	2012/01/30	2012/07/30	44.00
16487	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2012 A	200,000,000	2012/01/30	2012/07/30	50.00
16516	UBS AG – BYD European Warrants Aug 2012 A	100,000,000	2012/01/30	2012/08/13	42.00
16515	UBS AG – China Mobile European Warrants Jun 2012 C	200,000,000	2012/01/30	2012/06/11	30.00
16514	UBS AG – ICBC European Warrants Jul 2012	200,000,000	2012/01/30	2012/07/26	30.00
16517	UBS AG – Tencent Holdings European Warrants Sep 2012	200,000,000	2012/01/30	2012/09/10	50.00
15175#	UBS AG – A50 China European Warrants Oct 2012	200,000,000	2012/01/30	2012/10/08	14.80
16519	BOCI Asia Ltd. – Tencent Holdings European Warrants Jul 2012	200,000,000	2012/01/31	2012/07/23	30.00
16537	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2012	200,000,000	2012/01/31	2012/07/11	32.00
16524	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Dec 2012	100,000,000	2012/01/31	2012/12/04	17.00
16535	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2012 A	200,000,000	2012/01/31	2012/12/28	32.00
16534	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2012 A	200,000,000	2012/01/31	2012/07/30	40.00
16536	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2012 A	200,000,000	2012/01/31	2012/09/04	50.00
16533	Credit Suisse AG – Hutchison European Warrants Jun 2012 A	180,000,000	2012/01/31	2012/06/28	27.00
16521	Goldman Sachs SP (Asia) – Swrie Pacific A Euro Wts Dec 2012	120,000,000	2012/01/31	2012/12/03	30.24
16520	J P Morgan SP BV – A50 China European Warrants Oct 2013	300,000,000	2012/01/31	2013/10/28	45.00
16523	Macquarie Bank Ltd. – Minsheng Bank European Wts Aug 2012	100,000,000	2012/01/31	2012/08/02	42.10
16522	Macquarie Bank Ltd. – Tencent European Warrants Oct 2012	250,000,000	2012/01/31	2012/10/04	62.50
16525	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2012	200,000,000	2012/01/31	2012/09/10	50.00
16528	UBS AG – China Tel European Warrants Aug 2012	100,000,000	2012/01/31	2012/08/27	25.00
16531	UBS AG – China Unicom European Put Warrants Sep 2012	100,000,000	2012/01/31	2012/09/24	17.60
16527	UBS AG – China Life European Warrants Jun 2012 B	200,000,000	2012/01/31	2012/06/04	30.00
16530	UBS AG – China Mobile European Warrants Jul 2012 B	200,000,000	2012/01/31	2012/07/31	50.00
16526	UBS AG – Sinopec Corp European Warrants Jun 2012 B	100,000,000	2012/01/31	2012/06/25	15.00
16529	UBS AG – Tencent Holdings European Warrants Dec 2012	200,000,000	2012/01/31	2012/12/17	50.00
16532	UBS AG – Tencent Holdings European Put Warrants Jun 2012	100,000,000	2012/01/31	2012/06/04	17.00
16554	BOCI Asia Ltd. – BOCL European Warrants Nov 2012	100,000,000	2012/02/01	2012/11/05	25.00
16552	BOCI Asia Ltd. – China Life European Put Warrants Sep 2012	100,000,000	2012/02/01	2012/09/04	20.00
16553	BOCI Asia Ltd. – CNBM European Warrants Sep 2012	100,000,000	2012/02/01	2012/09/19	15.00
16560	Goldman Sachs SP (Asia) – Chi Res Land European Wts Apr 2013	120,000,000	2012/02/01	2013/04/29	30.60
16538	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2012 B	200,000,000	2012/02/01	2012/06/28	36.00
16561	Goldman Sachs SP (Asia) – Hutchison European Wts May 2012	120,000,000	2012/02/01	2012/05/31	30.96
16547	Goldman Sachs SP (Asia) – KECL European Warrants Jun 2013	120,000,000	2012/02/01	2013/06/24	30.00
16559	Goldman Sachs SP (Asia) – ZTE European Warrants Sep 2012	120,000,000	2012/02/01	2012/09/10	30.24
16556	HK Bank – BOCL European Warrants Sep 2013	100,000,000	2012/02/01	2013/09/27	25.00
16555	HK Bank – HKEx European Warrants Jun 2014	150,000,000	2012/02/01	2014/06/27	37.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16565	Macquarie Bank Ltd. – BYD European Warrants Aug 2012 A	200,000,000	2012/02/01	2012/08/02	61.20
16566	Macquarie Bank Ltd. – CC Bank European Put Warrants Sep 2012	120,000,000	2012/02/01	2012/09/04	38.04
16564	Macquarie Bank Ltd. – Ch Comm Cons European Wts Sep 2012	100,000,000	2012/02/01	2012/09/03	33.60
16563	Macquarie Bank Ltd. – Jiangxi Copper European Wts Sep 2012	200,000,000	2012/02/01	2012/09/04	50.00
16562	Macquarie Bank Ltd. – Ping An European Warrants Sep 2012	200,000,000	2012/02/01	2012/09/04	76.00
16558	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jul 2012 A	70,000,000	2012/02/01	2012/07/10	10.50
16557	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Sep 2012	40,000,000	2012/02/01	2012/09/19	10.00
16542	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2012	40,000,000	2012/02/01	2012/10/22	11.60
16549	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2013	70,000,000	2012/02/01	2013/11/11	10.50
16543	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Nov 12	50,000,000	2012/02/01	2012/11/26	10.25
16544	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Sep 13	40,000,000	2012/02/01	2013/09/30	10.00
16551	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Jun 2012 A	70,000,000	2012/02/01	2012/06/28	10.50
16550	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Sep 2012	70,000,000	2012/02/01	2012/09/27	10.50
16546	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2013	40,000,000	2012/02/01	2013/03/28	10.40
16545	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Aug 2012	40,000,000	2012/02/01	2012/08/30	10.00
16539	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Sep 2012	100,000,000	2012/02/01	2012/09/26	15.00
16540	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2012	100,000,000	2012/02/01	2012/10/17	25.00
16548	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Oct 2012	200,000,000	2012/02/01	2012/10/31	50.00
16541	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Sep 2012	100,000,000	2012/02/01	2012/09/03	25.00
16569	UBS AG – Agricultural Bank European Warrants Aug 2012	200,000,000	2012/02/01	2012/08/08	50.00
16571	UBS AG – BOCL European Warrants Oct 2012	100,000,000	2012/02/01	2012/10/15	25.00
16568	UBS AG – CC Bank European Warrants Jul 2012 C	200,000,000	2012/02/01	2012/07/03	38.00
16567	UBS AG – HSBC European Warrants Jul 2012	300,000,000	2012/02/01	2012/07/30	78.00
16572	UBS AG – HSI European Warrants Aug 2012 A	300,000,000	2012/02/01	2012/08/30	45.00
16573	UBS AG – HSI European Put Warrants May 2012 E	300,000,000	2012/02/01	2012/05/30	45.00
16570	UBS AG – Ping An European Warrants Aug 2012	100,000,000	2012/02/01	2012/08/08	25.00
16596	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2014	100,000,000	2012/02/02	2014/01/03	16.00
16598	Credit Suisse AG – AIA European Warrants Jun 2012 A	150,000,000	2012/02/02	2012/06/29	22.50
16597	Credit Suisse AG – China Life European Put Warrants Jul 2012	100,000,000	2012/02/02	2012/07/30	15.00
16599	Credit Suisse AG – HSI European Put Warrants Jun 2012	400,000,000	2012/02/02	2012/06/28	60.00
16600	Credit Suisse AG – HSI European Warrants Aug 2012 A	400,000,000	2012/02/02	2012/08/30	60.00
16593	Macquarie Bank Ltd. – AIA European Warrants Oct 2012	150,000,000	2012/02/02	2012/10/04	45.90
16594	Macquarie Bank Ltd. – CRCC European Warrants Aug 2012	120,000,000	2012/02/02	2012/08/02	46.68
16586	Macquarie Bank Ltd. – HSBC European Put Warrants Aug 2012	150,000,000	2012/02/02	2012/08/02	46.95
16595	Macquarie Bank Ltd. – Zijin Mining European Wts Aug 2012 A	100,000,000	2012/02/02	2012/08/02	25.00
16592	Macquarie Bank Ltd. – Zijin Mining European Put Wts Aug 2012	100,000,000	2012/02/02	2012/08/02	30.40
16577	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012	100,000,000	2012/02/02	2012/08/30	25.00
16574	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012	100,000,000	2012/02/02	2012/10/30	18.40
16575	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2012	100,000,000	2012/02/02	2012/11/29	25.00
16576	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012	100,000,000	2012/02/02	2012/12/28	18.30
16580	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2012	100,000,000	2012/02/02	2012/07/30	15.00
16582	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012	100,000,000	2012/02/02	2012/08/30	25.00
16578	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012	100,000,000	2012/02/02	2012/12/28	15.00
16581	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012 G	100,000,000	2012/02/02	2012/06/28	15.00

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		issue (units)	Listing date	Expiry	
16579	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 D	100,000,000	2012/02/02	2012/09/27	15.00
16585	Standard Chartered Bank – ICBC European Warrants Dec 2012 A	100,000,000	2012/02/02	2012/12/31	31.00
16583	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012	200,000,000	2012/02/02	2012/08/30	30.00
16584	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 2012	200,000,000	2012/02/02	2012/08/30	60.00
16587	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 12 B	300,000,000	2012/02/02	2012/06/28	45.00
16601	UBS AG – HSCEI European Warrants Sep 2012 A	100,000,000	2012/02/02	2012/09/27	15.00
16588	UBS AG – HSI European Warrants Sep 2012	300,000,000	2012/02/02	2012/09/27	75.00
16589	UBS AG – HSI European Put Warrants May 2012 F	300,000,000	2012/02/02	2012/05/30	54.00
16590	UBS AG – HSI European Put Warrants Jun 2012 G	300,000,000	2012/02/02	2012/06/28	45.00
16591	UBS AG – HSI European Put Warrants Jul 2012 A	300,000,000	2012/02/02	2012/07/30	45.00
16626	BOCI Asia Ltd. – HSI European Warrants Oct 2012 A	100,000,000	2012/02/03	2012/10/30	20.00
16618	BOCI Asia Ltd. – Hutchison European Warrants Sep 2012	100,000,000	2012/02/03	2012/09/03	25.00
16619	BOCI Asia Ltd. – ICBC European Warrants Sep 2012	100,000,000	2012/02/03	2012/09/03	30.00
16612	BNP Paribas Arbit Issu B.V. – BBMG European Wts Dec 2013	80,000,000	2012/02/03	2013/12/03	20.00
16629	BNP Paribas Arbit Issu B.V. – CNBM European Wts Dec 2012	100,000,000	2012/02/03	2012/12/04	25.00
16632	BNP Paribas Arbit Issu B.V. – COSCO Pacific Euro Wt Mar 2013	80,000,000	2012/02/03	2013/03/04	20.00
16631	BNP Paribas Arbit Issu B.V. – Ch Ship Dev Euro Wts Sep 2012	20,000,000	2012/02/03	2012/09/04	11.60
16628	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2012	200,000,000	2012/02/03	2012/08/30	50.00
16630	BNP Paribas Arbit Issu B.V. – Xinyi Glass Euro Wts Nov 2012	20,000,000	2012/02/03	2012/11/02	10.20
16646	Credit Suisse AG – BYD European Warrants Jun 2012	80,000,000	2012/02/03	2012/06/04	12.00
16647	Credit Suisse AG – Cheung Kong European Warrants Feb 2013	100,000,000	2012/02/03	2013/02/28	15.00
16645	Credit Suisse AG – A50 China European Warrants Oct 2013	120,000,000	2012/02/03	2013/10/31	18.00
16638	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2012	80,000,000	2012/02/03	2012/07/11	12.00
16636	Citigroup Global Mkt H Inc. – CNOOC European Wts Oct 2012	80,000,000	2012/02/03	2012/10/10	12.00
16640	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Aug 2012	50,000,000	2012/02/03	2012/08/06	15.00
16639	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jul 2013	80,000,000	2012/02/03	2013/07/29	12.00
16637	Citigroup Global Mkt H Inc. – Ch Rongsheng Euro Wt Sep 2012	50,000,000	2012/02/03	2012/09/25	12.50
16648	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2012	200,000,000	2012/02/03	2012/07/30	45.20
16625	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Aug 2012	150,000,000	2012/02/03	2012/08/20	37.50
16624	J P Morgan SP BV – China Life European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/21	30.00
16623	J P Morgan SP BV – HSI European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/30	30.00
16622	J P Morgan SP BV – ICBC European Warrants Aug 2012	150,000,000	2012/02/03	2012/08/30	37.50
16617	Macquarie Bank Ltd. – BOCHK European Warrants Aug 2013	200,000,000	2012/02/03	2013/08/02	50.00
16634	Macquarie Bank Ltd. – Greatwall Motor European Wts Jun 2012	100,000,000	2012/02/03	2012/06/26	15.00
16614	Macquarie Bank Ltd. – HKEx European Warrants Aug 2012 A	200,000,000	2012/02/03	2012/08/08	30.00
16620	Macquarie Bank Ltd. – HSI European Put Warrants Aug 2012	200,000,000	2012/02/03	2012/08/30	50.00
16621	Macquarie Bank Ltd. – HSI European Put Warrants Aug 2012 A	200,000,000	2012/02/03	2012/08/30	50.00
16613	Macquarie Bank Ltd. – Hutchison European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/03	72.60
16635	Macquarie Bank Ltd. – PetroChina European Wts Mar 2013	200,000,000	2012/02/03	2013/03/04	30.00
16615	Macquarie Bank Ltd. – SJM Holdings European Wts Feb 2013	200,000,000	2012/02/03	2013/02/04	50.20
16627	Standard Chartered Bank – BOCL European Warrants Aug 2012 B	100,000,000	2012/02/03	2012/08/03	27.00
16633	Standard Chartered Bank – HSI European Warrants Aug 2012	200,000,000	2012/02/03	2012/08/30	50.00
16603	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Aug 12	80,000,000	2012/02/03	2012/08/06	24.00
16607	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Sep 2012	150,000,000	2012/02/03	2012/09/26	40.50

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
16602	SGA Societe Generale Acceptance N.V – Ch Overseas Eu Wt Oct 12	100,000,000	2012/02/03	2012/10/31	25.00
16604	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Aug 2012	50,000,000	2012/02/03	2012/08/06	19.50
16611	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Nov 2012	150,000,000	2012/02/03	2012/11/14	22.50
16605	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Oct 2012	50,000,000	2012/02/03	2012/10/22	12.50
16610	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2013	300,000,000	2012/02/03	2013/10/28	45.00
16608	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2012	150,000,000	2012/02/03	2012/09/26	39.00
16609	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Aug 2012	100,000,000	2012/02/03	2012/08/06	33.00
16606	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Oct 12	100,000,000	2012/02/03	2012/10/31	25.00
16643	UBS AG – AIA European Warrants Sep 2012	200,000,000	2012/02/03	2012/09/24	32.00
16644	UBS AG – A50 China European Warrants Aug 2012 A	100,000,000	2012/02/03	2012/08/13	60.00
16641	UBS AG – HKEx European Warrants Dec 2012	300,000,000	2012/02/03	2012/12/10	75.00
16642	UBS AG – HKEx European Warrants Aug 2012 B	300,000,000	2012/02/03	2012/08/08	48.00
16649	Daiwa Capital Mkt – China Mobile European Warrants Aug 2012	128,000,000	2012/02/06	2012/08/06	84.48
16650	Daiwa Capital Mkt – China Mobile European Wts Jun 2012 A	128,000,000	2012/02/06	2012/06/01	32.00
16655	Daiwa Capital Mkt – HSI European Warrants Aug 2012	200,000,000	2012/02/06	2012/08/30	50.00
16652	Macquarie Bank Ltd. – Angang Steel European Wts Jul 2013	120,000,000	2012/02/06	2013/07/23	18.00
16651	Macquarie Bank Ltd. – Geely Auto European Warrants Aug 2012	100,000,000	2012/02/06	2012/08/08	25.00
16653	Macquarie Bank Ltd. – Galaxy Ent European Warrants Aug 2012	120,000,000	2012/02/06	2012/08/06	30.00
16656	Macquarie Bank Ltd. – Tencent European Warrants Feb 2013	250,000,000	2012/02/06	2013/02/04	62.50
16654	Standard Chartered Bank – HSI European Put Wts May 2012	200,000,000	2012/02/06	2012/05/30	30.00
16659	UBS AG – China Mobile European Put Warrants Jul 2012	100,000,000	2012/02/06	2012/07/26	18.00
16658	UBS AG – CC Bank European Warrants Jun 2012 A	200,000,000	2012/02/06	2012/06/06	60.00
16657	UBS AG – CNOOC European Warrants Aug 2012	200,000,000	2012/02/06	2012/08/01	30.00
16676	BOCI Asia Ltd. – Tencent Holdings European Put Wts Dec 2012	200,000,000	2012/02/07	2012/12/31	50.00
16701	Credit Suisse AG – AIA European Put Warrants May 2012	80,000,000	2012/02/07	2012/05/31	12.00
16700	Credit Suisse AG – CC Bank European Put Warrants May 2012	80,000,000	2012/02/07	2012/05/07	12.00
16699	Credit Suisse AG – HSBC European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/13	32.00
16702	Credit Suisse AG – Jiangxi Copper European Put Wts Jul 2012	80,000,000	2012/02/07	2012/07/30	14.00
16698	Credit Suisse AG – Ping An European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/13	24.00
16708	Daiwa Capital Mkt – HSBC European Warrants Jun 2012	128,000,000	2012/02/07	2012/06/01	32.00
16707	Daiwa Capital Mkt – HSBC European Warrants Aug 2012	128,000,000	2012/02/07	2012/08/08	32.00
16706	Daiwa Capital Mkt – HSBC European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/08	34.40
16709	Daiwa Capital Mkt – ICBC European Put Warrants Aug 2012	80,000,000	2012/02/07	2012/08/15	31.20
16711	Bank of East Asia – Anhui Conch European Warrants Sep 2012	70,000,000	2012/02/07	2012/09/24	17.50
16712	Bank of East Asia – China Tel European Put Warrants Aug 2012	60,000,000	2012/02/07	2012/08/07	15.60
16710	Bank of East Asia – Ch Comm Cons European Warrants Dec 2013A	230,000,000	2012/02/07	2013/12/23	39.10
16714	Bank of East Asia – Esprit European Put Warrants Dec 2012	150,000,000	2012/02/07	2012/12/24	37.50
16713	Bank of East Asia – Ping An European Put Warrants Nov 2012	60,000,000	2012/02/07	2012/11/28	15.00
16670	Goldman Sachs SP (Asia) – Anhui Conch European Wts Aug 2012	180,000,000	2012/02/07	2012/08/13	63.54
16673	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2012	120,000,000	2012/02/07	2012/09/10	53.16
16669	Goldman Sachs SP (Asia) – JIANC European Warrants Aug 2012	150,000,000	2012/02/07	2012/08/13	38.10
16672	Goldman Sachs SP (Asia) – Ping An European Warrants Jun 2012	120,000,000	2012/02/07	2012/06/25	33.72
16671	Goldman Sachs SP (Asia) – Sands China European Wts Aug 2012	100,000,000	2012/02/07	2012/08/13	25.10
16683	HK Bank – HSI European Warrants Aug 2012	200,000,000	2012/02/07	2012/08/30	40.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16686	HK Bank – HSI European Warrants Jun 2013	250,000,000	2012/02/07	2013/06/27	62.50
16687	HK Bank – HSI European Warrants Jun 2015	250,000,000	2012/02/07	2015/06/29	62.50
16688	HK Bank – HSI European Warrants Jul 2015	250,000,000	2012/02/07	2015/07/30	62.50
16684	HK Bank – HSI European Put Warrants Aug 2012	200,000,000	2012/02/07	2012/08/30	50.00
16685	HK Bank – HSI European Put Warrants Mar 2013	250,000,000	2012/02/07	2013/03/27	62.50
16682	HK Bank – PetroChina European Warrants Aug 2012	80,000,000	2012/02/07	2012/08/08	22.40
16681	HK Bank – Tencent European Warrants Jul 2012	120,000,000	2012/02/07	2012/07/16	18.00
16660	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Oct 2012	40,000,000	2012/02/07	2012/10/22	10.20
16663	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Oct 2012	40,000,000	2012/02/07	2012/10/22	10.00
16668	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Aug 2012	40,000,000	2012/02/07	2012/08/27	11.60
16664	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2012	60,000,000	2012/02/07	2012/07/26	10.26
16665	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Aug 2012	40,000,000	2012/02/07	2012/08/13	17.20
16666	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Aug 2012	40,000,000	2012/02/07	2012/08/13	12.80
16667	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Sep 2012	40,000,000	2012/02/07	2012/09/17	10.00
16662	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wts Oct 2012	40,000,000	2012/02/07	2012/10/22	10.00
16689	CC Rabobank B.A. – China Mer Hold European Warrants Aug 2012	100,000,000	2012/02/07	2012/08/20	37.00
16690	CC Rabobank B.A. – China Tel European Warrants Sep 2012	100,000,000	2012/02/07	2012/09/17	30.00
16691	CC Rabobank B.A. – Minsheng Bank European Wts Aug 2012	100,000,000	2012/02/07	2012/08/20	50.00
16693	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2012 A	100,000,000	2012/02/07	2012/08/20	40.00
16696	CC Rabobank B.A. – Evergrande European Warrants Sep 2012	100,000,000	2012/02/07	2012/09/17	60.00
16694	CC Rabobank B.A. – Li & Fung European Warrants Aug 2012 A	100,000,000	2012/02/07	2012/08/20	25.00
16695	CC Rabobank B.A. – Lenovo European Warrants Aug 2012	100,000,000	2012/02/07	2012/08/20	50.00
16697	CC Rabobank B.A. – Sands China European Warrants Nov 2012	100,000,000	2012/02/07	2012/11/19	50.00
16692	CC Rabobank B.A. – Weichai Power European Wts Aug 2012	100,000,000	2012/02/07	2012/08/20	45.00
16679	Standard Chartered Bank – Cheung Kong European Wts Sep 2012	100,000,000	2012/02/07	2012/09/03	32.50
16680	Standard Chartered Bank – Ping An European Wts Aug 2012 A	100,000,000	2012/02/07	2012/08/30	25.00
16674	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2012	150,000,000	2012/02/07	2012/07/18	67.50
16675	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 2012	300,000,000	2012/02/07	2012/07/16	45.00
16678	UBS AG – BYD European Warrants Jun 2012	100,000,000	2012/02/07	2012/06/18	20.00
16705	UBS AG – CC Bank European Put Warrants Jul 2012	100,000,000	2012/02/07	2012/07/09	18.00
16704	UBS AG – China Life European Put Warrants Jul 2012	100,000,000	2012/02/07	2012/07/23	15.00
16677	UBS AG – Sinopec Corp European Put Warrants Jun 2012	100,000,000	2012/02/07	2012/06/26	15.00
16703	UBS AG – Tencent Holdings European Put Warrants Jun 2012 C	100,000,000	2012/02/07	2012/06/11	15.00
16723	BOCI Asia Ltd. – CC Bank European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/03	25.00
16721	BOCI Asia Ltd. – HSI European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/27	25.00
16743	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jan 2013	100,000,000	2012/02/08	2013/01/03	25.00
16741	Credit Suisse AG – China Tel European Warrants May 2012	80,000,000	2012/02/08	2012/05/08	12.00
16740	Credit Suisse AG – Tencent Holdings Euro Put Wts Aug 2012	150,000,000	2012/02/08	2012/08/30	37.50
16744	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Aug 2012	80,000,000	2012/02/08	2012/08/08	20.00
16745	Citigroup Global Mkt H Inc. – Bank of EA Euro Wts Oct 2012	50,000,000	2012/02/08	2012/10/10	12.50
16750	Citigroup Global Mkt H Inc. – BOCL European Wts Aug 2012	80,000,000	2012/02/08	2012/08/08	20.00
16753	Citigroup Global Mkt H Inc. – BankComm Euro Wts Aug 2012	50,000,000	2012/02/08	2012/08/08	15.00
16752	Citigroup Global Mkt H Inc. – CC Bank European Wt Aug 2012	80,000,000	2012/02/08	2012/08/08	20.00
16751	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Aug 2012	50,000,000	2012/02/08	2012/08/08	12.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16747	Citigroup Global Mkt H Inc. – COSCO Pacific Euro Wt Aug 2012	80,000,000	2012/02/08	2012/08/08	12.00
16748	Citigroup Global Mkt H Inc. – HSBC European Wts Jun 2012	80,000,000	2012/02/08	2012/06/26	16.00
16749	Citigroup Global Mkt H Inc. – Huaneng Power Euro Wt Aug 2012	50,000,000	2012/02/08	2012/08/08	12.50
16746	Citigroup Global Mkt H Inc. – Want Want Ch Euro Wts Nov 2013	80,000,000	2012/02/08	2013/11/11	12.00
16742	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Oct 2012	108,000,000	2012/02/08	2012/10/15	27.00
16718	Goldman Sachs SP (Asia) – BOCL European Warrants Sep 2012	120,000,000	2012/02/08	2012/09/18	30.12
16715	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Aug 2012	100,000,000	2012/02/08	2012/08/27	32.50
16716	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2012	120,000,000	2012/02/08	2012/12/03	30.00
16717	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2012	120,000,000	2012/02/08	2012/09/24	30.36
16725	HK Bank – AIA European Warrants Sep 2012 A	120,000,000	2012/02/08	2012/09/21	18.00
16724	HK Bank – Tencent European Warrants Jan 2013	120,000,000	2012/02/08	2013/01/30	30.00
16719	J P Morgan SP BV – Tencent European Warrants Oct 2012	200,000,000	2012/02/08	2012/10/03	50.00
16720	J P Morgan SP BV – Yanzhou Coal European Warrants Oct 2012	200,000,000	2012/02/08	2012/10/30	50.00
16735	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Sep 2012	120,000,000	2012/02/08	2012/09/04	30.00
16737	Macquarie Bank Ltd. – CITIC Bank European Warrants Sep 2012	120,000,000	2012/02/08	2012/09/04	30.00
16736	Macquarie Bank Ltd. – Swire Pacific A European Wts Sep 2012	180,000,000	2012/02/08	2012/09/04	51.12
16731	CC Rabobank B.A. – ChiUnicom European Put Warrants Sep 2012	150,000,000	2012/02/08	2012/09/20	27.00
16734	CC Rabobank B.A. – CSR Times European Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	30.00
16733	CC Rabobank B.A. – CSR European Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	50.00
16728	CC Rabobank B.A. – Galaxy Ent European Warrants Jul 2012	100,000,000	2012/02/08	2012/07/26	25.00
16727	CC Rabobank B.A. – HSBC European Warrants Jun 2012	150,000,000	2012/02/08	2012/06/27	30.00
16726	CC Rabobank B.A. – HSBC European Put Warrants Sep 2012	150,000,000	2012/02/08	2012/09/17	120.00
16732	CC Rabobank B.A. – Huaneng Power European Warrants Oct 2012	100,000,000	2012/02/08	2012/10/22	35.00
16730	CC Rabobank B.A. – Hutchison European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	45.00
16729	CC Rabobank B.A. – Lenovo European Put Warrants Sep 2012	100,000,000	2012/02/08	2012/09/17	60.00
16738	CC Rabobank B.A. – Tencent Holdings European Put Wt Sep 2012	200,000,000	2012/02/08	2012/09/17	50.00
16739	Standard Chartered Bank – Tencent Hldg Euro Put Wts Aug 2012	100,000,000	2012/02/08	2012/08/30	25.00
16754	UBS AG – China Mobile European Put Warrants Jul 2012 C	100,000,000	2012/02/08	2012/07/30	15.50
16755	UBS AG – Sinopec Corp European Put Warrants Aug 2012	100,000,000	2012/02/08	2012/08/08	54.00
16757	UBS AG – Hutchison European Put Warrants Aug 2012	100,000,000	2012/02/08	2012/08/08	60.00
16756	UBS AG – PetroChina European Put Warrants Oct 2012	100,000,000	2012/02/08	2012/10/15	15.00
16794	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2012 A	200,000,000	2012/02/09	2012/08/30	32.00
16784	Credit Suisse AG – HSI European Warrants Sep 2012	400,000,000	2012/02/09	2012/09/27	100.00
16783	Credit Suisse AG – HSI European Warrants Oct 2012	400,000,000	2012/02/09	2012/10/30	100.00
16791	Credit Suisse AG – HSI European Put Warrants Jul 2012	400,000,000	2012/02/09	2012/07/30	64.00
16785	Credit Suisse AG – HSI European Warrants Aug 2012 B	400,000,000	2012/02/09	2012/08/30	100.00
16792	Credit Suisse AG – HSI European Put Warrants Jun 2012 A	400,000,000	2012/02/09	2012/06/28	60.00
16781	Goldman Sachs SP (Asia) – BOC HK European Warrants Dec 2012	100,000,000	2012/02/09	2012/12/24	25.00
16768	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Aug 2012	100,000,000	2012/02/09	2012/08/27	41.00
16769	Goldman Sachs SP (Asia) – China Life Euro Put Wts Aug 2012	120,000,000	2012/02/09	2012/08/13	30.24
16780	Goldman Sachs SP (Asia) – Mongol Mining European Wt Sep 2013	100,000,000	2012/02/09	2013/09/16	25.00
16774	HK Bank – China Mobile European Warrants Jun 2015	150,000,000	2012/02/09	2015/06/22	22.50
16775	Macquarie Bank Ltd. – Bank of EA European Warrants Nov 2012	200,000,000	2012/02/09	2012/11/02	50.00
16777	Macquarie Bank Ltd. – CC Bank European Warrants Sep 2012	150,000,000	2012/02/09	2012/09/04	37.50

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		issue (units)	Listing date	Expiry	
16776	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2013	200,000,000	2012/02/09	2013/03/04	50.00
16758	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Aug 2012	40,000,000	2012/02/09	2012/08/13	10.20
16764	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Sep 2012	40,000,000	2012/02/09	2012/09/28	10.00
16765	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 2013	70,000,000	2012/02/09	2013/12/16	10.57
16761	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Jun 2012	60,000,000	2012/02/09	2012/06/25	11.10
16759	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2012 A	70,000,000	2012/02/09	2012/07/18	10.57
16763	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jul 2012	50,000,000	2012/02/09	2012/07/09	10.05
16762	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Dec 2012	50,000,000	2012/02/09	2012/12/10	13.00
16760	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2012 A	60,000,000	2012/02/09	2012/07/11	14.10
16766	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt Jun 2012	70,000,000	2012/02/09	2012/06/25	10.92
16773	CC Rabobank B.A. – CITIC Sec European Warrants Aug 2012	100,000,000	2012/02/09	2012/08/20	25.00
16772	CC Rabobank B.A. – Chinares Cement European Wts Aug 2012	100,000,000	2012/02/09	2012/08/22	60.00
16770	CC Rabobank B.A. – Lonking European Warrants Oct 2012	100,000,000	2012/02/09	2012/10/22	40.00
16771	CC Rabobank B.A. – Poly HK Inv European Warrants Oct 2012	100,000,000	2012/02/09	2012/10/22	55.00
16778	CC Rabobank B.A. – Sunart Retail European Warrants Nov 2012	100,000,000	2012/02/09	2012/11/19	25.00
16787	Standard Chartered Bank – HSI European Put Wts Jun 2012 C	200,000,000	2012/02/09	2012/06/28	30.00
16786	Standard Chartered Bank – Sands China European Wts Sep 2012	100,000,000	2012/02/09	2012/09/28	25.00
16779	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 2012	200,000,000	2012/02/09	2012/07/04	30.00
16767	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012 C	200,000,000	2012/02/09	2012/05/30	38.00
16793	UBS AG – Cheung Kong European Warrants Dec 2013 A	100,000,000	2012/02/09	2013/12/23	15.00
16790	UBS AG – HSI European Put Warrants Aug 2012	300,000,000	2012/02/09	2012/08/30	75.00
16788	UBS AG – HSI European Put Warrants Dec 2012	300,000,000	2012/02/09	2012/12/28	45.00
16789	UBS AG – HSI European Put Warrants Jul 2012 B	300,000,000	2012/02/09	2012/07/30	45.00
16806	Credit Suisse AG – CNOOC European Warrants Aug 2012 A	100,000,000	2012/02/10	2012/08/01	15.00
16805	Credit Suisse AG – CNOOC European Warrants Nov 2012	100,000,000	2012/02/10	2012/11/27	16.00
16808	Credit Suisse AG – Ping An European Warrants May 2012	120,000,000	2012/02/10	2012/05/28	26.40
16812	Citigroup Global Mkt H Inc. – Ch Ship Cont Euro Wts Aug 2012	50,000,000	2012/02/10	2012/08/13	12.50
16813	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2012	80,000,000	2012/02/10	2012/06/20	16.00
16811	Citigroup Global Mkt H Inc. – ICBC European Wts Aug 2012	80,000,000	2012/02/10	2012/08/13	24.00
16814	Citigroup Global Mkt H Inc. – Sunart Retail Euro Wt Jan 2013	50,000,000	2012/02/10	2013/01/09	12.50
16810	Citigroup Global Mkt H Inc. – Xinyi Glass Euro Wts Sep 2012	50,000,000	2012/02/10	2012/09/17	15.00
16809	Daiwa Capital Mkt – China Life European Put Wts Sep 2012	80,000,000	2012/02/10	2012/09/03	12.00
16821	Bank of East Asia – China COSCO European Warrants Aug 2012	50,000,000	2012/02/10	2012/08/23	12.50
16822	Bank of East Asia – CRCC European Warrants Mar 2014	380,000,000	2012/02/10	2014/03/10	95.00
16819	Bank of East Asia – Esprit European Warrants Mar 2013	100,000,000	2012/02/10	2013/03/25	25.00
16820	Bank of East Asia – JIANG European Warrants Jul 2012	70,000,000	2012/02/10	2012/07/03	11.20
16797	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2012	120,000,000	2012/02/10	2012/08/21	18.24
16799	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2012	200,000,000	2012/02/10	2012/08/30	51.60
16798	Goldman Sachs SP (Asia) – HSI European Put Wts May 2012 B	200,000,000	2012/02/10	2012/05/30	30.00
16802	J P Morgan SP BV – HSI European Put Warrants Aug 2012	300,000,000	2012/02/10	2012/08/30	75.00
16803	Macquarie Bank Ltd. – A50 China European Put Wts Feb 2013	200,000,000	2012/02/10	2013/02/04	50.00
16801	Macquarie Bank Ltd. – Hutchison European Put Wts Aug 2012	200,000,000	2012/02/10	2012/08/10	79.80
16800	Macquarie Bank Ltd. – Hutchison European Put Wts Sep 2013	250,000,000	2012/02/10	2013/09/03	51.50
16804	Standard Chartered Bank – China Life European Wts Sep 2012 A	100,000,000	2012/02/10	2012/09/03	15.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
16807	Standard Chartered Bank – Hutchison Euro Euro Wts Aug 2012	100,000,000	2012/02/10	2012/08/31	28.00
16795	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2012A	300,000,000	2012/02/10	2012/07/16	48.00
16796	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 2013	300,000,000	2012/02/10	2013/02/25	75.00
16817	UBS AG – HSI European Warrants Dec 2012 B	300,000,000	2012/02/10	2012/12/28	75.00
16818	UBS AG – Hutchison European Warrants Jun 2012 D	200,000,000	2012/02/10	2012/06/29	46.00
16815	UBS AG – Hutchison European Put Warrants Aug 2012 A	100,000,000	2012/02/10	2012/08/13	48.00
16816	UBS AG – Ping An European Put Warrants Aug 2012	100,000,000	2012/02/10	2012/08/13	41.00
16846	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jan 2013	100,000,000	2012/02/13	2013/01/03	25.00
16847	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2012	200,000,000	2012/02/13	2012/12/04	92.00
16848	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2012 B	92,000,000	2012/02/13	2012/07/03	23.00
16855	Credit Suisse AG – China Life European Warrants May 2012 B	100,000,000	2012/02/13	2012/05/31	15.00
16852	Credit Suisse AG – HSBC European Wts May 2012 A	180,000,000	2012/02/13	2012/05/31	27.00
16853	Credit Suisse AG – HSBC European Wts May 2012 B	180,000,000	2012/02/13	2012/05/31	27.00
16849	Credit Suisse AG – HSI European Warrants Aug 2012 C	400,000,000	2012/02/13	2012/08/30	60.00
16850	Credit Suisse AG – HSI European Put Warrants May 2012 H	400,000,000	2012/02/13	2012/05/30	60.00
16851	Credit Suisse AG – HSI European Put Warrants Jul 2012 A	400,000,000	2012/02/13	2012/07/30	60.00
16854	Credit Suisse AG – Hutchison European Warrants Jun 2012 B	180,000,000	2012/02/13	2012/06/04	27.00
16840	Citigroup Global Mkt H Inc. – HSCEI European Wts Jun 2012	100,000,000	2012/02/13	2012/06/28	15.00
16842	Citigroup Global Mkt H Inc. – HSI European Wts May 2012	100,000,000	2012/02/13	2012/05/30	16.00
16841	Citigroup Global Mkt H Inc. – HSI European Put Wt Jul 2012	100,000,000	2012/02/13	2012/07/30	15.00
16827	Goldman Sachs SP (Asia) – Ch COSCO European Wts Sep 2012	100,000,000	2012/02/13	2012/09/10	37.70
16823	Goldman Sachs SP (Asia) – CLP European Warrants Oct 2012	120,000,000	2012/02/13	2012/10/15	30.48
16826	Goldman Sachs SP (Asia) – CNOOC European Warrants Aug 2012	120,000,000	2012/02/13	2012/08/06	18.60
16825	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2012	120,000,000	2012/02/13	2012/08/27	30.60
16824	Goldman Sachs SP (Asia) – PWR Assets European Wts Oct 2012	120,000,000	2012/02/13	2012/10/22	31.68
16838	Macquarie Bank Ltd. – AIA European Warrants Nov 2012	200,000,000	2012/02/13	2012/11/02	50.00
16843	Macquarie Bank Ltd. – BOCL European Warrants Oct 2012	120,000,000	2012/02/13	2012/10/04	30.00
16836	Macquarie Bank Ltd. – China Unicom European Put Wts Oct 2012	200,000,000	2012/02/13	2012/10/04	33.00
16835	Macquarie Bank Ltd. – Minsheng Bank European Wts Sep 2012	100,000,000	2012/02/13	2012/09/04	25.00
16844	Macquarie Bank Ltd. – Esprit European Warrants Jan 2013	180,000,000	2012/02/13	2013/01/03	45.00
16837	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Oct 2012	180,000,000	2012/02/13	2012/10/04	54.72
16839	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012 A	100,000,000	2012/02/13	2012/12/28	15.00
16831	CC Rabobank B.A. – CNOOC European Warrants Aug 2012 A	150,000,000	2012/02/13	2012/08/01	22.50
16834	CC Rabobank B.A. – GCL-Poly Energy European Wts Aug 2012	100,000,000	2012/02/13	2012/08/27	35.00
16830	CC Rabobank B.A. – Hutchison European Warrants Aug 2012	150,000,000	2012/02/13	2012/08/27	45.00
16833	CC Rabobank B.A. – Xinyi Glass European Warrants Nov 2012	100,000,000	2012/02/13	2012/11/19	60.00
16832	CC Rabobank B.A. – Zijin Mining European Warrants Jul 2012	100,000,000	2012/02/13	2012/07/26	50.00
16829	Standard Chartered Bank – ICBC European Put Wts Sep 2012	100,000,000	2012/02/13	2012/09/28	25.00
16828	Standard Chartered Bank – ICBC European Warrants Aug 2012 A	100,000,000	2012/02/13	2012/08/30	25.00
16845	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 12 C	300,000,000	2012/02/13	2012/05/30	45.00
16857	UBS AG – CITIC Sec European Warrants Aug 2012	100,000,000	2012/02/13	2012/08/13	25.00
16858	UBS AG – China Tel European Warrants Aug 2012 A	100,000,000	2012/02/13	2012/08/13	45.00
16859	UBS AG – HSBC European Put Warrants Aug 2012	100,000,000	2012/02/13	2012/08/06	31.00
16856	UBS AG – Sunart Retail European Warrants Dec 2012	100,000,000	2012/02/13	2012/12/17	25.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16864	Goldman Sachs SP (Asia) – BYD European Warrants Dec 2012	120,000,000	2012/02/14	2012/12/10	31.92
16863	Goldman Sachs SP (Asia) – China Unicom European Wts Dec 2012	120,000,000	2012/02/14	2012/12/19	19.80
16862	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2012 B	160,000,000	2012/02/14	2012/07/30	26.72
16874	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2012	200,000,000	2012/02/14	2012/10/30	50.00
16875	J P Morgan SP BV – China Mob European Wts Aug 2012	200,000,000	2012/02/14	2012/08/06	30.00
16872	Macquarie Bank Ltd. – CITIC Bank European Warrants Oct 2012	100,000,000	2012/02/14	2012/10/04	25.00
16871	Macquarie Bank Ltd. – HSCEI European Warrants Feb 2013	200,000,000	2012/02/14	2013/02/27	50.00
16870	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2012	200,000,000	2012/02/14	2012/12/28	50.00
16873	Macquarie Bank Ltd. – Huaneng Power European Wts Sep 2012	110,000,000	2012/02/14	2012/09/04	27.50
16861	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Aug 2012	70,000,000	2012/02/14	2012/08/01	10.57
16860	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2012 A	50,000,000	2012/02/14	2012/06/25	10.50
16867	CC Rabobank B.A. – AIA European Put Warrants Dec 2012	100,000,000	2012/02/14	2012/12/17	25.00
16865	CC Rabobank B.A. – BOCL European Warrants Sep 2012 A	100,000,000	2012/02/14	2012/09/10	25.00
16866	CC Rabobank B.A. – Ch Mobile European Put Wts Jul 2012	100,000,000	2012/02/14	2012/07/26	15.00
16869	CC Rabobank B.A. – Hengdeli European Warrants Aug 2012	100,000,000	2012/02/14	2012/08/27	45.00
16868	CC Rabobank B.A. – Nine Dragons European Warrants Jul 2013	100,000,000	2012/02/14	2013/07/26	18.00
16876	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2012 A	100,000,000	2012/02/14	2012/07/26	38.00
16877	UBS AG – China Unicom European Warrants Sep 2012 B	200,000,000	2012/02/14	2012/09/10	50.00
16879	UBS AG – HSBC European Warrants May 2012 B	200,000,000	2012/02/14	2012/05/28	32.00
16880	UBS AG – HSI European Warrants May 2012 G	300,000,000	2012/02/14	2012/05/30	60.00
16881	UBS AG – HSI European Warrants Aug 2012 B	300,000,000	2012/02/14	2012/08/30	48.00
16884	BOCI Asia Ltd. – China Unicom European Warrants Oct 2012	100,000,000	2012/02/15	2012/10/03	15.00
16883	BOCI Asia Ltd. – CC Bank European Warrants Jul 2012	100,000,000	2012/02/15	2012/07/03	24.10
16888	BOCI Asia Ltd. – PetroChina European Put Warrants Dec 2012	100,000,000	2012/02/15	2012/12/05	15.00
16882	BOCI Asia Ltd. – Ping An European Warrants Jun 2012	100,000,000	2012/02/15	2012/06/11	36.00
16896	Credit Suisse AG – Sinopec Corp European Put Wts Aug 2012	80,000,000	2012/02/15	2012/08/27	36.00
16895	Credit Suisse AG – HKEx European Warrants Aug 2012 A	180,000,000	2012/02/15	2012/08/01	27.00
16894	Credit Suisse AG – HSI European Put Warrants Aug 2012	400,000,000	2012/02/15	2012/08/30	64.00
16911	Daiwa Capital Mkt – BOC HK European Warrants Jan 2013	108,000,000	2012/02/15	2013/01/24	27.00
16909	Daiwa Capital Mkt – CCB European Warrants Jun 2012 B	128,000,000	2012/02/15	2012/06/01	19.20
16912	Daiwa Capital Mkt – China Life European Warrants Aug 2012	188,000,000	2012/02/15	2012/08/21	28.20
16907	Daiwa Capital Mkt – Sinopec Corp European Warrants Jun 2012	98,000,000	2012/02/15	2012/06/20	18.62
16890	Daiwa Capital Mkt – Sinopec Corp European Put Wts Aug 2012	80,000,000	2012/02/15	2012/08/15	29.60
16908	Daiwa Capital Mkt – HKEx European Warrants Dec 2012	158,000,000	2012/02/15	2012/12/24	23.70
16901	Daiwa Capital Mkt – HKEx European Warrants Aug 2012 A	158,000,000	2012/02/15	2012/08/01	23.70
16904	Daiwa Capital Mkt – HSCEI European Warrants Aug 2012	100,000,000	2012/02/15	2012/08/30	25.00
16903	Daiwa Capital Mkt – HSCEI European Put Warrants Aug 2012	100,000,000	2012/02/15	2012/08/30	25.00
16902	Daiwa Capital Mkt – HSI European Put Warrants Aug 2012	200,000,000	2012/02/15	2012/08/30	50.00
16905	Daiwa Capital Mkt – Hutchison European Warrants May 2012 A	128,000,000	2012/02/15	2012/05/22	26.88
16910	Daiwa Capital Mkt – ICBC European Warrants Sep 2012	128,000,000	2012/02/15	2012/09/03	19.20
16906	Daiwa Capital Mkt – Tencent Holdings European Wts Sep 2012	128,000,000	2012/02/15	2012/09/24	24.32
16886	Macquarie Bank Ltd. – CITIC Sec European Warrants Aug 2012	160,000,000	2012/02/15	2012/08/15	40.00
16887	Macquarie Bank Ltd. – CNOOC European Warrants Aug 2012	200,000,000	2012/02/15	2012/08/02	30.00
16891	Macquarie Bank Ltd. – China Railway European Wts Sep 2012	100,000,000	2012/02/15	2012/09/04	25.00

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		issue (units)	Listing date	Expiry	
16892	Macquarie Bank Ltd. – HKEx European Put Warrants Feb 2013	250,000,000	2012/02/15	2013/02/04	62.50
16885	Macquarie Bank Ltd. – Sunart Retail European Wts Dec 2012	160,000,000	2012/02/15	2012/12/17	40.00
16893	Standard Chartered Bank – HSBC European Warrants May 2012 B	100,000,000	2012/02/15	2012/05/28	15.00
16889	Standard Chartered Bank – Hutchison European Put Wt Oct 2012	100,000,000	2012/02/15	2012/10/05	30.00
16898	UBS AG – China Mobile European Warrants Jun 2012 D	200,000,000	2012/02/15	2012/06/25	30.00
16900	UBS AG – HKEx European Warrants Dec 2012 A	300,000,000	2012/02/15	2012/12/24	45.00
16897	UBS AG – HSI European Put Warrants Sep 2012	300,000,000	2012/02/15	2012/09/27	75.00
16899	UBS AG – Tencent Holdings European Warrants Jan 2013	200,000,000	2012/02/15	2013/01/23	35.40
16918	BOCI Asia Ltd. – Tracker Fund European Put Warrants Dec 2012	100,000,000	2012/02/16	2012/12/10	25.00
16933	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2012 A	100,000,000	2012/02/16	2012/12/03	15.00
16931	Credit Suisse AG – Cheung Kong European Warrants Aug 2012	80,000,000	2012/02/16	2012/08/27	24.00
16930	Credit Suisse AG – PetroChina European Warrants Jun 2012	120,000,000	2012/02/16	2012/06/08	45.60
16932	Credit Suisse AG – SHK Ppt European Warrants Aug 2012	80,000,000	2012/02/16	2012/08/27	32.00
16934	Daiwa Capital Mkt – HSI European Put Warrants Aug 2012 A	200,000,000	2012/02/16	2012/08/30	50.00
16914	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2012 B	200,000,000	2012/02/16	2012/08/30	61.20
16913	Goldman Sachs SP (Asia) – PetroChina European Wts Feb 2013	120,000,000	2012/02/16	2013/02/25	18.84
16917	HK Bank – BOC HK European Warrants Jul 2013	100,000,000	2012/02/16	2013/07/26	17.00
16916	HK Bank – China Mobile European Warrants Aug 2012	150,000,000	2012/02/16	2012/08/16	63.00
16915	HK Bank – China Mobile European Put Warrants Oct 2012	150,000,000	2012/02/16	2012/10/18	48.00
16928	J P Morgan SP BV – China Life European Warrants Aug 2012 A	200,000,000	2012/02/16	2012/08/30	50.00
16920	Macquarie Bank Ltd. – AIA European Warrants Oct 2012 A	200,000,000	2012/02/16	2012/10/04	30.80
16921	Macquarie Bank Ltd. – China Coal European Warrants Nov 2012	200,000,000	2012/02/16	2012/11/28	30.00
16919	Macquarie Bank Ltd. – China Shenhua European Wts Aug 2012	200,000,000	2012/02/16	2012/08/02	30.00
16929	Macquarie Bank Ltd. – Jiangxi Copper European Wts Sep 2012 A	200,000,000	2012/02/16	2012/09/04	50.80
16926	CC Rabobank B.A. – CHALCO European Warrants Aug 2012	100,000,000	2012/02/16	2012/08/27	50.00
16924	CC Rabobank B.A. – China COSCO European Warrants Aug 2012	100,000,000	2012/02/16	2012/08/27	40.00
16925	CC Rabobank B.A. – Jiangxi Copper European Warrants Sep 2012	100,000,000	2012/02/16	2012/09/17	25.00
16927	CC Rabobank B.A. – PetroChina European Warrants Dec 2012	150,000,000	2012/02/16	2012/12/19	22.50
16922	Standard Chartered Bank – HSI European Warrants Sep 2012	200,000,000	2012/02/16	2012/09/27	50.00
16923	Standard Chartered Bank – HSI European Put Wts Aug 2012	200,000,000	2012/02/16	2012/08/30	42.00
16937	UBS AG – BYD European Warrants Jul 2012 B	100,000,000	2012/02/16	2012/07/03	33.00
16938	UBS AG – China Mobile European Warrants May 2012	200,000,000	2012/02/16	2012/05/28	38.00
16939	UBS AG – CNOOC European Warrants Jan 2013	200,000,000	2012/02/16	2013/01/28	50.00
16936	UBS AG – A50 China European Warrants May 2012	100,000,000	2012/02/16	2012/05/17	21.00
16935	UBS AG – HSI European Put Warrants Aug 2012 C	300,000,000	2012/02/16	2012/08/30	54.00
28710 [#]	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Aug 2013	200,000,000	2012/02/16	2013/08/05	11.00
16940	Goldman Sachs SP (Asia) – Li Ning European Warrants Mar 2013	120,000,000	2012/02/17	2013/03/25	30.00
16944	J P Morgan SP BV – BOCL European Warrants Sep 2012	200,000,000	2012/02/17	2012/09/17	50.00
16943	J P Morgan SP BV – China Mob European Wts Jul 2012 A	200,000,000	2012/02/17	2012/07/27	31.80
16946	Macquarie Bank Ltd. – China Life European Wts Jun 2012 A	200,000,000	2012/02/17	2012/06/04	30.00
16945	Macquarie Bank Ltd. – China Life European Put Wts Aug 2012	200,000,000	2012/02/17	2012/08/06	38.80
16947	Macquarie Bank Ltd. – Tencent European Warrants Feb 2013 A	250,000,000	2012/02/17	2013/02/04	46.75
16941	Standard Chartered Bank – HKEx European Warrants Aug 2012 A	100,000,000	2012/02/17	2012/08/01	15.00
16942	Standard Chartered Bank – HKEx European Warrants Dec 2012 B	100,000,000	2012/02/17	2012/12/24	18.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16948	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 12A	200,000,000	2012/02/17	2012/06/11	30.00
16950	UBS AG – Cheung Kong European Warrants Aug 2012	100,000,000	2012/02/17	2012/08/20	25.00
16951	UBS AG – CNOOC European Put Warrants Oct 2012	100,000,000	2012/02/17	2012/10/15	17.00
16952	UBS AG – Evergrande European Warrants Aug 2012	100,000,000	2012/02/17	2012/08/20	50.00
16949	UBS AG – SHK Ppt European Warrants Aug 2012	100,000,000	2012/02/17	2012/08/20	40.00
16955	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Oct 2012	200,000,000	2012/02/20	2012/10/30	50.00
16954	Standard Chartered Bank – CNBM European Warrants Nov 2012	100,000,000	2012/02/20	2012/11/09	15.30
16953	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2012A	200,000,000	2012/02/20	2012/05/30	30.00
16956	UBS AG – HKEx European Warrants Nov 2012 B	300,000,000	2012/02/20	2012/11/08	45.00
16957	UBS AG – Hutchison European Warrants Jul 2012 B	200,000,000	2012/02/20	2012/07/09	73.00
16962	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2012 A	120,000,000	2012/02/21	2012/09/21	18.72
16961	Goldman Sachs SP (Asia) – China Res Gas European Wt Dec 2012	120,000,000	2012/02/21	2012/12/24	30.00
16963	Goldman Sachs SP (Asia) – Foxconn European Warrants Aug 2012	120,000,000	2012/02/21	2012/08/27	66.12
16960	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Jul 2012	120,000,000	2012/02/21	2012/07/03	18.12
16964	HK Bank – HSI European Warrants Aug 2012 A	200,000,000	2012/02/21	2012/08/30	30.00
16965	J P Morgan SP BV – AIA European Warrants Sep 2012	200,000,000	2012/02/21	2012/09/21	30.00
16958	Macquarie Bank Ltd. – Esprit European Warrants Jan 2013 A	200,000,000	2012/02/21	2013/01/03	50.00
16959	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2012	300,000,000	2012/02/21	2012/08/01	45.00
17020	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2012	200,000,000	2012/02/22	2012/09/27	50.00
17019	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2012	200,000,000	2012/02/22	2012/09/27	52.00
17008	Credit Suisse AG – China Mobile European Put Wts Jul 2012	150,000,000	2012/02/22	2012/07/30	22.50
17002	Credit Suisse AG – CC Bank European Warrants Aug 2012	120,000,000	2012/02/22	2012/08/27	30.00
17007	Credit Suisse AG – China Mobile European Warrants Jun 2012 A	150,000,000	2012/02/22	2012/06/29	22.50
17009	Credit Suisse AG – CNOOC European Put Warrants Oct 2012	120,000,000	2012/02/22	2012/10/29	18.00
17004	Credit Suisse AG – HKEx European Warrants Dec 2012	180,000,000	2012/02/22	2012/12/24	27.00
17003	Credit Suisse AG – HKEx European Warrants Aug 2012 B	180,000,000	2012/02/22	2012/08/27	45.00
17012	Credit Suisse AG – HSI European Warrants Dec 2012	400,000,000	2012/02/22	2012/12/28	60.00
17010	Credit Suisse AG – HSI European Put Warrants Aug 2012 D	400,000,000	2012/02/22	2012/08/30	72.00
17011	Credit Suisse AG – HSI European Put Warrants Aug 2012 E	400,000,000	2012/02/22	2012/08/30	60.00
17006	Credit Suisse AG – ICBC European Warrants Jul 2012 B	120,000,000	2012/02/22	2012/07/30	18.00
17001	Credit Suisse AG – SHK Ppt European Warrants Aug 2012 A	80,000,000	2012/02/22	2012/08/27	20.00
17005	Credit Suisse AG – Tencent Holdings European Wts Nov 2012	180,000,000	2012/02/22	2012/11/30	36.00
17026	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Oct 2012	100,000,000	2012/02/22	2012/10/10	18.00
17027	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Oct 2012	100,000,000	2012/02/22	2012/10/15	20.00
17028	Citigroup Global Mkt H Inc. – Chi Res Land Euro Wt Aug 2012	100,000,000	2012/02/22	2012/08/27	25.00
17023	Citigroup Global Mkt H Inc. – New World European Wt Apr 2013	100,000,000	2012/02/22	2013/04/04	15.00
17024	Citigroup Global Mkt H Inc. – Tencent Euro Put Wts Aug 2012	100,000,000	2012/02/22	2012/08/23	15.00
17015	Daiwa Capital Mkt – BOCL European Warrants Sep 2012	108,000,000	2012/02/22	2012/09/25	18.36
17014	Daiwa Capital Mkt – BOCL European Put Warrants Sep 2012	80,000,000	2012/02/22	2012/09/03	29.60
17013	Daiwa Capital Mkt – China Mobile European Wts Sep 2012	128,000,000	2012/02/22	2012/09/25	21.76
17016	Daiwa Capital Mkt – HSI European Warrants Oct 2012	200,000,000	2012/02/22	2012/10/30	40.00
17017	Daiwa Capital Mkt – HSI European Warrants Aug 2012 B	200,000,000	2012/02/22	2012/08/30	40.00
16966	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Aug 2012	120,000,000	2012/02/22	2012/08/27	30.36
16998	J P Morgan SP BV – China Mob European Put Warrants Aug 2012	100,000,000	2012/02/22	2012/08/22	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17018	J P Morgan SP BV – HSI European Warrants Dec 2012	200,000,000	2012/02/22	2012/12/28	30.00
16997	J P Morgan SP BV – ICBC European Put Wts Aug 2012	100,000,000	2012/02/22	2012/08/30	26.70
17000	Macquarie Bank Ltd. – China Mobile European Wts Aug 2012 A	150,000,000	2012/02/22	2012/08/02	31.20
16986	Nomura Int'l plc – BOC HK European Put Warrants Dec 2012	50,000,000	2012/02/22	2012/12/24	12.50
16982	Nomura Int'l plc – China Mobile European Put Wts Aug 2012	100,000,000	2012/02/22	2012/08/20	15.00
16976	Nomura Int'l plc – China Telecom European Put Wts Jul 2012	100,000,000	2012/02/22	2012/07/31	15.70
16977	Nomura Int'l plc – China Unicom European Put Wts Aug 2013	50,000,000	2012/02/22	2013/08/30	12.80
16980	Nomura Int'l plc – CC Bank European Warrants Aug 2012	100,000,000	2012/02/22	2012/08/28	25.00
16981	Nomura Int'l plc – CC Bank European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	13.55
16984	Nomura Int'l plc – CITIC Bank European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	12.65
16978	Nomura Int'l plc – CNOOC European Warrants Aug 2012	100,000,000	2012/02/22	2012/08/01	15.50
16979	Nomura Int'l plc – CNOOC European Put Warrants Oct 2012	100,000,000	2012/02/22	2012/10/31	15.00
16972	Nomura Int'l plc – HKEx European Warrants Dec 2012	100,000,000	2012/02/22	2012/12/24	15.00
16973	Nomura Int'l plc – HKEx European Put Warrants Mar 2013	100,000,000	2012/02/22	2013/03/25	16.00
16967	Nomura Int'l plc – HSBC European Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	12.70
16968	Nomura Int'l plc – HSBC European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	12.80
16969	Nomura Int'l plc – Hutchison European Warrants Sep 2012	100,000,000	2012/02/22	2012/09/28	25.00
16985	Nomura Int'l plc – ICBC European Put Warrants Aug 2012	50,000,000	2012/02/22	2012/08/28	14.50
16970	Nomura Int'l plc – JIANG European Warrants Sep 2012	100,000,000	2012/02/22	2012/09/28	25.00
16971	Nomura Int'l plc – Jiangxi Copper European Put Wts Sep 2012	100,000,000	2012/02/22	2012/09/28	25.00
16983	Nomura Int'l plc – Lenovo European Warrants Aug 2012	50,000,000	2012/02/22	2012/08/22	25.00
16974	Nomura Int'l plc – Tencent Holdings Euro Warrants Jul 2012	100,000,000	2012/02/22	2012/07/30	15.00
16975	Nomura Int'l plc – Tencent Holdings Euro Put Wts Dec 2012	100,000,000	2012/02/22	2012/12/24	17.50
16989	CC Rabobank B.A. – BYD European Warrants Jul 2012	100,000,000	2012/02/22	2012/07/26	34.00
16987	CC Rabobank B.A. – Esprit European Warrants Nov 2012	100,000,000	2012/02/22	2012/11/19	25.00
16990	CC Rabobank B.A. – Ping An European Warrants Aug 2012 A	100,000,000	2012/02/22	2012/08/28	55.00
16992	CC Rabobank B.A. – Sands China European Put Wts Oct 2012	100,000,000	2012/02/22	2012/10/30	46.00
16991	CC Rabobank B.A. – Swire Pacific A European Wts Aug 2012	100,000,000	2012/02/22	2012/08/30	45.00
16988	CC Rabobank B.A. – Zoomlion European Warrants Dec 2012 A	80,000,000	2012/02/22	2012/12/17	20.00
16994	Standard Chartered Bank – Sinopec Corp Euro Wts Oct 2012	100,000,000	2012/02/22	2012/10/30	25.00
16993	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2014	100,000,000	2012/02/22	2014/11/28	25.00
16995	Standard Chartered Bank – Yurun Food Euro Warrants Nov 2012	100,000,000	2012/02/22	2012/11/02	25.00
16999	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 12 A	300,000,000	2012/02/22	2012/08/30	54.00
16996	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2012 A	150,000,000	2012/02/22	2012/07/11	60.00
17022	UBS AG – CC Bank European Warrants Aug 2012	200,000,000	2012/02/22	2012/08/22	50.00
17021	UBS AG – HSI European Warrants Aug 2012 D	300,000,000	2012/02/22	2012/08/30	75.00
15216#	Credit Suisse AG – A50 China European Warrants Oct 2012	200,000,000	2012/02/22	2012/10/08	14.60
17041	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Jul 2013	100,000,000	2012/02/23	2013/07/03	26.00
17040	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Jan 2013	100,000,000	2012/02/23	2013/01/03	25.00
17042	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2012	100,000,000	2012/02/23	2012/10/04	25.00
17034	Credit Suisse AG – Jiangxi Copper European Wts Aug 2012	70,000,000	2012/02/23	2012/08/31	11.20
17046	Credit Suisse AG – Tencent Holdings European Wts Jul 2012 A	100,000,000	2012/02/23	2012/07/09	15.00
17048	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2012 A	128,000,000	2012/02/23	2012/07/03	19.20
17047	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Sep 2012	80,000,000	2012/02/23	2012/09/03	21.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17031	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2012 A	150,000,000	2012/02/23	2012/09/03	37.50
17029	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2012	200,000,000	2012/02/23	2012/06/11	30.40
17030	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2012 A	200,000,000	2012/02/23	2012/06/04	31.60
17033	HK Bank – China Mobile European Warrants Sep 2012	160,000,000	2012/02/23	2012/09/25	24.00
17032	Macquarie Bank Ltd. – HSI European Warrants Dec 2012	250,000,000	2012/02/23	2012/12/28	37.50
17045	Macquarie Bank Ltd. – ICBC European Put Warrants Oct 2012	150,000,000	2012/02/23	2012/10/04	37.50
17044	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2012	250,000,000	2012/02/23	2012/12/04	37.50
17043	Macquarie Bank Ltd. – Tencent European Put Wts Sep 2012	250,000,000	2012/02/23	2012/09/04	37.50
17035	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2012	150,000,000	2012/02/23	2012/08/27	54.00
17036	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2012	200,000,000	2012/02/23	2012/07/30	34.00
17037	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2012	200,000,000	2012/02/23	2012/08/27	50.00
17038	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2012	150,000,000	2012/02/23	2012/08/27	66.00
17039	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2012	150,000,000	2012/02/23	2012/09/26	55.50
17051	UBS AG – HKEx European Warrants Sep 2012	100,000,000	2012/02/23	2012/09/10	30.00
17050	UBS AG – Tencent Holdings European Warrants Jun 2012 D	200,000,000	2012/02/23	2012/06/25	30.00
17049	UBS AG – Tencent Holdings European Put Warrants Aug 2012	100,000,000	2012/02/23	2012/08/27	25.00
16504#	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 2012	200,000,000	2012/02/23	2012/07/30	23.40
17079	Daiwa Capital Mkt – CCB European Put Warrants Jul 2012 A	80,000,000	2012/02/24	2012/07/30	16.80
17080	Daiwa Capital Mkt – China Life European Put Wts Sep 2012 A	80,000,000	2012/02/24	2012/09/20	20.00
17081	Daiwa Capital Mkt – A50 China European Put Wts Dec 2012	80,000,000	2012/02/24	2012/12/03	12.80
17078	Daiwa Capital Mkt – HSBC European Warrants Jul 2012 B	128,000,000	2012/02/24	2012/07/03	19.20
17061	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2012	120,000,000	2012/02/24	2012/12/24	18.24
17062	Goldman Sachs SP (Asia) – HKEx European Put Wts Jan 2013	120,000,000	2012/02/24	2013/01/28	18.60
17074	HK Bank – Agricultural Bank European Warrants Sep 2012	120,000,000	2012/02/24	2012/09/18	30.00
17075	HK Bank – HWL European Warrants Aug 2012	120,000,000	2012/02/24	2012/08/30	30.00
17073	HK Bank – Tencent European Put Warrants Oct 2012	150,000,000	2012/02/24	2012/10/08	22.50
17077	Macquarie Bank Ltd. – Evergrande European Warrants Sep 2012	80,000,000	2012/02/24	2012/09/04	25.20
17067	Macquarie Bank Ltd. – HSBC European Warrants Sep 2012	200,000,000	2012/02/24	2012/09/04	50.00
17065	Macquarie Bank Ltd. – HSBC European Warrants Jul 2012 B	200,000,000	2012/02/24	2012/07/04	30.00
17076	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Oct 2012	80,000,000	2012/02/24	2012/10/04	20.00
17053	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2012	40,000,000	2012/02/24	2012/08/27	20.80
17054	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Sep 2012	40,000,000	2012/02/24	2012/09/03	10.00
17056	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012	60,000,000	2012/02/24	2012/09/10	15.00
17055	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Aug 2012 A	40,000,000	2012/02/24	2012/08/27	27.60
17052	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Aug 2012	40,000,000	2012/02/24	2012/08/27	10.20
17064	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2012	60,000,000	2012/02/24	2012/12/24	15.00
17058	CC Rabobank B.A. – Ch Mobile European Warrants Aug 2012	150,000,000	2012/02/24	2012/08/27	45.00
17066	CC Rabobank B.A. – Evergrande European Warrants Aug 2012	100,000,000	2012/02/24	2012/08/27	60.00
17059	CC Rabobank B.A. – HKEx European Warrants Nov 2012	120,000,000	2012/02/24	2012/11/19	18.00
17057	CC Rabobank B.A. – Tencent Holdings European Wts Dec 2012	150,000,000	2012/02/24	2012/12/10	37.50
17060	CC Rabobank B.A. – Tencent Holdings European Put Wt Aug 2012	150,000,000	2012/02/24	2012/08/27	37.50
17063	Standard Chartered Bank – HSI European Warrants Dec 2012	200,000,000	2012/02/24	2012/12/28	30.40
17070	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 2012	200,000,000	2012/02/24	2012/08/06	34.00
17071	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2012	300,000,000	2012/02/24	2012/08/22	45.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17072	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2012A	300,000,000	2012/02/24	2012/08/27	78.00
17068	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 12B	300,000,000	2012/02/24	2012/08/30	45.00
17082	UBS AG – China Life European Warrants Aug 2012	200,000,000	2012/02/24	2012/08/21	30.00
17083	UBS AG – HSI European Warrants Oct 2012 A	300,000,000	2012/02/24	2012/10/30	75.00
24079#	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2012	300,000,000	2012/02/24	2012/10/26	11.10
17106	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wt Mar 2013	100,000,000	2012/02/27	2013/03/04	25.00
17100	BNP Paribas Arbit Issu B.V. – BOCL European Wts Sep 2012	100,000,000	2012/02/27	2012/09/04	26.00
17105	BNP Paribas Arbit Issu B.V. – BOCL European Put Warrants Sep12	100,000,000	2012/02/27	2012/09/04	38.00
17108	BNP Paribas Arbit Issu B.V. – China Overseas Eur Wt Nov 2012	100,000,000	2012/02/27	2012/11/02	25.00
17104	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Sep 2012	100,000,000	2012/02/27	2012/09/04	73.00
17102	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Sep 12A	100,000,000	2012/02/27	2012/09/04	30.00
17093	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Dec 2012	100,000,000	2012/02/27	2012/12/04	74.00
17098	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Dec 2012	70,000,000	2012/02/27	2012/12/04	35.00
17101	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Oct 2012	100,000,000	2012/02/27	2012/10/04	77.00
17109	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Aug 2012	100,000,000	2012/02/27	2012/08/30	16.00
17103	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Sep 2012	100,000,000	2012/02/27	2012/09/04	61.00
17107	BNP Paribas Arbit Issu B.V. – Sands China Euro Wts Oct 2012	100,000,000	2012/02/27	2012/10/04	36.00
17097	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2012 A	200,000,000	2012/02/27	2012/09/03	60.20
17095	J P Morgan SP BV – HSI European Put Warrants Aug 2012 A	200,000,000	2012/02/27	2012/08/30	50.00
17096	Macquarie Bank Ltd. – Ping An European Put Wts Oct 2012	150,000,000	2012/02/27	2012/10/04	53.10
17084	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2012	60,000,000	2012/02/27	2012/06/11	11.28
17086	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2012	40,000,000	2012/02/27	2012/08/03	11.40
17087	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2012 A	60,000,000	2012/02/27	2012/08/13	11.04
17089	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt May 2012	70,000,000	2012/02/27	2012/05/28	10.50
17088	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Jun 2012	40,000,000	2012/02/27	2012/06/04	10.60
17090	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2012	200,000,000	2012/02/27	2012/11/28	50.00
17099	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012 C	300,000,000	2012/02/27	2012/08/30	60.00
17091	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Aug12	300,000,000	2012/02/27	2012/08/27	45.00
17110	UBS AG – China Mobile European Warrants Aug 2012	200,000,000	2012/02/27	2012/08/06	54.00
17111	UBS AG – CNOOC European Warrants Nov 2012 C	200,000,000	2012/02/27	2012/11/14	30.00
17112	UBS AG – HSI European Warrants Dec 2012 C	300,000,000	2012/02/27	2012/12/28	45.00
17121	BNP Paribas Arbit Issu B.V. – CNOOC European Put Wt Dec 2012	100,000,000	2012/02/28	2012/12/04	25.00
17120	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eur Put Wt Oct 12	100,000,000	2012/02/28	2012/10/04	99.00
17119	BNP Paribas Arbit Issu B.V. – A50 China Euro Put Wt Dec 2012	100,000,000	2012/02/28	2012/12/04	17.00
17118	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Oct 2012	100,000,000	2012/02/28	2012/10/04	15.00
17122	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Oct 2012	100,000,000	2012/02/28	2012/10/04	88.00
17124	Credit Suisse AG – China Tel European Warrants Jun 2012	70,000,000	2012/02/28	2012/06/29	12.60
17126	Credit Suisse AG – Sinopec Corp European Warrants Jul 2012	70,000,000	2012/02/28	2012/07/11	14.00
17125	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2012 A	70,000,000	2012/02/28	2012/06/01	10.50
17114	Goldman Sachs SP (Asia) – Ch Overseas European Wts Oct 2012	120,000,000	2012/02/28	2012/10/03	25.68
17115	Goldman Sachs SP (Asia) – CNBM European Warrants Nov 2012	120,000,000	2012/02/28	2012/11/09	18.60
17113	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2012	120,000,000	2012/02/28	2012/09/10	30.00
17116	HK Bank – HSI European Put Warrants Aug 2012 B	150,000,000	2012/02/28	2012/08/30	24.00
17127	J P Morgan SP BV – HSCEI European Put Warrants Aug 2012	200,000,000	2012/02/28	2012/08/30	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17117	CC Rabobank B.A. – SJM Holdings European Warrants Nov 2012	100,000,000	2012/02/28	2012/11/29	25.00
17123	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2012	300,000,000	2012/02/28	2012/12/28	45.00
17154	Credit Suisse AG – AIA European Warrants Sep 2012	120,000,000	2012/02/29	2012/09/28	18.00
17152	Macquarie Bank Ltd. – Li Ning European Warrants Mar 2013	180,000,000	2012/02/29	2013/03/04	45.00
17131	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2012 A	40,000,000	2012/02/29	2012/08/29	19.00
17128	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2012	70,000,000	2012/02/29	2012/08/21	10.99
17129	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wts Sep 2012	40,000,000	2012/02/29	2012/09/10	14.00
17130	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Aug 2012 A	40,000,000	2012/02/29	2012/08/29	18.00
17142	Nomura Int'l plc – Agricultural Bk European Put Wts Aug 2012	100,000,000	2012/02/29	2012/08/29	25.00
17143	Nomura Int'l plc – AIA European Warrants Sep 2012 A	100,000,000	2012/02/29	2012/09/28	15.00
17141	Nomura Int'l plc – BYD European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/31	30.50
17136	Nomura Int'l plc – China Mobile European Warrants Aug 2012	100,000,000	2012/02/29	2012/08/29	25.20
17135	Nomura Int'l plc – China Unicom European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/24	15.00
17147	Nomura Int'l plc – China Life European Warrants Aug 2012	100,000,000	2012/02/29	2012/08/31	15.00
17148	Nomura Int'l plc – CNBM European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/12	15.50
17149	Nomura Int'l plc – CNBM European Warrants Nov 2012	100,000,000	2012/02/29	2012/11/09	15.00
17133	Nomura Int'l plc – Sinopec European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	31.00
17146	Nomura Int'l plc – CPIC European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	25.00
17140	Nomura Int'l plc – China Res Gas European Warrants Dec 2012	100,000,000	2012/02/29	2012/12/17	19.70
17137	Nomura Int'l plc – China Shenhua European Put Wts Aug 2012	100,000,000	2012/02/29	2012/08/29	25.20
17134	Nomura Int'l plc – Gome European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/09	15.90
17150	Nomura Int'l plc – Hengdeli European Warrants Aug 2012	50,000,000	2012/02/29	2012/08/29	18.50
17132	Nomura Int'l plc – Henderson Land Dev Euro Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	34.50
17144	Nomura Int'l plc – ICBC European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/23	15.20
17153	Nomura Int'l plc – PetroChina European Warrants Dec 2013	100,000,000	2012/02/29	2013/12/31	25.00
17145	Nomura Int'l plc – PICC European Warrants Sep 2012	100,000,000	2012/02/29	2012/09/28	15.10
17139	Nomura Int'l plc – Yanzhou Coal European Warrants Oct 2012	100,000,000	2012/02/29	2012/10/22	15.00
17138	Nomura Int'l plc – Zoomlion European Warrants Jun 2013	50,000,000	2012/02/29	2013/06/24	12.65
17151	Standard Chartered Bank – Tencent Hldg European Wts Nov 2012	100,000,000	2012/02/29	2012/11/30	21.00
17155	UBS AG – China Tel European Warrants Jun 2012	100,000,000	2012/02/29	2012/06/29	15.00
17157	UBS AG – China Life European Warrants Sep 2012	300,000,000	2012/02/29	2012/09/10	75.00
17156	UBS AG – Sinopec Corp European Warrants Jul 2012	100,000,000	2012/02/29	2012/07/11	22.00
17165	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2013	150,000,000	2012/03/01	2013/07/03	37.50
17162	Macquarie Bank Ltd. – CPIC European Warrants Sep 2012	150,000,000	2012/03/01	2012/09/04	37.50
17159	Macquarie Bank Ltd. – Esprit European Put Warrants Jan 2013	200,000,000	2012/03/01	2013/01/03	30.00
17163	Macquarie Bank Ltd. – R&F Ppt European Warrants Nov 2012	150,000,000	2012/03/01	2012/11/09	22.50
17161	Macquarie Bank Ltd. – Luk Fook Hold European Wts Oct 2012	150,000,000	2012/03/01	2012/10/04	37.50
17160	Macquarie Bank Ltd. – Sands China European Warrants Sep 2012	150,000,000	2012/03/01	2012/09/04	54.45
17164	Macquarie Bank Ltd. – Shimao Ppt European Warrants Jul 2013	150,000,000	2012/03/01	2013/07/03	37.50
17158	CC Rabobank B.A. – Agricultural Bk Euro Warrants Sep 2012	150,000,000	2012/03/01	2012/09/17	37.50
15375#	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2012	200,000,000	2012/03/01	2012/06/06	20.40
11045#	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2013	200,000,000	2012/03/01	2013/07/29	10.80
17183	Credit Suisse AG – CNBM European Warrants Aug 2012	70,000,000	2012/03/02	2012/08/31	10.50
17178	Daiwa Capital Mkt – Agricultural Bank European Wts Jul 2012	128,000,000	2012/03/02	2012/07/25	32.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17177	Daiwa Capital Mkt – Agricultural Bank European Wts Aug 2012	128,000,000	2012/03/02	2012/08/01	25.60
17185	Daiwa Capital Mkt – Agricultural Bank European Wts Oct 2012	128,000,000	2012/03/02	2012/10/18	32.00
17176	Daiwa Capital Mkt – Agricultural Bank Euro Put Wts Sep 2012	98,000,000	2012/03/02	2012/09/03	35.28
17175	Daiwa Capital Mkt – AIA European Warrants Sep 2012	108,000,000	2012/03/02	2012/09/03	30.24
17174	Daiwa Capital Mkt – AIA European Warrants Oct 2012	108,000,000	2012/03/02	2012/10/04	16.20
17179	Daiwa Capital Mkt – CNOOC European Warrants Nov 2012	108,000,000	2012/03/02	2012/11/09	30.24
17180	Daiwa Capital Mkt – CNOOC European Warrants Dec 2012	108,000,000	2012/03/02	2012/12/03	27.00
17181	Daiwa Capital Mkt – PetroChina European Warrants Aug 2012	88,000,000	2012/03/02	2012/08/01	48.40
17186	Daiwa Capital Mkt – PetroChina European Put Wts Jan 2013	80,000,000	2012/03/02	2013/01/31	20.00
17168	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wts Oct 2012	120,000,000	2012/03/02	2012/10/15	30.24
17173	HK Bank – Esprit European Warrants Nov 2012	100,000,000	2012/03/02	2012/11/29	25.00
17171	Macquarie Bank Ltd. – BBMG European Warrants Oct 2013 A	150,000,000	2012/03/02	2013/10/03	37.50
17184	Macquarie Bank Ltd. – Shui On Land European Wts Oct 2012	100,000,000	2012/03/02	2012/10/03	25.00
17172	Macquarie Bank Ltd. – Soho China European Warrants Oct 2012	100,000,000	2012/03/02	2012/10/03	25.00
17169	Standard Chartered Bank – CC Bank European Wts Aug 2012	100,000,000	2012/03/02	2012/08/13	21.00
17170	Standard Chartered Bank – ICBC European Warrants Jul 2012 A	100,000,000	2012/03/02	2012/07/23	16.00
17166	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Oct 2012	100,000,000	2012/03/02	2012/10/03	28.00
17167	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Sep 12 A	100,000,000	2012/03/02	2012/09/24	25.00
17204	Credit Suisse AG – AIA European Warrants Jul 2012 A	70,000,000	2012/03/05	2012/07/30	10.50
17205	Credit Suisse AG – AIA European Warrants Oct 2012 A	70,000,000	2012/03/05	2012/10/30	10.50
17206	Credit Suisse AG – AIA European Put Warrants Oct 2012 B	70,000,000	2012/03/05	2012/10/30	17.50
17195	Daiwa Capital Mkt – AIA European Warrants Oct 2012 A	108,000,000	2012/03/05	2012/10/03	32.40
17203	Daiwa Capital Mkt – AIA European Put Warrants Oct 2012 B	88,000,000	2012/03/05	2012/10/31	22.00
17200	Daiwa Capital Mkt – China Mobile European Wts Oct 2012 A	128,000,000	2012/03/05	2012/10/03	46.08
17199	Daiwa Capital Mkt – China Mobile European Wts Nov 2012 B	128,000,000	2012/03/05	2012/11/16	43.52
17198	Daiwa Capital Mkt – China Mobile European Put Wts Sep 2012 A	80,000,000	2012/03/05	2012/09/05	44.00
17197	Daiwa Capital Mkt – China Mobile European Put Wts Nov 2012 A	80,000,000	2012/03/05	2012/11/01	30.40
17196	Daiwa Capital Mkt – A50 China European Warrants Nov 2013 A	188,000,000	2012/03/05	2013/11/01	28.20
17192	Daiwa Capital Mkt – Hutchison European Warrants Sep 2012 A	108,000,000	2012/03/05	2012/09/05	31.32
17194	Daiwa Capital Mkt – ICBC European Warrants Sep 2012 A	128,000,000	2012/03/05	2012/09/05	55.04
17193	Daiwa Capital Mkt – ICBC European Warrants Nov 2012 A	128,000,000	2012/03/05	2012/11/05	47.36
17202	Macquarie Bank Ltd. – AIA European Put Warrants Jan 2013 A	200,000,000	2012/03/05	2013/01/03	50.00
17210	Macquarie Bank Ltd. – Chi Res Land European Wts Dec 2012 A	150,000,000	2012/03/05	2012/12/04	37.50
17209	Macquarie Bank Ltd. – Geely Auto European Warrants Sep 2012A	100,000,000	2012/03/05	2012/09/04	25.00
17201	Macquarie Bank Ltd. – HSI European Warrants Aug 2012 B	200,000,000	2012/03/05	2012/08/30	30.00
17190	Standard Chartered Bank – SPDR Gold Trust Euro Wt Nov 2012 A	100,000,000	2012/03/05	2012/11/26	47.50
17191	Standard Chartered Bank – SPDR Gold Trust Euro Wt Jul 2013 A	100,000,000	2012/03/05	2013/07/26	58.00
17187	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2012 A	250,000,000	2012/03/05	2012/07/04	37.50
17188	SGA Societe Generale Acceptance NV – HuanengPower Eu Wt Sep12A	50,000,000	2012/03/05	2012/09/05	15.50
17189	SGA Societe Generale Acceptance NV – NewWorld Dev Eu Wt Sep12A	50,000,000	2012/03/05	2012/09/05	22.00
17208	UBS AG – AIA European Warrants Jul 2012 A	200,000,000	2012/03/05	2012/07/30	30.00
17207	UBS AG – AIA European Put Warrants Sep 2012 A	100,000,000	2012/03/05	2012/09/24	15.00
15834#	J P Morgan SP BV – HSI European Put Warrants Jun 2012 A	300,000,000	2012/03/05	2012/06/28	20.70
17241	BNP Paribas Arbit Issu B.V. – HKEx European Wts Sep 2012 A	58,000,000	2012/03/06	2012/09/03	16.82

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17242	BNP Paribas Arbit Issu B.V. – HSBC European Wts Nov 2012 A	200,000,000	2012/03/06	2012/11/02	52.00
17240	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Oct 2012 B	98,000,000	2012/03/06	2012/10/04	31.36
17233	Credit Suisse AG – Agricultural Bank European Wts Jun 2012 B	70,000,000	2012/03/06	2012/06/29	10.50
17234	Credit Suisse AG – BOCL European Warrants Sep 2012 A	70,000,000	2012/03/06	2012/09/25	10.50
17232	Credit Suisse AG – BYD European Warrants Jul 2012 A	70,000,000	2012/03/06	2012/07/30	21.00
17231	Credit Suisse AG – ChiUnicom European Warrants Jul 2012 A	100,000,000	2012/03/06	2012/07/30	15.00
17228	Credit Suisse AG – HSBC European Wts Aug 2012 A	100,000,000	2012/03/06	2012/08/30	20.00
17227	Credit Suisse AG – HSI European Warrants Aug 2012 F	400,000,000	2012/03/06	2012/08/30	60.00
17226	Credit Suisse AG – HSI European Warrants Oct 2012 A	400,000,000	2012/03/06	2012/10/30	60.00
17237	Credit Suisse AG – HSI European Warrants Oct 2012 B	400,000,000	2012/03/06	2012/10/30	100.00
17229	Credit Suisse AG – Hutchison European Warrants Jul 2012 A	100,000,000	2012/03/06	2012/07/30	30.00
17230	Credit Suisse AG – MTRC European Warrants Sep 2012 A	70,000,000	2012/03/06	2012/09/27	10.50
17235	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2012 A	100,000,000	2012/03/06	2012/12/21	15.00
17244	Citigroup Global Mkt H Inc. – AIA European Wts Jul 2012 A	80,000,000	2012/03/06	2012/07/16	12.00
17245	Citigroup Global Mkt H Inc. – AIA European Put Wt Oct 2012 A	80,000,000	2012/03/06	2012/10/09	12.00
17246	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2012 A	80,000,000	2012/03/06	2012/07/27	19.20
17247	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Dec 2013 A	150,000,000	2012/03/06	2013/12/16	22.50
17243	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2013 A	80,000,000	2012/03/06	2013/01/21	16.00
17239	Citigroup Global Mkt H Inc. – HSI European Wts Aug 2012 B	200,000,000	2012/03/06	2012/08/30	32.00
17238	Citigroup Global Mkt H Inc. – HSI European Put Wt Aug 2012 A	200,000,000	2012/03/06	2012/08/30	30.00
17222	Daiwa Capital Mkt – BOC HK European Warrants Dec 2012 B	108,000,000	2012/03/06	2012/12/03	27.00
17221	Daiwa Capital Mkt – BOC HK European Put Warrants Dec 2012 A	80,000,000	2012/03/06	2012/12/14	20.80
17224	Daiwa Capital Mkt – A50 China European Warrants Aug 2013 A	168,000,000	2012/03/06	2013/08/01	42.00
17223	Daiwa Capital Mkt – Hutchison European Warrants Sep 2012 B	128,000,000	2012/03/06	2012/09/03	28.16
17213	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2012 A	120,000,000	2012/03/06	2012/07/30	26.16
17214	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2012 A	120,000,000	2012/03/06	2012/06/29	20.04
17220	J P Morgan SP BV – AIA European Warrants Dec 2012 A	200,000,000	2012/03/06	2012/12/28	50.00
17215	Macquarie Bank Ltd. – A50 China European Warrants Oct 2012 A	120,000,000	2012/03/06	2012/10/04	45.12
17225	Macquarie Bank Ltd. – Greatwall Motor European Wts Oct 2012 A	100,000,000	2012/03/06	2012/10/04	25.00
17211	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012 A	100,000,000	2012/03/06	2012/08/30	15.20
17217	CC Rabobank B.A. – AIA European Warrants Jul 2012 A	150,000,000	2012/03/06	2012/07/26	22.50
17218	CC Rabobank B.A. – China Life European Warrants Aug 2012 A	150,000,000	2012/03/06	2012/08/21	22.50
17219	CC Rabobank B.A. – China Life European Put Wts Aug 2012 B	150,000,000	2012/03/06	2012/08/06	22.50
17216	CC Rabobank B.A. – CNBM European Warrants Nov 2012 A	100,000,000	2012/03/06	2012/11/09	18.00
17212	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Aug 2012A	100,000,000	2012/03/06	2012/08/01	15.00
17236	UBS AG – SPDR Gold Trust European Warrants Sep 2012 A	100,000,000	2012/03/06	2012/09/10	57.00
17272	Credit Suisse AG – China Shenhua European Wts Jul 2012 A	80,000,000	2012/03/07	2012/07/31	12.00
17269	Credit Suisse AG – HSI European Put Warrants Aug 2012 G	400,000,000	2012/03/07	2012/08/30	72.00
17270	Credit Suisse AG – HSI European Put Warrants Aug 2012 H	400,000,000	2012/03/07	2012/08/30	60.00
17273	Credit Suisse AG – Yanzhou Coal European Warrants Oct 2012 A	80,000,000	2012/03/07	2012/10/31	12.80
17262	Daiwa Capital Mkt – AIA European Warrants Nov 2012 A	108,000,000	2012/03/07	2012/11/01	16.20
17267	Bank of East Asia – Agricultural Bank Euro Warrants Sep 12 A	45,000,000	2012/03/07	2012/09/25	11.25
17268	Bank of East Asia – CNOOC European Put Warrants Oct 2012 A	100,000,000	2012/03/07	2012/10/15	15.00
17266	Bank of East Asia – Yanzhou Coal European Put Wts Oct 2012 A	100,000,000	2012/03/07	2012/10/24	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17257	Goldman Sachs SP (Asia) – AIA European Warrants Oct 2012 A	120,000,000	2012/03/07	2012/10/30	18.72
17258	Goldman Sachs SP (Asia) – AIA European Put Wts Oct 2012 B	120,000,000	2012/03/07	2012/10/22	30.00
17256	Goldman Sachs SP (Asia) – BankComm European Wts Aug 2012 A	120,000,000	2012/03/07	2012/08/06	44.16
17254	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2012 B	120,000,000	2012/03/07	2012/06/11	18.00
17255	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Nov 2012 A	120,000,000	2012/03/07	2012/11/26	18.24
17253	HK Bank – AIA European Put Warrants Feb 2013 A	100,000,000	2012/03/07	2013/02/14	25.00
17252	HK Bank – China Life European Warrants Mar 2013 A	120,000,000	2012/03/07	2013/03/22	30.00
17251	HK Bank – CNOOC European Warrants Nov 2013 A	120,000,000	2012/03/07	2013/11/15	30.00
17265	J P Morgan SP BV – Tencent European Put Warrants Aug 2012 A	150,000,000	2012/03/07	2012/08/23	22.50
17249	Macquarie Bank Ltd. – Gome European Warrants Nov 2012 A	100,000,000	2012/03/07	2012/11/02	25.00
17250	Macquarie Bank Ltd. – Haier Elec European Wts May 2013 A	200,000,000	2012/03/07	2013/05/03	50.00
17264	Macquarie Bank Ltd. – Nine Dragons European Wts Jan 2014 A	120,000,000	2012/03/07	2014/01/03	30.00
17248	Macquarie Bank Ltd – Skyworth Digital European Wts Jun 2014 A	200,000,000	2012/03/07	2014/06/04	30.00
17259	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jul 12 A	100,000,000	2012/03/07	2012/07/11	16.00
17260	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2012 A	200,000,000	2012/03/07	2012/06/27	40.00
17263	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012 D	300,000,000	2012/03/07	2012/08/30	51.00
17261	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Jun 12 A	100,000,000	2012/03/07	2012/06/27	16.00
17276	UBS AG – China Mobile European Warrants Aug 2012 A	300,000,000	2012/03/07	2012/08/06	66.00
17279	UBS AG – HKEx European Put Warrants Oct 2012 A	100,000,000	2012/03/07	2012/10/03	15.00
17275	UBS AG – HSCEI European Put Warrants Aug 2012 A	100,000,000	2012/03/07	2012/08/30	15.00
17274	UBS AG – HSI European Put Warrants Aug 2012 E	300,000,000	2012/03/07	2012/08/30	69.00
17291	Goldman Sachs SP (Asia) – Hutchison European Wts Jul 2012 A	120,000,000	2012/03/08	2012/07/10	44.76
17292	Macquarie Bank Ltd. – Chinares Cement European Wt Sep 2012 A	80,000,000	2012/03/08	2012/09/10	41.12
17280	Merrill Lynch Int'l & Co. C.V. – BEA European Wts Oct 2012 A	60,000,000	2012/03/08	2012/10/29	11.88
17281	Merrill Lynch Int'l & Co. C.V. – BEA European Wts Dec 2012 A	40,000,000	2012/03/08	2012/12/03	14.00
17284	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Sep 2012A	40,000,000	2012/03/08	2012/09/17	16.00
17283	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2012 A	70,000,000	2012/03/08	2012/09/24	10.50
17282	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Oct 12 A	40,000,000	2012/03/08	2012/10/15	11.60
17288	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Dec 2012 A	40,000,000	2012/03/08	2012/12/10	10.00
17289	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012 A	70,000,000	2012/03/08	2012/09/17	10.57
17285	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Feb 2013 A	40,000,000	2012/03/08	2013/02/25	16.80
17286	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Sep 2013 A	70,000,000	2012/03/08	2013/09/16	10.85
17287	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wt Dec 2012 A	40,000,000	2012/03/08	2012/12/10	10.20
17290	UBS AG – AIA European Warrants Oct 2012 A	200,000,000	2012/03/08	2012/10/29	30.00
17293	UBS AG – China Life European Warrants Jul 2012 E	300,000,000	2012/03/08	2012/07/11	45.00
17295	UBS AG – China Overseas European Warrants Oct 2012 A	100,000,000	2012/03/08	2012/10/04	17.00
17294	UBS AG – ICBC European Warrants Aug 2012 A	200,000,000	2012/03/08	2012/08/28	30.00
17329	Citigroup Global Mkt H Inc. – China Life Eu Put Wt Sep 2012A	100,000,000	2012/03/09	2012/09/12	15.00
17331	Citigroup Global Mkt H Inc. – Esprit Euro Put Wts Oct 2012 A	100,000,000	2012/03/09	2012/10/15	25.00
17330	Citigroup Global Mkt H Inc. – Gome European Wts Oct 2012 A	80,000,000	2012/03/09	2012/10/15	20.00
17327	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2012 A	120,000,000	2012/03/09	2012/09/18	30.72
17326	Goldman Sachs SP (Asia) – CNOOC European Put Wts Jun 2012 A	120,000,000	2012/03/09	2012/06/25	19.08
17328	Goldman Sachs SP (Asia) – Li & Fung European Wts Sep 2012 A	120,000,000	2012/03/09	2012/09/24	18.24
17351	J P Morgan SP BV – Dongfang Elec European Warrants Sep 2012 A	68,000,000	2012/03/09	2012/09/10	17.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17304	J P Morgan SP BV – HSBC European Warrants Jul 2012 A	200,000,000	2012/03/09	2012/07/26	30.00
17350	J P Morgan SP BV – SJM Holdings European Warrants Nov 2012 A	120,000,000	2012/03/09	2012/11/19	30.00
17333	Macquarie Bank Ltd. – CHALCO European Warrants Oct 2012 A	100,000,000	2012/03/09	2012/10/04	33.50
17349	Macquarie Bank Ltd. – BankComm European Warrants Oct 2012 A	100,000,000	2012/03/09	2012/10/04	25.00
17334	Macquarie Bank Ltd. – China Mengniu European Wts Dec 2012 A	150,000,000	2012/03/09	2012/12/04	37.50
17335	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Oct 2012 A	80,000,000	2012/03/09	2012/10/04	20.00
17332	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2012 B	200,000,000	2012/03/09	2012/10/04	50.00
17298	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jul 2012 A	70,000,000	2012/03/09	2012/07/30	10.57
17297	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Sep 2012 A	40,000,000	2012/03/09	2012/09/10	12.40
17339	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012 D	100,000,000	2012/03/09	2012/08/30	20.90
17338	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012 F	100,000,000	2012/03/09	2012/09/27	20.00
17336	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012 A	100,000,000	2012/03/09	2012/10/30	16.40
17337	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012 B	100,000,000	2012/03/09	2012/10/30	19.40
17301	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 B	100,000,000	2012/03/09	2012/08/30	15.20
17302	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 C	100,000,000	2012/03/09	2012/08/30	19.20
17303	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 E	100,000,000	2012/03/09	2012/09/27	25.00
17296	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jul 2012 A	40,000,000	2012/03/09	2012/07/26	14.00
17299	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Aug 2012 A	40,000,000	2012/03/09	2012/08/06	10.60
17300	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Oct 2012A	70,000,000	2012/03/09	2012/10/10	10.64
17318	Nomura Int'l plc – Agricultural Bk Euro Warrants Aug 2012 A	80,000,000	2012/03/09	2012/08/01	13.60
17306	Nomura Int'l plc – Bank of EA European Put Wts Dec 2012 A	50,000,000	2012/03/09	2012/12/28	12.60
17325	Nomura Int'l plc – CM Bank European Warrants Nov 2012 A	80,000,000	2012/03/09	2012/11/19	12.96
17308	Nomura Int'l plc – Cathay Pacific European Wts Dec 2013 A	80,000,000	2012/03/09	2013/12/19	17.84
17309	Nomura Int'l plc – Cathay Pacific European Put Wt Dec 2013 B	50,000,000	2012/03/09	2013/12/24	12.50
17316	Nomura Int'l plc – Dongfang Elec European Wt Aug 2012 A	80,000,000	2012/03/09	2012/08/20	12.56
17315	Nomura Int'l plc – Digital China European Wts Nov 2012 A	50,000,000	2012/03/09	2012/11/30	12.50
17340	Nomura Int'l plc – A50 China European Warrants Aug 2012 A	100,000,000	2012/03/09	2012/08/01	15.00
17322	Nomura Int'l plc – A50 China European Put Wts Nov 2012 A	100,000,000	2012/03/09	2012/11/30	16.00
17307	Nomura Int'l plc – Guangdong Inv European Wts Sep 2012 A	50,000,000	2012/03/09	2012/09/10	20.25
17317	Nomura Int'l plc – Haier Elec European Warrants Nov 2012 A	50,000,000	2012/03/09	2012/11/30	12.50
17320	Nomura Int'l plc – Li Ning European Warrants Feb 2013 A	80,000,000	2012/03/09	2013/02/25	12.08
17312	Nomura Int'l plc – Link REIT European Warrants Jan 2013 A	80,000,000	2012/03/09	2013/01/28	14.08
17319	Nomura Int'l plc – NVC Lighting European Warrants Sep 2012 A	50,000,000	2012/03/09	2012/09/28	15.00
17313	Nomura Int'l plc – PetroChina European Put Wts Jan 2013 A	100,000,000	2012/03/09	2013/01/31	15.50
17310	Nomura Int'l plc – Sany Int'l European Warrants Sep 2013 A	75,000,000	2012/03/09	2013/09/09	11.25
17305	Nomura Int'l plc – SHK P European Warrants Apr 2013 A	100,000,000	2012/03/09	2013/04/11	15.00
17323	Nomura Int'l plc – Stanchart European Warrants Dec 2013 A	50,000,000	2012/03/09	2013/12/31	12.55
17324	Nomura Int'l plc – Stanchart European Put Wts Oct 2013 A	50,000,000	2012/03/09	2013/10/28	12.55
17311	Nomura Int'l plc – Tencent Holdings Euro Warrants Jan 2013 A	100,000,000	2012/03/09	2013/01/31	22.00
17346	Standard Chartered Bank – AIA European Warrants Jul 2012 A	100,000,000	2012/03/09	2012/07/16	15.00
17343	Standard Chartered Bank – Galaxy Ent European Wts Sep 2012 A	100,000,000	2012/03/09	2012/09/10	25.00
17344	Standard Chartered Bank – Galaxy Ent European Wts Dec 2012 A	100,000,000	2012/03/09	2012/12/03	25.00
17341	Standard Chartered Bank – HSI European Put Wts Aug 2012 A	100,000,000	2012/03/09	2012/08/30	22.80
17342	Standard Chartered Bank – HSI European Put Wts Sep 2012 A	100,000,000	2012/03/09	2012/09/27	15.20

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17345	Standard Chartered Bank – PetroChina European Wts Sep 2013 A	100,000,000	2012/03/09	2013/09/06	25.00
17347	UBS AG – Hutchison European Warrants Aug 2012 B	200,000,000	2012/03/09	2012/08/20	60.00
17348	UBS AG – Ping An European Warrants Sep 2012 A	100,000,000	2012/03/09	2012/09/24	48.00
17364	BNP Paribas Arbit Issu B.V. – Esprit European Wts Oct 2012 A	100,000,000	2012/03/12	2012/10/04	30.00
17365	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Oct 2012A	91,000,000	2012/03/12	2012/10/04	27.30
17359	Credit Suisse AG – A50 China European Warrants Oct 2012 A	60,000,000	2012/03/12	2012/10/31	18.00
17358	Credit Suisse AG – Hutchison European Put Warrants Aug 2012A	120,000,000	2012/03/12	2012/08/06	24.00
17357	Credit Suisse AG – Ping An European Warrants Jul 2012 A	100,000,000	2012/03/12	2012/07/31	35.00
17363	J P Morgan SP BV – BYD European Warrants Oct 2012 A	100,000,000	2012/03/12	2012/10/30	26.80
17361	J P Morgan SP BV – Gome European Warrants Sep 2012 A	100,000,000	2012/03/12	2012/09/27	25.00
17362	J P Morgan SP BV – Yurun Food European Warrants Dec 2012 A	100,000,000	2012/03/12	2012/12/28	25.00
17356	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Jan 2013A	180,000,000	2012/03/12	2013/01/21	27.00
17353	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Feb 2013 A	150,000,000	2012/03/12	2013/02/04	37.50
17354	Macquarie Bank Ltd. – Esprit European Warrants Oct 2012 A	200,000,000	2012/03/12	2012/10/04	50.00
17355	Macquarie Bank Ltd. – KECL European Warrants Nov 2013 A	150,000,000	2012/03/12	2013/11/04	37.50
17366	Macquarie Bank Ltd. – Zoomlion European Warrants Mar 2013 A	150,000,000	2012/03/12	2013/03/04	37.50
17352	Standard Chartered Bank – CNOOC European Warrants Jan 2013 A	100,000,000	2012/03/12	2013/01/28	16.00
17368	UBS AG – HSI European Put Warrants Aug 2012 F	300,000,000	2012/03/12	2012/08/30	45.00
17367	UBS AG – SHK Ppt European Warrants Apr 2013 A	100,000,000	2012/03/12	2013/04/15	15.00
17374	BOCI Asia Ltd. – China Tel European Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/24	25.00
17373	BOCI Asia Ltd. – HSBC European Warrants Oct 2012 A	100,000,000	2012/03/13	2012/10/03	25.00
17372	BOCI Asia Ltd. – HSBC European Put Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/17	25.00
17375	BOCI Asia Ltd. – ICBC European Put Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/24	25.00
17370	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Dec 2013 A	120,000,000	2012/03/13	2013/12/31	18.00
17369	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2012 A	120,000,000	2012/03/13	2012/09/17	43.32
17386	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2012 A	200,000,000	2012/03/13	2012/09/27	52.00
17385	J P Morgan SP BV – Hutchison European Warrants Sep 2012 A	100,000,000	2012/03/13	2012/09/27	25.50
17384	J P Morgan SP BV – ICBC European Warrants Sep 2012 A	200,000,000	2012/03/13	2012/09/27	50.00
17382	Macquarie Bank Ltd. – China COSCO European Wts Sep 2012 A	80,000,000	2012/03/13	2012/09/13	27.76
17383	Macquarie Bank Ltd. – CITIC Pacific European Wts Jan 2013 A	120,000,000	2012/03/13	2013/01/03	18.00
17381	Macquarie Bank Ltd. – Chi Resources European Wts Nov 2012 A	150,000,000	2012/03/13	2012/11/02	37.50
17378	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Jan 2013 A	80,000,000	2012/03/13	2013/01/03	20.00
17379	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2012 B	100,000,000	2012/03/13	2012/07/05	15.00
17380	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 A	100,000,000	2012/03/13	2013/03/21	30.00
17389	UBS AG – CC Bank European Warrants Aug 2012 A	200,000,000	2012/03/13	2012/08/20	88.00
17390	UBS AG – CC Bank European Warrants Aug 2012 B	200,000,000	2012/03/13	2012/08/27	60.00
17377	UBS AG – China Life European Warrants Sep 2012 A	200,000,000	2012/03/13	2012/09/17	50.00
17387	UBS AG – A50 China European Warrants Jul 2013 A	300,000,000	2012/03/13	2013/07/25	60.00
17376	UBS AG – HKEx European Warrants Feb 2013 A	300,000,000	2012/03/13	2013/02/25	75.00
17388	UBS AG – ICBC European Warrants Aug 2012 B	100,000,000	2012/03/13	2012/08/22	26.00
17395	BOCI Asia Ltd. – Ping An European Warrants Aug 2012 A	100,000,000	2012/03/14	2012/08/06	17.00
17392	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2012 A	120,000,000	2012/03/14	2012/08/24	18.36
17391	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2012 A	120,000,000	2012/03/14	2012/08/13	37.56
17397	HK Bank – HSI European Put Warrants Sep 2012 A	200,000,000	2012/03/14	2012/09/27	30.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17399	J P Morgan SP BV – China Life European Warrants Sep 2012 A	288,000,000	2012/03/14	2012/09/27	72.00
17404	Macquarie Bank Ltd. – HSCEI European Warrants Nov 2012 A	200,000,000	2012/03/14	2012/11/28	50.00
17405	Macquarie Bank Ltd. – HSCEI European Put Warrants Nov 2012 B	200,000,000	2012/03/14	2012/11/28	50.00
17403	Macquarie Bank Ltd. – HSI European Warrants Aug 2012 C	200,000,000	2012/03/14	2012/08/30	30.00
17400	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2012B	300,000,000	2012/03/14	2012/07/11	45.00
17409	UBS AG – BOCL European Warrants Sep 2012 A	100,000,000	2012/03/14	2012/09/17	15.00
17398	UBS AG – China Life European Warrants Aug 2012 A	200,000,000	2012/03/14	2012/08/28	30.00
17407	UBS AG – HSI European Warrants Jul 2012 C	300,000,000	2012/03/14	2012/07/30	73.50
17408	UBS AG – HSI European Warrants Aug 2012 G	300,000,000	2012/03/14	2012/08/30	60.00
17406	UBS AG – HSI European Warrants Sep 2012 A	300,000,000	2012/03/14	2012/09/27	75.00
17410	UBS AG – Ping An European Warrants Oct 2012 A	100,000,000	2012/03/14	2012/10/10	42.00
17423	Credit Suisse AG – HSI European Put Warrants Aug 2012 I	400,000,000	2012/03/15	2012/08/30	64.00
17422	Credit Suisse AG – Hutchison European Warrants Jun 2012 C	100,000,000	2012/03/15	2012/06/29	15.00
17426	J P Morgan SP BV – HSI European Put Warrants Sep 2012 A	200,000,000	2012/03/15	2012/09/27	30.00
17419	Macquarie Bank Ltd. – China Unicom European Wts Aug 2012 A	200,000,000	2012/03/15	2012/08/02	31.00
17417	Macquarie Bank Ltd. – Esprit European Put Warrants Oct 2012B	200,000,000	2012/03/15	2012/10/04	50.00
17416	Macquarie Bank Ltd. – Jiangxi Copper Euro Put Wts Jul 2012 A	200,000,000	2012/03/15	2012/07/16	31.20
17413	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Oct 2012 A	70,000,000	2012/03/15	2012/10/03	10.71
17411	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2012 B	70,000,000	2012/03/15	2012/09/06	10.50
17414	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Feb 2013 A	70,000,000	2012/03/15	2013/02/25	10.78
17415	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2012 A	50,000,000	2012/03/15	2012/07/09	10.40
17412	Merrill Lynch Int'l & Co. C.V. – MTRC European Wt Dec 2012 A	60,000,000	2012/03/15	2012/12/28	11.58
17420	Standard Chartered Bank – CITIC Pacific Euro Wts May 2013 A	100,000,000	2012/03/15	2013/05/02	34.50
17421	Standard Chartered Bank – CITIC Pacific Euro Wts Aug 2013 A	100,000,000	2012/03/15	2013/08/30	25.00
17418	Standard Chartered Bank – HSBC European Warrants May 2013 A	100,000,000	2012/03/15	2013/05/02	25.00
17425	UBS AG – China Unicom European Warrants Dec 2012 A	100,000,000	2012/03/15	2012/12/19	15.00
17424	UBS AG – HSI European Put Warrants Sep 2012 B	300,000,000	2012/03/15	2012/09/27	54.00
17427	BOCI Asia Ltd. – BYD European Warrants Jul 2012 A	100,000,000	2012/03/16	2012/07/30	25.00
17429	BOCI Asia Ltd. – Cheung Kong European Warrants Dec 2013 A	100,000,000	2012/03/16	2013/12/24	16.00
17428	BOCI Asia Ltd. – A50 China European Warrants Nov 2013 A	100,000,000	2012/03/16	2013/11/04	15.00
17446	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2012 B	200,000,000	2012/03/16	2012/09/04	30.00
17444	BNP Paribas Arbit Issu B.V. – CLP European Wts Jan 2013 A	51,000,000	2012/03/16	2013/01/03	17.85
17447	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2012 A	200,000,000	2012/03/16	2012/11/29	60.00
17445	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2012 A	200,000,000	2012/03/16	2012/08/02	54.00
17451	Credit Suisse AG – China Mobile European Warrants Sep 2012 A	120,000,000	2012/03/16	2012/09/28	18.00
17449	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Oct 2012 A	80,000,000	2012/03/16	2012/10/30	53.52
17441	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Sep 2012 A	150,000,000	2012/03/16	2012/09/28	84.75
17448	Goldman Sachs SP (Asia) – CPIC European Warrants Sep 2012 A	120,000,000	2012/03/16	2012/09/24	30.12
17442	Goldman Sachs SP (Asia) – Kerry Ppt European Wts Oct 2012 A	150,000,000	2012/03/16	2012/10/22	38.40
17450	Macquarie Bank Ltd. – China Mobile European Wts Oct 2012 A	200,000,000	2012/03/16	2012/10/04	30.00
17443	Macquarie Bank Ltd. – China Mobile Euro Put Wts Dec 2013 A	300,000,000	2012/03/16	2013/12/16	45.00
17436	Nomura Int'l plc – AIA European Put Warrants Sep 2012 B	100,000,000	2012/03/16	2012/09/28	15.00
17434	Nomura Int'l plc – CC Bank European Warrants Jul 2012 A	80,000,000	2012/03/16	2012/07/24	12.00
17435	Nomura Int'l plc – China Mobile European Warrants Jul 2012 A	80,000,000	2012/03/16	2012/07/27	13.04

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17431	Nomura Int'l plc – Esprit European Warrants Sep 2012 A	100,000,000	2012/03/16	2012/09/28	25.00
17438	Nomura Int'l plc – A50 China European Warrants Jul 2013 A	100,000,000	2012/03/16	2013/07/31	19.50
17439	Nomura Int'l plc – Golden Eagle European Warrants Sep 2012 A	100,000,000	2012/03/16	2012/09/28	25.00
17430	Nomura Int'l plc – HSBC European Warrants Jun 2012 A	80,000,000	2012/03/16	2012/06/26	12.00
17437	Nomura Int'l plc – ICBC European Warrants Jul 2012 A	80,000,000	2012/03/16	2012/07/09	14.16
17433	Nomura Int'l plc – Link REIT European Put Wts Sep 2013 A	50,000,000	2012/03/16	2013/09/30	12.95
17432	Nomura Int'l plc – Luk Fook Hold European Wts Sep 2012 A	50,000,000	2012/03/16	2012/09/24	12.50
17440	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2012 A	200,000,000	2012/03/16	2012/08/22	50.00
17452	UBS AG – China Mobile European Warrants Oct 2012 A	200,000,000	2012/03/16	2012/10/10	50.00
14752#	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012 A	130,000,000	2012/03/16	2012/05/28	10.27
17466	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2013 A	85,000,000	2012/03/19	2013/01/03	31.45
17465	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Oct 2012 A	40,000,000	2012/03/19	2012/10/03	16.00
17457	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2012 A	200,000,000	2012/03/19	2012/12/04	52.00
17467	BNP Paribas Arbit Issu B.V. – CSR European Wts Jan 2013 A	28,000,000	2012/03/19	2013/01/03	21.28
17463	BNP Paribas Arbit Issu B.V. – Hang Seng Bank Eu Wt Jan 2013A	28,000,000	2012/03/19	2013/01/03	11.20
17469	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Jan 2013 A	100,000,000	2012/03/19	2013/01/03	35.00
17464	BNP Paribas Arbit Issu B.V. – Swrie Pacific A Eu Wt Sep 12A	40,000,000	2012/03/19	2012/09/04	12.00
17468	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Oct 2012 A	65,000,000	2012/03/19	2012/10/03	28.60
17471	Credit Suisse AG – China Mobile European Warrants Jun 2012 B	100,000,000	2012/03/19	2012/06/18	15.00
17470	Credit Suisse AG – China Mobile European Warrants Oct 2012 A	100,000,000	2012/03/19	2012/10/31	25.00
17472	Credit Suisse AG – China Mobile European Put Wts Sep 2012 B	100,000,000	2012/03/19	2012/09/28	22.00
17461	J P Morgan SP BV – China Mob European Wts Sep 2012 A	200,000,000	2012/03/19	2012/09/27	50.00
17459	Macquarie Bank Ltd. – China Mobile European Wts Nov 2012 A	200,000,000	2012/03/19	2012/11/02	50.00
17455	Macquarie Bank Ltd. – HSBC European Warrants Oct 2012 A	200,000,000	2012/03/19	2012/10/04	50.00
17473	Macquarie Bank Ltd. – Hutchison European Warrants Nov 2012 A	200,000,000	2012/03/19	2012/11/01	50.00
17456	Macquarie Bank Ltd. – Ping An European Warrants Dec 2012 A	250,000,000	2012/03/19	2012/12/11	37.50
17460	Standard Chartered Bank – China Mobile Euro Wts Oct 2012 A	100,000,000	2012/03/19	2012/10/03	25.00
17458	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 12 A	150,000,000	2012/03/19	2012/10/03	39.00
17453	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Put Wt Nov12A	300,000,000	2012/03/19	2012/11/28	45.00
17454	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2012 A	500,000,000	2012/03/19	2012/11/28	75.00
17462	UBS AG – China Mobile European Put Warrants Oct 2012 B	100,000,000	2012/03/19	2012/10/10	27.00
17474	UBS AG – Hutchison European Warrants Aug 2012 C	200,000,000	2012/03/19	2012/08/23	52.00
17486	BOCI Asia Ltd. – China Mobile European Warrants Oct 2012 A	100,000,000	2012/03/20	2012/10/03	25.00
17485	BOCI Asia Ltd. – China Mobile European Put Wts Dec 2013 A	100,000,000	2012/03/20	2013/12/31	15.00
17501	BNP Paribas Arbit Issu B.V. – AviChina Euro Wts Dec 2012 A	13,000,000	2012/03/20	2012/12/04	10.01
17499	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/04	27.00
17502	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Oct 2012 A	28,000,000	2012/03/20	2012/10/04	14.56
17500	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Feb 13 A	100,000,000	2012/03/20	2013/02/04	21.00
17498	BNP Paribas Arbit Issu B.V. – ZTE European Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/04	27.00
17493	Daiwa Capital Mkt – CNBM European Warrants Dec 2012 A	80,000,000	2012/03/20	2012/12/03	13.60
17494	Daiwa Capital Mkt – CNBM European Put Warrants Oct 2012 A	80,000,000	2012/03/20	2012/10/25	20.00
17491	Daiwa Capital Mkt – SHK Ppt European Warrants Aug 2012 A	80,000,000	2012/03/20	2012/08/20	32.00
17490	Daiwa Capital Mkt – SHK Ppt European Warrants Dec 2012 A	108,000,000	2012/03/20	2012/12/05	27.00
17492	Daiwa Capital Mkt – SHK Ppt European Put Warrants Mar 2013 A	80,000,000	2012/03/20	2013/03/28	20.00

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17477	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2012 B	120,000,000	2012/03/20	2012/09/28	30.48
17476	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Sep 2012 A	120,000,000	2012/03/20	2012/09/24	48.24
17478	Goldman Sachs SP (Asia) – Hutchison European Wts Oct 2012 A	120,000,000	2012/03/20	2012/10/30	47.88
17489	Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/15	35.50
17483	HK Bank – China Mobile European Warrants Nov 2012 A	160,000,000	2012/03/20	2012/11/13	40.00
17496	J P Morgan SP BV – HSI European Warrants Aug 2012 B	288,000,000	2012/03/20	2012/08/30	43.20
17495	J P Morgan SP BV – Hutchison European Warrants Sep 2012 B	200,000,000	2012/03/20	2012/09/27	50.00
17487	Macquarie Bank Ltd. – HSI European Warrants Oct 2012 A	200,000,000	2012/03/20	2012/10/30	30.00
17482	CC Rabobank B.A. – Agile Ppt European Warrants Feb 2013 A	100,000,000	2012/03/20	2013/02/25	17.00
17479	CC Rabobank B.A. – CITIC Pacific European Wts Jan 2013 A	100,000,000	2012/03/20	2013/01/07	15.00
17480	CC Rabobank B.A. – Ch Mobile European Warrants Sep 2012 A	80,000,000	2012/03/20	2012/09/25	20.00
17481	CC Rabobank B.A. – China Overseas European Wts Sep 2012 A	100,000,000	2012/03/20	2012/09/25	15.00
17484	Standard Chartered Bank – Sinopec Corp Euro Wts Oct 2012 A	100,000,000	2012/03/20	2012/10/08	25.00
17475	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2012 C	200,000,000	2012/03/20	2012/10/29	54.00
17488	UBS AG – Hutchison European Warrants Jul 2012 C	200,000,000	2012/03/20	2012/07/30	86.00
13805#	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2012	280,000,000	2012/03/20	2012/04/27	10.64
15316#	Daiwa Capital Mkt – China Mobile European Warrants Jun 2012	180,000,000	2012/03/20	2012/06/01	30.60
17510	Daiwa Capital Mkt – Anhui Conch European Warrants Oct 2012 A	80,000,000	2012/03/21	2012/10/03	17.60
17512	Daiwa Capital Mkt – Anhui Conch European Put Wts Nov 2012 A	68,000,000	2012/03/21	2012/11/01	21.76
17508	Daiwa Capital Mkt – Ch Overseas European Warrants Oct 2012 A	80,000,000	2012/03/21	2012/10/03	12.00
17513	Daiwa Capital Mkt – Ch Overseas European Warrants Nov 2012 A	80,000,000	2012/03/21	2012/11/01	20.80
17511	Daiwa Capital Mkt – Ch Overseas European Put Wts Oct 2012 B	80,000,000	2012/03/21	2012/10/15	12.80
17514	Macquarie Bank Ltd. – Anhui Conch European Wts Oct 2012 A	200,000,000	2012/03/21	2012/10/04	50.00
17516	Macquarie Bank Ltd. – China Tel European Warrants Oct 2012 A	100,000,000	2012/03/21	2012/10/04	25.00
17505	Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2013 A	180,000,000	2012/03/21	2013/08/02	45.00
17507	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Sep 2012 A	100,000,000	2012/03/21	2012/09/21	25.00
17517	Macquarie Bank Ltd. – ZTE European Warrants Dec 2012 A	180,000,000	2012/03/21	2012/12/04	45.00
17506	Standard Chartered Bank – Hutchison Euro Euro Wts Nov 2012 A	100,000,000	2012/03/21	2012/11/30	25.00
17504	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Sep12A	100,000,000	2012/03/21	2012/09/24	21.00
17503	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2012A	100,000,000	2012/03/21	2012/08/13	26.00
17515	UBS AG – China Mobile European Warrants Sep 2012 A	200,000,000	2012/03/21	2012/09/28	78.00
16766#	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt Jun 2012	200,000,000	2012/03/21	2012/06/25	10.60
17532	Daiwa Capital Mkt – China Mobile European Wts Jul 2012 A	128,000,000	2012/03/22	2012/07/23	62.72
17528	Daiwa Capital Mkt – China Mobile European Put Wts Aug 2012 A	80,000,000	2012/03/22	2012/08/15	12.00
17521	Daiwa Capital Mkt – Hutchison European Warrants Jul 2012 A	158,000,000	2012/03/22	2012/07/06	39.50
17534	J P Morgan SP BV – Tencent European Warrants Dec 2012 A	100,000,000	2012/03/22	2012/12/17	25.00
17533	J P Morgan SP BV – Zhaojin Mining European Wts May 2013 A	200,000,000	2012/03/22	2013/05/31	50.00
17527	Macquarie Bank Ltd. – Air China European Warrants Jun 2014 A	180,000,000	2012/03/22	2014/06/23	27.00
17531	Macquarie Bank Ltd. – Longfor PPT European Wts Feb 2013 A	180,000,000	2012/03/22	2013/02/22	45.00
17520	Macquarie Bank Ltd. – MGM China European Warrants Oct 2012 A	200,000,000	2012/03/22	2012/10/18	50.00
17522	Standard Chartered Bank – HSBC European Warrants Oct 2012 A	100,000,000	2012/03/22	2012/10/26	25.00
17519	SGA Societe Generale Acceptance N.V. – Belle Int'l Eu Wt Aug12A	150,000,000	2012/03/22	2012/08/22	22.50
17524	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jan13A	300,000,000	2012/03/22	2013/01/28	48.00
17523	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2012 A	200,000,000	2012/03/22	2012/10/03	50.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
17526	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2012 A	200,000,000	2012/03/22	2012/10/03	50.00
17525	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 12 A	300,000,000	2012/03/22	2012/10/03	75.00
17518	SGA Societe Generale Acceptance NV – Zijin Mining Eu Wt Aug12A	50,000,000	2012/03/22	2012/08/01	15.00
17530	UBS AG – Evergrande European Warrants Oct 2012 A	100,000,000	2012/03/22	2012/10/22	60.00
17529	UBS AG – Tencent Holdings European Warrants Oct 2012 A	200,000,000	2012/03/22	2012/10/15	50.00
17552	BOCI Asia Ltd. – Li & Fung European Warrants Nov 2012 A	100,000,000	2012/03/23	2012/11/05	25.00
17578	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wts Oct 2012A	45,000,000	2012/03/23	2012/10/03	24.30
17579	BNP Paribas Arbit Issu B.V. – Ch Southern Air Eu Wt Oct 12 A	29,000,000	2012/03/23	2012/10/03	13.63
17580	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Dec 2012 A	54,000,000	2012/03/23	2012/12/04	36.72
17582	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wt Feb 13 B	100,000,000	2012/03/23	2013/02/04	25.00
17581	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Oct 2012 A	100,000,000	2012/03/23	2012/10/03	31.00
17558	Credit Suisse AG – HSBC European Wts Aug 2012 B	100,000,000	2012/03/23	2012/08/01	15.00
17553	Credit Suisse AG – KECL European Warrants Nov 2012 A	80,000,000	2012/03/23	2012/11/30	12.00
17560	Credit Suisse AG – Tencent Holdings European Wts Oct 2012 A	100,000,000	2012/03/23	2012/10/31	25.00
17559	Credit Suisse AG – Tencent Holdings Euro Put Wts Aug 2012 A	100,000,000	2012/03/23	2012/08/31	15.00
17574	Citigroup Global Mkt H Inc. – China Life Euro Wts Aug 2012 A	100,000,000	2012/03/23	2012/08/01	15.00
17576	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Oct 2012 A	100,000,000	2012/03/23	2012/10/10	25.00
17575	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2012 A	100,000,000	2012/03/23	2012/09/03	18.00
17577	Citigroup Global Mkt H Inc. – Tencent European Wts Oct 2012 A	100,000,000	2012/03/23	2012/10/31	25.00
17569	Daiwa Capital Mkt – China Mobile European Wts Jul 2012 B	158,000,000	2012/03/23	2012/07/03	31.60
17570	Daiwa Capital Mkt – China Mobile European Wts Oct 2012 B	128,000,000	2012/03/23	2012/10/03	80.64
17563	Daiwa Capital Mkt – HSBC European Warrants Sep 2012 A	128,000,000	2012/03/23	2012/09/24	40.96
17564	Daiwa Capital Mkt – HSBC European Put Warrants Oct 2012 A	80,000,000	2012/03/23	2012/10/03	40.00
17565	Daiwa Capital Mkt – Hutchison European Warrants Oct 2012 A	158,000,000	2012/03/23	2012/10/22	39.50
17566	Daiwa Capital Mkt – Hutchison European Warrants Dec 2012 A	128,000,000	2012/03/23	2012/12/03	80.64
17567	Daiwa Capital Mkt – Hutchison European Put Wts Aug 2012 A	80,000,000	2012/03/23	2012/08/03	20.00
17568	Daiwa Capital Mkt – Hutchison European Put Wts Sep 2012 C	80,000,000	2012/03/23	2012/09/24	50.40
17571	Daiwa Capital Mkt – ICBC European Warrants Aug 2012 A	128,000,000	2012/03/23	2012/08/08	19.20
17572	Daiwa Capital Mkt – Tencent Holdings European Wts Nov 2012 A	128,000,000	2012/03/23	2012/11/01	32.00
17551	HK Bank – China Mobile European Put Warrants Sep 2012 A	160,000,000	2012/03/23	2012/09/24	40.00
17573	J P Morgan SP BV – Tencent European Put Warrants Oct 2012 A	200,000,000	2012/03/23	2012/10/30	50.00
17555	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2012 A	180,000,000	2012/03/23	2012/10/04	45.00
17557	Macquarie Bank Ltd. – Sands China European Wts Oct 2012 A	180,000,000	2012/03/23	2012/10/04	45.72
17562	Macquarie Bank Ltd. – Tencent European Warrants Nov 2012 A	250,000,000	2012/03/23	2012/11/02	63.00
17556	Macquarie Bank Ltd. – Wynn Macau European Wts Oct 2012 A	180,000,000	2012/03/23	2012/10/04	45.00
17539	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2012 C	50,000,000	2012/03/23	2012/09/26	12.50
17538	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Sep 12 B	40,000,000	2012/03/23	2012/09/27	32.80
17537	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2012 A	40,000,000	2012/03/23	2012/09/25	22.40
17536	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2012 A	70,000,000	2012/03/23	2012/10/03	10.78
17535	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jan 2013 A	60,000,000	2012/03/23	2013/01/21	15.00
17544	Nomura Int'l plc – Angang Steel European Warrants Sep 2012 A	50,000,000	2012/03/23	2012/09/24	20.00
17542	Nomura Int'l plc – Bank of EA European Warrants Dec 2012 B	50,000,000	2012/03/23	2012/12/24	14.75
17545	Nomura Int'l plc – Ch Com Service European Wts Dec 2012 A	50,000,000	2012/03/23	2012/12/24	27.90
17548	Nomura Int'l plc – CITIC Bank European Warrants Dec 2012 A	50,000,000	2012/03/23	2012/12/24	24.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17550	Nomura Int'l plc – CM Bank European Warrants Dec 2012 A	50,000,000	2012/03/23	2012/12/24	12.55
17547	Nomura Int'l plc – China Mobile European Warrants Dec 2012 A	50,000,000	2012/03/23	2012/12/24	14.05
17546	Nomura Int'l plc – Ch Overseas European Warrants Oct 2012 A	100,000,000	2012/03/23	2012/10/03	15.00
17543	Nomura Int'l plc – KECL European Warrants Nov 2012 A	100,000,000	2012/03/23	2012/11/30	15.00
17554	Nomura Int'l plc – New World Dev European Wts Apr 2013 A	100,000,000	2012/03/23	2013/04/09	15.00
17549	Nomura Int'l plc – Ping An European Warrants Sep 2012 A	100,000,000	2012/03/23	2012/09/28	30.00
17541	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2012B	300,000,000	2012/03/23	2012/08/08	45.00
17540	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Sep 12 A	100,000,000	2012/03/23	2012/09/24	38.00
17561	UBS AG – Tencent Holdings European Warrants Dec 2012 A	200,000,000	2012/03/23	2012/12/10	50.00
17593	Daiwa Capital Mkt – BOCL European Warrants Oct 2012 A	108,000,000	2012/03/26	2012/10/03	35.64
17590	Daiwa Capital Mkt – China Mobile European Wts Sep 2012 B	128,000,000	2012/03/26	2012/09/24	19.20
17586	Daiwa Capital Mkt – HSBC European Warrants Aug 2012 A	128,000,000	2012/03/26	2012/08/01	75.52
17587	Daiwa Capital Mkt – HSBC European Warrants Aug 2012 B	100,000,000	2012/03/26	2012/08/10	94.00
17588	Daiwa Capital Mkt – Hutchison European Warrants Sep 2012 D	98,000,000	2012/03/26	2012/09/26	97.02
17591	Daiwa Capital Mkt – ICBC European Warrants Jul 2012 A	128,000,000	2012/03/26	2012/07/06	42.24
17592	Daiwa Capital Mkt – ICBC European Warrants Oct 2012 A	128,000,000	2012/03/26	2012/10/15	46.08
17589	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2012 A	108,000,000	2012/03/26	2012/12/03	35.64
17603	Bank of East Asia – CM Bank European Put Warrants Mar 2013 A	110,000,000	2012/03/26	2013/03/25	27.50
17605	Bank of East Asia – Galaxy Ent European Warrants Nov 2012 A	50,000,000	2012/03/26	2012/11/23	12.50
17602	Bank of East Asia – Li & Fung European Warrants Nov 2012 A	70,000,000	2012/03/26	2012/11/12	17.50
17606	Bank of East Asia – SJM Holdings European Warrants Feb 2013A	70,000,000	2012/03/26	2013/02/28	17.50
17604	Bank of East Asia – Yanzhou Coal European Warrants Nov 2012A	70,000,000	2012/03/26	2012/11/20	10.50
17598	Macquarie Bank Ltd. – CLP European Warrants Nov 2012 A	250,000,000	2012/03/26	2012/11/02	62.50
17599	Macquarie Bank Ltd. – HK Gas European Warrants Feb 2014 A	200,000,000	2012/03/26	2014/02/04	50.00
17583	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Nov 2012 A	180,000,000	2012/03/26	2012/11/02	45.00
17597	Macquarie Bank Ltd. – PWR Assets European Warrants Dec 2012A	180,000,000	2012/03/26	2012/12/04	27.00
17584	Macquarie Bank Ltd. – Sino Land European Warrants May 2013 A	180,000,000	2012/03/26	2013/05/03	45.00
17585	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2012 C	250,000,000	2012/03/26	2012/10/04	62.50
17600	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2012 A	40,000,000	2012/03/26	2012/09/26	27.60
17601	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Aug 2012A	80,000,000	2012/03/26	2012/08/20	12.08
17596	UBS AG – Hutchison European Warrants Sep 2012 A	200,000,000	2012/03/26	2012/09/26	50.00
17595	UBS AG – Tencent Holdings European Warrants Sep 2012 B	100,000,000	2012/03/26	2012/09/26	25.00
17594	UBS AG – Tencent Holdings European Put Warrants Sep 2012 A	100,000,000	2012/03/26	2012/09/26	25.00
15834#	J P Morgan SP BV – HSI European Put Warrants Jun 2012 A	500,000,000	2012/03/26	2012/06/28	40.00
17611	BOCI Asia Ltd. – Anhui Conch European Warrants Sep 2012 A	100,000,000	2012/03/27	2012/09/27	20.00
17612	BOCI Asia Ltd. – Esprit European Warrants Nov 2012 A	100,000,000	2012/03/27	2012/11/29	20.00
17613	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2012 A	200,000,000	2012/03/27	2012/10/29	50.00
17634	Credit Suisse AG – China Mobile European Warrants Jul 2012 A	100,000,000	2012/03/27	2012/07/31	20.00
17623	Credit Suisse AG – Tencent Holdings European Wts Sep 2012 A	100,000,000	2012/03/27	2012/09/28	25.00
17631	Credit Suisse AG – Tencent Holdings European Wts Nov 2012 A	100,000,000	2012/03/27	2012/11/30	25.00
17618	Daiwa Capital Mkt – BOCL European Warrants Oct 2012 B	108,000,000	2012/03/27	2012/10/29	21.60
17627	Daiwa Capital Mkt – CCB European Warrants Sep 2012 A	128,000,000	2012/03/27	2012/09/27	37.12
17628	Daiwa Capital Mkt – CCB European Put Warrants Sep 2012 B	80,000,000	2012/03/27	2012/09/27	48.00
17626	Daiwa Capital Mkt – China Life European Warrants Sep 2012 B	138,000,000	2012/03/27	2012/09/27	34.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17629	Daiwa Capital Mkt – China Life European Warrants Oct 2012 A	138,000,000	2012/03/27	2012/10/03	20.70
17630	Daiwa Capital Mkt – China Life European Put Wts Nov 2012 A	80,000,000	2012/03/27	2012/11/01	12.00
17614	Daiwa Capital Mkt – HSBC European Warrants Dec 2012 A	128,000,000	2012/03/27	2012/12/03	67.84
17615	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 A	128,000,000	2012/03/27	2013/01/02	34.56
17616	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 B	128,000,000	2012/03/27	2013/01/21	32.00
17617	Daiwa Capital Mkt – ICBC European Warrants Nov 2012 B	128,000,000	2012/03/27	2012/11/01	72.96
17619	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2012 A	200,000,000	2012/03/27	2012/08/20	61.40
17620	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2012 B	150,000,000	2012/03/27	2012/08/06	26.10
17622	Goldman Sachs SP (Asia) – Sands China Euro Wts Oct 2012 A	100,000,000	2012/03/27	2012/10/08	36.90
17621	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2012 A	200,000,000	2012/03/27	2012/10/08	59.00
17624	Macquarie Bank Ltd. – HSCEI European Warrants Oct 2012 A	200,000,000	2012/03/27	2012/10/30	30.00
17636	Macquarie Bank Ltd. – Kerry Ppt European Warrants Oct 2012 A	180,000,000	2012/03/27	2012/10/04	45.00
17637	Macquarie Bank Ltd. – Poly HK Inv European Wts Oct 2012 A	80,000,000	2012/03/27	2012/10/04	31.68
17635	Macquarie Bank Ltd. – Sino Ocean Land European Wts Oct 2012 A	80,000,000	2012/03/27	2012/10/04	30.56
17610	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2012 A	150,000,000	2012/03/27	2012/07/30	39.00
17607	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Oct 12A	100,000,000	2012/03/27	2012/10/31	25.00
17608	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 12 A	200,000,000	2012/03/27	2012/12/17	50.00
17609	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Sep12A	200,000,000	2012/03/27	2012/09/26	30.00
17632	UBS AG – China Life European Warrants Sep 2012 B	300,000,000	2012/03/27	2012/09/27	81.00
17625	UBS AG – China Life European Warrants Oct 2012 A	300,000,000	2012/03/27	2012/10/15	75.00
17638	UBS AG – Sinopec Corp European Warrants Sep 2012 A	100,000,000	2012/03/27	2012/09/27	56.00
17639	UBS AG – Sinopec Corp European Warrants Nov 2014 A	200,000,000	2012/03/27	2014/11/24	34.00
17633	UBS AG – China Unicom European Warrants Dec 2012 B	200,000,000	2012/03/27	2012/12/10	50.00
17642	BOCI Asia Ltd. – CNOOC European Put Warrants Nov 2012 A	100,000,000	2012/03/28	2012/11/02	15.00
17643	BOCI Asia Ltd. – Chi Res Land European Warrants Dec 2012 A	100,000,000	2012/03/28	2012/12/04	15.00
17644	BOCI Asia Ltd. – Jiangxi Copper European Warrants Oct 2012 A	100,000,000	2012/03/28	2012/10/22	18.00
17659	Credit Suisse AG – CC Bank European Warrants Jun 2012 A	100,000,000	2012/03/28	2012/06/29	28.00
17658	Credit Suisse AG – CC Bank European Warrants Jul 2012 C	100,000,000	2012/03/28	2012/07/30	18.00
17660	Credit Suisse AG – ICBC European Warrants Jul 2012 C	100,000,000	2012/03/28	2012/07/09	15.00
17661	Credit Suisse AG – Ping An European Warrants Jun 2012 B	100,000,000	2012/03/28	2012/06/29	25.00
17653	Daiwa Capital Mkt – Agricultural Bank Euro Wts Sep 2012 A	128,000,000	2012/03/28	2012/09/03	38.40
17654	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Dec 2012 A	80,000,000	2012/03/28	2012/12/14	20.00
17669	Daiwa Capital Mkt – AIA European Warrants Nov 2012 B	108,000,000	2012/03/28	2012/11/05	43.20
17670	Daiwa Capital Mkt – AIA European Put Warrants Dec 2012 A	108,000,000	2012/03/28	2012/12/10	16.20
17671	Daiwa Capital Mkt – BOC HK European Warrants Nov 2012 A	108,000,000	2012/03/28	2012/11/15	34.56
17652	Daiwa Capital Mkt – CCB European Warrants Sep 2012 C	128,000,000	2012/03/28	2012/09/03	19.20
17666	Daiwa Capital Mkt – China Telecom European Wts Sep 2012 A	80,000,000	2012/03/28	2012/09/24	20.00
17667	Daiwa Capital Mkt – China Telecom European Wts Oct 2012 A	80,000,000	2012/03/28	2012/10/18	38.40
17668	Daiwa Capital Mkt – China Telecom Euro Put Wts Oct 2012 B	80,000,000	2012/03/28	2012/10/10	20.00
17662	Daiwa Capital Mkt – HKEx European Warrants Dec 2012 A	108,000,000	2012/03/28	2012/12/03	21.60
17663	Daiwa Capital Mkt – HKEx European Warrants Dec 2012 B	108,000,000	2012/03/28	2012/12/18	31.32
17664	Daiwa Capital Mkt – HKEx European Put Warrants Dec 2012 C	108,000,000	2012/03/28	2012/12/31	27.00
17655	Daiwa Capital Mkt – Ping An European Warrants Oct 2012 A	80,000,000	2012/03/28	2012/10/03	31.20
17656	Daiwa Capital Mkt – Ping An European Put Warrants Nov 2012 A	68,000,000	2012/03/28	2012/11/15	45.56

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
17665	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2012 B	98,000,000	2012/03/28	2012/12/10	48.02
17645	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Oct 2012 A	120,000,000	2012/03/28	2012/10/22	30.60
17646	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2012 A	120,000,000	2012/03/28	2012/10/22	50.40
17647	HK Bank – Tencent European Put Warrants Aug 2012 A	120,000,000	2012/03/28	2012/08/27	18.00
17649	Macquarie Bank Ltd. – Country Garden European Wts Oct 2012 A	80,000,000	2012/03/28	2012/10/04	20.00
17648	Macquarie Bank Ltd. – China Life European Wts Sep 2012 A	200,000,000	2012/03/28	2012/09/10	30.00
17651	Macquarie Bank Ltd. – Link REIT European Warrants Feb 2013 A	150,000,000	2012/03/28	2013/02/04	37.50
17650	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Feb 2013 A	80,000,000	2012/03/28	2013/02/04	20.00
17640	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Oct12A	80,000,000	2012/03/28	2012/10/08	20.00
17641	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Dec 2012 A	150,000,000	2012/03/28	2012/12/24	24.00
17657	UBS AG – CNOOC European Warrants Jun 2013 A	200,000,000	2012/03/28	2013/06/10	50.00
17690	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2012 A	200,000,000	2012/03/29	2012/12/04	86.00
17689	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2013 A	200,000,000	2012/03/29	2013/02/04	52.00
17688	BNP Paribas Arbit Issu B.V. – CRCC European Wts Oct 2012 A	23,000,000	2012/03/29	2012/10/04	10.35
17686	BNP Paribas Arbit Issu B.V. – ICBC European Wts Oct 2012 A	200,000,000	2012/03/29	2012/10/04	62.00
17687	BNP Paribas Arbit Issu B.V. – Ping An European Wt Oct 2012 A	100,000,000	2012/03/29	2012/10/04	43.00
17696	Credit Suisse AG – China Tel European Warrants Jul 2012 A	80,000,000	2012/03/29	2012/07/31	16.00
17700	Credit Suisse AG – Tencent Holdings European Wts Oct 2012 B	100,000,000	2012/03/29	2012/10/31	25.00
17685	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2012 B	120,000,000	2012/03/29	2012/08/09	22.92
17682	J P Morgan SP BV – HSI European Warrants Aug 2012 C	300,000,000	2012/03/29	2012/08/30	45.00
17683	J P Morgan SP BV – HSI European Put Warrants Aug 2012 D	300,000,000	2012/03/29	2012/08/30	45.00
17699	Macquarie Bank Ltd. – BOCL European Warrants Mar 2013 A	150,000,000	2012/03/29	2013/03/04	37.50
17697	Macquarie Bank Ltd. – CM Bank European Warrants Feb 2013 A	200,000,000	2012/03/29	2013/02/15	30.00
17698	Macquarie Bank Ltd. – New World Dev European Wts Aug 2012 A	150,000,000	2012/03/29	2012/08/29	22.50
17684	Macquarie Bank Ltd. – Stanchart European Warrants Jul 2013 A	200,000,000	2012/03/29	2013/07/03	50.00
17675	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2012 C	40,000,000	2012/03/29	2012/09/25	10.00
17673	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2012 A	40,000,000	2012/03/29	2012/10/03	10.80
17672	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2012 A	40,000,000	2012/03/29	2012/11/05	17.80
17674	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2012 A	40,000,000	2012/03/29	2012/10/03	13.20
17681	CC Rabobank B.A. – CNBM European Warrants Dec 2012 A	120,000,000	2012/03/29	2012/12/20	18.00
17679	CC Rabobank B.A. – ChiUnicom European Warrants Sep 2012 A	120,000,000	2012/03/29	2012/09/03	18.00
17678	CC Rabobank B.A. – Dongfang Elec European Wts Sep 2012 A	80,000,000	2012/03/29	2012/09/03	12.00
17676	CC Rabobank B.A. – MGM China European Warrants Oct 2012 A	100,000,000	2012/03/29	2012/10/03	25.00
17677	CC Rabobank B.A. – Yanzhou Coal European Warrants Feb 2013 A	90,000,000	2012/03/29	2013/02/04	22.50
17692	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 12C	300,000,000	2012/03/29	2012/10/10	78.00
17691	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Oct12B	300,000,000	2012/03/29	2012/10/08	78.00
17695	UBS AG – CC Bank European Warrants Jun 2012 B	200,000,000	2012/03/29	2012/06/29	66.00
17693	UBS AG – China Mobile European Warrants Sep 2012 B	100,000,000	2012/03/29	2012/09/28	15.00
17694	UBS AG – Yanzhou Coal European Wts Oct 2012 A	100,000,000	2012/03/29	2012/10/08	15.00
17717	Credit Suisse AG – CC Bank European Put Warrants Jul 2012 D	80,000,000	2012/03/30	2012/07/05	12.00
17705	Credit Suisse AG – China Shenhua European Wts Sep 2012 A	80,000,000	2012/03/30	2012/09/28	14.40
17714	Credit Suisse AG – HSI European Put Warrants Aug 2012 J	400,000,000	2012/03/30	2012/08/30	60.00
17718	Credit Suisse AG – ICBC European Put Warrants Aug 2012 A	80,000,000	2012/03/30	2012/08/27	12.00
17706	Credit Suisse AG – Yanzhou Coal European Warrants Sep 2012 A	80,000,000	2012/03/30	2012/09/28	12.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17709	Daiwa Capital Mkt – A50 China European Warrants Sep 2012 A	128,000,000	2012/03/30	2012/09/03	35.84
17710	Daiwa Capital Mkt – A50 China European Warrants Oct 2012 A	80,000,000	2012/03/30	2012/10/03	61.60
17711	Daiwa Capital Mkt – A50 China European Warrants Jan 2013 A	128,000,000	2012/03/30	2013/01/02	21.76
17713	Daiwa Capital Mkt – A50 China European Warrants Sep 2014 A	128,000,000	2012/03/30	2014/09/15	25.60
17712	Daiwa Capital Mkt – A50 China European Put Wts Mar 2013 A	108,000,000	2012/03/30	2013/03/01	30.24
17702	Goldman Sachs SP (Asia) – BEA European Warrants Nov 2012 A	120,000,000	2012/03/30	2012/11/26	30.24
17715	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Oct 2012 A	120,000,000	2012/03/30	2012/10/22	30.12
17703	Goldman Sachs SP (Asia) – KECL European Warrants Sep 2013 A	120,000,000	2012/03/30	2013/09/16	30.24
17716	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Nov 2012 A	120,000,000	2012/03/30	2012/11/05	30.12
17704	J P Morgan SP BV – China Life European Warrants Oct 2012 A	200,000,000	2012/03/30	2012/10/03	50.00
17721	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Jan 2013 A	150,000,000	2012/03/30	2013/01/31	37.50
17719	Macquarie Bank Ltd. – ICBC European Warrants Dec 2012 A	150,000,000	2012/03/30	2012/12/04	22.50
17722	Macquarie Bank Ltd. – SHK Ppt European Put Wts Sep 2013 A	250,000,000	2012/03/30	2013/09/27	37.50
17720	Macquarie Bank Ltd. – Want Want China European Wts Apr 2014 A	180,000,000	2012/03/30	2014/04/17	45.00
17701	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Aug 2012 A	40,000,000	2012/03/30	2012/08/21	12.60
17708	Standard Chartered Bank – China Mobile Eur Put Wt Nov 2012 A	100,000,000	2012/03/30	2012/11/02	25.00
17707	Standard Chartered Bank – HSBC European Put Wts Oct 2012 B	100,000,000	2012/03/30	2012/10/05	25.00
Total					50,958.90

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2011	Q1	–	1.96
	Q2	–	0.60
	Q3	–	611.11
	Q4	–	229.59
2012	Q1	–	390.38

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2011	Q1	170	506,219.59
	Q2	173	523,451.54
	Q3	183	558,876.03
	Q4	192	588,875.47
2012	Q1	209	651,849.26

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2011	Q1	7	24,958.35
	Q2	13	43,572.09
	Q3	16	47,230.33
	Q4	14	36,727.82
2012	Q1	24	73,470.18

Main Board Debt Securities

Newly Listed Debt Securities

for 1st quarter 2012

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
04209 #	The Government of the HKSAR of the PRC 2.46% Bonds 2021	HKD 1,500,000,000	2012/01/17	2021/08/04	1,571.85
86012	Agricultural Development Bank of China 3.00% CNY Bonds 2014	RMB 2,100,000,000	2012/01/18	2014/01/17	2,581.74
86013	Agricultural Development Bank of China 3.20% CNY Bonds 2015	RMB 550,000,000	2012/01/18	2015/01/17	676.17
86014	Agricultural Development Bank of China 3.50% CNY Bonds 2017	RMB 350,000,000	2012/01/18	2017/01/17	430.29
04530	LS Finance (2017) Ltd. 5.25% Guaranteed Bonds 2017	USD 350,000,000	2012/01/27	2017/01/26	2,712.15
04527 #	Sun Hung Kai Properties (Capital Market) Ltd. 3.5% Instruments 2016	USD 275,000,000	2012/02/06	2016/11/02	2,141.55
04531	Wharf Finance Ltd. 4.625% Guaranteed Notes 2017	USD 600,000,000	2012/02/09	2017/02/08	4,657.30
04531 #	Wharf Finance Ltd. 4.625% Guaranteed Notes 2017	USD 300,000,000	2012/02/09	2017/02/08	2,346.23
04532	Henderson Land MTN Ltd. 4.75% Notes 2017	USD 400,000,000	2012/02/15	2017/02/14	3,095.51
04533	China Overseas Finance (Cayman) IV Ltd. 4.875% Notes 2017	USD 500,000,000	2012/02/16	2017/02/15	3,892.83
04023	Hong Kong Monetary Authority 0.26% Exchange Fund Notes 2014	HKD 1,200,000,000	2012/02/20	2014/02/17	1,199.88
86015	Baosteel Group Corporation 3.125% CNY Bonds 2013	RMB 1,000,000,000	2012/02/20	2013/12/01	1,230.10
86016	Baosteel Group Corporation 3.50% CNY Bonds 2014	RMB 2,100,000,000	2012/02/20	2014/12/01	2,583.21
86017	Baosteel Group Corporation 4.375% CNY Bonds 2016	RMB 500,000,000	2012/02/20	2016/12/01	615.05
04532 #	Henderson Land MTN Ltd. 4.75% Notes 2017	USD 300,000,000	2012/02/23	2017/02/14	2,321.89
04536	The Hong Kong Mortgage Corporation Ltd. Floating Rate Notes 2017	AUD 500,000,000	2012/02/24	2017/02/22	4,122.88
04535	Wheelock Finance Ltd. 4.75% Guaranteed Notes 2017	USD 400,000,000	2012/02/24	2017/02/23	3,109.46
04024	Hong Kong Monetary Authority 1.51% Exchange Fund Notes 2027	HKD 600,000,000	2012/02/27	2027/02/24	604.32
04534	SHK Properties (Capital Market) Ltd. 4.50% Instruments 2022	USD 500,000,000	2012/02/27	2022/02/14	3,861.00
04533 #	China Overseas Finance (Cayman) IV Ltd. 4.875% Notes 2017	USD 250,000,000	2012/02/27	2017/02/15	1,946.39
04537	Swire Pacific MTN Financing Ltd. 4.5% Guaranteed Notes 2022	USD 500,000,000	2012/02/29	2022/02/28	3,861.63
86018	Baosteel Group Corporation 3.25% CNY Bonds 2014	RMB 500,000,000	2012/03/01	2014/03/01	615.45
86019	Baosteel Group Corporation 3.675% CNY Bonds 2015	RMB 900,000,000	2012/03/01	2015/03/01	1,107.81
86020	Baosteel Group Corporation 4.15% CNY Bonds 2017	RMB 1,500,000,000	2012/03/01	2017/03/01	1,846.35
04534 #	SHK Properties (Capital Market) Ltd. 4.50% Instruments 2022	USD 400,000,000	2012/03/02	2022/02/14	3,061.53
04535 #	Wheelock Finance Ltd. 4.75% Guaranteed Notes 2017	USD 135,000,000	2012/03/02	2017/02/23	1,053.86
04212	The Government of the HKSAR of the PRC 0.38% Bonds 2014	HKD 3,500,000,000	2012/03/09	2014/03/10	3,498.25
04538	Henderson Land MTN Ltd. 2.16% Guaranteed Notes 2014	HKD 500,000,000	2012/03/14	2014/03/13	500.00
04530 #	LS Finance (2017) Ltd. 5.25% Guaranteed Bonds 2017	USD 150,000,000	2012/03/16	2017/01/26	1,204.84
04001 #	Hong Kong Monetary Authority, The 1.75% Exchange Fund Notes 2015	HKD 1,200,000,000	2012/03/19	2015/03/23	1,247.64
04025	Hong Kong Monetary Authority 0.55% Exchange Fund Notes 2017	HKD 1,000,000,000	2012/03/20	2017/03/20	992.20
04538 #	Henderson Land MTN Ltd. 2.16% Guaranteed Notes 2014	HKD 140,000,000	2012/03/21	2014/03/13	140.00
04539	CITIC Pacific Ltd. 6.875% Notes 2018	USD 750,000,000	2012/03/22	2018/01/21	5,850.00
04540	Pulai Capital Ltd. Exchangeable Trust Certificates 2019	USD 357,800,000	2012/03/23	2019/03/22	2,790.84
Total					73,470.18

Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2011	Q1	158,281.12	13,623.13	220.01	172,124.26	3.67
	Q2	127,347.26	16,652.86	105.65	144,105.78	3.45
	Q3	153,336.68	13,347.77	93.94	166,778.38	3.66
	Q4	106,330.42	11,900.43	78.91	118,309.77	3.22
2012	Q1	115,613.41	13,420.51	82.93	129,116.85	3.36

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2011	Q1	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	Q2	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
	Q3	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	Q4	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	Q1	89	759,673.29	9	131,556.21	2	2,391.86	100	893,621.36

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2011	Q1	3	–
	Q2	5	12,507.88
	Q3	–	–
	Q4	1	–
2012	Q1	24	136.80

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 1st quarter 2012

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised		Listing date
				(HK\$ mil)		
03026	db x-trackers II Australian Dollar Cash ETF	DB Platinum Advisors	n.a.	n.a.		2012/01/12
03055	db x-trackers MSCI China TRN Index ETF	DB Platinum Advisors	n.a.	n.a.		2012/01/12
03045	db x-trackers MSCI India TRN Index ETF	DB Platinum Advisors	n.a.	n.a.		2012/01/12
03099	db x-trackers MSCI Indonesia TRN Index ETF	DB Platinum Advisors	n.a.	n.a.		2012/01/12
03082	db x-trackers MSCI Malaysia TRN Index ETF	DB Platinum Advisors	n.a.	n.a.		2012/01/12
03092	db x-trackers MSCI Thailand TRN Index ETF	DB Platinum Advisors	n.a.	n.a.		2012/01/12
03054	Mirae Asset TIGER S&P Emerging Asia Consumer ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
03056	Mirae Asset TIGER S&P Global Consumer Brands ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
03064	Mirae Asset TIGER S&P Asia ex JANZ Financials ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
03066	Mirae Asset TIGER S&P Asia ex JANZ IT ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
03075	Mirae Asset TIGER S&P Asia ex JANZ Industrials ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
03076	Mirae Asset TIGER S&P Asia ex JANZ Energy ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
03078	Mirae Asset TIGER S&P Asia ex JANZ Materials ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.		2012/01/27
83168	Hang Seng RMB Gold ETF	Hang Seng Investment Management Limited	RMB 35.24	RMB 111.12 (equivalent to HKD136.80)		2012/02/14
03070	Ping An of China CSI HK Dividend ETF	Ping An of China Asset Management (Hong Kong) Company Limited	n.a.	n.a.		2012/02/15
03072	Ping An of China CSI HK Mid Cap Select ETF	Ping An of China Asset Management (Hong Kong) Company Limited	n.a.	n.a.		2012/02/15
03098	Ping An of China CSI RAFI HK50 ETF	Ping An of China Asset Management (Hong Kong) Company Limited	n.a.	n.a.		2012/02/15
03029	XIE Shares Malaysia (FTSE Bursa Malaysia KLCI) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/16
03069	XIE Shares Thailand (SET50) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/16
03089	XIE Shares Taiwan (TAIEX) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/16
03090	XIE Shares Korea (KOSPI 200) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/16
03031	XIE Shares Indonesia (LQ45) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/21
03037	XIE Shares Philippines (PSEi) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/21
03091	XIE Shares India (S&P CNX Nifty) ETF	Enhanced Investment Products Limited	n.a.	n.a.		2012/02/21

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2011	Q1	974	43,399.41	367,097.05
	Q2	711	32,550.52	325,829.26
	Q3	642	45,153.85	518,147.45
	Q4	901	43,598.79	641,062.38
2012	Q1	1,097	55,092.12	464,351.29

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2011	Q1	1,449	80,829.55
	Q2	931	54,073.65
	Q3	1,401	85,334.38
	Q4	1,553	90,461.98
2012	Q1	1,419	82,599.66

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 1st quarter 2012

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69796	Credit Suisse AG – China Life R Bull CBBC Jun 2012 D	80,000,000	2012/01/03	2012/06/18	23.60
69797	Credit Suisse AG – China Life R Bear CBBC Apr 2012 D	80,000,000	2012/01/03	2012/04/16	24.40
69798	Credit Suisse AG – HKEx R Bear CBBC Apr 2012 A	80,000,000	2012/01/03	2012/04/05	20.00
69802	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 G	60,000,000	2012/01/03	2012/04/30	15.00
69803	Credit Suisse AG – Ping An R Bear CBBC Jun 2012 F	60,000,000	2012/01/03	2012/06/18	15.00
69800	Credit Suisse AG – TCH R Bull CBBC Apr 2012 E	80,000,000	2012/01/03	2012/04/23	20.00
69799	Credit Suisse AG – TCH R Bull CBBC May 2012 D	80,000,000	2012/01/03	2012/05/28	20.80
69801	UBS AG – HSI R Bull CBBC Apr 2012 S	300,000,000	2012/01/03	2012/04/27	75.00
69805	UBS AG – HSI R Bull CBBC Jul 2012 X	300,000,000	2012/01/03	2012/07/30	75.00
69807	UBS AG – HSI R Bull CBBC Jul 2012 Y	300,000,000	2012/01/03	2012/07/30	75.00
69804	UBS AG – HSI R Bull CBBC Aug 2012 I	300,000,000	2012/01/03	2012/08/30	75.00
69806	UBS AG – HSI R Bull CBBC Aug 2012 J	300,000,000	2012/01/03	2012/08/30	75.00
69809	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2012/01/03	2012/06/28	75.00
69811	UBS AG – HSI R Bear CBBC Jul 2012 Z	300,000,000	2012/01/03	2012/07/30	75.00
69810	UBS AG – HSI R Bear CBBC Aug 2012 K	300,000,000	2012/01/03	2012/08/30	75.00
69826	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 G	200,000,000	2012/01/04	2012/06/28	50.00
69827	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 H	200,000,000	2012/01/04	2012/06/28	50.00
69828	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 I	200,000,000	2012/01/04	2012/06/28	50.00
69817	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/01/04	2012/07/30	100.00
69816	Credit Suisse AG – HSI R Bear CBBC Apr 2012 O	400,000,000	2012/01/04	2012/04/27	100.00
69821	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 I	128,000,000	2012/01/04	2012/06/28	32.00
69823	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 J	128,000,000	2012/01/04	2012/06/28	32.00
69815	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 U	128,000,000	2012/01/04	2012/04/27	32.00
69824	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12O	300,000,000	2012/01/04	2012/04/27	75.00
69825	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	300,000,000	2012/01/04	2012/05/30	75.00
69818	UBS AG – HSI R Bull CBBC Apr 2012 N	300,000,000	2012/01/04	2012/04/27	75.00
69822	UBS AG – HSI R Bull CBBC Jun 2012 D	300,000,000	2012/01/04	2012/06/28	75.00
69814	UBS AG – HSI R Bear CBBC May 2012 W	300,000,000	2012/01/04	2012/05/30	75.00
69819	UBS AG – Ping An R Bear CBBC Apr 2012 B	100,000,000	2012/01/04	2012/04/30	25.00
69820	UBS AG – TCH R Bull CBBC Apr 2012 G	100,000,000	2012/01/04	2012/04/10	25.00
69829	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/01/05	2012/08/30	100.00
69830	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/01/05	2012/08/30	100.00
69831	UBS AG – HSI R Bear CBBC Apr 2012 F	300,000,000	2012/01/05	2012/04/27	75.00
69840	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Oct 2012 B	100,000,000	2012/01/06	2012/10/30	25.00
69841	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2012/01/06	2012/04/27	50.00
69832	Credit Suisse AG – A50 China R Bear CBBC Apr 2012 A	60,000,000	2012/01/06	2012/04/23	15.00
69837	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/01/06	2012/07/30	100.00
69836	Credit Suisse AG – HSI R Bear CBBC Apr 2012 T	400,000,000	2012/01/06	2012/04/27	100.00
69838	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12X	300,000,000	2012/01/06	2012/05/30	75.00
69843	Credit Suisse AG – HSI R Bear CBBC Apr 2012 U	400,000,000	2012/01/09	2012/04/27	100.00
69842	Credit Suisse AG – HSI R Bear CBBC May 2012 P	400,000,000	2012/01/09	2012/05/30	100.00
69844	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/01/09	2012/06/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69866	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 J	200,000,000	2012/01/10	2012/06/28	50.00
69867	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 K	200,000,000	2012/01/10	2012/06/28	50.00
69848	Credit Suisse AG – ABC R Bull CBBC Oct 2012 A	50,000,000	2012/01/10	2012/10/15	12.50
69849	Credit Suisse AG – ABC R Bear CBBC Jul 2012 B	50,000,000	2012/01/10	2012/07/23	12.50
69847	Credit Suisse AG – CCB R Bull CBBC Jul 2012 A	50,000,000	2012/01/10	2012/07/23	12.50
69851	Credit Suisse AG – China Life R Bear CBBC Apr 2012 E	100,000,000	2012/01/10	2012/04/16	29.00
69852	Credit Suisse AG – A50 China R Bull CBBC May 2012 B	50,000,000	2012/01/10	2012/05/28	12.50
69853	Credit Suisse AG – A50 China R Bear CBBC Apr 2012 B	50,000,000	2012/01/10	2012/04/23	12.50
69856	Credit Suisse AG – HSI R Bull CBBC Jul 2012 L	400,000,000	2012/01/10	2012/07/30	100.00
69855	Credit Suisse AG – HSI R Bull CBBC Aug 2012 M	400,000,000	2012/01/10	2012/08/30	100.00
69854	Credit Suisse AG – HSI R Bear CBBC Apr 2012 K	400,000,000	2012/01/10	2012/04/27	100.00
69846	Credit Suisse AG – ICBC R Bull CBBC Jul 2012 A	50,000,000	2012/01/10	2012/07/23	12.50
69850	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 G	50,000,000	2012/01/10	2012/06/28	12.50
69860	Daiwa Capital Mkt – A50 China R Bull CBBC May 2012 D	80,000,000	2012/01/10	2012/05/08	20.00
69861	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 K	128,000,000	2012/01/10	2012/06/28	32.00
69862	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 L	128,000,000	2012/01/10	2012/06/28	32.00
69863	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 M	128,000,000	2012/01/10	2012/06/28	32.00
69864	Daiwa Capital Mkt – HSI R Bull CBBC Aug 2012 A	128,000,000	2012/01/10	2012/08/30	32.00
69865	Daiwa Capital Mkt – HSI R Bull CBBC Aug 2012 B	128,000,000	2012/01/10	2012/08/30	32.00
69859	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 V	128,000,000	2012/01/10	2012/04/27	32.00
69857	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12P	200,000,000	2012/01/10	2012/06/28	50.00
69858	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12Q	200,000,000	2012/01/10	2012/06/28	50.00
69879	UBS AG – AIA R Bull CBBC Jun 2012 B	50,000,000	2012/01/10	2012/06/18	12.50
69878	UBS AG – China Mobile R Bull CBBC May 2012 D	100,000,000	2012/01/10	2012/05/21	25.00
69874	UBS AG – COVS R Bull CBBC May 2012 B	50,000,000	2012/01/10	2012/05/21	12.50
69869	UBS AG – Cheung Kong R Bull CBBC Jun 2012 C	100,000,000	2012/01/10	2012/06/25	25.00
69880	UBS AG – China Life R Bull CBBC May 2012 H	100,000,000	2012/01/10	2012/05/07	25.00
69877	UBS AG – CNOOC R Bull CBBC Apr 2012 E	100,000,000	2012/01/10	2012/04/23	25.00
69873	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 C	100,000,000	2012/01/10	2012/06/11	25.00
69881	UBS AG – A50 China R Bear CBBC May 2012 G	100,000,000	2012/01/10	2012/05/14	25.00
69870	UBS AG – HSBC R Bull CBBC May 2012 A	100,000,000	2012/01/10	2012/05/07	25.00
69868	UBS AG – HSI R Bull CBBC Jun 2012 T	300,000,000	2012/01/10	2012/06/28	75.00
69845	UBS AG – HSI R Bear CBBC Jun 2012 O	300,000,000	2012/01/10	2012/06/28	75.00
69871	UBS AG – Hutchison R Bull CBBC Jun 2012 F	100,000,000	2012/01/10	2012/06/18	25.00
69876	UBS AG – Petrochina R Bull CBBC Jun 2012 A	100,000,000	2012/01/10	2012/06/18	25.00
69872	UBS AG – SHK Ppt R Bull CBBC Jun 2012 B	100,000,000	2012/01/10	2012/06/04	25.00
69875	UBS AG – TCH R Bull CBBC Apr 2012 H	100,000,000	2012/01/10	2012/04/23	25.00
69902	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2012/01/11	2012/04/27	50.00
69888	Credit Suisse AG – China Life R Bull CBBC May 2012 C	100,000,000	2012/01/11	2012/05/28	25.00
69889	Credit Suisse AG – HSBC R Bull CBBC Jun 2012 C	50,000,000	2012/01/11	2012/06/18	12.50
69890	Credit Suisse AG – HSI R Bull CBBC Jun 2012 T	400,000,000	2012/01/11	2012/06/28	100.00
69892	Credit Suisse AG – HSI R Bull CBBC Oct 2012 A	200,000,000	2012/01/11	2012/10/30	50.00
69891	Credit Suisse AG – HSI R Bear CBBC Apr 2012 N	400,000,000	2012/01/11	2012/04/27	100.00
69899	Credit Suisse AG – HSI R Bear CBBC Apr 2012 Q	400,000,000	2012/01/11	2012/04/27	100.00
69893	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 W	168,000,000	2012/01/11	2012/04/27	42.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69898	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 X	128,000,000	2012/01/11	2012/04/27	32.00
69884	HK Bank – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/01/11	2012/09/27	50.00
69883	HK Bank – PetCh R Bull CBBC Nov 2012 B	80,000,000	2012/01/11	2012/11/16	20.00
69882	HK Bank – PetCh R Bear CBBC Aug 2012 A	80,000,000	2012/01/11	2012/08/28	20.00
69886	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12R	200,000,000	2012/01/11	2012/06/28	50.00
69887	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12S	200,000,000	2012/01/11	2012/06/28	50.00
69885	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	200,000,000	2012/01/11	2012/05/30	50.00
69894	UBS AG – ABC R Bull CBBC Sep 2012 A	100,000,000	2012/01/11	2012/09/24	25.00
69897	UBS AG – ABC R Bear CBBC Jul 2012 B	100,000,000	2012/01/11	2012/07/09	25.00
69900	UBS AG – Sinopec Corp R Bear CBBC Jun 2012 D	100,000,000	2012/01/11	2012/06/25	25.00
69896	UBS AG – HSI R Bull CBBC May 2012 A	300,000,000	2012/01/11	2012/05/30	75.00
69901	UBS AG – HSI R Bear CBBC Jul 2012 B	300,000,000	2012/01/11	2012/07/30	75.00
69895	UBS AG – ICBC R Bull CBBC Jul 2012 E	100,000,000	2012/01/11	2012/07/16	25.00
69907	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 A	200,000,000	2012/01/12	2012/12/28	50.00
69909	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 L	200,000,000	2012/01/12	2012/06/28	50.00
69908	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	400,000,000	2012/01/12	2012/06/28	100.00
69905	Credit Suisse AG – HSI R Bull CBBC Sep 2012 C	400,000,000	2012/01/12	2012/09/27	100.00
69904	Credit Suisse AG – HSI R Bear CBBC May 2012 K	400,000,000	2012/01/12	2012/05/30	100.00
69903	HK Bank – CNOOC R Bull CBBC Oct 2012 C	80,000,000	2012/01/12	2012/10/18	21.60
69913	UBS AG – AIA R Bear CBBC Apr 2012 C	50,000,000	2012/01/12	2012/04/23	12.50
69916	UBS AG – BOCL R Bull CBBC Oct 2012 A	100,000,000	2012/01/12	2012/10/29	25.00
69912	UBS AG – China Mobile R Bear CBBC May 2012 E	100,000,000	2012/01/12	2012/05/21	25.00
69911	UBS AG – Sinopec Corp R Bear CBBC Apr 2012 C	100,000,000	2012/01/12	2012/04/23	25.00
69910	UBS AG – HSI R Bull CBBC Jun 2012 M	300,000,000	2012/01/12	2012/06/28	75.00
69906	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/01/12	2012/06/28	75.00
69914	UBS AG – ICBC R Bear CBBC Apr 2012 G	100,000,000	2012/01/12	2012/04/30	25.00
69915	UBS AG – Ping An R Bull CBBC Jun 2012 E	100,000,000	2012/01/12	2012/06/25	25.00
69928	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 L	200,000,000	2012/01/13	2012/04/27	50.00
69918	Credit Suisse AG – HSI R Bull CBBC Aug 2012 N	400,000,000	2012/01/13	2012/08/30	100.00
69921	Credit Suisse AG – HSI R Bear CBBC Apr 2012 O	400,000,000	2012/01/13	2012/04/27	100.00
69919	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 N	128,000,000	2012/01/13	2012/06/28	32.00
69922	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 Y	128,000,000	2012/01/13	2012/04/27	32.00
69920	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	200,000,000	2012/01/13	2012/05/30	50.00
69926	UBS AG – A50 China R Bull CBBC Apr 2012 C	100,000,000	2012/01/13	2012/04/23	25.00
69927	UBS AG – A50 China R Bull CBBC May 2012 H	100,000,000	2012/01/13	2012/05/14	25.00
69925	UBS AG – HKEx R Bear CBBC May 2012 F	100,000,000	2012/01/13	2012/05/07	25.00
69923	UBS AG – HSCEI R Bull CBBC Jul 2012 A	100,000,000	2012/01/13	2012/07/30	25.00
69917	UBS AG – HSI R Bull CBBC Jul 2012 C	300,000,000	2012/01/13	2012/07/30	75.00
69924	UBS AG – HSI R Bear CBBC Jul 2012 I	300,000,000	2012/01/13	2012/07/30	75.00
69944	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 M	200,000,000	2012/01/16	2012/06/28	50.00
69945	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 N	200,000,000	2012/01/16	2012/06/28	50.00
69934	Credit Suisse AG – HSI R Bull CBBC Jul 2012 M	400,000,000	2012/01/16	2012/07/30	100.00
69936	Credit Suisse AG – HSI R Bear CBBC Apr 2012 U	400,000,000	2012/01/16	2012/04/27	100.00
69935	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/01/16	2012/05/30	100.00
69931	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2012 C	40,000,000	2012/01/16	2012/07/30	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69932	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2012 D	40,000,000	2012/01/16	2012/07/30	10.00
69929	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2012 A	40,000,000	2012/01/16	2012/07/30	10.00
69930	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2012 B	40,000,000	2012/01/16	2012/07/30	10.00
69939	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12T	200,000,000	2012/01/16	2012/06/28	50.00
69938	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12V	200,000,000	2012/01/16	2012/05/30	50.00
69937	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12X	200,000,000	2012/01/16	2012/05/30	50.00
69942	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun 12U	200,000,000	2012/01/16	2012/06/28	50.00
69933	UBS AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2012/01/16	2012/04/27	75.00
69940	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2012/01/16	2012/04/27	75.00
69965	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 O	200,000,000	2012/01/17	2012/06/28	50.00
69954	Credit Suisse AG – China Mobile R Bear CBBC Apr 2012 B	50,000,000	2012/01/17	2012/04/30	12.50
69956	Credit Suisse AG – CNOOC R Bull CBBC Jun 2012 C	50,000,000	2012/01/17	2012/06/18	12.50
69958	Credit Suisse AG – Sinopec Corp R Bull CBBC Jun 2012 A	50,000,000	2012/01/17	2012/06/25	12.50
69957	Credit Suisse AG – Sinopec Corp R Bear CBBC May 2012 A	50,000,000	2012/01/17	2012/05/21	12.50
69964	Credit Suisse AG – HSI R Bull CBBC Jul 2012 N	400,000,000	2012/01/17	2012/07/30	100.00
69960	Credit Suisse AG – HSI R Bull CBBC Aug 2012 O	400,000,000	2012/01/17	2012/08/30	100.00
69961	Credit Suisse AG – HSI R Bull CBBC Aug 2012 P	400,000,000	2012/01/17	2012/08/30	100.00
69953	Credit Suisse AG – HSI R Bear CBBC Apr 2012 K	400,000,000	2012/01/17	2012/04/27	100.00
69955	Credit Suisse AG – ICBC R Bear CBBC Jun 2012 B	50,000,000	2012/01/17	2012/06/25	12.50
69963	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Apr 2012 B	100,000,000	2012/01/17	2012/04/27	25.00
69962	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Apr 2012 A	100,000,000	2012/01/17	2012/04/27	25.00
69951	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 Z	128,000,000	2012/01/17	2012/04/27	32.00
69946	J P Morgan SP BV – HSI R Bull CBBC May 2012 I	200,000,000	2012/01/17	2012/05/30	50.00
69947	J P Morgan SP BV – HSI R Bull CBBC May 2012 J	200,000,000	2012/01/17	2012/05/30	50.00
69948	J P Morgan SP BV – HSI R Bull CBBC May 2012 K	200,000,000	2012/01/17	2012/05/30	50.00
69949	J P Morgan SP BV – HSI R Bear CBBC May 2012 L	200,000,000	2012/01/17	2012/05/30	50.00
69950	J P Morgan SP BV – HSI R Bear CBBC May 2012 M	200,000,000	2012/01/17	2012/05/30	50.00
69966	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12V	200,000,000	2012/01/17	2012/06/28	50.00
69967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12A	200,000,000	2012/01/17	2012/07/30	73.00
69968	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12B	200,000,000	2012/01/17	2012/07/30	70.00
69969	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/01/17	2012/07/30	67.00
69970	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/01/17	2012/07/30	65.00
69971	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12E	200,000,000	2012/01/17	2012/07/30	62.00
69972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/01/17	2012/07/30	59.00
69973	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/01/17	2012/07/30	56.00
69974	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/01/17	2012/07/30	53.00
69975	UBS AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2012/01/17	2012/04/27	75.00
69976	UBS AG – HSI R Bull CBBC May 2012 W	300,000,000	2012/01/17	2012/05/30	75.00
69952	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2012/01/17	2012/05/30	75.00
69977	UBS AG – TCH R Bull CBBC Aug 2012 A	100,000,000	2012/01/17	2012/08/20	25.00
69993	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 P	200,000,000	2012/01/18	2012/06/28	50.00
69994	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 Q	200,000,000	2012/01/18	2012/06/28	50.00
69979	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	400,000,000	2012/01/18	2012/06/28	100.00
69989	Credit Suisse AG – HSI R Bull CBBC Jul 2012 O	400,000,000	2012/01/18	2012/07/30	100.00
69984	Credit Suisse AG – HSI R Bear CBBC Apr 2012 T	400,000,000	2012/01/18	2012/04/27	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69981	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/01/18	2012/06/28	100.00
69982	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/01/18	2012/07/30	100.00
69980	Credit Suisse AG – TCH R Bull CBBC Jun 2012 A	50,000,000	2012/01/18	2012/06/25	12.50
69987	Credit Suisse AG – TCH R Bull CBBC Jun 2012 B	50,000,000	2012/01/18	2012/06/25	12.50
69992	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2012 A	80,000,000	2012/01/18	2012/06/28	20.00
69990	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 A	128,000,000	2012/01/18	2012/04/27	32.00
69991	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 O	128,000,000	2012/01/18	2012/06/28	32.00
69983	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12W	200,000,000	2012/01/18	2012/06/28	50.00
69985	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	200,000,000	2012/01/18	2012/05/30	50.00
69998	UBS AG – China Life R Bull CBBC Jun 2012 F	100,000,000	2012/01/18	2012/06/11	37.50
69996	UBS AG – A50 China R Bull CBBC May 2012 I	100,000,000	2012/01/18	2012/05/07	25.00
69997	UBS AG – A50 China R Bull CBBC May 2012 J	100,000,000	2012/01/18	2012/05/21	25.00
69978	UBS AG – HSI R Bull CBBC May 2012 T	300,000,000	2012/01/18	2012/05/30	75.00
60000	UBS AG – HSI R Bull CBBC Jun 2012 P	300,000,000	2012/01/18	2012/06/28	75.00
60002	UBS AG – HSI R Bull CBBC Aug 2012 L	300,000,000	2012/01/18	2012/08/30	75.00
69986	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2012/01/18	2012/06/28	75.00
60001	UBS AG – Petrochina R Bull CBBC Jul 2012 B	100,000,000	2012/01/18	2012/07/16	25.00
69995	UBS AG – TCH R Bear CBBC Apr 2012 I	100,000,000	2012/01/18	2012/04/23	25.00
60013	Credit Suisse AG – ABC R Bull CBBC Sep 2012 A	50,000,000	2012/01/19	2012/09/24	12.50
60016	Credit Suisse AG – A50 China R Bull CBBC Apr 2012 C	50,000,000	2012/01/19	2012/04/30	12.50
60011	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	400,000,000	2012/01/19	2012/06/28	100.00
60012	Credit Suisse AG – HSI R Bull CBBC Jul 2012 U	400,000,000	2012/01/19	2012/07/30	100.00
60008	Credit Suisse AG – HSI R Bear CBBC May 2012 G	400,000,000	2012/01/19	2012/05/30	100.00
60014	Credit Suisse AG – ICBC R Bull CBBC Jun 2012 C	50,000,000	2012/01/19	2012/06/25	12.50
60015	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 H	50,000,000	2012/01/19	2012/06/25	12.50
60010	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12X	200,000,000	2012/01/19	2012/06/28	50.00
60009	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12T	200,000,000	2012/01/19	2012/05/30	50.00
60003	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12I	200,000,000	2012/01/19	2012/07/30	82.00
60004	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12J	200,000,000	2012/01/19	2012/07/30	80.00
60005	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12K	200,000,000	2012/01/19	2012/07/30	77.00
60006	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12L	200,000,000	2012/01/19	2012/07/30	74.00
60007	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12M	200,000,000	2012/01/19	2012/07/30	72.00
60019	UBS AG – HSI R Bull CBBC Sep 2012 D	300,000,000	2012/01/19	2012/09/27	75.00
60018	UBS AG – HSI R Bear CBBC Apr 2012 F	300,000,000	2012/01/19	2012/04/27	75.00
60017	UBS AG – HSI R Bear CBBC Sep 2012 C	300,000,000	2012/01/19	2012/09/27	75.00
60024	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 R	200,000,000	2012/01/20	2012/06/28	50.00
60022	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	400,000,000	2012/01/20	2012/06/28	100.00
60023	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 K	128,000,000	2012/01/20	2012/05/30	32.00
60028	UBS AG – ABC R Bull CBBC Sep 2012 B	100,000,000	2012/01/20	2012/09/03	25.00
60032	UBS AG – CC Bank R Bull CBBC Jul 2012 C	100,000,000	2012/01/20	2012/07/09	25.00
60025	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 E	100,000,000	2012/01/20	2012/06/18	25.00
60033	UBS AG – China Shenhua R Bull CBBC Aug 2012 A	100,000,000	2012/01/20	2012/08/06	53.00
60034	UBS AG – China Shenhua R Bear CBBC Aug 2012 B	100,000,000	2012/01/20	2012/08/20	47.50
60026	UBS AG – HKEx R Bull CBBC May 2012 G	100,000,000	2012/01/20	2012/05/28	25.00
60020	UBS AG – HSI R Bull CBBC Aug 2012 M	300,000,000	2012/01/20	2012/08/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60031	UBS AG – HSI R Bull CBBC Aug 2012 N	300,000,000	2012/01/20	2012/08/30	75.00
60021	UBS AG – HSI R Bull CBBC Sep 2012 E	300,000,000	2012/01/20	2012/09/27	75.00
60029	UBS AG – ICBC R Bull CBBC Jun 2012 B	100,000,000	2012/01/20	2012/06/18	25.00
60035	UBS AG – Petrochina R Bear CBBC Jun 2012 B	100,000,000	2012/01/20	2012/06/18	25.00
60030	UBS AG – Ping An R Bull CBBC Jun 2012 F	100,000,000	2012/01/20	2012/06/18	25.00
60027	UBS AG – TCH R Bull CBBC Jun 2012 I	100,000,000	2012/01/20	2012/06/18	25.00
60037	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	200,000,000	2012/01/26	2012/04/27	50.00
60036	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12Y	200,000,000	2012/01/26	2012/06/28	50.00
60070	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 B	200,000,000	2012/01/27	2012/12/28	50.00
60075	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 S	200,000,000	2012/01/27	2012/06/28	50.00
60076	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 T	200,000,000	2012/01/27	2012/06/28	50.00
60077	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 U	200,000,000	2012/01/27	2012/06/28	50.00
60078	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 V	200,000,000	2012/01/27	2012/06/28	50.00
60073	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2012/01/27	2012/04/27	50.00
60071	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	200,000,000	2012/01/27	2012/04/27	50.00
60074	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2012/01/27	2012/04/27	50.00
60072	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	200,000,000	2012/01/27	2012/04/27	50.00
60080	Credit Suisse AG – HSI R Bull CBBC Apr 2012 K	400,000,000	2012/01/27	2012/04/27	100.00
60079	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	400,000,000	2012/01/27	2012/04/27	100.00
60041	Credit Suisse AG – HSI R Bear CBBC Apr 2012 N	400,000,000	2012/01/27	2012/04/27	100.00
60045	Credit Suisse AG – HSI R Bear CBBC Apr 2012 O	400,000,000	2012/01/27	2012/04/27	100.00
60044	Credit Suisse AG – HSI R Bear CBBC Apr 2012 Q	400,000,000	2012/01/27	2012/04/27	100.00
60047	Credit Suisse AG – HSI R Bear CBBC Jul 2012 J	400,000,000	2012/01/27	2012/07/30	100.00
60046	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/01/27	2012/07/30	100.00
60048	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Q	400,000,000	2012/01/27	2012/08/30	102.00
60049	Credit Suisse AG – HSI R Bear CBBC Aug 2012 R	400,000,000	2012/01/27	2012/08/30	122.00
60050	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/01/27	2012/08/30	100.00
60051	Credit Suisse AG – HSI R Bear CBBC Aug 2012 T	400,000,000	2012/01/27	2012/08/30	130.00
60052	Credit Suisse AG – HSI R Bear CBBC Sep 2012 D	400,000,000	2012/01/27	2012/09/27	136.00
60053	Credit Suisse AG – HSI R Bear CBBC Sep 2012 E	400,000,000	2012/01/27	2012/09/27	100.00
60054	Credit Suisse AG – HSI R Bear CBBC Sep 2012 F	400,000,000	2012/01/27	2012/09/27	106.00
60055	Credit Suisse AG – HSI R Bear CBBC Sep 2012 G	400,000,000	2012/01/27	2012/09/27	100.00
60063	Daiwa Capital Mkt – A50 China R Bull CBBC Apr 2012 D	80,000,000	2012/01/27	2012/04/27	20.00
60062	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2012 B	80,000,000	2012/01/27	2012/06/28	20.00
60060	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 P	128,000,000	2012/01/27	2012/06/28	32.00
60061	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Q	128,000,000	2012/01/27	2012/06/28	32.00
60043	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 B	128,000,000	2012/01/27	2012/04/27	32.00
60058	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 C	128,000,000	2012/01/27	2012/04/27	32.00
60040	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Aug 2012 A	40,000,000	2012/01/27	2012/08/30	10.20
60038	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12P	200,000,000	2012/01/27	2012/04/27	50.00
60066	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12U	200,000,000	2012/01/27	2012/06/28	50.00
60065	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12Z	200,000,000	2012/01/27	2012/06/28	50.00
60039	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12U	200,000,000	2012/01/27	2012/05/30	50.00
60057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12V	200,000,000	2012/01/27	2012/05/30	50.00
60056	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	200,000,000	2012/01/27	2012/05/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60067	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12N	200,000,000	2012/01/27	2012/07/30	78.00
60068	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12O	200,000,000	2012/01/27	2012/07/30	76.00
60069	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12P	200,000,000	2012/01/27	2012/07/30	73.00
60089	UBS AG – BOCL R Bull CBBC Sep 2012 A	100,000,000	2012/01/27	2012/09/24	25.00
60095	UBS AG – CUni R Bull CBBC Jul 2012 B	100,000,000	2012/01/27	2012/07/09	26.50
60094	UBS AG – CUni R Bear CBBC Jul 2012 A	100,000,000	2012/01/27	2012/07/23	29.00
60092	UBS AG – China Life R Bull CBBC May 2012 I	100,000,000	2012/01/27	2012/05/21	25.00
60091	UBS AG – A50 China R Bull CBBC Jun 2012 D	100,000,000	2012/01/27	2012/06/04	25.00
60098	UBS AG – HKEx R Bull CBBC Jun 2012 G	100,000,000	2012/01/27	2012/06/11	25.00
60099	UBS AG – HSBC R Bull CBBC May 2012 B	100,000,000	2012/01/27	2012/05/28	25.00
60083	UBS AG – HSCEI R Bull CBBC Aug 2012 A	100,000,000	2012/01/27	2012/08/30	25.00
60082	UBS AG – HSCEI R Bull CBBC Sep 2012 B	100,000,000	2012/01/27	2012/09/27	25.00
60081	UBS AG – HSCEI R Bear CBBC May 2012 C	100,000,000	2012/01/27	2012/05/30	25.00
60088	UBS AG – HSI R Bull CBBC Jul 2012 H	300,000,000	2012/01/27	2012/07/30	75.00
60087	UBS AG – HSI R Bull CBBC Aug 2012 O	300,000,000	2012/01/27	2012/08/30	75.00
60086	UBS AG – HSI R Bull CBBC Sep 2012 F	300,000,000	2012/01/27	2012/09/27	75.00
60042	UBS AG – HSI R Bear CBBC Apr 2012 N	300,000,000	2012/01/27	2012/04/27	75.00
60059	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2012/01/27	2012/05/30	75.00
60093	UBS AG – ICBC R Bull CBBC Jun 2012 C	100,000,000	2012/01/27	2012/06/11	25.00
60097	UBS AG – TCH R Bull CBBC May 2012 G	100,000,000	2012/01/27	2012/05/28	25.00
60096	UBS AG – TCH R Bear CBBC Aug 2012 B	100,000,000	2012/01/27	2012/08/06	51.00
69991 [#]	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 O	140,000,000	2012/01/27	2012/06/28	11.76
60159	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 W	200,000,000	2012/01/30	2012/06/28	50.00
60108	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 Q	200,000,000	2012/01/30	2012/05/30	50.00
60105	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 R	200,000,000	2012/01/30	2012/05/30	50.00
60106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 S	200,000,000	2012/01/30	2012/05/30	50.00
60107	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 T	200,000,000	2012/01/30	2012/05/30	50.00
60140	Credit Suisse AG – CCB R Bull CBBC May 2012 C	70,000,000	2012/01/30	2012/05/28	17.50
60121	Credit Suisse AG – China Life R Bull CBBC May 2012 D	70,000,000	2012/01/30	2012/05/28	17.50
60141	Credit Suisse AG – Sinopec Corp R Bull CBBC Jun 2012 B	70,000,000	2012/01/30	2012/06/25	17.50
60117	Credit Suisse AG – A50 China R Bull CBBC May 2012 C	70,000,000	2012/01/30	2012/05/28	17.50
60118	Credit Suisse AG – A50 China R Bear CBBC May 2012 D	70,000,000	2012/01/30	2012/05/28	17.50
60122	Credit Suisse AG – HKEx R Bull CBBC May 2012 E	70,000,000	2012/01/30	2012/05/28	17.50
60123	Credit Suisse AG – HKEx R Bull CBBC Jun 2012 A	70,000,000	2012/01/30	2012/06/25	17.50
60124	Credit Suisse AG – HKEx R Bear CBBC May 2012 F	70,000,000	2012/01/30	2012/05/28	17.50
60125	Credit Suisse AG – HKEx R Bear CBBC Jun 2012 B	70,000,000	2012/01/30	2012/06/25	17.50
60142	Credit Suisse AG – HSBC R Bear CBBC Jun 2012 D	70,000,000	2012/01/30	2012/06/18	17.50
60129	Credit Suisse AG – HSI R Bull CBBC May 2012 B	400,000,000	2012/01/30	2012/05/30	100.00
60130	Credit Suisse AG – HSI R Bull CBBC May 2012 P	400,000,000	2012/01/30	2012/05/30	100.00
60131	Credit Suisse AG – HSI R Bull CBBC Jun 2012 P	400,000,000	2012/01/30	2012/06/28	100.00
60116	Credit Suisse AG – HSI R Bear CBBC May 2012 L	400,000,000	2012/01/30	2012/05/30	100.00
60137	Credit Suisse AG – HSI R Bear CBBC Jun 2012 V	400,000,000	2012/01/30	2012/06/28	142.00
60135	Credit Suisse AG – HSI R Bear CBBC Aug 2012 U	400,000,000	2012/01/30	2012/08/30	134.00
60132	Credit Suisse AG – HSI R Bear CBBC Sep 2012 H	400,000,000	2012/01/30	2012/09/27	126.00
60134	Credit Suisse AG – HSI R Bear CBBC Oct 2012 B	400,000,000	2012/01/30	2012/10/30	110.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60136	Credit Suisse AG – HSI R Bear CBBC Oct 2012 C	400,000,000	2012/01/30	2012/10/30	116.00
60138	Credit Suisse AG – HSI R Bear CBBC Oct 2012 D	400,000,000	2012/01/30	2012/10/30	148.00
60119	Credit Suisse AG – Hutchison R Bull CBBC May 2012 A	70,000,000	2012/01/30	2012/05/28	17.50
60120	Credit Suisse AG – Hutchison R Bear CBBC May 2012 B	70,000,000	2012/01/30	2012/05/28	17.50
60139	Credit Suisse AG – ICBC R Bull CBBC Jun 2012 D	70,000,000	2012/01/30	2012/06/25	17.50
60126	Credit Suisse AG – TCH R Bull CBBC May 2012 E	70,000,000	2012/01/30	2012/05/28	17.50
60128	Credit Suisse AG – TCH R Bear CBBC Jun 2012 C	70,000,000	2012/01/30	2012/06/25	22.40
60152	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 M	128,000,000	2012/01/30	2012/05/30	32.00
60151	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	128,000,000	2012/01/30	2012/05/30	32.00
60103	HK Bank – A50 R Bull CBBC Oct 2012 A	120,000,000	2012/01/30	2012/10/12	30.00
60104	HK Bank – HSI R Bull CBBC Aug 2012 I	250,000,000	2012/01/30	2012/08/30	62.50
60101	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 A	40,000,000	2012/01/30	2012/09/27	10.00
60102	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 B	40,000,000	2012/01/30	2012/09/27	10.20
60112	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12H	200,000,000	2012/01/30	2012/05/30	50.00
60111	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12X	200,000,000	2012/01/30	2012/05/30	50.00
60113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Q	200,000,000	2012/01/30	2012/07/30	81.00
60114	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12R	200,000,000	2012/01/30	2012/07/30	84.00
60158	UBS AG – CC Bank R Bull CBBC Jun 2012 A	100,000,000	2012/01/30	2012/06/04	25.00
60156	UBS AG – A50 China R Bear CBBC Jun 2012 E	100,000,000	2012/01/30	2012/06/04	25.00
60150	UBS AG – HSI R Bull CBBC Sep 2012 I	300,000,000	2012/01/30	2012/09/27	75.00
60155	UBS AG – HSI R Bull CBBC Sep 2012 J	300,000,000	2012/01/30	2012/09/27	75.00
60115	UBS AG – HSI R Bear CBBC May 2012 G	300,000,000	2012/01/30	2012/05/30	75.00
60148	UBS AG – HSI R Bear CBBC Jun 2012 D	300,000,000	2012/01/30	2012/06/28	75.00
60147	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/01/30	2012/07/30	75.00
60149	UBS AG – HSI R Bear CBBC Jul 2012 P	300,000,000	2012/01/30	2012/07/30	75.00
60144	UBS AG – HSI R Bear CBBC Aug 2012 P	300,000,000	2012/01/30	2012/08/30	85.50
60146	UBS AG – HSI R Bear CBBC Aug 2012 Q	300,000,000	2012/01/30	2012/08/30	75.00
60143	UBS AG – HSI R Bear CBBC Sep 2012 G	300,000,000	2012/01/30	2012/09/27	91.50
60145	UBS AG – HSI R Bear CBBC Sep 2012 H	300,000,000	2012/01/30	2012/09/27	79.50
60157	UBS AG – ICBC R Bear CBBC Aug 2012 A	100,000,000	2012/01/30	2012/08/13	25.00
60192	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2012 C	200,000,000	2012/01/31	2012/12/28	50.00
60199	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 A	200,000,000	2012/01/31	2012/06/28	50.00
60200	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 B	200,000,000	2012/01/31	2012/06/28	50.00
60197	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 Z	200,000,000	2012/01/31	2012/06/28	50.00
60194	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 L	200,000,000	2012/01/31	2012/05/30	50.00
60196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 M	200,000,000	2012/01/31	2012/05/30	50.00
60193	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2012 X	200,000,000	2012/01/31	2012/06/28	50.00
60195	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2012 Y	200,000,000	2012/01/31	2012/06/28	50.00
60172	Credit Suisse AG – HSI R Bull CBBC Jul 2012 R	400,000,000	2012/01/31	2012/07/30	100.00
60173	Credit Suisse AG – HSI R Bull CBBC Aug 2012 W	400,000,000	2012/01/31	2012/08/30	100.00
60169	Credit Suisse AG – HSI R Bear CBBC May 2012 K	400,000,000	2012/01/31	2012/05/30	100.00
60170	Credit Suisse AG – HSI R Bear CBBC Jul 2012 K	400,000,000	2012/01/31	2012/07/30	100.00
60171	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/01/31	2012/08/30	100.00
60177	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 O	188,000,000	2012/01/31	2012/05/30	47.00
60178	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 P	128,000,000	2012/01/31	2012/05/30	32.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60167	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 N	128,000,000	2012/01/31	2012/05/30	32.00
60187	J P Morgan SP BV – HSI R Bull CBBC May 2012 O	200,000,000	2012/01/31	2012/05/30	50.00
60189	J P Morgan SP BV – HSI R Bull CBBC May 2012 P	200,000,000	2012/01/31	2012/05/30	50.00
60190	J P Morgan SP BV – HSI R Bull CBBC May 2012 Q	200,000,000	2012/01/31	2012/05/30	50.00
60191	J P Morgan SP BV – HSI R Bull CBBC May 2012 R	200,000,000	2012/01/31	2012/05/30	50.00
60186	J P Morgan SP BV – HSI R Bear CBBC May 2012 N	200,000,000	2012/01/31	2012/05/30	50.00
60174	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12L	200,000,000	2012/01/31	2012/05/30	50.00
60176	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12M	200,000,000	2012/01/31	2012/05/30	50.00
60175	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12R	200,000,000	2012/01/31	2012/05/30	50.00
60160	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12I	200,000,000	2012/01/31	2012/05/30	50.00
60161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12J	200,000,000	2012/01/31	2012/05/30	50.00
60162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12K	200,000,000	2012/01/31	2012/05/30	50.00
60163	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12S	200,000,000	2012/01/31	2012/07/30	85.00
60164	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12T	200,000,000	2012/01/31	2012/07/30	87.00
60165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12U	200,000,000	2012/01/31	2012/07/30	90.00
60166	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12V	200,000,000	2012/01/31	2012/07/30	92.00
60182	UBS AG – China Life R Bull CBBC Jul 2012 G	100,000,000	2012/01/31	2012/07/09	25.00
60180	UBS AG – HSBC R Bull CBBC Aug 2012 A	100,000,000	2012/01/31	2012/08/27	25.00
60179	UBS AG – HSI R Bull CBBC Aug 2012 R	300,000,000	2012/01/31	2012/08/30	75.00
60185	UBS AG – HSI R Bull CBBC Aug 2012 S	300,000,000	2012/01/31	2012/08/30	75.00
60168	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/01/31	2012/06/28	75.00
60184	UBS AG – HSI R Bear CBBC Jun 2012 O	300,000,000	2012/01/31	2012/06/28	76.50
60183	UBS AG – HSI R Bear CBBC Jul 2012 N	300,000,000	2012/01/31	2012/07/30	82.50
60181	UBS AG – Ping An R Bull CBBC Jul 2012 C	100,000,000	2012/01/31	2012/07/09	25.00
69646 [#]	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2012 B	121,960,000	2012/01/31	2012/03/29	10.00
60243	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 G	200,000,000	2012/02/01	2012/06/28	50.00
60209	Credit Suisse AG – HSI R Bull CBBC May 2012 G	400,000,000	2012/02/01	2012/05/30	100.00
60219	Credit Suisse AG – HSI R Bull CBBC Jun 2012 R	400,000,000	2012/02/01	2012/06/28	100.00
60210	Credit Suisse AG – HSI R Bull CBBC Aug 2012 X	400,000,000	2012/02/01	2012/08/30	100.00
60220	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Y	400,000,000	2012/02/01	2012/08/30	100.00
60208	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/02/01	2012/05/30	100.00
60224	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 Y	128,000,000	2012/02/01	2012/05/30	32.00
60225	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 Z	168,000,000	2012/02/01	2012/05/30	42.00
60202	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 Q	128,000,000	2012/02/01	2012/05/30	32.00
60203	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 R	128,000,000	2012/02/01	2012/05/30	32.00
60204	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 S	128,000,000	2012/02/01	2012/05/30	32.00
60205	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 T	168,000,000	2012/02/01	2012/05/30	42.00
60206	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 U	128,000,000	2012/02/01	2012/05/30	32.00
60221	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 V	128,000,000	2012/02/01	2012/05/30	32.00
60222	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 W	128,000,000	2012/02/01	2012/05/30	32.00
60223	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 X	128,000,000	2012/02/01	2012/05/30	32.00
60213	HK Bank – BOCL R Bull CBBC Dec 2012 B	100,000,000	2012/02/01	2012/12/18	25.00
60212	HK Bank – CC Bank R Bull CBBC Oct 2012 A	120,000,000	2012/02/01	2012/10/18	30.00
60214	HK Bank – A50 R Bull CBBC Dec 2012 A	120,000,000	2012/02/01	2012/12/28	30.00
60215	HK Bank – HSI R Bull CBBC Aug 2012 J	250,000,000	2012/02/01	2012/08/30	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60216	HK Bank – HSI R Bull CBBC Sep 2012 C	250,000,000	2012/02/01	2012/09/27	62.50
60217	HK Bank – HSI R Bear CBBC Aug 2012 K	250,000,000	2012/02/01	2012/08/30	62.50
60218	HK Bank – HSI R Bear CBBC Oct 2012 C	250,000,000	2012/02/01	2012/10/30	62.50
60201	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 C	40,000,000	2012/02/01	2012/09/27	10.00
60226	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12T	200,000,000	2012/02/01	2012/05/30	50.00
60207	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12N	200,000,000	2012/02/01	2012/05/30	50.00
60241	UBS AG – ABC R Bear CBBC Jul 2012 C	100,000,000	2012/02/01	2012/07/30	25.00
60240	UBS AG – A50 China R Bull CBBC May 2012 K	100,000,000	2012/02/01	2012/05/14	25.00
60239	UBS AG – HSCEI R Bull CBBC Sep 2012 C	100,000,000	2012/02/01	2012/09/27	25.00
60238	UBS AG – HSCEI R Bear CBBC Jul 2012 B	100,000,000	2012/02/01	2012/07/30	25.00
60235	UBS AG – HSI R Bull CBBC Aug 2012 U	300,000,000	2012/02/01	2012/08/30	75.00
60234	UBS AG – HSI R Bull CBBC Sep 2012 L	300,000,000	2012/02/01	2012/09/27	75.00
60236	UBS AG – HSI R Bull CBBC Sep 2012 M	300,000,000	2012/02/01	2012/09/27	75.00
60237	UBS AG – HSI R Bull CBBC Oct 2012 A	300,000,000	2012/02/01	2012/10/30	75.00
60211	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2012/02/01	2012/05/30	75.00
60233	UBS AG – HSI R Bear CBBC Jul 2012 Q	300,000,000	2012/02/01	2012/07/30	84.00
60227	UBS AG – HSI R Bear CBBC Aug 2012 T	300,000,000	2012/02/01	2012/08/30	93.00
60232	UBS AG – HSI R Bear CBBC Sep 2012 K	300,000,000	2012/02/01	2012/09/27	87.00
60242	UBS AG – Hutchison R Bull CBBC Jul 2012 C	100,000,000	2012/02/01	2012/07/16	25.00
65872 [#]	HK Bank – HSI R Bear CBBC Jun 2012 A	200,000,000	2012/02/01	2012/06/28	22.40
65576 [#]	HK Bank – HSI R Bear CBBC Apr 2012 A	250,000,000	2012/02/01	2012/04/27	45.75
60288	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 D	200,000,000	2012/02/02	2012/07/30	50.00
60289	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 E	200,000,000	2012/02/02	2012/07/30	50.00
60290	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 F	200,000,000	2012/02/02	2012/07/30	50.00
60291	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2012 G	200,000,000	2012/02/02	2012/07/30	50.00
60284	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2012 H	200,000,000	2012/02/02	2012/06/28	50.00
60285	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 A	200,000,000	2012/02/02	2012/07/30	50.00
60286	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 B	200,000,000	2012/02/02	2012/07/30	50.00
60287	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 C	200,000,000	2012/02/02	2012/07/30	50.00
60264	Credit Suisse AG – China Life R Bull CBBC May 2012 E	70,000,000	2012/02/02	2012/05/28	17.50
60265	Credit Suisse AG – China Life R Bull CBBC Jun 2012 E	70,000,000	2012/02/02	2012/06/25	20.30
60278	Credit Suisse AG – HKEx R Bear CBBC May 2012 G	70,000,000	2012/02/02	2012/05/28	17.50
60257	Credit Suisse AG – HSI R Bull CBBC Aug 2012 H	400,000,000	2012/02/02	2012/08/30	100.00
60258	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2012/02/02	2012/08/30	100.00
60280	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/02/02	2012/08/30	100.00
60254	Credit Suisse AG – HSI R Bear CBBC May 2012 O	400,000,000	2012/02/02	2012/05/30	100.00
60252	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Z	400,000,000	2012/02/02	2012/08/30	100.00
60259	Credit Suisse AG – HSI R Bear CBBC Sep 2012 I	400,000,000	2012/02/02	2012/09/27	124.00
60261	Credit Suisse AG – HSI R Bear CBBC Sep 2012 J	400,000,000	2012/02/02	2012/09/27	110.00
60260	Credit Suisse AG – HSI R Bear CBBC Oct 2012 E	400,000,000	2012/02/02	2012/10/30	130.00
60262	Credit Suisse AG – HSI R Bear CBBC Oct 2012 F	400,000,000	2012/02/02	2012/10/30	138.00
60263	Credit Suisse AG – HSI R Bear CBBC Nov 2012 A	400,000,000	2012/02/02	2012/11/29	142.00
60277	Credit Suisse AG – Hutchison R Bear CBBC Jun 2012 E	70,000,000	2012/02/02	2012/06/25	17.50
60282	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2012 B	150,000,000	2012/02/02	2012/05/30	37.50
60281	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2012 A	150,000,000	2012/02/02	2012/05/30	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60283	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 J	128,000,000	2012/02/02	2012/05/30	32.00
60250	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 A	128,000,000	2012/02/02	2012/05/30	32.00
60251	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 F	128,000,000	2012/02/02	2012/05/30	32.00
60245	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 D	40,000,000	2012/02/02	2012/09/27	10.00
60246	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12O	200,000,000	2012/02/02	2012/05/30	50.00
60256	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12Y	200,000,000	2012/02/02	2012/07/30	50.00
60248	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12W	200,000,000	2012/02/02	2012/07/30	50.00
60249	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/02/02	2012/07/30	50.00
60297	UBS AG – China Mobile R Bull CBBC Jun 2012 B	100,000,000	2012/02/02	2012/06/18	25.00
60296	UBS AG – CC Bank R Bull CBBC Jul 2012 D	100,000,000	2012/02/02	2012/07/23	25.00
60299	UBS AG – China Life R Bull CBBC Jun 2012 G	100,000,000	2012/02/02	2012/06/04	25.00
60294	UBS AG – HKEx R Bull CBBC Jul 2012 B	100,000,000	2012/02/02	2012/07/09	25.00
60292	UBS AG – HSBC R Bull CBBC Jul 2012 A	100,000,000	2012/02/02	2012/07/23	25.00
60300	UBS AG – HSI R Bull CBBC Jul 2012 T	300,000,000	2012/02/02	2012/07/30	75.00
60301	UBS AG – HSI R Bull CBBC Sep 2012 N	300,000,000	2012/02/02	2012/09/27	75.00
60255	UBS AG – HSI R Bear CBBC May 2012 F	300,000,000	2012/02/02	2012/05/30	75.00
60279	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/02/02	2012/06/28	75.00
60293	UBS AG – Hutchison R Bull CBBC Jun 2012 G	100,000,000	2012/02/02	2012/06/11	25.00
60298	UBS AG – ICBC R Bull CBBC Jul 2012 F	100,000,000	2012/02/02	2012/07/03	25.00
60295	UBS AG – TCH R Bull CBBC Jul 2012 E	100,000,000	2012/02/02	2012/07/23	25.00
60060 [#]	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 P	150,000,000	2012/02/02	2012/06/28	10.20
60341	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 A	200,000,000	2012/02/03	2012/09/27	50.00
60318	Credit Suisse AG – CCB R Bull CBBC Jun 2012 A	70,000,000	2012/02/03	2012/06/25	17.50
60319	Credit Suisse AG – HSBC R Bear CBBC May 2012 A	70,000,000	2012/02/03	2012/05/28	17.50
60325	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/02/03	2012/08/30	100.00
60324	Credit Suisse AG – HSI R Bull CBBC Sep 2012 K	400,000,000	2012/02/03	2012/09/27	100.00
60342	Credit Suisse AG – HSI R Bull CBBC Sep 2012 L	400,000,000	2012/02/03	2012/09/27	100.00
60323	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/02/03	2012/06/28	100.00
60320	Credit Suisse AG – Hutchison R Bull CBBC May 2012 C	70,000,000	2012/02/03	2012/05/28	17.50
60317	Credit Suisse AG – ICBC R Bull CBBC Jul 2012 B	70,000,000	2012/02/03	2012/07/16	17.50
60321	Credit Suisse AG – TCH R Bull CBBC Jun 2012 D	70,000,000	2012/02/03	2012/06/25	17.50
60315	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	128,000,000	2012/02/03	2012/06/28	32.00
60312	HK Bank – HSI R Bull CBBC Oct 2012 E	250,000,000	2012/02/03	2012/10/30	62.50
60311	HK Bank – HSI R Bear CBBC Oct 2012 D	250,000,000	2012/02/03	2012/10/30	62.50
60313	HK Bank – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/02/03	2012/12/28	75.00
60304	J P Morgan SP BV – HSI R Bull CBBC May 2012 S	200,000,000	2012/02/03	2012/05/30	50.00
60305	J P Morgan SP BV – HSI R Bull CBBC May 2012 T	200,000,000	2012/02/03	2012/05/30	50.00
60306	J P Morgan SP BV – HSI R Bull CBBC May 2012 U	200,000,000	2012/02/03	2012/05/30	50.00
60302	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 E	40,000,000	2012/02/03	2012/09/27	10.00
60307	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12U	200,000,000	2012/02/03	2012/05/30	50.00
60309	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12V	200,000,000	2012/02/03	2012/05/30	50.00
60343	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12W	200,000,000	2012/02/03	2012/05/30	50.00
60310	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Z	200,000,000	2012/02/03	2012/07/30	50.00
60340	UBS AG – HSBC R Bear CBBC Aug 2012 B	100,000,000	2012/02/03	2012/08/06	25.00
60336	UBS AG – HSI R Bull CBBC Jul 2012 B	300,000,000	2012/02/03	2012/07/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60337	UBS AG – HSI R Bull CBBC Aug 2012 Y	300,000,000	2012/02/03	2012/08/30	75.00
60338	UBS AG – HSI R Bull CBBC Oct 2012 D	300,000,000	2012/02/03	2012/10/30	75.00
60339	UBS AG – HSI R Bull CBBC Nov 2012 A	300,000,000	2012/02/03	2012/11/29	75.00
60314	UBS AG – HSI R Bear CBBC May 2012 Z	300,000,000	2012/02/03	2012/05/30	75.00
60334	UBS AG – HSI R Bear CBBC Jul 2012 S	300,000,000	2012/02/03	2012/07/30	79.50
60332	UBS AG – HSI R Bear CBBC Aug 2012 V	300,000,000	2012/02/03	2012/08/30	97.50
60333	UBS AG – HSI R Bear CBBC Aug 2012 W	300,000,000	2012/02/03	2012/08/30	91.50
60335	UBS AG – HSI R Bear CBBC Aug 2012 X	300,000,000	2012/02/03	2012/08/30	75.00
60328	UBS AG – HSI R Bear CBBC Sep 2012 O	300,000,000	2012/02/03	2012/09/27	112.50
60327	UBS AG – HSI R Bear CBBC Oct 2012 B	300,000,000	2012/02/03	2012/10/30	127.50
60331	UBS AG – HSI R Bear CBBC Oct 2012 C	300,000,000	2012/02/03	2012/10/30	106.50
60349	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 H	200,000,000	2012/02/06	2012/07/30	50.00
60350	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 I	200,000,000	2012/02/06	2012/07/30	50.00
60347	Credit Suisse AG – HSI R Bear CBBC May 2012 H	400,000,000	2012/02/06	2012/05/30	100.00
60348	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/02/06	2012/06/28	100.00
60344	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 S	128,000,000	2012/02/06	2012/06/28	32.00
60345	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	128,000,000	2012/02/06	2012/05/30	32.00
60369	UBS AG – BOCL R Bear CBBC Sep 2012 B	100,000,000	2012/02/06	2012/09/03	25.00
60367	UBS AG – CM Bank R Bull CBBC Jun 2012 A	50,000,000	2012/02/06	2012/06/11	18.25
60368	UBS AG – CM Bank R Bear CBBC Jun 2012 B	50,000,000	2012/02/06	2012/06/25	17.00
60364	UBS AG – CNOOC R Bear CBBC Jul 2012 D	100,000,000	2012/02/06	2012/07/09	29.00
60361	UBS AG – Sinopec Corp R Bear CBBC Jun 2012 F	100,000,000	2012/02/06	2012/06/28	25.00
60351	UBS AG – HSI R Bull CBBC Nov 2012 B	300,000,000	2012/02/06	2012/11/29	75.00
60355	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2012/02/06	2012/05/30	75.00
60354	UBS AG – HSI R Bear CBBC Sep 2012 P	300,000,000	2012/02/06	2012/09/27	112.50
60352	UBS AG – HSI R Bear CBBC Oct 2012 E	300,000,000	2012/02/06	2012/10/30	94.50
60353	UBS AG – HSI R Bear CBBC Oct 2012 F	300,000,000	2012/02/06	2012/10/30	103.50
60359	UBS AG – Jiangxi Copper R Bull CBBC Jul 2012 A	50,000,000	2012/02/06	2012/07/09	18.00
60360	UBS AG – Jiangxi Copper R Bear CBBC Jul 2012 B	50,000,000	2012/02/06	2012/07/23	19.75
60362	UBS AG – Li & Fung R Bull CBBC Jul 2012 A	50,000,000	2012/02/06	2012/07/09	15.75
60363	UBS AG – Li & Fung R Bear CBBC Jul 2012 B	50,000,000	2012/02/06	2012/07/23	17.00
60365	UBS AG – Sands China R Bull CBBC Jul 2012 A	50,000,000	2012/02/06	2012/07/16	25.00
60366	UBS AG – Sands China R Bear CBBC Jul 2012 B	50,000,000	2012/02/06	2012/07/30	25.50
60356	UBS AG – SHK Ppt R Bull CBBC Jul 2012 A	100,000,000	2012/02/06	2012/07/16	25.00
60357	UBS AG – SHK Ppt R Bear CBBC Aug 2012 A	100,000,000	2012/02/06	2012/08/20	25.00
60385	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 J	200,000,000	2012/02/07	2012/07/30	50.00
60381	Credit Suisse AG – HKEx R Bull CBBC Jun 2012 C	70,000,000	2012/02/07	2012/06/25	17.50
60383	Credit Suisse AG – HKEx R Bear CBBC Jul 2012 A	70,000,000	2012/02/07	2012/07/23	19.95
60378	Credit Suisse AG – TCH R Bull CBBC May 2012 F	70,000,000	2012/02/07	2012/05/28	17.50
60380	Credit Suisse AG – TCH R Bear CBBC Jun 2012 E	70,000,000	2012/02/07	2012/06/25	24.50
60379	Credit Suisse AG – TCH R Bear CBBC Jul 2012 A	70,000,000	2012/02/07	2012/07/23	19.60
60384	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 N	208,000,000	2012/02/07	2012/05/30	52.00
60377	HK Bank – China Life R Bull CBBC Sep 2012 A	80,000,000	2012/02/07	2012/09/28	25.60
60374	HK Bank – Sinopec Corp R Bull CBBC Dec 2012 A	80,000,000	2012/02/07	2012/12/12	20.00
60373	HK Bank – Sinopec Corp R Bear CBBC Aug 2012 A	60,000,000	2012/02/07	2012/08/28	15.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60376	HK Bank – PetCh R Bull CBBC Dec 2012 A	60,000,000	2012/02/07	2012/12/20	15.00
60375	HK Bank – PetCh R Bear CBBC Oct 2012 C	60,000,000	2012/02/07	2012/10/18	15.00
60372	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/02/07	2012/07/30	50.00
60386	UBS AG – Cheung Kong R Bull CBBC Jul 2012 A	100,000,000	2012/02/07	2012/07/09	25.00
60387	UBS AG – CNOOC R Bull CBBC Jun 2012 B	100,000,000	2012/02/07	2012/06/25	25.00
60388	UBS AG – HSCEI R Bull CBBC Oct 2012 A	100,000,000	2012/02/07	2012/10/30	25.00
60391	UBS AG – HSI R Bear CBBC Aug 2012 Z	300,000,000	2012/02/07	2012/08/30	112.50
60389	UBS AG – HSI R Bear CBBC Sep 2012 Q	300,000,000	2012/02/07	2012/09/27	91.50
60390	UBS AG – HSI R Bear CBBC Oct 2012 G	300,000,000	2012/02/07	2012/10/30	103.50
60392	UBS AG – Ping An R Bear CBBC Jun 2012 G	100,000,000	2012/02/07	2012/06/25	25.00
60419	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 K	200,000,000	2012/02/08	2012/07/30	50.00
60398	Credit Suisse AG – Sinopec Corp R Bull CBBC May 2012 B	50,000,000	2012/02/08	2012/05/21	12.50
60399	Credit Suisse AG – Sinopec Corp R Bear CBBC May 2012 C	50,000,000	2012/02/08	2012/05/21	12.50
60400	Credit Suisse AG – Sinopec Corp R Bear CBBC Jun 2012 C	50,000,000	2012/02/08	2012/06/25	12.50
60401	Credit Suisse AG – HSI R Bull CBBC May 2012 Q	400,000,000	2012/02/08	2012/05/30	100.00
60402	Credit Suisse AG – HSI R Bull CBBC Jul 2012 B	400,000,000	2012/02/08	2012/07/30	100.00
60403	Credit Suisse AG – HSI R Bull CBBC Aug 2012 C	400,000,000	2012/02/08	2012/08/30	100.00
60404	Credit Suisse AG – HSI R Bull CBBC Sep 2012 M	400,000,000	2012/02/08	2012/09/27	100.00
60405	Credit Suisse AG – HSI R Bull CBBC Oct 2012 G	400,000,000	2012/02/08	2012/10/30	100.00
60406	Credit Suisse AG – HSI R Bull CBBC Nov 2012 B	400,000,000	2012/02/08	2012/11/29	100.00
60407	Credit Suisse AG – HSI R Bull CBBC Nov 2012 C	400,000,000	2012/02/08	2012/11/29	100.00
60408	Credit Suisse AG – HSI R Bear CBBC May 2012 R	400,000,000	2012/02/08	2012/05/30	100.00
60409	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/02/08	2012/07/30	100.00
60410	Credit Suisse AG – HSI R Bear CBBC Aug 2012 D	400,000,000	2012/02/08	2012/08/30	100.00
60411	Credit Suisse AG – HSI R Bear CBBC Sep 2012 N	400,000,000	2012/02/08	2012/09/27	100.00
60412	Credit Suisse AG – HSI R Bear CBBC Oct 2012 H	400,000,000	2012/02/08	2012/10/30	100.00
60414	Credit Suisse AG – HSI R Bear CBBC Oct 2012 I	400,000,000	2012/02/08	2012/10/30	100.00
60413	Credit Suisse AG – HSI R Bear CBBC Nov 2012 D	400,000,000	2012/02/08	2012/11/29	100.00
60415	Credit Suisse AG – HSI R Bear CBBC Nov 2012 E	400,000,000	2012/02/08	2012/11/29	102.00
60416	Credit Suisse AG – TCH R Bear CBBC May 2012 G	70,000,000	2012/02/08	2012/05/28	17.50
60397	HK Bank – TCH R Bull CBBC Nov 2012 A	80,000,000	2012/02/08	2012/11/20	25.60
60396	HK Bank – TCH R Bear CBBC Oct 2012 A	80,000,000	2012/02/08	2012/10/29	26.40
60393	J P Morgan SP BV – HSI R Bear CBBC Jul 2012 A	200,000,000	2012/02/08	2012/07/30	50.00
60394	J P Morgan SP BV – HSI R Bear CBBC Jul 2012 B	200,000,000	2012/02/08	2012/07/30	50.00
60395	J P Morgan SP BV – HSI R Bear CBBC Jul 2012 C	200,000,000	2012/02/08	2012/07/30	50.00
60417	UBS AG – HSI R Bull CBBC Oct 2012 H	300,000,000	2012/02/08	2012/10/30	75.00
60418	UBS AG – HSI R Bull CBBC Oct 2012 I	300,000,000	2012/02/08	2012/10/30	75.00
60453	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/02/09	2012/09/27	50.00
60454	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 C	200,000,000	2012/02/09	2012/09/27	50.00
60455	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 D	200,000,000	2012/02/09	2012/09/27	50.00
60446	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2012/02/09	2012/05/30	100.00
60445	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2012/02/09	2012/05/30	100.00
60436	Credit Suisse AG – HSI R Bull CBBC Oct 2012 K	300,000,000	2012/02/09	2012/10/30	75.00
60437	Credit Suisse AG – HSI R Bull CBBC Nov 2012 G	300,000,000	2012/02/09	2012/11/29	75.00
60438	Credit Suisse AG – HSI R Bear CBBC May 2012 S	400,000,000	2012/02/09	2012/05/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60439	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/02/09	2012/06/28	100.00
60433	Credit Suisse AG – HSI R Bear CBBC Oct 2012 J	300,000,000	2012/02/09	2012/10/30	75.00
60434	Credit Suisse AG – HSI R Bear CBBC Nov 2012 F	300,000,000	2012/02/09	2012/11/29	75.00
60435	Credit Suisse AG – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/02/09	2012/12/28	75.00
60443	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 F	208,000,000	2012/02/09	2012/05/30	52.00
60424	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 A	208,000,000	2012/02/09	2012/05/30	52.00
60423	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 T	208,000,000	2012/02/09	2012/05/30	52.00
60426	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 T	208,000,000	2012/02/09	2012/06/28	52.00
60427	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 U	208,000,000	2012/02/09	2012/06/28	52.00
60428	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 V	208,000,000	2012/02/09	2012/06/28	52.00
60429	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 W	208,000,000	2012/02/09	2012/06/28	52.00
60430	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 C	208,000,000	2012/02/09	2012/07/30	52.00
60431	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 D	208,000,000	2012/02/09	2012/07/30	52.00
60432	HK Bank – BOCL R Bear CBBC Nov 2012 A	60,000,000	2012/02/09	2012/11/16	15.00
60442	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12I	200,000,000	2012/02/09	2012/05/30	50.00
60422	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/02/09	2012/07/30	50.00
60420	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/02/09	2012/07/30	50.00
60450	UBS AG – China Mobile R Bear CBBC Jun 2012 C	100,000,000	2012/02/09	2012/06/18	25.00
60452	UBS AG – COVS R Bear CBBC May 2012 C	100,000,000	2012/02/09	2012/05/21	29.50
60451	UBS AG – CC Bank R Bear CBBC Jul 2012 E	100,000,000	2012/02/09	2012/07/30	25.00
60448	UBS AG – HSCEI R Bear CBBC Jun 2012 C	100,000,000	2012/02/09	2012/06/28	25.00
60447	UBS AG – HSI R Bull CBBC Sep 2012 R	300,000,000	2012/02/09	2012/09/27	75.00
60441	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2012/02/09	2012/06/28	75.00
60440	UBS AG – HSI R Bear CBBC Jun 2012 V	300,000,000	2012/02/09	2012/06/28	75.00
60449	UBS AG – Ping An R Bear CBBC Jul 2012 D	100,000,000	2012/02/09	2012/07/09	25.00
60469	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 E	200,000,000	2012/02/10	2012/12/28	50.00
60470	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2012 F	200,000,000	2012/02/10	2012/12/28	50.00
60468	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2012 D	200,000,000	2012/02/10	2012/12/28	50.00
60472	Credit Suisse AG – HSI R Bull CBBC May 2012 C	400,000,000	2012/02/10	2012/05/30	100.00
60471	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/02/10	2012/05/30	100.00
60473	Credit Suisse AG – HSI R Bear CBBC Sep 2012 O	400,000,000	2012/02/10	2012/09/27	130.00
60475	Credit Suisse AG – HSI R Bear CBBC Oct 2012 L	400,000,000	2012/02/10	2012/10/30	112.00
60476	Credit Suisse AG – HSI R Bear CBBC Nov 2012 H	400,000,000	2012/02/10	2012/11/29	148.00
60477	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 E	208,000,000	2012/02/10	2012/07/30	52.00
60478	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 F	208,000,000	2012/02/10	2012/07/30	52.00
60479	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 G	208,000,000	2012/02/10	2012/07/30	60.32
60480	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 C	208,000,000	2012/02/10	2012/08/30	62.40
60481	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 D	208,000,000	2012/02/10	2012/08/30	52.00
60463	HK Bank – HSI R Bull CBBC Sep 2012 D	250,000,000	2012/02/10	2012/09/27	62.50
60464	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 D	200,000,000	2012/02/10	2012/07/30	50.00
60465	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 E	200,000,000	2012/02/10	2012/07/30	50.00
60466	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 F	200,000,000	2012/02/10	2012/07/30	50.00
60467	J P Morgan SP BV – HSI R Bull CBBC Jul 2012 G	200,000,000	2012/02/10	2012/07/30	50.00
60456	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2012 F	40,000,000	2012/02/10	2012/09/27	10.00
60457	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12J	200,000,000	2012/02/10	2012/05/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60461	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12A	200,000,000	2012/02/10	2012/09/27	93.00
60462	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12B	200,000,000	2012/02/10	2012/09/27	99.00
60483	UBS AG – CNOOC R Bull CBBC Jul 2012 E	100,000,000	2012/02/10	2012/07/09	25.00
60488	UBS AG – CNOOC R Bear CBBC Jul 2012 F	100,000,000	2012/02/10	2012/07/23	29.50
60485	UBS AG – A50 China R Bull CBBC Jul 2012 C	100,000,000	2012/02/10	2012/07/09	25.00
60490	UBS AG – HSBC R Bear CBBC Aug 2012 C	100,000,000	2012/02/10	2012/08/27	25.00
60486	UBS AG – HSI R Bull CBBC Aug 2012 D	300,000,000	2012/02/10	2012/08/30	75.00
60487	UBS AG – HSI R Bull CBBC Oct 2012 J	300,000,000	2012/02/10	2012/10/30	75.00
60482	UBS AG – Hutchison R Bull CBBC Jul 2012 D	100,000,000	2012/02/10	2012/07/23	25.00
60489	UBS AG – Hutchison R Bear CBBC Jul 2012 E	100,000,000	2012/02/10	2012/07/09	25.00
60484	UBS AG – Ping An R Bull CBBC Jun 2012 H	100,000,000	2012/02/10	2012/06/25	25.00
65576#	HK Bank – HSI R Bear CBBC Apr 2012 A	250,000,000	2012/02/10	2012/04/27	41.00
60514	Credit Suisse AG – Sinopec Corp R Bull CBBC Jul 2012 B	50,000,000	2012/02/13	2012/07/23	12.50
60515	Credit Suisse AG – HSBC R Bear CBBC Jul 2012 A	50,000,000	2012/02/13	2012/07/23	12.50
60516	Credit Suisse AG – HSI R Bull CBBC May 2012 H	400,000,000	2012/02/13	2012/05/30	100.00
60517	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2012/02/13	2012/06/28	100.00
60509	Credit Suisse AG – HSI R Bear CBBC May 2012 O	400,000,000	2012/02/13	2012/05/30	100.00
60510	Credit Suisse AG – HSI R Bear CBBC Jul 2012 F	400,000,000	2012/02/13	2012/07/30	100.00
60511	Credit Suisse AG – Hutchison R Bull CBBC Jul 2012 A	70,000,000	2012/02/13	2012/07/23	17.50
60513	Credit Suisse AG – Hutchison R Bear CBBC Jul 2012 B	70,000,000	2012/02/13	2012/07/23	17.50
60522	Daiwa Capital Mkt – HSCEI R Bull CBBC Aug 2012 A	80,000,000	2012/02/13	2012/08/30	20.00
60520	Daiwa Capital Mkt – HSCEI R Bear CBBC Jun 2012 C	80,000,000	2012/02/13	2012/06/28	20.00
60504	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 B	208,000,000	2012/02/13	2012/05/30	52.00
60505	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	208,000,000	2012/02/13	2012/05/30	52.00
60506	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/02/13	2012/06/28	52.00
60491	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 A	40,000,000	2012/02/13	2012/10/30	10.00
60493	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 B	40,000,000	2012/02/13	2012/10/30	10.40
60494	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 C	40,000,000	2012/02/13	2012/10/30	10.60
60495	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 D	40,000,000	2012/02/13	2012/10/30	10.80
60496	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 E	40,000,000	2012/02/13	2012/10/30	11.00
60497	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 F	40,000,000	2012/02/13	2012/10/30	11.20
60498	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 G	40,000,000	2012/02/13	2012/10/30	11.40
60518	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12K	200,000,000	2012/02/13	2012/05/30	50.00
60499	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/02/13	2012/07/30	50.00
60500	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12E	200,000,000	2012/02/13	2012/07/30	50.00
60501	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12W	200,000,000	2012/02/13	2012/07/30	50.00
60502	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12C	200,000,000	2012/02/13	2012/09/27	104.00
60503	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12D	200,000,000	2012/02/13	2012/09/27	108.00
60527	UBS AG – China Life R Bear CBBC Aug 2012 E	100,000,000	2012/02/13	2012/08/20	46.50
60526	UBS AG – A50 China R Bear CBBC Aug 2012 B	100,000,000	2012/02/13	2012/08/06	25.00
60519	UBS AG – HSI R Bull CBBC Aug 2012 E	300,000,000	2012/02/13	2012/08/30	75.00
60507	UBS AG – HSI R Bear CBBC May 2012 G	300,000,000	2012/02/13	2012/05/30	75.00
60508	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2012/02/13	2012/05/30	75.00
60525	UBS AG – HSI R Bear CBBC Sep 2012 S	300,000,000	2012/02/13	2012/09/27	111.00
60524	UBS AG – HSI R Bear CBBC Nov 2012 C	300,000,000	2012/02/13	2012/11/29	135.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60523	UBS AG – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/02/13	2012/12/28	75.00
60533	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/02/14	2012/06/28	100.00
60535	Credit Suisse AG – HSI R Bear CBBC Jun 2012 W	400,000,000	2012/02/14	2012/06/28	100.00
60538	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Y	208,000,000	2012/02/14	2012/06/28	52.00
60528	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 A	200,000,000	2012/02/14	2012/08/30	50.00
60529	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 B	200,000,000	2012/02/14	2012/08/30	50.00
60530	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 C	200,000,000	2012/02/14	2012/08/30	50.00
60531	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 D	200,000,000	2012/02/14	2012/08/30	50.00
60532	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 E	200,000,000	2012/02/14	2012/08/30	50.00
60534	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/02/14	2012/07/30	50.00
60542	UBS AG – HKEx R Bear CBBC Jun 2012 H	100,000,000	2012/02/14	2012/06/25	25.00
60541	UBS AG – HSBC R Bull CBBC Jul 2012 B	100,000,000	2012/02/14	2012/07/13	25.00
60540	UBS AG – HSI R Bull CBBC Oct 2012 K	300,000,000	2012/02/14	2012/10/30	75.00
60539	UBS AG – HSI R Bull CBBC Nov 2012 D	300,000,000	2012/02/14	2012/11/29	75.00
60536	UBS AG – HSI R Bear CBBC Jul 2012 I	300,000,000	2012/02/14	2012/07/30	75.00
60537	UBS AG – HSI R Bear CBBC Jul 2012 Z	300,000,000	2012/02/14	2012/07/30	75.00
60568	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 M	200,000,000	2012/02/15	2012/09/27	50.00
60569	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 N	200,000,000	2012/02/15	2012/09/27	50.00
60572	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 O	200,000,000	2012/02/15	2012/09/27	50.00
60558	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 E	200,000,000	2012/02/15	2012/09/27	50.00
60561	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 F	200,000,000	2012/02/15	2012/09/27	50.00
60562	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 G	200,000,000	2012/02/15	2012/09/27	50.00
60563	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 H	200,000,000	2012/02/15	2012/09/27	50.00
60564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 I	200,000,000	2012/02/15	2012/09/27	50.00
60565	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 J	200,000,000	2012/02/15	2012/09/27	50.00
60566	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 K	200,000,000	2012/02/15	2012/09/27	50.00
60567	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 L	200,000,000	2012/02/15	2012/09/27	50.00
60573	Credit Suisse AG – HSI R Bull CBBC May 2012 R	400,000,000	2012/02/15	2012/05/30	100.00
60574	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/02/15	2012/07/30	100.00
60555	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Z	208,000,000	2012/02/15	2012/06/28	52.00
60556	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 H	208,000,000	2012/02/15	2012/07/30	52.00
60557	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 I	208,000,000	2012/02/15	2012/07/30	66.56
60548	HK Bank – CC Bank R Bear CBBC Sep 2012 A	80,000,000	2012/02/15	2012/09/18	20.00
60549	HK Bank – CC Bank R Bear CBBC Oct 2012 B	80,000,000	2012/02/15	2012/10/16	20.00
60552	HK Bank – CNOOC R Bull CBBC Nov 2012 A	80,000,000	2012/02/15	2012/11/16	28.00
60550	HK Bank – HKEx R Bull CBBC Oct 2012 A	80,000,000	2012/02/15	2012/10/26	24.00
60553	HK Bank – HSI R Bull CBBC Nov 2012 A	250,000,000	2012/02/15	2012/11/29	62.50
60551	HK Bank – Hutchison R Bull CBBC Nov 2012 A	80,000,000	2012/02/15	2012/11/16	20.00
60543	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 A	150,000,000	2012/02/15	2012/11/29	37.50
60544	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 B	150,000,000	2012/02/15	2012/11/29	37.50
60545	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 C	150,000,000	2012/02/15	2012/11/29	37.50
60546	CC Rabobank B.A. – HSI R Bull CBBC Nov 2012 D	150,000,000	2012/02/15	2012/11/29	37.50
60547	CC Rabobank B.A. – HSI R Bear CBBC Nov 2012 E	150,000,000	2012/02/15	2012/11/29	37.50
60583	UBS AG – CNOOC R Bear CBBC Aug 2012 A	100,000,000	2012/02/15	2012/08/13	36.00
60585	UBS AG – Sinopec Corp R Bull CBBC Jul 2012 C	100,000,000	2012/02/15	2012/07/09	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60584	UBS AG – Sinopec Corp R Bear CBBC Jul 2012 B	100,000,000	2012/02/15	2012/07/16	25.00
60586	UBS AG – HSBC R Bear CBBC Jul 2012 C	100,000,000	2012/02/15	2012/07/23	25.00
60582	UBS AG – HSCEI R Bear CBBC Jul 2012 C	100,000,000	2012/02/15	2012/07/30	25.00
60580	UBS AG – HSI R Bull CBBC Sep 2012 T	300,000,000	2012/02/15	2012/09/27	75.00
60581	UBS AG – HSI R Bull CBBC Nov 2012 F	300,000,000	2012/02/15	2012/11/29	75.00
60554	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2012/02/15	2012/06/28	75.00
60579	UBS AG – HSI R Bear CBBC Jul 2012 M	300,000,000	2012/02/15	2012/07/30	75.00
60578	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/02/15	2012/08/30	75.00
60577	UBS AG – HSI R Bear CBBC Oct 2012 L	300,000,000	2012/02/15	2012/10/30	99.00
60576	UBS AG – HSI R Bear CBBC Nov 2012 E	300,000,000	2012/02/15	2012/11/27	108.00
60575	UBS AG – HSI R Bear CBBC Dec 2012 B	300,000,000	2012/02/15	2012/12/28	75.00
60594	Credit Suisse AG – HKEx R Bull CBBC Jul 2012 B	70,000,000	2012/02/16	2012/07/23	17.50
60591	Credit Suisse AG – HSI R Bull CBBC May 2012 S	400,000,000	2012/02/16	2012/05/30	100.00
60592	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/02/16	2012/06/28	100.00
60599	Credit Suisse AG – TCH R Bear CBBC Jul 2012 B	70,000,000	2012/02/16	2012/07/23	17.50
60587	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 H	40,000,000	2012/02/16	2012/10/30	10.00
60590	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12A	200,000,000	2012/02/16	2012/07/30	50.00
60589	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12Z	200,000,000	2012/02/16	2012/07/30	50.00
60588	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12N	200,000,000	2012/02/16	2012/05/30	50.00
60605	UBS AG – COVS R Bull CBBC Jul 2012 C	100,000,000	2012/02/16	2012/07/23	26.50
60604	UBS AG – COVS R Bear CBBC Jul 2012 B	100,000,000	2012/02/16	2012/07/16	34.00
60606	UBS AG – HKEx R Bull CBBC Jul 2012 C	100,000,000	2012/02/16	2012/07/16	25.00
60593	UBS AG – HSI R Bull CBBC Oct 2012 M	300,000,000	2012/02/16	2012/10/30	75.00
60608	UBS AG – HSI R Bear CBBC Oct 2012 N	300,000,000	2012/02/16	2012/10/30	105.00
60602	UBS AG – Jiangxi Copper R Bull CBBC Jul 2012 C	100,000,000	2012/02/16	2012/07/16	36.50
60600	UBS AG – Jiangxi Copper R Bear CBBC Aug 2012 A	100,000,000	2012/02/16	2012/08/06	59.00
60615	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 P	200,000,000	2012/02/17	2012/09/27	50.00
60616	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Q	200,000,000	2012/02/17	2012/09/27	50.00
60617	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2012/02/17	2012/05/30	100.00
60618	Credit Suisse AG – HSI R Bear CBBC May 2012 D	400,000,000	2012/02/17	2012/05/30	100.00
60611	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 A	208,000,000	2012/02/17	2012/05/30	52.00
60609	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 A	100,000,000	2012/02/17	2012/08/30	25.10
60610	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12B	200,000,000	2012/02/17	2012/07/30	50.00
60614	UBS AG – HKEx R Bear CBBC Jul 2012 D	100,000,000	2012/02/17	2012/07/09	25.00
60613	UBS AG – HSI R Bull CBBC Oct 2012 O	300,000,000	2012/02/17	2012/10/30	75.00
60612	UBS AG – HSI R Bull CBBC Nov 2012 G	300,000,000	2012/02/17	2012/11/29	75.00
60625	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2012/02/20	2012/05/30	100.00
60626	Credit Suisse AG – HSI R Bull CBBC May 2012 O	400,000,000	2012/02/20	2012/05/30	100.00
60622	Credit Suisse AG – HSI R Bear CBBC May 2012 H	400,000,000	2012/02/20	2012/05/30	100.00
60619	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 T	208,000,000	2012/02/20	2012/05/30	52.00
60624	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12J	200,000,000	2012/02/20	2012/05/30	50.00
60620	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/02/20	2012/07/30	50.00
60628	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/02/20	2012/07/30	50.00
60627	UBS AG – HSI R Bull CBBC Sep 2012 U	300,000,000	2012/02/20	2012/09/27	75.00
60621	UBS AG – HSI R Bear CBBC May 2012 E	300,000,000	2012/02/20	2012/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60629	UBS AG – HSI R Bear CBBC May 2012 F	300,000,000	2012/02/20	2012/05/30	75.00
60635	HK Bank – HSI R Bull CBBC Nov 2012 C	250,000,000	2012/02/21	2012/11/29	62.50
60636	HK Bank – HSI R Bull CBBC Nov 2012 D	250,000,000	2012/02/21	2012/11/29	62.50
60637	HK Bank – HSI R Bull CBBC Dec 2012 B	250,000,000	2012/02/21	2012/12/28	62.50
60638	HK Bank – HSI R Bull CBBC Dec 2012 C	250,000,000	2012/02/21	2012/12/28	62.50
60632	HK Bank – HSI R Bear CBBC Nov 2012 B	300,000,000	2012/02/21	2012/11/29	75.00
60642	UBS AG – Cheung Kong R Bear CBBC Jul 2012 B	100,000,000	2012/02/21	2012/07/16	25.00
60631	UBS AG – HSI R Bull CBBC Oct 2012 P	300,000,000	2012/02/21	2012/10/30	75.00
60639	UBS AG – HSI R Bear CBBC Jun 2012 X	300,000,000	2012/02/21	2012/06/28	75.00
60630	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2012/02/21	2012/07/30	75.00
60640	UBS AG – Sands China R Bull CBBC Jun 2012 A	50,000,000	2012/02/21	2012/06/25	21.25
60641	UBS AG – SHK Ppt R Bear CBBC Jul 2012 B	100,000,000	2012/02/21	2012/07/16	25.00
65611 [#]	HK Bank – HSI R Bear CBBC Apr 2012 B	250,000,000	2012/02/21	2012/04/27	23.25
60704	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 V	200,000,000	2012/02/22	2012/09/27	50.00
60705	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 W	200,000,000	2012/02/22	2012/09/27	50.00
60707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 X	200,000,000	2012/02/22	2012/09/27	50.00
60708	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 Y	200,000,000	2012/02/22	2012/09/27	50.00
60700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 R	200,000,000	2012/02/22	2012/09/27	50.00
60701	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 S	200,000,000	2012/02/22	2012/09/27	50.00
60702	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 T	200,000,000	2012/02/22	2012/09/27	50.00
60703	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 U	200,000,000	2012/02/22	2012/09/27	50.00
60655	Credit Suisse AG – HKEx R Bear CBBC Jul 2012 D	60,000,000	2012/02/22	2012/07/30	15.00
60656	Credit Suisse AG – HKEx R Bear CBBC Aug 2012 A	60,000,000	2012/02/22	2012/08/13	17.10
60693	Credit Suisse AG – HSI R Bull CBBC Jun 2012 W	400,000,000	2012/02/22	2012/06/28	100.00
60694	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2012/02/22	2012/07/30	100.00
60698	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/02/22	2012/07/30	100.00
60696	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/02/22	2012/08/30	100.00
60650	Credit Suisse AG – HSI R Bear CBBC May 2012 R	400,000,000	2012/02/22	2012/05/30	100.00
60652	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/02/22	2012/06/28	100.00
60651	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/02/22	2012/06/28	100.00
60699	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/02/22	2012/07/30	100.00
60657	Credit Suisse AG – HSI R Bear CBBC Oct 2012 M	400,000,000	2012/02/22	2012/10/30	114.00
60663	Credit Suisse AG – HSI R Bear CBBC Oct 2012 N	400,000,000	2012/02/22	2012/10/30	130.00
60660	Credit Suisse AG – HSI R Bear CBBC Nov 2012 I	400,000,000	2012/02/22	2012/11/29	118.00
60664	Credit Suisse AG – HSI R Bear CBBC Nov 2012 J	400,000,000	2012/02/22	2012/11/29	134.00
60666	Credit Suisse AG – HSI R Bear CBBC Nov 2012 K	400,000,000	2012/02/22	2012/11/29	142.00
60661	Credit Suisse AG – HSI R Bear CBBC Dec 2012 B	400,000,000	2012/02/22	2012/12/28	106.00
60665	Credit Suisse AG – HSI R Bear CBBC Dec 2012 C	400,000,000	2012/02/22	2012/12/28	116.00
60653	Credit Suisse AG – TCH R Bull CBBC Jul 2012 C	60,000,000	2012/02/22	2012/07/30	15.00
60710	Citigroup Global Mkt H Inc. – HSI R Bull CBBC May 2012 D	150,000,000	2012/02/22	2012/05/30	37.50
60709	Citigroup Global Mkt H Inc. – HSI R Bear CBBC May 2012 C	150,000,000	2012/02/22	2012/05/30	37.50
60682	Daiwa Capital Mkt – A50 China R Bull CBBC Jul 2012 A	80,000,000	2012/02/22	2012/07/03	20.00
60683	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2012 D	98,000,000	2012/02/22	2012/06/28	24.50
60692	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 B	208,000,000	2012/02/22	2012/06/28	52.00
60691	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 C	208,000,000	2012/02/22	2012/06/28	52.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60685	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 D	208,000,000	2012/02/22	2012/06/28	52.00
60684	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 J	208,000,000	2012/02/22	2012/07/30	52.00
60647	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 B	208,000,000	2012/02/22	2012/05/30	52.00
60646	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 F	208,000,000	2012/02/22	2012/05/30	52.00
60671	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 L	208,000,000	2012/02/22	2012/05/30	52.00
60675	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 G	200,000,000	2012/02/22	2012/08/30	50.00
60678	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 H	200,000,000	2012/02/22	2012/08/30	50.00
60679	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 I	200,000,000	2012/02/22	2012/08/30	50.00
60680	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 J	200,000,000	2012/02/22	2012/08/30	50.00
60674	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 F	200,000,000	2012/02/22	2012/08/30	50.00
60668	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12K	200,000,000	2012/02/22	2012/05/30	50.00
60669	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12E	200,000,000	2012/02/22	2012/07/30	50.00
60670	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12X	200,000,000	2012/02/22	2012/07/30	50.00
60645	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/02/22	2012/07/30	50.00
60643	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/02/22	2012/07/30	50.00
60644	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/02/22	2012/07/30	50.00
60673	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12J	200,000,000	2012/02/22	2012/07/30	50.00
60681	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12E	200,000,000	2012/02/22	2012/09/27	50.00
60716	UBS AG – China Mobile R Bull CBBC Jul 2012 A	100,000,000	2012/02/22	2012/07/09	25.00
60715	UBS AG – China Life R Bull CBBC Aug 2012 F	100,000,000	2012/02/22	2012/08/06	25.00
60717	UBS AG – CNOOC R Bull CBBC Jul 2012 G	100,000,000	2012/02/22	2012/07/23	25.00
60721	UBS AG – HKEx R Bull CBBC Sep 2012 A	100,000,000	2012/02/22	2012/09/10	25.00
60719	UBS AG – HKEx R Bear CBBC Jul 2012 E	100,000,000	2012/02/22	2012/07/30	34.00
60714	UBS AG – HSI R Bull CBBC Sep 2012 V	300,000,000	2012/02/22	2012/09/27	75.00
60713	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/02/22	2012/10/30	75.00
60649	UBS AG – HSI R Bear CBBC May 2012 Z	300,000,000	2012/02/22	2012/05/30	75.00
60648	UBS AG – HSI R Bear CBBC Jun 2012 N	300,000,000	2012/02/22	2012/06/28	75.00
60672	UBS AG – HSI R Bear CBBC Jun 2012 S	300,000,000	2012/02/22	2012/06/28	75.00
60718	UBS AG – TCH R Bear CBBC Jul 2012 F	100,000,000	2012/02/22	2012/07/23	44.50
60745	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/02/23	2012/09/27	50.00
60744	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Z	200,000,000	2012/02/23	2012/09/27	50.00
60729	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Z	400,000,000	2012/02/23	2012/07/30	100.00
60740	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/02/23	2012/07/30	100.00
60738	Credit Suisse AG – TCH R Bull CBBC Jul 2012 E	70,000,000	2012/02/23	2012/07/30	17.50
60739	Credit Suisse AG – TCH R Bull CBBC Jul 2012 F	70,000,000	2012/02/23	2012/07/30	17.50
60737	Credit Suisse AG – TCH R Bear CBBC Jul 2012 D	70,000,000	2012/02/23	2012/07/30	21.35
60732	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 R	208,000,000	2012/02/23	2012/05/30	52.00
60741	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 E	208,000,000	2012/02/23	2012/06/28	52.00
60733	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 K	208,000,000	2012/02/23	2012/07/30	52.00
60734	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 L	208,000,000	2012/02/23	2012/07/30	52.00
60735	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 M	208,000,000	2012/02/23	2012/07/30	52.00
60736	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 E	208,000,000	2012/02/23	2012/08/30	52.00
60723	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 B	120,000,000	2012/02/23	2012/08/30	30.24
60727	HK Bank – HSI R Bull CBBC Dec 2012 F	250,000,000	2012/02/23	2012/12/28	62.50
60728	HK Bank – HSI R Bull CBBC Dec 2012 G	250,000,000	2012/02/23	2012/12/28	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60724	HK Bank – HSI R Bear CBBC Nov 2012 E	300,000,000	2012/02/23	2012/11/29	75.00
60725	HK Bank – HSI R Bear CBBC Dec 2012 D	300,000,000	2012/02/23	2012/12/28	75.00
60726	HK Bank – HSI R Bear CBBC Dec 2012 E	300,000,000	2012/02/23	2012/12/28	75.00
60722	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 I	40,000,000	2012/02/23	2012/10/30	10.00
60731	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12K	200,000,000	2012/02/23	2012/07/30	50.00
60730	UBS AG – HSI R Bull CBBC Nov 2012 I	300,000,000	2012/02/23	2012/11/29	75.00
60742	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2012/02/23	2012/05/30	75.00
60743	UBS AG – TCH R Bull CBBC Jun 2012 J	100,000,000	2012/02/23	2012/06/25	25.00
60781	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2012 P	200,000,000	2012/02/24	2012/09/27	50.00
60780	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 M	200,000,000	2012/02/24	2012/09/27	50.00
60765	Credit Suisse AG – China Life R Bull CBBC Jul 2012 A	60,000,000	2012/02/24	2012/07/30	15.00
60767	Credit Suisse AG – HKEx R Bear CBBC Jul 2012 E	60,000,000	2012/02/24	2012/07/30	15.00
60760	Credit Suisse AG – HSI R Bull CBBC May 2012 D	400,000,000	2012/02/24	2012/05/30	100.00
60777	Credit Suisse AG – HSI R Bull CBBC Jun 2012 B	400,000,000	2012/02/24	2012/06/28	100.00
60778	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/02/24	2012/07/30	100.00
60756	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/02/24	2012/08/30	100.00
60758	Credit Suisse AG – HSI R Bull CBBC Aug 2012 V	400,000,000	2012/02/24	2012/08/30	100.00
60754	Credit Suisse AG – HSI R Bull CBBC Sep 2012 P	400,000,000	2012/02/24	2012/09/27	100.00
60761	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/02/24	2012/06/28	100.00
60762	Credit Suisse AG – HSI R Bear CBBC Jul 2012 G	400,000,000	2012/02/24	2012/07/30	100.00
60763	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Z	400,000,000	2012/02/24	2012/08/30	100.00
60764	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Q	400,000,000	2012/02/24	2012/09/27	100.00
60766	Credit Suisse AG – TCH R Bear CBBC Jul 2012 G	60,000,000	2012/02/24	2012/07/30	15.00
60779	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 S	208,000,000	2012/02/24	2012/05/30	52.00
60772	HK Bank – A50 R Bull CBBC Nov 2012 A	100,000,000	2012/02/24	2012/11/16	25.00
60771	HK Bank – HKEx R Bull CBBC Nov 2012 B	80,000,000	2012/02/24	2012/11/16	20.80
60773	HK Bank – HKEx R Bear CBBC Nov 2012 C	80,000,000	2012/02/24	2012/11/28	21.60
60774	HK Bank – HSI R Bear CBBC May 2013 C	300,000,000	2012/02/24	2013/05/30	75.00
60770	HK Bank – TCH R Bull CBBC Nov 2012 B	80,000,000	2012/02/24	2012/11/16	25.60
60769	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 20N	200,000,000	2012/02/24	2012/05/30	50.00
60746	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 20A	200,000,000	2012/02/24	2012/10/30	53.00
60747	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 20B	200,000,000	2012/02/24	2012/10/30	50.00
60751	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 20F	200,000,000	2012/02/24	2012/09/27	66.00
60752	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 20G	200,000,000	2012/02/24	2012/09/27	56.00
60748	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 20C	200,000,000	2012/02/24	2012/10/30	50.00
60749	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 20D	200,000,000	2012/02/24	2012/10/30	52.00
60750	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 20E	200,000,000	2012/02/24	2012/10/30	60.00
60776	UBS AG – HSI R Bull CBBC Sep 2012 W	300,000,000	2012/02/24	2012/09/27	75.00
60775	UBS AG – HSI R Bull CBBC Oct 2012 S	300,000,000	2012/02/24	2012/10/30	75.00
60768	UBS AG – HSI R Bear CBBC Jul 2012 F	300,000,000	2012/02/24	2012/07/30	75.00
60817	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 B	200,000,000	2012/02/27	2012/12/28	50.00
60816	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2012 A	200,000,000	2012/02/27	2012/12/28	50.00
60814	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Q	200,000,000	2012/02/27	2012/09/27	50.00
60811	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 A	200,000,000	2012/02/27	2012/10/30	50.00
60812	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 B	200,000,000	2012/02/27	2012/10/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60813	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 C	200,000,000	2012/02/27	2012/10/30	50.00
60815	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 D	200,000,000	2012/02/27	2012/10/30	50.00
60801	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/02/27	2012/07/30	100.00
60803	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Q	400,000,000	2012/02/27	2012/07/30	100.00
60802	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2012/02/27	2012/08/30	100.00
60796	Credit Suisse AG – HSI R Bear CBBC May 2012 H	400,000,000	2012/02/27	2012/05/30	100.00
60797	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/02/27	2012/07/30	100.00
60804	Credit Suisse AG – HSI R Bear CBBC Oct 2012 O	400,000,000	2012/02/27	2012/10/30	134.00
60807	Credit Suisse AG – HSI R Bear CBBC Oct 2012 P	400,000,000	2012/02/27	2012/10/30	100.00
60805	Credit Suisse AG – HSI R Bear CBBC Nov 2012 L	400,000,000	2012/02/27	2012/11/29	142.00
60808	Credit Suisse AG – HSI R Bear CBBC Nov 2012 M	400,000,000	2012/02/27	2012/11/29	100.00
60806	Credit Suisse AG – HSI R Bear CBBC Dec 2012 D	400,000,000	2012/02/27	2012/12/28	154.00
60800	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 T	208,000,000	2012/02/27	2012/05/30	52.00
60795	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 Q	208,000,000	2012/02/27	2012/05/30	52.00
60794	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 U	208,000,000	2012/02/27	2012/05/30	52.00
60782	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 J	40,000,000	2012/02/27	2012/10/30	10.00
60799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12 I	200,000,000	2012/02/27	2012/07/30	50.00
60798	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12 W	200,000,000	2012/02/27	2012/07/30	50.00
60791	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul12 L	200,000,000	2012/02/27	2012/07/30	50.00
60792	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul12 M	200,000,000	2012/02/27	2012/07/30	50.00
60783	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 H	200,000,000	2012/02/27	2012/09/27	104.00
60784	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 I	200,000,000	2012/02/27	2012/09/27	99.00
60785	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 J	200,000,000	2012/02/27	2012/09/27	94.00
60786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 K	200,000,000	2012/02/27	2012/09/27	86.00
60787	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 L	200,000,000	2012/02/27	2012/09/27	81.00
60789	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 M	200,000,000	2012/02/27	2012/09/27	75.00
60790	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12 N	200,000,000	2012/02/27	2012/09/27	69.00
60809	UBS AG – HSI R Bull CBBC Oct 2012 T	300,000,000	2012/02/27	2012/10/30	75.00
60810	UBS AG – HSI R Bull CBBC Nov 2012 J	300,000,000	2012/02/27	2012/11/29	75.00
60793	UBS AG – HSI R Bear CBBC May 2012 I	300,000,000	2012/02/27	2012/05/30	75.00
60822	Credit Suisse AG – HSI R Bull CBBC Sep 2012 R	400,000,000	2012/02/28	2012/09/27	100.00
60829	Credit Suisse AG – HSI R Bull CBBC Nov 2012 O	400,000,000	2012/02/28	2012/11/29	100.00
60830	Credit Suisse AG – HSI R Bull CBBC Dec 2012 G	400,000,000	2012/02/28	2012/12/28	100.00
60831	Credit Suisse AG – HSI R Bull CBBC Dec 2012 H	400,000,000	2012/02/28	2012/12/28	100.00
60824	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/02/28	2012/08/30	100.00
60823	Credit Suisse AG – HSI R Bear CBBC Sep 2012 S	400,000,000	2012/02/28	2012/09/27	100.00
60827	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Q	400,000,000	2012/02/28	2012/10/30	100.00
60828	Credit Suisse AG – HSI R Bear CBBC Nov 2012 N	400,000,000	2012/02/28	2012/11/29	100.00
60825	Credit Suisse AG – HSI R Bear CBBC Dec 2012 E	400,000,000	2012/02/28	2012/12/28	100.00
60826	Credit Suisse AG – HSI R Bear CBBC Dec 2012 F	400,000,000	2012/02/28	2012/12/28	100.00
60836	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/02/28	2012/06/28	52.00
60837	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 N	208,000,000	2012/02/28	2012/07/30	52.00
60838	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 O	208,000,000	2012/02/28	2012/07/30	52.00
60839	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 F	208,000,000	2012/02/28	2012/08/30	52.00
60840	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 G	208,000,000	2012/02/28	2012/08/30	52.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60821	HK Bank – China Life R Bull CBBC Oct 2012 B	80,000,000	2012/02/28	2012/10/18	32.00
60820	HK Bank – CNOOC R Bear CBBC Nov 2012 B	80,000,000	2012/02/28	2012/11/16	24.00
60819	HK Bank – TCH R Bear CBBC Dec 2012 A	80,000,000	2012/02/28	2012/12/18	32.80
60843	UBS AG – Sinopec Corp R Bear CBBC Jun 2012 G	100,000,000	2012/02/28	2012/06/18	25.00
60835	UBS AG – HSI R Bull CBBC Sep 2012 X	300,000,000	2012/02/28	2012/09/27	75.00
60832	UBS AG – HSI R Bull CBBC Nov 2012 K	300,000,000	2012/02/28	2012/11/29	75.00
60833	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/02/28	2012/06/28	75.00
60834	UBS AG – HSI R Bear CBBC Oct 2012 U	300,000,000	2012/02/28	2012/10/30	75.00
60841	UBS AG – Hutchison R Bull CBBC Jul 2012 F	100,000,000	2012/02/28	2012/07/09	25.00
60842	UBS AG – TCH R Bear CBBC Jun 2012 K	100,000,000	2012/02/28	2012/06/18	25.00
60217 #	HK Bank – HSI R Bear CBBC Aug 2012 K	250,000,000	2012/02/28	2012/08/30	36.25
60860	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 A	200,000,000	2012/02/29	2012/12/28	50.00
60857	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 E	200,000,000	2012/02/29	2012/10/30	66.00
60859	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 F	200,000,000	2012/02/29	2012/10/30	60.00
60846	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2012/02/29	2012/06/28	100.00
60845	Credit Suisse AG – HSI R Bear CBBC Jun 2012 W	400,000,000	2012/02/29	2012/06/28	100.00
60844	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 H	208,000,000	2012/02/29	2012/06/28	52.00
60853	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 J	208,000,000	2012/02/29	2012/06/28	52.00
60854	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 H	208,000,000	2012/02/29	2012/08/30	52.00
60855	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 A	208,000,000	2012/02/29	2012/09/27	52.00
60847	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2012 A	150,000,000	2012/02/29	2012/05/30	37.80
60848	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2012 B	150,000,000	2012/02/29	2012/05/30	38.10
60849	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/02/29	2012/07/30	50.00
60863	UBS AG – HSI R Bull CBBC Nov 2012 L	300,000,000	2012/02/29	2012/11/29	75.00
60850	UBS AG – HSI R Bear CBBC May 2012 J	300,000,000	2012/02/29	2012/05/30	75.00
60862	UBS AG – Ping An R Bull CBBC Jul 2012 E	100,000,000	2012/02/29	2012/07/23	25.00
60865	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/03/01	2012/06/28	100.00
60866	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	208,000,000	2012/03/01	2012/06/28	52.00
60864	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/03/01	2012/07/30	50.00
60869	UBS AG – China Life R Bull CBBC Aug 2012 G	100,000,000	2012/03/01	2012/08/13	25.00
60868	UBS AG – HSI R Bull CBBC Nov 2012 M	300,000,000	2012/03/01	2012/11/29	75.00
60867	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/03/01	2012/06/28	75.00
60880	Credit Suisse AG – HSI R Bull CBBC Jun 2012 B	400,000,000	2012/03/02	2012/06/28	100.00
60878	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 A	150,000,000	2012/03/02	2012/06/28	37.50
60879	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 B	150,000,000	2012/03/02	2012/06/28	37.80
60873	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 L	200,000,000	2012/03/02	2012/08/30	50.00
60876	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 M	200,000,000	2012/03/02	2012/08/30	50.00
60877	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 N	300,000,000	2012/03/02	2012/08/30	75.00
60872	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 K	200,000,000	2012/03/02	2012/08/30	50.00
60870	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 K	40,000,000	2012/03/02	2012/10/30	10.00
60875	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/02	2012/07/30	50.00
60881	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12P	200,000,000	2012/03/02	2012/07/30	50.00
60871	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12F	200,000,000	2012/03/02	2012/10/30	50.00
60884	UBS AG – A50 China R Bull CBBC Jul 2012 D	100,000,000	2012/03/02	2012/07/30	25.00
60882	UBS AG – HSI R Bull CBBC Oct 2012 V	300,000,000	2012/03/02	2012/10/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60883	UBS AG – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/03/02	2012/12/28	75.00
60912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 G	200,000,000	2012/03/05	2012/10/30	50.00
60913	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 H	200,000,000	2012/03/05	2012/10/30	50.00
60894	Credit Suisse AG – China Life R Bull CBBC Jul 2012 B	60,000,000	2012/03/05	2012/07/23	15.00
60895	Credit Suisse AG – China Life R Bear CBBC Jul 2012 A	60,000,000	2012/03/05	2012/07/23	15.00
60896	Credit Suisse AG – A50 China R Bull CBBC Jul 2012 A	60,000,000	2012/03/05	2012/07/23	15.00
60897	Credit Suisse AG – A50 China R Bull CBBC Jul 2012 B	60,000,000	2012/03/05	2012/07/23	15.00
60898	Credit Suisse AG – A50 China R Bear CBBC Jul 2012 A	60,000,000	2012/03/05	2012/07/23	15.00
60908	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/03/05	2012/07/30	100.00
60892	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2012/03/05	2012/07/30	100.00
60893	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	400,000,000	2012/03/05	2012/07/30	100.00
60891	Credit Suisse AG – HSI R Bear CBBC Jul 2012 K	400,000,000	2012/03/05	2012/07/30	100.00
60909	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Z	400,000,000	2012/03/05	2012/07/30	100.00
60899	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 F	208,000,000	2012/03/05	2012/06/28	52.00
60907	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/03/05	2012/06/28	52.00
60889	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Z	208,000,000	2012/03/05	2012/06/28	52.00
60885	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 A	150,000,000	2012/03/05	2012/06/28	37.65
60886	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 B	150,000,000	2012/03/05	2012/06/28	37.95
60887	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 C	150,000,000	2012/03/05	2012/06/28	37.80
60903	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12E	200,000,000	2012/03/05	2012/07/30	50.00
60904	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12I	200,000,000	2012/03/05	2012/07/30	50.00
60910	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Z	200,000,000	2012/03/05	2012/07/30	50.00
60902	UBS AG – AIA R Bull CBBC Sep 2012 A	100,000,000	2012/03/05	2012/09/10	25.00
60901	UBS AG – AIA R Bear CBBC Jul 2012 A	100,000,000	2012/03/05	2012/07/03	46.00
60905	UBS AG – HSI R Bull CBBC Oct 2012 W	300,000,000	2012/03/05	2012/10/30	75.00
60906	UBS AG – HSI R Bull CBBC Oct 2012 X	300,000,000	2012/03/05	2012/10/30	75.00
60911	UBS AG – HSI R Bear CBBC Jun 2012 Y	300,000,000	2012/03/05	2012/06/28	75.00
60890	UBS AG – HSI R Bear CBBC Jul 2012 C	300,000,000	2012/03/05	2012/07/30	75.00
60943	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 B	200,000,000	2012/03/06	2012/12/28	50.00
60944	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 C	200,000,000	2012/03/06	2012/12/28	50.00
60945	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 D	200,000,000	2012/03/06	2012/12/28	50.00
60946	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 E	200,000,000	2012/03/06	2012/12/28	50.00
60938	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/03/06	2012/07/30	100.00
60939	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2012/03/06	2012/07/30	100.00
60936	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Z	400,000,000	2012/03/06	2012/08/30	100.00
60940	Credit Suisse AG – HSI R Bear CBBC Jun 2012 A	400,000,000	2012/03/06	2012/06/28	100.00
60931	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/03/06	2012/08/30	100.00
60949	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2012 A	200,000,000	2012/03/06	2012/06/28	50.00
60950	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2012 B	200,000,000	2012/03/06	2012/06/28	50.00
60937	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Z	208,000,000	2012/03/06	2012/06/28	52.00
60929	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 C	150,000,000	2012/03/06	2012/06/28	37.95
60930	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 D	150,000,000	2012/03/06	2012/06/28	37.50
60914	HK Bank – Hutchison R Bull CBBC Nov 2012 B	80,000,000	2012/03/06	2012/11/16	20.00
60918	HK Bank – Hutchison R Bull CBBC Dec 2012 A	80,000,000	2012/03/06	2012/12/12	20.00
60916	HK Bank – Hutchison R Bear CBBC Nov 2012 A	80,000,000	2012/03/06	2012/11/26	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60917	HK Bank – TCH R Bull CBBC Nov 2012 C	80,000,000	2012/03/06	2012/11/28	20.00
60915	HK Bank – TCH R Bear CBBC Nov 2012 A	80,000,000	2012/03/06	2012/11/16	28.00
60923	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 O	300,000,000	2012/03/06	2012/08/30	75.00
60924	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 P	300,000,000	2012/03/06	2012/08/30	75.00
60925	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 Q	300,000,000	2012/03/06	2012/08/30	75.00
60926	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 R	300,000,000	2012/03/06	2012/08/30	75.00
60919	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 L	300,000,000	2012/03/06	2012/08/30	75.00
60921	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 M	300,000,000	2012/03/06	2012/08/30	75.00
60922	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 N	300,000,000	2012/03/06	2012/08/30	75.00
60942	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12W	200,000,000	2012/03/06	2012/07/30	50.00
60941	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12O	200,000,000	2012/03/06	2012/09/27	50.00
60928	UBS AG – HSI R Bull CBBC Nov 2012 N	300,000,000	2012/03/06	2012/11/29	75.00
60947	UBS AG – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/03/06	2012/12/28	75.00
60927	UBS AG – HSI R Bear CBBC Jul 2012 B	300,000,000	2012/03/06	2012/07/30	75.00
60948	UBS AG – HSI R Bear CBBC Jul 2012 I	300,000,000	2012/03/06	2012/07/30	75.00
60218 [#]	HK Bank – HSI R Bear CBBC Oct 2012 C	300,000,000	2012/03/06	2012/10/30	36.30
60962	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 C	200,000,000	2012/03/07	2012/12/28	50.00
60960	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2012 B	200,000,000	2012/03/07	2012/12/28	50.00
60967	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 F	200,000,000	2012/03/07	2012/12/28	50.00
60959	Credit Suisse AG – HSI R Bull CBBC Jul 2012 C	400,000,000	2012/03/07	2012/07/30	100.00
60958	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2012/03/07	2012/08/30	100.00
60955	Credit Suisse AG – HSI R Bear CBBC Aug 2012 D	400,000,000	2012/03/07	2012/08/30	100.00
60965	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 B	208,000,000	2012/03/07	2012/06/28	52.00
60966	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 C	208,000,000	2012/03/07	2012/06/28	52.00
60954	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Y	208,000,000	2012/03/07	2012/06/28	52.00
60951	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 K	40,000,000	2012/03/07	2012/10/30	10.20
60952	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 L	40,000,000	2012/03/07	2012/10/30	10.00
60957	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul12 X	200,000,000	2012/03/07	2012/07/30	50.00
60956	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul12 A	200,000,000	2012/03/07	2012/07/30	50.00
60964	UBS AG – HSI R Bull CBBC Nov 2012 O	300,000,000	2012/03/07	2012/11/29	75.00
60963	UBS AG – HSI R Bull CBBC Dec 2012 E	300,000,000	2012/03/07	2012/12/28	75.00
60953	UBS AG – HSI R Bear CBBC Jun 2012 Z	300,000,000	2012/03/07	2012/06/28	75.00
60993	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 A	200,000,000	2012/03/08	2012/12/28	50.00
60994	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 B	200,000,000	2012/03/08	2012/12/28	50.00
60995	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 C	200,000,000	2012/03/08	2012/12/28	50.00
60971	Credit Suisse AG – HSI R Bull CBBC Sep 2012 S	400,000,000	2012/03/08	2012/09/27	100.00
60985	Credit Suisse AG – HSI R Bull CBBC Sep 2012 T	400,000,000	2012/03/08	2012/09/27	100.00
60974	Credit Suisse AG – HSI R Bear CBBC Sep 2012 T	400,000,000	2012/03/08	2012/09/27	100.00
60983	Credit Suisse AG – HSI R Bear CBBC Sep 2012 U	400,000,000	2012/03/08	2012/09/27	100.00
60982	Daiwa Capital Mkt – A50 China R Bear CBBC Aug 2012 A	80,000,000	2012/03/08	2012/08/08	20.00
60979	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 T	208,000,000	2012/03/08	2012/06/28	52.00
60981	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 P	208,000,000	2012/03/08	2012/07/30	52.00
60968	HK Bank – A50 R Bull CBBC Nov 2012 B	100,000,000	2012/03/08	2012/11/26	25.00
60969	HK Bank – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/03/08	2012/12/28	75.00
60970	HK Bank – HSI R Bull CBBC Dec 2012 I	250,000,000	2012/03/08	2012/12/28	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12B	200,000,000	2012/03/08	2012/07/30	50.00
60991	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12E	200,000,000	2012/03/08	2012/07/30	50.00
60992	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/03/08	2012/07/30	50.00
60975	UBS AG – HSCEI R Bull CBBC Aug 2012 B	100,000,000	2012/03/08	2012/08/30	25.00
60978	UBS AG – HSI R Bull CBBC Nov 2012 P	300,000,000	2012/03/08	2012/11/29	75.00
60973	UBS AG – HSI R Bull CBBC Dec 2012 F	300,000,000	2012/03/08	2012/12/28	75.00
60977	UBS AG – HSI R Bull CBBC Jan 2013 A	300,000,000	2012/03/08	2013/01/30	75.00
60988	UBS AG – HSI R Bear CBBC Jun 2012 E	300,000,000	2012/03/08	2012/06/28	75.00
60986	UBS AG – HSI R Bear CBBC Jul 2012 M	300,000,000	2012/03/08	2012/07/30	75.00
60987	UBS AG – HSI R Bear CBBC Jul 2012 P	300,000,000	2012/03/08	2012/07/30	75.00
60976	UBS AG – HSI R Bear CBBC Jul 2012 Z	300,000,000	2012/03/08	2012/07/30	75.00
60996	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 E	150,000,000	2012/03/09	2012/06/28	37.50
60998	UBS AG – HSI R Bull CBBC Nov 2012 Q	300,000,000	2012/03/09	2012/11/29	75.00
60997	UBS AG – HSI R Bear CBBC Sep 2012 T	300,000,000	2012/03/09	2012/09/27	75.00
61007	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	400,000,000	2012/03/12	2012/06/28	100.00
61001	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Z	400,000,000	2012/03/12	2012/06/28	100.00
61008	Credit Suisse AG – HSI R Bear CBBC Jul 2012 F	400,000,000	2012/03/12	2012/07/30	100.00
61011	Credit Suisse AG – HSI R Bear CBBC Jul 2012 J	400,000,000	2012/03/12	2012/07/30	100.00
61016	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/03/12	2012/06/28	52.00
61015	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 Q	208,000,000	2012/03/12	2012/07/30	52.00
61003	HK Bank – China Mobile R Bull CBBC Dec 2012 A	80,000,000	2012/03/12	2012/12/12	20.00
61002	HK Bank – China Mobile R Bear CBBC Dec 2012 A	80,000,000	2012/03/12	2012/12/12	20.00
61004	HK Bank – A50 R Bull CBBC Jan 2013 A	250,000,000	2012/03/12	2013/01/18	62.50
61005	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/12	2012/07/30	50.00
61006	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12P	200,000,000	2012/03/12	2012/07/30	50.00
61014	UBS AG – A50 China R Bear CBBC Aug 2012 C	100,000,000	2012/03/12	2012/08/06	25.00
60999	UBS AG – HSI R Bull CBBC Oct 2012 Y	300,000,000	2012/03/12	2012/10/30	75.00
61010	UBS AG – HSI R Bull CBBC Dec 2012 G	300,000,000	2012/03/12	2012/12/28	75.00
61009	UBS AG – HSI R Bear CBBC Jul 2012 R	300,000,000	2012/03/12	2012/07/30	75.00
61013	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/03/12	2012/08/30	75.00
61000	UBS AG – HSI R Bear CBBC Aug 2012 K	300,000,000	2012/03/12	2012/08/30	75.00
61056	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 D	200,000,000	2012/03/13	2012/12/28	50.00
61064	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 G	200,000,000	2012/03/13	2012/12/28	50.00
61057	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 D	200,000,000	2012/03/13	2012/12/28	50.00
61058	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 E	200,000,000	2012/03/13	2012/12/28	50.00
61059	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 F	200,000,000	2012/03/13	2012/12/28	50.00
61060	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 G	200,000,000	2012/03/13	2012/12/28	50.00
61061	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 H	200,000,000	2012/03/13	2012/12/28	50.00
61062	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 I	200,000,000	2012/03/13	2012/12/28	50.00
61024	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/03/13	2012/07/30	100.00
61025	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Q	400,000,000	2012/03/13	2012/07/30	100.00
61040	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Z	400,000,000	2012/03/13	2012/07/30	100.00
61043	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/03/13	2012/07/30	100.00
61028	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Q	400,000,000	2012/03/13	2012/07/30	100.00
61029	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/03/13	2012/07/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61041	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/03/13	2012/10/30	100.00
61023	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 D	208,000,000	2012/03/13	2012/06/28	52.00
61039	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 K	208,000,000	2012/03/13	2012/07/30	52.00
61065	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	208,000,000	2012/03/13	2012/06/28	52.00
61066	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/03/13	2012/06/28	52.00
61068	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 R	208,000,000	2012/03/13	2012/07/30	52.00
61069	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 S	208,000,000	2012/03/13	2012/07/30	52.00
61033	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 O	300,000,000	2012/03/13	2012/08/30	75.00
61034	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 P	300,000,000	2012/03/13	2012/08/30	75.00
61035	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Q	300,000,000	2012/03/13	2012/08/30	75.00
61036	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 R	300,000,000	2012/03/13	2012/08/30	75.00
61037	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 S	300,000,000	2012/03/13	2012/08/30	75.00
61038	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 T	300,000,000	2012/03/13	2012/08/30	75.00
61053	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 U	300,000,000	2012/03/13	2012/08/30	75.00
61054	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 V	300,000,000	2012/03/13	2012/08/30	75.00
61055	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 W	300,000,000	2012/03/13	2012/08/30	75.00
61017	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 L	40,000,000	2012/03/13	2012/10/30	10.00
61052	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12A	200,000,000	2012/03/13	2012/07/30	50.00
61020	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12E	200,000,000	2012/03/13	2012/07/30	50.00
61021	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12I	200,000,000	2012/03/13	2012/07/30	50.00
61022	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12W	200,000,000	2012/03/13	2012/07/30	50.00
61050	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	200,000,000	2012/03/13	2012/07/30	50.00
61051	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	200,000,000	2012/03/13	2012/07/30	50.00
61019	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12I	200,000,000	2012/03/13	2012/07/30	50.00
61048	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12O	200,000,000	2012/03/13	2012/07/30	50.00
61049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12P	200,000,000	2012/03/13	2012/07/30	50.00
61018	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12W	200,000,000	2012/03/13	2012/07/30	50.00
61045	UBS AG – CC Bank R Bear CBBC Aug 2012 A	100,000,000	2012/03/13	2012/08/13	25.00
61047	UBS AG – China Life R Bear CBBC Sep 2012 B	100,000,000	2012/03/13	2012/09/03	25.00
61046	UBS AG – HKEx R Bear CBBC Jul 2012 F	100,000,000	2012/03/13	2012/07/16	25.00
61042	UBS AG – HSI R Bull CBBC Sep 2012 Y	300,000,000	2012/03/13	2012/09/27	75.00
61026	UBS AG – HSI R Bull CBBC Oct 2012 Z	300,000,000	2012/03/13	2012/10/30	75.00
61027	UBS AG – HSI R Bull CBBC Nov 2012 R	300,000,000	2012/03/13	2012/11/29	75.00
61031	UBS AG – HSI R Bear CBBC Jun 2012 A	300,000,000	2012/03/13	2012/06/28	75.00
61032	UBS AG – HSI R Bear CBBC Jun 2012 M	300,000,000	2012/03/13	2012/06/28	75.00
61044	UBS AG – HSI R Bear CBBC Jun 2012 P	300,000,000	2012/03/13	2012/06/28	75.00
61030	UBS AG – HSI R Bear CBBC Aug 2012 A	300,000,000	2012/03/13	2012/08/30	75.00
61098	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 E	200,000,000	2012/03/14	2012/12/28	50.00
61105	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 J	200,000,000	2012/03/14	2012/12/28	50.00
61106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 K	200,000,000	2012/03/14	2012/12/28	50.00
61096	Credit Suisse AG – China Life R Bear CBBC Aug 2012 A	60,000,000	2012/03/14	2012/08/27	21.60
61097	Credit Suisse AG – China Life R Bear CBBC Aug 2012 B	60,000,000	2012/03/14	2012/08/27	15.00
61095	Credit Suisse AG – HKEx R Bear CBBC Aug 2012 B	60,000,000	2012/03/14	2012/08/27	15.00
61080	Credit Suisse AG – HSI R Bull CBBC Sep 2012 U	400,000,000	2012/03/14	2012/09/27	100.00
61081	Credit Suisse AG – HSI R Bull CBBC Sep 2012 V	400,000,000	2012/03/14	2012/09/27	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61082	Credit Suisse AG – HSI R Bear CBBC Sep 2012 V	400,000,000	2012/03/14	2012/09/27	100.00
61083	Credit Suisse AG – HSI R Bear CBBC Sep 2012 W	400,000,000	2012/03/14	2012/09/27	100.00
61092	Credit Suisse AG – HSI R Bear CBBC Sep 2012 X	400,000,000	2012/03/14	2012/09/27	100.00
61093	Credit Suisse AG – TCH R Bear CBBC Aug 2012 A	60,000,000	2012/03/14	2012/08/27	15.00
61094	Credit Suisse AG – TCH R Bear CBBC Aug 2012 B	60,000,000	2012/03/14	2012/08/27	15.00
61089	Daiwa Capital Mkt – HSCEI R Bear CBBC Aug 2012 A	98,000,000	2012/03/14	2012/08/30	24.50
61087	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 J	208,000,000	2012/03/14	2012/06/28	52.00
61088	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 L	208,000,000	2012/03/14	2012/07/30	52.00
61103	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Z	208,000,000	2012/03/14	2012/06/28	52.00
61104	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 T	208,000,000	2012/03/14	2012/07/30	52.00
61070	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 F	150,000,000	2012/03/14	2012/06/28	38.10
61071	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 G	150,000,000	2012/03/14	2012/06/28	38.55
61072	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 H	150,000,000	2012/03/14	2012/06/28	38.85
61074	HK Bank – HSI R Bear CBBC Dec 2012 F	250,000,000	2012/03/14	2012/12/28	62.50
61075	HK Bank – HSI R Bear CBBC Dec 2012 G	250,000,000	2012/03/14	2012/12/28	62.50
61076	HK Bank – HSI R Bear CBBC Dec 2012 H	250,000,000	2012/03/14	2012/12/28	62.50
61077	HK Bank – HSI R Bear CBBC Dec 2012 I	250,000,000	2012/03/14	2012/12/28	62.50
61107	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 X	300,000,000	2012/03/14	2012/08/30	75.00
61073	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 M	40,000,000	2012/03/14	2012/10/30	10.00
61079	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12B	200,000,000	2012/03/14	2012/07/30	50.00
61078	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12Z	200,000,000	2012/03/14	2012/07/30	50.00
61086	UBS AG – HSI R Bull CBBC Oct 2012 J	300,000,000	2012/03/14	2012/10/30	75.00
61084	UBS AG – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/03/14	2012/12/28	75.00
61101	UBS AG – HSI R Bull CBBC Dec 2012 I	300,000,000	2012/03/14	2012/12/28	96.00
61102	UBS AG – HSI R Bull CBBC Dec 2012 J	300,000,000	2012/03/14	2012/12/28	81.00
61099	UBS AG – HSI R Bull CBBC Jan 2013 B	300,000,000	2012/03/14	2013/01/30	147.00
61100	UBS AG – HSI R Bull CBBC Feb 2013 A	300,000,000	2012/03/14	2013/02/27	138.00
61108	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2012/03/14	2012/06/28	75.00
61110	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/03/14	2012/07/30	75.00
61109	UBS AG – HSI R Bear CBBC Sep 2012 U	300,000,000	2012/03/14	2012/09/27	75.00
60482 [#]	UBS AG – Hutchison R Bull CBBC Jul 2012 D	120,000,000	2012/03/14	2012/07/23	10.08
61124	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/03/15	2012/08/30	100.00
61128	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/03/15	2012/08/30	100.00
61117	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Q	400,000,000	2012/03/15	2012/08/30	100.00
61118	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/03/15	2012/08/30	100.00
61119	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/03/15	2012/11/29	100.00
61112	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 E	150,000,000	2012/03/15	2012/06/28	39.75
61113	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 F	150,000,000	2012/03/15	2012/06/28	37.95
61111	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2012 I	150,000,000	2012/03/15	2012/06/28	37.65
61114	HK Bank – China Life R Bear CBBC Dec 2012 A	80,000,000	2012/03/15	2012/12/18	40.00
61115	HK Bank – China Life R Bear CBBC Dec 2012 B	80,000,000	2012/03/15	2012/12/18	48.00
61116	HK Bank – HSI R Bull CBBC Dec 2012 J	250,000,000	2012/03/15	2012/12/28	62.50
61135	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 S	300,000,000	2012/03/15	2012/08/30	75.00
61136	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 T	300,000,000	2012/03/15	2012/08/30	75.00
61120	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug12 C	200,000,000	2012/03/15	2012/08/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61121	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug12 D	200,000,000	2012/03/15	2012/08/30	50.00
61130	UBS AG – AIA R Bull CBBC Jul 2012 A	100,000,000	2012/03/15	2012/07/16	30.50
61127	UBS AG – HSCEI R Bear CBBC Jun 2012 D	100,000,000	2012/03/15	2012/06/28	25.00
61126	UBS AG – HSI R Bull CBBC Sep 2012 Z	300,000,000	2012/03/15	2012/09/27	75.00
61131	UBS AG – HSI R Bull CBBC Nov 2012 S	300,000,000	2012/03/15	2012/11/29	75.00
61125	UBS AG – HSI R Bear CBBC Jun 2012 D	300,000,000	2012/03/15	2012/06/28	75.00
61123	UBS AG – HSI R Bear CBBC Jun 2012 V	300,000,000	2012/03/15	2012/06/28	75.00
61122	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2012/03/15	2012/07/30	75.00
61129	UBS AG – ICBC R Bear CBBC Aug 2012 B	100,000,000	2012/03/15	2012/08/06	25.00
61145	Credit Suisse AG – HSI R Bull CBBC Jun 2012 O	400,000,000	2012/03/16	2012/06/28	100.00
61144	Credit Suisse AG – HSI R Bear CBBC Jun 2012 B	400,000,000	2012/03/16	2012/06/28	100.00
61146	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 H	208,000,000	2012/03/16	2012/06/28	52.00
61140	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12A	200,000,000	2012/03/16	2012/09/27	50.00
61141	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12B	200,000,000	2012/03/16	2012/09/27	50.00
61138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12E	200,000,000	2012/03/16	2012/08/30	50.00
61137	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12F	200,000,000	2012/03/16	2012/10/30	50.00
61150	UBS AG – China Mobile R Bear CBBC Aug 2012 A	100,000,000	2012/03/16	2012/08/13	25.00
61148	UBS AG – HSI R Bull CBBC Sep 2012 A	300,000,000	2012/03/16	2012/09/27	75.00
61147	UBS AG – HSI R Bull CBBC Dec 2012 K	300,000,000	2012/03/16	2012/12/28	75.00
61142	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2012/03/16	2012/06/28	75.00
61151	UBS AG – Hutchison R Bull CBBC Aug 2012 E	100,000,000	2012/03/16	2012/08/06	25.00
61152	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 G	150,000,000	2012/03/19	2012/06/28	37.80
61153	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2012 H	150,000,000	2012/03/19	2012/06/28	37.95
61155	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12F	200,000,000	2012/03/19	2012/08/30	50.00
61157	UBS AG – China Mobile R Bull CBBC Aug 2012 A	100,000,000	2012/03/19	2012/08/13	25.00
61154	UBS AG – HSI R Bull CBBC Nov 2012 T	300,000,000	2012/03/19	2012/11/29	75.00
61159	UBS AG – Hutchison R Bull CBBC Jul 2012 G	100,000,000	2012/03/19	2012/07/09	25.00
61158	UBS AG – Hutchison R Bear CBBC Aug 2012 D	100,000,000	2012/03/19	2012/08/06	25.00
61189	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jul 2012 A	200,000,000	2012/03/20	2012/07/30	50.00
61177	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2012/03/20	2012/06/28	100.00
61172	Credit Suisse AG – HSI R Bear CBBC Jun 2012 K	400,000,000	2012/03/20	2012/06/28	100.00
61173	Credit Suisse AG – HSI R Bear CBBC Jun 2012 O	400,000,000	2012/03/20	2012/06/28	100.00
61180	Credit Suisse AG – Hutchison R Bull CBBC Aug 2012 A	100,000,000	2012/03/20	2012/08/20	25.00
61181	Credit Suisse AG – Hutchison R Bear CBBC Aug 2012 A	100,000,000	2012/03/20	2012/08/20	25.00
61178	Credit Suisse AG – TCH R Bull CBBC Aug 2012 A	100,000,000	2012/03/20	2012/08/20	25.00
61179	Credit Suisse AG – TCH R Bear CBBC Aug 2012 C	100,000,000	2012/03/20	2012/08/20	25.00
61185	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 F	208,000,000	2012/03/20	2012/06/28	52.00
61186	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 M	208,000,000	2012/03/20	2012/07/30	52.00
61168	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/03/20	2012/06/28	52.00
61169	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 R	208,000,000	2012/03/20	2012/06/28	52.00
61161	HK Bank – ABC R Bull CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/18	20.00
61162	HK Bank – ABC R Bear CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/18	20.00
61163	HK Bank – AIA R Bull CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/18	43.20
61160	HK Bank – AIA R Bear CBBC Nov 2012 A	80,000,000	2012/03/20	2012/11/16	38.40
61164	HK Bank – ICBC R Bear CBBC Dec 2012 A	80,000,000	2012/03/20	2012/12/21	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61175	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/20	2012/07/30	50.00
61174	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12X	200,000,000	2012/03/20	2012/07/30	50.00
61165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12G	200,000,000	2012/03/20	2012/08/30	50.00
61166	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12H	200,000,000	2012/03/20	2012/08/30	50.00
61167	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12I	200,000,000	2012/03/20	2012/08/30	50.00
61184	UBS AG – HSCEI R Bull CBBC Oct 2012 B	100,000,000	2012/03/20	2012/10/30	25.00
61183	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/03/20	2012/10/30	75.00
61171	UBS AG – HSI R Bear CBBC Jun 2012 N	300,000,000	2012/03/20	2012/06/28	75.00
61170	UBS AG – HSI R Bear CBBC Jun 2012 U	300,000,000	2012/03/20	2012/06/28	75.00
61182	UBS AG – HSI R Bear CBBC Jul 2012 F	300,000,000	2012/03/20	2012/07/30	75.00
61214	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 B	200,000,000	2012/03/21	2013/03/27	50.00
61204	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 H	200,000,000	2012/03/21	2013/03/27	50.00
61205	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 I	200,000,000	2012/03/21	2013/03/27	50.00
61206	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/03/21	2013/03/27	50.00
61207	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/03/21	2013/03/27	50.00
61208	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 L	200,000,000	2012/03/21	2013/03/27	50.00
61209	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 M	200,000,000	2012/03/21	2013/03/27	50.00
61210	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 N	200,000,000	2012/03/21	2013/03/27	50.00
61211	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 O	200,000,000	2012/03/21	2013/03/27	50.00
61212	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 P	200,000,000	2012/03/21	2013/03/27	50.00
61213	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Q	200,000,000	2012/03/21	2013/03/27	50.00
61222	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 L	200,000,000	2012/03/21	2012/07/30	50.00
61223	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 M	200,000,000	2012/03/21	2012/07/30	50.00
61227	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 N	200,000,000	2012/03/21	2012/07/30	50.00
61202	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2012/03/21	2012/06/28	100.00
61201	Credit Suisse AG – HSI R Bull CBBC Jun 2012 W	400,000,000	2012/03/21	2012/06/28	100.00
61203	Credit Suisse AG – HSI R Bull CBBC Sep 2012 W	400,000,000	2012/03/21	2012/09/27	100.00
61197	Credit Suisse AG – HSI R Bear CBBC Jun 2012 D	400,000,000	2012/03/21	2012/06/28	100.00
61196	Credit Suisse AG – HSI R Bear CBBC Jun 2012 U	400,000,000	2012/03/21	2012/06/28	100.00
61195	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 T	208,000,000	2012/03/21	2012/06/28	52.00
61218	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 X	208,000,000	2012/03/21	2012/06/28	52.00
61220	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 U	300,000,000	2012/03/21	2012/08/30	75.00
61221	J P Morgan SP BV – HSI R Bull CBBC Aug 2012 V	300,000,000	2012/03/21	2012/08/30	75.00
61191	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 M	40,000,000	2012/03/21	2012/10/30	10.00
61192	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 N	40,000,000	2012/03/21	2012/10/30	10.00
61200	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12C	200,000,000	2012/03/21	2012/07/30	50.00
61199	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12P	200,000,000	2012/03/21	2012/07/30	50.00
61193	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12J	200,000,000	2012/03/21	2012/08/30	50.00
61194	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12K	200,000,000	2012/03/21	2012/08/30	50.00
61216	UBS AG – HSI R Bull CBBC Sep 2012 R	300,000,000	2012/03/21	2012/09/27	75.00
61215	UBS AG – HSI R Bull CBBC Oct 2012 S	300,000,000	2012/03/21	2012/10/30	75.00
61217	UBS AG – HSI R Bull CBBC Oct 2012 T	300,000,000	2012/03/21	2012/10/30	75.00
61198	UBS AG – HSI R Bear CBBC Jun 2012 S	300,000,000	2012/03/21	2012/06/28	75.00
61219	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/03/21	2012/08/30	75.00
61003#	HK Bank – China Mobile R Bull CBBC Dec 2012 A	200,000,000	2012/03/21	2012/12/12	22.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61238	Credit Suisse AG – China Life R Bear CBBC Aug 2012 C	60,000,000	2012/03/22	2012/08/27	15.00
61235	Credit Suisse AG – HSI R Bull CBBC Jun 2012 B	400,000,000	2012/03/22	2012/06/28	100.00
61236	Credit Suisse AG – HSI R Bear CBBC Jun 2012 E	400,000,000	2012/03/22	2012/06/28	100.00
61237	Credit Suisse AG – HSI R Bear CBBC Jun 2012 F	400,000,000	2012/03/22	2012/06/28	100.00
61239	Credit Suisse AG – TCH R Bull CBBC Aug 2012 B	60,000,000	2012/03/22	2012/08/27	15.00
61240	Credit Suisse AG – TCH R Bear CBBC Aug 2012 D	60,000,000	2012/03/22	2012/08/27	17.40
61228	HK Bank – HSI R Bull CBBC Dec 2012 K	250,000,000	2012/03/22	2012/12/28	62.50
61229	HK Bank – HSI R Bull CBBC Dec 2012 L	250,000,000	2012/03/22	2012/12/28	62.50
61230	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12E	200,000,000	2012/03/22	2012/07/30	50.00
61233	UBS AG – HSI R Bull CBBC Nov 2012 U	300,000,000	2012/03/22	2012/11/29	75.00
61234	UBS AG – HSI R Bull CBBC Nov 2012 V	300,000,000	2012/03/22	2012/11/29	75.00
61242	UBS AG – HSI R Bull CBBC Nov 2012 W	300,000,000	2012/03/22	2012/11/29	75.00
61241	UBS AG – HSI R Bear CBBC Jul 2012 C	300,000,000	2012/03/22	2012/07/30	75.00
61232	UBS AG – TCH R Bull CBBC Aug 2012 B	100,000,000	2012/03/22	2012/08/13	25.00
61231	UBS AG – TCH R Bear CBBC Sep 2012 A	100,000,000	2012/03/22	2012/09/03	48.00
61243	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	400,000,000	2012/03/23	2012/06/28	100.00
61244	Credit Suisse AG – HSI R Bear CBBC Jun 2012 B	400,000,000	2012/03/23	2012/06/28	100.00
61245	Credit Suisse AG – TCH R Bull CBBC Sep 2012 A	100,000,000	2012/03/23	2012/09/28	25.00
61250	Credit Suisse AG – TCH R Bull CBBC Sep 2012 B	100,000,000	2012/03/23	2012/09/28	25.00
61246	Credit Suisse AG – TCH R Bear CBBC Sep 2012 A	100,000,000	2012/03/23	2012/09/28	33.00
61247	Credit Suisse AG – TCH R Bear CBBC Sep 2012 B	100,000,000	2012/03/23	2012/09/28	42.00
61251	Credit Suisse AG – TCH R Bear CBBC Sep 2012 C	100,000,000	2012/03/23	2012/09/28	25.00
61248	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12P	200,000,000	2012/03/23	2012/09/27	50.00
61249	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12Q	200,000,000	2012/03/23	2012/09/27	50.00
61253	UBS AG – HSI R Bull CBBC Dec 2012 L	300,000,000	2012/03/23	2012/12/28	75.00
61254	UBS AG – HSI R Bear CBBC Jun 2012 X	300,000,000	2012/03/23	2012/06/28	75.00
61252	UBS AG – TCH R Bear CBBC Sep 2012 B	100,000,000	2012/03/23	2012/09/10	52.00
61268	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 O	200,000,000	2012/03/26	2012/07/30	50.00
61269	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 P	200,000,000	2012/03/26	2012/07/30	50.00
61257	Credit Suisse AG – HSI R Bull CBBC Jun 2012 O	400,000,000	2012/03/26	2012/06/28	100.00
61255	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Z	400,000,000	2012/03/26	2012/06/28	100.00
61256	Credit Suisse AG – HSI R Bear CBBC Jun 2012 G	400,000,000	2012/03/26	2012/06/28	100.00
61261	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 E	208,000,000	2012/03/26	2012/06/28	52.00
61260	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Y	208,000,000	2012/03/26	2012/06/28	52.00
61267	UBS AG – China Mobile R Bear CBBC Aug 2012 B	100,000,000	2012/03/26	2012/08/27	25.00
61258	UBS AG – HSI R Bull CBBC Sep 2012 T	300,000,000	2012/03/26	2012/09/27	75.00
61259	UBS AG – HSI R Bull CBBC Dec 2012 M	300,000,000	2012/03/26	2012/12/28	75.00
61265	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2012/03/26	2012/06/28	75.00
61266	UBS AG – HSI R Bear CBBC Aug 2012 A	300,000,000	2012/03/26	2012/08/30	75.00
61264	UBS AG – TCH R Bull CBBC Aug 2012 C	100,000,000	2012/03/26	2012/08/27	25.00
61263	UBS AG – TCH R Bull CBBC Sep 2012 A	100,000,000	2012/03/26	2012/09/10	25.00
61262	UBS AG – TCH R Bear CBBC Aug 2012 C	100,000,000	2012/03/26	2012/08/13	38.00
61295	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 Q	200,000,000	2012/03/27	2012/07/30	50.00
61296	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 R	200,000,000	2012/03/27	2012/07/30	50.00
61285	Credit Suisse AG – China Life R Bear CBBC Jul 2012 B	80,000,000	2012/03/27	2012/07/30	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61286	Credit Suisse AG – China Life R Bear CBBC Jul 2012 C	80,000,000	2012/03/27	2012/07/30	20.00
61283	Credit Suisse AG – A50 China R Bear CBBC Aug 2012 A	60,000,000	2012/03/27	2012/08/27	15.00
61284	Credit Suisse AG – A50 China R Bear CBBC Aug 2012 B	60,000,000	2012/03/27	2012/08/27	15.00
61278	Credit Suisse AG – HSI R Bull CBBC Jun 2012 C	400,000,000	2012/03/27	2012/06/28	100.00
61277	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2012/03/27	2012/06/28	100.00
61291	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2012/03/27	2012/07/30	100.00
61281	Credit Suisse AG – HSI R Bear CBBC Jun 2012 H	400,000,000	2012/03/27	2012/06/28	100.00
61282	Credit Suisse AG – HSI R Bear CBBC Jun 2012 I	400,000,000	2012/03/27	2012/06/28	100.00
61292	Credit Suisse AG – HSI R Bear CBBC Jun 2012 J	400,000,000	2012/03/27	2012/06/28	100.00
61293	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Y	400,000,000	2012/03/27	2012/09/27	100.00
61287	Credit Suisse AG – TCH R Bear CBBC Jul 2012 H	80,000,000	2012/03/27	2012/07/30	36.80
61299	Daiwa Capital Mkt – HSCEI R Bear CBBC Aug 2012 B	98,000,000	2012/03/27	2012/08/30	24.50
61288	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 Z	208,000,000	2012/03/27	2012/06/28	52.00
61298	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 F	208,000,000	2012/03/27	2012/06/28	52.00
61297	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2012 Z	208,000,000	2012/03/27	2012/06/28	52.00
61270	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12I	200,000,000	2012/03/27	2012/07/30	50.00
61274	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12O	200,000,000	2012/03/27	2012/07/30	50.00
61275	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12W	200,000,000	2012/03/27	2012/07/30	50.00
61279	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12L	200,000,000	2012/03/27	2012/08/30	50.00
61280	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12M	200,000,000	2012/03/27	2012/08/30	50.00
61271	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12R	200,000,000	2012/03/27	2012/09/27	50.00
61272	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12S	200,000,000	2012/03/27	2012/09/27	50.00
61273	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12T	200,000,000	2012/03/27	2012/09/27	50.00
61289	UBS AG – HSI R Bull CBBC Sep 2012 W	300,000,000	2012/03/27	2012/09/27	75.00
61276	UBS AG – HSI R Bull CBBC Oct 2012 V	300,000,000	2012/03/27	2012/10/30	75.00
61290	UBS AG – HSI R Bear CBBC Jun 2012 W	300,000,000	2012/03/27	2012/06/28	75.00
61294	UBS AG – HSI R Bear CBBC Nov 2012 I	300,000,000	2012/03/27	2012/11/29	75.00
61313	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 R	200,000,000	2012/03/28	2013/03/27	50.00
61305	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2012/03/28	2012/06/28	100.00
61306	Credit Suisse AG – HSI R Bear CBBC Jun 2012 L	400,000,000	2012/03/28	2012/06/28	100.00
61307	Credit Suisse AG – HSI R Bear CBBC Jun 2012 M	400,000,000	2012/03/28	2012/06/28	100.00
61312	Credit Suisse AG – HSI R Bear CBBC Jun 2012 N	400,000,000	2012/03/28	2012/06/28	100.00
61303	HK Bank – TCH R Bull CBBC Dec 2012 A	200,000,000	2012/03/28	2012/12/12	50.00
61304	HK Bank – TCH R Bear CBBC Dec 2012 B	200,000,000	2012/03/28	2012/12/12	70.00
61321	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 P	300,000,000	2012/03/28	2012/08/30	75.00
61319	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Y	300,000,000	2012/03/28	2012/08/30	75.00
61320	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Z	300,000,000	2012/03/28	2012/08/30	75.00
61302	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 N	40,000,000	2012/03/28	2012/10/30	10.00
61300	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12A	200,000,000	2012/03/28	2012/07/30	50.00
61310	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12C	200,000,000	2012/03/28	2012/09/27	50.00
61311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12G	200,000,000	2012/03/28	2012/10/30	52.00
61301	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12N	200,000,000	2012/03/28	2012/08/30	50.00
61318	UBS AG – China Life R Bear CBBC Aug 2012 F	100,000,000	2012/03/28	2012/08/27	25.00
61317	UBS AG – A50 China R Bear CBBC Aug 2012 D	100,000,000	2012/03/28	2012/08/20	25.00
61309	UBS AG – HSI R Bull CBBC Dec 2012 N	300,000,000	2012/03/28	2012/12/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61316	UBS AG – HSI R Bear CBBC Jun 2012 Y	300,000,000	2012/03/28	2012/06/28	75.00
61315	UBS AG – HSI R Bear CBBC Jul 2012 B	300,000,000	2012/03/28	2012/07/30	75.00
61334	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 S	200,000,000	2012/03/29	2013/03/27	50.00
61327	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 S	200,000,000	2012/03/29	2012/07/30	50.00
61322	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12A	200,000,000	2012/03/29	2012/11/29	50.00
61323	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/03/29	2012/11/29	50.00
61324	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12C	200,000,000	2012/03/29	2012/11/29	50.00
61325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12D	200,000,000	2012/03/29	2012/11/29	50.00
61326	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12E	200,000,000	2012/03/29	2012/11/29	50.00
61331	UBS AG – HSI R Bull CBBC Sep 2012 U	300,000,000	2012/03/29	2012/09/27	75.00
61332	UBS AG – HSI R Bull CBBC Nov 2012 X	300,000,000	2012/03/29	2012/11/29	75.00
61333	UBS AG – HSI R Bull CBBC Nov 2012 Y	300,000,000	2012/03/29	2012/11/29	75.00
61330	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/03/29	2012/12/28	75.00
61329	UBS AG – HSI R Bull CBBC Jan 2013 C	300,000,000	2012/03/29	2013/01/30	75.00
61328	UBS AG – HSI R Bear CBBC Sep 2012 V	300,000,000	2012/03/29	2012/09/27	75.00
61357	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 T	200,000,000	2012/03/30	2012/07/30	50.00
61358	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 U	200,000,000	2012/03/30	2012/07/30	50.00
61345	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/03/30	2012/07/30	100.00
61344	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	400,000,000	2012/03/30	2012/07/30	100.00
61350	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/03/30	2012/07/30	100.00
61349	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 N	208,000,000	2012/03/30	2012/07/30	52.00
61353	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 U	208,000,000	2012/03/30	2012/07/30	52.00
61354	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 V	208,000,000	2012/03/30	2012/07/30	52.00
61335	HK Bank – HSI R Bear CBBC Dec 2012 J	250,000,000	2012/03/30	2012/12/28	62.50
61337	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12F	200,000,000	2012/03/30	2012/11/29	50.00
61338	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12G	200,000,000	2012/03/30	2012/11/29	50.00
61339	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/03/30	2012/11/29	50.00
61340	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/03/30	2012/11/29	50.00
61341	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12J	200,000,000	2012/03/30	2012/11/29	50.00
61343	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/03/30	2012/11/29	50.00
61347	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12L	200,000,000	2012/03/30	2012/11/29	50.00
61348	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12M	200,000,000	2012/03/30	2012/11/29	50.00
61346	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12H	200,000,000	2012/03/30	2012/07/30	50.00
61342	UBS AG – HSI R Bull CBBC Oct 2012 X	300,000,000	2012/03/30	2012/10/30	75.00
61352	UBS AG – HSI R Bull CBBC Dec 2012 P	300,000,000	2012/03/30	2012/12/28	75.00
61351	UBS AG – HSI R Bull CBBC Jan 2013 D	300,000,000	2012/03/30	2013/01/30	75.00
61356	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/03/30	2012/07/30	75.00
61355	UBS AG – HSI R Bear CBBC Sep 2012 W	300,000,000	2012/03/30	2012/09/27	75.00
60181 [#]	UBS AG – Ping An R Bull CBBC Jul 2012 C	100,000,000	2012/03/30	2012/07/09	11.00
Total					82,599.66

[#] Further issue

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q1	2	139.30
	Q2	3	331.18
	Q3	3	62.60
	Q4	4	38.72
2012	Q1	4	37.53

* The first DR commenced trading on 8 December 2010

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q1	–	–
	Q2	–	–
	Q3	–	–
	Q4	–	–
2012	Q1	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2011	Q1	7	0.06
	Q2	7	0.32
	Q3	7	0.13
	Q4	7	0.00
2012	Q1	7	0.00

iShares

		No. of issues	Turnover value (HK\$ mil.)
2011	Q1	2	–
	Q2	2	–
	Q3	2	–
	Q4	2	–
2012	Q1	2	–

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q1	124,595.93	22,614.51	608,740
	Q2	79,170.20	20,231.96	507,155
	Q3	40,276.54	10,135.61	316,791
	Q4	41,259.61	9,971.36	288,715
2012	Q1	41,517.67	12,232.86	343,014

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q1	62	364.75	37,150
	Q2	59	342.91	39,893
	Q3	63	160.88	31,995
	Q4	62	160.83	34,537
2012	Q1	61	200.54	35,663

20 Most Advanced GEM Stocks

for 1st quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Mar 2012	End of Dec 2011	
1	08020	CHANCETON FIN	1.700	0.380	347.37
2	08211	YONGLONG	0.220	0.077	185.71
3	08167	NEO TELEMEDIA	0.660	0.280	135.71
4	08166	CHINA ECO-FARM	0.107	0.055	94.55
5	08128	C G SOURCE ENGY	0.435	0.242	79.75
6	08173	PAN ASIA MINING	0.285	0.165	72.73
7	08108	FAVA INT'L	0.127	0.078	62.82
8	08078	CHINA3D DIGIT	0.243	0.155	56.77
9	08337	DIRECTEL	0.195	0.125	56.00
10	08143	HUA XIA HEALTH	0.191	0.128	49.22
11	08295	ASIAN CAPITAL H	0.139	0.094	47.87
12	08231	FUDANZHANGJIANG	2.010	1.400	43.57
13	08228	NATIONAL ARTS	0.940	0.690	36.23
14	08251	SHANGHAI TONVA	0.495	0.365	35.62
15	08103	TAI SHING	0.248	0.191	29.84
16	08006	CHINA.COM	2.400	1.880	27.66
17	08220	BINGO GROUP	0.088	0.069	27.54
18	08005	YUXING INFOTECH	0.750	0.590	27.12
19	08070	ZHONGYU GAS	0.900	0.710	26.76
20	08047	PALMPAY CHINA	0.119	0.094	26.60

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 1st quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Mar 2012	End of Dec 2011	
1	08017	LONGSUCCESS-NEW	0.255	1.240 A	-79.44
2	08179	GAYETY HLDGS	0.119	0.370 A	-67.84
3	08055	CHI E-LEARNING	0.099	0.237	-58.23
4	08239	MING KEI HOLD	0.345	0.770	-55.19
5	08150	SEAMLESS GREEN	0.405	0.830 A	-51.20
6	08100	M DREAM INWORLD	0.064	0.128	-50.00
7	08206	C COMM TELE SER	0.495	0.920	-46.20
8	08163	MERDEKA RES	0.031	0.054	-42.59
9	08226	SUNRISE C TECH	0.530	0.880	-39.77
10	08178	CHINA INFO TECH	0.061	0.101	-39.60
11	08246	NOBLE HOUSE H	0.630	1.010	-37.62
12	08120	ORI UNICORN	0.750	1.200	-37.50
13	08253	TIANYUAN	0.040	0.063	-36.51
14	08171	CHINA TRENDS	0.016	0.025	-36.00
15	08083	INNOVATIONPAY	0.141	0.215	-34.42
16	08071	CH NETCOMTECH	0.295	0.445 A	-33.71
17	08186	CHINA ASEAN RES	0.066	0.098	-32.65
18	08086	EPRO	0.930	1.360	-31.62
19	08111	CT SOLARPOWER	0.160	0.233	-31.33
20	08041	CH POST E-COMM	0.093	0.134	-30.60

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 1st quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08029	SUN INT'L	1,366.89	11.17
2	08043	ERA MINING MACH	984.29	8.05
3	08356	CNC HOLDINGS	898.26	7.34
4	08207	CREDIT CHINA	807.77	6.60
5	08137	HONBRIDGE	628.36	5.14
6	08046	HENG XIN CHINA	385.75	3.15
7	08179	GAYETY HLDGS	383.09	3.13
8	08192	GLOBAL ENERGY	382.59	3.13
9	08078	CHINA3D DIGIT	331.41	2.71
10	08085	ZMAY HOLDINGS	302.37	2.47
11	08008	SUNEVISION	274.38	2.24
12	08167	NEO TELEMEDIA	271.87	2.22
13	08158	CHINA BIO-MED	259.20	2.12
14	08161	CHINALOTSYNERGY	253.57	2.07
15	08086	EPRO	250.93	2.05
16	08116	C PUBLIC HEALTH	216.74	1.77
17	08239	MING KEI HOLD	200.88	1.64
18	08270	CHINA LEASON	193.67	1.58
19	08215	FIRST CREDIT	162.21	1.33
20	08017	LONGSUCCESS-NEW	159.14	1.30
Total			8,713.37	71.23

GEM Equities

20 Most Active GEM Stock by Shares

for 1st quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08017	LONGSUCCESS-NEW	3,987.47	9.60
2	08116	C PUBLIC HEALTH	3,921.02	9.44
3	08163	MERDEKA RES	2,304.04	5.55
4	08192	GLOBAL ENERGY	2,149.12	5.18
5	08161	CHINALOTSYNERGY	1,946.73	4.69
6	08080	NAS HOLDINGS	1,260.67	3.04
7	08078	CHINA3D DIGIT	1,202.77	2.90
8	08043	ERA MINING MACH	1,159.87	2.79
9	08009	IMERCHANTS	1,135.05	2.73
10	08032	VIVA CHINA	1,091.12	2.63
11	08179	GAYETY HLDGS	961.47	2.32
12	08158	CHINA BIO-MED	926.06	2.23
13	08085	ZMAY HOLDINGS	886.95	2.14
14	08207	CREDIT CHINA	849.96	2.05
15	08356	CNC HOLDINGS	813.12	1.96
16	08029	SUN INT'L	794.66	1.91
17	08250	CHI NATURAL INV	730.73	1.76
18	08041	CH POST E-COMM	650.88	1.57
19	08166	CHINA ECO-FARM	600.01	1.45
20	08096	RUIFENG PETRO	587.08	1.41
Total			27,958.76	67.34

GEM Equities

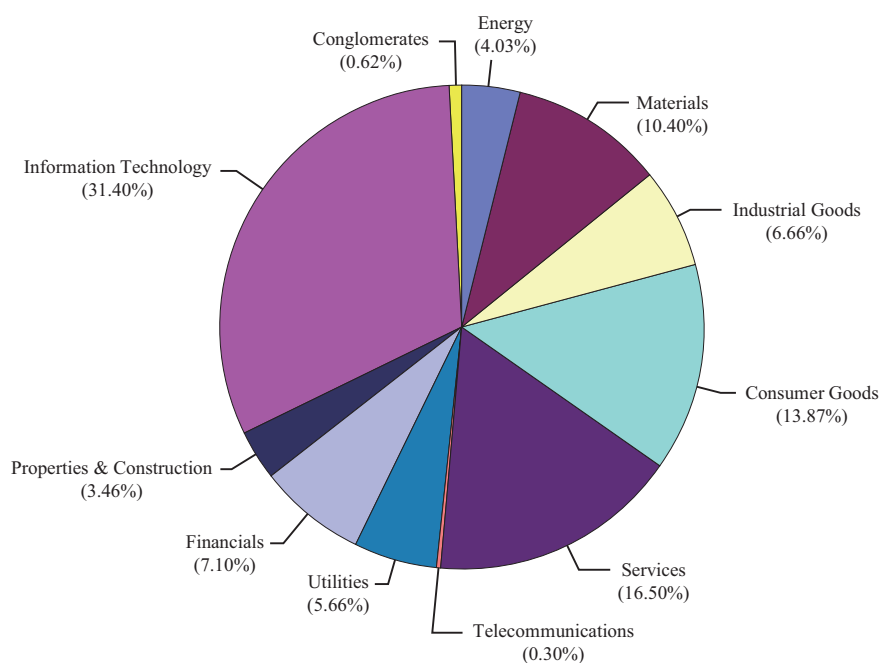
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2011	Q1	137,835.27
	Q2	111,535.83
	Q3	77,346.08
	Q4	84,589.06
2012	Q1	84,618.24

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 1st quarter end 2012

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08137 Honbridge Holdings Ltd.	6,950.36	8.21
2	08086 ePRO Ltd.	4,940.68	5.84
3	08043 ERA Mining Machinery Ltd.	4,835.03	5.71
4	08035 Binhai Investment Co. Ltd.	2,516.98	2.97
5	08008 Sunevision Holdings Ltd.	2,507.99	2.96
6	08070 Zhongyu Gas Holdings Ltd.	2,270.71	2.68
7	08192 Global Energy Resources International Group Ltd.	2,045.32	2.42
8	08032 Viva China Holdings Ltd.	2,041.76	2.41
9	08158 China Bio-Med Regeneration Technology Ltd.	1,834.79	2.17
10	08207 Credit China Holdings Ltd.	1,715.00	2.03
11	08356 CNC Holdings Ltd.	1,681.38	1.99
12	08046 Heng Xin China Holdings Ltd.	1,667.87	1.97
13	08075 Media Asia Group Holdings Ltd.	1,589.97	1.88
14	08167 Neo Telemedia Ltd.	1,499.24	1.77
15	08029 Sun International Resources Ltd.	1,493.44	1.76
16	08122 LifeTech Scientific Corporation	1,340.00	1.58
17	08005 Yuxing InfoTech Investment Holdings Ltd.	1,301.34	1.54
18	08270 China Leason CBM & Shale Gas Group Co. Ltd.	1,281.31	1.51
19	08066 Phoenitron Holdings Ltd.	1,133.69	1.34
20	08292 HC International, Inc.	1,092.63	1.29
21	08279 AGTech Holdings Ltd.	1,037.87	1.23
22	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	1,036.73	1.23
23	08090 China Assurance Finance Group Ltd.	1,001.00	1.18
24	08155 South China Land Ltd.	950.17	1.12
25	08128 China Ground Source Energy Ltd.	898.41	1.06
26	08096 Ruifeng Petroleum Chemical Holdings Ltd.	897.59	1.06
27	08085 ZMAY Holdings Ltd.	860.01	1.02
28	08020 Chanceton Financial Group Ltd.	850.00	1.00
29	08161 China LotSynergy Holdings Ltd.	830.14	0.98
30	08228 National Arts Holdings Ltd.	753.18	0.89
31	08102 Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	722.14	0.85
32	08351 Larry Jewelry International Co. Ltd.	700.53	0.83
33	08203 Kaisun Energy Group Ltd.	635.93	0.75
34	08206 China Communication Telecom Services Co. Ltd.	591.38	0.70
35	08016 China Data Broadcasting Holdings Ltd.	581.16	0.69
36	08123 First China Financial Network Holdings Ltd.	576.14	0.68
37	08061 AcrossAsia Ltd.	562.17	0.66
38	08083 China Innovationpay Group Ltd.	559.01	0.66
39	08109 Creative Energy Solutions Holdings Ltd.	549.12	0.65
40	08071 China Netcom Technology Holdings Ltd.	547.00	0.65
41	08175 China Digital Licensing (Group) Ltd.	537.54	0.64
42	08220 Bingo Group Holdings Ltd.	536.52	0.63
43	08011 Polyard Petroleum International Group Ltd.	528.53	0.62
44	08080 North Asia Strategic Holdings Ltd.	525.88	0.62
45	08116 China Public Healthcare (Holding) Ltd.	495.99	0.59
46	08153 Code Agriculture (Holdings) Ltd.	488.48	0.58
47	08129 China Bio Cassava Holdings Ltd.	483.99	0.57
48	08025 Asian Capital Resources (Holdings) Ltd.	465.56	0.55
49	08306 China Nonferrous Metals Co. Ltd.	460.60	0.54
50	08156 China Vanguard Group Ltd.	454.79	0.54
Total		65,857.07	77.83
Market Total		84,618.24	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2011	Q1	3	452.01
	Q2	2	139.50
	Q3	3	193.04
	Q4	5	549.31
2012	Q1	3	189.80

Newly Listed Companies for GEM

for 1st quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08090	China Assurance Finance Group Ltd.	2012/01/06	1,540,000,000	107.80
08031	ETS Group Ltd.	2012/01/09	280,000,000	42.00
08242	Megalogic Technology Holdings Ltd.	2012/01/19	200,000,000	40.00
Total				189.80

Withdrawal of listed Companies – GEM

for 1st quarter 2012

Code	Company name	Last trading date	Delisted date
NIL			

Company Name Changes for GEM

for 1st quarter 2012

Code	Old name	New name	Effective date	Adoption date
08120	China Medical and Bio Science Ltd.	Oriental Unicorn Agricultural Group Ltd.	2011/12/13	2012/01/16
08356	Tsun Yip Holdings Ltd.	CNC Holdings Ltd.	2012/01/16	2012/02/08

GEM Equities

Bonus Issues/Bonus Warrants for GEM

for 1st quarter 2012

Code	Company	Particulars	Ex-date
NIL			

Share Split/Consolidation for GEM

for 1st quarter 2012

Code	Company	Particulars	Effective date
08179	Gayety Holdings Ltd.	Split 1 into 10	2012/01/13
08053	China Electric Power Technology Holdings Ltd.	Consolidation 5 into 1	2012/01/17
08071	China Netcom Technology Holdings Ltd.	Consolidation 5 into 1	2012/02/27
08017	Long Success International (Holdings) Ltd.	Consolidation 20 into 1	2012/03/06

Rights Issues & Open Offers for GEM

for 1st quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Feb	08272	Chinese Food and Beverage Group Ltd.	Open offer 1 for 2 @\$0.01	14.41
	08053	China Electric Power Technology Holdings Ltd.	Open offer 4 for 1 @\$0.0674	50.89
Total				65.30

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2012					
Jan	08212	HK LIFE GROUP	21,000,000	0.290	6.09
Feb	08017	LONGSUCCESS	520,000,000	0.040	20.80
	08096	RUIFENG PETRO	43,672,000	0.229	10.00
Mar	08220	BINGO GROUP	1,300,000,000	0.030	39.00
	08295	ASIAN CAPITAL H	240,000,000	0.105	25.20
	08166	CHINA ECO-FARM	280,000,000	0.068	19.04
	08078	CHINA3D DIGIT	999,990,000	0.080	80.00
Total					200.13

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2011	Q1	2	2.74	43.08
	Q2	2	1.03	21.87
	Q3	2	0.23	25.46
	Q4	2	0.07	15.97
2012	Q1	2	–	15.97

Newly Listed Equity GEM Warrants

for 1st quarter 2012

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					–

Stock Market Indices

Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Mar 2012 Close	End of Dec 2011 Close	Change %
S&P/HKEx LargeCap Index	24788.87	22252.19	+ 11.40%
S&P/HKEx GEM Index	447.66	474.80	- 5.72%
HANG SENG INDEX	20555.58	18434.39	+ 11.51%
SECTORIAL INDICES			
Finance	27921.55	24903.00	+ 12.12%
Utilities	46701.88	45400.86	+ 2.87%
Properties	24844.35	22812.24	+ 8.91%
Commerce & Industry	12536.85	11126.69	+ 12.67%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	3986.72	3682.18	+ 8.27%
HANG SENG CHINA ENTERPRISES INDEX	10640.16	9936.48	+ 7.08%

Stock Market Indices

WORLD INDICES

Index	End of Mar 2012 Close	End of Dec 2011 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	24,788.87	22,252.19	+ 11.40%
Hang Seng Index	20,555.58	18,434.39	+ 11.51%
AUSTRALIA			
All Ordinaries Index	4,419.97	4,111.04	+ 7.51%
JAKARTA			
Composite Index	4,121.55	3,821.99	+ 7.84%
JAPAN			
Nikkei (225)	10,083.56	8,455.35	+ 19.26%
KOREA			
Composite Index	2,014.04	1,825.74	+ 10.31%
KUALA LUMPUR			
Composite Index	1,596.33	1,530.73	+ 4.29%
MANILA			
Composite Index	5,107.73	4,371.96	+ 16.83%
NEW ZEALAND			
NZSE (50)	3,509.55	3,274.71	+ 7.17%
SHANGHAI			
A Shares Index	2,370.07	2,304.12	+ 2.86%
B Shares Index	230.33	215.26	+ 7.00%
SHENZHEN			
A Shares Index	932.23	906.91	+ 2.79%
B Shares Index	640.13	567.04	+ 12.89%
SINGAPORE			
FTSE Straits Times Index	3,010.46	2,646.35	+ 13.76%
TAIWAN			
Taipei Weighted Stock Index	7,933.00	7,072.08	+ 12.17%
THAILAND			
SET Index	1,196.77	1,025.32	+ 16.72%
NEW YORK			
DJIA	13,212.04	12,217.56	+ 8.14%
Nasdaq Composite Index	3,091.57	2,605.15	+ 18.67%
TORONTO			
S&P/TSX Composite Index	12,392.18	11,955.09	+ 3.66%
GERMANY			
DAX Capital Value Index	3,924.79	3,346.06	+ 17.30%
LONDON			
FTSE (100)	5,768.50	5,572.30	+ 3.52%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	1st Quarter 2012	1st Quarter 2011
Hang Seng Index Futures	5,140,968	5,589,341
Hang Seng Index Options	2,163,972	2,673,755
Mini-Hang Seng Index Futures	2,349,431	2,176,878
Mini-Hang Seng Index Options	220,641	195,420
H-shares Index Futures	3,860,446	3,206,173
H-shares Index Options	1,285,722	855,503
Mini H-shares Index Futures	398,659	349,420
Flexible Hang Seng Index Options	–	4,810
Flexible H-shares Index Options	1,300	–
HSI Dividend Point Index Futures	5,404	7,085
HSCEI Dividend Point Index Futures	55,900	2,979
HSI Volatility Index Futures ¹	289	–
IBOVESPA Futures ²	–	–
MICEX Index Futures ³	–	–
Sensex Index Futures ⁴	5	–
FTSE/JSE Top40 Futures ⁵	–	–
Stock Futures	78,870	108,130
Stock Options	14,545,164	18,417,824
Three-month HIBOR Futures	95	127
One-month HIBOR Futures	10	55
Three-year Exchange Fund Note Futures	–	–
Gold Futures	–	1,576
Total	30,106,876	33,589,076

¹ Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

² Trading in IBOVESPA Futures commenced on 30 Mar 2012

³ Trading in MICEX Index Futures commenced on 30 Mar 2012

⁴ Trading in Sensex Index Futures commenced on 30 Mar 2012

⁵ Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q1	5,589,341	89,300
	Q2	5,167,935	95,917
	Q3	6,611,545	128,201
	Q4	5,717,012	86,409
2012	Q1	5,140,968	99,821

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q1	2,176,878	8,475
	Q2	2,160,435	10,213
	Q3	3,084,022	11,015
	Q4	2,873,202	5,129
2012	Q1	2,349,431	6,653

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q1	2,673,755	229,086
	Q2	2,584,559	222,085
	Q3	3,097,483	431,313
	Q4	2,311,629	184,402
2012	Q1	2,163,972	284,586

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q1	3,206,173	107,348
	Q2	3,371,887	114,333
	Q3	4,358,785	155,125
	Q4	4,067,025	106,277
2012	Q1	3,860,446	117,764

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2011	Q1	16,446.28	18,417,824	5,912,772
	Q2	14,491.79	16,340,993	5,622,028
	Q3	24,566.72	21,961,666	8,112,569
	Q4	16,977.30	17,604,585	5,329,494
2012	Q1	9,756.42	14,545,164	4,971,657

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 1st Quarter 2012

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	192.78	1.98%
ABC	ABC	165.61	1.70%
ANHUI CONCH	ACC	29.69	0.30%
AIA	AIA	376.09	3.85%
CHALCO	ALC	16.84	0.17%
BANK OF CHINA	BCL	145.27	1.49%
BANKCOMM	BCM	48.11	0.49%
BANK OF E ASIA	BEA	39.02	0.40%
BELLE INT'L	BIH	12.49	0.13%
BOC HONG KONG	BOC	60.60	0.62%
BYD COMPANY	BYD	61.21	0.63%
CCB	CCB	481.02	4.93%
CHINA COMM CONS	CCC	27.42	0.28%
CHINA COAL	CCE	47.77	0.49%
CHINA COSCO	CCS	16.28	0.17%
CHINA MOBILE	CHT	835.84	8.57%
CHINA UNICOM	CHU	116.98	1.20%
CITIC PACIFIC	CIT	36.59	0.37%
CHEUNG KONG	CKH	323.86	3.32%
CHINA LIFE	CLI	689.40	7.07%
CLP HOLDINGS	CLP	39.01	0.40%
CM BANK	CMB	260.93	2.67%
CNOOC	CNC	308.96	3.17%
CHINA OVERSEAS	COL	117.46	1.20%
CATHAY PAC AIR	CPA	9.15	0.09%
SINOPEC CORP	CPC	149.36	1.53%
CPIC	CPI	62.17	0.64%
CHINA RAIL CONS	CRC	6.65	0.07%
CHINA RAILWAY	CRG	3.87	0.04%
X WISECSI300ETF	CS3	2.72	0.03%
CHINA SHENHUA	CSE	158.34	1.62%
CITIC BANK	CTB	9.16	0.09%
CHINA TELECOM	CTC	52.86	0.54%
ESPRIT HOLDINGS	ESP	26.31	0.27%
FIH	FIH	1.87	0.02%
POWER ASSETS	HEH	42.65	0.44%
HKEX	HEX	282.14	2.89%
HSBC HOLDINGS	HKB	949.59	9.73%
HK & CHINA GAS	HKG	7.07	0.07%
HENDERSON LAND	HLD	38.81	0.40%
HUANENG POWER	HNP	5.47	0.06%
HANG SENG BANK	HSB	36.22	0.37%
HUTCHISON	HWL	762.60	7.82%
ICBC	ICB	369.13	3.78%
JIANGXI COPPER	JXC	131.61	1.35%
LI & FUNG	LIF	46.75	0.48%
MTR CORPORATION	MTR	11.96	0.12%
CNBM	NBM	47.12	0.48%
NEW WORLD DEV	NWD	34.61	0.35%
PING AN	PAI	547.53	5.61%
PETROCHINA	PEC	230.70	2.36%
PICC P&C	PIC	54.74	0.56%
R&F PROPERTIES	RFP	10.77	0.11%
SHK PPT	SHK	435.95	4.47%
SWIRE PACIFIC A	SWA	61.35	0.63%
TENCENT	TCH	524.32	5.37%
TRACKER FUND	TRF	6.26	0.06%
WHARF HOLDINGS	WHL	43.99	0.45%
YANZHOU COAL	YZC	133.38	1.37%
ZIJIN MINING	ZJM	10.03	0.10%
Total		9,756.42	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 1st Quarter 2012

Options class		Contract volume	% of total
X ISHARES A50	A50	121,074	0.83%
ABC	ABC	77,213	0.53%
ANHUI CONCH	ACC	57,147	0.39%
AIA	AIA	325,221	2.24%
CHALCO	ALC	40,485	0.28%
BANK OF CHINA	BCL	808,459	5.56%
BANKCOMM	BCM	109,794	0.75%
BANK OF E ASIA	BEA	104,138	0.72%
BELLE INT'L	BIH	22,065	0.15%
BOC HONG KONG	BOC	147,110	1.01%
BYD COMPANY	BYD	73,794	0.51%
CCB	CCB	2,100,753	14.44%
CHINA COMM CONS	CCC	74,425	0.51%
CHINA COAL	CCE	85,423	0.59%
CHINA COSCO	CCS	83,900	0.58%
CHINA MOBILE	CHT	850,811	5.85%
CHINA UNICOM	CHU	104,396	0.72%
CITIC PACIFIC	CIT	60,458	0.42%
CHEUNG KONG	CKH	107,613	0.74%
CHINA LIFE	CLI	741,755	5.10%
CLP HOLDINGS	CLP	65,901	0.45%
CM BANK	CMB	379,867	2.61%
CNOOC	CNC	409,363	2.81%
CHINA OVERSEAS	COL	91,003	0.63%
CATHAY PAC AIR	CPA	13,626	0.09%
SINOPEC CORP	CPC	251,437	1.73%
CPIC	CPI	58,095	0.40%
CHINA RAIL CONS	CRC	28,438	0.20%
CHINA RAILWAY	CRG	17,462	0.12%
X WISECSI300ETF	CS3	2,180	0.01%
CHINA SHENHUA	CSE	224,239	1.54%
CITIC BANK	CTB	37,233	0.26%
CHINA TELECOM	CTC	133,185	0.92%
ESPRIT HOLDINGS	ESP	275,358	1.89%
FIH	FIH	6,976	0.05%
POWER ASSETS	HEH	64,577	0.44%
HKEX	HEX	709,670	4.88%
HSBC HOLDINGS	HKB	1,227,111	8.44%
HK & CHINA GAS	HKG	15,439	0.11%
HENDERSON LAND	HLD	17,722	0.12%
HUANENG POWER	HNP	13,486	0.09%
HANG SENG BANK	HSB	210,428	1.45%
HUTCHISON	HWL	325,141	2.24%
ICBC	ICB	1,647,061	11.32%
JIANGXI COPPER	JXC	153,849	1.06%
LI & FUNG	LIF	30,190	0.21%
MTR CORPORATION	MTR	38,804	0.27%
CNBM	NBM	42,954	0.30%
NEW WORLD DEV	NWD	65,165	0.45%
PING AN	PAI	393,911	2.71%
PETROCHINA	PEC	248,702	1.71%
PICC P&C	PIC	50,637	0.35%
R&F PROPERTIES	RFP	43,624	0.30%
SHK PPT	SHK	133,459	0.92%
SWIRE PACIFIC A	SWA	66,519	0.46%
TENCENT	TCH	828,526	5.70%
TRACKER FUND	TRF	29,011	0.20%
WHARF HOLDINGS	WHL	25,894	0.18%
YANZHOU COAL	YZC	51,452	0.35%
ZIJIN MINING	ZJM	21,435	0.15%
Total		14,545,164	100.00%

Clearing & Settlement

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2011	Q1	86,292	47.11	202.59
	Q2	87,498	49.00	233.07
	Q3	84,654	50.41	221.54
	Q4	76,802	44.37	186.44
2012	Q1	79,872	41.52	179.93

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2011	Q1	516	178.77	227.52
	Q2	527	108.05	323.66
	Q3	489	123.92	383.03
	Q4	342	83.77	97.11
2012	Q1	351	69.05	265.24

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2011	Q1	3,933.19	72.49	11,539.57	50.44
	Q2	3,707.09	69.37	11,612.87	50.11
	Q3	3,771.07	71.19	8,909.87	49.96
	Q4	3,694.24	70.17	9,599.91	50.23
2012	Q1	3,751.61	70.60	10,833.95	50.26

Share quantity and market value of securities in non-HKD currency are included

Clearing & Settlement

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2011	Q1	855,117	100	164,899.54	100	75,908.44	100
	Q2	869,474	100	156,793.01	100	71,017.11	100
	Q3	908,434	100	165,464.02	100	72,538.55	100
	Q4	857,422	100	161,396.94	100	59,305.03	100
2012	Q1	877,050	100	160,501.58	100	63,178.33	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2011	Q1	74,506	95.39	27,083.36	91.57
	Q2	70,588	95.73	25,359.54	91.71
	Q3	63,710	96.23	20,235.50	93.60
	Q4	56,834	96.38	18,005.04	94.13
2012	Q1	63,528	96.11	20,054.26	93.60

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2011	Q1	99.90	99.99
	Q2	99.88	99.99
	Q3	99.87	99.99
	Q4	99.89	99.99
2012	Q1	99.90	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	1st Quarter 2012	1st Quarter 2011
Hang Seng Index Futures	99,821	89,300
Hang Seng Index Options	284,586	229,086
Mini-Hang Seng Index Futures	6,653	8,475
Mini-Hang Seng Index Options	5,195	7,571
H-shares Index Futures	117,764	107,348
H-shares Index Options	291,787	134,324
Mini H-shares Index Futures	2,575	2,109
Flexible Hang Seng Index Options	7,510	4,810
Flexible H-shares Index Options	4,400	–
HSI Dividend Point Index Futures	5,453	5,439
HSCEI Dividend Point Index Futures	49,815	3,122
HSI Volatility Index Futures ¹	39	–
IBOVESPA Futures ²	–	–
MICEX Index Futures ³	–	–
Sensex Index Futures ⁴	4	–
FTSE/JSE Top40 Futures ⁵	–	–
Stock Futures	14,178	18,118
Stock Options	4,971,657	5,912,772
Three-month HIBOR Futures	95	134
One-month HIBOR Futures	–	39
Three-year Exchange Fund Note Futures	–	–
Gold Futures	–	178
Total	5,861,532	6,522,825

¹ Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

² Trading in IBOVESPA Futures commenced on 30 Mar 2012

³ Trading in MICEX Index Futures commenced on 30 Mar 2012

⁴ Trading in Sensex Index Futures commenced on 30 Mar 2012

⁵ Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 1st Quarter 2012

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	40,163	0.81
ABC	ABC	48,867	0.98
ANHUI CONCH	ACC	14,792	0.30
AIA	AIA	144,697	2.91
CHALCO	ALC	17,785	0.36
BANK OF CHINA	BCL	446,470	8.98
BANKCOMM	BCM	83,096	1.67
BANK OF E ASIA	BEA	38,075	0.77
BELLE INT'L	BIH	5,729	0.12
BOC HONG KONG	BOC	101,326	2.04
BYD COMPANY	BYD	21,949	0.44
CCB	CCB	565,736	11.38
CHINA COMM CONS	CCC	36,158	0.73
CHINA COAL	CCE	104,407	2.10
CHINA COSCO	CCS	25,517	0.51
CHINA MOBILE	CHT	213,185	4.29
CHINA UNICOM	CHU	42,336	0.85
CITIC PACIFIC	CIT	28,791	0.58
CHEUNG KONG	CKH	36,655	0.74
CHINA LIFE	CLI	193,225	3.89
CLP HOLDINGS	CLP	11,098	0.22
CM BANK	CMB	181,881	3.66
CNOOC	CNC	196,412	3.95
CHINA OVERSEAS	COL	26,855	0.54
CATHAY PAC AIR	CPA	6,325	0.13
SINOPEC CORP	CPC	151,535	3.05
CPIC	CPI	23,306	0.47
CHINA RAIL CONS	CRC	16,907	0.34
CHINA RAILWAY	CRG	6,506	0.13
X WISECSI300ETF	CS3	626	0.01
CHINA SHENHUA	CSE	149,473	3.01
CITIC BANK	CTB	16,237	0.33
CHINA TELECOM	CTC	83,914	1.69
ESPRIT HOLDINGS	ESP	34,274	0.69
FIH	FIH	3,042	0.06
POWER ASSETS	HEH	10,355	0.21
HKEX	HEX	195,948	3.94
HSBC HOLDINGS	HKB	302,006	6.07
HK & CHINA GAS	HKG	4,827	0.10
HENDERSON LAND	HLD	6,530	0.13
HUANENG POWER	HNP	6,942	0.14
HANG SENG BANK	HSB	41,639	0.84
HUTCHISON	HWL	82,112	1.65

Clearing & Settlement

Options class		Open interest (contracts)	% of total
ICBC	ICB	630,164	12.68
JIANGXI COPPER	JXC	33,180	0.67
LI & FUNG	LIF	9,276	0.19
MTR CORPORATION	MTR	24,104	0.48
CNBM	NBM	9,281	0.19
NEW WORLD DEV	NWD	17,860	0.36
PING AN	PAI	105,172	2.12
PETROCHINA	PEC	108,995	2.19
PICC P&C	PIC	15,697	0.32
R&F PROPERTIES	RFP	17,709	0.36
SHK PPT	SHK	48,504	0.98
SWIRE PACIFIC A	SWA	11,043	0.22
TENCENT	TCH	115,129	2.32
TRACKER FUND	TRF	11,816	0.24
WHARF HOLDINGS	WHL	9,835	0.20
YANZHOU COAL	YZC	25,079	0.50
ZIJIN MINING	ZJM	11,104	0.22
Total		4,971,657	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q1	544 (965)	489 (907)	33 (36)	22 (22)
	Q2	547 (970)	490 (906)	35 (42)	22 (22)
	Q3	549 (973)	494 (911)	34 (41)	21 (21)
	Q4	555 (980)	498 (916)	36 (43)	21 (21)
2012	Q1	559 (984)	500 (918)	38 (45)	21 (21)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q1	222 (246)	180 (202)	–	42 (44)
	Q2	228 (252)	184 (206)	–	44 (46)
	Q3	228 (252)	185 (207)	–	43 (45)
	Q4	228 (252)	182 (204)	–	46 (48)
2012	Q1	230 (254)	182 (204)	–	48 (50)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/Month		Clearing Participants	General Clearing Participants
2011	Q1	156	9
	Q2	159	9
	Q3	160	9
	Q4	156	10
2012	Q1	156	10

SEOCH Participants

Year/Month		Direct Clearing Participants	General Clearing Participants
2011	Q1	57	7
	Q2	57	7
	Q3	58	7
	Q4	59	7
2012	Q1	59	8

HKSCC Participants

Year/Month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2011	Q1	473	8	46
	Q2	474	8	46
	Q3	478	8	46
	Q4	482	8	47
2012	Q1	487	8	48

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledge Participants.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities