

證券及衍生產品市場 季報

2014年第一季

市場概況

主板

概況	2014年第1季	2013年第1季
上市公司數目	1,471	1,378
上市證券數目	9,203	7,563
股份		
普通股	1,472	1,379
優先股	3	3
認股權證	5,342	4,495
股份	7	11
衍生	5,335	4,484
股票掛鈎票據	0	0
牛熊證	1,799	1,276
單位信託及互惠基金	131	111
債券	456	299
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	61	59
總成交金額(百萬港元)*	4,103,585.48	4,374,641.78
總成交股數(百萬股)	8,334,978.09	10,130,799.94
總成交宗數(宗數)	66,774,745	58,156,507
上市公司市價總值(百萬港元)	22,892,041.53	21,865,919.62
平均市盈率(倍)	10.26	10.49
平均週息率(百分率)	3.51	3.08
交易所參與者數目		
開業	503	509
非開業	38	42
成交金額(百萬港元)		
最高	91,691.23 (21/03)	128,156.37 (04/02)
最低	48,758.98 (02/01)	46,674.18 (15/02)
成交股數(百萬股)		
最高	172,987.82 (08/01)	228,343.05 (14/03)
最低	107,436.82 (02/01)	95,676.12 (15/03)
總成交宗數(宗數)		
最高	1,487,334 (27/01)	1,296,118 (10/01)
最低	848,938 (02/01)	742,370 (15/02)

* 成交金額包括非港元交易買賣的證券。

市場概況

創業板

概況	2014年第1季	2013年第1季
上市公司數目	195	179
上市證券數目	195	179
股份	195	179
認股權證	0	0
交易日數	61	59
總成交金額(百萬港元)*	63,841.30	12,379.96
總成交股數(百萬股)	108,376.84	55,859.81
總成交宗數(宗數)	1,599,170	371,144
上市公司市價總值(百萬港元)	172,776.82	87,318.43
平均市盈率(倍)	11.46	27.43
平均週息率(百分率)	0.50	0.93
成交金額(百萬港元)		
最高	2,938.71 (09/01)	381.77 (23/01)
最低	620.60 (11/02)	125.42 (19/03)
成交股數(百萬股)		
最高	4,095.76 (10/03)	2,270.89 (31/01)
最低	956.04 (06/02)	419.34 (14/02)
總成交宗數(宗數)		
最高	64,707 (09/01)	8,979 (08/03)
最低	14,556 (31/03)	3,930 (22/03)

* 成交金額包括非港元交易買賣的證券。

市場概況

衍生產品市場

概況	2014年第1季	2013年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	61.0	59.0
總合約成交量	17,076,554	16,199,674
股市指數產品	16,900,172	15,998,336
股票產品	100,874	176,284
利率產品	10	0
人民幣貨幣期貨	75,498	25,054
黃金期貨	0	0
平均每日合約成交量	279,944	274,571
季末未平倉合約	1,683,250	1,461,259
股市指數產品	1,654,060	1,417,211
股票產品	19,695	39,257
利率產品	0	0
人民幣貨幣期貨	9,495	4,791
黃金期貨	0	0
股票期權		
新開辦期權類別	4	2
股票期權數目(於季末數目)		
類別	74	65
系列	18,930	12,932
期權金總額(百萬港元)	14,497.65	10,840.90
認購	6,384.32	4,785.64
認沽	8,113.33	6,055.26
平均每日期權金總額(百萬港元)	237.67	183.74
總合約成交量	16,760,161	16,408,792
認購	7,976,155	8,086,898
認沽	8,784,006	8,321,894
平均每日合約成交量	274,757	278,115
季末未平倉合約	5,458,789	4,758,454
認購	2,525,406	2,199,870
認沽	2,933,383	2,558,584
總成交宗數	744,326	749,275
平均每日成交宗數	12,202	12,700
已行使合約總數	1,981,292	1,851,439
每張合約平均期權金(港元)	865.01	660.68
每宗交易平均合約數目	23	22
認沽數量/認購數量	1.10	1.03
平均每日合約成交量/未平倉合約	5.0%	5.8%
所有期貨及期權產品		
總合約成交量	33,836,715	32,608,466
季末未平倉合約	7,142,039	6,219,713

市場概況

結算及交收

中央結算系統營運資料	2014年第1季	2013年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	1,120,215	990,740
-交易金額(十億港元)	68.2	74.2
-涉及股數(十億股)	138.4	172.6
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	89,857	89,261
-交收指示涉及金額(十億港元)	200.4	268.8
-涉及股數(十億股)	51.5	59.0
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	418	463
-交收指示涉及金額(百萬港元)	302.9	252.0
-涉及股數(百萬股)	195.8	240.9
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.91	99.91
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	5	5
-補購宗數	5	5
-補購涉及金額(百萬港元)	4.2	1.1
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	4,058.3	3,673.7
-獲納入系統的證券佔已發行股本總數的百分比	70.36	69.58
-股份價值(十億港元)	13,423.2	12,345.0
-獲納入系統的證券佔市價總值的百分比	52.82	51.66
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	411,217	319,923
-股票期貨	19,695	39,257
-黃金期貨	0	0
-利率期貨	0	0
-人民幣貨幣期貨	9,495	4,791
-股市指數期權	1,242,843	1,097,288
-股票期權	5,458,789	4,758,454
累積行使股票期權		
-涉及股數(百萬股)	2,246	1,952
-涉及金額(十億港元)	41	35

* 股數及市價總值包括非港元證券。

主板 股份

股份成交

		股數 (百萬股)	金額 (百萬港元)	成交宗數
2013	第1季	668,094.05	3,081,052.48	47,851,601
	第2季	535,314.29	2,706,214.49	47,274,428
	第3季	547,703.15	2,531,014.96	44,925,237
	第4季	696,519.78	2,824,736.45	47,763,753
2014	第1季	823,979.44	3,181,471.21	56,265,350

股份交易統計

		交易日數	每日平均成交 金額(百萬港元)	平均每宗 交易成交金額
2013	第1季	59	52,221.23	64,388
	第2季	60	45,103.57	57,245
	第3季	63	40,174.84	56,338
	第4季	62	45,560.27	59,140
2014	第1季	61	52,155.27	56,544

主板 股份

20種升幅最大之股份

2014年第1季

順次	股份代號	股份	收市價		升幅
			2014年3月底	2013年12月底	
1	00241	中信21世紀	5.700	0.560	917.86
2	00776	捷豐家居	12.780	2.200	480.91
3	01004	麗盛集團控股	4.300	0.790	444.30
4	01060	文化中國傳播	1.740	0.400	335.00
5	00143	GLOBAL TECH	0.200	0.050	300.00
6	00810	華保亞洲	0.610	0.190	221.05
7	01003	21控股	1.680	0.550	205.45
8	01196	昌明投資	1.300	0.460	182.61
9	00894	萬裕科技	2.610	0.930	180.65
10	00727	卓越金融	0.485	0.175	177.14
11	00993	天行國際	32.500	11.800	175.42
12	00295	江山控股	0.480	0.175	174.29
13	00876	永利控股	1.800	0.660	172.73
14	01228	奇峰國際	0.890	0.330	169.70
15	00996	嘉年華國際	0.660	0.247	167.21
16	01236	國農控股	3.070	1.170	A 162.39
17	00273	威利國際	1.510	0.610	A 147.54
18	00982	卓智控股	0.216	0.088	145.45
19	00619	南華金融	0.227	0.103	120.39
20	00582	藍鼎國際	0.730	0.335	117.91

A 經調整

20種跌幅最大之股份

2014年第1季

順次	股份代號	股份	收市價		跌幅
			2014年3月底	2013年12月底	
1	02339	京西國際	0.435	3.650	-88.08
2	00986	中國環保能源	0.138	0.996	A -86.14
3	00923	綜合環保集團	0.500	1.370	-63.50
4	00745	中國鐵聯傳媒	0.730	1.830	-60.11
5	01149	中國安芯	1.200	2.460	-51.22
6	01329	鉅大國際	2.750	5.170	-46.81
7	00686	聯合光伏	0.810	1.520	-46.71
8	00809	大成生化科技	0.320	0.600	-46.67
9	00557	CITY E-SOLUTION	1.060	1.980	-46.46
10	00065	弘海有限公司	0.300	0.560	-46.43
11	01009	國際娛樂	4.670	8.440	-44.67
12	02123	金盾控股	0.224	0.400	-44.00
13	01262	蠟筆小新食品	3.000	5.200	-42.31
14	01431	原生態牧業	1.310	2.230	-41.26
15	01106	中國海景	0.125	0.212	-41.04
16	00070	海王集團	0.203	0.340	-40.29
17	01025	物美商業	7.560	12.600	-40.00
18	00866	中國秦發	0.400	0.660	-39.39
19	03788	中國罕王	1.200	1.950	-38.46
20	00474	昊天發展集團	0.200	0.320	-37.50

A 經調整

主板 股份

20種成交最活躍股份(金額)

2014年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	214,981.65	6.76
2	00939	建設銀行	88,335.72	2.78
3	00005	匯豐控股	80,442.70	2.53
4	00941	中國移動	79,448.83	2.50
5	01398	工商銀行	75,566.01	2.38
6	00027	銀河娛樂	64,259.38	2.02
7	03988	中國銀行	60,708.04	1.91
8	00883	中國海洋石油	55,864.90	1.76
9	01299	友邦保險	55,601.08	1.75
10	02318	中國平安	54,857.48	1.72
11	00386	中國石油化工股份	54,415.45	1.71
12	01928	金沙中國有限公司	52,869.18	1.66
13	00013	和記黃埔	45,567.02	1.43
14	00857	中國石油股份	45,280.85	1.42
15	02628	中國人壽	41,196.89	1.29
16	00992	聯想集團	38,762.68	1.22
17	00688	中國海外發展	35,313.76	1.11
18	01288	農業銀行	32,479.39	1.02
19	01359	中國信達	28,282.79	0.89
20	02333	長城汽車	28,281.92	0.89
總額			1,232,515.71	38.74

20種成交最活躍股份(股數)

2014年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	00397	君陽太陽能	22,469.63	2.73
2	01371	華彩控股	19,636.46	2.38
3	00981	中芯國際	19,253.62	2.34
4	03988	中國銀行	18,531.70	2.25
5	00939	建設銀行	16,584.67	2.01
6	01398	工商銀行	16,029.30	1.95
7	02309	伯明翰環球	14,622.06	1.77
8	00326	中國星集團	12,970.58	1.57
9	00555	御泰中彩控股	11,023.82	1.34
10	00729	中聚電池	10,735.37	1.30
11	01060	文化中國傳播	10,582.47	1.28
12	01288	農業銀行	9,797.54	1.19
13	00698	通達集團	9,548.50	1.16
14	03800	保利協鑫能源	9,543.88	1.16
15	00566	漢能太陽能	9,199.60	1.12
16	00648	中國仁濟醫療	8,992.41	1.09
17	00386	中國石油化工股份	8,454.59	1.03
18	00986	中國環保能源	6,930.92	0.84
19	00024	寶威控股	6,882.11	0.84
20	00082	第一視頻	6,480.20	0.79
總數			248,269.45	30.13

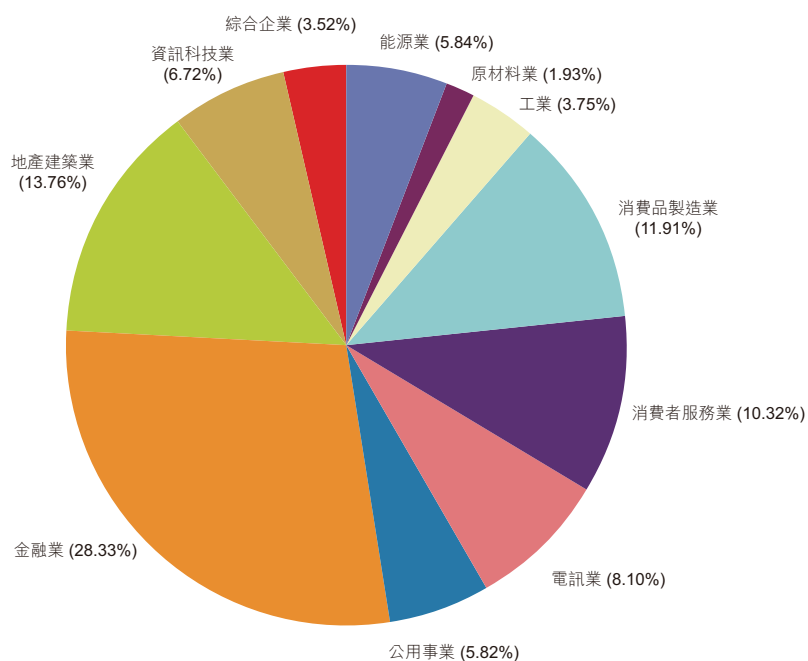
主板 股份

上市公司市價總值－主板

		百萬港元
2013	第1季	21,865,919.62
	第2季	20,607,719.38
	第3季	22,697,506.14
	第4季	23,908,803.80
2014	第1季	22,892,041.53

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主板 股份

50間市價總值最大之上市公司

截至2014年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)	
1	00005	匯豐控股有限公司	1,483,303.83	6.48	
2	00941	中國移動有限公司	1,431,745.45	6.25	
3	00939	中國建設銀行股份有限公司—H股	1,305,466.05	5.70	
4	00700	騰訊控股有限公司	1,005,628.00	4.39	
5	00805	Glencore Xstrata plc *	—	538,494.26	N.A.
6	00883	中國海洋石油有限公司	520,589.34	2.27	
7	01928	金沙中國有限公司	467,300.40	2.04	
8	01299	友邦保險控股有限公司	443,219.20	1.94	
9	00013	和記黃埔有限公司	437,848.18	1.91	
10	02378	英國保誠有限公司*	—	429,749.46	N.A.
11	01398	中國工商銀行股份有限公司—H股	414,007.59	1.81	
12	02888	渣打集團有限公司	393,714.81	1.72	
13	00001	長江實業(集團)有限公司	297,858.73	1.30	
14	03988	中國銀行股份有限公司—H股	287,660.63	1.26	
15	00027	銀河娛樂集團有限公司	285,194.33	1.25	
16	00945	Manulife Financial Corporation *	—	274,447.27	N.A.
17	00016	新鴻基地產發展有限公司	256,931.18	1.12	
18	00762	中國聯合網絡通信(香港)股份有限公司	242,598.97	1.06	
19	00011	恒生銀行有限公司	236,112.58	1.03	
20	02388	中銀香港(控股)有限公司	233,658.44	1.02	
21	02318	中國平安保險(集團)股份有限公司—H股	201,241.80	0.88	
22	00857	中國石油天然氣股份有限公司—H股	178,285.71	0.78	
23	03328	交通銀行股份有限公司—H股	177,510.14	0.78	
24	00386	中國石油化工股份有限公司—H股	177,063.26	0.77	
25	01128	永利澳門有限公司	167,039.11	0.73	
26	00066	香港鐵路有限公司	166,422.26	0.73	
27	00688	中國海外發展有限公司	164,269.59	0.72	
28	02628	中國人壽保險股份有限公司—H股	163,333.79	0.71	
29	06883	新濠博亞娛樂有限公司	161,830.11	0.71	
30	00003	香港中華煤氣有限公司	161,749.62	0.71	
31	01913	PRADA S.p.A.	155,320.62	0.68	
32	00151	中國旺旺控股有限公司	152,997.17	0.67	
33	00004	九龍倉集團有限公司	150,294.32	0.66	
34	00002	中電控股有限公司	147,797.36	0.65	
35	00006	電能實業有限公司	143,529.10	0.63	
36	00388	香港交易及結算所有限公司	136,608.35	0.60	
37	00019	太古股份有限公司	131,929.75	0.58	
38	01972	太古地產有限公司	129,285.00	0.56	
39	00322	康師傅控股有限公司	124,520.02	0.54	
40	01929	周大福珠寶集團有限公司	122,200.00	0.53	
41	00012	恒基兆業地產有限公司	122,129.58	0.53	
42	00880	澳門博彩控股有限公司	121,073.48	0.53	
43	01038	長江基建集團有限公司	120,760.74	0.53	
44	00135	昆侖能源有限公司	104,779.63	0.46	
45	02282	美高梅中國控股有限公司	103,930.00	0.45	
46	01288	中國農業銀行股份有限公司—H股	103,897.22	0.45	
47	00101	恒隆地產有限公司	99,883.83	0.44	
48	01109	華潤置地有限公司	99,241.90	0.43	
49	01044	恒安國際集團有限公司	98,941.76	0.43	
50	00836	華潤電力控股有限公司	96,792.65	0.42	
總額			13,927,495.58	60.84	
市場總值			22,892,041.53	100.00	

註：

* 不包括市場總值內

主板 股份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2013	第1季	407,011.47	4,387,021.75	9.28
	第2季	402,326.06	3,738,340.40	10.76
	第3季	362,504.10	3,478,201.02	10.42
	第4季	327,062.28	3,661,066.79	8.93
2014	第1季	413,654.80	4,167,426.78	9.93

* 包括主板及創業板市場總額。

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2013	第1季	39,479.17	10,186,659.76	0.39
	第2季	41,554.24	8,751,359.18	0.47
	第3季	38,306.44	8,245,169.14	0.46
	第4季	34,177.79	7,256,548.86	0.47
2014	第1季	41,100.03	8,443,354.93	0.49

* 包括主板及創業板市場總股數。

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2013	第1季	10	0	8,124.40
	第2季	6	1	30,416.14
	第3季	15	2	19,845.52
	第4季	48	5	107,181.69
2014	第1季	18	2	45,540.23

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額。

主板 股份

新上市公司

2014年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
01385	上海復旦微電子集團股份有限公司—H股*	2014/01/08	242,330,000	—
01353	福建諾奇股份有限公司—H股	2014/01/09	160,794,000	342.49
01439	中華包裝控股發展有限公司	2014/01/13	800,000,000	102.00
01247	米格國際控股有限公司	2014/01/15	824,000,000	419.52
03332	南京中生聯合股份有限公司—H股	2014/01/15	227,058,000	454.12
01513	麗珠醫藥集團股份有限公司—H股	2014/01/16	111,993,354	—
01738	飛尚無煙煤資源有限公司	2014/01/22	124,554,580	—
02080	Magnum Entertainment Group Holdings Ltd.	2014/01/23	312,600,000	144.90
02226	老恒和釀造有限公司	2014/01/28	518,750,000	1,027.81
02638	港燈電力投資與港燈電力投資有限公司—SS	2014/01/29	8,836,200,000	24,126.61
01622	力高地產集團有限公司	2014/01/30	1,600,000,000	1,000.00
02886	濱海投資有限公司*	2014/02/11	5,995,522,027	—
01636	中國金屬資源利用有限公司	2014/02/21	2,105,145,600	706.57
01340	惠生國際控股有限公司	2014/02/28	402,484,000	251.09
06288	迅銷有限公司—預託證券	2014/03/05	500,000,000	—
03636	保利文化集團股份有限公司—H股	2014/03/06	89,447,600	2,916.77
02255	海昌控股有限公司	2014/03/13	4,000,000,000	2,450.00
02608	陽光100中國控股有限公司	2014/03/13	2,000,000,000	2,000.00
00798	光谷聯合控股有限公司	2014/03/28	4,000,000,000	830.00
06138	哈爾濱銀行股份有限公司—H股	2014/03/31	3,023,570,000	8,768.35
總額				45,540.23

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主板 股份

除牌上市公司

2014年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
季度內沒有上市公司除牌買賣			

主板 股份

公司名稱更改

2014年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
00547	奧亮集團有限公司	數字王國集團有限公司	2013/12/06	2014/01/02
00286	金匡企業有限公司	同佳國際健康產業集團有限公司	2013/12/03	2014/01/03
00245	中國七星購物有限公司	中國七星控股有限公司	2013/12/27	2014/01/07
02369	中國無線科技有限公司	酷派集團有限公司	2013/11/29	2014/01/09
00207	僑福建設企業機構有限公司	中糧置地控股有限公司	2013/12/19	2014/01/17
00901	萊福資本投資有限公司	鷹力投資控股有限公司	2014/01/07	2014/01/17
00871	翔宇疏浚控股有限公司	中國疏浚環保控股有限公司	2013/12/18	2014/01/17
01159	泰盛實業集團有限公司	中國綠能國際集團有限公司	2013/12/18	2014/01/21
00628	多金控股有限公司	華銀控股有限公司	2013/12/16	2014/01/22
00766	中盈國金資源控股有限公司	中盈(集團)控股有限公司	2013/12/12	2014/01/27
00187	北人印刷機械股份有限公司－H股	北京京城機電股份有限公司－H股	2013/12/23	2014/02/04
02371	中國東方文化集團有限公司	中國創聯教育集團有限公司	2014/01/02	2014/02/04
00254	中國戶外媒體集團有限公司	國家聯合資源控股有限公司	2014/01/21	2014/02/04
00641	立信工業有限公司	恆天立信工業有限公司	2013/12/10	2014/02/04
02366	勤+緣媒體服務有限公司	星美文化集團控股有限公司	2014/01/16	2014/02/10
00686	金保利新能源有限公司	聯合光伏集團有限公司	2014/01/10	2014/02/11
02339	北泰創業集團有限公司	京西重工國際有限公司	2014/01/24	2014/03/04
00593	Allied Overseas Ltd.	天洋國際控股有限公司	2014/02/21	2014/03/19
00989	潤迅通信國際有限公司	廣澤地產有限公司	2014/01/27	2014/03/19
01236	乾隆科技國際控股有限公司	國農控股有限公司	2014/02/10	2014/03/20
00202	國中控股有限公司	潤中國際控股有限公司	2014/03/18	2014/03/26

* 祇改英文名稱。

祇改中文名稱。

主板 股份

紅股／紅利認股權證

2014年第1季

股份代號	公司	詳情	除淨日
00211	大凌集團有限公司	10股送2份2015年認股權證	2014/01/29
01613	協同通信集團有限公司	1送4	2014/02/12
00149	中國農產品交易有限公司	每供15股送1股股份	2014/02/21
02322	仁瑞投資控股有限公司	每供1股送2股股份	2014/03/07
02324	首都創投有限公司	每供4股送1份紅利認股權證	2014/03/19

股份拆細及合併

2014年第1季

股份代號	公司	詳情	生效日期
00986	中國環保能源投資有限公司	1股分拆為5股	2014/02/05
01236	國農控股有限公司	1股分拆為4股	2014/02/11
00152	深圳國際控股有限公司	10股合併為1股	2014/02/13
00149	中國農產品交易有限公司	40股合併為1股	2014/02/18
01152	豐臨集團有限公司	1股分拆為2股	2014/03/06
00273	威利國際控股有限公司	1股分拆為5股	2014/03/13

主板 股份

供股／公開售股

2014年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	00160	漢國置業有限公司	股權2供1，每股供2.70港元	648.39
	00326	中國星集團有限公司	公開售股5供2，每股供0.125港元	328.37
	00169	萬達商業地產(集團)有限公司	股權10供3，每股供2.88港元	2,467.51
	01822	幻音數碼控股有限公司	公開售股5供6，每股供0.05港元	67.23
	00180	開達集團有限公司	股權7供3，每股供0.38港元	108.37
	00582	藍鼎國際發展有限公司	股權2供1，每股供0.30港元	1,416.64
	00191	麗新製衣國際有限公司	股權25供4，每股供0.834港元	215.83
	01333	中國忠旺控股有限公司	公開售股10供3 @HKD 2.61 (有權申請可轉換優先股以代替 全部或部分普通股配額)	7.06*
	2月	00643	恒富控股有限公司	股權2供1，每股供0.50港元
01227		國盛投資基金有限公司	股權1供3，每股供0.10港元	99.50
00115		鈞濠集團有限公司	公開售股2供1，每股供0.04港元	50.76
3月	01124	沿海綠色家園有限公司	公開售股2供1，每股供0.25港元	348.82
	00149	中國農產品交易有限公司	股權1供15，每股供0.465港元 (40股合併為1股後) 另每供15股送1股股份	514.58
	02322	仁瑞投資控股有限公司	股權1供1，每股供0.18港元 另每供1股送2股股份	151.92
總額				6,558.83

* 集資金額不包括可轉換優先股的集資部分。

主板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)	
2014						
1月	00706	美麗中國控股	2,000,000,000	0.100	200.00	
	02339	京西國際	1,351,070,395	0.117	157.81	
	06828	中國威力印刷	40,018,000	2.600	104.05	
	00204	中國投資開發	70,000,000	1.420	99.40	
	02883	中海油田服務	276,272,000	21.300	5,884.59	
	00956	新天綠色能源	476,725,396	3.350	1,597.03	
	01668	華南城	680,300,000	2.200	1,496.66	
	00479	華建控股	313,820,000	0.110	34.52	
	00875	從玉農業	34,480,000	0.145	5.00	
	01777	花樣年控股	863,600,074	1.413	1,220.18	
	02123	金盾控股	113,000,000	0.290	32.77	
	00419	中國9號健康	285,714,285	0.350	100.00	
	01680	澳門勵駿	188,000,000	7.250	1,363.00	
	00810	華保亞洲	71,832,000	0.165	11.85	
	01187	珠江輪胎	20,000,000	2.500	50.00	
	00364	坪山茶業	600,000,000	0.180	108.00	
	00460	四環醫藥	7,067,103	7.550	53.36	
	00628	華銀控股	10,000,000	0.650	6.50	
	00702	中國油氣控股	300,000,000	0.220	66.00	
	01020	華耐控股	200,000,000	0.380	76.00	
	02012	陽光油砂	90,588,235	1.700	154.00	
	00686	聯合光伏	480,000,000	1.720	825.60	
	00881	中升控股	238,560,162	10.799	2,576.25	
	2月	02309	伯明翰環球	1,260,000,000	0.050	63.00
		00653	卓悅控股	105,000,000	1.560	163.80
		00159	布萊克萬礦業	487,500,000	0.400	195.00
		00108	建懋國際	395,920,914	1.000	395.92
00317		廣州廣船國際股份	387,453,797	7.290	2,824.54	
00816		華電福新	356,975,520	3.300	1,178.02	
01029		鐵貨	165,000,000	0.940	155.10	
00776		捷豐家居	47,867,000	3.140	150.30	
00628		華銀控股	110,000,000	0.650	71.50	
00039		中國釀酒集團	239,032,479	0.405	96.81	
00476		中銅資源	422,300,000	0.600	253.38	
00198		星美國際	550,000,000	0.210	115.50	
00509		世紀陽光	155,077,000	0.750	116.31	
02012		陽光油砂	90,653,958	1.700	154.11	
3月		00720	意達利控股	500,000,000	0.125	62.50
	01428	耀才證券金融	75,000,000	1.300	97.50	
	02312	中國金融租賃	88,600,000	0.260	23.04	
	00009	長和國際實業	214,200,000	0.560	119.95	
	00651	中海船舶	530,000,000	0.107	56.71	
	00756	中國天溢控股	103,888,000	1.120	116.35	
	00904	中國綠色食品	176,805,000	0.600	106.08	
	00182	中國風電	880,000,000	0.430	378.40	
	01278	中國新城鎮	5,347,921,071	0.270	1,443.94	
	00471	中播控股	61,035,149	0.260	15.87	
	00764	永恒策略	91,000,000	0.780	70.98	

主板 股份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
	00037	遠東酒店實業	97,768,000	0.190	18.58
	00703	FUTUREBRIGHT	65,400,000	4.300	281.22
	01169	海爾電器	52,389,996	18.413	964.66
	00381	僑雄能源	135,000,000	0.175	23.63
	02319	蒙牛乳業	121,236,357	42.500	5,152.55
	01319	靄華押業信貸	50,000,000	1.450	72.50
	00628	華銀控股	90,000,000	0.700	63.00
	00628	華銀控股	90,000,000	0.750	67.50
	00729	中聚電池	1,400,000,000	0.500	700.00
	00698	通達集團	600,000,000	1.120	672.00
總額					32,662.80

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主板 認股權證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2013	第1季	25.69	605,817.72	605,843.41
	第2季	5.48	428,993.08	428,998.56
	第3季	12.47	389,973.38	389,985.85
	第4季	37.19	358,530.50	358,567.70
2014	第1季	30.09	431,689.18	431,719.27

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)
		數目	數目	總數		
2013	第1季	11	359.52	4,484	70,659.35	71,018.87
	第2季	10	231.90	4,895	56,917.10	57,149.00
	第3季	9	280.27	4,696	91,474.60	91,754.87
	第4季	8	356.40	4,715	95,733.93	96,090.32
2014	第1季	7	336.27	5,335	76,633.31	76,969.58

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2013	第1季	1	1,880	48,254.00
	第2季	2	1,684	41,005.85
	第3季	0	1,654	40,026.39
	第4季	0	2,046	45,648.98
2014	第1季	1	2,188	51,909.16

新上市股份認股權證

2014年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01352	大凌集團有限公司2015年認股權證	71,315,462	2014/02/20	2015/08/18	-
總額					-

主板 認股權證

新上市衍生權證

2014年第1季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12384	Credit Suisse AG - HSI European Warrants Apr 2014 F	200,000,000	2014/01/02	2014/04/29	30.00
12386	Credit Suisse AG - HSI European Warrants Apr 2014 G	200,000,000	2014/01/02	2014/04/29	30.00
12382	Credit Suisse AG - HSI European Warrants May 2014 E	200,000,000	2014/01/02	2014/05/29	32.00
12387	Credit Suisse AG - HSI European Warrants May 2014 F	200,000,000	2014/01/02	2014/05/29	54.00
12388	Credit Suisse AG - HSI European Warrants Jun 2014 C	200,000,000	2014/01/02	2014/06/27	34.00
12422	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Aug 2014 A	100,000,000	2014/01/02	2014/08/22	15.00
12423	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts May 2014 B	70,000,000	2014/01/02	2014/05/27	10.50
12421	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts May 2014 A	60,000,000	2014/01/02	2014/05/05	13.20
12419	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Apr 14 B	60,000,000	2014/01/02	2014/04/22	10.80
12418	Citigroup Global Mkt H Inc. - Henganintl Euro Wts Jul 2014 A	50,000,000	2014/01/02	2014/07/02	27.50
12424	Citigroup Global Mkt H Inc. - Hutchison Eu Put Wt May 2014 A	60,000,000	2014/01/02	2014/05/26	10.50
12420	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jun 2014 A	100,000,000	2014/01/02	2014/06/03	23.00
12417	Citigroup Global Mkt H Inc. - Tencent European Wts Apr 2014 D	100,000,000	2014/01/02	2014/04/29	15.50
12416	Goldman Sachs SP (Asia) - New World Dev Euro Wts Sep 2014 A	80,000,000	2014/01/02	2014/09/29	24.08
12374	HK Bank - Cheung Kong European Warrants Jul 2014 A	80,000,000	2014/01/02	2014/07/02	36.00
12375	HK Bank - Cheung Kong European Warrants Mar 2017 A	250,000,000	2014/01/02	2017/03/28	45.00
12369	HK Bank - China Life European Warrants Sep 2014 B	100,000,000	2014/01/02	2014/09/23	15.00
12373	HK Bank - Ch Ship Cont European Warrants Jul 2014 A	50,000,000	2014/01/02	2014/07/28	12.50
12368	HK Bank - Sands China European Warrants Jul 2014 A	80,000,000	2014/01/02	2014/07/02	36.00
12372	HK Bank - Tencent European Warrants Jun 2014 D	100,000,000	2014/01/02	2014/06/10	25.00
12370	HK Bank - Tencent European Put Warrants Jun 2014 C	100,000,000	2014/01/02	2014/06/03	15.00
12371	HK Bank - Tencent European Put Warrants Jul 2014 B	100,000,000	2014/01/02	2014/07/02	25.00
12415	J P Morgan SP BV - CSOP A50 ETF European Warrants Jul 2015 B	200,000,000	2014/01/02	2015/07/06	30.00
12414	J P Morgan SP BV - A50 China European Warrants Dec 2015 A	200,000,000	2014/01/02	2015/12/23	30.00
12390	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Jul 2014 A	40,000,000	2014/01/02	2014/07/03	10.00
12413	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2014 D	180,000,000	2014/01/02	2014/08/04	37.80
12376	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul 14 A	100,000,000	2014/01/02	2014/07/02	21.00
12379	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2014 A	100,000,000	2014/01/02	2014/07/02	25.00
12381	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Jul14D	70,000,000	2014/01/02	2014/07/02	15.40
12405	UBS AG - AIA European Put Warrants Jul 2014 B	50,000,000	2014/01/02	2014/07/02	12.50
12412	UBS AG - BOCL European Warrants Aug 2014 A	100,000,000	2014/01/02	2014/08/26	18.00
12393	UBS AG - CC Bank European Put Warrants Jul 2014 D	100,000,000	2014/01/02	2014/07/14	28.00
12392	UBS AG - China Mobile European Put Warrants Jul 2014 B	100,000,000	2014/01/02	2014/07/02	40.00
12400	UBS AG - A50 China European Put Warrants Jul 2014 B	50,000,000	2014/01/02	2014/07/02	15.00
12408	UBS AG - Galaxy Ent European Put Warrants Jun 2014 D	70,000,000	2014/01/02	2014/06/16	10.50
12398	UBS AG - HKEx European Put Warrants Jul 2014 B	40,000,000	2014/01/02	2014/07/02	10.00
12397	UBS AG - HSBC European Put Warrants Jun 2014 C	100,000,000	2014/01/02	2014/06/09	26.00
12411	UBS AG - Haitong Sec European Warrants Nov 2014 A	80,000,000	2014/01/02	2014/11/26	18.40
12404	UBS AG - Hutchison European Put Warrants Jun 2014 D	70,000,000	2014/01/02	2014/06/23	16.10
12403	UBS AG - ICBC European Put Warrants Jul 2014 C	60,000,000	2014/01/02	2014/07/14	15.00
12407	UBS AG - Sands China European Put Warrants Jul 2014 A	40,000,000	2014/01/02	2014/07/02	10.00
12391	UBS AG - SPDR Gold Trust European Warrants Jul 2014 A	100,000,000	2014/01/02	2014/07/02	60.00

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12410	UBS AG - Tencent Holdings European Put Warrants Jun 2014 E	100,000,000	2014/01/02	2014/06/24	39.00
12409	UBS AG - Tencent Holdings European Put Warrants Jul 2014 B	100,000,000	2014/01/02	2014/07/02	15.00
21836 #	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Feb 2014 A	210,000,000	2014/01/02	2014/02/27	10.08
12427	J P Morgan SP BV - CAM CSI300 European Warrants Jul 2014 A	150,000,000	2014/01/03	2014/07/02	37.50
12426	J P Morgan SP BV - CSOP A50 ETF European Warrants Jul 2014 A	150,000,000	2014/01/03	2014/07/02	37.50
12428	J P Morgan SP BV - China Tel European Warrants Jul 2014 A	100,000,000	2014/01/03	2014/07/02	25.00
12425	J P Morgan SP BV - A50 China European Warrants Jul 2014 A	150,000,000	2014/01/03	2014/07/02	40.35
12444	Credit Suisse AG - Agricultural Bank European Wts Jul 2014 A	70,000,000	2014/01/06	2014/07/21	17.50
12440	Credit Suisse AG - CC Bank European Warrants Jun 2014 A	80,000,000	2014/01/06	2014/06/30	17.60
12447	Credit Suisse AG - CC Bank European Put Warrants Jul 2014 C	70,000,000	2014/01/06	2014/07/07	18.90
12443	Credit Suisse AG - Cheung Kong European Warrants Jul 2014 A	70,000,000	2014/01/06	2014/07/07	35.00
12436	Credit Suisse AG - China Mobile European Warrants Apr 2014 F	80,000,000	2014/01/06	2014/04/17	23.20
12435	Credit Suisse AG - China Mobile European Warrants Jun 2014 B	80,000,000	2014/01/06	2014/06/09	31.20
12450	Credit Suisse AG - CSOP A50 ETF Euro Put Wts Dec 2014 A	70,000,000	2014/01/06	2014/12/23	10.50
12449	Credit Suisse AG - A50 China European Put Wts Jul 2014 A	70,000,000	2014/01/06	2014/07/07	21.00
12442	Credit Suisse AG - Hutchison European Put Wts Jun 2014 E	70,000,000	2014/01/06	2014/06/23	14.00
12441	Credit Suisse AG - ICBC European Warrants May 2014 B	80,000,000	2014/01/06	2014/05/27	24.00
12448	Credit Suisse AG - ICBC European Put Warrants Jul 2014 C	70,000,000	2014/01/06	2014/07/07	17.50
12437	Credit Suisse AG - Jiangxi Copper European Wts Oct 2014 C	70,000,000	2014/01/06	2014/10/27	17.50
12438	Credit Suisse AG - KECL European Warrants Jun 2015 A	80,000,000	2014/01/06	2015/06/23	16.00
12439	Credit Suisse AG - Kingsoft European Warrants Jun 2014 B	80,000,000	2014/01/06	2014/06/27	17.60
12445	Credit Suisse AG - Ping An European Warrants Jul 2014 B	80,000,000	2014/01/06	2014/07/07	40.00
12433	Credit Suisse AG - SHK Ppt European Warrants Jun 2014 C	70,000,000	2014/01/06	2014/06/10	27.30
12430	HK Bank - AIA European Put Warrants May 2014 B	80,000,000	2014/01/06	2014/05/27	12.00
12429	HK Bank - Haitong Sec European Warrants Dec 2014 C	80,000,000	2014/01/06	2014/12/01	20.00
12431	HK Bank - PetroChina European Warrants Jul 2014 A	60,000,000	2014/01/06	2014/07/07	18.00
12454	J P Morgan SP BV - China EB Int'l European Wts Apr 2015 A	200,000,000	2014/01/06	2015/04/02	50.00
12453	J P Morgan SP BV - Tencent European Warrants Apr 2014 F	200,000,000	2014/01/06	2014/04/25	30.00
12452	J P Morgan SP BV - Tencent European Put Warrants Jul 2014 D	200,000,000	2014/01/06	2014/07/07	87.20
12451	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 14 A	150,000,000	2014/01/06	2014/09/03	33.75
12498	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2015 A	100,000,000	2014/01/07	2015/01/05	25.00
12496	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Aug 2014 B	100,000,000	2014/01/07	2014/08/04	25.00
12497	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jul 2014 B	100,000,000	2014/01/07	2014/07/03	23.00
12492	Credit Suisse AG - China EB Int'l European Wts Apr 2015 A	70,000,000	2014/01/07	2015/04/27	17.50
12477	Credit Suisse AG - Cheung Kong European Warrants May 2014 A	70,000,000	2014/01/07	2014/05/30	10.50
12478	Credit Suisse - Cheung Kong European Put Warrants Jun 2014 B	70,000,000	2014/01/07	2014/06/30	16.10
12483	Credit Suisse AG - China Mobile European Warrants Jun 2014 C	80,000,000	2014/01/07	2014/06/30	16.80
12484	Credit Suisse AG - China Mobile European Put Wts Jun 2014 D	70,000,000	2014/01/07	2014/06/23	13.30
12487	Credit Suisse AG - China Shenhua European Wts Sep 2014 A	70,000,000	2014/01/07	2014/09/29	12.60
12495	Credit Suisse AG - A50 China European Warrants May 2014 B	70,000,000	2014/01/07	2014/05/26	11.90
12490	Credit Suisse AG - Hutchison European Put Wts Jul 2014 A	70,000,000	2014/01/07	2014/07/07	31.50
12486	Credit Suisse AG - Lenovo European Warrants May 2014 A	70,000,000	2014/01/07	2014/05/26	26.60
12479	Credit Suisse AG - Lumena Newmat European Wts Oct 2014 A	70,000,000	2014/01/07	2014/10/31	10.50
12494	Credit Suisse AG - Melco Int'l Dev European Wts Apr 2014 A	70,000,000	2014/01/07	2014/04/28	12.60

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12482	Credit Suisse AG - PetroChina European Warrants May 2014 C	70,000,000	2014/01/07	2014/05/30	19.60
12488	Credit Suisse AG - Ping An European Warrants Apr 2014 A	80,000,000	2014/01/07	2014/04/28	50.40
12489	Credit Suisse AG - Stanchart European Warrants Mar 2015 A	70,000,000	2014/01/07	2015/03/30	12.60
12480	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 J	100,000,000	2014/01/07	2014/06/30	25.00
12481	Credit Suisse AG - ZTE European Warrants Sep 2014 A	70,000,000	2014/01/07	2014/09/29	10.50
12472	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2014 A	80,000,000	2014/01/07	2014/07/07	21.28
12469	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jul 2014 A	80,000,000	2014/01/07	2014/07/07	22.64
12471	Goldman Sachs SP (Asia) - KECL European Warrants Jun 2015 A	80,000,000	2014/01/07	2015/06/23	12.40
12476	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2014 E	120,000,000	2014/01/07	2014/06/30	18.00
12499	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2014 F	120,000,000	2014/01/07	2014/06/09	20.16
12500	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2014 A	120,000,000	2014/01/07	2014/07/07	32.16
12470	Goldman Sachs SP (Asia) - Wharf European Warrants Sep 2014 A	68,000,000	2014/01/07	2014/09/02	17.14
12467	HK Bank - CNOOC European Warrants Dec 2014 A	100,000,000	2014/01/07	2014/12/29	15.00
12463	HK Bank - China Overseas European Warrants Jul 2014 A	80,000,000	2014/01/07	2014/07/28	12.00
12455	HK Bank - China Tel European Warrants Jul 2014 A	80,000,000	2014/01/07	2014/07/07	20.00
12464	HK Bank - China Unicom European Warrants Oct 2014 A	80,000,000	2014/01/07	2014/10/31	12.00
12459	HK Bank - Foxconn European Warrants Jul 2014 B	60,000,000	2014/01/07	2014/07/31	21.00
12460	HK Bank - A50 China European Warrants Jul 2014 A	60,000,000	2014/01/07	2014/07/31	30.00
12465	HK Bank - KECL European Warrants Jul 2015 A	80,000,000	2014/01/07	2015/07/28	12.80
12458	HK Bank - SPDR Gold Trust European Warrants Jul 2014 A	60,000,000	2014/01/07	2014/07/07	15.00
12456	HK Bank - Tencent European Put Warrants Apr 2014 H	100,000,000	2014/01/07	2014/04/22	15.00
12457	HK Bank - Tencent European Put Warrants Jul 2014 C	80,000,000	2014/01/07	2014/07/09	25.60
12502	Standard Chartered Bank - Tencent Hldg Euro Wts Apr 2014 E	100,000,000	2014/01/07	2014/04/25	18.00
12501	Standard Chartered Bank - Tencent Hldg Eu Put Wts Jun 2014 D	100,000,000	2014/01/07	2014/06/24	33.00
12468	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Jun 14D	100,000,000	2014/01/07	2014/06/09	17.50
10271	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Sep 14 A	150,000,000	2014/01/07	2014/09/12	37.50
22083 #	Standard Chartered Bank - A50 China Euro Warrants Aug 2014 A	200,000,000	2014/01/07	2014/08/08	15.40
12503	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Oct16A	400,000,000	2014/01/08	2016/10/31	60.00
12506	UBS AG - Tencent Holdings European Warrants Jul 2014 C	100,000,000	2014/01/08	2014/07/07	25.00
12529	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Oct 2014 A	65,000,000	2014/01/09	2014/10/06	16.25
12526	BNP Paribas Arbit Issu B.V. - CMS European Warrants Jan 17 A	70,000,000	2014/01/09	2017/01/04	17.50
12524	BNP Paribas Arbit Issu B.V. - Sh Ind H European Wts Jul 15 A	24,000,000	2014/01/09	2015/07/03	10.08
12525	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2015 A	100,000,000	2014/01/09	2015/01/05	25.00
12527	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Eur Wt Oct 2014 A	35,000,000	2014/01/09	2014/10/06	10.15
12520	Credit Suisse AG - Kingsoft European Warrants Jul 2014 B	80,000,000	2014/01/09	2014/07/14	20.00
12519	Credit Suisse AG - Kingsoft European Put Warrants Jul 2014 A	80,000,000	2014/01/09	2014/07/14	28.00
12518	Credit Suisse AG - Tencent Holdings Euro Put Wts Jul 2014 A	100,000,000	2014/01/09	2014/07/14	36.00
12535	J P Morgan SP BV - China Coal European Warrants Jun 2014 A	100,000,000	2014/01/09	2014/06/24	15.00
12530	J P Morgan SP BV - Sinopec Corp European Warrants Jul 2014 B	200,000,000	2014/01/09	2014/07/08	50.00
12509	J P Morgan SP BV - HSCEI European Put Warrants Jul 2014 B	300,000,000	2014/01/09	2014/07/30	75.00
12534	J P Morgan SP BV - Kingsoft European Warrants Jul 2014 B	100,000,000	2014/01/09	2014/07/08	25.00
12533	J P Morgan SP BV - Ping An European Warrants Jul 2014 A	200,000,000	2014/01/09	2014/07/04	48.00
12532	J P Morgan SP BV - Tencent European Warrants Jun 2014 B	200,000,000	2014/01/09	2014/06/27	40.40
12531	J P Morgan SP BV - Yanzhou Coal European Warrants Jun 2014 A	60,000,000	2014/01/09	2014/06/24	11.64

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12523	Macquarie Bank Ltd. - China EB Int'l European Wts Jan 2015 A	100,000,000	2014/01/09	2015/01/05	25.00
12508	Macquarie Bank Ltd. - A50 China European Warrants May 2014 A	50,000,000	2014/01/09	2014/05/05	10.00
12521	Macquarie Bank Ltd. - Kingsoft European Warrants Jul 2014 A	100,000,000	2014/01/09	2014/07/09	25.00
12516	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Jul 2014B	60,000,000	2014/01/09	2014/07/02	23.40
12517	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Aug14A	150,000,000	2014/01/09	2014/08/04	78.00
12511	UBS AG - Kingsoft European Warrants Apr 2014 B	100,000,000	2014/01/09	2014/04/25	17.00
12515	UBS AG - Tencent Holdings European Warrants Sep 2014 A	100,000,000	2014/01/09	2014/09/08	25.00
12514	UBS AG - Tencent Holdings European Put Warrants Jul 2014 D	100,000,000	2014/01/09	2014/07/08	47.00
26745 #	Goldman Sachs SP (Asia) - HSI European Warrants Feb 2014 C	165,000,000	2014/01/09	2014/02/27	10.23
12581	Credit Suisse AG - China Coal European Warrants Jun 2014 C	70,000,000	2014/01/10	2014/06/30	10.50
12584	Credit Suisse AG - China EB Int'l European Wts Dec 2014 A	80,000,000	2014/01/10	2014/12/22	20.00
12577	Credit Suisse AG - Sinopec Corp European Warrants Apr 2014 B	70,000,000	2014/01/10	2014/04/28	11.90
12583	Credit Suisse AG - China Shenhua European Wts Sep 2014 B	70,000,000	2014/01/10	2014/09/29	10.50
12588	Credit Suisse AG - Tencent Holdings European Wts Jul 2014 B	100,000,000	2014/01/10	2014/07/14	25.00
12579	Credit Suisse AG - Yanzhou Coal European Warrants Jun 2014 B	70,000,000	2014/01/10	2014/06/30	12.60
12563	Daiwa Capital Mkt - A50 China European Warrants Jun 2014 A	128,000,000	2014/01/10	2014/06/24	43.52
12560	Daiwa Capital Mkt - Tencent Holdings European Wts May 2014 C	128,000,000	2014/01/10	2014/05/08	62.72
12561	Daiwa Capital Mkt - Tencent Holdings European Wts Jun 2014 C	128,000,000	2014/01/10	2014/06/25	53.76
12565	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Jun 2014 D	108,000,000	2014/01/10	2014/06/23	19.44
12562	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Jul 2014 A	108,000,000	2014/01/10	2014/07/09	35.64
12553	Goldman Sachs SP (Asia) - CAM CSI300 Euro Put Wts Aug 2014 A	80,000,000	2014/01/10	2014/08/04	20.00
12549	HK Bank - Datang Power European Warrants Jul 2014 A	60,000,000	2014/01/10	2014/07/31	15.00
12548	HK Bank - Esprit European Warrants Sep 2014 A	100,000,000	2014/01/10	2014/09/25	15.00
12552	HK Bank - Tencent European Warrants Apr 2014 I	100,000,000	2014/01/10	2014/04/29	18.00
12551	HK Bank - Tencent European Warrants Jun 2014 E	100,000,000	2014/01/10	2014/06/09	20.00
12569	Macquarie Bank Ltd. - Ping An European Warrants Jun 2014 B	40,000,000	2014/01/10	2014/06/04	10.00
12601	Macquarie Bank Ltd. - Tencent European Put Wts Aug 2014 A	200,000,000	2014/01/10	2014/08/04	50.00
12542	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts May 2014 A	80,000,000	2014/01/10	2014/05/27	12.40
12540	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jul 2014 A	40,000,000	2014/01/10	2014/07/10	10.00
12546	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Jun 2014 A	80,000,000	2014/01/10	2014/06/13	12.16
12545	Merrill Lynch Int'l & Co. C.V. - Hutchison Eu Put Wt Jul 14 A	40,000,000	2014/01/10	2014/07/10	10.40
12543	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Jun 2014 A	80,000,000	2014/01/10	2014/06/11	12.64
12539	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Jul 2014 A	40,000,000	2014/01/10	2014/07/10	10.00
12538	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Jun 2014B	60,000,000	2014/01/10	2014/06/24	11.58
12544	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Sep 2014 A	40,000,000	2014/01/10	2014/09/02	10.20
12558	Standard Chartered Bank - SPDR Gold Trust Eu Put Wt Sep 14 A	35,000,000	2014/01/10	2014/09/22	17.33
12559	Standard Chartered Bank - YZ Coal European Wts Jul 2014 A	60,000,000	2014/01/10	2014/07/03	12.00
12590	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Jul 2014A	50,000,000	2014/01/10	2014/07/02	24.25
12591	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Jul 2014B	50,000,000	2014/01/10	2014/07/02	11.50
12592	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Jan 2015 A	150,000,000	2014/01/10	2015/01/05	26.25
12555	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Jul14B	100,000,000	2014/01/10	2014/07/02	19.00
12566	SGA Societe Generale Acceptance N.V. - CRCC Eu Wt Sep 2014 A	30,000,000	2014/01/10	2014/09/03	13.80
12567	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Aug 14 A	100,000,000	2014/01/10	2014/08/04	20.00
12593	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Aug 2014 A	40,000,000	2014/01/10	2014/08/04	10.80

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12568	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt May14B	60,000,000	2014/01/10	2014/05/07	10.80
12556	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Aug14A	60,000,000	2014/01/10	2014/08/04	15.00
12557	SGA Societe Generale Acceptance N.V. - PICC Eu Wts Aug 2014A	60,000,000	2014/01/10	2014/08/04	10.80
12602	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt May14E	100,000,000	2014/01/10	2014/05/28	28.00
12595	SGA Societe Generale Acceptance N.V. - Wharf Eu Wts Aug 14 B	40,000,000	2014/01/10	2014/08/04	10.00
12554	SGA Societe Generale Acceptance N.V. - Zoomlion Eu Wt Jan16A	200,000,000	2014/01/10	2016/01/06	34.00
12570	UBS AG - China Coal European Warrants Jun 2014 A	70,000,000	2014/01/10	2014/06/24	10.50
12575	UBS AG - China EB Int'l European Warrants Jul 2014 A	30,000,000	2014/01/10	2014/07/09	13.50
12573	UBS AG - HSCEI European Put Warrants Jul 2014 B	100,000,000	2014/01/10	2014/07/30	25.00
12576	UBS AG - Tencent Holdings European Warrants Jun 2015 A	100,000,000	2014/01/10	2015/06/15	37.00
12571	UBS AG - Yanzhou Coal European Wts Jul 2014 A	40,000,000	2014/01/10	2014/07/02	12.00
12625	BOCI Asia Ltd. - China Mobile European Warrants Jul 2014 A	100,000,000	2014/01/13	2014/07/21	25.00
12624	BOCI Asia Ltd. - Kingsoft European Warrants Aug 2014 A	100,000,000	2014/01/13	2014/08/18	25.00
12676	BNP Paribas Arbit Issu B.V. - Agile Ppt Euro Wt Nov 2018 A	95,000,000	2014/01/13	2018/11/02	23.75
12642	BNP Paribas Arbit Issu B.V. - BYD European Wts Oct 2014 A	50,000,000	2014/01/13	2014/10/06	14.50
12674	BNP Paribas Arbit Issu B.V. - Country Garden Eu Wt Jan 2015A	36,000,000	2014/01/13	2015/01/05	10.08
12640	BNP Paribas Arbit Issu B.V. - China Gas Hold Euro Wt Jul15 A	100,000,000	2014/01/13	2015/07/03	25.00
12675	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Jan 2015 A	55,000,000	2014/01/13	2015/01/05	16.50
12639	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Apr 2017 A	100,000,000	2014/01/13	2017/04/06	25.00
12672	BNP Paribas Arbit Issu B.V. - HK Gas European Wts Jan 2017 A	100,000,000	2014/01/13	2017/01/04	25.00
12673	BNP Paribas Arbit Issu B.V. - Huaneng Euro Wts Oct 2014 A	29,000,000	2014/01/13	2014/10/06	10.44
12643	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Oct 2014 A	100,000,000	2014/01/13	2014/10/06	25.00
12641	BNP Paribas Arbit Issu B.V. - SJM Holdings Eu Wts Jan 2015 A	100,000,000	2014/01/13	2015/01/05	29.00
12661	Credit Suisse AG - CC Bank European Warrants Apr 2014 D	80,000,000	2014/01/13	2014/04/28	12.00
12664	Credit Suisse AG - China EB Int'l European Wts Jul 2014 A	70,000,000	2014/01/13	2014/07/14	39.20
12663	Credit Suisse AG - China Life European Warrants Jun 2014 B	70,000,000	2014/01/13	2014/06/30	10.50
12660	Credit Suisse AG - A50 China European Warrants May 2014 C	80,000,000	2014/01/13	2014/05/30	12.80
12638	Credit Suisse AG - HSI European Warrants Sep 2014 C	200,000,000	2014/01/13	2014/09/29	30.00
12666	Credit Suisse AG - NagaCorp European Warrants Dec 2014 A	60,000,000	2014/01/13	2014/12/29	15.00
12665	Credit Suisse AG - Tencent Holdings European Wts Jul 2014 C	100,000,000	2014/01/13	2014/07/14	25.00
12689	Citigroup Global Mkt H Inc. - CITIC Sec Euro Wts Jul 2014 A	100,000,000	2014/01/13	2014/07/14	19.00
12690	Citigroup Global Mkt H Inc. - Haitong Sec European Wt Nov14A	100,000,000	2014/01/13	2014/11/24	18.50
12687	Citigroup Global Mkt H Inc. - Wynn Macau Euro Wts Apr 2014 C	60,000,000	2014/01/13	2014/04/25	10.20
12647	Daiwa Capital Mkt - CCB European Warrants May 2014 A	128,000,000	2014/01/13	2014/05/02	29.44
12646	Daiwa Capital Mkt - ICBC European Warrants May 2014 C	128,000,000	2014/01/13	2014/05/27	24.32
12644	Daiwa Capital Mkt - Ping An European Warrants Jun 2014 C	108,000,000	2014/01/13	2014/06/24	42.12
12645	Daiwa Capital Mkt - Sands China European Warrants May 2014 B	98,000,000	2014/01/13	2014/05/27	36.26
12611	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Jul 2014 A	80,000,000	2014/01/13	2014/07/31	20.00
12612	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Jun 2014 B	80,000,000	2014/01/13	2014/06/27	21.84
12607	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Jul 2014 A	80,000,000	2014/01/13	2014/07/31	24.72
12658	Macquarie Bank Ltd. - BankComm European Warrants Aug 2014 A	50,000,000	2014/01/13	2014/08/04	12.50
12657	Macquarie Bank Ltd. - CC Bank European Warrants Aug 2014 B	70,000,000	2014/01/13	2014/08/08	10.50
12656	Macquarie Bank Ltd. - Galaxy Ent European Wts Jul 2014 B	40,000,000	2014/01/13	2014/07/03	10.00
12654	Macquarie Bank Ltd. - HSBC European Warrants Jul 2014 B	100,000,000	2014/01/13	2014/07/03	17.70

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12659	Macquarie Bank Ltd. - New World Dev European Wts Aug 2014 A	50,000,000	2014/01/13	2014/08/04	12.50
12623	Macquarie Bank Ltd. - PetroChina European Wts Aug 2014 A	40,000,000	2014/01/13	2014/08/04	13.48
12653	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Wts Jul 2014A	40,000,000	2014/01/13	2014/07/14	15.40
12650	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Dec 2014 A	80,000,000	2014/01/13	2014/12/30	20.00
12648	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Aug 2014A	80,000,000	2014/01/13	2014/08/28	21.60
12649	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Oct 2014A	80,000,000	2014/01/13	2014/10/30	15.76
12651	Merrill Lynch Int'l & Co. C.V. - Lenovo Euro Wts Jul 2014 A	40,000,000	2014/01/13	2014/07/14	19.00
12652	Merrill Lynch Int'l & Co. C.V. - Sands China Euro Wt Jul14A	40,000,000	2014/01/13	2014/07/14	10.40
12613	Nomura Int'l plc - BYD European Warrants Jun 2014 A	80,000,000	2014/01/13	2014/06/26	17.04
12617	Nomura Int'l plc - CITIC Sec European Warrants Jul 2014 A	80,000,000	2014/01/13	2014/07/28	12.48
12622	Nomura Int'l plc - CSOP A50 ETF European Warrants Jun 2014 A	80,000,000	2014/01/13	2014/06/27	23.20
12618	Nomura Int'l plc - A50 China European Warrants Jun 2014 B	80,000,000	2014/01/13	2014/06/23	12.08
12619	Nomura Int'l plc - A50 China European Warrants Jul 2014 A	50,000,000	2014/01/13	2014/07/28	17.50
12620	Nomura Int'l plc - A50 China European Warrants Jul 2014 B	50,000,000	2014/01/13	2014/07/28	31.50
12621	Nomura Int'l plc - A50 China European Put Wts Jul 2014 C	50,000,000	2014/01/13	2014/07/28	28.50
12615	Nomura Int'l plc - Kingsoft European Warrants Aug 2014 A	80,000,000	2014/01/13	2014/08/18	22.88
12616	Nomura Int'l plc - Kingsoft European Put Warrants Sep 2014 A	80,000,000	2014/01/13	2014/09/18	28.24
12614	Nomura Int'l plc - Ping An European Warrants Jun 2014 B	80,000,000	2014/01/13	2014/06/26	13.44
12633	Standard Chartered Bank - CAM CSI300 European Wts Dec 2014 A	80,000,000	2014/01/13	2014/12/19	20.00
12635	Standard Chartered Bank - CC Bank European Wts Aug 2014 A	100,000,000	2014/01/13	2014/08/01	18.80
12631	Standard Chartered Bank - CNBM European Warrants Jun 2016 A	150,000,000	2014/01/13	2016/06/30	25.50
12634	Standard Chartered Bank - Chi Res Power Euro Wts Aug 2014 A	80,000,000	2014/01/13	2014/08/28	20.00
12632	Standard Chartered Bank - Evergrande European Wts Jun 2014 A	70,000,000	2014/01/13	2014/06/27	11.20
12627	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Jul14A	100,000,000	2014/01/13	2014/07/02	21.00
12677	SGA Societe Generale Acceptance N.V. - AIA Eur Wt May 2014 B	100,000,000	2014/01/13	2014/05/07	34.50
12678	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jun 2014 B	100,000,000	2014/01/13	2014/06/04	15.00
12684	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Jul14A	150,000,000	2014/01/13	2014/07/02	22.50
12679	SGA Societe Generale Acceptance N.V. - Belle Int'l Eu Wt May15A	150,000,000	2014/01/13	2015/05/06	22.50
12681	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Aug 2014 A	30,000,000	2014/01/13	2014/08/04	11.25
12628	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Aug 2014 A	40,000,000	2014/01/13	2014/08/04	12.00
12637	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Oct15B	150,000,000	2014/01/13	2015/10/05	22.50
12682	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Nov 2015 A	200,000,000	2014/01/13	2015/11/04	30.00
12683	SGA Societe Generale Acceptance N.V. - CRail Eu Wt Sep 2014A	50,000,000	2014/01/13	2014/09/03	12.50
12685	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt May14A	60,000,000	2014/01/13	2014/05/07	11.10
12629	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Jul 2015 A	100,000,000	2014/01/13	2015/07/06	16.00
12630	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jul 14 G	150,000,000	2014/01/13	2014/07/02	39.75
12671	UBS AG - AIA European Warrants Jul 2014 C	100,000,000	2014/01/13	2014/07/28	25.00
12669	UBS AG - HKEx European Warrants Jul 2014 C	100,000,000	2014/01/13	2014/07/23	60.00
12667	UBS AG - KECL European Warrants Jun 2015 A	100,000,000	2014/01/13	2015/06/23	16.50
12668	UBS AG - KECL European Warrants Jul 2015 A	100,000,000	2014/01/13	2015/07/28	15.00
19928#	Standard Chartered Bank - A50 China Euro Warrants Nov 2014 A	100,000,000	2014/01/13	2014/11/04	15.00
12701	BOCI Asia Ltd. - CC Bank European Warrants Aug 2014 A	100,000,000	2014/01/14	2014/08/04	18.00
12700	BOCI Asia Ltd. - China Shenhua European Warrants Sep 2014 A	100,000,000	2014/01/14	2014/09/29	15.00
12698	BOCI Asia Ltd. - HSI European Put Warrants Jun 2014 A	100,000,000	2014/01/14	2014/06/27	18.00

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12699	BOCI Asia Ltd. - ICBC European Warrants Aug 2014 A	100,000,000	2014/01/14	2014/08/18	25.00
12750	Credit Suisse AG - CC Bank European Warrants Aug 2014 A	80,000,000	2014/01/14	2014/08/01	12.00
12748	Credit Suisse AG - CSOP A50 ETF European Warrants Jun 2014 A	80,000,000	2014/01/14	2014/06/30	20.00
12751	Credit Suisse AG - Galaxy Ent European Warrants Jul 2014 A	80,000,000	2014/01/14	2014/07/14	22.40
12752	Credit Suisse AG - Kingsoft European Warrants Aug 2014 A	80,000,000	2014/01/14	2014/08/04	20.00
12749	Credit Suisse AG - Sands China European Put Wts Jun 2014 D	60,000,000	2014/01/14	2014/06/16	17.40
12772	Citigroup Global Mkt H Inc. - ICBC European Wts May 2014 B	80,000,000	2014/01/14	2014/05/27	14.00
12771	Citigroup Global Mkt H Inc. - Sands China Eu Put Wt Jun 14 A	60,000,000	2014/01/14	2014/06/16	15.60
12747	Daiwa Capital Mkt - Agricultural Bank European Wts Jul 2014 C	98,000,000	2014/01/14	2014/07/21	16.66
12743	Daiwa Capital Mkt - Galaxy Ent European Warrants Jun 2014 B	98,000,000	2014/01/14	2014/06/24	49.98
12745	Daiwa Capital Mkt - Galaxy Ent European Warrants Jul 2014 A	98,000,000	2014/01/14	2014/07/14	24.50
12742	Daiwa Capital Mkt - HSBC European Warrants Jul 2014 A	128,000,000	2014/01/14	2014/07/14	52.48
12746	Daiwa Capital Mkt - Sands China European Warrants Jul 2014 A	98,000,000	2014/01/14	2014/07/14	24.50
12768	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2014 B	80,000,000	2014/01/14	2014/07/29	20.08
12770	Goldman Sachs SP (Asia) - Foxconn European Wts Jul 2014 A	80,000,000	2014/01/14	2014/07/28	20.00
12692	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2014 F	80,000,000	2014/01/14	2014/04/29	12.08
12691	Goldman Sachs SP (Asia) - HSI European Put Wts May 2014 A	80,000,000	2014/01/14	2014/05/29	12.56
12697	Goldman Sachs Sp (Asia) - ICBC European Warrants May 2014 A	80,000,000	2014/01/14	2014/05/27	12.48
12693	Goldman Sachs Sp (Asia) - ICBC European Warrants Jul 2014 B	80,000,000	2014/01/14	2014/07/30	20.08
12769	Goldman Sachs SP (Asia) - Yurun Food European Wts May 2014 A	80,000,000	2014/01/14	2014/05/28	12.96
12718	HK Bank - Agricultural Bank European Warrants Jul 2014 D	80,000,000	2014/01/14	2014/07/18	12.00
12714	HK Bank - Minsheng Bank European Warrants Sep 2014 A	60,000,000	2014/01/14	2014/09/30	30.00
12715	HK Bank - HKEx European Warrants Jul 2014 A	60,000,000	2014/01/14	2014/07/31	18.00
12720	HK Bank - HSI European Warrants Jul 2014 E	80,000,000	2014/01/14	2014/07/30	20.00
12721	HK Bank - HSI European Warrants Sep 2014 D	80,000,000	2014/01/14	2014/09/29	12.00
12719	HK Bank - HSI European Put Warrants Jul 2014 D	80,000,000	2014/01/14	2014/07/30	20.00
12713	HK Bank - ICBC European Warrants Jun 2014 A	80,000,000	2014/01/14	2014/06/11	14.40
12712	J P Morgan SP BV - AIA European Warrants Sep 2014 A	200,000,000	2014/01/14	2014/09/01	30.20
12711	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Aug 2014 A	200,000,000	2014/01/14	2014/08/01	50.00
12707	J P Morgan SP BV - Geely Auto European Warrants Aug 2014 A	80,000,000	2014/01/14	2014/08/01	20.00
12702	J P Morgan SP BV - HSI European Warrants Jun 2014 E	200,000,000	2014/01/14	2014/06/27	30.00
12706	J P Morgan SP BV - HSI European Warrants Aug 2014 A	300,000,000	2014/01/14	2014/08/28	75.00
12705	J P Morgan SP BV - HSI European Warrants Sep 2014 B	300,000,000	2014/01/14	2014/09/29	75.00
12731	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Aug 2014 A	80,000,000	2014/01/14	2014/08/04	12.00
12735	Macquarie Bank Ltd. - China Coal European Wts Aug 2014 A	40,000,000	2014/01/14	2014/08/04	10.00
12753	Macquarie Bank Ltd. - Cheung Kong European Wts Jul 2014 B	80,000,000	2014/01/14	2014/07/03	12.80
12734	Macquarie Bank Ltd. - China Overseas European Wts Jul 2014 A	80,000,000	2014/01/14	2014/07/28	12.00
12738	Macquarie Bank Ltd. - China Shenhua European Wts Aug 2014 A	50,000,000	2014/01/14	2014/08/04	12.50
12764	Macquarie Bank Ltd. - Evergrande European Wts Jul 2014 A	80,000,000	2014/01/14	2014/07/14	20.00
12733	Macquarie Bank Ltd. - ICBC European Warrants Aug 2014 A	80,000,000	2014/01/14	2014/08/04	20.00
12737	Macquarie Bank Ltd. - Yanzhou Coal European Wts Sep 2014 A	30,000,000	2014/01/14	2014/09/02	12.00
12724	Standard Chartered Bank - Anhui Conch Euro Wts Jun 2014 A	100,000,000	2014/01/14	2014/06/03	15.00
12723	Standard Chartered Bank - AIA European Warrants Jun 2014 B	100,000,000	2014/01/14	2014/06/03	15.00
12722	Standard Chartered Bank - China Life European Wts Dec 2014 A	100,000,000	2014/01/14	2014/12/08	27.00

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12725	Standard Chartered Bank - HSBC European Warrants May 2014 A	100,000,000	2014/01/14	2014/05/27	15.00
12728	Standard Chartered Bank - ICBC European Warrants May 2014 A	100,000,000	2014/01/14	2014/05/27	16.50
12727	Standard Chartered Bank - SHK P European Put Wts Aug 2014 A	50,000,000	2014/01/14	2014/08/04	12.50
12729	SGA Societe Generale Acceptance N.V. - Foxconn Eu Wt Jul14 A	40,000,000	2014/01/14	2014/07/02	16.20
12760	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Aug14A	100,000,000	2014/01/14	2014/08/04	25.00
12762	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Aug14B	100,000,000	2014/01/14	2014/08/04	47.00
12730	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Jul14B	60,000,000	2014/01/14	2014/07/14	15.00
12763	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Sep14A	100,000,000	2014/01/14	2014/09/03	25.00
12759	UBS AG - Galaxy Ent European Warrants Jul 2014 B	40,000,000	2014/01/14	2014/07/14	12.00
12758	UBS AG - Galaxy Ent European Put Warrants Jul 2014 A	40,000,000	2014/01/14	2014/07/14	26.00
12754	UBS AG - Hutchison European Warrants Jul 2014 A	100,000,000	2014/01/14	2014/07/14	40.00
12757	UBS AG - Sands China European Warrants Jul 2014 C	40,000,000	2014/01/14	2014/07/14	12.80
12756	UBS AG - Sands China European Put Warrants Jul 2014 B	40,000,000	2014/01/14	2014/07/14	18.00
12755	UBS AG - Wynn Macau European Warrants Jul 2014 A	40,000,000	2014/01/14	2014/07/14	12.40
12794	BOCI Asia Ltd. - Agricultural Bk European Wts Aug 2014 A	100,000,000	2014/01/15	2014/08/11	25.00
12796	BOCI Asia Ltd. - Li & Fung European Warrants Jan 2015 A	100,000,000	2014/01/15	2015/01/26	15.00
12795	BOCI Asia Ltd. - Tencent Holdings European Wts Jul 2014 A	100,000,000	2014/01/15	2014/07/28	25.00
12838	BNP Paribas Arbit Issu B.V. - BOCL European Wts Apr 2017 A	100,000,000	2014/01/15	2017/04/06	26.00
12834	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Oct 2014 A	100,000,000	2014/01/15	2014/10/06	27.00
12835	BNP Paribas Arbit Issu B.V. - HKEx European Wts Oct 2014 A	50,000,000	2014/01/15	2014/10/06	12.50
12837	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Aug 2014 A	100,000,000	2014/01/15	2014/08/04	25.00
12836	BNP Paribas Arbit Issu B.V. - Sihuan Pharm Euro Wts Jan15A	100,000,000	2014/01/15	2015/01/05	25.00
12825	Credit Suisse AG - Minsheng Bank European Wts Jul 2014 B	80,000,000	2014/01/15	2014/07/28	29.60
12817	Credit Suisse AG - HSI European Warrants Jun 2014 D	200,000,000	2014/01/15	2014/06/27	30.00
12818	Credit Suisse AG - HSI European Warrants Sep 2014 D	200,000,000	2014/01/15	2014/09/29	34.00
12819	Credit Suisse AG - HSI European Put Warrants May 2014 G	200,000,000	2014/01/15	2014/05/29	30.00
12821	Credit Suisse AG - ICBC European Warrants Jul 2014 D	80,000,000	2014/01/15	2014/07/28	20.00
12803	Daiwa Capital Mkt - CCB European Put Warrants Jun 2014 A	128,000,000	2014/01/15	2014/06/23	32.00
12802	Daiwa Capital Mkt - China Life European Warrants Aug 2014 B	138,000,000	2014/01/15	2014/08/22	22.08
12804	Daiwa Capital Mkt - CSOP A50 ETF European Wts Oct 2015 A	158,000,000	2014/01/15	2015/10/02	23.70
12801	Daiwa Capital Mkt - Galaxy Ent European Put Wts Jul 2014 B	80,000,000	2014/01/15	2014/07/14	22.40
12805	Daiwa Capital Mkt - Sands China European Put Wts Jun 2014 B	80,000,000	2014/01/15	2014/06/24	16.80
12797	Goldman Sachs SP (Asia) - CM Bank European Wts Dec 2014 A	100,000,000	2014/01/15	2014/12/29	15.00
12799	Goldman Sachs SP (Asia) - Kingsoft European Wts Sep 2014 A	80,000,000	2014/01/15	2014/09/29	20.00
12800	Goldman Sachs SP (Asia) - Sands China Eur Put Wts Jun 2014 B	80,000,000	2014/01/15	2014/06/26	17.44
12782	HK Bank - China Life European Warrants Aug 2014 B	100,000,000	2014/01/15	2014/08/25	19.00
12790	HK Bank - China Life European Warrants Aug 2014 C	100,000,000	2014/01/15	2014/08/01	15.00
12789	HK Bank - China Life European Warrants Sep 2014 C	100,000,000	2014/01/15	2014/09/25	15.00
12786	HK Bank - CNOOC European Warrants Dec 2014 B	100,000,000	2014/01/15	2014/12/01	15.00
12787	HK Bank - Sinopec Corp European Warrants Jul 2014 B	60,000,000	2014/01/15	2014/07/31	15.00
12783	HK Bank - Galaxy Ent European Warrants Jul 2014 A	60,000,000	2014/01/15	2014/07/31	19.20
12784	HK Bank - Sands China European Warrants Jul 2014 B	60,000,000	2014/01/15	2014/07/31	15.00
12781	J P Morgan SP BV - BOCL European Warrants Oct 2014 A	200,000,000	2014/01/15	2014/10/03	50.00
12780	J P Morgan SP BV - China Railway European Wts Sep 2014 A	40,000,000	2014/01/15	2014/09/01	10.12

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12779	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2014 B	120,000,000	2014/01/15	2014/08/01	30.00
12778	J P Morgan SP BV - Galaxy Ent European Put Wts Aug 2014 A	120,000,000	2014/01/15	2014/08/01	42.36
12812	J P Morgan SP BV - Galaxy Ent European Put Wts Aug 2014 C	150,000,000	2014/01/15	2014/08/01	70.80
12777	J P Morgan SP BV - HSI European Warrants May 2014 A	300,000,000	2014/01/15	2014/05/29	45.00
12776	J P Morgan SP BV - HSI European Warrants Jul 2014 B	300,000,000	2014/01/15	2014/07/30	75.00
12775	J P Morgan SP BV - ICBC European Warrants Jun 2014 A	200,000,000	2014/01/15	2014/06/03	30.20
12811	J P Morgan SP BV - PetroChina European Warrants Jun 2014 B	200,000,000	2014/01/15	2014/06/19	33.20
12774	J P Morgan SP BV - Sands China European Warrants Aug 2014 A	120,000,000	2014/01/15	2014/08/01	30.00
12810	J P Morgan SP BV - Sands China European Put Wts Aug 2014 B	150,000,000	2014/01/15	2014/08/01	63.75
12809	J P Morgan SP BV - Tencent European Warrants Jul 2014 E	200,000,000	2014/01/15	2014/07/02	30.00
12773	J P Morgan SP BV - Wharf European Warrants Aug 2014 A	50,000,000	2014/01/15	2014/08/01	12.50
12808	Macquarie Bank Ltd. - Agile Ppt European Warrants Jul 2014 B	40,000,000	2014/01/15	2014/07/15	13.68
12806	Macquarie Bank Ltd. - AIA European Warrants May 2014 A	70,000,000	2014/01/15	2014/05/05	10.50
12831	Macquarie Bank Ltd. - Sinopec Corp European Wts Sep 2014 B	40,000,000	2014/01/15	2014/09/02	10.00
12807	Macquarie Bank Ltd. - Henganintl European Wts Jan 2016 A	180,000,000	2014/01/15	2016/01/04	27.00
12791	Standard Chartered Bank - Hutchison Euro Euro Wts Dec 2015 A	150,000,000	2014/01/15	2015/12/31	37.50
12792	Standard Chartered Bank - Hutchison Euro Put Wt Sep 2014 A	60,000,000	2014/01/15	2014/09/30	17.40
12815	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Jun14A	100,000,000	2014/01/15	2014/06/04	22.00
12813	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Jul 2014 B	30,000,000	2014/01/15	2014/07/02	15.00
12793	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2017A	300,000,000	2014/01/15	2017/01/04	45.00
12827	UBS AG - CC Bank European Warrants Jul 2014 E	100,000,000	2014/01/15	2014/07/14	25.00
12826	UBS AG - Galaxy Ent European Warrants Jul 2014 C	40,000,000	2014/01/15	2014/07/28	10.00
12829	UBS AG - HSI European Warrants Jun 2014 D	300,000,000	2014/01/15	2014/06/27	48.00
12828	UBS AG - Wynn Macau European Put Warrants Jul 2014 B	30,000,000	2014/01/15	2014/07/14	11.40
12843	BOCI Asia Ltd. - Ch Overseas European Warrants Jul 2014 A	100,000,000	2014/01/16	2014/07/28	15.00
12844	BOCI Asia Ltd. - Galaxy Ent European Warrants Jul 2014 A	100,000,000	2014/01/16	2014/07/21	33.00
12866	Credit Suisse AG - GCL-Poly Energy European Wts Jul 2014 A	80,000,000	2014/01/16	2014/07/28	20.80
12864	Credit Suisse AG - Galaxy Ent European Warrants Jul 2014 C	80,000,000	2014/01/16	2014/07/15	21.60
12861	Credit Suisse AG - Galaxy Ent European Put Wts Jul 2014 B	80,000,000	2014/01/16	2014/07/15	34.40
12865	Credit Suisse AG - Kingsoft European Warrants Jul 2014 C	80,000,000	2014/01/16	2014/07/28	20.00
12879	Credit Suisse AG - Tencent Holdings European Wts Apr 2014 I	100,000,000	2014/01/16	2014/04/30	18.00
12867	Citigroup Global Mkt H Inc. - BOCL European Wts Dec 2014 A	80,000,000	2014/01/16	2014/12/24	13.20
12882	Citigroup Global Mkt H Inc. - HKEx European Wts May 2014 B	50,000,000	2014/01/16	2014/05/30	12.10
12880	Citigroup Global Mkt H Inc. - Hutchison Euro Wts May 2014 B	60,000,000	2014/01/16	2014/05/07	15.30
12881	Citigroup Global Mkt H Inc. - Tencent European Wts Jun 2014 C	100,000,000	2014/01/16	2014/06/27	28.00
12853	Daiwa Capital Mkt - AIA European Warrants Jul 2014 A	108,000,000	2014/01/16	2014/07/04	25.92
12856	Daiwa Capital Mkt - CCB European Warrants Aug 2014 A	128,000,000	2014/01/16	2014/08/01	19.20
12855	Daiwa Capital Mkt - HKEx European Warrants Jun 2014 B	98,000,000	2014/01/16	2014/06/03	25.48
12854	Daiwa Capital Mkt - HSBC European Warrants Jul 2014 B	128,000,000	2014/01/16	2014/07/15	32.00
12860	Daiwa Capital Mkt - Hutchison European Warrants Jun 2014 C	128,000,000	2014/01/16	2014/06/03	60.16
12840	HK Bank - China Coal European Warrants Jul 2014 A	60,000,000	2014/01/16	2014/07/31	15.00
12841	HK Bank - CRCC European Warrants Aug 2014 A	60,000,000	2014/01/16	2014/08/04	21.00
12842	HK Bank - GCL-Poly Energy European Warrants Jul 2014 B	60,000,000	2014/01/16	2014/07/31	15.00
12839	HK Bank - Geely Auto European Warrants Jul 2014 A	60,000,000	2014/01/16	2014/07/31	15.00

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		發行單位	上市日期	到期日	
12884	J P Morgan SP BV - AIA European Warrants Jun 2014 B	200,000,000	2014/01/16	2014/06/23	36.20
12883	J P Morgan SP BV - CNBM European Warrants Nov 2015 A	388,000,000	2014/01/16	2015/11/02	58.20
12859	J P Morgan SP BV - Sinopec Corp European Warrants Aug 2014 A	200,000,000	2014/01/16	2014/08/01	50.00
12857	J P Morgan SP BV - Greatwall Motor European Wts Jul 2014 A	150,000,000	2014/01/16	2014/07/02	22.50
12871	Macquarie Bank Ltd. - China Life European Wts Jul 2014 A	100,000,000	2014/01/16	2014/07/03	15.00
12868	Macquarie Bank Ltd. - China Tel European Warrants Jul 2014 A	100,000,000	2014/01/16	2014/07/03	15.10
12869	Macquarie Bank Ltd. - Geely Auto European Wts Aug 2014 A	40,000,000	2014/01/16	2014/08/04	10.00
12870	Macquarie Bank Ltd. - Greatwall Motor European Wts Jun 2014 B	67,000,000	2014/01/16	2014/06/04	10.18
12849	Standard Chartered Bank - China Overseas Euro Wt Aug 2014 A	80,000,000	2014/01/16	2014/08/01	12.00
12851	Standard Chartered Bank - Sinopec Corp Euro Wts Aug 2014 B	80,000,000	2014/01/16	2014/08/01	12.00
12850	Standard Chartered Bank - Galaxy Ent Euro Put Wts Jul 2014 A	80,000,000	2014/01/16	2014/07/30	22.40
12848	Standard Chartered Bank - Wharf European Warrants Jul 2014 A	70,000,000	2014/01/16	2014/07/03	10.50
12845	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Jun 2014 A	50,000,000	2014/01/16	2014/06/04	19.25
12872	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Aug14A	20,000,000	2014/01/16	2014/08/04	12.20
12873	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Aug14A	60,000,000	2014/01/16	2014/08/04	25.80
12846	SGA Societe Generale Acceptance N.V. - NagaCorp Eu Wt Mar15A	150,000,000	2014/01/16	2015/03/30	24.00
12847	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Jun14C	100,000,000	2014/01/16	2014/06/30	20.00
12878	UBS AG - China Life European Warrants Jun 2014 A	100,000,000	2014/01/16	2014/06/30	15.00
12877	UBS AG - China Life European Warrants Aug 2014 C	100,000,000	2014/01/16	2014/08/25	18.00
12876	UBS AG - China Mobile European Warrants Jul 2014 C	100,000,000	2014/01/16	2014/07/15	30.00
12852	UBS AG - Greatwall Motor European Warrants Oct 2016 A	150,000,000	2014/01/16	2016/10/17	22.50
12875	UBS AG - ICBC European Warrants Jul 2014 D	100,000,000	2014/01/16	2014/07/21	25.00
12874	UBS AG - Kingsoft European Warrants Jul 2014 A	100,000,000	2014/01/16	2014/07/07	28.00
11508 #	Goldman Sachs SP (Asia) - Sands China Euro Wts May 2014 A	80,000,000	2014/01/16	2014/05/05	10.72
12895	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jun 2014 A	100,000,000	2014/01/17	2014/06/04	21.00
12901	BNP Paribas Arbit Issu B.V. - Henganintl Euro Wts Aug 2014 A	27,000,000	2014/01/17	2014/08/04	10.53
12898	BNP Paribas Arbit Issu B.V. - HN Renewables Euro Wts Aug14A	35,000,000	2014/01/17	2014/08/04	12.25
12896	BNP Paribas Arbit Issu B.V. - ICBC European Wts Aug 2014 B	100,000,000	2014/01/17	2014/08/04	15.00
12899	BNP Paribas Arbit Issu B.V. - Longfor PPT Euro Wt Apr 2015 A	62,000,000	2014/01/17	2015/04/02	10.54
12919	Credit Suisse AG - Greatwall Motor European Wts Jun 2014 B	60,000,000	2014/01/17	2014/06/23	12.00
12912	Citigroup Global Mkt H Inc. - A50 China Euro Wts Jun 2014 A	80,000,000	2014/01/17	2014/06/24	20.80
12937	Citigroup Global Mkt H Inc. - Geely Auto Euro Wts Jul 2014 A	40,000,000	2014/01/17	2014/07/16	12.20
12910	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jul 2014 A	100,000,000	2014/01/17	2014/07/02	39.00
12936	Citigroup Global Mkt H Inc. - Sands China Euro Wt May 2014 A	60,000,000	2014/01/17	2014/05/23	15.00
12913	Daiwa Capital Mkt - China Telecom European Wts Aug 2014 A	80,000,000	2014/01/17	2014/08/01	20.00
12915	Daiwa Capital Mkt - HSI European Warrants Jun 2014 C	128,000,000	2014/01/17	2014/06/27	20.48
12917	Daiwa Capital Mkt - HSI European Warrants Sep 2014 B	128,000,000	2014/01/17	2014/09/29	19.20
12918	Daiwa Capital Mkt - HSI European Put Warrants Jun 2014 D	128,000,000	2014/01/17	2014/06/27	20.48
12914	Daiwa Capital Mkt - PetroChina European Warrants Jul 2014 B	80,000,000	2014/01/17	2014/07/02	15.20
12907	Goldman Sachs SP (Asia) - CC Bank European Wts Apr 2014 A	80,000,000	2014/01/17	2014/04/29	12.00
12908	Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2014 A	80,000,000	2014/01/17	2014/09/29	20.00
12906	Goldman Sachs SP (Asia) - Sands China Euro Wts Jul 2014 A	80,000,000	2014/01/17	2014/07/30	20.40
12903	HK Bank - BOCL European Warrants Aug 2014 B	80,000,000	2014/01/17	2014/08/29	20.00
12904	HK Bank - CITIC Sec European Warrants Jul 2014 C	100,000,000	2014/01/17	2014/07/28	16.00

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		發行單位	上市日期	到期日	
12902	HK Bank - China Mobile European Warrants Jul 2014 C	80,000,000	2014/01/17	2014/07/31	20.00
12905	HK Bank - Greatwall Motor European Warrants Jul 2014 A	60,000,000	2014/01/17	2014/07/31	15.00
12922	J P Morgan SP BV - Agricultural Bk Euro Warrants Aug 2014 A	200,000,000	2014/01/17	2014/08/01	30.00
12921	J P Morgan SP BV - Cheung Kong European Warrants Aug 2014 A	70,000,000	2014/01/17	2014/08/01	23.73
12935	J P Morgan SP BV - China Mob European Wts Aug 2014 A	200,000,000	2014/01/17	2014/08/04	60.40
12934	J P Morgan SP BV - Ch Railway Cons European Wts Aug 2014 A	30,000,000	2014/01/17	2014/08/04	10.14
12920	J P Morgan SP BV - Kingsoft European Warrants Jul 2014 C	100,000,000	2014/01/17	2014/07/18	27.20
12893	Macquarie Bank Ltd. - China Mobile European Wts May 2014 B	100,000,000	2014/01/17	2014/05/05	15.00
12894	Macquarie Bank Ltd. - CNOOC European Warrants Aug 2014 A	40,000,000	2014/01/17	2014/08/04	10.00
12923	Macquarie Bank Ltd. - Lenovo European Warrants Sep 2014 A	60,000,000	2014/01/17	2014/09/02	15.00
12928	Macquarie Bank Ltd. - Sands China European Wts Aug 2014 A	40,000,000	2014/01/17	2014/08/04	10.00
12890	Nomura Int'l plc - AIA European Warrants Dec 2014 A	50,000,000	2014/01/17	2014/12/30	12.50
12885	Nomura Int'l plc - KECL European Warrants Jul 2015 A	80,000,000	2014/01/17	2015/07/28	12.16
12891	Nomura Int'l plc - China Mengniu European Warrants Jul 2014A	50,000,000	2014/01/17	2014/07/18	12.70
12888	Nomura Int'l plc - PetroChina European Warrants May 2014 A	80,000,000	2014/01/17	2014/05/21	12.08
12892	Nomura Int'l plc - Sinopec Seg European Warrants Sep 2014 A	80,000,000	2014/01/17	2014/09/12	13.04
12889	Nomura Int'l plc - Sinopharm European Warrants Jul 2014 A	80,000,000	2014/01/17	2014/07/21	12.48
12887	Nomura Int'l plc - Shimao Ppt European Warrants Jul 2014 A	80,000,000	2014/01/17	2014/07/28	12.00
12886	Nomura Int'l plc - Sihuan Pharm European Warrants Dec 2014 A	50,000,000	2014/01/17	2014/12/18	12.60
12924	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Aug 2014 A	50,000,000	2014/01/17	2014/08/04	26.50
12925	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Aug 2014 A	100,000,000	2014/01/17	2014/08/04	25.00
12909	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt May16 A	200,000,000	2014/01/17	2016/05/04	30.00
12926	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jul 14 C	100,000,000	2014/01/17	2014/07/02	17.00
12927	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jul14C	100,000,000	2014/01/17	2014/07/02	36.50
12932	UBS AG - China EB Int'l European Warrants Dec 2014 A	60,000,000	2014/01/17	2014/12/24	13.20
12929	UBS AG - China National Building European Wts Oct 2015 A	100,000,000	2014/01/17	2015/10/27	15.00
12930	UBS AG - China National Building European Wts Jun 2016 A	100,000,000	2014/01/17	2016/06/27	17.00
12931	UBS AG - Sinopec Corp European Warrants Jul 2014 B	80,000,000	2014/01/17	2014/07/28	20.00
12956	BOCI Asia Ltd. - Sinopec Corp European Warrants Aug 2014 A	100,000,000	2014/01/20	2014/08/04	25.00
12958	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Jul 2014 B	100,000,000	2014/01/20	2014/07/28	40.00
12955	BOCI Asia Ltd. - PetroChina European Warrants Aug 2014 A	100,000,000	2014/01/20	2014/08/04	28.00
12957	BOCI Asia Ltd. - Sands China European Put Warrants Jul 2014A	100,000,000	2014/01/20	2014/07/28	36.00
12978	Credit Suisse AG - CNBM European Warrants Apr 2014 B	70,000,000	2014/01/20	2014/04/28	20.30
12977	Credit Suisse AG - CNBM European Warrants Oct 2015 A	80,000,000	2014/01/20	2015/10/30	12.00
12988	Citigroup Global Mkt H Inc. - CITIC Sec Euro Wts Aug 2014 A	100,000,000	2014/01/20	2014/08/19	22.00
12985	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Jun 2014 A	50,000,000	2014/01/20	2014/06/24	11.75
12989	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Aug 2016 A	100,000,000	2014/01/20	2016/08/01	15.00
12969	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Jun 2014 B	60,000,000	2014/01/20	2014/06/25	29.40
12991	Citigroup Global Mkt H Inc. - HSBC European Wts Jun 2014 A	100,000,000	2014/01/20	2014/06/23	30.00
12987	Citigroup Global Mkt H Inc. - Haitong Sec European Wt Feb15A	100,000,000	2014/01/20	2015/02/16	25.00
12976	Citigroup Global Mkt H Inc. - Lenovo European Wts Jul 2014 A	25,000,000	2014/01/20	2014/07/07	16.50
12992	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Jun 2014 A	70,000,000	2014/01/20	2014/06/19	18.20
12990	Citigroup Global Mkt H Inc. - PWR Assets European Wts Jul14A	50,000,000	2014/01/20	2014/07/21	15.00
12981	Daiwa Capital Mkt - HSCEI European Put Warrants Jul 2014 A	100,000,000	2014/01/20	2014/07/30	25.00

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		發行單位	上市日期	到期日	
12983	Daiwa Capital Mkt - HSCEI European Put Warrants Sep 2014 B	100,000,000	2014/01/20	2014/09/29	32.00
12979	Daiwa Capital Mkt - HSI European Warrants Sep 2014 C	128,000,000	2014/01/20	2014/09/29	19.20
12980	Daiwa Capital Mkt - HSI European Put Warrants Jun 2014 E	128,000,000	2014/01/20	2014/06/27	25.60
12984	Daiwa Capital Mkt - HSI European Put Warrants Feb 2015 A	128,000,000	2014/01/20	2015/02/26	19.20
12975	J P Morgan SP BV - BYD European Warrants Jun 2014 A	70,000,000	2014/01/20	2014/06/27	18.76
12974	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2014 F	150,000,000	2014/01/20	2014/04/28	47.85
12973	J P Morgan SP BV - HKEx European Warrants May 2014 A	80,000,000	2014/01/20	2014/05/28	14.00
12949	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Jul 2014 D	300,000,000	2014/01/20	2014/07/30	75.00
12948	J P Morgan SP BV - HSCEI European Put Warrants Jul 2014 C	300,000,000	2014/01/20	2014/07/30	75.00
12945	J P Morgan SP BV - HSI European Warrants Jul 2014 E	500,000,000	2014/01/20	2014/07/30	125.00
12943	J P Morgan SP BV - HSI European Put Warrants Jul 2014 C	500,000,000	2014/01/20	2014/07/30	125.00
12944	J P Morgan SP BV - HSI European Put Warrants Jul 2014 D	500,000,000	2014/01/20	2014/07/30	129.50
12946	J P Morgan SP BV - HSI European Put Warrants Jul 2014 F	500,000,000	2014/01/20	2014/07/30	127.50
12947	J P Morgan SP BV - HSI European Put Warrants Jul 2014 G	500,000,000	2014/01/20	2014/07/30	125.00
12972	J P Morgan SP BV - Ping An European Warrants May 2014 A	200,000,000	2014/01/20	2014/05/28	41.20
12971	J P Morgan SP BV - Sands China European Warrants May 2014 C	150,000,000	2014/01/20	2014/05/27	29.25
12970	Macquarie Bank Ltd. - AIA European Warrants Aug 2014 A	50,000,000	2014/01/20	2014/08/04	12.50
12967	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Aug 2014 A	80,000,000	2014/01/20	2014/08/01	12.40
12954	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2014 A	80,000,000	2014/01/20	2014/06/19	12.32
12953	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jul 2014 A	40,000,000	2014/01/20	2014/07/21	10.80
12950	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Oct 2014 B	40,000,000	2014/01/20	2014/10/28	11.40
12968	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Wts Jun 2014A	50,000,000	2014/01/20	2014/06/16	11.60
12942	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jun 2014 D	100,000,000	2014/01/20	2014/06/27	16.40
12938	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jul 2014 B	100,000,000	2014/01/20	2014/07/30	25.00
12939	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jul 2014 C	100,000,000	2014/01/20	2014/07/30	25.00
12966	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jun 2014 A	40,000,000	2014/01/20	2014/06/24	14.60
12951	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jul 2014 B	40,000,000	2014/01/20	2014/07/21	10.60
12963	Standard Chartered Bank - China Mobile Euro Wts Aug 2014 B	100,000,000	2014/01/20	2014/08/05	25.00
12961	Standard Chartered Bank - Geely Auto European Wts Jun 2014 A	70,000,000	2014/01/20	2014/06/23	10.50
12959	Standard Chartered Bank - Galaxy Ent European Wts Jun 2014 D	80,000,000	2014/01/20	2014/06/27	20.00
12960	Standard Chartered Bank - Greatwall Motor Euro Wt Jun 2014 A	60,000,000	2014/01/20	2014/06/23	11.40
12964	Standard Chartered Bank - PetroChina European Wts Jun 2014 B	80,000,000	2014/01/20	2014/06/27	20.00
12962	Standard Chartered Bank - Wynn Macau European Wts Jun 2014 A	60,000,000	2014/01/20	2014/06/25	17.10
12965	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Dec 2014B	300,000,000	2014/01/20	2014/12/03	45.00
13012	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Jun 2015 A	100,000,000	2014/01/21	2015/06/24	15.00
13013	BOCI Asia Ltd. - Lenovo European Warrants Mar 2015 A	100,000,000	2014/01/21	2015/03/23	16.00
13017	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Jul 2014 A	75,000,000	2014/01/21	2014/07/03	12.00
13016	BNP Paribas Arbit Issu B.V. - OOIL European Wts Mar 2015 A	23,000,000	2014/01/21	2015/03/03	10.35
13014	BNP Paribas Arbit Issu B.V. - PWR Assets Euro Wts Feb 2015 A	48,000,000	2014/01/21	2015/02/03	12.48
13015	BNP Paribas Arbit Issu B.V. - Want Want Ch Eu Wts Aug 2016 A	100,000,000	2014/01/21	2016/08/02	25.00
13018	BNP Paribas Arbit Issu B.V. - Weichai Power Eu Wt Aug 2014 A	42,000,000	2014/01/21	2014/08/04	11.76
13052	Credit Suisse AG - China Mobile European Warrants Jul 2014 B	80,000,000	2014/01/21	2014/07/21	20.80
13051	Credit Suisse AG - CNOOC European Warrants Dec 2014 B	80,000,000	2014/01/21	2014/12/01	12.00
13060	Citigroup Global Mkt H Inc. - AIA European Wts Jun 2014 A	100,000,000	2014/01/21	2014/06/23	16.00

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		發行單位	上市日期	到期日	
13061	Citigroup Global Mkt H Inc. - AIA European Wts Jul 2014 A	100,000,000	2014/01/21	2014/07/21	25.00
13059	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Jul 2014 A	50,000,000	2014/01/21	2014/07/07	16.00
13038	Daiwa Capital Mkt - Galaxy Ent European Warrants Jul 2014 C	128,000,000	2014/01/21	2014/07/21	32.00
13020	Goldman Sachs SP (Asia) - Stanchart European Wts Mar 2015 A	80,000,000	2014/01/21	2015/03/27	12.00
13001	HK Bank - China Railway European Warrants Sep 2014 A	60,000,000	2014/01/21	2014/09/30	15.00
12998	HK Bank - Galaxy Ent European Warrants Mar 2017 A	150,000,000	2014/01/21	2017/03/30	31.50
13000	HK Bank - HSI European Warrants Jul 2014 F	150,000,000	2014/01/21	2014/07/30	37.50
12999	HK Bank - HSI European Put Warrants Aug 2014 A	150,000,000	2014/01/21	2014/08/28	37.50
13058	J P Morgan SP BV - China Life European Warrants Sep 2014 B	200,000,000	2014/01/21	2014/09/30	30.00
12996	J P Morgan SP BV - China Shenhua European Wts Aug 2014 A	200,000,000	2014/01/21	2014/08/01	30.00
13056	J P Morgan SP BV - GCL-Poly European Warrants Aug 2014 A	150,000,000	2014/01/21	2014/08/01	45.60
13055	J P Morgan SP BV - Greatwall Motor European Wts Aug 2014 A	100,000,000	2014/01/21	2014/08/01	25.00
12995	J P Morgan SP BV - HSBC European Warrants Jun 2014 C	200,000,000	2014/01/21	2014/06/30	30.00
12994	J P Morgan SP BV - Sihuan Pharm European Warrants Dec 2014 A	120,000,000	2014/01/21	2014/12/30	30.00
12993	J P Morgan SP BV - Sunny Optical European Warrants Dec 2015A	80,000,000	2014/01/21	2015/12/31	20.00
13054	Macquarie Bank Ltd. - A50 China European Warrants Aug 2014 A	40,000,000	2014/01/21	2014/08/04	10.00
13019	Macquarie Bank Ltd. - Greatwall Motor European Wts Aug 2014 A	40,000,000	2014/01/21	2014/08/04	10.00
12997	Macquarie Bank Ltd. - HK Gas European Warrants Jun 2015 A	50,000,000	2014/01/21	2015/06/02	12.50
13053	Macquarie Bank Ltd. - Kingsoft European Warrants Jul 2014 B	80,000,000	2014/01/21	2014/07/21	20.00
13041	Macquarie Bank Ltd. - PetroChina European Wts Aug 2014 B	40,000,000	2014/01/21	2014/08/04	10.00
13040	Macquarie Bank Ltd. - Tencent European Warrants Oct 2014 B	150,000,000	2014/01/21	2014/10/06	37.50
13039	Macquarie Bank Ltd. - Tencent European Put Wts Oct 2014 A	150,000,000	2014/01/21	2014/10/06	37.50
13011	Nomura Int'l plc - CAM CSI300 European Warrants Dec 2014 A	80,000,000	2014/01/21	2014/12/12	12.96
13008	Nomura Int'l plc - China Mobile European Warrants Jul 2014 B	80,000,000	2014/01/21	2014/07/14	12.40
13010	Nomura Int'l plc - Evergrande European Warrants Jun 2014 A	80,000,000	2014/01/21	2014/06/27	12.00
13009	Nomura Int'l plc - GCL-Poly Energy European Warrants Aug14 A	50,000,000	2014/01/21	2014/08/18	15.50
13006	Nomura Int'l plc - HSBC European Warrants Jun 2014 A	80,000,000	2014/01/21	2014/06/25	12.00
13007	Nomura Int'l plc - Tencent Holdings Euro Warrants Jul 2014 A	80,000,000	2014/01/21	2014/07/28	20.64
13024	Standard Chartered Bank - Cheung Kong Euro Wts Jul 2014 A	80,000,000	2014/01/21	2014/07/02	16.00
13033	Standard Chartered Bank - HKEx European Warrants Jun 2014 B	80,000,000	2014/01/21	2014/06/04	20.80
13029	Standard Chartered Bank - HSCEI European Warrants Dec 2014 B	100,000,000	2014/01/21	2014/12/30	19.00
13031	Standard Chartered Bank - HSCEI European Put Wts Jul 2014 B	100,000,000	2014/01/21	2014/07/30	25.00
13022	Standard Chartered Bank - HSI European Warrants Jun 2014 A	100,000,000	2014/01/21	2014/06/27	15.00
13032	Standard Chartered Bank - HSI European Put Wts Jun 2014 B	100,000,000	2014/01/21	2014/06/27	16.00
13025	Standard Chartered Bank - KECL European Warrants Jul 2015 A	100,000,000	2014/01/21	2015/07/28	15.00
13023	Standard Chartered Bank - Lenovo European Wts Apr 2015 A	100,000,000	2014/01/21	2015/04/02	16.00
13026	Standard Chartered Bank - Sands China Euro Wts May 2014 D	100,000,000	2014/01/21	2014/05/27	23.00
13037	Standard Chartered Bank - Sands China Euro Wts Jul 2014 B	100,000,000	2014/01/21	2014/07/28	18.00
13044	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Aug14B	60,000,000	2014/01/21	2014/08/04	17.70
13046	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2014 B	60,000,000	2014/01/21	2014/07/02	16.80
13042	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2014 A	150,000,000	2014/01/21	2014/09/29	22.50
13043	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jun 14 E	150,000,000	2014/01/21	2014/06/27	22.50
13048	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2014 E	70,000,000	2014/01/21	2014/07/07	29.75
13049	UBS AG - Greatwall Motor European Warrants Jul 2014 A	40,000,000	2014/01/21	2014/07/21	10.00

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		發行單位	上市日期	到期日	
13050	UBS AG - Lenovo European Put Warrants Aug 2014 A	21,000,000	2014/01/21	2014/08/18	10.08
13083	Credit Suisse AG - Agricultural Bank European Wts Jul 2014 B	70,000,000	2014/01/22	2014/07/21	10.50
13084	Credit Suisse AG - CITIC Bank European Warrants Jul 2014 A	70,000,000	2014/01/22	2014/07/28	17.50
13087	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts Aug 2014 A	100,000,000	2014/01/22	2014/08/28	25.00
13088	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts Dec 2014 A	100,000,000	2014/01/22	2014/12/12	22.00
13067	Goldman Sachs SP (Asia) - Agri Bk European Wts Jul 2014 A	68,000,000	2014/01/22	2014/07/25	10.27
13066	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Jul 2014 A	68,000,000	2014/01/22	2014/07/30	24.07
13064	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Jul 2014 B	80,000,000	2014/01/22	2014/07/07	20.24
13065	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2014 B	80,000,000	2014/01/22	2014/06/27	12.00
13077	J P Morgan SP BV - GAC European Warrants Dec 2014 A	100,000,000	2014/01/22	2014/12/01	15.00
13081	J P Morgan SP BV - Hutchison European Warrants Aug 2014 A	80,000,000	2014/01/22	2014/08/01	40.16
13080	J P Morgan SP BV - MGM China European Warrants Aug 2014 A	80,000,000	2014/01/22	2014/08/01	20.00
13079	J P Morgan SP BV - PICC Group European Warrants Oct 2014 A	100,000,000	2014/01/22	2014/10/03	25.00
13086	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Sep 2014 A	40,000,000	2014/01/22	2014/09/02	10.00
13085	Macquarie Bank Ltd. - Wynn Macau European Wts Aug 2014 A	40,000,000	2014/01/22	2014/08/04	10.00
13070	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Jul 2014 A	70,000,000	2014/01/22	2014/07/07	11.41
13071	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Aug 2014 A	70,000,000	2014/01/22	2014/08/01	10.99
13068	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Put Wt Aug14A	40,000,000	2014/01/22	2014/08/11	16.40
13072	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Aug 2014 A	40,000,000	2014/01/22	2014/08/04	10.00
13073	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Jul 2014 B	40,000,000	2014/01/22	2014/07/28	10.00
13062	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jun 2014 E	100,000,000	2014/01/22	2014/06/27	15.70
13063	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jul 2014 D	100,000,000	2014/01/22	2014/07/30	16.60
13069	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jul 2014 A	70,000,000	2014/01/22	2014/07/28	10.50
13074	Merrill Lynch Int'l & Co. C.V. - Sands China Euro Wt Aug14 A	40,000,000	2014/01/22	2014/08/18	17.20
13076	Standard Chartered Bank - CC Bank European Wts May 2014 B	100,000,000	2014/01/22	2014/05/05	15.00
13075	Standard Chartered Bank - Greatwall Motor Euro Wt Jul 2014 A	60,000,000	2014/01/22	2014/07/30	15.60
13082	UBS AG - Lenovo European Warrants Aug 2014 B	22,000,000	2014/01/22	2014/08/18	10.12
13142	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Aug 2014 A	100,000,000	2014/01/23	2014/08/04	25.00
13146	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Oct 14 A	100,000,000	2014/01/23	2014/10/06	28.00
13145	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Oct 2014 B	50,000,000	2014/01/23	2014/10/06	13.50
13143	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Oct 2014 A	100,000,000	2014/01/23	2014/10/06	25.00
13144	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jul 2014 C	100,000,000	2014/01/23	2014/07/03	30.00
13133	Credit Suisse AG - Lenovo European Warrants Jul 2014 A	70,000,000	2014/01/23	2014/07/31	29.40
13134	Credit Suisse AG - Tencent Holdings European Wts Jul 2014 D	100,000,000	2014/01/23	2014/07/28	25.00
13135	Credit Suisse AG - Tencent Holdings European Wts Jul 2014 E	100,000,000	2014/01/23	2014/07/28	27.00
13147	Citigroup Global Mkt H Inc. - Tencent European Wts Jul 2014 B	100,000,000	2014/01/23	2014/07/07	22.00
13148	Citigroup Global Mkt H Inc. - Tencent European Wts Jul 2014 C	100,000,000	2014/01/23	2014/07/22	26.00
13130	Daiwa Capital Mkt - Tencent Holdings European Wts Jul 2014 B	108,000,000	2014/01/23	2014/07/02	22.68
13131	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2014 B	120,000,000	2014/01/23	2014/06/26	18.36
13126	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2014 G	120,000,000	2014/01/23	2014/06/09	28.80
13129	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2014 B	120,000,000	2014/01/23	2014/07/07	21.96
13102	HK Bank - Anhui Conch European Warrants Jun 2014 B	80,000,000	2014/01/23	2014/06/30	12.80
13103	HK Bank - CNBM European Warrants Oct 2015 A	150,000,000	2014/01/23	2015/10/27	22.50
13101	HK Bank - China Shenhua European Warrants Jul 2014 A	80,000,000	2014/01/23	2014/07/28	14.40

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13104	HK Bank - HWL European Warrants Jun 2014 D	80,000,000	2014/01/23	2014/06/16	16.00
13105	HK Bank - Lenovo European Warrants Aug 2014 A	60,000,000	2014/01/23	2014/08/29	18.00
13120	HK Bank - Tencent European Warrants Jul 2014 D	80,000,000	2014/01/23	2014/07/28	20.00
13121	HK Bank - Tencent European Put Warrants Jun 2014 F	80,000,000	2014/01/23	2014/06/30	28.00
13092	J P Morgan SP BV - China Mob European Wts Aug 2014 B	200,000,000	2014/01/23	2014/08/01	50.20
13091	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Sep 2014 B	200,000,000	2014/01/23	2014/09/29	50.00
13090	J P Morgan SP BV - HSI European Warrants Jul 2014 H	200,000,000	2014/01/23	2014/07/30	50.00
13150	J P Morgan SP BV - Huaneng Power European Wts Aug 2014 A	35,000,000	2014/01/23	2014/08/01	10.33
13125	J P Morgan SP BV - Lenovo European Warrants Mar 2015 A	150,000,000	2014/01/23	2015/03/30	25.95
13089	J P Morgan SP BV - Link Reit European Warrants Oct 2014 A	80,000,000	2014/01/23	2014/10/03	13.12
13123	J P Morgan SP BV - Tencent European Warrants Aug 2014 B	150,000,000	2014/01/23	2014/08/01	37.50
13124	J P Morgan SP BV - Tencent European Warrants Jan 2015 A	150,000,000	2014/01/23	2015/01/02	22.50
13122	J P Morgan SP BV - Tencent European Put Warrants Aug 2014 A	100,000,000	2014/01/23	2014/08/01	44.00
13149	J P Morgan SP BV - Zoomlion European Warrants Dec 2015 A	100,000,000	2014/01/23	2015/12/28	15.00
13109	Macquarie Bank Ltd. - Chinares Cement European Wt Aug 2014 A	40,000,000	2014/01/23	2014/08/04	10.00
13106	Macquarie Bank Ltd. - MGM China European Warrants Sep 2014 A	40,000,000	2014/01/23	2014/09/02	10.00
13108	Macquarie Bank Ltd. - Ping An European Warrants Aug 2014 A	40,000,000	2014/01/23	2014/08/04	10.00
13107	Macquarie Bank Ltd. - Wharf European Warrants Aug 2014 A	80,000,000	2014/01/23	2014/08/04	13.20
13094	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Aug 2014 A	80,000,000	2014/01/23	2014/08/26	12.08
13096	Merrill Lynch Int'l & Co. C.V. - CC Bank Eu Put Wt Aug 2014B	40,000,000	2014/01/23	2014/08/01	14.60
13097	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Jun 14 A	80,000,000	2014/01/23	2014/06/24	12.32
13098	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts May 2014 A	80,000,000	2014/01/23	2014/05/27	12.88
13099	Merrill Lynch Int'l & Co. C.V. - Lenovo Euro Wts Aug 2014 A	40,000,000	2014/01/23	2014/08/11	11.40
13093	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Jul 2014 A	40,000,000	2014/01/23	2014/07/28	10.20
13095	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Put Wts May14B	40,000,000	2014/01/23	2014/05/26	10.00
13110	Standard Chartered Bank - CITIC Pacific Euro Wts Dec 2015 A	100,000,000	2014/01/23	2015/12/30	15.00
13112	Standard Chartered Bank - Galaxy Ent European Wts Jul 2017 A	150,000,000	2014/01/23	2017/07/31	37.50
13113	Standard Chartered Bank - HSBC European Put Wts Sep 2014 A	80,000,000	2014/01/23	2014/09/30	20.00
13114	Standard Chartered Bank - ICBC European Warrants Jul 2014 A	100,000,000	2014/01/23	2014/07/28	15.00
13115	Standard Chartered Bank - Tencent Hldg Euro Wts Jul 2014 A	100,000,000	2014/01/23	2014/07/07	18.00
13116	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2014 A	100,000,000	2014/01/23	2014/09/01	20.00
13117	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Sep 14 A	40,000,000	2014/01/23	2014/09/03	10.60
13132	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2014 C	100,000,000	2014/01/23	2014/08/04	18.00
13118	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Sep 14 A	30,000,000	2014/01/23	2014/09/03	10.35
13119	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 14 B	150,000,000	2014/01/23	2014/09/03	26.25
13136	UBS AG - Agricultural Bank European Warrants Sep 2014 A	50,000,000	2014/01/23	2014/09/15	12.50
13141	UBS AG - Minsheng Bank European Warrants Apr 2016 A	70,000,000	2014/01/23	2016/04/21	10.50
13139	UBS AG - GCL-Poly Energy European Warrants Jun 2014 B	60,000,000	2014/01/23	2014/06/24	19.80
13138	UBS AG - Li & Fung European Warrants Jan 2015 A	100,000,000	2014/01/23	2015/01/22	15.00
13140	UBS AG - Yurun Food European Warrants Jul 2014 A	50,000,000	2014/01/23	2014/07/22	17.50
16774 #	HK Bank - China Mobile European Warrants Jun 2015	180,000,000	2014/01/23	2015/06/22	14.76
27149 #	HK Bank - A50 China European Warrants Aug 2014 A	300,000,000	2014/01/23	2014/08/01	14.10
13168	BOCI Asia Ltd. - China Mobile European Warrants Jun 2014 A	100,000,000	2014/01/24	2014/06/23	18.00
13169	BOCI Asia Ltd. - China Mobile European Put Wts Jun 2014 B	100,000,000	2014/01/24	2014/06/30	19.00

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13166	BOCI Asia Ltd. - SJM Holdings European Warrants Aug 2014 A	100,000,000	2014/01/24	2014/08/27	17.00
13167	BOCI Asia Ltd. - Tencent Holdings European Put Wts Jun 2014 B	100,000,000	2014/01/24	2014/06/30	27.00
13191	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Aug 2014 A	78,000,000	2014/01/24	2014/08/04	14.04
13188	BNP Paribas Arbit Issu B.V. - CR Cement Euro Wts Aug 2014 A	19,000,000	2014/01/24	2014/08/04	10.26
13190	BNP Paribas Arbit Issu B.V. - Melco Int'l Dev Eu Wt Aug 14 A	75,000,000	2014/01/24	2014/08/04	18.75
13189	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jun 2014 A	100,000,000	2014/01/24	2014/06/30	18.00
13192	BNP Paribas Arbit Issu B.V. - Swire Pacific A Eu Wt Aug 16 A	100,000,000	2014/01/24	2016/08/02	25.00
13177	Credit Suisse AG - CC Bank European Warrants Jul 2014 D	80,000,000	2014/01/24	2014/07/07	21.60
13179	Credit Suisse AG - Galaxy Ent European Warrants Jul 2014 D	80,000,000	2014/01/24	2014/07/28	20.00
13180	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 C	100,000,000	2014/01/24	2014/08/11	25.00
13178	Credit Suisse AG - Wynn Macau European Warrants Jul 2014 A	80,000,000	2014/01/24	2014/07/28	20.00
13195	Citigroup Global Mkt H Inc. - Fosun Intl European Wts Apr15A	80,000,000	2014/01/24	2015/04/27	20.00
13193	Citigroup Global Mkt H Inc. - A50 China Euro Wts Jul 2014 B	80,000,000	2014/01/24	2014/07/21	36.00
13173	Goldman Sachs SP (Asia) - HSBC European Put Wts Jun 2014 B	120,000,000	2014/01/24	2014/06/27	18.48
13161	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2014 B	150,000,000	2014/01/24	2014/06/27	24.15
13162	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2014 C	150,000,000	2014/01/24	2014/06/27	25.05
13172	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2014 C	120,000,000	2014/01/24	2014/07/30	36.00
13155	HK Bank - CITIC Bank European Warrants Jul 2014 A	60,000,000	2014/01/24	2014/07/23	15.00
13154	HK Bank - China Unicom European Warrants Dec 2014 A	100,000,000	2014/01/24	2014/12/23	15.00
13158	HK Bank - HSCEI European Warrants Jun 2014 G	150,000,000	2014/01/24	2014/06/27	27.00
13160	HK Bank - HSCEI European Warrants Dec 2014 B	150,000,000	2014/01/24	2014/12/30	24.00
13156	HK Bank - HSI European Warrants Jul 2014 G	150,000,000	2014/01/24	2014/07/30	37.50
13157	HK Bank - HSI European Put Warrants Jul 2014 H	150,000,000	2014/01/24	2014/07/30	37.50
13153	J P Morgan SP BV - CGS European Warrants Aug 2014 A	40,000,000	2014/01/24	2014/08/01	12.52
13198	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2014 D	100,000,000	2014/01/24	2014/08/01	32.50
13197	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2016 A	500,000,000	2014/01/24	2016/08/01	125.00
13152	J P Morgan SP BV - Lenovo European Warrants Sep 2014 A	100,000,000	2014/01/24	2014/09/01	25.00
13151	J P Morgan SP BV - Xinyi Glass European Warrants Dec 2016 A	150,000,000	2014/01/24	2016/12/01	22.50
13187	Macquarie Bank Ltd. - CMS European Warrants Jul 2014 A	40,000,000	2014/01/24	2014/07/24	10.00
13163	Macquarie Bank Ltd. - HN Renewables European Wts Aug 2014 A	40,000,000	2014/01/24	2014/08/04	10.00
13186	Macquarie Bank Ltd. - Sh Pharma European Warrants Oct 2014 A	80,000,000	2014/01/24	2014/10/06	20.00
13171	Standard Chartered Bank - Galaxy Ent European Wts Jul 2014 B	80,000,000	2014/01/24	2014/07/30	20.00
13170	Standard Chartered Bank - Lenovo European Wts Sep 2014 A	60,000,000	2014/01/24	2014/09/01	21.00
13176	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Jul14B	60,000,000	2014/01/24	2014/07/09	12.00
13174	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jul14D	100,000,000	2014/01/24	2014/07/09	27.50
13175	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Aug14C	100,000,000	2014/01/24	2014/08/04	25.00
13181	UBS AG - AIA European Warrants Aug 2014 A	100,000,000	2014/01/24	2014/08/26	19.00
13182	UBS AG - CAM CSI300 European Warrants Dec 2014 A	100,000,000	2014/01/24	2014/12/31	25.00
13183	UBS AG - Geely Auto European Warrants Jun 2014 B	40,000,000	2014/01/24	2014/06/23	10.40
13185	UBS AG - Galaxy Ent European Warrants Aug 2014 A	40,000,000	2014/01/24	2014/08/08	10.00
13184	UBS AG - Galaxy Ent European Put Warrants Jul 2014 D	40,000,000	2014/01/24	2014/07/23	24.00
13204	BOCI Asia Ltd. - CC Bank European Warrants Jul 2014 A	100,000,000	2014/01/27	2014/07/07	18.00
13206	BOCI Asia Ltd. - A50 China European Warrants Jul 2014 A	100,000,000	2014/01/27	2014/07/28	23.00
13203	BOCI Asia Ltd. - ICBC European Warrants Jul 2014 B	100,000,000	2014/01/27	2014/07/23	18.00

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13205	BOCI Asia Ltd. - Tencent Holdings European Wts Jul 2014 B	100,000,000	2014/01/27	2014/07/02	19.00
13227	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Oct 2014 B	100,000,000	2014/01/27	2014/10/06	18.00
13230	BNP Paribas Arbit Issu B.V. - CNBM European Wts Aug 2014 B	58,000,000	2014/01/27	2014/08/04	26.68
13229	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Aug 2014 A	100,000,000	2014/01/27	2014/08/04	17.00
13231	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Aug 2014 A	100,000,000	2014/01/27	2014/08/04	21.00
13228	BNP Paribas Arbit Issu B.V. - ICBC European Wts Aug 2014 C	100,000,000	2014/01/27	2014/08/04	16.00
13224	Credit Suisse AG - Galaxy Ent European Warrants Jul 2014 E	80,000,000	2014/01/27	2014/07/28	20.80
13211	Credit Suisse AG - ICBC European Warrants Jul 2014 E	80,000,000	2014/01/27	2014/07/28	12.00
13225	Credit Suisse AG - Melco Int'l Dev European Wts Jul 2014 A	70,000,000	2014/01/27	2014/07/28	17.50
13213	Citigroup Global Mkt H Inc. - CC Bank European Wt Jul 2014 C	100,000,000	2014/01/27	2014/07/25	16.00
13226	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Jul 2014 B	60,000,000	2014/01/27	2014/07/28	26.40
13235	Bank of East Asia - Ch Comm Cons European Wts Aug 2014 A	70,000,000	2014/01/27	2014/08/29	10.50
13236	Bank of East Asia - CM Bank European Warrants May 2015 A	70,000,000	2014/01/27	2015/05/29	17.50
13237	Bank of East Asia - CM Bank European Put Warrants Jun 2015 A	70,000,000	2014/01/27	2015/06/29	17.50
13238	Bank of East Asia - A50 China European Warrants Sep 2014 A	40,000,000	2014/01/27	2014/09/30	10.00
13233	Bank of East Asia - Galaxy Ent European Warrants Dec 2015 A	100,000,000	2014/01/27	2015/12/31	25.00
13232	Bank of East Asia - Kingsoft European Warrants Aug 2014 A	40,000,000	2014/01/27	2014/08/22	10.40
13234	Bank of East Asia - Lenovo European Warrants Dec 2015 A	100,000,000	2014/01/27	2015/12/22	25.00
13218	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jul 2014 C	120,000,000	2014/01/27	2014/07/31	30.84
13217	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Jul 2014 A	120,000,000	2014/01/27	2014/07/31	30.00
13216	Goldman Sachs SP (Asia) - Sands China Euro Wts Jun 2014 C	120,000,000	2014/01/27	2014/06/23	38.52
13215	Goldman Sachs SP (Asia) - SJM Holdings Euro Wts Jul 2014 A	120,000,000	2014/01/27	2014/07/02	18.00
13214	Goldman Sachs SP (Asia) - Wynn Macau European Wts Jul 2014 A	120,000,000	2014/01/27	2014/07/30	30.00
13202	Macquarie Bank Ltd. - CC Bank European Warrants Oct 2014 A	50,000,000	2014/01/27	2014/10/06	12.50
13212	Macquarie Bank Ltd. - Country Garden European Wts Sep 2014 A	40,000,000	2014/01/27	2014/09/02	10.00
13201	Macquarie Bank Ltd. - Minsheng Bank European Wts Aug 2014 B	40,000,000	2014/01/27	2014/08/04	10.00
13200	Macquarie Bank Ltd. - Galaxy Ent European Wts Aug 2014 A	40,000,000	2014/01/27	2014/08/04	10.00
13209	Standard Chartered Bank - Kingsoft European Wts Jul 2014 A	80,000,000	2014/01/27	2014/07/29	20.00
13210	Standard Chartered Bank - NCI European Warrants Aug 2014 A	80,000,000	2014/01/27	2014/08/29	20.00
13208	Standard Chartered Bank - Tencent Hldg Eu Put Wts Jun 2014 E	100,000,000	2014/01/27	2014/06/30	28.00
13199	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Sep 2014A	60,000,000	2014/01/27	2014/09/03	16.20
13220	UBS AG - Cheung Kong European Warrants Jul 2014 A	40,000,000	2014/01/27	2014/07/28	11.20
13222	UBS AG - China Life European Warrants Sep 2014 B	100,000,000	2014/01/27	2014/09/25	15.00
13219	UBS AG - A50 China European Warrants Oct 2014 A	300,000,000	2014/01/27	2014/10/28	45.00
13221	UBS AG - Geely Auto European Warrants Jul 2014 A	40,000,000	2014/01/27	2014/07/09	10.00
13207	UBS AG - Galaxy Ent European Warrants Jun 2016 A	150,000,000	2014/01/27	2016/06/13	37.50
11799 #	UBS AG - Galaxy Ent European Warrants Jun 2014 B	30,000,000	2014/01/27	2014/06/23	11.55
12759 #	UBS AG - Galaxy Ent European Warrants Jul 2014 B	35,000,000	2014/01/27	2014/07/14	10.50
12347 #	UBS AG - Sands China European Warrants Jun 2014 B	55,000,000	2014/01/27	2014/06/30	10.95
13260	Credit Suisse AG - A50 China European Warrants Oct 2014 A	80,000,000	2014/01/28	2014/10/28	12.00
13261	Credit Suisse AG - A50 China European Warrants Dec 2016 A	100,000,000	2014/01/28	2016/12/28	15.00
13256	Credit Suisse AG - Galaxy Ent European Warrants Dec 2015 A	180,000,000	2014/01/28	2015/12/28	45.00
13257	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jun 2014 A	100,000,000	2014/01/28	2014/06/19	15.00
13252	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Jul 14 C	60,000,000	2014/01/28	2014/07/28	46.80

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		發行單位	上市日期	到期日	
13254	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Jul 14 D	60,000,000	2014/01/28	2014/07/08	16.80
13268	Daiwa Capital Mkt - ICBC European Warrants Aug 2014 A	128,000,000	2014/01/28	2014/08/01	19.20
13248	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Aug 2014 A	80,000,000	2014/01/28	2014/08/01	20.00
13249	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Aug 2014 B	80,000,000	2014/01/28	2014/08/01	20.08
13250	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Aug 2014 C	80,000,000	2014/01/28	2014/08/01	31.28
13247	Goldman Sachs SP (Asia) - HSCEI European Warrants Jun 2014 C	80,000,000	2014/01/28	2014/06/27	12.16
13241	HK Bank - Minsheng Bank European Warrants Jul 2014 C	60,000,000	2014/01/28	2014/07/31	24.00
13242	HK Bank - Minsheng Bank European Warrants Sep 2014 B	50,000,000	2014/01/28	2014/09/30	30.00
13239	HK Bank - Minsheng Bank European Warrants Mar 2016 A	200,000,000	2014/01/28	2016/03/02	32.00
13259	J P Morgan SP BV - CNOOC European Warrants Dec 2014 A	300,000,000	2014/01/28	2014/12/01	45.00
13255	Macquarie Bank Ltd. - Sands China European Wts Sep 2014 A	40,000,000	2014/01/28	2014/09/02	10.00
13244	Merrill Lynch Int'l & Co. C.V. - Sands China Eu Put Wt Jun14A	40,000,000	2014/01/28	2014/06/23	10.00
13246	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Aug 2014 A	40,000,000	2014/01/28	2014/08/11	10.40
13245	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Sep 2014 A	40,000,000	2014/01/28	2014/09/30	10.20
13243	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Jul 2014B	40,000,000	2014/01/28	2014/07/28	13.40
13265	SGA Societe Generale Acceptance N.V. - BOCm Eu Wt Aug 2014A	34,000,000	2014/01/28	2014/08/04	10.20
13266	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Jul 14 B	40,000,000	2014/01/28	2014/07/02	11.20
13267	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt Dec14A	100,000,000	2014/01/28	2014/12/29	19.00
13251	UBS AG - CNOOC European Warrants Dec 2014 B	100,000,000	2014/01/28	2014/12/22	25.00
13262	UBS AG - Sands China European Warrants Jul 2014 D	40,000,000	2014/01/28	2014/07/28	10.00
13275	BOCI Asia Ltd. - Galaxy Ent European Warrants Oct 2015 A	100,000,000	2014/01/29	2015/10/30	25.00
13290	Credit Suisse AG - Galaxy Ent European Warrants Aug 2014 A	100,000,000	2014/01/29	2014/08/18	42.00
13289	Credit Suisse AG - Sands China European Warrants May 2014 A	100,000,000	2014/01/29	2014/05/26	19.00
13288	Credit Suisse AG - Sands China European Warrants Jul 2014 A	100,000,000	2014/01/29	2014/07/28	21.00
13273	Goldman Sachs SP (Asia) - CNOOC European Warrants Dec 2014 A	120,000,000	2014/01/29	2014/12/01	18.00
13270	Goldman Sachs SP (Asia) - Lenovo European Wts Jun 2015 A	100,000,000	2014/01/29	2015/06/30	25.00
13269	HK Bank - CSOP A50 ETF European Warrants Jul 2014 C	60,000,000	2014/01/29	2014/07/31	21.00
13280	Macquarie Bank Ltd. - Chi Res Land European Wts Jan 2015 A	80,000,000	2014/01/29	2015/01/05	20.00
13274	Macquarie Bank Ltd. - Link REIT European Warrants Nov 2014 A	80,000,000	2014/01/29	2014/11/03	20.00
13279	Macquarie Bank Ltd. - Poly HK Inv European Wts Oct 2014 A	40,000,000	2014/01/29	2014/10/06	10.00
13291	Standard Chartered Bank - Agricultural Bk Eur Wts Aug 2014 B	80,000,000	2014/01/29	2014/08/08	22.40
13281	Standard Chartered Bank - Geely Auto European Wts Jul 2014 A	60,000,000	2014/01/29	2014/07/09	13.80
13292	Standard Chartered Bank - Galaxy Ent European Wts Jul 2014 C	80,000,000	2014/01/29	2014/07/08	17.60
13284	Standard Chartered Bank - HSI European Warrants Jul 2014 B	100,000,000	2014/01/29	2014/07/30	18.00
13283	Standard Chartered Bank - HSI European Put Wts Jul 2014 A	100,000,000	2014/01/29	2014/07/30	25.00
13282	Standard Chartered Bank - PICC Group European Wts Jul 2014 A	60,000,000	2014/01/29	2014/07/28	15.00
13285	Standard Chartered Bank - Xinyi Glass Euro Wts Jul 2014 A	60,000,000	2014/01/29	2014/07/31	15.00
13286	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2014 A	100,000,000	2014/01/29	2014/09/03	25.00
13287	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2014 D	100,000,000	2014/01/29	2014/08/25	15.00
13272	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jan 15 A	150,000,000	2014/01/29	2015/01/05	22.50
13277	UBS AG - A50 China European Warrants Dec 2016 A	300,000,000	2014/01/29	2016/12/28	45.00
13276	UBS AG - Ping An European Warrants Dec 2015 A	200,000,000	2014/01/29	2015/12/23	32.00
13278	UBS AG - Sands China European Warrants Aug 2014 A	40,000,000	2014/01/29	2014/08/08	15.20
13310	BNP Paribas Arbit Issu B.V. - AIA European Wts Jul 2014 A	100,000,000	2014/01/30	2014/07/03	19.00

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13308	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Aug 2014 A	91,000,000	2014/01/30	2014/08/04	13.65
13312	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Aug 14 A	100,000,000	2014/01/30	2014/08/04	25.00
13309	BNP Paribas Arbit Issu B.V. - KECL European Wts Dec 2015 A	100,000,000	2014/01/30	2015/12/02	26.00
13311	BNP Paribas Arbit Issu B.V. - ZTE European Wts Jul 2014 B	100,000,000	2014/01/30	2014/07/02	18.00
13314	Credit Suisse AG - China Mobile European Warrants Jun 2014 E	80,000,000	2014/01/30	2014/06/23	12.00
13303	Credit Suisse AG - Galaxy Ent European Warrants Nov 2015 A	180,000,000	2014/01/30	2015/11/30	45.00
13315	Credit Suisse AG - KECL European Warrants Jul 2014 B	70,000,000	2014/01/30	2014/07/31	30.10
13326	Citigroup Global Mkt H Inc. - ICBC European Wts Jul 2014 A	100,000,000	2014/01/30	2014/07/23	15.00
13313	Daiwa Capital Mkt - Sinopec Corp European Wts Aug 2014 A	80,000,000	2014/01/30	2014/08/01	15.20
13322	Bank of East Asia - AAC Acoustic European Warrants Jul 2014A	60,000,000	2014/01/30	2014/07/31	15.00
13321	Bank of East Asia - CHALCO European Warrants Jul 2014 A	70,000,000	2014/01/30	2014/07/09	10.50
13324	Bank of East Asia - CGS European Warrants Dec 2015 A	90,000,000	2014/01/30	2015/12/09	13.50
13323	Bank of East Asia - Esprit European Warrants Sep 2015 A	60,000,000	2014/01/30	2015/09/25	15.00
13320	Bank of East Asia - Wynn Macau European Warrants Nov 2014 A	50,000,000	2014/01/30	2014/11/12	12.50
13319	Goldman Sachs SP (Asia) - Melco Int'l Dev Eur Wts Aug 2014 A	120,000,000	2014/01/30	2014/08/04	30.00
13300	HK Bank - AIA European Warrants Aug 2014 A	80,000,000	2014/01/30	2014/08/26	12.00
13296	HK Bank - Galaxy Ent European Warrants Jul 2014 B	60,000,000	2014/01/30	2014/07/31	30.00
13301	HK Bank - Galaxy Ent European Warrants Jul 2014 C	50,000,000	2014/01/30	2014/07/31	45.00
13302	HK Bank - Galaxy Ent European Warrants Jun 2015 A	150,000,000	2014/01/30	2015/06/30	37.50
13299	HK Bank - Ping An European Warrants Jul 2014 A	60,000,000	2014/01/30	2014/07/31	24.00
13298	HK Bank - Ping An European Warrants Oct 2016 A	150,000,000	2014/01/30	2016/10/21	22.50
13293	HK Bank - Sands China European Warrants Jul 2014 C	60,000,000	2014/01/30	2014/07/31	24.00
13297	HK Bank - Tencent European Warrants Jun 2014 G	100,000,000	2014/01/30	2014/06/30	21.00
13304	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2014 A	100,000,000	2014/01/30	2014/10/06	21.00
13305	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Aug 14B	40,000,000	2014/01/30	2014/08/04	12.80
13306	SGA Societe Generale Acceptance N.V - Sands China Eu Wt Jul14B	100,000,000	2014/01/30	2014/07/02	16.00
13316	UBS AG - Anhui Conch European Warrants Sep 2014 A	70,000,000	2014/01/30	2014/09/15	17.50
13318	UBS AG - Minsheng Bank European Warrants Aug 2014 A	30,000,000	2014/01/30	2014/08/04	15.90
13317	UBS AG - ICBC European Warrants Aug 2014 A	100,000,000	2014/01/30	2014/08/04	25.00
13333	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Dec 2014 A	100,000,000	2014/02/04	2014/12/30	15.00
13334	BNP Paribas Arbit Issu B.V. - HSCEI Euro Put Wts Oct 2014 A	100,000,000	2014/02/04	2014/10/30	25.00
13335	BNP Paribas Arbit Issu B.V. - HSI European Wts Jun 2014 A	100,000,000	2014/02/04	2014/06/27	16.00
13339	Credit Suisse AG - CC Bank European Warrants Aug 2014 B	80,000,000	2014/02/04	2014/08/04	23.20
13347	Credit Suisse AG - China Shenhua European Wts Jul 2014 B	70,000,000	2014/02/04	2014/07/28	11.90
13350	Credit Suisse AG - Galaxy Ent European Warrants Aug 2014 B	80,000,000	2014/02/04	2014/08/11	44.00
13349	Credit Suisse AG - HSBC European Wts Jun 2014 D	80,000,000	2014/02/04	2014/06/24	19.20
13348	Credit Suisse AG - HSBC European Wts Jul 2014 A	80,000,000	2014/02/04	2014/07/08	17.60
13336	Credit Suisse AG - ICBC European Warrants Aug 2014 A	80,000,000	2014/02/04	2014/08/04	20.00
13346	Credit Suisse AG - PetroChina European Warrants Jun 2014 B	70,000,000	2014/02/04	2014/06/19	10.50
13352	Citigroup Global Mkt H Inc. - Henganintl Euro Wts Dec 2015 A	150,000,000	2014/02/04	2015/12/22	22.50
13353	Citigroup Global Mkt H Inc. - HKEx European Wts Jul 2014 A	50,000,000	2014/02/04	2014/07/16	21.00
13328	Daiwa Capital Mkt - Sands China European Warrants Jun 2014 C	98,000,000	2014/02/04	2014/06/24	14.70
13351	Daiwa Capital Mkt - Tencent Holdings European Wts Jul 2014 C	128,000,000	2014/02/04	2014/07/21	33.28
13329	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Sep 2014 B	80,000,000	2014/02/04	2014/09/03	12.00

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13332	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2014 B	150,000,000	2014/02/04	2014/07/30	22.50
13331	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2014 B	150,000,000	2014/02/04	2014/09/29	27.00
13344	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2014 A	100,000,000	2014/02/04	2014/09/03	25.00
13345	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Jul 2014B	50,000,000	2014/02/04	2014/07/02	10.50
13343	UBS AG - Galaxy Ent European Warrants Dec 2014 A	40,000,000	2014/02/04	2014/12/29	16.00
13342	UBS AG - Galaxy Ent European Warrants Jul 2016 A	200,000,000	2014/02/04	2016/07/18	50.00
13340	UBS AG - Lenovo European Warrants Sep 2014 B	50,000,000	2014/02/04	2014/09/08	12.50
13341	UBS AG - Sands China European Warrants Dec 2014 A	40,000,000	2014/02/04	2014/12/29	13.20
27759 #	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts May 2014 A	180,000,000	2014/02/04	2014/05/02	10.26
13376	BOCI Asia Ltd. - HSI European Warrants Aug 2014 C	100,000,000	2014/02/05	2014/08/28	15.00
13375	BOCI Asia Ltd. - HSI European Put Warrants Aug 2014 B	100,000,000	2014/02/05	2014/08/28	19.00
13377	BOCI Asia Ltd. - Tracker Fund European Put Wts Dec 2014 A	100,000,000	2014/02/05	2014/12/29	25.00
13396	BNP Paribas Arbit Issu B.V. - Ch Modern D Euro Wt Sep 2014 A	45,000,000	2014/02/05	2014/09/02	20.25
13397	BNP Paribas Arbit Issu B.V. - Huishan Dairy Eu Wt Sep 2014 A	100,000,000	2014/02/05	2014/09/02	33.00
13395	BNP Paribas Arbit Issu B.V. - REXLot Holdings Eu Wt Sep 14 A	100,000,000	2014/02/05	2014/09/02	31.00
13416	BNP Paribas Arbit Issu B.V. - Techtronic Ind Eu Wt May 2015A	45,000,000	2014/02/05	2015/05/05	11.25
13415	Credit Suisse AG - Agricultural Bank European Wts Sep 2014 B	70,000,000	2014/02/05	2014/09/05	10.50
13372	Credit Suisse AG - CC Bank European Warrants Aug 2014 C	80,000,000	2014/02/05	2014/08/04	20.00
13374	Credit Suisse AG - China Mobile European Warrants Aug 2014 A	80,000,000	2014/02/05	2014/08/04	20.00
13367	Credit Suisse AG - HSI European Warrants Jul 2014 B	200,000,000	2014/02/05	2014/07/30	30.00
13369	Credit Suisse AG - ICBC European Warrants Aug 2014 B	80,000,000	2014/02/05	2014/08/04	20.00
13382	Credit Suisse AG - REXLot Holdings European Wts Aug 2014 A	60,000,000	2014/02/05	2014/08/04	15.00
13426	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jul 2014 B	100,000,000	2014/02/05	2014/07/29	15.00
13427	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jul 2014 C	100,000,000	2014/02/05	2014/07/28	24.00
13419	Citigroup Global Mkt H Inc. - Haier Elec Euro Wts Aug 2014 A	80,000,000	2014/02/05	2014/08/15	20.00
13425	Citigroup Global Mkt H Inc. - HSBC European Wts Jul 2014 B	100,000,000	2014/02/05	2014/07/07	35.00
13421	Citigroup Global Mkt H Inc. - Huishan Dairy Eu Wt Aug 2014 A	50,000,000	2014/02/05	2014/08/15	18.50
13422	Citigroup Global Mkt H Inc. - REXLot Holdings Eu Wt Nov 14 A	80,000,000	2014/02/05	2014/11/24	21.60
13418	Citigroup Global Mkt H Inc. - Swire Properties Eu Wt Jan 15A	40,000,000	2014/02/05	2015/01/30	10.00
13420	Citigroup Global Mkt H Inc. - Truly Int'l Eur Wt Aug 2014 A	20,000,000	2014/02/05	2014/08/15	10.80
13383	Daiwa Capital Mkt - China Mobile European Wts Aug 2014 A	128,000,000	2014/02/05	2014/08/01	20.48
13360	HK Bank - CC Bank European Warrants Jul 2014 C	60,000,000	2014/02/05	2014/07/07	12.00
13361	HK Bank - CC Bank European Warrants Sep 2014 A	80,000,000	2014/02/05	2014/09/22	12.00
13355	HK Bank - HSI European Warrants Jul 2014 I	250,000,000	2014/02/05	2014/07/30	45.00
13356	HK Bank - HSI European Put Warrants May 2014 H	250,000,000	2014/02/05	2014/05/29	47.50
13357	HK Bank - HSI European Put Warrants Jul 2014 J	250,000,000	2014/02/05	2014/07/30	55.00
13358	HK Bank - HSI European Put Warrants Aug 2014 B	250,000,000	2014/02/05	2014/08/28	62.50
13354	HK Bank - ICBC European Warrants Aug 2014 A	60,000,000	2014/02/05	2014/08/25	15.00
13359	HK Bank - MGM China European Warrants Sep 2014 A	80,000,000	2014/02/05	2014/09/29	20.00
13411	J P Morgan SP BV - BOCL European Warrants Sep 2014 A	200,000,000	2014/02/05	2014/09/01	50.00
13408	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Aug 2014 B	200,000,000	2014/02/05	2014/08/04	50.00
13406	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2014 E	100,000,000	2014/02/05	2014/08/04	60.20
13402	J P Morgan SP BV - HSBC European Warrants Jul 2014 A	200,000,000	2014/02/05	2014/07/07	47.80
13405	J P Morgan SP BV - HSI European Warrants May 2014 B	300,000,000	2014/02/05	2014/05/29	49.80

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		發行單位	上市日期	到期日	
13403	J P Morgan SP BV - HSI European Warrants Aug 2014 B	300,000,000	2014/02/05	2014/08/28	75.00
13404	J P Morgan SP BV - HSI European Warrants Aug 2014 C	300,000,000	2014/02/05	2014/08/28	75.00
13401	J P Morgan SP BV - ICBC European Warrants Aug 2014 A	200,000,000	2014/02/05	2014/08/04	50.20
13400	J P Morgan SP BV - Ping An European Warrants Jun 2014 D	200,000,000	2014/02/05	2014/06/27	44.60
13399	J P Morgan SP BV - Sands China European Warrants Aug 2014 C	150,000,000	2014/02/05	2014/08/04	47.40
13398	J P Morgan SP BV - SJM Holdings European Warrants Aug 2014 A	100,000,000	2014/02/05	2014/08/04	25.00
13417	J P Morgan SP BV - Tencent European Warrants May 2014 D	200,000,000	2014/02/05	2014/05/07	38.20
13365	Standard Chartered Bank - Tencent Hldg Euro Wts Jun 2014 F	100,000,000	2014/02/05	2014/06/23	35.00
13366	Standard Chartered Bank - Tencent Hldg Euro Wts Jul 2014 B	100,000,000	2014/02/05	2014/07/15	18.00
13390	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Sep 2014 A	100,000,000	2014/02/05	2014/09/08	15.00
13380	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jul 2014 B	100,000,000	2014/02/05	2014/07/02	18.00
13391	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jan 2015 B	100,000,000	2014/02/05	2015/01/05	15.50
13392	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug2014B	100,000,000	2014/02/05	2014/08/04	21.00
13393	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Aug 2014 A	40,000,000	2014/02/05	2014/08/04	14.20
13381	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2014 C	100,000,000	2014/02/05	2014/08/04	25.00
13394	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Aug 2014 A	70,000,000	2014/02/05	2014/08/04	30.10
13379	UBS AG - China Life European Warrants Aug 2014 D	100,000,000	2014/02/05	2014/08/18	25.00
13387	UBS AG - CSOP A50 ETF European Warrants Dec 2015 A	100,000,000	2014/02/05	2015/12/28	15.00
13388	UBS AG - A50 China European Warrants Nov 2015 A	300,000,000	2014/02/05	2015/11/25	60.00
13389	UBS AG - A50 China European Warrants Mar 2016 A	300,000,000	2014/02/05	2016/03/23	51.00
13364	UBS AG - HSCEI European Warrants Sep 2014 C	100,000,000	2014/02/05	2014/09/29	17.00
13384	UBS AG - HSCEI European Put Warrants Jul 2014 C	100,000,000	2014/02/05	2014/07/30	23.00
13363	UBS AG - HSI European Warrants Jul 2014 C	300,000,000	2014/02/05	2014/07/30	45.00
13362	UBS AG - HSI European Warrants Aug 2014 A	300,000,000	2014/02/05	2014/08/28	45.00
13385	UBS AG - HSI European Put Warrants Aug 2014 B	300,000,000	2014/02/05	2014/08/28	75.00
13386	UBS AG - Ping An European Warrants Aug 2014 A	100,000,000	2014/02/05	2014/08/04	45.00
13474	BOCI Asia Ltd. - HSBC European Warrants Jul 2014 A	100,000,000	2014/02/06	2014/07/04	15.00
13473	BOCI Asia Ltd. - Hutchison European Warrants Jul 2014 A	100,000,000	2014/02/06	2014/07/28	33.00
13472	BOCI Asia Ltd. - Ping An European Warrants Jul 2014 C	100,000,000	2014/02/06	2014/07/08	21.00
13471	BOCI Asia Ltd. - Tracker Fund European Warrants Mar 2015 A	100,000,000	2014/02/06	2015/03/30	25.00
13493	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Dec 2014 C	100,000,000	2014/02/06	2014/12/29	15.00
13492	BNP Paribas Arbit Issu B.V. - China Unicom Euro Wt Jan 2015A	100,000,000	2014/02/06	2015/01/05	15.00
13495	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts May 2014 A	100,000,000	2014/02/06	2014/05/05	24.00
13491	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jun 2014 B	50,000,000	2014/02/06	2014/06/04	12.00
13467	BNP Paribas Arbit Issu B.V. - HLand Dev Euro Wts Apr 2015 A	41,000,000	2014/02/06	2015/04/02	10.25
13464	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2014 B	100,000,000	2014/02/06	2014/09/29	15.00
13465	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jun 2014 B	100,000,000	2014/02/06	2014/06/27	20.00
13468	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Aug 2014 B	100,000,000	2014/02/06	2014/08/04	18.00
13494	BNP Paribas Arbit Issu B.V. - Ping An European Wt May 2014 A	100,000,000	2014/02/06	2014/05/05	20.00
13490	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jul 2014 C	57,000,000	2014/02/06	2014/07/03	10.26
13487	Credit Suisse AG - CC Bank European Warrants Sep 2014 B	80,000,000	2014/02/06	2014/09/22	12.00
13484	Credit Suisse AG - China Life European Warrants Aug 2014 C	80,000,000	2014/02/06	2014/08/25	12.00
13481	Credit Suisse AG - CNOOC European Warrants Aug 2014 B	80,000,000	2014/02/06	2014/08/11	20.00
13480	Credit Suisse AG - CNOOC European Warrants Dec 2014 C	80,000,000	2014/02/06	2014/12/15	12.00

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		發行單位	上市日期	到期日	
13488	Credit Suisse AG - Galaxy Ent European Warrants Jun 2016 A	180,000,000	2014/02/06	2016/06/06	32.40
13503	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Dec 2015 A	200,000,000	2014/02/06	2015/12/18	37.00
13506	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Aug 2014 A	60,000,000	2014/02/06	2014/08/15	19.80
13478	Daiwa Capital Mkt - China Life European Put Wts Nov 2014 A	80,000,000	2014/02/06	2014/11/25	14.40
13460	Goldman Sachs SP (Asia) - Ch Overseas European Wts Dec 2014 A	80,000,000	2014/02/06	2014/12/30	20.00
13459	Goldman Sachs SP (Asia) - HKEx European Warrants May 2014 B	80,000,000	2014/02/06	2014/05/30	13.04
13461	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2014 A	150,000,000	2014/02/06	2014/08/28	37.65
13458	Goldman Sachs SP (Asia) - Wharf European Warrants Dec 2014 A	80,000,000	2014/02/06	2014/12/30	20.00
13457	HK Bank - AIA European Warrants Aug 2014 B	100,000,000	2014/02/06	2014/08/11	25.00
13455	HK Bank - HSI European Warrants May 2014 I	250,000,000	2014/02/06	2014/05/29	40.00
13454	HK Bank - HSI European Put Warrants Aug 2014 C	250,000,000	2014/02/06	2014/08/28	62.50
13452	HK Bank - HWL European Warrants Jun 2014 E	100,000,000	2014/02/06	2014/06/16	40.00
13453	HK Bank - KECL European Warrants Oct 2014 A	100,000,000	2014/02/06	2014/10/06	22.00
13451	HK Bank - PetroChina European Warrants Aug 2014 A	60,000,000	2014/02/06	2014/08/11	15.00
13502	J P Morgan SP BV - BankComm European Warrants Aug 2014 A	40,000,000	2014/02/06	2014/08/05	10.00
13497	J P Morgan SP BV - Minsheng Bank European Wts Mar 2016 A	200,000,000	2014/02/06	2016/03/01	30.00
13496	J P Morgan SP BV - CNOOC European Warrants Dec 2014 B	200,000,000	2014/02/06	2014/12/30	30.00
13500	J P Morgan SP BV - Haier Elec European Warrants Aug 2014 A	100,000,000	2014/02/06	2014/08/05	25.00
13428	J P Morgan SP BV - HSI European Put Warrants Aug 2014 D	500,000,000	2014/02/06	2014/08/28	125.00
13501	J P Morgan SP BV - Huishan Dairy European Warrants Aug 2014A	100,000,000	2014/02/06	2014/08/05	25.00
13498	J P Morgan SP BV - SPDR Gold Trust European Wts Sep 2014 A	40,000,000	2014/02/06	2014/09/02	10.00
13447	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Aug 2014 C	40,000,000	2014/02/06	2014/08/11	10.40
13450	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Aug 14 A	40,000,000	2014/02/06	2014/08/07	12.60
13444	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts May 2014 B	70,000,000	2014/02/06	2014/05/27	11.41
13429	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jun 2014 F	100,000,000	2014/02/06	2014/06/27	24.40
13430	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Aug 2014 B	100,000,000	2014/02/06	2014/08/28	28.00
13448	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Aug 2014 A	40,000,000	2014/02/06	2014/08/07	14.60
13449	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Aug 2014 B	40,000,000	2014/02/06	2014/08/07	11.00
13439	Nomura Int'l plc - Country Garden European Warrants Oct 14A	50,000,000	2014/02/06	2014/10/28	13.65
13435	Nomura Int'l plc - China Mobile European Warrants Aug 2014 A	50,000,000	2014/02/06	2014/08/06	12.65
13432	Nomura Int'l plc - Fosun Intl European Warrants Apr 2015 A	50,000,000	2014/02/06	2015/04/27	12.50
13438	Nomura Int'l plc - Haier Elec European Warrants Aug 2014 B	50,000,000	2014/02/06	2014/08/08	12.90
13431	Nomura Int'l plc - HSBC European Warrants Jun 2014 B	80,000,000	2014/02/06	2014/06/23	12.00
13463	Nomura Int'l plc - Huishan Dairy European Warrants Aug 2014A	50,000,000	2014/02/06	2014/08/08	12.60
13434	Nomura Int'l plc - Kerry Ppt European Warrants Mar 2015 A	50,000,000	2014/02/06	2015/03/30	13.00
13436	Nomura Int'l plc - Lenovo European Warrants Aug 2014 A	50,000,000	2014/02/06	2014/08/06	12.60
13443	Nomura Int'l plc - Ping An European Warrants Jul 2014 A	80,000,000	2014/02/06	2014/07/02	13.76
13442	Nomura Int'l plc - Ping An European Warrants Dec 2014 A	50,000,000	2014/02/06	2014/12/30	12.50
13476	Standard Chartered Bank - HSBC European Warrants Aug 2014 B	80,000,000	2014/02/06	2014/08/08	20.00
13475	Standard Chartered Bank - HSI European Warrants Jul 2014 C	100,000,000	2014/02/06	2014/07/30	15.00
13477	Standard Chartered Bank - ICBC European Warrants Sep 2014 A	100,000,000	2014/02/06	2014/09/02	25.00
13470	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jan16A	500,000,000	2014/02/06	2016/01/04	82.50
13489	UBS AG - AIA European Warrants Sep 2014 A	100,000,000	2014/02/06	2014/09/08	25.00
13479	UBS AG - China Mobile European Warrants Aug 2014 A	100,000,000	2014/02/06	2014/08/05	28.00

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13537	BOCI Asia Ltd. - AIA European Warrants Jul 2014 B	100,000,000	2014/02/07	2014/07/21	16.00
13536	BOCI Asia Ltd. - China Life European Warrants Aug 2014 A	100,000,000	2014/02/07	2014/08/25	15.00
13535	BOCI Asia Ltd. - HSI European Warrants Sep 2014 B	100,000,000	2014/02/07	2014/09/29	15.00
13538	BOCI Asia Ltd. - Sands China European Warrants Aug 2014 A	100,000,000	2014/02/07	2014/08/11	34.00
13564	BNP Paribas Arbit Issu B.V. - Belle Int'l Euro Wt Jan 2016 A	100,000,000	2014/02/07	2016/01/05	25.00
13563	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Apr 2015A	100,000,000	2014/02/07	2015/04/02	28.00
13558	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2015 A	100,000,000	2014/02/07	2015/01/05	25.00
13562	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Oct 2014 A	80,000,000	2014/02/07	2014/10/06	20.00
13557	BNP Paribas Arbit Issu B.V. - Wharf European Wts Jan 2015 A	65,000,000	2014/02/07	2015/01/05	16.25
13545	Credit Suisse AG - HSI European Put Warrants Aug 2014 B	200,000,000	2014/02/07	2014/08/28	50.00
13556	Credit Suisse AG - Ping An European Warrants Dec 2015 A	100,000,000	2014/02/07	2015/12/23	15.00
13518	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2014 B	80,000,000	2014/02/07	2014/06/23	12.00
13517	Goldman Sachs SP (Asia) - CNBM European Warrants Oct 2015 A	120,000,000	2014/02/07	2015/10/27	18.00
13516	Goldman Sachs SP (Asia) - CNBM European Warrants Nov 2016 A	120,000,000	2014/02/07	2016/11/28	18.48
13515	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Nov 2014 A	120,000,000	2014/02/07	2014/11/28	30.00
13519	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2014 B	80,000,000	2014/02/07	2014/07/07	16.32
13565	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2014 A	100,000,000	2014/02/07	2014/08/04	15.20
13572	J P Morgan SP BV - HSBC European Warrants Aug 2014 A	200,000,000	2014/02/07	2014/08/06	50.00
13571	J P Morgan SP BV - Hutchison European Warrants Jul 2014 A	100,000,000	2014/02/07	2014/07/02	43.50
13570	J P Morgan SP BV - PetroChina European Warrants Aug 2014 A	100,000,000	2014/02/07	2014/08/06	25.10
13553	Macquarie Bank Ltd. - Angang Steel European Wts Aug 2014 A	40,000,000	2014/02/07	2014/08/06	10.96
13552	Macquarie Bank Ltd. - China Gas Hold Euro Wts Jun 2015 A	60,000,000	2014/02/07	2015/06/26	10.92
13508	Macquarie Bank Ltd. - China Life European Wts Sep 2014 A	40,000,000	2014/02/07	2014/09/02	10.00
13507	Macquarie Bank Ltd. - CNOOC European Warrants Sep 2014 A	40,000,000	2014/02/07	2014/09/02	10.00
13554	Macquarie Bank Ltd. - Huishan Dairy European Warrants Aug14A	40,000,000	2014/02/07	2014/08/06	10.00
13509	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Jul 2014 A	70,000,000	2014/02/07	2014/07/14	10.50
13510	Merrill Lynch Int'l & Co. C.V. - AIA Euro Put Wts Sep 2014 A	40,000,000	2014/02/07	2014/09/30	10.00
13511	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Sep 2014 A	40,000,000	2014/02/07	2014/09/30	11.80
13513	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Aug 2014 B	70,000,000	2014/02/07	2014/08/25	10.71
13514	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Sep 2014 A	40,000,000	2014/02/07	2014/09/30	15.80
13512	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Aug 2014 A	40,000,000	2014/02/07	2014/08/11	10.00
13534	Nomura Int'l plc - BankComm European Warrants Aug 2014 A	50,000,000	2014/02/07	2014/08/07	12.50
13528	Nomura Int'l plc - CC Bank European Warrants Nov 2014 A	50,000,000	2014/02/07	2014/11/28	12.50
13533	Nomura Int'l plc - Minsheng Bank European Wts Aug 2014 A	50,000,000	2014/02/07	2014/08/08	30.30
13555	Nomura Int'l plc - CSPC Pharma European Warrants Dec 2016 A	50,000,000	2014/02/07	2016/12/28	12.65
13526	Nomura Int'l plc - HKEx European Warrants Jun 2014 B	80,000,000	2014/02/07	2014/06/03	12.80
13520	Nomura Int'l plc - Hutchison European Warrants Jul 2014 A	50,000,000	2014/02/07	2014/07/25	14.20
13521	Nomura Int'l plc - Hutchison European Warrants Aug 2014 A	50,000,000	2014/02/07	2014/08/08	13.00
13529	Nomura Int'l plc - SMIC European Warrants Oct 2015 A	50,000,000	2014/02/07	2015/10/28	12.50
13530	Nomura Int'l plc - Tencent Holdings Euro Warrants May 2014 A	80,000,000	2014/02/07	2014/05/07	13.76
13532	Nomura Int'l plc - Tencent Holdings Euro Warrants Jun 2014 B	80,000,000	2014/02/07	2014/06/30	12.00
13527	Nomura Int'l plc - ZTE European Warrants Jul 2014 B	80,000,000	2014/02/07	2014/07/28	12.00
13569	Standard Chartered Bank - CAM CSI300 European Wts Nov 2015 A	100,000,000	2014/02/07	2015/11/03	25.00
13567	Standard Chartered Bank - CSOP A50 ETF Euro Wts Aug 2018 A	200,000,000	2014/02/07	2018/08/08	50.00

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13541	Standard Chartered Bank - Ch Ship Cont Euro Wts Jan 2015 A	60,000,000	2014/02/07	2015/01/29	15.00
13539	Standard Chartered Bank - China Shenhua Euro Wts Nov 2014 A	100,000,000	2014/02/07	2014/11/28	25.00
13566	Standard Chartered Bank - A50 China Euro Warrants Aug 2018 A	300,000,000	2014/02/07	2018/08/08	75.00
13544	Standard Chartered Bank - Hutchison Euro Euro Wts Jun 2014 A	80,000,000	2014/02/07	2014/06/23	16.80
13540	Standard Chartered Bank - SHK P European Warrants Jul 2014 A	60,000,000	2014/02/07	2014/07/03	10.80
13543	Standard Chartered Bank - Tracker Fund Euro Wts Aug 2014 B	100,000,000	2014/02/07	2014/08/28	25.00
13546	UBS AG - Galaxy Ent European Warrants Oct 2015 A	150,000,000	2014/02/07	2015/10/23	25.50
13549	UBS AG - Huishan Dairy European Warrants Aug 2014 A	40,000,000	2014/02/07	2014/08/07	10.00
13547	UBS AG - Lenovo European Put Warrants Aug 2014 C	11,000,000	2014/02/07	2014/08/25	11.00
13548	UBS AG - Lenovo European Put Warrants Jun 2015 A	40,000,000	2014/02/07	2015/06/22	10.00
13551	UBS AG - Melco European Warrants Jul 2014 A	40,000,000	2014/02/07	2014/07/03	10.00
13550	UBS AG - MGM China European Warrants Sep 2014 A	40,000,000	2014/02/07	2014/09/15	10.00
27290 #	J P Morgan SP BV - HSI European Warrants Apr 2014 E	500,000,000	2014/02/07	2014/04/29	22.50
28250 #	BOCI Asia Ltd. - Sands China European Warrants May 2014 A	88,000,000	2014/02/07	2014/05/30	10.21
28770 #	BOCI Asia Ltd. - HSI European Warrants Apr 2014 B	345,000,000	2014/02/07	2014/04/29	10.01
13579	Credit Suisse AG - China Mobile European Warrants Aug 2014 B	80,000,000	2014/02/10	2014/08/11	21.60
13577	Credit Suisse AG - HSBC European Wts Jul 2014 B	80,000,000	2014/02/10	2014/07/07	24.00
13578	Credit Suisse AG - Hutchison European Warrants Aug 2014 A	80,000,000	2014/02/10	2014/08/11	40.00
13588	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 D	100,000,000	2014/02/10	2014/08/11	25.00
13575	Goldman Sachs SP (Asia) - CITIC Sec European Wts Aug 2014 A	80,000,000	2014/02/10	2014/08/25	12.00
13573	Goldman Sachs SP (Asia) - A50 China European Wts Jul 2014 A	80,000,000	2014/02/10	2014/07/02	12.00
13574	Goldman Sachs SP (Asia) - Kingsoft European Wts Jul 2014 A	80,000,000	2014/02/10	2014/07/07	12.00
13576	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2014 D	80,000,000	2014/02/10	2014/07/25	13.84
13587	Standard Chartered Bank - Sands China Euro Wts May 2014 E	80,000,000	2014/02/10	2014/05/09	18.40
13583	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2016A	400,000,000	2014/02/10	2016/04/06	62.00
13586	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2014 A	100,000,000	2014/02/10	2014/09/03	34.00
13582	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Aug 2014A	70,000,000	2014/02/10	2014/08/04	11.55
13585	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Aug 14 C	100,000,000	2014/02/10	2014/08/04	47.50
13581	UBS AG - Tencent Holdings European Warrants Jul 2014 E	100,000,000	2014/02/10	2014/07/25	22.00
13619	Credit Suisse AG - AIA European Warrants Aug 2014 A	80,000,000	2014/02/11	2014/08/29	20.00
13644	Credit Suisse AG - China Coal European Warrants Jul 2014 A	70,000,000	2014/02/11	2014/07/28	10.50
13618	Credit Suisse AG - China Mobile European Warrants Aug 2014 C	80,000,000	2014/02/11	2014/08/11	32.00
13616	Credit Suisse AG - HSI European Warrants Sep 2014 E	200,000,000	2014/02/11	2014/09/29	30.00
13617	Credit Suisse AG - Ping An European Warrants Aug 2014 A	80,000,000	2014/02/11	2014/08/11	28.80
13649	Citigroup Global Mkt H Inc. - A50 China Euro Wts Aug 2014 B	80,000,000	2014/02/11	2014/08/25	48.00
13613	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Jun 2014 C	80,000,000	2014/02/11	2014/06/23	68.00
13615	Citigroup Global Mkt H Inc. - HKEx European Wts Dec 2015 A	150,000,000	2014/02/11	2015/12/23	22.50
13609	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Jun 2014 B	65,000,000	2014/02/11	2014/06/04	10.40
13614	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Jun 2014 C	60,000,000	2014/02/11	2014/06/20	18.60
13611	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jun 2014 B	100,000,000	2014/02/11	2014/06/24	19.00
13610	Citigroup Global Mkt H Inc. - Ping An Euro Wts Aug 2014 A	100,000,000	2014/02/11	2014/08/01	35.00
13612	Citigroup Global Mkt H Inc. - Sands China Euro Wt Jul 2014 A	80,000,000	2014/02/11	2014/07/24	28.00
13632	Daiwa Capital Mkt - CAM CSI300 European Warrants Dec 2014 A	98,000,000	2014/02/11	2014/12/12	17.64
13629	Daiwa Capital Mkt - China Life European Warrants Jun 2014 A	138,000,000	2014/02/11	2014/06/20	24.84

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		發行單位	上市日期	到期日	
13630	Daiwa Capital Mkt - ICBC European Warrants Aug 2014 B	128,000,000	2014/02/11	2014/08/11	32.00
13631	Daiwa Capital Mkt - Ping An European Put Warrants Oct 2014 A	80,000,000	2014/02/11	2014/10/24	20.00
13605	HK Bank - CAM CSI300 European Warrants Dec 2014 A	60,000,000	2014/02/11	2014/12/12	12.00
13606	HK Bank - China Mobile European Warrants Jul 2014 D	120,000,000	2014/02/11	2014/07/28	22.80
13604	HK Bank - CNOOC European Warrants Dec 2014 C	80,000,000	2014/02/11	2014/12/15	12.80
13601	HK Bank - HSI European Warrants Aug 2014 D	250,000,000	2014/02/11	2014/08/28	62.50
13603	HK Bank - PICC Group European Warrants Jul 2014 B	80,000,000	2014/02/11	2014/07/21	12.00
13600	J P Morgan SP BV - AIA European Warrants Sep 2014 B	200,000,000	2014/02/11	2014/09/01	50.20
13595	J P Morgan SP BV - CITIC Sec European Warrants Sep 2014 B	120,000,000	2014/02/11	2014/09/01	30.00
13599	J P Morgan SP BV - Cheung Kong European Warrants Apr 2017 A	300,000,000	2014/02/11	2017/04/03	45.00
13598	J P Morgan SP BV - China Mob European Wts Sep 2014 A	200,000,000	2014/02/11	2014/09/01	61.60
13628	J P Morgan SP BV - CPIC European Warrants Sep 2014 A	100,000,000	2014/02/11	2014/09/01	25.00
13597	J P Morgan SP BV - Chi Res Land European Warrants Oct 2014 A	120,000,000	2014/02/11	2014/10/03	30.00
13596	J P Morgan SP BV - China Shenhua European Wts Oct 2014 A	200,000,000	2014/02/11	2014/10/03	50.20
13627	J P Morgan SP BV - A50 China European Warrants Jan 2017 A	300,000,000	2014/02/11	2017/01/03	45.00
13594	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Aug 2014 A	300,000,000	2014/02/11	2014/08/28	75.00
13591	J P Morgan SP BV - HSI European Warrants Aug 2014 E	500,000,000	2014/02/11	2014/08/28	125.00
13648	J P Morgan SP BV - HSI European Put Warrants Sep 2014 C	200,000,000	2014/02/11	2014/09/29	50.00
13590	J P Morgan SP BV - JX Copper European Warrants Nov 2014 A	100,000,000	2014/02/11	2014/11/03	15.50
13647	J P Morgan SP BV - Lenovo European Warrants Oct 2014 A	200,000,000	2014/02/11	2014/10/03	30.00
13646	J P Morgan SP BV - PetroChina European Warrants Sep 2014 A	100,000,000	2014/02/11	2014/09/01	27.60
13626	J P Morgan SP BV - Ping An European Warrants Sep 2014 A	180,000,000	2014/02/11	2014/09/01	68.04
13645	J P Morgan SP BV - Stanchart European Warrants Oct 2015 A	100,000,000	2014/02/11	2015/10/02	15.30
13622	Macquarie Bank Ltd. - China Mobile European Wts Aug 2014 A	100,000,000	2014/02/11	2014/08/04	15.00
13620	Macquarie Bank Ltd. - Hutchison European Warrants Oct 2014 A	100,000,000	2014/02/11	2014/10/06	25.00
13621	Macquarie Bank Ltd. - Lenovo European Warrants Apr 2015 A	100,000,000	2014/02/11	2015/04/02	16.10
13607	Standard Chartered Bank - China Mobile Euro Wts Jul 2014 C	100,000,000	2014/02/11	2014/07/28	17.00
13643	Standard Chartered Bank - PetroChina European Wts Aug 2014 B	80,000,000	2014/02/11	2014/08/29	20.00
13640	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Sep 2014 A	100,000,000	2014/02/11	2014/09/03	25.00
13641	SGA Societe Generale Acceptance N.V. - CSR Euro Wts Aug 14 A	25,000,000	2014/02/11	2014/08/11	10.75
13642	SGA Societe Generale Acceptance NV - NewWorld Dev Eu Wt Sep14A	25,000,000	2014/02/11	2014/09/03	10.63
13637	UBS AG - China Life European Warrants Aug 2014 E	100,000,000	2014/02/11	2014/08/18	25.00
13634	UBS AG - Galaxy Ent European Warrants Aug 2014 B	40,000,000	2014/02/11	2014/08/25	22.00
13639	UBS AG - HSBC European Warrants Jul 2014 A	100,000,000	2014/02/11	2014/07/07	22.00
13636	UBS AG - HSCEI European Warrants Aug 2014 A	100,000,000	2014/02/11	2014/08/28	25.00
13589	UBS AG - HSI European Warrants May 2014 C	300,000,000	2014/02/11	2014/05/29	48.00
13608	UBS AG - HSI European Warrants Sep 2014 B	300,000,000	2014/02/11	2014/09/29	45.00
13635	UBS AG - HSI European Put Warrants Aug 2014 C	300,000,000	2014/02/11	2014/08/28	75.00
13633	UBS AG - Kingsoft European Warrants Aug 2014 A	100,000,000	2014/02/11	2014/08/11	25.00
13638	UBS AG - Lenovo European Warrants Aug 2014 D	20,000,000	2014/02/11	2014/08/11	12.00
12506 #	UBS AG - Tencent Holdings European Warrants Jul 2014 C	60,000,000	2014/02/11	2014/07/07	10.26
13671	BOCI Asia Ltd. - China Mobile European Warrants Jul 2014 B	100,000,000	2014/02/12	2014/07/28	15.00
13674	BOCI Asia Ltd. - Galaxy Ent European Warrants Nov 2015 A	100,000,000	2014/02/12	2015/11/23	17.00
13670	BOCI Asia Ltd. - Ping An European Warrants Aug 2014 A	100,000,000	2014/02/12	2014/08/04	30.00

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		發行單位	上市日期	到期日	
13676	BOCI Asia Ltd. - SHK Ppt European Warrants Aug 2014 A	100,000,000	2014/02/12	2014/08/18	25.00
13706	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Oct 2014 A	100,000,000	2014/02/12	2014/10/06	29.00
13704	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Sep 2014 A	100,000,000	2014/02/12	2014/09/02	25.00
13697	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Oct 2014 A	100,000,000	2014/02/12	2014/10/03	20.00
13703	BNP Paribas Arbit Issu B.V. - HSBC European Wts Sep 2014 A	100,000,000	2014/02/12	2014/09/02	25.00
13701	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Jul 2014 A	100,000,000	2014/02/12	2014/07/03	16.00
13700	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Dec 2015 A	100,000,000	2014/02/12	2015/12/30	16.00
13705	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Sep 2014 A	100,000,000	2014/02/12	2014/09/02	35.00
13695	BNP Paribas Arbit Issu B.V. - Ping An European Wt Aug 2014 B	100,000,000	2014/02/12	2014/08/04	22.00
13707	BNP Paribas Arbit Issu B.V. - Sinopharm Euro Wts Nov 2014 A	60,000,000	2014/02/12	2014/11/04	15.60
13696	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Sep 2014 A	100,000,000	2014/02/12	2014/09/02	31.00
13715	Credit Suisse AG - BOCL European Warrants Aug 2014 B	70,000,000	2014/02/12	2014/08/25	13.30
13721	Credit Suisse AG - Minsheng Bank European Wts Sep 2014 A	70,000,000	2014/02/12	2014/09/15	20.30
13718	Credit Suisse - Foxconn European Warrants Jun 2014 A	70,000,000	2014/02/12	2014/06/23	14.70
13730	Credit Suisse AG - HSI European Put Warrants Aug 2014 C	200,000,000	2014/02/12	2014/08/28	50.00
13720	Credit Suisse AG - Kingsoft European Warrants Jul 2014 D	70,000,000	2014/02/12	2014/07/11	17.50
13723	Credit Suisse AG - Ping An European Warrants Aug 2014 B	80,000,000	2014/02/12	2014/08/11	28.80
13722	Credit Suisse AG - Sands China European Warrants Aug 2014 A	80,000,000	2014/02/12	2014/08/11	32.00
13687	Goldman Sachs SP (Asia) - China Mobile Euro Wts Aug 2014 A	80,000,000	2014/02/12	2014/08/28	21.12
13688	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Aug 2014 B	80,000,000	2014/02/12	2014/08/28	21.44
13669	Goldman Sachs SP (Asia) - HSCEI European Put Wts Dec 2014 A	150,000,000	2014/02/12	2014/12/30	37.65
13667	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2014 B	150,000,000	2014/02/12	2014/10/30	38.25
13690	Goldman Sachs Sp (Asia) - ICBC European Warrants Aug 2014 A	80,000,000	2014/02/12	2014/08/28	20.40
13689	Goldman Sachs SP (Asia) - PetroChina European Wts Sep 2014 A	80,000,000	2014/02/12	2014/09/29	20.16
13656	HK Bank - China Life European Warrants Jun 2014 C	100,000,000	2014/02/12	2014/06/25	19.00
13659	HK Bank - A50 China European Warrants Aug 2014 B	80,000,000	2014/02/12	2014/08/29	25.60
13666	HK Bank - A50 China European Warrants Nov 2015 A	300,000,000	2014/02/12	2015/11/25	54.00
13664	HK Bank - A50 China European Warrants Mar 2016 A	300,000,000	2014/02/12	2016/03/23	45.00
13663	HK Bank - HSI European Warrants Aug 2014 F	250,000,000	2014/02/12	2014/08/28	62.50
13662	HK Bank - HSI European Put Warrants Aug 2014 E	250,000,000	2014/02/12	2014/08/28	62.50
13661	HK Bank - Lenovo European Warrants Aug 2014 B	50,000,000	2014/02/12	2014/08/29	27.50
13660	HK Bank - Lenovo European Warrants Dec 2015 A	80,000,000	2014/02/12	2015/12/15	12.00
13657	HK Bank - Wharf European Warrants Oct 2014 A	80,000,000	2014/02/12	2014/10/31	20.00
13735	J P Morgan SP BV - China Life European Warrants Aug 2014 B	200,000,000	2014/02/12	2014/08/11	30.00
13734	J P Morgan SP BV - CSOP A50 ETF European Warrants Aug 2016 A	200,000,000	2014/02/12	2016/08/01	30.00
13733	J P Morgan SP BV - Galaxy Ent European Warrants Jul 2015 A	200,000,000	2014/02/12	2015/07/02	33.00
13732	J P Morgan SP BV - Galaxy Ent European Warrants Dec 2015 A	200,000,000	2014/02/12	2015/12/01	30.00
13709	J P Morgan SP BV - Lenovo European Put Warrants Aug 2015 A	100,000,000	2014/02/12	2015/08/03	25.00
13708	J P Morgan SP BV - PICC European Warrants Jan 2016 A	200,000,000	2014/02/12	2016/01/04	50.00
13710	Macquarie Bank Ltd. - CSR Times European Warrants Oct 2014 A	80,000,000	2014/02/12	2014/10/06	20.00
13652	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Sep 2014 A	40,000,000	2014/02/12	2014/09/02	10.00
13654	Merrill Lynch Int'l & Co. C.V. - HSI European Wts May 2014 D	100,000,000	2014/02/12	2014/05/29	15.00
13653	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Aug 2014 C	100,000,000	2014/02/12	2014/08/28	25.00
13650	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jul 2014 B	70,000,000	2014/02/12	2014/07/28	10.50

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13651	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Aug 2014 A	40,000,000	2014/02/12	2014/08/15	10.00
13683	Standard Chartered Bank - AIA European Warrants Aug 2014 A	100,000,000	2014/02/12	2014/08/04	15.00
13682	Standard Chartered Bank - CC Bank European Wts Aug 2014 B	100,000,000	2014/02/12	2014/08/04	16.00
13680	Standard Chartered Bank - CITIC Sec European Wts Aug 2014 A	100,000,000	2014/02/12	2014/08/11	25.00
13731	Standard Chartered Bank - Galaxy Ent European Wts Jun 2014 E	100,000,000	2014/02/12	2014/06/27	25.00
13684	Standard Chartered Bank - HSBC European Put Wts Aug 2014 C	100,000,000	2014/02/12	2014/08/29	25.00
13686	Standard Chartered Bank - HSCEI European Put Wts Jun 2014 B	100,000,000	2014/02/12	2014/06/27	15.00
13685	Standard Chartered Bank - ICBC European Put Wts Aug 2014 A	100,000,000	2014/02/12	2014/08/29	25.00
13681	Standard Chartered Bank - Ping An European Wts Jul 2014 B	100,000,000	2014/02/12	2014/07/04	15.00
13711	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Aug 2014 B	100,000,000	2014/02/12	2014/08/04	25.50
13692	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2014 B	100,000,000	2014/02/12	2014/07/07	22.00
13712	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Aug 2014 A	150,000,000	2014/02/12	2014/08/28	23.25
13691	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul 14 C	150,000,000	2014/02/12	2014/07/30	39.75
13693	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Sep14A	60,000,000	2014/02/12	2014/09/03	26.70
13694	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Sep 14 B	30,000,000	2014/02/12	2014/09/03	21.00
13713	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Aug14A	80,000,000	2014/02/12	2014/08/04	16.00
13714	SGA Societe Generale Acceptance N.V. - Wynn Macau Eu Wt Aug14A	100,000,000	2014/02/12	2014/08/27	26.50
13726	UBS AG - HSI European Warrants Aug 2014 E	300,000,000	2014/02/12	2014/08/28	54.00
13725	UBS AG - HSI European Put Warrants Aug 2014 D	300,000,000	2014/02/12	2014/08/28	75.00
13728	UBS AG - Sands China European Warrants Aug 2014 B	40,000,000	2014/02/12	2014/08/18	28.00
13729	UBS AG - Stanchart European Warrants Jul 2015 A	100,000,000	2014/02/12	2015/07/20	16.00
13727	UBS AG - Wynn Macau European Warrants Aug 2014 A	50,000,000	2014/02/12	2014/08/11	19.00
12795 #	BOCI Asia Ltd. - Tencent Holdings European Wts Jul 2014 A	100,000,000	2014/02/12	2014/07/28	28.50
13745	BOCI Asia Ltd. - Cheung Kong European Warrants Aug 2014 A	100,000,000	2014/02/13	2014/08/18	27.00
13746	BOCI Asia Ltd. - CNOOC European Warrants Dec 2014 A	100,000,000	2014/02/13	2014/12/15	15.00
13744	BOCI Asia Ltd. - HSBC European Warrants Sep 2014 A	100,000,000	2014/02/13	2014/09/01	25.00
13775	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jan 2019 A	100,000,000	2014/02/13	2019/01/03	25.00
13774	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jun 2014 B	100,000,000	2014/02/13	2014/06/09	20.00
13770	Credit Suisse AG - Galaxy Ent European Warrants Jun 2015 A	100,000,000	2014/02/13	2015/06/29	16.00
13753	Credit Suisse AG - HSI European Put Warrants Aug 2014 D	200,000,000	2014/02/13	2014/08/28	50.00
13773	Credit Suisse AG - Lenovo European Warrants Aug 2014 A	70,000,000	2014/02/13	2014/08/12	31.50
13772	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 K	100,000,000	2014/02/13	2014/06/30	17.00
13783	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Nov 2015 A	150,000,000	2014/02/13	2015/11/23	25.50
13784	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Jun 2016 A	150,000,000	2014/02/13	2016/06/03	24.00
13767	Daiwa Capital Mkt - BOCL European Warrants Aug 2014 C	128,000,000	2014/02/13	2014/08/25	20.48
13765	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Oct 2015 A	120,000,000	2014/02/13	2015/10/28	18.00
13743	HK Bank - China Life European Warrants Aug 2014 D	100,000,000	2014/02/13	2014/08/11	15.00
13737	HK Bank - HSCEI European Put Warrants Dec 2014 C	250,000,000	2014/02/13	2014/12/30	62.50
13739	HK Bank - HSI European Warrants Sep 2014 E	250,000,000	2014/02/13	2014/09/29	37.50
13742	HK Bank - Tencent European Warrants Jun 2014 J	120,000,000	2014/02/13	2014/06/25	24.00
13740	HK Bank - Tencent European Put Warrants Jun 2014 H	120,000,000	2014/02/13	2014/06/30	30.00
13741	HK Bank - Tencent European Put Warrants Jun 2014 I	120,000,000	2014/02/13	2014/06/16	21.60
13764	J P Morgan SP BV - China Gas Hold European Wts Jun 2015 A	100,000,000	2014/02/13	2015/06/12	25.00
13763	J P Morgan SP BV - Evergrande European Warrants Oct 2014 A	40,000,000	2014/02/13	2014/10/03	10.04

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13761	J P Morgan SP BV - Galaxy Ent European Warrants Nov 2015 A	200,000,000	2014/02/13	2015/11/02	30.00
13759	J P Morgan SP BV - HSI European Warrants Sep 2014 D	500,000,000	2014/02/13	2014/09/29	125.00
13760	J P Morgan SP BV - HSI European Put Warrants Sep 2014 E	500,000,000	2014/02/13	2014/09/29	125.00
13758	J P Morgan SP BV - Hutchison European Warrants Jun 2014 C	100,000,000	2014/02/13	2014/06/20	23.20
13757	J P Morgan SP BV - Tencent European Warrants Jun 2014 C	200,000,000	2014/02/13	2014/06/09	36.60
13782	Macquarie Bank Ltd. - Galaxy Ent European Wts Sep 2014 A	40,000,000	2014/02/13	2014/09/02	10.00
13768	Macquarie Bank Ltd. - HKEx European Warrants Oct 2014 A	80,000,000	2014/02/13	2014/10/06	28.96
13769	Macquarie Bank Ltd. - HKEx European Warrants Jan 2016 A	80,000,000	2014/02/13	2016/01/05	12.00
13780	Macquarie Bank Ltd. - MGM China European Warrants Nov 2014 A	40,000,000	2014/02/13	2014/11/04	10.00
13781	Macquarie Bank Ltd. - Sands China European Wts Oct 2014 A	40,000,000	2014/02/13	2014/10/06	10.00
13776	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 14 C	100,000,000	2014/02/13	2014/08/04	21.50
13777	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Sep 14 B	100,000,000	2014/02/13	2014/09/03	25.00
13749	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Sep14A	60,000,000	2014/02/13	2014/09/03	18.90
13748	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Aug14D	100,000,000	2014/02/13	2014/08/04	49.00
13778	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Aug 14 B	150,000,000	2014/02/13	2014/08/28	33.75
13779	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Dec 15 A	120,000,000	2014/02/13	2015/12/28	18.60
13750	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Jul14C	100,000,000	2014/02/13	2014/07/02	52.00
13751	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Aug14B	100,000,000	2014/02/13	2014/08/04	31.00
13752	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Sep14B	100,000,000	2014/02/13	2014/09/03	25.00
13747	UBS AG - Lenovo European Warrants Dec 2015 A	100,000,000	2014/02/13	2015/12/15	16.00
13801	BOCI Asia Ltd. - HSI European Put Warrants Aug 2014 D	100,000,000	2014/02/14	2014/08/28	21.00
13802	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2014 A	100,000,000	2014/02/14	2014/09/29	38.00
13809	Daiwa Capital Mkt - Galaxy Ent European Warrants Jun 2014 C	98,000,000	2014/02/14	2014/06/16	24.50
13810	Daiwa Capital Mkt - Tencent Holdings European Wts Sep 2014 A	108,000,000	2014/02/14	2014/09/01	20.52
13819	Bank of East Asia - CNOOC European Put Warrants Jan 2015 A	60,000,000	2014/02/14	2015/01/30	15.00
13817	Bank of East Asia - Tencent Holdings European Wts Dec 2014 A	40,000,000	2014/02/14	2014/12/29	14.40
13818	Bank of East Asia - Tencent Holdings European Put Wts Sep14A	40,000,000	2014/02/14	2014/09/29	11.20
13789	HK Bank - Dongfeng Motor European Warrants Aug 2014 A	80,000,000	2014/02/14	2014/08/26	12.00
13786	HK Bank - Galaxy Ent European Warrants Jul 2014 D	60,000,000	2014/02/14	2014/07/07	28.20
13787	HK Bank - Galaxy Ent European Warrants Aug 2014 A	60,000,000	2014/02/14	2014/08/29	15.00
13785	HK Bank - Galaxy Ent European Warrants Oct 2015 A	200,000,000	2014/02/14	2015/10/23	30.00
13788	HK Bank - Ping An European Warrants Jul 2014 B	80,000,000	2014/02/14	2014/07/31	22.40
13800	J P Morgan SP BV - AIA European Warrants Oct 2014 A	200,000,000	2014/02/14	2014/10/03	50.00
13799	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Aug 2014 C	200,000,000	2014/02/14	2014/08/01	30.00
13798	J P Morgan SP BV - CGS European Warrants Dec 2015 A	100,000,000	2014/02/14	2015/12/02	15.00
13791	J P Morgan SP BV - Hutchison European Warrants Jun 2014 D	80,000,000	2014/02/14	2014/06/04	12.00
13790	J P Morgan SP BV - Tencent European Warrants Sep 2014 A	200,000,000	2014/02/14	2014/09/01	50.00
13806	Macquarie Bank Ltd. - BYD European Warrants Sep 2014 A	40,000,000	2014/02/14	2014/09/02	10.00
13808	Macquarie Bank Ltd. - PICC Group European Wts Oct 2014 B	40,000,000	2014/02/14	2014/10/06	10.00
13807	Macquarie Bank Ltd. - Ping An European Warrants Sep 2014 A	40,000,000	2014/02/14	2014/09/02	10.00
13805	Macquarie Bank Ltd. - SMIC European Warrants Aug 2015 A	40,000,000	2014/02/14	2015/08/04	10.00
13804	Standard Chartered Bank - HSI European Warrants Aug 2014 B	100,000,000	2014/02/14	2014/08/28	17.00
13803	Standard Chartered Bank - HSI European Put Wts Aug 2014 A	100,000,000	2014/02/14	2014/08/28	25.00
13814	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2014 D	100,000,000	2014/02/14	2014/08/04	16.50

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13815	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2014 A	70,000,000	2014/02/14	2014/10/06	12.95
13816	SGA Societe Generale Acceptance N.V. - Wynn Macau Eu Wt Nov14A	50,000,000	2014/02/14	2014/11/05	10.25
13812	UBS AG - HSBC European Warrants Aug 2014 B	100,000,000	2014/02/14	2014/08/08	15.00
13811	UBS AG - Sands China European Warrants Jul 2014 E	40,000,000	2014/02/14	2014/07/24	16.00
13813	UBS AG - Wynn Macau European Put Warrants Aug 2014 B	35,000,000	2014/02/14	2014/08/13	10.85
13838	Citigroup Global Mkt H Inc. - Sands China Euro Wt Apr 2017 A	150,000,000	2014/02/17	2017/04/10	37.50
13836	Citigroup Global Mkt H Inc. - Tencent European Wts Jul 2014 D	100,000,000	2014/02/17	2014/07/21	20.50
13821	HK Bank - China Mobile European Warrants Jul 2014 E	100,000,000	2014/02/17	2014/07/29	23.00
13826	HK Bank - CSR Times European Warrants Aug 2014 A	60,000,000	2014/02/17	2014/08/29	15.00
13822	HK Bank - Sands China European Warrants Aug 2014 A	60,000,000	2014/02/17	2014/08/29	16.80
13835	Macquarie Bank Ltd. - CITIC Bank European Wts Oct 2014 A	50,000,000	2014/02/17	2014/10/06	12.50
13823	Macquarie Bank Ltd. - CPIC European Warrants Sep 2014 A	40,000,000	2014/02/17	2014/09/02	10.00
13832	Macquarie Bank Ltd. - Galaxy Ent European Wts Jun 2016 A	60,000,000	2014/02/17	2016/06/03	10.20
13825	Macquarie Bank Ltd. - Lumena Newmat European Wts Jun 2015 A	40,000,000	2014/02/17	2015/06/02	10.00
13833	Macquarie Bank Ltd. - China Mengniu European Wts Aug 2014 A	80,000,000	2014/02/17	2014/08/18	20.00
13824	Macquarie Bank Ltd. - PICC P&C European Warrants Aug 2015 A	40,000,000	2014/02/17	2015/08/04	10.00
13827	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Nov17A	120,000,000	2014/02/17	2017/11/01	22.20
13828	UBS AG - SPDR Gold Trust European Warrants Aug 2014 A	100,000,000	2014/02/17	2014/08/18	45.00
13830	UBS AG - Tencent Holdings European Warrants Aug 2014 A	100,000,000	2014/02/17	2014/08/18	40.00
13831	UBS AG - Tencent Holdings European Warrants Dec 2014 A	100,000,000	2014/02/17	2014/12/24	16.00
10272	BNP Paribas Arbit Issu B.V. - AUD/USD European Wts Dec 2014 A	100,000,000	2014/02/18	2014/12/18	25.00
10273	BNP Paribas Arbit Issu B.V. - AUD/USD Euro Put Wts Dec 2014 B	100,000,000	2014/02/18	2014/12/18	25.00
13865	Citigroup Global Mkt H Inc. - HS Bank Euro Wts Sep 2014 A	50,000,000	2014/02/18	2014/09/08	21.00
13866	Citigroup Global Mkt H Inc. - Tong Ren Tang Euro Wts Aug14A	40,000,000	2014/02/18	2014/08/21	10.00
13860	Daiwa Capital Mkt - AIA European Put Warrants Aug 2014 A	80,000,000	2014/02/18	2014/08/18	20.00
13862	Daiwa Capital Mkt - A50 China European Warrants Aug 2014 A	128,000,000	2014/02/18	2014/08/20	32.00
13861	Daiwa Capital Mkt - Ping An European Warrants Aug 2014 B	128,000,000	2014/02/18	2014/08/18	32.00
13840	Goldman Sachs SP (Asia) - BankComm European Wts Sep 2014 A	68,000,000	2014/02/18	2014/09/29	17.00
13839	Goldman Sachs SP (Asia) - HSI European Warrants May 2014 B	150,000,000	2014/02/18	2014/05/29	22.50
13847	HK Bank - AIA European Warrants Sep 2014 B	80,000,000	2014/02/18	2014/09/29	20.00
13849	HK Bank - BOCL European Warrants Aug 2014 C	80,000,000	2014/02/18	2014/08/25	13.60
13845	HK Bank - BYD European Warrants Aug 2014 A	80,000,000	2014/02/18	2014/08/29	20.00
13846	HK Bank - Evergrande European Warrants Aug 2014 A	60,000,000	2014/02/18	2014/08/29	15.00
13851	HK Bank - HSI European Warrants Jul 2015 B	250,000,000	2014/02/18	2015/07/30	62.50
13850	HK Bank - HSI European Put Warrants Dec 2014 C	250,000,000	2014/02/18	2014/12/30	62.50
13841	J P Morgan SP BV - Minsheng Bank European Wts Jul 2014 A	60,000,000	2014/02/18	2014/07/24	21.42
13842	J P Morgan SP BV - Henderson Land Dev European Wt Sep 2014 A	50,000,000	2014/02/18	2014/09/01	12.50
13843	J P Morgan SP BV - SHK Ppt European Warrants Sep 2014 A	60,000,000	2014/02/18	2014/09/01	22.80
13858	Macquarie Bank Ltd. - Greatwall Motor European Wts Oct 2014 A	40,000,000	2014/02/18	2014/10/06	10.00
13859	Macquarie Bank Ltd. - Huaneng Power European Wts Sep 2014 A	40,000,000	2014/02/18	2014/09/02	10.00
13857	Macquarie Bank Ltd. - Xinyi Glass European Wts Dec 2016 A	70,000,000	2014/02/18	2016/12/02	10.50
13854	Standard Chartered Bank - China Mobile Euro Wts Sep 2014 A	100,000,000	2014/02/18	2014/09/08	25.00
13855	Standard Chartered Bank - Hutchison Euro Euro Wts Aug 2014 A	80,000,000	2014/02/18	2014/08/28	20.00
13852	Standard Chartered Bank - Tencent Hldg Euro Wts Oct 2014 A	100,000,000	2014/02/18	2014/10/06	29.00

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		發行單位	上市日期	到期日	
13853	Standard Chartered Bank - Tencent Hldg Euro Wts Jan 2015 A	100,000,000	2014/02/18	2015/01/06	25.00
13856	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Aug14 C	40,000,000	2014/02/18	2014/08/04	18.00
13844	SGA Societe Generale Acceptance N.V - Tencent Eu Put Wt Sep14C	150,000,000	2014/02/18	2014/09/03	38.25
13864	UBS AG - CC Bank European Warrants Aug 2014 B	100,000,000	2014/02/18	2014/08/18	25.00
25630 #	J P Morgan SP BV - Minsheng Bank European Wts Dec 2014 B	300,000,000	2014/02/18	2014/12/29	18.90
13881	Credit Suisse AG - AIA European Warrants Sep 2014 B	80,000,000	2014/02/19	2014/09/01	12.00
13879	Credit Suisse AG - CC Bank European Warrants Aug 2014 D	80,000,000	2014/02/19	2014/08/27	13.60
13885	Credit Suisse AG - HKEx European Warrants Sep 2014 A	80,000,000	2014/02/19	2014/09/12	20.00
13883	Credit Suisse AG - China Mengniu European Wts Aug 2014 A	70,000,000	2014/02/19	2014/08/18	17.50
13880	Credit Suisse AG - Ping An European Warrants Jun 2014 D	80,000,000	2014/02/19	2014/06/27	13.60
13878	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 A	100,000,000	2014/02/19	2014/12/24	15.00
13887	Credit Suisse AG - Xinyi Glass European Warrants Aug 2014 A	70,000,000	2014/02/19	2014/08/18	17.50
13873	Daiwa Capital Mkt - CCB European Warrants Aug 2014 B	128,000,000	2014/02/19	2014/08/27	21.76
13888	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2014 B	150,000,000	2014/02/19	2014/07/30	22.50
13890	Goldman Sachs SP (Asia) - HSI European Put Wts May 2014 C	150,000,000	2014/02/19	2014/05/29	23.10
13867	HK Bank - CSOP A50 ETF European Warrants Aug 2016 A	100,000,000	2014/02/19	2016/08/01	15.00
13868	HK Bank - HSCEI European Warrants Aug 2014 B	150,000,000	2014/02/19	2014/08/28	28.50
13870	Macquarie Bank Ltd. - Anton Oilfield European Wts Sep 2016 A	40,000,000	2014/02/19	2016/09/05	10.00
13869	Macquarie Bank Ltd. - China Taiping European Wts Oct 2014 A	70,000,000	2014/02/19	2014/10/28	10.78
13875	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Dec 2014C	300,000,000	2014/02/19	2014/12/29	45.00
13872	UBS AG - BOCL European Warrants Sep 2014 A	100,000,000	2014/02/19	2014/09/02	20.00
13876	UBS AG - SHK Ppt European Warrants Aug 2014 A	50,000,000	2014/02/19	2014/08/18	15.00
13910	BNP Paribas Arbit Issu B.V. - BJ Ent Water Euro Wts Oct 14 A	48,000,000	2014/02/20	2014/10/06	17.28
13912	BNP Paribas Arbit Issu B.V. - Ch LongYuan Eu Wts Jul 2015 A	100,000,000	2014/02/20	2015/07/03	20.00
13909	BNP Paribas Arbit Issu B.V. - Ch Railway Euro Wts Feb 2015 A	30,000,000	2014/02/20	2015/02/03	10.20
13913	BNP Paribas Arbit Issu B.V. - Ch Ship Dev Euro Wts Oct 2014A	26,000,000	2014/02/20	2014/10/06	16.90
13911	BNP Paribas Arbit Issu B.V. - Luk Fook Hold Eu Wt Oct 2014 A	40,000,000	2014/02/20	2014/10/06	11.60
13903	Credit Suisse AG - PICC Group European Warrants Jul 2014 B	70,000,000	2014/02/20	2014/07/21	10.50
13934	Goldman Sachs SP (Asia) - CITIC Pacific Euro Wts Dec 2015 A	80,000,000	2014/02/20	2015/12/30	12.00
13933	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Oct 2014 A	80,000,000	2014/02/20	2014/10/30	31.60
13935	Goldman Sachs SP (Asia) - Link REIT European Wts Oct 2014 A	80,000,000	2014/02/20	2014/10/30	12.16
13893	HK Bank - Geely Auto European Warrants Aug 2014 A	60,000,000	2014/02/20	2014/08/29	15.00
13894	HK Bank - Ping An European Warrants Aug 2014 A	60,000,000	2014/02/20	2014/08/29	15.00
13929	J P Morgan SP BV - CITIC Bank European Warrants Sep 2014 A	70,000,000	2014/02/20	2014/09/01	17.50
13932	J P Morgan SP BV - China Mengniu European Warrants Aug 2014A	100,000,000	2014/02/20	2014/08/19	25.00
13931	J P Morgan SP BV - Sands China European Warrants Sep 2014 A	150,000,000	2014/02/20	2014/09/02	37.50
13928	Macquarie Bank Ltd. - Anhui Conch European Wts Sep 2014 A	40,000,000	2014/02/20	2014/09/02	10.00
13920	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Dec 2014 A	50,000,000	2014/02/20	2014/12/02	12.50
13923	Macquarie Bank Ltd. - BOCL European Warrants Oct 2014 A	70,000,000	2014/02/20	2014/10/03	10.50
13927	Macquarie Bank Ltd. - Ch Comm Cons European Wts Oct 2014 A	40,000,000	2014/02/20	2014/10/06	10.00
13899	Macquarie Bank Ltd. - Ch Merchants European Wts Mar 2015 A	40,000,000	2014/02/20	2015/03/03	10.00
13922	Macquarie Bank Ltd. - ICBC European Warrants Dec 2014 A	50,000,000	2014/02/20	2014/12/02	12.50
13924	Macquarie Bank Ltd. - REXLot Holdings European Wts Oct 2014A	40,000,000	2014/02/20	2014/10/06	10.00
13925	Macquarie Bank Ltd. - SJM Holdings European Wts Oct 2014 B	40,000,000	2014/02/20	2014/10/06	10.00

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		發行單位	上市日期	到期日	
13891	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2014 A	40,000,000	2014/02/20	2014/09/22	10.60
13892	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Aug 2014 B	60,000,000	2014/02/20	2014/08/01	11.22
13900	Standard Chartered Bank - BOCL European Warrants Sep 2014 A	100,000,000	2014/02/20	2014/09/01	20.00
13917	Standard Chartered Bank - China Coal European Wts Jan 2015 A	50,000,000	2014/02/20	2015/01/05	18.00
13916	Standard Chartered Bank - China Coal European Put Wt Feb15 A	50,000,000	2014/02/20	2015/02/23	15.75
13902	Standard Chartered Bank - ICBC European Warrants Aug 2014 B	100,000,000	2014/02/20	2014/08/04	17.00
13918	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Sep 2014 A	30,000,000	2014/02/20	2014/09/03	11.70
13897	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Sep14 A	40,000,000	2014/02/20	2014/09/03	11.20
13896	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Aug 2016A	400,000,000	2014/02/20	2016/08/03	60.00
13919	SGA Societe Generale Acceptance N.V. - Ch Mengniu Eu Wt Aug14A	50,000,000	2014/02/20	2014/08/20	12.50
13898	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Aug 14 B	150,000,000	2014/02/20	2014/08/04	34.50
13906	UBS AG - BYD European Warrants Aug 2014 A	80,000,000	2014/02/20	2014/08/19	34.40
13904	UBS AG - A50 China European Warrants Jul 2014 C	100,000,000	2014/02/20	2014/07/24	29.00
13908	UBS AG - Hutchison European Warrants Jul 2014 C	100,000,000	2014/02/20	2014/07/28	51.00
13907	UBS AG - Hutchison European Put Warrants Jul 2014 B	70,000,000	2014/02/20	2014/07/03	22.75
13905	UBS AG - China Mengniu European Warrants Jun 2014 A	60,000,000	2014/02/20	2014/06/25	10.80
13953	BOCI Asia Ltd. - HSI European Warrants Jul 2014 A	100,000,000	2014/02/21	2014/07/30	15.00
13977	BNP Paribas Arbit Issu B.V. - Anta Sports Euro Wt Feb 2015 A	96,000,000	2014/02/21	2015/02/03	24.00
13959	BNP Paribas Arbit Issu B.V. - BOCL European Put Wts Sep 2014 A	100,000,000	2014/02/21	2014/09/02	27.00
13956	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Jul 2014 A	100,000,000	2014/02/21	2014/07/03	15.00
13954	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Dec 2015 A	100,000,000	2014/02/21	2015/12/02	20.00
13976	BNP Paribas Arbit Issu B.V. - Haier Elec Euro Wts Dec 2014 A	100,000,000	2014/02/21	2014/12/02	32.00
13958	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jul 2014 B	100,000,000	2014/02/21	2014/07/03	15.00
13960	BNP Paribas Arbit Issu B.V. - MGM China Euro Wts Sep 2014 A	79,000,000	2014/02/21	2014/09/15	15.01
13979	BNP Paribas Arbit Issu B.V. - Ping An European Wt Nov 2014 A	100,000,000	2014/02/21	2014/11/04	25.00
13975	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt May 2015 A	100,000,000	2014/02/21	2015/05/05	26.00
13978	BNP Paribas Arbit Issu B.V. - Towngas China Euro Wts Jul16A	55,000,000	2014/02/21	2016/07/05	13.75
13963	Daiwa Capital Mkt - BOCL European Warrants Sep 2014 A	128,000,000	2014/02/21	2014/09/24	19.20
13962	Daiwa Capital Mkt - HSCEI European Warrants Sep 2014 C	100,000,000	2014/02/21	2014/09/29	15.00
13964	Daiwa Capital Mkt - Tencent Holdings European Wts Aug 2014 A	108,000,000	2014/02/21	2014/08/04	17.28
13949	HK Bank - HSI European Put Warrants Aug 2014 G	150,000,000	2014/02/21	2014/08/28	22.50
13948	HK Bank - Kingsoft European Warrants Aug 2014 A	60,000,000	2014/02/21	2014/08/29	15.00
13947	HK Bank - Mengniu European Warrants Aug 2014 A	60,000,000	2014/02/21	2014/08/29	15.00
13952	HK Bank - Tencent European Warrants Aug 2014 B	80,000,000	2014/02/21	2014/08/04	15.20
13992	J P Morgan SP BV - AIA European Put Warrants Sep 2014 C	100,000,000	2014/02/21	2014/09/01	25.00
13991	J P Morgan SP BV - HSBC European Put Warrants Sep 2014 A	100,000,000	2014/02/21	2014/09/01	31.10
13993	J P Morgan SP BV - SHK Ppt European Put Warrants Sep 2014 B	40,000,000	2014/02/21	2014/09/01	10.04
13990	J P Morgan SP BV - Tencent European Warrants Aug 2014 C	200,000,000	2014/02/21	2014/08/04	38.80
13989	J P Morgan SP BV - Tencent European Warrants Oct 2014 A	200,000,000	2014/02/21	2014/10/03	50.00
13968	Macquarie Bank Ltd. - Ch Modern D European Warrants Aug 14 A	40,000,000	2014/02/21	2014/08/21	13.16
13969	Macquarie Bank Ltd. - Sinopec Corp European Wts Nov 2014 A	40,000,000	2014/02/21	2014/11/04	10.00
13965	Macquarie Bank Ltd. - Chi Res Power European Wts Nov 2014 A	40,000,000	2014/02/21	2014/11/04	10.00
13941	Nomura Int'l plc - BYD European Warrants Aug 2014 A	50,000,000	2014/02/21	2014/08/28	15.90
13943	Nomura Int'l plc - CITIC Sec European Warrants Jul 2014 B	80,000,000	2014/02/21	2014/07/28	12.16

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13942	Nomura Int'l plc - CNBM European Warrants Oct 2015 A	80,000,000	2014/02/21	2015/10/27	12.40
13945	Nomura Int'l plc - Ch Railway European Warrants Aug 2014 A	80,000,000	2014/02/21	2014/08/28	12.00
13944	Nomura Int'l plc - Haitong Sec European Warrants Dec 2014 A	80,000,000	2014/02/21	2014/12/23	12.00
13936	Nomura Int'l plc - KECL European Warrants Sep 2014 A	80,000,000	2014/02/21	2014/09/01	12.00
13940	Nomura Int'l plc - Lenovo European Warrants Jun 2015 A	80,000,000	2014/02/21	2015/06/30	12.00
13938	Nomura Int'l plc - Tencent Holdings Euro Warrants Jul 2014 B	80,000,000	2014/02/21	2014/07/21	16.00
13937	Nomura Int'l plc - Tencent Holdings Euro Warrants Sep 2014 A	80,000,000	2014/02/21	2014/09/01	15.04
13939	Nomura Int'l plc - Tencent Holdings Euro Put Wts Sep 2014 B	50,000,000	2014/02/21	2014/09/22	12.50
13961	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Aug14B	50,000,000	2014/02/21	2014/08/20	12.50
13980	UBS AG - BYD European Warrants Jul 2014 A	70,000,000	2014/02/21	2014/07/07	10.50
13986	UBS AG - Tencent Holdings European Warrants Aug 2014 B	100,000,000	2014/02/21	2014/08/04	23.00
13988	UBS AG - Tencent Holdings European Warrants Oct 2014 A	100,000,000	2014/02/21	2014/10/20	25.00
14008	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Aug 2015 A	100,000,000	2014/02/24	2015/08/04	25.00
14003	Credit Suisse AG - HSBC European Wts Jul 2014 C	80,000,000	2014/02/24	2014/07/28	12.00
14004	Credit Suisse AG - HSBC European Put Warrants Jul 2014 D	80,000,000	2014/02/24	2014/07/21	20.80
14012	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 E	100,000,000	2014/02/24	2014/08/25	25.00
14011	Credit Suisse AG - Tencent Holdings Euro Put Wts Jul 2014 F	80,000,000	2014/02/24	2014/07/28	19.20
14026	Citigroup Global Mkt H Inc. - AIA European Wts Jul 2014 B	100,000,000	2014/02/24	2014/07/31	16.00
14027	Citigroup Global Mkt H Inc. - China Life Euro Wts Aug 2014 A	150,000,000	2014/02/24	2014/08/22	25.50
14024	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Aug 2014 B	100,000,000	2014/02/24	2014/08/21	25.00
14025	Citigroup Global Mkt H Inc. - Tencent European Wts Aug 2014 A	100,000,000	2014/02/24	2014/08/25	25.00
14009	Daiwa Capital Mkt - Tencent Holdings European Wts Aug 2014 B	158,000,000	2014/02/24	2014/08/25	39.50
14010	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Aug 2014 C	108,000,000	2014/02/24	2014/08/25	50.76
13995	HK Bank - HSI European Warrants Aug 2014 H	200,000,000	2014/02/24	2014/08/28	30.00
13994	HK Bank - HSI European Warrants Sep 2014 F	200,000,000	2014/02/24	2014/09/29	30.00
13996	HK Bank - HSI European Put Warrants Aug 2014 I	150,000,000	2014/02/24	2014/08/28	37.50
14013	J P Morgan SP BV - Kingsoft European Warrants Aug 2014 A	80,000,000	2014/02/24	2014/08/28	20.00
14015	J P Morgan SP BV - Tencent European Put Warrants Sep 2014 B	150,000,000	2014/02/24	2014/09/01	56.10
13997	Macquarie Bank Ltd. - NagaCorp European Warrants Apr 2015 A	67,000,000	2014/02/24	2015/04/02	10.05
13999	Macquarie Bank Ltd. - Sinopec Seg European Wts Jun 2015 A	40,000,000	2014/02/24	2015/06/02	10.00
14019	Standard Chartered Bank - Tencent Hldg Euro Wts Aug 2014 B	100,000,000	2014/02/24	2014/08/04	22.00
14017	Standard Chartered Bank - Tencent Hldg Eu Put Wts Jul 2014 C	100,000,000	2014/02/24	2014/07/25	26.00
14000	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Oct14A	60,000,000	2014/02/24	2014/10/06	15.00
14001	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Aug 14 C	150,000,000	2014/02/24	2014/08/04	27.00
14002	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Nov 14 A	150,000,000	2014/02/24	2014/11/05	37.50
14005	UBS AG - Galaxy Ent European Warrants Nov 2015 A	150,000,000	2014/02/24	2015/11/23	28.50
14007	UBS AG - SJM Holdings European Warrants Jul 2014 B	40,000,000	2014/02/24	2014/07/28	10.00
14006	UBS AG - Tencent Holdings European Put Warrants Aug 2014 C	100,000,000	2014/02/24	2014/08/25	40.00
16774 #	HK Bank - China Mobile European Warrants Jun 2015	200,000,000	2014/02/24	2015/06/22	11.40
14039	BOCI Asia Ltd. - BOCL European Warrants Oct 2014 A	100,000,000	2014/02/25	2014/10/03	15.00
14040	BOCI Asia Ltd. - China Shenhua European Warrants Aug 2014 A	100,000,000	2014/02/25	2014/08/04	15.00
14041	BOCI Asia Ltd. - Lenovo European Warrants Jun 2015 A	100,000,000	2014/02/25	2015/06/29	15.00
14042	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2014 B	100,000,000	2014/02/25	2014/09/01	28.00
14052	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Jan 2015 A	90,000,000	2014/02/25	2015/01/05	22.50

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		發行單位	上市日期	到期日	
14049	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2014 B	100,000,000	2014/02/25	2014/10/06	16.00
14051	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt May 2016 A	100,000,000	2014/02/25	2016/05/04	17.00
14048	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Aug 2014 B	100,000,000	2014/02/25	2014/08/04	17.00
14053	BNP Paribas Arbit Issu B.V. - Hang Lung Ppt Eu Wt Jun 2015 A	76,000,000	2014/02/25	2015/06/02	19.00
14056	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 A	100,000,000	2014/02/25	2014/09/22	25.00
14034	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2014 C	100,000,000	2014/02/25	2014/08/29	25.10
14033	Goldman Sachs SP (Asia) - Tencent European Put Wt Aug 2014 B	100,000,000	2014/02/25	2014/08/29	26.80
14032	HK Bank - CPIC European Warrants Sep 2014 A	80,000,000	2014/02/25	2014/09/30	20.00
14030	HK Bank - Tencent European Warrants Aug 2014 D	80,000,000	2014/02/25	2014/08/04	20.00
14028	HK Bank - Tencent European Put Warrants Jul 2014 E	80,000,000	2014/02/25	2014/07/25	20.00
14029	HK Bank - Tencent European Put Warrants Aug 2014 C	80,000,000	2014/02/25	2014/08/29	30.40
14043	J P Morgan SP BV - Geely Auto European Warrants Sep 2014 A	80,000,000	2014/02/25	2014/09/03	20.00
14044	J P Morgan SP BV - Tencent European Warrants Oct 2014 B	200,000,000	2014/02/25	2014/10/03	50.00
14055	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Sep 2014 B	40,000,000	2014/02/25	2014/09/02	10.00
14036	SGA Societe Generale Acceptance N.V - Evergrande Eu Wt Sep14 A	50,000,000	2014/02/25	2014/09/03	13.25
14054	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2014 A	70,000,000	2014/02/25	2014/09/03	19.95
10274	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Dec 2014 A	150,000,000	2014/02/25	2014/12/12	37.50
14037	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Mar 15 A	150,000,000	2014/02/25	2015/03/04	37.50
14038	SGA Societe Generale Acceptance N.V - Tencent Eu Put Wt Sep14D	150,000,000	2014/02/25	2014/09/03	84.00
14046	UBS AG - HSI European Put Warrants Jul 2014 D	300,000,000	2014/02/25	2014/07/30	69.00
14047	UBS AG - Kingsoft European Warrants Jul 2014 B	100,000,000	2014/02/25	2014/07/28	18.00
14045	UBS AG - Tencent Holdings European Warrants Jan 2015 A	100,000,000	2014/02/25	2015/01/30	25.00
13957 #	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2015	170,000,000	2014/02/25	2015/06/25	10.20
14067	BOCI Asia Ltd. - Tencent Holdings European Put Wts Aug 2014 A	100,000,000	2014/02/26	2014/08/04	28.00
14061	Citigroup Global Mkt H Inc. - Tencent European Wts Oct 2014 A	100,000,000	2014/02/26	2014/10/20	25.00
14062	Citigroup Global Mkt H Inc. - Tencent European Wts Dec 2014 A	100,000,000	2014/02/26	2014/12/22	25.00
14065	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Aug 2014 B	100,000,000	2014/02/26	2014/08/25	45.00
14057	HK Bank - AIA European Put Warrants Aug 2014 C	100,000,000	2014/02/26	2014/08/29	25.00
14058	HK Bank - Cheung Kong European Warrants Sep 2014 A	80,000,000	2014/02/26	2014/09/30	24.00
14059	HK Bank - Evergrande European Warrants Aug 2014 B	60,000,000	2014/02/26	2014/08/29	15.00
14060	HK Bank - HWL European Warrants Jul 2014 A	80,000,000	2014/02/26	2014/07/07	19.20
14081	J P Morgan SP BV - Tencent European Warrants Sep 2014 C	200,000,000	2014/02/26	2014/09/01	50.00
14074	Macquarie Bank Ltd. - China COSCO European Wts Oct 2014 A	40,000,000	2014/02/26	2014/10/06	10.00
14079	Macquarie Bank Ltd. - China Unicom European Wts Jan 2015 B	80,000,000	2014/02/26	2015/01/05	12.00
14075	Macquarie Bank Ltd. - Haier Elec European Wts Aug 2014 A	70,000,000	2014/02/26	2014/08/11	10.50
14080	Macquarie Bank Ltd. - Tencent European Warrants Jan 2015 A	100,000,000	2014/02/26	2015/01/05	25.00
14064	Standard Chartered Bank - HSBC European Warrants Jul 2014 A	100,000,000	2014/02/26	2014/07/30	15.00
14063	Standard Chartered Bank - SJM Hold European Wts Oct 2014 A	80,000,000	2014/02/26	2014/10/30	20.00
14072	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2014 A	60,000,000	2014/02/26	2014/10/06	17.10
14073	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Sep14 B	100,000,000	2014/02/26	2014/09/03	26.00
14070	UBS AG - Galaxy Ent European Warrants Jun 2015 A	150,000,000	2014/02/26	2015/06/23	27.00
14069	UBS AG - HSBC European Warrants Sep 2014 A	100,000,000	2014/02/26	2014/09/29	25.00
14089	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 F	100,000,000	2014/02/27	2014/08/29	25.00
14102	Bank of East Asia - Ch Mobile European Warrants Mar 2015 A	40,000,000	2014/02/27	2015/03/02	10.00

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14100	Bank of East Asia - HKEx European Warrants Mar 2016 A	80,000,000	2014/02/27	2016/03/29	20.00
14101	Bank of East Asia - Tencent Holdings European Wts Jan 2015 A	40,000,000	2014/02/27	2015/01/30	10.00
14094	Macquarie Bank Ltd. - ASM Pacific European Wts Dec 2016 A	80,000,000	2014/02/27	2016/12/02	20.00
14093	Macquarie Bank Ltd. - Foxconn European Warrants Sep 2014 A	40,000,000	2014/02/27	2014/09/02	10.00
14091	Macquarie Bank Ltd. - Sinopharm European Warrants Sep 2014 B	70,000,000	2014/02/27	2014/09/02	10.50
14090	Macquarie Bank Ltd. - Towngas China European Wts Apr 2016 A	40,000,000	2014/02/27	2016/04/05	10.00
14087	Merrill Lynch Int'l & Co. C.V. - BOC HK Euro Wts Dec 2014 A	70,000,000	2014/02/27	2014/12/19	10.50
14086	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Put Wts Sep 2014 B	40,000,000	2014/02/27	2014/09/29	10.00
14084	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Aug 2014 B	60,000,000	2014/02/27	2014/08/04	12.96
14083	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2014 D	100,000,000	2014/02/27	2014/08/28	25.00
14082	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jul 2014 E	100,000,000	2014/02/27	2014/07/30	16.80
14085	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Sep 2014 B	40,000,000	2014/02/27	2014/09/29	10.00
14088	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Sep 14 A	40,000,000	2014/02/27	2014/09/03	10.00
14095	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Jan15A	150,000,000	2014/02/27	2015/01/05	22.50
14092	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Dec 2014 A	100,000,000	2014/02/27	2014/12/03	15.50
14099	UBS AG - Sinopec Corp European Warrants Sep 2014 B	50,000,000	2014/02/27	2014/09/29	12.50
14098	UBS AG - Sinopec Corp European Put Warrants Jul 2014 C	50,000,000	2014/02/27	2014/07/28	15.00
14096	UBS AG - Greatwall Motor European Warrants Aug 2014 A	30,000,000	2014/02/27	2014/08/27	10.20
14097	UBS AG - PetroChina European Warrants Jul 2014 A	50,000,000	2014/02/27	2014/07/28	10.50
12515 #	UBS AG - Tencent Holdings European Warrants Sep 2014 A	60,000,000	2014/02/27	2014/09/08	18.30
14106	Credit Suisse AG - AIA European Warrants Jul 2014 B	100,000,000	2014/02/28	2014/07/28	15.00
14107	Credit Suisse AG - AIA European Warrants Dec 2014 C	100,000,000	2014/02/28	2014/12/19	15.00
14116	Bank of East Asia - CITIC Pacific European Wts Dec 2015 A	80,000,000	2014/02/28	2015/12/28	13.20
14117	Bank of East Asia - CNBM European Warrants Oct 2015 B	80,000,000	2014/02/28	2015/10/27	12.00
14115	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Dec 2014 A	80,000,000	2014/02/28	2014/12/29	20.08
14110	Goldman Sachs SP (Asia) - China Coal European Wts Sep 2014 A	80,000,000	2014/02/28	2014/09/30	20.88
14112	Goldman Sachs SP (Asia) - China Unicom Euro Wts Dec 2014 A	80,000,000	2014/02/28	2014/12/31	12.48
14111	Goldman Sachs SP (Asia) - Sands China Euro Wts Jul 2014 B	80,000,000	2014/02/28	2014/07/28	32.96
14109	Goldman Sachs SP (Asia) - SJM Holdings Euro Wts Nov 2014 A	80,000,000	2014/02/28	2014/11/24	22.48
14104	HK Bank - CITIC Bank European Warrants Aug 2014 A	60,000,000	2014/02/28	2014/08/29	15.00
14103	HK Bank - Sinopec Corp European Warrants Sep 2014 A	80,000,000	2014/02/28	2014/09/30	20.00
14105	HK Bank - Haitong Sec European Warrants Aug 2014 A	80,000,000	2014/02/28	2014/08/25	13.60
14119	J P Morgan SP BV - Esprit European Wts Dec 2014 A	80,000,000	2014/02/28	2014/12/30	13.60
14121	J P Morgan SP BV - HKEx European Warrants Oct 2014 A	80,000,000	2014/02/28	2014/10/03	20.00
14120	J P Morgan SP BV - Hutchison European Warrants Jul 2017 A	200,000,000	2014/02/28	2017/07/03	50.00
14118	J P Morgan SP BV - Li & Fung European Warrants Mar 2015 A	150,000,000	2014/02/28	2015/03/26	22.50
14108	Macquarie Bank Ltd. - Sh Electric European Wts Feb 2015 A	40,000,000	2014/02/28	2015/02/03	10.00
14127	BOCI Asia Ltd. - China Cinda European Warrants Sep 2014 A	100,000,000	2014/03/03	2014/09/22	32.00
14128	BOCI Asia Ltd. - China Cinda European Warrants Sep 2014 B	100,000,000	2014/03/03	2014/09/03	43.00
14145	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Sep 2014 A	100,000,000	2014/03/03	2014/09/02	15.00
14148	BNP Paribas Arbit Issu B.V. - China Cinda Eur Wts Oct 2014 A	100,000,000	2014/03/03	2014/10/06	41.00
14147	BNP Paribas Arbit Issu B.V. - China Cinda Eur Wts May 2017 A	100,000,000	2014/03/03	2017/05/02	25.00
14146	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Aug 2014 A	92,000,000	2014/03/03	2014/08/04	23.00
14137	Credit Suisse AG - China Cinda European Warrants Sep 2014 A	100,000,000	2014/03/03	2014/09/02	25.00

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14138	Credit Suisse AG - China Cinda European Warrants Sep 2014 B	100,000,000	2014/03/03	2014/09/02	50.00
14141	Credit Suisse AG - CITIC Bank European Warrants Sep 2014 A	80,000,000	2014/03/03	2014/09/02	20.00
14153	Citigroup Global Mkt H Inc. - Ping An Euro Put Wt Jun 2014 C	80,000,000	2014/03/03	2014/06/03	16.80
14159	Bank of East Asia - CHALCO European Put Warrants Oct 2014 A	50,000,000	2014/03/03	2014/10/22	13.75
14156	Bank of East Asia - Ch Comm Cons European Wts Jul 2014 A	60,000,000	2014/03/03	2014/07/30	10.50
14155	Bank of East Asia - China Cinda European Warrants Sep 2014 A	40,000,000	2014/03/03	2014/09/30	27.60
14154	Bank of East Asia - China Cinda European Warrants Jan 2015 A	40,000,000	2014/03/03	2015/01/19	15.40
14158	Bank of East Asia - Galaxy Ent European Warrants Aug 2014 A	70,000,000	2014/03/03	2014/08/06	11.20
14130	Goldman Sachs SP (Asia) - Ping An European Wts Aug 2014 B	80,000,000	2014/03/03	2014/08/18	14.32
14131	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2014 A	80,000,000	2014/03/03	2014/12/30	20.24
14134	HK Bank - China Cinda European Warrants Dec 2016 A	200,000,000	2014/03/03	2016/12/30	50.00
14149	J P Morgan SP BV - China Cinda European Warrants Sep 2014 A	150,000,000	2014/03/03	2014/09/02	45.00
14150	J P Morgan SP BV - China Cinda European Warrants Sep 2014 B	150,000,000	2014/03/03	2014/09/02	37.50
14152	J P Morgan SP BV - Galaxy Ent European Warrants Jul 2014 C	100,000,000	2014/03/03	2014/07/30	35.20
14151	J P Morgan SP BV - Shimao Property European Wts Nov 2014 A	80,000,000	2014/03/03	2014/11/03	20.00
14129	Macquarie Bank Ltd. - A50 China European Warrants Sep 2014 A	40,000,000	2014/03/03	2014/09/02	10.00
14136	Standard Chartered Bank - China Cinda Euro Wts Sep 2014 A	50,000,000	2014/03/03	2014/09/02	18.50
14135	Standard Chartered Bank - China Cinda Euro Wts Dec 2017 A	300,000,000	2014/03/03	2017/12/29	75.00
14123	Standard Chartered Bank - CITIC Bank Euro Wts Sep 2014 A	50,000,000	2014/03/03	2014/09/08	12.50
14124	Standard Chartered Bank - CITIC Bank European Wts Jan 2015 A	50,000,000	2014/03/03	2015/01/07	15.00
14122	Standard Chartered Bank - Esprit European Wts Sep 2014 A	100,000,000	2014/03/03	2014/09/05	25.00
14125	Standard Chartered Bank - HKEx European Warrants Sep 2014 A	80,000,000	2014/03/03	2014/09/30	20.00
14132	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Sep14A	40,000,000	2014/03/03	2014/09/03	15.40
14126	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Jan16A	100,000,000	2014/03/03	2016/01/06	15.00
14143	UBS AG - China Cinda European Warrants Sep 2014 A	50,000,000	2014/03/03	2014/09/08	22.00
14144	UBS AG - China Cinda European Warrants Sep 2014 B	50,000,000	2014/03/03	2014/09/02	16.50
14142	UBS AG - China Cinda European Warrants Dec 2016 A	100,000,000	2014/03/03	2016/12/19	25.00
14139	UBS AG - CITIC Bank European Warrants Aug 2014 A	50,000,000	2014/03/03	2014/08/25	10.00
14140	UBS AG - China Overseas European Warrants Aug 2014 A	70,000,000	2014/03/03	2014/08/22	10.50
13125 #	J P Morgan SP BV - Lenovo European Warrants Mar 2015 A	200,000,000	2014/03/03	2015/03/30	14.20
14163	BOCI Asia Ltd. - HSBC European Put Warrants Sep 2014 B	100,000,000	2014/03/04	2014/09/23	20.00
14184	Citigroup Global Mkt H Inc. - CC Bank European Wt Aug 2014 A	100,000,000	2014/03/04	2014/08/04	21.00
14183	Citigroup Global Mkt H Inc. - ICBC European Wts Jul 2014 B	100,000,000	2014/03/04	2014/07/28	15.00
14176	Daiwa Capital Mkt - China Cinda European Warrants Sep 2014 A	68,000,000	2014/03/04	2014/09/03	17.00
14177	Daiwa Capital Mkt - China Cinda European Warrants Sep 2014 B	68,000,000	2014/03/04	2014/09/03	33.32
14170	Daiwa Capital Mkt - Hutchison European Warrants Jul 2014 A	128,000,000	2014/03/04	2014/07/07	30.72
14160	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2014 A	80,000,000	2014/03/04	2014/07/30	12.08
14162	Goldman Sachs SP (Asia) - China Cinda Euro Wts Sep 2014 A	80,000,000	2014/03/04	2014/09/04	31.20
14168	J P Morgan SP BV - Nine Dragons European Warrants Feb 2016 A	150,000,000	2014/03/04	2016/02/02	22.50
14164	Standard Chartered Bank - Minsheng Bank Euro Wts Mar 2016 A	100,000,000	2014/03/04	2016/03/02	15.00
14166	Standard Chartered Bank - Esprit European Wts Dec 2014 B	100,000,000	2014/03/04	2014/12/30	15.40
14167	Standard Chartered Bank - HuanengPower Euro Wts Sep 2014 A	50,000,000	2014/03/04	2014/09/03	16.00
14165	Standard Chartered Bank - Hutchison Euro Euro Wts Oct 2014 A	80,000,000	2014/03/04	2014/10/30	20.00
14178	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Nov 14 A	100,000,000	2014/03/04	2014/11/05	25.00

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14179	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 14 A	150,000,000	2014/03/04	2014/10/06	29.25
14174	UBS AG - HSBC European Put Warrants Jul 2014 B	100,000,000	2014/03/04	2014/07/03	32.00
14172	UBS AG - Lenovo European Warrants Dec 2016 A	100,000,000	2014/03/04	2016/12/19	25.00
14201	BNP Paribas Arbit Issu B.V. - CITIC Pacific Eu Wt Jan 2016 A	100,000,000	2014/03/05	2016/01/05	18.00
14200	BNP Paribas Arbit Issu B.V. - CLP European Wts Feb 2015 A	40,000,000	2014/03/05	2015/02/03	10.80
14199	BNP Paribas Arbit Issu B.V. - R&F Ppt Euro Wts Mar 2016 A	96,000,000	2014/03/05	2016/03/02	24.00
14203	BNP Paribas Arbit Issu B.V. - KECL European Wts Jul 2015 A	100,000,000	2014/03/05	2015/07/03	15.00
14202	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Oct 2014 A	58,000,000	2014/03/05	2014/10/06	11.02
14198	Daiwa Capital Mkt - A50 China European Put Wts Sep 2014 B	88,000,000	2014/03/05	2014/09/04	22.00
14189	HK Bank - China Cinda European Warrants Sep 2014 A	50,000,000	2014/03/05	2014/09/08	25.00
14188	HK Bank - Datang Power European Warrants Sep 2014 A	60,000,000	2014/03/05	2014/09/30	15.00
14190	HK Bank - Esprit European Warrants Oct 2014 A	100,000,000	2014/03/05	2014/10/20	18.00
14207	J P Morgan SP BV - BYD European Warrants Oct 2014 A	80,000,000	2014/03/05	2014/10/03	26.00
14196	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2015 A	200,000,000	2014/03/05	2015/12/29	30.00
14197	J P Morgan SP BV - A50 China European Warrants Aug 2016 A	200,000,000	2014/03/05	2016/08/01	30.00
14185	J P Morgan SP BV - HSI European Put Warrants Sep 2014 F	500,000,000	2014/03/05	2014/09/29	125.00
14186	J P Morgan SP BV - HSI European Put Warrants Sep 2014 G	500,000,000	2014/03/05	2014/09/29	128.50
14208	Macquarie Bank Ltd. - CAM CSI300 European Wts Apr 2015 A	40,000,000	2014/03/05	2015/04/02	10.00
14194	Macquarie Bank Ltd. - Country Garden European Wts Nov 2014 A	40,000,000	2014/03/05	2014/11/04	10.00
14204	Macquarie Bank Ltd. - China Cinda European Warrants Sep 14 A	40,000,000	2014/03/05	2014/09/04	10.00
14205	Macquarie Bank Ltd. - China Cinda European Warrants Jan 17 A	80,000,000	2014/03/05	2017/01/04	20.00
14195	Macquarie Bank Ltd. - Chi Resources European Wts Mar 2015 A	70,000,000	2014/03/05	2015/03/03	17.50
14206	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Oct 2014 A	40,000,000	2014/03/05	2014/10/06	10.00
14193	Macquarie Bank Ltd. - Link REIT European Warrants Apr 2015 A	40,000,000	2014/03/05	2015/04/02	10.00
14191	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Jan16B	300,000,000	2014/03/05	2016/01/06	75.00
14209	UBS AG - BYD European Warrants Sep 2014 A	40,000,000	2014/03/05	2014/09/04	10.00
14210	UBS AG - Sinopec Corp European Warrants Aug 2014 A	60,000,000	2014/03/05	2014/08/04	10.20
14192	UBS AG - Link REIT European Warrants Sep 2014 A	40,000,000	2014/03/05	2014/09/04	10.00
14260	BNP Paribas Arbit Issu B.V. - Country Garden Eu Wt Dec 2014A	35,000,000	2014/03/06	2014/12/02	10.50
14261	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Oct 2014 A	100,000,000	2014/03/06	2014/10/06	25.00
14262	BNP Paribas Arbit Issu B.V. - Chi Res Land Eu Wt Oct 2014 A	100,000,000	2014/03/06	2014/10/06	16.00
14259	BNP Paribas Arbit Issu B.V. - Samsonite Euro Wts Dec 2014 A	58,000,000	2014/03/06	2014/12/02	16.82
14257	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Nov 2014 A	100,000,000	2014/03/06	2014/11/04	25.00
14258	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Oct 2014 B	100,000,000	2014/03/06	2014/10/06	47.00
14245	Credit Suisse AG - Sinopec Corp European Warrants Jun 2014 A	80,000,000	2014/03/06	2014/06/30	12.00
14248	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 B	100,000,000	2014/03/06	2014/09/05	25.00
14246	Credit Suisse AG - Tencent Holdings Euro Put Wts Aug 2014 G	100,000,000	2014/03/06	2014/08/18	31.00
14247	Daiwa Capital Mkt - Tencent Holdings European Wts Sep 2014 B	158,000,000	2014/03/06	2014/09/05	39.50
14254	Bank of East Asia - China Overseas Euro Warrants Aug 2014 A	70,000,000	2014/03/06	2014/08/22	10.50
14256	Bank of East Asia - SJM Holdings European Wts Dec 2014 A	50,000,000	2014/03/06	2014/12/22	12.50
14252	Goldman Sachs SP (Asia) - CITIC Bank European Wts Sep 2014 A	80,000,000	2014/03/06	2014/09/30	20.56
14253	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2014 A	80,000,000	2014/03/06	2014/09/30	20.32
14227	J P Morgan SP BV - Sinopec Corp European Warrants Oct 2014 A	150,000,000	2014/03/06	2014/10/03	37.65
14226	J P Morgan SP BV - HSBC European Warrants Oct 2014 A	200,000,000	2014/03/06	2014/10/03	50.00

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14225	J P Morgan SP BV - Ping An European Warrants Jul 2014 B	180,000,000	2014/03/06	2014/07/28	27.00
14228	J P Morgan SP BV - Tencent European Put Warrants Sep 2014 D	200,000,000	2014/03/06	2014/09/01	79.40
14218	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Sep 2014 A	40,000,000	2014/03/06	2014/09/05	10.00
14217	Macquarie Bank Ltd. - Ping An European Warrants Dec 2014 A	40,000,000	2014/03/06	2014/12/02	10.00
14239	Macquarie Bank Ltd. - Yurun Food European Wts Oct 2014 A	40,000,000	2014/03/06	2014/10/06	10.00
14222	Nomura Int'l plc - China Cinda European Warrants Sep 2014 A	80,000,000	2014/03/06	2014/09/08	20.00
14223	Nomura Int'l plc - China Cinda European Warrants Dec 2016 A	80,000,000	2014/03/06	2016/12/28	20.00
14221	Nomura Int'l plc - China Cinda European Warrants Feb 2017 A	80,000,000	2014/03/06	2017/02/28	20.00
14219	Nomura Int'l plc - OOIL European Warrants Oct 2014 A	50,000,000	2014/03/06	2014/10/28	12.50
14224	Nomura Int'l plc - Sunny Optical European Warrants Dec 2015A	50,000,000	2014/03/06	2015/12/28	12.50
14233	Standard Chartered Bank - BYD European Warrants Aug 2014 A	50,000,000	2014/03/06	2014/08/21	22.50
14235	Standard Chartered Bank - BYD European Warrants Sep 2014 A	50,000,000	2014/03/06	2014/09/05	12.50
14230	Standard Chartered Bank - CITIC Pacific Euro Wts Dec 2017 A	100,000,000	2014/03/06	2017/12/29	25.00
14231	Standard Chartered Bank - CNOOC European Warrants Sep 2014 A	100,000,000	2014/03/06	2014/09/05	25.00
14234	Standard Chartered Bank - Sinopec Corp Euro Wts Sep 2014 A	50,000,000	2014/03/06	2014/09/08	12.50
14236	Standard Chartered Bank - Kingsoft European Wts Aug 2014 A	80,000,000	2014/03/06	2014/08/15	17.60
14237	Standard Chartered Bank - Kingsoft European Wts Aug 2014 B	80,000,000	2014/03/06	2014/08/22	28.80
14229	Standard Chartered Bank - Lenovo European Wts Aug 2014 A	50,000,000	2014/03/06	2014/08/27	16.50
14211	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Oct 2014 A	100,000,000	2014/03/06	2014/10/06	23.00
14212	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Oct 2014 A	40,000,000	2014/03/06	2014/10/06	10.20
14214	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Oct14B	20,000,000	2014/03/06	2014/10/06	10.20
14213	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Apr 2017A	300,000,000	2014/03/06	2017/04/03	46.50
14238	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Nov 2014B	80,000,000	2014/03/06	2014/11/05	20.00
14215	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jun16A	500,000,000	2014/03/06	2016/06/06	92.50
14216	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Sep 14 A	100,000,000	2014/03/06	2014/09/03	16.00
14244	UBS AG - AIA European Put Warrants Sep 2014 B	70,000,000	2014/03/06	2014/09/23	10.50
14251	UBS AG - CITIC Pacific European Warrants Dec 2015 A	55,000,000	2014/03/06	2015/12/28	10.45
14241	UBS AG - Hutchison European Warrants Sep 2014 A	100,000,000	2014/03/06	2014/09/22	48.00
14242	UBS AG - Hutchison European Warrants Sep 2014 B	100,000,000	2014/03/06	2014/09/29	25.00
14240	UBS AG - ICBC European Warrants Aug 2014 B	100,000,000	2014/03/06	2014/08/11	17.00
14249	UBS AG - Tencent Holdings European Put Warrants Jul 2014 F	100,000,000	2014/03/06	2014/07/28	18.00
14243	UBS AG - Tencent Holdings European Put Warrants Sep 2014 B	100,000,000	2014/03/06	2014/09/01	45.00
14250	UBS AG - Tencent Holdings European Put Warrants Sep 2014 C	100,000,000	2014/03/06	2014/09/08	15.00
14012 #	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 E	100,000,000	2014/03/06	2014/08/25	21.80
14264	BOCI Asia Ltd. - CAM CSI300 European Warrants Dec 2014 A	100,000,000	2014/03/07	2014/12/12	17.00
14263	BOCI Asia Ltd. - BYD European Warrants Sep 2014 A	100,000,000	2014/03/07	2014/09/15	38.00
14265	BOCI Asia Ltd. - CITIC Sec European Warrants Sep 2014 B	100,000,000	2014/03/07	2014/09/01	15.00
14266	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2014 A	100,000,000	2014/03/07	2014/10/13	34.00
14290	Credit Suisse AG - Hutchison European Warrants Aug 2014 B	100,000,000	2014/03/07	2014/08/25	32.00
14289	Credit Suisse AG - Tencent Holdings Euro Put Wts Aug 2014 H	100,000,000	2014/03/07	2014/08/25	33.00
14296	Citigroup Global Mkt H Inc. - HSBC European Wts Aug 2014 A	100,000,000	2014/03/07	2014/08/27	28.00
14297	Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2014 A	100,000,000	2014/03/07	2014/09/22	20.00
14295	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Jul 2014 A	70,000,000	2014/03/07	2014/07/28	13.30
14293	Bank of East Asia - Ping An European Warrants Jan 2016 A	120,000,000	2014/03/07	2016/01/08	18.00

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		發行單位	上市日期	到期日	
14298	Goldman Sachs SP (Asia) - Tencent European Put Wt Sep 2014 B	80,000,000	2014/03/07	2014/09/29	34.48
14294	J P Morgan SP BV - Tencent European Put Warrants Oct 2014 C	150,000,000	2014/03/07	2014/10/03	72.00
14291	Macquarie Bank Ltd. - Hutchison European Warrants Nov 2014 A	100,000,000	2014/03/07	2014/11/04	25.20
14281	Standard Chartered Bank - CITIC Pacific Euro Wts Feb 2017 A	100,000,000	2014/03/07	2017/02/01	27.00
14284	Standard Chartered Bank - Foxconn European Wts Nov 2014 A	50,000,000	2014/03/07	2014/11/03	19.00
14273	Standard Chartered Bank - Lenovo European Wts Dec 2015 A	100,000,000	2014/03/07	2015/12/15	15.00
14275	Standard Chartered Bank - Tencent Hldg Euro Wts Aug 2014 C	100,000,000	2014/03/07	2014/08/18	26.00
14276	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2014 B	100,000,000	2014/03/07	2014/09/15	25.00
14277	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2014 C	100,000,000	2014/03/07	2014/09/24	25.00
14280	Standard Chartered Bank - Tencent Hldg Eu Put Wts Aug 2014 D	100,000,000	2014/03/07	2014/08/18	30.00
14285	SGA Societe Generale Acceptance N.V. - Foxconn Eu Wt Oct14 A	40,000,000	2014/03/07	2014/10/06	17.20
14267	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Oct14A	60,000,000	2014/03/07	2014/10/06	15.00
14286	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2017 A	500,000,000	2014/03/07	2017/07/05	87.50
14269	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Dec14A	60,000,000	2014/03/07	2014/12/03	15.00
14270	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Dec14A	100,000,000	2014/03/07	2014/12/03	25.00
14272	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Oct 2014A	50,000,000	2014/03/07	2014/10/06	10.25
14287	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Oct14A	30,000,000	2014/03/07	2014/10/06	10.95
14292	UBS AG - Hutchison European Warrants Oct 2014 A	100,000,000	2014/03/07	2014/10/10	25.00
14319	Credit Suisse AG - CC Bank European Warrants Sep 2014 C	80,000,000	2014/03/10	2014/09/29	12.00
14316	Credit Suisse AG - China EB Int'l European Wts Dec 2014 B	70,000,000	2014/03/10	2014/12/24	16.10
14313	Credit Suisse AG - China Cinda European Warrants Dec 2016 A	128,000,000	2014/03/10	2016/12/23	21.76
14317	Credit Suisse AG - CITIC Pacific European Wts Dec 2015 A	70,000,000	2014/03/10	2015/12/28	10.50
14321	Credit Suisse AG - CITIC Sec European Warrants Oct 2014 A	70,000,000	2014/03/10	2014/10/27	11.20
14323	Credit Suisse AG - A50 China European Warrants Sep 2014 A	80,000,000	2014/03/10	2014/09/10	25.60
14315	Credit Suisse AG - Geely Auto European Warrants Aug 2014 A	70,000,000	2014/03/10	2014/08/25	10.50
14322	Credit Suisse AG - Nine Dragons European Warrants Sep 2014 A	70,000,000	2014/03/10	2014/09/10	20.30
14320	Credit Suisse AG - Yanzhou Coal European Warrants Aug 2014 B	70,000,000	2014/03/10	2014/08/29	10.50
14336	Bank of East Asia - BYD European Warrants Dec 2016 A	150,000,000	2014/03/10	2016/12/30	37.50
14338	Bank of East Asia - Ch Mobile European Put Warrants Mar 15 B	40,000,000	2014/03/10	2015/03/02	10.00
14301	HK Bank - BYD European Warrants Sep 2014 A	60,000,000	2014/03/10	2014/09/30	33.00
14302	HK Bank - CITIC Pacific European Warrants Dec 2015 A	100,000,000	2014/03/10	2015/12/28	15.00
14306	HK Bank - Kingsoft European Warrants Sep 2014 A	60,000,000	2014/03/10	2014/09/30	16.80
14307	HK Bank - PetroChina European Warrants Sep 2014 A	60,000,000	2014/03/10	2014/09/30	15.00
14303	HK Bank - Tencent European Warrants Aug 2014 E	80,000,000	2014/03/10	2014/08/18	23.20
14310	J P Morgan SP BV - China Cinda European Warrants Dec 2016 A	300,000,000	2014/03/10	2016/12/23	46.20
14311	J P Morgan SP BV - China Cinda European Warrants Jan 2017 A	300,000,000	2014/03/10	2017/01/03	45.00
14324	Macquarie Bank Ltd. - BYD European Warrants Oct 2014 A	40,000,000	2014/03/10	2014/10/06	10.00
14309	Standard Chartered Bank - PetroChina European Wts Oct 2014 A	80,000,000	2014/03/10	2014/10/30	20.00
14308	Standard Chartered Bank - Xinyi Glass Euro Wts Oct 2014 A	50,000,000	2014/03/10	2014/10/30	12.50
14327	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Dec16A	300,000,000	2014/03/10	2016/12/28	51.00
14326	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Oct14B	70,000,000	2014/03/10	2014/10/06	19.60
14300	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Oct14A	60,000,000	2014/03/10	2014/10/06	16.50
14328	UBS AG - BYD European Warrants Jun 2014 B	70,000,000	2014/03/10	2014/06/26	18.90
14312	UBS AG - Sinopec Corp European Warrants Aug 2014 B	70,000,000	2014/03/10	2014/08/25	10.50

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14329	UBS AG - Hutchison European Warrants Jun 2014 E	100,000,000	2014/03/10	2014/06/23	15.00
14334	UBS AG - Kingsoft European Warrants Jul 2014 C	100,000,000	2014/03/10	2014/07/21	18.00
14331	UBS AG - PetroChina European Warrants Aug 2014 A	70,000,000	2014/03/10	2014/08/04	25.90
14335	UBS AG - Sands China European Warrants Aug 2014 C	70,000,000	2014/03/10	2014/08/26	12.60
14333	UBS AG - Tencent Holdings European Warrants Aug 2014 D	100,000,000	2014/03/10	2014/08/25	26.00
14330	UBS AG - Tencent Holdings European Warrants Sep 2014 D	100,000,000	2014/03/10	2014/09/15	23.00
14144 #	UBS AG - China Cinda European Warrants Sep 2014 B	100,000,000	2014/03/10	2014/09/02	15.00
10275	BNP Paribas Arbit Issu B.V. - Nikkei 225 Index Eu Wt Sep14 A	100,000,000	2014/03/11	2014/09/12	25.00
10276	BNP Paribas Arbit Issu B.V. - Nikkei 225 Eu Put Wt Sep 2014B	100,000,000	2014/03/11	2014/09/12	25.00
14360	Credit Suisse AG - HKEx European Warrants Jul 2014 C	100,000,000	2014/03/11	2014/07/21	18.00
14361	Credit Suisse AG - Hutchison European Warrants Sep 2014 A	100,000,000	2014/03/11	2014/09/29	32.00
14363	Credit Suisse AG - Kingsoft European Put Warrants Sep 2014 A	100,000,000	2014/03/11	2014/09/10	25.00
14373	Citigroup Global Mkt H Inc. - BOCL European Wts Aug 2014 A	100,000,000	2014/03/11	2014/08/25	15.00
14354	Daiwa Capital Mkt - Hutchison European Put Wts Sep 2014 A	80,000,000	2014/03/11	2014/09/10	25.60
14343	HK Bank - CITIC Sec European Warrants Oct 2014 A	100,000,000	2014/03/11	2014/10/23	15.00
14340	HK Bank - China Tel European Warrants Oct 2014 A	80,000,000	2014/03/11	2014/10/31	20.00
14341	HK Bank - Ping An European Warrants Sep 2014 A	80,000,000	2014/03/11	2014/09/30	20.00
14342	HK Bank - Ping An European Warrants Sep 2014 B	80,000,000	2014/03/11	2014/09/30	24.00
14339	HK Bank - SHK Ppt European Warrants Sep 2014 A	60,000,000	2014/03/11	2014/09/30	17.40
14356	J P Morgan SP BV - China EB Int'l European Wts Jul 2015 A	200,000,000	2014/03/11	2015/07/02	50.00
14367	J P Morgan SP BV - China Mob European Wts Oct 2014 A	150,000,000	2014/03/11	2014/10/03	37.50
14357	J P Morgan SP BV - Hutchison European Warrants Oct 2014 A	100,000,000	2014/03/11	2014/10/03	29.90
14355	J P Morgan SP BV - Kingsoft European Warrants Sep 2014 A	150,000,000	2014/03/11	2014/09/29	37.50
14358	J P Morgan SP BV - Tencent European Warrants Oct 2014 D	200,000,000	2014/03/11	2014/10/03	50.00
14359	Macquarie Bank Ltd. - Sinopec Corp European Wts Jan 2015 A	40,000,000	2014/03/11	2015/01/05	10.00
14365	Standard Chartered Bank - China Cinda Euro Wts Aug 2014 A	50,000,000	2014/03/11	2014/08/28	15.50
14364	Standard Chartered Bank - China Cinda Euro Wts Dec 2016 A	300,000,000	2014/03/11	2016/12/30	75.00
14348	Standard Chartered Bank - CNOOC European Put Wts Jan 2015 B	100,000,000	2014/03/11	2015/01/23	21.50
14353	Standard Chartered Bank - Galaxy Ent European Wts Nov 2015 A	150,000,000	2014/03/11	2015/11/30	37.50
14347	Standard Chartered Bank - SHK P European Warrants Oct 2014 A	100,000,000	2014/03/11	2014/10/10	40.00
14366	Standard Chartered Bank - SHK P European Warrants Oct 2017 A	100,000,000	2014/03/11	2017/10/27	25.00
14346	SGA Societe Generale Acceptance N.V. - Henganintl Eu Wt Apr16A	150,000,000	2014/03/11	2016/04/06	33.75
14345	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Apr 2016 A	300,000,000	2014/03/11	2016/04/06	67.50
14344	SGA Societe Generale Acceptance N.V. - Link REIT Eu Wt Oct14A	60,000,000	2014/03/11	2014/10/06	10.20
14368	UBS AG - China Cinda European Warrants Dec 2016 B	100,000,000	2014/03/11	2016/12/28	20.00
14351	UBS AG - Chow Tai Fook European Warrants Feb 2015 A	60,000,000	2014/03/11	2015/02/24	10.20
14352	UBS AG - Geely Auto European Warrants Sep 2014 A	40,000,000	2014/03/11	2014/09/10	10.00
14372	UBS AG - Kingsoft European Warrants Sep 2014 A	100,000,000	2014/03/11	2014/09/29	25.00
14371	UBS AG - Tencent Holdings European Warrants Mar 2015 A	100,000,000	2014/03/11	2015/03/31	25.00
14350	UBS AG - ZTE European Warrants Jul 2014 C	50,000,000	2014/03/11	2014/07/28	10.50
14349	UBS AG - ZTE European Warrants Oct 2014 A	50,000,000	2014/03/11	2014/10/27	12.50
14132 #	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Sep14A	75,000,000	2014/03/11	2014/09/03	11.03
25133 #	UBS AG - Minsheng Bank European Warrants Dec 2014 A	235,000,000	2014/03/11	2014/12/22	10.11
10280	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Dec 2014 A	100,000,000	2014/03/12	2014/12/18	25.00

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10281	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Dec 2014 B	100,000,000	2014/03/12	2014/12/18	25.00
10277	BNP Paribas Arbit Issu B.V. - Gold European Wts Dec 2014 A	100,000,000	2014/03/12	2014/12/18	25.00
10278	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Dec 14 B	100,000,000	2014/03/12	2014/12/18	25.00
10279	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt Nov 2014 B	100,000,000	2014/03/12	2014/11/18	25.00
10282	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Dec 2014 A	100,000,000	2014/03/12	2014/12/18	25.00
10283	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Dec 2014 B	100,000,000	2014/03/12	2014/12/18	25.00
14408	Credit Suisse AG - Kingsoft European Warrants Sep 2014 B	60,000,000	2014/03/12	2014/09/12	15.00
14396	Daiwa Capital Mkt - Agricultural Bank European Wts Aug 2014 A	108,000,000	2014/03/12	2014/08/01	16.20
14397	Daiwa Capital Mkt - Agricultural Bank Euro Put Wt Nov 2014 A	80,000,000	2014/03/12	2014/11/03	20.00
14399	Daiwa Capital Mkt - CCB European Warrants Aug 2014 C	108,000,000	2014/03/12	2014/08/01	23.76
14395	Daiwa Capital Mkt - CCB European Put Warrants Nov 2014 A	80,000,000	2014/03/12	2014/11/28	20.00
14398	Daiwa Capital Mkt - ICBC European Put Warrants Aug 2014 C	80,000,000	2014/03/12	2014/08/22	16.00
14387	Goldman Sachs SP (Asia) - BYD European Warrants Sep 2014 A	80,000,000	2014/03/12	2014/09/29	46.96
14413	Goldman Sachs SP (Asia) - China Coal European Wts Sep 2014 B	80,000,000	2014/03/12	2014/09/30	20.16
14390	Goldman Sachs SP (Asia) - China EB Int'l Euro Wts Mar 2015 A	80,000,000	2014/03/12	2015/03/30	20.16
14389	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Sep 2014 A	80,000,000	2014/03/12	2014/09/30	21.52
14392	Goldman Sachs SP (Asia) - Geely Auto European Wts Oct 2014 A	80,000,000	2014/03/12	2014/10/28	22.48
14391	Goldman Sachs SP (Asia) - Sands China Euro Wts Jun 2014 D	80,000,000	2014/03/12	2014/06/25	18.00
14388	Goldman Sachs SP (Asia) - SHK Ppt European Wts Sep 2014 A	80,000,000	2014/03/12	2014/09/30	20.40
14412	Goldman Sachs SP (Asia) - Xinyi Glass European Wts Sep 2014A	80,000,000	2014/03/12	2014/09/30	51.44
14378	HK Bank - Minsheng Bank European Warrants Sep 2014 C	60,000,000	2014/03/12	2014/09/30	21.00
14380	HK Bank - GCL-Poly Energy European Warrants Sep 2014 A	60,000,000	2014/03/12	2014/09/30	15.00
14379	HK Bank - Geely Auto European Warrants Sep 2014 A	60,000,000	2014/03/12	2014/09/30	15.00
14381	HK Bank - SJM Holdings European Warrants Sep 2014 A	100,000,000	2014/03/12	2014/09/30	25.00
14411	J P Morgan SP BV - BJ Ent Water European Warrants Sep 2014 A	70,000,000	2014/03/12	2014/09/29	21.28
14410	J P Morgan SP BV - China Cinda European Warrants Sep 2014 C	150,000,000	2014/03/12	2014/09/12	44.55
14377	J P Morgan SP BV - Hutchison European Put Wts Oct 2014 B	80,000,000	2014/03/12	2014/10/03	27.92
14376	J P Morgan SP BV - PetroChina European Warrants Oct 2014 A	100,000,000	2014/03/12	2014/10/03	25.00
14375	J P Morgan SP BV - Tencent European Warrants Dec 2014 A	150,000,000	2014/03/12	2014/12/01	37.65
14406	Macquarie Bank Ltd. - China Agri European Wts Dec 2014 A	40,000,000	2014/03/12	2014/12/02	10.00
14407	Macquarie Bank Ltd. - CGS European Warrants Sep 2014 A	40,000,000	2014/03/12	2014/09/12	10.00
14384	Standard Chartered Bank - China EB Int'l Euro Wts Oct 2014 A	100,000,000	2014/03/12	2014/10/31	25.00
14383	Standard Chartered Bank - China EB Int'l Euro Wts Oct 2015 A	100,000,000	2014/03/12	2015/10/30	25.00
14415	Standard Chartered Bank - China Cinda Euro Wts Aug 2014 B	50,000,000	2014/03/12	2014/08/26	17.50
14414	Standard Chartered Bank - China Cinda Euro Wts Dec 2016 B	300,000,000	2014/03/12	2016/12/23	45.00
14385	Standard Chartered Bank - CTel European Warrants Nov 2014 A	50,000,000	2014/03/12	2014/11/07	15.75
14393	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Sep14B	100,000,000	2014/03/12	2014/09/03	24.50
14382	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Jan 2015A	100,000,000	2014/03/12	2015/01/05	15.00
14394	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Nov 2014 A	70,000,000	2014/03/12	2014/11/05	17.50
14386	UBS AG - China Cinda European Warrants Aug 2014 A	50,000,000	2014/03/12	2014/08/26	19.00
14404	UBS AG - China Cinda European Warrants Sep 2014 C	80,000,000	2014/03/12	2014/09/15	26.40
14374	UBS AG - Sinopec Corp European Warrants Dec 2014 A	70,000,000	2014/03/12	2014/12/24	10.50
14403	UBS AG - HKEx European Warrants Feb 2016 A	200,000,000	2014/03/12	2016/02/29	50.00
14401	UBS AG - Kingsoft European Put Warrants Sep 2014 B	60,000,000	2014/03/12	2014/09/11	10.02

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		發行單位	上市日期	到期日	
14423	BOCI Asia Ltd. - CC Bank European Warrants Aug 2014 B	100,000,000	2014/03/13	2014/08/18	16.00
14426	BOCI Asia Ltd. - Cheung Kong European Warrants Sep 2014 A	100,000,000	2014/03/13	2014/09/22	27.00
14424	BOCI Asia Ltd. - Sinopec Corp European Warrants Sep 2014 A	100,000,000	2014/03/13	2014/09/29	25.00
14425	BOCI Asia Ltd. - Hutchison European Warrants Sep 2014 A	100,000,000	2014/03/13	2014/09/22	27.00
14422	BOCI Asia Ltd. - KECL European Warrants Jul 2015 A	100,000,000	2014/03/13	2015/07/28	15.00
14421	BOCI Asia Ltd. - Kingsoft European Warrants Oct 2014 A	100,000,000	2014/03/13	2014/10/06	32.00
14437	Credit Suisse AG - China Cinda European Warrants Aug 2014 A	70,000,000	2014/03/13	2014/08/27	14.00
14439	Daiwa Capital Mkt - China Mobile European Wts Aug 2014 B	128,000,000	2014/03/13	2014/08/04	25.60
14416	HK Bank - Agricultural Bank European Warrants Aug 2014 A	80,000,000	2014/03/13	2014/08/01	12.00
14419	HK Bank - China COSCO European Warrants Sep 2014 A	60,000,000	2014/03/13	2014/09/30	15.00
14418	HK Bank - China Cinda European Warrants Dec 2016 B	150,000,000	2014/03/13	2016/12/12	22.50
14420	HK Bank - Yanzhou Coal European Warrants Sep 2014 A	60,000,000	2014/03/13	2014/09/30	18.00
14441	J P Morgan SP BV - GCL-Poly European Warrants Oct 2014 A	120,000,000	2014/03/13	2014/10/03	39.36
14445	J P Morgan SP BV - Hutchison European Warrants Oct 2014 C	80,000,000	2014/03/13	2014/10/03	21.28
14443	J P Morgan SP BV - Hutchison European Warrants Jan 2015 A	80,000,000	2014/03/13	2015/01/02	21.36
14431	Macquarie Bank Ltd. - China Coal European Wts Oct 2014 B	30,000,000	2014/03/13	2014/10/06	10.02
14435	Macquarie Bank Ltd. - China Shenhua European Wts Nov 2014 A	40,000,000	2014/03/13	2014/11/04	10.00
14438	Macquarie Bank Ltd. - Chow Tai Fook European Wts Mar 2015 A	40,000,000	2014/03/13	2015/03/03	10.00
14434	Macquarie Bank Ltd. - Yanzhou Coal European Wts Oct 2014 A	40,000,000	2014/03/13	2014/10/06	10.00
14446	Standard Chartered Bank - China Mengniu Euro Wts Nov 2014 A	100,000,000	2014/03/13	2014/11/28	45.00
14440	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Dec16B	500,000,000	2014/03/13	2016/12/21	77.50
14427	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Oct14 A	40,000,000	2014/03/13	2014/10/06	11.60
14436	UBS AG - China Cinda European Warrants Dec 2017 A	300,000,000	2014/03/13	2017/12/20	55.50
14479	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 C	100,000,000	2014/03/14	2014/09/15	25.00
14483	Citigroup Global Mkt H Inc. - Fosun Intl European Wts Aug15A	80,000,000	2014/03/14	2015/08/18	20.00
14481	Citigroup Global Mkt H Inc. - Haitong Sec European Wt Jul15A	100,000,000	2014/03/14	2015/07/27	25.00
14482	Citigroup Global Mkt H Inc. - REXLot Holdings Eu Wt Sep 14 A	80,000,000	2014/03/14	2014/09/15	20.00
14480	Daiwa Capital Mkt - Tencent Holdings European Wts Nov 2014 A	158,000,000	2014/03/14	2014/11/03	39.50
14486	Goldman Sachs SP (Asia) - China Cinda Euro Wts Dec 2016 A	80,000,000	2014/03/14	2016/12/12	14.40
14485	Goldman Sachs SP (Asia) - Evergrande European Wts Nov 2014 A	80,000,000	2014/03/14	2014/11/28	20.16
14484	Goldman Sachs SP (Asia) - Yurun Food European Wts Oct 2014 A	80,000,000	2014/03/14	2014/10/28	38.32
14454	HK Bank - AIA European Warrants Nov 2014 A	80,000,000	2014/03/14	2014/11/28	20.00
14448	HK Bank - CC Bank European Warrants Jul 2014 D	80,000,000	2014/03/14	2014/07/28	12.00
14450	HK Bank - China Cinda European Warrants Sep 2014 B	80,000,000	2014/03/14	2014/09/30	28.00
14451	HK Bank - China Overseas European Warrants Sep 2014 A	100,000,000	2014/03/14	2014/09/05	15.00
14447	HK Bank - CPIC European Warrants Aug 2014 A	80,000,000	2014/03/14	2014/08/29	12.80
14456	HK Bank - Haitong Sec European Warrants Dec 2014 D	100,000,000	2014/03/14	2014/12/31	25.00
14449	HK Bank - ICBC European Warrants Aug 2014 B	80,000,000	2014/03/14	2014/08/21	12.00
14467	J P Morgan SP BV - BYD European Warrants Sep 2014 A	80,000,000	2014/03/14	2014/09/30	20.00
14468	J P Morgan SP BV - Greatwall Motor European Wts Sep 2014 A	100,000,000	2014/03/14	2014/09/29	25.00
14466	Macquarie Bank Ltd. - Hutchison European Warrants Jan 2016 A	200,000,000	2014/03/14	2016/01/05	50.00
14458	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2014 B	100,000,000	2014/03/14	2014/09/29	15.40
14459	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2014 C	100,000,000	2014/03/14	2014/09/29	25.00
14460	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2014 D	100,000,000	2014/03/14	2014/09/29	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14461	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Sep 2014 C	40,000,000	2014/03/14	2014/09/15	10.40
14462	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Aug 2014 C	40,000,000	2014/03/14	2014/08/22	15.20
14464	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Aug 2014 D	40,000,000	2014/03/14	2014/08/18	10.40
14465	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Sep 2014B	40,000,000	2014/03/14	2014/09/19	14.60
14457	Standard Chartered Bank - ICBC European Warrants Sep 2014 B	100,000,000	2014/03/14	2014/09/30	25.00
14455	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Dec 2014 A	40,000,000	2014/03/14	2014/12/03	10.00
14474	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Oct14A	100,000,000	2014/03/14	2014/10/06	25.00
14477	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2015 A	100,000,000	2014/03/14	2015/01/05	25.00
14478	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Dec14A	40,000,000	2014/03/14	2014/12/03	10.00
14469	UBS AG - Geely Auto European Warrants Sep 2014 B	40,000,000	2014/03/14	2014/09/17	13.20
14472	UBS AG - Greatwall Motor European Warrants Sep 2014 A	40,000,000	2014/03/14	2014/09/15	12.00
14470	UBS AG - China Mengniu European Warrants Sep 2014 A	40,000,000	2014/03/14	2014/09/15	10.00
14105 #	HK Bank - Haitong Sec European Warrants Aug 2014 A	120,000,000	2014/03/14	2014/08/25	12.12
14520	BNP Paribas Arbit Issu B.V. - BYD European Wts Oct 2014 B	60,000,000	2014/03/17	2014/10/06	15.00
14521	BNP Paribas Arbit Issu B.V. - Ch Oilfield Eur Wts Apr 2015 A	100,000,000	2014/03/17	2015/04/02	25.00
14522	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Put Wts Oct14B	100,000,000	2014/03/17	2014/10/06	29.00
14519	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Aug 2014 C	100,000,000	2014/03/17	2014/08/04	18.00
14529	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts Nov 2014 A	100,000,000	2014/03/17	2014/11/10	25.00
14523	Citigroup Global Mkt H Inc. - CGS European Wts Sep 2014 A	30,000,000	2014/03/17	2014/09/16	13.50
14531	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Aug 2014 C	100,000,000	2014/03/17	2014/08/04	24.00
14530	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Sep 2014 A	60,000,000	2014/03/17	2014/09/16	27.60
14525	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Aug 2014 A	60,000,000	2014/03/17	2014/08/21	24.00
14526	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Sep 2014 A	60,000,000	2014/03/17	2014/09/25	17.70
14528	Citigroup Global Mkt H Inc. - China Mengniu Eu Wts Sep 2014A	50,000,000	2014/03/17	2014/09/16	15.50
14492	Daiwa Capital Mkt - HKEx European Warrants Sep 2014 B	98,000,000	2014/03/17	2014/09/15	20.58
14493	Daiwa Capital Mkt - HKEx European Put Warrants Oct 2014 A	80,000,000	2014/03/17	2014/10/17	22.40
14517	Daiwa Capital Mkt - Tencent Holdings European Wts Aug 2014 D	158,000,000	2014/03/17	2014/08/29	34.76
14500	Goldman Sachs SP (Asia) - BJ Ent Water European Wts Oct14A	20,000,000	2014/03/17	2014/10/31	11.14
14497	Goldman Sachs SP (Asia) - Country Garden European Wts Oct14A	40,000,000	2014/03/17	2014/10/30	11.08
14499	Goldman Sachs SP (Asia) - CMS European Warrants Oct 2015 A	40,000,000	2014/03/17	2015/10/30	10.00
14515	Goldman Sachs SP (Asia) - Baiyunshan Ph European Wts Oct14A	25,000,000	2014/03/17	2014/10/29	11.95
14498	Goldman Sachs SP (Asia) - Haier Elec European Wts Oct14A	40,000,000	2014/03/17	2014/10/30	10.36
14487	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2014 C	150,000,000	2014/03/17	2014/07/30	22.65
14501	Goldman Sachs SP (Asia) - China Mengniu Euro Wt Dec 2014 A	40,000,000	2014/03/17	2014/12/30	14.72
14490	HK Bank - CC Bank European Warrants Sep 2014 B	80,000,000	2014/03/17	2014/09/30	20.00
14491	HK Bank - ICBC European Warrants Sep 2014 A	80,000,000	2014/03/17	2014/09/30	20.00
14489	HK Bank - Tencent European Warrants Aug 2014 G	80,000,000	2014/03/17	2014/08/22	32.00
14488	HK Bank - Tencent European Put Warrants Aug 2014 F	80,000,000	2014/03/17	2014/08/27	32.00
14494	J P Morgan SP BV - Anhui Conch European Warrants Oct 2014 A	200,000,000	2014/03/17	2014/10/03	50.00
14496	J P Morgan SP BV - China Mob European Wts Oct 2014 C	200,000,000	2014/03/17	2014/10/03	50.00
14495	J P Morgan SP BV - China Mob European Put Wts Oct 2014 B	200,000,000	2014/03/17	2014/10/03	50.00
14536	J P Morgan SP BV - CSOP A50 ETF European Warrants Jul 2014 B	150,000,000	2014/03/17	2014/07/30	22.50
14541	J P Morgan SP BV - China Tel European Warrants Sep 2014 A	80,000,000	2014/03/17	2014/09/29	20.00
14539	J P Morgan SP BV - A50 China European Warrants Jul 2014 B	150,000,000	2014/03/17	2014/07/30	24.75

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14540	J P Morgan SP BV - Geely Auto European Warrants Sep 2014 B	80,000,000	2014/03/17	2014/09/16	20.00
14533	J P Morgan SP BV - ICBC European Warrants Sep 2014 A	200,000,000	2014/03/17	2014/09/30	50.00
14513	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Mar15A	100,000,000	2014/03/17	2015/03/04	25.00
14511	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep 14 A	100,000,000	2014/03/17	2014/09/03	22.00
14514	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Nov 2014A	60,000,000	2014/03/17	2014/11/05	11.10
14504	UBS AG - Anhui Conch European Warrants Sep 2014 B	70,000,000	2014/03/17	2014/09/16	19.60
14503	UBS AG - CC Bank European Warrants Aug 2014 C	100,000,000	2014/03/17	2014/08/01	18.50
14506	UBS AG - China Mobile European Warrants Sep 2014 A	100,000,000	2014/03/17	2014/09/24	25.00
14509	UBS AG - China National Building European Wts Nov 2016 A	100,000,000	2014/03/17	2016/11/24	18.50
14502	UBS AG - SHK Ppt European Warrants Dec 2014 A	50,000,000	2014/03/17	2014/12/29	23.50
14508	UBS AG - Tencent Holdings European Warrants Oct 2014 B	100,000,000	2014/03/17	2014/10/06	25.00
13984 #	J P Morgan SP BV - China Mob European Wts Jun 2015	500,000,000	2014/03/17	2015/06/22	20.00
14574	BOCI Asia Ltd. - ICBC European Warrants Sep 2014 A	100,000,000	2014/03/18	2014/09/01	15.00
14599	BNP Paribas Arbit Issu B.V. - CC Bank Euro Put Wt Nov 2014 A	100,000,000	2014/03/18	2014/11/04	25.00
14579	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2014 A	100,000,000	2014/03/18	2014/11/04	15.00
14597	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Oct 2014 A	100,000,000	2014/03/18	2014/10/06	25.00
14582	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts Dec 2015 A	100,000,000	2014/03/18	2015/12/02	25.00
14575	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Nov 2014 A	100,000,000	2014/03/18	2014/11/04	25.00
14578	BNP Paribas Arbit Issu B.V. - Hutchison Eu Put Wt Oct 2014 B	100,000,000	2014/03/18	2014/10/06	45.00
14596	BNP Paribas Arbit Issu B.V. - ICBC Euro Put Wts Nov 2014 A	100,000,000	2014/03/18	2014/11/04	29.00
14600	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt Dec 2015A	100,000,000	2014/03/18	2015/12/02	25.00
14595	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Nov 2014 A	100,000,000	2014/03/18	2014/11/04	26.00
14581	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts Nov 2014 A	100,000,000	2014/03/18	2014/11/04	25.00
14588	Daiwa Capital Mkt - BYD European Warrants Sep 2014 A	68,000,000	2014/03/18	2014/09/18	23.12
14601	Goldman Sachs SP (Asia) - Ping An European Wts Aug 2014 C	80,000,000	2014/03/18	2014/08/04	18.16
14570	HK Bank - CM Bank European Warrants May 2015 A	80,000,000	2014/03/18	2015/05/22	12.00
14571	HK Bank - Minsheng Bank European Warrants Sep 2014 D	60,000,000	2014/03/18	2014/09/30	36.00
14565	HK Bank - China Mobile European Warrants Sep 2014 A	80,000,000	2014/03/18	2014/09/30	20.00
14569	HK Bank - China Shenhua European Warrants Nov 2014 A	80,000,000	2014/03/18	2014/11/21	13.60
14568	HK Bank - Galaxy Ent European Warrants Dec 2015 A	150,000,000	2014/03/18	2015/12/23	24.00
14573	HK Bank - PICC Group European Warrants Sep 2014 A	80,000,000	2014/03/18	2014/09/25	12.00
14572	HK Bank - Tencent European Warrants Sep 2014 A	80,000,000	2014/03/18	2014/09/30	46.40
14567	HK Bank - Tencent European Put Warrants Jul 2014 F	80,000,000	2014/03/18	2014/07/29	16.00
14543	J P Morgan SP BV - China Cinda European Warrants Aug 2014 A	150,000,000	2014/03/18	2014/08/28	22.50
14546	J P Morgan SP BV - China Cinda European Warrants Oct 2014 A	150,000,000	2014/03/18	2014/10/03	37.50
14587	J P Morgan SP BV - Tencent European Warrants Sep 2014 E	200,000,000	2014/03/18	2014/09/15	45.20
14564	Macquarie Bank Ltd. - Chinares Cement European Wt Oct 2014 A	40,000,000	2014/03/18	2014/10/20	10.00
14563	Macquarie Bank Ltd. - Jiangxi Copper European Wts May 2015 A	40,000,000	2014/03/18	2015/05/05	10.00
14550	Nomura Int'l plc - BJ Ent Water European Warrants Sep 2014 A	50,000,000	2014/03/18	2014/09/29	17.25
14556	Nomura Int'l plc - BYD European Warrants Sep 2014 A	80,000,000	2014/03/18	2014/09/18	24.40
14586	Nomura Int'l plc - CIMC Enric European Warrants Nov 2014 A	50,000,000	2014/03/18	2014/11/28	12.75
14557	Nomura Int'l plc - China Cinda European Warrants Aug 2014 A	80,000,000	2014/03/18	2014/08/26	12.80
14553	Nomura Int'l plc - Ch LongYuan European Warrants Nov 2015 A	80,000,000	2014/03/18	2015/11/25	12.00
14549	Nomura Int'l plc - Sinopec European Warrants Jul 2014 A	80,000,000	2014/03/18	2014/07/28	12.00

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14555	Nomura Int'l plc - CSPC Pharma European Warrants Dec 2015 A	80,000,000	2014/03/18	2015/12/28	20.00
14548	Nomura Int'l plc - Geely Auto European Warrants Aug 2014 A	80,000,000	2014/03/18	2014/08/27	12.00
14554	Nomura Int'l plc - HN Renewables European Warrants Sep 2014A	80,000,000	2014/03/18	2014/09/18	20.00
14551	Nomura Int'l plc - JNCEC European Warrants Sep 2014 A	50,000,000	2014/03/18	2014/09/29	17.40
14560	Nomura Int'l plc - Kingsoft European Warrants Sep 2014 B	50,000,000	2014/03/18	2014/09/29	16.00
14561	Nomura Int'l plc - Kingsoft European Warrants Sep 2014 C	50,000,000	2014/03/18	2014/09/29	12.50
14559	Nomura Int'l plc - Ping An European Warrants Sep 2014 A	50,000,000	2014/03/18	2014/09/29	12.85
14584	Nomura Int'l plc - Sihuan Pharm European Warrants Mar 2015 A	50,000,000	2014/03/18	2015/03/30	12.50
14552	Nomura Int'l plc - Tencent Holdings Euro Warrants Aug 2014 A	80,000,000	2014/03/18	2014/08/18	12.64
14583	Standard Chartered Bank - SPDR Gold Trust Euro Wt Dec 2014 A	50,000,000	2014/03/18	2014/12/18	15.00
14591	Standard Chartered Bank - YZ Coal European Wts Sep 2017 A	100,000,000	2014/03/18	2017/09/08	25.00
14590	Standard Chartered Bank - YZ Coal European Put Wts Dec 2014A	50,000,000	2014/03/18	2014/12/03	12.50
14593	UBS AG - China Mobile European Warrants Oct 2014 A	100,000,000	2014/03/18	2014/10/03	15.00
14594	UBS AG - CNOOC European Warrants Aug 2014 A	100,000,000	2014/03/18	2014/08/29	19.00
14592	UBS AG - CNOOC European Warrants Sep 2014 A	40,000,000	2014/03/18	2014/09/17	10.00
14611	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2014 B	100,000,000	2014/03/19	2014/10/20	25.00
14626	Credit Suisse AG - CC Bank European Warrants Jul 2014 E	70,000,000	2014/03/19	2014/07/28	10.50
14627	Credit Suisse AG - China Cinda European Warrants Dec 2017 A	100,000,000	2014/03/19	2017/12/20	16.00
14629	Credit Suisse AG - China Cinda European Put Warrants Sep14 C	40,000,000	2014/03/19	2014/09/18	16.00
14625	Credit Suisse AG - CNOOC European Warrants Aug 2014 C	80,000,000	2014/03/19	2014/08/29	14.40
14654	Citigroup Global Mkt H Inc. - CC Bank European Wt Jul 2014 D	100,000,000	2014/03/19	2014/07/28	15.00
14644	Citigroup Global Mkt H Inc. - CITIC Sec Euro Wts Aug 2014 B	100,000,000	2014/03/19	2014/08/04	15.00
14648	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Jun 2014 A	100,000,000	2014/03/19	2014/06/30	20.00
14649	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Sep 2014 A	100,000,000	2014/03/19	2014/09/23	19.00
14655	Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2014 B	100,000,000	2014/03/19	2014/09/18	25.00
14650	Citigroup Global Mkt H Inc. - Ping An Euro Wts Aug 2014 B	100,000,000	2014/03/19	2014/08/04	34.50
14637	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2016 A	80,000,000	2014/03/19	2016/09/30	20.16
14640	Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2014 B	80,000,000	2014/03/19	2014/09/30	20.40
14641	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2014 A	80,000,000	2014/03/19	2014/09/30	12.16
14635	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Dec 2014 A	80,000,000	2014/03/19	2014/12/30	20.00
14639	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2014 A	80,000,000	2014/03/19	2014/09/30	12.32
14608	HK Bank - CNBM European Warrants Nov 2016 A	100,000,000	2014/03/19	2016/11/24	16.00
14604	HK Bank - HSI European Warrants Sep 2014 G	150,000,000	2014/03/19	2014/09/29	37.50
14609	HK Bank - HSI European Put Warrants Sep 2014 H	150,000,000	2014/03/19	2014/09/29	37.50
14607	HK Bank - Lenovo European Warrants Sep 2014 B	60,000,000	2014/03/19	2014/09/30	21.00
14605	HK Bank - PICC European Warrants Dec 2015 A	100,000,000	2014/03/19	2015/12/24	18.00
14606	HK Bank - Tencent European Warrants Dec 2014 A	80,000,000	2014/03/19	2014/12/30	30.40
14603	J P Morgan SP BV - Agricultural Bk Euro Warrants Sep 2014 A	200,000,000	2014/03/19	2014/09/05	30.00
14643	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2014 A	200,000,000	2014/03/19	2014/10/03	50.00
14642	J P Morgan SP BV - Huaneng Power European Wts Oct 2014 A	50,000,000	2014/03/19	2014/10/03	16.15
14624	Macquarie Bank Ltd. - Ch Oilfield European Wts Nov 2014 A	40,000,000	2014/03/19	2014/11/18	10.00
14610	Macquarie Bank Ltd. - Zijin Mining European Wts Apr 2015 A	40,000,000	2014/03/19	2015/04/08	10.00
14615	Standard Chartered Bank - China Mobile Euro Wts Oct 2014 A	100,000,000	2014/03/19	2014/10/03	25.00
14613	Standard Chartered Bank - China Mobile Eur Put Wt Aug 2014 C	100,000,000	2014/03/19	2014/08/26	25.00

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		發行單位	上市日期	到期日	
14633	Standard Chartered Bank - Cathay Pac Air Euro Wts Jan 2015 A	100,000,000	2014/03/19	2015/01/08	25.00
14632	Standard Chartered Bank - Cathay Pac Air Euro Wts Aug 2015 A	100,000,000	2014/03/19	2015/08/10	25.00
14618	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2015 B	100,000,000	2014/03/19	2015/01/05	18.50
14619	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 14 A	150,000,000	2014/03/19	2014/10/30	22.50
14617	UBS AG - Belle Int'l European Warrants May 2015 A	50,000,000	2014/03/19	2015/05/05	12.50
14623	UBS AG - China Tel European Warrants Oct 2014 A	70,000,000	2014/03/19	2014/10/24	11.55
14616	UBS AG - China Unicom European Warrants Dec 2016 A	100,000,000	2014/03/19	2016/12/19	25.00
14622	UBS AG - Evergrande European Warrants Sep 2014 A	50,000,000	2014/03/19	2014/09/18	12.50
14621	UBS AG - Sands China European Warrants Sep 2014 A	40,000,000	2014/03/19	2014/09/18	17.20
14620	UBS AG - Tencent Holdings European Warrants Sep 2014 E	100,000,000	2014/03/19	2014/09/26	48.00
14690	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2015 A	100,000,000	2014/03/20	2015/04/02	25.00
14692	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jan 2015 A	100,000,000	2014/03/20	2015/01/05	25.00
14694	BNP Paribas Arbit Issu B.V. - China Mengniu Eu Wt Jan 2015 A	100,000,000	2014/03/20	2015/01/05	25.00
14688	BNP Paribas Arbit Issu B.V. - Sihuan Pharm Euro Wts Apr 15 A	100,000,000	2014/03/20	2015/04/02	25.00
14689	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Aug 2014 D	100,000,000	2014/03/20	2014/08/04	31.00
14718	Credit Suisse AG - HSI European Warrants Aug 2014 E	200,000,000	2014/03/20	2014/08/28	30.00
14719	Credit Suisse AG - HSI European Warrants Aug 2014 F	200,000,000	2014/03/20	2014/08/28	30.00
14703	Daiwa Capital Mkt - China Life European Warrants Sep 2014 A	128,000,000	2014/03/20	2014/09/01	21.76
14701	Daiwa Capital Mkt - Hutchison European Warrants Sep 2014 B	128,000,000	2014/03/20	2014/09/19	32.00
14717	Bank of East Asia - China Overseas Euro Warrants Jan 2015 A	70,000,000	2014/03/20	2015/01/06	10.50
14672	HK Bank - China Coal European Warrants Sep 2014 A	60,000,000	2014/03/20	2014/09/30	15.00
14675	HK Bank - CSOP A50 ETF European Warrants Sep 2014 A	60,000,000	2014/03/20	2014/09/30	21.00
14673	HK Bank - CSR European Warrants Sep 2014 A	60,000,000	2014/03/20	2014/09/30	15.00
14674	HK Bank - A50 China European Warrants Sep 2014 B	60,000,000	2014/03/20	2014/09/30	20.40
14671	HK Bank - HSCEI European Put Warrants Sep 2014 B	150,000,000	2014/03/20	2014/09/29	37.50
14670	J P Morgan SP BV - CHALCO European Warrants Dec 2014 A	35,000,000	2014/03/20	2014/12/29	11.38
14669	J P Morgan SP BV - CAM CSI300 European Warrants Aug 2014 A	100,000,000	2014/03/20	2014/08/28	15.00
14668	J P Morgan SP BV - CGS European Warrants Oct 2014 A	30,000,000	2014/03/20	2014/10/03	10.50
14721	J P Morgan SP BV - China Cinda European Warrants Sep 2014 D	150,000,000	2014/03/20	2014/09/30	52.65
14656	J P Morgan SP BV - HSCEI European Put Warrants Oct 2014 A	300,000,000	2014/03/20	2014/10/30	75.00
14657	J P Morgan SP BV - HSI European Warrants Oct 2014 A	500,000,000	2014/03/20	2014/10/30	125.00
14662	J P Morgan SP BV - HSI European Put Warrants Oct 2014 B	500,000,000	2014/03/20	2014/10/30	125.00
14663	J P Morgan SP BV - HSI European Put Warrants Oct 2014 C	500,000,000	2014/03/20	2014/10/30	129.50
14664	J P Morgan SP BV - HSI European Put Warrants Oct 2014 D	500,000,000	2014/03/20	2014/10/30	134.00
14667	J P Morgan SP BV - Lumena Newmat European Wts Mar 2015 A	40,000,000	2014/03/20	2015/03/13	10.00
14720	J P Morgan SP BV - Ping An European Warrants Aug 2014 A	200,000,000	2014/03/20	2014/08/04	39.40
14695	Macquarie Bank Ltd. - Avichina European Warrants Oct 2014 A	40,000,000	2014/03/20	2014/10/06	10.00
14698	Standard Chartered Bank - Geely Auto European Wts Oct 2014 A	80,000,000	2014/03/20	2014/10/21	14.40
14702	Standard Chartered Bank - HSCEI European Warrants Aug 2014 A	100,000,000	2014/03/20	2014/08/28	15.00
14700	Standard Chartered Bank - HSCEI European Put Wts Dec 2014 C	100,000,000	2014/03/20	2014/12/30	20.00
14699	Standard Chartered Bank - PICC Group European Wts Sep 2014 A	80,000,000	2014/03/20	2014/09/25	12.00
14696	Standard Chartered Bank - Xinyi Glass Euro Wts Oct 2014 B	50,000,000	2014/03/20	2014/10/06	26.00
14676	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Nov 2014A	50,000,000	2014/03/20	2014/11/05	12.50
14707	SGA Societe Generale Acceptance N.V. - COVS Eu Wts Apr 2015 A	100,000,000	2014/03/20	2015/04/08	19.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14678	SGA Societe Generale Acceptance N.V. - CRail Eu Wt Feb 2015A	55,000,000	2014/03/20	2015/02/04	10.18
14708	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Nov 2014 A	60,000,000	2014/03/20	2014/11/05	10.50
14682	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Oct14A	60,000,000	2014/03/20	2014/10/27	10.20
14679	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Oct14A	70,000,000	2014/03/20	2014/10/06	27.30
14683	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Oct 14 A	100,000,000	2014/03/20	2014/10/06	25.00
14685	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Oct14A	80,000,000	2014/03/20	2014/10/06	12.00
14710	UBS AG - China Cinda European Warrants Sep 2014 D	100,000,000	2014/03/20	2014/09/22	48.00
14709	UBS AG - China Cinda European Warrants Dec 2016 C	300,000,000	2014/03/20	2016/12/22	52.50
14713	UBS AG - HSBC European Warrants Sep 2014 B	100,000,000	2014/03/20	2014/09/08	21.00
14666	UBS AG - HSI European Warrants Aug 2014 F	300,000,000	2014/03/20	2014/08/28	51.00
14711	UBS AG - Ping An European Warrants Oct 2014 A	60,000,000	2014/03/20	2014/10/06	12.60
14712	UBS AG - Ping An European Warrants Oct 2014 B	60,000,000	2014/03/20	2014/10/13	18.00
14761	BNP Paribas Arbit Issu B.V. - China Cinda Eur Wts Sep 2014 A	100,000,000	2014/03/21	2014/09/02	15.00
14763	BNP Paribas Arbit Issu B.V. - CM Bank Euro Warrants Dec 2016 A	100,000,000	2014/03/21	2016/12/02	25.00
14758	BNP Paribas Arbit Issu B.V. - COSCO Pacific Eu Wt Jul 2015 A	79,000,000	2014/03/21	2015/07/03	11.85
14756	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Dec 2014 A	80,000,000	2014/03/21	2014/12/02	20.00
14757	BNP Paribas Arbit Issu B.V. - ICBC European Wts Oct 2014 B	100,000,000	2014/03/21	2014/10/06	26.00
14745	Credit Suisse AG - HSBC European Wts Aug 2014 B	70,000,000	2014/03/21	2014/08/27	11.20
14766	Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2014 C	100,000,000	2014/03/21	2014/09/22	29.50
14767	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Aug 2014 B	80,000,000	2014/03/21	2014/08/25	36.00
14765	Citigroup Global Mkt H Inc. - Tencent European Wts Sep 2014 A	100,000,000	2014/03/21	2014/09/01	35.00
14746	Daiwa Capital Mkt - Ping An European Warrants Sep 2014 A	108,000,000	2014/03/21	2014/09/01	23.76
14725	Goldman Sachs SP (Asia) - China Mobile Euro Wts Oct 2014 A	80,000,000	2014/03/21	2014/10/30	20.40
14724	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2014 B	80,000,000	2014/03/21	2014/09/30	20.48
14722	Goldman Sachs SP (Asia) - HSCEI European Warrants Dec 2014 B	80,000,000	2014/03/21	2014/12/30	20.16
14723	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2015 A	80,000,000	2014/03/21	2015/07/30	12.16
14727	HK Bank - China Cinda European Warrants Sep 2014 C	60,000,000	2014/03/21	2014/09/30	19.80
14728	HK Bank - China Life European Warrants Aug 2014 E	100,000,000	2014/03/21	2014/08/11	20.00
14729	HK Bank - China Life European Warrants Dec 2014 B	100,000,000	2014/03/21	2014/12/01	15.00
14730	HK Bank - HKEx European Warrants Sep 2014 A	60,000,000	2014/03/21	2014/09/30	16.80
14769	J P Morgan SP BV - Country Garden European Warrants Oct 14 A	50,000,000	2014/03/21	2014/10/03	12.50
14771	J P Morgan SP BV - HSI European Put Warrants Aug 2014 F	500,000,000	2014/03/21	2014/08/28	75.00
14736	J P Morgan SP BV - HSI European Put Warrants Oct 2014 E	500,000,000	2014/03/21	2014/10/30	125.00
14738	J P Morgan SP BV - Tencent European Warrants Jul 2014 F	200,000,000	2014/03/21	2014/07/07	57.00
14774	J P Morgan SP BV - Tencent European Warrants Aug 2014 D	200,000,000	2014/03/21	2014/08/04	35.20
14737	J P Morgan SP BV - Tencent European Put Warrants Oct 2014 E	150,000,000	2014/03/21	2014/10/03	50.85
14770	J P Morgan SP BV - Wynn Macau European Warrants Oct 2014 A	150,000,000	2014/03/21	2014/10/03	37.50
14753	Macquarie Bank Ltd. - Country Garden European Wts Nov 2014 B	40,000,000	2014/03/21	2014/11/04	10.00
14732	Macquarie Bank Ltd. - CNOOC European Warrants Oct 2014 A	30,000,000	2014/03/21	2014/10/06	10.02
14752	Macquarie Bank Ltd. - China Overseas European Wts Mar 2015 A	60,000,000	2014/03/21	2015/03/03	15.00
14747	Macquarie Bank Ltd. - Chi Res Land European Wts Aug 2015 A	60,000,000	2014/03/21	2015/08/04	15.00
14731	Macquarie Bank Ltd. - PetroChina European Wts Oct 2014 A	40,000,000	2014/03/21	2014/10/06	10.00
14751	Macquarie Bank Ltd. - Shimao Ppt European Wts Jun 2015 A	60,000,000	2014/03/21	2015/06/04	15.00
14755	Macquarie Bank Ltd. - SUNAC European Warrants Sep 2014 A	40,000,000	2014/03/21	2014/09/22	10.00

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14739	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Oct14B	100,000,000	2014/03/21	2014/10/06	27.00
14742	UBS AG - China EB Int'l European Warrants Jul 2014 B	25,000,000	2014/03/21	2014/07/16	10.00
14734	UBS AG - CITIC Bank European Warrants Jul 2014 A	50,000,000	2014/03/21	2014/07/21	10.00
14740	UBS AG - HSBC European Warrants Sep 2014 C	100,000,000	2014/03/21	2014/09/22	35.00
14743	UBS AG - HSCEI European Put Warrants Dec 2014 B	100,000,000	2014/03/21	2014/12/30	19.50
14733	UBS AG - HSI European Warrants Dec 2014 A	300,000,000	2014/03/21	2014/12/30	45.00
14735	UBS AG - Kingsoft European Warrants Aug 2014 B	50,000,000	2014/03/21	2014/08/04	19.00
14741	UBS AG - Tencent Holdings European Warrants Sep 2014 F	100,000,000	2014/03/21	2014/09/22	44.00
11910 #	HK Bank - HSI European Warrants Jun 2014 F	250,000,000	2014/03/21	2014/06/27	14.00
14783	BOCI Asia Ltd. - Anhui Conch European Warrants Oct 2014 A	100,000,000	2014/03/24	2014/10/06	25.00
14781	BOCI Asia Ltd. - China Mobile European Warrants Sep 2014 A	100,000,000	2014/03/24	2014/09/01	17.00
14778	BOCI Asia Ltd. - A50 China European Warrants Sep 2014 A	100,000,000	2014/03/24	2014/09/15	25.00
14779	BOCI Asia Ltd. - Haitong Sec European Warrants Jul 2015 A	100,000,000	2014/03/24	2015/07/27	19.00
14784	BOCI Asia Ltd. - Hutchison European Warrants Dec 2015 A	100,000,000	2014/03/24	2015/12/28	19.00
14789	Credit Suisse AG - ICBC European Warrants Sep 2014 A	70,000,000	2014/03/24	2014/09/23	13.30
14796	Daiwa Capital Mkt - Tencent Holdings European Wts Sep 2014 C	138,000,000	2014/03/24	2014/09/01	56.58
14787	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2014 B	150,000,000	2014/03/24	2014/08/28	22.65
14785	HK Bank - Tencent European Warrants Sep 2014 B	80,000,000	2014/03/24	2014/09/30	54.40
14786	HK Bank - Tencent European Warrants Sep 2014 C	80,000,000	2014/03/24	2014/09/30	38.40
14794	Macquarie Bank Ltd. - Anhui Conch European Wts Oct 2014 A	40,000,000	2014/03/24	2014/10/06	10.00
14795	Macquarie Bank Ltd. - AIA European Warrants Oct 2014 A	40,000,000	2014/03/24	2014/10/06	10.00
14791	Macquarie Bank Ltd. - BankComm European Warrants Oct 2014 A	70,000,000	2014/03/24	2014/10/06	10.50
14790	Macquarie Bank Ltd. - CM Bank European Warrants Jun 2015 A	70,000,000	2014/03/24	2015/06/02	10.50
14792	Macquarie Bank Ltd. - HSBC European Warrants Oct 2014 A	80,000,000	2014/03/24	2014/10/06	20.00
14793	Macquarie Bank Ltd. - Hang Seng Bank European Wts Oct 2014 A	80,000,000	2014/03/24	2014/10/06	20.00
14798	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2014 B	100,000,000	2014/03/24	2014/10/06	18.00
14800	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2014 A	100,000,000	2014/03/24	2014/10/06	17.00
14788	SGA Societe Generale Acceptance N.V. - PICC Eu Wts Oct 2014A	70,000,000	2014/03/24	2014/10/06	10.50
14775	UBS AG - Agricultural Bank European Warrants Sep 2015 A	50,000,000	2014/03/24	2015/09/24	10.00
14776	UBS AG - KECL European Warrants Nov 2015 A	100,000,000	2014/03/24	2015/11/25	19.50
14777	UBS AG - Tencent Holdings European Warrants Sep 2014 G	100,000,000	2014/03/24	2014/09/01	65.00
14807	BOCI Asia Ltd. - China Cinda European Warrants Sep 2014 C	100,000,000	2014/03/25	2014/09/01	25.00
14808	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2014 C	100,000,000	2014/03/25	2014/09/08	23.00
14810	Credit Suisse AG - China Life European Warrants Dec 2014 A	70,000,000	2014/03/25	2014/12/01	10.50
14809	Credit Suisse AG - CM Bank European Warrants May 2015 A	80,000,000	2014/03/25	2015/05/22	12.00
14811	Credit Suisse AG - Gome European Warrants Jan 2015 A	70,000,000	2014/03/25	2015/01/30	10.50
14812	Credit Suisse AG - PetroChina European Warrants Sep 2014 A	60,000,000	2014/03/25	2014/09/29	15.00
14801	Goldman Sachs SP (Asia) - AIA European Warrants Sep 2014 A	80,000,000	2014/03/25	2014/09/29	12.08
14821	J P Morgan SP BV - Tencent European Put Warrants Oct 2014 F	200,000,000	2014/03/25	2014/10/03	50.00
14813	Macquarie Bank Ltd. - CLP European Warrants Apr 2015 A	40,000,000	2014/03/25	2015/04/02	10.00
14806	Macquarie Bank Ltd. - CNBM European Warrants Oct 2014 B	30,000,000	2014/03/25	2014/10/06	10.05
14814	Macquarie Bank Ltd. - Poly HK Inv European Wts Feb 2015 A	40,000,000	2014/03/25	2015/02/02	10.00
14805	Macquarie Bank Ltd. - SHK Ppt European Warrants Oct 2014 C	80,000,000	2014/03/25	2014/10/06	20.00
14804	Macquarie Bank Ltd. - Wharf European Warrants Dec 2014 A	40,000,000	2014/03/25	2014/12/02	10.00

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14820	Macquarie Bank Ltd. - Yuexiu Property Euro Wts Jun 2015 A	40,000,000	2014/03/25	2015/06/03	10.00
14802	Standard Chartered Bank - HSBC European Warrants Sep 2014 B	100,000,000	2014/03/25	2014/09/30	25.00
14815	UBS AG - AIA European Warrants Jul 2014 D	100,000,000	2014/03/25	2014/07/21	15.00
14819	UBS AG - China Overseas European Warrants Jul 2015 A	70,000,000	2014/03/25	2015/07/13	17.50
14818	UBS AG - Sinopec Corp European Warrants Oct 2014 A	60,000,000	2014/03/25	2014/10/29	10.50
14817	UBS AG - HSI European Warrants Jul 2014 E	300,000,000	2014/03/25	2014/07/30	45.00
14816	UBS AG - Haitong Sec European Warrants Jul 2015 A	50,000,000	2014/03/25	2015/07/27	10.50
14847	Bank of East Asia - China EB Int'l European Warrants Apr15 A	60,000,000	2014/03/26	2015/04/08	15.00
14848	Bank of East Asia - Henganintl European Warrants Sep 2014 A	30,000,000	2014/03/26	2014/09/26	16.14
14829	Bank of East Asia - Kingsoft European Warrants Nov 2014 A	40,000,000	2014/03/26	2014/11/24	10.00
14834	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2014 B	80,000,000	2014/03/26	2014/12/24	13.76
14835	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt May 2015 A	80,000,000	2014/03/26	2015/05/28	20.96
14836	Goldman Sachs SP (Asia) - A50 China European Wts Sep 2014 A	80,000,000	2014/03/26	2014/09/30	26.80
14832	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2014 C	80,000,000	2014/03/26	2014/09/29	25.92
14833	Goldman Sachs SP (Asia) - PICC Group European Wts Dec 2014 A	80,000,000	2014/03/26	2014/12/29	20.48
14842	J P Morgan SP BV - Foxconn European Warrants Sep 2014 A	35,000,000	2014/03/26	2014/09/29	12.95
14843	J P Morgan SP BV - Yanzhou Coal European Warrants Oct 2014 A	40,000,000	2014/03/26	2014/10/03	11.40
14827	Macquarie Bank Ltd. - Agile Ppt European Warrants Sep 2014 A	40,000,000	2014/03/26	2014/09/26	10.00
14826	Macquarie Bank Ltd. - BOCHK European Warrants Oct 2014 B	40,000,000	2014/03/26	2014/10/06	10.00
14823	Standard Chartered Bank - CC Bank European Wts Oct 2014 A	100,000,000	2014/03/26	2014/10/06	16.00
14824	Standard Chartered Bank - China COSCO European Wt Oct 2014 A	100,000,000	2014/03/26	2014/10/06	25.00
14825	Standard Chartered Bank - HKEx European Warrants Oct 2014 A	80,000,000	2014/03/26	2014/10/31	20.00
14822	Standard Chartered Bank - HSI European Put Wts Oct 2014 A	100,000,000	2014/03/26	2014/10/30	15.00
14840	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Apr15A	120,000,000	2014/03/26	2015/04/08	18.00
14837	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Oct 14 A	100,000,000	2014/03/26	2014/10/06	19.50
14839	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Sep 2014B	80,000,000	2014/03/26	2014/09/03	22.80
14838	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Sep14A	100,000,000	2014/03/26	2014/09/01	48.00
14831	UBS AG - HSI European Warrants Aug 2014 G	300,000,000	2014/03/26	2014/08/28	45.00
14830	UBS AG - HSI European Warrants Sep 2014 C	300,000,000	2014/03/26	2014/09/29	54.00
14861	Credit Suisse AG - Agricultural Bank European Wts Sep 2015 A	80,000,000	2014/03/27	2015/09/25	14.40
14862	Credit Suisse AG - BOCL European Warrants Sep 2015 A	80,000,000	2014/03/27	2015/09/25	20.00
14864	Credit Suisse AG - China Mobile European Warrants Sep 2014 A	70,000,000	2014/03/27	2014/09/30	17.50
14863	Credit Suisse AG - HSBC European Wts Sep 2014 A	70,000,000	2014/03/27	2014/09/30	17.50
14870	Daiwa Capital Mkt - China Mobile European Wts Sep 2014 A	128,000,000	2014/03/27	2014/09/24	29.44
14869	Daiwa Capital Mkt - China Mobile European Put Wts Nov 2014 A	80,000,000	2014/03/27	2014/11/03	20.00
14867	Daiwa Capital Mkt - HSCEI European Put Warrants Oct 2014 A	100,000,000	2014/03/27	2014/10/30	19.00
14865	Daiwa Capital Mkt - HSI European Warrants Aug 2014 A	128,000,000	2014/03/27	2014/08/28	21.76
14866	Daiwa Capital Mkt - HSI European Warrants Sep 2014 D	128,000,000	2014/03/27	2014/09/29	32.00
14852	Bank of East Asia - BYD European Warrants Nov 2014 A	40,000,000	2014/03/27	2014/11/12	13.72
14850	HK Bank - BYD European Warrants Dec 2016 A	200,000,000	2014/03/27	2016/12/30	50.00
14851	HK Bank - Kingsoft European Warrants Sep 2014 B	60,000,000	2014/03/27	2014/09/30	18.00
14849	HK Bank - Sands China European Warrants Sep 2014 A	60,000,000	2014/03/27	2014/09/30	27.00
14877	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2014 B	200,000,000	2014/03/27	2014/10/03	50.00
14858	J P Morgan SP BV - China Coal European Warrants Oct 2014 A	80,000,000	2014/03/27	2014/10/03	20.00

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14878	J P Morgan SP BV - China Mob European Wts Oct 2014 D	200,000,000	2014/03/27	2014/10/03	50.00
14876	J P Morgan SP BV - Ch Overseas European Warrants Dec 2014 A	150,000,000	2014/03/27	2014/12/29	37.50
14875	J P Morgan SP BV - Chi Res Land European Warrants Dec 2014 A	150,000,000	2014/03/27	2014/12/29	37.50
14860	J P Morgan SP BV - HSBC European Warrants Oct 2014 C	150,000,000	2014/03/27	2014/10/03	47.10
14859	J P Morgan SP BV - HSBC European Put Warrants Oct 2014 B	150,000,000	2014/03/27	2014/10/03	37.50
14874	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Oct 2015 A	40,000,000	2014/03/27	2015/10/05	10.00
14854	Macquarie Bank Ltd. - Geely Auto European Wts Dec 2014 A	40,000,000	2014/03/27	2014/12/02	10.00
14891	Standard Chartered Bank - China Mobile Euro Wts Sep 2014 B	100,000,000	2014/03/27	2014/09/29	25.00
14857	Standard Chartered Bank - CNOOC European Warrants Oct 2014 B	40,000,000	2014/03/27	2014/10/06	14.00
14856	Standard Chartered Bank - Galaxy Ent European Wts Oct 2015 A	150,000,000	2014/03/27	2015/10/23	22.50
14855	Standard Chartered Bank - Wharf European Warrants Oct 2014 A	80,000,000	2014/03/27	2014/10/31	20.00
14853	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt Nov 2014 A	60,000,000	2014/03/27	2014/11/03	11.70
14882	UBS AG - BYD European Warrants Sep 2014 B	50,000,000	2014/03/27	2014/09/26	21.50
14872	UBS AG - CC Bank European Warrants Sep 2014 A	100,000,000	2014/03/27	2014/09/26	25.00
14889	UBS AG - China Life European Warrants Dec 2014 A	100,000,000	2014/03/27	2014/12/24	16.50
14871	UBS AG - China Mobile European Warrants Oct 2014 B	100,000,000	2014/03/27	2014/10/03	25.00
14884	UBS AG - CSOP A50 ETF European Warrants Aug 2018 A	100,000,000	2014/03/27	2018/08/01	18.00
14883	UBS AG - A50 China European Warrants Aug 2018 A	200,000,000	2014/03/27	2018/08/01	36.00
14880	UBS AG - GCL-Poly Energy European Warrants Jul 2014 A	70,000,000	2014/03/27	2014/07/21	10.50
14885	UBS AG - HKEx European Warrants Oct 2014 A	200,000,000	2014/03/27	2014/10/27	30.00
14873	UBS AG - HSI European Put Warrants Aug 2014 H	300,000,000	2014/03/27	2014/08/28	45.00
14879	UBS AG - Huishan Dairy European Warrants Aug 2014 B	70,000,000	2014/03/27	2014/08/14	10.50
14886	UBS AG - ICBC European Warrants Sep 2014 A	100,000,000	2014/03/27	2014/09/23	18.00
14890	UBS AG - Kingsoft European Put Warrants Sep 2014 C	50,000,000	2014/03/27	2014/09/26	16.00
14894	BOCI Asia Ltd. - China Mobile European Put Wts Oct 2014 A	100,000,000	2014/03/28	2014/10/10	25.00
14896	BOCI Asia Ltd. - Ch Overseas European Warrants Mar 2015 A	100,000,000	2014/03/28	2015/03/26	15.00
14897	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Sep 2014 A	100,000,000	2014/03/28	2014/09/08	26.00
14893	BOCI Asia Ltd. - HSCEI European Warrants Aug 2014 A	100,000,000	2014/03/28	2014/08/28	15.00
14892	BOCI Asia Ltd. - HSCEI European Put Warrants Dec 2014 A	100,000,000	2014/03/28	2014/12/30	25.00
14961	Credit Suisse AG - China Mobile European Warrants Sep 2014 B	70,000,000	2014/03/28	2014/09/30	17.50
14946	Daiwa Capital Mkt - CNOOC European Warrants Aug 2014 B	128,000,000	2014/03/28	2014/08/29	21.76
14942	Daiwa Capital Mkt - A50 China European Warrants Sep 2014 C	108,000,000	2014/03/28	2014/09/02	17.28
14945	Daiwa Capital Mkt - Hutchison European Warrants Sep 2014 C	128,000,000	2014/03/28	2014/09/15	25.60
14943	Daiwa Capital Mkt - Sands China European Warrants Sep 2014 A	98,000,000	2014/03/28	2014/09/29	34.30
14902	Bank of East Asia - Foxconn European Warrants Oct 2014 A	40,000,000	2014/03/28	2014/10/31	10.00
14928	Goldman Sachs SP (Asia) - CM Bank European Wts May 2015 A	80,000,000	2014/03/28	2015/05/26	12.24
14927	Goldman Sachs SP (Asia) - China Mobile Euro Wts Nov 2014 A	80,000,000	2014/03/28	2014/11/28	20.24
14926	Goldman Sachs SP (Asia) - Kingsoft European Wts Oct 2014 A	80,000,000	2014/03/28	2014/10/30	36.32
14898	HK Bank - China Mobile European Warrants Sep 2014 B	80,000,000	2014/03/28	2014/09/30	20.00
14900	HK Bank - HSI European Warrants Jul 2014 K	250,000,000	2014/03/28	2014/07/30	37.50
14899	HK Bank - HSI European Warrants Sep 2014 I	250,000,000	2014/03/28	2014/09/29	62.50
14901	HK Bank - HSI European Put Warrants Sep 2014 J	250,000,000	2014/03/28	2014/09/29	65.00
14949	J P Morgan SP BV - Agile Ppt European Warrants Dec 2014 A	23,000,000	2014/03/28	2014/12/29	10.83
14966	J P Morgan SP BV - China Mob European Wts Oct 2014 E	200,000,000	2014/03/28	2014/10/03	50.00

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		發行單位	上市日期	到期日	
14962	J P Morgan SP BV - Ch Overseas European Warrants Feb 2015 A	150,000,000	2014/03/28	2015/02/04	37.50
14959	J P Morgan SP BV - China Railway European Wts Dec 2014 A	40,000,000	2014/03/28	2014/12/29	10.00
14955	J P Morgan SP BV - Ch Railway Cons European Wts Dec 2014 A	40,000,000	2014/03/28	2014/12/02	21.28
14957	J P Morgan SP BV - Chi Res Power European Wts Nov 2014 A	100,000,000	2014/03/28	2014/11/04	25.00
14958	J P Morgan SP BV - China Unicom European Warrants Dec 2016 A	100,000,000	2014/03/28	2016/12/12	18.40
14952	J P Morgan SP BV - R&F Ppt European Warrants Mar 2016 A	100,000,000	2014/03/28	2016/03/01	18.50
14956	J P Morgan SP BV - Haier Elec European Warrants Oct 2014 A	100,000,000	2014/03/28	2014/10/03	25.00
14953	J P Morgan SP BV - PICC Group European Warrants Sep 2014 A	60,000,000	2014/03/28	2014/09/25	10.20
14960	J P Morgan SP BV - Wharf European Warrants Nov 2014 A	100,000,000	2014/03/28	2014/11/03	25.00
14941	Nomura Int'l plc - BOCL European Warrants Apr 2015 A	80,000,000	2014/03/28	2015/04/28	20.00
14913	Nomura Int'l plc - BYD European Warrants Oct 2014 A	80,000,000	2014/03/28	2014/10/03	28.80
14911	Nomura Int'l plc - CITIC Bank European Warrants Dec 2014 A	50,000,000	2014/03/28	2014/12/18	12.50
14910	Nomura Int'l plc - CNOOC European Warrants Oct 2014 A	80,000,000	2014/03/28	2014/10/28	20.80
14907	Nomura Int'l plc - Chi Res Power European Wts Nov 2014 A	80,000,000	2014/03/28	2014/11/18	20.00
14912	Nomura Int'l plc - Ch South Air European Warrants Dec 2014 A	50,000,000	2014/03/28	2014/12/18	12.50
14938	Nomura Int'l plc - Foxconn European Warrants Sep 2014 A	50,000,000	2014/03/28	2014/09/29	21.20
14915	Nomura Int'l plc - Foxconn European Warrants Oct 2014 A	80,000,000	2014/03/28	2014/10/28	32.80
14903	Nomura Int'l plc - HSBC European Warrants Sep 2014 A	80,000,000	2014/03/28	2014/09/29	20.80
14905	Nomura Int'l plc - Hutchison European Warrants Sep 2014 A	80,000,000	2014/03/28	2014/09/29	33.44
14933	Nomura Int'l plc - Hutchison European Warrants Oct 2014 A	50,000,000	2014/03/28	2014/10/06	12.50
14906	Nomura Int'l plc - Hutchison European Warrants Dec 2015 A	100,000,000	2014/03/28	2015/12/28	25.00
14937	Nomura Int'l plc - ICBC European Warrants Nov 2014 A	80,000,000	2014/03/28	2014/11/18	20.00
14914	Nomura Int'l plc - KWG Property European Warrants Nov 2014 A	50,000,000	2014/03/28	2014/11/28	12.50
14934	Nomura Int'l plc - Li & Fung European Warrants Oct 2014 A	50,000,000	2014/03/28	2014/10/28	26.60
14935	Nomura Int'l plc - PetroChina European Warrants Oct 2014 A	50,000,000	2014/03/28	2014/10/28	12.60
14940	Nomura Int'l plc - Zijin Mining European Warrants Mar 2015 A	50,000,000	2014/03/28	2015/03/30	12.65
14967	Standard Chartered Bank - China Mobile Euro Wts Oct 2014 B	100,000,000	2014/03/28	2014/10/10	25.00
14968	Standard Chartered Bank - China Mobile Eur Put Wt Sep 2014 C	100,000,000	2014/03/28	2014/09/29	25.00
14924	Standard Chartered Bank - ICBC European Put Wts Oct 2014 A	80,000,000	2014/03/28	2014/10/30	20.00
14925	Standard Chartered Bank - PetroChina Euro Put Wts Sep 2014 A	60,000,000	2014/03/28	2014/09/30	15.00
14929	UBS AG - AAC Acoustic European Warrants Oct 2014 A	30,000,000	2014/03/28	2014/10/20	11.40
14916	UBS AG - China Mobile European Warrants Oct 2014 C	100,000,000	2014/03/28	2014/10/31	25.00
14932	UBS AG - China Mobile European Warrants Oct 2014 D	100,000,000	2014/03/28	2014/10/10	25.00
14931	UBS AG - China Mobile European Put Warrants Sep 2014 B	100,000,000	2014/03/28	2014/09/29	28.00
14973	BOCI Asia Ltd. - CM Bank European Warrants May 2015 A	100,000,000	2014/03/31	2015/05/26	15.00
14974	BOCI Asia Ltd. - China Mobile European Warrants Oct 2014 B	100,000,000	2014/03/31	2014/10/13	25.00
14978	BNP Paribas Arbit Issu B.V. - AIA European Wts Dec 2014 C	100,000,000	2014/03/31	2014/12/02	15.00
14980	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Jan 2015 A	80,000,000	2014/03/31	2015/01/05	20.00
14975	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2014 B	100,000,000	2014/03/31	2014/11/04	26.00
14976	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Apr 2015 A	100,000,000	2014/03/31	2015/04/02	26.00
14977	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Jan 2015 A	100,000,000	2014/03/31	2015/01/05	26.00
14984	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Oct 2014 A	100,000,000	2014/03/31	2014/10/06	28.00
14979	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jan 2015 C	39,000,000	2014/03/31	2015/01/05	10.92
14981	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2014 A	100,000,000	2014/03/31	2014/10/30	19.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14982	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Oct 2014 C	100,000,000	2014/03/31	2014/10/06	15.00
14983	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Sep 2014 B	100,000,000	2014/03/31	2014/09/02	19.00
14990	Credit Suisse AG - China Mobile European Put Wts Oct 2014 A	60,000,000	2014/03/31	2014/10/03	15.00
14987	Daiwa Capital Mkt - Hutchison European Warrants Aug 2014 A	128,000,000	2014/03/31	2014/08/01	44.80
14985	Daiwa Capital Mkt - Tencent Holdings European Wts Oct 2014 A	158,000,000	2014/03/31	2014/10/03	48.98
14986	Daiwa Capital Mkt - Tencent Holdings European Wts Nov 2014 B	158,000,000	2014/03/31	2014/11/03	42.66
14970	HK Bank - Anhui Conch European Warrants Sep 2014 A	60,000,000	2014/03/31	2014/09/30	15.00
14969	HK Bank - CITIC Bank European Warrants Sep 2014 A	160,000,000	2014/03/31	2014/09/30	40.00
14988	Standard Chartered Bank - China Shenhua Euro Wts Jun 2015 A	100,000,000	2014/03/31	2015/06/24	25.00
14991	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2014 B	60,000,000	2014/03/31	2014/10/06	15.00
14971	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Ja15A	50,000,000	2014/03/31	2015/01/05	14.50
14993	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Oct 2014A	60,000,000	2014/03/31	2014/10/06	14.70
14998	UBS AG - Galaxy Ent European Put Warrants Aug 2014 C	40,000,000	2014/03/31	2014/08/26	11.60
14996	UBS AG - Galaxy Ent European Put Warrants Sep 2014 A	40,000,000	2014/03/31	2014/09/30	28.80
14999	UBS AG - Hutchison European Warrants Aug 2014 A	100,000,000	2014/03/31	2014/08/21	25.50
15000	UBS AG - Hutchison European Warrants Dec 2015 A	300,000,000	2014/03/31	2015/12/28	46.50
15001	UBS AG - Hutchison European Warrants Jun 2017 A	300,000,000	2014/03/31	2017/06/26	51.00
14995	UBS AG - Sands China European Put Warrants Sep 2014 B	40,000,000	2014/03/31	2014/09/30	20.40
總額					51,909.16

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2013	第1季	–	585.65
	第2季	–	1,818.61
	第3季	–	1,171.98
	第4季	–	886.67
2014	第1季	–	695.99

債券面值

		發行數目	面值(百萬港元)
2013	第1季	299	960,682.29
	第2季	355	1,185,676.77
	第3季	367	1,212,064.53
	第4季	403	1,378,794.08
2014	第1季	456	1,541,903.06

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2013	第1季	37	113,959.19
	第2季	69	237,646.45
	第3季	16	34,683.76
	第4季	48	190,108.73
2014	第1季	62	175,574.56

主板 債務證券

新上市債券

2014年第1季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
06006	合景泰富地產控股有限公司8.975%優先票據2019年	USD	600,000,000	2014/01/15	2019/01/14	4,680.00
06007	Bank of Communications Co., Ltd. HK Branch 2.125% 票據2017年	USD	700,000,000	2014/01/16	2017/01/15	5,438.59
85939	坤智有限公司5.875%有擔保人民幣債券2017年	RMB	1,200,000,000	2014/01/16	2017/01/15	1,540.20
05970#	五洲國際控股有限公司13.75%優先票據2018年	USD	100,000,000	2014/01/16	2018/09/26	780.00
85940	中國電子集團控股有限公司4.7%人民幣債券2017年	RMB	2,750,000,000	2014/01/17	2017/01/16	3,526.88
85942	中國銀行股份有限公司3.45%人民幣票據2017年	RMB	2,500,000,000	2014/01/17	2017/01/16	3,206.25
85941	Agricultural Development Bank of China 3.08% 人民幣債券2016年	RMB	2,000,000,000	2014/01/17	2016/01/16	2,565.00
85943	Agricultural Development Bank of China 3.28% 人民幣債券2017年	RMB	1,000,000,000	2014/01/17	2017/01/16	1,282.50
04215#	中華人民共和國香港特別行政區1.10%債券2023年	HKD	1,000,000,000	2014/01/17	2023/01/17	866.80
06008	香港按揭證券有限公司浮息票據2015年	GBP	50,000,000	2014/01/20	2015/01/17	634.00
06011	中國奧園地產集團股份有限公司11.25%優先票據2019年	USD	300,000,000	2014/01/20	2019/01/17	2,322.54
85939#	坤智有限公司5.875%有擔保人民幣債券2017年	RMB	800,000,000	2014/01/21	2017/01/15	1,037.50
85944	Starway Assets Enterprises Inc. 4.1%有擔保人民幣債券2017年	RMB	2,500,000,000	2014/01/23	2017/01/22	3,205.00
06012	Ch Overseas Grand Oceans Finance II 5.125% 有擔保票據2019年	USD	400,000,000	2014/01/24	2019/01/23	3,089.96
06013	Wharf Finance Ltd. 3.5%有擔保票據2019年	USD	400,000,000	2014/01/24	2019/01/23	3,101.87
85945	Greenland Hong Kong Holdings Ltd. 5.5% CNY Bonds 2018	RMB	1,500,000,000	2014/01/24	2018/01/23	1,923.00
06009	中國銀行股份有限公司2.125%票據2017年	USD	750,000,000	2014/01/24	2017/01/23	5,841.05
06010	中國銀行股份有限公司3.125%票據2019年	USD	500,000,000	2014/01/24	2019/01/23	3,881.40
06014	禹洲地產股份有限公司8.625%優先票據2019年	USD	300,000,000	2014/01/27	2019/01/24	2,340.00
06016	旭輝控股(集團)有限公司8.875%優先票據2019年	USD	200,000,000	2014/01/28	2019/01/27	1,552.90
06020	China Shipping Overseas Fin 4.25%信用增強債券2019年	USD	500,000,000	2014/01/29	2019/01/28	3,882.30
06019	香港按揭證券有限公司浮動利率票據2015年B	EUR	50,000,000	2014/01/29	2015/01/28	530.97
06015	月慧環球有限公司次級永久資本證券	USD	500,000,000	2014/01/29	-	3,900.00
06018	Towngas (Finance) Ltd. 永久次級有擔保資本證券	USD	300,000,000	2014/01/29	-	2,340.00
06021	Dah Sing Bank Ltd. 二級資本後償票據2024年	USD	225,000,000	2014/01/30	2024/01/29	1,740.80
85946	Universal Number One Co Ltd 5.7%有擔保 有抵押人民幣債券2017年	RMB	1,000,000,000	2014/01/30	2017/01/29	1,283.00
06023	Wanda Properties International Co. Ltd. 7.25% 有擔保債券2024年	USD	600,000,000	2014/01/30	2024/01/29	4,634.89
06017	Ch Overseas Fin Inv 零息有擔保可交換債券2021年	USD	750,000,000	2014/02/05	2021/02/04	5,850.00
06025	MTR Corporation (C.I.) Ltd. 5.7%票據2029年	AUD	50,000,000	2014/02/10	2029/02/07	343.87
06022	海天國際控股有限公司2%可換股債券2019年	USD	200,000,000	2014/02/14	2019/02/13	1,560.00
85947	Central Plaza Development Ltd. 5.75%有擔保 人民幣票據2017年	RMB	2,000,000,000	2014/02/18	2017/02/17	2,556.60
85948	Central Plaza Development Ltd. 6.875%有擔保 人民幣票據2019年	RMB	250,000,000	2014/02/18	2019/02/17	319.58
04047	香港金融管理局0.38%外匯基金票據2016年	HKD	1,200,000,000	2014/02/18	2016/02/17	1,200.12
06026	雅居樂地產控股有限公司8.375%優先票據2019	USD	500,000,000	2014/02/19	2019/02/18	3,880.46
04219	中華人民共和國香港特別行政區1.47%債券2019年	HKD	3,000,000,000	2014/02/21	2019/02/20	2,969.40
06024	合生元國際控股有限公司零息可換股債券2019年	HKD	2,500,000,000	2014/02/21	2019/02/20	2,500.00
06024#	合生元國際控股有限公司零息可換股債券2019年	HKD	600,000,000	2014/02/21	2019/02/20	600.00
06027	SHK Ppt (Capital Market) Ltd. 有擔保票據2024年	USD	400,000,000	2014/02/26	2024/02/25	3,094.20
06028	Jingneng Inv Group Co., Ltd. 2.75%信用增強債券2017年	USD	300,000,000	2014/02/27	2017/02/26	2,336.40
06029	NWD (MTN) Ltd. 5.25%有擔保票據2021年	USD	750,000,000	2014/02/27	2021/02/26	5,832.74

主板 債務證券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
05700	華潤置地有限公司4.375%票據2019年	USD	400,000,000	2014/02/28	2019/02/27	3,112.92
05701	華潤置地有限公司6%票據2024年	USD	700,000,000	2014/02/28	2024/02/27	5,460.00
85949	中信銀行股份有限公司4.125%人民幣債券2017年	RMB	1,500,000,000	2014/02/28	2017/02/27	1,900.50
85950	雅居樂地產控股有限公司6.5%優先人民幣票據2017年	RMB	2,000,000,000	2014/03/03	2017/02/28	2,516.02
05702	Chalico HK Corporation Ltd. 優先擔保永久資本證券	USD	300,000,000	2014/03/03	-	2,340.00
04048	香港金融管理局2.48%外匯基金票據2029年	HKD	600,000,000	2014/03/03	2029/02/28	602.76
05703	香港按揭證券有限公司浮息票據2016年	AUD	34,000,000	2014/03/04	2016/03/03	234.92
05704	香港按揭證券有限公司浮息票據2017年	AUD	34,000,000	2014/03/04	2017/03/03	234.92
05706	Horsepower Finance Ltd. 2.1%有擔保票據2017年	USD	650,000,000	2014/03/04	2017/03/03	5,036.39
05705	遠東宏信有限公司4.625%票據2017年	USD	400,000,000	2014/03/07	2017/03/06	3,117.85
05709	香港按揭證券有限公司浮息票據2015年A	GBP	75,000,000	2014/03/11	2015/03/10	974.06
04217 #	中華人民共和國香港特別行政區0.34%債券2016年	HKD	3,500,000,000	2014/03/11	2016/05/09	3,474.80
05707	友邦保險控股有限公司2.25%票據2019年	USD	500,000,000	2014/03/12	2019/03/11	3,885.73
05708	友邦保險控股有限公司4.875%票據2044年	USD	500,000,000	2014/03/12	2044/03/11	3,839.55
05710	香港按揭證券有限公司浮息票據2015年B	GBP	50,000,000	2014/03/12	2015/03/11	645.75
05711	香港按揭證券有限公司浮息票據2015年C	GBP	50,000,000	2014/03/12	2015/03/11	645.75
85952	China Construction Bank (Asia) Corp Ltd. 3.25% 人民幣票據2016	RMB	4,000,000,000	2014/03/14	2016/03/13	5,054.00
85953	Eastern Air Overseas (HK) Corp Ltd. 4.8%有擔保 人民幣債券2017	RMB	2,500,000,000	2014/03/14	2017/03/13	3,158.75
05700 #	華潤置地有限公司4.375%票據2019年	USD	50,000,000	2014/03/19	2019/02/27	391.95
05713	Franshion Brilliant Ltd. 5.75%有擔保優先票據2019年	USD	500,000,000	2014/03/20	2019/03/19	3,900.00
85955	ICBC, Sydney Branch 4.2%人民幣票據2024年	RMB	550,000,000	2014/03/20	2024/02/27	689.87
85954	交通銀行股份有限公司3.3%人民幣票據2016年	RMB	1,500,000,000	2014/03/21	2016/03/20	1,880.25
85951	China Development Bank Corporation 3.35%人民幣票據2017年	RMB	1,000,000,000	2014/03/21	2017/03/20	1,253.50
05714	Eastern Creation Inv. Holdings Ltd. 3.625%有擔保票據2019年	USD	300,000,000	2014/03/21	2019/03/20	2,331.53
05983 #	永利澳門有限公司5.25%優先票據2021年	USD	750,000,000	2014/03/21	2021/10/15	5,893.88
05712	時代地產控股有限公司12.625%優先票據2019年	USD	225,000,000	2014/03/24	2019/03/21	1,742.33
04049	香港金融管理局1.35%外匯基金票據2019年	HKD	1,000,000,000	2014/03/25	2019/03/25	995.70
04025 #	香港金融管理局0.55%外匯基金票據2017年	HKD	1,200,000,000	2014/03/25	2017/03/20	1,190.16
05715	IFC Development (Corp. Treasury) Ltd. 3.4%有擔保票據2020年	HKD	2,500,000,000	2014/03/27	2020/03/26	2,500.00
05716	ASM Pacific Technology Ltd. 2.00%可換股債券2019年	HKD	2,400,000,000	2014/03/31	2019/03/28	2,400.00
總額					175,574.56	

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產 投資信託 基金(REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2013	第1季	309,073.10	22,090.25	84.66	331,248.01	7.57
	第2季	232,045.35	27,829.00	41.10	259,915.45	6.98
	第3季	187,460.35	19,308.78	32.94	206,802.07	5.98
	第4季	174,554.43	13,129.54	37.59	187,721.56	5.17
2014	第1季	166,595.89	12,531.06	52.35	179,179.30	4.37

註：成交金額包括非港元交易買賣的證券。

單位信託及互惠基金市值

		交易所 買賣基金 (ETF)		房地產 投資信託 基金(REIT)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2013	第1季	100	808,762.75	9	188,509.03	2	1,445.61	111	998,717.39
	第2季	110	577,695.30	9	174,766.41	2	1,290.35	121	753,752.05
	第3季	113	592,893.27	10	175,966.45	2	1,319.72	125	770,179.44
	第4季	116	538,892.05	11	177,310.63	2	1,376.37	129	717,579.05
2014	第1季	119	539,592.84	11	175,108.28	1	1,246.28	131	715,947.41

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2013	第1季	–	–
	第2季	10	–
	第3季	4	1,650.50
	第4季	4	1,674.50
2014	第1季	3	–

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2014年第1季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83199/ 03199	南方東英中國五年期國債ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2014/02/19
82811/ 02811	海通滬深300指數ETF	海通資產管理(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2014/03/07
82808/ 02808	易方達花旗中國國債5-10年期指數ETF	易方達資產管理(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2014/03/14

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2013	第1季	1,276	45,190.12	355,912.23
	第2季	1,222	52,676.48	326,008.67
	第3季	1,596	68,940.37	330,779.62
	第4季	1,620	68,388.12	256,527.75
2014	第1季	1,799	59,586.41	310,519.71

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2013	第1季	1,922	100,336.26
	第2季	2,415	132,125.62
	第3季	2,455	137,493.48
	第4季	2,156	112,648.01
2014	第1季	3,115	146,272.13

主板 可收回牛熊證

新上市牛熊證

2014年第1季

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額(百萬港元)
65168	Credit Suisse AG - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/01/02	2014/11/27	75.00
65176	Credit Suisse AG - HSI R Bull CBBC Nov 2014 K	300,000,000	2014/01/02	2014/11/27	75.00
65236	Credit Suisse AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/01/02	2014/11/27	75.00
65237	Credit Suisse AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/01/02	2014/11/27	75.00
65238	Credit Suisse AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/01/02	2014/11/27	75.00
65184	Credit Suisse AG - HSI R Bear CBBC May 2014 M	300,000,000	2014/01/02	2014/05/29	75.00
65188	Credit Suisse AG - HSI R Bear CBBC May 2014 N	300,000,000	2014/01/02	2014/05/29	75.00
65189	Credit Suisse AG - HSI R Bear CBBC May 2014 O	300,000,000	2014/01/02	2014/05/29	75.00
65180	Credit Suisse AG - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/01/02	2014/08/28	75.00
65181	Credit Suisse AG - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/01/02	2014/08/28	75.00
65254	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jul14A	80,000,000	2014/01/02	2014/07/02	20.00
65258	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jul14B	80,000,000	2014/01/02	2014/07/02	20.00
65100	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Jul14A	100,000,000	2014/01/02	2014/07/10	25.00
65087	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Aug14A	100,000,000	2014/01/02	2014/08/08	25.00
65103	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Jul14A	100,000,000	2014/01/02	2014/07/10	25.00
65105	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Aug14A	100,000,000	2014/01/02	2014/08/08	25.00
65080	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Aug 14 A	100,000,000	2014/01/02	2014/08/08	25.00
65074	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Jul 14 A	100,000,000	2014/01/02	2014/07/10	25.00
65084	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Jul 14 B	100,000,000	2014/01/02	2014/07/10	25.00
65081	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Aug 14 A	100,000,000	2014/01/02	2014/08/08	25.00
65241	Citigroup Global Mkt H Inc. - HKEEx R Bull CBBC Jun 2014 B	50,000,000	2014/01/02	2014/06/16	12.50
65242	Citigroup Global Mkt H Inc. - HKEEx R Bear CBBC Jun 2014 C	50,000,000	2014/01/02	2014/06/16	12.50
65250	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Jul 2014 A	80,000,000	2014/01/02	2014/07/02	20.00
65252	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Jul 2014 B	80,000,000	2014/01/02	2014/07/02	20.00
65243	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Aug14A	60,000,000	2014/01/02	2014/08/18	15.00
65244	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Aug14A	60,000,000	2014/01/02	2014/08/18	15.00
65247	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Aug14B	60,000,000	2014/01/02	2014/08/18	15.00
65111	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 A	150,000,000	2014/01/02	2014/04/29	37.65
65239	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 B	150,000,000	2014/01/02	2014/04/29	37.50
65240	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 C	150,000,000	2014/01/02	2014/04/29	37.95
65106	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 A	150,000,000	2014/01/02	2014/04/29	37.50
65122	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 B	150,000,000	2014/01/02	2014/04/29	37.65
65145	HK Bank - China Life R Bear CBBC Nov 2014 B	50,000,000	2014/01/02	2014/11/10	12.50
65149	HK Bank - A50 R Bull CBBC Nov 2014 C	200,000,000	2014/01/02	2014/11/13	50.00
65159	HK Bank - A50 R Bull CBBC Jan 2015 A	200,000,000	2014/01/02	2015/01/26	50.00
65158	HK Bank - A50 R Bear CBBC Nov 2014 A	50,000,000	2014/01/02	2014/11/10	12.50
65124	HK Bank - Galaxy Ent R Bear CBBC Oct 2014 C	40,000,000	2014/01/02	2014/10/27	10.00
65160	HK Bank - HSI R Bull CBBC Jul 2014 N	100,000,000	2014/01/02	2014/07/30	25.00
65161	HK Bank - HSI R Bear CBBC Jul 2014 L	100,000,000	2014/01/02	2014/07/30	25.00
65126	HK Bank - Ping An R Bear CBBC Nov 2014 A	40,000,000	2014/01/02	2014/11/10	10.00
65152	HK Bank - Sands China R Bear CBBC Nov 2014 B	50,000,000	2014/01/02	2014/11/17	12.50
65206	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/01/02	2014/10/30	75.00
65207	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/01/02	2014/11/27	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65208	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 F	100,000,000	2014/01/02	2014/11/27	25.00
65209	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14C	200,000,000	2014/01/02	2014/06/27	50.00
65190	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14L	200,000,000	2014/01/02	2014/04/29	50.00
65200	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14M	200,000,000	2014/01/02	2014/04/29	50.00
65203	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14N	200,000,000	2014/01/02	2014/04/29	50.00
65216	UBS AG - China Mobile R Bull CBBC Oct 2014 D	100,000,000	2014/01/02	2014/10/20	25.00
65225	UBS AG - CSOP A50 ETF R Bull CBBC Jul 2014 B	100,000,000	2014/01/02	2014/07/07	25.00
65217	UBS AG - A50 China R Bull CBBC Dec 2014 A	100,000,000	2014/01/02	2014/12/29	25.00
65220	UBS AG - A50 China R Bull CBBC Dec 2014 B	100,000,000	2014/01/02	2014/12/22	25.00
65233	UBS AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/01/02	2014/09/29	75.00
65226	UBS AG - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/01/02	2014/12/30	75.00
65204	UBS AG - HSI R Bear CBBC May 2014 I	300,000,000	2014/01/02	2014/05/29	75.00
65205	UBS AG - HSI R Bear CBBC May 2014 J	350,000,000	2014/01/02	2014/05/29	87.50
65293	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 Q	300,000,000	2014/01/03	2014/09/29	75.00
65294	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 R	300,000,000	2014/01/03	2014/09/29	75.00
65287	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 P	300,000,000	2014/01/03	2014/06/27	75.00
65291	Credit Suisse AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2014/01/03	2014/09/29	75.00
65288	Credit Suisse AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2014/01/03	2014/09/29	75.00
65289	Credit Suisse AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/01/03	2014/09/29	75.00
65280	Credit Suisse AG - HSI R Bear CBBC Jun 2014 D	300,000,000	2014/01/03	2014/06/27	75.00
65281	Credit Suisse AG - HSI R Bear CBBC Jun 2014 E	300,000,000	2014/01/03	2014/06/27	75.00
65282	Credit Suisse AG - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/01/03	2014/06/27	75.00
65297	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 J	300,000,000	2014/01/03	2014/09/29	75.00
65298	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Y	300,000,000	2014/01/03	2014/10/30	75.00
65274	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 V	300,000,000	2014/01/03	2014/04/29	75.00
65276	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 W	300,000,000	2014/01/03	2014/04/29	75.00
65296	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 X	300,000,000	2014/01/03	2014/04/29	75.00
65267	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 O	100,000,000	2014/01/03	2014/06/27	25.00
65273	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 14H	200,000,000	2014/01/03	2014/07/30	50.00
65295	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 14Q	200,000,000	2014/01/03	2014/07/30	50.00
65292	UBS AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/01/03	2014/10/30	75.00
65283	UBS AG - HSI R Bear CBBC Apr 2014 T	300,000,000	2014/01/03	2014/04/29	75.00
65284	UBS AG - HSI R Bear CBBC May 2014 K	350,000,000	2014/01/03	2014/05/29	87.50
65286	UBS AG - Ping An R Bear CBBC Apr 2014 E	100,000,000	2014/01/03	2014/04/14	25.00
63373 #	HK Bank - HSI R Bear CBBC Jul 2014 A	200,000,000	2014/01/03	2014/07/30	37.80
65349	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/01/06	2014/09/29	75.00
65329	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/01/06	2014/06/27	75.00
65355	Credit Suisse AG - HSI R Bull CBBC Jul 2014 O	300,000,000	2014/01/06	2014/07/30	75.00
65350	Credit Suisse AG - HSI R Bull CBBC Jul 2014 Q	300,000,000	2014/01/06	2014/07/30	75.00
65352	Credit Suisse AG - HSI R Bull CBBC Jul 2014 R	300,000,000	2014/01/06	2014/07/30	75.00
65328	Credit Suisse AG - HSI R Bear CBBC Apr 2014 H	300,000,000	2014/01/06	2014/04/29	75.00
65324	Credit Suisse AG - HSI R Bear CBBC Apr 2014 M	300,000,000	2014/01/06	2014/04/29	75.00
65361	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 A	150,000,000	2014/01/06	2014/05/29	39.15
65362	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 B	150,000,000	2014/01/06	2014/05/29	38.85
65363	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 C	150,000,000	2014/01/06	2014/05/29	37.95

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65370	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 D	150,000,000	2014/01/06	2014/05/29	38.40
65375	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 E	150,000,000	2014/01/06	2014/05/29	38.40
65305	HK Bank - ABC R Bear CBBC Oct 2014 A	40,000,000	2014/01/06	2014/10/27	10.00
65302	HK Bank - COVS R Bear CBBC Nov 2014 A	40,000,000	2014/01/06	2014/11/25	11.20
65303	HK Bank - Cheung Kong R Bear CBBC Nov 2014 A	50,000,000	2014/01/06	2014/11/24	12.50
65300	HK Bank - HSCEI R Bull CBBC Jul 2014 F	100,000,000	2014/01/06	2014/07/30	25.00
65316	HK Bank - HSCEI R Bull CBBC Sep 2014 B	100,000,000	2014/01/06	2014/09/29	25.00
65299	HK Bank - HSCEI R Bull CBBC Oct 2014 A	100,000,000	2014/01/06	2014/10/30	25.00
65310	HK Bank - HSI R Bull CBBC Aug 2014 J	100,000,000	2014/01/06	2014/08/28	25.00
65309	HK Bank - HSI R Bull CBBC Sep 2014 D	100,000,000	2014/01/06	2014/09/29	25.00
65308	HK Bank - ICBC R Bear CBBC Sep 2014 A	40,000,000	2014/01/06	2014/09/29	10.00
65306	HK Bank - ICBC R Bear CBBC Oct 2014 B	40,000,000	2014/01/06	2014/10/27	10.00
65379	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 K	300,000,000	2014/01/06	2014/09/29	75.00
65376	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 R	300,000,000	2014/01/06	2014/11/27	75.00
65348	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 Y	300,000,000	2014/01/06	2014/04/29	75.00
65342	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 14I	200,000,000	2014/01/06	2014/06/27	50.00
65341	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 14O	200,000,000	2014/01/06	2014/06/27	50.00
65336	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 14O	200,000,000	2014/01/06	2014/04/29	50.00
65317	UBS AG - HSCEI R Bull CBBC Sep 2014 D	100,000,000	2014/01/06	2014/09/29	25.00
65360	UBS AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/01/06	2014/09/29	75.00
65358	UBS AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/01/06	2014/12/30	75.00
65321	UBS AG - HSI R Bear CBBC Apr 2014 X	300,000,000	2014/01/06	2014/04/29	75.00
65318	UBS AG - HSI R Bear CBBC May 2014 L	300,000,000	2014/01/06	2014/05/29	75.00
65408	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/01/07	2014/06/27	75.00
65416	Credit Suisse AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2014/01/07	2014/09/29	75.00
65417	Credit Suisse AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2014/01/07	2014/09/29	75.00
65409	Credit Suisse AG - HSI R Bear CBBC May 2014 P	300,000,000	2014/01/07	2014/05/29	75.00
65410	Credit Suisse AG - HSI R Bear CBBC May 2014 Q	300,000,000	2014/01/07	2014/05/29	75.00
65434	Credit Suisse AG - HSI R Bear CBBC May 2014 R	300,000,000	2014/01/07	2014/05/29	75.00
65397	HK Bank - China Life R Bull CBBC Aug 2014 B	60,000,000	2014/01/07	2014/08/18	15.00
65395	HK Bank - CNBM R Bear CBBC Oct 2014 B	50,000,000	2014/01/07	2014/10/27	12.50
65386	HK Bank - ICBC R Bull CBBC Oct 2014 A	80,000,000	2014/01/07	2014/10/13	20.00
65385	HK Bank - ICBC R Bull CBBC Dec 2014 A	80,000,000	2014/01/07	2014/12/01	20.00
65398	HK Bank - TCH R Bull CBBC Sep 2014 I	50,000,000	2014/01/07	2014/09/08	14.00
65438	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/01/07	2014/11/27	75.00
65435	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 Z	300,000,000	2014/01/07	2014/04/29	75.00
65380	Standard Chartered Bank - A50 China R Bull CBBC Jun 2014 A	100,000,000	2014/01/07	2014/06/27	25.00
65381	Standard Chartered Bank - A50 China R Bear CBBC Jun 2014 A	100,000,000	2014/01/07	2014/06/27	25.00
65407	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 G	100,000,000	2014/01/07	2014/11/27	25.00
65415	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 H	100,000,000	2014/01/07	2014/11/27	25.00
65405	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 P	100,000,000	2014/01/07	2014/06/27	25.00
65401	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 14R	200,000,000	2014/01/07	2014/07/30	50.00
65403	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 14P	200,000,000	2014/01/07	2014/04/29	50.00
65418	UBS AG - China Life R Bear CBBC Apr 2014 B	100,000,000	2014/01/07	2014/04/07	25.00
65419	UBS AG - A50 China R Bear CBBC May 2014 C	100,000,000	2014/01/07	2014/05/19	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65432	UBS AG - HSI R Bull CBBC Jun 2014 X	350,000,000	2014/01/07	2014/06/27	87.50
65431	UBS AG - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/01/07	2014/12/30	75.00
65413	UBS AG - HSI R Bear CBBC Apr 2014 F	300,000,000	2014/01/07	2014/04/29	75.00
65414	UBS AG - HSI R Bear CBBC May 2014 M	300,000,000	2014/01/07	2014/05/29	75.00
65423	UBS AG - Sands China R Bull CBBC Aug 2014 D	100,000,000	2014/01/07	2014/08/04	25.00
65426	UBS AG - TCH R Bull CBBC Jun 2014 O	50,000,000	2014/01/07	2014/06/18	15.00
65420	UBS AG - TCH R Bear CBBC Apr 2014 D	30,000,000	2014/01/07	2014/04/28	33.00
65472	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 T	300,000,000	2014/01/08	2014/09/29	75.00
65476	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 U	300,000,000	2014/01/08	2014/09/29	75.00
65468	Credit Suisse AG - HSI R Bull CBBC Oct 2014 B	300,000,000	2014/01/08	2014/10/30	75.00
65463	Credit Suisse AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/01/08	2014/10/30	75.00
65452	Credit Suisse AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/01/08	2014/05/29	75.00
65461	Credit Suisse AG - HSI R Bear CBBC May 2014 T	300,000,000	2014/01/08	2014/05/29	75.00
65488	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 M	300,000,000	2014/01/08	2014/09/29	75.00
65486	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 D	300,000,000	2014/01/08	2014/04/29	75.00
65441	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 Q	200,000,000	2014/01/08	2014/04/29	50.00
65481	UBS AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/01/08	2014/12/30	75.00
65448	UBS AG - HSI R Bear CBBC Apr 2014 D	300,000,000	2014/01/08	2014/04/29	75.00
65450	UBS AG - HSI R Bear CBBC May 2014 N	350,000,000	2014/01/08	2014/05/29	87.50
65504	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/01/09	2014/06/27	75.00
65518	Credit Suisse AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2014/01/09	2014/08/28	75.00
65579	Credit Suisse AG - HSI R Bull CBBC Aug 2014 R	300,000,000	2014/01/09	2014/08/28	75.00
65562	Credit Suisse AG - HSI R Bear CBBC Jun 2014 G	300,000,000	2014/01/09	2014/06/27	75.00
65563	Credit Suisse AG - HSI R Bear CBBC Jun 2014 H	300,000,000	2014/01/09	2014/06/27	75.00
65522	HK Bank - AIA R Bull CBBC Oct 2014 A	60,000,000	2014/01/09	2014/10/24	21.00
65521	HK Bank - China Mobile R Bull CBBC Oct 2014 E	120,000,000	2014/01/09	2014/10/17	30.00
65528	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 B	50,000,000	2014/01/09	2014/10/24	12.50
65533	HK Bank - HKEx R Bear CBBC Oct 2014 A	50,000,000	2014/01/09	2014/10/17	12.50
65498	HK Bank - HSI R Bull CBBC Sep 2014 E	100,000,000	2014/01/09	2014/09/29	25.00
65499	HK Bank - HSI R Bull CBBC Sep 2014 F	100,000,000	2014/01/09	2014/09/29	25.00
65501	HK Bank - HSI R Bear CBBC Oct 2014 A	100,000,000	2014/01/09	2014/10/30	25.00
65529	HK Bank - Ping An R Bull CBBC Oct 2014 A	60,000,000	2014/01/09	2014/10/24	15.00
65519	HK Bank - TCH R Bull CBBC Aug 2014 F	50,000,000	2014/01/09	2014/08/15	17.50
65520	HK Bank - TCH R Bull CBBC Aug 2014 G	50,000,000	2014/01/09	2014/08/15	12.50
65586	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 N	300,000,000	2014/01/09	2014/09/29	75.00
65580	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 B	300,000,000	2014/01/09	2014/10/30	75.00
65582	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 N	300,000,000	2014/01/09	2014/04/29	75.00
65566	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 A	100,000,000	2014/01/09	2014/09/29	25.00
65567	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 A	100,000,000	2014/01/09	2014/09/29	25.00
65589	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 I	100,000,000	2014/01/09	2014/11/27	25.00
65515	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 Q	100,000,000	2014/01/09	2014/06/27	25.00
65516	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 R	100,000,000	2014/01/09	2014/06/27	25.00
65534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 R	200,000,000	2014/01/09	2014/04/29	50.00
65551	UBS AG - China Mobile R Bull CBBC Oct 2014 E	100,000,000	2014/01/09	2014/10/13	25.00
65548	UBS AG - China Life R Bull CBBC Jul 2014 G	50,000,000	2014/01/09	2014/07/21	14.25

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65550	UBS AG - Minsheng Bank R Bull CBBC Oct 2014 A	50,000,000	2014/01/09	2014/10/13	12.50
65544	UBS AG - CSOP A50 ETF R Bear CBBC May 2014 A	50,000,000	2014/01/09	2014/05/26	12.50
65559	UBS AG - Galaxy Ent R Bull CBBC Aug 2014 A	100,000,000	2014/01/09	2014/08/18	25.00
65561	UBS AG - HSBC R Bear CBBC Jul 2014 A	50,000,000	2014/01/09	2014/07/07	12.50
65542	UBS AG - HSCEI R Bear CBBC May 2014 E	100,000,000	2014/01/09	2014/05/29	25.00
65569	UBS AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/01/09	2014/10/30	75.00
65541	UBS AG - HSI R Bear CBBC Apr 2014 E	300,000,000	2014/01/09	2014/04/29	75.00
65539	UBS AG - HSI R Bear CBBC May 2014 O	300,000,000	2014/01/09	2014/05/29	75.00
65568	UBS AG - HSI R Bear CBBC Jun 2014 C	350,000,000	2014/01/09	2014/06/27	87.50
65560	UBS AG - Hutchison R Bull CBBC Sep 2014 A	50,000,000	2014/01/09	2014/09/22	12.50
65558	UBS AG - Kunlun Energy R Bull CBBC Jun 2014 C	50,000,000	2014/01/09	2014/06/30	12.50
65549	UBS AG - Ping An R Bull CBBC Oct 2014 D	100,000,000	2014/01/09	2014/10/06	25.00
65556	UBS AG - TCH R Bull CBBC Aug 2014 B	30,000,000	2014/01/09	2014/08/11	13.35
62507 #	HK Bank - HSI R Bull CBBC Mar 2014 F	300,000,000	2014/01/09	2014/03/28	11.70
65744	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 D	200,000,000	2014/01/10	2014/12/30	50.00
65746	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Dec 2014 A	200,000,000	2014/01/10	2014/12/30	50.00
65631	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 V	300,000,000	2014/01/10	2014/09/29	75.00
65632	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/01/10	2014/09/29	75.00
65633	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 X	300,000,000	2014/01/10	2014/09/29	75.00
65747	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 Y	300,000,000	2014/01/10	2014/09/29	75.00
65731	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 T	300,000,000	2014/01/10	2014/06/27	75.00
65733	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 U	300,000,000	2014/01/10	2014/06/27	75.00
65801	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 V	300,000,000	2014/01/10	2014/06/27	75.00
65802	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 W	300,000,000	2014/01/10	2014/06/27	75.00
65644	Credit Suisse AG - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/01/10	2014/11/27	75.00
65650	Credit Suisse AG - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/01/10	2014/11/27	75.00
65656	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/01/10	2014/11/27	75.00
65657	Credit Suisse AG - HSI R Bull CBBC Nov 2014 R	300,000,000	2014/01/10	2014/11/27	75.00
65726	Credit Suisse AG - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/01/10	2014/11/27	75.00
65790	Credit Suisse AG - HSI R Bear CBBC Apr 2014 I	300,000,000	2014/01/10	2014/04/29	75.00
65791	Credit Suisse AG - HSI R Bear CBBC Apr 2014 J	300,000,000	2014/01/10	2014/04/29	75.00
65728	Credit Suisse AG - HSI R Bear CBBC Apr 2014 L	300,000,000	2014/01/10	2014/04/29	75.00
65786	Credit Suisse AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2014/01/10	2014/04/29	75.00
65800	Credit Suisse AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2014/01/10	2014/04/29	75.00
65811	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 A	150,000,000	2014/01/10	2014/06/27	37.80
65812	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 B	150,000,000	2014/01/10	2014/06/27	38.10
65813	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 C	150,000,000	2014/01/10	2014/06/27	38.25
65681	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 D	150,000,000	2014/01/10	2014/06/27	37.95
65682	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 E	150,000,000	2014/01/10	2014/06/27	38.10
65685	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 F	150,000,000	2014/01/10	2014/06/27	38.10
65690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 G	150,000,000	2014/01/10	2014/06/27	38.40
65693	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 H	150,000,000	2014/01/10	2014/06/27	38.55
65599	HK Bank - Cheung Kong R Bull CBBC Oct 2014 B	60,000,000	2014/01/10	2014/10/15	15.00
65597	HK Bank - CNOOC R Bull CBBC Oct 2014 B	60,000,000	2014/01/10	2014/10/15	15.00
65608	HK Bank - A50 R Bear CBBC Sep 2014 B	200,000,000	2014/01/10	2014/09/19	50.00

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65667	HK Bank - HSCEI R Bear CBBC Jul 2014 D	68,000,000	2014/01/10	2014/07/30	17.00
65668	HK Bank - HSCEI R Bear CBBC Jul 2014 E	68,000,000	2014/01/10	2014/07/30	17.00
65671	HK Bank - HSCEI R Bear CBBC Jul 2014 F	68,000,000	2014/01/10	2014/07/30	17.00
65678	HK Bank - HSI R Bear CBBC Jul 2014 M	100,000,000	2014/01/10	2014/07/30	25.00
65679	HK Bank - HSI R Bear CBBC Jul 2014 N	100,000,000	2014/01/10	2014/07/30	25.00
65680	HK Bank - HSI R Bear CBBC Jul 2014 O	100,000,000	2014/01/10	2014/07/30	25.00
65674	HK Bank - HSI R Bear CBBC Aug 2014 I	100,000,000	2014/01/10	2014/08/28	25.00
65675	HK Bank - HSI R Bear CBBC Aug 2014 J	100,000,000	2014/01/10	2014/08/28	25.00
65673	HK Bank - HSI R Bear CBBC Sep 2014 F	100,000,000	2014/01/10	2014/09/29	25.00
65607	HK Bank - Hutchison R Bull CBBC Oct 2014 C	60,000,000	2014/01/10	2014/10/16	15.00
65613	HK Bank - Lenovo R Bull CBBC Sep 2014 B	60,000,000	2014/01/10	2014/09/15	15.00
65614	HK Bank - SHK Ppt R Bear CBBC Sep 2014 A	50,000,000	2014/01/10	2014/09/19	12.50
65593	HK Bank - TCH R Bull CBBC Aug 2014 H	50,000,000	2014/01/10	2014/08/29	15.00
65596	HK Bank - TCH R Bull CBBC Aug 2014 I	50,000,000	2014/01/10	2014/08/29	12.50
65598	HK Bank - TCH R Bear CBBC Sep 2014 H	50,000,000	2014/01/10	2014/09/16	44.00
65602	HK Bank - TCH R Bear CBBC Sep 2014 I	50,000,000	2014/01/10	2014/09/16	49.00
65605	HK Bank - TCH R Bear CBBC Sep 2014 J	50,000,000	2014/01/10	2014/09/16	54.00
65606	HK Bank - TCH R Bear CBBC Sep 2014 K	50,000,000	2014/01/10	2014/09/16	59.00
65615	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 O	300,000,000	2014/01/10	2014/09/29	75.00
65616	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/01/10	2014/10/30	75.00
65618	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/01/10	2014/11/27	75.00
65804	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/01/10	2014/12/30	75.00
65662	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 M	300,000,000	2014/01/10	2014/04/29	75.00
65663	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 P	300,000,000	2014/01/10	2014/04/29	75.00
65665	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 Q	300,000,000	2014/01/10	2014/04/29	75.00
65627	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 I	100,000,000	2014/01/10	2014/09/29	25.00
65629	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 J	100,000,000	2014/01/10	2014/09/29	25.00
65660	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 K	100,000,000	2014/01/10	2014/09/29	25.00
65785	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 S	100,000,000	2014/01/10	2014/06/27	25.00
65620	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 S	200,000,000	2014/01/10	2014/07/30	50.00
65624	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 T	200,000,000	2014/01/10	2014/07/30	50.00
65625	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 U	200,000,000	2014/01/10	2014/07/30	50.00
65694	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 A	200,000,000	2014/01/10	2014/11/27	50.00
65710	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 S	200,000,000	2014/01/10	2014/04/29	50.00
65718	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 T	200,000,000	2014/01/10	2014/04/29	50.00
65724	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 U	200,000,000	2014/01/10	2014/04/29	50.00
65825	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 V	200,000,000	2014/01/10	2014/04/29	50.00
65753	UBS AG - AIA R Bull CBBC Oct 2014 C	100,000,000	2014/01/10	2014/10/13	25.00
65750	UBS AG - BOCL R Bull CBBC Oct 2014 A	100,000,000	2014/01/10	2014/10/27	25.00
65782	UBS AG - COVS R Bull CBBC Sep 2014 A	50,000,000	2014/01/10	2014/09/15	12.50
65783	UBS AG - Cheung Kong R Bull CBBC Aug 2014 A	100,000,000	2014/01/10	2014/08/25	25.00
65751	UBS AG - CM Bank R Bull CBBC Aug 2014 A	50,000,000	2014/01/10	2014/08/04	12.50
65752	UBS AG - CNBM R Bull CBBC Jun 2014 B	50,000,000	2014/01/10	2014/06/23	12.50
65779	UBS AG - CNOOC R Bear CBBC Aug 2014 A	100,000,000	2014/01/10	2014/08/04	25.00
65641	UBS AG - HSCEI R Bull CBBC Oct 2014 A	100,000,000	2014/01/10	2014/10/30	25.00

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		發行單位	上市日期	到期日	
65749	UBS AG - HSCEI R Bear CBBC Apr 2014 F	100,000,000	2014/01/10	2014/04/29	25.00
65640	UBS AG - HSI R Bull CBBC Jul 2014 Q	350,000,000	2014/01/10	2014/07/30	87.50
65636	UBS AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/01/10	2014/10/30	75.00
65635	UBS AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/01/10	2014/10/30	75.00
65637	UBS AG - HSI R Bull CBBC Nov 2014 G	300,000,000	2014/01/10	2014/11/27	75.00
65748	UBS AG - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/01/10	2014/12/30	75.00
65814	UBS AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2014/01/10	2014/04/29	75.00
65817	UBS AG - HSI R Bear CBBC Apr 2014 Q	300,000,000	2014/01/10	2014/04/29	75.00
65816	UBS AG - HSI R Bear CBBC May 2014 P	300,000,000	2014/01/10	2014/05/29	75.00
65938	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 E	200,000,000	2014/01/13	2014/12/30	50.00
65931	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 J	300,000,000	2014/01/13	2014/08/28	75.00
65936	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 O	300,000,000	2014/01/13	2014/08/28	75.00
66036	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 X	300,000,000	2014/01/13	2014/06/27	75.00
66040	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 Y	300,000,000	2014/01/13	2014/06/27	75.00
66044	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 Z	300,000,000	2014/01/13	2014/06/27	75.00
65922	Credit Suisse AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/01/13	2014/10/30	75.00
65928	Credit Suisse AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/01/13	2014/10/30	75.00
65930	Credit Suisse AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/01/13	2014/10/30	75.00
65919	Credit Suisse AG - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/01/13	2014/11/27	75.00
65921	Credit Suisse AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/01/13	2014/11/27	75.00
66107	Credit Suisse AG - HSI R Bear CBBC May 2014 U	300,000,000	2014/01/13	2014/05/29	75.00
66110	Credit Suisse AG - HSI R Bear CBBC May 2014 V	300,000,000	2014/01/13	2014/05/29	75.00
66117	Credit Suisse AG - HSI R Bear CBBC May 2014 W	300,000,000	2014/01/13	2014/05/29	75.00
66118	Credit Suisse AG - HSI R Bear CBBC May 2014 X	300,000,000	2014/01/13	2014/05/29	75.00
66134	Credit Suisse AG - HSI R Bear CBBC May 2014 Y	300,000,000	2014/01/13	2014/05/29	75.00
66160	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jul14C	80,000,000	2014/01/13	2014/07/14	20.00
66169	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Sep 2014 A	80,000,000	2014/01/13	2014/09/29	20.00
66154	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Sep14A	100,000,000	2014/01/13	2014/09/29	25.00
66155	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Sep 14 A	100,000,000	2014/01/13	2014/09/29	25.00
66165	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Sep 2014 A	80,000,000	2014/01/13	2014/09/29	20.00
66151	Citigroup Global Mkt H Inc. - HSI R Bear CBBC May 2014 A	150,000,000	2014/01/13	2014/05/29	37.50
66152	Citigroup Global Mkt H Inc. - HSI R Bear CBBC May 2014 B	150,000,000	2014/01/13	2014/05/29	37.50
66177	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Sep 2014 A	80,000,000	2014/01/13	2014/09/29	20.00
66156	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Jul 2014 A	80,000,000	2014/01/13	2014/07/14	20.00
66161	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Sep14A	60,000,000	2014/01/13	2014/09/29	15.00
66166	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Sep 2014 A	50,000,000	2014/01/13	2014/09/29	20.00
65986	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 D	150,000,000	2014/01/13	2014/04/29	38.10
65987	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 E	150,000,000	2014/01/13	2014/04/29	39.90
66018	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 F	150,000,000	2014/01/13	2014/04/29	39.45
65991	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 C	150,000,000	2014/01/13	2014/04/29	38.40
65992	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 D	150,000,000	2014/01/13	2014/04/29	37.95
65854	HK Bank - CM Bank R Bull CBBC Sep 2014 A	40,000,000	2014/01/13	2014/09/19	17.60
65851	HK Bank - Minsheng Bank R Bull CBBC Oct 2014 C	40,000,000	2014/01/13	2014/10/10	10.00
65847	HK Bank - Sinopec Corp R Bull CBBC Oct 2014 B	80,000,000	2014/01/13	2014/10/24	20.00
65965	HK Bank - HSI R Bear CBBC Jul 2014 P	100,000,000	2014/01/13	2014/07/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65944	HK Bank - HSI R Bear CBBC Aug 2014 K	100,000,000	2014/01/13	2014/08/28	25.00
65941	HK Bank - HSI R Bear CBBC Sep 2014 G	100,000,000	2014/01/13	2014/09/29	25.00
65849	HK Bank - ICBC R Bull CBBC Sep 2014 B	80,000,000	2014/01/13	2014/09/26	20.00
65850	HK Bank - Kunlun Energy R Bull CBBC Sep 2014 C	40,000,000	2014/01/13	2014/09/19	10.00
65846	HK Bank - PetCh R Bull CBBC Oct 2014 B	60,000,000	2014/01/13	2014/10/24	15.00
65838	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/01/13	2014/10/30	75.00
65837	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/01/13	2014/11/27	75.00
65844	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/01/13	2014/11/27	75.00
66136	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 R	300,000,000	2014/01/13	2014/04/29	75.00
66137	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 S	300,000,000	2014/01/13	2014/04/29	75.00
66138	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 T	300,000,000	2014/01/13	2014/04/29	75.00
65917	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 B	150,000,000	2014/01/13	2014/06/27	37.50
65918	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 C	150,000,000	2014/01/13	2014/06/27	37.50
65902	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 B	150,000,000	2014/01/13	2014/06/27	37.50
65905	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 C	150,000,000	2014/01/13	2014/06/27	37.50
65901	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 L	100,000,000	2014/01/13	2014/09/29	25.00
66019	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 M	100,000,000	2014/01/13	2014/09/29	25.00
66020	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 S	100,000,000	2014/01/13	2014/12/30	25.00
65899	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 T	100,000,000	2014/01/13	2014/06/27	25.00
65900	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 U	100,000,000	2014/01/13	2014/06/27	25.00
65877	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 B	200,000,000	2014/01/13	2014/11/27	50.00
65878	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 C	200,000,000	2014/01/13	2014/11/27	50.00
65888	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 D	200,000,000	2014/01/13	2014/11/27	50.00
65890	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 E	200,000,000	2014/01/13	2014/11/27	50.00
65891	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 F	200,000,000	2014/01/13	2014/11/27	50.00
65896	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 G	200,000,000	2014/01/13	2014/11/27	50.00
66024	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 H	200,000,000	2014/01/13	2014/11/27	50.00
65897	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 W	200,000,000	2014/01/13	2014/04/29	50.00
65898	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 X	200,000,000	2014/01/13	2014/04/29	50.00
66028	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 Y	200,000,000	2014/01/13	2014/04/29	50.00
66031	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 Z	200,000,000	2014/01/13	2014/04/29	50.00
66054	UBS AG - CC Bank R Bull CBBC Jul 2014 E	100,000,000	2014/01/13	2014/07/14	25.00
66082	UBS AG - Sinopec Corp R Bull CBBC Oct 2014 A	50,000,000	2014/01/13	2014/10/06	12.50
66059	UBS AG - HKEx R Bull CBBC Sep 2014 C	100,000,000	2014/01/13	2014/09/15	25.00
65869	UBS AG - HSCEI R Bear CBBC Apr 2014 G	100,000,000	2014/01/13	2014/04/29	25.00
65863	UBS AG - HSCEI R Bear CBBC May 2014 F	100,000,000	2014/01/13	2014/05/29	25.00
65861	UBS AG - HSI R Bull CBBC Aug 2014 Q	300,000,000	2014/01/13	2014/08/28	75.00
65857	UBS AG - HSI R Bull CBBC Aug 2014 T	300,000,000	2014/01/13	2014/08/28	75.00
66096	UBS AG - HSI R Bear CBBC Apr 2014 B	300,000,000	2014/01/13	2014/04/29	75.00
66099	UBS AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2014/01/13	2014/04/29	75.00
66097	UBS AG - HSI R Bear CBBC May 2014 Q	300,000,000	2014/01/13	2014/05/29	75.00
66051	UBS AG - ICBC R Bull CBBC Aug 2014 A	100,000,000	2014/01/13	2014/08/18	25.00
66053	UBS AG - Lenovo R Bull CBBC Dec 2014 A	50,000,000	2014/01/13	2014/12/15	12.50
66049	UBS AG - Sands China R Bull CBBC Jul 2014 E	100,000,000	2014/01/13	2014/07/14	25.00
66092	UBS AG - SHK Ppt R Bull CBBC Jul 2014 A	50,000,000	2014/01/13	2014/07/07	12.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66056	UBS AG - TCH R Bull CBBC Jul 2014 K	40,000,000	2014/01/13	2014/07/07	10.00
66055	UBS AG - TCH R Bear CBBC May 2014 D	40,000,000	2014/01/13	2014/05/05	33.20
66285	Credit Suisse AG - HSI R Bull CBBC Aug 2014 D	300,000,000	2014/01/14	2014/08/28	75.00
66291	Credit Suisse AG - HSI R Bull CBBC Aug 2014 F	300,000,000	2014/01/14	2014/08/28	75.00
66279	Credit Suisse AG - HSI R Bull CBBC Aug 2014 S	300,000,000	2014/01/14	2014/08/28	75.00
66246	Credit Suisse AG - HSI R Bear CBBC May 2014 E	300,000,000	2014/01/14	2014/05/29	75.00
66247	Credit Suisse AG - HSI R Bear CBBC May 2014 F	300,000,000	2014/01/14	2014/05/29	75.00
66245	Credit Suisse AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/01/14	2014/05/29	75.00
66297	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Sep 2014 A	40,000,000	2014/01/14	2014/09/29	10.00
66301	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Sep14 A	50,000,000	2014/01/14	2014/09/29	14.00
66300	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Sep14 A	60,000,000	2014/01/14	2014/09/29	15.00
66299	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Sep 14 A	60,000,000	2014/01/14	2014/09/29	15.00
66223	HK Bank - ABC R Bear CBBC Dec 2014 A	40,000,000	2014/01/14	2014/12/22	10.00
66221	HK Bank - COVS R Bull CBBC Oct 2014 A	50,000,000	2014/01/14	2014/10/27	14.00
66219	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2014 B	80,000,000	2014/01/14	2014/11/03	20.00
66222	HK Bank - China Shenhua R Bull CBBC Dec 2014 A	40,000,000	2014/01/14	2014/12/22	13.60
66195	HK Bank - A50 R Bull CBBC Nov 2014 D	200,000,000	2014/01/14	2014/11/20	50.00
66185	HK Bank - A50 R Bull CBBC Jan 2015 B	200,000,000	2014/01/14	2015/01/12	50.00
66212	HK Bank - A50 R Bull CBBC Feb 2015 A	200,000,000	2014/01/14	2015/02/02	50.00
66216	HK Bank - A50 R Bull CBBC Feb 2015 B	200,000,000	2014/01/14	2015/02/16	50.00
66196	HK Bank - HSCEI R Bull CBBC Aug 2014 B	100,000,000	2014/01/14	2014/08/28	25.00
66201	HK Bank - HSCEI R Bull CBBC Sep 2014 C	100,000,000	2014/01/14	2014/09/29	25.00
66202	HK Bank - HSCEI R Bull CBBC Oct 2014 B	100,000,000	2014/01/14	2014/10/30	27.00
66178	HK Bank - HSI R Bull CBBC Aug 2014 K	400,000,000	2014/01/14	2014/08/28	100.00
66180	HK Bank - HSI R Bull CBBC Sep 2014 G	100,000,000	2014/01/14	2014/09/29	25.00
66181	HK Bank - HSI R Bull CBBC Oct 2014 B	400,000,000	2014/01/14	2014/10/30	100.00
66182	HK Bank - HSI R Bull CBBC Nov 2014 A	400,000,000	2014/01/14	2014/11/27	100.00
66191	HK Bank - HSI R Bear CBBC Aug 2014 L	400,000,000	2014/01/14	2014/08/28	100.00
66220	HK Bank - Sands China R Bull CBBC Dec 2014 A	60,000,000	2014/01/14	2014/12/22	15.00
66249	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/01/14	2014/12/30	75.00
66295	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 O	300,000,000	2014/01/14	2014/04/29	75.00
66294	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 U	300,000,000	2014/01/14	2014/04/29	75.00
66296	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 V	300,000,000	2014/01/14	2014/04/29	75.00
66250	Standard Chartered Bank - A50 China R Bear CBBC Oct 2014 A	100,000,000	2014/01/14	2014/10/27	25.00
66243	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 T	100,000,000	2014/01/14	2014/12/30	25.00
66244	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 U	100,000,000	2014/01/14	2014/12/30	25.00
66293	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 D	100,000,000	2014/01/14	2014/07/30	25.00
66264	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 I	200,000,000	2014/01/14	2014/11/27	50.00
66265	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 D	200,000,000	2014/01/14	2014/04/29	50.00
66263	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 B	100,000,000	2014/01/14	2014/10/13	25.00
66253	UBS AG - Galaxy Ent R Bear CBBC May 2014 B	100,000,000	2014/01/14	2014/05/26	25.00
66255	UBS AG - Galaxy Ent R Bear CBBC May 2014 C	100,000,000	2014/01/14	2014/05/12	25.00
66271	UBS AG - HSI R Bull CBBC Nov 2014 H	300,000,000	2014/01/14	2014/11/27	75.00
66278	UBS AG - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/01/14	2014/12/30	75.00
66238	UBS AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2014/01/14	2014/04/29	75.00

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66226	UBS AG - HSI R Bear CBBC May 2014 R	300,000,000	2014/01/14	2014/05/29	75.00
66237	UBS AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/01/14	2014/05/29	75.00
66239	UBS AG - HSI R Bear CBBC May 2014 T	350,000,000	2014/01/14	2014/05/29	87.50
66252	UBS AG - Sands China R Bull CBBC Oct 2014 A	100,000,000	2014/01/14	2014/10/13	25.00
66251	UBS AG - Sands China R Bear CBBC May 2014 E	100,000,000	2014/01/14	2014/05/22	25.00
66394	Credit Suisse AG - HSI R Bull CBBC Sep 2014 F	300,000,000	2014/01/15	2014/09/29	75.00
66395	Credit Suisse AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2014/01/15	2014/09/29	75.00
66393	Credit Suisse AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/01/15	2014/09/29	75.00
66346	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/01/15	2014/07/30	75.00
66350	Credit Suisse AG - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/01/15	2014/07/30	75.00
66392	Credit Suisse AG - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/01/15	2014/07/30	75.00
66439	Citigroup Global Mkt H Inc. - BOCL R Bull CBBC Sep 2014 A	40,000,000	2014/01/15	2014/09/29	10.00
66441	Citigroup Global Mkt H Inc. - COVS R Bull CBBC Sep 2014 A	40,000,000	2014/01/15	2014/09/29	10.00
66445	Citigroup Global Mkt H Inc. - Cheung Kong R Bull CBBC Jul14A	60,000,000	2014/01/15	2014/07/30	15.00
66432	Citigroup Global Mkt H Inc. - Minsheng Bank R Bull CBBC Jul14A	40,000,000	2014/01/15	2014/07/30	10.00
66427	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 F	150,000,000	2014/01/15	2014/05/29	37.50
66428	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 G	150,000,000	2014/01/15	2014/05/29	37.50
66429	Citigroup Global Mkt H Inc. - HSI R Bear CBBC May 2014 C	150,000,000	2014/01/15	2014/05/29	37.50
66430	Citigroup Global Mkt H Inc. - HSI R Bear CBBC May 2014 D	150,000,000	2014/01/15	2014/05/29	37.50
66440	Citigroup Global Mkt H Inc. - Lenovo R Bull CBBC Sep 2014 A	40,000,000	2014/01/15	2014/09/29	10.00
66442	Citigroup Global Mkt H Inc. - SHK Ppt R Bull CBBC Sep 2014 A	60,000,000	2014/01/15	2014/09/29	15.00
66396	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 G	150,000,000	2014/01/15	2014/04/29	38.40
66398	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 H	150,000,000	2014/01/15	2014/04/29	38.55
66405	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 I	150,000,000	2014/01/15	2014/04/29	38.40
66306	HK Bank - COVS R Bull CBBC Sep 2014 B	50,000,000	2014/01/15	2014/09/29	23.00
66316	HK Bank - China Life R Bear CBBC Aug 2014 C	50,000,000	2014/01/15	2014/08/22	15.50
66309	HK Bank - A50 R Bull CBBC Nov 2014 E	200,000,000	2014/01/15	2014/11/28	50.00
66305	HK Bank - Galaxy Ent R Bull CBBC Sep 2014 E	50,000,000	2014/01/15	2014/09/29	12.50
66314	HK Bank - Galaxy Ent R Bear CBBC Oct 2014 D	40,000,000	2014/01/15	2014/10/27	10.00
66303	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 B	40,000,000	2014/01/15	2014/12/22	10.00
66313	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 C	40,000,000	2014/01/15	2014/12/22	10.00
66307	HK Bank - Sands China R Bear CBBC Nov 2014 C	50,000,000	2014/01/15	2014/11/03	12.50
66418	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 I	300,000,000	2014/01/15	2014/09/29	75.00
66417	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 X	300,000,000	2014/01/15	2014/10/30	75.00
66419	J P Morgan SP BV - HSI R Bear CBBC May 2014 C	300,000,000	2014/01/15	2014/05/29	75.00
66422	J P Morgan SP BV - HSI R Bear CBBC May 2014 D	300,000,000	2014/01/15	2014/05/29	75.00
66319	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 V	100,000,000	2014/01/15	2014/12/30	25.00
66378	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 W	100,000,000	2014/01/15	2014/12/30	25.00
66387	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 A	100,000,000	2014/01/15	2014/09/29	25.00
66321	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 J	200,000,000	2014/01/15	2014/11/27	50.00
66388	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 K	200,000,000	2014/01/15	2014/11/27	50.00
66322	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 F	200,000,000	2014/01/15	2014/04/29	50.00
66340	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 G	200,000,000	2014/01/15	2014/04/29	50.00
66389	UBS AG - ABC R Bull CBBC Sep 2014 A	50,000,000	2014/01/15	2014/09/15	12.50
66414	UBS AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/01/15	2014/10/30	75.00

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66415	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/01/15	2014/11/27	75.00
66416	UBS AG - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/01/15	2014/12/30	75.00
66352	UBS AG - HSI R Bear CBBC Apr 2014 R	300,000,000	2014/01/15	2014/04/29	75.00
66351	UBS AG - HSI R Bear CBBC May 2014 U	300,000,000	2014/01/15	2014/05/29	75.00
66358	UBS AG - HSI R Bear CBBC May 2014 V	350,000,000	2014/01/15	2014/05/29	87.50
66390	UBS AG - Petrochina R Bull CBBC Oct 2014 A	50,000,000	2014/01/15	2014/10/13	12.50
66391	UBS AG - TCH R Bear CBBC Aug 2014 B	40,000,000	2014/01/15	2014/08/18	43.60
66478	Credit Suisse AG - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/01/16	2014/10/30	75.00
66508	Credit Suisse AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/01/16	2014/10/30	75.00
66509	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/01/16	2014/10/30	75.00
66512	Credit Suisse AG - HSI R Bull CBBC Oct 2014 R	300,000,000	2014/01/16	2014/10/30	75.00
66513	Credit Suisse AG - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/01/16	2014/07/30	75.00
66557	Credit Suisse AG - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/01/16	2014/07/30	75.00
66558	Credit Suisse AG - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/01/16	2014/07/30	75.00
66562	Credit Suisse AG - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/01/16	2014/07/30	75.00
66484	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 J	150,000,000	2014/01/16	2014/04/29	40.65
66485	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 K	150,000,000	2014/01/16	2014/04/29	44.10
66493	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 L	150,000,000	2014/01/16	2014/04/29	41.85
66500	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 E	150,000,000	2014/01/16	2014/04/29	37.95
66501	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 F	150,000,000	2014/01/16	2014/04/29	38.10
66502	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 G	150,000,000	2014/01/16	2014/04/29	43.20
66503	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 H	150,000,000	2014/01/16	2014/04/29	43.50
66476	HK Bank - BOCL R Bull CBBC Dec 2014 A	80,000,000	2014/01/16	2014/12/18	20.00
66447	HK Bank - CM Bank R Bear CBBC Sep 2014 A	40,000,000	2014/01/16	2014/09/29	10.00
66450	HK Bank - Sinopec Corp R Bear CBBC Oct 2014 A	40,000,000	2014/01/16	2014/10/06	10.00
66473	HK Bank - CSOP A50 ETF R Bear CBBC Nov 2014 A	40,000,000	2014/01/16	2014/11/03	10.00
66446	HK Bank - CSOP A50 ETF R Bear CBBC Jan 2015 A	40,000,000	2014/01/16	2015/01/26	10.00
66474	HK Bank - China Shenhua R Bear CBBC Nov 2014 A	40,000,000	2014/01/16	2014/11/24	10.00
66471	HK Bank - HSCEI R Bull CBBC Sep 2014 D	100,000,000	2014/01/16	2014/09/29	25.00
66472	HK Bank - HSCEI R Bear CBBC Aug 2014 D	68,000,000	2014/01/16	2014/08/28	17.00
66468	HK Bank - HSI R Bull CBBC Jul 2014 O	100,000,000	2014/01/16	2014/07/30	25.00
66449	HK Bank - HSI R Bull CBBC Aug 2014 L	100,000,000	2014/01/16	2014/08/28	25.00
66469	HK Bank - HSI R Bull CBBC Aug 2014 M	100,000,000	2014/01/16	2014/08/28	25.00
66470	HK Bank - HSI R Bull CBBC Sep 2014 H	400,000,000	2014/01/16	2014/09/29	100.00
66575	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 R	300,000,000	2014/01/16	2014/09/29	75.00
66576	J P Morgan SP BV - HSI R Bear CBBC May 2014 E	300,000,000	2014/01/16	2014/05/29	75.00
66522	Standard Chartered Bank - A50 China R Bull CBBC Dec 2014 A	100,000,000	2014/01/16	2014/12/03	25.00
66523	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 X	100,000,000	2014/01/16	2014/12/30	25.00
66524	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 Y	100,000,000	2014/01/16	2014/12/30	25.00
66477	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 B	100,000,000	2014/01/16	2014/09/29	25.00
66520	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 L	200,000,000	2014/01/16	2014/11/27	50.00
66521	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 H	200,000,000	2014/01/16	2014/04/29	50.00
66547	UBS AG - China Shenhua R Bear CBBC Nov 2014 A	50,000,000	2014/01/16	2014/11/17	12.50
66540	UBS AG - A50 China R Bull CBBC Nov 2014 A	100,000,000	2014/01/16	2014/11/03	25.00
66556	UBS AG - Galaxy Ent R Bull CBBC Sep 2014 C	100,000,000	2014/01/16	2014/09/01	25.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66553	UBS AG - Galaxy Ent R Bear CBBC Oct 2014 A	100,000,000	2014/01/16	2014/10/06	25.00
66550	UBS AG - Galaxy Ent R Bear CBBC Nov 2014 A	100,000,000	2014/01/16	2014/11/10	25.00
66483	UBS AG - HSI R Bull CBBC Jun 2014 C	350,000,000	2014/01/16	2014/06/27	87.50
66482	UBS AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/01/16	2014/10/30	75.00
66563	UBS AG - HSI R Bear CBBC Apr 2014 S	300,000,000	2014/01/16	2014/04/29	75.00
66566	UBS AG - HSI R Bear CBBC May 2014 X	350,000,000	2014/01/16	2014/05/29	87.50
66544	UBS AG - Sands China R Bear CBBC May 2014 F	50,000,000	2014/01/16	2014/05/19	12.50
66546	UBS AG - Sands China R Bear CBBC Oct 2014 A	50,000,000	2014/01/16	2014/10/06	12.50
66603	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2014 A	200,000,000	2014/01/17	2014/12/30	50.00
66605	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 P	300,000,000	2014/01/17	2014/08/28	75.00
66601	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 C	300,000,000	2014/01/17	2014/06/27	75.00
66577	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2014 A	100,000,000	2014/01/17	2014/12/30	25.00
66578	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2014 B	100,000,000	2014/01/17	2014/12/30	55.00
66579	BNP Paribas Arbit Issu B.V. - TCH R Bear CBBC Dec 2014 A	100,000,000	2014/01/17	2014/12/30	70.00
66610	Credit Suisse AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2014/01/17	2014/09/29	75.00
66612	Credit Suisse AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2014/01/17	2014/09/29	75.00
66595	Credit Suisse AG - HSI R Bear CBBC May 2014 G	300,000,000	2014/01/17	2014/05/29	75.00
66594	Credit Suisse AG - HSI R Bear CBBC May 2014 I	300,000,000	2014/01/17	2014/05/29	75.00
66617	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 M	150,000,000	2014/01/17	2014/04/29	37.95
66621	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 N	150,000,000	2014/01/17	2014/04/29	41.10
66622	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 O	150,000,000	2014/01/17	2014/04/29	38.40
66625	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 P	150,000,000	2014/01/17	2014/04/29	37.80
66627	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 Q	150,000,000	2014/01/17	2014/04/29	37.50
66620	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 I	150,000,000	2014/01/17	2014/04/29	44.85
66585	HK Bank - China Mobile R Bull CBBC Dec 2014 B	120,000,000	2014/01/17	2014/12/01	30.00
66587	HK Bank - China Mobile R Bull CBBC Jan 2015 A	120,000,000	2014/01/17	2015/01/26	30.00
66582	HK Bank - CNBM R Bull CBBC Nov 2014 A	50,000,000	2014/01/17	2014/11/24	12.50
66588	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 C	50,000,000	2014/01/17	2014/10/06	12.50
66591	HK Bank - Galaxy Ent R Bear CBBC Aug 2014 C	40,000,000	2014/01/17	2014/08/25	10.00
66629	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/01/17	2014/10/30	75.00
66598	Standard Chartered Bank - TCH R Bull CBBC Aug 2014 A	50,000,000	2014/01/17	2014/08/18	15.00
66600	Standard Chartered Bank - TCH R Bear CBBC Sep 2014 A	50,000,000	2014/01/17	2014/09/12	21.50
66615	UBS AG - HKEx R Bull CBBC Sep 2014 D	100,000,000	2014/01/17	2014/09/22	25.00
66614	UBS AG - HSCEI R Bull CBBC Nov 2014 A	100,000,000	2014/01/17	2014/11/27	25.00
66613	UBS AG - HSCEI R Bear CBBC Apr 2014 H	100,000,000	2014/01/17	2014/04/29	25.00
66607	UBS AG - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/01/17	2014/11/27	75.00
66580	UBS AG - HSI R Bear CBBC May 2014 Y	350,000,000	2014/01/17	2014/05/29	87.50
66671	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 E	300,000,000	2014/01/20	2014/06/27	75.00
66672	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/01/20	2014/06/27	75.00
66674	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 H	300,000,000	2014/01/20	2014/06/27	75.00
66785	Credit Suisse AG - HSI R Bull CBBC Jul 2014 U	300,000,000	2014/01/20	2014/07/30	75.00
66786	Credit Suisse AG - HSI R Bull CBBC Jul 2014 V	300,000,000	2014/01/20	2014/07/30	75.00
66727	Credit Suisse AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2014/01/20	2014/04/29	75.00
66726	Credit Suisse AG - HSI R Bear CBBC Apr 2014 Z	300,000,000	2014/01/20	2014/04/29	75.00
66676	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Jun 2014 A	60,000,000	2014/01/20	2014/06/30	15.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66685	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 R	150,000,000	2014/01/20	2014/04/29	38.55
66697	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 S	150,000,000	2014/01/20	2014/04/29	38.40
66703	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 T	150,000,000	2014/01/20	2014/04/29	37.95
66688	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 J	150,000,000	2014/01/20	2014/04/29	40.50
66704	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 K	150,000,000	2014/01/20	2014/04/29	37.80
66705	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 L	150,000,000	2014/01/20	2014/04/29	37.65
66646	HK Bank - BOCL R Bull CBBC Dec 2014 B	80,000,000	2014/01/20	2014/12/18	20.00
66643	HK Bank - China Mobile R Bull CBBC Feb 2015 A	120,000,000	2014/01/20	2015/02/09	30.00
66645	HK Bank - China Mobile R Bull CBBC Feb 2015 B	120,000,000	2014/01/20	2015/02/16	30.00
66655	HK Bank - HKEx R Bull CBBC Nov 2014 A	60,000,000	2014/01/20	2014/11/24	15.60
66635	HK Bank - HSCEI R Bear CBBC Sep 2014 C	68,000,000	2014/01/20	2014/09/29	17.00
66792	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/01/20	2014/12/30	75.00
66788	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 Q	300,000,000	2014/01/20	2014/04/29	75.00
66658	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 J	100,000,000	2014/01/20	2014/11/27	25.00
66657	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 E	100,000,000	2014/01/20	2014/07/30	25.00
66706	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 M	200,000,000	2014/01/20	2014/11/27	50.00
66668	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 I	200,000,000	2014/01/20	2014/04/29	50.00
66765	UBS AG - China Mobile R Bear CBBC Jun 2014 A	100,000,000	2014/01/20	2014/06/23	25.00
66784	UBS AG - CC Bank R Bear CBBC May 2014 B	100,000,000	2014/01/20	2014/05/12	25.00
66745	UBS AG - CNBM R Bull CBBC Jul 2014 A	50,000,000	2014/01/20	2014/07/21	12.50
66747	UBS AG - A50 China R Bear CBBC Jun 2014 A	100,000,000	2014/01/20	2014/06/23	25.00
66736	UBS AG - HSCEI R Bull CBBC Dec 2014 A	100,000,000	2014/01/20	2014/12/30	25.00
66663	UBS AG - HSI R Bull CBBC Jul 2014 T	350,000,000	2014/01/20	2014/07/30	87.50
66662	UBS AG - HSI R Bull CBBC Jul 2014 U	350,000,000	2014/01/20	2014/07/30	87.50
66787	UBS AG - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/01/20	2014/12/30	75.00
66732	UBS AG - HSI R Bear CBBC Apr 2014 B	300,000,000	2014/01/20	2014/04/29	75.00
66731	UBS AG - HSI R Bear CBBC Apr 2014 Q	300,000,000	2014/01/20	2014/04/29	75.00
66728	UBS AG - HSI R Bear CBBC Jul 2014 C	300,000,000	2014/01/20	2014/07/30	75.00
66729	UBS AG - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/01/20	2014/07/30	75.00
66764	UBS AG - ICBC R Bear CBBC Jul 2014 A	100,000,000	2014/01/20	2014/07/21	25.00
66763	UBS AG - Ping An R Bear CBBC Jun 2014 A	100,000,000	2014/01/20	2014/06/16	25.00
66842	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 K	300,000,000	2014/01/21	2014/08/28	75.00
66903	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/01/21	2014/06/27	75.00
66871	Credit Suisse AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2014/01/21	2014/08/28	75.00
66895	Credit Suisse AG - HSI R Bull CBBC Aug 2014 M	300,000,000	2014/01/21	2014/08/28	75.00
66896	Credit Suisse AG - HSI R Bear CBBC May 2014 H	300,000,000	2014/01/21	2014/05/29	75.00
66899	Credit Suisse AG - HSI R Bear CBBC May 2014 J	300,000,000	2014/01/21	2014/05/29	75.00
66900	Credit Suisse AG - HSI R Bear CBBC May 2014 K	300,000,000	2014/01/21	2014/05/29	75.00
66951	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Jun 2014 A	80,000,000	2014/01/21	2014/06/16	20.00
66948	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Jul 2014 A	80,000,000	2014/01/21	2014/07/14	20.00
66955	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Jun 2014 A	80,000,000	2014/01/21	2014/06/16	20.00
66956	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Jul 2014 A	80,000,000	2014/01/21	2014/07/14	20.00
66967	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Jul 2014 B	80,000,000	2014/01/21	2014/07/28	20.00
66873	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 U	150,000,000	2014/01/21	2014/04/29	38.25
66876	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 V	150,000,000	2014/01/21	2014/04/29	39.60

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66886	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 W	150,000,000	2014/01/21	2014/04/29	38.10
66884	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 M	150,000,000	2014/01/21	2014/04/29	47.10
66885	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 N	150,000,000	2014/01/21	2014/04/29	49.20
66893	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 O	150,000,000	2014/01/21	2014/04/29	43.35
66894	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 P	150,000,000	2014/01/21	2014/04/29	43.20
66815	HK Bank - AIA R Bull CBBC Jul 2014 B	60,000,000	2014/01/21	2014/07/07	20.40
66806	HK Bank - Cheung Kong R Bear CBBC Oct 2014 A	50,000,000	2014/01/21	2014/10/27	12.50
66804	HK Bank - China Life R Bear CBBC Dec 2014 A	50,000,000	2014/01/21	2014/12/22	12.50
66814	HK Bank - Minsheng Bank R Bear CBBC Oct 2014 B	40,000,000	2014/01/21	2014/10/27	10.00
66798	HK Bank - HSI R Bull CBBC Sep 2014 I	100,000,000	2014/01/21	2014/09/29	25.00
66793	HK Bank - HSI R Bear CBBC Aug 2014 M	100,000,000	2014/01/21	2014/08/28	25.00
66813	HK Bank - Hutchison R Bear CBBC Nov 2014 B	50,000,000	2014/01/21	2014/11/24	12.50
66807	HK Bank - PetCh R Bear CBBC Nov 2014 A	40,000,000	2014/01/21	2014/11/24	10.00
66936	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 R	300,000,000	2014/01/21	2014/04/29	75.00
66837	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 D	100,000,000	2014/01/21	2014/06/27	25.00
66841	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 E	100,000,000	2014/01/21	2014/06/27	25.00
66819	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 D	100,000,000	2014/01/21	2014/06/27	25.00
66834	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 E	100,000,000	2014/01/21	2014/06/27	25.00
66861	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 K	100,000,000	2014/01/21	2014/11/27	25.00
66845	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 N	200,000,000	2014/01/21	2014/11/27	50.00
66846	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 J	200,000,000	2014/01/21	2014/04/29	50.00
66935	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 K	200,000,000	2014/01/21	2014/04/29	50.00
66915	UBS AG - HSCEI R Bear CBBC Apr 2014 I	100,000,000	2014/01/21	2014/04/29	25.00
66857	UBS AG - HSI R Bull CBBC Jul 2014 W	350,000,000	2014/01/21	2014/07/30	87.50
66850	UBS AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/01/21	2014/10/30	75.00
66906	UBS AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2014/01/21	2014/04/29	75.00
66913	UBS AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/01/21	2014/05/29	75.00
67062	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 G	300,000,000	2014/01/22	2014/08/28	75.00
67056	Credit Suisse AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2014/01/22	2014/08/28	75.00
67058	Credit Suisse AG - HSI R Bull CBBC Aug 2014 X	300,000,000	2014/01/22	2014/08/28	75.00
67004	Credit Suisse AG - HSI R Bear CBBC Jul 2014 K	300,000,000	2014/01/22	2014/07/30	75.00
67010	Credit Suisse AG - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/01/22	2014/07/30	75.00
67087	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Aug14 A	50,000,000	2014/01/22	2014/08/18	12.50
67084	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Sep14 B	50,000,000	2014/01/22	2014/09/22	12.50
67093	Citigroup Global Mkt H Inc. - China Life R Bear CBBC Aug14 A	50,000,000	2014/01/22	2014/08/18	12.50
67094	Citigroup Global Mkt H Inc. - China Life R Bear CBBC Sep14 A	50,000,000	2014/01/22	2014/09/22	15.00
67096	Citigroup Global Mkt H Inc. - China Life R Bear CBBC Oct14 A	50,000,000	2014/01/22	2014/10/20	17.50
67073	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Jul14 A	60,000,000	2014/01/22	2014/07/21	15.00
67072	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Aug14 A	60,000,000	2014/01/22	2014/08/18	15.00
67074	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Jul14 A	60,000,000	2014/01/22	2014/07/21	15.00
67077	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Aug14 A	60,000,000	2014/01/22	2014/08/25	15.00
67080	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Sep14 A	60,000,000	2014/01/22	2014/09/22	15.00
67083	Citigroup Global Mkt H Inc. - Kunlun Energy R Bull CBBC Jun14A	40,000,000	2014/01/22	2014/06/23	10.00
67063	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 X	150,000,000	2014/01/22	2014/04/29	37.65
66991	HK Bank - Anhui Conch R Bull CBBC Mar 2015 A	50,000,000	2014/01/22	2015/03/18	12.50

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66995	HK Bank - CNBM R Bear CBBC Nov 2014 B	50,000,000	2014/01/22	2014/11/24	12.50
66988	HK Bank - CNOOC R Bull CBBC Oct 2014 C	60,000,000	2014/01/22	2014/10/27	15.00
66990	HK Bank - CNOOC R Bull CBBC Nov 2014 A	60,000,000	2014/01/22	2014/11/17	15.00
66985	HK Bank - China Shenhua R Bull CBBC Jul 2015 A	40,000,000	2014/01/22	2015/07/16	10.00
66986	HK Bank - China Shenhua R Bull CBBC Jul 2015 B	40,000,000	2014/01/22	2015/07/08	10.00
66993	HK Bank - HSI R Bear CBBC Sep 2014 H	100,000,000	2014/01/22	2014/09/29	25.00
66994	HK Bank - HSI R Bear CBBC Oct 2014 B	400,000,000	2014/01/22	2014/10/30	100.00
67066	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/01/22	2014/09/29	75.00
67070	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/01/22	2014/10/30	75.00
67020	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 F	100,000,000	2014/01/22	2014/07/30	25.00
67054	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 A	100,000,000	2014/01/22	2014/11/27	25.00
66996	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 O	200,000,000	2014/01/22	2014/11/27	50.00
67001	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 L	200,000,000	2014/01/22	2014/04/29	50.00
67034	UBS AG - CC Bank R Bull CBBC Jul 2014 F	100,000,000	2014/01/22	2014/07/21	25.00
67027	UBS AG - Minsheng Bank R Bull CBBC Sep 2014 A	100,000,000	2014/01/22	2014/09/22	25.00
67026	UBS AG - CSOP A50 ETF R Bull CBBC Aug 2014 A	50,000,000	2014/01/22	2014/08/11	12.50
67022	UBS AG - A50 China R Bull CBBC Jun 2014 C	100,000,000	2014/01/22	2014/06/23	25.00
67044	UBS AG - HKEx R Bear CBBC Jul 2014 B	100,000,000	2014/01/22	2014/07/07	25.00
67060	UBS AG - HSI R Bull CBBC Jul 2014 X	350,000,000	2014/01/22	2014/07/30	87.50
67011	UBS AG - HSI R Bear CBBC May 2014 C	300,000,000	2014/01/22	2014/05/29	75.00
67012	UBS AG - HSI R Bear CBBC May 2014 F	350,000,000	2014/01/22	2014/05/29	87.50
67028	UBS AG - ICBC R Bull CBBC Aug 2014 B	100,000,000	2014/01/22	2014/08/04	25.00
67052	UBS AG - SHK Ppt R Bear CBBC Sep 2014 A	50,000,000	2014/01/22	2014/09/01	12.50
66034 #	HK Bank - BOCL R Bull CBBC Aug 2014 A	200,000,000	2014/01/22	2014/08/28	10.40
67184	Credit Suisse AG - HSI R Bull CBBC Sep 2014 A	300,000,000	2014/01/23	2014/09/29	75.00
67181	Credit Suisse AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2014/01/23	2014/09/29	75.00
67150	Credit Suisse AG - HSI R Bear CBBC Apr 2014 I	300,000,000	2014/01/23	2014/04/29	75.00
67186	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jul 2014 A	50,000,000	2014/01/23	2014/07/22	15.00
67187	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Apr 2014 A	50,000,000	2014/01/23	2014/04/29	18.00
67117	HK Bank - HSI R Bull CBBC Aug 2014 N	100,000,000	2014/01/23	2014/08/28	25.00
67119	HK Bank - HSI R Bull CBBC Aug 2014 O	100,000,000	2014/01/23	2014/08/28	25.00
67123	HK Bank - HSI R Bull CBBC Aug 2014 P	100,000,000	2014/01/23	2014/08/28	25.00
67132	HK Bank - Lenovo R Bull CBBC Sep 2014 C	60,000,000	2014/01/23	2014/09/08	15.00
67135	HK Bank - Lenovo R Bear CBBC Sep 2014 A	40,000,000	2014/01/23	2014/09/29	10.00
67145	HK Bank - Lenovo R Bear CBBC Dec 2014 A	40,000,000	2014/01/23	2014/12/29	10.00
67097	HK Bank - TCH R Bull CBBC Sep 2014 J	50,000,000	2014/01/23	2014/09/29	20.00
67149	HK Bank - TCH R Bull CBBC Oct 2014 E	50,000,000	2014/01/23	2014/10/13	30.00
67189	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 S	300,000,000	2014/01/23	2014/04/29	75.00
67196	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 G	100,000,000	2014/01/23	2014/08/28	25.00
67161	Standard Chartered Bank - TCH R Bull CBBC Sep 2014 A	50,000,000	2014/01/23	2014/09/08	20.00
67168	Standard Chartered Bank - TCH R Bear CBBC Sep 2014 B	50,000,000	2014/01/23	2014/09/18	25.00
67157	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 P	200,000,000	2014/01/23	2014/11/27	50.00
67158	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 M	200,000,000	2014/01/23	2014/04/29	50.00
67174	UBS AG - Anhui Conch R Bull CBBC Dec 2014 D	50,000,000	2014/01/23	2014/12/22	12.50
67171	UBS AG - China Mobile R Bull CBBC Oct 2014 F	100,000,000	2014/01/23	2014/10/06	25.00

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67185	UBS AG - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/01/23	2014/12/30	75.00
67152	UBS AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2014/01/23	2014/04/29	75.00
67153	UBS AG - HSI R Bear CBBC May 2014 H	350,000,000	2014/01/23	2014/05/29	87.50
67170	UBS AG - Lenovo R Bear CBBC Jun 2014 B	50,000,000	2014/01/23	2014/06/16	12.50
67179	UBS AG - TCH R Bull CBBC Jul 2014 L	40,000,000	2014/01/23	2014/07/28	10.60
67180	UBS AG - TCH R Bull CBBC Jul 2014 M	40,000,000	2014/01/23	2014/07/07	18.60
67176	UBS AG - TCH R Bear CBBC Sep 2014 C	40,000,000	2014/01/23	2014/09/01	43.60
67238	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 L	300,000,000	2014/01/24	2014/08/28	75.00
67240	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 M	300,000,000	2014/01/24	2014/08/28	75.00
67304	Credit Suisse AG - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/01/24	2014/11/27	75.00
67308	Credit Suisse AG - HSI R Bull CBBC Nov 2014 W	300,000,000	2014/01/24	2014/11/27	75.00
67309	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/01/24	2014/11/27	75.00
67312	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Y	300,000,000	2014/01/24	2014/11/27	75.00
67229	Credit Suisse AG - HSI R Bear CBBC Apr 2014 J	300,000,000	2014/01/24	2014/04/29	75.00
67230	Credit Suisse AG - HSI R Bear CBBC Apr 2014 L	300,000,000	2014/01/24	2014/04/29	75.00
67227	Credit Suisse AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2014/01/24	2014/04/29	75.00
67342	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Jul 14 A	100,000,000	2014/01/24	2014/07/23	25.00
67341	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Aug 14 B	100,000,000	2014/01/24	2014/08/18	25.00
67339	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Sep 14 A	100,000,000	2014/01/24	2014/09/22	25.00
67338	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Oct 14 A	100,000,000	2014/01/24	2014/10/20	25.00
67354	Bank of East Asia - CM Bank R Bear CBBC Oct 2014 A	60,000,000	2014/01/24	2014/10/24	15.00
67349	Bank of East Asia - A50 China R Bull CBBC Dec 2014 A	60,000,000	2014/01/24	2014/12/30	15.00
67355	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2014 A	60,000,000	2014/01/24	2014/12/22	15.00
67356	Bank of East Asia - Galaxy Ent R Bear CBBC Aug 2014 A	60,000,000	2014/01/24	2014/08/22	15.00
67350	Bank of East Asia - HKEX R Bull CBBC Sep 2014 A	60,000,000	2014/01/24	2014/09/26	15.00
67357	Bank of East Asia - Lenovo R Bull CBBC Dec 2014 A	60,000,000	2014/01/24	2014/12/22	15.00
67358	Bank of East Asia - Lenovo R Bear CBBC Jul 2014 A	60,000,000	2014/01/24	2014/07/22	15.00
67353	Bank of East Asia - Ping An R Bull CBBC Oct 2014 A	60,000,000	2014/01/24	2014/10/24	15.00
67251	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 B	150,000,000	2014/01/24	2014/04/29	37.65
67241	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 Y	150,000,000	2014/01/24	2014/04/29	39.15
67242	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 Z	150,000,000	2014/01/24	2014/04/29	40.65
67244	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 Q	150,000,000	2014/01/24	2014/04/29	38.10
67209	HK Bank - Anhui Conch R Bull CBBC Jul 2015 A	50,000,000	2014/01/24	2015/07/27	12.50
67208	HK Bank - HKEX R Bear CBBC Aug 2014 A	50,000,000	2014/01/24	2014/08/18	12.50
67199	HK Bank - Lenovo R Bull CBBC Oct 2014 B	60,000,000	2014/01/24	2014/10/13	15.00
67203	HK Bank - Lenovo R Bear CBBC Feb 2015 A	40,000,000	2014/01/24	2015/02/09	10.00
67210	HK Bank - TCH R Bull CBBC Oct 2014 F	50,000,000	2014/01/24	2014/10/31	16.00
67218	HK Bank - TCH R Bull CBBC Oct 2014 G	50,000,000	2014/01/24	2014/10/17	12.50
67220	HK Bank - TCH R Bear CBBC Oct 2014 D	50,000,000	2014/01/24	2014/10/17	62.50
67221	HK Bank - TCH R Bear CBBC Oct 2014 E	50,000,000	2014/01/24	2014/10/31	67.50
67223	HK Bank - TCH R Bear CBBC Oct 2014 F	50,000,000	2014/01/24	2014/10/22	34.00
67331	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/01/24	2014/10/30	75.00
67332	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 T	300,000,000	2014/01/24	2014/04/29	75.00
67314	Standard Chartered Bank - A50 China R Bull CBBC Aug 2014 A	50,000,000	2014/01/24	2014/08/01	12.50
67315	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 L	100,000,000	2014/01/24	2014/11/27	25.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67337	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 H	100,000,000	2014/01/24	2014/08/28	25.00
67294	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Q	200,000,000	2014/01/24	2014/11/27	50.00
67297	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 R	200,000,000	2014/01/24	2014/11/27	50.00
67299	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 S	200,000,000	2014/01/24	2014/11/27	50.00
67302	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 N	200,000,000	2014/01/24	2014/04/29	50.00
67303	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 V	200,000,000	2014/01/24	2014/04/29	50.00
67257	UBS AG - AIA R Bull CBBC Oct 2014 D	100,000,000	2014/01/24	2014/10/20	25.00
67267	UBS AG - Galaxy Ent R Bull CBBC Aug 2014 B	100,000,000	2014/01/24	2014/08/18	25.00
67271	UBS AG - Galaxy Ent R Bull CBBC Sep 2014 D	100,000,000	2014/01/24	2014/09/22	25.00
67265	UBS AG - Galaxy Ent R Bear CBBC Sep 2014 A	100,000,000	2014/01/24	2014/09/15	25.00
67264	UBS AG - Galaxy Ent R Bear CBBC Oct 2014 B	100,000,000	2014/01/24	2014/10/06	25.00
67325	UBS AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/01/24	2014/10/30	75.00
67326	UBS AG - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/01/24	2014/12/30	75.00
67225	UBS AG - HSI R Bear CBBC Apr 2014 R	300,000,000	2014/01/24	2014/04/29	75.00
67254	UBS AG - HSI R Bear CBBC Apr 2014 S	300,000,000	2014/01/24	2014/04/29	75.00
67226	UBS AG - HSI R Bear CBBC May 2014 I	350,000,000	2014/01/24	2014/05/29	87.50
67252	UBS AG - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/01/24	2014/08/28	75.00
67253	UBS AG - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/01/24	2014/08/28	75.00
67261	UBS AG - Lenovo R Bull CBBC Aug 2014 B	50,000,000	2014/01/24	2014/08/18	12.50
67263	UBS AG - TCH R Bull CBBC Aug 2014 C	40,000,000	2014/01/24	2014/08/11	14.60
67262	UBS AG - TCH R Bear CBBC Oct 2014 A	40,000,000	2014/01/24	2014/10/13	39.60
67452	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 K	300,000,000	2014/01/27	2014/06/27	75.00
67389	Credit Suisse AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2014/01/27	2014/10/30	75.00
67388	Credit Suisse AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/01/27	2014/10/30	75.00
67432	Credit Suisse AG - HSI R Bear CBBC May 2014 L	300,000,000	2014/01/27	2014/05/29	75.00
67433	Credit Suisse AG - HSI R Bear CBBC May 2014 M	300,000,000	2014/01/27	2014/05/29	75.00
67443	Credit Suisse AG - HSI R Bear CBBC May 2014 N	300,000,000	2014/01/27	2014/05/29	75.00
67444	Credit Suisse AG - HSI R Bear CBBC May 2014 O	300,000,000	2014/01/27	2014/05/29	75.00
67396	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 R	150,000,000	2014/01/27	2014/04/29	38.25
67378	HK Bank - AIA R Bull CBBC Sep 2014 A	60,000,000	2014/01/27	2014/09/08	17.40
67381	HK Bank - A50 R Bull CBBC Sep 2014 E	200,000,000	2014/01/27	2014/09/22	50.00
67363	HK Bank - A50 R Bull CBBC Dec 2014 B	200,000,000	2014/01/27	2014/12/31	50.00
67376	HK Bank - A50 R Bull CBBC Jan 2015 C	200,000,000	2014/01/27	2015/01/21	50.00
67359	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 D	50,000,000	2014/01/27	2014/10/22	12.50
67360	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 E	50,000,000	2014/01/27	2014/10/29	12.50
67361	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 B	40,000,000	2014/01/27	2014/11/03	10.00
67362	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 C	40,000,000	2014/01/27	2014/11/24	10.00
67379	HK Bank - Kunlun Energy R Bull CBBC Oct 2014 A	40,000,000	2014/01/27	2014/10/27	10.00
67374	HK Bank - TCH R Bull CBBC Oct 2014 H	50,000,000	2014/01/27	2014/10/10	14.00
67405	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 F	100,000,000	2014/01/27	2014/06/27	25.00
67412	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 G	100,000,000	2014/01/27	2014/06/27	25.00
67385	Standard Chartered Bank - Galaxy Ent R Bull CBBC Sep 2014 A	50,000,000	2014/01/27	2014/09/08	12.50
67387	Standard Chartered Bank - Galaxy Ent R Bear CBBC Oct 2014 A	50,000,000	2014/01/27	2014/10/27	15.00
67382	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 T	200,000,000	2014/01/27	2014/11/27	50.00
67397	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 U	200,000,000	2014/01/27	2014/11/27	50.00

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67399	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 V	200,000,000	2014/01/27	2014/11/27	50.00
67400	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 S	200,000,000	2014/01/27	2014/04/29	50.00
67403	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 W	200,000,000	2014/01/27	2014/04/29	50.00
67418	UBS AG - AIA R Bull CBBC Oct 2014 E	100,000,000	2014/01/27	2014/10/13	25.00
67421	UBS AG - CC Bank R Bear CBBC Aug 2014 A	100,000,000	2014/01/27	2014/08/11	25.00
67422	UBS AG - Sinopec Corp R Bull CBBC Jul 2014 C	100,000,000	2014/01/27	2014/07/07	25.00
67414	UBS AG - A50 China R Bear CBBC Jul 2014 A	100,000,000	2014/01/27	2014/07/21	25.00
67428	UBS AG - HSBC R Bear CBBC Jun 2014 B	100,000,000	2014/01/27	2014/06/09	25.00
67383	UBS AG - HSI R Bull CBBC Nov 2014 K	300,000,000	2014/01/27	2014/11/27	75.00
67384	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/01/27	2014/11/27	75.00
67445	UBS AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2014/01/27	2014/04/29	75.00
67451	UBS AG - HSI R Bear CBBC May 2014 J	300,000,000	2014/01/27	2014/05/29	75.00
67427	UBS AG - Hutchison R Bull CBBC Sep 2014 B	100,000,000	2014/01/27	2014/09/22	25.00
67417	UBS AG - ICBC R Bear CBBC Jul 2014 B	100,000,000	2014/01/27	2014/07/07	25.00
67420	UBS AG - Lenovo R Bull CBBC Dec 2014 B	50,000,000	2014/01/27	2014/12/08	12.50
67419	UBS AG - Lenovo R Bear CBBC Oct 2014 A	50,000,000	2014/01/27	2014/10/13	12.50
67415	UBS AG - Sands China R Bull CBBC Aug 2014 E	100,000,000	2014/01/27	2014/08/18	25.00
67476	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 N	300,000,000	2014/01/28	2014/06/27	75.00
67477	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 O	300,000,000	2014/01/28	2014/06/27	75.00
67528	Credit Suisse AG - HSI R Bull CBBC Jul 2014 W	300,000,000	2014/01/28	2014/07/30	75.00
67534	Credit Suisse AG - HSI R Bull CBBC Jul 2014 X	300,000,000	2014/01/28	2014/07/30	75.00
67508	Credit Suisse AG - HSI R Bear CBBC Apr 2014 H	300,000,000	2014/01/28	2014/04/29	75.00
67509	Credit Suisse AG - HSI R Bear CBBC Apr 2014 M	300,000,000	2014/01/28	2014/04/29	75.00
67541	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Jul 2014 A	80,000,000	2014/01/28	2014/07/28	20.00
67538	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Sep 2014 B	80,000,000	2014/01/28	2014/09/22	20.00
67545	Citigroup Global Mkt H Inc. - CC Bank R Bear CBBC May 2014 A	80,000,000	2014/01/28	2014/05/12	20.00
67547	Citigroup Global Mkt H Inc. - CC Bank R Bear CBBC Aug 2014 A	80,000,000	2014/01/28	2014/08/25	20.00
67453	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 S	150,000,000	2014/01/28	2014/04/29	38.70
67549	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2014 T	150,000,000	2014/01/28	2014/04/29	41.40
67470	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2014 C	80,000,000	2014/01/28	2014/09/29	20.00
67454	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2014 C	80,000,000	2014/01/28	2014/11/03	20.00
67456	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 A	80,000,000	2014/01/28	2015/01/26	20.00
67469	HK Bank - Sands China R Bull CBBC Oct 2014 B	60,000,000	2014/01/28	2014/10/24	15.00
67555	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 A	300,000,000	2014/01/28	2014/10/30	75.00
67556	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 O	300,000,000	2014/01/28	2014/04/29	75.00
67513	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 N	100,000,000	2014/01/28	2014/09/29	25.00
67504	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 I	100,000,000	2014/01/28	2014/08/28	25.00
67473	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 Y	200,000,000	2014/01/28	2014/04/29	50.00
67475	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 Z	200,000,000	2014/01/28	2014/04/29	50.00
67512	UBS AG - CNOOC R Bull CBBC Oct 2014 B	50,000,000	2014/01/28	2014/10/27	12.50
67510	UBS AG - CNOOC R Bear CBBC Aug 2014 B	50,000,000	2014/01/28	2014/08/11	12.50
67515	UBS AG - HSI R Bull CBBC Aug 2014 U	300,000,000	2014/01/28	2014/08/28	75.00
67517	UBS AG - HSI R Bull CBBC Aug 2014 V	300,000,000	2014/01/28	2014/08/28	75.00
67486	UBS AG - HSI R Bear CBBC Apr 2014 B	350,000,000	2014/01/28	2014/04/29	87.50
67478	UBS AG - HSI R Bear CBBC Apr 2014 T	300,000,000	2014/01/28	2014/04/29	75.00

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67484	UBS AG - HSI R Bear CBBC Apr 2014 X	300,000,000	2014/01/28	2014/04/29	75.00
67687	Credit Suisse AG - HSI R Bull CBBC Aug 2014 T	300,000,000	2014/01/29	2014/08/28	75.00
67693	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Y	300,000,000	2014/01/29	2014/08/28	75.00
67641	Credit Suisse AG - HSI R Bear CBBC Jul 2014 M	300,000,000	2014/01/29	2014/07/30	75.00
67678	Credit Suisse AG - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/01/29	2014/07/30	75.00
67711	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Jul 2014 A	80,000,000	2014/01/29	2014/07/28	20.00
67708	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Sep 2014 B	80,000,000	2014/01/29	2014/09/22	20.00
67714	Citigroup Global Mkt H Inc. - ICBC R Bear CBBC Aug 2014 A	80,000,000	2014/01/29	2014/08/25	20.00
67705	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2014 C	150,000,000	2014/01/29	2014/04/29	38.85
67617	HK Bank - Anhui Conch R Bull CBBC Aug 2015 A	50,000,000	2014/01/29	2015/08/24	12.50
67572	HK Bank - CNOOC R Bull CBBC Oct 2014 D	60,000,000	2014/01/29	2014/10/06	15.00
67587	HK Bank - CNOOC R Bull CBBC Jan 2015 A	60,000,000	2014/01/29	2015/01/26	17.40
67588	HK Bank - CNOOC R Bear CBBC Dec 2014 A	40,000,000	2014/01/29	2014/12/22	10.00
67571	HK Bank - Galaxy Ent R Bear CBBC Oct 2014 E	40,000,000	2014/01/29	2014/10/13	10.00
67560	HK Bank - HSCEI R Bull CBBC Aug 2014 C	100,000,000	2014/01/29	2014/08/28	25.00
67570	HK Bank - HSCEI R Bull CBBC Nov 2014 A	100,000,000	2014/01/29	2014/11/27	25.00
67558	HK Bank - HSI R Bull CBBC Aug 2014 Q	100,000,000	2014/01/29	2014/08/28	25.00
67596	HK Bank - Lenovo R Bull CBBC Sep 2014 D	60,000,000	2014/01/29	2014/09/29	15.00
67600	HK Bank - Lenovo R Bull CBBC Dec 2014 B	60,000,000	2014/01/29	2014/12/29	15.00
67603	HK Bank - Lenovo R Bear CBBC Jan 2015 A	40,000,000	2014/01/29	2015/01/19	10.00
67632	HK Bank - Lenovo R Bear CBBC Mar 2015 A	40,000,000	2014/01/29	2015/03/16	10.00
67707	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 W	300,000,000	2014/01/29	2014/11/27	75.00
67676	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 M	100,000,000	2014/01/29	2014/11/27	25.00
67669	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 G	100,000,000	2014/01/29	2014/07/30	25.00
67675	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 A	50,000,000	2014/01/29	2014/10/08	40.00
67663	UBS AG - China Life R Bull CBBC Jul 2014 H	50,000,000	2014/01/29	2014/07/28	12.50
67667	UBS AG - Minsheng Bank R Bull CBBC Sep 2014 B	50,000,000	2014/01/29	2014/09/29	12.50
67666	UBS AG - Minsheng Bank R Bull CBBC Oct 2014 B	50,000,000	2014/01/29	2014/10/06	12.50
67657	UBS AG - A50 China R Bull CBBC May 2014 B	100,000,000	2014/01/29	2014/05/12	25.00
67703	UBS AG - Galaxy Ent R Bear CBBC Oct 2014 C	100,000,000	2014/01/29	2014/10/20	25.00
67654	UBS AG - HSCEI R Bull CBBC Dec 2014 B	100,000,000	2014/01/29	2014/12/30	25.00
67696	UBS AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2014/01/29	2014/09/29	75.00
67699	UBS AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2014/01/29	2014/09/29	75.00
67638	UBS AG - HSI R Bear CBBC Apr 2014 Q	350,000,000	2014/01/29	2014/04/29	87.50
67646	UBS AG - HSI R Bear CBBC May 2014 K	300,000,000	2014/01/29	2014/05/29	75.00
67649	UBS AG - HSI R Bear CBBC May 2014 W	300,000,000	2014/01/29	2014/05/29	75.00
67648	UBS AG - HSI R Bear CBBC Jun 2014 D	300,000,000	2014/01/29	2014/06/27	75.00
67651	UBS AG - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/01/29	2014/07/30	75.00
67802	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 D	300,000,000	2014/01/30	2014/08/28	75.00
67819	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Y	300,000,000	2014/01/30	2014/08/28	75.00
67896	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/01/30	2014/06/27	75.00
67897	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/01/30	2014/06/27	75.00
67776	Credit Suisse AG - HSI R Bull CBBC Sep 2014 B	300,000,000	2014/01/30	2014/09/29	75.00
67792	Credit Suisse AG - HSI R Bull CBBC Sep 2014 C	300,000,000	2014/01/30	2014/09/29	75.00
67859	Credit Suisse AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2014/01/30	2014/09/29	75.00

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67794	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Z	300,000,000	2014/01/30	2014/09/29	75.00
67853	Credit Suisse AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2014/01/30	2014/04/29	75.00
67854	Credit Suisse AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2014/01/30	2014/04/29	75.00
67857	Credit Suisse AG - HSI R Bear CBBC Apr 2014 Z	300,000,000	2014/01/30	2014/04/29	75.00
67822	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 F	150,000,000	2014/01/30	2014/05/29	42.75
67832	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 G	150,000,000	2014/01/30	2014/05/29	39.75
67827	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 A	150,000,000	2014/01/30	2014/05/29	38.40
67828	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 B	150,000,000	2014/01/30	2014/05/29	37.95
67840	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 C	150,000,000	2014/01/30	2014/05/29	37.65
67842	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 D	150,000,000	2014/01/30	2014/05/29	37.80
67743	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2014 B	80,000,000	2014/01/30	2014/10/13	20.00
67741	HK Bank - A50 R Bull CBBC Oct 2014 D	200,000,000	2014/01/30	2014/10/13	50.00
67735	HK Bank - A50 R Bull CBBC Nov 2014 F	200,000,000	2014/01/30	2014/11/03	50.00
67749	HK Bank - A50 R Bull CBBC Nov 2014 G	200,000,000	2014/01/30	2014/11/28	50.00
67725	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 D	40,000,000	2014/01/30	2014/11/10	10.00
67751	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 E	40,000,000	2014/01/30	2014/11/17	10.00
67726	HK Bank - HSCEI R Bull CBBC Aug 2014 D	100,000,000	2014/01/30	2014/08/28	25.00
67717	HK Bank - HSI R Bear CBBC Aug 2014 N	100,000,000	2014/01/30	2014/08/28	25.00
67715	HK Bank - HSI R Bear CBBC Sep 2014 I	100,000,000	2014/01/30	2014/09/29	25.00
67728	HK Bank - Sands China R Bear CBBC Nov 2014 D	50,000,000	2014/01/30	2014/11/24	12.50
67756	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/01/30	2014/10/30	75.00
67759	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/01/30	2014/11/27	75.00
67895	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 M	300,000,000	2014/01/30	2014/04/29	75.00
67894	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 V	300,000,000	2014/01/30	2014/04/29	75.00
67760	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 N	100,000,000	2014/01/30	2014/11/27	25.00
67765	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 O	100,000,000	2014/01/30	2014/11/27	25.00
67849	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 H	100,000,000	2014/01/30	2014/07/30	25.00
67773	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 W	200,000,000	2014/01/30	2014/11/27	50.00
67775	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 X	200,000,000	2014/01/30	2014/11/27	50.00
67850	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 D	200,000,000	2014/01/30	2014/04/29	50.00
67852	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 F	200,000,000	2014/01/30	2014/04/29	50.00
67878	UBS AG - CNOOC R Bull CBBC Oct 2014 C	50,000,000	2014/01/30	2014/10/20	12.50
67801	UBS AG - HSI R Bull CBBC Jun 2014 Y	350,000,000	2014/01/30	2014/06/27	87.50
67800	UBS AG - HSI R Bull CBBC Sep 2014 M	300,000,000	2014/01/30	2014/09/29	75.00
67798	UBS AG - HSI R Bull CBBC Oct 2014 T	300,000,000	2014/01/30	2014/10/30	75.00
67799	UBS AG - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/01/30	2014/12/30	75.00
67891	UBS AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2014/01/30	2014/04/29	75.00
67893	UBS AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2014/01/30	2014/04/29	75.00
67885	UBS AG - HSI R Bear CBBC May 2014 P	300,000,000	2014/01/30	2014/05/29	75.00
67862	UBS AG - Sands China R Bear CBBC May 2014 G	100,000,000	2014/01/30	2014/05/12	25.00
68019	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 F	300,000,000	2014/02/04	2014/08/28	75.00
68021	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 H	300,000,000	2014/02/04	2014/08/28	75.00
68192	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 N	300,000,000	2014/02/04	2014/08/28	75.00
68186	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 C	300,000,000	2014/02/04	2014/06/27	75.00
68256	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 P	300,000,000	2014/02/04	2014/06/27	75.00

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68260	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/02/04	2014/06/27	75.00
68176	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 T	300,000,000	2014/02/04	2014/06/27	75.00
68175	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 V	300,000,000	2014/02/04	2014/06/27	75.00
68183	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 X	300,000,000	2014/02/04	2014/06/27	75.00
68184	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 Y	300,000,000	2014/02/04	2014/06/27	75.00
68023	Credit Suisse AG - HSI R Bull CBBC Aug 2014 K	300,000,000	2014/02/04	2014/08/28	75.00
68025	Credit Suisse AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2014/02/04	2014/08/28	75.00
68122	Credit Suisse AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2014/02/04	2014/08/28	75.00
68022	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Z	300,000,000	2014/02/04	2014/08/28	75.00
68099	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	300,000,000	2014/02/04	2014/11/27	75.00
68089	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/02/04	2014/11/27	75.00
68080	Credit Suisse AG - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/02/04	2014/12/30	75.00
68083	Credit Suisse AG - HSI R Bull CBBC Dec 2014 B	300,000,000	2014/02/04	2014/12/30	75.00
68101	Credit Suisse AG - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/02/04	2014/12/30	75.00
68102	Credit Suisse AG - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/02/04	2014/12/30	75.00
68200	Credit Suisse AG - HSI R Bear CBBC May 2014 U	300,000,000	2014/02/04	2014/05/29	75.00
68201	Credit Suisse AG - HSI R Bear CBBC May 2014 V	300,000,000	2014/02/04	2014/05/29	75.00
68206	Credit Suisse AG - HSI R Bear CBBC May 2014 W	300,000,000	2014/02/04	2014/05/29	75.00
68207	Credit Suisse AG - HSI R Bear CBBC May 2014 X	300,000,000	2014/02/04	2014/05/29	75.00
68208	Credit Suisse AG - HSI R Bear CBBC May 2014 Y	300,000,000	2014/02/04	2014/05/29	75.00
68070	Bank of East Asia - Anhui Conch R Bull CBBC May 2015 A	200,000,000	2014/02/04	2015/05/20	50.00
68063	Bank of East Asia - BOCL R Bull CBBC Dec 2014 A	140,000,000	2014/02/04	2014/12/05	35.00
68053	Bank of East Asia - CC Bank R Bull CBBC Nov 2014 A	100,000,000	2014/02/04	2014/11/28	25.00
68055	Bank of East Asia - China Life R Bull CBBC Oct 2014 A	50,000,000	2014/02/04	2014/10/24	18.00
68075	Bank of East Asia - CNBM R Bull CBBC Dec 2014 A	80,000,000	2014/02/04	2014/12/22	20.00
68064	Bank of East Asia - CNOOC R Bull CBBC Dec 2014 A	50,000,000	2014/02/04	2014/12/22	12.50
68054	Bank of East Asia - ICBC R Bull CBBC Nov 2014 A	100,000,000	2014/02/04	2014/11/21	25.00
68357	Bank of East Asia - Lenovo R Bear CBBC Sep 2014 A	60,000,000	2014/02/04	2014/09/22	15.00
68358	Bank of East Asia - Lenovo R Bear CBBC Dec 2014 A	60,000,000	2014/02/04	2014/12/22	18.00
68359	Bank of East Asia - TCH R Bull CBBC Sep 2014 A	40,000,000	2014/02/04	2014/09/30	28.00
68264	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 H	150,000,000	2014/02/04	2014/05/29	38.70
68266	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 I	150,000,000	2014/02/04	2014/05/29	38.55
68267	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 J	150,000,000	2014/02/04	2014/05/29	39.75
68047	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 E	150,000,000	2014/02/04	2014/05/29	37.50
68048	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 F	150,000,000	2014/02/04	2014/05/29	38.85
68050	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 G	150,000,000	2014/02/04	2014/05/29	38.25
68271	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 H	150,000,000	2014/02/04	2014/05/29	38.55
68001	HK Bank - BOCL R Bear CBBC Nov 2014 A	40,000,000	2014/02/04	2014/11/24	10.00
67959	HK Bank - CC Bank R Bull CBBC Sep 2014 A	80,000,000	2014/02/04	2014/09/22	20.00
67954	HK Bank - CC Bank R Bull CBBC Oct 2014 A	80,000,000	2014/02/04	2014/10/27	20.00
68006	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 C	40,000,000	2014/02/04	2014/11/24	10.00
68007	HK Bank - HSI R Bear CBBC Aug 2014 O	100,000,000	2014/02/04	2014/08/28	25.00
67919	HK Bank - HSI R Bear CBBC Sep 2014 J	100,000,000	2014/02/04	2014/09/29	25.00
67921	HK Bank - HSI R Bear CBBC Oct 2014 C	100,000,000	2014/02/04	2014/10/30	25.00
67961	HK Bank - ICBC R Bull CBBC Oct 2014 B	80,000,000	2014/02/04	2014/10/27	20.00

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67967	HK Bank - ICBC R Bear CBBC Oct 2014 C	40,000,000	2014/02/04	2014/10/27	10.00
67926	HK Bank - Sands China R Bear CBBC Oct 2014 B	50,000,000	2014/02/04	2014/10/20	12.50
68347	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/02/04	2014/10/30	75.00
67917	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/02/04	2014/10/30	75.00
67916	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Y	300,000,000	2014/02/04	2014/11/27	75.00
68348	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/02/04	2014/11/27	75.00
68321	J P Morgan SP BV - HSI R Bear CBBC May 2014 F	300,000,000	2014/02/04	2014/05/29	75.00
68322	J P Morgan SP BV - HSI R Bear CBBC May 2014 G	300,000,000	2014/02/04	2014/05/29	75.00
68331	J P Morgan SP BV - HSI R Bear CBBC May 2014 H	300,000,000	2014/02/04	2014/05/29	75.00
68337	J P Morgan SP BV - HSI R Bear CBBC May 2014 I	300,000,000	2014/02/04	2014/05/29	75.00
68029	Standard Chartered Bank - Galaxy Ent R Bull CBBC Sep 2014 B	50,000,000	2014/02/04	2014/09/30	12.50
68033	Standard Chartered Bank - Galaxy Ent R Bear CBBC Aug 2014 A	50,000,000	2014/02/04	2014/08/08	12.50
68041	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 P	100,000,000	2014/02/04	2014/11/27	25.00
68037	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 I	100,000,000	2014/02/04	2014/07/30	25.00
68349	Standard Chartered Bank - TCH R Bull CBBC Sep 2014 B	50,000,000	2014/02/04	2014/09/08	27.50
68350	Standard Chartered Bank - TCH R Bear CBBC Sep 2014 C	50,000,000	2014/02/04	2014/09/12	27.50
68296	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 A	200,000,000	2014/02/04	2014/11/27	50.00
68008	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Y	200,000,000	2014/02/04	2014/11/27	50.00
68010	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Z	200,000,000	2014/02/04	2014/11/27	50.00
68017	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 A	200,000,000	2014/02/04	2014/05/29	50.00
68018	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 B	200,000,000	2014/02/04	2014/05/29	50.00
68045	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 C	200,000,000	2014/02/04	2014/05/29	50.00
68046	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 D	200,000,000	2014/02/04	2014/05/29	50.00
68308	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 E	200,000,000	2014/02/04	2014/05/29	50.00
68171	UBS AG - Anhui Conch R Bull CBBC Jul 2015 A	50,000,000	2014/02/04	2015/07/06	12.50
68161	UBS AG - Anhui Conch R Bull CBBC Aug 2015 A	50,000,000	2014/02/04	2015/08/03	12.50
68159	UBS AG - Anhui Conch R Bear CBBC Oct 2014 A	50,000,000	2014/02/04	2014/10/16	12.50
68142	UBS AG - Minsheng Bank R Bull CBBC Oct 2014 C	50,000,000	2014/02/04	2014/10/06	12.50
68143	UBS AG - Minsheng Bank R Bull CBBC Nov 2014 A	50,000,000	2014/02/04	2014/11/03	12.50
68137	UBS AG - Minsheng Bank R Bear CBBC Aug 2014 A	50,000,000	2014/02/04	2014/08/18	12.50
68125	UBS AG - CNBM R Bull CBBC Jun 2014 C	50,000,000	2014/02/04	2014/06/30	12.50
68124	UBS AG - CNBM R Bear CBBC Sep 2014 A	50,000,000	2014/02/04	2014/09/22	12.50
68123	UBS AG - HSI R Bull CBBC Oct 2014 U	300,000,000	2014/02/04	2014/10/30	75.00
68026	UBS AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/02/04	2014/11/27	75.00
68027	UBS AG - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/02/04	2014/12/30	75.00
68237	UBS AG - HSI R Bear CBBC May 2014 Q	300,000,000	2014/02/04	2014/05/29	75.00
68254	UBS AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/04	2014/05/29	75.00
68251	UBS AG - HSI R Bear CBBC May 2014 T	300,000,000	2014/02/04	2014/05/29	75.00
68236	UBS AG - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/02/04	2014/08/28	75.00
68174	UBS AG - Kunlun Energy R Bull CBBC Jul 2014 B	50,000,000	2014/02/04	2014/07/28	14.50
68234	UBS AG - Kunlun Energy R Bull CBBC Sep 2014 A	50,000,000	2014/02/04	2014/09/15	12.50
68173	UBS AG - Kunlun Energy R Bear CBBC Oct 2014 A	50,000,000	2014/02/04	2014/10/06	14.75
68149	UBS AG - Lenovo R Bull CBBC Dec 2014 C	50,000,000	2014/02/04	2014/12/22	12.50
68157	UBS AG - Lenovo R Bull CBBC Dec 2014 D	50,000,000	2014/02/04	2014/12/08	16.25
68144	UBS AG - Lenovo R Bear CBBC Oct 2014 B	50,000,000	2014/02/04	2014/10/27	14.00

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68145	UBS AG - Lenovo R Bear CBBC Oct 2014 C	50,000,000	2014/02/04	2014/10/06	12.50
68147	UBS AG - Lenovo R Bear CBBC Oct 2014 D	50,000,000	2014/02/04	2014/10/20	14.00
68590	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 E	300,000,000	2014/02/05	2014/08/28	75.00
68602	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 I	300,000,000	2014/02/05	2014/08/28	75.00
68588	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Q	300,000,000	2014/02/05	2014/08/28	75.00
68615	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 V	300,000,000	2014/02/05	2014/08/28	75.00
68616	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 W	300,000,000	2014/02/05	2014/08/28	75.00
69999	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 X	300,000,000	2014/02/05	2014/08/28	75.00
60182	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 A	300,000,000	2014/02/05	2014/07/30	75.00
60183	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/02/05	2014/07/30	75.00
60184	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 C	300,000,000	2014/02/05	2014/07/30	75.00
60185	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/02/05	2014/07/30	75.00
60186	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/02/05	2014/07/30	75.00
60187	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/02/05	2014/07/30	75.00
60189	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/02/05	2014/07/30	75.00
60192	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/02/05	2014/07/30	75.00
60195	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/02/05	2014/07/30	75.00
60008	Credit Suisse AG - HSI R Bull CBBC Nov 2014 C	300,000,000	2014/02/05	2014/11/27	75.00
60012	Credit Suisse AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2014/02/05	2014/11/27	75.00
60000	Credit Suisse AG - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/02/05	2014/11/27	75.00
60014	Credit Suisse AG - HSI R Bull CBBC Nov 2014 H	300,000,000	2014/02/05	2014/11/27	75.00
60019	Credit Suisse AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/02/05	2014/11/27	75.00
60026	Credit Suisse AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/02/05	2014/11/27	75.00
60027	Credit Suisse AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/02/05	2014/11/27	75.00
60168	Credit Suisse AG - HSI R Bear CBBC May 2014 E	300,000,000	2014/02/05	2014/05/29	75.00
60170	Credit Suisse AG - HSI R Bear CBBC May 2014 F	300,000,000	2014/02/05	2014/05/29	75.00
60171	Credit Suisse AG - HSI R Bear CBBC May 2014 G	300,000,000	2014/02/05	2014/05/29	75.00
60173	Credit Suisse AG - HSI R Bear CBBC May 2014 I	300,000,000	2014/02/05	2014/05/29	75.00
60181	Credit Suisse AG - HSI R Bear CBBC May 2014 P	300,000,000	2014/02/05	2014/05/29	75.00
60169	Credit Suisse AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/02/05	2014/05/29	75.00
60091	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 K	150,000,000	2014/02/05	2014/05/29	38.40
60092	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 L	150,000,000	2014/02/05	2014/05/29	38.70
60094	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 M	150,000,000	2014/02/05	2014/05/29	38.10
60097	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 I	150,000,000	2014/02/05	2014/05/29	38.85
60098	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 J	150,000,000	2014/02/05	2014/05/29	38.40
60106	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 K	150,000,000	2014/02/05	2014/05/29	39.45
60111	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 L	150,000,000	2014/02/05	2014/05/29	40.05
60113	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 M	150,000,000	2014/02/05	2014/05/29	38.40
60129	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 N	150,000,000	2014/02/05	2014/05/29	38.85
60196	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 O	150,000,000	2014/02/05	2014/05/29	38.70
68372	HK Bank - Geely Auto R Bull CBBC Oct 2014 A	40,000,000	2014/02/05	2014/10/31	10.00
68371	HK Bank - Great Wall Motor R Bull CBBC Sep 2015 A	40,000,000	2014/02/05	2015/09/21	10.00
68410	HK Bank - HSI R Bull CBBC Sep 2014 J	100,000,000	2014/02/05	2014/09/29	25.00
68398	HK Bank - HSI R Bull CBBC Oct 2014 C	100,000,000	2014/02/05	2014/10/30	25.00
68403	HK Bank - HSI R Bear CBBC Aug 2014 P	100,000,000	2014/02/05	2014/08/28	25.00

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68394	HK Bank - HSI R Bear CBBC Sep 2014 K	100,000,000	2014/02/05	2014/09/29	25.00
68397	HK Bank - HSI R Bear CBBC Oct 2014 D	100,000,000	2014/02/05	2014/10/30	25.00
68363	HK Bank - TCH R Bear CBBC Sep 2014 L	50,000,000	2014/02/05	2014/09/29	17.50
68361	HK Bank - TCH R Bear CBBC Oct 2014 G	50,000,000	2014/02/05	2014/10/27	22.50
60210	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/02/05	2014/09/29	75.00
68411	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 U	300,000,000	2014/02/05	2014/09/29	75.00
68436	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 X	300,000,000	2014/02/05	2014/09/29	75.00
68434	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/02/05	2014/10/30	75.00
60201	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 L	300,000,000	2014/02/05	2014/10/30	75.00
68422	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 R	300,000,000	2014/02/05	2014/10/30	75.00
68430	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 B	300,000,000	2014/02/05	2014/11/27	75.00
60199	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 C	300,000,000	2014/02/05	2014/11/27	75.00
60197	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/02/05	2014/12/30	75.00
60211	J P Morgan SP BV - HSI R Bear CBBC May 2014 J	300,000,000	2014/02/05	2014/05/29	75.00
60212	J P Morgan SP BV - HSI R Bear CBBC May 2014 K	300,000,000	2014/02/05	2014/05/29	75.00
60217	J P Morgan SP BV - HSI R Bear CBBC May 2014 L	300,000,000	2014/02/05	2014/05/29	75.00
60219	J P Morgan SP BV - HSI R Bear CBBC May 2014 M	300,000,000	2014/02/05	2014/05/29	75.00
60148	Standard Chartered Bank - Galaxy Ent R Bull CBBC Aug 2014 A	50,000,000	2014/02/05	2014/08/25	12.50
68586	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 A	100,000,000	2014/02/05	2014/12/30	25.00
68529	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 Z	100,000,000	2014/02/05	2014/12/30	25.00
68585	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 J	100,000,000	2014/02/05	2014/08/28	25.00
60146	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 K	100,000,000	2014/02/05	2014/08/28	25.00
60147	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 L	100,000,000	2014/02/05	2014/08/28	25.00
68450	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14F	200,000,000	2014/02/05	2014/11/27	50.00
68437	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14G	200,000,000	2014/02/05	2014/11/27	50.00
68483	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14H	200,000,000	2014/02/05	2014/11/27	50.00
68455	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14I	200,000,000	2014/02/05	2014/11/27	50.00
68486	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14J	200,000,000	2014/02/05	2014/11/27	50.00
68465	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14K	200,000,000	2014/02/05	2014/11/27	50.00
68474	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14L	200,000,000	2014/02/05	2014/11/27	50.00
68475	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14M	200,000,000	2014/02/05	2014/11/27	50.00
68477	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14N	200,000,000	2014/02/05	2014/11/27	50.00
68521	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14O	200,000,000	2014/02/05	2014/11/27	50.00
68478	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14P	200,000,000	2014/02/05	2014/11/27	50.00
68526	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14Q	200,000,000	2014/02/05	2014/11/27	50.00
60033	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14F	200,000,000	2014/02/05	2014/05/29	50.00
60034	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14G	200,000,000	2014/02/05	2014/05/29	50.00
60035	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14H	200,000,000	2014/02/05	2014/05/29	50.00
60040	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14I	200,000,000	2014/02/05	2014/05/29	50.00
60049	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14J	200,000,000	2014/02/05	2014/05/29	50.00
60089	UBS AG - Galaxy Ent R Bear CBBC Oct 2014 D	100,000,000	2014/02/05	2014/10/06	25.00
60082	UBS AG - HSCEI R Bull CBBC Dec 2014 C	100,000,000	2014/02/05	2014/12/30	25.00
60032	UBS AG - HSI R Bull CBBC Aug 2014 W	300,000,000	2014/02/05	2014/08/28	75.00
60070	UBS AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2014/02/05	2014/09/29	75.00
60030	UBS AG - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/02/05	2014/10/30	75.00

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60067	UBS AG - HSI R Bull CBBC Oct 2014 R	300,000,000	2014/02/05	2014/10/30	75.00
60079	UBS AG - HSI R Bull CBBC Oct 2014 V	300,000,000	2014/02/05	2014/10/30	75.00
60031	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/02/05	2014/11/27	75.00
60057	UBS AG - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/02/05	2014/11/27	75.00
60066	UBS AG - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/02/05	2014/11/27	75.00
60069	UBS AG - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/02/05	2014/11/27	75.00
60080	UBS AG - HSI R Bull CBBC Nov 2014 R	300,000,000	2014/02/05	2014/11/27	75.00
60029	UBS AG - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/02/05	2014/12/30	75.00
60061	UBS AG - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/02/05	2014/12/30	75.00
60065	UBS AG - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/02/05	2014/12/30	75.00
60167	UBS AG - HSI R Bear CBBC May 2014 M	300,000,000	2014/02/05	2014/05/29	75.00
60142	UBS AG - HSI R Bear CBBC May 2014 R	300,000,000	2014/02/05	2014/05/29	75.00
60141	UBS AG - HSI R Bear CBBC May 2014 V	300,000,000	2014/02/05	2014/05/29	75.00
60144	UBS AG - HSI R Bear CBBC May 2014 X	300,000,000	2014/02/05	2014/05/29	75.00
60130	UBS AG - HSI R Bear CBBC Jun 2014 E	300,000,000	2014/02/05	2014/06/27	75.00
60131	UBS AG - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/02/05	2014/06/27	75.00
60135	UBS AG - HSI R Bear CBBC Jun 2014 G	300,000,000	2014/02/05	2014/06/27	75.00
60083	UBS AG - TCH R Bear CBBC Aug 2014 C	40,000,000	2014/02/05	2014/08/25	20.00
60150	UBS AG - TCH R Bear CBBC Sep 2014 D	40,000,000	2014/02/05	2014/09/15	17.20
60384	Credit Suisse AG - HSI R Bull CBBC Sep 2014 D	300,000,000	2014/02/06	2014/09/29	75.00
60365	Credit Suisse AG - HSI R Bull CBBC Sep 2014 J	300,000,000	2014/02/06	2014/09/29	75.00
60257	Credit Suisse AG - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/02/06	2014/12/30	75.00
60258	Credit Suisse AG - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/02/06	2014/12/30	75.00
60259	Credit Suisse AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/02/06	2014/12/30	75.00
60261	Credit Suisse AG - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/02/06	2014/07/30	75.00
60262	Credit Suisse AG - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/02/06	2014/07/30	75.00
60264	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/02/06	2014/07/30	75.00
60265	Credit Suisse AG - HSI R Bear CBBC Jul 2014 R	300,000,000	2014/02/06	2014/07/30	75.00
60277	Credit Suisse AG - HSI R Bear CBBC Jul 2014 S	300,000,000	2014/02/06	2014/07/30	75.00
60392	Credit Suisse AG - HSI R Bear CBBC Jul 2014 U	300,000,000	2014/02/06	2014/07/30	75.00
60424	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Nov 2014 A	50,000,000	2014/02/06	2014/11/17	12.50
60426	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Nov 2014 B	50,000,000	2014/02/06	2014/11/17	12.50
60428	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Nov 2014 A	80,000,000	2014/02/06	2014/11/10	20.00
60429	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Sep 2014 A	80,000,000	2014/02/06	2014/09/29	20.00
60284	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 N	150,000,000	2014/02/06	2014/05/29	39.30
60291	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 O	150,000,000	2014/02/06	2014/05/29	43.05
60294	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 P	150,000,000	2014/02/06	2014/05/29	41.85
60296	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 Q	150,000,000	2014/02/06	2014/05/29	40.50
60298	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 R	150,000,000	2014/02/06	2014/05/29	44.70
60225	HK Bank - HSCEI R Bear CBBC Sep 2014 D	68,000,000	2014/02/06	2014/09/29	17.00
60220	HK Bank - HSI R Bull CBBC Aug 2014 R	100,000,000	2014/02/06	2014/08/28	25.00
60221	HK Bank - HSI R Bull CBBC Dec 2014 A	100,000,000	2014/02/06	2014/12/30	25.00
60222	HK Bank - HSI R Bull CBBC Jan 2015 A	100,000,000	2014/02/06	2015/01/29	25.00
60223	HK Bank - HSI R Bull CBBC Feb 2015 A	100,000,000	2014/02/06	2015/02/26	25.00
60237	HK Bank - HSI R Bear CBBC Aug 2014 Q	100,000,000	2014/02/06	2014/08/28	25.00

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60236	HK Bank - HSI R Bear CBBC Sep 2014 L	100,000,000	2014/02/06	2014/09/29	25.00
60227	HK Bank - HSI R Bear CBBC Oct 2014 E	100,000,000	2014/02/06	2014/10/30	25.00
60224	HK Bank - HSI R Bear CBBC Dec 2014 A	400,000,000	2014/02/06	2014/12/30	100.00
60238	HK Bank - Huishan Dairy R Bull CBBC Mar 2015 A	40,000,000	2014/02/06	2015/03/31	10.00
60401	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 T	300,000,000	2014/02/06	2014/09/29	75.00
60400	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/02/06	2014/10/30	75.00
60399	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 A	300,000,000	2014/02/06	2014/11/27	75.00
60402	J P Morgan SP BV - HSI R Bear CBBC May 2014 N	300,000,000	2014/02/06	2014/05/29	75.00
60409	J P Morgan SP BV - HSI R Bear CBBC May 2014 O	300,000,000	2014/02/06	2014/05/29	75.00
60361	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 H	100,000,000	2014/02/06	2014/06/27	25.00
60362	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 I	100,000,000	2014/02/06	2014/06/27	25.00
60355	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 F	100,000,000	2014/02/06	2014/06/27	25.00
60356	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 G	100,000,000	2014/02/06	2014/06/27	25.00
60300	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Aug 2014A	50,000,000	2014/02/06	2014/08/01	12.50
60396	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 B	100,000,000	2014/02/06	2014/12/30	25.00
60282	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 M	100,000,000	2014/02/06	2014/08/28	25.00
60281	Standard Chartered Bank - Sands China R Bull CBBC Aug 2014 A	50,000,000	2014/02/06	2014/08/18	12.50
60299	Standard Chartered Bank - Sands China R Bear CBBC May 2014 A	50,000,000	2014/02/06	2014/05/08	12.50
60245	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14E	200,000,000	2014/02/06	2014/11/27	50.00
60242	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14R	200,000,000	2014/02/06	2014/11/27	50.00
60243	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14S	200,000,000	2014/02/06	2014/11/27	50.00
60246	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14T	200,000,000	2014/02/06	2014/11/27	50.00
60251	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14K	200,000,000	2014/02/06	2014/05/29	50.00
60252	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14L	200,000,000	2014/02/06	2014/05/29	50.00
60254	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14M	200,000,000	2014/02/06	2014/05/29	50.00
60256	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 14N	200,000,000	2014/02/06	2014/05/29	50.00
60349	UBS AG - Geely Auto R Bull CBBC Nov 2014 A	50,000,000	2014/02/06	2014/11/24	12.50
60351	UBS AG - Galaxy Ent R Bull CBBC Sep 2014 E	100,000,000	2014/02/06	2014/09/29	25.00
60350	UBS AG - Galaxy Ent R Bear CBBC Sep 2014 B	100,000,000	2014/02/06	2014/09/22	25.00
60387	UBS AG - HSI R Bull CBBC Aug 2014 B	300,000,000	2014/02/06	2014/08/28	75.00
60333	UBS AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2014/02/06	2014/09/29	75.00
60334	UBS AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2014/02/06	2014/10/30	75.00
60340	UBS AG - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/02/06	2014/10/30	75.00
60335	UBS AG - HSI R Bull CBBC Oct 2014 W	300,000,000	2014/02/06	2014/10/30	75.00
60332	UBS AG - HSI R Bull CBBC Oct 2014 X	300,000,000	2014/02/06	2014/10/30	75.00
60325	UBS AG - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/02/06	2014/12/30	75.00
60240	UBS AG - HSI R Bear CBBC May 2014 N	300,000,000	2014/02/06	2014/05/29	75.00
60312	UBS AG - HSI R Bear CBBC May 2014 U	300,000,000	2014/02/06	2014/05/29	75.00
60394	UBS AG - HSI R Bear CBBC May 2014 Y	300,000,000	2014/02/06	2014/05/29	75.00
60302	UBS AG - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/02/06	2014/06/27	75.00
60319	UBS AG - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/02/06	2014/06/27	75.00
60321	UBS AG - HSI R Bear CBBC Jun 2014 K	300,000,000	2014/02/06	2014/06/27	75.00
60395	UBS AG - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/02/06	2014/08/28	75.00
60584	Credit Suisse AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/02/07	2014/10/30	75.00
60583	Credit Suisse AG - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/02/07	2014/10/30	75.00

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60588	Credit Suisse AG - HSI R Bull CBBC Oct 2014 W	300,000,000	2014/02/07	2014/10/30	75.00
60485	Credit Suisse AG - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/02/07	2014/08/28	75.00
60486	Credit Suisse AG - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/02/07	2014/08/28	75.00
60488	Credit Suisse AG - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/02/07	2014/08/28	75.00
60489	Credit Suisse AG - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/02/07	2014/08/28	75.00
60513	Credit Suisse AG - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/02/07	2014/08/28	75.00
60708	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Aug14A	80,000,000	2014/02/07	2014/08/27	20.00
60709	Citigroup Global Mkt H Inc. - CC Bank R Bear CBBC Aug 2014 B	80,000,000	2014/02/07	2014/08/27	20.00
60691	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Sep14 C	50,000,000	2014/02/07	2014/09/29	12.50
60678	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Sep14A	100,000,000	2014/02/07	2014/09/29	25.00
60672	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Sep 14 B	100,000,000	2014/02/07	2014/09/29	25.00
60604	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Sep14 B	60,000,000	2014/02/07	2014/09/22	15.00
60602	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Oct14 A	60,000,000	2014/02/07	2014/10/27	15.00
60600	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Nov14 A	60,000,000	2014/02/07	2014/11/24	15.00
60609	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Sep14 B	60,000,000	2014/02/07	2014/09/22	15.00
60624	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Oct14 A	60,000,000	2014/02/07	2014/10/27	15.00
60707	Citigroup Global Mkt H Inc. - ICBC R Bear CBBC Sep 2014 A	80,000,000	2014/02/07	2014/09/29	20.00
60706	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Aug 2014 A	80,000,000	2014/02/07	2014/08/27	20.00
60635	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Aug 2014 A	50,000,000	2014/02/07	2014/08/06	25.00
60630	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Sep 2014 B	50,000,000	2014/02/07	2014/09/22	30.00
60629	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Oct 2014 A	50,000,000	2014/02/07	2014/10/27	35.00
60636	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Aug 2014 A	50,000,000	2014/02/07	2014/08/06	15.00
60637	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Sep 2014 A	50,000,000	2014/02/07	2014/09/22	20.00
60640	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Oct 2014 A	50,000,000	2014/02/07	2014/10/27	25.00
60533	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 D	150,000,000	2014/02/07	2014/06/27	63.00
60535	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 E	150,000,000	2014/02/07	2014/06/27	58.50
60536	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 F	150,000,000	2014/02/07	2014/06/27	38.70
60537	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 G	150,000,000	2014/02/07	2014/06/27	37.65
60646	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 V	300,000,000	2014/02/07	2014/09/29	75.00
60641	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Y	300,000,000	2014/02/07	2014/10/30	75.00
60660	J P Morgan SP BV - HSI R Bear CBBC May 2014 P	300,000,000	2014/02/07	2014/05/29	75.00
60670	J P Morgan SP BV - HSI R Bear CBBC May 2014 Q	300,000,000	2014/02/07	2014/05/29	75.00
60579	Standard Chartered Bank - TCH R Bull CBBC Sep 2014 C	50,000,000	2014/02/07	2014/09/22	21.00
60531	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 U	200,000,000	2014/02/07	2014/11/27	50.00
60532	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 V	200,000,000	2014/02/07	2014/11/27	50.00
60471	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 O	200,000,000	2014/02/07	2014/05/29	50.00
60473	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 P	200,000,000	2014/02/07	2014/05/29	50.00
60479	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 Q	200,000,000	2014/02/07	2014/05/29	50.00
60482	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 R	200,000,000	2014/02/07	2014/05/29	50.00
60484	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 S	200,000,000	2014/02/07	2014/05/29	50.00
60557	UBS AG - HSCEI R Bear CBBC May 2014 G	100,000,000	2014/02/07	2014/05/29	25.00
60550	UBS AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2014/02/07	2014/08/28	75.00
60544	UBS AG - HSI R Bull CBBC Oct 2014 Y	300,000,000	2014/02/07	2014/10/30	75.00
60582	UBS AG - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/02/07	2014/11/27	75.00
60515	UBS AG - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/02/07	2014/06/27	75.00

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60520	UBS AG - HSI R Bear CBBC Jun 2014 M	300,000,000	2014/02/07	2014/06/27	75.00
60526	UBS AG - HSI R Bear CBBC Jun 2014 N	300,000,000	2014/02/07	2014/06/27	75.00
60527	UBS AG - HSI R Bear CBBC Jun 2014 O	350,000,000	2014/02/07	2014/06/27	87.50
60572	UBS AG - Huishan Dairy R Bull CBBC Jan 2015 A	40,000,000	2014/02/07	2015/01/26	10.00
60578	UBS AG - Hutchison R Bull CBBC Oct 2014 C	100,000,000	2014/02/07	2014/10/13	25.00
60574	UBS AG - TCH R Bull CBBC Jul 2014 N	40,000,000	2014/02/07	2014/07/07	19.40
60745	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2014 B	200,000,000	2014/02/10	2014/12/30	50.00
60730	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 R	300,000,000	2014/02/10	2014/08/28	75.00
60732	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/02/10	2014/07/30	75.00
60737	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 K	300,000,000	2014/02/10	2014/07/30	75.00
60772	Credit Suisse AG - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/02/10	2014/12/30	75.00
60774	Credit Suisse AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/02/10	2014/12/30	75.00
60779	Credit Suisse AG - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/02/10	2014/12/30	75.00
60799	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/02/10	2014/12/30	75.00
60884	Credit Suisse AG - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/02/10	2014/08/28	75.00
60889	Credit Suisse AG - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/02/10	2014/08/28	75.00
60892	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 H	150,000,000	2014/02/10	2014/06/27	37.95
60893	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 I	150,000,000	2014/02/10	2014/06/27	37.50
60894	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 J	150,000,000	2014/02/10	2014/06/27	39.15
60895	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 K	150,000,000	2014/02/10	2014/06/27	37.80
60896	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 L	150,000,000	2014/02/10	2014/06/27	39.90
60723	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 Y	300,000,000	2014/02/10	2014/09/29	75.00
60720	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Z	300,000,000	2014/02/10	2014/10/30	75.00
60713	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 D	300,000,000	2014/02/10	2014/11/27	75.00
60718	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/02/10	2014/11/27	75.00
60868	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 O	100,000,000	2014/02/10	2014/09/29	25.00
60871	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 P	100,000,000	2014/02/10	2014/09/29	25.00
60746	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 W	200,000,000	2014/02/10	2014/11/27	50.00
60760	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 X	200,000,000	2014/02/10	2014/11/27	50.00
60762	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 A	200,000,000	2014/02/10	2014/12/30	50.00
60845	UBS AG - China Mobile R Bear CBBC Jul 2014 B	100,000,000	2014/02/10	2014/07/28	25.00
60847	UBS AG - CC Bank R Bear CBBC Aug 2014 B	100,000,000	2014/02/10	2014/08/04	25.00
60843	UBS AG - China Life R Bear CBBC Aug 2014 A	50,000,000	2014/02/10	2014/08/04	17.75
60863	UBS AG - HKEx R Bear CBBC Jul 2014 C	100,000,000	2014/02/10	2014/07/14	25.00
60819	UBS AG - HSI R Bull CBBC Aug 2014 C	300,000,000	2014/02/10	2014/08/28	75.00
60826	UBS AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2014/02/10	2014/09/29	75.00
60836	UBS AG - HSI R Bull CBBC Sep 2014 K	300,000,000	2014/02/10	2014/09/29	75.00
60807	UBS AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2014/02/10	2014/09/29	75.00
60842	UBS AG - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/02/10	2014/11/27	75.00
60800	UBS AG - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/02/10	2014/12/30	75.00
60840	UBS AG - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/02/10	2014/12/30	75.00
60841	UBS AG - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/02/10	2014/12/30	75.00
60890	UBS AG - HSI R Bear CBBC Jun 2014 P	350,000,000	2014/02/10	2014/06/27	87.50
60844	UBS AG - Ping An R Bear CBBC Aug 2014 B	100,000,000	2014/02/10	2014/08/11	25.00
60854	UBS AG - TCH R Bull CBBC Jul 2014 O	40,000,000	2014/02/10	2014/07/21	17.80

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60848	UBS AG - TCH R Bull CBBC Aug 2014 D	40,000,000	2014/02/10	2014/08/18	13.80
60891	UBS AG - TCH R Bear CBBC Sep 2014 E	40,000,000	2014/02/10	2014/09/22	48.80
61236	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 G	300,000,000	2014/02/11	2014/08/28	75.00
61161	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 O	300,000,000	2014/02/11	2014/08/28	75.00
60962	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 S	300,000,000	2014/02/11	2014/08/28	75.00
60963	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 U	300,000,000	2014/02/11	2014/08/28	75.00
61167	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/02/11	2014/07/30	75.00
61173	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 M	300,000,000	2014/02/11	2014/07/30	75.00
61178	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/02/11	2014/07/30	75.00
61180	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/02/11	2014/07/30	75.00
61181	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/02/11	2014/07/30	75.00
61182	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/02/11	2014/07/30	75.00
61189	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 R	300,000,000	2014/02/11	2014/07/30	75.00
61270	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 S	300,000,000	2014/02/11	2014/07/30	75.00
61272	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 T	300,000,000	2014/02/11	2014/07/30	75.00
61275	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 U	300,000,000	2014/02/11	2014/07/30	75.00
60981	Credit Suisse AG - HSI R Bull CBBC Aug 2014 G	300,000,000	2014/02/11	2014/08/28	75.00
60986	Credit Suisse AG - HSI R Bull CBBC Aug 2014 J	300,000,000	2014/02/11	2014/08/28	75.00
60982	Credit Suisse AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2014/02/11	2014/08/28	75.00
60987	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Q	300,000,000	2014/02/11	2014/08/28	75.00
60976	Credit Suisse AG - HSI R Bull CBBC Aug 2014 V	300,000,000	2014/02/11	2014/08/28	75.00
61130	Credit Suisse AG - HSI R Bull CBBC Sep 2014 E	300,000,000	2014/02/11	2014/09/29	75.00
61133	Credit Suisse AG - HSI R Bull CBBC Sep 2014 G	300,000,000	2014/02/11	2014/09/29	75.00
61149	Credit Suisse AG - HSI R Bull CBBC Sep 2014 H	300,000,000	2014/02/11	2014/09/29	75.00
61193	Credit Suisse AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2014/02/11	2014/09/29	75.00
61132	Credit Suisse AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2014/02/11	2014/10/30	75.00
61136	Credit Suisse AG - HSI R Bull CBBC Oct 2014 T	300,000,000	2014/02/11	2014/10/30	75.00
61141	Credit Suisse AG - HSI R Bull CBBC Oct 2014 U	300,000,000	2014/02/11	2014/10/30	75.00
61150	Credit Suisse AG - HSI R Bull CBBC Oct 2014 V	300,000,000	2014/02/11	2014/10/30	75.00
61254	Credit Suisse AG - HSI R Bear CBBC May 2014 H	300,000,000	2014/02/11	2014/05/29	75.00
61245	Credit Suisse AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/11	2014/05/29	75.00
61239	Credit Suisse AG - HSI R Bear CBBC Jul 2014 V	300,000,000	2014/02/11	2014/07/30	75.00
61240	Credit Suisse AG - HSI R Bear CBBC Jul 2014 W	300,000,000	2014/02/11	2014/07/30	75.00
61241	Credit Suisse AG - HSI R Bear CBBC Jul 2014 X	300,000,000	2014/02/11	2014/07/30	75.00
61246	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Y	300,000,000	2014/02/11	2014/07/30	75.00
61323	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Nov14A	80,000,000	2014/02/11	2014/11/24	20.00
61322	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Dec14A	80,000,000	2014/02/11	2014/12/15	20.00
61321	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Nov14A	50,000,000	2014/02/11	2014/11/24	12.50
61320	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Dec14A	50,000,000	2014/02/11	2014/12/15	15.25
61316	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Oct14A	100,000,000	2014/02/11	2014/10/20	25.00
61293	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Nov14A	100,000,000	2014/02/11	2014/11/24	25.00
61287	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Dec14A	100,000,000	2014/02/11	2014/12/15	25.00
61328	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Dec14 A	60,000,000	2014/02/11	2014/12/08	15.00
61326	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Dec 2014 A	50,000,000	2014/02/11	2014/12/08	12.50
61327	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Nov 2014 A	50,000,000	2014/02/11	2014/11/17	12.50

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61330	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Nov 2014 B	80,000,000	2014/02/11	2014/11/17	20.00
61329	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Dec 2014 A	80,000,000	2014/02/11	2014/12/08	20.00
61331	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Sep 2014 B	80,000,000	2014/02/11	2014/09/29	20.00
61319	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Nov 2014 A	80,000,000	2014/02/11	2014/11/24	20.00
61318	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Dec 2014 A	80,000,000	2014/02/11	2014/12/15	20.00
61284	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Nov14A	60,000,000	2014/02/11	2014/11/24	15.00
61285	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Oct14A	60,000,000	2014/02/11	2014/10/20	15.00
61324	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Nov 2014 A	50,000,000	2014/02/11	2014/11/17	27.50
61325	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Nov 2014 A	50,000,000	2014/02/11	2014/11/17	20.00
61197	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 M	150,000,000	2014/02/11	2014/06/27	39.30
61218	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 N	150,000,000	2014/02/11	2014/06/27	39.75
61219	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 O	150,000,000	2014/02/11	2014/06/27	39.30
61220	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 P	150,000,000	2014/02/11	2014/06/27	51.75
61276	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 Q	150,000,000	2014/02/11	2014/06/27	53.55
61280	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 R	150,000,000	2014/02/11	2014/06/27	41.40
61283	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 S	150,000,000	2014/02/11	2014/06/27	37.65
61221	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 P	150,000,000	2014/02/11	2014/05/29	42.45
61222	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 Q	150,000,000	2014/02/11	2014/05/29	45.60
61228	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 R	150,000,000	2014/02/11	2014/05/29	43.95
61229	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 S	150,000,000	2014/02/11	2014/05/29	41.85
61232	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 T	150,000,000	2014/02/11	2014/05/29	39.15
60899	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 D	40,000,000	2014/02/11	2014/11/24	10.00
60908	HK Bank - A50 R Bull CBBC Mar 2015 A	200,000,000	2014/02/11	2015/03/09	50.00
60897	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 F	80,000,000	2014/02/11	2014/10/27	20.00
60902	HK Bank - HSI R Bull CBBC Sep 2014 K	100,000,000	2014/02/11	2014/09/29	25.00
60907	HK Bank - HSI R Bull CBBC Oct 2014 D	100,000,000	2014/02/11	2014/10/30	25.00
60905	HK Bank - HSI R Bull CBBC Mar 2015 A	100,000,000	2014/02/11	2015/03/30	25.00
60904	HK Bank - HSI R Bear CBBC Oct 2014 F	100,000,000	2014/02/11	2014/10/30	25.00
60915	HK Bank - TCH R Bull CBBC Oct 2014 I	50,000,000	2014/02/11	2014/10/30	27.00
60910	HK Bank - TCH R Bull CBBC Nov 2014 A	50,000,000	2014/02/11	2014/11/06	22.00
60927	HK Bank - TCH R Bull CBBC Nov 2014 B	50,000,000	2014/02/11	2014/11/13	37.00
60954	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 Z	300,000,000	2014/02/11	2014/09/29	75.00
60952	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/02/11	2014/10/30	75.00
60950	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 K	300,000,000	2014/02/11	2014/11/27	75.00
60943	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/02/11	2014/11/27	75.00
60928	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/02/11	2014/11/27	75.00
60951	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/02/11	2014/11/27	75.00
61052	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/02/11	2014/12/30	75.00
61267	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/02/11	2014/12/30	75.00
60992	J P Morgan SP BV - HSI R Bear CBBC May 2014 R	300,000,000	2014/02/11	2014/05/29	75.00
61000	J P Morgan SP BV - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/11	2014/05/29	75.00
61268	J P Morgan SP BV - HSI R Bear CBBC May 2014 T	300,000,000	2014/02/11	2014/05/29	75.00
61033	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 Q	100,000,000	2014/02/11	2014/09/29	25.00
61051	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 R	100,000,000	2014/02/11	2014/09/29	25.00
61053	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 N	100,000,000	2014/02/11	2014/08/28	25.00

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61055	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 O	100,000,000	2014/02/11	2014/08/28	25.00
61083	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 A	200,000,000	2014/02/11	2014/10/30	50.00
61096	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 B	200,000,000	2014/02/11	2014/10/30	50.00
60964	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 A	200,000,000	2014/02/11	2014/11/27	50.00
60969	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Y	200,000,000	2014/02/11	2014/11/27	50.00
60971	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Z	200,000,000	2014/02/11	2014/11/27	50.00
61066	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 B	200,000,000	2014/02/11	2014/12/30	50.00
61072	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 C	200,000,000	2014/02/11	2014/12/30	50.00
61073	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 D	200,000,000	2014/02/11	2014/12/30	50.00
61074	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 E	200,000,000	2014/02/11	2014/12/30	50.00
61079	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 F	200,000,000	2014/02/11	2014/12/30	50.00
61081	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 G	200,000,000	2014/02/11	2014/12/30	50.00
61089	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 H	200,000,000	2014/02/11	2014/12/30	50.00
61107	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 I	200,000,000	2014/02/11	2014/12/30	50.00
61113	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 T	200,000,000	2014/02/11	2014/05/29	50.00
61116	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 U	200,000,000	2014/02/11	2014/05/29	50.00
61127	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 V	200,000,000	2014/02/11	2014/05/29	50.00
61129	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 W	200,000,000	2014/02/11	2014/05/29	50.00
61266	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 X	200,000,000	2014/02/11	2014/05/29	50.00
61015	UBS AG - China Mobile R Bull CBBC Nov 2014 A	100,000,000	2014/02/11	2014/11/10	25.00
61016	UBS AG - China Mobile R Bull CBBC Dec 2014 A	100,000,000	2014/02/11	2014/12/15	25.00
61156	UBS AG - CC Bank R Bull CBBC Dec 2014 A	100,000,000	2014/02/11	2014/12/08	25.00
61014	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2014 A	100,000,000	2014/02/11	2014/10/20	25.00
61158	UBS AG - HKEx R Bull CBBC Oct 2014 A	100,000,000	2014/02/11	2014/10/20	26.50
61009	UBS AG - HSCEI R Bull CBBC Nov 2014 B	100,000,000	2014/02/11	2014/11/27	25.00
61013	UBS AG - HSCEI R Bull CBBC Nov 2014 C	100,000,000	2014/02/11	2014/11/27	25.00
61002	UBS AG - HSCEI R Bear CBBC May 2014 H	100,000,000	2014/02/11	2014/05/29	25.00
60956	UBS AG - HSI R Bull CBBC Aug 2014 A	350,000,000	2014/02/11	2014/08/28	87.50
60955	UBS AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2014/02/11	2014/08/28	75.00
60959	UBS AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/02/11	2014/11/27	75.00
60991	UBS AG - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/02/11	2014/11/27	75.00
61256	UBS AG - HSI R Bull CBBC Nov 2014 W	300,000,000	2014/02/11	2014/11/27	75.00
61265	UBS AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/02/11	2014/05/29	75.00
61260	UBS AG - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/02/11	2014/06/27	75.00
61262	UBS AG - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/02/11	2014/06/27	75.00
61264	UBS AG - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/02/11	2014/06/27	75.00
61259	UBS AG - HSI R Bear CBBC Sep 2014 A	300,000,000	2014/02/11	2014/09/29	75.00
61157	UBS AG - Hutchison R Bull CBBC Nov 2014 A	100,000,000	2014/02/11	2014/11/17	25.00
61032	UBS AG - Hutchison R Bear CBBC Jul 2014 B	100,000,000	2014/02/11	2014/07/21	25.00
61151	UBS AG - ICBC R Bull CBBC Dec 2014 A	100,000,000	2014/02/11	2014/12/15	25.00
67725 #	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 D	100,000,000	2014/02/11	2014/11/10	15.90
61394	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 F	200,000,000	2014/02/12	2014/12/30	50.00
61367	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 C	300,000,000	2014/02/12	2014/08/28	75.00
61369	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 J	300,000,000	2014/02/12	2014/08/28	75.00
61370	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 K	300,000,000	2014/02/12	2014/08/28	75.00

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61371	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 L	300,000,000	2014/02/12	2014/08/28	75.00
61372	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 M	300,000,000	2014/02/12	2014/08/28	75.00
61358	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 P	300,000,000	2014/02/12	2014/08/28	75.00
61373	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 T	300,000,000	2014/02/12	2014/08/28	75.00
61375	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Z	300,000,000	2014/02/12	2014/08/28	75.00
61376	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 V	300,000,000	2014/02/12	2014/07/30	75.00
61377	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 W	300,000,000	2014/02/12	2014/07/30	75.00
61352	Credit Suisse AG - HSI R Bull CBBC Oct 2014 A	300,000,000	2014/02/12	2014/10/30	75.00
61353	Credit Suisse AG - HSI R Bull CBBC Oct 2014 X	300,000,000	2014/02/12	2014/10/30	75.00
61395	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Y	300,000,000	2014/02/12	2014/10/30	75.00
61396	Credit Suisse AG - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/02/12	2014/12/30	75.00
61407	Credit Suisse AG - HSI R Bear CBBC May 2014 J	300,000,000	2014/02/12	2014/05/29	75.00
61397	Credit Suisse AG - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/02/12	2014/06/27	75.00
61398	Credit Suisse AG - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/02/12	2014/06/27	75.00
61399	Credit Suisse AG - HSI R Bear CBBC Jun 2014 K	300,000,000	2014/02/12	2014/06/27	75.00
61406	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/02/12	2014/07/30	75.00
61404	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/02/12	2014/07/30	75.00
61403	Credit Suisse AG - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/02/12	2014/08/28	75.00
61405	Credit Suisse AG - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/02/12	2014/08/28	75.00
61455	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 S	150,000,000	2014/02/12	2014/05/29	54.45
61456	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 T	150,000,000	2014/02/12	2014/05/29	38.25
61457	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2014 U	150,000,000	2014/02/12	2014/05/29	37.80
61449	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 T	150,000,000	2014/02/12	2014/06/27	38.55
61450	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 U	150,000,000	2014/02/12	2014/06/27	40.20
61451	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 V	150,000,000	2014/02/12	2014/06/27	41.25
61452	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 W	150,000,000	2014/02/12	2014/06/27	51.90
61338	HK Bank - AIA R Bull CBBC Sep 2014 B	60,000,000	2014/02/12	2014/09/15	33.00
61337	HK Bank - China Mobile R Bull CBBC Apr 2015 A	120,000,000	2014/02/12	2015/04/13	30.00
61347	HK Bank - CNOOC R Bull CBBC Nov 2014 B	60,000,000	2014/02/12	2014/11/17	15.00
61332	HK Bank - CNOOC R Bull CBBC Dec 2014 B	60,000,000	2014/02/12	2014/12/08	15.00
61339	HK Bank - HSI R Bull CBBC Oct 2014 E	100,000,000	2014/02/12	2014/10/30	25.00
61340	HK Bank - HSI R Bull CBBC Nov 2014 B	100,000,000	2014/02/12	2014/11/27	25.00
61342	HK Bank - HSI R Bull CBBC Mar 2015 B	400,000,000	2014/02/12	2015/03/30	100.00
61346	HK Bank - HSI R Bear CBBC Aug 2014 R	100,000,000	2014/02/12	2014/08/28	25.00
61341	HK Bank - HSI R Bear CBBC Sep 2014 M	100,000,000	2014/02/12	2014/09/29	25.00
61333	HK Bank - PetCh R Bull CBBC Nov 2014 B	60,000,000	2014/02/12	2014/11/24	15.00
61365	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 Q	300,000,000	2014/02/12	2014/09/29	75.00
61462	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 N	300,000,000	2014/02/12	2014/10/30	75.00
61361	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/02/12	2014/11/27	75.00
61461	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/02/12	2014/12/30	75.00
61467	J P Morgan SP BV - HSI R Bear CBBC May 2014 U	300,000,000	2014/02/12	2014/05/29	75.00
61472	J P Morgan SP BV - HSI R Bear CBBC May 2014 V	300,000,000	2014/02/12	2014/05/29	75.00
61466	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 A	300,000,000	2014/02/12	2014/07/30	75.00
61468	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/02/12	2014/07/30	75.00
61459	Standard Chartered Bank - Galaxy Ent R Bear CBBC Sep 2014 A	50,000,000	2014/02/12	2014/09/30	12.50

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61359	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 S	100,000,000	2014/02/12	2014/09/29	25.00
61360	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 T	100,000,000	2014/02/12	2014/09/29	25.00
61460	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 U	100,000,000	2014/02/12	2014/09/29	25.00
61400	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 P	100,000,000	2014/02/12	2014/08/28	25.00
61401	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 Q	100,000,000	2014/02/12	2014/08/28	25.00
61348	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 C	200,000,000	2014/02/12	2014/10/30	50.00
61366	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 D	200,000,000	2014/02/12	2014/10/30	50.00
61426	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 J	200,000,000	2014/02/12	2014/12/30	50.00
61427	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 K	200,000,000	2014/02/12	2014/12/30	50.00
61428	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 L	200,000,000	2014/02/12	2014/12/30	50.00
61429	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 M	200,000,000	2014/02/12	2014/12/30	50.00
61350	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 Y	200,000,000	2014/02/12	2014/05/29	50.00
61351	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 Z	200,000,000	2014/02/12	2014/05/29	50.00
61448	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 A	200,000,000	2014/02/12	2014/06/27	50.00
61354	UBS AG - HSI R Bull CBBC Jul 2014 M	300,000,000	2014/02/12	2014/07/30	75.00
61402	UBS AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2014/02/12	2014/08/28	75.00
61356	UBS AG - HSI R Bull CBBC Oct 2014 Z	300,000,000	2014/02/12	2014/10/30	75.00
61355	UBS AG - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/02/12	2014/11/27	75.00
61357	UBS AG - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/02/12	2014/12/30	75.00
61422	UBS AG - HSI R Bear CBBC May 2014 C	300,000,000	2014/02/12	2014/05/29	75.00
61413	UBS AG - HSI R Bear CBBC Jun 2014 T	300,000,000	2014/02/12	2014/06/27	75.00
61425	UBS AG - HSI R Bear CBBC Jun 2014 U	300,000,000	2014/02/12	2014/06/27	75.00
61421	UBS AG - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/02/12	2014/07/30	75.00
61423	UBS AG - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/02/12	2014/07/30	75.00
61531	Credit Suisse AG - HSI R Bull CBBC Nov 2014 B	300,000,000	2014/02/13	2014/11/27	75.00
61532	Credit Suisse AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/02/13	2014/11/27	75.00
61533	Credit Suisse AG - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/02/13	2014/11/27	75.00
61496	Credit Suisse AG - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/02/13	2014/07/30	75.00
61495	Credit Suisse AG - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/02/13	2014/08/28	75.00
61497	Credit Suisse AG - HSI R Bear CBBC Aug 2014 O	300,000,000	2014/02/13	2014/08/28	75.00
61498	Credit Suisse AG - HSI R Bear CBBC Aug 2014 P	300,000,000	2014/02/13	2014/08/28	75.00
61500	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/02/13	2014/08/28	75.00
61547	Bank of East Asia - COVS R Bull CBBC Jan 2015 A	40,000,000	2014/02/13	2015/01/12	16.00
61548	Bank of East Asia - CNOOC R Bull CBBC Jan 2015 A	50,000,000	2014/02/13	2015/01/12	12.50
61549	Bank of East Asia - Hutchison R Bear CBBC Sep 2014 A	100,000,000	2014/02/13	2014/09/02	25.00
61546	Bank of East Asia - Sands China R Bear CBBC Jun 2014 A	60,000,000	2014/02/13	2014/06/05	15.00
61535	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 X	150,000,000	2014/02/13	2014/06/27	41.85
61538	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 Y	150,000,000	2014/02/13	2014/06/27	39.30
61539	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 Z	150,000,000	2014/02/13	2014/06/27	39.00
61536	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 U	150,000,000	2014/02/13	2014/05/29	43.50
61480	HK Bank - China Mobile R Bull CBBC Jan 2015 B	120,000,000	2014/02/13	2015/01/12	30.00
61481	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 D	60,000,000	2014/02/13	2014/12/08	15.00
61483	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 E	60,000,000	2014/02/13	2014/12/01	15.00
61478	HK Bank - HSCEI R Bear CBBC Oct 2014 B	68,000,000	2014/02/13	2014/10/30	17.00
61475	HK Bank - HSI R Bull CBBC Oct 2014 F	100,000,000	2014/02/13	2014/10/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61473	HK Bank - HSI R Bull CBBC Dec 2014 B	100,000,000	2014/02/13	2014/12/30	25.00
61474	HK Bank - HSI R Bull CBBC Jan 2015 B	100,000,000	2014/02/13	2015/01/29	25.00
61476	HK Bank - HSI R Bear CBBC Oct 2014 G	100,000,000	2014/02/13	2014/10/30	25.00
61477	HK Bank - HSI R Bear CBBC Jan 2015 A	400,000,000	2014/02/13	2015/01/29	100.00
61482	HK Bank - Ping An R Bull CBBC Nov 2014 A	60,000,000	2014/02/13	2014/11/17	15.00
61545	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 T	300,000,000	2014/02/13	2014/10/30	75.00
61544	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/02/13	2014/12/30	75.00
61541	J P Morgan SP BV - HSI R Bear CBBC May 2014 W	300,000,000	2014/02/13	2014/05/29	75.00
61540	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 C	300,000,000	2014/02/13	2014/07/30	75.00
61552	Standard Chartered Bank - Galaxy Ent R Bull CBBC Sep 2014 C	50,000,000	2014/02/13	2014/09/08	12.50
61550	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 V	100,000,000	2014/02/13	2014/09/29	25.00
61551	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 W	100,000,000	2014/02/13	2014/09/29	25.00
61501	Standard Chartered Bank - Sands China R Bull CBBC Aug 2014 B	50,000,000	2014/02/13	2014/08/22	12.50
61502	Standard Chartered Bank - Sands China R Bear CBBC May 2014 B	50,000,000	2014/02/13	2014/05/12	12.50
61525	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 E	200,000,000	2014/02/13	2014/10/30	50.00
61526	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 B	200,000,000	2014/02/13	2014/06/27	50.00
61527	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 C	200,000,000	2014/02/13	2014/06/27	50.00
61519	UBS AG - AIA R Bear CBBC Oct 2014 A	100,000,000	2014/02/13	2014/10/13	25.00
61503	UBS AG - CNOOC R Bull CBBC Oct 2014 D	50,000,000	2014/02/13	2014/10/20	12.50
61504	UBS AG - CNOOC R Bull CBBC Nov 2014 A	50,000,000	2014/02/13	2014/11/03	12.50
61505	UBS AG - CNOOC R Bull CBBC Nov 2014 B	50,000,000	2014/02/13	2014/11/24	12.50
61513	UBS AG - CSOP A50 ETF R Bear CBBC Sep 2014 A	50,000,000	2014/02/13	2014/09/22	12.50
61530	UBS AG - HSCEI R Bull CBBC Nov 2014 D	100,000,000	2014/02/13	2014/11/27	25.00
61524	UBS AG - HSI R Bull CBBC Aug 2014 J	300,000,000	2014/02/13	2014/08/28	75.00
61523	UBS AG - HSI R Bull CBBC Oct 2014 B	300,000,000	2014/02/13	2014/10/30	75.00
61528	UBS AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/02/13	2014/10/30	75.00
61522	UBS AG - HSI R Bull CBBC Nov 2014 Y	300,000,000	2014/02/13	2014/11/27	75.00
61485	UBS AG - HSI R Bear CBBC Jun 2014 V	300,000,000	2014/02/13	2014/06/27	75.00
61487	UBS AG - HSI R Bear CBBC Jun 2014 W	300,000,000	2014/02/13	2014/06/27	75.00
61486	UBS AG - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/02/13	2014/07/30	75.00
61494	UBS AG - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/02/13	2014/07/30	75.00
61520	UBS AG - Petrochina R Bull CBBC Sep 2014 A	50,000,000	2014/02/13	2014/09/29	12.50
61521	UBS AG - Petrochina R Bull CBBC Oct 2014 B	50,000,000	2014/02/13	2014/10/20	12.50
61516	UBS AG - Sands China R Bull CBBC Sep 2014 A	100,000,000	2014/02/13	2014/09/08	25.00
61515	UBS AG - Sands China R Bear CBBC Jul 2014 A	100,000,000	2014/02/13	2014/07/21	25.00
61591	Credit Suisse AG - Galaxy Ent R Bull CBBC Oct 2014 A	80,000,000	2014/02/14	2014/10/31	20.00
61592	Credit Suisse AG - Galaxy Ent R Bull CBBC Oct 2014 B	80,000,000	2014/02/14	2014/10/31	20.00
61593	Credit Suisse AG - Galaxy Ent R Bull CBBC Oct 2014 C	80,000,000	2014/02/14	2014/10/31	20.00
61594	Credit Suisse AG - Galaxy Ent R Bear CBBC Sep 2014 A	80,000,000	2014/02/14	2014/09/30	20.00
61597	Credit Suisse AG - Galaxy Ent R Bear CBBC Sep 2014 B	80,000,000	2014/02/14	2014/09/30	20.00
61613	Credit Suisse AG - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/02/14	2014/12/30	75.00
61614	Credit Suisse AG - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/02/14	2014/12/30	75.00
61615	Credit Suisse AG - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/02/14	2014/12/30	75.00
61577	Credit Suisse AG - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/02/14	2014/06/27	75.00
61578	Credit Suisse AG - HSI R Bear CBBC Jun 2014 M	300,000,000	2014/02/14	2014/06/27	75.00

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61606	Bank of East Asia - Great Wall Motor R Bull CBBC Apr 2015 A	200,000,000	2014/02/14	2015/04/27	50.00
61584	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 A	150,000,000	2014/02/14	2014/06/27	38.10
61589	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 B	150,000,000	2014/02/14	2014/06/27	40.65
61590	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 F	150,000,000	2014/02/14	2014/06/27	37.80
61574	HK Bank - Galaxy Ent R Bull CBBC Dec 2014 A	80,000,000	2014/02/14	2014/12/22	20.00
61571	HK Bank - Great Wall Motor R Bull CBBC Nov 2015 A	40,000,000	2014/02/14	2015/11/30	10.00
61555	HK Bank - HSI R Bull CBBC Oct 2014 G	100,000,000	2014/02/14	2014/10/30	25.00
61563	HK Bank - HSI R Bull CBBC Oct 2014 H	100,000,000	2014/02/14	2014/10/30	25.00
61556	HK Bank - HSI R Bull CBBC Nov 2014 C	100,000,000	2014/02/14	2014/11/27	25.00
61565	HK Bank - HSI R Bull CBBC Dec 2014 C	400,000,000	2014/02/14	2014/12/30	100.00
61564	HK Bank - HSI R Bear CBBC Nov 2014 B	100,000,000	2014/02/14	2014/11/27	25.00
61573	HK Bank - Huishan Dairy R Bull CBBC Jun 2015 A	40,000,000	2014/02/14	2015/06/29	10.00
61570	HK Bank - TCH R Bull CBBC Nov 2014 C	50,000,000	2014/02/14	2014/11/24	27.50
61566	HK Bank - TCH R Bear CBBC Nov 2014 B	50,000,000	2014/02/14	2014/11/24	25.00
61623	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 B	300,000,000	2014/02/14	2014/09/29	75.00
61622	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/02/14	2014/12/30	75.00
61620	J P Morgan SP BV - HSI R Bear CBBC May 2014 X	300,000,000	2014/02/14	2014/05/29	75.00
61619	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/02/14	2014/07/30	75.00
61579	Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2014 A	100,000,000	2014/02/14	2014/07/30	25.00
61580	Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2014 B	100,000,000	2014/02/14	2014/07/30	25.00
61581	Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2014 C	100,000,000	2014/02/14	2014/07/30	25.00
61582	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2014 A	100,000,000	2014/02/14	2014/07/30	25.00
61583	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2014 B	100,000,000	2014/02/14	2014/07/30	25.00
61599	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 D	200,000,000	2014/02/14	2014/11/27	50.00
61600	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 H	200,000,000	2014/02/14	2014/11/27	50.00
61603	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 D	200,000,000	2014/02/14	2014/06/27	50.00
61609	UBS AG - COVS R Bull CBBC Oct 2014 A	50,000,000	2014/02/14	2014/10/06	13.00
61608	UBS AG - CM Bank R Bull CBBC Aug 2014 B	50,000,000	2014/02/14	2014/08/18	12.50
61610	UBS AG - Galaxy Ent R Bear CBBC Aug 2014 B	100,000,000	2014/02/14	2014/08/25	25.00
61617	UBS AG - HSI R Bull CBBC Aug 2014 Z	300,000,000	2014/02/14	2014/08/28	75.00
61618	UBS AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/02/14	2014/10/30	75.00
61616	UBS AG - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/02/14	2014/11/27	75.00
61575	UBS AG - HSI R Bear CBBC Jul 2014 J	350,000,000	2014/02/14	2014/07/30	87.50
61661	Credit Suisse AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/02/17	2014/10/30	75.00
61643	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Z	300,000,000	2014/02/17	2014/10/30	75.00
61662	Credit Suisse AG - HSI R Bear CBBC Jun 2014 N	300,000,000	2014/02/17	2014/06/27	75.00
61663	Credit Suisse AG - HSI R Bear CBBC Jun 2014 O	300,000,000	2014/02/17	2014/06/27	75.00
61678	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Nov 2014 A	100,000,000	2014/02/17	2014/11/24	25.00
61677	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Dec 2014 A	100,000,000	2014/02/17	2014/12/15	25.00
61679	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Oct 2014 A	100,000,000	2014/02/17	2014/10/20	25.00
61680	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Nov 2014 A	100,000,000	2014/02/17	2014/11/24	25.00
61673	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Sep 2014 B	50,000,000	2014/02/17	2014/09/22	30.00
61675	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Oct 2014 B	50,000,000	2014/02/17	2014/10/27	35.00
61676	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Nov 2014 B	50,000,000	2014/02/17	2014/11/17	40.00
61632	HK Bank - A50 R Bull CBBC Oct 2014 E	200,000,000	2014/02/17	2014/10/20	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61631	HK Bank - A50 R Bull CBBC Nov 2014 H	200,000,000	2014/02/17	2014/11/03	50.00
61633	HK Bank - Galaxy Ent R Bull CBBC Nov 2014 A	80,000,000	2014/02/17	2014/11/12	20.00
61625	HK Bank - HSI R Bull CBBC Aug 2014 S	100,000,000	2014/02/17	2014/08/28	25.00
61624	HK Bank - HSI R Bull CBBC Sep 2014 L	100,000,000	2014/02/17	2014/09/29	25.00
61640	HK Bank - HSI R Bull CBBC Oct 2014 I	100,000,000	2014/02/17	2014/10/30	25.00
61639	HK Bank - HSI R Bull CBBC Nov 2014 D	100,000,000	2014/02/17	2014/11/27	25.00
61628	HK Bank - HSI R Bear CBBC Sep 2014 N	100,000,000	2014/02/17	2014/09/29	25.00
61636	HK Bank - Sands China R Bull CBBC Nov 2014 A	60,000,000	2014/02/17	2014/11/24	15.00
61637	HK Bank - TCH R Bull CBBC Nov 2014 D	50,000,000	2014/02/17	2014/11/05	22.50
61672	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/02/17	2014/11/27	75.00
61671	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/02/17	2014/07/30	75.00
61646	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 X	100,000,000	2014/02/17	2014/09/29	25.00
61647	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 Y	100,000,000	2014/02/17	2014/09/29	25.00
61650	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 J	200,000,000	2014/02/17	2014/11/27	50.00
61651	UBS AG - Great Wall Motor R Bull CBBC Dec 2014 A	50,000,000	2014/02/17	2014/12/22	12.50
61642	UBS AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2014/02/17	2014/09/29	75.00
61670	UBS AG - HSI R Bear CBBC Jul 2014 L	350,000,000	2014/02/17	2014/07/30	87.50
61652	UBS AG - TCH R Bull CBBC Aug 2014 E	40,000,000	2014/02/17	2014/08/04	10.40
61847	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 G	200,000,000	2014/02/18	2014/12/30	50.00
61818	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/02/18	2014/12/30	75.00
61831	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 B	300,000,000	2014/02/18	2014/12/30	75.00
61836	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/02/18	2014/12/30	75.00
61837	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/02/18	2014/12/30	75.00
61841	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/02/18	2014/12/30	75.00
61842	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/02/18	2014/12/30	75.00
61721	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 X	300,000,000	2014/02/18	2014/07/30	75.00
61722	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 Y	300,000,000	2014/02/18	2014/07/30	75.00
61723	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/02/18	2014/07/30	75.00
61846	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 A	300,000,000	2014/02/18	2014/08/28	75.00
61758	Credit Suisse AG - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/02/18	2014/11/27	75.00
61795	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/02/18	2014/11/27	75.00
61802	Credit Suisse AG - HSI R Bull CBBC Nov 2014 R	300,000,000	2014/02/18	2014/11/27	75.00
61803	Credit Suisse AG - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/02/18	2014/11/27	75.00
61719	Credit Suisse AG - HSI R Bear CBBC May 2014 H	300,000,000	2014/02/18	2014/05/29	75.00
61701	Credit Suisse AG - HSI R Bear CBBC May 2014 K	300,000,000	2014/02/18	2014/05/29	75.00
61720	Credit Suisse AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/18	2014/05/29	75.00
61702	Credit Suisse AG - HSI R Bear CBBC Jun 2014 P	300,000,000	2014/02/18	2014/06/27	75.00
61757	Credit Suisse AG - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/02/18	2014/07/30	75.00
61700	Credit Suisse AG - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/02/18	2014/08/28	75.00
61867	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Dec14B	80,000,000	2014/02/18	2014/12/15	20.00
61877	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Nov14 B	60,000,000	2014/02/18	2014/11/24	15.00
61875	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Dec14 B	60,000,000	2014/02/18	2014/12/15	15.00
61878	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Dec14 A	60,000,000	2014/02/18	2014/12/15	15.00
61866	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Nov 2014 A	80,000,000	2014/02/18	2014/11/24	20.00
61863	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Dec 2014 B	80,000,000	2014/02/18	2014/12/15	20.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61725	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 G	150,000,000	2014/02/18	2014/06/27	37.50
61731	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 K	150,000,000	2014/02/18	2014/06/27	39.00
61733	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2014 L	150,000,000	2014/02/18	2014/06/27	39.90
61759	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 A	150,000,000	2014/02/18	2014/07/30	52.20
61687	HK Bank - AIA R Bear CBBC Oct 2014 A	40,000,000	2014/02/18	2014/10/27	18.00
61690	HK Bank - China Mobile R Bull CBBC Nov 2014 B	120,000,000	2014/02/18	2014/11/24	30.00
61686	HK Bank - China Mobile R Bear CBBC Nov 2014 A	40,000,000	2014/02/18	2014/11/24	10.00
61684	HK Bank - Cheung Kong R Bull CBBC Nov 2014 A	60,000,000	2014/02/18	2014/11/10	15.00
61683	HK Bank - Cheung Kong R Bear CBBC Oct 2014 B	50,000,000	2014/02/18	2014/10/13	12.50
61688	HK Bank - CNOOC R Bull CBBC Dec 2014 C	60,000,000	2014/02/18	2014/12/22	19.80
61682	HK Bank - CNOOC R Bull CBBC Jan 2015 B	60,000,000	2014/02/18	2015/01/12	15.00
61681	HK Bank - Great Wall Motor R Bull CBBC Dec 2015 A	40,000,000	2014/02/18	2015/12/21	10.00
61685	HK Bank - Hutchison R Bear CBBC Nov 2014 C	50,000,000	2014/02/18	2014/11/10	12.50
61857	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 V	300,000,000	2014/02/18	2014/10/30	75.00
61856	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/02/18	2014/11/27	75.00
61853	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/02/18	2014/12/30	75.00
61743	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/02/18	2014/07/30	75.00
61848	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/02/18	2014/07/30	75.00
61849	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/02/18	2014/07/30	75.00
61739	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Aug 2014B	50,000,000	2014/02/18	2014/08/08	12.50
61738	Standard Chartered Bank - A50 China R Bull CBBC Aug 2014 B	50,000,000	2014/02/18	2014/08/08	12.50
61735	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 C	100,000,000	2014/02/18	2014/12/30	25.00
61736	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 D	100,000,000	2014/02/18	2014/12/30	25.00
61737	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 G	100,000,000	2014/02/18	2014/12/30	25.00
61744	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 O	200,000,000	2014/02/18	2014/11/27	50.00
61745	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Q	200,000,000	2014/02/18	2014/11/27	50.00
61747	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 F	200,000,000	2014/02/18	2014/05/29	50.00
61691	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 E	200,000,000	2014/02/18	2014/06/27	50.00
61695	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 F	200,000,000	2014/02/18	2014/06/27	50.00
61768	UBS AG - AIA R Bull CBBC Nov 2014 A	100,000,000	2014/02/18	2014/11/10	25.00
61761	UBS AG - CSOP A50 ETF R Bull CBBC Sep 2014 A	50,000,000	2014/02/18	2014/09/08	12.50
61765	UBS AG - A50 China R Bull CBBC Oct 2014 A	100,000,000	2014/02/18	2014/10/13	25.00
61769	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 C	100,000,000	2014/02/18	2014/10/20	25.00
61763	UBS AG - HSCEI R Bull CBBC Dec 2014 D	100,000,000	2014/02/18	2014/12/30	25.00
61806	UBS AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/02/18	2014/10/30	75.00
61817	UBS AG - HSI R Bull CBBC Nov 2014 C	300,000,000	2014/02/18	2014/11/27	75.00
61816	UBS AG - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/02/18	2014/12/30	75.00
61697	UBS AG - HSI R Bear CBBC May 2014 F	300,000,000	2014/02/18	2014/05/29	75.00
61762	UBS AG - HSI R Bear CBBC May 2014 H	300,000,000	2014/02/18	2014/05/29	75.00
61698	UBS AG - HSI R Bear CBBC Jun 2014 X	300,000,000	2014/02/18	2014/06/27	75.00
61699	UBS AG - HSI R Bear CBBC Jun 2014 Y	300,000,000	2014/02/18	2014/06/27	75.00
61760	UBS AG - Ping An R Bull CBBC Oct 2014 E	100,000,000	2014/02/18	2014/10/20	25.00
61766	UBS AG - Sands China R Bull CBBC Oct 2014 B	100,000,000	2014/02/18	2014/10/13	25.00
62059	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/02/19	2014/12/30	75.00
62060	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/02/19	2014/12/30	75.00

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62065	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/02/19	2014/12/30	75.00
62066	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/02/19	2014/12/30	75.00
62069	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/02/19	2014/12/30	75.00
62072	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/02/19	2014/12/30	75.00
61958	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/02/19	2014/08/28	75.00
61963	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/02/19	2014/08/28	75.00
62073	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/02/19	2014/08/28	75.00
62077	Credit Suisse AG - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/02/19	2014/12/30	75.00
62080	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/02/19	2014/12/30	75.00
62081	Credit Suisse AG - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/02/19	2014/12/30	75.00
62100	Credit Suisse AG - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/02/19	2014/12/30	75.00
62101	Credit Suisse AG - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/02/19	2014/12/30	75.00
62102	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/02/19	2014/12/30	75.00
61974	Credit Suisse AG - HSI R Bear CBBC May 2014 P	300,000,000	2014/02/19	2014/05/29	75.00
61978	Credit Suisse AG - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/02/19	2014/06/27	75.00
61972	Credit Suisse AG - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/02/19	2014/07/30	75.00
62074	Credit Suisse AG - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/02/19	2014/07/30	75.00
62180	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Dec 2014 A	80,000,000	2014/02/19	2014/12/19	20.00
62181	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Dec 2014 A	80,000,000	2014/02/19	2014/12/19	20.00
62176	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Nov 2014 A	50,000,000	2014/02/19	2014/11/28	12.50
62177	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Dec 2014 A	50,000,000	2014/02/19	2014/12/19	12.50
62178	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Nov 2014 A	50,000,000	2014/02/19	2014/11/28	12.50
62179	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Dec 2014 A	50,000,000	2014/02/19	2014/12/19	12.50
62182	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 A	50,000,000	2014/02/19	2014/12/19	22.50
61984	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 B	150,000,000	2014/02/19	2014/07/30	38.40
61985	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 C	150,000,000	2014/02/19	2014/07/30	37.65
61999	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 D	150,000,000	2014/02/19	2014/07/30	39.30
62000	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 E	150,000,000	2014/02/19	2014/07/30	42.15
61962	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 V	150,000,000	2014/02/19	2014/05/29	37.95
61883	HK Bank - China Life R Bull CBBC Oct 2014 A	60,000,000	2014/02/19	2014/10/27	16.80
61880	HK Bank - A50 R Bull CBBC Jan 2015 D	200,000,000	2014/02/19	2015/01/21	50.00
61881	HK Bank - A50 R Bull CBBC Jan 2015 E	200,000,000	2014/02/19	2015/01/14	50.00
61879	HK Bank - A50 R Bull CBBC Feb 2015 C	200,000,000	2014/02/19	2015/02/02	50.00
61920	HK Bank - Geely Auto R Bull CBBC Dec 2014 A	40,000,000	2014/02/19	2014/12/18	10.00
61913	HK Bank - HSCEI R Bull CBBC Nov 2014 B	100,000,000	2014/02/19	2014/11/27	25.00
61909	HK Bank - HSI R Bull CBBC Sep 2014 M	100,000,000	2014/02/19	2014/09/29	25.00
61892	HK Bank - HSI R Bull CBBC Oct 2014 J	100,000,000	2014/02/19	2014/10/30	25.00
61890	HK Bank - HSI R Bull CBBC Nov 2014 E	100,000,000	2014/02/19	2014/11/27	25.00
61914	HK Bank - Huishan Dairy R Bull CBBC Feb 2015 A	40,000,000	2014/02/19	2015/02/16	10.00
61882	HK Bank - Ping An R Bull CBBC Nov 2014 B	60,000,000	2014/02/19	2014/11/03	15.00
61887	HK Bank - Sands China R Bear CBBC Oct 2014 C	50,000,000	2014/02/19	2014/10/27	12.50
61983	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 C	300,000,000	2014/02/19	2014/09/29	75.00
62165	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 F	300,000,000	2014/02/19	2014/09/29	75.00
61982	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 B	300,000,000	2014/02/19	2014/10/30	75.00
61981	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/02/19	2014/11/27	75.00

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62173	J P Morgan SP BV - HSI R Bear CBBC May 2014 Y	300,000,000	2014/02/19	2014/05/29	75.00
62174	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 A	300,000,000	2014/02/19	2014/06/27	75.00
62175	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/02/19	2014/07/30	75.00
61946	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 Q	100,000,000	2014/02/19	2014/11/27	25.00
61948	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 R	100,000,000	2014/02/19	2014/11/27	25.00
62103	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 S	100,000,000	2014/02/19	2014/11/27	25.00
61979	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 R	100,000,000	2014/02/19	2014/08/28	25.00
62164	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 B	50,000,000	2014/02/19	2014/10/13	40.00
62002	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 T	200,000,000	2014/02/19	2014/11/27	50.00
62003	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 U	200,000,000	2014/02/19	2014/11/27	50.00
62041	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 V	200,000,000	2014/02/19	2014/11/27	50.00
61934	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 T	200,000,000	2014/02/19	2014/05/29	50.00
61944	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 U	200,000,000	2014/02/19	2014/05/29	50.00
62104	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 V	200,000,000	2014/02/19	2014/05/29	50.00
62108	UBS AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/02/19	2014/10/30	75.00
62159	UBS AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/02/19	2014/10/30	75.00
62105	UBS AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2014/02/19	2014/11/27	75.00
62120	UBS AG - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/02/19	2014/12/30	75.00
62162	UBS AG - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/02/19	2014/12/30	75.00
61932	UBS AG - HSI R Bear CBBC May 2014 D	300,000,000	2014/02/19	2014/05/29	75.00
62071	UBS AG - HSI R Bear CBBC May 2014 E	300,000,000	2014/02/19	2014/05/29	75.00
61933	UBS AG - HSI R Bear CBBC Jun 2014 Z	300,000,000	2014/02/19	2014/06/27	75.00
62196	Credit Suisse AG - HSI R Bull CBBC Nov 2014 A	300,000,000	2014/02/20	2014/11/27	75.00
62258	Credit Suisse AG - HSI R Bull CBBC Nov 2014 G	300,000,000	2014/02/20	2014/11/27	75.00
62275	Credit Suisse AG - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/02/20	2014/11/27	75.00
62276	Credit Suisse AG - HSI R Bull CBBC Nov 2014 W	300,000,000	2014/02/20	2014/11/27	75.00
62279	Credit Suisse AG - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/02/20	2014/06/27	75.00
62280	Credit Suisse AG - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/02/20	2014/06/27	75.00
62277	Credit Suisse AG - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/02/20	2014/07/30	75.00
62215	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 W	150,000,000	2014/02/20	2014/05/29	40.95
62309	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2014 X	150,000,000	2014/02/20	2014/05/29	38.25
62189	HK Bank - HSI R Bull CBBC Sep 2014 N	100,000,000	2014/02/20	2014/09/29	25.00
62188	HK Bank - HSI R Bull CBBC Oct 2014 K	100,000,000	2014/02/20	2014/10/30	25.00
62190	HK Bank - HSI R Bull CBBC Oct 2014 L	100,000,000	2014/02/20	2014/10/30	25.00
62191	HK Bank - HSI R Bull CBBC Jan 2015 C	100,000,000	2014/02/20	2015/01/29	25.00
62299	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 G	300,000,000	2014/02/20	2014/09/29	75.00
62297	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 R	300,000,000	2014/02/20	2014/11/27	75.00
62296	J P Morgan SP BV - HSI R Bear CBBC May 2014 Z	300,000,000	2014/02/20	2014/05/29	75.00
62197	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 T	100,000,000	2014/02/20	2014/11/27	25.00
62217	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 W	200,000,000	2014/02/20	2014/11/27	50.00
62225	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 X	200,000,000	2014/02/20	2014/11/27	50.00
62233	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Y	200,000,000	2014/02/20	2014/11/27	50.00
62235	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 W	200,000,000	2014/02/20	2014/05/29	50.00
62239	UBS AG - HSI R Bull CBBC Aug 2014 K	300,000,000	2014/02/20	2014/08/28	75.00
62255	UBS AG - HSI R Bull CBBC Aug 2014 M	300,000,000	2014/02/20	2014/08/28	75.00

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62248	UBS AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2014/02/20	2014/09/29	75.00
62195	UBS AG - HSI R Bull CBBC Nov 2014 B	300,000,000	2014/02/20	2014/11/27	75.00
62286	UBS AG - HSI R Bear CBBC May 2014 N	300,000,000	2014/02/20	2014/05/29	75.00
62288	UBS AG - HSI R Bear CBBC Jun 2014 C	300,000,000	2014/02/20	2014/06/27	75.00
62257	UBS AG - TCH R Bull CBBC Sep 2014 A	40,000,000	2014/02/20	2014/09/08	11.20
63117 [#]	HK Bank - HSI R Bear CBBC Jun 2014 M	180,000,000	2014/02/20	2014/06/27	21.24
62389	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/02/21	2014/08/28	75.00
62409	Credit Suisse AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/02/21	2014/10/30	75.00
62410	Credit Suisse AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/02/21	2014/10/30	75.00
62411	Credit Suisse AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/02/21	2014/10/30	75.00
62376	Credit Suisse AG - HSI R Bear CBBC May 2014 G	300,000,000	2014/02/21	2014/05/29	75.00
62377	Credit Suisse AG - HSI R Bear CBBC Jun 2014 T	300,000,000	2014/02/21	2014/06/27	75.00
62382	Credit Suisse AG - HSI R Bear CBBC Jun 2014 U	300,000,000	2014/02/21	2014/06/27	75.00
62433	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 F	150,000,000	2014/02/21	2014/07/30	42.00
62435	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 G	150,000,000	2014/02/21	2014/07/30	37.65
62372	HK Bank - AIA R Bull CBBC Oct 2014 B	60,000,000	2014/02/21	2014/10/27	18.00
62316	HK Bank - COVS R Bull CBBC Oct 2014 B	50,000,000	2014/02/21	2014/10/27	12.50
62374	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 B	80,000,000	2014/02/21	2015/01/26	20.00
62375	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 C	80,000,000	2014/02/21	2015/01/12	20.00
62351	HK Bank - Geely Auto R Bull CBBC Jan 2015 A	40,000,000	2014/02/21	2015/01/28	10.00
62352	HK Bank - HSI R Bull CBBC Sep 2014 O	100,000,000	2014/02/21	2014/09/29	25.00
62357	HK Bank - HSI R Bull CBBC Oct 2014 M	100,000,000	2014/02/21	2014/10/30	25.00
62365	HK Bank - HSI R Bull CBBC Nov 2014 F	100,000,000	2014/02/21	2014/11/27	25.00
62371	HK Bank - HSI R Bull CBBC Dec 2014 D	100,000,000	2014/02/21	2014/12/30	25.00
62350	HK Bank - HSI R Bear CBBC Sep 2014 O	100,000,000	2014/02/21	2014/09/29	25.00
62373	HK Bank - Hutchison R Bull CBBC Oct 2014 D	60,000,000	2014/02/21	2014/10/27	15.00
62339	HK Bank - TCH R Bull CBBC Nov 2014 E	50,000,000	2014/02/21	2014/11/17	15.00
62441	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/02/21	2014/10/30	75.00
62440	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/02/21	2014/11/27	75.00
62439	J P Morgan SP BV - HSI R Bear CBBC May 2014 D	300,000,000	2014/02/21	2014/05/29	75.00
62436	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/02/21	2014/07/30	75.00
62393	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 U	100,000,000	2014/02/21	2014/11/27	25.00
62396	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 S	100,000,000	2014/02/21	2014/08/28	25.00
62425	Standard Chartered Bank - TCH R Bull CBBC Sep 2014 D	50,000,000	2014/02/21	2014/09/02	22.00
62407	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 F	200,000,000	2014/02/21	2014/10/30	50.00
62406	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Z	200,000,000	2014/02/21	2014/11/27	50.00
62405	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 N	200,000,000	2014/02/21	2014/12/30	50.00
62401	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 G	200,000,000	2014/02/21	2014/05/29	50.00
62398	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 X	200,000,000	2014/02/21	2014/05/29	50.00
62418	UBS AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2014/02/21	2014/08/28	75.00
62421	UBS AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2014/02/21	2014/09/29	75.00
62422	UBS AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2014/02/21	2014/09/29	75.00
62383	UBS AG - HSI R Bear CBBC May 2014 Y	300,000,000	2014/02/21	2014/05/29	75.00
62385	UBS AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/02/21	2014/05/29	75.00
62408	UBS AG - TCH R Bear CBBC Oct 2014 B	40,000,000	2014/02/21	2014/10/13	39.20

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62599	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/02/24	2014/12/30	75.00
62603	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/02/24	2014/12/30	75.00
62607	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/02/24	2014/12/30	75.00
62616	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/02/24	2014/12/30	75.00
62617	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/02/24	2014/12/30	75.00
62492	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/02/24	2014/08/28	75.00
62591	Credit Suisse AG - HSI R Bull CBBC Sep 2014 K	300,000,000	2014/02/24	2014/09/29	75.00
62589	Credit Suisse AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2014/02/24	2014/09/29	75.00
62590	Credit Suisse AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/02/24	2014/09/29	75.00
62513	Credit Suisse AG - HSI R Bear CBBC May 2014 I	300,000,000	2014/02/24	2014/05/29	75.00
62514	Credit Suisse AG - HSI R Bear CBBC May 2014 J	300,000,000	2014/02/24	2014/05/29	75.00
62505	Credit Suisse AG - HSI R Bear CBBC Jul 2014 K	300,000,000	2014/02/24	2014/07/30	75.00
62656	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Dec14 B	50,000,000	2014/02/24	2014/12/08	16.00
62657	Citigroup Global Mkt H Inc. - China Life R Bear CBBC Dec14 A	50,000,000	2014/02/24	2014/12/08	21.00
62658	Citigroup Global Mkt H Inc. - China Life R Bear CBBC Dec14 B	50,000,000	2014/02/24	2014/12/08	26.00
62653	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Dec14 C	60,000,000	2014/02/24	2014/12/01	15.00
62655	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Dec14 B	60,000,000	2014/02/24	2014/12/01	15.00
62659	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 B	50,000,000	2014/02/24	2014/12/15	95.00
62660	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 C	50,000,000	2014/02/24	2014/12/15	85.00
62661	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 D	50,000,000	2014/02/24	2014/12/15	75.00
62662	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 E	50,000,000	2014/02/24	2014/12/15	37.50
62665	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 F	50,000,000	2014/02/24	2014/12/15	32.50
62666	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 G	50,000,000	2014/02/24	2014/12/15	27.50
62667	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 A	50,000,000	2014/02/24	2014/12/15	20.00
62668	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 B	50,000,000	2014/02/24	2014/12/15	25.00
62669	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 C	50,000,000	2014/02/24	2014/12/15	30.00
62670	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 D	50,000,000	2014/02/24	2014/12/15	35.00
62671	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 E	50,000,000	2014/02/24	2014/12/15	40.00
62672	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 F	50,000,000	2014/02/24	2014/12/15	45.00
62673	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 G	50,000,000	2014/02/24	2014/12/15	50.00
62687	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 H	50,000,000	2014/02/24	2014/12/15	55.00
62693	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 I	50,000,000	2014/02/24	2014/12/15	60.00
62697	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 J	50,000,000	2014/02/24	2014/12/15	65.00
62652	Bank of East Asia - China Mobile R Bull CBBC Oct 2014 A	100,000,000	2014/02/24	2014/10/08	25.00
62637	Bank of East Asia - Geely Auto R Bull CBBC May 2015 A	200,000,000	2014/02/24	2015/05/12	50.00
62636	Bank of East Asia - Huishan Dairy R Bull CBBC Dec 2015 A	200,000,000	2014/02/24	2015/12/21	50.00
62517	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 H	150,000,000	2014/02/24	2014/07/30	39.90
62518	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 I	150,000,000	2014/02/24	2014/07/30	39.30
62527	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 J	150,000,000	2014/02/24	2014/07/30	39.60
62529	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 K	150,000,000	2014/02/24	2014/07/30	38.25
62482	HK Bank - China Life R Bear CBBC Dec 2014 B	50,000,000	2014/02/24	2014/12/22	13.00
62483	HK Bank - CM Bank R Bull CBBC Nov 2014 A	40,000,000	2014/02/24	2014/11/24	10.00
62476	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 E	40,000,000	2014/02/24	2014/11/03	10.00
62481	HK Bank - China Shenhua R Bull CBBC Aug 2015 A	40,000,000	2014/02/24	2015/08/28	10.00
62450	HK Bank - Great Wall Motor R Bull CBBC Oct 2015 A	40,000,000	2014/02/24	2015/10/26	10.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62447	HK Bank - HSCEI R Bull CBBC Oct 2014 C	100,000,000	2014/02/24	2014/10/30	25.00
62443	HK Bank - HSI R Bull CBBC Sep 2014 P	100,000,000	2014/02/24	2014/09/29	25.00
62444	HK Bank - HSI R Bear CBBC Oct 2014 H	100,000,000	2014/02/24	2014/10/30	25.00
62484	HK Bank - Hutchison R Bull CBBC Oct 2014 E	60,000,000	2014/02/24	2014/10/13	15.00
62454	HK Bank - TCH R Bull CBBC Oct 2014 J	50,000,000	2014/02/24	2014/10/15	14.00
62461	HK Bank - TCH R Bear CBBC Sep 2014 M	50,000,000	2014/02/24	2014/09/08	60.00
62460	HK Bank - TCH R Bear CBBC Nov 2014 C	50,000,000	2014/02/24	2014/11/03	55.00
62631	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/02/24	2014/10/30	75.00
62625	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/02/24	2014/11/27	75.00
62624	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/02/24	2014/12/30	75.00
62623	J P Morgan SP BV - HSI R Bear CBBC May 2014 E	300,000,000	2014/02/24	2014/05/29	75.00
62622	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 K	300,000,000	2014/02/24	2014/07/30	75.00
62485	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 B	40,000,000	2014/02/24	2014/09/29	10.00
62486	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 C	40,000,000	2014/02/24	2014/09/29	10.00
62499	Standard Chartered Bank - China Mobile R Bull CBBC Nov 2014A	50,000,000	2014/02/24	2014/11/18	12.50
62502	Standard Chartered Bank - China Mobile R Bear CBBC Jul 2014A	50,000,000	2014/02/24	2014/07/18	12.50
62530	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 V	100,000,000	2014/02/24	2014/11/27	25.00
62504	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 J	100,000,000	2014/02/24	2014/07/30	25.00
62586	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 A	50,000,000	2014/02/24	2014/11/18	23.50
62587	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 C	50,000,000	2014/02/24	2014/10/20	35.00
62595	Standard Chartered Bank - TCH R Bear CBBC Nov 2014 A	50,000,000	2014/02/24	2014/11/12	38.50
62531	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 G	200,000,000	2014/02/24	2014/10/30	50.00
62532	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 H	200,000,000	2014/02/24	2014/10/30	50.00
62487	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 H	200,000,000	2014/02/24	2014/05/29	50.00
62488	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 K	200,000,000	2014/02/24	2014/05/29	50.00
62533	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 G	200,000,000	2014/02/24	2014/06/27	50.00
62534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 H	200,000,000	2014/02/24	2014/06/27	50.00
62538	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 I	200,000,000	2014/02/24	2014/06/27	50.00
62544	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 J	200,000,000	2014/02/24	2014/06/27	50.00
62547	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 K	200,000,000	2014/02/24	2014/06/27	50.00
62548	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 L	200,000,000	2014/02/24	2014/06/27	50.00
62567	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 M	200,000,000	2014/02/24	2014/06/27	50.00
62573	UBS AG - AIA R Bull CBBC Nov 2014 B	100,000,000	2014/02/24	2014/11/17	25.00
62572	UBS AG - China Mobile R Bear CBBC Aug 2014 B	100,000,000	2014/02/24	2014/08/04	25.00
62571	UBS AG - CC Bank R Bull CBBC Aug 2014 A	100,000,000	2014/02/24	2014/08/11	25.00
62574	UBS AG - China Life R Bull CBBC Jul 2014 I	50,000,000	2014/02/24	2014/07/07	15.75
62583	UBS AG - HSCEI R Bull CBBC Nov 2014 E	100,000,000	2014/02/24	2014/11/27	25.00
62593	UBS AG - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/02/24	2014/11/27	75.00
62594	UBS AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/02/24	2014/12/30	75.00
62494	UBS AG - HSI R Bear CBBC May 2014 C	300,000,000	2014/02/24	2014/05/29	75.00
62493	UBS AG - HSI R Bear CBBC Jun 2014 H	350,000,000	2014/02/24	2014/06/27	87.50
62568	UBS AG - Hutchison R Bull CBBC Sep 2014 C	100,000,000	2014/02/24	2014/09/22	25.00
62569	UBS AG - TCH R Bull CBBC Aug 2014 F	40,000,000	2014/02/24	2014/08/11	17.40
62570	UBS AG - TCH R Bear CBBC Oct 2014 C	40,000,000	2014/02/24	2014/10/06	35.20
62584	UBS AG - TCH R Bear CBBC Nov 2014 A	40,000,000	2014/02/24	2014/11/17	61.20

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62771	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2014 C	100,000,000	2014/02/25	2014/12/30	55.00
62772	BNP Paribas Arbit Issu B.V. - TCH R Bear CBBC Dec 2014 B	100,000,000	2014/02/25	2014/12/30	50.00
62853	Credit Suisse AG - HSI R Bull CBBC Oct 2014 B	300,000,000	2014/02/25	2014/10/30	75.00
62854	Credit Suisse AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/02/25	2014/10/30	75.00
62768	Credit Suisse AG - HSI R Bear CBBC Jun 2014 V	300,000,000	2014/02/25	2014/06/27	75.00
62769	Credit Suisse AG - HSI R Bear CBBC Jun 2014 W	300,000,000	2014/02/25	2014/06/27	75.00
62873	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Dec 2014 B	100,000,000	2014/02/25	2014/12/18	25.00
62900	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Dec 2014 C	100,000,000	2014/02/25	2014/12/18	25.00
62905	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Dec 2014 A	100,000,000	2014/02/25	2014/12/18	25.00
62927	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Dec 2014 B	100,000,000	2014/02/25	2014/12/18	25.00
62991	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Dec 2014 B	60,000,000	2014/02/25	2014/12/18	15.00
63113	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Dec14 C	50,000,000	2014/02/25	2014/12/18	12.50
63065	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Dec14 C	60,000,000	2014/02/25	2014/12/18	15.00
63081	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Dec14 D	60,000,000	2014/02/25	2014/12/18	15.60
63102	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Dec14 E	60,000,000	2014/02/25	2014/12/18	18.00
62935	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Dec 2014 B	60,000,000	2014/02/25	2014/12/18	15.00
63114	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 H	50,000,000	2014/02/25	2014/12/18	25.00
62859	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 A	150,000,000	2014/02/25	2014/07/30	37.95
62719	HK Bank - Cheung Kong R Bull CBBC Oct 2014 C	60,000,000	2014/02/25	2014/10/27	15.00
62721	HK Bank - China Life R Bull CBBC Oct 2014 B	60,000,000	2014/02/25	2014/10/20	18.00
62739	HK Bank - China Life R Bull CBBC Oct 2014 C	60,000,000	2014/02/25	2014/10/13	15.00
62720	HK Bank - China Shenhua R Bear CBBC Aug 2015 A	40,000,000	2014/02/25	2015/08/31	10.00
62746	HK Bank - HSI R Bull CBBC Oct 2014 N	100,000,000	2014/02/25	2014/10/30	25.00
62745	HK Bank - HSI R Bull CBBC Nov 2014 G	100,000,000	2014/02/25	2014/11/27	25.00
62718	HK Bank - Ping An R Bull CBBC Oct 2014 B	60,000,000	2014/02/25	2014/10/27	15.00
62704	HK Bank - TCH R Bull CBBC Oct 2014 K	50,000,000	2014/02/25	2014/10/22	17.50
62703	HK Bank - TCH R Bull CBBC Nov 2014 F	50,000,000	2014/02/25	2014/11/20	22.50
62706	HK Bank - TCH R Bull CBBC Nov 2014 G	50,000,000	2014/02/25	2014/11/11	12.50
62708	HK Bank - TCH R Bear CBBC Oct 2014 H	50,000,000	2014/02/25	2014/10/24	52.50
62711	HK Bank - TCH R Bear CBBC Nov 2014 D	50,000,000	2014/02/25	2014/11/24	57.50
62863	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/02/25	2014/10/30	75.00
62860	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/02/25	2014/11/27	75.00
62747	Standard Chartered Bank - HSBC R Bull CBBC Jul 2014A	50,000,000	2014/02/25	2014/07/30	12.50
62759	Standard Chartered Bank - HSBC R Bear CBBC Sep 2014A	50,000,000	2014/02/25	2014/09/08	12.50
62763	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 W	100,000,000	2014/02/25	2014/11/27	25.00
62766	Standard Chartered Bank - Hutchison R Bull CBBC Aug 2014 A	50,000,000	2014/02/25	2014/08/25	12.50
62767	Standard Chartered Bank - Hutchison R Bear CBBC Sep 2014 A	50,000,000	2014/02/25	2014/09/29	12.50
62773	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 D	50,000,000	2014/02/25	2014/10/28	46.50
62850	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 I	200,000,000	2014/02/25	2014/10/30	50.00
62851	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 J	200,000,000	2014/02/25	2014/10/30	50.00
62782	UBS AG - Cheung Kong R Bear CBBC Aug 2014 A	50,000,000	2014/02/25	2014/08/18	12.50
62816	UBS AG - Minsheng Bank R Bull CBBC Oct 2014 D	50,000,000	2014/02/25	2014/10/27	12.50
62805	UBS AG - China Shenhua R Bull CBBC Jul 2015 A	50,000,000	2014/02/25	2015/07/20	12.50
62785	UBS AG - Geely Auto R Bull CBBC Dec 2014 A	50,000,000	2014/02/25	2014/12/29	12.50
62819	UBS AG - Great Wall Motor R Bull CBBC Dec 2014 B	50,000,000	2014/02/25	2014/12/22	12.50

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62857	UBS AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/02/25	2014/10/30	75.00
62856	UBS AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/02/25	2014/10/30	75.00
62770	UBS AG - HSI R Bear CBBC May 2014 X	300,000,000	2014/02/25	2014/05/29	75.00
62786	UBS AG - TCH R Bull CBBC Sep 2014 B	40,000,000	2014/02/25	2014/09/22	19.60
62791	UBS AG - TCH R Bear CBBC Nov 2014 B	40,000,000	2014/02/25	2014/11/10	36.80
63473	Credit Suisse AG - HSI R Bull CBBC Nov 2014 K	300,000,000	2014/02/26	2014/11/27	75.00
63467	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/02/26	2014/11/27	75.00
63469	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Y	300,000,000	2014/02/26	2014/11/27	75.00
63412	Credit Suisse AG - HSI R Bear CBBC May 2014 F	300,000,000	2014/02/26	2014/05/29	75.00
63443	Credit Suisse AG - HSI R Bear CBBC May 2014 U	300,000,000	2014/02/26	2014/05/29	75.00
63568	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Dec14C	80,000,000	2014/02/26	2014/12/12	20.00
63571	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Dec14A	80,000,000	2014/02/26	2014/12/12	20.00
63547	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Dec14B	100,000,000	2014/02/26	2014/12/12	25.00
63554	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Dec 14 A	100,000,000	2014/02/26	2014/12/12	25.00
63574	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Dec 2014 B	80,000,000	2014/02/26	2014/12/12	20.00
63575	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Dec 2014 C	80,000,000	2014/02/26	2014/12/12	20.00
63577	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Dec 2014 A	80,000,000	2014/02/26	2014/12/12	20.00
63580	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Dec 2014 B	80,000,000	2014/02/26	2014/12/12	20.00
63546	Citigroup Global Mkt H Inc. - ICBC R Bear CBBC Dec 2014 A	60,000,000	2014/02/26	2014/12/12	15.00
63563	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Dec 2014 C	80,000,000	2014/02/26	2014/12/12	20.00
63559	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Dec14A	60,000,000	2014/02/26	2014/12/12	15.00
63560	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Dec14A	60,000,000	2014/02/26	2014/12/12	15.00
63303	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 I	50,000,000	2014/02/26	2014/12/15	21.00
63307	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 J	50,000,000	2014/02/26	2014/12/15	16.00
63243	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 K	50,000,000	2014/02/26	2014/12/08	75.00
63244	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 L	50,000,000	2014/02/26	2014/12/08	90.00
63279	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 M	50,000,000	2014/02/26	2014/12/08	95.00
63286	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 N	50,000,000	2014/02/26	2014/12/08	105.00
63288	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 O	50,000,000	2014/02/26	2014/12/08	115.00
63296	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Dec 2014 P	50,000,000	2014/02/26	2014/12/08	130.00
63498	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 L	150,000,000	2014/02/26	2014/07/30	39.00
63519	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 M	150,000,000	2014/02/26	2014/07/30	41.55
63515	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 B	150,000,000	2014/02/26	2014/07/30	37.80
63545	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 E	300,000,000	2014/02/26	2014/09/29	75.00
63542	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 X	300,000,000	2014/02/26	2014/10/30	75.00
63308	J P Morgan SP BV - HSI R Bear CBBC May 2014 M	300,000,000	2014/02/26	2014/05/29	75.00
63333	J P Morgan SP BV - HSI R Bear CBBC May 2014 R	300,000,000	2014/02/26	2014/05/29	75.00
63525	J P Morgan SP BV - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/26	2014/05/29	75.00
63139	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 T	100,000,000	2014/02/26	2014/08/28	25.00
63115	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 K	200,000,000	2014/02/26	2014/10/30	50.00
63134	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 L	200,000,000	2014/02/26	2014/05/29	50.00
63367	UBS AG - China Life R Bull CBBC Sep 2014 A	50,000,000	2014/02/26	2014/09/15	12.50
63334	UBS AG - HSBC R Bull CBBC Nov 2014 B	100,000,000	2014/02/26	2014/11/17	25.00
63388	UBS AG - HSCEI R Bull CBBC Nov 2014 F	100,000,000	2014/02/26	2014/11/27	25.00
63489	UBS AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2014/02/26	2014/08/28	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63478	UBS AG - HSI R Bull CBBC Nov 2014 F	300,000,000	2014/02/26	2014/11/27	75.00
63482	UBS AG - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/02/26	2014/12/30	75.00
63218	UBS AG - HSI R Bear CBBC May 2014 M	300,000,000	2014/02/26	2014/05/29	75.00
63371	UBS AG - HSI R Bear CBBC May 2014 V	300,000,000	2014/02/26	2014/05/29	75.00
63361	UBS AG - Ping An R Bull CBBC Oct 2014 F	100,000,000	2014/02/26	2014/10/06	25.00
63342	UBS AG - TCH R Bull CBBC Oct 2014 A	40,000,000	2014/02/26	2014/10/06	16.00
63358	UBS AG - TCH R Bear CBBC Oct 2014 D	40,000,000	2014/02/26	2014/10/20	44.40
63895	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/02/27	2014/08/28	75.00
63896	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/02/27	2014/08/28	75.00
63678	Credit Suisse AG - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/02/27	2014/12/30	75.00
63730	Credit Suisse AG - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/02/27	2014/12/30	75.00
63731	Credit Suisse AG - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/02/27	2014/12/30	75.00
63950	Credit Suisse AG - HSI R Bear CBBC May 2014 H	300,000,000	2014/02/27	2014/05/29	75.00
63903	Credit Suisse AG - HSI R Bear CBBC May 2014 V	300,000,000	2014/02/27	2014/05/29	75.00
63926	Credit Suisse AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/02/27	2014/05/29	75.00
63777	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 C	150,000,000	2014/02/27	2014/07/30	39.00
63780	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 D	150,000,000	2014/02/27	2014/07/30	38.10
63606	HK Bank - CNOOC R Bull CBBC Nov 2014 C	60,000,000	2014/02/27	2014/11/24	15.00
63594	HK Bank - HSI R Bull CBBC Sep 2014 Q	100,000,000	2014/02/27	2014/09/29	25.00
63581	HK Bank - HSI R Bear CBBC Nov 2014 C	100,000,000	2014/02/27	2014/11/27	25.00
63605	HK Bank - TCH R Bull CBBC Oct 2014 L	50,000,000	2014/02/27	2014/10/29	12.50
63603	HK Bank - TCH R Bear CBBC Dec 2014 A	50,000,000	2014/02/27	2014/12/08	71.00
63611	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/02/27	2014/10/30	75.00
63655	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/02/27	2014/11/27	75.00
63975	J P Morgan SP BV - HSI R Bear CBBC May 2014 T	300,000,000	2014/02/27	2014/05/29	75.00
63747	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 X	100,000,000	2014/02/27	2014/11/27	25.00
63775	Standard Chartered Bank - Ping An R Bull CBBC Nov 2014 A	50,000,000	2014/02/27	2014/11/18	12.50
63776	Standard Chartered Bank - Ping An R Bear CBBC Jul 2014 A	50,000,000	2014/02/27	2014/07/18	12.50
63781	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 O	200,000,000	2014/02/27	2014/12/30	50.00
63793	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 R	200,000,000	2014/02/27	2014/05/29	50.00
63805	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 S	200,000,000	2014/02/27	2014/05/29	50.00
63838	UBS AG - Sinopec Corp R Bull CBBC Nov 2014 A	50,000,000	2014/02/27	2014/11/03	12.50
63661	UBS AG - HSI R Bull CBBC Aug 2014 X	300,000,000	2014/02/27	2014/08/28	75.00
63870	UBS AG - HSI R Bear CBBC May 2014 R	300,000,000	2014/02/27	2014/05/29	75.00
63871	UBS AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/27	2014/05/29	75.00
63819	UBS AG - Petrochina R Bull CBBC Nov 2014 A	50,000,000	2014/02/27	2014/11/03	12.50
63822	UBS AG - TCH R Bear CBBC Aug 2014 D	40,000,000	2014/02/27	2014/08/11	46.80
63820	UBS AG - TCH R Bear CBBC Sep 2014 F	40,000,000	2014/02/27	2014/09/22	54.80
64067	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/02/28	2014/08/28	75.00
64621	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	300,000,000	2014/02/28	2014/11/27	75.00
64595	Credit Suisse AG - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/02/28	2014/11/27	75.00
64095	Credit Suisse AG - HSI R Bear CBBC May 2014 K	300,000,000	2014/02/28	2014/05/29	75.00
64114	Credit Suisse AG - HSI R Bear CBBC May 2014 S	300,000,000	2014/02/28	2014/05/29	75.00
64007	HK Bank - Sinopec Corp R Bull CBBC Oct 2014 C	80,000,000	2014/02/28	2014/10/16	20.00
63985	HK Bank - HSI R Bear CBBC Sep 2014 P	100,000,000	2014/02/28	2014/09/29	25.00

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63984	HK Bank - HSI R Bear CBBC Oct 2014 I	100,000,000	2014/02/28	2014/10/30	25.00
63999	HK Bank - TCH R Bear CBBC Oct 2014 I	50,000,000	2014/02/28	2014/10/30	18.00
64000	HK Bank - TCH R Bear CBBC Oct 2014 J	50,000,000	2014/02/28	2014/10/22	13.00
64587	Standard Chartered Bank - China Life R Bull CBBC Nov 2014 A	50,000,000	2014/02/28	2014/11/18	15.00
64591	Standard Chartered Bank - China Life R Bear CBBC Jul 2014 A	50,000,000	2014/02/28	2014/07/18	12.50
64118	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 U	100,000,000	2014/02/28	2014/08/28	25.00
64008	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 Y	200,000,000	2014/02/28	2014/05/29	50.00
64054	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May14 Z	200,000,000	2014/02/28	2014/05/29	50.00
64368	UBS AG - AIA R Bear CBBC Nov 2014 A	100,000,000	2014/02/28	2014/11/10	25.00
64488	UBS AG - China Mobile R Bull CBBC Nov 2014 B	100,000,000	2014/02/28	2014/11/03	25.00
64350	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2014 B	50,000,000	2014/02/28	2014/10/06	12.50
64315	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2014 A	50,000,000	2014/02/28	2014/11/03	12.50
64300	UBS AG - A50 China R Bull CBBC Aug 2014 A	100,000,000	2014/02/28	2014/08/11	25.00
64294	UBS AG - A50 China R Bull CBBC Sep 2014 A	100,000,000	2014/02/28	2014/09/15	25.00
64515	UBS AG - HKEX R Bull CBBC Nov 2014 A	100,000,000	2014/02/28	2014/11/10	25.00
64528	UBS AG - HSBC R Bull CBBC Dec 2014 A	100,000,000	2014/02/28	2014/12/01	25.00
64530	UBS AG - HSBC R Bull CBBC Apr 2015 A	100,000,000	2014/02/28	2015/04/20	25.00
64547	UBS AG - HSI R Bull CBBC Sep 2014 Y	300,000,000	2014/02/28	2014/09/29	75.00
64162	UBS AG - HSI R Bear CBBC May 2014 D	300,000,000	2014/02/28	2014/05/29	75.00
64169	UBS AG - HSI R Bear CBBC May 2014 E	300,000,000	2014/02/28	2014/05/29	75.00
64092	UBS AG - HSI R Bear CBBC May 2014 F	350,000,000	2014/02/28	2014/05/29	87.50
64157	UBS AG - HSI R Bear CBBC May 2014 H	300,000,000	2014/02/28	2014/05/29	75.00
64194	UBS AG - HSI R Bear CBBC May 2014 N	300,000,000	2014/02/28	2014/05/29	75.00
64173	UBS AG - HSI R Bear CBBC May 2014 T	300,000,000	2014/02/28	2014/05/29	75.00
64091	UBS AG - HSI R Bear CBBC May 2014 U	300,000,000	2014/02/28	2014/05/29	75.00
64211	UBS AG - HSI R Bear CBBC May 2014 Y	300,000,000	2014/02/28	2014/05/29	75.00
64242	UBS AG - HSI R Bear CBBC May 2014 Z	300,000,000	2014/02/28	2014/05/29	76.50
64520	UBS AG - Hutchison R Bull CBBC Oct 2014 D	100,000,000	2014/02/28	2014/10/20	25.00
64514	UBS AG - TCH R Bear CBBC Nov 2014 C	40,000,000	2014/02/28	2014/11/17	65.20
64628	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/03/03	2014/12/30	75.00
64664	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/03/03	2014/12/30	75.00
64926	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/03/03	2014/08/28	75.00
64931	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/03/03	2014/08/28	75.00
64772	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2014/03/03	2014/09/29	75.00
64786	Credit Suisse AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2014/03/03	2014/09/29	75.00
64822	Credit Suisse AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2014/03/03	2014/09/29	75.00
64915	Credit Suisse AG - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/03/03	2014/06/27	75.00
64895	Credit Suisse AG - HSI R Bear CBBC Jun 2014 X	300,000,000	2014/03/03	2014/06/27	75.00
64898	Credit Suisse AG - HSI R Bear CBBC Jun 2014 Y	300,000,000	2014/03/03	2014/06/27	75.00
64904	Credit Suisse AG - HSI R Bear CBBC Jun 2014 Z	300,000,000	2014/03/03	2014/06/27	75.00
64940	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 N	150,000,000	2014/03/03	2014/07/30	38.70
64941	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 O	150,000,000	2014/03/03	2014/07/30	37.95
64937	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 E	150,000,000	2014/03/03	2014/07/30	37.80
64943	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/03/03	2014/10/30	75.00
64944	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/03/03	2014/11/27	75.00

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64945	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 B	300,000,000	2014/03/03	2014/06/27	75.00
64946	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/03/03	2014/07/30	75.00
64835	Standard Chartered Bank - HSI R Bull CBBC Jan 2015 A	100,000,000	2014/03/03	2015/01/29	25.00
64881	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 C	100,000,000	2014/03/03	2014/09/29	25.00
64893	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 D	100,000,000	2014/03/03	2014/09/29	25.00
64738	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 L	200,000,000	2014/03/03	2014/10/30	50.00
64744	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 M	200,000,000	2014/03/03	2014/10/30	50.00
64877	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 N	200,000,000	2014/03/03	2014/06/27	50.00
64878	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 O	200,000,000	2014/03/03	2014/06/27	50.00
64858	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2015 A	50,000,000	2014/03/03	2015/01/26	12.50
64869	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2015 B	50,000,000	2014/03/03	2015/01/12	12.50
64841	UBS AG - A50 China R Bull CBBC Jan 2015 A	100,000,000	2014/03/03	2015/01/26	25.00
64848	UBS AG - A50 China R Bull CBBC Jan 2015 B	100,000,000	2014/03/03	2015/01/12	25.00
64840	UBS AG - A50 China R Bull CBBC Feb 2015 A	100,000,000	2014/03/03	2015/02/09	25.00
64876	UBS AG - HSBC R Bull CBBC Jul 2014 B	100,000,000	2014/03/03	2014/07/07	25.00
64875	UBS AG - HSBC R Bear CBBC Nov 2014 A	100,000,000	2014/03/03	2014/11/10	25.00
64735	UBS AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2014/03/03	2014/08/28	75.00
64680	UBS AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2014/03/03	2014/09/29	75.00
64924	UBS AG - HSI R Bear CBBC Jun 2014 O	300,000,000	2014/03/03	2014/06/27	75.00
64925	UBS AG - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/03/03	2014/06/27	75.00
64871	UBS AG - Hutchison R Bear CBBC Jul 2014 C	100,000,000	2014/03/03	2014/07/28	25.00
64870	UBS AG - SHK Ppt R Bear CBBC Oct 2014 A	50,000,000	2014/03/03	2014/10/20	12.50
64981	Credit Suisse AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/03/04	2014/10/30	75.00
64985	Credit Suisse AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/03/04	2014/10/30	75.00
64964	Credit Suisse AG - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/03/04	2014/06/27	75.00
64992	Credit Suisse AG - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/03/04	2014/06/27	75.00
65040	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Dec 2014 C	80,000,000	2014/03/04	2014/12/11	20.00
65025	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Dec14A	100,000,000	2014/03/04	2014/12/11	25.00
65022	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Dec 14 A	100,000,000	2014/03/04	2014/12/11	25.00
65034	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Dec14D	60,000,000	2014/03/04	2014/12/11	15.00
65042	Citigroup Global Mkt H Inc. - ICBC R Bear CBBC Dec 2014 B	80,000,000	2014/03/04	2014/12/11	20.00
65021	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Dec14B	60,000,000	2014/03/04	2014/12/11	15.00
64966	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 P	150,000,000	2014/03/04	2014/07/30	39.45
65018	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 F	150,000,000	2014/03/04	2014/07/30	37.95
64957	HK Bank - Minsheng Bank R Bull CBBC Dec 2014 A	40,000,000	2014/03/04	2014/12/15	10.00
64954	HK Bank - A50 R Bear CBBC Nov 2014 B	50,000,000	2014/03/04	2014/11/24	12.50
64956	HK Bank - A50 R Bear CBBC Nov 2014 C	50,000,000	2014/03/04	2014/11/17	12.50
64951	HK Bank - HSCEI R Bear CBBC Oct 2014 C	68,000,000	2014/03/04	2014/10/30	17.00
64952	HK Bank - HSI R Bull CBBC Dec 2014 E	100,000,000	2014/03/04	2014/12/30	25.00
64965	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 U	300,000,000	2014/03/04	2014/10/30	75.00
65010	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 M	300,000,000	2014/03/04	2014/07/30	75.00
65043	Standard Chartered Bank - ABC R Bull CBBC Nov 2014 A	50,000,000	2014/03/04	2014/11/18	12.50
65069	Standard Chartered Bank - ABC R Bear CBBC Jul 2014 B	50,000,000	2014/03/04	2014/07/18	12.50
65056	Standard Chartered Bank - China Mobile R Bull CBBC Nov 2014B	50,000,000	2014/03/04	2014/11/18	12.50
65059	Standard Chartered Bank - China Mobile R Bear CBBC Jul 2014B	50,000,000	2014/03/04	2014/07/18	12.50

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65068	Standard Chartered Bank - Minsheng Bank R Bull CBBC Dec 14 A	50,000,000	2014/03/04	2014/12/02	12.50
65047	Standard Chartered Bank - Minsheng Bank R Bear CBBC Aug 14 A	50,000,000	2014/03/04	2014/08/21	12.50
65052	Standard Chartered Bank - Galaxy Ent R Bull CBBC Sep 2014 D	50,000,000	2014/03/04	2014/09/26	12.50
65055	Standard Chartered Bank - Galaxy Ent R Bear CBBC Sep 2014 B	50,000,000	2014/03/04	2014/09/02	12.50
64995	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 Y	100,000,000	2014/03/04	2014/11/27	25.00
65064	Standard Chartered Bank - Sands China R Bull CBBC Nov 2014 A	50,000,000	2014/03/04	2014/11/21	12.50
65067	Standard Chartered Bank - Sands China R Bear CBBC Aug 2014 A	50,000,000	2014/03/04	2014/08/18	12.50
64972	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec 14P	200,000,000	2014/03/04	2014/12/30	50.00
64973	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun 14P	200,000,000	2014/03/04	2014/06/27	50.00
65008	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun 14Q	200,000,000	2014/03/04	2014/06/27	50.00
65004	UBS AG - China Mobile R Bear CBBC Aug 2014 C	100,000,000	2014/03/04	2014/08/11	25.00
65007	UBS AG - Cheung Kong R Bull CBBC Nov 2014 A	100,000,000	2014/03/04	2014/11/17	25.00
65005	UBS AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/03/04	2014/10/30	75.00
65006	UBS AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/03/04	2014/10/30	75.00
65009	UBS AG - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/03/04	2014/06/27	75.00
64948	UBS AG - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/03/04	2014/06/27	75.00
64949	UBS AG - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/03/04	2014/06/27	75.00
65251	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 A	80,000,000	2014/03/05	2014/12/30	20.00
65253	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 B	80,000,000	2014/03/05	2014/12/30	20.00
65257	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 C	80,000,000	2014/03/05	2014/12/30	20.00
65285	Credit Suisse AG - A50 China R Bear CBBC Aug 2014 A	80,000,000	2014/03/05	2014/08/28	20.00
65290	Credit Suisse AG - A50 China R Bear CBBC Aug 2014 B	80,000,000	2014/03/05	2014/08/28	20.00
65307	Credit Suisse AG - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/03/05	2014/10/30	75.00
65319	Credit Suisse AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/03/05	2014/10/30	75.00
65132	Credit Suisse AG - HSI R Bear CBBC Jun 2014 M	300,000,000	2014/03/05	2014/06/27	75.00
65304	Credit Suisse AG - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/03/05	2014/07/30	75.00
65091	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2014 D	80,000,000	2014/03/05	2014/11/12	20.00
65099	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2015 A	80,000,000	2014/03/05	2015/03/09	20.00
65109	HK Bank - A50 R Bull CBBC Mar 2015 B	200,000,000	2014/03/05	2015/03/02	50.00
65112	HK Bank - A50 R Bull CBBC Mar 2015 C	200,000,000	2014/03/05	2015/03/09	50.00
65090	HK Bank - HSI R Bear CBBC Oct 2014 J	100,000,000	2014/03/05	2014/10/30	25.00
65326	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 H	300,000,000	2014/03/05	2014/09/29	75.00
65325	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/03/05	2014/07/30	75.00
65228	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 N	200,000,000	2014/03/05	2014/10/30	50.00
65246	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 O	200,000,000	2014/03/05	2014/10/30	50.00
65187	UBS AG - ABC R Bear CBBC Oct 2014 A	50,000,000	2014/03/05	2014/10/13	12.50
65163	UBS AG - CSOP A50 ETF R Bear CBBC Oct 2014 A	50,000,000	2014/03/05	2014/10/13	12.50
65162	UBS AG - A50 China R Bear CBBC Oct 2014 A	50,000,000	2014/03/05	2014/10/20	12.50
65211	UBS AG - Geely Auto R Bull CBBC Jun 2015 A	50,000,000	2014/03/05	2015/06/15	12.50
65222	UBS AG - Galaxy Ent R Bull CBBC Nov 2014 A	100,000,000	2014/03/05	2014/11/17	25.00
65136	UBS AG - HSCEI R Bear CBBC Jun 2014 A	100,000,000	2014/03/05	2014/06/27	25.00
65320	UBS AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/03/05	2014/12/30	75.00
65125	UBS AG - HSI R Bear CBBC Jul 2014 N	350,000,000	2014/03/05	2014/07/30	87.50
65186	UBS AG - ICBC R Bear CBBC Sep 2014 A	50,000,000	2014/03/05	2014/09/15	12.50
65175	UBS AG - Sands China R Bull CBBC Nov 2014 A	100,000,000	2014/03/05	2014/11/03	25.00

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65459	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/03/06	2014/12/30	75.00
65460	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/03/06	2014/12/30	75.00
65462	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/03/06	2014/12/30	75.00
65464	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/03/06	2014/12/30	75.00
65469	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/03/06	2014/12/30	75.00
65513	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/03/06	2014/12/30	75.00
65523	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/03/06	2014/12/30	75.00
65467	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/03/06	2014/08/28	75.00
65470	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/03/06	2014/08/28	75.00
65489	Credit Suisse AG - HSI R Bull CBBC Nov 2014 C	300,000,000	2014/03/06	2014/11/27	75.00
65471	Credit Suisse AG - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/03/06	2014/11/27	75.00
65473	Credit Suisse AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/03/06	2014/11/27	75.00
65478	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/03/06	2014/11/27	75.00
65442	Credit Suisse AG - HSI R Bear CBBC Jun 2014 N	300,000,000	2014/03/06	2014/06/27	75.00
65444	Credit Suisse AG - HSI R Bear CBBC Jun 2014 O	300,000,000	2014/03/06	2014/06/27	75.00
65347	Credit Suisse AG - HSI R Bear CBBC Jul 2014 T	300,000,000	2014/03/06	2014/07/30	75.00
65351	Credit Suisse AG - HSI R Bear CBBC Jul 2014 U	300,000,000	2014/03/06	2014/07/30	75.00
65496	Credit Suisse AG - HSI R Bear CBBC Jul 2014 V	300,000,000	2014/03/06	2014/07/30	75.00
65497	Credit Suisse AG - HSI R Bear CBBC Jul 2014 W	300,000,000	2014/03/06	2014/07/30	75.00
65502	Credit Suisse AG - HSI R Bear CBBC Jul 2014 X	300,000,000	2014/03/06	2014/07/30	75.00
65509	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Y	300,000,000	2014/03/06	2014/07/30	75.00
65594	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Dec14 E	60,000,000	2014/03/06	2014/12/12	15.00
65595	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 K	50,000,000	2014/03/06	2014/12/12	26.50
65603	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 L	50,000,000	2014/03/06	2014/12/12	21.50
65604	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 M	50,000,000	2014/03/06	2014/12/12	16.50
65391	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 Q	150,000,000	2014/03/06	2014/07/30	39.45
65392	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 R	150,000,000	2014/03/06	2014/07/30	38.10
65609	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 S	150,000,000	2014/03/06	2014/07/30	38.10
65610	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 T	150,000,000	2014/03/06	2014/07/30	39.15
65612	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 U	150,000,000	2014/03/06	2014/07/30	40.35
65343	HK Bank - China Shenhua R Bull CBBC Dec 2015 A	40,000,000	2014/03/06	2015/12/21	10.00
65344	HK Bank - ICBC R Bull CBBC Dec 2014 B	80,000,000	2014/03/06	2014/12/08	20.00
65330	HK Bank - PetCh R Bull CBBC Nov 2014 C	60,000,000	2014/03/06	2014/11/10	15.00
65327	HK Bank - SHK Ppt R Bull CBBC Jan 2015 A	60,000,000	2014/03/06	2015/01/12	15.00
65334	HK Bank - SHK Ppt R Bull CBBC Jan 2015 B	60,000,000	2014/03/06	2015/01/26	15.00
65666	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/03/06	2014/10/30	75.00
65382	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 F	300,000,000	2014/03/06	2014/11/27	75.00
65645	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 H	300,000,000	2014/03/06	2014/11/27	75.00
65655	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 W	300,000,000	2014/03/06	2014/11/27	75.00
65639	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/03/06	2014/12/30	75.00
65638	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 C	300,000,000	2014/03/06	2014/06/27	75.00
65388	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/03/06	2014/07/30	75.00
65390	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/03/06	2014/07/30	75.00
65617	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/03/06	2014/07/30	75.00
65623	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 R	300,000,000	2014/03/06	2014/07/30	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65393	Standard Chartered Bank - A50 China R Bull CBBC Sep 2014 A	50,000,000	2014/03/06	2014/09/08	12.50
65396	Standard Chartered Bank - A50 China R Bear CBBC Sep 2014 A	50,000,000	2014/03/06	2014/09/08	12.50
65457	Standard Chartered Bank - HSI R Bull CBBC Jan 2015 B	100,000,000	2014/03/06	2015/01/29	25.00
65458	Standard Chartered Bank - HSI R Bull CBBC Jan 2015 C	100,000,000	2014/03/06	2015/01/29	25.00
65727	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 E	100,000,000	2014/03/06	2014/09/29	25.00
65402	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 B	100,000,000	2014/03/06	2014/11/27	25.00
65404	Standard Chartered Bank - Ping An R Bull CBBC Nov 2014 B	50,000,000	2014/03/06	2014/11/13	12.50
65425	Standard Chartered Bank - Ping An R Bear CBBC Jul 2014 B	50,000,000	2014/03/06	2014/07/23	12.50
65676	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 B	50,000,000	2014/03/06	2014/11/13	38.00
65684	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 C	50,000,000	2014/03/06	2014/11/24	28.00
65686	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 E	50,000,000	2014/03/06	2014/10/13	40.50
65715	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 F	50,000,000	2014/03/06	2014/10/23	52.50
65430	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Q	200,000,000	2014/03/06	2014/12/30	50.00
65446	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 R	200,000,000	2014/03/06	2014/06/27	50.00
65590	UBS AG - Sinopec Corp R Bull CBBC Oct 2014 B	100,000,000	2014/03/06	2014/10/20	25.00
65587	UBS AG - Sinopec Corp R Bear CBBC Aug 2014 A	100,000,000	2014/03/06	2014/08/18	25.00
65525	UBS AG - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/03/06	2014/11/27	75.00
65526	UBS AG - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/03/06	2014/12/30	75.00
65527	UBS AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/03/06	2014/12/30	75.00
65447	UBS AG - HSI R Bear CBBC Jun 2014 K	300,000,000	2014/03/06	2014/06/27	75.00
65454	UBS AG - HSI R Bear CBBC Jun 2014 M	300,000,000	2014/03/06	2014/06/27	75.00
65345	UBS AG - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/03/06	2014/07/30	75.00
65346	UBS AG - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/03/06	2014/07/30	75.00
65455	UBS AG - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/03/06	2014/07/30	75.00
65540	UBS AG - Sands China R Bull CBBC Aug 2014 F	50,000,000	2014/03/06	2014/08/18	12.50
65572	UBS AG - TCH R Bull CBBC Jun 2014 P	40,000,000	2014/03/06	2014/06/06	20.80
65574	UBS AG - TCH R Bull CBBC Jun 2014 Q	40,000,000	2014/03/06	2014/06/25	10.00
65564	UBS AG - TCH R Bear CBBC Jun 2014 H	40,000,000	2014/03/06	2014/06/06	68.00
65554	UBS AG - TCH R Bear CBBC Jul 2014 C	40,000,000	2014/03/06	2014/07/15	84.00
65828	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/03/07	2014/08/28	75.00
65893	Credit Suisse AG - Galaxy Ent R Bull CBBC Oct 2014 D	80,000,000	2014/03/07	2014/10/31	20.00
65894	Credit Suisse AG - Galaxy Ent R Bear CBBC Aug 2014 A	80,000,000	2014/03/07	2014/08/29	20.00
65895	Credit Suisse AG - Galaxy Ent R Bear CBBC Aug 2014 B	80,000,000	2014/03/07	2014/08/29	20.00
65975	Credit Suisse AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/03/07	2014/10/30	75.00
65976	Credit Suisse AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2014/03/07	2014/10/30	75.00
66069	Credit Suisse AG - HSI R Bull CBBC Oct 2014 R	300,000,000	2014/03/07	2014/10/30	75.00
65909	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/03/07	2014/12/30	75.00
65929	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/03/07	2014/12/30	75.00
65945	Credit Suisse AG - HSI R Bear CBBC Jun 2014 P	300,000,000	2014/03/07	2014/06/27	75.00
65953	Credit Suisse AG - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/03/07	2014/06/27	75.00
65955	Credit Suisse AG - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/03/07	2014/06/27	75.00
65959	Credit Suisse AG - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/03/07	2014/06/27	75.00
66058	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/03/07	2014/07/30	75.00
66060	Credit Suisse AG - HSI R Bear CBBC Jul 2014 R	300,000,000	2014/03/07	2014/07/30	75.00
66066	Credit Suisse AG - HSI R Bear CBBC Jul 2014 S	300,000,000	2014/03/07	2014/07/30	75.00

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66087	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 N	50,000,000	2014/03/07	2014/12/12	14.00
66108	Bank of East Asia - Galaxy Ent R Bull CBBC May 2015 A	200,000,000	2014/03/07	2015/05/05	50.00
66089	Bank of East Asia - Hutchison R Bull CBBC Jan 2015 A	200,000,000	2014/03/07	2015/01/22	50.00
66012	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 G	150,000,000	2014/03/07	2014/07/30	40.05
66013	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 H	150,000,000	2014/03/07	2014/07/30	38.55
66014	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 I	150,000,000	2014/03/07	2014/07/30	38.10
65822	HK Bank - Sinopec Corp R Bull CBBC Oct 2014 D	80,000,000	2014/03/07	2014/10/27	20.00
65794	HK Bank - Galaxy Ent R Bull CBBC Nov 2014 B	80,000,000	2014/03/07	2014/11/03	20.00
65740	HK Bank - HSI R Bull CBBC Sep 2014 R	100,000,000	2014/03/07	2014/09/29	25.00
65737	HK Bank - HSI R Bull CBBC Oct 2014 O	100,000,000	2014/03/07	2014/10/30	25.00
65758	HK Bank - HSI R Bull CBBC Nov 2014 H	400,000,000	2014/03/07	2014/11/27	100.00
65781	HK Bank - HSI R Bull CBBC Nov 2014 I	100,000,000	2014/03/07	2014/11/27	25.00
65757	HK Bank - HSI R Bear CBBC Oct 2014 K	100,000,000	2014/03/07	2014/10/30	25.00
65820	HK Bank - Sands China R Bull CBBC Oct 2014 C	60,000,000	2014/03/07	2014/10/27	15.00
65760	HK Bank - TCH R Bull CBBC Oct 2014 M	50,000,000	2014/03/07	2014/10/30	16.00
65771	HK Bank - TCH R Bull CBBC Oct 2014 N	50,000,000	2014/03/07	2014/10/13	12.50
65759	HK Bank - TCH R Bull CBBC Nov 2014 H	50,000,000	2014/03/07	2014/11/07	22.00
65774	HK Bank - TCH R Bear CBBC Sep 2014 N	50,000,000	2014/03/07	2014/09/29	27.50
65784	HK Bank - TCH R Bear CBBC Oct 2014 K	50,000,000	2014/03/07	2014/10/13	44.00
65772	HK Bank - TCH R Bear CBBC Nov 2014 E	50,000,000	2014/03/07	2014/11/17	63.00
65981	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 G	300,000,000	2014/03/07	2014/11/27	75.00
65977	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/03/07	2014/12/30	75.00
65978	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/03/07	2014/12/30	75.00
65984	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 S	300,000,000	2014/03/07	2014/07/30	75.00
65995	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 T	300,000,000	2014/03/07	2014/07/30	75.00
65827	Macquarie Bank Ltd. - HSI R Bull CBBC Aug 2014 A	100,000,000	2014/03/07	2014/08/28	25.00
66052	Standard Chartered Bank - China Life R Bull CBBC Dec 2014 A	100,000,000	2014/03/07	2014/12/04	25.00
65887	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 V	100,000,000	2014/03/07	2014/08/28	25.00
65829	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 P	200,000,000	2014/03/07	2014/10/30	50.00
65831	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 R	200,000,000	2014/03/07	2014/12/30	50.00
65848	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 S	200,000,000	2014/03/07	2014/06/27	50.00
66009	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 D	100,000,000	2014/03/07	2014/10/27	25.00
66010	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 E	100,000,000	2014/03/07	2014/10/06	25.00
65881	UBS AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/03/07	2014/10/30	75.00
65858	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/03/07	2014/11/27	75.00
66073	UBS AG - HSI R Bull CBBC Nov 2014 K	300,000,000	2014/03/07	2014/11/27	75.00
66022	UBS AG - HSI R Bear CBBC Jun 2014 N	300,000,000	2014/03/07	2014/06/27	75.00
66023	UBS AG - HSI R Bear CBBC Jun 2014 P	300,000,000	2014/03/07	2014/06/27	75.00
65998	UBS AG - Ping An R Bear CBBC Jul 2014 B	100,000,000	2014/03/07	2014/07/14	25.00
66083	UBS AG - TCH R Bull CBBC Sep 2014 C	40,000,000	2014/03/07	2014/09/08	10.00
66086	UBS AG - TCH R Bull CBBC Oct 2014 B	40,000,000	2014/03/07	2014/10/13	15.20
66075	UBS AG - TCH R Bear CBBC Jul 2014 D	40,000,000	2014/03/07	2014/07/21	55.20
66074	UBS AG - TCH R Bear CBBC Oct 2014 E	40,000,000	2014/03/07	2014/10/13	10.00
66233	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 O	300,000,000	2014/03/10	2014/08/28	75.00
66120	Credit Suisse AG - HSI R Bull CBBC Sep 2014 F	300,000,000	2014/03/10	2014/09/29	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66121	Credit Suisse AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2014/03/10	2014/09/29	75.00
66122	Credit Suisse AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2014/03/10	2014/09/29	75.00
66227	Credit Suisse AG - HSI R Bear CBBC Jun 2014 E	300,000,000	2014/03/10	2014/06/27	75.00
66209	Credit Suisse AG - HSI R Bear CBBC Jun 2014 T	300,000,000	2014/03/10	2014/06/27	75.00
66215	Credit Suisse AG - HSI R Bear CBBC Jun 2014 U	300,000,000	2014/03/10	2014/06/27	75.00
66176	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 J	150,000,000	2014/03/10	2014/07/30	39.75
66229	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 K	150,000,000	2014/03/10	2014/07/30	39.90
66230	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 L	150,000,000	2014/03/10	2014/07/30	43.95
66128	HK Bank - HSI R Bull CBBC Oct 2014 P	100,000,000	2014/03/10	2014/10/30	25.00
66123	HK Bank - HSI R Bull CBBC Nov 2014 J	100,000,000	2014/03/10	2014/11/27	25.00
66124	HK Bank - HSI R Bear CBBC Oct 2014 L	100,000,000	2014/03/10	2014/10/30	25.00
66147	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/03/10	2014/10/30	75.00
66150	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/03/10	2014/11/27	75.00
66153	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/03/10	2014/12/30	75.00
66168	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 D	300,000,000	2014/03/10	2014/06/27	75.00
66175	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 E	300,000,000	2014/03/10	2014/06/27	75.00
66183	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 F	100,000,000	2014/03/10	2014/09/29	25.00
66112	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 Q	200,000,000	2014/03/10	2014/10/30	50.00
66260	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 R	200,000,000	2014/03/10	2014/10/30	50.00
66109	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 T	200,000,000	2014/03/10	2014/06/27	50.00
66256	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 U	200,000,000	2014/03/10	2014/06/27	50.00
66143	UBS AG - China Mobile R Bear CBBC Aug 2014 D	100,000,000	2014/03/10	2014/08/11	25.00
66144	UBS AG - CC Bank R Bull CBBC Nov 2014 A	100,000,000	2014/03/10	2014/11/10	25.00
66132	UBS AG - China Life R Bear CBBC Oct 2014 A	50,000,000	2014/03/10	2014/10/06	17.75
66130	UBS AG - A50 China R Bull CBBC Mar 2015 A	100,000,000	2014/03/10	2015/03/09	25.00
66119	UBS AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/03/10	2014/10/30	75.00
66115	UBS AG - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/03/10	2014/12/30	75.00
66200	UBS AG - HSI R Bull CBBC Mar 2015 A	300,000,000	2014/03/10	2015/03/30	75.00
66186	UBS AG - HSI R Bull CBBC May 2015 A	300,000,000	2014/03/10	2015/05/28	75.00
66234	UBS AG - HSI R Bear CBBC Jun 2014 T	300,000,000	2014/03/10	2014/06/27	75.00
66235	UBS AG - HSI R Bear CBBC Jun 2014 U	300,000,000	2014/03/10	2014/06/27	75.00
66236	UBS AG - HSI R Bear CBBC Jul 2014 R	350,000,000	2014/03/10	2014/07/30	87.50
66146	UBS AG - Hutchison R Bull CBBC Oct 2014 E	100,000,000	2014/03/10	2014/10/20	25.00
66139	UBS AG - Ping An R Bear CBBC Aug 2014 C	100,000,000	2014/03/10	2014/08/18	25.00
66142	UBS AG - Sands China R Bear CBBC Oct 2014 B	100,000,000	2014/03/10	2014/10/13	25.00
66145	UBS AG - TCH R Bull CBBC Nov 2014 A	40,000,000	2014/03/10	2014/11/10	15.40
66338	Credit Suisse AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/03/11	2014/10/30	75.00
66337	Credit Suisse AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/03/11	2014/10/30	75.00
66312	Credit Suisse AG - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/03/11	2014/07/30	75.00
66311	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/03/11	2014/07/30	75.00
66355	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Dec 2014 B	50,000,000	2014/03/11	2014/12/08	12.50
66356	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Dec 2014 A	80,000,000	2014/03/11	2014/12/08	20.00
66353	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Dec14C	60,000,000	2014/03/11	2014/12/08	15.00
66298	HK Bank - China Mobile R Bull CBBC Nov 2014 C	120,000,000	2014/03/11	2014/11/10	30.00
66302	HK Bank - Geely Auto R Bull CBBC Apr 2015 A	40,000,000	2014/03/11	2015/04/27	10.00

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66276	HK Bank - HSI R Bear CBBC Sep 2014 Q	100,000,000	2014/03/11	2014/09/29	25.00
66275	HK Bank - HSI R Bear CBBC Oct 2014 M	100,000,000	2014/03/11	2014/10/30	25.00
66273	HK Bank - HSI R Bear CBBC Nov 2014 D	100,000,000	2014/03/11	2014/11/27	25.00
66270	HK Bank - Hutchison R Bull CBBC Oct 2014 F	60,000,000	2014/03/11	2014/10/17	15.00
66266	HK Bank - TCH R Bear CBBC Oct 2014 L	50,000,000	2014/03/11	2014/10/20	20.00
66268	HK Bank - TCH R Bear CBBC Oct 2014 M	50,000,000	2014/03/11	2014/10/15	15.00
66329	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 U	300,000,000	2014/03/11	2014/07/30	75.00
66330	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 V	300,000,000	2014/03/11	2014/07/30	75.00
66335	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 A	100,000,000	2014/03/11	2015/02/26	25.00
66332	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 S	200,000,000	2014/03/11	2014/10/30	50.00
66334	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 V	200,000,000	2014/03/11	2014/06/27	50.00
66339	UBS AG - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/03/11	2014/12/30	75.00
66344	UBS AG - HSI R Bull CBBC Jan 2015 A	300,000,000	2014/03/11	2015/01/29	75.00
66310	UBS AG - HSI R Bear CBBC Jun 2014 V	300,000,000	2014/03/11	2014/06/27	75.00
66336	UBS AG - HSI R Bear CBBC Jun 2014 W	300,000,000	2014/03/11	2014/06/27	75.00
66408	Credit Suisse AG - HSI R Bull CBBC Aug 2014 U	300,000,000	2014/03/12	2014/08/28	75.00
66410	Credit Suisse AG - HSI R Bull CBBC Aug 2014 W	300,000,000	2014/03/12	2014/08/28	75.00
66425	Credit Suisse AG - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/03/12	2014/06/27	75.00
66420	Credit Suisse AG - HSI R Bear CBBC Jun 2014 V	300,000,000	2014/03/12	2014/06/27	75.00
66423	Credit Suisse AG - HSI R Bear CBBC Jun 2014 W	300,000,000	2014/03/12	2014/06/27	75.00
66456	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Dec14 F	60,000,000	2014/03/12	2014/12/23	15.00
66457	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Dec 14 A	60,000,000	2014/03/12	2014/12/23	15.00
66458	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Dec 14 B	60,000,000	2014/03/12	2014/12/23	15.00
66460	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Dec 14 C	60,000,000	2014/03/12	2014/12/23	15.00
66462	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Dec 14 D	60,000,000	2014/03/12	2014/12/23	15.00
66463	Citigroup Global Mkt H Inc. - Hutchison R Bear CBBC Dec 14 A	60,000,000	2014/03/12	2014/12/23	15.00
66465	Citigroup Global Mkt H Inc. - Hutchison R Bear CBBC Dec 14 B	60,000,000	2014/03/12	2014/12/23	15.00
66466	Citigroup Global Mkt H Inc. - Hutchison R Bear CBBC Dec 14 C	60,000,000	2014/03/12	2014/12/23	15.00
66451	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Dec14B	60,000,000	2014/03/12	2014/12/23	15.00
66453	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Dec 2014 O	50,000,000	2014/03/12	2014/12/23	12.50
66437	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/03/12	2014/10/30	75.00
66433	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/03/12	2014/12/30	75.00
66448	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/03/12	2014/06/27	75.00
66438	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 W	300,000,000	2014/03/12	2014/07/30	75.00
66377	Standard Chartered Bank - Sinopec Corp R Bull CBBC Dec 2014A	100,000,000	2014/03/12	2014/12/09	25.00
66379	Standard Chartered Bank - Sinopec Corp R Bear CBBC Jul 2014A	100,000,000	2014/03/12	2014/07/04	17.10
66380	Standard Chartered Bank - Hutchison R Bull CBBC Oct 2014 A	50,000,000	2014/03/12	2014/10/03	12.50
66381	Standard Chartered Bank - Hutchison R Bear CBBC Nov 2014 A	50,000,000	2014/03/12	2014/11/04	12.50
66374	Standard Chartered Bank - PetCh R Bull CBBC Aug 2014 A	50,000,000	2014/03/12	2014/08/25	12.50
66376	Standard Chartered Bank - PetCh R Bear CBBC Sep 2014 A	50,000,000	2014/03/12	2014/09/26	12.50
66383	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 T	200,000,000	2014/03/12	2014/10/30	50.00
66384	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 W	200,000,000	2014/03/12	2014/06/27	50.00
66385	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 X	200,000,000	2014/03/12	2014/06/27	50.00
66386	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 A	200,000,000	2014/03/12	2014/07/30	50.00
66397	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 B	200,000,000	2014/03/12	2014/07/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66402	UBS AG - Geely Auto R Bull CBBC Jun 2015 B	50,000,000	2014/03/12	2015/06/08	12.50
66399	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/03/12	2014/11/27	75.00
66369	UBS AG - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/03/12	2014/06/27	75.00
66424	UBS AG - HSI R Bear CBBC Jun 2014 X	300,000,000	2014/03/12	2014/06/27	75.00
66407	UBS AG - Hutchison R Bull CBBC Nov 2014 B	100,000,000	2014/03/12	2014/11/03	25.00
66403	UBS AG - Hutchison R Bear CBBC Aug 2014 B	100,000,000	2014/03/12	2014/08/18	25.00
66597	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/03/13	2014/12/30	75.00
66611	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/03/13	2014/12/30	75.00
66496	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 P	300,000,000	2014/03/13	2014/08/28	75.00
66559	Credit Suisse AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2014/03/13	2014/11/27	75.00
66560	Credit Suisse AG - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/03/13	2014/11/27	75.00
66504	Credit Suisse AG - HSI R Bear CBBC Aug 2014 X	300,000,000	2014/03/13	2014/08/28	75.00
66506	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Y	300,000,000	2014/03/13	2014/08/28	75.00
66567	Citigroup Global Mkt H Inc. - BOCL R Bull CBBC Feb 2015 A	60,000,000	2014/03/13	2015/02/09	15.00
66571	Citigroup Global Mkt H Inc. - BOCL R Bull CBBC Feb 2015 B	60,000,000	2014/03/13	2015/02/09	15.00
66572	Citigroup Global Mkt H Inc. - BOCL R Bear CBBC Feb 2015 A	60,000,000	2014/03/13	2015/02/09	15.00
66573	Citigroup Global Mkt H Inc. - BOCL R Bear CBBC Feb 2015 B	60,000,000	2014/03/13	2015/02/09	15.00
66581	Citigroup Global Mkt H Inc. - Huishan Dairy R Bull CBBC Mar15A	60,000,000	2014/03/13	2015/03/09	15.00
66583	Citigroup Global Mkt H Inc. - Huishan Dairy R Bull CBBC Mar15B	60,000,000	2014/03/13	2015/03/09	15.00
66584	Citigroup Global Mkt H Inc. - Huishan Dairy R Bull CBBC Mar15C	60,000,000	2014/03/13	2015/03/09	15.00
66574	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 A	50,000,000	2014/03/13	2015/01/26	12.50
66507	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 V	150,000,000	2014/03/13	2014/07/30	38.55
66480	HK Bank - COVS R Bear CBBC Dec 2014 A	40,000,000	2014/03/13	2014/12/08	12.00
66479	HK Bank - Cheung Kong R Bull CBBC Dec 2014 A	60,000,000	2014/03/13	2014/12/08	15.00
66486	HK Bank - CM Bank R Bull CBBC Dec 2014 A	40,000,000	2014/03/13	2014/12/22	10.80
66467	HK Bank - China Shenhua R Bear CBBC Oct 2014 A	40,000,000	2014/03/13	2014/10/16	19.60
66488	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 G	80,000,000	2014/03/13	2014/10/27	20.00
66490	HK Bank - Ping An R Bull CBBC Dec 2014 A	60,000,000	2014/03/13	2014/12/08	15.00
66495	HK Bank - Ping An R Bear CBBC Oct 2014 A	40,000,000	2014/03/13	2014/10/27	10.00
66489	HK Bank - Sands China R Bull CBBC Oct 2014 D	60,000,000	2014/03/13	2014/10/20	15.00
66487	HK Bank - TCH R Bull CBBC Oct 2014 O	50,000,000	2014/03/13	2014/10/23	12.50
66586	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 W	300,000,000	2014/03/13	2014/10/30	75.00
66542	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 G	300,000,000	2014/03/13	2014/06/27	75.00
66590	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 H	300,000,000	2014/03/13	2014/06/27	75.00
66589	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 X	300,000,000	2014/03/13	2014/07/30	75.00
66543	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 U	200,000,000	2014/03/13	2014/10/30	50.00
66548	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 Y	200,000,000	2014/03/13	2014/06/27	50.00
66549	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 Z	200,000,000	2014/03/13	2014/06/27	50.00
66551	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 C	200,000,000	2014/03/13	2014/07/30	50.00
66555	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 D	200,000,000	2014/03/13	2014/07/30	50.00
66518	UBS AG - A50 China R Bear CBBC Nov 2014 A	100,000,000	2014/03/13	2014/11/03	25.00
66526	UBS AG - Great Wall Motor R Bull CBBC Jul 2015 A	50,000,000	2014/03/13	2015/07/06	12.50
66514	UBS AG - HSCEI R Bear CBBC Jun 2014 B	100,000,000	2014/03/13	2014/06/27	25.00
66564	UBS AG - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/03/13	2014/12/30	75.00
66497	UBS AG - HSI R Bear CBBC Jul 2014 S	300,000,000	2014/03/13	2014/07/30	75.00

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66498	UBS AG - HSI R Bear CBBC Jul 2014 T	350,000,000	2014/03/13	2014/07/30	87.50
66515	UBS AG - Huishan Dairy R Bull CBBC Dec 2015 A	50,000,000	2014/03/13	2015/12/21	12.50
66530	UBS AG - Petrochina R Bear CBBC Aug 2014 A	50,000,000	2014/03/13	2014/08/18	12.50
66528	UBS AG - Sands China R Bull CBBC Sep 2014 B	100,000,000	2014/03/13	2014/09/22	25.00
66538	UBS AG - TCH R Bull CBBC Nov 2014 B	40,000,000	2014/03/13	2014/11/03	32.00
66539	UBS AG - TCH R Bull CBBC Dec 2014 A	40,000,000	2014/03/13	2014/12/15	10.00
66535	UBS AG - TCH R Bear CBBC Sep 2014 G	40,000,000	2014/03/13	2014/09/22	38.40
66537	UBS AG - TCH R Bear CBBC Oct 2014 F	40,000,000	2014/03/13	2014/10/06	46.40
66653	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/03/14	2014/08/28	75.00
66656	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2014 D	100,000,000	2014/03/14	2014/12/30	48.00
66684	Credit Suisse AG - HSI R Bull CBBC Nov 2014 H	300,000,000	2014/03/14	2014/11/27	75.00
66696	Credit Suisse AG - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/03/14	2014/06/27	75.00
66698	Credit Suisse AG - HSI R Bear CBBC Jun 2014 X	300,000,000	2014/03/14	2014/06/27	75.00
66722	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Mar15A	60,000,000	2014/03/14	2015/03/09	15.00
66723	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Mar15B	60,000,000	2014/03/14	2015/03/09	15.00
66733	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Mar15A	60,000,000	2014/03/14	2015/03/09	15.00
66734	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Mar15B	60,000,000	2014/03/14	2015/03/09	15.00
66680	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 M	150,000,000	2014/03/14	2014/07/30	43.20
66637	HK Bank - China Mobile R Bear CBBC Nov 2014 B	40,000,000	2014/03/14	2014/11/10	10.00
66634	HK Bank - Sinopec Corp R Bear CBBC Oct 2014 B	40,000,000	2014/03/14	2014/10/20	10.00
66638	HK Bank - HSCEI R Bear CBBC Nov 2014 B	68,000,000	2014/03/14	2014/11/27	17.00
66630	HK Bank - TCH R Bull CBBC Nov 2014 I	50,000,000	2014/03/14	2014/11/14	12.50
66631	HK Bank - TCH R Bear CBBC Nov 2014 F	50,000,000	2014/03/14	2014/11/26	65.00
66633	HK Bank - TCH R Bear CBBC Dec 2014 B	50,000,000	2014/03/14	2014/12/01	75.00
66739	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 A	300,000,000	2014/03/14	2014/10/30	75.00
66735	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Y	300,000,000	2014/03/14	2014/11/27	75.00
66744	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 Y	300,000,000	2014/03/14	2014/07/30	75.00
66669	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 B	100,000,000	2014/03/14	2015/02/26	25.00
66683	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 A	200,000,000	2014/03/14	2014/06/27	50.00
66673	UBS AG - BOCL R Bear CBBC Nov 2014 A	50,000,000	2014/03/14	2014/11/17	12.50
66678	UBS AG - China Shenhua R Bear CBBC Apr 2015 A	50,000,000	2014/03/14	2015/04/20	12.50
66666	UBS AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/03/14	2014/09/29	75.00
66661	UBS AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2014/03/14	2014/10/30	75.00
66712	UBS AG - HSI R Bear CBBC Jul 2014 U	300,000,000	2014/03/14	2014/07/30	75.00
66721	UBS AG - HSI R Bear CBBC Jul 2014 V	350,000,000	2014/03/14	2014/07/30	87.50
66679	UBS AG - TCH R Bear CBBC Oct 2014 G	40,000,000	2014/03/14	2014/10/06	11.20
66809	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/03/17	2014/12/30	75.00
66811	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/03/17	2014/12/30	75.00
67156	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/03/17	2014/08/28	75.00
67160	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 S	300,000,000	2014/03/17	2014/08/28	75.00
67172	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 T	300,000,000	2014/03/17	2014/08/28	75.00
67175	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 U	300,000,000	2014/03/17	2014/08/28	75.00
67177	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 V	300,000,000	2014/03/17	2014/08/28	75.00
66910	Credit Suisse AG - HSI R Bull CBBC Sep 2014 A	300,000,000	2014/03/17	2014/09/29	75.00
67049	Credit Suisse AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2014/03/17	2014/09/29	75.00

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66928	Credit Suisse AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/03/17	2014/09/29	75.00
66933	Credit Suisse AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2014/03/17	2014/09/29	75.00
66937	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Y	300,000,000	2014/03/17	2014/09/29	75.00
67108	Credit Suisse AG - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/03/17	2014/06/27	75.00
67124	Credit Suisse AG - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/03/17	2014/06/27	75.00
67134	Credit Suisse AG - HSI R Bear CBBC Jun 2014 M	300,000,000	2014/03/17	2014/06/27	75.00
67064	Credit Suisse AG - HSI R Bear CBBC Jun 2014 Y	300,000,000	2014/03/17	2014/06/27	75.00
67079	Credit Suisse AG - HSI R Bear CBBC Jun 2014 Z	300,000,000	2014/03/17	2014/06/27	75.00
67258	Citigroup Global Mkt H Inc. - Minsheng Bank R Bull CBBC Mar15A	40,000,000	2014/03/17	2015/03/16	10.00
67259	Citigroup Global Mkt H Inc. - Minsheng Bank R Bull CBBC Mar15B	40,000,000	2014/03/17	2015/03/16	10.00
67260	Citigroup Global Mkt H Inc. - Minsheng Bank R Bear CBBC Mar15A	40,000,000	2014/03/17	2015/03/16	10.00
67275	Citigroup Global Mkt H Inc. - Minsheng Bank R Bear CBBC Mar15B	40,000,000	2014/03/17	2015/03/16	10.00
67088	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 W	150,000,000	2014/03/17	2014/07/30	38.55
67091	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 N	150,000,000	2014/03/17	2014/07/30	38.85
67098	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 O	150,000,000	2014/03/17	2014/07/30	39.75
67106	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 P	150,000,000	2014/03/17	2014/07/30	39.90
67155	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 Q	150,000,000	2014/03/17	2014/07/30	39.30
66799	HK Bank - CC Bank R Bull CBBC Dec 2014 A	80,000,000	2014/03/17	2014/12/22	20.00
66746	HK Bank - CC Bank R Bear CBBC Dec 2014 A	40,000,000	2014/03/17	2014/12/22	10.00
66797	HK Bank - CNOOC R Bear CBBC Dec 2014 B	40,000,000	2014/03/17	2014/12/08	10.00
66782	HK Bank - Geely Auto R Bull CBBC Jun 2015 A	40,000,000	2014/03/17	2015/06/29	10.00
66795	HK Bank - Great Wall Motor R Bull CBBC Dec 2014 A	40,000,000	2014/03/17	2014/12/15	10.00
66756	HK Bank - TCH R Bull CBBC Jun 2015 A	50,000,000	2014/03/17	2015/06/29	72.50
66750	HK Bank - TCH R Bull CBBC Jul 2015 A	50,000,000	2014/03/17	2015/07/13	122.50
66751	HK Bank - TCH R Bull CBBC Jul 2015 B	50,000,000	2014/03/17	2015/07/06	97.50
66748	HK Bank - TCH R Bear CBBC Nov 2014 G	50,000,000	2014/03/17	2014/11/17	42.50
66870	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/03/17	2014/10/30	75.00
66890	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/03/17	2014/11/27	75.00
66891	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/03/17	2014/12/30	75.00
67250	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/03/17	2014/12/30	75.00
67019	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/03/17	2014/06/27	75.00
67255	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 A	300,000,000	2014/03/17	2014/07/30	75.00
67017	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/03/17	2014/07/30	75.00
67086	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 A	100,000,000	2014/03/17	2014/08/28	25.00
66909	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 C	100,000,000	2014/03/17	2015/02/26	25.00
67107	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 G	100,000,000	2014/03/17	2014/09/29	25.00
66938	Standard Chartered Bank - Hutchison R Bear CBBC Dec 2014 A	50,000,000	2014/03/17	2014/12/03	12.50
66946	Standard Chartered Bank - Ping An R Bull CBBC Nov 2014 C	50,000,000	2014/03/17	2014/11/18	12.50
66949	Standard Chartered Bank - Ping An R Bear CBBC Jul 2014 C	50,000,000	2014/03/17	2014/07/18	12.50
66950	Standard Chartered Bank - Sands China R Bull CBBC Nov 2014 B	50,000,000	2014/03/17	2014/11/24	12.50
66959	Standard Chartered Bank - Sands China R Bear CBBC Aug 2014 B	50,000,000	2014/03/17	2014/08/19	12.50
66942	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 D	50,000,000	2014/03/17	2014/11/25	25.00
66945	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 G	50,000,000	2014/03/17	2014/10/24	56.00
66828	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 V	200,000,000	2014/03/17	2014/10/30	50.00
66829	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 W	200,000,000	2014/03/17	2014/10/30	50.00

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67037	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 X	200,000,000	2014/03/17	2014/10/30	50.00
67042	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 B	200,000,000	2014/03/17	2014/06/27	50.00
67045	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 C	200,000,000	2014/03/17	2014/06/27	50.00
66966	UBS AG - BOCL R Bull CBBC Jul 2015 A	100,000,000	2014/03/17	2015/07/20	25.00
66983	UBS AG - China Mobile R Bear CBBC Sep 2014 A	100,000,000	2014/03/17	2014/09/01	25.00
67003	UBS AG - Cheung Kong R Bear CBBC Oct 2014 A	100,000,000	2014/03/17	2014/10/20	25.00
67002	UBS AG - Sinopec Corp R Bull CBBC Oct 2014 C	50,000,000	2014/03/17	2014/10/13	12.50
66977	UBS AG - A50 China R Bull CBBC Jan 2015 C	100,000,000	2014/03/17	2015/01/19	25.00
66961	UBS AG - HSCEI R Bear CBBC Jun 2014 C	100,000,000	2014/03/17	2014/06/27	25.00
67013	UBS AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/03/17	2014/11/27	75.00
67015	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/03/17	2014/11/27	75.00
67014	UBS AG - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/03/17	2014/12/30	75.00
67154	UBS AG - HSI R Bear CBBC Jun 2014 F	300,000,000	2014/03/17	2014/06/27	75.00
67151	UBS AG - HSI R Bear CBBC Jun 2014 Y	300,000,000	2014/03/17	2014/06/27	75.00
66981	UBS AG - Ping An R Bear CBBC Nov 2014 A	100,000,000	2014/03/17	2014/11/17	25.00
66987	UBS AG - TCH R Bull CBBC Sep 2014 D	40,000,000	2014/03/17	2014/09/08	10.00
66999	UBS AG - TCH R Bear CBBC Sep 2014 H	40,000,000	2014/03/17	2014/09/15	11.00
67323	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/03/18	2014/12/30	75.00
67468	Credit Suisse AG - China Mobile R Bull CBBC Oct 2014 A	50,000,000	2014/03/18	2014/10/31	12.50
67480	Credit Suisse AG - China Mobile R Bull CBBC Oct 2014 B	50,000,000	2014/03/18	2014/10/31	12.50
67492	Credit Suisse AG - China Mobile R Bull CBBC Oct 2014 C	50,000,000	2014/03/18	2014/10/31	12.50
67464	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 D	50,000,000	2014/03/18	2014/12/30	12.50
67465	Credit Suisse AG - A50 China R Bear CBBC Sep 2014 A	50,000,000	2014/03/18	2014/09/30	12.50
67466	Credit Suisse AG - Galaxy Ent R Bear CBBC Jul 2014 A	50,000,000	2014/03/18	2014/07/31	12.50
67536	Credit Suisse AG - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/03/18	2014/10/30	75.00
67537	Credit Suisse AG - HSI R Bull CBBC Oct 2014 W	300,000,000	2014/03/18	2014/10/30	75.00
67336	Credit Suisse AG - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/03/18	2014/07/30	75.00
67335	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/03/18	2014/07/30	75.00
67501	Credit Suisse AG - Sands China R Bull CBBC Oct 2014 A	50,000,000	2014/03/18	2014/10/31	12.50
67511	Credit Suisse AG - Sands China R Bull CBBC Oct 2014 B	50,000,000	2014/03/18	2014/10/31	12.50
67514	Credit Suisse AG - Sands China R Bull CBBC Oct 2014 C	50,000,000	2014/03/18	2014/10/31	12.50
67532	Credit Suisse AG - Sands China R Bear CBBC Jul 2014 A	50,000,000	2014/03/18	2014/07/31	12.50
67535	Credit Suisse AG - Sands China R Bear CBBC Jul 2014 B	50,000,000	2014/03/18	2014/07/31	12.50
67493	Credit Suisse AG - TCH R Bull CBBC Oct 2014 A	50,000,000	2014/03/18	2014/10/31	18.50
67494	Credit Suisse AG - TCH R Bull CBBC Oct 2014 B	50,000,000	2014/03/18	2014/10/31	28.50
67496	Credit Suisse AG - TCH R Bull CBBC Oct 2014 C	50,000,000	2014/03/18	2014/10/31	38.50
67497	Credit Suisse AG - TCH R Bull CBBC Oct 2014 D	50,000,000	2014/03/18	2014/10/31	48.50
67498	Credit Suisse AG - TCH R Bear CBBC Jul 2014 A	50,000,000	2014/03/18	2014/07/31	23.00
67499	Credit Suisse AG - TCH R Bear CBBC Jul 2014 B	50,000,000	2014/03/18	2014/07/31	38.00
67317	HK Bank - CM Bank R Bull CBBC Jan 2015 A	40,000,000	2014/03/18	2015/01/26	13.20
67320	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 F	60,000,000	2014/03/18	2014/12/08	15.00
67316	HK Bank - HSCEI R Bull CBBC Oct 2014 D	100,000,000	2014/03/18	2014/10/30	25.00
67292	HK Bank - HSCEI R Bull CBBC Nov 2014 C	100,000,000	2014/03/18	2014/11/27	25.00
67310	HK Bank - HSCEI R Bull CBBC Dec 2014 A	100,000,000	2014/03/18	2014/12/30	25.00
67311	HK Bank - HSCEI R Bear CBBC Oct 2014 D	68,000,000	2014/03/18	2014/10/30	17.00

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67282	HK Bank - HSI R Bear CBBC Oct 2014 N	100,000,000	2014/03/18	2014/10/30	25.00
67281	HK Bank - HSI R Bear CBBC Nov 2014 E	100,000,000	2014/03/18	2014/11/27	25.00
67276	HK Bank - HSI R Bear CBBC Dec 2014 B	100,000,000	2014/03/18	2014/12/30	25.00
67321	HK Bank - Sands China R Bear CBBC Nov 2014 E	50,000,000	2014/03/18	2014/11/17	12.50
67318	HK Bank - TCH R Bear CBBC Nov 2014 H	50,000,000	2014/03/18	2014/11/10	17.50
67416	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 B	300,000,000	2014/03/18	2014/11/27	75.00
67435	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/03/18	2014/07/30	75.00
67371	Standard Chartered Bank - China Life R Bull CBBC Nov 2014 B	50,000,000	2014/03/18	2014/11/11	24.00
67367	Standard Chartered Bank - A50 China R Bull CBBC Nov 2014 A	50,000,000	2014/03/18	2014/11/11	12.50
67401	Standard Chartered Bank - HSI R Bear CBBC Sep 2014 H	100,000,000	2014/03/18	2014/09/29	25.00
67447	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 14Y	200,000,000	2014/03/18	2014/10/30	50.00
67449	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun 14D	200,000,000	2014/03/18	2014/06/27	50.00
67450	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun 14E	200,000,000	2014/03/18	2014/06/27	50.00
67459	UBS AG - Minsheng Bank R Bear CBBC Aug 2014 B	50,000,000	2014/03/18	2014/08/04	12.50
67460	UBS AG - CNOOC R Bear CBBC Jul 2014 A	50,000,000	2014/03/18	2014/07/21	12.50
67462	UBS AG - Geely Auto R Bull CBBC Jun 2015 C	50,000,000	2014/03/18	2015/06/08	12.50
67455	UBS AG - Great Wall Motor R Bull CBBC Jun 2015 A	50,000,000	2014/03/18	2015/06/15	12.50
67539	UBS AG - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/03/18	2014/12/30	75.00
67324	UBS AG - HSI R Bear CBBC Jun 2014 I	300,000,000	2014/03/18	2014/06/27	75.00
67330	UBS AG - HSI R Bear CBBC Jul 2014 W	350,000,000	2014/03/18	2014/07/30	87.50
67463	UBS AG - Hutchison R Bear CBBC Nov 2014 A	100,000,000	2014/03/18	2014/11/17	25.00
67461	UBS AG - TCH R Bull CBBC Aug 2014 G	40,000,000	2014/03/18	2014/08/25	10.00
64132 #	HK Bank - HSI R Bull CBBC Jun 2014 B	180,000,000	2014/03/18	2014/06/27	12.96
67548	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2014 C	200,000,000	2014/03/19	2014/12/30	50.00
67543	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/03/19	2014/12/30	75.00
67544	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/03/19	2014/12/30	75.00
67542	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/03/19	2014/12/30	75.00
67660	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 A	300,000,000	2014/03/19	2015/02/26	75.00
67661	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 B	300,000,000	2014/03/19	2015/02/26	75.00
67662	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 C	300,000,000	2014/03/19	2015/02/26	75.00
67674	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 A	300,000,000	2014/03/19	2014/08/28	75.00
67680	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/03/19	2014/08/28	75.00
67709	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/03/19	2014/08/28	75.00
67670	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 W	300,000,000	2014/03/19	2014/08/28	75.00
67671	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 X	300,000,000	2014/03/19	2014/08/28	75.00
67672	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 Y	300,000,000	2014/03/19	2014/08/28	75.00
67673	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 Z	300,000,000	2014/03/19	2014/08/28	75.00
67593	Credit Suisse AG - HSI R Bull CBBC Dec 2014 A	238,000,000	2014/03/19	2014/12/30	59.50
67597	Credit Suisse AG - HSI R Bull CBBC Dec 2014 B	238,000,000	2014/03/19	2014/12/30	59.50
67598	Credit Suisse AG - HSI R Bull CBBC Dec 2014 C	238,000,000	2014/03/19	2014/12/30	59.50
67599	Credit Suisse AG - HSI R Bull CBBC Dec 2014 D	238,000,000	2014/03/19	2014/12/30	59.50
67656	Credit Suisse AG - HSI R Bear CBBC Jun 2014 N	238,000,000	2014/03/19	2014/06/27	59.50
67658	Credit Suisse AG - HSI R Bear CBBC Jun 2014 O	238,000,000	2014/03/19	2014/06/27	59.50
67650	Credit Suisse AG - HSI R Bear CBBC Jul 2014 H	238,000,000	2014/03/19	2014/07/30	59.50
67652	Credit Suisse AG - HSI R Bear CBBC Jul 2014 I	238,000,000	2014/03/19	2014/07/30	59.50

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67796	Citigroup Global Mkt H Inc. - Cheung Kong R Bull CBBC Feb15A	60,000,000	2014/03/19	2015/02/09	19.20
67803	Citigroup Global Mkt H Inc. - Cheung Kong R Bull CBBC Feb15B	60,000,000	2014/03/19	2015/02/09	15.00
67806	Citigroup Global Mkt H Inc. - Cheung Kong R Bull CBBC Feb15C	60,000,000	2014/03/19	2015/02/09	15.00
67744	Citigroup Global Mkt H Inc. - Cheung Kong R Bear CBBC Feb15A	60,000,000	2014/03/19	2015/02/09	15.00
67745	Citigroup Global Mkt H Inc. - Cheung Kong R Bear CBBC Feb15B	60,000,000	2014/03/19	2015/02/09	15.00
67746	Citigroup Global Mkt H Inc. - Cheung Kong R Bear CBBC Feb15C	60,000,000	2014/03/19	2015/02/09	19.20
67747	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Feb15A	100,000,000	2014/03/19	2015/02/09	25.00
67748	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Feb15B	100,000,000	2014/03/19	2015/02/09	25.00
67754	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Feb15C	100,000,000	2014/03/19	2015/02/09	25.00
67755	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Feb15A	100,000,000	2014/03/19	2015/02/09	25.00
67757	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Feb 15 A	100,000,000	2014/03/19	2015/02/09	25.00
67778	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Feb 15 B	100,000,000	2014/03/19	2015/02/09	25.00
67779	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Feb 15 C	100,000,000	2014/03/19	2015/02/09	25.00
67782	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Feb 15 A	100,000,000	2014/03/19	2015/02/09	25.00
67785	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Feb15 A	60,000,000	2014/03/19	2015/02/09	15.00
67787	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Feb15 A	60,000,000	2014/03/19	2015/02/09	15.00
67790	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Feb 15 A	60,000,000	2014/03/19	2015/02/09	15.00
67791	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Feb 15 B	60,000,000	2014/03/19	2015/02/09	15.00
67795	Citigroup Global Mkt H Inc. - Hutchison R Bear CBBC Feb 15 A	60,000,000	2014/03/19	2015/02/09	15.00
67784	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Feb 2015 A	80,000,000	2014/03/19	2015/02/09	20.00
67637	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 X	150,000,000	2014/03/19	2014/07/30	41.55
67639	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 R	150,000,000	2014/03/19	2014/07/30	42.60
67640	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 S	150,000,000	2014/03/19	2014/07/30	42.15
67644	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 T	150,000,000	2014/03/19	2014/07/30	42.00
67645	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 U	150,000,000	2014/03/19	2014/07/30	38.10
67647	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 V	150,000,000	2014/03/19	2014/07/30	37.95
67551	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2015 B	80,000,000	2014/03/19	2015/03/30	20.00
67550	HK Bank - A50 R Bull CBBC Mar 2015 D	200,000,000	2014/03/19	2015/03/30	50.00
67563	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/03/19	2014/11/27	75.00
67561	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/03/19	2014/12/30	75.00
67733	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/03/19	2014/06/27	75.00
67742	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 K	300,000,000	2014/03/19	2014/06/27	75.00
67730	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 C	300,000,000	2014/03/19	2014/07/30	75.00
67732	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/03/19	2014/07/30	75.00
67601	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 D	100,000,000	2014/03/19	2015/02/26	25.00
67659	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 H	50,000,000	2014/03/19	2014/10/15	27.00
67590	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 G	200,000,000	2014/03/19	2014/10/30	50.00
67591	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 H	200,000,000	2014/03/19	2014/10/30	50.00
67589	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 Z	200,000,000	2014/03/19	2014/10/30	50.00
67564	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 S	200,000,000	2014/03/19	2014/12/30	50.00
67592	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 F	200,000,000	2014/03/19	2014/06/27	50.00
67628	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 N	200,000,000	2014/03/19	2014/06/27	50.00
67633	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 O	200,000,000	2014/03/19	2014/06/27	50.00
67716	UBS AG - China Mobile R Bull CBBC Nov 2014 C	100,000,000	2014/03/19	2014/11/17	25.00
67621	UBS AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/03/19	2014/09/29	75.00

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67627	UBS AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/03/19	2014/10/30	75.00
67614	UBS AG - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/03/19	2014/11/27	75.00
67713	UBS AG - HSI R Bear CBBC Jun 2014 Z	300,000,000	2014/03/19	2014/06/27	75.00
67719	UBS AG - HSI R Bear CBBC Jul 2014 X	300,000,000	2014/03/19	2014/07/30	75.00
67718	UBS AG - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/03/19	2014/08/28	75.00
67723	UBS AG - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/03/19	2014/08/28	75.00
67887	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/03/20	2014/12/30	75.00
67888	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/03/20	2014/08/28	75.00
67902	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/03/20	2014/08/28	75.00
67904	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/03/20	2014/08/28	75.00
67863	Credit Suisse AG - HSI R Bull CBBC Oct 2014 G	238,000,000	2014/03/20	2014/10/30	59.50
67871	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	238,000,000	2014/03/20	2014/11/27	59.50
67865	Credit Suisse AG - HSI R Bull CBBC Nov 2014 I	238,000,000	2014/03/20	2014/11/27	59.50
67867	Credit Suisse AG - HSI R Bull CBBC Nov 2014 K	238,000,000	2014/03/20	2014/11/27	59.50
67866	Credit Suisse AG - HSI R Bull CBBC Nov 2014 L	238,000,000	2014/03/20	2014/11/27	59.50
67869	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	238,000,000	2014/03/20	2014/11/27	59.50
67870	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Y	238,000,000	2014/03/20	2014/11/27	59.50
67864	Credit Suisse AG - HSI R Bull CBBC Dec 2014 H	238,000,000	2014/03/20	2014/12/30	59.50
67868	Credit Suisse AG - HSI R Bull CBBC Dec 2014 I	238,000,000	2014/03/20	2014/12/30	59.50
67835	Credit Suisse AG - HSI R Bear CBBC Jul 2014 F	238,000,000	2014/03/20	2014/07/30	59.50
67834	Credit Suisse AG - HSI R Bear CBBC Jul 2014 O	238,000,000	2014/03/20	2014/07/30	59.50
67928	Credit Suisse AG - HSI R Bear CBBC Jul 2014 T	238,000,000	2014/03/20	2014/07/30	59.50
67940	Credit Suisse AG - HSI R Bear CBBC Jul 2014 U	238,000,000	2014/03/20	2014/07/30	59.50
67997	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Feb 2015 A	100,000,000	2014/03/20	2015/02/16	25.00
67977	Citigroup Global Mkt H Inc. - BOCL R Bull CBBC Feb 2015 C	60,000,000	2014/03/20	2015/02/16	15.00
67980	Citigroup Global Mkt H Inc. - BOCL R Bull CBBC Feb 2015 D	60,000,000	2014/03/20	2015/02/16	15.00
67982	Citigroup Global Mkt H Inc. - BOCL R Bull CBBC Feb 2015 E	60,000,000	2014/03/20	2015/02/16	15.00
67994	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Feb 2015 A	80,000,000	2014/03/20	2015/02/16	20.00
67995	Citigroup Global Mkt H Inc. - CC Bank R Bear CBBC Feb 2015 A	80,000,000	2014/03/20	2015/02/16	20.00
67992	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Feb 2015 A	50,000,000	2014/03/20	2015/02/16	12.50
67999	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Feb 2015 A	80,000,000	2014/03/20	2015/02/16	20.00
68009	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Feb 2015 A	80,000,000	2014/03/20	2015/02/16	20.00
68011	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Feb 2015 B	80,000,000	2014/03/20	2015/02/16	20.00
67984	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Feb 2015 A	80,000,000	2014/03/20	2015/02/16	20.00
67985	Citigroup Global Mkt H Inc. - ICBC R Bear CBBC Feb 2015 A	80,000,000	2014/03/20	2015/02/16	20.00
67996	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Feb15A	60,000,000	2014/03/20	2015/02/16	15.00
67943	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 A	50,000,000	2014/03/20	2015/02/09	12.50
67942	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 A	50,000,000	2014/03/20	2015/02/09	12.50
67944	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 B	50,000,000	2014/03/20	2015/02/09	16.50
67945	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 C	50,000,000	2014/03/20	2015/02/09	21.50
67946	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 D	50,000,000	2014/03/20	2015/02/09	26.50
67861	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 Y	150,000,000	2014/03/20	2014/07/30	45.60
67964	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 W	150,000,000	2014/03/20	2014/07/30	38.40
67965	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 X	150,000,000	2014/03/20	2014/07/30	40.80
67811	HK Bank - Geely Auto R Bull CBBC Jul 2015 A	40,000,000	2014/03/20	2015/07/27	10.00

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67820	HK Bank - HSI R Bull CBBC Nov 2014 K	100,000,000	2014/03/20	2014/11/27	25.00
67816	HK Bank - HSI R Bull CBBC Dec 2014 F	100,000,000	2014/03/20	2014/12/30	25.00
67829	HK Bank - HSI R Bear CBBC Oct 2014 O	100,000,000	2014/03/20	2014/10/30	25.00
67826	HK Bank - HSI R Bear CBBC Nov 2014 F	100,000,000	2014/03/20	2014/11/27	25.00
67825	HK Bank - HSI R Bear CBBC Dec 2014 C	100,000,000	2014/03/20	2014/12/30	25.00
67824	HK Bank - Huishan Dairy R Bull CBBC Jul 2015 A	40,000,000	2014/03/20	2015/07/27	10.00
67821	HK Bank - TCH R Bull CBBC Nov 2014 J	50,000,000	2014/03/20	2014/11/19	27.00
67813	HK Bank - TCH R Bear CBBC Nov 2014 I	50,000,000	2014/03/20	2014/11/28	15.00
67817	HK Bank - TCH R Bear CBBC Nov 2014 J	50,000,000	2014/03/20	2014/11/19	12.50
67810	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/03/20	2014/10/30	75.00
67976	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/03/20	2014/11/27	75.00
67807	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 L	300,000,000	2014/03/20	2014/06/27	75.00
67975	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 M	300,000,000	2014/03/20	2014/06/27	75.00
67968	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/03/20	2014/07/30	75.00
67971	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/03/20	2014/07/30	75.00
67858	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 B	40,000,000	2014/03/20	2014/08/28	10.00
67855	Standard Chartered Bank - HKEx R Bull CBBC Aug 2014 A	50,000,000	2014/03/20	2014/08/28	12.50
67856	Standard Chartered Bank - HKEx R Bear CBBC Jul 2014 A	50,000,000	2014/03/20	2014/07/30	12.50
67905	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 E	100,000,000	2014/03/20	2015/02/26	25.00
67915	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 F	100,000,000	2014/03/20	2015/02/26	25.00
67836	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 C	100,000,000	2014/03/20	2014/11/27	25.00
67860	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 I	200,000,000	2014/03/20	2014/10/30	50.00
67872	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 J	200,000,000	2014/03/20	2014/10/30	50.00
67830	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 P	200,000,000	2014/03/20	2014/06/27	50.00
67831	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 Q	200,000,000	2014/03/20	2014/06/27	50.00
67924	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun14 R	200,000,000	2014/03/20	2014/06/27	50.00
67875	UBS AG - HSI R Bull CBBC Oct 2014 T	350,000,000	2014/03/20	2014/10/30	87.50
67873	UBS AG - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/03/20	2014/11/27	75.00
67874	UBS AG - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/03/20	2014/12/30	75.00
67877	UBS AG - HSI R Bear CBBC Jun 2014 C	300,000,000	2014/03/20	2014/06/27	75.00
67962	UBS AG - HSI R Bear CBBC Jul 2014 Y	300,000,000	2014/03/20	2014/07/30	75.00
67876	UBS AG - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/03/20	2014/08/28	75.00
67882	UBS AG - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/03/20	2014/08/28	75.00
67958	UBS AG - TCH R Bear CBBC Jun 2014 I	50,000,000	2014/03/20	2014/06/30	14.00
67947	UBS AG - TCH R Bear CBBC Jul 2014 E	50,000,000	2014/03/20	2014/07/14	24.00
68061	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/03/21	2014/12/30	75.00
68071	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/03/21	2014/12/30	75.00
68058	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/03/21	2014/12/30	75.00
68049	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/03/21	2014/12/30	75.00
68039	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 D	300,000,000	2014/03/21	2015/02/26	75.00
68040	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 E	300,000,000	2014/03/21	2015/02/26	75.00
68042	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 F	300,000,000	2014/03/21	2015/02/26	75.00
68043	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 G	300,000,000	2014/03/21	2015/02/26	75.00
68224	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/03/21	2014/08/28	75.00
68228	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/03/21	2014/08/28	75.00

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68231	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/03/21	2014/08/28	75.00
68233	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/03/21	2014/08/28	75.00
68412	Credit Suisse AG - HSI R Bull CBBC Oct 2014 B	238,000,000	2014/03/21	2014/10/30	59.50
68076	Credit Suisse AG - HSI R Bull CBBC Dec 2014 J	238,000,000	2014/03/21	2014/12/30	59.50
68081	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/03/21	2014/12/30	59.50
68082	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/03/21	2014/12/30	59.50
68084	Credit Suisse AG - HSI R Bull CBBC Dec 2014 V	238,000,000	2014/03/21	2014/12/30	59.50
68399	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	238,000,000	2014/03/21	2014/07/30	59.50
68407	Credit Suisse AG - HSI R Bear CBBC Jul 2014 J	238,000,000	2014/03/21	2014/07/30	59.50
68259	Credit Suisse AG - HSI R Bear CBBC Aug 2014 E	238,000,000	2014/03/21	2014/08/28	59.50
68261	Credit Suisse AG - HSI R Bear CBBC Aug 2014 F	238,000,000	2014/03/21	2014/08/28	59.50
68258	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Z	238,000,000	2014/03/21	2014/08/28	59.50
68246	Credit Suisse AG - TCH R Bull CBBC Oct 2014 E	50,000,000	2014/03/21	2014/10/31	46.50
68249	Credit Suisse AG - TCH R Bull CBBC Oct 2014 F	50,000,000	2014/03/21	2014/10/31	36.50
68253	Credit Suisse AG - TCH R Bull CBBC Oct 2014 G	50,000,000	2014/03/21	2014/10/31	26.50
68255	Credit Suisse AG - TCH R Bear CBBC Jul 2014 C	50,000,000	2014/03/21	2014/07/31	24.50
68257	Credit Suisse AG - TCH R Bear CBBC Jul 2014 D	50,000,000	2014/03/21	2014/07/31	39.50
68433	Citigroup Global Mkt H Inc. - CNOOC R Bull CBBC Mar 2015 A	50,000,000	2014/03/21	2015/03/16	12.50
68435	Citigroup Global Mkt H Inc. - CNOOC R Bull CBBC Mar 2015 B	50,000,000	2014/03/21	2015/03/16	12.50
68440	Citigroup Global Mkt H Inc. - CNOOC R Bear CBBC Mar 2015 A	50,000,000	2014/03/21	2015/03/16	12.50
68444	Citigroup Global Mkt H Inc. - CNOOC R Bear CBBC Mar 2015 B	50,000,000	2014/03/21	2015/03/16	12.50
68088	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 A	50,000,000	2014/03/21	2015/03/16	27.50
68093	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 B	50,000,000	2014/03/21	2015/03/16	22.50
68096	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 C	50,000,000	2014/03/21	2015/03/16	17.50
68107	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 D	50,000,000	2014/03/21	2015/03/16	12.50
68110	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 E	50,000,000	2014/03/21	2015/03/16	12.50
68373	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Mar 2015 A	50,000,000	2014/03/21	2015/03/16	12.50
68381	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Mar 2015 B	50,000,000	2014/03/21	2015/03/16	17.50
68382	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Mar 2015 C	50,000,000	2014/03/21	2015/03/16	22.50
68383	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Mar 2015 D	50,000,000	2014/03/21	2015/03/16	27.50
68217	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 Z	150,000,000	2014/03/21	2014/07/30	43.95
68221	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 A	150,000,000	2014/03/21	2014/07/30	38.10
68219	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 Y	150,000,000	2014/03/21	2014/07/30	37.95
68220	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 Z	150,000,000	2014/03/21	2014/07/30	38.25
68012	HK Bank - China Mobile R Bull CBBC Feb 2015 C	120,000,000	2014/03/21	2015/02/16	30.00
68015	HK Bank - China Mobile R Bull CBBC Mar 2015 A	120,000,000	2014/03/21	2015/03/30	30.00
68020	HK Bank - COVS R Bull CBBC Nov 2014 A	50,000,000	2014/03/21	2014/11/24	12.50
68024	HK Bank - CC Bank R Bull CBBC Jan 2015 A	80,000,000	2014/03/21	2015/01/26	20.00
68016	HK Bank - HKEX R Bull CBBC May 2015 A	60,000,000	2014/03/21	2015/05/26	15.00
68031	HK Bank - HSI R Bear CBBC Nov 2014 G	100,000,000	2014/03/21	2014/11/27	25.00
68030	HK Bank - HSI R Bear CBBC Dec 2014 D	100,000,000	2014/03/21	2014/12/30	25.00
68146	HK Bank - TCH R Bear CBBC Oct 2014 N	50,000,000	2014/03/21	2014/10/15	12.50
68180	HK Bank - TCH R Bear CBBC Oct 2014 O	50,000,000	2014/03/21	2014/10/22	12.50
68193	HK Bank - TCH R Bear CBBC Oct 2014 P	50,000,000	2014/03/21	2014/10/29	17.50
68135	HK Bank - TCH R Bear CBBC Nov 2014 K	50,000,000	2014/03/21	2014/11/05	22.50

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68170	HK Bank - TCH R Bear CBBC Nov 2014 L	50,000,000	2014/03/21	2014/11/12	27.50
68202	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 B	300,000,000	2014/03/21	2014/12/30	75.00
68195	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 N	300,000,000	2014/03/21	2014/06/27	75.00
68196	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 O	300,000,000	2014/03/21	2014/06/27	75.00
68194	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/03/21	2014/07/30	75.00
68395	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 D	50,000,000	2014/03/21	2014/09/29	12.50
68386	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 C	50,000,000	2014/03/21	2014/08/28	12.50
68389	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 D	50,000,000	2014/03/21	2014/08/28	12.50
68134	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 G	100,000,000	2014/03/21	2015/02/26	25.00
68223	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 H	100,000,000	2014/03/21	2015/02/26	25.00
68132	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 D	100,000,000	2014/03/21	2014/11/27	25.00
68115	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 I	50,000,000	2014/03/21	2014/10/20	24.00
68032	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 K	200,000,000	2014/03/21	2014/10/30	50.00
68038	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 M	200,000,000	2014/03/21	2014/10/30	50.00
68209	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 T	200,000,000	2014/03/21	2014/12/30	50.00
68212	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 E	200,000,000	2014/03/21	2014/07/30	50.00
68214	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 F	200,000,000	2014/03/21	2014/07/30	50.00
68215	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 G	200,000,000	2014/03/21	2014/07/30	50.00
68352	UBS AG - China Life R Bear CBBC Jul 2014 B	50,000,000	2014/03/21	2014/07/28	18.50
68336	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2015 A	50,000,000	2014/03/21	2015/03/16	12.50
68366	UBS AG - Geely Auto R Bull CBBC Jul 2015 A	50,000,000	2014/03/21	2015/07/06	12.50
68369	UBS AG - Galaxy Ent R Bear CBBC Sep 2014 C	50,000,000	2014/03/21	2014/09/22	12.50
68370	UBS AG - HSBC R Bear CBBC Oct 2014 A	50,000,000	2014/03/21	2014/10/06	12.50
68328	UBS AG - HSCEI R Bull CBBC Dec 2014 E	100,000,000	2014/03/21	2014/12/30	25.00
68326	UBS AG - HSCEI R Bear CBBC Jun 2014 D	100,000,000	2014/03/21	2014/06/27	25.00
68270	UBS AG - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/03/21	2014/11/27	75.00
68112	UBS AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/03/21	2014/11/27	75.00
68113	UBS AG - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/03/21	2014/12/30	75.00
68278	UBS AG - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/03/21	2014/12/30	75.00
68280	UBS AG - HSI R Bull CBBC Feb 2015 A	300,000,000	2014/03/21	2015/02/26	75.00
68279	UBS AG - HSI R Bull CBBC Mar 2015 B	300,000,000	2014/03/21	2015/03/30	75.00
68312	UBS AG - HSI R Bull CBBC Mar 2015 C	300,000,000	2014/03/21	2015/03/30	75.00
68424	UBS AG - HSI R Bear CBBC Jun 2014 E	300,000,000	2014/03/21	2014/06/27	75.00
68432	UBS AG - HSI R Bear CBBC Jun 2014 O	300,000,000	2014/03/21	2014/06/27	75.00
68431	UBS AG - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/03/21	2014/07/30	75.00
68425	UBS AG - HSI R Bear CBBC Jul 2014 K	300,000,000	2014/03/21	2014/07/30	75.00
68416	UBS AG - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/03/21	2014/07/30	75.00
68263	UBS AG - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/03/21	2014/09/29	75.00
68329	UBS AG - Huishan Dairy R Bull CBBC Jul 2015 A	50,000,000	2014/03/21	2015/07/20	12.50
68354	UBS AG - Sands China R Bear CBBC Jun 2014 A	50,000,000	2014/03/21	2014/06/30	12.50
68413	UBS AG - TCH R Bull CBBC Sep 2014 E	40,000,000	2014/03/21	2014/09/22	11.80
68365	UBS AG - TCH R Bear CBBC Jul 2014 F	40,000,000	2014/03/21	2014/07/28	10.00
68362	UBS AG - TCH R Bear CBBC Aug 2014 E	40,000,000	2014/03/21	2014/08/11	14.80
68541	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 H	300,000,000	2014/03/24	2015/02/26	75.00
68547	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/03/24	2014/08/28	75.00

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68549	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/03/24	2014/08/28	75.00
68501	Credit Suisse AG - HSI R Bull CBBC Sep 2014 M	238,000,000	2014/03/24	2014/09/29	59.50
68500	Credit Suisse AG - HSI R Bull CBBC Sep 2014 N	238,000,000	2014/03/24	2014/09/29	59.50
68570	Credit Suisse AG - HSI R Bear CBBC Aug 2014 G	238,000,000	2014/03/24	2014/08/28	59.50
68571	Credit Suisse AG - HSI R Bear CBBC Aug 2014 J	238,000,000	2014/03/24	2014/08/28	59.50
68572	Credit Suisse AG - HSI R Bear CBBC Aug 2014 K	238,000,000	2014/03/24	2014/08/28	59.50
68505	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 B	150,000,000	2014/03/24	2014/07/30	37.65
68457	HK Bank - HSCEI R Bull CBBC Dec 2014 B	100,000,000	2014/03/24	2014/12/30	25.00
68458	HK Bank - HSCEI R Bear CBBC Nov 2014 C	68,000,000	2014/03/24	2014/11/27	17.00
68453	HK Bank - HSI R Bull CBBC Jan 2015 D	100,000,000	2014/03/24	2015/01/29	25.00
68456	HK Bank - HSI R Bull CBBC Jan 2015 E	100,000,000	2014/03/24	2015/01/29	25.00
68454	HK Bank - HSI R Bull CBBC Feb 2015 B	100,000,000	2014/03/24	2015/02/26	25.00
68462	HK Bank - HSI R Bear CBBC Oct 2014 P	100,000,000	2014/03/24	2014/10/30	25.00
68448	HK Bank - HSI R Bear CBBC Dec 2014 E	100,000,000	2014/03/24	2014/12/30	25.00
68573	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/03/24	2014/10/30	75.00
68599	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/03/24	2014/11/27	75.00
68600	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/03/24	2014/12/30	75.00
68601	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 P	300,000,000	2014/03/24	2014/06/27	75.00
68604	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/03/24	2014/07/30	75.00
68614	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/03/24	2014/07/30	75.00
68550	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 E	100,000,000	2014/03/24	2014/11/27	25.00
68551	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 F	100,000,000	2014/03/24	2014/11/27	25.00
68463	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 F	200,000,000	2014/03/24	2014/10/30	50.00
68476	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 H	200,000,000	2014/03/24	2014/07/30	50.00
68480	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 I	200,000,000	2014/03/24	2014/07/30	50.00
68537	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 J	200,000,000	2014/03/24	2014/07/30	50.00
68536	UBS AG - COVS R Bull CBBC Nov 2014 A	50,000,000	2014/03/24	2014/11/03	12.50
68533	UBS AG - CNOOC R Bear CBBC Aug 2014 C	50,000,000	2014/03/24	2014/08/18	12.50
68518	UBS AG - HSI R Bull CBBC Sep 2014 Z	300,000,000	2014/03/24	2014/09/29	75.00
68504	UBS AG - HSI R Bull CBBC Oct 2014 U	300,000,000	2014/03/24	2014/10/30	75.00
68519	UBS AG - HSI R Bull CBBC Nov 2014 F	300,000,000	2014/03/24	2014/11/27	75.00
68506	UBS AG - HSI R Bull CBBC Feb 2015 B	300,000,000	2014/03/24	2015/02/26	78.00
68507	UBS AG - HSI R Bull CBBC Feb 2015 C	300,000,000	2014/03/24	2015/02/26	75.00
68520	UBS AG - HSI R Bull CBBC Feb 2015 D	300,000,000	2014/03/24	2015/02/26	75.00
68509	UBS AG - HSI R Bull CBBC Mar 2015 D	300,000,000	2014/03/24	2015/03/30	75.00
68569	UBS AG - HSI R Bear CBBC Jun 2014 J	300,000,000	2014/03/24	2014/06/27	75.00
68566	UBS AG - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/03/24	2014/06/27	75.00
68567	UBS AG - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/03/24	2014/07/30	75.00
68552	UBS AG - HSI R Bear CBBC Oct 2014 A	300,000,000	2014/03/24	2014/10/30	75.00
68531	UBS AG - TCH R Bull CBBC Aug 2014 H	40,000,000	2014/03/24	2014/08/11	10.00
68528	UBS AG - TCH R Bear CBBC Aug 2014 F	40,000,000	2014/03/24	2014/08/18	16.00
68522	UBS AG - TCH R Bear CBBC Sep 2014 I	40,000,000	2014/03/24	2014/09/01	32.00
68527	UBS AG - TCH R Bear CBBC Sep 2014 J	40,000,000	2014/03/24	2014/09/08	24.00
68658	Credit Suisse AG - HSI R Bull CBBC Oct 2014 D	238,000,000	2014/03/25	2014/10/30	59.50
68645	Credit Suisse AG - HSI R Bear CBBC Jul 2014 K	238,000,000	2014/03/25	2014/07/30	59.50

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68626	HK Bank - ABC R Bear CBBC May 2015 A	40,000,000	2014/03/25	2015/05/11	10.00
68638	HK Bank - AIA R Bull CBBC Nov 2014 A	60,000,000	2014/03/25	2014/11/24	15.00
68624	HK Bank - BOCL R Bull CBBC Jan 2015 A	80,000,000	2014/03/25	2015/01/28	20.00
68622	HK Bank - China Mobile R Bull CBBC Jul 2015 A	120,000,000	2014/03/25	2015/07/06	30.00
68623	HK Bank - ICBC R Bull CBBC Nov 2014 A	80,000,000	2014/03/25	2014/11/17	20.00
68631	HK Bank - ICBC R Bear CBBC Apr 2015 A	40,000,000	2014/03/25	2015/04/27	10.00
68639	HK Bank - Ping An R Bear CBBC Nov 2014 B	40,000,000	2014/03/25	2014/11/24	10.00
68625	HK Bank - SHK Ppt R Bull CBBC Apr 2015 A	60,000,000	2014/03/25	2015/04/13	15.00
68627	HK Bank - TCH R Bull CBBC Oct 2014 P	50,000,000	2014/03/25	2014/10/15	12.50
68634	HK Bank - TCH R Bear CBBC Oct 2014 Q	50,000,000	2014/03/25	2014/10/15	12.50
68661	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/03/25	2014/10/30	75.00
68660	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 Q	300,000,000	2014/03/25	2014/06/27	75.00
68642	Standard Chartered Bank - HSBC R Bull CBBC Sep 2014 A	50,000,000	2014/03/25	2014/09/25	12.50
68657	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 G	100,000,000	2014/03/25	2014/11/27	25.00
68619	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 J	50,000,000	2014/03/25	2014/10/13	35.00
68656	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 14L	200,000,000	2014/03/25	2014/10/30	50.00
68640	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14K	200,000,000	2014/03/25	2014/07/30	50.00
68641	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14L	200,000,000	2014/03/25	2014/07/30	50.00
68651	UBS AG - China Mobile R Bull CBBC Jul 2015 A	100,000,000	2014/03/25	2015/07/13	25.00
68650	UBS AG - A50 China R Bull CBBC Mar 2015 B	100,000,000	2014/03/25	2015/03/02	25.00
68655	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 F	100,000,000	2014/03/25	2014/10/06	25.00
68654	UBS AG - Galaxy Ent R Bear CBBC Sep 2014 D	50,000,000	2014/03/25	2014/09/15	12.50
68659	UBS AG - HSI R Bull CBBC Sep 2014 J	300,000,000	2014/03/25	2014/09/29	75.00
68648	UBS AG - HSI R Bull CBBC Feb 2015 E	300,000,000	2014/03/25	2015/02/26	75.00
68646	UBS AG - HSI R Bull CBBC Mar 2015 E	300,000,000	2014/03/25	2015/03/30	75.00
68643	UBS AG - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/03/25	2014/06/27	75.00
68644	UBS AG - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/03/25	2014/07/30	75.00
68653	UBS AG - TCH R Bull CBBC Sep 2014 F	40,000,000	2014/03/25	2014/09/15	10.00
68652	UBS AG - TCH R Bull CBBC Oct 2014 C	40,000,000	2014/03/25	2014/10/13	13.20
68673	Credit Suisse AG - HSI R Bull CBBC Nov 2014 C	238,000,000	2014/03/26	2014/11/27	59.50
68682	Credit Suisse AG - HSI R Bear CBBC Aug 2014 L	238,000,000	2014/03/26	2014/08/28	59.50
68683	Credit Suisse AG - HSI R Bear CBBC Aug 2014 M	238,000,000	2014/03/26	2014/08/28	59.50
68678	Credit Suisse AG - HSI R Bear CBBC Sep 2014 B	238,000,000	2014/03/26	2014/09/29	59.50
68679	Credit Suisse AG - HSI R Bear CBBC Sep 2014 C	238,000,000	2014/03/26	2014/09/29	59.50
68696	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 G	150,000,000	2014/03/26	2014/07/30	44.25
68672	HK Bank - COVS R Bull CBBC Dec 2014 A	50,000,000	2014/03/26	2014/12/22	17.50
68662	HK Bank - HKEX R Bear CBBC Mar 2015 A	50,000,000	2014/03/26	2015/03/30	12.50
68666	HK Bank - HSI R Bull CBBC Nov 2014 L	100,000,000	2014/03/26	2014/11/27	25.00
68667	HK Bank - HSI R Bear CBBC Nov 2014 H	400,000,000	2014/03/26	2014/11/27	100.00
68669	HK Bank - TCH R Bull CBBC Oct 2014 Q	50,000,000	2014/03/26	2014/10/30	17.50
68668	HK Bank - TCH R Bull CBBC Nov 2014 K	50,000,000	2014/03/26	2014/11/06	22.50
68670	HK Bank - TCH R Bull CBBC Nov 2014 L	50,000,000	2014/03/26	2014/11/11	12.50
68695	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/03/26	2014/12/30	75.00
68692	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/03/26	2014/07/30	75.00
68674	Standard Chartered Bank - Minsheng Bank R Bull CBBC Nov 14 A	50,000,000	2014/03/26	2014/11/27	12.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68690	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 I	100,000,000	2014/03/26	2015/02/26	25.00
68688	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 H	100,000,000	2014/03/26	2014/11/27	25.00
68684	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 O	200,000,000	2014/03/26	2014/10/30	50.00
68675	UBS AG - BOCL R Bull CBBC Jul 2015 B	100,000,000	2014/03/26	2015/07/20	25.00
68677	UBS AG - HSBC R Bull CBBC Jun 2015 A	100,000,000	2014/03/26	2015/06/08	25.00
68686	UBS AG - HSI R Bull CBBC Feb 2015 F	300,000,000	2014/03/26	2015/02/26	75.00
68687	UBS AG - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/03/26	2014/07/30	75.00
68676	UBS AG - SHK Ppt R Bull CBBC Apr 2015 A	50,000,000	2014/03/26	2015/04/10	12.50
68697	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/03/27	2014/12/30	75.00
68699	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/03/27	2014/12/30	75.00
68749	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/03/27	2014/12/30	75.00
68698	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 I	300,000,000	2014/03/27	2015/02/26	75.00
68741	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 J	300,000,000	2014/03/27	2015/02/26	75.00
68747	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 K	300,000,000	2014/03/27	2015/02/26	75.00
68748	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 L	300,000,000	2014/03/27	2015/02/26	75.00
68779	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 M	300,000,000	2014/03/27	2015/02/26	75.00
68780	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 N	300,000,000	2014/03/27	2015/02/26	75.00
68751	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 A	300,000,000	2014/03/27	2014/09/29	75.00
68752	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/03/27	2014/09/29	75.00
68753	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 C	300,000,000	2014/03/27	2014/09/29	75.00
68754	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 D	300,000,000	2014/03/27	2014/09/29	75.00
68781	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 E	300,000,000	2014/03/27	2014/09/29	75.00
68782	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 F	300,000,000	2014/03/27	2014/09/29	75.00
68714	Credit Suisse AG - HSI R Bull CBBC Sep 2014 B	238,000,000	2014/03/27	2014/09/29	59.50
68717	Credit Suisse AG - HSI R Bull CBBC Sep 2014 C	238,000,000	2014/03/27	2014/09/29	64.26
68716	Credit Suisse AG - HSI R Bull CBBC Nov 2014 U	238,000,000	2014/03/27	2014/11/27	59.50
68718	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Z	238,000,000	2014/03/27	2014/11/27	69.02
68771	Credit Suisse AG - HSI R Bull CBBC Dec 2014 N	238,000,000	2014/03/27	2014/12/30	59.50
68772	Credit Suisse AG - HSI R Bull CBBC Dec 2014 O	238,000,000	2014/03/27	2014/12/30	59.50
68770	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Q	238,000,000	2014/03/27	2014/12/30	59.50
68715	Credit Suisse AG - HSI R Bull CBBC Dec 2014 R	238,000,000	2014/03/27	2014/12/30	59.50
68768	Credit Suisse AG - HSI R Bull CBBC Dec 2014 S	238,000,000	2014/03/27	2014/12/30	59.50
68713	Credit Suisse AG - HSI R Bull CBBC Dec 2014 T	238,000,000	2014/03/27	2014/12/30	59.50
68711	Credit Suisse AG - HSI R Bull CBBC Dec 2014 W	238,000,000	2014/03/27	2014/12/30	59.50
68712	Credit Suisse AG - HSI R Bull CBBC Dec 2014 X	238,000,000	2014/03/27	2014/12/30	59.50
68769	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Z	238,000,000	2014/03/27	2014/12/30	59.50
68775	Credit Suisse AG - HSI R Bear CBBC Jul 2014 L	238,000,000	2014/03/27	2014/07/30	59.50
68773	Credit Suisse AG - HSI R Bear CBBC Aug 2014 N	238,000,000	2014/03/27	2014/08/28	59.50
68774	Credit Suisse AG - HSI R Bear CBBC Aug 2014 O	238,000,000	2014/03/27	2014/08/28	59.50
68764	Bank of East Asia - COVS R Bull CBBC Oct 2014 A	40,000,000	2014/03/27	2014/10/31	10.00
68761	Bank of East Asia - A50 China R Bull CBBC Nov 2014 A	60,000,000	2014/03/27	2014/11/21	15.00
68765	Bank of East Asia - Geely Auto R Bull CBBC Feb 2015 A	200,000,000	2014/03/27	2015/02/27	50.00
68760	Bank of East Asia - HKEx R Bull CBBC Oct 2014 A	60,000,000	2014/03/27	2014/10/24	15.00
68719	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/03/27	2014/11/27	75.00
68794	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/03/27	2014/12/30	75.00

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68720	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/03/27	2014/12/30	75.00
68795	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/03/27	2014/12/30	75.00
68792	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 A	300,000,000	2014/03/27	2015/03/30	75.00
68793	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 B	300,000,000	2014/03/27	2015/03/30	75.00
68790	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 R	300,000,000	2014/03/27	2014/06/27	75.00
68791	J P Morgan SP BV - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/03/27	2014/06/27	75.00
68785	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 M	300,000,000	2014/03/27	2014/07/30	75.00
68766	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 B	50,000,000	2014/03/27	2014/09/29	12.50
68767	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 C	50,000,000	2014/03/27	2014/09/29	12.50
68709	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 J	100,000,000	2014/03/27	2015/02/26	25.00
68710	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 K	100,000,000	2014/03/27	2015/02/26	25.00
68758	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 L	100,000,000	2014/03/27	2015/02/26	25.00
68759	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 I	100,000,000	2014/03/27	2014/11/27	25.00
68776	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 J	100,000,000	2014/03/27	2014/11/27	25.00
68701	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 14P	200,000,000	2014/03/27	2014/10/30	50.00
68728	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14Q	200,000,000	2014/03/27	2014/11/27	50.00
68727	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec 14U	200,000,000	2014/03/27	2014/12/30	50.00
68731	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14M	200,000,000	2014/03/27	2014/07/30	50.00
68737	UBS AG - COVS R Bull CBBC Jul 2015 A	50,000,000	2014/03/27	2015/07/20	12.50
68736	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2015 A	100,000,000	2014/03/27	2015/02/23	25.00
68735	UBS AG - A50 China R Bull CBBC Feb 2015 B	100,000,000	2014/03/27	2015/02/16	25.00
68740	UBS AG - HSBC R Bull CBBC Sep 2015 A	100,000,000	2014/03/27	2015/09/07	25.00
68738	UBS AG - HSBC R Bear CBBC Sep 2014 A	100,000,000	2014/03/27	2014/09/22	25.00
68734	UBS AG - HSCEI R Bear CBBC Jun 2014 E	100,000,000	2014/03/27	2014/06/27	25.00
68732	UBS AG - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/03/27	2014/10/30	75.00
68725	UBS AG - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/03/27	2014/10/30	75.00
68733	UBS AG - HSI R Bull CBBC Nov 2014 G	300,000,000	2014/03/27	2014/11/27	75.00
68726	UBS AG - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/03/27	2014/12/30	75.00
68784	UBS AG - HSI R Bear CBBC Jun 2014 S	300,000,000	2014/03/27	2014/06/27	75.00
68783	UBS AG - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/03/27	2014/07/30	75.00
68817	Credit Suisse AG - AIA R Bull CBBC Nov 2014 A	50,000,000	2014/03/28	2014/11/28	12.50
68815	Credit Suisse AG - China Mobile R Bull CBBC Nov 2014 A	50,000,000	2014/03/28	2014/11/28	12.50
68816	Credit Suisse AG - China Mobile R Bull CBBC Nov 2014 B	50,000,000	2014/03/28	2014/11/28	12.50
68819	Credit Suisse AG - Galaxy Ent R Bear CBBC Aug 2014 C	50,000,000	2014/03/28	2014/08/29	12.50
68855	Credit Suisse AG - HSI R Bull CBBC Nov 2014 A	238,000,000	2014/03/28	2014/11/27	59.50
68858	Credit Suisse AG - HSI R Bull CBBC Nov 2014 G	238,000,000	2014/03/28	2014/11/27	59.50
68856	Credit Suisse AG - HSI R Bull CBBC Nov 2014 J	238,000,000	2014/03/28	2014/11/27	59.50
68857	Credit Suisse AG - HSI R Bull CBBC Nov 2014 T	238,000,000	2014/03/28	2014/11/27	59.50
68820	Credit Suisse AG - HSI R Bear CBBC Sep 2014 D	238,000,000	2014/03/28	2014/09/29	59.50
68821	Credit Suisse AG - HSI R Bear CBBC Sep 2014 E	238,000,000	2014/03/28	2014/09/29	59.50
68822	Credit Suisse AG - HSI R Bear CBBC Sep 2014 F	238,000,000	2014/03/28	2014/09/29	59.50
68854	Credit Suisse AG - HSI R Bear CBBC Sep 2014 G	238,000,000	2014/03/28	2014/09/29	59.50
68818	Credit Suisse AG - Sands China R Bear CBBC Aug 2014 A	50,000,000	2014/03/28	2014/08/29	12.50
68823	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 H	150,000,000	2014/03/28	2014/07/30	38.25
68824	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 C	150,000,000	2014/03/28	2014/07/30	43.35

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68825	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 D	150,000,000	2014/03/28	2014/07/30	40.50
68826	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 E	150,000,000	2014/03/28	2014/07/30	37.95
68827	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 F	150,000,000	2014/03/28	2014/07/30	37.95
68831	HK Bank - China Mobile R Bull CBBC Mar 2015 B	120,000,000	2014/03/28	2015/03/18	30.00
68832	HK Bank - China Mobile R Bull CBBC Mar 2015 C	120,000,000	2014/03/28	2015/03/26	30.00
68801	HK Bank - China Mobile R Bull CBBC Jul 2015 B	120,000,000	2014/03/28	2015/07/13	30.00
68810	HK Bank - China Mobile R Bull CBBC Jul 2015 C	120,000,000	2014/03/28	2015/07/20	30.00
68834	HK Bank - China Mobile R Bull CBBC Jul 2015 D	120,000,000	2014/03/28	2015/07/16	30.00
68812	HK Bank - China Mobile R Bear CBBC May 2015 A	40,000,000	2014/03/28	2015/05/04	10.00
68814	HK Bank - Sinopec Corp R Bear CBBC Dec 2014 A	40,000,000	2014/03/28	2014/12/22	10.00
68800	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 A	80,000,000	2014/03/28	2015/04/27	20.00
68799	HK Bank - CSOP A50 ETF R Bear CBBC Apr 2015 A	40,000,000	2014/03/28	2015/04/27	10.00
68798	HK Bank - A50 R Bull CBBC Apr 2015 A	200,000,000	2014/03/28	2015/04/27	50.00
68797	HK Bank - A50 R Bear CBBC Apr 2015 A	50,000,000	2014/03/28	2015/04/27	12.50
68796	HK Bank - HSI R Bull CBBC Feb 2015 C	100,000,000	2014/03/28	2015/02/26	25.00
68805	HK Bank - HSI R Bear CBBC Oct 2014 Q	100,000,000	2014/03/28	2014/10/30	25.00
68802	HK Bank - HSI R Bear CBBC Nov 2014 I	100,000,000	2014/03/28	2014/11/27	25.00
68807	HK Bank - HSI R Bear CBBC Nov 2014 J	100,000,000	2014/03/28	2014/11/27	25.00
68806	HK Bank - HSI R Bear CBBC Dec 2014 F	100,000,000	2014/03/28	2014/12/30	25.00
68813	HK Bank - Sands China R Bear CBBC Nov 2014 F	50,000,000	2014/03/28	2014/11/17	12.50
68869	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 F	300,000,000	2014/03/28	2014/11/27	75.00
68870	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/03/28	2014/12/30	75.00
68846	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 A	300,000,000	2014/03/28	2014/12/30	75.00
68847	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 B	300,000,000	2014/03/28	2014/12/30	75.00
68871	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 M	100,000,000	2014/03/28	2015/02/26	25.00
68872	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 N	100,000,000	2014/03/28	2015/02/26	25.00
68829	Standard Chartered Bank - ICBC R Bull CBBC Nov 2014 A	50,000,000	2014/03/28	2014/11/27	12.50
68830	Standard Chartered Bank - ICBC R Bear CBBC Sep 2014 A	50,000,000	2014/03/28	2014/09/25	12.50
68859	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14U	200,000,000	2014/03/28	2014/11/27	50.00
68850	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14N	200,000,000	2014/03/28	2014/07/30	50.00
68853	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14O	200,000,000	2014/03/28	2014/07/30	50.00
68840	UBS AG - ABC R Bull CBBC Jul 2015 A	50,000,000	2014/03/28	2015/07/20	12.50
68838	UBS AG - AIA R Bull CBBC Jun 2015 A	100,000,000	2014/03/28	2015/06/15	25.00
68841	UBS AG - China Mobile R Bull CBBC Aug 2015 A	100,000,000	2014/03/28	2015/08/17	25.00
68842	UBS AG - China Mobile R Bull CBBC Sep 2015 A	100,000,000	2014/03/28	2015/09/21	25.00
68843	UBS AG - CC Bank R Bull CBBC Aug 2015 A	100,000,000	2014/03/28	2015/08/10	25.00
68836	UBS AG - Minsheng Bank R Bull CBBC Jul 2015 A	50,000,000	2014/03/28	2015/07/27	12.50
68845	UBS AG - HSBC R Bull CBBC Jul 2015 A	100,000,000	2014/03/28	2015/07/13	25.00
68861	UBS AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2014/03/28	2014/09/29	75.00
68860	UBS AG - HSI R Bull CBBC Nov 2014 H	300,000,000	2014/03/28	2014/11/27	75.00
68866	UBS AG - HSI R Bull CBBC Nov 2014 K	300,000,000	2014/03/28	2014/11/27	75.00
68828	UBS AG - HSI R Bear CBBC Jun 2014 G	300,000,000	2014/03/28	2014/06/27	75.00
68835	UBS AG - Huishan Dairy R Bull CBBC Oct 2015 A	50,000,000	2014/03/28	2015/10/26	12.50
68837	UBS AG - ICBC R Bull CBBC Jul 2015 A	100,000,000	2014/03/28	2015/07/13	25.00
68919	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 O	300,000,000	2014/03/31	2015/02/26	75.00

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68920	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 P	300,000,000	2014/03/31	2015/02/26	75.00
68929	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 Q	300,000,000	2014/03/31	2015/02/26	75.00
68931	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 R	300,000,000	2014/03/31	2015/02/26	75.00
68932	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 S	300,000,000	2014/03/31	2015/02/26	75.00
68933	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 T	300,000,000	2014/03/31	2015/02/26	75.00
68886	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 G	300,000,000	2014/03/31	2014/09/29	75.00
68887	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 H	300,000,000	2014/03/31	2014/09/29	75.00
68921	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 I	300,000,000	2014/03/31	2014/09/29	75.00
68916	Credit Suisse AG - HSI R Bull CBBC Oct 2014 J	238,000,000	2014/03/31	2014/10/30	59.50
68925	Credit Suisse AG - HSI R Bull CBBC Oct 2014 N	238,000,000	2014/03/31	2014/10/30	59.50
68915	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Q	238,000,000	2014/03/31	2014/10/30	59.50
68926	Credit Suisse AG - HSI R Bull CBBC Oct 2014 R	238,000,000	2014/03/31	2014/10/30	59.50
68893	Credit Suisse AG - HSI R Bull CBBC Nov 2014 S	238,000,000	2014/03/31	2014/11/27	59.50
68899	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	238,000,000	2014/03/31	2014/07/30	59.50
68900	Credit Suisse AG - HSI R Bear CBBC Jul 2014 J	238,000,000	2014/03/31	2014/07/30	59.50
68901	Credit Suisse AG - HSI R Bear CBBC Aug 2014 P	238,000,000	2014/03/31	2014/08/28	59.50
68924	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Q	238,000,000	2014/03/31	2014/08/28	59.50
68894	Credit Suisse AG - HSI R Bear CBBC Sep 2014 H	238,000,000	2014/03/31	2014/09/29	59.50
68895	Credit Suisse AG - HSI R Bear CBBC Sep 2014 I	238,000,000	2014/03/31	2014/09/29	59.50
68896	Credit Suisse AG - HSI R Bear CBBC Sep 2014 J	238,000,000	2014/03/31	2014/09/29	61.88
68897	Credit Suisse AG - HSI R Bear CBBC Sep 2014 K	238,000,000	2014/03/31	2014/09/29	63.07
68898	Credit Suisse AG - HSI R Bear CBBC Sep 2014 L	238,000,000	2014/03/31	2014/09/29	59.50
68907	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 I	150,000,000	2014/03/31	2014/07/30	38.55
68908	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 L	150,000,000	2014/03/31	2014/07/30	39.45
68910	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 M	150,000,000	2014/03/31	2014/07/30	46.65
68944	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 S	150,000,000	2014/03/31	2014/07/30	38.40
68946	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2014 T	150,000,000	2014/03/31	2014/07/30	40.80
68909	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2014 H	150,000,000	2014/03/31	2014/07/30	37.65
68881	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 F	40,000,000	2014/03/31	2014/11/10	10.00
68879	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 D	80,000,000	2014/03/31	2015/01/19	20.00
68877	HK Bank - A50 R Bull CBBC Jan 2015 F	200,000,000	2014/03/31	2015/01/26	50.00
68878	HK Bank - A50 R Bull CBBC Jan 2015 G	200,000,000	2014/03/31	2015/01/19	50.00
68875	HK Bank - Great Wall Motor R Bull CBBC Dec 2015 B	40,000,000	2014/03/31	2015/12/31	10.00
68874	HK Bank - HSI R Bull CBBC Oct 2014 Q	100,000,000	2014/03/31	2014/10/30	25.00
68873	HK Bank - HSI R Bull CBBC Nov 2014 M	100,000,000	2014/03/31	2014/11/27	25.00
68876	HK Bank - HSI R Bull CBBC Dec 2014 G	100,000,000	2014/03/31	2014/12/30	25.00
68880	HK Bank - Huishan Dairy R Bull CBBC Sep 2015 A	40,000,000	2014/03/31	2015/09/21	10.00
68904	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 R	300,000,000	2014/03/31	2014/10/30	75.00
68903	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 W	300,000,000	2014/03/31	2014/11/27	75.00
68936	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/03/31	2014/12/30	75.00
68902	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/03/31	2014/12/30	75.00
68882	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/03/31	2014/07/30	75.00
68883	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/03/31	2014/07/30	75.00
68934	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 A	300,000,000	2014/03/31	2014/08/28	75.00
68935	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/03/31	2014/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68912	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 E	50,000,000	2014/03/31	2014/09/29	12.75
68913	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 F	50,000,000	2014/03/31	2014/09/29	13.00
68914	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 G	50,000,000	2014/03/31	2014/09/29	12.50
68917	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 V	200,000,000	2014/03/31	2014/11/27	50.00
68918	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 X	200,000,000	2014/03/31	2014/11/27	50.00
68927	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 Y	200,000,000	2014/03/31	2014/11/27	50.00
68884	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 P	200,000,000	2014/03/31	2014/07/30	50.00
68885	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 Q	200,000,000	2014/03/31	2014/07/30	50.00
68928	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 R	200,000,000	2014/03/31	2014/07/30	50.00
68937	UBS AG - China Mobile R Bull CBBC Oct 2014 G	100,000,000	2014/03/31	2014/10/27	25.00
68939	UBS AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2014/03/31	2014/09/29	75.00
68942	UBS AG - HSI R Bull CBBC Oct 2014 W	300,000,000	2014/03/31	2014/10/30	75.00
68941	UBS AG - HSI R Bull CBBC Nov 2014 B	300,000,000	2014/03/31	2014/11/27	75.00
68943	UBS AG - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/03/31	2014/12/30	75.00
68891	UBS AG - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/03/31	2014/07/30	75.00
68892	UBS AG - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/03/31	2014/07/30	75.00
68890	UBS AG - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/03/31	2014/08/28	75.00
68938	UBS AG - Ping An R Bull CBBC Oct 2014 G	100,000,000	2014/03/31	2014/10/27	25.00
總額					146,272.13

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2013	第1季	4	15.07
	第2季	4	20.85
	第3季	4	7.69
	第4季	4	5.78
2014	第1季	5	276.71

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2013	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—
2014	第1季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2013	第1季	7	0.25
	第2季	7	0.03
	第3季	7	0.08
	第4季	7	0.03
2014	第1季	7	0.39

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2013	第1季	2	—
	第2季	2	—
	第3季	2	—
	第4季	2	—
2014	第1季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2013	第1季	55,775.21	12,379.11	371,122
	第2季	38,483.69	15,384.62	413,646
	第3季	56,706.88	18,446.55	542,526
	第4季	78,234.46	32,626.67	914,205
2014	第1季	108,376.84	63,841.30	1,599,170

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2013	第1季	59	209.82	33,356
	第2季	60	256.41	37,193
	第3季	63	292.80	34,001
	第4季	62	526.24	35,689
2014	第1季	61	1,046.58	39,922

20種升幅最大之創業板股份

2014年第1季

順次	股份代號	股份	收市價		升幅
			2014年3月底	2013年12月底	
1	08050	雲博產業	11.860	0.900	1,217.78
2	08192	環球能源資源	0.490	0.109	349.54
3	08326	JC GROUP HLDG	1.650	0.610	170.49
4	08207	中國信貸	1.810	0.670	170.15
5	08145	電訊首科	2.190	0.880	148.86
6	08101	家夢控股	4.310	1.810	138.12
7	08089	華人策略控股	0.495	0.220	125.00
8	08006	華泰瑞銀	5.070	2.257 A	124.63
9	08193	漢華專業服務	0.510	0.241	111.62
10	08269	富譽控股	0.300	0.145	106.90
11	08098	昌利控股	0.530	0.260	103.85
12	08055	中國網絡教育	0.180	0.091	97.80
13	08292	慧聰網	19.900	10.280	93.58
14	08117	中國基礎資源	0.445	0.235	89.36
15	08197	東北虎藥業	0.330	0.176	87.50
16	08083	中國創新支付	0.570	0.315	80.95
17	08310	伽瑪物流集團	0.410	0.229	79.04
18	08295	卓亞資本	0.180	0.101	78.22
19	08119	即時科研	0.091	0.052	75.00
20	08031	易通訊集團	2.800	1.650	69.70

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2014年第1季

順次	股份代號	股份	收市價		跌幅
			2014年3月底	2013年12月底	
1	08212	譽滿國際控股	0.169	1.330	-87.29
2	08356	中國新華電視	0.405	1.260	-67.86
3	08181	港深聯合	0.435	1.320	-67.05
4	08107	譽宴集團	0.760	2.120	-64.15
5	08180	新銳醫藥	1.000	2.110	-52.61
6	08270	中國聯盛	0.051	0.093 A	-45.16
7	08030	匯聯金融服務	0.270	0.440	-38.64
8	08215	FIRST CREDIT	0.098	0.159	-38.36
9	08063	和滙集團	0.850	1.340	-36.57
10	08078	中國3D數碼	0.164	0.250 A	-34.40
11	08198	新濠環彩	1.150	1.720	-33.14
12	08321	中國汽車內飾	0.590	0.850	-30.59
13	08116	中國幸福投資	0.097	0.138	-29.71
14	08046	恒芯中國	0.128	0.181	-29.28
15	08120	東麟農業	0.305	0.428 A	-28.74
16	08019	皓文控股	0.218	0.305	-28.52
17	08167	中國新電信	0.206	0.285	-27.72
18	08168	英裘控股	0.270	0.370	-27.03
19	08163	萬德資源	0.176	0.241	-26.97
20	08228	國藝娛樂	0.087	0.118	-26.27

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2014年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	08002	IGG	12,090.59	18.94
2	08083	中國創新支付	6,878.48	10.77
3	08292	慧聰網	3,733.77	5.85
4	08255	神州數字	2,529.17	3.96
5	08072	羅馬集團	2,285.98	3.58
6	08207	中國信貸	2,237.02	3.50
7	08279	亞博科技控股	2,233.92	3.50
8	08156	眾彩股份	2,067.09	3.24
9	08192	環球能源資源	1,499.21	2.35
10	08071	中彩網通控股	1,292.89	2.03
11	08100	聯夢活力世界	1,189.99	1.86
12	08180	新銳醫藥	1,121.33	1.76
13	08138	同仁堂國藥	982.86	1.54
14	08325	奧思知集團控股	951.79	1.49
15	08158	中國生物醫學	841.68	1.32
16	08310	伽瑪物流集團	772.93	1.21
17	08198	新濠環彩	748.57	1.17
18	08311	圓美光電	703.83	1.10
19	08212	譽滿國際控股	693.70	1.09
20	08137	洪橋集團	683.66	1.07
總額			45,538.46	71.33

創業板 股份

20種成交最活躍創業板股份(股數)

2014年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	08100	聯夢活力世界	19,175.62	17.69
2	08083	中國創新支付	10,645.01	9.82
3	08270	中國聯盛	5,078.66	4.69
4	08192	環球能源資源	3,898.20	3.60
5	08081	駿科網絡訊息	3,578.98	3.30
6	08071	中彩網通控股	3,562.22	3.29
7	08072	羅馬集團	2,863.21	2.64
8	08021	匯隆控股	2,794.67	2.58
9	08158	中國生物醫學	2,527.16	2.33
10	08212	譽滿國際控股	2,040.22	1.88
11	08116	中國幸福投資	1,940.53	1.79
12	08171	中國趨勢	1,798.30	1.66
13	08041	蒼萃國際(控股)	1,790.02	1.65
14	08037	朗力福集團	1,667.87	1.54
15	08207	中國信貸	1,559.12	1.44
16	08019	皓文控股	1,452.25	1.34
17	08163	萬德資源	1,394.42	1.29
18	08279	亞博科技控股	1,390.43	1.28
19	08002	IGG	1,364.27	1.26
20	08108	名家國際	1,358.76	1.25
總數			71,879.90	66.32

創業板 股份

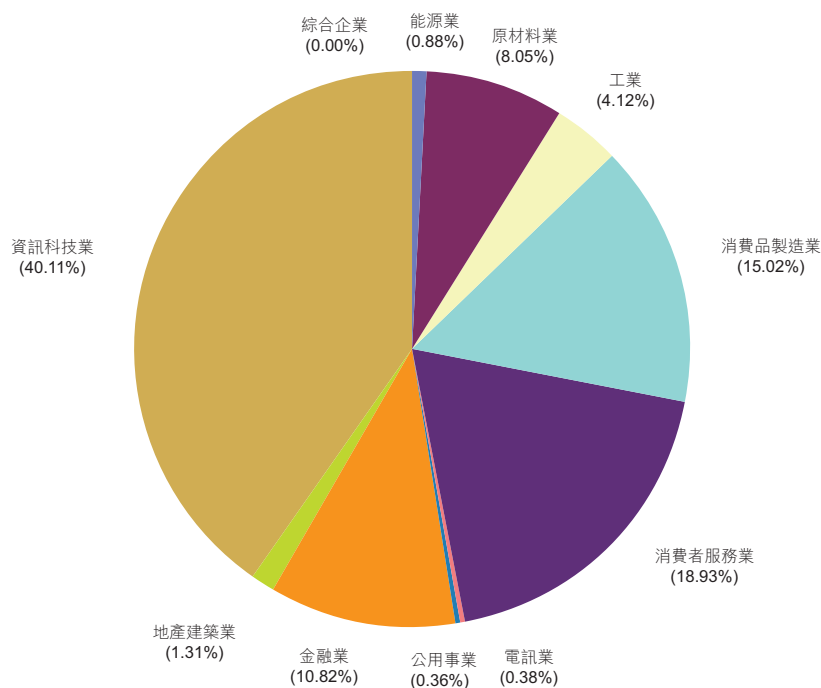
創業板公司市價總值

截至季末

		百萬港元
2013	第1季	87,318.43
	第2季	98,730.52
	第3季	119,020.80
	第4季	134,002.06
2014	第1季	172,776.82

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2014年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08050	雲博產業集團有限公司	16,085.13	9.31
2	08292	慧聰網有限公司	13,172.54	7.62
3	08137	洪橋集團有限公司	9,758.62	5.65
4	08002	IGG Inc	9,554.91	5.53
5	08138	北京同仁堂國藥有限公司	8,715.00	5.04
6	08279	亞博科技控股有限公司	7,708.85	4.46
7	08008	新意網集團有限公司	5,898.74	3.41
8	08207	中國信貸控股有限公司	5,703.30	3.30
9	08158	中國生物醫學再生科技有限公司	3,293.61	1.91
10	08006	中國華泰瑞銀控股有限公司	3,260.22	1.89
11	08083	中國創新支付集團有限公司	2,825.30	1.64
12	08198	新濠環彩有限公司	2,772.05	1.60
13	08156	眾彩科技股份有限公司	2,709.41	1.57
14	08032	非凡中國控股有限公司	2,704.00	1.57
15	08005	裕興科技投資控股有限公司	2,311.83	1.34
16	08090	中國融保金融集團有限公司	2,251.27	1.30
17	08232	中國優通控股有限公司	2,200.80	1.27
18	08072	羅馬集團有限公司	1,709.50	0.99
19	08155	南華置地有限公司	1,654.42	0.96
20	08058	山東羅欣藥業股份有限公司－H股	1,642.31	0.95
21	08311	圓美光電有限公司	1,544.40	0.89
22	08020	川盟金融集團有限公司	1,509.60	0.87
23	08123	首華財經網絡集團有限公司	1,315.39	0.76
24	08255	神州數字銷售技術有限公司	1,296.00	0.75
25	08298	安捷利實業有限公司	1,264.86	0.73
26	08099	中國優材(控股)有限公司	1,250.00	0.72
27	08179	喜尚控股有限公司	1,248.00	0.72
28	08128	中國地能產業集團有限公司	1,204.67	0.70
29	08018	匯財軟件公司	1,160.00	0.67
30	08220	比高集團控股有限公司	1,140.41	0.66
31	08086	DX.com控股有限公司	1,120.79	0.65
32	08325	中國支付通集團控股有限公司	1,094.40	0.63
33	08185	御藥堂集團控股有限公司	1,091.80	0.63
34	08085	香港生命科學技術集團有限公司	1,086.34	0.63
35	08192	環球能源資源國際集團有限公司	1,045.25	0.60
36	08250	中國天然投資有限公司	919.07	0.53
37	08132	鉦皓控股有限公司	908.06	0.53
38	08056	鼎豐集團控股有限公司	880.00	0.51
39	08356	中國新華電視控股有限公司	802.25	0.46
40	08077	昆侖國際金融集團有限公司	800.00	0.46
41	08180	新銳醫藥國際控股有限公司	800.00	0.46
42	08219	品牌中國集團有限公司	797.20	0.46
43	08031	易通訊集團有限公司	784.00	0.45
44	08276	華章科技控股有限公司	761.60	0.44
45	08025	亞洲資產(控股)有限公司	727.90	0.42
46	08321	中國汽車內飾集團有限公司	679.68	0.39
47	08175	中國數碼文化(集團)有限公司	672.32	0.39
48	08326	JC Group Holdings Ltd.	660.00	0.38
49	08016	長虹佳華控股有限公司	656.60	0.38
50	08150	無縫綠色中國(集團)有限公司	650.40	0.38
總額			135,802.80	78.60
市場總值			172,776.82	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2013	第1季	1	60.00
	第2季	5	887.80
	第3季	5	362.29
	第4季	12	1,873.36
2014	第1季	5	496.33

創業板新上市公司

2014 第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08099	中國優材(控股)有限公司	2014/01/06	1,000,000,000	250.00
08191	鴻偉(亞洲)控股有限公司	2014/01/08	711,115,100	69.33
08209	美捷滙控股有限公司	2014/01/10	120,000,000	33.00
08001	東方滙財證券國際控股有限公司	2014/01/15	300,000,000	45.00
08311	圓美光電有限公司	2014/02/07	1,320,000,000	99.00
總額				496.33

除牌上市公司－創業板

2014 年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
08102	上海復旦微電子集團股份有限公司－H股*	2014/01/07	2014/01/08 §
08035	濱海投資有限公司*	2014/02/10	2014/02/11 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2014年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
NIL				

創業板紅股／紅利認股權證

2014年第1季

股份代號	公司	詳情	除淨日
08006	中國華泰瑞銀控股有限公司	1送5	2014/01/06

創業板股份拆細及合併

2014年第1季

股份代號	公司	詳情	生效日期
08078	中國3D數碼娛樂有限公司	10股合併為1股	2014/01/07
08075	寰亞傳媒集團有限公司	20股合併為1股	2014/01/09
08120	東麟農業集團有限公司	4股合併為1股	2014/01/14
08029	太陽國際資源有限公司	2股合併為1股	2014/02/14
08072	羅馬集團有限公司	1股分拆為10股	2014/03/06
08132	鉦皓控股有限公司	1股分拆為10股	2014/03/25

創業板供股／公開售股

2014年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	08131	辰罡科技有限公司	股權2供1，每股供0.10港元	8.03
	08270	中國聯盛煤層氣頁岩氣 產業集團有限公司	公開售股2供1，每股供0.04港元	126.83
2月	08029	太陽國際資源有限公司	公開售股2供1，每股供0.10港元	46.38
	08079	無限創意控股有限公司	公開售股1供4，每股供0.10港元	50.34
	08178	中國信息科技發展有限公司	公開售股1供2，每股供0.11港元	197.67
3月	08351	俊文寶石國際有限公司	公開售股10供7，每股供0.12港元	125.70
總額				554.95

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)	
2014						
1月	08298	安捷利實業	423,070,000	0.513	217.03	
	08116	中國幸福投資	80,000,000	0.103	8.24	
	08212	譽滿國際控股	153,420,120	0.250	38.36	
	08071	中彩網通控股	232,800,000	0.320	74.50	
	08270	中國聯盛	880,000,000	0.092	80.96	
	08081	駿科網絡訊息	190,000,000	0.128	24.32	
	08075	寰亞傳媒	132,250,000	0.607	80.28	
	08163	萬德資源	150,000,000	0.156	23.40	
	08269	富譽控股	58,824,000	0.170	10.00	
	08269	富譽控股	52,624,000	0.190	10.00	
	08021	匯隆控股	223,950,000	0.108	24.19	
	2月	08192	環球能源資源	250,960,000	0.390	97.87
		08083	中國創新支付	200,000,000	0.640	128.00
08037		朗力福集團	245,000,000	0.204	49.98	
08163		萬德資源	77,000,000	0.171	13.17	
08063		和滙集團	24,986,000	1.000	24.99	
3月	08116	中國幸福投資	80,000,000	0.103	8.24	
	08166	中國農業生態	800,000,000	0.188	150.40	
	08156	眾彩股份	54,400,000	5.140	279.62	
	08098	昌利控股	100,000,000	0.305	30.50	
	08207	中國信貸	200,000,000	0.800	160.00	
	08108	名家國際	227,400,000	0.078	17.74	
	08200	修身堂	49,658,750	0.450	22.35	
	08150	無縫綠色	5,000,000	1.000	5.00	
	08269	富譽控股	45,448,000	0.220	10.00	
總額					1,589.11	

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2013	第1季	0	0.85	0.00
	第2季	0	0.00	0.00
	第3季	0	0.00	0.00
	第4季	0	0.00	0.00
2014	第1季	0	0.00	0.00

創業板新上市股份認股權證

2014年第1季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
Nil					
總額					-

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2014年3月底 收市	2013年12月底 收市	升跌
標準普爾／香港交易所大型股指數	26777.04	28109.10	- 4.74%
標準普爾／香港交易所創業板指數	529.64	461.58	+ 14.75%
恒生指數	22151.06	23306.39	- 4.96%
分類指數			
金融	30017.59	32225.38	- 6.85%
公用事業	48521.28	48375.90	+ 0.30%
地產	27285.17	28504.91	- 4.28%
工商業	13539.95	14026.66	- 3.47%
恒生香港中資企業指數	4161.24	4553.64	- 8.62%
恒生中國企業指數	10075.10	10816.14	- 6.85%

股票市場指數

世界指數

指數	2014年3月底 收市	2013年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	26777.04	28109.10	- 4.74%
恒生指數	22151.06	23306.39	- 4.96%
澳洲			
普通股指數	5402.99	5353.08	+ 0.93%
雅加達			
綜合指數	4768.28	4274.18	+ 11.56%
日本			
日經指數(225)	14827.83	16291.31	- 8.98%
韓國			
綜合指數	1985.61	2011.34	- 1.28%
吉隆坡			
綜合指數	1849.21	1866.96	- 0.95%
馬尼拉			
綜合指數	6428.71	5889.83	+ 9.15%
紐西蘭			
證交所指數(50)	5139.98	4737.01	+ 8.51%
上海			
A股指數	2128.79	2214.49	- 3.87%
B股指數	224.98	253.63	- 11.29%
深圳			
A股指數	1085.68	1103.81	- 1.64%
B股指數	820.35	868.11	- 5.50%
新加坡			
FTSE海峽時報指數	3188.62	3167.43	+ 0.67%
台灣			
台北加權股份指數	8849.28	8611.51	+ 2.76%
泰國			
證交所指數	1376.26	1298.71	+ 5.97%
紐約			
杜瓊斯工業平均指數	16457.66	16576.66	- 0.72%
Nasdaq綜合指數	4198.99	4176.59	+ 0.54%
多倫多			
S&P/TSX綜合指數	14335.31	13621.55	+ 5.24%
德國			
法蘭克福指數	5037.49	5051.89	- 0.29%
倫敦			
富時指數(100)	6598.37	6749.09	- 2.23%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2014年第1季	2013年第1季
恒生指數期貨	4,368,013	4,871,620
恒生指數期權	1,759,351	2,269,370
小型恒生指數期貨	1,648,258	1,873,375
小型恒生指數期權	221,287	292,080
H股指數期貨	5,647,145	4,549,577
H股指數期權	2,401,315	1,586,493
小型H股指數期貨	731,328	493,496
自訂條款恒生指數期權	150	8,611
自訂條款H股指數期權	4,250	14,855
恒指股息點指數期貨	7,933	4,701
恒生國企股息點指數期貨	101,165	33,986
恒指波幅指數期貨	153	172
中華交易服務中國120指數期貨 ¹	9,824	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	100,874	176,284
股票期權	16,760,161	16,408,792
三個月港元利率期貨	10	0
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	75,498	25,054
黃金期貨	0	0
總數	33,836,715	32,608,466

1 中華交易服務中國120指數期貨由2013年8月12日開始買賣。

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第1季	4,871,620	107,939
	第2季	5,468,244	101,093
	第3季	4,926,071	123,253
	第4季	4,314,395	107,304
2014年	第1季	4,368,013	104,527

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第1季	1,873,375	6,897
	第2季	2,169,689	7,096
	第3季	2,237,418	8,969
	第4季	1,573,318	5,835
2014年	第1季	1,648,258	7,441

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第1季	2,269,370	316,226
	第2季	2,598,223	282,528
	第3季	1,959,869	293,948
	第4季	1,774,047	173,176
2014年	第1季	1,759,351	201,802

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第1季	4,549,577	147,677
	第2季	5,259,192	197,763
	第3季	5,534,747	214,299
	第4季	5,527,741	217,646
2014年	第1季	5,647,145	199,566

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2013年	第1季	10,840.90	16,408,792	4,758,454
	第2季	9,361.17	15,369,742	4,810,169
	第3季	8,479.72	13,681,676	4,882,089
	第4季	10,051.31	15,367,765	4,740,818
2014年	第1季	14,497.65	16,760,161	5,458,789

衍生產品市場 期貨及期權

各期權類別之期權金總額

2014年第1季

期權金額	期權類別(百萬港元)	佔市場百分比
X安碩A50中國	A50	3.64%
業銀行	ABC/XAB	0.66%
安徽海螺水泥股份	ACC	0.71%
友邦保險	AIA	1.85%
中國夏滬深三百	ALC	0.04%
中國通銀銀行	AMC	0.25%
交亞銀銀行	BCL/XBC	1.21%
東麗銀銀行	BCM	0.14%
百銀銀行	BEA	0.02%
中國香港	BIH	0.18%
比亞迪股份	BOC	0.25%
建設銀行	BYD	0.25%
中國中煤能源	CCB/XCC	2.25%
中國遠洋	CCC	0.10%
中國移動	CCE	0.13%
中國聯通	CCS	0.03%
中國信託	CHT	6.21%
長江實業	CHU	0.30%
中國電控	CIT	0.50%
招商銀銀行	CKH	2.36%
中國海外石油發展	CLI	3.96%
中國泰國航空	CLP	0.13%
中國石化股份	CMB	0.57%
中國太保	CNC	1.65%
中國鐵中	COL	1.84%
中國中鐵	CPA	0.02%
X標智滬深300	CPC	1.29%
南方神華	CPI	0.71%
中國信託	CRG	0.03%
中國電信	CRC	0.03%
東風集團股份	CRG	0.02%
富捷環球	CS3	0.02%
銀河娛樂	CSA	0.91%
長城汽車	CSE	0.97%
電能實業	CTB	0.15%
香港交易所	CTC	0.13%
恒安國際	DFM	0.01%
匯豐控股	ESP	0.03%
香港中地	FIH	0.01%
恒基能	GLX	10.25%
恒華能	GWM	0.40%
和記黃埔	HEH	0.31%
江西銅業	HEX	1.37%
聯想集團	HGN	0.04%
美豐高	HKB	3.07%
民生銀行	HKG	0.10%
香港中地	HLD	0.15%
港鐵	HNP	0.13%
新中國	HSB	0.05%
中國人壽	HWL	5.31%
中國人壽	ICB/XIC	1.30%
富沙地產	JXC	0.21%
金新地產	KLE	0.02%
渣打集團	LEN	0.72%
太古股份	LIF	0.38%
騰訊	MGM	0.13%
盈富	MSB	1.50%
九龍倉	MTR	0.03%
中興	NBM	0.29%
中國平安	NWD	0.20%
中國石油	PAI	4.29%
中國人壽	PEC	1.24%
中國人壽	PIC	0.34%
中國人壽	PIN	0.05%
中國人壽	RFP	0.09%
中國人壽	SAN	4.66%
中國人壽	SHK	1.28%
中國人壽	STC	0.04%
中國人壽	SWA	0.22%
中國人壽	TCH	29.28%
中國人壽	TRF	0.04%
中國人壽	WHL	0.52%
中國人壽	WWC	0.02%
中國人壽	YZC	0.34%
中國人壽	ZJM	0.07%
總額	14,497.65	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2014年第1季

期權類別	合約成交量	佔市場百分比	
X安碩A50中國	A50	419,245	2.50%
業銀行	ABC/XAB	77,935	0.47%
安徽海螺水泥股份	ACC	158,878	0.95%
友邦保險	AIA	344,082	2.05%
中華夏滬深三百	ALC	26,346	0.16%
中國通銀銀行	AMC	30,660	0.18%
交亞銀銀行	BCL/XBC	1,271,424	7.59%
東麗銀銀行	BCM	90,007	0.54%
百麗銀銀行	BEA	21,313	0.13%
中國香港	BIH	84,641	0.51%
比亞迪股份	BOC	110,637	0.66%
建國通建設	BYD	33,686	0.20%
中國中煤能源	CCB/XCC	1,640,193	9.79%
中國遠洋	CCC	56,306	0.34%
中國移動	CCE	91,092	0.54%
中國聯通	CCS	22,597	0.13%
中國信託	CHT	775,125	4.62%
長江實業	CHU	51,590	0.31%
中國電控	CIT	135,680	0.81%
招商銀銀行	CKH	117,669	0.70%
中國海外石油發展	CLI	504,801	3.01%
中國泰航	CLP	31,748	0.19%
中國石油	CMB	280,742	1.68%
中國石化	CNC	354,157	2.11%
中國太保	COL	210,519	1.26%
中國中鐵	CPA	6,633	0.04%
中國中鐵	CPC	382,806	2.28%
中國中鐵	CPI	97,553	0.58%
中國中鐵	CRC	21,028	0.13%
X標智滬深300	CRG	8,332	0.05%
南方神華	CS3	3,336	0.02%
中國信託	CSA	90,633	0.54%
中國信託	CSE	174,051	1.04%
中國信託	CTB	112,759	0.67%
中國信託	CTC	55,102	0.33%
中國信託	DFM	3,507	0.02%
中國信託	ESP	67,169	0.40%
中國信託	FIH	9,162	0.05%
中國信託	GLX	584,417	3.49%
中國信託	GWM	94,228	0.56%
中國信託	HEH	61,848	0.37%
中國信託	HEX	682,895	4.07%
中國信託	HGN	6,198	0.04%
中國信託	HKB	656,471	3.92%
中國信託	HKG	37,848	0.23%
中國信託	HLD	19,669	0.12%
中國信託	HNP	39,223	0.23%
中國信託	HSB	38,549	0.23%
中國信託	HWL	337,833	2.02%
中國信託	ICB/XIC	1,070,098	6.38%
中國信託	JXC	54,790	0.33%
中國信託	KLE	3,890	0.02%
中國信託	LEN	177,123	1.06%
中國信託	LIF	78,777	0.47%
中國信託	MGM	27,463	0.16%
中國信託	MSB	264,414	1.58%
中國信託	MTR	15,601	0.09%
中國信託	NBM	62,611	0.37%
中國信託	NWD	66,370	0.40%
中國信託	PAI	648,294	3.87%
中國信託	PEC	330,007	1.97%
中國信託	PIC	72,670	0.43%
中國信託	PIN	10,333	0.06%
中國信託	RFP	56,764	0.34%
中國信託	SAN	709,796	4.24%
中國信託	SHK	85,067	0.51%
中國信託	STC	22,741	0.14%
中國信託	SWA	37,902	0.23%
中國信託	TCH	2,264,459	13.51%
中國信託	TRF	21,436	0.13%
中國信託	WHL	43,707	0.26%
中國信託	WWC	9,543	0.06%
中國信託	YZC	61,290	0.37%
中國信託	ZJM	32,692	0.20%
總數		16,760,161	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年/月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2013 第1季	89,261	59.01	268.79
第2季	81,848	58.43	218.85
第3季	76,390	49.11	176.60
第4季	84,326	52.14	201.87
2014 第1季	89,857	51.46	200.37

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年/月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2013 第1季	463	240.85	251.98
第2季	386	70.50	229.88
第3季	347	46.57	139.57
第4季	412	143.96	203.73
2014 第1季	418	195.82	302.92

經中央結算系統存管的股份

(按季度結存統計)

年/月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2013 第1季	3,673.74	69.58	12,345.00	51.66
第2季	3,726.30	70.11	11,616.39	51.72
第3季	3,839.01	70.64	12,905.25	51.98
第4季	3,955.16	70.87	13,878.91	52.68
2014 第1季	4,058.32	70.36	13,423.17	52.82

股數及市價總值包括非港元證券。

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2013 第1季	990,740	100	172,627.79	100	74,164.38	100
第2季	960,186	100	145,842.29	100	62,161.42	100
第3季	877,568	100	130,868.07	100	55,128.00	100
第4季	933,875	100	117,032.40	100	58,954.27	100
2014 第1季	1,120,215	100	138,407.50	100	68,237.10	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2013 第1季	71,626	96.07	24,342.25	92.71
第2季	65,358	96.26	20,347.75	92.80
第3季	65,100	95.99	18,908.50	92.59
第4季	72,380	95.83	22,299.70	90.28
2014 第1季	77,656	96.29	23,984.86	91.21

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月	交收效率(%)	
	到期交收日	到期交收日翌日
2013 第1季	99.91	99.99
第2季	99.89	99.99
第3季	99.91	99.99
第4季	99.91	99.99
2014 第1季	99.91	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2014年第1季	2013年第1季
恒生指數期貨	104,527	107,939
恒生指數期權	201,802	316,226
小型恒生指數期貨	7,441	6,897
小型恒生指數期權	5,938	6,481
H股指數期貨	199,566	147,677
H股指數期權	1,014,569	741,018
小型H股指數期貨	4,200	3,070
自訂條款恒生指數期權	200	13,232
自訂條款H股指數期權	20,334	20,331
恒指股息點指數期貨	6,733	5,919
恒生國企股息點指數期貨	88,404	48,394
恒指波幅指數期貨	12	27
中華交易服務中國120指數期貨 ¹	334	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	19,695	39,257
股票期權	5,458,789	4,758,454
三個月港元利率期貨	0	0
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	9,495	4,791
黃金期貨	0	0
總數	7,142,039	6,219,713

¹ 中華交易服務中國120指數期貨由2013年8月12日開始買賣。

結算及交收

各期權類別之未平倉合約

2014年第1季末

期權類別		未平倉合約	佔市場百分比
X安碩A50中國	A50	130,241	2.39
農業銀行	ABC/XAB	31,529	0.58
安徽海螺水泥股份	ACC	45,155	0.83
友邦保險	AIA	76,715	1.41
中國鋁業	ALC	11,576	0.21
華夏滬深三百	AMC	9,699	0.18
中國銀行	BCL/XBC	644,996	11.82
交通銀行	BCM	38,686	0.71
東亞銀行	BEA	6,197	0.11
百麗國際	BIH	12,219	0.22
中銀香港	BOC	54,988	1.01
比亞迪股份	BYD	12,944	0.24
建設銀行	CCB/XCC	733,704	13.44
中國交通建設	CCC	31,794	0.58
中國中煤能源	CCE	41,495	0.76
中國遠洋	CCS	14,520	0.27
中國移動	CHT	203,563	3.73
中國聯通	CHU	20,608	0.38
中信泰富	CIT	40,997	0.75
長江實業	CKH	24,483	0.45
中國人壽	CLI	128,431	2.35
中電控股	CLP	7,907	0.14
招商銀行	CMB	90,313	1.65
中國海洋石油	CNC	151,374	2.77
中國海外發展	COL	44,020	0.81
國泰航空	CPA	1,774	0.03
中國石油化工股份	CPC	135,666	2.49
中國太保	CPI	25,885	0.47
中國鐵建	CRC	18,720	0.34
中國中鐵	CRG	5,385	0.10
X標智滬深300	CS3	2,097	0.04
南方A50	CSA	24,612	0.45
中國神華	CSE	64,304	1.18
中信銀行	CTB	93,627	1.72
中國電信	CTC	17,883	0.33
東風集團股份	DFM	1,281	0.02
思捷環球	ESP	10,814	0.20
富智康集團	FIH	1,779	0.03
銀河娛樂	GLX	85,920	1.57
長城汽車	GWM	18,158	0.33
電能實業	HEH	6,205	0.11
香港交易所	HEX	297,386	5.45
恒安國際	HGN	1,634	0.03
匯豐控股	HKB	215,192	3.94

結算及交收

期權類別		未平倉合約	佔市場百分比
香港中華煤氣	HKG	10,272	0.19
恒基地產	HLD	4,826	0.09
華能國際電力股份	HNP	10,437	0.19
恒生銀行	HSB	14,560	0.27
和記黃埔	HWL	51,272	0.94
工商銀行	ICB/XIC	497,682	9.12
江西銅業	JXC	17,714	0.32
昆侖能源	KLE	1,429	0.03
聯想集團	LEN	50,718	0.93
利豐	LIF	28,131	0.52
美高梅中國	MGM	5,928	0.11
民生銀行	MSB	68,459	1.25
港鐵公司	MTR	5,950	0.11
中國建材	NBM	19,768	0.36
新世界發展	NWD	21,280	0.39
中國平安	PAI	140,485	2.57
中國石油股份	PEC	139,307	2.55
中國人民財產保險	PIC	14,443	0.26
中國人民保險集團	PIN	2,807	0.05
富力地產	RFP	14,444	0.26
金沙中國有限公司	SAN	123,806	2.27
新鴻基地產	SHK	24,778	0.45
渣打集團	STC	11,966	0.22
太古股份公司A	SWA	8,302	0.15
騰訊控股	TCH	463,209	8.49
盈富基金	TRF	10,941	0.20
九龍倉集團	WHL	10,032	0.18
中國旺旺	WWC	2,911	0.05
兗州煤業股份	YZC	36,827	0.67
紫金礦業	ZJM	9,629	0.18
總數		5,458,789	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2013	第1季	572 (997)	509 (924)	42 (52)	21 (21)
	第2季	569 (987)	507 (918)	42 (49)	20 (20)
	第3季	566 (982)	506 (915)	40 (47)	20 (20)
	第4季	563 (978)	504 (912)	39 (46)	20 (20)
2014	第1季	561 (975)	503 (909)	38 (46)	20 (20)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2013	第1季	230 (254)	181 (203)	–	49 (51)
	第2季	233 (257)	180 (202)	–	53 (55)
	第3季	232 (256)	179 (201)	–	53 (55)
	第4季	232 (256)	179 (201)	–	53 (55)
2014	第1季	231 (255)	179 (201)	–	52 (54)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年/月	結算參與者	全面結算參與者
2013 第1季	154	8
第2季	154	8
第3季	152	9
第4季	152	9
2014 第1季	151	9

聯交所期權結算所參與者

年/月	直接結算參與者	全面結算參與者
2013 第1季	63	9
第2季	62	9
第3季	62	9
第4季	62	9
2014 第1季	62	9

中央結算所參與者

年/月	直接結算參與者	全面結算參與者	非經紀參與者(*)
2013 第1季	491	9	45
第2季	488	9	44
第3季	486	9	43
第4季	485	9	43
2014 第1季	486	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券