



證券及衍生產品市場 季報

2013年第一季

市場概況

主板

概況	2013年第1季	2012年第1季
上市公司數目	1,378	1,337
上市證券數目	7,563	6,854
股份		
普通股	1,379	1,338
優先股	3	3
認股權證	4,495	4,107
股份	11	13
衍生	4,484	4,094
股票掛鈎票據	0	0
牛熊證	1,276	1097
單位信託及互惠基金	111	100
債券	299	209
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	59	61
總成交金額(百萬港元)*	4,374,641.78	3,843,588.43
總成交股數(百萬股)	10,130,799.94	9,749,414.23
總成交宗數(宗數)	58,156,507	53,178,165
上市公司市價總值(百萬港元)	21,865,919.62	19,690,708.60
平均市盈率(倍)	10.49	9.58
平均週息率(百分率)	3.08	3.17
交易所參與者數目		
開業	509	500
非開業	42	38
成交金額(百萬港元)		
最高	128,156.37 (02/04)	127,100.48 (03/06)
最低	46,674.18 (02/15)	32,641.54 (01/03)
成交股數(百萬股)		
最高	228,343.05 (03/14)	216,293.91 (02/01)
最低	95,676.12 (03/15)	73,840.02 (01/03)
總成交宗數(宗數)		
最高	1,296,118 (01/10)	1,274,365 (02/09)
最低	742,370 (02/15)	530,483 (01/03)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2013年第1季	2012年第1季
上市公司數目	179	173
上市證券數目	179	175
股份	179	173
認股權證	0	2
交易日數	59	61
總成交金額(百萬港元)*	12,379.96	12,232.86
總成交股數(百萬股)	55,859.81	41,517.67
總成交宗數(宗數)	371,144	343,014
上市公司市價總值(百萬港元)	87,318.43	84,618.24
平均市盈率(倍)	27.43	18.16
平均週息率(百分率)	0.93	0.51
成交金額(百萬港元)		
最高	381.77 (01/23)	334.09 (03/08)
最低	125.42 (03/19)	97.02 (01/13)
成交股數(百萬股)		
最高	2,270.89 (01/31)	1,496.18 (02/23)
最低	419.34 (02/14)	314.12 (01/26)
總成交宗數(宗數)		
最高	8,979 (03/08)	9,942 (02/20)
最低	3,930 (03/22)	2,718 (01/13)

*成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2013年第1季	2012年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	59.0	61.0
總合約成交量	16,199,674	15,561,712
股市指數產品	15,998,336	15,482,737
股票產品	176,284	78,870
利率產品	0	105
人民幣貨幣期貨	25,054	—
黃金期貨	0	—
平均每日合約成交量	274,571	255,110
季末未平倉合約		
股市指數產品	1,461,259	889,875
股票產品	1,417,211	875,602
利率產品	39,257	14,178
利率產品	0	95
人民幣貨幣期貨	4,791	—
黃金期貨	0	0
股票期權		
新開辦期權類別	2	—
股票期權數目(於季末數目)		
類別	65	60
系列	12,932	14,540
期權金總額(百萬港元)		
認購	10,840.90	9,756.42
認沽	4,785.64	4,638.10
認沽	6,055.26	5,118.32
平均每日期權金總額(百萬港元)	183.74	159.94
總合約成交量	16,408,792	14,545,164
認購	8,086,898	7,193,312
認沽	8,321,894	7,351,852
平均每日合約成交量	278,115	238,445
季末未平倉合約	4,758,454	4,971,657
認購	2,199,870	2,047,456
認沽	2,558,584	2,924,201
總成交宗數	749,275	605,196
平均每日成交宗數	12,700	9,921
已行使合約總數	1,851,439	2,383,708
每張合約平均期權金(港元)	660.68	670.77
每宗交易平均合約數目	22	24
認沽數量／認購數量	1.03	1.02
平均每日合約成交量／未平倉合約	5.8%	4.8%
所有期貨及期權產品		
總合約成交量	32,608,466	30,106,876
季末未平倉合約	6,219,713	5,861,532

市場概況

結算及交收

中央結算系統營運資料	2013年第1季	2012年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	990,740	877,050
-交易金額(十億港元)	74.2	63.2
-涉及股數(十億股)	172.6	160.5
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	89,261	79,872
-交收指示涉及金額(十億港元)	268.8	179.9
-涉及股數(十億股)	59.0	41.5
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	463	351
-交收指示涉及金額(百萬港元)	252.0	265.2
-涉及股數(百萬股)	240.9	69.1
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.91	99.90
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	5	5
-補購宗數	5	6
-補購涉及金額(百萬港元)	1.1	1.7
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	3,673.7	3,751.6
-獲納入系統的證券佔已發行股本總數的百分比	69.58	70.60
-股份價值(十億港元)	12,345.0	10,834.0
-獲納入系統的證券佔市價總值的百分比	51.66	50.26
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	319,923	282,124
-股票期貨	39,257	14,178
-黃金期貨	0	0
-利率期貨	0	95
-人民幣貨幣期貨	4,791	-
-股市指數期權	1,097,288	593,478
-股票期權	4,758,454	4,971,657
累積行使股票期權		
-涉及股數(百萬股)	1,952	2,425
-涉及金額(十億港元)	35	31

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2012	第 1 季	586,720.90	2,723,802.82	43,393,219
	第 2 季	409,732.26	2,175,416.95	36,208,311
	第 3 季	437,269.47	2,123,255.03	35,393,350
	第 4 季	524,830.38	2,473,053.10	40,125,188
2013	第 1 季	668,094.05	3,081,052.48	47,851,601

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2012	第 1 季	61	44,652.51	62,770
	第 2 季	61	35,662.57	60,081
	第 3 季	64	33,175.86	59,990
	第 4 季	61	40,541.85	61,633
2013	第 1 季	59	52,221.23	64,388

主 板 股 份

20種升幅最大之股份

2013年第1季

順次	股份代號	股份	收市價		升幅
			2013年3月底	2012年12月底	
1	00397	中國保綠資產	0.210	0.064	228.13
2	00572	中國包裝集團	0.690	0.240	187.50
3	01165	順風光電	1.020	0.360	183.33
4	01045	亞太衛星	5.400	1.950	176.92
5	00326	中國星集團	0.390	0.142	174.65
6	00102	凱升控股	4.450	1.662 A	167.75
7	00986	中國環保能源	3.060	1.220	150.82
8	00221	PNG資源	0.270	0.112	141.07
9	01004	麗盛集團控股	0.580	0.247	134.82
10	00732	信利國際	4.010	1.750	129.14
11	01003	21控股	0.550	0.246	123.58
12	00570	盈天醫藥	3.540	1.600	121.25
13	00745	中國鐵聯傳媒	0.390	0.181	115.47
14	01019	康宏理財	2.470	1.150	114.78
15	01129	中國水業集團	1.460	0.700	108.57
16	00143	GLOBAL TECH	0.054	0.026	107.69
17	00311	聯泰控股	2.420	1.170	106.84
18	01126	德林國際	1.220	0.600	103.33
19	00703	FUTURE BRIGHT	2.410	1.220	97.54
20	03633	中裕燃氣	2.720	1.400	94.29

A 經調整

20種跌幅最大之股份

2013年第1季

順次	股份代號	股份	收市價		跌幅
			2013年3月底	2012年12月底	
1	00033	和協海峽金融集團	0.072	0.335	-78.51
2	00723	永保林業	0.050	0.134	-62.69
3	00616	永義實業	0.180	0.470	-61.70
4	00876	永利控股	0.610	1.420	-57.04
5	00329	叁龍國際	0.650	1.485 A	-56.23
6	01383	太陽世紀集團	0.400	0.880	-54.55
7	00479	華建控股	0.112	0.236	-52.54
8	00104	ASIA COMM HOLD	0.231	0.468 A	-50.64
9	00030	佳訊控股	0.250	0.490	-48.98
10	00724	泰豐國際	0.036	0.070	-48.57
11	00136	馬斯葛集團	0.101	0.189	-46.56
12	01130	中國環境資源	0.310	0.580 A	-46.55
13	01161	奧思集團	0.580	1.070	-45.79
14	00312	歲寶百貨	0.400	0.720	-44.44
15	00814	北京京客隆	3.040	5.400	-43.70
16	01338	霸王集團	0.440	0.780	-43.59
17	01048	新源控股	1.200	2.100	-42.86
18	00575	勵品太平洋	0.115	0.201	-42.79
19	01387	人和商業	0.465	0.810	-42.59
20	01082	現代教育	0.211	0.360	-41.39

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2013年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	02318	中國平安	115,125.45	3.74
2	00941	中國移動	98,607.17	3.20
3	00939	建設銀行	96,031.65	3.12
4	01398	工商銀行	92,078.16	2.99
5	03988	中國銀行	75,293.75	2.44
6	00700	騰訊控股	74,740.78	2.43
7	00005	匯豐控股	73,931.25	2.40
8	01299	友邦保險	73,713.69	2.39
9	00883	中國海洋石油	59,808.76	1.94
10	00386	中國石油化工股份	54,661.66	1.77
11	02628	中國人壽	53,668.60	1.74
12	00857	中國石油股份	42,990.77	1.40
13	00016	新鴻基地產	42,535.88	1.38
14	00388	香港交易所	39,487.55	1.28
15	01088	中國神華	33,255.18	1.08
16	00013	和記黃埔	33,092.45	1.07
17	00688	中國海外發展	32,564.02	1.06
18	01288	農業銀行	32,206.59	1.05
19	00001	長江實業	31,116.87	1.01
20	01988	民生銀行	29,507.06	0.96
總額			1,184,417.29	38.44

20種成交最活躍股份(股數)

2013年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	20,523.59	3.07
2	01398	工商銀行	16,369.57	2.45
3	00397	中國保綠資產	16,207.46	2.43
4	00939	建設銀行	14,966.16	2.24
5	00152	深圳國際	14,260.83	2.13
6	03800	保利協鑫能源	13,816.17	2.07
7	00076	南海石油	13,277.74	1.99
8	00566	漢能太陽能	11,444.87	1.71
9	01191	粵首環保	10,335.51	1.55
10	00493	國美電器	9,210.15	1.38
11	01288	農業銀行	8,048.60	1.20
12	00981	中芯國際	7,871.14	1.18
13	00535	金地商置	7,485.11	1.12
14	03333	恒大地產	6,815.46	1.02
15	01339	中國人民保險集團	6,321.93	0.95
16	00386	中國石油化工股份	6,128.59	0.92
17	00055	中星集團控股	5,624.34	0.84
18	00488	麗新發展	5,286.98	0.79
19	00261	中建科技國際	4,656.55	0.70
20	00702	中國油氣控股	4,480.15	0.67
總數			203,130.89	30.40

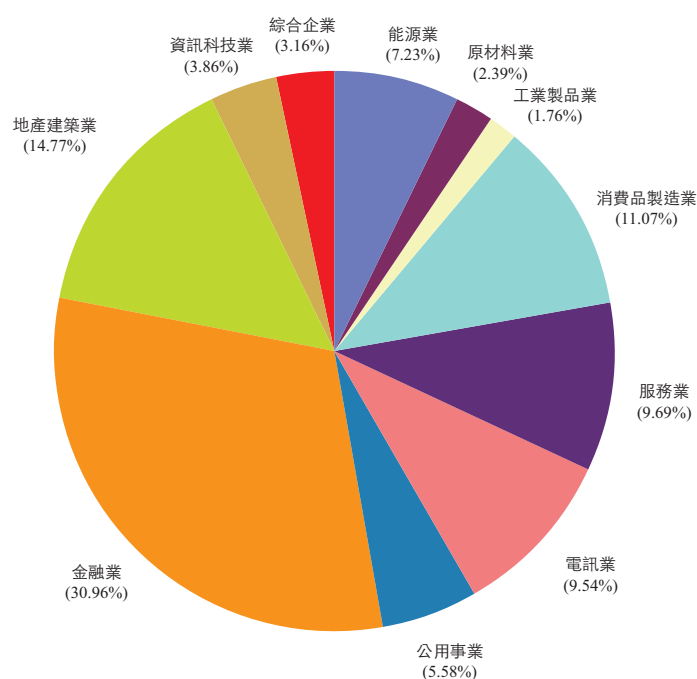
主 板 股 份

上市公司市價總值－主板

百 萬 港 元		
2012	第 1 季	19,690,708.60
	第 2 季	18,351,873.28
	第 3 季	19,575,154.28
	第 4 季	21,871,730.17
2013	第 1 季	21,865,919.62

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2013年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,652,262.71	7.56
2	00939	中國建設銀行股份有限公司－H股	1,524,245.81	6.97
3	00005	匯豐控股有限公司	1,515,741.46	6.93
4	00883	中國海洋石油有限公司	666,122.89	3.05
5	02888	渣打集團有限公司	485,838.19	2.22
6	01398	中國工商銀行股份有限公司－H股	472,159.60	2.16
7	00700	騰訊控股有限公司	457,650.17	2.09
8	01299	友邦保險控股有限公司	409,496.00	1.87
9	00013	和記黃埔有限公司	344,906.70	1.58
10	01928	金沙中國有限公司	324,238.95	1.48
11	02378	英國保誠有限公司*	–	321,067.96
12	03988	中國銀行股份有限公司－H股	301,040.20	1.38
13	00805	Glencore International plc *	–	288,677.15
14	00016	新鴻基地產發展有限公司	277,914.80	1.27
15	02388	中銀香港(控股)有限公司	273,835.01	1.25
16	00001	長江實業(集團)有限公司	265,432.43	1.21
17	00762	中國聯合網絡通信(香港)股份有限公司	245,076.54	1.12
18	00011	恒生銀行有限公司	238,024.42	1.09
19	00857	中國石油天然氣股份有限公司－H股	214,786.80	0.98
20	00004	九龍倉集團有限公司	209,623.92	0.96
21	00945	Manulife Financial Corporation *	–	206,376.18
22	03328	交通銀行股份有限公司－H股	203,418.92	0.93
23	01913	PRADA S.p.A.	202,147.10	0.92
24	00003	香港中華煤氣有限公司	196,842.31	0.90
25	02318	中國平安保險(集團)股份有限公司－H股	188,409.89	0.86
26	00386	中國石油化工股份有限公司－H股	179,379.10	0.82
27	00066	香港鐵路有限公司	178,769.80	0.82
28	00688	中國海外發展有限公司	174,891.91	0.80
29	00002	中電控股有限公司	171,798.64	0.79
30	01972	太古地產有限公司	161,167.50	0.74
31	00151	中國旺旺控股有限公司	157,410.10	0.72
32	00006	電能實業有限公司	156,334.67	0.71
33	00388	香港交易及結算所有限公司	152,005.03	0.70
34	02628	中國人壽保險股份有限公司－H股	149,567.62	0.68
35	00019	太古股份有限公司	146,216.65	0.67
36	00027	銀河娛樂集團有限公司	136,285.46	0.62
37	01038	長江基建集團有限公司	132,903.77	0.61
38	00135	昆侖能源有限公司	132,848.24	0.61
39	00101	恒隆地產有限公司	129,831.41	0.59
40	00012	恒基兆業地產有限公司	128,228.14	0.59
41	01109	華潤置地有限公司	126,454.55	0.58
42	01288	中國農業銀行股份有限公司－H股	114,348.42	0.52
43	00322	康師傅控股有限公司	113,265.49	0.52
44	00836	華潤電力控股有限公司	110,919.01	0.51
45	01880	百麗國際控股有限公司	108,801.61	0.50
46	00880	澳門博彩控股有限公司	107,517.22	0.49
47	01128	永利澳門有限公司	106,863.53	0.49
48	01929	周大福珠寶集團有限公司	106,000.00	0.48
49	06808	高鑫零售有限公司	103,028.81	0.47
50	06883	新濠博亞娛樂有限公司	98,564.19	0.45
總額			14,052,615.66	64.27
市場總值			21,865,919.62	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2012	第1季	324,025.04	3,855,821.30	8.40
	第2季	297,380.47	3,061,167.30	9.71
	第3季	295,053.14	2,967,517.56	9.94
	第4季	285,387.99	3,416,543.59	8.35
2013	第1季	407,011.47	4,387,021.75	9.28

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2012	第1季	33,605.28	9,790,931.90	0.34
	第2季	30,899.22	8,245,256.75	0.37
	第3季	29,541.26	8,151,536.18	0.36
	第4季	28,450.72	7,779,826.30	0.37
2013	第1季	39,479.17	10,186,659.76	0.39

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2012	第1季	15	0	9,623.84
	第2季	10	0	20,429.18
	第3季	12	2	13,628.71
	第4季	13	0	45,234.54
2013	第1季	10	0	8,124.40

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2013年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
00540	迅捷環球控股有限公司	2013/01/15	600,000,000	123.00
01232	金輪天地控股有限公司	2013/01/16	1,802,456,000	760.13
01348	滙達富控股有限公司	2013/01/23	240,000,000	90.00
03668	中鋁礦業國際	2013/01/31	11,817,782,428	3,179.07
02078	榮陽實業集團有限公司	2013/02/05	1,200,000,000	1,239.00
02033	時計寶投資有限公司	2013/02/05	2,079,946,000	917.93
02178	添利百勤油田服務有限公司	2013/03/06	1,037,500,000	943.00
01319	靄華押業信貸控股有限公司	2013/03/12	400,000,000	98.00
01148	新晨中國動力控股有限公司	2013/03/13	1,287,407,794	774.27
00864	永利地產發展有限公司	2013/03/19	386,175,758	—
總 額				8,124.40

主 板 股 份

除牌上市公司

2013 年第 1 季

股份代號	公司名稱	最後買賣日期	除牌日期
季度內沒有上市公司除牌買賣			

主 板 股 份

公司名稱更改

2013年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
00195	萬佳錫業國際控股有限公司	利海資源國際控股有限公司	2012/11/30	2013/01/10
00566	鉅陽太陽能技術控股有限公司	漢能太陽能集團有限公司	2013/01/14	2013/01/21
01036	南聯地產控股有限公司	萬科置業(海外)有限公司	2012/12/31	2013/01/21
01137	城市電訊(香港)有限公司	香港電視網絡有限公司	2013/01/10	2013/01/28
00908	九洲發展有限公司	珠海控股投資集團有限公司	2013/01/08	2013/02/01
00007	高信集團控股有限公司	凱富能源集團有限公司	2013/01/03	2013/02/04
00161	深圳中航集團股份有限公司－H股	中航國際控股股份有限公司	2013/01/04	2013/02/08
01093	中國製藥集團有限公司	石藥集團有限公司	2013/03/01	2013/03/08
00535	星獅地產(中國)有限公司	金地商置集團有限公司	2013/03/12	2013/03/12
00021	匯通天下集團有限公司	大中華地產控股有限公司	2013/03/05	2013/03/12
00039	生物動力集團有限公司	中國釀酒集團有限公司	2013/02/07	2013/03/13
00692	寶源控股有限公司	中國家居控股有限公司	2013/02/07	2013/03/18
00419	華億傳媒有限公司	中國9號健康產業有限公司	2013/02/28	2013/03/20
02310	光星電子香港有限公司	申基國際控股有限公司	2013/03/12	2013/03/21
00873	國際泰豐控股有限公司	中國泰豐床品控股有限公司	2013/01/25	2013/03/27

主 板 股 份

紅股／紅利認股權證

2013年第1季

股份代號	公司	詳情	除淨日
00587	華瀚生物製藥控股有限公司	5送1	2013/01/02
00896	興勝創建控股有限公司	10送1	2013/02/05
00723	永保林業控股有限公司	每供1股送1份認股權證	2013/03/28

股份拆細及合併

2013年第1季

股份代號	公司	詳情	生效日期
00104	冠亞商業集團有限公司	10股合併為1股	2013/01/08
01163	德金資源集團有限公司	10股合併為1股	2013/01/10
00399	聯合基因科技集團有限公司	20股合併為1股	2013/01/21
02218	煙台北方安德利果汁股份有限公司－H股	10股合併為1股	2013/01/28
00928	野馬國際集團有限公司	10股合併為1股	2013/01/31
00381	僑雄能源控股有限公司	5股合併為1股	2013/02/08
02366	勤+緣媒體服務有限公司	10股合併為1股	2013/02/26
01130	中國環境資源集團有限公司	20股合併為1股	2013/03/01
00630	雋泰控股有限公司	10股合併為1股	2013/03/26
00139	中國微電子科技集團有限公司	5股合併為1股	2013/03/26
00959	奧瑪仕控股有限公司	20股合併為1股	2013/03/28

主 板 股 份

供股／公開售股

2013年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	00616	永義實業集團有限公司	股權1供5，每股供0.40港元 (20股合併為1股後)	114.43
	01383	太陽世紀集團有限公司	公開售股1供6，每股供0.25港元	313.55
	00036	遠東控股國際有限公司	公開售股2供1，每股供0.20港元	30.28
	02882	香港資源控股有限公司	股權5供2，每股供0.15港元 另每供4股送1份紅利認股權證	118.15
	00104	冠亞商業集團有限公司	股權1供3，每股供0.20港元 (10股合併為1股後)	205.03
	00329	叁龍國際有限公司	股權1供1，每股供1.00港元	105.97
	00885	福方集團有限公司	股權2供1，每股供0.25港元	50.25
	01822	幻音數碼控股有限公司	公開售股2供1，每股供0.1286港元	40.03
	00800	A8電媒音樂控股有限公司	股權1供2，每股供0.36港元	342.92
	00757	陽光能源控股有限公司	公開售股5供1，每股供0.51港元	254.11
3月	02366	勤+緣媒體服務有限公司	股權1供1，每股供0.35港元 (10股合併為1股後)	164.08
	00307	優派能源發展集團有限公司	股權2供1，每股供0.50港元	424.45
	總額			2,163.25

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
1 月	01003	21 控股	53,000,000	0.230	12.19
	00159	布萊克萬礦業	190,243,902	0.410	78.00
	00633	中國全通	112,000,000	1.800	201.60
	00260	中國環投股份	730,000,000	0.200	146.00
	00326	中國星集團	400,000,000	0.140	56.00
	00712	卡姆丹克太陽能	120,000,000	1.740	208.80
	00544	大同集團	360,000,000	0.128	46.08
	00764	永恒策略	47,000,000	0.645	30.32
	03333	恒大地產	1,000,000,000	4.350	4,350.00
	01788	國泰君安國際	85,000,000	2.200	187.00
	00663	金山能源	1,555,555,000	0.450	700.00
	01082	現代教育	96,000,000	0.325	31.20
	00166	新時代能源	57,000,000	0.910	51.87
	00886	銀基集團	135,000,000	2.450	330.75
	01918	融創中國	300,000,000	6.700	2,010.00
	00928	野馬國際	127,280,496	0.085	10.82
	01083	港華燃氣	150,000,000	6.310	946.50
	00897	位元堂	157,000,000	0.125	19.63
	00273	威利國際	16,728,240	1.000	16.73
	01191	粵首環保	800,000,000	0.012	9.60
2 月	00154	北京發展(香港)	177,000,000	1.130	200.01
	00009	長和國際實業	68,640,000	0.415	28.49
	00616	永義實業	68,656,000	0.440	30.21
	00535	金地商置	900,000,000	0.780	702.00
	00566	漢能太陽能	1,964,611,584	0.239	469.54
	00566	漢能太陽能	6,000,000,000	0.100	600.00
	00195	利海資源	1,804,000,000	0.200	360.80
	00136	馬斯葛集團	306,910,020	0.100	30.69
	00070	海王集團	769,000,000	0.173	133.04
	00166	新時代能源	14,000,000	0.980	13.72
	00577	保華建業	2,938,236,000	0.680	1,998.00
	00379	必美宜	1,000,000,000	0.355	355.00
	00386	中國石油化工股份	2,845,234,000	8.450	24,042.23
3 月	00835	亞洲煤業	6,465,850,314	0.024	151.95
	06828	中國威力印刷	34,840,000	0.980	34.14
	00986	中國環保能源	6,560,000	1.200	7.87
	00164	中國伽瑪	420,000,000	0.097	40.74
	00689	長盈集團(控股)	125,000,000	0.180	22.50
	00885	福方集團	80,404,339	0.210	16.88
	01188	正道集團	1,638,000,000	0.100	163.80
	01142	西伯利亞礦業	84,000,000	0.260	21.84
	01166	蒙古礦業	31,240,000	0.400	12.50
	00897	位元堂	488,000,000	0.220	107.36
	01191	粵首環保	2,500,000,000	0.019	47.50
總 額					39,033.88

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2012	第1季	28.26	525,898.84	525,927.10
	第2季	22.75	382,702.18	382,724.93
	第3季	10.37	355,739.26	355,749.63
	第4季	18.06	382,100.50	382,118.56
2013	第1季	25.69	605,817.72	605,843.41

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2012	第1季	13	404.92	4,094	94,572.19	4,107	94,977.11
	第2季	14	378.29	4,032	64,850.10	4,046	65,228.38
	第3季	12	335.18	3,903	77,929.72	3,915	78,264.90
	第4季	10	521.90	3,747	105,257.03	3,757	105,778.92
2013	第1季	11	359.52	4,484	70,659.35	4,495	71,018.87

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2012	第1季	1	1,580	50,958.90
	第2季	2	1,373	44,256.44
	第3季	0	1,328	36,903.66
	第4季	0	1,605	42,042.21
2013	第1季	1	1,880	48,254.00

新上市股份認股權證

2013年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01350	香港資源控股有限公司2018年認股權證	48,242,607	2013/02/01	2018/01/30	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2013年第1季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
89003	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Jul 2014 A	43,000,000	2013/01/02	2014/07/03	13.64
89004	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Sep 2013 A	30,000,000	2013/01/02	2013/09/03	13.43
22188	CC Rabobank B.A. - BOCL European Warrants Aug 2013 A	120,000,000	2013/01/02	2013/08/01	18.00
22190	CC Rabobank B.A. - BankComm European Warrants Jun 2013 A	60,000,000	2013/01/02	2013/06/17	10.80
22191	CC Rabobank B.A. - China Shenhua European Wts Jul 2013 A	90,000,000	2013/01/02	2013/07/08	13.50
22187	CC Rabobank B.A. - Zijin Mining European Warrants Jul 2013 A	70,000,000	2013/01/02	2013/07/02	17.50
22175	Standard Chartered Bank - Lenovo European Wts Aug 2013 A	50,000,000	2013/01/02	2013/08/08	16.00
22174	Standard Chartered Bank -PetroChina European Wts Aug 2013 A	50,000,000	2013/01/02	2013/08/08	15.00
22193	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Sep 2013 A	120,000,000	2013/01/02	2013/09/16	20.40
22186	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jun 2013 B	100,000,000	2013/01/02	2013/06/03	18.00
22192	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Aug 2013 A	100,000,000	2013/01/02	2013/08/05	15.00
22185	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Apr 2013 C	150,000,000	2013/01/02	2013/04/22	49.50
22179	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13D	50,000,000	2013/01/02	2013/06/24	27.50
22177	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt May 2013A	50,000,000	2013/01/02	2013/05/27	31.00
22178	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Apr 2014A	300,000,000	2013/01/02	2014/04/30	46.50
22184	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul13A	50,000,000	2013/01/02	2013/07/03	17.25
22183	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2013 D	168,000,000	2013/01/02	2013/05/06	35.28
22182	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Apr 2013 B	150,000,000	2013/01/02	2013/04/29	58.50
22180	SGA Societe Generale Acceptance N.V. - KECL Euro Wts Jun 13A	30,000,000	2013/01/02	2013/06/03	20.40
22181	SGA Societe Generale Acceptance N.V. - KECL Euro Wts Apr 14A	100,000,000	2013/01/02	2014/04/30	18.00
22196	UBS AG - CNOOC European Warrants Feb 2014 A	200,000,000	2013/01/02	2014/02/17	50.00
22198	UBS AG - CNOOC European Warrants Jun 2014 A	200,000,000	2013/01/02	2014/06/16	50.00
22194	UBS AG - China Tel European Warrants Sep 2013 A	100,000,000	2013/01/02	2013/09/16	25.00
22236	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Dec 2014 A	100,000,000	2013/01/04	2014/12/02	25.00
22235	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Dec 2013 A	100,000,000	2013/01/04	2013/12/03	26.00
22240	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Nov 2013 A	100,000,000	2013/01/04	2013/11/04	25.00
22234	BNP Paribas Arbit Issu B.V. - KECL European Wts Oct 2015 A	100,000,000	2013/01/04	2015/10/05	25.00
22238	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Jun 2014 A	45,000,000	2013/01/04	2014/06/04	11.70
22237	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Dec 2013 A	100,000,000	2013/01/04	2013/12/03	27.00
22233	BNP Paribas Arbit Issu B.V. - Prada European Wts Nov 2015 A	100,000,000	2013/01/04	2015/11/03	25.00
22239	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Oct 2013 A	100,000,000	2013/01/04	2013/10/03	25.00
22224	Daiwa Capital Mkt - CSOP A50 ETF European Wts Jul 2013 A	128,000,000	2013/01/04	2013/07/03	32.00
22225	Daiwa Capital Mkt - CSOP A50 ETF European Put Wts Jun 2013 B	98,000,000	2013/01/04	2013/06/18	25.48
22200	Goldman Sachs SP (Asia) - CAM CSI300 Euro Put Wts Oct 2013 A	80,000,000	2013/01/04	2013/10/03	20.00
22203	Goldman Sachs SP (Asia) - Brilliance Chi Euro Wts Apr 2014 A	80,000,000	2013/01/04	2014/04/02	20.00
22202	Goldman Sachs SP (Asia) - BYD European Warrants Oct 2013 A	80,000,000	2013/01/04	2013/10/03	20.16
22201	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Nov 2014 A	80,000,000	2013/01/04	2014/11/26	12.00
22204	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Dec 2013 A	80,000,000	2013/01/04	2013/12/03	20.80
22208	HK Bank - CITIC Sec European Warrants Oct 2013 A	68,000,000	2013/01/04	2013/10/28	17.00
22220	HK Bank - China Life European Warrants Aug 2013 A	80,000,000	2013/01/04	2013/08/30	16.80
22207	HK Bank - China Mobile European Warrants Jun 2013 C	80,000,000	2013/01/04	2013/06/04	16.00
22205	HK Bank - Geely Auto European Warrants Aug 2013 A	60,000,000	2013/01/04	2013/08/05	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
22206	HK Bank - Link REIT European Warrants Aug 2013 A	60,000,000	2013/01/04	2013/08/05	15.00
22209	HK Bank - Zoomlion European Warrants Apr 2014 A	80,000,000	2013/01/04	2014/04/14	20.00
22228	J P Morgan SP BV - CAM CSI300 European Warrants Sep 2013 A	180,000,000	2013/01/04	2013/09/02	33.84
22227	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Oct 2013 A	300,000,000	2013/01/04	2013/10/30	75.00
22226	J P Morgan SP BV - HSI European Warrants Aug 2013 A	300,000,000	2013/01/04	2013/08/29	45.00
22215	CC Rabobank B.A. - CAM CSI300 European Warrants Sep 2013 B	150,000,000	2013/01/04	2013/09/24	22.50
22218	CC Rabobank B.A. - CAM CSI300 European Put Wts Sep 2013 C	150,000,000	2013/01/04	2013/09/09	37.50
22212	CC Rabobank B.A. - China EB Ltd European Warrants Apr 2014 A	80,000,000	2013/01/04	2014/04/22	20.00
22210	CC Rabobank B.A. - CITIC Sec European Warrants Nov 2013 A	90,000,000	2013/01/04	2013/11/25	22.50
22214	CC Rabobank B.A. - Dongfeng Motor European Wts Feb 2014 A	120,000,000	2013/01/04	2014/02/24	30.00
22221	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Sep13C	150,000,000	2013/01/04	2013/09/30	28.50
22223	UBS AG - CAM CSI300 European Warrants Jul 2013 A	200,000,000	2013/01/04	2013/07/15	50.00
22229	UBS AG - CC Bank European Warrants Jul 2013 A	100,000,000	2013/01/04	2013/07/15	25.00
22242	UBS AG - China National Building European Wts Jun 2013 B	100,000,000	2013/01/04	2013/06/28	52.00
22241	UBS AG - China National Building European Wts Apr 2014 A	100,000,000	2013/01/04	2014/04/07	23.00
22222	UBS AG - CSOP A50 ETF European Warrants Jul 2013 A	100,000,000	2013/01/04	2013/07/15	25.00
22230	UBS AG - Evergrande European Warrants Jun 2013 A	100,000,000	2013/01/04	2013/06/25	40.00
22232	UBS AG - PICC European Warrants Jun 2013 B	100,000,000	2013/01/04	2013/06/28	32.00
22288	Credit Suisse AG - Agricultural Bank European Wts Sep 2013 A	70,000,000	2013/01/07	2013/09/16	11.20
22284	Credit Suisse AG - CAM CSI300 European Warrants Jul 2013 A	100,000,000	2013/01/07	2013/07/29	25.00
22290	Credit Suisse AG - CAM CSI300 European Warrants Sep 2013 C	100,000,000	2013/01/07	2013/09/27	25.00
22286	Credit Suisse AG - CM Bank European Warrants Jul 2013 A	70,000,000	2013/01/07	2013/07/08	17.50
22287	Credit Suisse AG - CNOOC European Warrants Aug 2013 A	70,000,000	2013/01/07	2013/08/05	11.20
22281	Credit Suisse AG - CSOP A50 ETF European Warrants Jul 2013 D	100,000,000	2013/01/07	2013/07/15	25.00
22283	Credit Suisse AG - A50 China European Warrants Jul 2013 A	100,000,000	2013/01/07	2013/07/08	25.00
22279	Citigroup Global Mkt H Inc. - China Life Euro Wts Jan 2014 A	100,000,000	2013/01/07	2014/01/27	25.00
22269	Citigroup Global Mkt H Inc. - China Shenhua Eu Wt Sep 2013 A	100,000,000	2013/01/07	2013/09/16	25.00
22294	Citigroup Global Mkt H Inc. - Ch Unicom Eu Put Wt Aug 2013 B	100,000,000	2013/01/07	2013/08/23	17.00
22277	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Aug 2013 A	100,000,000	2013/01/07	2013/08/12	25.00
22319	Citigroup Global Mkt H Inc. - HSI European Wts Jun 2013 C	100,000,000	2013/01/07	2013/06/27	19.00
22317	Citigroup Global Mkt H Inc. - HSI European Wts Jul 2013 B	100,000,000	2013/01/07	2013/07/30	25.00
22315	Citigroup Global Mkt H Inc. - HSI European Wts Sep 2013 B	100,000,000	2013/01/07	2013/09/27	25.00
22311	Citigroup Global Mkt H Inc. - HSI European Wts Dec 2013 B	100,000,000	2013/01/07	2013/12/30	25.00
22313	Citigroup Global Mkt H Inc. - HSI European Wts Dec 2013 D	100,000,000	2013/01/07	2013/12/30	25.00
22318	Citigroup Global Mkt H Inc. - HSI European Put Wt Jun 2013 B	100,000,000	2013/01/07	2013/06/27	17.00
22316	Citigroup Global Mkt H Inc. - HSI European Put Wt Jul 2013 A	100,000,000	2013/01/07	2013/07/30	15.00
22314	Citigroup Global Mkt H Inc. - HSI European Put Wt Sep 2013 A	100,000,000	2013/01/07	2013/09/27	25.00
22310	Citigroup Global Mkt H Inc. - HSI European Put Wt Dec 2013 A	100,000,000	2013/01/07	2013/12/30	25.00
22312	Citigroup Global Mkt H Inc. - HSI European Put Wt Dec 2013 C	100,000,000	2013/01/07	2013/12/30	25.00
22258	Citigroup Global Mkt H Inc. - KECL European Wts Apr 2014 A	100,000,000	2013/01/07	2014/04/28	20.00
22280	Citigroup Global Mkt H Inc. - Link Reit Euro Wts Sep 2013 A	100,000,000	2013/01/07	2013/09/02	25.00
22274	Citigroup Global Mkt H Inc. - Luk Fook Hold Eu Wt Jul 2013 A	100,000,000	2013/01/07	2013/07/08	25.00
22268	Citigroup Global Mkt H Inc. - PetroChina Eur Put Wt Dec 13 B	100,000,000	2013/01/07	2013/12/30	25.00
22276	Citigroup Global Mkt H Inc. - Sands China Euro Wt Aug 2013 A	100,000,000	2013/01/07	2013/08/19	25.00

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		發行單位	上市日期	到期日	
22261	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Sep 2013 A	100,000,000	2013/01/07	2013/09/24	20.00
22275	Citigroup Global Mkt H Inc.-Tencent European Wts Aug 2013 A	100,000,000	2013/01/07	2013/08/05	22.00
22295	Citigroup Global Mkt H Inc. - YZ Coal Euro Put Wt Dec 2013 A	100,000,000	2013/01/07	2013/12/23	25.00
22243	Goldman Sachs SP (Asia) - HSI European Warrants May 2013 B	100,000,000	2013/01/07	2013/05/30	15.60
22244	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2013 A	100,000,000	2013/01/07	2013/08/29	15.90
22245	Goldman Sachs SP (Asia) - HSI European Put Wts May 2013 C	100,000,000	2013/01/07	2013/05/30	15.20
22246	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2013 C	100,000,000	2013/01/07	2013/06/27	16.80
22255	HK Bank - Agricultural Bank European Warrants Sep 2013 A	80,000,000	2013/01/07	2013/09/23	14.40
22256	HK Bank - BOCL European Warrants Nov 2013 A	80,000,000	2013/01/07	2013/11/26	12.00
22250	HK Bank - CITIC Bank European Warrants Jul 2013 A	68,000,000	2013/01/07	2013/07/15	17.00
22249	HK Bank - CM Bank European Warrants Jun 2014 A	100,000,000	2013/01/07	2014/06/30	25.00
22252	HK Bank - CNBM European Warrants Apr 2014 B	100,000,000	2013/01/07	2014/04/07	19.00
22248	HK Bank - CSOP A50 ETF European Warrants Jul 2013 A	68,000,000	2013/01/07	2013/07/09	23.80
22247	HK Bank - China Tel European Warrants Jul 2013 A	68,000,000	2013/01/07	2013/07/15	17.00
22251	HK Bank - HWL European Warrants Jul 2013 C	80,000,000	2013/01/07	2013/07/09	20.00
22253	HK Bank - SHK Ppt European Warrants Jul 2013 A	68,000,000	2013/01/07	2013/07/09	20.40
22296	J P Morgan SP BV - BYD European Warrants Aug 2013 A	100,000,000	2013/01/07	2013/08/01	25.00
22302	CC Rabobank B.A. - CC Bank European Warrants Apr 2013 A	90,000,000	2013/01/07	2013/04/22	22.50
22303	CC Rabobank B.A. - CC Bank European Warrants May 2013 B	90,000,000	2013/01/07	2013/05/27	22.50
22306	CC Rabobank B.A. - Ch Mobile European Warrants May 2013 B	60,000,000	2013/01/07	2013/05/20	15.00
22305	CC Rabobank B.A. - Ch Mobile European Put Wts Jun 2013 C	60,000,000	2013/01/07	2013/06/25	18.00
22308	CC Rabobank B.A. - Chi Resources European Wts Jul 2013 A	60,000,000	2013/01/07	2013/07/08	15.00
22299	CC Rabobank B.A. - HSBC European Warrants May 2013 A	70,000,000	2013/01/07	2013/05/20	17.50
22300	CC Rabobank B.A. - HSBC European Warrants Jun 2013 C	80,000,000	2013/01/07	2013/06/24	12.00
22301	CC Rabobank B.A. - HSBC European Warrants Sep 2013 A	80,000,000	2013/01/07	2013/09/02	12.00
22298	CC Rabobank B.A. - Tencent Holdings European Wts Sep 2013 A	150,000,000	2013/01/07	2013/09/02	22.50
22297	CC Rabobank B.A. - Tencent Holdings Euro Put Wt Jul 2013 B	150,000,000	2013/01/07	2013/07/15	22.50
22289	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2013 A	150,000,000	2013/01/07	2013/08/21	29.25
22321	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jul 2013 A	150,000,000	2013/01/08	2013/07/29	30.75
22323	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt Aug2013 A	100,000,000	2013/01/08	2013/08/26	18.00
16269	Goldman Sachs SP (Asia) - A50 China European Wts Oct 2013	180,000,000	2013/01/08	2013/10/30	11.70
22330	BOCI Asia Ltd. - China Life European Warrants Jul 2013 C	100,000,000	2013/01/09	2013/07/29	25.00
22328	BOCI Asia Ltd. - China Life European Warrants Oct 2013 A	100,000,000	2013/01/09	2013/10/28	25.00
22329	BOCI Asia Ltd. - China Life European Put Warrants Jul 2013 B	100,000,000	2013/01/09	2013/07/22	25.00
22354	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Jan 2014 B	130,000,000	2013/01/09	2014/01/03	32.50
22372	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Oct 2013 A	100,000,000	2013/01/09	2013/10/03	25.00
22352	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Oct 2013 A	100,000,000	2013/01/09	2013/10/03	25.00
22356	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2014 A	100,000,000	2013/01/09	2014/01/03	25.00
22371	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2013 A	100,000,000	2013/01/09	2013/10/03	17.00
22355	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Aug 2013 A	80,000,000	2013/01/09	2013/08/02	24.00
22370	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Oct 2013 A	100,000,000	2013/01/09	2013/10/03	36.00
22353	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Jan 2014 A	100,000,000	2013/01/09	2014/01/03	25.00
22368	BNP Paribas Arbit Issu B.V. - R&F Ppt Euro Wts Jan 2015 A	100,000,000	2013/01/09	2015/01/05	25.00
22375	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jan 2015 A	100,000,000	2013/01/09	2015/01/05	25.00

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22360	Credit Suisse AG - CAM CSI300 European Warrants Jul 2013 B	100,000,000	2013/01/09	2013/07/15	25.00
22361	Credit Suisse AG - CAM CSI300 European Warrants Aug 2013 A	100,000,000	2013/01/09	2013/08/12	25.00
22363	Credit Suisse AG - China Life European Warrants Aug 2013 A	80,000,000	2013/01/09	2013/08/12	20.00
22364	Credit Suisse AG - HKEx European Put Warrants Jul 2013 B	80,000,000	2013/01/09	2013/07/15	28.00
22362	Credit Suisse AG - Ping An European Warrants Jul 2013 C	100,000,000	2013/01/09	2013/07/22	25.00
22334	Daiwa Capital Mkt - China Life European Warrants Aug 2013 A	188,000,000	2013/01/09	2013/08/01	47.00
22336	Daiwa Capital Mkt - China Mobile European Wts Jun 2013 B	138,000,000	2013/01/09	2013/06/03	40.02
22335	Daiwa Capital Mkt - Ping An European Warrants Jul 2013 A	138,000,000	2013/01/09	2013/07/15	34.50
22367	Bank of East Asia - CAM CSI300 European Warrants Jul 2013 A	60,000,000	2013/01/09	2013/07/24	15.60
22366	Bank of East Asia - CAM CSI300 European Warrants Dec 2013 A	60,000,000	2013/01/09	2013/12/24	15.00
22365	Bank of East Asia - CSOP A50 ETF European Wts Dec 2015 A	100,000,000	2013/01/09	2015/12/10	25.00
22345	J P Morgan SP BV - CAM CSI300 European Warrants Aug 2013 A	180,000,000	2013/01/09	2013/08/01	45.00
22326	J P Morgan Int'l Der. Ltd. - CC Bank European Wts May 2013 B	200,000,000	2013/01/09	2013/05/20	34.40
22327	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Aug 2013 A	200,000,000	2013/01/09	2013/08/02	50.00
22350	J P Morgan SP BV - China Life European Warrants Sep 2013 A	300,000,000	2013/01/09	2013/09/02	75.30
22351	J P Morgan SP BV - CPIC European Warrants Aug 2013 A	150,000,000	2013/01/09	2013/08/01	37.50
22325	J P Morgan SP BV - HSCEI European Put Warrants Jun 2013 E	300,000,000	2013/01/09	2013/06/27	52.80
22324	J P Morgan SP BV - HSI European Put Warrants Jul 2013 A	300,000,000	2013/01/09	2013/07/30	75.00
22347	J P Morgan SP BV - ICBC European Warrants Aug 2013 A	200,000,000	2013/01/09	2013/08/01	50.00
22358	Macquarie Bank Ltd. - China Life European Wts Nov 2013 A	180,000,000	2013/01/09	2013/11/04	45.00
22357	Macquarie Bank Ltd. - HKEx European Warrants Jun 2014 A	250,000,000	2013/01/09	2014/06/04	37.50
22359	Macquarie Bank Ltd. - PICC P&C European Warrants Oct 2014 A	180,000,000	2013/01/09	2014/10/06	45.00
22343	Standard Chartered Bank - CAM CSI300 European Wts Jan 2014 A	100,000,000	2013/01/09	2014/01/03	25.00
22341	Standard Chartered Bank - China Life European Wts Nov 2013 A	100,000,000	2013/01/09	2013/11/08	25.00
22344	Standard Chartered Bank - A50 China Euro Warrants Sep 2013 A	50,000,000	2013/01/09	2013/09/06	15.00
22342	Standard Chartered Bank - NCI European Warrants Jan 2014 A	100,000,000	2013/01/09	2014/01/03	25.00
22337	SGA Societe Generale Acceptance NV-CSOP A50 Eu Put Wt Jul13C	50,000,000	2013/01/09	2013/07/10	26.50
22333	UBS AG - China Life European Warrants Jul 2013 C	300,000,000	2013/01/09	2013/07/29	75.00
22332	UBS AG - China Life European Warrants Sep 2013 A	300,000,000	2013/01/09	2013/09/09	75.00
22338	UBS AG - HSI European Warrants Aug 2013 A	300,000,000	2013/01/09	2013/08/29	54.00
22340	UBS AG - HSI European Put Warrants Jun 2013 E	300,000,000	2013/01/09	2013/06/27	60.00
22339	UBS AG - HSI European Put Warrants Jul 2013 A	300,000,000	2013/01/09	2013/07/30	48.00
22379	BOCI Asia Ltd. - HSI European Warrants Jul 2013 C	100,000,000	2013/01/10	2013/07/30	25.00
22377	BOCI Asia Ltd. - HSI European Warrants Aug 2013 A	100,000,000	2013/01/10	2013/08/29	19.00
22376	BOCI Asia Ltd. - HSI European Put Warrants Jun 2013 E	100,000,000	2013/01/10	2013/06/27	20.00
22403	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Aug 2013 A	100,000,000	2013/01/10	2013/08/02	34.00
22404	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Aug 2013 A	52,000,000	2013/01/10	2013/08/02	13.00
22402	BNP Paribas Arbit Issu B.V. - Ch Oilfield Eur Wts Dec 2013 A	100,000,000	2013/01/10	2013/12/03	25.00
22401	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Aug 2013 A	100,000,000	2013/01/10	2013/08/02	25.00
22405	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2013 A	200,000,000	2013/01/10	2013/08/29	30.00
22400	BNP Paribas Arbit Issu B.V. - Nine Dragons Eur Wt Jan 2014 A	100,000,000	2013/01/10	2014/01/03	15.00
22434	Credit Suisse AG - HSI European Put Warrants Jun 2013 G	180,000,000	2013/01/10	2013/06/27	34.20
22436	Credit Suisse AG - Hutchison European Warrants Jul 2013 A	80,000,000	2013/01/10	2013/07/12	20.00
22435	Credit Suisse AG - ICBC European Warrants Jul 2013 C	80,000,000	2013/01/10	2013/07/12	20.00

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		發行單位	上市日期	到期日	
22437	Credit Suisse AG - Ping An European Warrants Jul 2013 D	100,000,000	2013/01/10	2013/07/29	25.00
22438	Credit Suisse AG - Ping An European Put Warrants Jul 2013 E	100,000,000	2013/01/10	2013/07/29	25.00
22419	Daiwa Capital Mkt - BOCL European Warrants Sep 2013 A	108,000,000	2013/01/10	2013/09/19	16.20
22418	Daiwa Capital Mkt - CSOP A50 ETF European Wts Jul 2013 B	128,000,000	2013/01/10	2013/07/15	32.00
22413	HK Bank - CAM CSI300 European Warrants Oct 2013 A	100,000,000	2013/01/10	2013/10/28	25.00
22411	HK Bank - China Life European Warrants Sep 2013 A	100,000,000	2013/01/10	2013/09/09	25.00
22415	HK Bank - Minsheng Bank European Warrants Jul 2013 C	60,000,000	2013/01/10	2013/07/15	25.80
22412	HK Bank - CSOP A50 ETF European Warrants Jul 2013 B	80,000,000	2013/01/10	2013/07/10	24.00
22410	HK Bank - HKEx European Warrants Jul 2013 A	100,000,000	2013/01/10	2013/07/25	15.00
22398	J P Morgan SP BV - BOCL European Warrants May 2013 B	200,000,000	2013/01/10	2013/05/28	30.00
22399	J P Morgan SP BV - CSOP A50 ETF European Warrants Jun 2013 C	100,000,000	2013/01/10	2013/06/21	25.00
22396	J P Morgan SP BV - CSOP A50 ETF Euro Put Wts Jul 2013 B	100,000,000	2013/01/10	2013/07/09	27.60
22397	J P Morgan SP BV - HSBC European Warrants Jul 2013 A	200,000,000	2013/01/10	2013/07/09	50.00
22417	J P Morgan SP BV - Hutchison European Warrants Jun 2013 C	150,000,000	2013/01/10	2013/06/17	37.95
22416	J P Morgan SP BV - ZTE European Warrants Sep 2013 A	120,000,000	2013/01/10	2013/09/02	30.00
22423	Macquarie Bank Ltd. - Ch Comm Cons European Wts Aug 2013 A	100,000,000	2013/01/10	2013/08/02	25.00
22380	Macquarie Bank Ltd. - Xinyi Glass European Wts Jul 2013 A	100,000,000	2013/01/10	2013/07/30	25.80
22383	Merrill Lynch Int'l & Co. C.V. - BOCL European Wt Sep 2013 A	60,000,000	2013/01/10	2013/09/30	10.32
22388	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Jul 2013 B	40,000,000	2013/01/10	2013/07/29	10.00
22382	Merrill Lynch Int'l & Co. C.V. - CC Bank Eu Put Wt Aug2013 A	40,000,000	2013/01/10	2013/08/19	16.60
22385	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Sep 2013 A	40,000,000	2013/01/10	2013/09/23	10.20
22384	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Aug2013A	40,000,000	2013/01/10	2013/08/26	10.20
22387	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Jul2013A	40,000,000	2013/01/10	2013/07/29	20.00
22389	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts May 2013 A	40,000,000	2013/01/10	2013/05/27	20.80
22386	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jun 2013 B	40,000,000	2013/01/10	2013/06/26	10.60
22381	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Put Wt Aug 2013 A	40,000,000	2013/01/10	2013/08/19	13.80
22429	CC Rabobank B.A. - AAC Acoustic European Warrants Jul 2013 A	60,000,000	2013/01/10	2013/07/22	15.00
22426	CC Rabobank B.A. - BYD European Warrants Jul 2013 B	70,000,000	2013/01/10	2013/07/15	17.50
22430	CC Rabobank B.A. - CPIC European Warrants Jul 2013 A	70,000,000	2013/01/10	2013/07/15	17.50
22424	CC Rabobank B.A. - China Res Gas European Wts Jun 2013 A	70,000,000	2013/01/10	2013/06/25	10.50
22428	CC Rabobank B.A. - Chi Res Land European Warrants Aug 2013 A	70,000,000	2013/01/10	2013/08/12	17.50
22431	CC Rabobank B.A. - Evergrande European Warrants Jul 2013 A	40,000,000	2013/01/10	2013/07/09	14.00
22427	CC Rabobank B.A. - NCI European Warrants Jul 2013 A	45,000,000	2013/01/10	2013/07/09	11.25
22432	CC Rabobank B.A. - Nine Dragons European Warrants Jan 2014 A	200,000,000	2013/01/10	2014/01/03	30.00
22425	CC Rabobank B.A. - Ping An European Put Warrants Aug 2013 A	70,000,000	2013/01/10	2013/08/05	21.00
22439	CC Rabobank B.A. - Zoomlion European Warrants Jun 2013 B	100,000,000	2013/01/10	2013/06/24	15.00
22406	Standard Chartered Bank - CSOP A50 ETF Euro Wts Oct 2013 A	50,000,000	2013/01/10	2013/10/11	12.50
22420	Standard Chartered Bank - A50 China Euro Warrants Sep 2014 A	150,000,000	2013/01/10	2014/09/15	27.75
22409	Standard Chartered Bank - HSBC European Warrants Nov 2013 A	65,000,000	2013/01/10	2013/11/08	16.25
22408	Standard Chartered Bank - ICBC European Warrants Sep 2013 A	65,000,000	2013/01/10	2013/09/12	12.22
22407	Standard Chartered Bank - Tencent Hldg Euro Wts Dec 2013 A	100,000,000	2013/01/10	2013/12/03	25.00
22395	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Oct13A	150,000,000	2013/01/10	2013/10/28	39.00
22392	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jul2013 C	50,000,000	2013/01/10	2013/07/15	19.00
22394	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Aug2013 A	50,000,000	2013/01/10	2013/08/05	14.75

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		發行單位	上市日期	到期日	
22391	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2013 C	30,000,000	2013/01/10	2013/07/15	11.85
22390	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 13 E	300,000,000	2013/01/10	2013/06/27	60.00
22414	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug 13 B	150,000,000	2013/01/10	2013/08/05	38.25
22440	UBS AG - AIA European Warrants Jun 2013 C	100,000,000	2013/01/10	2013/06/03	15.00
22421	UBS AG - HKEx European Warrants May 2014 A	300,000,000	2013/01/10	2014/05/26	45.00
22422	UBS AG - HSBC European Warrants Sep 2013 A	100,000,000	2013/01/10	2013/09/02	20.00
18629#	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jul 13 A	300,000,000	2013/01/10	2013/07/29	43.20
20758#	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Apr 2013 A	200,000,000	2013/01/10	2013/04/29	13.20
21646#	Credit Suisse AG - China Mobile European Warrants May 2013 B	80,000,000	2013/01/10	2013/05/31	13.92
22470	BOCI Asia Ltd. - BOCL European Warrants Sep 2013 A	100,000,000	2013/01/11	2013/09/27	15.00
22468	BOCI Asia Ltd. - CC Bank European Warrants Jul 2013 A	100,000,000	2013/01/11	2013/07/03	25.00
22467	BOCI Asia Ltd. - HKEx European Put Warrants Oct 2013 A	100,000,000	2013/01/11	2013/10/28	16.00
22469	BOCI Asia Ltd. - ICBC European Warrants Sep 2013 A	100,000,000	2013/01/11	2013/09/19	22.00
10168	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Sep 2013 B	100,000,000	2013/01/11	2013/09/30	25.00
10167	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Sep 2013 A	100,000,000	2013/01/11	2013/09/30	25.00
22465	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2013 A	80,000,000	2013/01/11	2013/08/01	22.24
22459	Goldman Sachs SP (Asia) - China Life Euro Wts Aug 2013 A	100,000,000	2013/01/11	2013/08/05	25.00
22466	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2014 A	100,000,000	2013/01/11	2014/06/20	18.70
22464	Goldman Sachs Sp (Asia) - ICBC European Warrants Oct 2013 A	50,000,000	2013/01/11	2013/10/03	12.90
22463	Goldman Sachs SP (Asia) - Ping An European Wts Aug 2013 B	80,000,000	2013/01/11	2013/08/05	30.48
22490	HK Bank - CAM CSI300 European Put Warrants Sep 2013 A	100,000,000	2013/01/11	2013/09/30	25.00
22488	HK Bank - China Mobile European Warrants Sep 2013 A	80,000,000	2013/01/11	2013/09/24	20.00
22489	HK Bank - CSOP A50 ETF European Put Warrants Jul 2013 C	80,000,000	2013/01/11	2013/07/11	24.00
22494	HK Bank - Evergrande European Warrants Jul 2013 A	60,000,000	2013/01/11	2013/07/15	15.00
22492	HK Bank - HSI European Warrants Dec 2013 A	150,000,000	2013/01/11	2013/12/30	37.50
22491	HK Bank - HSI European Put Warrants Jul 2013 A	150,000,000	2013/01/11	2013/07/30	37.50
22493	HK Bank - HSI European Put Warrants Feb 2015 A	150,000,000	2013/01/11	2015/02/26	37.50
22473	J P Morgan SP BV - Brilliance Chi European Wts Aug 2014 A	200,000,000	2013/01/11	2014/08/01	50.00
22475	J P Morgan SP BV - CITIC Sec European Warrants Aug 2013 A	200,000,000	2013/01/11	2013/08/01	50.00
22476	J P Morgan SP BV - CNBM European Warrants Aug 2013 A	100,000,000	2013/01/11	2013/08/01	69.50
22478	J P Morgan SP BV - China Shenhua European Wts Aug 2013 A	200,000,000	2013/01/11	2013/08/01	50.20
22474	J P Morgan SP BV - Zhuzhou CSR European Warrants Dec 2014 B	200,000,000	2013/01/11	2014/12/29	50.00
22472	J P Morgan SP BV - Haitong Sec European Warrants Oct 2013 A	150,000,000	2013/01/11	2013/10/02	37.50
22480	J P Morgan SP BV - KECL European Warrants Jun 2013 A	60,000,000	2013/01/11	2013/06/24	22.02
22481	J P Morgan SP BV - Lumena Newmat European Wts Jul 2013 A	100,000,000	2013/01/11	2013/07/10	25.00
22479	J P Morgan SP BV - Skyworth Digital European Wts Aug 2013 A	60,000,000	2013/01/11	2013/08/01	15.18
22477	J P Morgan SP BV - Zoomlion European Warrants May 2014 A	200,000,000	2013/01/11	2014/05/02	50.00
22483	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Sep 2013 A	100,000,000	2013/01/11	2013/09/16	16.30
22482	Macquarie Bank Ltd. - BOCHK European Warrants Mar 2014 A	150,000,000	2013/01/11	2014/03/17	22.50
22485	Macquarie Bank Ltd. - China COSCO European Wts Jun 2013 A	80,000,000	2013/01/11	2013/06/04	20.00
22484	Macquarie Bank Ltd. - CITIC Sec European Warrants Sep 2013 A	150,000,000	2013/01/11	2013/09/03	37.50
22445	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2013 B	100,000,000	2013/01/11	2013/08/29	25.00
22444	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2013 D	100,000,000	2013/01/11	2013/09/27	25.00
22443	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2013 A	100,000,000	2013/01/11	2013/11/28	25.00

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		發行單位	上市日期	到期日	
22446	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts May 2013 C	100,000,000	2013/01/11	2013/05/30	15.00
22442	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2013 A	100,000,000	2013/01/11	2013/10/30	25.50
22449	Nomura Int'l plc - HKEx European Warrants Jul 2013 A	30,000,000	2013/01/11	2013/07/03	15.00
22447	Nomura Int'l plc - HSBC European Warrants Aug 2013 A	60,000,000	2013/01/11	2013/08/02	15.00
22448	Nomura Int'l plc - JIANG European Warrants Oct 2013 A	60,000,000	2013/01/11	2013/10/03	15.00
22451	Nomura Int'l plc - Ping An European Warrants Aug 2013 A	30,000,000	2013/01/11	2013/08/02	15.00
22450	Nomura Int'l plc - Yanzhou Coal European Warrants Apr 2014 A	60,000,000	2013/01/11	2014/04/02	15.00
22507	CC Rabobank B.A. - CSOP A50 ETF European Warrants Jul 2013 A	50,000,000	2013/01/11	2013/07/12	12.50
22510	CC Rabobank B.A. - A50 China European Warrants Jul 2013 C	40,000,000	2013/01/11	2013/07/12	19.20
22509	CC Rabobank B.A. - A50 China European Warrants Nov 2014 A	70,000,000	2013/01/11	2014/11/04	10.85
22508	CC Rabobank B.A. - A50 China European Put Wts Jul 2013 B	50,000,000	2013/01/11	2013/07/12	14.00
22500	Standard Chartered Bank - Cheung Kong Euro Wts Sep 2013 A	65,000,000	2013/01/11	2013/09/06	16.25
22496	Standard Chartered Bank - R&F Ppt European Wts Feb 2014 A	100,000,000	2013/01/11	2014/02/07	25.00
22497	Standard Chartered Bank - Hutchison Euro Euro Wts Aug 2013 A	65,000,000	2013/01/11	2013/08/30	16.25
22452	SGA Societe Generale Acceptance NV-AMCSI300 Eu Put Wt Sep13D	150,000,000	2013/01/11	2013/09/23	22.50
22455	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Jul 13 A	50,000,000	2013/01/11	2013/07/15	14.50
22453	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt Jul13A	30,000,000	2013/01/11	2013/07/15	18.00
22454	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Nov13A	100,000,000	2013/01/11	2013/11/25	17.00
22457	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Oct 13 A	100,000,000	2013/01/11	2013/10/23	27.00
22503	SGA Societe Generale Acceptance N.V. - HSI Eur Wt May 2013 E	300,000,000	2013/01/11	2013/05/30	54.00
22504	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2013 A	300,000,000	2013/01/11	2013/08/29	61.50
22506	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2013 B	300,000,000	2013/01/11	2013/08/29	54.00
22458	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2013 B	150,000,000	2013/01/11	2013/07/15	38.25
22495	UBS AG - HSCEI European Warrants Sep 2013 B	100,000,000	2013/01/11	2013/09/27	25.00
22501	UBS AG - Hutchison European Warrants Jun 2013 D	100,000,000	2013/01/11	2013/06/28	29.00
22502	UBS AG - Ping An European Warrants Aug 2013 A	100,000,000	2013/01/11	2013/08/12	25.00
14442 [#]	Standard Chartered Bank - A50 China Euro Warrants Oct 2013	200,000,000	2013/01/11	2013/10/28	10.80
20235 [#]	UBS AG - AIA European Warrants Jun 2013 B	100,000,000	2013/01/11	2013/06/17	13.30
20820 [#]	BOCI Asia Ltd. - HSI European Put Warrants Mar 2013 E	400,000,000	2013/01/11	2013/03/27	10.80
22524	BOCI Asia Ltd. - AIA European Warrants Sep 2013 A	100,000,000	2013/01/14	2013/09/16	15.00
22521	BOCI Asia Ltd. - China Mobile European Warrants Jul 2013 A	100,000,000	2013/01/14	2013/07/02	23.00
22523	BOCI Asia Ltd. - Hutchison European Warrants Jul 2013 A	100,000,000	2013/01/14	2013/07/02	29.00
22525	BOCI Asia Ltd. - PetroChina European Warrants Jul 2013 A	100,000,000	2013/01/14	2013/07/02	24.00
22563	BNP Paribas Arbit Issu B.V. - Agile Ppt Euro Wt Jan 2014 A	100,000,000	2013/01/14	2014/01/03	26.00
22565	BNP Paribas Arbit Issu B.V. - BOCHK European Wts Mar 2014 A	100,000,000	2013/01/14	2014/03/31	19.00
22568	BNP Paribas Arbit Issu B.V. - Brilliance Chi Eu Wt Oct2014 A	100,000,000	2013/01/14	2014/10/06	27.00
22567	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Oct 2013 A	45,000,000	2013/01/14	2013/10/03	15.30
22566	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Oct 2013 A	65,000,000	2013/01/14	2013/10/03	25.35
22564	BNP Paribas Arbit Issu B.V. - CPIC European Wts Oct 2013 A	100,000,000	2013/01/14	2013/10/03	27.00
22569	BNP Paribas Arbit Issu B.V. - China Taiping Eu Wt Jan 2014 B	33,000,000	2013/01/14	2014/01/03	10.56
22571	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Oct 2013 A	100,000,000	2013/01/14	2013/10/03	36.00
22570	BNP Paribas Arbit Issu B.V. - Luk Fook Hold Eu Wt Oct 2013B	60,000,000	2013/01/14	2013/10/03	19.80
22572	BNP Paribas Arbit Issu B.V. - Want Want Ch Eu Wts Feb 2014 A	100,000,000	2013/01/14	2014/02/05	15.00
22549	Credit Suisse AG - China Mobile European Warrants Jul 2013 B	80,000,000	2013/01/14	2013/07/16	12.00

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		發行單位	上市日期	到期日	
22546	Credit Suisse AG - HKEx European Warrants Jul 2013 C	80,000,000	2013/01/14	2013/07/15	37.60
22547	Credit Suisse AG - HKEx European Warrants Jul 2013 D	80,000,000	2013/01/14	2013/07/15	28.00
22548	Credit Suisse AG - HKEx European Put Warrants Jul 2013 E	80,000,000	2013/01/14	2013/07/15	38.40
22534	Daiwa Capital Mkt - HKEx European Warrants May 2014 A	128,000,000	2013/01/14	2014/05/22	19.20
22535	Daiwa Capital Mkt - HSBC European Warrants Oct 2013 A	158,000,000	2013/01/14	2013/10/15	39.50
22544	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Sep 2013 A	80,000,000	2013/01/14	2013/09/03	20.72
22543	Goldman Sachs SP (Asia) - CITIC Pacific Euro Wts Apr 2014 A	80,000,000	2013/01/14	2014/04/02	20.32
22541	Goldman Sachs SP (Asia) - CPIC European Warrants Sep 2013 B	100,000,000	2013/01/14	2013/09/02	25.20
22542	Goldman Sachs SP (Asia) - Sands China Euro Wts Aug 2013 A	80,000,000	2013/01/14	2013/08/05	20.32
22520	HK Bank - Henderson Land Dev European Warrants Sep 2013 A	68,000,000	2013/01/14	2013/09/24	17.00
22518	HK Bank - HSI European Put Warrants Jun 2013 C	200,000,000	2013/01/14	2013/06/27	30.00
22519	HK Bank - PICC European Warrants Sep 2014 A	80,000,000	2013/01/14	2014/09/29	20.00
22517	J P Morgan SP BV - Ch Railway Cons European Wts Jan 2015 A	200,000,000	2013/01/14	2015/01/02	50.00
22516	J P Morgan SP BV - R&F Ppt European Warrants Jan 2014 A	100,000,000	2013/01/14	2014/01/02	25.00
22515	J P Morgan SP BV - Stanchart European Warrants Jan 2014 A	150,000,000	2013/01/14	2014/01/02	22.50
22536	Macquarie Bank Ltd. - CAM CSI300 European Wts Aug 2013 A	200,000,000	2013/01/14	2013/08/02	50.00
22532	Macquarie Bank Ltd. - Sinopec Corp European Wts Aug 2013 A	200,000,000	2013/01/14	2013/08/02	50.00
22531	Macquarie Bank Ltd. - KECL European Warrants Sep 2014 A	200,000,000	2013/01/14	2014/09/02	50.00
22537	Macquarie Bank Ltd. - Ping An European Warrants Aug 2013 A	150,000,000	2013/01/14	2013/08/02	53.25
22514	Merrill Lynch Int'l & Co. C.V. - CAM CSI300 Eu Wt Sep 2013 A	40,000,000	2013/01/14	2013/09/23	10.20
22513	Merrill Lynch Int'l & Co. C.V. - CSOP A50 ETF Eu Wt Jul 13 A	40,000,000	2013/01/14	2013/07/09	12.20
22511	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Jun 2013 B	40,000,000	2013/01/14	2013/06/17	12.20
22512	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Jul 2013 B	40,000,000	2013/01/14	2013/07/29	10.00
22556	CC Rabobank B.A. - Cheung Kong European Warrants May 2013 A	50,000,000	2013/01/14	2013/05/20	15.00
22555	CC Rabobank B.A. - Cheung Kong European Warrants Jul 2013 A	50,000,000	2013/01/14	2013/07/15	15.00
22560	CC Rabobank B.A. - China Mer Hold European Wts Jul 2013 A	60,000,000	2013/01/14	2013/07/30	15.00
22551	CC Rabobank B.A. - CNOOC European Warrants Jul 2013 A	30,000,000	2013/01/14	2013/07/15	18.00
22554	CC Rabobank B.A. - GCL-Poly Energy European Wts Jul 2013 A	100,000,000	2013/01/14	2013/07/15	25.00
22553	CC Rabobank B.A. - Hutchison European Warrants Jul 2013 A	60,000,000	2013/01/14	2013/07/15	15.00
22550	CC Rabobank B.A. - Ping An European Warrants Jul 2013 B	70,000,000	2013/01/14	2013/07/30	17.50
22557	CC Rabobank B.A. - Sinopharm European Warrants Jul 2013 A	60,000,000	2013/01/14	2013/07/15	15.00
22561	CC Rabobank B.A. - Skyworth Digital European Wts Jul 2013 A	30,000,000	2013/01/14	2013/07/22	15.00
22558	CC Rabobank B.A. - SPDR Gold Trust European Wts May 2013 A	70,000,000	2013/01/14	2013/05/27	10.50
22559	CC Rabobank B.A. - SPDR Gold Trust European Wts Jul 2013 A	40,000,000	2013/01/14	2013/07/15	16.00
22562	CC Rabobank B.A. - Ting Yi European Warrants Aug 2013 A	50,000,000	2013/01/14	2013/08/05	12.50
22526	Standard Chartered Bank - CC Bank European Wts Nov 2013 B	65,000,000	2013/01/14	2013/11/01	16.25
22527	Standard Chartered Bank - Ch Comm Cons Eur Wts Aug 2013 A	65,000,000	2013/01/14	2013/08/08	26.65
22528	Standard Chartered Bank - HKEx European Warrants Sep 2013 A	100,000,000	2013/01/14	2013/09/27	40.00
22529	Standard Chartered Bank - HSI European Warrants Sep 2013 A	60,000,000	2013/01/14	2013/09/27	13.32
22530	Standard Chartered Bank - HSI European Put Wts Jul 2013 A	60,000,000	2013/01/14	2013/07/30	15.00
89005	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jul13A	70,000,000	2013/01/14	2013/07/31	18.72
89006	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13A	20,000,000	2013/01/14	2013/07/31	13.43
22533	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Sep 13 A	100,000,000	2013/01/14	2013/09/09	20.50
22540	UBS AG - CSOP A50 ETF European Warrants Jul 2013 B	100,000,000	2013/01/14	2013/07/29	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
22538	UBS AG - HKEx European Warrants Jul 2013 C	100,000,000	2013/01/14	2013/07/15	38.00
22539	UBS AG - ICBC European Warrants Jul 2013 B	100,000,000	2013/01/14	2013/07/15	25.00
20540#	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2013 C	110,000,000	2013/01/14	2013/02/25	10.89
22576	BOCI Asia Ltd. - Anhui Conch European Warrants Jul 2013 A	100,000,000	2013/01/15	2013/07/03	23.00
22578	BOCI Asia Ltd. - China Mobile European Put Wts Jun 2013 A	100,000,000	2013/01/15	2013/06/10	25.00
22577	BOCI Asia Ltd. - Sinopec Corp European Warrants Aug 2013 A	100,000,000	2013/01/15	2013/08/15	21.00
22620	BNP Paribas Arbit Issu B.V. - CHALCO European Wts Oct 2013 A	33,000,000	2013/01/15	2013/10/03	11.22
22624	BNP Paribas Arbit Issu B.V. - HKT-SS European Wts Oct 2014 A	21,000,000	2013/01/15	2014/10/03	10.50
22626	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Oct 2013 A	37,000,000	2013/01/15	2013/10/03	14.43
22625	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts May 2014 A	100,000,000	2013/01/15	2014/05/05	28.00
22611	Credit Suisse AG - CNBM European Warrants Jul 2013 A	80,000,000	2013/01/15	2013/07/15	36.80
22573	Credit Suisse AG - CSOP A50 ETF European Warrants Jul 2013 E	80,000,000	2013/01/15	2013/07/15	20.00
22610	Credit Suisse AG - CSOP A50 ETF Euro Put Wts Jun 2013 D	80,000,000	2013/01/15	2013/06/17	14.40
22612	Credit Suisse AG - HSI European Warrants Aug 2013 B	150,000,000	2013/01/15	2013/08/29	24.00
22617	Goldman Sachs SP (Asia) -Ch Overseas European Wts Sep 2013 B	80,000,000	2013/01/15	2013/09/16	20.56
22613	Goldman Sachs SP (Asia) - HSCEI European Warrants Sep 2013 A	150,000,000	2013/01/15	2013/09/27	37.50
22614	Goldman Sachs SP (Asia) - HSCEI European Warrants Dec 2013 A	150,000,000	2013/01/15	2013/12/30	37.95
22616	Goldman Sachs SP (Asia) - HSCEI European Put Wts Sep 2013 B	150,000,000	2013/01/15	2013/09/27	37.65
22590	Macquarie Bank Ltd. - CITIC Bank European Wts Aug 2013 A	100,000,000	2013/01/15	2013/08/02	25.00
22593	Macquarie Bank Ltd. - China Overseas European Wts Oct 2013 A	200,000,000	2013/01/15	2013/10/03	50.00
22592	Macquarie Bank Ltd. - Ping An European Put Wts Aug 2013 B	200,000,000	2013/01/15	2013/08/02	50.00
22585	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Apr 2013 A	50,000,000	2013/01/15	2013/04/15	11.20
22586	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Sep 2013 A	80,000,000	2013/01/15	2013/09/26	12.16
22587	Merrill Lynch Int'l & Co. C.V. - CSOP A50 ETF Eu Wt Aug2013A	40,000,000	2013/01/15	2013/08/19	30.40
22584	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Aug 2013 A	50,000,000	2013/01/15	2013/08/29	11.20
22606	CC Rabobank B.A. - Agile Ppt European Warrants Dec 2013 A	120,000,000	2013/01/15	2013/12/30	30.00
22599	CC Rabobank B.A. - CHALCO European Warrants Jul 2013 A	40,000,000	2013/01/15	2013/07/15	12.00
22609	CC Rabobank B.A. - CAM CSI300 European Warrants Jul 2013 A	150,000,000	2013/01/15	2013/07/15	37.50
22603	CC Rabobank B.A. - China COSCO European Warrants Jul 2013 A	40,000,000	2013/01/15	2013/07/15	12.00
22607	CC Rabobank B.A. - Ch LongYuan European Warrants Jul 2013 A	50,000,000	2013/01/15	2013/07/15	25.00
22598	CC Rabobank B.A. - China Railway European Wts Jul 2013 A	40,000,000	2013/01/15	2013/07/03	12.00
22602	CC Rabobank B.A. - Chinas Cement European Wts Sep 2013 A	40,000,000	2013/01/15	2013/09/27	24.00
22600	CC Rabobank B.A. - Galaxy Ent European Warrants Jul 2013 A	90,000,000	2013/01/15	2013/07/15	22.50
22608	CC Rabobank B.A. - Hang Lung Ppt European Wts Aug 2013 A	50,000,000	2013/01/15	2013/08/26	12.50
22601	CC Rabobank B.A. - Jiangxi Copper European Wts Aug 2013 A	80,000,000	2013/01/15	2013/08/19	12.00
22605	CC Rabobank B.A. - Shougang Res European Warrants Jul 2013 A	50,000,000	2013/01/15	2013/07/22	15.00
22575	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2013 A	100,000,000	2013/01/15	2013/09/03	25.00
22589	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Nov2013 B	300,000,000	2013/01/15	2013/11/27	54.00
22574	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug 13 C	100,000,000	2013/01/15	2013/08/28	25.50
22588	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Jul2013 B	50,000,000	2013/01/15	2013/07/15	12.75
22594	UBS AG - China Mobile European Warrants Jul 2013 A	200,000,000	2013/01/15	2013/07/15	50.00
22580	UBS AG - CPIC European Warrants Aug 2013 A	100,000,000	2013/01/15	2013/08/19	25.00
22582	UBS AG - A50 China European Warrants Jul 2013 C	100,000,000	2013/01/15	2013/07/29	25.00
22583	UBS AG - A50 China European Warrants Jul 2013 D	100,000,000	2013/01/15	2013/07/15	29.00

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		發行單位	上市日期	到期日	
22596	UBS AG - HKEx European Warrants Jul 2013 D	100,000,000	2013/01/15	2013/07/22	73.00
22597	UBS AG - Stanchart European Warrants Dec 2013 A	100,000,000	2013/01/15	2013/12/20	15.00
20651#	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Jun 2013 B	100,000,000	2013/01/15	2013/06/10	10.70
22635	BOCI Asia Ltd. - CC Bank European Put Warrants Jul 2013 B	100,000,000	2013/01/16	2013/07/02	19.00
22636	BOCI Asia Ltd. - China Tel European Warrants Aug 2013 A	100,000,000	2013/01/16	2013/08/02	21.00
22634	BOCI Asia Ltd. - Tracker Fund European Warrants Dec 2013 A	100,000,000	2013/01/16	2013/12/30	25.00
22633	BOCI Asia Ltd. - Tracker Fund European Put Wts Nov 2013 A	100,000,000	2013/01/16	2013/11/25	25.00
22645	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Oct 2013 A	85,000,000	2013/01/16	2013/10/03	21.25
22644	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Oct 2013 A	100,000,000	2013/01/16	2013/10/03	25.00
22646	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Oct 2014 A	100,000,000	2013/01/16	2014/10/03	15.00
22648	BNP Paribas Arbit Issu B.V. - New World Dev Eu Wt Feb 2015 A	100,000,000	2013/01/16	2015/02/03	16.00
22647	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Oct 2013 A	100,000,000	2013/01/16	2013/10/03	25.00
22662	Credit Suisse AG - New World Dev European Wts Jul 2013 A	50,000,000	2013/01/16	2013/07/15	18.50
22629	Daiwa Capital Mkt - A50 China European Put Wts Nov 2013 B	108,000,000	2013/01/16	2013/11/01	27.00
22650	Daiwa Capital Mkt - Ping An European Put Warrants Jul 2013 B	108,000,000	2013/01/16	2013/07/15	27.00
22652	HK Bank - CSOP A50 ETF European Warrants Dec 2015 B	150,000,000	2013/01/16	2015/12/03	31.50
22628	HK Bank - GCL-Poly Energy European Warrants Oct 2013 A	60,000,000	2013/01/16	2013/10/09	15.00
22627	HK Bank - SHK Ppt European Warrants Jul 2013 B	60,000,000	2013/01/16	2013/07/29	15.00
22632	J P Morgan SP BV - Dongfeng Motor European Wts Feb 2014 A	200,000,000	2013/01/16	2014/02/14	50.00
22630	J P Morgan SP BV - Zoomlion European Warrants Dec 2013 A	200,000,000	2013/01/16	2013/12/02	50.00
22654	Macquarie Bank Ltd. - BYD European Warrants Aug 2013 A	200,000,000	2013/01/16	2013/08/02	50.00
22653	Macquarie Bank Ltd. - HSBC European Warrants Sep 2013 A	300,000,000	2013/01/16	2013/09/03	45.00
22660	Macquarie Bank Ltd. - ICBC European Warrants Aug 2013 A	120,000,000	2013/01/16	2013/08/02	30.00
22637	Macquarie Bank Ltd. - Zoomlion European Warrants Apr 2014 A	150,000,000	2013/01/16	2014/04/02	37.50
22655	CC Rabobank B.A. - CITIC Pacific European Wts Jul 2013 A	20,000,000	2013/01/16	2013/07/15	12.00
22656	CC Rabobank B.A. - CNBM European Warrants Jul 2013 B	20,000,000	2013/01/16	2013/07/15	11.00
22658	CC Rabobank B.A. - WISE CSI European Warrants Jul 2013 A	70,000,000	2013/01/16	2013/07/15	17.50
22657	CC Rabobank B.A. - Haitong Sec European Warrants Sep 2013 A	120,000,000	2013/01/16	2013/09/23	30.00
22659	CC Rabobank B.A. - Yanzhou Coal European Warrants Jul 2013 A	15,000,000	2013/01/16	2013/07/02	10.50
22649	Standard Chartered Bank - BYD European Warrants Aug 2013 A	100,000,000	2013/01/16	2013/08/08	25.00
22639	Standard Chartered Bank - CNBM European Warrants Aug 2013 A	50,000,000	2013/01/16	2013/08/01	12.50
22640	Standard Chartered Bank - MTRC European Warrants Jan 2015 A	200,000,000	2013/01/16	2015/01/12	50.00
22651	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul2013 C	200,000,000	2013/01/16	2013/07/24	38.00
22643	UBS AG - BOC HK European Warrants Aug 2013 A	100,000,000	2013/01/16	2013/08/27	15.00
22661	UBS AG - Galaxy Ent European Warrants Aug 2013 A	100,000,000	2013/01/16	2013/08/26	25.00
15127#	HK Bank - A50 China European Warrants Dec 2014	150,000,000	2013/01/16	2014/12/30	21.15
20391#	HK Bank - CNOOC European Warrants Jun 2013 A	180,000,000	2013/01/16	2013/06/17	10.26
21126#	Macquarie Bank Ltd. - AIA European Warrants Jun 2013 A	150,000,000	2013/01/16	2013/06/04	11.40
22222#	UBS AG - CSOP A50 ETF European Warrants Jul 2013 A	100,000,000	2013/01/16	2013/07/15	19.50
22668	BOCI Asia Ltd. - CAM CSI300 European Warrants Jul 2013 B	100,000,000	2013/01/17	2013/07/24	23.00
22666	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Jul 2013 B	100,000,000	2013/01/17	2013/07/29	25.00
22669	BOCI Asia Ltd. - HKEx European Warrants May 2013 A	100,000,000	2013/01/17	2013/05/13	40.00
22679	BNP Paribas Arbit Issu B.V. - BYD European Wts Sep 2013 A	70,000,000	2013/01/17	2013/09/03	18.90
22676	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Dec2013 A	100,000,000	2013/01/17	2013/12/03	25.00

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		發行單位	上市日期	到期日	
22680	BNP Paribas Arbit Issu B.V. - Chow Tai Fook Eu Wt Mar 2015 A	100,000,000	2013/01/17	2015/03/03	25.00
22678	BNP Paribas Arbit Issu B.V. - Wharf European Wts Sep 2013 A	63,000,000	2013/01/17	2013/09/03	24.57
22677	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts Oct 2013 A	96,000,000	2013/01/17	2013/10/03	27.84
22688	Daiwa Capital Mkt - China Mobile European Wts Sep 2013 A	128,000,000	2013/01/17	2013/09/26	19.20
22689	Daiwa Capital Mkt - Sinopec Corp European Wts Sep 2013 A	108,000,000	2013/01/17	2013/09/16	27.00
22705	Goldman Sachs SP (Asia) - Brilliance Chi Euro Wts Feb 2014 A	100,000,000	2013/01/17	2014/02/17	25.70
22704	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Jul 2013 C	80,000,000	2013/01/17	2013/07/08	34.32
22703	Goldman Sachs SP (Asia) - Lenovo European Wts Jun 2013 B	80,000,000	2013/01/17	2013/06/17	20.88
22675	HK Bank - CNOOC European Warrants Aug 2013 A	100,000,000	2013/01/17	2013/08/05	19.00
22670	HK Bank - Chinares Cement European Warrants Oct 2013 A	60,000,000	2013/01/17	2013/10/15	21.00
22671	HK Bank - CSOP A50 ETF European Put Warrants Jan 2015 A	100,000,000	2013/01/17	2015/01/09	15.00
22665	J P Morgan SP BV - Agricultural Bk Euro Warrants Sep 2013 A	120,000,000	2013/01/17	2013/09/02	30.00
22685	Macquarie Bank Ltd. - Minsheng Bank European Wts Jun 2015 A	250,000,000	2013/01/17	2015/06/02	62.50
22684	Macquarie Bank Ltd. - China Mobile European Wts May 2013 A	180,000,000	2013/01/17	2013/05/24	27.00
22686	Macquarie Bank Ltd. - China Mobile European Wts Jul 2013 A	180,000,000	2013/01/17	2013/07/03	60.12
22683	Macquarie Bank Ltd. - Evergrande European Wts Aug 2013 A	100,000,000	2013/01/17	2013/08/02	35.60
22687	Macquarie Bank Ltd. - Haitong Sec European Wts Feb 2014 A	180,000,000	2013/01/17	2014/02/05	45.00
22696	CC Rabobank B.A. - CITIC Sec European Warrants Jul 2013 A	120,000,000	2013/01/17	2013/07/16	30.00
22699	CC Rabobank B.A. - China Overseas Euro Put Wts Sep 2013 A	80,000,000	2013/01/17	2013/09/30	20.00
22698	CC Rabobank B.A. - Ch Ship Cont European Warrants Jul 2013 A	60,000,000	2013/01/17	2013/07/16	15.00
22697	CC Rabobank B.A. - China Tel European Warrants Jul 2013 A	70,000,000	2013/01/17	2013/07/08	11.20
22700	CC Rabobank B.A. - Xinyi Glass European Warrants Jul 2013 A	30,000,000	2013/01/17	2013/07/22	12.00
22672	Standard Chartered Bank - CC Bank European Wts Jul 2013 A	100,000,000	2013/01/17	2013/07/08	24.50
22674	Standard Chartered Bank - CSOP A50 ETF Euro Wts Mar 2015 A	200,000,000	2013/01/17	2015/03/06	50.00
22673	Standard Chartered Bank - Ping An European Wts Oct 2013 A	100,000,000	2013/01/17	2013/10/04	25.00
22663	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jul13A	150,000,000	2013/01/17	2013/07/29	27.00
22681	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jul13B	20,000,000	2013/01/17	2013/07/31	12.80
22692	UBS AG - Cheung Kong European Warrants Jul 2013 A	100,000,000	2013/01/17	2013/07/16	25.00
22693	UBS AG - China Mobile European Warrants Jul 2013 B	200,000,000	2013/01/17	2013/07/22	50.00
22690	UBS AG - New World European Warrants Jul 2013 B	100,000,000	2013/01/17	2013/07/16	56.00
22694	UBS AG - SHK Ppt European Warrants Jul 2013 A	100,000,000	2013/01/17	2013/07/16	25.00
22711	BOCI Asia Ltd. - CPIC European Warrants Jul 2013 A	100,000,000	2013/01/18	2013/07/22	19.00
22712	BOCI Asia Ltd. - China Shenhua European Warrants Aug 2013 A	100,000,000	2013/01/18	2013/08/05	19.00
22752	BNP Paribas Arbit Issu B.V. - Ch Railway Euro Wts Sep 2013 B	41,000,000	2013/01/18	2013/09/03	11.48
22754	BNP Paribas Arbit Issu B.V. - CR Cement Euro Wts Aug 2013 A	60,000,000	2013/01/18	2013/08/02	21.60
22753	BNP Paribas Arbit Issu B.V. - NCI European Wts Oct 2013 B	60,000,000	2013/01/18	2013/10/03	19.80
22750	BNP Paribas Arbit Issu B.V. - PICC European Wts Aug 2014 A	100,000,000	2013/01/18	2014/08/04	25.00
22751	BNP Paribas Arbit Issu B.V. - Ping An European Wt Aug 2013 A	100,000,000	2013/01/18	2013/08/02	17.00
22713	Credit Suisse AG - PICC European Warrants Apr 2013 B	60,000,000	2013/01/18	2013/04/29	15.00
22731	Bank of East Asia - Lenovo European Warrants Sep 2014 A	80,000,000	2013/01/18	2014/09/25	12.00
22728	HK Bank - China Mobile European Warrants Jul 2013 A	100,000,000	2013/01/18	2013/07/16	16.00
22729	HK Bank - Dongfeng Motor European Warrants Mar 2014 A	100,000,000	2013/01/18	2014/03/03	25.00
22727	HK Bank - Tencent European Put Warrants Jun 2013 A	100,000,000	2013/01/18	2013/06/13	18.00
22723	J P Morgan SP BV - BYD European Warrants Aug 2013 B	100,000,000	2013/01/18	2013/08/01	25.00

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22736	J P Morgan SP BV - China Mob European Wts Jun 2013 D	200,000,000	2013/01/18	2013/06/03	30.00
22722	J P Morgan SP BV - China Railway European Wts Sep 2013 A	68,000,000	2013/01/18	2013/09/02	17.00
22721	J P Morgan SP BV - Chinares Cement Euro Warrants Aug 2013 A	80,000,000	2013/01/18	2013/08/01	20.08
22717	J P Morgan SP BV - A50 China European Warrants Jul 2013 B	200,000,000	2013/01/18	2013/07/17	95.40
22720	J P Morgan SP BV - HKEx European Warrants Jun 2013 B	100,000,000	2013/01/18	2013/06/25	54.80
22719	J P Morgan SP BV - HKEx European Warrants Sep 2014 A	500,000,000	2013/01/18	2014/09/15	125.00
22718	J P Morgan SP BV - Hutchison European Warrants Aug 2013 A	180,000,000	2013/01/18	2013/08/01	45.00
22756	J P Morgan SP BV - PICC European Warrants Aug 2013 A	50,000,000	2013/01/18	2013/08/01	35.35
22757	J P Morgan SP BV - PICC European Warrants Jul 2014 A	300,000,000	2013/01/18	2014/07/02	75.00
22716	Macquarie Bank Ltd. - China Railway European Wts Aug 2013 A	100,000,000	2013/01/18	2013/08/02	25.50
22715	Macquarie Bank Ltd. - Yuexiu Property Euro Wts Aug 2013 A	100,000,000	2013/01/18	2013/08/02	25.00
22710	Nomura Int'l plc - BOCL European Warrants Oct 2013 A	50,000,000	2013/01/18	2013/10/07	12.90
22708	Nomura Int'l plc - CC Bank European Warrants Aug 2013 A	60,000,000	2013/01/18	2013/08/02	15.00
22709	Nomura Int'l plc - CNBM European Warrants Jun 2013 A	100,000,000	2013/01/18	2013/06/17	15.00
22707	Nomura Int'l plc - CNOOC European Warrants Nov 2013 A	100,000,000	2013/01/18	2013/11/26	15.00
22706	Nomura Int'l plc - Hutchison European Warrants Aug 2013 A	50,000,000	2013/01/18	2013/08/02	13.00
22744	CC Rabobank B.A. - Agricultural Bk Euro Warrants Sep 2013 A	130,000,000	2013/01/18	2013/09/16	23.40
22743	CC Rabobank B.A. - BOCL European Warrants Nov 2013 A	130,000,000	2013/01/18	2013/11/26	24.70
22745	CC Rabobank B.A. - HKEx European Warrants Jul 2013 A	40,000,000	2013/01/18	2013/07/08	15.20
22742	CC Rabobank B.A. - ICBC European Warrants Sep 2013 A	90,000,000	2013/01/18	2013/09/12	17.10
22741	CC Rabobank B.A. - PICC P&C European Warrants Jun 2013 A	25,000,000	2013/01/18	2013/06/28	17.50
22724	Standard Chartered Bank - China COSCO European Wt Nov 2013 A	50,000,000	2013/01/18	2013/11/28	20.00
22726	Standard Chartered Bank - CSOP A50 ETF Euro Wts Aug 2013 B	50,000,000	2013/01/18	2013/08/20	12.50
22749	Standard Chartered Bank - Ch Ship Cont Euro Wts Sep 2013 A	50,000,000	2013/01/18	2013/09/30	19.25
22725	Standard Chartered Bank - A50 China Euro Warrants Jul 2013 B	50,000,000	2013/01/18	2013/07/19	12.50
22737	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul2013 D	200,000,000	2013/01/18	2013/07/31	36.00
22740	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Jun2013 A	100,000,000	2013/01/18	2013/06/26	15.50
22747	UBS AG - BYD European Warrants Jul 2013 B	100,000,000	2013/01/18	2013/07/17	25.00
22758	UBS AG - Li & Fung European Warrants Aug 2013 A	100,000,000	2013/01/18	2013/08/19	15.00
22748	UBS AG - Wynn Macau European Warrants Jul 2013 A	100,000,000	2013/01/18	2013/07/17	25.00
20153#	Standard Chartered Bank - Li & Fung European Wts Aug 2013 A	100,000,000	2013/01/18	2013/08/20	11.80
22776	BNP Paribas Arbit Issu B.V. - Air China Euro Wts Dec 2015 A	100,000,000	2013/01/21	2015/12/02	25.00
22777	BNP Paribas Arbit Issu B.V. - ASM Pacific Eu Wts Nov 2014 A	89,000,000	2013/01/21	2014/11/04	22.25
22775	BNP Paribas Arbit Issu B.V. - China Unicom Euro Wt Mar2014 A	100,000,000	2013/01/21	2014/03/04	17.00
22782	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jun 2014 A	100,000,000	2013/01/21	2014/06/04	15.00
22774	BNP Paribas Arbit Issu B.V. - ZTE European Wts Dec 2013 A	100,000,000	2013/01/21	2013/12/03	27.00
22792	Credit Suisse AG - A50 China European Warrants Jul 2013 B	80,000,000	2013/01/21	2013/07/22	20.00
22790	Credit Suisse AG - HKEx European Warrants Jun 2013 B	80,000,000	2013/01/21	2013/06/28	38.40
22791	Credit Suisse AG - Tencent Holdings Euro Put Wts Jun 2013 B	80,000,000	2013/01/21	2013/06/11	16.00
22769	Daiwa Capital Mkt - A50 China European Warrants May 2013 A	128,000,000	2013/01/21	2013/05/10	56.32
22770	Daiwa Capital Mkt - A50 China European Warrants Jul 2013 B	128,000,000	2013/01/21	2013/07/22	32.00
22762	HK Bank - China Mobile European Warrants Jul 2013 B	80,000,000	2013/01/21	2013/07/22	28.00
22761	HK Bank - Yanzhou Coal European Warrants Aug 2014 A	80,000,000	2013/01/21	2014/08/04	20.00
22771	Macquarie Bank Ltd. - China EB Ltd Euro Warrants Jan 2014 A	150,000,000	2013/01/21	2014/01/03	37.50

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		發行單位	上市日期	到期日	
22767	Macquarie Bank Ltd. - Country Garden European Wts Aug 2013 A	100,000,000	2013/01/21	2013/08/02	25.00
22765	Macquarie Bank Ltd. - Cheung Kong European Wts Sep 2013 A	180,000,000	2013/01/21	2013/09/03	54.90
22763	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Aug 2013 A	100,000,000	2013/01/21	2013/08/02	25.00
22768	Macquarie Bank Ltd. - Li & Fung European Warrants Oct 2013 A	100,000,000	2013/01/21	2013/10/03	25.00
22766	Macquarie Bank Ltd. - Poly HK Inv European Wts Aug 2013 A	100,000,000	2013/01/21	2013/08/02	25.00
22764	Macquarie Bank Ltd. - SHK Ppt European Warrants Jul 2013 A	100,000,000	2013/01/21	2013/07/03	29.30
22784	CC Rabobank B.A. - CITIC Bank European Warrants Jul 2013 B	60,000,000	2013/01/21	2013/07/22	15.00
22785	CC Rabobank B.A. - Chinares Cement European Wts Jul 2013 A	30,000,000	2013/01/21	2013/07/22	10.50
22783	CC Rabobank B.A. - Sino Land European Warrants Jul 2013 A	15,000,000	2013/01/21	2013/07/22	10.50
22772	Standard Chartered Bank - AIA European Warrants Jun 2013 A	100,000,000	2013/01/21	2013/06/17	21.00
22759	Standard Chartered Bank - CNOOC European Warrants Apr 2013 A	100,000,000	2013/01/21	2013/04/29	16.30
22773	Standard Chartered Bank - Haitong Sec Euro Wts Nov 2013 A	100,000,000	2013/01/21	2013/11/29	25.00
22760	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Jul13 B	100,000,000	2013/01/21	2013/07/22	21.00
22789	UBS AG - CAM CSI300 European Warrants Aug 2013 A	100,000,000	2013/01/21	2013/08/05	20.00
22786	UBS AG - CSOP A50 ETF European Put Warrants Jul 2013 C	100,000,000	2013/01/21	2013/07/04	41.00
22788	UBS AG - Li & Fung European Warrants Dec 2013 A	100,000,000	2013/01/21	2013/12/24	18.00
22787	UBS AG - Ping An European Warrants Jul 2013 B	100,000,000	2013/01/21	2013/07/22	20.00
21613 [#]	Daiwa Capital Mkt - China Mobile European Wts May 2013 B	400,000,000	2013/01/21	2013/05/30	33.60
22802	Goldman Sachs SP (Asia) - BOCL European Warrants Mar 2014 A	80,000,000	2013/01/22	2014/03/03	20.08
22801	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts Oct 2013 A	80,000,000	2013/01/22	2013/10/02	26.16
22807	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2013 A	100,000,000	2013/01/22	2013/10/30	18.30
22808	Goldman Sachs SP (Asia) - KECL European Warrants Dec 2014 A	80,000,000	2013/01/22	2014/12/15	20.00
22803	Goldman Sachs SP (Asia) - Stanchart European Wts Dec 2013 A	100,000,000	2013/01/22	2013/12/30	15.60
22796	HK Bank - China COSCO European Warrants Sep 2013 A	80,000,000	2013/01/22	2013/09/02	24.00
22795	HK Bank - China Life European Warrants Aug 2013 B	100,000,000	2013/01/22	2013/08/05	20.00
22798	HK Bank - China Overseas European Put Warrants Aug 2013 B	100,000,000	2013/01/22	2013/08/19	25.00
22809	HK Bank - CPIC European Warrants Aug 2013 A	100,000,000	2013/01/22	2013/08/12	20.00
22797	HK Bank - Li & Fung European Warrants Aug 2013 A	100,000,000	2013/01/22	2013/08/05	15.00
22818	Macquarie Bank Ltd. - A50 China European Warrants Jul 2013 B	40,000,000	2013/01/22	2013/07/03	13.12
22817	Macquarie Bank Ltd. - NCI European Warrants Oct 2013 A	50,000,000	2013/01/22	2013/10/03	12.50
22814	CC Rabobank B.A. - China EB Ltd European Warrants Nov 2013 A	100,000,000	2013/01/22	2013/11/25	25.00
22816	CC Rabobank B.A. - Ch Mobile European Warrants Jul 2013 A	100,000,000	2013/01/22	2013/07/16	18.00
22824	Standard Chartered Bank - BOC HK European Wts Mar 2014 A	100,000,000	2013/01/22	2014/03/03	25.00
22822	Standard Chartered Bank - CITIC Sec European Wts Dec 2013 A	100,000,000	2013/01/22	2013/12/31	25.00
22799	Standard Chartered Bank - China Mobile Euro Wts Aug 2013 A	100,000,000	2013/01/22	2013/08/30	31.00
22800	Standard Chartered Bank - China Mobile Eur Put Wt Sep 2013 A	70,000,000	2013/01/22	2013/09/18	35.00
22821	Standard Chartered Bank - Li & Fung Euro Put Wts Sep 2013 A	100,000,000	2013/01/22	2013/09/10	25.00
22812	SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Jul 13 A	50,000,000	2013/01/22	2013/07/08	13.25
22813	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jul 13B	30,000,000	2013/01/22	2013/07/22	15.90
22810	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jun 13 B	168,000,000	2013/01/22	2013/06/10	25.20
22811	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul 13 A	168,000,000	2013/01/22	2013/07/17	26.04
22819	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jul 13 A	300,000,000	2013/01/22	2013/07/30	46.50
22794	UBS AG - BOCL European Warrants Sep 2013 A	100,000,000	2013/01/22	2013/09/19	15.00
22823	UBS AG - Esprit European Warrants Aug 2013 A	100,000,000	2013/01/22	2013/08/19	25.00

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		發行單位	上市日期	到期日	
15032#	UBS AG - A50 China European Warrants Oct 2013	300,000,000	2013/01/22	2013/10/28	14.70
18990#	CC Rabobank B.A. - ZTE European Warrants Jun 2013 A	120,000,000	2013/01/22	2013/06/03	10.68
22840	BOCI Asia Ltd. - China Mobile European Warrants Oct 2013 A	100,000,000	2013/01/23	2013/10/15	25.00
22841	BOCI Asia Ltd. - Ping An European Warrants Jul 2013 A	100,000,000	2013/01/23	2013/07/29	18.00
22857	Credit Suisse AG - Cheung Kong European Warrants Jun 2013 C	70,000,000	2013/01/23	2013/06/11	21.70
22850	Credit Suisse AG - Minsheng Bank European Wts Jul 2013 A	50,000,000	2013/01/23	2013/07/29	26.50
22848	Credit Suisse AG - HKEx European Warrants Jul 2013 F	80,000,000	2013/01/23	2013/07/29	20.00
22856	Credit Suisse AG - SHK Ppt European Warrants Jul 2013 A	80,000,000	2013/01/23	2013/07/24	20.00
22855	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Jun 2013 A	128,000,000	2013/01/23	2013/06/26	25.60
22843	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Dec 2013 A	80,000,000	2013/01/23	2013/12/02	20.00
22846	Goldman Sachs SP (Asia) - China Tel European Wts Aug 2013 A	80,000,000	2013/01/23	2013/08/05	20.08
22844	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2013 C	80,000,000	2013/01/23	2013/07/15	15.20
22845	Goldman Sachs SP (Asia) - HSBC European Put Wts Jul 2013 D	80,000,000	2013/01/23	2013/07/29	36.40
22847	Goldman Sachs SP (Asia) - Hutchison European Wts Aug 2013 A	80,000,000	2013/01/23	2013/08/02	20.24
22834	HK Bank - BOC HK European Warrants Feb 2014 A	100,000,000	2013/01/23	2014/02/17	25.00
22835	HK Bank - HKEx European Warrants Jul 2013 B	60,000,000	2013/01/23	2013/07/23	27.00
22836	Macquarie Bank Ltd. - Ch LongYuan European Wts Aug 2013 A	100,000,000	2013/01/23	2013/08/02	48.80
22854	Macquarie Bank Ltd. - CM Bank European Warrants Aug 2014 A	180,000,000	2013/01/23	2014/08/04	45.00
22839	Macquarie Bank Ltd. - HKEx European Warrants May 2013 A	150,000,000	2013/01/23	2013/05/09	56.85
22837	Macquarie Bank Ltd. - HKEx European Warrants Jan 2015 A	250,000,000	2013/01/23	2015/01/05	62.50
22853	Macquarie Bank Ltd. - Swire Pacific A Euro Wts Aug 2013 A	150,000,000	2013/01/23	2013/08/02	37.50
22852	Macquarie Bank Ltd. - Wharf European Warrants Aug 2013 A	150,000,000	2013/01/23	2013/08/02	39.15
22851	Macquarie Bank Ltd. - Zijin Mining European Wts Dec 2013 A	100,000,000	2013/01/23	2013/12/03	25.00
22860	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Jul 2013 A	40,000,000	2013/01/23	2013/07/15	20.80
22864	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jul 2013 B	40,000,000	2013/01/23	2013/07/23	16.40
22862	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Nov 2013 C	70,000,000	2013/01/23	2013/11/26	10.57
22861	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Feb 2014 A	70,000,000	2013/01/23	2014/02/10	10.78
22858	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Jul 2013 A	40,000,000	2013/01/23	2013/07/03	10.20
22863	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jul 2014 A	40,000,000	2013/01/23	2014/07/23	10.00
22859	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Jul 2013 A	40,000,000	2013/01/23	2013/07/09	25.60
22831	Standard Chartered Bank - Galaxy Ent Euro Put Wts Jul 2013 A	100,000,000	2013/01/23	2013/07/31	25.00
22832	Standard Chartered Bank - Galaxy Ent Euro Put Wts Dec 2013 B	100,000,000	2013/01/23	2013/12/27	25.00
22830	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13D	80,000,000	2013/01/23	2013/07/08	19.20
22829	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Dec13 A	100,000,000	2013/01/23	2013/12/30	15.50
22827	UBS AG - CSOP A50 ETF European Warrants Sep 2013 A	100,000,000	2013/01/23	2013/09/09	51.00
22828	UBS AG - CSOP A50 ETF European Warrants Sep 2013 B	100,000,000	2013/01/23	2013/09/16	35.00
22826	UBS AG - HKEx European Warrants Jul 2013 E	100,000,000	2013/01/23	2013/07/08	58.00
22825	UBS AG - Hutchison European Warrants Jul 2013 A	100,000,000	2013/01/23	2013/07/05	25.00
22866	BOCI Asia Ltd. - Tencent Holdings European Wts Jul 2013 B	100,000,000	2013/01/24	2013/07/04	18.00
22865	BOCI Asia Ltd. -Tencent Holdings European Put Wts Jul 2013 A	100,000,000	2013/01/24	2013/07/05	20.00
22905	Daiwa Capital Mkt - CAM CSI300 European Warrants Aug 2013 A	128,000,000	2013/01/24	2013/08/05	21.76
22903	Daiwa Capital Mkt - SHK Ppt European Warrants Jun 2013 A	98,000,000	2013/01/24	2013/06/25	38.22
22904	Daiwa Capital Mkt - SHK Ppt European Put Warrants Jul 2013 A	98,000,000	2013/01/24	2013/07/24	24.50
22886	Goldman Sachs SP (Asia) - BYD European Warrants Nov 2013 A	80,000,000	2013/01/24	2013/11/04	20.40

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		發行單位	上市日期	到期日	
22883	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2013 C	80,000,000	2013/01/24	2013/07/31	27.44
22884	Goldman Sachs SP (Asia) - CNBM European Warrants Jul 2013 A	80,000,000	2013/01/24	2013/07/08	21.12
22881	Goldman Sachs SP (Asia) - A50 China European Wts Jul 2013 B	80,000,000	2013/01/24	2013/07/08	16.16
22882	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Dec 2013 A	80,000,000	2013/01/24	2013/12/30	20.08
22885	Goldman Sachs SP (Asia) - PICC European Warrants Sep 2013 A	80,000,000	2013/01/24	2013/09/30	66.80
22870	HK Bank - Cheung Kong European Warrants Jul 2013 A	68,000,000	2013/01/24	2013/07/24	17.00
22878	J P Morgan SP BV - CM Bank European Warrants Jul 2013 A	80,000,000	2013/01/24	2013/07/23	31.20
22876	J P Morgan SP BV - CM Bank European Warrants Jul 2014 A	300,000,000	2013/01/24	2014/07/02	75.00
22875	J P Morgan SP BV - Minsheng Bank European Wts Sep 2013 A	100,000,000	2013/01/24	2013/09/09	27.70
22874	J P Morgan SP BV - CSOP A50 ETF European Warrants Jun 2013 D	100,000,000	2013/01/24	2013/06/10	28.60
22872	J P Morgan SP BV - China Unicom European Warrants Mar 2014 A	200,000,000	2013/01/24	2014/03/03	30.00
22871	J P Morgan SP BV - SHK Ppt European Warrants Aug 2013 A	100,000,000	2013/01/24	2013/08/01	25.00
22873	J P Morgan SP BV - SJM Holdings European Warrants Sep 2013 A	120,000,000	2013/01/24	2013/09/02	30.00
22868	Macquarie Bank Ltd. - Belle Int'l European Wts Jan 2014 A	150,000,000	2013/01/24	2014/01/03	37.50
22867	Macquarie Bank Ltd. - Brilliance Chi Euro Wats Apr 2014 B	100,000,000	2013/01/24	2014/04/02	25.00
22901	Macquarie Bank Ltd. - CQRC Bank European Warrants Jul 2013 A	80,000,000	2013/01/24	2013/07/24	20.00
22895	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Oct 2013 A	50,000,000	2013/01/24	2013/10/03	12.55
22869	Macquarie Bank Ltd. - Lumena Newmat European Wts Jul 2013 A	80,000,000	2013/01/24	2013/07/24	20.00
22894	Macquarie Bank Ltd. -Want Want China European Wts Jan 2014 A	150,000,000	2013/01/24	2014/01/20	22.50
22899	UBS AG - CAM CSI300 European Put Warrants Jul 2013 B	100,000,000	2013/01/24	2013/07/29	28.00
22897	UBS AG - CITIC Sec European Warrants Jul 2013 B	100,000,000	2013/01/24	2013/07/25	19.00
22893	UBS AG - CSOP A50 ETF European Warrants Nov 2015 A	100,000,000	2013/01/24	2015/11/09	25.00
22898	UBS AG - Evergrande European Warrants Jul 2013 A	100,000,000	2013/01/24	2013/07/08	27.00
22888	UBS AG - A50 China European Warrants Aug 2016 A	300,000,000	2013/01/24	2016/08/01	45.00
22906	UBS AG - HSI European Warrants Dec 2013 B	300,000,000	2013/01/24	2013/12/30	75.00
22900	UBS AG - Ping An European Put Warrants Jul 2013 C	100,000,000	2013/01/24	2013/07/22	22.20
22887	UBS AG - Tencent Holdings European Put Warrants Jun 2013 D	100,000,000	2013/01/24	2013/06/26	19.00
22896	UBS AG - Zijin Mining European Warrants Jul 2013 A	100,000,000	2013/01/24	2013/07/02	15.00
22922	BOCI Asia Ltd. - Cheung Kong European Warrants Jun 2013 A	100,000,000	2013/01/25	2013/06/18	39.00
22921	BOCI Asia Ltd. - Li & Fung European Warrants Aug 2013 A	100,000,000	2013/01/25	2013/08/02	16.00
22954	BNP Paribas Arbit Issu B.V. - Brilliance Chi Eu Wt Aug2014 A	100,000,000	2013/01/25	2014/08/04	25.00
22951	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Aug 2013 A	100,000,000	2013/01/25	2013/08/02	26.00
22956	BNP Paribas Arbit Issu B.V. - Chi Res Land Eu Wt Dec 2013 A	100,000,000	2013/01/25	2013/12/03	26.00
22950	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Oct 13 A	100,000,000	2013/01/25	2013/10/03	28.00
22953	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Aug 2013 B	100,000,000	2013/01/25	2013/08/02	30.00
22952	BNP Paribas Arbit Issu B.V. - Hutchison Eu Put Wt Oct 2013 A	96,000,000	2013/01/25	2013/10/03	55.68
22957	BNP Paribas Arbit Issu B.V. - Link REIT Euro Wts Sep 2013 B	100,000,000	2013/01/25	2013/09/03	15.00
22945	BNP Paribas Arbit Issu B.V. - Shimao Ppt Euro Wts Dec 2013 A	100,000,000	2013/01/25	2013/12/03	25.00
22949	BNP Paribas Arbit Issu B.V. - Weichai Power Eu Wt Nov 2013 A	59,000,000	2013/01/25	2013/11/04	20.65
22947	BNP Paribas Arbit Issu B.V. - Zhaojin Mining Eu Wt Mar2014 A	100,000,000	2013/01/25	2014/03/04	25.00
22935	Daiwa Capital Mkt - HSCEI European Warrants Sep 2013 C	100,000,000	2013/01/25	2013/09/27	25.00
22934	Daiwa Capital Mkt - ICBC European Put Warrants Aug 2013 B	80,000,000	2013/01/25	2013/08/01	20.00
22930	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Aug 2013 B	80,000,000	2013/01/25	2013/08/01	20.64
22931	Goldman Sachs Sp (Asia) - ICBC European Warrants Jul 2013 A	55,000,000	2013/01/25	2013/07/30	11.94

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22929	HK Bank - CNOOC European Warrants Nov 2013 B	150,000,000	2013/01/25	2013/11/26	22.50
22928	HK Bank - Tencent European Warrants Jul 2013 D	100,000,000	2013/01/25	2013/07/29	25.00
22907	J P Morgan SP BV - China Mob European Wts Aug 2013 A	200,000,000	2013/01/25	2013/08/01	50.20
22932	J P Morgan SP BV - HSI European Warrants Oct 2013 A	300,000,000	2013/01/25	2013/10/30	75.00
22925	Macquarie Bank Ltd. - Ch Oilfield European Wts Dec 2013 A	150,000,000	2013/01/25	2013/12/03	24.45
22913	Macquarie Bank Ltd. - Chi Res Land European Wts Aug 2013 A	150,000,000	2013/01/25	2013/08/02	37.50
22927	Macquarie Bank Ltd. - China Taiping European Wts Nov 2013 A	150,000,000	2013/01/25	2013/11/04	37.50
22926	Macquarie Bank Ltd. - Digital China European Wts Jul 2014 A	150,000,000	2013/01/25	2014/07/03	25.35
22912	Nomura Int'l plc - CAM CSI300 European Warrants Aug 2013 A	100,000,000	2013/01/25	2013/08/02	15.00
22910	Nomura Int'l plc - CSOP A50 ETF European Warrants Aug 2013 A	35,000,000	2013/01/25	2013/08/02	14.70
22911	Nomura Int'l plc - CSOP A50 ETF European Put Wts Jan 2015 A	100,000,000	2013/01/25	2015/01/05	15.00
22908	Nomura Int'l plc - A50 China European Warrants Aug 2013 A	35,000,000	2013/01/25	2013/08/02	13.65
22909	Nomura Int'l plc - A50 China European Put Wts Aug 2013 B	30,000,000	2013/01/25	2013/08/02	13.80
22942	CC Rabobank B.A. - Evergrande European Warrants Jun 2013 B	70,000,000	2013/01/25	2013/06/25	24.50
22936	CC Rabobank B.A. - HKEx European Warrants Jun 2013 A	40,000,000	2013/01/25	2013/06/25	28.00
22937	CC Rabobank B.A. - HKEx European Warrants Jun 2013 B	40,000,000	2013/01/25	2013/06/25	18.00
22944	CC Rabobank B.A. - Lumena Newmat European Wts Jul 2013 A	80,000,000	2013/01/25	2013/07/24	20.00
22940	CC Rabobank B.A. - SHK Ppt European Warrants Jun 2013 A	40,000,000	2013/01/25	2013/06/21	16.00
22916	Standard Chartered Bank - China COSCO European Wt Sep 2013 A	50,000,000	2013/01/25	2013/09/09	22.75
22917	Standard Chartered Bank - China Mobile Euro Wts Aug 2013 B	100,000,000	2013/01/25	2013/08/01	47.00
22918	Standard Chartered Bank - HSBC European Warrants Jul 2013 A	100,000,000	2013/01/25	2013/07/31	25.00
22914	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Aug13 A	100,000,000	2013/01/25	2013/08/28	15.00
22915	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Mar14 A	100,000,000	2013/01/25	2014/03/17	16.50
22920	UBS AG - Anhui Conch European Warrants Jun 2013 A	100,000,000	2013/01/25	2013/06/24	15.00
22939	UBS AG - CC Bank European Warrants Jul 2013 B	100,000,000	2013/01/25	2013/07/03	24.00
22938	UBS AG - CC Bank European Warrants Sep 2013 A	100,000,000	2013/01/25	2013/09/25	21.00
22923	UBS AG - Sinopec Corp European Put Warrants Sep 2014 A	100,000,000	2013/01/25	2014/09/23	15.00
22924	UBS AG - PICC European Warrants Jul 2013 A	100,000,000	2013/01/25	2013/07/31	25.00
22999	BNP Paribas Arbit Issu B.V. - AIA European Wts Nov 2013 B	100,000,000	2013/01/28	2013/11/04	15.00
23011	BNP Paribas Arbit Issu B.V. - China COSCO Euro Wt Nov 2013 A	37,000,000	2013/01/28	2013/11/04	10.36
23010	BNP Paribas Arbit Issu B.V. - China EB Ltd Eu Wt Aug 2014 A	67,000,000	2013/01/28	2014/08/04	16.75
23009	BNP Paribas Arbit Issu B.V. - Cathay Pacific Eu Wt Jan2014 A	57,000,000	2013/01/28	2014/01/03	10.26
22997	BNP Paribas Arbit Issu B.V. - China Taiping Eu Wt Dec 2013 A	42,000,000	2013/01/28	2013/12/03	10.92
22995	BNP Paribas Arbit Issu B.V. - Digital China Eu Wt Dec 2013 A	96,000,000	2013/01/28	2013/12/02	15.36
22994	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Sep 2013 A	100,000,000	2013/01/28	2013/09/03	29.00
23001	BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2013 A	100,000,000	2013/01/28	2013/10/08	20.00
22993	BNP Paribas Arbit Issu B.V. - Lumena Newmat Eu Wt Dec 2013 A	95,000,000	2013/01/28	2013/12/03	32.30
22989	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Jan 2014 A	36,000,000	2013/01/28	2014/01/03	10.44
23014	BNP Paribas Arbit Issu B.V. - New World Dev Eu Wt Jan 2015 A	100,000,000	2013/01/28	2015/01/05	25.00
22988	BNP Paribas Arbit Issu B.V. - Samsonite Euro Wts Oct 2013 A	99,000,000	2013/01/28	2013/10/03	24.75
22990	BNP Paribas Arbit Issu B.V. - Sinopharm Euro Wts Dec 2013 A	43,000,000	2013/01/28	2013/12/03	11.18
22991	BNP Paribas Arbit Issu B.V. - Sino Land Euro Wt Jul 2014 A	100,000,000	2013/01/28	2014/07/03	25.00
23013	BNP Paribas Arbit Issu B.V. - SJM Holdings Eu Wts Jan 2014 A	95,000,000	2013/01/28	2014/01/03	23.75
23003	Credit Suisse AG - AIA European Warrants Jun 2013 B	80,000,000	2013/01/28	2013/06/17	12.00

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		發行單位	上市日期	到期日	
23007	Credit Suisse AG - CNOOC European Warrants Jul 2013 B	80,000,000	2013/01/28	2013/07/29	60.00
23005	Credit Suisse AG - HSBC European Wts Jul 2013 B	80,000,000	2013/01/28	2013/07/29	20.00
22975	HK Bank - ICBC European Warrants Jul 2013 C	80,000,000	2013/01/28	2013/07/29	20.00
22959	J P Morgan SP BV - AAC Acoustic European Warrants Oct 2013 A	60,000,000	2013/01/28	2013/10/02	15.00
22966	J P Morgan SP BV - BOCL European Warrants Sep 2013 A	200,000,000	2013/01/28	2013/09/02	50.00
22963	J P Morgan SP BV - China COSCO European Warrants Aug 2013 A	68,000,000	2013/01/28	2013/08/01	17.00
22965	J P Morgan SP BV - CNOOC European Warrants Aug 2013 A	100,000,000	2013/01/28	2013/08/01	26.90
22964	J P Morgan SP BV - CNOOC European Warrants Jul 2014 A	200,000,000	2013/01/28	2014/07/02	30.00
22962	J P Morgan SP BV - CPIC European Warrants Aug 2013 B	200,000,000	2013/01/28	2013/08/01	50.00
22958	J P Morgan SP BV - Ch Ship Cont European Warrants Sep 2013 A	88,000,000	2013/01/28	2013/09/02	22.00
23002	J P Morgan SP BV - Henderson Land Dev European Wt Aug 2013 A	88,000,000	2013/01/28	2013/08/01	22.00
22961	J P Morgan SP BV - NCI European Warrants Sep 2013 A	100,000,000	2013/01/28	2013/09/02	25.00
22960	J P Morgan SP BV - Swire Pacific A European Wts Aug 2013 A	40,000,000	2013/01/28	2013/08/01	10.00
22979	Macquarie Bank Ltd. - CSR Times European Warrants Aug 2013 A	150,000,000	2013/01/28	2013/08/02	37.50
22980	Macquarie Bank Ltd. - R&F Ppt European Warrants Jan 2014 A	150,000,000	2013/01/28	2014/01/02	22.50
22981	Macquarie Bank Ltd. - Hysan Dev European Warrants Jul 2013 A	150,000,000	2013/01/28	2013/07/29	37.50
22982	Macquarie Bank Ltd. - MTRC European Warrants Jan 2014 A	150,000,000	2013/01/28	2014/01/03	22.50
22978	Macquarie Bank Ltd. - Prada European Warrants Jul 2015 A	250,000,000	2013/01/28	2015/07/02	62.50
22976	Standard Chartered Bank - Agricultural Bk Eur Wts Nov 2013 A	80,000,000	2013/01/28	2013/11/05	20.00
22977	Standard Chartered Bank - Ch Ship Cont Euro Wts Nov 2013 A	80,000,000	2013/01/28	2013/11/06	20.00
22967	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Sep 2013 A	150,000,000	2013/01/28	2013/09/23	28.50
22983	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Jul2013 B	50,000,000	2013/01/28	2013/07/29	12.50
22986	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jun 13 C	120,000,000	2013/01/28	2013/06/26	38.40
22987	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jun 13 D	120,000,000	2013/01/28	2013/06/24	25.20
22969	SGA Societe Generale Acceptance N.V. - CRCH Eu Wt Jul 2013 A	50,000,000	2013/01/28	2013/07/29	13.50
22970	SGA Societe Generale Acceptance NV-Ch Ship Cont Eu Wt Jun13A	80,000,000	2013/01/28	2013/06/24	19.60
22972	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Jul 2013 A	50,000,000	2013/01/28	2013/07/15	10.25
22984	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Aug13A	100,000,000	2013/01/28	2013/08/26	15.00
22985	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Jul 2013 A	30,000,000	2013/01/28	2013/07/29	11.40
22973	SGA Societe Generale Acceptance N.V. -Link REIT Eu Wt Jul13A	80,000,000	2013/01/28	2013/07/15	12.80
22974	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jul13A	100,000,000	2013/01/28	2013/07/29	17.00
23008	UBS AG - KECL European Warrants Aug 2013 A	100,000,000	2013/01/28	2013/08/12	76.00
10172	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Dec 2013 B	100,000,000	2013/01/29	2013/12/12	25.00
10171	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Dec 2013 A	100,000,000	2013/01/29	2013/12/12	25.00
10170	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt Nov 2013 B	100,000,000	2013/01/29	2013/11/18	25.00
10169	BNP Paribas Arbit Issu B.V. - Oil Futures Eu Put Wt Nov2013A	100,000,000	2013/01/29	2013/11/18	25.00
23098	Credit Suisse AG - Minsheng Bank European Wts Jul 2013 B	60,000,000	2013/01/29	2013/07/29	34.20
23095	Credit Suisse - Henderson Land European Warrants Apr 2013 A	60,000,000	2013/01/29	2013/04/29	10.80
23094	Credit Suisse AG - HSI European Put Warrants Jun 2013 H	150,000,000	2013/01/29	2013/06/27	22.50
23096	Credit Suisse AG - New World Dev European Wts Jul 2013 B	60,000,000	2013/01/29	2013/07/29	37.80
23101	Credit Suisse AG - SHK Ppt European Put Warrants Jul 2013 B	60,000,000	2013/01/29	2013/07/29	15.00
23078	Daiwa Capital Mkt - Hutchison European Warrants Jun 2013 A	128,000,000	2013/01/29	2013/06/07	66.56
23077	Daiwa Capital Mkt - Hutchison European Warrants Jul 2013 A	128,000,000	2013/01/29	2013/07/29	49.92
23093	Bank of East Asia - China Coal European Warrants Nov 2013 A	32,000,000	2013/01/29	2013/11/04	10.24

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
23089	Bank of East Asia - China Overseas Euro Warrants Jan 2014 A	60,000,000	2013/01/29	2014/01/02	15.00
23086	Bank of East Asia - CPIC European Warrants Dec 2013 A	50,000,000	2013/01/29	2013/12/06	12.50
23087	Bank of East Asia - HKEx European Warrants Jan 2015 A	80,000,000	2013/01/29	2015/01/21	20.00
23088	Bank of East Asia - PICC European Warrants Nov 2014 A	80,000,000	2013/01/29	2014/11/25	20.00
23085	Bank of East Asia - Ping An European Warrants Sep 2013 A	35,000,000	2013/01/29	2013/09/30	10.50
23091	Bank of East Asia - SJM Holdings European Wts Nov 2013 A	60,000,000	2013/01/29	2013/11/22	15.00
23092	Bank of East Asia - Yanzhou Coal European Wts Sep 2013 B	22,000,000	2013/01/29	2013/09/23	10.12
23058	Goldman Sachs SP (Asia) - CITIC Bank European Wts Sep 2013 A	80,000,000	2013/01/29	2013/09/30	21.28
23049	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts May 2015 A	100,000,000	2013/01/29	2015/05/29	19.50
23054	Goldman Sachs SP (Asia) - Cathay Pacific Euro Wts Dec 2013 A	80,000,000	2013/01/29	2013/12/19	12.16
23059	Goldman Sachs SP (Asia) - CQRC Bank European Wts Sep 2013 A	80,000,000	2013/01/29	2013/09/30	26.56
23048	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Sep 2013 A	80,000,000	2013/01/29	2013/09/02	20.32
23050	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Aug 2013 A	80,000,000	2013/01/29	2013/08/01	20.08
23053	Goldman Sachs SP (Asia) - Poly HK Inv Euro Wts Nov 2013 A	80,000,000	2013/01/29	2013/11/18	56.72
23057	Goldman Sachs SP (Asia) - SJM Holdings Euro Wts Dec 2013 A	80,000,000	2013/01/29	2013/12/23	20.16
23071	HK Bank - AIA European Warrants Jun 2013 C	100,000,000	2013/01/29	2013/06/17	15.00
23070	J P Morgan SP BV - China BlueChem European Wts Aug 2013 A	40,000,000	2013/01/29	2013/08/01	10.72
23065	J P Morgan SP BV - Evergrande European Warrants Jul 2013 A	100,000,000	2013/01/29	2013/07/02	25.00
23062	J P Morgan SP BV - HSI European Put Warrants Jul 2013 B	300,000,000	2013/01/29	2013/07/30	75.00
23080	Macquarie Bank Ltd. - CPIC European Warrants Oct 2013 A	100,000,000	2013/01/29	2013/10/03	25.20
23072	Macquarie Bank Ltd. - Ch State Con European Wts Jul 2014 A	150,000,000	2013/01/29	2014/07/03	37.50
23069	Macquarie Bank Ltd. - Longfor PPT European Wts Nov 2013 A	100,000,000	2013/01/29	2013/11/25	17.70
23068	Macquarie Bank Ltd. - New World Dev European Wts Jan 2015 A	150,000,000	2013/01/29	2015/01/05	37.50
23073	Macquarie Bank Ltd. - Sino Land European Warrants Feb 2015 A	150,000,000	2013/01/29	2015/02/03	37.50
23031	Nomura Int'l plc - ASM Pacific European Warrants Sep 2014 A	50,000,000	2013/01/29	2014/09/18	12.50
23038	Nomura Int'l plc - AviChina European Warrants Aug 2013 A	50,000,000	2013/01/29	2013/08/28	12.55
23029	Nomura Int'l plc - BJ Ent Water European Warrants Nov 2013 A	50,000,000	2013/01/29	2013/11/18	12.90
23030	Nomura Int'l plc - China Gas Hold European Wts Dec 2014 A	50,000,000	2013/01/29	2014/12/18	12.55
23044	Nomura Int'l plc - CITIC Sec European Warrants Dec 2013 A	50,000,000	2013/01/29	2013/12/23	12.80
23046	Nomura Int'l plc - CITIC Sec European Put Wts Dec 2013 B	50,000,000	2013/01/29	2013/12/18	12.80
23033	Nomura Int'l plc - CKI Holdings European Warrants Dec 2013 A	50,000,000	2013/01/29	2013/12/23	12.55
23017	Nomura Int'l plc - CLP European Warrants Oct 2013 A	80,000,000	2013/01/29	2013/10/28	12.00
23034	Nomura Int'l plc - CSR European Warrants Dec 2014 A	80,000,000	2013/01/29	2014/12/18	12.40
23032	Nomura Int'l plc - Datang European Put Warrants Oct 2013 A	50,000,000	2013/01/29	2013/10/28	12.55
23040	Nomura Int'l plc - ENN Energy European Warrants Aug 2013 A	50,000,000	2013/01/29	2013/08/28	13.05
23043	Nomura Int'l plc - FE Horizon European Warrants Dec 2014 A	50,000,000	2013/01/29	2014/12/18	12.55
23041	Nomura Int'l plc - Golden Eagle European Warrants Dec 2013 A	50,000,000	2013/01/29	2013/12/23	12.50
23047	Nomura Int'l plc - Haitong Sec European Warrants Dec 2013 A	50,000,000	2013/01/29	2013/12/18	12.50
23026	Nomura Int'l plc - Jiansu Express European Wts Dec 2014 A	50,000,000	2013/01/29	2014/12/18	12.50
23025	Nomura Int'l plc - Lumena Newmat European Wts Oct 2013 A	50,000,000	2013/01/29	2013/10/18	12.50
23024	Nomura Int'l plc - MTRC European Warrants Jan 2014 A	80,000,000	2013/01/29	2014/01/20	14.56
23036	Nomura Int'l plc - PICC European Warrants Jun 2014 A	80,000,000	2013/01/29	2014/06/24	15.36
23018	Nomura Int'l plc - PWR Assets European Warrants Oct 2013 A	50,000,000	2013/01/29	2013/10/18	12.55
23035	Nomura Int'l plc - Shenzhou Intl European Wts Dec 2013 A	50,000,000	2013/01/29	2013/12/23	12.70

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23102	CC Rabobank B.A. - AIA European Warrants Oct 2013 A	120,000,000	2013/01/29	2013/10/21	18.00
23106	CC Rabobank B.A. - Belle Int'l European Warrants Nov 2013 A	120,000,000	2013/01/29	2013/11/25	30.00
23111	CC Rabobank B.A. - Datang European Warrants Aug 2013 B	50,000,000	2013/01/29	2013/08/12	12.50
23110	CC Rabobank B.A. - GAC European Warrants Jul 2013 A	25,000,000	2013/01/29	2013/07/29	12.50
23107	CC Rabobank B.A. - R&F Ppt European Warrants Dec 2013 A	100,000,000	2013/01/29	2013/12/23	18.00
23105	CC Rabobank B.A. - KECL European Warrants Jul 2013 A	35,000,000	2013/01/29	2013/07/29	10.50
23104	CC Rabobank B.A. - Li & Fung European Warrants Jul 2013 B	170,000,000	2013/01/29	2013/07/22	25.50
23112	CC Rabobank B.A. - MGM China European Warrants Sep 2013 B	90,000,000	2013/01/29	2013/09/23	22.50
23109	CC Rabobank B.A. - Tsingtao Brew European Wts Jul 2013 A	40,000,000	2013/01/29	2013/07/29	10.00
23103	CC Rabobank B.A. - Tencent Holdings European Wts Jul 2013 C	180,000,000	2013/01/29	2013/07/26	27.00
23076	Standard Chartered Bank - China Life Euro Put Wts Oct 2013 A	100,000,000	2013/01/29	2013/10/04	30.00
23075	Standard Chartered Bank - HSBC European Put Wts Nov 2013 B	100,000,000	2013/01/29	2013/11/01	45.00
23074	Standard Chartered Bank - SHK P European Put Wts Feb 2014 A	80,000,000	2013/01/29	2014/02/07	24.00
23061	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jul 2013 C	120,000,000	2013/01/29	2013/07/08	22.80
23084	UBS AG - AIA European Warrants Jul 2013 A	100,000,000	2013/01/29	2013/07/25	15.00
23082	UBS AG - CITIC Bank European Warrants Jul 2013 B	100,000,000	2013/01/29	2013/07/29	25.00
23081	UBS AG - Minsheng Bank European Warrants Jul 2013 A	100,000,000	2013/01/29	2013/07/29	68.00
23083	UBS AG - GCL-Poly Energy European Warrants Jul 2013 A	100,000,000	2013/01/29	2013/07/26	23.00
23015	UBS AG - Haitong Sec European Warrants Sep 2013 A	100,000,000	2013/01/29	2013/09/24	20.00
21672#	UBS AG - China Mobile European Warrants Jun 2013 B	100,000,000	2013/01/29	2013/06/10	12.70
23146	Goldman Sachs SP (Asia) - BOC HK European Wts Sep 2014 A	80,000,000	2013/01/30	2014/09/30	20.00
23126	Goldman Sachs SP (Asia) - CITIC Sec European Wts Sep 2013 A	100,000,000	2013/01/30	2013/09/23	25.60
23125	Goldman Sachs SP (Asia) - HKEx European Warrants May 2014 A	120,000,000	2013/01/30	2014/05/22	18.12
23120	HK Bank - AIA European Warrants Mar 2014 B	150,000,000	2013/01/30	2014/03/17	24.00
23119	HK Bank - Minsheng Bank European Warrants Jul 2013 D	60,000,000	2013/01/30	2013/07/29	26.40
23121	HK Bank - CNOOC European Warrants Jun 2014 A	200,000,000	2013/01/30	2014/06/13	32.00
23141	J P Morgan SP BV - Minsheng Bank European Put Wts Dec 2014 A	200,000,000	2013/01/30	2014/12/31	50.00
23128	J P Morgan SP BV - Tencent European Warrants Aug 2013 A	200,000,000	2013/01/30	2013/08/01	30.00
23132	J P Morgan SP BV - Tencent European Put Warrants Sep 2013 A	200,000,000	2013/01/30	2013/09/02	50.00
23124	Macquarie Bank Ltd. - Ch Merchants European Wts Jul 2013 A	120,000,000	2013/01/30	2013/07/30	30.00
23129	Macquarie Bank Ltd. - GAC European Wts Jun 2015 A	120,000,000	2013/01/30	2015/06/02	30.00
23122	Macquarie Bank Ltd. -Greatwall Motor European Wts Aug 2013 A	120,000,000	2013/01/30	2013/08/02	30.00
23133	Macquarie Bank Ltd. - Ping An European Warrants Oct 2013 A	70,000,000	2013/01/30	2013/10/03	17.50
23123	Macquarie Bank Ltd. - Sh Ind H European Warrants Feb 2014 A	120,000,000	2013/01/30	2014/02/05	30.00
23134	Macquarie Bank Ltd. - SJM Holdings European Wts Apr 2014 A	150,000,000	2013/01/30	2014/04/02	37.50
23138	CC Rabobank B.A. - Ch Mobile European Warrants Jun 2013 D	100,000,000	2013/01/30	2013/06/24	15.00
23140	CC Rabobank B.A. - China Railway European Wts Jul 2013 B	40,000,000	2013/01/30	2013/07/29	10.00
23139	CC Rabobank B.A. - SHK Ppt European Put Warrants Jul 2013 A	40,000,000	2013/01/30	2013/07/29	12.00
23116	Standard Chartered Bank - Minsheng Bank Euro Wts Nov 2013 A	50,000,000	2013/01/30	2013/11/08	20.00
23115	Standard Chartered Bank - Minsheng Bank Euro Wts May 2015 A	100,000,000	2013/01/30	2015/05/26	21.30
23136	Standard Chartered Bank - China Mobile Euro Wts Jun 2013 B	100,000,000	2013/01/30	2013/06/26	27.00
23135	Standard Chartered Bank - China Mobile Euro Wts Jul 2013 B	100,000,000	2013/01/30	2013/07/15	18.80
23117	Standard Chartered Bank - KECL European Warrants Nov 2014 A	100,000,000	2013/01/30	2014/11/10	25.00
23130	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul13B	50,000,000	2013/01/30	2013/07/31	12.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
23131	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2013 C	100,000,000	2013/01/30	2013/07/31	25.00
23118	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jul 2013 C	120,000,000	2013/01/30	2013/07/10	19.80
10173	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Wt Jul13 A	50,000,000	2013/01/30	2013/07/31	12.75
10174	SGA Societe Generale Acceptance N.V.-USD/YEN Eu Put Wt Jul13B	50,000,000	2013/01/30	2013/07/31	12.75
23142	UBS AG - Agricultural Bank European Warrants Sep 2013 B	100,000,000	2013/01/30	2013/09/09	25.00
23145	UBS AG - China Life European Warrants Aug 2013 A	300,000,000	2013/01/30	2013/08/12	48.00
23143	UBS AG - HSBC European Put Warrants Jul 2013 A	100,000,000	2013/01/30	2013/07/30	27.00
20845#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2013 A	170,000,000	2013/01/30	2013/06/10	10.03
20846#	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt May 2013 B	130,000,000	2013/01/30	2013/05/27	10.66
23149	BOCI Asia Ltd. - Ping An European Put Warrants Jul 2013 B	100,000,000	2013/01/31	2013/07/29	18.00
23165	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Jan14A	100,000,000	2013/01/31	2014/01/03	25.00
23166	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Nov 2013 A	98,000,000	2013/01/31	2013/11/04	24.50
23168	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt Aug2014 A	100,000,000	2013/01/31	2014/08/04	25.00
23170	BNP Paribas Arbit Issu B.V. - Melco Int'l Dev Eu Wt Mar 14 A	95,000,000	2013/01/31	2014/03/04	23.75
23167	BNP Paribas Arbit Issu B.V. - Yuesiu Property Eu Wt Dec2013A	50,000,000	2013/01/31	2013/12/03	13.50
23171	Credit Suisse AG - BOC HK European Warrants Jul 2013 A	28,000,000	2013/01/31	2013/07/31	27.72
23172	Credit Suisse AG - CC Bank European Warrants Jul 2013 C	60,000,000	2013/01/31	2013/07/22	13.20
23173	Credit Suisse AG - CC Bank European Put Warrants Jul 2013 D	60,000,000	2013/01/31	2013/07/31	15.00
23174	Credit Suisse AG - HSBC European Put Warrants Jun 2013 C	70,000,000	2013/01/31	2013/06/28	10.50
23153	HK Bank - AIA European Warrants May 2013 A	150,000,000	2013/01/31	2013/05/28	22.50
23154	HK Bank - CM Bank European Warrants Jul 2014 A	120,000,000	2013/01/31	2014/07/08	30.00
23158	HK Bank - Minsheng Bank European Warrants Jan 2015 A	150,000,000	2013/01/31	2015/01/26	37.50
23148	J P Morgan SP BV - HS Bank European Warrants Aug 2013 A	40,000,000	2013/01/31	2013/08/01	10.00
23155	Macquarie Bank Ltd. - COSCO Pacific European Wts Feb 2014 A	150,000,000	2013/01/31	2014/02/05	37.50
23152	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Dec 2013 A	150,000,000	2013/01/31	2013/12/03	37.50
23151	Macquarie Bank Ltd. - Shougang Res European Wts Jul 2013 A	80,000,000	2013/01/31	2013/07/31	20.00
23157	Macquarie Bank Ltd. - Wynn Macau European Wts Oct 2013 A	150,000,000	2013/01/31	2013/10/03	24.60
23183	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Jul 2013 A	60,000,000	2013/01/31	2013/07/25	11.64
23179	Merrill Lynch Int'l & Co. C.V. - CAM CSI300 Eu Wt Jul 2013 A	60,000,000	2013/01/31	2013/07/08	11.58
23180	Merrill Lynch Int'l & Co. C.V. - CSOP A50 ETF Eu Wt Jul 13 B	40,000,000	2013/01/31	2013/07/25	16.80
23177	Merrill Lynch Int'l & Co. C.V. - HS Bank Euro Wts Aug 2013 A	40,000,000	2013/01/31	2013/08/05	10.60
23175	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Oct 2013 A	100,000,000	2013/01/31	2013/10/30	25.00
23176	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Nov 2013 A	100,000,000	2013/01/31	2013/11/28	25.00
23182	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jul 2013 C	70,000,000	2013/01/31	2013/07/09	11.06
23184	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Aug 2013 A	40,000,000	2013/01/31	2013/08/12	14.20
23181	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jul 2013 C	40,000,000	2013/01/31	2013/07/30	11.20
23185	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Jun2013 A	80,000,000	2013/01/31	2013/06/17	12.00
23150	Standard Chartered Bank - Geely Auto European Wts Nov 2013 A	50,000,000	2013/01/31	2013/11/01	15.00
23160	UBS AG - China Mobile European Warrants Jul 2013 C	200,000,000	2013/01/31	2013/07/30	50.00
23162	UBS AG - Lenovo European Warrants Jul 2013 A	100,000,000	2013/01/31	2013/07/30	35.00
23159	UBS AG - Link REIT European Warrants Sep 2013 A	100,000,000	2013/01/31	2013/09/27	25.00
23189	BOCI Asia Ltd. - China Mobile European Warrants Jul 2013 B	100,000,000	2013/02/01	2013/07/16	29.00
23209	BNP Paribas Arbit Issu B.V. - BOCL European Wts Oct 2013 B	100,000,000	2013/02/01	2013/10/03	15.00
23211	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Sep 2013 A	200,000,000	2013/02/01	2013/09/03	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
23213	BNP Paribas Arbit Issu B.V. - Foxconn Euro Wts Aug 2013 A	42,000,000	2013/02/01	2013/08/02	11.34
23214	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Aug 2013 A	100,000,000	2013/02/01	2013/08/02	26.00
23207	BNP Paribas Arbit Issu B.V. - R&F Ppt Euro Wts Jan 2014 A	100,000,000	2013/02/01	2014/01/03	15.00
23202	Daiwa Capital Mkt - China Mobile European Wts Jun 2013 C	138,000,000	2013/02/01	2013/06/21	20.70
23204	Daiwa Capital Mkt - China Mobile European Wts Jun 2013 D	138,000,000	2013/02/01	2013/06/03	27.60
23199	HK Bank - China Mobile European Warrants Jun 2013 D	100,000,000	2013/02/01	2013/06/24	28.00
23200	HK Bank - China Mobile European Warrants Aug 2013 A	80,000,000	2013/02/01	2013/08/01	36.80
23198	HK Bank - China Tel European Warrants Aug 2013 A	80,000,000	2013/02/01	2013/08/05	20.00
23201	HK Bank - China Unicom European Warrants Nov 2013 A	100,000,000	2013/02/01	2013/11/27	15.00
23192	J P Morgan SP BV - China Mob European Wts Aug 2013 B	200,000,000	2013/02/01	2013/08/01	60.00
23191	J P Morgan SP BV - Huaneng Power European Wts Aug 2013 A	50,000,000	2013/02/01	2013/08/01	12.50
23190	J P Morgan SP BV - Lifestyle Int European Wts Nov 2013 A	50,000,000	2013/02/01	2013/11/01	12.50
23193	Macquarie Bank Ltd. - Air China European Warrants Jun 2015 A	150,000,000	2013/02/01	2015/06/02	37.50
23216	Macquarie Bank Ltd. - Galaxy Ent European Wts Oct 2013 A	100,000,000	2013/02/01	2013/10/03	25.10
23195	Macquarie Bank Ltd. - Gome European Warrants Oct 2013 A	200,000,000	2013/02/01	2013/10/03	30.00
23194	Macquarie Bank Ltd. - Haier Elec European Wts Mar 2014 A	120,000,000	2013/02/01	2014/03/04	30.00
23218	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jul 2013 C	40,000,000	2013/02/01	2013/07/25	13.20
23219	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Aug 2013 A	40,000,000	2013/02/01	2013/08/05	19.20
23221	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jun 2013 A	40,000,000	2013/02/01	2013/06/24	21.20
23197	Standard Chartered Bank - A50 China Euro Put Wts Oct 2013 A	200,000,000	2013/02/01	2013/10/25	45.00
23196	Standard Chartered Bank - A50 China Euro Put Wts Nov 2014 B	200,000,000	2013/02/01	2014/11/28	50.00
23205	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt May13C	150,000,000	2013/02/01	2013/05/29	33.00
23188	UBS AG - CAM CSI300 European Warrants Aug 2013 B	100,000,000	2013/02/01	2013/08/19	26.00
23187	UBS AG - BOC HK European Warrants Feb 2014 A	100,000,000	2013/02/01	2014/02/24	20.00
23186	UBS AG - CC Bank European Put Warrants Jul 2014 A	100,000,000	2013/02/01	2014/07/02	15.00
23215	UBS AG - HKEx European Put Warrants Jul 2013 F	100,000,000	2013/02/01	2013/07/08	22.00
22386#	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jun 2013 B	50,000,000	2013/02/01	2013/06/26	10.65
23242	Daiwa Capital Mkt - HSBC European Put Warrants Aug 2013 A	128,000,000	2013/02/04	2013/08/05	32.00
23230	Goldman Sachs SP (Asia) - CM Bank European Wts Jun 2014 A	80,000,000	2013/02/04	2014/06/24	14.16
23236	Goldman Sachs SP (Asia) - CSR European Warrants Dec 2014 A	80,000,000	2013/02/04	2014/12/22	12.56
23231	Goldman Sachs SP (Asia) - Hutchison European Wts Aug 2013 B	80,000,000	2013/02/04	2013/08/05	24.08
23232	Goldman Sachs SP (Asia) - Li & Fung European Wts Feb 2014 A	80,000,000	2013/02/04	2014/02/25	12.24
23235	Goldman Sachs SP (Asia) - Mongol Mining Euro Wt Dec 2013 A	50,000,000	2013/02/04	2013/12/23	19.50
23228	HK Bank - CITIC Sec European Warrants Jul 2013 A	100,000,000	2013/02/04	2013/07/29	18.00
23227	HK Bank - China Mobile European Put Warrants Aug 2013 B	80,000,000	2013/02/04	2013/08/05	20.00
23225	HK Bank - HSCEI European Warrants Sep 2013 C	150,000,000	2013/02/04	2013/09/27	22.50
23226	HK Bank - HSCEI European Put Warrants Sep 2013 D	150,000,000	2013/02/04	2013/09/27	22.50
23247	J P Morgan SP BV - Lumena Newmat European Wts Oct 2013 A	100,000,000	2013/02/04	2013/10/02	25.00
23229	Macquarie Bank Ltd. - ASM Pacific European Wts Sep 2014 A	250,000,000	2013/02/04	2014/09/02	62.50
23238	Macquarie Bank Ltd. - CITIC Bank European Wts Oct 2013 A	80,000,000	2013/02/04	2013/10/03	20.00
23245	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Jul 2013 C	70,000,000	2013/02/04	2013/07/23	10.78
23243	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Oct 2013 A	70,000,000	2013/02/04	2013/10/28	11.06
23246	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jul 2013 D	60,000,000	2013/02/04	2013/07/23	11.70
23237	CC Rabobank B.A. - Ch Mobile European Warrants Jun 2013 E	70,000,000	2013/02/04	2013/06/26	11.20

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
23240	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jul2013 A	50,000,000	2013/02/04	2013/07/03	13.00
23239	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2013 B	150,000,000	2013/02/04	2013/07/24	33.75
23224	UBS AG - China Life European Warrants Oct 2013 A	300,000,000	2013/02/04	2013/10/21	45.00
23241	UBS AG - ZTE European Warrants Nov 2013 A	100,000,000	2013/02/04	2013/11/18	25.00
18068#	Goldman Sachs SP (Asia) - CNOOC European Warrants Jun 2013 A	360,000,000	2013/02/04	2013/06/10	10.08
23257	Credit Suisse AG - CITIC Bank European Warrants Aug 2013 A	50,000,000	2013/02/05	2013/08/05	12.50
23262	Credit Suisse AG - A50 China European Put Wts Aug 2013 A	50,000,000	2013/02/05	2013/08/05	16.00
23259	Credit Suisse AG - HSBC European Wts Aug 2013 A	80,000,000	2013/02/05	2013/08/05	20.00
23258	Credit Suisse AG - Lenovo European Warrants Aug 2013 A	50,000,000	2013/02/05	2013/08/05	18.50
23255	Daiwa Capital Mkt - BOC HK European Warrants Feb 2014 A	128,000,000	2013/02/05	2014/02/10	24.32
23254	Daiwa Capital Mkt - China Life European Warrants Aug 2013 B	138,000,000	2013/02/05	2013/08/05	45.54
23253	Goldman Sachs SP (Asia) - AIA European Warrants Dec 2013 A	100,000,000	2013/02/05	2013/12/31	15.40
23249	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Jul 2013 A	80,000,000	2013/02/05	2013/07/08	12.56
23250	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt Aug 2013 A	80,000,000	2013/02/05	2013/08/12	13.76
23252	Goldman Sachs SP (Asia) - A50 China Euro Put Wts Dec 2014 A	100,000,000	2013/02/05	2014/12/01	15.00
23251	Goldman Sachs SP (Asia) - Wharf European Warrants Aug 2013 A	80,000,000	2013/02/05	2013/08/05	21.28
23273	CC Rabobank B.A. - China Agri European Warrants Aug 2013 A	35,000,000	2013/02/05	2013/08/12	11.55
23271	CC Rabobank B.A. - China Coal European Warrants Aug 2013 A	35,000,000	2013/02/05	2013/08/05	17.50
23276	CC Rabobank B.A. - Minsheng Bank European Wts Jul 2013 A	25,000,000	2013/02/05	2013/07/22	12.50
23269	CC Rabobank B.A. - China Shenhua European Wts Jun 2013 A	90,000,000	2013/02/05	2013/06/24	13.50
23275	CC Rabobank B.A. - CSR European Warrants Aug 2013 A	35,000,000	2013/02/05	2013/08/05	17.50
23268	CC Rabobank B.A. - Galaxy Ent European Warrants Aug 2013 A	90,000,000	2013/02/05	2013/08/05	22.50
23274	CC Rabobank B.A. - Greatwall Motor European Wts Aug 2013 A	50,000,000	2013/02/05	2013/08/05	12.50
23272	CC Rabobank B.A. - HSBC European Warrants Jul 2013 A	60,000,000	2013/02/05	2013/07/22	13.20
23277	CC Rabobank B.A. - New World Dev European Wts Jul 2013 A	25,000,000	2013/02/05	2013/07/22	15.00
23267	CC Rabobank B.A. - Sands China European Warrants Jul 2013 B	80,000,000	2013/02/05	2013/07/29	13.60
23263	UBS AG - China COSCO European Warrants Aug 2013 A	40,000,000	2013/02/05	2013/08/08	12.80
23264	UBS AG - Chinas Cement European Warrants Sep 2013 A	30,000,000	2013/02/05	2013/09/23	14.40
23265	UBS AG - HS Bank European Warrants Sep 2013 A	40,000,000	2013/02/05	2013/09/09	10.00
23266	UBS AG - ICBC European Warrants Aug 2013 A	100,000,000	2013/02/05	2013/08/08	29.00
12830#	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt May 2013	280,000,000	2013/02/05	2013/05/13	10.36
21809#	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jun 2013 B	210,000,000	2013/02/05	2013/06/27	10.29
23308	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Oct 2013 A	55,000,000	2013/02/06	2013/10/03	17.60
23310	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Aug 2014 A	100,000,000	2013/02/06	2014/08/04	25.00
23313	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Oct 2015 A	64,000,000	2013/02/06	2015/10/05	16.00
23314	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jan 2014 B	100,000,000	2013/02/06	2014/01/03	26.00
23311	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jun 2013 A	69,000,000	2013/02/06	2013/06/06	16.56
23317	Credit Suisse AG - HSBC European Put Warrants Jul 2013 C	60,000,000	2013/02/06	2013/07/22	13.80
23316	Credit Suisse AG - PetroChina European Warrants Jun 2013 A	70,000,000	2013/02/06	2013/06/17	10.50
23293	Daiwa Capital Mkt - China Life European Put Wts Aug 2013 C	98,000,000	2013/02/06	2013/08/05	24.50
23292	Daiwa Capital Mkt - China Mobile European Put Wts Jun 2013 E	108,000,000	2013/02/06	2013/06/18	16.20
23305	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Sep 2013 A	80,000,000	2013/02/06	2013/09/30	42.96
23307	Goldman Sachs SP (Asia) - Lenovo European Wts Sep 2013 A	80,000,000	2013/02/06	2013/09/30	31.36
23278	HK Bank -BYD European Warrants Aug 2013 A	80,000,000	2013/02/06	2013/08/26	20.00

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23289	HK Bank - CITIC Bank European Warrants Aug 2013 A	80,000,000	2013/02/06	2013/08/12	20.00
23279	HK Bank - Minsheng Bank European Warrants Aug 2013 A	68,000,000	2013/02/06	2013/08/06	34.00
23285	HK Bank - HSI European Warrants Aug 2013 C	180,000,000	2013/02/06	2013/08/29	45.00
23283	HK Bank - HSI European Put Warrants Aug 2013 B	180,000,000	2013/02/06	2013/08/29	45.00
23294	J P Morgan SP BV - Ch Merchants European Warrants Oct 2013 A	100,000,000	2013/02/06	2013/10/09	25.00
23298	Macquarie Bank Ltd. - Bank of EA European Wts Nov 2013 A	150,000,000	2013/02/06	2013/11/04	37.50
23299	Macquarie Bank Ltd. - BankComm European Warrants Aug 2013 A	80,000,000	2013/02/06	2013/08/06	20.00
23302	Macquarie Bank Ltd. - Minsheng Bank European Wts Aug 2013 A	80,000,000	2013/02/06	2013/08/06	30.40
23300	Macquarie Bank Ltd. - CRCC European Warrants Aug 2013 A	100,000,000	2013/02/06	2013/08/06	25.00
23290	Macquarie Bank Ltd. - Lenovo European Warrants Sep 2013 A	100,000,000	2013/02/06	2013/09/03	25.00
23319	CC Rabobank B.A. - ICBC European Warrants Jul 2013 A	100,000,000	2013/02/06	2013/07/08	15.00
23322	CC Rabobank B.A. - Lenovo European Warrants Jun 2013 B	50,000,000	2013/02/06	2013/06/07	12.50
23320	CC Rabobank B.A. - Yuexiu Property Euro Warrants Jul 2013 A	100,000,000	2013/02/06	2013/07/29	21.00
23324	UBS AG - CAM CSI300 European Warrants Sep 2013 C	100,000,000	2013/02/06	2013/09/09	25.00
23331	UBS AG - China Life European Warrants Aug 2013 B	200,000,000	2013/02/06	2013/08/19	50.00
23326	UBS AG - Sinopec Corp European Warrants Jul 2013 A	100,000,000	2013/02/06	2013/07/03	40.00
23330	UBS AG - A50 China European Warrants Aug 2013 A	50,000,000	2013/02/06	2013/08/19	12.50
23333	UBS AG - HKEx European Warrants Dec 2014 A	300,000,000	2013/02/06	2014/12/15	75.00
23325	UBS AG - HSBC European Warrants Jul 2013 B	100,000,000	2013/02/06	2013/07/22	20.00
23328	UBS AG - HSI European Warrants Oct 2013 A	300,000,000	2013/02/06	2013/10/30	60.00
23329	UBS AG - HSI European Put Warrants Jul 2013 B	300,000,000	2013/02/06	2013/07/30	75.00
23323	UBS AG - ICBC European Warrants Sep 2013 A	100,000,000	2013/02/06	2013/09/12	17.00
23327	UBS AG - Lenovo European Warrants Sep 2014 A	100,000,000	2013/02/06	2014/09/25	16.50
20841 [#]	Merrill Lynch Int'l & Co. C.V. - A50 China Eu Put Wt May13 A	180,000,000	2013/02/06	2013/05/14	10.26
23356	BOCI Asia Ltd. - HSI European Warrants Oct 2013 A	100,000,000	2013/02/07	2013/10/30	25.00
23352	BOCI Asia Ltd. - HSI European Put Warrants Jul 2013 D	100,000,000	2013/02/07	2013/07/30	22.00
23366	Credit Suisse AG - Minsheng Bank European Wts Aug 2013 A	60,000,000	2013/02/07	2013/08/09	24.60
23365	Credit Suisse AG - HKEx European Warrants Jun 2013 C	60,000,000	2013/02/07	2013/06/28	36.00
23359	Daiwa Capital Mkt - BOCL European Put Warrants Sep 2013 B	80,000,000	2013/02/07	2013/09/30	20.00
23358	Daiwa Capital Mkt - China Life European Warrants Oct 2013 A	138,000,000	2013/02/07	2013/10/21	20.70
23338	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2013 B	150,000,000	2013/02/07	2013/10/30	22.95
23336	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2013 D	150,000,000	2013/02/07	2013/06/27	22.95
23344	HK Bank - A50 China European Warrants Jul 2013 B	150,000,000	2013/02/07	2013/07/25	22.50
23342	HK Bank - Golden Eagle European Warrants Dec 2013 A	80,000,000	2013/02/07	2013/12/02	20.00
23343	HK Bank - Tencent European Put Warrants Aug 2013 A	100,000,000	2013/02/07	2013/08/12	25.00
23341	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Sep 2013 A	200,000,000	2013/02/07	2013/09/02	50.00
23364	J P Morgan SP BV - CNOOC European Warrants Nov 2013 A	300,000,000	2013/02/07	2013/11/20	45.00
23363	J P Morgan SP BV - HSBC European Warrants Sep 2013 A	200,000,000	2013/02/07	2013/09/02	50.00
23367	J P Morgan SP BV - HSBC European Put Warrants Aug 2013 A	200,000,000	2013/02/07	2013/08/06	56.00
23362	J P Morgan SP BV - ICBC European Put Wts Sep 2013 A	200,000,000	2013/02/07	2013/09/02	51.80
23350	Macquarie Bank Ltd. - CNOOC European Warrants Feb 2014 A	180,000,000	2013/02/07	2014/02/05	45.00
23348	Macquarie Bank Ltd. - HSBC European Put Warrants Sep 2013 B	200,000,000	2013/02/07	2013/09/03	50.00
23347	Macquarie Bank Ltd. - Sino Ocean Land European Wts Nov 2013 A	80,000,000	2013/02/07	2013/11/04	20.00
23349	Macquarie Bank Ltd. - Wharf European Warrants Oct 2013 A	160,000,000	2013/02/07	2013/10/03	40.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23335	Merrill Lynch Int'l & Co. C.V. - BOC HK Euro Wts Sep 2014 A	70,000,000	2013/02/07	2014/09/24	10.71
23334	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts May 2014 A	70,000,000	2013/02/07	2014/05/29	10.50
23370	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Sep 2013 A	70,000,000	2013/02/07	2013/09/27	12.39
23369	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2013 C	100,000,000	2013/02/07	2013/08/29	19.00
23368	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2013 A	100,000,000	2013/02/07	2013/12/30	21.20
23357	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Nov15A	300,000,000	2013/02/07	2015/11/02	57.00
23340	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2013 B	30,000,000	2013/02/07	2013/06/26	13.50
23360	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Aug13A	200,000,000	2013/02/07	2013/08/29	51.00
23361	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jul2013B	300,000,000	2013/02/07	2013/07/30	58.50
12308#	Standard Chartered Bank - Ping An European Warrants Jun 2013	420,000,000	2013/02/07	2013/06/24	10.08
20153#	Standard Chartered Bank - Li & Fung European Wts Aug 2013 A	200,000,000	2013/02/07	2013/08/20	10.00
22419#	Daiwa Capital Mkt - BOCL European Warrants Sep 2013 A	350,000,000	2013/02/07	2013/09/19	70.00
23379	BOCI Asia Ltd. - HSBC European Warrants Jul 2013 B	100,000,000	2013/02/08	2013/07/29	20.00
23378	BOCI Asia Ltd. - HSBC European Put Warrants Aug 2013 A	100,000,000	2013/02/08	2013/08/02	17.00
23381	BOCI Asia Ltd. - Lenovo European Warrants Jun 2013 A	100,000,000	2013/02/08	2013/06/21	20.00
23400	Citigroup Global Mkt H Inc. - China Life Euro Wts Oct 2013 A	100,000,000	2013/02/08	2013/10/30	15.00
23395	Daiwa Capital Mkt - CCB European Warrants Jun 2013 C	128,000,000	2013/02/08	2013/06/03	43.52
23396	Daiwa Capital Mkt - ICBC European Warrants Jul 2013 B	128,000,000	2013/02/08	2013/07/02	48.64
23383	Goldman Sachs SP (Asia) - Ch Mer Hold Euro Wts Dec 2013 A	80,000,000	2013/02/08	2013/12/30	20.72
23382	Goldman Sachs SP (Asia) - Henderson Land Euro Wts Jul 2013 A	80,000,000	2013/02/08	2013/07/30	12.08
23386	Goldman Sachs SP (Asia) - Sinopharm European Wts Oct 2013 A	80,000,000	2013/02/08	2013/10/31	20.00
23387	Goldman Sachs SP (Asia) - Shanghai Ind Euro Wts Jan 2014 A	80,000,000	2013/02/08	2014/01/29	15.68
23384	Goldman Sachs SP (Asia) - Weichai Power Euro Wts Oct 2013 A	80,000,000	2013/02/08	2013/10/31	21.68
23376	HK Bank - A50 China European Warrants Aug 2016 A	200,000,000	2013/02/08	2016/08/01	30.00
23374	HK Bank - Galaxy Ent European Warrants Sep 2013 A	80,000,000	2013/02/08	2013/09/30	20.00
23372	HK Bank - Huaneng Power European Warrants Aug 2013 A	60,000,000	2013/02/08	2013/08/12	19.20
23377	HK Bank - Li & Fung European Warrants Feb 2014 A	150,000,000	2013/02/08	2014/02/25	22.50
23373	HK Bank - Sands China European Warrants Sep 2013 A	80,000,000	2013/02/08	2013/09/23	20.00
23393	Macquarie Bank Ltd. - Datang European Warrants Sep 2013 A	120,000,000	2013/02/08	2013/09/03	30.48
23390	Standard Chartered Bank -PetroChina European Wts Jun 2013 A	70,000,000	2013/02/08	2013/06/27	10.50
23388	Standard Chartered Bank - SJM Hold European Wts Aug 2013 A	100,000,000	2013/02/08	2013/08/29	25.00
23389	Standard Chartered Bank - SJM Hold European Wts May 2014 A	80,000,000	2013/02/08	2014/05/02	20.00
23371	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Aug13 A	30,000,000	2013/02/08	2013/08/07	11.85
23394	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Jul 13 B	50,000,000	2013/02/08	2013/07/24	14.75
23392	UBS AG - CNOOC European Warrants Jun 2013 D	100,000,000	2013/02/08	2013/06/24	15.00
23391	UBS AG - Li & Fung European Warrants Dec 2013 B	100,000,000	2013/02/08	2013/12/02	15.00
20738#	Standard Chartered Bank-China Life European Wts Jul 2013 A	100,000,000	2013/02/08	2013/07/22	12.70
23410	BOCI Asia Ltd. - CAM CSI300 European Warrants Aug 2013 B	100,000,000	2013/02/14	2013/08/12	19.00
23443	BNP Paribas Arbit Issu B.V. - CC Bank Euro Put Wt Dec 2013 A	100,000,000	2013/02/14	2013/12/03	30.00
23457	BNP Paribas Arbit Issu B.V. - Country Garden Eur Wt Oct2013A	51,000,000	2013/02/14	2013/10/03	13.26
23446	BNP Paribas Arbit Issu B.V. - China Life Eu Put Wt Dec2014 A	100,000,000	2013/02/14	2014/12/02	25.00
23442	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Dec 2013 A	100,000,000	2013/02/14	2013/12/03	25.00
23448	BNP Paribas Arbit Issu B.V. - Golden Eagle Eu Wt Jan 2014 A	59,000,000	2013/02/14	2014/01/03	15.34
23445	BNP Paribas Arbit Issu B.V. - ICBC Euro Put Wts Dec 2013 B	100,000,000	2013/02/14	2013/12/03	31.00

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		發行單位	上市日期	到期日	
23450	BNP Paribas Arbit Issu B.V. - Longfor PPT Euro Wt Sep 2014 A	100,000,000	2013/02/14	2014/09/02	26.00
23447	BNP Paribas Arbit Issu B.V. - Lifestyle Int Eu Wt Jan 2014 A	42,000,000	2013/02/14	2014/01/03	10.50
23449	BNP Paribas Arbit Issu B.V. - China Mengniu Eu Wt Jan 2014 A	100,000,000	2013/02/14	2014/01/03	27.00
23451	BNP Paribas Arbit Issu B.V. - Poly HK Inv Eur Wts Oct 2013 A	32,000,000	2013/02/14	2013/10/03	10.88
23427	Credit Suisse AG - Agricultural Bank European Wts Aug 2013 A	50,000,000	2013/02/14	2013/08/16	12.50
23424	Credit Suisse AG - BankComm European Warrants Aug 2013 A	50,000,000	2013/02/14	2013/08/16	21.00
23423	Credit Suisse AG - Li & Fung European Warrants Aug 2013 A	50,000,000	2013/02/14	2013/08/16	39.50
23459	Daiwa Capital Mkt -Agricultural Bank European Wts Oct 2013 A	128,000,000	2013/02/14	2013/10/02	32.00
23417	Daiwa Capital Mkt - Agricultural Bank Euro Put Wt Sep 2013 C	98,000,000	2013/02/14	2013/09/25	24.50
23463	Daiwa Capital Mkt - BOCL European Warrants Dec 2013 A	168,000,000	2013/02/14	2013/12/02	42.00
23464	Daiwa Capital Mkt - CNOOC European Put Warrants Dec 2013 A	80,000,000	2013/02/14	2013/12/20	20.00
23407	Bank of East Asia - R&F Ppt European Warrants Dec 2013 B	70,000,000	2013/02/14	2013/12/23	10.50
23406	Bank of East Asia - Zoomlion European Warrants Apr 2014 A	70,000,000	2013/02/14	2014/04/07	10.50
23412	Goldman Sachs SP (Asia) - Agri Bk European Wts Sep 2013 A	80,000,000	2013/02/14	2013/09/30	20.08
23411	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2013 B	80,000,000	2013/02/14	2013/09/30	20.08
23415	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2013 A	80,000,000	2013/02/14	2013/09/30	20.24
23416	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Aug 2013 A	80,000,000	2013/02/14	2013/08/30	20.48
23414	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Mar 2014 A	80,000,000	2013/02/14	2014/03/31	12.24
23409	HK Bank - KECL European Warrants Nov 2014 A	100,000,000	2013/02/14	2014/11/03	18.00
89007	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Sep 2013 A	25,000,000	2013/02/14	2013/09/03	10.65
23429	Macquarie Bank Ltd. - Lee & Man Paper European Wt Sep 2013 A	80,000,000	2013/02/14	2013/09/03	27.76
23428	Macquarie Bank Ltd. - Weichai Power European Wts Oct 2013 A	150,000,000	2013/02/14	2013/10/03	37.50
23405	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jul 2013 B	60,000,000	2013/02/14	2013/07/08	11.94
23432	CC Rabobank B.A. - Anhui Conch European Warrants Aug 2013 A	70,000,000	2013/02/14	2013/08/13	17.50
23431	CC Rabobank B.A. - China Overseas European Wts Sep 2013 B	70,000,000	2013/02/14	2013/09/09	10.50
23430	CC Rabobank B.A. - Sinopec Corp European Warrants Aug 2013 A	70,000,000	2013/02/14	2013/08/01	11.20
23433	CC Rabobank B.A. - Zoomlion European Warrants Nov 2013 A	200,000,000	2013/02/14	2013/11/25	30.00
23421	Standard Chartered Bank - A50 China Euro Warrants Jan 2014 A	200,000,000	2013/02/14	2014/01/06	50.00
23419	Standard Chartered Bank - Galaxy Ent European Wts Nov 2013 A	100,000,000	2013/02/14	2013/11/08	25.00
23418	Standard Chartered Bank - Sands China Euro Wts Nov 2013 A	100,000,000	2013/02/14	2013/11/08	25.00
23458	Standard Chartered Bank -YZ Coal European Wts Mar 2014 A	100,000,000	2013/02/14	2014/03/24	25.00
23437	UBS AG - BOCL European Warrants Oct 2013 B	100,000,000	2013/02/14	2013/10/28	25.00
23441	UBS AG - Minsheng Bank European Warrants Aug 2013 A	30,000,000	2013/02/14	2013/08/13	18.00
23434	UBS AG - CPIC European Warrants Jul 2013 C	80,000,000	2013/02/14	2013/07/25	13.60
23438	UBS AG - China Shenhua European Warrants Aug 2013 A	80,000,000	2013/02/14	2013/08/26	20.00
23435	UBS AG - Ping An European Warrants Jun 2013 A	100,000,000	2013/02/14	2013/06/17	46.00
16549#	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Nov 2013	150,000,000	2013/02/14	2013/11/11	10.05
20558#	Standard Chartered Bank - Li & Fung European Wts Dec 2013 A	80,000,000	2013/02/14	2013/12/02	11.12
23473	Credit Suisse AG - BOCL European Warrants Aug 2013 B	50,000,000	2013/02/15	2013/08/16	12.50
23491	Daiwa Capital Mkt - Ch Overseas European Warrants Dec 2013 A	80,000,000	2013/02/15	2013/12/02	12.00
23492	Daiwa Capital Mkt - Hutchison European Warrants Oct 2013 A	128,000,000	2013/02/15	2013/10/08	32.00
23468	HK Bank - Minsheng Bank European Put Warrants Dec 2014 A	300,000,000	2013/02/15	2014/12/22	51.00
23465	J P Morgan SP BV - BOCL European Warrants Nov 2013 A	200,000,000	2013/02/15	2013/11/01	50.00
23489	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Dec 2013 A	100,000,000	2013/02/15	2013/12/03	25.00

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		發行單位	上市日期	到期日	
23469	Macquarie Bank Ltd. - BOCHK European Warrants Oct 2014 A	150,000,000	2013/02/15	2014/10/06	22.65
23488	Macquarie Bank Ltd. - BOCL European Warrants Mar 2014 A	100,000,000	2013/02/15	2014/03/04	25.00
23490	Macquarie Bank Ltd. - CC Bank European Warrants Oct 2013 A	100,000,000	2013/02/15	2013/10/03	25.00
23487	Macquarie Bank Ltd. - CSR European Warrants Dec 2014 A	150,000,000	2013/02/15	2014/12/18	24.90
23499	CC Rabobank B.A. - HKEx European Put Warrants Jul 2013 B	60,000,000	2013/02/15	2013/07/08	24.00
23498	CC Rabobank B.A. - HSBC European Put Warrants Jul 2013 B	80,000,000	2013/02/15	2013/07/23	16.80
23486	Standard Chartered Bank-China Life European Wts Aug 2014 A	100,000,000	2013/02/15	2014/08/08	25.00
23484	Standard Chartered Bank - Sinopec Corp Euro Wts Feb 2014 A	100,000,000	2013/02/15	2014/02/05	25.00
23475	Standard Chartered Bank - Ping An European Wts Aug 2013 C	100,000,000	2013/02/15	2013/08/05	22.10
23485	Standard Chartered Bank - Zijin Mining Euro Wts Sep 2013 A	80,000,000	2013/02/15	2013/09/03	20.00
23500	UBS AG - CC Bank European Warrants Jul 2013 C	100,000,000	2013/02/15	2013/07/08	21.00
23502	UBS AG - CC Bank European Warrants Aug 2013 A	100,000,000	2013/02/15	2013/08/05	39.50
23471	UBS AG - Sinopec Corp European Warrants Aug 2013 B	100,000,000	2013/02/15	2013/08/14	55.00
23495	UBS AG - New World European Warrants Dec 2014 B	150,000,000	2013/02/15	2014/12/24	27.00
23497	UBS AG - SHK Ppt European Warrants Aug 2013 A	100,000,000	2013/02/15	2013/08/14	55.00
13981#	J P Morgan SP BV - Ping An European Warrants Nov 2013	500,000,000	2013/02/15	2013/11/28	17.50
23525	BNP Paribas Arbit Issu B.V. - AIA European Wts Jul 2013 A	100,000,000	2013/02/18	2013/07/03	15.00
23527	BNP Paribas Arbit Issu B.V. - BOCL European Wts Aug 2014 A	100,000,000	2013/02/18	2014/08/04	25.00
23524	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Dec 2013 A	100,000,000	2013/02/18	2013/12/03	16.00
23523	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Mar 2014 A	100,000,000	2013/02/18	2014/03/04	15.00
23521	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Jul 2013 A	94,000,000	2013/02/18	2013/07/03	21.62
23540	Credit Suisse - China Railway European Warrants Aug 2013 A	50,000,000	2013/02/18	2013/08/02	14.00
23542	Credit Suisse AG - Chinares Cement European Wts Aug 2013 A	50,000,000	2013/02/18	2013/08/19	19.00
23541	Credit Suisse AG - Evergrande European Warrants Jun 2013 A	50,000,000	2013/02/18	2013/06/17	10.00
23544	Credit Suisse AG - A50 China European Warrants Jul 2013 C	50,000,000	2013/02/18	2013/07/08	15.00
23533	Credit Suisse AG - HSI European Warrants Oct 2013 A	150,000,000	2013/02/18	2013/10/30	22.50
23543	Credit Suisse AG - Jiangxi Copper European Wts May 2013 A	70,000,000	2013/02/18	2013/05/31	11.20
23539	Credit Suisse AG - Ping An European Warrants Jul 2013 F	80,000,000	2013/02/18	2013/07/29	12.80
23537	Daiwa Capital Mkt - Galaxy Ent European Warrants Aug 2013 A	98,000,000	2013/02/18	2013/08/19	49.98
23520	Daiwa Capital Mkt - Galaxy Ent European Warrants Oct 2013 A	98,000,000	2013/02/18	2013/10/02	24.50
23534	Daiwa Capital Mkt - Galaxy Ent European Put Wts Oct 2013 B	80,000,000	2013/02/18	2013/10/15	20.00
23536	Daiwa Capital Mkt - Sands China European Warrants Sep 2013 A	98,000,000	2013/02/18	2013/09/16	27.44
23505	Goldman Sachs SP (Asia) - BankComm European Wts Sep 2013 A	80,000,000	2013/02/18	2013/09/30	20.00
23515	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2013 B	80,000,000	2013/02/18	2013/07/08	13.12
23504	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Sep 2013 A	80,000,000	2013/02/18	2013/09/30	28.16
23516	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2013 D	80,000,000	2013/02/18	2013/07/25	24.08
23510	Goldman Sachs SP (Asia) - HSBC European Warrants Oct 2013 A	80,000,000	2013/02/18	2013/10/08	12.32
23514	Goldman Sachs SP (Asia) - Hutchison European Wts Sep 2013 B	80,000,000	2013/02/18	2013/09/30	29.92
23517	HK Bank - AIA European Put Warrants Dec 2013 A	100,000,000	2013/02/18	2013/12/31	25.00
23530	HK Bank - China Life European Warrants Aug 2013 C	150,000,000	2013/02/18	2013/08/12	25.50
23532	HK Bank - HKEx European Warrants Dec 2014 A	200,000,000	2013/02/18	2014/12/08	40.00
23503	Macquarie Bank Ltd. - Foxconn European Warrants Aug 2013 A	80,000,000	2013/02/18	2013/08/02	16.16
23538	Macquarie Bank Ltd. - Hang Lung Ppt European Wts Mar 2014 A	150,000,000	2013/02/18	2014/03/04	37.50
23545	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jul 2013 C	50,000,000	2013/02/18	2013/07/15	11.00

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		發行單位	上市日期	到期日	
23529	UBS AG - Galaxy Ent European Warrants Sep 2013 A	80,000,000	2013/02/18	2013/09/25	13.60
23528	UBS AG - Wynn Macau European Warrants Aug 2013 A	50,000,000	2013/02/18	2013/08/19	18.00
23580	Credit Suisse - Henderson Land European Warrants Aug 2013 A	50,000,000	2013/02/19	2013/08/19	14.00
23578	Credit Suisse AG - Sands China European Warrants Jun 2013 A	50,000,000	2013/02/19	2013/06/21	14.00
23581	Credit Suisse AG - SUNAC European Warrants Aug 2013 A	50,000,000	2013/02/19	2013/08/19	29.00
23582	Credit Suisse AG - Shenzhen Int'l European Wts Sep 2013 A	50,000,000	2013/02/19	2013/09/30	12.50
23570	Daiwa Capital Mkt - Sands China European Warrants Aug 2013 A	80,000,000	2013/02/19	2013/08/19	42.40
23569	Daiwa Capital Mkt - Sands China European Put Wts Dec 2013 A	80,000,000	2013/02/19	2013/12/02	20.00
23561	Goldman Sachs SP (Asia) - China Life Euro Wts Aug 2013 B	100,000,000	2013/02/19	2013/08/30	16.60
23563	J P Morgan SP BV - China Life European Warrants Aug 2013 A	300,000,000	2013/02/19	2013/08/12	45.00
23558	J P Morgan SP BV - Minsheng Bank European Wts Aug 2013 A	100,000,000	2013/02/19	2013/08/01	38.90
23557	J P Morgan SP BV - Ch Railway Cons European Wts Sep 2013 A	58,000,000	2013/02/19	2013/09/02	14.56
23556	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2013 A	200,000,000	2013/02/19	2013/08/01	30.00
23553	J P Morgan SP BV - HSI European Warrants Sep 2013 A	300,000,000	2013/02/19	2013/09/27	75.00
23554	J P Morgan SP BV - HSI European Warrants Sep 2013 B	300,000,000	2013/02/19	2013/09/27	75.00
23555	J P Morgan SP BV - HSI European Put Warrants Sep 2013 C	300,000,000	2013/02/19	2013/09/27	75.00
23552	J P Morgan SP BV - Ping An European Warrants Jul 2013 A	88,000,000	2013/02/19	2013/07/02	17.51
23559	Macquarie Bank Ltd. - CNBM European Warrants Oct 2014 A	200,000,000	2013/02/19	2014/10/06	50.00
23560	Macquarie Bank Ltd. - Li & Fung European Warrants Jan 2015 A	150,000,000	2013/02/19	2015/01/05	37.50
23565	CC Rabobank B.A. - Cheung Kong European Warrants Jun 2013 A	40,000,000	2013/02/19	2013/06/25	17.20
23564	CC Rabobank B.A. - Ch Railway Cons European Wts Jun 2013 A	40,000,000	2013/02/19	2013/06/21	12.00
23567	CC Rabobank B.A. - Evergrande European Warrants Jul 2013 B	70,000,000	2013/02/19	2013/07/25	21.00
23568	CC Rabobank B.A. - Jiangxi Copper European Wts Aug 2013 B	140,000,000	2013/02/19	2013/08/27	21.00
23566	CC Rabobank B.A. - Zijin Mining European Warrants Aug 2013 A	70,000,000	2013/02/19	2013/08/19	17.50
23549	Standard Chartered Bank - Cheung Kong Euro Wts Dec 2013 A	100,000,000	2013/02/19	2013/12/20	19.70
23546	Standard Chartered Bank - China Shenhua Euro Wts Aug 2013 A	100,000,000	2013/02/19	2013/08/28	16.70
23577	UBS AG - CITIC Sec European Warrants Aug 2013 A	80,000,000	2013/02/19	2013/08/26	21.60
23573	UBS AG - Evergrande European Warrants Jul 2013 B	50,000,000	2013/02/19	2013/07/25	15.50
23562	UBS AG - Haitong Sec European Warrants Aug 2013 A	100,000,000	2013/02/19	2013/08/19	25.00
23572	UBS AG - Hutchison European Warrants Aug 2013 A	200,000,000	2013/02/19	2013/08/19	96.00
23571	UBS AG - Li & Fung European Warrants Nov 2013 A	100,000,000	2013/02/19	2013/11/25	15.00
23574	UBS AG - SJM Holdings European Warrants Sep 2013 A	80,000,000	2013/02/19	2013/09/09	12.00
23593	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Aug 2013 A	98,000,000	2013/02/20	2013/08/05	22.54
23590	HK Bank - Haitong Sec European Warrants Sep 2013 A	100,000,000	2013/02/20	2013/09/13	16.00
23591	HK Bank - Li & Fung European Warrants Dec 2013 A	100,000,000	2013/02/20	2013/12/09	15.00
23588	J P Morgan SP BV - Lenovo European Warrants Aug 2013 B	100,000,000	2013/02/20	2013/08/02	25.00
23587	J P Morgan SP BV - SUNAC European Warrants Aug 2013 A	50,000,000	2013/02/20	2013/08/19	12.90
23586	J P Morgan SP BV - Wynn Macau European Warrants Oct 2013 A	200,000,000	2013/02/20	2013/10/03	50.00
23594	CC Rabobank B.A. - Ch LongYuan European Warrants Aug 2013 A	45,000,000	2013/02/20	2013/08/19	27.00
23595	CC Rabobank B.A. - China Tel European Warrants Jul 2013 B	80,000,000	2013/02/20	2013/07/29	14.40
23596	CC Rabobank B.A. - Tencent Holdings Euro Put Wt Jun 2013 A	150,000,000	2013/02/20	2013/06/26	24.00
23584	Standard Chartered Bank - Minsheng Bank Euro Wts Aug 2013 A	80,000,000	2013/02/20	2013/08/20	20.00
23607	Credit Suisse AG - Minsheng Bank European Wts Aug 2013 B	60,000,000	2013/02/21	2013/08/23	42.00
23600	Goldman Sachs SP (Asia) - BEA European Warrants Dec 2013 A	80,000,000	2013/02/21	2013/12/30	20.32

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		發行單位	上市日期	到期日	
23598	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Sep 2013 B	200,000,000	2013/02/21	2013/09/02	52.40
23597	J P Morgan SP BV - China Life European Warrants Sep 2013 B	388,000,000	2013/02/21	2013/09/02	58.20
23602	UBS AG - Agricultural Bank European Warrants Sep 2013 C	100,000,000	2013/02/21	2013/09/24	15.00
23605	UBS AG - China Unicom European Warrants Sep 2014 A	100,000,000	2013/02/21	2014/09/25	18.00
23606	UBS AG - GCL-Poly Energy European Warrants Sep 2013 A	60,000,000	2013/02/21	2013/09/16	21.00
17196#	Daiwa Capital Mkt - A50 China European Warrants Nov 2013 A	612,000,000	2013/02/21	2013/11/01	30.60
21499#	CC Rabobank B.A. - Sands China European Put Wts Jun 2013 A	140,000,000	2013/02/21	2013/06/24	10.36
23614	Daiwa Capital Mkt - Sinopec Corp European Wts Jul 2013 A	98,000,000	2013/02/22	2013/07/02	40.18
23609	HK Bank - Agricultural Bank European Warrants Aug 2013 B	80,000,000	2013/02/22	2013/08/09	12.00
23608	HK Bank - BOCL European Warrants Oct 2013 B	80,000,000	2013/02/22	2013/10/21	12.80
23610	Macquarie Bank Ltd. - Geely Auto European Wts Oct 2013 A	80,000,000	2013/02/22	2013/10/03	20.00
23611	UBS AG - AIA European Warrants Oct 2013 A	100,000,000	2013/02/22	2013/10/21	18.50
23612	UBS AG - China Life European Warrants Aug 2013 C	200,000,000	2013/02/22	2013/08/30	35.00
19623#	Daiwa Capital Mkt - CNOOC European Warrants Oct 2013 A	300,000,000	2013/02/22	2013/10/07	27.30
23620	J P Morgan SP BV - CAM CSI300 European Warrants Nov 2013 A	180,000,000	2013/02/25	2013/11/01	45.00
23621	Macquarie Bank Ltd. - Angang Steel European Wts Oct 2013 A	45,000,000	2013/02/25	2013/10/03	11.30
23615	Macquarie Bank Ltd. - BYD European Warrants Sep 2013 A	180,000,000	2013/02/25	2013/09/03	45.00
23618	Macquarie Bank Ltd. - Minsheng Bank European Wts Oct 2013 A	80,000,000	2013/02/25	2013/10/03	30.08
23617	Macquarie Bank Ltd. - Minsheng Bank European Wts Aug 2014 A	250,000,000	2013/02/25	2014/08/04	62.50
23625	UBS AG - CNOOC European Warrants Nov 2013 C	100,000,000	2013/02/25	2013/11/20	17.00
23624	UBS AG - Geely Auto European Warrants Jul 2013 A	50,000,000	2013/02/25	2013/07/24	18.50
23623	UBS AG - HSBC European Warrants Aug 2013 A	100,000,000	2013/02/25	2013/08/26	15.00
23622	UBS AG - Zhaojin Mining European Warrants Sep 2013 A	100,000,000	2013/02/25	2013/09/25	15.00
23626	UBS AG - Zijin Mining European Warrants Jul 2013 B	60,000,000	2013/02/25	2013/07/29	10.50
23668	Credit Suisse AG - BYD European Warrants Aug 2013 A	70,000,000	2013/02/26	2013/08/26	26.60
23667	Credit Suisse AG - China Life European Warrants Aug 2013 B	70,000,000	2013/02/26	2013/08/30	10.50
23669	Credit Suisse AG - CSOP A50 ETF European Warrants Jun 2013 E	80,000,000	2013/02/26	2013/06/28	14.40
23670	Credit Suisse AG - A50 China European Warrants Aug 2013 B	80,000,000	2013/02/26	2013/08/26	32.80
23665	Credit Suisse AG - Galaxy Ent European Warrants Jun 2013 A	70,000,000	2013/02/26	2013/06/28	14.70
23666	Credit Suisse AG - Lumena Newmat European Wts Jul 2013 A	70,000,000	2013/02/26	2013/07/22	11.20
23664	Credit Suisse AG - Tencent Holdings Euro Put Wts Jun 2013 C	70,000,000	2013/02/26	2013/06/24	10.50
23691	Daiwa Capital Mkt - CCB European Warrants Jul 2013 B	128,000,000	2013/02/26	2013/07/22	49.92
23656	Goldman Sachs SP (Asia) - AAC Acoustic Euro Wts Dec 2013 A	80,000,000	2013/02/26	2013/12/16	20.08
23651	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Dec 2013 B	80,000,000	2013/02/26	2013/12/17	13.68
23653	Goldman Sachs SP (Asia) - CQRC Bank European Wts Dec 2013 A	80,000,000	2013/02/26	2013/12/02	32.32
23652	Goldman Sachs SP (Asia) - A50 China European Wts Sep 2013 C	80,000,000	2013/02/26	2013/09/09	20.16
23645	HK Bank - CHALCO European Warrants Aug 2013 A	50,000,000	2013/02/26	2013/08/28	12.50
23644	HK Bank - China Shenhua European Warrants Nov 2013 A	100,000,000	2013/02/26	2013/11/29	25.00
23643	HK Bank - Zijin Mining European Warrants Aug 2013 A	60,000,000	2013/02/26	2013/08/28	10.80
23676	J P Morgan SP BV - Ch LongYuan European Warrants Sep 2013 A	60,000,000	2013/02/26	2013/09/02	16.62
23675	J P Morgan SP BV - Galaxy Ent European Put Wts Sep 2013 A	200,000,000	2013/02/26	2013/09/02	50.00
23637	J P Morgan SP BV - Henderson Land Dev European Wt Sep 2013 A	80,000,000	2013/02/26	2013/09/02	20.00
23672	J P Morgan SP BV - Sands China European Put Wts Sep 2013 A	200,000,000	2013/02/26	2013/09/02	50.00
23671	J P Morgan SP BV - SPDR Gold Trust European Wts Sep 2013 B	100,000,000	2013/02/26	2013/09/02	38.70

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		發行單位	上市日期	到期日	
23690	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Aug 2013 A	50,000,000	2013/02/26	2013/08/02	15.00
23687	Macquarie Bank Ltd. - A50 China European Warrants Jul 2013 C	50,000,000	2013/02/26	2013/07/03	19.15
23663	Macquarie Bank Ltd. - Yurun Food European Wts Jul 2013 B	80,000,000	2013/02/26	2013/07/09	32.96
23635	Merrill Lynch Int'l & Co. C.V. - CAM CSI300 Eu Wt Aug 2013 A	70,000,000	2013/02/26	2013/08/05	10.50
23628	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Jun 2013 B	50,000,000	2013/02/26	2013/06/27	10.50
23630	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Sep 2013 A	40,000,000	2013/02/26	2013/09/23	11.60
23632	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Aug 2013 A	70,000,000	2013/02/26	2013/08/26	10.71
23631	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Jul 2013 E	40,000,000	2013/02/26	2013/07/26	11.40
23634	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Aug 2013 B	60,000,000	2013/02/26	2013/08/27	16.80
23633	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Sep 2013 A	60,000,000	2013/02/26	2013/09/02	12.06
23629	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Aug 2013A	50,000,000	2013/02/26	2013/08/05	10.80
23657	CC Rabobank B.A. - BOCL European Warrants Aug 2013 B	100,000,000	2013/02/26	2013/08/09	15.00
23658	CC Rabobank B.A. - CNBM European Warrants Jun 2013 A	50,000,000	2013/02/26	2013/06/28	28.00
23659	CC Rabobank B.A. - China Ship Dev European Wts Sep 2013 A	50,000,000	2013/02/26	2013/09/09	25.00
23660	CC Rabobank B.A. - GCL-Poly Energy European Wts Jul 2013 B	100,000,000	2013/02/26	2013/07/26	23.00
23661	CC Rabobank B.A. - Geely Auto European Warrants Jul 2013 B	50,000,000	2013/02/26	2013/07/24	17.50
23650	Standard Chartered Bank - BYD European Warrants Oct 2013 A	100,000,000	2013/02/26	2013/10/08	26.00
23649	Standard Chartered Bank - A50 China Euro Warrants Oct 2014 A	200,000,000	2013/02/26	2014/10/28	32.00
23678	UBS AG - AIA European Warrants Oct 2013 B	100,000,000	2013/02/26	2013/10/07	15.00
23681	UBS AG - BYD European Warrants Aug 2013 A	100,000,000	2013/02/26	2013/08/26	30.00
23684	UBS AG - China Life European Warrants Aug 2013 D	200,000,000	2013/02/26	2013/08/05	40.00
23677	UBS AG - China Mobile European Warrants Jul 2013 D	200,000,000	2013/02/26	2013/07/24	41.00
23692	UBS AG - CSOP A50 ETF European Warrants Aug 2013 A	100,000,000	2013/02/26	2013/08/26	55.00
23693	UBS AG - HSI European Warrants Jul 2013 C	300,000,000	2013/02/26	2013/07/30	45.00
23709	BOCI Asia Ltd. - China Life European Put Warrants Sep 2013 A	100,000,000	2013/02/27	2013/09/30	15.00
23708	BOCI Asia Ltd. - Ping An European Warrants Aug 2013 A	100,000,000	2013/02/27	2013/08/09	20.00
23744	BNP Paribas Arbit Issu B.V. - Link REIT Euro Wts Mar 2014 A	100,000,000	2013/02/27	2014/03/04	26.00
23746	BNP Paribas Arbit Issu B.V. - Sh Pharma Euro Wts Oct 2014 A	31,000,000	2013/02/27	2014/10/06	10.54
23745	BNP Paribas Arbit Issu B.V. - Shenzhen Int'l Eu Wt Feb 2014A	100,000,000	2013/02/27	2014/02/05	30.00
23743	BNP Paribas Arbit Issu B.V. - Yurun Food Euro Wts Oct 2013 A	38,000,000	2013/02/27	2013/10/03	20.90
23730	Credit Suisse AG - Anhui Conch European Warrants Jun 2013 A	70,000,000	2013/02/27	2013/06/21	11.90
23727	Credit Suisse AG - CITIC Sec European Warrants Aug 2013 A	50,000,000	2013/02/27	2013/08/19	10.00
23728	Credit Suisse AG - CITIC Sec European Warrants Sep 2013 A	70,000,000	2013/02/27	2013/09/13	10.50
23739	Credit Suisse AG - CSR European Warrants Aug 2013 A	50,000,000	2013/02/27	2013/08/30	25.00
23738	Credit Suisse AG - Datang European Warrants Aug 2013 A	50,000,000	2013/02/27	2013/08/30	14.00
23724	Credit Suisse AG - GCL-Poly Energy European Wts Jul 2013 A	50,000,000	2013/02/27	2013/07/29	10.00
23722	Credit Suisse AG - PICC European Warrants Jul 2013 A	50,000,000	2013/02/27	2013/07/29	37.50
23723	Credit Suisse AG - SJM Holdings European Warrants Jun 2013 A	60,000,000	2013/02/27	2013/06/24	10.80
23733	Credit Suisse AG - Shenzhen Int'l European Wts Sep 2013 B	50,000,000	2013/02/27	2013/09/06	12.50
23731	Credit Suisse AG - Yanzhou Coal European Warrants May 2013 B	50,000,000	2013/02/27	2013/05/31	20.00
23741	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2013 A	100,000,000	2013/02/27	2013/09/26	40.00
23742	Citigroup Global Mkt H Inc. - HSBC European Wts Nov 2013 A	100,000,000	2013/02/27	2013/11/01	26.00
23740	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Oct 2013 A	100,000,000	2013/02/27	2013/10/28	30.00
23747	Daiwa Capital Mkt - AIA European Warrants Sep 2013 B	128,000,000	2013/02/27	2013/09/16	32.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23749	Daiwa Capital Mkt - AIA European Put Warrants Dec 2013 A	98,000,000	2013/02/27	2013/12/20	24.50
23710	Goldman Sachs SP (Asia) - Agile Ppt European Wts Jul 2014 A	80,000,000	2013/02/27	2014/07/28	12.16
23718	Goldman Sachs SP (Asia) - Belle Int'l Euro Wts Nov 2013 A	80,000,000	2013/02/27	2013/11/18	14.48
23720	Goldman Sachs SP (Asia) - Brilliance Chi Euro Wts Mar 2014 A	80,000,000	2013/02/27	2014/03/26	15.04
23719	Goldman Sachs SP (Asia) - China Res Gas Euro Wt Feb 2014 A	80,000,000	2013/02/27	2014/02/24	20.00
23714	Goldman Sachs SP (Asia) - SPDR Gold Trust Euro Wt Nov 2013 A	80,000,000	2013/02/27	2013/11/25	20.72
23716	Goldman Sachs SP (Asia) - Swire Properties Eu Wts Dec 2013 A	80,000,000	2013/02/27	2013/12/23	20.08
23694	HK Bank - Ch Ship Cont European Warrants Sep 2013 A	60,000,000	2013/02/27	2013/09/09	15.00
23695	HK Bank - Foxconn European Warrants Oct 2013 A	60,000,000	2013/02/27	2013/10/28	15.00
23702	Macquarie Bank Ltd. - CAM CSI300 European Wts Oct 2013 A	100,000,000	2013/02/27	2013/10/03	25.00
23703	Macquarie Bank Ltd. - China Coal European Wts Oct 2013 A	100,000,000	2013/02/27	2013/10/03	25.00
23704	Macquarie Bank Ltd. - China Railway European Wts Oct 2013 A	100,000,000	2013/02/27	2013/10/03	25.00
23707	Macquarie Bank Ltd. - China Ship Dev European Wts Aug 2013 A	80,000,000	2013/02/27	2013/08/27	20.00
23706	Macquarie Bank Ltd. - MGM China European Warrants Oct 2013 A	100,000,000	2013/02/27	2013/10/03	25.00
23698	Standard Chartered Bank - CAM CSI300 European Wts Aug 2013 B	100,000,000	2013/02/27	2013/08/05	15.00
23697	Standard Chartered Bank - CAM CSI300 European Wts Sep 2013 A	150,000,000	2013/02/27	2013/09/06	37.50
23701	Standard Chartered Bank - Cheung Kong Euro Wts Jul 2013 A	50,000,000	2013/02/27	2013/07/15	13.00
23696	Standard Chartered Bank - CSOP A50 ETF Euro Wts Sep 2013 B	80,000,000	2013/02/27	2013/09/06	20.00
23699	Standard Chartered Bank - Esprit European Wts Sep 2013 A	100,000,000	2013/02/27	2013/09/10	25.00
12399#	Standard Chartered Bank - Evergrande European Wts Aug 2013	310,000,000	2013/02/27	2013/08/01	10.23
23800	Credit Suisse AG - AIA European Put Warrants Jun 2013 C	70,000,000	2013/02/28	2013/06/07	10.50
23797	Credit Suisse AG - China Coal European Warrants Jun 2013 A	50,000,000	2013/02/28	2013/06/24	10.00
23798	Credit Suisse AG - China Tel European Warrants Jul 2013 A	50,000,000	2013/02/28	2013/07/29	12.75
23799	Credit Suisse AG - Geely Auto European Warrants Aug 2013 A	50,000,000	2013/02/28	2013/08/30	13.00
23801	Credit Suisse - SPDR Gold Trust European Warrants Aug 2013 A	50,000,000	2013/02/28	2013/08/30	21.50
23796	Credit Suisse AG - Sinopec Corp European Warrants Dec 2013 A	70,000,000	2013/02/28	2013/12/20	10.50
23757	Daiwa Capital Mkt - HSI European Warrants Aug 2013 B	200,000,000	2013/02/28	2013/08/29	50.00
23760	Daiwa Capital Mkt - HSI European Warrants Aug 2013 C	200,000,000	2013/02/28	2013/08/29	50.00
23792	Daiwa Capital Mkt - HSI European Put Warrants Aug 2013 D	200,000,000	2013/02/28	2013/08/29	50.00
23795	Daiwa Capital Mkt - HSI European Put Warrants Aug 2013 E	200,000,000	2013/02/28	2013/08/29	50.00
23751	Goldman Sachs SP (Asia) - BOCL European Warrants Aug 2013 A	80,000,000	2013/02/28	2013/08/16	12.00
23754	Goldman Sachs SP (Asia) - China Coal European Wts Jun 2013 A	80,000,000	2013/02/28	2013/06/26	12.08
23750	Goldman Sachs SP (Asia) - CPIC European Warrants Dec 2013 A	80,000,000	2013/02/28	2013/12/30	20.16
23755	Goldman Sachs SP (Asia) - China Unicom Euro Wts Sep 2014 A	80,000,000	2013/02/28	2014/09/29	12.16
23756	Goldman Sachs SP (Asia) - Yurun Food European Wts Dec 2013 B	80,000,000	2013/02/28	2013/12/30	41.44
23769	HK Bank - Geely Auto European Warrants Oct 2013 A	60,000,000	2013/02/28	2013/10/15	15.00
23770	HK Bank - HSI European Put Warrants Jul 2013 B	250,000,000	2013/02/28	2013/07/30	37.50
23781	J P Morgan SP BV - BYD European Warrants Sep 2013 A	100,000,000	2013/02/28	2013/09/02	25.00
23780	J P Morgan SP BV - China Life European Warrants Sep 2013 C	300,000,000	2013/02/28	2013/09/02	75.00
23779	J P Morgan SP BV - CNOOC European Warrants Jul 2013 A	100,000,000	2013/02/28	2013/07/22	44.80
23776	J P Morgan SP BV - HKEx European Warrants Sep 2013 A	100,000,000	2013/02/28	2013/09/02	32.40
23775	J P Morgan SP BV - HSBC European Warrants Sep 2013 B	200,000,000	2013/02/28	2013/09/02	50.00
23778	J P Morgan SP BV - HSCEI European Put Warrants Sep 2013 B	300,000,000	2013/02/28	2013/09/27	75.00
23774	J P Morgan SP BV - Tencent European Warrants Oct 2013 B	200,000,000	2013/02/28	2013/10/02	50.00

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23773	J P Morgan SP BV - Tencent European Put Warrants Oct 2013 A	200,000,000	2013/02/28	2013/10/02	50.00
23768	Macquarie Bank Ltd. - Sinopharm European Warrants Oct 2013 A	200,000,000	2013/02/28	2013/10/03	50.00
23766	Macquarie Bank Ltd. - Sh Pharma European Warrants Mar 2014 A	200,000,000	2013/02/28	2014/03/04	50.00
23767	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Oct 2013 A	80,000,000	2013/02/28	2013/10/03	20.00
23765	Macquarie Bank Ltd. - Zijin Mining European Wts Oct 2013 A	100,000,000	2013/02/28	2013/10/03	25.00
23761	CC Rabobank B.A. - Galaxy Ent European Put Wts Aug 2013 B	100,000,000	2013/02/28	2013/08/30	25.00
23763	CC Rabobank B.A. - Lumena Newmat European Wts Aug 2013 A	80,000,000	2013/02/28	2013/08/30	20.00
23762	CC Rabobank B.A. - Sands China European Put Wts Aug 2013 A	100,000,000	2013/02/28	2013/08/30	25.00
23764	CC Rabobank B.A. - SPDR Gold Trust European Wts Aug 2013 A	80,000,000	2013/02/28	2013/08/30	40.00
23784	Standard Chartered Bank - CITIC Pacific Euro Wts Feb 2014 A	100,000,000	2013/02/28	2014/02/07	25.00
23782	Standard Chartered Bank - HSI European Warrants Aug 2013 A	100,000,000	2013/02/28	2013/08/29	15.00
23785	Standard Chartered Bank - HSI European Put Wts Jul 2013 B	100,000,000	2013/02/28	2013/07/30	17.00
23783	Standard Chartered Bank - Zijin Mining Euro Wts Oct 2013 A	80,000,000	2013/02/28	2013/10/08	20.00
23788	UBS AG - CAM CSI300 European Warrants Sep 2013 D	100,000,000	2013/02/28	2013/09/02	24.00
23790	UBS AG - HKEx European Warrants Jul 2014 A	300,000,000	2013/02/28	2014/07/16	54.00
23787	UBS AG - HSI European Warrants Jul 2013 D	300,000,000	2013/02/28	2013/07/30	54.00
23789	UBS AG - SPDR Gold Trust European Warrants Aug 2013 A	100,000,000	2013/02/28	2013/08/27	42.00
14442 [#]	Standard Chartered Bank - A50 China Euro Warrants Oct 2013	350,000,000	2013/02/28	2013/10/28	10.85
23843	Daiwa Capital Mkt - China Life European Warrants Oct 2013 B	188,000,000	2013/03/01	2013/10/18	47.00
23845	Daiwa Capital Mkt - China Mobile European Wts Jul 2013 A	138,000,000	2013/03/01	2013/07/25	44.16
23846	Daiwa Capital Mkt - China Mobile European Put Wts Jul 2013 B	98,000,000	2013/03/01	2013/07/02	24.50
23842	Daiwa Capital Mkt - HSBC European Warrants Sep 2013 A	158,000,000	2013/03/01	2013/09/02	23.70
23833	Bank of East Asia - BYD European Warrants Nov 2013 A	50,000,000	2013/03/01	2013/11/22	12.50
23834	Bank of East Asia - Ch Mobile European Warrants Feb 2014 A	40,000,000	2013/03/01	2014/02/06	10.00
23835	Bank of East Asia - Foxconn European Warrants Sep 2013 A	40,000,000	2013/03/01	2013/09/06	10.00
23832	Bank of East Asia - Ping An European Warrants Sep 2013 B	35,000,000	2013/03/01	2013/09/06	10.50
23847	Goldman Sachs SP (Asia) - AIA European Put Wts Sep 2013 B	80,000,000	2013/03/01	2013/09/30	21.12
23848	Goldman Sachs SP (Asia) - CR Cement European Wts Dec 2013 A	80,000,000	2013/03/01	2013/12/30	26.64
23825	J P Morgan SP BV - Cheung Kong European Warrants Sep 2013 A	100,000,000	2013/03/01	2013/09/02	25.00
23818	J P Morgan SP BV - China Shenhua European Wts Sep 2013 A	200,000,000	2013/03/01	2013/09/02	50.20
23819	J P Morgan SP BV - China Shenhua European Wts Oct 2013 A	200,000,000	2013/03/01	2013/10/02	50.00
23821	J P Morgan SP BV - Geely Auto European Warrants Sep 2013 B	200,000,000	2013/03/01	2013/09/02	50.20
23823	J P Morgan SP BV - Greatwall Motor European Wts Sep 2013 A	200,000,000	2013/03/01	2013/09/02	50.00
23824	J P Morgan SP BV - Prada European Warrants Sep 2013 A	80,000,000	2013/03/01	2013/09/02	33.12
23822	J P Morgan SP BV - Sinopharm European Warrants Sep 2013 A	80,000,000	2013/03/01	2013/09/02	20.08
23829	Macquarie Bank Ltd. - BJ Ent Water European Wts Nov 2013 A	80,000,000	2013/03/01	2013/11/04	20.00
23838	Macquarie Bank Ltd. - China Gas Hold Euro Wts Dec 2014 A	180,000,000	2013/03/01	2014/12/11	29.34
23830	Macquarie Bank Ltd. - CKI Holdings European Wts Nov 2013 A	180,000,000	2013/03/01	2013/11/04	45.00
23836	Macquarie Bank Ltd. - PWR Assets European Wts Feb 2014 A	180,000,000	2013/03/01	2014/02/05	45.00
23840	Macquarie Bank Ltd. - SUNAC European Warrants Oct 2013 A	80,000,000	2013/03/01	2013/10/03	60.88
23826	Macquarie Bank Ltd. - Tsingtao Brew European Wts Sep 2013 A	80,000,000	2013/03/01	2013/09/03	20.00
23802	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Sep 2013 B	70,000,000	2013/03/01	2013/09/09	10.78
23808	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Sep 2013 A	40,000,000	2013/03/01	2013/09/02	23.20
23804	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eu Wt Nov 2013 A	40,000,000	2013/03/01	2013/11/25	10.00

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23806	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2013 A	40,000,000	2013/03/01	2013/09/02	31.60
23807	Merrill Lynch Int'l & Co. C.V. - JX Copper Eu Wts Dec 2013 A	40,000,000	2013/03/01	2013/12/16	10.00
23812	Standard Chartered Bank - China Coal European Wts Sep 2013 A	80,000,000	2013/03/01	2013/09/05	28.00
23810	Standard Chartered Bank - CSOP A50 ETF Euro Wts Nov 2015 A	100,000,000	2013/03/01	2015/11/02	25.50
23816	Standard Chartered Bank - CSR European Warrants Sep 2013 A	60,000,000	2013/03/01	2013/09/05	21.00
23817	Standard Chartered Bank - CSR European Warrants Dec 2014 A	200,000,000	2013/03/01	2014/12/15	41.60
23865	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Sep 2013 A	60,000,000	2013/03/04	2013/09/04	18.60
23863	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Oct 2013 B	70,000,000	2013/03/04	2013/10/03	24.50
23866	Credit Suisse AG - China Shenhua European Wts Sep 2013 A	50,000,000	2013/03/04	2013/09/03	49.50
23868	Credit Suisse AG - Evergrande European Warrants Jun 2013 B	50,000,000	2013/03/04	2013/06/21	15.50
23867	Credit Suisse AG - SJM Holdings European Warrants Sep 2013 A	70,000,000	2013/03/04	2013/09/09	10.50
23869	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Aug 2013 B	100,000,000	2013/03/04	2013/08/29	18.50
23861	Daiwa Capital Mkt - CCB European Warrants Jul 2013 C	128,000,000	2013/03/04	2013/07/26	19.20
23860	Daiwa Capital Mkt - China Life European Put Wts Oct 2013 C	98,000,000	2013/03/04	2013/10/02	14.70
23862	Daiwa Capital Mkt - Ping An European Warrants Aug 2013 A	98,000,000	2013/03/04	2013/08/19	23.52
23854	HK Bank - HSI European Warrants Sep 2013 C	250,000,000	2013/03/04	2013/09/27	37.50
23853	HK Bank - HSI European Warrants Oct 2013 A	250,000,000	2013/03/04	2013/10/30	47.50
23852	HK Bank - HSI European Put Warrants Sep 2013 B	250,000,000	2013/03/04	2013/09/27	62.50
23855	HK Bank - HSI European Put Warrants Sep 2013 D	250,000,000	2013/03/04	2013/09/27	37.50
23851	Macquarie Bank Ltd. - Cheung Kong European Wts Jun 2013 A	200,000,000	2013/03/04	2013/06/25	30.00
23850	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Nov 2013 A	180,000,000	2013/03/04	2013/11/04	45.00
23858	Macquarie Bank Ltd. - Link REIT European Put Wts Dec 2013 A	200,000,000	2013/03/04	2013/12/03	50.00
23849	Macquarie Bank Ltd. - SHK Ppt European Warrants Jul 2013 B	200,000,000	2013/03/04	2013/07/03	43.40
23859	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2013 A	250,000,000	2013/03/04	2013/09/03	38.50
23856	Standard Chartered Bank - China Coal European Wts Nov 2014 A	200,000,000	2013/03/04	2014/11/03	50.00
23873	BOCI Asia Ltd. - China Life European Warrants Aug 2013 A	100,000,000	2013/03/05	2013/08/05	15.00
23874	BOCI Asia Ltd. - SHK Ppt European Warrants Jul 2013 A	100,000,000	2013/03/05	2013/07/12	23.00
23892	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Oct 2013 B	100,000,000	2013/03/05	2013/10/03	25.00
23889	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Oct 2013 B	100,000,000	2013/03/05	2013/10/03	25.00
23893	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2014 A	100,000,000	2013/03/05	2014/07/30	16.00
23890	BNP Paribas Arbit Issu B.V. - Ping An European Wt Nov 2013 A	100,000,000	2013/03/05	2013/11/04	31.00
23891	BNP Paribas Arbit Issu B.V. - Zoomlion Euro Wts Apr 2014 A	100,000,000	2013/03/05	2014/04/02	17.00
23897	Daiwa Capital Mkt - CCB European Put Warrants Jul 2014 A	128,000,000	2013/03/05	2014/07/02	19.20
23895	Daiwa Capital Mkt - Tencent Holdings European Wts Sep 2013 A	128,000,000	2013/03/05	2013/09/02	19.20
23894	J P Morgan SP BV - HSI European Put Warrants Sep 2013 D	300,000,000	2013/03/05	2013/09/27	75.00
23870	Macquarie Bank Ltd. - Cheung Kong Euro Put Wts Dec 2013 A	200,000,000	2013/03/05	2013/12/03	50.00
23884	CC Rabobank B.A. - BBMG European Warrants Sep 2013 A	50,000,000	2013/03/05	2013/09/09	35.00
23885	CC Rabobank B.A. - Haier Elec European Warrants Dec 2013 A	150,000,000	2013/03/05	2013/12/16	37.50
23881	Standard Chartered Bank - China Coal European Wts Jun 2013 A	70,000,000	2013/03/05	2013/06/25	17.50
23882	Standard Chartered Bank - China Coal European Wts Sep 2013 B	70,000,000	2013/03/05	2013/09/05	53.20
23883	Standard Chartered Bank - A50 China Euro Warrants Jul 2013 C	100,000,000	2013/03/05	2013/07/10	19.60
23880	UBS AG - CAM CSI300 European Warrants Oct 2013 C	100,000,000	2013/03/05	2013/10/21	16.00
23898	UBS AG - China Life European Warrants Sep 2013 B	200,000,000	2013/03/05	2013/09/04	50.00
23878	UBS AG - Minsheng Bank European Warrants May 2015 A	60,000,000	2013/03/05	2015/05/26	13.20

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23876	UBS AG - China Unicom European Warrants Apr 2014 A	100,000,000	2013/03/05	2014/04/22	18.00
23886	UBS AG - Evergrande European Warrants Sep 2013 A	50,000,000	2013/03/05	2013/09/09	20.00
23879	UBS AG - Galaxy Ent European Warrants Jul 2013 A	80,000,000	2013/03/05	2013/07/08	12.00
23888	UBS AG - Hutchison European Warrants Jul 2013 B	200,000,000	2013/03/05	2013/07/22	44.00
23875	UBS AG - PICC European Warrants Jun 2014 A	100,000,000	2013/03/05	2014/06/24	15.00
23887	UBS AG - SHK Ppt European Warrants Sep 2013 A	100,000,000	2013/03/05	2013/09/09	60.00
23906	BOCI Asia Ltd. - CC Bank European Warrants Aug 2013 A	100,000,000	2013/03/06	2013/08/26	23.00
23907	BOCI Asia Ltd. - ICBC European Warrants Jul 2013 A	100,000,000	2013/03/06	2013/07/29	15.00
23941	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Nov 2013 A	100,000,000	2013/03/06	2013/11/04	15.00
23944	BNP Paribas Arbit Issu B.V. - AIA European Wts Apr 2014 A	100,000,000	2013/03/06	2014/04/02	25.00
23942	BNP Paribas Arbit Issu B.V. - Belle Int'l Euro Wt Nov 2013 A	100,000,000	2013/03/06	2013/11/04	17.00
23943	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2014 B	100,000,000	2013/03/06	2014/07/03	16.00
10175	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Nov 2013 A	100,000,000	2013/03/06	2013/11/29	25.00
23930	Credit Suisse AG - China Gas Hold European Wts Sep 2013 A	50,000,000	2013/03/06	2013/09/09	50.00
23928	Credit Suisse AG - Sinopharm European Warrants Sep 2013 A	50,000,000	2013/03/06	2013/09/09	12.50
23945	Citigroup Global Mkt H Inc. - AIA European Wts Dec 2013 A	100,000,000	2013/03/06	2013/12/24	16.00
23946	Citigroup Global Mkt H Inc. - Ping An Euro Put Wt Nov 2013 B	100,000,000	2013/03/06	2013/11/11	25.00
23934	Daiwa Capital Mkt - ICBC European Warrants Aug 2013 C	128,000,000	2013/03/06	2013/08/01	19.20
23908	HK Bank - HSI European Put Warrants Jul 2013 C	250,000,000	2013/03/06	2013/07/30	37.50
23910	HK Bank - HWL European Warrants Oct 2013 A	80,000,000	2013/03/06	2013/10/09	34.40
23902	J P Morgan SP BV - CITIC Sec European Warrants Sep 2013 A	100,000,000	2013/03/06	2013/09/05	25.00
23901	J P Morgan SP BV - Haitong Sec European Warrants Dec 2013 A	150,000,000	2013/03/06	2013/12/02	37.50
23900	J P Morgan SP BV - Li & Fung European Warrants Dec 2013 A	180,000,000	2013/03/06	2013/12/02	27.00
23904	J P Morgan SP BV - Lumena Newmat European Wts Sep 2013 A	100,000,000	2013/03/06	2013/09/05	25.00
23903	J P Morgan SP BV - Yurun Food European Warrants Sep 2013 A	50,000,000	2013/03/06	2013/09/05	17.55
23899	J P Morgan SP BV - Yanzhou Coal European Warrants Sep 2013 A	50,000,000	2013/03/06	2013/09/05	21.45
89008	Macquarie Bank Ltd. - CAM CSI300 European Wts Apr 2014 A	40,000,000	2013/03/06	2014/04/02	12.45
23922	Macquarie Bank Ltd. - Poly HK Inv European Wts Nov 2013 A	80,000,000	2013/03/06	2013/11/04	20.00
23925	Macquarie Bank Ltd. - Sh Electric European Wts Sep 2013 A	80,000,000	2013/03/06	2013/09/05	20.00
23921	CC Rabobank B.A. - Chi Resources European Wts Sep 2013 A	60,000,000	2013/03/06	2013/09/09	15.00
23919	CC Rabobank B.A. - Haitong Sec European Warrants Aug 2013 A	120,000,000	2013/03/06	2013/08/12	18.00
23920	CC Rabobank B.A. - Yanzhou Coal European Warrants Mar 2014 B	120,000,000	2013/03/06	2014/03/24	18.00
23916	Standard Chartered Bank-China Life European Wts Aug 2013 A	100,000,000	2013/03/06	2013/08/12	15.00
23915	Standard Chartered Bank-China Life European Wts Nov 2013 B	100,000,000	2013/03/06	2013/11/22	25.00
23913	Standard Chartered Bank - China Life Euro Put Wts Jul 2013 B	100,000,000	2013/03/06	2013/07/08	18.80
23914	Standard Chartered Bank - China Life Euro Put Wts Sep 2013 B	100,000,000	2013/03/06	2013/09/24	15.00
23911	Standard Chartered Bank - Ping An European Wts Jun 2013 A	100,000,000	2013/03/06	2013/06/17	34.00
23935	UBS AG - AIA European Warrants Jan 2014 A	100,000,000	2013/03/06	2014/01/27	25.00
23936	UBS AG - China Mobile European Warrants Jul 2013 E	200,000,000	2013/03/06	2013/07/29	82.00
23940	UBS AG - Lenovo European Warrants Sep 2013 B	40,000,000	2013/03/06	2013/09/30	23.20
23968	BOCI Asia Ltd. - AIA European Warrants Dec 2013 A	100,000,000	2013/03/07	2013/12/30	25.00
23970	BOCI Asia Ltd. - Ch Overseas European Warrants Nov 2013 A	100,000,000	2013/03/07	2013/11/26	25.00
23969	BOCI Asia Ltd. - China Shenhua European Warrants Nov 2013 A	100,000,000	2013/03/07	2013/11/29	25.00
23997	Bank of East Asia - Nine Dragons European Wts Dec 2013 A	100,000,000	2013/03/07	2013/12/27	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23988	Goldman Sachs SP (Asia) - CHALCO European Wts Dec 2013 A	80,000,000	2013/03/07	2013/12/23	20.88
23983	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Feb 2014 A	100,000,000	2013/03/07	2014/02/24	25.00
23995	J P Morgan SP BV - CAM CSI300 European Warrants Oct 2013 A	180,000,000	2013/03/07	2013/10/02	27.00
23996	J P Morgan SP BV - BOC HK European Warrants Oct 2014 A	180,000,000	2013/03/07	2014/10/03	27.00
23965	J P Morgan SP BV - CPIC European Warrants Aug 2013 C	200,000,000	2013/03/07	2013/08/26	30.00
23957	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Dec 2013 B	300,000,000	2013/03/07	2013/12/30	56.10
23956	J P Morgan SP BV - HSCEI European Put Warrants Oct 2013 B	300,000,000	2013/03/07	2013/10/30	75.00
23958	J P Morgan SP BV - HSI European Warrants Sep 2013 E	300,000,000	2013/03/07	2013/09/27	75.00
23959	J P Morgan SP BV - Hutchison European Warrants Sep 2013 A	150,000,000	2013/03/07	2013/09/06	37.50
23994	J P Morgan SP BV - ICBC European Warrants Aug 2013 B	200,000,000	2013/03/07	2013/08/01	30.00
23990	Macquarie Bank Ltd. - Chinares Cement European Wt Jul 2013 A	80,000,000	2013/03/07	2013/07/22	12.00
23991	Macquarie Bank Ltd. - China Res Gas European Wts Nov 2013 A	180,000,000	2013/03/07	2013/11/04	45.00
23989	Macquarie Bank Ltd. - Shui On Land European Wts Feb 2014 A	80,000,000	2013/03/07	2014/02/05	20.00
23950	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Mar 2014 A	60,000,000	2013/03/07	2014/03/31	14.40
23953	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Jun 2013 D	80,000,000	2013/03/07	2013/06/17	12.00
23952	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Aug 2013 B	80,000,000	2013/03/07	2013/08/05	12.00
23949	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Aug 2013 A	40,000,000	2013/03/07	2013/08/01	23.60
23948	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Jun 2013 C	40,000,000	2013/03/07	2013/06/28	11.20
23955	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Jun 2013 A	40,000,000	2013/03/07	2013/06/26	26.40
23982	Standard Chartered Bank - BOCL European Warrants Oct 2013 B	100,000,000	2013/03/07	2013/10/02	16.90
23974	Standard Chartered Bank - BOCL European Warrants Dec 2013 A	100,000,000	2013/03/07	2013/12/09	20.90
23977	Standard Chartered Bank - BOCL European Put Wts Sep 2013 B	100,000,000	2013/03/07	2013/09/09	25.00
23972	Standard Chartered Bank - CITIC Pacific Euro Wts Jul 2013 A	80,000,000	2013/03/07	2013/07/29	22.00
23971	Standard Chartered Bank - China Mobile Euro Wts Jun 2013 C	100,000,000	2013/03/07	2013/06/11	16.60
23973	Standard Chartered Bank - CNOOC European Warrants Jul 2013 A	60,000,000	2013/03/07	2013/07/22	14.40
23981	Standard Chartered Bank - Jiangxi Copper Euro Wts Dec 2013 A	100,000,000	2013/03/07	2013/12/06	25.00
23978	Standard Chartered Bank - Li & Fung Euro Put Wts Sep 2013 B	80,000,000	2013/03/07	2013/09/09	24.00
24001	BOCI Asia Ltd. - A50 China European Warrants Sep 2013 A	100,000,000	2013/03/08	2013/09/09	20.00
24039	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Nov 2013 A	100,000,000	2013/03/08	2013/11/18	45.00
24013	Goldman Sachs SP (Asia) - AIA European Warrants Feb 2014 A	120,000,000	2013/03/08	2014/02/04	30.12
24014	Goldman Sachs SP (Asia) - SHK Ppt European Wts Oct 2013 A	100,000,000	2013/03/08	2013/10/31	54.40
24016	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2013 A	120,000,000	2013/03/08	2013/09/30	18.12
24019	Goldman Sachs SP (Asia) - Tingyi European Wts Feb 2014 A	120,000,000	2013/03/08	2014/02/04	30.96
24017	Goldman Sachs SP (Asia) - ZTE European Warrants Aug 2013 A	120,000,000	2013/03/08	2013/08/26	18.96
24008	HK Bank - Cheung Kong European Warrants Sep 2013 A	60,000,000	2013/03/08	2013/09/30	24.00
24025	J P Morgan SP BV - Zhaojin Mining European Wts Sep 2013 A	100,000,000	2013/03/08	2013/09/25	15.00
24021	Macquarie Bank Ltd. - Agile Ppt European Warrants Jul 2014 A	180,000,000	2013/03/08	2014/07/28	27.36
24020	Macquarie Bank Ltd. - AIA European Warrants Jan 2014 A	75,000,000	2013/03/08	2014/01/03	18.75
24012	Macquarie Bank Ltd. - Tingyi European Warrants Sep 2014 A	180,000,000	2013/03/08	2014/09/02	45.00
24022	Macquarie Bank Ltd. - Zhaojin Mining Euro Wts Mar 2014 A	150,000,000	2013/03/08	2014/03/04	22.50
24038	CC Rabobank B.A. - AIA European Warrants Sep 2013 B	120,000,000	2013/03/08	2013/09/25	18.00
24034	CC Rabobank B.A. - China Agri European Warrants Sep 2013 A	50,000,000	2013/03/08	2013/09/09	20.00
24031	CC Rabobank B.A. - China Coal European Warrants Mar 2014 A	50,000,000	2013/03/08	2014/03/28	23.50
24037	CC Rabobank B.A. - Sinopec Corp European Warrants Aug 2013 B	50,000,000	2013/03/08	2013/08/07	14.00

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		發行單位	上市日期	到期日	
24030	CC Rabobank B.A. - Chinares Cement Euro Put Wts Nov 2013 A	60,000,000	2013/03/08	2013/11/04	24.00
24035	CC Rabobank B.A. - China Shenhua European Wts Sep 2013 A	80,000,000	2013/03/08	2013/09/24	14.40
24033	CC Rabobank B.A. - PICC P&C European Warrants Aug 2014 A	150,000,000	2013/03/08	2014/08/28	37.50
24032	CC Rabobank B.A. - Sino Land European Warrants Jul 2014 A	120,000,000	2013/03/08	2014/07/29	30.00
24036	CC Rabobank B.A. - Shenzhen Int'l European Wts Aug 2013 A	50,000,000	2013/03/08	2013/08/30	10.00
24005	Standard Chartered Bank - Anhui Conch Euro Wts Sep 2013 A	100,000,000	2013/03/08	2013/09/30	17.00
24007	Standard Chartered Bank - CAM CSI300 European Wts Jul 2013 A	100,000,000	2013/03/08	2013/07/22	23.70
24002	Standard Chartered Bank - CITIC Sec European Wts Sep 2013 A	100,000,000	2013/03/08	2013/09/13	15.00
24004	Standard Chartered Bank - China Shenhua Eur Put Wt Oct 2013A	100,000,000	2013/03/08	2013/10/11	25.00
24000	UBS AG - CNOOC European Warrants Jan 2014 A	100,000,000	2013/03/08	2014/01/28	15.00
23999	UBS AG - CNOOC European Warrants Jun 2014 B	100,000,000	2013/03/08	2014/06/27	15.00
24010	UBS AG - Sinopec Corp European Warrants Aug 2013 C	100,000,000	2013/03/08	2013/08/29	19.50
24011	UBS AG - KECL European Warrants Nov 2014 A	100,000,000	2013/03/08	2014/11/03	19.00
24009	UBS AG - Tencent Holdings European Warrants Sep 2013 A	100,000,000	2013/03/08	2013/09/02	18.00
12309#	Standard Chartered Bank - Ping An European Warrants Dec 2013	460,000,000	2013/03/08	2013/12/02	10.12
24057	Credit Suisse AG - Belle Int'l European Warrants Mar 2014 A	100,000,000	2013/03/11	2014/03/28	15.00
24053	Credit Suisse AG - China Life European Warrants Aug 2013 C	70,000,000	2013/03/11	2013/08/26	10.50
24055	Credit Suisse AG - Minsheng Bank European Wts Jul 2013 C	50,000,000	2013/03/11	2013/07/15	28.00
24054	Credit Suisse AG - Minsheng Bank European Wts Jan 2015 A	100,000,000	2013/03/11	2015/01/19	15.00
24050	Credit Suisse AG - China Mobile European Warrants Jul 2013 C	70,000,000	2013/03/11	2013/07/29	16.80
24052	Credit Suisse AG - China Mobile European Warrants Jul 2013 D	70,000,000	2013/03/11	2013/07/29	24.50
24059	Credit Suisse AG - Lenovo European Warrants Mar 2015 A	100,000,000	2013/03/11	2015/03/30	25.00
24058	Credit Suisse AG - Xinyi Glass European Warrants Sep 2013 A	70,000,000	2013/03/11	2013/09/16	30.80
24056	Credit Suisse AG - Zijin Mining European Warrants Aug 2013 A	70,000,000	2013/03/11	2013/08/30	10.50
24073	Daiwa Capital Mkt - BOCL European Warrants Sep 2013 C	128,000,000	2013/03/11	2013/09/11	32.00
24074	Daiwa Capital Mkt - China Mobile European Wts Jul 2013 C	138,000,000	2013/03/11	2013/07/25	45.54
24072	Daiwa Capital Mkt - Ch Overseas European Warrants Aug 2013 A	80,000,000	2013/03/11	2013/08/05	18.40
24042	Daiwa Capital Mkt - Hutchison European Warrants Aug 2013 A	128,000,000	2013/03/11	2013/08/01	19.20
24047	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Aug 2013 A	120,000,000	2013/03/11	2013/08/05	18.00
24044	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jul 2013 A	120,000,000	2013/03/11	2013/07/02	36.84
24046	Goldman Sachs SP (Asia) - A50 China European Wts Jun 2013 C	120,000,000	2013/03/11	2013/06/27	33.84
24040	J P Morgan SP BV - China EB Int'l European Wts Sep 2013 A	50,000,000	2013/03/11	2013/09/10	12.50
24068	CC Rabobank B.A. - Ch Comm Cons European Warrants Oct 2013 A	40,000,000	2013/03/11	2013/10/30	22.00
24065	CC Rabobank B.A. - Ch Mobile European Warrants Jul 2013 B	60,000,000	2013/03/11	2013/07/25	13.20
24063	CC Rabobank B.A. - Chi Res Land European Warrants Sep 2013 B	80,000,000	2013/03/11	2013/09/23	20.00
24066	CC Rabobank B.A. - HKEx European Warrants Sep 2013 B	40,000,000	2013/03/11	2013/09/16	16.00
24064	CC Rabobank B.A. - Ping An European Warrants Aug 2013 B	60,000,000	2013/03/11	2013/08/27	18.00
24070	CC Rabobank B.A. - Poly HK Inv European Warrants Sep 2013 A	50,000,000	2013/03/11	2013/09/10	16.50
24069	CC Rabobank B.A. - Weichai Power European Wts Feb 2014 A	60,000,000	2013/03/11	2014/02/20	28.80
24048	Standard Chartered Bank - China Shenhua Euro Wts Aug 2013 B	80,000,000	2013/03/11	2013/08/26	15.20
24062	UBS AG - China Overseas European Warrants Nov 2013 A	100,000,000	2013/03/11	2013/11/15	25.00
24060	UBS AG - CSOP A50 ETF European Warrants Sep 2013 C	100,000,000	2013/03/11	2013/09/23	72.00
24061	UBS AG - A50 China European Warrants Dec 2013 A	300,000,000	2013/03/11	2013/12/27	45.00
18864#	Standard Chartered Bank - China Unicom Euro Wts Oct 2014 A	100,000,000	2013/03/11	2014/10/30	16.90

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24099	Daiwa Capital Mkt - China Telecom European Wts Aug 2013 A	80,000,000	2013/03/12	2013/08/08	16.80
24100	Daiwa Capital Mkt - China Telecom Euro Put Wts Oct 2013 A	80,000,000	2013/03/12	2013/10/08	24.80
24118	Daiwa Capital Mkt - HSBC European Warrants Jul 2013 B	128,000,000	2013/03/12	2013/07/09	69.12
24116	Daiwa Capital Mkt - HSBC European Warrants Sep 2013 B	128,000,000	2013/03/12	2013/09/11	49.92
24089	Goldman Sachs SP (Asia) - CC Bank European Wts Oct 2013 A	80,000,000	2013/03/12	2013/10/02	20.08
24092	Goldman Sachs SP (Asia) - China Life Euro Wts Aug 2013 C	80,000,000	2013/03/12	2013/08/28	12.32
24093	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2013 E	80,000,000	2013/03/12	2013/07/31	26.00
24095	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2013 A	150,000,000	2013/03/12	2013/07/30	22.65
24098	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2013 B	150,000,000	2013/03/12	2013/07/30	25.20
24097	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2013 A	150,000,000	2013/03/12	2013/09/27	23.70
24091	Goldman Sachs Sp (Asia) - ICBC European Warrants Jun 2013 A	80,000,000	2013/03/12	2013/06/24	12.32
24078	HK Bank - CSOP A50 ETF European Warrants Sep 2013 A	80,000,000	2013/03/12	2013/09/16	31.20
24077	HK Bank - Evergrande European Warrants Sep 2013 A	100,000,000	2013/03/12	2013/09/30	25.00
24101	J P Morgan SP BV - JX Copper European Warrants Dec 2013 A	100,000,000	2013/03/12	2013/12/09	15.00
24115	CC Rabobank B.A. - CAM CSI300 European Warrants Nov 2013 A	70,000,000	2013/03/12	2013/11/18	14.70
24109	CC Rabobank B.A. - CSOP A50 ETF European Warrants Aug 2013 B	50,000,000	2013/03/12	2013/08/01	21.00
24102	CC Rabobank B.A. - A50 China European Warrants Aug 2013 A	40,000,000	2013/03/12	2013/08/01	22.00
24081	Standard Chartered Bank - ICBC European Warrants Jul 2013 B	100,000,000	2013/03/12	2013/07/02	20.00
24083	Standard Chartered Bank - ICBC European Put Wts Oct 2013 A	80,000,000	2013/03/12	2013/10/04	20.00
24123	UBS AG - AIA European Put Warrants Sep 2013 C	100,000,000	2013/03/12	2013/09/23	20.00
24143	Credit Suisse AG - AIA European Warrants Sep 2013 C	80,000,000	2013/03/13	2013/09/30	12.00
24142	Credit Suisse AG - AIA European Warrants Jan 2014 A	100,000,000	2013/03/13	2014/01/20	24.00
24144	Credit Suisse AG - China EB Int'l European Wts Sep 2013 A	50,000,000	2013/03/13	2013/09/16	22.50
24151	Citigroup Global Mkt H Inc. - BOCL European Wts Jan 2014 A	100,000,000	2013/03/13	2014/01/20	25.00
24150	Citigroup Global Mkt H Inc. - China Shenhua Eu Wt Nov 2013 A	100,000,000	2013/03/13	2013/11/22	15.00
24132	Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2013 B	80,000,000	2013/03/13	2013/09/30	20.08
24130	Goldman Sachs SP (Asia) - PetroChina European Wts Sep 2013 A	80,000,000	2013/03/13	2013/09/30	29.28
24149	Goldman Sachs SP (Asia) - Tencent European Put Wt Sep 2013 B	100,000,000	2013/03/13	2013/09/30	25.00
24128	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Mar 2014 B	120,000,000	2013/03/13	2014/03/24	18.12
24126	J P Morgan SP BV - Chi Res Power European Wts Oct 2013 A	80,000,000	2013/03/13	2013/10/02	20.00
24127	J P Morgan SP BV - Xinyi Glass European Warrants Sep 2013 A	40,000,000	2013/03/13	2013/09/12	11.44
24135	Macquarie Bank Ltd. - Huaneng Power European Wts Oct 2013 A	80,000,000	2013/03/13	2013/10/03	20.08
24137	Macquarie Bank Ltd. - ZTE European Warrants Dec 2013 A	100,000,000	2013/03/13	2013/12/03	17.30
24125	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jul 2013 B	100,000,000	2013/03/13	2013/07/30	15.00
24124	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2013 B	100,000,000	2013/03/13	2013/11/28	25.00
24140	CC Rabobank B.A. - Evergrande European Warrants Sep 2013 A	70,000,000	2013/03/13	2013/09/02	27.30
24139	CC Rabobank B.A. - Jiangxi Copper European Wts Dec 2013 A	100,000,000	2013/03/13	2013/12/09	20.00
24141	CC Rabobank B.A. - Link REIT European Warrants Sep 2013 A	60,000,000	2013/03/13	2013/09/19	10.80
24152	UBS AG - China Life European Warrants Sep 2013 C	200,000,000	2013/03/13	2013/09/09	30.00
24145	UBS AG - Galaxy Ent European Warrants Jul 2013 B	100,000,000	2013/03/13	2013/07/26	22.00
24146	UBS AG - KECL European Warrants Sep 2013 B	50,000,000	2013/03/13	2013/09/23	31.50
24147	UBS AG - Tencent Holdings European Put Warrants Aug 2013 B	100,000,000	2013/03/13	2013/08/05	18.00
21511#	UBS AG - KECL European Warrants Jul 2014 A	100,000,000	2013/03/13	2014/07/28	16.10
24165	BNP Paribas Arbit Issu B.V. - Ch LongYuan Eu Wts Oct 2013 A	38,000,000	2013/03/14	2013/10/03	14.82

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24166	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Dec 2013 A	96,000,000	2013/03/14	2013/12/03	24.00
24163	BNP Paribas Arbit Issu B.V. - Esprit European Wts Feb 2014 A	100,000,000	2013/03/14	2014/02/05	26.00
24164	BNP Paribas Arbit Issu B.V. - Henganintl Euro Wts Nov 2013 A	47,000,000	2013/03/14	2013/11/04	22.56
24167	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Feb 2014 A	100,000,000	2013/03/14	2014/02/05	25.00
24154	HK Bank - AIA European Put Warrants Sep 2013 B	80,000,000	2013/03/14	2013/09/24	16.00
24155	HK Bank - Minsheng Bank European Warrants Sep 2013 A	60,000,000	2013/03/14	2013/09/30	36.00
24156	HK Bank - Minsheng Bank European Warrants Sep 2013 B	50,000,000	2013/03/14	2013/09/30	43.50
24153	HK Bank - Galaxy Ent European Warrants Aug 2013 A	80,000,000	2013/03/14	2013/08/05	18.40
24162	J P Morgan SP BV - China Gas Hold European Wts Nov 2014 A	128,000,000	2013/03/14	2014/11/03	32.00
24161	J P Morgan SP BV - CSOP A50 ETF European Warrants Aug 2013 A	100,000,000	2013/03/14	2013/08/19	32.90
24160	J P Morgan SP BV - CSOP A50 ETF European Warrants Sep 2013 A	100,000,000	2013/03/14	2013/09/02	25.00
24159	J P Morgan SP BV - A50 China European Warrants Aug 2013 A	100,000,000	2013/03/14	2013/08/26	43.10
24158	J P Morgan SP BV - A50 China European Warrants Sep 2013 A	100,000,000	2013/03/14	2013/09/13	33.50
24157	Macquarie Bank Ltd. - Angang Steel European Wts Jan 2014 A	50,000,000	2013/03/14	2014/01/03	12.50
24168	Macquarie Bank Ltd. - China Mengniu European Wts Dec 2013 A	100,000,000	2013/03/14	2013/12/03	25.30
24170	BOCI Asia Ltd. - Tencent Holdings European Wts Aug 2013 B	100,000,000	2013/03/15	2013/08/05	15.00
24169	BOCI Asia Ltd. -Tencent Holdings European Put Wts Aug 2013 A	100,000,000	2013/03/15	2013/08/12	16.00
24197	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Oct 2013 A	100,000,000	2013/03/15	2013/10/21	35.00
24204	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2013 B	80,000,000	2013/03/15	2013/09/30	20.00
24203	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Sep 2013 A	80,000,000	2013/03/15	2013/09/30	20.72
24200	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2013 B	80,000,000	2013/03/15	2013/09/30	22.40
24201	Goldman Sachs SP (Asia) - Hutchison European Wts Sep 2013 C	80,000,000	2013/03/15	2013/09/30	20.16
24195	HK Bank - China Life European Warrants Oct 2013 A	100,000,000	2013/03/15	2013/10/10	16.00
24199	Macquarie Bank Ltd. - CHALCO European Warrants Jan 2014 A	50,000,000	2013/03/15	2014/01/10	12.50
24171	Macquarie Bank Ltd. - Geely Auto European Wts Oct 2013 B	100,000,000	2013/03/15	2013/10/03	25.50
24174	Merrill Lynch Int'l & Co. C.V. - Ch Ship Dev Eu Wt Sep2013 A	40,000,000	2013/03/15	2013/09/23	14.00
24176	Merrill Lynch Int'l & Co. C.V. - Ch Ship Dev Eu Wt Sep2013 B	40,000,000	2013/03/15	2013/09/16	18.80
24177	Merrill Lynch Int'l & Co. C.V. - Huaneng Power Eu Wt Sep13 A	40,000,000	2013/03/15	2013/09/16	22.40
24179	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Jun 2013 A	40,000,000	2013/03/15	2013/06/24	12.00
24178	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Jul 2013 A	40,000,000	2013/03/15	2013/07/26	10.00
24192	Standard Chartered Bank - CNBM European Warrants Jul 2013 A	50,000,000	2013/03/15	2013/07/08	14.25
24191	Standard Chartered Bank - CNBM European Warrants Apr 2014 A	100,000,000	2013/03/15	2014/04/07	19.00
24190	Standard Chartered Bank - Tencent Hldg Eu Put Wts Aug 2013 B	80,000,000	2013/03/15	2013/08/05	13.60
24193	Standard Chartered Bank - ZTE European Warrants Aug 2013 A	100,000,000	2013/03/15	2013/08/26	17.00
24194	Standard Chartered Bank - ZTE European Warrants Oct 2013 A	100,000,000	2013/03/15	2013/10/04	25.00
24180	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul2013 E	200,000,000	2013/03/15	2013/07/29	30.00
24181	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug2013 A	200,000,000	2013/03/15	2013/08/26	30.00
24182	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct2013 A	200,000,000	2013/03/15	2013/10/16	33.00
24183	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul2013B	150,000,000	2013/03/15	2013/07/29	32.25
24184	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul2013C	150,000,000	2013/03/15	2013/07/17	22.50
24185	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep2013A	150,000,000	2013/03/15	2013/09/30	23.25
24186	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jul2013 B	70,000,000	2013/03/15	2013/07/22	12.60
24189	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jul2013 D	50,000,000	2013/03/15	2013/07/29	18.00
24187	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Sep2013A	300,000,000	2013/03/15	2013/09/27	55.50

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		發行單位	上市日期	到期日	
24209	UBS AG - CC Bank European Put Warrants Sep 2013 B	100,000,000	2013/03/15	2013/09/23	50.00
24210	UBS AG - China Mobile European Put Warrants Oct 2013 A	100,000,000	2013/03/15	2013/10/09	52.00
24207	UBS AG - Galaxy Ent European Warrants Sep 2013 B	80,000,000	2013/03/15	2013/09/16	24.00
24208	UBS AG - HSBC European Put Warrants Sep 2013 B	100,000,000	2013/03/15	2013/09/16	51.00
24205	UBS AG - HSI European Put Warrants Sep 2013 C	300,000,000	2013/03/15	2013/09/27	75.00
24239	HK Bank - CC Bank European Warrants Jul 2013 D	80,000,000	2013/03/18	2013/07/29	12.00
24235	HK Bank - CC Bank European Warrants Sep 2013 A	60,000,000	2013/03/18	2013/09/30	19.20
24226	HK Bank - Datang Power European Warrants Sep 2013 A	60,000,000	2013/03/18	2013/09/18	15.00
24232	HK Bank - Huaneng Power European Warrants Sep 2013 A	60,000,000	2013/03/18	2013/09/18	21.60
24265	Macquarie Bank Ltd. - Evergrande European Wts Oct 2013 A	100,000,000	2013/03/18	2013/10/03	25.00
24264	Macquarie Bank Ltd. - First Pacific European Wts Apr 2015 A	150,000,000	2013/03/18	2015/04/10	37.50
24241	Macquarie Bank Ltd. - Golden Eagle European Wts Dec 2013 A	120,000,000	2013/03/18	2013/12/03	18.00
24261	Macquarie Bank Ltd. - Jiansu Express European Wts Dec 2014 A	150,000,000	2013/03/18	2014/12/11	22.50
24243	Macquarie Bank Ltd. - Luk Fook Hold European Wts Oct 2013 A	120,000,000	2013/03/18	2013/10/03	30.00
24271	Merrill Lynch Int'l & Co. C.V. - AIA Euro Put Wts Sep 2013 C	80,000,000	2013/03/18	2013/09/23	12.80
24213	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jul 2013 D	80,000,000	2013/03/18	2013/07/24	12.00
24212	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Sep 2013 B	80,000,000	2013/03/18	2013/09/23	12.08
24217	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Sep 2013 C	40,000,000	2013/03/18	2013/09/18	10.00
24218	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2013 A	40,000,000	2013/03/18	2013/10/28	15.80
24274	Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eu Wt Jul 2013 A	80,000,000	2013/03/18	2013/07/29	12.00
24273	Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eu Wt Sep 2013 A	80,000,000	2013/03/18	2013/09/24	12.08
24278	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jul 2013 B	60,000,000	2013/03/18	2013/07/15	11.64
24277	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Aug 2013 A	50,000,000	2013/03/18	2013/08/26	11.20
24211	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Dec 2014 A	70,000,000	2013/03/18	2014/12/24	11.55
24269	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Oct 2013 B	100,000,000	2013/03/18	2013/10/30	15.30
24270	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2013 E	100,000,000	2013/03/18	2013/09/27	18.50
24275	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Oct2013 A	40,000,000	2013/03/18	2013/10/28	12.00
24247	CC Rabobank B.A. - AIA European Warrants Mar 2014 A	120,000,000	2013/03/18	2014/03/03	30.00
24248	CC Rabobank B.A. - AIA European Put Warrants Sep 2013 C	120,000,000	2013/03/18	2013/09/23	18.00
24245	CC Rabobank B.A. - CHALCO European Warrants Sep 2013 A	50,000,000	2013/03/18	2013/09/23	15.00
24244	CC Rabobank B.A. - Angang Steel European Warrants Sep 2013 A	40,000,000	2013/03/18	2013/09/23	20.00
24246	CC Rabobank B.A. - Ch State Con European Warrants Sep 2013 A	35,000,000	2013/03/18	2013/09/17	42.00
24240	Standard Chartered Bank - CC Bank European Wts Sep 2013 A	100,000,000	2013/03/18	2013/09/27	15.00
24250	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Sep13A	100,000,000	2013/03/18	2013/09/23	15.00
24255	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Sep13A	100,000,000	2013/03/18	2013/09/30	20.50
24252	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Oct13 A	30,000,000	2013/03/18	2013/10/07	19.50
24253	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Sep13B	100,000,000	2013/03/18	2013/09/11	15.00
24219	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Aug13A	50,000,000	2013/03/18	2013/08/19	23.00
24254	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Sep 13 B	100,000,000	2013/03/18	2013/09/25	17.00
24256	SGA Societe Generale Acceptance N.V. - Dongfeng Eu Wt Dec13A	150,000,000	2013/03/18	2013/12/02	22.50
24259	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Sep13A	100,000,000	2013/03/18	2013/09/02	32.00
24258	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Oct13A	100,000,000	2013/03/18	2013/10/21	23.00
24224	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2013 D	50,000,000	2013/03/18	2013/07/15	14.75
24225	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Sep 2013 A	50,000,000	2013/03/18	2013/09/30	16.00

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		發行單位	上市日期	到期日	
24249	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Aug 13 C	300,000,000	2013/03/18	2013/08/29	52.50
24260	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep13B	25,000,000	2013/03/18	2013/09/18	14.00
24268	UBS AG - China Tel European Warrants Jul 2013 A	100,000,000	2013/03/18	2013/07/29	27.50
24267	UBS AG - Tencent Holdings European Warrants Sep 2013 B	200,000,000	2013/03/18	2013/09/24	38.00
24317	Credit Suisse AG - HSI European Warrants Jun 2013 I	150,000,000	2013/03/19	2013/06/27	22.50
24318	Credit Suisse AG - HSI European Warrants Oct 2013 B	150,000,000	2013/03/19	2013/10/30	25.50
24315	Credit Suisse AG - Jiangxi Copper European Wts Nov 2013 A	70,000,000	2013/03/19	2013/11/29	13.30
24312	Credit Suisse AG - KECL European Warrants Apr 2014 A	70,000,000	2013/03/19	2014/04/30	11.20
24310	Credit Suisse AG - Lumena Newmat European Wts Jul 2013 B	70,000,000	2013/03/19	2013/07/03	10.50
24294	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Oct 2013 A	120,000,000	2013/03/19	2013/10/02	31.56
24298	Goldman Sachs SP (Asia) - CRCC European Warrants Oct 2013 A	120,000,000	2013/03/19	2013/10/02	30.00
24297	Goldman Sachs SP (Asia) - HKEx European Warrants Oct 2013 A	120,000,000	2013/03/19	2013/10/02	39.96
24301	Goldman Sachs SP (Asia) - Ping An European Wts Oct 2013 A	120,000,000	2013/03/19	2013/10/03	39.72
24299	Goldman Sachs SP (Asia) - Sands China Euro Wts Oct 2013 A	120,000,000	2013/03/19	2013/10/30	30.00
24293	HK Bank - AIA European Warrants Sep 2013 C	100,000,000	2013/03/19	2013/09/23	15.00
24320	HK Bank - EFUND CSI100 European Warrants Sep 2013 A	60,000,000	2013/03/19	2013/09/19	15.00
24323	J P Morgan SP BV - EFUND CSI100 European Warrants Sep 2013 B	100,000,000	2013/03/19	2013/09/18	25.00
24322	J P Morgan SP BV - EFUND CSI100 European Warrants Oct 2013 A	100,000,000	2013/03/19	2013/10/02	25.00
24321	J P Morgan SP BV - EFUND CSI100 European Put Wts Sep 2013 A	100,000,000	2013/03/19	2013/09/18	25.00
24309	Macquarie Bank Ltd. - China EB Int'l European Wts Sep 2013 A	80,000,000	2013/03/19	2013/09/03	29.20
24292	Macquarie Bank Ltd. - Ch LongYuan European Wts Oct 2013 A	80,000,000	2013/03/19	2013/10/03	30.64
24325	Macquarie Bank Ltd. - EFUND CSI100 European Wts Oct 2013 A	120,000,000	2013/03/19	2013/10/03	30.00
24306	Macquarie Bank Ltd. - Hutchison European Warrants Jul 2013 A	70,000,000	2013/03/19	2013/07/03	10.50
24280	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Mar 2014 B	40,000,000	2013/03/19	2014/03/24	10.00
24305	Standard Chartered Bank - CNOOC European Warrants Jul 2013 B	80,000,000	2013/03/19	2013/07/29	12.00
24304	Standard Chartered Bank - HSBC European Put Wts Jul 2013 B	80,000,000	2013/03/19	2013/07/23	13.20
24282	SGA Societe Generale Acceptance N.V. - BOCm Eu Wt Oct2013 A	40,000,000	2013/03/19	2013/10/16	11.40
24284	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Apr 2014 A	150,000,000	2013/03/19	2014/04/07	25.50
24285	SGA Societe Generale Acceptance N.V. - CRCC Eu Wt Aug 2013 A	35,000,000	2013/03/19	2013/08/05	10.50
24324	SGA Societe Generale Acceptance N.V. -EF CSI100 Eu Wt Oct13A	70,000,000	2013/03/19	2013/10/07	17.50
24287	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Jul13 B	70,000,000	2013/03/19	2013/07/29	11.55
24288	SGA Societe Generale Acceptance N.V. -Greatwall Eu Wt Jul13A	70,000,000	2013/03/19	2013/07/29	12.25
24289	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Nov13 A	100,000,000	2013/03/19	2013/11/25	18.00
24290	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Oct13A	80,000,000	2013/03/19	2013/10/02	13.20
24286	SGA Societe Generale Acceptance N.V.-Yurun Food Eu Wt Aug13A	30,000,000	2013/03/19	2013/08/05	10.65
24283	SGA Societe Generale Acceptance N.V. - Zoomlion Eu Wt Nov13A	150,000,000	2013/03/19	2013/11/25	22.50
24291	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Oct13A	50,000,000	2013/03/19	2013/10/02	10.50
24326	UBS AG - EFUND CSI100 European Warrants Sep 2013 A	100,000,000	2013/03/19	2013/09/18	25.00
21001#	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jul 2013 A	110,000,000	2013/03/19	2013/07/29	10.56
24342	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jan 2014 A	100,000,000	2013/03/20	2014/01/13	40.00
24343	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jan 2014 A	100,000,000	2013/03/20	2014/01/13	50.00
24338	Macquarie Bank Ltd. - CITIC Pacific European Wts Nov 2013 A	80,000,000	2013/03/20	2013/11/04	27.44
24337	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Oct 2013 A	100,000,000	2013/03/20	2013/10/03	25.00
24336	Macquarie Bank Ltd. - Stanchart European Warrants Jan 2014 A	350,000,000	2013/03/20	2014/01/03	52.50

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		發行單位	上市日期	到期日	
24335	Standard Chartered Bank - HSI European Put Wts Sep 2013 B	100,000,000	2013/03/20	2013/09/27	15.00
24330	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Aug 2013 B	120,000,000	2013/03/20	2013/08/26	31.20
24331	SGA Societe Generale Acceptance N.V.-Chi COSCO Eu Wt Sep13 A	80,000,000	2013/03/20	2013/09/23	24.80
24328	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Sep13 A	50,000,000	2013/03/20	2013/09/23	12.50
24334	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Sep2013 A	50,000,000	2013/03/20	2013/09/02	11.00
24332	SGA Societe Generale Acceptance N.V. -Link REIT Eu Wt Aug13A	80,000,000	2013/03/20	2013/08/26	12.00
24333	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug 13 D	100,000,000	2013/03/20	2013/08/28	27.00
24339	UBS AG - China Life European Warrants Sep 2013 D	200,000,000	2013/03/20	2013/09/23	50.00
24365	Credit Suisse AG - CC Bank European Warrants Aug 2013 B	80,000,000	2013/03/21	2013/08/30	16.00
24364	Credit Suisse AG - Cheung Kong European Warrants Jun 2013 D	70,000,000	2013/03/21	2013/06/28	10.50
24366	Credit Suisse AG - China Life European Warrants Aug 2013 D	80,000,000	2013/03/21	2013/08/30	12.00
24375	Credit Suisse AG - CSOP A50 ETF European Warrants Aug 2013 A	70,000,000	2013/03/21	2013/08/12	24.50
24374	Credit Suisse AG - ChiUnicom European Warrants Apr 2014 A	70,000,000	2013/03/21	2014/04/22	10.50
24378	Credit Suisse AG - A50 China European Warrants Jul 2013 D	70,000,000	2013/03/21	2013/07/29	13.30
24376	Credit Suisse AG - A50 China European Warrants Apr 2014 A	80,000,000	2013/03/21	2014/04/28	12.00
24373	Credit Suisse AG - Geely Auto European Warrants Jul 2013 A	60,000,000	2013/03/21	2013/07/29	12.00
24371	Credit Suisse AG - HKEx European Warrants Jul 2014 A	80,000,000	2013/03/21	2014/07/21	12.00
24372	Credit Suisse AG - SHK Ppt European Warrants Sep 2013 A	60,000,000	2013/03/21	2013/09/02	19.80
24353	HK Bank - China Life European Warrants Aug 2013 D	120,000,000	2013/03/21	2013/08/28	18.00
24351	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2013 A	200,000,000	2013/03/21	2013/10/02	50.00
24350	J P Morgan SP BV - Geely Auto European Warrants Jul 2013 A	100,000,000	2013/03/21	2013/07/26	15.00
24347	J P Morgan SP BV - KECL European Warrants Apr 2014 A	200,000,000	2013/03/21	2014/04/28	30.00
24379	J P Morgan SP BV - SHK Ppt European Warrants Sep 2013 A	100,000,000	2013/03/21	2013/09/02	25.00
24357	Standard Chartered Bank - Geely Auto European Wts Jul 2013 A	50,000,000	2013/03/21	2013/07/26	10.75
24355	Standard Chartered Bank - Zhaojin Mining Euro Wts Sep 2013 A	100,000,000	2013/03/21	2013/09/27	15.00
24356	Standard Chartered Bank - Zijin Mining Euro Wts Sep 2013 B	80,000,000	2013/03/21	2013/09/27	28.00
24359	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul 13 D	150,000,000	2013/03/21	2013/07/29	37.50
24358	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 13 F	300,000,000	2013/03/21	2013/06/27	45.00
24346	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2013 A	100,000,000	2013/03/21	2013/09/02	34.00
10176	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Wt Sep13 A	50,000,000	2013/03/21	2013/09/30	12.75
10177	SGA Societe Generale Acceptance N.V.-USD/YEN Eu Put Wt Sep13B	50,000,000	2013/03/21	2013/09/30	12.75
24363	UBS AG - A50 China European Warrants Sep 2013 A	100,000,000	2013/03/21	2013/09/23	25.00
24360	UBS AG - ICBC European Warrants Jul 2013 C	100,000,000	2013/03/21	2013/07/26	37.00
17713 [#]	Daiwa Capital Mkt - A50 China European Warrants Sep 2014 A	672,000,000	2013/03/21	2014/09/15	71.23
24443	Credit Suisse AG - Anhui Conch European Warrants Sep 2013 A	50,000,000	2013/03/22	2013/09/23	13.00
24438	Credit Suisse AG - AIA European Put Warrants Sep 2013 D	70,000,000	2013/03/22	2013/09/23	10.50
24442	Credit Suisse AG - CNBM European Warrants Sep 2013 A	50,000,000	2013/03/22	2013/09/23	40.00
24440	Credit Suisse AG - CNBM European Warrants Apr 2014 A	70,000,000	2013/03/22	2014/04/11	10.50
24439	Credit Suisse AG - Tencent Holdings European Wts Sep 2013 B	70,000,000	2013/03/22	2013/09/27	10.50
24464	Citigroup Global Mkt H Inc. - AIA European Wts Nov 2013 A	100,000,000	2013/03/22	2013/11/18	25.00
24457	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jan 2014 B	100,000,000	2013/03/22	2014/01/20	40.00
24460	Citigroup Global Mkt H Inc. - CNOOC European Wts Nov 2013 A	100,000,000	2013/03/22	2013/11/18	80.00
24459	Citigroup Global Mkt H Inc. - CNOOC European Wts Dec 2013 A	100,000,000	2013/03/22	2013/12/16	50.00
24454	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Sep 2013 A	100,000,000	2013/03/22	2013/09/23	35.00

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		發行單位	上市日期	到期日	
24453	Citigroup Global Mkt H Inc. - ChiUnicom Euro Wts Sep 2013 A	100,000,000	2013/03/22	2013/09/23	80.00
24451	Citigroup Global Mkt H Inc. - ChiUnicom Euro Wts Jan 2014 A	100,000,000	2013/03/22	2014/01/20	80.00
24469	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Dec 2013 A	100,000,000	2013/03/22	2013/12/16	60.00
24466	Citigroup Global Mkt H Inc. - Hutchison Eu Put Wt Jan 2014 A	60,000,000	2013/03/22	2014/01/20	21.00
24461	Citigroup Global Mkt H Inc. - Ping An Euro Wts Nov 2013 C	100,000,000	2013/03/22	2013/11/18	30.00
24472	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Dec 2013 A	100,000,000	2013/03/22	2013/12/16	60.00
24470	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Jan 2014 A	100,000,000	2013/03/22	2014/01/20	50.00
24450	Daiwa Capital Mkt - CAM CSI300 European Warrants Oct 2013 B	100,000,000	2013/03/22	2013/10/15	35.00
24446	Daiwa Capital Mkt - CSOP A50 ETF European Wts Sep 2013 A	80,000,000	2013/03/22	2013/09/16	43.20
24445	Daiwa Capital Mkt - HSI European Put Warrants Sep 2013 C	200,000,000	2013/03/22	2013/09/27	34.00
24444	Daiwa Capital Mkt - Hutchison European Warrants Sep 2013 B	128,000,000	2013/03/22	2013/09/23	44.80
24423	HK Bank - BOC HK European Warrants Sep 2014 A	100,000,000	2013/03/22	2014/09/23	15.00
24417	HK Bank - China Mobile European Warrants Sep 2013 B	100,000,000	2013/03/22	2013/09/30	25.00
24418	HK Bank - China Mobile European Warrants Sep 2013 C	100,000,000	2013/03/22	2013/09/30	15.00
24421	HK Bank - China Shenhua European Warrants Sep 2013 A	100,000,000	2013/03/22	2013/09/30	15.00
24415	HK Bank - A50 China European Warrants Jan 2014 A	200,000,000	2013/03/22	2014/01/02	30.00
24420	HK Bank - SHK Ppt European Warrants Sep 2013 A	100,000,000	2013/03/22	2013/09/23	25.00
24435	HK Bank - Zoomlion European Warrants Apr 2014 B	100,000,000	2013/03/22	2014/04/14	15.00
24414	J P Morgan SP BV - BOCL European Warrants Oct 2013 A	200,000,000	2013/03/22	2013/10/02	50.00
24413	J P Morgan SP BV - Cheung Kong European Warrants Sep 2013 B	100,000,000	2013/03/22	2013/09/23	29.30
24412	J P Morgan SP BV - Sinopec Corp European Warrants Sep 2013 A	200,000,000	2013/03/22	2013/09/23	50.00
24426	J P Morgan SP BV - Ping An European Warrants Aug 2013 A	120,000,000	2013/03/22	2013/08/05	18.00
24428	J P Morgan SP BV - Ping An European Warrants Oct 2013 A	120,000,000	2013/03/22	2013/10/02	34.20
24382	Nomura Int'l plc - Angang Steel European Warrants Dec 2013 A	80,000,000	2013/03/22	2013/12/24	13.76
24393	Nomura Int'l plc - CC Bank European Warrants Sep 2013 A	50,000,000	2013/03/22	2013/09/26	13.00
24395	Nomura Int'l plc - CITIC Bank European Warrants Oct 2013 A	80,000,000	2013/03/22	2013/10/02	20.48
24381	Nomura Int'l plc - CITIC P European Warrants Jan 2014 A	80,000,000	2013/03/22	2014/01/30	12.00
24407	Nomura Int'l plc - Minsheng Bank European Wts Sep 2013 A	80,000,000	2013/03/22	2013/09/30	39.20
24408	Nomura Int'l plc - Minsheng Bank European Wts May 2015 A	100,000,000	2013/03/22	2015/05/28	25.00
24410	Nomura Int'l plc - Ch State Con European Warrants Apr 2014 A	50,000,000	2013/03/22	2014/04/28	12.50
24391	Nomura Int'l plc - China Telecom European Wts Nov 2013 A	80,000,000	2013/03/22	2013/11/26	12.32
24392	Nomura Int'l plc - China Unicom European Warrants Apr 2014 A	80,000,000	2013/03/22	2014/04/22	12.00
24394	Nomura Int'l plc - Datang European Warrants Mar 2014 A	50,000,000	2013/03/22	2014/03/28	13.25
24409	Nomura Int'l plc - Foxconn European Warrants Oct 2013 A	80,000,000	2013/03/22	2013/10/21	13.52
24387	Nomura Int'l plc - Gome European Warrants May 2014 A	80,000,000	2013/03/22	2014/05/19	12.32
24383	Nomura Int'l plc - HKEx European Warrants Sep 2014 A	80,000,000	2013/03/22	2014/09/05	12.00
24402	Nomura Int'l plc - ICBC European Warrants Dec 2013 A	80,000,000	2013/03/22	2013/12/31	20.56
24389	Nomura Int'l plc - Li & Fung European Warrants Nov 2013 A	80,000,000	2013/03/22	2013/11/25	12.00
24390	Nomura Int'l plc - Li & Fung European Warrants Dec 2014 A	80,000,000	2013/03/22	2014/12/24	12.08
24411	Nomura Int'l plc - Sunart Retail European Wts Aug 2014 A	50,000,000	2013/03/22	2014/08/28	12.65
24397	Nomura Int'l plc - Yurun Food European Warrants Sep 2013 A	50,000,000	2013/03/22	2013/09/26	12.70
24399	Nomura Int'l plc - Yanzhou Coal European Warrants Mar 2014 B	80,000,000	2013/03/22	2014/03/21	12.40
24436	UBS AG - KECL European Warrants Apr 2014 A	100,000,000	2013/03/22	2014/04/28	17.40
24437	UBS AG - Ping An European Warrants Aug 2013 B	100,000,000	2013/03/22	2013/08/15	31.00

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24495	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2013 B	100,000,000	2013/03/25	2013/12/03	26.00
24496	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2013 A	100,000,000	2013/03/25	2013/11/29	17.00
24499	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2014 A	100,000,000	2013/03/25	2014/02/05	15.00
24497	BNP Paribas Arbit Issu B.V. - Ping An European Wt Aug 2013 B	100,000,000	2013/03/25	2013/08/29	21.00
24501	Credit Suisse - Henderson Land European Warrants Sep 2013 A	50,000,000	2013/03/25	2013/09/30	16.50
24504	Credit Suisse AG - HSI European Warrants Jun 2013 J	150,000,000	2013/03/25	2013/06/27	22.50
24503	Credit Suisse AG - HSI European Warrants Aug 2013 C	150,000,000	2013/03/25	2013/08/29	22.50
24505	Credit Suisse AG - HSI European Warrants Sep 2013 C	150,000,000	2013/03/25	2013/09/27	24.00
24502	Credit Suisse AG - Ping An European Warrants Jul 2013 G	70,000,000	2013/03/25	2013/07/22	14.00
24487	Daiwa Capital Mkt - Ping An European Warrants Aug 2013 B	108,000,000	2013/03/25	2013/08/15	22.68
24474	HK Bank - CPIC European Warrants Sep 2013 B	80,000,000	2013/03/25	2013/09/30	20.00
24475	HK Bank - GCL-Poly Energy European Warrants Sep 2013 A	60,000,000	2013/03/25	2013/09/30	15.00
24473	HK Bank - Henderson Land Dev European Warrants Oct 2013 A	80,000,000	2013/03/25	2013/10/15	20.00
24480	HK Bank - Jiangxi Copper European Warrants Dec 2013 A	100,000,000	2013/03/25	2013/12/09	15.00
24519	J P Morgan SP BV - China Life European Warrants Sep 2013 D	300,000,000	2013/03/25	2013/09/02	45.00
24518	J P Morgan SP BV - China Mob European Wts Oct 2013 A	200,000,000	2013/03/25	2013/10/02	50.00
24510	J P Morgan SP BV - HSBC European Warrants Oct 2013 A	200,000,000	2013/03/25	2013/10/02	54.60
24512	J P Morgan SP BV - HSI European Warrants Sep 2013 F	300,000,000	2013/03/25	2013/09/27	75.00
24513	J P Morgan SP BV - HSI European Put Warrants Sep 2013 G	300,000,000	2013/03/25	2013/09/27	75.00
24509	J P Morgan SP BV - Hutchison European Warrants Oct 2013 A	150,000,000	2013/03/25	2013/10/02	51.90
24491	Macquarie Bank Ltd. - CC Bank European Warrants Dec 2013 A	100,000,000	2013/03/25	2013/12/03	25.10
24488	Macquarie Bank Ltd. - China Life European Wts Sep 2013 A	100,000,000	2013/03/25	2013/09/03	15.80
24490	Macquarie Bank Ltd. - ICBC European Warrants Dec 2013 A	100,000,000	2013/03/25	2013/12/03	25.10
24483	Macquarie Bank Ltd. - Tencent European Warrants Nov 2013 A	200,000,000	2013/03/25	2013/11/04	50.20
24481	Standard Chartered Bank - China Mobile Eur Put Wt Jul 2013 C	80,000,000	2013/03/25	2013/07/30	12.00
24494	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Aug13A	100,000,000	2013/03/25	2013/08/05	17.00
24493	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Dec2013 A	100,000,000	2013/03/25	2013/12/02	17.00
24508	UBS AG - Cheung Kong European Warrants Aug 2013 A	50,000,000	2013/03/25	2013/08/26	11.75
24485	UBS AG - CNOOC European Warrants Nov 2014 A	100,000,000	2013/03/25	2014/11/24	25.00
24507	UBS AG - Chinares Cement European Warrants Sep 2013 B	30,000,000	2013/03/25	2013/09/30	11.70
24506	UBS AG - GCL-Poly Energy European Warrants Oct 2013 A	60,000,000	2013/03/25	2013/10/11	16.80
24522	HK Bank - HSI European Warrants Sep 2013 E	100,000,000	2013/03/26	2013/09/27	25.00
24525	HK Bank - HSI European Warrants Sep 2013 F	100,000,000	2013/03/26	2013/09/27	19.00
24526	HK Bank - Ping An European Warrants Jul 2013 A	100,000,000	2013/03/26	2013/07/15	18.00
24527	HK Bank - Ping An European Warrants Oct 2013 A	100,000,000	2013/03/26	2013/10/28	18.00
24529	HK Bank - Tencent European Put Warrants Aug 2013 B	100,000,000	2013/03/26	2013/08/27	15.00
24528	HK Bank - Yanzhou Coal European Warrants Oct 2014 A	80,000,000	2013/03/26	2014/10/28	12.00
24535	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2013 A	100,000,000	2013/03/26	2013/09/30	19.00
24531	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Sep2013 A	80,000,000	2013/03/26	2013/09/02	19.60
24533	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Nov2013 A	200,000,000	2013/03/26	2013/11/18	31.00
24540	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Oct2013 A	50,000,000	2013/03/26	2013/10/02	24.50
24543	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Dec2013 A	300,000,000	2013/03/26	2013/12/04	46.50
24541	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Sep 2013 B	50,000,000	2013/03/26	2013/09/30	25.00
24536	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2013 B	100,000,000	2013/03/26	2013/09/30	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
24538	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Dec 2013 A	100,000,000	2013/03/26	2013/12/02	18.50
24542	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug2013E	100,000,000	2013/03/26	2013/08/19	20.00
24544	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Sep2013 A	50,000,000	2013/03/26	2013/09/02	14.75
24547	UBS AG - HSBC European Warrants Sep 2013 C	100,000,000	2013/03/26	2013/09/25	25.00
24546	UBS AG - HSI European Warrants Sep 2013 D	300,000,000	2013/03/26	2013/09/27	75.00
24545	UBS AG - Ping An European Warrants Oct 2013 A	100,000,000	2013/03/26	2013/10/09	34.00
21748#	HK Bank - HSCEI European Warrants Oct 2013 A	150,000,000	2013/03/26	2013/10/30	21.30
24600	Daiwa Capital Mkt - China Life European Warrants Sep 2013 A	198,000,000	2013/03/27	2013/09/16	31.68
24588	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2013 C	100,000,000	2013/03/27	2013/09/02	15.20
24590	Goldman Sachs SP (Asia) - China Life Euro Wts Nov 2013 A	100,000,000	2013/03/27	2013/11/04	25.10
24593	Goldman Sachs SP (Asia) - Henderson Land Euro Wts Dec 2013 A	80,000,000	2013/03/27	2013/12/30	21.12
24596	Goldman Sachs SP (Asia) - JIANC European Warrants Dec 2013 A	80,000,000	2013/03/27	2013/12/09	13.52
24594	Goldman Sachs SP (Asia) - SHK Ppt European Wts Dec 2013 A	80,000,000	2013/03/27	2013/12/30	35.04
24585	HK Bank - China Life European Warrants Sep 2013 B	120,000,000	2013/03/27	2013/09/13	21.60
24556	HK Bank - China Mobile European Warrants Oct 2013 A	80,000,000	2013/03/27	2013/10/09	20.00
24557	HK Bank - Tencent European Warrants Sep 2013 B	120,000,000	2013/03/27	2013/09/25	18.00
24551	J P Morgan SP BV - Anhui Conch European Warrants Oct 2013 A	100,000,000	2013/03/27	2013/10/02	25.00
24552	J P Morgan SP BV - China Agri European Warrants Oct 2013 A	40,000,000	2013/03/27	2013/10/02	10.08
24553	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2013 B	200,000,000	2013/03/27	2013/10/02	50.00
24554	J P Morgan SP BV - CNOOC European Warrants Oct 2013 A	100,000,000	2013/03/27	2013/10/02	34.90
24555	J P Morgan SP BV - HKEx European Warrants Oct 2013 A	100,000,000	2013/03/27	2013/10/02	29.10
24566	Macquarie Bank Ltd. - China Tel European Warrants Nov 2013 A	80,000,000	2013/03/27	2013/11/04	20.00
24573	Macquarie Bank Ltd. - Lumena Newmat European Wts Mar 2014 A	80,000,000	2013/03/27	2014/03/04	21.92
24586	Macquarie Bank Ltd. - Weichai Power European Wts Nov 2013 A	120,000,000	2013/03/27	2013/11/04	30.00
24559	Standard Chartered Bank - China Mobile Euro Wts Nov 2013 A	100,000,000	2013/03/27	2013/11/04	30.00
24560	Standard Chartered Bank - CNOOC European Warrants Nov 2013 B	100,000,000	2013/03/27	2013/11/04	25.00
24562	Standard Chartered Bank - Chi Res Power Euro Wts Nov 2013 A	60,000,000	2013/03/27	2013/11/04	15.00
24563	Standard Chartered Bank - HSI European Warrants Sep 2013 C	100,000,000	2013/03/27	2013/09/27	15.70
24561	Standard Chartered Bank - Hutchison Euro Euro Wts Oct 2013 A	100,000,000	2013/03/27	2013/10/10	40.00
24597	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jan 2014 A	100,000,000	2013/03/27	2014/01/15	18.00
24577	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep2013 A	200,000,000	2013/03/27	2013/09/16	30.00
24578	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Aug2013A	100,000,000	2013/03/27	2013/08/26	15.00
24582	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Sep 13A	50,000,000	2013/03/27	2013/09/02	10.00
24599	SGA Societe Generale Acceptance N.V.-Haitong Sec Eu Wt Nov13A	100,000,000	2013/03/27	2013/11/25	15.00
24583	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jul 2013 D	100,000,000	2013/03/27	2013/07/31	32.50
21311#	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Jul 2013 B	160,000,000	2013/03/27	2013/07/02	10.08
21927#	Daiwa Capital Mkt - BOCL European Warrants Aug 2013 A	200,000,000	2013/03/27	2013/08/08	18.80
24651	Credit Suisse AG - Lumena Newmat European Wts Aug 2013 A	70,000,000	2013/03/28	2013/08/30	12.60
24641	Credit Suisse AG - Tencent Holdings European Wts Nov 2013 A	100,000,000	2013/03/28	2013/11/29	15.00
24650	Credit Suisse AG - Yanzhou Coal European Warrants Aug 2013 A	70,000,000	2013/03/28	2013/08/30	31.50
24647	Daiwa Capital Mkt - Tencent Holdings European Wts Aug 2013 B	128,000,000	2013/03/28	2013/08/08	23.04
24648	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Oct 2013 A	128,000,000	2013/03/28	2013/10/25	32.00
24639	Goldman Sachs SP (Asia) - China Coal European Wts Dec 2013 A	80,000,000	2013/03/28	2013/12/30	39.28
24635	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts Aug 2013 A	80,000,000	2013/03/28	2013/08/30	17.12

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
24636	Goldman Sachs SP (Asia) -Ch Overseas European Wts Nov 2013 A	80,000,000	2013/03/28	2013/11/18	15.12
24638	Goldman Sachs SP (Asia) - Lenovo European Wts Nov 2013 A	80,000,000	2013/03/28	2013/11/04	37.76
24640	Goldman Sachs SP (Asia) - Ping An European Wts Nov 2013 A	80,000,000	2013/03/28	2013/11/04	27.44
24637	Goldman Sachs SP (Asia) - Tencent European Put Wt Oct 2013 A	100,000,000	2013/03/28	2013/10/02	25.40
24644	HK Bank - HSCEI European Warrants Dec 2013 B	150,000,000	2013/03/28	2013/12/30	22.50
24646	HK Bank - ICBC European Warrants Nov 2013 A	80,000,000	2013/03/28	2013/11/25	20.00
24645	HK Bank - Ping An European Warrants Oct 2013 B	80,000,000	2013/03/28	2013/10/09	45.60
24602	J P Morgan SP BV - Tencent European Warrants Oct 2013 C	200,000,000	2013/03/28	2013/10/02	50.00
24652	Macquarie Bank Ltd. - CQRC Bank European Warrants Dec 2013 A	80,000,000	2013/03/28	2013/12/03	20.40
24619	Macquarie Bank Ltd. - Chi Resources European Wts Jan 2014 A	120,000,000	2013/03/28	2014/01/03	30.60
24617	Macquarie Bank Ltd. - Foxconn European Warrants Nov 2013 A	120,000,000	2013/03/28	2013/11/04	34.20
24649	Macquarie Bank Ltd. - HKT-SS European Warrants Nov 2013 A	100,000,000	2013/03/28	2013/11/04	25.70
24660	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2013 H	100,000,000	2013/03/28	2013/09/27	15.00
24658	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2013 F	100,000,000	2013/03/28	2013/09/27	28.00
24659	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2013 G	100,000,000	2013/03/28	2013/09/27	16.50
24621	Nomura Int'l plc - BBMG European Put Warrants Jun 2015 A	50,000,000	2013/03/28	2015/06/29	12.50
24616	Nomura Int'l plc - CNOOC European Warrants Nov 2013 B	30,000,000	2013/03/28	2013/11/01	25.20
24611	Nomura Int'l plc - EFUND CSI100 European Warrants Sep 2013 A	80,000,000	2013/03/28	2013/09/11	12.00
24612	Nomura Int'l plc - EFUND CSI100 European Put Wts Sep 2013 B	80,000,000	2013/03/28	2013/09/11	12.00
24629	Nomura Int'l plc - Evergrande European Warrants Dec 2013 A	80,000,000	2013/03/28	2013/12/02	21.76
24608	Nomura Int'l plc - A50 China European Warrants Oct 2013 A	50,000,000	2013/03/28	2013/10/02	24.00
24609	Nomura Int'l plc - A50 China European Warrants Oct 2013 B	50,000,000	2013/03/28	2013/10/02	13.20
24610	Nomura Int'l plc - A50 China European Put Wts Nov 2013 A	50,000,000	2013/03/28	2013/11/01	14.60
24613	Nomura Int'l plc - Hutchison European Warrants Oct 2013 A	80,000,000	2013/03/28	2013/10/02	23.52
24615	Nomura Int'l plc - Tencent Holdings Euro Warrants Mar 2014 A	50,000,000	2013/03/28	2014/03/31	16.85
24642	Standard Chartered Bank - Datang Power Euro Wts Nov 2013 A	80,000,000	2013/03/28	2013/11/04	20.00
24643	Standard Chartered Bank - A50 China Euro Put Wts Jan 2015 A	100,000,000	2013/03/28	2015/01/14	18.40
24603	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Sep13A	50,000,000	2013/03/28	2013/09/30	14.00
24606	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Sep 2013 A	30,000,000	2013/03/28	2013/09/30	15.90
24607	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Sep 13 A	50,000,000	2013/03/28	2013/09/30	30.50
24634	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Nov 13 A	300,000,000	2013/03/28	2013/11/27	58.50
24656	UBS AG - China Shenhua European Warrants Aug 2013 B	80,000,000	2013/03/28	2013/08/26	13.04
24654	UBS AG - ICBC European Put Warrants Aug 2013 B	80,000,000	2013/03/28	2013/08/12	24.80
24655	UBS AG - Tencent Holdings European Warrants Sep 2013 C	100,000,000	2013/03/28	2013/09/25	15.00
24653	UBS AG - Tencent Holdings European Put Warrants Aug 2013 C	100,000,000	2013/03/28	2013/08/26	19.00
22529#	Standard Chartered Bank - HSI European Warrants Sep 2013 A	160,000,000	2013/03/28	2013/09/27	10.08
21294#	Standard Chartered Bank - Tencent Hldg Euro Wts Jul 2013 C	100,000,000	2013/03/28	2013/07/10	11.20
總 額					48,254.00

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2012	第1季	—	390.38
	第2季	—	1,069.72
	第3季	—	742.85
	第4季	—	567.60
2013	第1季	—	585.65

債券面值

		發行數目	面值(百萬港元)
2012	第1季	209	651,849.26
	第2季	231	754,232.63
	第3季	247	811,545.76
	第4季	269	883,784.51
2013	第1季	299	960,682.29

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2012	第1季	24	69,041.82
	第2季	30	113,905.00
	第3季	26	77,396.31
	第4季	29	80,894.77
2013	第1季	37	113,959.19

主板 債務證券

新上市債券

2013年第1季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04588	香港按揭證券有限公司浮息票據2014年	GBP 50,000,000	2013/01/15	2014/01/10	625.13
85907#	Ch Guangdong Nuclear Power Hldg Co., Ltd. 3.75%人民幣債券2015	RMB 1,500,000,000	2013/01/15	2015/11/02	1,877.78
04590	Hysan (MTN) Ltd. 3.5%有擔保票據2023年	USD 300,000,000	2013/01/17	2023/01/16	2,334.53
04589	SHK Ppt (Capital Market) Ltd. 3.625%有擔保票據2023年	USD 500,000,000	2013/01/17	2023/01/16	3,876.06
04592	Champion MTN Ltd. 3.75%票據2023年	USD 400,000,000	2013/01/18	2023/01/17	3,094.57
04215	中華人民共和國香港特別行政區1.10%債券2023年	HKD 1,500,000,000	2013/01/18	2023/01/17	1,461.45
04594	香港按揭證券有限公司浮息票據2014年	GBP 50,000,000	2013/01/18	2014/01/17	620.13
04593	雅居樂地產控股有限公司後償永久年資本債券	USD 700,000,000	2013/01/21	-	5,460.00
04591	Lai Sun Int'l Finance (2012) Ltd. 5.7%有擔保票據2018年	USD 350,000,000	2013/01/21	2018/01/18	2,730.00
04595	CK Bond Sec (03) Ltd. 5.375%有擔保優先永久債券	USD 500,000,000	2013/01/25	-	3,900.00
04596	越秀地產股份有限公司3.25%票據2018年	USD 350,000,000	2013/01/25	2018/01/24	2,725.39
04597	越秀地產股份有限公司4.50%票據2023年	USD 500,000,000	2013/01/25	2023/01/24	3,878.90
04598	寶龍地產股份有限公司11.25%優先票據2018年	USD 250,000,000	2013/01/28	2018/01/25	1,922.86
04583#	中國奧園地產集團股份有限公司13.875%有擔保優先票據2017年	USD 100,000,000	2013/01/29	2017/11/23	832.01
04581#	中駿置業控股有限公司11.5%優先票據2017年	USD 150,000,000	2013/01/29	2017/11/14	1,263.60
04599	亨得利控股有限公司6.25%優先票據2018年	USD 350,000,000	2013/01/30	2018/01/29	2,730.00
05900	COSCO Pacific Finance (2013) Co. Ltd. 4.375%有擔保票據2023年	USD 300,000,000	2013/02/01	2023/01/31	2,324.09
05901	明發集團(國際)有限公司13.25%優先票據2018年	USD 100,000,000	2013/02/04	2018/02/01	780.00
05902	綠城中國控股有限公司8.50%優先票據2018年	USD 400,000,000	2013/02/05	2018/02/04	3,120.00
05903	合景泰富地產控股有限公司8.625%優先票據2020年	USD 300,000,000	2013/02/06	2020/02/05	2,340.00
05904	China Railway Resources Huitung Ltd. 3.85%有擔保票據2023年	USD 500,000,000	2013/02/06	2023/02/05	3,891.66
04216	中華人民共和國香港特別行政區0.61%債券2018年	HKD 2,000,000,000	2013/02/06	2018/02/05	1,973.00
85912	Oversea-Chinese Banking Corporation Ltd. 3.5%人民幣票據2020	RMB 500,000,000	2013/02/06	2020/02/05	621.90
85913	華能國際電力股份有限公司3.85%人民幣債券2016年	RMB 1,500,000,000	2013/02/06	2016/02/05	1,865.70
85914	新世界中國地產有限公司5.50%人民幣債券2018年	RMB 3,000,000,000	2013/02/07	2018/02/06	3,733.50
05905	Petron Corporation後償不定期資本債券	USD 500,000,000	2013/02/07	-	3,900.00
04035	香港金融管理局0.21%外匯基金票據2015年	HKD 1,200,000,000	2013/02/19	2015/02/18	1,199.64
05906	Tong Jie Ltd. 零息可換股債券2018年	HKD 3,900,000,000	2013/02/19	2018/02/18	3,900.00
04036	香港金融管理局1.49%外匯基金票據2028年	HKD 600,000,000	2013/02/25	2028/02/22	593.58
85915	遠東發展有限公司5.875%人民幣債券2016年	RMB 1,000,000,000	2013/03/05	2016/03/04	1,246.00
05907	恒盛地產控股有限公司13.25%優先票據2018年	USD 250,000,000	2013/03/05	2018/03/04	1,950.00
05908	CITIC Telecom International Finance Ltd. 6.1%有擔保債券2025年	USD 450,000,000	2013/03/06	2025/03/05	3,510.00
05909	Azure Orbit International Finance Ltd. 3.75%有擔保票據2023年	USD 500,000,000	2013/03/07	2023/03/06	3,887.44
04213#	中華人民共和國香港特別行政區政府0.46%債券2015年	HKD 3,500,000,000	2013/03/08	2015/05/11	3,507.35
05910	Joyous Glory Group Ltd. 5.70%有擔保票據2020年	USD 400,000,000	2013/03/08	2020/03/07	3,120.00
05911	Swire Properties MTN Financing Ltd. 2.75%有擔保票據2020年	USD 500,000,000	2013/03/08	2020/03/07	3,869.54
05905#	Petron Corporation後償不定期資本債券	USD 250,000,000	2013/03/12	-	2,032.88
05912	友邦保險控股有限公司1.75%票據2018年	USD 500,000,000	2013/03/14	2018/03/13	3,877.58
05913	友邦保險控股有限公司3.125%票據2023年	USD 500,000,000	2013/03/14	2023/03/13	3,877.11
05914	Bestgain Real Estate Ltd. 2.625%有擔保債券2018年	USD 800,000,000	2013/03/14	2018/03/13	6,202.38
04013#	香港金融管理局1.91%外匯基金票據2016年	HKD 1,200,000,000	2013/03/19	2016/03/21	1,259.52
04037	香港金融管理局0.53%外匯基金票據2018年	HKD 1,000,000,000	2013/03/19	2018/03/19	998.50
05915	Wheelock Finance Ltd. 3%有擔保票據2018年	USD 500,000,000	2013/03/20	2018/03/19	3,875.47
05907#	恒盛地產控股有限公司13.25%優先票據2018年	USD 150,000,000	2013/03/21	2018/03/04	1,170.00
總額					113,959.19

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2012	第1季	115,613.41	13,420.51	82.93	129,116.85	3.36
	第2季	92,849.35	16,848.41	66.55	109,764.32	3.59
	第3季	99,979.83	14,391.15	105.35	114,476.33	3.86
	第4季	213,637.42	22,489.26	51.26	236,177.94	6.93
2013	第1季	309,073.10	22,090.25	84.66	331,248.01	7.57

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (發行數目)	房地產投資 信託基金 (REIT) (發行數目)	其他 (發行數目)	合計 (發行數目)
		(百萬港元)	(百萬港元)	(百萬港元)	(百萬港元)
2012	第1季	89 759,673.29	9 131,556.21	2 2,391.86	100 893,621.36
	第2季	92 711,788.89	9 136,167.04	2 2,367.38	103 850,323.31
	第3季	96 808,879.98	9 158,736.84	2 2,157.57	107 969,774.39
	第4季	100 839,802.08	9 174,124.01	2 1,474.98	111 1,015,401.07
2013	第1季	100 808,762.75	9 188,509.03	2 1,445.61	111 998,717.39

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2012	第1季	24	136.80
	第2季	3	—
	第3季	4	—
	第4季	4	—
2013	第1季	—	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2013年第1季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
Nil							

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2012	第1季	1,097	55,092.12	464,351.29
	第2季	1,021	46,730.67	385,316.23
	第3季	1,104	49,099.81	367,897.88
	第4季	1,214	53,414.12	315,589.20
2013	第1季	1,276	45,190.12	355,912.23

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2012	第1季	1,419	82,599.66
	第2季	1,530	91,955.65
	第3季	1,656	94,844.71
	第4季	1,451	80,018.42
2013	第1季	1,922	100,336.26

主板 可收回牛熊證

新上市牛熊證

2013年第1季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65750	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 T	200,000,000	2013/01/02	2013/09/27	50.00
65754	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 U	200,000,000	2013/01/02	2013/04/29	50.00
65748	Credit Suisse AG - HSI R Bull CBBC Sep 2013 G	350,000,000	2013/01/02	2013/09/27	87.50
65749	Credit Suisse AG - HSI R Bull CBBC Sep 2013 H	350,000,000	2013/01/02	2013/09/27	87.50
65770	Credit Suisse AG - HSI R Bear CBBC Apr 2013 V	350,000,000	2013/01/02	2013/04/29	87.50
65756	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 13K	300,000,000	2013/01/02	2013/04/29	75.00
65761	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 13L	300,000,000	2013/01/02	2013/04/29	75.00
65778	UBS AG - A50 China R Bear CBBC Apr 2013 C	100,000,000	2013/01/02	2013/04/29	25.00
65751	UBS AG - HSI R Bull CBBC Aug 2013 R	300,000,000	2013/01/02	2013/08/29	75.00
65753	UBS AG - HSI R Bull CBBC Aug 2013 S	300,000,000	2013/01/02	2013/08/29	75.00
65773	UBS AG - HSI R Bear CBBC Apr 2013 R	300,000,000	2013/01/02	2013/04/29	75.00
65779	Credit Suisse AG - HSI R Bull CBBC Jul 2013 A	350,000,000	2013/01/03	2013/07/30	87.50
65780	Credit Suisse AG - HSI R Bear CBBC Apr 2013 W	350,000,000	2013/01/03	2013/04/29	87.50
65785	UBS AG - China Mobile R Bull CBBC Jul 2013 B	100,000,000	2013/01/03	2013/07/22	25.00
65783	UBS AG - China Mobile R Bull CBBC Aug 2013 B	100,000,000	2013/01/03	2013/08/05	25.00
65782	UBS AG - HSI R Bull CBBC Jun 2013 J	300,000,000	2013/01/03	2013/06/27	75.00
65386#	HK Bank - A50 R Bull CBBC Sep 2013 B	200,000,000	2013/01/03	2013/09/23	24.00
65792	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 R	200,000,000	2013/01/04	2013/04/29	50.00
65793	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 S	200,000,000	2013/01/04	2013/04/29	50.00
65800	Credit Suisse AG - HSI R Bull CBBC Aug 2013 W	350,000,000	2013/01/04	2013/08/29	87.50
65797	Credit Suisse AG - HSI R Bear CBBC Apr 2013 X	350,000,000	2013/01/04	2013/04/29	87.50
65798	Credit Suisse AG - HSI R Bear CBBC Apr 2013 Y	350,000,000	2013/01/04	2013/04/29	87.50
65795	HK Bank - Sinopec Corp R Bear CBBC Nov 2013 A	40,000,000	2013/01/04	2013/11/08	10.00
65796	HK Bank - Galaxy Ent R Bear CBBC Oct 2013 A	40,000,000	2013/01/04	2013/10/24	12.00
65786	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 13Y	300,000,000	2013/01/04	2013/06/27	75.00
65787	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 13M	300,000,000	2013/01/04	2013/04/29	75.00
65802	UBS AG - A50 China R Bull CBBC May 2013 B	100,000,000	2013/01/04	2013/05/20	25.00
65801	UBS AG - HSI R Bull CBBC Jun 2013 L	300,000,000	2013/01/04	2013/06/27	75.00
65799	UBS AG - HSI R Bear CBBC Apr 2013 I	300,000,000	2013/01/04	2013/04/29	75.00
65893	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 M	200,000,000	2013/01/07	2013/04/29	50.00
65895	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 Q	200,000,000	2013/01/07	2013/04/29	50.00
65894	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 T	200,000,000	2013/01/07	2013/04/29	50.00
65896	Credit Suisse AG - HSI R Bull CBBC Aug 2013 X	350,000,000	2013/01/07	2013/08/29	87.50
65826	Credit Suisse AG - HSI R Bear CBBC Apr 2013 Z	350,000,000	2013/01/07	2013/04/29	87.50
65827	Credit Suisse AG - HSI R Bear CBBC Jun 2013 H	350,000,000	2013/01/07	2013/06/27	101.50
65828	Credit Suisse AG - HSI R Bear CBBC Jun 2013 I	350,000,000	2013/01/07	2013/06/27	112.00
65829	Credit Suisse AG - HSI R Bear CBBC Jun 2013 J	350,000,000	2013/01/07	2013/06/27	122.50
65837	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 K	150,000,000	2013/01/07	2013/12/30	37.50
65838	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 L	150,000,000	2013/01/07	2013/12/30	37.50
65851	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 M	150,000,000	2013/01/07	2013/12/30	37.50
65853	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 N	150,000,000	2013/01/07	2013/12/30	37.50
65854	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 O	150,000,000	2013/01/07	2013/12/30	37.50
65857	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 P	150,000,000	2013/01/07	2013/12/30	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65861	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Q	150,000,000	2013/01/07	2013/12/30	37.50
65863	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 R	150,000,000	2013/01/07	2013/12/30	37.50
65888	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 S	150,000,000	2013/01/07	2013/12/30	37.50
65891	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 T	150,000,000	2013/01/07	2013/12/30	37.50
65865	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 I	150,000,000	2013/01/07	2013/06/27	37.50
65868	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 J	150,000,000	2013/01/07	2013/06/27	37.50
65871	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 K	150,000,000	2013/01/07	2013/06/27	37.50
65874	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 L	150,000,000	2013/01/07	2013/06/27	37.50
65875	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 M	150,000,000	2013/01/07	2013/06/27	37.50
65879	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 N	150,000,000	2013/01/07	2013/06/27	37.50
65880	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 O	150,000,000	2013/01/07	2013/06/27	37.50
65885	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 P	150,000,000	2013/01/07	2013/06/27	37.50
65892	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 Q	150,000,000	2013/01/07	2013/06/27	37.50
65900	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 A	100,000,000	2013/01/07	2013/04/29	25.10
65901	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 B	100,000,000	2013/01/07	2013/04/29	25.00
65902	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 C	100,000,000	2013/01/07	2013/04/29	25.00
65813	HK Bank - CC Bank R Bull CBBC Sep 2013 C	80,000,000	2013/01/07	2013/09/30	20.00
65825	HK Bank - China Life R Bull CBBC Jul 2013 C	60,000,000	2013/01/07	2013/07/22	15.00
65814	HK Bank - CNBM R Bull CBBC Sep 2013 A	50,000,000	2013/01/07	2013/09/30	12.50
65804	HK Bank - A50 R Bull CBBC Aug 2013 D	100,000,000	2013/01/07	2013/08/05	25.00
65805	HK Bank - A50 R Bear CBBC Sep 2013 B	40,000,000	2013/01/07	2013/09/16	10.00
65811	HK Bank - ICBC R Bull CBBC Sep 2013 B	80,000,000	2013/01/07	2013/09/30	20.00
65897	UBS AG - HSI R Bull CBBC May 2013 W	300,000,000	2013/01/07	2013/05/30	75.00
65898	UBS AG - HSI R Bull CBBC May 2013 X	300,000,000	2013/01/07	2013/05/30	75.00
65833	UBS AG - HSI R Bear CBBC Jun 2013 K	300,000,000	2013/01/07	2013/06/27	75.00
65918	Credit Suisse AG - HSI R Bull CBBC Sep 2013 I	350,000,000	2013/01/08	2013/09/27	87.50
65921	Credit Suisse AG - HSI R Bull CBBC Sep 2013 J	350,000,000	2013/01/08	2013/09/27	87.50
65904	Credit Suisse AG - HSI R Bear CBBC May 2013 K	350,000,000	2013/01/08	2013/05/30	87.50
65919	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 Z	300,000,000	2013/01/08	2013/06/27	75.00
65922	UBS AG - China Life R Bull CBBC Jul 2013 A	100,000,000	2013/01/08	2013/07/08	25.00
65929	UBS AG - China Life R Bear CBBC Jun 2013 A	100,000,000	2013/01/08	2013/06/17	36.00
65920	UBS AG - HSI R Bear CBBC Apr 2013 P	300,000,000	2013/01/08	2013/04/29	75.00
64054 [#]	HK Bank - HKEx R Bull CBBC Sep 2013 A	80,000,000	2013/01/08	2013/09/23	22.80
65941	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 C	200,000,000	2013/01/09	2013/12/30	50.00
65940	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Dec 2013 D	200,000,000	2013/01/09	2013/12/30	50.00
65987	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 J	200,000,000	2013/01/09	2013/09/27	50.00
65991	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 K	200,000,000	2013/01/09	2013/09/27	50.00
65986	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 U	200,000,000	2013/01/09	2013/09/27	50.00
65992	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 Z	200,000,000	2013/01/09	2013/09/27	50.00
66078	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 A	200,000,000	2013/01/09	2013/12/30	50.00
66079	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 B	200,000,000	2013/01/09	2013/12/30	50.00
66082	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 C	200,000,000	2013/01/09	2013/12/30	50.00
66017	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 F	200,000,000	2013/01/09	2013/04/29	50.00
65943	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 H	200,000,000	2013/01/09	2013/04/29	50.00
66068	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 L	200,000,000	2013/01/09	2013/04/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65998	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 A	200,000,000	2013/01/09	2013/05/30	50.00
66013	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 B	200,000,000	2013/01/09	2013/05/30	50.00
66014	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 C	200,000,000	2013/01/09	2013/05/30	50.00
66083	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 D	200,000,000	2013/01/09	2013/05/30	50.00
66086	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 E	200,000,000	2013/01/09	2013/05/30	50.00
66087	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 F	200,000,000	2013/01/09	2013/05/30	50.00
66095	Credit Suisse AG - HSI R Bull CBBC Jul 2013 N	350,000,000	2013/01/09	2013/07/30	87.50
66099	Credit Suisse AG - HSI R Bull CBBC Jul 2013 O	350,000,000	2013/01/09	2013/07/30	87.50
66107	Credit Suisse AG - HSI R Bull CBBC Aug 2013 A	350,000,000	2013/01/09	2013/08/29	87.50
66088	Credit Suisse AG - HSI R Bull CBBC Aug 2013 Y	350,000,000	2013/01/09	2013/08/29	87.50
66097	Credit Suisse AG - HSI R Bull CBBC Aug 2013 Z	350,000,000	2013/01/09	2013/08/29	87.50
66090	Credit Suisse AG - HSI R Bull CBBC Sep 2013 K	350,000,000	2013/01/09	2013/09/27	87.50
65970	Credit Suisse AG - HSI R Bear CBBC Apr 2013 A	350,000,000	2013/01/09	2013/04/29	87.50
65971	Credit Suisse AG - HSI R Bear CBBC Apr 2013 B	350,000,000	2013/01/09	2013/04/29	87.50
65968	Credit Suisse AG - HSI R Bear CBBC Apr 2013 C	350,000,000	2013/01/09	2013/04/29	87.50
65969	Credit Suisse AG - HSI R Bear CBBC Apr 2013 D	350,000,000	2013/01/09	2013/04/29	87.50
66076	Credit Suisse AG - HSI R Bear CBBC Apr 2013 E	350,000,000	2013/01/09	2013/04/29	87.50
66063	Credit Suisse AG - HSI R Bear CBBC Apr 2013 J	350,000,000	2013/01/09	2013/04/29	87.50
66123	Credit Suisse AG - HSI R Bear CBBC Apr 2013 K	350,000,000	2013/01/09	2013/04/29	87.50
66124	Credit Suisse AG - HSI R Bear CBBC Apr 2013 L	350,000,000	2013/01/09	2013/04/29	87.50
66112	Credit Suisse AG - HSI R Bear CBBC May 2013 L	350,000,000	2013/01/09	2013/05/30	87.50
66115	Credit Suisse AG - HSI R Bear CBBC May 2013 M	350,000,000	2013/01/09	2013/05/30	87.50
66120	Credit Suisse AG - HSI R Bear CBBC May 2013 N	350,000,000	2013/01/09	2013/05/30	87.50
66121	Credit Suisse AG - HSI R Bear CBBC May 2013 O	350,000,000	2013/01/09	2013/05/30	122.50
66122	Credit Suisse AG - HSI R Bear CBBC May 2013 P	350,000,000	2013/01/09	2013/05/30	136.50
66108	Credit Suisse AG - HSI R Bear CBBC Jun 2013 K	350,000,000	2013/01/09	2013/06/27	108.50
66109	Credit Suisse AG - HSI R Bear CBBC Jun 2013 L	350,000,000	2013/01/09	2013/06/27	143.50
66111	Credit Suisse AG - HSI R Bear CBBC Jul 2013 N	350,000,000	2013/01/09	2013/07/30	129.50
66288	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 I	150,000,000	2013/01/09	2013/12/30	37.50
66053	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 U	150,000,000	2013/01/09	2013/12/30	37.50
66054	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 V	150,000,000	2013/01/09	2013/12/30	37.50
66055	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 W	150,000,000	2013/01/09	2013/12/30	37.50
66056	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 X	150,000,000	2013/01/09	2013/12/30	37.50
66285	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Y	150,000,000	2013/01/09	2013/12/30	37.50
66287	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Z	150,000,000	2013/01/09	2013/12/30	37.50
65950	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 R	150,000,000	2013/01/09	2013/06/27	37.50
65951	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 S	150,000,000	2013/01/09	2013/06/27	37.50
65956	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 T	150,000,000	2013/01/09	2013/06/27	37.50
66057	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 U	150,000,000	2013/01/09	2013/06/27	37.50
66058	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 V	150,000,000	2013/01/09	2013/06/27	37.50
66060	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 W	150,000,000	2013/01/09	2013/06/27	37.50
66071	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 X	150,000,000	2013/01/09	2013/06/27	37.50
66077	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 Y	150,000,000	2013/01/09	2013/06/27	37.50
66340	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 D	100,000,000	2013/01/09	2013/04/29	25.20
66346	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 E	100,000,000	2013/01/09	2013/04/29	25.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66349	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 F	100,000,000	2013/01/09	2013/04/29	25.20
66350	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 G	100,000,000	2013/01/09	2013/04/29	25.00
66352	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 H	100,000,000	2013/01/09	2013/04/29	25.10
66356	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 A	100,000,000	2013/01/09	2013/04/29	25.30
66357	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 B	100,000,000	2013/01/09	2013/04/29	25.30
66358	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 C	100,000,000	2013/01/09	2013/04/29	25.10
66374	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 D	100,000,000	2013/01/09	2013/04/29	25.20
66376	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 E	100,000,000	2013/01/09	2013/04/29	25.20
66415	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 R	300,000,000	2013/01/09	2013/06/27	75.00
66417	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 S	300,000,000	2013/01/09	2013/06/27	75.00
66419	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 T	300,000,000	2013/01/09	2013/06/27	75.00
66416	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 D	300,000,000	2013/01/09	2013/07/30	75.00
66418	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 E	300,000,000	2013/01/09	2013/07/30	75.00
66421	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 F	300,000,000	2013/01/09	2013/07/30	75.00
66379	J P Morgan SP BV - HSI R Bear CBBC May 2013 W	300,000,000	2013/01/09	2013/05/30	75.00
66381	J P Morgan SP BV - HSI R Bear CBBC May 2013 X	300,000,000	2013/01/09	2013/05/30	75.00
66414	J P Morgan SP BV - HSI R Bear CBBC May 2013 Y	300,000,000	2013/01/09	2013/05/30	75.00
66377	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 G	300,000,000	2013/01/09	2013/06/27	75.00
66380	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 H	300,000,000	2013/01/09	2013/06/27	75.00
66385	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 I	300,000,000	2013/01/09	2013/06/27	75.00
66251	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 P	60,000,000	2013/01/09	2013/06/27	15.00
66252	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 Q	60,000,000	2013/01/09	2013/06/27	15.00
66253	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 R	60,000,000	2013/01/09	2013/06/27	15.00
66264	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 S	60,000,000	2013/01/09	2013/06/27	15.00
66265	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 T	60,000,000	2013/01/09	2013/06/27	15.00
66279	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 U	60,000,000	2013/01/09	2013/06/27	15.00
66355	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 N	60,000,000	2013/01/09	2013/06/27	15.00
66235	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 V	60,000,000	2013/01/09	2013/06/27	15.00
66236	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 W	60,000,000	2013/01/09	2013/06/27	15.00
66237	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 X	60,000,000	2013/01/09	2013/06/27	15.00
66239	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 Y	60,000,000	2013/01/09	2013/06/27	15.00
66249	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 Z	60,000,000	2013/01/09	2013/06/27	15.00
66019	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 I	300,000,000	2013/01/09	2013/06/27	75.00
66024	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 K	300,000,000	2013/01/09	2013/06/27	75.00
66333	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 U	300,000,000	2013/01/09	2013/06/27	75.00
66018	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 G	300,000,000	2013/01/09	2013/07/30	75.00
66020	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 H	300,000,000	2013/01/09	2013/07/30	75.00
66331	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 I	300,000,000	2013/01/09	2013/07/30	75.00
65934	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 N	300,000,000	2013/01/09	2013/04/29	75.00
65939	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 O	300,000,000	2013/01/09	2013/04/29	75.00
66025	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 P	300,000,000	2013/01/09	2013/04/29	75.00
66027	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 Q	300,000,000	2013/01/09	2013/04/29	75.00
66031	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 R	300,000,000	2013/01/09	2013/04/29	75.00
66051	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 S	300,000,000	2013/01/09	2013/04/29	75.00
66335	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 T	300,000,000	2013/01/09	2013/04/29	75.00

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66337	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 U	300,000,000	2013/01/09	2013/04/29	75.00
66339	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 V	300,000,000	2013/01/09	2013/04/29	75.00
66026	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 F	300,000,000	2013/01/09	2013/05/30	75.00
66028	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 G	300,000,000	2013/01/09	2013/05/30	75.00
66049	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 H	300,000,000	2013/01/09	2013/05/30	75.00
66052	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 I	300,000,000	2013/01/09	2013/05/30	75.00
66334	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 J	300,000,000	2013/01/09	2013/05/30	75.00
66336	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 K	300,000,000	2013/01/09	2013/05/30	75.00
66338	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 L	300,000,000	2013/01/09	2013/05/30	75.00
66216	UBS AG - Anhui Conch R Bull CBBC Aug 2013 A	100,000,000	2013/01/09	2013/08/12	51.00
66212	UBS AG - ABC R Bull CBBC Sep 2013 A	100,000,000	2013/01/09	2013/09/16	25.00
66208	UBS AG - AIA R Bull CBBC Jun 2013 A	100,000,000	2013/01/09	2013/06/17	25.00
66142	UBS AG - BOCL R Bull CBBC Sep 2013 A	100,000,000	2013/01/09	2013/09/02	25.00
66214	UBS AG - China Mobile R Bear CBBC Jul 2013 A	100,000,000	2013/01/09	2013/07/22	25.00
66222	UBS AG - COVS R Bull CBBC Aug 2013 A	100,000,000	2013/01/09	2013/08/05	25.00
66220	UBS AG - China Tel R Bull CBBC Aug 2013 A	100,000,000	2013/01/09	2013/08/05	25.00
66219	UBS AG - CUni R Bull CBBC Jul 2013 A	100,000,000	2013/01/09	2013/07/08	25.00
66215	UBS AG - CC Bank R Bull CBBC Jul 2013 E	100,000,000	2013/01/09	2013/07/22	25.00
66191	UBS AG - China Life R Bull CBBC Jul 2013 B	100,000,000	2013/01/09	2013/07/15	25.00
66187	UBS AG - China Life R Bear CBBC Sep 2013 A	100,000,000	2013/01/09	2013/09/23	42.50
66151	UBS AG - CNBM R Bull CBBC Jul 2013 B	50,000,000	2013/01/09	2013/07/15	12.50
66218	UBS AG - CNOOC R Bear CBBC Jul 2013 A	100,000,000	2013/01/09	2013/07/22	36.50
66228	UBS AG - Sinopec Corp R Bear CBBC Jul 2013 A	100,000,000	2013/01/09	2013/07/29	25.00
66195	UBS AG - CPIC R Bull CBBC Jul 2013 A	50,000,000	2013/01/09	2013/07/29	24.00
66213	UBS AG - China Shenhua R Bull CBBC Jul 2013 B	100,000,000	2013/01/09	2013/07/15	38.50
66185	UBS AG - A50 China R Bull CBBC Jun 2013 B	100,000,000	2013/01/09	2013/06/03	25.00
66159	UBS AG - A50 China R Bear CBBC Aug 2013 A	100,000,000	2013/01/09	2013/08/26	25.00
66229	UBS AG - Galaxy Ent R Bull CBBC Jun 2013 A	50,000,000	2013/01/09	2013/06/10	17.75
66226	UBS AG - HKEx R Bull CBBC Jun 2013 B	100,000,000	2013/01/09	2013/06/10	25.00
66225	UBS AG - HKEx R Bear CBBC Jul 2013 A	100,000,000	2013/01/09	2013/07/17	29.00
66233	UBS AG - HSBC R Bull CBBC Jun 2013 B	100,000,000	2013/01/09	2013/06/03	25.00
66137	UBS AG - HSCEI R Bull CBBC Jul 2013 D	100,000,000	2013/01/09	2013/07/30	25.00
66130	UBS AG - HSCEI R Bear CBBC May 2013 A	100,000,000	2013/01/09	2013/05/30	25.00
66300	UBS AG - HSI R Bull CBBC May 2013 Y	300,000,000	2013/01/09	2013/05/30	75.00
66304	UBS AG - HSI R Bull CBBC May 2013 Z	300,000,000	2013/01/09	2013/05/30	75.00
66308	UBS AG - HSI R Bull CBBC Jun 2013 G	300,000,000	2013/01/09	2013/06/27	75.00
66297	UBS AG - HSI R Bull CBBC Jun 2013 V	300,000,000	2013/01/09	2013/06/27	75.00
66301	UBS AG - HSI R Bull CBBC Jun 2013 X	300,000,000	2013/01/09	2013/06/27	75.00
66294	UBS AG - HSI R Bull CBBC Sep 2013 J	300,000,000	2013/01/09	2013/09/27	75.00
66295	UBS AG - HSI R Bull CBBC Oct 2013 B	300,000,000	2013/01/09	2013/10/30	75.00
66126	UBS AG - HSI R Bear CBBC Apr 2013 G	300,000,000	2013/01/09	2013/04/29	75.00
65974	UBS AG - HSI R Bear CBBC Apr 2013 U	300,000,000	2013/01/09	2013/04/29	75.00
65973	UBS AG - HSI R Bear CBBC May 2013 E	300,000,000	2013/01/09	2013/05/30	75.00
65985	UBS AG - HSI R Bear CBBC Jun 2013 L	300,000,000	2013/01/09	2013/06/27	75.00
66325	UBS AG - HSI R Bear CBBC Aug 2013 F	300,000,000	2013/01/09	2013/08/29	75.00

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66327	UBS AG - HSI R Bear CBBC Aug 2013 G	300,000,000	2013/01/09	2013/08/29	75.00
66320	UBS AG - HSI R Bear CBBC Sep 2013 D	300,000,000	2013/01/09	2013/09/27	81.00
66326	UBS AG - HSI R Bear CBBC Sep 2013 E	300,000,000	2013/01/09	2013/09/27	75.00
66328	UBS AG - HSI R Bear CBBC Oct 2013 D	300,000,000	2013/01/09	2013/10/30	102.00
66317	UBS AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/01/09	2013/11/28	75.00
66231	UBS AG - Hutchison R Bear CBBC Apr 2013 A	100,000,000	2013/01/09	2013/04/22	25.00
66207	UBS AG - ICBC R Bear CBBC Aug 2013 A	100,000,000	2013/01/09	2013/08/19	25.00
66224	UBS AG - Li & Fung R Bull CBBC Jun 2013 A	50,000,000	2013/01/09	2013/06/14	12.50
66138	UBS AG - Lenovo R Bull CBBC Aug 2013 B	50,000,000	2013/01/09	2013/08/26	12.50
66196	UBS AG - Ping An R Bull CBBC Aug 2013 C	100,000,000	2013/01/09	2013/08/26	25.00
66205	UBS AG - Sands China R Bull CBBC Aug 2013 A	50,000,000	2013/01/09	2013/08/12	18.50
66230	UBS AG - SHK Ppt R Bear CBBC Jun 2013 A	100,000,000	2013/01/09	2013/06/24	26.50
66221	UBS AG - TCH R Bull CBBC Jun 2013 E	100,000,000	2013/01/09	2013/06/17	25.00
66601	BOCI Asia Ltd. - HSI R Bull CBBC Aug 2013 A	100,000,000	2013/01/10	2013/08/29	25.00
66605	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2013 A	100,000,000	2013/01/10	2013/09/27	25.00
66753	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Dec 2013 E	200,000,000	2013/01/10	2013/12/30	50.00
66749	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 E	200,000,000	2013/01/10	2013/04/29	50.00
66750	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 G	200,000,000	2013/01/10	2013/05/30	50.00
66751	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 H	200,000,000	2013/01/10	2013/05/30	50.00
66607	Credit Suisse AG - HSI R Bull CBBC Sep 2013 L	350,000,000	2013/01/10	2013/09/27	87.50
66610	Credit Suisse AG - HSI R Bull CBBC Sep 2013 M	350,000,000	2013/01/10	2013/09/27	87.50
66613	Credit Suisse AG - HSI R Bull CBBC Sep 2013 N	350,000,000	2013/01/10	2013/09/27	87.50
66614	Credit Suisse AG - HSI R Bull CBBC Sep 2013 O	350,000,000	2013/01/10	2013/09/27	87.50
66616	Credit Suisse AG - HSI R Bull CBBC Sep 2013 P	350,000,000	2013/01/10	2013/09/27	87.50
66636	Credit Suisse AG - HSI R Bull CBBC Oct 2013 A	350,000,000	2013/01/10	2013/10/30	87.50
66480	Credit Suisse AG - HSI R Bear CBBC Apr 2013 F	350,000,000	2013/01/10	2013/04/29	87.50
66453	Credit Suisse AG - HSI R Bear CBBC Apr 2013 M	350,000,000	2013/01/10	2013/04/29	87.50
66479	Credit Suisse AG - HSI R Bear CBBC Apr 2013 N	350,000,000	2013/01/10	2013/04/29	87.50
66535	Credit Suisse AG - HSI R Bear CBBC May 2013 Q	350,000,000	2013/01/10	2013/05/30	87.50
66537	Credit Suisse AG - HSI R Bear CBBC May 2013 R	350,000,000	2013/01/10	2013/05/30	87.50
66539	Credit Suisse AG - HSI R Bear CBBC May 2013 S	350,000,000	2013/01/10	2013/05/30	87.50
66542	Credit Suisse AG - HSI R Bear CBBC May 2013 T	350,000,000	2013/01/10	2013/05/30	94.50
66606	Credit Suisse AG - HSI R Bear CBBC May 2013 U	350,000,000	2013/01/10	2013/05/30	87.50
66487	Credit Suisse AG - HSI R Bear CBBC Jun 2013 M	350,000,000	2013/01/10	2013/06/27	122.50
66518	Credit Suisse AG - HSI R Bear CBBC Jun 2013 N	350,000,000	2013/01/10	2013/06/27	105.00
66740	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 J	150,000,000	2013/01/10	2013/12/30	37.50
66746	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 H	150,000,000	2013/01/10	2013/06/27	37.50
66743	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jun 2013 Z	150,000,000	2013/01/10	2013/06/27	37.50
66779	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 A	150,000,000	2013/01/10	2013/07/30	37.50
66450	HK Bank - China Life R Bull CBBC Sep 2013 A	60,000,000	2013/01/10	2013/09/16	19.20
66444	HK Bank - A50 R Bull CBBC Sep 2013 D	100,000,000	2013/01/10	2013/09/30	25.00
66452	HK Bank - Galaxy Ent R Bull CBBC Aug 2013 B	40,000,000	2013/01/10	2013/08/19	12.40
66449	HK Bank - HKEx R Bull CBBC Nov 2013 A	60,000,000	2013/01/10	2013/11/25	15.00
66422	HK Bank - HSI R Bull CBBC Oct 2013 B	100,000,000	2013/01/10	2013/10/30	25.00
66432	HK Bank - HSI R Bull CBBC Dec 2013 A	400,000,000	2013/01/10	2013/12/30	100.00

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66545	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 V	300,000,000	2013/01/10	2013/06/27	75.00
66780	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 X	300,000,000	2013/01/10	2013/06/27	75.00
66548	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 W	300,000,000	2013/01/10	2013/04/29	75.00
66560	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 X	300,000,000	2013/01/10	2013/04/29	76.50
66781	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 Y	300,000,000	2013/01/10	2013/04/29	75.00
66555	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 M	300,000,000	2013/01/10	2013/05/30	81.00
66581	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 N	300,000,000	2013/01/10	2013/05/30	90.00
66583	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 C	300,000,000	2013/01/10	2013/06/27	102.00
66586	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 D	300,000,000	2013/01/10	2013/06/27	109.50
66589	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 E	300,000,000	2013/01/10	2013/06/27	118.50
66590	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 F	300,000,000	2013/01/10	2013/06/27	126.00
66732	UBS AG - HSCEI R Bull CBBC Jun 2013 E	100,000,000	2013/01/10	2013/06/27	25.00
66642	UBS AG - HSI R Bull CBBC Jul 2013 D	300,000,000	2013/01/10	2013/07/30	75.00
66729	UBS AG - HSI R Bull CBBC Jul 2013 G	300,000,000	2013/01/10	2013/07/30	75.00
66730	UBS AG - HSI R Bull CBBC Jul 2013 I	300,000,000	2013/01/10	2013/07/30	75.00
66726	UBS AG - HSI R Bull CBBC Aug 2013 T	300,000,000	2013/01/10	2013/08/29	75.00
66728	UBS AG - HSI R Bull CBBC Aug 2013 U	300,000,000	2013/01/10	2013/08/29	75.00
66698	UBS AG - HSI R Bear CBBC Apr 2013 N	300,000,000	2013/01/10	2013/04/29	75.00
66683	UBS AG - HSI R Bear CBBC May 2013 B	300,000,000	2013/01/10	2013/05/30	75.00
66599	UBS AG - HSI R Bear CBBC May 2013 F	300,000,000	2013/01/10	2013/05/30	75.00
66679	UBS AG - HSI R Bear CBBC Jun 2013 M	300,000,000	2013/01/10	2013/06/27	75.00
66680	UBS AG - HSI R Bear CBBC Jun 2013 N	300,000,000	2013/01/10	2013/06/27	75.00
66721	UBS AG - HSI R Bear CBBC Jun 2013 O	300,000,000	2013/01/10	2013/06/27	75.00
66722	UBS AG - HSI R Bear CBBC Jun 2013 P	300,000,000	2013/01/10	2013/06/27	75.00
66723	UBS AG - HSI R Bear CBBC Jun 2013 Q	300,000,000	2013/01/10	2013/06/27	75.00
66667	UBS AG - HSI R Bear CBBC Jul 2013 H	300,000,000	2013/01/10	2013/07/30	75.00
66699	UBS AG - HSI R Bear CBBC Jul 2013 I	300,000,000	2013/01/10	2013/07/30	87.00
66715	UBS AG - HSI R Bear CBBC Jul 2013 J	300,000,000	2013/01/10	2013/07/30	78.00
66711	UBS AG - HSI R Bear CBBC Aug 2013 H	300,000,000	2013/01/10	2013/08/29	84.00
65328 [#]	HK Bank - China Mobile R Bull CBBC Jun 2013 B	200,000,000	2013/01/10	2013/06/24	15.60
67006	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 K	200,000,000	2013/01/11	2013/04/29	50.00
66885	Credit Suisse AG - A50 China R Bull CBBC Jun 2013 A	50,000,000	2013/01/11	2013/06/28	12.50
66886	Credit Suisse AG - A50 China R Bull CBBC Jun 2013 B	50,000,000	2013/01/11	2013/06/28	12.50
66887	Credit Suisse AG - A50 China R Bull CBBC Jun 2013 C	50,000,000	2013/01/11	2013/06/28	12.50
66890	Credit Suisse AG - A50 China R Bear CBBC Jun 2013 A	50,000,000	2013/01/11	2013/06/28	12.50
66891	Credit Suisse AG - A50 China R Bear CBBC Jun 2013 B	50,000,000	2013/01/11	2013/06/28	12.50
66892	Credit Suisse AG - HSI R Bull CBBC Jul 2013 B	350,000,000	2013/01/11	2013/07/30	87.50
66893	Credit Suisse AG - HSI R Bull CBBC Jul 2013 X	350,000,000	2013/01/11	2013/07/30	87.50
66900	Credit Suisse AG - HSI R Bull CBBC Jul 2013 Y	350,000,000	2013/01/11	2013/07/30	87.50
66895	Credit Suisse AG - HSI R Bull CBBC Jul 2013 Z	350,000,000	2013/01/11	2013/07/30	87.50
66989	Credit Suisse AG - HSI R Bear CBBC May 2013 V	350,000,000	2013/01/11	2013/05/30	87.50
66992	Credit Suisse AG - HSI R Bear CBBC May 2013 W	350,000,000	2013/01/11	2013/05/30	87.50
67000	Credit Suisse AG - HSI R Bear CBBC May 2013 X	350,000,000	2013/01/11	2013/05/30	87.50
67011	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 A	150,000,000	2013/01/11	2013/11/28	37.50
67012	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 B	150,000,000	2013/01/11	2013/11/28	37.50

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67009	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 B	150,000,000	2013/01/11	2013/07/30	37.50
67010	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 C	150,000,000	2013/01/11	2013/07/30	37.50
67004	HK Bank - China Mobile R Bull CBBC Jul 2013 B	80,000,000	2013/01/11	2013/07/15	20.00
66844	HK Bank - China Life R Bear CBBC Sep 2013 B	50,000,000	2013/01/11	2013/09/30	16.00
66815	HK Bank - HSI R Bull CBBC Nov 2013 D	100,000,000	2013/01/11	2013/11/28	25.00
66838	HK Bank - HSI R Bear CBBC Oct 2013 D	100,000,000	2013/01/11	2013/10/30	25.00
66830	HK Bank - HSI R Bear CBBC Nov 2013 F	100,000,000	2013/01/11	2013/11/28	25.00
66843	HK Bank - HSI R Bear CBBC Dec 2013 B	400,000,000	2013/01/11	2013/12/30	100.00
67005	HK Bank - HSI R Bear CBBC Mar 2014 A	400,000,000	2013/01/11	2014/03/28	100.00
66884	HK Bank - TCH R Bull CBBC Oct 2013 A	50,000,000	2013/01/11	2013/10/21	12.50
66804	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 V	60,000,000	2013/01/11	2013/06/27	15.00
66806	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 W	60,000,000	2013/01/11	2013/06/27	15.00
66813	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 X	60,000,000	2013/01/11	2013/06/27	15.00
66814	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 Y	60,000,000	2013/01/11	2013/06/27	15.00
66797	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 J	60,000,000	2013/01/11	2013/06/27	15.00
66799	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 K	60,000,000	2013/01/11	2013/06/27	15.00
66802	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 L	60,000,000	2013/01/11	2013/06/27	15.00
66782	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 O	60,000,000	2013/01/11	2013/06/27	15.00
66948	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 J	300,000,000	2013/01/11	2013/07/30	75.00
66950	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 O	300,000,000	2013/01/11	2013/05/30	94.50
66961	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 P	300,000,000	2013/01/11	2013/05/30	102.00
66977	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 Q	300,000,000	2013/01/11	2013/05/30	109.50
66981	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 G	300,000,000	2013/01/11	2013/06/27	138.00
66983	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 H	300,000,000	2013/01/11	2013/06/27	156.00
66987	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 I	300,000,000	2013/01/11	2013/06/27	171.00
66913	UBS AG - HSI R Bull CBBC May 2013 D	300,000,000	2013/01/11	2013/05/30	75.00
66935	UBS AG - HSI R Bull CBBC Aug 2013 V	300,000,000	2013/01/11	2013/08/29	75.00
66941	UBS AG - HSI R Bull CBBC Aug 2013 W	300,000,000	2013/01/11	2013/08/29	75.00
66910	UBS AG - HSI R Bear CBBC Jun 2013 R	300,000,000	2013/01/11	2013/06/27	75.00
67007	UBS AG - HSI R Bear CBBC Jun 2013 S	300,000,000	2013/01/11	2013/06/27	75.00
66907	UBS AG - HSI R Bear CBBC Jul 2013 K	300,000,000	2013/01/11	2013/07/30	75.00
66911	UBS AG - HSI R Bear CBBC Jul 2013 L	300,000,000	2013/01/11	2013/07/30	75.00
66908	UBS AG - HSI R Bear CBBC Aug 2013 I	300,000,000	2013/01/11	2013/08/29	75.00
66904	UBS AG - HSI R Bear CBBC Sep 2013 F	300,000,000	2013/01/11	2013/09/27	75.00
67073	Credit Suisse AG - HSI R Bull CBBC Aug 2013 C	350,000,000	2013/01/14	2013/08/29	87.50
67071	Credit Suisse AG - HSI R Bull CBBC Aug 2013 D	350,000,000	2013/01/14	2013/08/29	87.50
67029	Credit Suisse AG - HSI R Bear CBBC Jun 2013 O	350,000,000	2013/01/14	2013/06/27	87.50
67189	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 C	150,000,000	2013/01/14	2013/11/28	37.50
67193	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 D	150,000,000	2013/01/14	2013/07/30	37.50
67157	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 I	150,000,000	2013/01/14	2013/04/29	37.95
67171	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 J	150,000,000	2013/01/14	2013/04/29	37.95
67180	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 K	150,000,000	2013/01/14	2013/04/29	38.55
67027	HK Bank - ABC R Bull CBBC Nov 2013 C	60,000,000	2013/01/14	2013/11/04	15.00
67028	HK Bank - CUni R Bull CBBC Oct 2013 A	40,000,000	2013/01/14	2013/10/28	10.00
67022	HK Bank - HSI R Bull CBBC Aug 2013 G	100,000,000	2013/01/14	2013/08/29	25.00

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67023	HK Bank - HSI R Bear CBBC Sep 2013 F	100,000,000	2013/01/14	2013/09/27	25.00
67025	HK Bank - HSI R Bear CBBC Oct 2013 E	100,000,000	2013/01/14	2013/10/30	25.00
67024	HK Bank - HSI R Bear CBBC Feb 2014 A	400,000,000	2013/01/14	2014/02/27	100.00
67026	HK Bank - Hutchison R Bull CBBC Oct 2013 A	60,000,000	2013/01/14	2013/10/07	15.00
67066	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 K	300,000,000	2013/01/14	2013/07/30	75.00
67085	UBS AG - CC Bank R Bear CBBC Aug 2013 A	100,000,000	2013/01/14	2013/08/12	25.00
67149	UBS AG - Cheung Kong R Bull CBBC Oct 2013 A	100,000,000	2013/01/14	2013/10/21	25.00
67080	UBS AG - CNBM R Bull CBBC Sep 2013 A	50,000,000	2013/01/14	2013/09/16	12.50
67094	UBS AG - HKEx R Bull CBBC Sep 2013 A	100,000,000	2013/01/14	2013/09/23	25.00
67092	UBS AG - HKEx R Bear CBBC Jul 2013 B	100,000,000	2013/01/14	2013/07/29	30.50
67074	UBS AG - HSI R Bull CBBC Oct 2013 C	300,000,000	2013/01/14	2013/10/30	75.00
67031	UBS AG - HSI R Bear CBBC Apr 2013 Q	300,000,000	2013/01/14	2013/04/29	75.00
67096	UBS AG - Hutchison R Bull CBBC Jul 2013 C	100,000,000	2013/01/14	2013/07/22	25.00
67226	Credit Suisse AG - HSI R Bull CBBC Oct 2013 B	350,000,000	2013/01/15	2013/10/30	87.50
67241	Credit Suisse AG - HSI R Bear CBBC Apr 2013 S	350,000,000	2013/01/15	2013/04/29	87.50
67242	Credit Suisse AG - HSI R Bear CBBC Apr 2013 T	350,000,000	2013/01/15	2013/04/29	87.50
67229	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 D	150,000,000	2013/01/15	2013/11/28	37.50
67251	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 E	150,000,000	2013/01/15	2013/07/30	37.50
67223	HK Bank - COVS R Bull CBBC Dec 2013 A	40,000,000	2013/01/15	2013/12/23	11.20
67211	HK Bank - Cheung Kong R Bear CBBC Aug 2013 B	50,000,000	2013/01/15	2013/08/19	12.50
67203	HK Bank - A50 R Bull CBBC Jun 2013 D	100,000,000	2013/01/15	2013/06/17	25.00
67207	HK Bank - A50 R Bear CBBC Aug 2013 C	50,000,000	2013/01/15	2013/08/12	12.50
67205	HK Bank - A50 R Bear CBBC Oct 2013 B	50,000,000	2013/01/15	2013/10/21	14.00
67221	HK Bank - Galaxy Ent R Bear CBBC Nov 2013 A	40,000,000	2013/01/15	2013/11/25	14.80
67201	HK Bank - HSI R Bear CBBC Oct 2013 F	100,000,000	2013/01/15	2013/10/30	25.00
67220	HK Bank - SHK Ppt R Bull CBBC Oct 2013 A	60,000,000	2013/01/15	2013/10/28	15.00
67250	Standard Chartered Bank - HSI R Bull CBBC Jun 2013 A	50,000,000	2013/01/15	2013/06/27	19.00
67230	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 L	200,000,000	2013/01/15	2013/07/30	50.00
67238	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 Z	200,000,000	2013/01/15	2013/04/29	50.00
67256	UBS AG - A50 China R Bear CBBC Sep 2013 A	100,000,000	2013/01/15	2013/09/16	25.50
67227	UBS AG - HSI R Bull CBBC Oct 2013 D	300,000,000	2013/01/15	2013/10/30	75.00
67252	UBS AG - HSI R Bear CBBC Apr 2013 W	300,000,000	2013/01/15	2013/04/29	75.00
67253	UBS AG - HSI R Bear CBBC May 2013 G	300,000,000	2013/01/15	2013/05/30	75.00
67362	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 D	200,000,000	2013/01/16	2013/12/30	50.00
67332	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 D	200,000,000	2013/01/16	2013/04/29	50.00
67360	Credit Suisse AG - HSI R Bull CBBC Aug 2013 E	350,000,000	2013/01/16	2013/08/29	87.50
67337	Credit Suisse AG - HSI R Bear CBBC Apr 2013 U	350,000,000	2013/01/16	2013/04/29	87.50
67357	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 E	150,000,000	2013/01/16	2013/11/28	37.50
67356	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 F	150,000,000	2013/01/16	2013/07/30	37.50
67257	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 F	150,000,000	2013/01/16	2013/04/29	38.40
67261	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 G	150,000,000	2013/01/16	2013/04/29	38.55
67328	HK Bank - China Life R Bear CBBC Nov 2013 A	50,000,000	2013/01/16	2013/11/25	12.50
67326	HK Bank - HKEx R Bull CBBC Oct 2013 B	60,000,000	2013/01/16	2013/10/07	15.00
67327	HK Bank - HKEx R Bear CBBC Oct 2013 A	50,000,000	2013/01/16	2013/10/28	12.50
67325	HK Bank - HSI R Bear CBBC Oct 2013 G	100,000,000	2013/01/16	2013/10/30	25.00

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67331	HK Bank - Li & Fung R Bull CBBC Sep 2013 A	40,000,000	2013/01/16	2013/09/30	10.00
67330	HK Bank - Sands China R Bull CBBC Sep 2013 B	50,000,000	2013/01/16	2013/09/23	12.50
67349	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 M	200,000,000	2013/01/16	2013/07/30	50.00
67262	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 A	200,000,000	2013/01/16	2013/09/27	50.00
67267	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 B	200,000,000	2013/01/16	2013/09/27	50.00
67294	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 C	200,000,000	2013/01/16	2013/09/27	50.00
67297	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 D	200,000,000	2013/01/16	2013/09/27	50.00
67302	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 E	200,000,000	2013/01/16	2013/09/27	50.00
67303	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 J	200,000,000	2013/01/16	2013/06/27	50.00
67308	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 K	200,000,000	2013/01/16	2013/06/27	50.00
67320	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 L	200,000,000	2013/01/16	2013/06/27	50.00
67321	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 M	200,000,000	2013/01/16	2013/06/27	51.00
67323	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 N	200,000,000	2013/01/16	2013/06/27	57.00
67354	UBS AG - China Life R Bull CBBC Aug 2013 A	100,000,000	2013/01/16	2013/08/05	26.50
67355	UBS AG - CNOOC R Bull CBBC Oct 2013 A	100,000,000	2013/01/16	2013/10/15	25.00
67361	UBS AG - HSI R Bull CBBC May 2013 F	300,000,000	2013/01/16	2013/05/30	75.00
67342	UBS AG - HSI R Bear CBBC Apr 2013 X	300,000,000	2013/01/16	2013/04/29	75.00
65624 [#]	HK Bank - A50 R Bull CBBC Jul 2013 B	180,000,000	2013/01/16	2013/07/08	20.88
67397	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 E	200,000,000	2013/01/17	2013/12/30	50.00
67400	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 F	200,000,000	2013/01/17	2013/12/30	50.00
67379	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 P	200,000,000	2013/01/17	2013/04/29	50.00
67418	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Q	350,000,000	2013/01/17	2013/09/27	87.50
67419	Credit Suisse AG - HSI R Bull CBBC Sep 2013 R	350,000,000	2013/01/17	2013/09/27	87.50
67420	Credit Suisse AG - HSI R Bull CBBC Sep 2013 S	350,000,000	2013/01/17	2013/09/27	87.50
67382	Credit Suisse AG - HSI R Bear CBBC Apr 2013 G	350,000,000	2013/01/17	2013/04/29	87.50
67383	Credit Suisse AG - HSI R Bear CBBC Apr 2013 H	350,000,000	2013/01/17	2013/04/29	87.50
67416	Credit Suisse AG - HSI R Bear CBBC May 2013 Y	350,000,000	2013/01/17	2013/05/30	87.50
67414	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 F	150,000,000	2013/01/17	2013/11/28	37.50
67415	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 G	150,000,000	2013/01/17	2013/11/28	37.50
67387	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 G	150,000,000	2013/01/17	2013/07/30	37.50
67388	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 H	150,000,000	2013/01/17	2013/07/30	37.50
67396	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 I	150,000,000	2013/01/17	2013/07/30	37.50
67421	Bank of East Asia - Lenovo R Bull CBBC Dec 2013 A	60,000,000	2013/01/17	2013/12/30	15.00
67454	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 H	150,000,000	2013/01/17	2013/04/29	38.25
67455	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 I	150,000,000	2013/01/17	2013/04/29	37.50
67456	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 J	150,000,000	2013/01/17	2013/04/29	37.80
67465	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 K	150,000,000	2013/01/17	2013/04/29	38.40
67466	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 L	150,000,000	2013/01/17	2013/04/29	38.25
67494	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 M	150,000,000	2013/01/17	2013/04/29	37.65
67499	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2013 N	150,000,000	2013/01/17	2013/04/29	38.10
67374	HK Bank - ABC R Bear CBBC Sep 2013 B	40,000,000	2013/01/17	2013/09/09	10.00
67364	HK Bank - COVS R Bear CBBC Oct 2013 A	40,000,000	2013/01/17	2013/10/18	19.20
67363	HK Bank - CNOOC R Bull CBBC Oct 2013 A	50,000,000	2013/01/17	2013/10/28	18.00
67376	HK Bank - Galaxy Ent R Bull CBBC Sep 2013 A	40,000,000	2013/01/17	2013/09/30	14.00
67375	HK Bank - SHK Ppt R Bear CBBC Aug 2013 A	50,000,000	2013/01/17	2013/08/26	12.50

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67510	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 U	300,000,000	2013/01/17	2013/06/27	75.00
67513	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 V	300,000,000	2013/01/17	2013/06/27	75.00
67512	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 G	300,000,000	2013/01/17	2013/07/30	75.00
67517	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 H	300,000,000	2013/01/17	2013/07/30	75.00
67509	J P Morgan SP BV - HSI R Bear CBBC May 2013 B	300,000,000	2013/01/17	2013/05/30	75.00
67504	J P Morgan SP BV - HSI R Bear CBBC May 2013 Z	300,000,000	2013/01/17	2013/05/30	75.00
67501	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 J	300,000,000	2013/01/17	2013/06/27	75.00
67508	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 K	300,000,000	2013/01/17	2013/06/27	75.00
67403	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 N	200,000,000	2013/01/17	2013/07/30	50.00
67405	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 O	200,000,000	2013/01/17	2013/07/30	50.00
67428	UBS AG - HSCEI R Bull CBBC Oct 2013 A	100,000,000	2013/01/17	2013/10/30	25.00
67444	UBS AG - HSI R Bull CBBC Jun 2013 G	300,000,000	2013/01/17	2013/06/27	75.00
67443	UBS AG - HSI R Bull CBBC Jul 2013 H	300,000,000	2013/01/17	2013/07/30	75.00
67445	UBS AG - HSI R Bull CBBC Jul 2013 J	300,000,000	2013/01/17	2013/07/30	75.00
67453	UBS AG - HSI R Bull CBBC Jul 2013 K	300,000,000	2013/01/17	2013/07/30	75.00
67385	UBS AG - HSI R Bear CBBC May 2013 H	300,000,000	2013/01/17	2013/05/30	75.00
67384	UBS AG - HSI R Bear CBBC May 2013 I	300,000,000	2013/01/17	2013/05/30	75.00
67572	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 C	200,000,000	2013/01/18	2013/04/29	50.00
67541	Credit Suisse AG - HSI R Bull CBBC Aug 2013 F	350,000,000	2013/01/18	2013/08/29	87.50
67571	Credit Suisse AG - HSI R Bear CBBC May 2013 G	350,000,000	2013/01/18	2013/05/30	87.50
67570	Credit Suisse AG - HSI R Bear CBBC May 2013 Z	350,000,000	2013/01/18	2013/05/30	87.50
67555	HK Bank - Anhui Conch R Bull CBBC Dec 2013 D	40,000,000	2013/01/18	2013/12/18	10.00
67559	HK Bank - CC Bank R Bear CBBC Dec 2013 A	40,000,000	2013/01/18	2013/12/16	10.00
67546	HK Bank - CNOOC R Bear CBBC Oct 2013 A	40,000,000	2013/01/18	2013/10/28	10.00
67554	HK Bank - Sinopec Corp R Bear CBBC Oct 2013 A	40,000,000	2013/01/18	2013/10/15	10.00
67545	HK Bank - HSI R Bull CBBC Sep 2013 E	100,000,000	2013/01/18	2013/09/27	25.00
67556	HK Bank - Lenovo R Bull CBBC Sep 2013 A	40,000,000	2013/01/18	2013/09/09	10.00
67595	UBS AG - AIA R Bull CBBC Oct 2013 A	100,000,000	2013/01/18	2013/10/07	42.00
67560	UBS AG - HSI R Bull CBBC Aug 2013 X	300,000,000	2013/01/18	2013/08/29	75.00
67587	UBS AG - HSI R Bear CBBC Jun 2013 T	300,000,000	2013/01/18	2013/06/27	75.00
67601	UBS AG - Hutchison R Bear CBBC Jun 2013 A	100,000,000	2013/01/18	2013/06/10	25.00
67594	UBS AG - Sands China R Bull CBBC Sep 2013 A	100,000,000	2013/01/18	2013/09/23	25.00
65124#	HK Bank - A50 R Bear CBBC Nov 2013 A	200,000,000	2013/01/18	2013/11/18	21.00
67675	Credit Suisse AG - HSI R Bull CBBC Aug 2013 G	350,000,000	2013/01/21	2013/08/29	87.50
67676	Credit Suisse AG - HSI R Bull CBBC Aug 2013 H	350,000,000	2013/01/21	2013/08/29	87.50
67656	Credit Suisse AG - HSI R Bear CBBC Apr 2013 A	350,000,000	2013/01/21	2013/04/29	87.50
67633	Credit Suisse AG - HSI R Bear CBBC Apr 2013 V	350,000,000	2013/01/21	2013/04/29	87.50
67647	Credit Suisse AG - HSI R Bear CBBC Apr 2013 W	350,000,000	2013/01/21	2013/04/29	87.50
67649	Credit Suisse AG - HSI R Bear CBBC Apr 2013 X	350,000,000	2013/01/21	2013/04/29	87.50
67651	Credit Suisse AG - HSI R Bear CBBC Apr 2013 Y	350,000,000	2013/01/21	2013/04/29	87.50
67654	Credit Suisse AG - HSI R Bear CBBC Apr 2013 Z	350,000,000	2013/01/21	2013/04/29	87.50
67657	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 H	150,000,000	2013/01/21	2013/11/28	37.50
67663	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 J	150,000,000	2013/01/21	2013/07/30	37.50
67666	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 K	150,000,000	2013/01/21	2013/07/30	37.50
67629	HK Bank - AIA R Bull CBBC Nov 2013 A	60,000,000	2013/01/21	2013/11/25	15.00

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67626	HK Bank - AIA R Bull CBBC Dec 2013 A	60,000,000	2013/01/21	2013/12/23	24.00
67610	HK Bank - BOCL R Bear CBBC Nov 2013 B	40,000,000	2013/01/21	2013/11/04	10.00
67613	HK Bank - China Tel R Bull CBBC Oct 2013 A	40,000,000	2013/01/21	2013/10/28	10.00
67603	HK Bank - A50 R Bear CBBC Aug 2013 D	50,000,000	2013/01/21	2013/08/19	12.50
67605	HK Bank - A50 R Bear CBBC Aug 2013 E	50,000,000	2013/01/21	2013/08/19	12.50
67607	HK Bank - ICBC R Bear CBBC Nov 2013 A	40,000,000	2013/01/21	2013/11/04	10.00
67667	UBS AG - HSI R Bull CBBC Sep 2013 K	300,000,000	2013/01/21	2013/09/27	75.00
67669	UBS AG - HSI R Bull CBBC Sep 2013 L	300,000,000	2013/01/21	2013/09/27	75.00
67632	UBS AG - HSI R Bear CBBC Apr 2013 Y	300,000,000	2013/01/21	2013/04/29	75.00
67670	UBS AG - Ping An R Bear CBBC Jun 2013 A	100,000,000	2013/01/21	2013/06/17	25.00
67696	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 J	200,000,000	2013/01/22	2013/04/29	50.00
67699	Credit Suisse AG - HSI R Bull CBBC Jul 2013 J	350,000,000	2013/01/22	2013/07/30	87.50
67708	Credit Suisse AG - HSI R Bear CBBC May 2013 H	350,000,000	2013/01/22	2013/05/30	87.50
67711	Credit Suisse AG - HSI R Bear CBBC May 2013 I	350,000,000	2013/01/22	2013/05/30	87.50
67703	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 L	150,000,000	2013/01/22	2013/07/30	37.50
67705	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 M	150,000,000	2013/01/22	2013/07/30	37.50
67707	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 N	150,000,000	2013/01/22	2013/07/30	37.50
67693	HK Bank - CPIC R Bull CBBC Dec 2013 A	40,000,000	2013/01/22	2013/12/18	10.00
67695	HK Bank - Hutchison R Bear CBBC Nov 2013 A	50,000,000	2013/01/22	2013/11/25	12.50
67677	HK Bank - Li & Fung R Bull CBBC Oct 2013 A	40,000,000	2013/01/22	2013/10/18	13.60
67678	HK Bank - Li & Fung R Bull CBBC Nov 2013 A	40,000,000	2013/01/22	2013/11/25	10.00
67679	HK Bank - Li & Fung R Bear CBBC Nov 2013 A	40,000,000	2013/01/22	2013/11/25	10.80
67713	UBS AG - China Mobile R Bear CBBC Jun 2013 A	100,000,000	2013/01/22	2013/06/17	25.00
67712	UBS AG - HSI R Bear CBBC May 2013 J	300,000,000	2013/01/22	2013/05/30	75.00
67714	UBS AG - Li & Fung R Bull CBBC Sep 2013 A	50,000,000	2013/01/22	2013/09/16	12.50
67715	UBS AG - SHK Ppt R Bull CBBC Dec 2013 A	100,000,000	2013/01/22	2013/12/09	25.00
67800	Credit Suisse AG - HSI R Bull CBBC Jul 2013 K	350,000,000	2013/01/23	2013/07/30	87.50
67751	Credit Suisse AG - HSI R Bull CBBC Oct 2013 C	350,000,000	2013/01/23	2013/10/30	87.50
67802	Credit Suisse AG - HSI R Bear CBBC May 2013 A	350,000,000	2013/01/23	2013/05/30	87.50
67756	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 I	150,000,000	2013/01/23	2013/11/28	37.50
67799	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 J	150,000,000	2013/01/23	2013/11/28	37.50
67801	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 K	150,000,000	2013/01/23	2013/11/28	37.50
67735	HK Bank - BOCL R Bull CBBC Nov 2013 B	80,000,000	2013/01/23	2013/11/25	20.00
67741	HK Bank - CC Bank R Bull CBBC Aug 2013 C	80,000,000	2013/01/23	2013/08/26	20.00
67725	HK Bank - China Life R Bull CBBC Jul 2013 D	60,000,000	2013/01/23	2013/07/08	15.00
67717	HK Bank - A50 R Bull CBBC Jul 2013 C	100,000,000	2013/01/23	2013/07/22	25.00
67724	HK Bank - A50 R Bear CBBC Jul 2013 C	50,000,000	2013/01/23	2013/07/22	12.50
67726	HK Bank - HKEx R Bull CBBC Sep 2013 B	60,000,000	2013/01/23	2013/09/16	15.00
67727	HK Bank - HKEx R Bear CBBC Nov 2013 A	50,000,000	2013/01/23	2013/11/25	13.50
67765	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 U	200,000,000	2013/01/23	2013/06/27	50.00
67775	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 V	200,000,000	2013/01/23	2013/06/27	50.00
67776	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 X	200,000,000	2013/01/23	2013/06/27	50.00
67759	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 A	200,000,000	2013/01/23	2013/08/29	50.00
67760	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 B	200,000,000	2013/01/23	2013/08/29	50.00
67792	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 C	200,000,000	2013/01/23	2013/04/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67793	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 D	200,000,000	2013/01/23	2013/04/29	50.00
67794	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 E	200,000,000	2013/01/23	2013/04/29	50.00
67795	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 F	200,000,000	2013/01/23	2013/04/29	50.00
67796	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 G	200,000,000	2013/01/23	2013/04/29	50.00
67803	UBS AG - Cheung Kong R Bear CBBC May 2013 B	100,000,000	2013/01/23	2013/05/06	26.00
67798	UBS AG - HSI R Bull CBBC Oct 2013 E	300,000,000	2013/01/23	2013/10/30	75.00
67916	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 G	200,000,000	2013/01/24	2013/12/30	50.00
67832	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 O	200,000,000	2013/01/24	2013/04/29	50.00
67828	Credit Suisse AG - HSI R Bull CBBC Sep 2013 T	350,000,000	2013/01/24	2013/09/27	87.50
67853	Credit Suisse AG - HSI R Bear CBBC Apr 2013 B	350,000,000	2013/01/24	2013/04/29	87.50
67854	Credit Suisse AG - HSI R Bear CBBC Apr 2013 C	350,000,000	2013/01/24	2013/04/29	87.50
67850	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 O	150,000,000	2013/01/24	2013/07/30	37.50
67852	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 P	150,000,000	2013/01/24	2013/07/30	37.50
67907	Bank of East Asia - COVS R Bear CBBC Nov 2013 A	60,000,000	2013/01/24	2013/11/25	16.80
67891	Bank of East Asia - CPIC R Bull CBBC Dec 2013 A	60,000,000	2013/01/24	2013/12/11	24.00
67896	Bank of East Asia - HKEx R Bull CBBC Dec 2013 A	60,000,000	2013/01/24	2013/12/11	15.00
67878	Bank of East Asia - Ping An R Bull CBBC Dec 2013 A	60,000,000	2013/01/24	2013/12/11	15.00
67806	HK Bank - China Mobile R Bear CBBC Jul 2013 A	50,000,000	2013/01/24	2013/07/15	12.50
67822	HK Bank - Cheung Kong R Bull CBBC Nov 2013 A	60,000,000	2013/01/24	2013/11/18	15.00
67823	HK Bank - Cheung Kong R Bear CBBC Nov 2013 A	50,000,000	2013/01/24	2013/11/18	13.50
67812	HK Bank - China Shenhua R Bear CBBC Oct 2013 B	40,000,000	2013/01/24	2013/10/28	10.00
67827	HK Bank - A50 R Bull CBBC Aug 2013 E	100,000,000	2013/01/24	2013/08/26	25.00
67917	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 C	200,000,000	2013/01/24	2013/08/29	50.00
67926	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 H	200,000,000	2013/01/24	2013/04/29	50.00
67842	UBS AG - BOCL R Bull CBBC Nov 2013 A	100,000,000	2013/01/24	2013/11/04	25.00
67961	UBS AG - CC Bank R Bull CBBC Aug 2013 B	100,000,000	2013/01/24	2013/08/05	25.00
67946	UBS AG - Sinopec Corp R Bull CBBC Oct 2013 A	100,000,000	2013/01/24	2013/10/07	25.00
67959	UBS AG - Galaxy Ent R Bull CBBC Sep 2013 A	50,000,000	2013/01/24	2013/09/09	12.50
67840	UBS AG - HSCEI R Bull CBBC Sep 2013 A	100,000,000	2013/01/24	2013/09/27	25.00
67849	UBS AG - HSI R Bull CBBC Jul 2013 L	300,000,000	2013/01/24	2013/07/30	75.00
67859	UBS AG - HSI R Bear CBBC May 2013 K	300,000,000	2013/01/24	2013/05/30	75.00
67862	UBS AG - HSI R Bear CBBC May 2013 L	300,000,000	2013/01/24	2013/05/30	75.00
68023	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 H	200,000,000	2013/01/25	2013/12/30	50.00
68025	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 I	200,000,000	2013/01/25	2013/12/30	50.00
68089	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 J	200,000,000	2013/01/25	2013/12/30	50.00
68048	Credit Suisse AG - HSI R Bull CBBC Sep 2013 U	350,000,000	2013/01/25	2013/09/27	87.50
68050	Credit Suisse AG - HSI R Bull CBBC Sep 2013 V	350,000,000	2013/01/25	2013/09/27	87.50
68053	Credit Suisse AG - HSI R Bull CBBC Sep 2013 W	350,000,000	2013/01/25	2013/09/27	87.50
68010	Credit Suisse AG - HSI R Bear CBBC Apr 2013 D	350,000,000	2013/01/25	2013/04/29	87.50
68018	Credit Suisse AG - HSI R Bear CBBC Apr 2013 J	350,000,000	2013/01/25	2013/04/29	87.50
68045	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 L	150,000,000	2013/01/25	2013/11/28	37.50
68046	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 M	150,000,000	2013/01/25	2013/11/28	37.50
68099	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 N	150,000,000	2013/01/25	2013/11/28	37.50
68101	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 O	150,000,000	2013/01/25	2013/11/28	37.50
68001	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 Q	150,000,000	2013/01/25	2013/07/30	37.50

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68026	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 L	150,000,000	2013/01/25	2013/04/29	37.95
68027	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 M	150,000,000	2013/01/25	2013/04/29	37.95
68033	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 N	150,000,000	2013/01/25	2013/04/29	38.25
68037	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 O	150,000,000	2013/01/25	2013/04/29	37.80
68041	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 P	150,000,000	2013/01/25	2013/04/29	39.00
68083	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 A	60,000,000	2013/01/25	2013/06/27	15.00
68080	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 Z	60,000,000	2013/01/25	2013/06/27	15.00
68081	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 I	60,000,000	2013/01/25	2013/06/27	15.00
68082	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 M	60,000,000	2013/01/25	2013/06/27	15.00
67965	Standard Chartered Bank - HSI R Bear CBBC May 2013 A	50,000,000	2013/01/25	2013/05/30	12.50
68019	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 D	200,000,000	2013/01/25	2013/08/29	50.00
68021	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 E	200,000,000	2013/01/25	2013/08/29	50.00
68022	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 F	200,000,000	2013/01/25	2013/08/29	50.00
68063	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 G	200,000,000	2013/01/25	2013/08/29	50.00
68102	UBS AG - HKEx R Bull CBBC Sep 2013 B	100,000,000	2013/01/25	2013/09/30	25.00
68008	UBS AG - HSI R Bull CBBC Sep 2013 M	300,000,000	2013/01/25	2013/09/27	75.00
68055	UBS AG - HSI R Bull CBBC Sep 2013 N	300,000,000	2013/01/25	2013/09/27	75.00
68054	UBS AG - HSI R Bull CBBC Oct 2013 F	300,000,000	2013/01/25	2013/10/30	75.00
68007	UBS AG - HSI R Bear CBBC Jun 2013 U	300,000,000	2013/01/25	2013/06/27	75.00
68047	UBS AG - HSI R Bear CBBC Jun 2013 V	300,000,000	2013/01/25	2013/06/27	75.00
65030 [#]	HK Bank - China Mobile R Bull CBBC Aug 2013 B	200,000,000	2013/01/25	2013/08/05	10.60
65356 [#]	HK Bank - HSI R Bear CBBC Oct 2013 B	280,000,000	2013/01/25	2013/10/30	29.40
68171	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2013 U	200,000,000	2013/01/28	2013/04/29	50.00
68132	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 I	200,000,000	2013/01/28	2013/05/30	50.00
68135	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 J	200,000,000	2013/01/28	2013/05/30	50.00
68137	Credit Suisse AG - HSI R Bull CBBC Aug 2013 I	350,000,000	2013/01/28	2013/08/29	87.50
68142	Credit Suisse AG - HSI R Bull CBBC Aug 2013 M	350,000,000	2013/01/28	2013/08/29	87.50
68123	Credit Suisse AG - HSI R Bear CBBC Apr 2013 E	350,000,000	2013/01/28	2013/04/29	87.50
68124	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 P	150,000,000	2013/01/28	2013/11/28	37.50
68125	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 R	150,000,000	2013/01/28	2013/07/30	37.50
68126	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 S	150,000,000	2013/01/28	2013/07/30	37.50
68131	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 T	150,000,000	2013/01/28	2013/07/30	37.50
68122	HK Bank - HSI R Bull CBBC Aug 2013 H	100,000,000	2013/01/28	2013/08/29	25.00
68103	HK Bank - HSI R Bear CBBC Nov 2013 G	100,000,000	2013/01/28	2013/11/28	25.00
68106	HK Bank - HSI R Bear CBBC Dec 2013 C	400,000,000	2013/01/28	2013/12/30	100.00
68109	HK Bank - HSI R Bear CBBC Dec 2013 D	400,000,000	2013/01/28	2013/12/30	100.00
68108	HK Bank - HSI R Bear CBBC Feb 2014 B	400,000,000	2013/01/28	2014/02/27	100.00
68149	UBS AG - Anhui Conch R Bull CBBC Dec 2013 A	100,000,000	2013/01/28	2013/12/02	25.00
68158	UBS AG - AIA R Bull CBBC Dec 2013 A	100,000,000	2013/01/28	2013/12/16	25.00
68157	UBS AG - China Shenhua R Bull CBBC Dec 2013 A	100,000,000	2013/01/28	2013/12/09	25.00
68144	UBS AG - HSI R Bull CBBC Aug 2013 Y	300,000,000	2013/01/28	2013/08/29	75.00
68143	UBS AG - HSI R Bull CBBC Oct 2013 G	300,000,000	2013/01/28	2013/10/30	75.00
68145	UBS AG - HSI R Bull CBBC Oct 2013 H	300,000,000	2013/01/28	2013/10/30	75.00
68159	UBS AG - HSI R Bear CBBC Apr 2013 T	300,000,000	2013/01/28	2013/04/29	75.00
60774 [#]	HK Bank - HSI R Bear CBBC May 2013 C	200,000,000	2013/01/28	2013/05/30	23.20

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68474#	UBS AG - China Mobile R Bull CBBC Jun 2013 A	140,000,000	2013/01/28	2013/06/17	10.36
68254	Credit Suisse AG - HSI R Bull CBBC Oct 2013 D	350,000,000	2013/01/29	2013/10/30	87.50
68256	Credit Suisse AG - HSI R Bull CBBC Oct 2013 E	350,000,000	2013/01/29	2013/10/30	87.50
68186	Credit Suisse AG - HSI R Bear CBBC Apr 2013 O	350,000,000	2013/01/29	2013/04/29	87.50
68234	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 Q	150,000,000	2013/01/29	2013/11/28	37.50
68201	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 U	150,000,000	2013/01/29	2013/07/30	37.50
68206	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 V	150,000,000	2013/01/29	2013/07/30	37.50
68173	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2013 Q	150,000,000	2013/01/29	2013/04/29	38.25
68185	HK Bank - China Mobile R Bull CBBC Dec 2013 A	80,000,000	2013/01/29	2013/12/23	20.00
68176	HK Bank - China Tel R Bull CBBC Dec 2013 A	40,000,000	2013/01/29	2013/12/18	10.00
68174	HK Bank - HSCEI R Bull CBBC Aug 2013 A	100,000,000	2013/01/29	2013/08/29	25.00
68175	HK Bank - ICBC R Bull CBBC Aug 2013 D	80,000,000	2013/01/29	2013/08/05	20.00
68184	HK Bank - PetCh R Bull CBBC Oct 2013 A	50,000,000	2013/01/29	2013/10/28	12.50
68183	HK Bank - TCH R Bull CBBC Nov 2013 A	50,000,000	2013/01/29	2013/11/25	12.50
68264	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 W	300,000,000	2013/01/29	2013/06/27	75.00
68260	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 I	300,000,000	2013/01/29	2013/07/30	75.00
68266	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 J	300,000,000	2013/01/29	2013/07/30	75.00
68192	UBS AG - HKEx R Bull CBBC Oct 2013 A	100,000,000	2013/01/29	2013/10/21	25.00
68236	UBS AG - HSI R Bull CBBC Jun 2013 A	300,000,000	2013/01/29	2013/06/27	75.00
68200	UBS AG - HSI R Bear CBBC Apr 2013 Z	300,000,000	2013/01/29	2013/04/29	75.00
68322	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 K	200,000,000	2013/01/30	2013/05/30	50.00
68349	Credit Suisse AG - HSI R Bull CBBC Nov 2013 A	350,000,000	2013/01/30	2013/11/28	87.50
68350	Credit Suisse AG - HSI R Bull CBBC Nov 2013 B	350,000,000	2013/01/30	2013/11/28	87.50
68308	Credit Suisse AG - HSI R Bear CBBC Apr 2013 S	350,000,000	2013/01/30	2013/04/29	87.50
68348	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 W	150,000,000	2013/01/30	2013/07/30	37.50
68271	HK Bank - HSCEI R Bull CBBC Nov 2013 B	100,000,000	2013/01/30	2013/11/28	25.00
68267	HK Bank - HSCEI R Bull CBBC Dec 2013 B	100,000,000	2013/01/30	2013/12/30	25.00
68274	HK Bank - HSCEI R Bear CBBC Nov 2013 A	68,000,000	2013/01/30	2013/11/28	17.00
68337	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 H	200,000,000	2013/01/30	2013/08/29	50.00
68347	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr13 I	200,000,000	2013/01/30	2013/04/29	50.00
68383	UBS AG - China Mobile R Bull CBBC Oct 2013 A	100,000,000	2013/01/30	2013/10/07	25.00
68386	UBS AG - China Mobile R Bull CBBC Nov 2013 A	100,000,000	2013/01/30	2013/11/04	25.00
68373	UBS AG - China Mobile R Bear CBBC May 2013 A	100,000,000	2013/01/30	2013/05/06	25.00
68394	UBS AG - Cheung Kong R Bull CBBC Oct 2013 B	100,000,000	2013/01/30	2013/10/28	25.00
68395	UBS AG - HSCEI R Bear CBBC May 2013 B	100,000,000	2013/01/30	2013/05/30	25.00
68372	UBS AG - HSI R Bull CBBC Aug 2013 Z	300,000,000	2013/01/30	2013/08/29	75.00
68371	UBS AG - HSI R Bear CBBC May 2013 M	300,000,000	2013/01/30	2013/05/30	75.00
68396	UBS AG - ICBC R Bear CBBC Jul 2013 B	100,000,000	2013/01/30	2013/07/29	25.00
64189#	UBS AG - Evergrande R Bull CBBC Jul 2013 B	100,000,000	2013/01/30	2013/07/29	14.30
68434	Credit Suisse AG - HSI R Bull CBBC Oct 2013 F	350,000,000	2013/01/31	2013/10/30	87.50
68436	Credit Suisse AG - HSI R Bull CBBC Oct 2013 G	350,000,000	2013/01/31	2013/10/30	87.50
68437	Credit Suisse AG - HSI R Bear CBBC May 2013 J	350,000,000	2013/01/31	2013/05/30	87.50
68430	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 I	200,000,000	2013/01/31	2013/08/29	50.00
68431	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 R	200,000,000	2013/01/31	2013/05/30	50.00
68405	UBS AG - ABC R Bear CBBC Aug 2013 A	100,000,000	2013/01/31	2013/08/12	25.00

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68452	UBS AG - China Mobile R Bull CBBC Nov 2013 B	100,000,000	2013/01/31	2013/11/18	25.00
68397	UBS AG - HSI R Bull CBBC Aug 2013 A	300,000,000	2013/01/31	2013/08/29	75.00
68403	UBS AG - Hutchison R Bull CBBC Oct 2013 A	100,000,000	2013/01/31	2013/10/21	25.00
68398	UBS AG - ICBC R Bull CBBC Jul 2013 D	100,000,000	2013/01/31	2013/07/22	25.00
68455	UBS AG - Li & Fung R Bull CBBC Oct 2013 A	100,000,000	2013/01/31	2013/10/21	25.00
68453	UBS AG - Li & Fung R Bear CBBC Jul 2013 A	100,000,000	2013/01/31	2013/07/08	25.00
68450	UBS AG - Lenovo R Bull CBBC Dec 2013 A	50,000,000	2013/01/31	2013/12/16	12.50
68449	UBS AG - Lenovo R Bear CBBC Aug 2013 A	50,000,000	2013/01/31	2013/08/26	13.25
66732 [#]	UBS AG - HSCEI R Bull CBBC Jun 2013 E	140,000,000	2013/01/31	2013/06/27	10.64
68586	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 K	200,000,000	2013/02/01	2013/12/30	50.00
68475	Credit Suisse AG - HSI R Bull CBBC Sep 2013 X	350,000,000	2013/02/01	2013/09/27	87.50
68585	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Y	350,000,000	2013/02/01	2013/09/27	87.50
68607	Credit Suisse AG - HSI R Bear CBBC May 2013 B	350,000,000	2013/02/01	2013/05/30	87.50
69999	Credit Suisse AG - HSI R Bear CBBC May 2013 C	350,000,000	2013/02/01	2013/05/30	87.50
68590	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 R	150,000,000	2013/02/01	2013/11/28	37.50
60018	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 X	150,000,000	2013/02/01	2013/07/30	37.50
68606	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 A	150,000,000	2013/02/01	2013/05/30	38.70
68486	HK Bank - ABC R Bull CBBC Nov 2013 D	60,000,000	2013/02/01	2013/11/25	15.00
68488	HK Bank - ABC R Bear CBBC Nov 2013 B	40,000,000	2013/02/01	2013/11/25	10.00
68480	HK Bank - Galaxy Ent R Bear CBBC Nov 2013 B	40,000,000	2013/02/01	2013/11/28	22.00
68478	HK Bank - HSI R Bull CBBC Oct 2013 C	400,000,000	2013/02/01	2013/10/30	100.00
68507	HK Bank - Lenovo R Bear CBBC Jun 2013 B	40,000,000	2013/02/01	2013/06/03	10.00
68521	HK Bank - SHK Ppt R Bull CBBC Aug 2013 A	60,000,000	2013/02/01	2013/08/19	15.00
68465	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 J	200,000,000	2013/02/01	2013/08/29	50.00
68602	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 K	200,000,000	2013/02/01	2013/08/29	50.00
68605	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 S	200,000,000	2013/02/01	2013/05/30	50.00
60007	UBS AG - AIA R Bear CBBC Oct 2013 A	100,000,000	2013/02/01	2013/10/07	25.00
60009	UBS AG - CNOOC R Bull CBBC Jun 2013 A	100,000,000	2013/02/01	2013/06/10	25.00
60008	UBS AG - CNOOC R Bear CBBC May 2013 A	100,000,000	2013/02/01	2013/05/06	25.00
60004	UBS AG - HSCEI R Bear CBBC May 2013 C	100,000,000	2013/02/01	2013/05/30	25.00
68588	UBS AG - HSI R Bull CBBC Sep 2013 O	300,000,000	2013/02/01	2013/09/27	75.00
60003	UBS AG - HSI R Bear CBBC May 2013 S	300,000,000	2013/02/01	2013/05/30	75.00
60006	UBS AG - ICBC R Bull CBBC Jul 2013 E	100,000,000	2013/02/01	2013/07/08	25.00
60013	UBS AG - Petrochina R Bull CBBC Oct 2013 A	100,000,000	2013/02/01	2013/10/21	25.00
60005	UBS AG - Sands China R Bull CBBC Oct 2013 A	100,000,000	2013/02/01	2013/10/07	25.00
60017	UBS AG - TCH R Bull CBBC Jul 2013 C	100,000,000	2013/02/01	2013/07/15	25.00
64157 [#]	HK Bank - China Mobile R Bull CBBC Oct 2013 A	200,000,000	2013/02/01	2013/10/21	20.80
64162 [#]	HK Bank - China Mobile R Bull CBBC Oct 2013 B	200,000,000	2013/02/01	2013/10/07	17.40
60047	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 L	200,000,000	2013/02/04	2013/12/30	50.00
60050	Credit Suisse AG - HSI R Bull CBBC Sep 2013 E	350,000,000	2013/02/04	2013/09/27	87.50
60048	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Z	350,000,000	2013/02/04	2013/09/27	87.50
60045	Credit Suisse AG - HSI R Bear CBBC May 2013 D	350,000,000	2013/02/04	2013/05/30	87.50
60044	Credit Suisse AG - HSI R Bear CBBC May 2013 F	350,000,000	2013/02/04	2013/05/30	87.50
60073	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 S	150,000,000	2013/02/04	2013/11/28	37.50
60074	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 T	150,000,000	2013/02/04	2013/11/28	37.50

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60028	HK Bank - China Mobile R Bull CBBC Aug 2013 C	80,000,000	2013/02/04	2013/08/05	20.00
60037	HK Bank - China Mobile R Bull CBBC Nov 2013 A	80,000,000	2013/02/04	2013/11/25	20.00
60039	HK Bank - China Mobile R Bear CBBC Oct 2013 A	50,000,000	2013/02/04	2013/10/28	12.50
60025	HK Bank - HSCEI R Bear CBBC Feb 2014 A	68,000,000	2013/02/04	2014/02/27	17.00
60041	HK Bank - Hutchison R Bull CBBC Nov 2013 A	60,000,000	2013/02/04	2013/11/25	15.00
60042	HK Bank - Lenovo R Bull CBBC Oct 2013 B	40,000,000	2013/02/04	2013/10/28	10.00
60093	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 X	300,000,000	2013/02/04	2013/06/27	75.00
60095	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 K	300,000,000	2013/02/04	2013/07/30	75.00
60091	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 L	300,000,000	2013/02/04	2013/06/27	75.00
60092	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 M	300,000,000	2013/02/04	2013/06/27	75.00
60046	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 L	200,000,000	2013/02/04	2013/08/29	50.00
60059	UBS AG - China Tel R Bear CBBC Aug 2013 A	100,000,000	2013/02/04	2013/08/12	25.00
60058	UBS AG - CUni R Bull CBBC Jul 2013 B	100,000,000	2013/02/04	2013/07/22	29.50
60068	UBS AG - Galaxy Ent R Bull CBBC Oct 2013 A	40,000,000	2013/02/04	2013/10/21	10.00
60072	UBS AG - HSBC R Bear CBBC Sep 2013 A	100,000,000	2013/02/04	2013/09/09	25.00
60056	UBS AG - HSI R Bull CBBC Oct 2013 I	300,000,000	2013/02/04	2013/10/30	75.00
60043	UBS AG - HSI R Bear CBBC Jun 2013 W	300,000,000	2013/02/04	2013/06/27	75.00
60057	UBS AG - HSI R Bear CBBC Jun 2013 X	300,000,000	2013/02/04	2013/06/27	75.00
60069	UBS AG - Hutchison R Bear CBBC Jul 2013 A	100,000,000	2013/02/04	2013/07/15	25.00
60071	UBS AG - Hutchison R Bear CBBC Aug 2013 A	100,000,000	2013/02/04	2013/08/05	25.00
60053	UBS AG - SHK Ppt R Bear CBBC Sep 2013 A	100,000,000	2013/02/04	2013/09/16	25.50
60105	Credit Suisse AG - HSI R Bull CBBC Aug 2013 S	350,000,000	2013/02/05	2013/08/29	87.50
60106	Credit Suisse AG - HSI R Bear CBBC May 2013 K	350,000,000	2013/02/05	2013/05/30	87.50
60096	UBS AG - HSI R Bull CBBC Jun 2013 B	300,000,000	2013/02/05	2013/06/27	75.00
60107	UBS AG - HSI R Bear CBBC Jul 2013 M	300,000,000	2013/02/05	2013/07/30	75.00
64127 [#]	HK Bank - HSI R Bear CBBC Nov 2013 A	200,000,000	2013/02/05	2013/11/28	10.00
65811 [#]	HK Bank - ICBC R Bull CBBC Sep 2013 B	140,000,000	2013/02/05	2013/09/30	10.64
67025 [#]	HK Bank - HSI R Bear CBBC Oct 2013 E	180,000,000	2013/02/05	2013/10/30	11.88
60204	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 D	200,000,000	2013/02/06	2013/12/30	50.00
60205	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 E	200,000,000	2013/02/06	2013/12/30	50.00
60206	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/02/06	2013/12/30	75.00
60207	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/02/06	2013/12/30	75.00
60167	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 L	300,000,000	2013/02/06	2013/05/30	90.00
60168	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 M	300,000,000	2013/02/06	2013/05/30	75.00
60169	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 N	300,000,000	2013/02/06	2013/05/30	75.00
60170	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 O	300,000,000	2013/02/06	2013/05/30	75.00
60171	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 P	300,000,000	2013/02/06	2013/05/30	75.00
60287	Credit Suisse AG - HSI R Bull CBBC Sep 2013 F	350,000,000	2013/02/06	2013/09/27	87.50
60288	Credit Suisse AG - HSI R Bull CBBC Sep 2013 K	350,000,000	2013/02/06	2013/09/27	87.50
60249	Credit Suisse AG - HSI R Bull CBBC Oct 2013 H	350,000,000	2013/02/06	2013/10/30	87.50
60186	Credit Suisse AG - HSI R Bear CBBC May 2013 U	350,000,000	2013/02/06	2013/05/30	87.50
60192	Credit Suisse AG - HSI R Bear CBBC May 2013 V	350,000,000	2013/02/06	2013/05/30	87.50
60211	Credit Suisse AG - HSI R Bear CBBC May 2013 W	350,000,000	2013/02/06	2013/05/30	94.50
60251	Credit Suisse AG - HSI R Bear CBBC May 2013 X	350,000,000	2013/02/06	2013/05/30	87.50
60182	Credit Suisse AG - HSI R Bear CBBC Jun 2013 P	350,000,000	2013/02/06	2013/06/27	87.50

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60240	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Q	350,000,000	2013/02/06	2013/06/27	120.75
60252	Credit Suisse AG - HSI R Bear CBBC Jun 2013 R	350,000,000	2013/02/06	2013/06/27	87.50
60254	Credit Suisse AG - HSI R Bear CBBC Jun 2013 S	350,000,000	2013/02/06	2013/06/27	87.50
60264	Credit Suisse AG - HSI R Bear CBBC Jun 2013 T	350,000,000	2013/02/06	2013/06/27	87.50
60265	Credit Suisse AG - HSI R Bear CBBC Jun 2013 U	350,000,000	2013/02/06	2013/06/27	87.50
60250	Credit Suisse AG - HSI R Bear CBBC Jul 2013 O	350,000,000	2013/02/06	2013/07/30	87.50
60255	Credit Suisse AG - HSI R Bear CBBC Jul 2013 P	350,000,000	2013/02/06	2013/07/30	87.50
60239	Credit Suisse AG - HSI R Bear CBBC Aug 2013 I	350,000,000	2013/02/06	2013/08/29	113.75
60248	Credit Suisse AG - HSI R Bear CBBC Aug 2013 J	350,000,000	2013/02/06	2013/08/29	112.00
60285	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 U	150,000,000	2013/02/06	2013/11/28	37.50
60286	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 V	150,000,000	2013/02/06	2013/11/28	37.50
60315	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 W	150,000,000	2013/02/06	2013/11/28	37.50
60160	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 B	150,000,000	2013/02/06	2013/07/30	37.50
60161	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 D	150,000,000	2013/02/06	2013/07/30	37.50
60162	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 E	150,000,000	2013/02/06	2013/07/30	37.50
60149	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 Y	150,000,000	2013/02/06	2013/07/30	37.50
60151	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 Z	150,000,000	2013/02/06	2013/07/30	37.50
60278	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 A	150,000,000	2013/02/06	2013/05/30	38.25
60280	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 B	150,000,000	2013/02/06	2013/05/30	37.95
60283	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 C	150,000,000	2013/02/06	2013/05/30	37.80
60279	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 B	150,000,000	2013/02/06	2013/05/30	38.70
60281	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 C	150,000,000	2013/02/06	2013/05/30	39.00
60121	HK Bank - CUni R Bear CBBC Nov 2013 A	40,000,000	2013/02/06	2013/11/25	10.00
60115	HK Bank - CNBM R Bull CBBC Oct 2013 A	50,000,000	2013/02/06	2013/10/28	12.50
60116	HK Bank - CNBM R Bear CBBC Dec 2013 A	50,000,000	2013/02/06	2013/12/23	15.50
60120	HK Bank - Sinopec Corp R Bull CBBC Nov 2013 A	60,000,000	2013/02/06	2013/11/18	15.00
60108	HK Bank - A50 R Bull CBBC Sep 2013 E	100,000,000	2013/02/06	2013/09/30	25.00
60117	HK Bank - Sands China R Bull CBBC Nov 2013 A	50,000,000	2013/02/06	2013/11/25	12.50
60301	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 B	60,000,000	2013/02/06	2013/06/27	15.00
60309	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 C	60,000,000	2013/02/06	2013/06/27	15.00
60310	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jun 2013 E	60,000,000	2013/02/06	2013/06/27	15.00
60314	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 A	60,000,000	2013/02/06	2013/07/30	15.00
60294	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 G	60,000,000	2013/02/06	2013/06/27	15.00
60299	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 H	60,000,000	2013/02/06	2013/06/27	15.00
60296	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 P	60,000,000	2013/02/06	2013/06/27	15.00
60298	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jun 2013 Q	60,000,000	2013/02/06	2013/06/27	15.00
60124	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug13 A	100,000,000	2013/02/06	2013/08/29	25.00
60125	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug13 B	100,000,000	2013/02/06	2013/08/29	25.00
60128	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug13 C	100,000,000	2013/02/06	2013/08/29	25.00
60139	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Aug13A	100,000,000	2013/02/06	2013/08/29	25.00
60208	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 M	200,000,000	2013/02/06	2013/08/29	50.00
60141	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 T	200,000,000	2013/02/06	2013/05/30	50.00
60142	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 U	200,000,000	2013/02/06	2013/05/30	50.00
60147	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 V	200,000,000	2013/02/06	2013/05/30	50.00
60148	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 W	200,000,000	2013/02/06	2013/05/30	50.00

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60202	UBS AG - A50 China R Bull CBBC May 2013 C	100,000,000	2013/02/06	2013/05/06	25.00
60203	UBS AG - HSBC R Bull CBBC Nov 2013 A	100,000,000	2013/02/06	2013/11/18	25.00
60318	UBS AG - HSI R Bull CBBC Jul 2013 F	300,000,000	2013/02/06	2013/07/30	75.00
60323	UBS AG - HSI R Bull CBBC Aug 2013 P	300,000,000	2013/02/06	2013/08/29	75.00
60317	UBS AG - HSI R Bull CBBC Nov 2013 A	300,000,000	2013/02/06	2013/11/28	75.00
60195	UBS AG - HSI R Bear CBBC May 2013 R	300,000,000	2013/02/06	2013/05/30	75.00
60196	UBS AG - HSI R Bear CBBC May 2013 T	300,000,000	2013/02/06	2013/05/30	75.00
60349	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 Q	300,000,000	2013/02/07	2013/05/30	75.00
60344	Credit Suisse AG - HSI R Bull CBBC Aug 2013 U	350,000,000	2013/02/07	2013/08/29	87.50
60345	Credit Suisse AG - HSI R Bull CBBC Aug 2013 V	350,000,000	2013/02/07	2013/08/29	87.50
60350	Credit Suisse AG - HSI R Bear CBBC May 2013 G	350,000,000	2013/02/07	2013/05/30	87.50
60355	Credit Suisse AG - HSI R Bear CBBC May 2013 Z	350,000,000	2013/02/07	2013/05/30	87.50
60347	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 F	150,000,000	2013/02/07	2013/07/30	37.50
60348	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 G	150,000,000	2013/02/07	2013/07/30	37.50
60341	HK Bank - Galaxy Ent R Bull CBBC Oct 2013 A	40,000,000	2013/02/07	2013/10/28	12.40
60336	HK Bank - HSI R Bull CBBC Oct 2013 D	100,000,000	2013/02/07	2013/10/30	25.00
60324	HK Bank - HSI R Bull CBBC Dec 2013 B	400,000,000	2013/02/07	2013/12/30	100.00
60342	HK Bank - Hutchison R Bear CBBC Dec 2013 A	50,000,000	2013/02/07	2013/12/23	12.50
60343	UBS AG - HSI R Bull CBBC Oct 2013 J	300,000,000	2013/02/07	2013/10/30	75.00
60356	UBS AG - HSI R Bear CBBC Jun 2013 Y	300,000,000	2013/02/07	2013/06/27	75.00
60357	UBS AG - HSI R Bear CBBC Jul 2013 N	300,000,000	2013/02/07	2013/07/30	75.00
60380	Credit Suisse AG - HSI R Bull CBBC Aug 2013 B	350,000,000	2013/02/08	2013/08/29	87.50
60381	Credit Suisse AG - HSI R Bull CBBC Aug 2013 Y	350,000,000	2013/02/08	2013/08/29	87.50
60424	Credit Suisse AG - HSI R Bear CBBC May 2013 H	350,000,000	2013/02/08	2013/05/30	87.50
60426	Credit Suisse AG - HSI R Bear CBBC May 2013 I	350,000,000	2013/02/08	2013/05/30	87.50
60398	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 H	150,000,000	2013/02/08	2013/07/30	37.50
60379	HK Bank - AIA R Bear CBBC Dec 2013 B	50,000,000	2013/02/08	2013/12/23	15.50
60366	HK Bank - China Tel R Bull CBBC Nov 2013 A	40,000,000	2013/02/08	2013/11/25	10.00
60372	HK Bank - China Tel R Bear CBBC Nov 2013 A	40,000,000	2013/02/08	2013/11/25	10.00
60364	HK Bank - CPIC R Bull CBBC Dec 2013 B	40,000,000	2013/02/08	2013/12/12	10.00
60360	HK Bank - Li & Fung R Bull CBBC Nov 2013 B	40,000,000	2013/02/08	2013/11/25	10.00
60359	HK Bank - Li & Fung R Bull CBBC Dec 2013 A	40,000,000	2013/02/08	2013/12/23	14.00
60363	HK Bank - Li & Fung R Bear CBBC Dec 2013 A	40,000,000	2013/02/08	2013/12/23	20.40
60378	HK Bank - Lenovo R Bear CBBC Oct 2013 B	40,000,000	2013/02/08	2013/10/07	10.40
60393	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 N	200,000,000	2013/02/08	2013/08/29	50.00
60396	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May13 X	200,000,000	2013/02/08	2013/05/30	50.00
60423	UBS AG - CUni R Bull CBBC Sep 2013 A	100,000,000	2013/02/08	2013/09/16	25.00
60422	UBS AG - CUni R Bear CBBC Jul 2013 A	100,000,000	2013/02/08	2013/07/22	25.00
60420	UBS AG - A50 China R Bull CBBC Dec 2013 A	100,000,000	2013/02/08	2013/12/30	25.00
60419	UBS AG - A50 China R Bear CBBC Oct 2013 A	100,000,000	2013/02/08	2013/10/21	25.50
60388	UBS AG - HSI R Bull CBBC Jun 2013 D	300,000,000	2013/02/08	2013/06/27	75.00
60410	UBS AG - HSI R Bull CBBC Aug 2013 Q	300,000,000	2013/02/08	2013/08/29	75.00
60385	UBS AG - HSI R Bull CBBC Sep 2013 P	300,000,000	2013/02/08	2013/09/27	75.00
60416	UBS AG - HSI R Bull CBBC Sep 2013 Q	300,000,000	2013/02/08	2013/09/27	75.00
60409	UBS AG - HSI R Bear CBBC May 2013 U	300,000,000	2013/02/08	2013/05/30	75.00

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60408	UBS AG - HSI R Bear CBBC Aug 2013 J	300,000,000	2013/02/08	2013/08/29	75.00
60446	Credit Suisse AG - HSI R Bull CBBC Oct 2013 I	350,000,000	2013/02/14	2013/10/30	87.50
60447	Credit Suisse AG - HSI R Bull CBBC Oct 2013 J	350,000,000	2013/02/14	2013/10/30	87.50
60471	Credit Suisse AG - HSI R Bear CBBC Jun 2013 W	350,000,000	2013/02/14	2013/06/27	87.50
60443	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 X	150,000,000	2013/02/14	2013/11/28	37.50
60445	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 Y	150,000,000	2013/02/14	2013/11/28	37.50
60487	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 C	150,000,000	2013/02/14	2013/07/30	37.50
60441	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 I	150,000,000	2013/02/14	2013/07/30	37.50
60491	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 J	150,000,000	2013/02/14	2013/07/30	37.50
60472	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 D	150,000,000	2013/02/14	2013/05/30	38.55
60483	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 E	150,000,000	2013/02/14	2013/05/30	38.40
60484	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 D	150,000,000	2013/02/14	2013/05/30	38.55
60485	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 E	150,000,000	2013/02/14	2013/05/30	37.80
60486	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 F	150,000,000	2013/02/14	2013/05/30	38.10
60438	HK Bank - A50 R Bull CBBC Nov 2013 A	100,000,000	2013/02/14	2013/11/25	25.00
60439	HK Bank - A50 R Bear CBBC Oct 2013 C	50,000,000	2013/02/14	2013/10/07	13.00
60467	UBS AG - CC Bank R Bull CBBC Dec 2013 A	100,000,000	2013/02/14	2013/12/16	25.00
60466	UBS AG - CC Bank R Bear CBBC Oct 2013 A	100,000,000	2013/02/14	2013/10/07	25.00
60469	UBS AG - Sinopec Corp R Bull CBBC Oct 2013 B	100,000,000	2013/02/14	2013/10/28	25.00
60455	UBS AG - HSI R Bull CBBC Jul 2013 M	300,000,000	2013/02/14	2013/07/30	75.00
60454	UBS AG - HSI R Bull CBBC Oct 2013 K	300,000,000	2013/02/14	2013/10/30	75.00
60453	UBS AG - HSI R Bull CBBC Nov 2013 B	300,000,000	2013/02/14	2013/11/28	75.00
60442	UBS AG - HSI R Bear CBBC Jul 2013 O	300,000,000	2013/02/14	2013/07/30	75.00
60457	UBS AG - Ping An R Bull CBBC Oct 2013 A	100,000,000	2013/02/14	2013/10/21	25.00
60456	UBS AG - Ping An R Bear CBBC Aug 2013 A	100,000,000	2013/02/14	2013/08/12	25.00
60501	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/02/15	2013/12/30	75.00
60504	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/02/15	2013/12/30	75.00
60540	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/02/15	2013/12/30	75.00
60519	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 R	300,000,000	2013/02/15	2013/05/30	75.00
60522	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 S	300,000,000	2013/02/15	2013/05/30	75.00
60526	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 T	300,000,000	2013/02/15	2013/05/30	75.00
60533	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 U	300,000,000	2013/02/15	2013/05/30	75.00
60599	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 V	300,000,000	2013/02/15	2013/05/30	75.00
60505	Credit Suisse AG - HSI R Bull CBBC Sep 2013 P	350,000,000	2013/02/15	2013/09/27	87.50
60506	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Q	350,000,000	2013/02/15	2013/09/27	87.50
60507	Credit Suisse AG - HSI R Bull CBBC Sep 2013 R	350,000,000	2013/02/15	2013/09/27	87.50
60508	Credit Suisse AG - HSI R Bull CBBC Sep 2013 S	350,000,000	2013/02/15	2013/09/27	87.50
60539	Credit Suisse AG - HSI R Bull CBBC Sep 2013 U	350,000,000	2013/02/15	2013/09/27	87.50
60542	Credit Suisse AG - HSI R Bull CBBC Sep 2013 V	350,000,000	2013/02/15	2013/09/27	87.50
60602	Credit Suisse AG - HSI R Bear CBBC May 2013 A	350,000,000	2013/02/15	2013/05/30	87.50
60611	Credit Suisse AG - HSI R Bear CBBC May 2013 B	350,000,000	2013/02/15	2013/05/30	87.50
60606	Credit Suisse AG - HSI R Bear CBBC May 2013 E	350,000,000	2013/02/15	2013/05/30	87.50
60610	Credit Suisse AG - HSI R Bear CBBC May 2013 J	350,000,000	2013/02/15	2013/05/30	87.50
60612	Credit Suisse AG - HSI R Bear CBBC Jun 2013 X	350,000,000	2013/02/15	2013/06/27	87.50
60509	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 A	150,000,000	2013/02/15	2013/10/30	37.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60510	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 B	150,000,000	2013/02/15	2013/10/30	37.50
60514	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 C	150,000,000	2013/02/15	2013/10/30	37.50
60516	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 D	150,000,000	2013/02/15	2013/10/30	37.50
60517	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 E	150,000,000	2013/02/15	2013/10/30	37.50
60518	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 F	150,000,000	2013/02/15	2013/10/30	37.50
60580	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 G	150,000,000	2013/02/15	2013/10/30	37.50
60581	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 H	150,000,000	2013/02/15	2013/10/30	37.50
60585	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 K	150,000,000	2013/02/15	2013/07/30	37.50
60587	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 L	150,000,000	2013/02/15	2013/07/30	37.50
60588	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 M	150,000,000	2013/02/15	2013/07/30	37.50
60589	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 O	150,000,000	2013/02/15	2013/07/30	37.50
60613	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 P	150,000,000	2013/02/15	2013/07/30	37.50
60614	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 Q	150,000,000	2013/02/15	2013/07/30	37.50
60615	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 U	150,000,000	2013/02/15	2013/07/30	37.50
60616	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 V	150,000,000	2013/02/15	2013/07/30	37.50
60572	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 G	150,000,000	2013/02/15	2013/05/30	37.65
60573	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 H	150,000,000	2013/02/15	2013/05/30	39.15
60574	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 I	150,000,000	2013/02/15	2013/05/30	37.65
60576	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 J	150,000,000	2013/02/15	2013/05/30	37.65
60578	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 K	150,000,000	2013/02/15	2013/05/30	37.95
60579	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 L	150,000,000	2013/02/15	2013/05/30	37.65
60617	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 M	150,000,000	2013/02/15	2013/05/30	38.40
60618	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 N	150,000,000	2013/02/15	2013/05/30	37.80
60493	HK Bank - A50 R Bull CBBC Oct 2013 C	100,000,000	2013/02/15	2013/10/21	25.00
60534	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 N	300,000,000	2013/02/15	2013/06/27	75.00
60535	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 O	300,000,000	2013/02/15	2013/06/27	75.00
60536	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 P	300,000,000	2013/02/15	2013/06/27	75.00
60537	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 Q	300,000,000	2013/02/15	2013/06/27	75.00
60538	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 B	300,000,000	2013/02/15	2013/07/30	75.00
60594	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 B	60,000,000	2013/02/15	2013/07/30	15.00
60590	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 A	60,000,000	2013/02/15	2013/07/30	15.00
60591	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 B	60,000,000	2013/02/15	2013/07/30	15.00
60592	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 C	60,000,000	2013/02/15	2013/07/30	15.00
60593	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 D	60,000,000	2013/02/15	2013/07/30	15.00
60568	UBS AG - CC Bank R Bear CBBC Sep 2013 A	100,000,000	2013/02/15	2013/09/16	25.00
60556	UBS AG - China Life R Bear CBBC Aug 2013 A	100,000,000	2013/02/15	2013/08/12	25.00
60569	UBS AG - Sinopec Corp R Bear CBBC Aug 2013 A	100,000,000	2013/02/15	2013/08/12	25.00
60624	UBS AG - HSCEI R Bear CBBC May 2013 D	100,000,000	2013/02/15	2013/05/30	25.00
60500	UBS AG - HSI R Bull CBBC Jun 2013 E	300,000,000	2013/02/15	2013/06/27	75.00
60499	UBS AG - HSI R Bull CBBC Aug 2013 B	300,000,000	2013/02/15	2013/08/29	75.00
60494	UBS AG - HSI R Bull CBBC Aug 2013 W	300,000,000	2013/02/15	2013/08/29	75.00
60553	UBS AG - HSI R Bull CBBC Sep 2013 R	300,000,000	2013/02/15	2013/09/27	75.00
60554	UBS AG - HSI R Bull CBBC Oct 2013 L	300,000,000	2013/02/15	2013/10/30	75.00
60555	UBS AG - HSI R Bull CBBC Oct 2013 M	300,000,000	2013/02/15	2013/10/30	75.00
60621	UBS AG - HSI R Bear CBBC May 2013 V	300,000,000	2013/02/15	2013/05/30	75.00

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60622	UBS AG - HSI R Bear CBBC May 2013 Y	300,000,000	2013/02/15	2013/05/30	75.00
60620	UBS AG - HSI R Bear CBBC Jun 2013 Z	300,000,000	2013/02/15	2013/06/27	75.00
60619	UBS AG - HSI R Bear CBBC Jul 2013 P	300,000,000	2013/02/15	2013/07/30	75.00
60679	Credit Suisse AG - HSI R Bull CBBC Oct 2013 K	350,000,000	2013/02/18	2013/10/30	87.50
60680	Credit Suisse AG - HSI R Bull CBBC Oct 2013 L	350,000,000	2013/02/18	2013/10/30	87.50
60643	Credit Suisse AG - HSI R Bear CBBC May 2013 C	350,000,000	2013/02/18	2013/05/30	87.50
60646	Credit Suisse AG - HSI R Bear CBBC Jun 2013 A	350,000,000	2013/02/18	2013/06/27	87.50
60644	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Y	350,000,000	2013/02/18	2013/06/27	87.50
60645	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Z	350,000,000	2013/02/18	2013/06/27	87.50
60683	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 F	150,000,000	2013/02/18	2013/05/30	38.40
60684	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 G	150,000,000	2013/02/18	2013/05/30	38.40
60685	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 H	150,000,000	2013/02/18	2013/05/30	37.95
60627	HK Bank - HSCEI R Bear CBBC Aug 2013 C	68,000,000	2013/02/18	2013/08/29	17.00
60628	HK Bank - HSI R Bull CBBC Sep 2013 F	100,000,000	2013/02/18	2013/09/27	25.00
60625	HK Bank - HSI R Bear CBBC Aug 2013 E	100,000,000	2013/02/18	2013/08/29	25.00
60626	HK Bank - HSI R Bear CBBC Sep 2013 G	100,000,000	2013/02/18	2013/09/27	25.00
60629	HK Bank - HSI R Bear CBBC Nov 2013 H	100,000,000	2013/02/18	2013/11/28	25.00
60669	UBS AG - China Tel R Bull CBBC Oct 2013 A	100,000,000	2013/02/18	2013/10/28	25.00
60647	UBS AG - China Life R Bull CBBC Jul 2013 C	100,000,000	2013/02/18	2013/07/22	41.50
60668	UBS AG - CNOOC R Bull CBBC Oct 2013 B	100,000,000	2013/02/18	2013/10/21	25.00
60652	UBS AG - CNOOC R Bear CBBC Aug 2013 A	100,000,000	2013/02/18	2013/08/12	31.50
60649	UBS AG - CPIC R Bull CBBC Dec 2013 A	50,000,000	2013/02/18	2013/12/09	30.00
60648	UBS AG - CPIC R Bear CBBC Aug 2013 A	50,000,000	2013/02/18	2013/08/19	30.50
60678	UBS AG - HSBC R Bear CBBC Aug 2013 A	100,000,000	2013/02/18	2013/08/09	25.00
60681	UBS AG - HSI R Bull CBBC Jul 2013 N	300,000,000	2013/02/18	2013/07/30	75.00
60682	UBS AG - HSI R Bull CBBC Jul 2013 S	300,000,000	2013/02/18	2013/07/30	75.00
60630	UBS AG - HSI R Bear CBBC May 2013 C	300,000,000	2013/02/18	2013/05/30	75.00
60639	UBS AG - HSI R Bear CBBC Jun 2013 C	300,000,000	2013/02/18	2013/06/27	75.00
60631	UBS AG - HSI R Bear CBBC Jul 2013 Q	300,000,000	2013/02/18	2013/07/30	75.00
60675	UBS AG - Li & Fung R Bull CBBC Oct 2013 B	100,000,000	2013/02/18	2013/10/21	25.00
60673	UBS AG - Li & Fung R Bull CBBC Nov 2013 A	100,000,000	2013/02/18	2013/11/25	34.00
60672	UBS AG - Li & Fung R Bear CBBC Jul 2013 B	100,000,000	2013/02/18	2013/07/08	25.00
60671	UBS AG - Li & Fung R Bear CBBC Sep 2013 A	100,000,000	2013/02/18	2013/09/23	30.00
60651	UBS AG - Lenovo R Bull CBBC Dec 2013 B	100,000,000	2013/02/18	2013/12/16	25.00
60650	UBS AG - Lenovo R Bear CBBC Jul 2013 A	100,000,000	2013/02/18	2013/07/08	25.00
60670	UBS AG - TCH R Bear CBBC Jul 2013 A	100,000,000	2013/02/18	2013/07/29	43.00
60704	Credit Suisse AG - CSOP A50 ETF R Bull CBBC May 2013 A	50,000,000	2013/02/19	2013/05/24	12.50
60705	Credit Suisse AG - CSOP A50 ETF R Bull CBBC May 2013 B	50,000,000	2013/02/19	2013/05/24	12.50
60707	Credit Suisse AG - CSOP A50 ETF R Bear CBBC May 2013 A	50,000,000	2013/02/19	2013/05/24	12.50
60708	Credit Suisse AG - CSOP A50 ETF R Bear CBBC May 2013 B	50,000,000	2013/02/19	2013/05/24	12.50
60710	Credit Suisse AG - HSI R Bull CBBC Jul 2013 L	350,000,000	2013/02/19	2013/07/30	87.50
60713	Credit Suisse AG - HSI R Bear CBBC Jun 2013 B	350,000,000	2013/02/19	2013/06/27	87.50
60714	Credit Suisse AG - HSI R Bear CBBC Jun 2013 C	350,000,000	2013/02/19	2013/06/27	87.50
60738	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Q	350,000,000	2013/02/19	2013/07/30	87.50
60740	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 N	150,000,000	2013/02/19	2013/07/30	37.50

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60741	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 R	150,000,000	2013/02/19	2013/07/30	37.50
60691	HK Bank - ABC R Bear CBBC Jul 2013 A	40,000,000	2013/02/19	2013/07/15	10.00
60699	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2013 A	100,000,000	2013/02/19	2013/08/26	25.00
60698	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2013 A	100,000,000	2013/02/19	2013/09/30	25.00
60696	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2013 A	100,000,000	2013/02/19	2013/10/28	25.00
60694	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2013 A	50,000,000	2013/02/19	2013/08/26	12.50
60693	HK Bank - CSOP A50 ETF R Bear CBBC Sep 2013 A	50,000,000	2013/02/19	2013/09/30	12.50
60692	HK Bank - Evergrande R Bull CBBC Nov 2013 A	50,000,000	2013/02/19	2013/11/25	12.50
60731	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2013 A	100,000,000	2013/02/19	2013/06/10	25.00
60730	UBS AG - CSOP A50 ETF R Bear CBBC Jun 2013 A	100,000,000	2013/02/19	2013/06/24	25.00
60729	UBS AG - Evergrande R Bull CBBC Sep 2013 A	100,000,000	2013/02/19	2013/09/30	25.00
60728	UBS AG - Evergrande R Bear CBBC Sep 2013 A	100,000,000	2013/02/19	2013/09/16	25.00
60737	UBS AG - HKEx R Bear CBBC Jun 2013 A	100,000,000	2013/02/19	2013/06/17	25.00
60715	UBS AG - HSI R Bull CBBC Jun 2013 F	300,000,000	2013/02/19	2013/06/27	75.00
60722	UBS AG - HSI R Bear CBBC May 2013 X	300,000,000	2013/02/19	2013/05/30	75.00
60717	UBS AG - HSI R Bear CBBC Jul 2013 R	300,000,000	2013/02/19	2013/07/30	75.00
60721	UBS AG - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/02/19	2013/08/29	75.00
60727	UBS AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/02/19	2013/08/29	75.00
60732	UBS AG - Ping An R Bear CBBC Jun 2013 B	100,000,000	2013/02/19	2013/06/10	25.00
60791	Credit Suisse AG - HSI R Bull CBBC Jul 2013 M	350,000,000	2013/02/20	2013/07/30	87.50
60754	Credit Suisse AG - HSI R Bear CBBC Jul 2013 R	350,000,000	2013/02/20	2013/07/30	87.50
60758	HK Bank - AIA R Bull CBBC Sep 2013 C	60,000,000	2013/02/20	2013/09/09	15.00
60760	HK Bank - COVS R Bear CBBC Dec 2013 A	40,000,000	2013/02/20	2013/12/23	10.00
60766	HK Bank - China Life R Bear CBBC Jul 2013 C	50,000,000	2013/02/20	2013/07/29	12.50
60765	HK Bank - China Shenhua R Bear CBBC Dec 2013 A	40,000,000	2013/02/20	2013/12/23	10.00
60756	HK Bank - A50 R Bear CBBC Oct 2013 D	50,000,000	2013/02/20	2013/10/28	12.50
60761	HK Bank - SHK Ppt R Bear CBBC Sep 2013 A	50,000,000	2013/02/20	2013/09/30	12.50
60742	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 L	300,000,000	2013/02/20	2013/07/30	75.00
60744	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 R	300,000,000	2013/02/20	2013/06/27	75.00
60745	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 S	300,000,000	2013/02/20	2013/06/27	75.00
60781	UBS AG - Anhui Conch R Bear CBBC Aug 2013 A	50,000,000	2013/02/20	2013/08/05	25.50
60780	UBS AG - AIA R Bull CBBC Dec 2013 B	100,000,000	2013/02/20	2013/12/30	25.00
60782	UBS AG - CUni R Bull CBBC Aug 2013 A	100,000,000	2013/02/20	2013/08/19	34.50
60778	UBS AG - CSOP A50 ETF R Bull CBBC May 2013 A	100,000,000	2013/02/20	2013/05/27	25.00
60776	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2013 B	100,000,000	2013/02/20	2013/06/24	25.00
60777	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2013 C	100,000,000	2013/02/20	2013/06/03	25.00
60775	UBS AG - CSOP A50 ETF R Bull CBBC Jul 2013 A	100,000,000	2013/02/20	2013/07/08	25.00
60772	UBS AG - CSOP A50 ETF R Bear CBBC May 2013 A	100,000,000	2013/02/20	2013/05/27	25.00
60769	UBS AG - CSOP A50 ETF R Bear CBBC Jul 2013 A	100,000,000	2013/02/20	2013/07/22	25.00
60792	UBS AG - HSI R Bull CBBC Aug 2013 C	300,000,000	2013/02/20	2013/08/29	75.00
60768	UBS AG - HSI R Bear CBBC Jul 2013 S	300,000,000	2013/02/20	2013/07/30	75.00
60779	UBS AG - ICBC R Bear CBBC Jul 2013 C	100,000,000	2013/02/20	2013/07/02	25.00
65462 [#]	HK Bank - Sinopec Corp R Bull CBBC Oct 2013 A	140,000,000	2013/02/20	2013/10/07	10.64
60810	Credit Suisse AG - HSI R Bull CBBC Jul 2013 N	350,000,000	2013/02/21	2013/07/30	87.50
60800	Credit Suisse AG - HSI R Bear CBBC Jul 2013 S	350,000,000	2013/02/21	2013/07/30	87.50

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60809	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 I	150,000,000	2013/02/21	2013/10/30	37.50
60799	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 W	150,000,000	2013/02/21	2013/07/30	37.50
60814	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 I	150,000,000	2013/02/21	2013/05/30	38.40
60796	HK Bank - AIA R Bull CBBC Dec 2013 B	60,000,000	2013/02/21	2013/12/23	15.00
60797	HK Bank - AIA R Bear CBBC Dec 2013 C	50,000,000	2013/02/21	2013/12/23	17.50
60794	HK Bank - CUni R Bull CBBC Oct 2013 B	40,000,000	2013/02/21	2013/10/28	11.60
60795	HK Bank - CUni R Bear CBBC Oct 2013 B	40,000,000	2013/02/21	2013/10/28	10.00
60793	HK Bank - CNOOC R Bear CBBC Aug 2013 A	40,000,000	2013/02/21	2013/08/12	10.00
60802	UBS AG - HSI R Bull CBBC Sep 2013 S	300,000,000	2013/02/21	2013/09/27	75.00
60803	UBS AG - HSI R Bull CBBC Sep 2013 T	300,000,000	2013/02/21	2013/09/27	75.00
60801	UBS AG - HSI R Bull CBBC Nov 2013 C	350,000,000	2013/02/21	2013/11/28	87.50
60798	UBS AG - HSI R Bear CBBC Sep 2013 G	300,000,000	2013/02/21	2013/09/27	75.00
60822	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/02/22	2013/12/30	75.00
60823	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/02/22	2013/12/30	75.00
60841	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 W	300,000,000	2013/02/22	2013/05/30	75.00
60853	Credit Suisse AG - HSI R Bull CBBC Aug 2013 C	350,000,000	2013/02/22	2013/08/29	87.50
60860	Credit Suisse AG - HSI R Bull CBBC Aug 2013 I	350,000,000	2013/02/22	2013/08/29	87.50
60836	Credit Suisse AG - HSI R Bear CBBC May 2013 D	350,000,000	2013/02/22	2013/05/30	87.50
60824	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 J	150,000,000	2013/02/22	2013/10/30	37.50
60832	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 K	150,000,000	2013/02/22	2013/10/30	37.50
60842	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 X	150,000,000	2013/02/22	2013/07/30	37.50
60821	HK Bank - Sinopec Corp R Bear CBBC Jul 2013 A	40,000,000	2013/02/22	2013/07/22	10.00
60817	HK Bank - Evergrande R Bull CBBC Sep 2013 B	60,000,000	2013/02/22	2013/09/30	15.00
60815	HK Bank - HKEX R Bear CBBC Aug 2013 A	50,000,000	2013/02/22	2013/08/05	12.50
60819	HK Bank - Hutchison R Bear CBBC Aug 2013 A	50,000,000	2013/02/22	2013/08/12	12.50
60846	UBS AG - ABC R Bull CBBC Nov 2013 A	100,000,000	2013/02/22	2013/11/04	25.00
60850	UBS AG - Cheung Kong R Bear CBBC Aug 2013 A	100,000,000	2013/02/22	2013/08/12	25.00
60833	UBS AG - HSI R Bull CBBC Jul 2013 R	300,000,000	2013/02/22	2013/07/30	75.00
60844	UBS AG - HSI R Bear CBBC Sep 2013 H	350,000,000	2013/02/22	2013/09/27	87.50
60849	UBS AG - SHK Ppt R Bear CBBC Sep 2013 B	100,000,000	2013/02/22	2013/09/23	25.00
60881	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/02/25	2013/12/30	75.00
60882	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/02/25	2013/12/30	75.00
60883	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/02/25	2013/12/30	75.00
60869	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/02/25	2013/12/30	75.00
60873	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/02/25	2013/12/30	75.00
60875	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/02/25	2013/12/30	75.00
60876	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/02/25	2013/12/30	75.00
60877	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/02/25	2013/12/30	75.00
60879	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/02/25	2013/12/30	75.00
60880	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/02/25	2013/12/30	75.00
60891	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 K	300,000,000	2013/02/25	2013/05/30	75.00
60892	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 R	300,000,000	2013/02/25	2013/05/30	75.00
60884	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 X	300,000,000	2013/02/25	2013/05/30	75.00
60889	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 Y	300,000,000	2013/02/25	2013/05/30	75.00
60890	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2013 Z	300,000,000	2013/02/25	2013/05/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60893	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 A	300,000,000	2013/02/25	2013/06/27	75.00
60894	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 B	300,000,000	2013/02/25	2013/06/27	75.00
60896	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 C	300,000,000	2013/02/25	2013/06/27	75.00
60897	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 D	300,000,000	2013/02/25	2013/06/27	75.00
60899	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 E	300,000,000	2013/02/25	2013/06/27	75.00
60862	Credit Suisse AG - HSI R Bull CBBC Sep 2013 W	300,000,000	2013/02/25	2013/09/27	75.00
60904	Credit Suisse AG - HSI R Bear CBBC May 2013 B	300,000,000	2013/02/25	2013/05/30	75.00
60863	Credit Suisse AG - HSI R Bear CBBC May 2013 F	300,000,000	2013/02/25	2013/05/30	75.00
60866	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 L	150,000,000	2013/02/25	2013/10/30	37.50
60867	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 M	150,000,000	2013/02/25	2013/10/30	37.50
60868	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 K	150,000,000	2013/02/25	2013/07/30	37.50
60905	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 O	150,000,000	2013/02/25	2013/05/30	37.80
60903	UBS AG - A50 China R Bear CBBC Jul 2013 A	100,000,000	2013/02/25	2013/07/29	25.00
60864	UBS AG - HSI R Bull CBBC Oct 2013 N	300,000,000	2013/02/25	2013/10/30	75.00
60865	UBS AG - HSI R Bull CBBC Oct 2013 O	350,000,000	2013/02/25	2013/10/30	87.50
60902	UBS AG - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/02/25	2013/07/30	75.00
60931	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/02/26	2013/12/30	75.00
60936	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/02/26	2013/12/30	75.00
60937	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/02/26	2013/12/30	75.00
60945	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 F	300,000,000	2013/02/26	2013/06/27	75.00
60946	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 G	300,000,000	2013/02/26	2013/06/27	75.00
60947	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 H	300,000,000	2013/02/26	2013/06/27	75.00
60951	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 I	300,000,000	2013/02/26	2013/06/27	75.00
60952	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 J	300,000,000	2013/02/26	2013/06/27	75.00
60912	Credit Suisse AG - HSI R Bull CBBC Aug 2013 E	300,000,000	2013/02/26	2013/08/29	75.00
60916	Credit Suisse AG - HSI R Bull CBBC Aug 2013 F	300,000,000	2013/02/26	2013/08/29	75.00
60944	Credit Suisse AG - HSI R Bear CBBC May 2013 C	300,000,000	2013/02/26	2013/05/30	75.00
60942	Credit Suisse AG - HSI R Bear CBBC May 2013 E	300,000,000	2013/02/26	2013/05/30	75.00
60943	Credit Suisse AG - HSI R Bear CBBC May 2013 J	300,000,000	2013/02/26	2013/05/30	75.00
60913	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 N	150,000,000	2013/02/26	2013/10/30	37.50
60915	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 L	150,000,000	2013/02/26	2013/07/30	37.50
60938	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 P	150,000,000	2013/02/26	2013/07/30	37.50
60939	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 Q	150,000,000	2013/02/26	2013/07/30	37.50
60958	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 P	150,000,000	2013/02/26	2013/05/30	38.70
60959	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 Q	150,000,000	2013/02/26	2013/05/30	37.80
60909	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2013 A	100,000,000	2013/02/26	2013/06/24	25.00
60910	HK Bank - CSOP A50 ETF R Bear CBBC Jun 2013 A	50,000,000	2013/02/26	2013/06/24	12.50
60906	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 A	100,000,000	2013/02/26	2013/09/27	25.00
60907	Standard Chartered Bank - HSI R Bear CBBC May 2013 B	100,000,000	2013/02/26	2013/05/30	25.00
60908	Standard Chartered Bank - HSI R Bear CBBC May 2013 C	100,000,000	2013/02/26	2013/05/30	25.00
60926	UBS AG - CSOP A50 ETF R Bull CBBC Jul 2013 B	100,000,000	2013/02/26	2013/07/29	25.00
60927	UBS AG - CSOP A50 ETF R Bear CBBC Jun 2013 B	100,000,000	2013/02/26	2013/06/03	25.00
60928	UBS AG - A50 China R Bear CBBC Jun 2013 A	100,000,000	2013/02/26	2013/06/17	25.00
60929	UBS AG - HSI R Bull CBBC Jun 2013 Q	300,000,000	2013/02/26	2013/06/27	75.00
60911	UBS AG - HSI R Bull CBBC Aug 2013 G	350,000,000	2013/02/26	2013/08/29	87.50

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60930	UBS AG - HSI R Bull CBBC Oct 2013 P	350,000,000	2013/02/26	2013/10/30	87.50
60953	UBS AG - HSI R Bear CBBC May 2013 D	300,000,000	2013/02/26	2013/05/30	75.00
60954	UBS AG - HSI R Bear CBBC Jun 2013 B	300,000,000	2013/02/26	2013/06/27	75.00
60955	UBS AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/02/26	2013/08/29	75.00
60957	UBS AG - HSI R Bear CBBC Nov 2013 C	300,000,000	2013/02/26	2013/11/28	75.00
60970	Credit Suisse AG - CSOP A50 ETF R Bull CBBC May 2013 C	50,000,000	2013/02/27	2013/05/31	12.50
60971	Credit Suisse AG - CSOP A50 ETF R Bear CBBC May 2013 C	50,000,000	2013/02/27	2013/05/31	12.50
60979	Credit Suisse AG - HSI R Bull CBBC Jul 2013 B	300,000,000	2013/02/27	2013/07/30	75.00
60983	Credit Suisse AG - HSI R Bull CBBC Jul 2013 J	300,000,000	2013/02/27	2013/07/30	75.00
60975	Credit Suisse AG - HSI R Bear CBBC Jun 2013 D	300,000,000	2013/02/27	2013/06/27	75.00
60973	Credit Suisse AG - HSI R Bear CBBC Jun 2013 O	300,000,000	2013/02/27	2013/06/27	75.00
60978	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 U	150,000,000	2013/02/27	2013/07/30	37.50
61005	Bank of East Asia - China Mobile R Bull CBBC Dec 2013 A	60,000,000	2013/02/27	2013/12/20	15.00
60998	Bank of East Asia - CSOP A50 ETF R Bull CBBC Sep 2013 A	60,000,000	2013/02/27	2013/09/27	15.00
61001	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2013 A	50,000,000	2013/02/27	2013/12/20	18.50
60999	Bank of East Asia - Sands China R Bull CBBC Dec 2013 A	100,000,000	2013/02/27	2013/12/20	25.00
60994	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 J	150,000,000	2013/02/27	2013/05/30	37.65
60995	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 K	150,000,000	2013/02/27	2013/05/30	37.50
60966	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2013 A	100,000,000	2013/02/27	2013/07/15	25.00
60965	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2013 B	100,000,000	2013/02/27	2013/08/12	25.00
60967	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2013 B	50,000,000	2013/02/27	2013/08/12	12.50
60968	HK Bank - HSCEI R Bear CBBC Oct 2013 B	68,000,000	2013/02/27	2013/10/30	17.00
60962	Standard Chartered Bank - HSI R Bear CBBC May 2013 D	100,000,000	2013/02/27	2013/05/30	25.00
60963	Standard Chartered Bank - HSI R Bear CBBC May 2013 E	100,000,000	2013/02/27	2013/05/30	25.00
60964	Standard Chartered Bank - HSI R Bear CBBC May 2013 F	100,000,000	2013/02/27	2013/05/30	25.00
60992	UBS AG - CUni R Bear CBBC Jun 2013 A	100,000,000	2013/02/27	2013/06/17	25.00
60991	UBS AG - China Life R Bear CBBC Jul 2013 A	100,000,000	2013/02/27	2013/07/15	25.00
60990	UBS AG - HSCEI R Bear CBBC Jul 2013 A	100,000,000	2013/02/27	2013/07/30	25.00
60985	UBS AG - HSI R Bull CBBC Jul 2013 Y	300,000,000	2013/02/27	2013/07/30	75.00
60988	UBS AG - HSI R Bull CBBC Nov 2013 D	350,000,000	2013/02/27	2013/11/28	87.50
60977	UBS AG - HSI R Bear CBBC Jul 2013 U	300,000,000	2013/02/27	2013/07/30	75.00
61040	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Dec 2013 F	200,000,000	2013/02/28	2013/12/30	50.00
61017	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/02/28	2013/12/30	75.00
61019	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/02/28	2013/12/30	75.00
61020	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/02/28	2013/12/30	75.00
61021	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/02/28	2013/12/30	75.00
61041	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 K	300,000,000	2013/02/28	2013/06/27	75.00
61042	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 L	300,000,000	2013/02/28	2013/06/27	75.00
61043	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 M	300,000,000	2013/02/28	2013/06/27	75.00
61044	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 N	300,000,000	2013/02/28	2013/06/27	75.00
61048	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 O	300,000,000	2013/02/28	2013/06/27	75.00
61025	Credit Suisse AG - HSI R Bull CBBC Oct 2013 M	300,000,000	2013/02/28	2013/10/30	75.00
61026	Credit Suisse AG - HSI R Bull CBBC Oct 2013 N	300,000,000	2013/02/28	2013/10/30	75.00
61027	Credit Suisse AG - HSI R Bull CBBC Oct 2013 O	300,000,000	2013/02/28	2013/10/30	75.00
61028	Credit Suisse AG - HSI R Bull CBBC Oct 2013 P	300,000,000	2013/02/28	2013/10/30	75.00

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61061	Credit Suisse AG - HSI R Bear CBBC Jun 2013 E	300,000,000	2013/02/28	2013/06/27	75.00
61062	Credit Suisse AG - HSI R Bear CBBC Jun 2013 V	300,000,000	2013/02/28	2013/06/27	75.00
61064	Credit Suisse AG - HSI R Bear CBBC Jun 2013 X	300,000,000	2013/02/28	2013/06/27	75.00
61065	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Y	300,000,000	2013/02/28	2013/06/27	75.00
61022	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 O	150,000,000	2013/02/28	2013/10/30	37.50
61023	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 P	150,000,000	2013/02/28	2013/10/30	37.50
61024	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Q	150,000,000	2013/02/28	2013/10/30	37.50
61039	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 R	150,000,000	2013/02/28	2013/10/30	37.50
61038	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 N	150,000,000	2013/02/28	2013/07/30	37.50
61037	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jul 2013 V	150,000,000	2013/02/28	2013/07/30	37.50
61049	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 A	150,000,000	2013/02/28	2013/08/29	37.50
61077	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 L	150,000,000	2013/02/28	2013/05/30	39.00
61078	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2013 M	150,000,000	2013/02/28	2013/05/30	37.80
61057	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 R	150,000,000	2013/02/28	2013/05/30	37.95
61058	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 S	150,000,000	2013/02/28	2013/05/30	38.10
61059	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2013 T	150,000,000	2013/02/28	2013/05/30	37.80
61009	HK Bank - COVS R Bear CBBC Dec 2013 B	40,000,000	2013/02/28	2013/12/16	12.80
61010	HK Bank - China Life R Bear CBBC Aug 2013 C	50,000,000	2013/02/28	2013/08/26	14.00
61013	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2013 B	100,000,000	2013/02/28	2013/09/30	25.00
61015	HK Bank - CSOP A50 ETF R Bear CBBC Jul 2013 A	50,000,000	2013/02/28	2013/07/15	12.50
61011	HK Bank - China Shenhua R Bear CBBC Oct 2013 C	50,000,000	2013/02/28	2013/10/28	12.50
61016	HK Bank - A50 R Bear CBBC Jun 2013 C	50,000,000	2013/02/28	2013/06/24	12.50
61032	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/02/28	2013/07/30	75.00
61034	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 D	300,000,000	2013/02/28	2013/07/30	75.00
61035	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 E	300,000,000	2013/02/28	2013/07/30	75.00
61036	J P Morgan SP BV - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/02/28	2013/08/29	75.00
61070	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 E	60,000,000	2013/02/28	2013/07/30	15.00
61071	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 F	60,000,000	2013/02/28	2013/07/30	15.00
61072	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 G	60,000,000	2013/02/28	2013/07/30	15.00
61073	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 H	60,000,000	2013/02/28	2013/07/30	15.00
61074	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 I	60,000,000	2013/02/28	2013/07/30	15.00
61006	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 B	100,000,000	2013/02/28	2013/09/27	25.00
61007	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 C	100,000,000	2013/02/28	2013/09/27	25.00
61008	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 D	100,000,000	2013/02/28	2013/09/27	25.00
61056	UBS AG - CSOP A50 ETF R Bull CBBC Jul 2013 C	100,000,000	2013/02/28	2013/07/08	25.00
61055	UBS AG - CSOP A50 ETF R Bull CBBC Aug 2013 A	100,000,000	2013/02/28	2013/08/12	25.00
61054	UBS AG - CSOP A50 ETF R Bear CBBC Jun 2013 C	100,000,000	2013/02/28	2013/06/10	25.00
61053	UBS AG - A50 China R Bull CBBC Dec 2013 B	100,000,000	2013/02/28	2013/12/30	25.00
61052	UBS AG - A50 China R Bear CBBC Jul 2013 B	100,000,000	2013/02/28	2013/07/08	25.00
61051	UBS AG - HSCEI R Bear CBBC Jun 2013 A	100,000,000	2013/02/28	2013/06/27	25.00
61050	UBS AG - HSI R Bull CBBC Jun 2013 A	300,000,000	2013/02/28	2013/06/27	75.00
61029	UBS AG - HSI R Bull CBBC Jul 2013 D	300,000,000	2013/02/28	2013/07/30	75.00
61030	UBS AG - HSI R Bull CBBC Jul 2013 I	300,000,000	2013/02/28	2013/07/30	75.00
61031	UBS AG - HSI R Bull CBBC Sep 2013 U	300,000,000	2013/02/28	2013/09/27	75.00
61069	UBS AG - HSI R Bear CBBC May 2013 N	300,000,000	2013/02/28	2013/05/30	75.00

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61060	UBS AG - HSI R Bear CBBC Jun 2013 D	300,000,000	2013/02/28	2013/06/27	75.00
61066	UBS AG - HSI R Bear CBBC Jun 2013 F	300,000,000	2013/02/28	2013/06/27	75.00
61068	UBS AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/02/28	2013/08/29	75.00
65566#	HK Bank - A50 R Bull CBBC Oct 2013 B	120,000,000	2013/02/28	2013/10/28	11.88
61092	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/03/01	2013/12/30	75.00
61093	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/03/01	2013/12/30	75.00
61094	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/03/01	2013/12/30	75.00
61097	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/03/01	2013/12/30	75.00
61106	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 P	300,000,000	2013/03/01	2013/06/27	75.00
61125	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 Q	300,000,000	2013/03/01	2013/06/27	75.00
61088	Credit Suisse AG - HSI R Bull CBBC Sep 2013 E	300,000,000	2013/03/01	2013/09/27	75.00
61087	Credit Suisse AG - HSI R Bull CBBC Sep 2013 X	300,000,000	2013/03/01	2013/09/27	75.00
61120	Credit Suisse AG - HSI R Bear CBBC Jun 2013 C	300,000,000	2013/03/01	2013/06/27	75.00
61119	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Z	300,000,000	2013/03/01	2013/06/27	75.00
61121	Credit Suisse AG - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/03/01	2013/07/30	75.00
61122	Credit Suisse AG - HSI R Bear CBBC Jul 2013 U	300,000,000	2013/03/01	2013/07/30	75.00
61124	Credit Suisse AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/03/01	2013/07/30	75.00
61098	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 S	150,000,000	2013/03/01	2013/10/30	37.50
61103	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 T	150,000,000	2013/03/01	2013/10/30	37.50
61111	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 U	150,000,000	2013/03/01	2013/10/30	37.50
61117	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 B	150,000,000	2013/03/01	2013/08/29	37.50
61118	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 C	150,000,000	2013/03/01	2013/08/29	37.50
61126	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 A	150,000,000	2013/03/01	2013/06/27	37.95
61128	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 B	150,000,000	2013/03/01	2013/06/27	37.50
61081	HK Bank - Cheung Kong R Bear CBBC Jun 2013 A	50,000,000	2013/03/01	2013/06/24	12.50
61083	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2013 C	100,000,000	2013/03/01	2013/08/26	25.00
61084	HK Bank - CSOP A50 ETF R Bear CBBC Jun 2013 B	50,000,000	2013/03/01	2013/06/24	12.50
61086	HK Bank - A50 R Bear CBBC Jul 2013 D	50,000,000	2013/03/01	2013/07/29	12.50
61079	HK Bank - HSI R Bull CBBC Nov 2013 E	400,000,000	2013/03/01	2013/11/28	100.00
61080	HK Bank - HSI R Bull CBBC Dec 2013 C	400,000,000	2013/03/01	2013/12/30	100.00
61082	HK Bank - SHK Ppt R Bear CBBC Oct 2013 B	50,000,000	2013/03/01	2013/10/07	12.50
61107	UBS AG - CSOP A50 ETF R Bull CBBC Aug 2013 B	100,000,000	2013/03/01	2013/08/26	25.00
61104	UBS AG - HSI R Bull CBBC Aug 2013 A	300,000,000	2013/03/01	2013/08/29	75.00
61105	UBS AG - HSI R Bull CBBC Sep 2013 V	300,000,000	2013/03/01	2013/09/27	75.00
61108	UBS AG - HSI R Bear CBBC Jun 2013 E	300,000,000	2013/03/01	2013/06/27	75.00
61109	UBS AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/03/01	2013/07/30	75.00
61110	UBS AG - HSI R Bear CBBC Sep 2013 I	350,000,000	2013/03/01	2013/09/27	87.50
61155	Credit Suisse AG - HSI R Bull CBBC Sep 2013 T	300,000,000	2013/03/04	2013/09/27	75.00
61147	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Z	300,000,000	2013/03/04	2013/09/27	75.00
61146	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Y	300,000,000	2013/03/04	2013/07/30	75.00
61153	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/03/04	2013/07/30	75.00
61148	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 V	150,000,000	2013/03/04	2013/10/30	37.50
61152	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 D	150,000,000	2013/03/04	2013/08/29	37.50
61141	HK Bank - HSCEI R Bull CBBC Oct 2013 B	100,000,000	2013/03/04	2013/10/30	25.00
61138	HK Bank - HSI R Bear CBBC Jul 2013 F	100,000,000	2013/03/04	2013/07/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61136	HK Bank - HSI R Bear CBBC Aug 2013 F	100,000,000	2013/03/04	2013/08/29	25.00
61140	HK Bank - HSI R Bear CBBC Nov 2013 I	400,000,000	2013/03/04	2013/11/28	100.00
61135	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 C	60,000,000	2013/03/04	2013/07/30	15.00
61131	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 J	60,000,000	2013/03/04	2013/07/30	15.00
61159	UBS AG - HSI R Bull CBBC Jun 2013 B	300,000,000	2013/03/04	2013/06/27	75.00
61168	UBS AG - HSI R Bull CBBC Nov 2013 E	350,000,000	2013/03/04	2013/11/28	87.50
61142	UBS AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/03/04	2013/07/30	75.00
61144	UBS AG - HSI R Bear CBBC Jul 2013 X	300,000,000	2013/03/04	2013/07/30	75.00
61185	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Dec 2013 G	200,000,000	2013/03/05	2013/12/30	50.00
61200	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/03/05	2013/12/30	75.00
61174	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/03/05	2013/12/30	75.00
61199	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/03/05	2013/12/30	75.00
61201	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/03/05	2013/12/30	75.00
61186	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 R	300,000,000	2013/03/05	2013/06/27	75.00
61191	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 S	300,000,000	2013/03/05	2013/06/27	75.00
61192	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 T	300,000,000	2013/03/05	2013/06/27	75.00
61212	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 U	300,000,000	2013/03/05	2013/06/27	75.00
61213	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 V	300,000,000	2013/03/05	2013/06/27	75.00
61175	Credit Suisse AG - HSI R Bull CBBC Aug 2013 G	300,000,000	2013/03/05	2013/08/29	75.00
61177	Credit Suisse AG - HSI R Bull CBBC Aug 2013 H	300,000,000	2013/03/05	2013/08/29	75.00
61202	Credit Suisse AG - HSI R Bull CBBC Aug 2013 M	300,000,000	2013/03/05	2013/08/29	75.00
61206	Credit Suisse AG - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/03/05	2013/07/30	75.00
61207	Credit Suisse AG - HSI R Bear CBBC Jul 2013 B	300,000,000	2013/03/05	2013/07/30	75.00
61208	Credit Suisse AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/03/05	2013/07/30	75.00
61209	Credit Suisse AG - HSI R Bear CBBC Jul 2013 D	300,000,000	2013/03/05	2013/07/30	75.00
61210	Credit Suisse AG - HSI R Bear CBBC Jul 2013 G	300,000,000	2013/03/05	2013/07/30	75.00
61179	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 W	150,000,000	2013/03/05	2013/10/30	37.50
61203	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 X	150,000,000	2013/03/05	2013/10/30	37.50
61205	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Z	150,000,000	2013/03/05	2013/10/30	37.50
61230	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 E	150,000,000	2013/03/05	2013/08/29	37.50
61233	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 F	150,000,000	2013/03/05	2013/08/29	37.50
61234	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 G	150,000,000	2013/03/05	2013/08/29	37.50
61235	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 C	150,000,000	2013/03/05	2013/06/27	38.40
61242	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 D	150,000,000	2013/03/05	2013/06/27	43.50
61243	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 E	150,000,000	2013/03/05	2013/06/27	39.75
61250	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 F	150,000,000	2013/03/05	2013/06/27	38.70
61214	UBS AG - Galaxy Ent R Bull CBBC Nov 2013 A	50,000,000	2013/03/05	2013/11/18	13.75
61183	UBS AG - HSI R Bull CBBC Sep 2013 W	300,000,000	2013/03/05	2013/09/27	75.00
61184	UBS AG - HSI R Bull CBBC Sep 2013 X	300,000,000	2013/03/05	2013/09/27	75.00
61211	UBS AG - HSI R Bull CBBC Sep 2013 Y	350,000,000	2013/03/05	2013/09/27	87.50
61221	UBS AG - HSI R Bull CBBC Nov 2013 F	300,000,000	2013/03/05	2013/11/28	75.00
61216	UBS AG - HSI R Bear CBBC Jun 2013 A	300,000,000	2013/03/05	2013/06/27	75.00
61215	UBS AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/03/05	2013/08/29	75.00
61217	UBS AG - HSI R Bear CBBC Sep 2013 J	350,000,000	2013/03/05	2013/09/27	87.50
61220	UBS AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/03/05	2013/12/30	75.00

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61229	UBS AG - Hutchison R Bear CBBC Oct 2013 A	100,000,000	2013/03/05	2013/10/21	25.00
61228	UBS AG - TCH R Bear CBBC Aug 2013 A	100,000,000	2013/03/05	2013/08/19	31.50
61297	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/03/06	2013/12/30	75.00
61298	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/03/06	2013/12/30	75.00
61292	Credit Suisse AG - HSI R Bull CBBC Jul 2013 K	300,000,000	2013/03/06	2013/07/30	75.00
61294	Credit Suisse AG - HSI R Bull CBBC Jul 2013 L	300,000,000	2013/03/06	2013/07/30	75.00
61281	Credit Suisse AG - HSI R Bear CBBC Jul 2013 H	300,000,000	2013/03/06	2013/07/30	75.00
61279	Credit Suisse AG - HSI R Bear CBBC Jul 2013 L	300,000,000	2013/03/06	2013/07/30	75.00
61290	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 A	150,000,000	2013/03/06	2013/10/30	37.50
61291	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 B	150,000,000	2013/03/06	2013/10/30	37.50
61289	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 H	150,000,000	2013/03/06	2013/08/29	37.50
61301	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 A	150,000,000	2013/03/06	2013/06/27	37.95
61305	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 B	150,000,000	2013/03/06	2013/06/27	37.65
61263	HK Bank - ABC R Bear CBBC Sep 2013 C	40,000,000	2013/03/06	2013/09/30	10.00
61261	HK Bank - CC Bank R Bear CBBC Aug 2013 B	40,000,000	2013/03/06	2013/08/26	10.00
61258	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2013 C	100,000,000	2013/03/06	2013/09/16	25.00
61259	HK Bank - CSOP A50 ETF R Bull CBBC Dec 2013 A	100,000,000	2013/03/06	2013/12/09	25.00
61257	HK Bank - HSCEI R Bear CBBC Aug 2013 D	68,000,000	2013/03/06	2013/08/29	17.00
61270	HK Bank - HSI R Bull CBBC Oct 2013 E	100,000,000	2013/03/06	2013/10/30	25.00
61255	HK Bank - HSI R Bear CBBC Sep 2013 H	100,000,000	2013/03/06	2013/09/27	25.00
61274	HK Bank - TCH R Bear CBBC Aug 2013 B	50,000,000	2013/03/06	2013/08/19	12.50
61309	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 M	300,000,000	2013/03/06	2013/07/30	75.00
61251	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 K	60,000,000	2013/03/06	2013/07/30	15.00
61253	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 L	60,000,000	2013/03/06	2013/07/30	15.00
61275	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 E	100,000,000	2013/03/06	2013/09/27	25.00
61276	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 F	100,000,000	2013/03/06	2013/09/27	25.00
61296	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 G	100,000,000	2013/03/06	2013/09/27	25.00
61277	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 A	100,000,000	2013/03/06	2013/07/30	25.00
61278	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 B	100,000,000	2013/03/06	2013/07/30	25.00
61333	UBS AG - AIA R Bull CBBC Dec 2013 C	100,000,000	2013/03/06	2013/12/16	25.00
61332	UBS AG - AIA R Bear CBBC Nov 2013 A	100,000,000	2013/03/06	2013/11/18	25.00
61326	UBS AG - China Life R Bull CBBC Jun 2013 D	100,000,000	2013/03/06	2013/06/24	49.00
61325	UBS AG - CSOP A50 ETF R Bull CBBC Sep 2013 A	100,000,000	2013/03/06	2013/09/16	25.00
61320	UBS AG - CSOP A50 ETF R Bear CBBC Jul 2013 B	100,000,000	2013/03/06	2013/07/08	25.00
61342	UBS AG - China Shenhua R Bull CBBC Jan 2014 A	100,000,000	2013/03/06	2014/01/13	25.00
61334	UBS AG - China Shenhua R Bear CBBC Dec 2013 A	100,000,000	2013/03/06	2013/12/09	25.00
61316	UBS AG - A50 China R Bull CBBC Jan 2014 A	100,000,000	2013/03/06	2014/01/13	25.00
61313	UBS AG - HSCEI R Bear CBBC Jul 2013 B	100,000,000	2013/03/06	2013/07/30	25.00
61300	UBS AG - HSI R Bull CBBC Nov 2013 G	350,000,000	2013/03/06	2013/11/28	87.50
61288	UBS AG - HSI R Bear CBBC Jul 2013 Y	300,000,000	2013/03/06	2013/07/30	75.00
61310	UBS AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/03/06	2013/08/29	75.00
61312	UBS AG - HSI R Bear CBBC Oct 2013 E	350,000,000	2013/03/06	2013/10/30	87.50
64070	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/03/07	2013/12/30	75.00
63427	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/03/07	2013/12/30	75.00
63507	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/03/07	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63513	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/03/07	2013/12/30	75.00
64068	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/03/07	2013/12/30	75.00
64069	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/03/07	2013/12/30	75.00
63270	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 W	300,000,000	2013/03/07	2013/06/27	75.00
63324	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 X	300,000,000	2013/03/07	2013/06/27	75.00
63566	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 Y	300,000,000	2013/03/07	2013/06/27	75.00
63717	Credit Suisse AG - HSI R Bull CBBC Oct 2013 Q	300,000,000	2013/03/07	2013/10/30	75.00
63750	Credit Suisse AG - HSI R Bull CBBC Oct 2013 R	300,000,000	2013/03/07	2013/10/30	75.00
63809	Credit Suisse AG - HSI R Bull CBBC Oct 2013 S	300,000,000	2013/03/07	2013/10/30	75.00
63664	Credit Suisse AG - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/03/07	2013/11/28	75.00
63697	Credit Suisse AG - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/03/07	2013/11/28	75.00
61343	Credit Suisse AG - HSI R Bear CBBC Jul 2013 M	300,000,000	2013/03/07	2013/07/30	75.00
61344	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Q	300,000,000	2013/03/07	2013/07/30	75.00
63117	Credit Suisse AG - HSI R Bear CBBC Jul 2013 R	300,000,000	2013/03/07	2013/07/30	75.00
63516	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 I	150,000,000	2013/03/07	2013/08/29	37.50
63558	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 J	150,000,000	2013/03/07	2013/08/29	37.50
63645	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 K	150,000,000	2013/03/07	2013/08/29	37.50
63844	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 C	150,000,000	2013/03/07	2013/06/27	37.80
63875	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 D	150,000,000	2013/03/07	2013/06/27	37.50
63876	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 E	150,000,000	2013/03/07	2013/06/27	38.10
63910	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 F	150,000,000	2013/03/07	2013/06/27	37.80
64058	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 G	150,000,000	2013/03/07	2013/06/27	38.70
64059	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 H	150,000,000	2013/03/07	2013/06/27	37.65
63832	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 D	60,000,000	2013/03/07	2013/07/30	15.00
63833	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 E	60,000,000	2013/03/07	2013/07/30	15.00
63173	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 H	100,000,000	2013/03/07	2013/09/27	25.00
63267	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 C	100,000,000	2013/03/07	2013/07/30	25.00
63103	UBS AG - CNBM R Bull CBBC Aug 2013 A	50,000,000	2013/03/07	2013/08/19	12.50
63066	UBS AG - CNBM R Bear CBBC Oct 2013 A	50,000,000	2013/03/07	2013/10/21	12.50
62176	UBS AG - CNOOC R Bull CBBC Oct 2013 C	100,000,000	2013/03/07	2013/10/21	29.50
62175	UBS AG - CNOOC R Bear CBBC Jul 2013 B	100,000,000	2013/03/07	2013/07/08	25.00
63842	UBS AG - HSI R Bull CBBC Jul 2013 J	300,000,000	2013/03/07	2013/07/30	75.00
63834	UBS AG - HSI R Bull CBBC Aug 2013 X	300,000,000	2013/03/07	2013/08/29	75.00
63836	UBS AG - HSI R Bull CBBC Oct 2013 Q	300,000,000	2013/03/07	2013/10/30	75.00
63843	UBS AG - HSI R Bull CBBC Nov 2013 H	350,000,000	2013/03/07	2013/11/28	87.50
63636	UBS AG - HSI R Bear CBBC Jun 2013 G	300,000,000	2013/03/07	2013/06/27	75.00
61349	UBS AG - HSI R Bear CBBC Sep 2013 K	300,000,000	2013/03/07	2013/09/27	75.00
61345	UBS AG - HSI R Bear CBBC Oct 2013 F	300,000,000	2013/03/07	2013/10/30	75.00
64133	Credit Suisse AG - HSI R Bull CBBC Aug 2013 S	300,000,000	2013/03/08	2013/08/29	75.00
64155	Credit Suisse AG - HSI R Bull CBBC Aug 2013 U	300,000,000	2013/03/08	2013/08/29	75.00
64163	Credit Suisse AG - HSI R Bear CBBC Jun 2013 E	300,000,000	2013/03/08	2013/06/27	75.00
64178	Credit Suisse AG - HSI R Bear CBBC Jun 2013 X	300,000,000	2013/03/08	2013/06/27	75.00
64122	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 C	150,000,000	2013/03/08	2013/10/30	37.50
64196	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 L	150,000,000	2013/03/08	2013/08/29	37.50
64088	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2013 B	100,000,000	2013/03/08	2013/07/29	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64087	HK Bank - A50 R Bull CBBC Jun 2013 E	100,000,000	2013/03/08	2013/06/17	25.00
64081	HK Bank - A50 R Bull CBBC Jul 2013 D	100,000,000	2013/03/08	2013/07/29	25.00
64082	HK Bank - A50 R Bull CBBC Jul 2013 E	100,000,000	2013/03/08	2013/07/15	25.00
64085	HK Bank - A50 R Bull CBBC Jul 2013 F	100,000,000	2013/03/08	2013/07/02	25.00
64119	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 N	300,000,000	2013/03/08	2013/07/30	75.00
64120	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 A	300,000,000	2013/03/08	2013/08/29	75.00
64121	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 B	300,000,000	2013/03/08	2013/08/29	75.00
64209	UBS AG - A50 China R Bull CBBC Jan 2014 B	100,000,000	2013/03/08	2014/01/06	25.00
64159	UBS AG - HSI R Bull CBBC Aug 2013 Y	300,000,000	2013/03/08	2013/08/29	75.00
64199	UBS AG - HSI R Bear CBBC Oct 2013 G	350,000,000	2013/03/08	2013/10/30	87.50
68526 [#]	HK Bank - China Mobile R Bull CBBC Jun 2013 A	200,000,000	2013/03/08	2013/06/17	10.60
64271	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/03/11	2013/12/30	75.00
64493	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 K	300,000,000	2013/03/11	2013/06/27	75.00
64475	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 Z	300,000,000	2013/03/11	2013/06/27	75.00
64284	Credit Suisse AG - HSI R Bull CBBC Oct 2013 T	300,000,000	2013/03/11	2013/10/30	75.00
64349	Credit Suisse AG - HSI R Bull CBBC Oct 2013 U	300,000,000	2013/03/11	2013/10/30	75.00
64501	Credit Suisse AG - HSI R Bull CBBC Oct 2013 V	300,000,000	2013/03/11	2013/10/30	75.00
64585	Credit Suisse AG - HSI R Bear CBBC Jun 2013 C	300,000,000	2013/03/11	2013/06/27	75.00
64578	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Y	300,000,000	2013/03/11	2013/06/27	75.00
64350	Credit Suisse AG - Hutchison R Bull CBBC Oct 2013 A	80,000,000	2013/03/11	2013/10/25	20.00
64354	Credit Suisse AG - Hutchison R Bull CBBC Oct 2013 B	80,000,000	2013/03/11	2013/10/25	20.00
64355	Credit Suisse AG - TCH R Bull CBBC Oct 2013 A	60,000,000	2013/03/11	2013/10/25	15.00
64358	Credit Suisse AG - TCH R Bull CBBC Oct 2013 B	60,000,000	2013/03/11	2013/10/25	17.40
64366	Credit Suisse AG - TCH R Bear CBBC Oct 2013 A	60,000,000	2013/03/11	2013/10/25	15.00
64252	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 D	150,000,000	2013/03/11	2013/10/30	37.50
64253	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 E	150,000,000	2013/03/11	2013/10/30	37.50
64263	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 F	150,000,000	2013/03/11	2013/10/30	37.50
64605	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 G	150,000,000	2013/03/11	2013/10/30	37.50
64601	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 M	150,000,000	2013/03/11	2013/08/29	37.50
64604	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 N	150,000,000	2013/03/11	2013/08/29	37.50
64586	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 G	150,000,000	2013/03/11	2013/06/27	37.80
64593	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 H	150,000,000	2013/03/11	2013/06/27	37.80
64600	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 I	150,000,000	2013/03/11	2013/06/27	37.65
64626	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 F	300,000,000	2013/03/11	2013/07/30	75.00
64625	J P Morgan SP BV - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/03/11	2013/08/29	75.00
64627	J P Morgan SP BV - HSI R Bear CBBC Aug 2013 C	300,000,000	2013/03/11	2013/08/29	75.00
64547	UBS AG - COVS R Bull CBBC Oct 2013 A	100,000,000	2013/03/11	2013/10/07	29.00
64520	UBS AG - COVS R Bear CBBC Jul 2013 A	100,000,000	2013/03/11	2013/07/08	30.00
64279	UBS AG - HSI R Bull CBBC Jun 2013 G	300,000,000	2013/03/11	2013/06/27	75.00
64519	UBS AG - HSI R Bull CBBC Jul 2013 K	300,000,000	2013/03/11	2013/07/30	75.00
64615	UBS AG - HSI R Bull CBBC Sep 2013 Z	350,000,000	2013/03/11	2013/09/27	87.50
64281	UBS AG - HSI R Bull CBBC Oct 2013 R	300,000,000	2013/03/11	2013/10/30	75.00
64503	UBS AG - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/03/11	2013/07/30	75.00
64504	UBS AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/03/11	2013/08/29	75.00
65358 [#]	HK Bank - HSCEI R Bull CBBC Oct 2013 A	170,000,000	2013/03/11	2013/10/30	11.05

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64770	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/03/12	2013/12/30	75.00
64697	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 L	300,000,000	2013/03/12	2013/06/27	75.00
64698	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 M	300,000,000	2013/03/12	2013/06/27	75.00
64827	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Y	300,000,000	2013/03/12	2013/09/27	75.00
64740	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Z	300,000,000	2013/03/12	2013/06/27	75.00
64814	Credit Suisse AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/03/12	2013/07/30	75.00
64831	Credit Suisse AG - HSI R Bear CBBC Jul 2013 X	300,000,000	2013/03/12	2013/07/30	75.00
64787	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 H	150,000,000	2013/03/12	2013/10/30	37.50
64902	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 O	150,000,000	2013/03/12	2013/08/29	37.50
64664	HK Bank - CNOOC R Bull CBBC Nov 2013 A	60,000,000	2013/03/12	2013/11/25	15.00
64652	HK Bank - China Shenhua R Bull CBBC Mar 2014 A	60,000,000	2013/03/12	2014/03/26	15.00
64680	HK Bank - Galaxy Ent R Bear CBBC Dec 2013 C	40,000,000	2013/03/12	2013/12/23	24.00
64628	HK Bank - HSI R Bull CBBC Dec 2013 D	100,000,000	2013/03/12	2013/12/30	25.00
64651	HK Bank - HSI R Bull CBBC Feb 2014 A	400,000,000	2013/03/12	2014/02/27	100.00
64665	HK Bank - Yanzhou Coal R Bull CBBC Aug 2013 A	50,000,000	2013/03/12	2013/08/26	12.50
64771	UBS AG - BOCL R Bear CBBC Dec 2013 A	100,000,000	2013/03/12	2013/12/16	25.00
64773	UBS AG - China Mobile R Bear CBBC Aug 2013 A	100,000,000	2013/03/12	2013/08/19	25.00
64772	UBS AG - Evergrande R Bull CBBC Oct 2013 A	100,000,000	2013/03/12	2013/10/07	25.00
64901	UBS AG - HSI R Bull CBBC Aug 2013 Z	350,000,000	2013/03/12	2013/08/29	87.50
64756	UBS AG - HSI R Bear CBBC Sep 2013 L	350,000,000	2013/03/12	2013/09/27	87.50
64899	UBS AG - HSI R Bear CBBC Oct 2013 H	300,000,000	2013/03/12	2013/10/30	75.00
64781	UBS AG - TCH R Bull CBBC Aug 2013 A	100,000,000	2013/03/12	2013/08/12	25.00
65159	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/03/13	2013/12/30	75.00
65038	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 P	300,000,000	2013/03/13	2013/06/27	75.00
65145	Credit Suisse AG - HSI R Bull CBBC Sep 2013 F	300,000,000	2013/03/13	2013/09/27	75.00
65158	Credit Suisse AG - HSI R Bull CBBC Sep 2013 K	300,000,000	2013/03/13	2013/09/27	75.00
65041	Credit Suisse AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/03/13	2013/07/30	75.00
65073	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/03/13	2013/07/30	75.00
65161	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 I	150,000,000	2013/03/13	2013/10/30	37.50
65087	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 P	150,000,000	2013/03/13	2013/08/29	37.50
65162	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 Q	150,000,000	2013/03/13	2013/08/29	37.50
65160	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 J	150,000,000	2013/03/13	2013/06/27	37.50
65008	HK Bank - AIA R Bear CBBC Nov 2013 A	50,000,000	2013/03/13	2013/11/04	17.50
64988	HK Bank - CUni R Bull CBBC Dec 2013 A	50,000,000	2013/03/13	2013/12/23	13.00
65007	HK Bank - China Life R Bull CBBC Oct 2013 A	60,000,000	2013/03/13	2013/10/21	29.40
64915	HK Bank - PetCh R Bull CBBC Oct 2013 B	60,000,000	2013/03/13	2013/10/15	15.00
64903	HK Bank - TCH R Bull CBBC Aug 2013 B	50,000,000	2013/03/13	2013/08/19	12.50
65129	UBS AG - HSCEI R Bull CBBC Sep 2013 B	100,000,000	2013/03/13	2013/09/27	25.00
65132	UBS AG - HSCEI R Bull CBBC Oct 2013 B	100,000,000	2013/03/13	2013/10/30	25.00
65103	UBS AG - HSI R Bull CBBC Sep 2013 B	300,000,000	2013/03/13	2013/09/27	75.00
65122	UBS AG - HSI R Bull CBBC Nov 2013 I	350,000,000	2013/03/13	2013/11/28	87.50
65074	UBS AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/03/13	2013/08/29	75.00
65080	UBS AG - HSI R Bear CBBC Oct 2013 I	350,000,000	2013/03/13	2013/10/30	87.50
65133	UBS AG - TCH R Bear CBBC Dec 2013 A	100,000,000	2013/03/13	2013/12/09	68.00
65238	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/03/14	2013/12/30	75.00

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65240	Credit Suisse AG - HSI R Bull CBBC Oct 2013 Y	300,000,000	2013/03/14	2013/10/30	75.00
65237	Credit Suisse AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/03/14	2013/07/30	75.00
65241	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 J	150,000,000	2013/03/14	2013/10/30	37.50
65242	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 K	150,000,000	2013/03/14	2013/10/30	37.50
65211	HK Bank - AIA R Bull CBBC Oct 2013 B	60,000,000	2013/03/14	2013/10/15	15.00
65236	HK Bank - GCL-Poly Energy R Bull CBBC Jul 2014 A	50,000,000	2013/03/14	2014/07/18	12.50
65222	HK Bank - Galaxy Ent R Bear CBBC Oct 2013 B	40,000,000	2013/03/14	2013/10/21	16.00
65176	HK Bank - HSI R Bull CBBC Sep 2013 G	100,000,000	2013/03/14	2013/09/27	25.00
65186	HK Bank - HSI R Bull CBBC Oct 2013 F	100,000,000	2013/03/14	2013/10/30	25.00
65187	HK Bank - HSI R Bull CBBC Nov 2013 F	100,000,000	2013/03/14	2013/11/28	25.00
65196	HK Bank - HSI R Bear CBBC Sep 2013 I	100,000,000	2013/03/14	2013/09/27	25.00
65197	HK Bank - HSI R Bear CBBC Nov 2013 J	100,000,000	2013/03/14	2013/11/28	25.00
65244	UBS AG - HSI R Bull CBBC Jul 2013 L	300,000,000	2013/03/14	2013/07/30	75.00
65243	UBS AG - HSI R Bull CBBC Aug 2013 P	300,000,000	2013/03/14	2013/08/29	75.00
65239	UBS AG - HSI R Bear CBBC Aug 2013 T	350,000,000	2013/03/14	2013/08/29	87.50
65498	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/03/15	2013/12/30	75.00
65499	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/03/15	2013/12/30	75.00
65501	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/03/15	2013/12/30	75.00
65457	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 Q	300,000,000	2013/03/15	2013/06/27	75.00
65502	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 R	300,000,000	2013/03/15	2013/06/27	75.00
65523	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 U	300,000,000	2013/03/15	2013/06/27	75.00
65504	Credit Suisse AG - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/03/15	2013/11/28	75.00
65519	Credit Suisse AG - HSI R Bull CBBC Nov 2013 F	300,000,000	2013/03/15	2013/11/28	75.00
65520	Credit Suisse AG - HSI R Bull CBBC Nov 2013 G	300,000,000	2013/03/15	2013/11/28	75.00
65521	Credit Suisse AG - HSI R Bull CBBC Nov 2013 H	300,000,000	2013/03/15	2013/11/28	75.00
65522	Credit Suisse AG - HSI R Bull CBBC Nov 2013 I	300,000,000	2013/03/15	2013/11/28	75.00
65254	Credit Suisse AG - HSI R Bear CBBC Jun 2013 E	300,000,000	2013/03/15	2013/06/27	75.00
65334	Credit Suisse AG - HSI R Bear CBBC Jun 2013 X	300,000,000	2013/03/15	2013/06/27	75.00
65496	Credit Suisse AG - HSI R Bear CBBC Jul 2013 B	300,000,000	2013/03/15	2013/07/30	75.00
65253	Credit Suisse AG - HSI R Bear CBBC Jul 2013 D	300,000,000	2013/03/15	2013/07/30	75.00
65489	Credit Suisse AG - HSI R Bear CBBC Jul 2013 G	300,000,000	2013/03/15	2013/07/30	75.00
65559	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 L	150,000,000	2013/03/15	2013/10/30	37.50
65460	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 R	150,000,000	2013/03/15	2013/08/29	37.50
65469	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 S	150,000,000	2013/03/15	2013/08/29	37.50
65470	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 T	150,000,000	2013/03/15	2013/08/29	37.50
65525	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 U	150,000,000	2013/03/15	2013/08/29	37.50
65528	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 I	150,000,000	2013/03/15	2013/06/27	39.60
65533	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 J	150,000,000	2013/03/15	2013/06/27	37.50
65534	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 K	150,000,000	2013/03/15	2013/06/27	38.55
65539	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 L	150,000,000	2013/03/15	2013/06/27	37.50
65541	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 M	150,000,000	2013/03/15	2013/06/27	38.25
65542	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 N	150,000,000	2013/03/15	2013/06/27	38.70
65477	HK Bank - China Life R Bear CBBC Oct 2013 A	50,000,000	2013/03/15	2013/10/28	12.50
65479	HK Bank - CNOOC R Bear CBBC Jul 2013 B	40,000,000	2013/03/15	2013/07/29	10.00
65487	HK Bank - Galaxy Ent R Bull CBBC Oct 2013 B	50,000,000	2013/03/15	2013/10/28	20.00

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65472	HK Bank - HSI R Bull CBBC Aug 2013 I	100,000,000	2013/03/15	2013/08/29	25.00
65473	HK Bank - HSI R Bear CBBC Aug 2013 G	100,000,000	2013/03/15	2013/08/29	25.00
65474	HK Bank - HSI R Bear CBBC Oct 2013 H	100,000,000	2013/03/15	2013/10/30	25.00
65563	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 O	300,000,000	2013/03/15	2013/07/30	75.00
65567	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 P	300,000,000	2013/03/15	2013/07/30	75.00
65560	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 C	300,000,000	2013/03/15	2013/08/29	75.00
65562	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 D	300,000,000	2013/03/15	2013/08/29	75.00
65471	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 D	100,000,000	2013/03/15	2013/07/30	25.00
65497	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 E	100,000,000	2013/03/15	2013/07/30	25.00
65361	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 I	200,000,000	2013/03/15	2013/06/27	50.00
65336	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 K	200,000,000	2013/03/15	2013/06/27	50.00
65441	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 U	200,000,000	2013/03/15	2013/06/27	50.00
65370	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 V	200,000,000	2013/03/15	2013/06/27	50.00
65342	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 X	200,000,000	2013/03/15	2013/06/27	50.00
65442	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 O	200,000,000	2013/03/15	2013/06/27	50.00
65444	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 P	200,000,000	2013/03/15	2013/06/27	50.00
65445	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 Q	200,000,000	2013/03/15	2013/06/27	50.00
65446	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 R	200,000,000	2013/03/15	2013/06/27	50.00
65447	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 S	200,000,000	2013/03/15	2013/06/27	50.00
65558	UBS AG - CSOP A50 ETF R Bull CBBC Aug 2013 C	100,000,000	2013/03/15	2013/08/19	25.00
65543	UBS AG - HSCEI R Bear CBBC Jul 2013 C	100,000,000	2013/03/15	2013/07/30	25.00
65551	UBS AG - HSI R Bull CBBC Jul 2013 F	300,000,000	2013/03/15	2013/07/30	75.00
65550	UBS AG - HSI R Bull CBBC Aug 2013 Q	300,000,000	2013/03/15	2013/08/29	75.00
65544	UBS AG - HSI R Bull CBBC Sep 2013 N	300,000,000	2013/03/15	2013/09/27	75.00
65554	UBS AG - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/03/15	2013/07/30	75.00
65552	UBS AG - HSI R Bear CBBC Jul 2013 B	300,000,000	2013/03/15	2013/07/30	75.00
65458	UBS AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/03/15	2013/07/30	75.00
65459	UBS AG - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/03/15	2013/08/29	75.00
65553	UBS AG - HSI R Bear CBBC Oct 2013 J	350,000,000	2013/03/15	2013/10/30	87.50
65627	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/03/18	2013/12/30	75.00
65636	Credit Suisse AG - HSI R Bull CBBC Sep 2013 P	300,000,000	2013/03/18	2013/09/27	75.00
65637	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Q	300,000,000	2013/03/18	2013/09/27	75.00
65640	Credit Suisse AG - HSI R Bull CBBC Sep 2013 R	300,000,000	2013/03/18	2013/09/27	75.00
65650	Credit Suisse AG - HSI R Bull CBBC Sep 2013 S	300,000,000	2013/03/18	2013/09/27	75.00
65595	Credit Suisse AG - HSI R Bear CBBC Jun 2013 O	300,000,000	2013/03/18	2013/06/27	75.00
65634	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 M	150,000,000	2013/03/18	2013/10/30	37.50
65635	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 N	150,000,000	2013/03/18	2013/10/30	37.50
65594	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 V	150,000,000	2013/03/18	2013/08/29	37.50
65587	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 K	150,000,000	2013/03/18	2013/06/27	38.10
65582	HK Bank - China Mobile R Bull CBBC Aug 2013 D	120,000,000	2013/03/18	2013/08/05	30.00
65581	HK Bank - Cheung Kong R Bull CBBC Oct 2013 B	60,000,000	2013/03/18	2013/10/28	17.40
65580	HK Bank - HSI R Bull CBBC Aug 2013 J	100,000,000	2013/03/18	2013/08/29	25.00
65569	HK Bank - HSI R Bull CBBC Sep 2013 H	100,000,000	2013/03/18	2013/09/27	25.00
65568	HK Bank - HSI R Bull CBBC Oct 2013 G	100,000,000	2013/03/18	2013/10/30	25.00
65583	HK Bank - SHK Ppt R Bear CBBC Sep 2013 B	50,000,000	2013/03/18	2013/09/09	12.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65660	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 F	60,000,000	2013/03/18	2013/07/30	15.00
65671	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jul 2013 G	60,000,000	2013/03/18	2013/07/30	15.00
65655	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jul 2013 M	60,000,000	2013/03/18	2013/07/30	15.00
65586	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 I	100,000,000	2013/03/18	2013/09/27	25.00
65616	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 W	200,000,000	2013/03/18	2013/06/27	50.00
65602	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 Z	200,000,000	2013/03/18	2013/06/27	50.00
65598	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 P	200,000,000	2013/03/18	2013/07/30	50.00
65617	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 T	200,000,000	2013/03/18	2013/06/27	50.00
65674	UBS AG - Anhui Conch R Bull CBBC Feb 2014 A	100,000,000	2013/03/18	2014/02/10	25.00
65672	UBS AG - Anhui Conch R Bear CBBC Dec 2013 A	100,000,000	2013/03/18	2013/12/23	25.00
65631	UBS AG - HSI R Bull CBBC Jul 2013 M	300,000,000	2013/03/18	2013/07/30	75.00
65633	UBS AG - HSI R Bull CBBC Sep 2013 K	300,000,000	2013/03/18	2013/09/27	75.00
65632	UBS AG - HSI R Bull CBBC Sep 2013 O	300,000,000	2013/03/18	2013/09/27	75.00
65629	UBS AG - HSI R Bull CBBC Oct 2013 S	300,000,000	2013/03/18	2013/10/30	75.00
65590	UBS AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/03/18	2013/08/29	75.00
65758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 V	300,000,000	2013/03/19	2013/06/27	75.00
65718	Credit Suisse AG - HSI R Bull CBBC Oct 2013 A	300,000,000	2013/03/19	2013/10/30	75.00
65726	Credit Suisse AG - HSI R Bull CBBC Oct 2013 B	300,000,000	2013/03/19	2013/10/30	75.00
65693	Credit Suisse AG - HSI R Bull CBBC Oct 2013 Z	300,000,000	2013/03/19	2013/10/30	75.00
65727	Credit Suisse AG - HSI R Bear CBBC Jun 2013 C	300,000,000	2013/03/19	2013/06/27	75.00
65684	Credit Suisse AG - HSI R Bear CBBC Jun 2013 V	300,000,000	2013/03/19	2013/06/27	75.00
65690	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Y	150,000,000	2013/03/19	2013/10/30	37.50
65686	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 W	150,000,000	2013/03/19	2013/08/29	37.50
65715	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 X	150,000,000	2013/03/19	2013/08/29	37.50
65759	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 L	150,000,000	2013/03/19	2013/06/27	38.55
65760	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 M	150,000,000	2013/03/19	2013/06/27	37.65
65771	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 N	150,000,000	2013/03/19	2013/06/27	37.95
65772	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 O	150,000,000	2013/03/19	2013/06/27	37.95
65678	HK Bank - AIA R Bull CBBC Oct 2013 C	60,000,000	2013/03/19	2013/10/28	15.60
65683	HK Bank - AIA R Bear CBBC Dec 2013 D	50,000,000	2013/03/19	2013/12/16	20.00
65675	HK Bank - HSCEI R Bull CBBC Aug 2013 B	100,000,000	2013/03/19	2013/08/29	25.00
65677	HK Bank - TCH R Bear CBBC Sep 2013 B	50,000,000	2013/03/19	2013/09/18	27.50
65676	HK Bank - TCH R Bear CBBC Oct 2013 A	50,000,000	2013/03/19	2013/10/28	21.00
65774	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 T	300,000,000	2013/03/19	2013/06/27	75.00
65781	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 G	300,000,000	2013/03/19	2013/07/30	75.00
65784	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 H	300,000,000	2013/03/19	2013/07/30	75.00
65685	UBS AG - HSI R Bull CBBC Nov 2013 J	350,000,000	2013/03/19	2013/11/28	87.50
65694	UBS AG - HSI R Bull CBBC Nov 2013 K	300,000,000	2013/03/19	2013/11/28	75.00
65737	UBS AG - HSI R Bear CBBC Sep 2013 M	300,000,000	2013/03/19	2013/09/27	75.00
65740	UBS AG - HSI R Bear CBBC Sep 2013 N	300,000,000	2013/03/19	2013/09/27	75.00
65757	UBS AG - HSI R Bear CBBC Sep 2013 O	300,000,000	2013/03/19	2013/09/27	75.00
65714	UBS AG - SHK Ppt R Bear CBBC Sep 2013 C	100,000,000	2013/03/19	2013/09/02	25.00
65710	UBS AG - Yanzhou Coal R Bull CBBC Aug 2013 A	50,000,000	2013/03/19	2013/08/12	12.50
65682#	HK Bank - A50 R Bull CBBC Sep 2013 C	120,000,000	2013/03/19	2013/09/02	10.32
65890	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/03/20	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65816	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/03/20	2013/12/30	75.00
65869	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/03/20	2013/12/30	75.00
65887	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 F	300,000,000	2013/03/20	2013/06/27	75.00
65984	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 G	300,000,000	2013/03/20	2013/06/27	75.00
66009	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 N	300,000,000	2013/03/20	2013/06/27	75.00
65881	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 S	300,000,000	2013/03/20	2013/06/27	75.00
65882	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 T	300,000,000	2013/03/20	2013/06/27	75.00
65791	Credit Suisse AG - HSI R Bull CBBC Aug 2013 B	300,000,000	2013/03/20	2013/08/29	75.00
65928	Credit Suisse AG - HSI R Bull CBBC Aug 2013 D	300,000,000	2013/03/20	2013/08/29	75.00
65790	Credit Suisse AG - HSI R Bull CBBC Aug 2013 V	300,000,000	2013/03/20	2013/08/29	75.00
65917	Credit Suisse AG - HSI R Bull CBBC Aug 2013 Y	300,000,000	2013/03/20	2013/08/29	75.00
65953	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Y	300,000,000	2013/03/20	2013/06/27	75.00
65955	Credit Suisse AG - HSI R Bear CBBC Jun 2013 Z	300,000,000	2013/03/20	2013/06/27	75.00
65945	Credit Suisse AG - HSI R Bear CBBC Jul 2013 H	300,000,000	2013/03/20	2013/07/30	75.00
65959	Credit Suisse AG - HSI R Bear CBBC Jul 2013 L	300,000,000	2013/03/20	2013/07/30	75.00
65960	Credit Suisse AG - HSI R Bear CBBC Jul 2013 M	300,000,000	2013/03/20	2013/07/30	75.00
65975	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Q	300,000,000	2013/03/20	2013/07/30	75.00
65847	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 O	150,000,000	2013/03/20	2013/10/30	37.50
65936	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 P	150,000,000	2013/03/20	2013/10/30	37.50
65944	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Q	150,000,000	2013/03/20	2013/10/30	37.50
66066	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 Y	150,000,000	2013/03/20	2013/08/29	37.50
66069	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 Z	150,000,000	2013/03/20	2013/08/29	37.50
66036	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 O	150,000,000	2013/03/20	2013/06/27	38.10
66044	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 P	150,000,000	2013/03/20	2013/06/27	37.95
66059	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 Q	150,000,000	2013/03/20	2013/06/27	37.80
66010	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 P	150,000,000	2013/03/20	2013/06/27	38.40
66012	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 Q	150,000,000	2013/03/20	2013/06/27	38.85
66022	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 R	150,000,000	2013/03/20	2013/06/27	38.10
66023	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 S	150,000,000	2013/03/20	2013/06/27	37.95
65789	HK Bank - CSOP A50 ETF R Bear CBBC Jul 2013 B	40,000,000	2013/03/20	2013/07/29	10.00
65788	HK Bank - A50 R Bear CBBC Aug 2013 F	50,000,000	2013/03/20	2013/08/26	12.50
66074	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 U	300,000,000	2013/03/20	2013/06/27	75.00
66073	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 I	300,000,000	2013/03/20	2013/07/30	75.00
65899	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 J	100,000,000	2013/03/20	2013/09/27	25.00
65817	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 Y	200,000,000	2013/03/20	2013/06/27	50.00
65820	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 U	200,000,000	2013/03/20	2013/06/27	50.00
65822	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 V	200,000,000	2013/03/20	2013/06/27	50.00
65831	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 W	200,000,000	2013/03/20	2013/06/27	50.00
65859	UBS AG - China Life R Bear CBBC Aug 2013 B	100,000,000	2013/03/20	2013/08/26	25.00
65858	UBS AG - CSOP A50 ETF R Bear CBBC Jul 2013 C	100,000,000	2013/03/20	2013/07/15	25.00
65850	UBS AG - A50 China R Bear CBBC Aug 2013 B	100,000,000	2013/03/20	2013/08/19	25.00
65864	UBS AG - HKEx R Bear CBBC Aug 2013 A	100,000,000	2013/03/20	2013/08/05	25.00
65909	UBS AG - HSCEI R Bull CBBC Oct 2013 C	100,000,000	2013/03/20	2013/10/30	25.00
65903	UBS AG - HSCEI R Bear CBBC Jul 2013 D	100,000,000	2013/03/20	2013/07/30	25.00
65844	UBS AG - HSI R Bull CBBC Sep 2013 L	300,000,000	2013/03/20	2013/09/27	75.00

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65849	UBS AG - HSI R Bull CBBC Sep 2013 P	350,000,000	2013/03/20	2013/09/27	87.50
65905	UBS AG - HSI R Bull CBBC Sep 2013 Q	300,000,000	2013/03/20	2013/09/27	75.00
65846	UBS AG - HSI R Bull CBBC Oct 2013 T	350,000,000	2013/03/20	2013/10/30	87.50
65978	UBS AG - HSI R Bear CBBC Jul 2013 D	300,000,000	2013/03/20	2013/07/30	75.00
65981	UBS AG - HSI R Bear CBBC Jul 2013 Q	300,000,000	2013/03/20	2013/07/30	75.00
65976	UBS AG - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/03/20	2013/08/29	75.00
65977	UBS AG - HSI R Bear CBBC Oct 2013 K	300,000,000	2013/03/20	2013/10/30	75.00
65067 [#]	HK Bank - HSCEI R Bull CBBC Nov 2013 A	130,000,000	2013/03/20	2013/11/28	13.52
65162 [#]	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec11R	150,000,000	2013/03/20	2011/12/29	11.55
66147	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/03/21	2013/12/30	75.00
66150	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/03/21	2013/12/30	75.00
66152	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/03/21	2013/12/30	75.00
66145	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 O	300,000,000	2013/03/21	2013/06/27	75.00
66146	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 W	300,000,000	2013/03/21	2013/06/27	75.00
66161	Credit Suisse AG - HSI R Bull CBBC Sep 2013 M	300,000,000	2013/03/21	2013/09/27	75.00
66201	Credit Suisse AG - HSI R Bull CBBC Sep 2013 N	300,000,000	2013/03/21	2013/09/27	75.00
66156	Credit Suisse AG - HSI R Bull CBBC Sep 2013 U	300,000,000	2013/03/21	2013/09/27	75.00
66160	Credit Suisse AG - HSI R Bull CBBC Sep 2013 V	300,000,000	2013/03/21	2013/09/27	75.00
66180	Credit Suisse AG - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/03/21	2013/07/30	75.00
66181	Credit Suisse AG - HSI R Bear CBBC Jul 2013 R	300,000,000	2013/03/21	2013/07/30	75.00
66182	Credit Suisse AG - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/03/21	2013/07/30	75.00
66186	Credit Suisse AG - HSI R Bear CBBC Jul 2013 U	300,000,000	2013/03/21	2013/07/30	75.00
66183	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Y	300,000,000	2013/03/21	2013/07/30	75.00
66118	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 R	150,000,000	2013/03/21	2013/10/30	37.50
66202	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 S	150,000,000	2013/03/21	2013/10/30	37.50
66168	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 B	150,000,000	2013/03/21	2013/08/29	37.50
66169	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 C	150,000,000	2013/03/21	2013/08/29	37.50
66209	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 D	150,000,000	2013/03/21	2013/08/29	37.50
66089	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2013 B	80,000,000	2013/03/21	2013/10/28	20.00
66075	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2013 A	80,000,000	2013/03/21	2013/11/25	20.00
66096	HK Bank - GCL-Poly Energy R Bull CBBC Dec 2014 A	50,000,000	2013/03/21	2014/12/18	12.50
66092	HK Bank - Yanzhou Coal R Bull CBBC Oct 2013 A	50,000,000	2013/03/21	2013/10/21	12.50
66238	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 Q	300,000,000	2013/03/21	2013/07/30	75.00
66244	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 R	300,000,000	2013/03/21	2013/07/30	75.00
66246	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 S	300,000,000	2013/03/21	2013/07/30	75.00
66250	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 T	300,000,000	2013/03/21	2013/07/30	75.00
66243	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 E	300,000,000	2013/03/21	2013/08/29	75.00
66245	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 F	300,000,000	2013/03/21	2013/08/29	75.00
66247	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 G	300,000,000	2013/03/21	2013/08/29	75.00
66255	J P Morgan SP BV - HSI R Bull CBBC Aug 2013 H	300,000,000	2013/03/21	2013/08/29	75.00
66154	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 K	100,000,000	2013/03/21	2013/09/27	25.00
66155	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 L	100,000,000	2013/03/21	2013/09/27	25.00
66153	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 F	100,000,000	2013/03/21	2013/07/30	25.00
66119	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 Q	200,000,000	2013/03/21	2013/07/30	50.00
66132	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 R	200,000,000	2013/03/21	2013/07/30	50.00

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66136	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 S	200,000,000	2013/03/21	2013/07/30	50.00
66139	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 X	200,000,000	2013/03/21	2013/06/27	50.00
66143	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 Y	200,000,000	2013/03/21	2013/06/27	50.00
66144	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 Z	200,000,000	2013/03/21	2013/06/27	50.00
66234	UBS AG - Cheung Kong R Bear CBBC Jul 2013 A	100,000,000	2013/03/21	2013/07/29	25.00
66227	UBS AG - China Life R Bull CBBC Sep 2013 A	100,000,000	2013/03/21	2013/09/09	54.00
66165	UBS AG - HSI R Bull CBBC Oct 2013 U	300,000,000	2013/03/21	2013/10/30	75.00
66166	UBS AG - HSI R Bull CBBC Nov 2013 L	350,000,000	2013/03/21	2013/11/28	87.50
66223	UBS AG - HSI R Bull CBBC Dec 2013 A	350,000,000	2013/03/21	2013/12/30	87.50
66175	UBS AG - HSI R Bear CBBC Jul 2013 R	300,000,000	2013/03/21	2013/07/30	75.00
66178	UBS AG - HSI R Bear CBBC Jul 2013 S	300,000,000	2013/03/21	2013/07/30	75.00
66176	UBS AG - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/03/21	2013/08/29	75.00
66177	UBS AG - HSI R Bear CBBC Aug 2013 Y	350,000,000	2013/03/21	2013/08/29	87.50
66232	UBS AG - SHK Ppt R Bull CBBC Dec 2013 B	100,000,000	2013/03/21	2013/12/30	25.00
66296	Credit Suisse AG - HSI R Bull CBBC Oct 2013 C	300,000,000	2013/03/22	2013/10/30	75.00
66291	Credit Suisse AG - HSI R Bull CBBC Oct 2013 D	300,000,000	2013/03/22	2013/10/30	75.00
66293	Credit Suisse AG - HSI R Bull CBBC Oct 2013 G	300,000,000	2013/03/22	2013/10/30	75.00
66298	Credit Suisse AG - HSI R Bear CBBC Jun 2013 E	300,000,000	2013/03/22	2013/06/27	75.00
66270	Credit Suisse AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/03/22	2013/07/30	75.00
66273	Credit Suisse AG - HSI R Bear CBBC Jul 2013 X	300,000,000	2013/03/22	2013/07/30	75.00
66278	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 T	150,000,000	2013/03/22	2013/10/30	37.50
66299	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 U	150,000,000	2013/03/22	2013/10/30	37.50
66313	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 I	150,000,000	2013/03/22	2013/12/30	37.50
66316	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 J	150,000,000	2013/03/22	2013/12/30	37.50
66319	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 W	150,000,000	2013/03/22	2013/12/30	37.50
66321	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 X	150,000,000	2013/03/22	2013/12/30	37.50
66322	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Y	150,000,000	2013/03/22	2013/12/30	37.50
66314	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Z	150,000,000	2013/03/22	2013/12/30	37.50
66343	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 A	150,000,000	2013/03/22	2013/08/29	37.50
66312	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 E	150,000,000	2013/03/22	2013/08/29	37.50
66329	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 F	150,000,000	2013/03/22	2013/08/29	37.50
66330	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 G	150,000,000	2013/03/22	2013/08/29	37.50
66332	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 H	150,000,000	2013/03/22	2013/08/29	37.50
66268	HK Bank - China Life R Bull CBBC Nov 2013 A	60,000,000	2013/03/22	2013/11/25	33.60
66269	HK Bank - HKEr R Bear CBBC Sep 2013 A	50,000,000	2013/03/22	2013/09/30	12.50
66263	HK Bank - HSCEI R Bull CBBC Sep 2013 C	68,000,000	2013/03/22	2013/09/27	17.00
66260	HK Bank - HSI R Bear CBBC Aug 2013 H	100,000,000	2013/03/22	2013/08/29	25.00
66256	HK Bank - HSI R Bear CBBC Sep 2013 J	100,000,000	2013/03/22	2013/09/27	25.00
66266	HK Bank - SHK Ppt R Bull CBBC Sep 2013 B	60,000,000	2013/03/22	2013/09/30	15.00
66267	HK Bank - SHK Ppt R Bull CBBC Oct 2013 B	60,000,000	2013/03/22	2013/10/08	15.00
66310	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2013 A	100,000,000	2013/03/22	2013/10/07	25.00
66306	UBS AG - HSI R Bull CBBC Oct 2013 V	300,000,000	2013/03/22	2013/10/30	75.00
66307	UBS AG - HSI R Bull CBBC Nov 2013 M	300,000,000	2013/03/22	2013/11/28	75.00
66309	UBS AG - HSI R Bull CBBC Nov 2013 N	300,000,000	2013/03/22	2013/11/28	75.00
66305	UBS AG - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/03/22	2013/12/30	75.00

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66302	UBS AG - HSI R Bear CBBC Jun 2013 J	300,000,000	2013/03/22	2013/06/27	75.00
66275	UBS AG - HSI R Bear CBBC Aug 2013 Z	300,000,000	2013/03/22	2013/08/29	75.00
66276	UBS AG - HSI R Bear CBBC Sep 2013 P	350,000,000	2013/03/22	2013/09/27	87.50
66311	UBS AG - Ping An R Bull CBBC Nov 2013 A	100,000,000	2013/03/22	2013/11/04	25.00
66353	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/03/25	2013/12/30	75.00
66362	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/03/25	2013/12/30	75.00
66369	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/03/25	2013/12/30	75.00
66430	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/03/25	2013/12/30	75.00
66351	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/03/25	2013/12/30	75.00
66445	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 H	300,000,000	2013/03/25	2013/06/27	75.00
66441	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 K	300,000,000	2013/03/25	2013/06/27	75.00
66439	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 X	300,000,000	2013/03/25	2013/06/27	75.00
66440	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 Y	300,000,000	2013/03/25	2013/06/27	75.00
66442	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2013 Z	300,000,000	2013/03/25	2013/06/27	75.00
66433	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/03/25	2013/07/30	75.00
66437	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 B	300,000,000	2013/03/25	2013/07/30	75.00
66438	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/03/25	2013/07/30	75.00
66458	Credit Suisse AG - HSI R Bull CBBC Sep 2013 G	300,000,000	2013/03/25	2013/09/27	75.00
66451	Credit Suisse AG - HSI R Bull CBBC Sep 2013 L	300,000,000	2013/03/25	2013/09/27	75.00
66456	Credit Suisse AG - HSI R Bull CBBC Sep 2013 O	300,000,000	2013/03/25	2013/09/27	75.00
66457	Credit Suisse AG - HSI R Bull CBBC Sep 2013 W	300,000,000	2013/03/25	2013/09/27	75.00
66388	Credit Suisse AG - HSI R Bull CBBC Oct 2013 E	300,000,000	2013/03/25	2013/10/30	75.00
66466	Credit Suisse AG - HSI R Bull CBBC Oct 2013 F	300,000,000	2013/03/25	2013/10/30	75.00
66389	Credit Suisse AG - HSI R Bull CBBC Oct 2013 H	300,000,000	2013/03/25	2013/10/30	75.00
66390	Credit Suisse AG - HSI R Bull CBBC Oct 2013 I	300,000,000	2013/03/25	2013/10/30	75.00
66447	Credit Suisse AG - HSI R Bull CBBC Oct 2013 J	300,000,000	2013/03/25	2013/10/30	75.00
66448	Credit Suisse AG - HSI R Bull CBBC Oct 2013 K	300,000,000	2013/03/25	2013/10/30	75.00
66465	Credit Suisse AG - HSI R Bull CBBC Oct 2013 L	300,000,000	2013/03/25	2013/10/30	75.00
66467	Credit Suisse AG - HSI R Bull CBBC Oct 2013 M	300,000,000	2013/03/25	2013/10/30	75.00
66460	Credit Suisse AG - HSI R Bull CBBC Nov 2013 J	300,000,000	2013/03/25	2013/11/28	75.00
66462	Credit Suisse AG - HSI R Bull CBBC Nov 2013 K	300,000,000	2013/03/25	2013/11/28	75.00
66463	Credit Suisse AG - HSI R Bull CBBC Nov 2013 L	300,000,000	2013/03/25	2013/11/28	75.00
66470	Credit Suisse AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/03/25	2013/07/30	75.00
66472	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/03/25	2013/07/30	75.00
66468	Credit Suisse AG - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/03/25	2013/08/29	75.00
66469	Credit Suisse AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/03/25	2013/08/29	75.00
66471	Credit Suisse AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/03/25	2013/08/29	75.00
66482	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 V	300,000,000	2013/03/25	2013/06/27	75.00
66484	J P Morgan SP BV - HSI R Bear CBBC Jun 2013 W	300,000,000	2013/03/25	2013/06/27	75.00
66483	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 J	300,000,000	2013/03/25	2013/07/30	75.00
66485	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 K	300,000,000	2013/03/25	2013/07/30	75.00
66391	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 M	100,000,000	2013/03/25	2013/09/27	25.00
66397	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 N	100,000,000	2013/03/25	2013/09/27	25.00
66446	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 O	100,000,000	2013/03/25	2013/09/27	25.00
66344	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 G	100,000,000	2013/03/25	2013/07/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66396	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 H	100,000,000	2013/03/25	2013/07/30	25.00
66387	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 T	200,000,000	2013/03/25	2013/06/27	50.00
66424	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 U	200,000,000	2013/03/25	2013/06/27	50.00
66425	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 V	200,000,000	2013/03/25	2013/06/27	50.00
66370	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 T	200,000,000	2013/03/25	2013/07/30	50.00
66383	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 U	200,000,000	2013/03/25	2013/07/30	50.00
66384	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 V	200,000,000	2013/03/25	2013/07/30	50.00
66386	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 W	200,000,000	2013/03/25	2013/07/30	50.00
66410	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 X	200,000,000	2013/03/25	2013/07/30	50.00
66420	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 Y	200,000,000	2013/03/25	2013/07/30	50.00
66423	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 Z	200,000,000	2013/03/25	2013/07/30	50.00
66427	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 J	200,000,000	2013/03/25	2013/06/27	50.00
66428	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun13 K	200,000,000	2013/03/25	2013/06/27	50.00
66429	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 A	200,000,000	2013/03/25	2013/07/30	50.00
66402	UBS AG - Anhui Conch R Bull CBBC Jun 2014 A	100,000,000	2013/03/25	2014/06/23	25.00
66409	UBS AG - Cheung Kong R Bull CBBC Oct 2013 C	100,000,000	2013/03/25	2013/10/21	26.50
66399	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2013 B	100,000,000	2013/03/25	2013/10/28	25.00
66404	UBS AG - HKEx R Bull CBBC Aug 2013 A	100,000,000	2013/03/25	2013/08/05	39.00
66393	UBS AG - HSI R Bull CBBC Nov 2013 O	300,000,000	2013/03/25	2013/11/28	75.00
66394	UBS AG - HSI R Bull CBBC Nov 2013 P	300,000,000	2013/03/25	2013/11/28	75.00
66398	UBS AG - HSI R Bull CBBC Nov 2013 Q	300,000,000	2013/03/25	2013/11/28	75.00
66392	UBS AG - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/03/25	2013/12/30	75.00
66395	UBS AG - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/03/25	2013/12/30	75.00
66473	UBS AG - HSI R Bull CBBC Dec 2013 E	350,000,000	2013/03/25	2013/12/30	87.50
66477	UBS AG - HSI R Bear CBBC Jun 2013 H	300,000,000	2013/03/25	2013/06/27	75.00
66478	UBS AG - HSI R Bear CBBC Jun 2013 K	300,000,000	2013/03/25	2013/06/27	75.00
66481	UBS AG - HSI R Bear CBBC Jun 2013 L	300,000,000	2013/03/25	2013/06/27	75.00
66474	UBS AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/03/25	2013/07/30	75.00
66408	UBS AG - Hutchison R Bear CBBC Aug 2013 B	100,000,000	2013/03/25	2013/08/12	25.00
66401	UBS AG - ICBC R Bear CBBC Aug 2013 B	100,000,000	2013/03/25	2013/08/26	25.00
66400	UBS AG - Ping An R Bear CBBC Aug 2013 B	100,000,000	2013/03/25	2013/08/19	25.00
66407	UBS AG - SHK Ppt R Bull CBBC Jan 2014 A	100,000,000	2013/03/25	2014/01/20	25.00
66406	UBS AG - SHK Ppt R Bull CBBC Feb 2014 A	100,000,000	2013/03/25	2014/02/10	29.00
66403	UBS AG - TCH R Bear CBBC Jul 2013 B	100,000,000	2013/03/25	2013/07/15	25.00
66498	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 F	200,000,000	2013/03/26	2013/12/30	50.00
66496	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 A	200,000,000	2013/03/26	2013/12/30	50.00
66497	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 B	200,000,000	2013/03/26	2013/12/30	50.00
66520	Credit Suisse AG - HSI R Bull CBBC Sep 2013 I	300,000,000	2013/03/26	2013/09/27	75.00
66521	Credit Suisse AG - HSI R Bull CBBC Sep 2013 J	300,000,000	2013/03/26	2013/09/27	75.00
66500	Credit Suisse AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/03/26	2013/08/29	75.00
66501	Credit Suisse AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/03/26	2013/08/29	75.00
66522	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/03/26	2013/08/29	75.00
66564	Bank of East Asia - BOCL R Bull CBBC Dec 2013 A	150,000,000	2013/03/26	2013/12/20	37.50
66515	Bank of East Asia - CSOP A50 ETF R Bull CBBC Sep 2013 B	60,000,000	2013/03/26	2013/09/27	15.00
66513	Bank of East Asia - HKEx R Bull CBBC Sep 2013 A	60,000,000	2013/03/26	2013/09/27	15.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66514	Bank of East Asia - Ping An R Bull CBBC Sep 2013 A	80,000,000	2013/03/26	2013/09/27	20.00
66566	Bank of East Asia - Yanzhou Coal R Bull CBBC Dec 2013 A	60,000,000	2013/03/26	2013/12/20	15.00
66553	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 T	150,000,000	2013/03/26	2013/06/27	39.15
66556	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 U	150,000,000	2013/03/26	2013/06/27	38.85
66557	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 V	150,000,000	2013/03/26	2013/06/27	37.65
66559	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 W	150,000,000	2013/03/26	2013/06/27	37.65
66563	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2013 X	150,000,000	2013/03/26	2013/06/27	37.65
66494	HK Bank - COVS R Bull CBBC Dec 2013 B	50,000,000	2013/03/26	2013/12/23	27.50
66493	HK Bank - CNBM R Bull CBBC Jul 2013 B	50,000,000	2013/03/26	2013/07/29	12.50
66486	HK Bank - A50 R Bull CBBC Oct 2013 D	100,000,000	2013/03/26	2013/10/28	25.00
66489	HK Bank - HSI R Bull CBBC Dec 2013 E	100,000,000	2013/03/26	2013/12/30	25.00
66488	HK Bank - HSI R Bear CBBC Jul 2013 G	100,000,000	2013/03/26	2013/07/30	25.00
66490	HK Bank - Ping An R Bull CBBC Oct 2013 A	60,000,000	2013/03/26	2013/10/07	15.00
66491	HK Bank - Ping An R Bull CBBC Dec 2013 A	60,000,000	2013/03/26	2013/12/23	15.00
66495	HK Bank - TCH R Bear CBBC Aug 2013 C	50,000,000	2013/03/26	2013/08/26	14.50
66502	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 B	200,000,000	2013/03/26	2013/07/30	50.00
66503	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 C	200,000,000	2013/03/26	2013/07/30	50.00
66504	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 D	200,000,000	2013/03/26	2013/07/30	50.00
66506	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 E	200,000,000	2013/03/26	2013/07/30	50.00
66507	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 F	200,000,000	2013/03/26	2013/07/30	50.00
66529	UBS AG - China Life R Bull CBBC Jun 2014 A	100,000,000	2013/03/26	2014/06/27	54.00
66528	UBS AG - China Life R Bear CBBC Jul 2013 B	100,000,000	2013/03/26	2013/07/15	25.00
66541	UBS AG - Galaxy Ent R Bull CBBC Aug 2013 A	50,000,000	2013/03/26	2013/08/19	12.50
66526	UBS AG - HSCEI R Bull CBBC Sep 2013 C	100,000,000	2013/03/26	2013/09/27	25.00
66525	UBS AG - HSCEI R Bull CBBC Jun 2014 A	100,000,000	2013/03/26	2014/06/27	25.50
66524	UBS AG - HSCEI R Bear CBBC Aug 2013 A	100,000,000	2013/03/26	2013/08/29	25.00
66546	UBS AG - HSI R Bull CBBC Sep 2013 R	300,000,000	2013/03/26	2013/09/27	75.00
66543	UBS AG - HSI R Bull CBBC Oct 2013 W	300,000,000	2013/03/26	2013/10/30	75.00
66547	UBS AG - HSI R Bull CBBC Oct 2013 X	300,000,000	2013/03/26	2013/10/30	75.00
66544	UBS AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/03/26	2013/12/30	75.00
66508	UBS AG - HSI R Bear CBBC Jun 2013 S	300,000,000	2013/03/26	2013/06/27	75.00
66549	UBS AG - HSI R Bear CBBC Jun 2013 T	300,000,000	2013/03/26	2013/06/27	75.00
66550	UBS AG - HSI R Bear CBBC Jul 2013 U	300,000,000	2013/03/26	2013/07/30	75.00
66512	UBS AG - HSI R Bear CBBC Jul 2013 X	300,000,000	2013/03/26	2013/07/30	75.00
66523	UBS AG - HSI R Bear CBBC Jul 2013 Y	350,000,000	2013/03/26	2013/07/30	87.50
66551	UBS AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/03/26	2013/12/30	75.00
66530	UBS AG - Ping An R Bull CBBC Oct 2013 B	100,000,000	2013/03/26	2013/10/28	25.00
66533	UBS AG - TCH R Bull CBBC Dec 2013 A	100,000,000	2013/03/26	2013/12/30	68.00
66585	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/03/27	2013/12/30	75.00
66587	Credit Suisse AG - HSI R Bull CBBC Nov 2013 M	300,000,000	2013/03/27	2013/11/28	75.00
66588	Credit Suisse AG - HSI R Bull CBBC Nov 2013 N	300,000,000	2013/03/27	2013/11/28	75.00
66575	Credit Suisse AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/03/27	2013/08/29	75.00
66576	Credit Suisse AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/03/27	2013/08/29	75.00
66591	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 R	150,000,000	2013/03/27	2013/06/27	37.65
66594	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 S	150,000,000	2013/03/27	2013/06/27	37.80

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66595	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 T	150,000,000	2013/03/27	2013/06/27	39.00
66600	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2013 U	150,000,000	2013/03/27	2013/06/27	37.50
66567	HK Bank - Anhui Conch R Bull CBBC Aug 2014 A	80,000,000	2013/03/27	2014/08/11	20.00
66569	HK Bank - China Mobile R Bull CBBC Nov 2013 B	120,000,000	2013/03/27	2013/11/04	30.00
66568	HK Bank - CNBM R Bear CBBC Nov 2013 A	50,000,000	2013/03/27	2013/11/25	12.50
66572	HK Bank - China Shenhua R Bull CBBC Feb 2014 A	60,000,000	2013/03/27	2014/02/26	15.00
66570	HK Bank - Hutchison R Bull CBBC Nov 2013 B	60,000,000	2013/03/27	2013/11/25	15.00
66571	HK Bank - Hutchison R Bear CBBC Nov 2013 B	50,000,000	2013/03/27	2013/11/25	12.50
66573	HK Bank - ICBC R Bear CBBC Sep 2013 B	40,000,000	2013/03/27	2013/09/30	10.00
66622	J P Morgan SP BV - HSI R Bull CBBC Jun 2013 Y	300,000,000	2013/03/27	2013/06/27	75.00
66621	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 U	300,000,000	2013/03/27	2013/07/30	75.00
66625	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 V	300,000,000	2013/03/27	2013/07/30	75.00
66574	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 P	100,000,000	2013/03/27	2013/09/27	25.00
66579	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 N	200,000,000	2013/03/27	2013/06/27	50.00
66580	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun13 Q	200,000,000	2013/03/27	2013/06/27	50.00
66582	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 G	200,000,000	2013/03/27	2013/07/30	50.00
66584	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 H	200,000,000	2013/03/27	2013/07/30	50.00
66603	UBS AG - China Life R Bull CBBC Jul 2013 D	100,000,000	2013/03/27	2013/07/29	25.00
66620	UBS AG - HSI R Bull CBBC Oct 2013 Y	300,000,000	2013/03/27	2013/10/30	75.00
66615	UBS AG - HSI R Bull CBBC Nov 2013 R	300,000,000	2013/03/27	2013/11/28	75.00
66611	UBS AG - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/03/27	2013/12/30	75.00
66617	UBS AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/03/27	2013/12/30	75.00
66577	UBS AG - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/03/27	2013/08/29	75.00
66578	UBS AG - HSI R Bear CBBC Aug 2013 B	350,000,000	2013/03/27	2013/08/29	87.50
66670	Credit Suisse AG - HSI R Bull CBBC Sep 2013 H	300,000,000	2013/03/28	2013/09/27	75.00
66672	Credit Suisse AG - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/03/28	2013/07/30	75.00
66671	Credit Suisse AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/03/28	2013/07/30	75.00
66665	HK Bank - ABC R Bear CBBC Oct 2013 A	40,000,000	2013/03/28	2013/10/28	10.00
66661	HK Bank - China Mobile R Bear CBBC Aug 2013 B	50,000,000	2013/03/28	2013/08/19	12.50
66666	HK Bank - CC Bank R Bear CBBC Sep 2013 B	40,000,000	2013/03/28	2013/09/02	10.00
66658	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2013 D	80,000,000	2013/03/28	2013/09/02	20.00
66664	HK Bank - HKEx R Bull CBBC Dec 2013 A	60,000,000	2013/03/28	2013/12/23	18.00
66656	HK Bank - HSCEI R Bear CBBC Nov 2013 B	68,000,000	2013/03/28	2013/11/28	17.00
66657	HK Bank - HSI R Bear CBBC Aug 2013 I	100,000,000	2013/03/28	2013/08/29	25.00
66627	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 A	60,000,000	2013/03/28	2013/09/27	15.00
66643	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 B	60,000,000	2013/03/28	2013/09/27	15.00
66655	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 C	60,000,000	2013/03/28	2013/09/27	15.00
66629	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 A	60,000,000	2013/03/28	2013/09/27	15.00
66630	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 B	60,000,000	2013/03/28	2013/09/27	15.00
66631	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 C	60,000,000	2013/03/28	2013/09/27	15.00
66633	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 D	60,000,000	2013/03/28	2013/09/27	15.00
66634	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 E	60,000,000	2013/03/28	2013/09/27	15.00
66637	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 F	60,000,000	2013/03/28	2013/09/27	15.00
66638	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 G	60,000,000	2013/03/28	2013/09/27	15.00
66668	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 Q	100,000,000	2013/03/28	2013/09/27	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66669	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 I	100,000,000	2013/03/28	2013/07/30	25.00
66675	UBS AG - China Mobile R Bull CBBC Jun 2014 A	100,000,000	2013/03/28	2014/06/30	25.00
66677	UBS AG - CUni R Bull CBBC Jun 2014 A	100,000,000	2013/03/28	2014/06/16	25.50
66690	UBS AG - HKEx R Bull CBBC Sep 2013 C	100,000,000	2013/03/28	2013/09/30	30.00
66696	UBS AG - HKEx R Bull CBBC Sep 2013 D	100,000,000	2013/03/28	2013/09/09	25.00
66674	UBS AG - HSI R Bull CBBC Nov 2013 S	300,000,000	2013/03/28	2013/11/28	75.00
66673	UBS AG - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/03/28	2014/02/27	75.00
66704	UBS AG - HSI R Bull CBBC Feb 2014 B	350,000,000	2013/03/28	2014/02/27	87.50
66703	UBS AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/03/28	2013/07/30	75.00
66697	UBS AG - HSI R Bear CBBC Aug 2013 L	350,000,000	2013/03/28	2013/08/29	87.50
66686	UBS AG - TCH R Bull CBBC Aug 2013 B	100,000,000	2013/03/28	2013/08/19	41.50
66678	UBS AG - TCH R Bear CBBC Jul 2013 C	100,000,000	2013/03/28	2013/07/08	25.50
總額					100,336.26

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2012	第1季	4	37.53
	第2季	4	14.88
	第3季	4	10.47
	第4季	4	18.62
2013	第1季	4	15.07

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2012	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—
2013	第1季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第1季	7	0.00
	第2季	7	0.14
	第3季	7	0.49
	第4季	7	0.00
2013	第1季	7	0.25

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第1季	2	—
	第2季	2	—
	第3季	2	—
	第4季	2	—
2013	第1季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2012	第1季	41,517.67	12,232.86	343,014
	第2季	33,806.65	6,874.87	228,059
	第3季	33,483.43	5,395.70	206,623
	第4季	45,943.00	9,037.19	329,420
2013	第1季	55,775.21	12,379.11	371,122

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2012	第1季	61	200.54	35,663
	第2季	61	112.70	30,145
	第3季	64	84.31	26,114
	第4季	61	148.15	27,434
2013	第1季	59	209.82	33,356

20種升幅最大之創業板股份

2013年第1季

順次	股份代號	股份	收市價		升幅
			2013年3月底	2012年12月底	
1	08017	百齡國際	0.600	0.143	319.58
2	08150	無縫綠色	0.820	0.305	168.85
3	08175	中國數碼版權	0.300	0.129	132.56
4	08120	東麟農業	0.780	0.355	119.72
5	08130	智城控股	0.380	0.174	118.39
6	08031	易通訊集團	1.470	0.720	104.17
7	08198	新濠環彩	0.740	0.415	78.31
8	08009	華夏能源控股	0.410	0.235	74.47
9	08041	薈萃國際(控股)	0.069	0.040	72.50
10	08081	駿科網絡訊息	0.510	0.300	70.00
11	08137	洪橋集團	1.420	0.850	67.06
12	08006	中華網	4.840	2.920	65.75
13	08146	馬仕達國際	0.310	0.188	64.89
14	08148	奧栢中國	0.385	0.244	57.79
15	08178	中國信息科技	0.280	0.179	56.42
16	08186	神州東盟資源	0.077	0.050	54.00
17	08050	雲博產業	0.177	0.117	51.28
18	08231	復旦張江	3.400	2.300	47.83
19	08049	吉林長龍藥業	1.280	0.870	47.13
20	08292	慧聰網	4.390	3.110	41.16

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2013年第1季

順次	股份代號	股份	收市價		跌幅
			2013年3月底	2012年12月底	
1	08079	無限創意控股	0.028	0.078 A	-64.10
2	08096	瑞豐石化	0.110	0.305 A	-63.93
3	08163	萬德資源	0.177	0.400 A	-55.75
4	08019	皓文控股	0.027	0.059	-54.24
5	08192	環球能源資源	0.132	0.270 A	-51.11
6	08116	中國幸福投資	0.114	0.200 A	-43.00
7	08112	FOCUS MEDIA	0.600	1.040	-42.31
8	08088	高誠資本	0.610	0.990	-38.38
9	08100	聯夢活力世界	0.122	0.196	-37.76
10	08132	鉦皓控股	0.122	0.194	-37.11
11	08047	中國能效	0.072	0.114 A	-36.84
12	08071	中彩網通控股	0.054	0.085	-36.47
13	08153	科地農業	0.090	0.140	-35.71
14	08166	中國農業生態	0.045	0.068	-33.82
15	08190	康佰控股	0.063	0.094	-32.98
16	08039	天馬娛樂	0.590	0.880	-32.95
17	08061	光亞	0.050	0.073	-31.51
18	08156	眾彩股份	0.330	0.480 A	-31.25
19	08202	匯創控股	0.249	0.350 A	-28.86
20	08076	新利軟件	0.200	0.280	-28.57

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2013年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08137	洪橋集團	1,041.41	8.41
2	08207	中國信貸	803.20	6.49
3	08167	中國新電信	571.57	4.62
4	08079	無限創意控股	464.22	3.75
5	08037	朗力福集團	434.98	3.51
6	08100	聯夢活力世界	395.97	3.20
7	08017	百齡國際	366.40	2.96
8	08356	中國新華電視	360.19	2.91
9	08081	駿科網絡訊息	299.27	2.42
10	08008	新意網集團	289.77	2.34
11	08066	品創控股	269.18	2.17
12	08198	新濠環彩	266.80	2.16
13	08266	流動電訊	244.53	1.98
14	08058	羅欣藥業	235.00	1.90
15	08168	英裘控股	226.66	1.83
16	08220	比高集團	206.35	1.67
17	08046	恒芯中國	196.70	1.59
18	08269	富譽控股	195.27	1.58
19	08086	易寶	194.49	1.57
20	08178	中國信息科技	187.71	1.52
總額			7,249.65	58.56

創業板 股份

20種成交最活躍創業板股份(股數)

2013年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08116	中國幸福投資	11,009.29	19.74
2	08079	無限創意控股	4,092.70	7.34
3	08272	華人飲食集團	3,240.97	5.81
4	08100	聯夢活力世界	2,188.47	3.92
5	08037	朗力福集團	1,935.26	3.47
6	08041	薈萃國際(控股)	1,878.74	3.37
7	08266	流動電訊	1,545.93	2.77
8	08103	泰盛國際控股	1,126.08	2.02
9	08163	萬德資源	1,092.99	1.96
10	08158	中國生物醫學	1,004.40	1.80
11	08220	比高集團	935.72	1.68
12	08047	中國能效	917.76	1.65
13	08161	華彩控股	909.56	1.63
14	08269	富譽控股	909.52	1.63
15	08207	中國信貸	907.46	1.63
16	08167	中國新電信	856.91	1.54
17	08250	中國天然投資	854.47	1.53
18	08137	洪橋集團	792.19	1.42
19	08192	環球能源資源	783.08	1.40
20	08085	香港生命科學	766.51	1.37
總數			37,748.01	67.68

創業板 股份

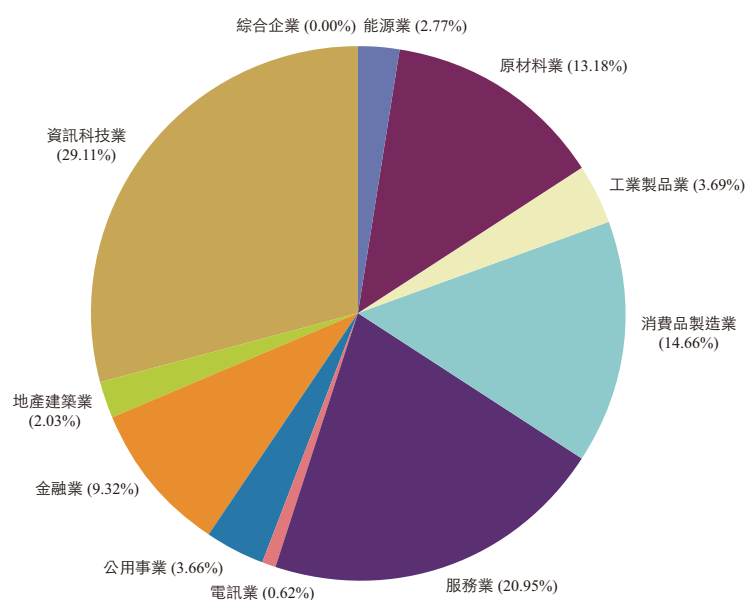
創業板公司市價總值

截至季末

		百 萬 港 元
2012	第 1 季	84,618.24
	第 2 季	77,357.91
	第 3 季	73,436.35
	第 4 季	78,398.98
2013	第 1 季	87,318.43

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2013年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	8,826.27	10.11
2	08008	新意網集團有限公司	4,551.57	5.21
3	08122	先健科技公司	3,165.00	3.62
4	08032	非凡中國控股有限公司	2,734.38	3.13
5	08035	濱海投資有限公司	2,516.98	2.88
6	08292	慧聰網有限公司	2,482.77	2.84
7	08086	易寶有限公司	2,355.39	2.70
8	08207	中國信貸控股有限公司	1,905.60	2.18
9	08198	新濠環彩有限公司	1,712.59	1.96
10	08058	山東羅欣藥業股份有限公司－H股	1,691.68	1.94
11	08090	中國融保金融集團有限公司	1,596.50	1.83
12	08232	中國優通控股有限公司	1,512.00	1.73
13	08155	南華置地有限公司	1,475.56	1.69
14	08167	中國新電信集團有限公司	1,442.69	1.65
15	08005	裕興科技投資控股有限公司	1,383.98	1.58
16	08066	品創控股有限公司	1,356.91	1.55
17	08158	中國生物醫學再生科技有限公司	1,352.14	1.55
18	08279	亞博科技控股有限公司	1,309.34	1.50
19	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	1,300.81	1.49
20	08128	中國地能有限公司	1,219.19	1.40
21	08231	上海復旦張江生物醫藥股份有限公司－H股	1,156.00	1.32
22	08020	川盟金融集團有限公司	1,154.40	1.32
23	08025	亞洲資產(控股)有限公司	1,084.43	1.24
24	08356	中國新華電視控股有限公司	988.09	1.13
25	08161	華彩控股有限公司	983.74	1.13
26	08083	中國創新支付集團有限公司	941.43	1.08
27	08075	寰亞傳媒集團有限公司	840.98	0.96
28	08046	恒芯中國控股有限公司	770.93	0.88
29	08016	長虹佳華控股有限公司	769.16	0.88
30	08102	上海復旦微電子集團股份有限公司－H股	753.65	0.86
31	08175	中國數碼版權(集團)有限公司	741.07	0.85
32	08029	太陽國際資源有限公司	686.42	0.79
33	08261	海天水電國際有限公司	680.00	0.78
34	08085	香港生命科學技術集團有限公司	664.97	0.76
35	08240	中國城市軌道交通科技控股有限公司	656.00	0.75
36	08219	品牌中國集團有限公司	634.00	0.73
37	08306	中國有色金屬有限公司	630.47	0.72
38	08072	羅馬集團有限公司	592.00	0.68
39	08189	天津泰達生物醫學工程股份有限公司－H股	585.15	0.67
40	08030	匯聯金融服務控股有限公司	571.51	0.65
41	08109	科瑞控股有限公司	564.88	0.65
42	08325	奧思知集團控股有限公司	558.00	0.64
43	08006	中華網科技公司	518.72	0.59
44	08173	寰亞礦業有限公司	514.18	0.59
45	08206	神通電信服務有限公司	491.98	0.56
46	08129	中國生物資源控股有限公司	446.56	0.51
47	08220	比高集團控股有限公司	443.90	0.51
48	08009	華夏能源控股有限公司	434.12	0.50
49	08160	枋濬國際集團控股有限公司	433.80	0.50
50	08123	首華財經網絡集團有限公司	427.07	0.49
總額			66,608.98	76.28
市場總值			87,318.43	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2012	第1季	3	189.80
	第2季	4	617.66
	第3季	3	179.40
	第4季	2	139.50
2013	第1季	1	60.00

創業板新上市公司

2013年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08072	羅馬集團有限公司	2013/02/25	800,000,000	60.00
總額				60.00

除牌上市公司－創業板

2013年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
08188	牡丹汽車股份有限公司－H股	2005/03/29	2013/03/13

創業板公司名稱更改

2013年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
08085	中民安園控股有限公司	香港生命科學技術集團有限公司	2012/10/31	2013/01/02
08048	志鴻科技國際控股有限公司	香港珠寶控股有限公司	2012/11/20	2013/01/07
08016	中華數據廣播控股有限公司	長虹佳華控股有限公司	2013/01/15	2013/02/18

創業板 股份

創業板紅股／紅利認股權證

2013年第1季

股份代號	公司	詳情	除淨日
08079	無限創意控股有限公司	1送2	2013/03/04

創業板股份拆細及合併

2013年第1季

股份代號	公司	詳情	生效日期
08148	奧柏中國集團有限公司	2股合併為1股	2013/01/22
08096	瑞豐石化控股有限公司	5股合併為1股	2013/01/24
08202	匯創控股有限公司	10股合併為1股	2013/01/29
08156	眾彩科技股份有限公司	5股合併為1股	2013/02/01
08192	環球能源資源國際集團有限公司	10股合併為1股	2013/02/07
08116	中國幸福投資(控股)有限公司	10股合併為1股	2013/03/26
08163	萬德資源集團有限公司	40股合併為1股	2013/03/26

創業板供股／公開售股

2013年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	08051	千里眼控股有限公司	公開售股2供1，每股供1.40港元	6.33
	08047	中國能效科技(集團)有限公司	公開售股2供1，每股供0.025港元	24.95
2月	08148	奧柏中國集團有限公司	公開售股1供4，每股供0.15港元 (2股合併為1股後)	60.00
總額				91.28

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
1月	08025	亞洲資產	100,000,000	0.650	65.00
	08129	中國生物資源	400,000,000	0.100	40.00
	08207	中國信貸	240,000,000	0.800	192.00
	08202	匯創控股	198,341,217	0.032	6.35
2月	08009	華夏能源控股	150,000,000	0.171	25.65
	08231	復旦張江	142,000,000	1.700	241.40
	08017	百齡國際	10,000,000	0.385	3.85
3月	08206	神通電信服務	100,000,000	0.310	31.00
	08020	川盟金融	30,000,000	2.000	60.00
	08047	中國能效	345,000,000	0.072	24.84
	08103	泰盛國際控股	72,000,000	0.140	10.08
	08168	英裘控股	192,000,000	0.325	62.40
	08032	非凡中國	480,000,000	0.500	240.00
總額					1,002.57

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2012	第1季	2	—	15.97
	第2季	2	0.27	15.17
	第3季	1	0.13	8.00
	第4季	1	0.01	8.00
2013	第1季	0	0.85	0.00

創業板新上市股份認股權證

2013年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2013年3月底 收市	2012年12月底 收市	升跌
標準普爾／香港交易所大型股指數	26,597.26	27,082.16	－ 1.79%
標準普爾／香港交易所創業板指數	400.38	381.51	＋ 4.95%
恒生指數	22,299.63	22,656.92	－ 1.58%
分類指數			
金融	31,536.57	31,231.56	＋ 0.98%
公用事業	56,114.25	51,797.82	＋ 8.33%
地產	30,591.29	31,383.11	－ 2.52%
工商業	12,360.83	13,136.24	－ 5.90%
恒生香港中資企業指數	4,362.55	4,531.12	－ 3.72%
恒生中國企業指數	10,896.22	11,436.16	－ 4.72%

股票市場指數

世界指數

指數	2013年3月底 收市	2012年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	26,597.26	27,082.16	- 1.79%
恒生指數	22,299.63	22,656.92	- 1.58%
澳洲			
普通股指數	4,979.87	4,664.59	+ 6.76%
雅加達			
綜合指數	4,940.99	4,316.69	+ 14.46%
日本			
日經指數(225)	12,397.91	10,395.18	+ 19.27%
韓國			
綜合指數	2,004.89	1,997.05	+ 0.39%
吉隆坡			
綜合指數	1,671.63	1,688.95	- 1.03%
馬尼拉			
綜合指數	6,847.47	5,812.73	+ 17.80%
紐西蘭			
證交所指數(50)	4,422.75	4,066.51	+ 8.76%
上海			
A股指數	2,340.92	2,376.04	- 1.48%
B股指數	263.80	244.92	+ 7.71%
深圳			
A股指數	967.96	919.80	+ 5.24%
B股指數	791.26	711.23	+ 11.25%
新加坡			
FTSE海峽時報指數	3,308.10	3,167.08	+ 4.45%
台灣			
台北加權股份指數	7,918.61	7,699.50	+ 2.85%
泰國			
證交所指數	1,561.06	1,391.93	+ 12.15%
紐約			
杜瓊斯工業平均指數	14,578.54	13,104.14	+ 11.25%
Nasdaq綜合指數	3,267.52	3,019.51	+ 8.21%
多倫多			
S&P/TSX綜合指數	12,749.90	12,433.53	+ 2.54%
德國			
法蘭克福指數	4,245.47	4,161.30	+ 2.02%
倫敦			
富時指數(100)	6,411.70	5,897.80	+ 8.71%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2013年第1季	2012年第1季
恒生指數期貨	4,871,620	5,140,968
恒生指數期權	2,269,370	2,163,972
小型恒生指數期貨	1,873,375	2,349,431
小型恒生指數期權	292,080	220,641
H股指數期貨	4,549,577	3,860,446
H股指數期權	1,586,493	1,285,722
小型H股指數期貨	493,496	398,659
自訂條款恒生指數期權	8,611	—
自訂條款H股指數期權	14,855	1,300
恒指股息點指數期貨	4,701	5,404
恒生國企股息點指數期貨	33,986	55,900
恒指波幅指數期貨 ¹	172	289
IBOVESPA期貨 ²	0	—
MICEX指數期貨 ³	0	—
Sensex指數期貨 ⁴	0	5
FTSE/JSE Top40期貨 ⁵	0	—
股票期貨	176,284	78,870
股票期權	16,408,792	14,545,164
三個月港元利率期貨	0	95
一個月港元利率期貨	0	10
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ⁶	25,054	—
黃金期貨	0	—
總數	32,608,466	30,106,876

¹ 恒指波幅指數期貨由2012年2月20日開始買賣

² IBOVESPA期貨由2012年3月30日開始買賣

³ MICEX指數期貨由2012年3月30日開始買賣

⁴ Sensex期貨由2012年3月30日開始買賣

⁵ FTSE/JSE Top40期貨由2012年3月30日開始買賣

⁶ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第1季	5,140,968	99,821
	第2季	5,405,446	103,069
	第3季	4,924,352	124,794
	第4季	4,882,303	139,344
2013年	第1季	4,871,620	107,939

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第1季	2,349,431	6,653
	第2季	2,278,107	6,063
	第3季	2,086,235	7,350
	第4季	1,832,074	6,638
2013年	第1季	1,873,375	6,897

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第1季	2,163,972	284,586
	第2季	2,661,654	346,519
	第3季	2,289,204	364,960
	第4季	2,115,315	260,785
2013年	第1季	2,269,370	316,226

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第1季	3,860,446	117,764
	第2季	3,973,006	127,099
	第3季	3,813,494	142,443
	第4季	4,276,867	181,909
2013年	第1季	4,549,577	147,677

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2012年	第1季	9,756.42	14,545,164	4,971,657
	第2季	8,578.21	13,741,210	5,060,742
	第3季	7,354.69	13,428,912	4,658,740
	第4季	7,341.35	14,366,259	4,031,961
2013年	第1季	10,840.90	16,408,792	4,758,454

衍生產品市場 期貨及期權

各期權類別之期權金總額

2013年第1季

期權類別		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	1,099.52	10.14%
農業銀行	ABC	112.79	1.04%
安徽海螺水泥股份	ACC	69.06	0.64%
友邦保險	AIA	307.50	2.84%
中國鋁業	ALC	16.99	0.16%
華夏滬深三百	AMC	104.93	0.97%
中國銀行	BCL	188.74	1.74%
交通銀行	BCM	25.86	0.24%
東亞銀行	BEA	2.48	0.02%
百麗國際	BIH	41.58	0.38%
中銀香港	BOC	108.43	1.00%
比亞迪股份	BYD	32.25	0.30%
建設銀行	CCB	469.75	4.33%
中國交通建設	CCC	22.81	0.21%
中國中煤能源	CCE	64.85	0.60%
中國遠洋	CCS	13.69	0.13%
中國移動	CHT	997.26	9.20%
中國聯通	CHU	85.67	0.79%
中信泰富	CIT	43.46	0.40%
長江實業	CKH	325.20	3.00%
中國人壽	CLI	647.77	5.98%
中電控股	CLP	23.79	0.22%
招商銀行	CMB	56.80	0.52%
中國海洋石油	CNC	179.61	1.66%
中國海外發展	COL	213.51	1.97%
國泰航空	CPA	5.28	0.05%
中國石油化工股份	CPC	214.32	1.98%
中國太保	CPI	89.38	0.82%
中國鐵建	CRC	12.32	0.11%
中國中鐵	CRG	5.50	0.05%
X標智滬深300	CS3	1.27	0.01%
南方A50	CSA	78.64	0.73%
中國神華	CSE	132.37	1.22%
中信銀行	CTB	14.13	0.13%
中國電信	CTC	22.28	0.21%
思捷環球	ESP	8.66	0.08%
富士康國際	FIH	2.50	0.02%
銀河娛樂	GLX	272.10	2.51%
電能實業	HEH	31.94	0.29%
香港交易所	HEX	380.03	3.51%
匯豐控股	HKB	707.30	6.52%
香港中華煤氣	HKG	9.42	0.09%
恒基地產	HLD	41.65	0.38%
華能國際電力股份	HNP	25.46	0.23%
恒生銀行	HSB	10.11	0.09%
和記黃埔	HWL	360.03	3.32%
工商銀行	ICB	338.58	3.12%
江西銅業	JXC	51.69	0.48%
利豐	LIF	174.52	1.61%
地鐵公司	MTR	5.80	0.05%
中國建材	NBM	52.92	0.49%
新世界發展	NWD	49.70	0.46%
中國平安	PAI	872.16	8.05%
中國石油股份	PEC	142.33	1.31%
中國人民財產保險	PIC	48.12	0.44%
富力地產	RFP	10.33	0.10%
金沙中國有限公司	SAN	134.93	1.24%
新鴻基地產	SHK	325.26	3.00%
渣打集團	STC	4.11	0.04%
太古股份公司A	SWA	44.73	0.41%
騰訊控股	TCH	611.05	5.64%
盈富基金	TRF	4.31	0.04%
九龍倉集團	WHL	77.40	0.71%
兗州煤業股份	YZC	198.23	1.83%
紫金礦業	ZJM	15.73	0.15%
總額		10,840.90	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2013年第1季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	666,793	4.06%
農業銀行	ABC	68,655	0.42%
安徽海螺水泥股份	ACC	124,442	0.76%
友邦保險	AIA	459,755	2.80%
中國鋁業	ALC	43,367	0.26%
華夏滬深三百	AMC	48,993	0.30%
中國銀行	BCL	1,254,611	7.65%
交通銀行	BCM	128,246	0.78%
東亞銀行	BEA	20,522	0.13%
百麗國際	BIH	96,598	0.59%
中銀香港	BOC	302,631	1.84%
比亞迪股份	BYD	50,063	0.31%
建設銀行	CCB	1,688,946	10.29%
中國交通建設	CCC	91,029	0.55%
中國中煤能源	CCE	145,047	0.88%
中國遠洋	CCS	81,557	0.50%
中國移動	CHT	1,220,318	7.44%
中國聯通	CHU	80,109	0.49%
中信泰富	CIT	83,465	0.51%
長江實業	CKH	156,023	0.95%
中國人壽	CLI	720,842	4.39%
中電控股	CLP	47,738	0.29%
招商銀行	CMB	153,912	0.94%
中國海洋石油	CNC	363,229	2.21%
中國海外發展	COL	138,277	0.84%
國泰航空	CPA	9,791	0.06%
中國石油化工股份	CPC	341,182	2.08%
中國太保	CPI	80,292	0.49%
中國鐵建	CRC	46,632	0.28%
中國中鐵	CRG	27,483	0.17%
X標智滬深300	CS3	1,264	0.01%
南方A50	CSA	51,989	0.32%
中國神華	CSE	218,902	1.33%
中信銀行	CTB	77,666	0.47%
中國電信	CTC	76,950	0.47%
思捷環球	ESP	164,678	1.00%
富士康國際	FIH	10,265	0.06%
銀河娛樂	GLX	270,307	1.65%
電能實業	HEH	51,777	0.32%
香港交易所	HEX	976,634	5.95%
匯豐控股	HKB	880,479	5.37%
香港中華煤氣	HKG	20,010	0.12%
恒基地產	HLD	31,186	0.19%
華能國際電力股份	HNP	38,218	0.23%
恒生銀行	HSB	54,661	0.33%
和記黃埔	HWL	205,070	1.25%
工商銀行	ICB	1,207,062	7.36%
江西銅業	JXC	84,950	0.52%
利豐	LIF	119,755	0.73%
地鐵公司	MTR	22,169	0.14%
中國建材	NBM	69,212	0.42%
新世界發展	NWD	111,834	0.68%
中國平安	PAI	737,103	4.49%
中國石油股份	PEC	225,536	1.37%
中國人民財產保險	PIC	64,122	0.39%
富力地產	RFP	33,547	0.20%
金沙中國有限公司	SAN	347,761	2.12%
新鴻基地產	SHK	144,185	0.88%
渣打集團	STC	21,559	0.13%
太古股份公司A	SWA	42,422	0.26%
騰訊控股	TCH	1,057,080	6.44%
盈富基金	TRF	15,817	0.10%
九龍倉集團	WHL	43,122	0.26%
兗州煤業股份	YZC	130,341	0.79%
紫金礦業	ZJM	60,611	0.37%
總數		16,408,792	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2012 第1季	79,872	41.52	179.93
第2季	70,419	43.03	179.84
第3季	66,152	42.10	156.14
第4季	76,640	50.63	198.54
2013 第1季	89,261	59.01	268.79

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2012 第1季	351	69.05	265.24
第2季	329	115.35	254.73
第3季	313	96.41	164.42
第4季	378	114.69	213.72
2013 第1季	463	240.85	251.98

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10 億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2012 第1季	3,751.61	70.60	10,833.95	50.26
第2季	3,713.59	70.37	10,006.88	50.29
第3季	3,672.03	69.98	10,816.76	50.71
第4季	3,663.66	70.30	12,330.06	51.73
2013 第1季	3,673.74	69.58	12,345.00	51.66

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2012	第1季	877,050	100	160,501.58	100	63,178.33	100
	第2季	737,075	100	135,165.30	100	50,166.62	100
	第3季	686,075	100	127,363.30	100	46,310.30	100
	第4季	804,910	100	127,520.75	100	55,786.39	100
2013	第1季	990,740	100	172,627.79	100	74,164.38	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2012	第1季	63,528	96.11	20,054.26	93.60
	第2季	52,112	96.20	15,324.06	94.21
	第3季	51,862	95.93	15,577.24	93.76
	第4季	61,879	95.79	19,248.65	92.00
2013	第1季	71,626	96.07	24,342.25	92.71

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2012	第1季	99.90	99.99
	第2季	99.91	99.99
	第3季	99.91	99.99
	第4季	99.90	99.99
2013	第1季	99.91	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2013 年第 1 季	2012 年第 1 季
恒生指數期貨	107,939	99,821
恒生指數期權	316,226	284,586
小型恒生指數期貨	6,897	6,653
小型恒生指數期權	6,481	5,195
H股指數期貨	147,677	117,764
H股指數期權	741,018	291,787
小型H股指數期貨	3,070	2,575
自訂條款恒生指數期權	13,232	7,510
自訂條款H股指數期權	20,331	4,400
恒指股息點指數期貨	5,919	5,453
恒生國企股息點指數期貨	48,394	49,815
恒指波幅指數期貨 ¹	27	39
IBOVESPA 期貨 ²	0	—
MICEX 指數期貨 ³	0	—
Sensex 指數期貨 ⁴	0	4
FTSE/JSE Top40 期貨 ⁵	0	—
股票期貨	39,257	14,178
股票期權	4,758,454	4,971,657
三個月港元利率期貨	0	95
一個月港元利率期貨	0	—
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ⁶	4,791	—
黃金期貨	0	0
總數	6,219,713	5,861,532

¹ 恒指波幅指數期貨由2012年2月20日開始買賣

² IBOVESPA期貨由2012年3月30日開始買賣

³ MICEX指數期貨由2012年3月30日開始買賣

⁴ Sensex期貨由2012年3月30日開始買賣

⁵ FTSE/JSE Top40期貨由2012年3月30日開始買賣

⁶ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

結算及交收

各期權類別之未平倉合約

2013年第1季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	150,177	3.16
農業銀行	ABC	30,970	0.65
安徽海螺水泥股份	ACC	32,161	0.68
友邦保險	AIA	85,757	1.80
中國鋁業	ALC	10,217	0.21
華夏滬深三百	AMC	19,639	0.41
中國銀行	BCL	652,365	13.71
交通銀行	BCM	56,969	1.20
東亞銀行	BEA	6,200	0.13
百麗國際	BIH	16,547	0.35
中銀香港	BOC	51,518	1.08
比亞迪股份	BYD	8,002	0.17
建設銀行	CCB	641,140	13.47
中國交通建設	CCC	22,225	0.47
中國中煤能源	CCE	67,623	1.42
中國遠洋	CCS	29,880	0.63
中國移動	CHT	223,770	4.70
中國聯通	CHU	37,546	0.79
中信泰富	CIT	17,191	0.36
長江實業	CKH	31,060	0.65
中國人壽	CLI	180,430	3.79
中電控股	CLP	15,978	0.34
招商銀行	CMB	64,291	1.35
中國海洋石油	CNC	133,908	2.81
中國海外發展	COL	36,143	0.76
國泰航空	CPA	4,328	0.09
中國石油化工股份	CPC	132,340	2.78
中國太保	CPI	23,008	0.48
中國鐵建	CRC	17,972	0.38
中國中鐵	CRG	5,870	0.12
X標智滬深300	CS3	398	0.01
南方A50	CSA	19,036	0.40
中國神華	CSE	74,540	1.57
中信銀行	CTB	29,723	0.62
中國電信	CTC	30,317	0.64
思捷環球	ESP	35,700	0.75
富士康國際	FIH	4,339	0.09
銀河娛樂	GLX	47,184	0.99
電能實業	HEH	11,813	0.25
香港交易所	HEX	178,701	3.76
匯豐控股	HKB	187,454	3.94
香港中華煤氣	HKG	8,905	0.19
恒基地產	HLD	6,837	0.14

結算及交收

期權類別		未平倉合約	佔市場百分比
華能國際電力股份	HNP	15,352	0.32
恒生銀行	HSB	9,311	0.20
和記黃埔	HWL	45,283	0.95
工商銀行	ICB	519,325	10.91
江西銅業	JXC	18,462	0.39
利豐	LIF	38,101	0.80
地鐵公司	MTR	9,852	0.21
中國建材	NBM	19,337	0.41
新世界發展	NWD	21,001	0.44
中國平安	PAI	139,707	2.94
中國石油股份	PEC	82,349	1.73
中國人民財產保險	PIC	14,610	0.31
富力地產	RFP	9,658	0.20
金沙中國有限公司	SAN	88,554	1.86
新鴻基地產	SHK	23,567	0.50
渣打集團	STC	6,397	0.13
太古股份公司A	SWA	9,990	0.21
騰訊控股	TCH	165,503	3.48
盈富基金	TRF	6,537	0.14
九龍倉集團	WHL	10,491	0.22
兗州煤業股份	YZC	50,117	1.05
紫金礦業	ZJM	14,778	0.31
總數		4,758,454	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第1季	559 (984)	500 (918)	38 (45)	21 (21)
	第2季	563 (988)	503 (921)	39 (46)	21 (21)
	第3季	568 (994)	506 (923)	41 (50)	21 (21)
	第4季	571 (996)	511 (928)	39 (47)	21 (21)
2013	第1季	572 (997)	509 (924)	42 (52)	21 (21)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第1季	230 (254)	182 (204)	—	48 (50)
	第2季	234 (258)	185 (207)	—	49 (51)
	第3季	235 (259)	185 (207)	—	50 (52)
	第4季	234 (258)	185 (207)	—	49 (51)
2013	第1季	230 (254)	181 (203)	—	49 (51)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2012 第1季	156	10
第2季	158	10
第3季	158	9
第4季	158	9
2013 第1季	154	8

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2012 第1季	59	8
第2季	59	8
第3季	62	8
第4季	63	9
2013 第1季	63	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2012 第1季	487	8	48
第2季	489	8	48
第3季	491	9	47
第4季	493	9	46
2013 第1季	491	9	45

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券